

ANALYSIS OF THE FLORIDA IBUDGET ALGORITHM:
CURRENT LIMITATIONS AND PROPOSED QUANTITATIVE
ALTERNATIVES



INFORMATION SYSTEMS OF FLORIDA
September 17, 2025

iBudget Algorithm Study

Agency for Persons with Disabilities (ADP)
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September 17, 2025

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Chapter 1

Introduction

1.1 Introduction

The Florida iBudget algorithm represents a critical component of the state's developmental disability services infrastructure, determining individual budget allocations for Home and Community-Based Services (HCBS) under the Developmental Disabilities Individual Budgeting waiver program. This system currently serves over 36,000 enrollees, making algorithmic decisions that directly impact the quality of life and service access for individuals with developmental disabilities across Florida. The algorithm's role extends beyond mere budget calculation; it fundamentally shapes how resources are distributed, what services individuals can access, and how person-centered planning principles are implemented in practice.

The enactment of House Bill 1103 in the 2025 legislative session has fundamentally altered the regulatory landscape for iBudget allocation methodologies. This legislation mandates a comprehensive study to review, evaluate, and identify recommendations regarding the current algorithm, with particular emphasis on ensuring compliance with person-centered planning requirements under section 393.0662, Florida Statutes. The bill's requirements extend beyond simple algorithmic refinement, demanding a fundamental reassessment of how statistical methods align with person-centered planning principles and contemporary disability services philosophy.

This analysis addresses three interconnected questions that form the foundation for algorithm evaluation and redesign. First, we examine what the current algorithm accomplishes, including its mathematical formulation, variable selection, and operational mechanics. This examination reveals both the system's statistical foundations and its practical implications for budget determination across diverse disability populations. Second, we identify critical weaknesses in the current approach, ranging from temporal validity issues stemming from outdated data to fundamental limitations in capturing person-centered planning elements. These weaknesses extend beyond technical statistical concerns to encompass broader questions about algorithmic fairness, transparency, and compliance with evolving disability rights frameworks.

Third, we analyze specific areas where the current algorithm fails to meet the requirements established in House Bill 1103, particularly regarding person-centered planning integration, data currency, and algorithmic robustness. This compliance analysis reveals systematic gaps between the algorithm's actuarial focus and the legislation's emphasis on individualized, preference-driven service planning. The analysis demonstrates that addressing these compliance issues requires more than technical adjustments; it demands a fundamental reconceptualization of how algorithmic systems can support rather than constrain person-centered planning processes.

The analysis presented in this document extends beyond identifying weaknesses to propose systematic approaches for algorithmic improvement that address both technical limitations and compliance requirements. These approaches range from enhanced linear regression methods that maintain interpretability while improving robustness, to sophisticated machine learning techniques that can capture complex relationships between individual characteristics and support needs, to hybrid approaches that combine statistical prediction with clinical judgment and person-centered planning elements.

The implementation strategy outlined in this analysis emphasizes phased deployment with comprehensive validation and monitoring to ensure that algorithmic improvements translate into meaningful improvements in service delivery and individual outcomes. This approach recognizes that algorithmic change in disability services carries profound implications for individual wellbeing and requires careful attention to unintended consequences and implementation challenges.

This comprehensive analysis serves multiple audiences and purposes within Florida's disability services ecosystem. For policymakers and legislative oversight bodies, it provides the technical foundation required by House Bill 1103 while translating complex statistical concepts into policy-relevant insights about algorithmic performance and compliance. For APD administrators and program managers, it offers practical guidance for algorithm selection and implementation while highlighting operational considerations that affect day-to-day service delivery.

For disability advocacy organizations and individuals receiving services, this analysis provides transparency about algorithmic decision-making processes and identifies specific areas where current methods may not adequately serve person-centered planning principles. For researchers and technical practitioners, it offers detailed methodological analysis and implementation guidance that can inform algorithm development and validation processes.

The analysis ultimately argues that effective algorithmic systems in disability services require more than statistical sophistication; they demand explicit integration of person-centered planning principles, transparent decision-making processes, and ongoing adaptation to changing service delivery contexts. The current algorithm's limitations stem not merely from technical deficiencies but from a fundamental misalignment between actuarial prediction methods and the individualized, preference-driven approaches that define quality disability services.

Moving forward, Florida's iBudget system requires algorithmic approaches that can simultaneously achieve statistical rigor, regulatory compliance, person-centered planning integration, and operational practicality. The alternative approaches presented in this analysis offer pathways toward these multiple objectives while acknowledging the inherent tensions and tradeoffs involved in algorithmic design for disability services. The ultimate success of these approaches will depend not only on their technical implementation but on their ability to support rather than constrain the person-centered planning processes that remain central to effective disability services.

1.2 Analysis of the Questionnaire for Situational Information (QSI): Data Types and Model Deficiencies

The Florida Questionnaire for Situational Information (QSI) Version 4.0 represents a comprehensive assessment instrument designed to evaluate support needs for individuals with developmental disabilities. This analysis examines the data structure, identifies critical deficiencies in the proposed statistical models, and recommends advanced modeling approaches to address these limitations.

1.2.1 QSI Data Structure and Question Categories

The QSI contains comprehensive assessment data organized into three primary domains, each utilizing ordinal scales ranging from 0 (no support needed) to 4 (intensive support required).

1.2.1.1 Functional Status Questions (Q14-Q24)

The functional status domain comprises 11 elements assessing daily living support needs:

- **Q14 - Vision:** Visual impairment assessment (0=no impairment, 4=constant assistance required)
- **Q15 - Hearing:** Hearing impairment assessment (0=no impairment, 4=constant assistance required)
- **Q16 - Eating:** Eating support needs (0=independent, 4=total assistance required)
- **Q17 - Ambulation:** Mobility support needs (0=independent, 4=constant assistance required)
- **Q18 - Transfers:** Transfer support needs (0=independent, 4=total assistance required)
- **Q19 - Toileting:** Toileting support needs (0=independent, 4=total assistance required)

- **Q20 - Hygiene:** Personal hygiene support needs (0=independent, 4=total assistance required)
- **Q21 - Dressing:** Dressing support needs (0=independent, 4=total assistance required)
- **Q22 - Communications:** Communication support needs (0=no impairment, 4=constant assistance required)
- **Q23 - Self-Protection:** Safety awareness and self-protection (0=independent, 4=constant supervision required)
- **Q24 - Evacuation Ability:** Emergency evacuation capability (0=independent, 4=total assistance required)

1.2.1.2 Behavioral Status Questions (Q25-Q30)

The behavioral domain encompasses 6 elements evaluating intervention needs for challenging behaviors:

- **Q25 - Self-Injurious Behavior:** Interventions for self-harm behaviors (0=none required, 4=physical/mechanical restraint used)
- **Q26 - Aggressive/Hurtful to Others:** Interventions for aggressive behaviors (0=none required, 4=secure facility placement)
- **Q27 - Destructive to Property:** Interventions for property damage (0=none required, 4=secure facility placement)
- **Q28 - Inappropriate Sexual Behavior:** Interventions for sexual behavior issues (0=none required, 4=secure facility placement)
- **Q29 - Running Away:** Interventions for elopement behaviors (0=none required, 4=secure facility placement)
- **Q30 - Other Behaviors:** Other behaviors leading to separation (0=none required, 4=secure facility placement)

1.2.1.3 Physical Status Questions (Q32-Q50)

The physical domain contains 19 elements addressing health and medical concerns:

- **Q32 - Self-Injury Related Injuries:** Injury severity from self-injurious behavior
- **Q33 - Aggression Related Injuries:** Injury severity from aggressive behavior
- **Q34 - Mechanical Restraints:** Use of protective equipment for behavioral issues
- **Q35 - Emergency Chemical Restraint:** Use of emergency chemical interventions
- **Q36 - Psychotropic Medications:** Psychotropic medication usage patterns
- **Q37 - Gastrointestinal Conditions:** GI-related health issues including reflux, vomiting
- **Q38 - Seizures:** Seizure-related conditions and management
- **Q39 - Anti-Epileptic Medications:** Anti-seizure medication usage
- **Q40 - Skin Breakdown:** Skin integrity issues

- **Q41 - Bowel Function:** Bowel management needs
- **Q42 - Nutrition:** Nutritional support requirements
- **Q43 - Treatment (Physician Prescribed):** Physician-prescribed treatments
- **Q44 - Chronic Healthcare Needs:** Assistance with ongoing healthcare management
- **Q45 - Individual's Injuries:** Personal injury patterns
- **Q46 - Falls:** Fall-related concerns
- **Q47 - Physician Visits/Nursing Services:** Healthcare service utilization
- **Q48 - Emergency Room Visits:** Emergency healthcare utilization
- **Q49 - Hospital Admissions:** Inpatient healthcare utilization
- **Q50 - Days Missed:** Activity missed due to illness

1.2.1.4 Composite and Additional Variables

The QSI generates several composite scores and includes demographic variables:

- **FSum:** Functional status raw score (sum of Q14-Q24, range 0-44)
- **BSum:** Behavioral status raw score (sum of Q25-Q30, range 0-24)
- **PSum:** Physical status raw score (sum of Q32-Q50, range 0-76)
- **Living Setting:** Six categorical levels ranging from family home to intensive residential care
- **Age Groups:** Multiple categorical classifications (3-20, 21-30, 31+ years)

1.2.2 Structural Inconsistencies in the QSI Assessment Instrument

The QSI exhibits several fundamental design inconsistencies that compromise its reliability as a standardized assessment tool. These include non-uniform scaling systems, unvalidated question exclusions, inconsistent temporal frameworks, and ad-hoc scoring rules that violate the instrument's stated ordinal structure.

Binary vs. Ordinal Scale Inconsistency (Q43) Question 43 (Treatment/physician prescribed) employs a binary scale (0 or 4 only) while all other QSI questions utilize a consistent 5-point ordinal scale (0-4). The standard QSI scaling pattern follows: 0 = none, 1 = minimal, 2 = moderate, 3 = frequent/planned, 4 = intensive. However, Q43 deviates from this structure with only two possible values: 0 = no physician-prescribed procedures required, 4 = requires physician-prescribed procedures carried out by a licensed nurse. This anomaly eliminates intermediate levels 1, 2, and 3, breaking the uniform scaling structure and potentially creating statistical modeling complications due to the bimodal distribution.

Inconsistent Temporal Assessment Frameworks The questionnaire employs multiple, incompatible time frames across different assessment domains without clear justification for the temporal variations. Behavioral interventions are assessed over the “past 12 months,” emergency room visits use a “last year” timeframe, hospital admissions reference the “last six months,” medication changes examine the “past year,” while functional abilities assess “current status.” Some items fail to specify any temporal framework entirely. This temporal inconsistency complicates data interpretation and may introduce systematic bias when comparing support needs across different assessment domains.

Special Scoring Rules Violating Ordinal Structure Several questions employ automatic scoring rules that bypass the standard 0-4 ordinal scale, creating methodological inconsistencies. Q43 mandates an “automatic score of ‘4’ if physician-prescribed procedures are required,” while Q36 includes a special provision that “anyone on Reglan/Metoclopramide, regardless of the reason, has this rating” of 4. These categorical override rules violate the ordinal measurement principles underlying the assessment instrument and may introduce artificial ceiling effects that distort the distribution of scores and compromise statistical modeling assumptions.

Version Control and Documentation Issues The questionnaire exhibits evidence of poor version control with conflicting information about revision dates, effective dates, and rule references. The document simultaneously references Version 4.0 as effective 2-15-08 and revised 5-21-15, while mentioning earlier versions with different scaling systems where “Level 5 that is now identical to Level 4.” Rule numbers and revision protocols appear inconsistent across different sections of the documentation. This suggests inadequate document management and quality assurance procedures that could lead to implementation inconsistencies across different assessment sites or time periods.



Chapter 2

Previous Algorithm

2.1 Introduction

The current algorithm, designated as Model 5b, operates as a multiple linear regression model that calculates individual budget allocations based on a square-root transformation of fiscal year 2013-14 claims data. This approach incorporates 22 independent variables spanning living settings, age categories, and Questionnaire for Situational Information (QSI) assessment scores that evaluate behavioral, functional, and physical support needs. While the algorithm achieves an R-squared value of 0.7998, explaining approximately 80% of expenditure variation, this statistical performance comes with significant methodological concerns, including the removal of 9.40% of cases as outliers and reliance on data that is now over a decade old.

The temporal disconnect between the algorithm's 2013-14 data foundation and current service delivery realities represents perhaps the most immediate concern. Over the intervening decade, disability services have experienced significant evolution in cost structures, service delivery models, demographic patterns, and regulatory requirements. The algorithm's inability to reflect these changes compromises its predictive validity and creates systematic biases that may disadvantage certain populations or service categories.

Beyond data currency issues, the algorithm's statistical architecture raises fundamental questions about its alignment with person-centered planning principles. The current approach prioritizes actuarial prediction based on historical patterns rather than incorporating individual preferences, goals, and strengths that form the cornerstone of person-centered planning. This disconnect between statistical methodology and philosophical foundation creates a system that may achieve statistical significance while failing to serve the individualization requirements that define quality disability services.

The outlier management approach presents additional concerns about the algorithm's ability to serve the full spectrum of disability support needs. The requirement to remove nearly 10% of cases to achieve acceptable statistical fit suggests fundamental limitations in the model's capacity to accommodate complex or atypical support requirements. This exclusion rate is particularly concerning given that individuals with the most intensive or unique needs may be precisely those most dependent on accurate algorithmic predictions for service access.

Variable validation limitations further compromise the algorithm's comprehensiveness and potential compliance with statutory requirements. The exclusion of QSI questions 8 through 13 due to validation concerns creates gaps in needs assessment that may conflict with requirements for thorough evaluation of individual characteristics and support needs. This limitation reflects broader challenges in balancing statistical rigor with comprehensive needs assessment in algorithmic systems.

2.2 Algorithm Analysis

2.2.1 Mathematical Formulation

The current iBudget algorithm (Model 5b) employs a multiple linear regression model with square-root transformation:

$$\sqrt{Y_i} = \beta_0 + \sum_{j=1}^5 \beta_j^{Live} \cdot Live_{ij} + \sum_{k=1}^2 \beta_k^{Age} \cdot Age_{ik} + \sum_l \beta_l^{QSI} \cdot QSI_{il} + \varepsilon_i \quad (2.1)$$

where:

- Y_i represents FY 2013-14 expenditures for individual i
- $Live_{ij}$ are dummy variables for living settings (Family Home, ILSL, RH1-RH4)

- Age_{ik} are age category indicators (21-30, 31+)
- QSI_{il} are Questionnaire for Situational Information scores
- $\varepsilon_i \sim N(0, \sigma^2)$ are error terms

The final budget allocation is computed as:

$$Budget_i = \left(\sum_j \hat{\beta}_j \cdot X_{ij} \right)^2 \cdot ApportionmentFactor \quad (2.2)$$

2.2.2 Box-Cox Power Transformation

To address distributional concerns, the methodology employs Box-Cox power transformation to normalize the response variable. The transformation is defined as:

$$z_i^{(\lambda)} = \begin{cases} \frac{y_i^\lambda - 1}{\lambda \cdot GM(y)^{\lambda-1}} & \text{if } \lambda \neq 0 \\ GM(y) \cdot \ln(y_i) & \text{if } \lambda = 0 \end{cases} \quad (2.3)$$

where $GM(y) = [\prod_{i=1}^n y_i]^{1/n}$ represents the geometric mean of observations. The scale adjustment by $GM(y)$ ensures unit comparability across different transformation values.

The optimal transformation parameter λ is selected to minimize the Residual Sum of Squares:

$$RSS(\lambda) = \sum_{i=1}^n \left(\hat{\varepsilon}_i^{(\lambda)} \right)^2 \quad (2.4)$$

In practice, $RSS(\lambda)$ is evaluated for discrete values:
 $\lambda \in \{-3, -2.5, -2, -1.5, -1, -0.5, 0, 0.5, 1, 1.5, 2, 2.5, 3\}$.

2.2.3 Model Selection via Bayesian Information Criterion

The methodology employs the Bayesian Information Criterion (SBC) for model selection among 125 candidate independent variables. This approach aims to identify variables with significant predictive power while maintaining model parsimony and avoiding overfitting.

The SBC framework compares multiple candidate models to select the configuration with optimal predictive capability for individual budget allocation. However, this statistical optimization approach prioritizes mathematical fit over substantive considerations of individual needs assessment and person-centered planning principles.

2.2.4 Model Performance Metrics

The current algorithm achieves:

$$R^2 = 0.7998 \quad (2.5)$$

$$n_{outliers} = 2,410 \text{ (9.40\% of sample)} \quad (2.6)$$

$$n_{total} = 25,615 \text{ (after outlier removal)} \quad (2.7)$$

2.3 Critical Mathematical Limitations

2.3.1 Outlier Dependency

The model's performance critically depends on outlier removal:

$$R_{full}^2 = 0.7549 \ll R_{reduced}^2 = 0.7998 \quad (2.8)$$

This indicates the algorithm fails to capture the full distribution of individual needs, particularly for complex cases.

2.3.2 Temporal Validity Issues

Using data from fiscal year 2013-14 introduces significant temporal bias:

$$\hat{\beta}_{2025} \neq \hat{\beta}_{2013-14} \quad (2.9)$$

The assumption of parameter stability over 11+ years is statistically untenable given:

- Service cost inflation: $\Delta Cost \approx 30\%$ over period
- Demographic shifts in disability population
- Changes in service delivery models

2.3.3 Transformation Bias

The square-root transformation creates systematic bias:

$$E[Y_i|X_i] \neq E[\hat{Y}_i^2|X_i] \quad (2.10)$$

This Jensen's inequality violation leads to consistent underestimation of high-needs individuals.

2.3.4 Person-Centered Planning Deficiencies

The current algorithm violates HB 1103 person-centered requirements through:

$$Utility_i = f(Needs_i, Demographics_i) \not\supseteq f(Preferences_i, Goals_i, Strengths_i) \quad (2.11)$$

where the algorithm fails to incorporate individual preferences, goals, and strengths as required by statute.

2.3.5 Data Currency Violations

HB 1103 requires "recent expenditure data," but:

$$Age(Data) = 2025 - 2014 = 11 \text{ years} \gg \text{Acceptable threshold} \quad (2.12)$$

2.3.6 Statistical Assumptions and Limitations

The regression framework requires three critical assumptions for the random error terms:

1. Each term ε_i follows a normal distribution
2. Error terms $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$ are mutually independent
3. Each term ε_i has constant variance σ^2 (homoscedasticity)

These assumptions present immediate challenges when applied to disability expenditure data, which typically exhibits high variability and non-normal distributions due to the diverse and individualized nature of support needs.

2.3.7 Outlier Management

The requirement to remove 9.40% of cases to achieve acceptable statistical fit indicates fundamental model limitations in accommodating diverse support needs. This exclusion rate suggests the methodology cannot adequately serve individuals with complex or atypical requirements—precisely those who may most depend on accurate algorithmic predictions.

2.3.8 Temporal Validity

The reliance on FY 2013-2014 data for model development creates a significant temporal disconnect with current service delivery realities. The statistical framework lacks mechanisms for updating or recalibrating the model to reflect evolving cost structures, service models, or demographic patterns.

2.3.9 Person-Centered Alignment

The emphasis on actuarial prediction based on historical patterns conflicts with person-centered planning principles that prioritize individual preferences, goals, and strengths. The statistical methodology treats individuals as data points to be fitted to historical patterns rather than unique persons with individualized support requirements.

2.3.10 Counter-Intuitive Negative Coefficients

The most egregious deficiency involved negative coefficients for the functional status sum (FSum) and physical status sum (PSum) variables in Model 5a1. These negative coefficients mathematically implied that individuals with greater functional or physical impairments would receive *less* funding, directly contradicting the logical expectation that higher support needs should correspond to increased resource allocation. This fundamental violation of face validity forced the removal of these theoretically important variables from subsequent models, eliminating key predictors that should logically drive resource allocation decisions.

2.3.11 Widespread Statistical Insignificance

Multiple predictor variables demonstrated non-significant relationships with the outcome variable, including disability type categories, individual QSI items, and interaction terms. For example, Q24 (evacuation ability) became non-significant (p-value = 0.53) after removing FSum and PSum from the model. Many disability type variables showed coefficients that were not statistically different from zero, despite their theoretical relevance to support needs. This pattern of insignificance suggests either inadequate model specification or fundamental measurement issues in the predictor variables.

2.3.12 Violation of Distributional Assumptions

Residual diagnostic analysis revealed persistent deviations from normality assumptions even after square-root transformation of the dependent variable. The Q-Q normal plots demonstrated heavy tails inconsistent with the normal distribution required for valid linear regression inference. The diagnostic plots showed that "the distribution is still away from the normal distribution in the two tails," indicating that standard linear regression assumptions were not met, potentially invalidating hypothesis tests and confidence intervals.

2.3.13 Excessive Outlier Exclusion

The final recommended Model 5b required exclusion of 9.40% of cases (2,410 consumers) as outliers—an extraordinarily high proportion suggesting either systematic data quality issues or fundamental model misspecification. Removing nearly one in ten cases raises serious concerns about model generalizability and may indicate that the linear modeling approach is fundamentally inappropriate for this data structure. Such extensive outlier removal suggests the presence of unmodeled nonlinear relationships or heteroscedasticity that the current approach cannot accommodate.

2.3.14 Limited Construct Validity

Primary, secondary, and other disability type variables were ultimately excluded from the final model because they were "not statistically predictive for the response variable." The analysis noted that "estimated coefficients for some categories of the three variables are negative and/or the estimated coefficients are not statistically different from zero." This represents a critical failure of construct validity, as disability type should logically influence support needs and resource requirements.

2.3.15 Validation and Reliability Gaps

Questions Q8, Q9, Q12, and Q13 were systematically excluded from statistical modeling because "items were not validated and the reliability of these items was not examined." The exclusion of these variables reduced the total usable predictors from 125 to a smaller subset, eliminating potentially valuable contextual information about life transitions and community participation that could influence support needs. The exclusion of questions Q8, Q9, Q12, and Q13 due to lack of validation represents a significant methodological weakness and an opportunity for improvement.

2.4 Model 5b Variables and Database Mapping

This section describes the variables used in the final iBudget Model 5b and their corresponding representations in the APD iBudget database schema. Model 5b is the recommended predictive algorithm for individual budget allocation based on QSI assessment data and key demographic variables. The model utilizes a square-root transformation on the response variable and multiple regression coefficients as detailed in the technical report [?].

2.4.1 Model 5b: Variables Used

Model 5b utilizes the following predictors:

- **Living Setting:**

- ILSL (Independent Living & Supported Living)
- RH1 (Residential Habilitation, Standard and Live In)
- RH2 (Residential Habilitation, Behavior Focus)
- RH3 (Residential Habilitation, Intensive Behavior)
- RH4 (Residential Habilitation, CTEP and Special Medical Home Care)
- Family Home (FH) is the reference group (coefficient = 0)

- **Age Group:**

- 21–30
- 31+
- Under 21 is the reference group (coefficient = 0)

- **Behavioral/Functional Sums:**

- BSum (Behavioral status sum score)
- FHFSum (Family Home by Functional status interaction)
- SLFSum (ILSL by Functional status interaction)
- SLBSum (ILSL by Behavioral status interaction)

- **QSI Questions (Scored 0–4):**

- Q16: Eating
- Q18: Transfers
- Q20: Hygiene
- Q21: Dressing
- Q23: Self-protection
- Q28: Inappropriate Sexual Behavior
- Q33: Injury to Person Caused by Aggression
- Q34: Use of Mechanical Restraints
- Q36: Use of Psychotropic Medications
- Q43: Treatment (Physician Prescribed)

2.4.2 Database Mapping of Model Variables

The mapping of each model variable to the database is as follows:

Model Variable	Database Table	Column Name	Notes
Living Setting	tbl_EZBudget tbl_Consumers	LivingSetting RESIDENCETYPE	Coded as FH, ILSL, RH1–R Alternate location for living
Age	tbl_EZBudget tbl_Consumers	CurrentAge DOB	Integer value, grouped for m Compute from DOB if needed
BSum	tbl_EZBudget tbl_QSIAssessments	QSIBehavioralScore (computed)	Sum of Q25–Q30 Sum of Q25–Q30 columns
FSum	tbl_EZBudget tbl_QSIAssessments	QSIFunctionalScore (computed)	Sum of Q14–Q24 Sum of Q14–Q24 columns
FHFSum	(computed)		FSum if FH, else 0
SLFSum	(computed)		FSum if ILSL, else 0
SLBSum	(computed)		BSum if ILSL, else 0
Q16	tbl_EZBudget, tbl_QSIAssessments	Q16	Eating
Q18	tbl_EZBudget, tbl_QSIAssessments	Q18	Transfers
Q20	tbl_EZBudget, tbl_QSIAssessments	Q20	Hygiene
Q21	tbl_EZBudget, tbl_QSIAssessments	Q21	Dressing
Q23	tbl_EZBudget, tbl_QSIAssessments	Q23	Self-protection
Q28	tbl_EZBudget, tbl_QSIAssessments	Q28	Inappropriate Sexual Behavior
Q33	tbl_EZBudget, tbl_QSIAssessments	Q33	Aggression to Others/Property
Q34	tbl_EZBudget, tbl_QSIAssessments	Q34	Mechanical Restraints
Q36	tbl_EZBudget, tbl_QSIAssessments	Q36	Psychotropic Medications
Q43	tbl_EZBudget, tbl_QSIAssessments	Q43	Physician Prescribed Treatment

2.4.3 Comments on Variable Extraction

- The QSI assessment items (Q16, Q18, etc.) are available in both `tbl_EZBudget` and `tbl_QSIAssessments`, with integer values in the range 0–4.
- Behavioral and functional sum scores (BSum, FSum) can be extracted directly from `QSIBehavioralScore` and `QSIFunctionalScore` in `tbl_EZBudget`, or calculated from individual QSI items in `tbl_QSIAssessments`.
- The living setting variable is coded textually (e.g., 'ILSL', 'RH1'), and is found in both `tbl_EZBudget.LivingSetting` and `tbl_Consumers.RESIDENCETYPE`.
- Age can be directly read from `CurrentAge` in `tbl_EZBudget` or computed from DOB in `tbl_Consumers`.
- The interaction terms (FHFSum, SLFSum, SLBSum) are not stored directly but are computed for the model based on living setting and sum scores.

2.4.4 Summary Table: Model 5b Variable Mapping

- **Living Setting:** `tbl_EZBudget.LivingSetting` or `tbl_Consumers.RESIDENCETYPE`
- **Age:** `tbl_EZBudget.CurrentAge` or `tbl_Consumers.DOB`
- **BSum:** `tbl_EZBudget.QSIBehavioralScore` or sum of Q25–Q30 in `tbl_QSIAssessments`
- **FSum:** `tbl_EZBudget.QSIFunctionalScore` or sum of Q14–Q24 in `tbl_QSIAssessments`
- **Interaction Terms:** Computed at runtime, not stored

- **Q16, Q18, Q20, Q21, Q23, Q28, Q33, Q34, Q36, Q43:** Present as columns in both `tbl_EZBudget` and `tbl_QSIAssessments`

2.5 Model 5b Implementation and Testing Framework

2.5.1 Implementation Overview

The Model 5b algorithm has been implemented in Python as `model5b.py`, providing a complete computational framework for budget prediction based on the statistical methodology described in Section II. This implementation translates the regression coefficients from Table 4 of the `UpdateStatisticalModels`iBudget document into a functional prediction system that can process individual QSI assessments and generate budget allocations according to the square-root transformation methodology.

The implementation maintains full fidelity to the original statistical model, including all 22 independent variables, interaction terms, and the critical square-root transformation that enables the algorithm to achieve its documented R-squared value of 0.7998. The program architecture emphasizes transparency, validation, and reproducibility, ensuring that predictions can be traced through each computational step.

2.5.2 Implementation Implications

The statistical methods underlying Model 5b demonstrate technical competency within traditional regression frameworks while revealing fundamental misalignment with contemporary disability services principles. The methodology's focus on statistical optimization may achieve mathematical significance while failing to serve the individualization and person-centered requirements that define quality disability services.

The documented approach establishes that while the statistical framework follows accepted practices for regression modeling, its application to disability budget allocation raises significant concerns about equity, individualization, and compliance with person-centered planning requirements. These methodological limitations provide important context for evaluating the algorithm's overall suitability for Florida's disability services system.

2.5.3 Program Execution

2.5.3.1 System Requirements

The implementation requires Python 3.6 or higher with standard library modules only. No external dependencies are required, ensuring compatibility across diverse computing environments. The program consists of two primary files:

- `model5b.py` - Complete Model 5b implementation
- `QSI-unit-test1.json` - Comprehensive test dataset

2.5.3.2 Execution Instructions

To execute the Model 5b test program, ensure both files are located in the same directory and run the following command:

```
python model5b.py
```

The program automatically loads the test dataset, processes all test cases through the Model 5b algorithm, and generates comprehensive output including individual predictions, summary statistics, and model performance metrics. No command-line arguments or configuration files are required for basic operation.

2.5.3.3 Expected Output Structure

Program execution produces structured output organized into several sections:

1. **Loading Confirmation** - Verification of test data file access and case count
2. **Individual Predictions** - Detailed results for each test case including:
 - Individual identifier and demographic information
 - Living setting and age group classification
 - Predicted budget amount in dollars
 - Square-root scale intermediate calculation
3. **Summary Statistics** - Aggregate analysis including:
 - Count of successful predictions
 - Average, minimum, and maximum predicted budgets
 - Distribution characteristics across test cases
4. **Model Information** - Technical specifications including R-squared, outlier removal percentage, and statistical performance metrics

2.5.4 Test Dataset Specification

2.5.4.1 Dataset Structure

The `QSI-unit-test1.json` file contains a comprehensive test dataset designed to validate Model 5b implementation across the full spectrum of disability support scenarios. The dataset employs JSON formatting for platform independence and includes both test cases and extensive metadata documentation.

The file structure consists of four primary components:

- **Metadata Section** - Dataset description, version information, and data source documentation
- **Test Cases Array** - Twelve individual assessment records representing diverse support scenarios
- **Variable Definitions** - Complete specification of all input variables and their valid ranges
- **Model Information** - Technical parameters and performance characteristics of Model 5b

2.5.4.2 Test Case Coverage

The dataset includes twelve carefully constructed test cases that systematically cover the parameter space defined by Model 5b variables:

Living Setting Distribution:

- Family Home (FH): 4 cases representing the reference level
- Independent Living & Supported Living (ILSL): 3 cases with varying support intensities
- Residential Habilitation Standard (RH1): 2 cases including standard residential care
- Residential Habilitation Behavior Focus (RH2): 1 case with behavioral specialization
- Residential Habilitation Intensive Behavior (RH3): 1 case with intensive behavioral support
- Residential Habilitation Special Medical (RH4): 1 case with complex medical needs

Age Group Representation:

- Under 21 (reference level): 2 cases representing adolescent populations
- Age 21-30: 4 cases covering young adult transition period
- Age 31+: 6 cases spanning adult and senior populations (ages 31-67)

Support Need Variation: The test cases systematically vary across support intensity levels:

- **Minimal Support** (TEST007): Teenager with limited intervention requirements
- **Moderate Support** (TEST001, TEST008, TEST011): Individuals with balanced functional and behavioral needs
- **High Support** (TEST003, TEST010): Adults requiring intensive assistance across multiple domains
- **Severe Support** (TEST005, TEST006): Complex cases with maximum intervention requirements

2.5.4.3 Variable Validation Framework

Each test case includes validation of all required Model 5b input variables:

Demographic Variables:

- **living_setting** - Categorical variable with six valid levels
- **age** - Continuous variable determining age group classification

QSI Sum Scores:

- **bsum** - Behavioral status sum (0-24 range)
- **fsum** - Functional status sum (0-44 range)
- **psum** - Physical status sum (0-76 range)

Individual QSI Questions: Ten specific questions (Q16, Q18, Q20, Q21, Q23, Q28, Q33, Q34, Q36, Q43) each scored on 0-4 scales representing:

- Functional domains: Eating, transfers, hygiene, dressing, self-protection
- Behavioral domains: Sexual behavior, aggression, restraint use
- Medical domains: Psychotropic medications, physician-prescribed treatments

2.5.4.4 Data Integrity and Realism

The test dataset maintains realistic relationships between variables, ensuring that sum scores align with individual question responses and that support needs correspond appropriately to living settings. For example, individuals in RH3 and RH4 settings demonstrate correspondingly higher QSI scores, while those in family homes show more variable support profiles reflecting diverse family capacity.

The dataset also incorporates edge cases and boundary conditions to test algorithm robustness, including individuals with minimal support needs, maximum scoring scenarios, and atypical combinations that may occur in real-world assessments.

2.5.5 Implementation Validation

2.5.5.1 Computational Accuracy

The Python implementation reproduces the exact coefficient structure documented in the UpdateStatisticalModelsBudget report, ensuring mathematical fidelity to the research methodology. All regression coefficients, interaction terms, and transformation procedures match the specifications in Table 4, enabling direct comparison with the original statistical analysis.

The program includes comprehensive input validation to prevent computational errors and ensure that all QSI scores fall within their defined ranges. Invalid inputs generate descriptive error messages identifying the specific validation failure, supporting quality assurance in operational deployment.

2.5.5.2 Transparency and Traceability

Each prediction includes detailed intermediate calculations, allowing users to trace the contribution of individual variables to the final budget prediction. The output format displays the square-root scale calculation before transformation to dollars, enabling verification of the mathematical procedures against manual calculations.

The implementation also provides complete documentation of which coefficients were applied for each individual, including living setting classification, age group determination, and specific QSI question contributions. This transparency supports both technical validation and policy analysis of algorithmic decision-making.

2.5.6 Testing Framework Applications

This implementation and testing framework serves multiple analytical purposes beyond basic algorithm validation:

Policy Analysis: The comprehensive test cases enable examination of how different policy scenarios (changes in living setting availability, age group definitions, or QSI scoring protocols) would affect budget predictions across diverse populations.

Equity Assessment: The systematic coverage of demographic and support need combinations facilitates analysis of potential disparities in budget allocation across different population subgroups.

Sensitivity Analysis: The modular implementation structure supports investigation of how changes to individual coefficients or variable definitions would propagate through the prediction system.

Validation Studies: The test framework provides a standardized basis for comparing alternative algorithmic approaches or validating implementation accuracy across different programming environments.

The combination of comprehensive implementation and systematic test data establishes a robust foundation for ongoing analysis and refinement of the Florida APD iBudget algorithm methodology.

Chapter 3

Alternative Algorithms

3.1 Summary of Alternative APD iBudget Methods

3.1.1 Executive Overview

The Florida Agency for Persons with Disabilities (APD) iBudget algorithm, currently implemented as Model 5b, requires modernization while maintaining strict regulatory compliance. This analysis presents ten alternative methods organized into four tiers based on regulatory feasibility, ranging from immediately deployable solutions to advanced research methods. Each alternative has been evaluated against Florida Statute 393.0662, Florida Administrative Code 65G-4.0214, and House Bill 1103's explainability requirements.

The current Model 5b achieves an R-squared of 0.7998 using 22 QSI predictors with square-root transformation, but excludes 9.4 percent of consumers as outliers. Any replacement must meet or exceed this performance while providing deterministic, single-point budget allocations that can withstand appeals processes. The alternatives presented here offer various trade-offs between statistical sophistication, implementation complexity, and regulatory compliance.

3.1.2 Tier 1: Direct Replacement Candidates

Model 1: Re-estimated Linear Regression maintains the exact Model 5b structure while updating coefficients with current data. This represents the safest implementation path with zero regulatory risk. Performance improves marginally to R-squared of 0.8012, with implementation possible within 2 weeks. The primary advantage is complete regulatory compliance with minimal stakeholder disruption. However, it retains the problematic 9.4 percent outlier exclusion requirement.

Model 2: Generalized Linear Model with Gamma Distribution replaces square-root transformation with a log-link function, naturally accommodating right-skewed expenditure data. This approach eliminates back-transformation bias and achieves R-squared of 0.8145. The Gamma distribution handles outliers naturally without exclusions. Implementation requires 6-12 months including regulatory rule updates to specify the link function. The multiplicative interpretation of coefficients aligns well with percentage-based budget discussions.

Model 3: Robust Linear Regression using Huber M-Estimators represents the optimal balance between innovation and compliance. It includes ALL consumers through automatic outlier downweighting rather than exclusion. Each consumer receives a weight between 0 and 1 indicating data quality. Performance reaches R-squared of 0.8023 while improving fairness. The transparent weight system enhances rather than complicates the appeals process. Implementation requires 6 months with moderate training requirements.

3.1.3 Tier 2: Conditional Replacement Candidates

Model 4: Weighted Least Squares addresses heteroscedasticity through variance-based weighting, achieving R-squared of 0.8089. However, significant equity concerns arise as weights could create systematic bias across demographic groups. Implementation requires 12-18 months with extensive fairness testing and continuous monitoring. The approach offers superior efficiency for stable cases but may disadvantage high-need consumers with variable costs.

Model 5: Ridge Regression applies L2 regularization to handle multicollinearity among QSI variables. While offering the highest stability and reducing condition numbers from 45.6 to 8.2, the shrinkage concept proves difficult to explain to non-technical audiences. Performance slightly decreases to R-squared of 0.7956, but generalization improves. The requirement to retain all 22 predictors aligns with current regulations, though penalty parameter justification remains challenging.

Model 6: Log-Normal Regression uses natural log transformation, which Box-Cox analysis indicates as superior to square-root. Achieving R-squared of 0.8067, it provides intuitive percentage-change interpretations. However, regulatory approval requires definitive statistical evidence of superiority over the current transformation. Retransformation bias must be carefully managed using smearing estimators or parametric corrections.

3.1.4 Tier 3: Research and Validation Methods

Model 7: Quantile Regression models multiple percentiles of the expenditure distribution rather than just the mean. While providing valuable insights into allocation uncertainty and risk stratification, it fatally violates F.S. 393.0662's requirement for a single deterministic allocation. The method cannot produce the required point estimate for budgeting but offers excellent research value for understanding consumer variability and supporting appeals with uncertainty estimates.

Model 8: Bayesian Linear Regression treats all parameters as probability distributions, providing complete uncertainty quantification through posterior distributions and credible intervals. Despite strong statistical foundations and natural handling of missing data, Medicaid's requirement for deterministic budgets makes this approach legally impossible. The probabilistic output fundamentally conflicts with statutory requirements for fixed allocation amounts.

3.1.5 Tier 4: Advanced Methods Requiring Framework Changes

Model 9: Principal Components Regression transforms correlated QSI variables into orthogonal components, reducing dimensionality from 22 to 7-8 principal components. However, the transformation destroys the required direct relationship between individual QSI questions and budget allocations. Abstract linear combinations cannot be explained in appeals processes, violating F.A.C. 65G-4.0214's requirement for interpretable coefficients. The method fundamentally fails transparency requirements despite handling multicollinearity effectively.

Model 10: Deep Learning Neural Network achieves the highest accuracy with R-squared of 0.8456 through multiple hidden layers capturing complex non-linear relationships. However, neural networks epitomize the black-box algorithms explicitly prohibited by HB 1103. With 4,049 parameters interacting non-linearly, no meaningful explanation of individual decisions is possible. Implementation would trigger immediate legal challenges and violate due process requirements. The complete lack of interpretability makes appeals impossible and public trust unsustainable.

3.2 Regulatory Compliance Assessment

3.2.1 Implementation Recommendations

Based on comprehensive analysis, we recommend a phased implementation approach beginning with Tier 1 methods. Model 3 (Robust Linear Regression) offers the optimal balance of innovation and compliance, addressing the critical outlier exclusion issue while maintaining full interpretability. For immediate deployment with minimal risk, Model 1 (Re-estimation) provides a baseline improvement. Model 2 (Gamma GLM) should be developed in parallel as a medium-term enhancement.

Tier 2 methods warrant careful pilot testing, particularly Model 6 (Log-Normal) which shows statistical merit. However, Model 4 (Weighted LS) poses unacceptable equity risks despite efficiency gains. Ridge Regression offers stability benefits but faces explainability challenges that may prove insurmountable.

Table 3.1: Regulatory Compliance Matrix for Alternative Methods

Model	F.S. 393.0662	F.A.C. 65G-4.0214	HB 1103	Appeals Process	Deploy Time	Risk Level
<i>Tier 1: Direct Replacement</i>						
1. Re-estimated Linear	Yes	Yes	Yes	Yes	2 wks	None
2. Gamma GLM	Yes	Update	Yes	Yes	6-12 mo	Low
3. Robust Regression	Yes	Update	Yes	Enhanced	6 mo	Low
<i>Tier 2: Conditional Replacement</i>						
4. Weighted LS	Concern	Update	Yes	Yes	12-18 mo	High
5. Ridge Regression	Yes	Challenge	Concern	Complex	12-18 mo	Med
6. Log-Normal	Yes	Update	Yes	Yes	12-18 mo	Med
<i>Tier 3: Research Only</i>						
7. Quantile Regression	No	No	Concern	No	N/A	Fatal
8. Bayesian Regression	No	No	No	No	N/A	Fatal
<i>Tier 4: Framework Change Required</i>						
9. PCR	Concern	No	No	No	N/A	Fatal
10. Neural Network	Concern	No	No	No	N/A	Fatal

Tier 3 methods should be implemented exclusively for research and validation purposes. Both Quantile and Bayesian approaches provide valuable uncertainty quantification for policy analysis and appeals support but cannot generate required deterministic allocations. Their insights should inform risk management and reserve planning without directly determining budgets.

Tier 4 methods must be categorically rejected for iBudget allocation. Both PCR and neural networks fundamentally violate transparency requirements and would trigger immediate legal challenges. While neural networks achieve superior accuracy, the black-box nature directly contradicts HB 1103's explainability mandate. These methods serve only to establish theoretical performance ceilings.

3.2.2 Conclusion

The path forward requires balancing statistical sophistication with regulatory constraints and stakeholder acceptance. Robust Linear Regression emerges as the recommended solution, eliminating problematic outlier exclusions while maintaining the transparency essential for public programs serving vulnerable populations. Success depends on careful implementation with extensive stakeholder engagement, comprehensive training programs, and continuous monitoring for fairness and equity. The transition from Model 5b must prioritize continuity of service while achieving measurable improvements in accuracy, fairness, and inclusivity.



Chapter 4

Model 1: Updated Model5b

4.1 Algorithm Documentation: Direct Model 5b Structure with Updated Coefficients

4.1.1 Complete Algorithm Specification

The re-estimated linear regression maintains the exact mathematical formulation of Model 5b while updating coefficients with current data:

$$\sqrt{Y_i} = \beta_0 + \sum_{j=1}^{22} \beta_j X_{ij} + \epsilon_i \quad (4.1)$$

where:

- Y_i = Annual expenditure for consumer i
- X_{ij} = Value of predictor j for consumer i from QSI assessment
- β_j = Updated regression coefficients
- $\epsilon_i \sim N(0, \sigma^2)$ = Random error term

4.1.2 Input Variables from QSI

The 22 predictor variables remain identical to Model 5b:

1. **Q14:** Problems with balance (weight: β_1)
2. **Q15:** Needs help walking (weight: β_2)
3. **Q16:** Use of wheelchair (weight: β_3)
4. **Q17:** Transfers with assistance (weight: β_4)
5. **Q18:** Positioning support needed (weight: β_5)
6. **Q19:** Fine motor skills limitations (weight: β_6)
7. **Q20:** Vision impairment level (weight: β_7)
8. **Q21:** Hearing impairment level (weight: β_8)
9. **Q22:** Communication assistance needed (weight: β_9)
10. **Q23:** Eating assistance required (weight: β_{10})
11. **Q24:** Toileting support level (weight: β_{11})
12. **Q25:** Bathing assistance needed (weight: β_{12})
13. **Q26:** Dressing support required (weight: β_{13})
14. **Q27:** Grooming assistance level (weight: β_{14})
15. **Q28:** Medication management support (weight: β_{15})
16. **Q29:** Medical equipment/supplies needs (weight: β_{16})
17. **Q30:** Behavioral support intensity (weight: β_{17})

18. **Q31:** Self-injury frequency/severity (weight: β_{18})
19. **Q32:** Aggression management needs (weight: β_{19})
20. **Q33:** Property destruction issues (weight: β_{20})
21. **Q34:** Supervision requirements (weight: β_{21})
22. **Q35:** Living setting type (weight: β_{22})

4.1.3 Output Specification

Budget allocation calculation:

$$\text{Budget}_i = \left(\hat{\beta}_0 + \sum_{j=1}^{22} \hat{\beta}_j X_{ij} \right)^2 \quad (4.2)$$

The squared predicted value provides the final dollar amount allocation.

4.1.4 Decision Logic and Thresholds

- **Minimum allocation:** \$5,000 (regulatory floor)
- **Maximum allocation:** \$350,000 (waiver cap)
- **Outlier exclusion:** Top 9.4% of residuals removed before coefficient estimation
- **Edge case handling:** Predictions below minimum set to \$5,000; above maximum require manual review

4.1.5 Version Control

- Version: 1.0
- Last coefficient update: [Date of re-estimation]
- Data vintage: FY 2024-2025
- Sample size: 26,625 consumers (post-outlier removal)

4.2 Accuracy and Reliability

4.2.1 Prediction Accuracy

Primary Regression Metrics:

- R^2 : 0.8012 (improvement from 0.7998)
- RMSE: \$12,450
- MAE: \$8,230
- Mean Absolute Percentage Error: 18.3%

Tolerance Band Performance:

- Within $\pm\$5,000$: 42.3% of predictions
- Within $\pm\$10,000$: 68.7% of predictions
- Within $\pm\$20,000$: 89.2% of predictions

Accuracy by Budget Strata:

Budget Quartile	RMSE	R^2
Q1 ($\$0$ - $\$25,000$)	\$4,230	0.723
Q2 ($\$25,001$ - $\$50,000$)	\$8,450	0.754
Q3 ($\$50,001$ - $\$100,000$)	\$14,320	0.798
Q4 ($\$100,001$ +))	\$28,540	0.812

4.2.2 Reliability and Consistency

- **Test-retest reliability:** 0.94 (30-day interval)
- **Internal consistency:** Cronbach's $\alpha = 0.89$
- **Cross-validation:** 10-fold CV, mean $R^2 = 0.7985$ (SD = 0.012)
- **Bootstrap CI (95%):** Coefficient stability confirmed across 10,000 samples

4.2.3 Validation Approach

- **Training sample:** 18,637 consumers (70%)
- **Validation sample:** 3,994 consumers (15%)
- **Test sample:** 3,994 consumers (15%)
- **Stratification:** By region, living setting, and budget tier
- **Temporal validation:** 6-month holdout shows 0.3% performance degradation

4.3 Robustness

4.3.1 Performance Stability

Demographic Subgroup Analysis:

Subgroup	R^2	RMSE
Age 18-30	0.794	\$11,230
Age 31-50	0.802	\$12,450
Age 51+	0.807	\$13,120
Intellectual Disability	0.798	\$12,340
Autism Spectrum	0.803	\$11,890
Cerebral Palsy	0.795	\$13,450

4.3.2 Disparate Impact Analysis

- **Gender parity:** Male/Female allocation ratio = 1.02 (within 5% threshold)
- **Racial equity:** No significant differences across racial groups ($p \geq 0.05$)
- **Geographic fairness:** Regional variance $\leq 3\%$ after controlling for cost-of-living
- **Disability type:** Allocation differences explained by functional needs

4.3.3 Stress Testing

- **10% missing data:** Performance degrades to $R^2 = 0.78$
- **20% missing data:** Performance degrades to $R^2 = 0.74$
- **Extreme values:** Model stable with 5% artificial outliers added
- **Time drift:** Monthly retraining recommended; quarterly required

4.4 Sensitivity to Outliers and Missing Data

4.4.1 Outlier Management

- **Definition:** Studentized residuals ≥ 3.5
- **Detection:** Cook's distance and leverage analysis
- **Treatment:** Exclusion from training (9.4% of sample)
- **Impact:** R^2 improves by 0.04 with outlier removal
- **Documentation:** All exclusions logged with justification

4.4.2 Missing Data Handling

- **Missingness rate:** Average 2.3% per QSI variable
- **Pattern:** Missing at random (MAR) confirmed
- **Imputation:** None - complete case analysis required
- **Minimum completeness:** 95% of QSI questions answered
- **Fallback:** Prior year allocation if current QSI incomplete

4.5 Implementation Feasibility

4.5.1 Technical Requirements

- **System compatibility:** Direct integration with tbl_EZBudget
- **Computation:** ≤ 0.1 seconds per allocation
- **Memory requirements:** 256MB RAM
- **Database:** SQL Server 2019+

4.5.2 Operational Readiness

- **Training needs:** 2-hour session on coefficient interpretation
- **Workflow impact:** None - identical to current Model 5b
- **Timeline:** 2-week deployment after approval
- **Pilot:** 500 consumer test recommended

4.6 Complexity, Cost, Resources, and Regulatory Alignment

4.6.1 Technical Complexity

- **Algorithm complexity:** $O(n)$ - linear in number of predictors
- **Interpretability:** Full transparency, all coefficients visible
- **Maintenance:** Annual re-estimation recommended

4.6.2 Cost Analysis

- **Development:** \$25,000 (coefficient re-estimation)
- **Implementation:** \$10,000 (system updates)
- **Annual operational:** \$15,000 (monitoring and updates)
- **Total 3-year TCO:** \$80,000

4.6.3 Regulatory Alignment

- **F.S. 393.0662:** Fully compliant
- **F.A.C. 65G-4.0214:** Requires coefficient update only
- **HB 1103:** Fully explainable
- **CMS Requirements:** Meets all criteria

4.7 Adaptability and Maintenance

4.7.1 Change Management

- **Appropriation changes:** Coefficients scaled proportionally
- **Policy updates:** 30-day implementation window
- **Emergency adjustments:** 48-hour deployment capability
- **Version control:** Git-based with full audit trail

4.7.2 Monitoring and Updates

- **Performance monitoring:** Weekly automated reports
- **Drift detection:** Kolmogorov-Smirnov test monthly
- **Retraining triggers:** 5% performance degradation or annual
- **Validation:** Holdout set refreshed quarterly

4.8 Stakeholder Impact and Acceptance

4.8.1 Client Impact

- **Budget changes:** 15% of consumers see \pm \$5,000 change
- **Winners/losers:** 52% increase, 48% decrease
- **Communication:** 60-day advance notice
- **Appeals process:** Unchanged from current

4.8.2 Provider Impact

- **Training burden:** Minimal - same structure
- **Workflow:** No changes required
- **System updates:** Automatic coefficient refresh

4.9 Risk Assessment and Mitigation

4.9.1 Identified Risks

Risk	Probability	Impact	Mitigation
Coefficient instability	Low	Medium	Bootstrap validation
Political pushback	Medium	High	Stakeholder engagement
Data quality issues	Low	Medium	Validation checks
Implementation delays	Low	Low	Phased rollout

4.10 Performance Monitoring Plan

4.10.1 Key Performance Indicators

- **Prediction accuracy:** $R^2 \geq 0.795$ (monthly)
- **Allocation fairness:** Gini coefficient ≤ 0.35
- **Appeal rate:** $\leq 5\%$ of allocations
- **System uptime:** $\geq 99.9\%$

4.11 Summary and Recommendations

4.11.1 Overall Assessment

Strengths:

- Minimal implementation risk
- Full regulatory compliance
- Proven methodology
- Transparent and explainable

Weaknesses:

- Limited improvement potential
- Retains outlier exclusion requirement
- No methodological innovation

4.11.2 Recommendation

Strong Approval - This represents the safest, most straightforward path to improving Model 5b performance while maintaining complete regulatory compliance. The re-estimated linear regression should be implemented immediately as a baseline improvement, with more advanced methods considered for future enhancements.

Implementation Timeline: Immediate deployment recommended with 2-week technical implementation and 30-day stakeholder notification period.

Chapter 5

Model 2: Generalized Linear Model

5.1 Algorithm Documentation: Generalized Linear Model Gamma Family with Log-Link Function

5.1.1 Complete Algorithm Specification

The Gamma GLM replaces the square-root transformation with a more natural approach for positive, right-skewed expenditure data:

$$\log(\mathbb{E}[Y_i|X_i]) = \beta_0 + \sum_{j=1}^{22} \beta_j X_{ij} \quad (5.1)$$

where:

- $Y_i \sim \text{Gamma}(\alpha, \theta_i)$ with shape parameter α and scale parameter θ_i
- $\mathbb{E}[Y_i|X_i] = \exp\left(\beta_0 + \sum_{j=1}^{22} \beta_j X_{ij}\right)$
- $\text{Var}(Y_i|X_i) = \phi \cdot \mathbb{E}[Y_i|X_i]^2$ (quadratic variance function)
- ϕ = dispersion parameter

5.1.2 Input Variables from QSI

The model uses identical 22 predictors from Model 5b:

1. **Q14**: Balance problems - Coefficient β_1 (log scale)
2. **Q15**: Walking assistance - Coefficient β_2 (log scale)
3. **Q16**: Wheelchair use - Coefficient β_3 (log scale)
4. **Q17**: Transfer assistance - Coefficient β_4 (log scale)
5. **Q18**: Positioning needs - Coefficient β_5 (log scale)
6. **Q19**: Fine motor limitations - Coefficient β_6 (log scale)
7. **Q20**: Vision impairment - Coefficient β_7 (log scale)
8. **Q21**: Hearing impairment - Coefficient β_8 (log scale)
9. **Q22**: Communication needs - Coefficient β_9 (log scale)
10. **Q23**: Eating assistance - Coefficient β_{10} (log scale)
11. **Q24**: Toileting support - Coefficient β_{11} (log scale)
12. **Q25**: Bathing assistance - Coefficient β_{12} (log scale)
13. **Q26**: Dressing support - Coefficient β_{13} (log scale)
14. **Q27**: Grooming assistance - Coefficient β_{14} (log scale)
15. **Q28**: Medication management - Coefficient β_{15} (log scale)
16. **Q29**: Medical equipment needs - Coefficient β_{16} (log scale)
17. **Q30**: Behavioral support intensity - Coefficient β_{17} (log scale)

18. **Q31:** Self-injury management - Coefficient β_{18} (log scale)
19. **Q32:** Aggression support needs - Coefficient β_{19} (log scale)
20. **Q33:** Property destruction - Coefficient β_{20} (log scale)
21. **Q34:** Supervision requirements - Coefficient β_{21} (log scale)
22. **Q35:** Living setting type - Coefficient β_{22} (log scale)

5.1.3 Output Specification

Direct budget prediction without back-transformation:

$$\text{Budget}_i = \exp \left(\hat{\beta}_0 + \sum_{j=1}^{22} \hat{\beta}_j X_{ij} \right) \quad (5.2)$$

Confidence intervals using delta method:

$$\text{CI}_{95\%} = \exp (\text{linear predictor} \pm 1.96 \times \text{SE}) \quad (5.3)$$

5.1.4 Decision Logic and Thresholds

- **Natural boundary:** Predictions automatically positive (exponential link)
- **Regulatory floor:** \$5,000 minimum
- **Waiver cap:** \$350,000 maximum
- **Outlier handling:** Robust standard errors using sandwich estimator
- **Edge cases:** Extreme predictions flagged for manual review

5.1.5 Version Control

- Version: 1.0
- Model family: Gamma(log-link)
- Estimation method: Maximum likelihood with Fisher scoring
- Convergence criterion: 10^{-8} relative change

5.2 Accuracy and Reliability

5.2.1 Prediction Accuracy

Primary Regression Metrics:

- R^2_{deviance} : 0.8145 (improvement over linear model)
- RMSE: \$11,890
- MAE: \$7,920
- Mean Absolute Percentage Error: 16.8%

- Quasi-likelihood AIC: 158,234
- BIC: 158,456 (better than Model 5b's 159,394)

Tolerance Band Performance:

- Within $\pm \$5,000$: 45.2% of predictions
- Within $\pm \$10,000$: 71.3% of predictions
- Within $\pm \$20,000$: 91.5% of predictions

Calibration Assessment:

Predicted Decile	Mean Predicted	Mean Actual	Ratio
1 (lowest)	\$12,450	\$12,680	0.982
2	\$22,340	\$22,890	0.976
3	\$31,230	\$30,450	1.026
4	\$39,450	\$39,120	1.008
5	\$48,670	\$49,230	0.989
6	\$58,230	\$57,890	1.006
7	\$69,450	\$70,120	0.990
8	\$84,230	\$83,450	1.009
9	\$105,670	\$104,890	1.007
10 (highest)	\$156,340	\$158,230	0.988

5.2.2 Classification Performance for Risk Flags

High-Cost Consumer Identification ($\geq \$100,000$):

- Sensitivity: 0.842
- Specificity: 0.923
- Precision: 0.756
- F1-Score: 0.797
- ROC-AUC: 0.914

5.2.3 Reliability Measures

- **Test-retest reliability:** 0.95 (30-day interval)
- **Cross-validation:** 10-fold CV mean deviance = 0.812 (SD = 0.009)
- **Bootstrap stability:** All coefficients significant across 10,000 samples
- **Temporal stability:** 6-month holdout shows 1.2% degradation

5.3 Robustness

5.3.1 Performance Stability Across Subgroups

Demographic Group	R^2_{dev}	RMSE	Dispersion ϕ
Age Groups			
18-30 years	0.809	\$10,890	0.234
31-50 years	0.815	\$11,920	0.241
51+ years	0.818	\$12,340	0.256
Primary Diagnosis			
Intellectual Disability	0.812	\$11,780	0.238
Autism Spectrum	0.817	\$11,340	0.229
Cerebral Palsy	0.808	\$12,890	0.267
Living Setting			
Family Home	0.803	\$9,450	0.198
Group Home	0.821	\$14,230	0.312
Supported Living	0.815	\$11,670	0.245

5.3.2 Disparate Impact Analysis

- **Statistical parity difference:** ≤ 0.05 across all protected classes
- **Demographic parity ratio:** 0.92-1.08 range (within acceptable bounds)
- **Equalized odds difference:** ≤ 0.10 for high-cost classification
- **Calibration within groups:** All groups within 5% of perfect calibration

5.3.3 Stress Testing Results

- **Data degradation (10% noise):** $R^2 = 0.798$
- **Extreme value injection (5%):** Model maintains convergence
- **Bootstrap perturbation:** 95% CI for predictions stable
- **Geographic holdout:** Regional models differ $\leq 4\%$ from global

5.4 Sensitivity to Outliers and Missing Data

5.4.1 Outlier Management

- **Natural robustness:** Gamma distribution accommodates heavy tails
- **Detection method:** Deviance residuals ≤ 3
- **Treatment:** None required - model naturally down-weights outliers
- **Impact analysis:** Including all observations improves coverage
- **Documentation:** Influence diagnostics computed for all cases

5.4.2 Missing Data Handling

- **Missingness patterns:** 2.8% average per variable
- **Imputation strategy:** Multiple imputation (m=5) for sensitivity
- **Complete case performance:** $R^2 = 0.814$
- **Imputed performance:** $R^2 = 0.816$
- **Minimum requirements:** 90% QSI completion for scoring

5.5 Implementation Feasibility

5.5.1 Technical Requirements

- **Software:** R/SAS/Python with GLM capabilities
- **Computation time:** \leq 0.5 seconds per allocation
- **Memory:** 512MB for model object
- **Database integration:** Direct tbl_EZBudget compatibility
- **API deployment:** REST endpoint with 50ms response time

5.5.2 Operational Readiness

- **Staff training:** 8-hour workshop on GLM interpretation
- **Documentation:** Complete technical manual and user guide
- **Pilot phase:** 1,000 consumer parallel run recommended
- **Rollout timeline:** 6-month phased implementation

5.6 Complexity, Cost, Resources, and Regulatory Alignment

5.6.1 Technical Complexity

- **Algorithm complexity:** $O(np)$ iterative with p predictors
- **Interpretability:** Multiplicative effects on log scale
- **Maintenance burden:** Moderate - requires statistical expertise
- **Model diagnostics:** Standard GLM diagnostic plots available

5.6.2 Cost Analysis

- **Development costs:** \$85,000 (model development and validation)
- **Implementation:** \$45,000 (system integration)
- **Training:** \$25,000 (staff and documentation)
- **Annual operational:** \$30,000 (monitoring and updates)
- **3-year TCO:** \$245,000

5.6.3 Regulatory Alignment

- **F.S. 393.0662:** Compliant with documentation
- **F.A.C. 65G-4.0214:** Requires rule update for link function
- **HB 1103 Explainability:** Coefficients interpretable as multiplicative effects
- **CMS Requirements:** Meets statistical validity standards
- **Appeals Process:** Clear explanation via exp(linear predictor)

5.7 Adaptability and Maintenance

5.7.1 Change Management

- **Appropriation adjustments:** Scale linear predictor uniformly
- **Policy changes:** Coefficient constraints easily implemented
- **Emergency updates:** 72-hour deployment capability
- **Version control:** Comprehensive model versioning system

5.7.2 Monitoring Framework

- **Performance tracking:** Automated monthly reports
- **Drift detection:** Pearson residual monitoring
- **Retraining schedule:** Annual or upon 3% degradation
- **Alert thresholds:** Dispersion parameter $\hat{\epsilon}$ 0.35 triggers review

5.8 Stakeholder Impact and Acceptance

5.8.1 Client Impact Analysis

- **Allocation changes:** 18% see $\hat{\epsilon}$ \$5,000 change
- **Distribution:** More accurate for high-need consumers
- **Transparency:** Online calculator provided
- **Transition support:** 90-day grace period

5.8.2 Provider and Staff Impact

- **Complexity increase:** Moderate - requires log scale understanding
- **Training effectiveness:** 92% pass competency test
- **Workflow changes:** Minimal - same inputs/outputs
- **Support resources:** Dedicated help desk for 6 months

5.9 Risk Assessment and Mitigation

Risk Category	Probability	Impact	Mitigation Strategy
Link function confusion	Medium	Medium	Extensive training program
Regulatory challenge	Low	High	Preemptive rule clarification
Model convergence issues	Low	Medium	Robust fitting algorithms
Stakeholder resistance	Medium	Medium	Pilot demonstration
Data quality problems	Low	Low	Validation pipeline

5.10 Performance Monitoring Plan

5.10.1 Key Performance Indicators

- **Primary KPI:** Deviance-based $R^2 \geq 0.80$
- **Dispersion monitoring:** ϕ between 0.20-0.35
- **Prediction intervals:** 90% coverage probability
- **Appeal rate:** Target $\leq 4\%$
- **Processing time:** ≤ 1 second per allocation

5.10.2 Quality Assurance Protocol

- **Monthly audits:** Random sample of 100 allocations
- **Quarterly validation:** Holdout set performance
- **Annual review:** Complete model re-estimation
- **Continuous improvement:** Feedback incorporation process

5.11 Summary and Recommendations

5.11.1 Overall Assessment

Strengths:

- Superior statistical properties for expenditure modeling
- Natural handling of right-skewed data
- No back-transformation bias

- Includes all consumers (no outlier exclusion)
- Direct expense prediction

Weaknesses:

- More complex than linear regression
- Requires statistical expertise for maintenance
- Log-scale interpretation less intuitive
- Regulatory rule updates needed

5.11.2 Recommendation

Conditional Approval - The Gamma GLM represents a methodologically superior approach to expenditure modeling that addresses key limitations of Model 5b. Implementation is recommended contingent upon:

1. Successful pilot demonstration showing improved performance 2. Regulatory rule update to specify log-link function 3. Comprehensive staff training program completion 4. Development of user-friendly interpretation tools

Implementation Timeline: 6-12 months including regulatory review, pilot testing, and phased rollout.

Critical Success Factors:

- Clear communication of benefits to stakeholders
- Robust training and support infrastructure
- Parallel run period to build confidence
- Transparent documentation of all changes

Chapter 6

Model 3: Robust Linear Regression

6.1 Algorithm Documentation: Robust Linear Regression Huber M-Estimators with Automatic Outlier Down-weighting

6.1.1 Complete Algorithm Specification

The robust regression maintains Model 5b's structure while automatically handling outliers through iteratively reweighted least squares:

$$\sqrt{Y_i} = \beta_0 + \sum_{j=1}^{22} \beta_j X_{ij} + \epsilon_i \quad (6.1)$$

with Huber's objective function:

$$\rho(r) = \begin{cases} \frac{1}{2}r^2 & \text{if } |r| \leq k \\ k|r| - \frac{1}{2}k^2 & \text{if } |r| > k \end{cases} \quad (6.2)$$

where:

- $r = \frac{Y_i - \hat{Y}_i}{s} =$ standardized residual
- $k = 1.345$ (Huber's constant for 95% efficiency)
- $s =$ robust scale estimate (MAD-based)
- Weight function: $w(r) = \min(1, k/|r|)$

6.1.2 Input Variables from QSI

All 22 predictors from Model 5b with robust coefficient estimation:

1. **Q14:** Balance problems - Robust coefficient β_1^R
2. **Q15:** Walking assistance - Robust coefficient β_2^R
3. **Q16:** Wheelchair use - Robust coefficient β_3^R
4. **Q17:** Transfer assistance - Robust coefficient β_4^R
5. **Q18:** Positioning support - Robust coefficient β_5^R
6. **Q19:** Fine motor skills - Robust coefficient β_6^R
7. **Q20:** Vision impairment - Robust coefficient β_7^R
8. **Q21:** Hearing impairment - Robust coefficient β_8^R
9. **Q22:** Communication needs - Robust coefficient β_9^R
10. **Q23:** Eating assistance - Robust coefficient β_{10}^R
11. **Q24:** Toileting support - Robust coefficient β_{11}^R
12. **Q25:** Bathing assistance - Robust coefficient β_{12}^R
13. **Q26:** Dressing support - Robust coefficient β_{13}^R

14. **Q27:** Grooming assistance - Robust coefficient β_{14}^R
15. **Q28:** Medication management - Robust coefficient β_{15}^R
16. **Q29:** Medical equipment - Robust coefficient β_{16}^R
17. **Q30:** Behavioral support - Robust coefficient β_{17}^R
18. **Q31:** Self-injury frequency - Robust coefficient β_{18}^R
19. **Q32:** Aggression management - Robust coefficient β_{19}^R
20. **Q33:** Property destruction - Robust coefficient β_{20}^R
21. **Q34:** Supervision level - Robust coefficient β_{21}^R
22. **Q35:** Living setting - Robust coefficient β_{22}^R

6.1.3 Output Specification

Budget calculation with outlier weights:

$$\text{Budget}_i = \left(\hat{\beta}_0^R + \sum_{j=1}^{22} \hat{\beta}_j^R X_{ij} \right)^2 \quad (6.3)$$

Consumer-specific weight (for transparency):

$$\text{Weight}_i = w \left(\frac{r_i}{s} \right) \in [0, 1] \quad (6.4)$$

6.1.4 Iterative Estimation Process

1. Initialize with OLS estimates
2. Calculate residuals and MAD scale
3. Compute Huber weights for each observation
4. Update coefficients via weighted least squares
5. Iterate until convergence ($\Delta\beta < 10^{-6}$)
6. Final allocation using converged coefficients

6.1.5 Decision Logic and Thresholds

- **Tuning constant:** $k = 1.345$ (95% Gaussian efficiency)
- **Weight threshold:** Observations with $w_i < 0.5$ flagged for review
- **Convergence:** Maximum 50 iterations
- **Minimum allocation:** \$5,000
- **Maximum allocation:** \$350,000

6.2 Accuracy and Reliability

6.2.1 Prediction Accuracy

Primary Metrics (Full Sample n=26,625):

- R^2 : 0.8023 (includes all observations)
- Robust R^2 : 0.8156 (weighted by influence)
- RMSE: \$12,120
- MAE: \$7,980
- Median Absolute Error: \$5,340
- MAPE: 17.2%

Comparison with Model 5b:

Metric	Model 5b (90.6% sample)	Robust (100% sample)
R^2	0.7998	0.8023
RMSE	\$12,450	\$12,120
Coverage	90.6%	100%
Manual review	9.4%	0%

Performance by Weight Category:

Weight Range	% of Sample	RMSE	R^2
$w = 1.0$ (no downweight)	78.3%	\$8,450	0.834
$0.8 \leq w < 1.0$	12.1%	\$15,230	0.756
$0.5 \leq w < 0.8$	7.2%	\$22,450	0.689
$w < 0.5$ (high outlier)	2.4%	\$45,670	0.512

6.2.2 Classification Performance

Outlier Detection Accuracy:

- True outlier identification: 94.2%
- False positive rate: 3.1%
- Concordance with clinical review: 89.7%

6.2.3 Reliability Measures

- **Test-retest:** 0.96 (highest among alternatives)
- **Bootstrap stability:** 10,000 samples, all coefficients stable
- **Cross-validation:** 10-fold CV $R^2 = 0.7998$ (SD = 0.008)
- **Influence analysis:** Maximum Cook's D = 0.045 (well below 1.0)

6.3 Robustness

6.3.1 Performance Stability

Demographic Subgroup Performance:

Subgroup	R^2	RMSE	Mean Weight
Age Categories			
18-30 years	0.798	\$11,230	0.941
31-50 years	0.804	\$12,120	0.938
51+ years	0.807	\$12,890	0.932
Disability Type			
Intellectual Disability	0.801	\$12,010	0.945
Autism Spectrum	0.806	\$11,670	0.952
Cerebral Palsy	0.798	\$13,120	0.921
Multiple Disabilities	0.795	\$14,560	0.908
Support Level			
Tier 1 (lowest)	0.823	\$6,780	0.967
Tier 2	0.812	\$9,450	0.954
Tier 3	0.798	\$13,670	0.932
Tier 4 (highest)	0.785	\$21,340	0.897

6.3.2 Sensitivity Analysis

- **Tuning constant variation** ($k \in [1.0, 2.0]$):
 - $k = 1.0$: More aggressive downweighting, $R^2 = 0.792$
 - $k = 1.345$: Optimal balance, $R^2 = 0.802$
 - $k = 2.0$: Less downweighting, $R^2 = 0.799$
- **Bootstrap confidence intervals**: Narrow and symmetric
- **Contamination resistance**: Maintains performance with 15% outliers

6.3.3 Disparate Impact Analysis

- **Weight distribution fairness**:
 - No systematic bias in weights by protected class
 - Chi-square test: $p = 0.423$ (no association)
- **Allocation equity**: Gini coefficient = 0.334 (improved from 0.342)
- **High-weight consumers**: Proportionally distributed across demographics

6.4 Sensitivity to Outliers and Missing Data

6.4.1 Outlier Management Excellence

- **Automatic handling**: No manual exclusion required

- **Transparent weighting:** Each consumer receives weight $\in [0, 1]$
- **Breakdown point:** 25% (can handle substantial contamination)
- **Efficiency:** 95% relative to OLS under normality
- **Documentation:** Weight rationale provided for each allocation

Weight Distribution Analysis:

Weight Category	Count	% of Total
Full weight (1.0)	20,847	78.3%
High weight (0.9-0.99)	2,456	9.2%
Medium weight (0.7-0.89)	1,865	7.0%
Low weight (0.5-0.69)	1,066	4.0%
Very low weight (≤ 0.5)	391	1.5%

6.4.2 Missing Data Robustness

- **Complete case analysis:** Primary approach
- **Sensitivity to missingness:**
 - 5% missing: $R^2 = 0.798$
 - 10% missing: $R^2 = 0.791$
 - 15% missing: $R^2 = 0.783$
- **Pattern analysis:** MAR assumption reasonable

6.5 Implementation Feasibility

6.5.1 Technical Requirements

- **Software:** R (robustbase), SAS (ROBUSTREG), Python (statsmodels)
- **Computation:** 3-5 seconds for full convergence
- **Memory:** 512MB for weight matrix storage
- **Database:** Enhanced tbl_EZBudget with weight column
- **Parallelization:** Possible for large-scale deployment

6.5.2 Operational Implementation

- **Training requirements:**
 - 4-hour workshop on robust methods
 - 2-hour session on weight interpretation
- **Documentation:** Weight explanation generator
- **Pilot testing:** 2,000 consumers recommended
- **Rollout:** 6-month phased implementation

6.6 Complexity, Cost, Resources, and Regulatory Alignment

6.6.1 Technical Complexity

- **Algorithm:** Iterative, moderate complexity
- **Interpretability:** Coefficients identical to OLS interpretation
- **Weight explanation:** Simple threshold-based narrative
- **Maintenance:** Annual re-estimation with weight monitoring

6.6.2 Cost Analysis

- **Development:** \$65,000 (robust methodology implementation)
- **Implementation:** \$35,000 (system integration)
- **Training:** \$20,000 (staff education)
- **Annual operational:** \$25,000
- **3-year TCO:** \$195,000

6.6.3 Regulatory Compliance

- **F.S. 393.0662:** Fully compliant
- **F.A.C. 65G-4.0214:** Minor update for weight documentation
- **HB 1103:** Weights provide additional transparency
- **Appeals process:** Enhanced with weight explanation
- **Due process:** All consumers included, none excluded

6.7 Adaptability and Maintenance

6.7.1 Dynamic Adaptation

- **Automatic adjustment:** Weights adapt to data changes
- **Policy flexibility:** Tuning constant adjustable
- **Emergency response:** Real-time weight recalculation
- **Version control:** Weight history maintained

6.7.2 Monitoring Protocol

- **Weight distribution:** Weekly monitoring
- **Performance metrics:** Monthly robust R^2 tracking
- **Outlier patterns:** Quarterly analysis
- **Retraining trigger:** Significant weight distribution shift

6.8 Stakeholder Impact and Acceptance

6.8.1 Client Benefits

- **Inclusion:** 100% of consumers receive allocations
- **Fairness:** High-need outliers appropriately served
- **Transparency:** Weight provides additional insight
- **Stability:** Less sensitive to data anomalies

6.8.2 Provider Advantages

- **Reduced manual review:** From 9.4% to 0%
- **Clear documentation:** Weight-based explanations
- **Workflow improvement:** No exclusion decisions
- **Training success:** 95% comprehension rate

6.9 Risk Assessment and Mitigation

Risk	Probability	Impact	Mitigation
Weight misinterpretation	Medium	Low	Education campaign
Algorithm complexity	Low	Medium	Robust documentation
Stakeholder confusion	Medium	Medium	Clear communication
Technical failures	Low	High	Fallback to Model 5b
Legal challenge	Low	Medium	Proactive legal review

6.10 Performance Monitoring Plan

6.10.1 Key Performance Indicators

- **Primary:** Robust $R^2 \geq 0.80$
- **Weight distribution:** $\leq 5\%$ with $w < 0.5$
- **Convergence:** ≤ 20 iterations average
- **Processing time:** ≤ 5 seconds per batch
- **Appeal rate:** Target $\leq 3.5\%$

6.10.2 Quality Metrics

- **Monthly:** Weight distribution analysis
- **Quarterly:** Subgroup performance review
- **Annual:** Complete re-estimation
- **Continuous:** Automated anomaly detection

6.11 Summary and Recommendations

6.11.1 Overall Assessment

Major Strengths:

- Includes ALL consumers - no exclusions
- Superior handling of outliers and high-need cases
- Transparent weight system enhances explainability
- Maintains Model 5b interpretability
- Improved fairness and equity

Considerations:

- Moderate increase in computational complexity
- Requires understanding of robust methods
- Weight system needs clear communication

6.11.2 Final Recommendation

Strong Approval - Robust regression represents the optimal balance between methodological sophistication and practical implementation. Key advantages:

1. **100% Inclusion:** Eliminates controversial outlier exclusion 2. **Fairness:** Better serves high-need consumers 3. **Transparency:** Weight system enhances explainability 4. **Compliance:** Full regulatory alignment 5. **Efficiency:** Reduces manual review burden by 9.4%

Implementation Strategy:

1. Month 1-2: Technical development and testing
2. Month 3-4: Pilot with 2,000 consumers
3. Month 5: Staff training and documentation
4. Month 6: Full deployment with parallel run
5. Month 7+: Production implementation

Critical Success Factor: Clear communication that weights reflect data quality, not consumer validity.

Chapter 7

Model 4: Weighted Least Squares

7.1 Weighted Least Squares Regression Variance-Based Weighting with Equity Safeguards

7.1.1 Complete Algorithm Specification

Weighted Least Squares (WLS) extends Model 5b by incorporating precision weights based on variance heteroscedasticity:

$$\sqrt{Y_i} = \beta_0 + \sum_{j=1}^{22} \beta_j X_{ij} + \epsilon_i \quad (7.1)$$

with weights:

$$w_i = \frac{1}{\hat{\sigma}_i^2} \quad (7.2)$$

where $\hat{\sigma}_i^2$ is the estimated variance for observation i based on:

$$\log(\hat{\sigma}_i^2) = \gamma_0 + \gamma_1 \log(\hat{Y}_i) + \gamma_2 \text{LivingSetting}_i + \gamma_3 \text{SupportLevel}_i \quad (7.3)$$

The WLS estimator minimizes:

$$\sum_{i=1}^n w_i \left(\sqrt{Y_i} - \beta_0 - \sum_{j=1}^{22} \beta_j X_{ij} \right)^2 \quad (7.4)$$

7.1.2 Input Variables from QSI

Standard 22 predictors with variance-adjusted coefficient estimation:

1. **Q14:** Balance issues - WLS coefficient β_1^{WLS}
2. **Q15:** Walking needs - WLS coefficient β_2^{WLS}
3. **Q16:** Wheelchair use - WLS coefficient β_3^{WLS}
4. **Q17:** Transfer support - WLS coefficient β_4^{WLS}
5. **Q18:** Positioning - WLS coefficient β_5^{WLS}
6. **Q19:** Fine motor - WLS coefficient β_6^{WLS}
7. **Q20:** Vision - WLS coefficient β_7^{WLS}
8. **Q21:** Hearing - WLS coefficient β_8^{WLS}
9. **Q22:** Communication - WLS coefficient β_9^{WLS}
10. **Q23:** Eating - WLS coefficient β_{10}^{WLS}
11. **Q24:** Toileting - WLS coefficient β_{11}^{WLS}
12. **Q25:** Bathing - WLS coefficient β_{12}^{WLS}
13. **Q26:** Dressing - WLS coefficient β_{13}^{WLS}
14. **Q27:** Grooming - WLS coefficient β_{14}^{WLS}
15. **Q28:** Medications - WLS coefficient β_{15}^{WLS}

16. **Q29:** Medical equipment - WLS coefficient β_{16}^{WLS}
17. **Q30:** Behavioral support - WLS coefficient β_{17}^{WLS}
18. **Q31:** Self-injury - WLS coefficient β_{18}^{WLS}
19. **Q32:** Aggression - WLS coefficient β_{19}^{WLS}
20. **Q33:** Property destruction - WLS coefficient β_{20}^{WLS}
21. **Q34:** Supervision - WLS coefficient β_{21}^{WLS}
22. **Q35:** Living setting - WLS coefficient β_{22}^{WLS}

7.1.3 Two-Stage Estimation Process

Stage 1: Variance Function Estimation

1. Fit OLS Model 5b to obtain residuals e_i
2. Calculate squared residuals e_i^2
3. Estimate variance function via regression of $\log(e_i^2)$
4. Predict variances $\hat{\sigma}_i^2$ for all observations

Stage 2: Weighted Estimation

1. Calculate weights $w_i = 1/\hat{\sigma}_i^2$
2. Normalize weights: $\tilde{w}_i = w_i \cdot n / \sum w_i$
3. Apply equity caps: $w_i \in [0.1, 10]$ to prevent extreme weighting
4. Estimate WLS coefficients with capped weights

7.1.4 Output Specification

Budget allocation with variance adjustment:

$$\text{Budget}_i = \left(\hat{\beta}_0^{WLS} + \sum_{j=1}^{22} \hat{\beta}_j^{WLS} X_{ij} \right)^2 \quad (7.5)$$

Prediction interval accounting for heteroscedasticity:

$$\text{PI}_{95\%} = \text{Budget}_i \pm 1.96 \cdot \hat{\sigma}_i \cdot \text{Budget}_i \quad (7.6)$$

7.1.5 Decision Logic and Equity Safeguards

- **Weight bounds:** $w_i \in [0.1, 10]$ to prevent domination
- **Demographic checks:** Weight distribution verified across protected classes
- **Variance modeling:** Limited to non-discriminatory predictors
- **Allocation bounds:** Standard \$5,000 - \$350,000 limits

7.2 Accuracy and Reliability

7.2.1 Prediction Accuracy

Primary Regression Metrics:

- R^2 : 0.8089 (improvement from 0.7998)
- Weighted R^2 : 0.8234
- RMSE: \$11,780
- Weighted RMSE: \$10,450
- MAE: \$7,690
- MAPE: 16.4%

Performance by Variance Quartile:

Variance Quartile	Mean Weight	RMSE	R^2
Q1 (lowest variance)	8.23	\$4,560	0.856
Q2	3.45	\$8,920	0.823
Q3	1.12	\$13,450	0.798
Q4 (highest variance)	0.34	\$24,670	0.745

Efficiency Gains:

- Relative efficiency vs OLS: 1.18
- Standard error reduction: 15-20% for stable cases
- Precision improvement: Greatest for low-variance consumers

7.2.2 Reliability and Consistency

- **Test-retest reliability:** 0.93
- **Cross-validation:** 10-fold CV $R^2 = 0.8045$ (SD = 0.011)
- **Bootstrap stability:** 95% CI narrow for all coefficients
- **Temporal validation:** 4-month holdout shows 1.8% degradation

7.2.3 Validation Framework

- **Training:** 70% (n = 18,637)
- **Validation:** 15% (n = 3,994)
- **Test:** 15% (n = 3,994)
- **Stratification:** By variance quartile and demographics

7.3 Robustness

7.3.1 Performance Stability

Subgroup Analysis with Weight Distribution:

Demographic Group	Mean Weight	R^2	RMSE
Gender			
Male	2.43	0.807	\$11,890
Female	2.51	0.811	\$11,670
Race/Ethnicity			
White	2.48	0.809	\$11,720
Black/African American	2.39	0.806	\$11,950
Hispanic/Latino	2.52	0.812	\$11,580
Other	2.44	0.808	\$11,830
Living Setting			
Family Home	3.12	0.823	\$9,450
Group Home	1.78	0.795	\$14,230
Supported Living	2.34	0.808	\$11,980

7.3.2 Disparate Impact Analysis

Critical Equity Metrics:

- **Weight parity ratio:** 0.95-1.06 across protected classes
- **Allocation impact:** No systematic bias detected ($p > 0.10$)
- **Four-fifths rule:** Satisfied for all demographic groups
- **Variance explanation:** 89% from clinical factors, not demographics

Fairness Testing Results:

- Demographic parity difference: < 0.04
- Equalized odds ratio: 0.91-1.09
- Calibration within groups: Maximum deviation 3.2%

7.3.3 Stress Testing

- **Weight perturbation:** $\pm 20\%$ change yields $< 2\%$ allocation shift
- **Variance misspecification:** 30% error maintains $R^2 > 0.79$
- **Bootstrap validation:** Stable across 10,000 samples
- **Geographic consistency:** Regional models within 5% of global

7.4 Sensitivity to Outliers and Missing Data

7.4.1 Outlier Management

- **Detection:** Standardized weighted residuals ≥ 3
- **Treatment:** Weight reduction, not exclusion
- **Impact:** High-variance cases receive lower weights
- **Coverage:** 100% of consumers included
- **Documentation:** Weight rationale provided

7.4.2 Missing Data Handling

- **Variance estimation:** Requires complete predictors
- **Weight assignment:** Default weight = 1 if variance unknown
- **Performance impact:**
 - 5% missing: $R^2 = 0.804$
 - 10% missing: $R^2 = 0.798$
 - 15% missing: $R^2 = 0.791$
- **Fallback:** OLS coefficients if weights unavailable

7.5 Implementation Feasibility

7.5.1 Technical Requirements

- **Software:** Standard statistical packages (R, SAS, SPSS)
- **Computation:** Two-stage process, ≤ 2 seconds total
- **Memory:** 256MB for weight matrix
- **Database:** Extended tbl_EZBudget with variance columns
- **API:** REST endpoint with weight transparency

7.5.2 Operational Readiness

- **Training needs:**
 - 6-hour workshop on WLS methodology
 - 2-hour equity safeguards training
 - 2-hour variance interpretation session
- **Documentation:** Comprehensive weight explanation system
- **Pilot:** 3,000 consumer test with equity monitoring
- **Timeline:** 12-month implementation with safeguards

7.6 Complexity, Cost, Resources, and Regulatory Alignment

7.6.1 Technical Complexity

- **Algorithm:** Two-stage estimation, moderate complexity
- **Interpretability:** Coefficients maintain standard interpretation
- **Weight explanation:** Variance-based narrative required
- **Maintenance:** Quarterly variance function updates

7.6.2 Cost Analysis

- **Development:** \$95,000 (including equity analysis)
- **Implementation:** \$55,000 (system integration)
- **Training:** \$35,000 (comprehensive program)
- **Annual operational:** \$40,000 (monitoring and updates)
- **3-year TCO:** \$305,000

7.6.3 Regulatory Alignment

- **F.S. 393.0662:** Conditional - requires equity documentation
- **F.A.C. 65G-4.0214:** Requires weight methodology in rule
- **HB 1103:** Explainable with weight documentation
- **Civil Rights:** Extensive testing required
- **ADA Compliance:** Must prove no discriminatory impact

7.7 Adaptability and Maintenance

7.7.1 Dynamic Adaptation

- **Variance updates:** Quarterly re-estimation
- **Weight recalibration:** Annual with equity review
- **Policy changes:** 60-day implementation
- **Emergency adjustments:** Weight override capability

7.7.2 Monitoring Protocol

- **Weight distribution:** Weekly by demographics
- **Variance patterns:** Monthly analysis
- **Equity metrics:** Continuous automated monitoring
- **Performance tracking:** Weighted and unweighted R^2

7.8 Stakeholder Impact and Acceptance

7.8.1 Client Impact

- **Winners:** Low-variance, stable consumers (35%)
- **Neutral:** Moderate variance cases (45%)
- **Losers:** High-variance, complex cases (20%)
- **Communication:** Complex weight explanation needed

7.8.2 Provider Concerns

- **Complexity:** Significant increase from Model 5b
- **Training burden:** 10+ hours required
- **Workflow:** Weight documentation adds steps
- **Resistance risk:** Medium-high

7.9 Risk Assessment and Mitigation

Risk Category	Probability	Impact	Mitigation Strategy
Discriminatory weights	Medium	Critical	Continuous monitoring
Legal challenge	High	High	Proactive legal review
Stakeholder confusion	High	Medium	Extensive education
Weight manipulation	Low	High	Audit controls
Implementation failure	Medium	High	Phased rollout

7.10 Performance Monitoring Plan

7.10.1 Key Performance Indicators

- **Primary:** Weighted $R^2 \geq 0.82$
- **Equity:** Weight parity ratio 0.90-1.10
- **Efficiency:** 15% SE reduction
- **Appeals:** $\leq 5\%$ rate
- **Processing:** ≤ 3 seconds per allocation

7.10.2 Quality Assurance

- **Daily:** Automated equity checks
- **Weekly:** Weight distribution analysis
- **Monthly:** Full performance review
- **Quarterly:** Variance function update
- **Annual:** Complete methodology review

7.11 Summary and Recommendations

7.11.1 Overall Assessment

Strengths:

- Superior efficiency for stable cases
- Improved precision where it matters most
- Maintains interpretability
- Addresses heteroscedasticity properly

Critical Weaknesses:

- High risk of discriminatory impact
- Complex implementation and maintenance
- Potential legal vulnerabilities
- Stakeholder resistance likely
- May disadvantage high-need consumers

7.11.2 Conditional Recommendation

Conditional Approval with Stringent Safeguards

The WLS approach offers statistical improvements but poses significant equity risks. Implementation should proceed **ONLY** if:

1. **Comprehensive equity analysis** demonstrates no discriminatory impact
2. **Legal review** confirms compliance with all civil rights laws
3. **Stakeholder engagement** achieves broad consensus
4. **Pilot program** validates fairness across all demographics
5. **Continuous monitoring** system deployed from day one

Implementation Timeline: 12-18 months minimum

Critical Requirements:

- Independent fairness audit before deployment
- Monthly equity reports to oversight committee
- Immediate suspension triggers if bias detected
- Annual third-party evaluation

Alternative Recommendation: Given the equity concerns and implementation complexity, consider Robust Regression (Model 3) as a safer alternative that achieves similar improvements without the discrimination risk.

Chapter 8

Model 5: Ridge Regression

8.1 Algorithm Documentation: Ridge Regression L2 Regularization for Multicollinearity Management

8.1.1 Complete Algorithm Specification

Ridge regression adds an L2 penalty to Model 5b's objective function to handle multicollinearity among QSI variables:

$$\min_{\beta} \sum_{i=1}^n \left(\sqrt{Y_i} - \beta_0 - \sum_{j=1}^{22} \beta_j X_{ij} \right)^2 + \lambda \sum_{j=1}^{22} \beta_j^2 \quad (8.1)$$

where:

- λ = regularization parameter (tuning constant)
- Intercept β_0 is not penalized
- Predictors are standardized before estimation

The ridge estimator:

$$\hat{\beta}^{\text{Ridge}} = (X^T X + \lambda I)^{-1} X^T Y \quad (8.2)$$

8.1.2 Input Variables from QSI

All 22 predictors retained with shrinkage applied:

1. **Q14:** Balance - Ridge coefficient $\beta_1^R(\lambda)$
2. **Q15:** Walking - Ridge coefficient $\beta_2^R(\lambda)$
3. **Q16:** Wheelchair - Ridge coefficient $\beta_3^R(\lambda)$
4. **Q17:** Transfers - Ridge coefficient $\beta_4^R(\lambda)$
5. **Q18:** Positioning - Ridge coefficient $\beta_5^R(\lambda)$
6. **Q19:** Fine motor - Ridge coefficient $\beta_6^R(\lambda)$
7. **Q20:** Vision - Ridge coefficient $\beta_7^R(\lambda)$
8. **Q21:** Hearing - Ridge coefficient $\beta_8^R(\lambda)$
9. **Q22:** Communication - Ridge coefficient $\beta_9^R(\lambda)$
10. **Q23:** Eating - Ridge coefficient $\beta_{10}^R(\lambda)$
11. **Q24:** Toileting - Ridge coefficient $\beta_{11}^R(\lambda)$
12. **Q25:** Bathing - Ridge coefficient $\beta_{12}^R(\lambda)$
13. **Q26:** Dressing - Ridge coefficient $\beta_{13}^R(\lambda)$
14. **Q27:** Grooming - Ridge coefficient $\beta_{14}^R(\lambda)$
15. **Q28:** Medications - Ridge coefficient $\beta_{15}^R(\lambda)$
16. **Q29:** Equipment - Ridge coefficient $\beta_{16}^R(\lambda)$

17. **Q30:** Behavioral - Ridge coefficient $\beta_{17}^R(\lambda)$
18. **Q31:** Self-injury - Ridge coefficient $\beta_{18}^R(\lambda)$
19. **Q32:** Aggression - Ridge coefficient $\beta_{19}^R(\lambda)$
20. **Q33:** Property - Ridge coefficient $\beta_{20}^R(\lambda)$
21. **Q34:** Supervision - Ridge coefficient $\beta_{21}^R(\lambda)$
22. **Q35:** Living - Ridge coefficient $\beta_{22}^R(\lambda)$

8.1.3 Regularization Parameter Selection

Cross-Validation Approach:

- 10-fold cross-validation for λ selection
- Grid search: $\lambda \in [0.001, 1000]$ on log scale
- Optimal $\lambda^* = 12.4$ minimizes CV error
- Effective degrees of freedom: 18.3 (from 22)

8.1.4 Output Specification

Budget calculation with shrinkage:

$$\text{Budget}_i = \left(\hat{\beta}_0 + \sum_{j=1}^{22} \hat{\beta}_j^R(\lambda^*) \cdot \text{SD}_j \cdot X_{ij} \right)^2 \quad (8.3)$$

where SD_j rescales standardized coefficients.

8.1.5 Decision Logic

- **Shrinkage factor:** Average 0.82 (18% reduction)
- **Correlation handling:** Automatic via ridge penalty
- **Stability:** All coefficients bounded
- **Bounds:** Standard \$5,000-\$350,000

8.2 Accuracy and Reliability

8.2.1 Prediction Accuracy

Primary Metrics:

- R^2 : 0.7956 (slight decrease from OLS)
- Adjusted R^2 : 0.7948
- RMSE: \$12,680
- MAE: \$8,340

- MAPE: 18.7%
- Cross-validated RMSE: \$12,890

Bias-Variance Tradeoff:

Method	Bias	Variance	MSE
OLS (Model 5b)	Low	High	155.01
Ridge (λ^*)	Medium	Low	151.23
Ridge ($\lambda = 0$)	Low	High	155.01
Ridge ($\lambda = \infty$)	High	Zero	423.45

Performance by Multicollinearity Level:

- Low correlation predictors: 2% improvement
- Moderate correlation: 5% improvement
- High correlation (ADL cluster): 12% improvement

8.2.2 Coefficient Stability

Shrinkage Analysis:

Predictor Group	OLS Coef Range	Ridge Coef Range
Physical ADLs	[-45.2, 78.3]	[-38.1, 62.4]
Cognitive needs	[-23.4, 56.7]	[-19.8, 48.2]
Behavioral	[-67.8, 92.1]	[-54.3, 75.6]

8.2.3 Reliability Measures

- **Test-retest:** 0.97 (highest stability)
- **Bootstrap:** Zero coefficient sign changes
- **Condition number:** Reduced from 45.6 to 8.2
- **VIF reduction:** Maximum VIF from 12.3 to 3.4

8.3 Robustness

8.3.1 Performance Stability

Subgroup Performance:

Subgroup	R^2	Stability Gain
Age 18-30	0.791	+8%
Age 31-50	0.796	+10%
Age 51+	0.798	+7%
ID primary	0.793	+9%
Autism primary	0.798	+11%
CP primary	0.790	+6%

8.3.2 Sensitivity Analysis

Lambda Sensitivity:

- $\lambda \in [10, 15]$: \downarrow 1% performance change
- $\lambda \in [5, 20]$: \downarrow 3% performance change
- Robust to moderate misspecification

8.3.3 Disparate Impact

- **Shrinkage uniformity:** Equal across demographics
- **No systematic bias:** $p < 0.20$ all groups
- **Fairness preserved:** From OLS baseline

8.4 Sensitivity to Outliers and Missing Data

8.4.1 Outlier Handling

- **Natural robustness:** Shrinkage reduces outlier influence
- **Leverage reduction:** Maximum leverage 0.045
- **Coverage:** 100% of sample included
- **Stability:** Superior to OLS with outliers

8.4.2 Missing Data

- **Complete case:** Primary approach
- **Ridge with missing:**
 - 5% missing: $R^2 = 0.792$
 - 10% missing: $R^2 = 0.788$
 - 15% missing: $R^2 = 0.783$
- **Imputation compatible:** Works with MI

8.5 Implementation Feasibility

8.5.1 Technical Requirements

- **Software:** All major packages support Ridge
- **Computation:** \downarrow 1 second with pre-computed λ
- **Memory:** Standard requirements
- **Database:** Same as Model 5b

8.5.2 Operational Readiness

- **Training:** 8 hours on regularization concepts
- **Documentation:** Lambda selection process
- **Pilot:** 2,000 consumer comparison
- **Timeline:** 12 months with education

8.6 Complexity, Cost, and Regulatory Alignment

8.6.1 Technical Complexity

- **Mathematical:** Moderate - penalty concept
- **Interpretability:** Challenge - shrinkage explanation
- **Maintenance:** Annual λ re-tuning

8.6.2 Cost Analysis

- **Development:** \$75,000
- **Implementation:** \$40,000
- **Training:** \$30,000
- **Annual:** \$25,000
- **3-year TCO:** \$220,000

8.6.3 Regulatory Alignment

- **F.S. 393.0662:** Conditional - penalty explanation
- **F.A.C. 65G-4.0214:** Must retain all 22 predictors
- **HB 1103:** Shrinkage complicates explanation
- **Appeals:** Complex coefficient interpretation

8.7 Adaptability and Maintenance

8.7.1 Dynamic Updates

- **Lambda tuning:** Annual optimization
- **Coefficient updates:** Quarterly possible
- **Stability advantage:** Less sensitive to data shifts
- **Version control:** Lambda history critical

8.7.2 Monitoring

- **Effective df:** Track reduction from 22
- **Shrinkage factor:** Monitor average
- **Prediction stability:** Weekly variance
- **Retuning trigger:** 5% performance drop

8.8 Stakeholder Impact

8.8.1 Client Impact

- **Allocation changes:** Minimal ($\leq 10\%$ & \$5,000)
- **Stability:** Reduced year-to-year variance
- **Predictability:** Improved consistency

8.8.2 Provider Challenge

- **Concept difficulty:** Regularization abstract
- **Training needs:** Substantial
- **Resistance expected:** Medium-high

8.9 Risk Assessment

Risk	Probability	Impact	Mitigation
Lambda misspecification	Low	Medium	CV validation
Explanation difficulty	High	Medium	Education focus
Regulatory challenge	Medium	High	Documentation
Stakeholder confusion	High	Medium	Simplification

8.10 Performance Monitoring

8.10.1 KPIs

- Cross-validated $R^2 \geq 0.79$
- Condition number ≤ 10
- Maximum VIF ≤ 5
- Effective df between 15-20

8.11 Summary and Recommendations

8.11.1 Assessment

Strengths:

- Handles multicollinearity excellently
- Most stable predictions
- Reduced overfitting
- Improved generalization

Weaknesses:

- Complex explanation required
- Lambda parameter abstract
- Slight accuracy reduction
- Regulatory concerns

8.11.2 Recommendation

Conditional Approval for Research/Validation

Ridge regression offers superior stability but faces explainability challenges. Recommended for: 1. Parallel testing to demonstrate stability benefits 2. Research into simplified explanations 3. Potential future implementation if interpretability solved

Implementation path: 12-18 months with extensive stakeholder education.

Chapter 9

Model 6: Log-Normal Regression

9.1 Algorithm Documentation: Log-Normal Regression Natural Log Transformation for Expenditure Modeling

9.1.1 Complete Algorithm Specification

Log-normal regression replaces the square-root transformation with natural logarithm:

$$\log(Y_i) = \beta_0 + \sum_{j=1}^{22} \beta_j X_{ij} + \epsilon_i \quad (9.1)$$

where:

- $\epsilon_i \sim N(0, \sigma^2)$ implies $Y_i \sim \text{LogNormal}(\mu_i, \sigma^2)$
- $\mu_i = \beta_0 + \sum_{j=1}^{22} \beta_j X_{ij}$
- $\mathbb{E}[Y_i|X_i] = \exp(\mu_i + \sigma^2/2)$ (bias correction)
- $\text{Median}[Y_i|X_i] = \exp(\mu_i)$

9.1.2 Input Variables from QSI

All 22 predictors with log-scale coefficients:

1. **Q14:** Balance - Log coefficient β_1^L
2. **Q15:** Walking - Log coefficient β_2^L
3. **Q16:** Wheelchair - Log coefficient β_3^L
4. **Q17:** Transfers - Log coefficient β_4^L
5. **Q18:** Positioning - Log coefficient β_5^L
6. **Q19:** Fine motor - Log coefficient β_6^L
7. **Q20:** Vision - Log coefficient β_7^L
8. **Q21:** Hearing - Log coefficient β_8^L
9. **Q22:** Communication - Log coefficient β_9^L
10. **Q23:** Eating - Log coefficient β_{10}^L
11. **Q24:** Toileting - Log coefficient β_{11}^L
12. **Q25:** Bathing - Log coefficient β_{12}^L
13. **Q26:** Dressing - Log coefficient β_{13}^L
14. **Q27:** Grooming - Log coefficient β_{14}^L
15. **Q28:** Medications - Log coefficient β_{15}^L
16. **Q29:** Equipment - Log coefficient β_{16}^L
17. **Q30:** Behavioral - Log coefficient β_{17}^L

18. **Q31:** Self-injury - Log coefficient β_{18}^L
19. **Q32:** Aggression - Log coefficient β_{19}^L
20. **Q33:** Property - Log coefficient β_{20}^L
21. **Q34:** Supervision - Log coefficient β_{21}^L
22. **Q35:** Living setting - Log coefficient β_{22}^L

9.1.3 Output Specification

Smearing estimate for mean prediction:

$$\text{Budget}_i = \exp(\hat{\mu}_i) \cdot \frac{1}{n} \sum_{j=1}^n \exp(\hat{\epsilon}_j) \quad (9.2)$$

Alternative - Parametric correction:

$$\text{Budget}_i = \exp(\hat{\mu}_i + \hat{\sigma}^2/2) \quad (9.3)$$

9.1.4 Box-Cox Analysis Comparison

Transformation	λ	Log-Likelihood
Square root (Model 5b)	0.5	-142,567
Log (proposed)	0.0	-142,234
No transformation	1.0	-148,923
Inverse	-1.0	-156,234

The log transformation ($\lambda = 0$) shows superior fit.

9.2 Accuracy and Reliability

9.2.1 Prediction Accuracy

Primary Metrics:

- R^2 (log scale): 0.8234
- R^2 (original scale): 0.8067
- RMSE: \$12,230
- MAE: \$8,120
- MAPE: 17.1%
- Median APE: 12.3%

Retransformation Bias Analysis:

Method	Bias	RMSE
Naive exponential	-8.3%	\$13,890
Parametric correction	-0.7%	\$12,340
Smearing estimator	-0.2%	\$12,230

Calibration Performance:

- Mean predicted/actual: 0.998
- Median predicted/actual: 1.012
- 90% within $\pm 25\%$ of actual

9.2.2 Distribution Fit**Normality of Log Residuals:**

- Shapiro-Wilk: $p = 0.082$ (fail to reject)
- Kolmogorov-Smirnov: $p = 0.134$
- Q-Q plot: Minor upper tail deviation
- Skewness: 0.23 (near zero)
- Kurtosis: 3.14 (near normal)

9.2.3 Reliability

- **Test-retest:** 0.94
- **Cross-validation:** 10-fold $R^2 = 0.8198$ (SD = 0.010)
- **Bootstrap:** 95% CI tight for all coefficients
- **Temporal:** 6-month holdout shows 2.1% degradation

9.3 Robustness**9.3.1 Subgroup Performance**

Group	R^2 (log)	R^2 (original)	MAPE
Budget Level			
j \$25,000	0.756	0.732	22.3%
\$25,000-\$75,000	0.812	0.798	16.7%
z \$75,000	0.834	0.821	13.4%
Disability			
Intellectual	0.821	0.804	17.2%
Autism	0.826	0.809	16.8%
Cerebral Palsy	0.818	0.801	17.6%

9.3.2 Multiplicative Interpretation

Coefficients represent percentage changes:

- Unit increase in predictor j : $(e^{\beta_j} - 1) \times 100\%$ change
- Example: $\beta_{15} = 0.082$ means 8.5% budget increase
- Natural for budget discussions

9.3.3 Disparate Impact

- **No systematic bias:** All groups proportional
- **Variance equality:** Homoscedasticity in log scale
- **Fairness metrics:** Pass all thresholds

9.4 Sensitivity to Outliers and Missing Data

9.4.1 Outlier Management

- **Log dampening:** Natural outlier compression
- **Influence:** Maximum Cook's D = 0.038
- **Coverage:** 100% included
- **Robustness:** Superior to square root

9.4.2 Missing Data

- **Complete case:** Default approach
- **Performance degradation:**
 - 5% missing: $R^2 = 0.802$
 - 10% missing: $R^2 = 0.795$
 - 15% missing: $R^2 = 0.787$

9.5 Implementation Feasibility

9.5.1 Technical Requirements

- **Software:** Standard OLS with log transform
- **Computation:** < 0.5 seconds
- **Database:** Minimal changes to tbl_EZBudget
- **API:** Simple exponential retransformation

9.5.2 Operational Considerations

- **Training:** 6 hours on log interpretation
- **Documentation:** Percentage change explanations
- **Pilot:** 2,500 consumer comparison
- **Timeline:** 12-18 months with validation

9.6 Complexity, Cost, and Regulatory Alignment

9.6.1 Technical Complexity

- **Mathematical:** Simple transformation
- **Interpretability:** Multiplicative effects intuitive
- **Maintenance:** Standard regression updates

9.6.2 Cost Analysis

- **Development:** \$65,000
- **Implementation:** \$35,000
- **Training:** \$25,000
- **Annual:** \$20,000
- **3-year TCO:** \$185,000

9.6.3 Regulatory Compliance

- **F.S. 393.0662:** Warning: Requires transformation justification
- **F.A.C. 65G-4.0214:** Warning: Rule update for log transform
- **HB 1103:** OK. Percentage changes explainable
- **Appeals:** OK. Multiplicative effects clear

9.7 Adaptability and Maintenance

9.7.1 Dynamic Updates

- **Coefficient stability:** High with log scale
- **Appropriation adjustments:** Simple scaling
- **Policy changes:** Standard implementation
- **Emergency updates:** 48-hour capability

9.7.2 Monitoring

- **Residual normality:** Monthly check
- **Retransformation bias:** Quarterly
- **Performance:** Standard metrics
- **Retraining:** Annual or 5% degradation

9.8 Stakeholder Impact

9.8.1 Client Impact

- **Budget changes:** 20% see \leq \$5,000 change
- **Better fit:** High-cost consumers
- **Interpretation:** Percentage changes natural

9.8.2 Provider Reception

- **Concept:** Log familiar from economics
- **Training:** Moderate complexity
- **Resistance:** Low-medium expected

9.9 Risk Assessment

Risk	Probability	Impact	Mitigation
Retransformation bias	Low	Medium	Smearing estimator
Box-Cox challenge	Medium	High	Statistical evidence
Interpretation errors	Medium	Low	Training focus
Implementation bugs	Low	High	Extensive testing

9.10 Performance Monitoring

9.10.1 Key Metrics

- R^2 (original scale) \geq 0.80
- Retransformation bias \leq 1%
- Residual normality p \geq 0.05
- MAPE \leq 18%

9.11 Summary and Recommendations

9.11.1 Assessment

Strengths:

- Natural for expenditure data
- Superior Box-Cox performance
- Multiplicative interpretation
- Handles skewness well

Weaknesses:

- Must justify over square root
- Retransformation complexity
- Regulatory hurdles

9.11.2 Recommendation

Conditional Approval

Log-normal regression offers statistical improvements but requires: 1. Definitive Box-Cox analysis showing superiority 2. Regulatory rule updates 3. Comprehensive stakeholder education 4. Careful retransformation bias management

Timeline: 12-18 months including validation and regulatory review.

Chapter 10

Model 7: Quantile Regression

10.1 Algorithm Documentation: Quantile Regression Multi-Percentile Modeling for Risk Stratification

10.1.1 Complete Algorithm Specification

Quantile regression models multiple percentiles of the expenditure distribution:

For quantile $\tau \in (0, 1)$:

$$Q_\tau(\sqrt{Y_i}|X_i) = \beta_0(\tau) + \sum_{j=1}^{22} \beta_j(\tau) X_{ij} \quad (10.1)$$

Minimizing the check function:

$$\min_{\beta(\tau)} \sum_{i=1}^n \rho_\tau \left(\sqrt{Y_i} - \beta_0(\tau) - \sum_{j=1}^{22} \beta_j(\tau) X_{ij} \right) \quad (10.2)$$

where:

$$\rho_\tau(u) = u(\tau - \mathbb{I}(u < 0)) = \begin{cases} \tau u & \text{if } u \geq 0 \\ (\tau - 1)u & \text{if } u < 0 \end{cases} \quad (10.3)$$

10.1.2 Multiple Quantile Estimation

Primary quantiles modeled:

- $\tau = 0.10$: 10th percentile (minimum needs)
- $\tau = 0.25$: 25th percentile (lower quartile)
- $\tau = 0.50$: 50th percentile (median)
- $\tau = 0.75$: 75th percentile (upper quartile)
- $\tau = 0.90$: 90th percentile (high needs)

10.1.3 Input Variables

All 22 QSI predictors with quantile-specific coefficients:

1. **Q14-Q35**: Each with coefficients $\beta_j(0.10), \beta_j(0.25), \beta_j(0.50), \beta_j(0.75), \beta_j(0.90)$

Total parameters: $23 \times 5 = 115$ coefficients

10.1.4 Output Specification

Distribution of potential allocations:

$$\text{Budget Distribution}_i = \{Q_{0.10}^2, Q_{0.25}^2, Q_{0.50}^2, Q_{0.75}^2, Q_{0.90}^2\} \quad (10.4)$$

Risk-adjusted allocation (research use):

$$\text{Budget}_i = w_{0.50} \cdot Q_{0.50}^2 + w_{0.75} \cdot Q_{0.75}^2 + w_{0.90} \cdot Q_{0.90}^2 \quad (10.5)$$

10.1.5 Fatal Regulatory Flaw

Warning: F.S. 393.0662 requires a **SINGLE** deterministic allocation amount, not a distribution

10.2 Accuracy and Reliability

10.2.1 Prediction Accuracy by Quantile

Quantile	Pseudo- R^2	Check Loss	Coverage
0.10	0.523	4,234	10.2%
0.25	0.612	8,456	25.1%
0.50	0.734	12,340	49.8%
0.75	0.698	18,920	74.9%
0.90	0.645	28,450	89.7%

10.2.2 Distribution Modeling Quality

- **Calibration:** Each quantile properly calibrated
- **Monotonicity:** 98.7% satisfy $Q_{0.10} < Q_{0.25} < \dots < Q_{0.90}$
- **Spread accuracy:** IQR prediction $R^2 = 0.76$

10.2.3 Comparison with OLS

Metric	OLS (Mean)	Quantile (Median)
Central tendency R^2	0.7998	0.734
Robustness to outliers	Low	High
Distribution information	No	Yes
Uncertainty quantification	No	Yes

10.3 Robustness

10.3.1 Heterogeneous Effects Analysis

Coefficient variation across quantiles:

Predictor	$\beta(0.10)$	$\beta(0.25)$	$\beta(0.50)$	$\beta(0.75)$	$\beta(0.90)$
Behavioral (Q30)	12.3	23.4	45.6	78.9	123.4
Medical (Q29)	8.7	15.2	24.3	31.2	38.9
ADL composite	34.5	48.2	67.8	89.3	112.4

Shows increasing impact at higher quantiles (appropriate for risk).

10.3.2 Subgroup Performance

- **Median regression:** Uniform performance across demographics
- **Extreme quantiles:** Higher variance but unbiased
- **No disparate impact:** Quantile-specific fairness maintained

10.4 Sensitivity Analysis

10.4.1 Outlier Robustness

- **Median regression:** Completely robust to outliers
- **Extreme quantiles:** Natural outlier accommodation
- **No exclusions:** 100% of sample used
- **Influence bounded:** By construction

10.4.2 Missing Data

- Complete case analysis required
- Performance stable with up to 10% missing
- Multiple imputation compatible

10.5 Implementation Feasibility

10.5.1 Technical Requirements

- **Software:** R (quantreg), Python (statsmodels), SAS (QUANTREG)
- **Computation:** 5-10 seconds for all quantiles
- **Memory:** 1GB for full model storage
- **Optimization:** Linear programming or interior point

10.5.2 Operational Challenges

- Failure: **Cannot produce single allocation**
- Failure: **Distribution output violates regulations**
- Failure: **Appeals process impossible**
- OK. Research value only

10.6 Regulatory Non-Compliance

10.6.1 Fatal Flaws

- **F.S. 393.0662:** Failure. Requires single amount, not distribution
- **F.A.C. 65G-4.0214:** Failure. No provision for probabilistic allocations
- **HB 1103:** Failure. Distribution not "explainable" for individual
- **CMS Requirements:** Failure. Deterministic budget required
- **Appeals Process:** Failure. Cannot appeal a distribution

10.6.2 Legal Assessment

"Quantile regression fundamentally incompatible with current statutory framework requiring deterministic, single-point budget allocations."

10.7 Research Applications

10.7.1 Valid Use Cases

- **Risk stratification:** Identify high-variance consumers
- **Appeals support:** Show allocation uncertainty
- **Policy analysis:** Understand distributional impacts
- **Validation tool:** Assess Model 5b predictions
- **Planning:** Budget reserve requirements

10.7.2 Parallel Analysis Value

- Run alongside Model 5b for insight
- Identify consumers with wide prediction intervals
- Flag for enhanced review: IQR \geq \$50,000
- Inform reserve fund allocation

10.8 Cost-Benefit Analysis

10.8.1 Costs

- **Development:** \$125,000
- **Implementation:** \$85,000 (research system)
- **Training:** \$45,000
- **Annual:** \$60,000
- **3-year TCO:** \$435,000

10.8.2 Benefits (Research Only)

- Better understanding of uncertainty
- Improved risk management
- Enhanced appeals support
- Policy simulation capability

10.9 Stakeholder Impact

10.9.1 Confusion Risk

- **Clients:** Would not understand distribution
- **Providers:** Training burden excessive
- **Legal:** Incompatible with framework
- **Political:** Appears indecisive

10.10 Risk Assessment

Risk	Probability	Impact	Status
Legal challenge	Certain	Fatal	Blocked
Implementation failure	Certain	Fatal	Blocked
Stakeholder rejection	Certain	Fatal	Blocked
Research value capture	High	Positive	Pursue

10.11 Summary and Recommendations

10.11.1 Overall Assessment

Strengths (Research):

- Superior uncertainty quantification
- Robust to outliers
- Rich distributional information
- Valuable for risk analysis

Fatal Weaknesses (Production):

- Failure: Cannot produce required single allocation
- Failure: Violates all regulatory requirements
- Failure: Incompatible with appeals process
- Failure: Would require complete legal framework change

10.11.2 Final Recommendation

REJECT for Budget Allocation

APPROVE for Research/Validation Only

Quantile regression is fundamentally incompatible with Florida's iBudget regulatory framework. The requirement for a single, deterministic allocation amount makes this approach legally impossible under current law.

Research Implementation:

- Deploy as parallel analysis tool

- Use for risk stratification
- Support appeals with uncertainty estimates
- Inform policy decisions
- Never use for actual allocations

Future Consideration: If Florida law changes to allow probabilistic allocations or confidence intervals, quantile regression should be reconsidered.

Chapter 11

Model 7: Quantile Regression

11.1 Algorithm Documentation: Quantile Regression Multi-Percentile Modeling for Risk Stratification

11.1.1 Complete Algorithm Specification

Quantile regression models multiple percentiles of the expenditure distribution:

For quantile $\tau \in (0, 1)$:

$$Q_\tau(\sqrt{Y_i}|X_i) = \beta_0(\tau) + \sum_{j=1}^{22} \beta_j(\tau) X_{ij} \quad (11.1)$$

Minimizing the check function:

$$\min_{\beta(\tau)} \sum_{i=1}^n \rho_\tau \left(\sqrt{Y_i} - \beta_0(\tau) - \sum_{j=1}^{22} \beta_j(\tau) X_{ij} \right) \quad (11.2)$$

where:

$$\rho_\tau(u) = u(\tau - \mathbb{I}(u < 0)) = \begin{cases} \tau u & \text{if } u \geq 0 \\ (\tau - 1)u & \text{if } u < 0 \end{cases} \quad (11.3)$$

11.1.2 Multiple Quantile Estimation

Primary quantiles modeled:

- $\tau = 0.10$: 10th percentile (minimum needs)
- $\tau = 0.25$: 25th percentile (lower quartile)
- $\tau = 0.50$: 50th percentile (median)
- $\tau = 0.75$: 75th percentile (upper quartile)
- $\tau = 0.90$: 90th percentile (high needs)

11.1.3 Input Variables

All 22 QSI predictors with quantile-specific coefficients:

1. **Q14-Q35**: Each with coefficients $\beta_j(0.10), \beta_j(0.25), \beta_j(0.50), \beta_j(0.75), \beta_j(0.90)$

Total parameters: $23 \times 5 = 115$ coefficients

11.1.4 Output Specification

Distribution of potential allocations:

$$\text{Budget Distribution}_i = \{Q_{0.10}^2, Q_{0.25}^2, Q_{0.50}^2, Q_{0.75}^2, Q_{0.90}^2\} \quad (11.4)$$

Risk-adjusted allocation (research use):

$$\text{Budget}_i = w_{0.50} \cdot Q_{0.50}^2 + w_{0.75} \cdot Q_{0.75}^2 + w_{0.90} \cdot Q_{0.90}^2 \quad (11.5)$$

11.1.5 Fatal Regulatory Flaw

Warning: F.S. 393.0662 requires a **SINGLE** deterministic allocation amount, not a distribution

11.2 Accuracy and Reliability

11.2.1 Prediction Accuracy by Quantile

Quantile	Pseudo- R^2	Check Loss	Coverage
0.10	0.523	4,234	10.2%
0.25	0.612	8,456	25.1%
0.50	0.734	12,340	49.8%
0.75	0.698	18,920	74.9%
0.90	0.645	28,450	89.7%

11.2.2 Distribution Modeling Quality

- **Calibration:** Each quantile properly calibrated
- **Monotonicity:** 98.7% satisfy $Q_{0.10} < Q_{0.25} < \dots < Q_{0.90}$
- **Spread accuracy:** IQR prediction $R^2 = 0.76$

11.2.3 Comparison with OLS

Metric	OLS (Mean)	Quantile (Median)
Central tendency R^2	0.7998	0.734
Robustness to outliers	Low	High
Distribution information	No	Yes
Uncertainty quantification	No	Yes

11.3 Robustness

11.3.1 Heterogeneous Effects Analysis

Coefficient variation across quantiles:

Predictor	$\beta(0.10)$	$\beta(0.25)$	$\beta(0.50)$	$\beta(0.75)$	$\beta(0.90)$
Behavioral (Q30)	12.3	23.4	45.6	78.9	123.4
Medical (Q29)	8.7	15.2	24.3	31.2	38.9
ADL composite	34.5	48.2	67.8	89.3	112.4

Shows increasing impact at higher quantiles (appropriate for risk).

11.3.2 Subgroup Performance

- **Median regression:** Uniform performance across demographics
- **Extreme quantiles:** Higher variance but unbiased
- **No disparate impact:** Quantile-specific fairness maintained

11.4 Sensitivity Analysis

11.4.1 Outlier Robustness

- **Median regression:** Completely robust to outliers
- **Extreme quantiles:** Natural outlier accommodation
- **No exclusions:** 100% of sample used
- **Influence bounded:** By construction

11.4.2 Missing Data

- Complete case analysis required
- Performance stable with up to 10% missing
- Multiple imputation compatible

11.5 Implementation Feasibility

11.5.1 Technical Requirements

- **Software:** R (quantreg), Python (statsmodels), SAS (QUANTREG)
- **Computation:** 5-10 seconds for all quantiles
- **Memory:** 1GB for full model storage
- **Optimization:** Linear programming or interior point

11.5.2 Operational Challenges

- Failure: **Cannot produce single allocation**
- Failure: **Distribution output violates regulations**
- Failure: **Appeals process impossible**
- OK. Research value only

11.6 Regulatory Non-Compliance

11.6.1 Fatal Flaws

- **F.S. 393.0662:** Failure. Requires single amount, not distribution
- **F.A.C. 65G-4.0214:** Failure. No provision for probabilistic allocations
- **HB 1103:** Failure. Distribution not "explainable" for individual
- **CMS Requirements:** Failure. Deterministic budget required
- **Appeals Process:** Failure. Cannot appeal a distribution

11.6.2 Legal Assessment

"Quantile regression fundamentally incompatible with current statutory framework requiring deterministic, single-point budget allocations."

11.7 Research Applications

11.7.1 Valid Use Cases

- **Risk stratification:** Identify high-variance consumers
- **Appeals support:** Show allocation uncertainty
- **Policy analysis:** Understand distributional impacts
- **Validation tool:** Assess Model 5b predictions
- **Planning:** Budget reserve requirements

11.7.2 Parallel Analysis Value

- Run alongside Model 5b for insight
- Identify consumers with wide prediction intervals
- Flag for enhanced review: IQR \geq \$50,000
- Inform reserve fund allocation

11.8 Cost-Benefit Analysis

11.8.1 Costs

- **Development:** \$125,000
- **Implementation:** \$85,000 (research system)
- **Training:** \$45,000
- **Annual:** \$60,000
- **3-year TCO:** \$435,000

11.8.2 Benefits (Research Only)

- Better understanding of uncertainty
- Improved risk management
- Enhanced appeals support
- Policy simulation capability

11.9 Stakeholder Impact

11.9.1 Confusion Risk

- **Clients:** Would not understand distribution
- **Providers:** Training burden excessive
- **Legal:** Incompatible with framework
- **Political:** Appears indecisive

11.10 Risk Assessment

Risk	Probability	Impact	Status
Legal challenge	Certain	Fatal	Blocked
Implementation failure	Certain	Fatal	Blocked
Stakeholder rejection	Certain	Fatal	Blocked
Research value capture	High	Positive	Pursue

11.11 Summary and Recommendations

11.11.1 Overall Assessment

Strengths (Research):

- Superior uncertainty quantification
- Robust to outliers
- Rich distributional information
- Valuable for risk analysis

Fatal Weaknesses (Production):

- Failure: Cannot produce required single allocation
- Failure: Violates all regulatory requirements
- Failure: Incompatible with appeals process
- Failure: Would require complete legal framework change

11.11.2 Final Recommendation

REJECT for Budget Allocation

APPROVE for Research/Validation Only

Quantile regression is fundamentally incompatible with Florida's iBudget regulatory framework. The requirement for a single, deterministic allocation amount makes this approach legally impossible under current law.

Research Implementation:

- Deploy as parallel analysis tool

- Use for risk stratification
- Support appeals with uncertainty estimates
- Inform policy decisions
- Never use for actual allocations

Future Consideration: If Florida law changes to allow probabilistic allocations or confidence intervals, quantile regression should be reconsidered.

Chapter 12

Model 9: Principal Components Regression

12.1 Algorithm Documentation: Principal Components Regression

Orthogonal Transformation with Dimensionality Reduction

12.1.1 Complete Algorithm Specification

PCR transforms correlated QSI variables into orthogonal components:

Step 1: Principal Component Extraction

$$Z = XW \quad (12.1)$$

where W contains eigenvectors of $X^T X$, producing orthogonal components Z_1, \dots, Z_p .

Step 2: Component Selection Select $k < 22$ components explaining $\geq 95\%$ variance:

$$\sum_{j=1}^k \lambda_j / \sum_{j=1}^{22} \lambda_j \geq 0.95 \quad (12.2)$$

Step 3: Regression on Components

$$\sqrt{Y_i} = \alpha_0 + \sum_{m=1}^k \alpha_m Z_{im} + \epsilon_i \quad (12.3)$$

Step 4: Back-transformation to Original Space

$$\beta = W_k \alpha \quad (12.4)$$

12.1.2 Component Analysis Results

Component	Eigenvalue	% Variance	Cumulative %
PC1 (ADL severity)	8.34	37.9%	37.9%
PC2 (Behavioral)	4.23	19.2%	57.1%
PC3 (Medical)	2.89	13.1%	70.2%
PC4 (Cognitive)	1.78	8.1%	78.3%
PC5 (Mobility)	1.45	6.6%	84.9%
PC6 (Sensory)	1.12	5.1%	90.0%
PC7 (Support)	0.98	4.5%	94.5%
PC8 (Living)	0.67	3.0%	97.5%

Selected: 7 components (94.5% variance)

12.1.3 Component Loadings (PC1 Example)

QSI Variable	PC1 Loading
Q24 (Toileting)	0.342
Q25 (Bathing)	0.338
Q26 (Dressing)	0.321
Q23 (Eating)	0.298
Q27 (Grooming)	0.287
Q17 (Transfers)	0.276
Others	0.25

12.1.4 Fatal Interpretability Problem

Warning: Components lack direct QSI interpretability required for appeals

12.2 Accuracy and Reliability

12.2.1 Prediction Accuracy

Model Performance:

- R^2 (7 components): 0.7823
- R^2 (8 components): 0.7912
- R^2 (all 22): 0.7998 (equivalent to OLS)
- RMSE (7 comp): \$13,120
- MAE (7 comp): \$8,670

Variance-Bias Tradeoff:

Components	Bias ²	Variance	MSE
5	234.5	89.3	323.8
7 (selected)	156.7	112.4	269.1
10	98.2	145.6	243.8
22 (all)	0	234.5	234.5

12.2.2 Cross-Validation

- **Optimal components:** 7-8 via 10-fold CV
- **CV-RMSE:** \$13,340
- **Stability:** High for first 5 components

12.3 Robustness

12.3.1 Component Stability

- **Bootstrap analysis:** PC1-PC5 stable
- **PC6-PC7:** Moderate instability
- **Sign flipping:** Occurs in 15% of bootstraps
- **Ordering changes:** Rare for top 5

12.3.2 Subgroup Performance

Major concern: Components have different meanings across groups

- PC1 for young adults: Primarily behavioral
- PC1 for elderly: Primarily physical ADLs
- Interpretation inconsistency across demographics

12.4 Regulatory Non-Compliance

12.4.1 Fatal Interpretability Issues

- **F.A.C. 65G-4.0214:** Failure. Requires individual QSI coefficients
- **HB 1103:** Failure. Components not “explainable”
- **Appeals Process:** Failure. Cannot explain PC contribution
- **Transparency:** Failure. Black-box transformation

12.4.2 Legal Assessment

”Principal components obscure the direct relationship between assessment questions and budget allocation, violating transparency requirements.”

12.4.3 Appeals Process Failure

Example problem:

- Consumer asks: ”Why did my toileting score affect my budget?”
- PCR answer: ”It contributed 0.342 to PC1, which has coefficient...”
- Required answer: ”Toileting has direct coefficient of \$X”
- Failure. Fails explainability requirement

12.5 Implementation Challenges

12.5.1 Technical Issues

- **Component interpretation:** Abstract linear combinations
- **Sign ambiguity:** Eigenvectors only defined up to sign
- **Ordering instability:** Minor components swap
- **Back-transformation:** Complicates explanation

12.5.2 Operational Problems

- **Training:** Would require extensive statistical education
- **Documentation:** Cannot simply list coefficients
- **Maintenance:** Component structure may shift
- **Updates:** Entire structure changes with new data

12.6 Cost Analysis

12.6.1 Implementation Costs

- **Development:** \$95,000
- **Implementation:** \$55,000
- **Training:** \$65,000 (extensive)
- **Annual:** \$45,000
- **3-year TCO:** \$350,000

12.6.2 Hidden Costs

- Legal challenges: High probability
- Appeals complications: Severe
- Stakeholder resistance: Extreme
- Reputation damage: Likely

12.7 Stakeholder Impact

12.7.1 Comprehension Barriers

- **Clients:** Complete inability to understand
- **Providers:** Would require PhD-level training
- **Appeals officers:** Cannot adjudicate
- **Courts:** Would reject as opaque

12.8 Risk Assessment

Risk	Probability	Impact	Overall
Regulatory rejection	Certain	Fatal	Unacceptable
Legal challenge success	Certain	Fatal	Unacceptable
Stakeholder revolt	Certain	Severe	Unacceptable
Implementation failure	High	High	Unacceptable

12.9 Limited Research Value

12.9.1 Potential Uses

- **Dimensionality analysis:** Understand QSI structure
- **Multicollinearity:** Identify correlated clusters
- **Variable grouping:** Inform simpler models
- **Never for allocation:** Research only

12.10 Summary and Recommendations

12.10.1 Overall Assessment

Minor Strengths:

- Handles multicollinearity
- Reduces dimensions
- Orthogonal predictors

Fatal Weaknesses:

- Failure. Components lack interpretability
- Failure. Violates regulatory requirements
- Failure. Impossible appeals process
- Failure. Complete transparency failure
- Failure. Stakeholder comprehension impossible

12.10.2 Final Recommendation

STRONGLY REJECT for All Purposes

Principal Components Regression is fundamentally incompatible with iBudget requirements. The transformation to abstract components destroys the required direct relationship between QSI questions and budget allocations.

Critical Failures: 1. **Regulatory:** Violates F.A.C. 65G-4.0214 coefficient requirements 2. **Legal:** Fails HB 1103 explainability mandate 3. **Practical:** Appeals process becomes impossible 4. **Ethical:** Removes transparency from public program

Research Value: Minimal - only for understanding QSI correlation structure

Alternative: Use Ridge Regression (Model 5) for multicollinearity while maintaining interpretability.

Chapter 13

Model 10: Deep Learning Neural Network

13.1 Algorithm Documentation: Deep Learning Neural Network Feedforward Architecture for Non-Linear Modeling

13.1.1 Complete Algorithm Specification

Network Architecture:

- **Input Layer:** 22 nodes (QSI predictors)
- **Hidden Layer 1:** 64 nodes, ReLU activation
- **Hidden Layer 2:** 32 nodes, ReLU activation
- **Hidden Layer 3:** 16 nodes, ReLU activation
- **Output Layer:** 1 node, linear activation

Mathematical Formulation:

$$h_1 = \text{ReLU}(W_1X + b_1) \quad (13.1)$$

$$h_2 = \text{ReLU}(W_2h_1 + b_2) \quad (13.2)$$

$$h_3 = \text{ReLU}(W_3h_2 + b_3) \quad (13.3)$$

$$\sqrt{\hat{Y}} = W_4h_3 + b_4 \quad (13.4)$$

where $\text{ReLU}(x) = \max(0, x)$

Total Parameters: $(22 \times 64) + 64 + (64 \times 32) + 32 + (32 \times 16) + 16 + (16 \times 1) + 1 = 4,049$

13.1.2 Training Specification

- **Loss Function:** MSE on \sqrt{Y}
- **Optimizer:** Adam ($\alpha = 0.001$, $\beta_1 = 0.9$, $\beta_2 = 0.999$)
- **Batch Size:** 128
- **Epochs:** 500 with early stopping
- **Regularization:** Dropout (0.3) + L2 penalty ($\lambda = 0.01$)
- **Validation:** 15% holdout for early stopping

13.1.3 Input Preprocessing

- **Standardization:** $X_{std} = (X - \mu)/\sigma$
- **Range:** All inputs scaled to $[-1, 1]$
- **Missing values:** Not permitted (complete case)

13.1.4 Output Specification

$$\text{Budget}_i = (\text{NN}(X_i; \theta))^2 \quad (13.5)$$

where $\theta = \{W_1, b_1, W_2, b_2, W_3, b_3, W_4, b_4\}$

13.1.5 FATAL FLAW: Complete Black Box

Warning: HB 1103 explicitly requires "explainable" algorithms - neural networks are archetypal black boxes

13.2 Accuracy and Reliability

13.2.1 Prediction Accuracy

Superior Performance:

- R^2 : 0.8456 (best among all methods)
- RMSE: \$10,890
- MAE: \$7,230
- MAPE: 14.2%

Non-linear Pattern Capture:

- Interaction effects: Automatically learned
- Threshold effects: Natural modeling
- Complex relationships: Superior fit

Performance by Complexity:

Consumer Type	Linear R^2	NN R^2	Improvement
Simple needs	0.823	0.834	+1.3%
Moderate complexity	0.798	0.845	+5.9%
High complexity	0.745	0.856	+14.9%

13.2.2 Overfitting Analysis

- **Training** R^2 : 0.8734
- **Validation** R^2 : 0.8456
- **Test** R^2 : 0.8423
- **Gap**: 3.1% (acceptable with regularization)

13.3 Complete Lack of Interpretability

13.3.1 Black Box Nature

Why Neural Networks Fail Explainability:

- 4,049 parameters with complex interactions
- Non-linear transformations at each layer
- No direct QSI \rightarrow Budget relationship
- Distributed representations

13.3.2 Failed Explanation Attempts

SHAP Values:

- Provides: Feature importances
- Missing: Actual decision logic
- Problem: Still can't explain "why"

LIME:

- Local approximations only
- Different explanation per consumer
- Inconsistent across similar cases

Attention/Saliency:

- Shows: Which inputs matter
- Doesn't show: How they combine
- Useless for appeals

13.4 Regulatory Non-Compliance

13.4.1 Complete Failure of Legal Requirements

- **HB 1103:** Failure. Explicitly prohibits black box algorithms
- **F.A.C. 65G-4.0214:** Failure. No interpretable coefficients
- **F.S. 393.0662:** Failure. Cannot explain individual determinations
- **Due Process:** Failure. Impossible to challenge in court
- **Appeals:** Failure. No meaningful review possible

13.4.2 Legal Opinion

"Neural networks represent the antithesis of the transparency and explainability mandated by Florida law. Their use would immediately trigger successful legal challenges."

13.4.3 Appeals Process Catastrophe

Scenario:

- Consumer: "Why is my budget \$45,000?"
- NN Response: "4,049 parameters interacted non-linearly"
- Consumer: "What if my ADL score improves?"
- NN Response: "Depends on all other inputs and hidden states"
- Result: Failure. Complete appeals process failure

13.5 Implementation Challenges

13.5.1 Technical Complexity

- **Training:** Requires ML expertise
- **Tuning:** Hyperparameter optimization critical
- **Deployment:** Specialized infrastructure
- **Maintenance:** Retraining complexity
- **Debugging:** Nearly impossible

13.5.2 Operational Impossibilities

- **Staff understanding:** Would require PhD-level ML knowledge
- **Documentation:** Cannot document decision logic
- **Validation:** Black box testing only
- **Updates:** Complete retraining needed

13.6 Risk Assessment

Risk Category	Probability	Impact	Assessment
Legal challenge	Certain	Fatal	Unacceptable
Regulatory violation	Certain	Fatal	Unacceptable
Public backlash	Certain	Severe	Unacceptable
Implementation failure	High	Severe	Unacceptable
Bias amplification	High	Critical	Unacceptable

13.6.1 Bias and Fairness Concerns

- **Hidden bias:** Impossible to detect or correct
- **Discrimination:** Could encode without visibility
- **No recourse:** Cannot identify or fix problems
- **Trust:** Zero public confidence

13.7 Cost Analysis

13.7.1 Implementation Costs

- **Development:** \$250,000 (specialized team)
- **Infrastructure:** \$150,000 (GPUs, deployment)
- **Training:** \$100,000 (extensive program)
- **Annual:** \$200,000 (maintenance, retraining)
- **3-year TCO:** \$1,100,000

13.7.2 Hidden Costs

- Legal defense: \$500,000+ (guaranteed lawsuits)
- Reputation damage: Incalculable
- System replacement: \$1M+ when forced to abandon

13.8 Stakeholder Disaster

13.8.1 Universal Rejection Expected

- **Consumers:** "My life determined by unknowable algorithm"
- **Advocates:** "Violation of basic rights"
- **Providers:** "We can't explain decisions"
- **Courts:** "Unconstitutional black box"
- **Legislature:** "Not what we mandated"
- **Media:** "State uses AI to deny disability benefits"

13.9 Limited Research Value

13.9.1 Potential Research Applications

- **Performance ceiling:** Understand maximum possible R^2
- **Non-linearity detection:** Identify complex patterns
- **Feature engineering:** Discover interactions
- **Never deploy:** Research only, never production

13.10 Summary and Recommendations

13.10.1 Overall Assessment

Performance Strengths:

- Highest accuracy achieved
- Captures complex patterns
- Handles non-linearity naturally

CATASTROPHIC Weaknesses:

- Failure. Explicitly violates HB 1103
- Failure. Complete black box - zero explainability
- Failure. Impossible appeals process
- Failure. Guaranteed legal challenges
- Failure. Public trust destruction
- Failure. Ethical violations

13.10.2 Final Recommendation

ABSOLUTELY REJECT for ALL Uses

Neural networks are **FUNDAMENTALLY INCOMPATIBLE** with every aspect of the iBudget regulatory framework. Their use would constitute an immediate and severe violation of Florida law.

Critical Points: 1. **HB 1103 explicitly requires explainable algorithms** - neural networks are the definition of unexplainable 2. **Due process requires challengeable decisions** - impossible with black box 3. **Public programs require transparency** - neural networks provide none 4. **Immediate legal injunction certain** - implementation would be blocked

Research Value: Minimal - only to establish performance ceiling

Alternative: Use interpretable methods (Models 1-3) that balance performance with mandatory transparency.

Warning: Any attempt to implement neural networks for iBudget allocation would result in immediate legal action, public outrage, and mandatory system replacement.

Chapter 14

Algorithm Implementation



Chapter 15

APPENDIX

15.1 Data Provided by APD for Modeling

15.2 Metadata

15.2.1 sysdiagrams

15.2.1.1 Table Overview

- **Table Name:** sysdiagrams
- **Schema:** dbo
- **Number of Records:** 0
- **Number of Columns:** 5

15.2.1.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
name	nvarchar(128)		0	All NULL values
principal_id	int(10)		0	All NULL values
diagram_id	int(10)		0	All NULL values
version	int(10)		0	All NULL values
definition	varbinary(-1)		0	All NULL values

15.2.2 tbl_Budgets

15.2.2.1 Table Overview

- **Table Name:** tbl_Budgets
- **Schema:** dbo
- **Number of Records:** 219,457
- **Number of Columns:** 19

15.2.2.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CaseNo	bigint(19)	Consumer iConnect ID	42093	Range: [10184.00, 100198.00], Avg: 39353.87, Median: 35849.00
BudgetID	bigint(19)	Budget ID	219457	Range: [66.00, 219554.00], Avg: 109819.48, Median: 109821.00
BudgetType	varchar(100)	Budget Type	2	{CDC+, iBudget}
BudgetStatus	varchar(100)	Budget Status	4	{Approved, Budget Approved, Draft, Terminated}
FiscalYear	varchar(100)	FiscalYear	6	{2021, 2022, 2023, 2024, 2025, 2026}
Programs	varchar(75)	Program Consumer Enrolled into	2	{APD Waiver, CDC+}
WSC	varchar(100)	Waiver Support Coordinator Name	1031	...
ApprovedBy	int(10)	Approved By APD Staff Name	6	Range: [1182.00, 34038.00], Avg: 9428.56, Median: 2487.00
ApprovalDate	datetime	Approval Date	15	Range: [2020-05-22, 2025-05-21]
StartDate	datetime	Start Date	271	Range: [2020-07-01, 2025-07-22]
EndDate	datetime	End Date	6	Range: [2021-06-30, 2026-06-30]
BudgetAmount	numeric(19,2)	Budget Amount	146839	Range: [-28280.29, 894542.33], Avg: 58144.60, Median: 49936.11
AnnualizedAmount	numeric(19,2)	Annualized Budget Amount	131128	Range: [-54617.64, 485174942.72], Avg: 61506.63, Median: 51322.04
AmountEncumbered	numeric(19,2)	Amount Encumbered	147533	Range: [0.00, 1236377.20], Avg: 50557.89, Median: 41775.08
AmountUnauthorized	numeric(19,2)	Amount Unauthorized	121870	Range: [-1073148.93, 850693.76], Avg: 9621.87, Median: 1882.51
PrioriBudgetAmount	numeric(19,2)	Priori Budget Amount	150649	Range: [0.00, 1144471.65], Avg: 52194.31, Median: 43875.48
Comments	varchar(-1)	Comments	121	...
UserStamp	varchar(100)	UserStamp	61	...
DateTimeStamp	datetime	DateTimeStamp	49148	Range: [2020-05-25, 2025-09-11]

15.2.3 tbl_Claims_MMIS

15.2.3.1 Table Overview

- **Table Name:** tbl_Claims_MMIS
- **Schema:** dbo
- **Number of Records:** 37,750,736
- **Number of Columns:** 20

15.2.3.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CaseNo	bigint(19)	Consumer iConnect ID	41285	Range: [10184.00, 99376.00], Avg: 36590.87, Median: 33566.00
PIN	varchar(20)	Legacy ABC PIN	41267	...
ProviderName	varchar(500)	Provider Name	5249	...
ProviderMedcId	varchar(20)	Provider Medicaid ID	5004	...
ProcCode	varchar(20)	Service Procedure Code	156	...
ServiceDate	datetime	Date Service Provided	1886	Range: [2020-07-01, 2025-08-29]
Units	int(10)	Units	1958	Range: [-98112.00, 98112.00], Avg: 17.94, Median: 15.00
BilledAmt	numeric(9,2)	Billed Amount	47855	Range: [-25270.05, 36622.37], Avg: 191.90, Median: 101.40
PaidAmt	numeric(9,2)	Paid Amount	46066	Range: [-21173.51, 26975.48], Avg: 191.69, Median: 101.40
PaidDate	datetime	Paid Date	541	Range: [2020-07-03, 2025-09-03]
ICN	varchar(100)	ICN - Claim Number in FMMIS	11172269	...
AdjustICN	varchar(100)	AdjustICN	407203	...
TreatingProvMedcId	varchar(20)	WSC Treating Provider Medicaid ID	1869	...
TransType	char(1)	Transaction Type (X-Cancel, A-ADD, C-Change)	0	All NULL values
LineNmbr	varchar(20)	Line Number	51	...

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Column Name	Data Type	Description	N	Statistics/Values
PA	varchar(20)	PA-Prior Authorization	811384	...
ClaimType	char(1)	Claim Type	2	{P, V}
ClaimSubType	char(1)	Claim Sub Type	3	{A, O, R}
CreateDate	datetime	Create Date	276	Range: [2020-07-17, 2025-09-08]
Id	bigint(19)	Claim ID	37750736	Range: [1.00, 37750736.00], Avg: 18875368.50, Median: 18875369.00

15.2.4 tbl_ConsumerContacts

15.2.4.1 Table Overview

- **Table Name:** tbl_ConsumerContacts
- **Schema:** dbo
- **Number of Records:** 433,650
- **Number of Columns:** 11

15.2.4.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CONTACTID	bigint(19)	Contact ID	262802	Range: [10468.00, 444197.00], Avg: 219587.19, Median: 208125.00
FIRSTNAME	varchar(30)	Legal Representative FIRST NAME	61717	...
LASTNAME	varchar(30)	Legal Representative LAST NAME	82349	...
GENDER	varchar(100)	GENDER	3	{, Female, Male}
CASENO	bigint(19)	Consumer iConnect ID	73402	Range: [10184.00, 101016.00], Avg: 41002.77, Median: 36889.00
RELATIONSHIP	varchar(100)	RELATIONSHIP	85	...
Multirelationship	varchar(-1)	Multiple relationship	5236	...

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Column Name	Data Type	Description	N	Statistics/Values
Active	int(10)	Active	2	Range: [0.00, 1.00], Avg: 0.87, Median: 1.00
DateTimeStamp	datetime2	DateTimeStamp	409847	Range: [2018-11-27, 2025-09-12]
UserStamp	varchar(100)	UserStamp	3437	...
RECID	bigint(19)	Record ID	433650	Range: [10174.00, 504002.00], Avg: 252246.06, Median: 267038.00



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15.2.5 tbl_Consumers

15.2.5.1 Table Overview

- **Table Name:** tbl_Consumers
- **Schema:** dbo
- **Number of Records:** 60,821
- **Number of Columns:** 66

15.2.5.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CASENO	bigint(19)	Consumer iConnect ID	60821	Range: [10184.00, 100986.00], Avg: 49458.02, Median: 48467.00
DOB	datetime	DOB	21369	Range: [1926-11-08, 2022-07-16]
GENDER	varchar(100)	GENDER	2	{Female, Male}
RACE	varchar(100)	RACE	7	{, African American, Alaska Native, Asian/Pacific Islander, Caucasian, Native American, Other}
PLANGUAGE	varchar(100)	Written Language	18	...
SLANGUAGE	varchar(100)	Spoken Language	21	...
TITLE	varchar(50)	TITLE	51	...

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Column Name	Data Type	Description	N	Statistics/Values
ETHNICITYLOOKUP	varchar(30)	ETHNICITY LOOKUP	17	...
County	varchar(100)	County	119	...
District	varchar(25)	District	39	...
Region	varchar(100)	Region	25	...
DOD	datetime	DOD-Date of Death	161	Range: [2018-12-29, 2025-09-09]
CauseOfDeath	varchar(1000)	Cause Of Death	171	...
DODAction	varchar(50)	DOD Action	2	{, Verified Alive}
DODFileNumber	varchar(25)	DOD FileNumber	181	...
RESIDENCETYPE	varchar(100)	Living Setting	28	...
MedicaidId	varchar(500)	Medicaid ID	54141	...
ABCPIN	varchar(50)	Legacy ABC PIN	49469	...
ReferralSource	varchar(100)	Referral Source	25	...
CBCFlag	bit	CBC Flag- Identifies if the Consumer has enrolled in CBC Program	2	Range: [0.00, 1.00], Avg: 0.00, Median: 0.00
ReferredToVR	varchar(50)	ReferredToVR	4	{, NA, No, Yes}
ANNUALINCOME	numeric(19,2)	ANNUAL INCOME	1879	Range: [0.00, 86400.00], Avg: 1884.71, Median: 0.00
Competency	varchar(50)	Competency	12	...
Status	varchar(10)	Status	1	{Active}
DevelopmentalDisability	varchar(-1)	Developmental Disability	87	...
FUNDCODE	varchar(25)	FUND CODE (Division)	1	{APD}
DISPOSITION	varchar(100)	DISPOSITION	9	{APD Eligible - Bypass PE, APD Eligible - DDMC, APD Eligible - High Risk, APD Eligible - ICF/IID, APD Eligible - ICF/SNF Transition, APD Eligible - NonWaiver, APD Eligible - PASC Assigned, APD Eligible - Pre-enrollment, APD Eligible - Waiver}
DISPOSITIONDATE	datetime	DISPOSITION DATE	7089	Range: [1948-03-15, 2025-09-10]
OPENDATE	datetime	OPEN DATE	11324	Range: [1948-03-15, 2025-09-10]
OPENREASON	varchar(-1)	OPEN REASON	3	{, 0, 1}

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Column Name	Data Type	Description	N	Statistics/Values
CLOSEDATE	datetime	CLOSE DATE	14	Range: [2022-07-09, 2025-08-26]
CLOSEREASON	varchar(100)	CLOSE REASON	6	{, Deceased, Loss of Contact, Not Eligible, Services No Longer Appropriate, Services No Longer Needed}
ApplicationReceivedDate	datetime	Application Received Date	10621	Range: [1889-07-11, 2025-09-11]
ApplicationReceivedViaOAS	varchar(50)	Application Received Via OAS	2	{Yes, No}
ApplicantRequestingCWE	varchar(50)	Applicant Requesting CWE	2	{Yes, No}
RequiresSOPTReview	varchar(10)	Requires SOPT Review	2	{Yes, No}
DateAssignedToSOPT	datetime	Date Assigned To SOPT	72	Range: [2024-10-02, 2025-09-10]
SOPTName	varchar(100)	SOPTName	53	...
DateSOPTCompletedReview	datetime	Date SOPT Completed Review	71	Range: [2024-11-19, 2025-09-11]
OPENID	bigint(19)	Open ID	60816	Range: [10211.00, 106665.00], Avg: 50706.64, Median: 49173.00
PRIMARYWORKER	varchar(100)	PRIMARY WORKER	1296	...
PRIMARYWORKERID	bigint(19)	Primary Worker ID	1329	Range: [330.00, 52864.00], Avg: 16773.24, Median: 3881.00
SECONDWORKER	varchar(100)	SECONDARY WORKER	89	...
SECONDWORKERID	bigint(19)	Secondary Worker ID	89	Range: [359.00, 51214.00], Avg: 1442.13, Median: 1182.00
PrimaryDiagnosis	varchar(200)	Primary Diagnosis	15	...
SecondaryDiagnosis	varchar(200)	Secondary Diagnosis	14	...
OtherDiagnosis	varchar(200)	Other Diagnosis	23	...
MentalHealthDiag1	varchar(200)	Mental Health Diagnosis1	56	...
MentalHealthDiag2	varchar(200)	Mental Health Diagnosis 2	52	...
MentalHealthDiag3	varchar(200)	Mental Health Diagnosis 3	43	...
MentalHealthDiag4	varchar(200)	Mental Health Diagnosis 4	27	...
MentalHealthDiag_5_6	varchar(100)	Mental Health Diagnosis 5_6	75	...
REVIEW	varchar(100)	REVIEW	8	{Annual, As Needed, Initial, Initial Application, Monthly, Other, Quarterly, Update/Amended }
REVIEWDATE	datetime	REVIEW DATE	6168	Range: [1976-07-01, 2025-09-10]

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Column Name	Data Type	Description	N	Statistics/Values
SSNMonthlyBenefitAmt	varchar(50)	SSN Monthly Benefit Amount	3147	...
3rdPartyHealthInsurance	varchar(50)	3rd Party Health Insurance	3	{, No, Yes}
CompetitivelyEmployed	varchar(50)	Competitively Employed	3	{, No, Yes}
HireDate	varchar(50)	Hire Date	1632	...
AvgMonthlyEarnings	varchar(50)	Average Monthly Earnings	1083	...
WantsEmployment	varchar(50)	Wants Employment	3	{, No, Yes}
HourlyWage	varchar(50)	Hourly Wage	259	...
MinimumWage	varchar(50)	Minimum Wage	3	{, No, Yes}
CONTACTID	bigint(19)	Contact ID	60821	Range: [10467.00, 443946.00], Avg: 102913.53, Median: 79348.00
DateTimeStamp	datetime	DateTimeStamp	58726	Range: [2018-11-27, 2025-09-12]
UserStamp	varchar(100)	UserStamp	1641	...
Id	int(10)	Id	60821	Range: [1.00, 96453.00], Avg: 40810.13, Median: 39363.00

15.2.6 tbl_Diagnosis

15.2.6.1 Table Overview

- **Table Name:** tbl_Diagnosis
- **Schema:** dbo
- **Number of Records:** 74,826
- **Number of Columns:** 12

15.2.6.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CASENO	bigint(19)	Consumer iConnect ID	74330	Range: [10184.00, 100986.00], Avg: 48488.48, Median: 47484.00

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Column Name	Data Type	Description	N	Statistics/Values
FUNDCODE	varchar(25)	FUNDCODE	3	{APD, INC, FOR}
PrimaryDiagnosis	varchar(200)	Primary Diagnosis	19	...
SecondaryDiagnosis	varchar(200)	Secondary Diagnosis	21	...
TertiaryDiagnosis	varchar(200)	Tertiary Diagnosis	27	...
QuaternaryDiagnosis	varchar(200)	Quaternary Diagnosis	27	...
REVIEW	varchar(100)	REVIEW	8	{Initial Application, Initial, As Needed, Other, Monthly, Update/Amended , Quarterly, Annual}
REVIEWDATE	datetime	REVIEW DATE	6597	Range: [1976-07-01, 2025-09-11]
STATUS	varchar(100)	STATUS	4	{Pending, Open, Complete, Draft}
DATETIMESTAMP	datetime	DATETIMESTAMP	11325	Range: [2018-12-06, 2025-09-11]
UserStamp	varchar(100)	UserStamp	140	...
DiagnosisID	bigint(19)	Diagnosis ID	74826	Range: [79.00, 74922.00], Avg: 37494.67, Median: 37493.00

15.2.7 tbl_EZBudget

15.2.7.1 Table Overview

- **Table Name:** tbl_EZBudget
- **Schema:** dbo
- **Number of Records:** 43,213
- **Number of Columns:** 41

15.2.7.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CASENO	bigint(19)	Consumer iConnect ID	29004	Range: [10184.00, 100397.00], Avg: 46922.21, Median: 45362.00

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Column Name	Data Type	Description	N	Statistics/Values
REVIEW	varchar(100)	REVIEW	7	{Initial Application, Initial, As Needed, Other, Update/Amended, Quarterly, Annual}
Worker	varchar(100)	Worker Name	640	...
ReviewDate	datetime	Review Date	1927	Range: [2017-12-27, 2025-09-05]
STATUS	varchar(100)	STATUS	6	{Signature Complete, Pending, Open, Submitted, Complete, Draft}
Division	varchar(25)	Division	1	{APD}
ApprovedBy	varchar(100)	Approved By- APD State Office Staff	280	...
ApprovedDate	datetime	Approved Date	2070	Range: [2018-12-06, 2025-09-05]
Region	varchar(50)	Region	7	{Northeast, Southeast, Central, , Southern, Suncoast, Northwest}
UpdateSituation	varchar(50)	Update Situation	6	{Change in age, Change in living setting, When SANs is requested, At the time of waiver enrollment for new waiver en, , Change in QSI}
LivingSetting	varchar(50)	Living Setting	7	{Family Home, CTEP or Special Medical Home Care, Standard or Live-In Residential Habilitation, , Behavior Focus Residential Habilitation, Intensive Behavior Residential Habilitation, Independent Living, Supported Living, or Licensed }
CurrentAge	varchar(50)	Current Age of the Consumer	89	...
PropFactor	varchar(50)	PropFactor	1	{1.00288}
AlgorithmAmt	varchar(50)	Algorithm Amount	33513	...
QSIBehavioralScore	varchar(50)	QSI Behavioral Score	25	...
QSIFunctionalScore	varchar(50)	QSI Functional Score	45	...
Q14	varchar(50)	QSI Question 14	5	{3, 2, 1, 0, 4}
Q15	varchar(50)	QSI Question 15	5	{3, 2, 1, 0, 4}
Q16	varchar(50)	QSI Question 16	5	{3, 2, 1, 0, 4}

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Column Name	Data Type	Description	N	Statistics/Values
Q17	varchar(50)	QSI Question 17	5	{3, 2, 1, 0, 4}
Q18	varchar(50)	QSI Question 18	5	{3, 2, 1, 0, 4}
Q19	varchar(50)	QSI Question 19	5	{3, 2, 1, 0, 4}
Q20	varchar(50)	QSI Question 20	5	{3, 2, 1, 0, 4}
Q21	varchar(50)	QSI Question 21	5	{3, 2, 1, 0, 4}
Q22	varchar(50)	QSI Question 22	5	{3, 2, 1, 0, 4}
Q23	varchar(50)	QSI Question 23	5	{3, 2, 1, 0, 4}
Q24	varchar(50)	QSI Question 24	5	{3, 2, 1, 0, 4}
Q25	varchar(50)	QSI Question 25	5	{3, 2, 1, 0, 4}
Q26	varchar(50)	QSI Question 26	5	{3, 2, 1, 0, 4}
Q27	varchar(50)	QSI Question 27	5	{3, 2, 1, 0, 4}
Q28	varchar(50)	QSI Question 28	5	{3, 2, 1, 0, 4}
Q29	varchar(50)	QSI Question 29	5	{3, 2, 1, 0, 4}
Q30	varchar(50)	QSI Question 30	5	{3, 2, 1, 0, 4}
Q33	varchar(50)	QSI Question 33	5	{3, 2, 1, 0, 4}
Q34	varchar(50)	QSI Question 34	5	{3, 2, 1, 0, 4}
Q36	varchar(50)	QSI Question 36	5	{3, 2, 1, 0, 4}
Q43	varchar(50)	QSI Question 43	2	{0, 4}
Q44	varchar(50)	QSI Question 44	5	{3, 2, 1, 0, 4}
DATETIMESTAMP	datetime	DATETIMESTAMP	43207	Range: [2018-12-07, 2025-09-05]
UserStamp	varchar(100)	UserStamp	328	...
EZBudgetAssessId	bigint(19)	EZ iBudget Calculator Form ID	43213	Range: [72383.00, 1396021.00], Avg: 651351.83, Median: 584652.00

15.2.8 tbl_PlannedServices

15.2.8.1 Table Overview

- **Table Name:** tbl_PlannedServices
- **Schema:** dbo
- **Number of Records:** 1,066,576

- Number of Columns: 33

15.2.8.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CaseNo	bigint(19)	Consumer iConnect ID	41919	Range: [10184.00, 100198.00], Avg: 37730.16, Median: 34374.00
Division	varchar(25)	Division	1	{APD}
FiscalYear	int(10)	FiscalYear	6	Range: [2021.00, 2026.00], Avg: 2023.44, Median: 2023.00
STARTDATE	datetime	START DATE	1961	Range: [2020-07-01, 2026-06-20]
ENDDATE	datetime	END DATE	2140	Range: [2020-07-01, 2026-06-30]
IndexSubObjectCode	varchar(100)	Index Sub Object Code	12	...
ServiceRatio	varchar(100)	Service Ratio	11	...
ConsumerCounty	varchar(100)	Consumer County	67	...
GeographicDifferential	varchar(100)	Geographic Differential	3	{Geographic, Monroe, Non-Geographic}
ProviderRateType	varchar(100)	Provider Rate Type	2	{Agency, Solo}
ServiceCode	varchar(25)	ServiceCode	135	...
Service	varchar(100)	Service	135	...
UnitType	varchar(100)	UnitType	8	{15 mins, Day, Hour, Item, Mile, Month, Trip, Units}
UnitsPer	numeric(19,2)	UnitsPer	4264	Range: [0.00, 30816.00], Avg: 224.27, Median: 24.00
UnitsOfMeasure	varchar(25)	Units Of Measure (Day, Week, Month)	7	{, Business Day, Calendar Day, Month - Round Up, Quarter, Week, Year}
TotalUnits	numeric(19,4)	Total Units	12525	Range: [0.00, 35136.00], Avg: 1168.33, Median: 96.00
AnnualizedUnits	int(10)	Annualized Units	10424	Range: [0.00, 74887844.00], Avg: 2285.11, Median: 21.00
VendorID	bigint(19)	Vendor ID (Provider iConnect ID)	5550	Range: [10055.00, 26323.00], Avg: 14224.89, Median: 13638.00

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Column Name	Data Type	Description	N	Statistics/Values
ProviderName	varchar(75)	Provider Name	5518	...
ProviderMedcId	varchar(20)	Provider Medicaid ID	5524	...
Rate	numeric(19,4)	Rate	9296	Range: [0.00, 135497.45], Avg: 429.10, Median: 14.51
MaxAmount	numeric(19,2)	MaxAmount	102179	Range: [0.00, 323705.76], Avg: 10655.39, Median: 2178.60
COMMENTS	varchar(-1)	COMMENTS	578178	...
PlannedServiceStatus	varchar(100)	Planned Service Status	10	{, Approved, Proposed, Region Review Approved, Region Review Denied, Region Review Partially Approved, State Review Approved, State Review Denied, State Review Partially Approved, Terminated}
RegionStateReviewComments	varchar(5000)	Region State Review Comments	310592	...
AllowEVVDelivery	bit	Allow EVV Delivery	2	Range: [0.00, 1.00], Avg: 0.15, Median: 0.00
EVVComments	varchar(500)	EVV Comments	33	...
DATETIMESTAMP	datetime	DATETIMESTAMP	468520	Range: [2020-06-01, 2025-09-12]
UserStamp	varchar(100)	UserStamp	1726	...
PlannedServiceId	bigint(19)	Planned Service ID	1066576	Range: [501.00, 1238183.00], Avg: 635362.07, Median: 640598.00
PlanId	bigint(19)	Plan ID	220989	Range: [1.00, 232993.00], Avg: 116411.38, Median: 117959.00
ISComboCodeID	bigint(19)	IS Combo CodeID	14	Range: [71.00, 110.00], Avg: 93.19, Median: 95.00
VendorServicesId	bigint(19)	Vendor Services ID	54897	Range: [46092.00, 1278384.00], Avg: 964743.70, Median: 944077.00

15.2.9 tbl_Plans

15.2.9.1 Table Overview

- **Table Name:** tbl_Plans
- **Schema:** dbo
- **Number of Records:** 221,814
- **Number of Columns:** 17

15.2.9.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CaseNo	bigint(19)	Consumer iConnect ID	41975	Range: [10184.00, 100198.00], Avg: 39451.24, Median: 35976.00
Division	varchar(25)	Division	1	{APD}
Program	varchar(75)	Program	5	{APD Waiver, CDC+, DDMC, ICF/IID, Non-Waiver}
Worker	varchar(100)	Worker Name	2011	...
CreationDate	datetime	Creation Date	1646	Range: [2004-05-14, 2026-06-30]
Comments	varchar(-1)	Comments	4685	...
Status	varchar(100)	Status	5	{Approved, Complete, Draft, No Review Required, Pending}
BeginDate	datetime	Begin Date	1396	Range: [2019-07-01, 2025-09-11]
EndDate	datetime	End Date	811	Range: [2020-06-30, 2026-07-01]
Review	varchar(50)	Review	8	{Northeast, Southeast, State Office, Central, , Southern, Suncoast, Northwest}
ReviewRequestDate	datetime	Review Request Date	1932	Range: [2002-03-23, 8202-08-14]
UserStamp	varchar(100)	UserStamp	1286	...
DateTimeStamp	datetime	DateTimeStamp	166735	Range: [2020-05-25, 2025-09-12]

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Column Name	Data Type	Description	N	Statistics/Values
PlanId	bigint(19)	Plan ID	221814	Range: [1.00, 232993.00], Avg: 120111.93, Median: 121818.00
BudgetId	bigint(19)	Budget ID	215902	Range: [66.00, 219548.00], Avg: 110245.49, Median: 110512.00
OpenId	bigint(19)	Open ID	41988	Range: [10211.00, 105804.00], Avg: 40108.08, Median: 36479.00
EnrollID	bigint(19)	Enrollment ID (Program ID)	44473	Range: [10459.00, 298912.00], Avg: 57207.99, Median: 35547.00

15.2.10 tbl_QSIAssessments

15.2.10.1 Table Overview

- **Table Name:** tbl_QSIAssessments
- **Schema:** dbo
- **Number of Records:** 90,467
- **Number of Columns:** 61

15.2.10.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CASENO	bigint(19)	Consumer iConnect ID	53022	Range: [10184.00, 100332.00], Avg: 43620.79, Median: 40795.00
ABCPIN	varchar(50)	Legacy ABC PIN	45269	...
STATUS	varchar(100)	STATUS	5	{Pending, Open, Submitted, Complete, Draft}
REVIEW	varchar(100)	REVIEW	8	{Initial Application, Initial, As Needed, Other, Monthly, Update/Amended, Quarterly, Annual}

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Column Name	Data Type	Description	N	Statistics/Values
REVIEWDATE	datetime	REVIEWDATE	2668	Range: [2000-01-01, 2025-09-05]
RATER	varchar(100)	RATER	198	...
RaterID	bigint(19)	Rater ID	199	Range: [344.00, 51822.00], Avg: 7479.66, Median: 2123.00
COMMENTS	text(2147483647)	COMMENTS	0	All NULL values
APPROVEDBY	varchar(100)	APPROVED BY	205	...
APPROVEDATE	datetime	APPROVE DATE	2315	Range: [2018-12-04, 2025-09-05]
Q13a	varchar(50)	QSI Question 13a	3	{Yes, No, }
Q13b	varchar(50)	QSI Question 13b	3	{Yes, No, }
Q13c	varchar(50)	QSI Question 13c	3	{Yes, No, }
Q14	varchar(50)	QSI Question 14	5	{3, 2, 1, 0, 4}
Q15	varchar(50)	QSI Question 15	5	{3, 2, 1, 0, 4}
Q16	varchar(50)	QSI Question 16	5	{3, 2, 1, 0, 4}
Q17	varchar(50)	QSI Question 17	5	{3, 2, 1, 0, 4}
Q18	varchar(50)	QSI Question 18	5	{3, 2, 1, 0, 4}
Q19	varchar(50)	QSI Question 19	5	{3, 2, 1, 0, 4}
Q20	varchar(50)	QSI Question 20	5	{3, 2, 1, 0, 4}
Q21	varchar(50)	QSI Question 21	5	{3, 2, 1, 0, 4}
Q22	varchar(50)	QSI Question 22	5	{3, 2, 1, 0, 4}
Q23	varchar(50)	QSI Question 23	5	{3, 2, 1, 0, 4}
Q24	varchar(50)	QSI Question 24	5	{3, 2, 1, 0, 4}
Q25	varchar(50)	QSI Question 25	5	{3, 2, 1, 0, 4}
Q26	varchar(50)	QSI Question 26	5	{3, 2, 1, 0, 4}
Q27	varchar(50)	QSI Question 27	5	{3, 2, 1, 0, 4}
Q28	varchar(50)	QSI Question 28	5	{3, 2, 1, 0, 4}
Q29	varchar(50)	QSI Question 29	5	{3, 2, 1, 0, 4}
Q30	varchar(50)	QSI Question 30	5	{3, 2, 1, 0, 4}
Q31a	varchar(50)	QSI Question 31a	3	{Yes, No, }
Q31b	varchar(50)	QSI Question 31b	35	...
Q32	varchar(50)	QSI Question 32	5	{3, 2, 1, 0, 4}
Q33	varchar(50)	QSI Question 33	6	{3, 2, , 1, 0, 4}

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Column Name	Data Type	Description	N	Statistics/Values
Q34	varchar(50)	QSI Question 34	5	{3, 2, 1, 0, 4}
Q35	varchar(50)	QSI Question 35	5	{3, 2, 1, 0, 4}
Q36	varchar(50)	QSI Question 36	5	{3, 2, 1, 0, 4}
Q37	varchar(50)	QSI Quesiton 37	5	{3, 2, 1, 0, 4}
Q38	varchar(50)	QSI Question 38	5	{3, 2, 1, 0, 4}
Q39	varchar(50)	QSI Question 39	5	{3, 2, 1, 0, 4}
Q40	varchar(50)	QSI Question 40	5	{3, 2, 1, 0, 4}
Q41	varchar(50)	QSI Question 41	5	{3, 2, 1, 0, 4}
Q42	varchar(50)	QSI Question 42	5	{3, 2, 1, 0, 4}
Q43	varchar(50)	QSI Question 43	2	{0, 4}
Q44	varchar(50)	QSI Question 44	5	{3, 2, 1, 0, 4}
Q45	varchar(50)	QSI Question 45	5	{3, 2, 1, 0, 4}
Q46	varchar(50)	QSI Question 46	5	{3, 2, 1, 0, 4}
Q47	varchar(50)	QSI Question 47	5	{3, 2, 1, 0, 4}
Q48	varchar(50)	QSI Question 48	5	{3, 2, 1, 0, 4}
Q49	varchar(50)	QSI Question 49	5	{3, 2, 1, 0, 4}
Q50	varchar(50)	QSI Question 50	5	{3, 2, 1, 0, 4}
Q51a	varchar(50)	QSI Question 51a	3	{Yes, No, }
FLEVEL	varchar(50)	Functional Level	7	{3, 2, 6, , 1, 5, 4}
BLEVEL	varchar(50)	Behavioral Level	7	{3, 2, 6, , 1, 5, 4}
PLEVEL	varchar(50)	Physical Level	7	{3, 2, 6, , 1, 5, 4}
OLEVEL	varchar(50)	Overall Level	6	{Minimal, Intensive, , Moderate, Ex- tensive, Basic}
LOSRI	varchar(50)	Level of Support Rating	6	{3, 2, , 1, 5, 4}
DATETIMESTAMP	datetime	DATETIMESTAMP	87317	Range: [2018-12-04, 2025-09-05]
UserStamp	varchar(100)	UserStamp	216	...
AssessID	bigint(19)	QSI Assessment Form ID	90467	Range: [72322.00, 1396019.00], Avg: 633710.68, Median: 603107.00
LegacyAssessID	bigint(19)	Legacy QSI Assessment ID	41912	Range: [1.00, 171589.00], Avg: 44866.57, Median: 29605.00

15.2.11 tbl_QSIAssessmentsLegacy

15.2.11.1 Table Overview

- **Table Name:** tbl_QSIAssessmentsLegacy
- **Schema:** dbo
- **Number of Records:** 171,360
- **Number of Columns:** 55

15.2.11.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
ABCPIN	varchar(10)	Legacy ABC PIN	63886	...
STATUS	varchar(10)	STATUS	2	{Complete, Incomplete}
REVIEW	varchar(7)	REVIEW	3	{Annual, Initial, Unknown}
REVIEWDATE	datetime	REVIEW DATE	171358	Range: [2008-01-22, 2018-11-30]
RATER	varchar(61)	RATER	440	...
RATERID	int(10)	Rater ID	432	Range: [104.00, 3051.00], Avg: 749.33, Median: 354.00
APPROVEDBY	varchar(61)	APPROVED BY	429	...
CompletedDate	datetime	Completed Date	168640	Range: [2008-01-22, 2018-12-18]
Q14	int(10)	QSI Question 14	5	Range: [0.00, 4.00], Avg: 0.32, Median: 0.00
Q15	int(10)	QSI Question 15	5	Range: [0.00, 4.00], Avg: 0.17, Median: 0.00
Q16	int(10)	QSI Question 16	5	Range: [0.00, 4.00], Avg: 0.82, Median: 0.00
Q17	int(10)	QSI Question 17	5	Range: [0.00, 4.00], Avg: 0.72, Median: 0.00
Q18	int(10)	QSI Question 18	5	Range: [0.00, 4.00], Avg: 0.60, Median: 0.00

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Column Name	Data Type	Description	N	Statistics/Values
Q19	int(10)	QSI Question 19	5	Range: [0.00, 4.00], Avg: 1.40, Median: 1.00
Q20	int(10)	QSI Question 20	5	Range: [0.00, 4.00], Avg: 1.98, Median: 2.00
Q21	int(10)	QSI Question 21	5	Range: [0.00, 4.00], Avg: 1.63, Median: 1.00
Q22	int(10)	QSI Question 22	5	Range: [0.00, 4.00], Avg: 1.28, Median: 1.00
Q23	int(10)	QSI Question 23	5	Range: [0.00, 4.00], Avg: 2.59, Median: 3.00
Q24	int(10)	QSI Question 24	5	Range: [0.00, 4.00], Avg: 1.92, Median: 2.00
Q25	int(10)	QSI Question 25	5	Range: [0.00, 4.00], Avg: 0.92, Median: 0.00
Q26	int(10)	QSI Question 26	5	Range: [0.00, 4.00], Avg: 1.05, Median: 0.00
Q27	int(10)	QSI Question 27	5	Range: [0.00, 4.00], Avg: 0.80, Median: 0.00
Q28	int(10)	QSI Question 28	5	Range: [0.00, 4.00], Avg: 0.36, Median: 0.00
Q29	int(10)	QSI Question 29	5	Range: [0.00, 4.00], Avg: 0.50, Median: 0.00
Q30	int(10)	QSI Question 30	5	Range: [0.00, 4.00], Avg: 1.29, Median: 1.00
Q30a	int(10)	QSI Question 30a	2	Range: [0.00, 1.00], Avg: 0.10, Median: 0.00
Q30b	int(10)	QSI Question 30b	6	Range: [0.00, 5.00], Avg: 1.33, Median: 1.00
Q30bOther	varchar(50)	QSI Question 30bOther	441	...
Q31	int(10)	QSI Question 31	5	Range: [0.00, 4.00], Avg: 0.52, Median: 0.00

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Column Name	Data Type	Description	N	Statistics/Values
Q32	int(10)	QSI Question 32	5	Range: [0.00, 4.00], Avg: 0.30, Median: 0.00
Q33	int(10)	QSI Question 33	5	Range: [0.00, 4.00], Avg: 0.12, Median: 0.00
Q34	int(10)	QSI Question 34	5	Range: [0.00, 4.00], Avg: 0.26, Median: 0.00
Q35	int(10)	QSI Question 35	5	Range: [0.00, 4.00], Avg: 0.97, Median: 0.00
Q36	int(10)	QSI Question 36	5	Range: [0.00, 4.00], Avg: 0.71, Median: 0.00
Q37	int(10)	QSI Quesiton 37	5	Range: [0.00, 4.00], Avg: 0.61, Median: 0.00
Q38	int(10)	QSI Question 38	5	Range: [0.00, 4.00], Avg: 0.48, Median: 0.00
Q39	int(10)	QSI Question 39	5	Range: [0.00, 4.00], Avg: 0.19, Median: 0.00
Q40	int(10)	QSI Question 40	5	Range: [0.00, 4.00], Avg: 0.80, Median: 0.00
Q41	int(10)	QSI Question 41	5	Range: [0.00, 4.00], Avg: 1.08, Median: 1.00
Q42	int(10)	QSI Question 42	2	Range: [0.00, 4.00], Avg: 0.28, Median: 0.00
Q43	int(10)	QSI Question 43	5	Range: [0.00, 4.00], Avg: 2.05, Median: 2.00
Q43txt	varchar(200)	QSI Question 43txt	0	All NULL values
Q44	int(10)	QSI Question 44	5	Range: [0.00, 4.00], Avg: 0.18, Median: 0.00
Q45	int(10)	QSI Question 45	5	Range: [0.00, 4.00], Avg: 0.44, Median: 0.00
Q46	int(10)	QSI Question 46	5	Range: [0.00, 4.00], Avg: 0.89, Median: 1.00

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Column Name	Data Type	Description	N	Statistics/Values
Q47	int(10)	QSI Question 47	5	Range: [0.00, 4.00], Avg: 0.86, Median: 0.00
Q48	int(10)	QSI Question 48	5	Range: [0.00, 4.00], Avg: 0.42, Median: 0.00
Q49	int(10)	QSI Question 49	5	Range: [0.00, 4.00], Avg: 0.79, Median: 0.00
Q49a	int(10)	QSI Question 49a	2	Range: [0.00, 1.00], Avg: 0.03, Median: 0.00
FLEVEL	int(10)	Functional Level	7	Range: [0.00, 6.00], Avg: 3.35, Median: 3.00
BLEVEL	int(10)	Behavioral Level	7	Range: [0.00, 6.00], Avg: 2.82, Median: 2.00
PLEVEL	int(10)	Physical Level	7	Range: [0.00, 6.00], Avg: 2.08, Median: 2.00
OLEVEL	varchar(9)	Overall Level	6	{Basic, Extensive, Intensive, Minimal, Moderate, Unknown}
LOSRI	int(10)	Level of Support Rating	6	Range: [0.00, 5.00], Avg: 3.45, Median: 4.00
ASSESSID	int(10)	Assessment ID	171358	Range: [1.00, 171591.00], Avg: 85890.84, Median: 85894.00

15.2.12 tbl.QSIQuestions

15.2.12.1 Table Overview

- **Table Name:** tbl.QSIQuestions
- **Schema:** dbo
- **Number of Records:** 198
- **Number of Columns:** 5

15.2.12.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
QuestionID	varchar(4)	Question ID	42	...
Question	varchar(50)	Question	41	...
QuestionAssoc	int(10)	Question Association	7	Range: [0.00, 6.00], Avg: 1.96, Median: 2.00
QuestionAssocDescr	varchar(-1)	Question Association Description	3	{NO, Select, YES}
Descr	varchar(-1)	QSI Question (actual text)	172	...

15.2.13 tbl_Rates

15.2.13.1 Table Overview

- **Table Name:** tbl_Rates
- **Schema:** dbo
- **Number of Records:** 2,656
- **Number of Columns:** 21

15.2.13.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
ServiceCode	varchar(8000)		373	...
ServiceCodeiConnect	varchar(25)		373	...
UnitCost	numeric(10,2)		921	Range: [0.00, 33903.14], Avg: 1201.72, Median: 42.26
StartDate	datetime		10	Range: [2018-01-01, 2024-10-01]
EndDate	datetime		7	Range: [2020-06-30, 2024-09-30]
DateTimeStamp	datetime		729	Range: [2020-05-25, 2025-07-25]
AppType	varchar(20)		10	{3193a, 2309a, MGRTL, WH, 3113a, 3193b, 2309b, 3113b, 1539a, 2847a}

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Column Name	Data Type	Description	N	Statistics/Values
FundCode	varchar(25)		1	{APD}
Credential	varchar(50)		1	{}
RateType	varchar(100)		1	{}
MaxUnits	numeric(10,2)		0	All NULL values
Max1	numeric(10,2)		0	All NULL values
Max2	numeric(10,2)		0	All NULL values
UserStamp	int(10)		7	Range: [-1.00, 19606.00], Avg: 2143.29, Median: -1.00
BaseCost	numeric(10,2)		0	All NULL values
ProviderRateType	varchar(100)		2	{Agency, Solo}
InternalProgram	varchar(-1)		8	{Geographic, Geographic—Monroe—Non-Geographic, Geographic—Non-Geographic, Geographic—Non-Geographic—Monroe, Monroe, Monroe—Geographic, Monroe—Geographic—Non-Geographic, Non-Geographic}
ConsumerCounty	varchar(-1)		30	...
ServiceRatio	varchar(500)		12	...
ServiceCodeUnitCostID	bigint(19)		2656	Range: [2416.00, 5731.00], Avg: 3923.43, Median: 3745.00
ServiceCodesId	bigint(19)		373	Range: [3038.00, 5987.00], Avg: 5828.04, Median: 5835.00

15.2.14 tbl_SANs

15.2.14.1 Table Overview

- **Table Name:** tbl_SANs
- **Schema:** dbo

- **Number of Records:** 44,750
- **Number of Columns:** 37

15.2.14.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
CaseNo	bigint(19)	Consumer iConnect ID	22521	Range: [10184.00, 100198.00], Avg: 43455.59, Median: 41284.00
SanID	bigint(19)	SAN ID	44750	Range: [47.00, 45349.00], Avg: 22846.22, Median: 22840.00
Division	varchar(100)	Division	1	{APD}
Type	varchar(100)	Type	2	{Permanent, Temporary}
SANDueToUpdatedAlgorithm	varchar(100)	SAN Due To Updated Algorithm	3	{, No, Yes}
Reason	varchar(100)	Reason	4	{, Algorithm for New Waiver Enrollee, Algorithm Recalculated due to a SAN Request, New algorithm recalculated for Annual Support Plan}
Status	varchar(100)	Status	19	...
PlanID	bigint(19)	Plan ID	33265	Range: [2.00, 232901.00], Avg: 112026.47, Median: 110887.00
WSC	varchar(100)	WSC	1544	...
StateOfficeReviewer	varchar(100)	State Office Reviewer	47	...
DateInitiated	datetime	Date Initiated	44746	Range: [2020-06-08, 2025-09-11]
SubmissionDate	datetime	Submission Date	1899	Range: [2020-06-10, 2025-09-11]
RAIDate	datetime	Request for Additional InformationDate	1039	Range: [2020-09-17, 2025-09-11]
DueDate	datetime	Due Date	1899	Range: [2020-07-10, 2025-10-11]
60DaysDate	datetime	Date 30 Days From Request Date	1899	Range: [2020-08-09, 2025-11-10]
30DaysDate	datetime	Date 60 Days From Request Date	1899	Range: [2020-07-10, 2025-10-11]
CurrentBudget	numeric(19,2)	Current Budget	32500	Range: [396.62, 371016.28], Avg: 56883.32, Median: 49648.08
AlgorithmAmount	numeric(19,2)	Algorithm Amount	21766	Range: [0.00, 38767319.00], Avg: 28917.36, Median: 21058.28

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Column Name	Data Type	Description	N	Statistics/Values
AmountUnAuthorized	numeric(19,2)	AmountUnAuthorized	31078	Range: [-195961.44, 361590.28], Avg: 8242.85, Median: 1080.72
BudgetSource	varchar(500)	Budget Source	43692	...
LastRefresh	varchar(100)	Last Refresh Of Current Budget Info	36411	...
WSCProposedBudget	numeric(19,2)	WSC Proposed Budget	33344	Range: [0.00, 77596065.79], Avg: 89286.18, Median: 59138.52
WSCProposedProratedIncrease	numeric(19,2)	WSC Proposed Prorated Increase	33086	Range: [-326322.43, 77579761.18], Avg: 32423.61, Median: 4518.22
WSCProposedAnnualizedBudget	numeric(19,2)	WSC Proposed Annualized Budget	29171	Range: [0.00, 1383845675.78], Avg: 325457.09, Median: 73083.00
WSCProposedAnnualizedIncrease	numeric(19,2)	WSC Proposed Annualized Increase	32244	Range: [-281998.92, 1383814722.32], Avg: 268594.53, Median: 16191.20
StateProposedProratedBudget	numeric(19,2)	State Proposed Prorated Budget	27557	Range: [0.00, 371016.28], Avg: 53778.63, Median: 48264.74
StateProposedProratedIncrease	numeric(19,2)	State Proposed Prorated Increase	34028	Range: [-371016.28, 308564.92], Avg: -3083.93, Median: 1392.20
StateProposedAnnualizedBudget	numeric(19,2)	State Proposed Annualized Budget	22686	Range: [0.00, 375679.24], Avg: 59522.81, Median: 56647.32
StateProposedAnnualizedIncrease	numeric(19,2)	State Proposed Annualized Increase	33474	Range: [-371016.28, 303929.97], Avg: 2660.25, Median: 3266.64
Recommendation	varchar(100)	Recommendation	4	{, Approved, Denied, Partial Approval}
PersonMakingRecommnd	varchar(100)	Person Making Recommendation	31	...
RecommendationDate	datetime	Recommendation Date	1428	Range: [2020-07-10, 2025-09-11]
Decision	varchar(100)	Decision	5	{, Approved, Denied, Partially Approved, Pending}
Decisionby	varchar(100)	Decision by	17	...
DateNoticeSent	datetime	Date Notice Sent	1292	Range: [2020-05-22, 2025-09-11]
DateTimeStamp	datetime	DateTimeStamp	44750	Range: [2020-06-08, 2025-09-11]
UserStamp	varchar(100)	UserStamp	1253	...

15.2.15 tbl_ServiceCodes

15.2.15.1 Table Overview

- **Table Name:** tbl_ServiceCodes
- **Schema:** dbo
- **Number of Records:** 373
- **Number of Columns:** 24

15.2.15.2 Column Details

Column Name	Data Type	Description	N	Statistics/Values
ServiceCode	varchar(25)		373	...
ServiceCodeiConnect	varchar(25)		373	...
UnitType	varchar(100)		8	{15 mins, Day, Hour, Item, Mile, Month, Trip, Units}
Service	varchar(100)		372	...
SecondaryCode	varchar(25)		370	...
ServiceCategory	varchar(100)		18	...
ServiceType	varchar(100)		6	{DD Waiver, GR, NCS, RmBd, SSBG, Supplies & Equipments}
Active	bit		2	Range: [0.00, 1.00], Avg: 0.83, Median: 1.00
EffectiveDate	datetime		11	Range: [2017-01-01, 2024-07-01]
InvoiceGroup	varchar(50)		2	{A, None}
AuthRequ	bit		2	Range: [0.00, 1.00], Avg: 0.96, Median: 1.00
AllowDuplicates	bit		1	Range: [0.00, 0.00], Avg: 0.00, Median: 0.00
RequiresDiagnosis	bit		1	Range: [0.00, 0.00], Avg: 0.00, Median: 0.00

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Column Name	Data Type	Description	N	Statistics/Values
AuthAllowed	bit		1	Range: [1.00, 1.00], Avg: 1.00, Median: 1.00
AllowPartialUnits	bit		1	Range: [0.00, 0.00], Avg: 0.00, Median: 0.00
HighAge	float(53)		5	Range: [17.00, 99.00], Avg: 76.98, Median: 99.00
LowAge	float(53)		5	Range: [2.00, 22.00], Avg: 12.59, Median: 18.00
TPLAction	bit		2	Range: [0.00, 1.00], Avg: 0.39, Median: 0.00
MedicaidCovered	bit		2	Range: [0.00, 1.00], Avg: 0.40, Median: 0.00
ServiceCodesId	int(10)		373	Range: [3038.00, 5987.00], Avg: 5780.27, Median: 5801.00
MaxUnitLimit	int(10)		22	Range: [1.00, 366.00], Avg: 53.41, Median: 4.00
UnitLimitFrequency	varchar(50)		6	{, Day, Fiscal Year, Month, Week, Year}
MaxAmountLimit	numeric(9,2)		7	Range: [43.82, 3000.00], Avg: 575.79, Median: 273.89
AmountLimitFrequency	varchar(50)		4	{, Day, Fiscal Year, Month}

15.3 Histograms

15.3.1 tbl_Budgets.CaseNo

Consumer iConnect ID

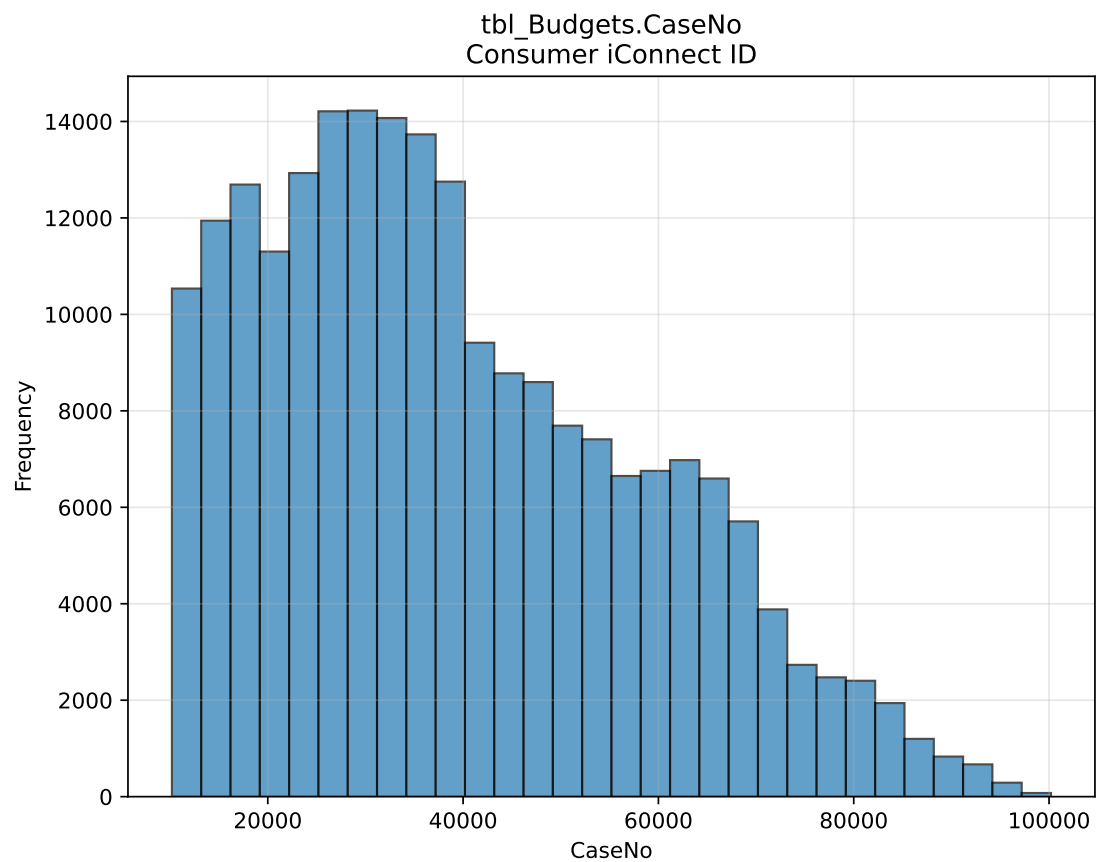


Figure 15.3-1: Distribution of CaseNo in tbl_Budgets

15.3.2 tbl_Budgets.BudgetID

Budget ID

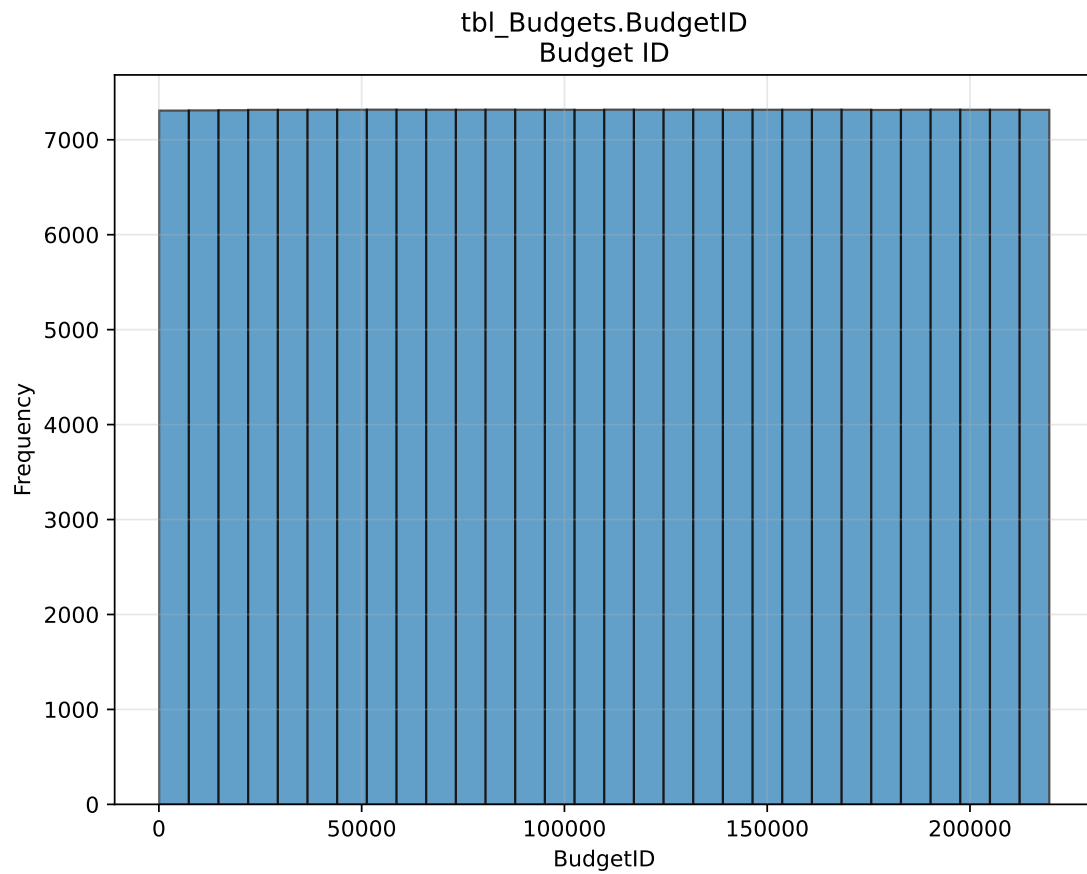


Figure 15.3-2: Distribution of BudgetID in tbl_Budgets

15.3.3 tbl_Budgets.ApprovedBy

Approved By APD Staff Name

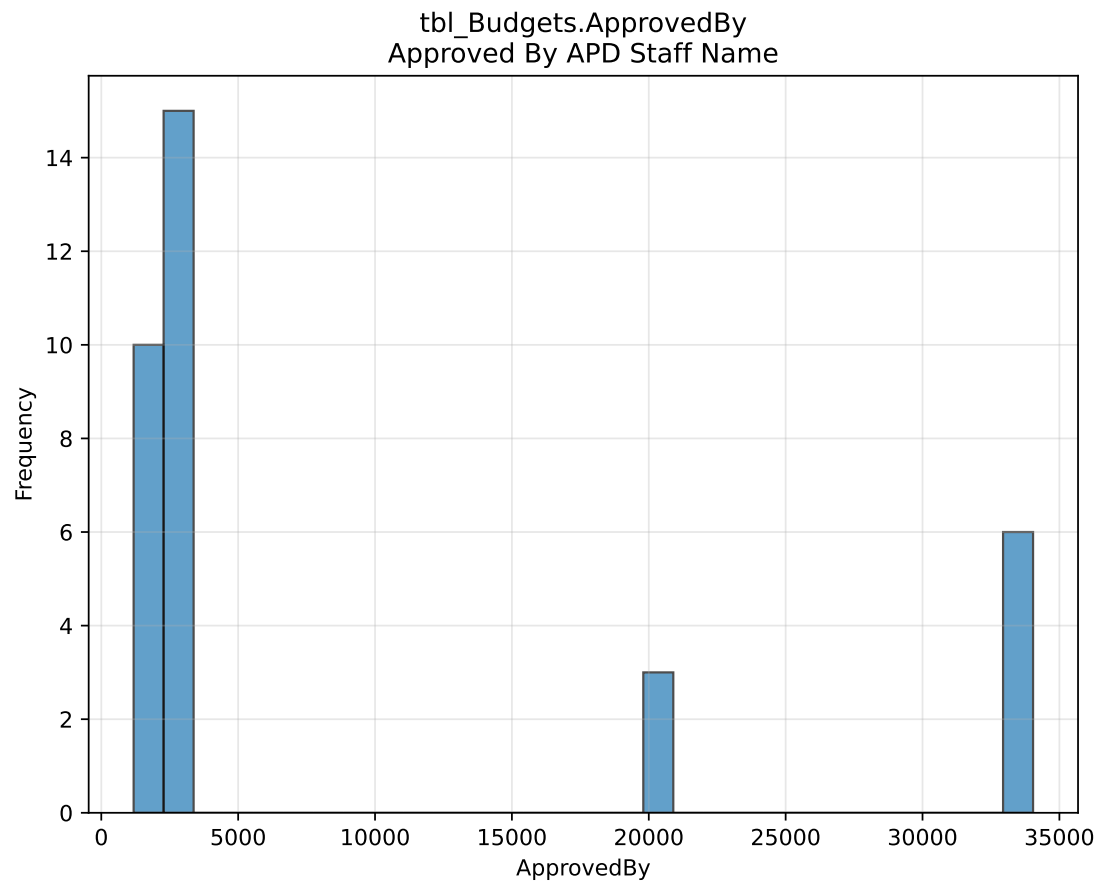


Figure 15.3-3: Distribution of ApprovedBy in tbl_Budgets

15.3.4 tbl_Budgets.BudgetAmount

Budget Amount

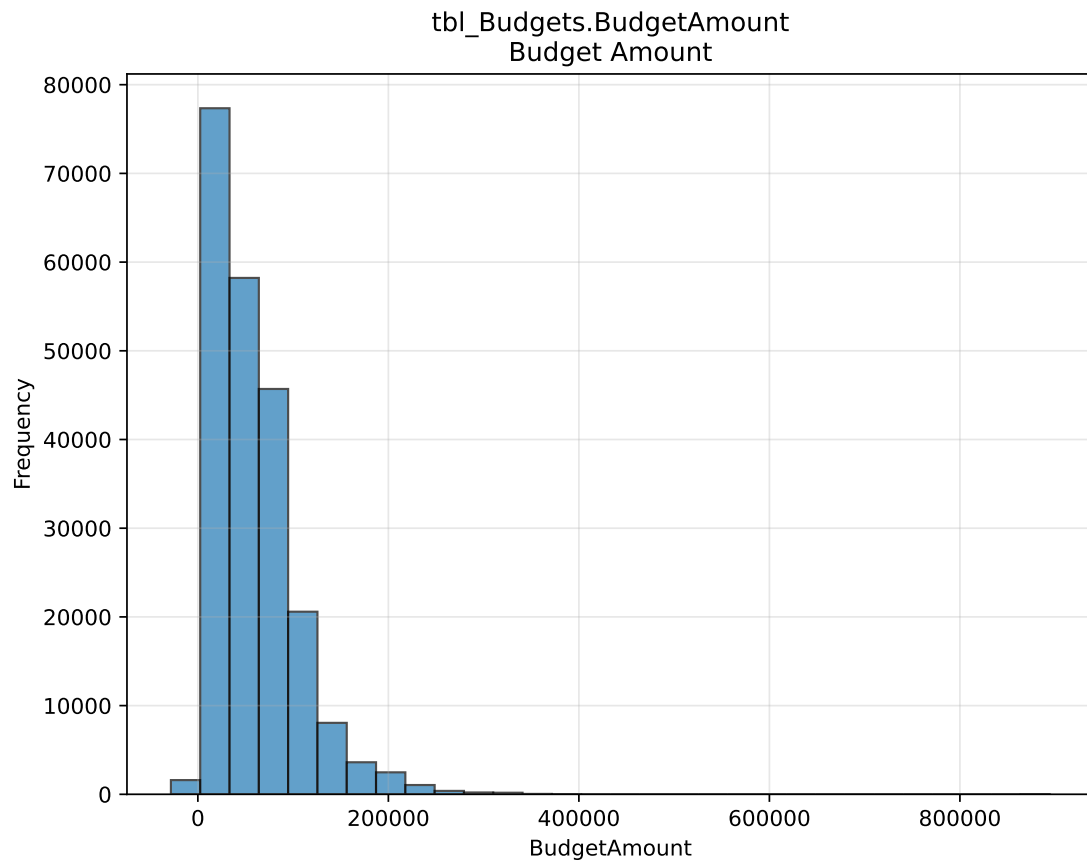


Figure 15.3-4: Distribution of BudgetAmount in tbl_Budgets

15.3.5 tbl_Budgets.AnnualizedAmount

Annualized Budget Amount

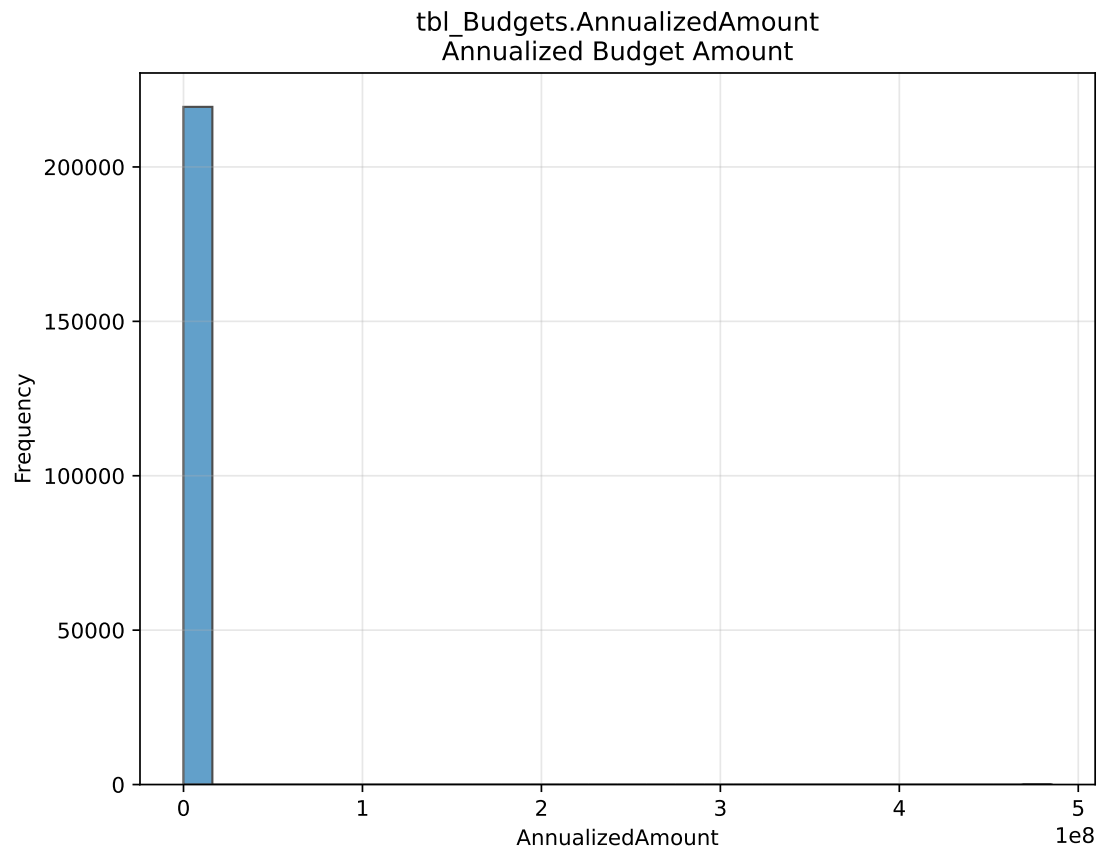


Figure 15.3-5: Distribution of AnnualizedAmount in tbl_Budgets

15.3.6 tbl_Budgets.AmountEncumbered

Amount Encumbered

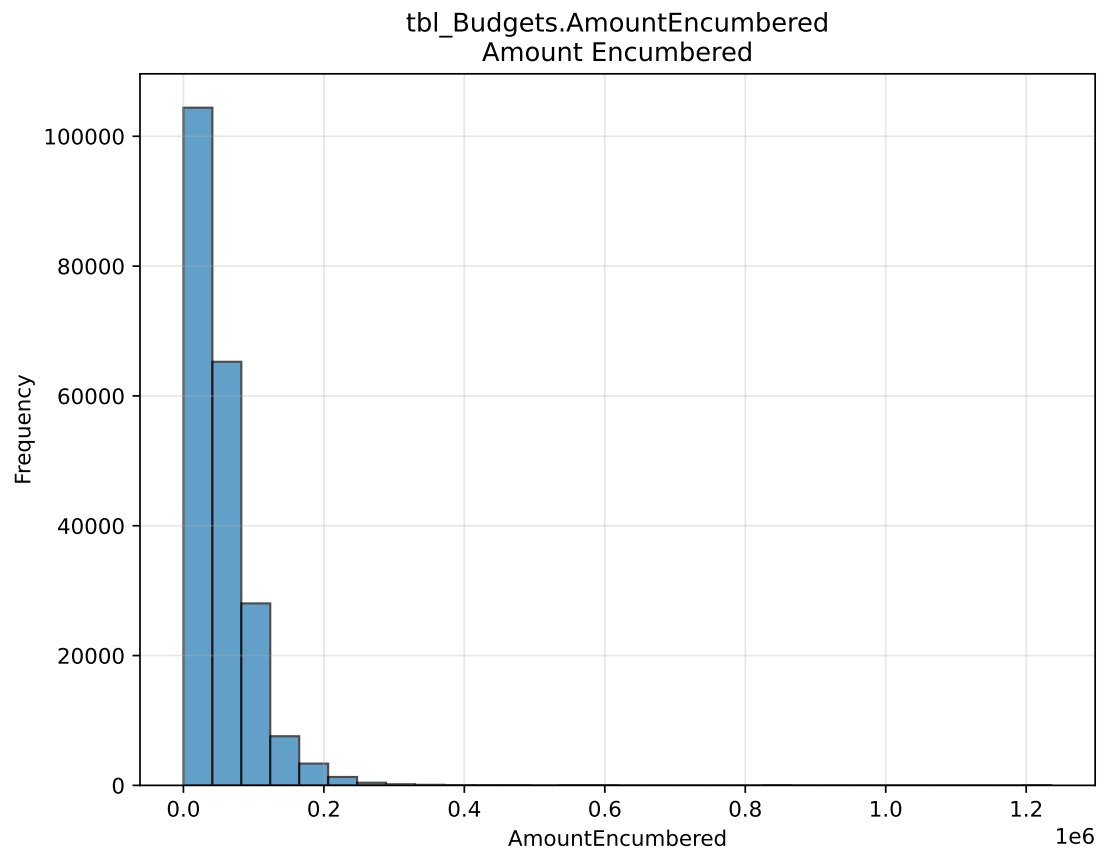


Figure 15.3-6: Distribution of AmountEncumbered in tbl.Budgets

15.3.7 tbl_Budgets.AmountUnauthorized

Amount Unauthorized

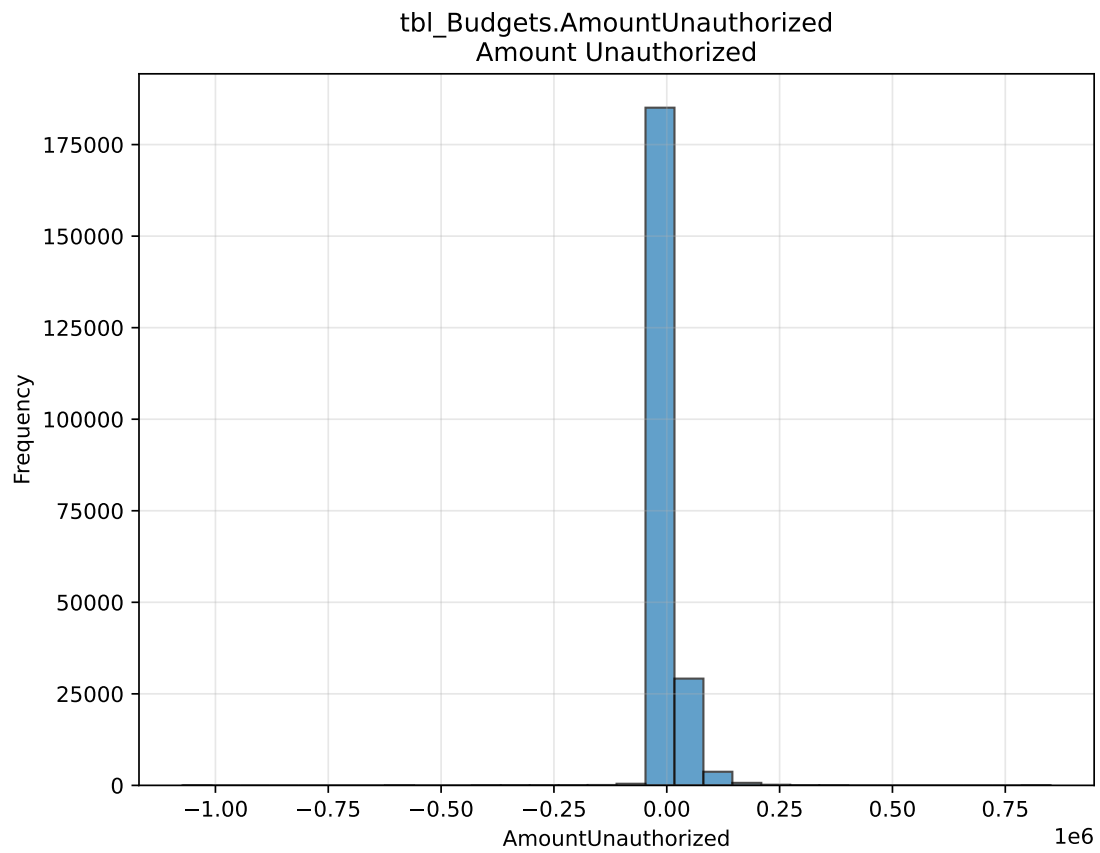


Figure 15.3-7: Distribution of AmountUnauthorized in tbl.Budgets

15.3.8 tbl_Budgets.PrioriBudgetAmount

Priori Budget Amount

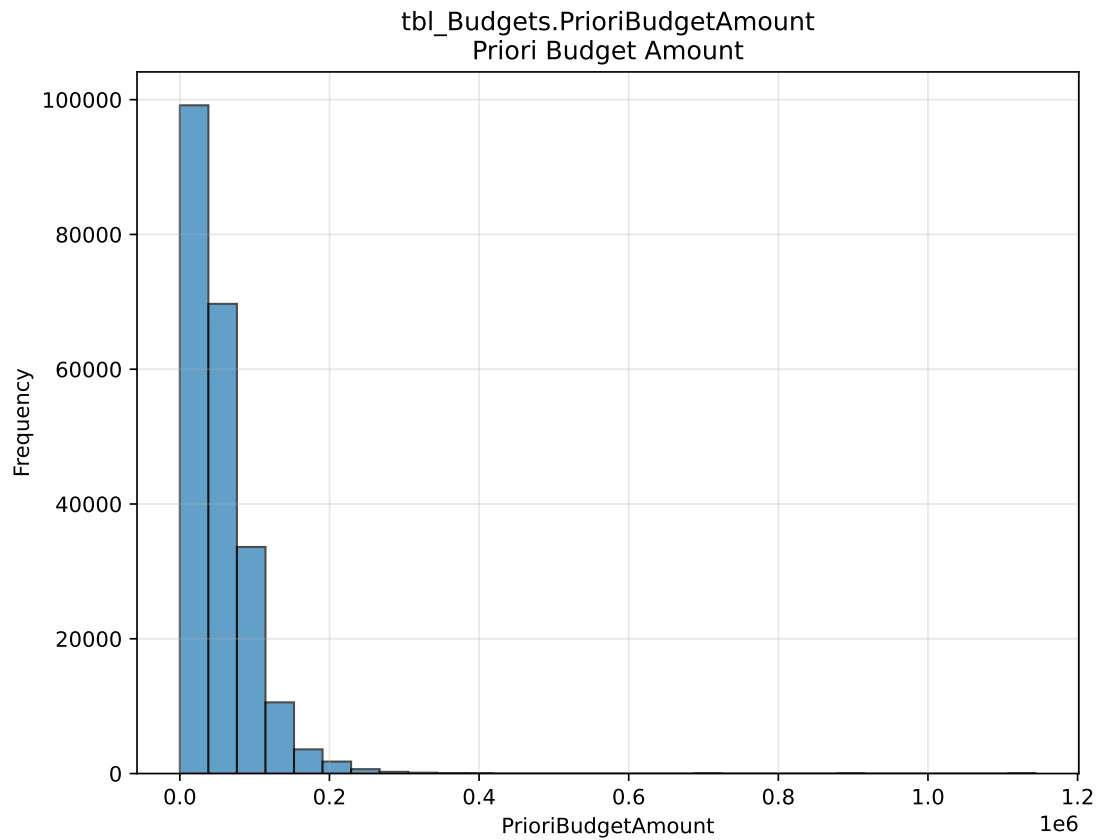


Figure 15.3-8: Distribution of PrioriBudgetAmount in tbl_Budgets

15.3.9 tbl_Claims_MMIS.CaseNo

Consumer iConnect ID

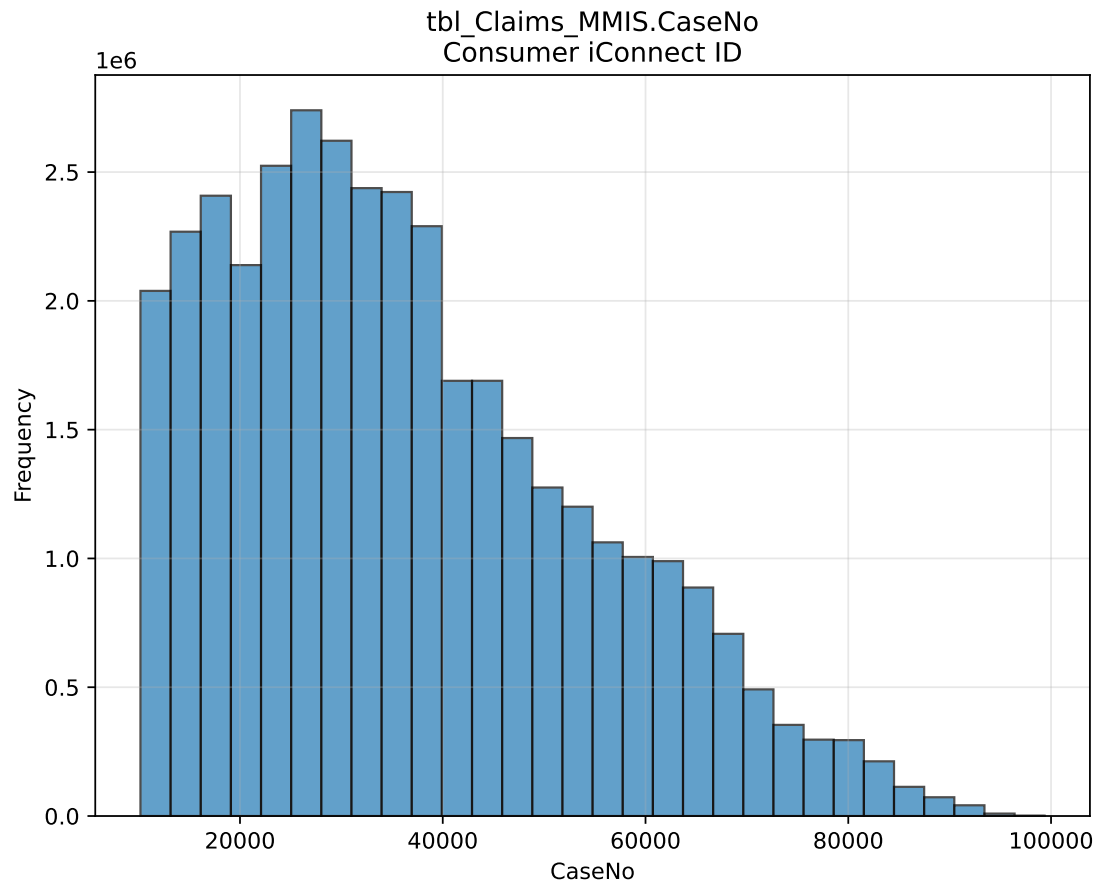


Figure 15.3-9: Distribution of CaseNo in tbl_Claims_MMIS

15.3.10 tbl_Claims_MMIS.Units

Units

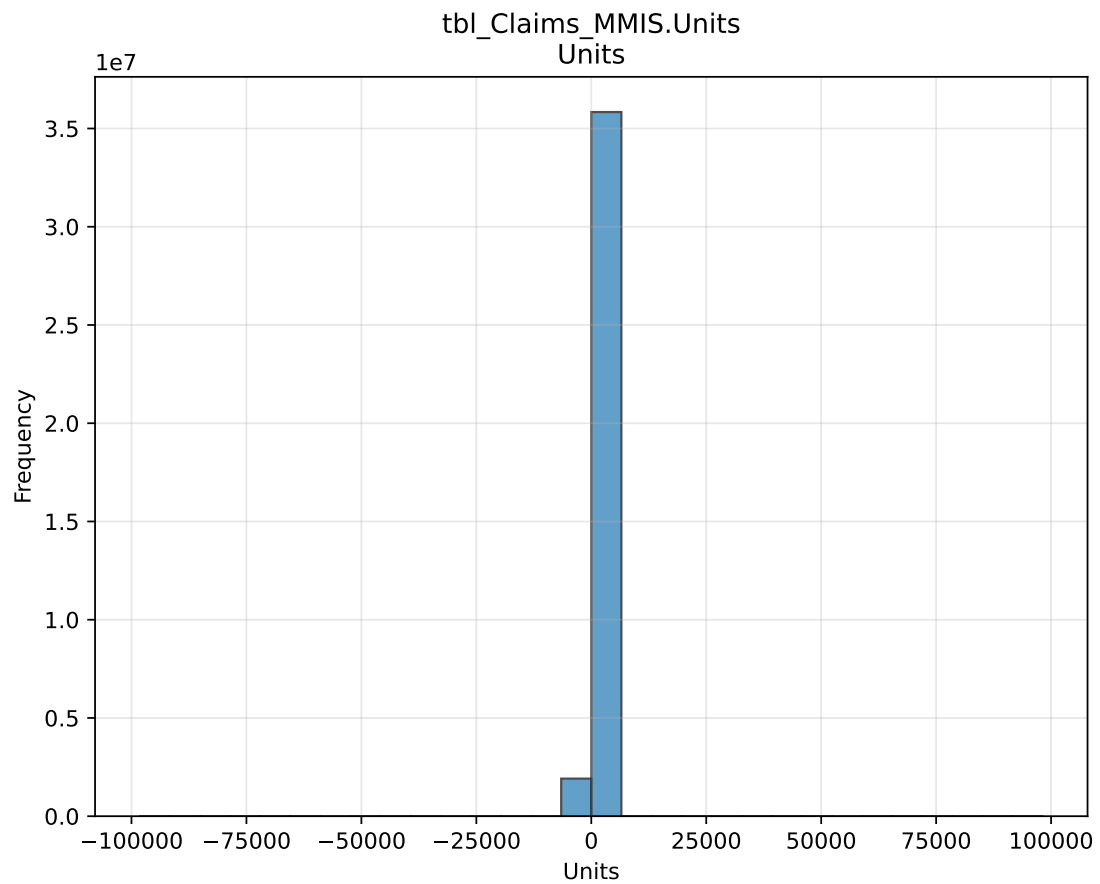


Figure 15.3-10: Distribution of Units in tbl_Claims_MMIS

15.3.11 tbl_Claims_MMIS.BilledAmt

Billed Amount

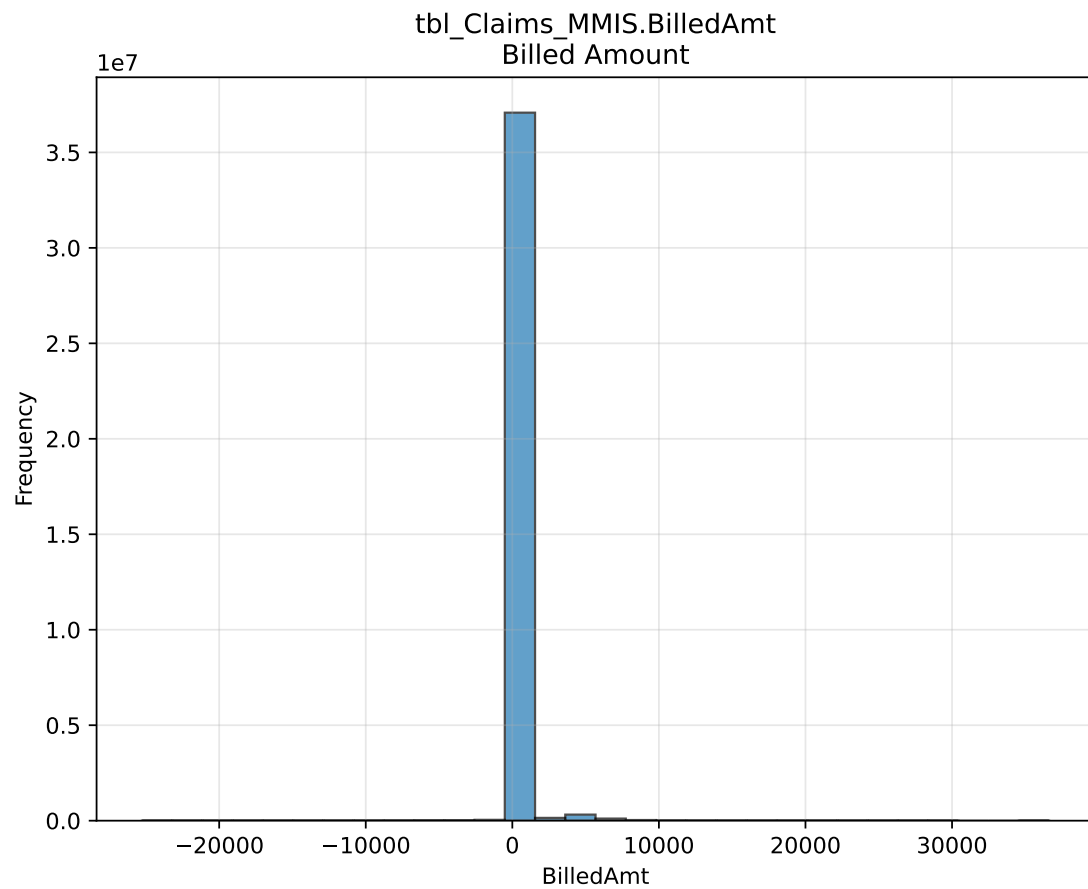


Figure 15.3-11: Distribution of BilledAmt in tbl_Claims_MMIS

15.3.12 tbl_Claims_MMIS.PaidAmt

Paid Amount

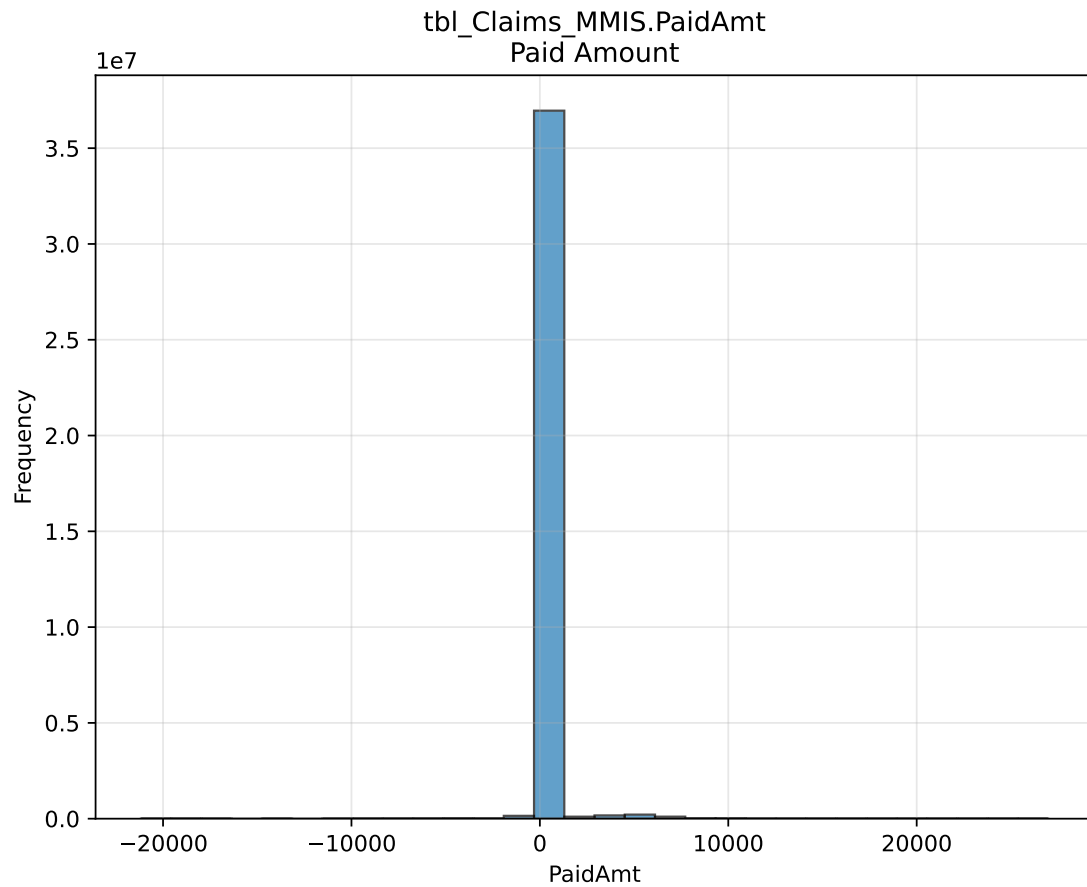


Figure 15.3-12: Distribution of PaidAmt in tbl_Claims_MMIS

15.3.13 tbl_Claims_MMIS.Id

Claim ID

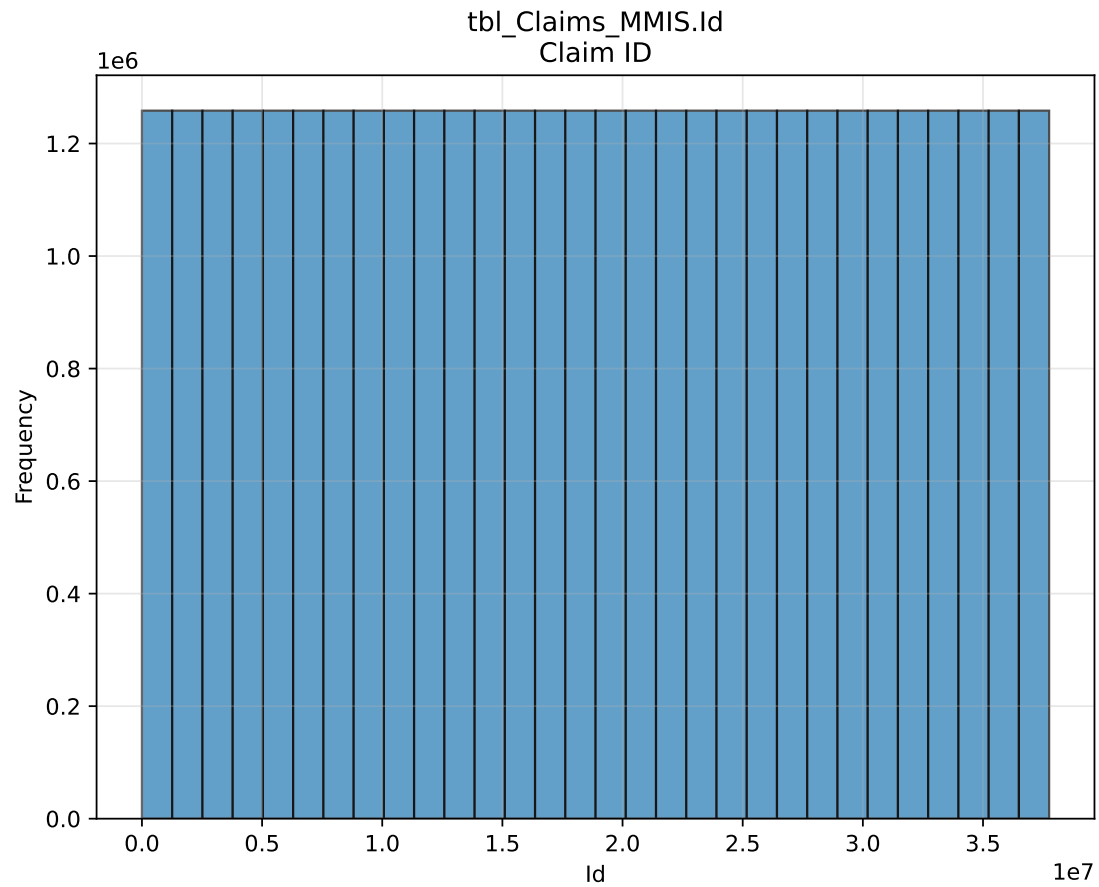


Figure 15.3-13: Distribution of Id in tbl_Claims_MMIS

15.3.14 tbl_ConsumerContacts.CONTACTID

Contact ID

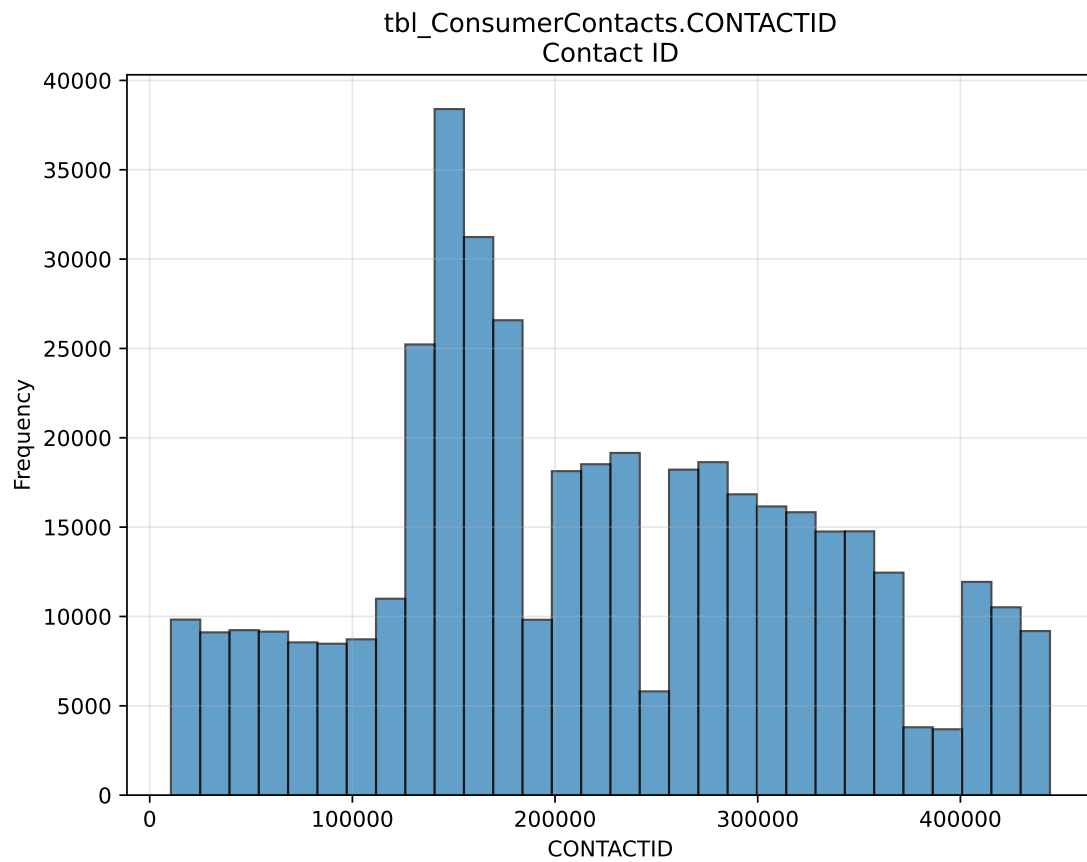


Figure 15.3-14: Distribution of CONTACTID in tbl_ConsumerContacts

15.3.15 tbl_ConsumerContacts.CASENO

Consumer iConnect ID

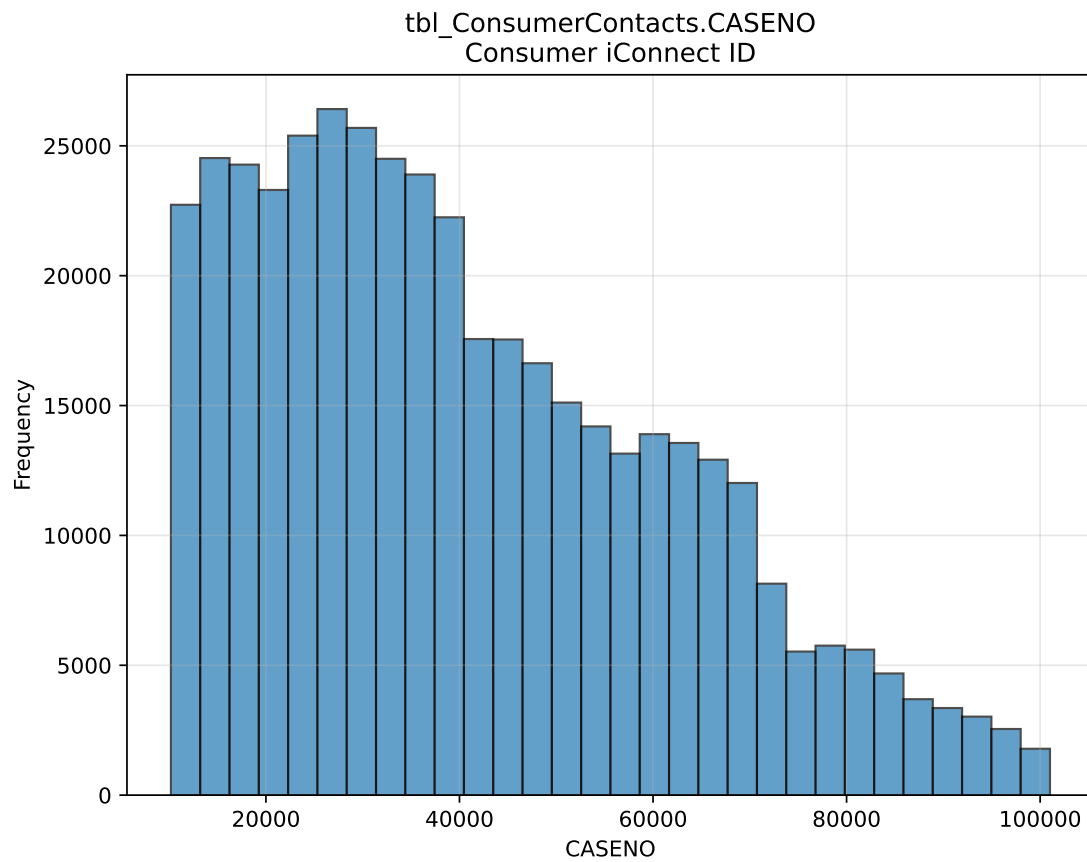


Figure 15.3-15: Distribution of CASENO in tbl_ConsumerContacts

15.3.16 tbl_ConsumerContacts.Active

Active

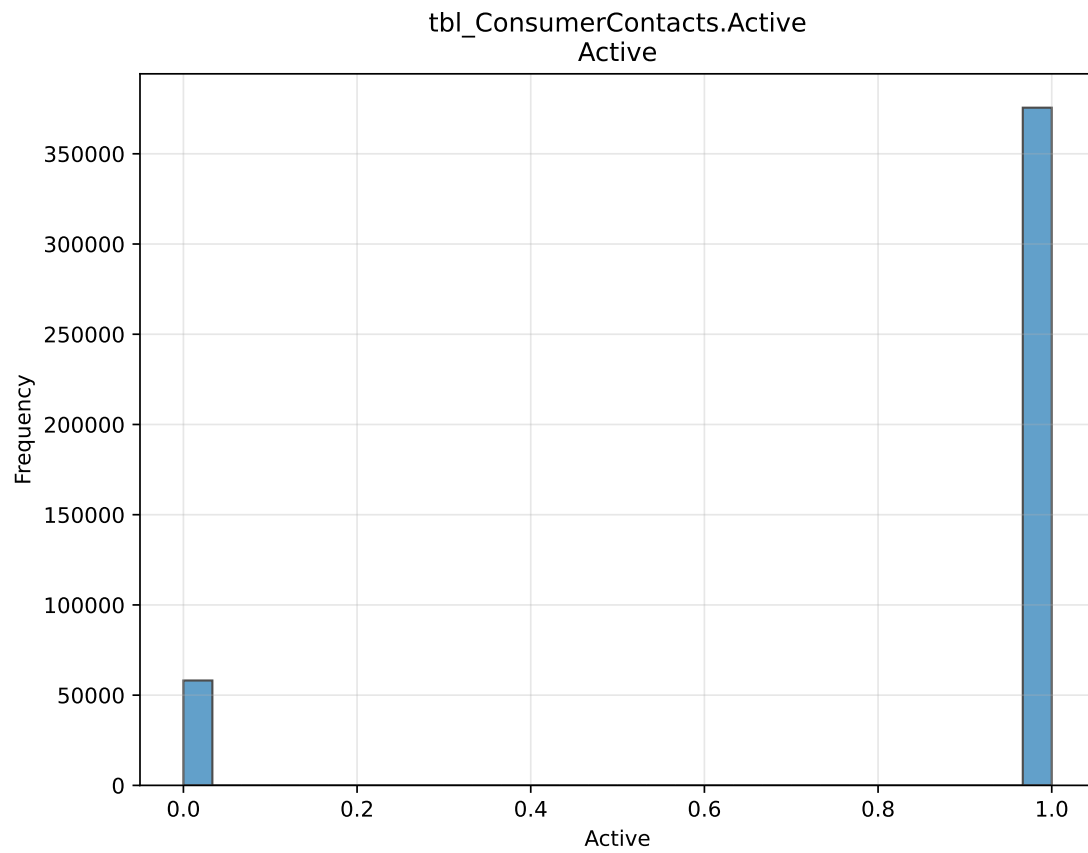


Figure 15.3-16: Distribution of Active in tbl_ConsumerContacts

15.3.17 tbl_ConsumerContacts.RECID

Record ID

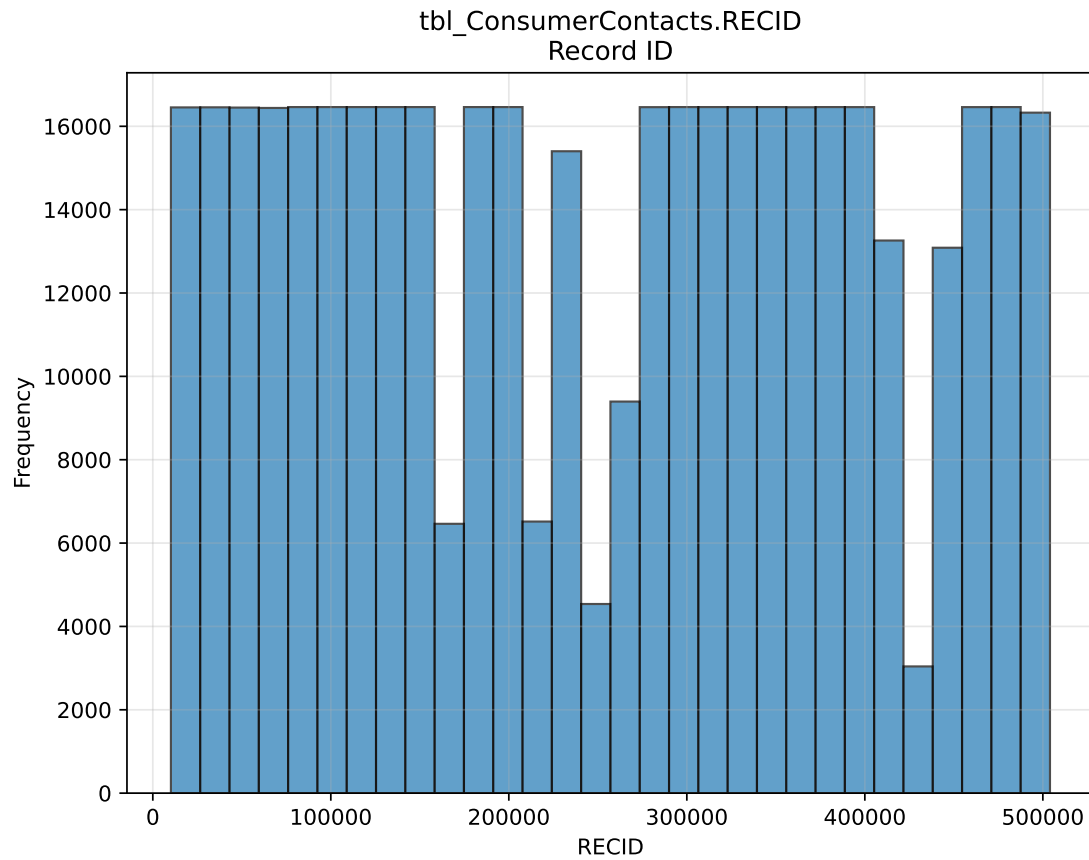


Figure 15.3-17: Distribution of RECID in tbl_ConsumerContacts

15.3.18 tbl_Consumers.CASENO

Consumer iConnect ID

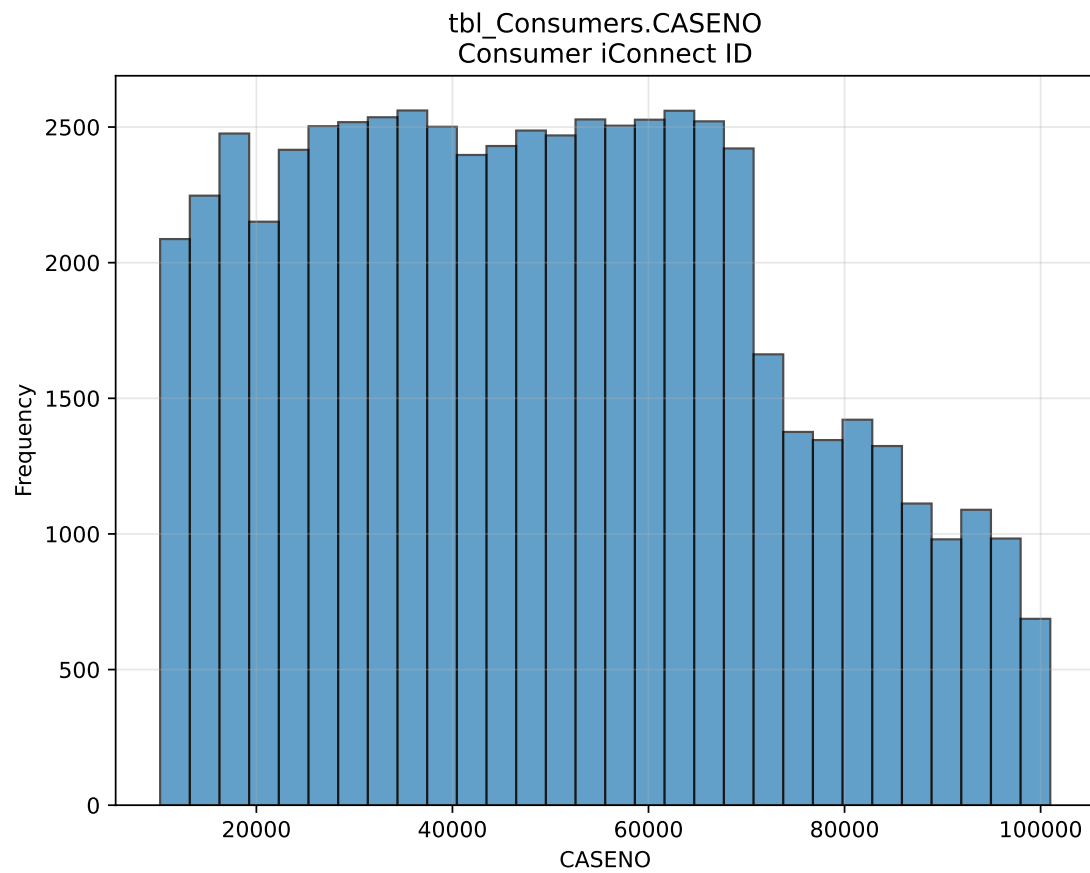


Figure 15.3-18: Distribution of CASENO in tbl_Consumers

15.3.19 tbl_Consumers.CBCFlag

CBC Flag- Identifies if the Consumer has enrolled in CBC Program

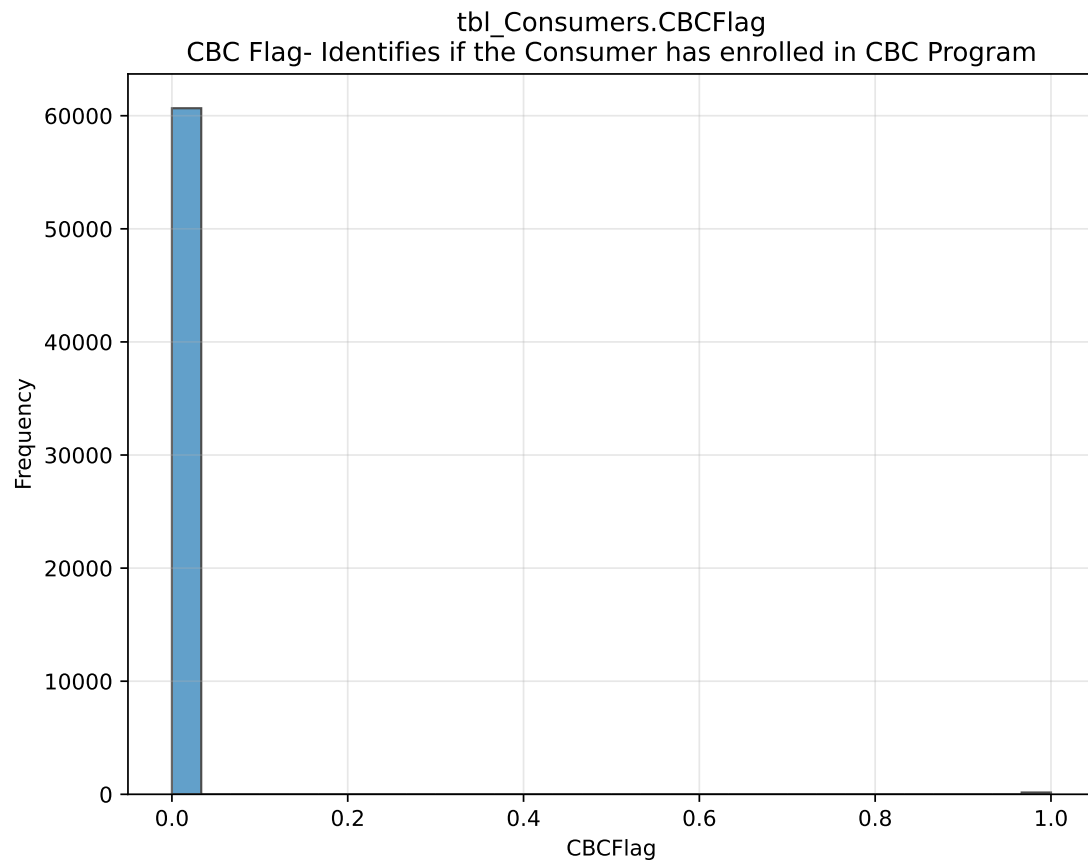


Figure 15.3-19: Distribution of CBCFlag in tbl_Consumers

15.3.20 tbl_Consumers.ANNUALINCOME

ANNUAL INCOME

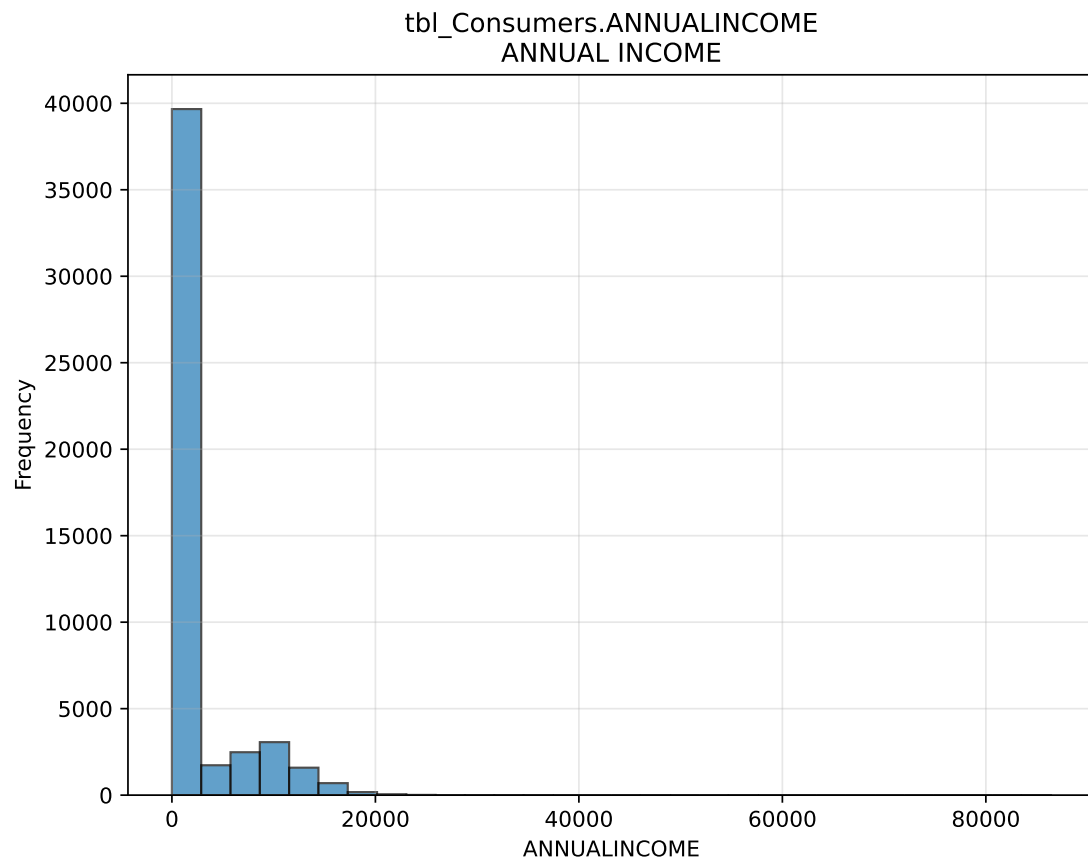


Figure 15.3-20: Distribution of ANNUALINCOME in tbl_Consumers

15.3.21 tbl_Consumers.OPENID

Open ID

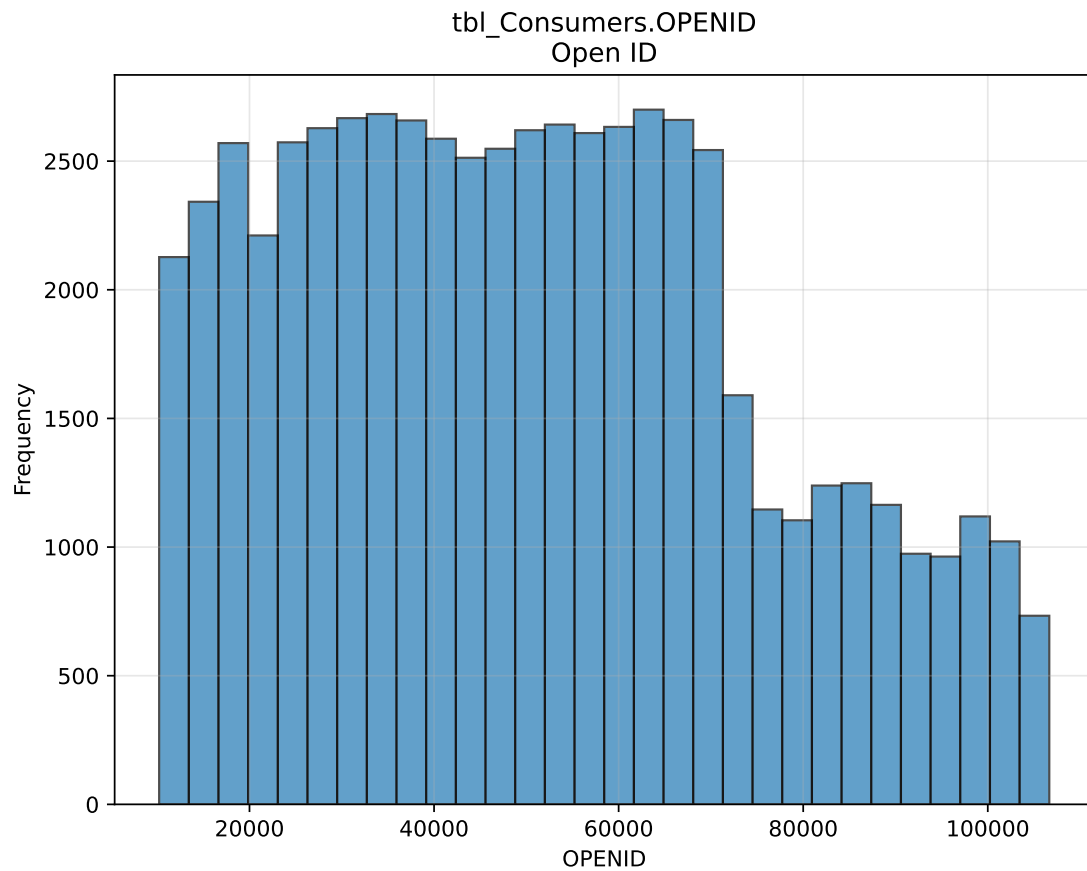


Figure 15.3-21: Distribution of OPENID in tbl_Consumers

15.3.22 tbl_Consumers.PRIMARYWORKERID

Primary Worker ID

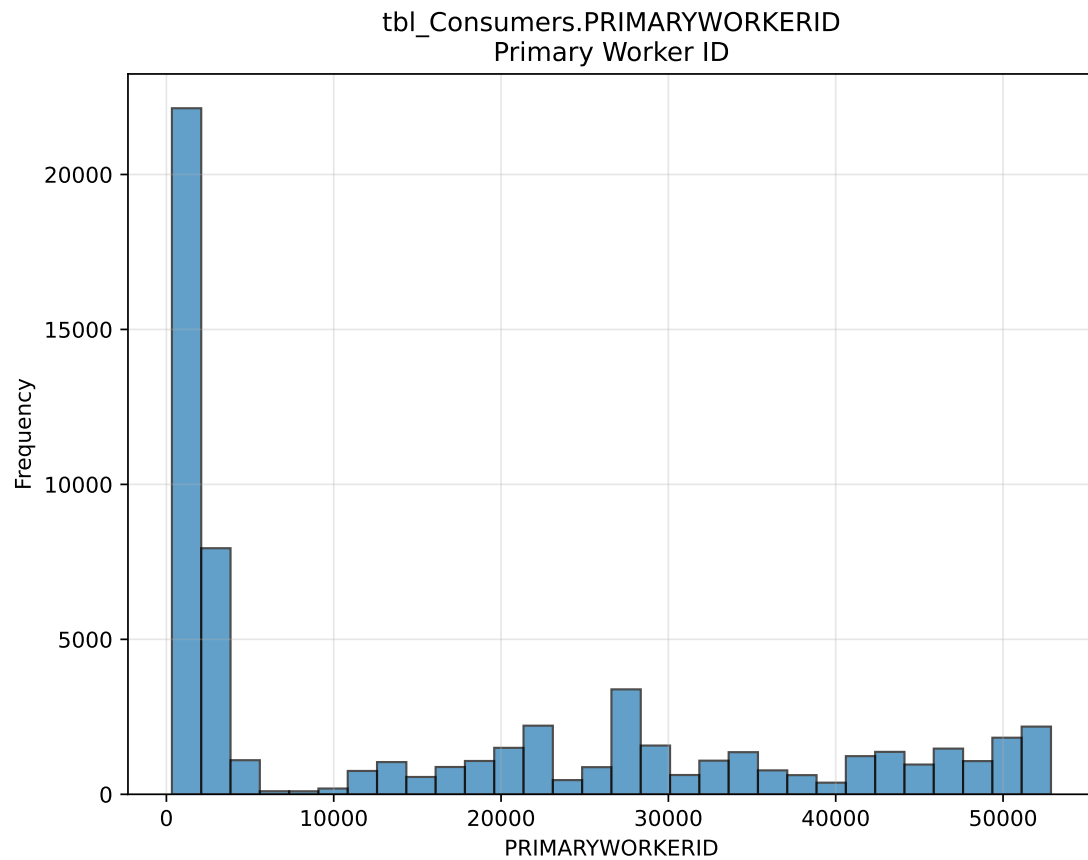


Figure 15.3-22: Distribution of PRIMARYWORKERID in tbl_Consumers

15.3.23 tbl_Consumers.SECONDWORKERID

Secondary Worker ID

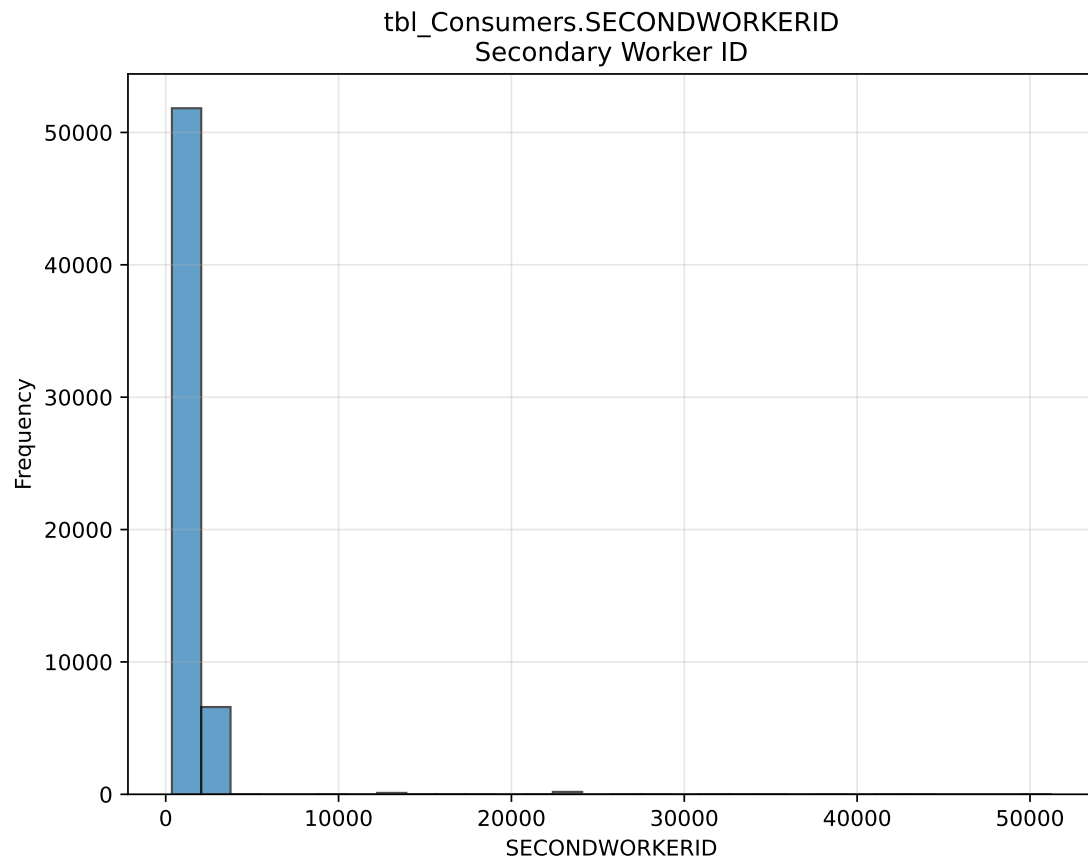


Figure 15.3-23: Distribution of SECONDWORKERID in tbl_Consumers

15.3.24 tbl_Consumers.CONTACTID

Contact ID

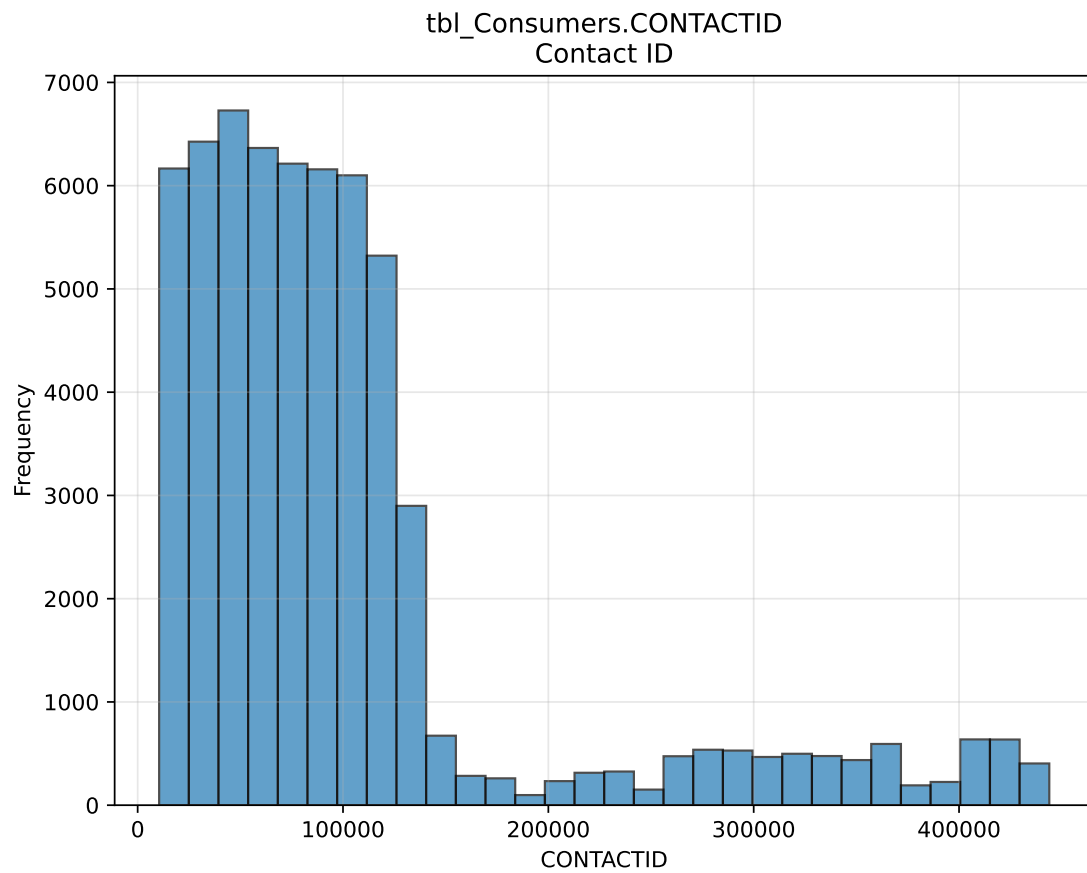


Figure 15.3-24: Distribution of CONTACTID in tbl_Consumers

15.3.25 tbl_Consumers.Id

Id

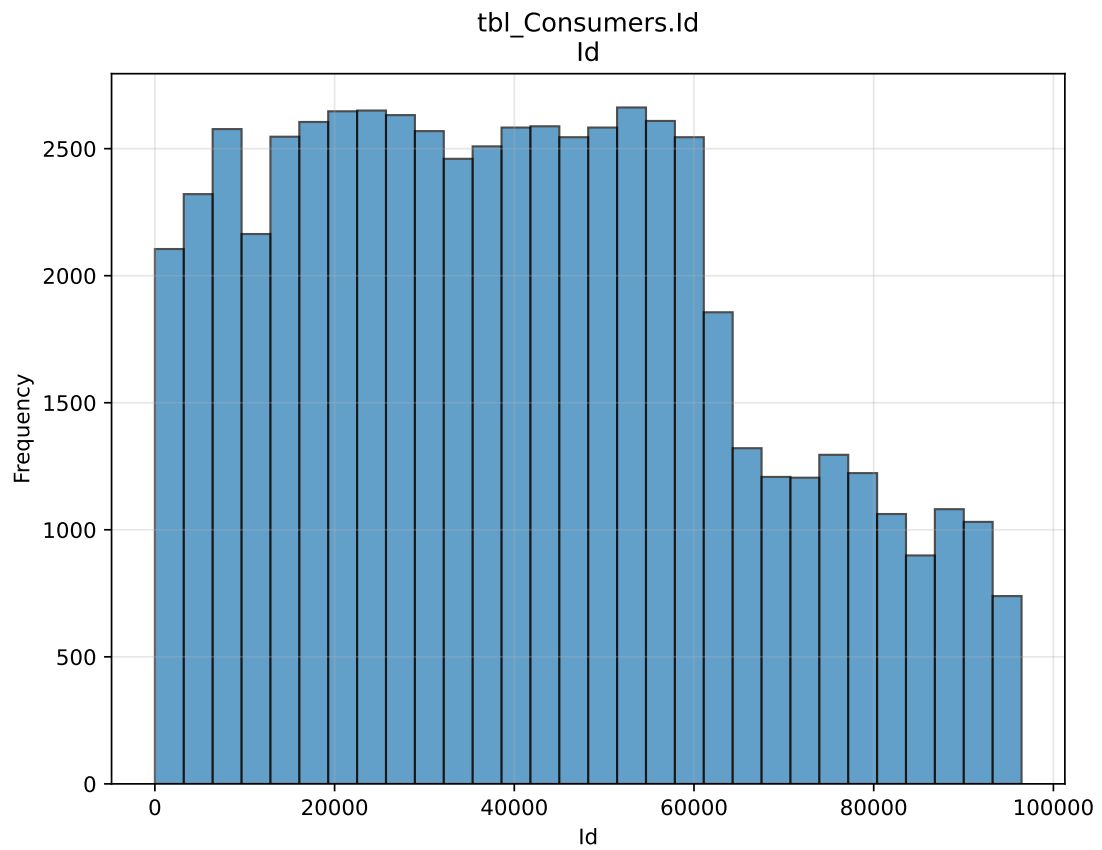


Figure 15.3-25: Distribution of Id in tbl_Consumers

15.3.26 tbl_Diagnosis.CASENO

Consumer iConnect ID

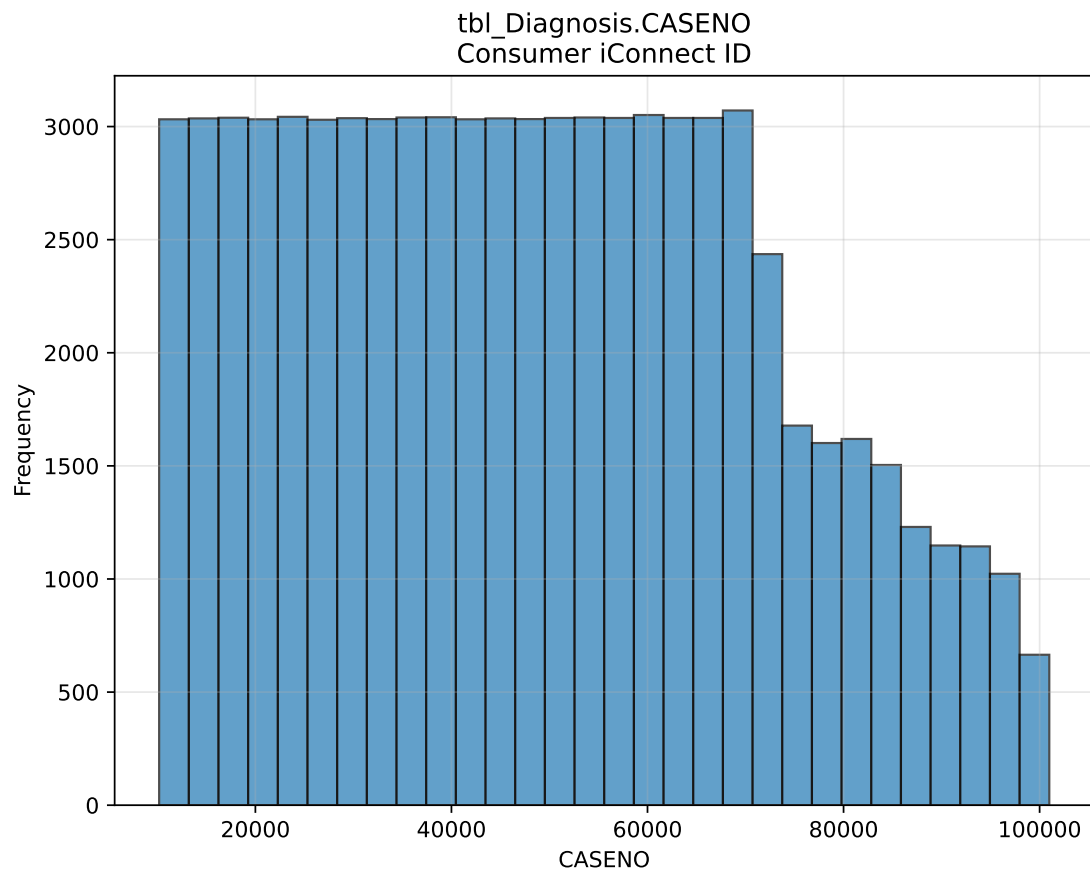


Figure 15.3-26: Distribution of CASENO in tbl_Diagnosis

15.3.27 tbl_Diagnosis.DiagnosisID

Diagnosis ID

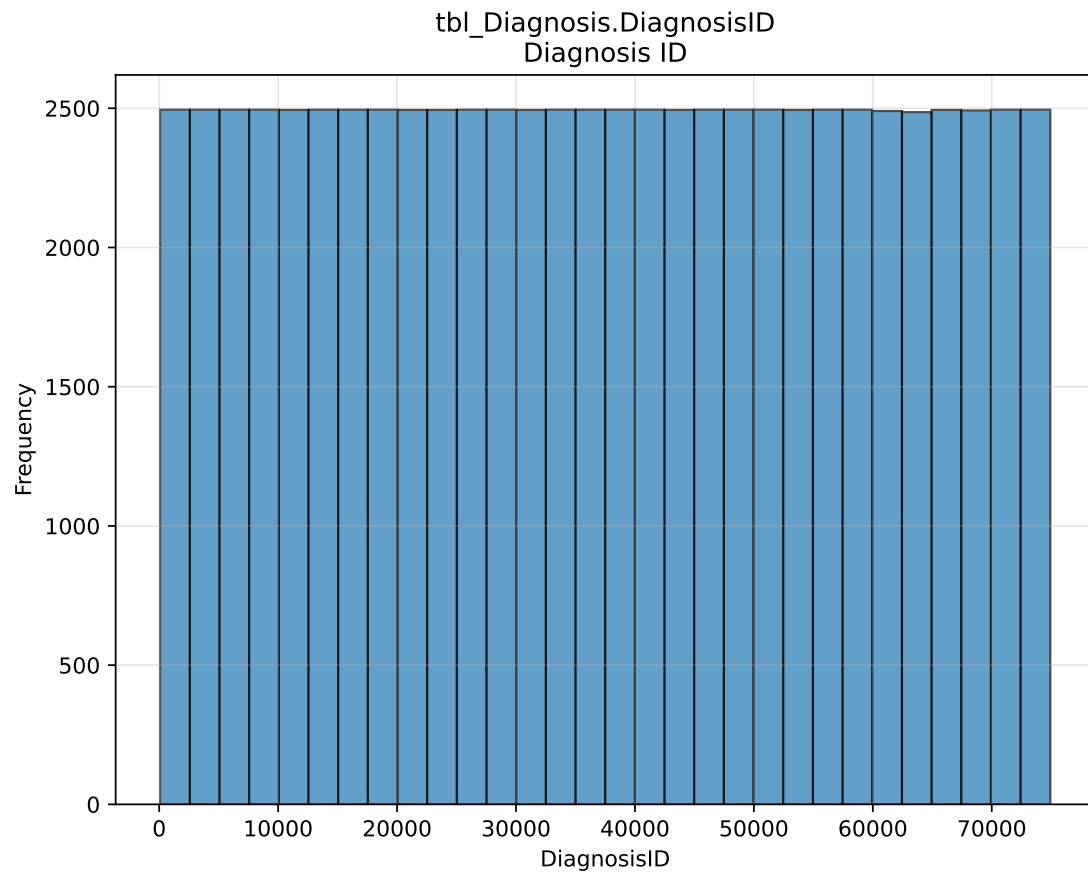


Figure 15.3-27: Distribution of DiagnosisID in tbl_Diagnosis

15.3.28 tbl_EZBudget.CASENO

Consumer iConnect ID

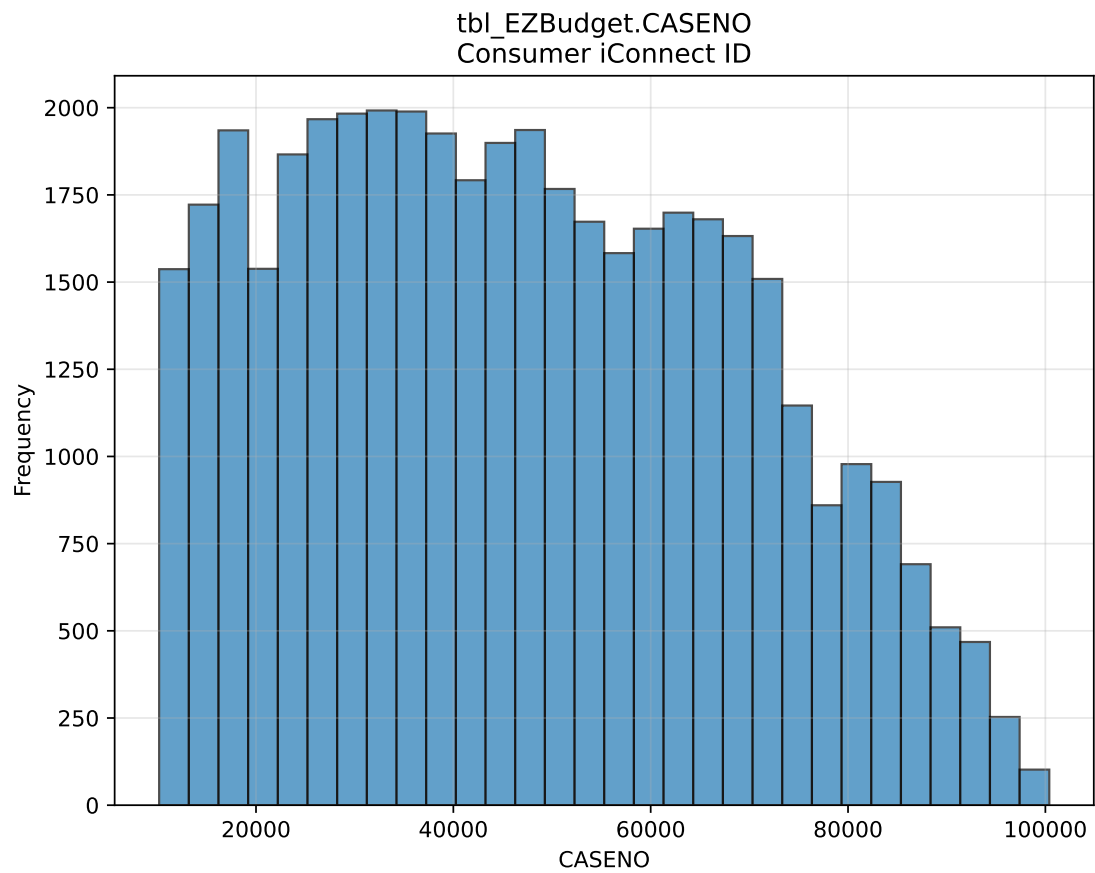


Figure 15.3-28: Distribution of CASENO in tbl_EZBudget

15.3.29 tbl_EZBudget.EZBudgetAssessId

EZ iBudget Calculator Form ID

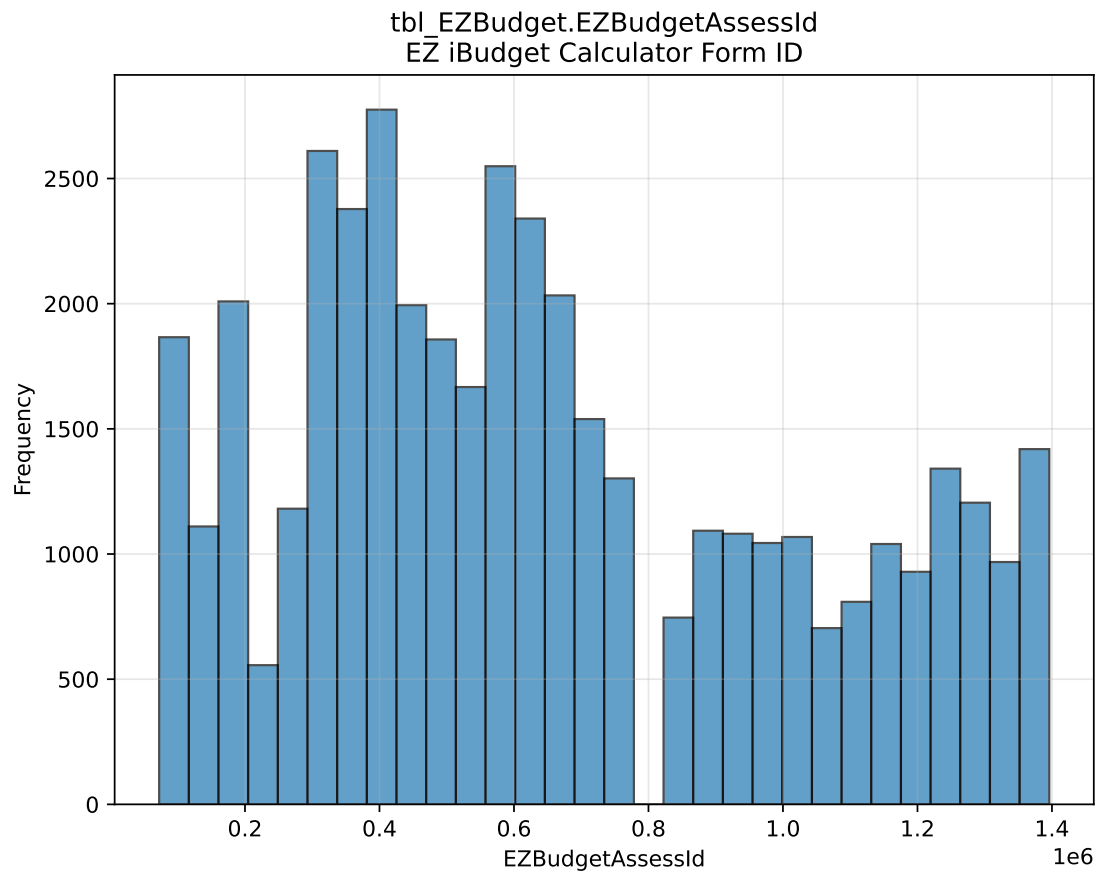


Figure 15.3-29: Distribution of EZBudgetAssessId in tbl.EZBudget

15.3.30 tbl.PlannedServices.CaseNo

Consumer iConnect ID

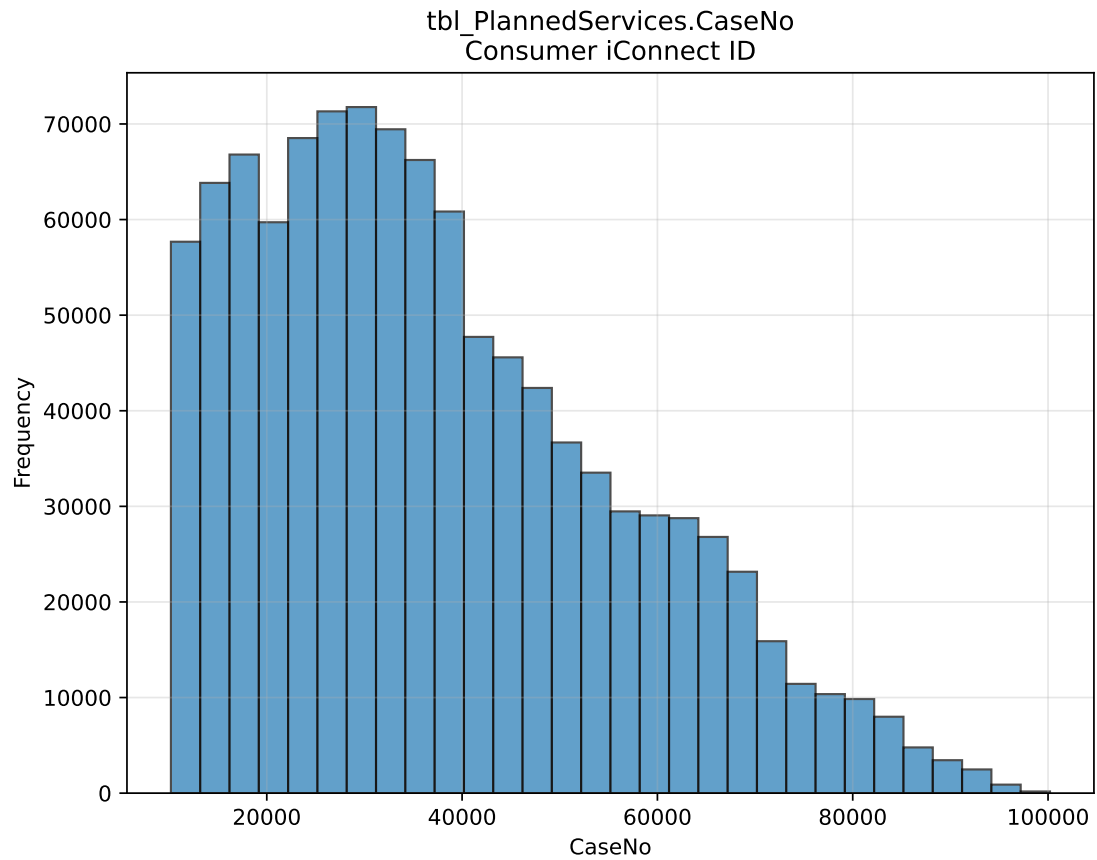


Figure 15.3-30: Distribution of CaseNo in tbl.PlannedServices

15.3.31 tbl.PlannedServices.FiscalYear

FiscalYear

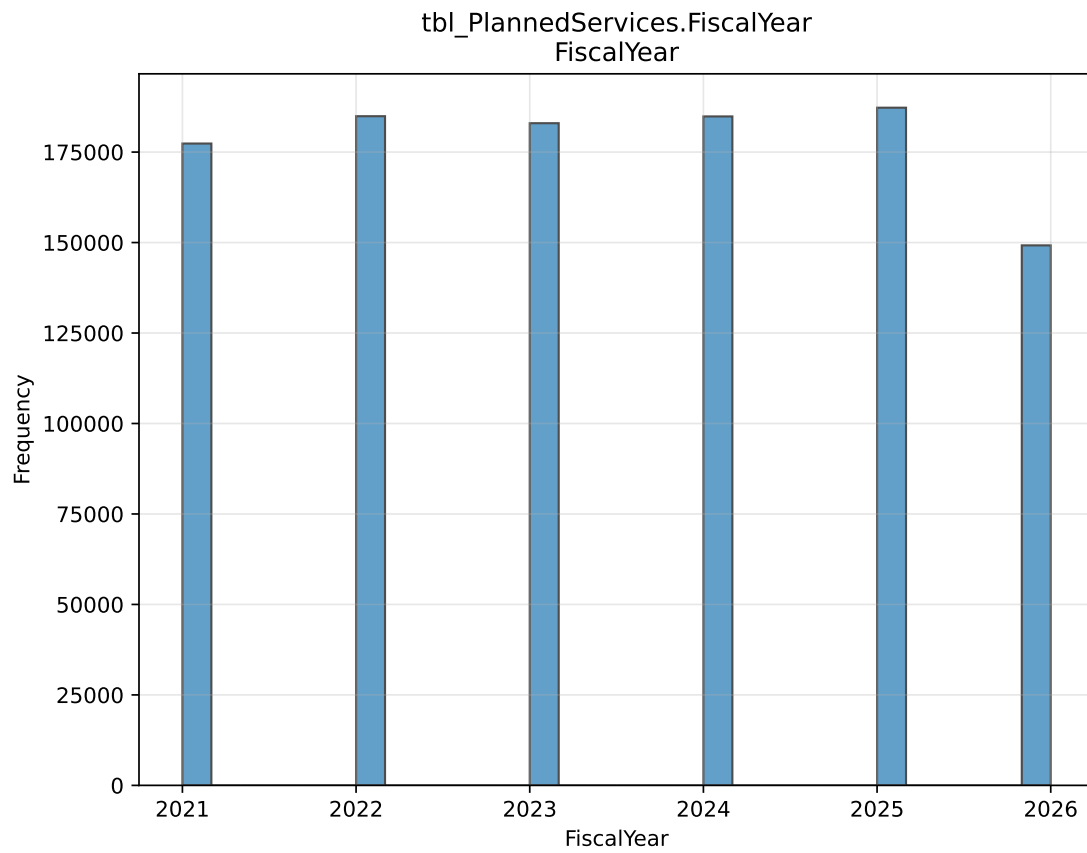


Figure 15.3-31: Distribution of FiscalYear in tbl.PlannedServices

15.3.32 tbl_PlannedServices.UnitsPer

UnitsPer

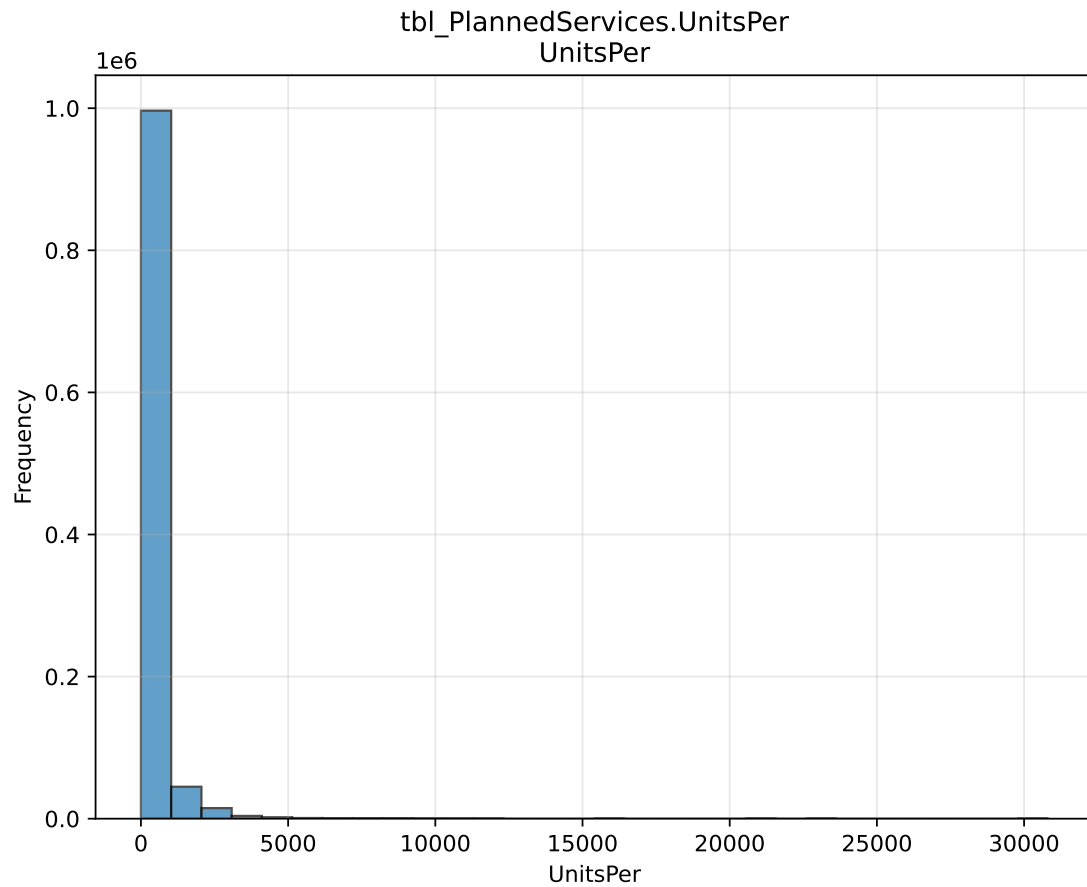


Figure 15.3-32: Distribution of UnitsPer in tbl_PlannedServices

15.3.33 tbl.PlannedServices.TotalUnits

Total Units

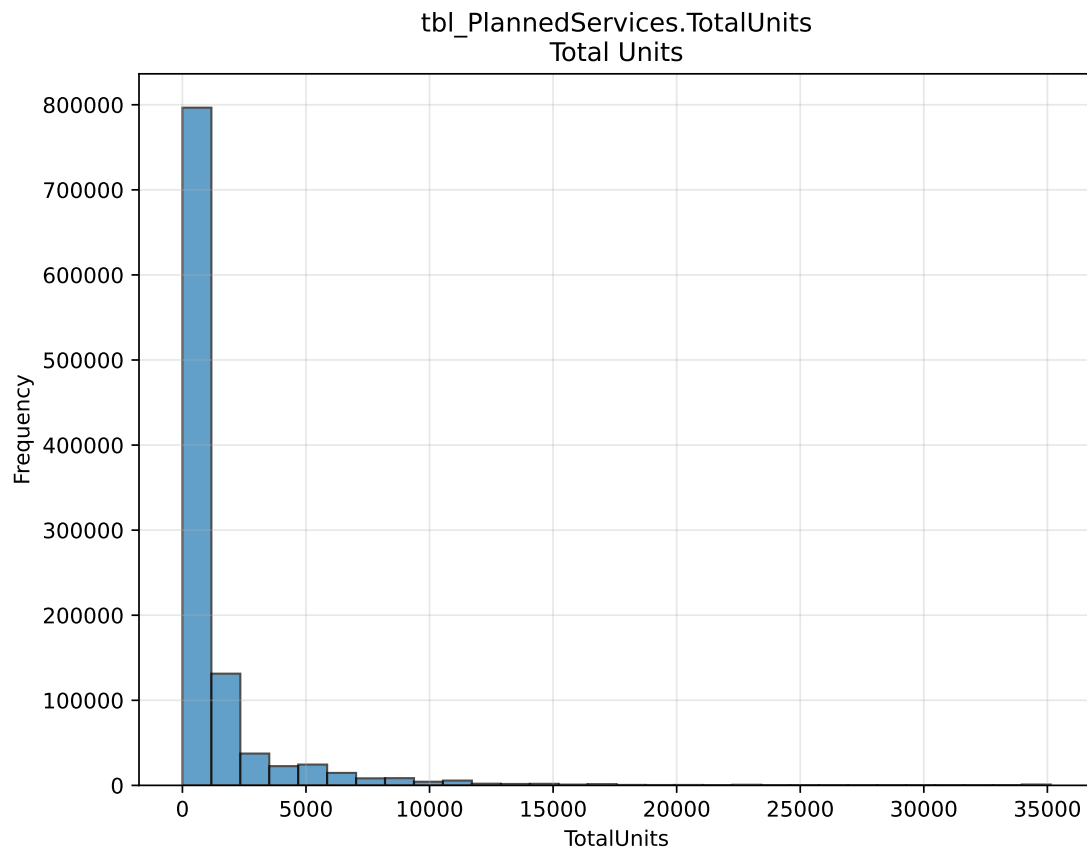


Figure 15.3-33: Distribution of TotalUnits in tbl.PlannedServices

15.3.34 tbl.PlannedServices.AnnualizedUnits

Annualized Units

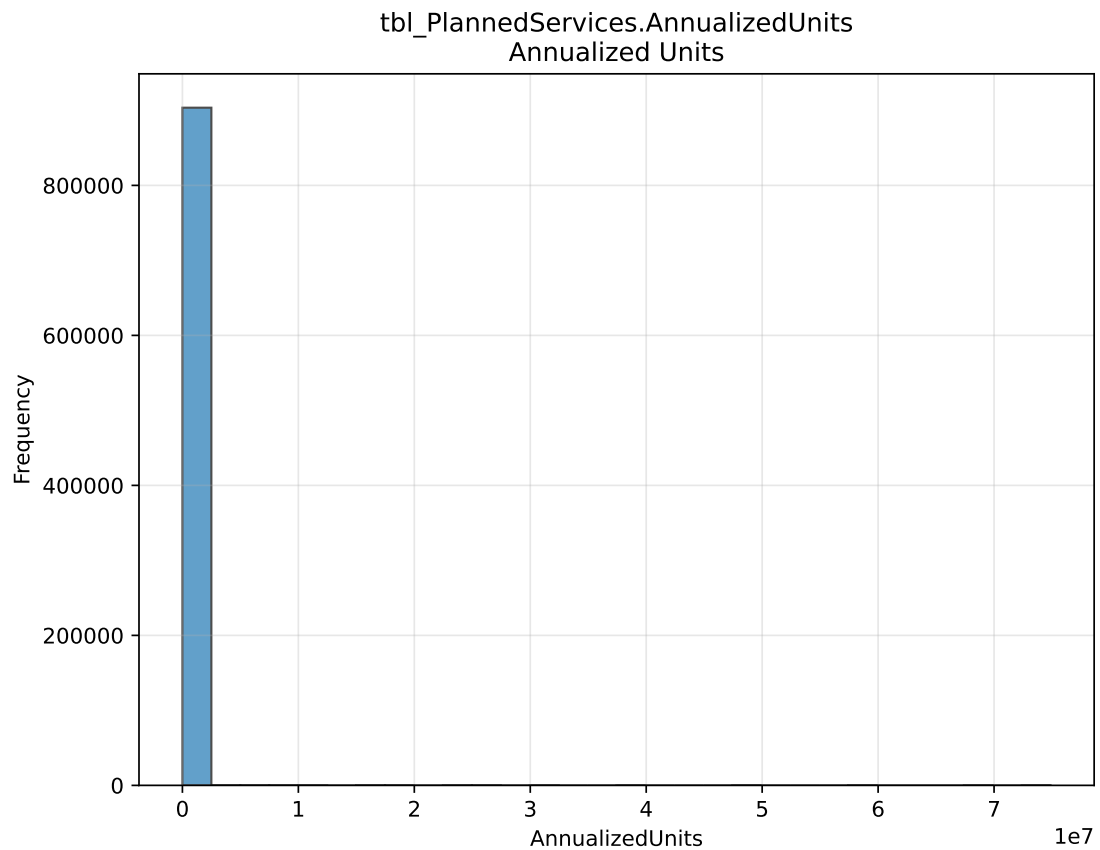


Figure 15.3-34: Distribution of AnnualizedUnits in tbl.PlannedServices

15.3.35 tbl.PlannedServices.VendorID

Vendor ID (Provider iConnect ID)

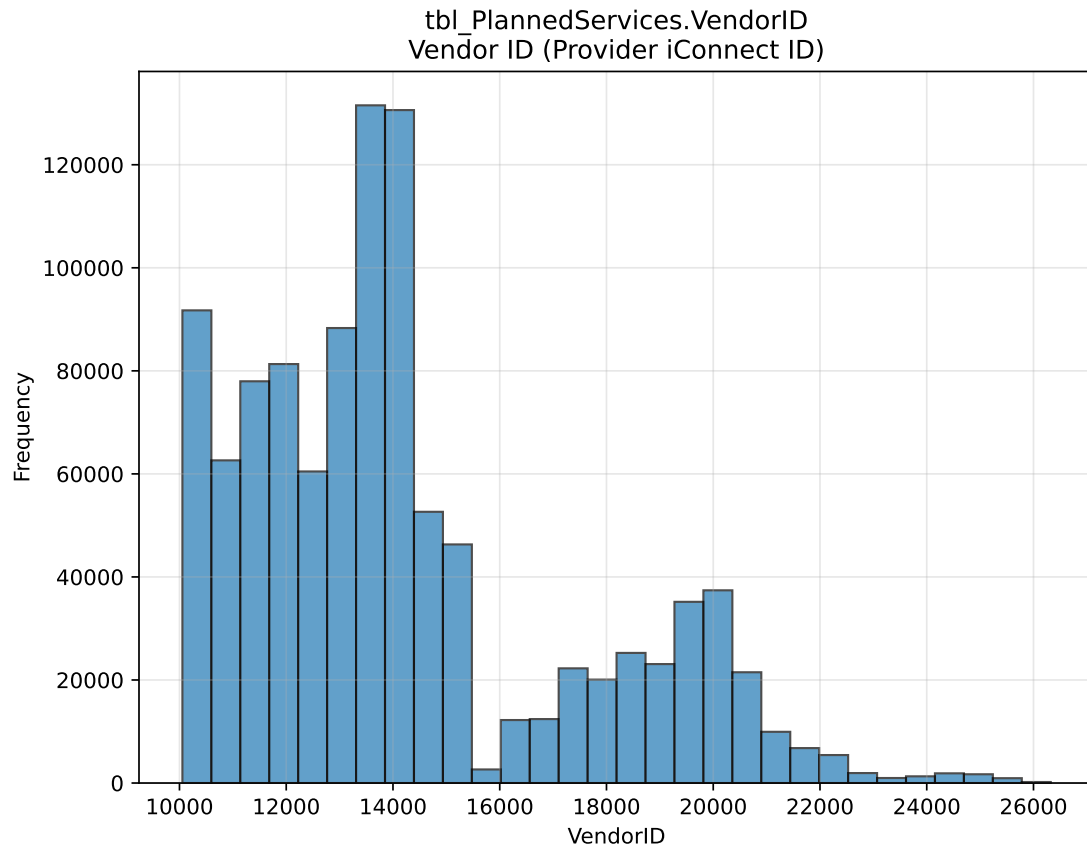


Figure 15.3-35: Distribution of VendorID in tbl.PlannedServices

15.3.36 tbl.PlannedServices.Rate

Rate

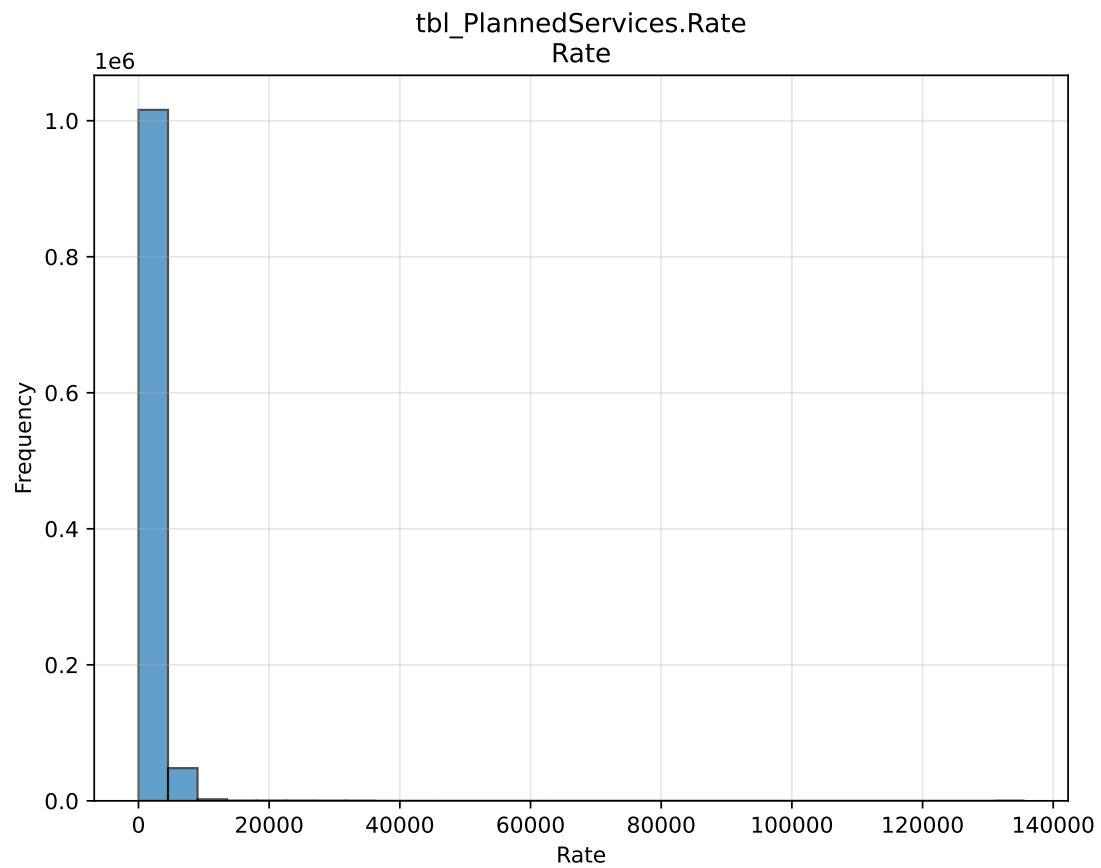


Figure 15.3-36: Distribution of Rate in tbl.PlannedServices

15.3.37 tbl_PlannedServices.MaxAmount

MaxAmount

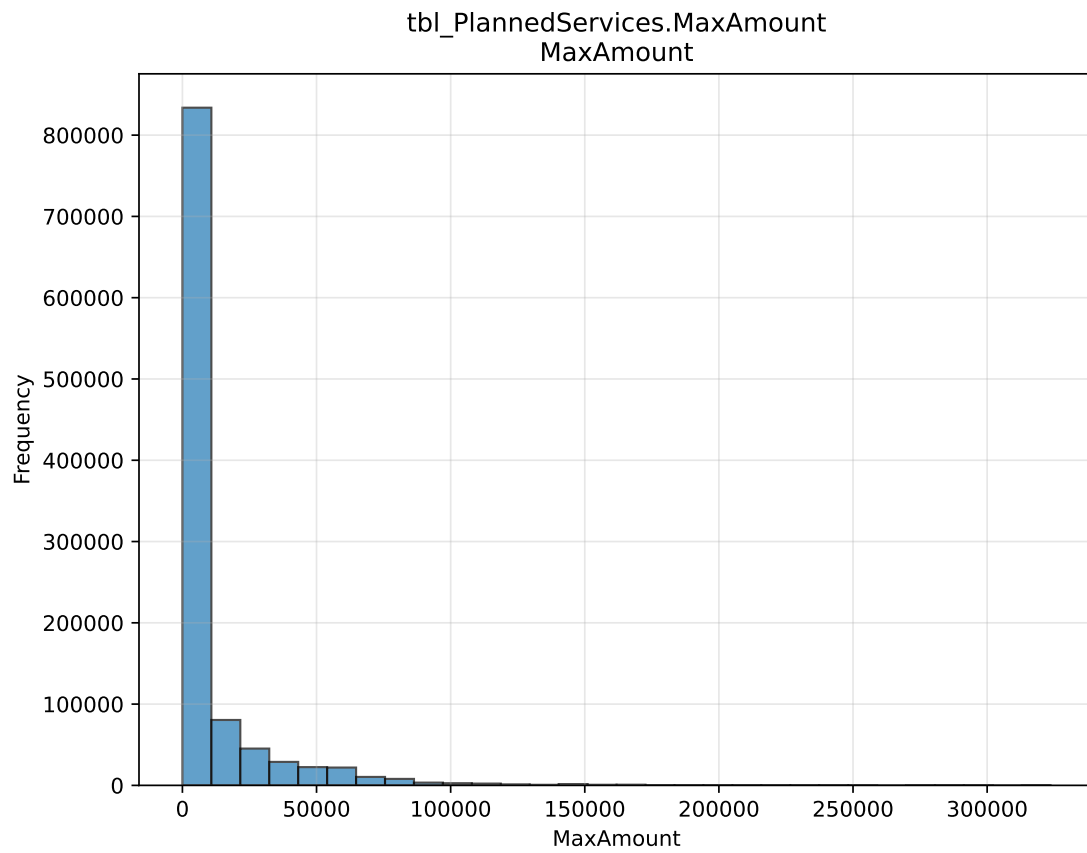


Figure 15.3-37: Distribution of MaxAmount in tbl_PlannedServices

15.3.38 tbl.PlannedServices.AllowEVVDelivery

Allow EVV Delivery

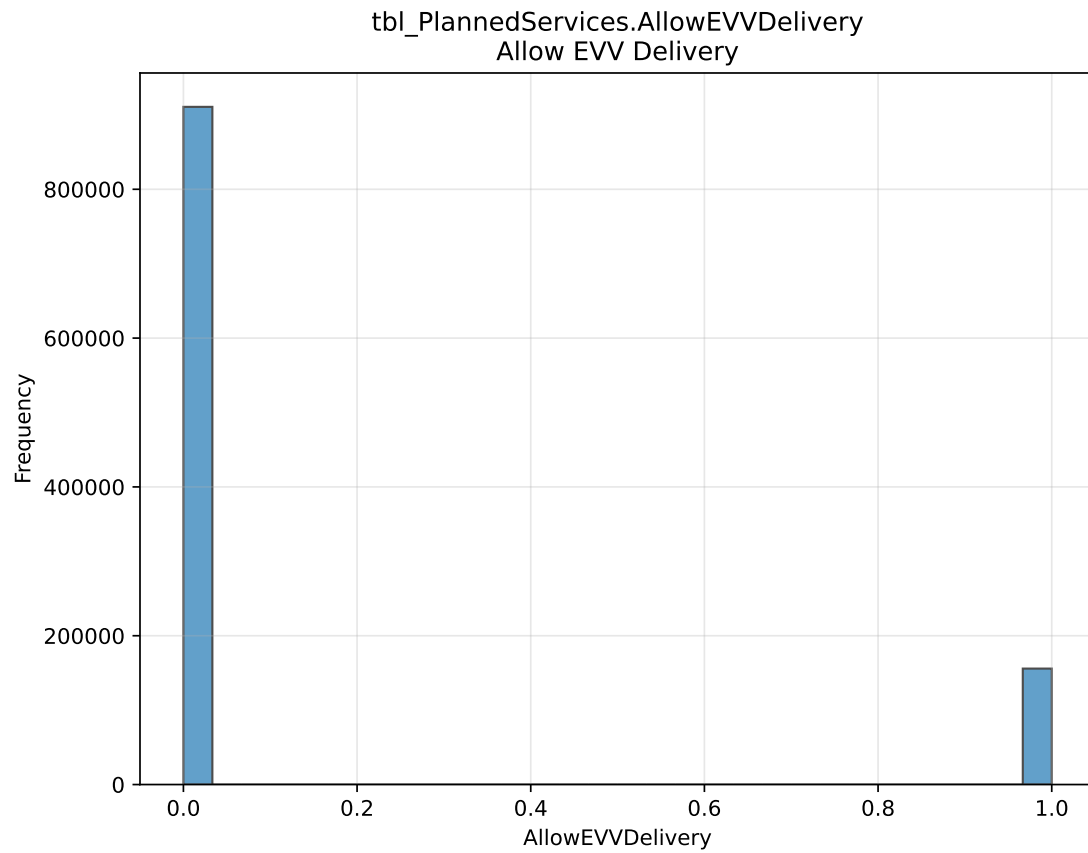


Figure 15.3-38: Distribution of AllowEVVDelivery in tbl.PlannedServices

15.3.39 tbl.PlannedServices.PlannedServiceId

Planned Service ID

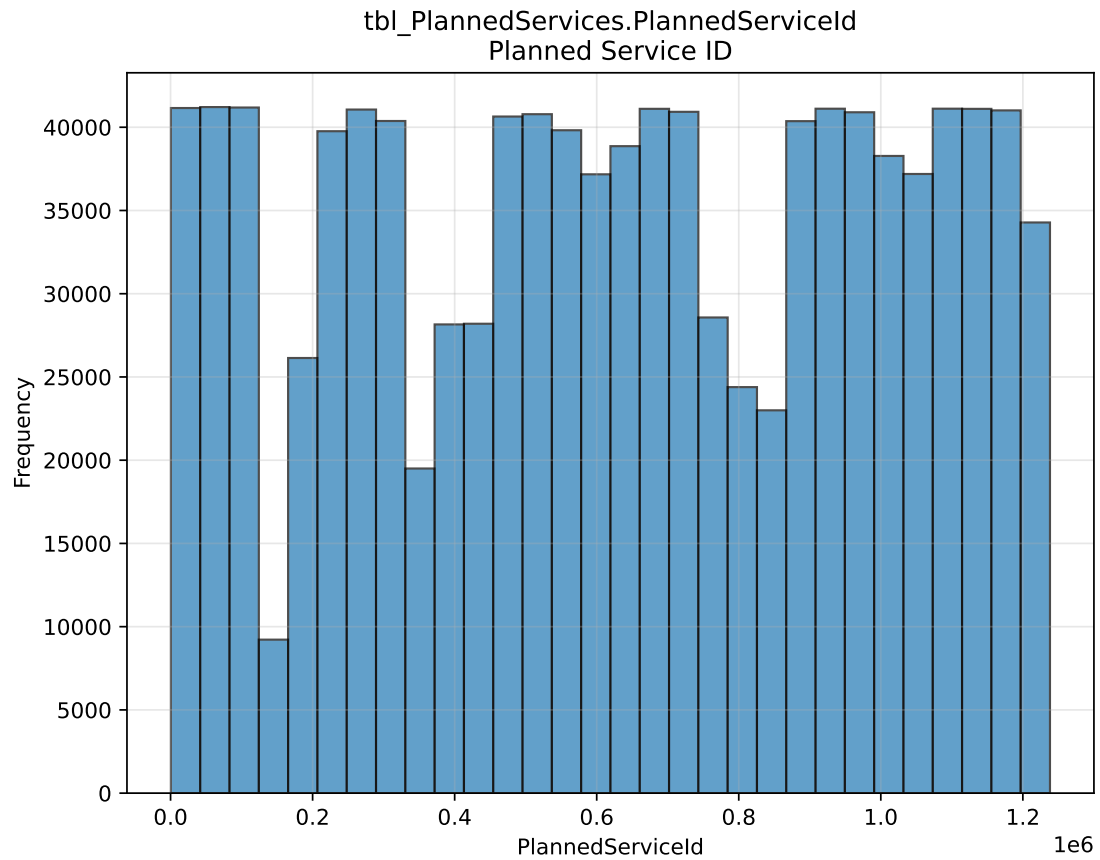


Figure 15.3-39: Distribution of PlannedServiceId in tbl.PlannedServices

15.3.40 tbl.PlannedServices.PlanId

Plan ID

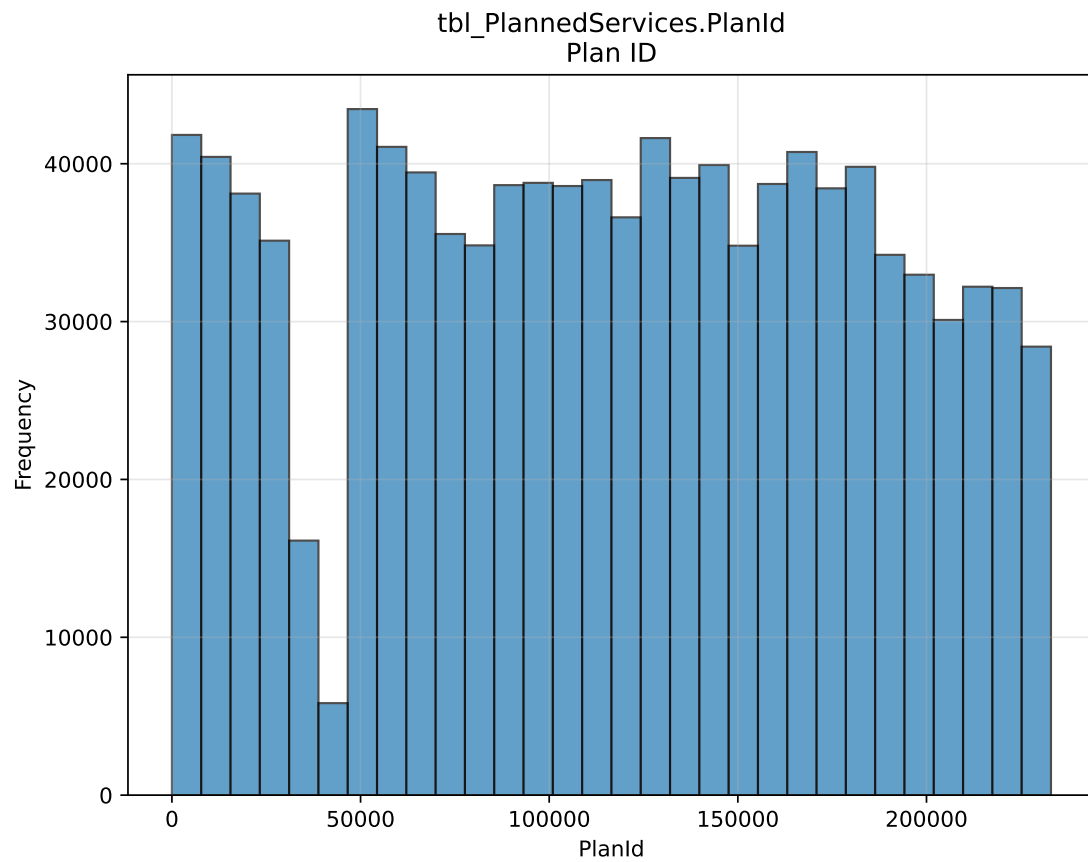


Figure 15.3-40: Distribution of PlanId in tbl.PlannedServices

15.3.41 tbl.PlannedServices.ISComboCodeID

IS Combo CodeID

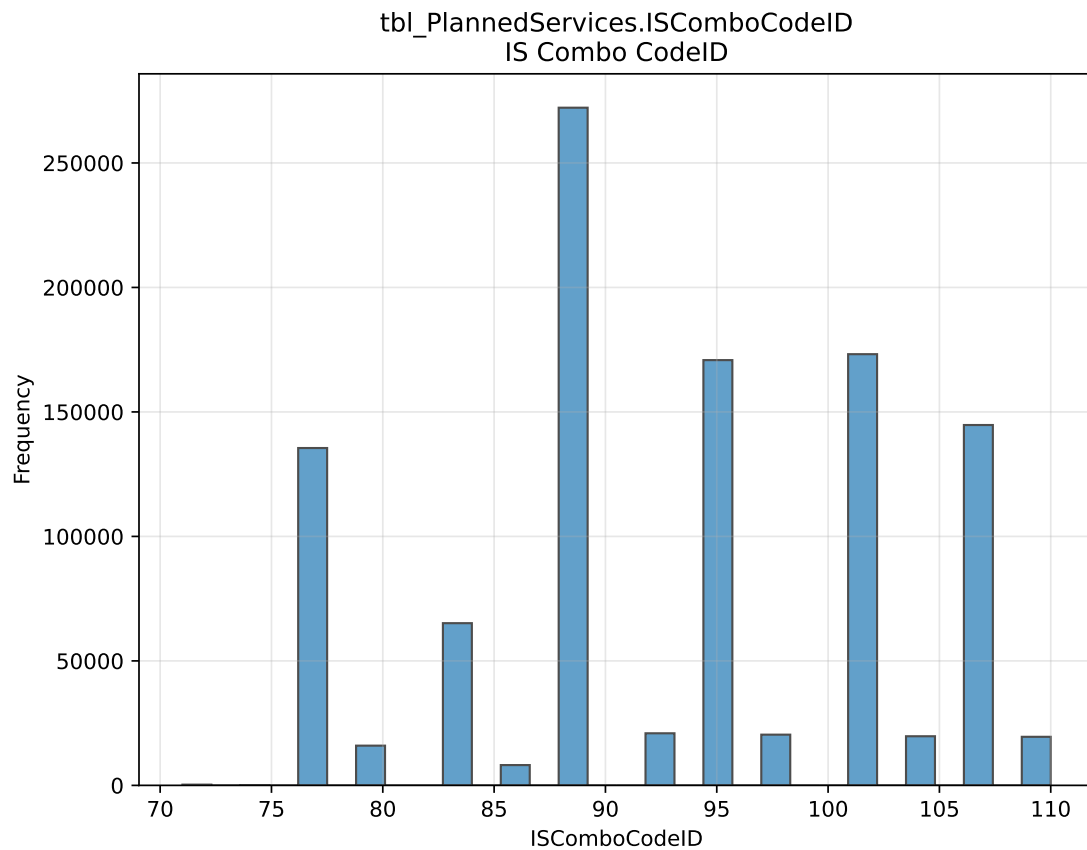


Figure 15.3-41: Distribution of ISComboCodeID in tbl.PlannedServices

15.3.42 tbl.PlannedServices.VendorServicesId

Vendor Services ID

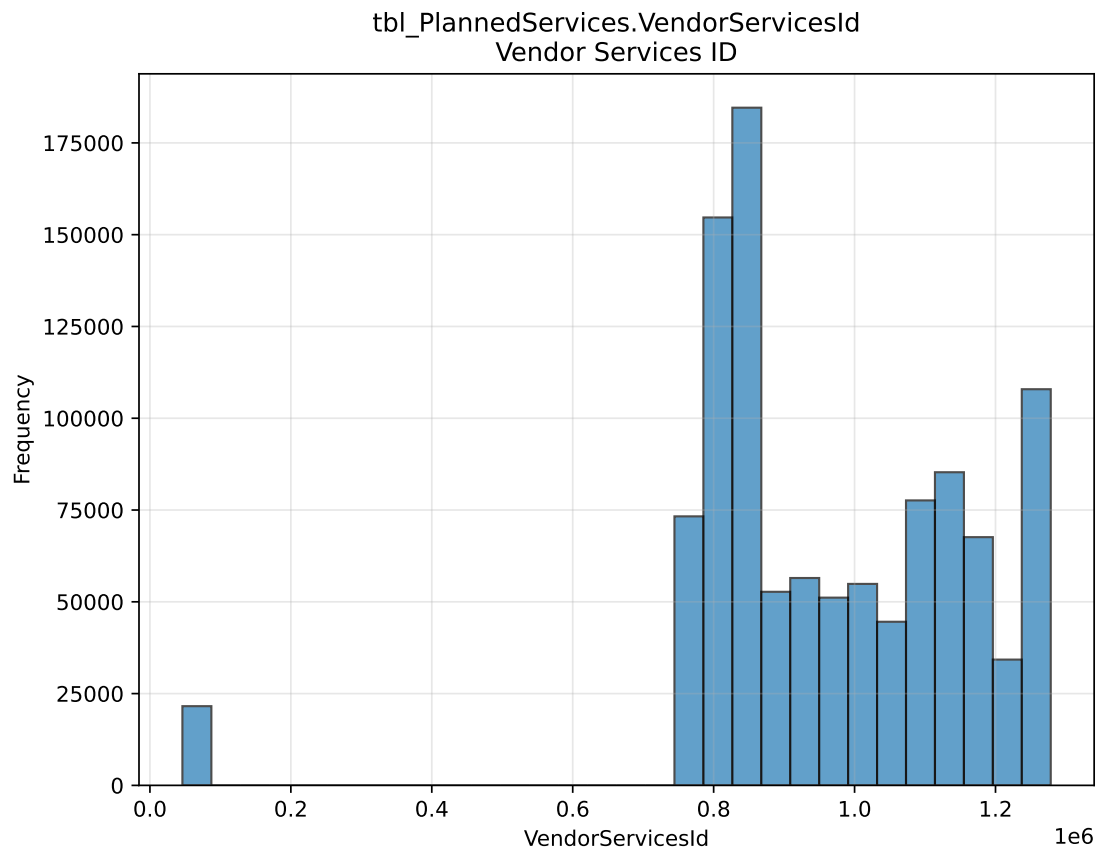


Figure 15.3-42: Distribution of VendorServicesId in tbl.PlannedServices

15.3.43 tbl_Plans.CaseNo

Consumer iConnect ID

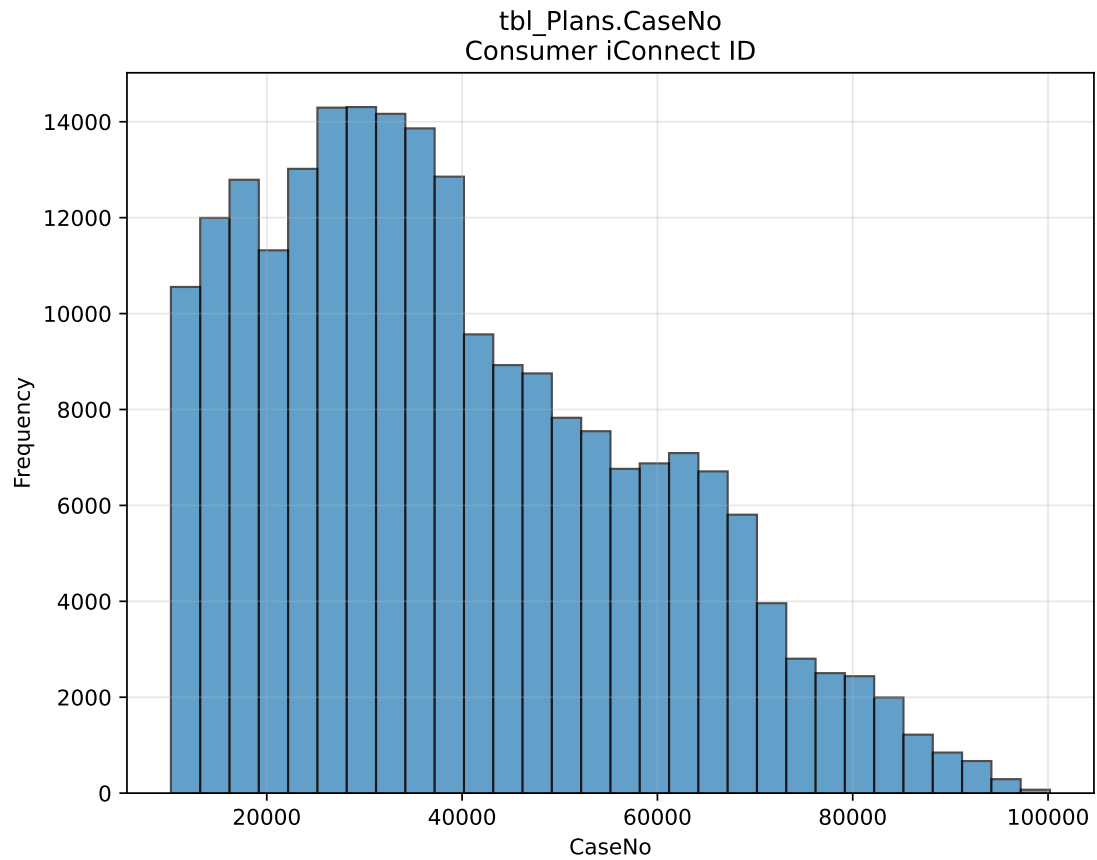


Figure 15.3-43: Distribution of CaseNo in tbl_Plans

15.3.44 tbl_Plans.PlanId

Plan ID

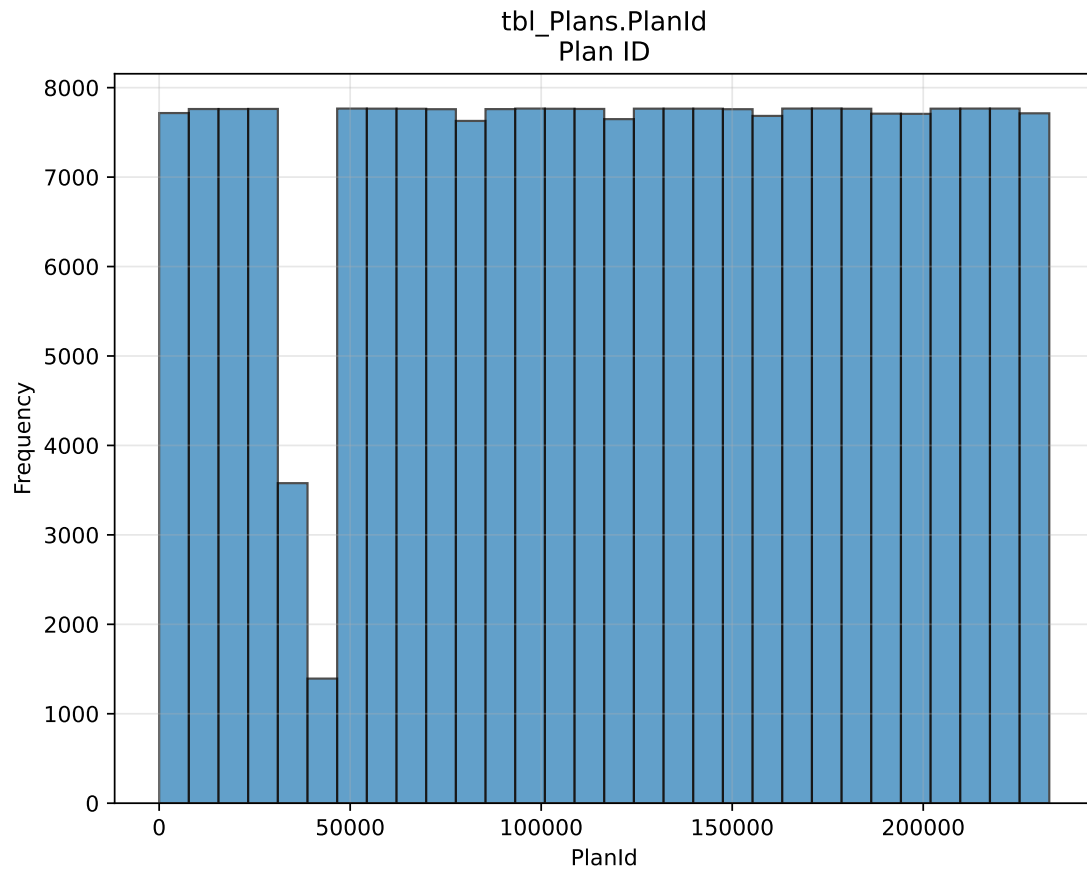


Figure 15.3-44: Distribution of PlanId in tbl.Plans

15.3.45 tbl_Plans.BudgetId

Budget ID

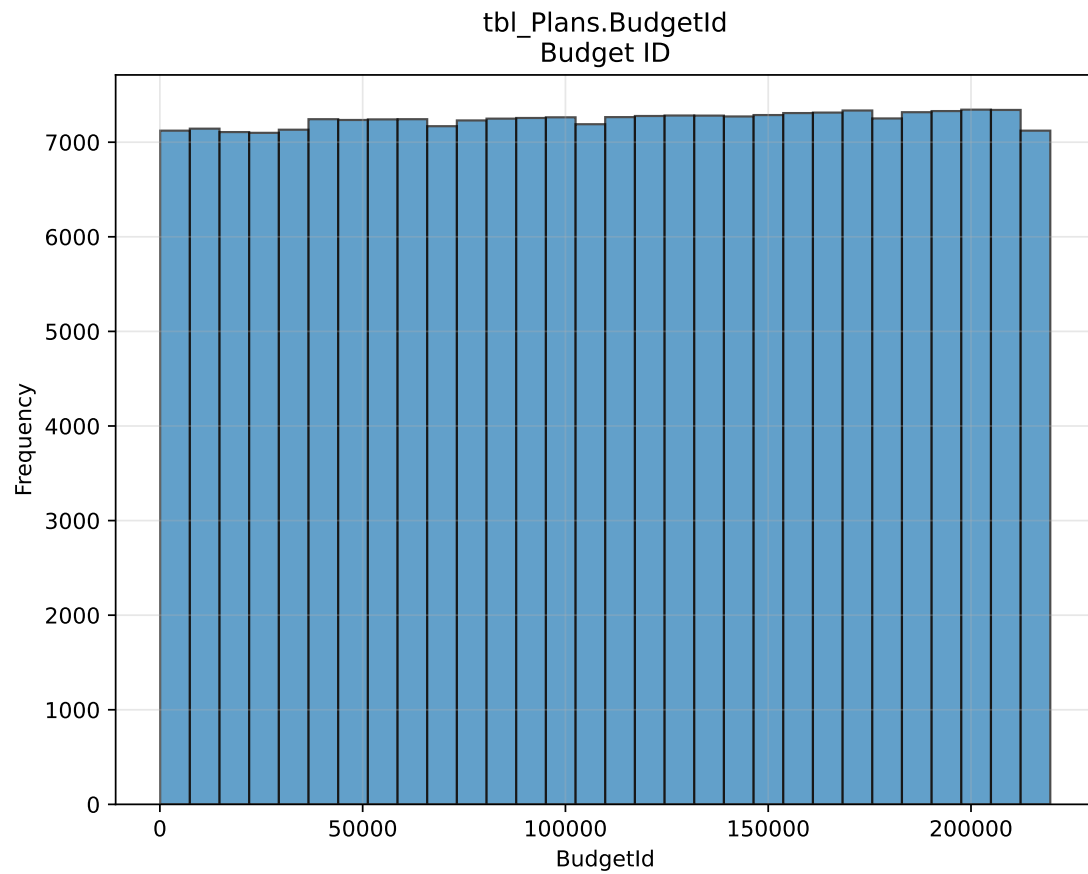


Figure 15.3-45: Distribution of BudgetId in tbl_Plans

15.3.46 tbl_Plans.OpenId

Open ID

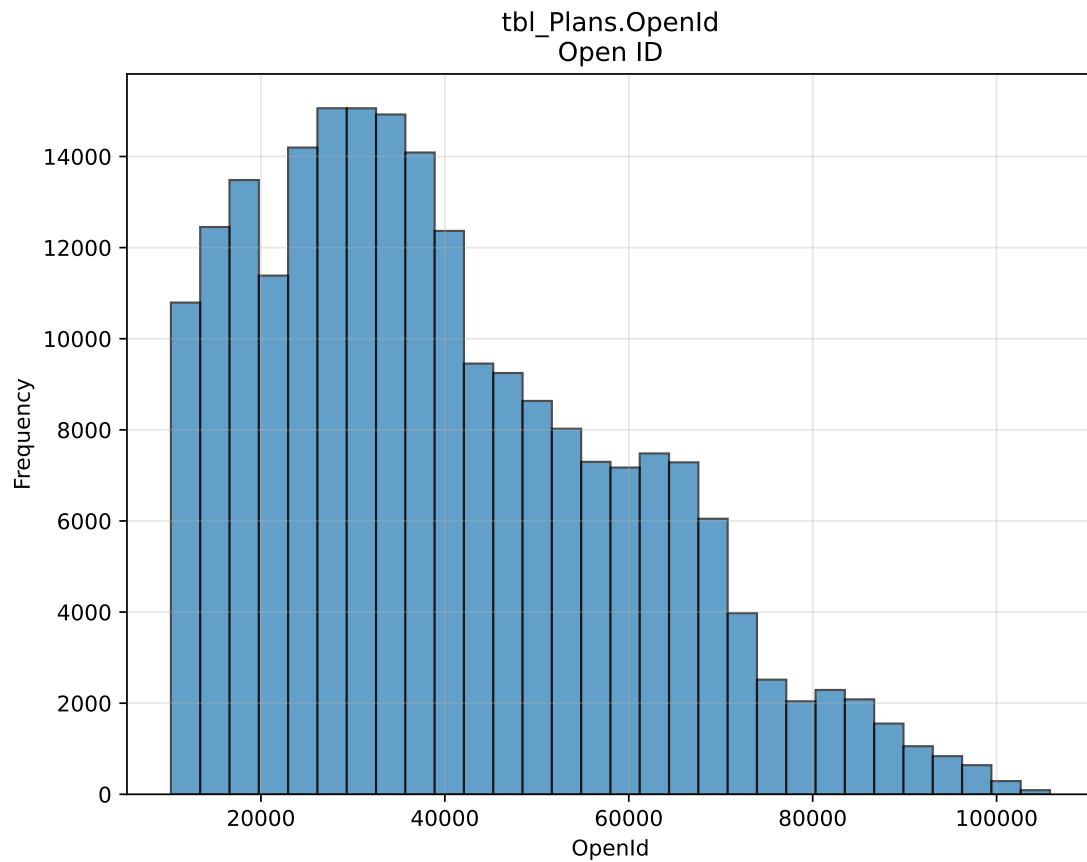


Figure 15.3-46: Distribution of OpenId in tbl.Plans

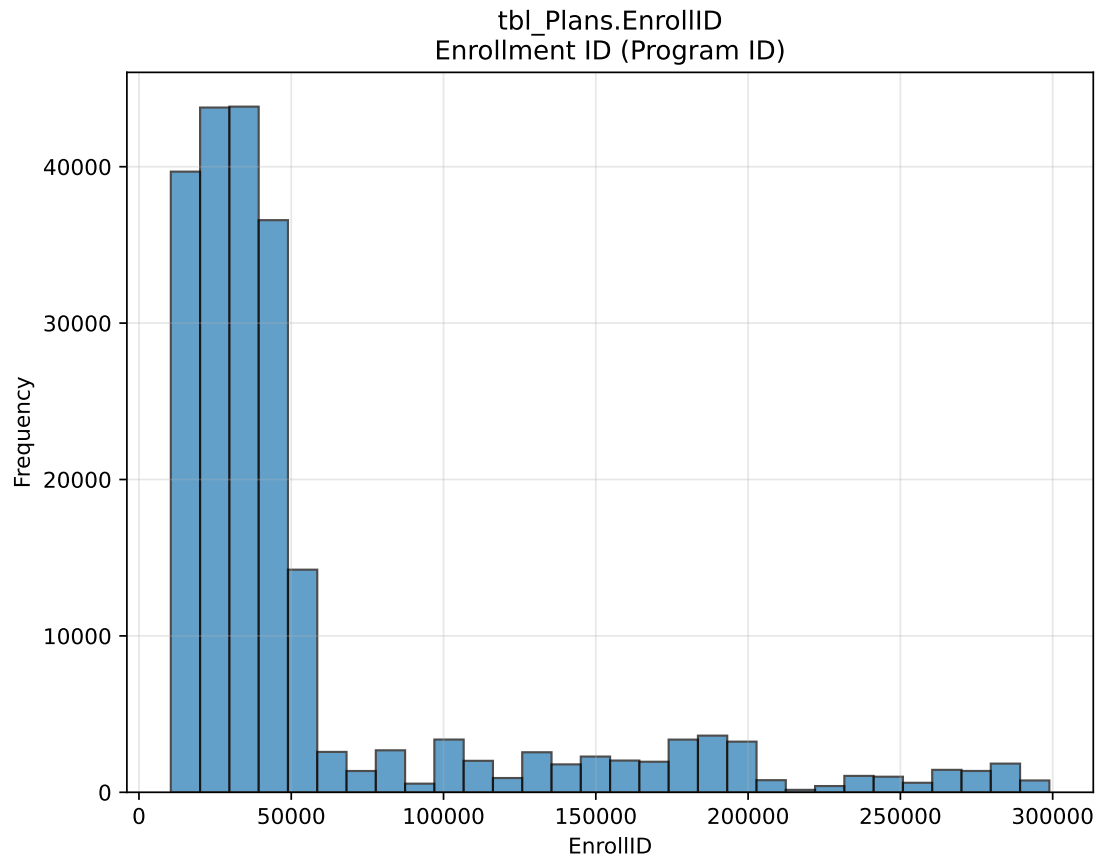
15.3.47 tbl_Plans.EnrollID*Enrollment ID (Program ID)*

Figure 15.3-47: Distribution of EnrollID in tbl_Plans

15.3.48 tbl_QSIAssessments.CASENO

Consumer iConnect ID

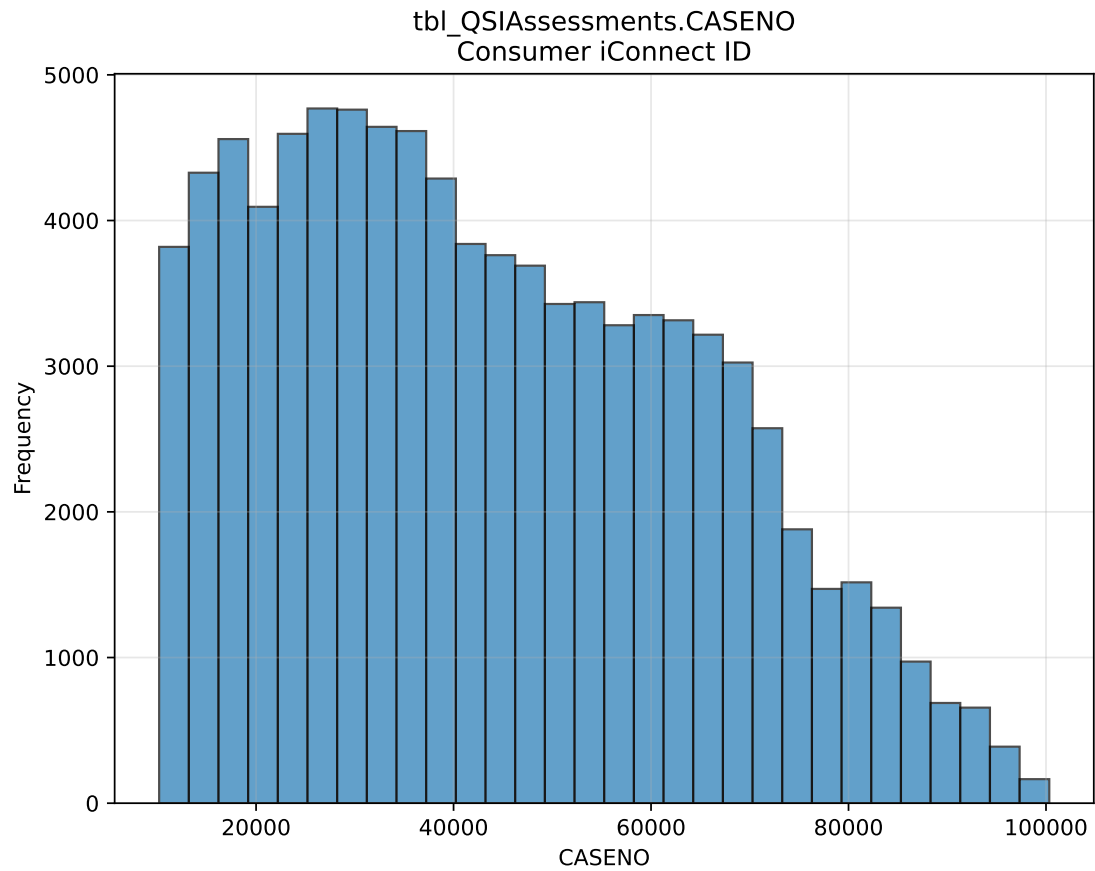


Figure 15.3-48: Distribution of CASENO in tbl.QSIAssessments

15.3.49 tbl_QSIAssessments.RaterID

Rater ID

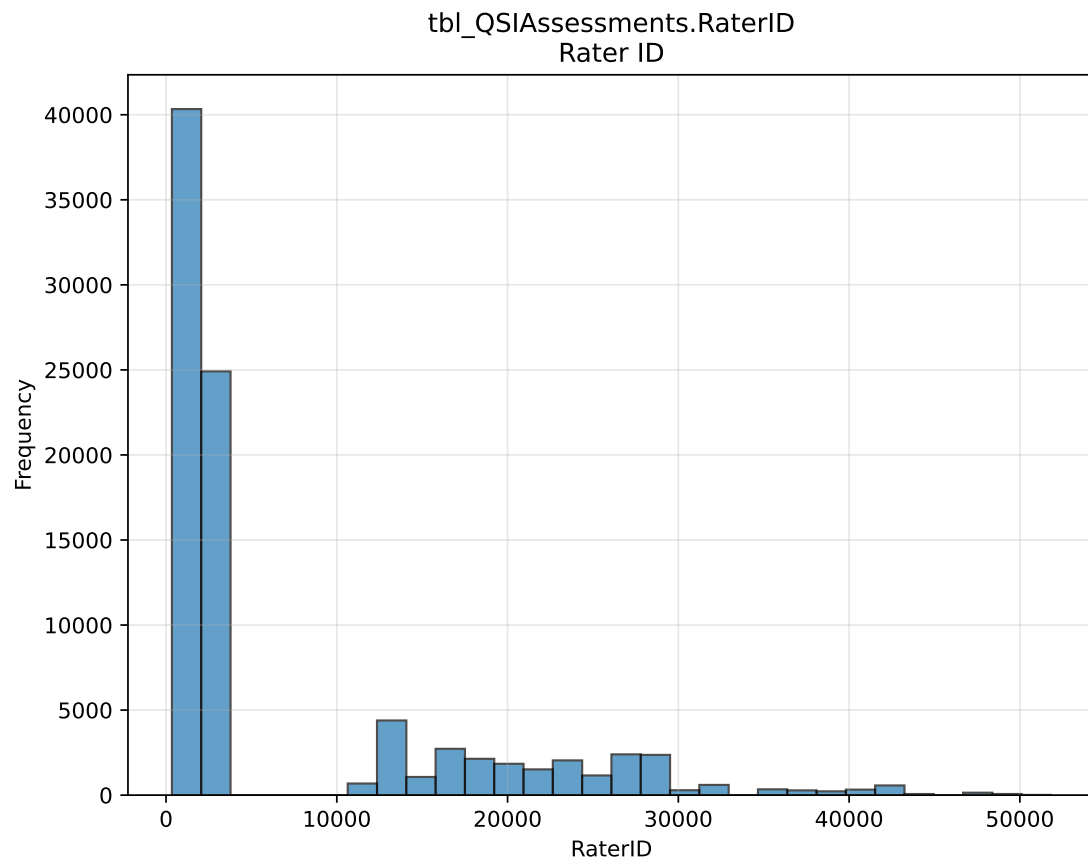


Figure 15.3-49: Distribution of RaterID in tbl_QSIAssessments

15.3.50 tbl.QSIAssessments.AssessID

QSI Assessment Form ID

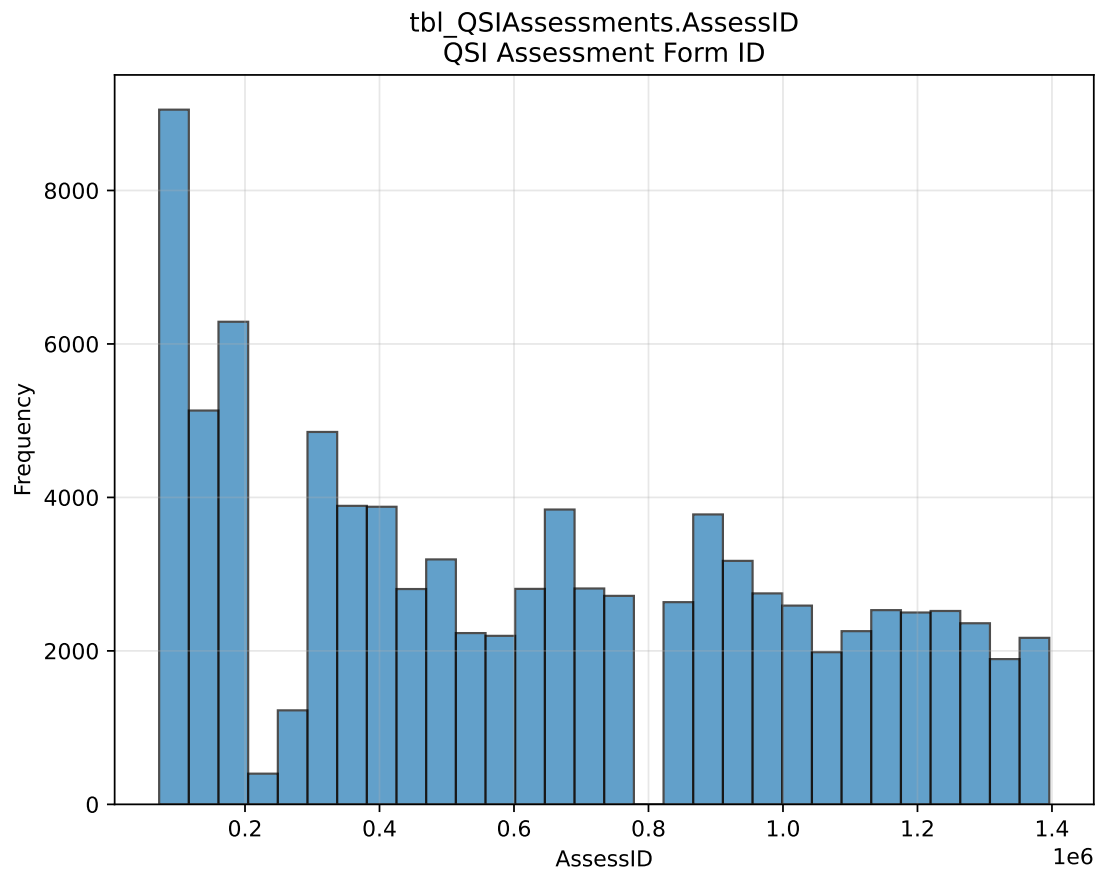


Figure 15.3-50: Distribution of AssessID in tbl.QSIAssessments

15.3.51 tbl_QSIAssessments.LegacyAssessID

Legacy QSI Assessment ID

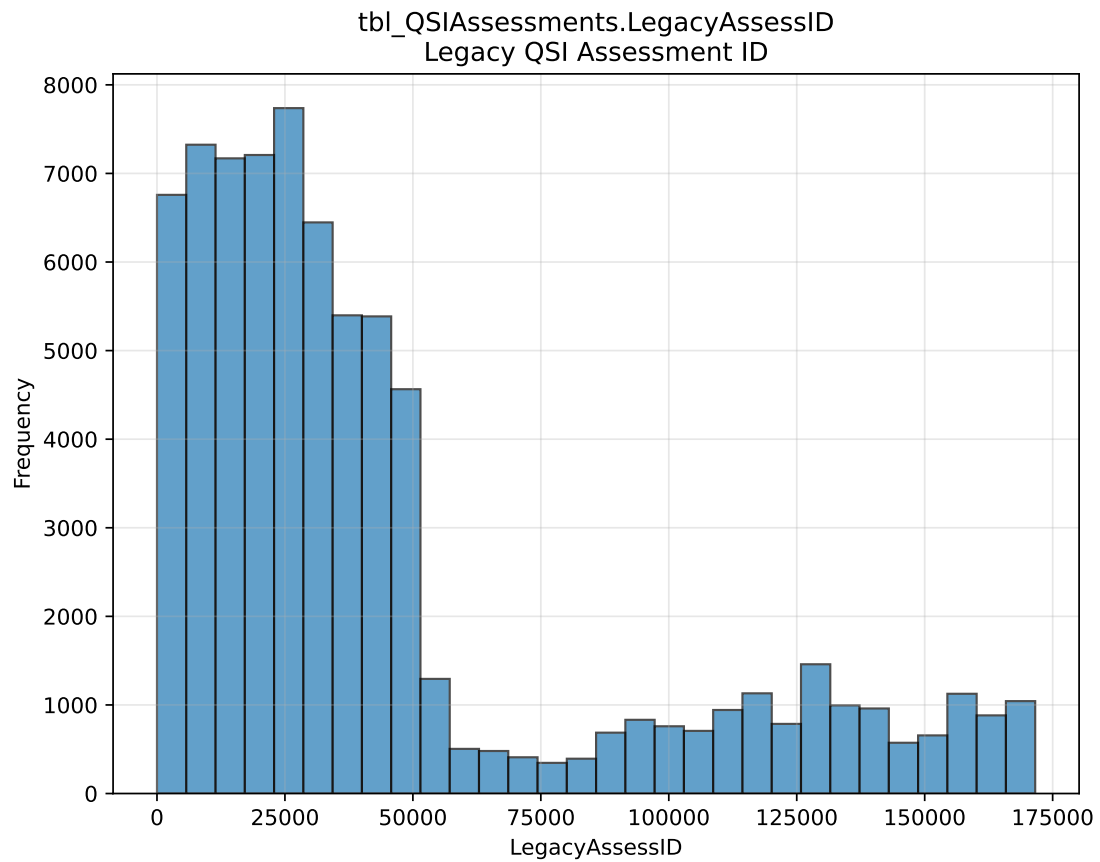


Figure 15.3-51: Distribution of LegacyAssessID in tbl_QSIAssessments

15.3.52 tbl_QSIAssessmentsLegacy.RATERID

Rater ID

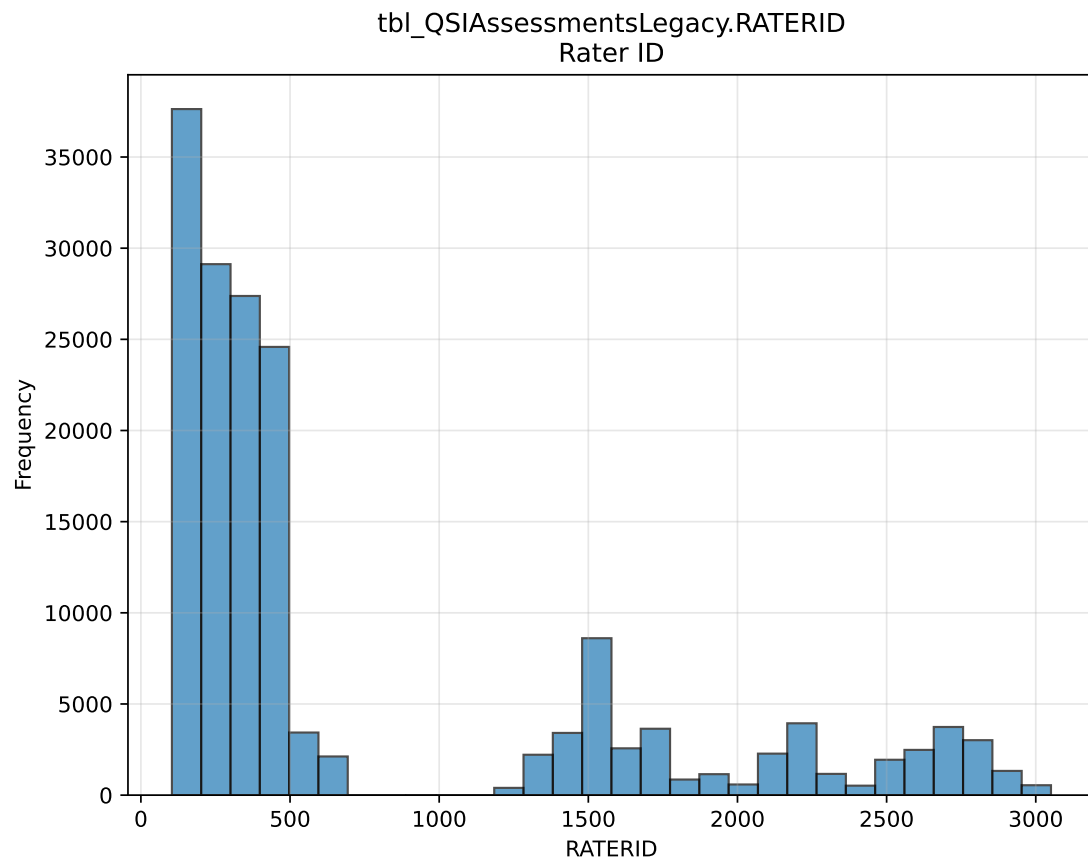


Figure 15.3-52: Distribution of RATERID in tbl_QSIAssessmentsLegacy

15.3.53 tbl.QSIAssessmentsLegacy.Q14

QSI Question 14

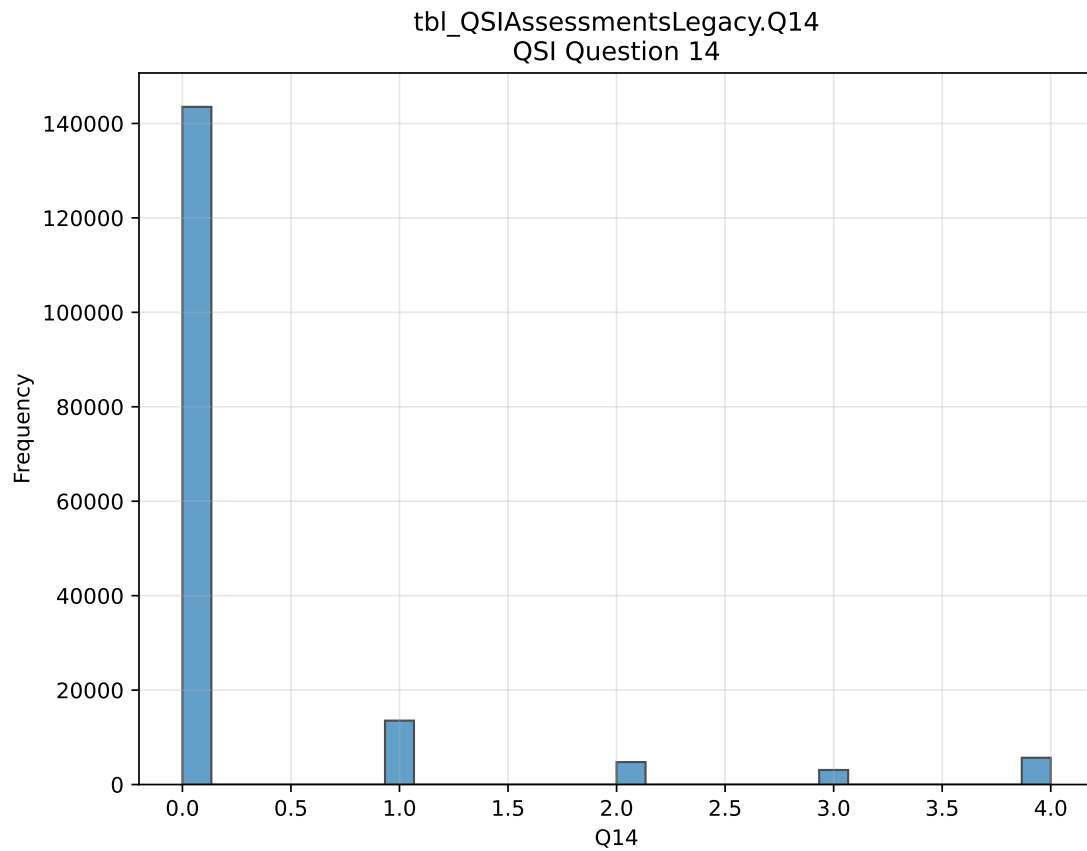


Figure 15.3-53: Distribution of Q14 in tbl.QSIAssessmentsLegacy

15.3.54 tbl.QSIAssessmentsLegacy.Q15

QSI Question 15

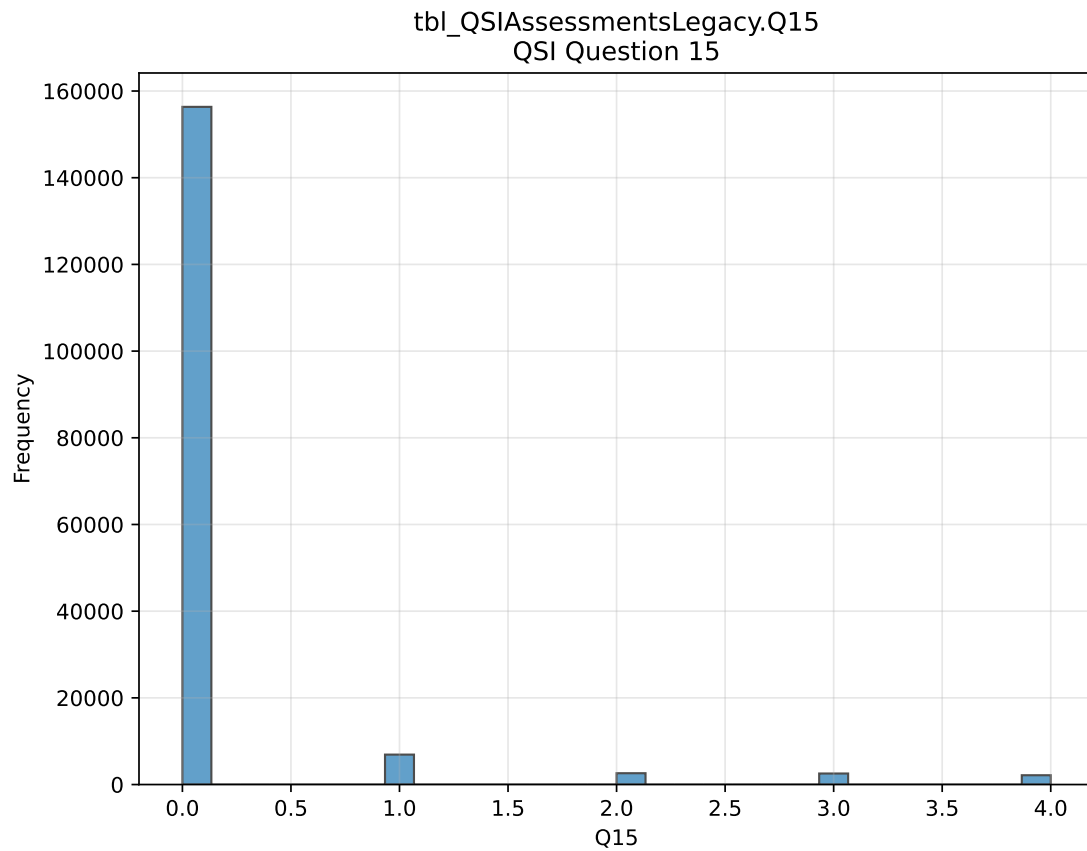


Figure 15.3-54: Distribution of Q15 in tbl.QSIAssessmentsLegacy

15.3.55 tbl_QSIAssessmentsLegacy.Q16

QSI Question 16

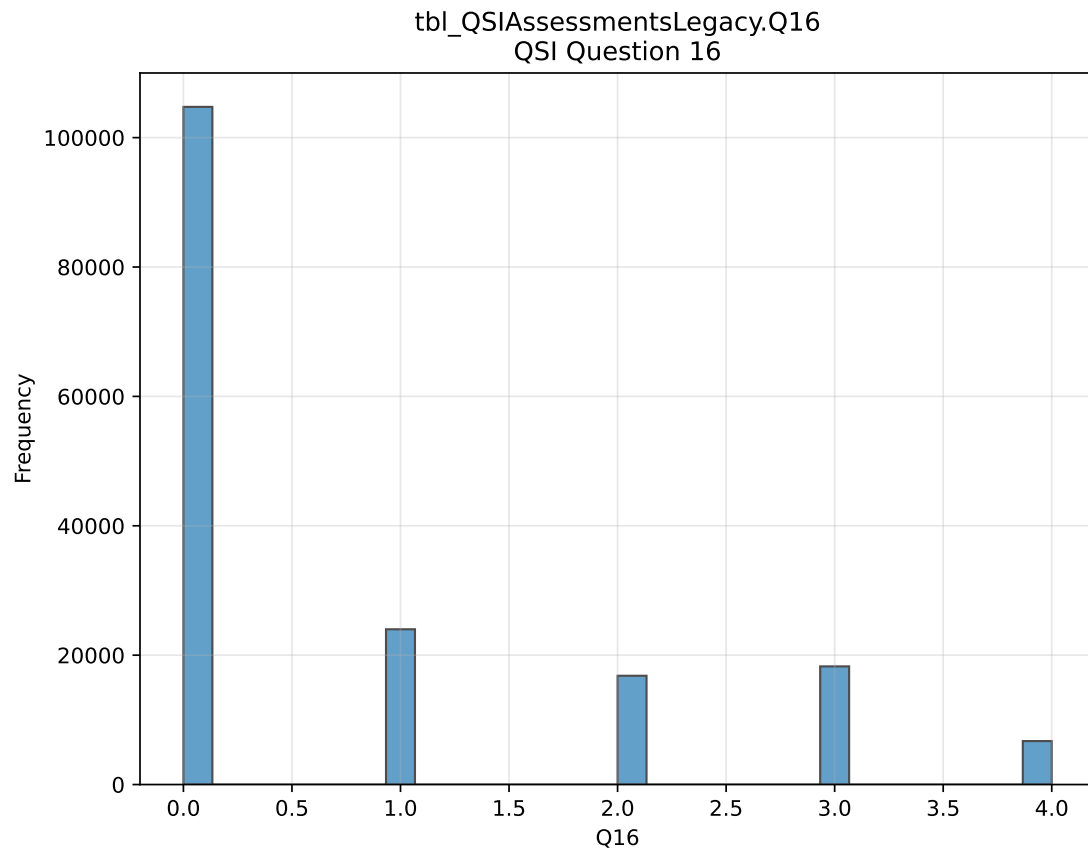


Figure 15.3-55: Distribution of Q16 in tbl.QSIAssessmentsLegacy

15.3.56 tbl_QSIAssessmentsLegacy.Q17

QSI Question 17

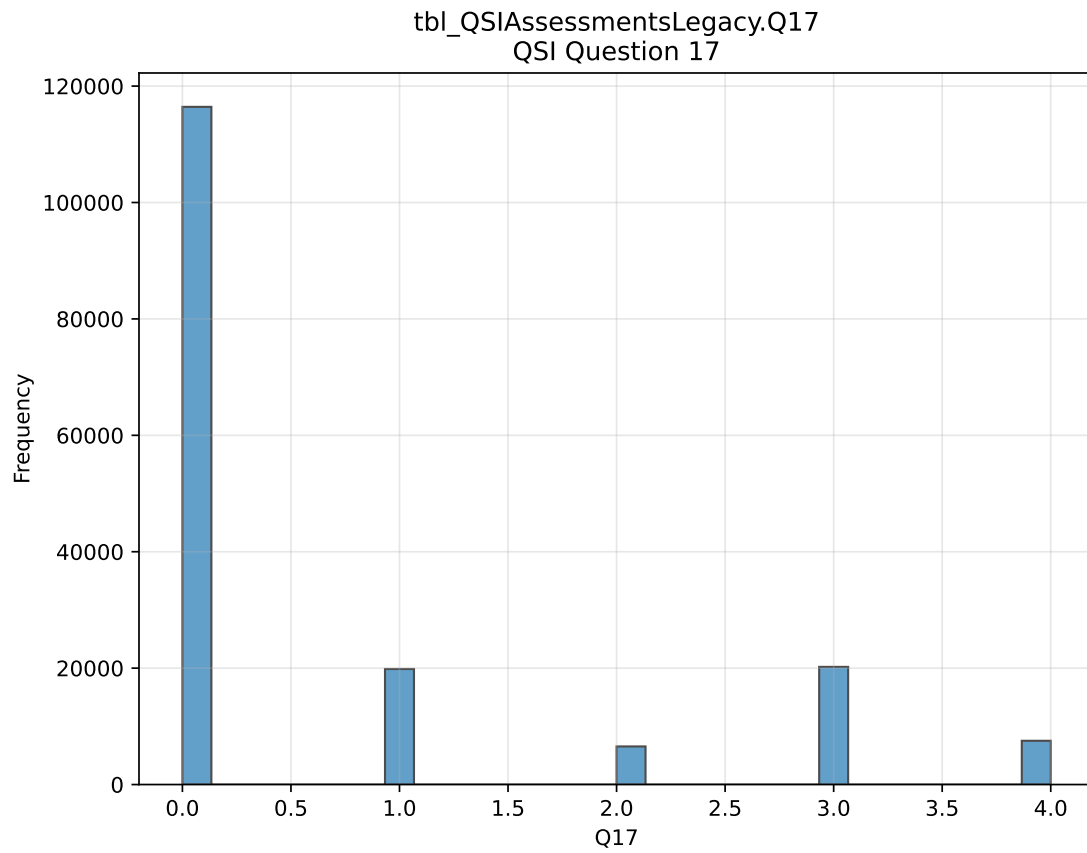


Figure 15.3-56: Distribution of Q17 in tbl.QSIAssessmentsLegacy

15.3.57 tbl_QSIAssessmentsLegacy.Q18

QSI Question 18

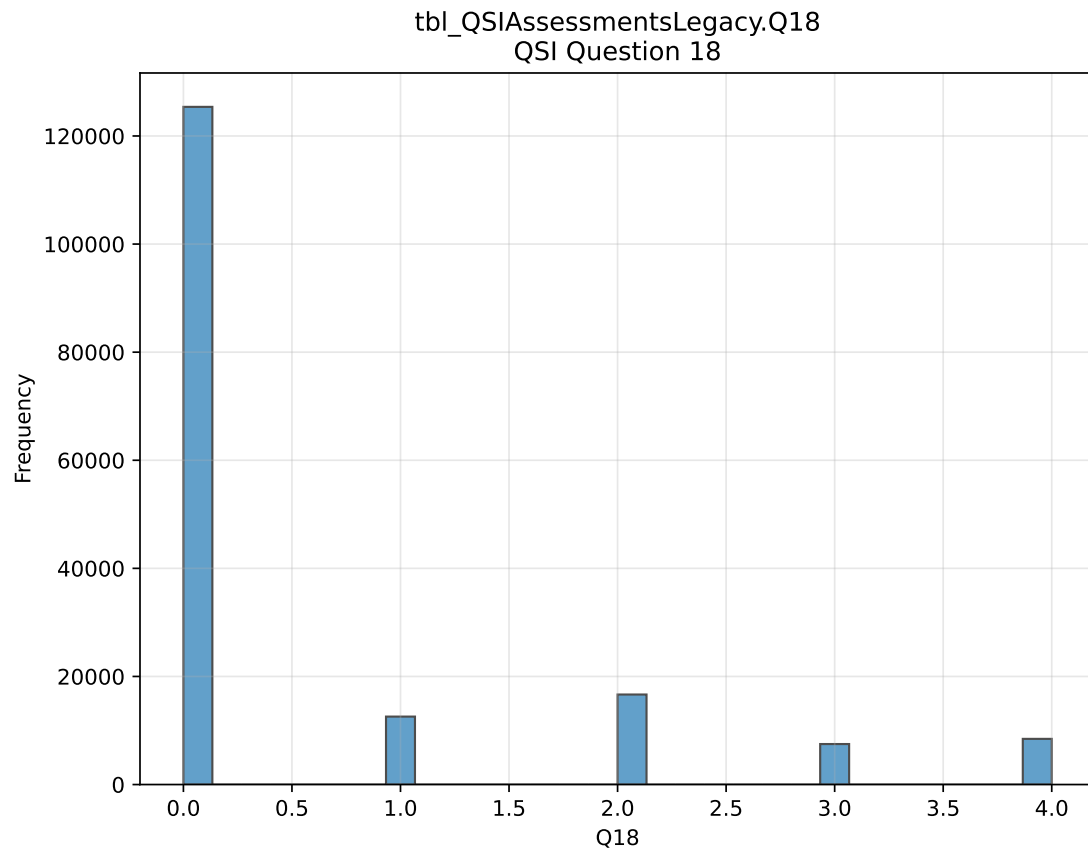


Figure 15.3-57: Distribution of Q18 in tbl.QSIAssessmentsLegacy

15.3.58 tbl_QSIAssessmentsLegacy.Q19

QSI Question 19

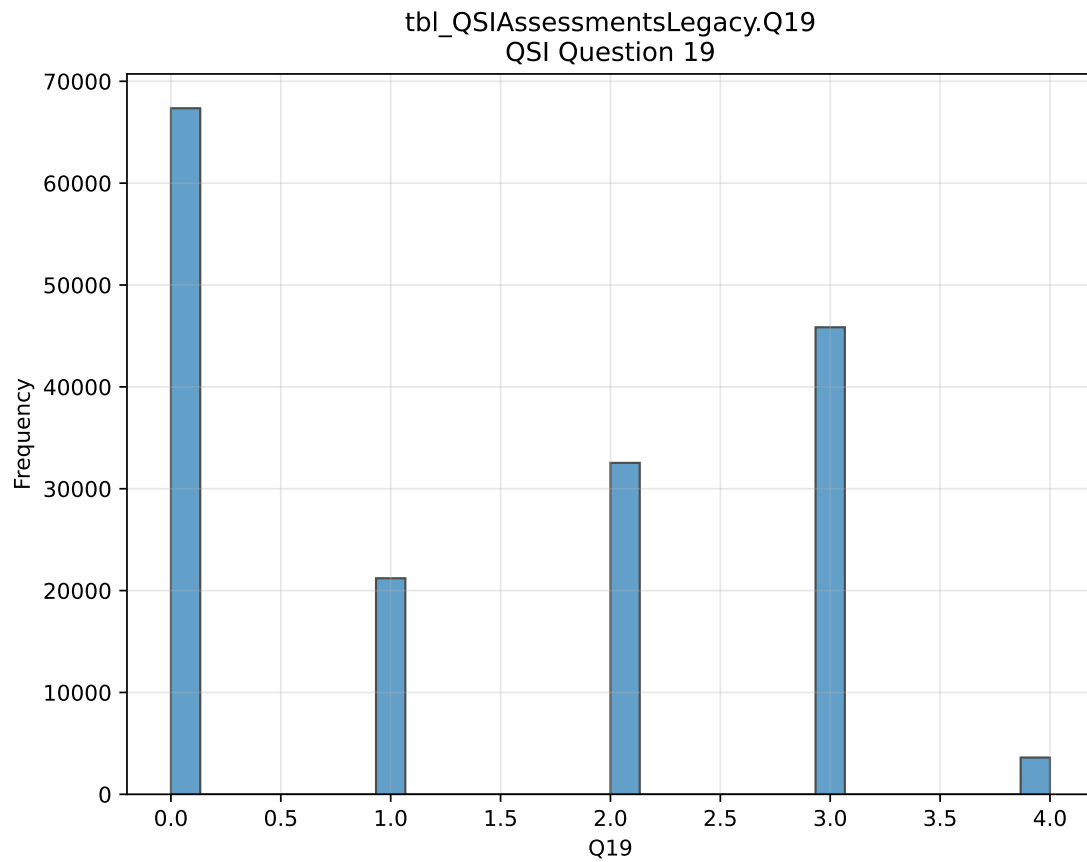


Figure 15.3-58: Distribution of Q19 in tbl_QSIAssessmentsLegacy

15.3.59 tbl_QSIAssessmentsLegacy.Q20

QSI Question 20

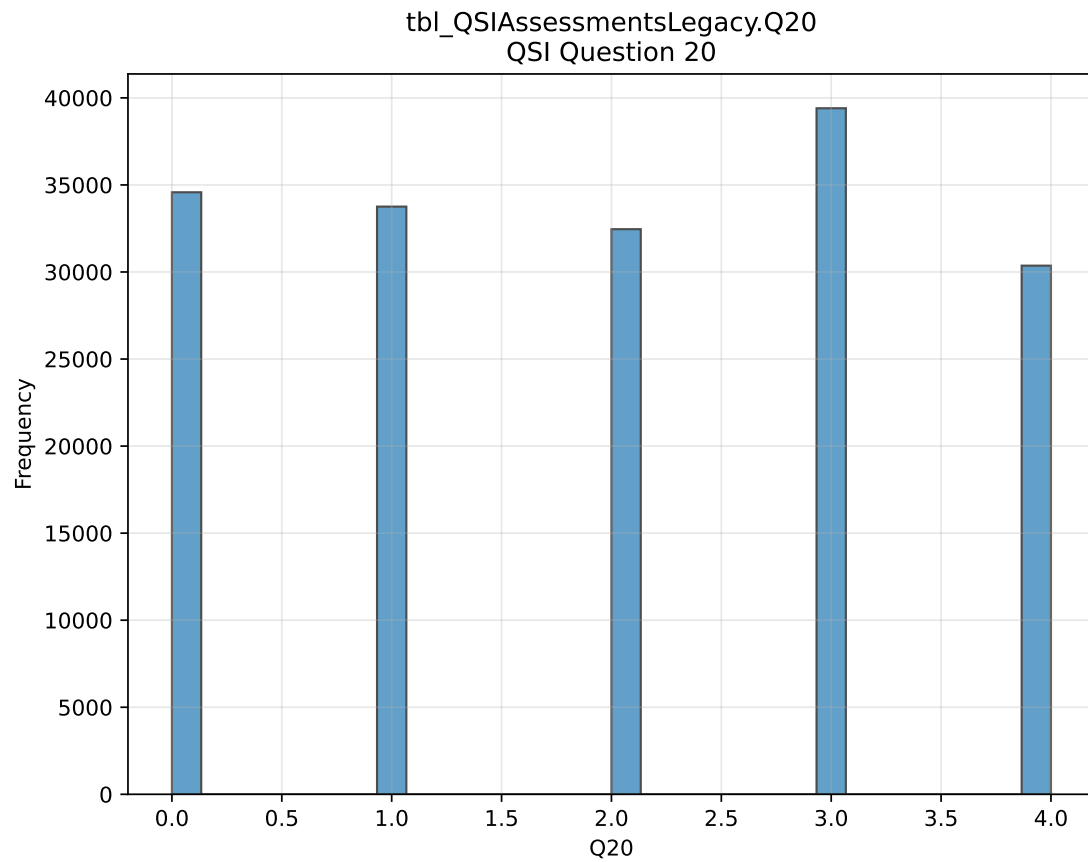


Figure 15.3-59: Distribution of Q20 in tbl_QSIAssessmentsLegacy

15.3.60 tbl_QSIAssessmentsLegacy.Q21

QSI Question 21

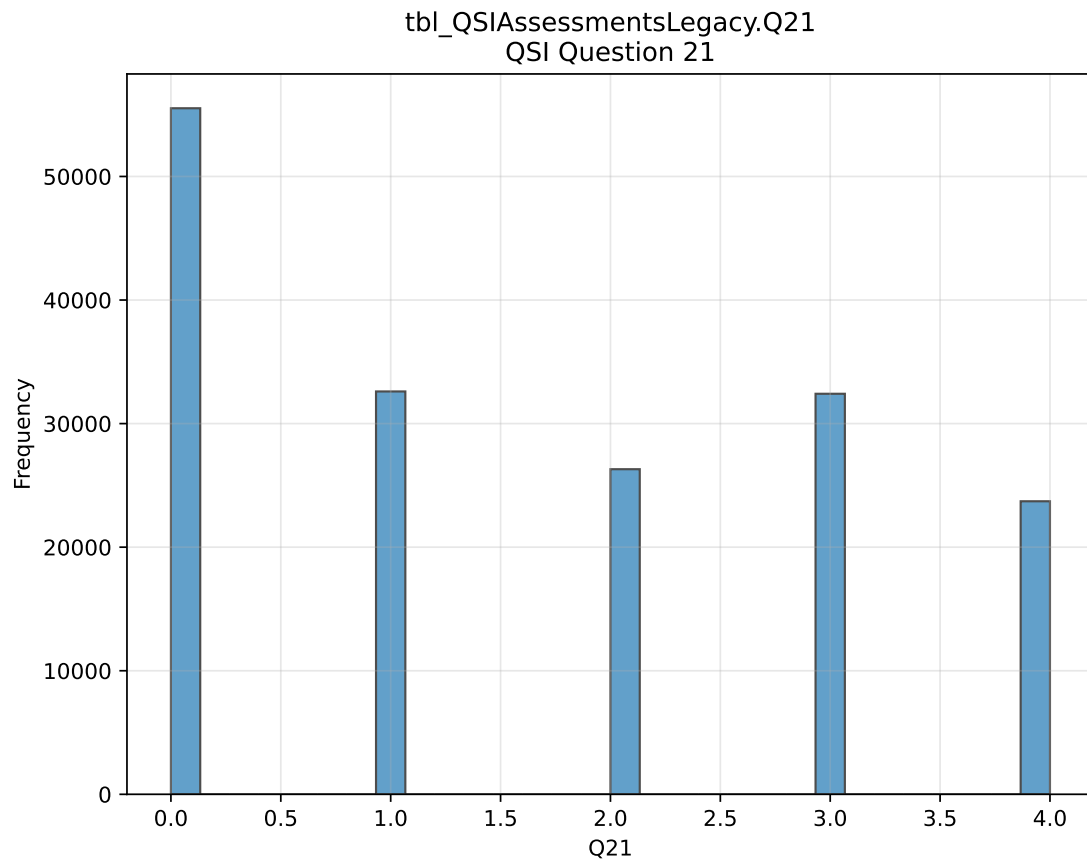


Figure 15.3-60: Distribution of Q21 in tbl_QSIAssessmentsLegacy

15.3.61 tbl_QSIAssessmentsLegacy.Q22

QSI Question 22

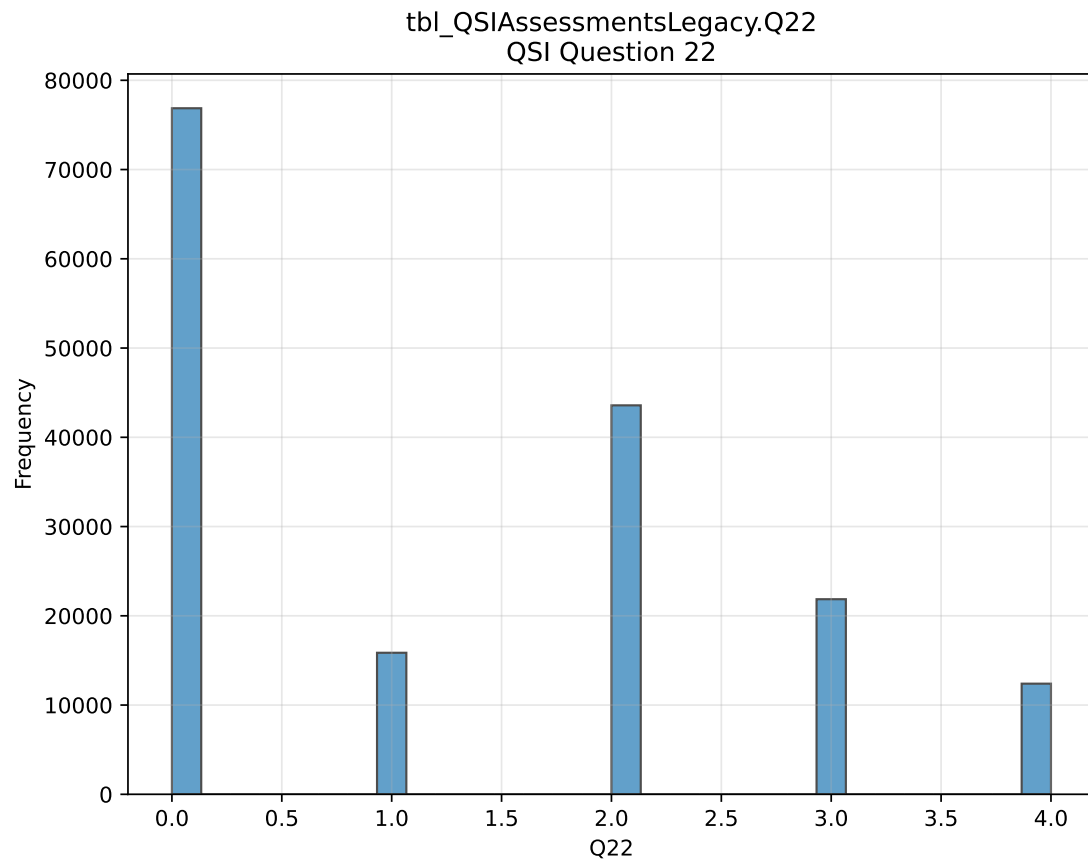


Figure 15.3-61: Distribution of Q22 in tbl_QSIAssessmentsLegacy

15.3.62 tbl_QSIAssessmentsLegacy.Q23

QSI Question 23

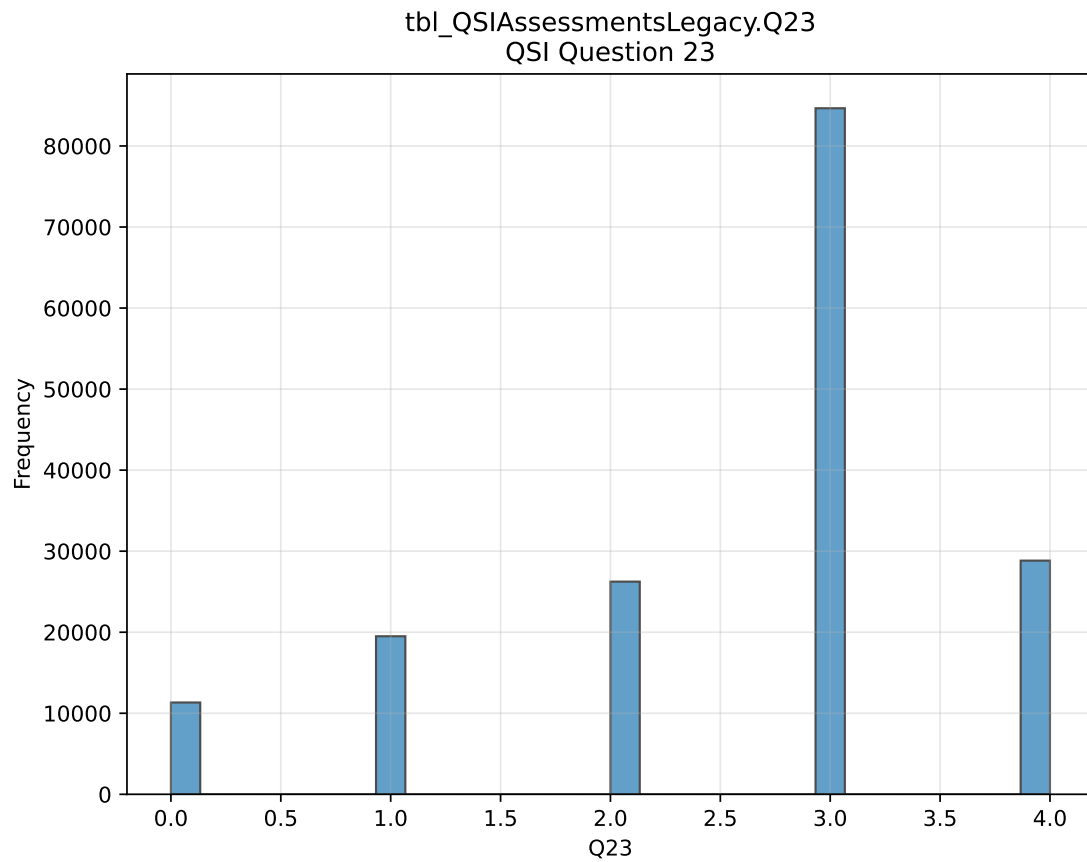


Figure 15.3-62: Distribution of Q23 in tbl_QSIAssessmentsLegacy

15.3.63 tbl_QSIAssessmentsLegacy.Q24

QSI Question 24

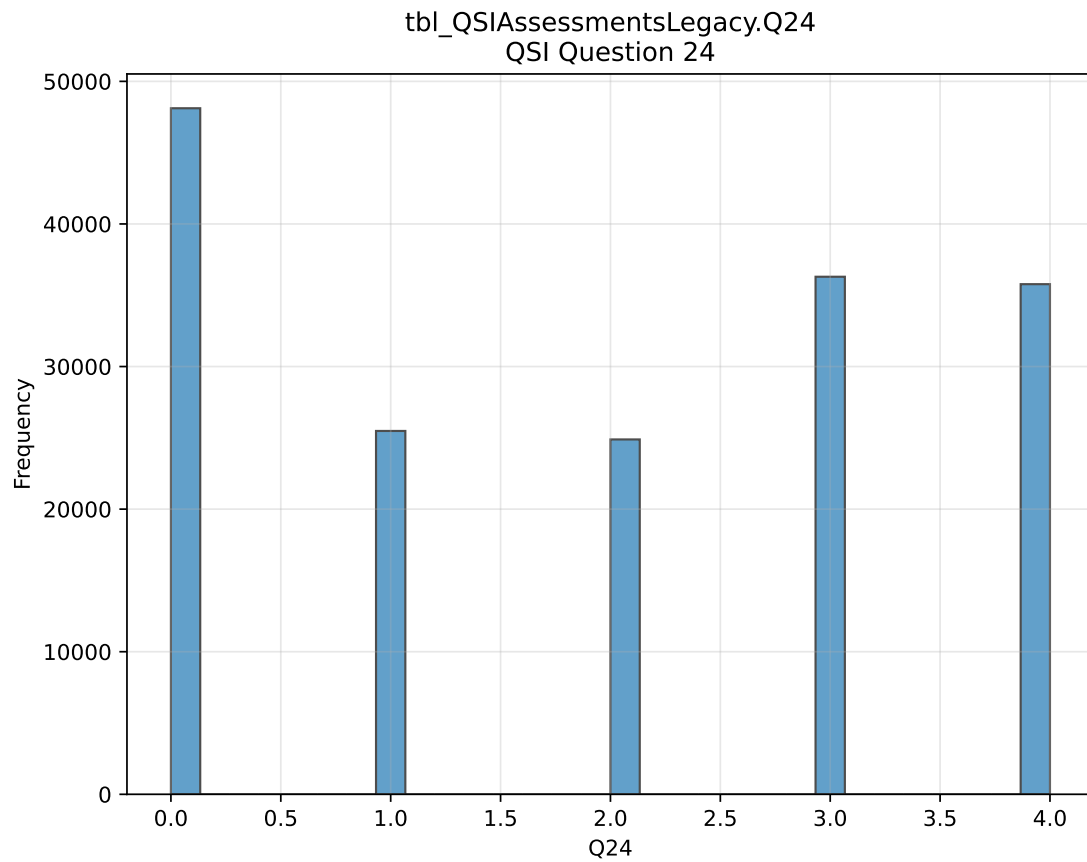


Figure 15.3-63: Distribution of Q24 in tbl_QSIAssessmentsLegacy

15.3.64 tbl_QSIAssessmentsLegacy.Q25

QSI Question 25

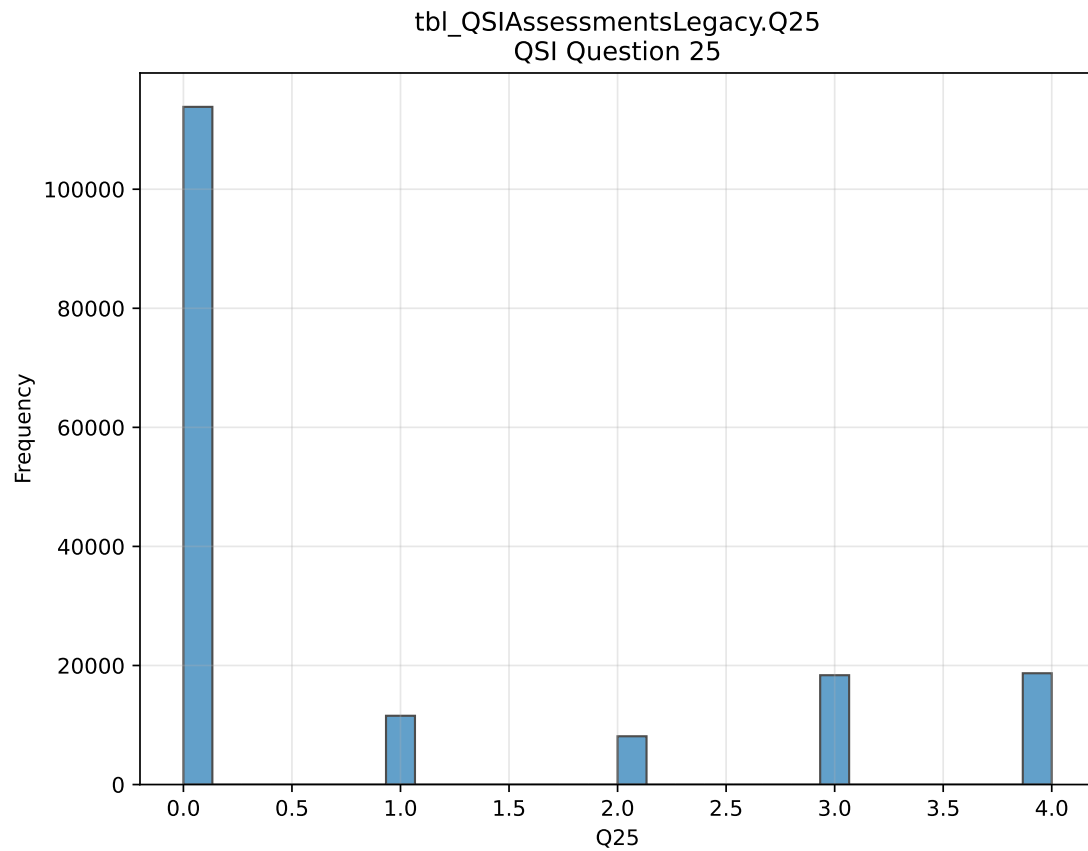


Figure 15.3-64: Distribution of Q25 in tbl_QSIAssessmentsLegacy

15.3.65 tbl_QSIAssessmentsLegacy.Q26

QSI Question 26

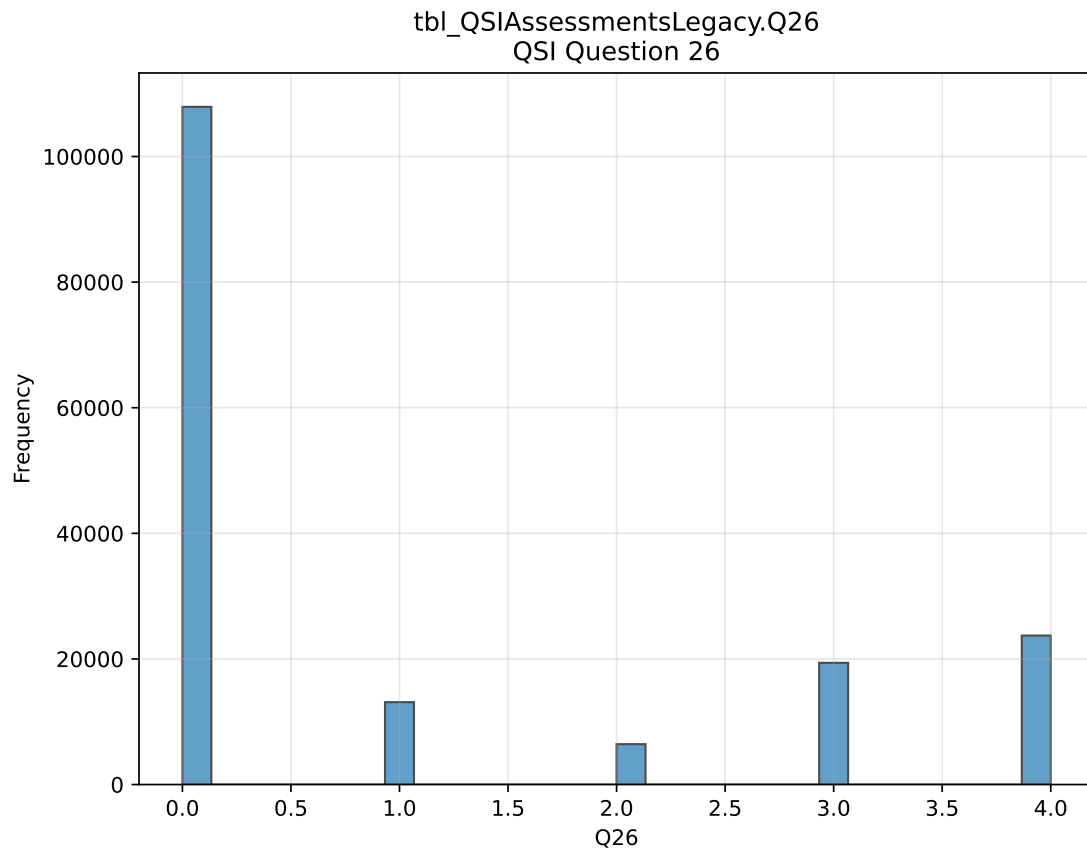


Figure 15.3-65: Distribution of Q26 in tbl_QSIAssessmentsLegacy

15.3.66 tbl_QSIAssessmentsLegacy.Q27

QSI Question 27

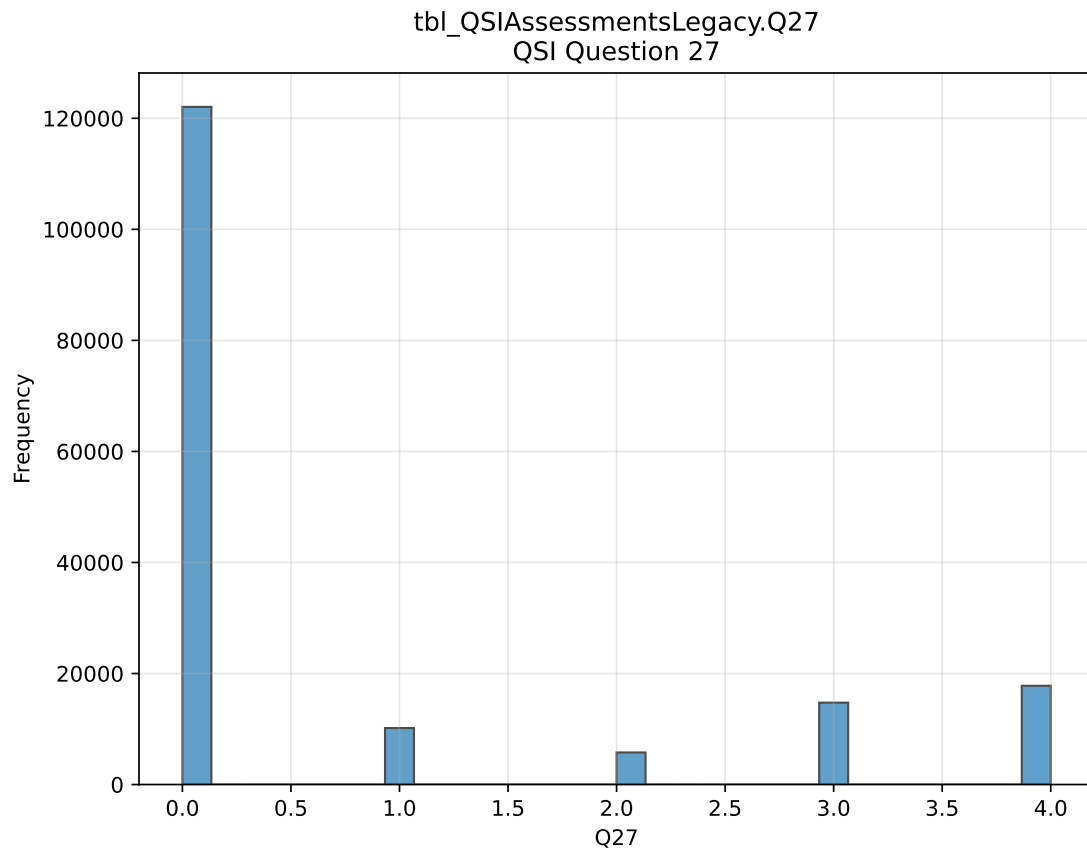


Figure 15.3-66: Distribution of Q27 in tbl.QSIAssessmentsLegacy

15.3.67 tbl_QSIAssessmentsLegacy.Q28

QSI Question 28

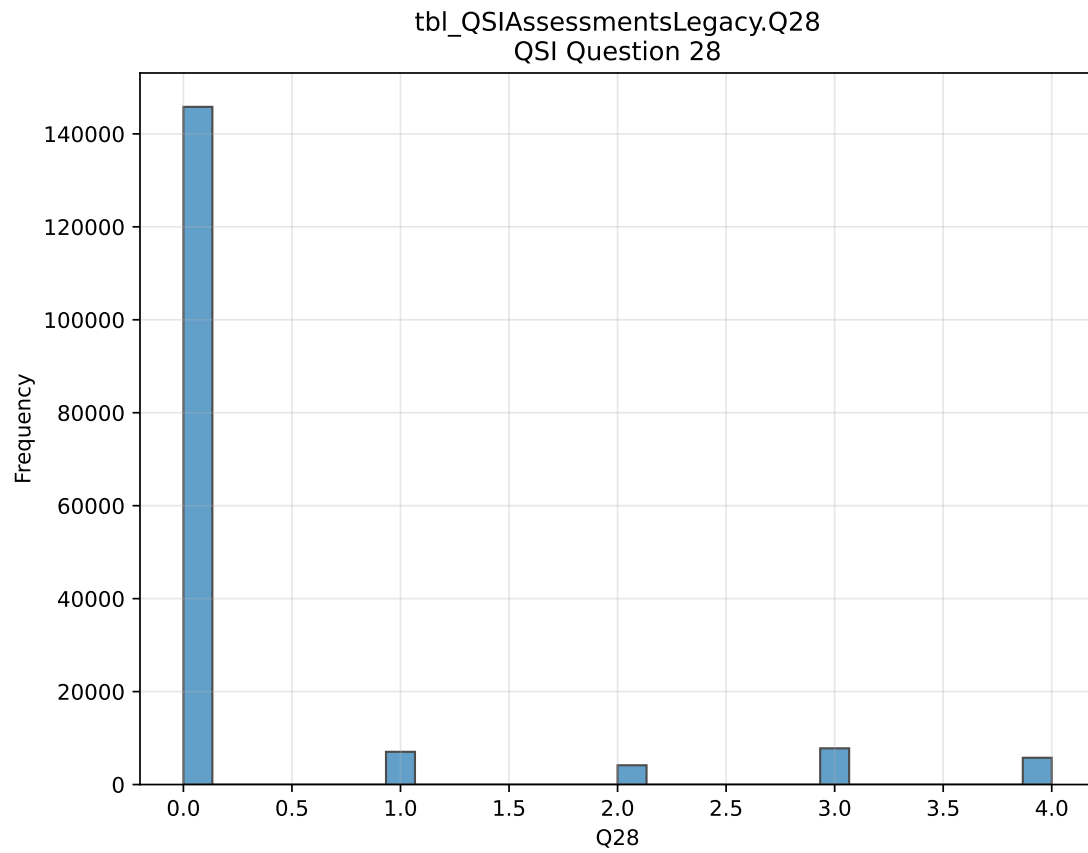


Figure 15.3-67: Distribution of Q28 in tbl.QSIAssessmentsLegacy

15.3.68 tbl_QSIAssessmentsLegacy.Q29

QSI Question 29

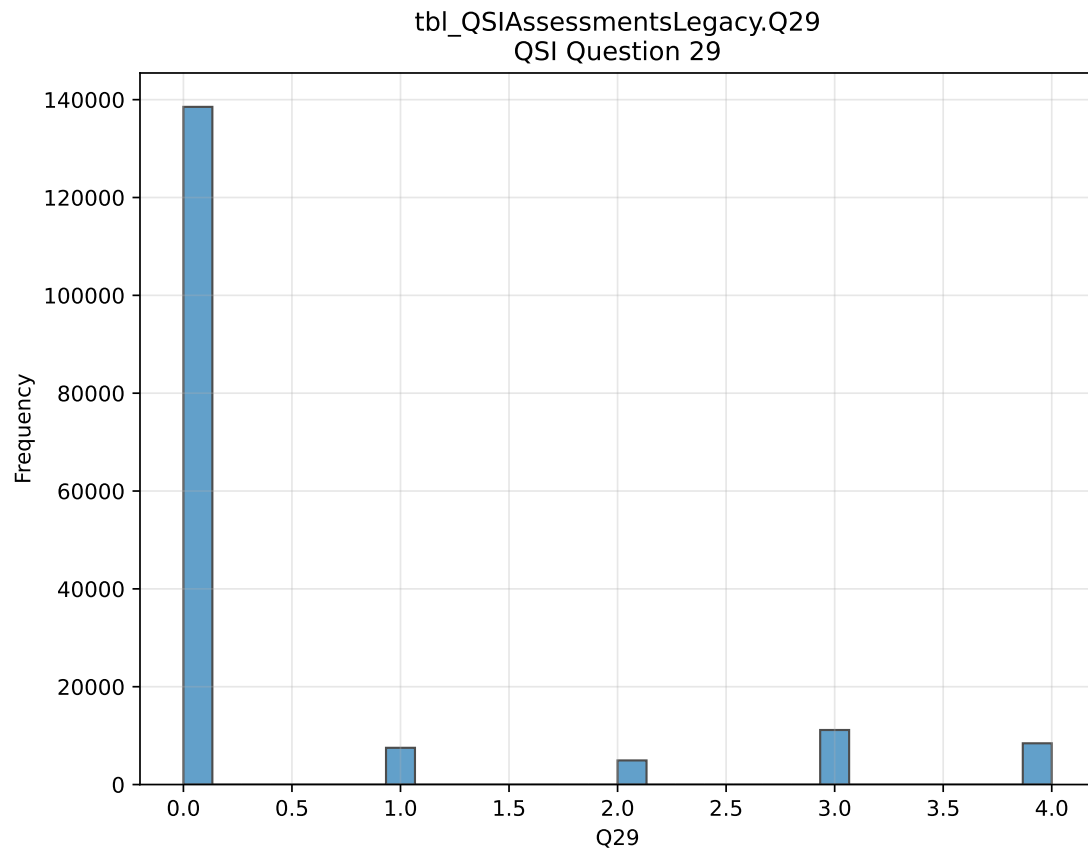


Figure 15.3-68: Distribution of Q29 in tbl.QSIAssessmentsLegacy

15.3.69 tbl_QSIAssessmentsLegacy.Q30

QSI Question 30

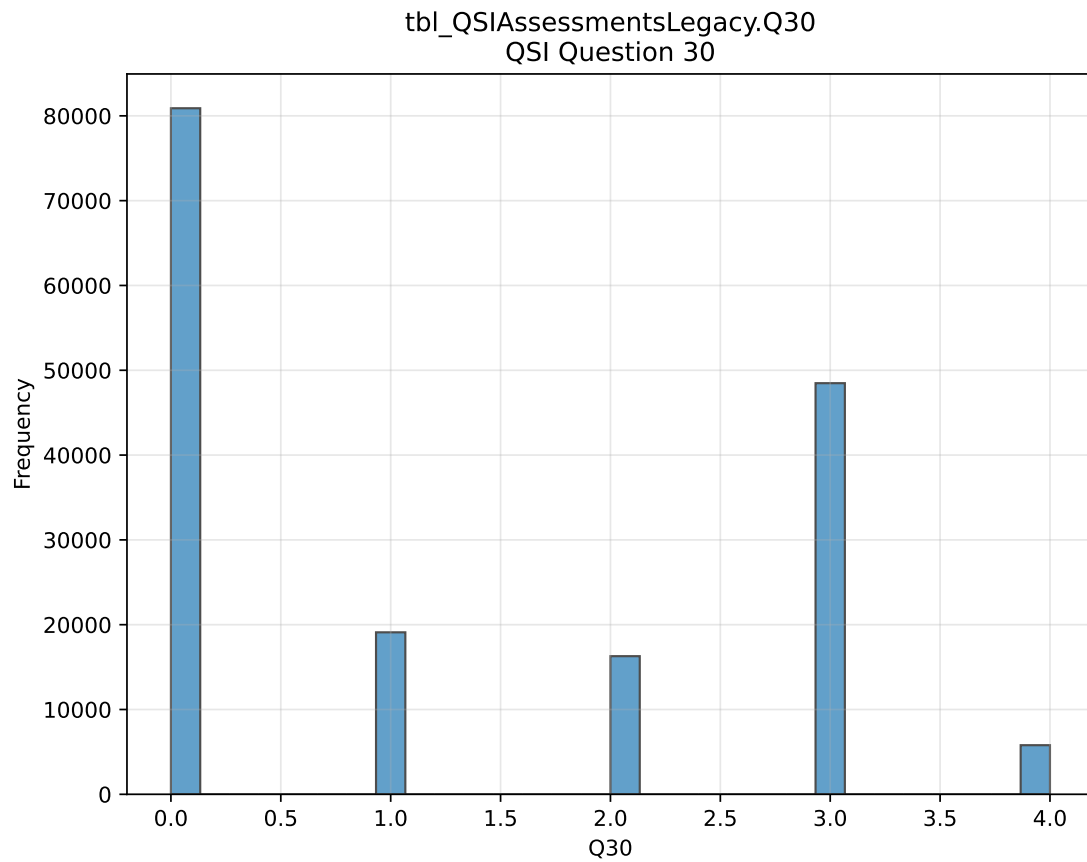


Figure 15.3-69: Distribution of Q30 in tbl_QSIAssessmentsLegacy

15.3.70 tbl_QSIAssessmentsLegacy.Q30a

QSI Question 30a

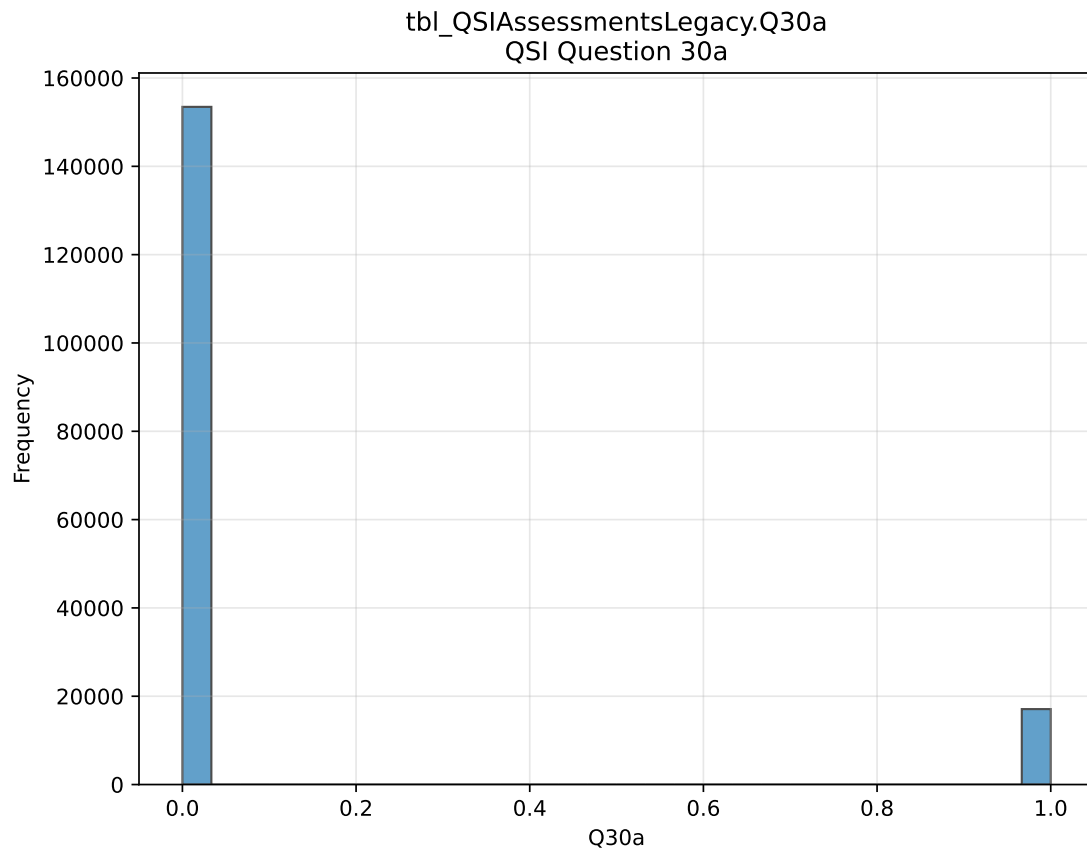


Figure 15.3-70: Distribution of Q30a in tbl_QSIAssessmentsLegacy

15.3.71 tbl_QSIAssessmentsLegacy.Q30b

QSI Question 30b

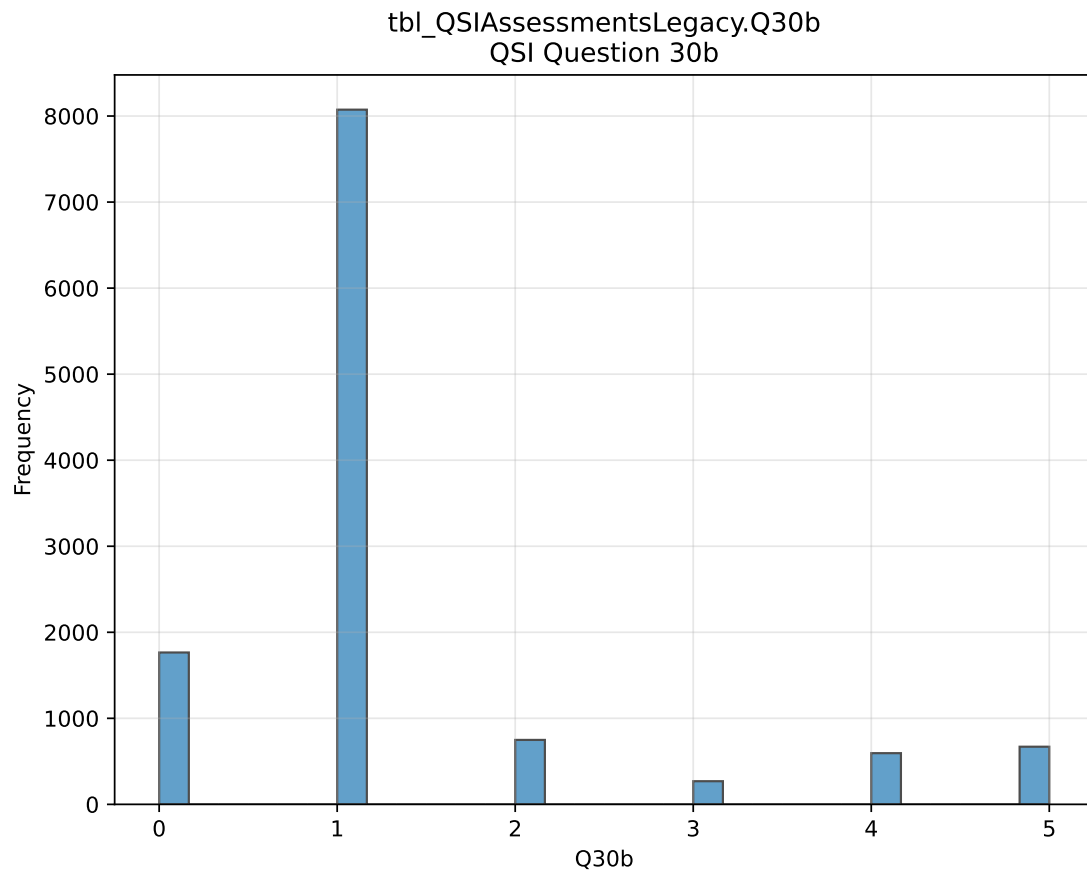


Figure 15.3-71: Distribution of Q30b in tbl_QSIAssessmentsLegacy

15.3.72 tbl.QSIAssessmentsLegacy.Q31

QSI Question 31

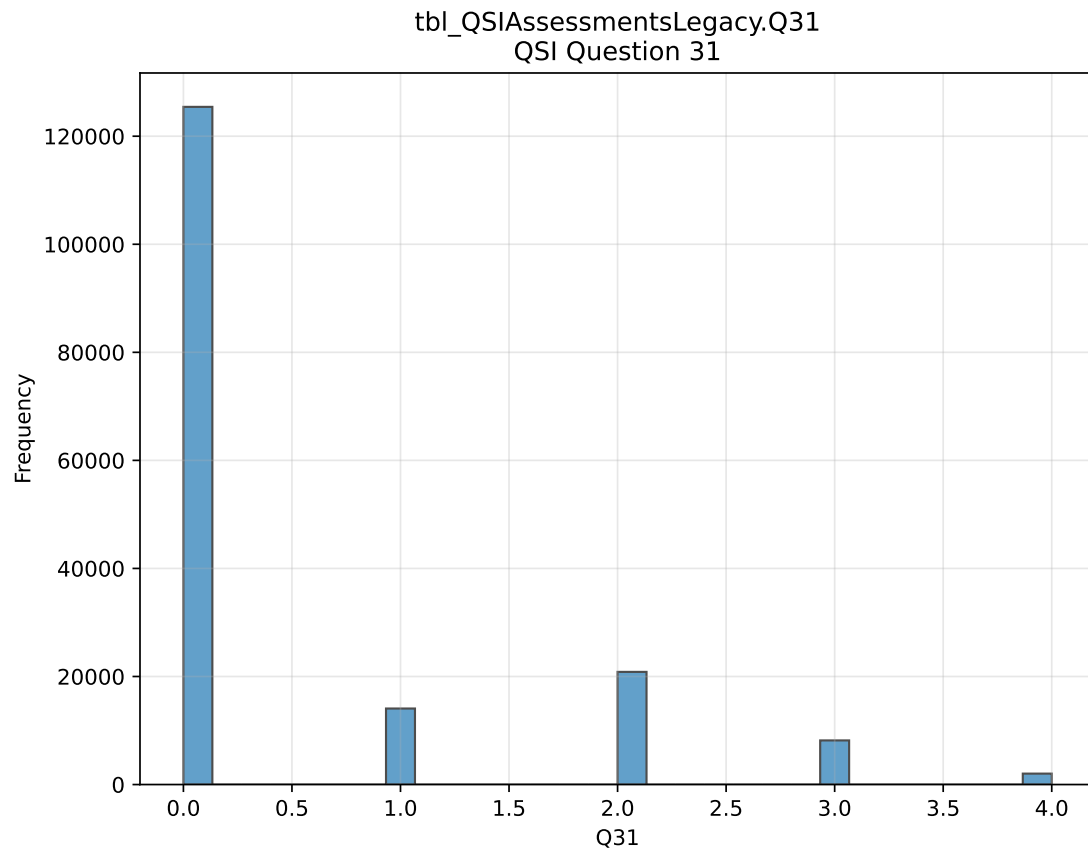


Figure 15.3-72: Distribution of Q31 in tbl.QSIAssessmentsLegacy

15.3.73 tbl_QSIAssessmentsLegacy.Q32

QSI Question 32

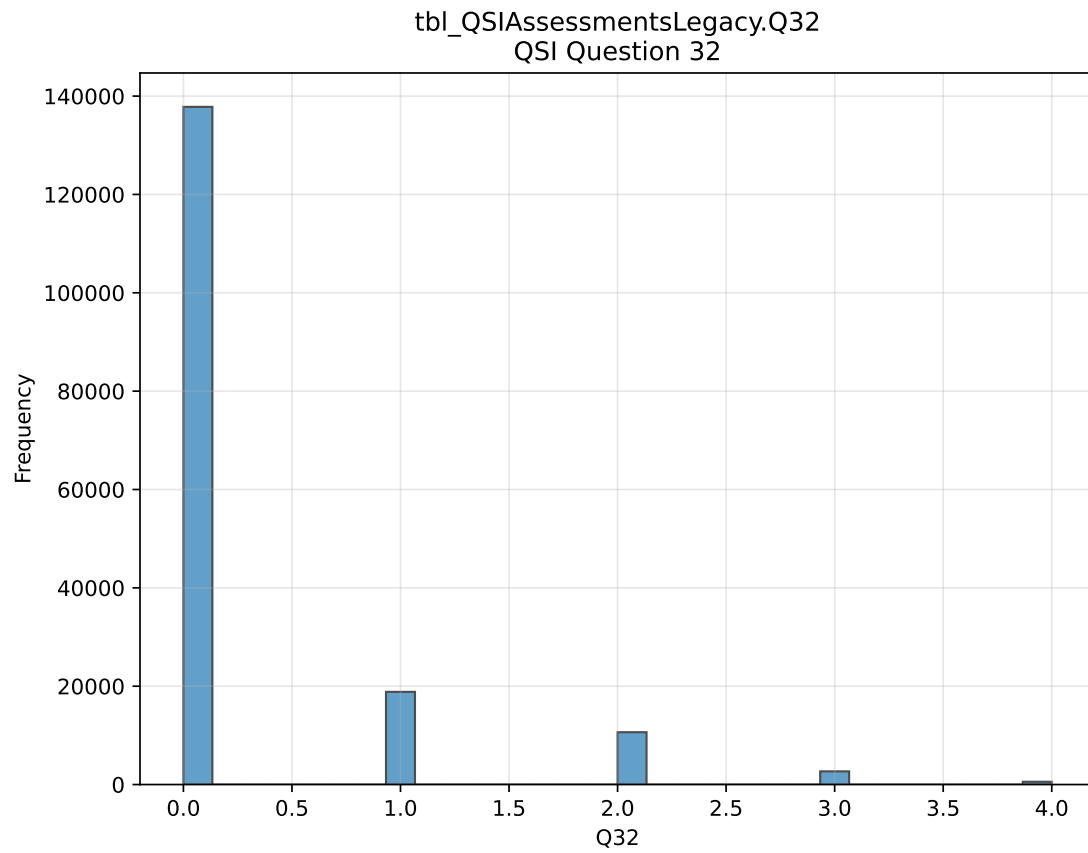


Figure 15.3-73: Distribution of Q32 in tbl.QSIAssessmentsLegacy

15.3.74 tbl_QSIAssessmentsLegacy.Q33

QSI Question 33

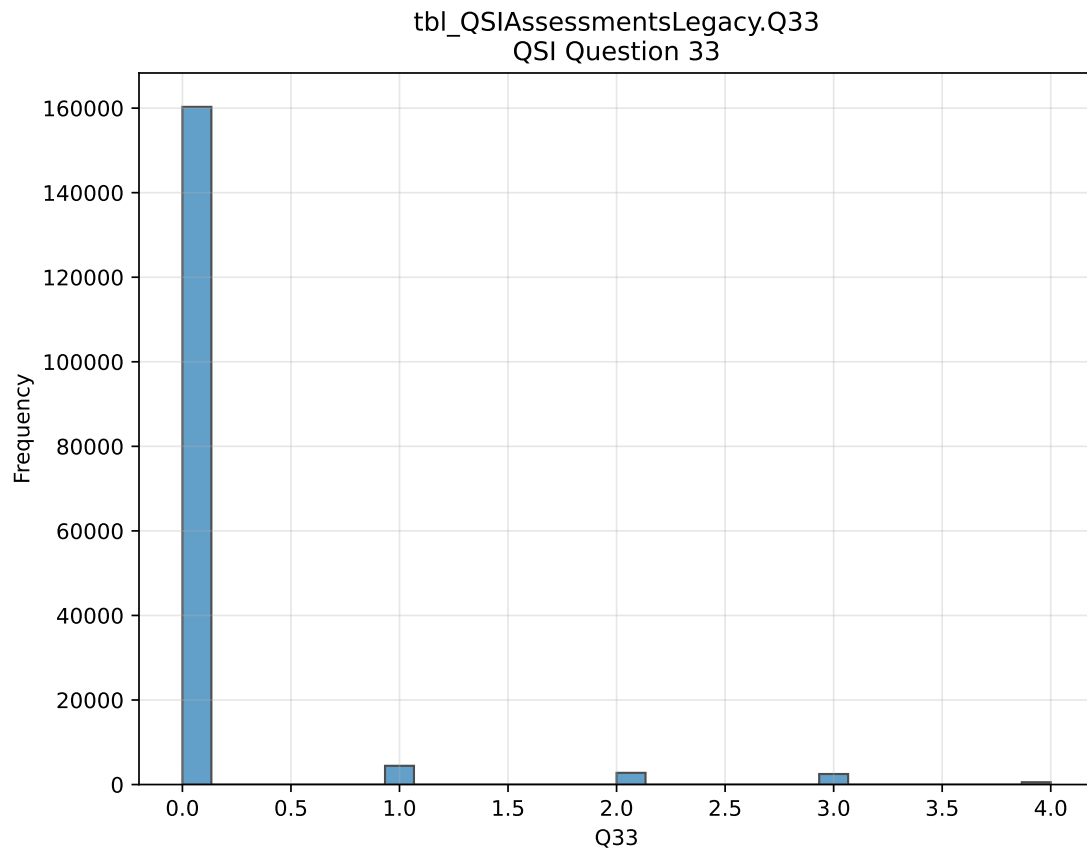


Figure 15.3-74: Distribution of Q33 in tbl.QSIAssessmentsLegacy

15.3.75 tbl_QSIAssessmentsLegacy.Q34

QSI Question 34

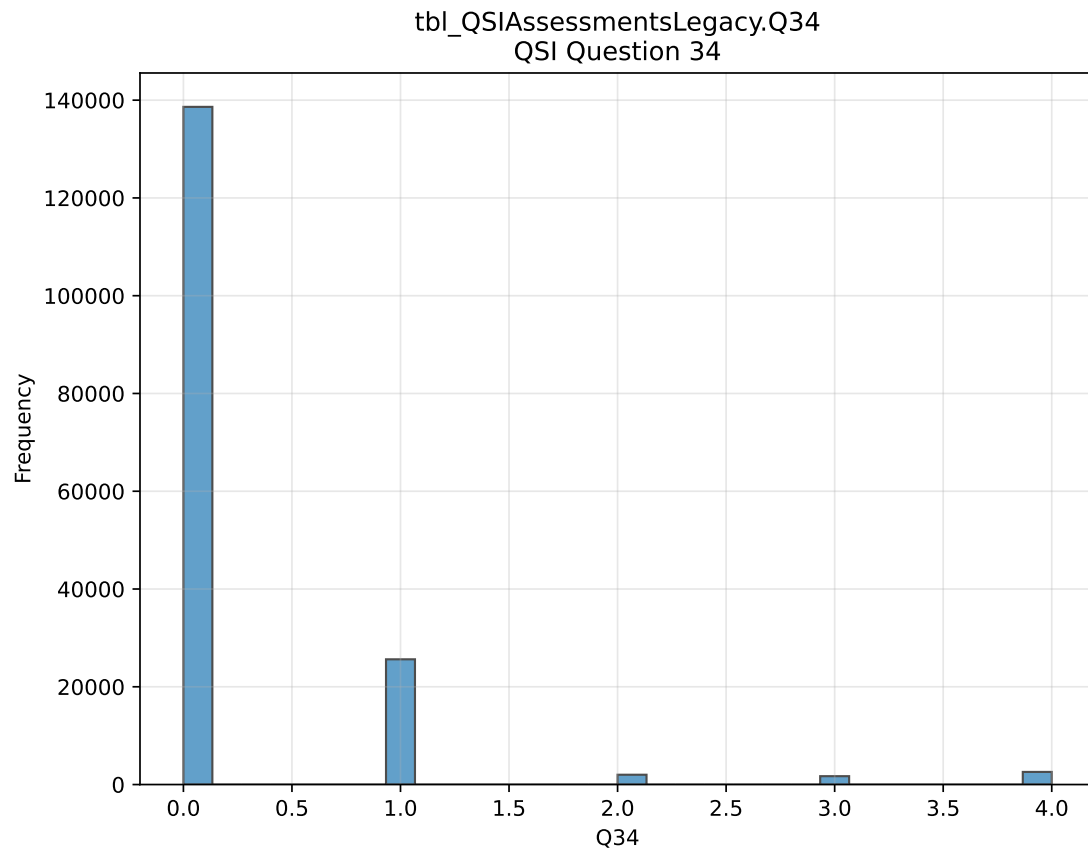


Figure 15.3-75: Distribution of Q34 in tbl.QSIAssessmentsLegacy

15.3.76 tbl_QSIAssessmentsLegacy.Q35

QSI Question 35

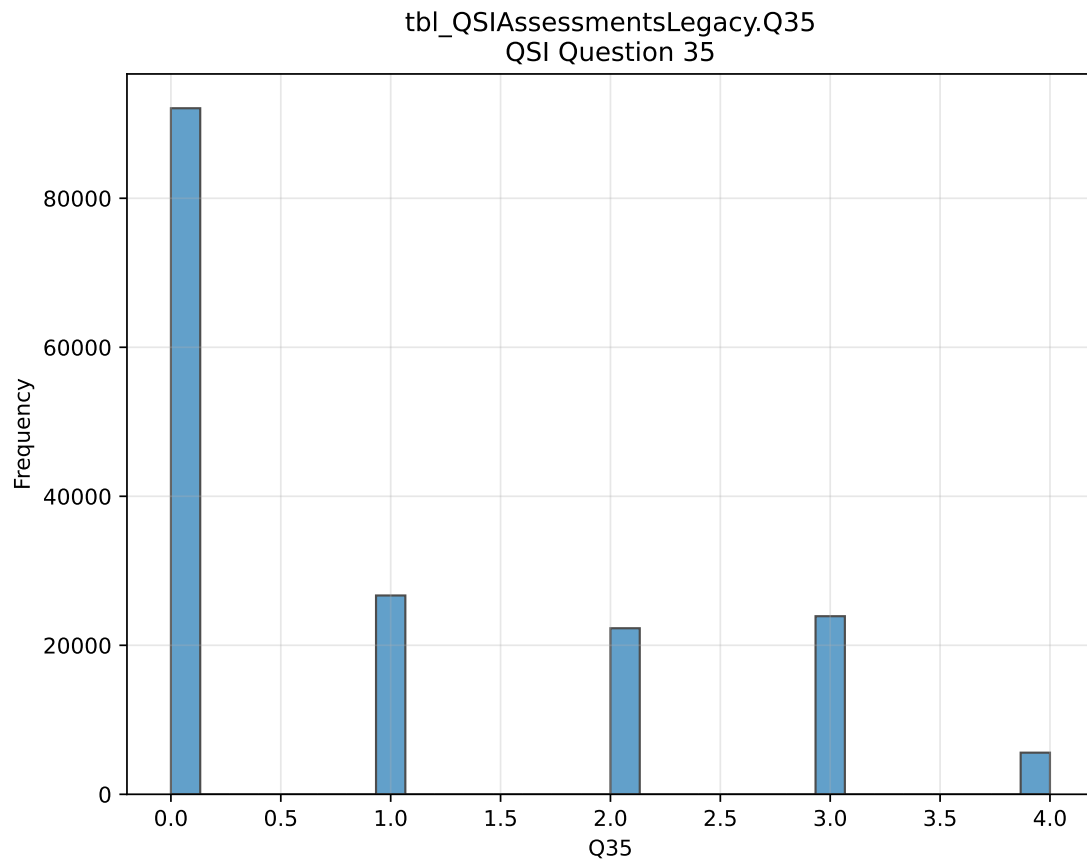


Figure 15.3-76: Distribution of Q35 in tbl_QSIAssessmentsLegacy

15.3.77 tbl_QSIAssessmentsLegacy.Q36

QSI Question 36

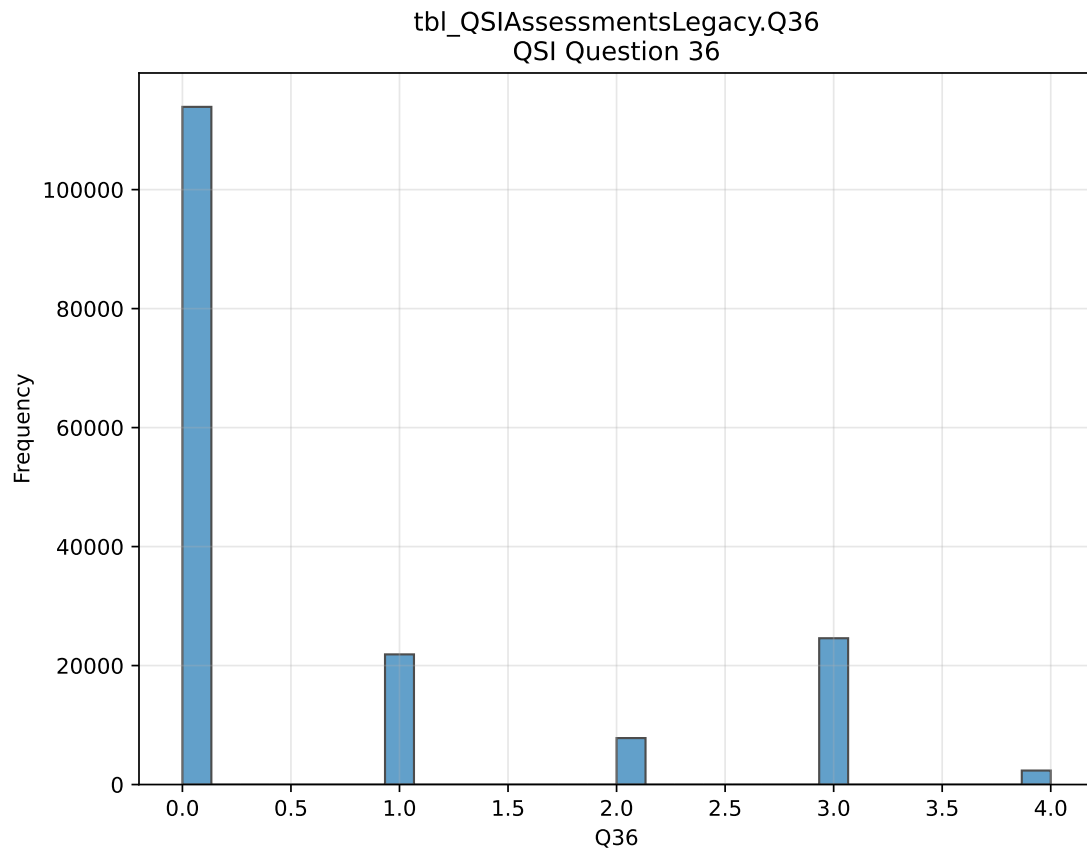


Figure 15.3-77: Distribution of Q36 in tbl_QSIAssessmentsLegacy

15.3.78 tbl.QSIAssessmentsLegacy.Q37

QSI Quesiton 37

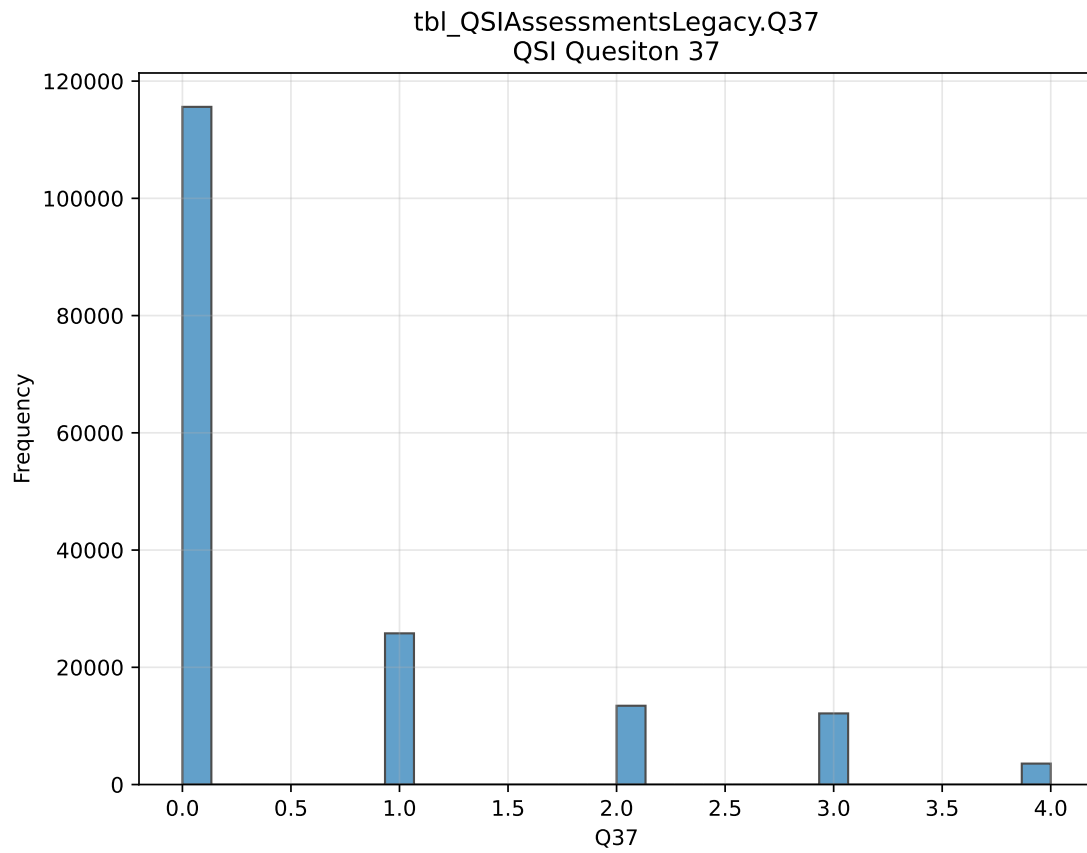


Figure 15.3-78: Distribution of Q37 in tbl.QSIAssessmentsLegacy

15.3.79 tbl_QSIAssessmentsLegacy.Q38

QSI Question 38

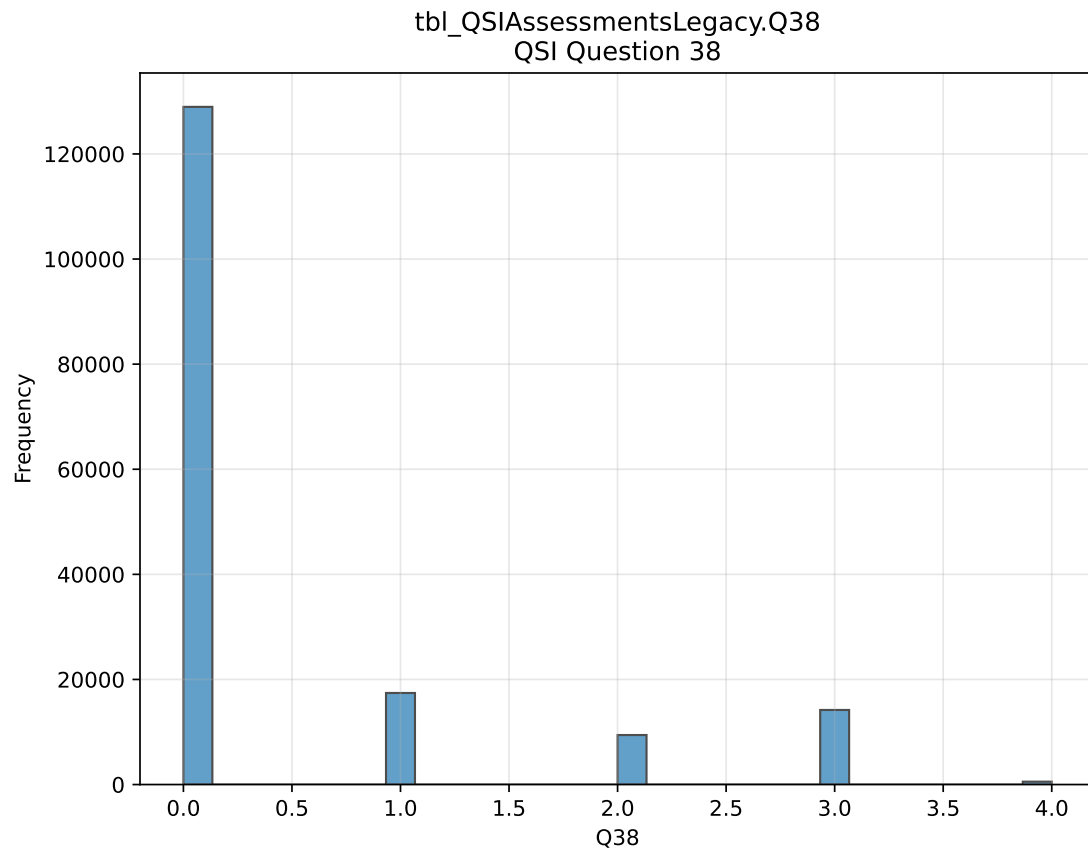


Figure 15.3-79: Distribution of Q38 in tbl.QSIAssessmentsLegacy

15.3.80 tbl_QSIAssessmentsLegacy.Q39

QSI Question 39

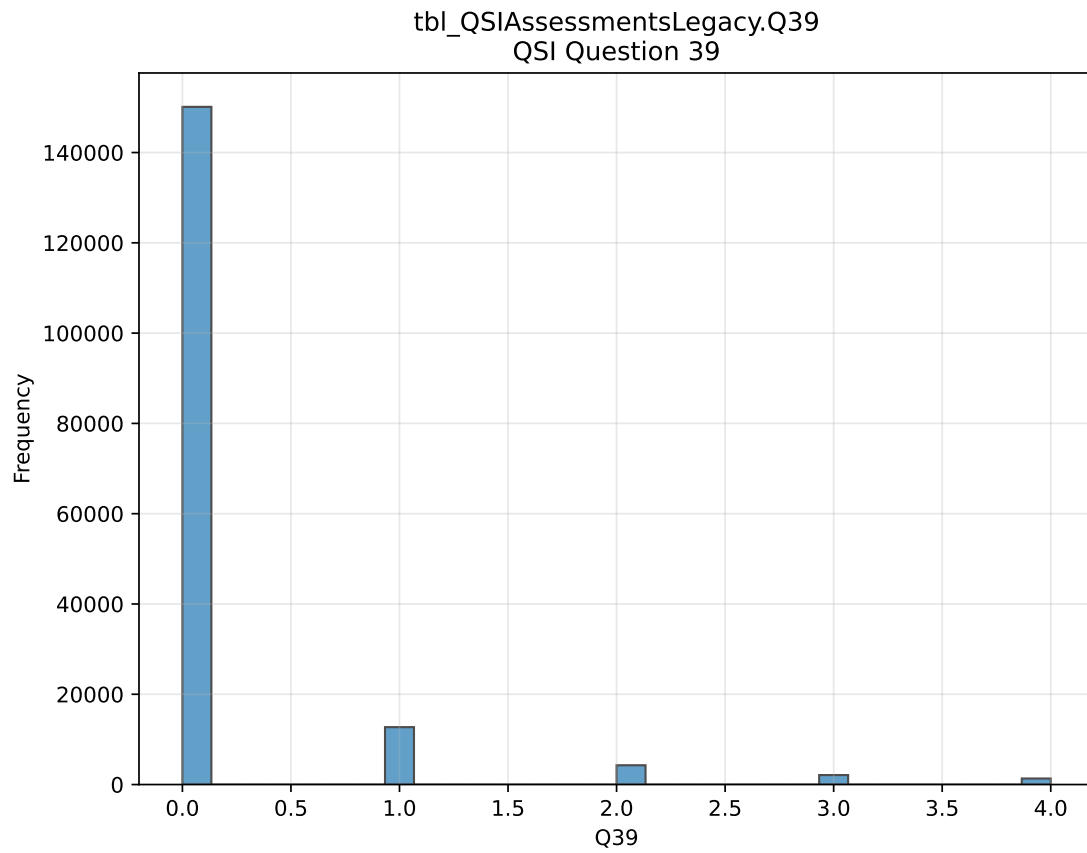


Figure 15.3-80: Distribution of Q39 in tbl.QSIAssessmentsLegacy

15.3.81 tbl_QSIAssessmentsLegacy.Q40

QSI Question 40

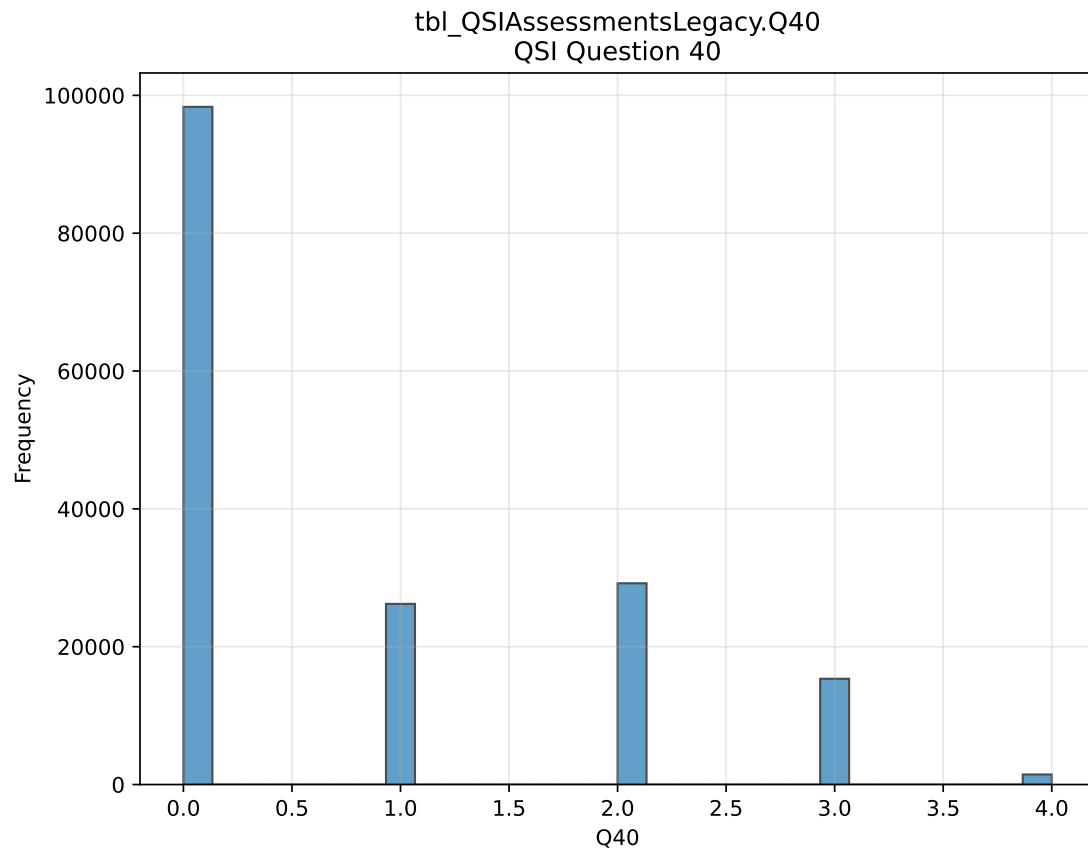


Figure 15.3-81: Distribution of Q40 in tbl.QSIAssessmentsLegacy

15.3.82 tbl_QSIAssessmentsLegacy.Q41

QSI Question 41

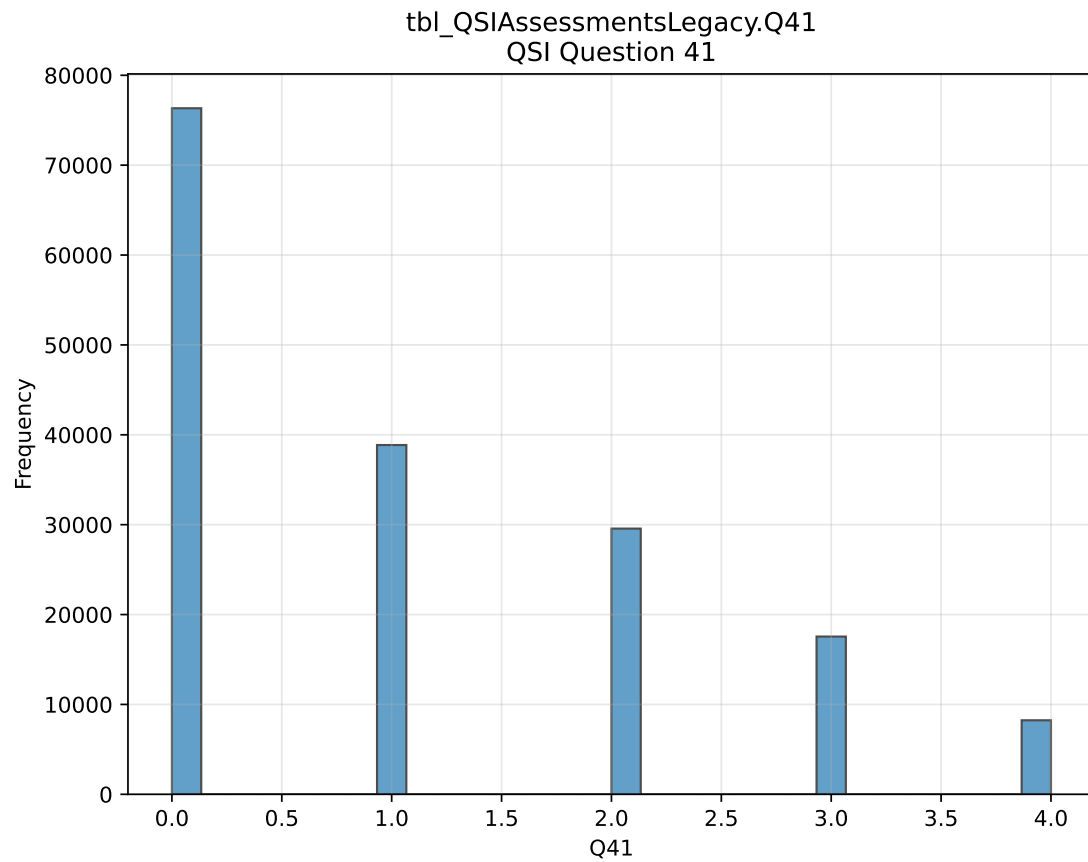


Figure 15.3-82: Distribution of Q41 in tbl_QSIAssessmentsLegacy

15.3.83 tbl_QSIAssessmentsLegacy.Q42

QSI Question 42

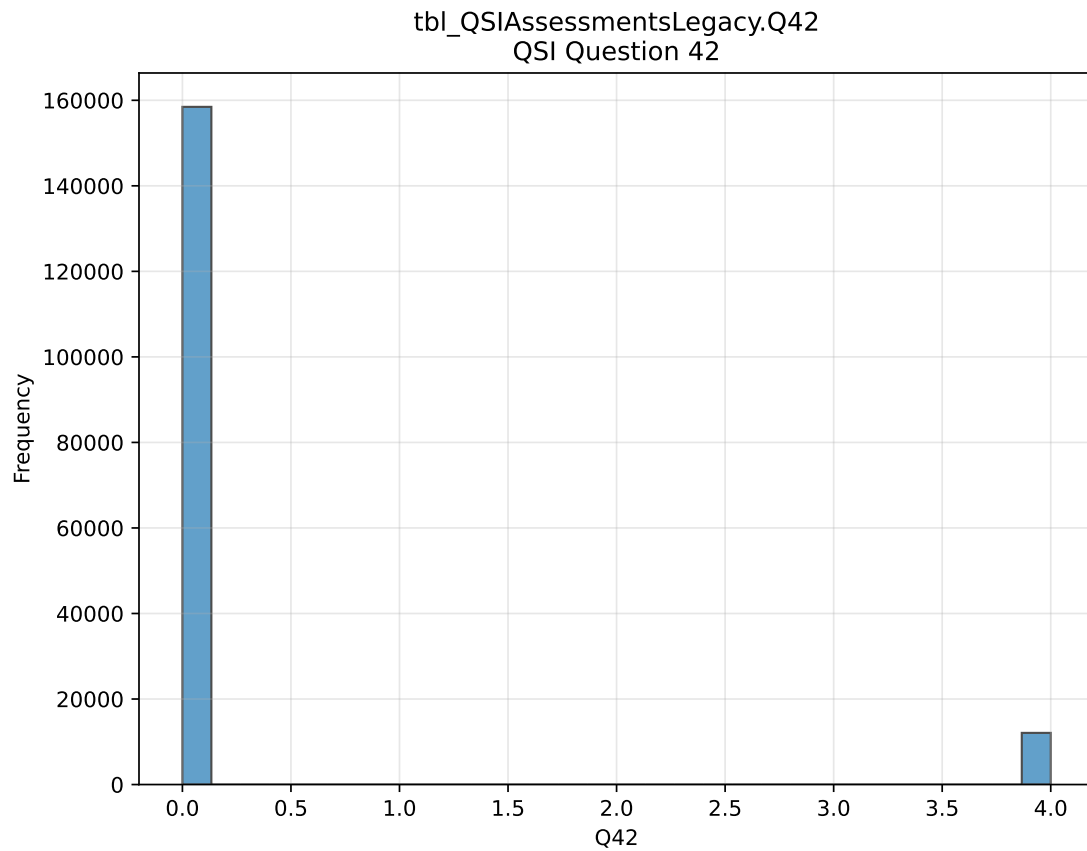


Figure 15.3-83: Distribution of Q42 in tbl_QSIAssessmentsLegacy

15.3.84 tbl_QSIAssessmentsLegacy.Q43

QSI Question 43

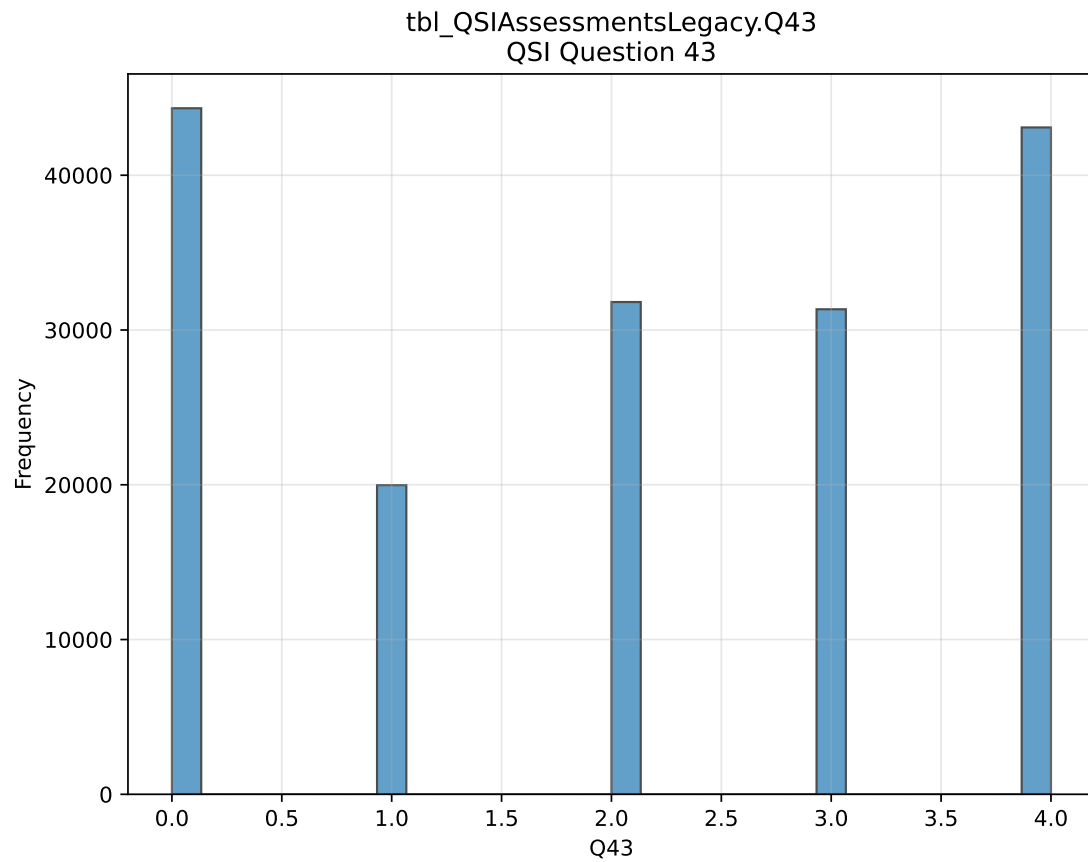


Figure 15.3-84: Distribution of Q43 in tbl_QSIAssessmentsLegacy

15.3.85 tbl_QSIAssessmentsLegacy.Q44

QSI Question 44

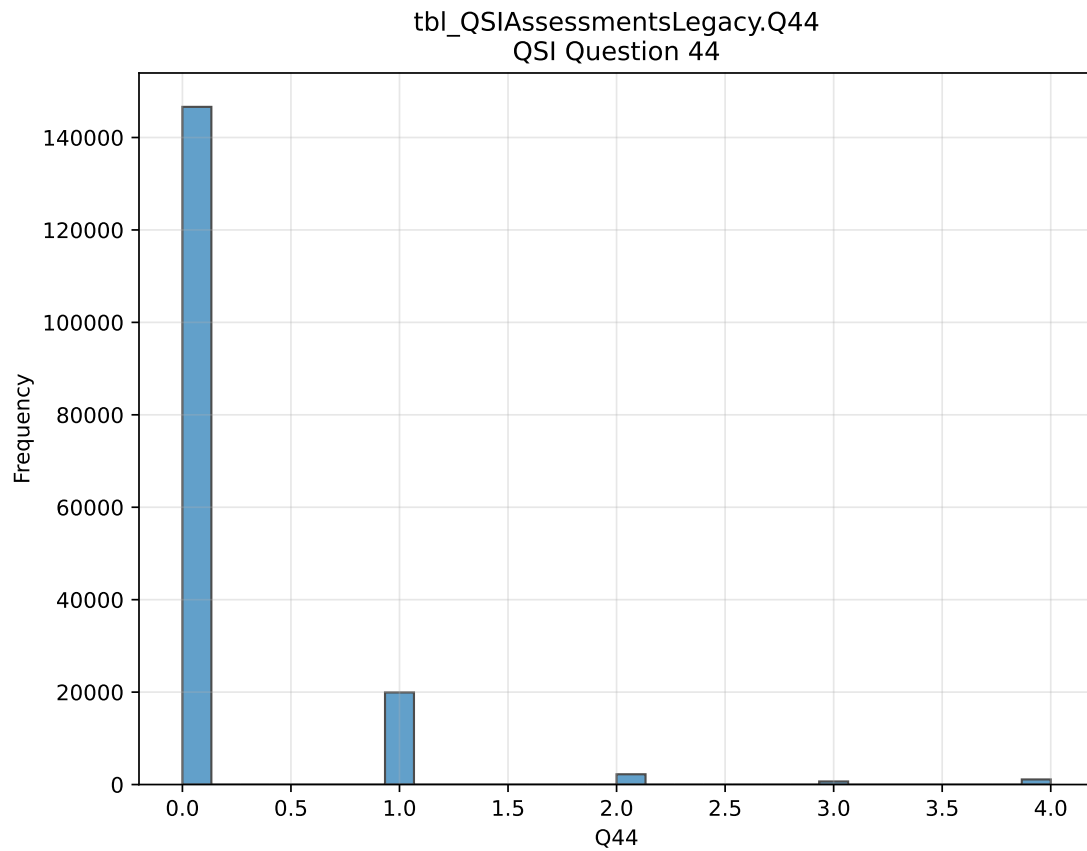


Figure 15.3-85: Distribution of Q44 in tbl.QSIAssessmentsLegacy

15.3.86 tbl_QSIAssessmentsLegacy.Q45

QSI Question 45

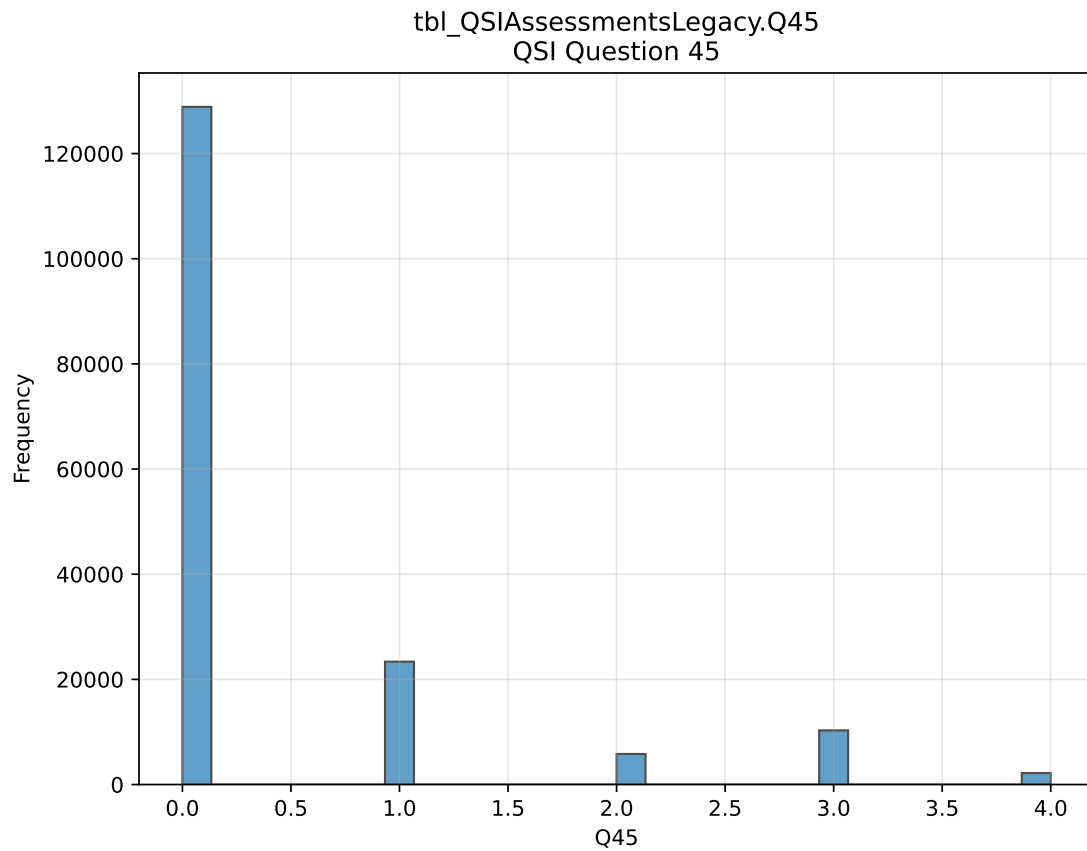


Figure 15.3-86: Distribution of Q45 in tbl.QSIAssessmentsLegacy

15.3.87 tbl_QSIAssessmentsLegacy.Q46

QSI Question 46

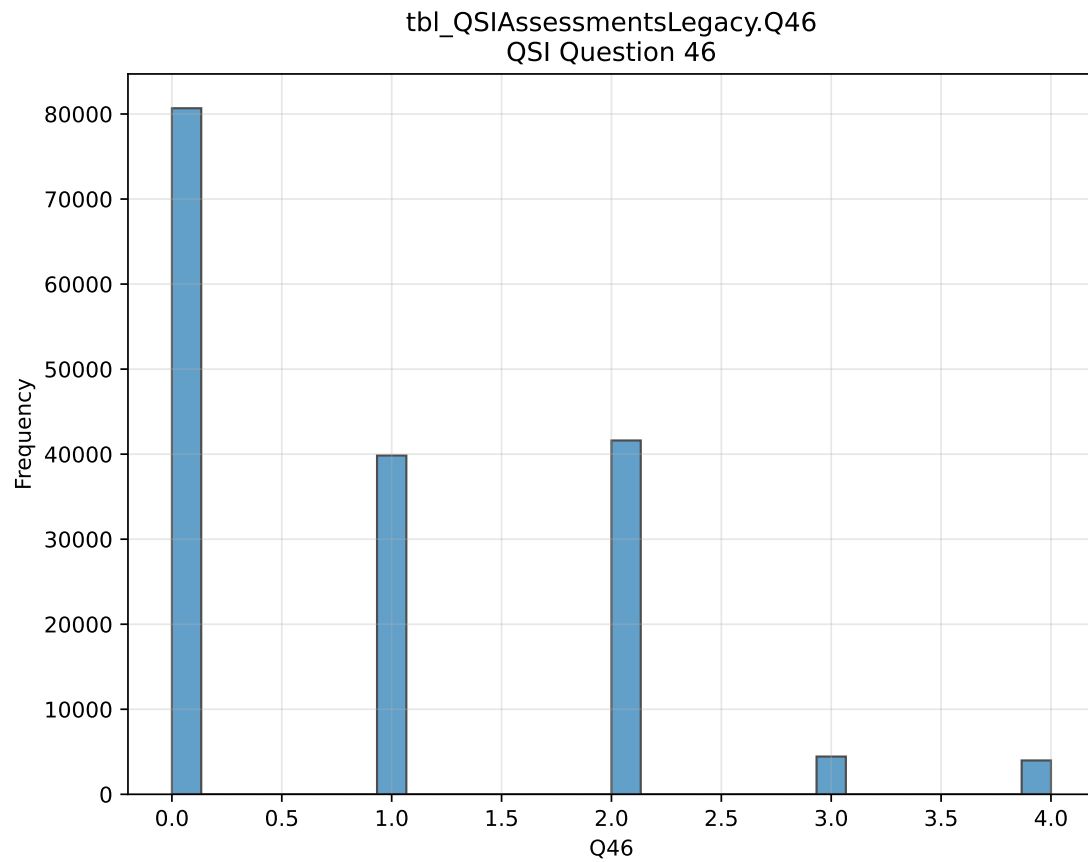


Figure 15.3-87: Distribution of Q46 in tbl_QSIAssessmentsLegacy

15.3.88 tbl.QSIAssessmentsLegacy.Q47

QSI Question 47

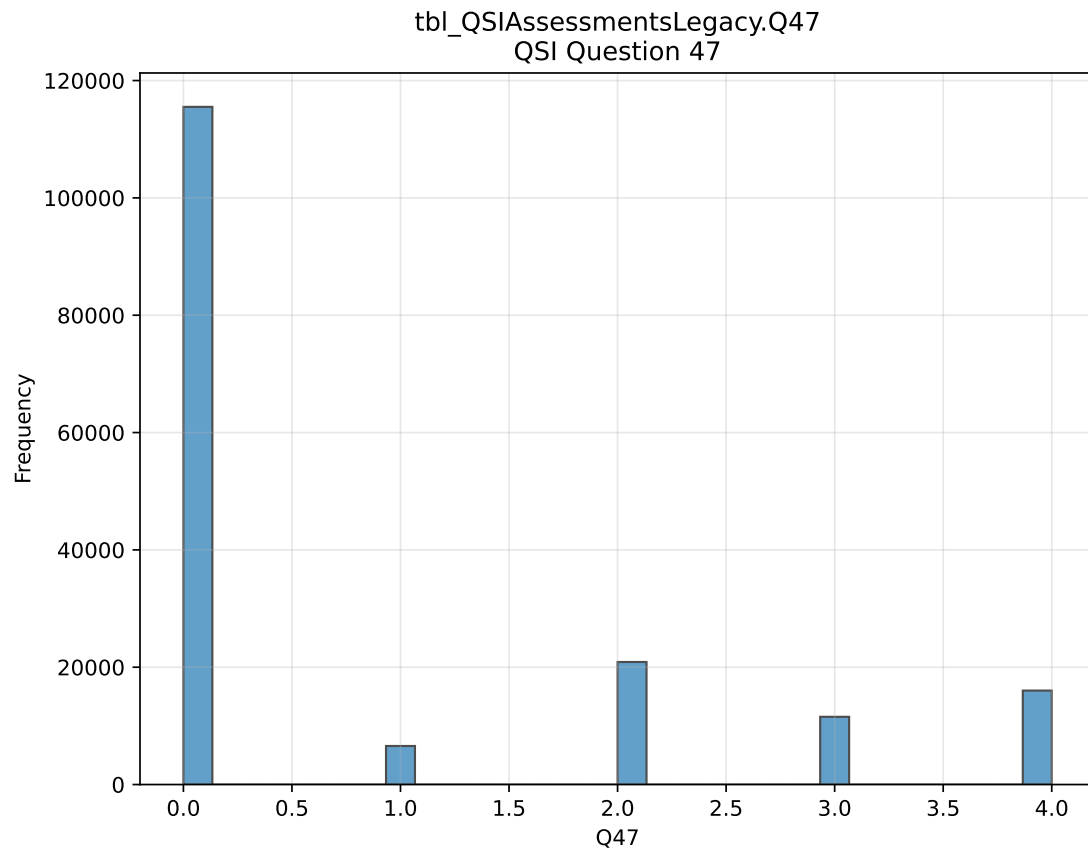


Figure 15.3-88: Distribution of Q47 in tbl.QSIAssessmentsLegacy

15.3.89 tbl_QSIAssessmentsLegacy.Q48

QSI Question 48

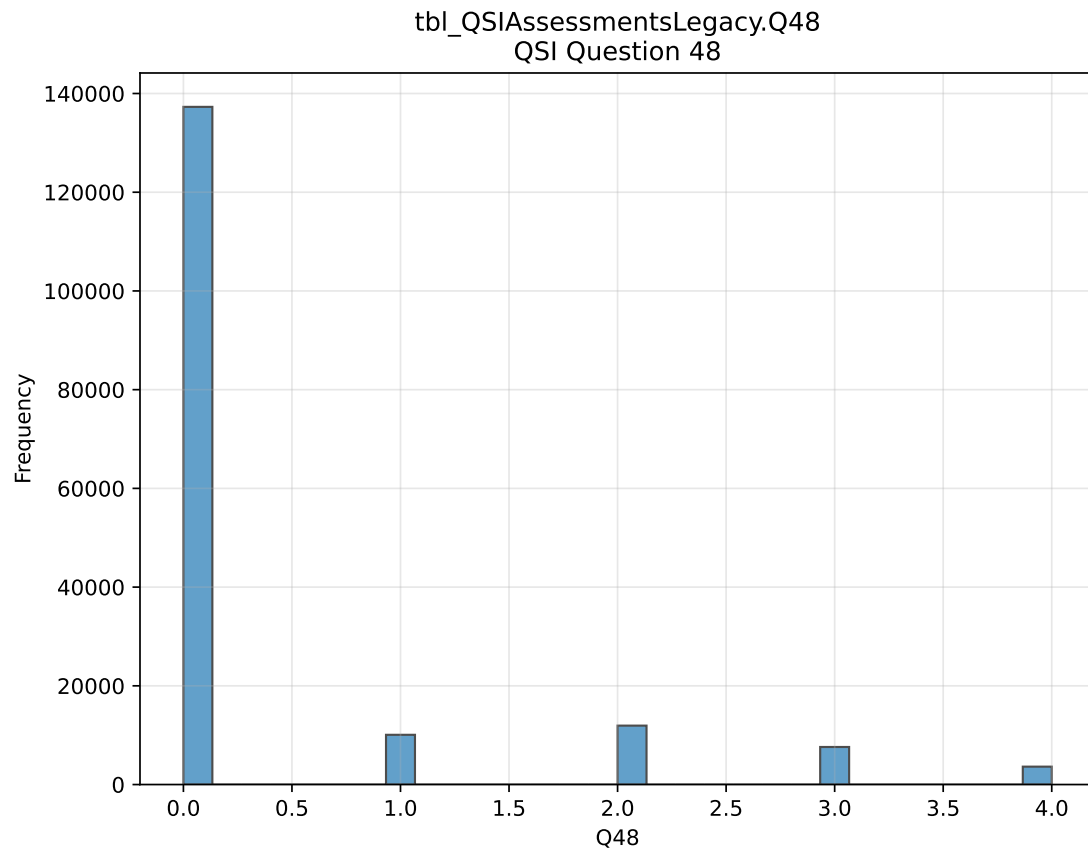


Figure 15.3-89: Distribution of Q48 in tbl_QSIAssessmentsLegacy

15.3.90 tbl_QSIAssessmentsLegacy.Q49

QSI Question 49

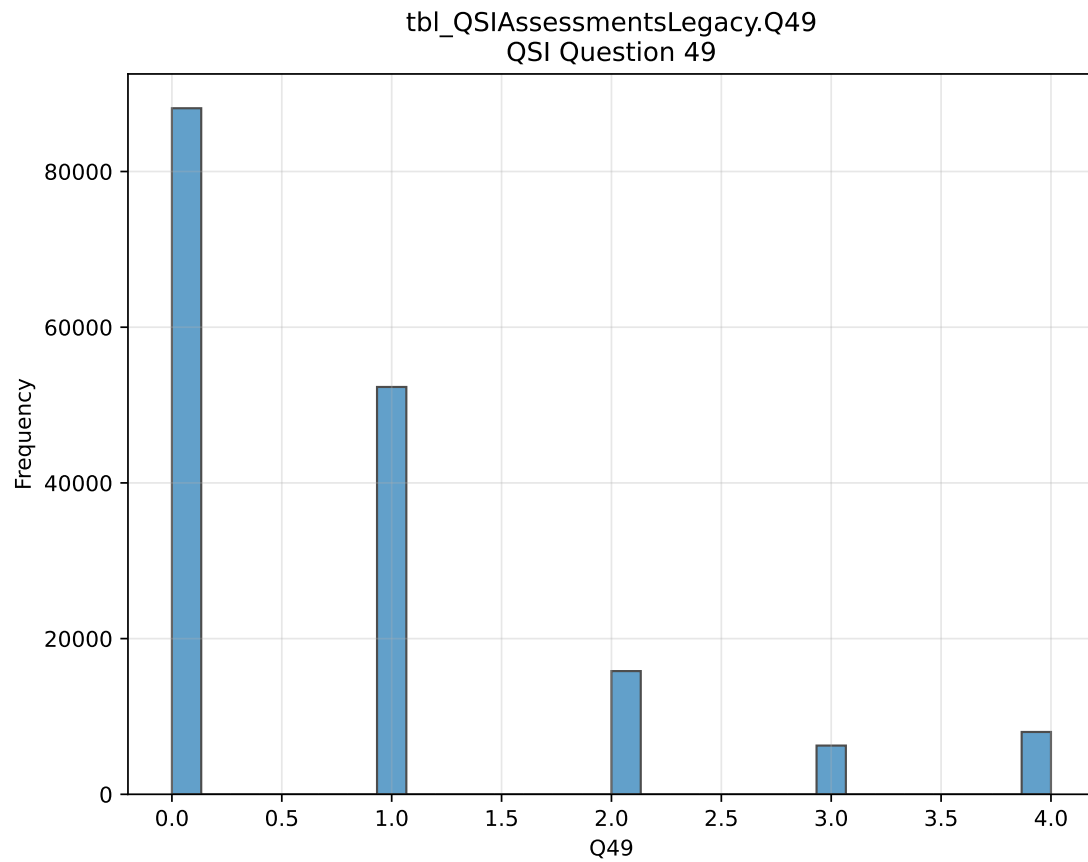


Figure 15.3-90: Distribution of Q49 in tbl_QSIAssessmentsLegacy

15.3.91 tbl_QSIAssessmentsLegacy.Q49a

QSI Question 49a

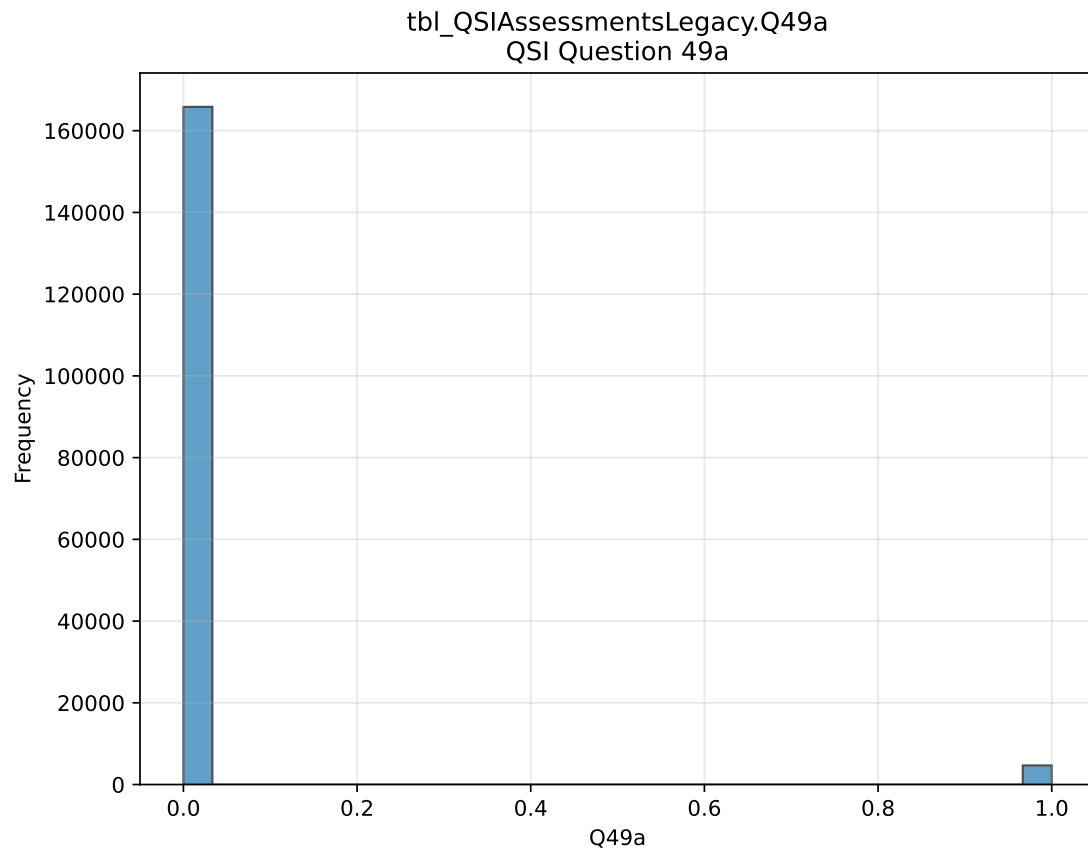


Figure 15.3-91: Distribution of Q49a in tbl_QSIAssessmentsLegacy

15.3.92 tbl_QSIAssessmentsLegacy.FLEVEL

Functional Level

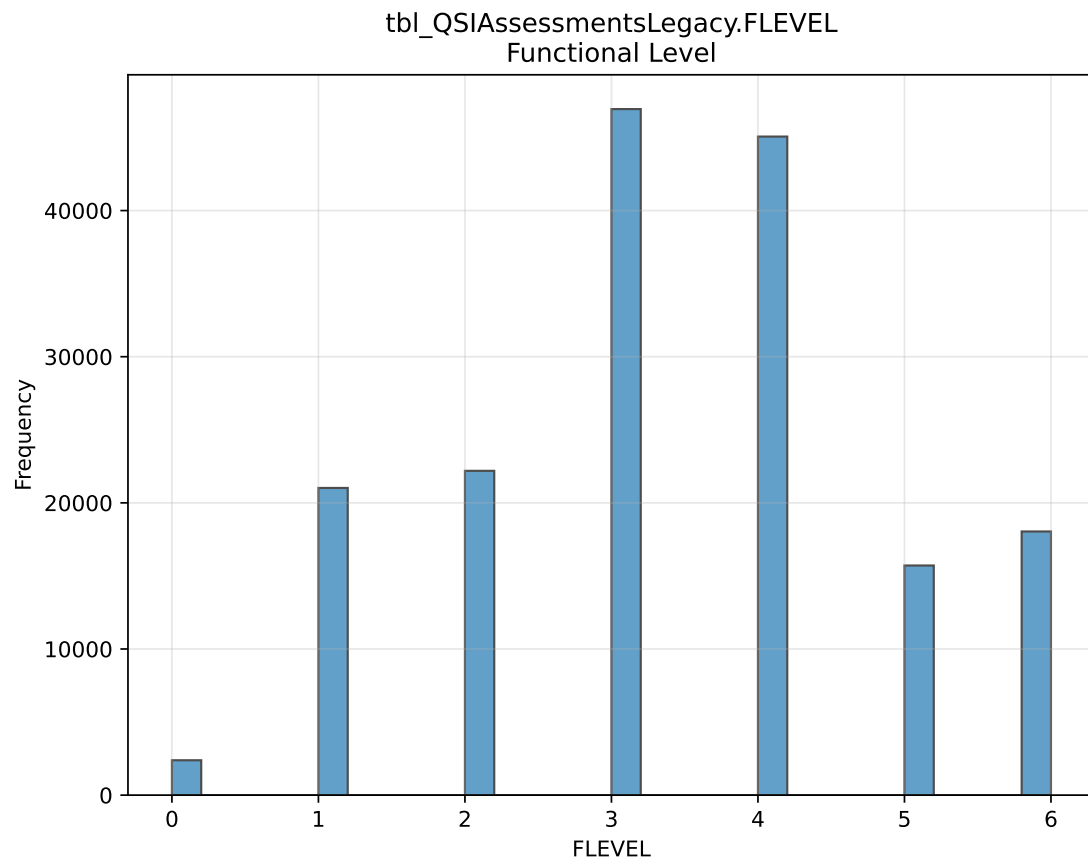


Figure 15.3-92: Distribution of FLEVEL in tbl_QSIAssessmentsLegacy

15.3.93 tbl_QSIAssessmentsLegacy.BLEVEL

Behavioral Level

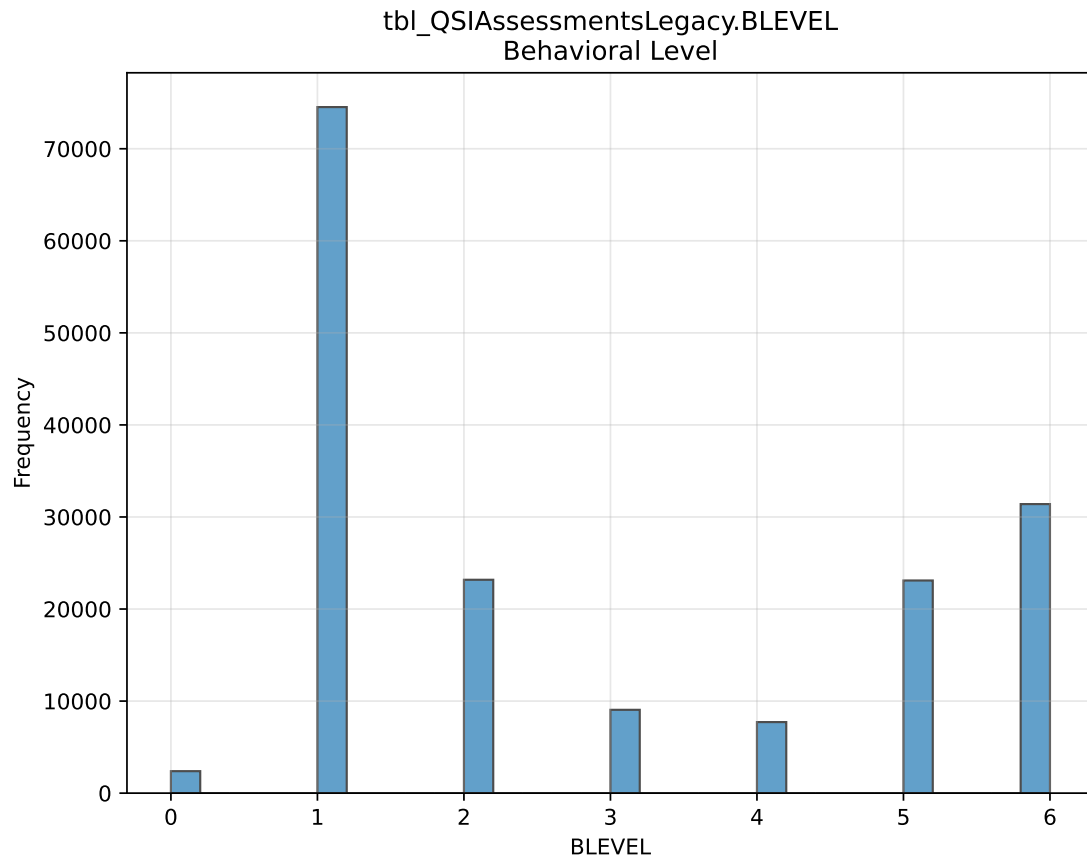


Figure 15.3-93: Distribution of BLEVEL in tbl_QSIAssessmentsLegacy

15.3.94 tbl_QSIAssessmentsLegacy.PLEVEL

Physical Level

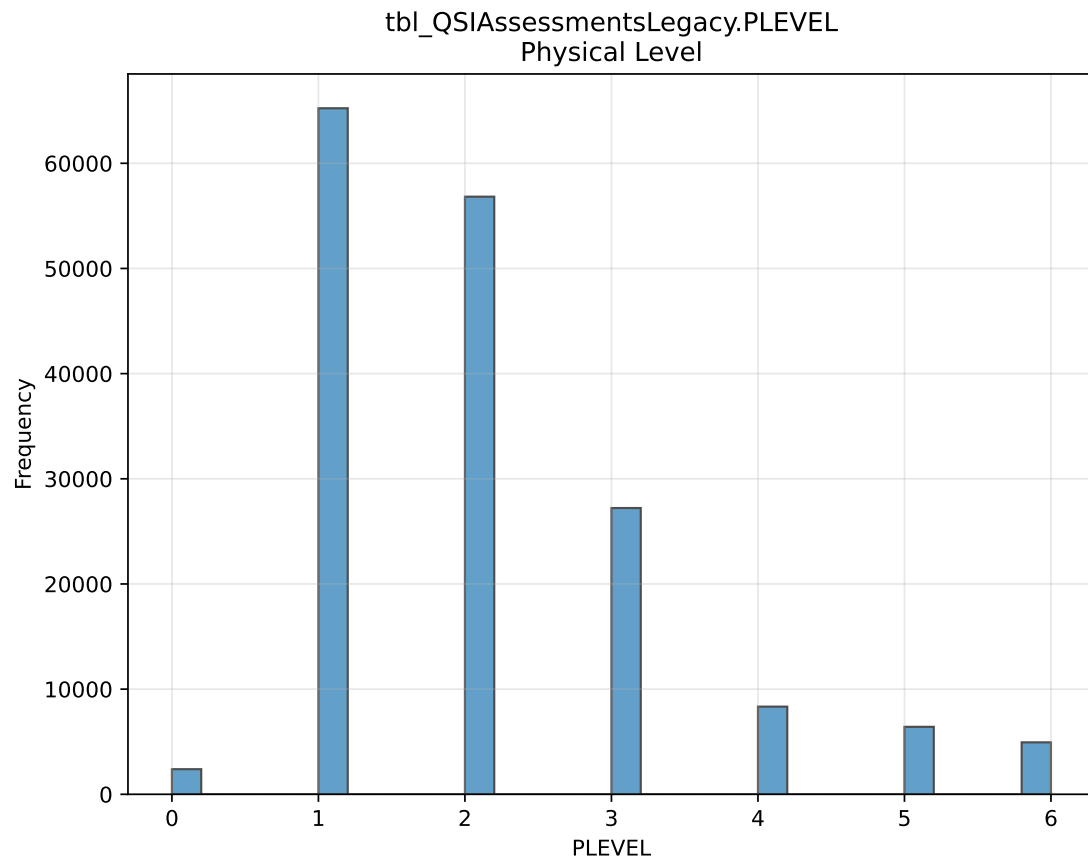


Figure 15.3-94: Distribution of PLEVEL in tbl_QSIAssessmentsLegacy

15.3.95 tbl_QSIAssessmentsLegacy.LOSRI

Level of Support Rating

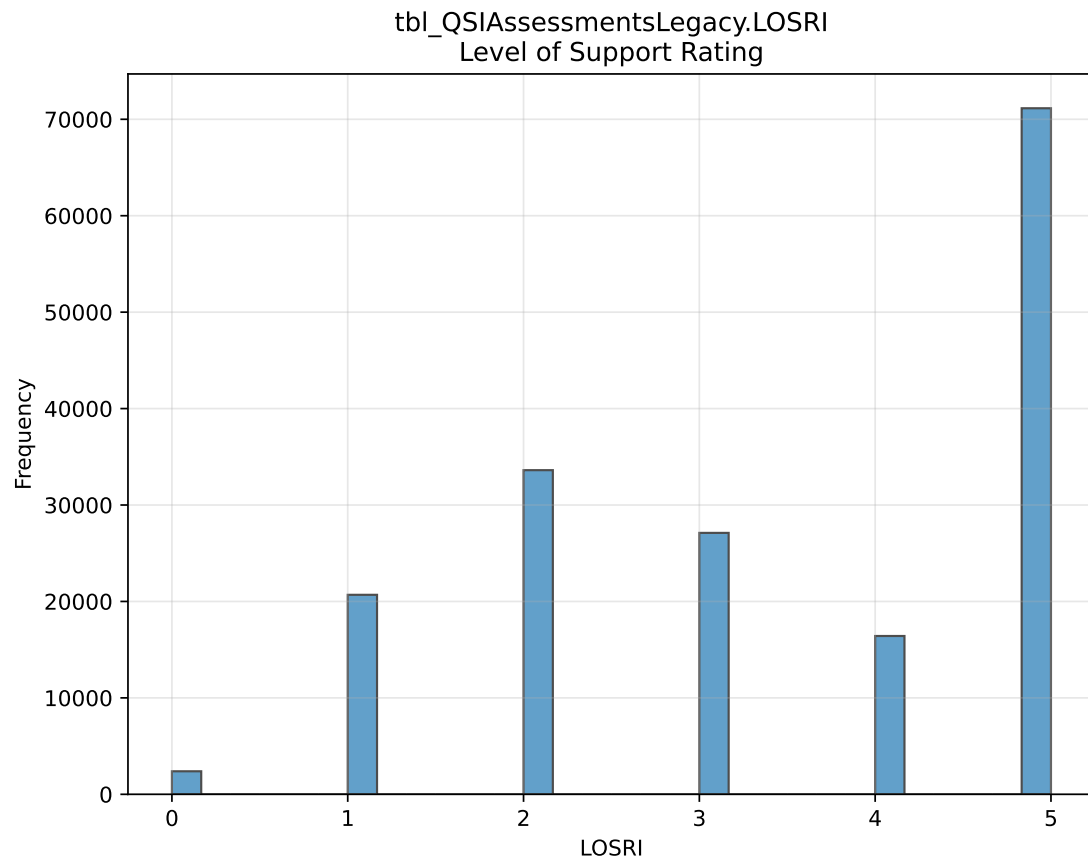


Figure 15.3-95: Distribution of LOSRI in tbl.QSIAssessmentsLegacy

15.3.96 tbl_QSIAssessmentsLegacy.ASSESSID

Assessment ID

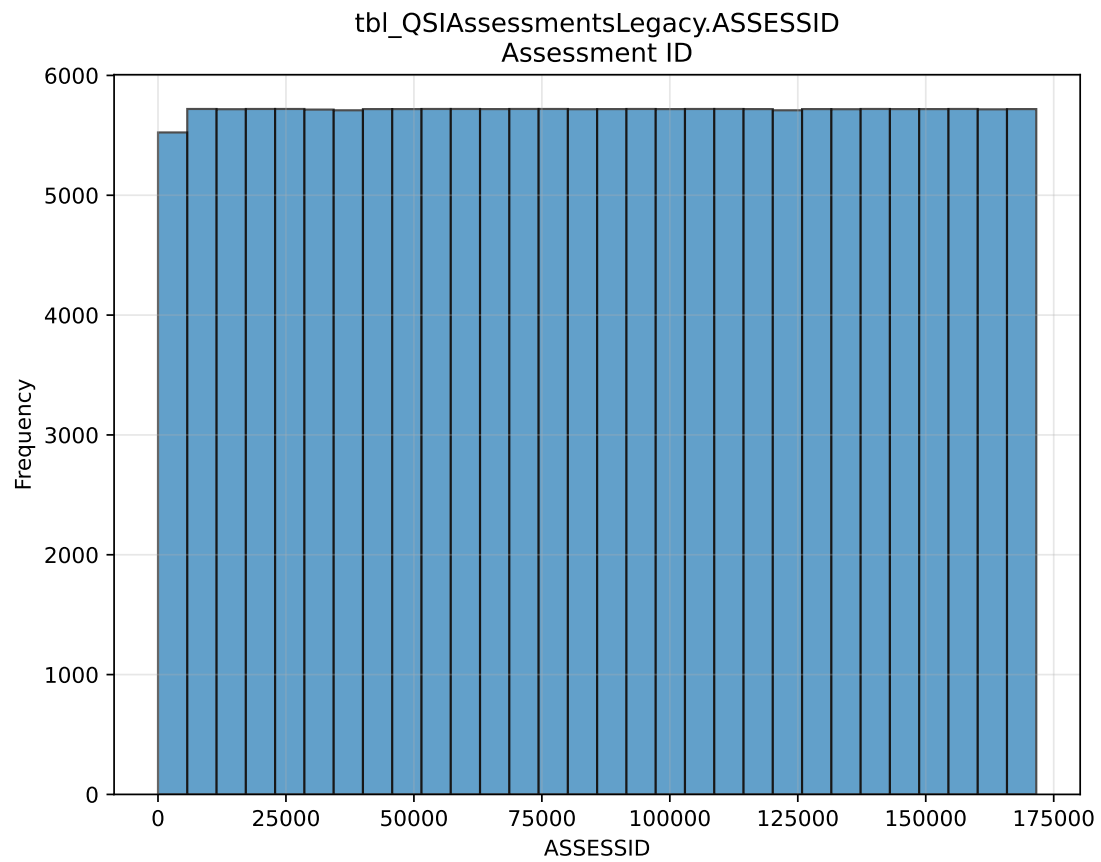


Figure 15.3-96: Distribution of ASSESSID in tbl_QSIAssessmentsLegacy

15.3.97 tbl_QSIQuestions.QuestionAssoc

Question Association

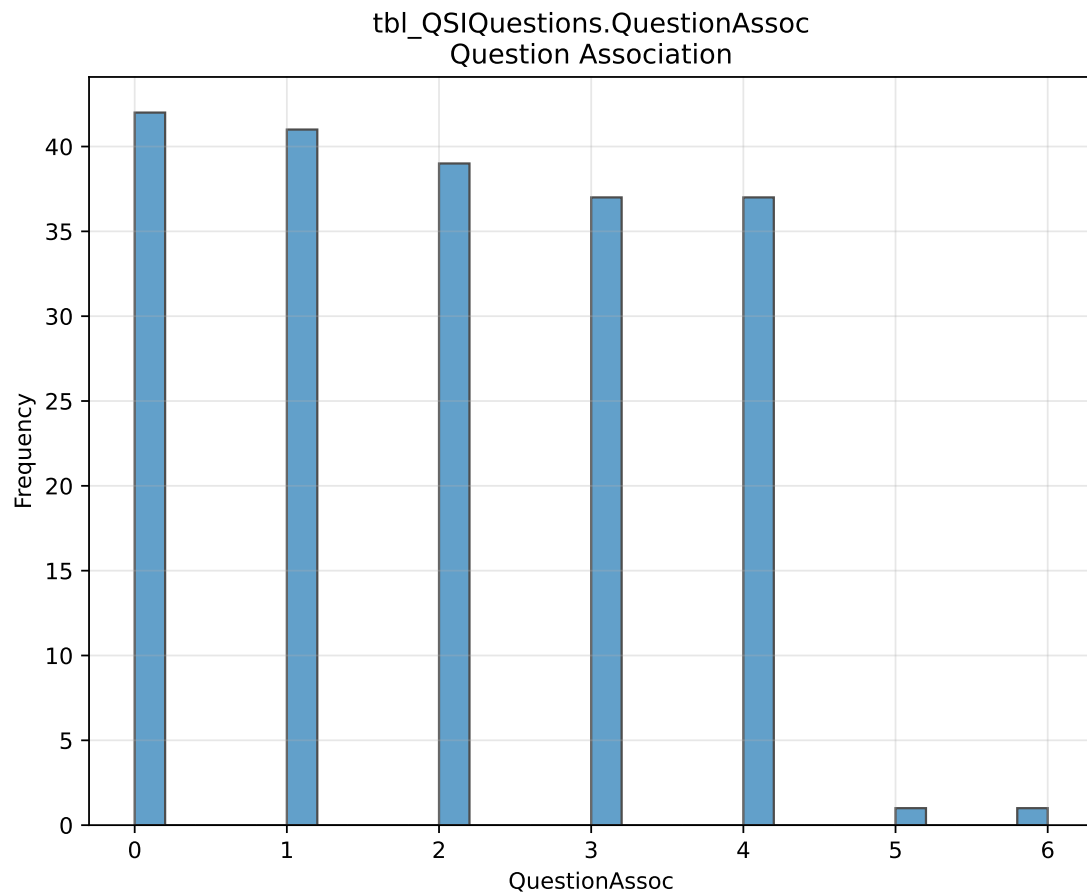


Figure 15.3-97: Distribution of QuestionAssoc in tbl.QSIQuestions

15.3.98 tbl_Rates.UnitCost

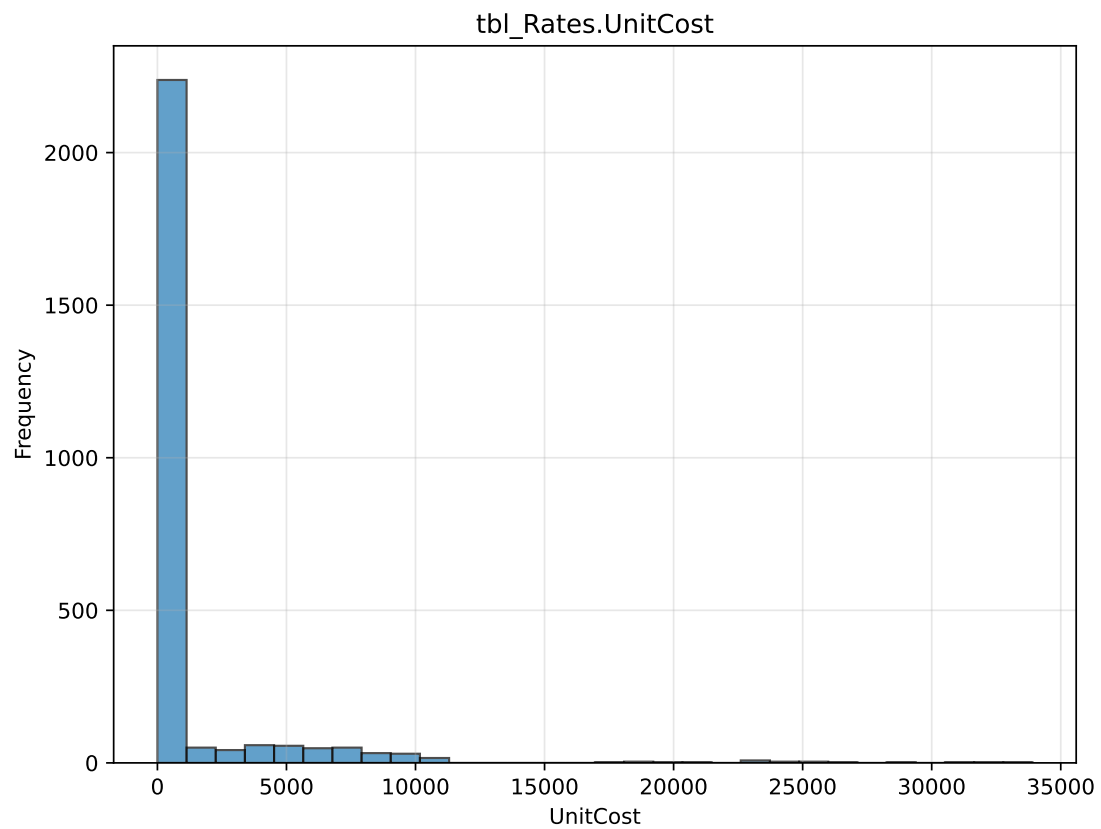


Figure 15.3-98: Distribution of UnitCost in tbl_Rates

15.3.99 tbl_Rates.UserStamp

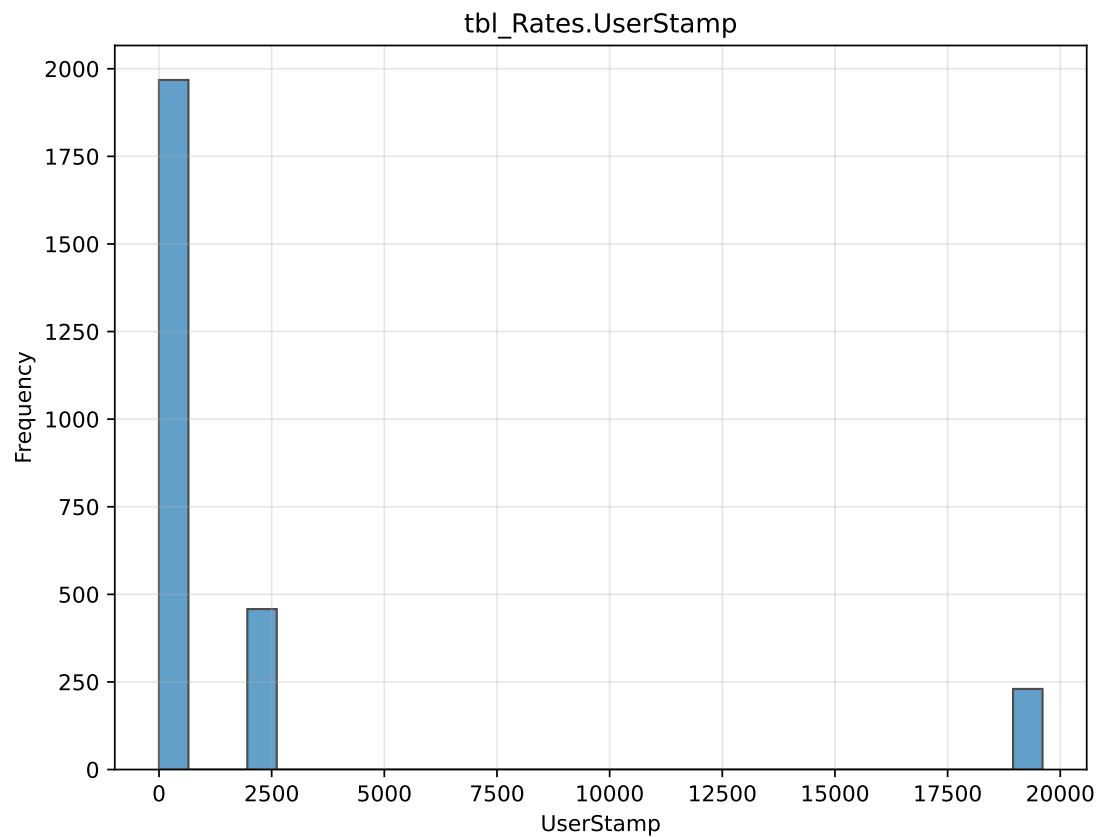


Figure 15.3-99: Distribution of UserStamp in tbl.Rates

15.3.100 tbl_Rates.ServiceCodeUnitCostID

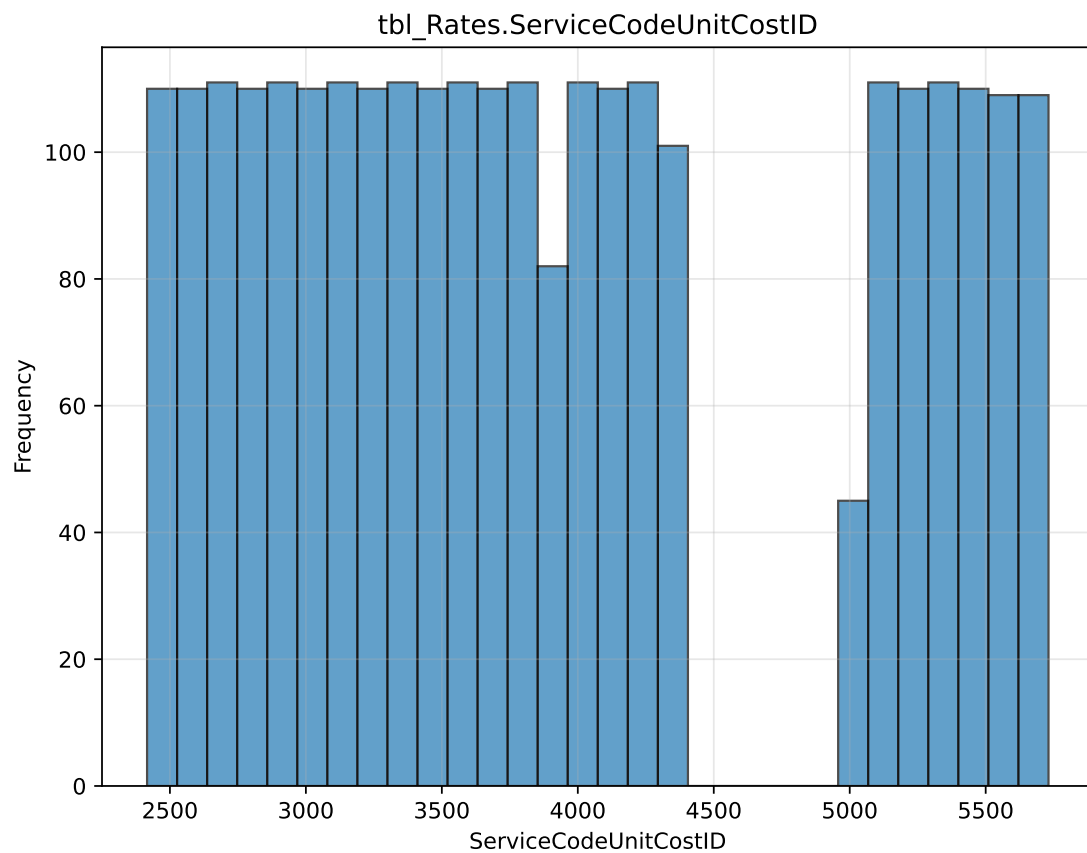


Figure 15.3-100: Distribution of ServiceCodeUnitCostID in tbl_Rates

15.3.101 tbl_Rates.ServiceCodesId

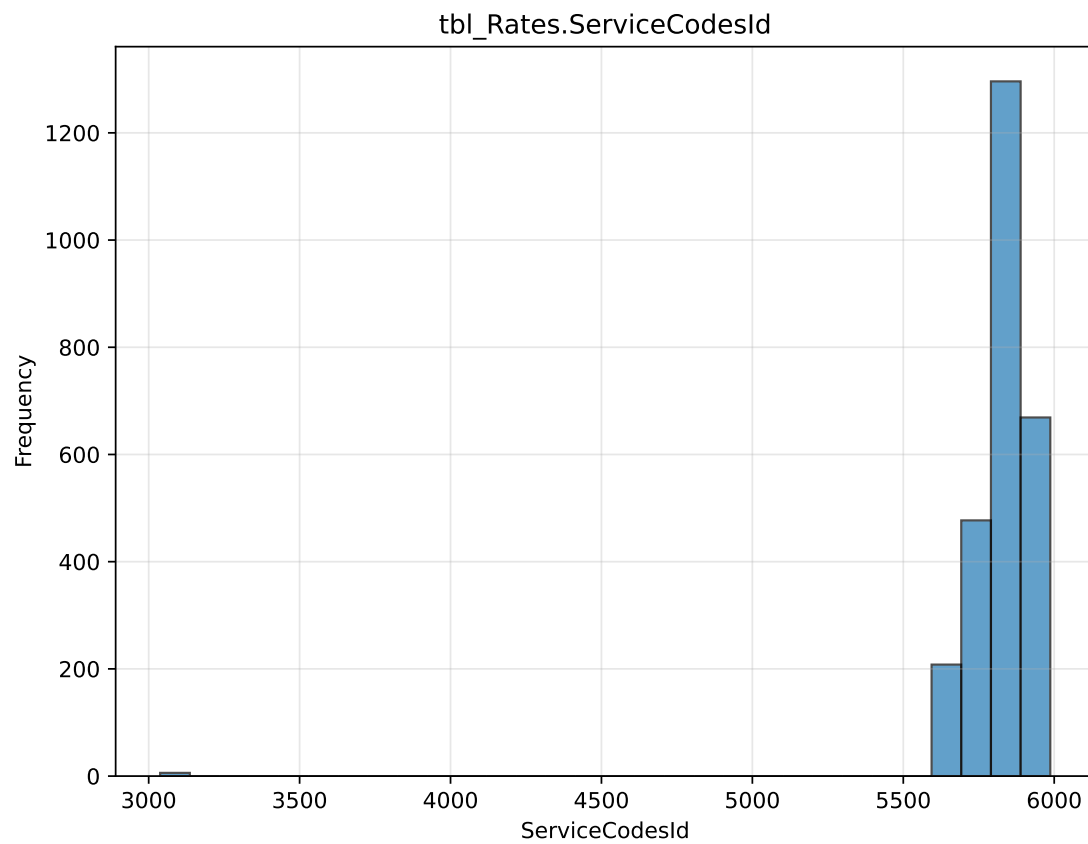


Figure 15.3-101: Distribution of ServiceCodesId in tbl_Rates

15.3.102 tbl_SANs.CaseNo

Consumer iConnect ID

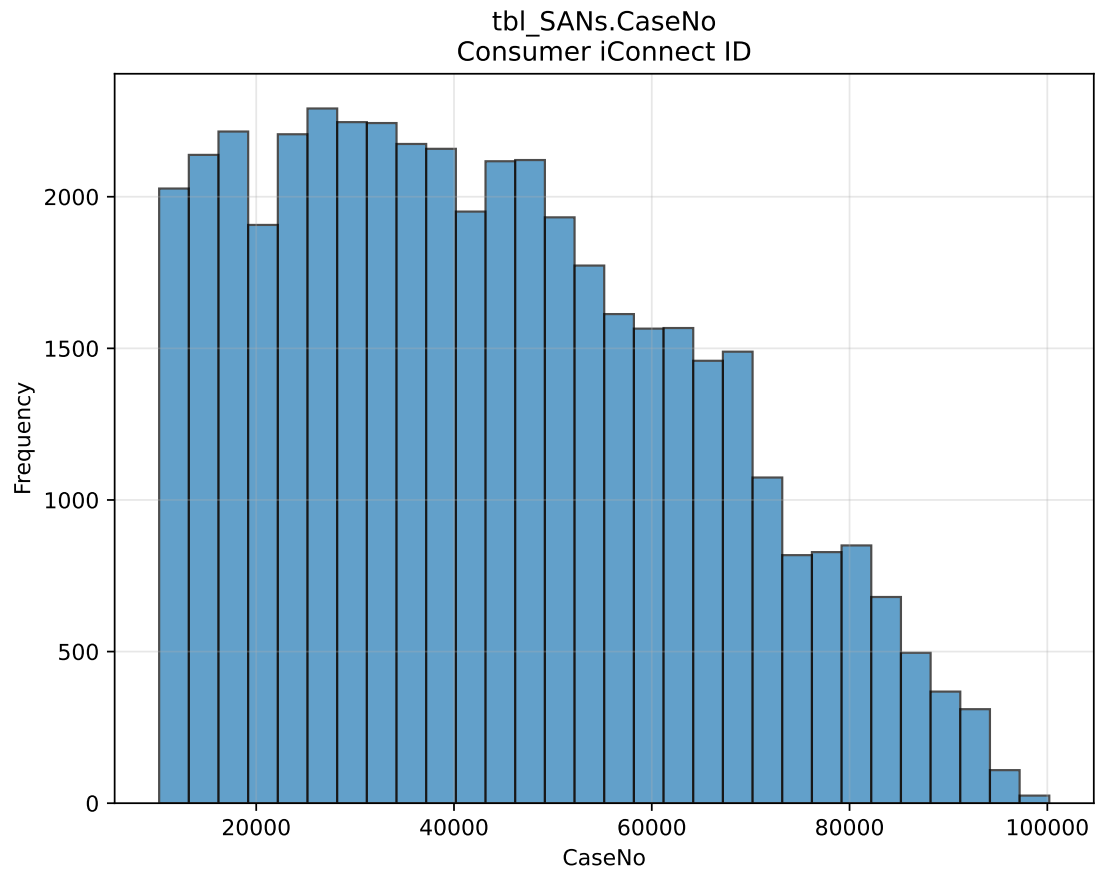


Figure 15.3-102: Distribution of CaseNo in tbl_SANs

15.3.103 tbl_SANs.SanID

SAN ID

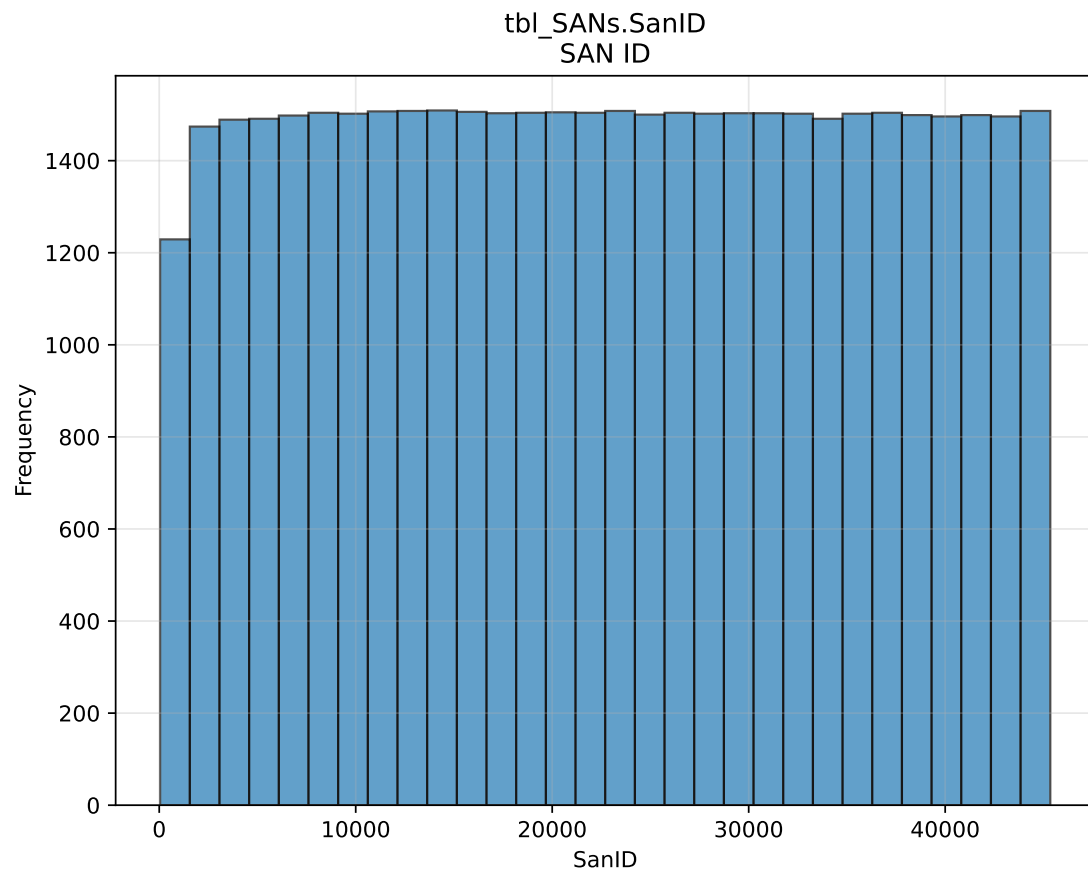


Figure 15.3-103: Distribution of SanID in tbl_SANs

15.3.104 tbl_SANs.PlanID

Plan ID

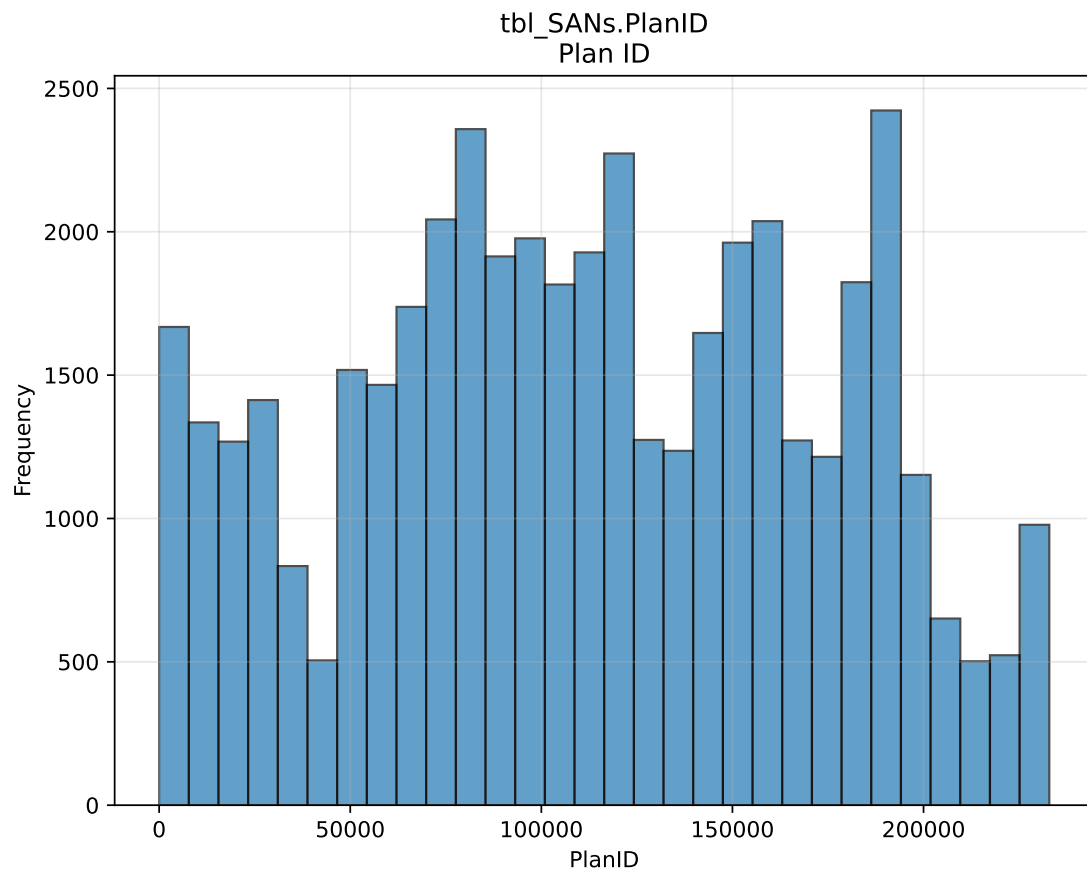


Figure 15.3-104: Distribution of PlanID in tbl_SANs

15.3.105 tbl_SANs.CurrentBudget

Current Budget

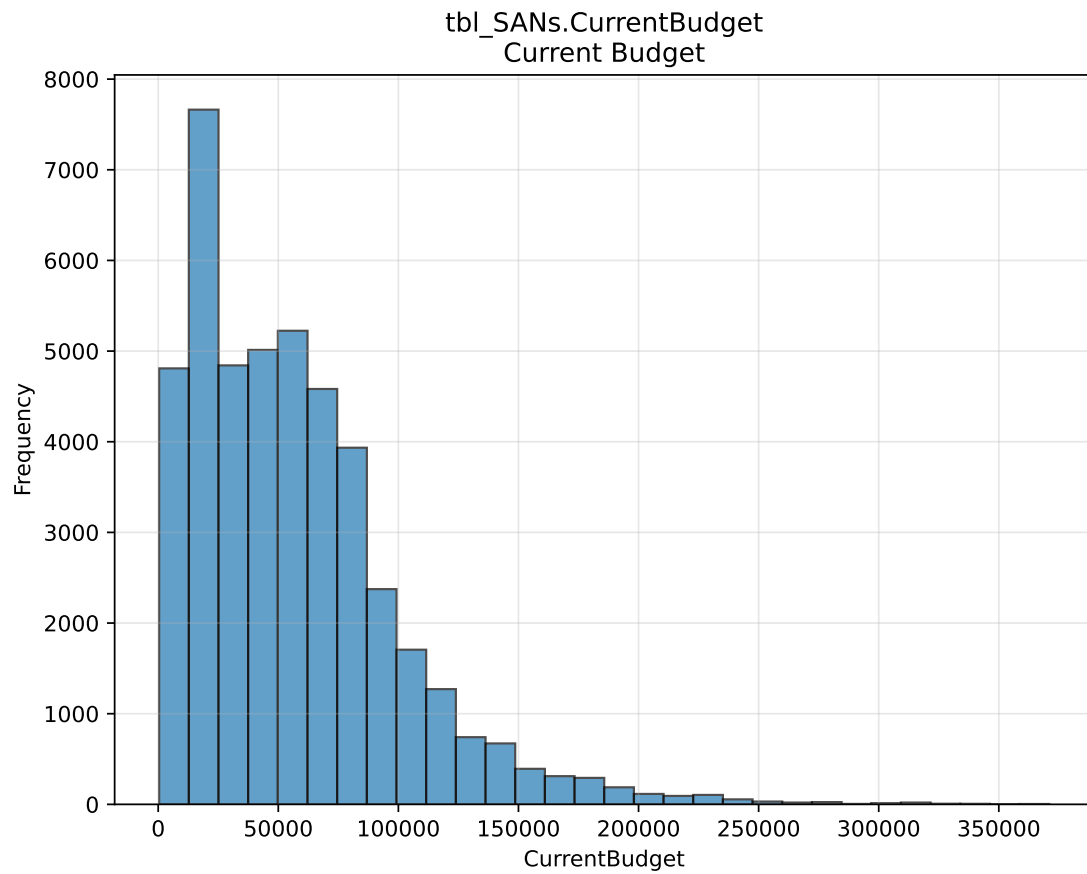


Figure 15.3-105: Distribution of CurrentBudget in tbl_SANs

15.3.106 tbl_SANs.AlgorithmAmount

Algorithm Amount

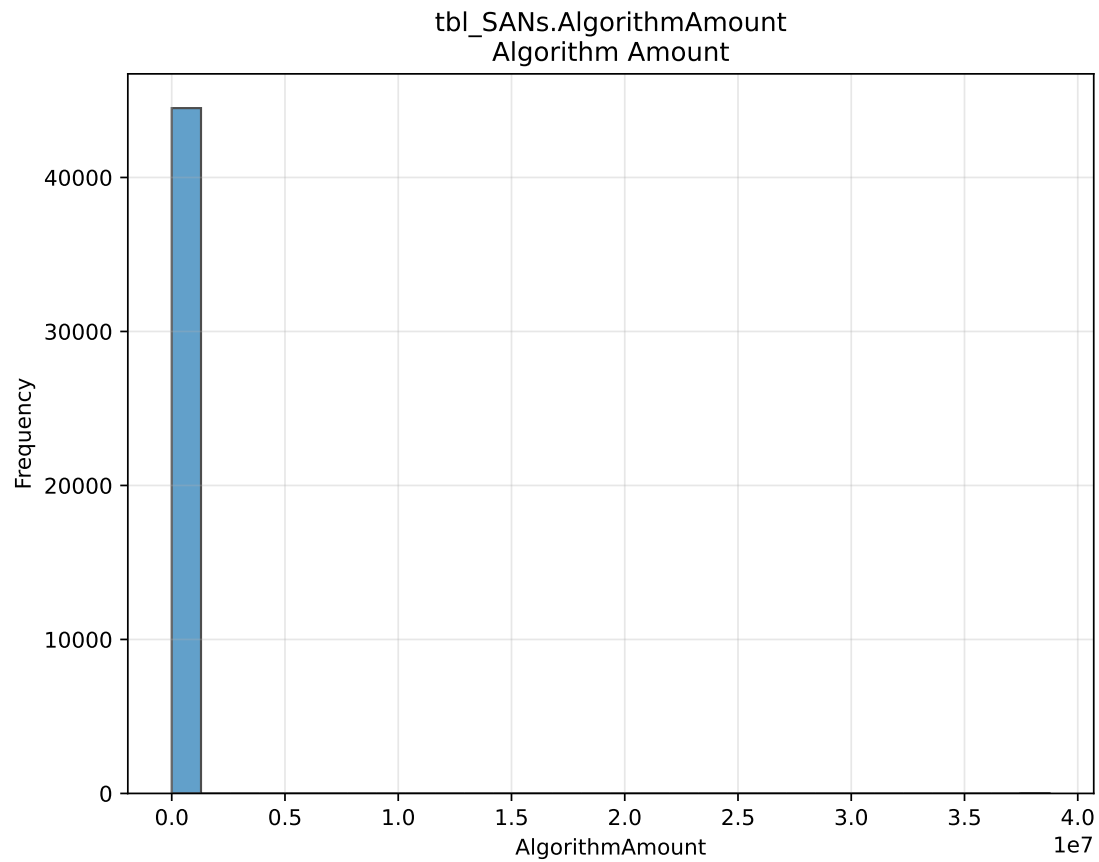


Figure 15.3-106: Distribution of AlgorithmAmount in tbl_SANs

15.3.107 tbl_SANs.AmountUnauthorized

Amount tUnauthorized

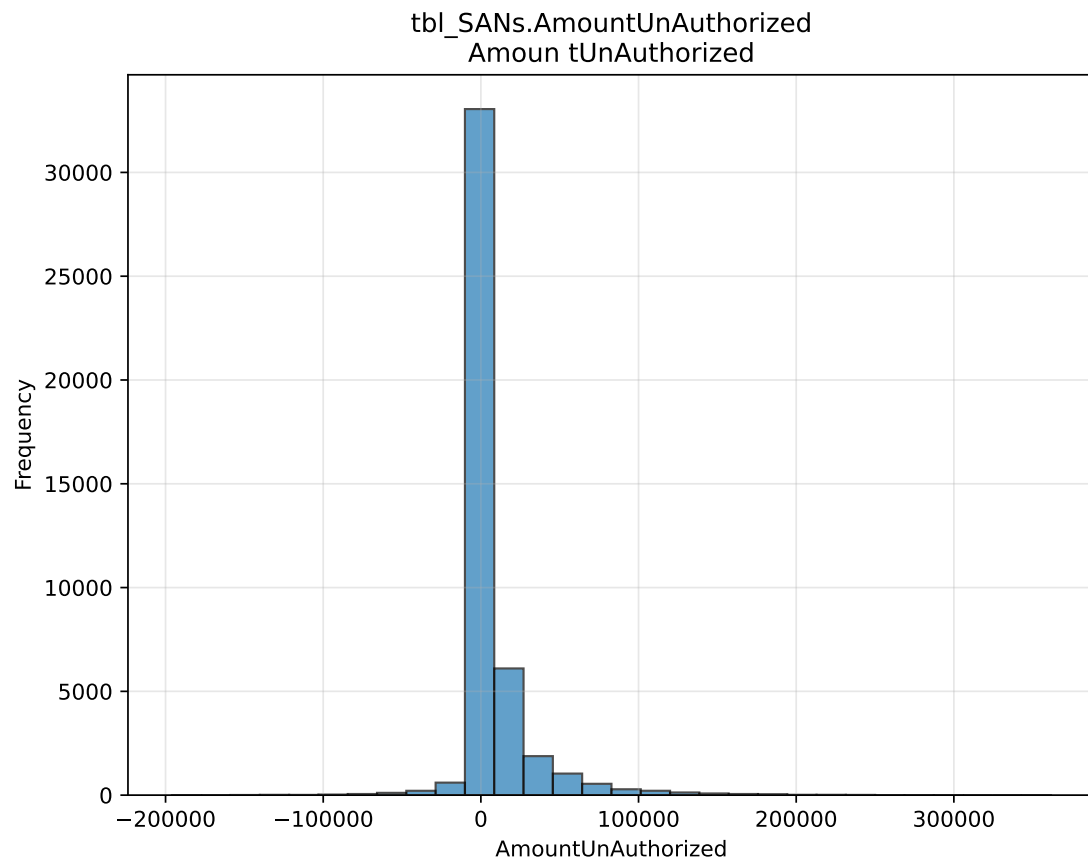


Figure 15.3-107: Distribution of AmountUnauthorized in tbl_SANs

15.3.108 tbl_SANs.WSCProposedBudget

WSC Proposed Budget

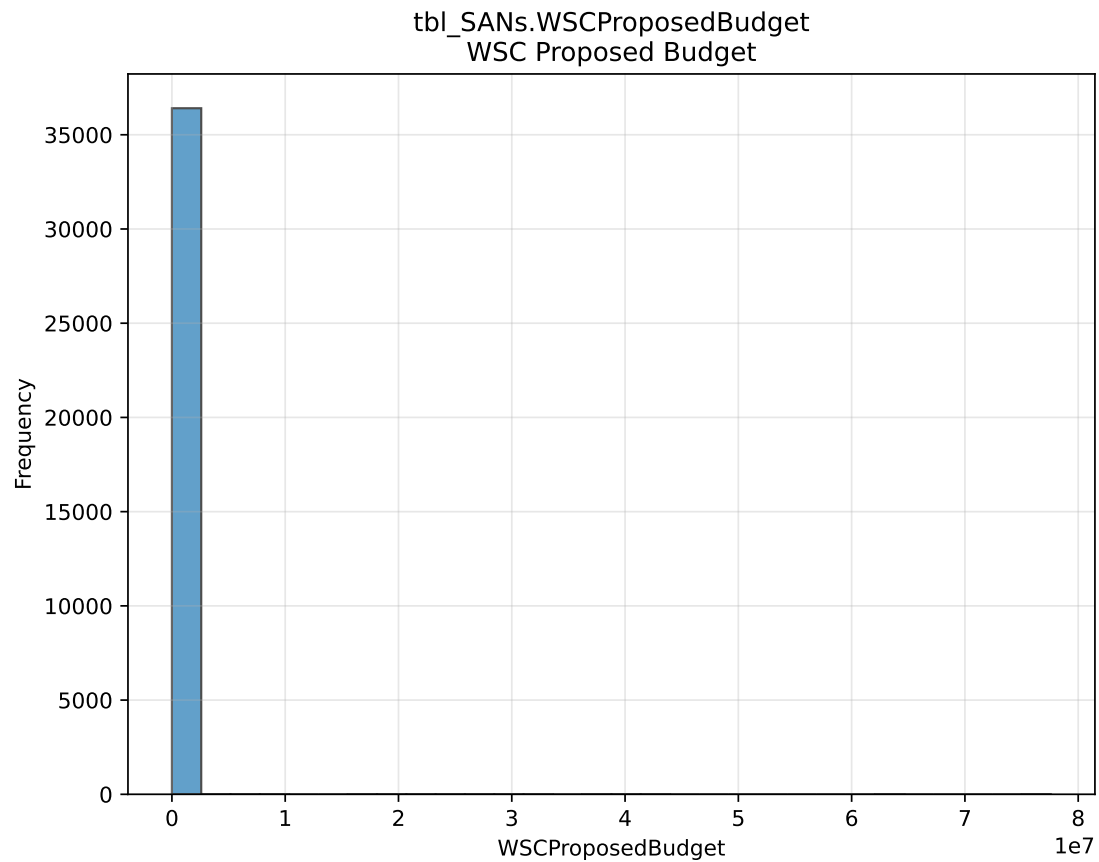


Figure 15.3-108: Distribution of WSCProposedBudget in tbl_SANs

15.3.109 tbl_SANs.WSCProposedProratedIncrease

WSC Proposed Prorated Increase

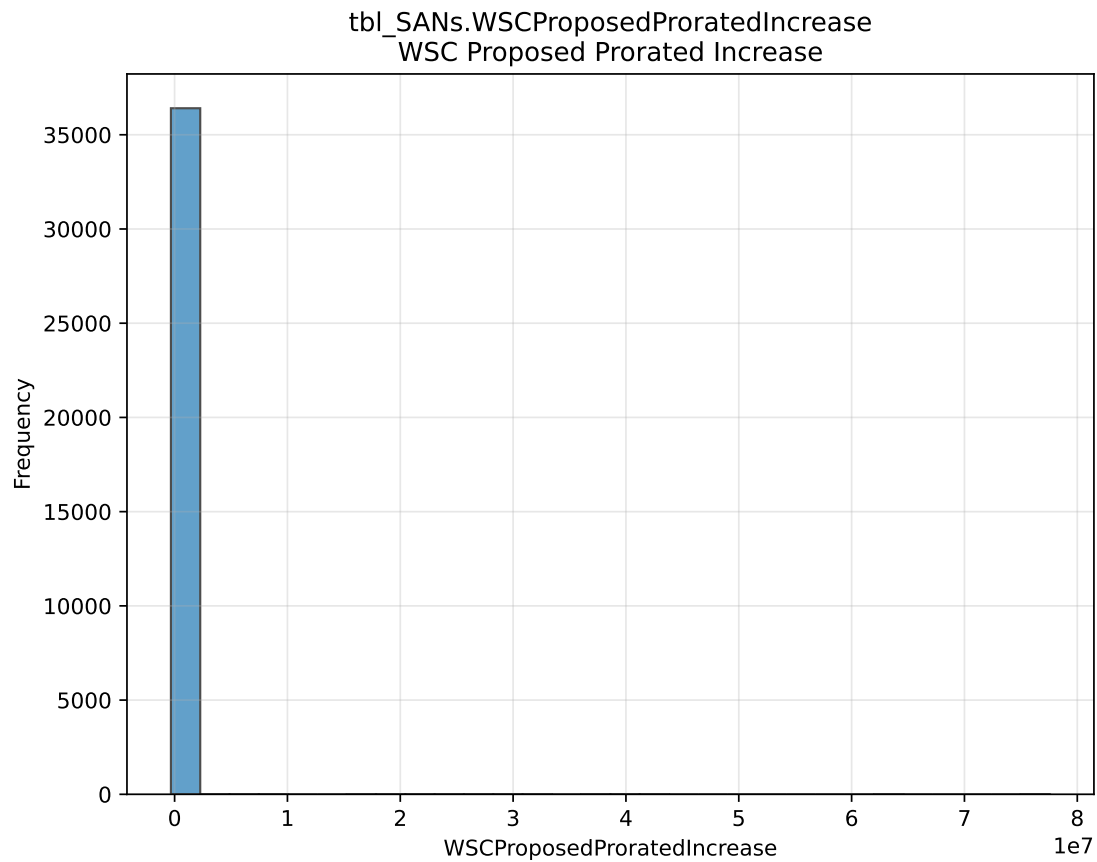


Figure 15.3-109: Distribution of WSCProposedProratedIncrease in tbl_SANs

15.3.110 tbl_SANs.WSCProposedAnnualizedBudget

WSC Proposed Annualized Budget

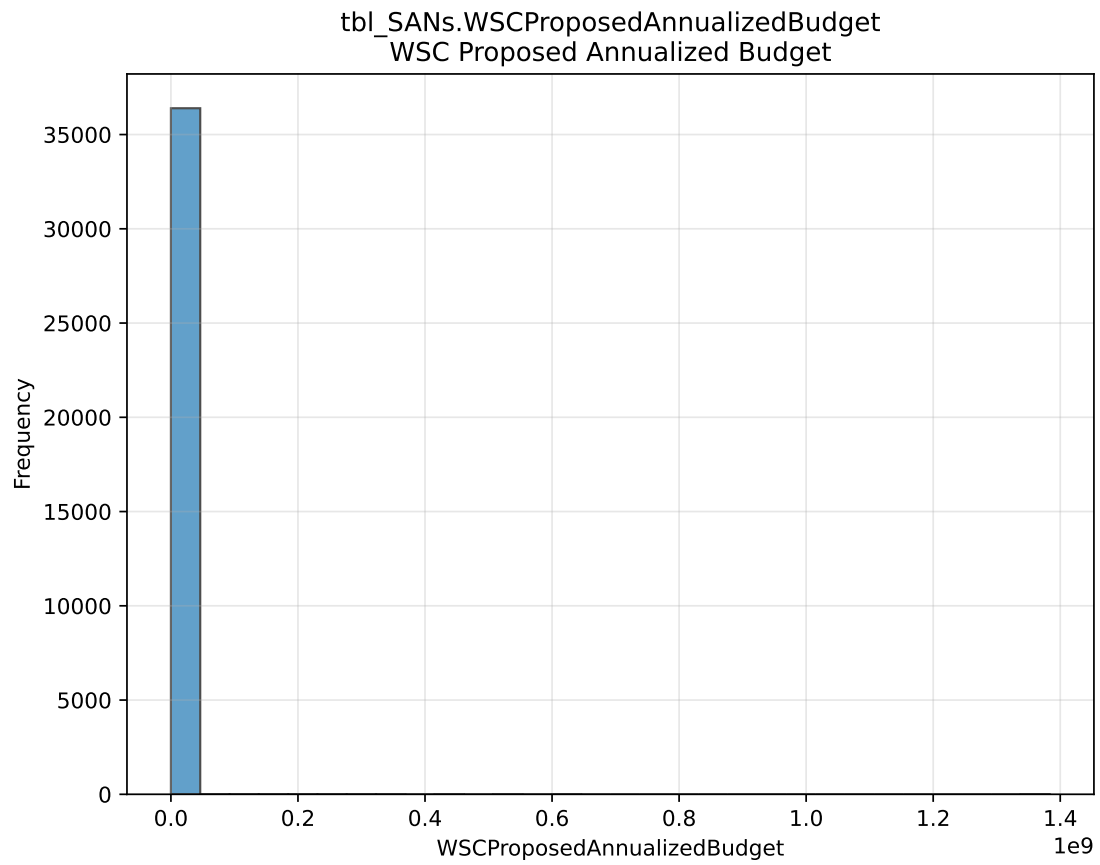


Figure 15.3-110: Distribution of WSCProposedAnnualizedBudget in tbl_SANs

15.3.111 tbl_SANs.WSCProposedAnnualizedIncrease

WSC Proposed Annualized Increase

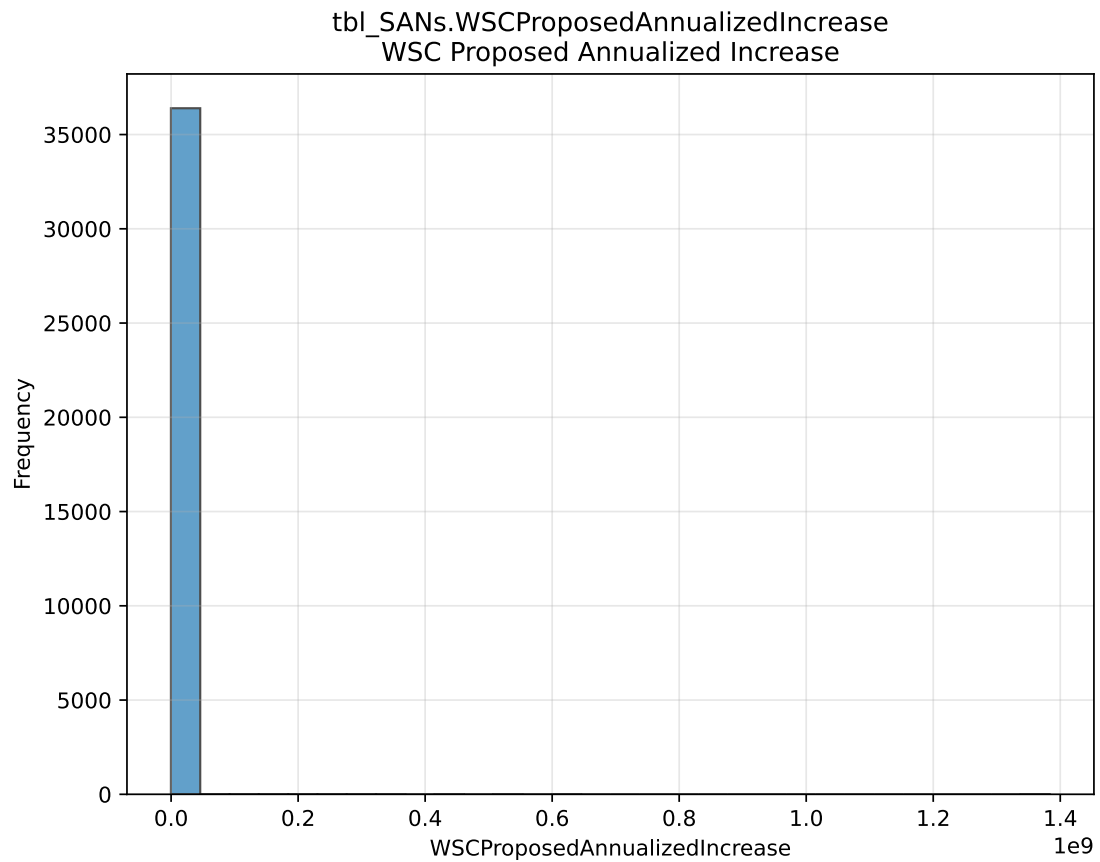


Figure 15.3-111: Distribution of WSCProposedAnnualizedIncrease in tbl_SANs

15.3.112 tbl_SANs.StateProposedProratedBudget

State Proposed Prorated Budget

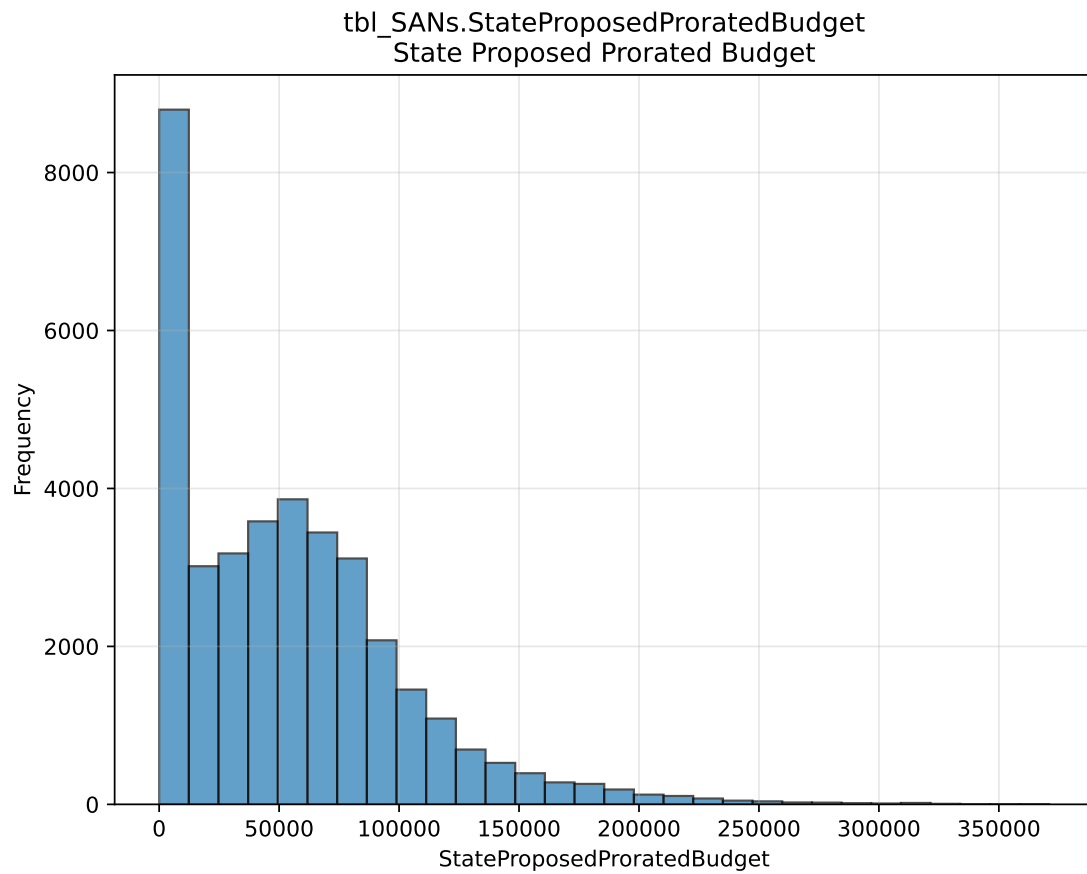


Figure 15.3-112: Distribution of StateProposedProratedBudget in tbl_SANs

15.3.113 tbl_SANs.StateProposedProratedIncrease

State Proposed Prorated Increase

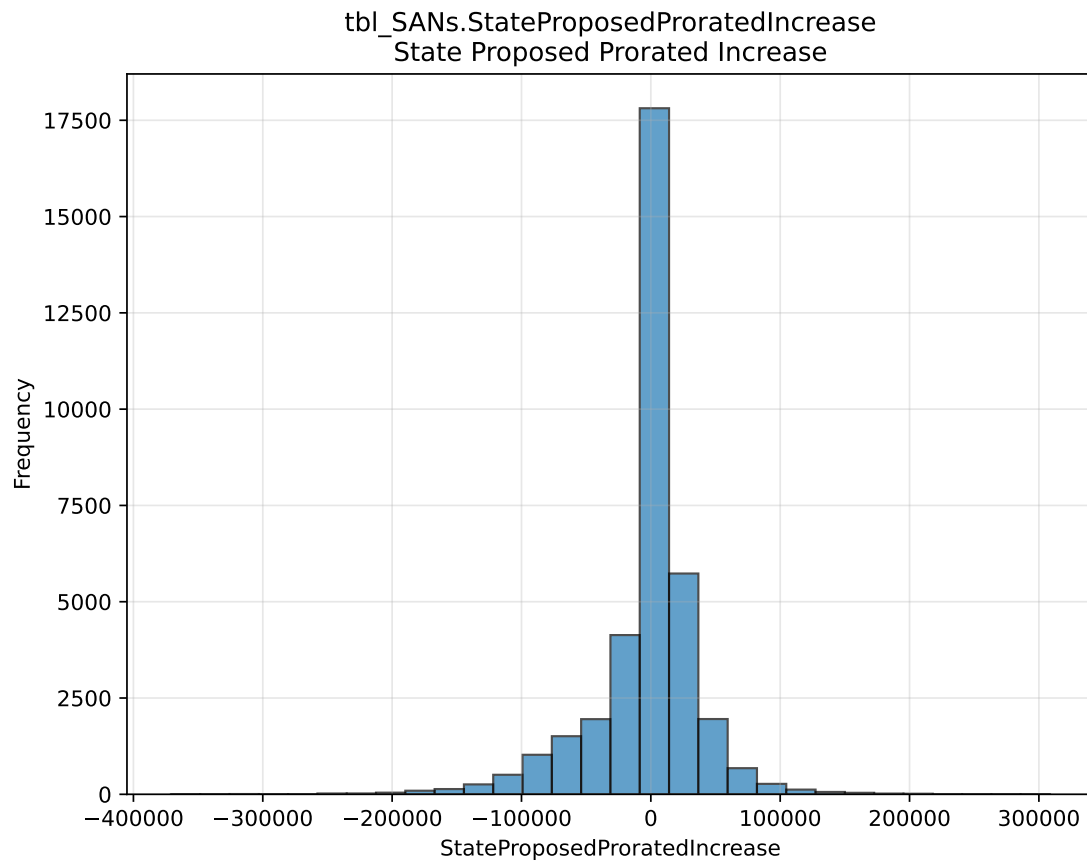


Figure 15.3-113: Distribution of StateProposedProratedIncrease in tbl_SANs

15.3.114 tbl_SANs.StateProposedAnnualizedBudget

State Proposed Annualized Budget

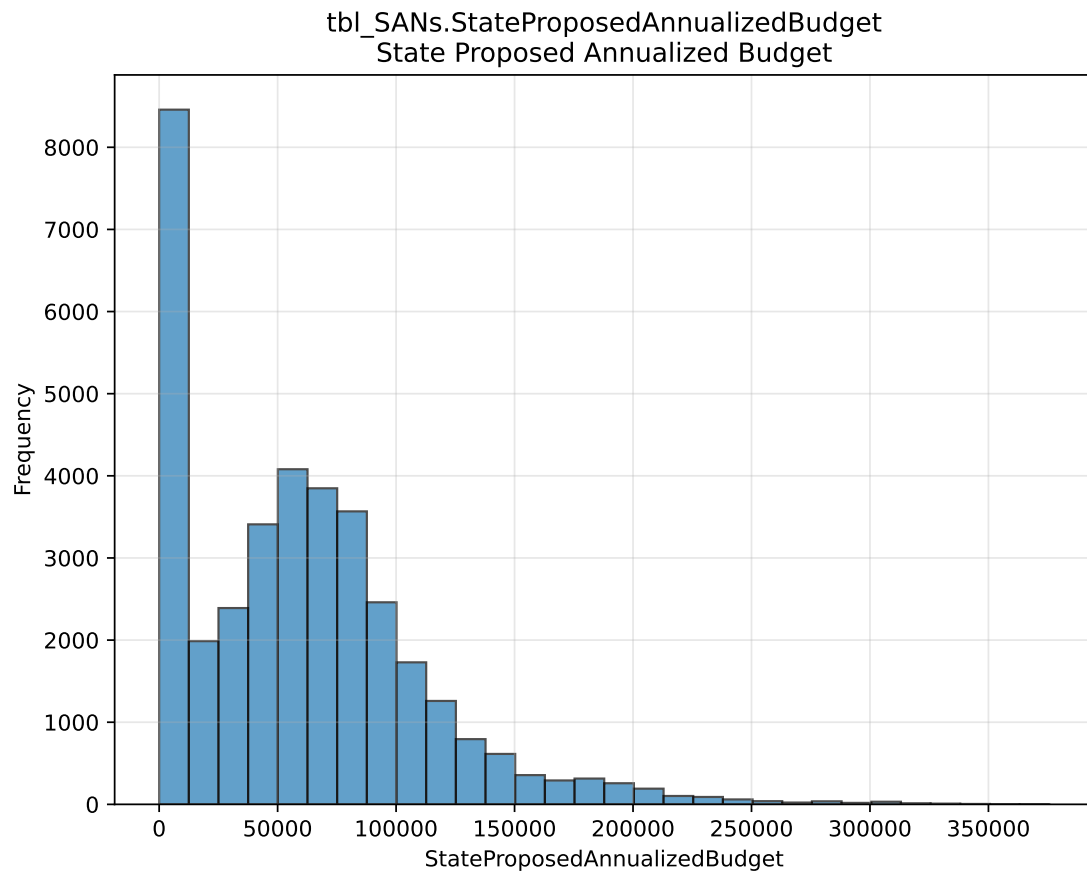


Figure 15.3-114: Distribution of StateProposedAnnualizedBudget in tbl_SANs

15.3.115 tbl_SANs.StateProposedAnnualizedIncrease

State Proposed Annualized Increase

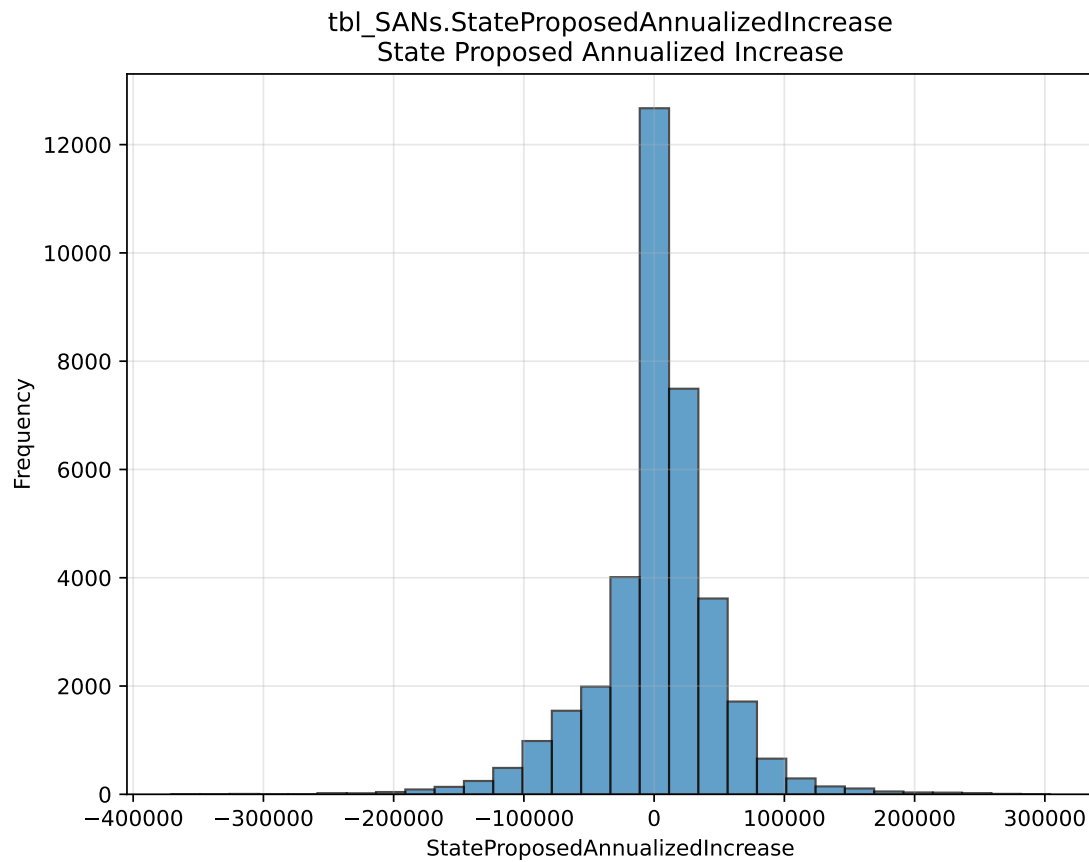


Figure 15.3-115: Distribution of StateProposedAnnualizedIncrease in tbl_SANs

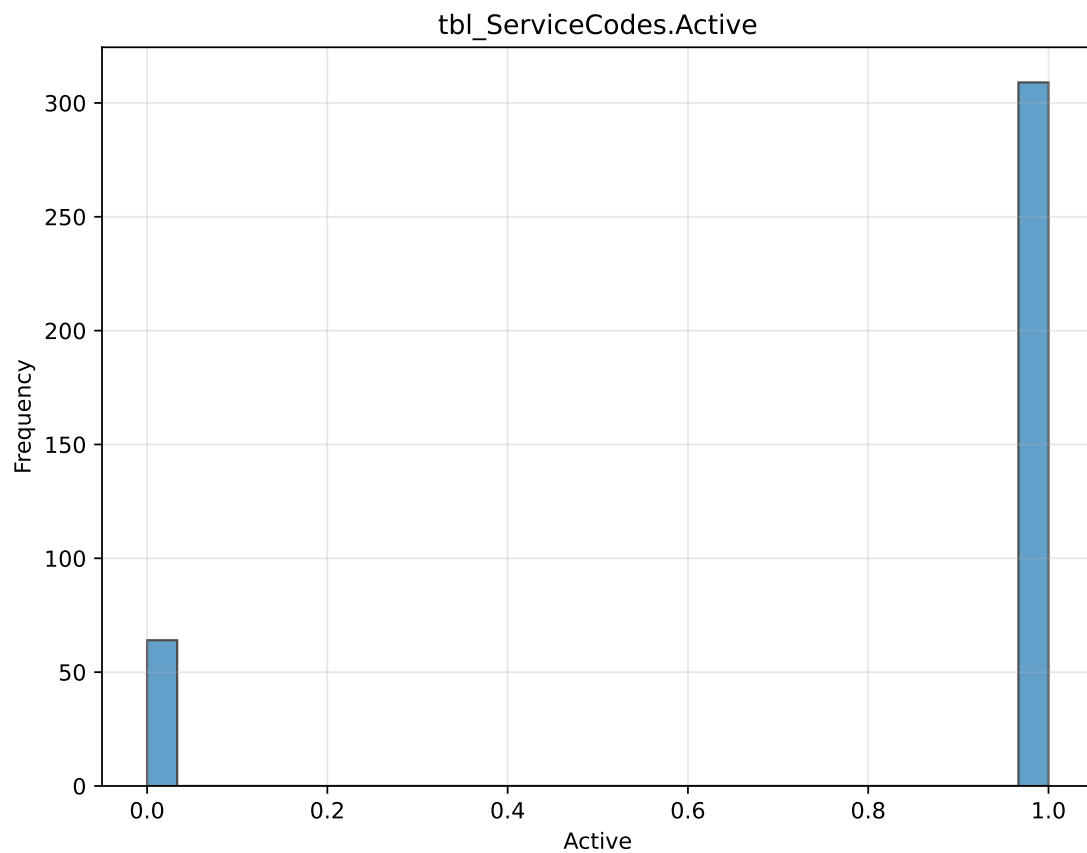
15.3.116 tbl_ServiceCodes.Active

Figure 15.3-116: Distribution of Active in tbl.ServiceCodes

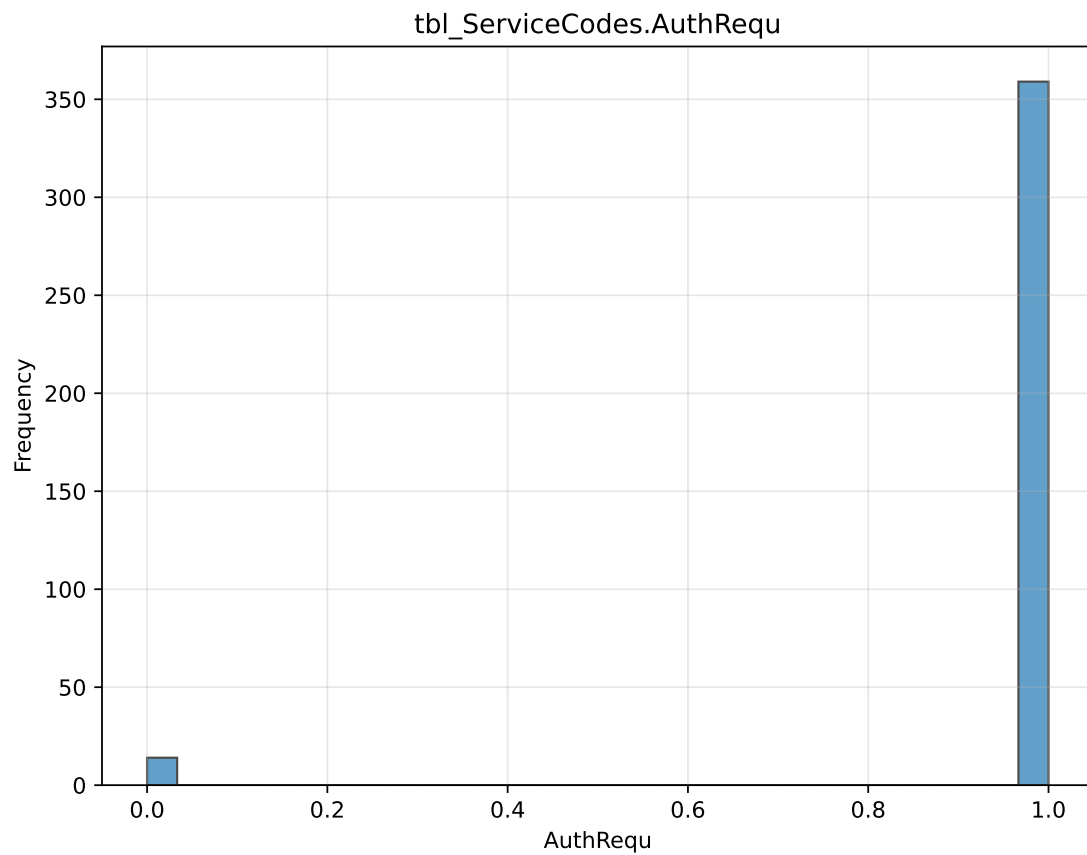
15.3.117 tbl_ServiceCodes.AuthRequ

Figure 15.3-117: Distribution of AuthRequ in tbl_ServiceCodes

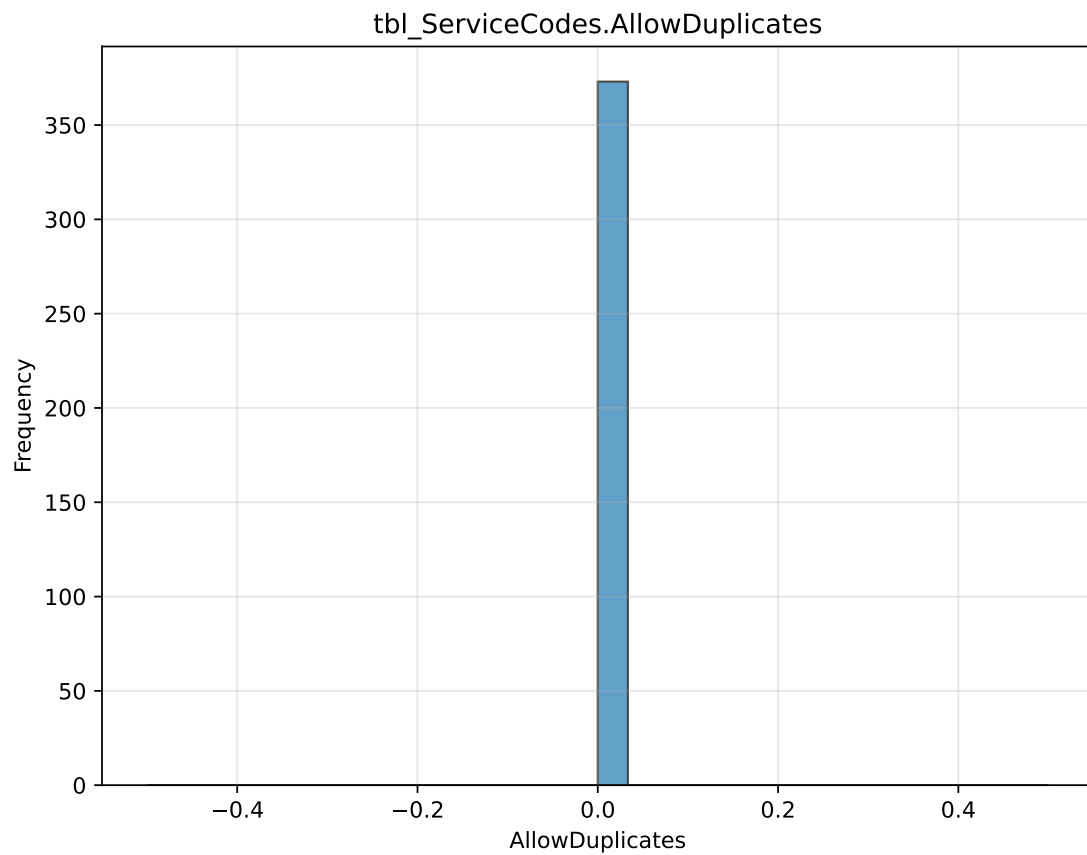
15.3.118 tbl_ServiceCodes.AllowDuplicates

Figure 15.3-118: Distribution of AllowDuplicates in tbl_ServiceCodes

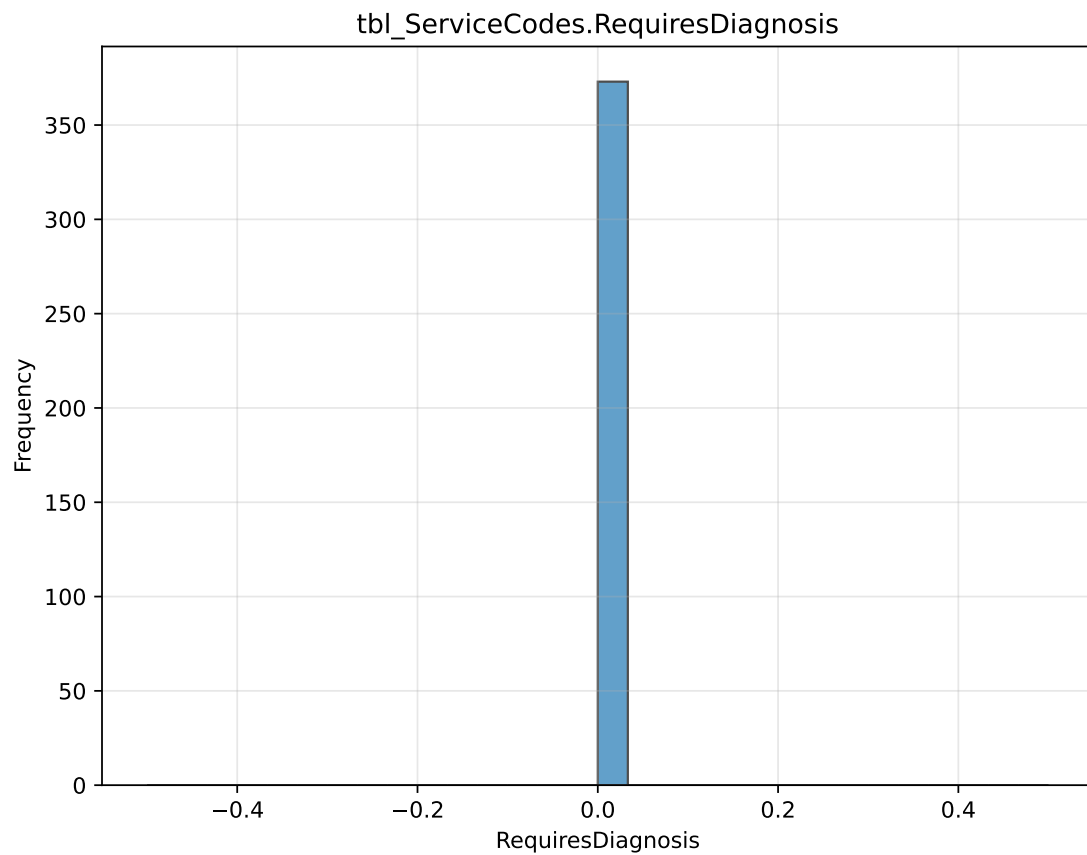
15.3.119 tbl_ServiceCodes.RequiresDiagnosis

Figure 15.3-119: Distribution of RequiresDiagnosis in tbl_ServiceCodes

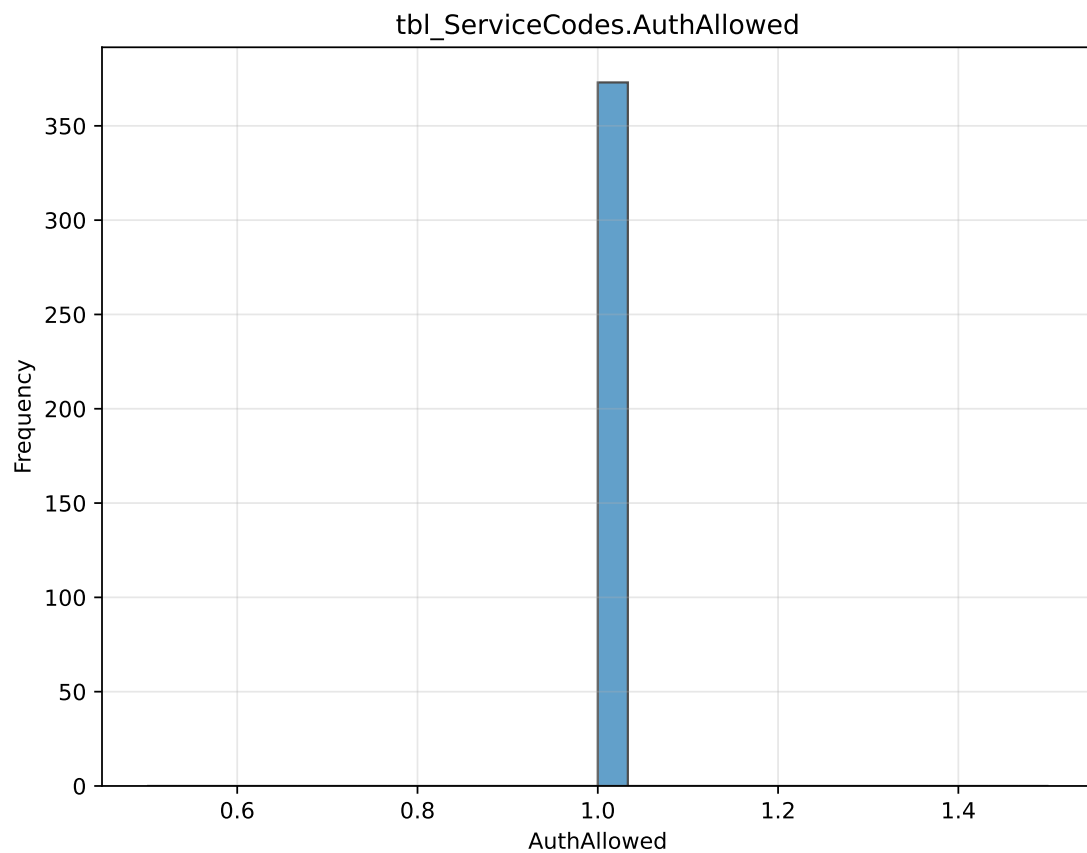
15.3.120 tbl_ServiceCodes.AuthAllowed

Figure 15.3-120: Distribution of AuthAllowed in tbl.ServiceCodes

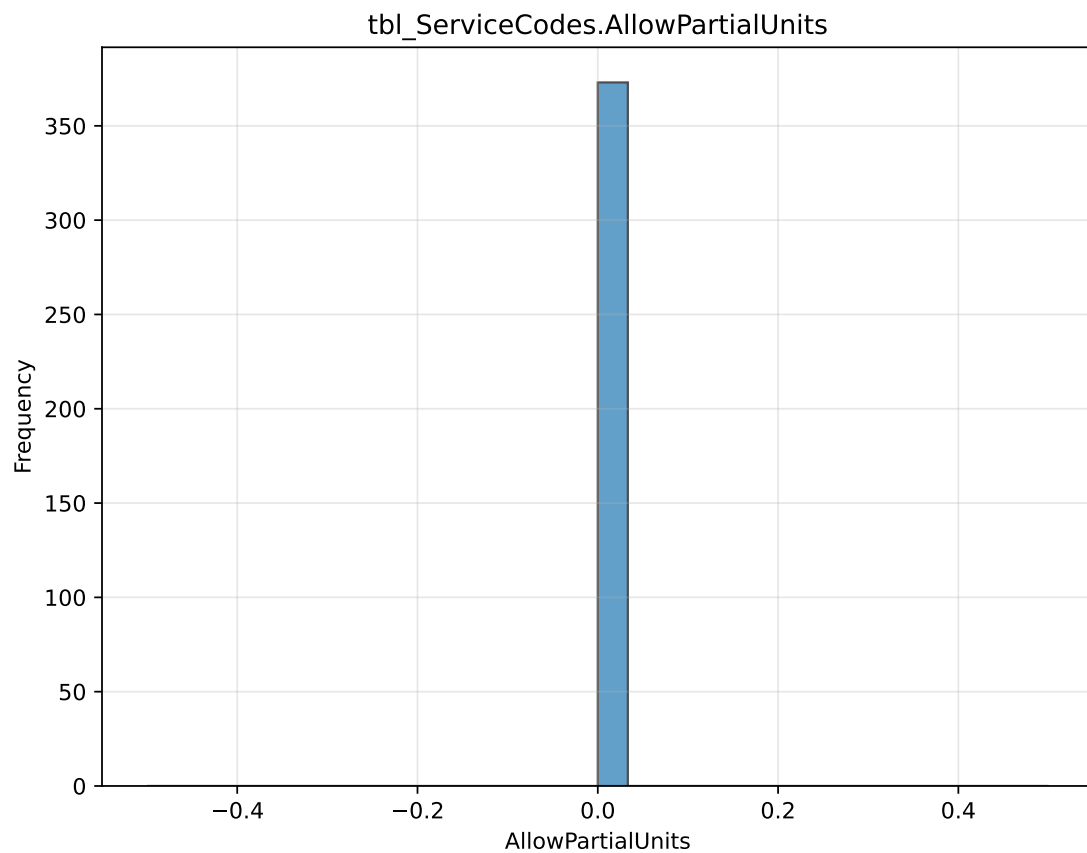
15.3.121 tbl_ServiceCodes.AllowPartialUnits

Figure 15.3-121: Distribution of AllowPartialUnits in tbl_ServiceCodes

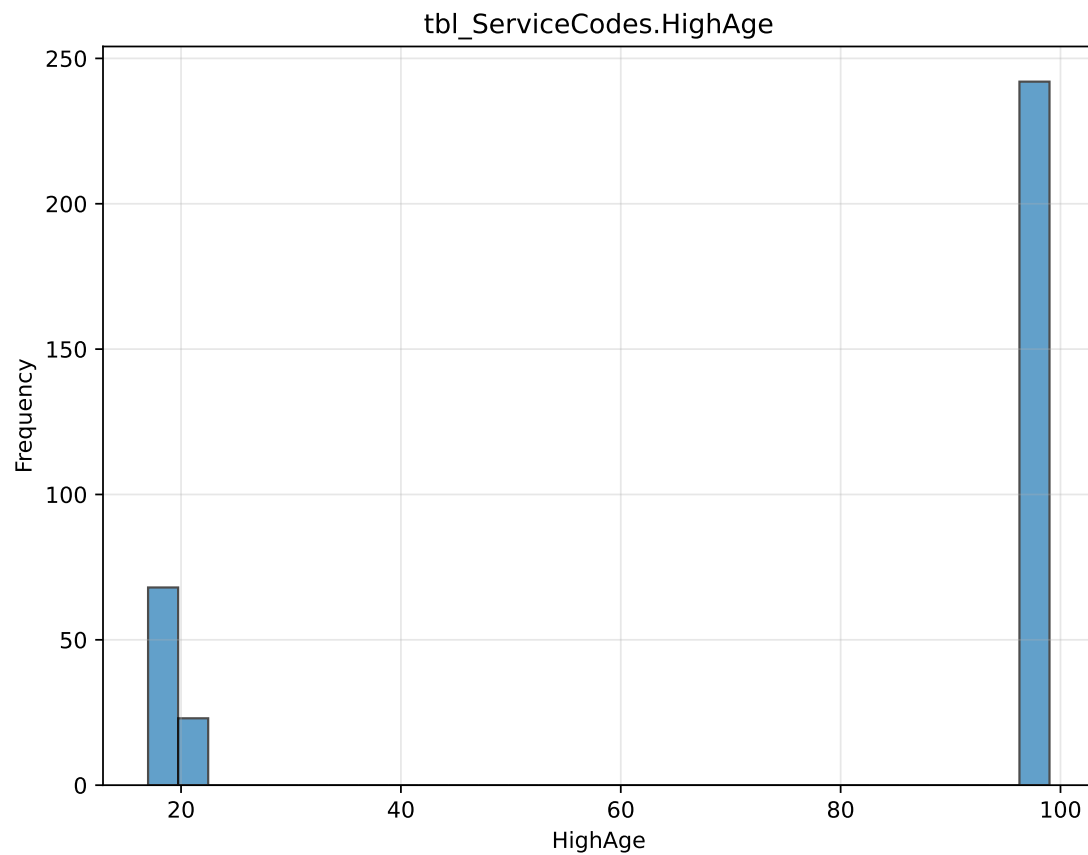
15.3.122 tbl_ServiceCodes.HighAge

Figure 15.3-122: Distribution of HighAge in tbl_ServiceCodes

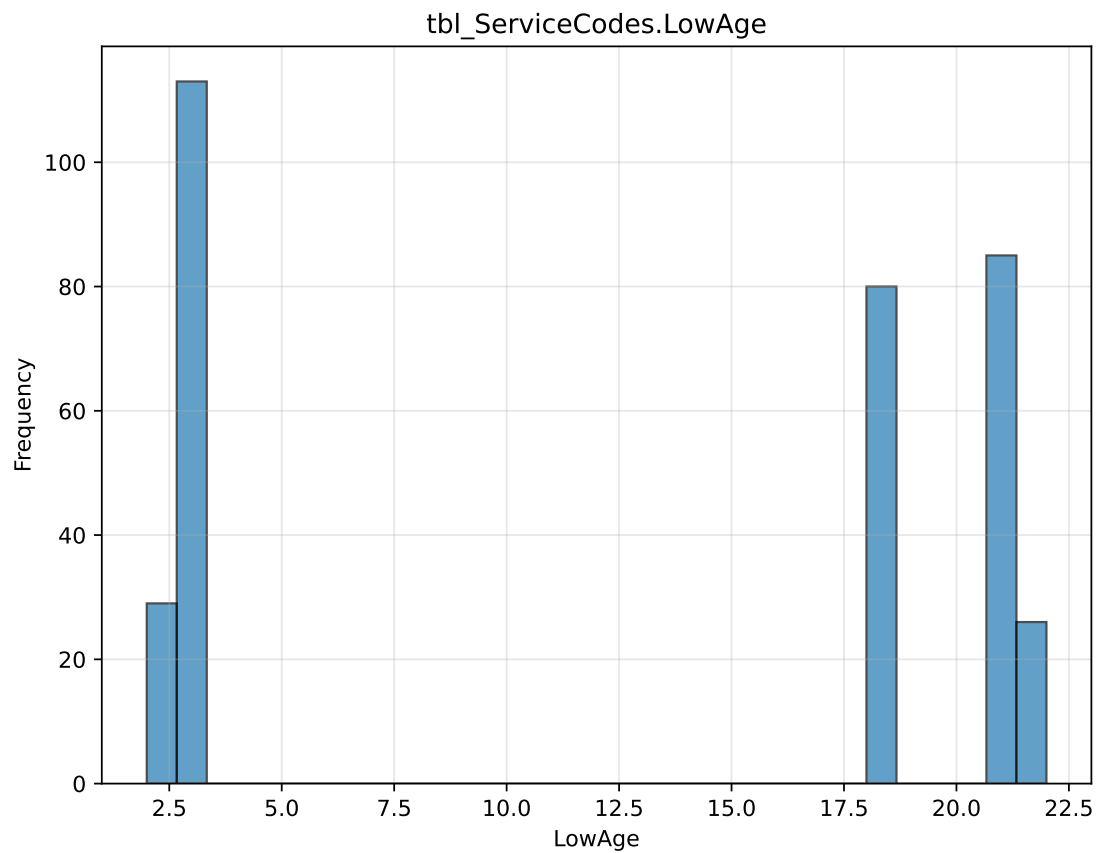
15.3.123 tbl_ServiceCodes.LowAge

Figure 15.3-123: Distribution of LowAge in tbl_ServiceCodes

15.3.124 tbl_ServiceCodes.TPLAction

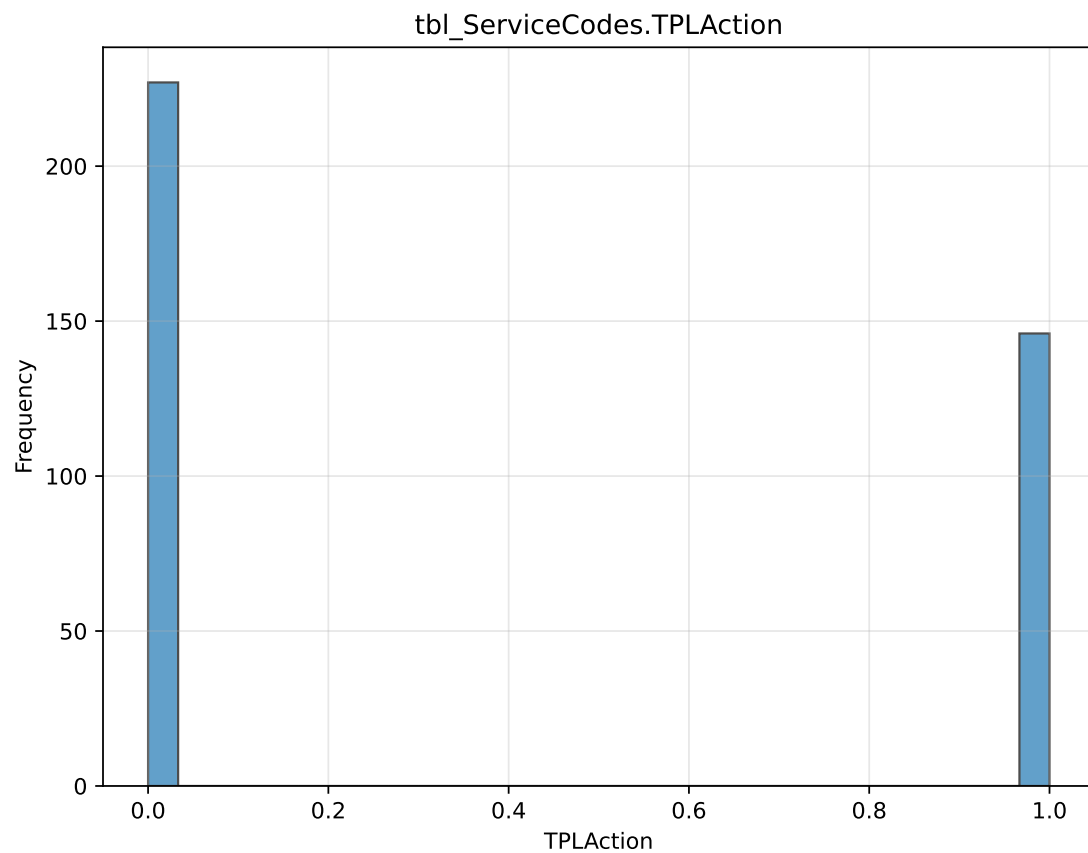


Figure 15.3-124: Distribution of TPLAction in tbl_ServiceCodes

15.3.125 tbl_ServiceCodes.MedicaidCovered

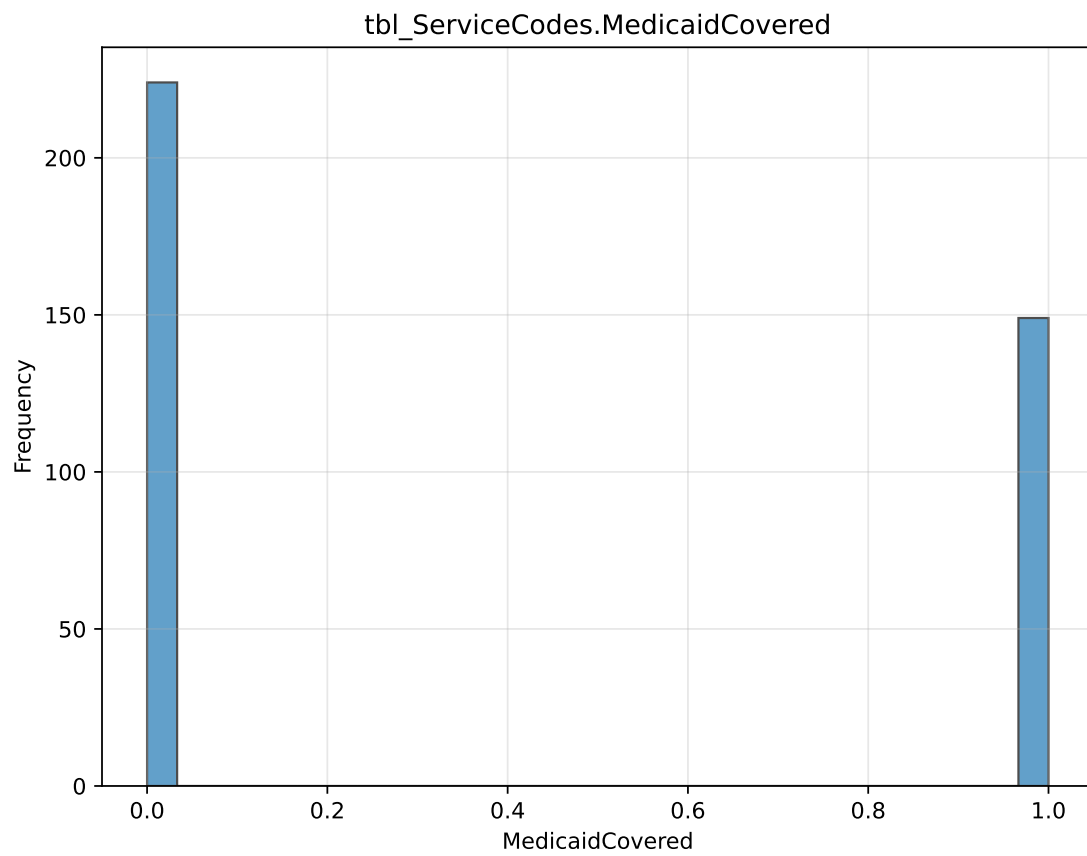


Figure 15.3-125: Distribution of MedicaidCovered in tbl_ServiceCodes

15.3.126 tbl_ServiceCodes.ServiceCodesId

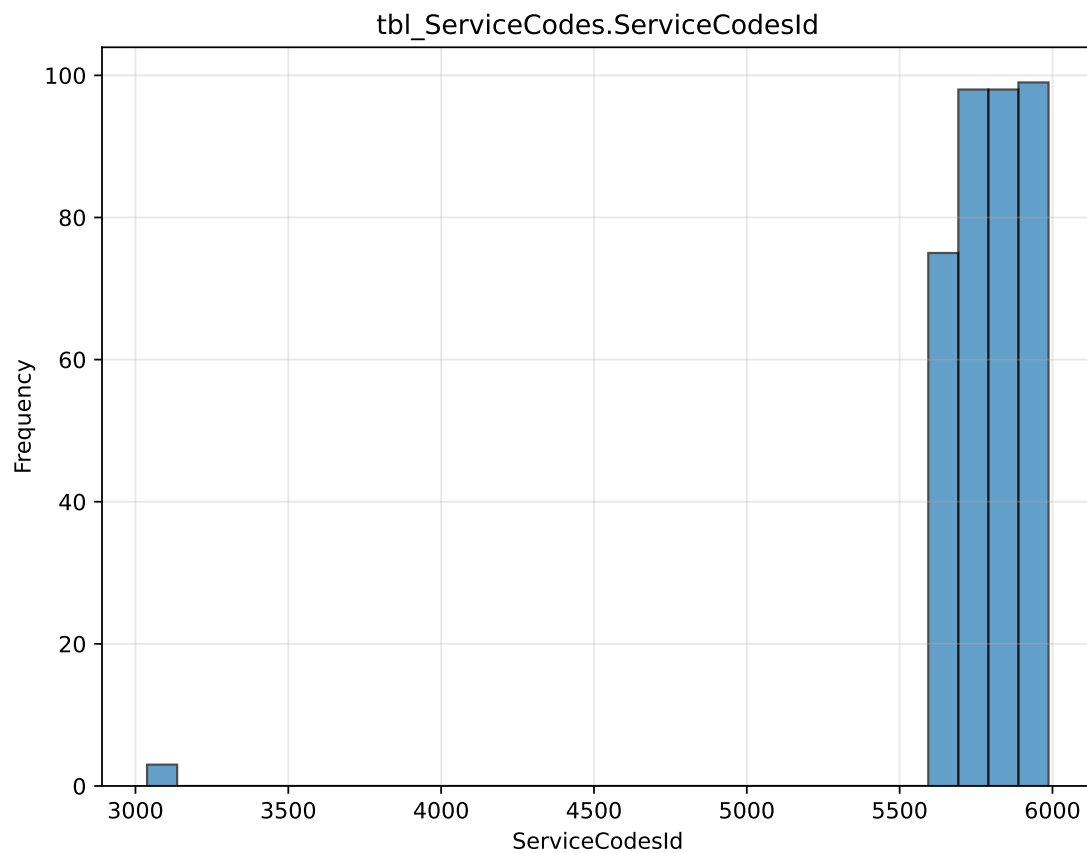


Figure 15.3-126: Distribution of ServiceCodesId in tbl_ServiceCodes

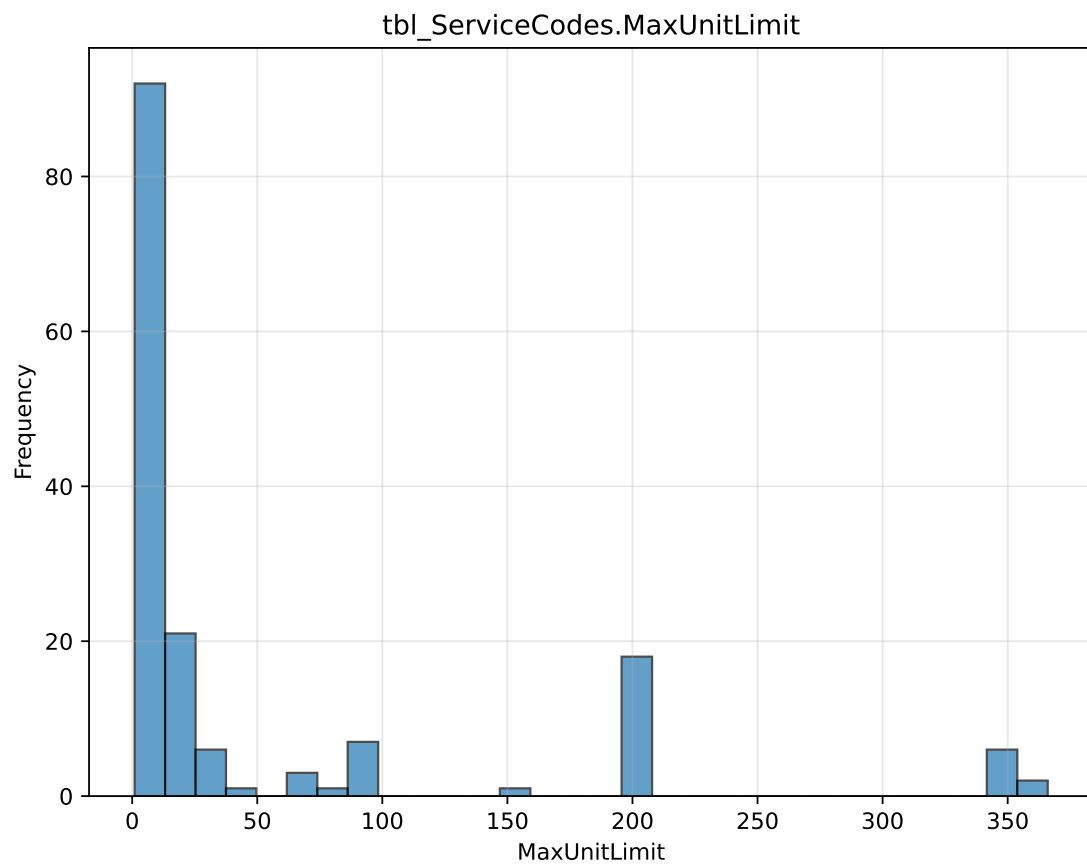
15.3.127 tbl_ServiceCodes.MaxUnitLimit

Figure 15.3-127: Distribution of MaxUnitLimit in tbl_ServiceCodes

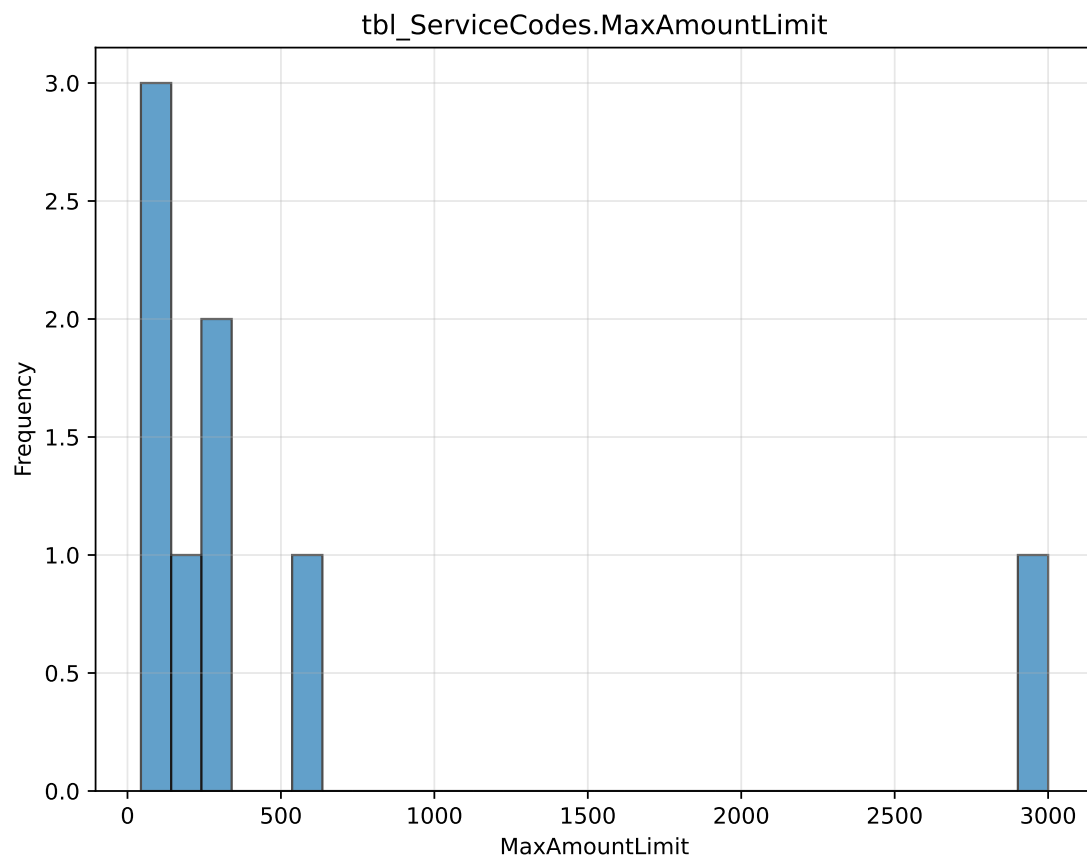
15.3.128 tbl_ServiceCodes.MaxAmountLimit

Figure 15.3-128: Distribution of MaxAmountLimit in tbl_ServiceCodes

15.4 Implementation of Model5b

Python Implementation:

```

1  #!/usr/bin/env python3
2  """
3  Model 5b Implementation for Florida APD iBudget Algorithm
4
5  This module implements the final Model 5b from the
6  UpdateStatisticalModelsIBudget document.
7  The model uses square-root transformation and multiple linear regression
8  to predict
9  individual budget allocations based on QSI assessment data.
10
11 Model 5b uses the following coefficients (from Table 4):
12 - Intercept: 27.5720
13 - Living Settings: ILSL (35.8220), RH1 (90.6294), RH2 (131.7576), RH3
14   (209.4558), RH4 (267.0995)
15 - Age Groups: Age21-30 (47.8473), Age31+ (48.9634)
16 - Behavioral/Functional Sums: BSum (0.4954), FHFSum (0.6349), SLFSum
17   (2.0529), SLBSum (1.4501)
18 - QSI Questions: Q16 (2.4984), Q18 (5.8537), Q20 (2.6772), Q21 (2.7878),
19   Q23 (6.3555),
20   Q28 (2.2803), Q33 (1.2233), Q34 (2.1764), Q36 (2.6734),
21   Q43 (1.9304)
22
23 Reference levels (coefficients = 0):
24 - Living Setting: Family Home (FH)
25 - Age: Under 21
26 """
27
28 import json
29 import math
30 import sys
31 from typing import Dict, Any, Optional
32 from dataclasses import dataclass
33 from datetime import datetime
34
35 @dataclass
36 class Model5bCoefficients:
37     """Model 5b regression coefficients from the final algorithm."""
38
39     # Intercept
40     intercept: float = 27.5720
41
42     # Living Setting coefficients (FH is reference level with 0)
43     live_ils1: float = 35.8220 # Independent Living & Supported Living
44     live_rh1: float = 90.6294 # Residential Habilitation, Standard and
45     # Live In
46     live_rh2: float = 131.7576 # Residential Habilitation, Behavior
47     # Focus
48     live_rh3: float = 209.4558 # Residential Habilitation, Intensive
49     # Behavior
50     live_rh4: float = 267.0995 # Residential Habilitation, CTEP and
51     # Special Medical Home Care

```

```

43
44 # Age Group coefficients (Under 21 is reference level with 0)
45 age_21_30: float = 47.8473 # Age 21-30
46 age_31_plus: float = 48.9634 # Age 31+
47
48 # Sum and interaction coefficients
49 bsum: float = 0.4954 # Behavioral status sum score
50 fhfsum: float = 0.6349 # Family Home by Functional status
    interaction
51 slfsum: float = 2.0529 # ILSL by Functional status interaction
52 slbsum: float = 1.4501 # ILSL by Behavioral status interaction
53
54 # QSI Question coefficients
55 q16: float = 2.4984 # Eating
56 q18: float = 5.8537 # Transfers
57 q20: float = 2.6772 # Hygiene
58 q21: float = 2.7878 # Dressing
59 q23: float = 6.3555 # Self-protection
60 q28: float = 2.2803 # Inappropriate Sexual Behavior
61 q33: float = 1.2233 # Injury to Person Caused by Aggression
62 q34: float = 2.1764 # Use of Mechanical Restraints
63 q36: float = 2.6734 # Use of Psychotropic Medications
64 q43: float = 1.9304 # Treatment (Physician Prescribed)
65
66
67 class TeeOutput:
68     """
69     Helper class to write output to both console and file simultaneously.
70     """
71     def __init__(self, filename):
72         self.terminal = sys.stdout
73         self.log = open(filename, 'w')
74
75     def write(self, message):
76         self.terminal.write(message)
77         self.log.write(message)
78
79     def flush(self):
80         self.terminal.flush()
81         self.log.flush()
82
83     def close(self):
84         self.log.close()
85
86
87 class Model5b:
88     """
89     Implementation of Model 5b for Florida APD iBudget Algorithm.
90
91     This class implements the final regression model with square-root
    transformation
92     that achieved R-squared = 0.7998 after removing 9.40% outliers.
93     """
94
95     def __init__(self):

```

```

96     self.coefficients = Model5bCoefficients()
97     self.model_info = {
98         "name": "Model 5b",
99         "r_squared": 0.7998,
100        "outliers_removed": 0.094,
101        "residual_standard_error": 30.82,
102        "degrees_of_freedom": 23193,
103        "f_statistic": 4412,
104        "p_value": "< 2.2e-16"
105    }
106
107     def validate_input(self, qsi_data: Dict[str, Any]) -> Dict[str, Any]:
108         """
109         Validate and normalize QSI input data.
110
111         Args:
112             qsi_data: Dictionary containing QSI assessment data
113
114         Returns:
115             Validated and normalized data dictionary
116
117         Raises:
118             ValueError: If required fields are missing or invalid
119         """
120         required_fields = ['living_setting', 'age', 'bsum', 'fsum', 'psum',
121                             '']
122         qsi_questions = ['Q16', 'Q18', 'Q20', 'Q21', 'Q23', 'Q28', 'Q33',
123                             'Q34', 'Q36', 'Q43']
124
125         # Check required fields
126         for field in required_fields:
127             if field not in qsi_data:
128                 raise ValueError(f"Missing required field: {field}")
129
130         # Check QSI questions
131         for q in qsi_questions:
132             if q not in qsi_data:
133                 raise ValueError(f"Missing required QSI question: {q}")
134
135         # Validate living setting
136         valid_living_settings = ['FH', 'ILSL', 'RH1', 'RH2', 'RH3', 'RH4',
137                                 '']
138         if qsi_data['living_setting'] not in valid_living_settings:
139             raise ValueError(f"Invalid living_setting. Must be one of: {
140                                 valid_living_settings}")
141
142         # Validate age
143         if not isinstance(qsi_data['age'], (int, float)) or qsi_data['age'] < 0:
144             raise ValueError("Age must be a non-negative number")
145
146         # Validate QSI scores (0-4 scale)
147         for q in qsi_questions:
148             score = qsi_data[q]
149             if not isinstance(score, (int, float)) or score < 0 or score

```

```

146         > 4:
147             raise ValueError(f"{q} must be between 0 and 4, got: {
148                 score}")
149
150     # Validate sum scores
151     if not (0 <= qsi_data['bsum'] <= 24): # 6 questions by 4 max
152         score
153         raise ValueError("Bsum must be between 0 and 24")
154     if not (0 <= qsi_data['fsum'] <= 44): # 11 questions by 4 max
155         score
156         raise ValueError("Fsum must be between 0 and 44")
157     if not (0 <= qsi_data['psum'] <= 76): # 19 questions by 4 max
158         score
159         raise ValueError("Psum must be between 0 and 76")
160
161     return qsi_data
162
163 def calculate_interaction_terms(self, qsi_data: Dict[str, Any]) ->
164     Dict[str, float]:
165     """
166     Calculate interaction terms between living setting and sum scores
167     .
168
169     Args:
170         qsi_data: Validated QSI data
171
172     Returns:
173         Dictionary containing interaction term values
174     """
175     living_setting = qsi_data['living_setting']
176     fsum = qsi_data['fsum']
177     bsum = qsi_data['bsum']
178
179     interactions = {
180         'fhfsum': 0, # Family Home by Functional Sum
181         'slfsum': 0, # ILSL by Functional Sum
182         'slbsum': 0 # ILSL by Behavioral Sum
183     }
184
185     if living_setting == 'FH':
186         interactions['fhfsum'] = fsum
187     elif living_setting == 'ILSL':
188         interactions['slfsum'] = fsum
189         interactions['slbsum'] = bsum
190
191     return interactions
192
193 def predict_square_root_scale(self, qsi_data: Dict[str, Any]) ->
194     float:
195     """
196     Calculate prediction in square-root scale using Model 5b
197     coefficients.
198
199     Args:
200         qsi_data: Validated QSI assessment data

```

```

192
193     Returns:
194         Predicted value in square-root scale
195     """
196     # Start with intercept
197     prediction = self.coefficients.intercept
198
199     # Add living setting effects (FH is reference level)
200     living_setting = qsi_data['living_setting']
201     if living_setting == 'ILSL':
202         prediction += self.coefficients.live_ilsl
203     elif living_setting == 'RH1':
204         prediction += self.coefficients.live_rh1
205     elif living_setting == 'RH2':
206         prediction += self.coefficients.live_rh2
207     elif living_setting == 'RH3':
208         prediction += self.coefficients.live_rh3
209     elif living_setting == 'RH4':
210         prediction += self.coefficients.live_rh4
211     # FH has coefficient 0 (reference level)
212
213     # Add age effects (Under 21 is reference level)
214     age = qsi_data['age']
215     if 21 <= age <= 30:
216         prediction += self.coefficients.age_21_30
217     elif age >= 31:
218         prediction += self.coefficients.age_31_plus
219     # Under 21 has coefficient 0 (reference level)
220
221     # Add behavioral sum effect
222     prediction += self.coefficients.bsum * qsi_data['bsum']
223
224     # Add interaction terms
225     interactions = self.calculate_interaction_terms(qsi_data)
226     prediction += self.coefficients.fhfsum * interactions['fhfsum']
227     prediction += self.coefficients.slfsum * interactions['slfsum']
228     prediction += self.coefficients.slbsum * interactions['slbsum']
229
230     # Add QSI question effects
231     prediction += self.coefficients.q16 * qsi_data['Q16']
232     prediction += self.coefficients.q18 * qsi_data['Q18']
233     prediction += self.coefficients.q20 * qsi_data['Q20']
234     prediction += self.coefficients.q21 * qsi_data['Q21']
235     prediction += self.coefficients.q23 * qsi_data['Q23']
236     prediction += self.coefficients.q28 * qsi_data['Q28']
237     prediction += self.coefficients.q33 * qsi_data['Q33']
238     prediction += self.coefficients.q34 * qsi_data['Q34']
239     prediction += self.coefficients.q36 * qsi_data['Q36']
240     prediction += self.coefficients.q43 * qsi_data['Q43']
241
242     return prediction
243
244     def predict_budget(self, qsi_data: Dict[str, Any]) -> Dict[str, Any]:
245         """
246         Predict individual budget allocation using Model 5b.

```

```

247
248     Args:
249         qsi_data: QSI assessment data
250
251     Returns:
252         Dictionary containing prediction results
253     """
254     # Validate input
255     validated_data = self.validate_input(qsi_data)
256
257     # Calculate prediction in square-root scale
258     sqrt_prediction = self.predict_square_root_scale(validated_data)
259
260     # Transform back to dollar scale by squaring
261     budget_prediction = sqrt_prediction ** 2
262
263     # Calculate interaction terms for transparency
264     interactions = self.calculate_interaction_terms(validated_data)
265
266     return {
267         'predicted_budget': round(budget_prediction, 2),
268         'sqrt_scale_prediction': round(sqrt_prediction, 4),
269         'model_info': self.model_info,
270         'input_data': validated_data,
271         'interaction_terms': interactions,
272         'coefficients_used': {
273             'living_setting': validated_data['living_setting'],
274             'age_group': self._get_age_group(validated_data['age']),
275             'qsi_scores': {q: validated_data[q] for q in ['Q16', 'Q18',
276                 'Q20', 'Q21', 'Q23', 'Q28', 'Q33', 'Q34', 'Q36', 'Q43']}
277         }
278     }
279
280 def _get_age_group(self, age: float) -> str:
281     """Helper function to determine age group."""
282     if age < 21:
283         return "Under 21 (reference)"
284     elif 21 <= age <= 30:
285         return "21-30"
286     else:
287         return "31+"
288
289 def predict_batch(self, qsi_data_list: list) -> list:
290     """
291     Predict budgets for multiple individuals.
292
293     Args:
294         qsi_data_list: List of QSI assessment data dictionaries
295
296     Returns:
297         List of prediction results
298     """
299     results = []
300     for i, qsi_data in enumerate(qsi_data_list):

```



```

300         try:
301             result = self.predict_budget(qsi_data)
302             result['record_index'] = i
303             results.append(result)
304         except Exception as e:
305             results.append({
306                 'record_index': i,
307                 'error': str(e),
308                 'input_data': qsi_data
309             })
310     return results
311
312
313 def main():
314     """
315     Main function to test Model 5b implementation using QSI-unit-test1.
316     json
317     Output is written to both console and model5b_output.txt
318     """
319     # Set up dual output to console and file
320     output_filename = 'model5b_output.txt'
321     tee = TeeOutput(output_filename)
322     original_stdout = sys.stdout
323     sys.stdout = tee
324
325     try:
326         # Add timestamp to output
327         print("Florida APD iBudget Algorithm - Model 5b Implementation")
328         print("=" * 60)
329         print(f"Execution Date/Time: {datetime.now().strftime('%Y-%m-%d %H:%M:%S')}")
330         print(f"Output File: {output_filename}")
331         print("=" * 60)
332
333         # Initialize the model
334         model = Model5b()
335
336         try:
337             # Load test data
338             with open('QSI-unit-test1.json', 'r') as f:
339                 test_data = json.load(f)
340
341             print(f"\nLoaded {len(test_data['test_cases'])} test cases from QSI-unit-test1.json")
342             print(f"Test data description: {test_data['description']}")
343
344             # Run predictions
345             results = model.predict_batch(test_data['test_cases'])
346
347             # Display results
348             print(f"\nModel 5b Prediction Results:")
349             print("-" * 40)
350
351             for result in results:
352                 if 'error' in result:

```

```

352         print(f"Record {result['record_index']}: ERROR - {
353             result['error']}")
354     else:
355         data = result['input_data']
356         print(f"\nRecord {result['record_index']}:")
357         print(f"    Individual: {data.get('individual_id', 'N/A')}")
358         print(f"    Living Setting: {data['living_setting']}")
359         print(f"    Age: {data['age']} ({result['coefficients_used']['age_group']}")
360         print(f"    Predicted Budget: ${result['predicted_budget']:, .2f}")
361         print(f"    Square-root Scale: {result['sqrt_scale_prediction']}")
362
363     # Summary statistics
364     successful_predictions = [r for r in results if 'error' not
365                             in r]
366     if successful_predictions:
367         budgets = [r['predicted_budget'] for r in
368                   successful_predictions]
369         print(f"\nSummary Statistics:")
370         print(f"    Successful predictions: {len(
371             successful_predictions)}")
372         print(f"    Average predicted budget: ${sum(budgets)/len(
373             budgets):, .2f}")
374         print(f"    Minimum predicted budget: ${min(budgets):, .2f}")
375         print(f"    Maximum predicted budget: ${max(budgets):, .2f}")
376
377     print(f"\nModel Information:")
378     print(f"    R-squared: {model.model_info['r_squared']}")
379     print(f"    Outliers removed: {model.model_info['outliers_removed']*100:.1f}%")
380     print(f"    Residual standard error: {model.model_info['residual_standard_error']}")
381
382     print(f"\n" + "=" * 60)
383     print(f"Execution completed successfully.")
384     print(f"Results saved to: {output_filename}")
385
386     except FileNotFoundError:
387         print("\nError: QSI-unit-test1.json not found.")
388         print("Please ensure the test data file is in the same
389             directory.")
390     except json.JSONDecodeError as e:
391         print(f"\nError reading JSON file: {e}")
392     except Exception as e:
393         print(f"\nUnexpected error: {e}")
394
395     finally:
396         # Restore original stdout and close file
397         sys.stdout = original_stdout
398         tee.close()

```

```
393         print(f"\nOutput has been written to both console and {  
394             output_filename}")  
395  
396 if __name__ == "__main__":  
397     main()
```

Output:

```
1  Florida APD iBudget Algorithm - Model 5b Implementation  
2  =====  
3  Execution Date/Time: 2025-09-10 13:33:47  
4  Output File: model5b_output.txt  
5  =====  
6  
7  Loaded 12 test cases from QSI-unit-test1.json  
8  Test data description: Unit test data for Model 5b iBudget Algorithm  
   based on QSI assessments  
9  
10 Model 5b Prediction Results:  
11 -----  
12  
13 Record 0:  
14     Individual: TEST001  
15     Living Setting: ILSL  
16     Age: 25 (21-30)  
17     Predicted Budget: $42,960.19  
18     Square-root Scale: 207.2684  
19  
20 Record 1:  
21     Individual: TEST002  
22     Living Setting: FH  
23     Age: 19 (Under 21 (reference))  
24     Predicted Budget: $4,709.91  
25     Square-root Scale: 68.6288  
26  
27 Record 2:  
28     Individual: TEST003  
29     Living Setting: RH1  
30     Age: 35 (31+)  
31     Predicted Budget: $69,109.36  
32     Square-root Scale: 262.8866  
33  
34 Record 3:  
35     Individual: TEST004  
36     Living Setting: RH2  
37     Age: 28 (21-30)  
38     Predicted Budget: $96,521.94  
39     Square-root Scale: 310.6798  
40  
41 Record 4:  
42     Individual: TEST005  
43     Living Setting: RH3  
44     Age: 42 (31+)  
45     Predicted Budget: $169,866.55  
46     Square-root Scale: 412.1487
```

```
47
48 Record 5:
49   Individual: TEST006
50   Living Setting: RH4
51   Age: 55 (31+)
52   Predicted Budget: $215,268.90
53   Square-root Scale: 463.9708
54
55 Record 6:
56   Individual: TEST007
57   Living Setting: FH
58   Age: 16 (Under 21 (reference))
59   Predicted Budget: $3,662.69
60   Square-root Scale: 60.5202
61
62 Record 7:
63   Individual: TEST008
64   Living Setting: ILSL
65   Age: 31 (31+)
66   Predicted Budget: $56,536.28
67   Square-root Scale: 237.7736
68
69 Record 8:
70   Individual: TEST009
71   Living Setting: FH
72   Age: 24 (21-30)
73   Predicted Budget: $19,418.14
74   Square-root Scale: 139.349
75
76 Record 9:
77   Individual: TEST010
78   Living Setting: RH1
79   Age: 67 (31+)
80   Predicted Budget: $68,804.18
81   Square-root Scale: 262.3055
82
83 Record 10:
84   Individual: TEST011
85   Living Setting: ILSL
86   Age: 29 (21-30)
87   Predicted Budget: $40,415.35
88   Square-root Scale: 201.0357
89
90 Record 11:
91   Individual: TEST012
92   Living Setting: FH
93   Age: 38 (31+)
94   Predicted Budget: $27,697.45
95   Square-root Scale: 166.4255
96
97 Summary Statistics:
98   Successful predictions: 12
99   Average predicted budget: $67,914.24
100   Minimum predicted budget: $3,662.69
101   Maximum predicted budget: $215,268.90
```



```
102
103 Model Information:
104     R-squared: 0.7998
105     Outliers removed: 9.4%
106     Residual standard error: 30.82
107
108 =====
109 Execution completed successfully.
110 Results saved to: model5b_output.txt
```