

ALOS Global Digital Surface Model (DSM)

ALOS World 3D-30m (AW3D30) Version 3.2/3.1

Product Description Edition 1.2

March 2021

Earth Observation Research Center
Japan Aerospace Exploration Agency
(JAXA EORC)

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Revision record

Edition	Date	Chapter	Contents of revision
1.0	2021/1/4	-	Revised new edition (for AW3D30 Version 3.2/3.1)
1.1	2021/1/5	Chp.3	Addition of a reference document
			Added a description of version 3.2 released in March 2021
1.2	2021/3/31	Chr. 2	Added a note in Table 3, No.89
		Chp.2	Added a note in Table 6 and 8, GeoAsciiParams

Past revisions

Version	Date	Chapter/ Table	Contents of revision
1.0	2015/3/31	-	First edition
		Chp.1	Addition of the explanation on the void-filling of DSM values in cloud and snow masked pixels
1.1	2017/3/6	Chp.2.1/ Table 1	Format definition change of mask (MSK) file
		Chp.2.3/ Table 3	Field addition to quality assurance information (QA) file
		Chp.5	Chapter addition for references
		Chp.1	Addition of explanation on the void-filling of DSM
	2018/4/25	Chp.2.1/ Table1	Clarification of file composition contents of AW3D30
		Chp.2.2/ Table 2	Field No.59~64, Clarification of title of the category.
2.1		Chp.2.2/ Table 2	Field No.80, Deletion description of MSK details.
2.1		Chp.2.3/ Table 3	Clarification of title of the category.
		Chp.2.3/ Table 3	Addition of version of source product to QAI file
		Chp.3.1/ Table 5	Correction of fluctuation of description
		Chp.5	Addition of references
		Chp.1	Addition of ver. 2.2 description
2.2	2019/4/9	Chp.2	Correction for ver. 2.2
		Chp.5	Addition of reference URLs
2.2a	2020/2/28	Chp.2	Correction of Table 1 and 2
		Chp.1	Addition of ver. 3.1 description
3.1	2020/4/2	Chp.2	Addition of naming rule and zonal pixel spacing, Correction for ver. 3.1
		Chp.5	Addition of references and URLs
3.1a	2020/05/15	Chp.2	Correction of Table 1 and 3

1. Overview

Since 2014, the Japan Aerospace Exploration Agency (JAXA) has proceeded the project to develop the precise global digital 3D map "ALOS World 3D" (AW3D)^{*1} covering the global land areas through the use of 3 million scene archives acquired by the PRISM panchromatic optical sensor on the Advanced Land Observing Satellite "DAICHI" (ALOS) operated from 2006 to 2011. The developed digital 3D map consists of digital elevation model (DEM) or digital surface model (DSM) that can represent land terrains with approximately 5 meters in spatial resolution and orthorectified PRISM nadir looking images. The digital 3D map have been utilized in a wide variety of applications such as map development, damage prediction of natural disasters, and water resource investigation.

Based on the AW3D DSM dataset, JAXA is processed and released the "ALOS World 3D-30m" (AW3D30) DSM dataset, which has approximately 30 meters of spatial resolution. AW3D30 dataset is free to use for everyone, therefore we hope that this dataset will be widely used in scientific research, education, and new services based on geospatial information.

*1: Chapter 3.References, 1) and 2)

1.1. Updates History of AW3D30

Version 1.0 released on May 2015

The first version of the "ALOS World 3D-30m" (AW3D30).

Version 1.1, March 2017

Void values in the cloud and snow pixels between 60 degrees North and 60 degrees South were filled with existing DEMs by the Delta Surface Fill *2 method.

*2: Chapter 3.References, 5)

Version 2.1, April 2018

The source AW3D DSM has been upgraded to version 2. Masks of the land water and low correlation pixels were also filled with existing DEMs in addition to the cloud and snow pixels between 60 degrees north and 60 degrees south. In Japan area, filling was carried out after updating coastline information.

Note that only AVERAGE resampling product is provided from this version since there is not much difference between AVERAGE and MEDIAN product that were contained in previous version.

Version 2.2, April 2019

This is an improved version of the northern region over 60 degrees north. In this version, along with the complement of no-data or low-quality area, updating of coastline was also performed.

Version 3.1, April 2020 (North of 60 degrees south latitude), January 2021 (South of 60 degrees south latitude)

This is a version created by reconsidering the format in the high latitude area, auxiliary data and processing method.

Different pixel spacing for each latitude zone was adopted at high latitude area. Coastline data, which is one of the auxiliary data, was changed, and new supplementary data was used. In addition, as a source data for Japan, AW3D version 3 was also used. Furthermore, the method of detecting anomalous values in the process was improved.

Version 3.2, January 2021

This is an improved version of 19 tiles at low latitude region. Partial anomaly was corrected by new supplementary data.

The 19 tiles that replaced version 3.1 are following.

N002W079, N004E100, S001E117, S001W051, S002E016, S002E137, S002W051, S002W053, S003W044, S003W061, S003W063, S003W064, S004E119, S005W059, S006W064, S006W066, S007W064, S008E140, S008W063

Version 3.2, March 2021

This is an improved version of 2 tiles at northern region over 60 degrees north. Incorrect sea mask over land were deleted. The 2 tiles that replaced version 3.1 are following.

N067E179, N068E179

2. AW3D30 dataset

2.1. File component

A tile of this dataset covers the unit area of 1 degree latitude and longitude. The tile ID stands for the latitude and longitude at lower-left (southwest) corner. For every tile, the set of files shown in Table 1 is stored as a zip compressed file.

Table 1 File component of AW3D30 dataset

File type	Contents and details	Notes
DSM file (DSM) [GeoTIFF format]	Height above sea level Integer (signed 16 bit) raster data (little endian) Equirectangular projection Pixel spacing: basically 1 arcsec (approx. 30 m), latitude dependent*1 DSM values are average over the range of 1 arcsec grid pixel (Round off to the integer) Elevation (in meter) converted from the ellipsoidal height based on ITRF97 and GRS80, using EGM96*2 geoid model Value "-9999" is stored in void pixels Value "0m" is stored in sea pixels	*1: Table 1 for details *2: 4.URLs 1) *3: Inverse Distance Weighted method 3.References 5) *4: Land water and low correlation mask indicates the area with low correlation in the
Mask file (MSK) [GeoTIFF format]	 Mask information Byte (8 bit) raster data Equirectangular projection Pixel spacing: basically 1 arcsec (approx. 30 m), latitude dependent Lower 1-2 bit: Valid/Invalid, Mask Information	calculation of the source 2.5m/5m resolution DSM. In v2.1 or later version, the value was filled by other data sets. So this mask is applicable for v1.0 and v1.1. *5: Based on the following v1.0-v2.1 SWBD, GSHHG PRISM image (Japan) v2.2 or later OpenStreetMap GSI Coastline (Japan) 4.URLs 2)-5) *6: 4.URLs 6) *7: 4.URLs 7) *8: 4.URLs 8) *9: 4.URLs 9) *10: 4.URLs 10) *11: 4.URLs 11)
Stacking number file (STK) [GeoTIFF format]	Stack number of PRISM DSM scenes used to produce source AW3D 2.5m/5m DSM • Byte (8 bit) raster data • Equirectangular projection • Pixel spacing: basically 1 arcsec (approx. 30 m), latitude dependent • STK values are average over the range of 1 arcsec grid pixel (Round off to the integer)	
Header information file (HDR) [Text format]	Meta data about the product*11 • Derived from HDR file of the source AW3D 2.5m/5m DSM	*11: Table 3 for details
Quality assurance information file (QAI) [Text format]	Quality assurance information*12 • Added the information of 30m DSM to the source AW3D 2.5m/5m DSM	*12: Table 4 for details
List file (LST) [Text format]	List of Scene IDs used to produce source AW3D 2.5m/5m DSM*13 • ID, type, orbit number, RSP path/frame, stereo mode, observation date	*13: Only v2.1 or later

2.2. File naming rule

The naming rule for each file that composes AW3D30 version 3.2/3.1 dataset is as follows.

General:

[Product ID]_[Tile ID]_[Kind of file].[Extention]

[Product ID]: ALPSMLC30 (Table 3 No.2)

[Tile ID]: Latitude/Longitude (Table 3 No.1)

[Kind of file]: DSM, MSK, STK, HDR, QAI, LST (Table 1)

[Extention]: tif, txt (Table 1)

Example:

ALPSMLC30_N035E138_DSM.tif (DSM file)

ALPSMLC30_N035E138_MSK.tif (Mask file)

ALPSMLC30_N035E138_STK.tif (Stacking number file)

ALPSMLC30 N035E138 HDR.txt (Header information file)

ALPSMLC30_N035E138_QAl.txt (Quality assurance information file)

ALPSMLC30_N035E138_LST.txt (List file)

2.3. Latitude dependent pixel spacing

AW3D30 v3.1 uses pixel spacing for each latitude zone in order to keep the pixel spacing in the longitude direction at approximately 30 m even in high latitude regions. Table 2 shows specific values of spacing.

AW3D30 version 3.2 are zone I of Table 2 since those are low latitude region.

Table 2 AW3D30 v3.1 latitude dependent pixel spacing

Zone	Latitude range (North/South)	Pixel spacing (Latitude)	Pixel spacing (Longitude)	Data size of 1°x1° tile
I	0°- 60°	1.00"	1.00"(30.922m - 15.500m)	3600 x 3600
П	60°- 70°	1.00"	2.00"(31.000m - 21.215m)	1800 x 3600
Ш	70°- 80°	1.00"	3.00"(31.822m - 16.161m)	1200 x 3600
IV	80°- 90°	1.00"	6.00"(32.322m - 0.000m)	600 x 3600

2.4. Header information file format

Table 3 shows the detailed items in the header information (HDR) file included in the AW3D30 dataset.

Table 3 Items in AW3D30 header information (HDR) file

Field No.		Description	Number of Bytes	Start Byte Position	Туре	Note
Produ	ct Record				Field No.1-35	
	Product Information	Product Identifier Details				
1	Tile ID = 'NNNNNNNNbb NNNNNNN	bbbbbb' : Same as No.4	16	1	A16	
2	BBB: S C: G D: D	BCDEEbbbbbbb' (fixed) Satellite code = 'AL':ALOS Sensor code = 'PSM':PRISM Grid type = 'L': Lat-Lon DSM Version = 'C':3 DSM pixel spacing = '30': 30m	16	17	A16	
3	Product type = 'PSM-DSN	16	33	A16		
4	Mesh code = 'NNNNNN coordinates at lower left of	INbbbbbbbbb': Geographic corner of lower left pixel	16	49	A16	
5	Satellite name = 'ALOSbi	obb' (fixed)	8	65	A8	
6	Sensor code = 'PSMbbbb	bb': PRISM (fixed)	8	73	A8	
7	Coordinates = 'LTLNbbbb	o': Lat-Lon (fixed)	8	81	A8	
8	DSM version = 'Cbbb': 3	(fixed)	4	89	A4	
9	DSM grid spacing (sec) =	= 'b1.00bbb' (fixed)	8	93	A8	Standard at low-mid latitudes
10	Blank (fixed)		28	101	A28	
		Subtotal	128			
	Mesh Information	Mesh Identifier				
11	Mesh upper-left line num	ber = 'bNNNNN.N'	8	129	F8.1	
12	Mesh upper-left column r	number = 'bNNNNN.N'	8	137	F8.1	
13	Mesh upper-right line nur	mber = 'bNNNNN.N'	8	145	F8.1	Addresses correspondence to the
14	Mesh upper-right column	number = 'bNNNNN.N'	8	153	F8.1	corner of a pixel, not its center. Since each pixel/line integer value
15	Mesh lower-left line numb	per = 'bNNNNN.N'	8	161	F8.1	is assigned at pixel center, pixel/line number for four corners
16	Mesh lower-left column n	umber = 'bNNNNN.N'	8	169	F8.1	is described with real values.
17	Mesh lower-right line nun	nber = 'bNNNNN.N'	8	177	F8.1	
18	Mesh lower-right column	number = 'bNNNNN.N'	8	185	F8.1	
19	Mesh upper-left latitude (= 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN	deg.) NN' (-90.0000000 - 90.0000000)	16	193	F16.7	Negative value for southern hemisphere
20	Mesh upper-left longitude = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN	e (deg.) NN' (-180.0000000 - 180.0000000)	16	209	F16.7	Negative value for west longitude
21	Mesh upper-right latitude = 'NNNNNNNN.NNNNNN	(deg.) NN' (-90.0000000 - 90.0000000)	16	225	F16.7	Negative value for southern hemisphere
22	Mesh upper-right longitud = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN	de (deg.) NN' (-180.0000000 - 180.0000000)	16	241	F16.7	Negative value for west longitude
23	Mesh lower-left latitude (o = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN	deg.) NN' (-90.0000000 - 90.0000000)	16	257	F16.7	Negative value for southern hemisphere
24	Mesh lower-left longitude = 'NNNNNNNN.NNNNNN	(deg.) NN' (-180.0000000 - 180.0000000)	16	273	F16.7	Negative value for west longitude
25	Mesh lower-right latitude = 'NNNNNNNN.NNNNNN	(deg.) NN' (-90.0000000 - 90.0000000)	16	289	F16.7	Negative value for southern hemisphere
26	Mesh lower-right longitud = 'NNNNNNNN.NNNNNN	le (deg.) NN' (-180.0000000 - 180.0000000)	16	305	F16.7	Negative value for west longitude

Table 3 Items in AW3D30 header information (HDR) file (continued)

Field No.		Description	Number of Bytes	Start Byte	Туре	Note
27	Mesh upper-left map add		16	Position 321	F16.7	
	= 'NNNNNNNN.NNNNN		- 10	021	1 10.1	
28	Mesh upper-left map add = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN		16	337	F16.7	
29	Mesh upper-right map ad = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN		16	353	F16.7	All blank for LTLN product For UTM, X in southern
30	Mesh upper-right map ad = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN		16	369	F16.7	
31	= 'NNNNNNN.NNNNNNN' Mesh lower-left map address Y (km)			385	F16.7	hemisphere includes false northing 10,000km, and Y includes false easting 500km
32	Mash lower-left man address V (km)			401	F16.7	, G
33	Mesh lower-right map address X (km) = 'NNNNNNNNNNNNNNNNNN			417	F16.7	
34	Moch lower right man address V (km)			433	F16.7	
35	Blank (fixed)			449	A16	
	Subtotal					
Proces	cessing Information Processing Details					Field No.36-58
	Map Projection	Map Projection Parameters				
36	Coordinates = 'LTLNbbb	b' (fixed)	8	465	A8	
37	PS origin latitude (deg.) = 'NNNNNNNNNNNNNNNNN'			473	F16.7	
38	PS origin longitude (deg.) = 'NNNNNNNNNNNNNNNNNNN			489	F16.7	All blank for LTLN and UTM product
39	PS reference latitude (de	eg.) = 'NNNNNNNN.NNNNNNN'	16	505	F16.7	product.
40	PS reference longitude/ = 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN	UTM central meridian (deg.) NN'	16	521	F16.7	All blank for LTLN product
41	Hemisphere = 'bbbN':No	rth / 'bbbS':South	4	537	A4	
42	UTM zone no. = 'bbb1' -	'bb60'	4	541	14	All blank for LTLN and PS product
43	Angle between vertical a direction (deg) = 'NNNNI	xis of coordinates and true north NNNN.NNNNNNN'	16	545	F16.7	At mesh center All blank for LTLN product
44	Blank (fixed)		32	561	A32	
		Subtotal	128			
	Datum	Datum Parameters				
45	ECR coordinates = 'ITRF	-97bbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	593	A16	
46	Ellipsoid model = 'GRS	80bbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	609	A16	
47	Equator radius of ellipso 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN		16	625	F16.7	
48	Polar radius of ellipsoid i		16	641	F16.7	
49	Inverse flattening (1/f) of 'NNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNNN		16	657	F16.7	
50	Blank (fixed)		48	673	A48	
	Subtotal					
	DSM Data	DSM Data Parameters				
51	Coordinates = 'LTLNbbb	b': Lat-Lon (fixed)	8	721	A8	Same as field No.7
52	DSM type = 'Cbbb': Abso	olute (fixed)	4	729	A4	Same as field No.8
53	Vertical grid spacing (see	c)/(m) = 'bb1.00bb' (fixed)	8	733	A8	Second for LTLN
54	Horizontal grid spacing (sec)/(m) = 'bbN.NNbb'	8	741	A8	Meter for UTM and PS

Table 3 Items in AW3D30 header information (HDR) file (continued)

Field No.	ı	Description	Number of Bytes	Start Byte Position	Туре	Note
55	Height resolution of DSM	(m) = '1bbbbbbb' (fixed)	8	749	18	
56	Height type = 'Obbb': Ortl	hometric Height (fixed)	4	757	A4	
57	Geoid data = 'XXXXXXXX' 'GSI-2000bbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	761	A16	All blank for height type 'E' (Ellipsoid height)	
58	Blank (fixed)	8	777	A8		
		Subtotal	64			
Quality	/ Record	Quality Information from the 5m DS	M			Field No.59-64
59	Mask (0000000) (%) = 'l	bNNN'	4	785	14	
60	Mask (0000001) (%) = 'l	4	789	14	Right-aligned	
61	Mask (0000010) (%) = 'l	4	793	14	Night-alighed	
62	Mask (00000011) (%) = 'I	bNNN'	4	797	14	
63	DSM data quality (Rate of valid pixels) = 'bbbX' 'G': Good = 100 - 81 % 'F': Fair = 80 - 51 % 'P': Poor = 50 - 0 %			801	A4	
64	Blank (fixed)		44	805	A44	
		Subtotal	64			
Forma	t Record	Data Format Information				Field No.65-82
65	Header record length (by	te) = 'bbbbNNNN'	8	849	18	Variable header file size
66	Data column length (num 'bbbNNNNN' (fixed)	ber of pixels for each line) =	8	857	18	
67	Data line length (number 'bbbNNNNN' (fixed)	of pixels for each column) =	8	865	18	
68	Byte order = 'LSBbbbbb':	Little endian (fixed)	8	873	A8	
		Subtotal	32			
	DSM Data Format	DSM Data Format Structures				
69	Number of bits for DSM 1	pixel (bit) = 'bb16' (fixed)	4	881	14	
70	Number of pixels for DSN	1 1 data (pixel) = 'bbb1' (fixed)	4	885	14	
71	Number of bytes for DSM	1 1 data (byte) = 'bbb2' (fixed)	4	889	14	
72	Bit start for DSM 1 pixel (bit) = 'bbb0' (fixed)	4	893	14	
73	Bit end for DSM 1 pixel (b	pit) = 'bb15' (fixed)	4	897	14	
74	Number of DSM files = 'b	bb1' (fixed)	4	901	14	
75	Blank (fixed)		8	905	A8	
		Subtotal	32			
	MSK Data Format	MSK Data Format Structures				
76		pixel (bit) = 'bbb8' (fixed)	4	913	14	
77	•	(1 data (pixel) = 'bbb1' (fixed)	4	917	14	
78	Number of bytes for MSK	(1 data (byte) = 'bbb1' (fixed)	4	921	14	

Table 3 Items in AW3D30 header information (HDR) file (continued)

				•	,	· · · · · · · · · · · · · · · · · · ·
Field No.		Description	Number of Bytes	Start Byte Position	Туре	Note
79	Bit start for MSK 1 pixel (bit) = 'bbb0' (fixed)	4	925	14	
80	Bit end for MSK 1 pixel (b	pit) = 'bbb7' (fixed)	4	929	14	
81	Number of MSK files = 'b	bb1' (fixed)	4	933	14	
82	Blank (fixed)		40	937	A40	
		Subtotal	64			
Syster	n Record	Data Processing System Information	n	'		Field No.83-90
83	MM :	'YYYYMMDDbbbbbbbbb' Year Month Day	16	977	A16	Processing date of source AW3D
84	MM	HHMMSSbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	993	A16	Processing date of source AW3D
85	Processing country = 'JA	PANbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	1009	A16	
86	Processing organization	= 'JAXAbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	1025	A16	
87	Processing facility = 'EOF	RC-AGAPbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	16	1041	A16	
88	VVV : RRR : YYYY : MM :	RRR-YYYYMMDDbbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb	24	1057	A24	
89	Document version = 'N.N	b'	4	1081	A4	Version of base document Not exist for software version 002- 000-20120330
90	Blank (fixed)		20	1085	A20	
		Subtotal	128			
Reserv	/ed					Field No.91
91	Blank (fixed)		4	1105	14	
		Subtotal	4			
		Total	1108			

2.5. Quality assurance information file format

Detailed items in the quality assurance information (QAI) file included in the AW3D30 dataset are summarized in Table 4. First half of the items are the quality assurance information obtained from the source AW3D 2.5m/5m DSM dataset that is the origin of AW3D30 30m DSM. Table 5 shows criteria for comprehensive evaluation.

Table 4 Items in AW3D30 quality assurance information (QAI) file

Category	Item	Key	Value (sample)
	Comprehensive assessment: accuracy*1	TOTAL_ACCURACY	G
	Comprehensive assessment: completeness*1	TOTAL_INTEGRITY	G
	Comprehensive assessment: reliability*1	TOTAL_RELIABILITY	G
	Average of difference: SRTM	SRTM_AVERAGE	1.9333076
	Standard deviation of difference: SRTM	SRTM_STDEV	8.6490392
	RMS of difference: SRTM	SRTM_RMS	8.47604
	Maximum of difference: SRTM	SRTM_MAX	68.509979
	Mode of difference: SRTM	SRTM_MODE	3
	Average of difference: ASTER GDEM	ASTER_AVERAGE	-0.55988584
	Standard deviation of difference: ASTER GDEM	ASTER_STDEV	14.906643
	RMS of difference: ASTER GDEM	ASTER_RMS	14.5537
	Maximum of difference: ASTER GDEM	ASTER_MAX	141.71265
	Mode of difference: ASTER GDEM	ASTER_MODE	0
Source	Number of comparison points with ICESat	ICESAT_NUM	53
2.5m DSM	Average of difference: ICESat	ICESAT_AVERAGE	0.470889
4 tiles (Japan)	Standard deviation of difference: ICESat	ICESAT_STDEV	3.57531
(oapan)	RMS of difference: ICESat	ICESAT_RMS	3.57259
Source 5m DSM	Maximum of difference: ICESat	ICESAT_MAX	14.0139
1 tile	Mode of difference: ICESat	ICESAT_MODE	0
(except Japan)	Average of relative error between stacked images	REL_STACK_AVERAGE	2.18556
	Standard deviation of relative error between stacked images	REL_STACK_STDEV	1.13929
	Number of valid pixel	MASK_NUM_VALID	574972351
	Number of cloud and snow masked pixel	MASK_NUM_CLOUDSNOW	2360
	Number of inland water and low correlation masked pixels	MASK_NUM_INLANDWATER	831055
	Number of sea masked pixels	MASK_NUM_SEA	194234
	Rate of valid pixel	MASK_RATE_VALID	0.998216
	Rate of cloud and snow masked pixels	MASK_RATE_CLOUDSNOW	0.0000041
	Rate of inland water and low correlation masked pixels	MASK_RATE_INLANDWATER	0.0014428
	Rate of sea masked pixels	MASK_RATE_SEA	0.000337212
	Correlation coefficient: average	CORREL_AVERAGE	0.635053
	Correlation coefficient: standard deviation	CORREL_STDEV	0.251597
	Correlation coefficient: maximum	CORREL_MAX	1
	Correlation coefficient: minimum	CORREL_MIN	-1

Table 4 Items in AW3D30 quality assurance information (QAI) file (continued)

Category	ltem	Key	Value (sample)
	Correlation coefficient histogram: from -1.0 to -0.9	CORREL_HIST1.0to-0.9	2215
	Correlation coefficient histogram: from -0.9 to -0.8	CORREL_HIST0.9to-0.8	0
	Correlation coefficient histogram: from -0.8 to -0.7	CORREL_HIST0.8to-0.7	3
	Correlation coefficient histogram: from -0.7 to -0.6	CORREL_HIST0.7to-0.6	7
	Correlation coefficient histogram: from -0.6 to -0.5	CORREL_HIST0.6to-0.5	41
	Correlation coefficient histogram: from -0.5 to -0.4	CORREL_HIST0.5to-0.4	261
	Correlation coefficient histogram: from -0.4 to -0.3	CORREL_HIST0.4to-0.3	1518
	Correlation coefficient histogram: from -0.3 to -0.2	CORREL_HIST0.3to-0.2	6901
	Correlation coefficient histogram: from -0.2 to -0.1	CORREL_HIST0.2to-0.1	36766
Source	Correlation coefficient histogram: from -0.1 to 0.0	CORREL_HIST0.1to0.0	212610
2.5m DSM 4 tiles	Correlation coefficient histogram: from 0.0 to 0.1	CORREL_HIST_0.0to0.1	919562
(Japan)	Correlation coefficient histogram: from 0.1 to 0.2	CORREL_HIST_0.1to0.2	3565829
Source	Correlation coefficient histogram: from 0.2 to 0.3	CORREL_HIST_0.2to0.3	12236681
5m DSM	Correlation coefficient histogram: from 0.3 to 0.4	CORREL_HIST_0.3to0.4	33412879
1 tile	Correlation coefficient histogram: from 0.4 to 0.5	CORREL_HIST_0.4to0.5	70300296
(except Japan)	Correlation coefficient histogram: from 0.5 to .0.6	CORREL_HIST_0.5to0.6	111074518
	Correlation coefficient histogram: from 0.6 to 0.7	CORREL_HIST_0.6to0.7	132675287
	Correlation coefficient histogram: from 0.7 to 0.8	CORREL_HIST_0.7to0.8	122173898
	Correlation coefficient histogram: from 0.8 to 0.9	CORREL_HIST_0.8to0.9	75815662
	Correlation coefficient histogram: from 0.9 to 1.0	CORREL_HIST_0.9to1.0	12537417
	Number of stacking: average	STACK_AVERAGE	4.76069
	Number of stacking: standard deviation	STACK_STDEV	1.91647
	Number of stacking: Minimum	STACK_MIN	0
	Number of stacking: Maximum	STACK_MAX	14
	Number of valid pixel	AW3Dv3.1_MASK_NUM_VALID	550984124
	Number of cloud and snow mask pixel	AW3Dv3.1_MASK_NUM_CLOUDSNOW	2595589
Intermediate	Number of inland water and low correlation mask pixels	AW3Dv3.1_MASK_NUM_INLANDWATER	0
5m DSM	Number of sea mask pixels	AW3Dv3.1_MASK_NUM_SEA	22420287
mask information	Rate of valid pixel	AW3Dv3.1_MASK_RATE_VALID	95.65696597
(Japan)	Rate of cloud and snow mask pixels	AW3Dv3.1_MASK_RATE_CLOUDSNOW	0.45062309
	Rate of inland water and low correlation mask pixels	AW3Dv3.1_MASK_RATE_INLANDWATER	0
	Rate of sea mask pixels	AW3Dv3.1_MASK_RATE_SEA	3.892410938
	Number of valid pixel	DegradeAVE_MASK_NUM_VALID	12412309
	Number of cloud and snow mask pixel	DegradeAVE_MASK_NUM_CLOUDSNOW	46079
Intorres e d' - 1 -	Number of inland water and low correlation mask pixels	DegradeAVE_MASK_NUM_INLANDWATER	0
Intermediate 30m DSM	Number of sea mask pixels	DegradeAVE_MASK_NUM_SEA	501612
mask	Rate of valid pixel	DegradeAVE_MASK_RATE_VALID	95.7739892
information	Rate of cloud and snow mask pixels	DegradeAVE_MASK_RATE_CLOUDSNOW	0.35554784
	Rate of inland water and low correlation mask pixels	DegradeAVE_MASK_RATE_INLANDWATER	0
	Rate of sea mask pixels	DegradeAVE_MASK_RATE_SEA	3.870462963

Table 4 Items in AW3D30 quality assurance information (QAI) file (continued)

Category	Item	Key	Value (sample)
	Number of cloud and snow mask pixel (after void-filling)	GapFillAVE_MASK_NUM_CLOUDSNOW	0
	Number of pixels filled with GSI 10m DEM	GapFillAVE_MASK_NUM_FILLED_GSI10	26019
	Number of pixels filled with SRTM-1 Version 3	GapFillAVE_MASK_NUM_FILLED_SRTM-1_V3	0
	Number of pixels filled with PRISM DSM	GapFillAVE_MASK_NUM_FILLED_PSM	20060
	Number of pixels filled with ArcticDEM v3	GapFillAVE_MASK_NUM_FILLED_ArcticDEM_v3	0
	Number of pixels filled with ArcticDEM v2	GapFillAVE_MASK_NUM_FILLED_ArcticDEM_v2	0
	Number of pixels filled with ASTER GDEM v3	GapFillAVE_MASK_NUM_FILLED_GDEM_v3	0
	Number of pixels filled with TanDEM-X 90m DEM	GapFillAVE_MASK_NUM_FILLED_WorldDEM_v3	0
	Number of pixels filled with Viewfinder Panoramas DEM (Only for version 3.2)	GapFillAVE_MASK_NUM_FILLED_VPD	0
Product	Number of pixels filled with REMA v1.1 (Only for version 3.1 South of 60 degrees South)	GapFillAVE_MASK_NUM_FILLED_REMA_v1.1	0
30m DSM	Number of pixels filled with IDW method	GapFillAVE_MASK_NUM_FILLED_FillNoData	0
mask	Rate of cloud and snow mask pixel (after void-filling)	GapFillAVE_MASK_RATE_CLOUDSNOW	0
information	Rate of pixels filled with GSI 10m DEM	GapFillAVE_MASK_RATE_FILLED_GSI10	0.200763889
	Rate of pixels filled with SRTM1 Version 3	GapFillAVE_MASK_RATE_FILLED_SRTM-1_V3	C
	Rate of pixels filled with PRISM DSM	GapFillAVE_MASK_RATE_FILLED_PSM	0.154783951
	Rate of pixels filled with ArcticDEM v3	GapFillAVE_MASK_RATE_FILLED_ArcticDEM_v3	C
	Rate of pixels filled with ArcticDEM v2	GapFillAVE_MASK_RATE_FILLED_ ArcticDEM_v2	C
	Rate of pixels filled with ASTER GDEM v3	GapFillAVE_MASK_RATE_FILLED_GDEM_v3	C
	Rate of pixels filled with TanDEM-X 90m DEM	GapFillAVE_MASK_RATE_FILLED_WorldDEM_v3	0
	Rate of pixels filled with Viewfinder Panoramas DEM (Only for version 3.2)	GapFillAVE_MASK_RATE_FILLED_VPD	O
	Rate of pixels filled with REMA v1.1 (Only for version 3.1 South of 60 degrees South)	GapFillAVE_MASK_RATE_FILLED_REMA_v1.1	C
	Rate of pixels filled with IDW method	GapFillAVE_MASK_RATE_FILLED_FillNoData	0
Void-filled product	Version of void-filled product	VERSION_GapFill_PRODUCT	3.1
Source product	Version of source product	VERSION_AW3D_PRODUCT	3

^{*1:} Evaluation items and strategies in comprehensive assessment are as follows.

Table 5 shows the criteria for comprehensive evaluation

Table 5 Criteria for comprehensive evaluation in QAI file

Item	Good	Fair	Poor
Accuracy	<5m	<7m	≧7m
Completeness	≧90%	≧70%	<70%
Reliability	≧1.5	≧1.0	<1.0

Comprehensive assessment - accuracy: statistical evaluation on the absolute difference from existing global topographic data such as SRTM-3, ASTER GDEM, and ICESat

²⁾ Comprehensive assessment - completeness: evaluation on the area occupancy of cloud and snow mask and land water and low correlation mask to land areas

³⁾ Comprehensive assessment - reliability: statistical evaluation on the histograms of correlation coefficients in stereo-pair matching and on stacking number

^{*2:} Descriptions of source products are applicable for v2.1 or later ('-' indicates the tile was not made from AW3D)

2.6. TIFF tag settings for GeoTIFF product

Tables 6 to 8 summarize the TIFF tag settings for GeoTIFF product (DSM file, mask file and stacking number file) included in AW3D30 dataset.

Table 6 TIFF tag settings for GeoTIFF product (DSM file)

Tag	ID	Туре	Number	Value (Sample)
NewSubfileType	254	Long	1	0
ImageWidth	256	Short	1	3600
ImageLength	257	Short	1	3600
BitsPerSample	258	Short	1	16
Compression	259	Short	1	1 (No compression)
PhotometricInterpretation	262	Short	1	1 (Black is zero)
ImageDescription	270	Ascii	20	Product Version 3.2 (Tag for version 3.2 only)
StripOffsets	273	Long	3600	14408 21608 28808 36008 43208 50408
Orientation	274	Short	1	1
SamplesPerPixel	277	Short	1	1
RowsPerStrip	278	Short	1	1
StripByteCounts	279	Long	3600	7200 7200 7200 7200 7200 7200
XResolution	282	Rational	1	1/1
YRresolution	283	Rational	1	1/1
PlanarConfiguration	284	Short	1	1 (Chunky format)
SampleFormat	339	Short	1	2 (Signed integer)
ModelPixelScale	33550	Double	3	0.000278 0.000278 0.000000
ModelTiepoint	33922	Double	6	0.000000 0.000000 0.000000 138.000000 35.000000 0.000000
GeoKeyDirectory	34735	Short	24	1 1 0 5 1024 0 1 2 1025 0 1 1 2048 0 1 4326 2052 0 1 9001 2054 0 1 9102
GeoAsciiParams	34737	Ascii	7	WGS-84 (Not exist for southern region over 60 degrees south)

Table 7 TIFF tag settings for GeoTIFF product (MSK files)

Tag	ID	Туре	Number	Value (Sample)
ImageWidth	256	Short	1	3600
ImageLength	257	Short	1	3600
BitsPerSample	258	Short	1	8
Compression	259	Short	1	1 (No compression)
PhotometricInterpretation	262	Short	1	1 (Black is zero)
ImageDescription	270	Ascii	20	Product Version 3.2 (Tag for version 3.2 only)
StripOffsets	273	Long	1800	192378 216378 240378 264378 288378 312378
SamplesPerPixel	277	Short	1	1
RowsPerStrip	278	Short	1	2
StripByteCounts	279	Long	1800	7200 7200 7200 7200 7200 7200
PlanarConfiguration	284	Short	1	1 (Chunky format)
SampleFormat	339	Short	1	1 (Chunky format)
ModelPixelScale	33550	Double	3	0.000278 0.000278 0.000000
ModelTiepoint	33922	Double	6	0.000000 0.000000 0.000000 138.000000 35.000000 0.000000
GeoKeyDirectory	34735	Short	32	1 1 0 7 1024 0 1 2 1025 0 1 1 2048 0 1 4326 2049 34737 7 0 2054 0 1 9102 2057 34736 1 1 2059 34736 1 0
GeoDoubleParams	34736	Double	2	298.257224 6378137.000000
GeoAsciiParams	34737	Ascii	8	WGS 84
GDAL_NODATA	42113	Ascii	7	255

Table 8 TIFF tag settings for GeoTIFF product (STK file)

Tag	ID	Туре	Number	Value (Sample)
NewSubfileType	254	Long	1	0
ImageWidth	256	Short	1	3600
ImageLength	257	Short	1	3600
BitsPerSample	258	Short	1	8
Compression	259	Short	1	1 (No compression)
PhotometricInterpretation	262	Short	1	1 (Black is zero)
ImageDescription	270	Ascii	20	Product Version 3.2 (Tag for version 3.2 only)
StripOffsets	273	Long	3600	14408 18008 21608 25208 28808 32408
Orientation	274	Short	1	1
SamplesPerPixel	277	Short	1	1
RowsPerStrip	278	Short	1	1
StripByteCounts	279	Long	3600	3600 3600 3600 3600 3600
XResolution	282	Rational	1	1/1
YRresolution	283	Rational	1	1/1
PlanarConfiguration	284	Short	1	1 (Chunky format)
ModelPixelScale	33550	Double	3	0.000042 0.000042 0.000000
ModelTiepoint	33922	Double	6	0.000000 0.000000 0.000000 138.000000 35.000000 0.000000
GeoKeyDirectory	34735	Short	24	1 1 0 5 1024 0 1 2 1025 0 1 1 2048 0 1 4326 2052 0 1 9001 2054 0 1 9102
GeoAsciiParams	34737	Ascii	7	WGS-84 (Not exist for southern region over 60 degrees south)

2.7. GeoTIFF key settings for GeoTIFF product

Table 9 to 11 show the Geo key settings for GeoTIFF product (DSM file, mask file and stacking number file) included in AW3D30 dataset.

Table 9 Geo key settings for GeoTIFF product (DSM file)

Key	ID	Type	Number	Value (Sample)
GTModelTypeGeoKey	1024	Short	1	2 (ModelTypeGeographic)
GTRasterTypeGeoKey	1025	Short	1	1 (RasterPixellsArea)
GeographicTypeGeoKey	2048	Short	1	4326 (GCS_WGS_84)
GeogLinearUnitsGeoKey	2053	Short	1	9001 (Linear_Meter)
GeogAngularUnitsGeoKey	2054	Short	1	9102 (Angular_Degree)

Table 10 Geo key settings for GeoTIFF product (MSK file)

Key	ID	Туре	Number	Value (Sample)
GTModelTypeGeoKey	1024	Short	1	2 (ModelTypeGeographic)
GTRasterTypeGeoKey	1025	Short	1	1 (RasterPixellsArea)
GeographicTypeGeoKey	2048	Short	1	4326 (GCS_WGS_84)
GeogCitationGeoKey	2049	Ascii	7	WGS 84
GeogAngularUnitsGeoKey	2054	Short	1	9102 (Angular_Degree)
GeogSemiMajorAxisGeoKey	2057	Double	1	6378137
GeoglnvFlatteningGeoKey	2059	Double	1	298.257224

Table 11 Geo key settings for GeoTIFF product (STK file)

Key	ID	Туре	Number	Value (Sample)
GTModelTypeGeoKey	1024	Short	1	2 (ModelTypeGeographic)
GTRasterTypeGeoKey	1025	Short	1	1 (RasterPixelIsArea)
GeographicTypeGeoKey	2048	Short	1	4326 (GCS_WGS_84)
GeogLinearUnitsGeoKey	2053	Short	1	9001 (Linear_Meter)
GeogAngularUnitsGeoKey	2054	Short	1	9102 (Angular_Degree)

3. References

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- 5) G. Grohman, G. Kroenung, and J. Strebeck: Filling SRTM Voids, "The Delta Surface Fill Method", Photogrammetric Engineering & Remote Sensing, Vol.72, No.3, pp.213-216, 2016.
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- 8) J. Takaku, T. Tadono, M. Doutsu, F. Ohgushi, and H. Kai, "Updates of 'AW3D30' ALOS Global Digital Surface Model with Other Open Access Datasets", The International Archives of the Photogrammetry, Remote Sensing and Spatial Information Sciences, ISPRS, Vol.XLIII-B4-2020, pp.183–189, 2020.

4. Related URLs

- 1) EGM96 (NGA/NASA) https://cddis.nasa.gov/926/egm96/egm96.html
- 2) SWBD (NASA/JPL) https://dds.cr.usgs.gov/srtm/version2_1/SWBD/
- 3) GSHHG (former GSHHS) (University of Hawaii/NOAA) https://www.soest.hawaii.edu/pwessel/gshhs/index.html
- 4) OpenStreetMap Coastlines (Jochen Topf & Christoph Hormann) https://osmdata.openstreetmap.de/data/coastlines.html
- 5) GSI Coastline Vector Data*1
 https://fgd.gsi.go.jp/download/ref_kihon.html *Only in Japanese
- 6) GSI Digital Topographic Map 5m and 10m Mesh Data*1 https://fgd.gsi.go.jp/download/ref_dem.html *Only in Japanese
- 7) SRTM-1 v3 (NASA/JPL) https://www2.jpl.nasa.gov/srtm/
- 8) Viewfinder Panoramas DEM (Jonathan de Ferranti) http://viewfinderpanoramas.org/dem3.html
- 9) ArcticDEM v2, v3 (NGA/NSF) https://www.pgc.umn.edu/data/arcticdem
- ASTER GDEM v2, v3 (NASA/METI) https://asterweb.jpl.nasa.gov/gdem.asp
- TanDEM-X 90m DEM (DLR)
 https://geoservice.dlr.de/web/dataguide/tdm90/
- 12) REMA v1.1 (PGC, University of Minnesota) https://www.pgc.umn.edu/data/rema/
- *1: Approved by the GSI based on Survey Act (Utilization) R 1JHs 1312

5. Point of contact

Please contact to the ALOS Science Project via e-mail to the address below for any questions or inquiries regarding the use of the dataset. For our future reference, it is highly appreciated sending the offprints and copies of the research results using the dataset to the following point of contact.

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