

# MATH50003 (2022–23)

## Problem Sheet 1

This problem sheet tests the representation of numbers on the computer, using modular and floating point arithmetic.

Please complete the problems using pen-and-paper, though some can be verified using Julia.

**Problem 1** With 8-bit signed integers, what are the bits for the following: 10, 120,  $-10$ .

**SOLUTION** We can find the binary digits by repeatedly subtracting the largest power of 2 less than a number until we reach 0, e.g.  $10 - 2^3 - 2 = 0$  implies  $10 = (1010)_2$ . Thus the bits are:

```
In [1]: using ColorBitstring
printlnbits(Int8(10))
```

00001010

Similarly,

$$120 = 2^6 + 2^5 + 2^4 + 2^3 = (1111000)_2$$

Thus the bits are (meant to be deduced by hand but we use Julia to confirm):

```
In [2]: printlnbits(Int8(120))
```

01111000

For negative numbers we perform the same trick but adding  $2^p$  to make it positive, e.g.,

$$-10 = 2^8 - 10(\text{mod } 2^8) = 246 = 2^7 + 2^6 + 2^5 + 2^4 + 2^2 + 2 = (11110110)_2$$

Thus the bits are:

```
In [3]: printlnbits(Int8(-10))
```

11110110

**END**

**Problem 2** What is  $\pi$  to 5 binary places? Hint: recall that  $\pi \approx 3.14$ .

**SOLUTION** Note that

```
In [4]: 3 + 1/8 + 1/64
```

```
Out[4]: 3.140625
```

which has the binary representation  $(11.001001)_2$ . Indeed:

```
In [5]: printbits(Float16( $\pi$ ))
```

0100001001001000

Instead of simply guessing the above representation we can instead continuously subtract the largest powers 2 which do not result in a negative number. For  $\pi$  the procedure then finds that we can write

$$\pi - 1 * 2^1 - 1 * 2^0 - 1 * 2^{-3} - 1 * 2^{-6} \dots$$

**END**

**Problem 3** What are the single precision  $F_{32}$  ( Float32 ) floating point representations for the following:

$$2, 31, 32, 23/4, (23/4) \times 2^{100}$$

**SOLUTION** Recall that we have  $\sigma, Q, S = 127, 8, 23$ . Thus we write

$$2 = 2^{128-127} * (1.000000000000000000000000)_2$$

The exponent bits are those of

$$128 = 2^7 = (10000000)_2$$

Hence we get

```
In [6]: printlnbits(2f0)
```

01000000000000000000000000000000

We write

$$31 = (11111)_2 = 2^{131-127} * (1.1111)_2$$

And note that  $131 = (10000011)_2$  Hence we have:

```
In [7]: printlnbits(31f0)
```

01000001111100000000000000000000

On the other hand,

$$32 = (100000)_2 = 2^{132-127}$$

and  $132 = (10000100)_2$  hence:

```
In [8]: printlnbits(32f0)
```

01000010000000000000000000000000

Note that

$$23/4 = 2^{-2} * (10111)_2 = 2^{129-127} * (1.0111)_2$$

and  $129 = (10000001)_2$  hence we get:

```
In [9]: printlnbits(23f0/4)
```

01000000101110000000000000000000

Finally,

$$23/4 * 2^{100} = 2^{229-127} * (1.0111)_2$$

and  $229 = (11100101)_2$  giving us:

```
In [10]: printlnbits(23f0/4 * 2f0^100)
```

01110010101110000000000000000000

**END**

**Problem 4** Let  $m(y) = \min\{x \in F_{32} : x > y\}$  be the smallest single precision number greater than  $y$ . What is  $m(2) - 2$  and  $m(1024) - 1024$ ?

**SOLUTION** The next float after 2 is  $2 * (1 + 2^{-23})$  hence we get  $m(2) - 2 = 2^{-22}$ :

```
In [11]: nextfloat(2f0) - 2, 2^(-22)
```

```
Out[11]: (2.3841858f-7, 2.384185791015625e-7)
```

similarly, for  $1024 = 2^{10}$  we find that the difference  $m(1024) - 1024$  is  $2^{10-23} = 2^{-13}$ :

```
In [12]: nextfloat(1024f0) - 1024, 2^(-13)
```

```
Out[12]: (0.00012207031f0, 0.0001220703125)
```

**END**

**Problem 5** Suppose  $x = 1.25$  and consider 16-bit floating point arithmetic ( $F_{16}$ ). What is the error in approximating  $x$  by the nearest float point number  $\text{fl}(x)$ ? What is the error in approximating  $2x$ ,  $x/2$ ,  $x + 2$  and  $x - 2$  by  $2 \otimes x$ ,  $x \oslash 2$ ,  $x \oplus 2$  and  $x \ominus 2$ ?

**SOLUTION** None of these computations have errors since they are all exactly representable as floating point numbers. **END**

**Problem 6** For what floating point numbers is  $x \oslash 2 \neq x/2$  and  $x \oplus 2 \neq x + 2$ ?

**SOLUTION**

Consider a normal  $x = \pm 2^{q-\sigma} (1.b_1 \dots b_S)_2$ . Provided  $q > 1$  we have

$$x \oslash 2 = x/2 = \pm 2^{q-\sigma-1} (1.b_1 \dots b_S)_2$$

However, if  $q = 1$  we lose a bit as we shift:

$$x \oslash 2 = \pm 2^{1-\sigma} (0.b_1 \dots b_{S-1})_2$$

and the property will be satisfy if  $b_S = 1$ . Similarly if we are sub-normal,  $x = \pm 2^{1-\sigma} (0.b_1 \dots b_S)_2$  and we have

$$x \oslash 2 = \pm 2^{1-\sigma} (0.0b_1 \dots b_{S-1})_2$$

and the property will be satisfy if  $b_S = 1$ . (Or NaN.)

Here are two examples:

```
In [13]: # normal number with q = 1 and last bit 1
x = reinterpret(Float16, 0b0000010000000011)
@test x/2 ≠ Float64(x)/2 # Float64 can exactly represent x/2
# normal number with q = 1 and last bit 0
x = reinterpret(Float16, 0b0000010000000010)
@test x/2 == Float64(x)/2
# sub-normal number with q = 1 and last bit 1
x = reinterpret(Float16, 0b0000000000000011)
@test x/2 ≠ Float64(x)/2 # Float64 can exactly represent x/2
# sub-normal number with q = 1 and last bit 0
x = reinterpret(Float16, 0b0000000000000010)
@test x/2 == Float64(x)/2 # Float64 can exactly represent x/2
```

Out[13]: **Test Passed**

For the second part, first assume  $x > 0$  and write

$$x = 2^j (1.b_1 \dots b_S)_2$$

Lets begin with the case  $j = 0$ . We then get:

$$2 \oplus x = \text{fl}(2(1.1b_1 \dots b_S)_2) = 2 + x$$

if and only if  $b_S = 0$ :

```
In [14]: x = reinterpret(Float16, 0b0011110000000010) # b_S = 0
@test x+2 == Float64(x)+2
x = reinterpret(Float16, 0b0011110000000011) # b_S = 1
@test x+2 ≠ Float64(x)+2
```

Out[14]: **Test Passed**

If  $j < 0$  then we have

$$2 \otimes x = \text{fl}(2(1. \underbrace{0 \dots 0}_{-j \text{ zeros}} 1b_1 \dots b_S)_2)$$

hence we need  $b_{S-j} = \dots = b_S = 0$ :

```
In [15]: # this has j = -1
x = reinterpret(Float16, 0b0011100000000100) # b_S = B_{S-1} = 0
@test x+2 == Float64(x)+2
x = reinterpret(Float16, 0b0011100000000110) # b_S = 0, B_{S-1} ≠ 0
@test x+2 ≠ Float64(x)+2
```

Out [15]: **Test Passed**

If  $j = 1$  then  $b_S = 0$  iff  $x \oplus 2 = x$  as we are incrementing the exponent and shifting the significand. If  $1 < j < S + 2$  then  $b_k = 0$  for some  $1 \leq k \leq j - 1$  implies that  $x \oplus 2 = x$ . Otherwise if  $b_1 = \dots = b_{j-1} = 1$  then  $b_S = 0$  iff  $x \oplus 2 = x$  as we are incrementing the exponent and shifting the significand. If  $j \geq S + 2$  then

$$x + 2 = 2^j(1.b_1 \dots b_S \underbrace{0 \dots 0}_{j-S-2 \text{ times}} 10)_2$$

and when rounded the trailing 10 will be removed. (Of course `Inf + 2 == Inf` and `NaN + 2 == NaN` as well.)

Now consider  $x < 0$  and it helps to write  $2 = (1.111111\dots)_2$ . If  $j = 0$  define  $\bar{b}_k = 1 - b_k$  (that is, 1 if  $b_k = 0$  and 0 otherwise.) Then we have

$$2 + x = (0.\bar{b}_1 \dots \bar{b}_S 1111\dots)_2 = (0.\bar{b}_1 \dots \bar{b}_S)_2 + 2^{-S} \in F$$

as we have at most  $S$  non-zero bits after the first decimal point. If  $j = -1$  we have

$$2 + x = 1.0\bar{b}_1 \dots \bar{b}_S 1111\dots = (1.0\bar{b}_1 \dots \bar{b}_S)_2 + 2^{-1-S}$$

and  $\bar{b}_S = 1$  (i.e.  $b_S = 0$ ) iff  $2 + x = 2 \oplus x$  (since then the last bit is zero). Similarly, if  $j < -1$  we have

$$2 + x = 1. \underbrace{1 \dots 1}_{-j-1 \text{ times}} 0\bar{b}_1 \dots \bar{b}_S 1111\dots = (1. \underbrace{1 \dots 1}_{-j-1 \text{ times}} 0\bar{b}_1 \dots \bar{b}_S)_2 + 2^{-j-S}$$

and  $\bar{b}_{S+j+1} = \dots = \bar{b}_S = 1$  (i.e.  $b_{S+j+1} = \dots = b_S = 0$ ) iff  $2 + x = 2 \oplus x$ . For  $j = 1$  we have

$$2 \oplus x = -\text{fl}((1b_1.b_2 \dots b_S)_2 - (10)_2) = -\text{fl}(b_1.b_2 \dots b_S)_2 = -b_1.b_2 \dots b_S = 2 + x.$$

For  $j = 2$  and  $b_1 = 1$  we have

$$2 \oplus x = -\text{fl}((11b_2.b_3 \dots b_S)_2 - (10)_2) = (10b_2.b_3 \dots b_S)_2 = 2 + x$$

where if  $b_1 = 0$  we have

$$2 \oplus x = -\text{fl}((10b_2.b_3 \dots b_S)_2 - (10)_2) = (1b_2.b_3 \dots b_S)_2 = 2 + x.$$

By similar arguments, whenever  $2 \leq j \leq S + 1$  we have  $2 \oplus x = 2 + x$ . If  $j = S + 2$  the only case where  $2 \oplus x = 2 + x$  is if  $x = 2^{S+2}$ :

$$2 \oplus x = -\text{fl}((1 \underbrace{0 \dots 0}_{S \text{ zeros}} 00)_2 - (10)_2) = -\text{fl}((\underbrace{1 \dots 1}_{S-1 \text{ ones}} 10)_2) = 2^{S+2} (1 \underbrace{.1 \dots 1}_S)_2 = 2 + x$$

If  $j \geq S + 3$  we then have  $2 \oplus x \neq 2 + x$ .

Finally, if  $x = 0$  then  $x \oplus 2 = \text{fl}(2) = 2$ .

**END**

**Problem 7** What are the exact bits for  $1 \oslash 5$ ,  $1 \oslash 5 \oplus 1$  computed using half-precision arithmetic ( `Float16` ) (using default rounding)?

**SOLUTION**

From Problem 2.1 in Lab 2 we know that

$$1/5 = 2^{-3} * (1.10011001100\dots)_2 \approx 2^{-3} * (1.1001100110)_2$$

where the  $\approx$  is rounded to the nearest 10 bits (in this case rounded down). This can be shown using Geometric series:

$$\begin{aligned} (0.00110011001100\dots)_2 &= (2^{-3} + 2^{-4})(1.00010001000\dots)_2 = (2^{-3} + 2^{-4}) \sum_{k=0}^{\infty} \frac{1}{16^k} \\ &= \frac{2^{-3} + 2^{-4}}{1 - \frac{1}{2^4}} = \frac{3}{15} = \frac{1}{5} \end{aligned}$$

We write  $-3 = 12 - 15$  hence we have  $q = 12 = (01100)_2$ . so we get the bits:

```
In [16]: printbits(Float16(1)/5)
```

```
0011001001100110
```

Adding `1` we get:

$$1 + 2^{-3} * (1.1001100110)_2 = (1.001100110011)_2 \approx (1.0011001101)_2$$

Here we write the exponent as  $0 = 15 - 15$  where  $q = 15 = (01111)_2$ . Thus we get:

```
In [17]: printbits(1 + Float16(1)/5)
```

```
0011110011001101
```

**END**

**Problem 8** Explain why the following does not return `1`. Can you compute the bits explicitly?

```
In [18]: Float16(0.1) / (Float16(1.1) - 1)
```

```
Out[18]: Float16(1.004)
```

**SOLUTION** Note that

$$\frac{1}{10} = \frac{1}{2} \frac{1}{5} = 2^{-4} * (1.10011001100\dots)_2$$

hence we have

$$\text{fl}\left(\frac{1}{10}\right) = 2^{-4} * (1.1001100110)_2$$

and

$$\text{fl}\left(1 + \frac{1}{10}\right) = \text{fl}(1.0001100110011\dots) = (1.0001100110)_2$$

Thus

$$\text{fl}(1.1) \ominus 1 = (0.0001100110)_2 = 2^{-4}(1.1001100000)_2$$

and hence we get

$$\text{fl}(0.1) \oslash (\text{fl}(1.1) \ominus 1) = \text{fl}\left(\frac{(1.1001100110)_2}{(1.1001100000)_2}\right) \neq 1$$

To compute the bits explicitly, write  $y = (1.10011)_2$  and divide through to get:

$$\frac{(1.1001100110)_2}{(1.10011)_2} = 1 + \frac{2^{-8}}{y} + \frac{2^{-9}}{y}$$

We then have

$$y^{-1} = \frac{32}{51} = 0.627\dots = (0.101\dots)_2$$

Hence

$$1 + \frac{2^{-8}}{y} + \frac{2^{-9}}{y} = 1 + (2^{-9} + 2^{-11} + \dots) + (2^{-10} + \dots) = (1.00000000111\dots)_2$$

Therefore we round up (the  $\dots$  is not exactly zero but if it was it would be a tie and we would round up anyways to get a zero last bit) and get:

```
In [19]: printlnbits(Float16(0.1) / (Float16(1.1) - 1))
0011110000000100
```

**END**

**Problem 9** Find a bound on the *absolute error* in terms of a constant times machine epsilon  $\epsilon_m$  for the following computations

$$\frac{(1.1 * 1.2) + 1.3}{(1.1 - 1)/0.1}$$

implemented using floating point arithmetic (with any precision). That is, each number is rounded first using fl and each operation is replaced by its floating point analogues  $\oplus, \otimes, \ominus, \oslash$ .

### SOLUTION

The first problem is very similar to what we saw in lecture. Write

$$(\text{fl}(1.1) \otimes \text{fl}(1.2)) \oplus \text{fl}(1.3) = (1.1(1 + \delta_1)1.2(1 + \delta_2)(1 + \delta_3) + 1.3(1 + \delta_4))(1 + \delta_5)$$

We first write

$$1.1(1 + \delta_1)1.2(1 + \delta_2)(1 + \delta_3) = 1.32(1 + \delta_6)$$

where

$$|\delta_6| \leq |\delta_1| + |\delta_2| + |\delta_3| + |\delta_1||\delta_2| + |\delta_1||\delta_3| + |\delta_2||\delta_3| + |\delta_1||\delta_2||\delta_3| \leq 4\epsilon_m$$

Then we have

$$1.32(1 + \delta_6) + 1.3(1 + \delta_4) = 2.62 + \underbrace{1.32\delta_6 + 1.3\delta_4}_{\delta_7}$$

where

$$|\delta_7| \leq 7\epsilon_m$$

Finally,

$$(2.62 + \delta_7)(1 + \delta_5) = 2.62 + \underbrace{\delta_7 + 2.62\delta_5 + \delta_7\delta_5}_{\delta_8}$$

where

$$|\delta_8| \leq 10\epsilon_m$$

For the second part, we do:

$$(\text{fl}(1.1) \ominus 1) \oslash \text{fl}(0.1) = \frac{(1.1(1 + \delta_1) - 1)(1 + \delta_2)}{0.1(1 + \delta_3)}(1 + \delta_4)$$

Write

$$\frac{1}{1 + \delta_3} = 1 + \delta_5$$

where



$$|\delta_5| \leq \left| \frac{\delta_3}{1 + \delta_3} \right| \leq \frac{\epsilon_m}{2} \frac{1}{1 - 1/2} \leq \epsilon_m$$

using the fact that  $|\delta_3| < 1/2$ . Further write

$$(1 + \delta_5)(1 + \delta_4) = 1 + \delta_6$$

where

$$|\delta_6| \leq |\delta_5| + |\delta_4| + |\delta_5||\delta_4| \leq 2\epsilon_m$$

We also write

$$\frac{(1.1(1 + \delta_1) - 1)(1 + \delta_2)}{0.1} = 1 + \underbrace{11\delta_1 + \delta_2 + 11\delta_1\delta_2}_{\delta_7}$$

where

$$|\delta_7| \leq 12\epsilon_m$$

Then we get

$$(\text{fl}(1.1) \ominus 1) \oslash \text{fl}(0.1) = (1 + \delta_7)(1 + \delta_6) = 1 + \delta_7 + \delta_6 + \delta_6\delta_7$$

and the error is bounded by:

$$(12 + 2 + 34)\epsilon_m = 48\epsilon_m$$

This is quite pessimistic but still captures that we are on the order of  $\epsilon_m$ .

**END**