# Kyungjin Cho

## **Question 1**

## **Building a Logistic Regression Model**

```
#Data import
dat <- read.table("/Users/irenecho/Desktop/stat443/datafiles/rhcdata.txt", header=T, sep
= " ")
dat <- dat[-1]</pre>
```

```
# replace categorical variables into binary values
dat$meanbp1 <- replace(dat$meanbp1, dat$meanbp1 <68.5, 1)</pre>
dat$meanbp1 <- replace(dat$meanbp1, dat$meanbp1 >= 68.5, 0)
dat$cat1 <- replace(dat$cat1, dat$cat1 == c("CHF"), 1)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == c("MOSF w/Sepsis"), 1)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "COPD", 0)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "MOSF w/Malignancy", 0)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "ARF", 0)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "Coma", 0)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "Cirrhosis", 0)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "Colon Cancer", 0)</pre>
dat$cat1 <- replace(dat$cat1, dat$cat1 == "Lung Cancer", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == c("CHF"), 1)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == c("MOSF w/Sepsis"), 1)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "COPD", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "MOSF w/Malignancy", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "ARF", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "Coma", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "Cirrhosis", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "Colon Cancer", 0)</pre>
dat$cat2 <- replace(dat$cat2, dat$cat2 == "Lung Cancer", 0)</pre>
dat$pafi1 <- replace(dat$pafi1, dat$pafi1 <= 266.15625, 1)</pre>
dat$pafi1 <- replace(dat$pafi1, dat$pafi1 <= 266.15625, 0)</pre>
dat$ninsclas <- replace(dat$ninsclas, dat$ninsclas == "Private", 1)</pre>
dat$ninsclas <- replace(dat$ninsclas, dat$ninsclas == "Medicaid", 0)</pre>
dat$ninsclas <- replace(dat$ninsclas, dat$ninsclas == "Private & Medicare", 1)</pre>
dat$ninsclas <- replace(dat$ninsclas, dat$ninsclas == "Medicare & Medicaid", 0)</pre>
dat$swang1 <- as.factor(dat$swang1)</pre>
dat$death <- as.factor(dat$death)</pre>
dat$cardiohx <- as.factor(dat$cardiohx)</pre>
dat$chfhx <- as.factor(dat$chfhx)</pre>
dat$dementhx <- as.factor(dat$dementhx)</pre>
dat$psychhx <- as.factor(dat$psychhx)</pre>
dat$chrpulhx <- as.factor(dat$chrpulhx)</pre>
dat$renalhx <- as.factor(dat$renalhx)</pre>
dat$liverhx <- as.factor(dat$liverhx)</pre>
dat$gibledhx <- as.factor(dat$gibledhx)</pre>
dat$malighx <- as.factor(dat$malighx)</pre>
dat$transhx <- as.factor(dat$transhx)</pre>
dat$amihx <- as.factor(dat$amihx)</pre>
dat$dth30 <- as.factor(dat$dth30)</pre>
dat$cat1 <- as.factor(dat$cat1)</pre>
dat$cat2 <- as.factor(dat$cat2)</pre>
dat$ca <- as.factor(dat$ca)</pre>
dat$sex <- as.factor(dat$sex)</pre>
dat$dnr1 <- as.factor(dat$dnr1)</pre>
dat$ninsclas <- as.factor(dat$ninsclas)</pre>
dat$resp <- as.factor(dat$resp)</pre>
dat$card <- as.factor(dat$card)</pre>
dat$neuro <- as.factor(dat$neuro)</pre>
dat$gastr <- as.factor(dat$gastr)</pre>
```

```
dat$renal <- as.factor(dat$renal)
dat$meta <- as.factor(dat$meta)
dat$hema <- as.factor(dat$hema)
dat$seps <- as.factor(dat$seps)
dat$trauma <- as.factor(dat$trauma)
dat$ortho <- as.factor(dat$ortho)
dat$race <- as.factor(dat$race)
dat$income <- as.factor(dat$income)
dat$immunhx <- as.factor(dat$immunhx)</pre>
```

```
#convert NA to mean in integer strings
dat$dschdte[which(is.na(dat$dschdte))] = mean(dat$dschdte, na.rm = T)
dat$dthdte[which(is.na(dat$dthdte))] = mean(dat$dthdte, na.rm = T)
dat$hrt1[which(is.na(dat$hrt1))] = mean(dat$hrt1, na.rm = T)
dat$resp1[which(is.na(dat$resp1))] = mean(dat$resp1, na.rm = T)
dat$wtkilo1[which(is.na(dat$wtkilo1))] = mean(dat$wtkilo1, na.rm = T)
dat$urin1[which(is.na(dat$urin1))] = mean(dat$urin1, na.rm = T)
dat$adld3p[which(is.na(dat$adld3p))] = mean(dat$adld3p, na.rm = T)

#delete the NA rows of binary cat2 variable
dat = dat[!is.na(dat$cat2), ]
```

```
dat.lm <- glm(swang1 ~ . , data= dat, family=binomial)
summary(dat.lm)</pre>
```

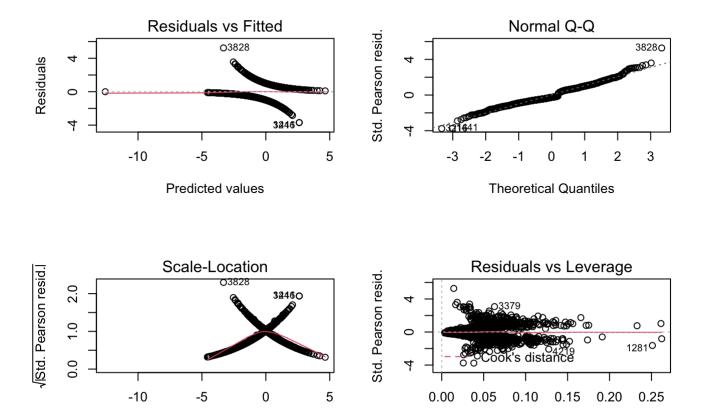
```
##
## Call:
## glm(formula = swang1 ~ ., family = binomial, data = dat)
##
## Deviance Residuals:
##
       Min
                 1Q
                     Median
                                   3Q
                                          Max
  -2.3182 \quad -0.8365 \quad -0.3536
##
                               0.8788
                                        2.5880
##
## Coefficients:
##
                         Estimate Std. Error z value Pr(>|z|)
                                               3.381 0.000721 ***
## (Intercept)
                         2.259e+01
                                   6.682e+00
## cat11
                         1.071e+00
                                   6.446e-01
                                               1.662 0.096486 .
## cat21
                         1.141e+00 1.979e-01
                                               5.764 8.21e-09 ***
## caNo
                         9.137e-01
                                    6.685e-01
                                               1.367 0.171684
## caYes
                         1.558e-01
                                   2.487e-01
                                               0.627 0.530969
## sadmdte
                       -6.108e-03 3.737e-03 -1.635 0.102142
## dschdte
                         6.604e-03 3.595e-03
                                               1.837 0.066171 .
## dthdte
                       -5.396e-04 3.442e-04 -1.568 0.116956
## 1stctdte
                       -7.747e-05 9.215e-04 -0.084 0.933002
## death1
                       -1.538e-02 2.429e-01 -0.063 0.949511
## cardiohx1
                         3.852e-02
                                   2.634e-01
                                                0.146 0.883716
## chfhx1
                       -1.816e-02 2.680e-01 -0.068 0.945988
## dementhx1
                       -2.309e-01 2.655e-01 -0.870 0.384480
## psychhx1
                       -1.478e-01 2.872e-01 -0.515 0.606841
## chrpulhx1
                       -1.510e-01 2.253e-01 -0.670 0.502725
## renalhx1
                        4.082e-01 4.306e-01
                                               0.948 0.343166
## liverhx1
                       -5.283e-02 3.772e-01 -0.140 0.888639
                       -4.188e-01 5.189e-01 -0.807 0.419635
## gibledhx1
## malighx1
                        3.988e-01 5.873e-01
                                               0.679 0.497126
## immunhx1
                       -1.526e-01 1.672e-01 -0.913 0.361348
## transhx1
                         3.411e-01
                                   2.368e-01
                                               1.440 0.149807
## amihx1
                        7.916e-01 4.117e-01
                                              1.923 0.054513 .
## age
                       -1.300e-04 5.780e-03 -0.022 0.982056
## sexMale
                         1.343e-01 1.588e-01
                                               0.845 0.397858
## edu
                        4.190e-02 2.661e-02
                                               1.575 0.115352
## surv2md1
                       -1.162e+00 6.575e-01 -1.768 0.077099 .
## das2d3pc
                         2.023e-02 1.582e-02 1.279 0.200991
## t3d30
                         5.853e-04 1.470e-02
                                               0.040 0.968245
## dth301
                         4.642e-01 3.414e-01
                                               1.360 0.173837
## aps1
                         1.917e-02 6.912e-03
                                               2.773 0.005547 **
## scoma1
                       -2.493e-03 3.152e-03 -0.791 0.428924
## meanbp1
                         3.961e-01 1.826e-01
                                               2.170 0.030035 *
## wblc1
                        5.047e-03 4.834e-03
                                               1.044 0.296474
                         4.029e-03 2.498e-03
## hrt1
                                               1.613 0.106789
## resp1
                       -2.251e-02 6.316e-03 -3.564 0.000365 ***
## temp1
                       -3.602e-02 4.047e-02 -0.890 0.373402
## pafi1
                       -2.581e-03 5.124e-04 -5.037 4.73e-07 ***
## alb1
                        2.070e-01 1.120e-01 1.849 0.064489 .
## hema1
                       -1.458e-02 1.046e-02 -1.394 0.163332
## bili1
                       -1.805e-02 1.982e-02 -0.910 0.362649
## crea1
                       -7.661e-02 4.993e-02 -1.534 0.124970
## sod1
                       -1.489e-02 9.208e-03 -1.617 0.105943
## pot1
                       -2.822e-01 7.190e-02 -3.924 8.70e-05 ***
```

```
-3.800 0.000145 ***
## paco21
                       -2.723e-02 7.166e-03
## ph1
                       -2.967e+00
                                   8.024e-01 -3.697 0.000218 ***
                        2.596e-02 4.606e-03
                                               5.636 1.74e-08 ***
## wtkilo1
## dnr1Yes
                       -7.394e-01 2.378e-01 -3.109 0.001877 **
                                               0.677 0.498240
## ninsclas1
                        1.510e-01
                                   2.230e-01
## ninsclasMedicare
                       -3.595e-01 2.615e-01 -1.375 0.169279
## ninsclasNo insurance 9.163e-02
                                   3.457e-01
                                               0.265 0.790933
## respYes
                        3.638e-02 1.629e-01
                                               0.223 0.823243
## cardYes
                        6.063e-01 1.969e-01
                                               3.079 0.002079 **
## neuroYes
                       -1.823e-01 2.809e-01 -0.649 0.516376
## gastrYes
                        2.597e-01 2.340e-01 1.110 0.267118
## renalYes
                        2.853e-01 2.969e-01
                                               0.961 0.336588
## metaYes
                        3.598e-02 3.653e-01
                                               0.098 0.921537
## hemaYes
                       -4.664e-01 2.368e-01 -1.970 0.048872 *
## sepsYes
                        4.273e-01 1.667e-01
                                               2.564 0.010357 *
## traumaYes
                        1.814e+00 9.858e-01
                                               1.840 0.065796 .
## orthoYes
                       -8.597e+00 3.247e+02 -0.026 0.978879
## adld3p
                       -9.529e-02 1.067e-01 -0.893 0.371655
## urin1
                       -1.505e-06 6.567e-05 -0.023 0.981715
## raceother
                        3.433e-02 3.386e-01
                                               0.101 0.919241
## racewhite
                       -6.369e-02 2.154e-01 -0.296 0.767460
## income$11-$25k
                        5.215e-01 3.044e-01 1.713 0.086671 .
                        2.541e-01 2.959e-01
## income$25-$50k
                                               0.859 0.390381
## incomeUnder $11k
                        5.934e-01 3.033e-01 1.956 0.050418 .
## ptid
                        2.030e-06 2.440e-05
                                               0.083 0.933705
## survtime
                        9.888e-04 5.684e-04
                                               1.740 0.081908 .
## ---
## Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
##
## (Dispersion parameter for binomial family taken to be 1)
##
      Null deviance: 1594.8 on 1169
##
                                      degrees of freedom
## Residual deviance: 1222.4 on 1101
                                      degrees of freedom
     (30 observations deleted due to missingness)
##
## AIC: 1360.4
##
## Number of Fisher Scoring iterations: 11
```

```
par(mfrow= c(2,2))
plot(dat.lm)
```

```
## Warning: not plotting observations with leverage one:
## 529
```

Predicted values



In order to reproduce the general logistic regression model, first, I have implemented "which" and "is.na" function to the columns with integer variables in order to get the mean of each columns and replace them with NA values.

Leverage

Although I got the mean and replaced NA with the mean, I have omitted the missing values from cat1. I used this method because the data sets were too huge to use the Mice package in my computer. Also, getting a mean value of binary value and replace it with missing values is not an efficient way to deal with them especially with the columns with two categories. Therefore, I decided to remove them.

However, in the future, I do not think that this is a good idea to deal with the missing values because lot of datasets were gone by using this method.

According to the summary of my logistic model, I would build a logistic regression model of

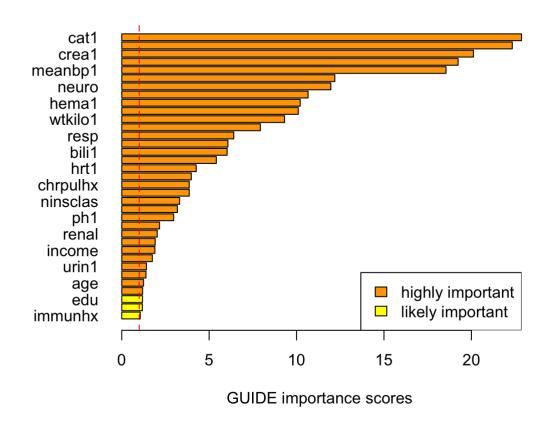
$$y_i = Intercept + cat1 * b_1 + cat2 * b_2 + trauma * b_3$$
  
 $y_i = 22.59 + b_1 * 1.071 + b_2 * 1.141 + b_3 * 1.814$ 

because cat1, cat2 and trauma have the highest coefficient. Also, their p-values are very small that we reject the null hypothesis concluding that they are in fact, one of the most important factors measuring RHC data.

### **Question 2**

## Reproducing GUIDE Importance scores plot

```
par(las=1,mar=c(5,12,4,2),cex=1)
leg.col <- c("orange","yellow")
leg.txt <- c("highly important","likely important")
x <- read.table("/Users/irenecho/Desktop/stat443/datafiles/imp.scr",header=TRUE)
score <- x$Score
vars <- x$Variable
type <- x$Type
barcol <- rep("orange",length(vars))
barcol[type == "L"] <- "yellow"
barcol[type == "U"] <- "cyan"
n <- sum(x$Type != "U")
barplot(rev(score[1:n]),names.arg=rev(vars[1:n]),col=rev(barcol[1:n]),horiz=TRUE, xlab=
"GUIDE importance scores")
abline(v=1,col="red",lty=2)
legend("bottomright",legend=leg.txt,fill=leg.col)</pre>
```



The guide importance score shows that cat1 is the most important variable followed by crea1, meanbp1 and neuro. It also provides the less likely important features such as education and immunehx.

## **Question 3**

## Effects of Logistic model

The logistic model I have created highlights the importance of cat1, cat2 and trauma while GUIDE tree and importance score signifies cat1, aps1, and crea1. From my equation, aps1 and crea1 are also considered as highly important; however, not as much as the GUIDE importance score.

My regression model considers education, immune history, sod1 as less likely important factors which GUIDE tree and importance score also agree. GUIDE and my logistic model concludes that cat1, cat2, trauma, aps1 and crea1 are more important than education, immune history and sod1.

However, what my logistic model and GUIDE's importance score do not agree is the order of importance. I had cat2 as the most important variable while GUIDE's importance score highlights the fact that cat1 is the most significant variable.

By looking through the data, I believe that there are some interactions that are different from the GUIDE importance scoring and tree when I work manually by hand. Also, I found it difficult to hand code the replace() function for each categorical variables and assigning the binary values.

## **Question 4**

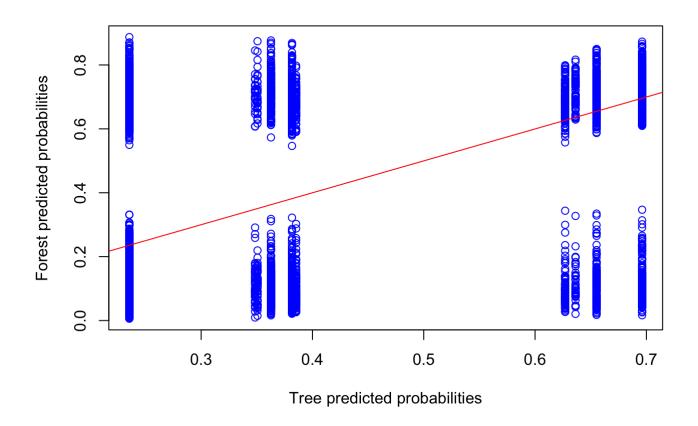
### **Building a GUIDE Forest Model**

```
#creating guide description file in R
dat <- read.table("/Users/irenecho/Desktop/stat443/datafiles/rhcdata.txt",header=TRUE)
nvar <- ncol(dat)
varnames <- names(dat)
varnames</pre>
```

```
[1] "X"
                               "cat2"
                                          "ca"
##
                   "cat1"
                                                      "sadmdte"
                                                                 "dschdte"
                                          "cardiohx" "chfhx"
   [7] "dthdte"
                    "lstctdte" "death"
                                                                 "dementhx"
##
                                                      "gibledhx" "malighx"
## [13] "psychhx"
                   "chrpulhx" "renalhx"
                                          "liverhx"
                                          "age"
                   "transhx"
                                                      "sex"
                                                                 "edu"
## [19] "immunhx"
                               "amihx"
## [25] "surv2md1" "das2d3pc" "t3d30"
                                          "dth30"
                                                      "aps1"
                                                                 "scoma1"
## [31] "meanbp1"
                   "wblc1"
                               "hrt1"
                                          "resp1"
                                                      "temp1"
                                                                 "pafi1"
## [37] "alb1"
                               "bili1"
                                                      "sod1"
                   "hema1"
                                          "crea1"
                                                                 "pot1"
## [43] "paco21"
                    "ph1"
                               "swang1"
                                          "wtkilo1"
                                                      "dnr1"
                                                                 "ninsclas"
## [49] "resp"
                    "card"
                               "neuro"
                                          "gastr"
                                                      "renal"
                                                                 "meta"
## [55] "hema"
                    "seps"
                               "trauma"
                                          "ortho"
                                                      "adld3p"
                                                                 "urin1"
## [61] "race"
                    "income"
                               "ptid"
                                          "survtime"
```

```
roles <- rep("s",nvar)</pre>
c.vars <- c("cat1", "cat2", "ca", "sadmdte", "dschdte", "dthdte", "lstctdte", "death",</pre>
"cardiohx", "chfhx", "dementhx", "psychhx", "chrpulhx", "renalhx", "liverhx", "gibledhx"
, "malighx", "immunhx", "transhx", "amihx", "age", "sex", "edu", "surv2md1", "das2d3p
c", "t3d30", "dth30", "aps1", "scoma1", "meanbp1", "wblc1", "hrt1", "resp1", "temp1",
"pafil", "alb1", "hema1", "bili1", "crea1", "sod1", "pot1", "paco21", "ph1", "wtkilo1",
"dnr1", "ninsclas", "resp", "card", "neuro", "gastr", "renal", "meta", "hema", "seps",
"trauma", "ortho", "adld3p", "urin1",
                                         "race", "income")
roles[varnames %in% c.vars] <- "c"</pre>
x.vars <- c("X", "survtime", "ptid")</pre>
roles[varnames %in% x.vars] <- "x"
d.var <- "swang1"</pre>
roles[varnames %in% d.var] <- "d"</pre>
write("rhcdata.txt",file="desc.txt")
write("NA",file="desc.txt",append=TRUE)
write("2",file="desc.txt",append=TRUE)
write.table(cbind(1:nvar, varnames, roles), file="desc.txt",
            row.names=FALSE,col.names=FALSE,quote=FALSE,append=TRUE)
```

```
#R code for plotting predicted probabilities
tree <- read.table("/Users/irenecho/Desktop/stat443/datafiles/classpred.txt", header=TRUE
)
forest <- read.table("/Users/irenecho/Desktop/stat443/datafiles/forestpred.txt", header=
T)
tree.p <- tree[,6]
forest.p <- forest[,3]
plot(forest.p ~ tree.p, xlab="Tree predicted probabilities",ylab="Forest predicted probabilities",col="blue")
abline(c(0,1),col="red")</pre>
```



I believe that the GUIDE tree model to estimate predicted probabilities are more accurate. Since I have manually created the GUIDE description file and classified c, x and s variables, I think that GUIDE logistic regression tree is a better predictor of RHC data.

## **Question 5**

## Input and Output files

(refer to the next page)

### Input file for importance scoring (Imp.in)

```
(do not edit this file unless you know what you are doing)
GUIDE
         (version of GUIDE that generated this file)
 36.2
        (1=model fitting, 2=importance or DIF scoring, 3=data conversion)
"imp.out" (name of output file)
        (1=classification, 2=regression, 3=propensity score grouping)
        (1=univariate and interaction splits, 2=skip interactions)
1
"rhcdsc1.txt" (name of data description file)
        (1=estimated priors, 2=equal priors, 3=other priors)
1
        (1=unit misclassification costs, 2=other)
2
        (1=split point from quantiles, 2=use exhaustive search)
        (1=default max. number of split levels, 2=specify no. in next line)
1
1
        (1=default min. node size, 2=specify min. value in next line)
2
        (0=no LaTeX code, 1=tree without node numbers, 2=tree with node numbers)
"imp.tex" (latex file name)
        (1=color terminal nodes, 2=no colors)
2
        (0=#errors, 1=sample sizes, 2=sample proportions, 3=posterior probs, 4=nothing)
1
        (1=no storage, 2=store fit and split variables, 3=store split variables and values)
        (1=do not create description file for selected variables, 2=create the file)
1
        (1=create file for importance scores, 2=do not create)
"imp.scr" (file name for importance scores)
      (rank of top variable to split root node)
```

### **Output file for importance scoring (Imp.out)**

```
GGG U U I DDDD EEEE
G G U U I D D E
G GG U U I D D EEE
G G U U I D D E
G G U U I D D E
G G U U I D D E
GGG UUU I DDDD EEEE
```

GUIDE Classification and Regression Trees and Forests Version 36.2 (Build date: January 8, 2021) Compiled with GFortran 10.2.0 on macOS Big Sur 11.1 Copyright (c) 1997-2020 Wei-Yin Loh. All rights reserved. This software is based upon work supported by the U.S. Army Research Office, the National Science Foundation and the National Institutes of Health.

This job was started on 02/23/21 at 17:09

Classification tree No pruning

Data description file: rhcdsc1.txt Training sample file: rhcdata.txt

Missing value code: NA

Records in data file start on line 2

20 N variables changed to S

D variable is swang1

Number of records in data file: 5735 Length of longest entry in data file: 19

Missing values found among categorical variables

Separate categories will be created for missing categorical variables

Missing values found among non-categorical variables

Number of classes: 2

Training sample class proportions of D variable swang1:

Class #Cases Proportion NoRHC 3551 0.61918047 RHC 2184 0.38081953

Total #cases w/ #missing

#cases miss. D ord. vals #X-var #N-var #F-var #S-var 5735 0 3443 13 0 0 20 #P-var #M-var #B-var #C-var #I-var 0 0 0 30 0

Number of cases used for training: 5735

Number of split variables: 50

Number of cases excluded due to 0 weight or missing D: 0

Importance scoring of variables

Simple node models

Estimated priors

Unit misclassification costs

Univariate split highest priority

Interaction splits 2nd priority; no linear splits

Split values for N and S variables based on exhaustive search

Maximum number of split levels: 4

Minimum node sample size: 57

Starting 300 permutations to standardize means of importance scores

Finished permutations to standardize means of importance scores

95 and 99% thresholds for unadjusted importance scores = 21.276 25.602

Note: final tree is shorter due to pruning of sibling nodes with same predicted values. Structure of final tree. Each terminal node is marked with a T.

Node cost is node misclassification cost divided by number of training cases

| Node  | Total | Train | Predicted | N      | ode    | Split    | Interacting |
|-------|-------|-------|-----------|--------|--------|----------|-------------|
| label | cases | cases | class     | cost   | vari   | ables    | variable    |
| 1     | 5735  | 5735  | NoRHC     | 3.80   | 08E-0  | 1 cat1   |             |
| 2     | 1683  | 1683  | RHC       | 4.599  | E-01   | meanbp   | <b>o</b> 1  |
| 4     | 1117  | 1117  | RHC       | 3.796  | E-01   | pafi1    |             |
| 8T    | 655   | 655   | RHC       | 3.038  | E-01   | resp1    |             |
| 9     | 462   | 462 I | RHC       | 4.870E | E-01 r | ninsclas |             |
| 18T   | 244   | 244   | RHC       | 3.730  | E-01   | bili1    |             |
| 19T   | 218   | 218   | NoRHC     | 3.8    | 53E-0  | 01 card  |             |
| 5     | 566   | 566 N | NoRHC     | 3.81   | 6E-01  | alb1     |             |
| 10    | 158   | 158   | RHC       | 4.810  | E-01   | pafi1    |             |

```
20T
      72
           72 RHC
                          3.194E-01 -
21T
      86
           86 NoRHC
                           3.837E-01 -
11T
     408
           408 NoRHC
                            3.284E-01 bili1
          4052 NoRHC
3
   4052
                            3.147E-01 pafi1
6
    1292
          1292 NoRHC
                            4.837E-01 resp
12
     581
           581 RHC
                          4.200E-01 dnr1
     515
24T
           515 RHC
                           3.903E-01 cat1
25T
      66
           66 NoRHC
                           3.485E-01 -
13
     711
           711 NoRHC
                            4.051E-01 seps
26T
     110
           110 RHC
                           3.636E-01 -
                NoRHC
27T
     601
           601
                             3.627E-01 aps1
7T 2760
           2760 NoRHC
                             2.355E-01 aps1
```

Number of terminal nodes of final tree: 11 Total number of nodes of final tree: 21

Second best split variable (based on curvature test) at root node is aps1

#### Classification tree:

For categorical variable splits, values not in training data go to the right

```
Node 1: cat1 = "CHF", "MOSF w/Sepsis"
 Node 2: meanbp1 <= 68.500000 or NA
  Node 4: pafi1 <= 266.15625
   Node 8: RHC
  Node 4: pafi1 > 266.15625 or NA
   Node 9: ninsclas = "No insurance", "Private", "Private & Medicare"
    Node 18: RHC
   Node 9: ninsclas /= "No insurance", "Private", "Private & Medicare"
    Node 19: NoRHC
 Node 2: meanbp1 > 68.500000
  Node 5: alb1 <= 2.9499511
   Node 10: pafi1 <= 216.25000
    Node 20: RHC
   Node 10: pafi1 > 216.25000 or NA
    Node 21: NoRHC
  Node 5: alb1 > 2.9499511 or NA
   Node 11: NoRHC
Node 1: cat1 /= "CHF", "MOSF w/Sepsis"
 Node 3: pafi1 <= 142.35938
  Node 6: resp = "No"
   Node 12: dnr1 = "No"
    Node 24: RHC
   Node 12: dnr1 /= "No"
    Node 25: NoRHC
```

Node 26: RHC Node 13: seps /= "Yes" Node 27: NoRHC Node 3: pafi1 > 142.35938 or NA Node 7: NoRHC

Node 6: resp /= "No" Node 13: seps = "Yes" \*

Predictor means below are means of cases with no missing values.

```
Node 1: Intermediate node
```

A case goes into Node 2 if cat1 = "CHF", "MOSF w/Sepsis"

cat1 mode = "ARF"

Class Number Posterior NoRHC 3551 0.6192E+00 RHC 2184 0.3808E+00

Number of training cases misclassified = 2184

Predicted class is NoRHC

-----

#### Node 2: Intermediate node

A case goes into Node 4 if meanbp1 <= 68.500000 or NA

 $meanbp1\ mean=72.674985$ 

Class Number Posterior NoRHC 774 0.4599E+00

RHC 909 0.5401E+00

Number of training cases misclassified = 774

Predicted class is RHC

\_\_\_\_\_

#### Node 4: Intermediate node

A case goes into Node 8 if pafi1 <= 266.15625

pafi1 mean = 241.37331

Class Number Posterior

NoRHC 424 0.3796E+00

RHC 693 0.6204E+00

Number of training cases misclassified = 424

Predicted class is RHC

-----

Node 8: Terminal node

Class Number Posterior NoRHC 199 0.3038E+00

RHC 456 0.6962E+00

Number of training cases misclassified = 199

Predicted class is RHC

-----

### Node 9: Intermediate node

A case goes into Node 18 if ninsclas = "No insurance", "Private",

"Private & Medicare"

ninsclas mode = "Private"

Class Number Posterior

NoRHC 225 0.4870E+00

RHC 237 0.5130E+00

Number of training cases misclassified = 225

Predicted class is RHC

-----

Node 18: Terminal node

Class Number Posterior

NoRHC 91 0.3730E+00 RHC 153 0.6270E+00

Number of training cases misclassified = 91

Predicted class is RHC

-----

Node 19: Terminal node

Class Number Posterior NoRHC 134 0.6147E+00 RHC 84 0.3853E+00

Number of training cases misclassified = 84

Predicted class is NoRHC

\_\_\_\_\_

Node 5: Intermediate node

A case goes into Node 10 if alb1 <= 2.9499511

alb1 mean = 3.1795715 Class Number Posterior

Class Number Posterior
NoRHC 350 0.6184E+00
RHC 216 0.3816E+00

Number of training cases misclassified = 216

Predicted class is NoRHC

-----

Node 10: Intermediate node

A case goes into Node 20 if pafi1 <= 216.25000

pafi1 mean = 239.66683

Class Number Posterior NoRHC 76 0.4810E+00 RHC 82 0.5190E+00

Number of training cases misclassified = 76

Predicted class is RHC

\_\_\_\_\_

Node 20: Terminal node

Class Number Posterior NoRHC 23 0.3194E+00 RHC 49 0.6806E+00

Number of training cases misclassified = 23

Predicted class is RHC

\_\_\_\_\_

Node 21: Terminal node

Class Number Posterior NoRHC 53 0.6163E+00 RHC 33 0.3837E+00

Number of training cases misclassified = 33

Predicted class is NoRHC

\_\_\_\_\_

Node 11: Terminal node

Class Number Posterior NoRHC 274 0.6716E+00 RHC 134 0.3284E+00

Number of training cases misclassified = 134

Predicted class is NoRHC

-----

```
Node 3: Intermediate node
A case goes into Node 6 if pafi1 <= 142.35938
pafi1 mean = 211.08630
Class
        Number Posterior
NoRHC
           2777 0.6853E+00
RHC
          1275 0.3147E+00
Number of training cases misclassified = 1275
Predicted class is NoRHC
Node 6: Intermediate node
A case goes into Node 12 if resp = "No"
resp mode = "Yes"
Class
        Number Posterior
NoRHC
            667 0.5163E+00
          625 0.4837E+00
RHC
Number of training cases misclassified = 625
Predicted class is NoRHC
_____
Node 12: Intermediate node
A case goes into Node 24 if dnr1 = "No"
dnr1 mode = "No"
        Number Posterior
Class
NoRHC
            244 0.4200E+00
          337 0.5800E+00
RHC
Number of training cases misclassified = 244
Predicted class is RHC
_____
Node 24: Terminal node
Class Number Posterior
            201 0.3903E+00
NoRHC
RHC
          314 0.6097E+00
Number of training cases misclassified = 201
Predicted class is RHC
```

Node 25: Terminal node

Class Number Posterior **NoRHC** 43 0.6515E+00 23 0.3485E+00

Number of training cases misclassified = 23

Predicted class is NoRHC

Node 13: Intermediate node

A case goes into Node 26 if seps = "Yes"

seps mode = "No"

Number Posterior Class 423 0.5949E+00 **NoRHC** 288 0.4051E+00 **RHC** 

Number of training cases misclassified = 288

Predicted class is NoRHC

Node 26: Terminal node

```
Class
        Number Posterior
NoRHC
            40 0.3636E+00
RHC
           70 0.6364E+00
Number of training cases misclassified = 40
Predicted class is RHC
Node 27: Terminal node
Class Number Posterior
NoRHC
            383 0.6373E+00
          218 0.3627E+00
RHC
Number of training cases misclassified = 218
Predicted class is NoRHC
Node 7: Terminal node
Class
       Number Posterior
NoRHC
           2110 0.7645E+00
          650 0.2355E+00
RHC
Number of training cases misclassified = 650
Predicted class is NoRHC
*****************
Variables used for splitting:
alb1
cat1
dnr1
meanbp1
ninsclas
pafi1
resp
seps
Number of terminal nodes: 11
```

#### Scaled importance scores of predictor variables

Rank Variable Score 2.287E+01 1.00 cat1 2.234E+01 2.00 aps1 2.012E+01 3.00 crea1 1.924E+01 4.00 pafi1 5.00 meanbp1 1.855E+01 1.219E+01 6.00 alb1 1.196E+01 7.00 neuro 1.066E+01 8.00 card 1.021E+01 9.00 hema1 1.010E+01 10.00 cat2 11.00 wtkilo1 9.315E+00 7.929E+00 12.00 seps 13.00 resp 6.409E+00 6.073E+0014.00 dnr1 6.029E+00 15.00 bili1 5.410E+00 16.00 paco21

```
4.260E+00 17.00 hrt1
3.979E+00 18.00 transhx
3.860E+00 19.00 chrpulhx
3.860E+00 20.00 resp1
3.311E+00 21.00 ninsclas
3.183E+00 22.00 dementhx
2.969E+00 23.00 ph1
2.163E+00 24.00 psychhx
2.029E+00 25.00 renal
1.913E+00 26.00 gastr
1.896E+00 27.00 income
1.748E+00 28.00 cardiohx
1.412E+00 29.00 urin1
1.386E+00 30.00 trauma
1.247E+00 31.00 age
1.194E+00 32.00 sex
----- variables above this line are highly important -----
1.188E+00 33.00 edu
1.176E+00 34.00 sod1
1.056E+00 35.00 immunhx
----- variables below this line are unimportant -----
9.441E-01
           36.00 malighx
9.116E-01 37.00 wblc1
8.732E-01
           38.00 ca
8.616E-01
          39.00 amihx
8.071E-01 40.00 scoma1
6.766E-01 41.00 chfhx
5.859E-01 42.00 gibledhx
           43.00 renalhx
4.112E-01
4.095E-01
           44.00 pot1
3.971E-01
           45.00 ortho
           46.00 liverhx
3.431E-01
3.412E-01
          47.00 hema
3.280E-01
           48.00 meta
2.586E-01
           49.00 temp1
1.296E-01
           50.00 race
```

Variables with scores above 1.19 are highly important

Variables with scores between 1.0 and 1.19 are likely important

Variables with scores below 1.0 are unimportant

No. highly important, likely important, and unimportant split variables: 32, 3, 15

LaTeX code for tree is in imp.tex

Importance scores are stored in imp.scr

Elapsed time in seconds: 183.51

### **Input file for GUIDE Forest (forestin.txt)**

GUIDE (do not edit this file unless you know what you are doing)
36.2 (version of GUIDE that generated this file)
1 (1=model fitting, 2=importance or DIF scoring, 3=data conversion)

```
"forestout.txt" (name of output file)
       (1=one tree, 2=ensemble)
2
       (1=bagging, 2=rforest)
       (1=random splits of missing values, 2=nonrandom)
2
       (1=classification, 2=regression)
1
       (1=interaction tests, 2=skip them)
2
"desc.txt" (name of data description file)
       (1=accept default number of trees, 2=change)
       (1=accept default number of variables for splitting, 2=change it)
1
       (1=estimated priors, 2=equal priors, 3=other priors)
1
       (1=unit misclassification costs, 2=other)
1
       (1=split point from quantiles, 2=use exhaustive search)
       (1=accept default splitting fraction, 2=change it)
1
       (1=default max. number of split levels, 2=specify no. in next line)
1
       (1=default min. node size, 2=specify min. value in next line)
"forestpred.txt" (file name for predicted class and probability estimates)
      (rank of top variable to split root node)
```

### **Output file for GUIDE Forest (forestout.txt)**

GGG U U I DDDD EEEE
G G U U I D D E
G GG U U I D D EEE
G G U U I D D E
G G U U I D D E
G G U U I D D E
GGG UUU I DDDD EEEE

GUIDE Classification and Regression Trees and Forests

Version 36.2 (Build date: January 8, 2021)

Compiled with GFortran 10.2.0 on macOS Big Sur 11.1 Copyright (c) 1997-2020 Wei-Yin Loh. All rights reserved.

This software is based upon work supported by the U.S. Army Research Office,

the National Science Foundation and the National Institutes of Health.

This job was started on 02/24/21 at 03:27

Random forest of classification trees

No pruning

Data description file: desc.txt Training sample file: rhcdata.txt

Missing value code: NA

Records in data file start on line 2

D variable is swang1

Number of records in data file: 5735 Length of longest entry in data file: 19

Missing values found among categorical variables

Separate categories will be created for missing categorical variables

Number of classes: 2

Training sample class proportions of D variable swang1:

Class #Cases Proportion NoRHC 3551 0.61918047 RHC 2184 0.38081953

Summary information for training sample of size 5735 d=dependent, b=split and fit cat variable using indicator variables, c=split-only categorical, i=fit-only categorical (via indicators), s=split-only numerical, n=split and fit numerical, f=fit-only numerical, m=missing-value flag variable, p=periodic variable, w=weight

### #Codes/ Levels/

|               | Levels/         |         |          |
|---------------|-----------------|---------|----------|
| Column Name   | Minimum Maximum | Periods | #Missing |
| 2 cat1 c      | 9               |         |          |
| 3 cat2 c      | 7               |         |          |
| 4 ca c        | 3               |         |          |
| 5 sadmdte c   | 1401            |         |          |
| 6 dschdte c   | 1487            |         |          |
| 7 dthdte c    | 1593            |         |          |
| 8 lstctdte c  | 932             |         |          |
| 9 death c     | 2               |         |          |
| 10 cardiohx c | 2               |         |          |
| 11 chfhx c    | 2               |         |          |
| 12 dementhx c | 2               |         |          |
| 13 psychhx c  | 2               |         |          |
| 14 chrpulhx c | 2               |         |          |
| 15 renalhx c  | 2               |         |          |
| 16 liverhx c  | 2               |         |          |
| 17 gibledhx c | 2               |         |          |
| 18 malighx c  | 2               |         |          |
| 19 immunhx c  | 2               |         |          |
| 20 transhx c  | 2               |         |          |
| 21 amihx c    | 2               |         |          |
| 22 age c      | 5036            |         |          |
| 23 sex c      | 2               |         |          |
| 24 edu c      | 42              |         |          |
| 25 surv2md1 c | 1522            |         |          |
| 26 das2d3pc c | 1023            |         |          |
| 27 t3d30 c    | 29              |         |          |
| 28 dth30 c    | 2               |         |          |
| 29 aps1 c     | 123             |         |          |
| 30 scoma1 c   | 11              |         |          |
| 31 meanbp1 c  | 178             |         |          |
| 32 wblc1 c    | 520             |         |          |
| 33 hrt1 c     | 189             |         |          |
| 34 resp1 c    | 72              |         |          |
| 35 temp1 c    | 118             |         |          |
| 36 pafil c    | 1342            |         |          |
| 37 alb1 c     | 57              |         |          |
| 38 hema1 c    | 450             |         |          |
| 39 bili1 c    | 266             |         |          |
|               |                 |         |          |

```
40 crea1
                              148
41 sod1
                              73
           c
42 pot1
                              81
           c
43 paco21
                               266
44 ph1
                              96
           c
                                 2
45 swang1
46 wtkilo1
                               922
47 dnr1
                               2
48 ninsclas c
                                6
                               2
49 resp
                               2
50 card
                               2
51 neuro
           c
52 gastr
                               2
           c
                               2
53 renal
           c
                               2
54 meta
           c
                               2
55 hema
           c
                               2
56 seps
                                2
57 trauma
           c
                               2
58 ortho
59 adld3p
                                9
                             1441
60 urin1
61 race
                              3
          c
62 income c
                                4
```

Total #cases w/ #missing

#cases miss. D ord. vals #X-var #N-var #F-var #S-var 5735 0 0 3 0 0 0 0 #P-var #M-var #B-var #C-var #I-var 0 0 60 0

Number of cases used for training: 5735

Number of split variables: 60

Number of trees in ensemble: 500

Number of variables used for splitting: 21

Simple node models Estimated priors

Unit misclassification costs

Univariate split highest priority

No interaction splits

Fraction of cases used for splitting each node: .0174

Maximum number of split levels: 20 Minimum node sample size: 28

Mean number of terminal nodes: 44.79

### Classification matrix for training sample:

Predicted True class class NoRHC RHC NoRHC 3551 0 RHC 0 2184 Total 3551 2184 Number of cases used for tree construction: 5735

Number misclassified: 0

Resubstitution estimate of mean misclassification cost: .0000

Number of OOB cases: 5735 Number OOB misclassified: 2194

OOB estimate of mean misclassification cost: .3826 Mean number of trees per OOB observation: 183.91

Predicted class probabilities are stored in forestpred.txt

Elapsed time in seconds: 84.133