# Analysis of differential gene expression in wild and cultivated rice under drought stress

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# 1 Abstract

Provide a brief summary of the purpose of the assignment, the methods used, the main findings, and the significance of the results. Limit the abstract to 200-250 words.

# 2 Introduction and objectives

RNA-sequencing is used to analyze the transcriptome, indicating which of the genes encoded in the DNA are turned on or off and to what extent. This study examines the impact of drought stress on the gene expression of wild and and cultivated rice, using statistical methods. To this end, publicly available RNA-seq data from the Institute of Botany, Chinese Academy of Sciences, submitted on January 1st, 2021, is analyzed with respect to differential gene expression. This data allows for a direct comparison of normal and drought stress conditions for two closely related rice species: wild rice (Oryza nivara), and cultivated rice (Oryza sativa). Furthermore, it allows for the comparison of the two wild rice cultivars BJ278 and BJ89.

# 3 Materials and methods

# 3.1 Selection of the RNA-seq data

This study uses publicly available paired-end RNA-seq data of wild and cultivated rice, submitted in January 1, 2021 by the Institute of Botany, Chinese Academy of Sciences. This data allows to compare rice grown under normal conditions with rice grown under drought stress conditions. Furthermore, the data allows for an interspecies comparison of wild rice (Oryza nivara, cultivars BJ278 and BJ89) with cultivated rice (Oryza sativa, cultivar Nipponbare).

All samples were uniformly taken from seedlings (leaf tissue) at the age of twelve days. Used sequencing platform: Illumina HiSeq 2000.

All this makes the data well suited for a targeted analysis of drought stress responses.

#### 3.2 Quality evaluation

The quality of the raw and trimmed RNA-seq data was assessed using FastQC ("Babraham Bioinformatics," 2023). FastQC is a quality control analysis tool for high throughput sequencing data. It provides information about

- basic statistics: some simple composition statistics for the FASTQ file analyzed
- per base sequence quality: an overview of the range of quality values across all bases at each position in the FASTQ file

- per tile sequence quality: an overview of the per tile sequence quality in case an Illumina library was used
- per sequence quality scores: an overview of how the overall quality scores of the sequences are distributed
- per base sequence content: an overview of the proportion of each base position in a FASTQ file for which each of the four normal DNA bases has been called
- per sequence GC content: the GC content across the whole length of each sequence in a file compared with a normal distributed GC content
- per base N content: an overview of the N content at each position across all bases
- sequence length distribution: an overview of how the sequence lengths are distributed
- sequence duplication levels: an overview of the degree of duplication for every sequence in a library
- over-represented sequences: a list of over-represented sequences matched against common contaminants
- adapter content: a check for significant amounts of adapter sequences the FASTQ file

The results of the separate FastQC analyses (of the raw and trimmed FASTQ files), the results of the Trimmomatic trimming and the information about the kallisto pseudoalignments were summarized in an interactive MultiQC HTML-report. See (Ewels et al., 2016).

# 3.3 RNA-seq preprocessing

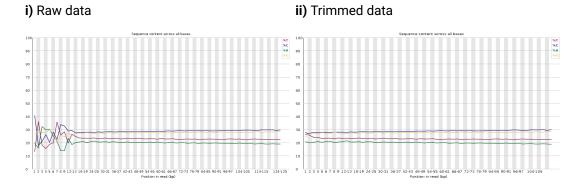
The initial quality assessment of the raw FASTQ files revealed that about roughly the first 12 base pairs of the reads were of low quality. Therefore, the data was preprocessed/trimmed using Trimmomatic, a "flexible and efficient preprocessing tool, which could correctly handle paired-end data" (Ewels et al., 2016).

That trimming substantially improved the per base sequence content and the per base sequence quality. Figures 1 and 2 show exemplary a comparison of the FastQC assessments of a raw FASTQ file vs the corresponding trimmed FASTQ file.

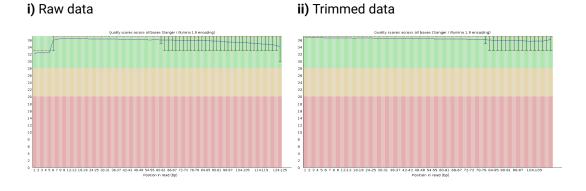
# 3.4 Transcripts abundances quantification

The mapping/pseudoalignment of the RNA-seq reads and the abundances quantification of the transcripts was done using kallisto. According to (Bray et al., 2016), kallisto offers the following advantages over other alignment and quantification software:

**Figure 1:** FastQC quality assessment of the per base sequence content of the raw FASTQ file CRR240976\_f1.fastq.gz vs the trimmed file CRR240976\_f1.trim.p.fastq.gz



**Figure 2:** FastQC quality assessment of the per base sequence quality of the raw FASTQ file CRR240976\_f1.fastq.gz vs the trimmed file CRR240976\_f1.trim.p.fastq.gz



kallisto is a program for quantifying abundances of transcripts from RNA-Seq data, or more generally of target sequences using high-throughput sequencing reads. It is based on the novel idea of pseudoalignment for rapidly determining the compatibility of reads with targets, without the need for alignment. On benchmarks with standard RNA-Seq data, kallisto can quantify 30 million human bulk RNA-seq reads in less than 3 minutes on a Mac desktop computer using only the read sequences and a transcriptome index that itself takes than 10 minutes to build. Pseudoalignment of reads preserves the key information needed for quantification, and kallisto is therefore not only fast, but also comparably accurate to other existing quantification tools. In fact, because the pseudoalignment procedure is robust to errors in the reads, in many benchmarks kallisto significantly outperforms existing tools.

kallisto requires a reference genome/transcriptome for aligning the RNA-seq data. To this end, reference FASTA cDNA dumps of Oryza nivara, cultivar BJ278 and Oryza sativa, cultivar Nipponbare were downloaded from Ensembl. The Ensembl project delivers reference data for genome interpretation for any species: genome assemblies from public archive are annotated with genes, regulatory regions, variants and comparative data to provide a foundation for scientific research and genome interpretation (Bolger et al., 2014).

# 3.5 Statistical evaluation and differential expression analysis

The preprocessed and aligned data was further evaluated and analyzed using an R-script (R Core Team, 2023) executed within RStudio (Posit team, 2023). The following sections describe the analysis steps in detail.

# 3.5.1 Import of the kallisto transcript-level estimates

The kallisto transcript-level estimates were imported using the R-package tximport (Love et al., 2022; Soneson et al., 2015). Thereby, the abundances, counts, and transcript lengths were summarized to the gene level.

Scaling method: average transcript length over samples and then the library size (parameter lengthScaledTPM).

The mapping of the transcript IDs (used within the kallisto abundance.tsv files) to the corresponding gene IDs was done using the BioMart database plants\_mart hosted at https://plants.ensembl.org. Datasets: nivara\_eg\_gene and osativa\_eg\_gene for O. nivara and O. sativa, respectively. See (Durinck & Huber, 2023; Durinck et al., 2009).

Figure 3 shows some basic transcripts per million (TPM) statistics about the imported kallisto files.

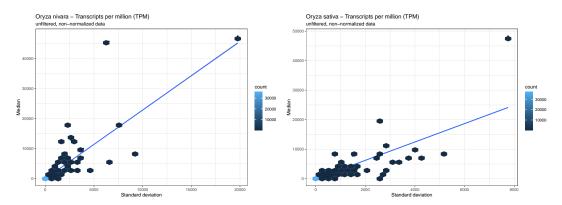
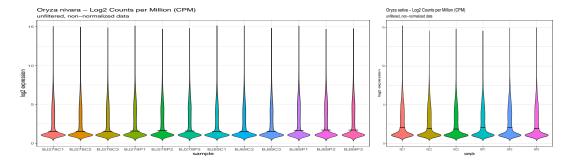


Figure 3: TPM statistics about the imported kallisto data

# 3.5.2 Filtering and normalization

For further analysis, DGEList-objects with counts per million (CPM) and log2(CPM) values were created using the R-package edgeR (Chen et al., 2023; Robinson et al., 2010). Figure 4 shows the distribution of the log2(CPM) values.

Figure 4: Log2(CPM) distribution of the unfiltered, non-normalized data



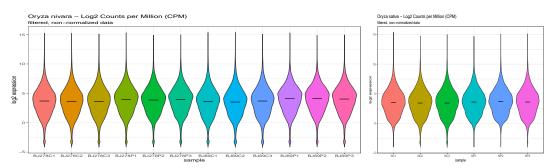
In order to assess reasonable values for filtering the data, the number of genes with no reads at all (in none of the samples) and conversely the number of genes with CPMs  $\geq 1$  in at least 1, 2, 3, ... of the samples were computed. Table 1 summarizes the results.

**Table 1:** Number of genes with no reads at all, and conversely number of genes with CPMs  $\geq 1$  in at least n = 1, 2, 3, ... of the samples

			Genes with CPMs $\geq 1$ in at least n samples					
<b>Species</b>	$\varSigma$ genes	$\varSigma$ no reads	1	2	3	4	5	6
O. nivara	36313	7115	21001	20355	19891	19302	18895	18481
O. sativa	37967	4699	23934	22510	21609	20592	19715	18656

Filtering out genes with low reads (< 1 CPM in at least half of the samples) resulted in the distribution of the log2(CPM) values shown in figure 5.

**Figure 5:** Log2(CPM) distribution of the filtered (< 1 CPM in at least half of the samples), non-normalized data



Finally, the filtered data was normalized using the edgeR function calcNormFactors which calculates scaling factors to convert raw library sizes into effective library sizes. Used normalization method: TMM. The results of the normalization are shown in figure 6.

Figure 6: Log2(CPM) distribution of the filtered, normalized data

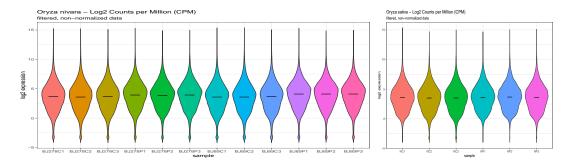


Figure 7 provides an overview of the filtering and normalization results.

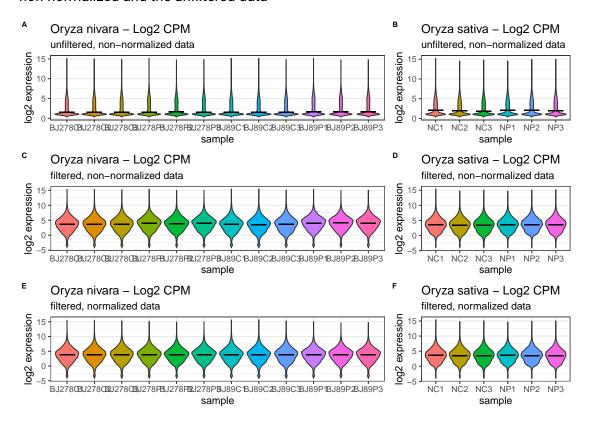
# 3.5.3 Hierarchical cluster analysis

A hierarchical cluster analysis (HCA) was performed via the stats function hclust on the Euclidean distance matrix of the log2(CPM) values. Used agglomeration method: log2(CPM) method = log2(CPM) complete.

# 3.5.4 Principal component analysis

A principal component analysis (PCA) of the filtered and normalized log2(CPM) values was performed via the stats function prcomp.

**Figure 7:** Log2(CPM) distribution of the filtered, normalized data in comparison with the non-normalized and the unfiltered data



# 3.5.5 Identification of differentially expressed genes

In order to identify differentially expressed genes (DEGs), design and contrast matrices were created using the stats function model.matrix and the limma function makeContrasts.

The design matrices were used to create linear model fits for each gene via the limma functions voom and lmFit.

The linear model fits and the contrast matrices were used to calculate estimated coefficients and standard errors (contrasts) via the limma function contrasts.fit.

The contrasts were used to "calculate moderated t-statistics, moderated F-statistic, and log-odds of differential expression by empirical Bayes moderation of the standard errors towards a global value" (empirical Bayes statistics) via the limma function eBayes (Smyth et al., 2023).

Finally, the empirical Bayes statistics were used to extract a table of the top-ranked genes via the limma function topTable (sorted by the LogFC-values).

Venn diagrams of the DEGs (according to the calculated empirical Bayes statistics) were created via the <code>gprofiler2</code> function <code>decideTests</code> (with parameters method = "global", adjust.method = "BH", p.value = 0.01, lfc = 7) and the limma function <code>vennDiagram</code>.

See (Ritchie et al., 2015) for details on the statistical foundations implemented by limma.

# 3.6 Functional enrichment analysis

A functional enrichment analysis of the 100 "top-ranked" genes was performed via the gprofiler2 function gost (with correction\_method = "fdr" (Kolberg & Raudvere, 2021).

# 4 Results

# 4.1 Quality evaluation

The RNA-seq data was initially assessed with FastQC, and according to this assessment the data was preprocessed/trimmed with Trimmomatic and afterwards assessed again with FastQC (see section 3.3). Finally, an overall report on the data preprocessing, the quality assessments and the kallisto pseudoalignments was created with MultiQC.

Figure 8 shows the MultiQC report on the Trimmomatic preprocessing (the surviving reads).

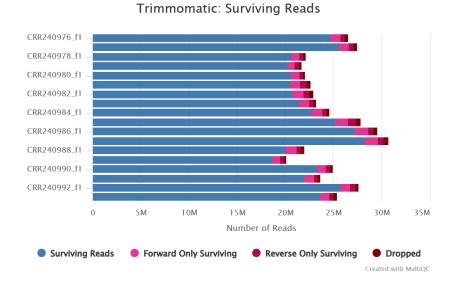


Figure 8: Trimmomatic preprocessing

Figure 9 shows the MultiQC overview of the FastQC quality assessments of the trimmed FASTQ files.

According to these quality assessments, the (trimmed) RNA-seq data may be regarded as good quality for the purpose of this research.

# 4.2 Reads mapped to the reference transcriptome

For most of the FASTQ files, kallisto pseudoaligned well above 80 % of the (preprocessed) RNA-seq reads. Figure 10 shows a MultiQC overview of the kallisto pseudoalignments.

# 4.3 Hierarchical cluster analysis

The hierarchical cluster analysis (see section 3.5.3) reveals that the normal condition groups and the drought stress condition groups are closely related (grouped together). But the data for O. nivara also shows that the cultivar has an even greater impact on the clustering then the drought stress condition (see figures 11 and 12).

# 4.4 Principal component analysis

The principal component analysis (PCA) (see section 3.5.4) reveals that for both O. nivara and O. sativa the first two principal components account for more than 80 % of the variance in the gene expression. Figures 13 and 14 show the contribution percentage of the samples to the first two principal components (PCs) for the two species.

Figure 9: FastQC quality assessment of the preprocessed FASTQ files

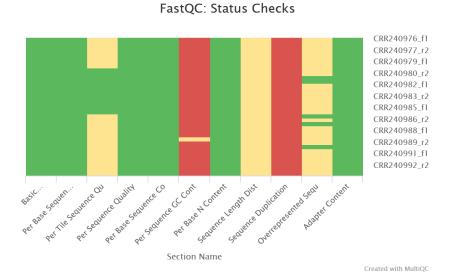


Figure 10: kallisto pseudoalignments

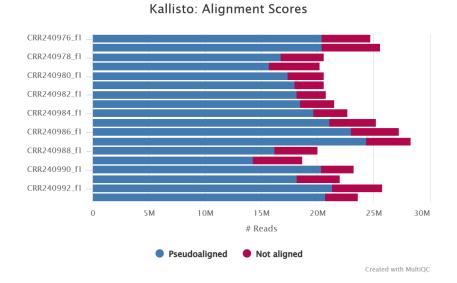


Figure 11: Hierarchical cluster analysis of the O. nivara RNA-seq data

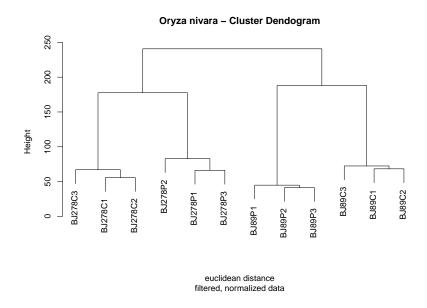
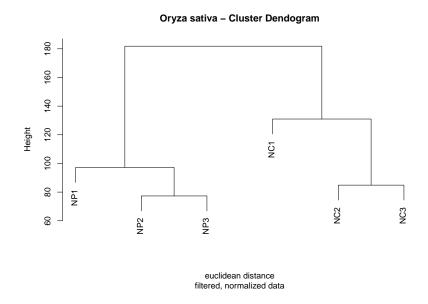


Figure 12: Hierarchical cluster analysis of the O. sativa RNA-seq data



For O. nivara, the samples from the same condition (normal vs drought stress) cluster together, with a tight clustering of the two different cultivars. This means that the different conditions might well explain the differences in gene expression, with the cultivar being an important confounding factor.

For O. sativa, the samples from the same condition cluster together with the exception of sample "NC1". This might be due to a batch effect.

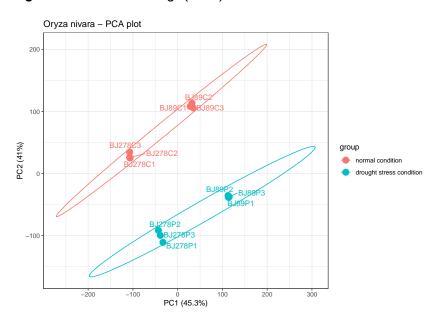


Figure 13: PCA of the log2(CPM) data - O. nivara

# 4.5 Differentially expressed genes

The volcano plots 15 and 16 provide a quick overview of the genes with large fold changes that are also statistically significant. These may be the biologically most significant genes.

According to the limma tests with a p-value of one percent, there are 15 down- vs 33 up-regulated genes for O. nivara under drought stress conditions, and 2 down- vs 16 up-regulated for O. sativa. Figure 17 shows the respective Venn diagrams. Figures 18 and 19 present heatmaps of these genes.

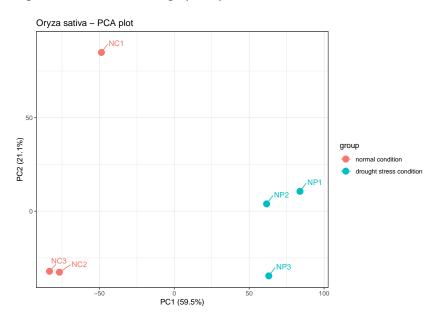


Figure 14: PCA of the log2(CPM) data - O. sativa

Figure 15: Volcano plot of the DEGs - O. nivara

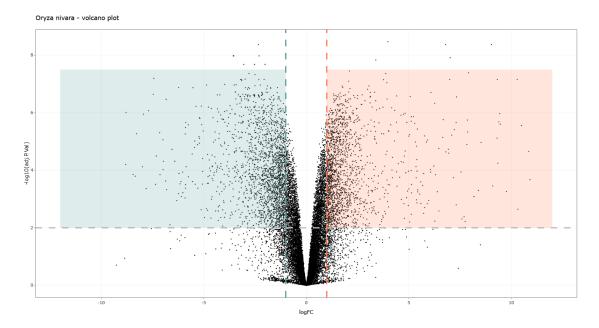


Figure 16: Volcano plot of the DEGs - O. sativa

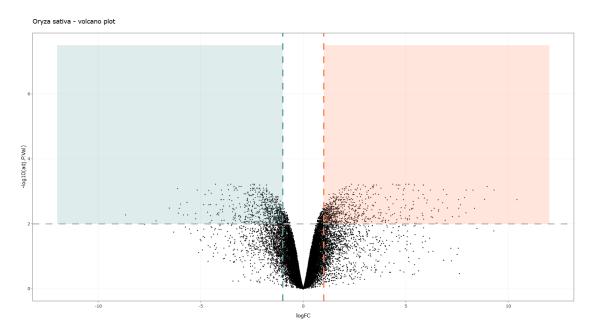
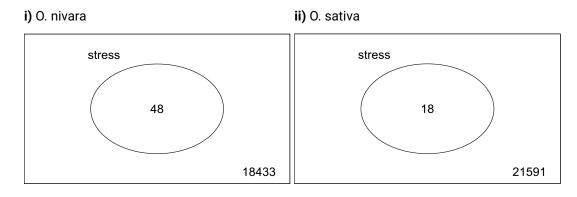


Figure 17: Venn diagrams of the DEGs



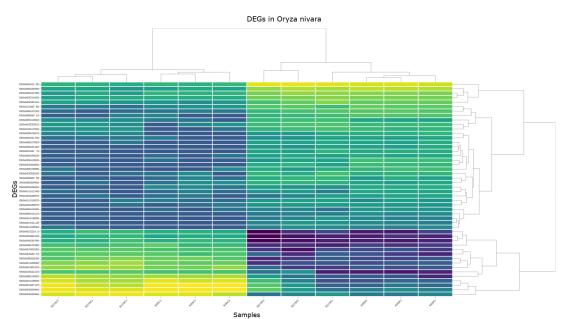
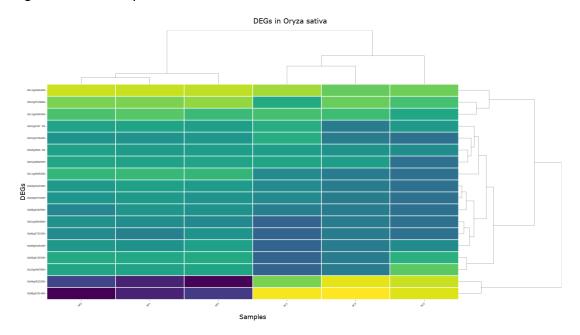


Figure 18: Heatmap of the DEGs - O. nivara

Figure 19: Heatmap of the DEGs - O. sativa



#### Down- and up-regulated genes are for O. nivara:

```
ONIVAO1GO7170, ONIVAO1GO7410, ONIVAO1G16640, ONIVAO1G18800, ONIVAO1G18920, ONIVAO1G18960, ONIVAO1G21950, ONIVAO1G41350, ONIVAO2G31230, ONIVAO3G02020, ONIVAO3G04860, ONIVAO3G05940, ONIVAO3G15430, ONIVAO3G20710, ONIVAO3G28970, ONIVAO3G33910, ONIVAO3G35960, ONIVAO4G24020, ONIVAO4G24790, ONIVAO5G0140, ONIVAO5G10110, ONIVAO5G12780, ONIVAO5G12790, ONIVAO5G12830, ONIVAO5G12840, ONIVAO5G25500, ONIVAO5G26060, ONIVAO5G28570, ONIVAO5G29080, ONIVAO5G29110, ONIVAO6G09460, ONIVAO6G16500, ONIVAO6G31440, ONIVAO7G02560, ONIVAO8G00310, ONIVAO8G02650, ONIVAO8G10120, ONIVAO8G17850, ONIVAO8G19930, ONIVAO9G00350, ONIVAO9G10510, ONIVAO9G15580, ONIVAO9G18980, ONIVAO0G17040, ONIVAO0G22110, ONIVAO1G18980, ONIVAO1G17040, ONIVAO0G22110, ONIVAO1G10870, ONIVAO1G12140, ONIVAO2G380
```

#### For O. sativa these genes are:

```
0s01g0197700,\ 0s01g0725400,\ 0s01g0842500,\ 0s01g0843800,\ 0s01g0910800,\ 0s03g0273200,\ 0s03g0423300,\\ 0s03g0654700,\ 0s04g0623200,\ 0s05g0135500,\ 0s06g0731000,\ 0s08g0231400,\ 0s08g0442900,\ 0s09g0425200,\\ 0s10g0467800,\ 0s11g0454200,\ 0s11g0454300,\ 0s11g0659200
```

A description and the location of all these genes can be found at <a href="https://plants.ensembl.org">https://plants.ensembl.org</a>. For a deeper analysis of these genes and their potential biological significance, the available information appears to be insufficient.

# 4.6 Functional enrichment analysis

Finally, for the one-hundred top-ranked genes a statistical functional enrichment analysis was performed to identify over- or under-represented information from gene ontology (GO) terms. Figures 20 and 21 present the results as Manhattan plots.

For both O. nivara and O. sativa, the top ten GO terms belong to the major subontology "biological process (BP)". It appears that the impact of drought stress may - on the gene level - be best described and categorized in terms of biological processes as opposed to the molecular functions (MF) of the genes and the cellular components (CC) in which the gene products are physically located.

Furthermore, for both rice species the following GO terms are significantly enriched (when just comparing the top ten GO terms): response to organic substance, response to water, response to acid chemical, response to salt, response to chemical, lignin catabolic process, phenylpropanoid catabolic process. This confirms the close relationship of the two species, and it also confirms that the GO terms are suitable to describe genetic responses to environmental factors.

# 5 Discussion and conclusion

#### TODO:

Critical evaluation of the results: Discuss the quality and reliability of the RNA-seq data and the downstream analyses.

10.0 7.5 -log10(p-adj) CO.KR id source term id term\_size p\_value term name GO:BP GO:0010033 response to organic substance 699 1.4e-05 GO:BP GO:1901700 response to oxygen-containing compound 2 419 1.7e-05 GO:BP GO:0009415 response to water 84 3.5e-05 GO:BP GO:0001101 response to acid chemical 87 3.5e-05 100 GO:BP GO:1902074 response to salt 5.4e-05 GO:BP GO:0042221 response to chemical 1038 5.4e-05 GO:BP GO:0010035 198 2.3e-03 response to inorganic substance GO:BP GO:0046274 lignin catabolic process 24 GO:BP GO:0046271 phenylpropanoid catabolic process 24 2.4e-03 GO:0009628 response to abiotic stimulus

Figure 20: Manhattan plot with the first 10 top-ranked GO terms highlighted - O. nivara

Figure 21: Manhattan plot with the first 10 top-ranked GO terms highlighted - O. sativa

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		1 2 3 4 5 6 7 8	GO:BP GO:BP GO:BP GO:BP GO:BP GO:BP	GO:0042221 GO:0009250 GO:0001101 GO:0009832 GO:0009834 GO:0030244 GO:0009414	response to chemical glucan biosynthetic process response to acid chemical plant-type cell wall biogenesis plant-type secondary cell wall biogenesis cellulose biosynthetic process response to water deprivation	1487 121 123 109 58 51 116		1.7e-03 1.7e-03 1.7e-03 1.7e-03 1.7e-03 1.7e-03 1.7e-03	

Biological implications: Discuss the potential implications of the findings for plant biology and the broader scientific community.

Limitations and future directions: Address the limitations of the current analysis and suggest possible future directions to expand on the findings.

Conclusion: Summarize the main findings of the assignment, reiterating the significance of the results, and provide a final statement on the overall outcome of the study.

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