

Pattern matching

List patterns: new productions for Pat

$\text{Pat} ::= '[' \text{ ' } ' | \text{Pat} '::' \text{Pat} | '[' \text{Pat} (';' \text{Pat})^* ']'$

What is pattern matching?

- a powerful mechanism for associating values with variables/parameters by **decomposition**
- patterns can use **constructors**, other operators are **not allowed**
 - ▶ constructors guarantee **unique decomposition**
- all variables in a pattern **must be distinct**
 - ▶ this makes pattern matching more efficient

Examples

Valid patterns: x $x::y$ $[x;y;z]$ x,y

Non-valid patterns: $x@y$ $x+y$ $x\&\&y$ x,x

Pattern matching

Examples of use of pattern matching

```
let add (x,y) = x+y;;  
add (3,5);; (* does (3,5) match with pattern (x,y)? *)
```

- (3,5) and (x,y) match with substitution $x=3, y=5$
- if $x=3, y=5$, then $x+y$ evaluates to $3+5=8$

Pattern matching

Examples of use of pattern matching

```
let hd (h::t) = h;; (* returns the head of the list *)  
hd [3;5];; (* does [3;5] match with pattern h::t? *)
```

- $[3;5]$ and $(h::t)$ match with substitution $h=3, t=[5]$
- if $h=3, t=[5]$, then h evaluates to 3
- **Remarks:**
 - ▶ $[3;5]$ and $[5]$ are syntactic abbreviations for $3::5::[]$ and $5::[]$
 - ▶ variable t is **unused** in the body of hd

A different definition of hd which does not need variable t :

```
let hd (h::_) = h;; (* head of the list, with wildcard '_' *)
```

Remark:

wildcard $_$ is an anonymous variable with meaning “do not care the value”

Pattern matching

Does a single pattern work for all valid arguments of a function?

```
let hd (h::_) = h;; (* head of the list, with wildcard '_' *)  
hd [];; (* error! [] and h::_ do not match *)
```

- [] and $h::_$ do not match
- this is reasonable, because the head of the empty list is **undefined**

Remark

- a single variable x or wildcard $_$ is the simplest form of pattern
- match with x or $_$ **always succeeds** for **any kind** of value

Examples of functions on lists that need to be defined by cases

- the length of a list
- the sum of all the elements of a list
- the list with the first two elements swapped

Pattern matching

An expression to match values with multiple patterns

Exp ::= 'match' Exp 'with' Pat '->' Exp ('|' Pat '->' Exp) *

Examples

(functions defined by two cases *)*

```
let rec length l = match l with
  [] -> 0
  | _::t -> 1+length t;; (* t is a local variable for this case *)
```

```
let rec sum l = match l with
  [] -> 0
  | h::t -> h+sum t;; (* h and t are local variables for this case *)
```

(function defined by three cases *)*

```
let swap l = match l with
  [] -> []
  | [x] -> [x] (* x is a local variable for this case *)
  | x::y::t -> y::x::t;; (* x, y and t are local variables for this case *)
```

Pattern matching

`match e with $p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$`

Static semantics

- the expression e and all patterns $p_1 \dots p_n$ must have the **same type**
- all expressions $e_1 \dots e_n$ must have the **same type**
- each e_i can use the variables in p_i with the **inferred types**

Dynamic semantics

- e is evaluated
- all patterns $p_1 \dots p_n$ are tried from **left to right, top to bottom**
- let p_i be the **first pattern** for which e and p_i match; then, the expression e_i is evaluated, with variables defined by the **the successful match**
- if there is **no match**, then **exception** `Match_failure` is raised

Pattern matching

Static semantics: further checks

A warning is reported if:

- patterns are **not exhaustive**, that is, some case is missing
- a pattern is **unused**

Example

```
# let head (_:t1) = hd;;  
      ^^^^^^^^^^^
```

Warning 8: this pattern-matching is **not** exhaustive.
Here is an example **of** a case that is **not** matched:
[]

```
# let rec length l = match l with  
  _:t1 -> 1+length t1  
  | [x] -> 1  
    ^^^  
  | [] -> 0;;
```

Warning 11: this **match** case is unused.

Pattern matching

Unique decomposition

Constructors ensure that if there is a match with p , then there are **unique values** for the variables in p

Counter-example

```
# let foo ls = match ls with l1@l2 -> l1;; (* @ not a constructor! *)
```

Error: Syntax error

What would be the values of $l1$ and $l2$ for the application `foo [1;2;3]`?

`[]` and `[1;2;3]`?

`[1]` and `[2;3]`?

`[1;2]` and `[3]`?

`[1;2;3]` and `[]`?

Pattern matching

Constructors for primitive types

All *literals* (=tokens that represent values) are constant constructors

Example of pattern matching with primitive types

```
let mynot b = match b with false -> true | true -> false;;  
  
let iszero i = match i with 0 -> true | _ -> false;;
```

Remarks

pattern matching with primitive types is **seldom used**;
conditional expressions and equality test are used **more often**

Pattern matching

Shorthand notation

- **function** $p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$ is a **shorthand** for
fun *var* \rightarrow **match** *var* **with** $p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$
- ***p* as *id***: a pattern (or sub-pattern) *p* can be **associated with variable *id*** to refer to the matched value more directly on the right-hand side of \rightarrow

Pattern matching

Examples

```
let mynot = function false -> true | _ -> false;;

let iszero = function 0 -> true | _ -> false;;

let rec length = function _::tl -> 1+length tl | _ -> 0;;

let rec sum = function hd::tl -> hd+sum tl | _ -> 0;;

let swap = function x::y::l -> y::x::l | other -> other;;

let ord_swap = function (* ls shorter than x::y::tl *)
  x::y::tl as ls -> if x>y then y::x::tl else ls
  | other -> other;;
```

Strings in OCaml

In a nutshell

- primitive type `string` supported
- standard literals (the only constructors)
 - ▶ `" "` is the empty string, `"hello world"` is a non-empty string
- concatenation `^`: left-associative, lower precedence than application
- predefined module `String`

Examples

```
let s = "hello" ^ " " ^ "world";;  
val s : string = "hello world"  
(^);;  
- : string -> string -> string = <fun>  
String.length s;;  
- : int = 11  
String.uppercase_ascii s;;  
- : string = "HELLO WORLD"  
String.lowercase_ascii "HELLO WORLD";;  
- : string = "hello world"
```

Predefined functions on lists in OCaml

Module `List`

- predefined module `List`
- some examples of functions:
 - ▶ `val length : 'a list -> int`
returns the length (number of elements) of the given list
 - ▶ `val nth : 'a list -> int -> 'a`
returns the n-th element of the given list. The head of the list is at position 0
 - ▶ `val init : int -> (int -> 'a) -> 'a list`
`init len f` is `[f 0; f 1; ...; f (len-1)]` evaluated left to right

Examples

```
# let ls = List.init 10_000 (fun x->x+1);;  
val ls : int list = [1; 2; 3; ... ]  
# List.length ls;;  
- : int = 1000000  
# List.nth ls (List.length ls - 1);;  
- : int = 1000000
```

Recursion and efficiency

Example 1: sum

```
(* computes the sum of the elements of a list *)
# let rec sum = function
    hd::tl -> hd + sum tl (* inductive case *)
  | _ -> 0;;              (* base case [] *)
val sum : int list -> int = <fun>

# let ls=List.init 1_000 (fun x->x+1) (* ls = [1;2;...;1_000] *)
in sum ls;;
- : int = 500500

# let ls=List.init 10_000 (fun x->x+1) (* ls = [1;2;...;10_000] *)
in sum ls;;
Stack overflow during evaluation (looping recursion?).
```

Recursion and efficiency

Example 2: reverse

```
# let rec reverse = function
  hd::tl -> reverse tl @ [hd] (* inductive case *)
  | _ -> [];;                (* base case [] *)
val reverse : 'a list -> 'a list = <fun>

# let ls=List.init 6_000 (fun x->x+1) (* ls = [1;2;...;6_000] *)
in reverse ls;;                      (* it takes time! *)
- : int list = [6000; 5999; 5998; ...]
```

Time complexity

- `tl @ [hd]` is $O(n)$: **linear** in the length n of `tl`
- `reverse ls` is $O(n^2)$: **quadratic** in the length n of `ls`!

Recursion and efficiency

Example 3: fib and bin

```
(* Fibonacci numbers *)
# let rec fib n = if n<=1 then n else fib(n-2)+fib(n-1);;
val fib : int -> int = <fun>

(* binomial coefficients *)
# let rec bin n k = if n=k||k=0 then 1 else bin(n-1) (k-1)+bin(n-1) k;;
val bin : int -> int -> int = <fun>
```

Time complexity

- `fib n` is $O(2^n)$: exponential in n !
- `bin n n/2` is $O(2^n)$: exponential in n !

Accumulators

A standard loop to accumulate a result

```
(* example with imperative programming, this is not OCaml ! *)
sum(ls){
  acc=0; (* initial value of the accumulator *)
  while(true){
    match ls with
    | hd::tl -> {acc=acc+hd; ls=tl;}
    | [] -> return acc
  }
}
```

Simulation in functional programming with OCaml

```
let acc_sum = (* acc_sum : int list -> int *)
  let rec aux acc = function (* aux : int -> int list -> int *)
    | hd::tl -> aux (acc+hd) tl
    | _ -> acc
  in aux 0;;
```

Tail recursion

Definition of tail recursion

- the recursive application is always the **last performed operation**
- it can be implemented with a **real loop and no stack**

sum is not tail recursive

```
let rec sum = function
  hd::tl -> hd + sum tl  (* last operation: addition *)
  | _ -> 0;;
```

aux is tail recursive

```
let rec aux acc = function
  hd::tl -> aux (acc+hd) tl  (* last operation: recursive application *)
  | _ -> acc
in aux 0;;
```

Accumulators and tail recursion

Efficient definition of sum

```
# let acc_sum =  
  let rec aux acc = function  
    hd::tl -> aux (acc+hd) tl  
    | _ -> acc  
  in aux 0;;  
val acc_sum : int list -> int = <fun>  
  
# let ls=List.init 10_000 (fun x->x+1) (* ls = [1;2;...;10_000] *)  
in acc_sum ls;;  
- : int = 50005000
```

Remarks

- `aux` is **tail recursive** with an accumulator
- `aux` **hides** the implementation details of `acc_sum`
- `acc_sum` calls `aux` and passes the **initial value** of `acc` (0 in this case)

Accumulators and tail recursion

Efficient definition of reverse

```
# let acc_rev ls = (* parameter ls needed to get a polymorphic function *)
  let rec aux acc = function
    hd::tl -> aux (hd::acc) tl
    | _ -> acc
  in aux [] ls;;
val acc_rev : 'a list -> 'a list = <fun>

# let ls=List.init 10_000 (fun x->x+1) (* creates list [1;2;...;10_000] *)
in acc_rev ls;;
- : int list = [10000; 9999; 9998; ...]
```

Time complexity

- $hd::acc$ is $O(1)$: **constant** time
- $acc_rev\ ls$ is $O(n)$: **linear** in the length n of ls

Remark

Efficient reverse defined in module `List`: `List.rev`