

# Risk profiling Questionnaire

This risk profiling questionnaire is developed by eValue.

eValue are a market leading company in the risk profiling market in the financial industry.

## About eValue

- They have more than twenty years of experience helping people understand investment risk - more experience than anybody else in the market.
- They provide powerful analysis, financial planning, forecasting and reporting solutions for the financial services industry.
- They are used by more than 80% of UK financial institutions and their software is accessed by over 50% of the adviser market.

## About the questionnaire

This questionnaire helps identify the amount of risk you are prepared to take. This type of risk profiling works by establishing your attitude towards common investment risk such as:

- capital loss;
- not meeting a desired investment objective; and
- investment volatility (the potential ups and downs that a fund may experience over time).

By completing the 15 questions in this questionnaire your financial broker is able to identify your attitude to risk. Therefore, this recommendation is personal to you based on your answers to the questionnaire.

**The questions ask about your thoughts, attitudes and experiences when making financial investments and decisions. There are no right or wrong answers. Don't spend too much time considering – your first response is probably best and answer every question even if you feel it doesn't really apply to you. Also, please give only one answer to each question.**

Finally, if your circumstances change in the future you may need to complete this questionnaire again to see if your attitude to risk has also changed.

|                              |                      |
|------------------------------|----------------------|
| Client's Name                | <input type="text"/> |
| Date of birth                | <input type="text"/> |
| Client's Signature           | <input type="text"/> |
| Date                         | <input type="text"/> |
| Financial Broker's Name      | <input type="text"/> |
| Financial Broker's Signature | <input type="text"/> |

# Risk Questionnaire

**Q1. I would enjoy exploring investment opportunities for my money.**

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

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**Q2. I would go for the best possible return even if there were risk involved.**

- Always
- Usually
- Sometimes
- Rarely
- Never

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**Q3. How would you describe your typical attitude when making important financial decisions?**

- Very adventurous
- Fairly adventurous
- Average
- Fairly cautious
- Very cautious

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**Q4. What amount of risk do you feel you have taken with your past financial decisions?**

- Very large
- Large
- Medium
- Small
- Very small

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**Q5. To reach my financial goal I prefer an investment which is safe and grows slowly but steadily, even if it means lower growth overall.**

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

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**Q6. When I consider investments that have an element of risk I feel quite anxious.**

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

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**Q7. Imagine that six months after making an investment the financial markets start to perform badly. In line with this, your own investment goes down by a significant amount. What would your reaction be?**

- Transfer your money to a more secure investment product to reduce the risk of further losses
- Monitor the investment and wait to see if it improves
- Invest more funds to take advantage of the lower price, expecting future growth

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**Q8. I am looking for high investment growth. I am willing to accept the possibility of greater losses to achieve this.**

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

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**Q9. If you had money to invest, how much would you be willing to place in an investment with possible high returns but a similar chance of losing some of your money?**

- All of it
- More than half
- Half
- Less than half
- None

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**Q10. How do you think that a friend who knows you well would describe your attitude to taking financial risks?**

- Daring
- Sometimes daring
- A thoughtful risk taker
- Careful
- Very cautious and risk averse

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**Q11. If you had picked an investment with potential for large gains but also the risk of large losses how would you feel:**

- Panicked and very uncomfortable
- Quite uneasy
- A little concerned
- Accepting of the possible highs and lows
- Excited by the potential for gain

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**Q12. Imagine that you have some money to invest and a choice of two investment products, which option would you choose?**

- A product with a low average annual return with almost no risk of loss of the initial investment
- A product with a higher average annual return but some risk of losing part of the initial investment
- A mixture of the above

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**Q13. I would prefer small certain gains to large uncertain ones**

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

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**Q14. When considering a major financial decision which statement BEST describes the way you think about the possible losses or the possible gains?**

- I'm excited about the possible gains
- I'm optimistic about possible gains
- I think about both the possible gains and losses
- I am conscious of the possible losses
- I worry about the possible losses

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**Q15. I want my investment money to be safe even if it means lower returns**

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

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