# **WOFOSTGym: A Crop Simulator for Learning Perennial Crop Management Strategies**

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## **Summary**

Daily crop management decisions play a crucial role in maximizing yield and economic returns while minimizing environmental impact. Optimal policies are unknown, making the environment well suited for reinforcement learning (RL) application. We introduce WOFOSTGym, a novel crop simulation environment that addresses key limitations of existing crop simulators for RL by supporting perennial crop modeling and enabling learning across multiple growing seasons and multiple farms. Expanding on prior work, WOFOSTGym allows RL agents to train in multi-year, multi-crop, and multi-farm settings to learn a range of agromanagement practices. Based on real world agromanagement problems, WOFOSTGym offers a suite of challenging tasks for RL agents including partial observability, non-Markovian dynamics, and delayed feedback. Its user-friendly design ensures that non-experts can still access a wide range of agromanagement decision problems. Our experiments showcase the behaviors that RL agents can learn across various crop varieties and soil types, demonstrating WOFOSTGym's potential for advancing RL applications in agromanagement decision support.

## **Contribution(s)**

- We present WOFOSTGym, a simulator for RL based on the WOFOST crop growth model (van Diepen et al., 1989). WOFOSTGym enables the development of agromanagement policies for multi-season perennial crops, advancing AI-driven decision support tools for agriculture.
  - **Context:** Previous work (Overweg et al., 2021; Gautron et al., 2022b) on crop simulators for RL has not considered the problem of agromanagement for perennial crops. To maintain a wide breadth of use, WOFOSTGym still supports simulating many single-season annual crop problems. The user-friendly design of WOFOSTGym enables non-expert users to create experiments with multiple farms and multiple crops, across a range of tasks with varying observability to reflect real world sensing challenges.
- 2. We modify the WOFOST crop growth model (CGM) to simulate the growth of perennial crops across multiple growing seasons.
  - **Context:** Bai et al. (2019) showed that WOFOST can be used to model the growth of the perennial jujube tree across multiple seasons. Inspired by their work, we modified the WOFOST CGM to support perennial growth within WOFOSTGym, and extended their work to model continuous multi-year growth with the addition of a dormancy phase. We update WOFOST nutrient modules to be able to investigate the impact of agromanagement decisions on the surrounding environment.
- 3. We introduce a novel application of Bayesian Optimization to calibrate the parameters of the WOFOST crop growth model (CGM) to increase model fidelity and compare our results with those of Zapata et al. (2017), who collected phenology data for 17 grape cultivars.
  - **Context:** To enable sim-to-real transfer in open-field agriculture, high fidelity CGMs are a requirement. However, calibrating the parameters is difficult and time consuming. State of the art methods in agronomy use linear regression techniques or Monte Carlo sampling (Lara et al., 2012). Our proposed Bayesian Optimization method offers a principled way of searching the CGM parameter space, producing better or comparable results with less computation and a limited amount of field collected data.

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#### **Abstract**

We introduce WOFOSTGym, a novel crop simulation environment designed for modeling perennial crops in multi-farm settings. Effective crop management requires optimizing yield and economic returns while minimizing environmental impact, a complex sequential decision-making problem well suited for reinforcement learning (RL). However, the lack of simulators for perennial crops in multi-farm contexts has hindered RL applications in this domain. Our WOFOSTGym addresses this gap by enabling RL agents to train in multi-year, multi-crop, and multi-farm scenarios, learning diverse agromanagement strategies. Our simulator offers a suite of challenging tasks for RL agents that include partial observability, non-Markovian dynamics, and delayed feedback. Its user-friendly design allows non-experts to explore a wide range of agromanagement decision problems. Our experiments demonstrate the learned behaviors of RL agents across various crop varieties and soil types, highlighting WOFOSTGym's potential for advancing RL-driven decision support in agriculture.

#### 14 1 Introduction

During a growing season, farmers face many decisions about how to optimally manage their crops to increase yield while reducing cost and environmental impact (Javaid et al., 2023). For example, irri-gation planning must account for constraints on water use, and optimizing irrigation application can improve crop yield (Elliott et al., 2014). Motivated by the promising results of using reinforcement learning (RL) in other areas of precision agriculture, there is increasing interest from researchers and government agencies in applying RL to crop management decision problems in open-field agri-culture, especially for specialty crops (e.g. apples, grapes, cherries) (Astill et al., 2020; Gautron et al., 2022a). While RL has been explored as a tool for optimizing open-field crop management decisions (Wu et al., 2022; Tao et al., 2023), its real-world adoption is limited to controlled settings such as greenhouses (An et al., 2021; Wang et al., 2020) and crop monitoring (Din et al., 2022; Zhang et al., 2020). This paper aims to bridge this gap by presenting a simulator for perennial crops in a multi-farm setting.

Training RL agents in the real world to optimize agromanagement decisions is infeasible because growing seasons are too long, and unconstrained exploration can cause costly errors like crop loss and soil degradation (Tevenart & Brunette, 2021). Similar challenges in robotics and autonomous driving have been addressed with high-fidelity simulators, enabling RL applications (Kober et al., 2013; Kiran et al., 2022; Dauner et al., 2024; Todorov et al., 2012). While high-fidelity crop growth models (CGMs) (Boote et al., 1996) offer an approach to testing crop management policies for open-field agriculture, they are *not* designed to interact with RL algorithms and require substantial domain expertise. Existing agriculture simulators (Overweg et al., 2021; Gautron et al., 2022b) lack the expressiveness needed for perennial crop management (see Table 1). These simulators only simulate the growth of a single crop and cannot be customized to study other crops or sites without domain expertise of the underlying CGM. Open-field agriculture problems are often modeled as a

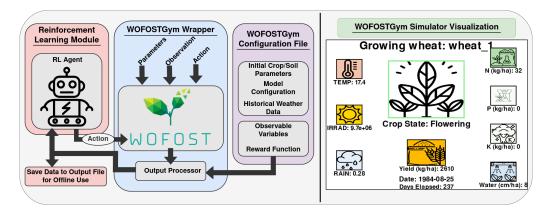


Figure 1: (Left) The structure of the WOFOSTGym simulator. WOFOSTGym provides a wrapper around the WOFOST Crop Growth Model with a variety of environments (limited nutrients and water, limited water, potential production, etc.) to train RL agents and generate data. Configuration files are well documented YAML files, and the user only needs to interact with a short YAML file for most use cases. (Right) A visualization of the growth of the wheat crop with the daily weather and cumulative agromanagement decisions rendered in WOFOSTGym.

partially observable environment but the current crop simulators do not allow for varying the hidden factors for partial observability and do not support the creation of a wide range of agromanagement tasks across crop and soil types, which limit the scenarios that can be modeled (Tao et al., 2023).

We present WOFOSTGym (see Figure 1), a crop simulator for perennial, multi-farm crop management. WOFOSTGym is built on the WOFOST CGM (van Diepen et al., 1989) to model the growth of perennial crops, and includes parameter sets for 23 annual crops and 2 perennial crops. As a step towards the high-fidelity modeling of perennial crop growth, we demonstrate the effectiveness of a Bayesian Optimization based method to calibrate CGM parameters to increase the fidelity of the phenological model for 32 grape cultivars. To make WOFOSTGym accessible to RL researchers, we prioritize usability through extensive customization, seamless integration with standard RL algorithms, and a thorough documentation. Our experiments showcase scenarios in WOFOSTGym where standard RL algorithms achieve optimal performance, alongside more complex cases that remain difficult—highlighting opportunities for developing new learning approaches. We also design agromanagement decision-making tasks in WOFOSTGym, illustrating both the potential and challenges of applying RL to this class of problems.

#### 2 Related Work

Reinforcement Learning for Crop Management Building on RL's success in robotics, autonomous driving, and healthcare, there is growing interest in applying RL to optimize crop yield (Binas et al., 2019). While RL has proven effective in controlled greenhouse environments (An et al., 2021), its application in open-field agriculture remains limited due to reduced sensing capabilities and long growing seasons. To bridge this gap, several crop simulators have been developed. CropGym simulates winter wheat in a nitrogen-limited soil, via a Gym wrapper around a CGM (Overweg et al., 2021). Gym-DSSAT focuses on maize growth optimization through fertilization and irrigation decisions (Gautron et al., 2022b). CyclesGym, built around the Cycles CGM (Kemanian et al., 2022), focuses on learning crop rotation strategies for annual crops but is limited to soybeans and maize, lacking support for perennial crop modeling (Turchetta et al., 2022). Table 1 summarizes the capabilities of different crop simulators.

Existing crop simulators support RL training for fertilization and irrigation but *lack support for perennial crops*, a key research area (Gautron et al., 2022a). Additionally, customization is infeasible without expert knowledge of the underlying CGMs, since most CGMs are run through separate

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Name	Perennial Crop	Multiple Crops	Easily	Models Crop	
Name	Support	and Farms	Customizable	Sub-processes	
CyclesGym	X	X	✓	✓	
CropGym	X	X	X	✓	
gym-DSSAT	X	X	X	✓	
FarmGym	X	X	✓	X	
WOFOSTGym (Ours)	✓	✓	✓	✓	

Table 1: A comparison of available crop simulators based on four important desiderata for use with RL. A simulator is easily customizable if it does not require agriculture domain expertise to run different experiments. Modeling crop sub-processes (phenology, roots, stems, leaves, etc.) as it generally leads to a higher fidelity model.

executables. In contrast, WOFOSTGym offers easy domain customization for RL researchers while providing high-fidelity parameters for 23 annual and 2 perennial crops, high-fidelity model parameters for grape phenology for 32 cultivars, and access to diverse soil types and weather patterns.

**Crop Growth Models** Crop Growth Models (CGMs) simulate the growth of crops in varying environments subject to different agromanagement decisions (Jones et al., 2017). CGMs are typically 73 one of three types: mechanistic, empirical, or hybrid. Mechanistic models simulate canopy or nu-74 trient level crop processes and aim to validate scientific understanding of crop growth (Estes et al., 75 2013). Empirical models, in contrast, rely on observed field data, offering greater scalability with 76 lower computational overhead (Di Paola et al., 2016). Hybrid crop models simulate crop growth 77 using both mechanistic and empirical modeling decisions (Yang et al., 2004). Examples of widely-78 used CGMs include WOFOST (de Wit et al., 2019), DSSAT (Jones et al., 2003), APSIM (McCown 79 et al., 1996), EPIC (Cabelguenne et al., 1990), CropSyst (Stockle et al., 1994), Cycles (Kemanian 80 et al., 2022) and AquaCrop (Andarzian et al., 2011). The relevant features of these CGMs are highlighted in Appendix A. We note that none of the available CGMs support perennial crop modeling. 82 Our simulator is built on WOFOST, a hybrid CGM that models annual crop growth subject to nu-83 trient (nitrogen, phosphorus, and potassium) and water-limited conditions (van Diepen et al., 1989). 84 WOFOST is an ideal candidate to build on, since it can model the growth of perennial crops with 85 a high fidelity (Bai et al., 2020; Shi et al., 2022). It also accounts for varying CO2 concentrations, 86 making it valuable for climate-impacted agricultural research (Gilardelli et al., 2018). Additionally, its modular design facilitates modifications to crop process models (de Wit, 2024), and its Python 87 88 implementation enables seamless integration with OpenAI Gym (Brockman et al., 2016).

#### **Background** 3

The Partially Observable Markov Decision Process (POMDP) is a popular framework to model partially observable environments (Kaelbling et al., 1998). POMDPs are well-suited for open-field 92 agriculture problems, since many crop and soil-related features that are essential for defining the system's full state cannot be directly observed (Tao et al., 2023). Formally, a POMDP is a tuple 93  $M = \langle \mathcal{S}, \mathcal{A}, \mathcal{P}, \mathcal{R}, \Omega, \mathcal{O}, \gamma \rangle$  where  $\mathcal{S}$  is a set of states,  $\mathcal{A}$  is a set of actions,  $\mathcal{P}: \mathcal{S} \times \mathcal{A} \times \mathcal{S} \to [0, 1]$ is the transition kernel, and  $\mathcal{R}: \mathcal{S} \times \mathcal{A} \to \mathbb{R}$  is the reward function.  $\Omega$  is the set of possible 95 observations and  $\mathcal{O}: \mathcal{S} \times \mathcal{A} \times \Omega \to [0,1]$  is the probability of obtaining observation o when 96 taking action a in state s. Finally,  $\gamma$  is the discount factor that determines the importance of present versus future rewards. The RL agent computes a policy  $\pi:\Omega\times\mathcal{A}\to[0,1]$  that maximizes the expected sum of discounted rewards,  $\mathbb{E}_{\rho^\pi}\left[\sum_{t=0}^T\gamma^t\mathcal{R}(s_t,a_t)\right]$ , where  $\rho^\pi$  is the distribution of states 98 and actions induced by the policy  $\pi$  and T is the time horizon.

#### 4 WOFOSTGym

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- 102 WOFOSTGym is built on the WOFOST CGM (van Diepen et al., 1989) and interfaces with the
- 103 OpenAI Gym API to create a high-fidelity and easy-to-use crop simulator for RL. WOFOSTGym
- supports a variety of agromanagement decisions such as fertilizing, irrigating, planting, and har-
- 105 vesting. In the interest of clarity, we focus on fertilization and irrigation decisions in the rest of the
- paper, since they are popular tasks that are supported by all existing crop simulators. In these tasks,
- 107 the agent must optimize fertilization and irrigation strategies that maximize the cumulative yield of
- 108 a crop subject to a set of penalties or constraints over one or more growing seasons.
- 109 The rest of this section is organized as follows. We begin with an overview of the environment
- design, including modifications made to the WOFOST CGM to support perennial crop modeling
- and multi-farm environments, and highlight a few of the open-field agriculture tasks that can be
- 112 modeled in WOFOSTGym. We then propose a model calibration method to fine-tune the model
- 113 parameters of the WOFOST CGM to increase the fidelity of the simulator as a step towards sim-to-
- 114 real transfer (Peng et al., 2018).

#### 115 **4.1 Environment Design**

- 116 States and Observations The model state in WOFOSTGym is the concatenation of two features
- 117 vectors,  $\mathbf{x} = (x_1 \dots x_{203})$  and  $\mathbf{y} = (y_1, \dots, y_7)$ , where  $\mathbf{x}$  contains the crop and soil state and
- 118 y contains the weather state for a given day. However, it is unrealistic to have access to the vast
- majority of the state features because sampling is prohibitively expensive or time consuming. As
- a result, an RL agent receives a subset of the model state as observation  $\mathbf{o} = (x_1, ..., x_n)$ , with
- 121  $n \ll 210$ . In the multi-farm environments, the agent receives an observation for each farm and the
- daily weather observation is shared across farms.
- Additionally, WOFOSTGym allows for domain randomization by 1) adding small amounts of ran-
- dom uniform noise to parameters in the WOFOST GGM, 2) allowing RL agents to train on different
- 125 crops and soil types simultaneously, and 3) enabling RL agents to train on a wide breadth of histor-
- 126 ical weather data.
- 127 **Action Space** The action space of WOFOSTGym is a discrete space consisting of fertilization
- 128 (nitrogen, phosphorus, and potassium) and irrigation actions. A single fertilization or irrigation
- action can be applied at each time step, at an amount and efficacy specified in the environment. The
- frequency of intervention can range from one day to multiple weeks, to reflect the reality that farmers
- 131 cannot act on agromanagement decisions daily. WOFOSTGym simulates crop growth subject to
- limited Nitrogen, Phosphorus, Potassium, and water, and also includes other environments to model
- 133 crop growth subject to unlimited water or fertilizer.
- 134 **Reward** WOFOSTGym rewards crop yield, as profitability is the primary driver of agromanage-
- 135 ment adoption (Turchetta et al., 2022). Consequently, an agent should learn a policy that maximizes
- 136 the crop yield. However, real-world agriculture requires balancing yield with constraints such as
- 137 fertilizer costs, water usage limits, and surface runoff restrictions (see Section 5.1). For instance,
- 138 exceeding 150 kg/ha of Nitrogen fertilizer could incur a significant penalty.
- 139 **Opportunities for RL Research** The agriculture domain poses a few key challenges for RL
- 140 agents, making it a valuable testbed for RL research. 1) Actions with delayed feedback make it
- difficult for RL agents to learn the value of those actions. For example, in WOFOSTGym, the ef-
- 142 fect of applying fertilizer in March is not seen until the crop flowers in June. 2) Sparse rewards
- are difficult for an agent to learn the optimal policy (Vecerik et al., 2018). All reward functions
- 144 in WOFOSTGym are sparse as the yield of the crop is unknown until the end of the episode. 3)
- 145 As many crop and soil states are infeasible or prohibitively expensive to measure, WOFOSTGym's
- observations are subsets of the entire state space, which models partial observability.

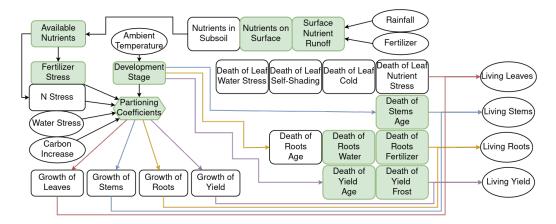


Figure 2: A simplified flowchart of the perennial crop growth in WOFOSTGym. Boxes highlighted in green denote additions or areas of substantial change to the underlying WOFOST CGM to support perennial crop growth. The nutrient balance module was changed to model a bilevel profile with nutrients on the soil surface and in the subsoil. The development stage of the crop is driven by the daily ambient temperature. The development stage determines how accumulated dry matter is partitioned to crop organs subject to available nutrients. The weight of the living organs (yield) is calculated as the accumulated difference between the growth and death rates.

Available Crops WOFOSTGym includes parameters that describe 23 annual crops: barley, cassava, chickpea, cotton, cowpea, faba bean, groundnut, maize, millet, mung bean, pigeon pea, potato, rapeseed, rice, onion, sorghum, soybean, sugar beet, sugarcane, sunflower, sweet potato, tobacco, and wheat and 2 perennial crops: pear (Wang et al., 2022) and jujube (Bai et al., 2020). Each crop contains between 1 and 10 varieties. WOFOST CGM parameters for each variety were calibrated empirically from field data (de Wit, 2025).

In addition to the high-fidelity models for 25 crops, WOFOSTGym also includes parameters for modeling the phenology of 32 grape cultivars. These cultivars are: Aligote, Alvarinho, Auxerrois, Barbera, Cabernet Franc, Cabernet Sauvignon, Chardonnay, Chenin Blanc, Concord, Dolcetto, Durif, Gewurztraminer, Green Veltliner, Grenache, Lemberger, Malbec, Melon, Merlot, Muscat Blanc, Nebbiolo, Petit Verdot, Pinot Blanc, Pinot Gris, Pinot Noir, Riesling, Sangiovese, Sauvignon Blanc, Semillon, Syrah, Tempranillo, Viognier, and Zinfandel. In Section 4.3 we introduce a novel appli-cation of Bayesian Optimization and show how historical data of grape phenology can be used to calibrate CGM parameters to increase model fidelity.

#### 4.2 WOFOSTGym Modified Perennial Crop Growth Model

With the limited availability of standalone perennial CGMs, Bai et al. (2019) and Wang et al. (2022) showed that the WOFOST CGM (de Wit, 2024) could be adjusted to model the growth of pear and jujube crops across multiple growing seasons. Thus, to create a crop simulator with realistic dynamics for perennial crop modeling, the WOFOST CGM was a reasonable starting point. Below, we highlight the important changes that were made to WOFOST to enable perennial crop modeling.

**Perennial Phenology** We primarily focused on modifying the phenology submodule within the WOFOST CGM given the differences between annual and perennial phenology. Unlike annual crop growth, the phenology of perennial crops is characterized by a dormancy stage induced by day length in autumn and released by temperature in spring (Rohde & Bhalerao, 2007). We added parameters for dormancy induction based on day length, release temperature, and a minimum dormancy period. In the modified WOFOST CGM, dormancy can also be triggered by prolonged growth stagnation, indicating insufficient ambient temperature for crop growth (Jones et al., 1978).

- 174 **Perennial Organ Growth** In addition to differences in phenology, perennial crops exhibit differ-175 ences in their visible growth organs (Thomas et al., 2000). The roots and stems of perennial crops do 176 not die each fall while the leaves and storage organs regrow each season subject to intercepted light 177 and nutrient uptake. The death rates of all crop organs are modeled as a function of the development 178 stage of the crop (Lindén et al., 1996). Crucially, perennials exhibit a decrease in seasonal growth 179 as they age (Munné-Bosch, 2007). While the exact mechanism for this decrease is difficult to quan-180 tify, the process can be modeled empirically by an increased maintenance respiration and decreased 181 carbon conversion efficiency (Zhu et al., 2021). For a simplified explanation of how the principle 182 observable features evolve throughout the course of a perennial crop simulation, see Figure 2.
- Modified Nutrient Module A multi-layer nutrient balance is important for modeling the effects of fertilization stressors on the roots and stems and the change in nutrient partitioning (Albornoz, 2016). We change WOFOST's single-layer nutrient balance to a multi-layered nutrient balance within the soil module (He et al., 2013). When nutrients are applied via fertilization, they reside on the soil surface. As the simulation evolves, nutrients are absorbed into the subsoil and then the roots of the plant. When surface nutrient levels are too high, the partitioning of dry matter is changed to limit allocation to the storage organs in favor of stems and leaves (He et al., 2013).
- WOFOSTGym Interaction with WOFOST As outlined in Figure 1, WOFOSTGym provides a Gym wrapper for RL agents to interact with the modified WOFOST crop growth model. WOFOST-Gym passes a set of parameters that describe the crop and soil dynamics to WOFOST and the daily weather provided by the NASAPower API which has over 40 years of historical weather data from locations around the globe. Unlike other crop simulators such as Turchetta et al. (2022) and Gautron et al. (2022b), WOFOST's Python implementation and reduced overhead enables faster interaction with Gym, which leads to a dramatically reduced run time (see Table 3).
- 197 The WOFOST CGM simulates the growth of the crop for a length of time specified by WOFOST-198 Gym and returns the state of the crop and soil each day. WOFOSTGym passes an observation of the 199 state space along with the reward to an RL agent. A RL agent can use this observation and reward 200 to choose the next best action, which is then passed through WOFOSTGym to WOFOST. With this 201 construction, substantial modifications can be made to the underlying CGM without necessitating 202 changes to the Gym environment or RL pipeline. As a result, a wide variety of crop configurations 203 and tasks are able to be simulated in WOFOSTGym with minimal effort. Due to space limitations, 204 we defer the discussion on the usability of WOFOSTGym to Appendix B.

#### 4.3 Parameter Calibration for Crop Growth Models

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- Before a CGM can be used for prediction tasks it must be calibrated (Bhatia, 2014). While we 206 207 have detailed the changes made to the WOFOST CGM for modeling perennial crops, we have 208 not discussed how to obtain parameters for high-fidelity modeling of a specific crop. Parameters 209 for CGMs are calibrated from field experiments (Berghuijs et al., 2024) and are optimized using 210 regression techniques to find the parameters that give the best fit (Zapata et al., 2017). Parameter 211 spaces for CGMs are high dimensional and highly non-linear (Sinclair & Seligman, 2000) and so 212 the brute force and regression techniques that are used in the agronomy research community may be insufficient to find an optimal solution. 213
- To address the shortcomings in current CGM calibration methods, we propose a Bayesian Optimization approach that requires minimal domain knowledge, matches or surpasses the performance of regression-based methods, and significantly reduces the number of simulations needed compared to Monte Carlo approaches (Lara et al., 2012). If historical crop data is available, Bayesian Optimization can be used with a WOFOSTGym to increase model fidelity, which is an important step towards obtaining successful sim-to-real transfer for agromanagement decision support.
- Bayesian Optimization for Grape Phenology Calibration Washington State University maintains a research vineyard and collects historical phenology observations for 32 grape cultivars. Each

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Cultivar	Bud Break				Bloom				Veraison			
	Ours	BB- $T_b$	$BL-T_b$	$V$ - $T_b$	Ours	$\mathrm{BB}\text{-}T_b$	$BL-T_b$	$V$ - $T_b$	Ours	BB- $T_b$	BL- $T_b$	$V$ - $T_b$
Cabernet Franc	4.0	6.1	6.2	13.8	3.5	3.1	2.9	4.7	7.7	6.7	7.1	9.6
Cabernet Sauvignon	5.0	8.7	10.5	10.7	5.2	5.8	5.7	6.4	9.8	6.6	7.0	7.9
Malbec	3.7	5.6	6.2	8.3	2.8	3.2	2.9	3.1	8.3	5.7	6.0	6.8
Pinot Noir	3.6	4.2	3.9	9.2	2.4	2.6	2.3	3.1	8.6	6.6	7.7	9.0
Zinfandel	3.7	6.8	9.0	11.8	3.8	4.3	4.0	5.3	6.0	4.1	3.8	4.9
Chardonnay	7.2	6.3	5.9	4.5	4.1	3.7	3.2	2.6	7.8	5.6	5.9	6.1
Chenin Blanc	5.0	6.1	6.2	5.4	3.8	4.8	4.6	4.3	8.5	9.2	9.4	9.9
Sauvignon Blanc	3.4	6.4	5.7	7.1	5.9	3.7	3.5	5.5	1.6	7.7	8.5	10.1
Semillon	4.7	6.0	7.0	11.7	2.7	6.0	5.8	5.5	8.8	11.2	11.6	12.2
Riesling	3.7	4.2	5.7	7.4	3.8	4.1	3.7	4.0	8.5	8.5	9.0	9.9
Average	4.4	6.0	6.6	9.9	3.8	4.1	3.9	4.4	7.4	7.2	7.6	8.6

Table 2: Root Mean Squared Error (RMSE) in days when predicting the key phenological stages (Bud Break, Bloom, and Veraison) in 10 grape cultivars using the Growing Degree Day (GDD) model (Zapata et al., 2015). The major columns are each of the three phenological stages. The minor columns are the RMSE in the GDD model with a given parameterization. Ours: Using parameter set tuned with Bayesian Optimization. BB- $T_h$ : Parameter set tuned for Bud Break. BL- $T_h$ : Parameter set for Bloom. V- $T_b$ : Parameter set for Veraison. Values for BB: $T_b$ , BL- $T_b$ , and V- $T_b$  correspond to columns 2, 3, and 4 in Table 6 in Zapata et al. (2017) and were all tuned using regression.

cultivar has between 6 and 15 years of data. With this data and the output of the phenology submodule in WOFOST, we crafted a loss function for our Bayesian Optimization approach as the Root Mean Squared Error (RMSE) in days between the predicted phenological stage and the true phenological stage. Our loss function also accounts for the RMSE in days of the previous phenological stage to prevent overfitting to the current stage.

Non-dormant grape phenology is subdivided into three crucial phenological stages: bud break, bloom, and veraison and is described by seven parameters in WOFOSTGym (Base Emergence Temperature, Max Effective Temperature, Temperature Sum for Bud Break, Temperature Sum for Bloom, Temperature Sum for Veraison, Temperature Sum for Ripe). Across 500 iterations, we sample parameters from the underlying Gaussian Processes using the expected improvement acquisition function that correspond to Bud Break, Bloom, and Veraison. We compute the loss for the selected parameters and update the underlying RBF kernel, as is standard in Bayesian Optimization. We maintain the best fit parameters for each stage which results in a parameter set that minimizes the RMSE across all stages.

We compare our results from Bayesian Optimization with the results of (Zapata et al., 2017) who use a subset of the same historical data from the Washington State University research site in Prosser, WA to find model parameters for grape phenology. They used linear regression to find best fit parameters for the phenological stages of Bud Break, Bloom, and Veraison. Moreover, they focus on finding parameters that best minimize the error for a specific phenological stage. In contrast, we minimize the cumulative error across all stages. Despite focusing on globally minimizing error, we find that our selected parameters generally reduce the RMSE in days for all three phenological stages across 10 different cultivars (Table 2). We highlight that the parameters for our Bayesian Optimization method were obtained using only 500 simulations, which is much less than the 10K-15K used in Monte Carlo methods for CGM calibration (Lara et al., 2012). The parameters sets that describe grape phenology for 32 cultivars are included in WOFOSTGym as a step towards effective sim-to-real transfer for crop management policies in open-field agriculture.

#### 5 **Experiments**

249 We present RL agent experiments on a variety of different tasks to learn crop management policies 250 for annual and perennial crops on realistic tasks with constraints. In contrast to previous work which only investigated crop management strategies for a single crop (Turchetta et al., 2022; Overweg et al.,

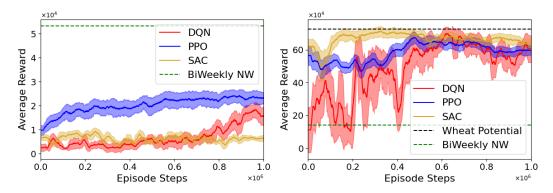


Figure 3: The average reward (in seasonal yield) of different policies: the jujube crop (left) and the wheat crop (right). The BiWeekly NW policy alternates applying nitrogen and water biweekly while the Wheat Potential (right) is the maximum growth obtainable. We omit the Jujube Potential because it assumes daily intervention, while we only allow the RL agents to intervene biweekly in the Jujube experiment.

2021), we show results from a variety of crop and soil types, demonstrating the customizability of WOFOSTGym. WOFOSTGym also allows for phosphorous and potassium application, and so our experiments consider their application as agromanagement decisions as well in contrast to previous work which only considered nitrogen and water application. We highlight the specific shortcomings of RL algorithms in our experiments, namely their inability to adhere to hard constraints and difficulty across long time horizons with delayed feedback. These difficulties naturally arise in agriculture and are reflected in WOFOSTGym, making it an appropriate simulator for both fundamental RL research and research on RL as an agromanagement decision support tool.

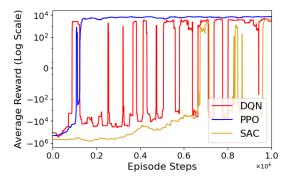
#### 5.1 RL Learning Curves on Various Tasks

In this section, we demonstrate that standard RL agents (PPO, SAC, DQN) can achieve near optimal performance on some instances of WOFOSTGym environments and substantially outperform common agromanagement practices. However, on other environment instances, RL algorithms struggle to learn, demonstrating the need for further research on RL in problems with long episode horizons with delayed feedback.

The PPO, SAC, and DQN algorithms are implemented with CleanRL<sup>1</sup> and hyperparameters were tuned experimentally to yield best performance in the WOFOSTGym domain. The agent can choose between 16 actions corresponding to varying amounts of nitrogen, phosphorus, potassium, and Water. Unless otherwise noted, the agent observes crop states: development stage and weight of storage organs, the soil states: total nitrogen, phosphorus, potassium, and Water applied, soil moisture content, nitrogen, phosphorous, and potassium available in subsoil, and the weather states: solar irradiation, average temperature, and rainfall.

**Sub-Optimal Performance of Standard RL Algorithms** We train RL agents to maximize the growth of jujube over three seasons and wheat over one season in WOFOSTGym and compare them with the maximum potential yield and a common agromanagement policy that alternates between nitrogen fertilization and irrigation biweekly. Results are shown in Figure 3. In the wheat experiment, we see that RL agents dramatically outperform the baseline of a Biweekly nitrogen and water application policy, yet fall short of the potential production in an unlimited nutrient setting. Meanwhile, in the jujube experiment, we see that RL agents are unable to match the performance of a monthly nitrogen and water application policy, necessitating future research.

<sup>&</sup>lt;sup>1</sup>https://github.com/vwxyzjn/cleanrl



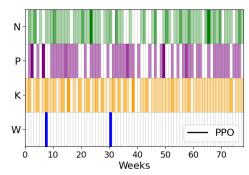
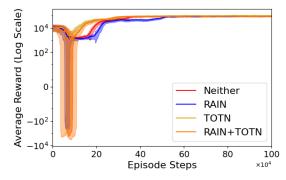


Figure 4: (Left) The reward running average of growing the pear crop over three seasons. (Right) The average day of fertilization and irrigation actions growing the pear tree using the trained PPO agent over 30 years. Darker colors signify more frequent application.

Failure of RL Algorithms to Consistently Satisfy Constraints Agriculture is modeled as a yield maximization problem subject to a set of constraints. One possible constraint is a limit on the amount of fertilizer and water that can be applied per season. To incentivize that behavior, we reward total yield and apply a large negative penalty if the fertilization and irrigation thresholds (in kg/ha and cm/ha) are exceeded. We train RL algorithms using this reward function and show the results in Figure 4. Unlike the previous experiment, there is no principled way to find the maximum total reward. We compare the RL agents to a baseline that applies nitrogen fertilizer and water until it meets the thresholds of 80 kg/ha of fertilizer or 40 cm/ha of water. While the baseline policy does not violate constraints, it does not obtain as high of a reward as the trained RL agents. However, the RL agents do not guarantee that they will never violate the fertilization constraints, which demonstrates the need for future research.

Ablation Study of RL Algorithms under Partial Observability — As previously discussed, agriculture should be modeled as a partial observability problem. To demonstrate the effects of partial observability in WOFOSTGym, we design a reward function that rewards crop yield subject to a large penalty if nutrient runoff occurs. Nutrient runoff happens when large amounts of fertilizer are present on the soil surface and irrigation or rainfall occur. We consider two relevant state features, RAIN and TOTN, the daily rainfall and fertilizer on the soil surface, respectively, and create four partially observable environments based on the combinations of the two variables. We train a PPO agent on all four of these scenarios and show the results on the potato crop in Figure 5.



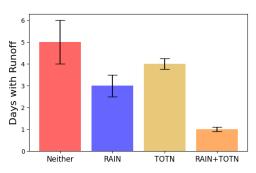
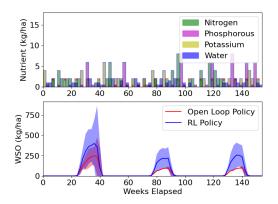
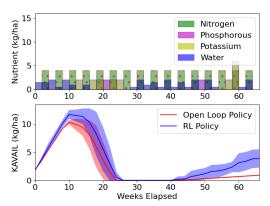


Figure 5: (Left) The learning curves of PPO when growing the potato crop subject to a reward for maximizing yield and a large penalty for incurring nitrogen surface runoff. Each PPO agent is trained on some degree of partial observability indicated by the label if it has access to TOTN or RAIN, the available surface nitrogen and daily rainfall, respectively.





(a) (Top) The biweekly action taken by two policies when growing the jujube tree across three seasons. (Bottom) The yield (WSO) of the jujube tree.

(b) (Top) The weekly action by two policies when growing the wheat plant across one season. (Bottom) The phosphorus available in the subsoil.

Figure 6: Comparing the 10 season average weight of the storage organs of the jujube tree (left) and the potassium available in the subsoil of the wheat crop (right). Bars with dots correspond to actions taken by the open loop biweekly water and nitrogen policy, solid bars are actions taken by the RL policy.

#### 5.2 Visual Comparison of Agromanagement Decisions on Multiple Farms

Comparing yield and nutrient levels under different agromanagement policies is desirable for farmers, but unrealistic to perform in the field due to the risk of untested actions on yield. WOFOSTGym enables agromanagement policies to be evaluated in simulation which could be a useful tool for farmers to understand the impacts of their agromanagement decisions on crop and soil health.

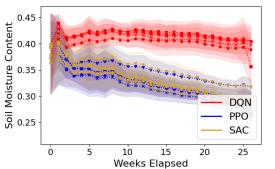
We train two PPO agents: one to maximize the yield of jujube over three seasons, and one to maximize the growth of barley over one season. We evaluate the yield obtained (WSO) by the PPO jujube policy to the yield obtained by a monthly fertilization policy across three growing seasons. Second, we compare the phosphorus available (PAVAIL) by the PPO barley policy to a biweekly fertilization policy during one growing season. See Figure 6.

In addition to visualizing agromanagement decisions, it is desirable to be able to learn a general agromanagement policy that can be applied to multiple farms. We create a WOFOSTGym environment that simultaneously simulates the growth of 5 sunflower farms experiencing the same weather. The observation space is the growth and soil variables for each farm. The weather is shared between farms and the action selected is uniformed applied to each farm. We train a PPO, DQN, and SAC agent in this multi farm scenario and report the soil moisture content and the average yield with each agent policy on each farm in Figure 7.

#### 5.3 Simulator Run Times

Fast simulators are central to the successful application of RL given the high sampling complexity of RL algorithms (Lechner et al., 2023). We benchmark the run times of three crop simulators: WOFOSTGym, CyclesGym, and gym-DSSAT (Gautron et al., 2022b; Turchetta et al., 2022). We compare run times for a single episode growing the maize crop (155 episode steps), and with the large potential for overhead when resetting the underlying CGM, we investigate the run times of the step and reset functions as well.

Our results in Table 4 show that WOFOSTGym outperforms CyclesGym, the only crop simulator that supports multi-year simulations, by an order of magnitude. WOFOSTGym is also faster than gym-DSSAT, with the improvement coming from the dramatic reduction in run time in the reset function. While the step function in gym-DSSAT outperforms that of WOFOSTGym, we note that



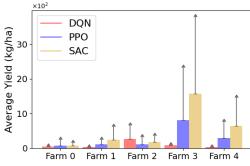


Figure 7: The soil moisture content (left) for the 5 farms, each denoted with a different marker, under 3 different RL agromanagement policies. The average yield (right) for the three agents across the 5 farms over 10 growing seasons of the sunflower plant.

WOFOSTGym performs many more computations per step by maintaining a nitrogen, phosphorus and potassium balance throughout the crop, whereas gym-DSSAT maintains only a nitrogen balance.

Run Time (s)	WOFOSTGym	CyclesGym	gym-DSSAT
1 Episode	$0.34\pm.012$	2.08±.221	$0.38\pm0.018$
Step Function	$0.003\pm0.0005$	0.04±.0020	$0.001\pm0.0001$
Reset Function	$0.012\pm0.002$	0.055±.002	$0.191\pm0.012$

Table 3: The average runtime (in seconds) of three different crop simulators on an Nvidia 3080Ti. Averages and standard deviations were computed over 100 trials.

#### 6 Limitations

Although WOFOSTGym offers an improved run time compared to other crop simulators, we note that for multi-year simulations, run time is non-trivial. WOFOSTGym takes  $\tilde{2}$  seconds to run a 3 year simulation of a perennial jujube crop. This is not an insignificant amount of time when RL algorithms require millions of episodes to learn a good policy. As the required episode horizon continues to increase for modeling perennial crop management decisions, developing a framework for a faster crop dynamics simulator will be a valuable direction for future work.

WOFOSTGym does not directly support training RL agents to optimize long term managerial crop rotation strategies and instead focuses on modeling perennial crop growth. The excellent work of Turchetta et al. (2022) handles managerial strategies for crop rotations with RL Agents. The WOFOST CGM provides support for crop rotations, and extending WOFOSTGym to support such problems could be an interesting extension.

The fidelity of the modified WOFOST CGM we presented is limited by the data available. While the parameter sets included can be considered high fidelity models as they were tuned against field data, sim-to-real transfer using WOFOSTGym should be attempted with caution. As research bridging RL to open-field agriculture continues to proliferate and the fidelity of CGMs increase with approaches like those in Section 4.3, direct sim-to-real transfer may become possible.

#### 7 Conclusion

We present WOFOSTGym, the first RL simulator for perennial crop management decision support.
The WOFOSTGym repository includes high fidelity parameters for 2 pernenial crops and 23 annual

- 350 crops and a wide variety of pre-specified agromanagement policies to compare RL agents against.
- 351 WOFOSTGym is easily customizable, which allows researchers to design and run their own exper-
- 352 iments even without domain expertise in agriculture.
- 353 We propose a new method for CGM calibration with the aim of increasing the fidelity of CGMs like
- 354 WOFOST as a step towards sim to real transfer in open-field agriculture. Our experiments demon-
- 355 strate the difficulty that current RL algorithms have in the agriculture domain, thus necessitating
- 356 further RL research to address the specific challenges that the agriculture domain presents. We sug-
- 357 gest some realistic benchmarks to evaluate RL algorithms against to support further research on AI
- 358 decision support for agriculture before deployment.

#### 359 Broader Impact Statement

- 360 Reinforcement Learning for crop modeling promises to aid growers in achieving policies that in-
- 361 crease crop yield while lowering costs and environmental impact. WOFOSTGym enables re-
- 362 searchers to learn and test policies on a high-fidelity simulator. However, there is still a simula-
- 363 tion gap, and the performance of these policies in sim should not be taken to be reflective of their
- 364 potential when deployed in the field.

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### **A** Crop Growth Models

640 WOFOST is a single-year and multi-crop agroecosystem model (Jones et al., 2017). It is built as 641 a hybrid crop model, meaning it relies both on mechanistic and empirical processes to simulate 642 crop growth (Di Paola et al., 2016). Crop growth in WOFOST is determined by the atmospheric 643 CO2 concentration, irradiation, daily temperature, subject to limited water, nitrogen, phosphorus, 644 and potassium. While WOFOST was designed for simulating the yield of annual crops (van Diepen 645 et al., 1989), field studies have recently shown that it can be used to accurately predict yield in 646 perennial fruit trees with some small modifications to the base model (Bai et al. (2020) Wang et al. 647 (2022)).

Given WOFOST's wide range of customizability with over 100 crop and soil parameters, its ability to simulate a wide variety of management practices, and its modular implementation in Python, WOFOST is an ideal CGM candidate to be used to simulate perennial crop growth to address that lack of perennial CGMs available, and the lack of perennial crop benchmarks available for RL research (Gautron et al., 2022a). For an introduction to the WOFOST see de Wit (2024) and de Wit (2019).

The choice of the WOFOST Crop Growth Model (CGM) was an integral design decision in the creation of WOFOSTGym. There are a wide variety of CGMs available for use. Table 4 outlines the desiderata that we used when selecting WOFOST to serve as the base CGM for the WOFOSTGym simulator.

Crop Model	Model Type	Nutrient Balance	Water Balance	Crop Type	Language
WOFOST	Hybrid	nitrogen, phosphorous, potassium	Single Layer, Multi Layer	Annual	Python, FORTRAN
APSIM	Mechanistic	nitrogen, phosphorous, potassium	Multi Layer	Annual	FORTRAN, C++
DSSAT	Hybrid	nitrogen, phosphorous, potassium	Multi Layer	Annual	FORTRAN
CropSyst	Mechanistic	nitrogen	Single Layer	Annual	C++
EPIC	Hybrid	nitrogen, phosphorous	Multi Layer	Annual, Rotations	FORTRAN
STICS	Empirical	nitrogen	Multi Layer	Annual, Perennial	Executable
Cycles	Mechanistic	nitrogen	Multi Layer	Annual, Perennial	Executable
AquaCrop	Empirical	Abundant	Multi Layer	Annual	Python, Executable
LINTUL3	Empirical	nitrogen	Abundant	Annual	Python

Table 4: Different CGMs and their strengths and weaknesses for modeling high fidelity crop growth, interfacing with RL algorithms, and supporting perennial crop decision evaluation. We note that CGMs with Python implementations are inherently easier to create OpenAI Gym environments for while also enabling the underlying environment to be modified with additional features such as perennial growth models.

#### B Usability

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A shortcoming in other crop simulators is the difficulty in using them by RL researchers and users unfamiliar with CGMs. WOFOSTGym aims to relieve the burden of domain knowledge required

- 661 to use other crop simulators by streamlining configuration into the readable YAML file format. In
- 662 this section, we will highlight the features that make WOFOSTGym easy to use for RL researchers
- 663 interested in agriculture.
- 664 **Simulation Configuration** WOFOST CGM configuration is divided into three configuration files:
- 665 the crop YAML file, site YAML file, and agromanagement YAML file. WOFOSTGym provides 25
- 666 crop YAML files and 3 site YAML files. In the agromanagement YAML file, the specific crop and
- site configuration to load is specified, along with the length of the simulation, year, and geographic
- location. The agromanagement YAML file contains 14 entries, while still enabling a user to simulate
- 669 25 different crops and their associated varieties. This feature is a dramatic improvement over other
- 670 crop simulators, which either only support 1 crop, or have an opaque configuration that requires
- agricultural knowledge that many RL researchers will not possess.
- 672 In addition, every parameter can be modified from the command line, and each simulation automat-
- 673 ically saves a configuration file, meaning that simulations can be easily modified and reloaded for
- 674 further use. For an example, see Figure 8,

```
# Test Simulation of the Jujube crop
python3 test_wofost.py --save-folder test/ --data-file test --npk.ag.crop-name
    jujube --npk.ag.crop-variety jujube_1 --env-id perennial-lnpkw-v0

# Generate data of the default crop (wheat) and modify a few crop parameters
python3 gen_data.py --save-folder data/ --data-file wheat_data --file-type npz --
    npk.wf.TBASEM 2.0 --npk.wf.SMFCF 0.51

# Train a SAC agent to irrigate the wheat crop in an environment where nitrogen,
    phosphorus and potassium nutrients are abundant and modify the SAC algorithm
    parameters
python3 train_agent.py --save-folder RL/ --agent-type SAC --env-id lnw-v0 --SAC.
    gamma 0.95

# Train a PPO agent given a WOFOSTGym Configuration file
python3 train_agent.py --save-folder RL --agent-type PPO --config-fpath RL/
    ppo_test.yaml
```

Figure 8: Example for how to configure agent training and data generation in WOFOSTGym. Specific parameters can be modified by the command line. In addition, configuration YAML files can also be loaded for reproducibility (and are automatically saved each time a simulation is run).

- Pre-Specified Agricultural Policies The goal of using RL for agriculture is to improve upon crop
- 676 management strategies. However, to measure this improvement, common crop management policies
- 677 must be available in a crop simulator to compare against. While other crop simulators do not include
- baselines, WOFOSTGym comes with 10 pre-specified agromanagement policies that are commonly
- 679 used in agriculture. These policies include: "fertilize X amount every week," or "irrigate X amount
- 680 if the soil moisture content is below Y." In WOFOSTGym, X and Y are easily modifiable via
- 681 command line or YAML file.
- 682 Data Generation Offline data is used to for problems in Offline RL (Levine et al., 2020), Off
- 683 Policy Evaluation (Thomas & Brunskill, 2016), and Transfer Learning (Zhuang et al., 2021). To
- 684 facilitate research on these topics in the agriculture domain, data must be widely available. How-
- 685 ever, real world agricultural data is plagued by inaccessibility and missing data. To address this
- 686 problem, crop simulators are a natural solution, yet no other available crop simulator provides an
- 687 efficient pipeline for data generation across a wide range of scenarios that would be of interest in the
- 688 aforementioned problems.
- 689 WOFOSTGym addresses this shortcoming by providing a pipeline that can generate data from a
- 690 variety of different crops and sites and supports generating data from both RL Agent policies and

- 691 the Pre-Specified policies described above. By including this data generation in WOFOSTGym, we
- 692 hope to both facilitate research into these interesting RL related problems in agriculture, and set a
- 693 standard of usability for crop simulators that follow WOFOSTGym.