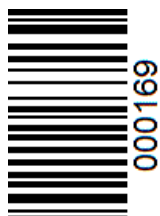




PERSONAL RETIREMENT SAVINGS ACCOUNT (PRSA) TRANSFER IN APPLICATION FORM BROKERAGE



Before you give us your personal information it is important that you know what your data protection rights are and how and why we use your personal information. This is set out in the Irish Life Data Privacy Notice which is always available on our website at <https://www.irishlife.ie> or you can ask us for a copy.

Please complete sections 1, 2, 3, 4 and 5 in all cases, plus the relevant sections outlined below.

Section 1 - Contributor details

Name

Date of birth

Existing PRSA plan number

Section 2 - Source of transfer

Note:

Irish Life will only accept cheques from the trustee/insurance company/PRSA provider transferring the pension fund.

Where has the transfer value come from?

Tick as appropriate.

1. An occupational pension scheme including AVCs (approved under the Finance Act 1972 or Chapter 1 Part 30 of the Taxes Consolidation Act 1997). ☐
2. A retirement annuity contract (personal pension) (approved under S235 of the Income Tax Act 1967 or Chapter 2 Part 30 of the Taxes Consolidation Act 1997). ☐
3. An existing PRSA product (approved under Part X of the Pensions Act 1990 and Chapter 2A, Part 30 of the Taxes Consolidation Act 1997). ☐
4. A pension arrangement outside the State. ☐

Irish Life's PRSA products are approved under Part X of the Pensions Act, 1990 as amended and Part 2A of the Taxes Consolidation Act 1997.

Section 3 - Financial adviser details

Financial Adviser name

Financial Adviser email address

Financial Adviser code

Section 4 - Transferring Life Office details

Life Office Name

Address

Transferring plan type (Occupational/PRSA/RAC) and reference number

Contact name

Contact Phone Number

Contact email address

Further information is required from the Life Office.

Section 5 - Investment Choice (if investing in an existing PRSA)

Existing PRSA Number

Please confirm your fund choice for the transfer amount:

Invest in your current fund choice Yes ☐ Or Other Funds Yes ☐ Or Investment Strategy Yes ☐

Please confirm your fund/investment strategy if not investing in your current choice.

[illegible]

Please note:

- A full list of funds and strategies is available in your PRSA booklet and your terms and conditions booklet.
- If you do not choose a Default Investment Strategy, by signing the declaration you are confirming in writing that you do not wish to do so.
- If you choose an Investment Strategy for your transfer value all of your existing PRSA fund will be moved into that Strategy.
- Access to certain funds may be restricted.

Section 6 - To be completed if transfer is from Occupational Pension Scheme

Confirmation of rules regarding transfers into a PRSA

Irish Life will accept a transfer if:

- the transfer is under €10,000; or
- the transfer is €10,000 or more and it is coming from an Defined Contribution Occupational Pension Scheme and it is accompanied with a Certificate of Comparison & Written Statement; or
- the transfer is coming from a scheme which is being wound-up (no limit).

Irish Life will not accept a transfer if:

- the applicant is or was a member of the scheme for more than 15 years (even if the scheme is winding-up), unless the transfer is made up solely of Additional Voluntary Contributions (AVC) (the above limits then apply).
- the applicant continues to be employed and the scheme is not wound-up, unless the transfer is made up solely of AVCs.
- any benefits have become payable under the scheme.
- the transfer is €10,000 or more and is coming from a Defined Benefit Occupational Pension Scheme which is not winding up.

If any of the above circumstances apply, you should not continue with the application.

We may need to contact your insurance company to get some information about the scheme.

Trustee Declaration

I confirm that a pension adjustment order has ☐ OR has not ☐ been issued on the pension benefits being transferred into this PRSA.

The applicant named on this application form wishes to transfer funds held under an approved occupational pension scheme to an approved Irish Life PRSA contract. I confirm that the scheme is an approved scheme under Finance Act 1972 or Chapter 1, Part 30 of the Taxes Consolidation Act 1997. I confirm that no benefits have been paid to the contributor under the scheme. I declare that the information given on this application form is correct.

[illegible]

2. Name & Address of Trustees

[illegible]

4. Is the transfer from (i) Defined Contribution Arrangement

(ii) Defined Benefit Arrangement

Note:

The investment date will be the date on which we have received all documents required in relation to the processing of the transfer.

Note:

If a Pension Adjustment Order (PAO) has been granted on the transferring scheme we may require further information before we can proceed with this application.

Note:

If AVC only please complete the separate Additional Information if PRSA is being used for AVCs.



Please sign



Please sign and date

5. How long has the individual been a member of the scheme (including years as a member of any other scheme related to this employer or with any person connected with this employer)? yrs
6. Has the member left service with the relevant employer? Yes ☐ No ☐
7. Is the transferring scheme being wound-up? Yes ☐ No ☐
8. Where the transferring scheme is being wound-up, has the Pensions Authority been notified? Yes ☐ No ☐
9. Has the member been provided with an explanation of any rights and options available on the wind-up of the scheme? Yes ☐ No ☐
10. (i) Are all assets of the fund being transferred? Yes ☐ AVC only ☐
or
(ii) Is the transfer value made up of a return of the member's contributions where the member has not completed two full years service within the scheme and is not entitled to preserved benefits? Yes ☐ No ☐

Signed by Second trustee
(Name in BLOCK LETTERS) (Name in BLOCK LETTERS)

Signature Signature
Trustee of the main scheme Trustee of the main scheme

Date

Contributor Declaration

I understand that Irish Life is required to get information from the transferring trustees / life office / employer and I authorise them to give Irish Life this information. I wish to go ahead with this transfer and I confirm that no benefits have been paid to me under the scheme.

Signed by
(name in BLOCK CAPITALS)

Signature
(Contributor / Applicant named on this application form)

Date

Section 7 - To be completed if transfer is from Retirement Annuity Contract (Personal Pension Plan)

No benefit should have been paid to the contributor under the original Retirement Annuity Contract (RAC) in the form of a tax-free lump sum, annuity or ARF option.

Value of assets being transferred: €

Name of insurance company holding the assets of the RAC:

Address of the insurance company:

Contributor Declaration

I wish to transfer the assets of my Retirement Annuity Contract (RAC) to an approved Irish Life PRSA(s). I understand that Irish Life is required to obtain any information it regards as relevant from the Company, named above, in order to facilitate the transfer of RAC funds. I authorise that company to give Irish Life any relevant information required. I declare that the RAC from which the transfer is being made has been cancelled.



Please sign and date

Signature
(Contributor / Applicant named on this application form)

Date

Section 8 - To be completed if transfer is from existing PRSA product

Value of assets being transferred: €

[illegible][illegible][illegible]

2. Is the transfer from an Additional Voluntary Contributions PRSA (AVC PRSA)? Yes ☐ No ☐

Contributor Declaration

I wish to transfer the assets of my PRSA contract to an approved Irish Life PRSA(s). I understand that Irish Life is required to obtain any information it regards as relevant from the original PRSA provider in order to facilitate the transfer of PRSA assets. I authorise that provider to give Irish Life any relevant information required.

Signature

(Contributor / Applicant named on this application form)

Date

d	d	/	m	m	/	y	y	y	y
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If this is an AVC only please fill in the seperate Additional Information if PRSA is being used for AVCs form.

Section 9 - To be completed if transfer is from a pension arrangement outside the Republic of Ireland.

Note: Irish Life PRSAs are not QROPS

1. Type of pension arrangement from which funds are being transferred (occupational pension, personal pension, other):

[illegible][illegible]

3. Value of assets being transferred: €

4. Has the above scheme / contract been approved by the Regulator in that country as a pension arrangement? Yes ☐ No ☐

5. Does the Regulator in that country allow this transfer out? Yes ☐ No ☐

6. Does the scheme / contract allow this transfer out? Yes ☐ No ☐

7. Has the Pension Adjustment Orders or similar order been issued on pension benefits being transferred into this PRSA? Yes ☐ No ☐

If yes, please confirm if the amount being transferred relates to the member or to the non-member spouse. Further information may also be required on some transfers involving Pension Adjustment Orders. (A Pension Adjustment Order is an order that grants part of the member's pension to his / her spouse in the event of divorce or seperation.)

8. Has the scheme / contract accepted a transfer from an Irish pension arrangement within the last year? Yes ☐ No ☐

9. Name and address of the scheme/contract's trustee/administrator/custodian/manager/insurance company authorised to allow the transfer:

[illegible][illegible]

Name _____

Address	
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[illegible]

[illegible][illegible][illegible][illegible]

Trustee / Administrator Declaration

The contributor named on this application form wishes to transfer funds held under the approved pension arrangement named above to an approved Irish Life PRSA contract. I confirm that the information provided in Section 9 above is correct.

[illegible]

(Name in Block capitals)



Please sign

authorised to sign for and on behalf of the scheme / administrator

d	d	/	m	m	/	y	y	y	y
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[illegible][illegible]

Overseas Transfers to PRSA

Contributor Declaration

☐ Yes

☐ No

Note: The Revenue Commissioners has stated that we cannot accept a transfer value from an Overseas Pension Scheme unless you are resident in the Republic of Ireland for tax purposes.

Which country were you resident in prior to becoming resident in the Republic of Ireland (for tax purposes)?

[illegible]

Please date

d	d	/	m	m	/	y	y	y	y
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I wish to transfer the assets of my overseas pension arrangement to an approved PRSA product with Irish Life Assurance plc. I am aware of the retirement options available under the Irish pension plan and understand that they may be different to those offered in the overseas pension arrangement. I am aware of the tax implications on drawing benefits under the Irish pension plan.

I agree to allow Irish Life Assurance plc obtain any information it regards as relevant from the trustee, administrator, custodian, manager or company named above, in order to facilitate the transfer. I authorise that trustee, administrator, custodian, manager or company to give Irish Life any relevant information required.

I am aware of the tax implications of drawing benefits under the Irish pension plan.

I declare that the answers to the above statements in Section 9 are correct.



Please sign and date

(Contributor / Applicant named on this application form)

d	d	/	m	m	/	y	y	y	y
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Please note that by transferring your pension to this PRSA your fund will fall under the Republic of Ireland thresholds for maximum pension funds and tax retirement lump sums. For more information please speak to your financial adviser.

Irish Life Assurance plc is regulated by the Central Bank of Ireland.

Irish Life Assurance plc, Registered in Ireland number 152576, VAT number 9F55923G.



Irish Life