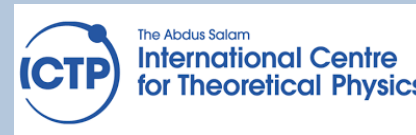


# ASESMA-2023

## Hands-on session - Phonons

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# Outline

1. Introduction
2. Exercise 1: Phonons at Gamma in non-polar materials
3. Exercise 2: Phonon at Gamma in polar materials
4. Exercise 3: Phonons dispersion in non-polar materials
5. Exercise 4: Phonon dispersion in polar materials

# Introduction: Phonons

Normal mode frequencies,  $\omega$ , and eigenvectors,  $\mathbf{u}_{s\alpha}$  are determined by the secular equation:

$$\sum_{s',\beta} \tilde{D}_{s\alpha,s'\beta}(\mathbf{q}) \tilde{\mathbf{u}}_{s'\beta}(\mathbf{q}) = \omega_{\mathbf{q}}^2 \tilde{\mathbf{u}}_{s\alpha}(\mathbf{q})$$

where

$$\tilde{D}_{s\alpha,s'\beta}(\mathbf{q}) = \frac{1}{\sqrt{M_s M_{s'}}} \sum_{\mathbf{R}, \mathbf{R}'} \left[ \frac{\partial^2 E_{tot}}{\partial \mathbf{u}_{s\alpha}(\mathbf{R}) \partial \mathbf{u}_{s'\beta}(\mathbf{R}')} \right] e^{i\mathbf{q}(\mathbf{R}' - \mathbf{R})}$$

Interatomic force constants (IFC)

is the **dynamical matrix**.

This Matrix can be calculated from linear response and diagonalized to get phonon modes at  $\mathbf{q}$ .

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

Go to the directory with the input files:

```
cd ~/ASESMA-2023/Day-5/Exercise1
```

In this directory you will find:

- *README.md* – File describing how to do the exercise
- *Si.scf.in* – Input file for the SCF ground-state calculation
- *Si.ph.in* – Input file for the phonon calculation at  $\Gamma$
- *Si.dynmat.in* – Input file to impose the acoustic sum rule
- **reference** – Directory with the reference results

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

```
&control
  calculation = 'scf',
  restart_mode = 'from_scratch',
  prefix      = 'si',
  pseudo_dir = '../pseudo/',
  outdir      = '../tmp/',
/
&system
 ibrav=2,
celldm(1)=10.2625,
nat=2,
ntyp=1,
ecutwfc=60.0,
ecutrho=720.0,
/
&electrons
  mixing_beta=0.7,
  conv_thr=1d-10,
/
ATOMIC_SPECIES
Si 28.0855 Si.pbe-rrkj.UPF

ATOMIC_POSITIONS (alat)
Si 0.00 0.00 0.00
Si 0.25 0.25 0.25
K_POINTS automatic
4 4 4 1 1 1
```

**Step 1.** Perform a Self-Consistent Field ground-state calculation for silicon at the equilibrium structure using the `pw.x` program.

`Si.scf.in`

Input file for the SCF calculations

`mpirun -np 4 pw.x -inp Si.scf.in > Si.scf.out`

↑  
**Input**

↑  
**Output**

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

**Step 2.** Perform a phonon calculation at  $\Gamma$  using the `ph.x` program.

`Si.ph.in`  $\longrightarrow$  Input file for the phonon calculations

Phonons at Gamma

`&inputph`

`prefix = 'Si',`

`tr2_ph = 1.0d-14,`

`amass(1) = 28.0855,`

`epsil = .true.`

`outdir = './tmp'`

`fildyn = 'Si.dyn',`

`/`

`0.0 0.0 0.0`

$\longrightarrow$  The same prefix as in the SCF calculation

$\longrightarrow$  Threshold for self-consistency

$\longrightarrow$  Atomic mass

$\longrightarrow$  To calculate the dielectric tensor and effective charges

$\longrightarrow$  Directory for temporary files

$\longrightarrow$  File containing the dynamical matrix

$\longrightarrow$  Coordinates of the q point ( $\Gamma$ ) in units of  $2\pi/a$  in the Cartesian reference system

`mpirun -np 4 ph.x -inp Si.ph.in > Si.ph.out`

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

Dynamical matrix file **Si.dyn** :

```
Dielectric Tensor:
      13.806378036722      0.000000000000      -0.000000000000
      -0.000000000000      13.806378036722      0.000000000000
      -0.000000000000      0.000000000000      13.806378036722

Effective Charges E-U: Z_{alpha}[s,beta]
atom # 1
      -0.075668487215      0.000000000000      0.000000000000
      0.000000000000      -0.075668487215      0.000000000000
      0.000000000000      0.000000000000      -0.075668487215
atom # 2
      -0.075668487215      0.000000000000      0.000000000000
      0.000000000000      -0.075668487215      -0.000000000000
      0.000000000000      -0.000000000000      -0.075668487215

Diagonalizing the dynamical matrix
q = (      0.000000000      0.000000000      0.000000000 )
```

Dielectric constant and Born effective charges (BECs)

```
*****
freq ( 1) =      0.078830 [THz] =      2.629478 [cm-1]
( 0.429342 -0.000000 -0.450832 0.000000 -0.335285 0.000000 )
( 0.429342 -0.000000 -0.450832 0.000000 -0.335285 0.000000 )
freq ( 2) =      0.078830 [THz] =      2.629478 [cm-1]
( 0.558725 0.000000 0.386983 0.000000 0.195117 0.000000 )
( 0.558725 0.000000 0.386983 0.000000 0.195117 0.000000 )
freq ( 3) =      0.078830 [THz] =      2.629478 [cm-1]
( 0.059092 0.000000 -0.383399 0.000000 0.591197 0.000000 )
( 0.059092 0.000000 -0.383399 0.000000 0.591197 0.000000 )
freq ( 4) =     15.292493 [THz] =     510.102675 [cm-1]
( -0.129158 0.000000 0.093954 0.000000 0.688833 -0.000000 )
( 0.129158 0.000000 -0.093954 0.000000 -0.688833 0.000000 )
freq ( 5) =     15.292493 [THz] =     510.102675 [cm-1]
( -0.295782 0.000000 0.626619 0.000000 -0.140928 0.000000 )
( 0.295782 0.000000 -0.626619 0.000000 0.140928 0.000000 )
freq ( 6) =     15.292493 [THz] =     510.102675 [cm-1]
( -0.629151 0.000000 -0.313880 0.000000 -0.075155 0.000000 )
( 0.629151 -0.000000 0.313880 0.000000 0.075155 0.000000 )
*****
```

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

Dynamical matrix file **Si.dyn** :

Diagonalizing the dynamical matrix

$q = ( \quad 0.000000000 \quad 0.000000000 \quad 0.000000000 )$

freq ( 1 ) = 0.078830 [THz] = 2.629478 [cm-1]  
( 0.429342 -0.000000 -0.450832 0.000000 -0.335285 0.000000 )  
( 0.429342 -0.000000 -0.450832 0.000000 -0.335285 0.000000 )  
freq ( 2 ) = 0.078830 [THz] = 2.629478 [cm-1]  
( 0.558725 0.000000 0.386983 0.000000 0.195117 0.000000 )  
( 0.558725 0.000000 0.386983 0.000000 0.195117 0.000000 )  
freq ( 3 ) = 0.078830 [THz] = 2.629478 [cm-1]  
( 0.059092 0.000000 -0.383399 0.000000 0.591197 0.000000 )  
( 0.059092 0.000000 -0.383399 0.000000 0.591197 0.000000 )

freq ( 4 ) = 15.292493 [THz] = 510.102675 [cm-1]  
( -0.129158 0.000000 0.093954 0.000000 0.688833 -0.000000 )  
( 0.129158 0.000000 -0.093954 0.000000 -0.688833 0.000000 )  
freq ( 5 ) = 15.292493 [THz] = 510.102675 [cm-1]  
( -0.295782 0.000000 0.626619 0.000000 -0.140928 0.000000 )  
( 0.295782 0.000000 -0.626619 0.000000 0.140928 0.000000 )  
freq ( 6 ) = 15.292493 [THz] = 510.102675 [cm-1]  
( -0.629151 0.000000 -0.313880 0.000000 -0.075155 0.000000 )  
( 0.629151 -0.000000 0.313880 -0.000000 0.075155 0.000000 )

Acoustic  
modes



Optical  
modes





# Exercise 1: Phonons at $\Gamma$ in non-polar materials

## Acoustic sum rule at $\Gamma$

Problems with the frequency of the acoustic phonon mode at  $\Gamma$  and with effective charges.

Because of the numerical inaccuracies the interatomic force constants and effective charges do not strictly satisfy the acoustic sum rule (ASR).

ASR comes directly from the continuous translational invariance of the crystal. If we translate the whole solid by a uniform displacement, the forces acting on the atoms must be zero.

As a consequence

For each  $\alpha, \beta$  and  $i$ :

$$\sum_{\mathbf{L}, j} C_{\alpha i, \beta j}(\mathbf{R}_{\mathbf{L}}) = 0 \qquad \sum_j Z_{j, \alpha \beta}^* = 0$$

As a consequence, the frequencies of the acoustic modes must be zero.

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

## Acoustic sum rule at $\Gamma$

Reasons for numerical inaccuracies:

- Insufficiently accurate SCF thresholds (in pw.x and/or ph.x)
- XC energy is computed in real space. More problematic for GGA than in LDA, For US pseudopotentials it could require large *ecutrho*.
- K-points sampling not accurate enough (especially, BECs and dielectric constant required denser k-point sampling).

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

## Acoustic sum rule at $\Gamma$

The acoustic sum rule (ASR) can however be imposed after the phonon calculations.

To do this we use the [dynmat.x](#) program that imposes the ASR on the elements of the dynamical matrix and diagonalize it.

The input file is [Si.dynmat.in](#) :

```
&input
```

```
fildyn = 'Si.dyn',
```

```
asr = 'simple',
```

```
/
```



File containing the dynamical matrix



A way to impose the acoustic sum rule  
(simple, crystal, one-dim, zero-dim)

```
mpirun -np 4 dynmat.x -inp Si.dynmat.in > Si.dynmat.out
```

# Exercise 1: Phonons at $\Gamma$ in non-polar materials

The program `dynmat.x` produces the file `dynmat.out` which contains the new acoustic frequencies, which are exactly **equal to zero**.

```
q = 0.0000 0.0000 0.0000
*****
freq ( 1) = 0.000000 [THz] = 0.000000 [cm-1]
( 0.000000 0.000000 0.707107 0.000000 0.000000 0.000000 )
( 0.000000 0.000000 0.707107 0.000000 0.000000 0.000000 )
freq ( 2) = 0.000000 [THz] = 0.000000 [cm-1]
( -0.707107 0.000000 0.000000 0.000000 0.000000 0.000000 )
( -0.707107 0.000000 0.000000 0.000000 0.000000 0.000000 )
freq ( 3) = 0.000000 [THz] = 0.000000 [cm-1]
( 0.000000 0.000000 0.000000 0.000000 0.707107 0.000000 )
( 0.000000 0.000000 0.000000 0.000000 0.707107 0.000000 )
freq ( 4) = 15.292290 [THz] = 510.095902 [cm-1]
( 0.000000 0.000000 0.707107 0.000000 0.000000 0.000000 )
( 0.000000 0.000000 -0.707107 0.000000 0.000000 0.000000 )
freq ( 5) = 15.292290 [THz] = 510.095902 [cm-1]
( 0.000000 0.000000 0.000000 0.000000 -0.707107 0.000000 )
( 0.000000 0.000000 0.000000 0.000000 0.707107 0.000000 )
freq ( 6) = 15.292290 [THz] = 510.095902 [cm-1]
( -0.707107 0.000000 0.000000 0.000000 0.000000 0.000000 )
( 0.707107 0.000000 0.000000 0.000000 0.000000 0.000000 )
*****
```

## Exercise 2: Phonons at $\Gamma$ in polar materials

**Polar materials in the  $\mathbf{q} = \mathbf{0}$  limit:** a macroscopic electric field appears as a consequence of the long-range character of the Coulomb interaction (incompatible with Periodic Boundary Conditions).

A **non-analytic term** must be added to Interatomic Force Constants at  $\mathbf{q} = \mathbf{0}$ :

$$\tilde{C}_{s\alpha,s'\beta}(\mathbf{q}) = \tilde{C}_{s\alpha,s'\beta}^{\text{analytic}}(\mathbf{q}) + \frac{4\pi}{\Omega} \frac{(\mathbf{q} \cdot \mathbf{Z}_s^*)_{\alpha} (\mathbf{q} \cdot \mathbf{Z}_{s'}^*)_{\beta}}{\mathbf{q} \cdot \epsilon_{\infty} \cdot \mathbf{q}}$$

**Effective charges  $\mathbf{Z}_s^*$**  are related to polarization  $\mathbf{P}$  induced by a lattice distortion:

$$Z_{s,\alpha\beta}^* = \Omega \frac{\partial P_{\alpha}}{\partial u_{s\beta}}$$

**Dielectric tensor  $\epsilon_{\infty}^{\alpha\beta}$**  is related to polarization  $\mathbf{P}$  induced by an electric field  $\mathbf{E}$  :

$$\epsilon_{\infty}^{\alpha\beta} = \delta_{\alpha\beta} + 4\pi \left. \frac{\partial P_{\alpha}}{\partial E_{\beta}} \right|_{u_s(q=0)=0}$$

All of the above can be calculated from (mixed) second order derivatives of the total energy.

## Exercise 2: Phonons at $\Gamma$ in polar materials

Go to the directory with the input files:

```
cd ~/ASESMA-2023/Day-5/Exercise2
```

In this directory you will find:

- *README.md* – File describing how to do the exercise
- *AlAs.scf.in* – Input file for the SCF ground-state calculation
- *AlAs.ph.in* – Input file for the phonon calculation at  $\Gamma$
- *AlAs.dynmat.in* – Input file to impose the acoustic sum rule
- **reference** – Directory with the reference results

# Exercise 2: Phonons at $\Gamma$ in polar materials

AlAs.ph.in

Phonons at Gamma

&inputph

```
prefix = 'AlAs',  
tr2_ph = 1.0d-14,  
Amass(1) = 26.98,  
Amass(2) = 74.92,  
epsil = .true.  
outdir = './tmp'  
fildyn = 'AlAs.dyn',  
/  
0.0 0.0 0.0
```

**Step 1.** Perform a Self-Consistent Field ground-state calculation for the polar semiconductor AlAs.

```
mpirun -np 4 pw.x -inp AlAs.scf.in > AlAs.scf.out
```

**Step 2.** Perform a phonon calculation at Gamma for AlAs.

```
mpirun -np 4 ph.x -inp AlAs.ph.in > AlAs.ph.out
```

# Exercise 2: Phonons at $\Gamma$ in polar materials

In the file ph.AIAs.out you will find information about the dielectric tensor and effective charges:

Dielectric constant in cartesian axis

```
( 13.743997537  0.000000000  0.000000000 )
(  0.000000000 13.743997537  0.000000000 )
(  0.000000000  0.000000000 13.743997537 )
```

Effective charges (d Force / dE) in cartesian axis

```
atom   1  Al Mean Z*:  1.88275
Ex (   1.88275  -0.00000  0.00000 )
Ey (   0.00000   1.88275  0.00000 )
Ez (  -0.00000  -0.00000   1.88275 )
atom   2  As Mean Z*: -3.23400
Ex (  -3.23400   0.00000  -0.00000 )
Ey (   0.00000  -3.23400  -0.00000 )
Ez (  -0.00000   0.00000  -3.23400 )
```

Diagonalizing the dynamical matrix

```
q = (  0.000000000  0.000000000  0.000000000 )
*****
freq ( 1) =  0.151928 [THz] =  5.067777 [cm-1]
freq ( 2) =  0.151928 [THz] =  5.067777 [cm-1]
freq ( 3) =  0.151928 [THz] =  5.067777 [cm-1]
freq ( 4) = 11.258171 [THz] = 375.532153 [cm-1]
freq ( 5) = 11.258171 [THz] = 375.532153 [cm-1]
freq ( 6) = 11.258171 [THz] = 375.532153 [cm-1]
*****
```


No LO-TO splitting



## Exercise 2: Phonons at $\Gamma$ in polar materials

**Step 3.** Impose Acoustic Sum Rule and add the non-analytic LO-TO splitting using the `dynmat.x` program.

```
&input
  fildyn = 'AlAs.dyn',
  amass(1) = 26.98,
  amass(2) = 74.92,
  asr = 'simple',
  q(1) = 1.0, q(2) = 0.0, q(3) = 0.0
/
```



Direction in the Brillouin zone along which we want to compute the LO-TO splitting

check the output file

# mode	[cm-1]	[THz]	IR
1	-0.00	-0.0000	0.0000
2	-0.00	-0.0000	0.0000
3	-0.00	-0.0000	0.0000
4	375.51	11.2574	7.6126
5	375.51	11.2574	7.6126
6	410.55	12.3080	7.6126

LO-TO splitting

`mpirun -np 4 dynmat.x < AlAs.dynmat.in > AlAs.dynmat.out`

# Exercise 3: Phonons dispersion in non-polar materials

Go to the directory with the input files:

```
cd ~/ASESMA-2023/Day-5/Exercise3
```

In this directory you will find:

- *README.md* – File describing how to do the exercise
- *Si.scf.in* – Input file for the SCF ground-state calculation
- *Si.ph.in* – Input file for the phonon calculation at  $\Gamma$
- *Si.q2r.in* – Input file for calculation of Interatomic Force Constants
- *Si.matdyn.in* – Input file for Fourier Interpolation for various q points
- *Si.plotband.in* – Input file for plotting a phonon dispersion
- **reference** – Directory with the reference results

# Exercise 3: Phonons dispersion in non-polar materials

**Step 1.** Perform a SCF calculation for silicon at the equilibrium structure using the `pw.x` program.

`mpirun -np 4 pw.x -inp Si.scf.in > Si.scf.out`

Phonons on uniform q-grid

`&inputph`

```
prefix = 'Si',  
tr2_ph = 1.0d-14,  
amass(1) = 28.0855,  
ldisp = .true.,  
nq1 = 4,  
nq2 = 4,  
nq3 = 4,  
outdir = './tmp'  
fildyn = 'Si.dyn',  
/
```

**Step 2.** Perform a phonon calculation on a uniform grid of q points using the `ph.x` program.

`mpirun -np 4 ph.x -inp Si.ph.in > Si.ph.out`

Option for the calculation on a grid  
Uniform grid of q points

$$\mathbf{q}_{ijk} = \frac{i-1}{nq1} \mathbf{G}_1 + \frac{j-1}{nq2} \mathbf{G}_2 + \frac{k-1}{nq3} \mathbf{G}_3$$

# Exercise 3: Phonons dispersion in non-polar materials

$4 \times 4 \times 4 = 64$  q-points  $\Rightarrow$  Use of symmetry  $\Rightarrow$  8 non-equivalent q points

The file Si.dyn0 contains a list of the non-equivalent q points (8, in this case).

```
      4    4    4    (q-grid)
      8              (number of non-equivalent q-points)
q1 =  0.0000000000000000E+00  0.0000000000000000E+00  0.0000000000000000E+00
q2 = -0.2500000000000000E+00  0.2500000000000000E+00 -0.2500000000000000E+00
q3 =  0.5000000000000000E+00 -0.5000000000000000E+00  0.5000000000000000E+00
q4 =  0.0000000000000000E+00  0.5000000000000000E+00  0.0000000000000000E+00
q5 =  0.7500000000000000E+00 -0.2500000000000000E+00  0.7500000000000000E+00
q6 =  0.5000000000000000E+00  0.0000000000000000E+00  0.5000000000000000E+00
q7 =  0.0000000000000000E+00 -0.1000000000000000E+01  0.0000000000000000E+00
q8 = -0.5000000000000000E+00 -0.1000000000000000E+01  0.0000000000000000E+00
```

The phonon code [ph.x](#) generates a file for every non-equivalent q point (Si.dyn1, Si.dyn2, ..., Si.dyn8), which contain information about dynamical matrices, phonon frequencies and atomic displacements

# Exercise 3: Phonons dispersion in non-polar materials

**Step 3.** Calculation of the **Interatomic Force Constants (IFC)** using the **q2r.x** program.

Fourier transforms of IFC's :

$$\tilde{C}_{s\alpha,s'\beta}(\mathbf{q}_{ijk}) = \frac{\partial^2 E_{tot}}{\partial \tilde{\mathbf{u}}_{s\alpha}^*(\mathbf{q}_{ijk}) \partial \tilde{\mathbf{u}}_{s'\beta}(\mathbf{q}_{ijk})}$$

$\alpha, \beta$  are Cartesian components, and  $s, s'$  are atomic indices.

$$\tilde{C}_{s\alpha,s'\beta}(\mathbf{q}_{ijk}) \xrightarrow{C_{s\alpha,s'\beta}(\mathbf{R}_{lmn}) = \frac{1}{N_q} \sum_{i,j,k} \tilde{C}_{s\alpha,s'\beta}(\mathbf{q}_{ijk}) e^{i\mathbf{q}_{ijk} \cdot \mathbf{R}_{lmn}}} C_{s\alpha,s'\beta}(\mathbf{R}_{lmn})$$

Fourier transforms of IFC's on a grid  
of  $q$  points  $nq1 \times nq2 \times nq3$   
in reciprocal space

IFC's in a supercell  $nq1 \times nq2 \times nq3$   
in real space

# Exercise 3: Phonons dispersion in non-polar materials

Input file `Si.q2r.in` :

```
&input
```

```
fildyn = 'Si.dyn',
```

→ Dynamical matrices from the phonon calculation

```
zasr = 'simple',
```

→ A way to impose the acoustic sum rule

```
flfrc = 'Si444.fc',
```

→ Output file of the interatomic force constants

```
/
```

The denser the grid of q points, the larger the vectors  $\mathbf{R}$  for which the Interatomic Force Constants are calculated.

To perform the calculation:

```
mpirun -np 4 q2r.x -inp Si.q2r.in > Si.q2r.out
```

# Exercise 3: Phonons dispersion in non-polar materials

**Step 4.** Calculate phonons at generic  $\mathbf{q}'$  points using IFC by means of the code `matdyn.x`

$$C_{s\alpha,s'\beta}(\mathbf{R}_{lmn}) \xrightarrow{\text{Fourier interpolation}} \tilde{C}_{s\alpha,s'\beta}(\mathbf{q}') = \sum_{l,m,n} C_{s\alpha,s'\beta}(\mathbf{R}_{lmn}) e^{-i\mathbf{q}' \cdot \mathbf{R}_{lmn}}$$

IFC's on a grid in real space Fourier transforms of IFC's at generic  $\mathbf{q}'$  points in reciprocal space

Input file `Si.matdyn.in` :

`mpirun -np 4 matdyn.x -i Si.matdyn.in > Si.matdyn.out`

```
&input
  asr = 'simple',
  amass(1) = 28.0855,
  flfrc = 'Si444.fc',
  flfrq = 'Si.freq'
/
396
0.000000 0.000000 0.000000 0.000000
0.012658 0.000000 0.000000 0.012658
```

- Acoustic sum rule
- Atomic mass
- File with IFC's
- Output file with the frequencies
- Number of q points
- Coordinates of q points

# Exercise 3: Phonons dispersion in non-polar materials

**Step 5.** Plot the phonon dispersion using the `plotband.x` program and `gnuplot`.

Input file `Si.plotband.in` :

<code>Si.freq</code>	→	Input file with the frequencies at various q' points
<code>0 600</code>	→	Range of frequencies for a visualization
<code>freq.plot</code>	→	Output file with frequencies which will be used for plot
<code>freq.ps</code>	→	Plot of the dispersion (we will produce another one)
<code>0.0</code>	→	Fermi level (needed only for band structure plot)
<code>100.0 0.0</code>	→	Freq. step and reference freq. on the plot <code>freq.ps</code>

`plotband.x < Si.plotband.in > Si.plotband.out`

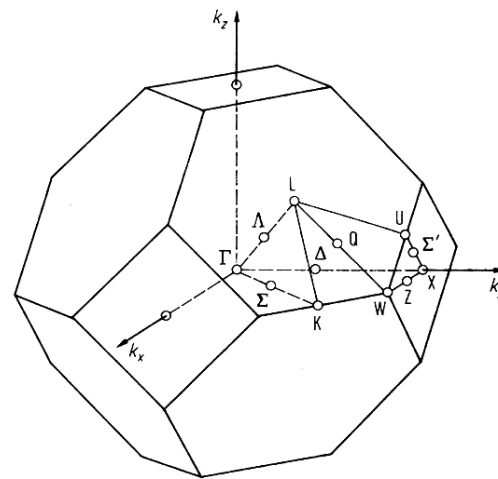
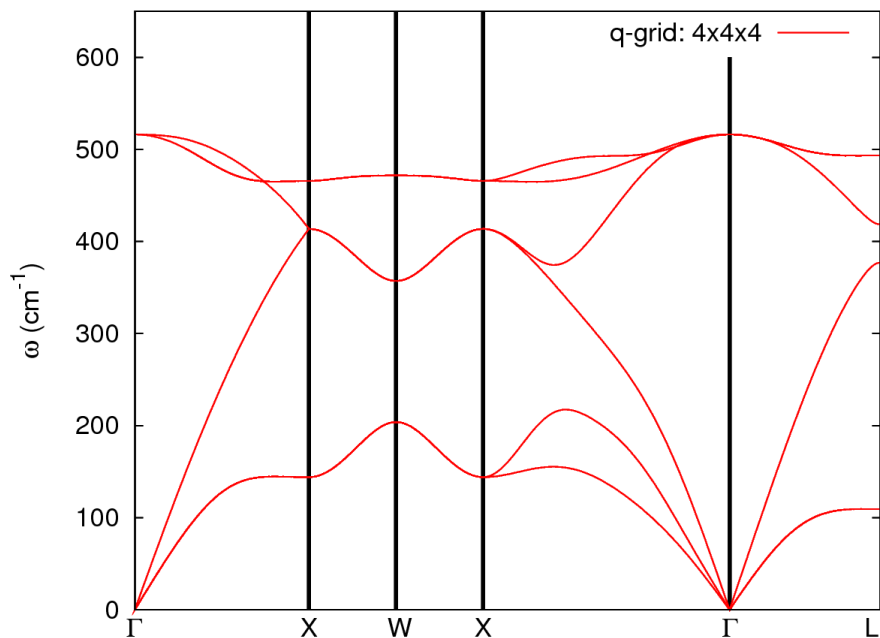
Use `gnuplot` and the file `plot_dispersion.gp` in order to plot the phonon dispersion of silicon (look at the file `experimental_data.dat` for the experimental reference).

You will get a postscript file `phonon_dispersion.eps` which you can visualize.



## Exercise 3: Phonons dispersion in non-polar materials

Phonon dispersion of silicon along some high-symmetry directions in the Brillouin zone (file phonon\_dispersion.eps):



# Exercise 3: Phonons dispersion in non-polar materials

How to determine whether the quality of the Fourier interpolation is satisfactory?  
Compare with the direct calculation (no interpolation)!

**Homework-1:** Perform a direct phonon calculation (no interpolation) at several  $q'$  points and make a comparison with the phonon frequencies obtained from the Interpolation. Use exercise1 as an example. Some  $q'$  points along the Gamma-X high symmetry line are listed in the file `reference/q_points_direct_calc.txt`

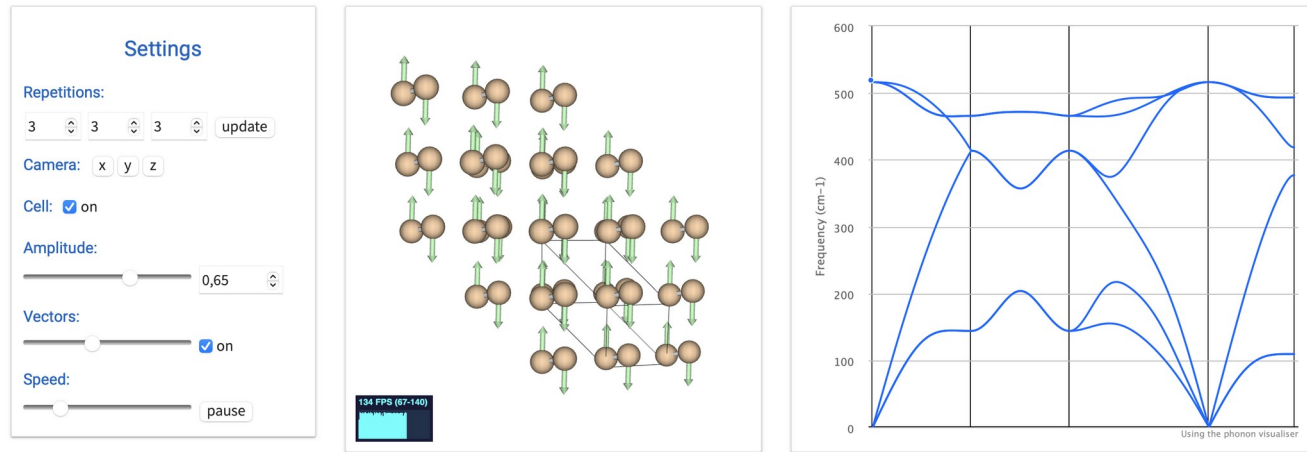
**Homework-2:** Perform a phonon dispersion calculation for several  $q$ -points grids (eg.  $2 \times 2 \times 2$ ,  $4 \times 4 \times 4$ , and  $6 \times 6 \times 6$ ) and compare the dispersions. Do they converge?

# Phonon modes visualizer

The phonon modes are not always easy to visualize, especially if we are not at  $\Gamma$ . An online phonon visualizer is very helpful in this regard.

<https://www.materialscloud.org/work/tools/interactivephonon>

Phonon dispersion: pw.Si.in & matdyn.modes



## Exercise 4: Phonons dispersion in polar materials

Go to the directory with the input files:

```
cd ~/ASESMA-2023/Day-5/Exercise4
```

In this directory you will find:

- *README.md* – File describing how to do the exercise
- *AlAs.scf.in* – Input file for the SCF ground-state calculation
- *AlAs.ph.in* – Input file for the phonon calculation at  $\Gamma$
- *AlAs.q2r.in* – Input file for calculation of Interatomic Force Constants
- *AlAs.matdyn.in* – Input file for Fourier Interpolation for various q points
- *AlAs.plotband.in* – Input file for plotting a phonon dispersion
- **reference** – Directory with the reference results

# Exercise 4: Phonons dispersion in polar materials

**Step 1.** Perform a SCF calculation for silicon at the equilibrium structure using the `pw.x` program.

```
mpirun -np 4 pw.x -inp AlAs.scf.in > AlAs.scf.out
```

**Step 2.** Perform a phonon calculation on a uniform grid of q points using the `ph.x` program.

```
mpirun -np 4 ph.x -inp AlAs.ph.in > AlAs.ph.out
```

**Step 3.** Calculation of the **Interatomic Force Constants (IFC)** using the `q2r.x` program.

```
mpirun -np 4 q2r.x -inp AlAs.q2r.in > AlAs.q2r.out
```

**Step 4.** Calculate phonons at generic q' points using IFC by means of the code `matdyn.x`

```
mpirun -np 4 matdyn.x -i AlAs.matdyn.in > AlAs.matdyn.out
```