# The Index Calculus and its translation from $R\omega$

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Term variables  $x \alpha$ 

## 1 Ix: The Index Calculus

### 1.1 Syntax

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Sorts \sigma ::= \star \mid \mathcal{U} Terms M, N, T ::= x \mid \star \mid \operatorname{Nat} \mid \operatorname{Zero} \mid \operatorname{Suc} M \mid \operatorname{Ix} M \mid \operatorname{FZero} \mid \operatorname{FSuc} M \mid \top \mid \operatorname{tt} \mid \operatorname{\Pi} \alpha : T.N \mid \lambda x : T.N \mid M N \mid \operatorname{\Sigma} \alpha : T.M \mid (\alpha : T, M) \mid M.1 \mid M.2 M + N \mid \operatorname{left} M \mid \operatorname{right} M \mid \operatorname{case} M \text{ of } \{\operatorname{left} \mapsto M; \operatorname{right} \mapsto M\} M \equiv N \mid \operatorname{refl} \mid \dots Environments \Gamma ::= \varepsilon \mid \Gamma, \alpha : T
```

Figure 1: Syntax

## 1.2 Typing

$$(C\text{-EMP}) \frac{|\Gamma|}{\vdash \varepsilon} \qquad (C\text{-VAR}) \frac{|\Gamma|}{\vdash \Gamma, x : M} \frac{\Gamma \vdash M : \sigma}{\vdash \Gamma, x : M}$$

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash Nat : \star} \frac{\Gamma \vdash n : Nat}{\Gamma \vdash \Gamma x n : \star} \frac{\Gamma \vdash \pi : Nat}{\Gamma \vdash \Gamma x n : \star}$$

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash \Pi \alpha : M \cdot N : \star} \frac{\Gamma \vdash M : \sigma}{\Gamma \vdash \Pi \alpha : M \cdot N : \star} \frac{\Gamma \vdash M : \sigma}{\Gamma \vdash M : \sigma} \frac{\Gamma, \alpha : M \vdash N : \star}{\Gamma \vdash M : \star}$$

$$\frac{\Gamma \vdash M : \star}{\Gamma \vdash M + N : \star} \frac{\Gamma \vdash M : N_1}{\Gamma \vdash M_1 : N_1} \frac{\Gamma \vdash M_1 : \sigma}{\Gamma \vdash M_1 : \sigma} \frac{\Gamma \vdash M_2 : N_2}{\Gamma \vdash M_1 : M}$$

$$\frac{x : M \in \Gamma}{\Gamma \vdash M : M}$$

$$\frac{x : M \in \Gamma}{\Gamma \vdash x : M} \frac{\Gamma \vdash n : Nat}{\Gamma \vdash \Gamma x : M} \frac{\Gamma \vdash n : Nat}{\Gamma \vdash \Gamma x : M} \frac{\Gamma \vdash n : Nat}{\Gamma \vdash \Gamma x : \Gamma x : \pi} \frac{\Gamma \vdash n : Nat}{\Gamma \vdash \Gamma x : \Gamma x : \pi}$$

$$\frac{\Gamma \vdash \pi : Nat}{\Gamma \vdash \lambda x : T \cdot M : \Pi(x : T) \cdot N} \frac{\Gamma \vdash M : \Pi(x : T_1) \cdot T_2}{\Gamma \vdash M : T_2[N/x]} \frac{\Gamma \vdash M : \Sigma(x : T_1) \cdot T_2}{\Gamma \vdash M : T_1 \cdot \Gamma \times T_2[M/x]}$$

$$\frac{\Gamma \vdash M : T_1}{\Gamma \vdash M : T_1, N : \Sigma(x : T_1) \cdot T_2} \frac{\Gamma \vdash M : \Sigma(x : T_1) \cdot T_2}{\Gamma \vdash M : T_1} \frac{\Gamma \vdash M : \Sigma(x : T_1) \cdot T_2}{\Gamma \vdash M : T_1} \frac{\Gamma \vdash M : \Sigma(x : T_1) \cdot T_2}{\Gamma \vdash M : T_1}$$

## 2 Translation From $R\omega$

## 2.1 Untyped Translation

Figure 2: A compositional translation of  $R\omega$  judgments to (untyped) Ix terms

#### 2.2 Typed translation

$$\begin{array}{c} \boxed{\Delta \vdash \tau \leadsto v : \kappa} \\ \\ (\text{C-FOO}) \, \frac{A}{B} \\ \hline \Delta \vdash M \leadsto N : \tau \\ \\ (\text{C-FOO}) \, \frac{A}{B} \\ \hline \Delta \Vdash \pi \leadsto N \\ \hline \\ (\text{C-FOO}) \, \frac{A}{B} \\ \hline \tau \equiv v \leadsto P \\ \\ (\text{C-FOO}) \, \frac{A}{B} \\ \hline \end{array}$$

Figure 3: Translation of  $R\omega$  derivations to Ix derivations

•  $\Delta \vdash \tau \leadsto \upsilon : \kappa$  denotes the translation of judgment  $\Delta \vdash \tau : \kappa$  to term  $[\![\Delta]\!] \vdash [\![\tau]\!] : [\![\kappa]\!].$ 

•

Actually, I am now starting to wonder if I can give an inductive definition of the translation and then an operational semantics over translations. One could then define an erasure to both  $R\omega$  and Ix terms. A preservation theorem would now be like a bisimulation argument—that well-typed pairs of  $R\omega$  and Ix terms step to well-typed pairs of  $R\omega$  and Ix terms. Doing this this way gets rid of the untyped translation and obligation to prove translational soundness.

To do this, you would really need *strong* bisimulation; I doubt that  $R\omega$  steps and Ix steps are 1-to-1. So you may have cases where an  $R\omega$  term "steps" to itself while the Ixterm steps. Maybe actually this is a bad idea.

Actually... I should be able to mimic the approach of MorrisM19, which is a mix of the two.

- 1. Give a translation of types, predicates, and typing environments, e.g.,  $[\![\tau]\!]$ . (In MorrisM19, this is  $(\tau)^{\bullet}$ ).
- 2. Give a typed translation  $\Gamma \vdash M \leadsto N : \tau$ , etc.

3. Prove soundness separately. That is, if  $\Gamma \vdash M \rightsquigarrow N : \tau$  then  $(\Gamma)^{\bullet} \vdash N : \tau^{\bullet}$ .

I like this approach because I am not obligated to specify the (untyped) translation of M to N, which means mechanizing things like substitution in the untyped syntax.

Most of these notes need to be erased. These are stream of consciousness notes.

## 2.3 Example translations of $R\omega$ terms and types

Record selection. In  $R\omega$ ,

$$\forall \rho : \mathsf{R}^{\star}, \ \ell : \mathsf{L}, \ \tau : \star . \{\ell \triangleright \tau\} \lesssim \rho \Rightarrow |\ell| \to \Pi \rho \to \tau$$

translates to

$$\Pi(\rho: \operatorname{Row} \star).\Pi(\ell: \top).\Pi(\tau: \star).[\{\ell \triangleright \tau\} \leq \rho].\Pi(\underline{\cdot}: \top).\Pi(i: \operatorname{Ix} \rho.1).\rho.2 i$$

where

$$\begin{split} \operatorname{Row} \kappa :&= \Sigma(n:\operatorname{Nat}).\Pi(i:\operatorname{Ix} n).\kappa \\ \llbracket \{\ell \rhd \tau\} \lesssim \rho \rrbracket &= \Pi(i:\operatorname{Ix} \llbracket \{\ell \rhd \tau\} \rrbracket.1).\Sigma(j:\operatorname{Ix} \rho.1).\llbracket \{\ell \rhd \tau\} \rrbracket.1 \ i \equiv \rho.2 \ j \\ \llbracket \{\ell \rhd \tau\} \rrbracket &= (\operatorname{Suc} \operatorname{Zero} : \operatorname{Nat}, \lambda(i:\operatorname{Ix} (\operatorname{Suc} \operatorname{Zero})).\llbracket \tau \rrbracket) \end{split}$$

Putting this all together:

```
\begin{split} &\Pi(\rho:(\Sigma(n:\operatorname{Nat}).\Pi(i:\operatorname{Ix} n).\star)).\\ &\Pi(\ell:\top).\\ &\Pi(\tau:\star).\\ &\Pi(P:\\ &\Pi(i:\operatorname{Ix}(\operatorname{Suc}\operatorname{Zero}:\operatorname{Nat},\lambda(i:\operatorname{Ix}(\operatorname{Suc}\operatorname{Zero})).\llbracket\tau\rrbracket).1).\\ &\Sigma(j:\operatorname{Ix} \rho.1).\\ &(\operatorname{Suc}\operatorname{Zero}:\operatorname{Nat},\lambda(i:\operatorname{Ix}(\operatorname{Suc}\operatorname{Zero})).\llbracket\tau\rrbracket).2\;i\equiv\rho.2\;j)\\ &\Pi({}_{-}:\top).\\ &\Pi(i:\operatorname{Ix} \rho.1).\;\rho.2\;i \end{split}
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which should normalize to

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\begin{split} &\Pi(\rho: (\Sigma(n: \mathrm{Nat}).\Pi(i: \mathrm{Ix}\, n).\star)). \\ &\Pi(\ell: \top). \\ &\Pi(\tau: \star). \\ &\Pi(P: \\ &\Pi(i: \mathrm{Ix}\, 1). \\ &\Sigma(j: \mathrm{Ix}\, \rho.1). \\ &\llbracket\tau\rrbracket \equiv \rho.2\, j). \\ &\Pi(:: \top). \\ &\Pi(i: \mathrm{Ix}\, \rho.1).\, \rho.2\, i \end{split}
```

## A The static semantics of $R\omega$

## A.1 Syntax

The syntax of  $R\omega(\mathcal{T})$  is given in Figure 4.

```
Term variables x
                                                                                                                                                                                                                              Type variables \alpha
                                                                                                                                                                                                                                                                                                                                                                                                                                               Labels \ell
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             Directions d \in \{L, R\}
                                                                                                                                                                                                 \kappa \, ::= \, \star \mid \mathsf{L} \mid \mathsf{R}^{\kappa} \mid \kappa \to \kappa
Kinds
                                                                                                                                                                        \pi, \psi ::= \rho \lesssim_d \rho \mid \rho \odot \rho \sim \rho
Predicates
                                                                                                          \phi, \tau, \upsilon, \rho, \xi ::= \alpha \mid (\rightarrow) \mid \pi \Rightarrow \tau \mid \forall \alpha : \kappa.\tau \mid \lambda\alpha : \kappa.\tau \mid \tau\tau
Types
                                                                                                                                                                                                                          | \ell \mid \lfloor \xi \rfloor \mid \xi \triangleright \tau \mid \{\tau_1, \dots, \tau_n\} \mid \Pi \rho \mid \Sigma \rho
                                                                                                                                                          M, N ::= x \mid \lambda x : \tau.M \mid M N \mid \Lambda \alpha : \kappa.M \mid M [\tau]
Terms
                                                                                                                                                                                                                          |\quad \ell \mid M \rhd M \mid M/M \mid \operatorname{prj}_d M \mid M \mathrel{++} M \mid \operatorname{inj}_d M \mid M \mathrel{\triangledown} M
                                                                                                                                                                                                                                 |\hspace{.1cm}\operatorname{syn}_\phi M\hspace{.04cm}|\hspace{.1cm}\operatorname{ana}_\phi M\hspace{.04cm}|\hspace{.1cm}\operatorname{fold} M\hspace{.04cm} M\hspace{.04
Environments
                                                                                                                                                                                              \Gamma ::= \varepsilon \mid \Gamma, \alpha : \kappa \mid \Gamma, x : \tau \mid \Gamma, \pi
```

Figure 4: Syntax

#### A.2 Types and Kinds

Figure 5 gives rules for context formation ( $\vdash \Gamma$ ), kinding ( $\Gamma \vdash \tau : \kappa$ ), and predicate formation ( $\Gamma \vdash \pi$ ), parameterized by row theory  $\mathcal{T}$ .

$$(C-EMP) \xrightarrow{\vdash \mathcal{E}} (C-TVAR) \xrightarrow{\vdash \Gamma} (C-VAR) \xrightarrow{\vdash \Gamma} (C-VAR) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash \tau : \star} (C-PRED) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash \pi}$$

$$(K-VAR) \xrightarrow{\vdash \Gamma} \alpha : \kappa \in \Gamma (K-(\rightarrow)) \xrightarrow{\vdash \Gamma} \xrightarrow{\vdash \Gamma} (K-(\rightarrow)) \xrightarrow{\vdash \Gamma} (K-(\rightarrow)) \xrightarrow{\vdash \Gamma} \xrightarrow{\Gamma \vdash \pi} \xrightarrow{\Gamma \vdash \pi}$$

Figure 5: Contexts and kinding.

$$(\text{E-REFL}) \frac{\tau \equiv \tau}{\tau \equiv \tau} | \pi \equiv \pi |$$

$$(\text{E-REFL}) \frac{\tau}{\tau \equiv \tau} \qquad (\text{E-SYM}) \frac{\tau_1 \equiv \tau_2}{\tau_2 \equiv \tau_1} \qquad (\text{E-TRANS}) \frac{\tau_1 \equiv \tau_2}{\tau_1 \equiv \tau_3} \qquad (\text{E-}\beta) \frac{\tau_1 \equiv \tau_2}{(\lambda \alpha : \kappa. \tau) \ \upsilon \equiv \tau [\upsilon / \alpha ]}$$

$$(\text{E-}\xi_{\Rightarrow}) \frac{\pi_1 \equiv \pi_2}{\pi_1 \Rightarrow \tau_1 \equiv \pi_2} \qquad (\text{E-}\xi_{\forall}) \frac{\tau [\gamma / \alpha] \equiv \upsilon [\gamma / \beta]}{\forall \alpha : \kappa. \tau \equiv \forall \beta : \kappa. \upsilon} \qquad (\gamma \not\in f \upsilon (\tau, \upsilon)) \qquad (\text{E-}\xi_{\text{APP}}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \tau_2 \equiv \upsilon_1 \upsilon_2}$$

$$(\text{E-}\xi_{\Rightarrow}) \frac{\xi_1 \equiv \xi_2}{\xi_1 \rhd \tau_1 \equiv \tau_2} \qquad (\text{E-ROW}) \frac{\{\overline{\xi_i \rhd \tau_i}\} \equiv \tau \{\overline{\xi_j' \rhd \tau_j'}\}}{\{\overline{\xi_i \rhd \tau_i}\} \equiv \{\overline{\xi_j' \rhd \tau_j'}\}} \qquad (\text{E-}\xi_{\vdash}) \frac{\xi_1 \equiv \xi_2}{[\xi_1] \equiv [\xi_2]}$$

$$(\text{E-LIFT}_1) \frac{\xi_1 \equiv \xi_2}{\{\xi \rhd \phi\} \tau\}} \qquad (\text{E-LIFT}_2) \frac{\varphi \{\xi \rhd \tau\} \equiv \{\xi \rhd \phi\tau\}}{\varphi \{\xi \rhd \tau\} \equiv \{\xi \rhd \tau\}} \qquad (K \in \{\Pi, \Sigma\})$$

$$(\text{E-}\xi_{\Pi\Sigma}) \frac{\rho_1 \equiv \rho_2}{K\rho_1 \equiv K\rho_2} \qquad (\text{E-LIFT}_3) \frac{\tau_i \equiv \upsilon_i}{(K\rho) \tau} \qquad (E-\xi_{\odot}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \lesssim_d \tau_2 \equiv \upsilon_1 \lesssim_d \upsilon_2} \qquad (E-\xi_{\odot}) \frac{\tau_i \equiv \upsilon_i}{\tau_1 \odot \tau_2 \sim \tau_3 \equiv \upsilon_1 \odot \upsilon_2 \sim \upsilon_3}$$

Figure 6: Type and predicate equivalence

#### A.3 Terms

$$\begin{array}{c} \boxed{ \begin{array}{c} \Gamma \vdash M : \tau \\ \end{array} } \\ \hline (\text{T-VAR}) \stackrel{\vdash \Gamma}{ } \frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau} & (\text{T} \rightarrow I) \stackrel{}{ } \frac{\Gamma \vdash \tau_1 : \star}{\Gamma \vdash \lambda x : \tau_1 . M : \tau_2} & (\text{T} \rightarrow E) \stackrel{}{ } \frac{\Gamma \vdash M_1 : \tau_1 \rightarrow \tau_2}{\Gamma \vdash M_1 M_2 : \tau_2} \stackrel{}{ } \Gamma \vdash M_2 \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau & \tau \\ \hline \Gamma \vdash M : \tau & \tau \\ \hline \Gamma \vdash \pi & \tau \\ \hline \Gamma \vdash \tau & \tau \\ \hline \Gamma \vdash \tau \\ \hline \Gamma$$

Figure 7: Typing

Minimal Rows

Figure 8 gives the minimal row theory  $\mathcal{M}$ .

$$\begin{array}{c|c} \hline \Gamma \vdash_{\mathsf{m}} \rho : \kappa \end{array} \boxed{\rho \equiv_{\mathsf{m}} \rho} \\ \hline (\text{K-MROW}) \frac{\Gamma \vdash_{\mathsf{k}} : \mathsf{L} \quad \Gamma \vdash_{\mathsf{\tau}} : \kappa}{\Gamma \vdash_{\mathsf{m}} \{\xi \rhd \tau\} : \mathsf{R}^{\kappa}} \qquad \text{(E-MROW}) \frac{\xi \equiv \xi' \quad \tau \equiv \tau'}{\{\xi \rhd \tau\} \equiv_{\mathsf{m}} \{\xi' \rhd \tau'\}} \\ \hline \Gamma \Vdash_{\mathsf{m}} \pi \\ \hline \\ (\text{N-AX}) \frac{\pi \in \Gamma}{\Gamma \Vdash_{\mathsf{m}} \pi} \qquad \text{(N-REFL)} \frac{\Gamma \Vdash_{\mathsf{m}} \rho \lesssim_{d} \rho}{\Gamma \Vdash_{\mathsf{m}} \rho \lesssim_{d} \rho} \qquad \text{(N-TRANS)} \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{3}} \\ \hline (\text{N-}\equiv) \frac{\Gamma \Vdash_{\mathsf{m}} \pi_{1} \quad \pi_{1} \equiv \pi_{2}}{\Gamma \Vdash_{\mathsf{m}} \pi_{2}} \qquad \text{(N-} \lesssim \mathsf{LIFT}_{1}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}} \qquad \text{(N-} \lesssim \mathsf{LIFT}_{2}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{d} \rho_{2}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \tau \lesssim_{d} \rho_{2} \tau} \\ \hline (\text{N-}\odot\mathsf{LIFT}_{1}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \tau \odot \rho_{2} \sim \rho_{3}} \qquad \text{(N-}\odot\mathsf{LIFT}_{2}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}} \\ \hline (\text{N-}\odot\lesssim_{\mathsf{L}}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \lesssim_{\mathsf{L}} \rho_{3}} \qquad \text{(N-}\odot\lesssim_{\mathsf{R}}) \frac{\Gamma \Vdash_{\mathsf{m}} \rho_{1} \odot \rho_{2} \sim \rho_{3}}{\Gamma \Vdash_{\mathsf{m}} \rho_{2} \lesssim_{\mathsf{R}} \rho_{3}} \end{array}$$

Figure 8: Minimal row theory  $\mathcal{M} = \langle \vdash_m, \equiv_m, \Vdash_m \rangle$