Type Normalization in $\mathbf{R}\omega\mu$

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1 INTRODUCTION

We describe the normalization-by-evaluation (NBE) of types in $R\omega\mu$. Types are normalized modulo β - and η -equivalence—that is, to $\beta\eta$ -long forms. Because the type system of $R\omega\mu$ is a strict extension of System $F\omega\mu$, type level computation for arrow kinds is isomorphic to reduction of arrow types in the STLC. Novel to this report are the reductions of Π , Σ , and row types.

2 THE $R\omega\mu$ CALCULUS

We present the type calculus of $R\omega\mu$. The syntax of kinds, types, and predicates are given in Figure 1 for reference. We describe the mechanized syntax in the sections that follow.

Type variables $\alpha \in \mathcal{A}$ Labels $\ell \in \mathcal{L}$

```
Kinds \kappa ::= \star \mid \mathsf{L} \mid \mathsf{R}^{\kappa} \mid \kappa \to \kappa Predicates \pi, \psi ::= \rho \lesssim \rho \mid \rho \odot \rho \sim \rho Types \mathcal{T} \ni \phi, \tau, v, \rho, \xi ::= \alpha \mid \pi \Rightarrow \tau \mid \forall \alpha : \kappa.\tau \mid \lambda\alpha : \kappa.\tau \mid \tau\tau \mid \{\xi_i \triangleright \tau_i\}_{i \in 0...m} \mid \ell \mid \#\tau \mid \phi \geqslant \rho \mid \rho \setminus \rho \mid \tau \to \tau \mid \Pi \rho \mid \Sigma \rho \mid \mu \phi
```

Fig. 1. Syntax

2.1 Kind syntax

Our formalization of $R\omega\mu$ types is *intrinsic*, meaning we define the syntax of *typing* and *kinding judgments*, foregoing any formalization of / indexing by untyped syntax. The syntax of types is indexed by kinding environments and kinds, defined below.

```
data Kind: Set where

★ : Kind

L : Kind

_'→_: Kind → Kind → Kind

R[_]: Kind → Kind
```

The kind system of $R\omega\mu$ defines \star as the type of types; L as the type of labels; (\rightarrow) as the type of type operators; and $R[\kappa]$ as the type of *rows* containing types at kind κ .

The syntax of kinding environments is given below. Kinding environments are isomorphic to lists of kinds.

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```
data KEnv : Set where
\epsilon : KEnv
_,__ : KEnv → Kind → KEnv
```

 Let the metavariables Δ and κ range over kinding environments and kinds, respectively. Correspondingly, we define *generalized variables* in Agda at these names.

```
private variable  \Delta \ \Delta_1 \ \Delta_2 \ \Delta_3 : \mathsf{KEnv}   \kappa \ \kappa_1 \ \kappa_2 : \mathsf{Kind}
```

The syntax of intrinsically well-scoped De-Bruijn type variables is given below. We say that the type variable x is indexed by kinding environment Δ and kind κ to specify that x has kind κ in kinding environment Δ .

```
data TVar : KEnv \rightarrow Kind \rightarrow Set where Z : TVar (\Delta , \kappa) \kappa
S : TVar \Delta \kappa_1 \rightarrow TVar (\Delta , \kappa_2) \kappa_1
```

2.2 Type syntax

 $R\omega\mu$ is a qualified type system with predicates of the form $\rho_1 \lesssim \rho_2$ and $\rho_1 \cdot \rho_2 \sim \rho_3$ for row-kinded types ρ_1 , ρ_2 , and ρ_3 . Because predicates occur in types and types occur in predicates, the syntax of well-kinded types and well-kinded predicates are mutually recursive. The syntax for each is given below. we describe (in this order) the syntactic components belonging to System $F\omega\mu$, qualified type systems, and system $R\omega$.

```
data Pred (\Delta : KEnv) : Kind \rightarrow Set
data Type \Delta: Kind \rightarrow Set
data Type ∆ where
    ':
         (\alpha : \mathsf{TVar} \ \Delta \ \kappa) \rightarrow
         Type \Delta \kappa
    ·λ:
         (\tau : \mathsf{Type} (\Delta , \kappa_1) \kappa_2) \rightarrow
         Type \Delta (\kappa_1 \hookrightarrow \kappa_2)
         (\tau_1 : \mathsf{Type} \ \Delta \ (\kappa_1 \hookrightarrow \kappa_2)) \rightarrow
         (\tau_2 : \mathsf{Type} \ \Delta \ \kappa_1) \rightarrow
         Type \Delta \kappa_2
      _'→_:
         (\tau_1 : \mathsf{Type} \ \Delta \ \star) \rightarrow
         (\tau_2 : \mathsf{Type} \ \Delta \ \star) \rightarrow
        Type ∆ ★
    '∀:
```

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```
(\tau : \mathsf{Type} \ (\Delta \ ,, \ \kappa) \ \star) \to \mathsf{Type} \ \Delta \ \star
\mu : (F : \mathsf{Type} \ \Delta \ (\star \ `\to \ \star)) \to \mathsf{Type} \ \Delta \ \star
```

The syntax of qualified types is given below.

```
\_\Rightarrow_:

(\pi : \mathsf{Pred} \ \Delta \ \mathsf{R}[\ \kappa_1\ ]) \to (\tau : \mathsf{Type}\ \Delta \ \star) \to \mathsf{Type}\ \Delta \ \star
```

The type $\pi \Rightarrow \tau$ states that τ is *qualified* by the predicate π —that is, the type variables bound in τ are restricted in instantiation to just those that satisfy the predicate π . This is completely analogous to identical syntax used in Haskell to introduce typeclass qualification. Predicates are defined below (after the presentation of type syntax).

We now describe the syntax exclusive to $R\omega\mu$, beginning with label kind introduction and elimination. Labels are first-class entities in $R\omega\mu$, and may be represented by both constants and variables.

```
lab: (l: Label) \rightarrow Type \Delta L
[\_]:
(\tau: Type \Delta L) \rightarrow Type \Delta \star
```

Label constants in $R\omega\mu$ are constructed from the type Label; in our mechanization, Label is a type synonym for String, but one could choose any other candidate with decidable equality. Types at label kind L may be cast to *label singletons* by the <code>[_]</code> constructor. This makes labels first-class entities: for example, as the type <code>[lab "l"]</code> has kind \star , it can be inhabited by a term.

Types at row kind are constructed by one of the following three constructors.

```
139 \epsilon:
140 Type \Delta R[\kappa]
141 \downarrow
142 \downarrow
143 (l: \text{Type } \Delta \text{ L}) \rightarrow (\tau: \text{Type } \Delta \kappa) \rightarrow
144 Type \Delta R[\kappa]
145 \downarrow
146 \downarrow
```

```
(f: \mathsf{Type}\ \Delta\ (\kappa_1 \hookrightarrow \kappa_2)) \to (\tau: \mathsf{Type}\ \Delta\ \mathsf{R}[\ \kappa_1\ ]) \to \mathsf{Type}\ \Delta\ \mathsf{R}[\ \kappa_2\ ]
```

Rows in R $\omega\mu$ are either the empty row ϵ , a labeled row (1 > τ), or a row mapping f <\$> τ . The row mapping f <\$> (1 > τ) describes the lifting of the function f over row (1 > τ), which we will define to equal (1 > f τ) in the case where the right hand applicand is a labeled row. We will show that rows in Rome (that is, types at row kind) reduce to either the empty row ϵ or a labeled row (1 > τ) after normalization. There are two important consequences of this canonicity: firstly, we treat row mapping _<\$>_ as having latent computation to perform (there are no normal types with form f <\$> τ except when τ is a neutral variable). The second consequence is that we do not permit the formation of rows with more than one label-type association. Such rows are instead formed as type variables with predicates specifying the shape of the row.

Rows in $R\omega\mu$ are eliminated by the Π and Σ constructors.

```
\Pi:
\mathsf{Type}\ \Delta\ (\mathsf{R}[\ \kappa\ ]\ `\to\kappa)
\Sigma:
\mathsf{Type}\ \Delta\ (\mathsf{R}[\ \kappa\ ]\ `\to\kappa)
```

 Given a type ρ at row kind, $\Pi \rho$ constructs a record with label-type associations from ρ and $\Sigma \rho$ constructs a variant that has label and type from ρ . We choose to represent Π and Σ as type constants at kind (R[κ] $\rightarrow \kappa$); we will show that many applications of Π and Σ induce type reductions, and hence it is convenient to group such reductions with type application.

The syntax of predicates is given below. The predicate $\rho_1 \lesssim \rho_2$ states that label-to-type mappings in ρ_1 are a subset of those in ρ_2 ; the predicate $\rho_1 \odot \rho_2 \sim \rho_3$ states that the combination of mappings in ρ_1 and ρ_2 equals ρ_3 .

Hubers and Morris [2023] implicitly define two type-level row lifting operators, *left mapping* <\$> and *right mapping* <?>, but the latter is superfluous. We appeal to the kinds of these operators for their intuition: left mapping f <\$> ρ lifts a function at arrow kind f : $\kappa_1 \to \kappa_2$ into a function at kind R[κ_1] \to R[κ_2] and then applies it to ρ : R[κ_2]. We may define right mapping (named *flap* and written <?>, after similar Haskell operators) of row function f: $R[\kappa_1 \to \kappa_2]$ over type τ : κ_1 using left mapping under the following identity:

$$f < ?> \tau = (\lambda g. g \tau) < $> f$$

which we encode in Agda as follows:

```
flap: Type \Delta (R[\kappa_1 '\rightarrow \kappa_2] '\rightarrow \kappa_1 '\rightarrow R[\kappa_2])
flap = '\lambda ('\lambda (('\lambda (('\lambda (('\lambda (('\lambda ()' (S Z))))) <$> ('(S Z))))
_<?>_: Type \Delta (R[\kappa_1 '\rightarrow \kappa_2]) \rightarrow Type \Delta \kappa_1 \rightarrow Type \Delta R[\kappa_2]
f <?> a = flap \cdot f \cdot a
```

(We choose to define _<?>_ as the application of flap to inputs f and a so that we needn't pollute the definition with weakenings of its arguments.)

2.2.1 Type renaming. We closely follow Wadler et al. [2022] and Chapman et al. [2019] in defining a type renaming as a function from type variables in one kinding environment to type variables in another. This is the parallel renaming and substitution approach for which weakening and single variable substitution are special cases. The code we establish now will be mimicked again for both normal types and for terms; many names are reused, and so we find it helpful to index duplicate names by a suffix. The suffix k specifies that this definition describes the Type syntax.

```
Renaming<sub>k</sub>: KEnv \rightarrow KEnv \rightarrow Set
Renaming<sub>k</sub> \Delta_1 \Delta_2 = \forall \{\kappa\} \rightarrow \mathsf{TVar} \ \Delta_1 \ \kappa \rightarrow \mathsf{TVar} \ \Delta_2 \ \kappa
```

We will let the metavariable ρ range over both renamings and types at row kind.

Lifting can be thought of as the weakening of a renaming, and permits renamings to be pushed under binders.

```
\begin{aligned} & \mathsf{lift}_k : \mathsf{Renaming}_k \ \Delta_1 \ \Delta_2 \to \mathsf{Renaming}_k \ (\Delta_1 \ ,, \ \kappa) \ (\Delta_2 \ ,, \ \kappa) \\ & \mathsf{lift}_k \ \rho \ \mathsf{Z} = \mathsf{Z} \\ & \mathsf{lift}_k \ \rho \ (\mathsf{S} \ x) = \mathsf{S} \ (\rho \ x) \end{aligned}
```

We define renaming as a function that translates a kinding derivation in kinding environment Δ_1 to environment Δ_2 provided a renaming from Δ_1 to Δ_2 . The definition proceeds by induction on the input kinding derivation. In the variable case, we use ρ to rename variable x. In the ` λ and ` \forall cases, we must lift the renaming ρ over the type variable introduced by these binders. The rest of the cases are effectively just congruence over the type structure.

```
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                 \operatorname{ren}_k : \operatorname{Renaming}_k \Delta_1 \Delta_2 \to \operatorname{Type} \Delta_1 \kappa \to \operatorname{Type} \Delta_2 \kappa
230
                 \operatorname{renPred}_k : \operatorname{Renaming}_k \Delta_1 \Delta_2 \to \operatorname{Pred} \Delta_1 \operatorname{R}[\kappa] \to \operatorname{Pred} \Delta_2 \operatorname{R}[\kappa]
231
                 \operatorname{ren}_k \rho ('x)
                                                          = '(\rho x)
232
233
                 \operatorname{ren}_k \rho (\lambda \tau)
                                                               = '\lambda (ren<sub>k</sub> (lift<sub>k</sub> \rho) \tau)
234
                 \operatorname{ren}_k \rho (\pi \Rightarrow \tau) = \operatorname{renPred}_k \rho \pi \Rightarrow \operatorname{ren}_k \rho \tau
235
                 \operatorname{ren}_k \rho \ (\forall \tau) = \forall (\operatorname{ren}_k (\operatorname{lift}_k \rho) \tau)
236
                 \operatorname{ren}_k \rho \epsilon
237
                 \operatorname{ren}_k \rho (\tau_1 \cdot \tau_2) = (\operatorname{ren}_k \rho \tau_1) \cdot (\operatorname{ren}_k \rho \tau_2)
238
                 \operatorname{ren}_k \rho (\tau_1 \hookrightarrow \tau_2) = (\operatorname{ren}_k \rho \tau_1) \hookrightarrow (\operatorname{ren}_k \rho \tau_2)
239
                 \operatorname{ren}_k \rho (\mu F) = \mu (\operatorname{ren}_k \rho F)
240
                 ren_k \rho \Pi
                                                                =\Pi
241
                 \operatorname{ren}_k \rho \Sigma
                                                               = \Sigma
242
                 \operatorname{ren}_k \rho (\operatorname{lab} x) = \operatorname{lab} x
243
                 \operatorname{ren}_{k} \rho (l \triangleright \tau) = \operatorname{ren}_{k} \rho l \triangleright \operatorname{ren}_{k} \rho \tau
244
```

```
\operatorname{ren}_{k} \rho \mid \ell \rfloor = \lfloor (\operatorname{ren}_{k} \rho \ell) \rfloor
\operatorname{ren}_{k} \rho (f < > m) = \operatorname{ren}_{k} \rho f < > \operatorname{ren}_{k} \rho m
```

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As Type and Pred are mutually inductive, we must define $renPred_k$ as mutually recursive to ren_k . Its definition is completely unsuprising.

```
renPred<sub>k</sub> \rho (\rho_1 \cdot \rho_2 \sim \rho_3) = ren<sub>k</sub> \rho \rho_1 \cdot \text{ren}_k \rho \rho_2 \sim \text{ren}_k \rho \rho_3
renPred<sub>k</sub> \rho (\rho_1 \lesssim \rho_2) = (ren<sub>k</sub> \rho \rho_1) \lesssim (ren<sub>k</sub> \rho \rho_2)
```

Finally, weakening is a special case of renaming.

```
weaken<sub>k</sub>: Type \Delta \kappa_2 \rightarrow \text{Type } (\Delta , \kappa_1) \kappa_2
weaken<sub>k</sub> = ren<sub>k</sub> S
```

2.2.2 Type substitution. We wish to give both a declarative and algorithmic treatment of type equivalence. For the latter, we will normalize types to normal forms, meaning types are equivalent iff their normal forms are definitionally equal. For the former, we must define β -substitution syntactically so that we can express β -equivalence of types declaratively. In our development, β -reduction is a special case of substitution.

We define a substitution as a function mapping type variables in context Δ_1 to types in context Δ_2 .

```
Substitution<sub>k</sub>: KEnv \rightarrow KEnv \rightarrow Set
Substitution<sub>k</sub> \Delta_1 \Delta_2 = \forall \{\kappa\} \rightarrow \mathsf{TVar} \ \Delta_1 \ \kappa \rightarrow \mathsf{Tvpe} \ \Delta_2 \ \kappa
```

Substitutions must be lifted over binders, just as is done for renamings.

```
lifts<sub>k</sub>: Substitution<sub>k</sub> \Delta_1 \Delta_2 \rightarrow \text{Substitution}_k(\Delta_1 ,, \kappa) (\Delta_2 ,, \kappa)
lifts<sub>k</sub> \sigma Z = 'Z
lifts<sub>k</sub> \sigma (S x) = \text{weaken}_k (\sigma x)
```

Substitution is defined inductively over types in a similar fashion to renaming. Note that this is *simultaneous* substitution and renaming—The variable case translates type variable x to the type $\sigma \tau$, for which the substitution σ also performs a renaming from environment Δ_1 to Δ_2 . The rest of the cases (as with renaming) are either congruences over the type structure or congruences plus lifting of the substitution. Again, substitution over predicates is defined mutually recursively.

```
\operatorname{sub}_k : \operatorname{Substitution}_k \Delta_1 \Delta_2 \to \operatorname{Type} \Delta_1 \kappa \to \operatorname{Type} \Delta_2 \kappa
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281
                 \mathsf{subPred}_k : \mathsf{Substitution}_k \ \Delta_1 \ \Delta_2 \to \mathsf{Pred} \ \Delta_1 \ \kappa \to \mathsf{Pred} \ \Delta_2 \ \kappa
282
                 \operatorname{sub}_k \sigma \epsilon = \epsilon
283
                 \operatorname{sub}_k \sigma (' x) = \sigma x
284
                 \operatorname{sub}_k \sigma (\lambda \tau) = \lambda (\operatorname{sub}_k (\operatorname{lifts}_k \sigma) \tau)
285
                 \operatorname{sub}_k \sigma (\tau_1 \cdot \tau_2) = (\operatorname{sub}_k \sigma \tau_1) \cdot (\operatorname{sub}_k \sigma \tau_2)
286
                 \operatorname{sub}_k \sigma (\tau_1 \hookrightarrow \tau_2) = (\operatorname{sub}_k \sigma \tau_1) \hookrightarrow (\operatorname{sub}_k \sigma \tau_2)
287
                 \operatorname{sub}_k \sigma (\pi \Rightarrow \tau) = \operatorname{subPred}_k \sigma \pi \Rightarrow \operatorname{sub}_k \sigma \tau
288
                 \operatorname{sub}_k \sigma ('\forall \tau) = '\forall (\operatorname{sub}_k (lifts_k \sigma) \tau)
289
                 \operatorname{sub}_k \sigma (\mu F) = \mu (\operatorname{sub}_k \sigma F)
290
                 \operatorname{sub}_k \sigma(\Pi) = \Pi
291
                 \operatorname{sub}_k \sigma \Sigma = \Sigma
292
                 sub_k \sigma (lab x) = lab x
293
```

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```
sub_k \sigma (l \triangleright \tau) = sub_k \sigma l \triangleright sub_k \sigma \tau 

sub_k \sigma \lfloor \ell \rfloor = \lfloor (sub_k \sigma \ell) \rfloor 

sub_k \sigma (f < > a) = sub_k \sigma f < > sub_k \sigma a 

subPred_k \sigma (\rho_1 \cdot \rho_2 \sim \rho_3) = sub_k \sigma \rho_1 \cdot sub_k \sigma \rho_2 \sim sub_k \sigma \rho_3 

subPred_k \sigma (\rho_1 \le \rho_2) = (sub_k \sigma \rho_1) \le (sub_k \sigma \rho_2)
```

We define the extension of a substitution σ by the type τ functionally. If we had chosen to represent a Substitution_k as a list, extension would be done by the cons constructor. In a DeBruijn representation, the most recently appended variable is zero—hence an extension here maps the zero variable to τ in the Z case and maps each variable (S x) to its value in σ at predecessor x.

```
extend<sub>k</sub> : Substitution<sub>k</sub> \Delta_1 \Delta_2 \rightarrow (\tau : \mathsf{Type}\ \Delta_2\ \kappa) \rightarrow \mathsf{Substitution}_k\ (\Delta_1\ ,,\ \kappa)\ \Delta_2 extend<sub>k</sub> \sigma\ \tau\ \mathsf{Z} = \tau extend<sub>k</sub> \sigma\ \tau\ (\mathsf{S}\ x) = \sigma\ x
```

Finally, β -substitution is simply a special case of substitution. Note that the constructor `has type TVar $\Delta \kappa \to \text{Type } \Delta \kappa$, making it a substitution. It is in fact an identity substitution, which fixes the meaning of its type variables, hence it is the substitution we choose to extend when defining β -substitution.

```
\_\beta_k[\_]: Type (\Delta , \kappa_1) \kappa_2 \to \text{Type } \Delta \kappa_1 \to \text{Type } \Delta \kappa_2
\tau_1 \beta_k[\tau_2] = \text{sub}_k \text{ (extend}_k `\tau_2) \tau_1
```

2.3 Type equivalence

We define type and predicate equivalence mutually recursively. You may think of type equivalence also as a sort of small-step relation on types, as we include rules to equate β -equivalent and η -equivalent types, as well as a number of computational steps a row kinded type may take.

```
infix 0 = t

infix 0 = p

data = p: Pred \Delta R[\kappa] \rightarrow Pred \Delta R[\kappa] \rightarrow Set

data = t: Type \Delta \kappa \rightarrow Type \Delta \kappa \rightarrow Set
```

Unless otherwise quantified, let the metavariable 1 range over types with label kind, let π range over predicates, and let τ and v range over types:

```
private  \begin{array}{c} \textbf{variable} \\ l \ l_1 \ l_2 \ l_3 : \textbf{Type} \ \Delta \ \textbf{L} \\ \rho_1 \ \rho_2 \ \rho_3 : \textbf{Type} \ \Delta \ \textbf{R}[\ \kappa \ ] \\ \pi_1 \ \pi_2 : \textbf{Pred} \ \Delta \ \textbf{R}[\ \kappa \ ] \\ \tau \ \tau_1 \ \tau_2 \ \tau_3 \ v \ v_1 \ v_2 \ v_3 : \textbf{Type} \ \Delta \ \kappa \end{array}
```

The rules for predicate equivalence are uninteresting: two predicates are considered equivalent when their component types are equivalent.

```
\begin{array}{lll} {}_{340} & {\sf data} \ \_\equiv {\sf p}\_\ {\sf where} \\ \\ {}_{341} & \_= {\sf eq} - \lesssim \_: \\ \\ {}_{342} & \tau_1 \equiv t \ v_1 \to \tau_2 \equiv t \ v_2 \to \\ \end{array}
```

```
344 	au_1 \lesssim 	au_2 \equiv p \ v_1 \lesssim v_2
345
346 -eq^{-\cdot}_{-\cdot}^{-\cdot}:
347 	au_1 \equiv t \ v_1 \rightarrow 	au_2 \equiv t \ v_2 \rightarrow 	au_3 \equiv t \ v_3 \rightarrow 	au_1 \cdot 	au_2 \sim 	au_3 \equiv p \ v_1 \cdot v_2 \sim v_3
```

The first three rules for type equivalence state that it is an equivalence relation.

```
data ≡t where
351
352
                 eq-refl:
353
                     \tau \equiv t \tau
                 eq-sym:
355
                     \tau_1 \equiv t \ \tau_2 \rightarrow
356
                     \tau_2 \equiv t \tau_1
357
358
                 eq-trans:
359
                     \tau_1 \equiv t \ \tau_2 \rightarrow \tau_2 \equiv t \ \tau_3 \rightarrow
                     \tau_1 \equiv t \tau_3
361
```

 Type equivalence is congruent over the total structure of types, including λ -bindings (hence you may view type normalization as being *call-by-value*). We omit the other eight congruence rules.

```
eq-\lambda: \forall \{\tau \ v : \mathsf{Type} \ (\Delta \ ,, \ \kappa_1) \ \kappa_2\} \rightarrow \tau \equiv \mathsf{t} \ v \rightarrow 
^{\prime}\lambda \ \tau \equiv \mathsf{t} \ ^{\prime}\lambda \ v
```

We have one η -equivalence rule. It is henceforth useful to view the following rules as directed left-to-right, as normal forms are produced on the right-hand side.

```
eq-\eta: \forall \{f : \mathsf{Type} \ \Delta \ (\kappa_1 \ `\rightarrow \kappa_2)\} \rightarrow f \equiv \mathsf{t} \ `\lambda \ (\mathsf{weaken}_k \ f \cdot (`\ \mathsf{Z}))
```

The rules that remain as *computational*—these are precisely the rules we would use to define small-step reduction of types. We begin with the β -equivalence rule, which states that lambda abstractions applied to arguments are equivalent to their beta reduction.

```
eq-\beta: \forall {\tau_1: Type (\Delta ,, \kappa_1) \kappa_2} {\tau_2: Type \Delta \kappa_1} \rightarrow (('\lambda \tau_1) · \tau_2) \equivt (\tau_1 \beta_k[ \tau_2 ])
```

The next two rules specify the computational behavior of mapping over rows. Rule (eq-<\$> ϵ) states that mapping over the empty row ϵ should yield the empty row; rule eq->\$ states that mapping over a labeled row should push the left applicand into the body of the row.

```
eq-<$>\epsilon : {F : Type \Delta (\kappa_1 '\rightarrow \kappa_2)} \rightarrow (F <$> \epsilon) \equivt \epsilon eq->$ : \forall {l} {\tau : Type \Delta \kappa_1} {F : Type \Delta (\kappa_1 '\rightarrow \kappa_2)} \rightarrow (F <$> (l > \tau)) \equivt (l > (F · \tau))
```

We wish to establish that normal forms of types at row kind are either the empty row ϵ or labeled rows. This is, of course, not the case for types in general. For example, the type $\Pi \cdot (1 \triangleright \tau)$ has

 row kind when τ has row kind R[κ]. In this case, rule eq- Π > pushes the Π over the label so that a canonical form is restored.

```
eq-\Pi \triangleright : \forall \{l\} \{\tau : \mathsf{Type} \ \Delta \ \mathsf{R}[\ \kappa \ ]\} \rightarrow \Pi \cdot (l \triangleright \tau) \equiv \mathsf{t} \ (l \triangleright (\Pi \cdot \tau))
```

The application of Π and Σ to a type τ at nested-row kind is in fact just the mapping of Π and Σ over τ :

```
eq-\Pi: \forall {\tau: Type \Delta R[ R[ \kappa ] ]} \rightarrow \Pi \cdot \tau \equivt \Pi <$> \tau
```

Likewise to rows, we wish to show that normal forms of types at arrow kind are canonically λ -bound. However, the type $\Pi \cdot (1 \triangleright \ \lambda \ \tau)$ has arrow kind! Rule eq- $\Pi \lambda$ pushes the λ outwards in order to restore canonicity and so that application of $\Pi \cdot (1 \triangleright \ \lambda \ \tau)$ to an applicand is simply β -reduction.

```
eq-\Pi\lambda: \forall {l} {\tau: Type (\Delta ,, \kappa_1) \kappa_2} \rightarrow \Pi \cdot (l \triangleright `\lambda \tau) \equiv t `\lambda (\Pi \cdot (\text{weaken}_k \ l \triangleright \tau))
```

Finally, in many cases (such as record concatenation and variant branching) it is necessary to reassociate the application $(\Pi \rho) \tau$ inward so that Π (or Σ) are the outermost syntax. We observe the following reassociation identity:

```
eq-\Pi-assoc : \forall {\rho : Type \Delta (R[ \kappa_1 '\rightarrow \kappa_2 ])} {\tau : Type \Delta \kappa_1} \rightarrow (\Pi \cdot \rho) · \tau \equivt \Pi \cdot (\rho <?> \tau)
```

The definition of $_\equiv t_$ concludes by repeating the last four rules, replacing each Π with Σ . As a final aside, it might be thought that we could have rid ourselves of the syntax for mapping by elaborating types at kind $R[\ \kappa_1 \to \kappa_2]$. For example, the type $(1 \triangleright \lambda \times : \kappa_1 \cdot \tau)$ could perhaps have its λ binding pushed outside to yield $\lambda \times : \kappa_1 \cdot (1 \triangleright \tau)$. However, this would not be kind-preserving (the latter has kind $\kappa_1 \to R[\ \kappa_2\]$), and therefore such a translation would induce a normalization that does not preserve kinds. We believe it would be possible but complicated to consider a kind-changing translation.

3 NORMAL TYPES

As is common in other *normalization by evaluation* approaches, we separate *neutral types* from *normal types*. These two definitions are defined mutually inductively with the data type for normal predicates:

```
data NormalPype (\Delta: KEnv): Kind \rightarrow Set data NormalPyped (\Delta: KEnv): Kind \rightarrow Set data NeutralType \Delta: Kind \rightarrow Set
```

A type is neutral if it is (respectively) (i) a variable, (ii) the application of a variable to an argument, or (iii) the mapping of a normal function type over a neutral row type. Intuitively, neutral forms are forms for which computation is "stuck" waiting on a variable to be substituted for a canonical form. Note that this third neutral form (row mapping) is novel to our development, and, in comparison to application, inverts the normal/neutral expectation of its arguments. It captures the stuck nature of a type such as $(1 \triangleright \lambda \times M) < 0$ —that is, we are unable to map a function over a type variable.

```
data NeutralType Δ where
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              ٠.
443
                  (\alpha : \mathsf{TVar} \ \Delta \ \kappa) \rightarrow
445
                  NeutralType \Delta \kappa
446
447
                  (f : \text{NeutralType } \Delta (\kappa_1 \hookrightarrow \kappa)) \rightarrow
                  (\tau : NormalType \Delta \kappa_1) \rightarrow
449
                  NeutralType \Delta \kappa
450
451
              _<$> :
                  (F: NormalType \Delta (\kappa_1 \hookrightarrow \kappa_2)) \rightarrow (\tau: NeutralType \Delta R[\kappa_1]) \rightarrow
453
                  NeutralType \Delta (R[\kappa_2])
455
456
```

A predicate is normal if its component types are each normal.

```
data NormalPred A where
```

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```
(\rho_1 \ \rho_2 \ \rho_3 : NormalType \Delta \ R[\kappa]) \rightarrow
   NormalPred \Delta R[\kappa]
_≲_:
   (\rho_1 \ \rho_2 : NormalType \Delta \ R[\kappa]) \rightarrow
   NormalPred \Delta R[\kappa]
```

Because we consider the normalization of types modulo η -equivalence, we wish to restrict our normal types to η -long form. This can be done by restricting the construction of normal-neutral types to just ground kind. This also ensures a canonical form for arrow-kinded normal types, as neutral types at arrow-kind cannot be promoted to normal types. We define a Ground predicate on types that maps all non-arrow kinds to the unit type \top and maps the arrow kind to \bot . (In other words, Ground κ is trivially inhabitable so long as $\kappa \neq \kappa_1 \rightarrow \kappa_2$.)

```
Ground: Kind \rightarrow Set
Ground ★ = T
Ground L = T
Ground (\kappa \hookrightarrow \kappa_1) = \bot
Ground R[\kappa] = T
```

It is easy to show that this predicate is decidable.

```
ground? : \forall \kappa \rightarrow \text{Dec (Ground } \kappa)
480
         ground? ★ = yes tt
481
         ground? L = yes tt
482
         ground? (\_ \hookrightarrow \_) = no(\lambda())
483
         ground? R[_] = yes tt
484
```

Now we may restrict the ne constructor to promoting just neutral types at ground kind by adding the (implicit) requirement that ne only be used when Ground κ is satisfied. To make this evidence easy to populate when κ is known, we employ a well-known proof-by-reflection trick (see Wadler et al. [2022]) and require evidence of the form True (ground? κ).

```
data NormalType \Delta where ne: (x: \text{NeutralType } \Delta \kappa) \rightarrow \{ground: \text{True (ground? } \kappa)\} \rightarrow \text{NormalType } \Delta \kappa
```

Likewise, to ensure canonical forms of rows, we restrict Π and Σ to formation at kind \star and L. The constructors for record types are given below.

```
500 \Pi:

501 (\rho : \text{NormalType } \Delta \text{ R[} \star \text{]}) \rightarrow
502 \text{NormalType } \Delta \star
503 \Pi L:
504 (\rho : \text{NormalType } \Delta \text{ R[} L \text{]}) \rightarrow
505 \text{NormalType } \Delta \text{ L}
```

The rest of the NormalType syntax is identical to the Type syntax with the exception that we remove the `constructor for variables and Π and Σ constructors at arbitrary kind. We choose not to omit this syntax, as our proofs of canonicity follow from knowing the totality of NormalType constructors.

```
- Fω
512
          'λ:
513
514
             (\tau : NormalType (\Delta, \kappa_1) \kappa_2) \rightarrow
             NormalType \Delta (\kappa_1 \hookrightarrow \kappa_2)
517
             (\tau_1 \ \tau_2 : NormalType \Delta \star) \rightarrow
518
             NormalType \Delta \star
519
520
521
             \{\kappa : \mathsf{Kind}\} \to (\tau : \mathsf{NormalType}\ (\Delta, \kappa) \star) \to
522
             NormalType \Delta \star
523
524
525
             (F : NormalType \Delta (\star \hookrightarrow \star)) \rightarrow
526
             NormalType \Delta \star
527
          - Qualified types
528
529
             (\pi : NormalPred \Delta R[\kappa_1]) \rightarrow (\tau : NormalType \Delta \star) \rightarrow
530
531
             NormalType \Delta \star
532
          -R\omega
533
          \epsilon :
534
             NormalType \Delta R[\kappa]
535
536
537
             (l: NormalType \Delta L) \rightarrow
538
```

```
(\tau : NormalType \Delta \kappa) \rightarrow
540
541
            NormalType \Delta R[\kappa]
         lab:
543
            (l: Label) \rightarrow
            NormalType \Delta L
545
546
         | |:
547
            (l: NormalType \Delta L) \rightarrow
            NormalType \Delta \star
549
            (\rho : NormalType \Delta R[\star]) \rightarrow
551
            NormalType \Delta \star
553
         \Sigma L:
            (\rho : NormalType \Delta R[L]) \rightarrow
555
            NormalType \Delta L
557
```

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3.0.1 Renaming. We define renaming over NormalTypes in the same fashion as defined over Types. Note that we use the suffix $_k$ NF now to denote functions which operate on NormalType syntax. Definitions are unsurprising and omitted.

```
ren<sub>k</sub>NE : Renaming<sub>k</sub> \Delta_1 \Delta_2 \rightarrow NeutralType \Delta_1 \kappa \rightarrow NeutralType \Delta_2 \kappa ren<sub>k</sub>NF : Renaming<sub>k</sub> \Delta_1 \Delta_2 \rightarrow NormalType \Delta_1 \kappa \rightarrow NormalType \Delta_2 \kappa weaken<sub>k</sub>NF : NormalType \Delta \kappa_2 \rightarrow NormalType (\Delta , \kappa_1) \kappa_2 weaken<sub>k</sub>NE : NeutralType \Delta \kappa_2 \rightarrow NeutralType (\Delta , \kappa_1) \kappa_2
```

3.1 Properties of normal types

We use Agda to confirm the desired canonicity properties. First, we wish for arrow kinds to be canonically formed by λ -abstractions. This can be shown easily by induction on arrow-kinded f.

```
arrow-canonicity : (f : \text{NormalType } \Delta (\kappa_1 \hookrightarrow \kappa_2)) \rightarrow \exists [\tau] (f \equiv `\lambda \tau) arrow-canonicity (`\lambda f) = f, refl
```

Second, we wish for types at row kind to be canonically either (i) a labeled type (1 > τ), (ii) a neutral type, or (iii) the empty row ϵ . The row-canonicity lemma below states precisely this. Note that we permit row-kinded types to be neutral because we do not η -expand arrow-kinded rows. Recall our discussion above that such an expansion would not be kind-preserving. This means arrow-kinded rows must be permitted to be canonically neutral.

```
row-canonicity : (\rho : \text{NormalType } \Delta \text{ R}[\kappa]) \rightarrow \exists [l] (\Sigma[\tau \in \text{NormalType } \Delta \kappa] ((\rho \equiv (l \triangleright \tau)))) \text{ or} \\ \Sigma[\tau \in \text{NeutralType } \Delta \text{ R}[\kappa]] (\rho \equiv \text{ne } \tau) \text{ or} \\ \rho \equiv \epsilon \\ \text{row-canonicity } (l \triangleright \tau) = \text{left } (l, \tau, \text{refl}) \\ \text{row-canonicity } (\text{ne } \tau) = \text{right } (\text{left } (\tau, \text{refl})) \\ \text{row-canonicity } \epsilon = \text{right } (\text{right refl}) \\ \text{100}
```

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3.2 Type embeddings

We establish an embedding back from normal types to types below. The embedding is written \Uparrow because its type is converse to our definition of normalization, written \Downarrow . We will show in later sections precisely that \Uparrow is right-inverse to \Downarrow .

Much of the embedding is defined by using like-for-like constructors and recursing on the subdata.

```
\uparrowNE ('x) = 'x
600
601
              \uparrow NE (\tau_1 \cdot \tau_2) = (\uparrow NE \tau_1) \cdot (\uparrow \tau_2)
602
             \uparrow NE (F < \$ > \tau) = (\uparrow F) < \$ > (\uparrow NE \tau)

\uparrow \text{Pred} (\rho_1 \cdot \rho_2 \sim \rho_3) = (\uparrow \rho_1) \cdot (\uparrow \rho_2) \sim (\uparrow \rho_3)

\uparrow \text{Pred} (\rho_1 \leq \rho_2) = (\uparrow \rho_1) \leq (\uparrow \rho_2)

             \uparrow (ne x) = \uparrowNE x
608
             609
             \uparrow (\lambda \tau) = \lambda (\uparrow \tau)
610

\uparrow (\tau_1 \hookrightarrow \tau_2) = \uparrow \tau_1 \hookrightarrow \uparrow \tau_2

611
             \uparrow (\mu \tau) = \mu (\uparrow \tau)
613
             \uparrow (lab l) = lab l
             \uparrow \mid \tau \mid = \mid \uparrow \tau \mid
             \uparrow (\pi \Rightarrow \tau) = (\uparrow \text{Pred } \pi) \Rightarrow (\uparrow \tau)
```

An exception is made for record and variant constructors, which we must reconstruct as applications:

```
\begin{array}{ccc}
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```

4 SEMANTIC TYPES

We next define SemType Δ κ , the semantic interpretation of types. SemTypes are defined by induction on the kind κ and mutually-recursively with KripkeFunctions, the interpretation of type functions.

```
SemType : KEnv \rightarrow Kind \rightarrow Set
KripkeFunction : KEnv \rightarrow Kind \rightarrow Kind \rightarrow Set
```

Type functions are interpreted as Kripke function spaces because they must permit arbitrary and intermediate renaming. That is, they are functions at "any world."

```
KripkeFunction \Delta_1 \kappa_1 \kappa_2 = (\forall \{\Delta_2\} \rightarrow \text{Renaming}_k \Delta_1 \Delta_2 \rightarrow \text{SemType } \Delta_2 \kappa_1 \rightarrow \text{SemType } \Delta_2 \kappa_2)
SemType \Delta_1 (\kappa_1 \hookrightarrow \kappa_2) = \text{KripkeFunction } \Delta_1 \kappa_1 \kappa_2
```

We interpret \star and L kinded types as their normal forms.

```
649 SemType \Delta \star = NormalType \Delta \star

640 SemType \Delta L = NormalType \Delta L
```

 We interpret rows as either nothing (the empty row), just (left x) for neutral x, or just (right (1, τ)) for normal 1 and τ . These cases correspond precisely to the three canonical forms of types with row kind.

```
SemType \Delta R[\kappa] = Maybe

((NeutralType \Delta R[\kappa]) or

(NormalType \Delta L × SemType \Delta\kappa))
```

4.1 Renaming & substitution

Renaming is defined over semantic types in an obvious fashion. Definitions are omitted except in the functional case.

```
renSem : Renaming_k \Delta_1 \Delta_2 \rightarrow SemType \Delta_1 \kappa \rightarrow SemType \Delta_2 \kappa weakenSem : SemType \Delta \kappa_1 \rightarrow SemType (\Delta , \kappa_2) \kappa_1
```

Because $R\omega\mu$ functions are interpreted into Kripke function spaces, renaming of arrow-kinded types is simply composition by the function's renaming.

```
renKripke : Renaming<sub>k</sub> \Delta_1 \Delta_2 \rightarrow KripkeFunction \Delta_1 \kappa_1 \kappa_2 \rightarrow KripkeFunction \Delta_2 \kappa_1 \kappa_2 renKripke \{\Delta_1\} \rho F \{\Delta_2\} = \lambda \rho' \rightarrow F (\rho' \circ \rho) renSem \{\kappa = \kappa' \rightarrow \kappa_1\} \rho F = \text{renKripke } \rho F
```

4.2 Normalization by evaluation

Our *normalization by evaluation* proceeds in a standard fashion. We will define reflect, which maps neutral types to semantic types, and reify, which maps semantic types to normal types. We then write an evaluator that takes a Type into the semantic domain. During this process, function applications (and other forms of computation) are reduced. We finally reify the semantic type back to a normal form.

Reflection and reification are defined mutually recursively. We define the type synonym reifyKripke the reification of types at arrow kind, for repeated use later.

```
reflect : \forall \{\kappa\} \rightarrow \mathsf{NeutralType} \ \Delta \ \kappa \rightarrow \mathsf{SemType} \ \Delta \ \kappa reify : \forall \{\kappa\} \rightarrow \mathsf{SemType} \ \Delta \ \kappa \rightarrow \mathsf{NormalType} \ \Delta \ \kappa reifyKripke : KripkeFunction \Delta \ \kappa_1 \ \kappa_2 \rightarrow \mathsf{NormalType} \ \Delta \ (\kappa_1 \ \hookrightarrow \kappa_2)
```

Reflection of neutral types at ground kind leaves the type undisturbed.

```
reflect \{\kappa = \star\} \tau = ne \tau
reflect \{\kappa = L\} \tau = ne \tau
reflect \{\kappa = R[\kappa]\} \tau = just (left \tau)
```

Reflection of neutral types at arrow kind must be η -expanded into a Kripke function. Note here that is necessary to reify the input v back to a normal type.

```
reflect \{\kappa = \kappa_1 \hookrightarrow \kappa_2\} \tau = \lambda \rho \nu \rightarrow \text{reflect } (\text{ren}_k \text{NE } \rho \tau \cdot \text{reify } \nu)
```

 Reification similarly leaves ground types undisturbed. Semantic types at \star and label kind are already in normal form; semantic types at row kind must be translated from their semantic constructors to their NormalType constructors.

```
reify \{\kappa = \star\} \tau = \tau

reify \{\kappa = L\} \tau = \tau

reify \{\kappa = R[\kappa]\} (just (left x)) = ne x

reify \{\kappa = R[\kappa]\} (just (right (l, \tau))) = l \triangleright (reify \tau)

reify \{\kappa = R[\kappa]\} nothing = \epsilon
```

Semantic functions must be reified from Agda functions back into NormalType syntax. This is done by reifying the application of semantic function F to the reflection of the η -expanded variable \tilde{Z} .

```
reify \{\kappa = \kappa_1 \hookrightarrow \kappa_2\} F = \text{reifyKripke } F
reifyKripke \{\kappa_1 = \kappa_1\} F = \lambda (\text{reify } (F \text{ S (reflect } \{\kappa = \kappa_1\})))
```

Observe that neutral types can be forced into η -long form simply by composing reification and reflection. This will prove helpful later, as the neutral type former ne has the same type except restricted to ground kind, but we will need to be able to promote from neutral to normal type at *all* kinds.

```
η-norm : NeutralType Δ κ \rightarrow NormalType Δ κ η-norm = reify \circ reflect
```

Towards writing an evaluator, we define a semantic environment as a function mapping type variables to semantic types.

```
\begin{array}{l} \mathsf{Env} : \mathsf{KEnv} \to \mathsf{KEnv} \to \mathsf{Set} \\ \mathsf{Env} \ \Delta_1 \ \Delta_2 = \forall \ \{\kappa\} \to \mathsf{TVar} \ \Delta_1 \ \kappa \to \mathsf{SemType} \ \Delta_2 \ \kappa \end{array}
```

Environment extension and lifting can be written in a straightforward manner.

```
extende : (\eta : \mathsf{Env} \ \Delta_1 \ \Delta_2) \to (V : \mathsf{SemType} \ \Delta_2 \ \kappa) \to \mathsf{Env} \ (\Delta_1 \ ,, \ \kappa) \ \Delta_2
lifte : \mathsf{Env} \ \Delta_1 \ \Delta_2 \to \mathsf{Env} \ (\Delta_1 \ ,, \ \kappa) \ (\Delta_2 \ ,, \ \kappa)
```

The identity environment now maps type variables to semantic types. Unlike in Chapman et al. [2019], this environment can no longer be truly said to be an identity: type variables are de facto put into η -long form during reflection. However this change is mandatory for normalization, so we cannot define an environment that does not.

```
idEnv : Env \Delta \Delta
idEnv = reflect \circ
```

4.3 Helping evaluation

In aid of writing an evaluator, we found it helpful to develop *semantic* notions of the syntax introduced by $R\omega\mu$. For example, we define a type synonym for application, which is simply Agda application within the identity renaming.

```
_·V_ : SemType \Delta (\kappa_1 '\to \kappa_2) \to SemType \Delta \kappa_1 \to SemType \Delta \kappa_2 F ·V V=F id V
```

We can further define the constructors of the three canonical forms of row-kinded types:

```
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\_ \triangleright V_- : SemType \ \Delta \ L \rightarrow SemType \ \Delta \ \kappa \rightarrow SemType \ \Delta \ R[\ \kappa \ ]

739

\_ \triangleright V_- \{ \kappa = \kappa \} \ \ell \ \tau = just (right \ (\ell \ , \tau))

740

ne-R: NeutralType \( \Delta \ R[ \ \kappa \ ] \) SemType \( \Delta \ R[ \ \kappa \ ] \)

741

ne-R = just \( \circ \ left \)

742

743

\epsilon V : SemType \ \Delta \ R[ \ \kappa \ ]

744

\epsilon V = nothing
```

 The definition of semantic row mapping varies by the shape of the row V over which we are lifting. If V is neutral, so too must the mapping of F over !V! be neutral. Hence we reify F to normal form and leave its mapping in neutral form. If V is a labeled row $(1 > \tau)$, we push the application of F over τ . Finally, if V is the empty row, its mapping is empty.

```
_<$>V_ : SemType \Delta (\kappa_1 '\rightarrow \kappa_2) \rightarrow SemType \Delta R[ \kappa_1 ] \rightarrow SemType \Delta R[ \kappa_2 ] _<$>V_ {\kappa_1 = \kappa_1} {\kappa_2} F (just (left x)) = ne-R (reifyKripke F <$> x) _ <$>V_ {\kappa_1 = \kappa_1} {\kappa_2} F (just (right (l, \tau))) = (l \triangleright V (F \cdot V \tau)) _ <$>V_ {\kappa_1 = \kappa_1} {\kappa_2} F nothing = \epsilon V
```

Although the flap operator _<?>_ is expressible as a special case of row mapping, we nevertheless find it a useful abstraction to express as a semantic function. It is defined below in terms of semantic row mapping; we find it likewise helpful to give a type synonym apply to the left hand side of this equation.

```
apply : SemType \Delta \kappa_1 \to \text{SemType } \Delta ((\kappa_1 \hookrightarrow \kappa_2) \hookrightarrow \kappa_2)

apply a = \lambda \rho F \to F \cdot V (renSem \rho a)

infixr 0 = <?>V = <.>>V = SemType <math>\Delta R[\kappa_1 \hookrightarrow \kappa_2] \to \text{SemType } \Delta \kappa_1 \to \text{SemType } \Delta R[\kappa_2]

f <?>V = \text{a = apply } a <$>V f
```

Much of the latent computation in $R\omega\mu$ occurs under an outermost Π and Σ syntax. To this end, we chose to represent Π and Σ as arrow-kinded type-constants—meaning they will evaluate into Agda functions. This provides an opportunity to concisely abstract their reduction logic. We define a semantic combinator for the Π type constant below. The first two equations state that record types at \star and label kind may be formed provided normal bodies; The third equation pushes the λ -binding of F outside of the record type; the fourth equation states that application is mapping at nested row kind.

```
774   \Pi V : \operatorname{SemType} \Delta R[\kappa] \rightarrow \operatorname{SemType} \Delta \kappa

775   \Pi V \{\kappa = \star\} x = \Pi \text{ (reify } x)

776   \Pi V \{\kappa = L\} x = \Pi L \text{ (reify } x)

777   \Pi V \{\kappa = \kappa_1 \hookrightarrow \kappa_2\} F = \lambda \rho \nu \rightarrow \Pi V \text{ (renSem } \rho F <?>V \nu)

778   \Pi V \{\kappa = R[\kappa]\} x = (\lambda \rho \nu \rightarrow \Pi V \nu) <\$>V x
```

We can turn the semantic helper IIV into a true Kripke function easily:

```
Π-Kripke : KripkeFunction Δ R[ \kappa ] \kappa Π-Kripke = \lambda \rho \nu \rightarrow \Pi V \nu
```

We omit the definitions of ΣV and Σ -Kripke, as they are identical modulo the use of Π constants.

4.4 Evaluation

We now write an evaluator that translates Types to semantic types; that is, translating syntactic forms to the semantic domain. A normalizer composes reification with evaluation. One can see this in the definition of evalPred, the predicate normalizer. (Predicates must be fully normalized as they do not have a semantic image.)

```
eval: Type \Delta_1 \kappa \to \text{Env } \Delta_1 \Delta_2 \to \text{SemType } \Delta_2 \kappa
evalPred: Pred \Delta_1 R[\kappa] \to \text{Env } \Delta_1 \Delta_2 \to \text{NormalPred } \Delta_2 R[\kappa]

evalPred (\rho_1 \cdot \rho_2 \sim \rho_3) \eta = \text{reify (eval } \rho_1 \eta) \cdot \text{reify (eval } \rho_2 \eta) \sim \text{reify (eval } \rho_3 \eta)
evalPred (\rho_1 \lesssim \rho_2) \eta = \text{reify (eval } \rho_1 \eta) \lesssim \text{reify (eval } \rho_2 \eta)
```

Evaluation is defined by induction over the type structure. The first three cases have types which may occur at any kind. The variable case simply uses the environment to perform a lookup; application defers to our semantic combinator $_\cdot V_-$; and evaluation of arrow types is defined recursively.

```
eval \{\kappa = \kappa\} ('x) \eta = \eta x

eval \{\kappa = \kappa\} (\tau_1 \cdot \tau_2) \eta = (eval \tau_1 \eta) ·V (eval \tau_2 \eta)

eval \{\kappa = \kappa\} (\tau_1 '\to \tau_2) \eta = (eval \tau_1 \eta) '\to (eval \tau_2 \eta)
```

The next four cases are for types that only occur at kind \star . The qualified type and label singleton cases proceed by recursion over the type structure. For ` \forall -bound types, we must lift the environment η appropriately. In the μ case, τ has kind $\star \to \star$ and so its evaluation must be reified back to NormalType.

```
eval \{\kappa = \star\} (\pi \Rightarrow \tau) \eta = \text{evalPred } \pi \eta \Rightarrow \text{eval } \tau \eta

eval \{\kappa = \star\} [\tau] \eta = [\text{eval } \tau] \eta

eval \{\kappa = \star\} (\forall \tau) \eta = \forall (\text{eval } \tau) (lifte \eta))

eval \{\kappa = \star\} (\mu \tau) \eta = \mu (reify (eval \tau))
```

There is only one type with exclusively label kind. Its definition is unsurprising (it houses only a String label).

```
eval \{\kappa = L\} (lab l) \eta = lab l
```

We evaluate λ -bound functions by evaluating their bodies in environments extended by the meaning their input ν . Note that we are building a Kripke function and so ρ is a renaming from Δ_1 to Δ_2 and ν is an input of type SemType Δ_2 κ_1 .

```
eval \{\kappa = \kappa_1 \hookrightarrow \kappa_2\} (\lambda \tau) \eta = \lambda \rho \nu \rightarrow \text{eval } \tau \text{ (extende } (\lambda \{\kappa\} \nu) \rightarrow \text{renSem } \{\kappa = \kappa\} \rho (\eta \nu)) \nu)
```

Lastly, we define evaluation over the row-kinded constants and operators. As Π and Σ are represented as type constants in the Type syntax, they translate directly to the Kripke functions we defined for Π and Σ as semantic helpers. Likewise, the row mapping and labeled-row cases are interpreted immediately and desirably by their semantic helpers.

```
eval \{\kappa = \mathbb{R}[\kappa] : \rightarrow \kappa\} \Pi \eta = \Pi-Kripke

eval \{\kappa = \mathbb{R}[\kappa] : \rightarrow \kappa\} \Sigma \eta = \Sigma-Kripke

eval \{\kappa = \mathbb{R}[\kappa] : (f < s) \mid \eta = (\text{eval } f \mid \eta) < s > V (\text{eval } a \mid \eta)
```

```
834 eval \{\kappa = \_\}\ (l \triangleright \tau)\ \eta = (\text{eval } l\ \eta) \triangleright V (\text{eval } \tau\ \eta)
835 eval \epsilon \ \eta = \epsilon V
```

Finally, we define a normalizer as the reification of evaluation.

```
838 \downarrow: \forall {\Delta} \rightarrow Type \Delta \kappa \rightarrow NormalType \Delta \kappa

840 \downarrow \tau = reify (eval \tau idEnv)

841 \downarrow NE: \forall {\Delta} \rightarrow NeutralType \Delta \kappa \rightarrow NormalType \Delta \kappa

842 \downarrow NE \tau = reify (eval (\uparrowNE \tau) idEnv)
```

5 METATHEORY

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We now verify that \downarrow indeed behaves as a normalization function ought to. We first show that normalization is *stable*. Stability states that embedding \uparrow is a right-inverse to normalization \downarrow , or, in categorical terms, that \downarrow is a split-monomorphism. The proof is by induction over τ .

```
stability : \forall (\tau : NormalType \Delta \kappa) \rightarrow \Downarrow (\uparrow \tau) \equiv \tau
```

It is desirable that a normalization algorithm adheres to this property, as it states effectively that there is "no more work" to be done by re-normalization. Both idempotency and surjectivity are implied.

```
idempotency : \forall (\tau : \mathsf{Type} \ \Delta \ \kappa) \to (\ \uparrow (\ \downarrow (\ \uparrow (\ \downarrow \ \tau))))) \equiv \ \uparrow (\ \downarrow \ \tau)
idempotency \tau rewrite stability (\ \downarrow \ \tau) = refl

surjectivity : \forall (\tau : \mathsf{NormalType} \ \Delta \ \kappa) \to \exists [\ v\ ] (\ \downarrow \ v \equiv \tau)
surjectivity \tau = (\ \uparrow \ \tau \ , \mathsf{stability} \ \tau \ )
```

It next falls upon us to verify that this normalization algorithm indeed respects our syntactic account of type equivalence. How we do so is fairly routine to other normalization-by-evaluation efforts. We show that the algorithm is complete with respect to syntactic type equivalence:

```
completeness : \forall \{\tau_1 \ \tau_2 : \text{Type } \Delta \ \kappa\} \rightarrow \tau_1 \equiv t \ \tau_2 \rightarrow \psi \ \tau_1 \equiv \psi \ \tau_2
```

Completeness here states that equivalent types normalize to the same types. Soundness states that every type is equivalent to its normalization.

```
soundness : \forall \{\Delta_1 \kappa\} \rightarrow (\tau : \mathsf{Type} \ \Delta_1 \ \kappa) \rightarrow \tau \equiv \mathsf{t} \ \uparrow (\Downarrow \tau)
```

Soundness implies the converse of completeness, hence we may conclude that $\tau_1 \equiv t \quad \tau_2$ iff $\downarrow t_1 \equiv t \quad \tau_2$.

```
completeness^{-1}: \forall \ \{\Delta \ \kappa\} \rightarrow (\tau_1 \ \tau_2: \mathsf{Type} \ \Delta \ \kappa) \rightarrow \ \  \  \, \\ \forall \ \tau_1 \equiv \ \  \  \, \\ \tau_2 \rightarrow \tau_1 \equiv \mathsf{t} \ \tau_2 \rightarrow \tau_1 \equiv \mathsf{t} \ \tau_2 \rightarrow \mathsf{t} 
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872
              completeness<sup>-1</sup> \tau_1 \ \tau_2 \ eq =
873
                   eq-trans
874
                        (soundness \tau_1)
875
                   (eq-trans
876
                        (inst (cong \uparrow eq))
877
                   (eq-sym (soundness \tau_2)))
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879
                        \mathsf{inst} : \forall \{v_1 \ v_2 : \mathsf{Type} \ \Delta \ \kappa\} \rightarrow v_1 \equiv v_2 \rightarrow v_1 \equiv t \ v_2
880
                        inst refl = eq-refl
881
```

5.1 A logical relation for completeness

We will prove completeness using a logical relation on semantic types. We would like to be able to equate semantic types, but they prove to be "too large": in particular, our definition of Kripke functions permit functions which may not respect composition of renaming. The solution is to reason about semantic types modulo a partial equivalence relation (PER) that both respects renamings (which we call uniformity) and also equates functions extensionally. We write $\tau_1 \approx \tau_2$ to denote that the semantic types τ_1 and τ_2 are equivalent modulo this relation. For clarity, we give names to the two properties (uniformity and $point\ equality$) we desire related types to hold, and define them mutually recursively.

```
_{\sim}_{\sim}: SemType \Delta \kappa \to SemType \Delta \kappa \to Set
PointEqual-\approx: \forall \{\Delta_1\} \{\kappa_1\} \{\kappa_2\} (F G : KripkeFunction <math>\Delta_1 \kappa_1 \kappa_2) \to Set
Uniform: \forall \{\Delta\} \{\kappa_1\} \{\kappa_2\} \to KripkeFunction \Delta \kappa_1 \kappa_2 \to Set
```

We define $_{\approx}$ recursively over the kind of its equated types. In the first two cases, τ_1 and τ_2 are normal types, which we equate propositionally. In the third case, we assert that Kripke functions F and G are uniform and point-equal to one another. Uniformity asserts a certain commutativity of renaming: you may either rename the result of applying F to V₁, or you may rename F before applying it to a renamed input. point equality on Kripke functions F and G asserts that F and G take related inputs to related outputs. The latter property is what one should expect of a logical relation; the former property can be attributed to Chapman et al. [2019], who in turn attribute Allais et al. [2013].

```
Uniform \{\Delta_1\} \{\kappa_1\} \{\kappa_2\} F = \forall \{\Delta_2 \Delta_3\} (\rho_1 : \operatorname{Renaming}_k \Delta_1 \Delta_2) (\rho_2 : \operatorname{Renaming}_k \Delta_2 \Delta_3) (V_1 V_2 : \operatorname{SemType} \Delta_2 \kappa_1) \rightarrow V_1 \approx V_2 \rightarrow (\operatorname{renSem} \rho_2 (F \rho_1 V_1)) \approx (\operatorname{renKripke} \rho_1 F \rho_2 (\operatorname{renSem} \rho_2 V_2))

PointEqual-\approx \{\Delta_1\} \{\kappa_1\} \{\kappa_2\} F G = \forall \{\Delta_2\} (\rho : \operatorname{Renaming}_k \Delta_1 \Delta_2) \{V_1 V_2 : \operatorname{SemType} \Delta_2 \kappa_1\} \rightarrow V_1 \approx V_2 \rightarrow F \rho V_1 \approx G \rho V_2

= \{\kappa = \star\} \tau_1 \tau_2 = \tau_1 \equiv \tau_2
= \{\kappa = L\} \tau_1 \tau_2 = \tau_1 \equiv \tau_2
= \{\Delta_1\} \{\kappa = \kappa_1 \hookrightarrow \kappa_2\} F G = Uniform <math>F \times U uniform G \times P ointEqual-\{\Delta_1\} F G
```

The last six cases are over row kinded semantic types. The first case states that neutral rows must be propositionally equal; the second states that two rows of the form $(\{l_1\}\tau_1)$ and $(\{l_2\}\tau_2)$ are related iff their labels are equal and their types are related. The third case states that the empty row is related to itself (which is always true). All other cases are nonsensical, and so are set to \bot .

5.1.1 Properties of the completeness relation. The completeness relation forms a partial equivalence relation (PER). As uniformity is a unary property, it follows quickly that $_{\sim}$ cannot be reflexive, but a limited form of reflexivity does hold: provided that V is related to *some* other V', it relates to itself. The other properties (symmetry and transitivity) are simple enough to show. We introduce two helpers, refl- $_{\sim}$ and refl- $_{\sim}$ to describe left and right reflexive projections.

```
\begin{split} \operatorname{refl-} & \approx_l : \forall \ \{V_1 \ \ V_2 : \operatorname{SemType} \ \Delta \ \kappa\} \longrightarrow V_1 \approx V_2 \longrightarrow V_1 \approx V_1 \\ \operatorname{refl-} & \approx_r : \forall \ \{V_1 \ \ V_2 : \operatorname{SemType} \ \Delta \ \kappa\} \longrightarrow V_1 \approx V_2 \longrightarrow V_2 \approx V_2 \\ \operatorname{sym-} & \approx : \forall \ \{\tau_1 \ \tau_2 : \operatorname{SemType} \ \Delta \ \kappa\} \longrightarrow \tau_1 \approx \tau_2 \longrightarrow \tau_2 \approx \tau_1 \\ \operatorname{trans-} & \approx : \forall \ \{\tau_1 \ \tau_2 \ \tau_3 : \operatorname{SemType} \ \Delta \ \kappa\} \longrightarrow \tau_1 \approx \tau_2 \longrightarrow \tau_2 \approx \tau_3 \longrightarrow \tau_1 \approx \tau_3 \\ \end{split}
```

 we commonly invoke two main lemmas. $reflect-\approx reflects$ propositional equality to semantic equivalence, and $reify-\approx reifies$ equivalent semantic types to propositional equality. We make great use of the latter lemma, which states intuitively that related types should have the same reifications. One may alternatively think of this lemma as congruence of reification modulo semantic equivalence.

```
reflect-\approx: \forall \{\tau_1 \ \tau_2 : \text{NeutralType } \Delta \ \kappa\} \rightarrow \tau_1 \equiv \tau_2 \rightarrow \text{reflect } \tau_1 \approx \text{reflect } \tau_2 \text{ reify-} \approx : \forall \{\tau_1 \ \tau_2 : \text{SemType } \Delta \ \kappa\} \rightarrow \tau_1 \approx \tau_2 \rightarrow \text{reify } \tau_1 \equiv \text{reify } \tau_2
```

5.2 The fundamental theorem & completeness

We would like to show that all well-kinded equivalent types have semantically equivalent evaluations. Completeness follows shortly thereafter. The fundamental theorem for completeness (fundC) states that equivalent types evaluate to related types under related environments. Towards this goal, we first define a point-wise equivalence on semantic environments.

```
Env-\approx : (\eta_1 \ \eta_2 : \text{Env } \Delta_1 \ \Delta_2) \rightarrow \text{Set}
Env-\approx \eta_1 \ \eta_2 = \forall \{\kappa\} \ (x : \text{TVar } \_\kappa) \rightarrow (\eta_1 \ x) \approx (\eta_2 \ x)
```

We show that related environments remain related when extended with related arguments.

```
extend-\approx: \forall {\eta_1 \eta_2: Env \Delta_1 \Delta_2} \rightarrow Env-\approx \eta_1 \eta_2 \rightarrow {V_1 V_2: SemType \Delta_2 \kappa} \rightarrow V_1 \approx V_2 \rightarrow Env-\approx (extende \eta_1 V_1) (extende \eta_2 V_2)
```

It is easy to show as well that the identity environment relates to itself.

```
idEnv-\approx : \forall \{\Delta\} \rightarrow Env-\approx (idEnv \{\Delta\}) (idEnv \{\Delta\})
idEnv-\approx x = reflect-\approx refl
```

We may now state the fundamental theorem for completeness. Again, as we have no semantic image of predicates, the fundamental theorem for predicates simply asserts that the evaluation of equivalent predicates are propositional equal.

```
fundC : \forall {\tau_1 \ \tau_2 : Type \Delta_1 \ \kappa} {\eta_1 \ \eta_2 : Env \Delta_1 \ \Delta_2} \rightarrow Env-\approx \eta_1 \ \eta_2 \rightarrow \tau_1 \equiv t \ \tau_2 \rightarrow \text{eval} \ \tau_1 \ \eta_1 \approx \text{eval} \ \tau_2 \ \eta_2 fundC-pred : \forall {\pi_1 \ \pi_2 : Pred \Delta_1 \ R[\ \kappa\ ]} {\eta_1 \ \eta_2 : Env \Delta_1 \ \Delta_2} \rightarrow Env-\approx \eta_1 \ \eta_2 \rightarrow \pi_1 \equiv p \ \pi_2 \rightarrow \text{evalPred} \ \pi_1 \ \eta_1 \equiv \text{evalPred} \ \pi_2 \ \eta_2
```

Completeness follows immediatelly as a special case of the fundamental theorem.

```
Completeness : \forall \{\tau_1 \ \tau_2 : \mathsf{Type} \ \Delta \ \kappa\} \to \tau_1 \equiv \mathsf{t} \ \tau_2 \to \Downarrow \tau_1 \equiv \Downarrow \tau_2
Completeness eq = \mathsf{reify} \sim (\mathsf{fundC} \ \mathsf{idEnv} \sim eq)
```

5.3 Soundness

 Soundness states that every type is equivalent to its normalization. Intuitively, completeness tells us that all "computation" inherent in the equivalence relation is captured by normalization; coversely, soundness tells us that all computation inherent in the normalization algorithm is declared in the equivalence relation.

5.3.1 A logical relation. We prove soundness by a separate logical relation that relates (unnormalized) types to semantic types. We write $[\![\tau]\!]\approx V$ to denote that the type τ is related to the semantic type V. This syntax is inspired by the result we wish to show: that evaluating τ yields a semantic type V. We give the type synonym SoundKripke for the functional case.

```
\begin{array}{l} \operatorname{infix} \ 0 \ \llbracket \_ \rrbracket \approx \_ \\ \llbracket \_ \rrbracket \approx \_ : \forall \ \{\kappa\} \to \mathsf{Type} \ \Delta \ \kappa \to \mathsf{SemType} \ \Delta \ \kappa \to \mathsf{Set} \\ \mathsf{SoundKripke} : \mathsf{Type} \ \Delta_1 \ (\kappa_1 \ ' \to \kappa_2) \to \mathsf{KripkeFunction} \ \Delta_1 \ \kappa_1 \ \kappa_2 \to \mathsf{Set} \end{array}
```

In two of the ground cases, V is a normal type, and so we simply assert type equivalence with the normal type's embedding.

In the row case, we assert (resp.) that (i) if τ relates to nothing then it must be equivalent to the empty row; (ii) if τ relates to a neutral row then it must be equivalent to a neutral row; and (iii) if τ relates to a labeled rows then it must be equivalent to a labeled row and that labeled row's component type must relate to itself.

In the functional case, we assert that logically related functions map related inputs to related outputs.

5.4 Properties of the soundness relation

We reflect type equivalence to the relation and reify the relation to type equivalence as so.

```
reflect-[]] \approx : \forall \{\tau : \mathsf{Type} \ \Delta \ \kappa\} \{v : \mathsf{NeutralType} \ \Delta \ \kappa\} \rightarrow \tau \equiv \mathsf{t} \ \mathsf{\uparrow} \mathsf{NE} \ v \rightarrow [\![\tau]\!] \approx (\mathsf{reflect} \ v)
reify-[]] \approx : \forall \{\tau : \mathsf{Type} \ \Delta \ \kappa\} \{V : \mathsf{SemType} \ \Delta \ \kappa\} \rightarrow [\![\tau]\!] \approx V \rightarrow \tau \equiv \mathsf{t} \ \mathsf{\uparrow} \ (\mathsf{reify} \ V)
```

5.4.1 The fundamental theorem & soundness. Towards defining the fundamental theorem, we first define a relation between syntactic environments (substitutions) and semantic environments. Intuitively, the substitution σ is related to the environment η if each type mapped to by σ point-wise relates to the semantic type mapped to by η .

```
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[\![] \approx e_{-} : \forall \{\Delta_{1} \Delta_{2}\} \rightarrow Substitution_{k} \Delta_{1} \Delta_{2} \rightarrow Env \Delta_{1} \Delta_{2} \rightarrow Set
[\![] \approx e_{-} \{\Delta_{1}\} \sigma \eta = \forall \{\kappa\} (\alpha : TVar \Delta_{1} \kappa) \rightarrow [\![ (\sigma \alpha) ]\!] \approx (\eta \alpha)
```

The fundamental theorem for soundness states that the substitution of τ by σ is related to the evaluation of τ by η . Intuitively, substitution may be thought of as a syntactic notion of evaluation, and hence we are stating that syntactic and semantic evaluations relate.

```
fundS : \forall \{\Delta_1 \ \Delta_2 \ \kappa\}(\tau : \mathsf{Type} \ \Delta_1 \ \kappa)\{\sigma : \mathsf{Substitution}_k \ \Delta_1 \ \Delta_2\}\{\eta : \mathsf{Env} \ \Delta_1 \ \Delta_2\} \rightarrow \| \ \sigma \| \approx \eta \rightarrow \| \ \mathsf{sub}_k \ \sigma \ \tau \| \approx (\mathsf{eval} \ \tau \ \eta)
```

We show that the identity substitution ` is related to the identity environment:

```
\begin{aligned} \mathsf{idSR} : \forall \left\{ \Delta_1 \right\} &\to \llbracket \ ` \ \rrbracket \approx \mathsf{e} \ (\mathsf{idEnv} \left\{ \Delta_1 \right\}) \\ \mathsf{idSR} \ \alpha &= \mathsf{reflect-} \llbracket \rrbracket \approx \mathsf{eq-refl} \end{aligned}
```

and also show that ` is indeed an identity substitution: it fixes the meaning of the type over which it is substituted.

```
\mathsf{sub}_k\text{-id}: \forall \ (\tau:\mathsf{Type}\ \Delta\ \kappa) \to \mathsf{sub}_k\ `\ \tau \equiv \tau
```

Soundness follows as a special case of the fundamental theorem.

```
Soundness : \forall \{\Delta_1 \ \kappa\} \rightarrow (\tau : \mathsf{Type} \ \Delta_1 \ \kappa) \rightarrow \tau \equiv \mathsf{t} \ \uparrow (\Downarrow \tau)
Soundness \tau = \mathsf{subst} \ (\_\equiv \mathsf{t} \ \uparrow (\Downarrow \tau)) \ (\mathsf{sub}_k \text{-id} \ \tau) \ ((\mathsf{reify-}[]] \approx (\mathsf{fundS} \ \tau \ \mathsf{idSR})))
```

6 REMARK

6.1 Comparison to Chapman et al. [2019]

Our mechanization has closely resembled that of Chapman et al. [2019]. Our definition of semantic types, however, has differed, as our normalization is with respect to both β - and η -equivalence, whereas Chapman et al's is simply β -equivalence. Changing this definition simplifies some things and complicates others. The definition of semantic types is simpler: whereas Chapman et al permit function types to be interpreted as NeutralTypes, ours must be interpreted into solely Kripke function spaces. This complicates the definitions of reify and reflect, which must become mutually recursive, as we are unable to reflect neutral types at arrow kind to neutral types. We will show later that some of Chapman et al's metatheory relies on neutral forms to not be disturbed by normalization. This complicates the definition of term-level, normality-preserving substitution.

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