

# CS 570: Analysis of Algorithms – H4

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## Exercise 4.3-6

Choose  $n_1$  such that  $n \geq n_1$  implies  $\frac{n}{2} + 17 \leq \frac{3n}{4}$ .

We'll find  $c$  and  $d$  such that  $T(n) \leq cn \lg n - d$ .

$$\begin{aligned} T(n) &= 2T(\lfloor \frac{n}{2} \rfloor + 17) + n \\ &\leq 2(c(\frac{n}{2} + 17) \lg(\frac{n}{2} + 17) - d) + n \\ &\leq cn \lg(\frac{n}{2} + 17) + 17c \lg(\frac{n}{2} + 17) - 2d + n \\ &\leq cn \lg(\frac{3n}{4}) + 17c \lg(\frac{3n}{4}) - 2d + n \\ &= cn \lg n - d + cn \lg(\frac{3n}{4}) + 17c \lg(\frac{3n}{4}) - d + n. \end{aligned}$$

Taking  $c = -2 / \lg(\frac{3n}{4})$  and  $d = 34$ .

Then we have  $T(n) \leq cn \lg n - d + 17c \lg(n) - n$ .

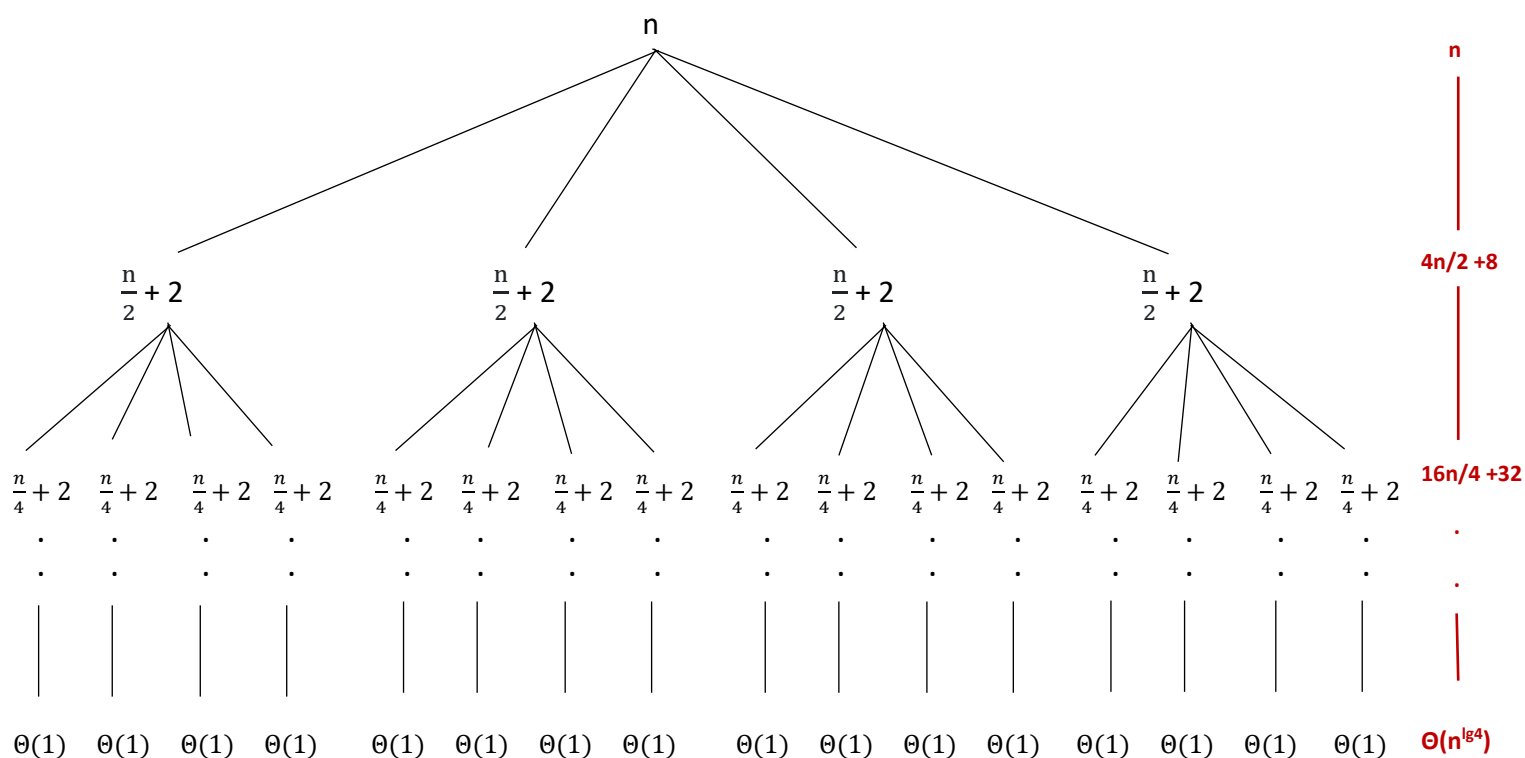
Since  $\lg(n) = O(n)$ , there exists  $n_2$  such that  $n \geq n_2$  implies  $n \geq 17c \lg(n)$ .

Letting  $n_0 = \max\{n_1, n_2\}$  we have that  $n \geq n_0$  implies  $T(n) \leq cn \lg n - d$ .

Therefore  $T(n) = O(n \lg n)$ .

## Exercise 4.4-3

The recurrence  $T(n) = 4T(\frac{n}{2} + 2) + n$  has the following recursion tree:



Adding up the costs of each level of the tree:

$$\begin{aligned}
 T(n) &= n + \frac{4n}{2} + 8 + \frac{16n}{4} + 32 + \dots + \Theta(n^{\lg 4}) \\
 &= \sum_{i=0}^{\lg(n-1)} 2^i n + 2 \sum_{i=0}^{\lg(n-1)} 4^i + \Theta(n^2) \\
 &= \frac{2^{\lg n} - 1}{2-1} n + 2 \frac{4^{\lg n} - 1}{4-1} + \Theta(n^2) \\
 &= (2^{\lg n} - 1)n + \frac{2}{3}(4^{\lg n} - 1) + \Theta(n^2) \\
 &= (n-1)n + \frac{2}{3}(n^2 - 1) + \Theta(n^2) \\
 &= \Theta(n^2)
 \end{aligned}$$

Based on this calculation, we assume that  $T(n) \leq cn^2 - bn$ . Substituting this into the recurrence,

$$\begin{aligned}
 T(n) &\leq 4\left(c\left(\frac{n}{2} + 2\right)^2 + b\left(\frac{n}{2} + 2\right) + n\right) \\
 &= 4\left(\frac{cn^2}{4} + \frac{4cn}{2} + 4c + \frac{bn}{2} - 2b\right) + n \\
 &= cn^2 + 8cn + 16c - 2bn - 8b + n \\
 &= cn^2 + bn + 8cn - bn + 16c - 8b + n \\
 &= cn^2 + bn - (b - 8c - 1)n - 8(b - 2c) \\
 &\leq cn^2 + bn \text{ [where } b - 8c - 1 \geq 0]
 \end{aligned}$$

#### **Exercise 4.5-4**

In the given recurrence,  $a=4$  and  $b=2$ .

Hence,  $n^{\log_b a} = n^{\log_2 4} = n^2$  and  $f(n) = \Theta(n^2 \lg n)$

Now, asymptotically  $f(n)=n^2 \lg n$  is definitely larger than  $n^2$ , but it is not polynomially larger than  $n^2$ . So, we cannot apply the master theorem method to this recurrence.

We can use recursion tree to get a good estimate of the asymptotic upper bound of the given reference and then use substitution method to prove that.

Rate of increase in number of subproblems in each recursion = 4

Rate of decrease in subproblem size = 2

Hence in each level of the tree, there are  $4^i$  nodes each of cost  $c\left(\left(\frac{n}{2^i}\right)^2 \cdot \lg\left(\frac{n}{2^i}\right)\right)$  at depth  $i=0, 1, 2, \dots, \lg n$ .

Hence, total cost of the tree is:

$$\begin{aligned}
 T(n) &= \sum_{i=0}^{\lg n} 4^i + c\left(\left(\frac{n}{2^i}\right)^2 \cdot \lg\left(\frac{n}{2^i}\right)\right) \\
 &= \sum_{i=0}^{\lg n} 4^i + c\left(\frac{n^2(\lg n - \lg 2^i)}{2^{2i}}\right) \\
 &= cn^2 \sum_{i=0}^{\lg n} (\lg n - \lg 2^i)
 \end{aligned}$$

$$\begin{aligned}
&= cn^2 \left( \sum_{i=0}^{\lg n} \lg n - \sum_{i=0}^{\lg n} \lg 2^i \right) \\
&= cn^2 \left( \sum_{i=0}^{\lg n} \lg n - \sum_{i=0}^{\lg n} i \right) \\
&= cn^2 \left( \lg n \cdot \lg n - \frac{\lg n (\lg n + 1)}{2} \right) \\
&= cn^2 \left( \frac{(\lg n)^2}{2} - \frac{\lg n}{2} \right) \\
&= cn^2 \cdot \frac{(\lg n)^2}{2} - cn^2 \cdot \frac{\lg n}{2} \\
&\leq cn^2 \cdot \frac{(\lg n)^2}{2} \\
&\leq cn^2 \cdot \lg^2 n
\end{aligned}$$

#### **Problem 4.2**

##### **Binary search**

1.  $T(n) = T(n/2) + \Theta(1)$

Now  $a=1$ ,  $b=2$  and  $f(n)=1$ .

We can use case 2 of the master method because  $f(n) = \Theta(n^{\log_2 1}) = \Theta(1)$

and thus the solution to the recurrence is  $T(n) = (\lg n) = \Theta(\lg n)$

(By master method)

2.  $T(n) = T(n/2) + cN$

$$= 2cN + T(n/4)$$

$$= 3cN + T(n/8)$$

$$= \sum_{i=0}^{\lg(n)-1} \frac{2^i cN}{2^i}$$

$$= cN \lg n$$

$$= \Theta(n \lg n)$$

3.  $T(n) = 2T(n/2) + \Theta(n)$

Now  $a=1$ ,  $b=2$  and  $f(n)=n$ .

We can use case 3 of the master method because  $f(n) = \Omega(n^{\log_2 1 + \epsilon})$ ,  $\epsilon = 1$

$>0$  and

$$a f(n/b) \leq c f(n)$$

$$(n/2) \leq cn, \text{ holds for } \frac{1}{2} \leq c < 1$$

and thus the solution to the recurrence is  $T(n) = \Theta(f(n)) = \Theta(n)$

(By master method)

### Merge sort

$$1. T(n) = 2T(n/2) + \Theta(1)$$

Now  $a=2$ ,  $b=2$  and  $f(n)=1$ .

We can use case 2 of the master method because  $f(n) = \Theta(n^{\log_2 2}) = \Theta(1)$

and thus the solution to the recurrence is  $T(n) = \Theta((n^{\log_2 2}) \lg n) = \Theta(n \lg n)$

(By master method)

$$\begin{aligned} 1. T(n) &= 2T(n/2) + cn + 2N \\ &= 4N + cn + 2c(n/2) + 4T(n/4) \\ &= 8N + 2cn + 4c(n/4) + 8T(n/8) \\ &= \sum_{i=0}^{\lg(n)-1} (cn + 2^i N) \\ &= \sum_{i=0}^{\lg(n)-1} cn + N \sum_{i=0}^{\lg(n)-1} 2^i \\ &= cn \lg n + N \frac{2^{\lg n} - 1}{2 - 1} \\ &= cn \lg n + nN - N \\ &= \Theta(nN) \\ &= \Theta(n^2) \end{aligned}$$

$$\begin{aligned} 2. T(n) &= 2T(n/2) + cn + 2n/2 \\ &= 2T(n/2) + (c+1)n \\ &= \Theta(n \lg n) \text{ (Master theorem method)} \end{aligned}$$