

# 1 Introduction

Cayley was first to prove that there are  $n^{n-2}$  labeled, rooted trees on  $n$  vertices [1]. A later proof due to Prüfer uses a correspondence between particular sequences called *Prüfer sequences* and labeled trees [2].

In Section 2 we will characterize the subset of Prüfer sequences that correspond to a family of trees called *increasing trees*.

## 2 Prüfer Sequences

### 2.1 Notation

For succinctness we will use  $\mathbb{N}_n := \{1, 2, 3, \dots, n\}$  and by *tree* we mean labelled, rooted, non embedded tree throughout this section. If  $A$  and  $B$  are sequences we say that  $A \setminus B$  consists of all the distinct entries of  $A$  that are not in  $B$ . Given some graph  $G$  we denote  $V(G)$  to be set of vertices of  $G$  and we say that  $E(G)$  is the set of edges of  $G$ . If  $v, w \in V(G)$  and there is a edge,  $e \in E(G)$ , joining  $v$  and  $w$  then we write  $e = (v, w)$  and say that  $v$  is connected via an edge to  $w$ . Given some  $v \in V(G)$  the degree of  $v$  is denoted  $\deg(v)$  and we say that vertices  $v$  such that  $\deg(v) = 1$  are *leaves*. A tree is an *increasing tree* if every vertex other than the root is adjacent to precisely one other vertex with a lower label. Note that we will write a vertex  $i$  interchangeably with a vertex labelled  $i$  throughout.

### 2.2 The Prüfer Correspondence

Any sequence  $P_n = (a[1], a[2], \dots, [n-2])$  such that every  $a[i] \in \mathbb{N}_n$  is a Prüfer sequence. For example the following sequence is a valid Prüfer sequence:

$$P_{10} = (4, 3, 2, 8, 1, 1, 2, 4).$$

We will use the notation  $P_n[i : j]$  to mean the  $i^{th} - j^{th}$  entries (inclusive) of a given Prüfer sequence. In terms of the example above  $P_{10}[3 : 7] = (2, 8, 1, 1, 2)$ . We define  $P_n[x : y]$  to be the empty sequence if  $y < x$ .

Let  $X_v$  denote the multiplicity of the label  $v$  in  $P_n$ . For example, if we consider  $P_{10}$  defined above:

$$X_1 = 2, X_2 = 2, X_3 = 1, X_4 = 2, X_5 = 0 \dots$$

Given some Prüfer sequence  $P_n$  we can associate a sequence

$$\text{Pos}_v = (\text{Pos}_{v,1}, \text{Pos}_{v,2}, \dots, \text{Pos}_{v,X_v})$$

to every  $v \in P_n$  such that  $\text{Pos}_{v,j}$  is the position of the  $j^{th}$  occurrence of  $v$ . For example, entry 4 occurs in the first and eighth position of  $P_{10}$  so  $\text{Pos}_4 = (1, 8)$ . For any  $v \in P_n$  we say that an occurrence of  $v$  is *final* if that occurrence of  $v$  is in position  $\text{Pos}_{v,X_v}$ . We define  $L = \{v : v \in \mathbb{N}_n \setminus P_n\}$ . In our example  $L = \{5, 6, 7, 9, 10\}$ .

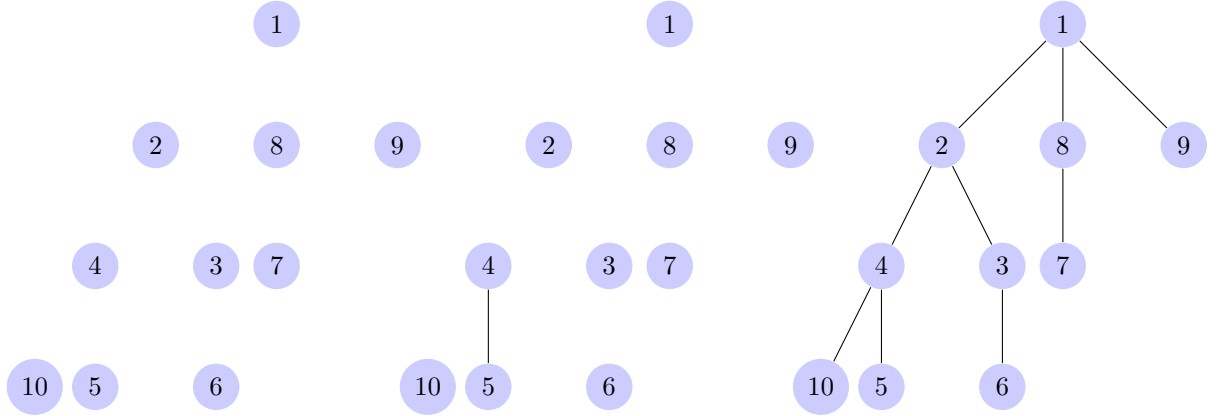


Figure 1:  $G_0$  is on the far left above.  $G_1$  is central above and  $T_n (= G_{n-1})$  is on the right above.

We can associate a tree with a Prüfer sequence  $P_n = (a[1], a[2], \dots, a[n-2])$  via a dynamic correspondence. At each time,  $t$  an appropriate vertex is chosen from a set of available vertices and is attached via an edge to  $a[i]$ . At time  $t = 0$  set  $L_0 = L$  and define  $G_0$  to be the graph on  $n$  vertices labelled  $1, 2, \dots, n$  with no edges. At time  $t = 1$  the vertex with label  $a[1]$  is attached via an edge to  $\min L_0$  to form  $G_1$ . More generally, at time  $t$  we form  $L_t$  from  $L_{t-1}$  by removing  $\min L_{t-1}$  and adding  $a[t-1]$  if  $a[t-1]$  is final and form  $G_t$  by connecting via an edge the labelled vertex  $a[t]$  to vertex  $\min L_t$  for  $t = 2, 3, 4, \dots, n-2$ . Let  $D$  be the number of distinct entries of  $P_n$ . Since  $D$  elements are added to  $L_0$  and  $n-2$  elements are removed to form  $L_{t-1}$ :

$$|L_{n-1}| = |L_0| + D - (n-2).$$

Clearly  $D + |L_0| = n$  therefore  $|L_{n-1}| = 2$ . Finally,  $G_{n-1}$  is formed by adding an edge between these vertices.

It is known that  $G_{n-1}$  is always a tree on  $n$  nodes so we write  $T_n := G_{n-1}$ . It is also known that  $\deg(v) = X_v + 1$  for all  $v \in V(T_n)$ . Therefore  $L$  corresponds to the leaves of  $T_n$ .

**Example 2.1.** Let  $P = (4, 3, 2, 8, 1, 1, 2, 4)$ . We build the corresponding tree,  $T$ , as follows. Let  $G_0$  be the graph on 10 vertices with 0 edges. At time  $t = 0$   $L_0 = L = \{5, 6, 7, 9, 10\}$  therefore we build  $G_1$  from  $G_0$  by adding an edge between the vertex labelled 4 and the vertex labelled  $\min L_0 = 5$ . Since 4 is not final  $L_1 = \{6, 7, 9, 10\}$  and we build  $G_2$  by adding edge  $(3, 6)$  to  $G_1$ . Since 3 is final  $L_2 = \{7, 9, 10\}$  so we form  $G_3$  by adding the edge  $(2, 3)$  to  $G_2$ . This process continues until we build  $T_n$  shown in Figure 1:

### 2.3 Increasing Trees

We can associate another sequence  $B_n = (b[1], b[2], \dots, b[n-2])$  of length  $n-2$  with  $P_n$  as follows: firstly, for all  $v \in P_n[1 : n-3]$  we set  $b[\text{Pos}_{v, X_v} + 1] = a[\text{Pos}_{v, X_v}]$ .

In our example:

$$B_{10} = (, , 3, , 8, , 1, 2)$$

We then order  $L$  from lowest to highest and fill the remaining empty entries of  $B_n$  with the first  $|L| - 1$  entries from  $L$  ordered from lowest to highest. For example,

$$B_{10} = (5, 6, 3, 7, 8, 9, 1, 2)$$

Let  $m_1 = \min P_n$ . If  $1 \in P_n$  then  $m_1 = 1$ . If  $1 \notin P_n$  then the vertex labelled 1 must be a leaf of  $T_n$ , if  $n > 2$  then the vertex labelled 2 cannot be a leaf so  $m_1 = 2$ .

We say that the (possibly empty) set  $E = \{e_1, e_2, \dots, e_m\}$  is the set of *exceptional Prüfer positions* ordered such that  $e_1 < e_2 < \dots < e_m$  and let  $E$  be defined as follows.

**Case 1** ( $\min P_n = 1$ ). If  $\text{Pos}_{1, X_1} \neq n-2$  then  $\text{Pos}_{1, X_1} + 1 = e_1$  we then set  $m_2 = \min P_n[e_1 : n-2]$  and if  $\text{Pos}_{m_2, X_{m_2}} \neq n-2$  then  $e_2 = \text{Pos}_{m_2, X_{m_2}} + 1$ . In general  $m_i = \min P_n[e_{i-1} : n-2]$  and, if  $\text{Pos}_{m_i, X_{m_i}} \neq n-2$  then  $e_i = \text{Pos}_{m_i, X_{m_i}} + 1$ .

**Case 2** ( $\min P_n = 2$ ). We can imagine that  $\text{Pos}_{1, X_1} = 0$  so  $1 \in E$ . We then set  $m_2 = \min P_n$  and the other elements of  $E$  are as in Case 1.

Given any  $v \in P_n$  we say  $v$  is an *exceptional Prüfer entry* if there exists a label  $v$  in an exceptional Prüfer position, i.e. there is a  $j \in \{1, 2, \dots, X_v\}$  such that  $\text{Pos}_{v, j} \in E$ . In the case of  $P_{10}$  above  $m_1 = 1$ ,  $m_2 = 2$  and  $E = \{7, 8\}$ . Vertices 2 and 4 are exceptional Prüfer entries.

For intuition behind the difference behaviours of exceptional Prüfer entries and entries that are not exceptional we might think of the Prüfer process as a “directed” process. Given some Prüfer sequence  $P_n$  each entry  $a[i] \in P_n$  corresponds to an edge  $e \in T_n$  we can build  $\tilde{T}_n$  such that  $V(\tilde{T}_n) = V(T_n)$  and  $E(\tilde{T}_n) = E(T_n)$  with the additional information that each edge in  $\tilde{T}_n$  is directed *from*  $\min L_i$  *to*  $a[i]$ .

So let  $T_n$  be an increasing tree and consider the corresponding Prüfer sequence  $P_n$ .

**Case 3** ( $\min P_n = 1$ ). The lowest valued leaf,  $l$ , is chosen first and attached to  $a[1]$ . By the definition of an increasing tree  $a[1] < l$  therefore the edge  $(a[1], l)$  is directed from  $l$  to  $a[1]$  and more generally is directed towards the root node. Subsequently chosen leaves will also be attached to vertices with larger labels inducing edges directed towards the root vertex. However, consider  $a[\text{Pos}_{1, X_1} + 1]$ , clearly  $1 \in L_{\text{Pos}_{1, X_1} + 1}$  and therefore  $a[\text{Pos}_{1, X_1} + 1]$  will be attached to vertex 1 corresponding to an edge directed away from the root vertex. In fact we will see that all vertices will be directed towards the root apart from a path from vertex 1 to vertex  $n$ . Intuitively the endpoints of edges directed away from the root are the exceptional Prüfer entries.

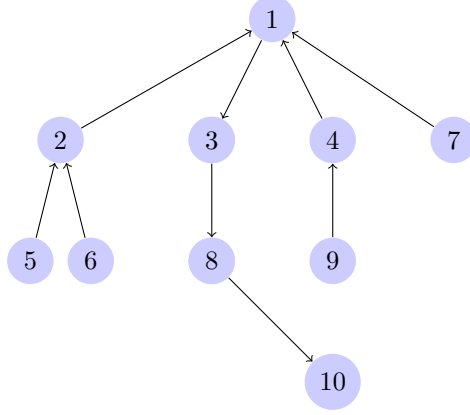


Figure 2

**Case 4** ( $\min P_n = 2$ ). We will see that the vertices directed away from the root are the exceptional Prüfer entries (again). Then  $a[1] = 2$  and 2 is attached to 1. Therefore edge  $(1, 2)$  is directed away from 1 which is expected since if  $\min P_n = 2$  then 1 is an exceptional Prüfer entry.

**Example 2.2.** The Prüfer sequence,  $P_{10} = (2, 2, 1, 1, 4, 1, 3, 8)$  corresponds to the random recursive tree  $T_{10}$ . We have drawn  $T_{10}$  in figure 2 (below) with directed edges which point from the element  $\min L_i$  to  $a[i]$  as described above.

## 2.4 Increasing Prüfer Sequences

**Definition 2.3** (Increasing Prüfer sequence). Given a Prüfer sequence,  $P_n$ , we can always build  $B_n$  as described in Section 2.3. Let  $C_n = (c[1], c[2], \dots, c[n-2])$  be the sequence defined componentwise by  $c[i] = b[i] - a[i]$  for  $i = 1, 2, 3, \dots, n-2$ . if  $C_n$  satisfies:

$$\begin{cases} c[i] < 0 & \text{if } i \in E \\ c[i] > 0 & \text{otherwise} \end{cases}$$

and  $n \notin P_n$  then we say that  $P_n$  is an *increasing Prüfer sequence*.

This section culminates in Theorem 2.11 we will prove that if  $P_n$  is an increasing Prüfer sequence then  $P_n$  corresponds to an increasing tree.

**Throughout the remainder of this section we assume that  $P_n$  is an increasing Prüfer sequence.**

**Lemma 2.4.** *If  $a[i] \in P_n[1 : e_1 - 1]$  then the vertices with label  $a[i]$  are adjacent to vertices with label  $b[i]$ .*

*Proof of Lemma 2.4.* Consider  $P_n[1 : e_1 - 1]$ . If  $e_1 = 1$  then there is nothing to do, so assume  $e_1 > 1$ . Then the Prüfer process dictates that the vertex labelled  $a[1]$  is connected to the lowest leaf which must be  $b[1]$  since  $b[1] = \min L$ . Let  $j$  be the smallest value such that  $a[j]$  is final. Then  $L_1 = L_0 \setminus \{b[1]\}$  and

$b[2] = \min L_1$  so the vertex labelled  $a[2]$  is joined to  $b[2]$ . Similarly the vertex  $a[3]$  is connected to  $b[3]$  until vertex  $a[j]$  which is attached to  $b[j]$ . Since  $a[j]$  is final  $a[j] \in L_{j+1}$ . If  $j \in E$  then we're done so assume  $j \notin E$ . By the condition on  $C_n$ ,  $a[j] < b[j] = \min L_j$  so  $a[j]$  is not just available it is minimal ( $\min L_{j+1} = a[j]$ ). Therefore at time  $j+1$  the edge  $(a[j+1], a[j])$  is added to make  $G_{j+1}$ . By the definition of  $B_n$  this means that  $a[j+1]$  is adjacent to  $b[j+1]$ .  $\square$

If  $|E| \geq 2$  then recall that we recursively defined  $m_i = \min P_n[e_{i-1} : n-2]$  for  $i = 2, 3, \dots, n-2$ . For simplicity we define  $P'_n = P_n[e_1 : n-2]$  and  $P''_n = P_n[e_1 + 1 : n-2]$ .

Given any graph  $G_n$  on  $n \geq 2$  vertices the number of vertices  $v \in V(G)$  such that  $\deg(v) = 1$  is at least 2. In particular  $|L| \geq 2$ . We can think of  $L_i$  as the set of leaves of (not necessarily connected) subgraphs of  $T_n$  for  $i = 1, 2, \dots, n-2$  so  $|L_i| \geq 2$  for  $i = 1, 2, \dots, n-2$ . We know that  $|L_{n-1}| = 2$ . We also know that  $a[n-2] \in L_{n-1}$  and  $\max\{P_n[1 : n-3], L\} \in L_{n-1}$ . Since we assumed that  $n \notin P_n$  it must be the case that  $n \in L_{n-1}$ .

**Lemma 2.5.** *Let  $|E| \geq 1$  and  $e_1 < n-2$  then there does not exist a vertex  $v \in L_{e_1+1}$  such that  $v < m_2$ .*

*Proof.* Let  $Y_1 = a[e_1 + 1]$ . Assume that there exists a vertex labelled  $v < m_2$  that is attached to some  $a[i] \in P''_n$ . In the language of the Prüfer process  $v$  must become available to be chosen before at time  $e_1 + 1$ . More precisely  $v \in L_{e_1+1}$ .

By the Prüfer process  $Y_1$  is attached to  $v' = \min L_{e_1+1} \leq v$ .

**Case 5** ( $e_1$  is final). Let  $m > e_1$  be the least integer such that  $a[m]$  is not final. By Lemma 2.4  $a[i]$  is adjacent to  $b[i]$  for  $i = 1, 2, \dots, e_1 - 1$ . Therefore  $b[m+1] = v' \leq v < m_2$  this contradicts  $C_n$  so there does not exist such an  $m$ .

Therefore either every  $a[i] \in P'_n$  is final. If this is the case then by  $C_n$ :  $a[e_1] < a[e_1 + 1] < \dots < a[n-2] < n$  and there cannot be a path between  $v'$  and 1.

**Case 6** ( $e_1$  is not final). By Lemma 2.4  $a[i]$  is adjacent to  $b[i]$  for  $i = 1, 2, \dots, e_1 - 1$  therefore  $b[e_1 + 1] = v' < Y_1$  contradicting  $C_n$ .  $\square$

**Lemma 2.6.** *Let  $P_n$  be defined as in Lemma 2.5. Then  $e_1 = m_2$ .*

*Proof.* Assume for a contradiction that  $e_1 \neq m_2$ .

By Lemma 2.4 all vertices adjacent to  $m_2$  which were joined to  $a[i]$  for  $i = 1, 2, 3, \dots, e_1$  have higher labels than  $m_2$ . These vertices are further only attached to vertices with labels higher than  $m_2$  in addition to the edges joining them to these vertices etc.. By Lemma 2.5 every  $m_2 \in P'_n$  is adjacent to some vertex with a higher label. Any of these vertices must be joined to vertices with label larger than  $m_2$  by the same argument. Therefore there does not exist a path from  $m_1$  to  $m_2$ . This cannot be the case since a Prüfer sequence always gives rise to a tree. Therefore  $m_2 = Y_1$ .  $\square$

**Corollary 2.7.** *Every Prüfer position corresponds to exactly one distinct Prüfer entry.*

**Lemma 2.8.** *Assume  $n > 3$ , if  $e_1 = n - 2$  or  $E = \emptyset$  then vertices with label  $a[i]$  are adjacent to vertices with label  $b[i]$  for  $i = 1, 2, 3, \dots, n - 2$ .*

*Proof.* By Lemma 2.4 vertex  $a[i]$  is adjacent to vertex  $b[i]$  for  $i = 1, 2, \dots, \text{Pos}_{1X_1}$ .

- (i) If  $E = \emptyset$  then, by Lemma 2.4 there is nothing to check.
- (ii) If  $e_1 = n - 2$  then  $a[n - 3] = m_1$ . If  $m_1 = 1$  then  $1 = \min L_{n-2}$  and  $1 = b[n - 2]$  as required. If  $m_1 = 2$  then 1 must have been attached to  $a[1]$ , since  $n > 3$  this is not the case.

□

**Lemma 2.9.** *Every vertex  $a[i]$  is attached via an edge to  $b[i]$  in  $T_n$ .*

*Proof.* By Lemma 2.8 we're done if  $e_1 = n - 2$  or  $E = \emptyset$  so assume that  $E \geq \emptyset$  and  $e_1 \neq n - 2$ .

By Lemma 2.4 all  $a[i] \in P_n[1 : e_1 - 1]$  are attached via an edge to vertices  $b[i]$ . So  $a[e_1]$  must be attached to vertex labelled 1 which is  $b[e_1]$ .

Using a similar argument to Lemma 2.4 the subsequent  $a[i]$  are attached to  $b[i]$  until  $a[\text{Pos}_{m_2, X_{m_2}}]$  but by Lemma 2.6  $m_2 = e_1$ . So we can use the same argument as Lemma 2.6 to say that  $e_2$  is attached to  $b[e_2]$  as required. Therefore if  $P_n$  is a Prüfer sequence with the condition  $C_n$  then at time  $i$  we construct  $G_i$  by adding  $(a[i - 1], b[i - 1])$  to  $G_{i-1}$ .

□

**Lemma 2.10.** *If  $b[i] = \min L_i$  and  $E \neq \emptyset$  then  $a[n - 2]$  is an exceptional Prüfer entry.*

Note that if  $b[i] = \min L_i$  then at time  $i$  the vertex labelled  $a[i]$  is attached via an edge to vertex labelled  $b[i]$ .

*Proof of 2.10.* Since  $E \neq \emptyset$  there exists  $e_1 \in E$ . If  $e_1 = n - 2$  then we are done. If  $e_1 \neq n - 2$  then there exists some  $m_2 = \min P_n[e_1 : n - 2]$  and  $e_2 = \text{Pos}_{m_2, X_{m_2} + 1}$ . Again if  $e_2 = n - 2$  we are done. After enough iterations of this process it must be the case that either  $e_i = n - 2$  or  $a[n - 2] = a[n - 3] = \dots a[n - m]$  and  $e_i = a[n - m]$  in either case  $a[n - 2]$  is an exceptional Prüfer entry. □

**Theorem 2.11.** *If  $P_n$  adheres to condition  $C_n$  then  $P_n$  corresponds to a random recursive tree.*

*Proof.* Consider any  $v \in P_n$  that is not an exceptional Prüfer entry then  $v$  occurs  $X_v$  times in  $P_n$ . If  $v \neq m_1$  this corresponds to  $X_v$  edges with  $v$  as an endpoint such that the other endpoints are vertices with higher labels than  $v$  (in particular these vertices are  $b[\text{Pos}_{v,1}], b[\text{Pos}_{v,2}], \dots, b[\text{Pos}_{v,X_v}]$ ). By Lemma 2.10  $v$  is not in position  $n - 2$  so  $v$  is also attached to one vertex with a lower vertex:  $a[\text{Pos}_{v,X_v} + 1]$ . If  $m_1 = 1$  then we do not need to worry since for an

increasing tree only the *non-root* vertices are required to be attached to precisely one vertex with higher label. If  $m_1 = 2$  then  $m_1$  is exceptional.

If  $v$  is an exceptional Prüfer entry then  $v$  occurs  $X_v$  times in  $P_n$  which, by Corollary 2.7, corresponds to  $X_v - 1$  edges adjacent to  $v$  such that the adjacent vertices have a higher label than  $v$  and 1 edge adjacent to a vertex with a lower label.

If  $\text{Pos}_{v, X_v} \neq n - 2$  then  $v$  is also attached to another vertex with higher label. If the final  $a[\text{Pos}_{v, X_v}]$  is in position  $n - 2$  then, by the discussion before Lemma 2.5  $v$  is attached to vertex  $n$  and clearly  $n > v$ . Therefore all vertices other than 1 are attached to exactly 1 vertex with lower label than themselves so  $T_n$  is an increasing tree.  $\square$

## 2.5 Further Directions

Consider the set of RRTs on  $n$  vertices:  $\mathcal{T}_n$ . For each tree  $T_n \in \mathcal{T}$  if we send every label  $i$  to  $n - i$  then we form a new set of trees  $\tilde{\mathcal{T}}_n$ .

The allowable Prüfer sequences are  $P_n = (a[1], a[2], \dots, a[n - 2])$  such that  $a[i] \in \{2, 3, \dots, n - i\}$ . Clearly then there are  $n - 1!$  possible such Prüfer sequences.

Let  $T_n$  be a tree chosen at random from the set of  $n^{n-2}$  labeled trees. Let  $L_n$  be the number of leaves of  $T_n$ , Renyi [3] proved that almost surely:

$$E(L_n) \sim \frac{n}{e} \quad (1)$$

$$\text{var}(L_n) \sim \frac{(e - 2)n}{e^2} \quad (2)$$

Renyi's proof of these theorems relies upon the Prüfer correspondence discussed here. Our correspondence between increasing Prüfer sequences and increasing trees may yield similar theorems for increasing trees.

## 3 Counting Leaves

Let  $T(n, k)$  be the number of increasing trees on  $n$  vertices with exactly  $k$  leaves then  $T(n, k) > 0$  if and only if  $1 < k < n$ . Given some increasing tree  $T_n$  with  $l_n$  leaves we can build  $n$  new trees by attaching a vertex labeled  $n + 1$  via an edge to any  $n \in V(T_n)$ . The total number of nonisomorphic increasing trees on  $n$  vertices is  $n - 1!$  and since each tree must have some number of leaves we form the following relation:

$$\sum_{k=2}^{n-1} T(n, k) = (n - 1)! \quad (3)$$

Let  $l_{n+1}$  be the number of leaves of any tree  $T_{n+1}$  built from  $T_n$  in the way that we have described, then:

$$l_{n+1} = \begin{cases} l_n & \text{if } n + 1 \text{ is attached to a leaf} \\ l_n + 1 & \text{otherwise} \end{cases}$$

Therefore we can recursively define  $T(n, k)$ .

**Example 3.1.**

$$\begin{aligned} T(6, 5) &= T(5, 4) \\ T(6, 4) &= 4T(5, 4) + 2T(5, 3) \\ T(6, 3) &= 3T(5, 3) + 3T(5, 2) \\ T(6, 2) &= 2T(5, 2) \end{aligned}$$

In general if  $1 < k < n$  then:

$$T(n, k) = \begin{cases} T(n-1, n-2) & \text{if } k = n-1 \\ kT(n-1, k) + (n-k)T(n-1, k-1) & \text{if } 2 < k < n-1 \\ 2T(n-1, 2) & \text{if } k = 2 \end{cases} \quad (4)$$

Therefore  $T(n, n-1) = 2$  for  $n > 2$ . Similarly we can see by induction that  $T(n, 2) = 2^{n-2}$ .

Let  $\mu_n$  be the expected proportion of vertices of an increasing tree,  $T_n$ , with degree 1. In addition, let  $\nu_n$  be the expected number of vertices that are leaves for an increasing tree on  $n$  leaves.

$$\nu_n = \frac{1}{n-1!} \sum_{k=2}^{n-1} kT(n, k) \quad (5)$$

We can write that  $\mu_n = \frac{\nu_n}{n}$ .

Notice that the recurrence relation for Equation 4 also holds for stirling numbers of the second kind.

By combining equation 4 with equation 5 and simple manipulation of summations we find that

$$\mu_n = \frac{1}{n!} \left( T(n-1, n-2) + 2T(n-1, 2) + \sum_{k=3}^{n-2} k(kT(n-1, k) + (n-k)T(n-1, k-1)) \right) \quad (6)$$

$$= \frac{1}{n!} \left( \sum_{k=2}^{n-2} k^2 T(n-1, k) + \sum_{k=3}^{n-1} (k(n-k)T(n-1, k-1)) \right) \quad (7)$$

$$= \frac{1}{n!} \left( \sum_{k=2}^{n-2} k^2 T(n-1, k) + \sum_{k=2}^{n-2} (k+1)(n-(k+1))T(n-1, k) \right) \quad (8)$$

$$(9)$$

The two sums in equation 6 can be combined since they sum between the same limits (2 and  $n-2$ ) and involve  $T(n-1, k)$ . In order to determine the



asymptotic value of  $\mu_n$  we wish to find a simple recurrence relation. Prüfer

$$\begin{aligned}\mu_n &= \frac{1}{n!} \left( \sum_{k=2}^{n-2} knT(n-1, k) + \sum_{k=2}^{n-2} (n-1)T(n-1, k) - \sum_{k=2}^{n-2} 2kT(n-1, k) \right) \\ &= \mu_{n-1} + \frac{1}{n} - \frac{2\mu_{n-1}}{n}\end{aligned}$$

Where the last equality above used equation 3. Therefore in the limit as  $n \rightarrow \infty$   $\mu_n \rightarrow \frac{1}{2}$  as we expected.

## 4 Shaving random recursive trees

Let  $T_n$  be a RRT. We say that the induced subtree  $T_n^s$  such that  $V(T_n^s) = \{v \in V(T_n) : \deg(v) > 1\}$  is  $T_n$  *shaved*. In this section we produce a simple algorithm for deducing the Prüfer sequence of  $V(T_n^s)$ .

Let  $P_n = (a[1], a[2], \dots, a[n-2])$  be the Prüfer sequence associated with the random recursive tree  $T_n$  on  $n$  vertices built in the way described in Section 2.5. Recall that we have built  $P_n$  in such a way that  $a[i]$  is the parent of vertex  $i+2$  for  $i = 3, 4, \dots, n$  and that the set  $L_n = \mathbb{N}_n/P_n$  corresponds to the leaves of  $T_n$ .

We denote the Prüfer sequence corresponding to  $T_n^s$  by

$$P_n^s = (a'[1], a'[2], \dots, a'[m])$$

where  $m = n - |L_n|$ . Loosely speaking we will build  $P_n^s$  by removing entries from  $P_n$ . Let  $R$  be the set of removed vertices from  $P_n$ . An algorithm which describes this process is as follows:

- (i) Let  $R$  be the set of removed vertices from  $P_n$  and initially  $R = \emptyset$ .
- (ii) For every  $l \in L_n$  we set  $a[l-2] \in R$ .
- (iii) Let the quotient  $Q = P_n/R$  be the sequence of vertices in  $P_n$  and not in  $R$ . If we write  $Q = (a'[1], a'[2], \dots, a'[m])$  then  $a'[1]$  is the first entry in  $P_n$  that is not in  $R$ ,  $a'[2]$  is the second entry in  $P_n$  that is not in  $R$  and so on. Then  $Q = P_n^s$ .

**Remark 1.** *The shaved RRT,  $T_n^s$ , is not itself a random recursive tree since the vertices' labels need not be consecutive integers (or even start at 1), however it is relatively straight forward to produce a RRT isomorphic to  $T_n^s$ . Since  $|V(T_n^s)| = m$  is finite we can order  $V(T_n^s)$  from lowest to highest so let  $f : V(T_n^s) \rightarrow \mathbb{N}_m$  take the vertex with the lowest label to 1, the second lowest label to 2 and so on. We call the RRT built in the way described the reduced RRT and denote it by  $\tilde{T}_n^s$  and the corresponding Prüfer sequence is denoted by  $\tilde{P}_n^s = (\tilde{a}'[1], \tilde{a}'[2], \dots, \tilde{a}'[m])$ .*

**Question 1.** *Does there exists a  $(1, j)$ -star in  $T_n^s$  which permutes  $(1, k)$ -stars in  $T_n$ ?*

We have not yet built the appropriate tree to answer this question. We need to classify the leaves of  $T_n^s$  by the relevant  $(1, k)$ -star that has been shaved off. Let  $m(i)$  denote the multiplicity of each  $i \in P_n$  and colour each  $i \in R$  by  $m(i)$ . So the leaves,  $\tilde{L}_n^s$ , of  $\tilde{T}_n^s$  can be partitioned into classes  $C_1, C_2, \dots$  according to their colour.

Now we can define the *k-reduced random recursive forest*,  $\tilde{T}_{n,k}^s$  which is built as follows:

- (i) The set of leaves of  $\tilde{T}_{n,k}^s$  are defined to be  $\tilde{L}_{n,k}^s := C_k$ .
- (ii) Remove every element  $\tilde{a}_n^s[i]$  such that  $i - 2 \notin C_k$ .

We can associate the Prüfer sequence  $\tilde{P}_{n,k}^s$  with any *k-reduced random recursive forest*  $\tilde{T}_{n,k}^s$ .

**Example 4.1.**

## References

- [1] A. Cayley, Quaterly Journal of Pure and Applied Mathematics **23**, 376 (1889).
- [2] B. Bollobas, *Modern Graph Theory*, Corrected ed. (Springer, 1998).
- [3] A. Rényi, Magyar Tud. Akad. Mat. Kutató Int. Közl. **4**, 73 (1959).