# The Recursive Index Calculus and Its Translation From $R\omega$

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## 1 $\mu$ Ix, The Recursive Index Calculus

## 1.1 Syntax

```
Term variables x Type variables \alpha Index variables i Index Sorts  \gamma ::= \text{Nat} \mid \text{Ix } \iota  Index Objects  \iota ::= i \mid \text{Zero} \mid \text{Suc } \iota  Types  \tau, v ::= \gamma \mid \iota \mid \star \mid \alpha \mid \top \mid \Pi i : \tau.v \mid \Sigma i : \tau.v   \tau v \mid \tau \sim v  Terms  M, N ::= x \mid () \mid \lambda x : \tau.M \mid M N \mid (M, N) \mid M.1 \mid M.2  Environments  \Gamma ::= \varepsilon \mid \Gamma, x : \tau
```

Figure 1: Syntax

## 1.2 Typing

Figure 2: Contexts and kinding.

## 1.3 Typing

## 2 Adding Recursion to $R\omega$

The static semantics of  $R\omega$ , as defined in Hubers and Morris [2023], are given in Appendix A for reference. We define only the syntax and rules necessary for least- and greatest-fixed points with general term-level recursion, which are routine.

$$\begin{array}{c|c} \Gamma \vdash \tau : \kappa \\ \\ \hline \Gamma \vdash \tau : \star \to \star \\ \hline \Gamma \vdash \mu \tau : \star & \hline \Gamma \vdash \nu \tau : \star \\ \hline \hline \Gamma \vdash M : \tau \\ \\ \hline \dots \end{array}$$

## 3 Translating $\mu$ Ix from R $\omega$

Rules for the System  $F_{\omega}$  fragment of  $R\omega$  have a trivial correspondence to the  $F_{\omega}$  fragment of  $\mu$ Ix and are omitted. The syntax and typing judgments on the left are that of  $R\omega$  (see Appendix A); on the right are  $\mu$ Ix.

$$\begin{bmatrix}
\Gamma \vdash \tau : \kappa \end{bmatrix} \\
\begin{bmatrix}
\Gamma \vdash \rho : R^{\kappa} \\
\Gamma \vdash \Pi \rho : \kappa
\end{bmatrix} = \forall n : \text{Nat.} Ix \ n \to \llbracket \Gamma \vdash \rho : R^{\kappa} \rrbracket \ n$$

$$\begin{bmatrix}
\Gamma \vdash \rho : R^{\kappa} \\
\Gamma \vdash \Sigma \rho : \kappa
\end{bmatrix} = \exists n : \text{Nat.} \llbracket \Gamma \vdash \rho : R^{\kappa} \rrbracket \ n$$

$$\begin{bmatrix}
\frac{\vdash \Gamma}{\Gamma \vdash \ell : L} \rrbracket = \top$$

$$\begin{bmatrix}
\Gamma \vdash \xi : L \quad \Gamma \vdash \tau : \kappa \\
\Gamma \vdash \xi \triangleright \tau : \kappa
\end{bmatrix} = \llbracket \Gamma \vdash \tau : \kappa \rrbracket$$

$$\begin{bmatrix}
\Gamma \vdash \rho : R^{\kappa_1 \to \kappa_2} \quad \Gamma \vdash \tau : \kappa_1
\end{bmatrix} = \lambda n : \text{Nat.} \llbracket \Gamma \vdash \rho : R^{\kappa_1 \to \kappa_2} \rrbracket \ n \ \llbracket \Gamma \vdash \tau : \kappa_1
\end{bmatrix}$$

$$\begin{bmatrix}
\Gamma \vdash \phi : \kappa_1 \to \kappa_2 \quad \Gamma \vdash \rho : R^{\kappa_1} \\
\Gamma \vdash \phi \rho : R^{\kappa_2}
\end{bmatrix} = \lambda n : \text{Nat.} \llbracket \Gamma \vdash \phi : \kappa_1 \to \kappa_2 \rrbracket \ n \ \llbracket \Gamma \vdash \rho : R^{\kappa_1} \rrbracket$$

$$\begin{bmatrix}
\Gamma \vdash \tau \ \{\xi \triangleright \tau\} : R^{\kappa} \\
\Gamma \vdash \{\xi \triangleright \tau\} : R^{\kappa}
\end{bmatrix} = \llbracket \Gamma \vdash_{\tau} \{\xi \triangleright \tau\} : R^{\kappa}
\end{bmatrix}$$

$$\begin{bmatrix}
\Gamma \vdash \pi \quad \Gamma, \pi \vdash \tau : \star \\
\Gamma \vdash \pi \Rightarrow \tau : \star
\end{bmatrix} = \llbracket \pi \rrbracket \to \llbracket \tau \rrbracket$$

Figure 3: A compositional translation of  $R\omega$  to  $\mu Ix$ 

## References

Alex Hubers and J. Garrett Morris. Generic programming with extensible data types; or, making ad hoc extensible data types less ad hoc. CoRR, abs/2307.08759, 2023. doi: 10.48550/arXiv.2307.08759. URL https://doi.org/10.48550/arXiv.2307.08759.

#### A The static semantics of $R\omega$

### A.1 Syntax

The syntax of  $R\omega(\mathcal{T})$  is given in Figure 4.

```
Labels \ell
  Term variables x
                                           Type variables \alpha
                                                                                                             Directions d \in \{L, R\}
                                      \kappa ::= \star \mid \mathsf{L} \mid \mathsf{R}^{\kappa} \mid \kappa \to \kappa
Kinds
                                 \pi, \psi ::= \rho \lesssim_d \rho \mid \rho \odot \rho \sim \rho
Predicates
                     \phi, \tau, v, \rho, \xi ::= \alpha \mid (\rightarrow) \mid \pi \Rightarrow \tau \mid \forall \alpha : \kappa.\tau \mid \lambda \alpha : \kappa.\tau \mid \tau \tau
Types
                                            |\ell| \lfloor \xi \rfloor | \xi \triangleright \tau | \{\tau_1, \dots, \tau_n\} | \Pi \rho | \Sigma \rho
                              M, N ::= x \mid \lambda x : \tau . M \mid M N \mid \Lambda \alpha : \kappa . M \mid M [\tau]
Terms
                                            \mid \operatorname{syn}_{\phi} M \mid \operatorname{ana}_{\phi} M \mid \operatorname{fold} M M M M
                                     \Gamma ::= \varepsilon \mid \Gamma, \alpha : \kappa \mid \Gamma, x : \tau \mid \Gamma, \pi
Environments
```

Figure 4: Syntax

## A.2 Types and Kinds

Figure 5 gives rules for context formation ( $\vdash \Gamma$ ), kinding ( $\Gamma \vdash \tau : \kappa$ ), and predicate formation ( $\Gamma \vdash \pi$ ), parameterized by row theory  $\mathcal{T}$ .

$$(C-EMP) \xrightarrow{\vdash \mathcal{E}} (C-TVAR) \xrightarrow{\vdash \Gamma} (C-VAR) \xrightarrow{\vdash \Gamma} (C-VAR) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash \tau : \star} (C-PRED) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash \pi}$$

$$(K-VAR) \xrightarrow{\vdash \Gamma} \alpha : \kappa \in \Gamma (K-(\rightarrow)) \xrightarrow{\vdash \Gamma} \xrightarrow{\vdash \Gamma} (K-(\rightarrow)) \xrightarrow{\vdash \Gamma} (K-(\rightarrow)) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash \pi} \xrightarrow{\Gamma, \pi \vdash \tau : \star}$$

$$(K-\forall) \xrightarrow{\Gamma, \alpha : \kappa \vdash \tau : \star} (K-\forall) \xrightarrow{\Gamma, \alpha : \kappa \vdash \tau : \kappa_2} (K-\Rightarrow) \xrightarrow{\Gamma \vdash \pi} \xrightarrow{\Gamma \vdash \pi \Rightarrow \tau : \star}$$

$$(K-\forall) \xrightarrow{\Gamma, \alpha : \kappa \vdash \tau : \star} (K-\Rightarrow I) \xrightarrow{\Gamma, \alpha : \kappa_1 \vdash \tau : \kappa_2} (K-\Rightarrow E) \xrightarrow{\Gamma \vdash \tau_1 : \kappa_1 \to \kappa_2} \xrightarrow{\Gamma \vdash \tau_2 : \kappa_1}$$

$$(K-LAB) \xrightarrow{\vdash \Gamma} (K-SING) \xrightarrow{\Gamma \vdash \xi : L} (K-LTY) \xrightarrow{\Gamma \vdash \xi : L} \xrightarrow{\Gamma \vdash \tau : \kappa} (K-ROW) \xrightarrow{\Gamma \vdash \tau} \xrightarrow{\Gamma \vdash \xi \vdash \tau} : R^\kappa$$

$$(K-\Pi) \xrightarrow{\Gamma \vdash \rho : R^\kappa} (K-\Sigma) \xrightarrow{\Gamma \vdash \rho : R^\kappa} (K-LIFT_1) \xrightarrow{\Gamma \vdash \rho : R^{\kappa_1 \to \kappa_2}} \xrightarrow{\Gamma \vdash \tau : \kappa_1}$$

$$(K-LIFT_2) \xrightarrow{\Gamma \vdash \phi : \kappa_1 \to \kappa_2} \xrightarrow{\Gamma \vdash \rho : R^{\kappa_1}} (K-\Rightarrow A) \xrightarrow{\Gamma \vdash \rho_1 : R^\kappa} (K-\Rightarrow$$

Figure 5: Contexts and kinding.

$$(\text{E-REFL}) \frac{\tau \equiv \tau}{\tau \equiv \tau} | \pi \equiv \pi |$$

$$(\text{E-REFL}) \frac{\tau}{\tau \equiv \tau} \qquad (\text{E-SYM}) \frac{\tau_1 \equiv \tau_2}{\tau_2 \equiv \tau_1} \qquad (\text{E-TRANS}) \frac{\tau_1 \equiv \tau_2}{\tau_1 \equiv \tau_3} \qquad (\text{E-}\beta) \frac{\tau_1 \equiv \tau_2}{(\lambda \alpha : \kappa. \tau) \ \upsilon \equiv \tau [\upsilon / \alpha ]}$$

$$(\text{E-}\xi_{\Rightarrow}) \frac{\pi_1 \equiv \pi_2}{\pi_1 \Rightarrow \tau_1 \equiv \pi_2} \qquad (\text{E-}\xi_{\forall}) \frac{\tau [\gamma / \alpha] \equiv \upsilon [\gamma / \beta]}{\forall \alpha : \kappa. \tau \equiv \forall \beta : \kappa. \upsilon} \qquad (\gamma \not\in f \upsilon (\tau, \upsilon)) \qquad (\text{E-}\xi_{\text{APP}}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \tau_2 \equiv \upsilon_1 \upsilon_2}$$

$$(\text{E-}\xi_{\Rightarrow}) \frac{\xi_1 \equiv \xi_2}{\xi_1 \rhd \tau_1 \equiv \tau_2} \qquad (\text{E-ROW}) \frac{\{\overline{\xi_i \rhd \tau_i}\} \equiv \tau \{\overline{\xi_j' \rhd \tau_j'}\}}{\{\overline{\xi_i \rhd \tau_i}\} \equiv \{\overline{\xi_j' \rhd \tau_j'}\}} \qquad (\text{E-}\xi_{\vdash}) \frac{\xi_1 \equiv \xi_2}{[\xi_1] \equiv [\xi_2]}$$

$$(\text{E-LIFT}_1) \frac{\xi_1 \equiv \xi_2}{\{\xi \rhd \phi\} \tau\}} \qquad (\text{E-LIFT}_2) \frac{\varphi \{\xi \rhd \tau\} \equiv \{\xi \rhd \phi\tau\}}{\varphi \{\xi \rhd \tau\} \equiv \{\xi \rhd \tau\}} \qquad (K \in \{\Pi, \Sigma\})$$

$$(\text{E-}\xi_{\Pi\Sigma}) \frac{\rho_1 \equiv \rho_2}{K\rho_1 \equiv K\rho_2} \qquad (\text{E-LIFT}_3) \frac{\tau_i \equiv \upsilon_i}{(K\rho) \tau} \qquad (E-\xi_{\circlearrowleft}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \lesssim_d \tau_2 \equiv \upsilon_1 \lesssim_d \upsilon_2} \qquad (E-\xi_{\circlearrowleft}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \odot \tau_2 \sim \tau_3 \equiv \upsilon_1 \odot \upsilon_2 \sim \upsilon_3}$$

Figure 6: Type and predicate equivalence

#### A.3 Terms

$$\begin{array}{c} \boxed{\Gamma \vdash M : \tau} \\ \\ (\text{T-VAR}) \dfrac{\vdash \Gamma \quad x : \tau \in \Gamma}{\Gamma \vdash x : \tau} \qquad (\text{T} \rightarrow I) \dfrac{\Gamma \vdash \tau_1 : \star \quad \Gamma, x : \tau_1 \vdash M : \tau_2}{\Gamma \vdash \lambda x : \tau_1 M : \tau_1 \rightarrow \tau_2} \qquad (\text{T} \rightarrow E) \dfrac{\Gamma \vdash M_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash M_2}{\Gamma \vdash M_1 M_2 : \tau_2} \\ \\ (\text{T-} \equiv) \dfrac{\Gamma \vdash M : \tau \quad \tau \equiv \upsilon}{\Gamma \vdash M : \upsilon} \qquad (\text{T-} \Rightarrow I) \dfrac{\Gamma \vdash \pi \quad \Gamma, \pi \vdash M : \tau}{\Gamma \vdash M : \pi \Rightarrow \tau} \qquad (\text{T-} \Rightarrow E) \dfrac{\Gamma \vdash M : \pi \Rightarrow \tau \quad \Gamma \vdash \tau_1 \pi}{\Gamma \vdash M : \tau} \\ \\ (\text{T-} \forall I) \dfrac{\Gamma, \alpha : \kappa \vdash M : \tau}{\Gamma \vdash \Lambda \alpha : \kappa M} : \forall \alpha : \kappa . \tau \qquad (\text{T-} \forall E) \dfrac{\Gamma \vdash M : \forall \alpha : \kappa . \tau \quad \Gamma \vdash \upsilon : \kappa}{\Gamma \vdash M [\upsilon] : \tau [\upsilon / \alpha]} \\ \\ (\text{T-SING}) \dfrac{\vdash \Gamma}{\Gamma \vdash \ell : \lfloor \ell \rfloor} \qquad (\text{T-} \forall I) \dfrac{\Gamma \vdash M_1 : \lfloor \ell \rfloor \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1 \lor M_2 : \ell \lor \tau} \qquad (\text{T-} \forall E) \dfrac{\Gamma \vdash M_1 : \ell \vdash \tau \quad \Gamma \vdash M_2 : \lfloor \ell \rfloor}{\Gamma \vdash M_1 \vdash M_2 : \tau} \\ \\ (\text{T-} \Box E) \dfrac{\Gamma \vdash M : \Box \rho_1}{\Gamma \vdash \rho r i_d} M : \Box \rho_2 \qquad (\text{T-} \Box II) \dfrac{\Gamma \vdash M_1 : \Box \rho_1 \quad \Gamma \vdash M_2 : \Box \rho_2}{\Gamma \vdash M_1 : \Sigma \rho_1 \rightarrow \tau} \dfrac{\Gamma \vdash M_2 : \Box \rho_2}{\Gamma \vdash M_1 : \Sigma \rho_1 \rightarrow \tau} \dfrac{\Gamma \vdash M_2 : \Box \rho_2}{\Gamma \vdash M_1 : \Sigma \rho_3 \rightarrow \tau} \\ \\ (\text{T-ana}) \dfrac{\Gamma \vdash M : \Sigma \rho_1 \quad \Gamma \vdash \tau \rho_1 \lesssim_d \rho_2}{\Gamma \vdash \alpha_1 : \gamma_1 M} \simeq_{\mathcal{P}_2} (\Gamma \vdash \mathcal{P}_2) \dfrac{\Gamma \vdash M_1 : \Sigma \rho_1 \rightarrow \tau}{\Gamma \vdash M_2 : \Sigma \rho_2 \rightarrow \tau} \dfrac{\Gamma \vdash \mu_T \rho_1 \odot \rho_2 \sim \rho_3}{\Gamma \vdash M_1 : \Sigma \rho_1 \rightarrow \tau} \\ \\ (\text{T-ana}) \dfrac{\Gamma \vdash M : \forall l : \bot, u : \kappa, y_1, z, y_2 : R^\kappa. (y_1 \odot \{l \rhd u\} \sim z, z \odot y_2 \sim \rho) \Rightarrow \lfloor l \rfloor \rightarrow \phi u \rightarrow \tau}{\Gamma \vdash \alpha_1 : \gamma_1 M} \\ \\ (\text{T-syn}) \dfrac{\Gamma \vdash M : \forall l : \bot, u : \kappa, y_1, z, y_2 : R^\kappa. (y_1 \odot \{l \rhd u\} \sim z, z \odot y_2 \sim \rho) \Rightarrow \lfloor l \rfloor \rightarrow \phi u}{\Gamma \vdash \beta_1 M_2 : \nu \rightarrow \nu \rightarrow \nu} \\ \\ (\text{T-fold}) \dfrac{M_1 : \forall l : \bot, \star, y_1, z, y_2 : R^\kappa. (y_1 \odot \{l \rhd u\} \sim z, z \odot y_2 \sim \rho) \Rightarrow \lfloor l \rfloor \rightarrow \psi}{\Gamma \vdash M_3 : \nu \quad \Gamma \vdash N : \Box \rho} \\ \\ (\text{T-fold}) \dfrac{M_1 : \forall l : \bot, \star, y_1, z, y_2 : R^\kappa. (y_1 \odot \{l \rhd u\} \sim z, z \odot y_2 \sim \rho) \Rightarrow \lfloor l \rfloor \rightarrow \psi}{\Gamma \vdash M_3 : \nu \quad \Gamma \vdash N : \Box \rho} \\ \\ (\text{T-fold}) \dfrac{M_1 : \forall l : \bot, \tau, y_1, z, y_2 : R^\kappa. (y_1 \odot \{l \rhd u\} \sim z, z \odot y_2 \sim \rho) \Rightarrow \lfloor l \rfloor \rightarrow \psi}{\Gamma \vdash \beta_1 M} \\ \\ \dfrac{H}{\Gamma \vdash \beta_1 M} = \dfrac{\Pi}{\Gamma} + \dfrac$$

Figure 7: Typing

Minimal Rows

Figure 8 gives the minimal row theory  $\mathcal{M}$ .

$$\begin{array}{c|c} \hline \Gamma \vdash_{\mathsf{m}} \rho : \kappa \end{array} \boxed{\rho \equiv_{\mathsf{m}} \rho} \\ \hline (\text{K-MROW}) \frac{\Gamma \vdash_{\mathsf{k}} : \mathsf{L} \quad \Gamma \vdash_{\mathsf{\tau}} : \kappa}{\Gamma \vdash_{\mathsf{m}} \{\xi \rhd \tau\} : \mathsf{R}^{\kappa}} \qquad \text{(E-MROW}) \frac{\xi \equiv \xi' \quad \tau \equiv \tau'}{\{\xi \rhd \tau\} \equiv_{\mathsf{m}} \{\xi' \rhd \tau'\}} \\ \hline \Gamma \Vdash_{\mathsf{m}} \pi \\ \hline \\ (\text{N-AX}) \frac{\pi \in \Gamma}{\Gamma \Vdash_{\mathsf{m}} \pi} \qquad \text{(N-REFL)} \frac{\Gamma \Vdash_{\mathsf{m}} \rho \lesssim_{d} \rho}{\Gamma \Vdash_{\mathsf{m}} \rho \lesssim_{d} \rho} \qquad \text{(N-TRANS)} \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{3}} \\ \hline (\text{N-}\equiv) \frac{\Gamma \Vdash_{\mathsf{m}} \pi_{1} \quad \pi_{1} \equiv \pi_{2}}{\Gamma \Vdash_{\mathsf{m}} \pi_{2}} \qquad \text{(N-} \lesssim \mathsf{LIFT}_{1}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}} \qquad \text{(N-} \lesssim \mathsf{LIFT}_{2}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \tau \lesssim_{d} \rho_{2} \tau} \\ \hline (\text{N-}\odot\mathsf{LIFT}_{1}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \tau \odot \rho_{2} \sim \rho_{3}} \qquad \text{(N-}\odot\mathsf{LIFT}_{2}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}} \\ \hline (\text{N-}\odot\lesssim_{\mathsf{L}}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{\mathsf{L}} \rho_{3}} \qquad \text{(N-}\odot\lesssim_{\mathsf{R}}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{2} \lesssim_{\mathsf{R}} \rho_{3}} \\ \hline \end{array}$$

Figure 8: Minimal row theory  $\mathcal{M} = \langle \vdash_m, \equiv_m, \Vdash_m \rangle$