# The Index Calculus and its translation from $R\omega$

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Term variables  $x \alpha$ 

## 1 Ix: The Index Calculus

### 1.1 Syntax

```
Sorts \sigma ::= \star \mid \mathcal{U} Terms M, N, T ::= x \mid \star \mid \text{Nat} \mid \text{Zero} \mid \text{Suc } M \mid \text{Ix } M \mid \text{FZero} \mid \text{FSuc } M \mid \top \mid \text{tt} \mid \Pi\alpha : T.N \mid \lambda x : T.N \mid MN \mid \Sigma\alpha : T.M \mid (\alpha : T, M) \mid M.1 \mid M.2 M + N \mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ of } \{\text{left} \mapsto M; \text{right} \mapsto M\} M \equiv N \mid \text{refl} \mid \dots Environments \Gamma ::= \varepsilon \mid \Gamma, \alpha : T
```

Figure 1: Syntax

### 1.2 Typing

$$(\text{C-EMP}) \frac{}{\vdash \Gamma} \qquad (\text{C-VAR}) \frac{\vdash \Gamma \qquad \Gamma \vdash M : \sigma}{\vdash \Gamma, x : M}$$

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash M : \sigma}$$

$$\frac{(\text{T-}\star)}{\Gamma \vdash \star : \mathcal{U}} \frac{}{\Gamma \vdash T : \star} \frac{}{\Gamma \vdash \text{Nat} : \star} \frac{}{\Gamma \vdash \text{Nat} : \star} \frac{}{\Gamma \vdash \text{Ix } n : \star}$$

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \star}$$

$$\frac{\Gamma \vdash M : \star}{\Gamma \vdash \Pi \alpha : M \cdot N : \star} \frac{}{\Gamma \vdash M_1 : N_1} \frac{}{\Gamma \vdash N_1 : \sigma_1} \frac{}{\Gamma \vdash M_2 : N_2} \frac{}{\Gamma \vdash N_2 : \sigma_2} \frac{}{\Gamma \vdash M_1 : M_1} \frac{}{\Gamma \vdash M_1 : M_1} \frac{}{\Pi \vdash M_2 : \star} \frac{}{\Gamma \vdash M_1 : M_1} \frac{}{\Pi \vdash M_2 : \star} \frac{}{\Gamma \vdash M_1 : M_1} \frac{}{\Pi \vdash M_2 : \star} \frac{}{\Gamma \vdash n : \text{Nat}} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \text{Ix } n : \star} \frac{}{\Gamma \vdash \text{Ix } n : \star} \frac$$

## 2 Translation From $R\omega$

## 2.1 Example translations of $R\omega$ terms and types

Record selection. In  $R\omega$ ,

$$\forall \rho: \mathsf{R}^{\star}, \, \ell: \mathsf{L}, \, \tau: \star. \{\ell \, \triangleright \, \tau\} \lesssim \rho \Rightarrow \lfloor \ell \rfloor \rightarrow \Pi \rho \rightarrow \tau$$

translates to

```
\Pi(\rho: \mathrm{Row}\, \star).\Pi(\ell:\top).\Pi(\tau:\star).[\![\{\ell \rhd \tau\} \lesssim \rho]\!].\Pi({}_{\scriptscriptstyle{-}}:\top).\Pi(i: \mathrm{Ix}\, \rho.1).\, \rho.2\, i where
```

```
\begin{split} \operatorname{Row} \kappa :&= \Sigma(n:\operatorname{Nat}).\Pi(i:\operatorname{Ix} n).\kappa \\ \llbracket \{\ell \rhd \tau\} \lesssim \rho \rrbracket &= \Pi(i:\operatorname{Ix} \llbracket \{\ell \rhd \tau\} \rrbracket.1).\Sigma(j:\operatorname{Ix} \rho.1).\llbracket \{\ell \rhd \tau\} \rrbracket.1 \ i \equiv \rho.2 \ j \\ \llbracket \{\ell \rhd \tau\} \rrbracket &= (\operatorname{Suc} \operatorname{Zero} : \operatorname{Nat}, \lambda(i:\operatorname{Ix} (\operatorname{Suc} \operatorname{Zero})).\llbracket \tau \rrbracket) \end{split}
```

Putting this all together:

```
\begin{split} &\Pi(\rho:(\Sigma(n:\operatorname{Nat}).\Pi(i:\operatorname{Ix} n).\star)).\\ &\Pi(\ell:\top).\\ &\Pi(\tau:\star).\\ &\Pi(P:\\ &\Pi(i:\operatorname{Ix}(\operatorname{Suc}\operatorname{Zero}:\operatorname{Nat},\lambda(i:\operatorname{Ix}(\operatorname{Suc}\operatorname{Zero})).\llbracket\tau\rrbracket).1).\\ &\Sigma(j:\operatorname{Ix} \rho.1).\\ &(\operatorname{Suc}\operatorname{Zero}:\operatorname{Nat},\lambda(i:\operatorname{Ix}(\operatorname{Suc}\operatorname{Zero})).\llbracket\tau\rrbracket).2\;i\equiv\rho.2\;j)\\ &\Pi({}_{-}:\top).\\ &\Pi(i:\operatorname{Ix} \rho.1).\;\rho.2\;i \end{split}
```

which should normalize to

```
\begin{split} &\Pi(\rho: (\Sigma(n: \mathrm{Nat}).\Pi(i: \mathrm{Ix}\, n).\star)). \\ &\Pi(\ell: \top). \\ &\Pi(\tau: \star). \\ &\Pi(P: \\ &\Pi(i: \mathrm{Ix}\, 1). \\ &\Sigma(j: \mathrm{Ix}\, \rho.1). \\ &\llbracket\tau\rrbracket \equiv \rho.2 \; j). \\ &\Pi(_{-}: \top). \\ &\Pi(i: \mathrm{Ix}\, \rho.1). \; \rho.2 \; i \end{split}
```

### A The static semantics of $R\omega$

### A.1 Syntax

The syntax of  $R\omega(\mathcal{T})$  is given in Figure 2.

```
Term variables x
                                            Type variables \alpha
                                                                                      Labels \ell
                                                                                                               Directions d \in \{L, R\}
                                      \kappa ::= \star \mid \mathsf{L} \mid \mathsf{R}^{\kappa} \mid \kappa \to \kappa
Kinds
Predicates
                                 \pi, \psi ::= \rho \lesssim_d \rho \mid \rho \odot \rho \sim \rho
                     \phi, \tau, \upsilon, \rho, \xi ::= \alpha \mid (\rightarrow) \mid \pi \Rightarrow \tau \mid \forall \alpha : \kappa.\tau \mid \lambda\alpha : \kappa.\tau \mid \tau\tau
Types
                                            |\ell| \lfloor \xi \rfloor | \xi \triangleright \tau | \{\tau_1, \dots, \tau_n\} | \Pi \rho | \Sigma \rho
                              M,N \, ::= \, x \mid \lambda x : \tau.M \mid M\,N \mid \Lambda\alpha : \kappa.M \mid M\,[\tau]
Terms
                                            \mid \operatorname{syn}_{\phi} M \mid \operatorname{ana}_{\phi} M \mid \operatorname{fold} M \ M \ M \ M
                                      \Gamma ::= \varepsilon \mid \Gamma, \alpha : \kappa \mid \Gamma, x : \tau \mid \Gamma, \pi
Environments
```

Figure 2: Syntax

### A.2 Types and Kinds

Figure 3 gives rules for context formation  $(\vdash \Gamma)$ , kinding  $(\Gamma \vdash \tau : \kappa)$ , and predicate formation  $(\Gamma \vdash \pi)$ , parameterized by row theory  $\mathcal{T}$ .

$$(C-EMP) = \frac{\Gamma \Gamma}{\Gamma \vdash \tau} \qquad (C-TVAR) = \frac{\Gamma \Gamma}{\Gamma \vdash \Gamma, \alpha : \kappa} \qquad (C-VAR) = \frac{\Gamma \Gamma \Gamma \vdash \tau : \kappa}{\Gamma \vdash \Gamma, \alpha : \tau} \qquad (C-PRED) = \frac{\Gamma \Gamma \Gamma \vdash \pi}{\Gamma \vdash \Gamma, \pi}$$

$$(K-VAR) = \frac{\Gamma \Gamma \alpha : \kappa \in \Gamma}{\Gamma \vdash \alpha : \kappa} \qquad (K-(\rightarrow)) = \frac{\Gamma \Gamma}{\Gamma \vdash (\rightarrow) : \kappa \to \kappa \to \kappa} \qquad (K-\Rightarrow) = \frac{\Gamma \vdash \pi \Gamma, \pi \vdash \tau : \kappa}{\Gamma \vdash \pi \Rightarrow \tau : \kappa}$$

$$(K-\forall) = \frac{\Gamma, \alpha : \kappa \vdash \tau : \kappa}{\Gamma \vdash \forall \alpha : \kappa : \tau : \kappa} \qquad (K-\Rightarrow I) = \frac{\Gamma, \alpha : \kappa_1 \vdash \tau : \kappa_2}{\Gamma \vdash \lambda \alpha : \kappa_1 : \tau : \kappa_1 \to \kappa_2} \qquad (K-\Rightarrow E) = \frac{\Gamma \vdash \tau_1 : \kappa_1 \to \kappa_2}{\Gamma \vdash \tau_1 : \tau_2 : \kappa_2}$$

$$(K-LAB) = \frac{\Gamma \Gamma}{\Gamma \vdash \ell : \Gamma} \qquad (K-SING) = \frac{\Gamma \vdash \xi : \Gamma}{\Gamma \vdash \xi \vdash \kappa} \qquad (K-LTY) = \frac{\Gamma \vdash \xi : \Gamma \Gamma \vdash \tau : \kappa}{\Gamma \vdash \xi \vdash \tau : \kappa} \qquad (K-ROW) = \frac{\Gamma \vdash \tau}{\Gamma \vdash \xi \vdash \tau} : R^\kappa$$

$$(K-\Pi) = \frac{\Gamma \vdash \rho : R^\kappa}{\Gamma \vdash \Pi \rho : \kappa} \qquad (K-\Sigma) = \frac{\Gamma \vdash \rho : R^\kappa}{\Gamma \vdash \Sigma \rho : \kappa} \qquad (K-LIFT_1) = \frac{\Gamma \vdash \rho : R^{\kappa_1 \to \kappa_2} \Gamma \vdash \tau : \kappa_1}{\Gamma \vdash \rho \tau : R^{\kappa_2}}$$

$$(K-LIFT_2) = \frac{\Gamma \vdash \phi : \kappa_1 \to \kappa_2}{\Gamma \vdash \phi : R^{\kappa_2}} \qquad (K-\lesssim_d) = \frac{\Gamma \vdash \rho_i : R^\kappa}{\Gamma \vdash \rho_1 \lesssim_d \rho_2} \qquad (K-\odot) = \frac{\Gamma \vdash \rho_i : R^\kappa}{\Gamma \vdash \rho_1 \odot \rho_2 \sim \rho_3}$$

Figure 3: Contexts and kinding.

$$(\text{E-REFL}) \frac{\tau \equiv \tau}{\tau \equiv \tau} | \pi \equiv \pi |$$

$$(\text{E-REFL}) \frac{\tau}{\tau \equiv \tau} \qquad (\text{E-SYM}) \frac{\tau_1 \equiv \tau_2}{\tau_2 \equiv \tau_1} \qquad (\text{E-TRANS}) \frac{\tau_1 \equiv \tau_2}{\tau_1 \equiv \tau_3} \qquad (\text{E-}\beta) \frac{\tau_1 \equiv \tau_2}{(\lambda \alpha : \kappa. \tau) \ \upsilon \equiv \tau [\upsilon / \alpha ]}$$

$$(\text{E-}\xi_{\Rightarrow}) \frac{\pi_1 \equiv \pi_2}{\pi_1 \Rightarrow \tau_1 \equiv \pi_2} \Rightarrow \tau_2 \qquad (\text{E-}\xi_{\forall}) \frac{\tau [\gamma / \alpha] \equiv \upsilon [\gamma / \beta]}{\forall \alpha : \kappa. \tau \equiv \forall \beta : \kappa. \upsilon} (\gamma \not\in f \upsilon (\tau, \upsilon)) \qquad (\text{E-}\xi_{\text{APP}}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \tau_2 \equiv \upsilon_1 \upsilon_2}$$

$$(\text{E-}\xi_{\Rightarrow}) \frac{\xi_1 \equiv \xi_2}{\xi_1 \rhd \tau_1 \equiv \tau_2} \qquad (\text{E-ROW}) \frac{\{\overline{\xi_i} \rhd \tau_i\} \equiv \tau \{\overline{\xi_j'} \rhd \tau_j'\}}{\{\overline{\xi_i} \rhd \tau_i\} \equiv \{\overline{\xi_j'} \rhd \tau_j'\}} \qquad (\text{E-}\xi_{\vdash}) \frac{\xi_1 \equiv \xi_2}{[\xi_1] \equiv [\xi_2]}$$

$$(\text{E-LIFT}_1) \frac{\xi_1 \equiv \xi_2}{\{\xi \rhd \phi\} \tau = \{\xi \rhd \phi \tau\}} \qquad (\text{E-LIFT}_2) \frac{\varphi \{\xi \rhd \tau\} \equiv \{\xi \rhd \phi \tau\}}{\varphi \{\xi \rhd \tau\} \equiv \{\xi \rhd \phi \tau\}}$$

$$(\text{E-}\xi_{\Pi\Sigma}) \frac{\rho_1 \equiv \rho_2}{K\rho_1 \equiv K\rho_2} \qquad (\text{E-LIFT}_3) \frac{(\kappa\rho) \tau \equiv K(\rho\tau)}{(K\rho) \tau \equiv K(\rho\tau)} \qquad (\text{E-SING}) \frac{\pi_i \equiv \upsilon_i}{K\{\xi \rhd \tau\} \equiv \xi \rhd \tau} \qquad (K \in \{\Pi, \Sigma\})$$

$$(\text{E-}\xi_{\leq d}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \lesssim_d \tau_2 \equiv \upsilon_1 \lesssim_d \upsilon_2} \qquad (\text{E-}\xi_{\odot}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \odot \tau_2 \sim \tau_3 \equiv \upsilon_1 \odot \upsilon_2 \sim \upsilon_3}$$

Figure 4: Type and predicate equivalence

#### A.3 Terms

$$\begin{array}{c} \boxed{\Gamma \vdash M : \tau} \\ \\ (\text{T-VAR}) \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash x : \tau}} & (\text{T} \rightarrow I) \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash x : \tau}} & \Gamma, x : \tau_1 \vdash M : \tau_2}{\Gamma \vdash \lambda x : \tau_1 \vdash M : \tau_2} & (\text{T} \rightarrow E) \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M : \tau}} & \Gamma \vdash M_2 \\ \hline \Gamma \vdash M_1 M_2 : \tau_2} \\ \\ (\text{T-} \equiv) \overset{\vdash}{\frac{\Gamma \vdash M : \tau}{\Gamma \vdash M : v}} & (\text{T-} \Rightarrow I) \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M}} & \vdots & \Gamma, x \vdash M : \tau}{\Gamma \vdash M : \pi} & (\text{T-} \Rightarrow E) \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M : \tau}} & \vdots \\ \hline \Gamma \vdash M : \tau & \tau \Rightarrow \tau & \Gamma \vdash \tau & \pi \\ \hline \Gamma \vdash M : \tau & \tau \Rightarrow \tau & \Gamma \vdash \tau & \pi \\ \hline \Gamma \vdash M : \tau & \tau \Rightarrow \tau & \Gamma \vdash v : \kappa \\ \hline (\text{T-SING}) & \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash \Lambda}} & (\text{T-} \Rightarrow I) \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M}} & \vdots & \tau & \Gamma \vdash W : \kappa \\ \hline \Gamma \vdash M_1 \vdash v \mid v \mid \tau & \tau & \tau \vdash W : \tau \\ \hline (\text{T-} \Rightarrow IE) & (\text{T-} \Rightarrow IE) & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M}} & \vdots & \tau & \Gamma \vdash W : \kappa \\ \hline (\text{T-} \Rightarrow IE) & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M}} & \vdots & \tau & \Gamma \vdash W : \kappa \\ \hline (\text{T-} \Rightarrow IE) & (\text{T-} \Rightarrow IE) & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\frac{\Gamma}{\Gamma \vdash M}} & \vdots & \tau & \Gamma \vdash W : \varepsilon \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\Gamma \vdash M_1} & \vdots & \tau & \Gamma \vdash W : \varepsilon \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\Gamma \vdash M_1} & \vdots & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\Gamma \vdash M} & \vdots & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\Gamma \vdash M} & \vdots & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\Gamma \vdash M} & \vdots & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & (\text{T-} \Rightarrow IE) & \overset{\vdash}{\Gamma \vdash M} & \vdots & \overset{\vdash}{\Gamma \vdash M} & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & \overset{\vdash}{\Gamma \vdash M} & \vdots & \overset{\vdash}{\Gamma \vdash M} & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \tau & \overset{\vdash}{\Gamma \vdash M} & \vdots & \overset{\vdash}{\Gamma \vdash M} & \vdots \\ \hline \Gamma \vdash M_1 \vdash M_2 : \overset{\vdash}{\Gamma \vdash M} & \overset{\vdash}{\Gamma$$

Figure 5: Typing

Minimal Rows

Figure 6 gives the minimal row theory  $\mathcal{M}$ .

$$\begin{array}{c|c} \hline \Gamma \vdash_{\mathsf{m}} \rho : \kappa \end{array} \boxed{\rho \equiv_{\mathsf{m}} \rho} \\ \hline (\text{K-MROW}) \frac{\Gamma \vdash_{\mathsf{k}} : \mathsf{L} \quad \Gamma \vdash_{\mathsf{T}} : \kappa}{\Gamma \vdash_{\mathsf{m}} \{\xi \rhd \tau\} : \mathsf{R}^{\kappa}} \qquad \text{(E-MROW}) \frac{\xi \equiv \xi' \quad \tau \equiv \tau'}{\{\xi \rhd \tau\} \equiv_{\mathsf{m}} \{\xi' \rhd \tau'\}} \\ \hline \Gamma \Vdash_{\mathsf{m}} \pi \\ \hline \\ (\text{N-AX}) \frac{\pi \in \Gamma}{\Gamma \Vdash_{\mathsf{m}} \pi} \qquad \text{(N-REFL)} \frac{\Gamma \Vdash_{\mathsf{m}} \rho \lesssim_{d} \rho}{\Gamma \Vdash_{\mathsf{m}} \rho \lesssim_{d} \rho} \qquad \text{(N-TRANS)} \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{3}} \\ \hline (\text{N-}\equiv) \frac{\Gamma \Vdash_{\mathsf{m}} \pi_{1} \quad \pi_{1} \equiv \pi_{2}}{\Gamma \Vdash_{\mathsf{m}} \pi_{2}} \qquad \text{(N-} \lesssim \mathsf{LIFT}_{1}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}} \qquad \text{(N-} \lesssim \mathsf{LIFT}_{2}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \tau \lesssim_{d} \rho_{2} \tau} \\ \hline (\text{N-} \odot \mathsf{LIFT}_{1}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \tau \odot \rho_{2} \sim \rho_{3}} \qquad \text{(N-} \odot \mathsf{LIFT}_{2}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}} \\ \hline (\text{N-} \odot \lesssim_{\mathsf{L}}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{\mathsf{L}} \rho_{3}} \qquad \text{(N-} \odot \lesssim_{\mathsf{R}}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{2} \lesssim_{\mathsf{R}} \rho_{3}} \\ \hline \end{array}$$

Figure 6: Minimal row theory  $\mathcal{M} = \langle \vdash_m, \equiv_m, \Vdash_m \rangle$