

# CSE 100: Algorithm Design and Analysis

## Chapter 09: Median and Order Statistics

Sungjin Im

University of California, Merced

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It is the time you have wasted for your rose that makes your rose so important.

Antoine de Saint-Exupéry, The Little Prince

# Medians and Order Statistics

- ▶  $i$ th order statistic is the  $i$ th smallest element of a set of  $n$  elements.
- ▶ The minimum is the 1st order statistic.
- ▶ The maximum is the  $n$ th order statistic.
- ▶ A median is the 'halfway point' of the set.
  - ▶ If  $n$  is odd, the median is unique, and is  $(n + 1)/2$  order statistic.
  - ▶ If  $n$  is even, then there are two medians.
    - ▶ The lower median:  $i = n/2$ .
    - ▶ The upper median:  $i = n/2 + 1$ .

# The Selection Problem

Input: A set  $A$  of  $n$  (distinct) numbers and an integer  $i$ , with  $1 \leq i \leq n$ .

Output: The  $i$ th smallest element of  $A$ .

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Output: The  $i$ th smallest element of  $A$ .

- ▶ If we use sorting, we can solve this problem in  $O(n \log n)$  time.
- ▶ We will learn  $O(n)$  time algorithms.
  - ▶ A randomized algorithm with  $O(n)$  expected running time
  - ▶ A deterministic algorithm with  $O(n)$  running time.

# The Selection Problem

## Warm-up: Minimum

MINIMUM( $A$ )

```
1   $min = A[1]$   
2  for  $i = 2$  to  $A.length$   
3      if  $min > A[i]$   
4           $min = A[i]$   
5  return  $min$ 
```

Time:

# The Selection Problem

## Warm-up: Minimum

MINIMUM(*A*)

```
1  min = A[1]
2  for i = 2 to A.length
3      if min > A[i]
4          min = A[i]
5  return min
```

Time:  $\Theta(n)$ .

# The Selection Problem

Warm-up: Maximum

Time:  $\Theta(n)$ .

## Selection in linear time in expectation

Based on (randomized) partitioning used in Quicksort.

$\text{R-Select}(A, p, r, i)$ : Return  $i$ th smallest element in  $A[p \dots r]$ .

Ex.  $A[1 \dots 9] = \langle 1, 8, 4, 9, 7, 2, 3, 6, 5 \rangle$ .

$\text{R-Quicksort}(A, 1, 9)$

$\text{R-Select}(A, 1, 9, 2)$



# Selection in linear time in expectation

Based on (randomized) partitioning used in Quicksort

**RANDOMIZED-PARTITION**( $A, p, r$ )

- 1  $i = \text{RANDOM}(p, r)$
- 2 exchange  $A[r]$  with  $A[i]$
- 3 **return** **PARTITION**( $A, p, r$ )

# Selection in linear time in expectation

RANDOMIZED-SELECT( $A, p, r, i$ )

```
1  if  $p == r$ 
2      return  $A[p]$ 
3   $q = \text{RANDOMIZED-PARTITION}(A, p, r)$ 
4   $k = q - p + 1$ 
5  if  $i == k$            // the pivot value is the answer
6      return  $A[q]$ 
7  elseif  $i < k$ 
8      return RANDOMIZED-SELECT( $A, p, q - 1, i$ )
9  else return RANDOMIZED-SELECT( $A, q + 1, r, i - k$ )
```

## Selection in linear time in expectation

$$T(n) = T(\max\{\text{left subarray size}, \text{right subarray size}\}) + \Theta(n)$$

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- ▶ Best Partitioning:  $T(n) = T(n/2) + \Theta(n) \rightarrow T(n) = \Theta(n)$ .
- ▶ Worst Partitioning:  $T(n) = T(n-1) + \Theta(n)$

## Selection in linear time in expectation

$$T(n) = T(\max\{\text{left subarray size}, \text{right subarray size}\}) + \Theta(n)$$

- ▶ Best Partitioning:  $T(n) = T(n/2) + \Theta(n) \rightarrow T(n) = \Theta(n)$ .
- ▶ Worst Partitioning:  $T(n) = T(n-1) + \Theta(n) \rightarrow T(n) = \Theta(n^2)$ .
- ▶ 'Balanced' Partitioning:  $T(n) = T(\frac{3}{4}n) + \Theta(n)$ .

Let's say that the partitioning is 'balanced' if

$$\frac{1}{4}n \leq \text{pivot's order} \leq \frac{3}{4}n$$

## Selection in linear time in expectation

- ▶ 'Balanced' Partitioning:  $T(n) = T(\frac{3}{4}n) + \Theta(n)$   
 $\rightarrow T(n) = \Theta(n)$ .

Let's say that the partitioning is 'balanced' if

$$\frac{1}{4}n \leq \text{pivot's order} \leq \frac{3}{4}n$$

We can show that RT of Randomized Selection is  $\Theta(n)$  in expectation (for any input).

\* We can even show that  $RT = \Theta(n)$  with probability that tends to 1 as  $n$  grows. (Beyond the scope of this course)

# Selection in linear time in expectation

## Formal proof

- ▶ Let  $E(n) := \max_{I: \text{input of size } n} E[RT(I)]$ .
  - ▶  $E(n)$  is non-decreasing in  $n$ .
  - ▶ The partitioning is 'balanced' with probability  $1/2$ .
  - ▶ If 'balanced', the bigger subproblem size  $\leq \frac{3}{4}n$ ; else  $\leq n - 1$ .
  - ▶  $E(n) \leq \frac{1}{2}E(\frac{3}{4}n) + \frac{1}{2}E(n - 1) + O(n) \leq \frac{1}{2}E(\frac{3}{4}n) + \frac{1}{2}E(n) + O(n)$ .
  - ▶  $E(n) \leq E(\frac{3}{4}n) + O(n) \rightarrow E(n) = O(n)$ .
- \* Let's say that the partitioning is 'balanced' if  $\frac{1}{4}n \leq \text{pivot's order} \leq \frac{3}{4}n$



# Selection in linear time

(Deterministic) Select

- ▶ If we can find a good pivot leading to a balanced partition in linear time, we have a linear time deterministic algorithm.
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# Selection in linear time

(Deterministic) Select

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- ▶ But finding a good pivot is a kind of select problem. So, recursion will be used to find such a pivot.

Key idea: Find a good pivot from a smaller problem.

# Selection in linear time

(Deterministic) Select

1. Divide the  $n$  elements into groups of size 5. So  $n/5$  groups.
2. Find the median of each group.
3. Find the median  $x$  of the  $n/5$  medians by a recursive call to Select.
4. Call Partition with  $x$  as the pivot.
5. Make a recursive call to Select either on the smaller elements or larger elements (depending on the situation); if the pivot is the answer we are done.

Key question: Is  $x$  a good pivot?

# Selection in linear time

## (Deterministic) Select

Claim:  $\frac{3}{10}n \leq x\text{'s order} \leq \frac{7}{10}n$ .

NOTE: for simplicity, small additive constants are ignored.

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# Selection in linear time

(Deterministic) Select: RT analysis

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2. Find the median of each group.

# Selection in linear time

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2. Find the median of each group.  $\Theta(n)$ .
3. Find the median  $x$  of the  $n/5$  medians by a recursive call to Select.  $T(n/5)$ .
4. Call Partition with  $x$  as the pivot.

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4. Call Partition with  $x$  as the pivot.  $\Theta(n)$ .
5. Make a recursive call to Select either on the smaller elements or larger elements (depending on the situation); if the pivot is the answer we are done.  $T(\frac{7}{10}n)$ .

# Selection in linear time

(Deterministic) Select: RT analysis

$$T(n) = T(n/5) + T(7n/10) + \Theta(n)$$

# Selection in linear time

(Deterministic) Select: RT analysis

$$\begin{aligned} T(n) &= T(n/5) + T(7n/10) + \Theta(n) \\ \Rightarrow T(n) &= \Theta(n). \end{aligned}$$