



#### Recursion

Tecniche di Programmazione – A.A. 2019/2020



#### Summary

- Definition and divide-and-conquer strategies
- Recursion: design tips
- 3. Simple recursive algorithms
  - Fibonacci numbers
  - 2. Dicothomic search
  - 3. X-Expansion
  - 4. Anagrams
  - 5. Knapsack
- 4. Recursive vs Iterative strategies
- 5. More complex examples of recursive algorithms
  - I. Knight's Tour
  - Proposed exercises



# Definition and divide-and-conquer strategies

Recursion

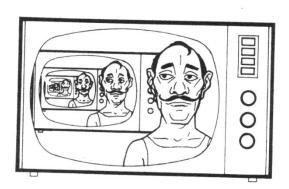
## Why recursion?

- Divide et impera
- Systematic exploration/enumeration
- Handling recursive data structures

#### Definition

- A method (or a procedure or a function) is defined as recursive when:
  - Inside its definition, we have a call to the same method (procedure, function)
  - Or, inside its definition, there is a call to another method that, directly or indirectly, calls the method itself
- An algorithm is said to be recursive when it is based on recursive methods (procedures, functions)







#### Example: Factorial

```
\begin{cases} 0! \stackrel{\text{def}}{=} 1 \\ \forall N \geq 1: \\ N! \stackrel{\text{def}}{=} N \times (N-1)! \end{cases}
```

```
public long recursiveFactorial(long N)
 long result = 1;
 if ( N == 0 )
    return 1;
 else {
    result = recursiveFactorial(N-1);
    result = N * result ;
    return result ;
```

#### Motivation

- Many problems lend themselves, naturally, to a recursive description:
  - We define a method to solve sub-problems similar to the initial one, but smaller
  - We define a method to combine the partial solutions into the overall solution of the original problem



#### Recursion

#### Divide et Impera

- Split a problem P into {Q<sub>i</sub>} where Q<sub>i</sub> are still complex, yet simpler instances of the same problem.
- Solve  $\{Q_i\}$ , then merge the solutions
- Merge & split must be "simple"
- A.k.a., Divide 'n Conquer

#### Exploration

- Systematic procedure to enumerate all possible solutions
- Solutions (built stepwise)
  - Paths
  - Permutations
  - Combinations
- Divide et Impera, by "dividing" the possible solutions

## Divide et Impera - Divide and Conquer

Solution = Solve ( Problem ); Solve ( Problem ) { List<SubProblem> subProblems = Divide ( Problem ); For ( each subP[i] in subProblems ) { SubSolution[i] = Solve (subP[i]); Solution = Combine (SubSolution[I..N]); return Solution;

## Divide et Impera – Divide and Conquer

Solution = Solve ( Problem );

```
Solve ( Problem ) {
    List<SubProblem> subProblems = Divide ( Problem );
  For ( each subP[i] in subProblems ) {
     SubSolution[i] = Solve ( subP[i] );
                                                   "a" sub-problems, each
    Solution = Combine (SubSolution[I..N]
                                                   "b" times smaller than
    return Solution;
                                                    the initial problem
                         recursive call
```

#### How to stop recursion?

- Recursion must not be infinite
  - Any algorithm must always terminate!
- After a sufficient nesting level, sub-problems become so small (and so easy) to be solved:
  - Trivially (ex: sets of just one element)
  - Or, with methods different from recursion

#### Warnings

- Always remember the "termination condition"
- Ensure that all sub-problems are strictly "smaller" than the initial problem

## Divide et Impera – Divide and Conquer

```
Solve ( Problem ) {
  if( problem is trivial )
     Solution = Solve_trivial ( Problem );
   else {
     List<SubProblem> subProblems = Divide ( Problem );
     For ( each subP[i] in subProblems ) {
       SubSolution[i] = Solve (subP[i]);
     Solution = Combine (SubSolution[I..N]);
                                                      do recursion
    return Solution;
```

#### Exploration

```
Explore ( S ) {
    List<Step> steps = PossibleSteps ( Problem, S );
    for ( each p in steps ) {
         S.Do ( p )
         Explore ( S );
         S.Undo ( p );
    }
}
```

## Exploration

The "status" of the problem

```
Explore ( S ) {
  List<Step> steps = PossibleSteps ( Problem, S );
  for ( each p in steps ) {
                                            Local variable
     ▶ S.Do (p)
     Explore ( S );
                                       "Try" the step
     S.Undo ( p ) ;
                                            Recursion
                      Backtrack!
```



# Design tips

Recursion

#### Goal

- Analysis of a problem to be solved with recursive techniques
- Identification of the main design choices
- Identification of the main implementation strategies

#### Analizzare il problema

- Come imposto in generale la ricorsione?
- ▶ Che cosa mi rappresenta il "livello"?
- Com'è fatta una soluzione parziale?
- Com'è fatta una soluzione totale?

## Generale le possibili soluzioni

- Qual è la regola per generare tutte le soluzioni del livello+ l a partire da una soluzione parziale del livello corrente?
- Come faccio a riconoscere se una soluzione parziale è anche completa? (terminazione con successo)
- ▶ Come viene avviata la ricorsione (livello 0)?

#### Identificare le soluzioni valide

- Data una soluzione parziale, come faccio a
  - > sapere se è valida (e quindi continuare)?
  - > sapere se non è valida (e quindi terminare la ricorsione)?
  - nb. magari non posso...
- Data una soluzione completa, come faccio a
  - sapere se è valida?
  - sapere se non è valida?
- Cosa devo fare con le soluzioni complete valide?
  - Fermarmi alla prima?
  - Generarle e memorizzarle tutte?
  - Contarle?

#### Progettare le strutture dati

- Qual è la struttura dati per memorizzare una soluzione (parziale o completa)?
- Qual è la struttura dati per memorizzare lo stato della ricerca (della ricorsione)?

#### Scheletro del codice

```
// Struttura di un algoritmo ricorsivo generico
void recursive (..., level) {
 // E -- sequenza di istruzioni che vengono eseguite sempre
 // Da usare solo in casi rari (es. Ruzzle)
 doAlways();
 // A
  if (condizione di terminazione) {
    doSomething;
    return;
 // Potrebbe essere anche un while ()
  for () {
    // B
    generaNuovaSoluzioneParziale;
    if (filtro) { // C
      recursive (..., level + 1);
    // D
    backtracking;
}
```

## Riempire lo scheletro (del codice)

Blocco	Frammento di codice
Α	
В	
С	
D	
Ε	

```
// Struttura di un algoritmo ricorsivo
void recursive (..., level) {
 // E -- sequenza di istruzioni che ve
 // Da usare solo in casi rari (es. Ru
 doAlways();
 // A
 if (condizione di terminazione) {
   doSomething;
    return;
 // Potrebbe essere anche un while ()
 for () {
   // B
    generaNuovaSoluzioneParziale;
    if (filtro) { // C
      recursive (..., level + 1);
    // D
    backtracking;
```

## Recursion myths

- Recursive algorithms are O(n log n)
- Recursive algorithms are better than non-recursive ones
- Recursive algorithms can be coded quickly





## Complexity

Recursion

## Divide et Impera – Divide and Conquer

```
Solve ( Problem ) {
  if( problem is trivial )
     Solution = Solve_trivial ( Problem );
   else {
     List<SubProblem> subProblems = Divide ( Problem );
     For ( each subP[i] in subProblems ) {
       SubSolution[i] = Solve (subP[i]);
     Solution = Combine (SubSolution[I..N]);
                                                      do recursion
    return Solution;
```

## What about complexity?

- a = number of sub-problems for a problem
- b = how smaller sub-problems are than the original one
- n = size of the original problem
- ► T(n) = complexity of Solve
  - ...our unknown complexity function
- $\Theta(I)$  = complexity of Solve\_trivial
  - ...otherwise it wouldn't be trivial
- ▶ D(n) = complexity of Divide
- C(n) = complexity of Combine

## Divide et Impera – Divide and Conquer

```
Solve ( Problem ) {
                                                                   T(n)
  if( problem is trivial )
     Solution = Solve_trivial ( Problem );
  else {
                                                                  D(n)
     List<SubProblem> subProblems = Divide ( Problem ); <</p>
     For ( each subP[i] in subProblems ) { <</p>
                                                                a times
       □ SubSolution[i] = Solve (subP[i]); ←
                                                                T(n/b)
       Solution = Combine (SubSolution[I..a });
    return Solution;
```

## Complexity computation

- T(n) =
  - ▶  $\Theta(I)$  for  $n \le c$
  - D(n) + a T(n/b) + C(n) for n > c
- Recurrence Equation not easy to solve in the general case
- Special case:
  - If  $D(n)+C(n)=\Theta(n)$
  - We obtain  $T(n) = \Theta(n \log n)$ .

# Examples

Algorith m	Solve_tri vial	Divide	A	В	Combine	Complex ity
Dicotomic search	1	1	I	2	0	Log(N)
Merge Sort	I	I	2	2	n	N Log(N)
Permutati on	0	I	N	N-I	0	Γ(N)
Combinati ons	0	I	K	N	0	K^N
Factorial	Í	0	I	N-I	0	N
Fibonacci	I	0	2	N-I	0	2^N



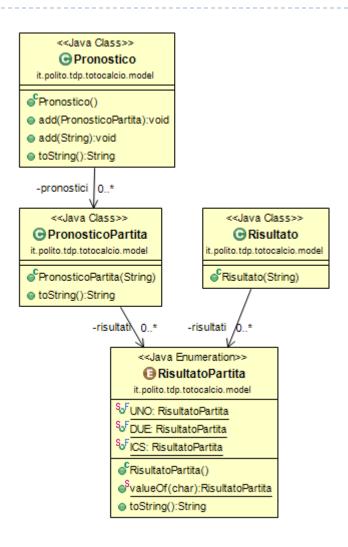
## Simple recursive algorithms

Recursion

#### Schedina Totocalcio



#### Classi



#### Exercise: Anagram

- Given a word, find all possible anagrams of that word
  - Find all permutations of the elements in a set
  - Permutations are N!
- ▶ E.g.: «Dog» → dog, dgo, god, gdo, odg, ogd

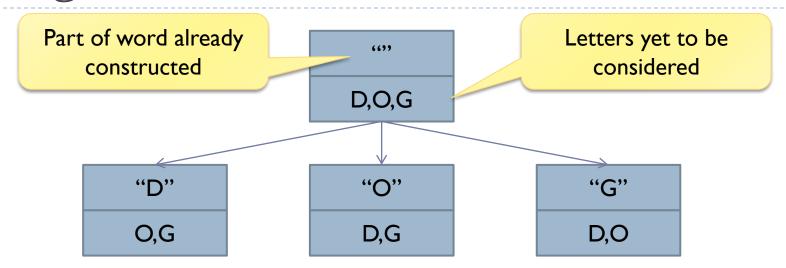
#### Anagrams: recursion tree

Part of word already constructed

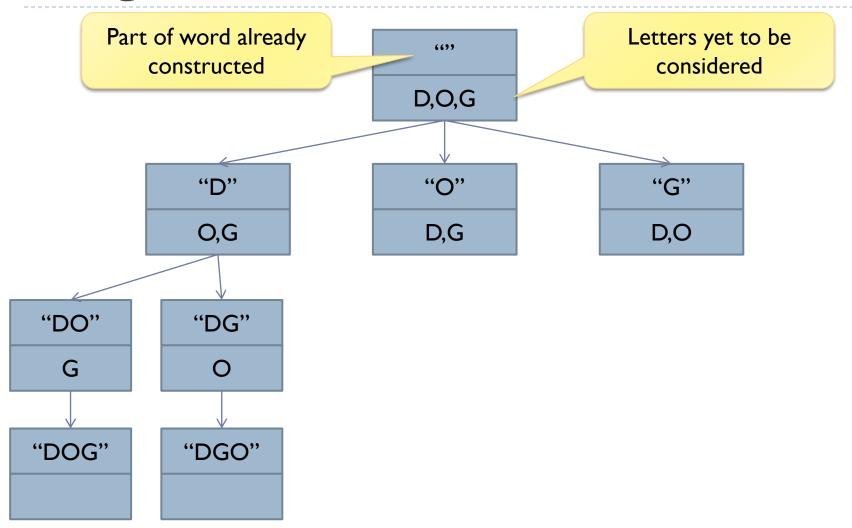
D,O,G

Letters yet to be considered

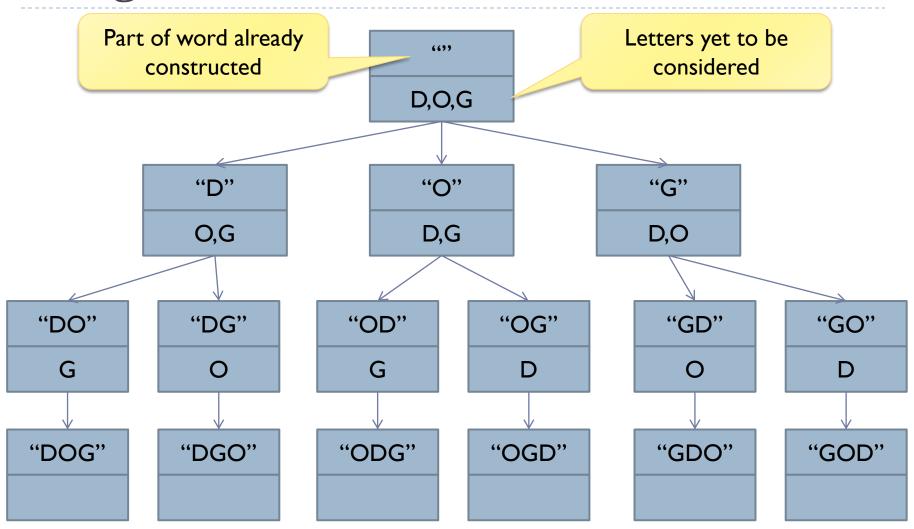
## Anagrams: recursion tree



## Anagrams: recursion tree



## Anagrams: recursion tree



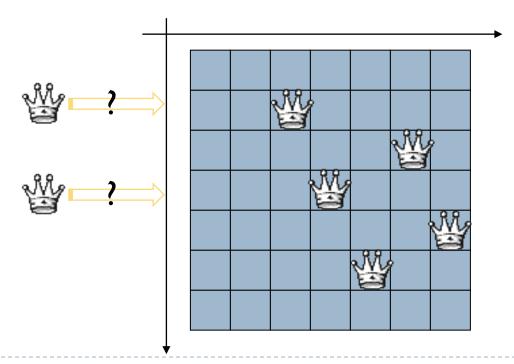
## Anagrams: problem variants

- Generate only anagrams that are "valid" words
  - At the end of recursion, check the dictionary
  - During recursion, check whether the current prefix exists in the dictionary
- Handle words with multiple letters: avoid duplicate anagrams
  - ▶ E.g., "seas" → seas and seas are the same word
  - Generate all and, at the end or recursion, check if repeated
  - Constrain, during recursion, duplicate letters to always appear in the same order (e.g, s alwaws before s)

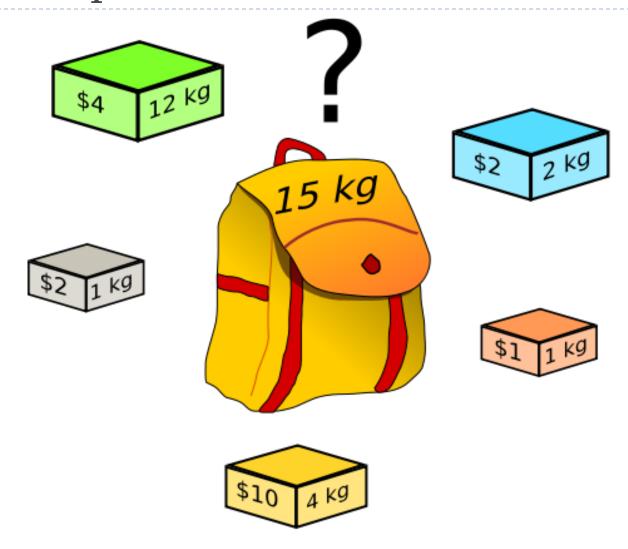
http://wordsmith.org/anagram/index.html

## The N Queens

- Consider a NxN chessboard, and N Queens that may act according to the chess rules
- Find a position for the N queens, such that no Queen is able to attack any other Queen



## The Knapsack Problem



## The Knapsack Problem

**Input:** Weight of N items  $\{w_1, w_2, ..., w_n\}$ 

Cost of N items  $\{c_1, c_2, ..., c_n\}$ 

Knapsack limit S

**Output:** Selection for knapsack:  $\{x_1, x_2, ..., x_n\}$ 

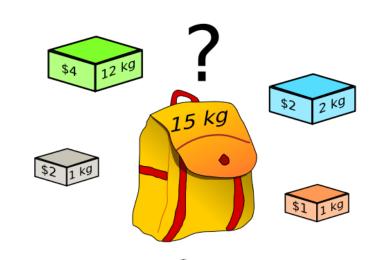
where  $x_i \in \{0,1\}$ .

## **Sample input:**

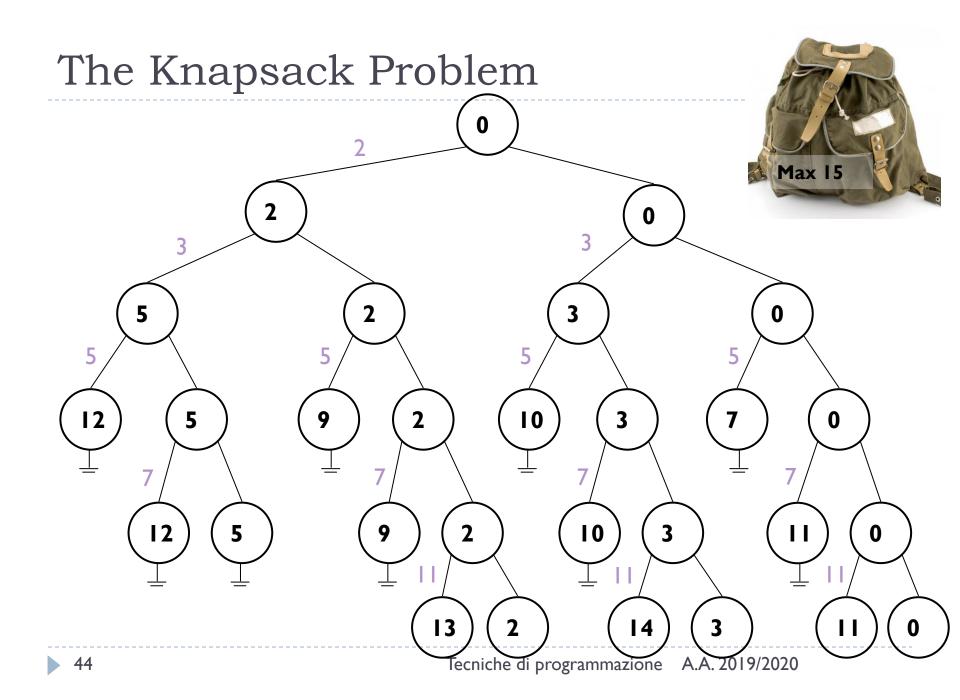
$$W_i = \{1, 1, 2, 4, 12\}$$

$$C_{i} = \{1,2,2,10,4\}$$

$$S = 15$$







#### Fibonacci Numbers

#### Problem:

Compute the N-th Fibonacci Number

#### Definition:

- $FIB_{N+1} = FIB_N + FIB_{N-1} for N>0$
- $\rightarrow$  FIB<sub>1</sub> = I
- $\rightarrow$  FIB<sub>0</sub> = 0

#### Recursive solution

```
public long recursiveFibonacci(long N) {
   if(N==0)
     return 0;
   if(N==1)
     return 1;

   long left = recursiveFibonacci(N-1);
   long right = recursiveFibonacci(N-2);

   return left + right;
}
```

```
Fib(0) = 0

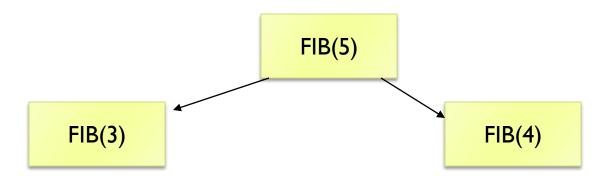
Fib(1) = 1

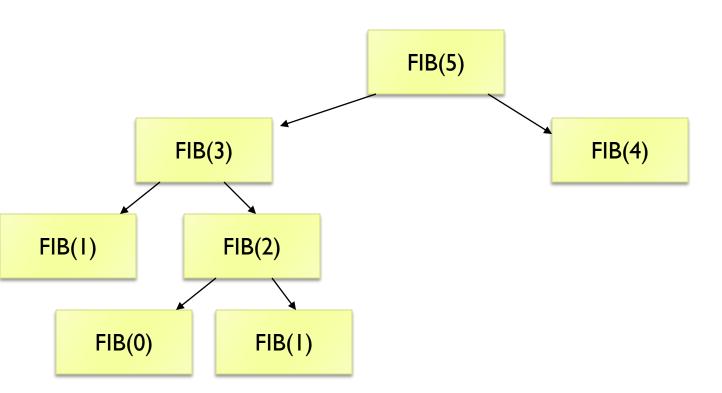
Fib(2) = 1

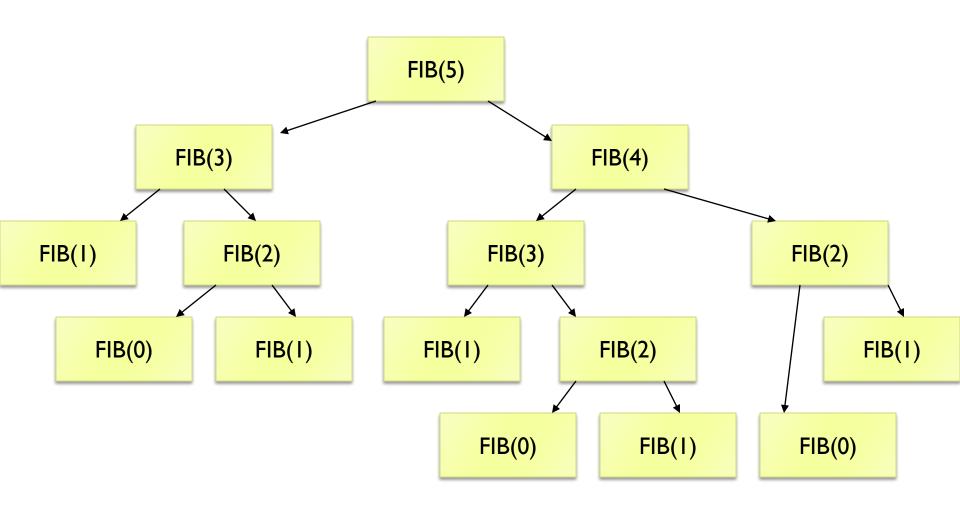
Fib(3) = 2

Fib(4) = 3

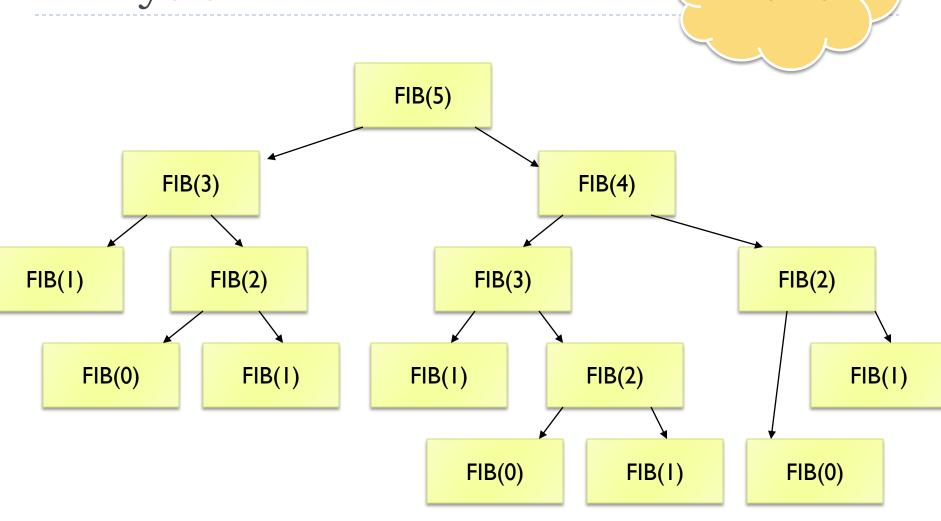
Fib(5) = 5
```











## Example: dichotomic search

#### Problem

Determine whether an element x is present inside an ordered vector v[N]

#### Approach

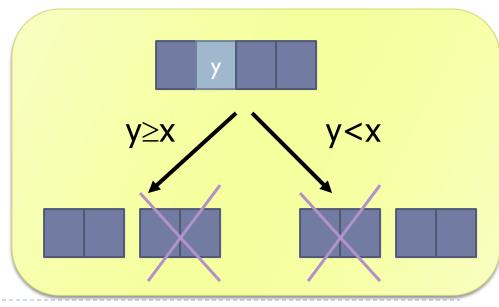
- Divide the vector in two halves
- Compare the middle element with x
- Reapply the problem over one of the two halves (left or right, depending on the comparison result)
- The other half may be ignored, since the vector is ordered

## Example

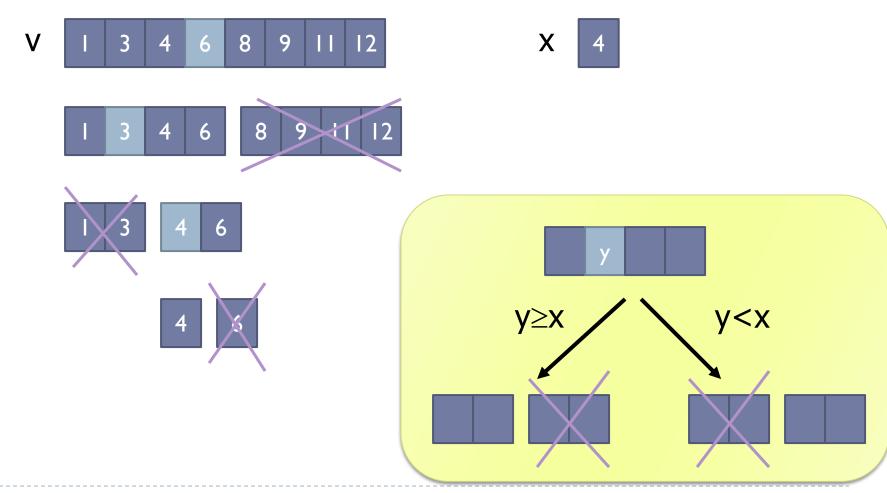


## Example





## Example



#### Solution

```
public int find(int[] v, int a, int b, int x)
{
       if(b-a == 0) { // trivial case
              if(v[a]==x) return a ; // found
              else return -1; // not found
       }
       int c = (a+b) / 2; // splitting point
       if(v[c] >= x)
              return find(v, a, c, x);
       else return find(v, c+1, b, x);
```

### Solution

```
public int fir
{
    if(b-a
```

# Beware of integer-arithmetic approximations!

```
int c = (a+b) / 2
if(v[c] >= x)
    return find(v, a, c, x);
else return find(v, c+1, b, x);
```

## Quick reference

BINARY SEARCH					Array
	Best Average		Worst	]	·
	O (1)	O (log n)	O (log n)		Divide and Conquer
search (A, t)					search (A, 11)
1.	low = 0		I	ow	ix high
2.	high = $n-1$ first pass			1 4	8 9 11 15 17
3.	<b>while</b> (low $\leq$ high) <b>do</b> find $low$ ix high				
4.	ix = (low + high)/2 second points			1 4	8 9 11 15 17
5.	if(t = A[ix)]	ː(]) then	low		
6.	return true				ix
7.	else if (t $<$ A[ix]) then				high
8.	high =	= ix - 1	third pass 1   4   8   9   11   15   17		
9.	else low =	= ix + 1	explored		
10.	return false			elements	
end					

#### Exercise: Value X

- When working with Boolean functions, we often use the symbol X, meaning that a given variable may have indifferently the value 0 or 1.
- Example: in the OR function, the result is 1 when the inputs are 01, 10 or 11. More compactly, if the inputs are X1 or 1X.

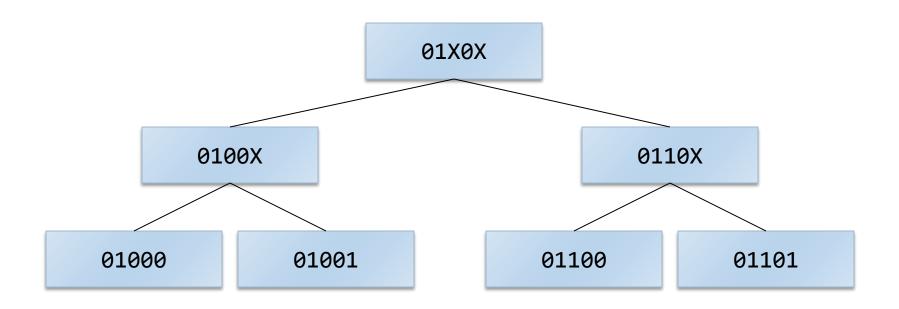
## X-Expansion

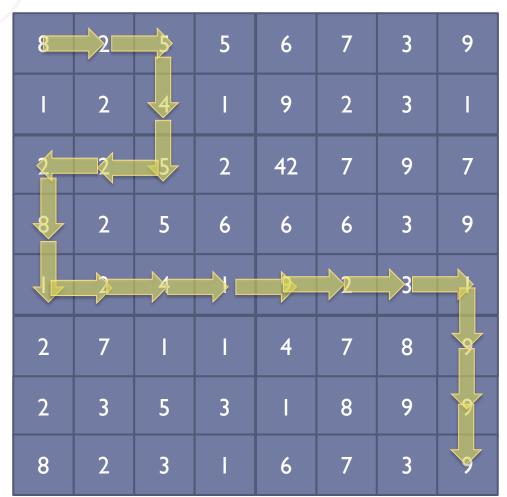
- We want to devise an algorithm that, given a binary string that includes characters 0, 1 and X, will compute all the possible combinations implied by the given string.
- Example: given the string 01X0X, algorithm must compute the following combinations
  - 01000
  - 01001
  - 01100
  - 01101

#### Solution

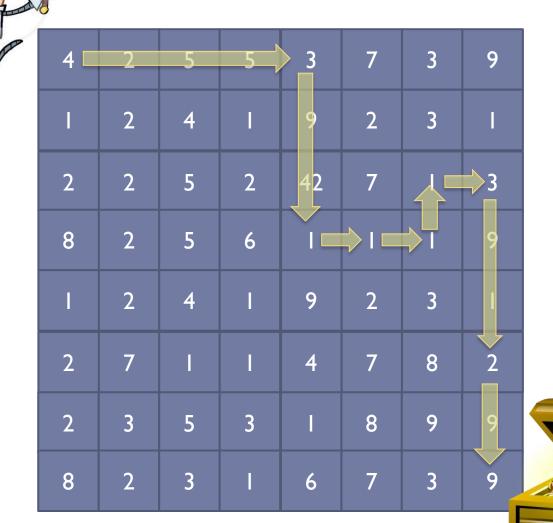
- We may devise a recursive algorithm that explores the complete 'tree' of possible compatible combinations:
  - Transforming each X into a 0, and then into a 1
  - For each transformation, we recursively seek other X in the string
- The number of final combinations (leaves of the tree) is equal to  $2^N$ , if N is the number of X.
- ▶ The tree height is N+1.

#### Combinations tree









#### Exercise: Binomial Coefficient

Compute the Binomial Coefficient (n m) exploiting the recurrence relations (derived from Tartaglia's triangle):

$$\begin{cases} \binom{n}{m} = \binom{n-1}{m-1} + \binom{n-1}{m} \\ \binom{n}{n} = \binom{n}{0} = 1 \\ 0 \le n, \quad 0 \le m \le n \end{cases}$$

#### Exercise: Determinant

- Compute the determinant of a square matrix
- Remind that:
  - $\det(M_{1\times 1}) = m_{1,1}$
  - $\det(M_{NxN})$  = sum of the products of all elements of a row (or column), times the determinants of the (N-1)x(N-1) submatrices obtained by deleting the row and column containing the multiplying element, with alternating signs  $(-1)^{(i+j)}$ .

$$\det(A) = \sum_{j=1}^{n} (-1)^{i+j} a_{i,j} M_{i,j} = \sum_{i=1}^{n} (-1)^{i+j} a_{i,j} M_{i,j}.$$

Laplace's Formula, at

http://en.wikipedia.org/wiki/Determinant



## Recursive vs Iterative strategies

Recursion

#### Recursion and iteration

- Every recursive program can always be implemented in an iterative manner
- The best solution, in terms of efficiency and code clarity, depends on the problem

## Example: Factorial (iterative)

```
\begin{cases} 0! \stackrel{\text{def}}{=} 1 \\ \forall N \geq 1: \\ N! \stackrel{\text{def}}{=} N \times (N-1)! \end{cases}
```

```
public long iterativeFactorial(long N)
{
    long result = 1 ;

    for (long i=2; i<=N; i++)
        result = result * i ;

    return result ;
}</pre>
```

## Fibonacci (iterative)

```
public long iterativeFibonacci(long N) {
  if(N==0) return 0 ;
  if(N==1) return 1 ;
  // now we know that N >= 2
  long i = 2;
  long fib1 = 1; // fib(N-1)
  long fib2 = 0; // fib(N-1)
 while( i<=N ) {</pre>
    long fib = fib1 + fib2;
    fib2 = fib1;
    fib1 = fib;
    i++ ;
  return fib1;
```

## Dichotomic search (iterative)

```
public int findIterative(int[] v, int x) {
 int a = 0;
 int b = v.length-1;
 while( a != b ) {
   int c = (a + b) / 2; // middle point
    if (v[c] >= x) {
     // v[c] is too large -> search left
     b = c;
   } else {
     // v[c] is too small -> search right
     a = c+1;
 if (v[a] == x)
   return a;
 else
   return -1;
```

#### Exercises

- Create an iterative version for the computation of the binomial coefficient (n m).
- Analyze a possible iterative version for computing the determinant of a matrix. What are the difficulties?

Can you find a simple iterative solution for the X-Expansion problem? And for the Anagram problem?

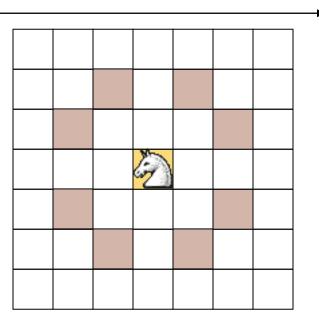


## More complex examples of recursive algorithms

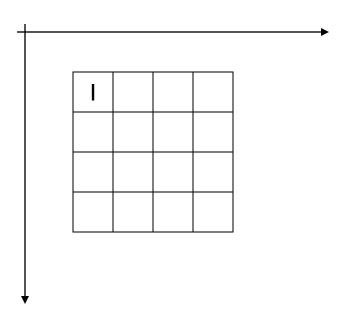
Recursion

## Knight's tour

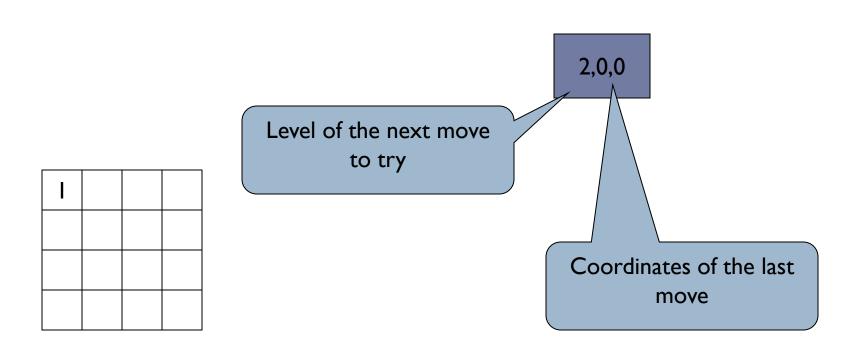
- Consider a NxN chessboard, with the Knight moving according to Chess rules
  - ▶ The Knight may move in 8 different cells
- We want to find a sequence of moves for the Knight where
  - All cells in the chessboard are visited
  - ► Each cell is touched exactly **once**
- ▶ The starting point is arbitrary



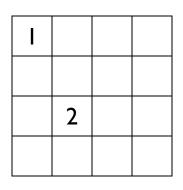
#### ► Assume N=4

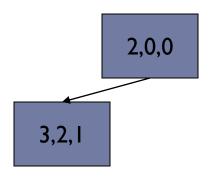


#### Move 1

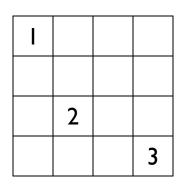


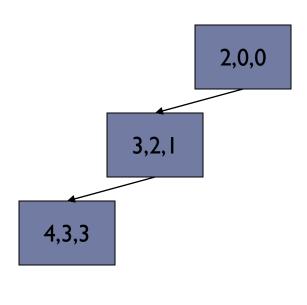
## Move 2

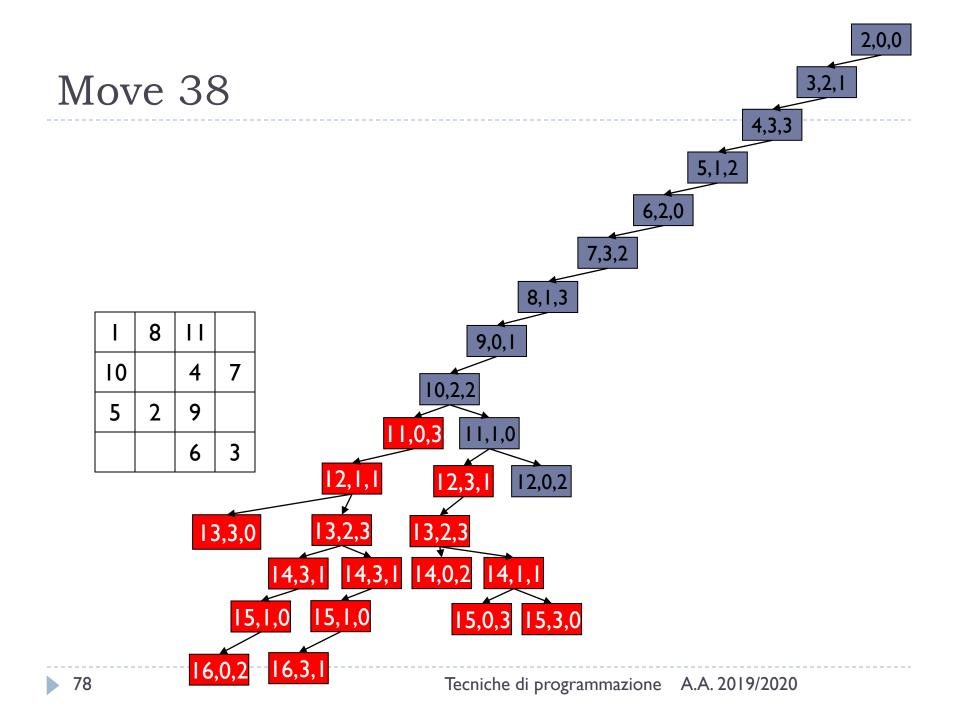




## Move 3



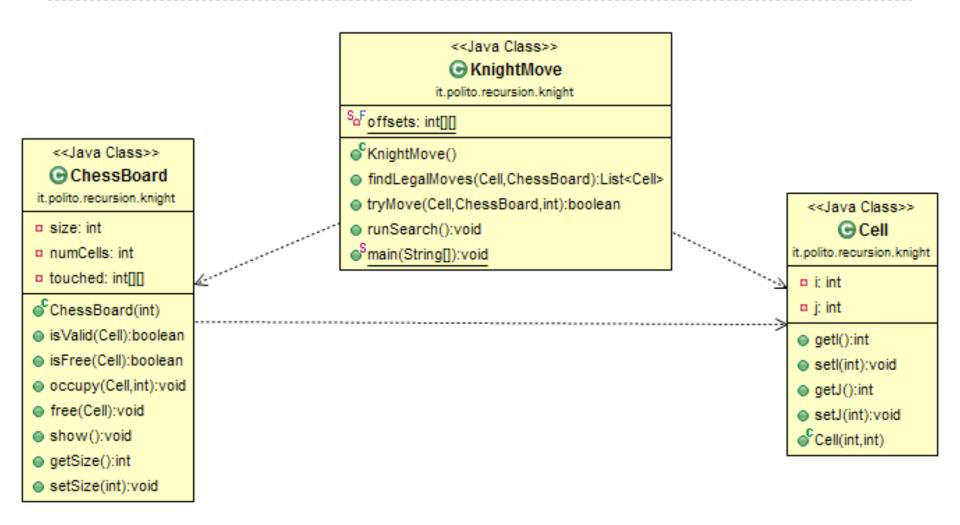




## Complexity

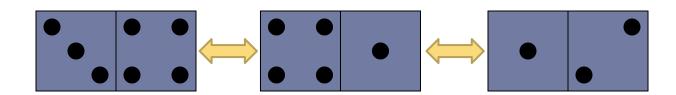
- ▶ The number of possible moves, at each step, is at most 8.
- ▶ The number of steps is  $N^2$ .
- The solution tree has a number of nodes  $\leq 8^{N^2}$ .
- In the worst case
  - The solution is in the right-most leave of the solution tree
  - The tree is complete
- The number of recursive calls, in the worst case, is therefore  $\Theta(8^{N^2})$ .

## Implementation



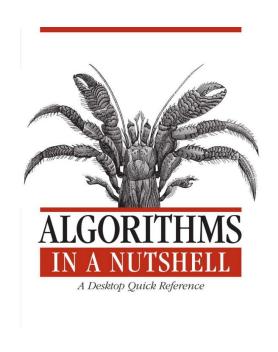
## Domino game

- Consider the game of Domino, composed of two-sided pieces: each side is labeled with a number from 0 to 6. All combinations of number pairs are represented exactly once.
- Find the longest possible sequence of pieces, such that consecutive pieces have the same value on the adjacent sides.



#### Resources

 Algorithms in a Nutshell, By George T. Heineman, Gary Pollice, Stanley Selkow, O'Reilly Media



O'REILLY°

George T. Heineman, Gary Pollice & Stanley Selkow

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