

# LhARA linear optics documentation

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### 1 Introduction

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Introduction to the documentation! Mantion:

• Goal to define consistent notation and document code.

# 2 Coordinate systems

### 2.1 Laboratory coordinate system

The origin of the LhARA coordinate system, the "laboratory coordinate system" or "laboratory reference frame", is at the position of the laser focus at the position of the laser-target interaction. The z axis is horizontal and parallel to the nominal capture axis, pointing in the downstream direction. The y axis points vertically upwards, and the x axis completes a right-handed orthogonal coordinate system.

Unit vectors along the x, y and z axes are i, j and k respectively. The position of the reference particle, its momentum and energy are described as functions of the distance it has travelled from the origin of coordinates to its current position. The distance travelled is defined to be s, making the position,  $r_0$ , momentum,  $p_0$ , and energy,  $E_0$ , of the reference particle at position s:

$$r_0 = r_0(s);$$
  
 $p_0 = p_0(s);$  and  $E_0 = E_0(s).$  (1)

The magnitude of the reference particle velocity is  $v_0$  and the relativistic parameters that determine the reference particle energy and momentum are:

$$\beta_0 = \frac{v_0}{c}; \text{ and}$$

$$\gamma_0 = \frac{1}{\sqrt{1 - \beta_0^2}};$$

where c is the speed of light. The time, t, at which the reference particle is at s is also a function of s:

$$t = t(s) = \frac{s}{v_0} = \frac{s}{c} \frac{E_0}{cp_0};$$
 (2)

where  $p_0 = |p_0|$ .

## 2.2 Reference particle local coordinate system

A coordinate system defined relative to the position of the reference particle, the "reference particle local coordinate" (RPLC) system, may be defined using the direction in which the particle is travelling. The position of the particle defines the origin of the RPLC system, see figure 1. The tangent to the reference particle trajectory at s defines the  $z_{\rm RPLC}$  axis with unit vector  $k_{\rm RPLC}$ . In the laboratory frame, the presence of local electric or magnetic fields may cause the reference particle's trajectory to change. In the neighbourhood of the

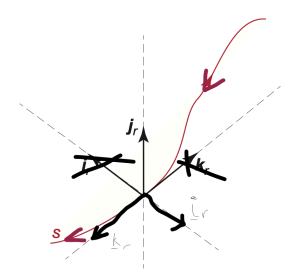


Figure 1: Reference particle local coordinate system. The trajectory of the reference particle is shown as the red line. The distance the reference particle has travelled, measured from the origin of coordinates in the laboratory frame, is labelled s. The origin of the RPLCs is coincident with the position of the reference particle. The directions of unit vectors along each of three righthanded, orthogonal coordinate axes are shown as black arrows labelled  $i_0$ ,  $j_0$ , and  $j_0$ .

particle, the curved trajectory may be described in terms of an arc of a circle. The  $x_{\rm RPLC}$  axis (with unit vector  $i_{\rm RPLC}$ ) is then taken to be in the direction pointing away from the centre of the circle. The third coordinate axis,  $y_{\rm RPLC}$ , is defined to complete the right-handed orthogonal coordinate system; the unit vector along the  $y_{\rm RPLC}$  axis being given by  $j_{\rm RPLC} = k_{\rm RPLC} \times i_{\rm RPLC}$ .

The trajectory of the reference particle will be a straight line as it traverses a drift space or when the particle's energy is increased (or decreased) through an electric field applied parallel to its direction of motion. In such cases the RPLC axes are taken to coincide with either the laboratory coordinate system or the RPLC system defined at the exit of the beam-line element that preceded the drift space or accelerating element.

## 2.3 Transforming to and from reference particle local coordinates to laboratory coordinates

In the RPLC system, the trajectory of the reference particle,  $R_0$ , is:

$$\mathbf{R}_0(s) = \mathbf{0} \,. \tag{3}$$

The position of a test particle in the RPLC frame, R, is described with reference to the position of the reference particle. In the laboratory frame, the position of the test particle is:

$$r(s) = r_0(s) + \delta r(s); \tag{4}$$

where:

$$\delta r(s) = \underline{\underline{R}}(s)R(s)$$
; and (5)

 $\underline{R}(s)$  is a rotation matrix that takes the RPLCs at s to the laboratory frame coordinates.

In the laboratory frame, the unit vectors  $i_{
m RPLC}, j_{
m RPLC}$  and  $k_{
m RPLC}$  are given by:

$$i_{\text{RPLC}} = \begin{pmatrix} i_{rx} \\ i_{ry} \\ i_{rz} \end{pmatrix};$$
 $j_{\text{RPLC}} = \begin{pmatrix} j_{rx} \\ j_{ry} \\ j_{rz} \end{pmatrix};$  and
$$k_{\text{RPLC}} = \begin{pmatrix} k_{rx} \\ k_{ry} \\ k_{rz} \end{pmatrix}.$$
(6)

The rotation matrix,  $\underline{R}$ , may now be written:

$$\underline{\underline{R}}(s) = \begin{bmatrix} i_{\text{RPLC}x} & j_{\text{RPLC}x} & k_{\text{RPLC}x} \\ i_{\text{RPLC}y} & j_{\text{RPLC}y} & k_{\text{RPLC}y} \\ i_{\text{RPLC}z} & j_{\text{RPLC}z} & k_{\text{RPLC}z} \end{bmatrix} .$$
(7)

# 3 Phase space and trace space

Para 1:

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- Quantum effects required to describe, e.g., development of polarisation in electron storage rings;
- Description of beam dynamics largely done using classical, Hamiltonian, mechanics;
- In classical mechanics, the equations of motion are solved to give the evolution of the position, momentum, and energy of a particle as a function of time;
- Check Goldstein, time as parameter.

Para 2:

- Relativistic mechanics exploits four-vector position ( $\underline{R} = (r, ct)$ ) and four-vector momentum ( $\underline{P} = (p, E)$ );
- Hamiltonian mechanics uses time as a paramter, i.e.  $\underline{R} = \underline{R}(t)$  and  $\underline{P} = \underline{P}(t)$ ;
- Time, or a quantity directly related to time such as the path length, s, is therefore a paramter. In addition, E and p are related by the invariant mass of particle.

Para 3:

- Phase space is the position of the particle in coordinate and and momentum space;
- Six phase-space coordinates are required and are usually to taken to be r and p;
- This section defines the 6-dimensional spaces used to describe particle phase space in the linear optics code.

#### 3.1 Phase space

The 6D phase-space vector is defined in terms of the three-vector position and three vector momentum as:

$$\begin{bmatrix} \mathbf{r} \\ \mathbf{p} \end{bmatrix} = \begin{bmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \\ \begin{pmatrix} p_x \\ p_y \\ p_z \end{pmatrix} \end{bmatrix}$$
(8)

The trajectory of the particle is evaluated as a function of time, t or s.

### 3.2 Trace space

Trace space is defined to simplify the calculation of the trajectory of particles through the accelerator lattice and is derived from the phase space expressed in the RPLC frame. Consider a particle with position  $(x_{\rm RPLC}, y_{\rm RPLC}, z_{\rm RPLC})$  and momentum  $p_{\rm RPLC}$  with components  $(p_{\rm x\,RPLC}, p_{\rm y\,RPLC}, p_{\rm z\,RPLC})$ . Taking the momentum of the reference particle in the laboratory frame to be  $p_0$ , the trace-space coordinates are given by:

$$\phi = \begin{pmatrix} x_{\text{RPLC}} \\ x'_{\text{RPLC}} \\ y_{\text{RPLC}} \\ y'_{\text{RPLC}} \\ z_{\text{RPLC}} \\ \delta_{\text{RPLC}} \end{pmatrix}; \tag{9}$$

where:

$$x'_{\text{RPLC}} = \frac{\partial x}{\partial s} = \frac{cp_{x \text{ RPLC}}}{cp_0};$$
 (10)

$$y'_{\text{RPLC}} = \frac{\partial y}{\partial s} = \frac{cp_{y \text{ RPLC}}}{cp_{0}};$$
 (11)

$$z_{\text{RPLC}} = \frac{s}{\beta_0} - ct = \frac{\Delta s}{\beta_0};$$
 (12)

$$\delta_{\text{RPLC}} = \frac{E}{cp_0} - \frac{1}{\beta_0} = \frac{\Delta E}{cp_0}; \text{ and}$$
 (13)

 $\Delta s$  and  $\Delta E$  are the differences between the reference particle position,  $s_0$ , and its energy,  $E_0$ , and the position and energy of the test particle, s and E respectively.  $\Delta s$  and  $\Delta E$  are given by  $\Delta s = s - s_0$  and  $\Delta E = E - E_0$ .

### 60 4 Transfer matrices

Description of beam transport often carried out by:

- Breaking lattice down into a series of "elements", e.g. drift, quadrupole, dipole, solenoid, etc.;
- Transport of particle between the start and end of a particular element of the lattice linearised such that the trace space at the end of the element,  $\phi_f$ , is written in terms of the trace space at the start of the element,  $\phi_i$ :

$$\phi_f = \underline{T} \, \phi_i \,; \text{and}$$
 (14)

• The distance along the reference particle trajectory is increased by  $\delta s$ , where:

$$s_{\text{end}} = s_{\text{start}} + \delta s;$$
 (15)

and  $s_{\rm start}$  and  $s_{\rm end}$  are the length of the reference particle trajectory at the start and end of the beam-line element respectively.

Many excellent descriptions of derivation of transfer matrices,  $\underline{T}$ , so only quote results here.

#### 4.1 Drift

A "drift" space refers to a region in which the beam propagates in the absence of any electromagnetic fields. In a drift, particles propagate in straight lines, therefore:

$$\underline{\underline{T}}_{\text{drift}} = \begin{pmatrix}
1 & l & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & l & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_0^2 \gamma_0^2} \\
0 & 0 & 0 & 0 & 0 & 1
\end{pmatrix};$$
(16)

where l is the length of the drift and  $\gamma_0 = (1 - \beta_0^2)^{-\frac{1}{2}}$ . The increment in the reference particle trajectory is:

$$\delta s = l. \tag{17}$$

## 4.2 Quadrupole

The passage of a beam particle through a quadrupole magnet may be described by specifying the field gradient, g, within the magnet and the length, l, of the quadrupole measured along its axis. The impact of a quadrupole on the trajectory of a particle in the xy plane is independent of the impact of the magnet on the particle's trajectory in the yz plane. In this sense quadrupole focusing in the xz and yz planes is said to be "uncoupled".

If the field gradient along the x and y axes is identical, then:

$$g_x = \frac{\partial B_x}{\partial x} = g_y = \frac{\partial B_y}{\partial y} = g;$$
 (18)

where the field in the quadrupole, B, has components  $(B_x, B_y, 0)$ .

In the "hard-edge" approximation, where the field falls to zero at the start and end of the quadrupole, the transfer matrix for a quadrupole focusing in the xz plane (a "focusing quadrupole") may be written:

$$\underline{T}_{\text{Fquad}} = \begin{pmatrix}
\cos(\sqrt{k}l) & \frac{\sin(\sqrt{k}l)}{\sqrt{k}} & 0 & 0 & 0 & 0 \\
-\sqrt{k}\sin(\sqrt{k}l) & \cos(\sqrt{k}l) & 0 & 0 & 0 & 0 \\
0 & 0 & \cosh(\sqrt{k}l) & \frac{\sinh(\sqrt{k}l)}{\sqrt{k}} & 0 & 0 \\
0 & 0 & \sqrt{k}\sinh(\sqrt{k}l) & \cosh(\sqrt{k}l) & 0 & 0 \\
0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_0^2 \gamma_0^2} \\
0 & 0 & 0 & 0 & 0 & 1
\end{pmatrix};$$
(19)

where:

$$k = \frac{gc}{p} \times 10^{-6} \,,$$
(20)

and c is the speed of light in metres per second, p is the magnitude of the momentum of the particle in MeV/c, and the field gradient, g, is given in T/m. As before,  $\beta_0$  is the relativistic velocity of the reference particle and  $\gamma_0 = (1 - \beta_0^2)^{-\frac{1}{2}}$ . The increment in the reference particle trajectory is:

$$\delta s = l. (21)$$

In the same notation, the transfer matrix for a quadrupole focusing in the yz plane (a "defocusing quadrupole")

may be written:

$$\underline{\underline{T}}_{\text{Dquad}} = \begin{pmatrix} \cosh(\sqrt{k}l) & \frac{\sinh(\sqrt{k}l)}{\sqrt{k}} & 0 & 0 & 0 & 0\\ \sqrt{k}\sinh(\sqrt{k}l) & \cosh(\sqrt{k}l) & 0 & 0 & 0 & 0\\ 0 & 0 & \cos(\sqrt{k}l) & \frac{\sin(\sqrt{k}l)}{\sqrt{k}} & 0 & 0\\ 0 & 0 & -\sqrt{k}\sin(\sqrt{k}l) & \cos(\sqrt{k}l) & 0 & 0\\ 0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_0^2\gamma_0^2}\\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$
 (22)

### 4.3 Solenoid

The passahge of a beam particle through a solenoid is determined by the magnetic field strength, B, within the solenoid and the length of the solenoid, l, measured along its axis. As the particle enters the solenoid, the fringe fields impart momentum transverse to the axis of the magnet. This results in the particle executing a helical trajectory, the axis of the helix being parallel to the solenoid axis. The sense of the rotation depends on the particle charge and the polarity of the field. The helical motion means that the evolution of the particle motion in the yz plane is coupled with the evolution of the particle motion in the yz plane.

In the "hard-edge" approximation, the magnetic field inside the magnet is given by  $\mathbf{B} = (0, 0, B_0)$ , where the solenoid axis lies along the  $z_{\text{RPLC}}$  axis. The solenoid field strength parameter is then given by:

$$k_s = \left[\frac{B_0 c}{2p} \times 10^{-6}\right]^2; (23)$$

where  $B_0$  is measured in T, p in MeV/c and c in m/s.

The transfer matrix for passage of a positive particle through a solenoid with field pointing in the positive  $z_{\text{RPLC}}$  direction may may be written:

$$\underline{T}_{Sol} = \begin{pmatrix}
\cos^{2}(\sqrt{k_{s}}l) & \frac{1}{2\sqrt{k_{s}}}\sin(\sqrt{k_{s}}l) & \frac{1}{2}\sin(2\sqrt{k_{s}}l) & \frac{1}{\sqrt{k_{s}}}\sin^{2}(\sqrt{k_{s}}l) & 0 & 0 \\
-\frac{\sqrt{k_{s}}}{2}\sin(2\sqrt{k_{s}}l) & \cos^{2}(\sqrt{k_{s}}l) & -\sqrt{k_{s}}\sin^{2}(\sqrt{k_{s}}l) & \frac{1}{2}\sin(2\sqrt{k_{s}}l) & 0 & 0 \\
-\frac{1}{2}\sin(2\sqrt{k_{s}}l) & -\frac{1}{\sqrt{k_{s}}}\sin^{2}(\sqrt{k_{s}}l) & \cos^{2}(\sqrt{k_{s}}l) & \frac{1}{2\sqrt{k_{s}}}\sin(2\sqrt{k_{s}}l) & 0 & 0 \\
\sqrt{k_{s}}\sin^{2}(\sqrt{k_{s}}l) & -\frac{1}{2}\sin(2\sqrt{k_{s}}l) & -\frac{\sqrt{k_{s}}}{2}\sin(2\sqrt{k_{s}}l) & \cos^{2}(\sqrt{k_{s}}l) & 0 & 0 \\
0 & 0 & 0 & 0 & 1 & \frac{l}{\beta_{0}^{2}\gamma_{0}^{2}} \\
0 & 0 & 0 & 0 & 0 & 1
\end{pmatrix}. (24)$$

### 5 Source

Para 1:

- A variety of options for generating the particle distribution at source are included in the package;
- The principle (default) option is the model of the TNSA mechanism presented in [1].

85 Para 2:

• The energy spectrum of the protons per unit energy and unit solid angle is given by:

$$\frac{dN}{dE} = \frac{n_{i0}c_s t}{(2EE_0)^{\frac{1}{2}}} \exp\left[-\left(\frac{2E}{E_0}\right)^{\frac{1}{2}}\right]; \tag{25}$$

where  $n_{i0}$  is the ion number density at t = 0, the instant the laser pulse strikes the target;  $c_s$  is given by:

$$c_s = \left[\frac{Zk_{\rm B}T_e}{m_i}\right]^{\frac{1}{2}}; \tag{26}$$

where Z is the ion charge number,  $k_{\rm B}$  is the Boltzmann constant;  $T_e$  is the electron temperature;  $m_i$  is the ion mass; t is the instant in time at which the spectrum is evaluated; and

$$E_0 = \left[ \frac{n_{e0} k_{\rm B} T_e}{\epsilon_0} \right]^{\frac{1}{2}} \tag{27}$$

Para 3:

• To generate the proton spectrum at the source, a practical approach is taken. The leading behaviour of equation 25 is taken to be:

$$\frac{dN}{dE} = \Gamma \frac{\exp\left[-E^{\frac{1}{2}}\right]}{E^{\frac{1}{2}}}; \tag{28}$$

• Normalisation of equation 28 between a minimum energy  $(E_{\min})$  and a maximum energy  $(E_{\max})$  is used to determine the constant  $\Gamma$ . Both  $E_{\min}$  and  $E_{\max}$  are user defined and set at the initialisation stage.

# **References**

90 [1] P. Mora, "Plasma Expansion into a Vacuum," *Phys. Rev. Lett.* **90** (May, 2003) 185002. https://link.aps.org/doi/10.1103/PhysRevLett.90.185002.

### Introduction

This document summarises the steps needed to set-up and run LhARA\_Beamline linear optics simulation of the LhARA beamine. A summary of the tasks that LhARA\_Beamline software suite performs will be documented in due course. LhARA\_Beamline has been developed in python; python 3 is assumed.

# **Getting the code**

LhARA\_Beamline is maintained using the GitHub version-control system. The latest release can be downloaded from the ... should we moce to the LhARA repository ... its git, but, bespoke to CCAP/LhARA.

# Dependencies and required packages

- 100 LhARA\_Beamline requires the following packages:
  - Python modules: scipy, matplotlib, pandas, and iminuit;
  - CERN programme library: pyroot (which may be installed using the standard root installers, see the documentation at https://root.cern/install/).

It may be convenient to run LhARA\_Beamline in a "virtual environment". To set this up, after updating your python installation to python 3.9.2, and installing root, execute the following commands:

- 1. python3 -m venv --system-site-packages venv
  - This creates the director venv that contains files related to the virtual environment.
- 2. source venv/bin/activate
- 3. python -m pip install pandas scipy matplotlib iminuit
- o To exit from the virtual environment, execute the command deactivate.

The command source venv/bin/activate places you back into the virtual environment.

# Unpacking the code, directories, and running the tests

After downloading the package from GitHub, or cloning the repositiry, you will find a "README.md" file which provides some orientation and instructions to run the code. In particular, a bash script "startup.bash" is provided which:

- Sets the "LhARAOpticsPATH" environment variable so that the files that hold constants etc. required by the code can be located; and
- Adds "01-Code" (see below) to the PYTHONPATH. The scripts in "02-Tests" (see below) may then be run with the command "python 02-Tests/< filename >.py".
- Below the top directory, the directory structure in which the code is presented is:
  - 01-Code: contains the python implementation as a series of modules. Each module contains a single class or a related set of methods.
  - 02-Tests: contains self-contained test scripts that run the various methods and simulation packages defined in the code directory.
- 11-Parameters: contains the parameter set used in 02-Tests/RunSimulation.py to generate muon decays in the production straight.

The instruction in the README . md file should be followed to set up and run the code.

# **Running the code**

The file in 02-Tests/RunSimulation.py - will run the code.

- 130 The file **RunSimulation.py** contains:
  - The definition of csv input file to control the running of the Simulation; and
  - The call to the Simulation class with; the number of events to generate; the central energy to generate; and the filenames.