

# **CSE 31**

# **Computer Organization**

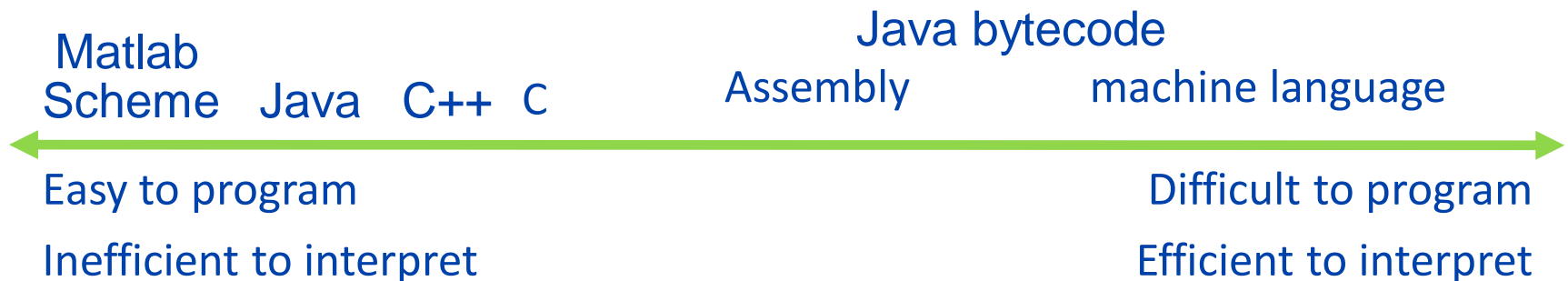
Bonus Lecture – Program Process

# Program Process - Overview

- Interpretation vs Translation
- Translating C Programs
  - Compiler
  - Assembler
  - Linker
  - Loader
- An Example

# Language Execution Continuum

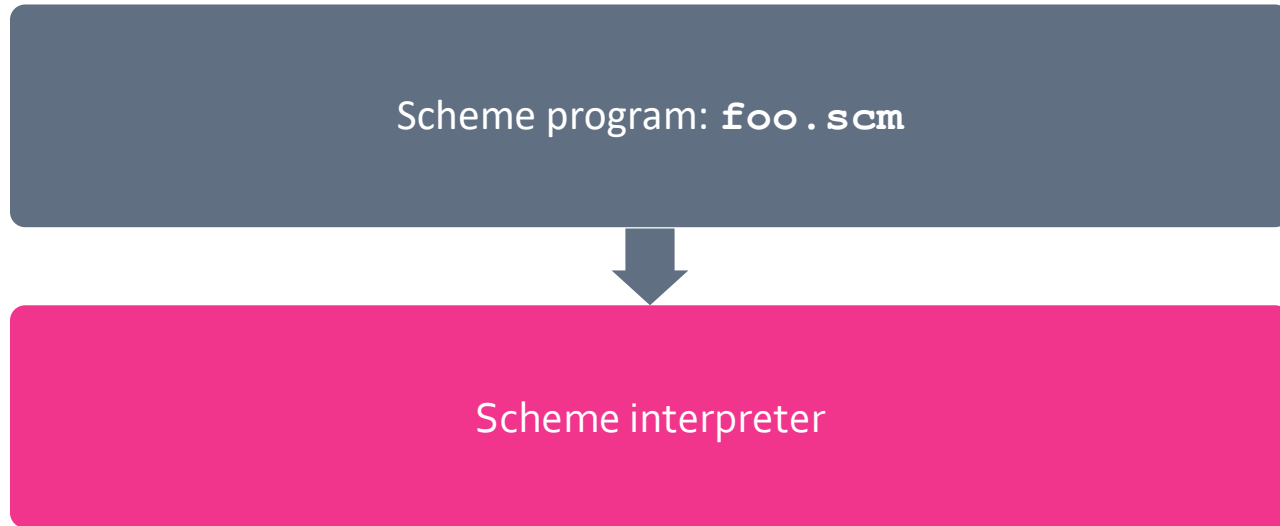
- An **Interpreter** is a program that executes other programs.
- Language **translation** gives us another option.
- In general, we **interpret** a high level language when efficiency is not critical and **translate** to a lower level language to improve performance



# Interpretation vs Translation

- How do we run a program written in a source language?
  - **Interpreter**: Directly executes a program in the source language
  - **Translator**: Converts a program from the source language to an equivalent program in another language
- For example, consider a Scheme program **foo.scm**

# Interpretation

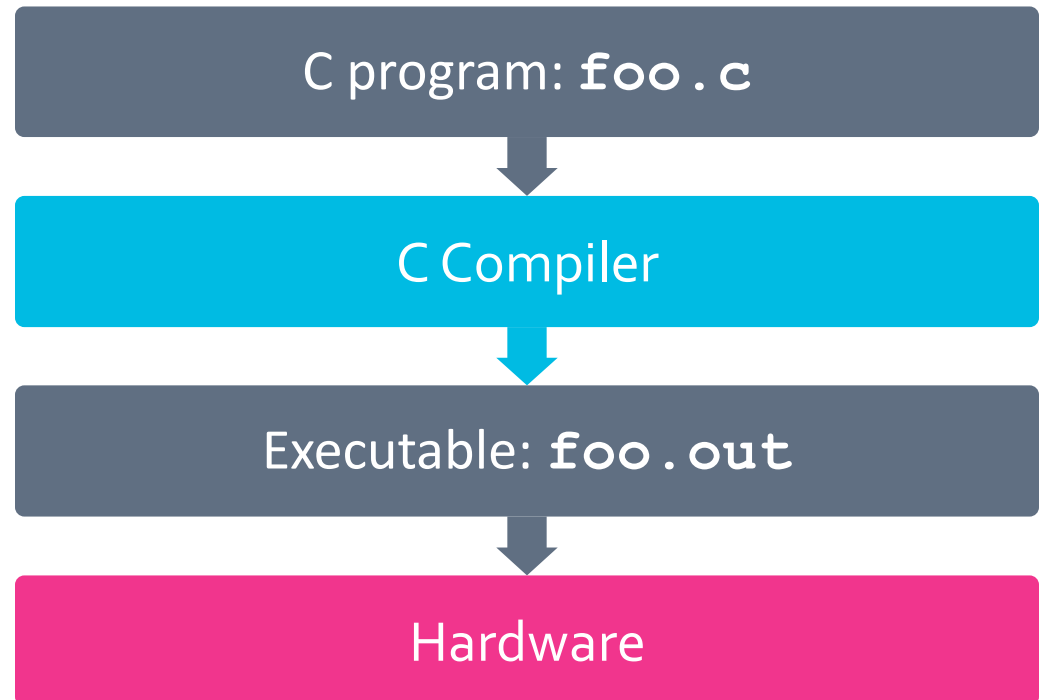


- Scheme Interpreter is just a program that reads a scheme program and performs the functions of that scheme program.

The process happens in run-time

# Translation

- C Compiler is a translator from C to machine language.
- The processor is a hardware interpreter of machine language.



# Interpretation

- Any good reason to interpret machine language in software?
  - MARS – useful for learning / debugging
- Apple Macintosh conversion
  - Switched from Motorola 680x0 instruction architecture to PowerPC.
    - » Similar issue with switching to x86 and M1.
  - Could require all programs to be re-translated from high level language
  - Instead, let executables contain old and/or new machine code, interpret old code in software if necessary
    - » Through emulation

# Interpretation vs. Translation? (1/2)

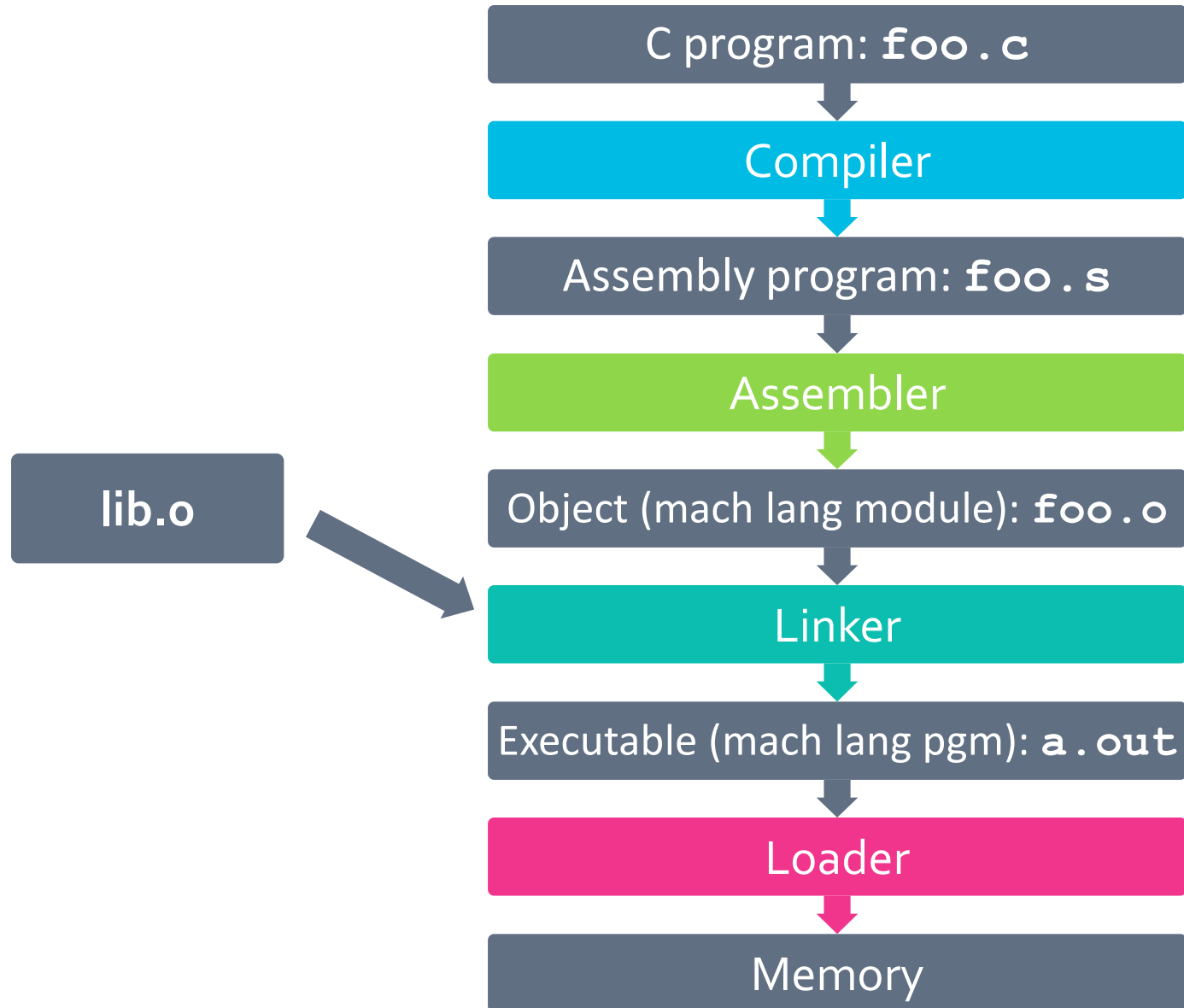
- Generally easier to write programs with interpreter
- Interpreter closer to high-level, so can give better error messages (e.g., MARS)
  - Translator reaction: add extra information to help debugging (line numbers, names)
- Interpreter slower (10x?), code smaller (2x?)
- Interpreter provides instruction set independence: run on any machine



## Interpretation vs. Translation? (2/2)

- Translated/compiled code almost always more efficient and therefore higher performance:
  - Important for many applications, particularly operating systems.
- Translation/compilation helps “hide” the program “source” from the users:
  - One model for creating value in the marketplace (eg. Microsoft/Apple keeps all their source code secret)
  - Alternative model, “open source”, creates value by publishing the source code and fostering a community of developers.

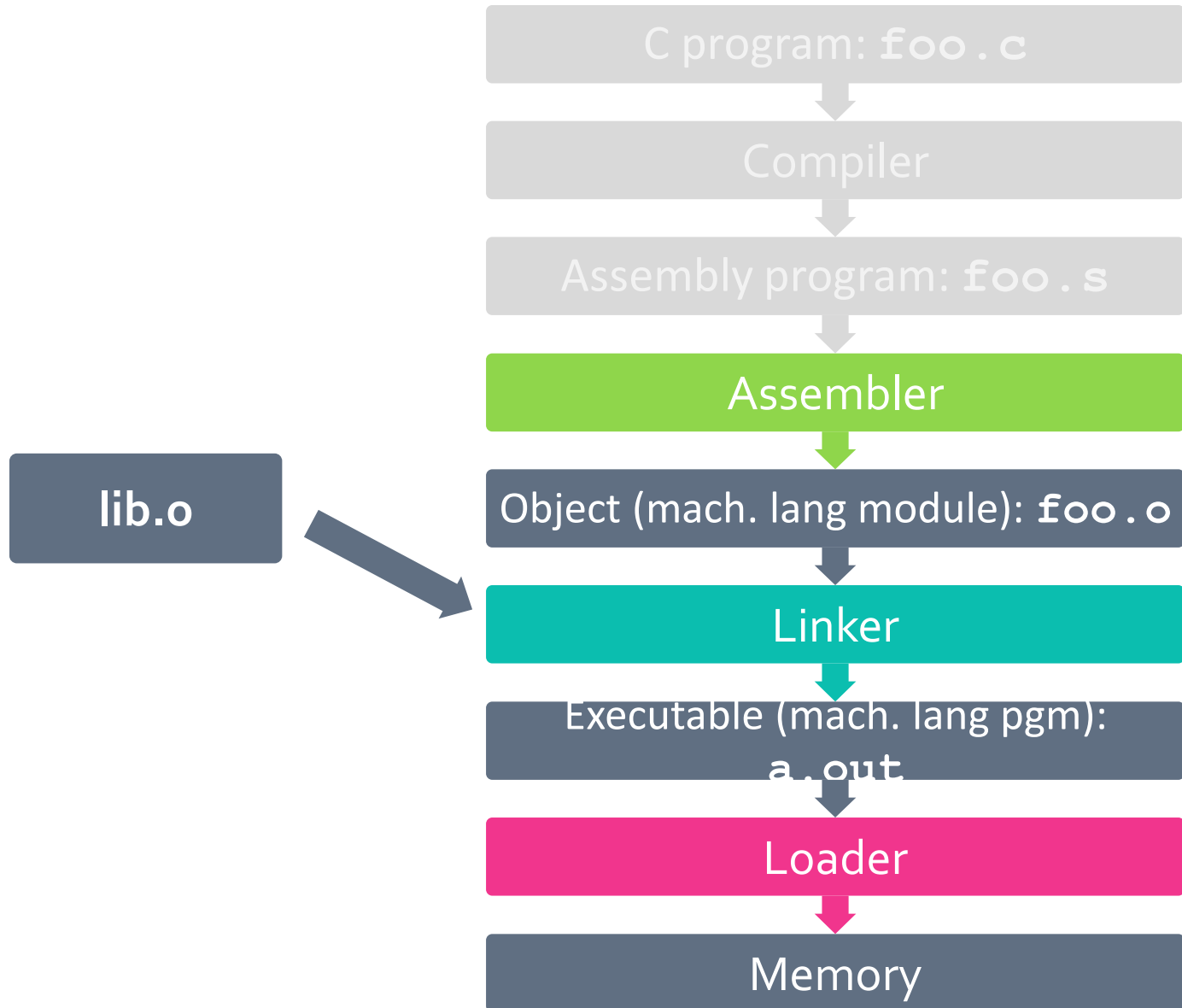
# Steps to Starting a Program (translation)



# Compiler

- Input: High-Level Language Code  
(e.g., C program such as `foo.c`)
- Output: Assembly Language Code  
(e.g., Assembly program `foo.s` for MIPS)
- Note: Output *may* contain pseudo-instructions
  - Pseudo-instructions: instructions that assembler understands but not in machine (previous lectures)
  - For example:  
`mov $s1, $s2`  $\rightarrow$  `or $s1, $s2, $zero`

# Where Are We Now?



# Assembler

- Input: Assembly Language Code (e.g., `foo.s` for MIPS)
- Output: Object Code, information tables (e.g., `foo.o` for MIPS)
- Reads and Uses **Directives**
- Replace Pseudo-instructions
- Produce Machine Language
- Creates **Object File**

# Assembler Directives

- Give directions to assembler, but do not produce machine instructions
  - . **text**: Subsequent items put in user text segment (machine code, program memory)
  - . **data**: Subsequent items put in user data segment (binary rep of data in source file, data memory)
  - . **globl sym**: declares sym global and can be referenced from other files
  - . **asciiz str**: Store the string **str** in memory and null-terminate it
  - . **word w1...wn**: Store the  $n$  32-bit quantities in successive memory words

# Pseudo-instruction Replacement

- Assembler uses convenient variations of machine language instructions

Pseudo:

```
subu $sp,$sp,32
```

```
sd $a0, 32($sp)
```

```
mul $t7,$t6,$t5
```

```
addu $t0,$t6,1
```

```
ble $t0,100,loop
```

```
la $a0, str
```

Real:

```
addiu $sp,$sp,-32
```

```
sw $a0, 32($sp)
```

```
sw $a1, 36($sp)
```

```
mult $t6,$t5
```

```
mflo $t7
```

```
addiu $t0,$t6,1
```

```
slti $at,$t0,101
```

```
bne $at,$0,loop
```

```
lui $at,left(str)
```

```
ori $a0,$at,right(str)
```

# Producing Machine Language (1/3)

- Simple Case
  - Arithmetic, Logical, Shifts, and so on.
  - All necessary info is within the instruction already.
- What about Branches?
  - PC-Relative
  - So once pseudo-instructions are replaced by real ones, we know by how many instructions to branch.
  - So, these can be handled.



## Producing Machine Language (2/3)

- “Forward Reference” problem
  - Branch instructions can refer to labels that are “forward” in the program:

```
      or      $v0, $0, $0
L1:    slt     $t0, $0, $a1
      beq     $t0, $0, L2
      addi    $a1, $a1, -1
      j      L1
L2:    add     $t1, $a0, $a1
```

How does it know where  
in the future steps?

- Solved by taking 2 passes over the program.
  - » First pass remembers position of labels
  - » Second pass uses label positions to generate code

## Producing Machine Language (3/3)

- What about jumps (`j` and `jal`)?
  - Jumps require **absolute address**.
  - So, forward or not, still can't generate machine instruction without knowing the position of instructions in memory.
- What about references to data?
  - `la` gets broken up into `lui` and `ori`
  - These will require the full 32-bit address of the data.
- These can't be determined yet, so we create two tables...

# Symbol Table

- Records list of “items” in this file that **may be used by other files**. (What you have)
- What are they?
  - Labels: function calling
  - Data: anything in the **.data** section; variables which may be accessed across files

# Relocation Table

- List of “items” for which this file **needs the address later**.
- What are they?
  - Any label jumped to: **j** or **jal**
    - » Internal
    - » External (including **lib** files)
  - Any piece of data
    - » such as the **la** instruction

# Object File Format

- object file header: size and position of the other pieces of the object file
- text segment: the machine code
- data segment: binary representation of the data in the source file
- relocation information: identifies lines of code that **need** to be “handled”
- symbol table: list of this file’s labels and data that can be referenced by other files
- debugging information
- A standard format is ELF (except MS)  
[http://www.skyfree.org/linux/references/ELF\\_Format.pdf](http://www.skyfree.org/linux/references/ELF_Format.pdf)

# Quiz

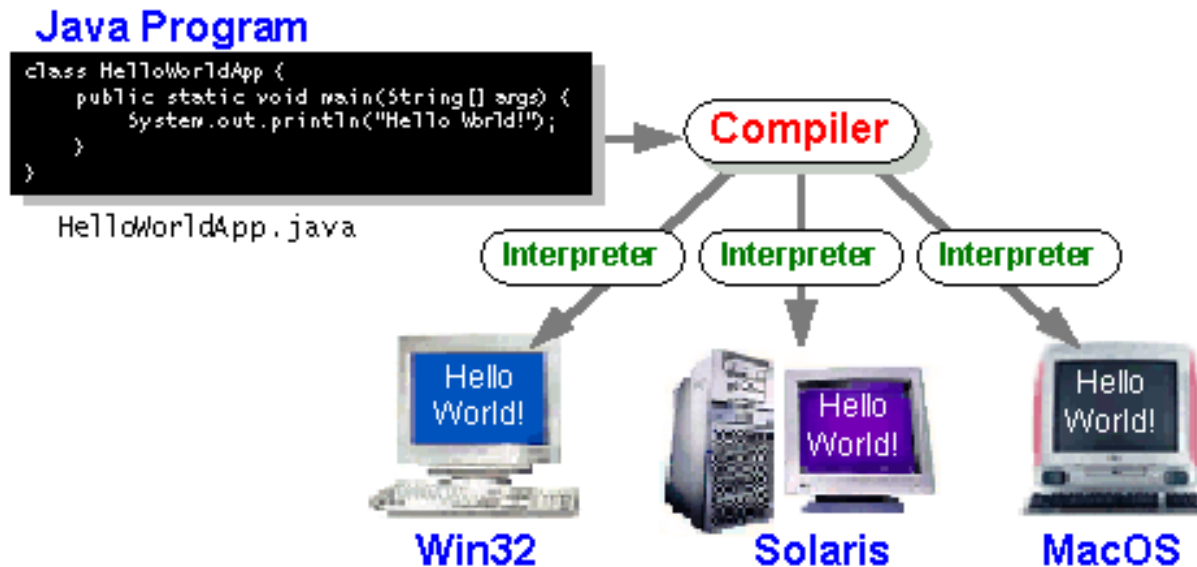
1) Assembler will ignore the instruction **Loop: nop** (not an operation) because it does nothing.

2) Java designers used a translator AND interpreter (rather than just a translator) mainly because of (at least 1 of): ease of writing, better error messages, smaller object code.

- |    |    |
|----|----|
|    | 12 |
| a) | FF |
| b) | FT |
| c) | TF |
| d) | TT |

# Quiz

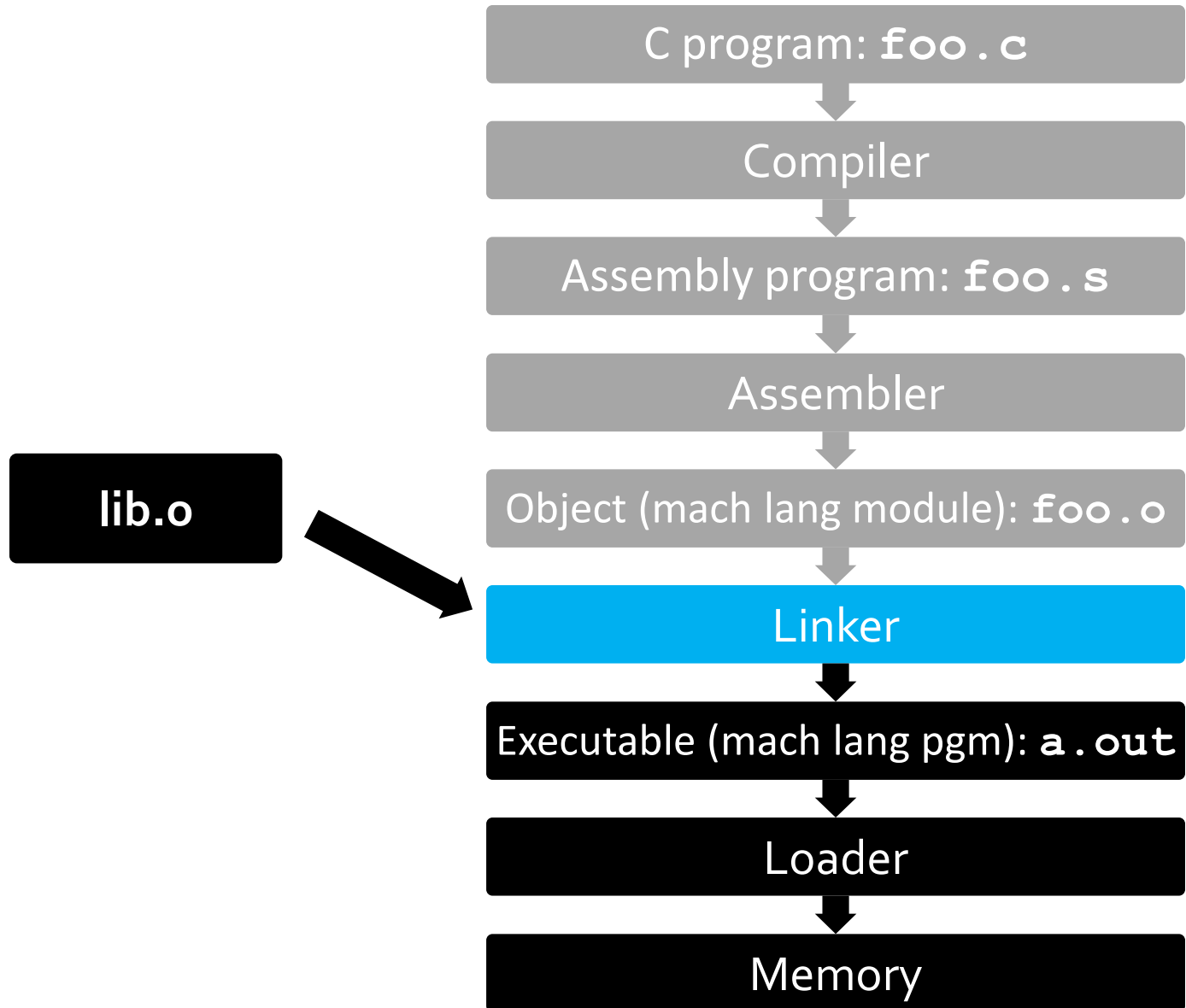
1) Assembler keeps track of all labels in symbol table...F!



2) Java designers used both mainly because of code portability...F!

	12
a)	<b>FF</b>
b)	FT
c)	TF
d)	TT

# Steps to Starting a Program (translation)



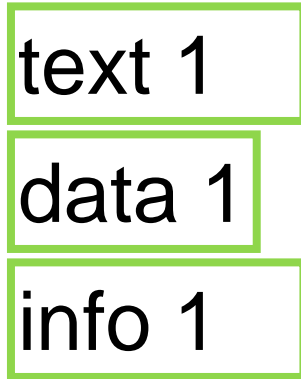


# Linker (1/3)

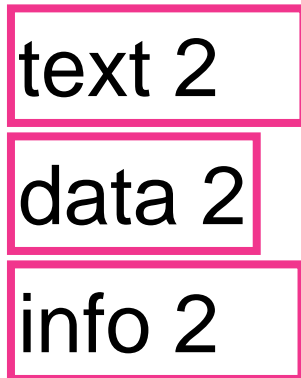
- Input: Object Code files, information tables (e.g., `foo.o`, `libc.o` for MIPS)
- Output: Executable Code (e.g., `a.out` for MIPS)
- Combines several object (`.o`) files into a single executable (“linking”)
- Enable Separate Compilation of files
  - Changes to one file do not require recompilation of whole program
    - » Windows 10 source is ~ 50 M lines of code!
  - Old name “Link Editor” from editing the “links” in jump and link instructions

## Linker (2/3)

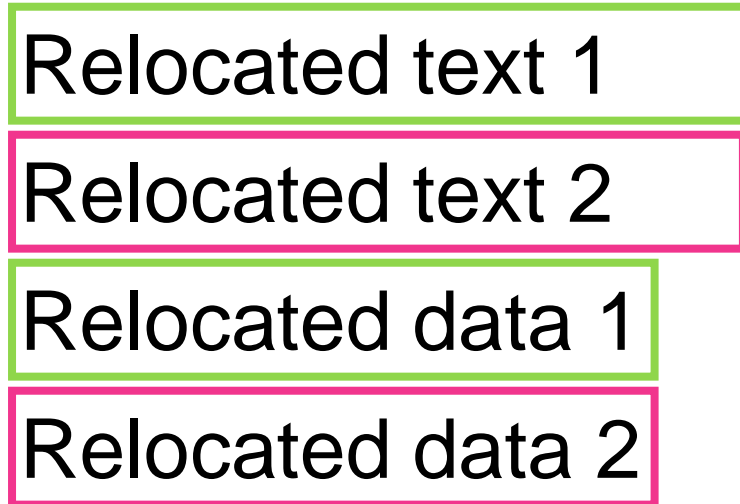
.o file 1



.o file 2



a.out



## Linker (3/3)

- Step 1: Take text segment from each .o file and put them together.
- Step 2: Take data segment from each .o file, put them together, and concatenate this onto end of text segments.
- Step 3: Resolve References
  - Go through Relocation Table; handle each entry
  - That is, fill in all **absolute addresses**

# Four Types of Addresses

- PC-Relative Addressing (`beq`, `bne`)
  - never relocate
- Absolute Address (`j`, `jal`)
  - always relocate
- External Reference (usually `jal`)
  - always relocate
- Data Reference (often `lui` and `ori`/load address)
  - always relocate

# Absolute Addresses in MIPS

- Which instructions need relocation editing?

- J-format: jump, jump and link (jal)

J/JAL	XXXXXX
-------	--------

- Loads and stores to variables in static area, relative to global pointer

LW/SW	\$gp	\$x	address
-------	------	-----	---------

- What about conditional branches?

beq/bne	\$rs	\$rt	address
---------	------	------	---------

» PC-relative addressing **preserved** even if code moves

# Resolving References (1/2)

- Linker **assumes** first word of first text segment is at address **0x00000000**.
- Linker knows:
  - length of each text and data segment
  - ordering of text and data segments
- Linker calculates:
  - absolute address of each label to be jumped to (internal or external) and each piece of data being referenced

## Resolving References (2/2)

- To resolve references:
  - search for reference (data or label) in all “user” symbol tables
  - if not found, search library files  
(for example, for `printf`)
  - once absolute address is determined, fill in the machine code appropriately
- Output of linker: executable file containing text and data (plus header)

# Static vs Dynamically linked libraries

- What we've described is the traditional way: **statically-linked** approach
  - The library is now part of the executable, so if the library updates, we don't get the fix (have to recompile if we have source)
  - It includes the entire library even if not all of it will be used.
  - Executable is self-contained.
- An alternative is **dynamically linked libraries** (DLL), common on Windows & UNIX platforms



# Dynamically linked libraries

- Space/time issues

- + Storing a program requires less disk space
- + Sending a program requires less time
- + Executing two programs requires less memory (if they share a library)
- – At runtime, there's time overhead to do link

- Upgrades

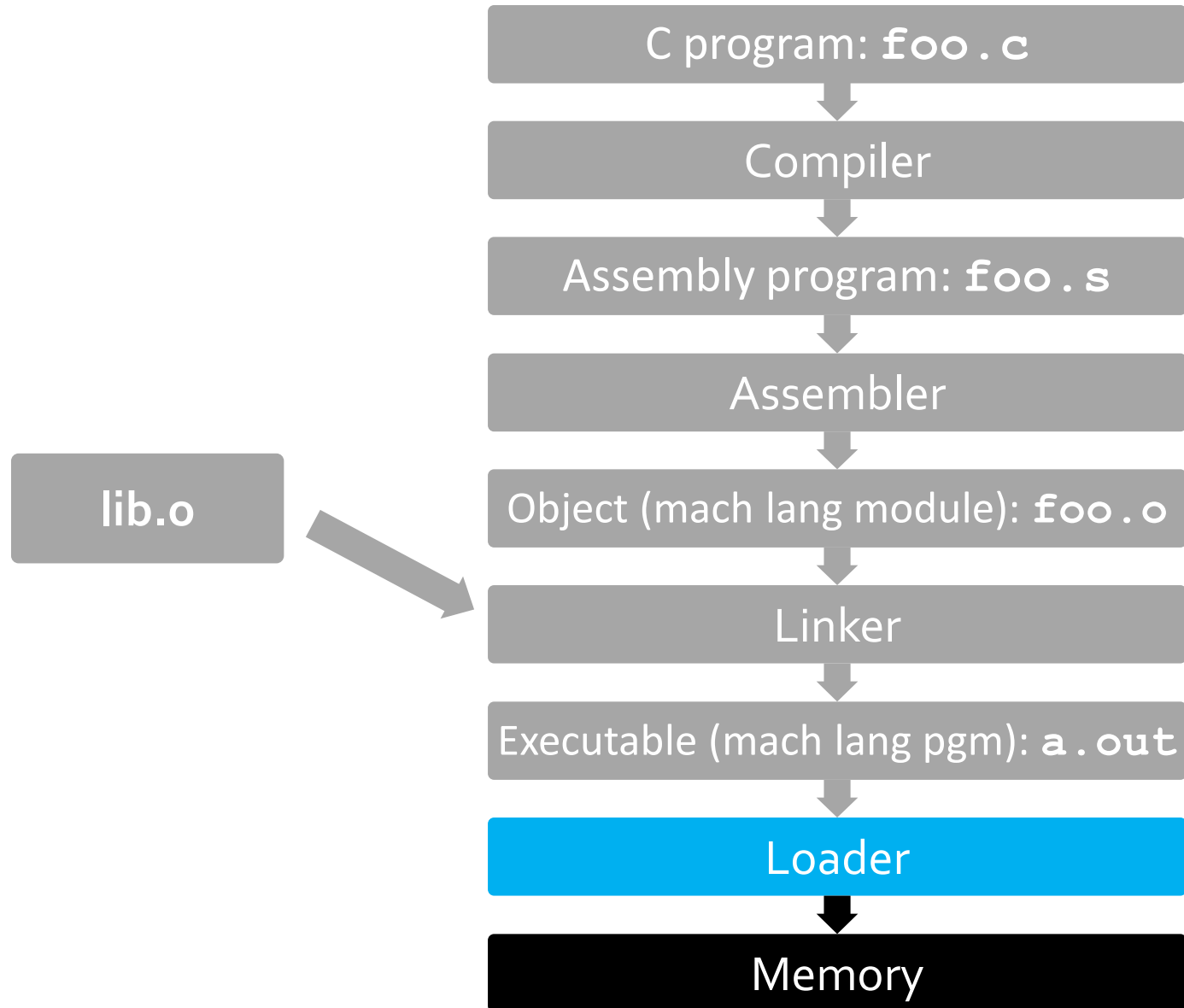
- + Replacing one file (`libXYZ.so`) upgrades every program that uses library “XYZ”
- – Having the executable isn't enough anymore

Overall, dynamic linking adds quite a bit of complexity to the compiler, linker, and operating system. However, it provides many benefits that often outweigh these.

# Dynamically linked libraries

- The prevailing approach to dynamic linking uses machine code as the “lowest common denominator”
  - The linker does not use information about how the program or library was compiled (i.e., what compiler or language)
  - This can be described as “linking at the machine code level”
  - There are other ways to achieve the same purpose

# Steps to Starting a Program (translation)



## Loader (1/3)

- Input: Executable Code  
(e.g., a `.out` for MIPS)
- Output: (program is run)
- Executable files are stored on disk.
- When one is run, loader's job is to load it into memory and start running.
- In reality, loader is the operating system (OS)
  - loading is one of the OS tasks

## Loader (2/3)

- So what does a loader do?
  - Reads executable file's header to determine size of text and data segments
  - Creates new address space (static memory) for program large enough to hold text and data segments, along with a stack segment
  - Copies instructions and data from executable file into the new address space

## Loader (3/3)

- Copies arguments passed to the program onto the stack
- Initializes machine registers
  - Most registers cleared
  - Stack pointer assigned address of 1<sup>st</sup> free stack location
- Jumps to start-up routine that copies program's arguments from stack to registers & sets the PC
  - If main routine returns, start-up routine terminates program with the exit system call

# Example: C $\Rightarrow$ Asm $\Rightarrow$ Obj $\Rightarrow$ Exe $\Rightarrow$ Run

*C Program Source Code:*

*prog.c*

```
#include <stdio.h>
```

```
int main (int argc, char *argv[]) {  
    int i, sum = 0;  
    for (i = 0; i <= 100; i++)  
        sum = sum + i * i;  
    printf ("The sum of sq from 0 .. 100 is %d\n", sum);  
}
```

*“printf” lives in “libc”*

# Compilation: MAL

```
— .text
   .align 2
   .globl main
main:
    subu $sp, $sp, 40
    sw $ra, 20($sp)
    sd $a0, 32($sp)
    sw $0, 24($sp)
    sw $0, 28($sp)
loop:
    lw $t6, 28($sp)
    mul $t7, $t6, $t6
    lw $t8, 24($sp)
    addu $t9, $t8, $t7
    sw $t9, 24($sp)
```

```
    addu $t0, $t6, 1
    sw $t0, 28($sp)
    ble $t0, 100, loop
    la $a0, str
    lw $a1, 24($sp)
    jal printf
    move $v0, $0
    lw $ra, 20($sp)
    addiu $sp, $sp, 40
    jr $ra
    .data
    .align 0
str:
    .asciiz "The sum
of sq from 0 ..
100 is %d\n"
```

Where are the  
7 pseudo-  
instructions?



# Compilation: MAL

```
.text
.align 2
.globl main
main:
subu $sp, $sp, 40
sw $ra, 20($sp)
sd $a0, 32($sp)
sw $0, 24($sp)
sw $0, 28($sp)
loop:
lw $t6, 28($sp)
mul $t7, $t6, $t6
lw $t8, 24($sp)
addu $t9, $t8, $t7
sw $t9, 24($sp)
```

```
addu $t0, $t6, 1
sw $t0, 28($sp)
ble $t0, 100, loop
la $a0, str
lw $a1, 24($sp)
jal printf
move $v0, $0
lw $ra, 20($sp)
addiu $sp, $sp, 40
jr $ra
.data
.align 0
str:
— .asciiz "The sum
of sq from 0 ..
100 is %d\n"
```

7 pseudo-  
instructions  
underlined

# Assembly step 1:

Remove pseudo instructions, assign addresses

```
00 addiu $29, $29, -40
04 sw     $31, 20($29)
08 sw     $4, 32($29)
0c sw     $5, 36($29)
10 sw     $0, 24($29)
14 sw     $0, 28($29)
18 lw     $14, 28($29)
1c multu  $14, $14
20 mflo   $15
24 lw     $24, 24($29)
28 addu   $25, $24, $15
2c sw     $25, 24($29)
```

```
30 addiu $8, $14, 1
34 sw     $8, 28($29)
38 slti   $1, $8, 101
3c bne    $1, $0, loop
40 lui    $4, l.str
44 ori    $4, $4, r.str
48 lw     $5, 24($29)
4c jal    printf
50 add     $2, $0, $0
54 lw     $31, 20($29)
58 addiu  $29, $29, 40
5c jr     $31
```

# Recall

- Symbol Table: Records list of “items” in this file that **may be used by other files**.
  - What are they?
    - » Labels: function calling
    - » Data: anything in the **.data** section; variables which may be accessed across files
- Relocation Table: List of “items” for which this file **needs the address later**.
  - What are they?
    - » Any label jumped to: **j** or **jal**
      - Internal
      - External (including **lib** files)
    - » Any piece of data
      - such as the **la** instruction

# Assembly step 2

Create relocation table and symbol table

- Symbol Table

Label	address (in module)	type
main:	0x00000000	global text
loop:	0x00000018	local text
str:	0x00000000	local data

- Relocation Information

Address	Instr. type	Dependency
0x00000040	lui	l.str
0x00000044	ori	r.str
0x0000004c	jal	printf

# Assembly step 3

## Resolve local PC-relative labels

```
00 addiu $29, $29, -32
04 sw    $31, 20($29)
08 sw    $4, 32($29)
0c sw    $5, 36($29)
10 sw    $0, 24($29)
14 sw    $0, 28($29)
18 lw    $14, 28($29)
1c multu $14, $14
20 mflo  $15
24 lw    $24, 24($29)
28 addu  $25, $24, $15
2c sw    $25, 24($29)
```

```
30 addiu $8, $14, 1
34 sw    $8, 28($29)
38 slti  $1, $8, 101
3c bne   $1, $0, -10
40 lui   $4, l.str
44 ori   $4, $4, r.str
48 lw    $5, 24($29)
4c jal   printf
50 add   $2, $0, $0
54 lw    $31, 20($29)
58 addiu $29, $29, 32
5c jr    $31
```

## Assembly step 4

- Generate object (.o) file:
  - Output binary representation for
    - » text segment (instructions),
    - » data segment (data),
    - » symbol and relocation tables.
  - Using dummy “placeholders” for unresolved absolute and external references.

# Text segment in object file

0x000000	001001111011110111111111111101000
0x000004	1010111110111111000000000000010100
0x000008	1010111110100100000000000000100000
0x00000c	10101111101001010000000000000100100
0x000010	10101111101000000000000000000011000
0x000014	101011111010000000000000000000011100
0x000018	100011111010111000000000000000011100
0x00001c	100011111011100000000000000000011000
0x000020	0000000111001110000000000000011001
0x000024	00100101110010000000000000000000001
0x000028	001010010000000010000000000001100101
0x00002c	101011111010100000000000000000011100
0x000030	0000000000000000000001111000000010010
0x000034	00000001100000111111001000000100001
0x000038	0001010000010000001111111111110111
0x00003c	10101111101110010000000000000011000
0x000040	001111000000001000000000000000000000
0x000044	100011111010010100000000000000000000
0x000048	0000110000001000000000000000011101100
0x00004c	001001000000000000000000000000000000
0x000050	10001111101111110000000000000000010100
0x000054	0010011110111101000000000000000100000
0x000058	0000000111110000000000000000000001000
0x00005c	0000000000000000000000000000010000001

## Link 1: combine prog.o, libc.o

- Merge text/data segments
- Create absolute memory addresses
- Modify & merge symbol and relocation tables
- Symbol Table

– Label	Address
main:	0x00000000
loop:	0x00000018
str:	0x10000430
printf:	0x000003b0 ...

- Relocation Information

– Address	Instr. Type	Dependency
0x00000040	lui	l.str
0x00000044	ori	r.str
0x0000004c	jal	printf ...



## Link step 2:

### Edit Addresses in relocation table

- (shown in TAL for clarity, but done in binary )

```
00 addiu    $29,$29,-32
04 sw       $31,20($29)
08 sw       $4, 32($29)
0c sw       $5, 36($29)
10 sw       $0, 24($29)
14 sw       $0, 28($29)
18 lw       $14, 28($29)
1c multu    $14,$14
20 mflo     $15
24 lw       $24, 24($29)
28 addu     $25,$24,$15
2c sw       $25, 24($29)
```

```
30 addiu    $8,$14, 1
34 sw       $8,28($29)
38 slti     $1,$8, 101
3c bne      $1,$0, -10
40 lui      $4, 0x1000
44 ori      $4,$4, 0x0430
48 lw       $5,24($29)
4c jal      0x000003b0
50 add      $2,$0,$0
54 lw       $31,20($29)
58 addiu    $29,$29,32
5c jr       $31
```

## Link step 3:

- Output executable of merged modules.
  - Single text (instruction) segment
  - Single data segment
  - Header detailing size of each segment
- **NOTE:**
  - The preceding example was a much simplified version of how ELF and other standard formats work, meant only to demonstrate the basic principles.

## Things to Remember (1/2)

- Compiler converts a single HLL file into a single assembly language file.
- Assembler removes pseudo-instructions, converts what it can to machine language, and creates a checklist for the linker (relocation table). A .s file becomes a .o file.
  - Does 2 passes to resolve addresses, handling internal forward references
- Linker combines several .o files and resolves absolute addresses.
  - Enables separate compilation, libraries that need not be compiled, and resolves remaining addresses
- Loader loads executable into memory and begins execution.

## Things to Remember (2/2)

- ***Stored Program*** concept is very powerful. It means that instructions sometimes act just like data.
  - Therefore, we can use programs to manipulate other programs!
- Compiler → Assembler → Linker (→ Loader)

# Quiz

Which of the following instructions may need to be edited during link phase?

```
Loop:    lui    $at, 0xABCD  
         ori    $a0, $at, 0xFEDC } #1  
         bne    $a0, $v0, Loop      #2
```

# Quiz

Which of the following instructions may need to be edited during link phase?

```
Loop:    lui    $at, 0xABCD  
         ori    $a0, $at, 0xFEDC } #1  
         bne    $a0, $v0, Loop      #2
```

# 1: data reference; relocate

Yes

# 2: PC-relative branch; OK

No