

MOYO

Driving Significance Together

User Manual

PROCION SYSTEM ONLINE

Date: 8TH Month 2023

Submitted by: MOYO

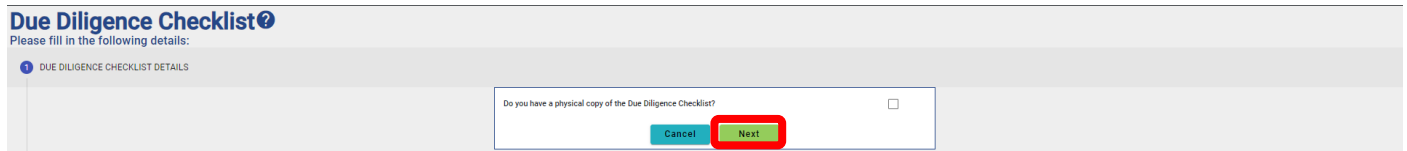
Prepared by Leon Combrinck



Vendor Section

Due Diligence Checklist:

Due Diligence Checklist:



Due Diligence Checklist?
Please fill in the following details:

1 DUE DILIGENCE CHECKLIST DETAILS

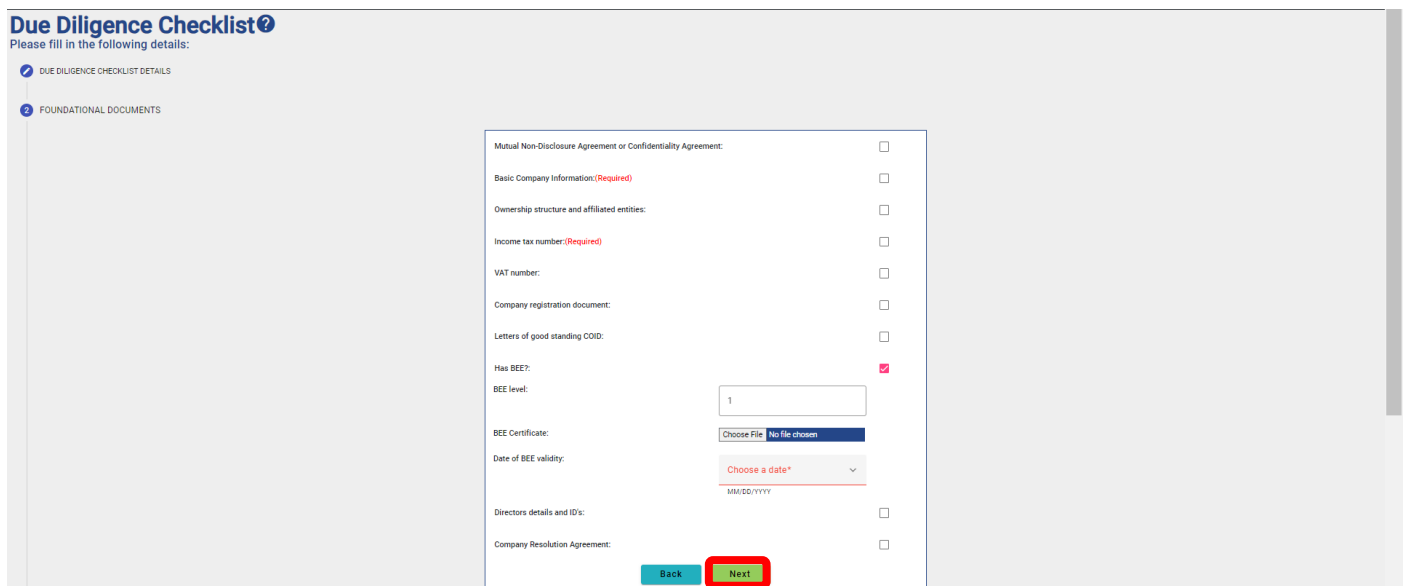
Do you have a physical copy of the Due Diligence Checklist? ☐

Cancel Next

Figure 1 Click Next Due Diligence Checklist Details Vendor Button.

1 “Do you have a physical copy of the due diligence checklist?”: Check the Checkbox if you have a physical copy of the due diligence checklist of the company. (Not Compulsory)

Step two edit the “Foundational Documents” and click the “Next” button to move onto step three. (Fields that are required will state so)



Due Diligence Checklist?
Please fill in the following details:

2 DUE DILIGENCE CHECKLIST DETAILS

2 FOUNDATIONAL DOCUMENTS

Mutual Non-Disclosure Agreement or Confidentiality Agreement: ☐

Basic Company Information: **(Required)** ☐

Ownership structure and affiliated entities: ☐

Income tax number: **(Required)** ☐

VAT number: ☐

Company registration document: ☐

Letters of good standing COID: ☐

Has BEE? ☒

BEE level:

BEE Certificate: No file chosen

Date of BEE validity: MM/DD/YYYY

Directors details and ID's: ☐

Company Resolution Agreement: ☐

Back Next

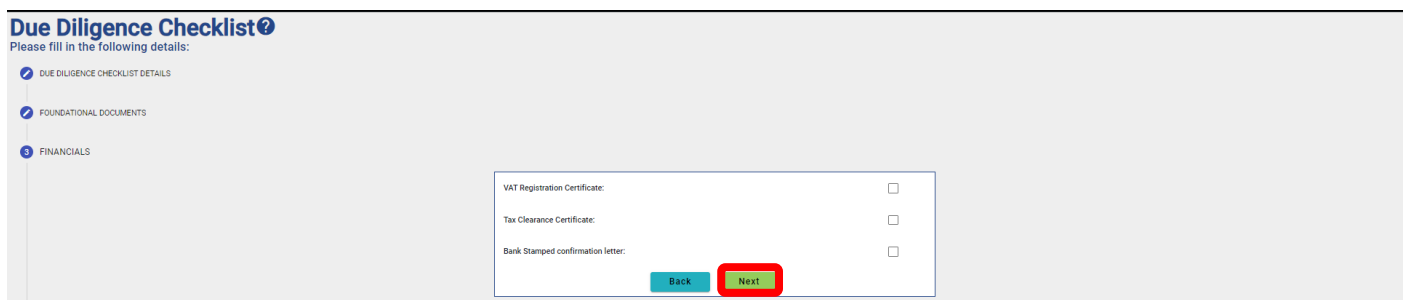
Figure 1 Click Next Foundational Documents Vendor Button.

1. “Mutual Non-Disclosure Agreement or Confidentiality Agreement”: Check the Checkbox if there is a mutual non-disclosure agreement of confidentiality agreement of the company. (Not Compulsory)



2. **“Basic Company Information”**: Check the Checkbox if you have the basic company information of the company. (Compulsory)
3. **“Ownership Structure and Affiliated Entities”**: Check the checkbox if you the company has ownership structure and affiliated entities details. (Not Compulsory)
4. **“Income tax Number”**: Check the Checkbox if you have the income tax number of the company. (Compulsory)
5. **“Company Registration Document”**: Check the Checkbox if you have the company registration document of the company. (Not Compulsory)
6. **“Letter of Good Standing COID”**: Check the Checkbox if you have the letter of co standing of the company. (Not Compulsory)
7. **“Has BEE?”**: Check the Checkbox if the company has BEE. (Compulsory)
 - **“BEE Level”**: Fill in the company’s BEE level. (Compulsory)
 - **“BEE Certificate”**: Provide the company’s BEE certificate. (Compulsory)
 - **“Date of BEE Validity”**: Choose the date of the company’s date of BEE validity using a date picker. (Compulsory)
8. **“Directors Details and ID’s”**: Check the Checkbox if you have the directors’ details and ID’s of the company. (Not Compulsory)
9. **“Company Resolution Agreement”**: Check the Checkbox if you have the resolution agreement of the company. (Not Compulsory)

Step three edit the “Financials” and click the “Next” button to move onto step four. (Fields that are required will state so)



Due Diligence Checklist?
Please fill in the following details:

- 1 DUE DILIGENCE CHECKLIST DETAILS
- 2 FOUNDATIONAL DOCUMENTS
- 3 FINANCIALS

VAT Registration Certificate: ☐

Tax Clearance Certificate: ☐

Bank Stamped confirmation letter: ☐

[Back](#) [Next](#)

Figure 1 Click Next Financials Vendor Button.

1. **“Vat Registration Certificate”**: Check the Checkbox if you have the VAT registration certificate of the company. (Not Compulsory)



2. **“TAX Clearance Certificate”**: Check the Checkbox if you have the TAX clearance certificate of the company. (Not Compulsory)
3. **“Bank Stamped Confirmation Letter”**: Check the checkbox if you have the bank stamped confirmation letter of the company. (Not Compulsory)

Step four edit the “Sub-Contraction” and click the “Next” button to move onto step five. (Fields that are required will state so)

Due Diligence Checklist?
Please fill in the following details:

- ✓ DUE DILIGENCE CHECKLIST DETAILS
- ✓ FOUNDATIONAL DOCUMENTS
- ✓ FINANCIALS
- 4 SUB-CONTRACTING

Name of sub-contractor: ☐

Provide similar documents as for main supplier (In case of company): ☐

Provide copy of ID, qualifications, accreditations and professional memberships (In case of individual): ☐

[Back](#) [Next](#)

Figure 1 Click Next Sub-Contracting Vendor Button.

1. **“Name of Sub-Contractor”**: Check the Checkbox if you have the name of the sub-contractor of the company. (Not Compulsory)
2. **“Provide similar documents as for main supplier (In case of company)”**: Check the Checkbox if you have the similar documents of main supplier of the company. (Not Compulsory)
3. **“Provide copy of ID, qualifications, accreditations and professional memberships (In case of individual)”**: Check the checkbox if you have the copy of ID’s, qualifications, accreditations, and professional memberships of the individual. (Not Compulsory)

Step Five edit the “Insurance” and click the “Next” button to move onto step six. (Fields that are required will state so)



Due Diligence Checklist?

Please fill in the following details:

- 1 DUE DILIGENCE CHECKLIST DETAILS
- 2 FOUNDATIONAL DOCUMENTS
- 3 FINANCIALS
- 4 SUB-CONTRACTING
- 5 INSURANCE

General liability insurance: ☒

Add new General liability Insurance Document?

Cyber insurance: ☒

Add a new Cyber Insurance Document?

Professional indemnity insurance (if applicable): ☒

Add a new Professional Indemnity Insurance Document?

Other specific insurance required per service/industry: ☒

Add new Other specific Insurance Document?

Figure 1 Click Next Insurance Vendor Button.

1. “General Liability Insurance”: Check the Checkbox if you have the general liability insurance of the company. (Not Compulsory)
 - “Add new General liability Insurance Document?”: Provide the general liability insurance document of the company. (Compulsory)
2. “Cyber insurance:”: Check the Checkbox if you have the Cyber Insurance of the company. (Not Compulsory)
 - “Add a new Cyber Insurance Document?”: Provide the cyber insurance document of the company. (Compulsory)
3. “Professional indemnity insurance (if applicable):”: Check the checkbox if you have the professional indemnity insurance of the company. (Not Compulsory)
 - “Add a new Professional Indemnity Insurance Document?”: Provide the professional indemnity insurance document of the company. (Compulsory)
4. “Other specific insurance required per service/industry”: Check the checkbox if you have other specific insurance of the company. (Not Compulsory)
 - “Add new Other specific insurance Document?”: Provide the other specific insurance document of the company. (Compulsory)

Step six edit the “License or Professional Accreditation” and click the “Next” button to move onto step seven. (Fields that are required will state so)



Due Diligence Checklist?

Please fill in the following details:

- 1 DUE DILIGENCE CHECKLIST DETAILS
- 2 FOUNDATIONAL DOCUMENTS
- 3 FINANCIALS
- 4 SUB-CONTRACTING
- 5 INSURANCE
- 6 LICENSES OR PROFESSIONAL ACCREDITATION

Licenses required: ☐

Accreditation required: ☐

Professional membership required: ☐

Figure 1 Click Next License or professional accreditation Vendor Button.

1. **“Licenses required:”**: Check the Checkbox if the license is required of the company. (Not Compulsory)
2. **“Accreditation required:”**: Check the Checkbox if accreditation is required of the company. (Not Compulsory)
3. **“Professional membership required:”**: Check the checkbox if professional membership is required of the company. (Not Compulsory)

Step seven edit the “Information Security” and click the “Next” button to move onto step eight. (Fields that are required will state so)

- 1 INSURANCE
- 2 LICENSES OR PROFESSIONAL ACCREDITATION
- 3 INFORMATION SECURITY

Business continuity plan: ☐

Disaster recovery plan: ☐

Protection of personal information by design: ☒

Does the contract set out what personal data is used for what purpose? (Required) ☐

Is the contracted partner a controller (C), joint controller (JC), processor (P) or sub-processor (SP)?

Depending on the controller/processor relationship, do you have a Data Processing Agreement or a Joint Controller Agreement in place? (Required) ☐

Does the contract highlight the importance of confidentiality? (Required) ☐

Does the contract provide for audits and inspections? (Required) ☐

Is it clear who is accountable and liable for different activities? (Required) ☐

Is there a provision to cover third party processing of data? (Required) ☐

Does a process exist for managing data when the contract ends? (Required) ☐

Is the personal data that's being processed detailed in your and their 'Record of Processing Activities'? (Required) ☐

Does the supplier hold any form of certification for their processing activities? (Required) ☐

History of data breaches and security incidents: ☐

Site visits to assess security controls (if required): ☐

Figure 1 Click Next Information Security Vendor Button.

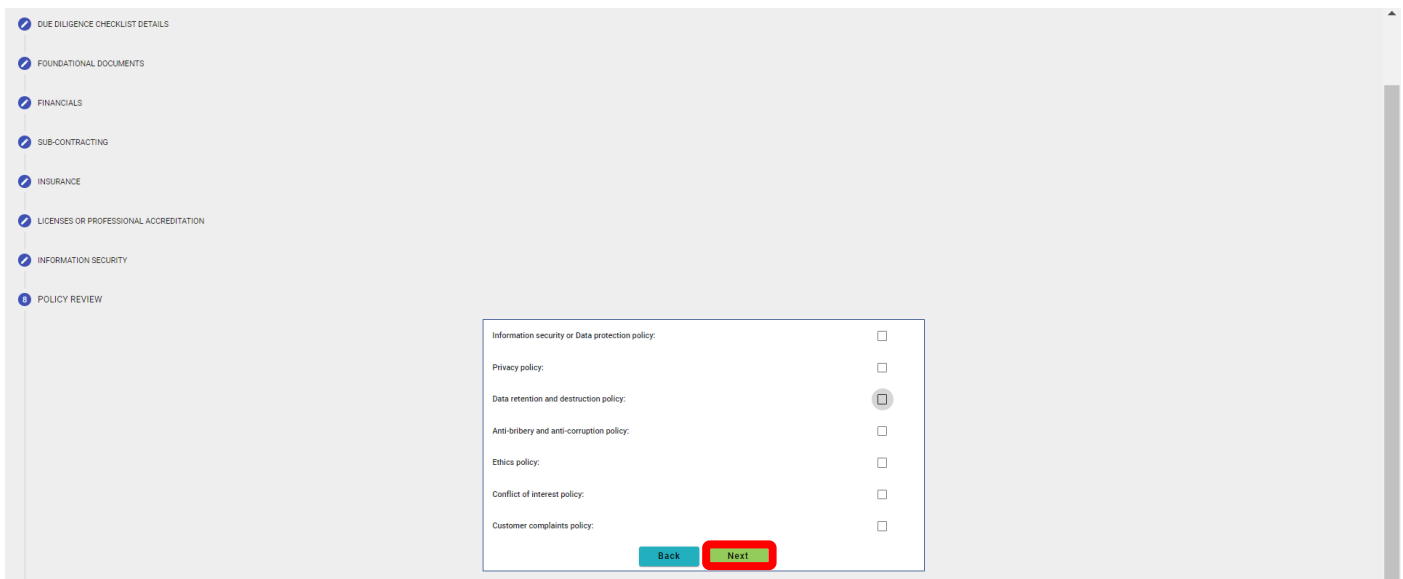


1. “Business continuity plan”: Check the Checkbox if you have the business community plan of the company. (Not Compulsory)
2. “Disaster recovery plan”: Check the Checkbox if you have the disasters recovery plan of the company. (Not Compulsory)
3. “Protection of personal information by design”: Check the checkbox if you have the protection of personal information by design of the company. (Not Compulsory)
 - “Does the contract set out what personal data is used for what purpose?”: Check the checkbox if the contract sets out what personal data is used of the company. (Compulsory)
 - “Is the contracted partner a controller (C), joint controller (JC), processor (P) or sub-processor (SP)?”: Choose one of the options of the company. (Compulsory)
 - “Depending on the controller/processor relationship, do you have a Data Processing Agreement or a Joint Controller Agreement in place?”: Check the checkbox if you have the data processing agreement or joint controller agreement in place with the company. (Compulsory)
 - “Does the contract highlight the importance of confidentiality?”: Check the checkbox if the contract highlights the importance of confidentiality. (Compulsory)
 - “Does the contract provide for audits and inspections?”: Check the checkbox if the contract provided audits and inspections. (Compulsory)
 - “Is it clear who is accountable and liable for different activities?”: Check the checkbox if the contract is clear on who is accountable and liable for different activities. (Compulsory)
 - “Is there a provision to cover third party processing of data?”: Check the checkbox if there is a proven to cover third party processing of data efforts of the company. (Compulsory)
 - “Does a process exist for managing data when the contract ends?”: Check the checkbox if a processing does exist for managing date when the contract ends. (Compulsory)
 - “Is the personal data that's being processed detailed in your and their 'Record of Processing Activities'?”: Check the checkbox if the professional data that is being processed detailed in, you're and the company's 'Record of Processing Activities'. (Compulsory)
 - “Does the supplier hold any form of certification for their processing activities?”: Check the checkbox if the supplier holds any form of certification of their processing activities of the company. (Compulsory)



4. “History of data breaches and security incidents”: Check the checkbox if you have the History of data breaches and security incidents of the company. (Not Compulsory)
5. “Site visits to assess security controls (if required)”: Check the checkbox if you have site visits to assess security controls of the company. (Not Compulsory)

Step eight edit the “Policy Review” and click the “Next” button to move onto step nine. (Fields that are required will state so)



The screenshot shows a web application interface for a due diligence checklist. On the left, a sidebar lists the checklist items: DUE DILIGENCE CHECKLIST DETAILS, FOUNDATIONAL DOCUMENTS, FINANCIALS, SUB-CONTRACTING, INSURANCE, LICENSES OR PROFESSIONAL ACCREDITATION, INFORMATION SECURITY, and POLICY REVIEW. The main content area displays the 'POLICY REVIEW' form. It contains a list of policy types with checkboxes: Information security or Data protection policy, Privacy policy, Data retention and destruction policy (checked), Anti-bribery and anti-corruption policy, Ethics policy, Conflict of interest policy, and Customer complaints policy. At the bottom of the form, there are 'Back' and 'Next' buttons. The 'Next' button is highlighted with a red border.

Figure 1 Click Next Policy Review Vendor Button.

1. “Information security or Data protection policy”: Check the Checkbox if you have the Information security or Date protection policy of the company. (Not Compulsory)
2. “Privacy policy”: Check the Checkbox if you have the privacy policy of the company. (Not Compulsory)
3. “Data retention and destruction policy”: Check the checkbox if you have the data retention and destruction policy of the company. (Not Compulsory)
4. “Anti-bribery and anti-corruption policy”: Check the checkbox if you have the anti-bribery and anti-corruption policy of the company. (Not Compulsory)
5. “Ethics policy”: Check the checkbox if you have the ethics policy of the company. (Not Compulsory)
6. “Conflict of interest policy”: Check the checkbox if you have the conflict-of-interest policy of the company. (Not Compulsory)



7. **“Customer complaints policy”**: Check the checkbox if you have the customer complaints policy of the company. (Not Compulsory)

Step nine edit the “Business References” and click the “Next” button to move onto step ten. (Fields that are required will state so)

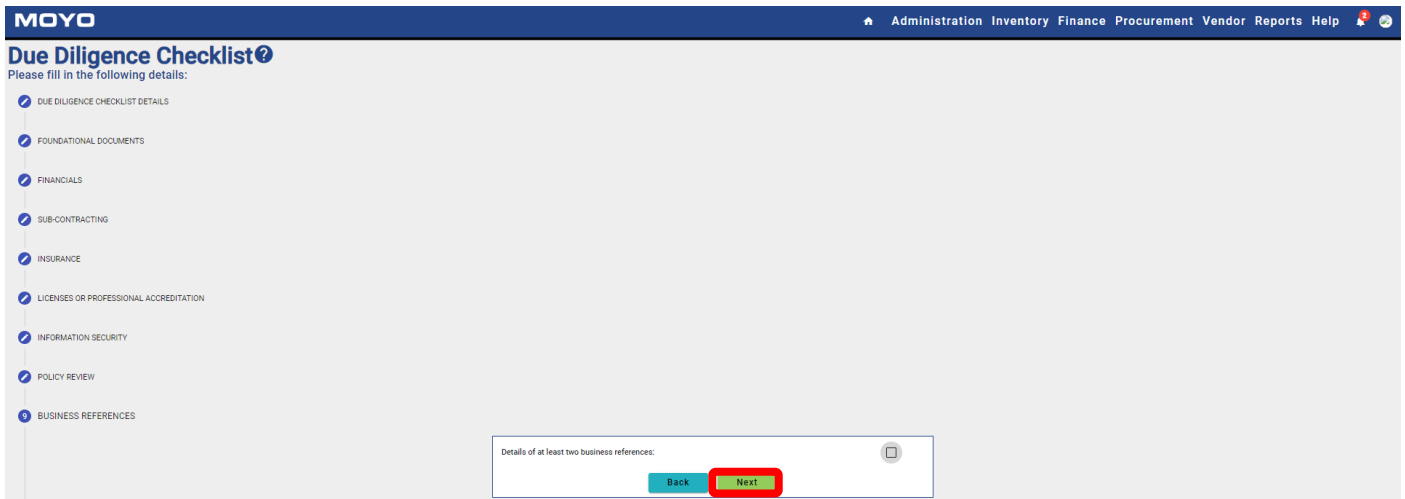


Figure 1 Click Next Business References Vendor Button.

1. **“Details of at least two business references”**: Check the Checkbox if you have at least two business references of the company. (Not Compulsory)

Step ten “Done” and click the “Update” button. Once you clicked the update button the Due Diligence checklist of the vendor will be updated on the system.





Due Diligence Checklist?

Please fill in the following details:

- ✓ DUE DILIGENCE CHECKLIST DETAILS
- ✓ FOUNDATIONAL DOCUMENTS
- ✓ FINANCIALS
- ✓ SUB-CONTRACTING
- ✓ INSURANCE
- ✓ LICENSES OR PROFESSIONAL ACCREDITATION
- ✓ INFORMATION SECURITY
- ✓ POLICY REVIEW
- ✓ BUSINESS REFERENCES
- 10 Done

Please ensure that all details are correct

Back

Update

Figure 1 Click Update Done Vendor Button.