

A proof of $|A^A| = |2^A|$ using Zorn's Lemma

Xinyu Mao

March 8, 2020

Theorem 1 Let A be an infinite set, then $|A^A| = |2^A|$.

To validate Theorem 1, the following theorem is of great importance.

Theorem 2 Let A be an infinite set, then $|A \times A| = |A|$.

Proof. Let

$$\mathcal{F} = \{f \in X^{(X \times X)} : X \subseteq A, f \text{ is a bijection}\}.$$

Note that a function from A to B can be viewed as a subset of $A \times B$, and thus $\mathcal{F} \subset \mathcal{P}(A \times A \times A)$, where $\mathcal{P}(\cdot)$ is the power set of some set. In the following argument, for function f , $\text{range}(f) := \{y : \exists x f(x) = y\}$

Now we check that the poset (\mathcal{F}, \subset) satisfies the condition of *Zorn's lemma*. First, $\mathcal{F} \neq \emptyset$ since $\emptyset \in \mathcal{F}$, and it remains to show that *all chains in \mathcal{F} are closed*. Let \mathcal{C} be a chain in \mathcal{F} . Clearly, $\phi := \bigcup_{f \in \mathcal{C}} f$ is also a function. Since every $f \in \mathcal{C}$ is bijection by definition, say $Y := \text{range}(\phi) \subseteq A$, ϕ is a bijection from $Y \times Y$ to Y , and thus $\phi \in \mathcal{F}$. In another word, ϕ is an upper bound of \mathcal{C} in \mathcal{F} .

By *Zorn's lemma*, there is a maximal element in \mathcal{F} , which is denoted by ψ . Let $U = \text{range}(\psi)$, we shall show that $A \setminus U$ is finite. Assume that $A \setminus U$ is infinite, then there is a countable subset of $A \setminus U$, say V . We know that there is a bijection $\sigma : V \times V \rightarrow V$. By definition, $\sigma \in \mathcal{F}$. Note that the domains of ψ and σ have no

intersection, and hence $\psi \subset \psi \cup \sigma \in \mathcal{F}$, which is in contradiction with the maximality of ψ . Since ψ is a bijection from $U \times U$ to U , we conclude that $|A \times A| = |U \times U| = |U| = |A|$. \square

Proof of Theorem 1. By Theorem 2 we establish $|A \times A| = |A|$, and hence

$$|A^A| \leq |\mathcal{P}(A \times A)| = |\mathcal{P}(A)| = |2^A|.$$

On the other hand, choose $a, b \in A$ arbitrarily, then a injection from 2^A to A^A is given by

$$\phi : 2^A \rightarrow A^A, f \mapsto f' \text{ where } f'(x) = \begin{cases} a, & \text{if } f(x) = 0, \\ b, & \text{if } f(x) = 1. \end{cases}$$

Hence, $|2^A| \leq |A^A|$, completing the proof. \square

Remark The main idea of the first proof comes from [董 88]. I love this proof for it only uses *Zorn's lemma* and the basic conception of set. Other proofs of Theorem 2 is based on the rigorous definition of *ordinal* and *cardinality*, such as the one in [李 19].

Reference

- [李 19] 李文威. 代数学方法（第一卷）. 高等教育出版社, 2019.
- [董 88] 董延闯. 基础集合论. 北京师范大学出版社, 1988.