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1 IX: THE INDEX CALCULUS

1.1 Syntax

```
Sorts
                                          \sigma ::= \star | \Box
                              M, N, T := \star |x|
Terms
                                                      \mathbb{N} \mid Z \mid \mathbb{S}M \mid
                                                       case_{\mathbb{N}} MNT
                                                       \operatorname{Ix} M \mid I_0 \mid I_S M \mid
                                                       case_{Ix} MNT | \{\{M_1, ..., M_n\}\} | \lambda() |
                                                       T | tt |
                                                       \forall \alpha : T.N \mid \lambda x : T.N \mid MN \mid
                                                       \exists \alpha : T.M \mid \langle \langle \alpha : T, M \rangle \rangle \mid case_{\exists} M N \mid
                                                       M + N \mid \text{left } M \mid \text{right } M \mid
                                                       case_{+}MNT
                                                       M \equiv N \mid \text{refl } T M N \mid
Environments
                                          \Gamma ::= \varepsilon \mid \Gamma, \alpha : T
```

Fig. 1. Syntax

1.1.1 Meta-syntax & syntactic sugar. Let

- (1) $\tau \to v$ denote the non-dependent universal quantification $\forall (\underline{\ }: \tau).v;$
- (2) $\tau \times v$ denote the non-dependent existential quantification $\exists (_:\tau).v$;
- (3) 0, 1, 2, ... denote object-level natural numbers in the intuitive fashion;
- (4) i_n denote the index obtained by n applications of I_S to I_0 ; and

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(5) the syntax

$$\{M_1, ..., M_n\}$$

denote the large elimination of a known, finite quantity of indices to types $M_1, ..., M_n$, elaborated by the equations:

$$\{M_1\} := \lambda(i : \text{Ix 1}).\text{case}_{\text{Ix}} i M_1 \lambda(i)$$

 $\{M_1, ..., M_n\} := \lambda(i : \text{Ix } n).\text{case}_{\text{Ix}} i M_1 \{M_2, ..., M_n\}$

1.2 Typing

$$(EMP) \frac{\Gamma}{\vdash \mathcal{E}} \qquad (VAR) \frac{\vdash \Gamma \qquad \Gamma \vdash M : \sigma}{\vdash \Gamma, x : M}$$

$$\boxed{\Gamma \vdash M : \sigma}$$

$$(\star) \frac{\vdash \Gamma}{\Gamma \vdash \star : \Box} \qquad (\top) \frac{\vdash \Gamma}{\Gamma \vdash \top : \sigma} \qquad (NAT) \frac{\vdash \Gamma}{\Gamma \vdash \mathbb{N} : \star} \qquad (Ix) \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash Ix \ n : \star}$$

$$(\forall) \frac{\Gamma \vdash M : \sigma_1 \qquad \Gamma, \alpha : M \vdash N : \sigma_2}{\Gamma \vdash \forall \alpha : M.N : \sigma_2} \qquad (\exists) \frac{\Gamma \vdash M : \sigma_1 \qquad \Gamma, \alpha : M \vdash N : \sigma_2}{\Gamma \vdash \exists \alpha : M.N : \sigma_2}$$

$$(+) \frac{\Gamma \vdash M : \sigma \qquad \Gamma \vdash N : \sigma}{\Gamma \vdash M + N : \sigma} \qquad (\equiv) \frac{\Gamma \vdash M : \sigma \qquad \Gamma \vdash N : \sigma}{\Gamma \vdash M \equiv N : \sigma}$$

Fig. 2. Context and type well-formedness

$$(Var) \frac{ \Gamma \vdash M : M}{\Gamma \vdash x : M} \qquad (tt) \frac{\vdash \Gamma}{\Gamma \vdash tt : T}$$

$$(Z) \frac{\vdash \Gamma}{\Gamma \vdash Z : \mathbb{N}} \qquad (S) \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash S n : \mathbb{N}} \qquad (\mathbb{N}E) \frac{\Gamma \vdash A : \sigma}{\Gamma \vdash L : \mathbb{N}} \frac{\Gamma \vdash M : \mathbb{N}}{\Gamma \vdash case_{\mathbb{N}} MNP : A} \frac{\Gamma \vdash P : \mathbb{N} \to A}{\Gamma \vdash case_{\mathbb{N}} MNP : A}$$

$$(I_0) \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash I_0 : \operatorname{Ix}(S n)} \qquad (I_S) \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash I_S i : \operatorname{Ix}(S n)} \qquad (\lambda()) \frac{\Gamma \vdash A : \sigma}{\Gamma \vdash \lambda() : \operatorname{Ix}0 \to A}$$

$$(I_SE) \frac{\Gamma \vdash A : \sigma}{\Gamma \vdash M : \mathbb{N}} \frac{\Gamma \vdash M : \mathbb{N}}{\Gamma \vdash Lase_{\operatorname{Ix}} MNP : A}$$

$$(\forall I) \frac{\Gamma \vdash T : \sigma}{\Gamma \vdash \lambda x : TM : \forall (x : T).N} \qquad (\forall E) \frac{\Gamma \vdash M : \forall (x : T_1).T_2}{\Gamma \vdash MN : T_2[M/x]}$$

$$(\exists I) \frac{\Gamma \vdash T_1 : \sigma}{\Gamma \vdash M : A} \frac{\Gamma \vdash M : T_1}{\Gamma \vdash M : T_1.T_2} \frac{\Gamma \vdash N : T_2[M/x]}{\Gamma \vdash Case_{\exists} MN : A}$$

$$(\exists E) \frac{\Gamma \vdash A : \sigma}{\Gamma \vdash H : A \to B} \qquad (+2I) \frac{\Gamma \vdash N : B}{\Gamma \vdash \operatorname{right} N : A + B}$$

$$(+E) \frac{\Gamma \vdash C : \sigma}{\Gamma \vdash H : A} \frac{\Gamma \vdash N : A \to C}{\Gamma \vdash \operatorname{rese}_{+} MNP : C}$$

$$(\equiv I) \frac{\Gamma \vdash M : \sigma}{\Gamma \vdash \operatorname{rese}_{+} M} \qquad (\operatorname{conv}) \frac{\Gamma \vdash M : T_1}{\Gamma \vdash N : T_2}$$

$$\Gamma \vdash M : T_1}{\Gamma \vdash N : T_1}$$

$$\Gamma \vdash N : T_1}{\Gamma \vdash N : T_1}$$

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Fig. 3. Typing Ix terms

$$(\text{E-REFL}) \frac{\Gamma \vdash M : \sigma}{\Gamma \vdash M = M : \sigma} \qquad (\text{E-SYM}) \frac{\Gamma \vdash N = M : \sigma}{\Gamma \vdash M = N : \sigma} \qquad (\text{E-TRANS}) \frac{\Gamma \vdash M = P : \sigma}{\Gamma \vdash M = N : \sigma} \frac{\Gamma \vdash P = N : \sigma}{\Gamma \vdash M = N : \sigma}$$

$$(\text{C-REFL}) \frac{\Gamma \vdash M : T}{\Gamma \vdash M = M : T} \qquad (\text{C-SYM}) \frac{\Gamma \vdash N = M : T}{\Gamma \vdash M = N : T} \qquad (\text{C-TRANS}) \frac{\Gamma \vdash M = P : T}{\Gamma \vdash M = N : T}$$

Fig. 4. Definitional equality & computational laws

1.3 Properties

Theorem 1 (Well-sortedness). if $\Gamma \vdash M : N$ then $\vdash \Gamma$ and there exists σ such that $\Gamma \vdash N : \sigma$.

1.4 Elaborating Ix to the CoC + Fin

Following the above, I believe Ix to a smaller calculus with the syntax below. This is effectively the calculus of constructions with primitive naturals and finite indices.

```
Sorts \sigma ::= \star \mid \square Terms M, N, T ::= \star \mid x \mid \mathbb{N} \mid Z \mid SM \mid \operatorname{case}_{\mathbb{N}} MNT \mid \operatorname{Ix} M \mid \operatorname{I_0} \mid \operatorname{I_S} M \mid \operatorname{case}_{\operatorname{Ix}} MNT \mid \forall \alpha : T.N \mid \lambda x : T.N \mid MN \mid M \equiv N \mid \operatorname{refl} TMN \mid Environments \Gamma ::= \varepsilon \mid \Gamma, \alpha : T
```

Fig. 5. Syntax

Elaboration is given below. One would also expect a rule for bot elimination—or you could simply encode Ix 0 as $\forall X : \star .X$.

One could also translate naturals away using your favorite functional encoding. (I imagine there are encodings for Fin, too.)

2 TRANSLATION FROM $R\omega$

2.1 Untyped Translation

We follow the approach of [Morris and McKinna 2019] and give both typed and untyped translations of $R\omega$ types. Figure 7 describe the untyped translation, which is used to show translational soundness of the typed translation (Figure 7).

 $\llbracket \Delta \vdash \tau : \kappa \rrbracket$

```
\llbracket \Delta \vdash \alpha : \kappa \rrbracket = \alpha
                      \llbracket \Delta \vdash \tau_1 \to \tau_2 : \star \rrbracket = \llbracket \tau_1 \rrbracket \to \llbracket \tau_2 \rrbracket
                       \llbracket \Delta \vdash \forall \alpha : \kappa.\tau : \star \rrbracket = \forall (\alpha : \llbracket \kappa \rrbracket). \llbracket \tau \rrbracket
\llbracket \Delta \vdash \lambda \alpha : \kappa.\tau : \kappa \to \kappa' \rrbracket = \forall (\alpha : \llbracket \kappa \rrbracket). \llbracket \tau \rrbracket
                            \llbracket \Delta \vdash \pi \Rightarrow \tau : \kappa \rrbracket = \llbracket \pi \rrbracket \rightarrow \llbracket \tau \rrbracket
                                            \llbracket \Delta \vdash \tau \, \upsilon : \kappa \rrbracket = \llbracket \tau \rrbracket \, \llbracket \upsilon \rrbracket
                                                   \llbracket \Delta \vdash \ell : \mathsf{L} \rrbracket = \top
                                        \llbracket \Delta \vdash |\xi| : \star \rrbracket = \top
                             \llbracket \Delta \vdash (\xi \triangleright \tau) : \kappa \rrbracket = \llbracket \tau \rrbracket
                                         \llbracket \Delta \vdash \Pi \rho : \star \rrbracket = \operatorname{case}_{\exists} \llbracket \rho \rrbracket (\lambda n : \mathbb{N}.\lambda P : \operatorname{Ix} n \to \star. \forall (i : \operatorname{Ix} n).P i)
                                          \llbracket \Delta \vdash \Sigma \rho : \star \rrbracket = \operatorname{case}_{\exists} \llbracket \rho \rrbracket (\lambda n : \mathbb{N}.\lambda P : \operatorname{Ix} n \to \star. \exists (i : \operatorname{Ix} n).P i)
                                            \llbracket \Delta \vdash \epsilon : \mathsf{R}^{\kappa} \rrbracket = \langle \langle 0 : \mathbb{N}, \lambda() \rangle \rangle
                          \llbracket \Delta \vdash \rho \lceil v \rceil : \mathsf{R}^{\kappa_2} \rrbracket = \mathsf{case}_{\exists} \llbracket \rho \rrbracket (\lambda n : \mathbb{N}.\lambda(P : \mathsf{Ix} \ n \to \llbracket \kappa_1 \rrbracket \to \llbracket \kappa_2 \rrbracket). \langle \langle n : \mathbb{N}, \lambda(j : \mathsf{Ix} \ n). (Pj) \llbracket v \rrbracket \rangle \rangle)
                         \llbracket \Delta \vdash \lceil \tau \rceil \rho : \mathsf{R}^{\kappa_2} \rrbracket = \mathsf{case}_{\exists} \llbracket \rho \rrbracket (\lambda n : \mathbb{N}.\lambda(P : \mathsf{Ix} \ n \to \llbracket \kappa_1 \rrbracket). \langle \langle n : \mathbb{N}, \lambda(j : \mathsf{Ix} \ n). \llbracket \tau \rrbracket (P \ j) \rangle \rangle
                  \llbracket \Delta \vdash (\xi \triangleright_{\mathsf{R}} \tau) : \mathsf{R}^{\kappa} \rrbracket = \langle \langle 1 : \mathbb{N}, \{\!\!\{ \llbracket \tau \rrbracket \}\!\!\} \rangle \rangle
                                                                                                                                                                                                                                                                                                                                                                                                                   I
```

Fig. 6. Translating kinding derivations to untyped Ix terms

Fig. 7. Translating predicate well-formedness judgments

2.2 Typed translation

There is some subtlety in mechanizing environments. Environments in $R\omega$ store kinds, *typing derivations*, and *predicate well-formedness derivations*. If we are to simply translate derivations to untyped syntax, we are losing a bit of information. I am not sure, however, it is possible to translate derivations (in $R\omega$) to derivations (in Ix) without a de facto type checker for Ix. I think we will have to perform the former: let derivations in $R\omega$ environments translate to untyped types and sorts in Ix environments. Then, argue as metatheory that $\vdash \Delta \rightsquigarrow \Gamma$ implies $\vdash \Gamma$.

$$(\text{C-VAR}) \frac{\vdash \Delta \leadsto \Gamma}{\vdash \epsilon \leadsto \epsilon} \qquad (\text{C-TVAR}) \frac{\vdash \Delta \leadsto \Gamma}{\vdash \Delta, \alpha : \kappa \leadsto \Gamma, \alpha : \llbracket \kappa \rrbracket}$$

$$(\text{C-VAR}) \frac{\vdash \Delta \leadsto \Gamma}{\vdash \Delta, \kappa : \tau \leadsto \Gamma, \kappa : \llbracket \tau \rrbracket} \qquad (\text{C-PRED}) \frac{\vdash \Delta \leadsto \Gamma}{\vdash \Delta, \pi : \kappa \leadsto \Gamma, p : \llbracket \pi \rrbracket} (p \text{ fresh})$$

$$\frac{\Delta \vdash M \leadsto N : \tau}{\Delta \vdash M \leadsto N : \tau}$$

$$(\text{T-VAR}) \frac{x : \tau \in \Delta}{\Delta \vdash x \leadsto x : \tau}$$

$$(\text{T-\RightarrowI)} \frac{\Delta, x : \tau \vdash M \leadsto N : v}{\Delta \vdash \lambda x : \tau . M \leadsto \lambda x : \llbracket \tau \rrbracket . N : \tau \to v} \qquad (\text{T-\RightarrowI)} \frac{\Delta \vdash M \leadsto F : \tau \to v \quad \Delta \vdash N \leadsto E : \tau}{\Delta \vdash M \bowtie \gamma F E : v}$$

$$(\text{T-\RightarrowI)} \frac{\Delta, \pi \vdash M \leadsto N : \tau}{\Delta \vdash M \leadsto \lambda (p : \llbracket \pi \rrbracket) . N : \pi \Longrightarrow \tau} \qquad (\text{T-\RightarrowE)} \frac{\Delta \vdash M \leadsto F : \pi \Longrightarrow \tau \quad \Delta \vdash \pi \leadsto E}{\Delta \vdash M \leadsto F E : \tau}$$

$$(\text{T-$\forall I)} \frac{\Delta \vdash M \leadsto N : \tau}{\Delta \vdash \Lambda \alpha : \kappa . M \leadsto \lambda (\alpha : \llbracket \kappa \rrbracket) . N : \forall \alpha : \kappa . \tau} \qquad (\text{T-$\forall E$}) \frac{\Delta \vdash M \leadsto N : \forall \alpha : \kappa . \tau}{\Delta \vdash M [v] \leadsto N \llbracket v \rrbracket : \tau [v/\alpha]}$$

$$(\text{T-SING}) \frac{\Delta \vdash N \leadsto E : \tau}{\Delta \vdash M \leadsto t : \llbracket \ell \rrbracket} \qquad (\text{T-\RightarrowI)} \frac{\Delta \vdash N \leadsto E : \tau}{\Delta \vdash M \bowtie N : \forall \alpha : \kappa . \tau} \qquad (\text{T-\RightarrowE$}) \frac{\Delta \vdash M \leadsto S : \tau}{\Delta \vdash M [v] \leadsto N \llbracket v \rrbracket : \tau [v/\alpha]}$$

Fig. 8. Translation of $R\omega$ environments and typing derivations

$$(\text{C-FOO}) \frac{A}{B}$$

$$\Delta \Vdash \pi \leadsto N$$

$$(\text{C-FOO}) \frac{A}{B}$$

$$\tau \equiv v \leadsto P$$

$$(\text{C-FOO}) \frac{A}{B}$$

Fig. 9. Translation of R ω derivations to Ix derivations

2.3 Properties of Translation

Theorem 2 (Translational Soundness (Environments)). *if* $\vdash \Delta \leadsto \Gamma$ *then* $\vdash \Gamma$.

Theorem 3 (Translational Soundness (Types)). if $\Delta \vdash \tau : \kappa \text{ and } \vdash \Delta \leadsto \Gamma \text{ then } \Gamma \vdash \llbracket \tau \rrbracket : \llbracket \kappa \rrbracket$.

THEOREM 4 (TRANSLATIONAL SOUNDNESS (TYPE EQUIVALENCE)). if

- (1) $\Gamma \vdash \tau_1 \leadsto v_1 : \kappa_1$;
- (2) $\Gamma \vdash \tau_2 \leadsto v_2 : \kappa_2$; and
- (3) $\tau_1 \equiv \tau_2 \rightsquigarrow P$,

then $\llbracket \Gamma \rrbracket \vdash P : v_1 \equiv v_2$.

Theorem 5 (Translational Soundness (Predicates)). *if* $\Gamma \Vdash \pi$ *such that* $\Gamma \Vdash \pi \rightsquigarrow N$ *then* $\|\Gamma\| \vdash N : \|\pi\|$.

Finally,

Theorem 6 (Translational Soundness). *if* $\Gamma \vdash M : \tau$ *such that* $\Gamma \vdash M \rightsquigarrow N : \tau$ *then* $\llbracket \Gamma \rrbracket \vdash N : \llbracket \tau \rrbracket$.

3 OPERATIONAL SEMANTICS

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