Practical extensibility in recursive row type theory

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ACM Reference Format:

1 INTRODUCTION

1.1 The expression problem, in full

1.1.1 Seeking solutions sans encodings.

1.2 Recursion and rows

- 1.2.1 Row type systems with term- or type-level μ . There are none.
- 1.2.2 Structural typing of objects in recursive record calculi.

1.3 Challenges to practical extensibility

- 1.3.1 Polymorphic variants in OCaml.
- 1.3.2 Inheritance is not subtyping.

2 ROME: A ROW THEORETIC FOUNDATION FOR (CO)INDUCTIVE DATA TYPES

3 R ω -HIGHER ORDERED ROWS

Recursive types have a well known semantics as the least fixed-points of type-level operators. $R\omega$ is the only row calculus (to our knowledge) to include an (explicit) type-level λ operator. Like with $F\omega$, this necessarily sacrifices desirable metatheoretic properties, such as principality of types; hence, like $F\omega$, $R\omega$ may serve as a highly expressive intermediate or target language. Correspondingly, we perceive the addition of recursive terms and types to $R\omega$ to aid the adoption of recursion in surface languages.

We review the relevant syntax and typing of $R\omega$ now.

(Todo). It will be a challenge to trim this down, as [Hubers and Morris 2023] does with [Morris and McKinna 2019].

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3.1 Syntax

The syntax of $R\omega(\mathcal{T})$ is given in Figure 6.

Term variables xType variables α Labels ℓ Directions $d \in \{L, R\}$ Kinds $\kappa ::= \star \mid \mathsf{L} \mid \mathsf{R}^{\kappa} \mid \kappa \to \kappa$ Predicates $\pi, \psi ::= \rho \lesssim_d \rho \mid \rho \odot \rho \sim \rho$ $\phi, \tau, v, \rho, \xi ::= \alpha \mid (\rightarrow) \mid \pi \Rightarrow \tau \mid \forall \alpha : \kappa.\tau \mid \lambda\alpha : \kappa.\tau \mid \tau\tau$ Types $|\ell| \lfloor \xi \rfloor |\xi \triangleright \tau| \{\tau_1, \ldots, \tau_n\} | \Pi \rho | \Sigma \rho$ $M, N ::= x \mid \lambda x : \tau . M \mid M N \mid \Lambda \alpha : \kappa . M \mid M [\tau]$ Terms $| \ell | M \triangleright M | M/M | \operatorname{prj}_d M | M + M | \operatorname{inj}_d M | M \triangledown M$ | $\operatorname{syn}_{\phi} M \mid \operatorname{ana}_{\phi} M \mid \operatorname{fold} M M M M$ $\Gamma ::= \varepsilon \mid \Gamma, \alpha : \kappa \mid \Gamma, x : \tau \mid \Gamma, \pi$ Environments

Fig. 1. Syntax

3.2 Types and Kinds

Figure 2 gives rules for context formation ($\vdash \Gamma$), kinding ($\Gamma \vdash \tau : \kappa$), and predicate formation ($\Gamma \vdash \pi$), parameterized by row theory \mathcal{T} .

$$(C-EMP) \frac{\vdash \Gamma}{\vdash \varepsilon} \qquad (C-TVAR) \frac{\vdash \Gamma}{\vdash \Gamma, \alpha : \kappa} \qquad (C-VAR) \frac{\vdash \Gamma}{\vdash \Gamma, \alpha : \tau} \qquad (C-PRED) \frac{\vdash \Gamma \qquad \Gamma \vdash \pi}{\vdash \Gamma, \pi}$$

$$(K-VAR) \frac{\vdash \Gamma \qquad \alpha : \kappa \in \Gamma}{\Gamma \vdash \alpha : \kappa} \qquad (K-(-)) \frac{\vdash \Gamma \qquad \Gamma \vdash \pi}{\Gamma \vdash (-) : \star \rightarrow \star \rightarrow \star} \qquad (K-\Rightarrow) \frac{\Gamma \vdash \pi \qquad \Gamma, \pi \vdash \tau : \star}{\Gamma \vdash \pi \Rightarrow \tau : \star}$$

$$(K-V) \frac{\Gamma, \alpha : \kappa \vdash \tau : \star}{\Gamma \vdash \forall \alpha : \kappa, \tau : \star} \qquad (K-\Rightarrow I) \frac{\Gamma, \alpha : \kappa_1 \vdash \tau : \kappa_2}{\Gamma \vdash \lambda \alpha : \kappa_1 \cdot \tau : \kappa_1 \rightarrow \kappa_2} \qquad (K-\Rightarrow E) \frac{\Gamma \vdash \tau_1 : \kappa_1 \rightarrow \kappa_2 \qquad \Gamma \vdash \tau_2 : \kappa_1}{\Gamma \vdash \tau_1 \tau_2 : \kappa_2}$$

$$(K-LAB) \frac{\vdash \Gamma}{\Gamma \vdash \ell : L} \qquad (K-SING) \frac{\Gamma \vdash \xi : L}{\Gamma \vdash \lfloor \xi \rfloor : \star} \qquad (K-LTY) \frac{\Gamma \vdash \xi : L}{\Gamma \vdash \xi \triangleright \tau : \kappa} \qquad (K-ROW) \frac{\Gamma \vdash \tau}{\Gamma \vdash \{\xi \triangleright \tau\} : R^\kappa}$$

$$(K-II) \frac{\Gamma \vdash \rho : R^\kappa}{\Gamma \vdash \Pi \rho : \kappa} \qquad (K-\Sigma) \frac{\Gamma \vdash \rho : R^\kappa}{\Gamma \vdash \Sigma \rho : \kappa} \qquad (K-LIFT_1) \frac{\Gamma \vdash \rho : R^{\kappa_1 \rightarrow \kappa_2} \qquad \Gamma \vdash \tau : \kappa_1}{\Gamma \vdash \rho : R^{\kappa_1 \rightarrow \kappa_2} \qquad \Gamma \vdash \tau : \kappa_1}$$

$$(K-LIFT_2) \frac{\Gamma \vdash \phi : \kappa_1 \rightarrow \kappa_2 \qquad \Gamma \vdash \rho : R^{\kappa_1}}{\Gamma \vdash \phi \rho : R^{\kappa_2}} \qquad (K-\lesssim_d) \frac{\Gamma \vdash \rho_1 : R^\kappa}{\Gamma \vdash \rho_1 \lesssim_d \rho_2} \qquad (K-\circlearrowleft) \frac{\Gamma \vdash \rho_1 : R^\kappa}{\Gamma \vdash \rho_1 \lesssim_d \rho_2} \qquad (K-\circlearrowleft) \frac{\Gamma \vdash \rho_1 : R^\kappa}{\Gamma \vdash \rho_1 \lesssim_d \rho_2}$$

Fig. 2. Contexts and kinding.

$$(\text{E-REFL}) \frac{\tau \equiv \tau}{\tau \equiv \tau} \qquad (\text{E-SYM}) \frac{\tau_1 \equiv \tau_2}{\tau_2 \equiv \tau_1} \qquad (\text{E-TRANS}) \frac{\tau_1 \equiv \tau_2}{\tau_1 \equiv \tau_3} \qquad (\text{E-}\beta) \frac{\tau_1 \equiv \tau_2}{(\lambda \alpha : \kappa. \tau) \ \upsilon \equiv \tau [\upsilon / \alpha]}$$

$$(\text{E-}\xi \Rightarrow) \frac{\pi_1 \equiv \pi_2 \quad \tau_1 \equiv \tau_2}{\pi_1 \Rightarrow \tau_1 \equiv \pi_2 \Rightarrow \tau_2} \qquad (\text{E-}\xi \lor) \frac{\tau [\gamma / \alpha] \equiv \upsilon [\gamma / \beta]}{\forall \alpha : \kappa. \tau \equiv \forall \beta : \kappa. \upsilon} (\gamma \notin f \upsilon (\tau, \upsilon)) \qquad (\text{E-}\xi_{\text{APP}}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \tau_2 \equiv \upsilon_1 \upsilon_2}$$

$$(\text{E-}\xi \Rightarrow) \frac{\xi_1 \equiv \xi_2 \quad \tau_1 \equiv \tau_2}{\xi_1 \Rightarrow \tau_1 \equiv \xi_2 \Rightarrow \tau_2} \qquad (\text{E-ROW}) \frac{\{\xi_i \Rightarrow \tau_i\} \equiv \tau \{\xi_j' \Rightarrow \tau_j'\}}{\{\xi_i \Rightarrow \tau_i\} \equiv \{\xi_j' \Rightarrow \tau_j'\}} \qquad (\text{E-}\xi \Rightarrow) \frac{\xi_1 \equiv \xi_2}{\{\xi_1 \Rightarrow \tau_1 \equiv \xi_2 \Rightarrow \tau_2\}}$$

$$(\text{E-LIFT}_1) \frac{\xi_1 \equiv \xi_2}{\{\xi_1 \Rightarrow \tau_1 \equiv \xi_2 \Rightarrow \tau_2\}} \qquad (\text{E-LIFT}_2) \frac{\xi_1 \equiv \xi_2}{\{\xi_1 \Rightarrow \tau_1\} \equiv \{\xi_2 \Rightarrow \tau_2\}}$$

$$(\text{E-}\xi \Rightarrow) \frac{\rho_1 \equiv \rho_2}{K \rho_1 \equiv K \rho_2} \qquad (\text{E-LIFT}_3) \frac{(\kappa \rho) \tau \equiv K(\rho \tau)}{(K \rho) \tau \equiv K(\rho \tau)} \qquad (\text{E-SING}) \frac{\pi_i \equiv \upsilon_i}{K \xi_2 \Rightarrow \tau_1} \qquad (K \in \{\Pi, \Sigma\})$$

$$(\text{E-}\xi \Rightarrow) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \Rightarrow \tau_1 \Rightarrow \tau_2 \Rightarrow \upsilon_1 \Rightarrow \tau_2 \Rightarrow \upsilon_1 \Rightarrow \tau_2 \Rightarrow \upsilon_1 \Rightarrow \upsilon_2 \Rightarrow \upsilon_2$$

Fig. 3. Type and predicate equivalence

3.3 Terms

Fig. 4. Typing

Minimal Rows

Figure 5 gives the minimal row theory \mathcal{M} .

$$\left(\mathsf{K-MROW} \right) \frac{\Gamma \vdash \xi : \mathsf{L} \quad \Gamma \vdash \tau : \kappa}{\Gamma \vdash_{\mathsf{lm}} \{ \xi \triangleright \tau \} : \mathsf{R}^{\kappa}} \qquad \left(\mathsf{E-MROW} \right) \frac{\xi \equiv \xi' \quad \tau \equiv \tau'}{\{ \xi \triangleright \tau \}}$$

$$\left(\mathsf{N-AX} \right) \frac{\pi \in \Gamma}{\Gamma \Vdash_{\mathsf{lm}} \pi} \qquad \left(\mathsf{N-REFL} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho \leq_{d} \rho}{\Gamma \Vdash_{\mathsf{lm}} \rho \leq_{d} \rho} \qquad \left(\mathsf{N-TRANS} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \leq_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \leq_{d} \rho_{3}}$$

$$\left(\mathsf{N-} \equiv \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \pi_{1} \quad \pi_{1} \equiv \pi_{2}}{\Gamma \Vdash_{\mathsf{lm}} \pi_{2}} \qquad \left(\mathsf{N-} \leq_{\mathsf{LIFT}1} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \leq_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{lm}} \phi \rho_{1} \leq_{d} \phi \rho_{2}} \qquad \left(\mathsf{N-} \leq_{\mathsf{LIFT}2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \leq_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \tau \leq_{d} \rho_{2} \tau}$$

$$\left(\mathsf{N-} \ominus \mathsf{LIFT}_{1} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \tau \odot \rho_{2} \tau \sim \rho_{3} \tau} \qquad \left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \phi \rho_{1} \odot \phi \rho_{2} \sim \phi \rho_{3}}$$

$$\left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \tau \odot \rho_{2} \sim \rho_{3}} \qquad \left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \phi \rho_{1} \odot \phi \rho_{2} \sim \phi \rho_{3}}$$

$$\left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}} \qquad \left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}$$

$$\left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}} \qquad \left(\mathsf{N-} \ominus \mathsf{LIFT}_{2} \right) \frac{\Gamma \vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{lm}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}$$

Fig. 5. Minimal row theory $\mathcal{M} = \langle \vdash_m, \equiv_m, \vdash_m \rangle$

4 IX: THE INDEX CALCULUS

4.1 Syntax

Term variables $x \alpha$

Sorts
$$\sigma := \star \mid \mathcal{U}$$
 Terms
$$M, N, T := x \mid \star \mid \text{Nat} \mid \text{Zero} \mid \text{Suc } M \mid$$

$$\text{Ix } M \mid \text{FZero} \mid \text{FSuc } M \mid$$

$$\top \mid \text{tt} \mid$$

$$\Pi \alpha : T.N \mid \lambda x : T.N \mid MN \mid$$

$$\Sigma \alpha : T.M \mid (\alpha : T, M) \mid M.1 \mid M.2$$

$$M + N \mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ of } \{\text{left} \mapsto M; \text{right } \mapsto M\}$$

$$M \equiv N \mid \text{refl} \mid \dots$$
 Environments
$$\Gamma := \varepsilon \mid \Gamma, \alpha : T$$

Fig. 6. Syntax

4.2 Typing

$$(C-EMP) \xrightarrow{\vdash \mathcal{E}} \qquad (C-VAR) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash M : \sigma} \\ \hline (T+M:\sigma) \\ \hline (T+M:\sigma) \\ \hline (T+M:\sigma) \\ \hline (T+Nat:\star) \qquad \overline{\Gamma \vdash T : \star} \qquad \overline{\Gamma \vdash T : \mathcal{U}} \\ \hline \xrightarrow{\Gamma \vdash Nat:\star} \qquad \frac{\Gamma \vdash n : Nat}{\Gamma \vdash Ix \, n : \star} \\ \hline \xrightarrow{\Gamma \vdash M : \sigma} \xrightarrow{\Gamma, \alpha : M \vdash N : \star} \qquad \frac{\Gamma \vdash m : Nat}{\Gamma \vdash Ix \, n : \star} \\ \hline \xrightarrow{\Gamma \vdash M : \star} \xrightarrow{\Gamma \vdash M : \star} \qquad \frac{\Gamma \vdash M_1 : N_1 \quad \Gamma \vdash N_1 : \sigma \quad \Gamma \vdash M_2 : N_2 \quad \Gamma \vdash N_2 : \sigma}{\Gamma \vdash M_1 \equiv M_2 : \star} \\ \hline \xrightarrow{\Gamma \vdash M : N} \\ \hline \xrightarrow{\Gamma \vdash M : N} \\ \hline \xrightarrow{\Gamma \vdash Zero : Nat} \qquad \frac{\Gamma \vdash n : Nat}{\Gamma \vdash Suc \, n : Nat} \qquad \frac{\Gamma \vdash n : Nat}{\Gamma \vdash FZero : Ix \, (Suc \, n)} \qquad \frac{\Gamma \vdash n : Nat}{\Gamma \vdash FSuc \, i : Ix \, (Suc \, n)} \\ \hline \xrightarrow{\Gamma \vdash T : \sigma \quad \Gamma, x : T \vdash M : N} \qquad \frac{\Gamma \vdash M : \Pi(x : T_1) . T_2}{\Gamma \vdash M : T_1 \quad \Gamma \vdash N : T_1} \\ \hline \xrightarrow{\Gamma \vdash M : T_1} \qquad \xrightarrow{\Gamma \vdash N : T_2 [M/x]} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2} \\ \hline \xrightarrow{\Gamma \vdash M : T_1} \qquad \xrightarrow{\Gamma \vdash N : T_2 [M/x]} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2} \\ \hline \xrightarrow{\Gamma \vdash M : T_1} \qquad \xrightarrow{\Gamma \vdash M : T_2 [M/x]} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2} \\ \hline \xrightarrow{\Gamma \vdash M : T_1} \qquad \xrightarrow{\Gamma \vdash M : \Sigma(x : T_1) . T_2$$

5 TRANSLATION FROM $R\omega$

5.1 Untyped Translation

$$(\kappa)^{\bullet} = \star$$

$$(L)^{\bullet} = \top$$

$$(\kappa_{1} \to \kappa_{2})^{\bullet} = \Pi(\alpha : (\kappa_{1})^{\bullet}).(\kappa_{2})^{\bullet}$$

$$(R^{\kappa})^{\bullet} = \Sigma(n : \text{Nat}).\Pi(j : \text{Ix } n).(\kappa)^{\bullet}$$

$$(\tau)^{\bullet}$$
...
$$(M)^{\bullet}$$
...
$$(\pi)^{\bullet}$$
...

Fig. 7. A compositional translation of $R\omega$ judgments to (untyped) Ix terms

5.2 Typed translation

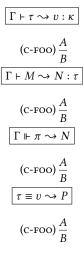


Fig. 8. Translation of $R\omega$ derivations to Ix derivations

• $\Gamma \vdash \tau \leadsto v : \kappa$ denotes the translation of judgment $\Gamma \vdash \tau : \kappa$ to term $(\Gamma)^{\bullet} \vdash v : (\kappa)^{\bullet}$.

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5.3 Properties of Translation

Theorem 1 (Translational Soundness (Types)). *if* $\Gamma \vdash \tau : \kappa$ *such that* $\Gamma \vdash \tau \rightsquigarrow v : \kappa$ *then* $(\Gamma)^{\bullet} \vdash v : (\kappa)^{\bullet}$.

THEOREM 2 (TRANSLATIONAL SOUNDNESS (TYPE EQUIVALENCE)). *if* $\Gamma \vdash \tau_1 \leadsto v_1 : \kappa_1$ *and* $\Gamma \vdash \tau_2 \leadsto v_2 : \kappa_2$ *such that* $\tau_1 \equiv \tau_2$ *is derivable in* $R\omega$, *then* $(\Gamma)^{\bullet} \vdash v_1 \equiv v_2$.

The next theorems presume an $R\omega$ instantiation of the simple row theory.

Theorem 3 (Translational Soundness (Row combination)). if $\Gamma \Vdash \rho_1 \cdot \rho_2 \sim \rho_3 \rightsquigarrow N$ then $(\Gamma)^{\bullet} \vdash N : foobar$.

Theorem 4 (Translational Soundness (Row containment)). if $\Gamma \Vdash \rho_1 \lesssim \rho_2 \rightsquigarrow N$ then $(\Gamma)^{\bullet} \vdash N : foobar$.

Finally,

Theorem 5 (Translational Soundness). if $\Gamma \vdash M : \tau$ such that $\Gamma \vdash M \leadsto N : \tau$ then $(\Gamma)^{\bullet} \vdash M : (\tau)^{\bullet}$.

6 OPERATIONAL SEMANTICS OF IX

7 RECURSION

This section will later be incorporated into earlier sections.

7.1 Rome, or, $\mathbf{R}\omega$ with μ

(C-FOO)
$$\frac{A}{B}$$

Fig. 9. Additional $R\omega$ judgments for recursion

7.2 Mix, the recursive index calculus

 $(\text{C-FOO}) \frac{A}{B}$

Fig. 10. Additional Ix judgments for recursion

7.3 Translation and properties of translation

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