

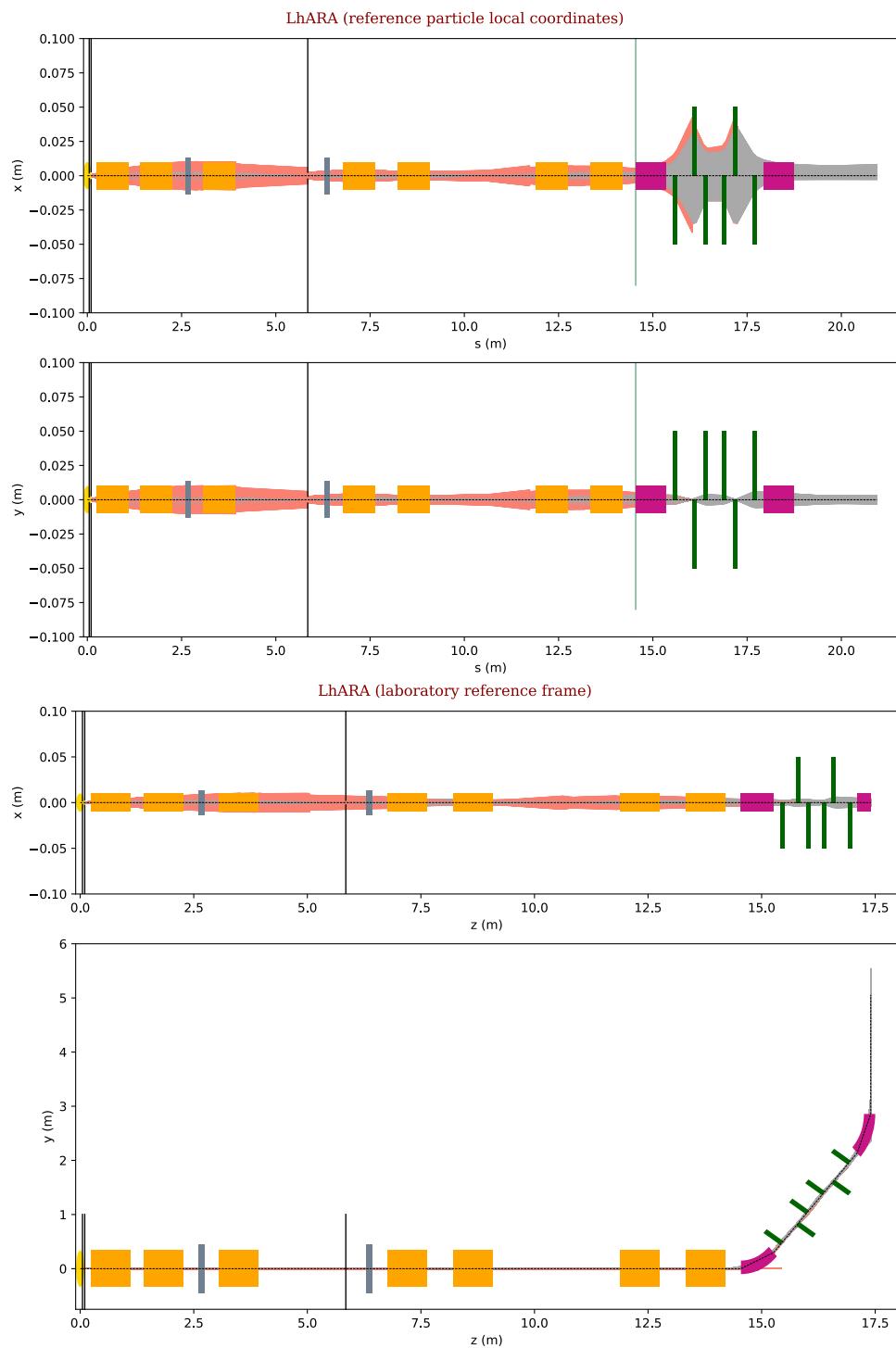
## LhARA linear optics documentation

N. Dover<sup>1</sup>, K.R. Long<sup>1,2</sup>, J. McGarrigle<sup>1,3</sup>, M. Maxouti<sup>1,2</sup>, R. Razak<sup>1</sup>, Z. Sadhur<sup>1</sup>, N. Zakhir<sup>1</sup>

<sup>1</sup> John Adams Institute, Imperial College London, Exhibition Road, London, SW7 2AZ, UK

<sup>2</sup> STFC Rutherford Appleton Laboratory, Harwell Oxford, Didcot, OX11 0QX, UK

<sup>3</sup> Institut Curie-Orsay Research Center, Bat a Campus d'Orsay, 91400 Orsay, France



# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Coordinate systems</b>	<b>1</b>
2.1	Laboratory coordinate system . . . . .	1
2.2	Reference particle local coordinate system . . . . .	1
2.3	Transforming to and from reference particle local coordinates to laboratory coordinates . . . . .	2
<b>3</b>	<b>Phase space, trace space, beam parameters</b>	<b>3</b>
3.1	Phase space . . . . .	4
3.2	Trace space . . . . .	4
3.3	Beam parameters . . . . .	4
<b>4</b>	<b>Transfer matrices</b>	<b>5</b>
4.1	Drift . . . . .	6
4.2	Quadrupole . . . . .	6
4.3	Solenoid . . . . .	7
4.4	Non-neutral (electron) plasma (Gabor) lens . . . . .	7
4.5	Dipole . . . . .	8
4.6	Cylindrical cavity . . . . .	9
<b>5</b>	<b>Source</b>	<b>11</b>
5.1	Energy distribution . . . . .	11
5.2	Angular Distribution . . . . .	15
5.3	Spatial distribution . . . . .	15
5.4	Simulated distributions . . . . .	15
<b>6</b>	<b>Beam-line specification and scripts</b>	<b>18</b>
6.1	Beam-line specification . . . . .	18
6.2	Scripts . . . . .	19
	<b>Acknowledgements</b>	<b>20</b>
	<b>References</b>	<b>21</b>
<b>A</b>	<b>Module, class and data structures</b>	<b>22</b>
A.1	BeamLine . . . . .	23
A.2	Particle and ReferenceParticle . . . . .	28
A.3	Beam and extrapolateBeam . . . . .	35
A.4	BeamLineElement . . . . .	41
A.5	UserFramework . . . . .	61
A.6	visualise . . . . .	62
A.7	BeamIO . . . . .	67
A.8	Simulation . . . . .	68
A.9	Physical constants . . . . .	70
A.10	Report . . . . .	73
A.11	LaTeX . . . . .	74

<b>B Set-up and run</b>	<b>76</b>
B.1 Introduction . . . . .	76
B.2 Getting the code . . . . .	76
B.3 Dependencies and required packages . . . . .	76
B.4 Unpacking the code, directories, and running the tests . . . . .	76
B.5 Running the code . . . . .	77
B.6 User framework . . . . .	77

# 1 Introduction

The LhARA [1, 2] linear optics package was written to allow rapid calculations to initiate more detailed studies of the LhARA beam lines and for use as a tool to check issues as they arise. The package has been written in Python so that it is accessible and can readily be updated, modified and maintained. At present the code treats proton beams only.

This document presents the approximations and notation used and summarises the module, class and data structures that have been adopted.

## 2 Coordinate systems

### 2.1 Laboratory coordinate system

The origin of the LhARA coordinate system, the “laboratory coordinate system” or “laboratory reference frame”, is at the position of the laser focus at the laser-target interaction point [3]. The  $z$  axis is horizontal and parallel to the nominal capture axis, pointing in the downstream direction. The  $y$  axis points vertically upwards and the  $x$  axis completes a right-handed orthogonal coordinate system.

Unit vectors along the  $x$ ,  $y$  and  $z$  axes are  $\mathbf{i}$ ,  $\mathbf{j}$  and  $\mathbf{k}$  respectively. The position of the reference particle as well as its momentum and energy are described as functions of the distance it has travelled from the origin of coordinates. The distance the reference particle has travelled is  $s$ , making the position,  $\mathbf{r}_0$ , momentum,  $\mathbf{p}_0$ , and energy,  $E_0$ , of the reference particle functions of  $s$ :

$$\begin{aligned}\mathbf{r}_0 &= \mathbf{r}_0(s); \\ \mathbf{p}_0 &= \mathbf{p}_0(s); \text{ and} \\ E_0 &= E_0(s).\end{aligned}\tag{1}$$

The magnitude of the reference particle velocity is  $v_0$  and the relativistic parameters that determine the reference particle energy and momentum are:

$$\begin{aligned}\beta_0 &= \frac{v_0}{c}; \text{ and} \\ \gamma_0 &= \frac{1}{\sqrt{1 - \beta_0^2}};\end{aligned}$$

where  $c$  is the speed of light. The time,  $t$ , at which the reference particle is at  $s$  is also a function of  $s$ :

$$t = t(s) = \frac{s}{v_0} = \frac{s}{c p_0};\tag{2}$$

where  $p_0 = |\mathbf{p}_0|$ .

### 2.2 Reference particle local coordinate system

A coordinate system defined relative to the position of the reference particle, the “reference particle local coordinate” (RPLC) system, may be defined using the direction in which the particle is travelling. The position of the particle defines the origin of the RPLC system, see figure 1. The tangent to the reference particle trajectory at  $s$  defines the  $z_r$  axis with unit vector  $\mathbf{k}_r$ . In the laboratory frame, the presence of local electric or magnetic fields may cause the reference particle’s trajectory to change. In the neighbourhood of the particle, the curved trajectory may be described in terms of an arc of a circle. The  $x_r$  axis (with unit vector  $\mathbf{i}_r$ ) is then taken to be in the direction pointing away from the centre of the circle. The third coordinate axis,  $y_r$ , is defined

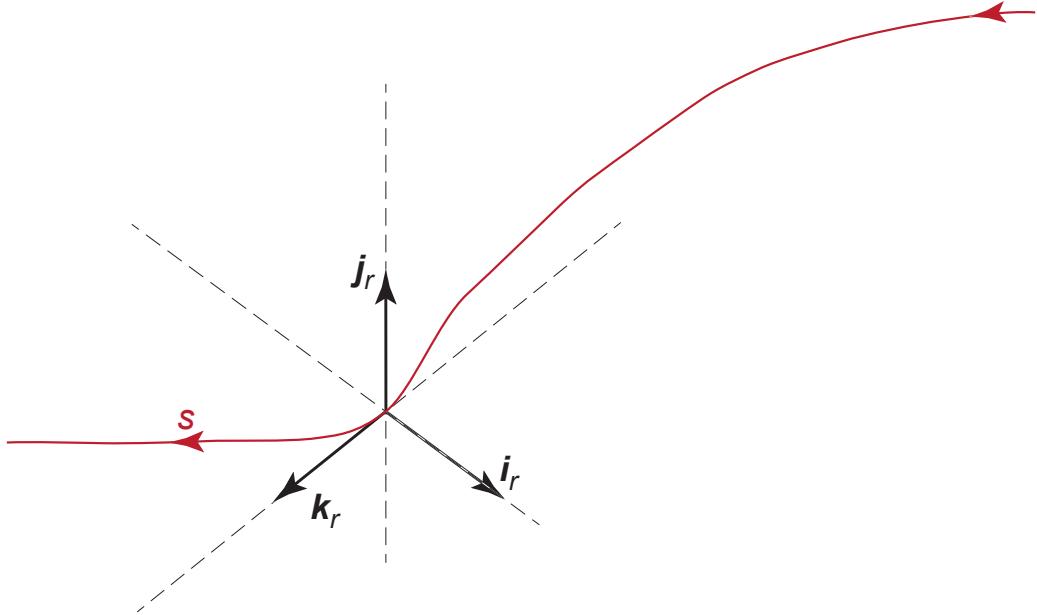


Figure 1: Reference particle local coordinate system. The trajectory of the reference particle is shown as the red line. The distance the reference particle has travelled, measured from the origin of coordinates in the laboratory frame, is labelled  $s$ . The origin of the “reference particle local coordinate (RPLC) system is coincident with the position of the reference particle. The directions of unit vectors along each of three righthanded, orthogonal coordinate axes are shown as black arrows labelled  $i_r$ ,  $j_r$ , and  $k_r$ .

to complete the right-handed orthogonal coordinate system; the unit vector along the  $y_r$  axis being given by  $j_r = k_r \times i_r$ .

The trajectory of the reference particle is a straight line as it traverses a drift space and a variety of beam-line elements. Examples of such beam-line elements include solenoids and quadrupoles. The reference trajectory is also undeviated by passage through an accelerating cavity placed such that the accelerating field is parallel to the reference-particle trajectory.

The RPLC coordinate system at  $s = 0$  is taken to coincide with the laboratory coordinate system. Beam-line elements are placed sequentially along the trajectory of the reference particle. If necessary a coordinate transformation is performed to ensure that the RPLC system at the entrance to a particular beam-line element is consistent with the definition given above.

### 2.3 Transforming to and from reference particle local coordinates to laboratory coordinates

In the RPLC system, the trajectory of the reference particle,  $\mathbf{R}_0$ , is:

$$\mathbf{R}_0(s) = \mathbf{0}. \quad (3)$$

The position of a test particle in the RPLC frame,  $\mathbf{R}$ , is described with reference to the position of the reference particle. In the laboratory frame, the position of the test particle is:

$$\mathbf{r}(s) = \mathbf{r}_0(s) + \delta\mathbf{r}(s); \quad (4)$$

where:

$$\delta\mathbf{r}(s) = \underline{\mathbf{R}}(s)\mathbf{R}(s); \text{ and} \quad (5)$$

$\underline{\underline{R}}(s)$  is a rotation matrix that takes the RPLCs at  $s$  to the laboratory frame coordinates.

In the laboratory frame, the unit vectors  $\mathbf{i}_r$ ,  $\mathbf{j}_r$  and  $\mathbf{k}_r$  are given by:

$$\begin{aligned}\mathbf{i}_r &= \begin{pmatrix} i_{rx} \\ i_{ry} \\ i_{rz} \end{pmatrix}; \\ \mathbf{j}_r &= \begin{pmatrix} j_{rx} \\ j_{ry} \\ j_{rz} \end{pmatrix}; \text{ and} \\ \mathbf{k}_r &= \begin{pmatrix} k_{rx} \\ k_{ry} \\ k_{rz} \end{pmatrix}.\end{aligned}\tag{6}$$

The rotation matrix,  $\underline{\underline{R}}$ , may now be written:

$$\underline{\underline{R}}(s) = \begin{bmatrix} i_{rx} & j_{rx} & k_{rx} \\ i_{ry} & j_{ry} & k_{ry} \\ i_{rz} & j_{rz} & k_{rz} \end{bmatrix}.\tag{7}$$

### 3 Phase space, trace space, beam parameters

The motion of particles passing through an accelerator is most often described using classical Hamiltonian mechanics; quantum mechanics being required only in particular cases such as the description of spin polarisation in a storage ring. In classical Hamiltonian mechanics the equations of motion are solved to give the evolution of the position, momentum, and energy as functions of a single independent parameter. The independent parameter is often taken to be time.

Relativistic mechanics exploits four-vector position,  $\underline{\mathcal{R}} = (\mathbf{r}, ct)$ , and four-vector momentum,  $\underline{\mathcal{P}} = (c\mathbf{p}, E)$ . In the Hamiltonian description of particle dynamics, these four vectors become functions of the independent variable, i.e.  $\underline{\mathcal{R}} = \underline{\mathcal{R}}(t)$  and  $\underline{\mathcal{P}} = \underline{\mathcal{P}}(t)$ . In the laboratory system, the position of the reference particle along its trajectory is directly related to the time coordinate by  $t = c\beta_0 s$ . This allows  $s$  to be taken as the independent variable and for the motion of particles in the beam to be derived as functions of  $s$ .

The 6D phase-space coordinates of a particle as a function of  $s$  are given by the position and momentum three vectors. The particle energy may be determined from the invariant mass and the time coordinate from the invariant interval between the origin and the position represented by  $s$ .

The “trace-space” coordinates of a particle are defined relative to the reference particle. Usually, a beam is understood to contain particles which follow trajectories that differ rather little from that of the reference particle. Trace space is defined such that the position, “momentum”, and “energy” coordinates are small for particles which follow trajectories close to that of the reference particle. The utility of this approach is that trace-space coordinates may be used to perform Taylor expansions of the Hamiltonian which may readily be solved to yield a description of particle transport using functions that are linear in the trace-space coordinates.

The notation used for the 6D phase and trace spaces are defined in this section.

### 3.1 Phase space

The 6D phase-space vector is defined in terms of the three-vector position and three vector momentum as:

$$\begin{bmatrix} \mathbf{r} \\ \mathbf{p} \end{bmatrix} = \begin{bmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \\ \begin{pmatrix} p_x \\ p_y \\ p_z \end{pmatrix} \end{bmatrix} \quad (8)$$

The trajectory of the particle may be evaluated as a function of time or  $s$ .

### 3.2 Trace space

Trace space is defined to simplify the calculation of the trajectory of particles through the accelerator lattice and is derived from the phase space expressed in the RPLC frame. Consider a particle with position  $\mathbf{r}_{\text{RPLC}} = (x_{\text{RPLC}}, y_{\text{RPLC}}, z_{\text{RPLC}})$  and momentum  $\mathbf{p}_{\text{RPLC}} = (p_x_{\text{RPLC}}, p_y_{\text{RPLC}}, p_z_{\text{RPLC}})$ . Taking the magnitude of the momentum of the reference particle in the laboratory frame to be  $p_0$ , the trace-space coordinates are given by:

$$\underline{\phi} = \begin{pmatrix} x_{\text{RPLC}} \\ x'_{\text{RPLC}} \\ y_{\text{RPLC}} \\ y'_{\text{RPLC}} \\ z_{\text{RPLC}} \\ \delta_{\text{RPLC}} \end{pmatrix}; \quad (9)$$

where:

$$x'_{\text{RPLC}} = \frac{\partial x_{\text{RPLC}}}{\partial s} = \frac{cp_x_{\text{RPLC}}}{cp_0}; \quad (10)$$

$$y'_{\text{RPLC}} = \frac{\partial y_{\text{RPLC}}}{\partial s} = \frac{cp_y_{\text{RPLC}}}{cp_0}; \quad (11)$$

$$z_{\text{RPLC}} = \frac{s}{\beta_0} - ct = \frac{\Delta s}{\beta_0}; \text{ and} \quad (12)$$

$$\delta_{\text{RPLC}} = \frac{E}{cp_0} - \frac{1}{\beta_0} = \frac{\Delta E}{cp_0}. \quad (13)$$

Here  $\Delta s = s - s_0$  and  $\Delta E = E - E_0$ , where  $s_0$  and  $E_0$  are the reference particle position and energy respectively;  $E$  and  $s$  are the energy and position of a particular particle in the beam.

### 3.3 Beam parameters

The trace space vector,  $\underline{\phi}_i$ , of the  $i^{\text{th}}$  particle at a position along the beam line contains the variance of the particle coordinates with respect to those of the reference particle. For a sample containing  $N$  particles, the covariance matrix,  $\underline{\underline{C}}_6$ , may therefore be obtained by evaluating:

$$\underline{\underline{C}}_6 = \frac{1}{N} \sum_i^N \underline{\phi}_i \underline{\phi}_i^T = \langle \underline{\phi} \underline{\phi}^T \rangle; \quad (14)$$

where the notation  $\langle \rangle$  is used to denote evaluating the expectation value. The RMS emittance of the beam in all 6 trace-space dimensions is then given by:

$$\varepsilon_6 = \sqrt[6]{|\underline{\underline{C}}_6|}. \quad (15)$$

In an analogous notation, the four-dimensional transverse trace space  $(x, x', y, y')$  may be used to define the four-dimensional covariance matrix  $\underline{\underline{C}}_4$ , which, in turn, can be used to evaluate the four-dimensional transverse emittance:

$$\varepsilon_4 = \sqrt[4]{|\underline{\underline{C}}_4|} . \quad (16)$$

The size of the beam in the two “transverse planes”  $(x, x')$  and  $(y, y')$  is contained in two,  $2 \times 2$ , submatrices of  $\underline{\underline{C}}_6$ ,  $\underline{\underline{C}}_x$  and  $\underline{\underline{C}}_y$ . If the  $x$  and  $y$  coordinates are to be taken as uncoupled, then the emittance of the  $(x, x')$  and  $(y, y')$  trace space may be obtained using:

$$\varepsilon_x = \sqrt{|\underline{\underline{C}}_x|} \text{ and} \quad (17)$$

$$\varepsilon_y = \sqrt{|\underline{\underline{C}}_y|} . \quad (18)$$

With  $u = x$  or  $y$ , the area of the trace space ellipse is given by  $\pi\varepsilon_u$  and the Twiss parameters,  $\alpha_u$ ,  $\beta_u$ , and  $\gamma_u$  are given by:

$$\sigma_u^2 = \langle u^2 \rangle = \beta_u \varepsilon_u ; \quad (19)$$

$$\langle u'^2 \rangle = \gamma_u \varepsilon_u : \text{and} \quad (20)$$

$$\langle uu' \rangle = -\alpha_u \varepsilon_u ; \quad (21)$$

where:

$$\beta_u \gamma_u - \alpha_u^2 = 1 . \quad (22)$$

## 4 Transfer matrices

A beam line may be described as a series of beam-line elements arranged one after the other. A particle may then be transported through the beam line by transporting it through each element in turn. Taking advantage of the trace-space defined above, the transport of a particle across a particular beam-line element may be performed using a linear transformation:

$$\underline{\phi}_{\text{end}} = \underline{\underline{T}} \underline{\phi}_{\text{start}} ; \quad (23)$$

where  $\underline{\phi}_{\text{start}}$  is the trace-space vector at the start of the beam-line element and  $\underline{\phi}_{\text{end}}$  is the transformed trace-space vector at the end. The step across the beam-line element implies an increment,  $\delta s$ , to the  $s$ -coordinate given by:

$$s_{\text{end}} = s_{\text{start}} + \delta s ; \quad (24)$$

where  $s_{\text{start}}$  and  $s_{\text{end}}$  are the coordinate along the reference particle trajectory at the start and end of the beam-line element respectively. Equation 14 implies that the covariance matrix may be transported across a beam-line element using the expression:

$$\underline{\underline{C}}_{6\text{end}} = \underline{\underline{T}} \underline{\underline{C}}_{6\text{start}} \underline{\underline{T}}^T . \quad (25)$$

There are many excellent descriptions of the derivation of the transfer matrices,  $\underline{\underline{T}}$ , so only the results are quoted here. The notation used below is developed from that used in [4].

## 4.1 Drift

A “drift” space refers to a region in which the beam propagates in the absence of any electromagnetic fields. In a drift, particles propagate in straight lines, therefore:

$$\underline{\underline{T}}_{\text{drift}} = \begin{pmatrix} 1 & l & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & l & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_0^2 \gamma_0^2} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}; \quad (26)$$

where  $l$  is the length of the drift. The increment in the reference particle position is:

$$\delta s = l. \quad (27)$$

## 4.2 Quadrupole

The passage of a beam particle through a quadrupole magnet may be described by specifying the field gradient,  $g$ , within the magnet and the length,  $l_q$ , of the quadrupole measured along its axis. The impact of a quadrupole on the trajectory of a particle in the  $xy$  plane is independent of the impact of the magnet on the particle’s trajectory in the  $yz$  plane. In this sense quadrupole focusing in the  $xz$  and  $yz$  planes is said to be “uncoupled”.

If the field gradient along the  $x$  and  $y$  axes is identical, then:

$$g_x = \frac{\partial B_{qx}}{\partial x} = g_y = \frac{\partial B_{qy}}{\partial y} = g; \quad (28)$$

where the field in the quadrupole,  $\mathbf{B}_q$ , has components  $(B_{qx}, B_{qy}, 0)$ .

In the “hard-edge” approximation, where the field falls to zero at the start and end of the quadrupole, the transfer matrix for a quadrupole focusing in the  $xz$  plane (a “focusing quadrupole”) may be written:

$$\underline{\underline{T}}_{\text{Fquad}} = \begin{pmatrix} \cos(\sqrt{k_q}l_q) & \frac{\sin(\sqrt{k_q}l_q)}{\sqrt{k_q}} & 0 & 0 & 0 & 0 \\ -\sqrt{k_q} \sin(\sqrt{k_q}l_q) & \cos(\sqrt{k_q}l_q) & 0 & 0 & 0 & 0 \\ 0 & 0 & \cosh(\sqrt{k_q}l_q) & \frac{\sinh(\sqrt{k_q}l_q)}{\sqrt{k_q}} & 0 & 0 \\ 0 & 0 & \sqrt{k_q} \sinh(\sqrt{k_q}l_q) & \cosh(\sqrt{k_q}l_q) & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & \frac{l_q}{\beta_0^2 \gamma_0^2} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}; \quad (29)$$

where:

$$k_q = \frac{gc}{p} \times 10^{-3} \text{ m}^{-2}, \quad (30)$$

$c$  is the speed of light in metres per second,  $p$  is the magnitude of the momentum of the particle in MeV/c, and the field gradient,  $g$ , is given in T/m. As before,  $\beta_0$  is the relativistic velocity of the reference particle and  $\gamma_0 = (1 - \beta_0^2)^{-\frac{1}{2}}$ . The increment in the reference particle position is:

$$\delta s = l_q. \quad (31)$$

It is important to include a description of the effect of dispersion on beam transport through the LhARA beam line since the laser-driven proton and ion source provides a broad energy spectrum. Reference [4] describes two methods for the description of dispersion in a linear approximation. The first is to use the reference momentum

to calculate the quadrupole focusing strength ( $k_{0q} = \frac{gc}{p_0} \times 10^{-3} \text{ m}^{-2}$ ) and to include terms in the expressions for  $x$ ,  $x'$ ,  $y$ , and  $y'$  dependent on  $\delta$ . The second is to use equation 30 to calculate the effective quadrupole focusing strength, with  $k_q$  evaluated using  $p$ . The second approach has been adopted here.

In the same notation, the transfer matrix for a quadrupole focusing in the  $yz$  plane (a “defocusing quadrupole”) may be written:

$$\underline{\underline{T}}_{\text{Dquad}} = \begin{pmatrix} \cosh(\sqrt{k_q}l_q) & \frac{\sinh(\sqrt{k_q}l_q)}{\sqrt{k_q}} & 0 & 0 & 0 & 0 \\ \sqrt{k_q} \sinh(\sqrt{k_q}l_q) & \cosh(\sqrt{k_q}l_q) & 0 & 0 & 0 & 0 \\ 0 & 0 & \cos(\sqrt{k_q}l_q) & \frac{\sin(\sqrt{k_q}l_q)}{\sqrt{k_q}} & 0 & 0 \\ 0 & 0 & -\sqrt{k_q} \sin(\sqrt{k_q}l_q) & \cos(\sqrt{k_q}l_q) & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & \frac{l_q}{\beta_0^2 \gamma_0^2} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}. \quad (32)$$

### 4.3 Solenoid

The trajectory of a beam particle through a solenoid is determined by the magnetic field strength,  $B_s$ , within the solenoid and the length of the solenoid,  $l_s$ , measured along its axis. As the particle enters the solenoid, the fringe field imparts momentum transverse to the axis of the magnet. This results in the particle executing a helical trajectory, the axis of the helix being parallel to the solenoid axis. The sense of the rotation depends on the particle charge and the polarity of the field. The helical motion means that the evolution of the particle motion in the  $xz$  plane is coupled with the evolution of the particle motion in the  $yz$  plane.

In the “hard-edge” approximation, the magnetic field inside the magnet is given by  $B_s = (0, 0, B_{s0})$ , where the solenoid axis lies along the  $z_{\text{RPLC}}$  axis. The solenoid field-strength parameter is then given by:

$$k_s = \left[ \frac{B_{s0}c}{2p} \times 10^{-3} \right]^2 \text{ m}^{-2}; \quad (33)$$

where  $B_{s0}$  is measured in T,  $p$  in MeV/c and  $c$  in m/s.

The transfer matrix for passage of a positive particle through a solenoid with field pointing in the positive  $z_{\text{RPLC}}$  direction may be written:

$$\underline{\underline{T}}_{\text{Sol}} = \begin{pmatrix} \cos^2(\sqrt{k_s}l_s) & \frac{1}{2\sqrt{k_s}} \sin(\sqrt{k_s}l_s) & \frac{1}{2} \sin(2\sqrt{k_s}l_s) & \frac{1}{\sqrt{k_s}} \sin^2(\sqrt{k_s}l_s) & 0 & 0 \\ -\frac{\sqrt{k_s}}{2} \sin(2\sqrt{k_s}l_s) & \cos^2(\sqrt{k_s}l_s) & -\sqrt{k_s} \sin^2(\sqrt{k_s}l_s) & \frac{1}{2} \sin(2\sqrt{k_s}l_s) & 0 & 0 \\ -\frac{1}{2} \sin(2\sqrt{k_s}l_s) & -\frac{1}{\sqrt{k_s}} \sin^2(\sqrt{k_s}l_s) & \cos^2(\sqrt{k_s}l_s) & \frac{1}{2\sqrt{k_s}} \sin(2\sqrt{k_s}l_s) & 0 & 0 \\ \sqrt{k_s} \sin^2(\sqrt{k_s}l_s) & -\frac{1}{2} \sin(2\sqrt{k_s}l_s) & -\frac{\sqrt{k_s}}{2} \sin(2\sqrt{k_s}l_s) & \cos^2(\sqrt{k_s}l_s) & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_0^2 \gamma_0^2} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}. \quad (34)$$

As in the case of the quadrupoles, dispersion is accounted for by using  $p$  to calculate  $k_s$  (equation 33). The increment in the reference particle position is:

$$\delta s = l_s. \quad (35)$$

### 4.4 Non-neutral (electron) plasma (Gabor) lens

A dense gas of electrons confined in a Penning-Malmberg trap provides an electric field that can be used to focus a positive ion beam. The electron gas is confined axially in the lens by an electrostatic potential created

using a central anode of length  $l_G$ . The gas is confined radially using the uniform field of a solenoid. Assuming a uniform electron density,  $n_e$ , the focusing parameter,  $k_G$ , may be written:

$$k_G = \frac{e}{2\epsilon_0} \frac{m_p \gamma}{p^2} n_e \quad \text{m}^{-2}; \quad (36)$$

where  $e$  is the charge on the electron,  $\epsilon_0$  is the permittivity of free space, and  $m_p$  is the proton mass. As in the case of the quadrupoles and solenoid, dispersion is accounted for by using  $p$  in equation 36. The force on a particle passing through the electron gas is towards the axis of the lens and is proportional to the radial distance of the particle from the axis. Focusing is therefore cylindrically symmetric and does not couple motion in the the  $xz$  and  $yz$  planes.

In the “hard-edge” approximation, the electric field inside the lens falls to zero at the end of the electron gas and the contribution of the magnetic field used to confine the electron gas in the transverse direction has a negligible effect on particles passing through the lens. The transfer matrix for the passage of a positive particle through the lens may be written:

$$\underline{\underline{T}}_G = \begin{pmatrix} \cos(\sqrt{k_G} l_G) & \frac{\sin(\sqrt{k_G} l_G)}{\sqrt{k_G}} & 0 & 0 & 0 & 0 \\ -\sqrt{k_G} \sin(\sqrt{k_G} l_G) & \cos(\sqrt{k_G} l_G) & 0 & 0 & 0 & 0 \\ 0 & 0 & \cos(\sqrt{k_G} l_G) & \frac{\sin(\sqrt{k_G} l_G)}{\sqrt{k_G}} & 0 & 0 \\ 0 & 0 & -\sqrt{k_G} \sin(\sqrt{k_G} l_G) & \cos(\sqrt{k_G} l_G) & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_0^2 \gamma_0^2} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}. \quad (37)$$

The increment in the reference particle position is:

$$\delta s = l_G. \quad (38)$$

## 4.5 Dipole

The reference particle trajectory in the beam-line elements described above passes along the axis of the element. In contrast, a dipole bends the reference trajectory so that it describes the arc of a circle (see figure 2). The code provides for transport through a “sector dipole” in the hard-edge approximation. In this case, the field within the magnet is taken to be constant and parallel to  $\mathbf{j}_{RPLC}$ , i.e.  $\mathbf{B}_D = (0, B_{D0}, 0)$ . No edge focusing is considered.

The passage of particles through a dipole may be described by defining the parameter,  $k_D$ :

$$k_D = \left[ \frac{B_{D0} c}{p} \times 10^{-3} \right]^2 \text{ m}^{-2}. \quad (39)$$

The momentum of the reference particle is related to the curvature,  $\rho$ , by:

$$p_0 = B_{D0} \rho; \quad (40)$$

so:

$$k_D = \frac{1}{\rho}; \quad (41)$$

and the angle  $\phi$  is given by:

$$\phi = \frac{l_D}{\rho}. \quad (42)$$

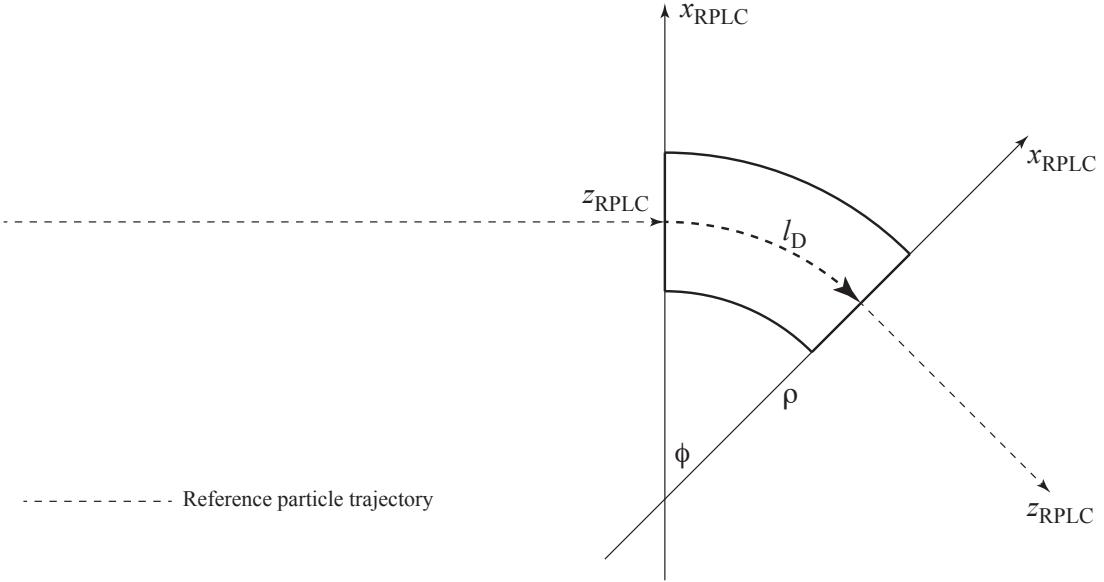


Figure 2: Schematic representation of the passage of the reference particle through a sector dipole. The outline of the sector dipole is shown by the solid black lines. The trajectory of the reference particle is shown as the dashed line. The length of the reference-particle trajectory inside the field of the sector dipole is  $l_D$ . The  $x_{\text{RPLC}}$  and  $z_{\text{RPLC}}$  coordinate axes at the entry and exit of the sector dipole are shown. The radius of curvature of the reference particle trajectory inside the magnet is  $\rho$  and the angle through which the  $x_{\text{RPLC}}$  is rotated is  $\phi$ .

With these definitions the transfer matrix for passage through a dipole may be written:

$$\underline{\underline{T}}_D = \begin{pmatrix} \cos(\phi) & \rho \sin(\phi) & 0 & 0 & 0 & \frac{\rho}{\beta_0} (1 - \cos(\phi)) \\ -\frac{\sin(\phi)}{\rho} & \cos(\phi) & 0 & 0 & 0 & \frac{\sin(\phi)}{\beta_0} \\ 0 & 0 & 1 & l & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ -\frac{\sin(\phi)}{\beta_0} & -\frac{\rho}{\beta_0} (1 - \cos(\phi)) & 0 & 0 & 1 & \frac{l}{\beta^2 \gamma^2} - \frac{l - \rho \sin(\phi)}{\beta_0^2} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}. \quad (43)$$

The increment in the reference particle position is:

$$\delta s = l_D. \quad (44)$$

## 4.6 Cylindrical cavity

Acceleration of particle beams is often achieved by means of structures in which standing electromagnetic waves are confined within vacuum spaces with conducting walls. These “cavities” are tuned to resonate at a particular frequency, usually in the microwave frequency range. A “transverse magnetic” (TM) standing wave may be excited in the “TM010” mode in which the electric field is directed along the axis of the cavity. The electric field on axis is sinusoidal and oscillates at the resonant frequency of the cavity.

The transfer of energy from the field within the cavity to the beam causes the amplitude of the accelerating field to drop. This effect, referred to as “beam loading”, can be significant for intense beams. In addition, the passage of the beam through the cavity excites currents which, in turn, generate fields within the cavity. These “wake fields” can significantly perturb the accelerating fields present inside the cavity.

Neglecting wake fields and the effects of beam loading, the transfer matrix for a cylindrical cavity may be derived in terms of the cavity voltage,  $V_0$ .  $V_0$  may be defined in terms of the amplitude of the on-axis electric field,  $E_0$ , and the length,  $L$ , of the cavity:

$$V_0 = E_0 TL ; \quad (45)$$

where  $T$  is the “transit-time factor” which takes into account the variation in the electric field experienced by the particle during its passage across the cavity.  $T$  is given by:

$$T = \frac{2\pi\beta_0}{k^2 L^2} \sin\left(\frac{kL}{2\beta_0}\right) . \quad (46)$$

The linear transfer map for the cylindrical cavity may then be written:

$$\underline{\phi}_{\text{end}} = \underline{T}_{\text{cav}} \underline{\phi}_{\text{start}} + \underline{m}'_{\text{cav}}, ; \quad (47)$$

where:

$$\underline{T}_{\text{cav}} = \begin{pmatrix} c_{\perp} & s_{\perp} & 0 & 0 & 0 & 0 \\ -\omega_{\perp}^2 s_{\perp} & c_{\perp} & 0 & 0 & 0 & 0 \\ 0 & 0 & c_{\perp} & s_{\perp} & 0 & 0 \\ 0 & 0 & -\omega_{\perp}^2 s_{\perp} & c_{\perp} & 0 & 0 \\ 0 & 0 & 0 & 0 & c_{\parallel} & \frac{1}{\beta_0^2 \gamma_0^2} s_{\parallel} \\ 0 & 0 & 0 & 0 & -\beta_0^2 \gamma_0^2 \omega_{\parallel}^2 s_{\parallel} & c_{\parallel} \end{pmatrix} ; \quad (48)$$

and:

$$\underline{m}_{\text{cav}} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ (1 - \cos(\omega_{\parallel} L)) \frac{\tan \phi_0}{k} \\ \beta_0^2 \gamma_0^2 \omega_{\parallel} \sin(\omega_{\parallel} L) \frac{\tan \phi_0}{k} \end{pmatrix} . \quad (49)$$

Here, the transverse components of the transfer map has been defined in terms of the parameters:

$$c_{\perp} = \cos(\omega_{\perp} L) ; \quad (50)$$

$$s_{\perp} = \frac{\sin(\omega_{\perp} L)}{\omega_{\perp}} \text{ and}; \quad (51)$$

$$\omega_{\perp} = k \sqrt{\frac{\alpha_{\text{RF}} \cos \phi_0}{2\pi}} ; \quad (52)$$

where:

$$\alpha_{\text{RF}} = \frac{qV_0}{p_0 c} . \quad (53)$$

The longitudinal components of the transfer map are written in terms of the parameters:

$$c_{\parallel} = \cos(\omega_{\parallel} L) ; \quad (54)$$

$$s_{\parallel} = \frac{\sin(\omega_{\parallel} L)}{\omega_{\parallel}} \text{ and}; \quad (55)$$

$$\omega_{\parallel} = \frac{k}{\beta_0 \gamma_0} \sqrt{\frac{\alpha_{\text{RF}} \cos \phi_0}{\pi}} . \quad (56)$$

## 5 Source

A variety of options for the generation of the particle distribution at source are included in the package (see section A.4.11. The principal, and the default, option is the target-normal sheath acceleration (TNSA) model presented in [5]. The implementation of this model is summarised below. The laboratory and RPLC reference frames coincide at the target, therefore trace- and phase-coordinates are not distinguished in the presentation of the particle-production model.

### 5.1 Energy distribution

The typical kinetic energy,  $K$ , spectrum produced in target-normal sheath acceleration falls exponentially with kinetic energy before dropping rapidly to zero above a maximum “cut off” energy  $K_{\max}$ . The kinetic-energy spectrum of the TNSA model presented in [5] is given by:

$$\frac{dN}{dK} = \frac{n_{e0} c_s t_{laser} S_{sheath}}{\sqrt{2KT_e}} \exp\left(-\sqrt{\frac{2K}{T_e}}\right); \quad (57)$$

where  $N$  is the number of protons or ions produced per unit solid angle,  $K$  is the ion kinetic energy,  $n_{e0}$  and  $T_e$  are the hot electron density and temperature respectively,  $c_s$  is the ion acoustic velocity,  $t_{laser}$  is the duration of the laser pulse, and  $S_{sheath}$  is the effective area over which the TNSA mechanism takes place. The variables and the units in which they are expressed are presented in table 1.

The hot electron temperature,  $T_e$ , may be calculated using the ponderomotive potential,  $T_P$ , by evaluating [5]:

$$T_e = T_P = m_e c^2 \left[ \sqrt{1 + \left( \frac{p_t}{m_e c} \right)^2} - 1 \right] = m_e c^2 \left[ \sqrt{1 + \frac{I \lambda^2}{1.37 \times 10^{18}}} - 1 \right]; \quad (58)$$

where  $m_e$  is the electron mass,  $p_t$  is the maximum transverse momentum to which an electron may be accelerated,  $I$  is the laser intensity, and  $\lambda$  is the laser wavelength. Measurements of proton spectra indicate that  $T_e$  is a function of the laser spot size. Small laser spot size limits the effective distance over which an electron may be accelerated, leading to a suppression of the electron energy gain, a reduction in the value for the maximum electron momentum,  $p_t$ , and therefore a lower electron temperature than that which would be predicted by ponderomotive scaling.

The ponderomotive-scaling approach yields a maximum electron transverse momentum given by:

$$p_{t0} = a_0 m_e c; \quad (59)$$

where  $a_0$  is the magnitude of the scaled vector potential, and an effective transverse acceleration length given by [6]:

$$y_0 = \frac{a_0 \lambda}{2\pi}. \quad (60)$$

The scaled vector potential is given by:

$$a_0 = \frac{e E_0}{m_e c \omega}; \quad (61)$$

where  $e$  is the modulus of the charge on the electron,  $E_0$  is the magnitude of the electric field of the laser and  $\omega$  is its angular frequency. In terms of the laser power,  $P$ ,  $a_0$  may be written:

$$a_0 = \frac{e \lambda}{2\pi r_0 m_e c^2} \sqrt{\frac{2P}{\pi \epsilon_0 c}}; \quad (62)$$

Table 1: Parameters present in the analytical expression, equation 57, describing target normal sheath acceleration (TNSA).

Parameter	Definition	Value	Unit
$N$	Ion number	-	-
$K$	Ion kinetic energy	-	J
$n_{e0}$	Hot electron density	$\frac{N_e}{ct_{laser}S_{sheath}}$	$pp/m^3$
$N_e$	Accelerated electron number	$\frac{fE_{laser}}{T_e}$	-
$E_{laser}$	Laser energy	70	J
$f$	Energy conversion efficiency	$1.2 \times 10^{-15} I^{0.75}$ , max=0.5	-
$I$	Laser intensity	$4 \times 10^{20}$	$W/cm^2$
$T_e$	Hot electron temperature	-	J
$m_e$	Electron mass	$9.11 \times 10^{-31}$	Kg
$c$	Speed of light	$3 \times 10^8$	m/s
$\lambda$	Laser wavelength	0.8	$\mu m$
$t_{laser}$	Laser pulse duration	$28 \times 10^{-15}$	s
$B$	Radius of electron bunch	$B = r_0 + dtan(\theta)$	m
$S_{sheath}$	Electron acceleration area	$\pi B^2$	$m^2$
$r_0$	Laser spot radius	$\sqrt{\frac{P_{laser}}{I\pi}}$ , I in $W/m^2$	m
$d$	Target thickness	$400 - 600 \times 10^{-9}$	m
$\theta$	Electron half angle divergence	0.436	rad
$P_{laser}$	Laser power	$2.5 \times 10^{15}$ , $P_{laser} = \frac{E_{laser}}{t_{laser}}$	W
$c_s$	Ion-acoustic velocity	$(\frac{Zk_B T_e}{m_i})^{\frac{1}{2}}$	m/s
$Z$	Ion charge number	1	-
$k_B$	Boltzmann constant	$1.380649 \times 10^{-23}$	$m^2 kgs^{-2} K^{-1}$
$m_i$	Proton mass	$1.67 \times 10^{-27}$	Kg
$P_R$	Relativistic power unit	$\frac{m_e c^2}{r_e} = 8.71 \times 10^9$	W
$r_e$	Electron radius	$2.82 \times 10^{-15}$	m
$K_{i,\infty}$	Maximum ion kinetic energy	$2Zm_e c^2 \sqrt{\frac{fP_{laser}}{P_R}}$	MeV
$t_0$	Ballistic time	$\frac{B}{v(\infty)}$	s
$v(\infty)$	Ballistic velocity	$\sqrt{\frac{2K_{i,\infty}}{m_i}}$	m/s

where  $r_0$  is the radius of the laser spot on target. This expression for  $a_0$  allows equations 59 and 60 to be written:

$$p_{t0} = \frac{e\lambda}{2\pi r_0 c} \sqrt{\frac{2P}{\pi\epsilon_0 c}}; \quad (63)$$

and:

$$y_0 = \frac{e\lambda^2}{4\pi^2 r_0 m_e c^2} \sqrt{\frac{2P}{\pi\epsilon_0 c}}. \quad (64)$$

To take into account the reduced acceleration that results from a small laser spot size, equation 59 may be written [6]:

$$p_t = p_{t0} \left[ 1 - \left( 1 - \frac{r_{Le}}{y_0} \right)^2 \right]^{\frac{1}{2}}; \quad (65)$$

where:

$$r_{Le} = \frac{r_0}{2 \ln 2}; \quad (66)$$

and  $r_0$  is the radius of the laser spot. The value of  $T_e$  obtained using equation 58 may now be used in equation 57 to determine the proton energy spectrum.

The hot electron temperature obtained using the procedure outlined above yields a value for  $T_e$  that is closer to values obtained from experiment [6]. However, the shape of the proton energy spectrum obtained using equation 57 is found to over estimate the proton flux at large  $K$ . Better agreement with measurement may be obtained by treating  $T_e$  as an effective parameter and tuning the value used in the simulation so that the generated proton spectrum agrees more closely with data.

Equation 57 is based on models which are unable to predict the cut-off kinetic energy accurately. The cut-off energy is taken to be that given by the model described in [7] in which the time over which the laser pulse creates the conditions necessary for acceleration is derived. The kinetic energy cut-off is given by:

$$K_{max} = X^2 K_{i,\infty}; \quad (67)$$

where  $X$  is obtained by solving:

$$\frac{t_{laser}}{t_0} = X \left( 1 + \frac{1}{2} \frac{1}{1-X^2} \right) + \frac{1}{4} \ln \left( \frac{1+X}{1-X} \right). \quad (68)$$

Here  $t_0$  is the time over which the ion acceleration may be treated as ballistic and  $K_{i,\infty}$  is given in table 1.  $t_0$  may be obtained by evaluating:

$$t_0 = \frac{(r_0 + l_{trgt} \tan \theta_e)}{v_{max}}; \quad (69)$$

where  $l_{trgt}$  is the thickness of the target,  $\theta_e$  is the half angle of the cone swept out as the electrons travel through the target and  $v_{max}$  is obtained from the non-relativistic expression:

$$v_{max} = \sqrt{\frac{2K_\infty}{m_i}}; \quad (70)$$

where  $m_i$  is the mass of the ion and  $K_\infty$ , the maximum kinetic energy an ion could obtain for a given laser power over an infinitely long acceleration, is given by:

$$K_\infty = q_i 2m_i c^2 \sqrt{\frac{\eta P}{P_R}}; \quad (71)$$

where  $q_i$  is the ion charge and  $P_R$  is given in table 1. The efficiency with which laser energy is converted into hot electron energy,  $\eta$ , is given by:

$$\eta = \min \left[ \left( 1.2 \times 10^{-15} I^{\frac{3}{4}} \right), 0.5 \right]. \quad (72)$$

To generate the kinetic energy spectrum, the probability density function,  $g(K)$ , is defined such that the probability,  $\delta\mathcal{P}$ , of a particle being generated in the interval  $K \rightarrow K + \delta K$  is given by:

$$\delta\mathcal{P} = g(K) \delta K . \quad (73)$$

$g(K)$  can be written in terms of the differential spectrum given in equation 57 through the introduction of a normalisation constant  $\mathcal{N}$ :

$$g(K) = \frac{1}{\mathcal{N}} \frac{dN}{dK} . \quad (74)$$

The cumulative distribution function,  $G(K)$ , is given by:

$$G(K) = \int_{K_{\min}}^{K_{\max}} g(K) dK ; \quad (75)$$

where  $K_{\min}$  is the minimum kinetic energy and the normalisation constant,  $\mathcal{N}$ , is set so that  $G(K_{\max}) = 1$ . Carrying out the integration yields:

$$G(K) = \frac{2}{\mathcal{N}} \frac{n_{e0} c_s t_{laser} S_{sheath}}{\sqrt{2T_e}} \sqrt{\frac{T_e}{2}} \left[ \exp \left( -\sqrt{\frac{2K_{\min}}{T_e}} \right) - \exp \left( -\sqrt{\frac{2K}{T_e}} \right) \right] ; \quad (76)$$

and the normalisation constant is given by:

$$\mathcal{N} = 2 \frac{n_{e0} c_s t_{laser} S_{sheath}}{\sqrt{2T_e}} \sqrt{\frac{T_e}{2}} \left[ \exp \left( -\sqrt{\frac{2K_{\min}}{T_e}} \right) - \exp \left( -\sqrt{\frac{2K_{\max}}{T_e}} \right) \right] . \quad (77)$$

The kinetic energy spectrum may now be obtained by choosing a value for  $G(K)$  using a probability distribution uniform over the range  $0 < G(K) < 1$ . The generated value of  $K$  is obtained by evaluating:

$$K = \left[ \sqrt{K_{\min}} - \sqrt{\frac{T_e}{2} \ln \left( 1 - \frac{G(K)}{G(K_{\max})} \right)} \right]^2 . \quad (78)$$

### 5.1.1 Implementation

Substituting  $\mathcal{N}$  from equation 77 into equation 76 yields:

$$G(K) = \frac{1 - \exp \left[ -\sqrt{\frac{2}{T_e}} \left( \sqrt{K} - \sqrt{K_{\min}} \right) \right]}{1 - \exp \left[ -\sqrt{\frac{2}{T_e}} \left( \sqrt{K_{\max}} - \sqrt{K_{\min}} \right) \right]} . \quad (79)$$

So, defining:

$$\Lambda_{\max} = 1 - \exp \left[ -\sqrt{\frac{2}{T_e}} \left( \sqrt{K_{\max}} - \sqrt{K_{\min}} \right) \right]^{-1} ; \quad (80)$$

equation 78 may be rewritten:

$$K = \left[ \sqrt{K_{\min}} - \sqrt{\frac{T_e}{2} \ln \left( 1 - \frac{G(K)}{\Lambda_{\max}} \right)} \right]^2 . \quad (81)$$

Aberations in the optics delivering the laser beam to the target often distort the focal spot so that not all the power in the beam is delivered in a spot of radius  $r_0$ . The “Strehl ratio”,  $S$ , is the ratio of the maximum intensity of the spot delivered to the target devived by the intensity that would have been delivered by a perfect,

diffraction-limited, optical system. If the power of the laser specified for the system is  $P_L$ , then the effective power delivered to the target,  $P$ , is given by:

$$P = SP_L. \quad (82)$$

$P$  can now be used to evaluate the calculation of the parameters that define the proton-energy spectrum.

By default, the value of  $T_e$  used in equations 79 and 80 is calculated using equation 58. To allow the proton energy spectrum to be tuned to reproduce the experimental distribution of interest, the option for an effective value for  $T_e$  to be specified is included.

By default,  $K_{\max}$  is calculated as described above. Comparison of the model described here with experimental data indicates that the specification of a value for  $K_{\max}$  smaller than that obtained by evaluating equation 67 gives a better description of data. Therefore, the option for an effective value for  $K_{\max}$  to be specified is also included.

## 5.2 Angular Distribution

The angular distribution of the flux of protons and ions produced by the TNSA mechanism may be described as a cone centred on the normal to the foil surface [8]. Radiochromic film has been used to observe the opening angle,  $2\alpha$ , of the cone as a function of energy. The envelope angle,  $\alpha$ , defined such that, at a particular energy, all particles are contained within  $\pm\alpha(K)$  of the  $z$  axis. The opening angle is observed to decrease as the ion energy increases.

The distribution of the polar angle,  $\theta_S$ , at which particles are produced at the laser-driven source is generated by defining  $r'$  such that:

$$r' = \frac{\partial r}{\partial s}; \quad (83)$$

where  $r = \sin \theta_S$ .  $x'$  and  $y'$  are sampled independently from the probability density function:

$$g(r') = \frac{3}{4r_m'^2} (r_m'^2 - r'^2); \quad (84)$$

where  $r_m' = \sin \alpha$ . At low kinetic energy ( $K \sim K_{\min}$ ),  $\alpha(K)$  is taken to be  $\sim 20^\circ$ .  $\alpha(K)$  is assumed to decrease linearly with energy such that:

$$\alpha(K) = 20^\circ - 15^\circ \frac{K}{K_{\max}}; \quad (85)$$

i.e.  $\alpha(K)$  decreases from  $20^\circ$  at  $K = 0$  to  $5^\circ$  at  $K_{\max}$ . Finally, the azimuthal angle,  $\phi_S$ , is chose from a distribution uniform over the range  $0 < \phi_S < 2\pi$ .

## 5.3 Spatial distribution

The  $x$  and  $y$  distributions at production are assumed to be independent and Gaussianly distributed with a standard deviation given by the radius of the laser spot focused on the target.

## 5.4 Simulated distributions

Distributions  $10^6$  protons produced by the TNSA mechanism using the algorithm described above are shown in figure 4. The parameters used in the algorithm are presented in table 2. The generated distribution of kinetic energy is in good agreement with the distribution implied by equation 57. The width of the generated polar-angle distribution is observed to fall with kinetic energy and the kinetic-energy dependence of the RMS calculated from the generated particles is in good agreement with that expected from equation 85. As a result, the distribution of  $\theta_S$  is approximately Gaussian with a width dominated by the contribution of protons with

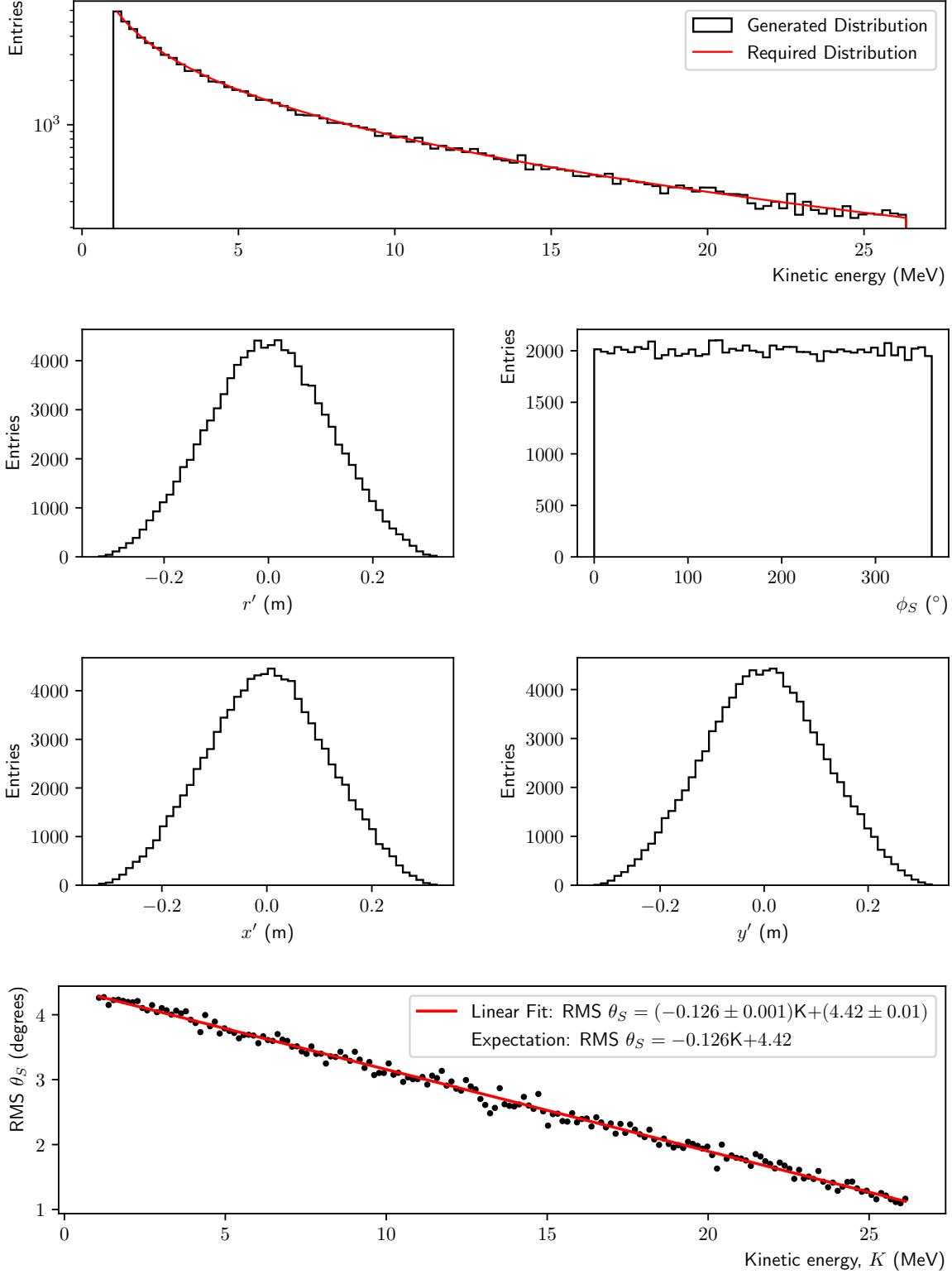


Figure 3: Kinematic distributions of particles at the point of production. Top: The generated kinetic energy distribution is shown as the solid histogram. The required distribution (equation 57), normalised to the lowest kinetic-energy bin, is shown as the solid red line. Second row: Generated  $r'$  and  $\phi_S$  distributions. Third row: Generated  $x'$  and  $y'$  distributions. Bottom: RMS of the  $\theta_S$  distribution versus kinetic energy. The solid circles are calculated using slices of width 0.15 MeV. The red line shows the result of a straight line fit to the data. The expected dependence from integration of equation 85 is presented in the legend.

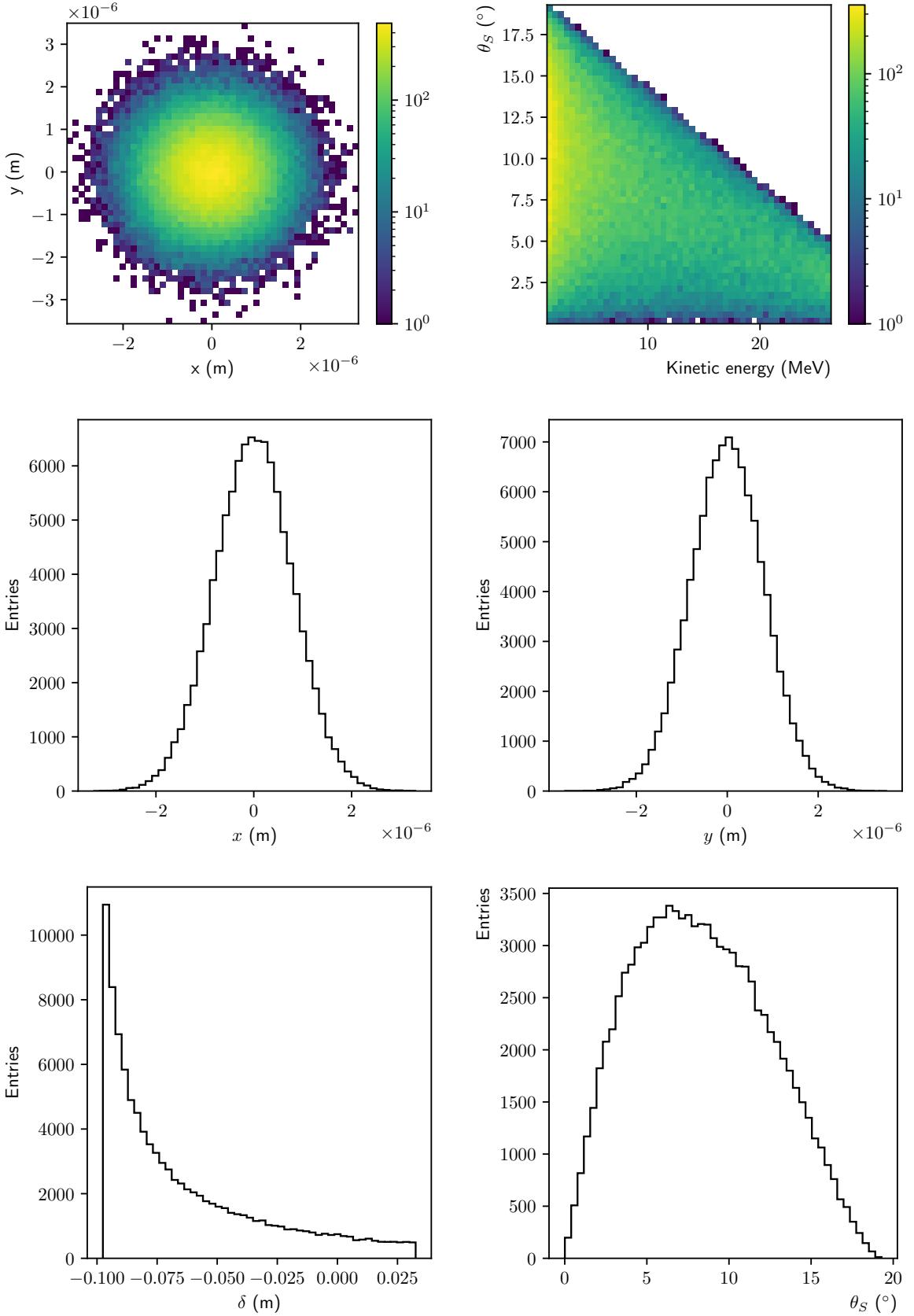


Figure 4: Top left: Generated  $(x, y)$  distribution of the particle-production point. Top right: The distribution of  $\theta_S$  versus kinetic energy. Centre left, right: Generated distributions of  $x'$  and  $y'$ . Bottom left: Generated distribution of  $\delta$ . Bottom right: Generated distribution of  $\theta_S$ .

Table 2: Parameters used to generate the proton phase space using the model described in the text. The parameters above the line were used to specify the model. The parameters below the line were calculated using the procedures described in the text and are representative of the J-KAREN-P laser that underpins the analysis in [6].

Parameter	Value	Unit
Laser wavelength	0.8	$\mu\text{m}$
Laser power	$2.5 \times 10^{14}$	W
Strehl ratio	0.6	
$r_0$	1.5e-06	$\mu\text{m}$
Laser pulse duration	40e-15	s
$K_{\min}$	1.0	MeV
Target thickness	5	$\mu\text{m}$
Electron divergence angle	25.0	degrees
Intercept of RMS $\theta_S$ at $K = 0$ MeV	20	degrees
Change of RMS $\theta_S$ between $K = 0$ MeV and $K_{\max}$	17	degrees
$T_e$	12.21	MeV
$K_{\max}$	26.34	MeV

kinetic energy close to  $K_{\min}$ . The generated  $\phi_S$  distribution is flat in the range  $0^\circ < \phi_S < 360^\circ$  and the  $(x, y)$  distribution is Gaussian in both the  $x$  and  $y$  projections.

## 6 Beam-line specification and scripts

### 6.1 Beam-line specification

The specification is defined in the form of a parameter table. The table is loaded using a plain text file in CSV format. An example, specifying the PoPLaR beam line is shown in table 3. The simplest way to generate the CSV file is to use Excel to manipulate one of the examples provided in the `11-Parameters` directory of the `LinearOptics` package. The parameter table is organised in 8 columns:

**Stage:** (integer) allows stages in the beam line to be defined. The number is arbitrary and is used only in the generation of a unique name for each element. Stage can be defined for clarity in labelling and presentation.

**Section:** (string) allows sections in the beam line to be distinguished. As in the case of Stage, Section may be defined for clarity and convenience. Section is used in the generation of the unique name of a particular element of the beam line.

**Element:** (string) indicates that the line will define one parameter for the particular beam-line element “Element”. Each beam-line element is specified by a series of consecutive lines, each line defining one of the parameters that specifies the element. Examples of the lines required to specify each element are given in table 3.

**Type:** (string) specifies the type of Element that is to be used. For example, an Aperture along the beam line may be circular, elliptical, or rectangular. The Type keyword allows the required “type” of a particular beam-line element to be specified.

**Parameter:** (string) defines the parameter the value of which is specified by the line. For example, the length of an element is specified by setting the Parameter field to “Length”.

Value: (float, integer) value of the parameter.

Unit: (string) unit in which parameter is specified. Presently the code does not require Unit to be specified, it is included to record the unit of the value.

Comment: (string) free format comment.

Table 3: Example beam-line specification file in Excel (xlsx) format.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
0	Facility	Global	Name	Name	PoPLaR		
0	Facility	Global	Reference particle	Kinetic energy	10	MeV	
0	Facility	Global	Vacuum chamber	Mother volume radius	0.5	m	
1	Source	Source	Parameterised TNSA	SourceMode	0		Mode
1	Source	Source	Parameterised TNSA	SigmaX	0.000004	m	Gaussian width, x
1	Source	Source	Parameterised TNSA	SigmaY	0.000004	m	Gaussian width, y
1	Source	Source	Parameterised TNSA	Emin	1	MeV	Minimum of energy distribution
1	Source	Source	Parameterised TNSA	Emax	25	MeV	Maximum of energy distribution
1	Source	Source	Parameterised TNSA	nPnts	1000		Number of points to sample for integration of PDF
1	Source	Source	Parameterised TNSA	MinCTheta	0.999691155		Maximum theta for flat cos theta
1	Source	Source	Parameterised TNSA	Power	2.5E+15	W	Laser power
1	Source	Source	Parameterised TNSA	Energy	70	J	Laser energy
1	Source	Source	Parameterised TNSA	Wavelength	0.8	um	Laser wavelength
1	Source	Source	Parameterised TNSA	Duration	2.80E-14	s	Laser pulse duration
1	Source	Source	Parameterised TNSA	Thickness	0.0000004	m	Target thickness
1	Source	Source	Parameterised TNSA	Intensity	4.00E+20	W/cm2	Laser intensity
1	Source	Source	Parameterised TNSA	DivAngle	25	degrees	Electron divergence angle
1	Nozzle	Drift		Length	0.03	m	
1	Nozzle	Aperture	Circular	Radius	0.002	m	
1	Nozzle	Drift		Length	0.003	m	
1	Nozzle	Aperture	Circular	Radius	0.002	m	
1	Capture	Drift		Length	0.005	m	
1	Capture	Aperture	Circular	Radius	0.01	m	
1	Capture	Fquad		Length	0.04	m	
1	Capture	Fquad		Strength	150	m	
1	Capture	Aperture	Circular	Radius	0.01	m	
1	Capture	Drift		Length	0.005	m	
1	Capture	Aperture	Circular	Radius	0.01	m	
1	Capture	Dquad		Length	0.04	m	
1	Capture	Dquad		Strength	150	m	
1	Capture	Aperture	Circular	Radius	0.01	m	
1	Capture	Drift		Length	1.7	m	

The beam line is built by reading the file sequentially from the top. After the header fields, the first lines specify the Facility, giving its Name, the kinetic energy of the reference particle and radius of the “mother volume”. The mother volume is a cylinder around the beam line, a particle hitting the boundary of the cylindrical volume is not propagated further along the beam line. The lines which follow specify each element of the beam line in turn, grouping them by Stage and Section as required.

## 6.2 Scripts

Scripts to perform common tasks are provided in the 03-Scripts directory. The scripts and the tasks they perform are;

```
runBeamSim.py -b <beamlinefile> -i <inputfile> -o <outputfile> -n <nEvts>
uses the beam line specified in <beamlinefile> to generate <nEvts> and propagate them down the
beam line. The output is written to <outputfile> in the format defined in the BeamIO class (see
appendix A). If specified, <inputfile> is a BeamIO data file. In this case, beam transport starts from the
```

most downstream element of the beam line defined in <inputfile>. Only one of <beamlinefile> and <inputfile> may be specified.

```
readBeamSim.py -i <inputfile> -o <outputfile> -n <nEvts>
```

reads <nEvts> events from the BeamIO-format data file <inputfile>. Two PDF files are written to 99-Scratch. The first, ParticleProgressionPlot.pdf, contains plots of the transverse trace space coordinates of the particles at the exit of each beam line element. The second, ParticleLongiProgression.pdf, contains plots of the longitudinal trace space coordinates of the particles at the exit of each beam line element. The option -o <outputfile> has not yet been implemented.

```
plotBeam.py -i <inputfile> -n <nEvts> -o <outputfile>
```

```
-l <startlocation> [-b <beamlinefile>]
```

calculates the covariance matrix of the trace-space coordinates at the exit from each beam-line element and uses these covariance matrices to calculate the RMS beam size and Twiss parameters. A PDF file, BeamProgress.pdf, showing the evolution of these quantities along the beam line is written to 99-Scratch. The calculations are performed on <nEvts> read from <inputfile>. If specified, a CSV file containing a summary of the beam evolution is written to <outputfile>. Optionally, if -l is specified, the beam line evolution will be plotted from location <startlocation>. The option -b <beamlinefile> is retained in case early versions of BeamIO-format data files are to be read.

```
plotextrapolatedBeam.py -i <inputfile> -n <nEvts> -o <outputfile>
```

```
-l <startlocation> [-b <beamlinefile>]
```

calculates the covariance matrix of the beam at location <startlocation> and then calculates the evolution of the covariance matrix and Twiss parameters using the beam-line element transfer matrices. The PDF file, extrapolatedBeamProgress.pdf, is created to contain plots of the beam evolution. If <startlocation> is not specified, the covariance matrix is calculated at the first element of the beam line and the propagation of the beam envelope starts at the beginning of the beam line. The meaning of the other switches are as for plotBeam.py.

```
2BDSIM.py -i <inputfile> -o <outputfile> -l <start location> -n <nEvts>
```

reads <nEvts> events from the BeamIO-format file <inputfile> and writes the ascii file <outputfile> in the format required by BDSIM. If specified, the BDSIM-format file is generated at location <start location>. If <start location> is not specified, the BDSIM-format is created with particles at the end of the beam line.

## Acknowledgements

It is a pleasure to acknowledge the help and support of the many friends and colleagues who have given time and energy to the development and debugging of the code. Particular thanks go to P. Kyberd and D. Whit house who each have spent time on the code and its documentation. Thanks to S. Fayer who has provided Unix and system support.

## References

- [1] G. Aymar, T. Becker, *et al.*, “LhARA: The Laser-hybrid Accelerator for Radiobiological Applications,” *Frontiers in Physics* **8** (2020) .  
<https://www.frontiersin.org/articles/10.3389/fphy.2020.567738>.
- [2] The LhARA consortium, “The Laser-hybrid Accelerator for Radiobiological Applications,” Tech. Rep. CCAP-TN-01, The Centre for the Clinical Application of Particles, Imperial College London, 2020.  
<https://ccap.hep.ph.ic.ac.uk/trac/raw-attachment/wiki/Communication/Notes/CCAP-TN-01.pdf>.
- [3] The LhARA collaboration, “Baseline for the LhARA design update,” Tech. Rep. CCAP-TN-11, The Centre for the Clinical Application of Particles, Imperial College London, 2022.  
<https://ccap.hep.ph.ic.ac.uk/trac/raw-attachment/wiki/Communication/Notes/CCAP-TN-11-LhARA-Design-Baseline.pdf>.
- [4] A. Wolski, *Beam dynamics in high energy particle accelerators*. Imperial College Press, 57 Shelton Street, Covent Garden, London WC2H 9HE, 2014.
- [5] J. Fuchs, P. Antici, *et al.*, “Laser-driven proton scaling laws and new paths towards energy increase,” *Nature Physics* **2** (01, 2006) .
- [6] N. Dover, M. Nishiuchi, *et al.*, “Demonstration of repetitive energetic proton generation by ultra-intense laser interaction with a tape target,” *High Energy Density Physics* **37** (2020) 100847.  
<https://www.sciencedirect.com/science/article/pii/S1574181820300884>.
- [7] J. Schreiber, F. Bell, *et al.*, “Analytical Model for Ion Acceleration by High-Intensity Laser Pulses,” *Physical review letters* **97** (08, 2006) 045005.
- [8] F. Nürnberg, M. Schollmeier, *et al.*, “Radiochromic film imaging spectroscopy of laser-accelerated proton beams,” *Review of Scientific Instruments* **80** no. 3, (03, 2009) 033301,  
<https://pubs.aip.org/aip/rsi/article-pdf/doi/10.1063/1.3086424/13601448/033301-1>  
<https://doi.org/10.1063/1.3086424>.

## A Module, class and data structures

The linear optics package has been written in object-oriented Python and is broken down in four principal modules:

- `BeamLineElement`: provides the various beam-line elements required to build a description of the beam line. Each individual element, such as a drift, quadrupole, etc., is described in a class derived from the `BeamLineElement` parent class.
- `BeamLine`: provides code to assemble the elements into a coherent beam line. `BeamLine` is a singleton class to ensure that two beam lines can not be simulated in a single run of the package. The `extrapolateBeam` class is derived from the `Beam` class to handle the propagation of beam envelopes without the need to track individual particles.
- `Beam`: provides code to calculate ensemble properties of the beam such as emittance. The ensemble properties are stored as instance attributes of the `Beam` class.
- `Particle`: provides code to record beam particles at positions along the beam line. The module provides the singleton `ReferenceParticle` class derived from the `Particle` class.

The relationships between the principal modules is illustrated in figure 5. The single `BeamLine` instance represents a beam line made up of many `BeamLineElements` (indicated by the double-headed arrow). In turn, many beams may be passed through the single `BeamLine` instance.

Other modules: `BeamIO`, `LaTeX`, `PhysicalConstants`, `Report`, `Simulation`, `UserFramework`, and `visualise` support the principal modules or provide services. The data structure is implemented as attributes of the instances of the various classes. This section describes the implementation of the various modules, the classes of which they are composed, and how access to the data is provided.

Each class has methods by which to access a list of the class instances and a Boolean flag by which to generate debug print out (see table 4).

Table 4: Methods by which to set and access class attributes.

Method	Argument	Return	Comment
<code>getinstances ()</code>		List of instances of class	For singleton classes such as <code>BeamLine</code> , <code>getinstances ()</code> returns a single instance rather than a list.
<code>setDebug (Debug)</code>	Boolean		Sets flag to generate debug print-out
<code>getDebug ()</code>		Boolean debug flag	If True, generate debug print-out
<code>setAll2None ()</code>			Set all instance attributes to None at start of instantiation.
<code>SummaryStr ()</code>		String	Text string to record parameters in debug print out.

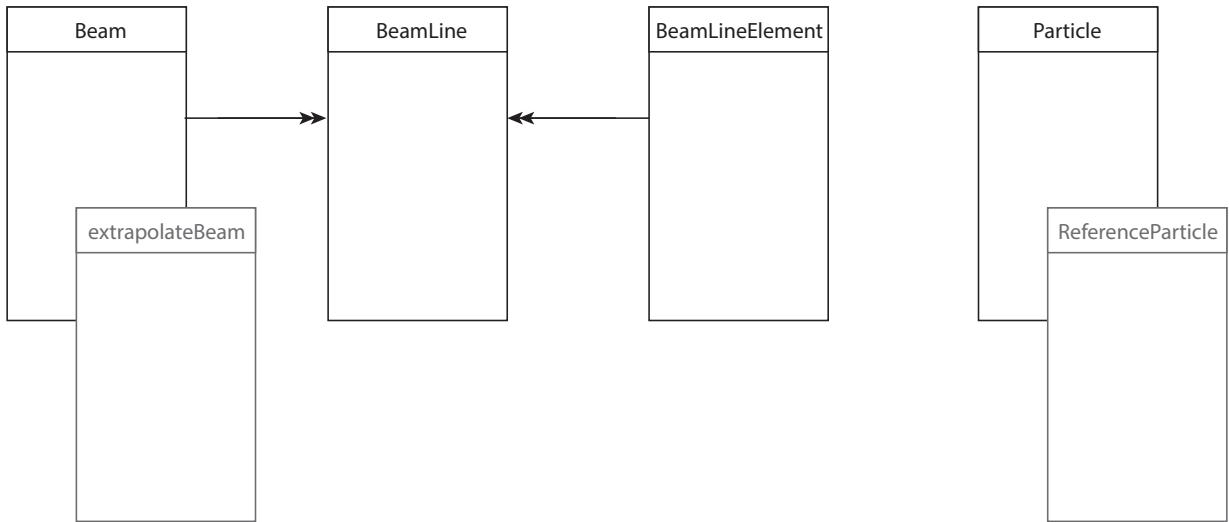


Figure 5: Schematic diagram of the relationships between the principal classes that make up the linear optics package. The double-headed arrows indicate a many-to-one relationship between the objects; the arrow head points to the object which has only one instance. The classes indicated in grey are derived from the class indicated in black over which they are plotted.

## A.1 BeamLine

BeamLine is a singleton class that sets up the beam line geometry and provides methods to track particles through the beam line using the transfer matrices defined in section A.4. The beam-line geometry is provided in the form of a “csv” file read using pandas. The format of the “csv” file is defined in section ???. Alternatively, if a data file written using the BeamIO package is being read, the beam-line geometry is read from the top of the data file. The instance of the BeamLine class is created when the first record of the data file is read.

### A.1.1 Instantiation

The call to instantiate the BeamLineElement class is:

```
BeamLine(BeamLineSpecificationCSVfile, readDataFile)
```

BeamLineSpecificationCSVfile is the full path of the CSV file containing the beam-line specification. readDataFile is a boolean flag. If readDataFile is set to True, then the BeamLine instance will be created and the beam-line geometry will be read from the header of the BeamIO data file. If readDataFile is not set or is set to False, the beam-line geometry will be read from BeamLineSpecificationCSVfile.

### A.1.2 Instance attributes and access methods

The instance attributes are presented in table 5 and the access methods are summarised in table 6.

### A.1.3 Processing methods

Table 7 presents the processing methods provided in the BeamLine class.

Table 5: Definition of attributes of instances of the BeamLine class.

<b>Attribute</b>	<b>Type</b>	<b>Comment</b>
BeamLineSpecificationCSVfile*	path	Full path to beam-line specification csv file.
BeamLineParamPandasInstance	dataframe	Pandas data frame containing beam-line specification.
Element	list	List of instances of BeamLineElement class containing pointers to the elements that make up the beam line.

#### A.1.4 I/o methods

Table 8 presents the i/o methods provided in the BeamLine class.

#### A.1.5 Utilities

Table 9 presents the utilities provided in the BeamLine class.

Table 6: Definition of access methods for the BeamLine class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setSrcTrcSpc(SrcTrcSpc)	<pre>getSrcTrcSpc() getInsance()</pre>	<p>Set trace space at source; SrcTrcSpc presented as (1, 6) np.ndarray.</p> <p>Get instance of BeamLine class.</p>

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
BeamLineSpecificationCSVfile()	<pre>(getBeamLineParamPandas()) getElement()</pre>	<p>Get beam line specification csv file.</p> <p>Get pandas dat from containing beam-line specification.</p> <p>get list of BeamLineElement instances.</p>

Table 7: Processing methods provided by the BeamLine class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
addBeamLine ()		Success	Loops through pandas data frame and manages parsing and instantiation of the beam line elements defined in the specification csv file. Returns Success (bool) which is True if the beamline has been set up OK and is False otherwise.
addFacility ()			Manages the extraction of the facility parameters from the pandas data frame and the creation of the single instance of Facility (BeamLineElement).
addSource ()			Manages the extraction of the source parameters from the pandas data frame and the creation of the single instance of Source (BeamLineElement).
parseFacility ()	Name, K0, VCMVr		Parses pandas data frame to extract facility parameters. Returns the facility Name (str), the kinetic energy of the reference particle, K0 (float) in MeV, and the vacuum chamber mother volume radius, VCMVr (float) in m.
parseSource ()	Name, Mode, Param		Parses pandas data frame to extract source parameters. Returns Name (str), Mode (int) Param (list) containing the parameters required to instantiate source Mode.
addBeamLineElement (iBLE)			Adds BeamLineElement instance iBLE to the list of instances of BeamLineElement that make up the beam line.
checkConsistency ()	Consistent		Checks the consistency of the beam line representation in memory with that requested in the specification csv file. Returns Consistent (bool) which is True if the beamline is consistent is False otherwise.
trackBeams (NEvts, particleFILE)			Generates NEvts (int) particles and tracks them through the beam line.

Table 8: I/o methods provided by the BeamLine class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
csv2pandas(csvFILE)	Path	pandas dataframe	Read CSV file to create pandas data frame. csvFILE (path) is the full path to the csv
pandasBeamLine()		pandas dataframe	Create pandas dataframe from BeamLine instance.
getHeader()		List	Prepares list of header fields for pandasBeamLine.
readBeamLine(file)	Path	Boolean	Called from BeamIO. Reads BeamLine from data file.

Table 9: Utilities provided by the BeamLine class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
cleaninstance()			Remove BeamLine instance.
fixsz()		List	Loop through BeamLineElement instances to set <i>s</i> and <i>z</i> at exit.

## A.2 Particle and ReferenceParticle

The `Particle` class provides methods to transport particles through the beam line. The trace and phase space is recorded at the start and end of each element. The `ReferenceParticle` derived class is a singleton and records the trajectory of the reference particle.

### A.2.1 Particle

#### A.2.1.1 Instantiation

The call to instantiate the `Particle` class is:

```
Particle(Species)
```

`Species`, the type of particle to be propagated, is a string containing the particle name. At present valid particle species are proton, pion, and muon.

#### A.2.1.2 Instance attributes and access methods

The instance attributes are presented in table 10 and the access methods are summarised in table 11.

Table 10: Definition of attributes of instances of the `Particle` class.

Attribute	Type	Comment
<code>Species</code>	str	Particle species; proton, muon or pion.
<code>Location</code>	list	List of strings containing the unique <code>Name</code> of the <code>BeamLineElement</code> at the particle position is reported.
<code>s</code>	list	List of floats recording <code>s</code> coordinate at which particle position is reported.
<code>TraceSpace</code>	list	List of <code>np.ndarray</code> containing 6D trace space of particle at <code>s</code> .
<code>PhaseSpace</code>	list	List of <code>np.ndarray</code> containing 6D phase space (RPLC) of particle at <code>s</code> .
<code>LabPhaseSpace</code>	list	List of <code>np.ndarray</code> containing 6D phase space (Lab) of particle at <code>s</code> .

#### A.2.1.3 Processing methods

Table 12 presents the processing methods provided in the `Particle` class.

#### A.2.1.4 I/o methods

The i/o methods provided by the `Particle` class are listed in table 13.

#### A.2.1.5 Utilities

The utilities provided by the `Particle` class are listed in table 14.

Table 11: Definition of access methods for the Particle class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setSpecies	getSpecies	Set/get particle species.
setLocation	getLocation	Set/get list of locations location.
set $s$	get $s$	Set/get list of $s$ coordinates.
setTraceSpace	getTraceSpace	Set/get list of trace-space vectors.
setRPLCPhaseSpace	setRPLCPhaseSpace	Set/get list of phase-space vectirs in RPLC coordinates.
setLabPhaseSpace	getLabPhaseSpace	Set/get list of phase-space vectirs in Lab coordinates.
resetParticleInstances		Resets list of particle instances preserving reference particle as first instance in the list.
recordParticle(LOC, z, s, TrcSpc)		Records particle attributes. Arguments: LOC=Location, z=z, s=s, and TrcSpc=trace space.
setSourceTraceSpace(TrcSpc)		Sets TrcSpc=trace space at source.

Table 12: Processing methods provided by the Particle class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
fillPhaseSpaceAll()		Boolean	Fill phase space for all Particle instances. Class Method. Return True if successful.
fillPhaseSpace()		Boolean	Fill phase space for current Particle instance. Return True if successful.
initialiseSums()		Particle instance	Initialises sums used to calculate covariance matrix.
incrementSums(iPrtcl)			Increment sums used to calculate covariance matrix.
calcCovarianceMatrix()			Calculate covariance matrix.
calcRPLCPnaseSpace(nLoc)		Int	Calculate and return phase space in RPLCs at location nLoc.
RPLCTraceSpace2PhaseSpace(TrcSpce)		np.ndarray	Transform trace space to phase space in RPLCs.
RPLCPhaseSpace2TraceSpace(TrcSpce)		np.ndarray	Transform phase space to trace space in RPLCs.

Table 13: I/o methods provided by the Particle class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
createParticleFile( <code>path</code> , <code>file</code> )	Path, Str	Path	Class method, kept for backward compatibility.
flushNcloseParticleFile( <code>file</code> )	Path		Class method, kept for backward compatibility.
openParticleFile( <code>path</code> , <code>file</code> )	Path, Str		Class method, kept for backward compatibility.
closeParticleFile( <code>path</code> , <code>file</code> )	Path, Str		Class method, kept for backward compatibility.
readParticle( <code>file</code> )	Path	Boolean	Read particle from input stream. Called from BeamIO. file is full path to file. Return True if end of file.
writeParticle( <code>file</code> , <code>clean</code> )	Path, boolean		Write particle to output stream. file is full path to file. If clean, then clean particle instance after write.
writeParticleBDSIM( <code>file</code> , <code>iLoc</code> , <code>clean</code> )	Path, integer, boolean		Write particle to BDSIM ascii file. file is full path to file. iLoc is the location along the beamm line at which to write the particle coordinates. If clean, then clean particle instance after write.

Table 14: Utilities provided by the Particle class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
cleanAllParticles()			Delete all Particle instances including ReferenceParticle.
cleanParticles()			Delete all Particle instances except ReferenceParticle.
plotTracesSpaceProgression()			Plot transverse trace space at each location. Class method. Writes file to 99-Scratch/.
plotLongitudinalTraceSpaceProgression()			Plot longitudinal trace space at each location. Class method. Writes file to 99-Scratch/.
printProgression()			Print particle parameters at each location.
getLines()			Returns lines to be used to create summary pandas data frame.
createReport()			Creates csv file containing summary of beam progression.

## A.2.2 ReferenceParticle

### A.2.2.1 Instantiation

`ReferenceParticle` is a singleton derived class. The call to instantiate the `ReferenceParticle` class is:

```
ReferenceParticle(Species)
```

`Species`, the type of particle to be propagated, is a string containing the particle name. At present valid particle species are proton, pion, and muon.

### A.2.2.2 Instance attributes and access methods

In addition to the instance attributes inherited from the parent class, the `ReferenceParticle` class provides the instance attributes presented in table 15 and the access methods are summarised in table 16.

Table 15: Definition of attributes of instances of the `ReferenceParticle` class.

Attribute	Type	Comment
<code>_sIn []</code>	list	List of floats containing the $s$ coordinates at the entrance to the beam line elements along the locus of the reference particle trajectory.
<code>_sOut []</code>	list	List of floats containing the $s$ coordinates at the exit to the beam line elements along the locus of the reference particle trajectory.
<code>_RrIn []</code>	list	List of ndarrays containing the four-vector position in laboratory coordinates at the entrance to the beam line elements along the locus of the reference particle trajectory.
<code>_RrOut []</code>	list	List of ndarrays containing the four-vector position in laboratory coordinates at the exit to the beam line elements along the locus of the reference particle trajectory.
<code>_PrIn []</code>	list	List of ndarrays containing the four-vector momentum in laboratory coordinates at the entrance to the beam line elements along the locus of the reference particle trajectory.
<code>_PrOut []</code>	list	List of ndarrays containing the four-vector momentum in laboratory coordinates at the exit to the beam line elements along the locus of the reference particle trajectory.
<code>_Rot2LabIn []</code>	list	List of ndarrays containing the rotation matrices taking RPLC to laboratory coordinates at the entrance to the beam line elements along the locus of the reference particle trajectory.
<code>_Rot2LabOut []</code>	list	List of ndarrays containing the rotation matrices taking RPLC to laboratory coordinates at the exit to the beam line elements along the locus of the reference particle trajectory.

Table 16: Definition of access methods for the `ReferenceParticle` class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
<code>setRPDebug</code>	<code>getRPDebug</code>	Set/get <code>ReferenceParticle</code> debug flag.
<code>setsIn</code>	<code>getsIn</code>	Set/get <code>_sIn</code>
<code>setsOut</code>	<code>getsOut</code>	Set/get <code>_sOut</code>
<code>setRrIn</code>	<code>getRrIn</code>	Set/get <code>_RrIn</code>
<code>setRrOut</code>	<code>getRrOut</code>	Set/get <code>_RrOut</code>
<code>setPrIn</code>	<code>getPrIn</code>	Set/get <code>_PrIn</code>
<code>setPrOut</code>	<code>getPrOut</code>	Set/get <code>_PrOut</code>
	<code>getMomentumIn (iLoc)</code>	Get magnitude of three-vector momentum at entrance of location <code>iLoc</code>
	<code>getMomentumOut (iLoc)</code>	Get magnitude of three-vector momentum at exit of location <code>iLoc</code>
<code>setRot2LabIn</code>	<code>getRot2LabIn</code>	Set/get <code>_Rot2LabIn</code>
<code>setRot2LabOut</code>	<code>getRot2LabOut</code>	Set/get <code>_Rot2LabOut</code>
	<code>getb0 (iLoc)</code>	Get $\beta_0$ .
	<code>getg0b0 (iLoc)</code>	Get $\gamma_0 \beta_0$ .
<code>setAllRP2None</code>		Set all <code>ReferenceParticle</code> attributes to None.

Table 17: Processing methods provided by the `ReferenceParticle` class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
<code>setReferenceParticleAtSource ()</code>		boolean	Set <code>ReferenceParticle</code> attributes at source. Returns True if success.
<code>setReferenceParticleAtDrift (iBLE)</code>	<code>BLE</code>	boolean	Set <code>ReferenceParticle</code> attributes at <code>BeamLineElement</code> ( <code>BLE</code> ) instance <code>iBLE</code> . Returns True if success.

### A.2.2.3 Processing methods

Table 17 presents the processing methods provided in the `ReferenceParticle` class.

### A.2.2.4 I/o methods

The `ReferenceParticle` class provides no additional i/o methods.

### A.2.2.5 Utilities

The `ReferenceParticle` class provides no additional utilities.

## A.3 Beam and extrapolateBeam

The `Beam` class is in some sense a "sister" class to `Particle`. Whereas an instance of `Particle` records the passage of a particle travelling through the beam line, an instance of `Beam` records the collective properties of the beam such as emittance, as the beam progresses through the beam line. The beam parameters reported in the attributes of an instance of `Beam` are obtained by summing over `nEvtMax` particles to evaluate the covariance matrices by location.

The `extrapolatedBeam` class is derived from `Beam`. An instance of `extrapolatedBeam` calculates the covariance matrix at a location along the beam line and then uses the transfer matrices to propagate the beam envelope.

### A.3.1 Beam

#### A.3.1.1 Instantiation

The call to instantiate the `Beam` class is:

```
Beam (InputDatafile, nEvtMax, outputCSVfile, startlocation,  
      beamlineSpecificationCSVfile)
```

`InputDatafile` is either the full path to a data file in one of the formats specified in `BeamIO` or an instance of the `BeamIO` class that refers to an existing data file that can be read. `nEvtMax` is the maximum number of events to read or process using the `Beam` instance. `outputCSVfile` is the full path to the output file, in CSV format, containing the beam parameters at the locations traversed by the beam. `startlocation` is the location along the beam line at which propagation will start; if `startlocation` is absent or `None` propagation will start at the source. `beamlineSpecificationCSVfile` is the CSV file specifying the beam line. `beamlineSpecificationCSVfile` is kept for backward compatibility. If the first record of `InputDatafile` contains the specification of the beam line, `beamlineSpecificationCSVfile` is not required.

#### A.3.1.2 Instance attributes and access methods

The instance attributes are presented in table 18 and the access methods are summarised in table 19.

#### A.3.1.3 Processing methods

Table 20 presents the processing methods provided in the `Beam` class.

#### A.3.1.4 I/o methods

The `Beam` class has no i/o methods.

#### A.3.1.5 Utilities

The utilities provided by the `Beam` class are listed in table 21.

Table 18: Definition of attributes of instances of the Beam class.

<b>Attribute</b>	<b>Type</b>	<b>Comment</b>
InputDataFile	Path/BeamIO	Path to, or BeamIO instance of input file.
BeamLineInstance	BeamLine	Instance of BeamLine to which the Beam instance refers.
nEvtMax	int	Maximum number of particles to read and process.
outputCSVfile	Path	Path to output CSV file to contain beam parameters by location.
startLoc	int	Location at which to start beam propagation.
BLSpecCSVfile	Path	beamlineSpecificationCSVfile, kept for backward compatibility.
location[iLoc]	List	List of locations (int) at which beam parameters are recorded.
s[iLoc]	List	List of $s$ coordinates of reference particle at locations at which beam parameters are recorded.
nParticles[iLoc]	List	List of number of particles (int) recorded at location iLoc.
CovMtrix[iLoc][6, 6]	List	List of covariance matrices (ndarray) by location.
sigmaxy[iLoc][2]	List	List of $\sigma_x = \text{sigmaxy}[iLoc][0]$ and $\sigma_y = \text{sigmaxy}[iLoc][1]$ (float).
emittance[iLoc][5]	List	List of emittance by location: $\epsilon_x = \text{emittance}[iLoc][0]$ , $\epsilon_y = \text{emittance}[iLoc][1]$ , $\epsilon_L = \text{emittance}[iLoc][2]$ , $\epsilon_{4D} = \text{emittance}[iLoc][3]$ , $\epsilon_{6D} = \text{emittance}[iLoc][4]$ .
Twiss[iLoc][2][3]	List	List of Twiss parameters by location: $\text{Twiss}[iLoc][0][0:2] = [\alpha_x, \beta_x, \gamma_x]$ , $\text{Twiss}[iLoc][1][0:2] = [\alpha_y, \beta_y, \gamma_y]$ .

Table 19: Definition of access methods for the Beam class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setInputDataFile	getInputDataFile	Set path to input file.
setBeamIORread	getBeamIORread	Set instance of BeamIO for input file.
setnEvtMax	getnEvtMax	Set maximum number of particles to deal with.
setoutputCSVfile	getoutputCSVfile	Set path to output CSV file.
setstartlocation	getstartlocation	Set start location.
setbeamlineSpecificationCSVfile	getbeamlineSpecificationCSVfile	Set beam line specification file.
sets	sets	Set $s$ by location.
setsigmaxy	setsigmaxy	Set $\sigma_{x,y}$ by location.
setEmittance	setEmittance	Set emittance list by location.
setTwiss	setTwiss	Set Twiss parameter list by location.
resetBeamInstances		Set list of beam instances to [ ].
	getCovSums	Get list of sums used to calculate covariance matrices.
	getCovMtrx	Get list of covariance matrices by location.
	getnParticles	Get number of particles entering covariance sums by location.
	getCovarianceMatrix	Get list of covariance matrices by location.
	getCovMtrx	Get list of covariance matrices by location.

Table 20: Processing methods provided by the Beam class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
initialiseSums ()			Initialise sums to be used to calculate covariance matrices by location.
incrementSums (iPrtrcl)	Particle		Increment sums for covariance matrix calculation for Particle instance iPrtrcl.
calcCovarianceMatrix ()			Calculate covariance matrices by location.
evaluateBeam (TrackBeam=False)	boolean		Loop over nEvtMax particles to calculate beam parameters by location. If TrackBeam is True, particles are transported through the beam line. If TrackBeam is False, covariance matrices stored as Beam instance attributes are used.

Table 21: Utilities provided by the Beam class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
cleanBeams ()		boolean	Delete all Beam instances, returns True if successful.
printProgression ()		list	Print beam parameters by location.
getHeader ()		list	Prepare header for CSV file containing beam parameters by location.
getLines ()		list	Prepare lines for CSV file containing beam parameters by location.
createReport ()		path	Interface to Report class to make beam parameter CSV file.
plotBeamProgression (plotFILE)		path	Plot beam parameters by location; plotFILE is full path to plot file.

## A.3.2 extrapolateBeam

### A.3.2.1 Instantiation

The call to instantiate the `extrapolateBeam` class is:

```
Beam(InputDatafile, nEvtMax, outputCSVfile, startlocation,  
      beamlineSpecificationCSVfile)
```

The arguments are passed directly to a call to instantiate the parent `Beam` class. In the execution of `extrapolateBeam`, the covariance matrices are calculated from `nEvtMax` at `startlocation`. If `startlocation`, the last location provided in the input data file is used as the source.

### A.3.2.2 Instance attributes and access methods

Instance attributes are inherited from `Beam` (table 18). Access methods are also inherited from `Beam` (table 19). The method `resetextrapolateBeamInstances` is provided to reset only the list of instances of `extrapolateBeam`.

### A.3.2.3 Processing methods

Table 22 presents the processing methods provided in the `extrapolateBeam` class.

Table 22: Processing methods provided by the `extrapolateBeam` class.

Method	Argument(s)	Return	Comment
<code>initialiseSums()</code>			Initialise sums to be used to calculate covariance matrices at <code>startlocation</code> .
<code>incfementSums(iPrtcl)</code>	Particle		Increment sums for covariance matrix calculation for Particle instance <code>iPrtcl</code> at <code>startlocation</code> .
<code>extrapolateCovarianceMatrix()</code>			Extrapolate covariance matrices along the beam line by location.
<code>extrapolateBeam()</code>			Estrapolate beam envelope and beam parameters along the beam line by location.

### A.3.2.4 I/o methods

The `extrapolateBeam` class has no i/o methods.

### A.3.2.5 Utilities

The utilities provided by the `extrapolateBeam` class are listed in table 23.

Table 23: Utilities provided by the `extrapolateBeam` class.

Method	Argument(s)	Return	Comment
<code>cleanextrapolateBeams()</code>		boolean	Delete all <code>extrapolateBeam</code> instances, returns True if successful.

## A.4 BeamLineElement

### A.4.1 Parent class

#### A.4.1.1 Instantiation

The call to instantiate the `BeamLineElenent` class is:

```
BeamLineElement (Name, rStart, vStart, drStart, dvStart)
```

where:

Name: (string) is the unique name of the element;

rStart: (numpy.ndarray(3)) is the three-vector position in laboratory coordinates of the start of the element;

vStart: (numpy.ndarray(1,2)) is the polar,  $\theta$ , and azimuthal,  $\phi$ , angles that define the  $y$  ( $i = 0$ ) and  $z$  ( $i = 1$ ) axes of the RPLC coordinate system at the start of the element ( $vStart = [[i], [\theta, \phi]]$ );

drStart: (numpy.ndarray(3)) error in the three-vector position with respect to the nominal position; and

dvStart: (numpy.ndarray(1,2)) error in the polar and azimuthal angles defining RPLC the  $y$  and  $z$  axes.

All arguments are required.

#### A.4.1.2 Instance attributes and access methods

Properties common to all beam-line elements are stored as instance attributes of the parent `BeamLineElement` class. The instance attributes are defined in table 24. The attributes are accessed and set using the methods defined in table 25.

#### A.4.1.3 Processing methods

Table 26 presents the processing methods provided in the `BeamLineElement` class.

#### A.4.1.4 I/o methods

Methods to read and write instance attributes to the files defined using the `BeamIO` package (see section ?? are provided. The calls are:

```
readElement (dataFILE)      and      writeElement (dataFILE) ;
```

where `dataFILE` is `BeamIO` instance.

#### A.4.1.5 Utilities

Table 27 presents the utilities provided in the `BeamLineElement` class.

Table 24: Definition of attributes of instances of the `BeamLineElement` class. The attributes marked \* above the dividing line are required in the call to instantiate the element. The attributes marked † below the dividing line are calculated.

<b>Attribute</b>	<b>Type</b>	<b>Unit</b>	<b>Comment</b>
Name*	String		Name of beam-line element.
rStart*	numpy.ndarray	m	[ $x, y, z$ ] position of entrance to element in laboratory coordinate system.
vStart*	numpy.ndarray	rad	[ $[i], [\theta, \phi]$ ] (polar and azimuthal angles) of RPLC $y$ and $z$ axes ( $i = 0, 1$ respectively) at start.
drStart*	numpy.ndarray	m	“Error”, [ $x, y, z$ ], displacement of start from nominal position (not yet implemented).
dvStart*	numpy.ndarray	rad	“Error”, [ $[i], [\theta, \phi]$ ], deviation in $\theta$ and $\phi$ from nominal axis (not yet implemented).
Strt2End†	numpy.ndarray		$1 \times 3$ translation from start of element to end; in laboratory coordinates. Set in derived class.
Rot2LbStrt†	numpy.ndarray		$3 \times 3$ rotation matrix that takes RPLC axes to laboratory axes at start.
Rot2LbEnd†	numpy.ndarray		$3 \times 3$ rotation matrix that takes RPLC axes to laboratory axes at end. Set in derived class.
TnrsMtrx†	numpy.ndarray		$3 \times 3$ transfer matrix. Set in derived class.

Table 25: Definition of access methods for the BeamLineElement class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setName (Name)	getName ()	Set/get name of beam-line element.
setrStart (rStart)	getrStart ()	Set/get laboratory $[x, y, z]$ position of entrance.
setvStart (vStart)	getvStart ()	Set/get RPLC $[\theta, \phi]$ of principal axis at start of element.
	getvEnd ()	Set/get RPLC $[\theta, \phi]$ of principal axis at end of element.
	getdrStart ()	Set/get ‘error’ displacement.
	getdvStart ()	Set/get ‘error’ deviation in $[\theta, \phi]$ .
	getLength ()	Set/get increment in $s$ across element, (length for elements that do not bend beam).
	getRot2LbStart ()	Set/get rotation matrix from RPLC axes to laboratory.
	getRot2LabStart ()	Set/get rotation matrix from RPLC to laboratory at start.
	getStart2End (t)	Set/get displacement vector start to end in laboratory coordinates.
		setStart2End takes 1 argument, t, a 1D np.ndarray containing the translation from the start to the end of the element in RPLC.
	getRot2LbEnd ()	Set/get rotation matrix from RPLC to laboratory at end.
	setRot2LbEnd (R)	setRot2LbEnd takes 1 argument, R, a 2D np.array containing the rotation matrix to be set.
	getTransferMatrix ()	Get transfer matrix set in derived class.
	getLines ()	Get lines to write LaTeX specification of element.

Table 26: Processing methods provided by the BeamLineElement class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
OutsideBeamPipe (R)	Float	Boolean	Returns False if particle is inside beam pipe. If R, radial distance from $z$ axis in RPLC, falls outside beam pipe, returns True.
ExpansionParameterFail (R)	Float	Boolean	Calculates an approximate expansion parameter and returns False if the parameter is large ( $> 1$ ). Not yet used in Transport.
Transport (V)	$6 \times 1$ np.ndarray	$6 \times 1$ np.ndarray	Transform 6D trace-space vector, V, across element. Final trace-space vector returned.
Shift2Local (V)	$6 \times 1$ np.ndarray	$6 \times 1$ np.ndarray	Transform 6D trace-space vector, V, from RPLC to laboratory coordinates. Phase-space vector in laboratory frame returned.
Shift2Laboratory (U)	$6 \times 1$ np.ndarray	$6 \times 1$ np.ndarray	Transform 6D phase-space vector, U, from laboratory coordinates to trace-space coordinates in the RPLC frame. Trace-space vector in RPLC frame returned.

Table 27: Utilities provided by the BeamLineElement class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
cleanInstances()			Delete (using “del”) all instances of the BeamLineElement class. Reset instances list.
removeInstance(inst)	Instance of BLE		Remove instance inst and remove from list of instances of BeamLineElement.
visualise(axs, CoordSys, Proj)	axs – Matplotlib “axes” instance CoordSys – string Proj – string		Manages plotting (visualisation) of element. “Lab” or “RPLC”, coordinate system in which to visualise element. “xz” or “yz” projection to visualise.

## A.4.2 Derived class: Facility (BeamLineElement)

### A.4.2.1 Instantiation

The call to instantiate the Facility derived class is:

```
Facility (Name, rStrt, vStrt, drStrt, dvStrt, p0, VCMV)
```

Parent class arguments Name, rStrt, vStrt, drStrt, and dvStrt are described in section A.4.1.2. These arguments are passed directly to BeamLineElement. The Facility arguments are translated into instance attributes as described in section A.4.2.2 and defined in table 29.

The lines that specify the Facility in the beam-line specification file are presented in table 28.

Table 28: Entries in the beam-line specification file that define the Facility object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
0	Facility	Global	Name	Name	LhARA		Value = <name of facility> (str); set for convenience
0	Facility	Global	Reference particle	Kinetic energy	15	MeV	Value = <reference particle kinetic energy> (float); unit MeV
0	Facility	Global	Vacuum chamber	Mother volume radius	0.035	m	Value = <radius of "tunnel"; mother volume around beam pipe> (float); unit m

### A.4.2.2 Instance attributes and access methods

The instance attributes are defined in table 29. The attributes are accessed and set using the methods defined in table 30.

Table 29: Definition of attributes of instances of the Facility (BeamLineElement) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
p0	float	MeV	Kinetic energy of reference particle.
VCMV	float	m	Radius of vacuum-chamber mother volume. The radius defines edge of the volume at which a particle trajectory is terminated. It may be necessary to introduce a beam pipe later.

Table 30: Definition of access methods for the Facility derived class.

Set method	Get method	Comment
setp0 (Name)	getp0 ()	Set/get momentum of reference particle (in MeV).
setVCMV (VCMV)	getrVCMV ()	Set/get radius of vacuum chamber mother volume.

#### A.4.2.3 Processing methods

The Facility derived class has no processing methods other than those inherited from the parent class.

#### A.4.2.4 I/o methods

The Facility derived class has no i/o methods other than those inherited from the parent class.

#### A.4.2.5 Utilities

The Facility derived class has no utilities other than those inherited from the parent class.

### A.4.3 Derived class: Drift (BeamLineElement)

#### A.4.3.1 Instantiation

The call to instantiate the Drift derived class is:

```
Drift (Name, rStrt, vStrt, drStrt, dvStrt, Length)
```

Parent class arguments Name, rStrt, vStrt, drStrt, and dvStrt are described in section A.4.1.2. These arguments are passed directly to BeamLineElement.

The lines that specify the Drift in the beam-line specification file are presented in table 31.

Table 31: Entries in the beam-line specification file that define the object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	Interface	Drift		Length	0.05	m	Length of drift

#### A.4.3.2 Instance attributes and access methods

The instance attributes are defined in table 32. The attributes are accessed and set using the methods defined in table 33.

Table 32: Definition of attributes of instances of the Drift (BeamLineElement) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
Length	float	m	Length of drift.

Table 33: Definition of access methods for the Facility derived class.

Set method	Get method	Comment
setLength (Length)	getLength ()	Set/get length of drift (in m).
setTransferMatrix ()		Set transfer matrix.

### A.4.3.3 Processing methods

The Drift derived class has no processing methods other than those inherited from the parent class.

### A.4.3.4 I/o methods

The Drift derived class has no i/o methods other than those inherited from the parent class.

### A.4.3.5 Utilities

The Drift derived class has no utilities other than those inherited from the parent class.

## A.4.4 Derived class: Aperture (BeamLineElement)

### A.4.4.1 Instantiation

The call to instantiate the Aperture derived class is:

```
Aperture(Name, rStrt, vStrt, drStrt, dvStrt, ParamList)
```

Parent class arguments Name, rStrt, vStrt, drStrt, and dvStrt are described in section A.4.1.2. These arguments are passed directly to BeamLineElement.

The lines that specify the Aperture object in the beam-line specification file are presented in table 34.

Table 34: Entries in the beam-line specification file that define the object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class. The three different types of aperture provided by the derived class are instantiated using the entries separated by solid lines.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	String	Aperture	Circular	Radius	0.002	m	Inner bore of entry to nozzle
1	String	Aperture	Elliptical	RadiusX	0.003	m	Half aperture in x of elliptical colimator
1	String	Aperture	Elliptical	RadiusY	0.002	m	Half aperture in y of ellipseof elliptical colimator
1	String	Aperture	Rectangular	RadiusX	0.05	m	Half aperture in x of rectangular colimator
1	String	Aperture	Rectangular	RadiusY	0.001	m	Half aperture in y of ellipseof rectangular colimator

### A.4.4.2 Instance attributes and access methods

The instance attributes are defined in table 35. The attributes are accessed and set using the methods defined in table 37.

### A.4.4.3 Processing methods

The Aperture processing method is defined in table 37.

Table 35: Definition of attributes of instances of the `Aperture` (`BeamLineElement`) derived class. All attributes are required in the call to instantiate the element.

<b>Attribute</b>	<b>Type</b>	<b>Unit</b>	<b>Comment</b>
ParamList	[]		List containing aperture parameters. The first parameter is an int and defines the aperture “Type”. The remaining elements in the parameter list are floats with meanings that depend on Type.
ParamList[0]	int		Type= 0; circular
ParamList[1]	float	m	Radius of circular aperture
ParamList[0]	int		Type= 1; Elliptical
ParamList[1]	float	m	Radius of elliptical aperture along $x_{RPLC}$ axis
ParamList[2]	float	m	Radius of elliptical aperture along $y_{RPLC}$ axis
ParamList[0]	int		Type= 2; Rectangular
ParamList[1]	float	m	Size of aperture along $x_{RPLC}$ axis
ParamList[2]	float	m	Size of aperture along $y_{RPLC}$ axis

Table 36: Definition of access methods for the `Aperture` derived class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
<code>setApertureParameters(ParamList)</code>	<code>getType()</code> <code>getParams()</code>	Set aperture parameters. Sets Type and parameters depending on Type. Get Type of aperture. Get aperture parameters.

Table 37: Utilities provided by the `Aperture` derived class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
<code>Transport(V)</code>	<code>np.ndarray</code>	<code>np.ndarray</code> or <code>None</code>	Transport trace-space vector V. If V falls outside of the aperture, return <code>None</code> .

#### A.4.4.4 I/o methods

The Aperture derived class has no i/o methods other than those inherited from the parent class.

#### A.4.4.5 Utilities

The Aperture derived class has no utilities other than those inherited from the parent class.

### A.4.5 Derived class: FocusQuadrupole (BeamLineElement)

#### A.4.5.1 Instantiation

The call to instantiate the FocusQuadrupole derived class is:

```
FocusQuadrupole(Name, rStrt, vStrt, drStrt, dvStrt, Length, Strength,
kFQ)
```

Parent class arguments Name, rStrt, vStrt, drStrt, and dvStrt are described in section A.4.1.2. These arguments are passed directly to BeamLineElement. The quadrupole Length is required together with either the field gradient, Strength (equation 28), or the quadrupole  $k$  parameter, kFQ (equation 30).

The lines that specify the FocusQuadrupole object in the beam-line specification file are presented in table 38.

Table 38: Entries in the beam-line specification file that define the object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class. The entries that define focus quadrupole are shaded light grey while the lines that define the defocus quadrupole are shaded in a darker shade of grey.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	String	Fquad		Length	0.04	m	Length of focusing quad
1	String	Fquad		Strength	332	T/m	Strength of focusing quad
1	String	Dquad		Length	0.02	m	Length of defocusing quad
1	String	Dquad		Strength	318.5	T/m	Strength of defocusing quad

#### A.4.5.2 Instance attributes and access methods

The instance attributes are defined in table 39. The attributes are accessed and set using the methods defined in table 41.

Table 39: Definition of attributes of instances of the FocusQuadrupole (BeamLineElement) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
FQmode	int		If 0, use particle momentum in calculation of transfer matrix; if 1, use reference particle momentum.
Length	float	m	Effective length of quadrupole.
Strength	float	T/m	Magnetic field gradient; required if kFQ is not given.
kFQ	float	$m^{-2}$	Quadrupole $k$ parameter.

Table 40: Definition of access methods for the FocusQuadrupole derived class.

Set method	Get method	Comment
setFQmode (FQmode)	getFQmode ()	Set/get FQmode.
setLength (Length)	getLength ()	Set/get length.
setStrength (Length)	getStrength ()	Set/get strength (field gradient).
setKFQ (Length)	getKFQ ()	Set/get kFQ, quadrupole $k$ parameter.

#### A.4.5.3 Processing methods

The FocusQuadrupole processing methods are defined in table 41.

Table 41: Utilities provided by the FocusQuadrupole derived class.

Method	Argument(s)	Return	Comment
calckFQ ()		float	Calculates kFQ if strength is given in instance attributes.
calcStrength ()		float	Calculates Strength if kFQ is given in instance attributes.

#### A.4.5.4 I/o methods

The Focusquadrupole derived class has no i/o methods other than those inherited from the parent class.

#### A.4.5.5 Utilities

The Focusquadrupole derived class has no utilities other than those inherited from the parent class.

### A.4.6 Derived class: DefocusQuadrupole (BeamLineElement)

#### A.4.6.1 Instantiation

The call to instantiate the DefocusQuadrupole derived class is:

```
DefocusQuadrupole (Name, rStrt, vStrt, drStrt, dvStrt, Length, Strength,
                    kDQ)
```

Parent class arguments Name, rStrt, vStrt, drStrt, and dvStrt are described in section A.4.1.2. These arguments are passed directly to BeamLineElement. The quadrupole Length is required together with either the field gradient, Strength (equation 28), or the quadrupole  $k$  parameter, kDQ (equation 30).

The lines that specify the FocusQuadrupole object in the beam-line specification file are presented in table 38.

#### A.4.6.2 Instance attributes and access methods

The instance attributes are defined in table 42. The attributes are accessed and set using the methods defined in table 44.

#### A.4.6.3 Processing methods

The DefocusQuadrupole processing methods are defined in table 44.

Table 42: Definition of attributes of instances of the `DefocusQuadrupole` (`BeamLineElement`) derived class. All attributes are required in the call to instantiate the element.

<b>Attribute</b>	<b>Type</b>	<b>Unit</b>	<b>Comment</b>
DQmode	int		If 0, use particle momentum in calculation of transfer matrix; if 1, use reference particle momentum.
Length	float	m	Effective length of quadrupole.
Strength	float	T/m	Magnetic field gradient; required if kDQ is not given.
kDQ	float	$m^{-2}$	Quadrupole $k$ parameter.

Table 43: Definition of access methods for the `DefocusQuadrupole` derived class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
<code>setDQmode (DQmode)</code>	<code>getDQmode ()</code>	Set/get DQmode.
<code>setLength (Length)</code>	<code>getLength ()</code>	Set/get length.
<code>setStrength (Length)</code>	<code>getStrength ()</code>	Set/get strength (field gradient).
<code>setKDQ (Length)</code>	<code>getKDQ ()</code>	Set/get kDQ, quadrupole $k$ parameter.

#### A.4.6.4 I/o methods

The `Defocusquadrupole` derived class has no i/o methods other than those inherited from the parent class.

#### A.4.6.5 Utilities

The `Defocusquadrupole` derived class has no utilities other than those inherited from the parent class.

Table 44: Utilities provided by the `DefocusQuadrupole` derived class.

Method	Argument(s)	Return	Comment
<code>calckDQ()</code>		float	Calculates <code>kDQ</code> if strength is specified.
<code>calcStrength()</code>		float	Calculates <code>Strength</code> if <code>kDQ</code> is specified.

## A.4.7 Derived class: `SectorDipole(BeamLineElement)`

### A.4.7.1 Instantiation

The call to instantiate the `SectorDipole` derived class is:

```
SectorDipole(Name, rStart, vStart, drStart, dvStart, Angle, B)
```

Parent class arguments `Name`, `rStart`, `vStart`, `drStart`, and `dvStart` are described in section A.4.1.2. These arguments are passed directly to `BeamLineElement`.

The lines that specify the `SectorDipole` object in the beam-line specification file are presented in table 45.

Table 45: Entries in the beam-line specification file that define the sector dipole object. `Stage` and `Section` may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	String	Dipole	Sector (Length, angle)	Length	0.8	m	
1	String	Dipole	Sector (Length, angle)	Angle	45	degrees	

The orientation of the RPLC coordinate axes with respect to those of the laboratory frame changes from the start of sector dipole to its end. Referring to figure 2, the vector,  $\mathbf{v}_{ES}$ , that translates the origin of the RPLC coordinate system at the start of the sector dipole to the origin of the RPLC coordinate system at its end is given by:

$$\mathbf{v}_{ES} = 2\rho_0 \sin\left(\frac{\phi}{2}\right) \begin{pmatrix} \sin\left(\frac{\phi}{2}\right) \\ 0 \\ \cos\left(\frac{\phi}{2}\right) \end{pmatrix}; \quad (86)$$

where  $\rho_0$  is the radius of the circular locus of the trajectory of the reference particle. If the rotation matrix taking the RPLC axes at the start of the sector dipole to the laboratory coordinate axes is  $\underline{\underline{R}}_S$ , then the vector,  $\mathbf{v}_{ES}^{\text{lab}}$ , that translates from the start of the sector dipole to its end in laboratory coordinates is given by:

$$\mathbf{v}_{ES}^{\text{lab}} = \underline{\underline{R}}_S \mathbf{v}_{ES}. \quad (87)$$

The rotation matrix that transforms from the RPLC system at the end of the sector dipole to the laboratory coordinate system,  $\underline{\underline{R}}_E$  is given by:

$$\underline{\underline{R}}_E = \underline{\underline{R}}_S \underline{\underline{R}}; \quad (88)$$

where:

$$\underline{\underline{R}} = \begin{pmatrix} \cos \phi & 0 & -\sin \phi \\ 0 & 1 & 0 \\ \sin \phi & 0 & \cos \phi \end{pmatrix}. \quad (89)$$

#### A.4.7.2 Instance attributes and access methods

The instance attributes are defined in table 46. The attributes are accessed and set using the methods defined in table 47.

Table 46: Definition of attributes of instances of the SectorDipole (BeamLineElement) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
Angle	float	rad	Angle through which sector dipole bends positive reference particle.
B	float	T	Magnetic field.

Table 47: Definition of access methods for the SectorDipole derived class.

Set method	Get method	Comment
setAngle (Angle)	getAngle ()	Set/get bending angle.
setB (B)	getB ()	Set/get dipole magnetic field.
setLength ()	getLength ()	Set/get length of reference particle trajectory through sector dipole (arc length).

#### A.4.7.3 Processing methods

The SectorDipole derived class has no processing methods other than those inherited from the parent class.

#### A.4.7.4 I/o methods

The SectorDipole derived class has no i/o methods other than those inherited from the parent class.

#### A.4.7.5 Utilities

The SectorDipole derived class has no utilities other than those inherited from the parent class.

### A.4.8 Derived class: Solenoid (BeamLineElement)

#### A.4.8.1 Instantiation

The call to instantiate the Solenoid derived class is:

```
Solenoid (Name, rStart, vStart, drStart, dvStart, Length, Strength, kSol)
```

Parent class arguments Name, rStart, vStart, drStart, and dvStart are described in section A.4.1.2. These arguments are passed directly to BeamLineElement. The solenoid Length is required together with either the magnetic field strength, Strength or the solenoid *k* parameter, kSol (equation 33).

The lines that specify the Solenoid object in the beam-line specification file are presented in table 52.

#### A.4.8.2 Instance attributes and access methods

The instance attributes are defined in table 49. The attributes are accessed and set using the methods defined in table 51.

Table 48: Entries in the beam-line specification file that define the solenoid object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	Matching	Solenoid	Length, strength	Length	0.857	m	
1	Matching	Solenoid	Length, strength	Strength	1.788858966	rad/m	

Table 49: Definition of attributes of instances of the Solenoid (BeamLineElement) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
Length	float	m	Effective length of solenoid.
Strength	float	T/m	Magnetic field gradient; required if kSol is not given.
kSol	float	$m^{-2}$	GaborLens $k$ parameter required if Strength not given.

#### A.4.8.3 Processing methods

The Solenoid processing method is defined in table 51.

#### A.4.8.4 I/o methods

The Solenoid derived class has no i/o methods other than those inherited from the parent class.

#### A.4.8.5 Utilities

The Solenoid derived class has no utilities other than those inherited from the parent class.

### A.4.9 Derived class: GaborLens (BeamLineElement)

#### A.4.9.1 Instantiation

The call to instantiate the GaborLens derived class is:

```
GaborLens (Name, rStrt, vStrt, drStrt, dvStrt, Bz, VA, RA, Rp, Length,
           kSol)
```

Parent class arguments Name, rStrt, vStrt, drStrt, and dvStrt are described in section A.4.1.2. These arguments are passed directly to BeamLineElement. The Gabor lens Length is required together with either the parameters Bz, VA, RA, and Rp corresponding, respectively, to the parameters  $B_z$ ,  $V_A$ ,  $V_A$  and  $R_p$  defined in section 4.4, or kSol, the solenoid strength parameter of the equivalent solenoid (see section 4.4). The effective electron number density inside the trap is calculated using either Bz, VA, RA and Rp or kSol.

The lines that specify the GaborLens object in the beam-line specification file are presented in table 52.

#### A.4.9.2 Instance attributes and access methods

The instance attributes are defined in table 53. The attributes are accessed and set using the methods defined in table 54.

#### A.4.9.3 Processing methods

The GaborLens processing method is defined in table 51.

Table 50: Definition of access methods for the `Solenoid` derived class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
<code>setLength(Length)</code>	<code>getLength()</code>	Set/get length.
<code>setStrength(B)</code>	<code>getStrength()</code>	Set/get strength (solenoid magnetic field).
<code>setKSol(Length)</code>	<code>getKFQ()</code>	Set/get <code>kSol</code> , solenoid $k$ parameter.

Table 51: Utilities provided by the `Solenoid` derived class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
<code>calckSol()</code>		float	Calculates <code>kSol</code> if strength is specified.
<code>calcStrength()</code>		float	Calculates Strength if <code>kSol</code> is specified.

#### A.4.9.4 I/o methods

The `GaborLens` derived class has no i/o methods other than those inherited from the parent class.

#### A.4.9.5 Utilities

The `GaborLens` derived class has no utilities other than those inherited from the parent class.

Table 52: Entries in the beam-line specification file that define the Gabor lens object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	String	Gabor lens	Length, strength	Length	0.857	m	
1	String	Gabor lens	Length, strength	Strength	1.604339941	rad/m	

Table 53: Definition of attributes of instances of the `GaborLens` (`BeamLineElement`) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
Bz	float	T	Effective length of Gabor lens.
VA	float	V	Effective length of Gabor lens.
RA	float	m	Effective length of Gabor lens.
RP	float	m	Effective length of Gabor lens.
Length	float	m	Effective length of Gabor lens.
Strength	float	T/m	Magnetic field gradient; required if kSol is not given.
kSol	float	$m^{-2}$	$k$ parameter of the solenoid with the equivalent focusing strength.

Table 54: Definition of access methods for the `GaborLens` derived class.

Set method	Get method	Comment
<code>setBz (Bz)</code>	<code>getBz ()</code>	Set/get magnetic field of the Penning-Malmberg trap.
<code>setVA (VA)</code>	<code>getVA ()</code>	Set/get anode voltage of the Penning-Malmberg trap.
<code>setRA (RA)</code>	<code>getRA ()</code>	Set/get radius of the anode of the Penning-Malmberg trap.
<code>setRP (RP)</code>	<code>getRP ()</code>	Set/get magnetic effective radius of the plasma confined within the Penning-Malmberg trap.
<code>setLength (Length)</code>	<code>getLength ()</code>	Set/get effective length of the lens.
<code>setStrength (Strength)</code>	<code>getStrength ()</code>	Set/get $k$ -parameter of the solenoid with the equivalent focal length.
<code>setElectronDenisty ()</code>	<code>getElectronDenisty ()</code>	Set/get electron density.

## A.4.10 Derived class: CylindricalRFCavity (BeamLineElement)

### A.4.10.1 Instantiation

The call to instantiate the CylindricalRFCavity derived class is:

```
CylindricalRFCavity(Name, rStart, vStart, drStart, dvStart, Gradient,
                      Frequency, Phase)
```

Parent class arguments Name, rStart, vStart, drStart, and dvStart are described in section A.4.1.2. These arguments are passed directly to BeamLineElement.

The lines that specify the CylindricalCavity object in the beam-line specification file are presented in table 55.

Table 55: Entries in the beam-line specification file that define the cylindrical-cavity object. Stage and Section may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class.

Stage	Section	Element	Type	Parameter	Value	Unit	Comment
1	String	Cavity	Cylindrical	Gradient	5	MV/m	Gradient
1	String	Cavity	Cylindrical	Frequency	200	MHz	Frequency
1	String	Cavity	Cylindrical	Phase	0	degrees	Phase of reference particle

### A.4.10.2 Instance attributes and access methods

The instance attributes are defined in table 56. The attributes are accessed and set using the methods defined in table 57.

Table 56: Definition of attributes of instances of the CylindricalRFCavity (BeamLineElement) derived class. All attributes are required in the call to instantiate the element.

Attribute	Type	Unit	Comment
Gradient	float	MV/m	Peak electric field gradient on axis.
Frequency	float	MHz	Resonant frequency.
Phase	float	rad	Phase cavity at time reference particle crosses centre of cavity, “linac convention”.
TransitTimeFactor	float		Transit time factor (equation 46).
V0	float	MV	Peak voltage.
alpha	float		$\alpha_{RF}$ parameter defined in equation 53.
wperp	float		$\omega_{\perp}$ parameter defined in equation 52.
cperp	float		$c_{\perp}$ parameter defined in equation 50.
sperp	float		$s_{\perp}$ parameter defined in equation 51.
wprll	float		$\omega_{\parallel}$ parameter defined in equation 56.
cprll	float		$c_{\parallel}$ parameter defined in equation 54.
sprll	float		$s_{\parallel}$ parameter defined in equation 55.

Table 57: Definition of access methods for the CylindricalRFCavity derived class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setGradient (Gradient)	getGradient ()	Set/get peak electric field gradient.
setFrequency (Frequency)	getFrequency ()	Set/get frequency.
setAngularFrequency (AngFreq)	getAngularFrequency ()	Set/get angular frequency.
setPhase (Phase)	getPhase ()	Set/get phase.
setWaveNumber (WaveNumber)	getWaveNumber ()	Set/get wavenumber.
setLength (Length)	getLength ()	Set/get Length.
setRadius (Radius)	getRadius ()	Set/get Radius.
setTransitTimeFactor (TransitTimeFactor)	getTransitTimeFactor ()	Set/get TransitTimeFactor.
setV0 (V0)	getV0 ()	Set/get peak voltage.
setalpha (alpha)	getalpha ()	Set/get alpha.
setwperp (wperp)	getwperp ()	Set/get wperp.
setcperp (cperp)	getcperp ()	Set/get cperp.
setsperp (sperp)	getsperp ()	Set/get sperp.
setwprll (wprll)	getwprll ()	Set/get wprll.
setcprll (cprll)	getcprll ()	Set/get cprll.
setsprll (sprll)	getsprll ()	Set/get sprll.
setmrf (mrf)	getmrf ()	Set/get mrf.

#### A.4.10.3 Processing methods

The CylindricalRFCavity derived class has no processing methods other than those inherited from the parent class.

#### A.4.10.4 I/o methods

The CylindricalRFCavity derived class has no i/o methods other than those inherited from the parent class.

#### A.4.10.5 Utilities

The CylindricalRFCavity derived class has no utilities other than those inherited from the parent class.

#### A.4.10.6 Processing methods

The CylindricalRFCavity derived class has no processing methods.

### A.4.11 Derived class: Source (BeamLineElement)

#### A.4.11.1 Instantiation

The call to instantiate the Source derived class is:

```
Source (Name, rStart, vStart, drStart, dvStart, Mode, Parameters)
```

Parent class arguments Name, rStart, vStart, drStart, and dvStart are described in section A.4.1.2. These arguments are passed directly to BeamLineElement. Mode is an integer that transmits the type of source to

be generated. The specification of the `Parameters` list depends on the value of `Mode`. The content of the `Parameters` list is transferred directly to the `Param` instance attribute.

The lines that specify the `Source` object in the beam-line specification file are presented in table 58.

Table 58: Entries in the beam-line specification file that define the source object. `Stage` and `Section` may be specified for convenience. These fields are used in creating the unique string that refers to the instance of the derived class. The groups of lines that define the source of each of the four Modes are indicated by the shading.

<code>Stage</code>	<code>Section</code>	<code>Element</code>	<code>Type</code>	<code>Parameter</code>	<code>Value</code>	<code>Unit</code>	<code>Comment</code>
1	Source	Source	Parameterised TNSA	Wavelength	0.8	um	Laser wavelength
1	Source	Source	Parameterised TNSA	Power	2.50E+14	W	Laser power
1	Source	Source	Parameterised TNSA	r0	1.50E-06	m	Radius of laser spot
1	Source	Source	Parameterised TNSA	Duration	2.8E-14	s	Laser pulse duration
1	Source	Source	Parameterised TNSA	Te	2.5	MeV	Hot electron temperature
1	Source	Source	Parameterised TNSA	Kmin	1	MeV	Minimum of energy distribution
1	Source	Source	Parameterised TNSA	Kmax	15	MeV	Maximum of energy distribution
1	Source	Source	Parameterised TNSA	Thickness	4.00E-07	m	Target thickness
1	Source	Source	Parameterised TNSA	DivAngle	25	degrees	Electron divergence angle
1	Source	Source	Parameterised TNSA	SigmaThetaS0	20	degrees	Intercept of dependence of RMS theta_S on KE
1	Source	Source	Parameterised TNSA	SlopeThetaS	1.50E+01	degrees	Scaled slope of dependence of RMS theta_S on KE
1	Source	Source	Parameterised TNSA	rpmax	-9999		Max r prime
1	Source	Source	Parameterised TNSA	SourceMode	1		Gaussian kinetic energy
1	Source	Source	Parameterised TNSA	SigmaX	4.00E-06	m	Gaussian width, x
1	Source	Source	Parameterised TNSA	SigmaY	4.00E-06	m	Gaussian width, y
1	Source	Source	Parameterised TNSA	MeanEnergy	15	MeV	Mean of gaussian kinetic energy
1	Source	Source	Parameterised TNSA	SigmaEnergy	0.3	MeV	Sigma of gaussian kinetic energy
1	Source	Source	Parameterised TNSA	MinCTheta	0.998		Minimum theta for flat cos theta
1	Source	Flat	SourceMode	2			Gaussian kinetic energy
1	Source	Flat	SigmaX	4.00E-06	m		Gaussian width, x
1	Source	Flat	SigmaY	4.00E-06	m		Gaussian width, y
1	Source	Flat	Emin	1	MeV		Minimum of energy distribution
1	Source	Flat	Emax	25	MeV		Maximum of energy distribution
1	Source	Flat	MinCTheta	0.998			Maximum theta for flat cos theta
1	Source	Source	ReadFromFile	SourceMode	3		Read particles from file

#### A.4.11.2 Instance attributes and access methods

The instance attributes are defined in tables 59, 60, and 61, each table refers to a particular source Mode. The attributes are accessed and set using the methods defined in table 63.

#### A.4.11.3 Processing methods

The `Source` derived class has no processing methods other than those inherited from the parent class.

#### A.4.11.4 I/o methods

The `Source` derived class has no i/o methods other than those inherited from the parent class.

#### A.4.11.5 Processing methods

The processing methods provided by the `Source` derived class are listed in table 64.

#### A.4.11.6 Utilities

The utilities provided by the `Source` derived class are listed in table 65.

Table 59: Definition of attributes of instances of the Source (BeamLineElement) derived class for source Mode= 0, the parameterised TNSA model. All attributes are required in the call to instantiate the element.

Param[0]	float	$\mu\text{m}$	$\lambda$ : Laser wavelength.
Param[1]	float	W	$P_L$ : Laser power.
Param[2]	float	m	$d$ : Diameter of laser spot at focus.
Param[3]	float	s	$t_{\text{laser}}$ : Laser pulse duration.
Param[4]	float	MeV	Hot electron temperature.
Param[5]	float	MeV	Minimum kinetic energy ( $K_{\min}$ ).
Param[6]	float	MeV	Maximum kinetic energy ( $K_{\max}$ ).
Param[7]	float	$^{\circ}$	$\theta_{\text{degrees}}$ : Electron divergence angle.
Param[8]	float	$^{\circ}$	Intercept of $\alpha$ , maximum half opening angle at $K = 0$ .
Param[9]	float	$^{\circ}$	Scaled slope of $\alpha(K)$ .
Param[10]	float		Maximum $\partial r/\partial s$ . If given as -9999., then all values of $\partial r/\partial s$ are accepted.

## A.5 UserFramework

UserFramework is a module that provides a set of methods used in the code provided to allow users easy access to the code and data. The following methods are provided:

`startAnalysis(argv)`: processes input flags passed in call to run script.

Argument:

`argv`: list of arguments passed to `main` by call to run script.

Returns:

`Success`: (boolean) True if processing successful.

`Debug`: (boolean) Set to True if flag `-d` is set to True.

`inputfile`: (path) full path to input file; set using flag `-y`.

`outputfile`: (path) full path to output file; set using flag `-o`.

`nEvts`: (integer) number of events to process; set using flag `-n`.

`bdsimfile`: (path) full path to bdsim format file; set using flag `-z`.

`beamspecfile`: (path) full path to beam specification CSV file; set using flag `-b`.

`handleFILES(beamspecfile, inputfile, outputfile, bdsimFILE=False)`: File handling method to check files exist and create relevant BeamIO instances.

Arguments:

`beamspecfile`: (path) full path to beam specification CSV file;

`inputfile`: (path) full path to input file;

`outputfile`: (path) full path to output file;

`bdsimfile`: (path) full path to bdsim format file.

Returns:

`Success`: (boolean) True if file handling successful.

`ibmIOR`: (BeamIO) instance of BeamIO class for file to be read.

`ibmIOW`: (BeamIO) instance of BeamIO class for file to be written.

Table 60: Definition of attributes of instances of the Source (BeamLineElement) derived class for source Mode= 1 in which energy is sampled from a normal distribution. All attributes are required in the call to instantiate the element.

<b>Attribute</b>	<b>Type</b>	<b>Unit</b>	<b>Comment</b>
Mode	integer		Mode= 1; parameterised laser-driven source.
Param[0]	float	m	Standard deviation of normal distribution from which $x$ coordinate is sampled.
Param[1]	float	m	Standard deviation of normal distribution from which $y$ coordinate is sampled.
Param[2]	float		Minimum $\cos \theta_S$ . The specification of a minimum for $\cos \theta_S$ improves the efficiency of generation as it may be used to restrict generation to the set of particles that will enter the downstream acceptance.
Param[3]	float	MeV	Mean kinetic energy.
Param[4]	float	MeV	Standard deviation of kinetic energy distribution.

`EventLoop(iUsrAnl, ibmIOR, ibmIOW, nEvtsIn)`: executes loop over `nEvtsIn` events. Reads event record or generates event if `ibmIOR=None`, and handles end-of-file. Passes control to `UserAnal.EventLoop`.

Arguments:

`iUsrAnl`: (`UserAnal`) instance.

`ibmIOR`: (`BeamIO`) instance of `BeamIO` class for file to be read.

`ibmIOW`: (`BeamIO`) instance of `BeamIO` class for file to be written.

`nEvtsIn`: (integer) number of events to process.

Returns:

`Success`: (boolean) True if successful.

## A.6 visualise

The `visualise` class manages the visualisation of the beam line and particles traversing it.

### A.6.1 Instantiation

The call to instantiate the `visualise` class is:

```
Beam(CoordSys, Projection)
```

`CoordSys` (string) is either “RPLC” to visualise the beam line in the reference particle local coordinate system or “Lab”. `Projection` (string) is either “`xs`” (RPLC) or “`xz`” (lab) to visualise the `xs` or `xz` projection and either “`ys`” (RPLC) or “`yz`” (lab) to visualise the `xs` or `xz` projection.

### A.6.2 Instance attributes and access methods

The instance attributes are presented in table 66 and the access methods are summarised in table 67.

### A.6.3 Processing methods

Table 68 presents the processing methods provided in the `visualise` class.

Table 61: Definition of attributes of instances of the `Source` (`BeamLineElement`) derived class for source `Mode= 2` in which energy is sampled from a uniform distribution. All attributes are required in the call to instantiate the element.

<b>Attribute</b>	<b>Type</b>	<b>Unit</b>	<b>Comment</b>
Mode	integer		Mode= 2; parameterised laser-driven source.
Param[0]	float	m	Standard deviation of normal distribution from which $x$ coordinate is sampled.
Param[1]	float	m	Standard deviation of normal distribution from which $y$ coordinate is sampled.
Param[2]	float		Minimum $\cos \theta_S$ . The specification of a minimum for $\cos \theta_S$ improves the efficiency of generation as it may be used to restrict generation to the set of particles that will enter the downstream acceptance.
Param[3]	float	MeV	Mean kinetic energy.
Param[4]	float	MeV	Maximum kinetic energy.

Table 62: Definition of attributes of instances of the `Source` (`BeamLineElement`) derived class for source `Mode= 3` in which parameters of particle at source are read from an input file. All attributes are required in the call to instantiate the element.

<b>Attribute</b>	<b>Type</b>	<b>Unit</b>	<b>Comment</b>
Mode	integer		Mode= 3; particle trace space read from file. In this case no additional parameters are required.

## A.6.4 I/o methods

The `visualise` class has no i/o methods.

## A.6.5 Utilities

The `visualise` class has no i/o methods.

Table 63: Definition of access methods for the `Source` derived class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
<code>setMode</code>	<code>getMode ()</code>	Set/get Mode.
<code>setModeText</code>	<code>getModeText ()</code>	Set string with readable name for mode.
<code>setModeParamterText</code>	<code>getParameterText ()</code>	Set/get list of strings with readable name for paramter.
<code>setParameters</code>	<code>getParameters ()</code>	Set/get parameters; list of paramters as defined above.
<code>setParameterUnit</code>	<code>getParameterUnit ()</code>	Set/get list of strings containing parameter units.

Table 64: Definition of processing methods provided by the Source derived class.

Method	Arguments	Return	Comment
getParticleFromSource ()		ndarray	Get trace space for particle at source, returns np.array.
getParticle ()		floats	Called from getParticleFromSource (), returns parameters used to create trace space of particle at source.
getF1atThetaPhi ()		floats	Called from getParticle () if flat $\cos \theta_S$ , flat $\phi_S$ distribution is requested.
getgofrp (rpmax, xp, yp)	floats	float	Returns $g(r')$ given input $r'_{\max}$ , $x'$ , and $y'$ .
g-theta (Energy)	float	float	Returns $\theta_S$ generated using a gaussian distribution. Deprecated.
angle_generator (Energy)		float	Returns $\theta_S, \phi_S$ using g_theta and uniform distribution for $\phi_S$ . Deprecated.
getGaussianThetaPhi (Energy)		float	Returns $\cos \theta_S$ and $\phi_S$ using angle-generator.
parameters (P, E, l, t1, d, I, t)		floats	Returns derived parameters used to generate TNSA proton kinetic energy spectrum.
equation (x, t1, t0)		floats	Returns result of evaluation equation ??.
getLaserDrivenProtonEnergy ()			Generates proton kinetic energy at source for parameterised TNSA model.
getLaserCumProbParam ()			
getLaserCumProb ()			
getLaserDrivenProtonEnergyProbDensity ()			
getTraceSpace ()			

Table 65: Definition of utilities provided by the `Source` derived class.

<b>Method</b>	<b>Arguments</b>	<b>Return</b>	<b>Comment</b>
CheckSourceParam (Mode, Param)	Integer, list	boolean	Class method. Check that mode and parameters are valid. Calls <code>CheckMode</code> and <code>CheckParam</code>
CheckMode (Mode)	integer	boolean	Class method. Check is valid.
CheckParam	list	boolean	Class method. Check parameter list is valid.
tabulateParameters ()			

Table 66: Definition of attributes of instances of the `visualise` class.

<b>Attribute</b>	<b>Type</b>	<b>Comment</b>
CoordSys	String	Either “RPLC” to visualise the in the reference particle local coordinate system or “Lab”.
Projection	String	Either “xs” (RPLC) or “xz” (lab) to visualise the xs or xz projection and either “ys” (RPLC) or “yz” (lab) to visualise the xs or xz projection.

Table 67: Definition of access methods for the `visualise` class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setCoordSys	getCoordSys	Set coordinate system for visualisation.
setProjection	setProjection	Set projection for visualisation.

Table 68: Processing methods provided by the `visualise` class.

<b>Method</b>	<b>Argument(s)</b>	<b>Return</b>	<b>Comment</b>
Particles (axs, nPrcl)	plot, integer		Manage plotting of nPrcl particles on matplotlib axes instance.
BeamLine (axs)	plot		Manage plotting of beam line on matplotlib axes instance.

## A.7 BeamIO

The BeamIO class provides interfaces to the reading and writing of beam specification and beam data files.

### A.7.1 Instantiation

The call to instantiate the BeamIO class is:

```
BeamIO(datafilePATH, datafileName, create, BDSIMfile)
```

datafilePATH: (string) Path to directory in which input file is to be found, or, in which output file is to be created. datafilePATH can be set to None if the full path is specified in datafileName.

datafileName: (string) File name (string) which, when appended to datafilePATH gives the full path to the input or output data file, or, full path to the input or output file.

create: (boolean) if true indicates that the file must be created. If a file exists at the location specified by datafilePATH and datafileName it will be overwritten.

BDSIMfile: (boolean) If True then the file is to be read or written in BDSIMfile format.

### A.7.2 Instance attributes and access methods

The BeamIO instance attributes are presented in table 69 and the access methods are summarised in table 70.

Table 69: Definition of attributes of instances of the BeamIO class.

Attribute	Type	Comment
dataFILE	Path	Full path to data file.
Read1stRecord	Boolean	True if first record has been read from file.
dataFILEversion	Integer	For BeamIO files version number identifying file format.
create	Boolean	True if data file is to be created.
BDSIMfile	Boolean	True id reading or writing a BDSim file.

Table 70: Definition of access methods for the BeamIO class.

Set method	Get method	Comment
setpathFILE	getpathFILE	Set/get path to directory containing data file.
setdataFILE	getdataFILE	Set/get full path to data file.
setReadFirstRecord	getReadFirstRecord	Set/get flag indicating whether the first record has been read.
setcreate	getcreate	Set/get flag indicating whether data file is to be created.
setdataFILEversion	getdataFILEversion	Set/get BeamIO version number.
setBDSIMfile	getBDSIMfile	Set/get flag indicating whether the data file is in BDSIM format.

### A.7.3 Processing methods

The BeamIO class has no processing methods.

### A.7.4 I/o methods

Table 71 presents the i/o methods provided by the BeamIO class.

Table 71: I/o methods provided by the BeamIO class.

Method	Argument(s)	Return	Comment
readBeamDataRecord()		Boolean	Manages reading/writing of a record from/to the data file. Returns a boolean, EoF, set to True if end of file has been detected.
writeFIRSTword()			Writes 9999 to indicate that BeamIO version is > 1. Returns integer version number.
writeVersion(version)	string		Writes data-file format version to file. Version is a string, e.g. BeamIO V3.
readVersion()		Integer	Reads data-file format version number from file. Returns integer version number stripped from end of string (e.g. 3).
writeREPOversion()			Writes git repo Tag label, together with date/time and text description of last commit, together with date/time.
readREPOversion()		list	Reads and returns list of strings containing git repo Tag label, together with date/time and text description of last commit, together with date/time.
flushNclosedataFile(dataFILE)	Path		Flush and close data dataFILE at end of processing.

### A.7.5 Utilities

Table 72 presents the utilities provided by the BeamIO class.

### A.8 Simulation

The singleton Simulation class provides a framework and utilities for the simulation of the passage of particles through the beam lines defined through the classes described in this document. By default the seed for the random number generator is set using the system time.

Table 72: Utilities provided by the BeamIO class.

Method	Argument(s)	Return	Comment
resetinstances()			Class method. Sets list of instances to [ ].
cleanBeamIOfiles()			Class method. Delete BeamIO instances and reset list of instances.

### A.8.1 Instantiation

The call to instantiate the Simulation class is:

```
Simulation(NEvts, BeamLineSpecificationCSVfile, dataFileDir,
           dataFileName)
```

NEvts: (integer) Number of events to generate.

BeamLineSpecificationCSVfile: (string) String containing path to the beam-line specification CVS file.

dataFileDir: (string) String containing path to directory in which data file is to be written.

dataFileName: (string) Name of file to be written.

Simulation has two methods defined outside the class:

getRandom(): returns number between 0. and 1. drawn from a uniform distribution; and

getParabolic(umax): returns number between -umax and umax drawn from a parabolic distribution with a maximum at 0.

### A.8.2 Instance attributes and access methods

The Simulation instance attributes are presented in table 73 and the access methods are summarised in table 74.

Table 73: Definition of attributes of instances of the Simulation class.

Attribute	Type	Comment
NEvt	integer	Number of events to generate.
ParamFileName	string	Path to beam-line parameter CSV file.
dataFileDir	string	Path to the directory in which data file is to be written.
dataFileName	string	Name of file to be created.
Facility	Facility	Instance of the derived Facility class derived from BeamLineElement.
iBmIOw	BeamIO	Instance of the derived BeamIO class for the file to be written.
ProgressPrint	boolean	If True the progress towards the NEvt requested events is printed.

### A.8.3 Processing methods

The Simulation class provides one processing method:

```
RunSim()
```

which manages the generation of NEvts events. The specification of the beam line and the individual events are written to the output data file.

#### A.8.4 I/o methods

The `Simulation` class provides no i/o methods.

#### A.8.5 Utilities

The `Simulation` provides no utilities.

### A.9 Physical constants

The `PhysicalConstants` class provides the physical constants that are required to carry out the linear optics calculations. The values are taken from, for example, the Particle Data Group book. The constants packages is implemented as a singleton class so that there is no ambiguity about which values are in use.

#### A.9.1 Instantiation

The call to instantiate the `PhysicalConstants` class is:

```
PhysicalConstants()
```

#### A.9.2 Instance attributes and access methods

The `PhysicalConstants` has no instance attributes. The access methods are summarised in table 75.

#### A.9.3 Processing methods

The `PhysicalConstants` class provides no processing methods.

#### A.9.4 I/o methods

The `PhysicalConstants` class provides no i/o methods.

#### A.9.5 Utilities

The `PhysicalConstants` provides no utilities.

Table 74: Definition of access methods for the Simulation class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
setNEvt	getNEvt	Set/get number of events to generate.
setBeamLineSpecificationFile	getBeamLineSpecificationFile	Set/get full path to beam line specification file.
setDataFileDir	getDataFileDir	Set/get path to directory in which data file is to be written.
setDataFileName	getDataFileName	Set/get name of data file to be created.
setFacility	getFacility	Set/get Facility instance.
setiBmIOW	getiBmIOW	Set/get BeamIO instance specifying the file to be written.
setProgressPrint	getProgressPrint	Set/get flag that controls the progress printout.

Table 75: Definition of access methods for the `PhysicalConstants` class.

<b>Set method</b>	<b>Get method</b>	<b>Comment</b>
	<code>getPDGref</code> <code>getSoL</code> <code>getSpecies</code> <code>getParticleMASS(Species)</code>  <code>mp</code> <code>getmPion</code> <code>getmMuon</code> <code>getmNeutrino</code> <code>getm0</code>	Returns PDG reference used. Returns speed of light in m/s. Returns list of particle species for which constants are stored. Returns particle mass for Species. Species is a list of strings: ["proton", "pion", "muon", "neutrino"]. Returns proton mass in MeV. Returns pion mass in MeV. Returns muon mass in MeV. Returns 0, neutrino mass (for nuSIM). Returns permittivity of free space.

## A.10 Report

The Report parent class supports a collection of derived classes to generate reports, usually in the form of a CSV file, based on the data stored in the attributes of the Beam, BeamLine, BeamLineElement, Particle, and other classes.

### A.10.1 Instantiation

The call to instantiate the Report class is:

```
Report (Name, ReportPath, FileName, Header, Lines)
```

Name: (string) Name of report;

ReportPath: (string) String containing path to the directory where report will be written;

FileName: (string) String containing name of the file in which report will be written.

Header: (list of strings) List containing strings that will form the header record of the report; and

Lines: (list of lists) The lines that will make up the lines of the report. List[i][j] provides the value of the item to be recorded in column j of row i of the report.

### A.10.2 Instance attributes and access methods

The Report parent class supports a collection of derived classes to generate reports, usually in the form of a CSV file, based on the data stored in the attributes of the Beam, BeamLine, BeamLineElement, Particle, and other classes. instance attributes are presented in table 76 and the access methods are summarised in table 77.

Table 76: Definition of attributes of instances of the Report class.

Attribute	Type	Comment
NEvt	integer	Number of events to generate.
ParamFileName	string	Path to beam-line parameter CSV file.
dataFileDir	string	Path to the directory in which data file is to be written.
dataFileName	string	Name of file to be created.
Facility	Facility	Instance of the derived Facility class derived from BeamLineElement.
iBmIOw	BeamIO	Instance of the derived BeamIO class for the file to be written.
ProgressPrint	boolean	If True the progress towards the NEvt requested events is printed.

Table 77: Definition of access methods for the Report class.

Set method	Get method	Comment
setName	getName	Set/get name of report.
setReportPath	getReportPath	Set/get path to director into which report file is to be written.
setFileName	getFileName	Set/get name of file to be written.
setHeader	getHeader	Set/get list of strings forming header fields.
setLines	getLines	Set/get list of lists containing report entries line by line.

### A.10.3 Processing methods

Table 79 presents the processing methods provided in the Report class.

Table 78: Processing methods provided by the Report class.

Method	Argument(s)	Return	Comment
createPandasDataFrame()		Data frame instance	Create pandas data frame using the data contained in Header and Lines. The instance is returned.

### A.10.4 I/o methods

Table ?? presents the i/o methods provided in the Report class.

Table 79: I/o methods provided by the Report class.

Method	Argument(s)	Return	Comment
createCSV(DataFrame)	Data frame instance		Create CSV file from pandas DataFrame using the data contained in Header and Lines.
asCSV()			Write pandas dataframe and write it to CSV file.

## A.11 LaTeX

The LaTeX module provides 2 methods to support the generation of LaTeX tables from data stored in the class and instance attributes. The first method, TableHeader, creates the header of LaTeX table. The method is accessed via the call:

```
TableHeader(FilePath, TabString, Caption)
```

where:

FilePath: (path) is the full path to the file to contain the table;

TabString: (string) is the string that defines the columns, e.g., '| c | c |', would result in a two-column table in which the contents of each column is centred; and

Caption: (string) Is the string to be used as the table caption; and

Each line in the table are entered with the call:

```
TableLine(FilePath, Line)
```

where:

FilePath: (path) is the full path to the file to contain the table;

`Line`: (string) is a list of strings that contain the contents of the line. A typical use case would be to create the table header, enter a line containing the header fields and then proceede to add each line in the table in turn; and LaTeX commands are allowed, e.g. `Line = \hline` will produce a horizontal line.

The final lines of LaTeX code is entered with the call:

```
TableTrailer(FilePath)
```

where:

`FilePath`: (path) is the full path to the file to contain the table.

## B Set-up and run

### B.1 Introduction

This section summarises the steps needed to set-up and run the LhARA linear optics package. The code has been developed in python; python 3 is assumed.

It is assumed that most users will want to use the code rather than develop new features. The instructions to set up your “UserFramework” are given in section B.6.

### B.2 Getting the code

The linear optics package is maintained using the GitHub version-control system. The latest release can be downloaded from:

<https://github.com/ImperialCollegeLondon/LhARAlinearOptics.git>

### B.3 Dependencies and required packages

The linear optics code requires the following Python modules:

`matplotlib, numpy, and scipy.`

It may be convenient to run the package in a “virtual environment”. To set this up, after updating your python installation to python 3, execute the following commands:

1. `python3 -m venv --system-site-packages venv`
  - This creates the director `venv` that contains files related to the virtual environment.
2. `source venv/bin/activate`
3. `pip install -r requirements.txt`

To exit from the virtual environment, execute the command `deactivate`.

The command `source venv/bin/activate` places you back into the virtual environment.

### B.4 Unpacking the code, directories, and running the tests

After downloading the package from GitHub, or cloning the repository, you will find a “`README.md`” file which provides some orientation and instructions to run the code. In particular, a bash script “`startup.bash`” is provided which:

- Sets the “`LhARAOpticsPATH`” environment variable so that the files that hold constants etc. required by the code can be located; and
- Adds “01-Code” (see below) to the `PYTHONPATH`. The scripts in ”02-Tests” (see below) may then be run with the command `python 02-Tests/<filename>.py`.

Below the top directory, the directory structure in which the code is presented is:

01-Code: contains the python implementation as a series of modules. Each module contains a single class or a related set of methods.

02-Tests: contains self-contained test scripts that run the various methods and simulation packages defined in the code directory.

11-Parameters: contains the parameter set used to specify the various beam lines presently implemented.

The instructions in the `README.md` file should be followed to set up and run the code.

## B.5 Running the code

Execute "startup.bash" from the top directory (i.e. run the bash command "source startup.bash"). This will:

- Set up "LhARAOpticsPATH"; and
- Add "01-Code" to the PYTHONPATH. The scripts in "02-Tests" may then be run with the command "python 02-Tests/<filename>.py";
- Example scripts are provided in "03-Scripts", these can be used first to "Run" the simulation and then to "Read" the data file produced. Example scripts are provided for the DRACO, LION, and LhARA Stage 1 beam lines.

## B.6 User framework

It is assumed that most users will want to use the code rather than develop new features. To facilitate easy use of the package, the directory tree "31-UserDirectory/" has been provided. To use the code, copy the files and directories in 31-UserDirectory/ to your own space. The environment is set-up by executing:

```
source <LhARAOpticsPackage>/venv/bin/activate
```

where <LhARAOpticsPackage> is the path to the directory in which the LhARA linear optics package was installed. The environment variables are set using:

```
source user_startup.bash -p <path to LhARAOpticsPackage>
```