

# Comparative Analysis for Prediction of Pneumonia using Deep learning Methods

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## How to cite this paper:

MananPruthi<sup>1</sup>, AshishKatyal<sup>2</sup>, Sanyam<sup>3</sup>, RishabhSemwal<sup>4</sup>, VijayKumar<sup>5</sup> "Comparative Analysis for Prediction Of pneumonia using deep learning methods", IJIRE-V4I03-18-29.



<https://www.doi.org/10.59256/ijire.20230405003>

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**Abstract:** Pneumonia is basically an infection which can infect one or both the lungs of a person through their air bladders. Their sacs of such a person might get filled with pus, which in turn cause cough along with problems related to breathing and fever. Pneumonia is usually originated from various organisms such as viruses, fungi and bacteria. Pneumonia may be mild or even life-threatening in some situations. It usually turns out to be very serious for newborns and very young children, also for senior citizens having age more than 65 years, especially people already having some health issues or a feeble immune system. This research focuses on comparing the best ways of using Machine Learning and Deep Learning for detecting Pneumonia using its different symptoms as features. For the purpose of this research, the data set that has been used can be extracted from Kaggle website. It is a comparative study to compare which aspects of the disease should be considered for the best model. We compared various deep learning and machine learning models such as Random Forest and numerous Convolutional Neural Network architectures (VGG-16, Inception V3, 2:1 Architecture without using Batch Normalization and Dropout, 4:2 Architecture using Batch Normalization and Dropout, 5 Convolutional Blocks CNN with Batch Normalization and Max-pooling) for each and every feasible symptom to provide a holistic way of determining whether or not patients suffer from Pneumonia.

**Key Word:** Pneumonia, Convolutional Neural Network, VGG-16, Inception V3, Random Forest

## 1. INTRODUCTION

Pneumonia basically refers to a disease which interrupts the sacs of the lungs of a patient. Fungi, bacteria and virus are the major causes of it. Elderly people having low immunity and children below five years of age are at high risk of getting infected by this disease. Pneumonia has killed over a million children world wide in 2018 and remains a life-threatening disease now a days if not detected or diagnosed earlier [1]. Some common methods used to discover pneumonia include CT-scan, MRI or Radiography. The radiograph of the patient's chest is checked by the Doctor for deciding he/she is suffering from pneumonia or not. Additionally, the most common routine to detect pneumonia is using the patient's medical history and laboratory results.

Radiograph of chest is pierced with the help of X-rays where the soft tissues bring about a dark color and hard tissues like bones are responsible for the bright color [2]. The chest radiographs of patients suffering from Pneumonia look brighter as compared to the normal ones because of the fluids that fill the air sacs of lungs in case of Pneumonia. Many abnormalities can be seen on the lung cavities since brighter color may speak for blood vessels swelling, cancer cells, or abnormality of heart [2]. For authenticating the spot and range of the area of the lungs which is infected, chest x-ray images turn out to be the supreme method. In this technique, disclosure of the disease may not be very precise and can hence be misunderstood with another ailment. Therefore, there were searches came out with another method in which they trained and evaluated the performance of a CNN model and further categorized the chest x-rays as either being normal or infected with disease using various machine learning classifiers.

These days, Computer Aided Design (CAD) tools have become a very crucial field for research work in machine learning and artificial intelligence. These CAD systems have played a huge role in the medical domain in detecting lung cancers and breast cancers. In order to achieve an accurate diagnosis, the experts amalgamate the CAD to aid its decision-making process. Important features of the images are quite precious for employing machine learning techniques in this system opposed to the regular handcrafted features that have limitations in extracting the significant features [3][4][5].

Deep learning has attained the capability to simulate the functions that a human brain performs. It answers real-world problems. Deep learning has the capability to obtain the significant characteristics required for the classification of images through convolutional neural networks [6] and also provide medical favorable results for the analysis of images [7]. CNN [8] is capable in assisting the identification of some features from an image and use this feature to generate probabilities in classifying specific input [9]. In this study, an optimized deep learning model of CNN has been developed for

detecting and classifying pneumonia efficiently [10]. This research work comprises of various CNN models and their experimental analysis as well for the detection of pneumonia. This research paper mainly comprises of seven sections namely Introduction, Objectives, Literature Review, Methodology, Results, Conclusion and References.

## II.OBJECTIVES

The primary objective of the whole research lies in determining whether a person is suffering from the disease of pneumonia with the help of chest x-rays, available as a dataset on the Kaggle website. Several models of deep learning as well as transfer learning have been examined to classify the images. Deep Learning assists in extracting features from images that are further used for classifying the x-ray images of pneumonia. Different convolutional neural network architectures have been built and trained using the x-ray images of pneumonia patients and those of normal patients. We have also used Random Forest algorithm for further getting better results and comparison of the accuracy of the different models that have been used. The training of the CNN models used has been done on the ChestX-Rays (Pneumonia) dataset taken from Kaggle. This project aims to enhance the therapeutic facilities in places where there are only a limited number of radiotherapists available so that pneumonia can be diagnosed early to prevent further consequences in such remote areas.

## III.LITERATURE REVIEW

Various methods have been introduced to detect pneumonia with the help of chest X-rays in the past years, particularly various deep learning methods. Deep Learning has been successfully applied to improve the performance of computer-aided diagnosis technology (CAD), especially in the field of medical imaging [11], image segmentation [12,13] and image reconstruction [14,15]. In 2017, Rajpurkar et al. [16] proposed a classical deep learning network named DenseNet-121 [17], which was a 121-layer CNN model to accelerate the diagnosis for pneumonia. The framework attained higher F1 score which was in contrast to what was expected by the expert doctors. Besides, for removing the upshot of the classes that are not balanced, Binary Cross Entropy loss was initiated that was weighted. On the basis of the number of classes, the difference in weights of non-balanced classes was the difference between Binary Cross Entropy loss.

Nevertheless, the above loss took into consideration the various levels of classes and the difficulties in training. In order to solve the problem of poor generalization ability caused by over-fitting and the problem of spatial sparseness caused by ordinary convolution operation, residual connection network [18] and dilated convolution [19] were used by Lianget al. [20] in the backbone network model. Their recall rate finally got to 96.7%. The F1 score was 92.7%. The CNN model proposed by Jain et al. [21] combined with transfer learning that effectively used the image features learned in large data set (taken from Kaggle), speed up the training procedure of the model and made it more difficult to fall in to local minimum points. For training, they used two models. They split their large dataset into 3 smaller components: one for training, one for validation, and one for test for the verification of the generalization ability of model.

Verma et al. [22] adopted several data pre-processing procedures and data augmentation methods, like random rotation of images and a random translation of the image in horizontal and vertical, which enlarged the data set and enhanced the representation ability of their CNN model. Finally, the model obtained an extremely outstanding accuracy. Ayan et al. [23] adopted transfer learning and fine-tuning to train two classical CNN models, Xception-Net and VGG16-Net, to classify images containing pneumonia. The authors [24] proposed four efficient CNN models, which were two pre-trained models ResNet152V2 and MobileNetV2, a CNN architecture, and a Long Short-Term Memory (LSTM) network. They also compared various parameters that have been trained by each model. The four models attained great results as accuracy, F1-score, precision recall and AUC, all came out to be more than 91%.

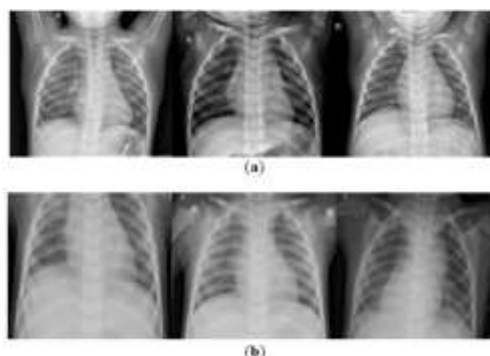


Fig.1: Examples from the data set (a) normal cases, (b) pneumonia cases

In order to make a summary about the task of pneumonia classification, Baltruschat et al. [27] compared the classification accuracy of currently widespread CNN models in pneumonia X-ray images by using the same hyperparameter settings and the same image pre-processing procedures. The method by Nahid et al. [28] proposed a novel CNN architecture which composed of two channels. The first channel was used for image processing. To enhance its contrast, CLAHE method was used. The second channel too processed the images and Canny method was used for the enhancement of its edges. After this, a multi channel CNN model was set up in which the images were made to enter for detecting

whether a patient is suffering from pneumonia. Researchers [29] developed a weak supervision approach to release a diagnosis burden of radiologists. They assessed the performance of their model on a dataset having about thirty thousand chest X-ray images. Furthermore, they drew a comparison between the region of interest (ROI) of their own proposed model with that of Radiological Society of North America (RSNA). They even proposed various model architectures, namely Inception, ResNet-50, Xception and Ensemble (weighted mean of the first three models). Inception turned out to be their best model, with an accuracy of about 78.2% and the F1 score of 64.11% for detecting pneumonia through binary classification.

This paper also includes some more references in CNN processed fields, such as medical image reconstruction, medical image segmentation and so on. The most widely used models in medical image segmentation are U-Net [12] and V-Net [13], which propose the idea of a fully connected neural network and stacked down-sampling layers followed by up-sampling layers. Additionally, the layers present at front get directly connected to the layers present behind by the networks so that the model's capability can be improved. The architecture [12], named U-net, consists of some stacked down-sampling convolution layers that adopt 3x3 kernels followed by Rectified Linear unit (ReLU) and 2x2 max pooling operation to obtain hidden feature maps. In order to reconstruct the original images, numerous metric convolution layers are used after the down-sampling layers. Similarly, the model architecture [13] named V-net adapts stacked convolution down-sampling and up-sampling layers, whose difference between U-net is the bottom of model, in other words, like their names, U-net and V-net.

## IV. METHODOLOGY

### A. Images of Chest X-Rays, The Dataset

The data set used for this research is provided by Guangzhou Women and Children's Medical Center, Guangzhou and is openly available on Kaggle [30]. All the X-rays having poor quality have been removed before the analysis part itself. There has been classification by three experts in the field of radiology [30]. The dataset contains 5,856 images of chest X-rays in JPEG format. It is further composed of three directories - train, val and test, which are used in the form of training, validation and testing data respectively. It originally consists of only 16 images in its val folder. Hence, an 80/10/10 split has been performed so that 80% of the total images can be used for training, 10% for validation and the remaining 10% for testing. Hence, the train directory consists of 4,684 images, val directory contains 586 images and test directory contains 586 images.

All these directories contain two sub-directories inside them consisting of images of chest X-rays of patients suffering from pneumonia and those who are not suffering from pneumonia. The names of subfolders directly speak for the data labels. The quality of images was very high and initially they were of different sizes, but they are resized after wards for training model. Moreover, data augmentation was used to balance out the X-rays labeled as Pneumonia with the X-rays labeled as Normal since the former images were greater than the latter initially in the training dataset. Figure 2 shows two images of X-rays with their corresponding labels.

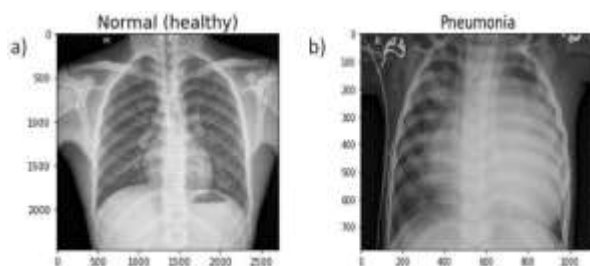


Fig. 2: Examples from the dataset (a) normal lungs, (b) pneumonia infected lungs

### A. Preparation of Dataset

Before building a model, it's quite important to preprocess the imported data. Firstly, some data augmentation has been performed, followed by feeding some images and test the network and creating labels for them. In the original dataset, there is an imbalance between the pneumonia symptom patients comparing with normal ones in the seen examples due to which it became quite necessary to perform data augmentation. This also helps in decreasing the amount of overfitting. In situations when there is no more available data of certainty it can be artificially created by zooming, asymmetrically cropping or rotating input images [31]. All of this can be performed with Keras's preprocessing tools [32]. Then two data generators for training and validation data (one for each) have been defined. A data generator loads some part of data we need from the folder and convert this to training required data and targets. The Batch size used for the model is 32.

### B. Proposed CNN Model

The main tools used in this project are: Numpy, Pandas, Keras, Jupyter notebook, Matplotlib and Seaborn [33]. Google Colaboratory uses them for training and testing as it runs entirely on the cloud. To classify the images, a CNN based algorithm was used. The CNN comes under deep learning. It consists of three basic layers namely, input layer, output layer and hidden layer. Here, the hidden layer plays the role in covering all the calculations. Convolution layers can be found inside of the hidden layers [32]. Hidden layer is basically a full connected layer. The most important building block of a CNN is the convolution layer: each neuron in the convolution layer is only connected to a small number of

neurons (receptive field) in the next convolutions layer [32]. Such a design allows the network structure to focus on a small low-level feature in the first hidden layer, and then aggregate them into higher-level features in the next hidden layer, and soon [32]. Designing in its structure is one of the reasons why it is used for image recognizing. Pooling layers work here to reduce sizes of input without a loss of any important details. This is completed to decrease cost and memory use.

Figure 3 shows a max pooling layer, which is the most common type of pooling layer [31,32]. We've used max pooling layer too in project, activation function named as ReLU was used. ReLU works accurately in DN network as it's fast plus it doesn't fluctuate in positive values. There are some variation of the ReLU activation function such as leaky ReLU, parametric leaky ReLU and SELU [32]. To increase efficiency while working in model, dropout process is used. In dropout non used neuron are stopped. The network can get a 1-2% increase in accuracy by introducing dropout.

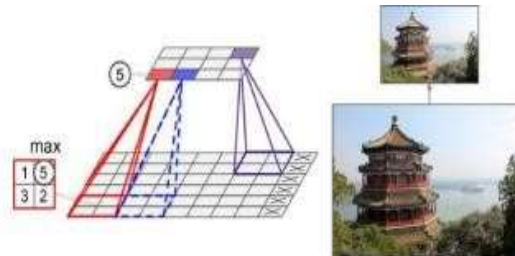


Fig.3. Illustration of the max pooling layer (2×2 pooling kernel, stride 2, no padding) [13]

The architecture of the CNN used for this research is shown in Figures 3 and 4. Figure 3 represents the pre-processed image of the model seen in paper. Features of the CNN model application are seen in Figure 4. Similar approaches were used in [34] and [35]. In [35], the same data set was used, but the authors used the original split of the data into training, validation and test subsets.

Model: "model"

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[None, 150, 150, 3]	0
conv2d (Conv2D)	(None, 150, 150, 16)	448
conv2d_1 (Conv2D)	(None, 150, 150, 16)	2320
max_pooling2d (MaxPooling2D)	(None, 75, 75, 16)	0
separable_conv2d (Separable Conv2D)	(None, 75, 75, 32)	688
separable_conv2d_1 (Separable Conv2D)	(None, 75, 75, 32)	1344
batch_normalization (Batch Normalization)	(None, 75, 75, 32)	128
max_pooling2d_1 (MaxPooling2D)	(None, 37, 37, 32)	0
separable_conv2d_2 (Separable Conv2D)	(None, 37, 37, 64)	2400
separable_conv2d_3 (Separable Conv2D)	(None, 37, 37, 64)	4736
batch_normalization_1 (Batch Normalization)	(None, 37, 37, 64)	256
max_pooling2d_2 (MaxPooling2D)	(None, 18, 18, 64)	0
separable_conv2d_4 (Separable Conv2D)	(None, 18, 18, 128)	8896
separable_conv2d_5 (Separable Conv2D)	(None, 18, 18, 128)	17664
batch_normalization_2 (Batch Normalization)	(None, 18, 18, 128)	512
max_pooling2d_3 (MaxPooling2D)	(None, 9, 9, 128)	0
dropout (Dropout)	(None, 9, 9, 128)	0
separable_conv2d_6 (Separable Conv2D)	(None, 9, 9, 256)	34176
separable_conv2d_7 (Separable Conv2D)	(None, 9, 9, 256)	68896
batch_normalization_3 (Batch Normalization)	(None, 9, 9, 256)	1024

Fig.4: The model summary of the CNN model used



**(i) 5 Convolutional blocks CNN using Batch normalization and max-pooling**

The model has been built using 5 steps. These steps have been described as - Five convolution blocks have been used to comprise of convolution layer, batch-normalization and max- pooling. On top of that, a flatten layer was applied following four layers that are fully connected. To reduce over-fitting, in-between dropout have also been used. The function of the activation was the ReLU leaving the last layer in which it is twisted because of binary categorizing.

Before model training, it is helpful to define one or more call backs. Best of all, there are: Model Check point and Early Stopping.

**Model Check point:** Sometimes training takes much time to reach final outcome. That is good, it often requires a lot of iterations as well. In this state, it is better to maintain copy of the most accurate model done when the metrics improving period completes.

**Early Stopping:** Sometimes, in training, we see that the concept gap (the change between training and validation) begin to rise, rather than reducing. We see this can be resolved by, lowering model capacity, data augmentation, regularization, expanding training data ,etc. When the generalization gap becomes worse, an effective and efficient solution is to stop the training.[37]



Fig.5: Early Stopping [35]

After that, 10 epochs were used to train the model for a batch-size of 32. However, a greater value batch size tends to give better results but that increases the computational cost. Some research claims that by investing sometime on hyper parameter tuning, optimal batch size could be found for good results. Also, the accuracy and loss plot have been visualized.

**(ii) 2:1 Architecture without using Batch Normalization and Dropout**

In this architecture, two convolution layer have been used along with one hidden layer of the CNN model without using Batch Normalization and Dropout.

**(iii) 4:2 Architecture using Batch Normalization and Dropout**

In this architecture, four convolution layers have been used along with two hidden layers of the CNN model using Batch Normalization and Dropout.

**b. Conventional Models****(i) VGG-16**

VGG16 is an architecture based on convolution neural network (CNN). 16 in the term 'VGG16' refers to the 16 layers of weight that it possesses. This network is the largest network and has around 138 million frameworks. It is said to be one of the best vision model structures in the present world. The best feature of VGG16 is that although it consists of large hyper parameters, it still focuses on convolution layers having a 3x3 filter and as tried of 1. But it usually uses the same padding and a max pool layer having 2x2 filter with as tried of 2. It maintains the structure of max pool and convolution layers perpetually during the complete architecture. Finally, it consists of two fully connected layers and then a soft max for output.

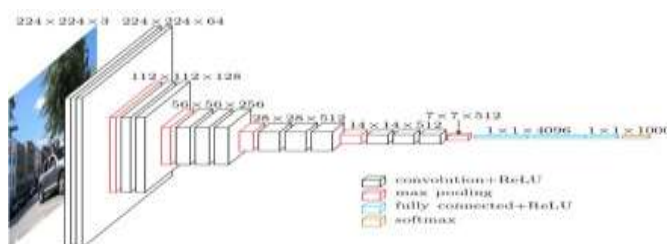


Fig.6: Architecture of VGG-16[36]

Figure 7 shows the model summary of the VGG-16 model used.

Model: "model"

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[(None, 224, 224, 3)]	0
block1_conv1 (Conv2D)	(None, 224, 224, 64)	1792
block1_conv2 (Conv2D)	(None, 224, 224, 64)	36928
block1_pool (MaxPooling2D)	(None, 112, 112, 64)	0
block2_conv1 (Conv2D)	(None, 112, 112, 128)	73856
block2_conv2 (Conv2D)	(None, 112, 112, 128)	147584
block2_pool (MaxPooling2D)	(None, 56, 56, 128)	0
block3_conv1 (Conv2D)	(None, 56, 56, 256)	295168
block3_conv2 (Conv2D)	(None, 56, 56, 256)	590080
block3_conv3 (Conv2D)	(None, 56, 56, 256)	590080
block3_pool (MaxPooling2D)	(None, 28, 28, 256)	0
block4_conv1 (Conv2D)	(None, 28, 28, 512)	1180160
block4_conv2 (Conv2D)	(None, 28, 28, 512)	2359808
block4_conv3 (Conv2D)	(None, 28, 28, 512)	2359808
block4_pool (MaxPooling2D)	(None, 14, 14, 512)	0
block5_conv1 (Conv2D)	(None, 14, 14, 512)	2359808
block5_conv2 (Conv2D)	(None, 14, 14, 512)	2359808
block5_conv3 (Conv2D)	(None, 14, 14, 512)	2359808
block5_pool (MaxPooling2D)	(None, 7, 7, 512)	0
flatten (Flatten)	(None, 25088)	0
dense (Dense)	(None, 2)	50178

Total params: 14,764,866  
 Trainable params: 50,178  
 Non-trainable params: 14,714,688

Fig.7: The model summary of the VGG-16 used

### (ii) Inception V3

Inception v3 is basically a model based on convolutional neural network (CNN) to assist in the process of analyzing images and also detecting objects. It is a part of the third version of the Google Inception Convolutional Neural Network. The whole idea behind the design of Inception v3 was to permit deeper networks, but at the same time ensuring that the amount of parameters do not grow a bit too much: it comprises of "less than 25 million parameters", which is less, if compared to the 60 million that are there for Alex Net.

Like Image Net can be considered a separate database for already classified visual objects, Inception V3 facilitates the object separation in the field of computing. The Architecture of Inception v3 is also used in various types of programs, commonly used "pre-trained" from Image Net. One of its such uses is in the area of life sciences, where it assists in the study of the deadly disease—leukemia.

### (iii) Random Forest

Random forest is a very popular algorithm of Machine Learning promptly used for solving problems of Classification and Regression, also to build decision-making trees with the help of different samples and use their majority vote in case of problems of classification and a verage in case of regression.

One of the most crucial features of this algorithm is that it can utilize the data set containing categorical variables just like they are present for classification and continuous variables as in it is in case of regression. It produces the best results of classification problems.



Fig.8: Random Forest [37]

## V.RESULTS

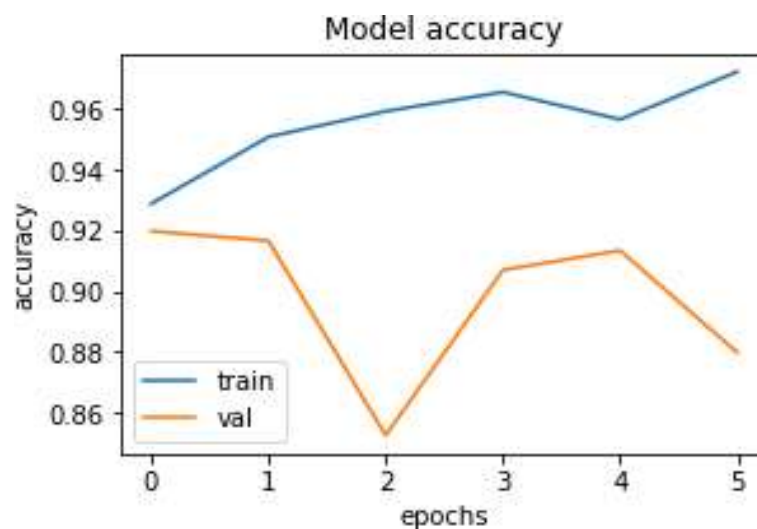
The validation accuracy has been assessed as a measure for studying and analyzing each classifier. The accuracy and loss graphs were also plotted for better understanding.

### A. Comparison of Performance of Models

We have assessed the accuracies of the Random Forest algorithm along with 5 CNN models, namely VGG-16, InceptionV3, 2:1 Architecture without using Batch Normalization and Dropout, 4:2 Architecture using Batch Normalization and Dropout, 5 Convolutional Blocks CNN with Batch Normalization and Max-Pooling. Figures 9, 10, 11, 12, 13 show the accuracy and loss plotted in graphs for all CNN based classifier models. Table 1 shows that the Random Forest algorithm significantly underperformed compared to CNN models. Accuracy is very low for it (75.00%). In the CNN models, the 2:1 Architecture without using Batch Normalization and Dropout has performed the best with an accuracy of 94.65%, followed by VGG-16 having an accuracy of 91.99%. The 4:2 Architecture using Batch Normalization and Dropout and our own 5 convolution block CNN model with Batch Normalization and Max-Pooling have got very near accuracies of 91.88% and 90.70% respectively. InceptionV3 has also performed decently with an accuracy of 88.39%. The results are as follows:

**Table 1 Comparison Of Various Machine And Deep Learning Models**

Method Used	Accuracy (%)
Random Forest	75.00
Convolution Neural Networks	
VGG-16	91.99
InceptionV3	88.39
2:1 Architecture without using Batch Normalization and Dropout	94.65
4:2 Architecture using Batch Normalization and Dropout	91.88
5 Convolution Blocks CNN with Batch Normalization and Max-pooling	90.70



*Fig.9: Accuracy plot for VGG-16*

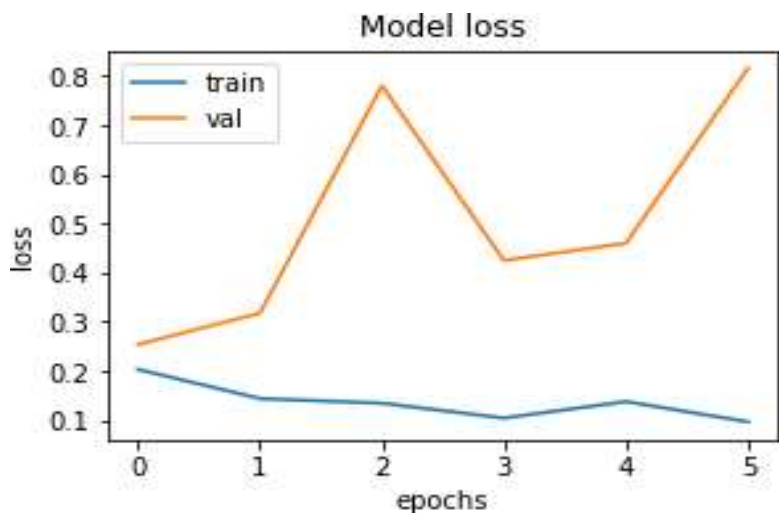


Fig.10: Loss plot for VGG-16

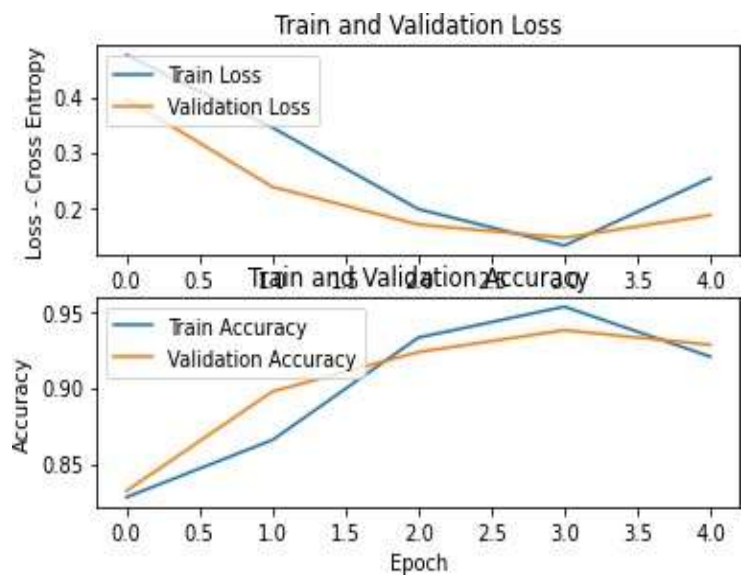


Fig.11: Loss and accuracy plots for InceptionV3

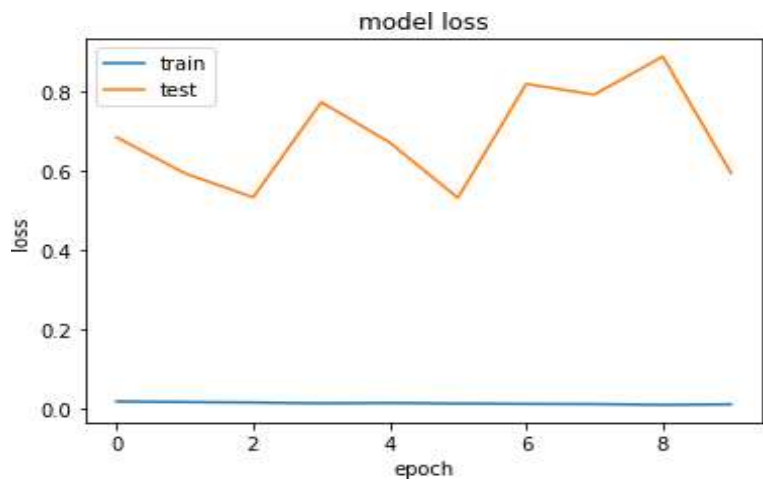


Fig.12: Loss plot for 4:2 Architecture using Batch Normalization and Dropout



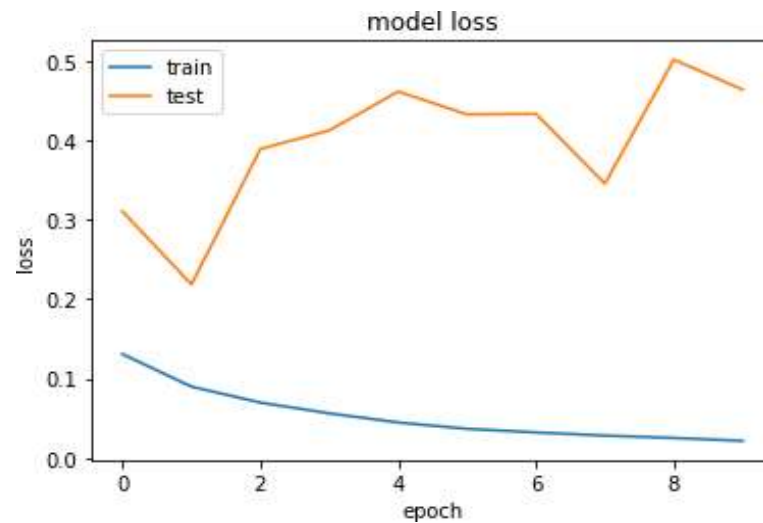


Fig.13: Loss plot for 2:1 Architecture without using Batch Normalization and Dropout

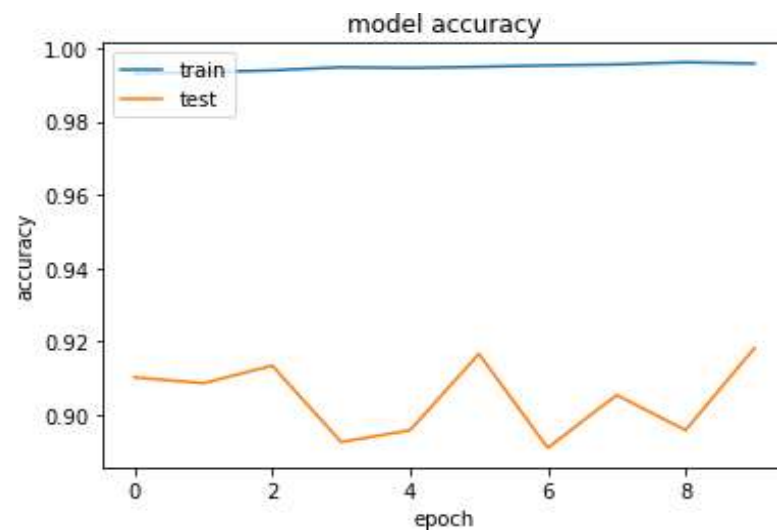


Fig.14: Accuracy plot for 2:1 Architecture without using Batch Normalization and Dropout

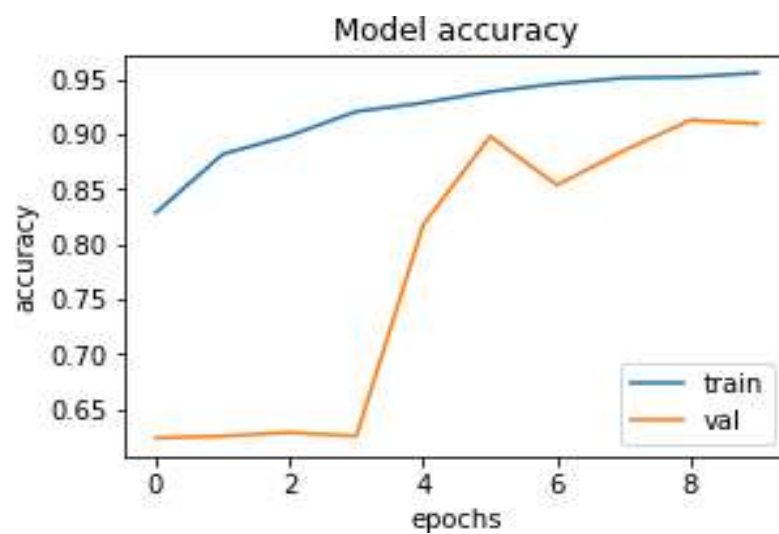


Fig.15: Accuracy plot for 5 Convolution blocks CNN with Batch Normalization and Max-pooling

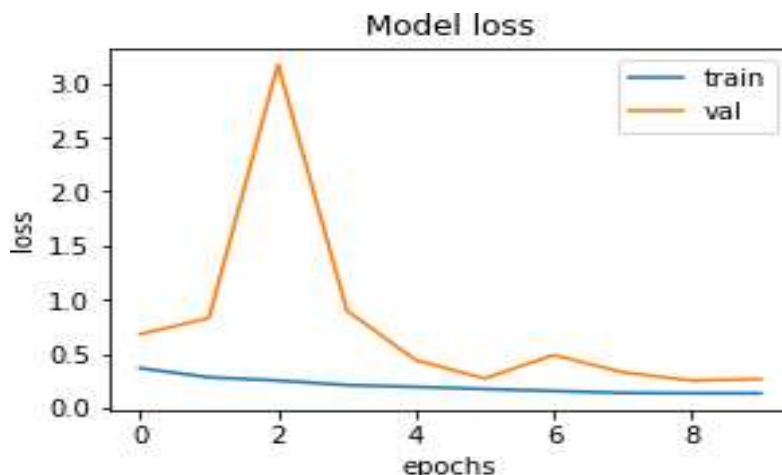


Fig.16: Loss plot for 5 Convolution blocks CNN with Batch Normalization and Max-pooling

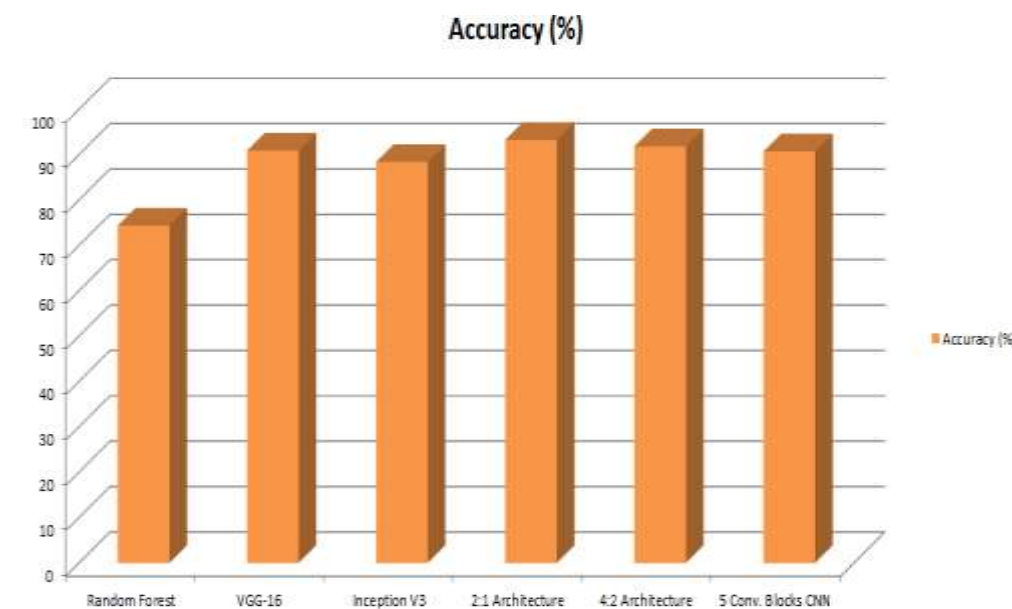


Fig.17: Comparison of various models applied

## VI.CONCLUSION

This study explores the work of in-depth learning in diagnosing pneumonia from a computer vision using the Random Forest algorithm and convolution neural network architectures. All CNN models used are tested for fine tuning and feature extraction. The images of the chest x-rays of patients who are infected by pneumonia and those who are normal are extracted as a dataset from Kaggle website. VGG-16, 2:1 Architecture without using Batch Normalization and Dropout, 4:2 Architecture using Batch Normalization and Dropout and 5 Convolution Blocks CNN with Batch Normalization and Max-pooling come out to be the most efficient models with an accuracy of 90% to 95%. Random Forest has got the accuracy level of 75.00%, which is lowest among all the models. Overall, all models produced good results for both pneumonia as well as routine chest x-ray. This research work provides a different approach to determine pneumonia, thereby helping to provide medical facilities. In future, refinements to the convolutional neural networks structures such as ResNet, GoogleNet, shuffleNet, and Mobile Net architectures to detect pneumonia should also be used to optimize hyper-parameters and can be taken into consideration to further make the model more accurate. This research will assist medical staff while taking decisions in real time on the implementation of an accurate model in finding a pneumonia case and gaining the ability to diagnose pneumonia through in-depth study.

## VII.FUTURESCOPE

The primary objective of the whole research lies in determining whether or not a person is suffering from the disease of pneumonia with the help of chest x-rays, available as a dataset on the Kaggle website. Several models of deep learning as well as transfer learning have been examined to classify the images. Deep Learning assists in extracting features from

images that are further used for classifying the x-ray showing pneumonia. Different convolutional neural network architectures have been built and trained using the x-ray images of pneumonia patients and those of normal patients. We have also used Random Forest algorithm for further getting better results and comparison of the accuracy of the different models that have been used. The training of the CNN models used has been done on the Chest X-Rays (Pneumonia) dataset taken from Kaggle. This project aims to enhance the therapeutic facilities in places where there are only a limited number of radiologists available so that pneumonia can be diagnosed early to prevent further consequences in such remote areas. In future, refinements to other convolutional neural network structures such as Res Net, Google Net, Shuffle Net, and Mobile Net architectures to detect pneumonia should also be used to optimize hyper-parameters and can be taken into consideration to further make the model more accurate. Also, the research can be further extended to classifying a patient as either having bacterial pneumonia, viral pneumonia, or no pneumonia. Classification can further be made between the pneumonia that is caused due to COVID-19 and the normal pneumonia. The project can also be deployed as a desktop/web application so that people across the globe can make use of it at the comfort of their homes. Also, a larger dataset can be used in the future for detection to give higher accuracy and produce better results. This research will assist medical staff while taking decisions in real time on the implementation of an accurate model in finding a pneumonia case and gaining the ability to diagnose pneumonia through in-depth study.

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