

Ambiguous and unambiguous grammars

Ambiguous grammars for defining the syntax of languages

- **ambiguous** grammars are **simpler** and **more readable**
- the syntax of a language is usually defined by
 - ▶ an ambiguous grammar
 - ▶ rules for syntactic associativity and precedence

Unambiguous grammars for implementing parsers

- a parser driven by an **unambiguous** grammar “knows” that for each token there is at most one applicable production

Standard techniques for grammar disambiguation

Problem

- a grammar G is **ambiguous** but we **do not** want to change the language defined by G (example: expressions with infix operators)
- instead, we define **syntactic associativity** and **precedence rules** to get unique derivation trees
- can we define a non-ambiguous grammar for the same language to include syntactic associativity and precedence rules?

Possible solution

- transform G into an **equivalent non-ambiguous** grammar G'
- **equivalent** means that for all non-terminal symbols B of G , the language generated by G and G' from B is **the same**
- the transformation is driven by the **syntactic associativity** and **precedence rules**

Example 1: + and * with the same precedence

Ambiguous grammar

```
Exp ::= Num | Exp '+' Exp | Exp '*' Exp | '(' Exp ')'  
Num ::= '0' | '1'
```

Non-ambiguous grammar, left associative operations

```
Exp ::= Atom | Exp '+' Atom | Exp '*' Atom  
Atom ::= Num | '(' Exp ')'  
Num ::= '0' | '1'
```

Non-ambiguous grammar, right associative operations

```
Exp ::= Atom | Atom '+' Exp | Atom '*' Exp  
Atom ::= Num | '(' Exp ')'  
Num ::= '0' | '1'
```

Example 1: + and * with the same precedence

Non-ambiguous grammar, left associative operations

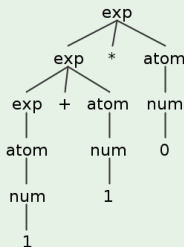
$\text{Exp} ::= \text{Atom} \mid \text{Exp} \text{ '+' } \text{Atom} \mid \text{Exp} \text{ '*' } \text{Atom}$

$\text{Atom} ::= \text{Num} \mid \text{'(' Exp ')'}$

$\text{Num} ::= \text{'0'} \mid \text{'1'}$

Solution: In Atom expressions can contain + or * **only between parentheses**

Unique derivation tree for $1+1*0$



Example 1: + and * with the same precedence

Non-ambiguous grammar, right associative operations

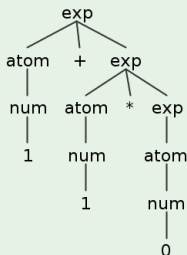
$\text{Exp} ::= \text{Atom} \mid \text{Atom} \text{'+'} \text{Exp} \mid \text{Atom} \text{'*'} \text{Exp}$

$\text{Atom} ::= \text{Num} \mid \text{'(' Exp ') '}$

$\text{Num} ::= \text{'0'} \mid \text{'1'}$

Solution: In `Atom` expressions can contain + or * **only between parentheses**

Unique derivation tree for $1+1*0$



Example 2: * with higher precedence

Ambiguous grammar

```
Exp ::= Num | Exp '+' Exp | Exp '*' Exp | '(' Exp ')'  
Num ::= '0' | '1'
```

Non-ambiguous grammar, left associative operations

```
Exp ::= Mul | Exp '+' Mul  
Mul ::= Atom | Mul '*' Atom  
Atom ::= Num | '(' Exp ')'  
Num ::= '0' | '1'
```

Non-ambiguous grammar, right associative operations

```
Exp ::= Mul | Mul '+' Exp  
Mul ::= Atom | Atom '*' Mul  
Atom ::= Num | '(' Exp ')'  
Num ::= '0' | '1'
```

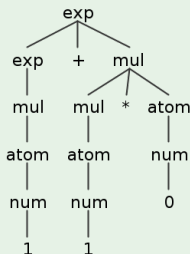
Example 2: * with higher precedence

Non-ambiguous grammar, left associative operations

```
Exp ::= Mul | Exp '+' Mul
Mul ::= Atom | Mul '*' Atom
Atom ::= Num | '(' Exp ') '
Num ::= '0' | '1'
```

Solution: In `Mul` expressions can contain + **only between parentheses**
In `Atom` expressions can contain + or * **only between parentheses**

Unique derivation tree for $1+1*0$



Example 2: * with higher precedence

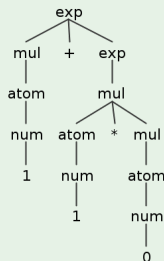
Non-ambiguous grammar, right associative operations

```
Exp ::= Mul | Mul '+' Exp
Mul ::= Atom | Atom '*' Mul
Atom ::= Num | '(' Exp ') '
Num ::= '0' | '1'
```

Solution: In `Mul` expressions can contain + **only between parentheses**

In `Atom` expressions can contain + or * **only between parentheses**

Unique derivation tree for $1+1*0$



Remaining examples

Non-ambiguous grammars can be easily defined by symmetry

- $*$ higher precedence and left associative, $+$ right associative
- $*$ higher precedence and right associative, $+$ left associative
- $+$ higher precedence and left associative, $*$ left associative
- $+$ higher precedence and left associative, $*$ right associative
- $+$ higher precedence and right associative, $*$ left associative
- $+$ higher precedence and right associative, $*$ right associative

Programming paradigms

Definition of programming paradigm

The programming style based on an **emerging computational model**

Main examples of paradigms

- **imperative** (the von Neumann style)
based on the notions of **instruction** and **state**
 - ▶ **procedural** (example: C)
 - ▶ **object-oriented** (examples: C#, C++, Java, JavaScript, Python, ...)
- **declarative** (based on a more abstract model)
 - ▶ **functional** (examples: Haskell, ML, ...)
based on the notions of **mathematical function** and **function application**
 - ▶ **logic** (example: Prolog)
based on the notions of **logic rule** and **query**

Programming paradigms

Multi-paradigm programming languages

Modern programming languages embrace several paradigms to favor flexibility

Examples

C#, C++, Java, JavaScript, Python and others support both

- the **imperative paradigm** (mainly object-oriented, but also procedural)
- the **declarative paradigm** (mainly functional)

Purely functional paradigm

In a nutshell

- program=definitions of **mathematical functions** + a main expression
- computation=**function application** (what is called *function call* in an imperative context)
- **no state**: no variable assignment, more in general, no statements, just expressions
- variables=function parameters or “variables” storing constant values

Functions are first class values

Functions are obtained as the result of some types of expressions

Terminology

- **higher order functions**: functions that can accept functions as arguments or/and can return functions as result
- **lambda expressions/functions or anonymous functions**: functions defined by an expression

Languages and functional programming

Examples of languages considered primarily functional

- LISP (first functional languages, late 50s)
- ML (early 70s) and its family (OCaml, F#)
- Scheme (mid 70s, derived from LISP)
- Haskell (early 90s, purely functional)
- Clojure (2007, derived from LISP)

Most languages support functional programming

- C++
- C#
- Java
- JavaScript
- Kotlin
- Scala
- Python ...

FP for beginners

Are there functional languages suitable for beginners?

Hard to tell ...

- Most mainstream languages support functional programming.
However
 - ▶ **not** all typical features are supported. Example: **pattern matching**
 - ▶ the functional features **cannot be easily isolated**
- There are languages with **better learning curve**, although not mainstream

Why learning functional programming

- All mainstream languages and libraries based on functional features
- Functional features well-suited for several programming styles:
 - ▶ **generic** programming for code reuse and maintenance
 - ▶ **event-driven** programming (example: JavaScript/Node.js)
 - ▶ **concurrent** programming (example: Erlang)

What is OCaml?

- French dialect of ML (1996)
- Multi-paradigm language with a purely functional core
- **Statically typed** with **type inference**
 - ▶ typing rules checked **statically**
 - ▶ types are automatically **inferred** (=deduced) and can be omitted in programs

OCaml core

EBNF grammar defining a simplified syntax

`Prog ::= (DecOrExp ';;') *`

`DecOrExp ::= Dec | Exp`

`Dec ::= 'let' Pat '=' Exp | 'let' ID Pat+ '=' Exp`

`Exp ::= ID | NUM | Exp Exp | 'fun' Pat+ '->' Exp | UOP Exp |
Exp BOP Exp | '(' Exp ')'`

`Pat ::= ID | '_' | '(' Pat ')' // simplified pattern`

Extended BNF (EBNF) grammars

- BNF is extended with the regular expressions operators `*`, `+`, `?`
- Example: `Pat+` means `Pat` concatenated one or more times
- **Remark:** `+` (reg-exp operator) is different from `'+'` (terminal symbol)

OCaml core

EBNF grammar defining a simplified syntax

```
Prog ::= (DecOrExp ';;') *
DecOrExp ::= Dec | Exp
Dec ::= 'let' Pat '=' Exp | 'let' ID Pat+ '=' Exp
Exp ::= ID | NUM | Exp Exp | 'fun' Pat+ '->' Exp | UOP Exp |
        Exp BOP Exp | '(' Exp ')'
Pat ::= ID | '_' | '(' Pat ')' // simplified pattern
```

Quick comments

- ID **variable identifiers** $(_ [\backslash w'] | [a-zA-Z]) [\backslash w']^*$
- NUM **natural numbers**
 $0 [bB] [01] [01_]* | 0 [oO] [0-7] [0-7_]* | 0 [xX] [\backslash da-fA-F] [\backslash da-fA-F_]* | \backslash d [\backslash d_]*$
- UOP **unary arithmetic operators** $[+-]$
- BOP **binary arithmetic operators** $[+-* /] | \text{mod}$
- Pat **patterns**: very simple for now, a more complete definition will be considered later on

OCaml core

EBNF grammar defining a simplified syntax

```
Prog ::= (DecOrExp ';;')*  
DecOrExp ::= Dec | Exp  
Dec ::= 'let' Pat '=' Exp | 'let' ID Pat+ '=' Exp  
Exp ::= ID | NUM | Exp Exp | 'fun' Pat+ '->' Exp | UOP Exp |  
       Exp BOP Exp | '(' Exp ')'  
Pat ::= ID | '_' | '(' Pat ')'
```

// simplified pattern

Functions and application

- examples of functions

```
let inc = fun x -> x+1 (* the increment function *)  
let inc2 x = x+1 (* a more compact syntax *)
```

- function application (= function call)

```
inc 3 (* syntax inc(3) optional, evaluation returns 4 *)  
inc2 3 (* syntax inc2(3) optional, evaluation returns 4 *)
```

OCaml core

EBNF grammar defining a simplified syntax

```
Prog ::= (DecOrExp ';;') *  
DecOrExp ::= Dec | Exp  
Dec ::= 'let' Pat '=' Exp | 'let' ID Pat+ '=' Exp  
Exp ::= ID | NUM | Exp Exp | 'fun' Pat+ '->' Exp | UOP Exp |  
       Exp BOP Exp | '(' Exp ')'  
Pat ::= ID | '_' | '(' Pat ')'
```

// simplified pattern

Functions and application

- examples of **anonymous function**

```
fun x -> x+1 (* the increment function *)
```

- function application** (= function call)

```
(fun x -> x+1) 3 (* evaluation returns 4 *)
```

EBNF grammar defining a simplified syntax

```
Prog ::= (DecOrExp ';;') *  
DecOrExp ::= Dec | Exp  
Dec ::= 'let' Pat '=' Exp | 'let' ID Pat+ '=' Exp  
Exp ::= ID | NUM | Exp Exp | 'fun' Pat+ '->' Exp | UOP Exp |  
       Exp BOP Exp | '(' Exp ')'  
Pat ::= ID | '_' | '(' Pat ')' // simplified pattern
```

Semantics of function application

exp1 exp2

- exp1 is evaluated in a function f
- exp2 is evaluated in the argument a of f
- exp1 exp2 is evaluated in $f(a)$ (f applied to a)

Precedence and associativity rules

- standard rules for arithmetic expressions
- application has higher precedence than binary operators

```
inc 1+2  (* equivalent to (inc 1)+2 *)  
1+inc 2  (* equivalent to 1+(inc 2) *)
```

- anonymous functions have lower precedence

```
fun x->x+1  (* equivalent to fun x->(x+1) *)  
fun f->f 2  (* equivalent to fun f->(f 2), not (fun f->f) 2 *)
```

- more critical cases: application and unary operators

```
inc + 3  (* addition *)      inc (+3)  (* application *)  
inc - 3  (* subtraction *)   inc (-3)  (* application *)  
+ inc 3  (* is +(inc 3) *)   - inc 3  (* is -(inc 3) *)
```

OCaml type inference

A simple interpreter session (Read Eval Print Loop)

Types can be **automatically deduced (=inferred)** by the interpreter!

```
# 42
- : int = 42
# fun x->x+1
- : int -> int = <fun>
# (fun x->x+1) 2
- : int = 3
```

Simplified syntax of OCaml core type expressions

BNF Grammar

Type ::= 'int' | Type '->' Type | '(' Type ')'

OCaml core types

Terminology

- `int` is a **built-in simple type**: the type of integers
- `int -> int` is a **built-in composite type**
- `->` is a type **constructor**: it is used for building composite types from simpler types
- types built with the `->` (arrow) constructor are called *arrow types* or *function types*

Meaning of arrow types

$t_1 \rightarrow t_2$ is the type of functions from t_1 to t_2 that

- can only be applied to a single argument of type t_1
- always returns values of type t_2

OCaml core types

Remarks

- the arrow type constructor is **right-associative**

`int->int->int = int->(int->int)`

- a type constructor always builds a type **different** from its type components

$t_1 \neq t_1 \rightarrow t_2$ and $t_2 \neq t_1 \rightarrow t_2$

- two arrow types are equal if they are built with the **same** type components

$t_1 \rightarrow t_2 = t$ if and only if $t = t_3 \rightarrow t_4$, $t_3 = t_1$, $t_4 = t_2$

- Remark:** from the items above

`int->(int->int) \neq (int->int)->int`

Types and type expressions

Remarks

- `int -> int -> int` is a type expressions, but is also called a type, because it represents a specific type
- `int -> int -> int` and `int -> (int -> int)` are **different** type expressions which represent the **same** type