SAMPLE FINANCIAL BROKERS ESTATE PLANNING FACTFIND

This document has been prepared to assist independent Financial Brokers in gathering the relevant information needed to help them recommend an estate planning arrangement for clients.

It does not constitute financial advice and has not been prepared based on the financial needs or objectives of any particular person, and does not take account of the specific needs or circumstances of any person.

It is always recommended that customers obtain professional legal and tax advice to ensure that any arrangement they put in place is appropriate to their circumstances.

Personal Details

	Name	**Gender**	**Date of Birth**	**Smoker**	**Spouse / Civil Partner / Cohabiting Couple**
Client 1					
Client 2					

Your Will / Intentions

If you have made a Will, please give details of how your estate will be divided.

If you do not have a Will, please give details of how you intend your estate will be divided.

Existing Inheritance Tax (Section 72) Plan

Is there currently an Inheritance Tax plan in place? Yes ☐ No ☐

What is the current level of cover? €

Beneficiary Details

	Beneficiary 1	Beneficiary 2	Beneficiary 3	Beneficiary 4	Beneficiary 5
First Name					
Surname					
Gender					
Age					
Relationship to client(s)					

Beneficiary Assets (this is the beneficiaries' current assets, not those they may potentially inherit)					
Property	€	€	€	€	€
Business	€	€	€	€	€
Farm	€	€	€	€	€
Total	€	€	€	€	€

This information is required to calculate / determine if any of the reliefs will apply.

Previous Gifts and Inheritances received by the beneficiaries from any source since 5th December 1991.					
Threshold 1 Value	€	€	€	€	€
Threshold 2 Value	€	€	€	€	€
Threshold 3 Value	€	€	€	€	€

This information may impact on their potential tax liability.

Estate Details – Assets & Liabilities

Family Home / Main Residence

	Current Value	Outstanding Loans / Mortgages	Loan Protection in place
Family Home	€	€	

Other Properties / Residences

	Current Value	Outstanding Loans / Mortgages	Loan Protection in place
	€	€	
	€	€	
	€	€	
	€	€	
	€	€	
	€	€	

Business Assets

Name			
Type of Business			
Shareholding	%	%	%
Family Company Yes / No			
Estimate Value	€	€	€
Outstanding Loans	€	€	€
Loan Protection in place			

Farm Assets

Land	€	€	€
Buildings	€	€	€
Stock / Machinery	€	€	€
Other	€	€	€
Outstanding Loans	€	€	€
Loan Protection in place			

Savings and Investments
Bank / Post Office / Investment Bonds / Shares

List	Estimate Value
	€
	€
	€
	€
	€
	€
	€
	€
	€
	€

Personal Property
Paintings / Jewellery / Antiques / Valuables

List	Estimate Value
	€
	€
	€
	€
	€
	€
	€
	€
	€
	€

Life Assurance
Only give details of personal plans to be paid to the estate on death. (not keyperson / shareholder protection plans)

Plan Owner	Life Assured	Sum on Death	Premium paid by	Assigned / In Trust
		€		
		€		
		€		
		€		

Pension Benefits

Pre-Retirement

Client 1	
Pension Fund Value	€
Additional Benefits on Death	€

Client 2	
Pension Fund Value	€
Additional Benefits on Death	€

Post-Retirement (e.g AMRF, ARF, Vested PRSA)

Client 1	
Pension Fund Value	€

Client 2	
Pension Fund Value	€

Additional Information
If there are any other assets, other than those listed above, likely to pass to your beneficiaries on your death, or any other information which you think might be relevant, please give details.