



# Recurrent Neural Network vs. Cascaded Recurrent Neural Network for Land Cover Classification

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# Overview

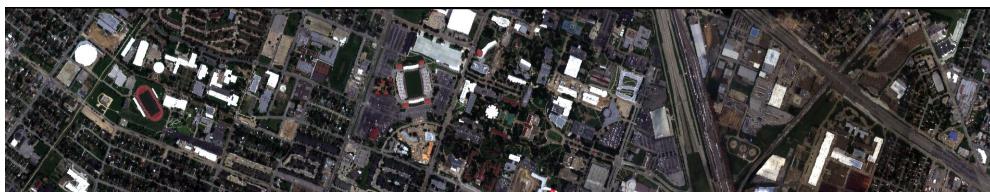
1. Project Description
  2. Houston Dataset
  3. Recurrent Neural Network (RNN)
    - a. Idea behind RNN
    - b. RNN for Land Cover Classification
    - c. Hyperparameters for RNN
    - d. Experiments for RNN
  4. Cascaded Recurrent Neural Network (CasRNN)
    - a. Basic Structure
    - b. Hyperparameters for CasRNN
    - c. Experiments for CasRNN
  5. Comparison of the models
  6. Conclusion
- /.

# Project Description:

- Given:
  - Code for:
    - RNN using GRU;
    - CasRNN using GRU;
    - PyTorch.
  - Houston Dataset.
- To do:
  - Investigate the influence of hyperparameters;
  - Compare the processing time (training and inference);
  - Compare the accuracies:
    - Overall accuracy;
    - Average accuracy;
    - Cohen's Kappa;
  - Produce classification maps.

# Houston Dataset

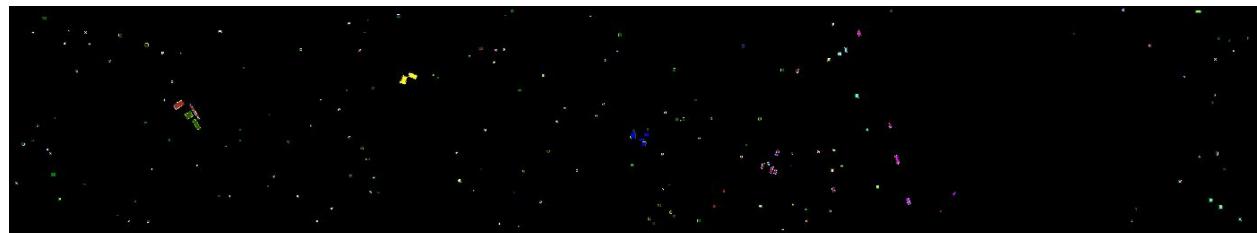
- 15 classes;
- 2832 training samples;
- 12179 test samples;
- 180 - 200 samples for a class;
- Special dimensions are  $349 \times 1905$ ;
- 144 spectral bands;
- the area of University of Houston campus and the neighboring urban area;
- the three-band false color composite:



| Class name      | Training samples | Test samples | Total samples |
|-----------------|------------------|--------------|---------------|
| Healthy grass   | 198              | 1053         | 1251          |
| Stressed grass  | 190              | 1064         | 1254          |
| Synthetic grass | 192              | 505          | 697           |
| Trees           | 188              | 1056         | 1244          |
| Soil            | 186              | 1056         | 1242          |
| Water           | 182              | 143          | 325           |
| Residential     | 196              | 1072         | 1268          |
| Commercial      | 191              | 1053         | 1244          |
| Road            | 193              | 1059         | 1252          |
| Highway         | 191              | 1036         | 1227          |
| Railway         | 181              | 1054         | 1235          |
| Parking Lot 1   | 192              | 1042         | 1234          |
| Parking Lot 2   | 184              | 285          | 469           |
| Tennis Court    | 181              | 247          | 428           |
| Running Track   | 187              | 473          | 660           |
| Total           | 2832             | 12179        | 15011         |

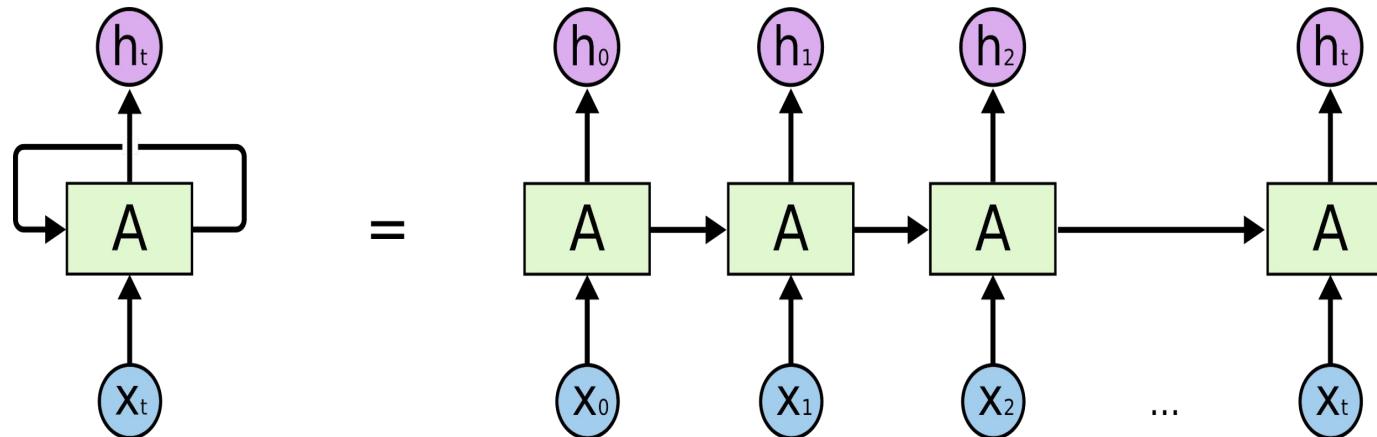
# Training, Validation, Testing data

- The initial training data was split at random into training set (0.9%, 2548 samples) and validation set (0.1%, 284 samples).
- validation set was used for hyperparameter tuning;
- test data was used only for the final evaluation when the best hyperparameters were chosen;
- top:  
initial training data;
- bottom:  
test set.



# Recurrent Neural Network (RNN)

- sequential data;
- looks at the current input and gets information from the previous inputs (memory);
- **Gated Recurrent Unit:**
  - addresses vanishing gradient problem;
  - has less complex structure than Long Short Term Memory (LSTM)  $\Rightarrow$
  - computationally more efficient and more suitable for less data.



# RNN for Land Cover Classification

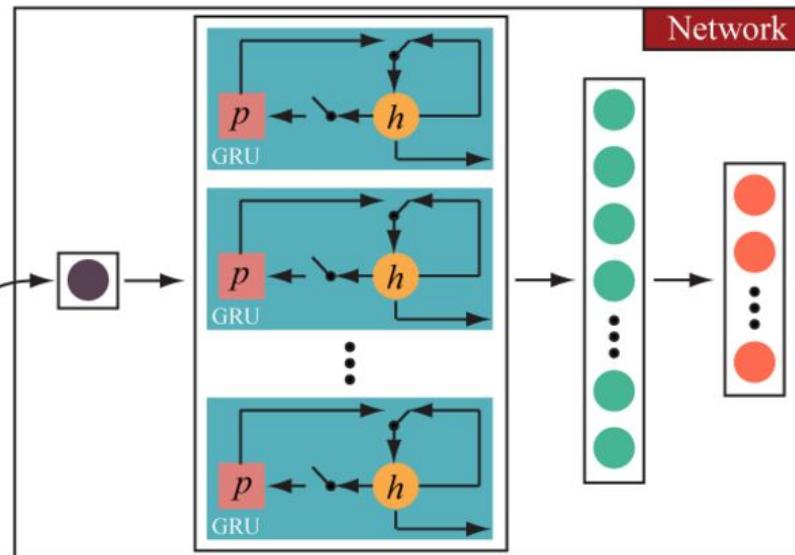
- analyze hyperspectral pixel as sequential data
- apply to all pixels of the image

Hyperspectral Image

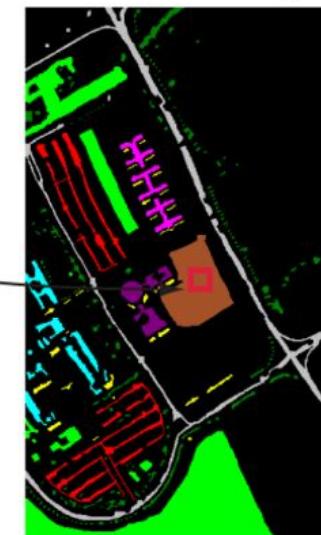


One Pixel

$x^{k-1}$   
 $x^k$   
 $x^{k+1}$



Classification Map



Input Layer

Recurrent Layer + Batch Normalization + PReLU

Fully Connected Layer

Softmax Layer

# Hyperparameters

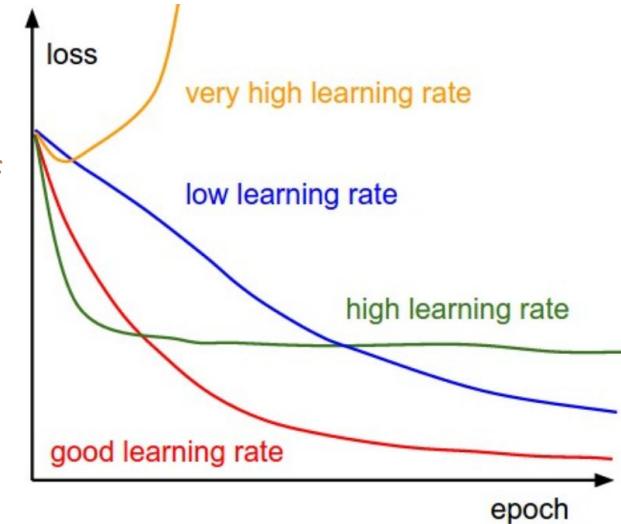
- not RNN specific:

- Learning rate:

- controls how much weights of the network are adjusted with respect to the loss gradient;
    - too low, then the training will take a lot of time and resources;
    - too high, then loss may not converge or even diverge.

- Number of Epochs:

- epoch is one forward pass and one backward pass of all the training examples;
    - depends on learning rate.

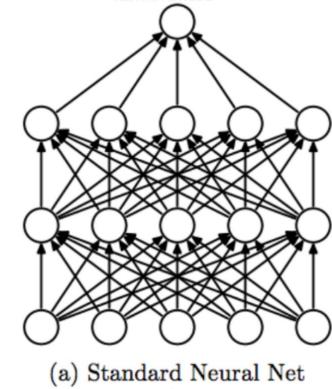


# Hyperparameters

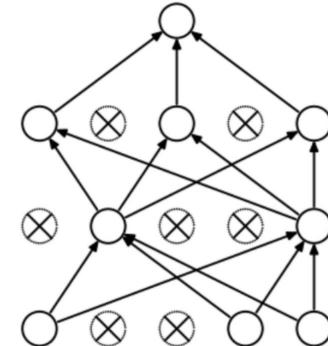
- not RNN specific:

- Dropout:

- *to prevent overfitting*: helps to reduce interdependent learning amongst the neurons, type of regularization in neural networks;
    - forces a neural network to learn more robust features.
    - *at each training stage*: individual nodes are either dropped out of the net with probability  $1-p$  or kept with probability  $p$ , a reduced network is left; incoming and outgoing edges to a dropped-out node are also removed;
    - *testing phase*: use all activations, but reduce them by a factor  $p$  (to account for the missing activations during training).



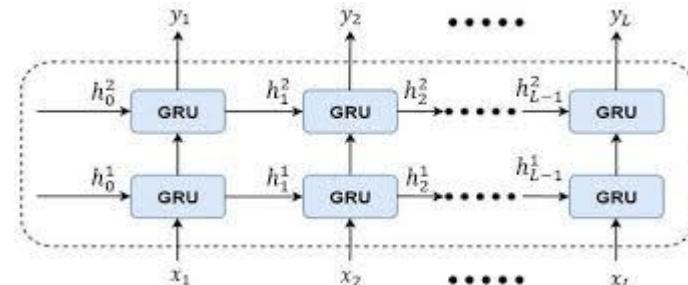
(a) Standard Neural Net



(b) After applying dropout.

# Hyperparameters

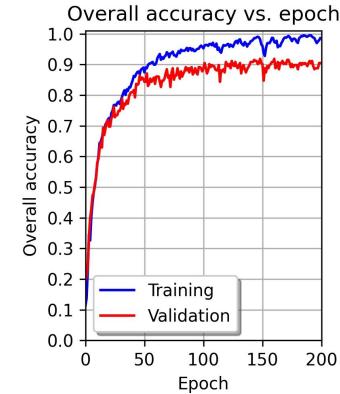
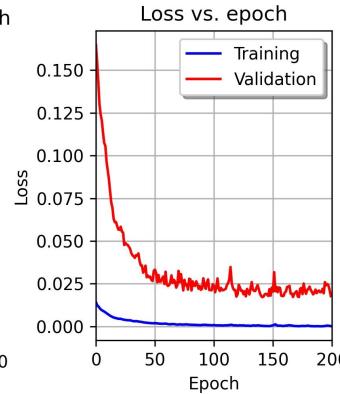
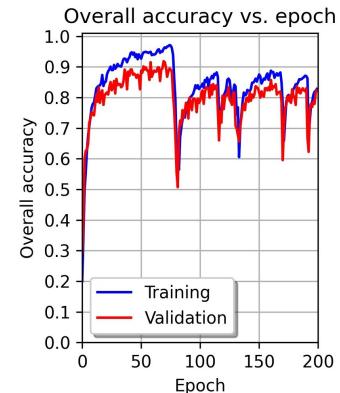
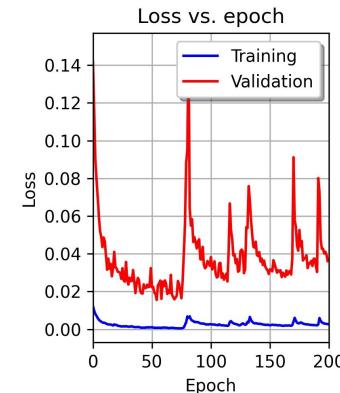
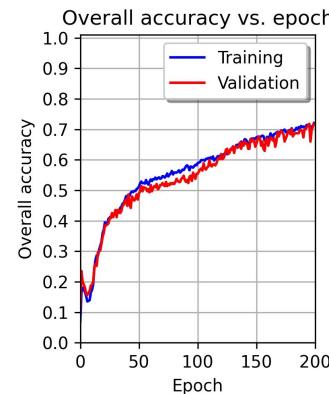
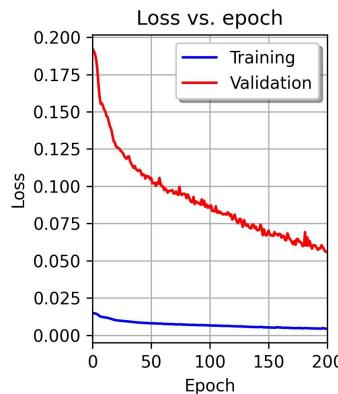
- RNN specific:
  - Size of RNN (hidden size)
    - the number of features in the hidden state;
  - Number of Layers for RNN
    - the number of recurrent layers;
  - Dropout
    - introduces a dropout layer on the outputs of each RNN layer except the last layer.



# Experiments for RNN

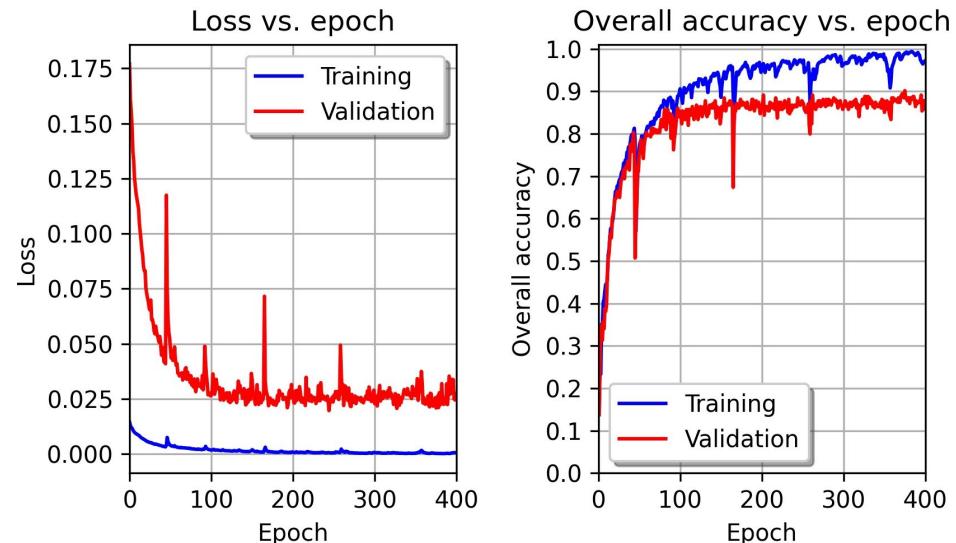
- Learning rate:

- $\text{lr} = \{0.0001, 0.001, 0.01\}$ 
  - 0.0001 bottom left;
  - 0.001 bottom right;
  - 0.01 top right;
- hidden size = 128;
- number of layers = 2;
- dropout = 0.



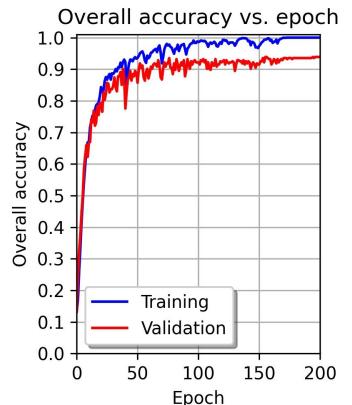
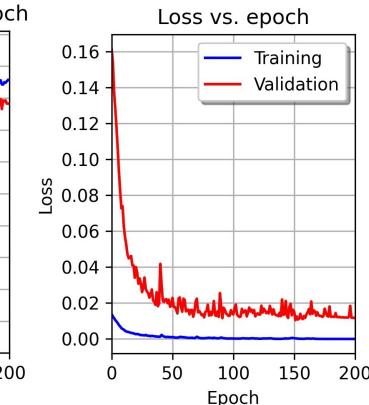
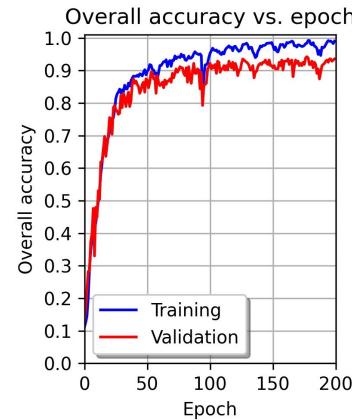
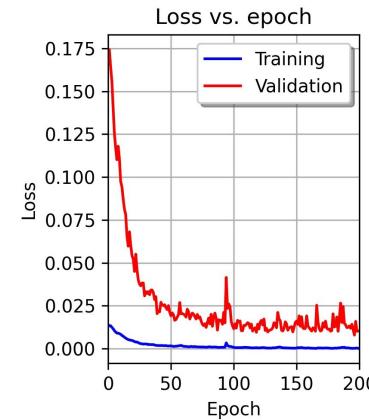
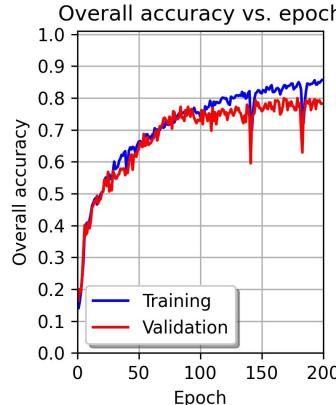
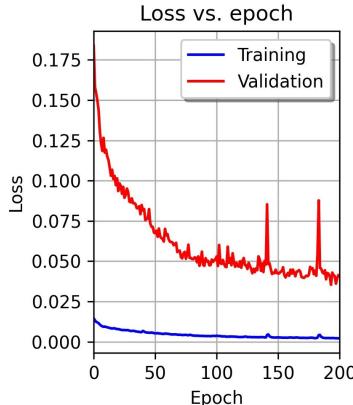
# Experiments for RNN

- Number of epochs:
  - $\text{lr} = 0.001$ ;
  - hidden size = 128;
  - number of layers = 2;
  - dropout = 0.
  - Observation:
    - validation loss does not decrease after 200 epoch  $\implies$
    - fix 200 epochs.



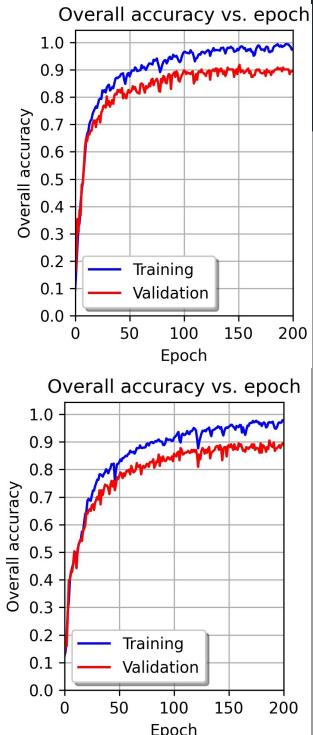
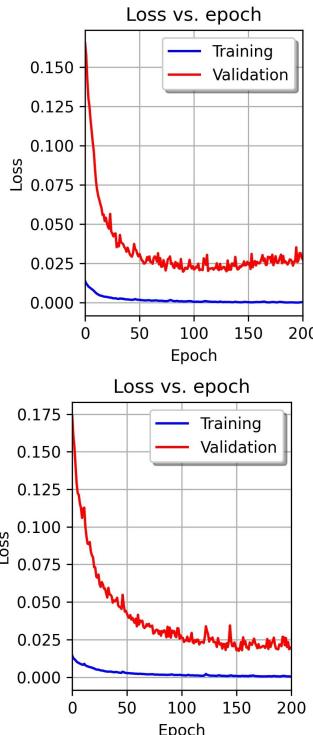
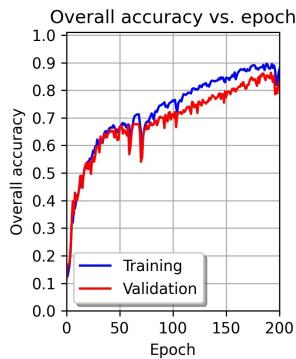
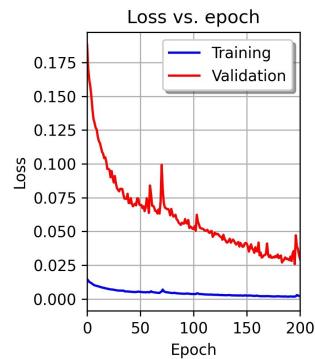
# Experiments for RNN

- Number of layers:
  - number layers = {1, 2, ..., 12}
    - 1 bottom left; 2 bottom right;  
12 top right;
  - lr = 0.001;
  - epoch = 200;
  - hidden size = 128;
  - dropout = 0.



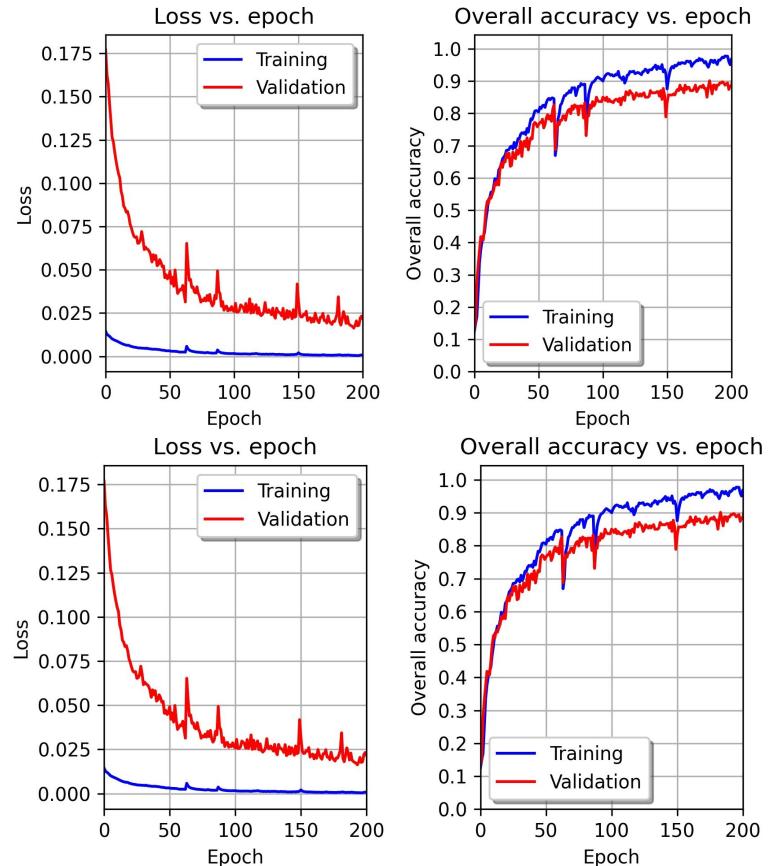
# Experiments for RNN

- Hidden size:
  - first iteration
    - hidden size = {50, 100, 150, 200, 250}
      - 50 bottom left;
      - 100 bottom right;
      - 150 top right.
  - lr = 0.001;
  - epoch = 200;
  - num layers = 2;
  - dropout = 0.



# Experiments for RNN

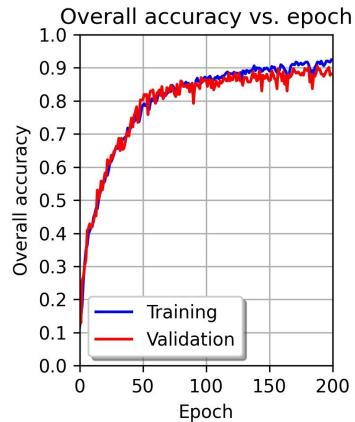
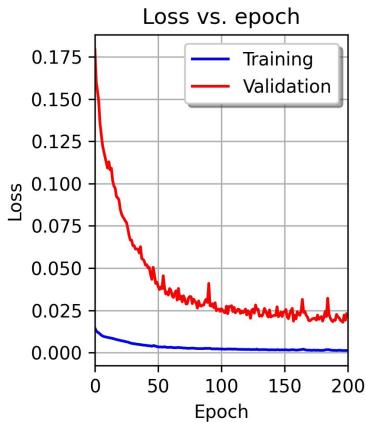
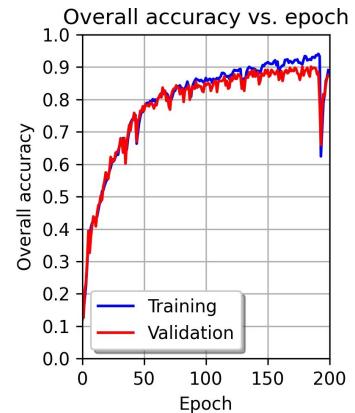
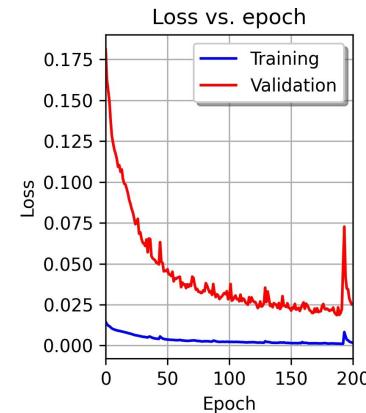
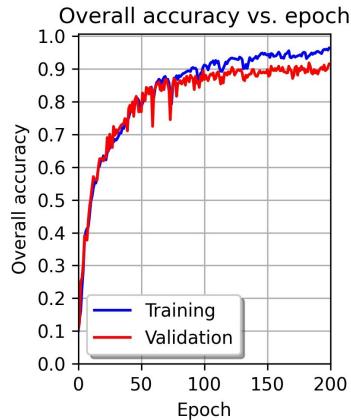
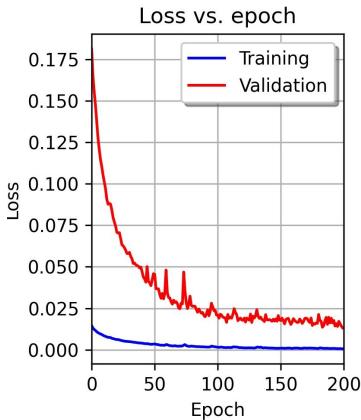
- Hidden size:
  - second iteration
    - hidden size = {80, 110, 120}
      - 80 bottom right;
      - 120 top right.
  - lr = 0.001;
  - epoch = 200;
  - number layers = 2;
  - dropout = 0.



# Experiments for RNN

- Dropout:

- dropout = {0, 0.3, 0.5, 0.7}
  - 0.3 bottom left; 0.5 bottom right; 0.7 top;
- lr = 0.001;
- epoch = 200;
- num layers = 2;
- hidden size = 80.



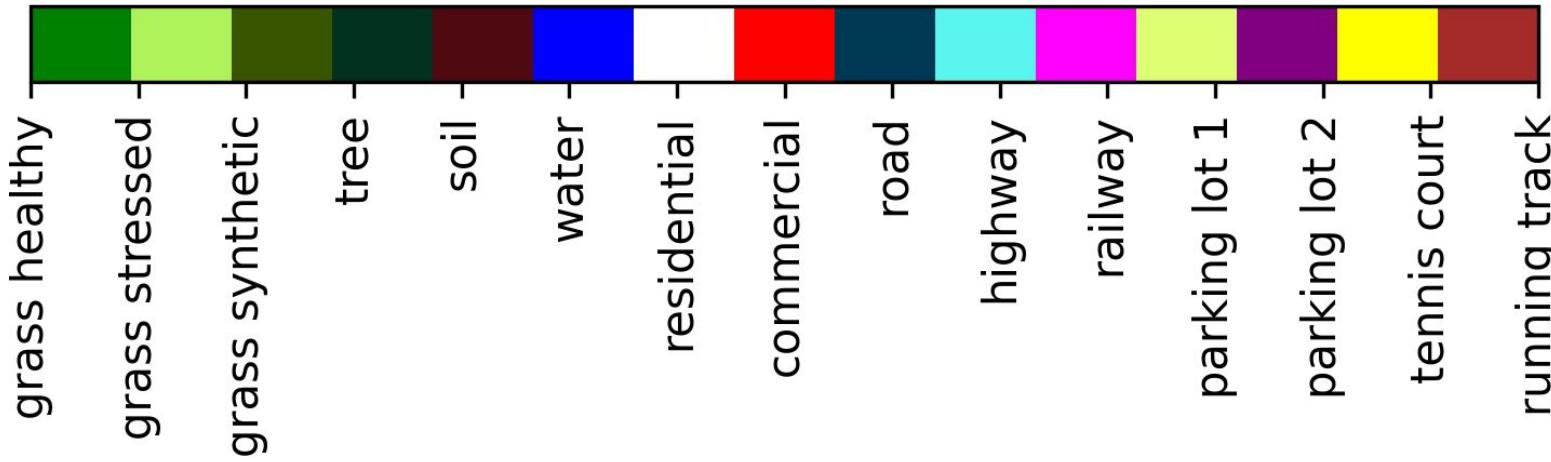
# Confusion matrix for RNN

- Final parameters:
  - lr = 0.001;
  - epoch = 200;
  - num layers = 2;
  - hidden size = 80;
  - dropout = 0.3,
- Best prediction accuracy:
  - water - 0.98%;
  - grass stressed - 99%;
  - tennis court - 97%;
- Worst prediction accuracy:
  - commercial - 42%;
  - parking lot 2 - 31%.
  - highway - 65%
  - road - 24%.

| Confusion matrix |               |                |                 |      |      |       |             |            |      |         |         |               |               |              |               |
|------------------|---------------|----------------|-----------------|------|------|-------|-------------|------------|------|---------|---------|---------------|---------------|--------------|---------------|
| True label       | grass healthy | grass stressed | grass synthetic | tree | soil | water | residential | commercial | road | highway | railway | parking lot 1 | parking lot 2 | tennis court | running track |
|                  | 0.82          | 0.13           | 0.00            | 0.03 | 0.00 | 0.00  | 0.00        | 0.00       | 0.00 | 0.00    | 0.00    | 0.00          | 0.00          | 0.00         | 0.01          |
|                  | 0.02          | 0.93           | 0.00            | 0.00 | 0.00 | 0.00  | 0.00        | 0.00       | 0.00 | 0.00    | 0.00    | 0.00          | 0.00          | 0.05         | 0.00          |
|                  | 0.00          | 0.00           | 0.99            | 0.00 | 0.00 | 0.00  | 0.00        | 0.00       | 0.00 | 0.00    | 0.00    | 0.00          | 0.00          | 0.00         | 0.00          |
|                  | 0.01          | 0.05           | 0.00            | 0.91 | 0.00 | 0.00  | 0.00        | 0.00       | 0.00 | 0.00    | 0.00    | 0.00          | 0.00          | 0.00         | 0.01          |
|                  | 0.00          | 0.00           | 0.00            | 0.00 | 0.92 | 0.00  | 0.00        | 0.04       | 0.00 | 0.00    | 0.00    | 0.00          | 0.00          | 0.01         | 0.01          |
|                  | 0.00          | 0.00           | 0.00            | 0.00 | 0.00 | 0.98  | 0.00        | 0.00       | 0.01 | 0.01    | 0.00    | 0.00          | 0.00          | 0.00         | 0.00          |
|                  | 0.00          | 0.00           | 0.10            | 0.00 | 0.00 | 0.01  | 0.71        | 0.03       | 0.00 | 0.02    | 0.02    | 0.04          | 0.00          | 0.05         | 0.00          |
|                  | 0.00          | 0.00           | 0.00            | 0.01 | 0.02 | 0.02  | 0.03        | 0.42       | 0.04 | 0.03    | 0.03    | 0.08          | 0.31          | 0.01         | 0.00          |
|                  | 0.00          | 0.00           | 0.00            | 0.00 | 0.02 | 0.00  | 0.00        | 0.00       | 0.73 | 0.13    | 0.04    | 0.06          | 0.01          | 0.00         | 0.00          |
|                  | 0.00          | 0.00           | 0.04            | 0.00 | 0.00 | 0.00  | 0.02        | 0.00       | 0.24 | 0.65    | 0.02    | 0.02          | 0.01          | 0.00         | 0.00          |
|                  | 0.00          | 0.00           | 0.01            | 0.02 | 0.00 | 0.00  | 0.10        | 0.01       | 0.04 | 0.04    | 0.73    | 0.01          | 0.01          | 0.02         | 0.00          |
|                  | 0.00          | 0.00           | 0.00            | 0.00 | 0.01 | 0.00  | 0.01        | 0.04       | 0.20 | 0.05    | 0.06    | 0.57          | 0.06          | 0.00         | 0.00          |
|                  | 0.00          | 0.00           | 0.02            | 0.00 | 0.01 | 0.01  | 0.05        | 0.09       | 0.02 | 0.01    | 0.02    | 0.19          | 0.56          | 0.01         | 0.00          |
|                  | 0.00          | 0.00           | 0.00            | 0.00 | 0.00 | 0.00  | 0.01        | 0.00       | 0.00 | 0.00    | 0.00    | 0.00          | 0.97          | 0.00         | 0.00          |
|                  | 0.00          | 0.01           | 0.00            | 0.00 | 0.02 | 0.00  | 0.00        | 0.00       | 0.00 | 0.00    | 0.00    | 0.01          | 0.00          | 0.96         | 0.00          |

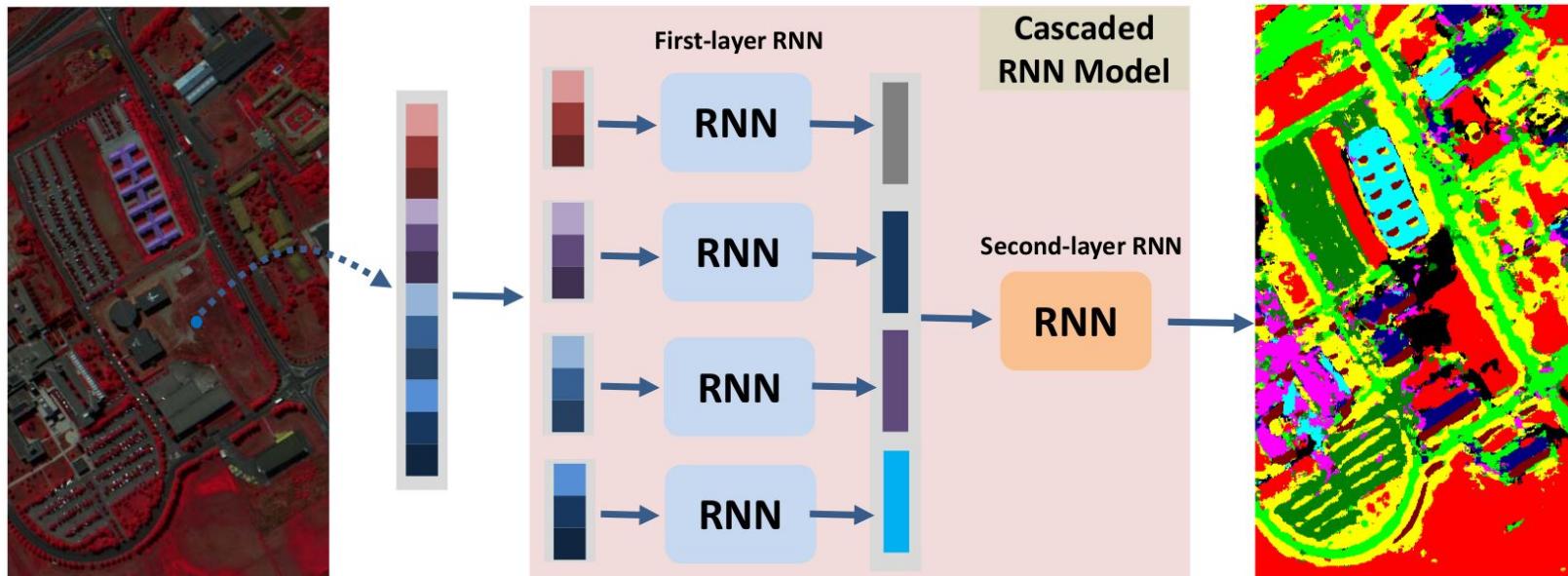
True label  
Predicted label  
overall accuracy=0.7617; misclass=0.2383

# Classification Map for RNN



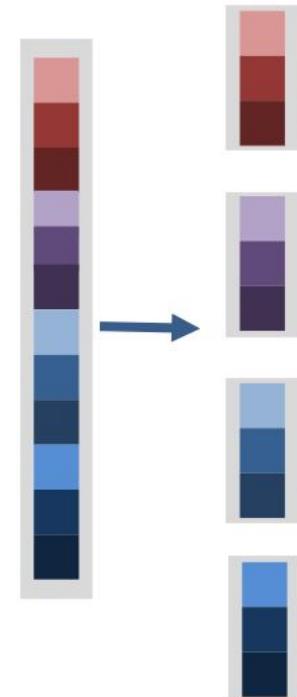
# Cascaded Recurrent Neural Network (CasRNN)

- contains two RNN layers;
- the first layer reduces redundant information;
- the second layer learns complementary information.



# Hyperparameters

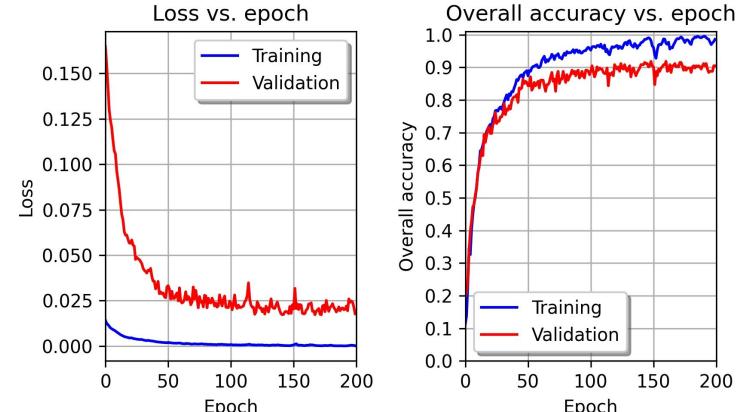
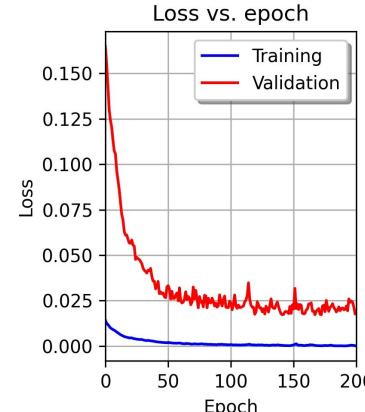
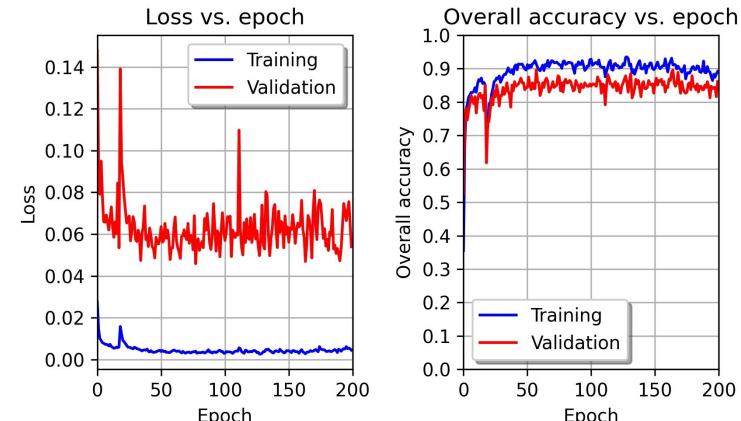
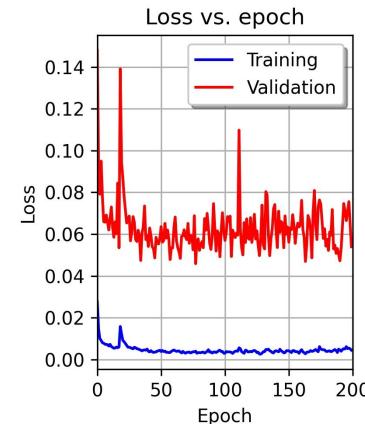
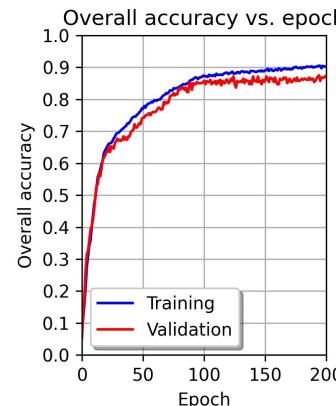
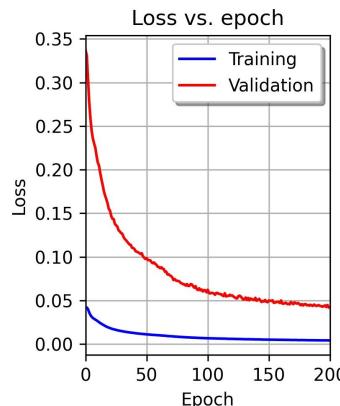
- As before:
  - learning rate;
  - number of epochs;
  - hidden size of RNN;
  - number of layers for RNN;
  - dropout.
- CasRNN specific:
  - sub-sequence number **b**:
    - we divide the spectral sequence **z** into **b** sub-sequences;
    - $\mathbf{z} = (z_1, z_2, \dots, z_b)$ , where **z** is a spectral sequence;



# Experiments for CasRNN

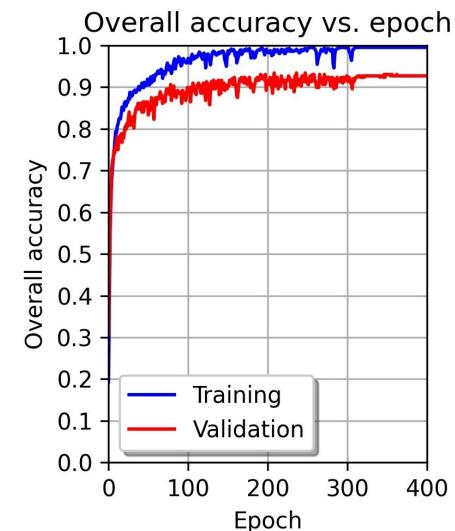
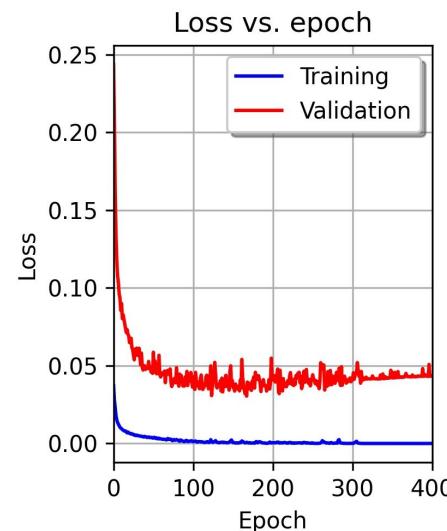
- Learning rate:

- $\text{lr} = \{0.0001, 0.001, 0.01\}$ 
  - 0.0001 bottom left;
  - 0.001 bottom right;
  - 0.01 top right;
- hidden size 1 = hidden size 2 = 80;
- num layers 1 = num layers 2 = 2;
- dropout 1 = dropout 2 = 0.



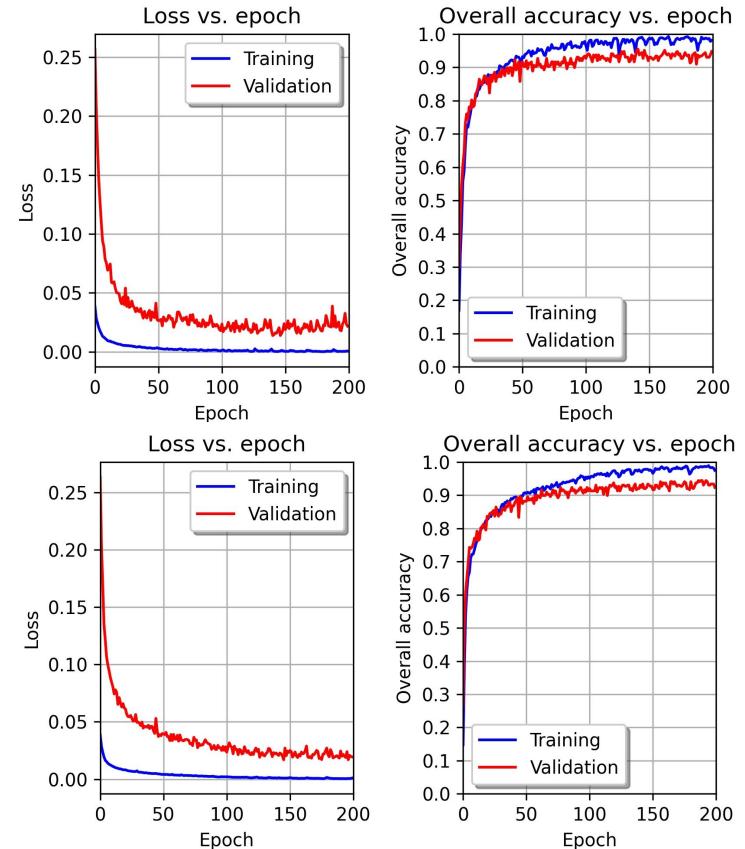
# Experiments for CasRNN

- Number of epochs:
  - $\text{lr} = 0.001$ ;
  - hidden size 1 = hidden size 2 = 80;
  - num layers 1 = num layers 2 = 2;
  - dropout 1 = dropout 2 = 0.
  - Observation:
    - validation loss starts to grow after 200 epoch  $\Rightarrow$
    - possible overfitting  $\Rightarrow$
    - fix 200 epochs.



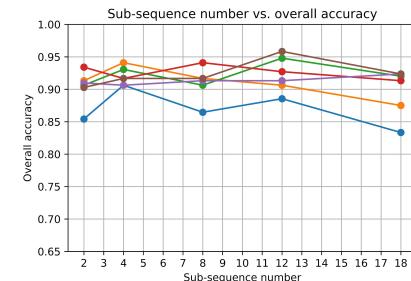
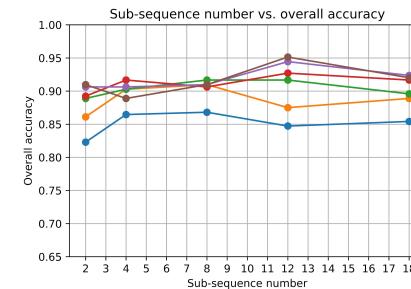
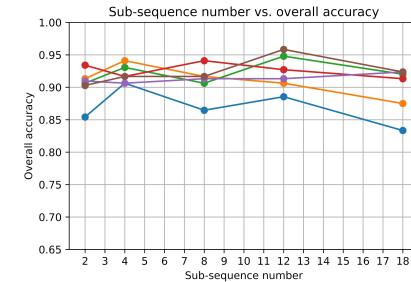
# Experiments for CasRNN

- Number of layers:
  - all combinations of
    - number layers 1 = {1, 2, 3};
    - number layers 2 = {1, 2, 3};
      - (1, 1) bottom;
      - (3, 3) top;
  - lr = 0.001;
  - epoch = 200;
  - hidden size 1 = hidden size 80;
  - dropout 1 = dropout 2 = 0.



# Experiments for CasRNN

- Hidden size and sub-sequence number:
  - all combinations of:
    - hidden size 1 = {16, 32, 64, 128, 256, 384}
    - hidden size 2 = {16, 32, 64, 128, 256, 384}
    - sub-sequence number = {2, 4, 8, 12, 16, 18}
  - lr = 0.001;
  - epoch = 200;
  - num layers 1 = num layers 2 = 1.



# Confusion matrix for CasRNN

- Final parameters:

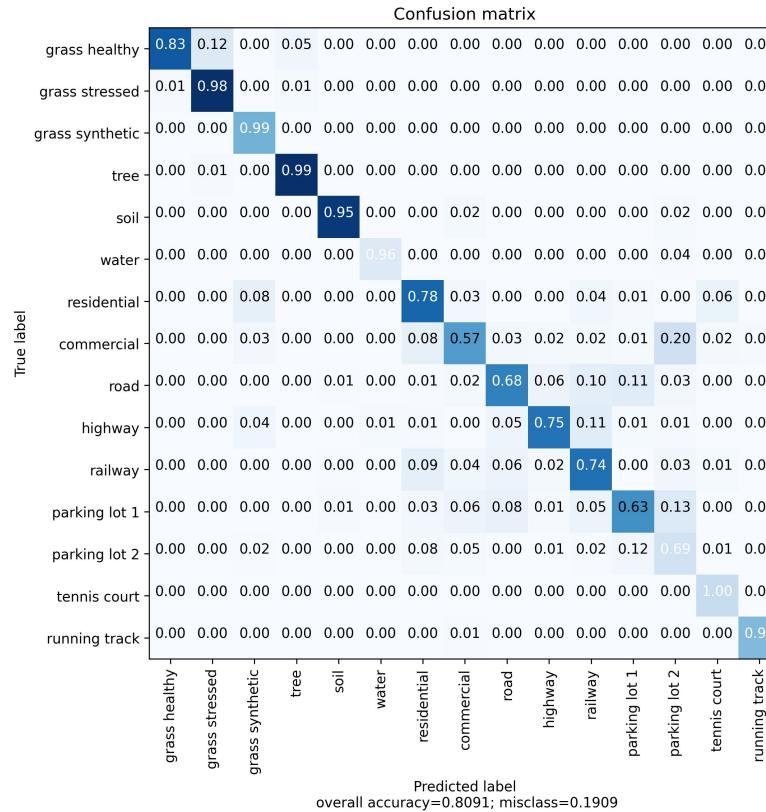
- $\text{lr} = 0.001$ ;
- $\text{epoch} = 200$ ;
- $\text{num layers 1} = 1$ ;
- $\text{num layers 2} = 1$ ;
- $\text{sub-sequence number} = 12$ ;
- $\text{hidden size 1} = 256$ ;
- $\text{hidden size 2} = 384$ ;

- Best predictions:

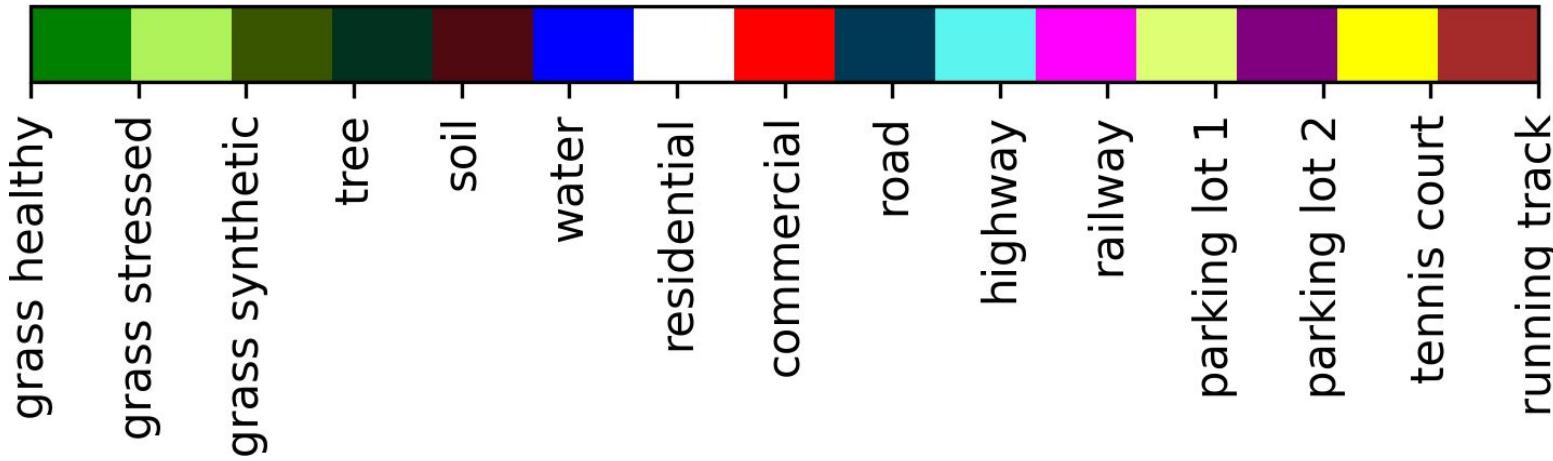
- grass stressed (98%), grass synthetic (99%), water (96%), running track (98%); tennis court (100%)

- Worst predictions:

- commercial (57%)



# Classification Map for CasRNN



# Comparison of RNN and CasRNN

- CasRNN outperforms RNN:
  - at 5% for OA, AA and Kappa;
- CasRNN has 37 times more parameters;
- RNN is faster:
  - 4 times at training;
  - 3 times at inference;
- RNN is more efficient (3.5 times).

| Parameters                  | RNN    | CasRNN  |
|-----------------------------|--------|---------|
| Overall accuracy, %         | 76.17  | 80.8    |
| Average accuracy, %         | 79.01  | 83.9    |
| Cohens kappa, %             | 74.22  | 79.3    |
| Number trainable parameters | 60015  | 2226063 |
| Training time, sec          | 68.8   | 293.6   |
| Inference time, sec         | 0.14   | 0.45    |
| Efficiency, pixel / sec     | 102344 | 29421   |

# Comparison of RNN and CasRNN



# Conclusion

- CasRNN outperforms RNN in accuracy.
- Confirms the results of the paper on the Houston dataset.
- It is harder to find the best hyperparameters for CasRNN.
- Hyperparameter tuning is crucial topic.

The End

Questions?

# Accuracy

- Overall accuracy defines the ratio between the number of correctly classified pixels to the total number of pixels in the test set;
- Average accuracy refers to the average of accuracies in all classes;
- Kappa is the percentage of agreement corrected by the number of agreements that would be expected purely by chance.

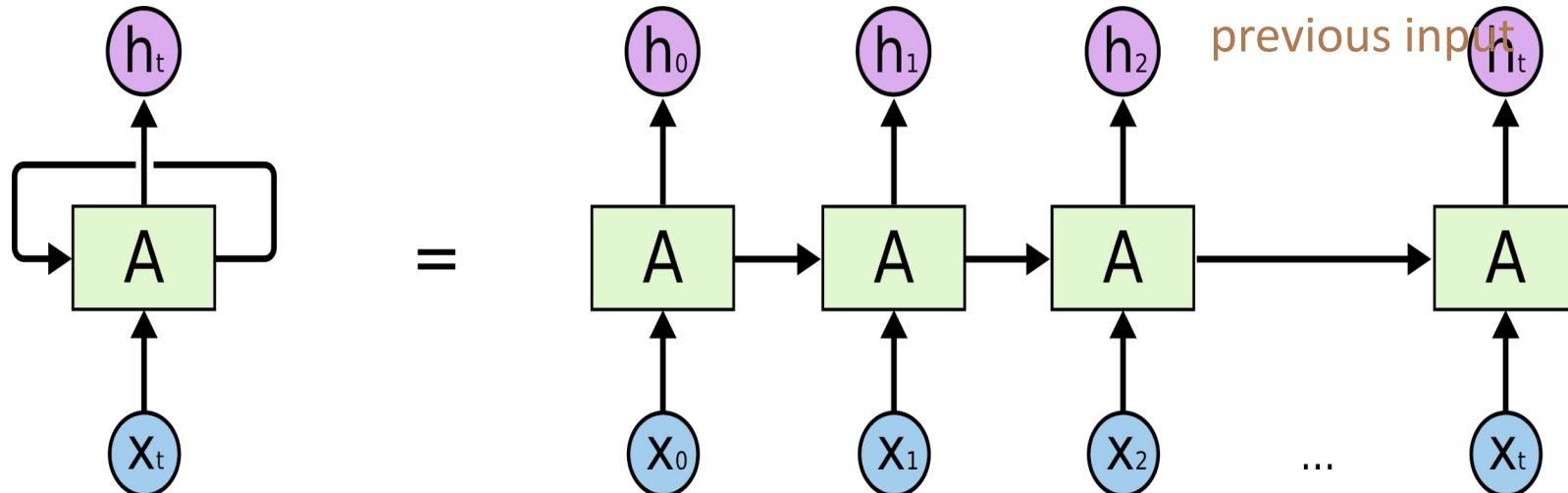
# Recurrent Neural Network (RNN)

Input

→ Hidden → Output

Input + Previous Hidden → Hidden → Output

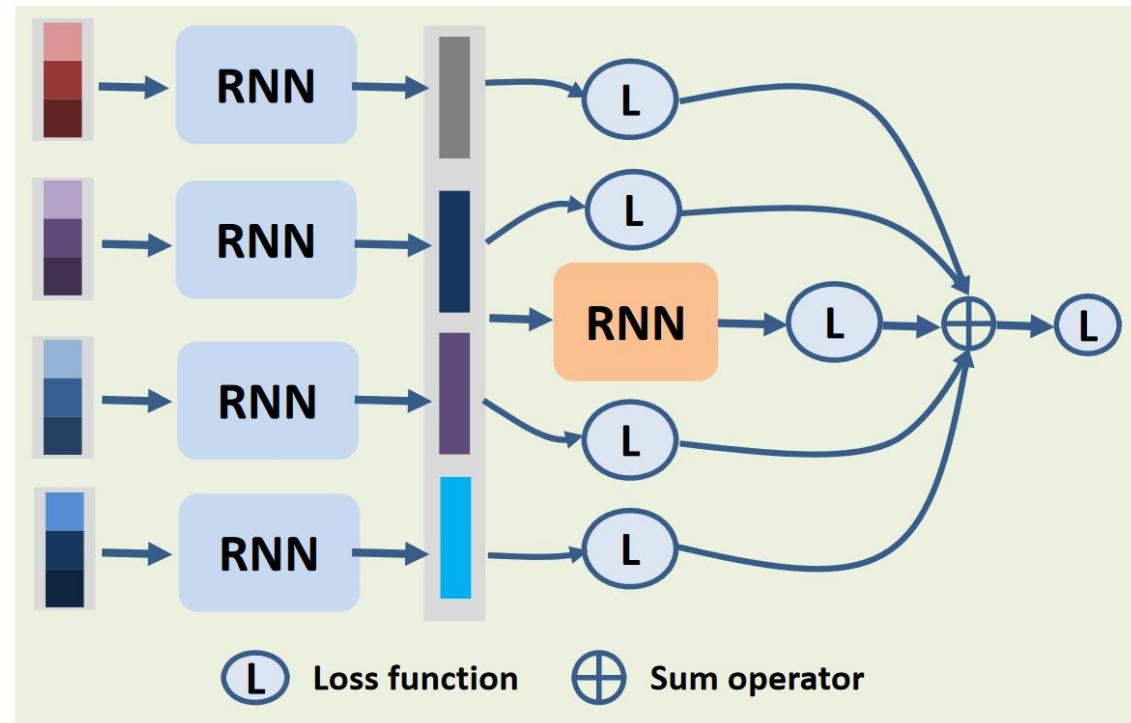
- sequential data
- looks at the current input being presented to it but also the previous input



# Improvements of CasRNN

- based on output-level connections (CasRNN-O)
- feed the output of the first and the second layer RNNs into the output layer as a weighted sum

$$\tilde{L} = \frac{1}{l} \sum_{i=1}^l w_i^{(1)} L_i^{(1)} + w^{(2)} L^{(2)}$$



# Cascaded Recurrent Neural Network (CasRNN)

redundant information among  
adjacent spectral bands



curse of dimensionality



classification accuracy drops



learn complementary,  
discriminative features



classification accuracy  
increases