

# Regular and Nonregular Languages: The Pumping Lemma for Regular Languages (Part 2)

COMS3003A: Lecture Note 8

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# Recap: The pumping lemma for regular languages

A weaker form of the above theorem, commonly known as *the* pumping lemma:

*Theorem:*

Suppose  $L$  is a regular language. Then there is an integer  $n$  so that for any  $x \in L$  with  $|x| \geq n$ , there are strings  $u$ ,  $v$ , and  $w$  so that

$$x = uvw ,$$

$$|uv| \leq n ,$$

$$|v| > 0 ,$$

for any  $m \geq 0, uv^m w \in L$  .

The correct argument can be visualized as a game we play against an opponent.

Our goal is to win the game by establishing a contradiction of the pumping lemma, while the opponent tries to foil us.

There are four moves in the game.

- 1 The opponent picks  $n$ .
- 2 Given  $n$ , we pick a string  $x \in L$  of length equal or greater than  $n$ . We are free to choose any  $x$ , subject to  $x \in L$  and  $|x| \geq n$ .
- 3 The opponent chooses the decomposition  $uvw$ , subject to  $|uv| \leq n$  and  $|v| > 0$ . We have to assume that the opponent makes the choice that will make it hardest for us to win the game.
- 4 We try to pick  $m$  in such a way that the pumped string  $uv^mw$  is not in  $L$ . If we can do so, we win the game.

A strategy that allows us to win whatever the opponent chooses is a proof that the language is not regular.

In this respect, Step 2 is crucial.

While we cannot force the opponent to pick a particular decomposition of  $x$ , we may be able to choose  $x$  so that the opponent is very restricted in Step 3, forcing a choice of  $u$ ,  $v$ , and  $w$  that allows us to produce a violation of the pumping lemma on our next move.

Let  $\Sigma = \{a, b\}$ . Show that

$$L = \{ww^R \mid w \in \Sigma^*\} \text{ ,}$$

where  $w^R$  denotes the reverse of  $w$ , is not regular.

Whatever  $n$  the opponent picks in Step 1, we can always choose a

$$x = a^n b^n b^n a^n \text{ .}$$

Because of this choice, and the requirement that  $|uv| \leq n$ , the opponent is restricted in Step 3 to choosing a  $v$  that consists entirely of  $a$ 's.

In Step 4, we use  $m = 0$ .

The string obtained in this way has fewer  $a$ 's on the left than on the right and so cannot be of the form  $ww^R$ .

Therefore  $L$  is not regular.

If we had chosen  $x$  too short, then the opponent could have chosen a  $v$  with an even number of  $b$ 's.  
In that case, we couldn't have reached a violation of the pumping lemma in Step 4.

Suppose we chose a string consisting of all  $a$ 's, say

$$x = a^{2n} .$$

The opponent need only pick

$$v = aa .$$

Then  $uv^m w \in L$  for every  $m \geq 0$ , and we lose.

Note that, if the opponent picked

$$v = a ,$$

then  $uv^0 w \notin L$ , but we *cannot* claim that we have reached a contradiction just because the pumping lemma is violated for some specific values of  $n$  or  $uvw$ .



# Weak form of the pumping lemma

Sometimes we can get by without using  $n$ :

Suppose  $L$  is an infinite regular language. Then there are strings  $u$ ,  $v$ , and  $w$  so that

$$|v| > 0 \text{ and}$$

$$uv^mw \in L \text{ for every } m \geq 0$$

*Sketch of proof:*

$L$  has infinitely many elements.

Therefore, no matter how big  $n$  is,  $L$  contains an  $x$  with  $|x| \geq n$ .

This is sufficient to prove that

$$L = \{0^i 1^i \mid i \geq 0\}$$

is not regular.

- Suppose  $v = 0^j$ , for some  $j \geq 1$ : If  $uv^{m'}w \in L$  for some  $m' \geq 0$ , then  $uv^{m'+1}w \notin L$ .
- Suppose  $v = 1^j$ , for some  $j \geq 1$ : Analogous to previous case.
- Suppose  $v$  contains  $01$ : Then  $uv^2w \notin L$ .

The weak form is not sufficient in the case of the following two languages.

- $L = \{0^i y \mid i \geq 0, y \in \{0, 1\}^* \text{ and } |y| \leq i\}$   
Choose  $v = 0$ . Then  $0v^m 1 \in L$  for all  $m \geq 0$ .
- $L_{\text{pal}}$ , the language of all palindromes over  $\{0, 1\}$ .  
Choose  $v = 0$ . Then  $1v^m 1 \in L_{\text{pal}}$  for all  $m \geq 0$ .

## Even weaker form of the pumping lemma:

Suppose  $L$  is an infinite regular language.

Then there are integers  $p$  and  $q$ , with  $q > 0$ , so that for every  $m \geq 0$ ,  $L$  contains a string of length  $p + mq$ .

In other words, the set of integers

$$\text{lengths}(L) = \{|x| \mid x \in L\}$$

contains the 'arithmetic progression' of all integers  $p + mq$ , where  $m \geq 0$ .

*Proof*

In the previous theorem, let  $p = |u| + |w|$  and  $q = |v|$ .

This form is not sufficient to prove that

$$L = \{0^i 1^i \mid i \geq 0\}$$

is not regular, since

$$\text{lengths}(L) = \{0, 2, 4, \dots\}$$

and thus contains all integers  $0 + m2$ ,  $m \geq 0$ .

It is sufficient in the case of

- $\{a^{2^n} \mid n \geq 1\}$ ,
- $\{a^{n^2} \mid n \geq 1\}$  and
- $\{0^n \mid n \text{ prime}\}$

Consider

$$L = \{0^n \mid n \text{ prime}\} = \{0^2, 0^3, 0^5, 0^7, \dots\}$$

We need to show that

- $L$  is not regular, therefore that
- the set of primes cannot contain a set  $\{p + mq \mid m \geq 0\}$ , therefore that
- for any  $p \geq 0$  and  $q > 0$ , there is an integer  $m$  so that  $p + mq$  is not prime.

Let  $m = p + 2q + 2$ . Then

$$\begin{aligned} p + mq &= p + (p + 2q + 2) q \\ &= (p + 2q) + (p + 2q) q \\ &= (p + 2q) (1 + q) \end{aligned}$$

This is not prime.

This example shows that an FA is not powerful enough to determine for an arbitrary integer whether it is prime.

The pumping lemma cannot show a language is regular  
Consider

$$L = \{a^i b^j c^j \mid i \geq 1 \text{ and } j \geq 0\} \cup \{b^j c^k \mid j, k \geq 0\}$$

$L$  is not regular, but the conclusions of the pumping lemma hold.



Using the Myhill-Nerode theorem, we show that  $L$  is not regular.

Consider  $ab^j$  and  $ab^k$ , where  $0 < j < k$ . Then  $c^j$  distinguishes the two strings.

Then all equivalence classes  $[ab^j]$ ,  $j \geq 0$ , are distinct. Therefore  $L$  is not regular.

The conclusions of the pumping lemma hold.

Take  $n = 1$ . Suppose  $x \in L$  and  $|x| \geq n$ .

$x$  can have two forms.

- $x = a^i b^j c^j$ , where  $i > 0$ .

Let  $u = \lambda$ ,  $v = a$ ,  $w = a^{i-1} b^j c^j$ .

Then for any  $m \geq 0$ ,  $uv^m w = a^m a^{i-1} b^j c^j = a^{m+i-1} b^j c^j \in L$ .

- $x = b^i c^j$ .

Let  $u = \lambda$ , and  $v$  equal the first symbol in  $x$ .

Then for any  $m \geq 0$ ,  $uv^m w$  has  $m$  copies of the first symbol in  $x$ , and is in  $L$ .