

# *TypeShild*: Protecting Forward Indirect Calls in Binaries

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## Abstract

High security, high performance and high availability applications such as the Firefox and Chrome web browsers are implemented in C++ for modularity, performance and compatibility to name just few reasons. Virtual functions, which facilitate late binding, are a key ingredient in facilitating run-time polymorphism in C++ because it allows an object to use general (its own) or specific functions (inherited) contained in the class hierarchy. However, because of the specific implementation of late binding, which performs no verification in order to check where an indirect call site (virtual object dispatch through virtual pointers (vptrs)) is allowed to call inside the class hierarchy, this opens a large attack surface which was successfully exploited by the COOP attack. Since manipulation (changing or inserting new vptrs) violates the programmer initial pointer semantics and allows an attacker to redirect the control flow of the program as he desires, vptr corruption has serious security consequences similar to those of other data-only corruption vulnerabilities. Despite the alarmingly high number of vptr corruption vulnerabilities, the vptr corruption problem has not been sufficiently addressed by the researchers.

In this paper, we present *TypeShild*, a run-time vptr corruption detection tool. It is based on executable instrumentation at load time and uses a novel run-time type and function parameter counter technique in order to overcome the limitations of current approaches and efficiently verify dynamic dispatching during run-time. In particular, *TypeShild* can be automatically and easily used in conjunction with legacy applications or where source code is missing. It achieves higher caller/callee matching (precision) and with reasonable run-time overhead. We have applied *TypeShild* to real life software such as web servers, JavaScript engines, FTP servers and large-scale software including Chrome and Firefox browsers, and were able to efficiently and with low performance overhead to protect these applications from vptr corruption vulnerabilities. Our evaluation shows that our target reduction schema achieves an additional reduction of the possible call targets per call site of up to 20% with an overall reduction of about 9% when comparing to parameter count based approaches.

## 1 Introduction

In this Chapter we present the motivation of our work in Section 1. Section 1 presents the contribution of our work. Finally, Section 1 depicts the thesis outline.

**Motivation.** Control-Flow Integrity (CFI) [3, 4] is one of the most used techniques to secure program execution flows against advanced Code-Reuse Attacks (CRAs). Advanced CRAs such as the recently published COOP [40] and its extensions [16] or the attacks described by the Control Flow Bending paper [13] are able to bypass most traditional CFI solutions, as they focus on indirect call sites, which are not as easy to decide at compile time.

This is a problem for applications written in C++, as one of its principles is inheritance and virtual functions. The concept of virtual functions allows the programmer to overwrite a virtual function of the base class with his own implementation. While this allows for much more flexible code, this flexibility is the reason COOP actually works. The problem is that in order to implement virtual functions, the compiler needs to generate a table of all virtual functions for each class containing them and provide each instantiation of such a class with a pointer to said table. COOP now leverages a memory corruption to inject their own object with a fake virtual pointer, which basically gives him control over the whole program, while the control flow still looks genuine, as no code was replaced.

There exist several source code based solutions that either insert runtime checks during the compilation of the program like SafeDispatch [20], ShrinkWrap [19] or IFCC/VTV [42], which is the solution it is based on. Others modify and reorder the contents of the virtual table as their main aspect like the paper by Bounov et al [9]. While the recently published redactor++ [16] implements a combination of those ideas.

While this might seem that only C++ is vulnerable, while C is safe, this notion is wrong, as the Control Flow Bending paper [13] proposes attacks on nginx leveraging global function pointers, which are used to provide configurable behaviour.

As previously mentioned, there exist many solutions when one tries to tackle this problem while access to the application in question is provided. However, when we are faced with proprietary third party binaries, which are provided as is and

without the actual sourcecode, the number of tools that can protect against COOP or similar attacks is rather low.

TypeArmor [44] is such a tool that implements a fine grained forward edge CFI solution for binaries. It calculates invariants for calltargets and indirect callsites based on the number of parameters they use by leveraging static analysis of the binary, which then is patched to enforce those invariants during runtime. However, as of today we are not able to access the source code of TypeArmor, which is why we implement our own approximation of the tool.

The main shortcoming of TypeArmor is that even with high precision in the classification of calltargets and callsites, one cannot exclude calltargets with lower parameter number from callsites, for one due compatability and also due to variadic functions, which are a special case in themselves. This basically means that when a callsite prepares 6 parameters, it is able to call all address taken functions.

We implemented *TypeShield* to show a possible remedy of this problem by introducing parameter types into the classification of callsites and calltargets.

**Contribution.** The goals of our thesis are twofold. First we attempt to verify the results as provided by the TypeArmor paper. Second, implement our own classification schema to fix some of the shortcomings of previous binary based approaches to further mitigate advanced code reuse attacks.

Our main contribution is thus the design and implementation of callsite and calltarget classification schema that is based on the wideness of parameters alone. We implemented configurable reaching and liveness analysis algorithms that operate on the full set of general purpose integer registers of a x86-64 CPU and evaluated various path merge operators. Although the basic idea of our approach to rely only on the wideness of a type is rather simple, we still achieved a reduction of up to 20% less callsites per calltarget with an overall of about 9% when compared to our implementation of a parameter count based matching schema.

Furthermore, we implemented an approximation of the matching schema employed by TypeArmor proposed by [44], because we had no access to their sourcecode and could achieve similar results regarding parameter matching, partially verifying their results.

**Outline.** The remainder of this thesis is organized as follows. Chapter 3 contains a high level overview of *TypeShield*. Chapter 4 describes the theory used and decisions made during the design of *TypeShield*. Chapter 6 briefly presents the implementation details of our tool. Our *TypeShield* implementation is evaluated and discussed in Chapter 7 and Chapter 8, respectively. Chapter 9 surveys related work. Finally, Chapter 10 highlights several future venues of research while Chapter 11 concludes this thesis.

## 2 C++ Forbidden Forward Calls Exposed

This Chapter presents in Section 2 a brief overview about polymorphism in C++. Section 2 gives an overview over usage of forward-edge indirect call sites in practice. Section 2

depicts security implications of forbidden forward-edge indirect call sites. Finally, Section 2 illustrates an indirect forward-edge call site corruption which has been successfully exploited through a COOP attack on the Firefox web browser.

**Polymorphism in C++.** Polymorphism along inheritance and encapsulation are the most used modern object-oriented concepts in C++. Polymorphism in C++ allows to access different types of objects through a common base class. A pointer of the type of the base object can be used to point to object(s) which are derived from the base class. In C++ there are several types of polymorphism: *a)* compile-time (or static, usually is implemented with templates), *b)* runtime (dynamic, is implemented with inheritance and virtual functions), *c)* ad-hoc (e.g., if the range of actual types that can be used is finite and the combinations must be individually specified prior to use), and *d)* parametric (e.g., if code is written without mention of any specific type and thus can be used transparently with any number of new types it is called parametric polymorphism). The first two are implemented through early and late binding, respectively. In C++, overloading concepts fall under the category of *c)* and Virtual functions; templates or parametric classes fall under the category of pure polymorphism. C++ provides polymorphism through: *i)* virtual functions, *ii)* function name overloading, and *iii)* operator overloading. In this paper, we will be concerned with dynamic polymorphism—based on virtual functions (10.3 and 11.5 in ISO/IEC N3690 [21])—because these can be exploited to call: *x)* illegitimate vTable entries not/contained in the class hierarchy by varying or not the number of parameters and types, *y)* legitimate vTable entries not/contained in the class hierarchy by varying or not the number of parameters and types, *z)* fake vTables entries not contained in the class hierarchy by varying or not the number of parameters and types. By legitimate and illegitimate vTable entries we mean those vTable entries which for a single indirect call site lie in the vTable hierarchy. More precisely, a vTable entry is legitimate for a call site if from the call site to the vTable containing the entry there is an inheritance path (see [19]). Virtual functions have several uses and issues associated, but for the scope of this paper we will look at the indirect call sites which are exploited by calling illegitimate vTable entries (functions) with varying number and type of parameters, *x)*. More precisely, *1)* load-time enforcement: as calling each indirect call site (callee) requires a fix number of parameters which are passed each time the caller is calling, we enforce a fine-grained CFI policy by statically determining the number and types of all function parameter that belong to an indirect call site. *2)* run-time verification: as checking during run-time legitimate from illegitimate indirect caller/callee pairs requires parameter type (along parameter number), we check during run-time before each indirect call-site if the caller matches to the callee based on the previously added checks.

Figure 1 depicts a C++ code example where it is illustrated how a COOP loop gadget (ML-G, REC-G, UNR-G, see [16]) works. (1) can be exploited in several ways, see *x)*, *y)* and *z)*. The indirect call site (line 17) can be exploited to call by

```

1 class nsMultiplexInputStream final
2 :public nsInputStream
3 ,public nsISearchableStream //A1
4 ,public nsIIPCSerializableInputStream //A2
5 ,public nsICloneableInputStream{ //A3
6 nsTArray<nsCOMPtr<nsIInputStream>> mStreams;
7 NS_IMETHODIMP nsMultiplexInputStream::Close(){
8     MutexAutoLock lock(mLock);
9     mStatus = NS_BASE_STREAM_CLOSED;
10    //set NS_OK flag
11    nsresult rv = NS_OK;
12    //get array length
13    uint32_t len = mStreams.Length();
14    //array-based main loop gadget
15    for (uint32_t i = 0; i<len; ++i){
16        //(1) hijacked indirect call
17        nsresult rv2=mStreams[i]->Close();
18        if (NS_FAILED(rv2)) {
19            rv = rv2;
20        }
21    }
22    return rv;
23 }

```

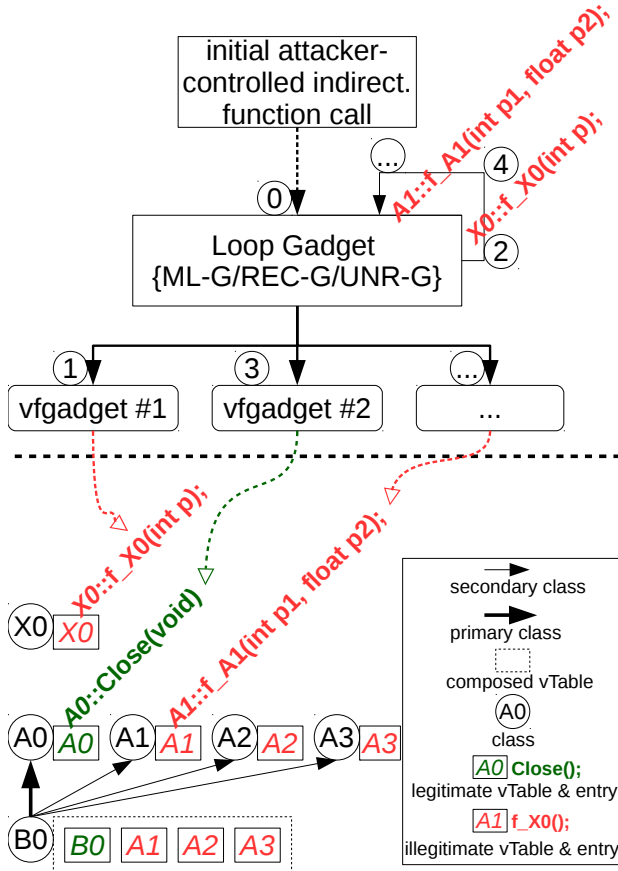


Figure 1: Code example used to illustrate how a COOP loop gadget works.

passing a varying number of parameters and types on each object contained in the array a different vTable entry contained in the: 1) class hierarchy (overall, whole program), 2) class hierarchy (partial, only legitimate for this call site), 3) vTable hierarchy (overall, whole program), 4) vTable hierarchy (partial, only legitimate for this call site), 5) vTable hierarchy and/or class hierarchy (partial, only legitimate for this call site), and 6) vTable hierarchy and/or class hierarchy (overall, whole program). There is no language semantics—such as cast checks—in C++ for vCall sites dispatch checking and as consequence the loop gadget indicated in Figure 1 can basically call all around in the class and vTable hierarchy by not being constrained by any build in check during runtime. The attacker corrupts an indirect function call, ①, next she invokes gadgets, ①, ③, through the calls, ②, ④, contained in the loop. As it can be observed in Figure 1 she can invoke from the same call site legitimate functions residing in the vTable inheritance path (this type of information is usually very hard to recuperate from executables) for this particular call site, indicated with green color vTable entries. However, a real COOP attack invokes illegitimate vTable entries residing in the whole initial program hierarchy (or the extended one) with less or no relationship to the initial call site, indicated with red color vTable entries.

**Checking Indirect Forward-Edge Calls in Practice.** As far as we know, there is only the IFCC/VTV [42] tools (up to 8.7% performance overhead) deployed in practice which can be used to check legitimate from illegitimate indirect forward-edge calls during compile time. vPointers are checked based on the class hierarchy. ShrinkWrap [19] (as far as we know not deployed in practice) is a tool which further reduces the legitimate vTables ranges for a given indirect call site through precise analysis of the program class hierarchy and vTable hierarchy. Evaluation results show similar performance overhead but more precision w.r.t. to legitimate vTables entries per call site. We noticed by analyzing the previous research results that the overhead incurred by these security checks can be very high due to the fact that for each call site many range checks have to be performed during runtime. Therefore, despite its security benefit these types of checks can not be applied in our opinion to high performance applications.

As alternative, there are other highly promising tools (not deployed in practice) that can be used to mitigate some of the drawbacks of the previous tools. Bounov et al [9] presented a tool ( $\approx 1\%$  runtime overhead) for indirect forward-edge call site checking based on vTable layout interleaving. The tool has better performance than VTV and better precision w.r.t. allowed vTables per indirect call site. Its precision (selecting legitimate vTables for each call site) compared to ShrinkWrap is lower since it does not consider vTable inheritance paths. vTrust [46] (average runtime overhead 2.2%) enforces two layers of defense (virtual function type enforcement and vTable pointer sanitization) against vTable corruption, injection and reuse. TypeArmor [44] ( $\leq$  than 3 % runtime overhead) enforces a CFI policy based on runtime checking of caller/caller pairs based on function parameter

count matching (coarse grained, parameter types and more than six parameters can be used as well). Important to notice is that there are no C++ language semantics which can be used to enforce type and parameter count matching for indirect call/callee pairs, this could be addresses with specifically intended language constructs in future.

**Security Implications of Forbidden Indirect Calls.** The C++ language standard (12.7 [21]) does not specify what happens when calling different vTable entries from an indirect call site. The standard says that we have have a virtual function related undefined behavior when: “a virtual function call uses an explicit class member access and the object expression refers to the complete object of x or one of that objects base class subobjects but not x or one of its base class subobjects”. As undefined behavior is not a clearly defined concept we argue that in order to be able to deal with undefined behavior or unspecified behavior related to virtual function calls one needs to know how these language dependent concepts are implemented inside the used compilers.

Forbidden forward-edge indirect calls are the result of a vPointer corruption. A vPointer corruption is not a vulnerability but rather a capability which can be the result of a spatial or temporal memory corruption through: (1) bad-casting [25] of C++ objects, (2) buffer overflow in a buffer adjacent to a C++ object or a use-after-free condition [40]. A vPointer corruption can be exploited in several ways. A manipulated vPointer can be exploited by pointing it in any existing or added program vTable entry or into a fake vTable which was added by an attacker. For example in case a vPointer was corrupted than the attacker could highjack the control flow of the program and start a COOP attack [40].

vPointer corruptions are a real security threat which can be exploited if there is a memory corruption (e.g. buffer overflow) which is adjacent to the C++ object or a use-after-free condition. As a consequence each corruption which can reach an object (e.g. bad object casts) is a potential exploit vector for a vPointer corruption. Interestingly to notice in this context is that through: (1) memory layout analysis (through highly configurable compiler tool chains) of source code based locations which are highly prone to memory corruptions such as declarations and uses of buffers, integers or pointer deallocations one can obtain the internal machine code layout representation. (2) analysis of a code corruption which is adjacent (based on (1)) to a C++ object based on application class hierarchy, the vTble hierarchy and each location in source code where an object is declared and used (e.g., modern compiler tool chains can spill out this information for free), one can derive an analysis which can determine—up to a certain extent—if a memory corruption can influence (is adjacent) to a C++ object.

Finally, we notice that by building tools based on this two concepts (i.e., (1) and (2)) attackers (e.g., used to find new vulnerabilities) and for defenders which can harden the source code with checks only at the places which are most exposed to such vulnerabilities (i.e., we name this targeted security hardening).

**Real COOP Attack Example.** The given example de-

picted in Figure 2 is a proof of concept exploit extracted from [40] and used in order to perform a COOP attack on the Firefox browser. A buffer overflow bug was used in order to call into existing vTable entries by using the a main loop gadget. The attack concludes with opening of an Unix shell. A real-world bug, CVE-2014-3176, was exploited by Crane et al. [16] in order to perform another COOP attack on the Chromium browser. The details of the second attack are far to complex (i.e., involves not properly handled interaction of extensions, IPC, the sync API, and Google V8) and for this reason we briefly present the first documented COOP exploit on a Linux machine.

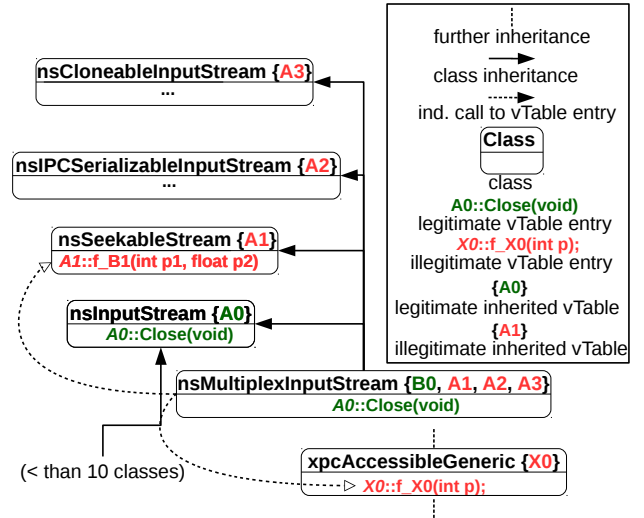


Figure 2: Class inheritance hierarchy of the classes involved in the COOP attack against the Firefox browser. Red letters indicate forbidden vTble entries and green letters indicate allowed vTable entries for the given indirect call site contained in the main loop gadget.

The C++ class `nsMultiplexInputStream` contains a main loop gadget inside the function `nsMultiplexInputStream::Close(void)` which is performing an indirect calls by dispatching indirect calls on the objects contained in the array. The objects contained in the array during normal execution are of type `nsInputStream` and each of the objects will call the `Close(void)` function in order to close each of the previously opened streams. In order to perform the COOP attack the attacker crafts a C++ program containing a array buffer holding six fake objects. Fake objects can call inside (and outside) the initial class and vTable hierarchies with no constraints. During the attack a buffer is created in order to hold the fake objects. The crafted buffer will be called in stead of the real code in order to call different functions available in the program code. For example the attacker calls a function contained in the class `xpcAccessibleGeneric` which is not in the class hierarchy or vTable hierarchy of the initially intended type of objects used inside the array. Moreover, the header file of this class (`xpcAccessibleGeneric`) is not included in the class `nsMultiplexInputStream`. In total six fake objects

are used to call into functions residing in not related class hierarchies with varying number of parameters and return types. The final goal of this attack is to prepare the program memory such that a Unix shell can be opened at the end of this attack.

This example illustrates why detecting vPointer corruptions is not trivial for real-world applications. As depicted in Figure 2 the class `nsInputStream` has 11 classes which inherit directly or indirectly from this class. The classes `nsSeekableStream`, `nsIPCSerializableInputStream` and `nsCloneableInputStream` provide additional inherited vTables which represent illegitimate call targets for the initial `nsInputStream` objects and legitimate call targets for the six fake objects which were added during the attack. Furthermore, declaration and usage of the objects can be wide spread in the source code. This makes detection of the object types (base class), range of vTables (longest vTable inheritance path for a particular call site) and parameter types of the vTable entries (functions) in which it is allowed to call a trivial task for source code (current research work is mostly concerned with performance issues) applications but a hard task in our opinion when one wants to apply similar security policies (e.g. which rely on parameter types of vTable entries) to executables.

### 3 TypeShield Overview

We now provide a short overview of the made assumptions and the threat model in Section 3. After this we give a high-level overview of the ideas of parameter count and type based classification in Section 3. Finally, Section 3 describes the impact of our tool against the COOP exploit.

**Adversary Model and Assumptions.** We largely use the same threat model and the same basic assumptions as described in the TypeArmor paper [44], meaning that our attacker has read and write access to the data sections of the attacked binary. We also assume that the protected binary does not contain selfmodifying code, handcrafted assembly or any kind of obfuscation. We also consider pages to be either writeable or executable but not both at the same time. Furthermore we assume that our attacker has the ability to execute a memory corruption to hijack the programs control flow. As our schema targets only forward control flow, namely indirect function calls, we assume that a solution for backward CFI edges is in place.

**Invariants for Targets and Callsites.** Advanced code reuse attacks attempt to change the calltargets that are invoked within indirect callsites, standard CFI solutions cannot defend against this and TypeArmor proposed the approach of creating two sets of invariants.

1. Indirect callsites provide a number of parameters (possibly overestimated compared to source)
2. Calltargets require a minimum number of parameters (possibly underestimated compared to source)

The main idea is now that a callsite might not call any function in the binary but only calltargets that do not require more parameters than provided by the callsite itself. To achieve this classification of calltargets and callsites, TypeArmor proposed to use a modified version of forward liveness analysis for calltargets and backward reaching definitions analysis for callsites.

**TypeShield Impact on COOP.** The problem with relying solely on the parameter count is that a callsite being classified as using 6 or more parameters can use basically all address taken functions within the binary. This is however counterproductive and we attempt a possible solution, by extending the classification schema to the single parameters themselves:

1. Indirect callsites provides a maximum wideness of value to each parameter (possibly overestimated compared to source)
2. Calltargets require a minimum widness of value for each parameter(possibly underestimated compared to source)

Basically the principle stays the same, but instead of just requiring that the callsite parameter count is not lower than the calltarget parameter count we now require the same also for the wideness of each parameter.

While there are still occurrences where callsites may target all calltargets, we split the buckets up into smaller ones, as shown in Figure 3. For example in the paramcount oriented schema a callsite classified as (32,32) would be able to call functions classified as (64,0), however in the parameter wideness oriented schema that is not possible.

### 4 Design

In the design of *TypeShield*, we cover various aspects, first of all we present the details of the *count* policy in Section 4 - as introduced by [44] - and the new *type* policy in Section 4. Then we describe general theory needed to transform set-based analyses to register based ones in Section 5. We follow this up by presenting the theory needed implement the analysis for calltargets in Section 5 and callsites in Section 5.4 for each policy. Finally, in Section 5.7 we introduce a version of address taken analysis based on [49] to restrict the number of available calltargets even more.

**Count Policy.** What we call the *count* policy is essentially the policy introduced by TypeArmor [44]. The basic idea revolves around classifying calltargets by the number of parameters they provide and callsites by the number of parameters they require. The schema to match those is that we have calltargets requiring parameters and the callsites providing parameters as depicted in Figure 4.

Furthermore, generating 100% precise measurements for such classification with binaries as the only source of information is rather difficult. Therefore overestimations of parameter count for callsites and underestimations of the parameter count for calltargets is deemed acceptable. This classification is based on the general purpose registers that the call convention of the current ABI - in this case the SystemV ABI

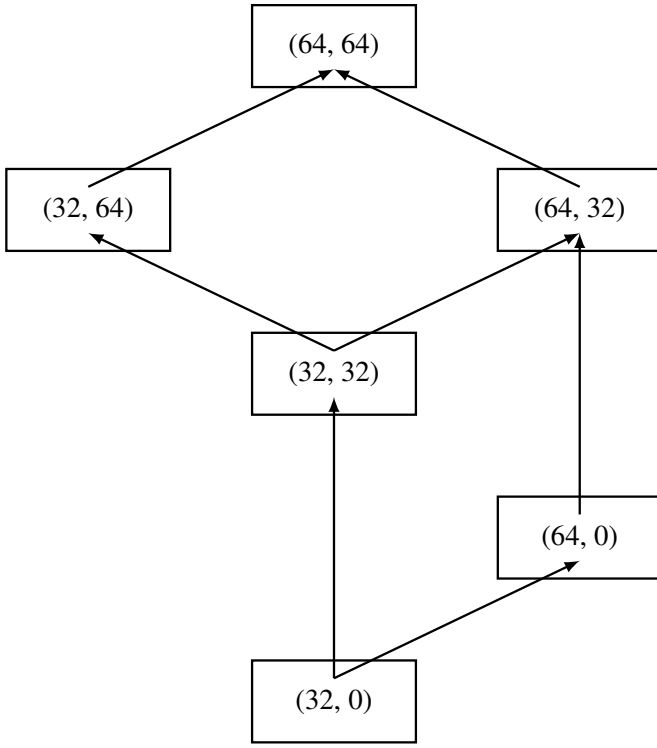


Figure 3: Example for the wideness based schema when only using a parameter wideness of 64, 32 and 0 bits.

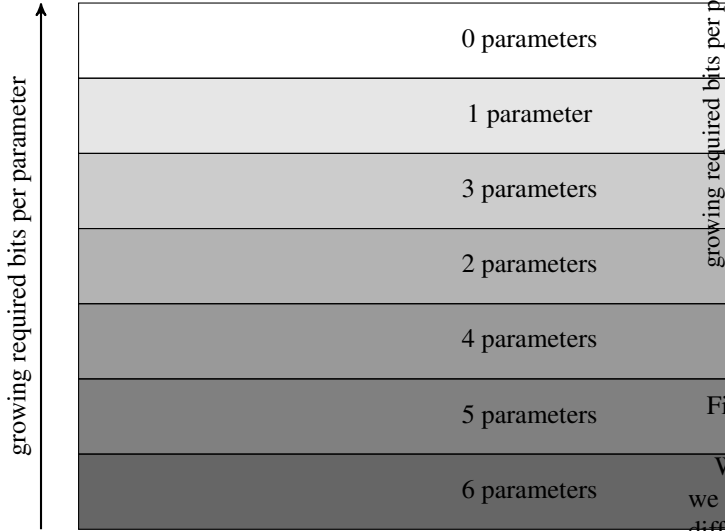


Figure 4: *Count* policy classification schema for callsites and calltargets.

- designates as parameter registers. Furthermore, we completely ignore floating point registers or multiinteger registers. The core of the *count* policy is now to allow any callsite  $cs$ , which provides  $c_{cs}$  parameters, to call any calltarget  $ct$ , which requires  $c_{ct}$  parameters, iff  $c_{ct} \leq c_{cs}$  holds. However, the main problem is that while there is a significant restric-

tion of calltargets for the lower callsites, the restriction capability drops rather rapidly when reaching higher parameter counts, with callsites that use 6 or more parameters being able to call all possible calltargets:  $\forall cs_1, cs_2. c_{cs_1} \leq c_{cs_2} \implies \|\{ct \in \mathcal{F} | c_{ct} \leq c_{cs_1}\}\| \leq \|\{ct \in \mathcal{F} | c_{ct} \leq c_{cs_2}\}\|$  One possible remedy would be the ability to introduce an upper bound for the classification deviation of parameter counts, however as of now, this does not seem feasible with current technology. Another possibility would be the overall reduction of callsites, which can access the same set of calltargets, a route we will explore within this work.

#### Type Policy.

What we call the *type* policy is the idea of not only relying on the parameter count but also on the type of a parameter. However due to complexity reasons, we are restricting ourselves to the general purpose registers, which the SystemV ABI designates as parameter registers. Furthermore we are not inferring the actual type of the data but the wideness of the data stored in the register. The schema again is that we have calltargets requiring wideness and the callsite providing wideness as depicted in Figure 5.

	param 6	param 5	param 4	param 3	param 2
	0 bits	0 bits	0 bits	0 bits	0 bits
	8 bits	8 bits	8 bits	8 bits	8 bits
	16 bits	16 bits	16 bits	16 bits	16 bits
	32 bits	32 bits	32 bits	32 bits	32 bits
	64 bits	64 bits	64 bits	64 bits	64 bits

Figure 5: *Type* policy schema for callsites and calltargets.

We are currently interested in x86-64 binaries, the registers we are looking at are 64bit registers that can be accessed in 4 different ways:

- the whole 64bits of the register, meaning a wideness of 64.
- the lower 32bits of the register, meaning a wideness of 32.
- the lower 16bits of the register, meaning a wideness of 16.
- the lower 8bits of the register, meaning a wideness of 8.

Four of those registers can also directly access the higher 8bits of the lower 16bits of the register. For our purpose

we register this access as a 16bit access. Based on this information, we can assign a register one of 5 possible types  $\mathcal{T} = \{64, 32, 16, 8, 0\}$ . We also included the type 0 to model the absence of data within a register. Similar to the *count* policy, we allow overestimation of types in callsites and underestimation of types in calltargets. However, the matching idea is different, because as can we depict in Figure 5, the type of a calltarget and a callsite no longer depends solely on its parameter count, each callsite and calltarget has its type from the set of  $\mathcal{T}^6$ , with the following comparison operator:  $u \leq_{type} v : \iff \forall_{i=0}^5 u_i \leq v_i$ , with  $u, v \in \mathcal{T}^6$ . Again we allow any callsite  $cs$  call any calltarget  $ct$ , when it fulfills the requirement  $ct \leq cs$ . The way we represent this is by letting the type for a calltarget parameter progress from 64bit to 0bit - If a calltarget requires a 32bit value in its 1st parameter, it also should accept a 64bit value from its callsite - and similarly we let the type for a callsite progress from 0bit to 64bit - If a callsite provides a 32bit value in its 1st parameter it also provides a 16bit, 8bit and 0bit to a calltarget. Now the advantage of the *type* policy in comparison to the *count* policy is that while our type comparison implies the count comparison, the other direction does not hold. Meaning, just having an equal or lesser number of parameters than a callsite, does no longer allow a calltarget being called there, thus restricting the number of calltargets per callsite even further. A function that requires 64bit in its first parameter, and 0bit in all other parameters, would have been callable by a callsite providing 8bit in its first and second parameter when using the *count* policy, however in the *type* policy this is no longer possible.

## 5 Instruction Analysis

Usually data-flow analysis algorithms are based on set of variable or sets of definitions, which both are basically unbounded. However, we are analysing the state of registers, which are baked into hardware and therefore their number is given, thus requiring us to adapt the data-flow theory to work on tuples.

The set  $\mathcal{I}$  describes all possible instructions that can occur within the executable section of a binary. (in our case this is based on the instruction set for x86-64 processors)

An instruction  $i \in \mathcal{I}$  can non-exclusively perform two kinds of operations on any number of existing registers:

1. Read  $n$  bits from the register with  $n \in \{64, 32, 16, 8\}$ .
2. Write  $n$  bits to the register with  $n \in \{64, 32, 16, 8\}$ .

Thus we describe the possible change that occurs in one register with the set  $S = \{w64, w32, w16, w8, 0\} \times \{r64, r32, r16, r8, 0\}$ . Note that 0 signals the absence of either a write or read access and (0,0) signals the absence of both. Furthermore  $wn$  or  $rn$  with  $n \in \{64, 32, 16, 8\}$  implies all  $wm$  or  $rm$  with  $m \in \{64, 32, 16, 8\}$  and  $m < n$  (e.g.  $r64$  implies  $r32$ ). Note that we exclude 0, as it means the absence of any access.

SystemV ABI specifies 16 general purpose integer registers, thus for our purpose we represent the change occurring at the processor level as  $\mathcal{S} = \mathcal{S}^{16}$ .

At last we declare a function, which calculates the change occurring in the processor state, when executing an instruction from  $\mathcal{I}$ :  $decode : \mathcal{I} \mapsto \mathcal{S}$

However, we do not go into detail how this function actually calculates this state, because we rely on external libraries to perform this task. Implementing this function ourself is out of scope due to the lengthy work required, as the x86-64 instruction set is quite large.

**Calltarget Analysis** For either *count* or *type* policy to work, we need to arrive at an underestimation of the required parameters by any function existing within the targeted binary. We will employ a modified version of liveness analysis that tracks registers instead of variables to generate the needed underestimation. As our algorithm will be customizable, we look at the required merge functions to implement *count* and *type* policy. Furthermore we need to eliminate the passing of variadic parameter lists from variadic functions, as this might cause our analysis to overestimate the required parameters.

### 5.1 Variable Liveness Analysis Theory

A variable is alive before the execution of an instruction, if at least one of the originating paths contains a read access before the variable is written to again. We employ liveness analysis, because we are looking for the parameters a function requires. This essentially requires read before write access, however global variables usually would also fall into this category, however these would not reside within parameter registers at the start of a function.

The book of Khedker et al. [22] defines live variable analysis on blocks in the following manner:

$$In_n := (Out_n - Kill_n) \cup Gen_n \quad (1a)$$

$$Out_n := \begin{cases} Bl & n \text{ is end block} \\ \bigcup_{s \in succ(n)} In_s & \text{otherwise} \end{cases} \quad (1b)$$

$Bl$  is the default state at the end of a path of execution and in our case reaching that state would mean that a variable has never been used (neither written nor read). The set  $Kill_n$  describes all variables that are no longer live after the block  $n$ , meaning that a variable occurring within this set has been written to. The set  $Gen_n$  describes all variables that are alive due to the block  $n$ , meaning that a variable occurring within this set has been read before it was written to.

However, we cannot use variable liveness analysis as is, because the analysis is based on potentially unbound variable sets, while we are restricted to a finite number of registers and states. We also require an underestimation of live variables and not an overestimation as provided by standard liveness analysis. Furthermore we have to define how to interpret the changes occurring within one block based on the the



**Function** *analyze*(*block* : *BasicBlock*) :  $\mathcal{S}^{\mathcal{L}}$  **is**

```

state = BI
foreach inst  $\in$  block do
  state' = analyze_instr(inst)
  state = merge_v(state, state')
end
states = {}
blocks = succ(block)
foreach block'  $\in$  blocks do
  state' = analyze(block')
  states = states  $\cup$  { state' }
end
state' = merge_h(states)
return merge_v(state, state')
end

```

Figure 6: Algorithm to analyse the liveness of a Basic Block.

change caused by its instructions. Considering this, we arrive at algorithm 6 to compute the liveness state at the start of a basic block.

This algorithm relies on various functions that can be used to configure its behaviour. We need to define the function *merge\_v*, which describes how to compound the state change of the current instruction and the current state, the function *merge\_h*, which describes how to merge the states of several paths, the instruction analysis function *analyze\_instr*. The function *succ*, which retrieves all possible successors of a block won't be implemented by us, because we rely on the DynInst instrumentation framework to achieve this.

$$\text{merge\_v} : \mathcal{S}^{\mathcal{L}} \times \mathcal{S}^{\mathcal{L}} \mapsto \mathcal{S}^{\mathcal{L}} \quad (2a)$$

$$\text{merge\_h} : \mathcal{P}(\mathcal{S}^{\mathcal{L}}) \mapsto \mathcal{S}^{\mathcal{L}} \quad (2b)$$

$$\text{analyze\_instr} : \mathcal{I} \mapsto \mathcal{S}^{\mathcal{L}} \quad (2c)$$

$$\text{succ} : \mathcal{I} \mapsto \mathcal{P}(\mathcal{I}) \quad (2d)$$

As the *analyze\_instr* function calculates the effect of an instruction and is the heart of the *analyze* function. It will also handle non jump and non fallthrough successors, as these are not handled by DynInst in our case. We essentially have four cases that we handle:

1. if the instruction is an indirect call or a direct call but we chose not follow calls, then return a state where all registers are considered written.
2. if the instruction is a direct call and we chose to follow calls, then we spawn a new analysis and return its result.
3. if the instruction is a constant write (e.g. xor of two registers) then we remove the read portion before we return the decoded state.
4. in all other cases we simply return the decoded state

This leaves us with the two merge functions remaining undefined and we will leave the implementation of these and the interpretation of the liveness state  $\mathcal{S}^{\mathcal{L}}$  into parameters up to the following subsections.

## 5.2 Required Parameter Count

To implement the *count* policy, we only need a coarse representation of the state of one register, thus we are interested in the following three different exclusive informations:

1. Was the register written to before its value could be read?  
We represent this with the state W.
2. Was the register read from before its value was overwritten?  
We represent this with the state R.
3. Did neither read nor write access occur for the register?  
We represent this with the state C.

This gives us the following register state  $S^{\mathcal{L}} = \{C, R, W\}$  which translates to the register superstate  $\mathcal{S}^{\mathcal{L}} = (S^{\mathcal{L}})^{16}$ . Now, we assume that unless the instructions we are looking at does discard the value it is reading (xor *rax rax* would be such an instruction that we call *const.write*) that reading does precede the writing withing one instruction. Furthermore we are only interested in the first occurrence of a R or W within one path, as following reads or writes do not give us more information. Therefore, we can define our vertical merge function in the following way:

$$\text{merge\_v'}(cur, delta) = \begin{cases} delta & cur = C \\ cur & otherwise \end{cases} \quad (3)$$

$$\text{merge\_v}(cur, delta) = (s'_0, \dots, s'_5) \text{ with } s'_j = \text{merge\_v'}(cur_j, delta_j) \quad (4)$$

Our horizontal merge function is a simple pairwise combination of the given set of states:

$$\text{merge\_h}(\{s\}) = s \quad (5)$$

$$\text{merge\_h}(\{s\} \cup s') = s \circ \text{merge\_h}(s') \quad (6)$$

We have three viable possibilities for our combination operator  $\circ$ , depicted in Table 1, which all give priority to W:

$\sqcap^{\mathcal{L}}$  is what we call the destructive combination operator, as it returns W on any mismatch.

$\cap^{\mathcal{L}}$  is what we call the intersection operator, as it returns C, when combining C and R, similar to an intersection.

$\cup^{\mathcal{L}}$  is what we call the union operator, as it returns R, when combining C and R similar to a union.

We apply the liveness analysis for each function with the entry block of the function as start and the return blocks as end and after an analysis run for a function, the index of highest parameter register based on the used callconvention that has the state R is considered to be the number of parameters a function at least requires to be prepared by a callsite.



$\sqcap^{\mathcal{L}}$	C	R	W
C	C	W	W
R	W	R	W
W	W	W	W
$\cup^{\mathcal{L}}$	C	R	W
C	C	R	W
R	R	R	W
W	W	W	W

Table 1: Different mappings for combining two liveness state values in horizontal matching for the *count* policy.

### 5.3 Required Parameter Wideness

To implement the *type* policy, we need a finer representation of the state of one register, thus we are interested in the following three different not necessarily exclusive informations:

1. Was the register written to before its value could be read ?  
We represent this with the state *W*.
2. How much was read from the register before its value was overwritten?  
We represent this with the states  $\{r8, r16, r32, r64\}$  using *R* as a placeholder for arbitrary reads.
3. Did neither read nor write access occur for the register ?  
We represent this with the state *C*.

This gives us the following register state  $S^{\mathcal{L}} = \{C, r8, r16, r32, r64, W\}$  which translates to the register superstate  $\mathcal{S}^{\mathcal{L}} = (S^{\mathcal{L}})^{16}$ . Now, we assume that unless the instructions we are looking at does discard the value it is reading (xor rax rax would be such an instruction that we call *const\_write*) that reading does preced the writing withing one instruction.

As there could happen more than one read of a register before it is written, we might be interested in more than just the first occurrence of a write or read on a path. We arrive therefore at three possible vertical merge functions:

- The same vertical merge operator as used in the *count* policy, which only gives us the first non *C* state (*merge\_v<sup>r</sup>*).
- A vertical merge operator that conceptually intersects all read accesses along a path until the first write occurs (*merge\_v<sup>i</sup>*).
- A vertical merge operator that conceptually calculates the union of all read accesses along a path until the first write occurs (*merge\_v<sup>u</sup>*).

Our horizontal merge function is a simple pairwise combination of the given set of states:

$$\text{merge}_h(\{s\}) = s \quad (7)$$

$$\text{merge}_h(\{s\} \cup s') = s \circ \text{merge}_h(s') \quad (8)$$

The results of our experiments with the implementation of calltarget classification gave presented us with essentially one possible candidate that we can base our horizontal merge function on, namely the union operator with an analysis function that follows into direct calls. The basic schema of the merging is depicted in 2 and it essentially behaves as if it was the union operator (when both states are set, the higher one is chosen). However, we have to account for *W* being used as an end marker, which is why we added mapping for *RW*, which is essentially that.

$\cup^{\mathcal{L}}$	C	R	W	RW
C	C	R	W	RW
R	R	$R^{\cup}$	W	$R^{\cup}W$
W	W	W	W	W
RW	RW	$R^{\cup}W$	W	RW

Table 2: The union mapping operator for liveness in the *type* policy.

### 5.4 Variadic Functions

Variadic functions are special functions in C/C++ that have a basic set of parameters, which they always require and a variadic set of parameters, which as the name suggests may vary. A prominent example of this would be the *printf* function, which is used to output text to *stdout*.

The problem with these functions is that to allow for easier processing of parameters usually all potential variadic parameters are moved into a contiguous block of memory, as can be seen in the assembly in Figure 7. Our analysis interprets that as a read access on all parameters and we arrive at a problematic overestimation.

Our solution to this problem is to find these spurious reads and ignore them. A compiler will implement this type of operation very similar foll all cases, thus we can achieve this using the following steps:

1. Look for what we call the xmm-passthrough block, which entirely consist of moving the values of registers *xmm0* to *xmm7* into contiguous memory (in our case basic block [0x422306, 0x42233d [ ).
2. Look at the predecessor of the xmm-passthrough block, which we call the entry block (in our case basic block [0x4222f0, 0x4222f2 [ ). Check if the successors of the entry block consist of the xmm-passthrough block and the successor of the xmm-passthrough block, which we call the param-passthrough block (in our case basic block [0x42233d, 0x42235e [ ).
3. Look at the param-passthrough block and set all instructions that move the value of a parameter register into memory to be ignored (in our case the instructions 0x42233d, 0x422342, 0x422347 and 0x42234c).

**Callsite Analysis** For either *count* or *type* policy to work, we need to arrive at an overestimation of the provided parameters by any indirect callsite existing within the targeted

```

0000000004222f0 <make_cmd>:
4222f0:    push    %r15
4222f2:    push    %r14
4222f4:    push    %rbx
4222f5:    sub     $0xd0,%rsp
4222fc:    mov     %esi,%r15d
4222ff:    mov     %rdi,%r14
422302:    test    %al,%al
422304:    je      42233d <make_cmd+0x4d>
422306:    movaps  %xmm0,0x50(%rsp)
42230b:    movaps  %xmm1,0x60(%rsp)
422310:    movaps  %xmm2,0x70(%rsp)
422315:    movaps  %xmm3,0x80(%rsp)
42231d:    movaps  %xmm4,0x90(%rsp)
422325:    movaps  %xmm5,0xa0(%rsp)
42232d:    movaps  %xmm6,0xb0(%rsp)
422335:    movaps  %xmm7,0xc0(%rsp)
42233d:    mov     %r9,0x48(%rsp)
422342:    mov     %r8,0x40(%rsp)
422347:    mov     %rcx,0x38(%rsp)
42234c:    mov     %rdx,0x30(%rsp)
422351:    mov     $0x50,%esi
422356:    mov     %r14,%rdi
422359:    callq   409430 <pccalloc>

```

Figure 7: ASM code of the `make_cmd` function with optimize level O2, which has a variadic parameter list.

binary. We will employ a modified version of reaching analysis that tracks registers instead of variables to generate the needed overestimation. As our algorithm will be customizable, we look at the required merge functions to implement *count* and *type* policy.

## 5.5 Reaching Definitions Theory

An assignment of a value to a variable is a reaching definition at the end of a block  $n$ , if that definition is present within at least one path from start to the end of the block  $n$  without being overwritten by another value assignment to the same variable. We employ reaching definitions analysis, because we are looking for the parameters a callsite provides. This essentially requires the last known set of definitions that reach the actual callinstruction within the parameter registers.

The book “Data Flow Analysis - Theory and Practice” [22] defines reaching definition analysis on blocks in the following manner:

$$In_n := \begin{cases} Bl & n \text{ is start block} \\ \bigcup_{p \in pred(n)} Out_p & \text{otherwise} \end{cases} \quad (9a)$$

$$Out_n := (In_n - Kill_n) \cup Gen_n \quad (9b)$$

$Bl$  is the default state at the start of a path of execution and in our case reaching that state would mean that we do not know whether a value has been provided for the variable and therefore we assume that one has been provided, reaching an overestimation. The set  $Kill_n$  describes all definitions that are

removed within this block, meaning that the value of a variable has been overwritten. The set  $Gen_n$  describes the new definitions that have been provided by the block  $n$ , meaning that the value of a variable has been assigned. Considering this, we can assume that  $Gen_n \subseteq Kill_n$ , as we can always create new definitions, but not simply remove definitions without assigning a new value to the variable.

**Function**  $analyze(block : BasicBlock) : \mathcal{S}^R$  **is**

```

state = Bl
foreach inst ∈ reversed(block) do
    state' = analyze_instr(inst)
    state = merge_v(state, state')
end
states = {}
blocks = pred(block)
foreach block' ∈ blocks do
    state' = analyse(block')
    states = states ∪ { state' }
end
state' = merge_h(states)
return merge_v(state, state')

```

**end**

Figure 8: Algorithm to analyse the reaching definitions of a Basic Block.

However, we cannot use reaching definition analysis as is, because the analysis is again based on potentially unbound variable sets, while we are restricted to a finite number of registers and states. This time however the analysis provides us with an overestimation, we however want to get a result as close as possible so we again want to customize merge functions. Furthermore we have to define how to interpret the changes occurring within one block based on the change caused by its instructions. Considering this, we arrive at algorithm 8 to compute the liveness state at the start of a basic block.

This algorithm relies on various functions that can be used to configure its behaviour. We need to define the function  $merge_v$ , which describes how to compound the state change of the current instruction and the current state, the function  $merge_h$ , which describes how to merge the states of several paths, the instruction analysis function  $analyze_instr$ . The function  $pred$ , which retrieves all possible predecessors of a block won't be implemented by us, because we rely on the DynInst instrumentation framework to achieve this.

$$merge_v : \mathcal{S}^R \times \mathcal{S}^R \mapsto \mathcal{S}^R \quad (10a)$$

$$merge_h : \mathcal{P}(\mathcal{S}^R) \mapsto \mathcal{S}^R \quad (10b)$$

$$analyze_instr : \mathcal{I} \mapsto \mathcal{S}^R \quad (10c)$$

$$pred : \mathcal{I} \mapsto \mathcal{P}(\mathcal{I}) \quad (10d)$$

As the  $analyze_instr$  function calculates the effect of an instruction and is the heart of the  $analyze$  function. It will also handle non jump and non fallthrough successors, as these are not handled by DynInst in our case. We essentially have three cases that we handle:

1. if the instruction is an indirect call or a direct call but we chose not follow calls, then return a state where all trashed are considered written.
2. if the instruction is a direct call and we chose to follow calls, then we spawn a new analysis and return its result.
3. in all other cases we simply return the decoded state.

This leaves us with the two merge functions remaining undefined and we will leave the implementation of these and the interpretation of the liveness state  $\mathcal{S}^L$  into parameters up to the following subsections.

## 5.6 Provided Parameter Count

To implement the *count* policy, we only need a coarse representation of the state of one register, thus we are interested in the following three different exclusive informations:

1. Was the register value trashed ?  
We represent this with the state T.
2. Was the register written to ?  
We represent this with the state S.
3. Was the register neither trashed nor written to ?  
We represent this with the state U.

This gives us the following register state  $\mathcal{S}^L = \{T, S, U\}$  which translates to the register superstate  $\mathcal{S}^R = (\mathcal{S}^L)^{16}$ . We are only interested in the first occurrence of a S or T within one path, as following reads or writes do not give us more information. Therefore, we can define our vertical merge function in the following way:

$$\text{merge}_v^r(\text{cur}, \text{delta}) = \begin{cases} \text{delta} & \text{cur} = U \\ \text{cur} & \text{otherwise} \end{cases} \quad (11)$$

$$\text{merge}_v(\text{cur}, \text{delta}) = (s'_0, \dots, s'_15) \text{ with } s'_j = \text{merge}_v^r(\text{cur}_j, \text{delta}_j) \quad (12)$$

Our horizontal merge function is a simple pairwise combination of the given set of states:

$$\text{merge}_h(\{s\}) = s \quad (13)$$

$$\text{merge}_h(\{s\} \cup s') = s \circ \text{merge}_h(s') \quad (14)$$

We have four viable possibilities for our combination operator  $\circ$ , depicted in table 3, which all (except one) give priority to T:

$\sqcap^R$  is what we call the destructive combination operator, as it returns T on any mismatch.

$\cap^R$  is what we call the intersection operator, as it returns U, when combining U and S, similar to an intersection.

$\cup^R$  is what we call the union operator, as it returns S, when combining U and S similar to a union.

$\sqcup^R$  is what we call the true union operator, as it gives S precedence over everything and returns T or U only when both sides are T or U being more inclusive than a union.

$\sqcap^R$	U	S	T	$\cap^R$	U	S	T
U	U	T	T	U	U	U	T
S	T	S	T	S	U	S	T
T	T	T	T	T	T	T	T
$\cup^R$	U	S	T	$\sqcup^R$	U	S	T
U	U	S	T	U	U	S	T
S	S	S	T	S	S	S	S
T	T	T	T	T	T	S	T

Table 3: Different mappings for combining two reaching state values in horizontal matching for the *count* policy.

## 5.7 Provided Parameter Wideness

To implement the *type* policy, we need a finer representation of the state of one register, thus we are interested in the following three informations:

1. Was the register value trashed ?  
We represent this with the state T.
2. Was the register written to and how much ?  
We represent this with the states  $\{s64, s32, s16, s8\}$  using S as a placeholder for arbitrary writes.
3. Was the register neither trashed nor written to ?  
We represent this with the state U.

This gives us the following register state  $\mathcal{S}^L = \{T, s64, s32, s16, s8, U\}$  which translates to the register superstate  $\mathcal{S}^R = (\mathcal{S}^L)^{16}$ . Again, we are only interested in the first occurrence of a state that is not U in a path, as following reads or writes do not give us more information.

Therefore we can use the same vertical merge function as for the *count* policy, which is essentially a passthrough until the first non U state.

Our horizontal merge function is again a simple pairwise combination of the given set of states:

$$\text{merge}_h(\{s\}) = s \quad (15)$$

$$\text{merge}_h(\{s\} \cup s') = s \circ \text{merge}_h(s') \quad (16)$$

However, we have different possibilities regarding the merge operator. Experiments with our implementations for callsite classification in the *count* policy have given us the following results:

- The best candidate to minimize the problematic matches is the union operator without following direct calls.
- The best candidate to maximize precision is the intersection operator with following direct calls.

We therefore arrive at three viable possibilities for our combination operator  $\circ$ , depicted in table 4, which all (except one) give priority to T:

$\cap^R$  is what we call the intersection operator, as it returns U, when combining U and S, similar to an intersection furthermore we also calculate the intersection of states when both states are set (the lower of the two is returned).

$\cap^R$	U	S	T
U	U	U	T
S	U	$S^\cap$	T
T	T	T	T
$\cup^R$	U	S	T
U	U	S	T
S	S	$S^\cup$	T
T	T	T	T

Table 4: Different mappings for combining two reaching state values in horizontal matching for the *type* policy.

$\cap^R$  is what we call the half intersection operator, as it returns U, when combining U and S, similar to an intersection but we calculate the union of states when both states are set (the higher of the two is returned).

$\cup^R$  is what we call the union operator, as it returns S, when combining U and S similar to a union furthermore we calculate the union of states when both states are set (the higher of the two is returned).

Initial experiments with this implementation showed two problems regarding provided wideness detection. Parameter lists with “holes” and address wideness underestimation.

**Parameter lists with “holes”** refers to parameter lists that show one or more void parameters between start to the last actual parameter. These are not existant in actual code but our analysis has the possibility of generating them through the merge operations. An example would be the following: A parameter list of (64,0,64,0,0,0) is concluded, although the actual parameter list might be (64,32,64,0,0,0). While the trailing 0es are what we expect, the 0 at the second parameter position will cause trouble, because it is an underestimation at the single parameter level, which we need to avoid. Our solution is to simply scan our reaching analysis result for these holes and replace them with the wideness 64, causing a (possible) overestimation.

**Address wideness underestimation** refers to the problem that while in the callsite a constant value of 32bit is written to a register, however the calltarget uses the whole 64bit register. This can occur when pointers are passed from the callsite to the calltarget. Specifically this happens when pointers to memory inside the “.bss”, “.data” or “.rodata” section of the binary are passed. Our solution is to enhance our instruction analysis to watch out for constant writes. In case a 32bit constant value write is detected, we check if the value is an address within the “.bss”, “.data” or “.rodata” section of the binary. If this is the case, we simply return a write access of 64bits instead of 32bits. (This is not problematic, because we are looking for an overestimation of parameter wideness) It should be noted that the same problem can arise when a constant write causes the value 0 to be written to a 32bit register. We use the same solution and set the wideness to 64bits instead of 32bits.

## Address Taken Analysis

As of now, we use the maximum available set of calltargets - the set of all function entry basic blocks - as input for our algorithm. To restrict the number of calltargets per callsite even further, we explored the possibility of incorporating an address taken analysis into our application. We base our theory on the paper by Zhang and Sekar[49], which introduced various types of taken addresses. An address is considered to be taken, when it is loaded into memory or a register.

## 5.8 Address Taken Targets

Based on the notions of [49], which classified taken addresses into several types of indirect control flow targets, we only chose Code Pointer Constants (CK) and discarded the others:

- Code Pointer Constants (CK) are addresses that are calculated during the compilation of the binary and point within the possible range of addresses in the current module or to instruction boundaries. We are however only interested in addresses that directly point to an entry basic block of a function, as these are the only valid targets for any callsite.
- Computed code pointers (CC) are the result of simple pointer arithmetic, however these are only used for intra procedural jumps. We rely on DynInst to resolve those and only focus on indirect callsites, therefore these are of no interest to us.
- Exception handling addresses (EH) are used to handle exceptions within C++ functions and are modelled as jumps within the function. These are therefore within the normal controlflow that we rely on DynInst to resolve for us.
- Exported function addresses (ES) are essentially functions that point outside of our current module (usually to dynamically linked libraries) and are implemented as jumps, which are of no concern to us, because our analysis is only concerned about the current object.
- Return addresses (RA), which are the addresses next to a call instruction, are also of no interest to us, because we only implement forward control flow integrity.

## 5.9 Binary Analysis

Our approach of identifying taken addresses consists of two steps: First, we iterate over the raw binary content of data sections. Second, we iterate over all functions within the disassembled binary. We rely on DynInst to provide us with the boundaries of the sections inside the binary and in case of shared libraries with the needed translation to current memory addresses:

1. We look at three different data sections of the binary, which could possibly contain taken addresses: the .data, .rodata and .dynsym sections. As [49] proposed, we

slide a four byte window over the data within those sections and look for addresses that point to function entry blocks. However, we are looking at x64 binaries therefore we additionally use an eight byte window. In case of shared libraries, we need to let DynInst translate the raw address, we extracted, so we can perform the function check.

2. We specifically look for instructions that load a constant value into a register or memory, and again check whether the address points to the entry block of a function.

## 6 Implementation

We implemented *TypeShield* as a module pass for the di-opt environment from patharmor[43], which relies on the DynInst [7] instrumentation framework (we are using version 9.2.0). However, converting to a standalone executable is also possible, as we do not rely on any patharmor feature except for the pass abstraction.

Our module pass relies on Dyninst, to resolve the structure of the binaries that we analyse. The core part of our pass is an instruction analyser, which relies on the DynamoRIO [36] library (version 6.6.1) to decode single instructions and provide access to its information. This analyser is then used to implement basic analyses, especially our version of the reaching and liveness analyses, which can be customised with relative ease, as we allow for arbitrary path merging functions, however we provide the three basic versions (destructive, intersection and union).

Furthermore, we had to patch the DynInst library to allow for local annotation of calltargets with arbitrary information, leveraging its relocation schema, which relies on the BasicBlock abstraction.

Additionally, to measure the quality and performance of our tool, we wrote a pass for the Clang/LLVM framework version 4.0.0 (trunk 283889) in the x86 target code generation portion, to generate ground truth data. This data is then used to verify the output of our tool for several testtargets, which is done in our python evaluation and test environment.

In total we implemented *TypeShield* in 5123 lines of C++ code, our Clang/LLVM-pass in 200 lines of C++ code and our test environment in 2674 lines of python code (all lines of code data is given excluding empty lines and comments).

At this stage of development we are restricted to analysis and instrumentation of x86-64 bit elf binaries using the SystemV call convention, because the DynInst library does not yet support the Windows platform. However, there is currently work being done to allow DynInst to also work with Windows binaries. We restricted ourselves to the SystemV call convention as most C/C++ compilers on linux implement this ABI, however we encapsulated most ABI dependent behaviour, so it should be possible to implement other ABIs with relative ease. Therefore, we deem it possible to implement *TypeShield* for the Windows platform in the near future, as we do not use any other platform-dependent API's.

## 7 Evaluation

As we do not have access to the source code of typearmor, we implemented two modes in *TypeShield*. The first mode of our tool is an approximate implementation of what we understand is the *count* policy implemented by typearmor. The second mode is our implementation of the *type* policy on top of our implementation of the *count* policy. In our evaluation of the two modes of *TypeShield*, we are trying to answer the following two questions:

- R1 How precise is *TypeShield* in recovering parameter count and type information for callsites and calltargets from a given binary?
- R2 How effective is *TypeShield* in restricting the possible number of calltargets per callsite?

We evaluated our *TypeShield* by instrumenting various open source applications and analyzing the result. We used the two ftp server applications vsftpd (version 1.1.0) and proftpd (version 1.3.3), the two http server applications postgresql (version 9.0.10) and mysql (5.1.65), the memory cache application memcached (version 1.4.20) and the node.js server application node (version 0.12.5). We chose these applications, which are a subset of the applications also used by the typearmor paper[44] to allow for later comparison.

We setup our environment within a VirtualBox (version 5.0.26r) instance, which runs Kubuntu 16.04 LTS (Linux Kernel version 4.4.0) and has access to 3GB of RAM and 4 of 8 provided hardware threads (Intel i7-4170HQ @ 2.50 GHz).

### 7.1 Precision of *TypeShield*

To measure the precision of *TypeShield*, we need to compare the classification of callsites and calltargets as is given by our tool to some sort of ground truth for our testtargets. We generate this ground truth by compiling our testtargets using a custom compiled Clang/LLVM compiler (version 4.0.0 trunk 283889) with a MachineFunction pass inside the x86 code generation implementation of LLVM. We essentially collect three data points for each callsite/calltarget from our LLVM-pass:

1. The point of origination, which is either the name of the calltarget or the name of the function the callsite resides in.
2. The return type that is either expected by the callsite or provided by the calltarget.
3. The parameter list that is provided by the callsite or expected by the calltarget, which discards the variadic argument list.

However, before we can proceed to measure the quality and precision of *TypeShield*'s classification of calltargets and callsites using our ground truth, we need to evaluate the quality and applicability of the ground truth, we collected.

### 7.1.1 Quality and Applicability of Ground Truth

To assess the applicability of our collected ground truth, we essentially need to assess the structural compatability of our two datasets. First we take a look at the comparability of calltargets, which is quite high throughout optimization levels. Then we take a look at the compatability of callsites, which is qualitatively low in the higher optimization levels, while five of our testtargets start with 0% mismatch in O0, mysql stays throughout all levels at a constant mismatch rate of around 18%, and the others between 2% and 17%.

**Calltargets** The obvious choice for structural comparison regarding calltargets is their name, as these are simply functions. First, we have to however remove internal functions from our datasets like the `_init` or `_fini` functions, which are of no consequence for us. Furthermore, while C functions can simply be matched by their name as they are unique through the binary, the same cannot be said about the language C++. One of the key differences between C and C++ is function overloading, which allows to define several functions with the same name, as long as they differ in namespace or parameter type. As LLVM does not know about either concept, the Clang compiler needs to generate unique names. The method used for unique name generation is called mangling and composes the actual name of the function, its the return type, its namespace and the types of its parameter list. We therefore need to reverse this process, which is called demangling and then compare the fully typed names. The table 5 shows three data points regarding calltargets for optimization levels O0, O1, O2 and O3:

1. The number of comparable calltargets that are found in both datasets
2. The number of calltargets that are found by *TypeShield* but not by our Clang/LLVM pass, named Clang miss
3. The number of calltargets that are found by our Clang/LLVM pass but not by *TypeShield*, named tool miss

The problematic column is the Clang miss column, as these might indicate problems with *TypeShield*. These numbers are relatively low (below 1%) throughout optimization levels, with only node showing a significant higher value than the rest of around 1.6%. The column labeled tool miss lists higher numbers, however these are of no real concern to us, as our ground truth pass possibly collects more data: All source files used during the compilation of our testtargets are incorporated into our ground dtruth. The compilation might generate more than one binary and therefore not necessary all sourcefiles are used for our testtarget.

Considering this, we can safely state that our structural matching between ground truth and *TypeShield* regarding calltargets is nearly perfect (above 98%)

**Callsites** While our structural matching of calltargets is rather simple, we have not so much luck regarding Callsites.

O0 Target	calltargets			match	Clang
	match	Clang miss	tool miss		
proftpd	1171	3 (0.25%)	19 (1.59%)	174	0 (0.0%)
vsftpd	391	3 (0.76%)	0 (0.0%)	2	0 (0.0%)
lighttpd	354	2 (0.56%)	472 (57.14%)	68	0 (0.0%)
nginx	1098	3 (0.27%)	0 (0.0%)	283	0 (0.0%)
mysqld	13020	16 (0.12%)	5453 (29.51%)	6464	1269 (10.0%)
postgres	9273	4 (0.04%)	2119 (18.6%)	688	1 (0.0%)
memcached	233	1 (0.42%)	87 (27.18%)	47	9 (100%)
node	20638	339 (1.61%)	620 (2.91%)	8599	1983 (100%)

O1					
proftpd	1171	3 (0.25%)	19 (1.59%)	70	5 (0.0%)
vsftpd	391	3 (0.76%)	0 (0.0%)	2	0 (0.0%)
lighttpd	352	2 (0.56%)	469 (57.12%)	52	1 (0.0%)
nginx	1097	3 (0.27%)	0 (0.0%)	216	4 (0.0%)
mysqld	12682	16 (0.12%)	5444 (30.03%)	5401	926 (100%)
postgres	9265	4 (0.04%)	2118 (18.6%)	443	65 (100%)
memcached	232	1 (0.42%)	88 (27.5%)	44	3 (0.0%)
node	20638	339 (1.61%)	620 (2.91%)	8599	1983 (100%)

O2					
proftpd	1015	0 (0.0%)	15 (1.45%)	112	7 (0.0%)
vsftpd	318	0 (0.0%)	0 (0.0%)	14	0 (0.0%)
lighttpd	290	0 (0.0%)	311 (51.74%)	48	7 (100%)
nginx	921	0 (0.0%)	0 (0.0%)	234	3 (0.0%)
mysqld	9742	13 (0.13%)	3690 (27.47%)	6671	883 (100%)
postgres	6930	1 (0.01%)	1512 (17.91%)	565	71 (100%)
memcached	133	0 (0.0%)	91 (40.62%)	47	3 (0.0%)
node	20638	339 (1.61%)	620 (2.91%)	8599	1983 (100%)

O3					
proftpd	1007	0 (0.0%)	14 (1.37%)	136	7 (0.0%)
vsftpd	314	0 (0.0%)	0 (0.0%)	18	0 (0.0%)
lighttpd	289	0 (0.0%)	308 (51.59%)	49	9 (100%)
nginx	908	0 (0.0%)	0 (0.0%)	240	3 (0.0%)
mysqld	9703	13 (0.13%)	3665 (27.41%)	6946	953 (100%)
postgres	6848	1 (0.01%)	1491 (17.87%)	613	73 (100%)
memcached	133	0 (0.0%)	89 (40.09%)	47	3 (0.0%)
node	20638	339 (1.61%)	620 (2.91%)	8599	1983 (100%)

Table 5: Table shows the quality of structural matching provided by our automated verify and test environment, regarding callsites and calltargets when compiling with optimization levels O0 through O3. The label Clang miss denotes elements not found in the dataset of the Clang/LLVM pass. The label tool miss denotes elements not found in the dataset of *TypeShield*.

While our tool can provide accurate addressing of callsites within the binary, Clang/LLVM does not have such capabilities in its intermediate representation. Therefore we assume that the ordering of callsites stays roughly the same within one function and that we exclude all functions, which report a different amount of callsites in both datasets. The table 5 shows three data points regarding callsites for optimization levels O0, O1, O2 and O3:

1. The number of comparable callsites that are found in both datasets.
2. The number of callsites that are discarded due to mismatch from the dataset of *TypeShield*, named Clang miss.
3. The number of callsites that are discarded due to mismatch from the dataset of our Clang/LLVM pass, named tool miss.

Second, we look at callsites and this is more problematic, as Clang/LLVM does not have a notion of instruction address in its IR, therefore we assume the ordering in a function is the same in both datasets and when the callsite count is not the same for dyninst and Clang/padyn, we discard it. There are several reasons for mismatch: One is the tailcall optimization, which means that a call instructions at the end of a function are converted into jump instructions. Another one is callsite merging, which happens when a call to a function exists several times within a function and the compiler can merge the paths to this function. Furthermore we already eliminated multiple compilations of the same sourcefile during one test-target compilation (this would have skewed the results in the case of memcached).

Normally up to 20% mismatch would not be that much of a problem, however this percentage is only based on the number of callsites per function and we cannot really give any guarantees that the callsites in both datasets are the same. (Although for O0 there is quite a high possibility due to the absence of nearly all optimizations, however mysql and node remain problematic).

### 7.1.2 Precision Calltarget Classification (*count*)

We are going to present the experiments and values to find the best possible combination operator for the calltarget analysis in the *count* policy.

**Experiment Setup** To choose the best possible combination operator for the calltarget analysis in implementing the *count* policy, we generated data for all six possible versions of liveness analysis.

1. Destructive combination operator with an *analyze* function that does not follow into occurring direct calls see Table 6 for results.
2. Destructive combination operator with an *analyze* function that follows into occurring direct calls see Table 6 for results.

3. Intersection combination operator with an *analyze* function that does not follow into occurring direct calls see Table 6 for results.
4. Intersection combination operator with an *analyze* function that follows into occurring direct calls see Table 6 for results.
5. Union combination operator with an *analyze* function that does not follow into occurring direct calls see Table 7 for results.
6. Union combination operator with an *analyze* function that follows into occurring direct calls see Table 7 for results.

For each possible version we measured two data points per testtarget, the number and ratio of perfect classifications and the number and ratio of problematic classifications, which in this case refers to overestimations.

**Results** The results of destructive combination and intersection combination are the same. Regardless of operator choice the problem rate is extremely low (under 0.1%). Overall there is a slight improvement when following calls in all result sets. The union operator (geometric mean for O2: 82.24%) is slightly more precise than the destructive/intersection operator (geometric mean for O2: 79.93%). Which presents us the union combination operator as the best possible option here.



O0		not following calls		O0		following calls		following calls	
Target	#	perfect	problem	Target	#	perfect	problem	perfect	problem
proftpd	1171	1132(96.66%)	30(2.56%)	proftpd	1064(90.86%)	1132(96.66%)	30(2.56%)	1132(96.66%)	30(2.56%)
vsftpd	391	388(99.23%)	0(0.0%)	vsftpd	388(99.23%)	388(99.23%)	0(0.0%)	388(99.23%)	0(0.0%)
lighttpd	354	353(99.71%)	1(0.28%)	lighttpd	350(98.87%)	353(99.71%)	1(0.28%)	353(99.71%)	1(0.28%)
nginx	1098	1090(99.27%)	7(0.63%)	nginx	1078(98.17%)	1090(99.27%)	7(0.63%)	1090(99.27%)	7(0.63%)
mysqld	13020	12744(97.88%)	30(0.23%)	mysqld	12697(97.51%)	12744(97.88%)	30(0.23%)	12744(97.88%)	30(0.23%)
postgres	9273	9057(97.67%)	14(0.15%)	postgres	9037(97.45%)	9057(97.67%)	14(0.15%)	9057(97.67%)	14(0.15%)
memcached	233	229(98.28%)	2(0.85%)	memcached	227(97.42%)	229(98.28%)	2(0.85%)	229(98.28%)	2(0.85%)
node	20638	15124(73.28%)	0(0.0%)	node	15315(74.2%)	15626(75.71%)	0(0.0%)	15626(75.71%)	0(0.0%)
O1				O1					
proftpd	1171	1010(86.25%)	0(0.0%)	proftpd	1027(87.7%)	1020(87.1%)	0(0.0%)	1020(87.1%)	0(0.0%)
vsftpd	391	288(73.65%)	0(0.0%)	vsftpd	306(78.26%)	289(73.91%)	0(0.0%)	289(73.91%)	0(0.0%)
lighttpd	352	306(86.93%)	0(0.0%)	lighttpd	309(87.78%)	320(90.9%)	0(0.0%)	320(90.9%)	0(0.0%)
nginx	1097	848(77.3%)	1(0.09%)	nginx	854(77.84%)	880(80.21%)	0(0.0%)	880(80.21%)	1(0.09%)
mysqld	12682	8469(66.77%)	3(0.02%)	mysqld	12682(79.58%)	8626(68.01%)	4(0.03%)	8626(68.01%)	4(0.03%)
postgres	9265	7772(83.88%)	1(0.01%)	postgres	8984(79.84%)	7921(85.49%)	1(0.01%)	7921(85.49%)	1(0.01%)
memcached	232	205(88.36%)	0(0.0%)	memcached	7952(85.82%)	209(90.08%)	0(0.0%)	209(90.08%)	0(0.0%)
node	20638	15124(73.28%)	0(0.0%)	node	20638(79.9%)	15626(75.71%)	0(0.0%)	15626(75.71%)	0(0.0%)
O2				O2					
proftpd	1015	874(86.1%)	0(0.0%)	proftpd	1015	880(86.69%)	0(0.0%)	880(86.69%)	0(0.0%)
vsftpd	318	232(72.95%)	0(0.0%)	vsftpd	883(88.99%)	233(73.07%)	0(0.0%)	233(73.07%)	0(0.0%)
lighttpd	290	251(86.55%)	0(0.0%)	lighttpd	248(79.98%)	266(90.72%)	0(0.0%)	266(90.72%)	0(0.0%)
nginx	921	691(75.02%)	0(0.0%)	nginx	253(87.24%)	720(78.07%)	0(0.0%)	720(78.07%)	0(0.0%)
mysqld	9742	6512(66.84%)	1(0.01%)	mysqld	695(7.14%)	6684(68.61%)	1(0.01%)	6684(68.61%)	1(0.01%)
postgres	6930	5752(83.0%)	0(0.0%)	postgres	656(9.6%)	5865(84.5%)	0(0.0%)	5865(84.5%)	0(0.0%)
memcached	133	117(87.96%)	0(0.0%)	memcached	5878(84.81%)	117(87.96%)	0(0.0%)	117(87.96%)	0(0.0%)
node	20638	15124(73.28%)	0(0.0%)	node	117(0.78%)	15626(75.71%)	0(0.0%)	15626(75.71%)	0(0.0%)
O3				O3					
proftpd	1007	871(86.49%)	0(0.0%)	proftpd	1007	877(87.09%)	0(0.0%)	877(87.09%)	0(0.0%)
vsftpd	314	230(73.24%)	0(0.0%)	vsftpd	879(87.28%)	231(73.56%)	0(0.0%)	231(73.56%)	0(0.0%)
lighttpd	289	253(87.54%)	0(0.0%)	lighttpd	246(78.34%)	268(92.73%)	0(0.0%)	268(92.73%)	0(0.0%)
nginx	908	677(74.55%)	0(0.0%)	nginx	908	708(77.97%)	0(0.0%)	708(77.97%)	0(0.0%)
mysqld	9703	6472(66.7%)	0(0.0%)	mysqld	9703	6655(68.58%)	0(0.0%)	6655(68.58%)	0(0.0%)
postgres	6848	5687(83.04%)	0(0.0%)	postgres	681(7.5%)	5803(84.74%)	0(0.0%)	5803(84.74%)	0(0.0%)
memcached	133	117(87.96%)	0(0.0%)	memcached	6526(77.25%)	117(87.96%)	0(0.0%)	117(87.96%)	0(0.0%)
node	20638	15124(73.28%)	0(0.0%)	node	5802(84.72%)	15626(75.71%)	0(0.0%)	15626(75.71%)	0(0.0%)
					117(87.96%)	0(0.0%)		0(0.0%)	

Table 7: The results for calltarget analysis using the union combination operator for the *count* policy throughout different optimizations.

Table 6: The results for calltarget analysis using the destructive/intersection combination operator for the *count* policy throughout different optimizations.

### 7.1.3 Precision Callsite Classification (*count*)

We are going to present two series of experiments and values to find the best possible combination operator for the callsite analysis in the *count* policy.

### 7.1.4 Without inter-procedural Analysis

**Experiment Setup** To choose the best possible combination operator for the callsite analysis in implementing the *count* policy without a backward inter-procedural analysis, we generated data for all eight possible versions of reaching definition analysis.

1. Destructive/intersection combination operator with an *analyze* function that does not follow into occurring direct calls see Table 8 for results.
2. Destructive/intersection combination operator with an *analyze* function that follows into occurring direct calls see Table 8 for results.
3. Union combination operator with an *analyze* function that does not follow into occurring direct calls see Table 9 for results.
4. Union combination operator with an *analyze* function that follows into occurring direct calls see Table 9 for results.
5. Union combination operator with an *analyze* function that does not follow into occurring direct calls see Table 10 for results.
6. Union combination operator with an *analyze* function that follows into occurring direct calls see Table 10 for results.

For each possible version we measured two data points per testtarget, the number and ratio of perfect classifications and the number and ratio of problematic classifications, which in this case refers to underestimations.

**Results** The results of destructive combination and intersection combination are the same. The true union operator is overall inferior to the union operator. The union operator exhibits the lowest error rate when not following calls and is therefore designated a candidate for the safe version of the callsite combination operator. The destructive/intersection operator exhibits the highest precision (geometric mean for O2: 80.11%) and is therefore designate a candidate for the precision version of the callsite combination operator (we chose the version that follows functions).

O0 Target	#	not following calls	
		perfect	problem
proftpd	174	106(60.91%)	0(0.0%)
vsftpd	2	1(50.0%)	0(0.0%)
lighttpd	68	16(23.52%)	0(0.0%)
nginx	283	129(45.58%)	0(0.0%)
mysqld	6464	746(11.54%)	77(1.19%)
postgres	688	247(35.9%)	10(1.45%)
memcached	47	1(2.12%)	0(0.0%)
node	8599	5886(68.44%)	489(5.68%)
O1			
proftpd	70	57(81.42%)	7(10.0%)
vsftpd	2	2(100.0%)	0(0.0%)
lighttpd	52	37(71.15%)	9(17.3%)
nginx	216	172(79.62%)	24(11.11%)
mysqld	5401	4038(74.76%)	362(6.7%)
postgres	443	375(84.65%)	27(6.09%)
memcached	44	39(88.63%)	0(0.0%)
node	8599	5886(68.44%)	489(5.68%)
O2			
proftpd	112	100(89.28%)	4(3.57%)
vsftpd	14	14(100.0%)	0(0.0%)
lighttpd	48	34(70.83%)	8(16.66%)
nginx	234	181(77.35%)	27(11.53%)
mysqld	6671	4758(71.32%)	673(10.08%)
postgres	565	484(85.66%)	30(5.3%)
memcached	47	39(82.97%)	0(0.0%)
node	8599	5886(68.44%)	489(5.68%)
O3			
proftpd	136	122(89.7%)	6(4.41%)
vsftpd	18	18(100.0%)	0(0.0%)
lighttpd	49	35(71.42%)	8(16.32%)
nginx	240	186(77.5%)	27(11.25%)
mysqld	6946	4956(71.35%)	727(10.46%)
postgres	613	535(87.27%)	29(4.73%)
memcached	47	39(82.97%)	0(0.0%)
node	8599	5886(68.44%)	489(5.68%)

Table 8: The results for callsite analysis using the destructive/intersection combination operator for the *count* without inter-procedural analysis policy throughout different optimizations.

O0		not following calls		O0		following calls		following calls	
Target	#	perfect	problem	Target	#	perfect	problem	perfect	problem
proftpd	174	63(36.2%)	0(0.0%)	proftpd	65	37(56.9%)	61(35.05%)	0(0.0%)	0(0.0%)
vsftpd	2	0(0.0%)	0(0.0%)	vsftpd	0	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
lighttpd	68	1(1.47%)	0(0.0%)	lighttpd	1	1(100%)	0(0.0%)	0(0.0%)	0(0.0%)
nginx	283	23(8.12%)	0(0.0%)	nginx	26	28(107.7%)	30(115.4%)	0(0.0%)	0(0.0%)
mysqld	6464	466(7.2%)	77(1.19%)	mysqld	352	546(155.1%)	4367(1241.9%)	77(1.19%)	77(1.19%)
postgres	688	76(11.04%)	10(1.45%)	postgres	100	168(168%)	91(91%)	10(10%)	10(10%)
memcached	47	0(0.0%)	0(0.0%)	memcached	0	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
node	8599	2187(25.43%)	102(1.18%)	node	2277	2797(122.8%)	2338(102.7%)	132(5.8%)	132(5.8%)
O1				O1					
proftpd	70	25(35.71%)	0(0.0%)	proftpd	7	7(100%)	22(314.29%)	0(0.0%)	0(0.0%)
vsftpd	2	0(0.0%)	0(0.0%)	vsftpd	0	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
lighttpd	52	2(3.84%)	0(0.0%)	lighttpd	0	0(0.0%)	1(100%)	0(0.0%)	0(0.0%)
nginx	216	60(27.77%)	4(1.85%)	nginx	52	24(46.15%)	49(94.23%)	4(1.85%)	4(1.85%)
mysqld	5401	1490(27.58%)	70(1.29%)	mysqld	1546	240(15.52%)	1403(90.8%)	70(1.29%)	70(1.29%)
postgres	443	148(33.4%)	0(0.0%)	postgres	132	24(18.18%)	143(108.33%)	0(0.0%)	0(0.0%)
memcached	44	30(68.18%)	0(0.0%)	memcached	28	6(21.43%)	29(103.57%)	0(0.0%)	0(0.0%)
node	8599	2187(25.43%)	102(1.18%)	node	2277	2797(122.8%)	2121(92.7%)	101(1.17%)	101(1.17%)
O2				O2					
proftpd	112	56(50.0%)	0(0.0%)	proftpd	23	20(86.96%)	53(229.9%)	0(0.0%)	0(0.0%)
vsftpd	14	4(28.57%)	0(0.0%)	vsftpd	1	7(700%)	4(400%)	0(0.0%)	0(0.0%)
lighttpd	48	2(4.16%)	0(0.0%)	lighttpd	0	0(0.0%)	1(100%)	0(0.0%)	0(0.0%)
nginx	234	66(28.2%)	4(1.7%)	nginx	56	23(41.07%)	54(96.43%)	4(1.7%)	4(1.7%)
mysqld	6671	1921(28.79%)	112(1.67%)	mysqld	2044	60(2.94%)	1771(86.69%)	112(1.67%)	112(1.67%)
postgres	565	179(31.68%)	0(0.0%)	postgres	165	30(18.18%)	174(105.45%)	0(0.0%)	0(0.0%)
memcached	47	30(63.82%)	0(0.0%)	memcached	29	6(20.69%)	29(100%)	0(0.0%)	0(0.0%)
node	8599	2181(25.36%)	101(1.17%)	node	2280	2791(122.4%)	2121(92.6%)	101(1.17%)	101(1.17%)
O3				O3					
proftpd	136	74(54.41%)	0(0.0%)	proftpd	32	23(71.88%)	71(221.88%)	0(0.0%)	0(0.0%)
vsftpd	18	6(33.33%)	0(0.0%)	vsftpd	5	27(540%)	6(120%)	0(0.0%)	0(0.0%)
lighttpd	49	2(4.08%)	0(0.0%)	lighttpd	0	0(0.0%)	1(100%)	0(0.0%)	0(0.0%)
nginx	240	69(28.75%)	4(1.66%)	nginx	62	24(38.7%)	56(90.32%)	4(1.66%)	4(1.66%)
mysqld	6946	2037(29.32%)	110(1.58%)	mysqld	2173	64(2.94%)	1874(86.25%)	110(1.58%)	110(1.58%)
postgres	613	207(33.76%)	0(0.0%)	postgres	198	6(3.03%)	202(101.51%)	0(0.0%)	0(0.0%)
memcached	47	30(63.82%)	0(0.0%)	memcached	28	5(17.86%)	29(103.57%)	0(0.0%)	0(0.0%)
node	8599	2187(25.43%)	102(1.18%)	node	2279	2795(122.6%)	2127(93.3%)	102(1.18%)	102(1.18%)

Table 9: The results for callsite analysis using the union combination operator for the *count* without inter-procedural analysis policy throughout different optimizations.

Table 10: The results for callsite analysis using the true union combination operator for the *count* without inter-procedural analysis policy throughout different optimizations.

### 7.1.5 With inter-procedural Analysis

**Experiment Setup** To choose the best possible combination operator for the callsite analysis in implementing the *count* policy with a backward inter-procedural analysis, we generated data for all eight possible versions of reaching definition analysis.

1. Destructive/Intersection combination operator with an *analyze* function that does not follow into occurring direct calls see Table 11 for results.
2. Destructive/Intersection combination operator with an *analyze* function that follows into occurring direct calls see Table 11 for results.
3. Union combination operator with an *analyze* function that does not follow into occurring direct calls see Table 12 for results.
4. Union combination operator with an *analyze* function that follows into occurring direct calls see Table 12 for results.
5. Union combination operator with an *analyze* function that does not follow into occurring direct calls see Table 13 for results.
6. Union combination operator with an *analyze* function that follows into occurring direct calls see Table 13 for results.

For each possible version we measured two data points per *testtarget*, the number and ratio of perfect classifications and the number and ratio of problematic classifications, which in this case refers to underestimations.

**Results** The results are essentially the same as in the experiment series without inter-procedural analysis, but with slightly higher precision. The results of destructive combination and intersection combination are the same. The true union operator is overall inferior to the union operator. The union operator exhibits the lowest error rate when not following calls and is therefore designated the safe version of the callsite combination operator, as it is superior in precision (geometric mean for O2: 35.79%) to the non inter-procedural version (geometric mean for O2: 26.55%). The destructive/intersection operator exhibits the highest precision (geometric mean for O2: 87.85%) and is therefore designated the best operator for the precision version of the callsite combination operator (we chose the version that follows functions).

O0 Target	#	not following calls	
		perfect	problem
proftpd	174	106(60.91%)	0(0.0%)
vsftpd	2	1(50.0%)	0(0.0%)
lighttpd	68	16(23.52%)	0(0.0%)
nginx	283	130(45.93%)	0(0.0%)
mysqld	6464	780(12.06%)	77(1.19%)
postgres	688	260(37.79%)	10(1.45%)
memcached	47	2(4.25%)	0(0.0%)
node	8599	5947(69.15%)	493(5.73%)
O1			
proftpd	70	57(81.42%)	7(10.0%)
vsftpd	2	2(100.0%)	0(0.0%)
lighttpd	52	37(71.15%)	9(17.3%)
nginx	216	172(79.62%)	25(11.57%)
mysqld	5401	4075(75.44%)	366(6.77%)
postgres	443	380(85.77%)	27(6.09%)
memcached	44	40(90.9%)	0(0.0%)
node	8599	5947(69.15%)	493(5.73%)
O2			
proftpd	112	100(89.28%)	4(3.57%)
vsftpd	14	14(100.0%)	0(0.0%)
lighttpd	48	34(70.83%)	8(16.66%)
nginx	234	181(77.35%)	28(11.96%)
mysqld	6671	4789(71.78%)	673(10.08%)
postgres	565	489(86.54%)	30(5.3%)
memcached	47	44(93.61%)	0(0.0%)
node	8599	5947(69.15%)	493(5.73%)
O3			
proftpd	136	122(89.7%)	6(4.41%)
vsftpd	18	18(100.0%)	0(0.0%)
lighttpd	49	35(71.42%)	8(16.32%)
nginx	240	186(77.5%)	28(11.66%)
mysqld	6946	4985(71.76%)	727(10.46%)
postgres	613	540(88.09%)	29(4.73%)
memcached	47	44(93.61%)	0(0.0%)
node	8599	5947(69.15%)	493(5.73%)

Table 11: The results for callsite analysis using the destructive/intersection combination operator for the *count* with inter-procedural analysis policy throughout different optimizations.

O0		not following calls		O0		following calls		following calls	
Target	#	perfect	problem	Target	#	perfect	problem	perfect	problem
proftpd	174	63(36.2%)	0(0.0%)	proftpd	83(477%)	61(36.05%)	0(0.0%)	61(36.05%)	0(0.0%)
vsftpd	2	1(50.0%)	0(0.0%)	vsftpd	1(50.0%)	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
lighttpd	68	1(1.47%)	0(0.0%)	lighttpd	7(10.6%)	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
nginx	283	32(11.3%)	0(0.0%)	nginx	78(27.5%)	30(10.6%)	0(0.0%)	30(10.6%)	0(0.0%)
mysqld	6464	480(7.42%)	77(1.19%)	mysqld	490(6.45%)	436(6.14%)	77(1.19%)	436(6.14%)	77(1.19%)
postgres	688	102(14.82%)	10(1.45%)	postgres	157(22.81%)	91(12.45%)	10(1.45%)	91(12.45%)	10(1.45%)
memcached	47	0(0.0%)	0(0.0%)	memcached	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
node	8599	2424(28.18%)	132(1.53%)	node	3455(39.97%)	2332(27.18%)	132(1.53%)	2332(27.18%)	132(1.53%)
O1				O1					
proftpd	70	25(35.71%)	0(0.0%)	proftpd	32(45.71%)	22(31.43%)	0(0.0%)	22(31.43%)	0(0.0%)
vsftpd	2	0(0.0%)	0(0.0%)	vsftpd	1(50.0%)	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
lighttpd	52	13(25.0%)	0(0.0%)	lighttpd	9(17.3%)	1(1.92%)	0(0.0%)	1(1.92%)	0(0.0%)
nginx	216	76(35.18%)	4(1.85%)	nginx	123(56.94%)	62(28.55%)	4(1.85%)	62(28.55%)	4(1.85%)
mysqld	5401	1570(29.06%)	79(1.46%)	mysqld	2425(44.89%)	1456(26.95%)	79(1.46%)	1456(26.95%)	79(1.46%)
postgres	443	159(35.89%)	1(0.22%)	postgres	231(52.14%)	149(33.58%)	1(0.22%)	149(33.58%)	1(0.22%)
memcached	44	30(68.18%)	0(0.0%)	memcached	36(81.81%)	29(65.91%)	0(0.0%)	29(65.91%)	0(0.0%)
node	8599	2410(28.02%)	129(1.5%)	node	3459(39.92%)	2323(27.01%)	129(1.5%)	2323(27.01%)	129(1.5%)
O2				O2					
proftpd	112	56(50.0%)	0(0.0%)	proftpd	53(47.32%)	53(47.32%)	0(0.0%)	53(47.32%)	0(0.0%)
vsftpd	14	4(28.57%)	0(0.0%)	vsftpd	8(57.14%)	4(28.57%)	0(0.0%)	4(28.57%)	0(0.0%)
lighttpd	48	14(29.16%)	0(0.0%)	lighttpd	13(27.08%)	2(4.17%)	0(0.0%)	2(4.17%)	0(0.0%)
nginx	234	81(34.61%)	6(2.56%)	nginx	141(60.25%)	71(30.34%)	6(2.56%)	71(30.34%)	6(2.56%)
mysqld	6671	2079(31.16%)	141(2.11%)	mysqld	2775(41.59%)	1907(28.58%)	141(2.11%)	1907(28.58%)	141(2.11%)
postgres	565	189(33.45%)	0(0.0%)	postgres	315(55.73%)	183(32.39%)	0(0.0%)	183(32.39%)	0(0.0%)
memcached	47	30(63.82%)	0(0.0%)	memcached	38(80.85%)	29(61.7%)	0(0.0%)	29(61.7%)	0(0.0%)
node	8599	2418(28.11%)	137(1.59%)	node	3473(39.98%)	2330(26.97%)	137(1.59%)	2330(26.97%)	137(1.59%)
O3				O3					
proftpd	136	74(54.41%)	0(0.0%)	proftpd	70(51.36%)	71(51.24%)	0(0.0%)	71(51.24%)	0(0.0%)
vsftpd	18	6(33.33%)	0(0.0%)	vsftpd	14(77.77%)	6(33.33%)	0(0.0%)	6(33.33%)	0(0.0%)
lighttpd	49	14(28.57%)	0(0.0%)	lighttpd	12(24.49%)	2(4.08%)	0(0.0%)	2(4.08%)	0(0.0%)
nginx	240	90(37.5%)	5(2.08%)	nginx	134(55.83%)	78(32.5%)	5(2.08%)	78(32.5%)	5(2.08%)
mysqld	6946	2202(31.7%)	143(2.05%)	mysqld	2939(42.31%)	2013(28.95%)	143(2.05%)	2013(28.95%)	143(2.05%)
postgres	613	217(35.39%)	0(0.0%)	postgres	353(57.58%)	211(34.1%)	0(0.0%)	211(34.1%)	0(0.0%)
memcached	47	30(63.82%)	0(0.0%)	memcached	38(80.85%)	29(61.7%)	0(0.0%)	29(61.7%)	0(0.0%)
node	8599	2411(28.03%)	132(1.53%)	node	3454(39.96%)	2324(26.97%)	132(1.53%)	2324(26.97%)	132(1.53%)

Table 12: The results for callsite analysis using the union combination operator for the *count* with inter-procedural analysis policy throughout different optimizations.

Table 13: The results for callsite analysis using the true union combination operator for the *count* with inter-procedural analysis policy throughout different optimizations.

### 7.1.6 Precision Calltarget Classification (*type*)

We are going to present a series of experiments and values to find the best possible combination operator for the calltarget analysis in the *type* policy.

**Experiment Setup** To choose the best possible combination operator for the calltarget analysis in implementing the *type* policy, we conducted three experiments based on the data of the Precision Calltarget Classification(*count*) experiment and the proposed implementations for the *type* policy:

exp1 union combination operator with an *analyze* function that does follow into occurring direct calls and a vertical merge that only accepts the first change see Table 14 for results.

exp2 union combination operator with an *analyze* function that does follow into occurring direct calls and a vertical merge that unions all reads until the first write see Table 14 for results.

exp3 union combination operator with an *analyze* function that does follow into occurring direct calls and a vertical merge that intersects all reads until the first write see Table 15 for results.

For each possible version we measured two data points per testtarget, the number and ratio of perfect classifications and the number and ratio of problematic classifications, which in this case refers to overestimations.

**Result** The series exp2 shows the lowest problem rate of the three series. Regarding precision the values are as follows relatively equal:

- The series exp1 exhibits a geometric mean of 72.42% for precision in O2.
- The series exp2 exhibits a geometric mean of 72.25% for precision in O2.
- The series exp3 exhibits a geometric mean of 72.52% for precision in O2.

Due to exp2 exhibiting the lowest error value, we designate this setup as the best setup for analysing the calltargets in the *type* policy.

O0 Target	#	exp1	
		perfect	problem
proftpd	1171	803(68.57%)	359(30.65%)
vsftpd	391	388(99.23%)	0(0.0%)
lighttpd	354	349(98.58%)	5(1.41%)
nginx	1098	1004(91.43%)	93(8.46%)
mysqld	13020	11193(85.96%)	1581(12.14%)
postgres	9273	9032(97.4%)	39(0.42%)
memcached	233	223(95.7%)	8(3.43%)
node	20638	13673(66.25%)	479(2.32%)
O1			
proftpd	1171	996(85.05%)	11(0.93%)
vsftpd	391	279(71.35%)	4(1.02%)
lighttpd	352	295(83.8%)	4(1.13%)
nginx	1097	758(69.09%)	1(0.09%)
mysqld	12682	7947(62.66%)	447(3.52%)
postgres	9265	7230(78.03%)	701(7.56%)
memcached	232	191(82.32%)	15(6.46%)
node	20638	13673(66.25%)	479(2.32%)
O2			
proftpd	1015	855(84.23%)	11(1.08%)
vsftpd	318	230(72.32%)	3(0.94%)
lighttpd	290	239(82.41%)	6(2.06%)
nginx	921	599(65.03%)	0(0.0%)
mysqld	9742	5712(58.63%)	327(3.35%)
postgres	6930	5291(76.34%)	585(8.44%)
memcached	133	104(78.19%)	10(7.51%)
node	20638	13673(66.25%)	479(2.32%)
O3			
proftpd	1007	848(84.21%)	11(1.09%)
vsftpd	314	228(72.61%)	3(0.95%)
lighttpd	289	239(82.69%)	6(2.07%)
nginx	908	586(64.53%)	0(0.0%)
mysqld	9703	5695(58.69%)	315(3.24%)
postgres	6848	5231(76.38%)	571(8.33%)
memcached	133	104(78.19%)	10(7.51%)
node	20638	13673(66.25%)	479(2.32%)

Table 14: The results for calltarget analysis for exp1 and exp2 of the *type* policy throughout different optimizations.

### 7.1.7 Precision Callsite Classification (*type*)

O0 Target	#	exp3	
		perfect	problematic
proftpd	1171	802(68.48%)	363(30.99%)
vsftpd	391	388(99.23%)	0(0.00%)
lighttpd	354	349(98.58%)	5(1.41%)
nginx	1098	1004(91.43%)	93(8.46%)
mysqld	13020	11178(85.85%)	1606(12.33%)
postgres	9273	9031(97.39%)	40(0.43%)
memcached	233	223(95.7%)	8(3.43%)
node	20638	13693(66.34%)	530(2.56%)
<b>O1</b>			
proftpd	1171	993(84.79%)	14(1.19%)
vsftpd	391	279(71.35%)	4(1.02%)
lighttpd	352	296(84.09%)	5(1.42%)
nginx	1097	758(69.09%)	1(0.09%)
mysqld	12682	7954(62.71%)	459(3.61%)
postgres	9265	7221(77.93%)	717(7.73%)
memcached	232	192(82.75%)	15(6.46%)
node	20638	13693(66.34%)	530(2.56%)
<b>O2</b>			
proftpd	1015	853(84.03%)	13(1.28%)
vsftpd	318	230(72.32%)	3(0.94%)
lighttpd	290	240(82.75%)	7(2.41%)
nginx	921	599(65.03%)	0(0.00%)
mysqld	9742	5714(58.65%)	338(3.46%)
postgres	6930	5280(76.19%)	600(8.65%)
memcached	133	105(78.94%)	10(7.51%)
node	20638	13693(66.34%)	530(2.56%)
<b>O3</b>			
proftpd	1007	846(84.01%)	13(1.29%)
vsftpd	314	228(72.61%)	3(0.95%)
lighttpd	289	240(83.04%)	7(2.42%)
nginx	908	586(64.53%)	0(0.00%)
mysqld	9703	5699(58.73%)	324(3.33%)
postgres	6848	5221(76.24%)	585(8.54%)
memcached	133	105(78.94%)	10(7.51%)
node	20638	13693(66.34%)	530(2.56%)

Table 15: The results for calltarget analysis for exp3 of the *type* policy throughout different optimizations.



O0		exp1		O0		exp2		exp3	
Target	#	perfect	problem	Target	#	perfect	problem	perfect	problem
proftpd	174	106(60.91%)	1(0.57%)	proftpd	106(60.91%)	63(36.25%)	1(0.57%)	63(36.25%)	1(0.57%)
vsftpd	2	1(50.0%)	0(0.0%)	vsftpd	1(50.0%)	1(50.0%)	0(0.0%)	1(50.0%)	0(0.0%)
lighttpd	68	15(22.05%)	0(0.0%)	lighttpd	15(22.05%)	1(6.67%)	0(0.0%)	1(6.67%)	0(0.0%)
nginx	283	130(45.93%)	44(15.54%)	nginx	130(45.93%)	32(11.54%)	44(15.54%)	32(11.54%)	44(15.54%)
mysqld	6464	632(9.77%)	383(5.92%)	mysqld	632(9.77%)	344(5.32%)	383(5.92%)	344(5.32%)	383(5.92%)
postgres	688	236(34.3%)	46(6.68%)	postgres	236(34.3%)	81(11.67%)	46(6.68%)	81(11.67%)	46(6.68%)
memcached	47	2(4.25%)	4(8.51%)	memcached	2(4.25%)	0(0.0%)	4(8.51%)	0(0.0%)	4(8.51%)
node	8599	4829(56.15%)	1227(14.26%)	node	4828(56.14%)	1664(19.35%)	871(10.12%)	1664(19.35%)	871(10.12%)

O1		O1		O1		O1		O1	
proftpd	70	57(81.42%)	7(10.0%)	proftpd	57(81.42%)	25(35.71%)	0(0.0%)	25(35.71%)	0(0.0%)
vsftpd	2	2(100.0%)	0(0.0%)	vsftpd	2(100.0%)	0(0.0%)	0(0.0%)	0(0.0%)	0(0.0%)
lighttpd	52	36(69.23%)	10(19.23%)	lighttpd	36(69.23%)	13(25.0%)	1(1.92%)	13(25.0%)	1(1.92%)
nginx	216	144(66.66%)	54(25.0%)	nginx	144(66.66%)	53(24.53%)	34(15.74%)	53(24.53%)	34(15.74%)
mysqld	5401	3641(67.41%)	555(10.27%)	mysqld	3638(67.35%)	1196(22.14%)	287(5.31%)	1196(22.14%)	287(5.31%)
postgres	443	338(76.29%)	30(6.77%)	postgres	337(76.07%)	127(28.66%)	4(0.9%)	127(28.66%)	4(0.9%)
memcached	44	4(9.09%)	7(15.9%)	memcached	4(9.09%)	0(0.0%)	7(15.9%)	0(0.0%)	7(15.9%)
node	8599	4829(56.15%)	1227(14.26%)	node	4828(56.14%)	1650(19.18%)	868(10.09%)	1650(19.18%)	868(10.09%)

O2		O2		O2		O2		O2	
proftpd	112	100(89.28%)	4(3.57%)	proftpd	100(89.28%)	4(3.57%)	0(0.0%)	4(3.57%)	0(0.0%)
vsftpd	14	14(100.0%)	0(0.0%)	vsftpd	14(100.0%)	56(50.0%)	0(0.0%)	56(50.0%)	0(0.0%)
lighttpd	48	33(68.75%)	9(18.75%)	lighttpd	33(68.75%)	4(8.33%)	0(0.0%)	4(8.33%)	0(0.0%)
nginx	234	151(64.52%)	59(25.21%)	nginx	151(64.52%)	14(29.16%)	1(2.08%)	14(29.16%)	1(2.08%)
mysqld	6671	4189(62.79%)	918(13.76%)	mysqld	4187(62.76%)	918(13.76%)	38(16.23%)	918(13.76%)	38(16.23%)
postgres	565	443(78.4%)	31(5.48%)	postgres	442(78.21%)	160(24.48%)	418(6.26%)	160(24.48%)	418(6.26%)
memcached	47	5(10.63%)	10(21.27%)	memcached	5(10.63%)	154(22.57%)	1(0.17%)	154(22.57%)	1(0.17%)
node	8599	4829(56.15%)	1227(14.26%)	node	4828(56.14%)	1659(19.29%)	876(10.18%)	1659(19.29%)	876(10.18%)

O3		O3		O3		O3		O3	
proftpd	136	122(89.7%)	6(4.41%)	proftpd	122(89.7%)	6(4.41%)	0(0.0%)	6(4.41%)	0(0.0%)
vsftpd	18	18(100.0%)	0(0.0%)	vsftpd	18(100.0%)	74(54.41%)	0(0.0%)	74(54.41%)	0(0.0%)
lighttpd	49	34(69.38%)	9(18.36%)	lighttpd	34(69.38%)	6(39.13%)	0(0.0%)	6(39.13%)	0(0.0%)
nginx	240	153(63.75%)	62(25.83%)	nginx	153(63.75%)	14(28.57%)	1(2.04%)	14(28.57%)	1(2.04%)
mysqld	6946	4358(62.74%)	986(14.19%)	mysqld	4356(62.41%)	659(11.19%)	40(16.66%)	659(11.19%)	40(16.66%)
postgres	613	492(80.26%)	30(4.89%)	postgres	491(80.09%)	169(24.48%)	433(6.23%)	169(24.48%)	433(6.23%)
memcached	47	5(10.63%)	10(21.27%)	memcached	5(10.63%)	179(22.27%)	1(0.16%)	179(22.27%)	1(0.16%)
node	8599	4829(56.15%)	1227(14.26%)	node	4828(56.14%)	1643(19.1%)	869(10.1%)	1643(19.1%)	869(10.1%)

Table 16: The results for callsite analysis for exp1 and exp2 of the *type* policy throughout different optimizations.

Table 17: The results for enhanced callsite analysis for exp3 of the *type* policy throughout different optimizations.

## 7.2 Effectiveness of *TypeShield*

We are now going to evaluate the effectiveness of *TypeShield* leveraging the result of several experiment runs: First we are going to establish a baseline using the data collected from our Clang/LLVM pass, which are the theoretical limits our implementation can reach. Second we are going to evaluate the effectiveness of our *count* policy and third we are going to evaluate the effectiveness of our *type* policy. At last we are going to look at the effect our address taken analysis had on the results.

## 7.3 Theoretical Limits

We explore the theoretical limits regarding the effectiveness of the *count* and *type* policies by relying on the collected ground truth data, which is essentially assume perfect classification.

**Experiment Setup** Based on the type information collected by our Clang/LLVM pass, we conducted two experiment series:

1. We derived the *count* schema using the ground truth and calculated the available number of calltargets for each callsite, see table 18 for results.
2. We derived the *type* schema using the ground truth and calculated the available number of calltargets for each callsite, see table 18 for results.

For each series we collected three data points per test target, the average number of calltargets per callsite, the standard deviation  $\sigma$  and the median.

### Results

1. The theoretical limit of the *count* schema has an overall geometric mean of 959 possible calltargets, which is 53.75% of the geometric mean of total available calltargets.
2. The theoretical limit of the *count* schema has an overall geometric mean of 823 possible calltargets, which is 46.10% of the geometric mean of total available calltargets.

When compared, the theoretical limit of the *type* policy allows about 15% less available calltargets in the geomean in O2 than the limit of the *count* policy.

O0 Target	AT	<i>count</i> * limit (avg $\pm$ $\sigma$ )				median
proftpd	1171	927.71	$\pm$	203.99		941.0
vsftpd	391	300.0	$\pm$	73.0		300.0
lighttpd	354	216.33	$\pm$	77.55		154.0
nginx	1098	611.94	$\pm$	294.31		636.0
mysqld	13020	8421.79	$\pm$	2658.56		8994.0
postgres	9273	6549.07	$\pm$	1720.76		6868.0
memcached	233	211.59	$\pm$	23.55		229.0
node	20638	12841.45	$\pm$	4441.18		14062.0
O1						
proftpd	1171	926.04	$\pm$	221.56		948.0
vsftpd	391	302.0	$\pm$	72.0		302.0
lighttpd	352	221.73	$\pm$	80.81		155.0
nginx	1097	624.31	$\pm$	294.24		638.0
mysqld	12682	7951.85	$\pm$	2601.33		5583.0
postgres	9265	6546.99	$\pm$	1688.43		6902.0
memcached	232	213.15	$\pm$	22.24		228.0
node	20638	12841.45	$\pm$	4441.18		14062.0
O2						
proftpd	1015	835.01	$\pm$	167.84		920.0
vsftpd	318	212.85	$\pm$	58.27		176.0
lighttpd	290	182.93	$\pm$	70.83		223.0
nginx	921	520.19	$\pm$	243.08		518.0
mysqld	9742	6065.19	$\pm$	1985.69		4304.0
postgres	6930	4654.53	$\pm$	1641.72		5161.0
memcached	133	117.14	$\pm$	16.02		129.0
node	20638	12841.45	$\pm$	4441.18		14062.0
O3						
proftpd	1007	831.04	$\pm$	160.34		912.0
vsftpd	314	217.33	$\pm$	59.86		175.0
lighttpd	289	183.22	$\pm$	69.86		222.0
nginx	908	514.2	$\pm$	240.9		508.0
mysqld	9703	6064.58	$\pm$	1986.4		4294.0
postgres	6848	4652.45	$\pm$	1579.86		5108.0
memcached	133	117.14	$\pm$	16.02		129.0
node	20638	12841.45	$\pm$	4441.18		14062.0

Table 18: The results of comparing theoretical limits for the different restriction policies throughout different optimizations.

### 7.3.1 TypeShield implementation of the *count* policy

We explore the effectiveness of our safe and precise versions for the *count* policy implementation.

**Experiment Setup** We setup our two experiment series based on our previous evaluations regarding the classification precision for the *count* policy.

1. For the safe version, we chose the union combination operator, with *analyse* that follows into occurring direct calls to calculate the calltarget invariant. For the callsite invariant, we chose the union operator that does not follow into occurring direct calls with backwards interprocedural analysis. See table 19 for results.
2. For the precise version, we chose the union combination operator, with *analyse* that follows into occurring direct calls to calculate the calltarget invariant. For the callsite invariant, we chose the intersection operator that follows into occurring direct calls with backwards interprocedural analysis. See table 19 for results.

For each series we collected three data points per test target, the average number of calltargets per callsite, the standard deviation  $\sigma$  and the median.

#### Results

1. The average number of available targets provided by the safe implementation of the *count* schema has an overall geometric mean of 1283 possible calltargets, which is 71.87% of the geometric mean of total available calltargets. This is 33.78% more than the theoretical limit of available calltargets per callsite.
2. The average number of available targets provided by the precise implementation of the *count* schema has an overall geometric mean of 1030 possible calltargets, which is 57.70% of the geometric mean of total available calltargets. This is 7.40% more than the theoretical limit of available calltargets per callsite.

When compared, the precise implementation of the *count* policy allows about 20% less available calltargets in the geomean in O2 than the safe implementation of the *count* policy.

O0 Target	AT	<i>count</i> safe limit (avg $\pm$ $\sigma$ )			median
proftpd	1171	750.16	$\pm$ 152.55		729.0
vsftpd	391	377.5	$\pm$ 4.5		377.0
lighttpd	354	342.05	$\pm$ 9.79		320.0
nginx	1098	933.11	$\pm$ 201.85		992.0
mysqld	13020	11111.56	$\pm$ 1066.53		10571.0
postgres	9273	8328.49	$\pm$ 978.13		8596.0
memcached	233	228.1	$\pm$ 8.63		233.0
node	20638	18222.52	$\pm$ 2466.56		14996.0
<b>O1</b>					
proftpd	1171	1107.32	$\pm$ 31.15		1081.0
vsftpd	391	379.0	$\pm$ 4.0		379.0
lighttpd	352	330.59	$\pm$ 10.28		325.0
nginx	1097	960.72	$\pm$ 241.52		1083.0
mysqld	12682	11276.47	$\pm$ 1292.4		11091.0
postgres	9265	8140.28	$\pm$ 708.58		8047.0
memcached	232	224.56	$\pm$ 6.27		228.0
node	20638	18233.35	$\pm$ 2447.49		14996.0
<b>O2</b>					
proftpd	1015	954.41	$\pm$ 27.08		961.0
vsftpd	318	298.14	$\pm$ 29.45		306.0
lighttpd	290	270.64	$\pm$ 23.16		286.0
nginx	921	801.91	$\pm$ 210.01		913.0
mysqld	9742	8560.9	$\pm$ 1323.59		8550.0
postgres	6930	6074.52	$\pm$ 525.69		6012.0
memcached	133	126.08	$\pm$ 5.04		129.0
node	20638	18221.13	$\pm$ 2479.72		14996.0
<b>O3</b>					
proftpd	1007	940.87	$\pm$ 37.63		927.0
vsftpd	314	296.83	$\pm$ 26.16		303.0
lighttpd	289	268.93	$\pm$ 22.94		284.0
nginx	908	779.63	$\pm$ 215.74		900.0
mysqld	9703	8528.42	$\pm$ 1308.98		8516.0
postgres	6848	5985.35	$\pm$ 509.83		5942.0
memcached	133	126.08	$\pm$ 5.04		129.0
node	20638	18232.43	$\pm$ 2452.4		14996.0

Table 19: The results of comparing *count* safe and precision implementation throughout different optimizations.

### 7.3.2 TypeShield implementation of the *type* policy

We explore the effectiveness of our safe and precise versions for the *type* policy implementation.

**Experiment Setup** We setup our two experiment series based on our previous evaluations regarding the classification precision for the *type* policy.

1. For the safe version, we chose the union combination operator with an *analyze* function that does follow into occurring direct calls and a vertical merge that unions all reads until the first write to calculate the calltarget invariant. For the callsite invariant, we chose the union combination operator with an *analyze* function that does not follow into occurring direct calls with backward inter-procedural analysis. See table 20 for results.
2. For the precise version, we chose union combination operator with an *analyze* function that does follow into occurring direct calls and a vertical merge that unions all reads until the first write to calculate the calltarget invariant. For the callsite invariant, we chose the intersection combination operator that intersects when both paths are set with an *analyze* function that does follow into occurring direct calls with backward inter-procedural analysis. See table 20 for results.

For each series we collected three data points per test target, the average number of calltargets per callsite, the standard deviation  $\sigma$  and the median.

#### Results

1. The average number of available targets provided by the safe implementation of the *type* schema has an overall geometric mean of 1144 possible calltargets, which is 64.08% of the geometric mean of total available calltargets. This is 39.00% more than the theoretical limit of available calltargets per callsite.
2. The average number of available targets provided by the precise implementation of the *type* schema has an overall geometric mean of 907 possible calltargets, which is 50.81% of the geometric mean of total available calltargets. This is 10.20% more than the theoretical limit of available calltargets per callsite

When compared, the precise implementation of of the *count* policy allows about 21% less available calltargets in the geomean in O2 than the safe implementation of the *count* policy.

O0 Target	AT	<i>type</i> safe limit (avg $\pm$ $\sigma$ )			median
proftpd	1171	679.45	$\pm$ 145.3		1171.0
vsftpd	391	235.0	$\pm$ 144.0		235.0
lighttpd	354	310.79	$\pm$ 60.33		354.0
nginx	1098	795.97	$\pm$ 289.99		589.0
mysqld	13020	10196.16	$\pm$ 1781.68		10571.0
postgres	9273	7652.07	$\pm$ 1795.83		8596.0
memcached	233	170.78	$\pm$ 18.48		160.0
node	20638	16570.18	$\pm$ 3552.24		17944.0
<b>O1</b>					
proftpd	1171	1055.98	$\pm$ 143.19		1138.0
vsftpd	391	266.0	$\pm$ 109.0		266.0
lighttpd	352	308.11	$\pm$ 55.24		352.0
nginx	1097	881.37	$\pm$ 285.65		913.0
mysqld	12682	10727.18	$\pm$ 1638.6		12682.0
postgres	9265	7508.15	$\pm$ 1642.85		8047.0
memcached	232	179.11	$\pm$ 22.83		168.0
node	20638	16578.76	$\pm$ 3544.12		17944.0
<b>O2</b>					
proftpd	1015	895.17	$\pm$ 122.6		935.0
vsftpd	318	246.14	$\pm$ 81.88		306.0
lighttpd	290	249.08	$\pm$ 53.1		152.0
nginx	921	732.73	$\pm$ 238.77		618.0
mysqld	9742	8082.58	$\pm$ 1528.73		9742.0
postgres	6930	5678.06	$\pm$ 1157.81		6012.0
memcached	133	96.23	$\pm$ 14.3		90.0
node	20638	16558.62	$\pm$ 3566.3		17944.0
<b>O3</b>					
proftpd	1007	877.16	$\pm$ 145.32		927.0
vsftpd	314	236.66	$\pm$ 84.39		303.0
lighttpd	289	245.75	$\pm$ 53.85		152.0
nginx	908	710.95	$\pm$ 238.94		481.0
mysqld	9703	8053.65	$\pm$ 1516.42		8709.0
postgres	6848	5608.85	$\pm$ 1116.93		5942.0
memcached	133	96.23	$\pm$ 14.3		90.0
node	20638	16585.32	$\pm$ 3540.84		17944.0

Table 20: The results of comparing *type* safe and precision implementation throughout different optimizations.

### 7.3.3 Effect of our AddressTaken Analysis

We are providing experiment results and an evaluation regarding the impact of our address taken analysis on the theoretical limits and our various policy implementations, namely the safe and precise versions of the *count* and *type* policies.

**Experiment Setup** We conducted the same three experiments as before but with the initial set of calltargets filtered by our implementation of address taken analysis:

1. We setup the same experiment regarding theoretical limits as described in subsection 7.3 but with restricting the possible calltargets to only address taken functions. The results are presented in table 21.
2. We setup the same experiment regarding the *count* policy as described in subsection 7.3 but with restricting the possible calltargets to only address taken functions. The results are presented in table 22.
3. We setup the same experiment regarding the *type* policy as described as in subsection 7.3 but with restricting the possible calltargets to only address taken functions. The results are presented in table 23.

For each series we collected three data points per test target, the average number of calltargets per callsite, the standard deviation  $\sigma$  and the median.

**Results** First of all we observed an overall reduction of the geometric mean of overall available calltargets to 64% before our policies were applied. Notable outliers are memcached, which had a 90% reduction of available calltargets and vsftpd, which even achieved a 97% reduction in available calltargets.

1. The theoretical available targets were overall reduced to 25.96% in the *count* policy case (geometric mean of 249 in O2) and to 27.27% in the *type* policy case (geometric mean of 224 in O2). The difference between their geometric means shrank from about 15% to about 10%.
2. The average targets provided by the safe and the precise implementation of the *count* policy were reduced to 25.33% (geometric mean of 325 in O2) and 25.92% (geometric mean of 267) respectively. The difference between their geometric means shrank from about 20% to about 18%.
3. The average targets provided by the safe and the precise implementation of the *count* policy were reduced to 26.13% (geometric mean of 299 in O2) and 26.79% (geometric mean of 243) respectively. The difference between their geometric means shrank from about 20% to about 18%.

When comparing the precision focused implementations of our *type* policy and our *count*, we observe that the difference between them shrank to about 9% when comparing their geometric means.

Overall we were able to reduce the number of available calltargets per callsite from 1785 to 243 using our precision focussed implementation of the *type* policy, which is an overall reduction to 13.61%.

## 8 Discussion

We are going to discuss several aspects of interest that came up when comparing against TypeArmor, which are our first two topics. Namely the comparison of results between TypeArmor and *TypeShield* in Section 8 and whether we found any discrepancies between the data we got from their paper and the data we collected in Section 8. The second part of our discussion is concerned with the limitations of *TypeShield* in Section 8 and finally, possible venues of improvement in Section 8.

**Comparison with TypeArmor.** We are looking at two sets of results. First of all, we compare the overall precision of our implementation of the COUNT policy with the results from TypeArmor to set the perspective for the precision of our TYPE policy. We cannot compare data regarding overestimations of calltargets or underestimations of callsites, as TypeArmor did not provide sufficient data. The second point of comparison is the reduction of calltargets per callsite, however, this comparison is rather crude, as we most surely do not have the same measuring environment and not sufficient data to infer its quality.

**Precision of Classification.** TypeArmor reports a geometric mean of 83.26% for the perfect classification of calltargets regarding parameter count in optimization level O2, which compares rather well to our result of 82.24%. Regarding the perfect classification of callsites we report a geometric mean of 81.6% perfect classification regarding parameter count, while TypeArmor reports a geometric mean of 79.19%. However we also have a geometric mean of about 7% regarding underestimations in the callsite classification with an upper bound of 16%, while TypeArmor reports that it does not incur underestimations in their callsites. Now, for our type based classification we incur the cost for two error sources. First, the error from the parameter count classification, which we base our type analysis on and second for the type analysis itself. The numbers for the perfect classification of calltargets regarding parameter types we report a 72.25% geometric mean of perfect classification, which is 87.85% of our precision regarding parameter counts. However we report a geometric mean of 57.36% for perfect classification of callsites, which although seemingly low, is still 69.74% of our precision regarding parameter counts.

**Reduction of Available Calltargets** While our count based precision focused implementation achieves a reduction in the same ballpark as TypeArmor regarding our test targets, lets us believe that our implementation of their classification schema is a sufficient approximation to compare against. However, we cannot safely compare those numbers, as the information regarding their test environment are rather sparse and the only data available is the median, which in our opinion does discard valuable information from the actual result

O0	AT	<i>count*</i>			O0	AT	<i>type*</i>	<i>count safe</i>	
Target		limit (avg $\pm \sigma$ )			Target		limit (avg $\pm \sigma$ )	median	median
proftpd	394	346.16	$\pm$	57.93	proftpd	331.64	394 $\pm$ 290.02	$\pm$ 353.031	283.0
vsftpd	10	8.0	$\pm$	2.0	vsftpd	5.00	$\pm$ 1.00.0	$\pm$ 500	10.0
lighttpd	59	34.07	$\pm$	14.73	lighttpd	31.659	$\pm$ 158.151	$\pm$ 220.2	53.0
nginx	544	314.71	$\pm$	149.58	nginx	314.744	$\pm$ 489.158	$\pm$ 2670.3	511.0
mysqld	5940	4232.81	$\pm$	1083.11	mysqld	3975.8940	$\pm$ 5385.945	$\pm$ 44138.42	5177.0
postgres	2521	2230.56	$\pm$	409.18	postgres	2100.6521	$\pm$ 2454.68	$\pm$ 23200.15	2498.0
memcached	14	12.27	$\pm$	2.35	memcached	10.274	$\pm$ 0.967	$\pm$ 100.3	14.0
node	7524	5065.06	$\pm$	1547.56	node	4359.7524	$\pm$ 69498.04	$\pm$ 55180.8	5937.0
<b>O1</b>					<b>O1</b>				
proftpd	391	340.31	$\pm$	73.09	proftpd	326.391	$\pm$ 385.168	$\pm$ 352.02	383.0
vsftpd	10	8.0	$\pm$	2.0	vsftpd	5.00	$\pm$ 1.00.0	$\pm$ 500	10.0
lighttpd	59	35.11	$\pm$	15.19	lighttpd	32.989	$\pm$ 155.95	$\pm$ 220	54.0
nginx	544	318.94	$\pm$	151.36	nginx	318.944	$\pm$ 495.58	$\pm$ 26710.68	544.0
mysqld	5917	4149.06	$\pm$	1057.63	mysqld	3934.6917	$\pm$ 5365.09	$\pm$ 31980.22	5549.0
postgres	2506	2234.23	$\pm$	340.85	postgres	2056.2506	$\pm$ 2420.82	$\pm$ 22980.68	2447.0
memcached	15	13.5	$\pm$	2.27	memcached	11.365	$\pm$ 0.9372	$\pm$ 120.4	15.0
node	7526	5067.06	$\pm$	1547.57	node	4361.7526	$\pm$ 69502.09	$\pm$ 55260.61	5938.0
<b>O2</b>					<b>O2</b>				
proftpd	390	348.55	$\pm$	59.5	proftpd	333.1390	$\pm$ 384.187	$\pm$ 369.0	385.0
vsftpd	10	7.14	$\pm$	1.8	vsftpd	5.420	$\pm$ 0.9.71	$\pm$ 61003	10.0
lighttpd	59	36.87	$\pm$	15.13	lighttpd	34.559	$\pm$ 1550.8	$\pm$ 334.87	59.0
nginx	543	313.87	$\pm$	150.2	nginx	313.8743	$\pm$ 4870.48	$\pm$ 26620.95	543.0
mysqld	5879	4103.38	$\pm$	1054.55	mysqld	3882.7879	$\pm$ 5433.49	$\pm$ 31640.11	5517.0
postgres	2490	2056.06	$\pm$	670.46	postgres	1885.1890	$\pm$ 2430.27	$\pm$ 22840.27	2431.0
memcached	14	12.27	$\pm$	2.35	memcached	10.274	$\pm$ 0.968	$\pm$ 100.46	14.0
node	7528	5068.77	$\pm$	1547.25	node	4363.5528	$\pm$ 69497.27	$\pm$ 55274.38	5940.0
<b>O3</b>					<b>O3</b>				
proftpd	391	349.95	$\pm$	55.13	proftpd	330.5591	$\pm$ 383.53	$\pm$ 369.01	382.0
vsftpd	10	7.33	$\pm$	1.88	vsftpd	5.330	$\pm$ 0.9477	$\pm$ 6091	10.0
lighttpd	59	37.08	$\pm$	15.04	lighttpd	34.559	$\pm$ 1558.16	$\pm$ 334.82	59.0
nginx	544	315.23	$\pm$	151.02	nginx	315.2344	$\pm$ 481.02	$\pm$ 26626.26	544.0
mysqld	5873	4112.56	$\pm$	1057.19	mysqld	3889.4873	$\pm$ 5446.51	$\pm$ 31690.8	5511.0
postgres	2492	2076.41	$\pm$	648.38	postgres	1913.4792	$\pm$ 2430.49	$\pm$ 22842.4	2433.0
memcached	14	12.27	$\pm$	2.35	memcached	10.274	$\pm$ 0.968	$\pm$ 100.46	14.0
node	7524	5065.37	$\pm$	1547.65	node	4359.7524	$\pm$ 6950.0	$\pm$ 55190.22	5937.0

Table 21: The results of comparing theoretical limits for the different restriction policies restricted using an address taken analysis throughout different optimizations.

Table 22: The results of comparing *count safe* and precision implementation restricted using an address taken analysis throughout different optimizations.

O0	AT	<i>type safe</i>			<i>type prec</i>
Target		limit (avg $\pm \sigma$ )			median
proftpd	394	270.5	$\pm$	43.22	384.0
vsftpd	10	7.0	$\pm$	3.0	7.0
lighttpd	59	54.26	$\pm$	7.8	59.0
nginx	544	411.98	$\pm$	145.67	517.0
mysqld	5940	4979.26	$\pm$	724.06	5177.0
postgres	2521	2306.87	$\pm$	530.49	2498.0
memcached	14	10.63	$\pm$	1.08	11.0
node	7524	6368.91	$\pm$	1185.15	6954.0
<b>O1</b>					
proftpd	391	373.47	$\pm$	46.33	389.0
vsftpd	10	8.5	$\pm$	1.5	8.0
lighttpd	59	52.26	$\pm$	8.22	59.0
nginx	544	459.81	$\pm$	133.12	453.0
mysqld	5917	5350.34	$\pm$	623.0	5917.0
postgres	2506	2305.55	$\pm$	452.87	2447.0
memcached	15	12.4	$\pm$	1.15	12.0
node	7526	6373.63	$\pm$	1182.92	6956.0
<b>O2</b>					
proftpd	390	372.68	$\pm$	37.23	382.0
vsftpd	10	8.0	$\pm$	2.72	10.0
lighttpd	59	51.97	$\pm$	9.43	38.0
nginx	543	448.69	$\pm$	135.53	362.0
mysqld	5879	5225.39	$\pm$	747.58	5879.0
postgres	2490	2297.05	$\pm$	450.04	2431.0
memcached	14	12.06	$\pm$	0.8	12.0
node	7528	6368.22	$\pm$	1189.75	6957.0
<b>O3</b>					
proftpd	391	367.8	$\pm$	52.04	382.0
vsftpd	10	7.77	$\pm$	2.81	10.0
lighttpd	59	51.69	$\pm$	9.54	38.0
nginx	544	442.03	$\pm$	138.26	297.0
mysqld	5873	5223.54	$\pm$	742.79	5573.0
postgres	2492	2302.79	$\pm$	440.31	2433.0
memcached	14	12.06	$\pm$	0.8	12.0
node	7524	6373.78	$\pm$	1181.81	6954.0

Table 23: The results of comparing *type safe* and precision implementation restricted using an address taken analysis throughout different optimizations.

set. This is the main reason we implemented an approximation, because we needed more metrics to compare *TypeShield* and *TypeArmor* regarding calltargets. Using average and sigma, we can report that our precision focused type based classification can reduce the number of calltargets by up to 20% more than parameter number based classification with an overall reduction of about 9%.

**Discrepancies and Problems.** Our main problem is that we do not have access to source code, which is why we implemented an approximation of *TypeArmor*. Using this approximation we found some discrepancies between the data that we collected and data that was presented. A minor discrepancy between our results and the results of *TypeArmor* is that, we did not implement a merge operator for the liveness analysis. However, our data suggests that this operator is marginally inferior to the union path merge operator, when we compared them in our implementation. A major concern is the classification of calltargets, which we were able to reduce the number of overestimations of calltargets regarding parameter counts to essentially 0, the number of underestimations of calltarget did stay at a geometric mean of 7%. This error rate is rather large when compared to the reported 4% underestimation of *TypeArmor*, however we did not entirely understand what has caused this discrepancy. A possibility is the differing test environments, or a bug within our implementation that we are not aware of, or simply reaching definitions analysis alone is not the best possible algorithm for this particular problem.

**Limitations of *TypeShield*.** First of all, we are limited by the capabilities of the DynInst instrumentation Environment, the main problem, we are facing here is that non returning functions like `exit` are not detected reliably in some cases, which is why we were not able to test the Pure-FTP Server, as it heavily relies on these functions. The problem is that those non returning functions usually appear in a second branch within a function that occurs after the normal control flow, causing basic blocks from the following function to be attributed to the current function. This results in a malformed control flow graph and erroneous attribution of calltargets and problematic misclassifications for both calltargets and call-sites.

Another limitation of *TypeShield* is its reliance on variety within the binary, in particular we rely on the fact that functions use more than only 64bit values or pointers within their parameter lists. Should this scenario occur, our analysis has nothing to work with and essentially degrades into a parameter count based implementation. Thankfully this occurrence is quite rare, as we experienced within our experiments. When working based on source level information, we could not detect a difference between our `TYPE` and a `UINT` policy. However, when leveraging our tool, we were able to detect differences, which reinforces the fact that we do not rely on declaration of parameters but usage of those.

**Improvement Venues.** To improve our type analysis, we see at least two possibilities. Incorporating refined dataflow analysis and expanding the scope to also include memory. The main point of improvement is not the precision but for



now more importantly the reduction of underestimations in the callsite analysis.

To refine the dataflow analysis, we propose the actual tracking of data values and simple operations, as these can be used to better differentiate the actual wideness stored within the current register. The highest gain, we see here would be the establishment of upper and lower bounds regarding values within the register, which would allow for more sophisticated callsite and calltarget invariants. Essentially we would have to resort to symbolic execution or some other sort of precise abstract interpretation.

Expanding the scope to also include memory, is another possible way of improving the type analysis, as it would allow us to distinguish normal 32 or 64 bit values and pointer addresses. Although we already have a limited approach of that in our reaching implementation, we still see room for improvement, as we only check whether a value is within one of three binary sections or 0.

## 9 Related Work

This Chapter briefly reviews the main techniques and tools which are related to *TypeShield*. Section 9 gives an overview of tools used to recover type inference from binaries. Section 9 depicts several techniques used to mitigate code reuse attacks in general. Finally, Section 9 presents several source code, binary and runtime techniques which can be used to mitigate COOP attacks.

**Type-Inference on Executables.** Recovering variable types from executable programs is very hard in general for several reasons. First, the quality of the disassembly can vary much from used framework to another. *TypeShield* is based on DynInst and the quality of the executable disassembly fits our needs. For a more comprehensive review on the capabilities of DynInst and other tools we advice the reader to have a look at [5].

Second, alias analysis in binaries is undecidable in theory and intractable in practice [31]. There are several most promising tools such as: Rewards [27], BAP [10], SmartDec [18], and Divine [6]. These tools try with more or less success to recover type information from binary programs with different goals. Typical goals are: *i*) full program reconstruction (binary to code conversion, reversing), *ii*) checking for buffer overflows, *iii*) integer overflows and other types of memory corruptions. For a more exhaustive review of such tools we advice the reader to have a look at the review of Caballero et al. [12]. Interesting to notice is that the code from only a few of these tools is available.

While smartdec seemed promising due to its simple type lattice that we wanted to leverage for our classification schema. Its integration into our DynInst based environment was not successful mostly for time constraints, as it was deemed too time consuming to extract the whole machinery and implement an interface to the DynInst disassembler. Therefore we finally implemented our own version of type analysis and only focused on the wideness of the types, resulting in a simpler lattice than we initially wanted.

**Mitigation of Code-Reuse Attacks.** In the last couple of years researchers have provided many versions of new Code Reuse Attacks (CRAs). These new attacks were possible since DEP [29] and ASLR [39] were successfully bypassed mostly based on Return Oriented Programming (ROP) [11, 23, 41] on one hand and on the other hand due to the discovery of new exploitable hardware and software primitives.

ROP started to present itself in the last couple of years in many faceted ways such as: Jump Oriented Programming (JOP) [8, 15, 17] which uses jumps in order to divert the control flow to the next gadget and Call Oriented Programming (COP) [14] which uses calls in order to chain gadgets together. CRAs have many manifestations and it is out of scope of this work to list them all.

On one hand, CRAs can be mitigated in general in the following ways: *(i)* binary instrumentation, *(ii)* source code recompilation and *(iii)* runtime application monitoring. On the other hand, there is a plethora of tools and techniques which try to enforce CFI based primitives in executables, source code and during runtime. Next we briefly present the solution landscape together with the approaches and the techniques on which these are based: *(a)* fine-grained CFI with hardware support, PathArmor [43], *(b)* coarse-grained CFI used for binary instrumentation, CCFIR [48], *(c)* coarse-grained CFI based on binary loader, CFCI [50] *(d)* fine-grained code randomization, O-CFI [30], *(e)* cryptography with hardware support, CCFI [28], *(f)* ROP stack pivoting, PBlocker [38], *(g)* canary based protection, DynaGuard [35], *(h)* runtime and hardware support based on a combination of LBR, PMU and BTS registers CFIguard [45], and *(i)* source code recompilation with CFI and/or randomization enforcement against JIT-ROP attacks, MCFI [32], RockJIT [33] and PiCFI [34].

The above list is not exhaustive and new protection techniques can be obtained by combining available techniques or by using newly available hardware features or software exploits. However, none of the above techniques and tools can mitigate against COOP attacks.

**Mitigation of Advanced Code-Reuse Attacks.** COOP [40], Subversive-C [26] and Recursive-COOP [16] are advanced CRAs since these attacks which can not be addressed: *i*) with shadow stacks techniques (i.e., do not violate the caller/callee convention), *ii*) coarse-grained Control-Flow Integrity (CFI) [3, 4] techniques are useless against these attacks, *iii*) hardware based approaches such as Intel CET [2] can not mitigate this attack for the same reason as in *i*), and *iv*) with OS-based approaches such as Windows Control Flow Guard [1] since the precomputed CFG does not contain edges for indirect call sites which are explicitly exploited during the COOP attack.

However, the following tools can protect against COOP attacks:

**Source code based:** indirect callsite targets are checked based on vTable integrity. Different types of CFI policies are used such as in the following tools: SafeDispatch [20], IFCC/VTM [42] LLVM and GCC compiler. Additionally, the Redactor++ [16] uses randomization vTrust [46] checks

call target function signatures, CPI [24] uses a memory safety technique in order to protect against the COOP attack.

There are several source code based tools which can successfully protect against the COOP attack. Such tools are: ShrinkWrap [19], IFCC/VTM [42], SafeDispatch [20], vTrust [46], Readactor++ [16], CPI [24] and the tool presented by Bounov et al. [9]. These tools profit from high precision since they have access to the full semantic context of the program though the scope of the compiler on which they are based. Because of this reason these tools target mostly other types of security problems than binary-based tools address. For example some last advances in compile based protection against code reuse attacks address mainly performance issues. Currently, most of the above presented tools are only forward edge enforcers of fine-grained CFI policies with an overhead from 1% up to 15%.

We are aware that there is still a long research path to go until binary based techniques can recuperate program based semantic information from executable with the same precision as compiler based tools. These path could be even endless since compilers are optimized for speed and are designed to remove as much as possible semantic information from an executable in order to make the program run as fast as possible. In light of this fact, *TypeShield* is another attempt to recuperate just the needed semantic information (types and number of function parameters from indirect call sites) in order to be able to enforce a precise and with low overhead primitive against COOP attacks.

Rather than claiming that the invariants offered by *TypeShield* are sufficient to mitigate all versions of the COOP attack we take a more conservative path by claiming that *TypeShield* further raises the bar w.r.t. what is possible when defending against COOP attacks on the binary level.

**Binary based:** vTable protection is addressed through binary instrumentation in tools such as: vfGuard [37], vTint [47]. However, none of these tools can help to mitigate against COOP. The only binary based tool which we are aware of that can mitigate protect against COOP is TypeArmor [44]. TypeArmor uses a fine-grained CFI policy based on caller (only indirect call sites)/callee matching which consists in checking during runtime if the number of provided and needed parameters match.

*TypeShield* is most similar to TypeArmor [44] since we also enforce strong binary-level invariants on the number of function parameters. *TypeShield* similarly to TypeArmor targets exclusive protection against advanced exploitation techniques which can bypass fine-grained CFI schemes and VTable protections at the binary level.

However, *TypeShield* offers a better restriction of calltargets to callsites, since we not only restrict based on the number of parameters but also on the wideness of their types. This results in much smaller buckets that in turn can only target a smaller subset of all address taken functions. However, we rely for that on the variety of parameter types and when there is none, we will degrade into a parameter count policy.

**Runtime based:** “There is something available out there but I can not use it” *Anonymous*. Long story short conclusion:

There are several promising runtime-based line of defenses against advanced CRAs but none of them can successfully protect against the COOP attack.

IntelCET [2] is based on, ENDBRANCH, a new CPU instruction which can be used to enforce an efficient shadow stack mechanism. The shadow stack can be used to check during program execution if caller/return pairs match. Since the COOP attack reuses whole functions as gadgets and does not violate the caller/return convention than the new feature provided by intel is useless in the face of this attack. Nevertheless other highly notorious CRAs may not be possible after this feature will be implemented main stream in OSs and compilers.

Windows Control Flow Guard [1] is based on a user-space and kernel-space components which by working closely together can enforce an efficient fine-grained CFI policy based on a precomputed CFG. These new feature available in Windows 10 can considerably rise the bar for future attacks but in our opinion advanced CRAs such as COOP are still possible due the typical characteristics of COOP.

PathArmor [43] is yet another tool which is based on a precomputed CFG and on the LBR register which can give a string of 16 up to 32 pairs of from/to addressed of different types of indirect instructions such as call, ret, and jump. Because of the sporadic query of the LBR register (only during invocation of certain function calls) and because of the sheer amount of data which passes through the LBR register this approach has in our opinion a fair potential to catch different types of CRAs but we think that against COOP this tool can not be used. First, because of the fact that the precomputed CFG does not contain edges for all possible indirect call sites which are accessed during runtime and second, the LBR buffer can be easily triked by adding legitimate indirect call sites during the COOP attack.

## 10 Future Work

We see at least five different possible ways of future venues of research, which range from improving the structural matching between ground truth and binary based data to expanding our schema altogether by incorporating aliasing and tracking memory operations.

**Improving the structural matching capability.** is the most important further venue of research, as we need a reliable way to match a ground truth against the resulting binary. This is important, because it is a prerequisite to the ability to generate reliable measurements and reduces the current uncertainty (we rely on the number of calltargets per callsite to match callsites and furthermore assume that the order within ground truth and binary is the same).

**Finding a better suited callsite analysis.** would present itself as another important possibility, as we still have a relatively high—up to 16%—number of underestimated callsites. However, this venue should only be attempted after significant improvements to the structural matching of

callsites.

**Devising a patching schema.** that is based on Dyninst functionality, which allows annotation of calltargets so they can hold at least 4 bytes of arbitrary data. This is required to hold the type data that we generate using our classification. Keeping the runtime overhead of said patching schema low should be the second goal of this venue after satisfying stability.

**Expanding our schema to return values.** is another viable venue of further work, as we were not able to reliably reduce the number of problematic classification regarding the return values of functions to manageable levels. Should one attempt this, it should be noted that the responsibilities of callsites and calltargets are reversed in this case: The callsite requires return value wideness, while the calltarget needs to provide it.

**Introducing pointer/memory analysis.** to distinguish simple 32/64bit values and actual addresses to even further restrict the possible number of calltargets per callsite. This would require more precise dataflow analysis, as in calculating value possibilities for registers at each instruction.

## 11 Conclusion

Advanced code reuse attacks like COOP and its extensions or Control Jujutsu manifest due to a combination of facts and problems, like memory corruption or predictable binary layout and the fact that the larger our binaries get, the higher the chance they contain useful gadgets for an attacker. However, due to their nature, traditional CFI cannot detect them, as they do not actually replace code to modify the control flow, but change pointers in memory, which redirects the targets of indirect callsites, which are uncertain at the time of compilation. Two of the most common targets are the pointers to virtual function tables to implemented inheritance in C++ and global function pointers. The control flow exhibited by the binary while functioning normal and while under attack will seem the same. Address taken analysis already helped cutting down the number of possible calltargets one could inject by a considerable amount. And typearmor improved on that by implementing invariants for both callsites and calltargets based on the number of parameters. We had no access to their sourcecode and therefore had to rely on their paper to implement an approximation for which we generated comparable results regarding precision. We improved on that solution by implementing *TypeShield*, which allows for a more fine-grained classification of calltargets and indirect callsites by implementing a rather simplistic register wideness based type analysis. However, as simplistic as that analysis might be, we showed that except for special cases (nginx), we were able to improve upon a parameter count based implementation by reducing the average target count of up to 20%.

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