

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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1 Partial Clausal Logic

We here define decided literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

```
theory Partial-Annotated-Clausal-Logic
imports Partial-Clausal-Logic
```

```
begin
```

1.1 Decided Literals

1.1.1 Definition

```
datatype ('v, 'vl, 'mark) ann-literal =
  is-decided: Decided (lit-of: 'v literal) (level-of: 'vl) |
  is-proped: Propagated (lit-of: 'v literal) (mark-of: 'mark)

lemma ann-literal-list-induct[case-names nil decided proped]:
  assumes P [] and
   $\bigwedge L\ l\ xs. P\ xs \implies P\ (\textit{Decided}\ L\ l\ \# xs)$  and
   $\bigwedge L\ m\ xs. P\ xs \implies P\ (\textit{Propagated}\ L\ m\ \# xs)$ 
  shows P xs
  using assms apply (induction xs, simp)
```

by (*rename-tac a xs, case-tac a*) *auto*

lemma *is-decided-ex-Decided*:

is-decided L $\implies \exists K \text{ lvl. } L = \text{Decided } K \text{ lvl}$

by (*cases L*) *auto*

type-synonym (*'v, 'l, 'm*) *ann-literals* = (*'v, 'l, 'm*) *ann-literal list*

definition *lits-of* :: (*'a, 'b, 'c*) *ann-literal list* \Rightarrow *'a literal set* **where**
lits-of Ls = *lit-of* ‘ (*set Ls*)

lemma *lits-of-empty[simp]*:

lits-of [] = {} **unfolding** *lits-of-def* **by** *auto*

lemma *lits-of-cons[simp]*:

lits-of (L # Ls) = *insert (lit-of L) (lits-of Ls)*

unfolding *lits-of-def* **by** *auto*

lemma *lits-of-append[simp]*:

lits-of (l @ l') = *lits-of l* \cup *lits-of l'*

unfolding *lits-of-def* **by** *auto*

lemma *finite-lits-of-def[simp]*: *finite (lits-of L)*

unfolding *lits-of-def* **by** *auto*

lemma *lits-of-rev[simp]*: *lits-of (rev M)* = *lits-of M*

unfolding *lits-of-def* **by** *auto*

lemma *set-map-lit-of-lits-of[simp]*:

set (map lit-of T) = *lits-of T*

unfolding *lits-of-def* **by** *auto*

Remove annotation and transform to a set of single literals.

abbreviation *unmark* :: (*'a, 'b, 'c*) *ann-literal list* \Rightarrow *'a literal multiset set* **where**

unmark M $\equiv (\lambda a. \{\# \text{lit-of } a \# \})$ ‘ *set M*

lemma *atms-of-ms-lambda-lit-of-is-atm-of-lit-of[simp]*:

atms-of-ms (unmark M') = *atm-of* ‘ *lits-of M'*

unfolding *atms-of-ms-def lits-of-def* **by** *auto*

lemma *lits-of-empty-is-empty[iff]*:

lits-of M = {} $\longleftrightarrow M$ = []

by (*induct M*) *auto*

1.1.2 Entailment

definition *true-annot* :: (*'a, 'l, 'm*) *ann-literals* \Rightarrow *'a clause* \Rightarrow *bool* (**infix** \models_a 49) **where**

I $\models_a C \longleftrightarrow (\text{lits-of } I) \models C$

definition *true-annots* :: (*'a, 'l, 'm*) *ann-literals* \Rightarrow *'a clauses* \Rightarrow *bool* (**infix** \models_{as} 49) **where**

I $\models_{as} CC \longleftrightarrow (\forall C \in CC. I \models_a C)$

lemma *true-annot-empty-model[simp]*:

$\neg [] \models_a \psi$

unfolding *true-annot-def true-cls-def* **by** *simp*

lemma *true-annot-empty[simp]*:
 $\neg I \models_a \{\#\}$
unfolding *true-annot-def true-cls-def* **by** *simp*

lemma *empty-true-annots-def[iff]*:
 $\emptyset \models_{as} \psi \longleftrightarrow \psi = \{\}$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-empty[simp]*:
 $I \models_{as} \{\}$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-single-true-annot[iff]*:
 $I \models_{as} \{C\} \longleftrightarrow I \models_a C$
unfolding *true-annots-def* **by** *auto*

lemma *true-annot-insert-l[simp]*:
 $M \models_a A \implies L \# M \models_a A$
unfolding *true-annot-def* **by** *auto*

lemma *true-annots-insert-l [simp]*:
 $M \models_{as} A \implies L \# M \models_{as} A$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-union[iff]*:
 $M \models_{as} A \cup B \longleftrightarrow (M \models_{as} A \wedge M \models_{as} B)$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-insert[iff]*:
 $M \models_{as} \text{insert } a \ A \longleftrightarrow (M \models_a a \wedge M \models_{as} A)$
unfolding *true-annots-def* **by** *auto*

Link between \models_{as} and \models_s :

lemma *true-annots-true-cls*:
 $I \models_{as} CC \longleftrightarrow (\text{lits-of } I) \models_s CC$
unfolding *true-annots-def Ball-def true-annot-def true-clss-def* **by** *auto*

lemma *in-lit-of-true-annot*:
 $a \in \text{lits-of } M \longleftrightarrow M \models_a \{\#a\#\}$
unfolding *true-annot-def lits-of-def* **by** *auto*

lemma *true-annot-lit-of-notin-skip*:
 $L \# M \models_a A \implies \text{lit-of } L \notin \# A \implies M \models_a A$
unfolding *true-annot-def true-cls-def* **by** *auto*

lemma *true-clss-singleton-lit-of-implies-incl*:
 $I \models_s \text{unmark } MLs \implies \text{lits-of } MLs \subseteq I$
unfolding *true-clss-def lits-of-def* **by** *auto*

lemma *true-annot-true-clss-cls*:
 $MLs \models_a \psi \implies \text{set } (\text{map } (\lambda a. \{\#\text{lit-of } a\#\}) \ MLs) \models_p \psi$
unfolding *true-annot-def true-clss-cls-def true-cls-def*
by (*auto dest: true-clss-singleton-lit-of-implies-incl*)

lemma *true-annots-true-clss-clss*:

$MLs \models_{as} \psi \implies \text{set } (\lambda a. \{\#lit\text{-of } a\#\}) MLs \models_{ps} \psi$

by (*auto*

dest: *true-clss-singleton-lit-of-implies-incl*

simp add: *true-clss-def true-annots-def true-annot-def lits-of-def true-clss-def true-clss-clss-def*)

lemma *true-annots-decided-true-clss*[*iff*]:

$\text{map } (\lambda M. \text{Decided } M \ a) \ M \models_{as} N \longleftrightarrow \text{set } M \models_s N$

proof –

have *: *lits-of* ($\text{map } (\lambda M. \text{Decided } M \ a) \ M$) = *set* *M* **unfolding** *lits-of-def* **by** *force*

show ?thesis **by** (*simp add*: *true-annots-true-clss* *)

qed

lemma *true-annot-singleton*[*iff*]: $M \models_a \{\#L\#\} \longleftrightarrow L \in \text{lits-of } M$

unfolding *true-annot-def lits-of-def* **by** *auto*

lemma *true-annots-true-clss-clss*:

$A \models_{as} \Psi \implies \text{unmark } A \models_{ps} \Psi$

unfolding *true-clss-clss-def true-annots-def true-clss-def*

by (*auto dest*!: *true-clss-singleton-lit-of-implies-incl*

simp: *lits-of-def true-annot-def true-clss-def*)

lemma *true-annot-commute*:

$M @ M' \models_a D \longleftrightarrow M' @ M \models_a D$

unfolding *true-annot-def* **by** (*simp add*: *Un-commute*)

lemma *true-annots-commute*:

$M @ M' \models_{as} D \longleftrightarrow M' @ M \models_{as} D$

unfolding *true-annots-def* **by** (*auto simp*: *true-annot-commute*)

lemma *true-annot-mono*[*dest*]:

$\text{set } I \subseteq \text{set } I' \implies I \models_a N \implies I' \models_a N$

using *true-clss-mono-set-mset-l* **unfolding** *true-annot-def lits-of-def*

by (*metis* (*no-types*) *Un-commute Un-upper1 image-Un sup.orderE*)

lemma *true-annots-mono*:

$\text{set } I \subseteq \text{set } I' \implies I \models_{as} N \implies I' \models_{as} N$

unfolding *true-annots-def* **by** *auto*

1.1.3 Defined and undefined literals

We introduce the functions *defined-lit* and *undefined-lit* to know whether a literal is defined with respect to a list of decided literals (aka a trail in most cases).

Remark that *undefined* already exists and is a completely different Isabelle function.

definition *defined-lit* :: ('a, 'l, 'm) *ann-literal list* \Rightarrow 'a *literal* \Rightarrow *bool*

where

defined-lit *I* *L* $\longleftrightarrow (\exists l. \text{Decided } L \ l \in \text{set } I) \vee (\exists P. \text{Propagated } L \ P \in \text{set } I)$

$\vee (\exists l. \text{Decided } (-L) \ l \in \text{set } I) \vee (\exists P. \text{Propagated } (-L) \ P \in \text{set } I)$

abbreviation *undefined-lit* :: ('a, 'l, 'm) *ann-literal list* \Rightarrow 'a *literal* \Rightarrow *bool*

where *undefined-lit* *I* *L* $\equiv \neg \text{defined-lit } I \ L$

lemma *defined-lit-rev*[*simp*]:

defined-lit (*rev* *M*) *L* $\longleftrightarrow \text{defined-lit } M \ L$

unfolding *defined-lit-def* **by** *auto*

lemma *atm-imp-decided-or-proped*:

assumes $x \in \text{set } I$

shows

$(\exists l. \text{Decided } (\neg \text{lit-of } x) \ l \in \text{set } I)$

$\vee (\exists l. \text{Decided } (\text{lit-of } x) \ l \in \text{set } I)$

$\vee (\exists l. \text{Propagated } (\neg \text{lit-of } x) \ l \in \text{set } I)$

$\vee (\exists l. \text{Propagated } (\text{lit-of } x) \ l \in \text{set } I)$

using *assms ann-literal.exhaust-sel* **by** *metis*

lemma *literal-is-lit-of-decided*:

assumes $L = \text{lit-of } x$

shows $(\exists l. x = \text{Decided } L \ l) \vee (\exists l'. x = \text{Propagated } L \ l')$

using *assms* **by** (*cases x*) *auto*

lemma *true-annot-iff-decided-or-true-lit*:

defined-lit $I \ L \longleftrightarrow ((\text{lits-of } I) \models L \vee (\text{lits-of } I) \models \neg L)$

unfolding *defined-lit-def* **by** (*auto simp add: lits-of-def rev-image-eqI dest!: literal-is-lit-of-decided*)

lemma *consistent-inter-true-annots-satisfiable*:

consistent-interp $(\text{lits-of } I) \implies I \models_{\text{as}} N \implies \text{satisfiable } N$

by (*simp add: true-annots-true-cls*)

lemma *defined-lit-map*:

defined-lit $Ls \ L \longleftrightarrow \text{atm-of } L \in (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ 'set } Ls$

unfolding *defined-lit-def* **apply** (*rule iffI*)

using *image-iff* **apply** *fastforce*

by (*fastforce simp add: atm-of-eq-atm-of dest: atm-imp-decided-or-proped*)

lemma *defined-lit-uminus[iff]*:

defined-lit $I \ (\neg L) \longleftrightarrow \text{defined-lit } I \ L$

unfolding *defined-lit-def* **by** *auto*

lemma *Decided-Propagated-in-iff-in-lits-of*:

defined-lit $I \ L \longleftrightarrow (L \in \text{lits-of } I \vee \neg L \in \text{lits-of } I)$

unfolding *lits-of-def* **by** (*metis lits-of-def true-annot-iff-decided-or-true-lit true-lit-def*)

lemma *consistent-add-undefined-lit-consistent[simp]*:

assumes

consistent-interp $(\text{lits-of } Ls)$ **and**

undefined-lit $Ls \ L$

shows *consistent-interp* $(\text{insert } L \ (\text{lits-of } Ls))$

using *assms* **unfolding** *consistent-interp-def* **by** (*auto simp: Decided-Propagated-in-iff-in-lits-of*)

lemma *decided-empty[simp]*:

$\neg \text{defined-lit } [] \ L$

unfolding *defined-lit-def* **by** *simp*

1.2 Backtracking

fun *backtrack-split* :: $('v, 'l, 'm) \text{ ann-literals}$

$\Rightarrow ('v, 'l, 'm) \text{ ann-literals} \times ('v, 'l, 'm) \text{ ann-literals}$ **where**

backtrack-split $[] = ([], [])$ |

backtrack-split $(\text{Propagated } L \ P \ \# \ \text{mlits}) = \text{apfst } ((\text{op } \#) \ (\text{Propagated } L \ P)) \ (\text{backtrack-split } \text{mlits})$ |

backtrack-split (*Decided L l # mlits*) = ([], *Decided L l # mlits*)

lemma *backtrack-split-fst-not-decided*: $a \in \text{set } (\text{fst } (\text{backtrack-split } l)) \implies \neg \text{is-decided } a$
by (*induct l rule: ann-literal-list-induct*) *auto*

lemma *backtrack-split-snd-hd-decided*:
 $\text{snd } (\text{backtrack-split } l) \neq [] \implies \text{is-decided } (\text{hd } (\text{snd } (\text{backtrack-split } l)))$
by (*induct l rule: ann-literal-list-induct*) *auto*

lemma *backtrack-split-list-eq[simp]*:
 $\text{fst } (\text{backtrack-split } l) @ (\text{snd } (\text{backtrack-split } l)) = l$
by (*induct l rule: ann-literal-list-induct*) *auto*

lemma *backtrack-snd-empty-not-decided*:
 $\text{backtrack-split } M = (M'', []) \implies \forall l \in \text{set } M. \neg \text{is-decided } l$
by (*metis append-Nil2 backtrack-split-fst-not-decided backtrack-split-list-eq snd-conv*)

lemma *backtrack-split-some-is-decided-then-snd-has-hd*:
 $\exists l \in \text{set } M. \text{is-decided } l \implies \exists M' L' M''. \text{backtrack-split } M = (M'', L' \# M')$
by (*metis backtrack-snd-empty-not-decided list.exhaust prod.collapse*)

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

lemma *backtrack-split-takeWhile-dropWhile*:
 $\text{backtrack-split } M = (\text{takeWhile } (\text{Not } o \text{ is-decided}) M, \text{dropWhile } (\text{Not } o \text{ is-decided}) M)$
by (*induction M rule: ann-literal-list-induct*) *auto*

1.3 Decomposition with respect to the First Decided Literals

In this section we define a function that returns a decomposition with the first decided literal. This function is useful to define the backtracking of DPLL.

1.3.1 Definition

The pattern *get-all-decided-decomposition* [] = [([], [])] is necessary otherwise, we can call the *hd* function in the other pattern.

fun *get-all-decided-decomposition* :: ('a, 'l, 'm) *ann-literals*
 $\Rightarrow ((('a, 'l, 'm) \text{ ann-literals} \times ('a, 'l, 'm) \text{ ann-literals}) \text{ list } \mathbf{where}$
 $\text{get-all-decided-decomposition } (\text{Decided } L \text{ l \# } Ls) =$
 $(\text{Decided } L \text{ l \# } Ls, []) \# \text{get-all-decided-decomposition } Ls \mid$
 $\text{get-all-decided-decomposition } (\text{Propagated } L \text{ P \# } Ls) =$
 $(\text{apsnd } ((\text{op } \#) (\text{Propagated } L \text{ P})) (\text{hd } (\text{get-all-decided-decomposition } Ls)))$
 $\# \text{tl } (\text{get-all-decided-decomposition } Ls) \mid$
 $\text{get-all-decided-decomposition } [] = [([], [])]$

value *get-all-decided-decomposition* [*Propagated A5 B5, Decided C4 D4, Propagated A3 B3,*
Propagated A2 B2, Decided C1 D1, Propagated A0 B0]

Now we can prove several simple properties about the function.

lemma *get-all-decided-decomposition-never-empty[iff]*:
 $\text{get-all-decided-decomposition } M = [] \iff \text{False}$
by (*induct M, simp*) (*rename-tac a xs, case-tac a, auto*)

lemma *get-all-decided-decomposition-never-empty-sym*[*iff*]:
 $\square = \text{get-all-decided-decomposition } M \longleftrightarrow \text{False}$
using *get-all-decided-decomposition-never-empty*[*of M*] **by** *presburger*

lemma *get-all-decided-decomposition-decomp*:
 $\text{hd } (\text{get-all-decided-decomposition } S) = (a, c) \implies S = c @ a$
proof (*induct S arbitrary: a c*)
case *Nil*
thus ?*case* **by** *simp*
next
case (*Cons x A*)
thus ?*case* **by** (*cases x; cases hd (get-all-decided-decomposition A)*) *auto*
qed

lemma *get-all-decided-decomposition-backtrack-split*:
 $\text{backtrack-split } S = (M, M') \longleftrightarrow \text{hd } (\text{get-all-decided-decomposition } S) = (M', M)$
proof (*induction S arbitrary: M M'*)
case *Nil*
thus ?*case* **by** *auto*
next
case (*Cons a S*)
thus ?*case* **using** *backtrack-split-takeWhile-dropWhile* **by** (*cases a*) *force+*
qed

lemma *get-all-decided-decomposition-nil-backtrack-split-snd-nil*:
 $\text{get-all-decided-decomposition } S = [(\square, A)] \implies \text{snd } (\text{backtrack-split } S) = \square$
by (*simp add: get-all-decided-decomposition-backtrack-split sndI*)

This functions says that the first element is either empty or starts with a decided element of the list.

lemma *get-all-decided-decomposition-length-1-fst-empty-or-length-1*:
assumes *get-all-decided-decomposition M = (a, b) # []*
shows $a = [] \vee (\text{length } a = 1 \wedge \text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$
using *assms*
proof (*induct M arbitrary: a b*)
case *Nil* **thus** ?*case* **by** *simp*
next
case (*Cons m M*)
show ?*case*
proof (*cases m*)
case (*Decided l mark*)
thus ?*thesis* **using** *Cons* **by** *simp*
next
case (*Propagated l mark*)
thus ?*thesis* **using** *Cons* **by** (*cases get-all-decided-decomposition M*) *force+*
qed
qed

lemma *get-all-decided-decomposition-fst-empty-or-hd-in-M*:
assumes *get-all-decided-decomposition M = (a, b) # l*
shows $a = [] \vee (\text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$
using *assms* **apply** (*induct M arbitrary: a b rule: ann-literal-list-induct*)
apply *auto[2]*
by (*metis UnCI backtrack-split-snd-hd-decided get-all-decided-decomposition-backtrack-split get-all-decided-decomposition-decomp hd-in-set list.sel(1) set-append snd-conv*)

lemma *get-all-decided-decomposition-snd-not-decided*:
assumes $(a, b) \in \text{set } (\text{get-all-decided-decomposition } M)$
and $L \in \text{set } b$
shows $\neg \text{is-decided } L$
using *assms* **apply** (*induct* M *arbitrary*: a b *rule*: *ann-literal-list-induct*, *simp*)
by (*rename-tac* $L' l$ xs a b , *case-tac* *get-all-decided-decomposition* xs ; *fastforce*) $+$

lemma *tl-get-all-decided-decomposition-skip-some*:
assumes $x \in \text{set } (\text{tl } (\text{get-all-decided-decomposition } M1))$
shows $x \in \text{set } (\text{tl } (\text{get-all-decided-decomposition } (M0 @ M1)))$
using *assms*
by (*induct* $M0$ *rule*: *ann-literal-list-induct*)
(auto simp add: list.set-sel(2))

lemma *hd-get-all-decided-decomposition-skip-some*:
assumes $(x, y) = \text{hd } (\text{get-all-decided-decomposition } M1)$
shows $(x, y) \in \text{set } (\text{get-all-decided-decomposition } (M0 @ \text{Decided } K \ i \ \# \ M1))$
using *assms*

proof (*induction* $M0$ *rule*: *ann-literal-list-induct*)
case *nil*
then show *?case* **by** *auto*
next
case (*decided* L m $M0$)
then show *?case* **by** *auto*
next
case (*proped* L C $M0$) **note** $xy = \text{this}(1)[\text{OF } \text{this}(2-)]$ **and** $\text{hd} = \text{this}(2)$
then show *?case*
by (*cases* *get-all-decided-decomposition* $(M0 @ \text{Decided } K \ i \ \# \ M1)$)
(auto dest!: get-all-decided-decomposition-decomp
arg-cong[of get-all-decided-decomposition - - hd])
qed

lemma *in-get-all-decided-decomposition-in-get-all-decided-decomposition-prepend*:
 $(a, b) \in \text{set } (\text{get-all-decided-decomposition } M') \implies$
 $\exists b'. (a, b' @ b) \in \text{set } (\text{get-all-decided-decomposition } (M @ M'))$
apply (*induction* M *rule*: *ann-literal-list-induct*)
apply (*metis* *append-Nil*)
apply *auto* $[]$
by (*rename-tac* $L' m$ xs , *case-tac* *get-all-decided-decomposition* $(xs @ M')$) *auto*

lemma *get-all-decided-decomposition-remove-undecided-length*:
assumes $\forall l \in \text{set } M'. \neg \text{is-decided } l$
shows $\text{length } (\text{get-all-decided-decomposition } (M' @ M''))$
 $= \text{length } (\text{get-all-decided-decomposition } M'')$
using *assms* **by** (*induct* M' *arbitrary*: M'' *rule*: *ann-literal-list-induct*) *auto*

lemma *get-all-decided-decomposition-not-is-decided-length*:
assumes $\forall l \in \text{set } M'. \neg \text{is-decided } l$
shows $1 + \text{length } (\text{get-all-decided-decomposition } (\text{Propagated } (-L) \ P \ \# \ M))$
 $= \text{length } (\text{get-all-decided-decomposition } (M' @ \text{Decided } L \ l \ \# \ M))$
using *assms* *get-all-decided-decomposition-remove-undecided-length* **by** *fastforce*

lemma *get-all-decided-decomposition-last-choice*:
assumes $\text{tl } (\text{get-all-decided-decomposition } (M' @ \text{Decided } L \ l \ \# \ M)) \neq []$

and $\forall l \in \text{set } M'. \neg \text{is-decided } l$
and $\text{hd } (tl \text{ (get-all-decided-decomposition } (M' @ \text{Decided } L \ l \ \# \ M))) = (M0', M0)$
shows $\text{hd } (\text{get-all-decided-decomposition } (\text{Propagated } (-L) \ P \ \# \ M)) = (M0', \text{Propagated } (-L) \ P \ \# \ M0)$
using *assms* **by** (*induct* M' *rule*: *ann-literal-list-induct*) *auto*

lemma *get-all-decided-decomposition-except-last-choice-equal*:
assumes $\forall l \in \text{set } M'. \neg \text{is-decided } l$
shows $tl \text{ (get-all-decided-decomposition } (\text{Propagated } (-L) \ P \ \# \ M))$
 $\quad = tl \text{ (tl (get-all-decided-decomposition } (M' @ \text{Decided } L \ l \ \# \ M)))$
using *assms* **by** (*induct* M' *rule*: *ann-literal-list-induct*) *auto*

lemma *get-all-decided-decomposition-hd-hd*:
assumes $\text{get-all-decided-decomposition } Ls = (M, C) \ \# \ (M0, M0') \ \# \ l$
shows $tl \ M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M)$
using *assms*

proof (*induct* Ls *arbitrary*: $M \ C \ M0 \ M0' \ l$)

case *Nil*
thus *?case* **by** *simp*

next

case ($\text{Cons } a \ Ls \ M \ C \ M0 \ M0' \ l$) **note** $IH = \text{this}(1)$ **and** $g = \text{this}(2)$
{ **fix** $L \ \text{level}$
assume $a: a = \text{Decided } L \ \text{level}$
have $Ls = M0' @ M0$
using $g \ a$ **by** (*force intro*: *get-all-decided-decomposition-decomp*)
hence $tl \ M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M)$ **using** $g \ a$ **by** *auto*
}

moreover **{**
fix $L \ P$
assume $a: a = \text{Propagated } L \ P$
have $tl \ M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M)$
using $IH \ \text{Cons.premis}$ **unfolding** a **by** (*cases get-all-decided-decomposition Ls*) *auto*
}
ultimately show *?case* **by** (*cases a*) *auto*

qed

lemma *get-all-decided-decomposition-exists-prepend[dest]*:
assumes $(a, b) \in \text{set } (\text{get-all-decided-decomposition } M)$
shows $\exists c. M = c @ b @ a$
using *assms* **apply** (*induct* M *rule*: *ann-literal-list-induct*)
apply *simp*
by (*rename-tac* $L' \ m \ xs$, *case-tac* *get-all-decided-decomposition xs*;
auto dest!: *arg-cong*[*of get-all-decided-decomposition - - hd*]
get-all-decided-decomposition-decomp)**+**

lemma *get-all-decided-decomposition-incl*:
assumes $(a, b) \in \text{set } (\text{get-all-decided-decomposition } M)$
shows $\text{set } b \subseteq \text{set } M$ **and** $\text{set } a \subseteq \text{set } M$
using *assms* *get-all-decided-decomposition-exists-prepend* **by** *fastforce***+**

lemma *get-all-decided-decomposition-exists-prepend'*:
assumes $(a, b) \in \text{set } (\text{get-all-decided-decomposition } M)$
obtains c **where** $M = c @ b @ a$
using *assms* **apply** (*induct* M *rule*: *ann-literal-list-induct*)
apply *auto*[1]

by (rename-tac $L' m xs$, case-tac $hd (get-all-decided-decomposition xs)$,
 auto dest!: $get-all-decided-decomposition-decomp simp add: list.set-sel(2))+$

lemma *union-in-get-all-decided-decomposition-is-subset*:
 assumes $(a, b) \in set (get-all-decided-decomposition M)$
 shows $set a \cup set b \subseteq set M$
 using *assms* by *force*

1.3.2 Entailment of the Propagated by the Decided Literal

lemma *get-all-decided-decomposition-snd-union*:
 $set M = \bigcup (set 'snd 'set (get-all-decided-decomposition M)) \cup \{L \mid L. is-decided L \wedge L \in set M\}$
 (is ?M M = ?U M \cup ?Ls M)

proof (induct M rule: *ann-literal-list-induct*)

case *nil*

then show ?case by *simp*

next

case (decided L l M) note IH = *this(1)*

then have $Decided L l \in ?Ls (Decided L l \# M)$ by *auto*

moreover have $?U (Decided L l \# M) = ?U M$ by *auto*

moreover have $?M M = ?U M \cup ?Ls M$ using IH by *auto*

ultimately show ?case by *auto*

next

case (proped L m M)

then show ?case by (cases (get-all-decided-decomposition M)) *auto*

qed

definition *all-decomposition-implies* :: 'a literal multiset set

$\Rightarrow ((a, 'l, 'm) ann-literal list \times (a, 'l, 'm) ann-literal list) list \Rightarrow bool$ where

all-decomposition-implies N S

$\longleftrightarrow (\forall (Ls, seen) \in set S. unmark Ls \cup N \models_{ps} unmark seen)$

lemma *all-decomposition-implies-empty[iff]*:

all-decomposition-implies N [] **unfolding** *all-decomposition-implies-def* by *auto*

lemma *all-decomposition-implies-single[iff]*:

all-decomposition-implies N [(Ls, seen)] $\longleftrightarrow unmark Ls \cup N \models_{ps} unmark seen$

unfolding *all-decomposition-implies-def* by *auto*

lemma *all-decomposition-implies-append[iff]*:

all-decomposition-implies N (S @ S')

$\longleftrightarrow (all-decomposition-implies N S \wedge all-decomposition-implies N S')$

unfolding *all-decomposition-implies-def* by *auto*

lemma *all-decomposition-implies-cons-pair[iff]*:

all-decomposition-implies N ((Ls, seen) # S')

$\longleftrightarrow (all-decomposition-implies N [(Ls, seen)] \wedge all-decomposition-implies N S')$

unfolding *all-decomposition-implies-def* by *auto*

lemma *all-decomposition-implies-cons-single[iff]*:

all-decomposition-implies N (l # S') \longleftrightarrow

$(unmark (fst l) \cup N \models_{ps} unmark (snd l) \wedge$

all-decomposition-implies N S')

unfolding *all-decomposition-implies-def* by *auto*

lemma *all-decomposition-implies-trail-is-implied*:

```

assumes all-decomposition-implies N (get-all-decided-decomposition M)
shows  $N \cup \{\{\#lit\text{-of } L\# \mid L. \text{is-decided } L \wedge L \in \text{set } M\}\}$ 
 $\models_{ps} (\lambda a. \{\#lit\text{-of } a\# \}) \text{ ' } \bigcup (\text{set ' snd ' set } (\text{get-all-decided-decomposition } M))$ 
using assms
proof (induct length (get-all-decided-decomposition M) arbitrary: M)
  case 0
  thus ?case by auto
next
case (Suc n) note IH = this(1) and length = this(2)
{
  assume length (get-all-decided-decomposition M)  $\leq 1$ 
  then obtain a b where g: get-all-decided-decomposition M = (a, b) # []
  by (cases get-all-decided-decomposition M) auto
  moreover {
    assume a = []
    hence ?case using Suc.prems g by auto
  }
  moreover {
    assume l: length a = 1 and m: is-decided (hd a) and hd: hd a  $\in \text{set } M$ 
    hence  $(\lambda a. \{\#lit\text{-of } a\# \}) (\text{hd } a) \in \{\{\#lit\text{-of } L\# \mid L. \text{is-decided } L \wedge L \in \text{set } M\}\}$  by auto
    hence H: unmark a  $\cup N \subseteq N \cup \{\{\#lit\text{-of } L\# \mid L. \text{is-decided } L \wedge L \in \text{set } M\}\}$ 
    using l by (cases a) auto
    have f1:  $(\lambda m. \{\#lit\text{-of } m\# \}) \text{ ' set } a \cup N \models_{ps} (\lambda m. \{\#lit\text{-of } m\# \}) \text{ ' set } b$ 
    using Suc.prems unfolding all-decomposition-implies-def g by simp
    have ?case
    unfolding g apply (rule true-clss-clss-subset) using f1 H by auto
  }
  ultimately have ?case using get-all-decided-decomposition-length-1-fst-empty-or-length-1 by blast
}
moreover {
  assume length (get-all-decided-decomposition M)  $> 1$ 
  then obtain Ls0 seen0 M' where
    Ls0: get-all-decided-decomposition M = (Ls0, seen0) # get-all-decided-decomposition M' and
    length': length (get-all-decided-decomposition M') = n and
    M'-in-M: set M'  $\subseteq \text{set } M$ 
  using length apply (induct M)
  apply simp
  by (rename-tac a M, case-tac a, case-tac hd (get-all-decided-decomposition M))
  (auto simp add: subset-insertI2)
{
  assume n = 0
  hence get-all-decided-decomposition M' = [] using length' by auto
  hence ?case using Suc.prems unfolding all-decomposition-implies-def Ls0 by auto
}
moreover {
  assume n: n  $> 0$ 
  then obtain Ls1 seen1 l where Ls1: get-all-decided-decomposition M' = (Ls1, seen1) # l
  using length' by (induct M', simp) (rename-tac a xs, case-tac a, auto)

  have all-decomposition-implies N (get-all-decided-decomposition M')
  using Suc.prems unfolding Ls0 all-decomposition-implies-def by auto
  hence N:  $N \cup \{\{\#lit\text{-of } L\# \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}\}$ 
   $\models_{ps} (\lambda a. \{\#lit\text{-of } a\# \}) \text{ ' } \bigcup (\text{set ' snd ' set } (\text{get-all-decided-decomposition } M'))$ 
  using IH length' by auto
}
}

```

```

have l:  $N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}$ 
   $\subseteq N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\}$ 
  using  $M'\text{-in-}M$  by auto
hence  $\Psi N: N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\}$ 
   $\models_{ps} (\lambda a. \{\#lit\text{-of } a\# \}) ' \bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-decided-decomposition } M'))$ 
  using  $\text{true-clss-clss-subset}[OF \ l \ N]$  by auto
have  $\text{is-decided } (hd \ Ls0)$  and  $LS: tl \ Ls0 = \text{seen1} \ @ \ Ls1$ 
  using  $\text{get-all-decided-decomposition-hd-hd}[of \ M]$  unfolding  $Ls0 \ Ls1$  by auto

have  $LSM: \text{seen1} \ @ \ Ls1 = M'$  using  $\text{get-all-decided-decomposition-decomp}[of \ M'] \ Ls1$  by auto
have  $M': \text{set } M' = \text{Union } (\text{set } ' \text{snd } ' \text{set } (\text{get-all-decided-decomposition } M'))$ 
   $\cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}$ 
  using  $\text{get-all-decided-decomposition-snd-union}$  by auto

{
  assume  $Ls0 \neq []$ 
  hence  $hd \ Ls0 \in \text{set } M$  using  $\text{get-all-decided-decomposition-fst-empty-or-hd-in-}M \ Ls0$  by blast
  hence  $N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \models_p (\lambda a. \{\#lit\text{-of } a\# \}) (hd \ Ls0)$ 
    using  $\langle \text{is-decided } (hd \ Ls0) \rangle$  by  $(metis \ (\text{mono-tags}, \ \text{lifting}) \ \text{UnCI} \ \text{mem-Collect-eq} \ \text{true-clss-clss-in})$ 
} note  $hd\text{-}Ls0 = \text{this}$ 

have l:  $(\lambda a. \{\#lit\text{-of } a\# \}) ' (\bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-decided-decomposition } M'))$ 
   $\cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M'\})$ 
   $= (\lambda a. \{\#lit\text{-of } a\# \}) ' \bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-decided-decomposition } M'))$ 
   $\cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}$ 
  by auto
have  $N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M'\} \models_{ps}$ 
   $(\lambda a. \{\#lit\text{-of } a\# \}) ' (\bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-decided-decomposition } M'))$ 
   $\cup \{L \mid L. \text{is-decided } L \wedge L \in \text{set } M'\})$ 
  unfolding  $l$  using  $N$  by  $(\text{auto} \ \text{simp} \ \text{add: all-in-true-clss-clss})$ 
hence  $N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M'\} \models_{ps} \text{unmark } (tl \ Ls0)$ 
  using  $M'$  unfolding  $LS \ LSM$  by auto
hence  $t: N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}$ 
   $\models_{ps} \text{unmark } (tl \ Ls0)$ 
  by  $(\text{blast} \ \text{intro: all-in-true-clss-clss})$ 
hence  $N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\}$ 
   $\models_{ps} \text{unmark } (tl \ Ls0)$ 
  using  $M'\text{-in-}M \ \text{true-clss-clss-subset}[OF \ - \ t,$ 
     $\text{of } N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M'\}]$ 
  by auto
hence  $N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \models_{ps} \text{unmark } Ls0$ 
  using  $hd\text{-}Ls0$  by  $(\text{cases } Ls0, \ \text{auto})$ 

moreover have  $\text{unmark } Ls0 \cup N \models_{ps} \text{unmark } \text{seen0}$ 
  using  $\text{Suc.premis} \ \text{unfolding } Ls0 \ \text{all-decomposition-implies-def}$  by simp
moreover have  $\bigwedge M \ Ma. (M::'a \ \text{literal multiset set}) \cup Ma \models_{ps} M$ 
  by  $(\text{simp} \ \text{add: all-in-true-clss-clss})$ 
ultimately have  $\Psi: N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\} \models_{ps}$ 
   $\text{unmark } \text{seen0}$ 
  by  $(\text{meson} \ \text{true-clss-clss-left-right} \ \text{true-clss-clss-union-and} \ \text{true-clss-clss-union-l-r})$ 
have  $(\lambda a. \{\#lit\text{-of } a\# \}) ' (\text{set } \text{seen0}$ 
   $\cup (\bigcup x \in \text{set } (\text{get-all-decided-decomposition } M'). \text{set } (\text{snd } x)))$ 
   $= \text{unmark } \text{seen0}$ 

```

```

     $\cup (\lambda a. \{\#lit\text{-of } a\#\}) \text{ ' } (\bigcup_{x \in set} (get\text{-all-decided-decomposition } M'). set (snd x))$ 
  by auto

  hence ?case unfolding Ls0 using  $\Psi \Psi N$  by simp
}
ultimately have ?case by auto
}
ultimately show ?case by arith
qed

lemma all-decomposition-implies-propagated-lits-are-implied:
  assumes all-decomposition-implies  $N$  (get-all-decided-decomposition  $M$ )
  shows  $N \cup \{\{\#lit\text{-of } L\#\} \mid L. is\text{-decided } L \wedge L \in set\ M\} \models_{ps} unmark\ M$ 
    (is ?I  $\models_{ps}$  ?A)
proof -
  have ?I  $\models_{ps} (\lambda a. \{\#lit\text{-of } a\#\}) \text{ ' } \{L \mid L. is\text{-decided } L \wedge L \in set\ M\}$ 
    by (auto intro: all-in-true-clss-clss)
  moreover have ?I  $\models_{ps} (\lambda a. \{\#lit\text{-of } a\#\}) \text{ ' } \bigcup (set \text{ ' } snd \text{ ' } set (get\text{-all-decided-decomposition } M))$ 
    using all-decomposition-implies-trail-is-implied assms by blast
  ultimately have  $N \cup \{\{\#lit\text{-of } m\#\} \mid m. is\text{-decided } m \wedge m \in set\ M\}$ 
     $\models_{ps} (\lambda m. \{\#lit\text{-of } m\#\}) \text{ ' } \bigcup (set \text{ ' } snd \text{ ' } set (get\text{-all-decided-decomposition } M))$ 
     $\cup (\lambda m. \{\#lit\text{-of } m\#\}) \text{ ' } \{m \mid m. is\text{-decided } m \wedge m \in set\ M\}$ 
    by blast
  thus ?thesis
    by (metis (no-types) get-all-decided-decomposition-snd-union[of  $M$ ] image-Un)
qed

```

```

lemma all-decomposition-implies-insert-single:
  all-decomposition-implies  $N\ M \implies all\text{-decomposition-implies } (insert\ C\ N)\ M$ 
  unfolding all-decomposition-implies-def by auto

```

1.4 Negation of Clauses

We define the negation of a '*a Partial-Clausal-Logic.clause*': it converts it from the a single clause to a set of clauses, wherein each clause is a single negated literal.

```

definition CNot :: 'v clause  $\Rightarrow$  'v clauses where
  CNot  $\psi = \{ \{\#-L\#\} \mid L. L \in \# \psi \}$ 

```

```

lemma in-CNot-uminus[iff]:
  shows  $\{\#L\#\} \in CNot\ \psi \iff -L \in \# \psi$ 
  using assms unfolding CNot-def by force

```

```

lemma CNot-singleton[simp]: CNot  $\{\#L\#\} = \{\{\#-L\#\}\}$  unfolding CNot-def by auto
lemma CNot-empty[simp]: CNot  $\{\#\} = \{\}$  unfolding CNot-def by auto
lemma CNot-plus[simp]: CNot  $(A + B) = CNot\ A \cup CNot\ B$  unfolding CNot-def by auto

```

```

lemma CNot-eq-empty[iff]:
  CNot  $D = \{\}$   $\iff D = \{\#\}$ 
  unfolding CNot-def by (auto simp add: multiset-eqI)

```

```

lemma in-CNot-implies-uminus:
  assumes  $L \in \# D$ 
  and  $M \models_{as} CNot\ D$ 
  shows  $M \models_a \{\#-L\#\}$  and  $-L \in lits\text{-of } M$ 
  using assms by (auto simp add: true-annots-def true-annot-def CNot-def)

```

lemma *CNot-remdups-mset[simp]*:
 $CNot\ (remdups\text{-}mset\ A) = CNot\ A$
unfolding *CNot-def* **by** *auto*

lemma *Ball-CNot-Ball-mset[simp]*:
 $(\forall x \in CNot\ D. P\ x) \longleftrightarrow (\forall L \in \# D. P\ \{\# - L\# \})$
unfolding *CNot-def* **by** *auto*

lemma *consistent-CNot-not*:
assumes *consistent-interp I*
shows $I \models_s CNot\ \varphi \implies \neg I \models \varphi$
using *assms* **unfolding** *consistent-interp-def true-clss-def true-cl-def* **by** *auto*

lemma *total-not-true-cl-true-clss-CNot*:
assumes *total-over-m I {φ}* **and** $\neg I \models \varphi$
shows $I \models_s CNot\ \varphi$
using *assms* **unfolding** *total-over-m-def total-over-set-def true-clss-def true-cl-def CNot-def*
apply *clarify*
by *(rename-tac x L, case-tac L) (force intro: pos-lit-in-atms-of neg-lit-in-atms-of)+*

lemma *total-not-CNot*:
assumes *total-over-m I {φ}* **and** $\neg I \models_s CNot\ \varphi$
shows $I \models \varphi$
using *assms* *total-not-true-cl-true-clss-CNot* **by** *auto*

lemma *atms-of-ms-CNot-atms-of[simp]*:
 $atms\text{-}of\text{-}ms\ (CNot\ C) = atms\text{-}of\ C$
unfolding *atms-of-ms-def atms-of-def CNot-def* **by** *fastforce*

lemma *true-clss-clss-contradiction-true-clss-cl-false*:
 $C \in D \implies D \models_{ps} CNot\ C \implies D \models_p \{\#\}$
unfolding *true-clss-clss-def true-clss-cl-def total-over-m-def*
by *(metis Un-commute atms-of-empty atms-of-ms-CNot-atms-of atms-of-ms-insert atms-of-ms-union consistent-CNot-not insert-absorb sup-bot.left-neutral true-clss-def)*

lemma *true-annots-CNot-all-atms-defined*:
assumes $M \models_{as} CNot\ T$ **and** $a1: L \in \# T$
shows $atm\text{-}of\ L \in atm\text{-}of\ \text{'lits-of}\ M$
by *(metis assms atm-of-uminus image-eqI in-CNot-implies-uminus(1) true-annot-singleton)*

lemma *true-clss-clss-false-left-right*:
assumes $\{\{\#L\#\}\} \cup B \models_p \{\#\}$
shows $B \models_{ps} CNot\ \{\#L\#\}$
unfolding *true-clss-clss-def true-clss-cl-def*

proof *(intro allI impI)*
fix I
assume
 $tot: total\text{-}over\text{-}m\ I\ (B \cup CNot\ \{\#L\#\})$ **and**
 $cons: consistent\text{-}interp\ I$ **and**
 $I: I \models_s B$
have $total\text{-}over\text{-}m\ I\ (\{\{\#L\#\}\} \cup B)$ **using** *tot* **by** *auto*
hence $\neg I \models_s insert\ \{\#L\#\}\ B$
using *assms cons* **unfolding** *true-clss-cl-def* **by** *simp*
thus $I \models_s CNot\ \{\#L\#\}$

using tot I by (cases L) auto
qed

lemma true-annots-true-cls-def-iff-negation-in-model:

$M \models_{as} CNot\ C \longleftrightarrow (\forall L \in \# C. \neg L \in lits\ of\ M)$

unfolding CNot-def true-annots-true-cls true-clss-def **by** auto

lemma consistent-CNot-not-tautology:

$consistent_interp\ M \implies M \models_s CNot\ D \implies \neg tautology\ D$

by (metis atms-of-ms-CNot-atms-of consistent-CNot-not satisfiable-carac' satisfiable-def
tautology-def total-over-m-def)

lemma atms-of-ms-CNot-atms-of-ms: $atms_of_ms\ (CNot\ CC) = atms_of_ms\ \{CC\}$

by simp

lemma total-over-m-CNot-toal-over-m[simp]:

$total_over_m\ I\ (CNot\ C) = total_over_set\ I\ (atms_of\ C)$

unfolding total-over-m-def total-over-set-def **by** auto

lemma uminus-lit-swap: $\neg(a::'a\ literal) = i \longleftrightarrow a = -i$

by auto

lemma true-clss-cls-plus-CNot:

assumes

$CC-L: A \models_p CC + \{\#L\# \}$ **and**

$CNot-CC: A \models_{ps} CNot\ CC$

shows $A \models_p \{\#L\# \}$

unfolding true-clss-clss-def true-clss-cls-def CNot-def total-over-m-def

proof (intro allI impI)

fix I

assume tot: $total_over_set\ I\ (atms_of_ms\ (A \cup \{\{\#L\#\}\}))$

and cons: $consistent_interp\ I$

and I: $I \models_s A$

let ?I = $I \cup \{Pos\ P \mid P. P \in atms_of\ CC \wedge P \notin atm_of\ 'I\}$

have cons': $consistent_interp\ ?I$

using cons **unfolding** consistent-interp-def

by (auto simp add: uminus-lit-swap atms-of-def rev-image-eqI)

have I': $?I \models_s A$

using I true-clss-union-increase **by** blast

have tot-CNot: $total_over_m\ ?I\ (A \cup CNot\ CC)$

using tot atms-of-s-def **by** (fastforce simp add: total-over-m-def total-over-set-def)

hence tot-I-A-CC-L: $total_over_m\ ?I\ (A \cup \{CC + \{\#L\#\}\})$

using tot **unfolding** total-over-m-def total-over-set-atm-of **by** auto

hence ?I $\models CC + \{\#L\# \}$ **using** CC-L cons' I' **unfolding** true-clss-cls-def **by** blast

moreover

have ?I $\models_s CNot\ CC$ **using** CNot-CC cons' I' tot-CNot **unfolding** true-clss-clss-def **by** auto

hence $\neg A \models_p CC$

by (metis (no-types, lifting) I' atms-of-ms-CNot-atms-of-ms atms-of-ms-union cons')

$consistent_CNot_not\ tot_CNot\ total_over_m_def\ true_clss_cls_def$)

hence $\neg ?I \models CC$ **using** $\langle ?I \models_s CNot\ CC \rangle$ cons' consistent-CNot-not **by** blast

ultimately have ?I $\models \{\#L\# \}$ **by** blast

thus I $\models \{\#L\# \}$

by (metis (no-types, lifting) atms-of-ms-union cons' consistent-CNot-not tot total-not-CNot
total-over-m-def total-over-set-union true-clss-union-increase)

qed

lemma *true-annots-CNot-lit-of-notin-skip*:

assumes $LM: L \# M \models_{as} CNot\ A$ **and** $LA: lit\text{-}of\ L \notin \# A \text{ -- } lit\text{-}of\ L \notin \# A$

shows $M \models_{as} CNot\ A$

using LM **unfolding** *true-annots-def Ball-def*

proof (*intro allI impI*)

fix l

assume $H: \forall x. x \in CNot\ A \longrightarrow L \# M \models_a x$ **and** $l: l \in CNot\ A$

hence $L \# M \models_a l$ **by** *auto*

thus $M \models_a l$ **using** $LA\ l$ **by** (*cases L*) (*auto simp add: CNot-def*)

qed

lemma *true-clss-clss-union-false-true-clss-clss-cnot*:

$A \cup \{B\} \models_{ps} \{\{\#\}\} \longleftrightarrow A \models_{ps} CNot\ B$

using *total-not-CNot consistent-CNot-not* **unfolding** *total-over-m-def true-clss-clss-def*

by *fastforce*

lemma *true-annot-remove-hd-if-notin-vars*:

assumes $a \# M' \models_a D$

and *atm-of* (*lit-of* a) \notin *atms-of* D

shows $M' \models_a D$

using *assms true-clss-remove-hd-if-notin-vars* **unfolding** *true-annot-def* **by** *auto*

lemma *true-annot-remove-if-notin-vars*:

assumes $M @ M' \models_a D$

and $\forall x \in \text{atms-of } D. x \notin \text{atm-of ' lits-of } M$

shows $M' \models_a D$

using *assms* **by** (*induct M*) (*auto dest: true-annot-remove-hd-if-notin-vars*)

lemma *true-annots-remove-if-notin-vars*:

assumes $M @ M' \models_{as} D$

and $\forall x \in \text{atms-of-ms } D. x \notin \text{atm-of ' lits-of } M$

shows $M' \models_{as} D$ **unfolding** *true-annots-def*

using *assms* **unfolding** *true-annots-def atms-of-ms-def*

by (*force dest: true-annot-remove-if-notin-vars*)

lemma *all-variables-defined-not-imply-cnot*:

assumes $\forall s \in \text{atms-of-ms } \{B\}. s \in \text{atm-of ' lits-of } A$

and $\neg A \models_a B$

shows $A \models_{as} CNot\ B$

unfolding *true-annot-def true-annots-def Ball-def CNot-def true-lit-def*

proof (*clarify, rule ccontr*)

fix L

assume $LB: L \in \# B$ **and** $\neg \text{lits-of } A \models_l \neg L$

hence $\text{atm-of } L \in \text{atm-of ' lits-of } A$

using *assms(1)* **by** (*simp add: atm-of-lit-in-atms-of lits-of-def*)

hence $L \in \text{lits-of } A \vee \neg L \in \text{lits-of } A$

using *atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set* **by** *metis*

hence $L \in \text{lits-of } A$ **using** $\langle \neg \text{lits-of } A \models_l \neg L \rangle$ **by** *auto*

thus *False*

using LB *assms(2)* **unfolding** *true-annot-def true-lit-def true-clss-def Bex-mset-def*

by *blast*

qed

lemma *CNot-union-mset[simp]*:
 $CNot (A \# \cup B) = CNot A \cup CNot B$
unfolding *CNot-def* **by** *auto*

1.5 Other

abbreviation *no-dup* $L \equiv distinct (map (\lambda l. atm-of (lit-of l)) L)$

lemma *no-dup-rev[simp]*:
 $no-dup (rev M) \longleftrightarrow no-dup M$
by (*auto simp: rev-map[symmetric]*)

lemma *no-dup-length-eq-card-atm-of-lits-of*:
assumes *no-dup* M
shows $length M = card (atm-of ' lits-of M)$
using *assms* **unfolding** *lits-of-def* **by** (*induct M*) (*auto simp add: image-image*)

lemma *distinctconsistent-interp*:
 $no-dup M \implies consistent-interp (lits-of M)$

proof (*induct M*)

case *Nil*
show *?case* **by** *auto*

next

case (*Cons L M*)
hence *a1*: *consistent-interp* (*lits-of M*) **by** *auto*
have *a2*: $atm-of (lit-of L) \notin (\lambda l. atm-of (lit-of l)) ' set M$ **using** *Cons.prem*s **by** *auto*
have *undefined-lit M* (*lit-of L*)
using *a2* **unfolding** *defined-lit-map* **by** *fastforce*
then show *?case*
using *a1* **by** *simp*

qed

lemma *distinct-get-all-decided-decomposition-no-dup*:
assumes $(a, b) \in set (get-all-decided-decomposition M)$
and *no-dup* M
shows *no-dup* $(a @ b)$
using *assms* **by** *force*

lemma *true-annots-lit-of-notin-skip*:

assumes $L \# M \models_{as} CNot A$
and $\neg lit-of L \notin \# A$
and *no-dup* $(L \# M)$
shows $M \models_{as} CNot A$

proof $-$

have $\forall l \in \# A. \neg l \in lits-of (L \# M)$
using *assms*(1) *in-CNot-implies-uminus*(2) **by** *blast*

moreover

have $atm-of (lit-of L) \notin atm-of ' lits-of M$
using *assms*(3) **unfolding** *lits-of-def* **by** *force*
hence $\neg lit-of L \notin lits-of M$ **unfolding** *lits-of-def*
by (*metis* (*no-types*) *atm-of-uminus imageI*)
ultimately have $\forall l \in \# A. \neg l \in lits-of M$

using *assms*(2) **unfolding** *Ball-mset-def* **by** (*metis insertE lits-of-cons uminus-of-uminus-id*)
thus *?thesis* **by** (*auto simp add: true-annots-def*)

qed

1.6 Extending Entailments to multisets

We have defined previous entailment with respect to sets, but we also need a multiset version depending on the context. The conversion is simple using the function *set-mset* (in this direction, there is no loss of information).

type-synonym *'v clauses = 'v clause multiset*

abbreviation *true-annots-mset* (**infix** \models_{asm} 50) **where**
 $I \models_{asm} C \equiv I \models_{as} (set-mset\ C)$

abbreviation *true-clss-clss-m:: 'a clauses \Rightarrow 'a clauses \Rightarrow bool* (**infix** \models_{psm} 50) **where**
 $I \models_{psm} C \equiv set-mset\ I \models_{ps} (set-mset\ C)$

Analog of $\llbracket ?N \models_{ps} ?B; ?A \subseteq ?B \rrbracket \Longrightarrow ?N \models_{ps} ?A$

lemma *true-clss-clssm-subsetE*: $N \models_{psm} B \Longrightarrow A \subseteq \# B \Longrightarrow N \models_{psm} A$
using *set-mset-mono true-clss-clss-subsetE* **by** *blast*

abbreviation *true-clss-clss-m:: 'a clauses \Rightarrow 'a clause \Rightarrow bool* (**infix** \models_{pm} 50) **where**
 $I \models_{pm} C \equiv set-mset\ I \models_p C$

abbreviation *distinct-mset-mset :: 'a multiset multiset \Rightarrow bool* **where**
 $distinct-mset-mset\ \Sigma \equiv distinct-mset-set\ (set-mset\ \Sigma)$

abbreviation *all-decomposition-implies-m* **where**
 $all-decomposition-implies-m\ A\ B \equiv all-decomposition-implies\ (set-mset\ A)\ B$

abbreviation *atms-of-msu* **where**
 $atms-of-msu\ U \equiv atms-of-ms\ (set-mset\ U)$

abbreviation *true-clss-m:: 'a interp \Rightarrow 'a clauses \Rightarrow bool* (**infix** \models_{sm} 50) **where**
 $I \models_{sm} C \equiv I \models_s set-mset\ C$

abbreviation *true-clss-ext-m* (**infix** \models_{sextm} 49) **where**
 $I \models_{sextm} C \equiv I \models_{sext} set-mset\ C$

end

theory *CDCL-NOT*

imports *Partial-Annotated-Clausal-Logic List-More Wellfounded-More Partial-Clausal-Logic*
begin

2 NOT's CDCL

declare *set-mset-minus-replicate-mset[simp]*

2.1 Auxiliary Lemmas and Measure

lemma *no-dup-cannot-not-lit-and-uminus*:
 $no-dup\ M \Longrightarrow -\ lit-of\ xa = lit-of\ x \Longrightarrow x \in set\ M \Longrightarrow xa \notin set\ M$
by (*metis atm-of-uminus distinct-map inj-on-eq-iff uminus-not-id'*)

lemma *true-clss-single-iff-incl*:
 $I \models_s single\ 'B \longleftrightarrow B \subseteq I$
unfolding *true-clss-def* **by** *auto*

lemma *atms-of-ms-single-atm-of[simp]*:

atms-of-ms $\{\{\# \text{lit-of } L\# \} \mid L. P L\} = \text{atm-of } ' \{ \text{lit-of } L \mid L. P L\}$
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-uminus-lit-atm-of-lit-of*:
atms-of $\{\# - \text{lit-of } x. x \in \# A\# \} = \text{atm-of } ' (\text{lit-of } ' (\text{set-mset } A))$
unfolding *atms-of-def* **by** (*auto simp add: Fun.image-comp*)

lemma *atms-of-ms-single-image-atm-of-lit-of*:
atms-of-ms $((\lambda x. \{\# \text{lit-of } x\# \}) ' A) = \text{atm-of } ' (\text{lit-of } ' A)$
unfolding *atms-of-ms-def* **by** *auto*

This measure can also be seen as the increasing lexicographic order: it is an order on bounded sequences, when each element is bounded. The proof involves a measure like the one defined here (the same?).

definition $\mu_C :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat list} \Rightarrow \text{nat}$ **where**
 $\mu_C s b M \equiv (\sum i=0..<\text{length } M. M!i * b^\wedge (s + i - \text{length } M))$

lemma $\mu_C\text{-nil}[simp]$:
 $\mu_C s b [] = 0$
unfolding $\mu_C\text{-def}$ **by** *auto*

lemma $\mu_C\text{-single}[simp]$:
 $\mu_C s b [L] = L * b^\wedge (s - \text{Suc } 0)$
unfolding $\mu_C\text{-def}$ **by** *auto*

lemma *set-sum-atLeastLessThan-add*:
 $(\sum i=k..<k+(b::\text{nat}). f i) = (\sum i=0..<b. f (k + i))$
by (*induction b*) *auto*

lemma *set-sum-atLeastLessThan-Suc*:
 $(\sum i=1..<\text{Suc } j. f i) = (\sum i=0..<j. f (\text{Suc } i))$
using *set-sum-atLeastLessThan-add[of - 1 j]* **by** *force*

lemma $\mu_C\text{-cons}$:
 $\mu_C s b (L \# M) = L * b^\wedge (s - 1 - \text{length } M) + \mu_C s b M$
proof –
have $\mu_C s b (L \# M) = (\sum i=0..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$
unfolding $\mu_C\text{-def}$ **by** *blast*
also have $\dots = (\sum i=0..<1. (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$
 $+ (\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$
by (*rule setsum-add-nat-ivl[symmetric]*) *simp-all*
finally have $\mu_C s b (L \# M) = L * b^\wedge (s - 1 - \text{length } M)$
 $+ (\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$
by *auto*
moreover {
have $(\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M))) =$
 $(\sum i=0..<\text{length } (M). (L\#M)!(\text{Suc } i) * b^\wedge (s + (\text{Suc } i) - \text{length } (L\#M)))$
unfolding *length-Cons set-sum-atLeastLessThan-Suc* **by** *blast*
also have $\dots = (\sum i=0..<\text{length } (M). M!i * b^\wedge (s + i - \text{length } M))$
by *auto*
finally have $(\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M))) = \mu_C s b M$
unfolding $\mu_C\text{-def}$.
}
ultimately show *?thesis* **by** *presburger*
qed

lemma μ_C -append:

assumes $s \geq \text{length } (M @ M')$

shows $\mu_C \ s \ b \ (M @ M') = \mu_C \ (s - \text{length } M') \ b \ M + \mu_C \ s \ b \ M'$

proof –

have $\mu_C \ s \ b \ (M @ M') = (\sum_{i=0..<\text{length } (M @ M')} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$

unfolding μ_C -def **by** blast

moreover then have $\dots = (\sum_{i=0..<\text{length } M} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$
 $+ (\sum_{i=\text{length } M..<\text{length } (M @ M')} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$

by (auto intro!: setsum-add-nat-ivl[symmetric])

moreover

have $\forall i \in \{0..<\text{length } M\}. (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')) = M ! i * b^{\wedge} (s - \text{length } M' + i - \text{length } M)$

using $\langle s \geq \text{length } (M @ M') \rangle$ **by** (auto simp add: nth-append ac-simps)

then have $\mu_C \ (s - \text{length } M') \ b \ M = (\sum_{i=0..<\text{length } M} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$
 $(M @ M'))$

unfolding μ_C -def **by** auto

ultimately have $\mu_C \ s \ b \ (M @ M') = \mu_C \ (s - \text{length } M') \ b \ M$

$+ (\sum_{i=\text{length } M..<\text{length } (M @ M')} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$

by auto

moreover {

have $(\sum_{i=\text{length } M..<\text{length } (M @ M')} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$
 $(\sum_{i=0..<\text{length } M'} M'!i * b^{\wedge} (s + i - \text{length } M')) =$

unfolding length-append set-sum-atLeastLessThan-add **by** auto

then have $(\sum_{i=\text{length } M..<\text{length } (M @ M')} (M @ M')!i * b^{\wedge} (s + i - \text{length } (M @ M')))$
 $= \mu_C \ s \ b \ M'$

unfolding μ_C -def .

}

ultimately show ?thesis **by** presburger

qed

lemma μ_C -cons-non-empty-inf:

assumes $M\text{-ge-1}: \forall i \in \text{set } M. i \geq 1$ **and** $M: M \neq []$

shows $\mu_C \ s \ b \ M \geq b^{\wedge} (s - \text{length } M)$

using assms **by** (cases M) (auto simp: mult-eq-if μ_C -cons)

Duplicate of " /src/HOL/ex/NatSum.thy" (but generalized to $(0::'a) \leq k$)

lemma sum-of-powers: $0 \leq k \implies (k - 1) * (\sum_{i=0..<n} k^{\wedge} i) = k^{\wedge} n - (1::nat)$

apply (cases $k = 0$)

apply (cases n ; simp)

by (induct n) (auto simp: Nat.nat-distrib)

In the degenerated cases, we only have the large inequality holds. In the other cases, the following strict inequality holds:

lemma μ_C -bounded-non-degenerated:

fixes $b :: nat$

assumes

$b > 0$ **and**

$M \neq []$ **and**

$M\text{-le}: \forall i < \text{length } M. M!i < b$ **and**

$s \geq \text{length } M$

shows $\mu_C \ s \ b \ M < b^{\wedge} s$

proof –

consider ($b1$) $b = 1 \mid (b) \ b > 1$ **using** $\langle b > 0 \rangle$ **by** (cases b) auto

then show ?thesis

```

proof cases
  case b1
    then have  $\forall i < \text{length } M. M!i = 0$  using M-le by auto
    then have  $\mu_C \ s \ b \ M = 0$  unfolding  $\mu_C\text{-def}$  by auto
    then show ?thesis using  $\langle b > 0 \rangle$  by auto
next
  case b
    have  $\forall i \in \{0..<\text{length } M\}. M!i * b^\wedge (s+i - \text{length } M) \leq (b-1) * b^\wedge (s+i - \text{length } M)$ 
      using M-le  $\langle b > 1 \rangle$  by auto
    then have  $\mu_C \ s \ b \ M \leq (\sum i=0..<\text{length } M. (b-1) * b^\wedge (s+i - \text{length } M))$ 
      using  $\langle M \neq [] \rangle \langle b > 0 \rangle$  unfolding  $\mu_C\text{-def}$  by (auto intro: setsum-mono)
    also
      have  $\forall i \in \{0..<\text{length } M\}. (b-1) * b^\wedge (s+i - \text{length } M) = (b-1) * b^\wedge i * b^\wedge (s - \text{length } M)$ 
        by (metis Nat.add-diff-assoc2 add.commute assms(4) mult.assoc power-add)
      then have  $(\sum i=0..<\text{length } M. (b-1) * b^\wedge (s+i - \text{length } M))$ 
         $= (\sum i=0..<\text{length } M. (b-1) * b^\wedge i * b^\wedge (s - \text{length } M))$ 
        by (auto simp add: ac-simps)
      also have  $\dots = (\sum i=0..<\text{length } M. b^\wedge i) * b^\wedge (s - \text{length } M) * (b-1)$ 
        by (simp add: setsum-left-distrib setsum-right-distrib ac-simps)
      finally have  $\mu_C \ s \ b \ M \leq (\sum i=0..<\text{length } M. b^\wedge i) * (b-1) * b^\wedge (s - \text{length } M)$ 
        by (simp add: ac-simps)

    also
      have  $(\sum i=0..<\text{length } M. b^\wedge i) * (b-1) = b^\wedge (\text{length } M) - 1$ 
        using sum-of-powers[of b length M]  $\langle b > 1 \rangle$ 
        by (auto simp add: ac-simps)
      finally have  $\mu_C \ s \ b \ M \leq (b^\wedge (\text{length } M) - 1) * b^\wedge (s - \text{length } M)$ 
        by auto
      also have  $\dots < b^\wedge (\text{length } M) * b^\wedge (s - \text{length } M)$ 
        using  $\langle b > 1 \rangle$  by auto
      also have  $\dots = b^\wedge s$ 
        by (metis assms(4) le-add-diff-inverse power-add)
      finally show ?thesis unfolding  $\mu_C\text{-def}$  by (auto simp add: ac-simps)
qed
qed

```

In the degenerate case $b = (0::'a)$, the list M is empty (since the list cannot contain any element).

lemma $\mu_C\text{-bounded}$:

fixes $b :: \text{nat}$

assumes

$M\text{-le}: \forall i < \text{length } M. M!i < b$ **and**

$s \geq \text{length } M$

$b > 0$

shows $\mu_C \ s \ b \ M < b^\wedge s$

proof –

consider ($M0$) $M = [] \mid (M) \ b > 0$ **and** $M \neq []$

using *M-le* **by** (*cases b, cases M*) *auto*

then show *?thesis*

proof *cases*

case *M0*

then show *?thesis* **using** *M-le* $\langle b > 0 \rangle$ **by** *auto*

next

case *M*

show *?thesis* **using** $\mu_C\text{-bounded-non-degenerated}[OF \ M \ \text{assms}(1,2)]$ **by** *arith*

qed
qed

When $b = 0$, we cannot show that the measure is empty, since $0^0 = 1$.

lemma μ_C -base-0:
assumes $\text{length } M \leq s$
shows $\mu_C \ s \ 0 \ M \leq M!0$
proof –
{
 assume $s = \text{length } M$
 moreover {
 fix n
 have $(\sum_{i=0..<n}. M ! i * (0::\text{nat}) \wedge i) \leq M ! 0$
 apply (*induction n rule: nat-induct*)
 by *simp (rename-tac n, case-tac n, auto)*
 }
 ultimately have *?thesis* **unfolding** μ_C -def **by** *auto*
}
moreover
{
 assume $\text{length } M < s$
 then have $\mu_C \ s \ 0 \ M = 0$ **unfolding** μ_C -def **by** *auto*
 ultimately show *?thesis* **using** *assms* **unfolding** μ_C -def **by** *linarith*
}
qed

2.2 Initial definitions

2.2.1 The state

We define here an abstraction over operation on the state we are manipulating.

locale *dpll-state* =
fixes
 $\text{trail} :: 'st \Rightarrow ('v, \text{unit}, \text{unit}) \text{ ann-literals}$ **and**
 $\text{clauses} :: 'st \Rightarrow 'v \text{ clauses}$ **and**
 $\text{prepend-trail} :: ('v, \text{unit}, \text{unit}) \text{ ann-literal} \Rightarrow 'st \Rightarrow 'st$ **and**
 $\text{tl-trail} :: 'st \Rightarrow 'st$ **and**
 $\text{add-cl}_\text{NOT} :: 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$ **and**
 $\text{remove-cl}_\text{NOT} :: 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$
assumes
 $\text{trail-prepend-trail}[\text{simp}]$:
 $\bigwedge st L. \text{undefined-lit } (\text{trail } st) (\text{lit-of } L) \implies \text{trail } (\text{prepend-trail } L \ st) = L \# \text{trail } st$
 and
 $\text{tl-trail}[\text{simp}]$: $\text{trail } (\text{tl-trail } S) = \text{tl } (\text{trail } S)$ **and**
 $\text{trail-add-cl}_\text{NOT}[\text{simp}]$: $\bigwedge st C. \text{no-dup } (\text{trail } st) \implies \text{trail } (\text{add-cl}_\text{NOT} \ C \ st) = \text{trail } st$ **and**
 $\text{trail-remove-cl}_\text{NOT}[\text{simp}]$: $\bigwedge st C. \text{trail } (\text{remove-cl}_\text{NOT} \ C \ st) = \text{trail } st$ **and**

 $\text{clauses-prepend-trail}[\text{simp}]$:
 $\bigwedge st L. \text{undefined-lit } (\text{trail } st) (\text{lit-of } L) \implies \text{clauses } (\text{prepend-trail } L \ st) = \text{clauses } st$
 and
 $\text{clauses-tl-trail}[\text{simp}]$: $\bigwedge st. \text{clauses } (\text{tl-trail } st) = \text{clauses } st$ **and**
 $\text{clauses-add-cl}_\text{NOT}[\text{simp}]$:
 $\bigwedge st C. \text{no-dup } (\text{trail } st) \implies \text{clauses } (\text{add-cl}_\text{NOT} \ C \ st) = \{\#C\} + \text{clauses } st$ **and**
 $\text{clauses-remove-cl}_\text{NOT}[\text{simp}]$: $\bigwedge st C. \text{clauses } (\text{remove-cl}_\text{NOT} \ C \ st) = \text{remove-mset } C \ (\text{clauses } st)$
begin

function *reduce-trail-to_{NOT}* :: 'a list \Rightarrow 'st \Rightarrow 'st **where**
reduce-trail-to_{NOT} F S =
 (if length (trail S) = length F \vee trail S = [] then S else *reduce-trail-to_{NOT}* F (tl-trail S))
by fast+
termination by (relation measure ($\lambda(-, S). \text{length}(\text{trail } S)$)) auto
declare *reduce-trail-to_{NOT}.simps*[simp del]

lemma
shows
reduce-trail-to_{NOT}-nil[simp]: trail S = [] \implies *reduce-trail-to_{NOT}* F S = S **and**
reduce-trail-to_{NOT}-eq-length[simp]: length (trail S) = length F \implies *reduce-trail-to_{NOT}* F S = S
by (auto simp: *reduce-trail-to_{NOT}.simps*)

lemma *reduce-trail-to_{NOT}-length-ne*[simp]:
 length (trail S) \neq length F \implies trail S \neq [] \implies
reduce-trail-to_{NOT} F S = *reduce-trail-to_{NOT}* F (tl-trail S)
by (auto simp: *reduce-trail-to_{NOT}.simps*)

lemma *trail-reduce-trail-to_{NOT}-length-le*:
assumes length F > length (trail S)
shows trail (*reduce-trail-to_{NOT}* F S) = []
using assms **by** (induction F S rule: *reduce-trail-to_{NOT}.induct*)
 (simp add: less-imp-diff-less *reduce-trail-to_{NOT}.simps*)

lemma *trail-reduce-trail-to_{NOT}-nil*[simp]:
 trail (*reduce-trail-to_{NOT}* [] S) = []
by (induction [] S rule: *reduce-trail-to_{NOT}.induct*)
 (simp add: less-imp-diff-less *reduce-trail-to_{NOT}.simps*)

lemma *clauses-reduce-trail-to_{NOT}-nil*:
 clauses (*reduce-trail-to_{NOT}* [] S) = clauses S
by (induction [] S rule: *reduce-trail-to_{NOT}.induct*)
 (simp add: less-imp-diff-less *reduce-trail-to_{NOT}.simps*)

lemma *trail-reduce-trail-to_{NOT}-drop*:
 trail (*reduce-trail-to_{NOT}* F S) =
 (if length (trail S) \geq length F
 then drop (length (trail S) - length F) (trail S)
 else [])
apply (induction F S rule: *reduce-trail-to_{NOT}.induct*)
apply (rename-tac F S, case-tac trail S)
apply auto[]
apply (rename-tac list, case-tac Suc (length list) > length F)
prefer 2 **apply** simp
apply (subgoal-tac Suc (length list) - length F = Suc (length list - length F))
apply simp
apply simp
done

lemma *reduce-trail-to_{NOT}-skip-beginning*:
assumes trail S = F' @ F
shows trail (*reduce-trail-to_{NOT}* F S) = F
using assms **by** (auto simp: *trail-reduce-trail-to_{NOT}-drop*)

lemma *reduce-trail-to_{NOT}-clauses*[simp]:
clauses (*reduce-trail-to_{NOT}* *F S*) = *clauses S*
by (*induction F S rule: reduce-trail-to_{NOT}.induct*)
(simp add: less-imp-diff-less reduce-trail-to_{NOT}.simps)

abbreviation *trail-weight* **where**

trail-weight S \equiv *map (($\lambda l. 1 + \text{length } l$) o snd) (get-all-decided-decomposition (trail S))*

definition *state-eq_{NOT}* :: '*st* \Rightarrow '*st* \Rightarrow bool (**infix** \sim 50) **where**
S \sim *T* \longleftrightarrow *trail S* = *trail T* \wedge *clauses S* = *clauses T*

lemma *state-eq_{NOT}-ref*[simp]:
S \sim *S*
unfolding *state-eq_{NOT}-def* **by** *auto*

lemma *state-eq_{NOT}-sym*:
S \sim *T* \longleftrightarrow *T* \sim *S*
unfolding *state-eq_{NOT}-def* **by** *auto*

lemma *state-eq_{NOT}-trans*:
S \sim *T* \Longrightarrow *T* \sim *U* \Longrightarrow *S* \sim *U*
unfolding *state-eq_{NOT}-def* **by** *auto*

lemma
shows
state-eq_{NOT}-trail: *S* \sim *T* \Longrightarrow *trail S* = *trail T* **and**
state-eq_{NOT}-clauses: *S* \sim *T* \Longrightarrow *clauses S* = *clauses T*
unfolding *state-eq_{NOT}-def* **by** *auto*

lemmas *state-simp_{NOT}*[simp] = *state-eq_{NOT}-trail state-eq_{NOT}-clauses*

lemma *trail-eq-reduce-trail-to_{NOT}-eq*:
trail S = *trail T* \Longrightarrow *trail (reduce-trail-to_{NOT} F S)* = *trail (reduce-trail-to_{NOT} F T)*
apply (*induction F S arbitrary: T rule: reduce-trail-to_{NOT}.induct*)
by (*metis tl-trail reduce-trail-to_{NOT}-eq-length reduce-trail-to_{NOT}-length-ne reduce-trail-to_{NOT}-nil*)

lemma *reduce-trail-to_{NOT}-state-eq_{NOT}-compatible*:

assumes *ST*: *S* \sim *T*

shows *reduce-trail-to_{NOT} F S* \sim *reduce-trail-to_{NOT} F T*

proof –

have *clauses (reduce-trail-to_{NOT} F S)* = *clauses (reduce-trail-to_{NOT} F T)*

using *ST* **by** *auto*

moreover have *trail (reduce-trail-to_{NOT} F S)* = *trail (reduce-trail-to_{NOT} F T)*

using *trail-eq-reduce-trail-to_{NOT}-eq*[of *S T F*] *ST* **by** *auto*

ultimately show *?thesis* **by** (*auto simp del: state-simp_{NOT} simp: state-eq_{NOT}-def*)

qed

lemma *trail-reduce-trail-to_{NOT}-add-cl_{NOT}*[simp]:

no-dup (trail S) \Longrightarrow

trail (reduce-trail-to_{NOT} F (add-cl_{NOT} C S)) = *trail (reduce-trail-to_{NOT} F S)*

by (*rule trail-eq-reduce-trail-to_{NOT}-eq*) *simp*

lemma *reduce-trail-to_{NOT}-trail-tl-trail-decomp*[simp]:

trail S = *F' @ Decided K () # F* \Longrightarrow

trail (reduce-trail-to_{NOT} F (tl-trail S)) = *F*

apply (*rule reduce-trail-to_{NOT}-skip-beginning*[*of* - *tl* (*F'* @ *Decided K* () # [])])
by (*cases F'*) (*auto simp add:tl-append reduce-trail-to_{NOT}-skip-beginning*)

end

2.2.2 Definition of the operation

locale *propagate-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cl_{sNOT} remove-cl_{sNOT} **for**
trail :: '*st* ⇒ ('*v*, *unit*, *unit*) *ann-literals* **and**
clauses :: '*st* ⇒ '*v* *clauses* **and**
prepend-trail :: ('*v*, *unit*, *unit*) *ann-literal* ⇒ '*st* ⇒ '*st* **and**
tl-trail :: '*st* ⇒ '*st* **and**
add-cl_{sNOT} remove-cl_{sNOT}:: '*v* *clause* ⇒ '*st* ⇒ '*st* **and**
propagate-cond :: ('*v*, *unit*, *unit*) *ann-literal* ⇒ '*st* ⇒ *bool*
begin
inductive *propagate_{NOT}* :: '*st* ⇒ '*st* ⇒ *bool* **where**
propagate_{NOT}[*intro*]: *C* + {#*L*#} ∈ # *clauses* *S* ⇒ *trail S* ⊨_{as} *CNot C*
⇒ *undefined-lit* (*trail S*) *L*
⇒ *propagate-cond* (*Propagated L* ()) *S*
⇒ *T* ∼ *prepend-trail* (*Propagated L* ()) *S*
⇒ *propagate_{NOT}* *S T*
inductive-cases *propagate_{NOT}E*[*elim*]: *propagate_{NOT}* *S T*
end

locale *decide-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cl_{sNOT} remove-cl_{sNOT} **for**
trail :: '*st* ⇒ ('*v*, *unit*, *unit*) *ann-literals* **and**
clauses :: '*st* ⇒ '*v* *clauses* **and**
prepend-trail :: ('*v*, *unit*, *unit*) *ann-literal* ⇒ '*st* ⇒ '*st* **and**
tl-trail :: '*st* ⇒ '*st* **and**
add-cl_{sNOT} remove-cl_{sNOT}:: '*v* *clause* ⇒ '*st* ⇒ '*st*
begin
inductive *decide_{NOT}* :: '*st* ⇒ '*st* ⇒ *bool* **where**
decide_{NOT}[*intro*]: *undefined-lit* (*trail S*) *L* ⇒ *atm-of L* ∈ *atms-of-msu* (*clauses S*)
⇒ *T* ∼ *prepend-trail* (*Decided L* ()) *S*
⇒ *decide_{NOT}* *S T*
inductive-cases *decide_{NOT}E*[*elim*]: *decide_{NOT}* *S S'*
end

locale *backjumping-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cl_{sNOT} remove-cl_{sNOT}
for
trail :: '*st* ⇒ ('*v*, *unit*, *unit*) *ann-literals* **and**
clauses :: '*st* ⇒ '*v* *clauses* **and**
prepend-trail :: ('*v*, *unit*, *unit*) *ann-literal* ⇒ '*st* ⇒ '*st* **and**
tl-trail :: '*st* ⇒ '*st* **and**
add-cl_{sNOT} remove-cl_{sNOT}:: '*v* *clause* ⇒ '*st* ⇒ '*st* +
fixes
backjump-conds :: '*v* *clause* ⇒ '*v* *clause* ⇒ '*v* *literal* ⇒ '*st* ⇒ '*st* ⇒ *bool*
begin
inductive *backjump* **where**
trail S = *F'* @ *Decided K* () # *F*
⇒ *T* ∼ *prepend-trail* (*Propagated L* ()) (*reduce-trail-to_{NOT}* *F S*)

```

 $\Rightarrow C \in \# \text{ clauses } S$ 
 $\Rightarrow \text{trail } S \models_{as} CNot \ C$ 
 $\Rightarrow \text{undefined-lit } F \ L$ 
 $\Rightarrow \text{atm-of } L \in \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of } ' (\text{lits-of } (\text{trail } S))$ 
 $\Rightarrow \text{clauses } S \models_{pm} C' + \{\#L\# \}$ 
 $\Rightarrow F \models_{as} CNot \ C'$ 
 $\Rightarrow \text{backjump-conds } C \ C' \ L \ S \ T$ 
 $\Rightarrow \text{backjump } S \ T$ 
inductive-cases backjumpE: backjump S T
end

```

2.3 DPLL with backjumping

```

locale dpll-with-backjumping-ops =
  dpll-state trail clauses prepend-trail tl-trail add-clNOT remove-clNOT +
  propagate-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT propagate-conds +
  decide-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT +
  backjumping-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT backjump-conds
for
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
  clauses :: 'st  $\Rightarrow$  'v clauses and
  prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clNOT remove-clNOT :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  propagate-conds :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  bool and
  inv :: 'st  $\Rightarrow$  bool and
  backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool +
assumes
  bj-can-jump:
   $\bigwedge S \ C \ F' \ K \ F \ L.$ 
  inv S  $\Rightarrow$ 
  no-dup (trail S)  $\Rightarrow$ 
  trail S = F' @ Decided K () # F  $\Rightarrow$ 
   $C \in \# \text{ clauses } S \Rightarrow$ 
  trail S  $\models_{as} CNot \ C \Rightarrow$ 
  undefined-lit F L  $\Rightarrow$ 
  atm-of L  $\in \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of } ' (\text{lits-of } (F' @ \text{Decided } K \ () \ # \ F)) \Rightarrow$ 
  clauses S  $\models_{pm} C' + \{\#L\# \} \Rightarrow$ 
  F  $\models_{as} CNot \ C' \Rightarrow$ 
   $\neg \text{no-step backjump } S$ 
begin

```

We cannot add a like condition $\text{atms-of } C' \subseteq \text{atms-of-ms } N$ because to ensure that we can backjump even if the last decision variable has disappeared.

The part of the condition $\text{atm-of } L \in \text{atm-of } ' \text{ lits-of } (F' @ \text{Decided } K \ () \ # \ F)$ is important, otherwise you are not sure that you can backtrack.

2.3.1 Definition

We define *dpll* with backjumping:

```

inductive dpll-bj :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for S :: 'st where
  bj-decideNOT: decideNOT S S'  $\Rightarrow$  dpll-bj S S' |
  bj-propagateNOT: propagateNOT S S'  $\Rightarrow$  dpll-bj S S' |
  bj-backjump: backjump S S'  $\Rightarrow$  dpll-bj S S'

```

lemmas *dpll-bj-induct* = *dpll-bj.induct*[*split-format*(*complete*)]
thm *dpll-bj-induct*[*OF dpll-with-backjumping-ops-axioms*]
lemma *dpll-bj-all-induct*[*consumes 2, case-names decide_{NOT} propagate_{NOT} backjump*]:
fixes S T :: 'st
assumes
dpll-bj S T and
inv S
 $\bigwedge L T. \text{undefined-lit } (\text{trail } S) L \implies \text{atm-of } L \in \text{atms-of-msu } (\text{clauses } S)$
 $\implies T \sim \text{prepend-trail } (\text{Decided } L ()) S$
 $\implies P S T$ **and**
 $\bigwedge C L T. C + \{\#L\# \} \in \# \text{ clauses } S \implies \text{trail } S \models_{\text{as}} C \text{Not } C \implies \text{undefined-lit } (\text{trail } S) L$
 $\implies T \sim \text{prepend-trail } (\text{Propagated } L ()) S$
 $\implies P S T$ **and**
 $\bigwedge C F' K F L C' T. C \in \# \text{ clauses } S \implies F' @ \text{Decided } K () \# F \models_{\text{as}} C \text{Not } C$
 $\implies \text{trail } S = F' @ \text{Decided } K () \# F$
 $\implies \text{undefined-lit } F L$
 $\implies \text{atm-of } L \in \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' (lits-of } (F' @ \text{Decided } K () \# F))$
 $\implies \text{clauses } S \models_{\text{pm}} C' + \{\#L\# \}$
 $\implies F \models_{\text{as}} C \text{Not } C'$
 $\implies T \sim \text{prepend-trail } (\text{Propagated } L ()) (\text{reduce-trail-to}_{\text{NOT}} F S)$
 $\implies P S T$
shows *P S T*
apply (*induct T rule: dpll-bj-induct*[*OF local.dpll-with-backjumping-ops-axioms*])
apply (*rule assms*(1))
using *assms*(3) **apply** *blast*
apply (*elim propagate_{NOT}E*) **using** *assms*(4) **apply** *blast*
apply (*elim backjumpE*) **using** *assms*(5) *(inv S)* **by** *simp*

2.3.2 Basic properties

First, some better suited induction principle **lemma** *dpll-bj-clauses*:

assumes *dpll-bj S T and inv S*
shows *clauses S = clauses T*
using *assms* **by** (*induction rule: dpll-bj-all-induct*) *auto*

No duplicates in the trail **lemma** *dpll-bj-no-dup*:

assumes *dpll-bj S T and inv S*
and *no-dup (trail S)*
shows *no-dup (trail T)*
using *assms* **by** (*induction rule: dpll-bj-all-induct*)
(auto simp add: defined-lit-map reduce-trail-to_{NOT}-skip-beginning)

Valuations **lemma** *dpll-bj-sat-iff*:

assumes *dpll-bj S T and inv S*
shows $I \models_{\text{sm}} \text{clauses } S \longleftrightarrow I \models_{\text{sm}} \text{clauses } T$
using *assms* **by** (*induction rule: dpll-bj-all-induct*) *auto*

Clauses **lemma** *dpll-bj-atms-of-ms-clauses-inv*:

assumes
dpll-bj S T and
inv S
shows $\text{atms-of-msu } (\text{clauses } S) = \text{atms-of-msu } (\text{clauses } T)$
using *assms* **by** (*induction rule: dpll-bj-all-induct*) *auto*

lemma *dpll-bj-atms-in-trail*:

assumes

dpll-bj S T **and**

inv S **and**

atm-of ‘ (*lits-of* (*trail S*)) \subseteq *atms-of-msu* (*clauses S*)

shows *atm-of* ‘ (*lits-of* (*trail T*)) \subseteq *atms-of-msu* (*clauses S*)

using *assms* **by** (*induction rule*: *dpll-bj-all-induct*)

(*auto simp*: *in-plus-implies-atm-of-on-atms-of-ms reduce-trail-to_{NOT}-skip-beginning*)

lemma *dpll-bj-atms-in-trail-in-set*:

assumes *dpll-bj S T* **and**

inv S **and**

atms-of-msu (*clauses S*) \subseteq *A* **and**

atm-of ‘ (*lits-of* (*trail S*)) \subseteq *A*

shows *atm-of* ‘ (*lits-of* (*trail T*)) \subseteq *A*

using *assms* **by** (*induction rule*: *dpll-bj-all-induct*)

(*auto simp*: *in-plus-implies-atm-of-on-atms-of-ms*)

lemma *dpll-bj-all-decomposition-implies-inv*:

assumes

dpll-bj S T **and**

inv: *inv S* **and**

decomp: *all-decomposition-implies-m* (*clauses S*) (*get-all-decided-decomposition* (*trail S*))

shows *all-decomposition-implies-m* (*clauses T*) (*get-all-decided-decomposition* (*trail T*))

using *assms*(1,2)

proof (*induction rule*: *dpll-bj-all-induct*)

case *decide_{NOT}*

then show *?case* **using** *decomp* **by** *auto*

next

case (*propagate_{NOT} C L T*) **note** *propa* = *this*(1) **and** *undef* = *this*(3) **and** *T* = *this*(4)

let *?M'* = *trail* (*prepend-trail* (*Propagated L* ()) *S*)

let *?N* = *clauses S*

obtain *a y l* **where** *ay*: *get-all-decided-decomposition* *?M'* = (*a*, *y*) # *l*

by (*cases* *get-all-decided-decomposition* *?M'*) *fastforce*+

then have *M'*: *?M'* = *y* @ *a* **using** *get-all-decided-decomposition-decomp*[*of* *?M'*] **by** *auto*

have *M*: *get-all-decided-decomposition* (*trail S*) = (*a*, *tl y*) # *l*

using *ay undef* **by** (*cases* *get-all-decided-decomposition* (*trail S*)) *auto*

have *y₀*: *y* = (*Propagated L* ()) # (*tl y*)

using *ay undef* **by** (*auto simp add*: *M*)

from *arg-cong*[*OF this*, *of set*] **have** *y*[*simp*]: *set y* = *insert* (*Propagated L* ()) (*set* (*tl y*))

by *simp*

have *tr-S*: *trail S* = *tl y* @ *a*

using *arg-cong*[*OF M'*, *of tl*] *y₀ M* *get-all-decided-decomposition-decomp* **by** *force*

have *a-Un-N-M*: *unmark a* \cup *set-mset* *?N* \models_{ps} *unmark* (*tl y*)

using *decomp ay unfolding all-decomposition-implies-def* **by** (*simp add*: *M*)+

moreover have *unmark a* \cup *set-mset* *?N* \models_p {#*L*#} (**is** *?I* \models_p -)

proof (*rule true-clss-clss-plus-CNot*)

show *?I* \models_p *C* + {#*L*#}

using *propa propagate_{NOT}.prems* **by** (*auto dest*!: *true-clss-clss-in-imp-true-clss-clss*)

next

have ($\lambda m. \{ \#lit\text{-of } m \# \}$) ‘ *set* *?M'* \models_{ps} *CNot C*

using (*trail S* \models_{as} *CNot C*) *undef* **by** (*auto simp add*: *true-annots-true-clss-clss*)

have *a1*: ($\lambda m. \{ \#lit\text{-of } m \# \}$) ‘ *set a* \cup ($\lambda m. \{ \#lit\text{-of } m \# \}$) ‘ *set* (*tl y*) \models_{ps} *CNot C*

using *propagate_{NOT}.hyps*(2) *tr-S true-annots-true-clss-clss*

```

    by (force simp add: image-Un sup-commute)
  have a2: set-mset (clauses S) ∪ unmark a
    =ps unmark (tl y)
    using calculation by (auto simp add: sup-commute)
  show (λm. {#lit-of m#}) ' set a ∪ set-mset (clauses S) =ps CNot C
  proof -
    have set-mset (clauses S) ∪ (λm. {#lit-of m#}) ' set a =ps
      (λm. {#lit-of m#}) ' set a ∪ (λm. {#lit-of m#}) ' set (tl y)
      using a2 true-clss-clss-def by blast
    then show (λm. {#lit-of m#}) ' set a ∪ set-mset (clauses S) =ps CNot C
      using a1 unfolding sup-commute by (meson true-clss-clss-left-right
        true-clss-clss-union-and true-clss-clss-union-l-r )
  qed
qed

ultimately have unmark a ∪ set-mset ?N =ps unmark ?M'
  unfolding M' by (auto simp add: all-in-true-clss-clss image-Un)

then show ?case
  using decomp T M undef unfolding ay all-decomposition-implies-def by (auto simp add: ay)
next
case (backjump C F' K F L D T) note confl = this(2) and tr = this(3) and undef = this(4)
  and L = this(5) and N-C = this(6) and vars-D = this(5) and T = this(8)
have decomp: all-decomposition-implies-m (clauses S) (get-all-decided-decomposition F)
  using decomp unfolding tr all-decomposition-implies-def
  by (metis (no-types, lifting) get-all-decided-decomposition.simps(1)
    get-all-decided-decomposition-never-empty hd-Cons-tl insert-iff list.sel(3) list.set(2)
    tl-get-all-decided-decomposition-skip-some)

moreover have unmark (fst (hd (get-all-decided-decomposition F)))
  ∪ set-mset (clauses S)
  =ps unmark (snd (hd (get-all-decided-decomposition F)))
  by (metis all-decomposition-implies-cons-single decomp get-all-decided-decomposition-never-empty
    hd-Cons-tl)
moreover
  have vars-of-D: atms-of D ⊆ atm-of ' lits-of F
    using ⟨F =as CNot D⟩ unfolding atms-of-def
    by (meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined)

obtain a b li where F: get-all-decided-decomposition F = (a, b) # li
  by (cases get-all-decided-decomposition F) auto
have F = b @ a
  using get-all-decided-decomposition-decomp[of F a b] F by auto
have a-N-b: unmark a ∪ set-mset (clauses S) =ps unmark b
  using decomp unfolding all-decomposition-implies-def by (auto simp add: F)

have F-D: unmark F =ps CNot D
  using ⟨F =as CNot D⟩ by (simp add: true-annots-true-clss-clss)
then have unmark a ∪ unmark b =ps CNot D
  unfolding ⟨F = b @ a⟩ by (simp add: image-Un sup-commute)
have a-N-CNot-D: unmark a ∪ set-mset (clauses S)
  =ps CNot D ∪ unmark b
  apply (rule true-clss-clss-left-right)
  using a-N-b F-D unfolding ⟨F = b @ a⟩ by (auto simp add: image-Un ac-simps)

```

```

have a-N-D-L: unmark a  $\cup$  set-mset (clauses S)  $\models_p$  D+{#L#}
  by (simp add: N-C)
have unmark a  $\cup$  set-mset (clauses S)  $\models_p$  {#L#}
  using a-N-D-L a-N-CNot-D by (blast intro: true-clss-cls-plus-CNot)
then show ?case
  using decomp T tr undef unfolding all-decomposition-implies-def by (auto simp add: F)
qed

```

2.3.3 Termination

Using a proper measure lemma *length-get-all-decided-decomposition-append-Decided*:
 $\text{length (get-all-decided-decomposition (F' @ Decided K () \# F))} =$
 $\text{length (get-all-decided-decomposition F')}$
 $+ \text{length (get-all-decided-decomposition (Decided K () \# F))}$
 $- 1$
 by (induction F' rule: ann-literal-list-induct) auto

lemma *take-length-get-all-decided-decomposition-decided-sandwich*:
 $\text{take (length (get-all-decided-decomposition F))}$
 $(\text{map (f o snd) (rev (get-all-decided-decomposition (F' @ Decided K () \# F)))})$
 $=$
 $\text{map (f o snd) (rev (get-all-decided-decomposition F))}$

proof (induction F' rule: ann-literal-list-induct)
 case nil
 then show ?case by auto
next
 case (decided K)
 then show ?case by (simp add: length-get-all-decided-decomposition-append-Decided)
next
 case (proped L m F') note IH = this(1)
 obtain a b l where F': $\text{get-all-decided-decomposition (F' @ Decided K () \# F)} = (a, b) \# l$
 by (cases get-all-decided-decomposition (F' @ Decided K () \# F)) auto
 have $\text{length (get-all-decided-decomposition F)} - \text{length } l = 0$
 using length-get-all-decided-decomposition-append-Decided[of F' K F]
 unfolding F' by (cases get-all-decided-decomposition F') auto
 then show ?case
 using IH by (simp add: F')
qed

lemma *length-get-all-decided-decomposition-length*:
 $\text{length (get-all-decided-decomposition M)} \leq 1 + \text{length } M$
 by (induction M rule: ann-literal-list-induct) auto

lemma *length-in-get-all-decided-decomposition-bounded*:
 assumes $i:i \in \text{set (trail-weight S)}$
 shows $i \leq \text{Suc (length (trail S))}$
 proof -
 obtain a b where
 $(a, b) \in \text{set (get-all-decided-decomposition (trail S))}$ and
 $ib: i = \text{Suc (length } b)$
 using i by auto
 then obtain c where $\text{trail } S = c @ b @ a$
 using get-all-decided-decomposition-exists-prepend' by metis
 from arg-cong[OF this, of length] show ?thesis using i ib by auto
qed

Well-foundedness The bounds are the following:

- $1 + \text{card} (\text{atms-of-ms } A)$: $\text{card} (\text{atms-of-ms } A)$ is an upper bound on the length of the list. As *get-all-decided-decomposition* appends an possibly empty couple at the end, adding one is needed.
- $2 + \text{card} (\text{atms-of-ms } A)$: $\text{card} (\text{atms-of-ms } A)$ is an upper bound on the number of elements, where adding one is necessary for the same reason as for the bound on the list, and one is needed to have a strict bound.

abbreviation *unassigned-lit* :: 'b literal multiset set \Rightarrow 'a list \Rightarrow nat **where**

unassigned-lit $N \ M \equiv \text{card} (\text{atms-of-ms } N) - \text{length } M$

lemma *dpll-bj-trail-mes-increasing-prop*:

fixes $M :: ('v, \text{unit}, \text{unit}) \text{ ann-literals}$ **and** $N :: 'v \text{ clauses}$

assumes

dpll-bj $S \ T$ **and**

inv S **and**

$NA: \text{atms-of-msu} (\text{clauses } S) \subseteq \text{atms-of-ms } A$ **and**

$MA: \text{atm-of ' lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A$ **and**

$n\text{-d}: \text{no-dup} (\text{trail } S)$ **and**

finite: *finite* A

shows $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T)$

$> \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S)$

using *assms*(1,2)

proof (*induction rule*: *dpll-bj-all-induct*)

case (*propagate*_{NOT} $C \ L$) **note** $CLN = \text{this}(1)$ **and** $MC = \text{this}(2)$ **and** $\text{undef-L} = \text{this}(3)$ **and** $T = \text{this}(4)$

have *incl*: $\text{atm-of ' lits-of } (\text{Propagated } L \ ()) \# \text{trail } S \subseteq \text{atms-of-ms } A$

using *propagate*_{NOT}.*hyps* *propagate-ops.propagate*_{NOT} *dpll-bj-atms-in-trail-in-set* *bj-propagate*_{NOT}

$NA \ MA \ CLN$ **by** (*auto simp: in-plus-implies-atm-of-on-atms-of-ms*)

have *no-dup*: $\text{no-dup} (\text{Propagated } L \ ()) \# \text{trail } S$

using *defined-lit-map* $n\text{-d}$ *undef-L* **by** *auto*

obtain $a \ b \ l$ **where** $M: \text{get-all-decided-decomposition} (\text{trail } S) = (a, b) \# l$

by (*cases* *get-all-decided-decomposition* (*trail* S)) *auto*

have $b\text{-le-M}$: $\text{length } b \leq \text{length} (\text{trail } S)$

using *get-all-decided-decomposition-decomp*[*of* *trail* S] **by** (*simp add: M*)

have *finite* (*atms-of-ms* A) **using** *finite* **by** *simp*

then have $\text{length} (\text{Propagated } L \ ()) \# \text{trail } S \leq \text{card} (\text{atms-of-ms } A)$

using *incl* *finite* **unfolding** *no-dup-length-eq-card-atm-of-lits-of*[*OF* *no-dup*]

by (*simp add: card-mono*)

then have *latm*: $\text{unassigned-lit } A \ b = \text{Suc} (\text{unassigned-lit } A (\text{Propagated } L \ d \ \# \ b))$

using $b\text{-le-M}$ **by** *auto*

then show *?case* **using** $T \ \text{undef-L}$ **by** (*auto simp: latm M* $\mu_C\text{-cons}$)

next

case (*decide*_{NOT} L) **note** $\text{undef-L} = \text{this}(1)$ **and** $MC = \text{this}(2)$ **and** $T = \text{this}(3)$

have *incl*: $\text{atm-of ' lits-of } (\text{Decided } L \ ()) \# (\text{trail } S) \subseteq \text{atms-of-ms } A$

using *dpll-bj-atms-in-trail-in-set* *bj-decide*_{NOT} *decide*_{NOT}.*decide*_{NOT}[*OF* *decide*_{NOT}.*hyps*] $NA \ MA$

MC

by *auto*

have *no-dup*: $\text{no-dup} (\text{Decided } L \ ()) \# (\text{trail } S)$

using *defined-lit-map* $n\text{-d}$ *undef-L* **by** *auto*

obtain $a \ b \ l$ **where** $M: \text{get-all-decided-decomposition} (\text{trail } S) = (a, b) \# l$

```

by (cases get-all-decided-decomposition (trail S)) auto

then have length (Decided L () # (trail S)) ≤ card (atms-of-ms A)
  using incl finite unfolding no-dup-length-eq-card-atm-of-lits-of[OF no-dup]
  by (simp add: card-mono)
then have latm: unassigned-lit A (trail S) = Suc (unassigned-lit A (Decided L lv # (trail S)))
  by force
show ?case using T undef-L by (simp add: latm μC-cons)
next
case (backjump C F' K F L C' T) note undef-L = this(4) and MC = this(1) and tr-S = this(3)
and
  L = this(5) and T = this(8)
have incl: atm-of ' lits-of (Propagated L () # F) ⊆ atms-of-ms A
  using dpll-bj-atms-in-trail-in-set NA MA tr-S L by auto

have no-dup: no-dup (Propagated L () # F)
  using defined-lit-map n-d undef-L tr-S by auto
obtain a b l where M: get-all-decided-decomposition (trail S) = (a, b) # l
  by (cases get-all-decided-decomposition (trail S)) auto
have b-le-M: length b ≤ length (trail S)
  using get-all-decided-decomposition-decomp[of trail S] by (simp add: M)
have fin-atms-A: finite (atms-of-ms A) using finite by simp

then have F-le-A: length (Propagated L () # F) ≤ card (atms-of-ms A)
  using incl finite unfolding no-dup-length-eq-card-atm-of-lits-of[OF no-dup]
  by (simp add: card-mono)
have tr-S-le-A: length (trail S) ≤ (card (atms-of-ms A))
  using n-d MA by (metis fin-atms-A card-mono no-dup-length-eq-card-atm-of-lits-of)
obtain a b l where F: get-all-decided-decomposition F = (a, b) # l
  by (cases get-all-decided-decomposition F) auto
then have F = b @ a
  using get-all-decided-decomposition-decomp[of Propagated L () # F a
    Propagated L () # b] by simp
then have latm: unassigned-lit A b = Suc (unassigned-lit A (Propagated L () # b))
  using F-le-A by simp
obtain rem where
  rem: map (λa. Suc (length (snd a))) (rev (get-all-decided-decomposition (F' @ Decided K () # F)))
    = map (λa. Suc (length (snd a))) (rev (get-all-decided-decomposition F)) @ rem
  using take-length-get-all-decided-decomposition-decided-sandwich[of F λa. Suc (length a) F' K]
  unfolding o-def by (metis append-take-drop-id)
then have rem: map (λa. Suc (length (snd a)))
  (get-all-decided-decomposition (F' @ Decided K () # F))
    = rev rem @ map (λa. Suc (length (snd a))) ((get-all-decided-decomposition F))
  by (simp add: rev-map[symmetric] rev-swap)
have length (rev rem @ map (λa. Suc (length (snd a))) (get-all-decided-decomposition F))
    ≤ Suc (card (atms-of-ms A))
  using arg-cong[OF rem, of length] tr-S-le-A
  length-get-all-decided-decomposition-length[of F' @ Decided K () # F] tr-S by auto
moreover
  { fix i :: nat and xs :: 'a list
    have i < length xs ⇒ length xs - Suc i < length xs
    by auto
    then have H: i < length xs ⇒ rev xs ! i ∈ set xs
    using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
  } note H = this

```

have $\forall i < \text{length } \text{rem}. \text{rev } \text{rem} ! i < \text{card } (\text{atms-of-ms } A) + 2$
using $\text{tr-S-le-A } \text{length-in-get-all-decided-decomposition-bounded}[\text{of } - S]$ **unfolding** tr-S
by $(\text{force simp add: o-def rem dest!: H intro: length-get-all-decided-decomposition-length})$
ultimately show $?case$
using $\mu_C\text{-bounded}[\text{of rev rem card } (\text{atms-of-ms } A)+2 \text{ unassigned-lit } A \text{ l}] \text{ T undef-L}$
by $(\text{simp add: rem } \mu_C\text{-append } \mu_C\text{-cons } F \text{ tr-S})$
qed

lemma $\text{dpll-bj-trail-mes-decreasing-prop}$:

assumes $\text{dpll: dpll-bj } S \text{ T}$ **and** $\text{inv: inv } S$ **and**
 $N\text{-A: atms-of-msu } (\text{clauses } S) \subseteq \text{atms-of-ms } A$ **and**
 $M\text{-A: atm-of ' lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A$ **and**
 $\text{nd: no-dup } (\text{trail } S)$ **and**
 $\text{fin-A: finite } A$
shows $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $\quad - \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } T)$
 $\quad < (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $\quad - \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } S)$

proof –

let $?b = 2 + \text{card } (\text{atms-of-ms } A)$
let $?s = 1 + \text{card } (\text{atms-of-ms } A)$
let $?μ = \mu_C \text{ ?s ?b}$
have $M'\text{-A: atm-of ' lits-of } (\text{trail } T) \subseteq \text{atms-of-ms } A$
by $(\text{meson } M\text{-A } N\text{-A } \text{dpll dpll-bj-atms-in-trail-in-set inv})$
have $\text{nd': no-dup } (\text{trail } T)$
using $\langle \text{dpll-bj } S \text{ T} \rangle \text{ dpll-bj-no-dup nd inv}$ **by** blast
{ fix $i :: \text{nat}$ **and** $xs :: 'a \text{ list}$
have $i < \text{length } xs \implies \text{length } xs - \text{Suc } i < \text{length } xs$
by auto
then have $H: i < \text{length } xs \implies xs ! i \in \text{set } xs$
using $\text{rev-nth}[\text{of } i \text{ xs}]$ **unfolding** in-set-conv-nth **by** $(\text{force simp add: in-set-conv-nth})$
} **note** $H = \text{this}$

have $l\text{-M-A: length } (\text{trail } S) \leq \text{card } (\text{atms-of-ms } A)$
by $(\text{simp add: fin-A } M\text{-A card-mono no-dup-length-eq-card-atm-of-lits-of nd})$
have $l\text{-M'-A: length } (\text{trail } T) \leq \text{card } (\text{atms-of-ms } A)$
by $(\text{simp add: fin-A } M'\text{-A card-mono no-dup-length-eq-card-atm-of-lits-of nd'})$
have $l\text{-trail-weight-M: length } (\text{trail-weight } T) \leq 1 + \text{card } (\text{atms-of-ms } A)$
using $l\text{-M'-A length-get-all-decided-decomposition-length}[\text{of trail } T]$ **by** auto
have $\text{bounded-M: } \forall i < \text{length } (\text{trail-weight } T). (\text{trail-weight } T)! i < \text{card } (\text{atms-of-ms } A) + 2$
using $\text{length-in-get-all-decided-decomposition-bounded}[\text{of } - T] \text{ l-M'-A}$
by $(\text{metis (no-types, lifting) Nat.le-trans One-nat-def Suc-1 add.right-neutral add-Suc-right le-imp-less-Suc less-eq-Suc-le nth-mem})$

from $\text{dpll-bj-trail-mes-increasing-prop}[\text{OF dpll inv } N\text{-A } M\text{-A nd fin-A}]$

have $\mu_C \text{ ?s ?b } (\text{trail-weight } S) < \mu_C \text{ ?s ?b } (\text{trail-weight } T)$ **by** simp

moreover from $\mu_C\text{-bounded}[\text{OF bounded-M } l\text{-trail-weight-M}]$

have $\mu_C \text{ ?s ?b } (\text{trail-weight } T) \leq ?b \wedge ?s$ **by** auto

ultimately show $?thesis$ **by** linarith

qed

lemma wf-dpll-bj :

assumes $\text{fin: finite } A$

shows $\text{wf } \{(T, S). \text{dpll-bj } S \text{ T}$

$\quad \wedge \text{atms-of-msu } (\text{clauses } S) \subseteq \text{atms-of-ms } A \wedge \text{atm-of ' lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A$

```

     $\wedge$  no-dup (trail S)  $\wedge$  inv S}
  (is wf ?A)
proof (rule wf-bounded-measure[of -
     $\lambda$ -. (2 + card (atms-of-ms A))  $\wedge$  (1 + card (atms-of-ms A))
     $\lambda$ S.  $\mu_C$  (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight S)])
  fix a b :: 'st
  let ?b = 2 + card (atms-of-ms A)
  let ?s = 1 + card (atms-of-ms A)
  let ? $\mu$  =  $\mu_C$  ?s ?b
  assume ab: (b, a)  $\in$  {(T, S). dpll-bj S T
     $\wedge$  atms-of-msu (clauses S)  $\subseteq$  atms-of-ms A  $\wedge$  atm-of ' lits-of (trail S)  $\subseteq$  atms-of-ms A
     $\wedge$  no-dup (trail S)  $\wedge$  inv S}

  have fin-A: finite (atms-of-ms A)
  using fin by auto
  have
    dpll-bj: dpll-bj a b and
    N-A: atms-of-msu (clauses a)  $\subseteq$  atms-of-ms A and
    M-A: atm-of ' lits-of (trail a)  $\subseteq$  atms-of-ms A and
    nd: no-dup (trail a) and
    inv: inv a
  using ab by auto

  have M'-A: atm-of ' lits-of (trail b)  $\subseteq$  atms-of-ms A
  by (meson M-A N-A  $\langle$ dpll-bj a b $\rangle$  dpll-bj-atms-in-trail-in-set inv)
  have nd': no-dup (trail b)
  using  $\langle$ dpll-bj a b $\rangle$  dpll-bj-no-dup nd inv by blast
  { fix i :: nat and xs :: 'a list
    have i < length xs  $\implies$  length xs - Suc i < length xs
    by auto
    then have H: i < length xs  $\implies$  xs ! i  $\in$  set xs
    using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
  } note H = this

  have l-M-A: length (trail a)  $\leq$  card (atms-of-ms A)
  by (simp add: fin-A M-A card-mono no-dup-length-eq-card-atm-of-lits-of nd)
  have l-M'-A: length (trail b)  $\leq$  card (atms-of-ms A)
  by (simp add: fin-A M'-A card-mono no-dup-length-eq-card-atm-of-lits-of nd')
  have l-trail-weight-M: length (trail-weight b)  $\leq$  1 + card (atms-of-ms A)
  using l-M'-A length-get-all-decided-decomposition-length[of trail b] by auto
  have bounded-M:  $\forall$  i < length (trail-weight b). (trail-weight b) ! i < card (atms-of-ms A) + 2
  using length-in-get-all-decided-decomposition-bounded[of - b] l-M'-A
  by (metis (no-types, lifting) Nat.le-trans One-nat-def Suc-1 add.right-neutral add-Suc-right
    le-imp-less-Suc less-eq-Suc-le nth-mem)

  from dpll-bj-trail-mes-increasing-prop[OF dpll-bj inv N-A M-A nd fin]
  have  $\mu_C$  ?s ?b (trail-weight a) <  $\mu_C$  ?s ?b (trail-weight b) by simp
  moreover from  $\mu_C$ -bounded[OF bounded-M l-trail-weight-M]
  have  $\mu_C$  ?s ?b (trail-weight b)  $\leq$  ?b  $\wedge$  ?s by auto
  ultimately show ?b  $\wedge$  ?s  $\leq$  ?b  $\wedge$  ?s  $\wedge$ 
     $\mu_C$  ?s ?b (trail-weight b)  $\leq$  ?b  $\wedge$  ?s  $\wedge$ 
     $\mu_C$  ?s ?b (trail-weight a) <  $\mu_C$  ?s ?b (trail-weight b)
  by blast
qed

```

2.3.4 Normal Forms

We prove that given a normal form of DPLL, with some invariants, the either N is satisfiable and the built valuation M is a model; or N is unsatisfiable.

Idea of the proof: We have to prove that *satisfiable* N , $\neg M \models_{as} N$ and there is no remaining step is incompatible.

1. The *decide* rules tells us that every variable in N has a value.
2. $\neg M \models_{as} N$ tells us that there is conflict.
3. There is at least one decision in the trail (otherwise, M is a model of N).
4. Now if we build the clause with all the decision literals of the trail, we can apply the *backjump* rule.

The assumption are saying that we have a finite upper bound A for the literals, that we cannot do any step *no-step dpll-bj* S

theorem *dpll-backjump-final-state*:

fixes $A :: 'v$ literal multiset set **and** $S\ T :: 'st$

assumes

atms-of-msu (clauses S) \subseteq *atms-of-ms* A **and**

atm-of ' *lits-of* (trail S) \subseteq *atms-of-ms* A **and**

no-dup (trail S) **and**

finite A **and**

inv: *inv* S **and**

n-s: *no-step dpll-bj* S **and**

decomp: *all-decomposition-implies-m* (clauses S) (*get-all-decided-decomposition* (trail S))

shows *unsatisfiable* (set-mset (clauses S))

\vee (trail $S \models_{asm}$ clauses $S \wedge$ *satisfiable* (set-mset (clauses S)))

proof –

let $?N =$ set-mset (clauses S)

let $?M =$ trail S

consider

(*sat*) *satisfiable* $?N$ **and** $?M \models_{as} ?N$

| (*sat'*) *satisfiable* $?N$ **and** $\neg ?M \models_{as} ?N$

| (*unsat*) *unsatisfiable* $?N$

by *auto*

then show *?thesis*

proof *cases*

case *sat'* **note** $sat =$ *this*(1) **and** $M =$ *this*(2)

obtain C **where** $C \in ?N$ **and** $\neg ?M \models_a C$ **using** M **unfolding** *true-annots-def* **by** *auto*

obtain $I :: 'v$ literal set **where**

$I \models_s ?N$ **and**

cons: *consistent-interp* I **and**

tot: *total-over-m* I $?N$ **and**

atm-I-N: *atm-of* ' $I \subseteq$ *atms-of-ms* $?N$

using sat **unfolding** *satisfiable-def-min* **by** *auto*

let $?I = I \cup \{P \mid P. P \in \text{lits-of } ?M \wedge \text{atm-of } P \notin \text{atm-of ' } I\}$

let $?O = \{\{\#lit\text{-of } L\# \mid L. is\text{-decided } L \wedge L \in \text{set } ?M \wedge \text{atm-of } (lit\text{-of } L) \notin \text{atms-of-ms } ?N\}\}$

have *cons-I'*: *consistent-interp* $?I$

using *cons* **using** (*no-dup* $?M$) **unfolding** *consistent-interp-def*

by (*auto simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def*

dest!: *no-dup-cannot-not-lit-and-uminus*)

```

have tot-I': total-over-m ?I (?N ∪ unmark ?M)
  using tot atms-of-s-def unfolding total-over-m-def total-over-set-def
  by fastforce
have {P | P. P ∈ lits-of ?M ∧ atm-of P ∉ atm-of 'I} ⊨s ?O
  using ⟨I ⊨s ?N⟩ atm-I-N by (auto simp add: atm-of-eq-atm-of true-clss-def lits-of-def)
then have I'-N: ?I ⊨s ?N ∪ ?O
  using ⟨I ⊨s ?N⟩ true-clss-union-increase by force
have tot': total-over-m ?I (?N ∪ ?O)
  using atm-I-N tot unfolding total-over-m-def total-over-set-def
  by (force simp: image-iff lits-of-def dest!: is-decided-ex-Decided)

have atms-N-M: atms-of-ms ?N ⊆ atm-of 'lits-of ?M
  proof (rule ccontr)
    assume ¬ ?thesis
    then obtain l :: 'v where
      l-N: l ∈ atms-of-ms ?N and
      l-M: l ∉ atm-of 'lits-of ?M
    by auto
    have undefined-lit ?M (Pos l)
      using l-M by (metis Decided-Propagated-in-iff-in-lits-of
        atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set literal.sel(1))
    from bj-decideNOT[OF decideNOT[OF this]] show False
      using l-N n-s by (metis literal.sel(1) state-eqNOT-ref)
  qed

have ?M ⊨as CNot C
  by (metis ⟨C ∈ set-mset (clauses S)⟩ ⟨¬ trail S ⊨a C⟩ all-variables-defined-not-imply-cnot
    atms-N-M atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of atms-of-ms-CNot-atms-of-ms
    subset-eq)
have ∃ l ∈ set ?M. is-decided l
  proof (rule ccontr)
    let ?O = {{#lit-of L#} | L. is-decided L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
    have ∅[iff]: ∧ I. total-over-m I (?N ∪ ?O ∪ unmark ?M)
      ⟷ total-over-m I (?N ∪ unmark ?M)
    unfolding total-over-set-def total-over-m-def atms-of-ms-def by auto
    assume ¬ ?thesis
    then have [simp]: {{#lit-of L#} | L. is-decided L ∧ L ∈ set ?M}
      = {{#lit-of L#} | L. is-decided L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
    by auto
    then have ?N ∪ ?O ⊨ps unmark ?M
      using all-decomposition-implies-propagated-lits-are-implied[OF decomp] by auto

    then have ?I ⊨s unmark ?M
      using cons-I' I'-N tot-I' ⟨?I ⊨s ?N ∪ ?O⟩ unfolding ∅ true-clss-clss-def by blast
    then have lits-of ?M ⊆ ?I
      unfolding true-clss-def lits-of-def by auto
    then have ?M ⊨as ?N
      using I'-N ⟨C ∈ ?N⟩ ⟨¬ ?M ⊨a C⟩ cons-I' atms-N-M
      by (meson ⟨trail S ⊨as CNot C⟩ consistent-CNot-not rev-subsetD sup-ge1 true-annot-def
        true-annots-def true-clss-mono-set-mset-l true-clss-def)
    then show False using M by fast
  qed
from List.split-list-first-propE[OF this] obtain K :: 'v literal and
  F F' :: ('v, unit, unit) ann-literal list where
  M-K: ?M = F' @ Decided K () # F and

```

```

nm:  $\forall f \in \text{set } F'. \neg \text{is-decided } f$ 
unfolding is-decided-def by (metis (full-types) old.unit.exhaust)
let  $?K = \text{Decided } K () :: ('v, \text{unit}, \text{unit}) \text{ ann-literal}$ 
have  $?K \in \text{set } ?M$ 
unfolding M-K by auto
let  $?C = \text{image-mset lit-of } \{\#L \in \# \text{mset } ?M. \text{is-decided } L \wedge L \neq ?K\# \} :: 'v \text{ literal multiset}$ 
let  $?C' = \text{set-mset } (\text{image-mset } (\lambda L :: 'v \text{ literal}. \{\#L\# \}) (?C + \{\# \text{lit-of } ?K\# \}))$ 
have  $?N \cup \{\{\# \text{lit-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } ?M\} \models_{ps} \text{unmark } ?M$ 
using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
moreover have  $C': ?C' = \{\{\# \text{lit-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } ?M\}$ 
unfolding M-K apply standard
apply force
using IntI by auto
ultimately have  $N-C-M: ?N \cup ?C' \models_{ps} \text{unmark } ?M$ 
by auto
have  $N-M\text{-False}: ?N \cup (\lambda L. \{\# \text{lit-of } L\# \}) '(\text{set } ?M) \models_{ps} \{\{\#\}\}$ 
using  $M \langle ?M \models_{as} C \text{Not } C \rangle \langle C \in ?N \rangle$  unfolding true-clss-clss-def true-annots-def Ball-def
true-annot-def by (metis consistent-CNot-not sup.orderE sup-commute true-clss-def
true-clss-singleton-lit-of-implies-incl true-clss-union true-clss-union-increase)

have undefined-lit F K using  $\langle \text{no-dup } ?M \rangle$  unfolding M-K by (simp add: defined-lit-map)
moreover
have  $?N \cup ?C' \models_{ps} \{\{\#\}\}$ 
proof –
have  $A: ?N \cup ?C' \cup \text{unmark } ?M =$ 
 $?N \cup \text{unmark } ?M$ 
unfolding M-K by auto
show ?thesis
using true-clss-clss-left-right[OF N-C-M, of  $\{\{\#\}\}$ ] N-M-False unfolding  $A$  by auto
qed
have  $?N \models_p \text{image-mset uminus } ?C + \{\# - K\# \}$ 
unfolding true-clss-clss-def true-clss-clss-def total-over-m-def
proof (intro allI impI)
fix  $I$ 
assume
tot: total-over-set I (atms-of-ms (?N  $\cup$  {image-mset uminus ?C + {# - K#}})) and
cons: consistent-interp I and
 $I \models_s ?N$ 
have  $(K \in I \wedge -K \notin I) \vee (-K \in I \wedge K \notin I)$ 
using cons tot unfolding consistent-interp-def by (cases K) auto
have tot': total-over-set I
 $(\text{atm-of } ' \text{lit-of } ' (\text{set } ?M \cap \{L. \text{is-decided } L \wedge L \neq \text{Decided } K ()\}))$ 
using tot by (auto simp add: atms-of-uminus-lit-atm-of-lit-of)
{ fix  $x :: ('v, \text{unit}, \text{unit}) \text{ ann-literal}$ 
assume
a3: lit-of x  $\notin$  I and
a1: x  $\in$  set ?M and
a4: is-decided x and
a5: x  $\neq$  Decided K ()
then have  $\text{Pos } (\text{atm-of } (\text{lit-of } x)) \in I \vee \text{Neg } (\text{atm-of } (\text{lit-of } x)) \in I$ 
using a5 a4 tot' a1 unfolding total-over-set-def atms-of-s-def by blast
moreover have  $f6: \text{Neg } (\text{atm-of } (\text{lit-of } x)) = - \text{Pos } (\text{atm-of } (\text{lit-of } x))$ 
by simp
ultimately have  $- \text{lit-of } x \in I$ 
using f6 a3 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)

```

```

    literal.sel(1))
  } note  $H = \text{this}$ 

  have  $\neg I \models_s ?C'$ 
    using  $\langle ?N \cup ?C' \models_{ps} \{\{\#\}\} \rangle \text{ tot cons } \langle I \models_s ?N \rangle$ 
    unfolding true-clss-clss-def total-over-m-def
    by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
  then show  $I \models \text{image-mset } \text{uminus } ?C + \{\# - K \#\}$ 
    unfolding true-clss-def true-cl-def Bex-mset-def
    using  $\langle (K \in I \wedge -K \notin I) \vee (-K \in I \wedge K \notin I) \rangle$ 
    by (auto dest!: H)
  qed
  moreover have  $F \models_{as} C\text{Not } (\text{image-mset } \text{uminus } ?C)$ 
    using nm unfolding true-annots-def CNot-def M-K by (auto simp add: lits-of-def)
  ultimately have False
    using bj-can-jump[of S F' K F C -K
      image-mset uminus (image-mset lit-of  $\{\# L : \# \text{ mset } ?M. \text{is-decided } L \wedge L \neq \text{Decided } K ()\#\}$ )
       $\langle C \in ?N \rangle n\text{-s } \langle ?M \models_{as} C\text{Not } C \rangle \text{ bj-backjump inv } \langle \text{no-dup } (\text{trail } S) \rangle$ 
      unfolding M-K by auto]
    then show ?thesis by fast
  qed auto
qed
end

locale dpll-with-backjumping =
  dpll-with-backjumping-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT
  propagate-conds inv backjump-conds
for
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
  clauses :: 'st  $\Rightarrow$  'v clauses and
  prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and tl-trail :: 'st  $\Rightarrow$  'st and
  add-clNOT remove-clNOT :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  propagate-conds :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  bool and
  inv :: 'st  $\Rightarrow$  bool and
  backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool
+
  assumes dpll-bj-inv:  $\bigwedge S T. \text{dpll-bj } S T \Longrightarrow \text{inv } S \Longrightarrow \text{inv } T$ 
begin

lemma rtrancpl-dpll-bj-inv:
  assumes dpll-bj** S T and inv S
  shows inv T
  using assms by (induction rule: rtrancpl-induct)
  (auto simp add: dpll-bj-no-dup intro: dpll-bj-inv)

lemma rtrancpl-dpll-bj-no-dup:
  assumes dpll-bj** S T and inv S
  and no-dup (trail S)
  shows no-dup (trail T)
  using assms by (induction rule: rtrancpl-induct)
  (auto simp add: dpll-bj-no-dup dest: rtrancpl-dpll-bj-inv dpll-bj-inv)

lemma rtrancpl-dpll-bj-atms-of-ms-clauses-inv:
  assumes
    dpll-bj** S T and inv S

```


shows $\text{atms-of-msu}(\text{clauses } S) = \text{atms-of-msu}(\text{clauses } T)$
using *assms* **by** (*induction rule*: *rtranclp-induct*)
(auto dest: rtranclp-dpll-bj-inv dpll-bj-atms-of-ms-clauses-inv)

lemma *rtranclp-dpll-bj-atms-in-trail*:

assumes
*dpll-bj** S T and*
inv S and
atm-of ' (lits-of (trail S)) \subseteq atms-of-msu (clauses S)
shows *atm-of ' (lits-of (trail T)) \subseteq atms-of-msu (clauses T)*
using *assms* **apply** (*induction rule*: *rtranclp-induct*)
using *dpll-bj-atms-in-trail dpll-bj-atms-of-ms-clauses-inv rtranclp-dpll-bj-inv* **by** *auto*

lemma *rtranclp-dpll-bj-sat-iff*:

assumes *dpll-bj** S T and inv S*
shows $I \models_{sm} \text{clauses } S \longleftrightarrow I \models_{sm} \text{clauses } T$
using *assms* **by** (*induction rule*: *rtranclp-induct*)
(auto dest!: dpll-bj-sat-iff simp: rtranclp-dpll-bj-inv)

lemma *rtranclp-dpll-bj-atms-in-trail-in-set*:

assumes
*dpll-bj** S T and*
inv S
atms-of-msu (clauses S) \subseteq A and
atm-of ' (lits-of (trail S)) \subseteq A
shows *atm-of ' (lits-of (trail T)) \subseteq A*
using *assms*
by (*induction rule*: *rtranclp-induct*)
(auto dest: rtranclp-dpll-bj-inv
simp add: dpll-bj-atms-in-trail-in-set rtranclp-dpll-bj-atms-of-ms-clauses-inv
rtranclp-dpll-bj-inv)

lemma *rtranclp-dpll-bj-all-decomposition-implies-inv*:

assumes
*dpll-bj** S T and*
inv S
all-decomposition-implies-m (clauses S) (get-all-decided-decomposition (trail S))
shows *all-decomposition-implies-m (clauses T) (get-all-decided-decomposition (trail T))*
using *assms* **by** (*induction rule*: *rtranclp-induct*)
(auto intro: dpll-bj-all-decomposition-implies-inv simp: rtranclp-dpll-bj-inv)

lemma *rtranclp-dpll-bj-inv-incl-dpll-bj-inv-trancl*:

$\{(T, S). \text{dpll-bj}^{++} S T$
 $\wedge \text{atms-of-msu}(\text{clauses } S) \subseteq \text{atms-of-ms } A \wedge \text{atm-of ' lits-of (trail S)} \subseteq \text{atms-of-ms } A$
 $\wedge \text{no-dup (trail S)} \wedge \text{inv S}\}$
 $\subseteq \{(T, S). \text{dpll-bj } S T \wedge \text{atms-of-msu}(\text{clauses } S) \subseteq \text{atms-of-ms } A$
 $\wedge \text{atm-of ' lits-of (trail S)} \subseteq \text{atms-of-ms } A \wedge \text{no-dup (trail S)} \wedge \text{inv S}\}^+$
(is ?A \subseteq ?B⁺)

proof *standard*

fix *x*
assume *x-A: x \in ?A*
obtain *S T::'st* **where**
x[simp]: x = (T, S) by (cases x) auto
have
dpll-bj⁺⁺ S T and

$atms\text{-}of\text{-}msu\ (clauses\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $atm\text{-}of\ ' lits\text{-}of\ (trail\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $no\text{-}dup\ (trail\ S)$ **and**
 $inv\ S$
using $x\text{-}A$ **by** *auto*
then show $x \in ?B^+$ **unfolding** x
proof (*induction rule: tranclp-induct*)
case *base*
then show $?case$ **by** *auto*
next
case ($step\ T\ U$) **note** $step = this(1)$ **and** $ST = this(2)$ **and** $IH = this(3)[OF\ this(4-7)]$
and $N\text{-}A = this(4)$ **and** $M\text{-}A = this(5)$ **and** $nd = this(6)$ **and** $inv = this(7)$

have [*simp*]: $atms\text{-}of\text{-}msu\ (clauses\ S) = atms\text{-}of\text{-}msu\ (clauses\ T)$
using $step\ rtranclp\text{-}dpll\text{-}bj\text{-}atms\text{-}of\text{-}ms\text{-}clauses\text{-}inv\ tranclp\text{-}into\text{-}rtranclp\ inv$ **by** *fastforce*
have $no\text{-}dup\ (trail\ T)$
using $local.step\ nd\ rtranclp\text{-}dpll\text{-}bj\text{-}no\text{-}dup\ tranclp\text{-}into\text{-}rtranclp\ inv$ **by** *fastforce*
moreover have $atm\text{-}of\ ' (lits\text{-}of\ (trail\ T)) \subseteq atms\text{-}of\text{-}ms\ A$
by ($metis\ inv\ M\text{-}A\ N\text{-}A\ local.step\ rtranclp\text{-}dpll\text{-}bj\text{-}atms\text{-}in\text{-}trail\text{-}in\text{-}set\ tranclp\text{-}into\text{-}rtranclp$)
moreover have $inv\ T$
using $inv\ local.step\ rtranclp\text{-}dpll\text{-}bj\text{-}inv\ tranclp\text{-}into\text{-}rtranclp$ **by** *fastforce*
ultimately have $(U, T) \in ?B$ **using** $ST\ N\text{-}A\ M\text{-}A\ inv$ **by** *auto*
then show $?case$ **using** IH **by** (*rule tranclp-into-trancl2*)
qed
qed

lemma *wf-tranclp-dpll-bj*:
assumes $fin: finite\ A$
shows $wf\ \{(T, S). dpll\text{-}bj^{++}\ S\ T$
 $\wedge atms\text{-}of\text{-}msu\ (clauses\ S) \subseteq atms\text{-}of\text{-}ms\ A \wedge atm\text{-}of\ ' lits\text{-}of\ (trail\ S) \subseteq atms\text{-}of\text{-}ms\ A$
 $\wedge no\text{-}dup\ (trail\ S) \wedge inv\ S\}$
using $wf\text{-}trancl[OF\ wf\text{-}dpll\text{-}bj[OF\ fin]]\ rtranclp\text{-}dpll\text{-}bj\text{-}inv\text{-}incl\text{-}dpll\text{-}bj\text{-}inv\text{-}trancl$
by (*rule wf-subset*)

lemma *dpll-bj-sat-ext-iff*:
 $dpll\text{-}bj\ S\ T \implies inv\ S \implies I \models_{sextm} clauses\ S \longleftrightarrow I \models_{sextm} clauses\ T$
by (*simp add: dpll-bj-clauses*)

lemma *rtranclp-dpll-bj-sat-ext-iff*:
 $dpll\text{-}bj^{**}\ S\ T \implies inv\ S \implies I \models_{sextm} clauses\ S \longleftrightarrow I \models_{sextm} clauses\ T$
by (*induction rule: rtranclp-induct*) (*simp-all add: rtranclp-dpll-bj-inv dpll-bj-sat-ext-iff*)

theorem *full-dpll-backjump-final-state*:
fixes $A :: 'v\ literal\ multiset\ set$ **and** $S\ T :: 'st$
assumes
 $full: full\ dpll\text{-}bj\ S\ T$ **and**
 $atms\text{-}S: atms\text{-}of\text{-}msu\ (clauses\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $atms\text{-}trail: atm\text{-}of\ ' lits\text{-}of\ (trail\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $n\text{-}d: no\text{-}dup\ (trail\ S)$ **and**
 $finite\ A$ **and**
 $inv: inv\ S$ **and**
 $decomp: all\text{-}decomposition\text{-}implies\text{-}m\ (clauses\ S)\ (get\text{-}all\text{-}decided\text{-}decomposition\ (trail\ S))$
shows $unsatisfiable\ (set\text{-}mset\ (clauses\ S))$
 $\vee (trail\ T \models_{asm} clauses\ S \wedge satisfiable\ (set\text{-}mset\ (clauses\ S)))$

proof –

have $st: dpll\text{-}bj^{**} S T$ **and** $no\text{-}step\ dpll\text{-}bj\ T$
using $full\ unfolding\ full\text{-}def$ **by** $fast+$
moreover **have** $atms\text{-}of\text{-}msu\ (clauses\ T) \subseteq atms\text{-}of\text{-}ms\ A$
using $atms\text{-}S\ inv\ rtranclp\text{-}dpll\text{-}bj\text{-}atms\text{-}of\text{-}ms\text{-}clauses\text{-}inv\ st$ **by** $blast$
moreover **have** $atm\text{-}of\ 'lits\text{-}of\ (trail\ T) \subseteq atms\text{-}of\text{-}ms\ A$
using $atms\text{-}S\ atms\text{-}trail\ inv\ rtranclp\text{-}dpll\text{-}bj\text{-}atms\text{-}in\text{-}trail\text{-}in\text{-}set\ st$ **by** $auto$
moreover **have** $no\text{-}dup\ (trail\ T)$
using $n\text{-}d\ inv\ rtranclp\text{-}dpll\text{-}bj\text{-}no\text{-}dup\ st$ **by** $blast$
moreover **have** $inv: inv\ T$
using $inv\ rtranclp\text{-}dpll\text{-}bj\text{-}inv\ st$ **by** $blast$
moreover
have $decomp: all\text{-}decomposition\text{-}implies\text{-}m\ (clauses\ T)\ (get\text{-}all\text{-}decided\text{-}decomposition\ (trail\ T))$
using $\langle inv\ S \rangle\ decomp\ rtranclp\text{-}dpll\text{-}bj\text{-}all\text{-}decomposition\text{-}implies\text{-}inv\ st$ **by** $blast$
ultimately **have** $unsatisfiable\ (set\text{-}mset\ (clauses\ T))$
 $\vee\ (trail\ T \models_{asm}\ clauses\ T \wedge\ satisfiable\ (set\text{-}mset\ (clauses\ T)))$
using $\langle finite\ A \rangle\ dpll\text{-}backjump\text{-}final\text{-}state$ **by** $force$
then **show** $?thesis$
by $(meson\ \langle inv\ S \rangle\ rtranclp\text{-}dpll\text{-}bj\text{-}sat\text{-}iff\ satisfiable\text{-}carac\ st\ true\text{-}annots\text{-}true\text{-}cls)$
qed

corollary $full\text{-}dpll\text{-}backjump\text{-}final\text{-}state\text{-}from\text{-}init\text{-}state$:

fixes $A :: 'v\ literal\ multiset\ set$ **and** $S\ T :: 'st$
assumes
 $full: full\ dpll\text{-}bj\ S\ T$ **and**
 $trail\ S = []$ **and**
 $clauses\ S = N$ **and**
 $inv\ S$
shows $unsatisfiable\ (set\text{-}mset\ N) \vee\ (trail\ T \models_{asm}\ N \wedge\ satisfiable\ (set\text{-}mset\ N))$
using $assms\ full\text{-}dpll\text{-}backjump\text{-}final\text{-}state[of\ S\ T\ set\text{-}mset\ N]$ **by** $auto$

lemma $trancplp\text{-}dpll\text{-}bj\text{-}trail\text{-}mes\text{-}decreasing\text{-}prop$:

assumes $dpll: dpll\text{-}bj^{++} S T$ **and** $inv: inv\ S$ **and**
 $N\text{-}A: atms\text{-}of\text{-}msu\ (clauses\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $M\text{-}A: atm\text{-}of\ 'lits\text{-}of\ (trail\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $n\text{-}d: no\text{-}dup\ (trail\ S)$ **and**
 $fin\text{-}A: finite\ A$
shows $(2 + card\ (atms\text{-}of\text{-}ms\ A)) \wedge\ (1 + card\ (atms\text{-}of\text{-}ms\ A))$
 $\quad -\ \mu_C\ (1 + card\ (atms\text{-}of\text{-}ms\ A))\ (2 + card\ (atms\text{-}of\text{-}ms\ A))\ (trail\text{-}weight\ T)$
 $\quad <\ (2 + card\ (atms\text{-}of\text{-}ms\ A)) \wedge\ (1 + card\ (atms\text{-}of\text{-}ms\ A))$
 $\quad -\ \mu_C\ (1 + card\ (atms\text{-}of\text{-}ms\ A))\ (2 + card\ (atms\text{-}of\text{-}ms\ A))\ (trail\text{-}weight\ S)$
using $dpll$

proof $(induction)$

case $base$

then **show** $?case$

using $N\text{-}A\ M\text{-}A\ n\text{-}d\ dpll\text{-}bj\text{-}trail\text{-}mes\text{-}decreasing\text{-}prop\ fin\text{-}A\ inv$ **by** $blast$

next

case $(step\ T\ U)$ **note** $st = this(1)$ **and** $dpll = this(2)$ **and** $IH = this(3)$

have $atms\text{-}of\text{-}msu\ (clauses\ S) = atms\text{-}of\text{-}msu\ (clauses\ T)$

using $rtranclp\text{-}dpll\text{-}bj\text{-}atms\text{-}of\text{-}ms\text{-}clauses\text{-}inv$ **by** $(metis\ dpll\text{-}bj\text{-}clauses\ dpll\text{-}bj\text{-}inv\ inv\ st\ trancplpD)$

then **have** $N\text{-}A': atms\text{-}of\text{-}msu\ (clauses\ T) \subseteq atms\text{-}of\text{-}ms\ A$

using $N\text{-}A$ **by** $auto$

moreover **have** $M\text{-}A': atm\text{-}of\ 'lits\text{-}of\ (trail\ T) \subseteq atms\text{-}of\text{-}ms\ A$

by $(meson\ M\text{-}A\ N\text{-}A\ inv\ rtranclp\text{-}dpll\text{-}bj\text{-}atms\text{-}in\text{-}trail\text{-}in\text{-}set\ st\ dpll)$

```

    tranclp.r-into-trancl tranclp-into-rtranclp tranclp-trans)
moreover have nd: no-dup (trail T)
  by (metis inv n-d rtranclp-dpll-bj-no-dup st tranclp-into-rtranclp)
moreover have inv T
  by (meson dpll dpll-bj-inv inv rtranclp-dpll-bj-inv st tranclp-into-rtranclp)
ultimately show ?case
  using IH dpll-bj-trail-mes-decreasing-prop[of T U A] dpll fin-A by linarith
qed

end

```

2.4 CDCL

2.4.1 Learn and Forget

```

locale learn-ops =
  dpll-state trail clauses prepend-trail tl-trail add-clsNOT remove-clsNOT
for
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
  clauses :: 'st  $\Rightarrow$  'v clauses and
  prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and tl-trail :: 'st  $\Rightarrow$  'st and
  add-clsNOT remove-clsNOT:: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st +
fixes
  learn-cond :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  bool

begin
inductive learn :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  clauses S  $\models_{pm}$  C  $\implies$  atms-of C  $\subseteq$  atms-of-msu (clauses S)  $\cup$  atm-of ' (lits-of (trail S))
   $\implies$  learn-cond C S
   $\implies$  T  $\sim$  add-clsNOT C S
   $\implies$  learn S T
inductive-cases learnNOTE: learn S T

lemma learn- $\mu_C$ -stable:
  assumes learn S T and no-dup (trail S)
  shows  $\mu_C$  A B (trail-weight S) =  $\mu_C$  A B (trail-weight T)
  using assms by (auto elim: learnNOTE)
end

```

```

locale forget-ops =
  dpll-state trail clauses prepend-trail tl-trail add-clsNOT remove-clsNOT
for
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
  clauses :: 'st  $\Rightarrow$  'v clauses and
  prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and tl-trail :: 'st  $\Rightarrow$  'st and
  add-clsNOT remove-clsNOT:: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st +
fixes
  forget-cond :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  bool

begin
inductive forgetNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  forgetNOT:clauses S - replicate-mset (count (clauses S) C) C  $\models_{pm}$  C
   $\implies$  forget-cond C S
   $\implies$  C  $\in \#$  clauses S
   $\implies$  T  $\sim$  remove-clsNOT C S
   $\implies$  forgetNOT S T
inductive-cases forgetNOTE: forgetNOT S T

```

```

lemma forget- $\mu_C$ -stable:
  assumes forgetNOT  $S$   $T$ 
  shows  $\mu_C$   $A$   $B$  (trail-weight  $S$ ) =  $\mu_C$   $A$   $B$  (trail-weight  $T$ )
  using assms by (auto elim!: forgetNOT $E$ )
end

locale learn-and-forgetNOT =
  learn-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT learn-cond +
  forget-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT forget-cond
  for
    trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
    clauses :: 'st  $\Rightarrow$  'v clauses and
    prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-clNOT remove-clNOT:: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    learn-cond forget-cond :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  bool
  begin
  inductive learn-and-forgetNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool
  where
    lf-learn: learn  $S$   $T$   $\Longrightarrow$  learn-and-forgetNOT  $S$   $T$  |
    lf-forget: forgetNOT  $S$   $T$   $\Longrightarrow$  learn-and-forgetNOT  $S$   $T$ 
  end

```

2.4.2 Definition of CDCL

```

locale conflict-driven-clause-learning-ops =
  dpll-with-backjumping-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT
  propagate-conds inv backjump-conds +
  learn-and-forgetNOT trail clauses prepend-trail tl-trail add-clNOT remove-clNOT learn-cond
  forget-cond
  for
    trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
    clauses :: 'st  $\Rightarrow$  'v clauses and
    prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-clNOT remove-clNOT:: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    propagate-conds :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  bool and
    inv :: 'st  $\Rightarrow$  bool and
    backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
    learn-cond forget-cond :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  bool
  begin

  inductive cdclNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for  $S$  :: 'st where
    c-dpll-bj: dpll-bj  $S$   $S'$   $\Longrightarrow$  cdclNOT  $S$   $S'$  |
    c-learn: learn  $S$   $S'$   $\Longrightarrow$  cdclNOT  $S$   $S'$  |
    c-forgetNOT: forgetNOT  $S$   $S'$   $\Longrightarrow$  cdclNOT  $S$   $S'$ 

```

```

lemma cdclNOT-all-induct[consumes 1, case-names dpll-bj learn forgetNOT]:
  fixes  $S$   $T$  :: 'st
  assumes cdclNOT  $S$   $T$  and
  dpll:  $\bigwedge T. \text{dpll-bj } S \ T \Longrightarrow P \ S \ T$  and
  learning:
     $\bigwedge C \ T. \text{clauses } S \models_{pm} C \Longrightarrow$ 
    atms-of  $C \subseteq \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of } ' \ (\text{lits-of } (\text{trail } S)) \Longrightarrow$ 
     $T \sim \text{add-cl}_{NOT} \ C \ S \Longrightarrow$ 

```

$P S T$ and
forgetting: $\bigwedge C T. \text{ clauses } S - \text{replicate-mset } (\text{count } (\text{clauses } S) C) C \models_{pm} C \implies$
 $C \in \# \text{ clauses } S \implies$
 $T \sim \text{remove-cl}_S \text{ NOT } C S \implies$
 $P S T$
shows $P S T$
using *assms*(1) **by** (*induction rule:* $\text{cdcl}_{NOT}.\text{induct}$)
(auto intro: *assms*(2, 3, 4) *elim!:* $\text{learn}_{NOT} E \text{ forget}_{NOT} E$)*+*

lemma $\text{cdcl}_{NOT}\text{-no-dup}$:

assumes
 $\text{cdcl}_{NOT} S T$ **and**
 $\text{inv } S$ **and**
 $\text{no-dup } (\text{trail } S)$
shows $\text{no-dup } (\text{trail } T)$
using *assms* **by** (*induction rule:* $\text{cdcl}_{NOT}\text{-all-induct}$) (*auto intro:* dpll-bj-no-dup)

Consistency of the trail lemma $\text{cdcl}_{NOT}\text{-consistent}$:

assumes
 $\text{cdcl}_{NOT} S T$ **and**
 $\text{inv } S$ **and**
 $\text{no-dup } (\text{trail } S)$
shows $\text{consistent-interp } (\text{lits-of } (\text{trail } T))$
using $\text{cdcl}_{NOT}\text{-no-dup}[OF \text{ assms}] \text{ distinctconsistent-interp}$ **by** *fast*

The subtle problem here is that tautologies can be removed, meaning that some variable can disappear of the problem. It is also possible that some variable of the trail are not in the clauses anymore.

lemma $\text{cdcl}_{NOT}\text{-atms-of-ms-clauses-decreasing}$:

assumes $\text{cdcl}_{NOT} S T$ **and** $\text{inv } S$ **and** $\text{no-dup } (\text{trail } S)$
shows $\text{atms-of-msu } (\text{clauses } T) \subseteq \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of } ' (\text{lits-of } (\text{trail } S))$
using *assms* **by** (*induction rule:* $\text{cdcl}_{NOT}\text{-all-induct}$)
(auto dest!: $\text{dpll-bj-atms-of-ms-clauses-inv set-mp simp add: atms-of-ms-def Union-eq}$)

lemma $\text{cdcl}_{NOT}\text{-atms-in-trail}$:

assumes $\text{cdcl}_{NOT} S T$ **and** $\text{inv } S$ **and** $\text{no-dup } (\text{trail } S)$
and $\text{atm-of } ' (\text{lits-of } (\text{trail } S)) \subseteq \text{atms-of-msu } (\text{clauses } S)$
shows $\text{atm-of } ' (\text{lits-of } (\text{trail } T)) \subseteq \text{atms-of-msu } (\text{clauses } S)$
using *assms* **by** (*induction rule:* $\text{cdcl}_{NOT}\text{-all-induct}$) (*auto simp add:* $\text{dpll-bj-atms-in-trail}$)

lemma $\text{cdcl}_{NOT}\text{-atms-in-trail-in-set}$:

assumes
 $\text{cdcl}_{NOT} S T$ **and** $\text{inv } S$ **and** $\text{no-dup } (\text{trail } S)$ **and**
 $\text{atms-of-msu } (\text{clauses } S) \subseteq A$ **and**
 $\text{atm-of } ' (\text{lits-of } (\text{trail } S)) \subseteq A$
shows $\text{atm-of } ' (\text{lits-of } (\text{trail } T)) \subseteq A$
using *assms*
by (*induction rule:* $\text{cdcl}_{NOT}\text{-all-induct}$)
(simp-all add: $\text{dpll-bj-atms-in-trail-in-set dpll-bj-atms-of-ms-clauses-inv}$)

lemma $\text{cdcl}_{NOT}\text{-all-decomposition-implies}$:

assumes $\text{cdcl}_{NOT} S T$ **and** $\text{inv } S$ **and** $n\text{-d}[\text{simp}]: \text{no-dup } (\text{trail } S)$ **and**
 $\text{all-decomposition-implies-m } (\text{clauses } S) (\text{get-all-decided-decomposition } (\text{trail } S))$
shows
 $\text{all-decomposition-implies-m } (\text{clauses } T) (\text{get-all-decided-decomposition } (\text{trail } T))$

```

using assms(1,2,4)
proof (induction rule: cdclNOT-all-induct)
  case dpll-bj
  then show ?case
    using dpll-bj-all-decomposition-implies-inv n-d by blast
next
  case learn
  then show ?case by (auto simp add: all-decomposition-implies-def)
next
  case (forgetNOT C T) note cls-C = this(1) and C = this(2) and T = this(3) and iniv = this(4)
and
  decomp = this(5)
show ?case
  unfolding all-decomposition-implies-def Ball-def
proof (intro allI, clarify)
  fix a b
  assume (a, b)  $\in$  set (get-all-decided-decomposition (trail T))
  then have unmark a  $\cup$  set-mset (clauses S)  $\models_{ps}$  unmark b
    using decomp T by (auto simp add: all-decomposition-implies-def)
  moreover
    have C  $\in$  set-mset (clauses S)
    by (simp add: C)
    then have set-mset (clauses T)  $\models_{ps}$  set-mset (clauses S)
    by (metis (no-types) T clauses-remove-clsNOT cls-C insert-Diff order-refl
      set-mset-minus-replicate-mset(1) state-eqNOT-clauses true-clss-clss-def
      true-clss-clss-insert)
    ultimately show unmark a  $\cup$  set-mset (clauses T)
       $\models_{ps}$  unmark b
    using true-clss-clss-generalise-true-clss-clss by blast
  qed
qed

```

Extension of models **lemma** *cdcl_{NOT}-bj-sat-ext-iff*:

assumes *cdcl_{NOT} S T* **and** *inv S* **and** *n-d: no-dup (trail S)*

shows $I \models_{sextm} \text{clauses } S \longleftrightarrow I \models_{sextm} \text{clauses } T$

using *assms*

proof (*induction rule: cdcl_{NOT}-all-induct*)

case *dpll-bj*

then show ?*case* **by** (*simp add: dpll-bj-clauses*)

next

case (*learn C T*) **note** *T = this(3)*

{ fix *J*

assume

I \models_{sextm} *clauses S* **and**

I \subseteq *J* **and**

tot: total-over-m J (set-mset ({#C#} + (clauses S))) **and**

cons: consistent-interp J

then have *J* \models_{sm} *clauses S* **unfolding** *true-clss-ext-def* **by** *auto*

moreover

with $\langle \text{clauses } S \models_{pm} C \rangle$ **have** *J* \models *C*

using *tot cons* **unfolding** *true-clss-clss-def* **by** *auto*

ultimately have *J* \models_{sm} $\{ \#C \# \} + \text{clauses } S$ **by** *auto*

}

then have *H: I* $\models_{sextm} (\text{clauses } S) \implies I \models_{sext} \text{insert } C (\text{set-mset } (\text{clauses } S))$

```

  unfolding true-clss-ext-def by auto
show ?case
apply standard
  using T n-d apply (auto simp add: H)[]
  using T n-d apply simp
  by (metis Diff-insert-absorb insert-subset subsetI subset-antisym
    true-clss-ext-decrease-right-remove-r)
next
case (forgetNOT C T) note cls-C = this(1) and T = this(3)
{ fix J
  assume
    I  $\models_{\text{set}}$  set-mset (clauses S) - {C} and
    I  $\subseteq$  J and
    tot: total-over-m J (set-mset (clauses S)) and
    cons: consistent-interp J
  then have J  $\models_s$  set-mset (clauses S) - {C}
    unfolding true-clss-ext-def by (meson Diff-subset total-over-m-subset)

  moreover
  with cls-C have J  $\models$  C
    using tot cons unfolding true-clss-cl-def
    by (metis Un-commute forgetNOT.hyps(2) insert-Diff insert-is-Un mem-set-mset-iff order-refl
      set-mset-minus-replicate-mset(1))
  ultimately have J  $\models_{sm}$  (clauses S) by (metis insert-Diff-single true-clss-insert)
}
then have H: I  $\models_{\text{set}}$  set-mset (clauses S) - {C}  $\implies$  I  $\models_{\text{setm}}$  (clauses S)
  unfolding true-clss-ext-def by blast
show ?case using T by (auto simp: true-clss-ext-decrease-right-remove-r H)
qed

end — end of conflict-driven-clause-learning-ops

```

2.5 CDCL with invariant

```

locale conflict-driven-clause-learning =
  conflict-driven-clause-learning-ops +
  assumes cdclNOT-inv:  $\bigwedge S T. \text{cdcl}_{\text{NOT}} S T \implies \text{inv } S \implies \text{inv } T$ 
begin
sublocale dpll-with-backjumping
  apply unfold-locales
  using cdclNOT.simps cdclNOT-inv by auto

lemma rtranclp-cdclNOT-inv:
  cdclNOT** S T  $\implies$  inv S  $\implies$  inv T
  by (induction rule: rtranclp-induct) (auto simp add: cdclNOT-inv)

lemma rtranclp-cdclNOT-no-dup:
  assumes cdclNOT** S T and inv S
  and no-dup (trail S)
  shows no-dup (trail T)
  using assms by (induction rule: rtranclp-induct) (auto intro: cdclNOT-no-dup rtranclp-cdclNOT-inv)

lemma rtranclp-cdclNOT-trail-clauses-bound:
  assumes
    cdcl: cdclNOT** S T and
    inv: inv S and

```


$n\text{-d}$: $\text{no-dup } (\text{trail } S)$ **and**
 $\text{atms-clauses-}S$: $\text{atms-of-msu } (\text{clauses } S) \subseteq A$ **and**
 $\text{atms-trail-}S$: $\text{atm-of } '(\text{lits-of } (\text{trail } S)) \subseteq A$
shows $\text{atm-of } '(\text{lits-of } (\text{trail } T)) \subseteq A \wedge \text{atms-of-msu } (\text{clauses } T) \subseteq A$
using cdcl
proof (*induction rule: rtranclp-induct*)
case base
then show $?case$ **using** $\text{atms-clauses-}S$ $\text{atms-trail-}S$ **by** simp
next
case ($\text{step } T \ U$) **note** $st = \text{this}(1)$ **and** $\text{cdcl}_{NOT} = \text{this}(2)$ **and** $IH = \text{this}(3)$
have $\text{inv } T$ **using** $\text{inv } st$ $\text{rtranclp-cdcl}_{NOT}\text{-inv}$ **by** blast
have $\text{no-dup } (\text{trail } T)$
using $\text{rtranclp-cdcl}_{NOT}\text{-no-dup}[of \ S \ T]$ st cdcl_{NOT} $\text{inv } n\text{-d}$ **by** blast
then have $\text{atms-of-msu } (\text{clauses } U) \subseteq A$
using $\text{cdcl}_{NOT}\text{-atms-of-ms-clauses-decreasing}[OF \ \text{cdcl}_{NOT}]$ IH $n\text{-d}$ $\langle \text{inv } T \rangle$ **by** auto
moreover
have $\text{atm-of } '(\text{lits-of } (\text{trail } U)) \subseteq A$
using $\text{cdcl}_{NOT}\text{-atms-in-trail-in-set}[OF \ \text{cdcl}_{NOT}, of \ A]$ $\langle \text{no-dup } (\text{trail } T) \rangle$
by ($\text{meson } \text{atms-trail-}S$ $\text{atms-clauses-}S$ IH $\langle \text{inv } T \rangle$ cdcl_{NOT})
ultimately show $?case$ **by** fast
qed

lemma $\text{rtranclp-cdcl}_{NOT}\text{-all-decomposition-implies}$:
assumes $\text{cdcl}_{NOT}^{**} \ S \ T$ **and** $\text{inv } S$ **and** $\text{no-dup } (\text{trail } S)$ **and**
 $\text{all-decomposition-implies-}m$ ($\text{clauses } S$) ($\text{get-all-decided-decomposition } (\text{trail } S)$)
shows
 $\text{all-decomposition-implies-}m$ ($\text{clauses } T$) ($\text{get-all-decided-decomposition } (\text{trail } T)$)
using assms **by** (*induction*)
(auto intro: rtranclp-cdcl_{NOT}-inv cdcl_{NOT}-all-decomposition-implies rtranclp-cdcl_{NOT}-no-dup)

lemma $\text{rtranclp-cdcl}_{NOT}\text{-bj-sat-ext-iff}$:
assumes $\text{cdcl}_{NOT}^{**} \ S \ T$ **and** $\text{inv } S$ **and** $\text{no-dup } (\text{trail } S)$
shows $I \models_{\text{sextm}} \text{clauses } S \longleftrightarrow I \models_{\text{sextm}} \text{clauses } T$
using assms **apply** (*induction rule: rtranclp-induct*)
using $\text{cdcl}_{NOT}\text{-bj-sat-ext-iff}$ **by** (*auto intro: rtranclp-cdcl_{NOT}-inv rtranclp-cdcl_{NOT}-no-dup*)

definition $\text{cdcl}_{NOT}\text{-NOT-all-inv}$ **where**
 $\text{cdcl}_{NOT}\text{-NOT-all-inv } A \ S \longleftrightarrow (\text{finite } A \wedge \text{inv } S \wedge \text{atms-of-msu } (\text{clauses } S) \subseteq \text{atms-of-ms } A$
 $\wedge \text{atm-of } ' \text{lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A \wedge \text{no-dup } (\text{trail } S))$

lemma $\text{cdcl}_{NOT}\text{-NOT-all-inv}$:
assumes $\text{cdcl}_{NOT}^{**} \ S \ T$ **and** $\text{cdcl}_{NOT}\text{-NOT-all-inv } A \ S$
shows $\text{cdcl}_{NOT}\text{-NOT-all-inv } A \ T$
using assms **unfolding** $\text{cdcl}_{NOT}\text{-NOT-all-inv-def}$
by (*simp add: rtranclp-cdcl_{NOT}-inv rtranclp-cdcl_{NOT}-no-dup rtranclp-cdcl_{NOT}-trail-clauses-bound*)

abbreviation learn-or-forget **where**
 $\text{learn-or-forget } S \ T \equiv (\lambda S \ T. \text{learn } S \ T \vee \text{forget}_{NOT} \ S \ T) \ S \ T$

lemma $\text{rtranclp-learn-or-forget-cdcl}_{NOT}$:
 $\text{learn-or-forget}^{**} \ S \ T \implies \text{cdcl}_{NOT}^{**} \ S \ T$
using $\text{rtranclp-mono}[of \ \text{learn-or-forget } \text{cdcl}_{NOT}]$ $\text{cdcl}_{NOT}.c\text{-learn}$ $\text{cdcl}_{NOT}.c\text{-forget}_{NOT}$ **by** blast

lemma $\text{learn-or-forget-dpll-}\mu_C$:

assumes
l-f: *learn-or-forget*** *S T* **and**
dpll: *dpll-bj* *T U* **and**
inv: *cdcl*_{NOT}-*NOT-all-inv* *A S*
shows $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } U)$
 $< (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } S)$
(is $?_{\mu} U < ?_{\mu} S$ **)**
proof $-$
have $?_{\mu} S = ?_{\mu} T$
using *l-f*
proof (*induction*)
case *base*
then show *?case* **by** *simp*
next
case (*step* *T U*)
moreover then have *no-dup* (*trail* *T*)
using *rtrancpl-cdcl*_{NOT}-*no-dup*[*of S T*] *cdcl*_{NOT}-*NOT-all-inv-def inv*
*rtrancpl-learn-or-forget-cdcl*_{NOT} **by** *auto*
ultimately show *?case*
using *forget- μ_C -stable learn- μ_C -stable inv* **unfolding** *cdcl*_{NOT}-*NOT-all-inv-def* **by** *presburger*
qed
moreover have *cdcl*_{NOT}-*NOT-all-inv* *A T*
using *rtrancpl-learn-or-forget-cdcl*_{NOT} *cdcl*_{NOT}-*NOT-all-inv* *l-f inv* **by** *blast*
ultimately show *?thesis*
using *dpll-bj-trail-mes-decreasing-prop*[*of T U A, OF dpll*] *finite*
unfolding *cdcl*_{NOT}-*NOT-all-inv-def* **by** *linarith*
qed

lemma *infinite-cdcl*_{NOT}-*exists-learn-and-forget-infinite-chain*:

assumes
 $\bigwedge i. \text{cdcl}_{NOT} (f i) (f (\text{Suc } i))$ **and**
inv: *cdcl*_{NOT}-*NOT-all-inv* *A* (*f* 0)
shows $\exists j. \forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i))$
using *assms*
proof (*induction* $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } (f 0))$
arbitrary: *f*
rule: *nat-less-induct-case*)
case (*Suc* *n*) **note** *IH* = *this*(1) **and** μ = *this*(2) **and** *cdcl*_{NOT} = *this*(3) **and** *inv* = *this*(4)
consider
 $(\text{dpll-end}) \exists j. \forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i))$
 $| (\text{dpll-more}) \neg (\exists j. \forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i)))$
by *blast*
then show *?case*
proof *cases*
case *dpll-end*
then show *?thesis* **by** *auto*
next
case *dpll-more*
then have $j: \exists i. \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$
by *blast*
obtain *i* **where**
 $\neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$ **and**

$\forall k < i. \text{ learn-or-forget } (f\ k) (f\ (\text{Suc } k))$
proof –
obtain i_0 **where** $\neg \text{ learn } (f\ i_0) (f\ (\text{Suc } i_0)) \wedge \neg \text{ forget}_{NOT} (f\ i_0) (f\ (\text{Suc } i_0))$
using j **by** *auto*
then have $\{i. i \leq i_0 \wedge \neg \text{ learn } (f\ i) (f\ (\text{Suc } i)) \wedge \neg \text{ forget}_{NOT} (f\ i) (f\ (\text{Suc } i))\} \neq \{\}$
by *auto*
let $?I = \{i. i \leq i_0 \wedge \neg \text{ learn } (f\ i) (f\ (\text{Suc } i)) \wedge \neg \text{ forget}_{NOT} (f\ i) (f\ (\text{Suc } i))\}$
let $?i = \text{Min } ?I$
have *finite* $?I$
by *auto*
have $\neg \text{ learn } (f\ ?i) (f\ (\text{Suc } ?i)) \wedge \neg \text{ forget}_{NOT} (f\ ?i) (f\ (\text{Suc } ?i))$
using *Min-in[OF <finite ?I> <?I ≠ {}>]* **by** *auto*
moreover have $\forall k < ?i. \text{ learn-or-forget } (f\ k) (f\ (\text{Suc } k))$
using *Min.coboundedI[of {i. i ≤ i₀ ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬ forget_{NOT} (f i) (f (Suc i))}, simplified]*
by (*meson* $\neg \text{ learn } (f\ i_0) (f\ (\text{Suc } i_0)) \wedge \neg \text{ forget}_{NOT} (f\ i_0) (f\ (\text{Suc } i_0))$) *less-imp-le dual-order.trans not-le*
ultimately show *?thesis* **using** *that* **by** *blast*
qed
def $g \equiv \lambda n. f\ (n + \text{Suc } i)$
have *dpll-bj* $(f\ i) (g\ 0)$
using $\neg \text{ learn } (f\ i) (f\ (\text{Suc } i)) \wedge \neg \text{ forget}_{NOT} (f\ i) (f\ (\text{Suc } i))$ *cdcl_{NOT} cdcl_{NOT}.cases*
g-def **by** *auto*
{
fix j
assume $j \leq i$
then have *learn-or-forget*** $(f\ 0) (f\ j)$
apply (*induction* j)
apply *simp*
by (*metis* (*no-types*, *lifting*) *Suc-leD Suc-le-lessD rtranclp.simps*
 $\langle \forall k < i. \text{ learn } (f\ k) (f\ (\text{Suc } k)) \vee \text{ forget}_{NOT} (f\ k) (f\ (\text{Suc } k)) \rangle$)
}
then have *learn-or-forget*** $(f\ 0) (f\ i)$ **by** *blast*
then have $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } (g\ 0))$
 $< (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } (f\ 0))$
using *learn-or-forget-dpll-μ_C[of f 0 f i g 0 A]* *inv* $\langle \text{dpll-bj } (f\ i) (g\ 0) \rangle$
unfolding *cdcl_{NOT}-NOT-all-inv-def* **by** *linarith*

moreover have *cdcl_{NOT}-i*: *cdcl_{NOT}*** $(f\ 0) (g\ 0)$
using *rtranclp-learn-or-forget-cdcl_{NOT}[of f 0 f i]* $\langle \text{learn-or-forget** } (f\ 0) (f\ i) \rangle$
cdcl_{NOT}[of i] **unfolding** *g-def* **by** *auto*
moreover have $\bigwedge i. \text{ cdcl}_{NOT} (g\ i) (g\ (\text{Suc } i))$
using *cdcl_{NOT} g-def* **by** *auto*
moreover have *cdcl_{NOT}-NOT-all-inv* $A (g\ 0)$
using *inv cdcl_{NOT}-i rtranclp-cdcl_{NOT}-trail-clauses-bound g-def cdcl_{NOT}-NOT-all-inv* **by** *auto*
ultimately obtain j **where** $j: \bigwedge i. i \geq j \implies \text{ learn-or-forget } (g\ i) (g\ (\text{Suc } i))$
using *IH* **unfolding** $\mu[\text{symmetric}]$ **by** *presburger*
show *?thesis*
proof
{
fix k
assume $k \geq j + \text{Suc } i$
then have *learn-or-forget* $(f\ k) (f\ (\text{Suc } k))$

```

    using j[of k-Suc i] unfolding g-def by auto
  }
  then show  $\forall k \geq j + \text{Suc } i. \text{learn-or-forget } (f k) (f (\text{Suc } k))$ 
    by auto
qed
qed
next
case 0 note H = this(1) and cdclNOT = this(2) and inv = this(3)
show ?case
proof (rule ccontr)
  assume  $\neg ?case$ 
  then have j:  $\exists i. \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$ 
    by blast
  obtain i where
     $\neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$  and
     $\forall k < i. \text{learn-or-forget } (f k) (f (\text{Suc } k))$ 
  proof -
    obtain i0 where  $\neg \text{learn } (f i_0) (f (\text{Suc } i_0)) \wedge \neg \text{forget}_{NOT} (f i_0) (f (\text{Suc } i_0))$ 
      using j by auto
    then have {i.  $i \leq i_0 \wedge \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$ }  $\neq \{\}$ 
      by auto
    let ?I = {i.  $i \leq i_0 \wedge \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$ }
    let ?i = Min ?I
    have finite ?I
      by auto
    have  $\neg \text{learn } (f ?i) (f (\text{Suc } ?i)) \wedge \neg \text{forget}_{NOT} (f ?i) (f (\text{Suc } ?i))$ 
      using Min-in[OF ⟨finite ?I⟩ ⟨?I  $\neq \{\}$ ⟩] by auto
    moreover have  $\forall k < ?i. \text{learn-or-forget } (f k) (f (\text{Suc } k))$ 
      using Min.coboundedI[of {i.  $i \leq i_0 \wedge \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))$ }, simplified]
      by (meson  $\neg \text{learn } (f i_0) (f (\text{Suc } i_0)) \wedge \neg \text{forget}_{NOT} (f i_0) (f (\text{Suc } i_0)) \rangle \text{less-imp-le dual-order.trans not-le}$ )
    ultimately show ?thesis using that by blast
  qed
  have dpll-bj (f i) (f (Suc i))
    using  $\neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i)) \rangle \text{cdcl}_{NOT} \text{cdcl}_{NOT}.\text{cases}$ 
    by blast
  {
    fix j
    assume  $j \leq i$ 
    then have learn-or-forget** (f 0) (f j)
      apply (induction j)
      apply simp
      by (metis (no-types, lifting) Suc-leD Suc-le-lessD rtranclp.simps
         $\langle \forall k < i. \text{learn } (f k) (f (\text{Suc } k)) \vee \text{forget}_{NOT} (f k) (f (\text{Suc } k)) \rangle$ )
  }
  then have learn-or-forget** (f 0) (f i) by blast

  then show False
    using learn-or-forget-dpll- $\mu_C$ [of f 0 f i f (Suc i) A] inv 0
     $\langle \text{dpll-bj } (f i) (f (\text{Suc } i)) \rangle \text{unfolding cdcl}_{NOT}\text{-NOT-all-inv-def by linarith}$ 
  qed
qed

```

lemma wf-cdcl_{NOT}-no-learn-and-forget-infinite-chain:

assumes
no-infinite-lf: $\bigwedge f j. \neg (\forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i)))$
shows $\text{wf } \{(T, S). \text{cdcl}_{NOT} S T \wedge \text{cdcl}_{NOT-} \text{NOT-all-inv } A S\}$ **(is** $\text{wf } \{(T, S). \text{cdcl}_{NOT} S T \wedge ?\text{inv } S\}$
unfolding *wf-iff-no-infinite-down-chain*
proof (*rule ccontr*)
assume $\neg \neg (\exists f. \forall i. (f (\text{Suc } i), f i) \in \{(T, S). \text{cdcl}_{NOT} S T \wedge ?\text{inv } S\})$
then obtain *f* **where**
 $\forall i. \text{cdcl}_{NOT} (f i) (f (\text{Suc } i)) \wedge ?\text{inv } (f i)$
by *fast*
then have $\exists j. \forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i))$
using *infinite-cdcl_{NOT}-exists-learn-and-forget-infinite-chain*[*of f*] **by** *meson*
then show *False* **using** *no-infinite-lf* **by** *blast*
qed

lemma *inv-and-tranclp-cdcl_{NOT}-tranclp-cdcl_{NOT}-and-inv*:
 $\text{cdcl}_{NOT}^{++} S T \wedge \text{cdcl}_{NOT-} \text{NOT-all-inv } A S \longleftrightarrow (\lambda S T. \text{cdcl}_{NOT} S T \wedge \text{cdcl}_{NOT-} \text{NOT-all-inv } A S)^{++} S T$
(is $?A \wedge ?I \longleftrightarrow ?B$
proof
assume $?A \wedge ?I$
then have $?A$ **and** $?I$ **by** *blast+*
then show $?B$
apply *induction*
apply (*simp add: tranclp.r-into-trancl*)
by (*metis (no-types, lifting) cdcl_{NOT}-NOT-all-inv tranclp.simps tranclp-into-rtranclp*)
next
assume $?B$
then have $?A$ **by** *induction auto*
moreover have $?I$ **using** $\langle ?B \rangle \text{tranclpD}$ **by** *fastforce*
ultimately show $?A \wedge ?I$ **by** *blast*
qed

lemma *wf-tranclp-cdcl_{NOT}-no-learn-and-forget-infinite-chain*:
assumes
no-infinite-lf: $\bigwedge f j. \neg (\forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i)))$
shows $\text{wf } \{(T, S). \text{cdcl}_{NOT}^{++} S T \wedge \text{cdcl}_{NOT-} \text{NOT-all-inv } A S\}$
using *wf-tranclp*[*OF wf-cdcl_{NOT}-no-learn-and-forget-infinite-chain* [*OF no-infinite-lf*]]
apply (*rule wf-subset*)
by (*auto simp: trancl-set-tranclp inv-and-tranclp-cdcl_{NOT}-tranclp-cdcl_{NOT}-and-inv*)

lemma *cdcl_{NOT}-final-state*:
assumes
n-s: *no-step* $\text{cdcl}_{NOT} S$ **and**
inv: $\text{cdcl}_{NOT-} \text{NOT-all-inv } A S$ **and**
decomp: *all-decomposition-implies-m* (*clauses S*) (*get-all-decided-decomposition* (*trail S*))
shows *unsatisfiable* (*set-mset* (*clauses S*))
 $\vee (\text{trail } S \models_{\text{asm}} \text{clauses } S \wedge \text{satisfiable } (\text{set-mset } (\text{clauses } S)))$
proof –
have *n-s'*: *no-step* *dpll-bj S*
using *n-s* **by** (*auto simp: cdcl_{NOT}.simps*)
show *?thesis*
apply (*rule dpll-backjump-final-state*[*of S A*])
using *inv decomp n-s'* **unfolding** *cdcl_{NOT}-NOT-all-inv-def* **by** *auto*
qed

lemma *full-cdcl_{NOT}-final-state*:

assumes

full: *full cdcl_{NOT} S T* **and**

inv: *cdcl_{NOT}-NOT-all-inv A S* **and**

n-d: *no-dup (trail S)* **and**

decomp: *all-decomposition-implies-m (clauses S) (get-all-decided-decomposition (trail S))*

shows *unsatisfiable (set-mset (clauses T))*

$\vee (\text{trail } T \models_{asm} \text{clauses } T \wedge \text{satisfiable } (\text{set-mset } (\text{clauses } T)))$

proof –

have *st*: *cdcl_{NOT}** S T* **and** *n-s*: *no-step cdcl_{NOT} T*

using *full unfolding full-def* **by** *blast+*

have *n-s'*: *cdcl_{NOT}-NOT-all-inv A T*

using *cdcl_{NOT}-NOT-all-inv inv st* **by** *blast*

moreover have *all-decomposition-implies-m (clauses T) (get-all-decided-decomposition (trail T))*

using *cdcl_{NOT}-NOT-all-inv-def decomp inv rtracp-cdcl_{NOT}-all-decomposition-implies st* **by** *auto*

ultimately show *?thesis*

using *cdcl_{NOT}-final-state n-s* **by** *blast*

qed

end — end of *conflict-driven-clause-learning*

2.6 Termination

2.6.1 Restricting learn and forget

locale *conflict-driven-clause-learning-learning-before-backjump-only-distinct-learn* =

conflict-driven-clause-learning trail clauses prepend-trail tl-trail add-cl_{NOT} remove-cl_{NOT}

propagate-conds inv backjump-conds

$\lambda C S. \text{distinct-mset } C \wedge \neg \text{tautology } C \wedge \text{learn-restrictions } C S \wedge$

$(\exists F K d F' C' L. \text{trail } S = F' @ \text{Decided } K () \# F \wedge C = C' + \{\#L\} \wedge F \models_{as} C \text{Not } C'$

$\wedge C' + \{\#L\} \notin \# \text{clauses } S)$

$\lambda C S. \neg (\exists F' F K d L. \text{trail } S = F' @ \text{Decided } K () \# F \wedge F \models_{as} C \text{Not } (C - \{\#L\}))$

$\wedge \text{forget-restrictions } C S$

for

trail :: *'st* \Rightarrow (*'v*, *unit*, *unit*) *ann-literals* **and**

clauses :: *'st* \Rightarrow *'v clauses* **and**

prepend-trail :: (*'v*, *unit*, *unit*) *ann-literal* \Rightarrow *'st* \Rightarrow *'st* **and**

tl-trail :: *'st* \Rightarrow *'st* **and**

add-cl_{NOT} remove-cl_{NOT}:: *'v clause* \Rightarrow *'st* \Rightarrow *'st* **and**

propagate-conds :: (*'v*, *unit*, *unit*) *ann-literal* \Rightarrow *'st* \Rightarrow *bool* **and**

inv :: *'st* \Rightarrow *bool* **and**

backjump-conds :: *'v clause* \Rightarrow *'v clause* \Rightarrow *'v literal* \Rightarrow *'st* \Rightarrow *'st* \Rightarrow *bool* **and**

learn-restrictions forget-restrictions :: *'v clause* \Rightarrow *'st* \Rightarrow *bool*

begin

lemma *cdcl_{NOT}-learn-all-induct[consumes 1, case-names dp_{ll}-bj learn forget_{NOT}]*:

fixes *S T* :: *'st*

assumes *cdcl_{NOT} S T* **and**

dp_{ll}: $\bigwedge T. \text{dp_{ll}-bj } S T \Longrightarrow P S T$ **and**

learning:

$\bigwedge C F K F' C' L T. \text{clauses } S \models_{pm} C$

$\Longrightarrow \text{atms-of } C \subseteq \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' (lits-of (trail } S))$

$\Longrightarrow \text{distinct-mset } C \Longrightarrow \neg \text{tautology } C \Longrightarrow \text{learn-restrictions } C S$

$\Longrightarrow \text{trail } S = F' @ \text{Decided } K () \# F \Longrightarrow C = C' + \{\#L\} \Longrightarrow F \models_{as} C \text{Not } C'$

$\Longrightarrow C' + \{\#L\} \notin \# \text{clauses } S \Longrightarrow T \sim \text{add-cl_{NOT} } C S$

```

     $\implies P \ S \ T$  and
    forgetting:  $\bigwedge C \ T. \text{ clauses } S - \text{replicate-mset } (\text{count } (\text{clauses } S) \ C) \ C \models_{pm} C$ 
     $\implies C \in \# \text{ clauses } S$ 
     $\implies \neg(\exists F' \ F \ K \ L. \text{ trail } S = F' @ \text{Decided } K \ () \ \# \ F \wedge F \models_{as} C \text{Not } (C - \{\#L\}))$ 
     $\implies T \sim \text{remove-cl}_{NOT} \ C \ S$ 
     $\implies \text{forget-restrictions } C \ S \implies P \ S \ T$ 
shows  $P \ S \ T$ 
using assms(1)
apply (induction rule: cdclNOT.induct)
  apply (auto dest: assms(2) simp add: learn-ops-axioms)[]
  apply (auto elim!: learn-ops.learn.cases[OF learn-ops-axioms] dest: assms(3))[]
apply (auto elim!: forget-ops.forgetNOT.cases[OF forget-ops-axioms] dest!: assms(4))
done

lemma rtranclp-cdclNOT-inv:
   $cdcl_{NOT}^{**} \ S \ T \implies inv \ S \implies inv \ T$ 
apply (induction rule: rtranclp-induct)
apply simp
using cdclNOT-inv unfolding conflict-driven-clause-learning-def
conflict-driven-clause-learning-axioms-def by blast

lemma learn-always-simple-clauses:
assumes
  learn: learn \ S \ T and
  n-d: no-dup (trail \ S)
shows  $\text{set-mset } (\text{clauses } T - \text{clauses } S)$ 
 $\subseteq \text{simple-clss } (\text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' lits-of } (\text{trail } S))$ 
proof
fix  $C$  assume  $C: C \in \text{set-mset } (\text{clauses } T - \text{clauses } S)$ 
have  $\text{distinct-mset } C \neg \text{tautology } C$  using learn \ C \ n-d by (elim learnNOTE; auto)+
then have  $C \in \text{simple-clss } (\text{atms-of } C)$ 
  using distinct-mset-not-tautology-implies-in-simple-clss by blast
moreover have  $\text{atms-of } C \subseteq \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' lits-of } (\text{trail } S)$ 
  using learn \ C \ n-d by (elim learnNOTE) (auto simp: atms-of-ms-def atms-of-def image-Un
    true-annots-CNot-all-atms-defined)
moreover have  $\text{finite } (\text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' lits-of } (\text{trail } S))$ 
  by auto
ultimately show  $C \in \text{simple-clss } (\text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' lits-of } (\text{trail } S))$ 
  using simple-clss-mono by (metis (no-types) insert-subset mk-disjoint-insert)
qed

definition conflicting-bj-clss \ S  $\equiv$ 
 $\{C + \{\#L\} \mid C \ L. \ C + \{\#L\} \in \# \text{ clauses } S \wedge \text{distinct-mset } (C + \{\#L\}) \wedge \neg \text{tautology } (C + \{\#L\})$ 
 $\wedge (\exists F' \ K \ F. \text{ trail } S = F' @ \text{Decided } K \ () \ \# \ F \wedge F \models_{as} C \text{Not } C)\}$ 

lemma conflicting-bj-clss-remove-clNOT[simp]:
 $\text{conflicting-bj-clss } (\text{remove-cl}_{NOT} \ C \ S) = \text{conflicting-bj-clss } S - \{C\}$ 
unfolding conflicting-bj-clss-def by fastforce

lemma conflicting-bj-clss-add-clNOT-state-eq:
 $T \sim \text{add-cl}_{NOT} \ C' \ S \implies \text{no-dup } (\text{trail } S) \implies \text{conflicting-bj-clss } T$ 
 $= \text{conflicting-bj-clss } S$ 
 $\cup (\text{if } \exists C \ L. \ C' = C + \{\#L\} \wedge \text{distinct-mset } (C + \{\#L\}) \wedge \neg \text{tautology } (C + \{\#L\})$ 
 $\wedge (\exists F' \ K \ d \ F. \text{ trail } S = F' @ \text{Decided } K \ () \ \# \ F \wedge F \models_{as} C \text{Not } C)$ 
 $\text{then } \{C'\} \text{ else } \{\})$ 

```

unfolding *conflicting-bj-clss-def* **by** *auto metis+*

lemma *conflicting-bj-clss-add-clss_{NOT}*:

no-dup (*trail S*) \implies
conflicting-bj-clss (*add-clss_{NOT} C' S*)
 $=$ *conflicting-bj-clss S*
 \cup (*if* $\exists C L. C' = C + \{\#L\} \wedge \text{distinct-mset } (C + \{\#L\}) \wedge \neg \text{tautology } (C + \{\#L\})$
 $\wedge (\exists F' K d F. \text{trail } S = F' @ \text{Decided } K () \# F \wedge F \models_{\text{as}} C \text{Not } C)$
then $\{C'\}$ *else* $\{\}$)
using *conflicting-bj-clss-add-clss_{NOT}-state-eq* **by** *auto*

lemma *conflicting-bj-clss-incl-clauses*:

conflicting-bj-clss S \subseteq *set-mset (clauses S)*
unfolding *conflicting-bj-clss-def* **by** *auto*

lemma *finite-conflicting-bj-clss[simp]*:

finite (conflicting-bj-clss S)
using *conflicting-bj-clss-incl-clauses[of S]* *rev-finite-subset* **by** *blast*

lemma *learn-conflicting-increasing*:

no-dup (*trail S*) \implies *learn S T* \implies *conflicting-bj-clss S* \subseteq *conflicting-bj-clss T*
apply (*elim learn_{NOT}E*)
by (*subst conflicting-bj-clss-add-clss_{NOT}-state-eq[of T]*) *auto*

abbreviation *conflicting-bj-clss-yet b S* \equiv

$\exists \wedge b - \text{card } (\text{conflicting-bj-clss } S)$

abbreviation $\mu_L :: \text{nat} \Rightarrow 'st \Rightarrow \text{nat} \times \text{nat}$ **where**

$\mu_L b S \equiv (\text{conflicting-bj-clss-yet } b S, \text{card } (\text{set-mset } (\text{clauses } S)))$

lemma *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched*:

assumes *forget_{NOT} S T*
shows *conflicting-bj-clss S* $=$ *conflicting-bj-clss T*
using *assms apply induction*
unfolding *conflicting-bj-clss-def*
by (*metis (no-types, lifting) Diff-insert-absorb Set.set-insert clauses-remove-clss_{NOT}*
diff-union-cancelR insert-iff mem-set-mset-iff order-refl set-mset-minus-replicate-mset(1)
state-eq_{NOT}-clauses state-eq_{NOT}-trail trail-remove-clss_{NOT})

lemma *forget- μ_L -decrease*:

assumes *forget_{NOT}: forget_{NOT} S T*
shows $(\mu_L b T, \mu_L b S) \in \text{less-than} <*\text{lex}*> \text{less-than}$

proof –

have *card (set-mset (clauses T))* $<$ *card (set-mset (clauses S))*
using *forget_{NOT} apply induction*
by (*metis card-Diff1-less clauses-remove-clss_{NOT} finite-set-mset mem-set-mset-iff order-refl*
set-mset-minus-replicate-mset(1) state-eq_{NOT}-clauses)
then show *?thesis*
unfolding *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched[OF forget_{NOT}]*
by *auto*

qed

lemma *set-condition-or-split*:

$\{a. (a = b \vee Q a) \wedge S a\} = (\text{if } S b \text{ then } \{b\} \text{ else } \{\}) \cup \{a. Q a \wedge S a\}$
by *auto*

lemma *set-insert-neq*:

$A \neq \text{insert } a \ A \longleftrightarrow a \notin A$

by *auto*

lemma *learn- μ_L -decrease*:

assumes *learnST*: *learn* $S \ T$ **and** *n-d*: *no-dup* (*trail* S) **and**

A : *atms-of-msu* (*clauses* S) \cup *atm-of* ' *lits-of* (*trail* S) $\subseteq A$ **and**

fin-A: *finite* A

shows $(\mu_L (\text{card } A) \ T, \mu_L (\text{card } A) \ S) \in \text{less-than } \langle *lex* \rangle \text{ less-than}$

proof –

have [*simp*]: (*atms-of-msu* (*clauses* T) \cup *atm-of* ' *lits-of* (*trail* T))

$=$ (*atms-of-msu* (*clauses* S) \cup *atm-of* ' *lits-of* (*trail* S))

using *learnST* *n-d* **by** (*elim learn_{NOT}E*) *auto*

then have *card* (*atms-of-msu* (*clauses* T) \cup *atm-of* ' *lits-of* (*trail* T))

$=$ *card* (*atms-of-msu* (*clauses* S) \cup *atm-of* ' *lits-of* (*trail* S))

by (*auto intro!*: *card-mono*)

then have 3 : ($3::\text{nat}$) \wedge *card* (*atms-of-msu* (*clauses* T) \cup *atm-of* ' *lits-of* (*trail* T))

$= 3 \wedge$ *card* (*atms-of-msu* (*clauses* S) \cup *atm-of* ' *lits-of* (*trail* S))

by (*auto intro*: *power-mono*)

moreover have *conflicting-bj-clss* $S \subseteq$ *conflicting-bj-clss* T

using *learnST* *n-d* **by** (*simp add*: *learn-conflicting-increasing*)

moreover have *conflicting-bj-clss* $S \neq$ *conflicting-bj-clss* T

using *learnST*

proof (*elim learn_{NOT}E*, *goal-cases*)

case ($1 \ C$) **note** *clss-S* $=$ *this*(1) **and** *atms-C* $=$ *this*(2) **and** *inv* $=$ *this*(3) **and** $T =$ *this*(4)

then obtain $F \ K \ F' \ C' \ L$ **where**

tr-S: *trail* $S = F' @ \text{Decided } K \ () \ \# \ F$ **and**

C : $C = C' + \{\#L\# \}$ **and**

F : $F \models_{as} C \text{Not } C'$ **and**

$C-S$: $C' + \{\#L\# \} \notin \# \text{ clauses } S$

by *blast*

moreover have *distinct-mset* $C \neg$ *tautology* C **using** *inv* **by** *blast+*

ultimately have $C' + \{\#L\# \} \in$ *conflicting-bj-clss* T

using $T \ n-d$ **unfolding** *conflicting-bj-clss-def* **by** *fastforce*

moreover have $C' + \{\#L\# \} \notin$ *conflicting-bj-clss* S

using $C-S$ **unfolding** *conflicting-bj-clss-def* **by** *auto*

ultimately show *?case* **by** *blast*

qed

moreover have *fin-T*: *finite* (*conflicting-bj-clss* T)

using *learnST* **by** *induction* (*auto simp add*: *conflicting-bj-clss-add-clss_{NOT}*)

ultimately have *card* (*conflicting-bj-clss* T) \geq *card* (*conflicting-bj-clss* S)

using *card-mono* **by** *blast*

moreover

have *fin'*: *finite* (*atms-of-msu* (*clauses* T) \cup *atm-of* ' *lits-of* (*trail* T))

by *auto*

have 1 : *atms-of-ms* (*conflicting-bj-clss* T) \subseteq *atms-of-msu* (*clauses* T)

unfolding *conflicting-bj-clss-def* *atms-of-ms-def* **by** *auto*

have 2 : $\bigwedge x. x \in$ *conflicting-bj-clss* $T \implies \neg$ *tautology* $x \wedge$ *distinct-mset* x

unfolding *conflicting-bj-clss-def* **by** *auto*

have T : *conflicting-bj-clss* T

\subseteq *simple-clss* (*atms-of-msu* (*clauses* T) \cup *atm-of* ' *lits-of* (*trail* T))

by *standard* (*meson* $1 \ 2 \ fin' \ \langle \text{finite } (\text{conflicting-bj-clss } T) \rangle$ *simple-clss-mono*)

distinct-mset-set-def simplified-in-simple-clss subsetCE sup.coboundedI1)
moreover
then have $\# : 3 \wedge \text{card} (\text{atms-of-msu} (\text{clauses } T) \cup \text{atm-of } ' \text{ lits-of } (\text{trail } T))$
 $\geq \text{card} (\text{conflicting-bj-clss } T)$
by (*meson Nat.le-trans simple-clss-card simple-clss-finite card-mono fin'*)
have $\text{atms-of-msu} (\text{clauses } T) \cup \text{atm-of } ' \text{ lits-of } (\text{trail } T) \subseteq A$
using *learn_{NOT}E[OF learnST] A by simp*
then have $3 \wedge (\text{card } A) \geq \text{card} (\text{conflicting-bj-clss } T)$
using $\# \text{ fin-A by } (\text{meson simple-clss-card simple-clss-finite}$
 $\text{simple-clss-mono calculation(2) card-mono dual-order.trans})$
ultimately show *?thesis*
using *psubset-card-mono[OF fin-T]*
unfolding *less-than-iff lex-prod-def by clarify*
 $(\text{meson } \langle \text{conflicting-bj-clss } S \neq \text{conflicting-bj-clss } T \rangle$
 $\langle \text{conflicting-bj-clss } S \subseteq \text{conflicting-bj-clss } T \rangle$
 $\text{diff-less-mono2 le-less-trans not-le psubsetI})$
qed

We have to assume the following:

- *inv S*: the invariant holds in the initial state.
- *A* is a (finite *finite A*) superset of the literals in the trail $\text{atm-of } ' \text{ lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A$ and in the clauses $\text{atms-of-msu} (\text{clauses } S) \subseteq \text{atms-of-ms } A$. This can be the set of all the literals in the starting set of clauses.
- *no-dup (trail S)*: no duplicate in the trail. This is invariant along the path.

definition μ_{CDCL} **where**

$\mu_{CDCL} A T \equiv ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)))$
 $- \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T),$
 $\text{conflicting-bj-clss-yet} (\text{card} (\text{atms-of-ms } A)) T, \text{card} (\text{set-mset} (\text{clauses } T)))$

lemma *cdcl_{NOT}-decreasing-measure:*

assumes
 $\text{cdcl}_{NOT} S T$ **and**
 $\text{inv: inv } S$ **and**
 $\text{atm-clss: atms-of-msu} (\text{clauses } S) \subseteq \text{atms-of-ms } A$ **and**
 $\text{atm-lits: atm-of } ' \text{ lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A$ **and**
 $n\text{-d: no-dup } (\text{trail } S)$ **and**
 $\text{fin-A: finite } A$
shows $(\mu_{CDCL} A T, \mu_{CDCL} A S)$
 $\in \text{less-than } <*\text{lex}* > (\text{less-than } <*\text{lex}* > \text{less-than})$
using *assms(1)*
proof *induction*
case $(c\text{-dpll-bj } T)$
from *dpll-bj-trail-mes-decreasing-prop[OF this(1) inv atm-clss atm-lits n-d fin-A]*
show *?case unfolding $\mu_{CDCL}\text{-def}$*
by (*meson in-lex-prod less-than-iff*)
next
case $(c\text{-learn } T)$ **note** $\text{learn} = \text{this}(1)$
then have $S: \text{trail } S = \text{trail } T$
using $\text{inv atm-clss atm-lits n-d fin-A}$
by (*elim learn_{NOT}E auto*)
show *?case*
using *learn- μ_L -decrease[OF learn -] atm-clss atm-lits fin-A n-d unfolding S $\mu_{CDCL}\text{-def}$ by auto*

next

case (*c-forget*_{NOT} *T*) **note** *forget*_{NOT} = *this*(1)
have *trail S* = *trail T* **using** *forget*_{NOT} **by** *induction auto*
then show ?*case*
 using *forget-μ_L-decrease*[*OF forget*_{NOT}] **unfolding** *μ_{CDCL}-def* **by** *auto*
qed

lemma *wf-cdcl_{NOT}-restricted-learning*:

assumes *finite A*
shows *wf* {(*T*, *S*).
 (*atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* ∧ *atm-of* 'lits-of' (*trail S*) ⊆ *atms-of-ms A*
 ∧ *no-dup* (*trail S*)
 ∧ *inv S*)
 ∧ *cdcl_{NOT} S T* }
by (*rule wf-wf-if-measure'*[*of less-than <*lex*> (less-than <*lex*> less-than)*])
 (*auto intro: cdcl_{NOT}-decreasing-measure*[*OF - - - - assms*])

definition *μ_{C'}* :: '*v* literal multiset set ⇒ '*st* ⇒ *nat* **where**

μ_{C'} A T ≡ *μ_C* (1 + *card* (*atms-of-ms A*)) (2 + *card* (*atms-of-ms A*)) (*trail-weight T*)

definition *μ_{CDCL'}* :: '*v* literal multiset set ⇒ '*st* ⇒ *nat* **where**

μ_{CDCL'} A T ≡
 ((2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A T*) * (1 + 3 ^ *card* (*atms-of-ms A*)) *
 2
 + *conflicting-bj-clss-yet* (*card* (*atms-of-ms A*)) *T* * 2
 + *card* (*set-mset* (*clauses T*))

lemma *cdcl_{NOT}-decreasing-measure'*:

assumes
 cdcl_{NOT} S T **and**
 inv: inv S **and**
 atms-clss: atms-of-msu (*clauses S*) ⊆ *atms-of-ms A* **and**
 atms-trail: atm-of 'lits-of' (*trail S*) ⊆ *atms-of-ms A* **and**
 n-d: no-dup (*trail S*) **and**
 fin-A: finite A

shows *μ_{CDCL'} A T* < *μ_{CDCL'} A S*

using *assms*(1)

proof (*induction rule: cdcl_{NOT}-learn-all-induct*)

case (*dpll-bj T*)

then have (2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A T*

< (2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A S*

using *dpll-bj-trail-mes-decreasing-prop fin-A inv n-d atms-clss atms-trail*

unfolding *μ_{C'}-def* **by** *blast*

then have *XX*: ((2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A T*) + 1

≤ (2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A S*

by *auto*

from *mult-le-mono1*[*OF this, of (1 + 3 ^ card* (*atms-of-ms A*))]

have ((2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A T*) *

(1 + 3 ^ *card* (*atms-of-ms A*)) + (1 + 3 ^ *card* (*atms-of-ms A*))

≤ ((2 + *card* (*atms-of-ms A*)) ^ (1 + *card* (*atms-of-ms A*)) - *μ_{C'} A S*)

* (1 + 3 ^ *card* (*atms-of-ms A*))

unfolding *Nat.add-mult-distrib*

by *presburger*

moreover

have *cl-T-S: clauses T* = *clauses S*

```

    using dpll-bj.hyps inv dpll-bj-clauses by auto
    have conflicting-bj-clss-yet (card (atms-of-ms A))  $S < 1 + 3 \wedge \text{card (atms-of-ms A)}$ 
    by simp
    ultimately have  $((2 + \text{card (atms-of-ms A)}) \wedge (1 + \text{card (atms-of-ms A)}) - \mu_C' A T)$ 
       $* (1 + 3 \wedge \text{card (atms-of-ms A)}) + \text{conflicting-bj-clss-yet (card (atms-of-ms A)) } T$ 
    <  $((2 + \text{card (atms-of-ms A)}) \wedge (1 + \text{card (atms-of-ms A)}) - \mu_C' A S) * (1 + 3 \wedge \text{card (atms-of-ms A)})$ 
  A))
    by linarith
    then have  $((2 + \text{card (atms-of-ms A)}) \wedge (1 + \text{card (atms-of-ms A)}) - \mu_C' A T)$ 
       $* (1 + 3 \wedge \text{card (atms-of-ms A)})$ 
    +  $\text{conflicting-bj-clss-yet (card (atms-of-ms A)) } T$ 
    <  $((2 + \text{card (atms-of-ms A)}) \wedge (1 + \text{card (atms-of-ms A)}) - \mu_C' A S)$ 
       $* (1 + 3 \wedge \text{card (atms-of-ms A)})$ 
    +  $\text{conflicting-bj-clss-yet (card (atms-of-ms A)) } S$ 
    by linarith
    then have  $((2 + \text{card (atms-of-ms A)}) \wedge (1 + \text{card (atms-of-ms A)}) - \mu_C' A T)$ 
       $* (1 + 3 \wedge \text{card (atms-of-ms A)}) * 2$ 
    +  $\text{conflicting-bj-clss-yet (card (atms-of-ms A)) } T * 2$ 
    <  $((2 + \text{card (atms-of-ms A)}) \wedge (1 + \text{card (atms-of-ms A)}) - \mu_C' A S)$ 
       $* (1 + 3 \wedge \text{card (atms-of-ms A)}) * 2$ 
    +  $\text{conflicting-bj-clss-yet (card (atms-of-ms A)) } S * 2$ 
    by linarith
    then show ?case unfolding  $\mu_{CDCL}'\text{-def cl-T-S}$  by presburger
next
case (learn C F' K F C' L T) note  $\text{clss-S-C} = \text{this}(1)$  and  $\text{atms-C} = \text{this}(2)$  and  $\text{dist} = \text{this}(3)$ 
and  $\text{tauto} = \text{this}(4)$  and  $\text{learn-restr} = \text{this}(5)$  and  $\text{tr-S} = \text{this}(6)$  and  $C' = \text{this}(7)$  and
 $F\text{-C} = \text{this}(8)$  and  $C\text{-new} = \text{this}(9)$  and  $T = \text{this}(10)$ 
have insert C (conflicting-bj-clss S)  $\subseteq \text{simple-clss (atms-of-ms A)}$ 
proof -
  have  $C \in \text{simple-clss (atms-of-ms A)}$ 
  by (metis (no-types, hide-lams) Un-subset-iff atms-of-ms-finite simple-clss-mono
    contra-subsetD dist distinct-mset-not-tautology-implies-in-simple-clss
    dual-order.trans fin-A atms-C atms-clss atms-trail tauto)
  moreover have conflicting-bj-clss S  $\subseteq \text{simple-clss (atms-of-ms A)}$ 
  unfolding conflicting-bj-clss-def
  proof
    fix x :: 'v literal multiset
    assume  $x \in \{C + \{\#L\# \} \mid C L. C + \{\#L\# \} \in \# \text{ clauses } S$ 
       $\wedge \text{distinct-mset (C + \{\#L\# \})} \wedge \neg \text{tautology (C + \{\#L\# \})}$ 
       $\wedge (\exists F' K F. \text{trail S} = F' @ \text{Decided K } () \# F \wedge F \models_{\text{as}} C \text{Not } C)\}$ 
    then have  $\exists m l. x = m + \{\#l\# \} \wedge m + \{\#l\# \} \in \# \text{ clauses } S$ 
       $\wedge \text{distinct-mset (m + \{\#l\# \})} \wedge \neg \text{tautology (m + \{\#l\# \})}$ 
       $\wedge (\exists ms l \text{msa}. \text{trail S} = ms @ \text{Decided l } () \# \text{msa} \wedge \text{msa} \models_{\text{as}} C \text{Not } m)$ 
    by blast
    then show  $x \in \text{simple-clss (atms-of-ms A)}$ 
    by (meson atms-clss atms-of-atms-of-ms-mono atms-of-ms-finite simple-clss-mono
      distinct-mset-not-tautology-implies-in-simple-clss fin-A finite-subset
      mem-set-mset-iff set-rev-mp)
  qed
  ultimately show ?thesis
  by auto
qed
then have  $\text{card (insert C (conflicting-bj-clss S))} \leq 3 \wedge (\text{card (atms-of-ms A)})$ 
by (meson Nat.le-trans atms-of-ms-finite simple-clss-card simple-clss-finite
  card-mono fin-A)

```

moreover have $[simp]: \text{card } (\text{insert } C \text{ (conflicting-bj-clss } S))$
 $= \text{Suc } (\text{card } ((\text{conflicting-bj-clss } S)))$
by $(metis \text{ (no-types) } C' \text{ C-new card-insert-if conflicting-bj-clss-incl-clauses contra-subsetD}$
 $\text{finite-conflicting-bj-clss mem-set-mset-iff})$
moreover have $[simp]: \text{conflicting-bj-clss } (\text{add-cl}_\text{NOT} C S) = \text{conflicting-bj-clss } S \cup \{C\}$
using $\text{dist tauto } F\text{-}C \text{ n-d by } (\text{subst conflicting-bj-clss-add-cl}_\text{NOT})$
 $(\text{force simp add: ac-simps } C' \text{ tr-S})+$
ultimately have $[simp]: \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) S$
 $= \text{Suc } (\text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) (\text{add-cl}_\text{NOT} C S))$
by simp
have 1: $\text{clauses } T = \text{clauses } (\text{add-cl}_\text{NOT} C S)$ **using** T **by auto**
have 2: $\text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T$
 $= \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) (\text{add-cl}_\text{NOT} C S)$
using T **unfolding** $\text{conflicting-bj-clss-def}$ **by auto**
have 3: $\mu_{C'} A T = \mu_{C'} A (\text{add-cl}_\text{NOT} C S)$
using T **unfolding** $\mu_{C'}\text{-def}$ **by auto**
have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_{C'} A (\text{add-cl}_\text{NOT} C S))$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
 $= ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_{C'} A S)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
using $\text{n-d unfolding } \mu_{C'}\text{-def}$ **by auto**
moreover
have $\text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) (\text{add-cl}_\text{NOT} C S)$
 $* 2$
 $+ \text{card } (\text{set-mset } (\text{clauses } (\text{add-cl}_\text{NOT} C S)))$
 $< \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) S * 2$
 $+ \text{card } (\text{set-mset } (\text{clauses } S))$
by $(\text{simp add: } C' \text{ C-new n-d})$
ultimately show $?case$ **unfolding** $\mu_{CDCL}'\text{-def 1 2 3}$ **by presburger**
next
case $(\text{forget}_\text{NOT} C T)$ **note** $T = \text{this}(4)$
have $[simp]: \mu_{C'} A (\text{remove-cl}_\text{NOT} C S) = \mu_{C'} A S$
unfolding $\mu_{C'}\text{-def}$ **by auto**
have $\text{forget}_\text{NOT} S T$
apply $(\text{rule forget}_\text{NOT.intros})$ **using** forget_NOT **by auto**
then have $\text{conflicting-bj-clss } T = \text{conflicting-bj-clss } S$
using $\text{do-not-forget-before-backtrack-rule-clause-learned-clause-untouched}$ **by blast**
moreover have $\text{card } (\text{set-mset } (\text{clauses } T)) < \text{card } (\text{set-mset } (\text{clauses } S))$
by $(metis T \text{ card-Diff1-less clauses-remove-cl}_\text{NOT} \text{ finite-set-mset forget}_\text{NOT.hyps}(2)$
 $\text{mem-set-mset-iff order-refl set-mset-minus-replicate-mset}(1) \text{ state-eq}_\text{NOT-clauses})$
ultimately show $?case$ **unfolding** $\mu_{CDCL}'\text{-def}$
by $(metis \text{ (no-types) } T \langle \mu_{C'} A (\text{remove-cl}_\text{NOT} C S) = \mu_{C'} A S \rangle \text{ add-le-cancel-left}$
 $\mu_{C'}\text{-def not-le state-eq}_\text{NOT-trail})$
qed

lemma $\text{cdcl}_\text{NOT-clauses-bound}:$

assumes

$\text{cdcl}_\text{NOT} S T$ **and**

$\text{inv } S$ **and**

$\text{atms-of-msu } (\text{clauses } S) \subseteq A$ **and**

$\text{atm-of } (\text{lits-of } (\text{trail } S)) \subseteq A$ **and**

$\text{n-d: no-dup } (\text{trail } S)$ **and**

$\text{fin-A}[simp]: \text{finite } A$

shows $\text{set-mset } (\text{clauses } T) \subseteq \text{set-mset } (\text{clauses } S) \cup \text{simple-clss } A$

using assms

proof (*induction rule: cdcl_{NOT}-learn-all-induct*)
 case *dpll-bj*
 then show ?case using *dpll-bj-clauses* by *simp*
next
 case *forget_{NOT}*
 then show ?case using *clauses-remove-cl_{NOT}* unfolding *state-eq_{NOT}-def* by *auto*
next
 case (*learn C F K d F' C' L*) **note** *atms-C = this(2)* **and** *dist = this(3)* **and** *tauto = this(4)* **and**
T = this(10) **and** *atms-clss-S = this(12)* **and** *atms-trail-S = this(13)*
have *atms-of C ⊆ A*
 using *atms-C atms-clss-S atms-trail-S* by *auto*
then have *simple-clss (atms-of C) ⊆ simple-clss A*
 by (*simp add: simple-clss-mono*)
then have *C ∈ simple-clss A*
 using *finite dist tauto*
 by (*auto dest: distinct-mset-not-tautology-implies-in-simple-clss*)
then show ?case using *T n-d* by *auto*
qed

lemma *rtrancpl-cdcl_{NOT}-clauses-bound:*

assumes
*cdcl_{NOT}** S T* **and**
inv S **and**
atms-of-msu (clauses S) ⊆ A **and**
atm-of '(lits-of (trail S)) ⊆ A **and**
n-d: no-dup (trail S) **and**
finite: finite A
shows *set-mset (clauses T) ⊆ set-mset (clauses S) ∪ simple-clss A*
 using *assms(1-5)*
proof *induction*
 case *base*
 then show ?case by *simp*
next
 case (*step T U*) **note** *st = this(1)* **and** *cdcl_{NOT} = this(2)* **and** *IH = this(3)[OF this(4-7)]* **and**
inv = this(4) **and** *atms-clss-S = this(5)* **and** *atms-trail-S = this(6)* **and** *finite-cl_S = this(7)*
have *inv T*
 using *rtrancpl-cdcl_{NOT}-inv st inv* by *blast*
moreover have *atms-of-msu (clauses T) ⊆ A* **and** *atm-of '(lits-of (trail T)) ⊆ A*
 using *rtrancpl-cdcl_{NOT}-trail-clauses-bound[OF st] inv atms-clss-S atms-trail-S n-d* by *blast+*
moreover have *no-dup (trail T)*
 using *rtrancpl-cdcl_{NOT}-no-dup[OF st (inv S) n-d]* by *simp*
ultimately have *set-mset (clauses U) ⊆ set-mset (clauses T) ∪ simple-clss A*
 using *cdcl_{NOT} finite n-d* by (*auto simp: cdcl_{NOT}-clauses-bound*)
then show ?case using *IH* by *auto*
qed

lemma *rtrancpl-cdcl_{NOT}-card-clauses-bound:*

assumes
*cdcl_{NOT}** S T* **and**
inv S **and**
atms-of-msu (clauses S) ⊆ A **and**
atm-of '(lits-of (trail S)) ⊆ A **and**
n-d: no-dup (trail S) **and**
finite: finite A

shows $\text{card } (\text{set-mset } (\text{clauses } T)) \leq \text{card } (\text{set-mset } (\text{clauses } S)) + 3 \wedge (\text{card } A)$
using $\text{rtrancpl-cdcl}_{NOT}\text{-clauses-bound}[OF \text{ assms}] \text{ finite}$ **by** $(\text{meson } \text{Nat.le-trans}$
 $\text{simple-clss-card simple-clss-finite card-Un-le card-mono finite-UnI}$
 $\text{finite-set-mset nat-add-left-cancel-le})$

lemma $\text{rtrancpl-cdcl}_{NOT}\text{-card-clauses-bound}'$:

assumes
 $\text{cdcl}_{NOT}^{**} S T$ **and**
 $\text{inv } S$ **and**
 $\text{atms-of-msu } (\text{clauses } S) \subseteq A$ **and**
 $\text{atm-of } '(\text{lits-of } (\text{trail } S)) \subseteq A$ **and**
 $\text{n-d: no-dup } (\text{trail } S)$ **and**
 $\text{finite: finite } A$
shows $\text{card } \{C \mid C. C \in \# \text{ clauses } T \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\}$
 $\leq \text{card } \{C \mid C. C \in \# \text{ clauses } S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge (\text{card } A)$
 $(\text{is card } ?T \leq \text{card } ?S + -)$
using $\text{rtrancpl-cdcl}_{NOT}\text{-clauses-bound}[OF \text{ assms}] \text{ finite}$
proof –
have $?T \subseteq ?S \cup \text{simple-clss } A$
using $\text{rtrancpl-cdcl}_{NOT}\text{-clauses-bound}[OF \text{ assms}]$ **by** force
then have $\text{card } ?T \leq \text{card } (?S \cup \text{simple-clss } A)$
using finite **by** $(\text{simp add: assms}(5) \text{ simple-clss-finite card-mono})$
then show $?thesis$
by $(\text{meson le-trans simple-clss-card card-Un-le local.finite nat-add-left-cancel-le})$
qed

lemma $\text{rtrancpl-cdcl}_{NOT}\text{-card-simple-clauses-bound}$:

assumes
 $\text{cdcl}_{NOT}^{**} S T$ **and**
 $\text{inv } S$ **and**
 $\text{atms-of-msu } (\text{clauses } S) \subseteq A$ **and**
 $\text{atm-of } '(\text{lits-of } (\text{trail } S)) \subseteq A$ **and**
 $\text{n-d: no-dup } (\text{trail } S)$ **and**
 $\text{finite: finite } A$
shows $\text{card } (\text{set-mset } (\text{clauses } T))$
 $\leq \text{card } \{C. C \in \# \text{ clauses } S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge (\text{card } A)$
 $(\text{is card } ?T \leq \text{card } ?S + -)$
using $\text{rtrancpl-cdcl}_{NOT}\text{-clauses-bound}[OF \text{ assms}] \text{ finite}$
proof –
have $\bigwedge x. x \in \# \text{ clauses } T \implies \neg \text{tautology } x \implies \text{distinct-mset } x \implies x \in \text{simple-clss } A$
using $\text{rtrancpl-cdcl}_{NOT}\text{-clauses-bound}[OF \text{ assms}]$ **by** $(\text{metis } (\text{no-types, hide-lams}) \text{Un-iff assms}(3)$
 $\text{atms-of-atms-of-ms-mono simple-clss-mono contra-subsetD}$
 $\text{distinct-mset-not-tautology-implies-in-simple-clss local.finite mem-set-mset-iff}$
 $\text{subset-trans})$
then have $\text{set-mset } (\text{clauses } T) \subseteq ?S \cup \text{simple-clss } A$
using $\text{rtrancpl-cdcl}_{NOT}\text{-clauses-bound}[OF \text{ assms}]$ **by** auto
then have $\text{card}(\text{set-mset } (\text{clauses } T)) \leq \text{card } (?S \cup \text{simple-clss } A)$
using finite **by** $(\text{simp add: assms}(5) \text{ simple-clss-finite card-mono})$
then show $?thesis$
by $(\text{meson le-trans simple-clss-card card-Un-le local.finite nat-add-left-cancel-le})$
qed

definition $\mu_{CDCL}'\text{-bound} :: 'v \text{ literal multiset set} \Rightarrow 'st \Rightarrow \text{nat}$ **where**

$\mu_{CDCL}'\text{-bound } A S =$
 $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))) * (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$

+ $2 * 3 \wedge (\text{card } (\text{atms-of-ms } A))$
+ $\text{card } \{C. C \in \# \text{ clauses } S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge (\text{card } (\text{atms-of-ms } A))$

lemma $\mu_{CDCL}'\text{-bound-reduce-trail-to}_{NOT}[simp]:$

$\mu_{CDCL}'\text{-bound } A (\text{reduce-trail-to}_{NOT} M S) = \mu_{CDCL}'\text{-bound } A S$

unfolding $\mu_{CDCL}'\text{-bound-def}$ **by** *auto*

lemma $rtrancpl\text{-}cdcl_{NOT}\text{-}\mu_{CDCL}'\text{-bound-reduce-trail-to}_{NOT}:$

assumes

$cdcl_{NOT}^{**} S T$ **and**

$inv S$ **and**

$\text{atms-of-msu } (\text{clauses } S) \subseteq \text{atms-of-ms } A$ **and**

$\text{atm-of } '(\text{lits-of } (\text{trail } S)) \subseteq \text{atms-of-ms } A$ **and**

$n\text{-d: no-dup } (\text{trail } S)$ **and**

$\text{finite: finite } (\text{atms-of-ms } A)$ **and**

$U: U \sim \text{reduce-trail-to}_{NOT} M T$

shows $\mu_{CDCL}' A U \leq \mu_{CDCL}'\text{-bound } A S$

proof –

have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A U)$

$\leq (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$

by *auto*

then have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A U)$

$* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$

$\leq (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) * (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$

using *mult-le-mono1* **by** *blast*

moreover

have $\text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T * 2 \leq 2 * 3 \wedge \text{card } (\text{atms-of-ms } A)$

by *linarith*

moreover have $\text{card } (\text{set-mset } (\text{clauses } U))$

$\leq \text{card } \{C. C \in \# \text{ clauses } S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge \text{card } (\text{atms-of-ms } A)$

using $rtrancpl\text{-}cdcl_{NOT}\text{-card-simple-clauses-bound}[OF \text{ assms}(1-6)] U$ **by** *auto*

ultimately show *?thesis*

unfolding $\mu_{CDCL}'\text{-def}$ $\mu_{CDCL}'\text{-bound-def}$ **by** *linarith*

qed

lemma $rtrancpl\text{-}cdcl_{NOT}\text{-}\mu_{CDCL}'\text{-bound}:$

assumes

$cdcl_{NOT}^{**} S T$ **and**

$inv S$ **and**

$\text{atms-of-msu } (\text{clauses } S) \subseteq \text{atms-of-ms } A$ **and**

$\text{atm-of } '(\text{lits-of } (\text{trail } S)) \subseteq \text{atms-of-ms } A$ **and**

$n\text{-d: no-dup } (\text{trail } S)$ **and**

$\text{finite: finite } (\text{atms-of-ms } A)$

shows $\mu_{CDCL}' A T \leq \mu_{CDCL}'\text{-bound } A S$

proof –

have $\mu_{CDCL}' A (\text{reduce-trail-to}_{NOT} (\text{trail } T) T) = \mu_{CDCL}' A T$

unfolding $\mu_{CDCL}'\text{-def}$ $\mu_C'\text{-def}$ $\text{conflicting-bj-clss-def}$ **by** *auto*

then show *?thesis* **using** $rtrancpl\text{-}cdcl_{NOT}\text{-}\mu_{CDCL}'\text{-bound-reduce-trail-to}_{NOT}[OF \text{ assms, of - trail } T]$

$\text{state-eq}_{NOT}\text{-ref}$ **by** *fastforce*

qed

lemma $rtrancpl\text{-}\mu_{CDCL}'\text{-bound-decreasing}:$

assumes

$cdcl_{NOT}^{**} S T$ **and**

$inv S$ **and**

$atms-of-msu \text{ (clauses } S) \subseteq atms-of-ms \text{ } A$ **and**
 $atm-of \text{ ' (lits-of (trail } S)) \subseteq atms-of-ms \text{ } A$ **and**
 $n-d: no-dup \text{ (trail } S)$ **and**
 $finite[simp]: finite \text{ (atms-of-ms } A)$
shows $\mu_{CDCL}'\text{-bound } A \text{ } T \leq \mu_{CDCL}'\text{-bound } A \text{ } S$
proof –
have $\{C. C \in \# \text{ clauses } T \wedge (tautology \text{ } C \vee \neg distinct-mset \text{ } C)\}$
 $\subseteq \{C. C \in \# \text{ clauses } S \wedge (tautology \text{ } C \vee \neg distinct-mset \text{ } C)\}$ (**is** $?T \subseteq ?S$)
proof (*rule Set.subsetI*)
fix C **assume** $C \in ?T$
then have $C-T: C \in \# \text{ clauses } T$ **and** $t-d: tautology \text{ } C \vee \neg distinct-mset \text{ } C$
by *auto*
then have $C \notin simple-clss \text{ (atms-of-ms } A)$
by (*auto dest: simple-clssE*)
then show $C \in ?S$
using $C-T \text{ } rtrancp-cdcl_{NOT}\text{-clauses-bound}[OF \text{ } assms] \text{ } t-d$ **by** *force*
qed
then have $card \{C. C \in \# \text{ clauses } T \wedge (tautology \text{ } C \vee \neg distinct-mset \text{ } C)\} \leq$
 $card \{C. C \in \# \text{ clauses } S \wedge (tautology \text{ } C \vee \neg distinct-mset \text{ } C)\}$
by (*simp add: card-mono*)
then show $?thesis$
unfolding $\mu_{CDCL}'\text{-bound-def}$ **by** *auto*
qed
end — end of *conflict-driven-clause-learning-learning-before-backjump-only-distinct-learn*

2.7 CDCL with restarts

2.7.1 Definition

locale *restart-ops* =
fixes
 $cdcl_{NOT} :: 'st \Rightarrow 'st \Rightarrow bool$ **and**
 $restart :: 'st \Rightarrow 'st \Rightarrow bool$
begin
inductive $cdcl_{NOT}\text{-raw-restart} :: 'st \Rightarrow 'st \Rightarrow bool$ **where**
 $cdcl_{NOT} \text{ } S \text{ } T \Longrightarrow cdcl_{NOT}\text{-raw-restart } S \text{ } T \mid$
 $restart \text{ } S \text{ } T \Longrightarrow cdcl_{NOT}\text{-raw-restart } S \text{ } T$
end
locale *conflict-driven-clause-learning-with-restarts* =
 $conflict-driven-clause-learning \text{ } trail \text{ } clauses \text{ } prepend-trail \text{ } tl-trail \text{ } add-cls_{NOT} \text{ } remove-cls_{NOT}$
 $propagate-conds \text{ } inv \text{ } backjump-conds \text{ } learn-cond \text{ } forget-cond$
for
 $trail :: 'st \Rightarrow ('v, unit, unit) \text{ } ann\text{-literals}$ **and**
 $clauses :: 'st \Rightarrow 'v \text{ } clauses$ **and**
 $prepend-trail :: ('v, unit, unit) \text{ } ann\text{-literal} \Rightarrow 'st \Rightarrow 'st$ **and**
 $tl-trail :: 'st \Rightarrow 'st$ **and**
 $add-cls_{NOT} \text{ } remove-cls_{NOT} :: 'v \text{ } clause \Rightarrow 'st \Rightarrow 'st$ **and**
 $propagate-conds :: ('v, unit, unit) \text{ } ann\text{-literal} \Rightarrow 'st \Rightarrow bool$ **and**
 $inv :: 'st \Rightarrow bool$ **and**
 $backjump-conds :: 'v \text{ } clause \Rightarrow 'v \text{ } clause \Rightarrow 'v \text{ } literal \Rightarrow 'st \Rightarrow 'st \Rightarrow bool$ **and**
 $learn-cond \text{ } forget-cond :: 'v \text{ } clause \Rightarrow 'st \Rightarrow bool$
begin

```

lemma cdclNOT-iff-cdclNOT-raw-restart-no-restarts:
  cdclNOT S T  $\longleftrightarrow$  restart-ops.cdclNOT-raw-restart cdclNOT ( $\lambda$ - . False) S T
  (is ?C S T  $\longleftrightarrow$  ?R S T)
proof
  fix S T
  assume ?C S T
  then show ?R S T by (simp add: restart-ops.cdclNOT-raw-restart.intros(1))
next
  fix S T
  assume ?R S T
  then show ?C S T
    apply (cases rule: restart-ops.cdclNOT-raw-restart.cases)
    using ⟨?R S T⟩ by fast+
qed

lemma cdclNOT-cdclNOT-raw-restart:
  cdclNOT S T  $\implies$  restart-ops.cdclNOT-raw-restart cdclNOT restart S T
  by (simp add: restart-ops.cdclNOT-raw-restart.intros(1))
end

```

2.7.2 Increasing restarts

To add restarts we need some assumptions on the predicate (called *cdcl_{NOT}* here):

- a function f that is strictly monotonic. The first step is actually only used as a restart to clean the state (e.g. to ensure that the trail is empty). Then we assume that $(1::'a) \leq f$ n for $(1::'a) \leq n$: it means that between two consecutive restarts, at least one step will be done. This is necessary to avoid sequence. like: full – restart – full – ...
- a measure μ : it should decrease under the assumptions *bound-inv*, whenever a *cdcl_{NOT}* or a *restart* is done. A parameter is given to μ : for conflict- driven clause learning, it is an upper-bound of the clauses. We are assuming that such a bound can be found after a restart whenever the invariant holds.
- we also assume that the measure decrease after any *cdcl_{NOT}* step.
- an invariant on the states *cdcl_{NOT}-inv* that also holds after restarts.
- it is *not required* that the measure decrease with respect to restarts, but the measure has to be bound by some function μ -*bound* taking the same parameter as μ and the initial state of the considered *cdcl_{NOT}* chain.

```

locale cdclNOT-increasing-restarts-ops =
  restart-ops cdclNOT restart for
    restart :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
    cdclNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool +
fixes
  f :: nat  $\Rightarrow$  nat and
  bound-inv :: 'bound  $\Rightarrow$  'st  $\Rightarrow$  bool and
   $\mu$  :: 'bound  $\Rightarrow$  'st  $\Rightarrow$  nat and
  cdclNOT-inv :: 'st  $\Rightarrow$  bool and
   $\mu$ -bound :: 'bound  $\Rightarrow$  'st  $\Rightarrow$  nat
assumes
  f: unbounded f and

```

$f\text{-ge-1}:\bigwedge n. n \geq 1 \implies f\ n \neq 0$ **and**
 $\text{bound-inv}:\bigwedge A\ S\ T. \text{cdcl}_{\text{NOT-inv}}\ S \implies \text{bound-inv}\ A\ S \implies \text{cdcl}_{\text{NOT}}\ S\ T \implies \text{bound-inv}\ A\ T$ **and**
 $\text{cdcl}_{\text{NOT-measure}}:\bigwedge A\ S\ T. \text{cdcl}_{\text{NOT-inv}}\ S \implies \text{bound-inv}\ A\ S \implies \text{cdcl}_{\text{NOT}}\ S\ T \implies \mu\ A\ T < \mu$
 $A\ S$ **and**
 $\text{measure-bound2}:\bigwedge A\ T\ U. \text{cdcl}_{\text{NOT-inv}}\ T \implies \text{bound-inv}\ A\ T \implies \text{cdcl}_{\text{NOT}}^{**}\ T\ U$
 $\implies \mu\ A\ U \leq \mu\text{-bound}\ A\ T$ **and**
 $\text{measure-bound4}:\bigwedge A\ T\ U. \text{cdcl}_{\text{NOT-inv}}\ T \implies \text{bound-inv}\ A\ T \implies \text{cdcl}_{\text{NOT}}^{**}\ T\ U$
 $\implies \mu\text{-bound}\ A\ U \leq \mu\text{-bound}\ A\ T$ **and**
 $\text{cdcl}_{\text{NOT-restart-inv}}:\bigwedge A\ U\ V. \text{cdcl}_{\text{NOT-inv}}\ U \implies \text{restart}\ U\ V \implies \text{bound-inv}\ A\ U \implies \text{bound-inv}$
 $A\ V$
and
 $\text{exists-bound}:\bigwedge R\ S. \text{cdcl}_{\text{NOT-inv}}\ R \implies \text{restart}\ R\ S \implies \exists A. \text{bound-inv}\ A\ S$ **and**
 $\text{cdcl}_{\text{NOT-inv}}:\bigwedge S\ T. \text{cdcl}_{\text{NOT-inv}}\ S \implies \text{cdcl}_{\text{NOT}}\ S\ T \implies \text{cdcl}_{\text{NOT-inv}}\ T$ **and**
 $\text{cdcl}_{\text{NOT-inv-restart}}:\bigwedge S\ T. \text{cdcl}_{\text{NOT-inv}}\ S \implies \text{restart}\ S\ T \implies \text{cdcl}_{\text{NOT-inv}}\ T$
begin

lemma $\text{cdcl}_{\text{NOT-cdcl}_{\text{NOT-inv}}}$:
assumes
 $(\text{cdcl}_{\text{NOT}} \rightsquigarrow n)\ S\ T$ **and**
 $\text{cdcl}_{\text{NOT-inv}}\ S$
shows $\text{cdcl}_{\text{NOT-inv}}\ T$
using *assms* **by** (*induction* n *arbitrary*: T) (*auto intro*: $\text{bound-inv}\ \text{cdcl}_{\text{NOT-inv}}$)

lemma $\text{cdcl}_{\text{NOT-bound-inv}}$:
assumes
 $(\text{cdcl}_{\text{NOT}} \rightsquigarrow n)\ S\ T$ **and**
 $\text{cdcl}_{\text{NOT-inv}}\ S$
 $\text{bound-inv}\ A\ S$
shows $\text{bound-inv}\ A\ T$
using *assms* **by** (*induction* n *arbitrary*: T) (*auto intro*: $\text{bound-inv}\ \text{cdcl}_{\text{NOT-cdcl}_{\text{NOT-inv}}}$)

lemma $\text{rtrancpl-cdcl}_{\text{NOT-cdcl}_{\text{NOT-inv}}}$:
assumes
 $\text{cdcl}_{\text{NOT}}^{**}\ S\ T$ **and**
 $\text{cdcl}_{\text{NOT-inv}}\ S$
shows $\text{cdcl}_{\text{NOT-inv}}\ T$
using *assms* **by** *induction* (*auto intro*: $\text{cdcl}_{\text{NOT-inv}}$)

lemma $\text{rtrancpl-cdcl}_{\text{NOT-bound-inv}}$:
assumes
 $\text{cdcl}_{\text{NOT}}^{**}\ S\ T$ **and**
 $\text{bound-inv}\ A\ S$ **and**
 $\text{cdcl}_{\text{NOT-inv}}\ S$
shows $\text{bound-inv}\ A\ T$
using *assms* **by** *induction* (*auto intro*: $\text{bound-inv}\ \text{rtrancpl-cdcl}_{\text{NOT-cdcl}_{\text{NOT-inv}}}$)

lemma $\text{cdcl}_{\text{NOT-comp-n-le}}$:
assumes
 $(\text{cdcl}_{\text{NOT}} \rightsquigarrow (\text{Suc}\ n))\ S\ T$ **and**
 $\text{bound-inv}\ A\ S$
 $\text{cdcl}_{\text{NOT-inv}}\ S$
shows $\mu\ A\ T < \mu\ A\ S - n$
using *assms*
proof (*induction* n *arbitrary*: T)
case 0

then show ?case using *cdcl_{NOT}-measure* by auto
 next
 case (Suc n) note IH = this(1)[OF - this(3) this(4)] and S-T = this(2) and b-inv = this(3) and
 c-inv = this(4)
 obtain U :: 'st where S-U: (cdcl_{NOT} \sim (Suc n)) S U and U-T: cdcl_{NOT} U T using S-T by auto
 then have $\mu A U < \mu A S - n$ using IH[of U] by simp
 moreover
 have bound-inv A U
 using S-U b-inv cdcl_{NOT}-bound-inv c-inv by blast
 then have $\mu A T < \mu A U$ using cdcl_{NOT}-measure[OF - - U-T] S-U c-inv cdcl_{NOT}-cdcl_{NOT}-inv
 by auto
 ultimately show ?case by linarith
 qed

lemma wf-cdcl_{NOT}:
 wf {(T, S). cdcl_{NOT} S T \wedge cdcl_{NOT}-inv S \wedge bound-inv A S} (is wf ?A)
apply (rule wfP-if-measure2[of - - μA])
using cdcl_{NOT}-comp-n-le[of 0 - - A] **by** auto

lemma rtranclp-cdcl_{NOT}-measure:

assumes
 cdcl_{NOT}** S T **and**
 bound-inv A S **and**
 cdcl_{NOT}-inv S
shows $\mu A T \leq \mu A S$
using assms
proof (induction rule: rtranclp-induct)
case base
then show ?case **by** auto
 next
 case (step T U) note IH = this(3)[OF this(4) this(5)] and st = this(1) and cdcl_{NOT} = this(2) and
 b-inv = this(4) and c-inv = this(5)
 have bound-inv A T
 by (meson cdcl_{NOT}-bound-inv rtranclp-imp-relpoup st step.prem)
 moreover have cdcl_{NOT}-inv T
 using c-inv rtranclp-cdcl_{NOT}-cdcl_{NOT}-inv st by blast
 ultimately have $\mu A U < \mu A T$ using cdcl_{NOT}-measure[OF - - cdcl_{NOT}] by auto
 then show ?case using IH by linarith
 qed

lemma cdcl_{NOT}-comp-bounded:

assumes
 bound-inv A S **and** cdcl_{NOT}-inv S **and** $m \geq 1 + \mu A S$
shows $\neg(\text{cdcl}_{\text{NOT}} \sim_m) S T$
using assms cdcl_{NOT}-comp-n-le[of m-1 S T A] **by** fastforce

- $f n < m$ ensures that at least one step has been done.

inductive cdcl_{NOT}-restart **where**

restart-step: (cdcl_{NOT} \sim_m) S T $\implies m \geq f n \implies \text{restart } T U$
 $\implies \text{cdcl}_{\text{NOT}}\text{-restart } (S, n) (U, \text{Suc } n) \mid$
 restart-full: full1 cdcl_{NOT} S T $\implies \text{cdcl}_{\text{NOT}}\text{-restart } (S, n) (T, \text{Suc } n)$

lemmas cdcl_{NOT}-with-restart-induct = cdcl_{NOT}-restart.induct[split-format(complete),
 OF cdcl_{NOT}-increasing-restarts-ops-axioms]

lemma $cdcl_{NOT}\text{-restart-cdcl}_{NOT}\text{-raw-restart}$:
 $cdcl_{NOT}\text{-restart } S \ T \implies cdcl_{NOT}\text{-raw-restart}^{**} (fst \ S) (fst \ T)$
proof (*induction rule*: $cdcl_{NOT}\text{-restart.induct}$)
 case ($restart\text{-step } m \ S \ T \ n \ U$)
 then have $cdcl_{NOT}^{**} \ S \ T$ **by** ($meson \ relpowp\text{-imp-rtrancpl}$)
 then have $cdcl_{NOT}\text{-raw-restart}^{**} \ S \ T$ **using** $cdcl_{NOT}\text{-raw-restart.intros}(1)$
 $rtrancpl\text{-mono}[of \ cdcl_{NOT} \ cdcl_{NOT}\text{-raw-restart}]$ **by** $blast$
 moreover have $cdcl_{NOT}\text{-raw-restart } T \ U$
using $\langle restart \ T \ U \rangle \ cdcl_{NOT}\text{-raw-restart.intros}(2)$ **by** $blast$
 ultimately show $?case$ **by** $auto$
next
 case ($restart\text{-full } S \ T$)
 then have $cdcl_{NOT}^{**} \ S \ T$ **unfolding** $full1\text{-def}$ **by** $auto$
 then show $?case$ **using** $cdcl_{NOT}\text{-raw-restart.intros}(1)$
 $rtrancpl\text{-mono}[of \ cdcl_{NOT} \ cdcl_{NOT}\text{-raw-restart}]$ **by** $auto$
qed

lemma $cdcl_{NOT}\text{-with-restart-bound-inv}$:
assumes
 $cdcl_{NOT}\text{-restart } S \ T$ **and**
 $bound\text{-inv } A \ (fst \ S)$ **and**
 $cdcl_{NOT}\text{-inv } (fst \ S)$
shows $bound\text{-inv } A \ (fst \ T)$
using $assms$ **apply** (*induction rule*: $cdcl_{NOT}\text{-restart.induct}$)
prefer 2 **apply** ($metis \ rtrancpl\text{-unfold } fstI \ full1\text{-def } rtrancpl\text{-cdcl}_{NOT}\text{-bound-inv}$)
by ($metis \ cdcl_{NOT}\text{-bound-inv } cdcl_{NOT}\text{-cdcl}_{NOT}\text{-inv } cdcl_{NOT}\text{-restart-inv } fst\text{-conv}$)

lemma $cdcl_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}$:
assumes
 $cdcl_{NOT}\text{-restart } S \ T$ **and**
 $cdcl_{NOT}\text{-inv } (fst \ S)$
shows $cdcl_{NOT}\text{-inv } (fst \ T)$
using $assms$ **apply** $induction$
apply ($metis \ cdcl_{NOT}\text{-cdcl}_{NOT}\text{-inv } cdcl_{NOT}\text{-inv-restart } fst\text{-conv}$)
apply ($metis \ fstI \ full\text{-def } full\text{-unfold } rtrancpl\text{-cdcl}_{NOT}\text{-cdcl}_{NOT}\text{-inv}$)
done

lemma $rtrancpl\text{-cdcl}_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}$:
assumes
 $cdcl_{NOT}\text{-restart}^{**} \ S \ T$ **and**
 $cdcl_{NOT}\text{-inv } (fst \ S)$
shows $cdcl_{NOT}\text{-inv } (fst \ T)$
using $assms$ **by** $induction$ ($auto \ intro: \ cdcl_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}$)

lemma $rtrancpl\text{-cdcl}_{NOT}\text{-with-restart-bound-inv}$:
assumes
 $cdcl_{NOT}\text{-restart}^{**} \ S \ T$ **and**
 $cdcl_{NOT}\text{-inv } (fst \ S)$ **and**
 $bound\text{-inv } A \ (fst \ S)$
shows $bound\text{-inv } A \ (fst \ T)$
using $assms$ **apply** $induction$
apply ($simp \ add: \ cdcl_{NOT}\text{-cdcl}_{NOT}\text{-inv } cdcl_{NOT}\text{-with-restart-bound-inv}$)
using $cdcl_{NOT}\text{-with-restart-bound-inv } rtrancpl\text{-cdcl}_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}$ **by** $blast$

lemma *cdcl_{NOT}-with-restart-increasing-number:*
cdcl_{NOT}-restart $S\ T \implies \text{snd } T = 1 + \text{snd } S$
by (*induction rule: cdcl_{NOT}-restart.induct*) *auto*
end

locale *cdcl_{NOT}-increasing-restarts =*
cdcl_{NOT}-increasing-restarts-ops *restart cdcl_{NOT} f bound-inv μ cdcl_{NOT}-inv μ -bound*
for
trail :: $'st \Rightarrow ('v, \text{unit}, \text{unit}) \text{ ann-literals}$ **and**
clauses :: $'st \Rightarrow 'v \text{ clauses}$ **and**
prepend-trail :: $('v, \text{unit}, \text{unit}) \text{ ann-literal} \Rightarrow 'st \Rightarrow 'st$ **and**
tl-trail :: $'st \Rightarrow 'st$ **and**
add-cl_{NOT} remove-cl_{NOT} :: $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$ **and**
f :: $\text{nat} \Rightarrow \text{nat}$ **and**
restart :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **and**
bound-inv :: $'bound \Rightarrow 'st \Rightarrow \text{bool}$ **and**
 μ :: $'bound \Rightarrow 'st \Rightarrow \text{nat}$ **and**
cdcl_{NOT} :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **and**
cdcl_{NOT}-inv :: $'st \Rightarrow \text{bool}$ **and**
 μ -bound :: $'bound \Rightarrow 'st \Rightarrow \text{nat} +$
assumes
measure-bound: $\bigwedge A\ T\ V\ n. \text{cdcl}_{\text{NOT}}\text{-inv } T \implies \text{bound-inv } A\ T$
 $\implies \text{cdcl}_{\text{NOT}}\text{-restart } (T, n) (V, \text{Suc } n) \implies \mu\ A\ V \leq \mu\text{-bound } A\ T$ **and**
cdcl_{NOT}-raw-restart- μ -bound:
 $\text{cdcl}_{\text{NOT}}\text{-restart } (T, a) (V, b) \implies \text{cdcl}_{\text{NOT}}\text{-inv } T \implies \text{bound-inv } A\ T$
 $\implies \mu\text{-bound } A\ V \leq \mu\text{-bound } A\ T$
begin

lemma *rtrancp-cdcl_{NOT}-raw-restart- μ -bound:*
 $\text{cdcl}_{\text{NOT}}\text{-restart}^{**} (T, a) (V, b) \implies \text{cdcl}_{\text{NOT}}\text{-inv } T \implies \text{bound-inv } A\ T$
 $\implies \mu\text{-bound } A\ V \leq \mu\text{-bound } A\ T$
apply (*induction rule: rtrancp-induct2*)
apply *simp*
by (*metis cdcl_{NOT}-raw-restart- μ -bound dual-order.trans fst-conv*
rtrancp-cdcl_{NOT}-with-restart-bound-inv rtrancp-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv)

lemma *cdcl_{NOT}-raw-restart-measure-bound:*
 $\text{cdcl}_{\text{NOT}}\text{-restart } (T, a) (V, b) \implies \text{cdcl}_{\text{NOT}}\text{-inv } T \implies \text{bound-inv } A\ T$
 $\implies \mu\ A\ V \leq \mu\text{-bound } A\ T$
apply (*cases rule: cdcl_{NOT}-restart.cases*)
apply *simp*
using *measure-bound relpowp-imp-rtrancp* **apply** *fastforce*
by (*metis full-def full-unfold measure-bound2 prod.inject*)

lemma *rtrancp-cdcl_{NOT}-raw-restart-measure-bound:*
 $\text{cdcl}_{\text{NOT}}\text{-restart}^{**} (T, a) (V, b) \implies \text{cdcl}_{\text{NOT}}\text{-inv } T \implies \text{bound-inv } A\ T$
 $\implies \mu\ A\ V \leq \mu\text{-bound } A\ T$
apply (*induction rule: rtrancp-induct2*)
apply (*simp add: measure-bound2*)
by (*metis dual-order.trans fst-conv measure-bound2 r-into-rtrancp rtrancp.rtrancp-refl*
rtrancp-cdcl_{NOT}-with-restart-bound-inv rtrancp-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv
rtrancp-cdcl_{NOT}-raw-restart- μ -bound)

lemma *wf-cdcl_{NOT}-restart:*
 $\text{wf } \{(T, S). \text{cdcl}_{\text{NOT}}\text{-restart } S\ T \wedge \text{cdcl}_{\text{NOT}}\text{-inv } (\text{fst } S)\}$ (**is** *wf ?A*)

```

proof (rule ccontr)
  assume  $\neg$  ?thesis
  then obtain  $g$  where
     $g: \bigwedge i. \text{cdcl}_{NOT}\text{-restart } (g\ i) (g\ (\text{Suc } i))$  and
     $\text{cdcl}_{NOT}\text{-inv-}g: \bigwedge i. \text{cdcl}_{NOT}\text{-inv } (\text{fst } (g\ i))$ 
    unfolding wf-iff-no-infinite-down-chain by fast

  have  $\text{snd-}g: \bigwedge i. \text{snd } (g\ i) = i + \text{snd } (g\ 0)$ 
    apply (induct-tac  $i$ )
    apply simp
    by (metis Suc-eq-plus1-left add commute add.left-commute
      cdclNOT-with-restart-increasing-number  $g$ )
  then have  $\text{snd-}g\text{-}0: \bigwedge i. i > 0 \implies \text{snd } (g\ i) = i + \text{snd } (g\ 0)$ 
    by blast
  have unbounded- $f$ - $g: \text{unbounded } (\lambda i. f\ (\text{snd } (g\ i)))$ 
    using  $f$  unfolding bounded-def by (metis add commute  $f$  less-or-eq-imp-le snd- $g$ 
      not-bounded-nat-exists-larger not-le le-iff-add)

  { fix  $i$ 
    have  $H: \bigwedge T\ Ta\ m. (\text{cdcl}_{NOT} \rightsquigarrow m)\ T\ Ta \implies \text{no-step } \text{cdcl}_{NOT}\ T \implies m = 0$ 
      apply (case-tac  $m$ ) by simp (meson relpowp-E2)
    have  $\exists\ T\ m. (\text{cdcl}_{NOT} \rightsquigarrow m)\ (\text{fst } (g\ i))\ T \wedge m \geq f\ (\text{snd } (g\ i))$ 
      using  $g[\text{of } i]$  apply (cases rule: cdclNOT-restart.cases)
      apply auto[]
      using  $g[\text{of } \text{Suc } i]$   $f\text{-ge-1}$  apply (cases rule: cdclNOT-restart.cases)
      apply (auto simp add: full1-def full-def dest:  $H$  dest: tranclpD)
      using  $H$  Suc-leI leD by blast
    } note  $H = \text{this}$ 
  obtain  $A$  where bound-inv  $A\ (\text{fst } (g\ 1))$ 
    using  $g[\text{of } 0]$  cdclNOT-inv- $g[\text{of } 0]$  apply (cases rule: cdclNOT-restart.cases)
    apply (metis One-nat-def cdclNOT-inv exists-bound fst-conv relpowp-imp-rtranclp
      rtranclp-induct)
    using  $H[\text{of } 1]$  unfolding full1-def by (metis One-nat-def Suc-eq-plus1 diff-is-0-eq' diff-zero
       $f\text{-ge-1}$  fst-conv le-add2 relpowp-E2 snd-conv)
  let ? $j = \mu\text{-bound } A\ (\text{fst } (g\ 1)) + 1$ 
  obtain  $j$  where
     $j: f\ (\text{snd } (g\ j)) > ?j$  and  $j > 1$ 
    using unbounded- $f$ - $g$  not-bounded-nat-exists-larger by blast
  {
    fix  $i\ j$ 
    have cdclNOT-with-restart:  $j \geq i \implies \text{cdcl}_{NOT}\text{-restart}^{**}\ (g\ i)\ (g\ j)$ 
      apply (induction  $j$ )
      apply simp
      by (metis  $g$  le-Suc-eq rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
    } note cdclNOT-restart = this
  have cdclNOT-inv  $(\text{fst } (g\ (\text{Suc } 0)))$ 
    by (simp add: cdclNOT-inv- $g$ )
  have cdclNOT-restart**  $(\text{fst } (g\ 1), \text{snd } (g\ 1))\ (\text{fst } (g\ j), \text{snd } (g\ j))$ 
    using  $\langle j > 1 \rangle$  by (simp add: cdclNOT-restart)
  have  $\mu\ A\ (\text{fst } (g\ j)) \leq \mu\text{-bound } A\ (\text{fst } (g\ 1))$ 
    apply (rule rtranclp-cdclNOT-raw-restart-measure-bound)
    using  $\langle \text{cdcl}_{NOT}\text{-restart}^{**}\ (\text{fst } (g\ 1), \text{snd } (g\ 1))\ (\text{fst } (g\ j), \text{snd } (g\ j)) \rangle$  apply blast
    apply (simp add: cdclNOT-inv- $g$ )
    using  $\langle \text{bound-inv } A\ (\text{fst } (g\ 1)) \rangle$  apply simp
  done

```

```

then have  $\mu A (fst (g j)) \leq ?j$ 
  by auto
have inv: bound-inv A (fst (g j))
  using  $\langle bound-inv A (fst (g 1)) \rangle \langle cdcl_{NOT}-inv (fst (g (Suc 0))) \rangle$ 
   $\langle cdcl_{NOT}-restart^{**} (fst (g 1), snd (g 1)) (fst (g j), snd (g j)) \rangle$ 
  rtrancpl-cdclNOT-with-restart-bound-inv by auto
obtain T m where
  cdclNOT-m:  $(cdcl_{NOT} \rightsquigarrow m) (fst (g j)) T$  and
  f-m:  $f (snd (g j)) \leq m$ 
  using H[of j] by blast
have ?j < m
  using f-m j Nat.le-trans by linarith

then show False
  using  $\langle \mu A (fst (g j)) \leq \mu-bound A (fst (g 1)) \rangle$ 
  cdclNOT-comp-bounded[OF inv cdclNOT-inv-g, of ] cdclNOT-inv-g cdclNOT-m
   $\langle ?j < m \rangle$  by auto
qed

lemma cdclNOT-restart-steps-bigger-than-bound:
  assumes
    cdclNOT-restart S T and
    bound-inv A (fst S) and
    cdclNOT-inv (fst S) and
     $f (snd S) > \mu-bound A (fst S)$ 
  shows full1 cdclNOT (fst S) (fst T)
  using assms
proof (induction rule: cdclNOT-restart.induct)
  case restart-full
  then show ?case by auto
next
  case (restart-step m S T n U)
  note st = this(1) and f = this(2) and bound-inv = this(4) and
    cdclNOT-inv = this(5) and  $\mu = this(6)$ 
  then obtain m' where m:  $m = Suc m'$  by (cases m) auto
  have  $\mu A S - m' = 0$ 
    using f bound-inv cdclNOT-inv  $\mu m$  rtrancpl-cdclNOT-raw-restart-measure-bound by fastforce
  then have False using cdclNOT-comp-n-le[of m' S T A] restart-step unfolding m by simp
  then show ?case by fast
qed

lemma rtrancpl-cdclNOT-with-inv-inv-rtrancpl-cdclNOT:
  assumes
    inv: cdclNOT-inv S and
    binv: bound-inv A S
  shows  $(\lambda S T. cdcl_{NOT} S T \wedge cdcl_{NOT}-inv S \wedge bound-inv A S)^{**} S T \longleftrightarrow cdcl_{NOT}^{**} S T$ 
  (is  $?A^{**} S T \longleftrightarrow ?B^{**} S T$ )
  apply (rule iffI)
  using rtrancpl-mono[of ?A ?B] apply blast
  apply (induction rule: rtrancpl-induct)
  using inv binv apply simp
  by (metis (mono-tags, lifting) binv inv rtrancpl.simps rtrancpl-cdclNOT-bound-inv
    rtrancpl-cdclNOT-cdclNOT-inv)

lemma no-step-cdclNOT-restart-no-step-cdclNOT:
  assumes

```


n-s: *no-step cdcl_{NOT}-restart S* **and**
inv: *cdcl_{NOT}-inv (fst S)* **and**
binv: *bound-inv A (fst S)*
shows *no-step cdcl_{NOT} (fst S)*
proof (*rule ccontr*)
assume $\neg ?thesis$
then obtain *T* **where** *T*: *cdcl_{NOT} (fst S) T*
by *blast*
then obtain *U* **where** *U*: *full ($\lambda S T. cdcl_{NOT} S T \wedge cdcl_{NOT}\text{-inv } S \wedge bound\text{-inv } A S$) T U*
using *wf-exists-normal-form-full[OF wf-cdcl_{NOT}, of A T]* **by** *auto*
moreover have *inv-T*: *cdcl_{NOT}-inv T*
using $\langle cdcl_{NOT} (fst S) T \rangle cdcl_{NOT}\text{-inv inv}$ **by** *blast*
moreover have *b-inv-T*: *bound-inv A T*
using $\langle cdcl_{NOT} (fst S) T \rangle binv bound\text{-inv inv}$ **by** *blast*
ultimately have *full cdcl_{NOT} T U*
using *rtrancpl-cdcl_{NOT}-with-inv-inv-rtrancpl-cdcl_{NOT} rtrancpl-cdcl_{NOT}-bound-inv*
rtrancpl-cdcl_{NOT}-cdcl_{NOT}-inv **unfolding** *full-def* **by** *blast*
then have *full1 cdcl_{NOT} (fst S) U*
using *T full-full1* **by** *metis*
then show *False* **by** (*metis n-s prod.collapse restart-full*)
qed
end

2.8 Merging backjump and learning

locale *cdcl_{NOT}-merge-bj-learn-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cl_s_{NOT} remove-cl_s_{NOT} +
decide-ops trail clauses prepend-trail tl-trail add-cl_s_{NOT} remove-cl_s_{NOT} +
forget-ops trail clauses prepend-trail tl-trail add-cl_s_{NOT} remove-cl_s_{NOT} forget-cond +
propagate-ops trail clauses prepend-trail tl-trail add-cl_s_{NOT} remove-cl_s_{NOT} propagate-conds
for
trail :: *'st* \Rightarrow (*'v*, *unit*, *unit*) *ann-literals* **and**
clauses :: *'st* \Rightarrow *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *ann-literal* \Rightarrow *'st* \Rightarrow *'st* **and**
tl-trail :: *'st* \Rightarrow *'st* **and**
add-cl_s_{NOT} remove-cl_s_{NOT} :: *'v clause* \Rightarrow *'st* \Rightarrow *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *ann-literal* \Rightarrow *'st* \Rightarrow *bool* **and**
forget-cond :: *'v clause* \Rightarrow *'st* \Rightarrow *bool* +
fixes *backjump-l-cond* :: *'v clause* \Rightarrow *'v clause* \Rightarrow *'v literal* \Rightarrow *'st* \Rightarrow *bool*
begin
inductive *backjump-l* **where**
backjump-l: *trail S = F' @ Decided K () # F*
 \Rightarrow *no-dup (trail S)*
 \Rightarrow *T* \sim *prepend-trail (Propagated L ()) (reduce-trail-to_{NOT} F (add-cl_s_{NOT} (C' + {#L#}) S))*
 \Rightarrow *C* \in *# clauses S*
 \Rightarrow *trail S* \models_{as} *CNot C*
 \Rightarrow *undefined-lit F L*
 \Rightarrow *atm-of L* \in *atms-of-msu (clauses S) \cup atm-of ' (lits-of (trail S))*
 \Rightarrow *clauses S* \models_{pm} *C' + {#L#}*
 \Rightarrow *F* \models_{as} *CNot C'*
 \Rightarrow *backjump-l-cond C C' L T*
 \Rightarrow *backjump-l S T*
inductive-cases *backjump-lE*: *backjump-l S T*
inductive *cdcl_{NOT}-merged-bj-learn* :: *'st* \Rightarrow *'st* \Rightarrow *bool* **for** *S* :: *'st* **where**

$cdcl_{NOT}\text{-merged-bj-learn-decide}_{NOT}: decide_{NOT} S S' \Rightarrow cdcl_{NOT}\text{-merged-bj-learn} S S' \mid$
 $cdcl_{NOT}\text{-merged-bj-learn-propagate}_{NOT}: propagate_{NOT} S S' \Rightarrow cdcl_{NOT}\text{-merged-bj-learn} S S' \mid$
 $cdcl_{NOT}\text{-merged-bj-learn-backjump-l}: backjump-l S S' \Rightarrow cdcl_{NOT}\text{-merged-bj-learn} S S' \mid$
 $cdcl_{NOT}\text{-merged-bj-learn-forget}_{NOT}: forget_{NOT} S S' \Rightarrow cdcl_{NOT}\text{-merged-bj-learn} S S'$

lemma $cdcl_{NOT}\text{-merged-bj-learn-no-dup-inv}$:

$cdcl_{NOT}\text{-merged-bj-learn} S T \Rightarrow no\text{-dup} (trail S) \Rightarrow no\text{-dup} (trail T)$
apply (induction rule: $cdcl_{NOT}\text{-merged-bj-learn.induct}$)
using $defined\text{-lit-map}$ **apply** $fastforce$
using $defined\text{-lit-map}$ **apply** $fastforce$
apply (force simp: $defined\text{-lit-map elim!}: backjump-lE$)[]
using $forget_{NOT}.simps$ **apply** $auto[1]$
done
end

locale $cdcl_{NOT}\text{-merge-bj-learn-proxy} =$

$cdcl_{NOT}\text{-merge-bj-learn-ops}$ $trail$ $clauses$ $prepend\text{-trail}$ $tl\text{-trail}$ $add\text{-cls}_{NOT}$ $remove\text{-cls}_{NOT}$
 $propagate\text{-conds}$ $forget\text{-conds}$ $\lambda C C' L' S. backjump-l\text{-cond } C C' L' S$
 $\wedge distinct\text{-mset } (C' + \{\#L'\# \}) \wedge \neg tautology (C' + \{\#L'\# \})$

for

$trail :: 'st \Rightarrow ('v, unit, unit) \text{ ann-literals}$ **and**
 $clauses :: 'st \Rightarrow 'v \text{ clauses}$ **and**
 $prepend\text{-trail} :: ('v, unit, unit) \text{ ann-literal} \Rightarrow 'st \Rightarrow 'st$ **and**
 $tl\text{-trail} :: 'st \Rightarrow 'st$ **and**
 $add\text{-cls}_{NOT} \text{ remove\text{-cls}_{NOT}} :: 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$ **and**
 $propagate\text{-conds} :: ('v, unit, unit) \text{ ann-literal} \Rightarrow 'st \Rightarrow bool$ **and**
 $forget\text{-conds} :: 'v \text{ clause} \Rightarrow 'st \Rightarrow bool$ **and**
 $backjump-l\text{-cond} :: 'v \text{ clause} \Rightarrow 'v \text{ clause} \Rightarrow 'v \text{ literal} \Rightarrow 'st \Rightarrow bool +$

fixes

$inv :: 'st \Rightarrow bool$

assumes

$bj\text{-merge-can-jump}$:

$\bigwedge S C F' K F L.$

$inv S$

$\Rightarrow trail S = F' @ Decided K () \# F$

$\Rightarrow C \in \# clauses S$

$\Rightarrow trail S \models_{as} CNot C$

$\Rightarrow undefined\text{-lit } F L$

$\Rightarrow atm\text{-of } L \in atm\text{-of-msu } (clauses S) \cup atm\text{-of } ' (lits\text{-of } (F' @ Decided K () \# F))$

$\Rightarrow clauses S \models_{pm} C' + \{\#L'\# \}$

$\Rightarrow F \models_{as} CNot C'$

$\Rightarrow \neg no\text{-step } backjump-l S$ **and**

$cdcl\text{-merged-inv}: \bigwedge S T. cdcl_{NOT}\text{-merged-bj-learn} S T \Rightarrow inv S \Rightarrow inv T$

begin

abbreviation $backjump\text{-conds}$ **where**

$backjump\text{-conds} \equiv \lambda\text{-} C L \text{ - } -. distinct\text{-mset } (C + \{\#L'\# \}) \wedge \neg tautology (C + \{\#L'\# \})$

sublocale $dpll\text{-with-backjumping-ops}$ $trail$ $clauses$ $prepend\text{-trail}$ $tl\text{-trail}$ $add\text{-cls}_{NOT}$ $remove\text{-cls}_{NOT}$

$propagate\text{-conds}$ inv $backjump\text{-conds}$

proof (unfold-locales, goal-cases)

case 1

{ **fix** $S S'$

assume bj : $backjump-l S S'$ **and** $no\text{-dup} (trail S)$

then obtain $F' K F L C' C$ **where**

$S': S' \sim prepend\text{-trail } (Propagated L ()) (reduce\text{-trail-to}_{NOT} F$

```

    (tl-trail(add-clNOT (C' + {#L#}) S)))
  and
  tr-S: trail S = F' @ Decided K () # F and
  C: C ∈ # clauses S and
  tr-S-C: trail S ⊨as CNot C and
  undef-L: undefined-lit F L and
  atm-L: atm-of L ∈ atms-of-msu (clauses S) ∪ atm-of ' lits-of (trail S) and
  cls-S-C': clauses S ⊨pm C' + {#L#} and
  F-C': F ⊨as CNot C' and
  dist: distinct-mset (C' + {#L#}) and
  not-tauto: ¬ tautology (C' + {#L#})
  by (elim backjump-lE) simp

have ∃ S'. backjumping-ops.backjump trail clauses prepend-trail tl-trail backjump-conds S S'
  apply rule
  apply (rule backjumping-ops.backjump.intros)
    apply unfold-locales
    using tr-S apply simp
    apply (rule state-eqNOT-ref)
    using C apply simp
    using tr-S-C apply simp
    using undef-L apply simp
    using atm-L apply simp
    using cls-S-C' apply simp
    using F-C' apply simp
    using dist not-tauto apply simp
  done
} note H = this(1)
then show ?case using 1 bj-merge-can-jump by meson
qed

end

locale cdclNOT-merge-bj-learn-proxy2 =
  cdclNOT-merge-bj-learn-proxy trail clauses prepend-trail tl-trail add-clNOT remove-clNOT
  propagate-conds forget-conds backjump-l-cond inv
for
  trail :: 'st ⇒ ('v, unit, unit) ann-literals and
  clauses :: 'st ⇒ 'v clauses and
  prepend-trail :: ('v, unit, unit) ann-literal ⇒ 'st ⇒ 'st and
  tl-trail :: 'st ⇒ 'st and
  add-clNOT remove-clNOT :: 'v clause ⇒ 'st ⇒ 'st and
  propagate-conds :: ('v, unit, unit) ann-literal ⇒ 'st ⇒ bool and
  inv :: 'st ⇒ bool and
  forget-conds :: 'v clause ⇒ 'st ⇒ bool and
  backjump-l-cond :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ bool
begin

sublocale conflict-driven-clause-learning-ops trail clauses prepend-trail tl-trail add-clNOT
  remove-clNOT propagate-conds inv backjump-conds λC -. distinct-mset C ∧ ¬ tautology C
  forget-conds
  by unfold-locales
end

locale cdclNOT-merge-bj-learn =

```

$cdcl_{NOT}$ -merge-bj-learn-proxy2 trail clauses prepend-trail tl-trail add-cl_{NOT} remove-cl_{NOT}
 propagate-conds inv forget-conds backjump-l-cond
for
 trail :: 'st \Rightarrow ('v, unit, unit) ann-literals **and**
 clauses :: 'st \Rightarrow 'v clauses **and**
 prepend-trail :: ('v, unit, unit) ann-literal \Rightarrow 'st \Rightarrow 'st **and**
 tl-trail :: 'st \Rightarrow 'st **and**
 add-cl_{NOT} remove-cl_{NOT} :: 'v clause \Rightarrow 'st \Rightarrow 'st **and**
 propagate-conds :: ('v, unit, unit) ann-literal \Rightarrow 'st \Rightarrow bool **and**
 inv :: 'st \Rightarrow bool **and**
 forget-conds :: 'v clause \Rightarrow 'st \Rightarrow bool **and**
 backjump-l-cond :: 'v clause \Rightarrow 'v clause \Rightarrow 'v literal \Rightarrow 'st \Rightarrow bool +
assumes
 dpll-bj-inv: $\bigwedge S T. \text{dpll-bj } S T \Longrightarrow \text{inv } S \Longrightarrow \text{inv } T$ **and**
 learn-inv: $\bigwedge S T. \text{learn } S T \Longrightarrow \text{inv } S \Longrightarrow \text{inv } T$
begin
interpretation $cdcl_{NOT}$:
 conflict-driven-clause-learning trail clauses prepend-trail tl-trail add-cl_{NOT} remove-cl_{NOT}
 propagate-conds inv backjump-conds $\lambda C. \text{distinct-mset } C \wedge \neg \text{tautology } C \text{ forget-conds}$
apply unfold-locales
apply (simp only: $cdcl_{NOT}.simps$)
using $cdcl_{NOT}$ -merged-bj-learn-forget_{NOT} $cdcl$ -merged-inv learn-inv
by (auto simp add: $cdcl_{NOT}.simps$ dpll-bj-inv)
lemma backjump-l-learn-backjump:
assumes bt: backjump-l S T **and** inv: inv S **and** n-d: no-dup (trail S)
shows $\exists C' L. \text{learn } S (\text{add-cl}_{NOT} (C' + \{\#L\# \}) S)$
 $\wedge \text{backjump} (\text{add-cl}_{NOT} (C' + \{\#L\# \}) S) T$
 $\wedge \text{atms-of } (C' + \{\#L\# \}) \subseteq \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' (lits-of (trail S))}$
proof –
obtain C F' K F L l C' **where**
 tr-S: trail S = F' @ Decided K () # F **and**
 T: T \sim prepend-trail (Propagated L l) (reduce-trail-to_{NOT} F (add-cl_{NOT} (C' + {#L#}) S)) **and**
 C-cl_S: C $\in \#$ clauses S **and**
 tr-S-CNot-C: trail S \models_{as} CNot C **and**
 undef: undefined-lit F L **and**
 atm-L: atm-of L \in atms-of-msu (clauses S) \cup atm-of ' (lits-of (trail S)) **and**
 clss-C: clauses S \models_{pm} C' + {#L#} **and**
 F \models_{as} CNot C' **and**
 distinct: distinct-mset (C' + {#L#}) **and**
 not-tauto: \neg tautology (C' + {#L#})
using bt inv **by** (elim backjump-lE) simp
have atms-C': atms-of C' \subseteq atm-of ' (lits-of F)
proof –
obtain ll :: 'v \Rightarrow ('v literal \Rightarrow 'v) \Rightarrow 'v literal set \Rightarrow 'v literal **where**
 $\forall v f L. v \notin f \text{ ' } L \vee v = f (ll v f L) \wedge ll v f L \in L$
by moura
then show ?thesis **unfolding** tr-S
by (metis (no-types) $\langle F \models_{as} \text{CNot } C' \rangle$ atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
 atms-of-def in-CNot-implies-uminus(2) mem-set-mset-iff subsetI)
qed
then have atms-of (C' + {#L#}) \subseteq atms-of-msu (clauses S) \cup atm-of ' (lits-of (trail S))
using atm-L tr-S **by** auto
moreover have learn: learn S (add-cl_{NOT} (C' + {#L#}) S)

```

  apply (rule learn.intros)
    apply (rule cls-C)
    using atms-C' atm-L apply (fastforce simp add: tr-S in-plus-implies-atm-of-on-atms-of-ms)[]
  apply standard
  apply (rule distinct)
  apply (rule not-tauto)
  apply simp
done
moreover have bj: backjump (add-clsNOT (C' + {#L#}) S) T
  apply (rule backjump.intros)
  using (F ⊨as CNot C') C-cls-S tr-S-CNot-C undef T distinct not-tauto n-d
  by (auto simp: tr-S state-eqNOT-def simp del: state-simpNOT)
ultimately show ?thesis by auto
qed

```

lemma *cdcl_{NOT}-merged-bj-learn-is-tranclp-cdcl_{NOT}:*
 $cdcl_{NOT}\text{-merged-bj-learn } S \ T \implies inv \ S \implies no\text{-dup } (trail \ S) \implies cdcl_{NOT}^{++} \ S \ T$

proof (*induction rule: cdcl_{NOT}-merged-bj-learn.induct*)
 case (cdcl_{NOT}-merged-bj-learn-decide_{NOT} T)
 then have cdcl_{NOT} S T
 using bj-decide_{NOT} cdcl_{NOT}.sims by fastforce
 then show ?case by auto
next
 case (cdcl_{NOT}-merged-bj-learn-propagate_{NOT} T)
 then have cdcl_{NOT} S T
 using bj-propagate_{NOT} cdcl_{NOT}.sims by fastforce
 then show ?case by auto
next
 case (cdcl_{NOT}-merged-bj-learn-forget_{NOT} T)
 then have cdcl_{NOT} S T
 using c-forget_{NOT} by blast
 then show ?case by auto
next
 case (cdcl_{NOT}-merged-bj-learn-backjump-l T) **note** bt = this(1) **and** inv = this(2) **and**
 n-d = this(3)
obtain C' :: 'v literal multiset **and** L :: 'v literal **where**
 f3: learn S (add-cls_{NOT} (C' + {#L#}) S) ∧
 backjump (add-cls_{NOT} (C' + {#L#}) S) T ∧
 atms-of (C' + {#L#}) ⊆ atms-of-msu (clauses S) ∪ atm-of ' lits-of (trail S)
 using n-d backjump-l-learn-backjump[OF bt inv] by blast
then have f4: cdcl_{NOT} S (add-cls_{NOT} (C' + {#L#}) S)
 using n-d c-learn by blast
have cdcl_{NOT} (add-cls_{NOT} (C' + {#L#}) S) T
 using f3 n-d bj-backjump c-dpll-bj by blast
then show ?case
 using f4 by (meson tranclp.r-into-trancl tranclp.trancl-into-trancl)
qed

lemma *rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}-and-inv:*
 $cdcl_{NOT}\text{-merged-bj-learn}^{**} \ S \ T \implies inv \ S \implies no\text{-dup } (trail \ S) \implies cdcl_{NOT}^{**} \ S \ T \wedge inv \ T$

proof (*induction rule: rtranclp-induct*)
 case base
 then show ?case by auto
next
 case (step T U) **note** st = this(1) **and** cdcl_{NOT} = this(2) **and** IH = this(3)[OF this(4-)] **and**

$inv = this(4)$ **and** $n-d = this(5)$
have $cdcl_{NOT}^{**} T U$
using $cdcl_{NOT}$ -merged-bj-learn-is-tranclp- $cdcl_{NOT}[OF\ cdcl_{NOT}] IH$
 $cdcl_{NOT}$.rtranclp- $cdcl_{NOT}$ -no-dup $inv\ n-d$ **by** *auto*
then have $cdcl_{NOT}^{**} S U$ **using** IH **by** *fastforce*
moreover have $inv\ U$ **using** $n-d\ IH\ \langle cdcl_{NOT}^{**} T\ U \rangle\ cdcl_{NOT}$.rtranclp- $cdcl_{NOT}$ - inv **by** *blast*
ultimately show *?case* **using** *st* **by** *fast*
qed

lemma $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$:
 $cdcl_{NOT}$ -merged-bj-learn $^{**} S\ T \implies inv\ S \implies no_dup\ (trail\ S) \implies cdcl_{NOT}^{**} S\ T$
using $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$ -and- inv **by** *blast*

lemma $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn- inv :
 $cdcl_{NOT}$ -merged-bj-learn $^{**} S\ T \implies inv\ S \implies no_dup\ (trail\ S) \implies inv\ T$
using $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$ -and- inv **by** *blast*

definition $\mu_C' :: 'v\ literal\ multiset\ set \Rightarrow 'st \Rightarrow nat$ **where**
 $\mu_C' A\ T \equiv \mu_C\ (1 + card\ (atms-of-ms\ A))\ (2 + card\ (atms-of-ms\ A))\ (trail-weight\ T)$

definition μ_{CDCL}' -merged $:: 'v\ literal\ multiset\ set \Rightarrow 'st \Rightarrow nat$ **where**
 μ_{CDCL}' -merged $A\ T \equiv$
 $((2 + card\ (atms-of-ms\ A)) \wedge (1 + card\ (atms-of-ms\ A)) - \mu_C' A\ T) * 2 + card\ (set-mset\ (clauses\ T))$

lemma $cdcl_{NOT}$ -decreasing-measure':
assumes
 $cdcl_{NOT}$ -merged-bj-learn $S\ T$ **and**
 $inv: inv\ S$ **and**
 $atm-clss: atms-of-msu\ (clauses\ S) \subseteq atms-of-ms\ A$ **and**
 $atm-trail: atm-of\ ' lits-of\ (trail\ S) \subseteq atms-of-ms\ A$ **and**
 $n-d: no_dup\ (trail\ S)$ **and**
 $fin-A: finite\ A$
shows μ_{CDCL}' -merged $A\ T < \mu_{CDCL}'$ -merged $A\ S$
using *assms(1)*

proof *induction*
case ($cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}$ T)
have $clauses\ S = clauses\ T$
using $cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}$.*hyps* **by** *auto*
moreover have
 $(2 + card\ (atms-of-ms\ A)) \wedge (1 + card\ (atms-of-ms\ A))$
 $- \mu_C\ (1 + card\ (atms-of-ms\ A))\ (2 + card\ (atms-of-ms\ A))\ (trail-weight\ T)$
 $< (2 + card\ (atms-of-ms\ A)) \wedge (1 + card\ (atms-of-ms\ A))$
 $- \mu_C\ (1 + card\ (atms-of-ms\ A))\ (2 + card\ (atms-of-ms\ A))\ (trail-weight\ S)$
apply (*rule dpll-bj-trail-mes-decreasing-prop*)
using $cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}$ $fin-A\ atm-clss\ atm-trail\ n-d\ inv$
by (*simp-all add: bj-decide $_{NOT}$ cdcl $_{NOT}$ -merged-bj-learn-decide $_{NOT}$.hyps*)
ultimately show *?case*
unfolding μ_{CDCL}' -merged-def μ_C' -def **by** *simp*

next
case ($cdcl_{NOT}$ -merged-bj-learn-propagate $_{NOT}$ T)
have $clauses\ S = clauses\ T$
using $cdcl_{NOT}$ -merged-bj-learn-propagate $_{NOT}$.*hyps*
by (*simp add: bj-propagate $_{NOT}$ inv dpll-bj-clauses*)
moreover have
 $(2 + card\ (atms-of-ms\ A)) \wedge (1 + card\ (atms-of-ms\ A))$

$\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T)$
 $< (2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S)$
apply (rule *dp11-bj-trail-mes-decreasing-prop*)
using *inv n-d atm-clss atm-trail fin-A* **by** (*simp-all add: bj-propagate_{NOT}*
cdcl_{NOT}-merged-bj-learn-propagate_{NOT}.hyps)
ultimately show ?case
unfolding $\mu_{CDCL}'\text{-merged-def } \mu_C'\text{-def}$ **by** *simp*
next
case (*cdcl_{NOT}-merged-bj-learn-forget_{NOT} T*)
have $\text{card} (\text{set-mset} (\text{clauses } T)) < \text{card} (\text{set-mset} (\text{clauses } S))$
using $\langle \text{forget}_{NOT} S T \rangle$ **by** (*metis card-Diff1-less*
cdcl_{NOT}-merged-bj-learn-forget_{NOT}.hyps clauses-remove-cls_{NOT} finite-set-mset forget_{NOT}E
mem-set-mset-iff order-refl set-mset-minus-replicate-mset(1) state-eq_{NOT}-clauses)
moreover
have *trail S = trail T*
using $\langle \text{forget}_{NOT} S T \rangle$ **by** (*auto elim: forget_{NOT}E*)
then have
 $(2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T)$
 $= (2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S)$
by *auto*
ultimately show ?case
unfolding $\mu_{CDCL}'\text{-merged-def } \mu_C'\text{-def}$ **by** *simp*
next
case (*cdcl_{NOT}-merged-bj-learn-backjump-l T*) **note** *bj-l = this(1)*
obtain *C' L* **where**
learn: learn S (add-cls_{NOT} (C' + {#L#}) S) and
bj: backjump (add-cls_{NOT} (C' + {#L#}) S) T and
atms-C: atms-of (C' + {#L#}) \subseteq atms-of-msu (clauses S) \cup atm-of ' (lits-of (trail S))
using *bj-l inv backjump-l-learn-backjump n-d atm-clss atm-trail* **by** *blast*
have *card-T-S: card (set-mset (clauses T)) \leq 1 + card (set-mset (clauses S))*
using *bj-l inv* **by** (*force elim!: backjump-lE simp: card-insert-if*)
have
 $((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T))$
 $< ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A))$
 $(\text{trail-weight} (\text{add-cls}_{NOT} (C' + \{ \#L\# \}) S)))$
apply (rule *dp11-bj-trail-mes-decreasing-prop*)
using *bj bj-backjump* **apply** *blast*
using *cdcl_{NOT}.c-learn cdcl_{NOT}.cdcl_{NOT}-inv inv learn* **apply** *blast*
using *atms-C atm-clss atm-trail n-d clauses-add-cls_{NOT}* **apply** *simp* **apply** *fast*
using *atm-trail n-d* **apply** *simp*
apply (*simp add: n-d*)
using *fin-A* **apply** *simp*
done
then have $((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T))$
 $< ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A))$
 $\mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S))$
using *n-d* **by** *auto*
then show ?case
using *card-T-S* **unfolding** $\mu_{CDCL}'\text{-merged-def } \mu_C'\text{-def}$ **by** *linarith*

qed

lemma *wf-cdcl_{NOT}-merged-bj-learn*:

assumes

fin-A: *finite A*

shows *wf* $\{(T, S).$

$(inv\ S \wedge atms-of-msu\ (clauses\ S) \subseteq atms-of-ms\ A \wedge atm-of\ 'lits-of\ (trail\ S) \subseteq atms-of-ms\ A$
 $\wedge no-dup\ (trail\ S))$
 $\wedge cdcl_{NOT}\text{-merged-bj-learn}\ S\ T\}$

apply (*rule* *wfP-if-measure*[*of* - - μ_{CDCL}' -merged *A*])

using *cdcl_{NOT}-decreasing-measure'* *fin-A* **by** *simp*

lemma *tranclp-cdcl_{NOT}-cdcl_{NOT}-tranclp*:

assumes

cdcl_{NOT}-merged-bj-learn⁺⁺ *S T* **and**

inv: *inv S* **and**

atm-clss: *atms-of-msu* (*clauses S*) \subseteq *atms-of-ms A* **and**

atm-trail: *atm-of* ' *lits-of* (*trail S*) \subseteq *atms-of-ms A* **and**

n-d: *no-dup* (*trail S*) **and**

fin-A[*simp*]: *finite A*

shows $(T, S) \in \{(T, S).$

$(inv\ S \wedge atms-of-msu\ (clauses\ S) \subseteq atms-of-ms\ A \wedge atm-of\ 'lits-of\ (trail\ S) \subseteq atms-of-ms\ A$
 $\wedge no-dup\ (trail\ S))$
 $\wedge cdcl_{NOT}\text{-merged-bj-learn}\ S\ T\}^+ \text{ (is - } \in ?P^+)$

using *assms*(1)

proof (*induction rule*: *tranclp-induct*)

case *base*

then show ?*case* **using** *n-d atm-clss atm-trail inv* **by** *auto*

next

case (*step T U*) **note** *st* = *this*(1) **and** *cdcl_{NOT}* = *this*(2) **and** *IH* = *this*(3)

have *cdcl_{NOT}*^{**} *S T*

apply (*rule* *rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}*)

using *st cdcl_{NOT} inv n-d atm-clss atm-trail inv* **by** *auto*

have *inv T*

apply (*rule* *rtranclp-cdcl_{NOT}-merged-bj-learn-inv*)

using *inv st cdcl_{NOT} n-d atm-clss atm-trail inv* **by** *auto*

moreover have *atms-of-msu* (*clauses T*) \subseteq *atms-of-ms A*

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-trail-clauses-bound*[*OF* $\langle cdcl_{NOT}^{**}\ S\ T \rangle$ *inv n-d atm-clss atm-trail*]
by *fast*

moreover have *atm-of* ' (*lits-of* (*trail T*)) \subseteq *atms-of-ms A*

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-trail-clauses-bound*[*OF* $\langle cdcl_{NOT}^{**}\ S\ T \rangle$ *inv n-d atm-clss atm-trail*]
by *fast*

moreover have *no-dup* (*trail T*)

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-no-dup*[*OF* $\langle cdcl_{NOT}^{**}\ S\ T \rangle$ *inv n-d*] **by** *fast*

ultimately have (*U, T*) $\in ?P$

using *cdcl_{NOT}* **by** *auto*

then show ?*case* **using** *IH* **by** (*simp add*: *trancl-into-trancl2*)

qed

lemma *wf-tranclp-cdcl_{NOT}-merged-bj-learn*:

assumes *finite A*

shows *wf* $\{(T, S).$

$(inv\ S \wedge atms-of-msu\ (clauses\ S) \subseteq atms-of-ms\ A \wedge atm-of\ 'lits-of\ (trail\ S) \subseteq atms-of-ms\ A$
 $\wedge no-dup\ (trail\ S))$
 $\wedge cdcl_{NOT}\text{-merged-bj-learn}^{++}\ S\ T\}$


```

apply (rule wf-subset)
apply (rule wf-trancl[OF wf-cdclNOT-merged-bj-learn])
using assms apply simp
using tranclp-cdclNOT-cdclNOT-tranclp[OF - - - -  $\langle \text{finite } A \rangle$ ] by auto

```

```

lemma backjump-no-step-backjump-l:
  backjump  $S$   $T \implies \text{inv } S \implies \neg \text{no-step backjump-l } S$ 
apply (elim backjumpE)
apply (rule bj-merge-can-jump)
  apply auto[7]
by blast

```

```

lemma cdclNOT-merged-bj-learn-final-state:
  fixes  $A :: \text{'v literal multiset set}$  and  $S$   $T :: \text{'st}$ 
assumes
     $n\text{-s}$ : no-step cdclNOT-merged-bj-learn  $S$  and
     $\text{atms-}S$ :  $\text{atms-of-msu } (\text{clauses } S) \subseteq \text{atms-of-ms } A$  and
     $\text{atms-trail}$ :  $\text{atm-of ' lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A$  and
     $n\text{-d}$ : no-dup (trail  $S$ ) and
     $\text{finite } A$  and
     $\text{inv}$ :  $\text{inv } S$  and
     $\text{decomp}$ : all-decomposition-implies- $m$  (clauses  $S$ ) (get-all-decided-decomposition (trail  $S$ ))
shows unsatisfiable (set-mset (clauses  $S$ ))
   $\vee (\text{trail } S \models_{\text{asm}} \text{clauses } S \wedge \text{satisfiable } (\text{set-mset } (\text{clauses } S)))$ 

```

```

proof -
  let ? $N$  = set-mset (clauses  $S$ )
  let ? $M$  = trail  $S$ 
consider
    (sat) satisfiable ? $N$  and ? $M \models_{\text{as}} ?N$ 
  | (sat') satisfiable ? $N$  and  $\neg ?M \models_{\text{as}} ?N$ 
  | (unsat) unsatisfiable ? $N$ 
by auto
then show ?thesis
proof cases
  case sat' note sat = this(1) and  $M = \text{this}(2)$ 
  obtain  $C$  where  $C \in ?N$  and  $\neg ?M \models_a C$  using  $M$  unfolding true-annots-def by auto
  obtain  $I :: \text{'v literal set}$  where
     $I \models_s ?N$  and
     $\text{cons}$ : consistent-interp  $I$  and
     $\text{tot}$ : total-over- $m$   $I$  ? $N$  and
     $\text{atm-}I\text{-}N$ :  $\text{atm-of ' } I \subseteq \text{atms-of-ms } ?N$ 
  using sat unfolding satisfiable-def-min by auto
  let ? $I = I \cup \{P \mid P. P \in \text{lits-of } ?M \wedge \text{atm-of } P \notin \text{atm-of ' } I\}$ 
  let ? $O = \{\{\# \text{lit-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } ?M \wedge \text{atm-of } (\text{lit-of } L) \notin \text{atms-of-ms } ?N\}$ 
  have  $\text{cons-}I'$ : consistent-interp ? $I$ 
    using cons using (no-dup ? $M$ ) unfolding consistent-interp-def
    by (auto simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def
      dest!: no-dup-cannot-not-lit-and-uminus)
  have  $\text{tot-}I'$ : total-over- $m$  ? $I$  ( $?N \cup \text{unmark } ?M$ )
    using tot  $\text{atms-of-s-def}$  unfolding total-over- $m\text{-def}$  total-over-set-def
    by fastforce
  have  $\{P \mid P. P \in \text{lits-of } ?M \wedge \text{atm-of } P \notin \text{atm-of ' } I\} \models_s ?O$ 
    using  $\langle I \models_s ?N \rangle$   $\text{atm-}I\text{-}N$  by (auto simp add: atm-of-eq-atm-of true-clss-def lits-of-def)
  then have  $I'\text{-}N$ : ? $I \models_s ?N \cup ?O$ 
    using  $\langle I \models_s ?N \rangle$  true-clss-union-increase by force

```

```

have tot': total-over-m ?I (?N ∪ ?O)
  using atm-I-N tot unfolding total-over-m-def total-over-set-def
  by (force simp: image-iff lits-of-def dest!: is-decided-ex-Decided)

have atms-N-M: atms-of-ms ?N ⊆ atm-of ' lits-of ?M
  proof (rule ccontr)
    assume ¬ ?thesis
    then obtain l :: 'v where
      l-N: l ∈ atms-of-ms ?N and
      l-M: l ∉ atm-of ' lits-of ?M
    by auto
    have undefined-lit ?M (Pos l)
      using l-M by (metis Decided-Propagated-in-iff-in-lits-of
        atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set literal.sel(1))
    have decideNOT S (prepend-trail (Decided (Pos l) ()) S)
      by (metis ⟨undefined-lit ?M (Pos l)⟩ decideNOT.intros l-N literal.sel(1)
        state-eqNOT-ref)
    then show False
      using cdclNOT-merged-bj-learn-decideNOT n-s by blast
  qed

have ?M ⊨as CNot C
  by (metis atms-N-M ⟨C ∈ ?N⟩ ⟨¬ ?M ⊨a C⟩ all-variables-defined-not-imply-cnot
    atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of atms-of-ms-CNot-atms-of-ms subsetCE)
have ∃ l ∈ set ?M. is-decided l
  proof (rule ccontr)
    let ?O = { {#lit-of L#} | L. is-decided L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N }
    have ∅[iff]: ∧ I. total-over-m I (?N ∪ ?O ∪ unmark ?M)
      ⟷ total-over-m I (?N ∪ unmark ?M)
    unfolding total-over-set-def total-over-m-def atms-of-ms-def by auto
    assume ¬ ?thesis
    then have [simp]: { {#lit-of L#} | L. is-decided L ∧ L ∈ set ?M }
      = { {#lit-of L#} | L. is-decided L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N }
    by auto
    then have ?N ∪ ?O ⊨ps unmark ?M
      using all-decomposition-implies-propagated-lits-are-implied[OF decomp] by auto

    then have ?I ⊨s unmark ?M
      using cons-I' I'-N tot-I' ⟨?I ⊨s ?N ∪ ?O⟩ unfolding ∅ true-clss-clss-def by blast
    then have lits-of ?M ⊆ ?I
      unfolding true-clss-def lits-of-def by auto
    then have ?M ⊨as ?N
      using I'-N ⟨C ∈ ?N⟩ ⟨¬ ?M ⊨a C⟩ cons-I' atms-N-M
      by (meson (trail S ⊨as CNot C) consistent-CNot-not rev-subsetD sup-ge1 true-annot-def
        true-annots-def true-clss-mono-set-mset-l true-clss-def)
    then show False using M by fast
  qed

from List.split-list-first-propE[OF this] obtain K :: 'v literal and d :: unit and
  F F' :: ('v, unit, unit) ann-literal list where
  M-K: ?M = F' @ Decided K () # F and
  nm: ∀ f ∈ set F'. ¬ is-decided f
  unfolding is-decided-def by (metis (full-types) old.unit.exhaust)
let ?K = Decided K () :: ('v, unit, unit) ann-literal
have ?K ∈ set ?M
  unfolding M-K by auto

```

```

let ?C = image-mset lit-of {#L ∈ #mset ?M. is-decided L ∧ L ≠ ?K#} :: 'v literal multiset
let ?C' = set-mset (image-mset (λL::'v literal. {#L#}) (?C + {#lit-of ?K#}))
have ?N ∪ {{#lit-of L#} | L. is-decided L ∧ L ∈ set ?M} ⊨ps unmark ?M
  using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
moreover have C': ?C' = {{#lit-of L#} | L. is-decided L ∧ L ∈ set ?M}
  unfolding M-K apply standard
  apply force
  using IntI by auto
ultimately have N-C-M: ?N ∪ ?C' ⊨ps unmark ?M
  by auto
have N-M-False: ?N ∪ (λL. {#lit-of L#}) ' (set ?M) ⊨ps {{#}}
  using M < ?M ⊨as CNot C < C ∈ ?N unfolding true-clss-clss-def true-annots-def Ball-def
  true-annot-def by (metis consistent-CNot-not sup.orderE sup-commute true-clss-def
    true-clss-singleton-lit-of-implies-incl true-clss-union true-clss-union-increase)

have undefined-lit F K using <no-dup ?M> unfolding M-K by (simp add: defined-lit-map)
moreover
  have ?N ∪ ?C' ⊨ps {{#}}
  proof -
    have A: ?N ∪ ?C' ∪ unmark ?M =
      ?N ∪ unmark ?M
    unfolding M-K by auto
    show ?thesis
      using true-clss-clss-left-right[OF N-C-M, of {{#}}] N-M-False unfolding A by auto
  qed
have ?N ⊨p image-mset uminus ?C + {#-K#}
  unfolding true-clss-clss-def true-clss-clss-def total-over-m-def
  proof (intro allI impI)
    fix I
    assume
      tot: total-over-set I (atms-of-ms (?N ∪ {image-mset uminus ?C + {#-K#}})) and
      cons: consistent-interp I and
      I ⊨s ?N
    have (K ∈ I ∧ -K ∉ I) ∨ (-K ∈ I ∧ K ∉ I)
      using cons tot unfolding consistent-interp-def by (cases K) auto
    have tot': total-over-set I
      (atm-of ' lit-of ' (set ?M ∩ {L. is-decided L ∧ L ≠ Decided K ()}))
      using tot by (auto simp add: atms-of-uminus-lit-atm-of-lit-of)
    { fix x :: ('v, unit, unit) ann-literal
      assume
        a3: lit-of x ∉ I and
        a1: x ∈ set ?M and
        a4: is-decided x and
        a5: x ≠ Decided K ()
      then have Pos (atm-of (lit-of x)) ∈ I ∨ Neg (atm-of (lit-of x)) ∈ I
        using a5 a4 tot' a1 unfolding total-over-set-def atms-of-s-def by blast
      moreover have f6: Neg (atm-of (lit-of x)) = - Pos (atm-of (lit-of x))
        by simp
      ultimately have - lit-of x ∈ I
        using f6 a3 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
          literal.sel(1))
    } note H = this

  have ¬I ⊨s ?C'
    using <?N ∪ ?C' ⊨ps {{#}}> tot cons <I ⊨s ?N>

```

```

    unfolding true-clss-clss-def total-over-m-def
    by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
  then show  $I \models \text{image-mset } \text{uminus } ?C + \{\# - K \#\}$ 
    unfolding true-clss-def true-cl-def Bex-mset-def
    using  $\langle (K \in I \wedge -K \notin I) \vee (-K \in I \wedge K \notin I) \rangle$ 
    by (auto dest!: H)
  qed
  moreover have  $F \models_{as} CNot (\text{image-mset } \text{uminus } ?C)$ 
    using nm unfolding true-annots-def CNot-def M-K by (auto simp add: lits-of-def)
  ultimately have False
    using bj-merge-can-jump[of S F' K F C -K
      image-mset uminus (image-mset lit-of  $\{\# L : \# \text{ mset } ?M. \text{is-decided } L \wedge L \neq \text{Decided } K ()\#\}$ )
       $\langle C \in ?N \rangle n-s \langle ?M \models_{as} CNot C \rangle$  bj-backjump inv unfolding M-K
      by (auto simp: cdclNOT-merged-bj-learn.simps)
    then show ?thesis by fast
  qed auto
qed

```

lemma *full-cdcl_{NOT}-merged-bj-learn-final-state:*

fixes $A :: 'v \text{ literal multiset set}$ **and** $S \ T :: 'st$

assumes

full: *full-cdcl_{NOT}-merged-bj-learn* $S \ T$ **and**

atms-S: *atms-of-msu* (*clauses* S) \subseteq *atms-of-ms* A **and**

atms-trail: *atm-of* ' *lits-of* (*trail* S) \subseteq *atms-of-ms* A **and**

n-d: *no-dup* (*trail* S) **and**

finite A **and**

inv: *inv* S **and**

decomp: *all-decomposition-implies-m* (*clauses* S) (*get-all-decided-decomposition* (*trail* S))

shows *unsatisfiable* (*set-mset* (*clauses* T))

$\vee (\text{trail } T \models_{asm} \text{clauses } T \wedge \text{satisfiable } (\text{set-mset } (\text{clauses } T)))$

proof –

have *st:* *cdcl_{NOT}-merged-bj-learn*^{**} $S \ T$ **and** *n-s:* *no-step-cdcl_{NOT}-merged-bj-learn* T

using *full* **unfolding** *full-def* **by** *blast+*

then have *st:* *cdcl_{NOT}*^{**} $S \ T$

using *inv* *rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}-and-inv* *n-d* **by** *auto*

have *atms-of-msu* (*clauses* T) \subseteq *atms-of-ms* A **and** *atm-of* ' *lits-of* (*trail* T) \subseteq *atms-of-ms* A

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-trail-clauses-bound*[*OF* *st* *inv* *n-d* *atms-S* *atms-trail*] **by** *blast+*

moreover have *no-dup* (*trail* T)

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-no-dup* *inv* *n-d* *st* **by** *blast*

moreover have *inv* T

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-inv* *inv* *st* **by** *blast*

moreover have *all-decomposition-implies-m* (*clauses* T) (*get-all-decided-decomposition* (*trail* T))

using *cdcl_{NOT}.rtranclp-cdcl_{NOT}-all-decomposition-implies* *inv* *st* *decomp* *n-d* **by** *blast*

ultimately show ?thesis

using *cdcl_{NOT}-merged-bj-learn-final-state*[*of* $T \ A$] (*finite* A) *n-s* **by** *fast*

qed

end

2.8.1 Instantiations

locale *cdcl_{NOT}-with-backtrack-and-restarts* =

conflict-driven-clause-learning-learning-before-backjump-only-distinct-learned *trail* *clauses*

prepend-trail *tl-trail* *add-cls_{NOT}* *remove-cls_{NOT}* *propagate-conds* *inv* *backjump-conds*

learn-restrictions *forget-restrictions*

for

```

trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
clauses :: 'st  $\Rightarrow$  'v clauses and
prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
tl-trail :: 'st  $\Rightarrow$  'st and
add-clNOT remove-clNOT :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
propagate-conds :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  bool and
inv :: 'st  $\Rightarrow$  bool and
backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
learn-restrictions forget-restrictions :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  bool
+
fixes f :: nat  $\Rightarrow$  nat
assumes
  unbounded: unbounded f and f-ge-1:  $\bigwedge n. n \geq 1 \Rightarrow f\ n \geq 1$  and
  inv-restart:  $\bigwedge S\ T. inv\ S \Rightarrow T \sim reduce\_trail\_to_{NOT} ([::'a\ list)\ S \Rightarrow inv\ T$ 
begin

lemma bound-inv-inv:
assumes
  inv S and
  n-d: no-dup (trail S) and
  atms-clss-S-A: atms-of-msu (clauses S)  $\subseteq$  atms-of-ms A and
  atms-trail-S-A: atm-of ' lits-of (trail S)  $\subseteq$  atms-of-ms A and
  finite A and
  cdclNOT: cdclNOT S T
shows
  atms-of-msu (clauses T)  $\subseteq$  atms-of-ms A and
  atm-of ' lits-of (trail T)  $\subseteq$  atms-of-ms A and
  finite A
proof –
  have cdclNOT S T
    using ⟨inv S⟩ cdclNOT by linarith
  then have atms-of-msu (clauses T)  $\subseteq$  atms-of-msu (clauses S)  $\cup$  atm-of ' lits-of (trail S)
    using ⟨inv S⟩
    by (meson conflict-driven-clause-learning-ops.cdclNOT-atms-of-ms-clauses-decreasing
      conflict-driven-clause-learning-ops-axioms n-d)
  then show atms-of-msu (clauses T)  $\subseteq$  atms-of-ms A
    using atms-clss-S-A atms-trail-S-A by blast
next
  show atm-of ' lits-of (trail T)  $\subseteq$  atms-of-ms A
    by (meson ⟨inv S⟩ atms-clss-S-A atms-trail-S-A cdclNOT cdclNOT-atms-in-trail-in-set n-d)
next
  show finite A
    using ⟨finite A⟩ by simp
qed

sublocale cdclNOT-increasing-restarts-ops  $\lambda S\ T. T \sim reduce\_trail\_to_{NOT} ([::'a\ list)\ S\ cdcl_{NOT}\ f$ 
 $\lambda A\ S. atms\_of\_msu\ (clauses\ S) \subseteq atms\_of\_ms\ A \wedge atm\_of\ ' \ lits\_of\ (trail\ S) \subseteq atms\_of\_ms\ A \wedge$ 
finite A
 $\mu_{CDCL}'\ \lambda S. inv\ S \wedge no\_dup\ (trail\ S)$ 
 $\mu_{CDCL}'$ -bound
apply unfold-locales
  apply (simp add: unbounded)
  using f-ge-1 apply force
  using bound-inv-inv apply meson
  apply (rule cdclNOT-decreasing-measure'; simp)

```

```

    apply (rule rtrancpl-cdclNOT-μCDCL'-bound; simp)
    apply (rule rtrancpl-μCDCL'-bound-decreasing; simp)
    apply auto[]
    apply auto[]
    using cdclNOT-inv cdclNOT-no-dup apply blast
    using inv-restart apply auto[]
done

```

abbreviation *cdcl_{NOT}-l* **where**

```

cdclNOT-l ≡
  conflict-driven-clause-learning-ops.cdclNOT trail clauses prepend-trail tl-trail add-clsNOT
  remove-clsNOT propagate-conds (λ- - - S T. backjump S T)
  (λC S. distinct-mset C ∧ ¬ tautology C ∧ learn-restrictions C S
    ∧ (∃ F K F' C' L. trail S = F' @ Decided K () # F ∧ C = C' + {#L#}
      ∧ F ⊨as CNot C' ∧ C' + {#L#} ∉ # clauses S))
  (λC S. ¬ (∃ F' F K L. trail S = F' @ Decided K () # F ∧ F ⊨as CNot (C - {#L#}))
    ∧ forget-restrictions C S)

```

lemma *cdcl_{NOT}-with-restart-μ_{CDCL}'-le-μ_{CDCL}'-bound:*

```

assumes
  cdclNOT: cdclNOT-restart (T, a) (V, b) and
  cdclNOT-inv:
    inv T
    no-dup (trail T) and
  bound-inv:
    atms-of-msu (clauses T) ⊆ atms-of-ms A
    atm-of ' lits-of (trail T) ⊆ atms-of-ms A
    finite A

```

shows μ_{CDCL}' A V ≤ μ_{CDCL}'-bound A T

using cdcl_{NOT}-inv bound-inv

proof (induction rule: cdcl_{NOT}-with-restart-induct[OF cdcl_{NOT}])

case (1 m S T n U) **note** U = this(3)

show ?case

```

  apply (rule rtrancpl-cdclNOT-μCDCL'-bound-reduce-trail-toNOT[of S T])
    using ⟨(cdclNOT ~ m) S T⟩ apply (fastforce dest!: relpowp-imp-rtrancpl)
    using 1 by auto

```

next

case (2 S T n) **note** full = this(2)

show ?case

```

  apply (rule rtrancpl-cdclNOT-μCDCL'-bound)
  using full 2 unfolding full1-def by force+

```

qed

lemma *cdcl_{NOT}-with-restart-μ_{CDCL}'-bound-le-μ_{CDCL}'-bound:*

```

assumes
  cdclNOT: cdclNOT-restart (T, a) (V, b) and
  cdclNOT-inv:
    inv T
    no-dup (trail T) and
  bound-inv:
    atms-of-msu (clauses T) ⊆ atms-of-ms A
    atm-of ' lits-of (trail T) ⊆ atms-of-ms A
    finite A

```

shows μ_{CDCL}'-bound A V ≤ μ_{CDCL}'-bound A T

using cdcl_{NOT}-inv bound-inv

proof (*induction rule: cdcl_{NOT}-with-restart-induct*[*OF cdcl_{NOT}*])
case (*1 m S T n U*) **note** *U = this(3)*
have $\mu_{CDCL}'\text{-bound } A \ T \leq \mu_{CDCL}'\text{-bound } A \ S$
apply (*rule rtrancpl- $\mu_{CDCL}'\text{-bound-decreasing}$*)
using $\langle (cdcl_{NOT} \rightsquigarrow m) \ S \ T \rangle$ **apply** (*fastforce dest: relpowp-imp-rtrancpl*)
using *1* **by** *auto*
then show ?*case* **using** *U* **unfolding** $\mu_{CDCL}'\text{-bound-def}$ **by** *auto*
next
case (*2 S T n*) **note** *full = this(2)*
show ?*case*
apply (*rule rtrancpl- $\mu_{CDCL}'\text{-bound-decreasing}$*)
using *full 2* **unfolding** *full1-def* **by** *force+*
qed

sublocale *cdcl_{NOT}-increasing-restarts - - - - - f*
 $\lambda S \ T. \ T \sim \text{reduce-trail-to}_{NOT} (\ [] :: 'a \text{ list}) \ S$
 $\lambda A \ S. \ \text{atms-of-msu} (\text{clauses } S) \subseteq \text{atms-of-ms } A$
 $\wedge \text{atm-of ' lits-of } (\text{trail } S) \subseteq \text{atms-of-ms } A \wedge \text{finite } A$
 $\mu_{CDCL}' \text{ cdcl}_{NOT}$
 $\lambda S. \ \text{inv } S \wedge \text{no-dup } (\text{trail } S)$
 $\mu_{CDCL}'\text{-bound}$
apply *unfold-locales*
using *cdcl_{NOT}-with-restart- $\mu_{CDCL}'\text{-le-}\mu_{CDCL}'\text{-bound}$* **apply** *simp*
using *cdcl_{NOT}-with-restart- $\mu_{CDCL}'\text{-bound-le-}\mu_{CDCL}'\text{-bound}$* **apply** *simp*
done

lemma *cdcl_{NOT}-restart-all-decomposition-implies:*
assumes *cdcl_{NOT}-restart S T and*
inv (fst S) and
no-dup (trail (fst S))
all-decomposition-implies-m (clauses (fst S)) (get-all-decided-decomposition (trail (fst S)))
shows
all-decomposition-implies-m (clauses (fst T)) (get-all-decided-decomposition (trail (fst T)))
using *assms* **apply** (*induction*)
using *rtrancpl-cdcl_{NOT}-all-decomposition-implies* **by** (*auto dest!: trancpl-into-rtrancpl*
simp: full1-def)

lemma *rtrancpl-cdcl_{NOT}-restart-all-decomposition-implies:*
assumes *cdcl_{NOT}-restart** S T and*
inv: inv (fst S) and
n-d: no-dup (trail (fst S)) and
decomp:
all-decomposition-implies-m (clauses (fst S)) (get-all-decided-decomposition (trail (fst S)))
shows
all-decomposition-implies-m (clauses (fst T)) (get-all-decided-decomposition (trail (fst T)))
using *assms(1)*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show ?*case* **using** *decomp* **by** *simp*
next
case (*step T u*) **note** *st = this(1) and r = this(2) and IH = this(3)*
have *inv (fst T)*
using *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv*[*OF st*] *inv n-d* **by** *blast*
moreover have *no-dup (trail (fst T))*
using *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv*[*OF st*] *inv n-d* **by** *blast*

ultimately show ?case
using *cdcl_{NOT}-restart-all-decomposition-implies r IH n-d* **by** *fast*
qed

lemma *cdcl_{NOT}-restart-sat-ext-iff*:

assumes

st: *cdcl_{NOT}-restart S T* **and**
n-d: *no-dup (trail (fst S))* **and**
inv: *inv (fst S)*

shows $I \models_{\text{sextm}} \text{clauses } (fst S) \longleftrightarrow I \models_{\text{sextm}} \text{clauses}(fst T)$

using *assms*

proof (*induction*)

case (*restart-step m S T n U*)

then show ?case

using *rtrancpl-cdcl_{NOT}-bj-sat-ext-iff n-d* **by** (*fastforce dest!: relpowp-imp-rtrancpl*)

next

case *restart-full*

then show ?case **using** *rtrancpl-cdcl_{NOT}-bj-sat-ext-iff* **unfolding** *full1-def*

by (*fastforce dest!: trancpl-into-rtrancpl*)

qed

lemma *rtrancpl-cdcl_{NOT}-restart-sat-ext-iff*:

assumes

st: *cdcl_{NOT}-restart** S T* **and**
n-d: *no-dup (trail (fst S))* **and**
inv: *inv (fst S)*

shows $I \models_{\text{sextm}} \text{clauses } (fst S) \longleftrightarrow I \models_{\text{sextm}} \text{clauses}(fst T)$

using *st*

proof (*induction*)

case *base*

then show ?case **by** *simp*

next

case (*step T U*) **note** *st = this(1)* **and** *r = this(2)* **and** *IH = this(3)*

have *inv (fst T)*

using *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv[OF st]* *inv n-d* **by** *blast+*

moreover have *no-dup (trail (fst T))*

using *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv* *rtrancpl-cdcl_{NOT}-no-dup st inv n-d* **by** *blast*

ultimately show ?case

using *cdcl_{NOT}-restart-sat-ext-iff[OF r]* *IH* **by** *blast*

qed

theorem *full-cdcl_{NOT}-restart-backjump-final-state*:

fixes *A :: 'v literal multiset set* **and** *S T :: 'st*

assumes

full: *full cdcl_{NOT}-restart (S, n) (T, m)* **and**
atms-S: *atms-of-msu (clauses S) \subseteq atms-of-ms A* **and**
atms-trail: *atm-of ' lits-of (trail S) \subseteq atms-of-ms A* **and**
n-d: *no-dup (trail S)* **and**
fin-A[simp]: *finite A* **and**
inv: *inv S* **and**

decomp: *all-decomposition-implies-m (clauses S) (get-all-decided-decomposition (trail S))*

shows *unsatisfiable (set-mset (clauses S))*

\vee (*lits-of (trail T) \models_{sextm} clauses S \wedge satisfiable (set-mset (clauses S))*)

proof –

have *st*: *cdcl_{NOT}-restart** (S, n) (T, m)* **and**


```

  n-s: no-step cdclNOT-restart (T, m)
  using full unfolding full-def by fast+
have binv-T: atms-of-msu (clauses T)  $\subseteq$  atms-of-ms A atm-of ' lits-of (trail T)  $\subseteq$  atms-of-ms A
  using rtrancpl-cdclNOT-with-restart-bound-inv[OF st, of A] inv n-d atms-S atms-trail
  by auto
moreover have inv-T: no-dup (trail T) inv T
  using rtrancpl-cdclNOT-with-restart-cdclNOT-inv[OF st] inv n-d by auto
moreover have all-decomposition-implies-m (clauses T) (get-all-decided-decomposition (trail T))
  using rtrancpl-cdclNOT-restart-all-decomposition-implies[OF st] inv n-d
  decomp by auto
ultimately have T: unsatisfiable (set-mset (clauses T))
   $\vee$  (trail T  $\models_{asm}$  clauses T  $\wedge$  satisfiable (set-mset (clauses T)))
  using no-step-cdclNOT-restart-no-step-cdclNOT[of (T, m) A] n-s
  cdclNOT-final-state[of T A] unfolding cdclNOT-NOT-all-inv-def by auto
have eq-sat-S-T:  $\bigwedge I. I \models_{sextm} \text{clauses } S \longleftrightarrow I \models_{sextm} \text{clauses } T$ 
  using rtrancpl-cdclNOT-restart-sat-ext-iff[OF st] inv n-d atms-S
  atms-trail by auto
have cons-T: consistent-interp (lits-of (trail T))
  using inv-T(1) distinctconsistent-interp by blast
consider
  (unsat) unsatisfiable (set-mset (clauses T))
| (sat) trail T  $\models_{asm}$  clauses T and satisfiable (set-mset (clauses T))
  using T by blast
then show ?thesis
proof cases
  case unsat
  then have unsatisfiable (set-mset (clauses S))
    using eq-sat-S-T consistent-true-clss-ext-satisfiable true-clss-imp-true-clss-ext
    unfolding satisfiable-def by blast
  then show ?thesis by fast
next
  case sat
  then have lits-of (trail T)  $\models_{sextm}$  clauses S
    using rtrancpl-cdclNOT-restart-sat-ext-iff[OF st] inv n-d atms-S
    atms-trail by (auto simp: true-clss-imp-true-clss-ext true-annots-true-clss)
  moreover then have satisfiable (set-mset (clauses S))
    using cons-T consistent-true-clss-ext-satisfiable by blast
  ultimately show ?thesis by blast
qed
qed
end — end of cdclNOT-with-backtrack-and-restarts locale

```

```

locale most-general-cdclNOT =
  dpll-state trail clauses prepend-trail tl-trail add-clNOT remove-clNOT +
  propagate-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT propagate-conds +
  backjumping-ops trail clauses prepend-trail tl-trail add-clNOT remove-clNOT  $\lambda$  - - - . True
for
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) ann-literals and
  clauses :: 'st  $\Rightarrow$  'v clauses and
  prepend-trail :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clNOT remove-clNOT :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  propagate-conds :: ('v, unit, unit) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  bool and
  inv :: 'st  $\Rightarrow$  bool
begin

```

lemma *backjump-bj-can-jump*:

assumes

tr-S: $\text{trail } S = F' @ \text{Decided } K () \# F$ **and**

C: $C \in \# \text{ clauses } S$ **and**

tr-S-C: $\text{trail } S \models_{as} C \text{Not } C$ **and**

undef: *undefined-lit* $F L$ **and**

atm-L: $\text{atm-of } L \in \text{atms-of-msu } (\text{clauses } S) \cup \text{atm-of ' (lits-of } (F' @ \text{Decided } K () \# F))$ **and**

cls-S-C': $\text{clauses } S \models_{pm} C' + \{\#L\# \}$ **and**

F-C': $F \models_{as} C \text{Not } C'$

shows $\neg \text{no-step backjump } S$

using *backjump.intros*[*OF* *tr-S* - *C tr-S-C undef - cls-S-C' F-C'*,

of *prepend-trail* (*Propagated L -*) (*reduce-trail-to_{NOT}* *F S*)] *atm-L* **unfolding** *tr-S*

by (*auto simp: state-eq_{NOT}-def simp del: state-simp_{NOT}*)

sublocale *dpll-with-backjumping-ops* - - - - - *inv* $\lambda \cdot$ - - - - . *True*

using *backjump-bj-can-jump* **by** *unfold-locales auto*

end

The restart does only reset the trail, contrary to Weidenbach's version. But there is a forget rule.

locale *cdcl_{NOT}-merge-bj-learn-with-backtrack-restarts* =

cdcl_{NOT}-merge-bj-learn *trail clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT}*

propagate-conds inv forget-conds

$\lambda C C' L' S. \text{distinct-mset } (C' + \{\#L'\#\}) \wedge \text{backjump-l-cond } C C' L' S$

for

trail :: $'st \Rightarrow ('v, \text{unit}, \text{unit}) \text{ ann-literals}$ **and**

clauses :: $'st \Rightarrow 'v \text{ clauses}$ **and**

prepend-trail :: $('v, \text{unit}, \text{unit}) \text{ ann-literal} \Rightarrow 'st \Rightarrow 'st$ **and**

tl-trail :: $'st \Rightarrow 'st$ **and**

add-cls_{NOT} remove-cls_{NOT} :: $'v \text{ clause} \Rightarrow 'st \Rightarrow 'st$ **and**

propagate-conds :: $('v, \text{unit}, \text{unit}) \text{ ann-literal} \Rightarrow 'st \Rightarrow \text{bool}$ **and**

inv :: $'st \Rightarrow \text{bool}$ **and**

forget-conds :: $'v \text{ clause} \Rightarrow 'st \Rightarrow \text{bool}$ **and**

backjump-l-cond :: $'v \text{ clause} \Rightarrow 'v \text{ clause} \Rightarrow 'v \text{ literal} \Rightarrow 'st \Rightarrow \text{bool}$

+

fixes *f* :: $\text{nat} \Rightarrow \text{nat}$

assumes

unbounded: *unbounded f* **and** *f-ge-1*: $\bigwedge n. n \geq 1 \Rightarrow f n \geq 1$ **and**

inv-restart: $\bigwedge S T. \text{inv } S \Rightarrow T \sim \text{reduce-trail-to}_{NOT} [] S \Rightarrow \text{inv } T$

begin

interpretation *cdcl_{NOT}*:

conflict-driven-clause-learning-ops *trail clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT}*

propagate-conds inv backjump-conds ($\lambda C \cdot \text{distinct-mset } C \wedge \neg \text{tautology } C$) *forget-conds*

by *unfold-locales*

interpretation *cdcl_{NOT}*:

conflict-driven-clause-learning *trail clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT}*

propagate-conds inv backjump-conds ($\lambda C \cdot \text{distinct-mset } C \wedge \neg \text{tautology } C$) *forget-conds*

apply *unfold-locales*

using *cdcl_{NOT}-merged-bj-learn-forget_{NOT} cdcl-merged-inv learn-inv*

by (*auto simp add: cdcl_{NOT}.simps dpll-bj-inv*)

definition *not-simplified-cl* $A = \{\#C \in \# A. \text{tautology } C \vee \neg \text{distinct-mset } C\# \}$

lemma *simple-clss-or-not-simplified-cl*:

assumes *atms-of-msu* (*clauses* S) \subseteq *atms-of-ms* A **and**

$x \in \# \text{clauses } S$ **and** *finite* A

shows $x \in \text{simple-clss} (\text{atms-of-ms } A) \vee x \in \# \text{not-simplified-cl} (\text{clauses } S)$

proof –

consider

(*simpl*) $\neg \text{tautology } x$ **and** *distinct-mset* x

| (*n-simp*) *tautology* $x \vee \neg \text{distinct-mset } x$

by *auto*

then show ?thesis

proof *cases*

case *simpl*

then have $x \in \text{simple-clss} (\text{atms-of-ms } A)$

by (*meson* *assms* *atms-of-atms-of-ms-mono* *atms-of-ms-finite* *simple-clss-mono*
distinct-mset-not-tautology-implies-in-simple-clss *finite-subset*
mem-set-mset-iff subsetCE)

then show ?thesis **by** *blast*

next

case *n-simp*

then have $x \in \# \text{not-simplified-cl} (\text{clauses } S)$

using $\langle x \in \# \text{clauses } S \rangle$ **unfolding** *not-simplified-cl-def* **by** *auto*

then show ?thesis **by** *blast*

qed

qed

lemma *cdcl_{NOT}-merged-bj-learn-clauses-bound*:

assumes

cdcl_{NOT}-merged-bj-learn S T **and**

inv: *inv* S **and**

atms-clss: *atms-of-msu* (*clauses* S) \subseteq *atms-of-ms* A **and**

atms-trail: *atm-of* (*lits-of* (*trail* S)) \subseteq *atms-of-ms* A **and**

n-d: *no-dup* (*trail* S) **and**

fin-A[*simpl*]: *finite* A

shows *set-mset* (*clauses* T) \subseteq *set-mset* (*not-simplified-cl* (*clauses* S))

\cup *simple-clss* (*atms-of-ms* A)

using *assms*

proof (*induction rule*: *cdcl_{NOT}-merged-bj-learn.induct*)

case *cdcl_{NOT}-merged-bj-learn-decide_{NOT}*

then show ?case **using** *dpll-bj-clauses* **by** (*force* *dest*!: *simple-clss-or-not-simplified-cl*)

next

case *cdcl_{NOT}-merged-bj-learn-propagate_{NOT}*

then show ?case **using** *dpll-bj-clauses* **by** (*force* *dest*!: *simple-clss-or-not-simplified-cl*)

next

case *cdcl_{NOT}-merged-bj-learn-forget_{NOT}*

then show ?case **using** *clauses-remove-cl_{NOT}* **unfolding** *state-eq_{NOT}-def*

by (*force* *elim*!: *forget_{NOT}E* *dest*: *simple-clss-or-not-simplified-cl*)

next

case (*cdcl_{NOT}-merged-bj-learn-backjump-l* T) **note** *bj* = *this*(1) **and** *inv* = *this*(2) **and**
atms-clss = *this*(3) **and** *atms-trail* = *this*(4) **and** *n-d* = *this*(5)

have *cdcl_{NOT}*** S T

apply (*rule* *rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}*)

using $\langle \text{backjump-l } S \ T \rangle$ *inv* *cdcl_{NOT}-merged-bj-learn.simps* *n-d* **by** *blast+*

have $\text{atm-of } \langle \text{lits-of } (\text{trail } T) \rangle \subseteq \text{atms-of-ms } A$
using $\text{cdcl}_{NOT}.\text{rtranclp-cdcl}_{NOT}\text{-trail-clauses-bound}[OF \langle \text{cdcl}_{NOT}^{**} S T \rangle \text{ inv atms-trail atms-clss } n\text{-d}]$ **by** *auto*
have $\text{atms-of-msu } (\text{clauses } T) \subseteq \text{atms-of-ms } A$
using $\text{cdcl}_{NOT}.\text{rtranclp-cdcl}_{NOT}\text{-trail-clauses-bound}[OF \langle \text{cdcl}_{NOT}^{**} S T \rangle \text{ inv } n\text{-d atms-clss atms-trail}]$ **by** *fast*
moreover have $\text{no-dup } (\text{trail } T)$
using $\text{cdcl}_{NOT}.\text{rtranclp-cdcl}_{NOT}\text{-no-dup}[OF \langle \text{cdcl}_{NOT}^{**} S T \rangle \text{ inv } n\text{-d}]$ **by** *fast*

obtain $F' K F L l C' C$ **where**
 $\text{tr-S: trail } S = F' @ \text{Decided } K () \# F$ **and**
 $T: T \sim \text{prepend-trail } (\text{Propagated } L l) (\text{reduce-trail-to}_{NOT} F (\text{add-cl}_{NOT} (C' + \{\#L\# \}) S))$ **and**
 $C \in \# \text{ clauses } S$ **and**
 $\text{trail } S \models_{as} C \text{Not } C$ **and**
 $\text{undef: undefined-lit } F L$ **and**
 $\text{atm-of } L = \text{atm-of } K \vee \text{atm-of } L \in \text{atms-of-msu } (\text{clauses } S)$
 $\vee \text{atm-of } L \in \text{atm-of } \langle \text{lits-of } F' \cup \text{lits-of } F \rangle$ **and**
 $\text{clauses } S \models_{pm} C' + \{\#L\# \}$ **and**
 $F \models_{as} C \text{Not } C'$ **and**
 $\text{dist: distinct-mset } (C' + \{\#L\# \})$ **and**
 $\text{tauto: } \neg \text{tautology } (C' + \{\#L\# \})$ **and**
 $\text{backjump-l-cond } C C' L T$
using $\langle \text{backjump-l } S T \rangle$ **apply** (*induction rule: backjump-l.induct*) **by** *auto*

have $\text{atms-of } C' \subseteq \text{atm-of } \langle \text{lits-of } F \rangle$
using $\langle F \models_{as} C \text{Not } C' \rangle$ **by** (*simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set atms-of-def image-subset-iff in-CNot-implies-uminus(2)*)
then have $\text{atms-of } (C' + \{\#L\# \}) \subseteq \text{atms-of-ms } A$
using $T \langle \text{atm-of } \langle \text{lits-of } (\text{trail } T) \rangle \subseteq \text{atms-of-ms } A \rangle \text{ tr-S undef } n\text{-d}$ **by** *auto*
then have $\text{simple-clss } (\text{atms-of } (C' + \{\#L\# \})) \subseteq \text{simple-clss } (\text{atms-of-ms } A)$
apply – **by** (*rule simple-clss-mono*) (*simp-all*)
then have $C' + \{\#L\# \} \in \text{simple-clss } (\text{atms-of-ms } A)$
using $\text{distinct-mset-not-tautology-implies-in-simple-clss}[OF \text{ dist tauto}]$
by *auto*
then show *?case*
using $T \text{ inv atms-clss undef tr-S } n\text{-d}$
by (*force dest!: simple-clss-or-not-simplified-cls*)
qed

lemma $\text{cdcl}_{NOT}\text{-merged-bj-learn-not-simplified-decreasing:}$
assumes $\text{cdcl}_{NOT}\text{-merged-bj-learn } S T$
shows $(\text{not-simplified-cls } (\text{clauses } T)) \subseteq \# (\text{not-simplified-cls } (\text{clauses } S))$
using *assms* **apply** *induction*
prefer 4
unfolding $\text{not-simplified-cls-def}$ **apply** (*auto elim!: backjump-lE forget_{NOT}E*)[3]
by (*elim backjump-lE*) *auto*

lemma $\text{rtranclp-cdcl}_{NOT}\text{-merged-bj-learn-not-simplified-decreasing:}$
assumes $\text{cdcl}_{NOT}\text{-merged-bj-learn}^{**} S T$
shows $(\text{not-simplified-cls } (\text{clauses } T)) \subseteq \# (\text{not-simplified-cls } (\text{clauses } S))$
using *assms* **apply** *induction*
apply *simp*
by (*drule cdcl_{NOT}-merged-bj-learn-not-simplified-decreasing*) *auto*

lemma $\text{rtranclp-cdcl}_{NOT}\text{-merged-bj-learn-clauses-bound:}$

assumes
 $cdcl_{NOT}$ -merged-bj-learn** S T **and**
 inv S **and**
 $atms$ -of- msu ($clauses$ S) \subseteq $atms$ -of- ms A **and**
 atm -of ‘($lits$ -of ($trail$ S)) \subseteq $atms$ -of- ms A **and**
 n - d : no -dup ($trail$ S) **and**
 $finite[simp]$: $finite$ A
shows set - $mset$ ($clauses$ T) \subseteq set - $mset$ (not -simplified- cls ($clauses$ S))
 \cup $simple$ - $clss$ ($atms$ -of- ms A)
using $assms(1-5)$
proof *induction*
case *base*
then show ?*case* **by** (*auto dest!*: $simple$ - $clss$ -or- not -simplified- cls)
next
case (*step* T U) **note** $st = this(1)$ **and** $cdcl_{NOT} = this(2)$ **and** $IH = this(3)[OF\ this(4-7)]$ **and**
 $inv = this(4)$ **and** $atms$ - $clss$ - $S = this(5)$ **and** $atms$ - $trail$ - $S = this(6)$ **and** $finite$ - cls - $S = this(7)$
have st' : $cdcl_{NOT}$ ** S T
using inv $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$ -and- inv st n - d **by** *blast*
have inv T
using inv $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn- inv st n - d **by** *blast*
moreover
have $atms$ -of- msu ($clauses$ T) \subseteq $atms$ -of- ms A **and**
 atm -of ‘ $lits$ -of ($trail$ T) \subseteq $atms$ -of- ms A
using $cdcl_{NOT}$. $rtranclp$ - $cdcl_{NOT}$ -trail- $clauses$ -bound[$OF\ st'$] inv $atms$ - $clss$ - S $atms$ - $trail$ - S n - d
by *blast+*
moreover moreover have no -dup ($trail$ T)
using $cdcl_{NOT}$. $rtranclp$ - $cdcl_{NOT}$ -no-dup[$OF\ \langle cdcl_{NOT}$ ** $S\ T \rangle\ inv\ n$ - d] **by** *fast*
ultimately have set - $mset$ ($clauses$ U)
 \subseteq set - $mset$ (not -simplified- cls ($clauses$ T)) \cup $simple$ - $clss$ ($atms$ -of- ms A)
using $cdcl_{NOT}$ $finite$ $cdcl_{NOT}$ -merged-bj-learn- $clauses$ -bound
by (*auto intro!*: $cdcl_{NOT}$ -merged-bj-learn- $clauses$ -bound)
moreover have set - $mset$ (not -simplified- cls ($clauses$ T))
 \subseteq set - $mset$ (not -simplified- cls ($clauses$ S))
using $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-not-simplified-decreasing[$OF\ st$] **by** *auto*
ultimately show ?*case* **using** IH inv $atms$ - $clss$ - S
by (*auto dest!*: $simple$ - $clss$ -or- not -simplified- cls)
qed

abbreviation μ_{CDCL}' -bound **where**
 μ_{CDCL}' -bound $A\ T == ((2 + card\ (atms$ -of- $ms\ A)) \wedge (1 + card\ (atms$ -of- $ms\ A))) * 2$
 $+ card\ (set$ - $mset\ (not$ -simplified- cls ($clauses\ T$)))

lemma $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn- $clauses$ -bound-card:

assumes
 $cdcl_{NOT}$ -merged-bj-learn** S T **and**
 inv S **and**
 $atms$ -of- msu ($clauses$ S) \subseteq $atms$ -of- ms A **and**
 atm -of ‘($lits$ -of ($trail$ S)) \subseteq $atms$ -of- ms A **and**
 n - d : no -dup ($trail$ S) **and**
 $finite$: $finite$ A
shows μ_{CDCL}' -merged $A\ T \leq \mu_{CDCL}'$ -bound $A\ S$
proof –
have set - $mset$ ($clauses$ T) \subseteq set - $mset$ (not -simplified- cls ($clauses$ S))
 \cup $simple$ - $clss$ ($atms$ -of- ms A)

using $rtrancp\text{-}cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\text{-clauses}\text{-bound}[OF\ assms]$.
moreover have $card\ (set\text{-}mset\ (not\text{-simplified}\text{-}cls(clauses\ S))$
 $\cup\ simple\text{-}clss\ (atms\text{-of}\text{-}ms\ A))$
 $\leq card\ (set\text{-}mset\ (not\text{-simplified}\text{-}cls(clauses\ S))) + 3 \wedge card\ (atms\text{-of}\text{-}ms\ A)$
by ($meson\ Nat.le\text{-}trans\ atms\text{-of}\text{-}ms\text{-}finite\ simple\text{-}clss\text{-}card\ card\text{-}Un\text{-}le\ finite$
 $nat\text{-}add\text{-}left\text{-}cancel\text{-}le$)
ultimately have $card\ (set\text{-}mset\ (clauses\ T))$
 $\leq card\ (set\text{-}mset\ (not\text{-simplified}\text{-}cls(clauses\ S))) + 3 \wedge card\ (atms\text{-of}\text{-}ms\ A)$
by ($meson\ Nat.le\text{-}trans\ atms\text{-of}\text{-}ms\text{-}finite\ simple\text{-}clss\text{-}finite\ card\text{-}mono$
 $finite\text{-}UnI\ finite\text{-}set\text{-}mset\ local.finite$)
moreover have $((2 + card\ (atms\text{-of}\text{-}ms\ A)) \wedge (1 + card\ (atms\text{-of}\text{-}ms\ A)) - \mu_C' A\ T) * 2$
 $\leq (2 + card\ (atms\text{-of}\text{-}ms\ A)) \wedge (1 + card\ (atms\text{-of}\text{-}ms\ A)) * 2$
by *auto*
ultimately show *?thesis unfolding* $\mu_{CDCL}'\text{-merged}\text{-def}$ **by** *auto*
qed

sublocale $cdcl_{NOT}\text{-increasing}\text{-restarts}\text{-ops}\ \lambda S\ T.\ T \sim reduce\text{-trail}\text{-to}_{NOT}\ (\llbracket :: 'a\ list \rrbracket)\ S$
 $cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\ f$
 $\lambda A\ S.\ atms\text{-of}\text{-}msu\ (clauses\ S) \subseteq atms\text{-of}\text{-}ms\ A$
 $\wedge atm\text{-of}\ ' lits\text{-of}\ (trail\ S) \subseteq atms\text{-of}\text{-}ms\ A \wedge finite\ A$
 $\mu_{CDCL}'\text{-merged}$
 $\lambda S.\ inv\ S \wedge no\text{-}dup\ (trail\ S)$
 $\mu_{CDCL}'\text{-bound}$
apply *unfold-locales*
using *unbounded apply simp*
using *f-ge-1 apply force*
apply ($blast\ dest!:\ cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\text{-is}\text{-}trancp\text{-}cdcl_{NOT}\ trancp\text{-}into\text{-}rtrancp$
 $cdcl_{NOT}.rtrancp\text{-}cdcl_{NOT}\text{-trail}\text{-clauses}\text{-bound}$)
apply ($simp\ add:\ cdcl_{NOT}\text{-decreasing}\text{-measure}'$)
using $rtrancp\text{-}cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\text{-clauses}\text{-bound}\text{-card}$ **apply** *blast*
apply ($drule\ rtrancp\text{-}cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\text{-not}\text{-simplified}\text{-decreasing}$)
apply ($auto\ dest!:\ simp:\ card\text{-}mono\ set\text{-}mset\text{-}mono$)
apply *simp*
apply *auto*
using $cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\text{-no}\text{-}dup\text{-}inv\ cdcl\text{-merged}\text{-inv}$ **apply** *blast*
apply ($auto\ simp:\ inv\text{-}restart$)
done

lemma $cdcl_{NOT}\text{-restart}\text{-}\mu_{CDCL}'\text{-merged}\text{-le}\text{-}\mu_{CDCL}'\text{-bound}:$

assumes
 $cdcl_{NOT}\text{-restart}\ T\ V$
 $inv\ (fst\ T)$ **and**
 $no\text{-}dup\ (trail\ (fst\ T))$ **and**
 $atms\text{-of}\text{-}msu\ (clauses\ (fst\ T)) \subseteq atms\text{-of}\text{-}ms\ A$ **and**
 $atm\text{-of}\ ' lits\text{-of}\ (trail\ (fst\ T)) \subseteq atms\text{-of}\text{-}ms\ A$ **and**
 $finite\ A$
shows $\mu_{CDCL}'\text{-merged}\ A\ (fst\ V) \leq \mu_{CDCL}'\text{-bound}\ A\ (fst\ T)$
using *assms*
proof *induction*
case ($restart\text{-full}\ S\ T\ n$)
show *?case*
unfolding *fst-conv*
apply ($rule\ rtrancp\text{-}cdcl_{NOT}\text{-merged}\text{-bj}\text{-learn}\text{-clauses}\text{-bound}\text{-card}$)
using $restart\text{-full}$ **unfolding** *full1-def* **by** ($force\ dest!:\ trancp\text{-}into\text{-}rtrancp$)
next

case (*restart-step* m S T n U) **note** $st = \text{this}(1)$ **and** $U = \text{this}(3)$ **and** $inv = \text{this}(4)$ **and**
 $n-d = \text{this}(5)$ **and** $atms-clss = \text{this}(6)$ **and** $atms-trail = \text{this}(7)$ **and** $finite = \text{this}(8)$
then have st' : $cdcl_{NOT}$ -merged-bj-learn** S T
by (*blast dest: relpowp-imp-rtrancp*)
then have st'' : $cdcl_{NOT}$ ** S T
using inv $n-d$ **apply** – **by** (*rule rtrancp-cdcl_{NOT}-merged-bj-learn-is-rtrancp-cdcl_{NOT}*) *auto*
have inv T
apply (*rule rtrancp-cdcl_{NOT}-merged-bj-learn-inv*)
using inv st' $n-d$ **by** *auto*
then have inv U
using U **by** (*auto simp: inv-restart*)
have $atms-of-msu$ ($clauses$ T) \subseteq $atms-of-ms$ A
using $cdcl_{NOT}$.*rtrancp-cdcl_{NOT}-trail-clauses-bound*[*OF st'*] inv $atms-clss$ $atms-trail$ $n-d$
by *simp*
then have $atms-of-msu$ ($clauses$ U) \subseteq $atms-of-ms$ A
using U **by** *simp*
have $not-simplified-cls$ ($clauses$ U) $\subseteq \#$ $not-simplified-cls$ ($clauses$ T)
using $\langle U \sim \text{reduce-trail-to}_{NOT} \sqcap T \rangle$ **by** *auto*
moreover have $not-simplified-cls$ ($clauses$ T) $\subseteq \#$ $not-simplified-cls$ ($clauses$ S)
apply (*rule rtrancp-cdcl_{NOT}-merged-bj-learn-not-simplified-decreasing*)
using $\langle (cdcl_{NOT}\text{-merged-bj-learn} \widetilde{\sim} m) S T \rangle$ **by** (*auto dest!: relpowp-imp-rtrancp*)
ultimately have U - S : $not-simplified-cls$ ($clauses$ U) $\subseteq \#$ $not-simplified-cls$ ($clauses$ S)
by *auto*

have ($set-mset$ ($clauses$ U))
 \subseteq $set-mset$ ($not-simplified-cls$ ($clauses$ U)) \cup $simple-clss$ ($atms-of-ms$ A)
apply (*rule rtrancp-cdcl_{NOT}-merged-bj-learn-clauses-bound*)
apply *simp*
using $\langle inv$ $U \rangle$ **apply** *simp*
using $\langle atms-of-msu$ ($clauses$ U) \subseteq $atms-of-ms$ $A \rangle$ **apply** *simp*
using U **apply** *simp*
using U **apply** *simp*
using $finite$ **apply** *simp*
done

then have $f1$: $card$ ($set-mset$ ($clauses$ U)) \leq $card$ ($set-mset$ ($not-simplified-cls$ ($clauses$ U))
 \cup $simple-clss$ ($atms-of-ms$ A))
by (*simp add: simple-clss-finite card-mono local.finite*)

moreover have $set-mset$ ($not-simplified-cls$ ($clauses$ U)) \cup $simple-clss$ ($atms-of-ms$ A)
 \subseteq $set-mset$ ($not-simplified-cls$ ($clauses$ S)) \cup $simple-clss$ ($atms-of-ms$ A)
using U - S **by** *auto*

then have $f2$:
 $card$ ($set-mset$ ($not-simplified-cls$ ($clauses$ U)) \cup $simple-clss$ ($atms-of-ms$ A))
 \leq $card$ ($set-mset$ ($not-simplified-cls$ ($clauses$ S)) \cup $simple-clss$ ($atms-of-ms$ A))
by (*simp add: simple-clss-finite card-mono local.finite*)

moreover have $card$ ($set-mset$ ($not-simplified-cls$ ($clauses$ S))
 \cup $simple-clss$ ($atms-of-ms$ A))
 \leq $card$ ($set-mset$ ($not-simplified-cls$ ($clauses$ S))) + $card$ ($simple-clss$ ($atms-of-ms$ A))
using $card$ - Un - le **by** *blast*

moreover have $card$ ($simple-clss$ ($atms-of-ms$ A)) \leq $3 \wedge card$ ($atms-of-ms$ A)
using $atms-of-ms$ - $finite$ $simple-clss$ - $card$ $local.finite$ **by** *blast*

ultimately have $card$ ($set-mset$ ($clauses$ U))
 \leq $card$ ($set-mset$ ($not-simplified-cls$ ($clauses$ S))) + $3 \wedge card$ ($atms-of-ms$ A)
by *linarith*

then show ?case **unfolding** μ_{CDCL}' -merged-def **by** auto
qed

lemma $cdcl_{NOT}$ -restart- μ_{CDCL}' -bound-le- μ_{CDCL}' -bound:

assumes

$cdcl_{NOT}$ -restart T V **and**

no_dup (trail (fst T)) **and**

inv (fst T) **and**

fin : finite A

shows μ_{CDCL}' -bound A (fst V) \leq μ_{CDCL}' -bound A (fst T)

using $assms(1-3)$

proof induction

case (restart-full S T n)

have $not_simplified_cls$ (clauses T) $\subseteq \#$ $not_simplified_cls$ (clauses S)

apply (rule $rtrancpl$ - $cdcl_{NOT}$ -merged-bj-learn-not-simplified-decreasing)

using $\langle full1\ cdcl_{NOT}$ -merged-bj-learn S $T \rangle$ **unfolding** $full1$ -def

by (auto dest: $trancpl$ -into- $rtrancpl$)

then show ?case **by** (auto simp: card-mono set-mset-mono)

next

case (restart-step m S T n U) **note** $st = this(1)$ **and** $U = this(3)$ **and** $n-d = this(4)$ **and** $inv = this(5)$

then have st' : $cdcl_{NOT}$ -merged-bj-learn** S T

by (blast dest: $relpowp$ -imp- $rtrancpl$)

then have st'' : $cdcl_{NOT}$ ** S T

using inv $n-d$ **apply** – **by** (rule $rtrancpl$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtrancpl$ - $cdcl_{NOT}$) auto

have inv T

apply (rule $rtrancpl$ - $cdcl_{NOT}$ -merged-bj-learn- inv)

using inv st' $n-d$ **by** auto

then have inv U

using U **by** (auto simp: inv -restart)

have $not_simplified_cls$ (clauses U) $\subseteq \#$ $not_simplified_cls$ (clauses T)

using $\langle U \sim reduce_trail_to_{NOT} \sqcup T \rangle$ **by** auto

moreover have $not_simplified_cls$ (clauses T) $\subseteq \#$ $not_simplified_cls$ (clauses S)

apply (rule $rtrancpl$ - $cdcl_{NOT}$ -merged-bj-learn-not-simplified-decreasing)

using $\langle (cdcl_{NOT}$ -merged-bj-learn $\widetilde{\sim} m$) S $T \rangle$ **by** (auto dest!: $relpowp$ -imp- $rtrancpl$)

ultimately have U - S : $not_simplified_cls$ (clauses U) $\subseteq \#$ $not_simplified_cls$ (clauses S)

by auto

then show ?case **by** (auto simp: card-mono set-mset-mono)

qed

sublocale $cdcl_{NOT}$ -increasing-restarts - - - - f λS T . $T \sim reduce_trail_to_{NOT}$ ($\llbracket :: 'a$ list) S

λA S . $atms_of_msu$ (clauses S) \subseteq $atms_of_ms$ A

\wedge atm_of ' lits-of (trail S) \subseteq $atms_of_ms$ A \wedge finite A

μ_{CDCL}' -merged $cdcl_{NOT}$ -merged-bj-learn

λS . inv S \wedge no_dup (trail S)

λA T . $((2 + card (atms_of_ms A)) \wedge (1 + card (atms_of_ms A))) * 2$

$+ card (set_mset (not_simplified_cls (clauses T)))$

$+ 3 \wedge card (atms_of_ms A)$

apply $unfold_locales$

using $cdcl_{NOT}$ -restart- μ_{CDCL}' -merged-le- μ_{CDCL}' -bound **apply** force

using $cdcl_{NOT}$ -restart- μ_{CDCL}' -bound-le- μ_{CDCL}' -bound **by** fastforce

lemma $cdcl_{NOT}$ -restart-eq-sat-iff:

assumes


```

    cdclNOT-restart  $S$   $T$  and
    no-dup (trail (fst  $S$ ))
    inv (fst  $S$ )
  shows  $I \models_{\text{sextm}} \text{clauses } (\text{fst } S) \longleftrightarrow I \models_{\text{sextm}} \text{clauses } (\text{fst } T)$ 
  using assms
proof (induction rule: cdclNOT-restart.induct)
  case (restart-full  $S$   $T$   $n$ )
  then have cdclNOT-merged-bj-learn**  $S$   $T$ 
    by (simp add: tranclp-into-rtranclp full1-def)
  then show ?case
    using cdclNOT.rtranclp-cdclNOT-bj-sat-ext-iff restart-full.prem1,2
    rtranclp-cdclNOT-merged-bj-learn-is-rtranclp-cdclNOT by auto
next
  case (restart-step  $m$   $S$   $T$   $n$   $U$ )
  then have cdclNOT-merged-bj-learn**  $S$   $T$ 
    by (auto simp: tranclp-into-rtranclp full1-def dest!: relpowp-imp-rtranclp)
  then have  $I \models_{\text{sextm}} \text{clauses } S \longleftrightarrow I \models_{\text{sextm}} \text{clauses } T$ 
    using cdclNOT.rtranclp-cdclNOT-bj-sat-ext-iff restart-step.prem1,2
    rtranclp-cdclNOT-merged-bj-learn-is-rtranclp-cdclNOT by auto
  moreover have  $I \models_{\text{sextm}} \text{clauses } T \longleftrightarrow I \models_{\text{sextm}} \text{clauses } U$ 
    using restart-step.hyps(3) by auto
  ultimately show ?case by auto
qed

lemma rtranclp-cdclNOT-restart-eq-sat-iff:
  assumes
    cdclNOT-restart**  $S$   $T$  and
    inv: inv (fst  $S$ ) and n-d: no-dup(trail (fst  $S$ ))
  shows  $I \models_{\text{sextm}} \text{clauses } (\text{fst } S) \longleftrightarrow I \models_{\text{sextm}} \text{clauses } (\text{fst } T)$ 
  using assms(1)
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by simp
next
  case (step  $T$   $U$ ) note st = this(1) and cdcl = this(2) and IH = this(3)
  have inv (fst  $T$ ) and no-dup (trail (fst  $T$ ))
    using rtranclp-cdclNOT-with-restart-cdclNOT-inv using st inv n-d by blast+
  then have  $I \models_{\text{sextm}} \text{clauses } (\text{fst } T) \longleftrightarrow I \models_{\text{sextm}} \text{clauses } (\text{fst } U)$ 
    using cdclNOT-restart-eq-sat-iff cdcl by blast
  then show ?case using IH by blast
qed

lemma cdclNOT-restart-all-decomposition-implies-m:
  assumes
    cdclNOT-restart  $S$   $T$  and
    inv: inv (fst  $S$ ) and n-d: no-dup(trail (fst  $S$ )) and
    all-decomposition-implies-m (clauses (fst  $S$ ))
    (get-all-decided-decomposition (trail (fst  $S$ )))
  shows all-decomposition-implies-m (clauses (fst  $T$ ))
    (get-all-decided-decomposition (trail (fst  $T$ )))
  using assms
proof (induction)
  case (restart-full  $S$   $T$   $n$ ) note full = this(1) and inv = this(2) and n-d = this(3) and
    decomp = this(4)
  have st: cdclNOT-merged-bj-learn**  $S$   $T$  and

```

n-s: no-step cdcl_{NOT}-merged-bj-learn T
using *full unfolding full1-def* **by** (*fast dest: trancpl-into-rtrancpl*) +
have *st': cdcl_{NOT}** S T*
using *inv rtrancpl-cdcl_{NOT}-merged-bj-learn-is-rtrancpl-cdcl_{NOT}-and-inv st n-d* **by** *auto*
have *inv T*
using *rtrancpl-cdcl_{NOT}-cdcl_{NOT}-inv[OF st]* *inv n-d* **by** *auto*
then show *?case*
using *cdcl_{NOT}.rtrancpl-cdcl_{NOT}-all-decomposition-implies[OF - - n-d decomp]* *st' inv* **by** *auto*
next
case (*restart-step m S T n U*) **note** *st = this(1)* **and** *U = this(3)* **and** *inv = this(4)* **and**
n-d = this(5) **and** *decomp = this(6)*
show *?case* **using** *U* **by** *auto*
qed

lemma *rtrancpl-cdcl_{NOT}-restart-all-decomposition-implies-m:*

assumes
*cdcl_{NOT}-restart** S T* **and**
inv: inv (fst S) **and** *n-d: no-dup(trail (fst S))* **and**
decomp: all-decomposition-implies-m (clauses (fst S))
(get-all-decided-decomposition (trail (fst S)))
shows *all-decomposition-implies-m (clauses (fst T))*
(get-all-decided-decomposition (trail (fst T)))
using *assms*
proof (*induction*)
case *base*
then show *?case* **using** *decomp* **by** *simp*
next
case (*step T U*) **note** *st = this(1)* **and** *cdcl = this(2)* **and** *IH = this(3)[OF this(4-)]* **and**
inv = this(4) **and** *n-d = this(5)* **and** *decomp = this(6)*
have *inv (fst T)* **and** *no-dup (trail (fst T))*
using *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv* **using** *st inv n-d* **by** *blast+*
then show *?case*
using *cdcl_{NOT}-restart-all-decomposition-implies-m[OF cdcl]* *IH* **by** *auto*
qed

lemma *full-cdcl_{NOT}-restart-normal-form:*

assumes
full: full cdcl_{NOT}-restart S T **and**
inv: inv (fst S) **and** *n-d: no-dup(trail (fst S))* **and**
decomp: all-decomposition-implies-m (clauses (fst S))
(get-all-decided-decomposition (trail (fst S))) **and**
atms-cls: atms-of-msu (clauses (fst S)) ⊆ atms-of-ms A **and**
atms-trail: atm-of ' lits-of (trail (fst S)) ⊆ atms-of-ms A **and**
fin: finite A
shows *unsatisfiable (set-mset (clauses (fst S)))*
∨ lits-of (trail (fst T)) ⊨ sextm clauses (fst S) ∧ satisfiable (set-mset (clauses (fst S)))
proof –
have *inv-T: inv (fst T)* **and** *n-d-T: no-dup (trail (fst T))*
using *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv* **using** *full inv n-d unfolding full-def* **by** *blast+*
moreover have
atms-cls-T: atms-of-msu (clauses (fst T)) ⊆ atms-of-ms A **and**
atms-trail-T: atm-of ' lits-of (trail (fst T)) ⊆ atms-of-ms A
using *rtrancpl-cdcl_{NOT}-with-restart-bound-inv[of S T A]* *full atms-cls atms-trail fin inv n-d*
unfolding *full-def* **by** *blast+*
ultimately have *no-step cdcl_{NOT}-merged-bj-learn (fst T)*

```

apply –
apply (rule no-step-cdclNOT-restart-no-step-cdclNOT[of - A])
  using full unfolding full-def apply simp
  apply simp
using fin apply simp
done
moreover have all-decomposition-implies-m (clauses (fst T))
  (get-all-decided-decomposition (trail (fst T)))
  using rtrancpl-cdclNOT-restart-all-decomposition-implies-m[of S T] inv n-d decomp
  full unfolding full-def by auto
ultimately have unsatisfiable (set-mset (clauses (fst T)))
   $\vee$  trail (fst T)  $\models_{asm}$  clauses (fst T)  $\wedge$  satisfiable (set-mset (clauses (fst T)))
  apply –
  apply (rule cdclNOT-merged-bj-learn-final-state)
  using atms-cls-T atms-trail-T fin n-d-T fin inv-T by blast+
then consider
  (unsat) unsatisfiable (set-mset (clauses (fst T)))
  | (sat) trail (fst T)  $\models_{asm}$  clauses (fst T) and satisfiable (set-mset (clauses (fst T)))
  by auto
then show unsatisfiable (set-mset (clauses (fst S)))
   $\vee$  lits-of (trail (fst T))  $\models_{sextm}$  clauses (fst S)  $\wedge$  satisfiable (set-mset (clauses (fst S)))
proof cases
  case unsat
  then have unsatisfiable (set-mset (clauses (fst S)))
    unfolding satisfiable-def apply auto
    using rtrancpl-cdclNOT-restart-eq-sat-iff[of S T] full inv n-d
    consistent-true-clss-ext-satisfiable true-clss-imp-true-clss-ext
    unfolding satisfiable-def full-def by blast
    then show ?thesis by blast
  next
  case sat
  then have lits-of (trail (fst T))  $\models_{sextm}$  clauses (fst T)
    using true-clss-imp-true-clss-ext by (auto simp: true-annots-true-clss)
  then have lits-of (trail (fst T))  $\models_{sextm}$  clauses (fst S)
    using rtrancpl-cdclNOT-restart-eq-sat-iff[of S T] full inv n-d unfolding full-def by blast
  moreover then have satisfiable (set-mset (clauses (fst S)))
    using consistent-true-clss-ext-satisfiable distinctconsistent-interp n-d-T by fast
  ultimately show ?thesis by fast
qed
qed

corollary full-cdclNOT-restart-normal-form-init-state:
assumes
  init-state: trail S = [] clauses S = N and
  full: full cdclNOT-restart (S, 0) T and
  inv: inv S
shows unsatisfiable (set-mset N)
   $\vee$  lits-of (trail (fst T))  $\models_{sextm}$  N  $\wedge$  satisfiable (set-mset N)
using full-cdclNOT-restart-normal-form[of (S, 0) T] assms by auto

end

end
theory DPLL-NOT
imports CDCL-NOT

```

begin

3 DPLL as an instance of NOT

3.1 DPLL with simple backtrack

locale *dpll-with-backtrack*

begin

inductive *backtrack* :: ('v, unit, unit) ann-literal list \times 'v clauses

\Rightarrow ('v, unit, unit) ann-literal list \times 'v clauses \Rightarrow bool **where**

backtrack-split (*fst* *S*) = (*M'*, *L* # *M*) \Longrightarrow *is-decided* *L* \Longrightarrow *D* \in # *snd* *S*

\Longrightarrow *fst* *S* \models_{as} *CNot* *D* \Longrightarrow *backtrack* *S* (*Propagated* (\neg (*lit-of* *L*)) () # *M*, *snd* *S*)

inductive-cases *backtrackE*[*elim*]: *backtrack* (*M*, *N*) (*M'*, *N'*)

lemma *backtrack-is-backjump*:

fixes *M* *M'* :: ('v, unit, unit) ann-literal list

assumes

backtrack: *backtrack* (*M*, *N*) (*M'*, *N'*) **and**

no-dup: (*no-dup* \circ *fst*) (*M*, *N*) **and**

decomp: *all-decomposition-implies-m* *N* (*get-all-decided-decomposition* *M*)

shows

$\exists C F' K F L l C'$.

$M = F' @ Decided K () \# F \wedge$

$M' = Propagated L l \# F \wedge N = N' \wedge C \in \# N \wedge F' @ Decided K d \# F \models_{as} CNot C \wedge$

$undefined-lit F L \wedge atm-of L \in atms-of-msu N \cup atm-of ' lits-of (F' @ Decided K d \# F) \wedge$

$N \models_{pm} C' + \{\#L\# \} \wedge F \models_{as} CNot C'$

proof –

let ?*S* = (*M*, *N*)

let ?*T* = (*M'*, *N'*)

obtain *F* *F'* *P* *L* *D* **where**

b-sp: *backtrack-split* *M* = (*F'*, *L* # *F*) **and**

is-decided *L* **and**

D \in # *snd* ?*S* **and**

M \models_{as} *CNot* *D* **and**

bt: *backtrack* ?*S* (*Propagated* (\neg (*lit-of* *L*)) *P* # *F*, *N*) **and**

M': *M'* = *Propagated* (\neg (*lit-of* *L*)) *P* # *F* **and**

[*simp*]: *N'* = *N*

using *backtrackE*[*OF backtrack*] **by** (*metis backtrack fstI sndI*)

let ?*K* = *lit-of* *L*

let ?*C* = *image-mset* *lit-of* {#*K* \in # *mset* *M*. *is-decided* *K* \wedge *K* \neq *L* #} :: 'v literal multiset

let ?*C'* = *set-mset* (*image-mset* *single* (?*C* + {#?*K* #}))

obtain *K* **where** *L*: *L* = *Decided* *K* () **using** *is-decided* *L* **by** (*cases* *L*) *auto*

have *M*: *M* = *F'* @ *Decided* *K* () # *F*

using *b-sp* **by** (*metis L backtrack-split-list-eq fst-conv snd-conv*)

moreover **have** *F'* @ *Decided* *K* () # *F* \models_{as} *CNot* *D*

using $\langle M \models_{as} CNot D \rangle$ **unfolding** *M* .

moreover **have** *undefined-lit* *F* (\neg ?*K*)

using *no-dup* **unfolding** *M* *L* **by** (*simp add: defined-lit-map*)

moreover **have** *atm-of* (\neg *K*) \in *atms-of-msu* *N* \cup *atm-of* ' *lits-of* (*F'* @ *Decided* *K* *d* # *F*)

by *auto*

moreover

have *set-mset* *N* \cup ?*C'* \models_{ps} {# #}

proof –

have *A*: *set-mset* *N* \cup ?*C'* \cup *unmark* *M* =

```

    set-mset  $N \cup \text{unmark } M$ 
    unfolding  $M \text{ } L \text{ by auto}$ 
  have set-mset  $N \cup \{\{\# \text{lit-of } L \#\} \mid L. \text{ is-decided } L \wedge L \in \text{set } M\}$ 
     $\models_{ps} \text{unmark } M$ 
    using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
  moreover have  $C'$ :  $?C' = \{\{\# \text{lit-of } L \#\} \mid L. \text{ is-decided } L \wedge L \in \text{set } M\}$ 
    unfolding  $M \text{ } L \text{ apply standard}$ 
    apply force
    using IntI by auto
  ultimately have  $N\text{-}C\text{-}M$ :  $\text{set-mset } N \cup ?C' \models_{ps} \text{unmark } M$ 
    by auto
  have set-mset  $N \cup (\lambda L. \{\# \text{lit-of } L \#\}) \text{ ' (set } M) \models_{ps} \{\{\#\}\}$ 
    unfolding true-clss-clss-def
  proof (intro allI impI, goal-cases)
    case (1  $I$ ) note  $\text{tot} = \text{this}(1)$  and  $\text{cons} = \text{this}(2)$  and  $I\text{-}N\text{-}M = \text{this}(3)$ 
    have  $I \models D$ 
      using  $I\text{-}N\text{-}M \langle D \in \# \text{ snd } ?S \rangle$  unfolding true-clss-def by auto
    moreover have  $I \models_s C\text{Not } D$ 
      using  $\langle M \models_{as} C\text{Not } D \rangle$  unfolding  $M$  by (metis 1(3)  $\langle M \models_{as} C\text{Not } D \rangle$ 
        true-annots-true-cls true-cls-mono-set-mset-l true-clss-def
        true-clss-singleton-lit-of-implies-incl true-clss-union)
    ultimately show  $?case$  using cons consistent-CNot-not by blast
  qed
  then show  $?thesis$ 
    using true-clss-clss-left-right[OF N-C-M, of  $\{\{\#\}\}$ ] unfolding  $A$  by auto
  qed
  have  $N \models_{pm} \text{image-mset } \text{uminus } ?C + \{\# - ?K \#\}$ 
    unfolding true-clss-clss-def true-clss-clss-def total-over-m-def
  proof (intro allI impI)
    fix  $I$ 
    assume
       $\text{tot: total-over-set } I (\text{atms-of-ms } (\text{set-mset } N \cup \{\text{image-mset } \text{uminus } ?C + \{\# - ?K \#\}\}))$  and
       $\text{cons: consistent-interp } I$  and
       $I \models_{sm} N$ 
    have  $(K \in I \wedge -K \notin I) \vee (-K \in I \wedge K \notin I)$ 
      using cons tot unfolding consistent-interp-def  $L$  by (cases K) auto
    have  $tI$ :  $\text{total-over-set } I (\text{atm-of ' lit-of ' (set } M \cap \{L. \text{ is-decided } L \wedge L \neq \text{Decided } K \text{ d}\}))$ 
      using tot by (auto simp add: L atms-of-uminus-lit-atm-of-lit-of)

  then have  $H$ :  $\bigwedge x.$ 
     $\text{lit-of } x \notin I \implies x \in \text{set } M \implies \text{is-decided } x$ 
     $\implies x \neq \text{Decided } K \text{ d} \implies -\text{lit-of } x \in I$ 
  proof -
    fix  $x :: ('v, \text{unit}, \text{unit}) \text{ ann-literal}$ 
    assume  $a1$ :  $x \neq \text{Decided } K \text{ d}$ 
    assume  $a2$ :  $\text{is-decided } x$ 
    assume  $a3$ :  $x \in \text{set } M$ 
    assume  $a4$ :  $\text{lit-of } x \notin I$ 
    have  $\text{atm-of } (\text{lit-of } x) \in \text{atm-of ' lit-of '}$ 
       $(\text{set } M \cap \{m. \text{ is-decided } m \wedge m \neq \text{Decided } K \text{ d}\})$ 
      using  $a3 \ a2 \ a1$  by blast
    then have  $\text{Pos } (\text{atm-of } (\text{lit-of } x)) \in I \vee \text{Neg } (\text{atm-of } (\text{lit-of } x)) \in I$ 
      using  $tI$  unfolding total-over-set-def by blast
    then show  $- \text{lit-of } x \in I$ 
      using  $a4$  by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
  qed

```

```

      literal.sel(1,2))
    qed
  have  $\neg I \models_s ?C'$ 
    using  $\langle \text{set-mset } N \cup ?C' \models_{ps} \{\{\#\}\} \rangle \text{ tot cons } \langle I \models_{sm} N \rangle$ 
    unfolding true-clss-clss-def total-over-m-def
    by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
  then show  $I \models \text{image-mset } \text{uminus } ?C + \{\#\text{-lit-of } L\#\}$ 
    unfolding true-clss-def true-clss-def Bex-mset-def
    using  $\langle (K \in I \wedge \neg K \notin I) \vee (\neg K \in I \wedge K \notin I) \rangle$ 
    unfolding L by (auto dest!: H)
  qed
moreover
  have  $\text{set } F' \cap \{K. \text{is-decided } K \wedge K \neq L\} = \{\}$ 
    using backtrack-split-fst-not-decided[of - M] b-sp by auto
  then have  $F \models_{as} \text{CNot } (\text{image-mset } \text{uminus } ?C)$ 
    unfolding M CNot-def true-annots-def by (auto simp add: L lits-of-def)
  ultimately show ?thesis
    using  $M' \langle D \in \# \text{ snd } ?S \rangle L$  by force
qed

lemma backtrack-is-backjump':
  fixes  $M M' :: ('v, \text{unit}, \text{unit}) \text{ann-literal list}$ 
  assumes
    backtrack: backtrack S T and
    no-dup: (no-dup  $\circ$  fst) S and
    decomp: all-decomposition-implies-m (snd S) (get-all-decided-decomposition (fst S))
  shows
     $\exists C F' K F L l C'. \text{fst } S = F' @ \text{Decided } K () \# F \wedge$ 
     $T = (\text{Propagated } L l \# F, \text{snd } S) \wedge C \in \# \text{ snd } S \wedge \text{fst } S \models_{as} \text{CNot } C$ 
     $\wedge \text{undefined-lit } F L \wedge \text{atm-of } L \in \text{atms-of-msu } (\text{snd } S) \cup \text{atm-of 'lits-of' } (\text{fst } S) \wedge$ 
     $\text{snd } S \models_{pm} C' + \{\#L\#\} \wedge F \models_{as} \text{CNot } C'$ 
  apply (cases S, cases T)
  using backtrack-is-backjump[of fst S snd S fst T snd T] assms by fastforce

sublocale dpll-state fst snd  $\lambda L (M, N). (L \# M, N) \lambda(M, N). (\text{tl } M, N)$ 
 $\lambda C (M, N). (M, \{\#C\#\} + N) \lambda C (M, N). (M, \text{remove-mset } C N)$ 
by unfold-locales auto

sublocale backjumping-ops fst snd  $\lambda L (M, N). (L \# M, N) \lambda(M, N). (\text{tl } M, N)$ 
 $\lambda C (M, N). (M, \{\#C\#\} + N) \lambda C (M, N). (M, \text{remove-mset } C N) \lambda - - S T. \text{backtrack } S T$ 
by unfold-locales

lemma backtrack-is-backjump'':
  fixes  $M M' :: ('v, \text{unit}, \text{unit}) \text{ann-literal list}$ 
  assumes
    backtrack: backtrack S T and
    no-dup: (no-dup  $\circ$  fst) S and
    decomp: all-decomposition-implies-m (snd S) (get-all-decided-decomposition (fst S))
  shows backjump S T
proof -
  obtain  $C F' K F L l C'$  where
    1:  $\text{fst } S = F' @ \text{Decided } K () \# F$  and
    2:  $T = (\text{Propagated } L l \# F, \text{snd } S)$  and
    3:  $C \in \# \text{ snd } S$  and

```

```

4: fst S  $\models_{as}$  CNot C and
5: undefined-lit F L and
6: atm-of L  $\in$  atms-of-msu (snd S)  $\cup$  atm-of ' lits-of (fst S) and
7: snd S  $\models_{pm}$  C' + {#L#} and
8: F  $\models_{as}$  CNot C'
using backtrack-is-backjump'[OF assms] by blast
show ?thesis
  using backjump.intros[OF 1 - 3 4 5 6 7 8] 2 backtrack 1 5
  by (auto simp: state-eqNOT-def simp del: state-simpNOT)
qed

lemma can-do-bt-step:
  assumes
    M: fst S = F' @ Decided K d # F and
    C  $\in$  # snd S and
    C: fst S  $\models_{as}$  CNot C
  shows  $\neg$  no-step backtrack S
proof -
  obtain L G' G where
    backtrack-split (fst S) = (G', L # G)
  unfolding M by (induction F' rule: ann-literal-list-induct) auto
  moreover then have is-decided L
    by (metis backtrack-split-snd-hd-decided list.distinct(1) list.sel(1) snd-conv)
  ultimately show ?thesis
    using backtrack.intros[of S G' L G C] (C  $\in$  # snd S) C unfolding M by auto
qed

end

sublocale dpll-with-backtrack  $\subseteq$  dpll-with-backjumping-ops fst snd  $\lambda L$  (M, N). (L # M, N)
 $\lambda(M, N).$  (tl M, N)  $\lambda C$  (M, N). (M, {#C#} + N)  $\lambda C$  (M, N). (M, remove-mset C N)  $\lambda-$  -. True
 $\lambda(M, N).$  no-dup M  $\wedge$  all-decomposition-implies-m N (get-all-decided-decomposition M)
 $\lambda-$  - - S T. backtrack S T
by unfold-locales (metis (mono-tags, lifting) dpll-with-backtrack.backtrack-is-backjump''
dpll-with-backtrack.can-do-bt-step prod.case-eq-if comp-apply)

sublocale dpll-with-backtrack  $\subseteq$  dpll-with-backjumping fst snd  $\lambda L$  (M, N). (L # M, N)
 $\lambda(M, N).$  (tl M, N)  $\lambda C$  (M, N). (M, {#C#} + N)  $\lambda C$  (M, N). (M, remove-mset C N)  $\lambda-$  -. True
 $\lambda(M, N).$  no-dup M  $\wedge$  all-decomposition-implies-m N (get-all-decided-decomposition M)
 $\lambda-$  - - S T. backtrack S T
apply unfold-locales
using dpll-bj-no-dup dpll-bj-all-decomposition-implies-inv apply fastforce
done

sublocale dpll-with-backtrack  $\subseteq$  conflict-driven-clause-learning-ops
fst snd  $\lambda L$  (M, N). (L # M, N)
 $\lambda(M, N).$  (tl M, N)  $\lambda C$  (M, N). (M, {#C#} + N)  $\lambda C$  (M, N). (M, remove-mset C N)  $\lambda-$  -. True
 $\lambda(M, N).$  no-dup M  $\wedge$  all-decomposition-implies-m N (get-all-decided-decomposition M)
 $\lambda-$  - - S T. backtrack S T  $\lambda-$  -. False  $\lambda-$  -. False
by unfold-locales

sublocale dpll-with-backtrack  $\subseteq$  conflict-driven-clause-learning
fst snd  $\lambda L$  (M, N). (L # M, N)
 $\lambda(M, N).$  (tl M, N)  $\lambda C$  (M, N). (M, {#C#} + N)  $\lambda C$  (M, N). (M, remove-mset C N)  $\lambda-$  -. True
 $\lambda(M, N).$  no-dup M  $\wedge$  all-decomposition-implies-m N (get-all-decided-decomposition M)

```

```

λ- - S T. backtrack S T λ- -. False λ- -. False
apply unfold-locales
using cdclNOT.simps dpll-bj-inv forgetNOTE learnNOTE by blast

context dpll-with-backtrack
begin
lemma wf-tranclp-dpll-inital-state:
  assumes fin: finite A
  shows wf {((M':('v, unit, unit) ann-literals, N':('v clauses), ([], N))|M' N' N.
    dpll-bj++ ([], N) (M', N') ∧ atms-of-msu N ⊆ atms-of-ms A)}
  using wf-tranclp-dpll-bj[OF assms(1)] by (rule wf-subset) auto

corollary full-dpll-final-state-conclusive:
  fixes M M' :: ('v, unit, unit) ann-literal list
  assumes
    full: full dpll-bj ([], N) (M', N')
  shows unsatisfiable (set-mset N) ∨ (M' ⊨asm N ∧ satisfiable (set-mset N))
  using assms full-dpll-backjump-final-state[of ([],N) (M', N') set-mset N] by auto

corollary full-dpll-normal-form-from-init-state:
  fixes M M' :: ('v, unit, unit) ann-literal list
  assumes
    full: full dpll-bj ([], N) (M', N')
  shows M' ⊨asm N ⟷ satisfiable (set-mset N)
proof -
  have no-dup M'
    using rtranclp-dpll-bj-no-dup[of ([], N) (M', N')]
    full unfolding full-def by auto
  then have M' ⊨asm N ⟹ satisfiable (set-mset N)
    using distinctconsistent-interp satisfiable-carac' true-annots-true-cls by blast
  then show ?thesis
    using full-dpll-final-state-conclusive[OF full] by auto
qed

lemma cdclNOT-is-dpll:
  cdclNOT S T ⟷ dpll-bj S T
  by (auto simp: cdclNOT.simps learn.simps forgetNOT.simps)

Another proof of termination:
lemma wf {(T, S). dpll-bj S T ∧ cdclNOT-NOT-all-inv A S}
  unfolding cdclNOT-is-dpll[symmetric]
  by (rule wf-cdclNOT-no-learn-and-forget-infinite-chain)
  (auto simp: learn.simps forgetNOT.simps)
end

```

3.2 Adding restarts

```

locale dpll-withbacktrack-and-restarts =
  dpll-with-backtrack +
  fixes f :: nat ⇒ nat
  assumes unbounded: unbounded f and f-ge-1: ∧n. n ≥ 1 ⟹ f n ≥ 1
begin
  sublocale cdclNOT-increasing-restarts fst snd λL (M, N). (L # M, N) λ(M, N). (tl M, N)
    λC (M, N). (M, {#C#} + N) λC (M, N). (M, remove-mset C N) f λ(-, N) S. S = ([], N)
  λA (M, N). atms-of-msu N ⊆ atms-of-ms A ∧ atm-of ' lits-of M ⊆ atms-of-ms A ∧ finite A
    ∧ all-decomposition-implies-m N (get-all-decided-decomposition M)

```



```

λA T. (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
      - μC (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight T) dpll-bj
λ(M, N). no-dup M ∧ all-decomposition-implies-m N (get-all-decided-decomposition M)
λA -. (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
apply unfold-locales
      apply (rule unbounded)
      using f-ge-1 apply fastforce
      apply (smt dpll-bj-all-decomposition-implies-inv dpll-bj-atms-in-trail-in-set
        dpll-bj-clauses dpll-bj-no-dup prod.case-eq-if)
      apply (rule dpll-bj-trail-mes-decreasing-prop; auto)
      apply (rename-tac A T U, case-tac T, simp)
      apply (rename-tac A T U, case-tac U, simp)
      using dpll-bj-clauses dpll-bj-all-decomposition-implies-inv dpll-bj-no-dup by fastforce+
end

end
theory DPLL-W
imports Main Partial-Clausal-Logic Partial-Annotated-Clausal-Logic List-More Wellfounded-More
        DPLL-NOT
begin

```

4 DPLL

4.1 Rules

```

type-synonym 'a dpllW-ann-literal = ('a, unit, unit) ann-literal
type-synonym 'a dpllW-ann-literals = ('a, unit, unit) ann-literals
type-synonym 'v dpllW-state = 'v dpllW-ann-literals × 'v clauses

```

```

abbreviation trail :: 'v dpllW-state ⇒ 'v dpllW-ann-literals where
trail ≡ fst
abbreviation clauses :: 'v dpllW-state ⇒ 'v clauses where
clauses ≡ snd

```

The definition of DPLL is given in figure 2.13 page 70 of CW.

```

inductive dpllW :: 'v dpllW-state ⇒ 'v dpllW-state ⇒ bool where
propagate: C + {#L#} ∈ # clauses S ⇒ trail S ⊨as CNot C ⇒ undefined-lit (trail S) L
  ⇒ dpllW S (Propagated L () # trail S, clauses S) |
decided: undefined-lit (trail S) L ⇒ atm-of L ∈ atms-of-msu (clauses S)
  ⇒ dpllW S (Decided L () # trail S, clauses S) |
backtrack: backtrack-split (trail S) = (M', L # M) ⇒ is-decided L ⇒ D ∈ # clauses S
  ⇒ trail S ⊨as CNot D ⇒ dpllW S (Propagated (- (lit-of L)) () # M, clauses S)

```

4.2 Invariants

```

lemma dpllW-distinct-inv:
  assumes dpllW S S'
  and no-dup (trail S)
  shows no-dup (trail S')
  using assms
proof (induct rule: dpllW.induct)
  case (decided L S)
  then show ?case using defined-lit-map by force
next
  case (propagate C L S)

```

then show ?case using defined-lit-map by force
 next
 case (backtrack $S M' L M D$) note extracted = this(1) and no-dup = this(5)
 show ?case
 using no-dup backtrack-split-list-eq[of trail S , symmetric] unfolding extracted by auto
 qed

lemma *dpll_W-consistent-interp-inv*:
 assumes *dpll_W S S'*
 and *consistent-interp (lits-of (trail S))*
 and *no-dup (trail S)*
 shows *consistent-interp (lits-of (trail S'))*
 using *assms*
proof (*induct rule: dpll_W.induct*)
 case (backtrack $S M' L M D$) note extracted = this(1) and decided = this(2) and $D = \text{this}(4)$ and
 cons = this(5) and no-dup = this(6)
 have no-dup': no-dup M
 by (metis (no-types) backtrack-split-list-eq distinct.simps(2) distinct-append extracted
 list.simps(9) map-append no-dup snd-conv)
 then have insert (lit-of L) (lits-of M) \subseteq lits-of (trail S)
 using backtrack-split-list-eq[of trail S , symmetric] unfolding extracted by auto
 then have cons: consistent-interp (insert (lit-of L) (lits-of M))
 using consistent-interp-subset cons by blast
 moreover
 have lit-of $L \notin$ lits-of M
 using no-dup backtrack-split-list-eq[of trail S , symmetric] extracted
 unfolding lits-of-def by force
 moreover
 have atm-of ($-\text{lit-of } L$) \notin ($\lambda m. \text{atm-of (lit-of } m)$) ' set M
 using no-dup backtrack-split-list-eq[of trail S , symmetric] unfolding extracted by force
 then have $-\text{lit-of } L \notin$ lits-of M
 unfolding lits-of-def by force
 ultimately show ?case by simp
 qed (*auto intro: consistent-add-undefined-lit-consistent*)

lemma *dpll_W-vars-in-snd-inv*:
 assumes *dpll_W S S'*
 and atm-of ' (lits-of (trail S)) \subseteq atms-of-msu (clauses S)
 shows atm-of ' (lits-of (trail S')) \subseteq atms-of-msu (clauses S')
 using *assms*
proof (*induct rule: dpll_W.induct*)
 case (backtrack $S M' L M D$)
 then have atm-of (lit-of L) \in atms-of-msu (clauses S)
 using backtrack-split-list-eq[of trail S , symmetric] by auto
 moreover
 have atm-of ' lits-of (trail S) \subseteq atms-of-msu (clauses S)
 using backtrack(5) by simp
 then have $\bigwedge x b. x b \in \text{set } M \implies \text{atm-of (lit-of } x b) \in \text{atms-of-msu (clauses } S)$
 using backtrack-split-list-eq[symmetric, of trail S] backtrack.hyps(1)
 unfolding lits-of-def by auto
 ultimately show ?case by (auto simp : lits-of-def)
 qed (*auto simp: in-plus-implies-atm-of-on-atms-of-ms*)

lemma *atms-of-ms-lit-of-atms-of*: *atms-of-ms (($\lambda a. \{\# \text{lit-of } a \# \}$) ' c) = atm-of ' lit-of ' c*
 unfolding atms-of-ms-def using image-iff by force

Lemma theorem 2.8.2 page 71 of CW

lemma *dpll_W-propagate-is-conclusion:*

assumes *dpll_W S S'*

and *all-decomposition-implies-m (clauses S) (get-all-decided-decomposition (trail S))*

and *atm-of ' lits-of (trail S) \subseteq atms-of-msu (clauses S)*

shows *all-decomposition-implies-m (clauses S') (get-all-decided-decomposition (trail S'))*

using *assms*

proof (*induct rule: dpll_W.induct*)

case (*decided L S*)

then show *?case unfolding all-decomposition-implies-def by simp*

next

case (*propagate C L S*) **note** *inS = this(1) and cnot = this(2) and IH = this(4) and undef = this(3) and atms-incl = this(5)*

let *?I = set (map ($\lambda a. \{\#lit\text{-of } a\#\}$) (trail S)) \cup set-mset (clauses S)*

have *?I $\models_p C + \{\#L\#\}$ by (auto simp add: inS)*

moreover have *?I $\models_{ps} CNot C$ using true-annots-true-clss-cls cnot by fastforce*

ultimately have *?I $\models_p \{\#L\#\}$ using true-clss-cls-plus-CNot[of ?I C L] inS by blast*

{
assume get-all-decided-decomposition (trail S) = []
then have ?case by blast

}

moreover {

assume n: get-all-decided-decomposition (trail S) \neq []

have 1: $\bigwedge a b. (a, b) \in \text{set } (tl \text{ (get-all-decided-decomposition (trail S))})$

$\implies (\text{unmark } a \cup \text{set-mset (clauses S)}) \models_{ps} \text{unmark } b$

using IH unfolding all-decomposition-implies-def by (fastforce simp add: list.set-sel(2) n)

moreover have 2: $\bigwedge a c. hd \text{ (get-all-decided-decomposition (trail S))} = (a, c)$

$\implies (\text{unmark } a \cup \text{set-mset (clauses S)}) \models_{ps} (\text{unmark } c)$

by (metis IH all-decomposition-implies-cons-pair all-decomposition-implies-single list.collapse n)

moreover have 3: $\bigwedge a c. hd \text{ (get-all-decided-decomposition (trail S))} = (a, c)$

$\implies (\text{unmark } a \cup \text{set-mset (clauses S)}) \models_p \{\#L\#\}$

proof –

fix *a c*

assume *h: hd (get-all-decided-decomposition (trail S)) = (a, c)*

have *h': trail S = c @ a using get-all-decided-decomposition-decomp h by blast*

have *I: set (map ($\lambda a. \{\#lit\text{-of } a\#\}$) a) \cup set-mset (clauses S)*

$\cup \text{unmark } c \models_{ps} CNot C$

using *(?I $\models_{ps} CNot C$) unfolding h' by (simp add: Un-commute Un-left-commute)*

have

atms-of-ms (CNot C) \subseteq atms-of-ms (set (map ($\lambda a. \{\#lit\text{-of } a\#\}$) a) \cup set-mset (clauses S))

and

atms-of-ms (unmark c) \subseteq atms-of-ms (set (map ($\lambda a. \{\#lit\text{-of } a\#\}$) a)

$\cup \text{set-mset (clauses S)}$)

apply (*metis CNot-plus Un-subset-iff atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of*

atms-of-ms-union inS mem-set-mset-iff sup.coboundedI2)

using *inS atms-of-atms-of-ms-mono atms-incl by (fastforce simp: h')*

then have *unmark a \cup set-mset (clauses S) $\models_{ps} CNot C$*

using *true-clss-clss-left-right[OF - I] h 2 by auto*

then show *unmark a \cup set-mset (clauses S) $\models_p \{\#L\#\}$*

by (*metis (no-types) Un-insert-right inS insertI1 mk-disjoint-insert inS mem-set-mset-iff true-clss-clss-in true-clss-clss-plus-CNot*)

qed

ultimately have *?case*

```

    by (cases hd (get-all-decided-decomposition (trail S)))
      (auto simp: all-decomposition-implies-def)
  }
  ultimately show ?case by auto
next
case (backtrack S M' L M D) note extracted = this(1) and decided = this(2) and D = this(3) and
  cnot = this(4) and cons = this(4) and IH = this(5) and atms-incl = this(6)
have S: trail S = M' @ L # M
  using backtrack-split-list-eq[of trail S] unfolding extracted by auto
have M':  $\forall l \in \text{set } M'. \neg \text{is-decided } l$ 
  using extracted backtrack-split-fst-not-decided[of - trail S] by simp
have n: get-all-decided-decomposition (trail S)  $\neq []$  by auto
then have all-decomposition-implies-m (clauses S) ((L # M, M')
  # tl (get-all-decided-decomposition (trail S)))
  by (metis (no-types) IH extracted get-all-decided-decomposition-backtrack-split list.exhaust-sel)
then have 1: unmark (L # M)  $\cup$  set-mset (clauses S)  $\models_{ps} (\lambda a. \{\# \text{lit-of } a \# \})$  ' set M'
  by simp
moreover
have unmark (L # M)  $\cup$  unmark M'  $\models_{ps} \text{CNot } D$ 
  by (metis (mono-tags, lifting) S Un-commute cons image-Un set-append
    true-annots-true-clss-clss)
then have 2: unmark (L # M)  $\cup$  set-mset (clauses S)  $\cup$  unmark M'
   $\models_{ps} \text{CNot } D$ 
  by (metis (no-types, lifting) Un-assoc Un-left-commute true-clss-clss-union-l-r)
ultimately
have set (map ( $\lambda a. \{\# \text{lit-of } a \# \}$ ) (L # M))  $\cup$  set-mset (clauses S)  $\models_{ps} \text{CNot } D$ 
  using true-clss-clss-left-right by fastforce
then have set (map ( $\lambda a. \{\# \text{lit-of } a \# \}$ ) (L # M))  $\cup$  set-mset (clauses S)  $\models_p \{\# \}$ 
  by (metis (mono-tags, lifting) D Un-def mem-Collect-eq set-mset-def
    true-clss-clss-contradiction-true-clss-clss-false)
then have IL: unmark M  $\cup$  set-mset (clauses S)  $\models_p \{\# - \text{lit-of } L \# \}$ 
  using true-clss-clss-false-left-right by auto
show ?case unfolding S all-decomposition-implies-def
proof
  fix x P level
  assume x:  $x \in \text{set } (\text{get-all-decided-decomposition } (\text{fst } (\text{Propagated } (- \text{lit-of } L) P \# M, \text{clauses } S)))$ 
  let ?M' =  $\text{Propagated } (- \text{lit-of } L) P \# M$ 
  let ?hd =  $\text{hd } (\text{get-all-decided-decomposition } ?M')$ 
  let ?tl =  $\text{tl } (\text{get-all-decided-decomposition } ?M')$ 
  have x = ?hd  $\vee x \in \text{set } ?tl$ 
  using x
  by (cases get-all-decided-decomposition ?M')
    auto
  moreover {
    assume x':  $x \in \text{set } ?tl$ 
    have L':  $\text{Decided } (\text{lit-of } L) () = L$  using decided by (cases L, auto)
    have x  $\in \text{set } (\text{get-all-decided-decomposition } (M' @ L \# M))$ 
      using x' get-all-decided-decomposition-except-last-choice-equal[of M' lit-of L P M]
      L' by (metis (no-types) M' list.set-sel(2) tl-Nil)
    then have case x of (Ls, seen)  $\Rightarrow$  unmark Ls  $\cup$  set-mset (clauses S)
       $\models_{ps}$  unmark seen
      using decided IH by (cases L) (auto simp add: S all-decomposition-implies-def)
  }
  moreover {

```

```

assume  $x'$ :  $x = ?hd$ 
have  $tl$ :  $tl \text{ (get-all-decided-decomposition (M' @ L # M)) } \neq []$ 
proof –
  have  $f1$ :  $\bigwedge ms. \text{length (get-all-decided-decomposition (M' @ ms))}$ 
     $= \text{length (get-all-decided-decomposition ms)}$ 
  by (simp add: M' get-all-decided-decomposition-remove-undecided-length)
  have  $Suc$  ( $\text{length (get-all-decided-decomposition M)} \neq Suc\ 0$ )
  by blast
  then show ?thesis
    using  $f1$  decided by (metis (no-types) get-all-decided-decomposition.simps(1) length-tl
      list.sel(3) list.size(3) ann-literal.collapse(1))
  qed
obtain  $M0' M0$  where
   $L0$ :  $hd \text{ (tl (get-all-decided-decomposition (M' @ L # M))) } = (M0, M0')$ 
  by (cases hd (tl (get-all-decided-decomposition (M' @ L # M))))
have  $x''$ :  $x = (M0, \text{Propagated } (-lit\text{-of } L) P \# M0')$ 
  unfolding  $x'$  using get-all-decided-decomposition-last-choice tl M' L0
  by (metis decided ann-literal.collapse(1))
obtain  $l\text{-get-all-decided-decomposition}$  where
   $get\text{-all-decided-decomposition (trail S)} = (L \# M, M') \# (M0, M0') \#$ 
   $l\text{-get-all-decided-decomposition}$ 
  using get-all-decided-decomposition-backtrack-split extracted by (metis (no-types) L0 S
    hd-Cons-tl n tl)
  then have  $M = M0' @ M0$  using get-all-decided-decomposition-hd-hd by fastforce
  then have  $IL'$ :  $unmark\ M0 \cup set\text{-mset (clauses S)}$ 
     $\cup unmark\ M0' \models_{ps} \{\{\# - lit\text{-of } L\#\}\}$ 
  using  $IL$  by (simp add: Un-commute Un-left-commute image-Un)
  moreover have  $H$ :  $unmark\ M0 \cup set\text{-mset (clauses S)}$ 
     $\models_{ps} unmark\ M0'$ 
  using  $IH\ x''$  unfolding all-decomposition-implies-def by (metis (no-types, lifting) L0 S
    list.set-sel(1) list.set-sel(2) old.prod.case tl tl-Nil)
  ultimately have  $case\ x\ of\ (Ls, seen) \Rightarrow unmark\ Ls \cup set\text{-mset (clauses S)}$ 
     $\models_{ps} unmark\ seen$ 
  using true-clss-clss-left-right unfolding x'' by auto
}
ultimately show  $case\ x\ of\ (Ls, seen) \Rightarrow$ 
   $unmark\ Ls \cup set\text{-mset (snd (?M', clauses S))}$ 
   $\models_{ps} unmark\ seen$ 
  unfolding snd-conv by blast
qed
qed

```

Lemma theorem 2.8.3 page 72 of CW

theorem *dpll_W-propagate-is-conclusion-of-decided:*

```

assumes  $dpll_W\ S\ S'$ 
and all-decomposition-implies-m (clauses S) (get-all-decided-decomposition (trail S))
and atm-of ' lits-of (trail S)  $\subseteq$  atms-of-msu (clauses S)
shows  $set\text{-mset (clauses S')} \cup \{\{\# lit\text{-of } L\#\} \mid L. is\text{-decided } L \wedge L \in set\ (trail\ S')\}$ 
   $\models_{ps} (\lambda a. \{\# lit\text{-of } a\#\}) \text{ ' } \bigcup (set \text{ ' } snd \text{ ' } set\ (get\text{-all-decided-decomposition (trail S'))))$ 
using all-decomposition-implies-trail-is-implied[OF dpllW-propagate-is-conclusion[OF assms]] .

```

Lemma theorem 2.8.4 page 72 of CW

lemma *only-propagated-vars-unsat:*

```

assumes decided:  $\forall x \in set\ M. \neg is\text{-decided } x$ 
and  $DN$ :  $D \in N$  and  $D$ :  $M \models_{as} CNot\ D$ 

```

and *inv*: *all-decomposition-implies* N (*get-all-decided-decomposition* M)
and *atm-incl*: *atm-of* ‘*lits-of* $M \subseteq \text{atms-of-ms}$ N
shows *unsatisfiable* N
proof (*rule ccontr*)
assume $\neg \text{unsatisfiable } N$
then obtain I **where**
 $I: I \models_s N$ **and**
 cons : *consistent-interp* I **and**
 tot : *total-over-m* I N
unfolding *satisfiable-def* **by** *auto*
then have $I-D: I \models D$
using DN **unfolding** *true-clss-def* **by** *auto*

have $l0: \{\{\#lit\text{-of } L\# \mid L. \text{is-decided } L \wedge L \in \text{set } M\} = \{\}\}$ **using** *decided* **by** *auto*
have $\text{atms-of-ms } (N \cup \text{unmark } M) = \text{atms-of-ms } N$
using *atm-incl* **unfolding** *atms-of-ms-def lits-of-def* **by** *auto*

then have *total-over-m* I $(N \cup (\lambda a. \{\#lit\text{-of } a\# \}) \text{ ‘ } (\text{set } M))$
using tot **unfolding** *total-over-m-def* **by** *auto*
then have $I \models_s (\lambda a. \{\#lit\text{-of } a\# \}) \text{ ‘ } (\text{set } M)$
using *all-decomposition-implies-propagated-lits-are-implied*[$OF \text{ inv}$] $\text{cons } I$
unfolding *true-clss-clss-def l0* **by** *auto*
then have $IM: I \models_s \text{unmark } M$ **by** *auto*
{
fix K
assume $K \in \# D$
then have $\neg K \in \text{lits-of } M$
by (*auto split: split-if-asm*
intro: allE[$OF D[\text{unfolded true-annots-def Ball-def}], \text{ of } \{\# \neg K \# \}$])
then have $\neg K \in I$ **using** IM *true-clss-singleton-lit-of-implies-incl* **by** *fastforce*
}
then have $\neg I \models D$ **using** cons **unfolding** *true-clss-def consistent-interp-def* **by** *auto*
then show *False* **using** $I-D$ **by** *blast*
qed

lemma *dp_{ll}_W-same-clauses*:

assumes *dp_{ll}_W* S S'
shows *clauses* $S = \text{clauses } S'$
using *assms* **by** (*induct rule: dp_{ll}_W.induct, auto*)

lemma *rtranclp-dp_{ll}_W-inv*:

assumes *rtranclp dp_{ll}_W* S S'
and *inv*: *all-decomposition-implies-m* (*clauses* S) (*get-all-decided-decomposition* (*trail* S))
and *atm-incl*: *atm-of* ‘*lits-of* (*trail* S) $\subseteq \text{atms-of-msu}$ (*clauses* S)
and *consistent-interp* (*lits-of* (*trail* S))
and *no-dup* (*trail* S)
shows *all-decomposition-implies-m* (*clauses* S') (*get-all-decided-decomposition* (*trail* S'))
and *atm-of* ‘*lits-of* (*trail* S') $\subseteq \text{atms-of-msu}$ (*clauses* S')
and *clauses* $S = \text{clauses } S'$
and *consistent-interp* (*lits-of* (*trail* S'))
and *no-dup* (*trail* S')
using *assms*
proof (*induct rule: rtranclp-induct*)
case *base*
show

all-decomposition-implies-m (*clauses S*) (*get-all-decided-decomposition* (*trail S*)) **and**
atm-of ‘*lits-of* (*trail S*) \subseteq *atms-of-msu* (*clauses S*) **and**
clauses S = *clauses S* **and**
consistent-interp (*lits-of* (*trail S*)) **and**
no-dup (*trail S*) **using** *assms* **by** *auto*

next

case (*step S' S''*) **note** *dpll_WStar* = *this*(1) **and** *IH* = *this*(3,4,5,6,7) **and**
dpll_W = *this*(2)

moreover

assume
inv: *all-decomposition-implies-m* (*clauses S*) (*get-all-decided-decomposition* (*trail S*)) **and**
atm-incl: *atm-of* ‘*lits-of* (*trail S*) \subseteq *atms-of-msu* (*clauses S*) **and**
cons: *consistent-interp* (*lits-of* (*trail S*)) **and**
no-dup (*trail S*)

ultimately have *decomp*: *all-decomposition-implies-m* (*clauses S'*)
(*get-all-decided-decomposition* (*trail S'*)) **and**
atm-incl': *atm-of* ‘*lits-of* (*trail S'*) \subseteq *atms-of-msu* (*clauses S'*) **and**
snd: *clauses S* = *clauses S'* **and**
cons': *consistent-interp* (*lits-of* (*trail S'*)) **and**
no-dup': *no-dup* (*trail S'*) **by** *blast+*

show *clauses S* = *clauses S''* **using** *dpll_W-same-clauses*[*OF dpll_W*] *snd* **by** *metis*

show *all-decomposition-implies-m* (*clauses S''*) (*get-all-decided-decomposition* (*trail S''*))
using *dpll_W-propagate-is-conclusion*[*OF dpll_W*] *decomp atm-incl'* **by** *auto*

show *atm-of* ‘*lits-of* (*trail S''*) \subseteq *atms-of-msu* (*clauses S''*)
using *dpll_W-vars-in-snd-inv*[*OF dpll_W*] *atm-incl atm-incl'* **by** *auto*

show *no-dup* (*trail S''*) **using** *dpll_W-distinct-inv*[*OF dpll_W*] *no-dup' dpll_W* **by** *auto*

show *consistent-interp* (*lits-of* (*trail S''*))
using *cons' no-dup' dpll_W-consistent-interp-inv*[*OF dpll_W*] **by** *auto*

qed

definition *dpll_W-all-inv S* \equiv
(*all-decomposition-implies-m* (*clauses S*) (*get-all-decided-decomposition* (*trail S*)))
 \wedge *atm-of* ‘*lits-of* (*trail S*) \subseteq *atms-of-msu* (*clauses S*)
 \wedge *consistent-interp* (*lits-of* (*trail S*))
 \wedge *no-dup* (*trail S*)

lemma *dpll_W-all-inv-dest*[*dest*]:
assumes *dpll_W-all-inv S*
shows *all-decomposition-implies-m* (*clauses S*) (*get-all-decided-decomposition* (*trail S*))
and *atm-of* ‘*lits-of* (*trail S*) \subseteq *atms-of-msu* (*clauses S*)
and *consistent-interp* (*lits-of* (*trail S*)) \wedge *no-dup* (*trail S*)
using *assms* **unfolding** *dpll_W-all-inv-def lits-of-def* **by** *auto*

lemma *rtranclp-dpll_W-all-inv*:
assumes *rtranclp dpll_W S S'*
and *dpll_W-all-inv S*
shows *dpll_W-all-inv S'*
using *assms rtranclp-dpll_W-inv*[*OF assms*(1)] **unfolding** *dpll_W-all-inv-def lits-of-def* **by** *blast*

lemma *dpll_W-all-inv*:
assumes *dpll_W S S'*
and *dpll_W-all-inv S*
shows *dpll_W-all-inv S'*
using *assms rtranclp-dpll_W-all-inv* **by** *blast*

lemma *rtranclp-dpll_W-inv-starting-from-0*:

assumes *rtranclp dpll_W S S'*

and *inv*: *trail S* = []

shows *dpll_W-all-inv S'*

proof –

have *dpll_W-all-inv S*

using *assms unfolding all-decomposition-implies-def dpll_W-all-inv-def* **by** *auto*

then show *?thesis using rtranclp-dpll_W-all-inv[OF assms(1)]* **by** *blast*

qed

lemma *dpll_W-can-do-step*:

assumes *consistent-interp (set M)*

and *distinct M*

and *atm-of* ‘ *(set M) ⊆ atms-of-msu N*

shows *rtranclp dpll_W ([], N) (map (λM. Decided M ()) M, N)*

using *assms*

proof (*induct M*)

case *Nil*

then show *?case* **by** *auto*

next

case (*Cons L M*)

then have *undefined-lit (map (λM. Decided M ()) M) L*

unfolding *defined-lit-def consistent-interp-def* **by** *auto*

moreover have *atm-of L ∈ atms-of-msu N* **using** *Cons.prem(3)* **by** *auto*

ultimately have *dpll_W (map (λM. Decided M ()) M, N) (map (λM. Decided M ()) (L # M), N)*

using *dpll_W.decided* **by** *auto*

moreover have *consistent-interp (set M)* **and** *distinct M* **and** *atm-of* ‘ *set M ⊆ atms-of-msu N*

using *Cons.prem* **unfolding** *consistent-interp-def* **by** *auto*

ultimately show *?case* **using** *Cons.hyps* **by** *auto*

qed

definition *conclusive-dpll_W-state (S:: 'v dpll_W-state) ↔*

(trail S ⊨_{asm} clauses S ∨ ((∀ L ∈ set (trail S). ¬is-decided L)

∧ (∃ C ∈ # clauses S. trail S ⊨_{as} CNot C)))

lemma *dpll_W-strong-completeness*:

assumes *set M ⊨_{sm} N*

and *consistent-interp (set M)*

and *distinct M*

and *atm-of* ‘ *(set M) ⊆ atms-of-msu N*

shows *dpll_W** ([], N) (map (λM. Decided M ()) M, N)*

and *conclusive-dpll_W-state (map (λM. Decided M ()) M, N)*

proof –

show *rtranclp dpll_W ([], N) (map (λM. Decided M ()) M, N)* **using** *dpll_W-can-do-step assms* **by** *auto*

auto

have *map (λM. Decided M ()) M ⊨_{asm} N* **using** *assms(1) true-annots-decided-true-cl* **by** *auto*

then show *conclusive-dpll_W-state (map (λM. Decided M ()) M, N)*

unfolding *conclusive-dpll_W-state-def* **by** *auto*

qed

lemma *dpll_W-sound*:

assumes


```

  rtrancpl dpllW ([], N) (M, N) and
  ∀ S. ¬dpllW (M, N) S
shows M ⊨asm N ↔ satisfiable (set-mset N) (is ?A ↔ ?B)
proof
  let ?M' = lits-of M
  assume ?A
  then have ?M' ⊨sm N by (simp add: true-annots-true-cls)
  moreover have consistent-interp ?M'
    using rtrancpl-dpllW-inv-starting-from-0[OF assms(1)] by auto
  ultimately show ?B by auto
next
  assume ?B
  show ?A
  proof (rule ccontr)
    assume n: ¬ ?A
    have (∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of-msu N) ∨ (∃ D ∈ #N. M ⊨as CNot D)
    proof -
      obtain D :: 'a clause where D: D ∈ # N and ¬ M ⊨a D
      using n unfolding true-annots-def Ball-def by auto
      then have (∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of D) ∨ M ⊨as CNot D
      unfolding true-annots-def Ball-def CNot-def true-annot-def
      using atm-of-lit-in-atms-of true-annot-iff-decided-or-true-lit true-cls-def by blast
      then show ?thesis
      by (metis Bex-mset-def D atms-of-atms-of-ms-mono mem-set-mset-iff rev-subsetD)
    qed
  moreover {
    assume ∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of-msu N
    then have False using assms(2) decided by fastforce
  }
  moreover {
    assume ∃ D ∈ #N. M ⊨as CNot D
    then obtain D where DN: D ∈ # N and MD: M ⊨as CNot D by auto
    {
      assume ∀ l ∈ set M. ¬ is-decided l
      moreover have dpllW-all-inv ([], N)
      using assms unfolding all-decomposition-implies-def dpllW-all-inv-def by auto
      ultimately have unsatisfiable (set-mset N)
      using only-propagated-vars-unsat[of M D set-mset N] DN MD
      rtrancpl-dpllW-all-inv[OF assms(1)] by force
      then have False using ⟨?B⟩ by blast
    }
  }
  moreover {
    assume l: ∃ l ∈ set M. is-decided l
    then have False
    using backtrack[of (M, N) - - D] DN MD assms(2)
    backtrack-split-some-is-decided-then-snd-has-hd[OF l]
    by (metis backtrack-split-snd-hd-decided fst-conv list.distinct(1) list.sel(1) snd-conv)
  }
  ultimately have False by blast
}
ultimately show False by blast
qed
qed

```

4.3 Termination

definition $dpll_W\text{-mes } M \ n =$

$\text{map } (\lambda l. \text{ if is-decided } l \text{ then } 2 \text{ else } (1::\text{nat})) \ (\text{rev } M) \ @ \ \text{replicate } (n - \text{length } M) \ 3$

lemma $\text{length-dpll}_W\text{-mes}:$

assumes $\text{length } M \leq n$

shows $\text{length } (dpll_W\text{-mes } M \ n) = n$

using *assms unfolding dpll_W-mes-def by auto*

lemma $\text{distinctcard-atm-of-lits-of-eq-length}:$

assumes $\text{no-dup } S$

shows $\text{card } (\text{atm-of } \text{'lits-of } S) = \text{length } S$

using *assms by (induct S) (auto simp add: image-image lits-of-def)*

lemma $dpll_W\text{-card-decrease}:$

assumes $dpll: dpll_W \ S \ S' \text{ and } \text{length } (\text{trail } S') \leq \text{card vars}$

and $\text{length } (\text{trail } S) \leq \text{card vars}$

shows $(dpll_W\text{-mes } (\text{trail } S') \ (\text{card vars}), dpll_W\text{-mes } (\text{trail } S) \ (\text{card vars}))$

$\in \text{lexn } \{(a, b). a < b\} \ (\text{card vars})$

using *assms*

proof *(induct rule: dpll_W.induct)*

case *(propagate C L S)*

have $m: \text{map } (\lambda l. \text{ if is-decided } l \text{ then } 2 \text{ else } 1) \ (\text{rev } (\text{trail } S))$

$@ \ \text{replicate } (\text{card vars} - \text{length } (\text{trail } S)) \ 3$

$= \text{map } (\lambda l. \text{ if is-decided } l \text{ then } 2 \text{ else } 1) \ (\text{rev } (\text{trail } S)) \ @ \ 3$

$\# \ \text{replicate } (\text{card vars} - \text{Suc } (\text{length } (\text{trail } S))) \ 3$

using *propagate.prem[simplified] using Suc-diff-le by fastforce*

then show *?case*

using *propagate.prem[s(1) unfolding dpll_W-mes-def by (fastforce simp add: lexn-conv assms(2))*

next

case *(decided S L)*

have $m: \text{map } (\lambda l. \text{ if is-decided } l \text{ then } 2 \text{ else } 1) \ (\text{rev } (\text{trail } S))$

$@ \ \text{replicate } (\text{card vars} - \text{length } (\text{trail } S)) \ 3$

$= \text{map } (\lambda l. \text{ if is-decided } l \text{ then } 2 \text{ else } 1) \ (\text{rev } (\text{trail } S)) \ @ \ 3$

$\# \ \text{replicate } (\text{card vars} - \text{Suc } (\text{length } (\text{trail } S))) \ 3$

using *decided.prem[simplified] using Suc-diff-le by fastforce*

then show *?case*

using *decided.prem[s] unfolding dpll_W-mes-def by (force simp add: lexn-conv assms(2))*

next

case *(backtrack S M' L M D)*

have $L: \text{is-decided } L$ **using** *backtrack.hyps(2) by auto*

have $S: \text{trail } S = M' @ L \# M$

using *backtrack.hyps(1) backtrack-split-list-eq[of trail S] by auto*

show *?case*

using *backtrack.prem[s] L unfolding dpll_W-mes-def S by (fastforce simp add: lexn-conv assms(2))*

qed

Proposition theorem 2.8.7 page 73 of CW

lemma $dpll_W\text{-card-decrease}':$

assumes $dpll: dpll_W \ S \ S'$

and $\text{atm-incl: atm-of } \text{'lits-of } (\text{trail } S) \subseteq \text{atms-of-msu } (\text{clauses } S)$

and $\text{no-dup: no-dup } (\text{trail } S)$

shows $(dpll_W\text{-mes } (\text{trail } S') \ (\text{card } (\text{atms-of-msu } (\text{clauses } S'))),$

$dpll_W\text{-mes } (\text{trail } S) \ (\text{card } (\text{atms-of-msu } (\text{clauses } S)))) \in \text{lex } \{(a, b). a < b\}$

proof —

```

have finite (atms-of-msu (clauses S)) unfolding atms-of-ms-def by auto
then have 1: length (trail S) ≤ card (atms-of-msu (clauses S))
  using distinctcard-atm-of-lit-of-eq-length[OF no-dup] atm-incl card-mono by metis

moreover
  have no-dup': no-dup (trail S') using dpll dpllW-distinct-inv no-dup by blast
  have SS': clauses S' = clauses S using dpll by (auto dest!: dpllW-same-clauses)
  have atm-incl': atm-of ' lits-of (trail S') ⊆ atms-of-msu (clauses S')
    using atm-incl dpll dpllW-vars-in-snd-inv[OF dpll] by force
  have finite (atms-of-msu (clauses S'))
    unfolding atms-of-ms-def by auto
  then have 2: length (trail S') ≤ card (atms-of-msu (clauses S'))
    using distinctcard-atm-of-lit-of-eq-length[OF no-dup'] atm-incl' card-mono SS' by metis

ultimately have (dpllW-mes (trail S') (card (atms-of-msu (clauses S'))),
  dpllW-mes (trail S) (card (atms-of-msu (clauses S'))))
  ∈ lex {(a, b). a < b} (card (atms-of-msu (clauses S)))
  using dpllW-card-decrease[OF assms(1), of atms-of-msu (clauses S)] by blast
then have (dpllW-mes (trail S') (card (atms-of-msu (clauses S'))),
  dpllW-mes (trail S) (card (atms-of-msu (clauses S')))) ∈ lex {(a, b). a < b}
  unfolding lex-def by auto
then show (dpllW-mes (trail S') (card (atms-of-msu (clauses S'))),
  dpllW-mes (trail S) (card (atms-of-msu (clauses S')))) ∈ lex {(a, b). a < b}
  using dpllW-same-clauses[OF assms(1)] by auto
qed

lemma wf-lexn: wf (lexn {(a, b). (a::nat) < b} (card (atms-of-msu (clauses S))))
proof -
  have m: {(a, b). a < b} = measure id by auto
  show ?thesis apply (rule wf-lexn) unfolding m by auto
qed

lemma dpllW-wf:
  wf {(S', S). dpllW-all-inv S ∧ dpllW S S'}
  apply (rule wf-wf-if-measure'[OF wf-lex-less, of - -
    λS. dpllW-mes (trail S) (card (atms-of-msu (clauses S)))])
  using dpllW-card-decrease' by fast

lemma dpllW-trancpl-star-commute:
  {(S', S). dpllW-all-inv S ∧ dpllW S S'}+ = {(S', S). dpllW-all-inv S ∧ trancpl dpllW S S'}
  (is ?A = ?B)
proof
  { fix S S'
    assume (S, S') ∈ ?A
    then have (S, S') ∈ ?B
      by (induct rule: trancpl.induct, auto)
  }
  then show ?A ⊆ ?B by blast
  { fix S S'
    assume (S, S') ∈ ?B
    then have dpllW++ S' S and dpllW-all-inv S' by auto
    then have (S, S') ∈ ?A
      proof (induct rule: trancpl.induct)
        case r-into-trancpl

```

```

    then show ?case by (simp-all add: r-into-trancl')
  next
    case (trancl-into-trancl S S' S'')
    then have  $(S', S) \in \{a. \text{case } a \text{ of } (S', S) \Rightarrow \text{dpll}_W\text{-all-inv } S \wedge \text{dpll}_W S S'\}^+$  by blast
    moreover have  $\text{dpll}_W\text{-all-inv } S'$ 
      using rtranclp-dpllW-all-inv[OF tranclp-into-rtranclp[OF trancl-into-trancl.hyps(1)]]
      trancl-into-trancl.prem by auto
    ultimately have  $(S'', S') \in \{(pa, p). \text{dpll}_W\text{-all-inv } p \wedge \text{dpll}_W p pa\}^+$ 
      using  $\langle \text{dpll}_W\text{-all-inv } S' \rangle \text{trancl-into-trancl.hyps}(3)$  by blast
    then show ?case
      using  $\langle (S', S) \in \{a. \text{case } a \text{ of } (S', S) \Rightarrow \text{dpll}_W\text{-all-inv } S \wedge \text{dpll}_W S S'\}^+ \rangle$  by auto
  qed
}
then show ?B  $\subseteq$  ?A by blast
qed

```

lemma *dpll_W-wf-tranclp*: $\text{wf } \{(S', S). \text{dpll}_W\text{-all-inv } S \wedge \text{dpll}_W^{++} S S'\}$
unfolding *dpll_W-tranclp-star-commute*[symmetric] **by** (simp add: dpll_W-wf wf-trancl)

lemma *dpll_W-wf-plus*:
shows $\text{wf } \{(S', ([], N)) \mid S'. \text{dpll}_W^{++} ([], N) S'\}$ (is wf ?P)
apply (rule wf-subset[OF dpll_W-wf-tranclp, of ?P])
using *assms* **unfolding** *dpll_W-all-inv-def* **by** auto

4.4 Final States

lemma *dpll_W-no-more-step-is-a-conclusive-state*:

assumes $\forall S'. \neg \text{dpll}_W S S'$
shows *conclusive-dpll_W-state* S

proof –

have *vars*: $\forall s \in \text{atms-of-msu } (\text{clauses } S). s \in \text{atm-of ' lits-of (trail } S)$

proof (rule ccontr)

assume $\neg (\forall s \in \text{atms-of-msu } (\text{clauses } S). s \in \text{atm-of ' lits-of (trail } S))$

then obtain L **where**

$L\text{-in-atms}$: $L \in \text{atms-of-msu } (\text{clauses } S)$ **and**

$L\text{-notin-trail}$: $L \notin \text{atm-of ' lits-of (trail } S)$ **by** *metis*

obtain L' **where** $L': \text{atm-of } L' = L$ **by** (*meson literal.sel*(2))

then have *undefined-lit* (trail S) L'

unfolding *Decided-Propagated-in-iff-in-lits-of* **by** (*metis L-notin-trail atm-of-uminus imageI*)

then show *False* **using** *dpll_W.decided* *assms*(1) $L\text{-in-atms } L'$ **by** blast

qed

show ?thesis

proof (rule ccontr)

assume *not-final*: $\neg ?thesis$

then have

$\neg \text{trail } S \models_{\text{asm}} \text{clauses } S$ **and**

$(\exists L \in \text{set } (\text{trail } S). \text{is-decided } L) \vee (\forall C \in \# \text{clauses } S. \neg \text{trail } S \models_{\text{as}} C \text{Not } C)$

unfolding *conclusive-dpll_W-state-def* **by** auto

moreover {

assume $\exists L \in \text{set } (\text{trail } S). \text{is-decided } L$

then obtain $L M' M$ **where** L : *backtrack-split* (trail S) = $(M', L \# M)$

using *backtrack-split-some-is-decided-then-snd-has-hd* **by** blast

obtain D **where** $D \in \# \text{clauses } S$ **and** $\neg \text{trail } S \models_a D$

using $\langle \neg \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$ **unfolding** *true-annots-def* **by** auto

then have $\forall s \in \text{atms-of-ms } \{D\}. s \in \text{atm-of ' lits-of (trail } S)$

using *vars* **unfolding** *atms-of-ms-def* **by** auto

```

    then have trail S  $\models_{as}$  CNot D
      using all-variables-defined-not-imply-cnot[of D]  $\langle \neg \text{trail } S \models_a D \rangle$  by auto
    moreover have is-decided L
      using L by (metis backtrack-split-snd-hd-decided list.distinct(1) list.sel(1) snd-conv)
    ultimately have False
      using assms(1) dpllW.backtrack L  $\langle D \in \# \text{ clauses } S \rangle \langle \text{trail } S \models_{as} \text{CNot } D \rangle$  by blast
  }
  moreover {
    assume tr:  $\forall C \in \# \text{ clauses } S. \neg \text{trail } S \models_{as} \text{CNot } C$ 
    obtain C where C-in-cls:  $C \in \# \text{ clauses } S$  and trC:  $\neg \text{trail } S \models_a C$ 
      using  $\langle \neg \text{trail } S \models_{asm} \text{ clauses } S \rangle$  unfolding true-annots-def by auto
    have  $\forall s \in \text{atms-of-ms } \{C\}. s \in \text{atm-of ' lits-of } (\text{trail } S)$ 
      using vars  $\langle C \in \# \text{ clauses } S \rangle$  unfolding atms-of-ms-def by auto
    then have trail S  $\models_{as}$  CNot C
      by (meson C-in-cls tr trC all-variables-defined-not-imply-cnot)
    then have False using tr C-in-cls by auto
  }
  ultimately show False by blast
qed
qed

lemma dpllW-conclusive-state-correct:
  assumes dpllW** ( $\square$ , N) (M, N) and conclusive-dpllW-state (M, N)
  shows  $M \models_{asm} N \longleftrightarrow \text{satisfiable } (\text{set-mset } N)$  (is ?A  $\longleftrightarrow$  ?B)
proof
  let ?M' = lits-of M
  assume ?A
  then have ?M'  $\models_{sm} N$  by (simp add: true-annots-true-cls)
  moreover have consistent-interp ?M'
    using rtrancp-dpllW-inv-starting-from-0[OF assms(1)] by auto
  ultimately show ?B by auto
next
  assume ?B
  show ?A
  proof (rule ccontr)
    assume n:  $\neg ?A$ 
    have no-mark:  $\forall L \in \text{set } M. \neg \text{is-decided } L \exists C \in \# N. M \models_{as} \text{CNot } C$ 
      using n assms(2) unfolding conclusive-dpllW-state-def by auto
    moreover obtain D where DN:  $D \in \# N$  and MD:  $M \models_{as} \text{CNot } D$  using no-mark by auto
    ultimately have unsatisfiable (set-mset N)
      using only-propagated-vars-unsat rtrancp-dpllW-all-inv[OF assms(1)]
      unfolding dpllW-all-inv-def by force
    then show False using  $\langle ?B \rangle$  by blast
  qed
qed
qed

```

4.5 Link with NOT's DPLL

interpretation dpll_W-NOT: dpll-with-backtrack .

lemma state-eq_{NOT}-iff-eq[iff, simp]: dpll_W-NOT.state-eq_{NOT} S T \longleftrightarrow S = T
 unfolding dpll_W-NOT.state-eq_{NOT}-def by (cases S, cases T) auto

declare dpll_W-NOT.state-simp_{NOT}[simp del]

lemma dpll_W-dpll_W-bj:

```

assumes inv:  $dpll_W\text{-all-inv } S$  and dpll:  $dpll_W S T$ 
shows  $dpll_{W\text{-NOT}}.dpll\text{-bj } S T$ 
using dpll inv
apply (induction rule:  $dpll_W.induct$ )
  using  $dpll_{W\text{-NOT}}.dpll\text{-bj.simps}$  apply fastforce
  using  $dpll_{W\text{-NOT}}.bj\text{-decide}_{NOT}$  apply fastforce
apply (frule  $dpll_{W\text{-NOT}}.backtrack.intros[of \text{---}], simp\text{-all}$ )
apply (rule  $dpll_{W\text{-NOT}}.dpll\text{-bj.bj-backjump}$ )
apply (rule  $dpll_{W\text{-NOT}}.backtrack\text{-is-backjump''}$ ,
  simp-all add:  $dpll_W\text{-all-inv-def}$ )
done

lemma  $dpll_W\text{-bj-dpll}$ :
assumes inv:  $dpll_W\text{-all-inv } S$  and dpll:  $dpll_{W\text{-NOT}}.dpll\text{-bj } S T$ 
shows  $dpll_W S T$ 
using dpll
apply (induction rule:  $dpll_{W\text{-NOT}}.dpll\text{-bj.induct}$ )
  apply (elim  $dpll_{W\text{-NOT}}.decide_{NOT}E$ , cases S)
  using decided apply fastforce
apply (elim  $dpll_{W\text{-NOT}}.propagate_{NOT}E$ , cases S)
  using  $dpll_W.simps$  apply fastforce
apply (elim  $dpll_{W\text{-NOT}}.backjumpE$ , cases S)
by (simp add:  $dpll_W.simps dpll\text{-with-backtrack.backtrack.simps}$ )

lemma  $rtrancp\text{-}dpll_W\text{-}rtrancp\text{-}dpll_{W\text{-NOT}}$ :
assumes  $dpll_W^{**} S T$  and  $dpll_W\text{-all-inv } S$ 
shows  $dpll_{W\text{-NOT}}.dpll\text{-bj}^{**} S T$ 
using assms apply (induction)
apply simp
by (auto intro:  $rtrancp\text{-}dpll_W\text{-all-inv } dpll_W\text{-}dpll_{W\text{-NOT}}\text{-bj } rtrancp.rtrancp\text{-into-rtrancp}$ )

lemma  $rtrancp\text{-}dpll\text{-}rtrancp\text{-}dpll_W$ :
assumes  $dpll_{W\text{-NOT}}.dpll\text{-bj}^{**} S T$  and  $dpll_W\text{-all-inv } S$ 
shows  $dpll_W^{**} S T$ 
using assms apply (induction)
apply simp
by (auto intro:  $dpll_W\text{-bj-dpll } rtrancp.rtrancp\text{-into-rtrancp } rtrancp\text{-}dpll_W\text{-all-inv}$ )

lemma  $dpll\text{-conclusive-state-correctness}$ :
assumes  $dpll_{W\text{-NOT}}.dpll\text{-bj}^{**} ([], N) (M, N)$  and  $conclusive\text{-}dpll_W\text{-state } (M, N)$ 
shows  $M \models_{asm} N \longleftrightarrow satisfiable (set\text{-mset } N)$ 
proof –
  have  $dpll_W\text{-all-inv } ([], N)$ 
  unfolding  $dpll_W\text{-all-inv-def}$  by auto
show ?thesis
  apply (rule  $dpll_W\text{-conclusive-state-correct}$ )
  apply (simp add:  $\langle dpll_W\text{-all-inv } ([], N) \rangle assms(1) rtrancp\text{-}dpll\text{-}rtrancp\text{-}dpll_W$ )
  using assms(2) by simp
qed

end
theory CDCL-W-Level
imports Partial-Annotated-Clausal-Logic
begin

```

4.5.1 Level of literals and clauses

Getting the level of a variable, implies that the list has to be reversed. Here is the funtion after reversing.

```
fun get-rev-level :: ('v, nat, 'a) ann-literals  $\Rightarrow$  nat  $\Rightarrow$  'v literal  $\Rightarrow$  nat where
  get-rev-level [] - = 0 |
  get-rev-level (Decided l level # Ls) n L =
    (if atm-of l = atm-of L then level else get-rev-level Ls level L) |
  get-rev-level (Propagated l - # Ls) n L =
    (if atm-of l = atm-of L then n else get-rev-level Ls n L)
```

abbreviation get-level M L \equiv get-rev-level (rev M) 0 L

lemma get-rev-level-uminus[simp]: get-rev-level M n(-L) = get-rev-level M n L
by (induct arbitrary: n rule: get-rev-level.induct) auto

lemma atm-of-notin-get-rev-level-eq-0[simp]:
assumes atm-of L \notin atm-of ' lits-of M
shows get-rev-level M n L = 0
using assms **by** (induct M arbitrary: n rule: ann-literal-list-induct) auto

lemma get-rev-level-ge-0-atm-of-in:
assumes get-rev-level M n L > n
shows atm-of L \in atm-of ' lits-of M
using assms **by** (induct M arbitrary: n rule: ann-literal-list-induct) fastforce+

In get-rev-level (resp. get-level), the beginning (resp. the end) can be skipped if the literal is not in the beginning (resp. the end).

lemma get-rev-level-skip[simp]:
assumes atm-of L \notin atm-of ' lits-of M
shows get-rev-level (M @ Decided K i # M') n L = get-rev-level (Decided K i # M') i L
using assms **by** (induct M arbitrary: n i rule: ann-literal-list-induct) auto

lemma get-rev-level-notin-end[simp]:
assumes atm-of L \notin atm-of ' lits-of M'
shows get-rev-level (M @ M') n L = get-rev-level M n L
using assms **by** (induct M arbitrary: n rule: ann-literal-list-induct) auto

If the literal is at the beginning, then the end can be skipped

lemma get-rev-level-skip-end[simp]:
assumes atm-of L \in atm-of ' lits-of M
shows get-rev-level (M @ M') n L = get-rev-level M n L
using assms **by** (induct arbitrary: n rule: ann-literal-list-induct) auto

lemma get-level-skip-beginning:
assumes atm-of L' \neq atm-of (lit-of K)
shows get-level (K # M) L' = get-level M L'
using assms **by** auto

lemma get-level-skip-beginning-not-decided-rev:
assumes atm-of L \notin atm-of ' lit-of '(set S)
and $\forall s \in \text{set } S. \neg \text{is-decided } s$
shows get-level (M @ rev S) L = get-level M L
using assms **by** (induction S rule: ann-literal-list-induct) auto

lemma *get-level-skip-beginning-not-decided*[simp]:
assumes *atm-of* $L \notin \text{atm-of 'lit-of '(set } S)$
and $\forall s \in \text{set } S. \neg \text{is-decided } s$
shows *get-level* ($M @ S$) $L = \text{get-level } M L$
using *get-level-skip-beginning-not-decided-rev*[of $L \text{ rev } S M$] *assms* **by** *auto*

lemma *get-rev-level-skip-beginning-not-decided*[simp]:
assumes *atm-of* $L \notin \text{atm-of 'lit-of '(set } S)$
and $\forall s \in \text{set } S. \neg \text{is-decided } s$
shows *get-rev-level* ($\text{rev } S @ \text{rev } M$) $0 L = \text{get-level } M L$
using *get-level-skip-beginning-not-decided-rev*[of $L \text{ rev } S M$] *assms* **by** *auto*

lemma *get-level-skip-in-all-not-decided*:
fixes $M :: ('a, \text{nat}, 'b) \text{ ann-literal list}$ **and** $L :: 'a \text{ literal}$
assumes $\forall m \in \text{set } M. \neg \text{is-decided } m$
and *atm-of* $L \in \text{atm-of 'lit-of '(set } M)$
shows *get-rev-level* $M n L = n$
using *assms* **by** (*induction* M *rule: ann-literal-list-induct*) *auto*

lemma *get-level-skip-all-not-decided*[simp]:
fixes M
defines $M' \equiv \text{rev } M$
assumes $\forall m \in \text{set } M. \neg \text{is-decided } m$
shows *get-level* $M L = 0$
proof –
have $M: M = \text{rev } M'$
unfolding $M'\text{-def}$ **by** *auto*
show *?thesis*
using *assms* **unfolding** M **by** (*induction* M' *rule: ann-literal-list-induct*) *auto*
qed

abbreviation $M\text{Max } M \equiv \text{Max } (\text{set-mset } M)$

the $\{\#0::'a\# \}$ is there to ensures that the set is not empty.

definition *get-maximum-level* :: $('a, \text{nat}, 'b) \text{ ann-literal list} \Rightarrow 'a \text{ literal multiset} \Rightarrow \text{nat}$
where
get-maximum-level $M D = M\text{Max } (\{\#0\# \} + \text{image-mset } (\text{get-level } M) D)$

lemma *get-maximum-level-ge-get-level*:
 $L \in \# D \Rightarrow \text{get-maximum-level } M D \geq \text{get-level } M L$
unfolding *get-maximum-level-def* **by** *auto*

lemma *get-maximum-level-empty*[simp]:
get-maximum-level $M \{\#\} = 0$
unfolding *get-maximum-level-def* **by** *auto*

lemma *get-maximum-level-exists-lit-of-max-level*:
 $D \neq \{\#\} \Rightarrow \exists L \in \# D. \text{get-level } M L = \text{get-maximum-level } M D$
unfolding *get-maximum-level-def*
apply (*induct* D)
apply *simp*
by (*rename-tac* $D x$, *case-tac* $D = \{\#\}$) (*auto simp add: max-def*)

lemma *get-maximum-level-empty-list*[simp]:

$\text{get-maximum-level } \square D = 0$
unfolding $\text{get-maximum-level-def}$ **by** (*simp add: image-constant-conv*)

lemma $\text{get-maximum-level-single[simp]}$:
 $\text{get-maximum-level } M \{ \#L \# \} = \text{get-level } M L$
unfolding $\text{get-maximum-level-def}$ **by** *simp*

lemma $\text{get-maximum-level-plus}$:
 $\text{get-maximum-level } M (D + D') = \max (\text{get-maximum-level } M D) (\text{get-maximum-level } M D')$
by (*induct D*) (*auto simp add: get-maximum-level-def*)

lemma $\text{get-maximum-level-exists-lit}$:
assumes $n: n > 0$
and $\text{max: get-maximum-level } M D = n$
shows $\exists L \in \#D. \text{get-level } M L = n$
proof –
have $f: \text{finite } (\text{insert } 0 ((\lambda L. \text{get-level } M L) \text{ `set-mset } D))$ **by** *auto*
then have $n \in ((\lambda L. \text{get-level } M L) \text{ `set-mset } D)$
using $n \text{ max Max-in[OF } f]$ **unfolding** $\text{get-maximum-level-def}$ **by** *simp*
then show $\exists L \in \#D. \text{get-level } M L = n$ **by** *auto*
qed

lemma $\text{get-maximum-level-skip-first[simp]}$:
assumes $\text{atm-of } L \notin \text{atms-of } D$
shows $\text{get-maximum-level } (\text{Propagated } L C \# M) D = \text{get-maximum-level } M D$
using *assms* **unfolding** $\text{get-maximum-level-def}$ atms-of-def
 $\text{atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set}$
by (*smt atm-of-in-atm-of-set-in-uminus get-level-skip-beginning image-iff ann-literal.sel(2)*
 $\text{multiset.map-cong0}$)

lemma $\text{get-maximum-level-skip-beginning}$:
assumes $DH: \text{atms-of } D \subseteq \text{atm-of `lits-of } H$
shows $\text{get-maximum-level } (c @ \text{Decided } Kh \ i \ \# \ H) D = \text{get-maximum-level } H D$
proof –
have $(\text{get-rev-level } (\text{rev } H @ \text{Decided } Kh \ i \ \# \ \text{rev } c) \ 0) \text{ `set-mset } D$
 $= (\text{get-rev-level } (\text{rev } H) \ 0) \text{ `set-mset } D$
using DH **unfolding** atms-of-def
by (*metis (no-types, lifting) get-rev-level-skip-end image-cong image-subset-iff lits-of-rev*)
then show $?thesis$ **using** DH **unfolding** $\text{get-maximum-level-def}$ **by** *auto*
qed

lemma $\text{get-maximum-level-D-single-propagated}$:
 $\text{get-maximum-level } [\text{Propagated } x21 \ x22] D = 0$
proof –
have $A: \text{insert } 0 ((\lambda L. 0) \text{ `} (\text{set-mset } D \cap \{L. \text{atm-of } x21 = \text{atm-of } L\})$
 $\cup (\lambda L. 0) \text{ `} (\text{set-mset } D \cap \{L. \text{atm-of } x21 \neq \text{atm-of } L\})) = \{0\}$
by *auto*
show $?thesis$ **unfolding** $\text{get-maximum-level-def}$ **by** (*simp add: A*)
qed

lemma $\text{get-maximum-level-skip-notin}$:
assumes $D: \forall L \in \#D. \text{atm-of } L \in \text{atm-of `lits-of } M$
shows $\text{get-maximum-level } M D = \text{get-maximum-level } (\text{Propagated } x21 \ x22 \ \# \ M) D$
proof –
have $A: (\text{get-rev-level } (\text{rev } M @ [\text{Propagated } x21 \ x22]) \ 0) \text{ `set-mset } D$

```

    = (get-rev-level (rev M) 0) ' set-mset D
  using D by (auto intro!: image-cong simp add: lits-of-def)
  show ?thesis unfolding get-maximum-level-def by (auto simp: A)
qed

```

```

lemma get-maximum-level-skip-un-decided-not-present:
  assumes  $\forall L \in \#D. \text{atm-of } L \in \text{atm-of ' lits-of aa}$  and
   $\forall m \in \text{set } M. \neg \text{is-decided } m$ 
  shows get-maximum-level aa D = get-maximum-level (M @ aa) D
  using assms by (induction M rule: ann-literal-list-induct)
  (auto intro!: get-maximum-level-skip-notin[of D - @ aa] simp add: image-Un)

```

```

fun get-maximum-possible-level:: ('b, nat, 'c) ann-literal list  $\Rightarrow$  nat where
  get-maximum-possible-level [] = 0 |
  get-maximum-possible-level (Decided K i # l) = max i (get-maximum-possible-level l) |
  get-maximum-possible-level (Propagated - - # l) = get-maximum-possible-level l

```

```

lemma get-maximum-possible-level-append[simp]:
  get-maximum-possible-level (M @ M')
  = max (get-maximum-possible-level M) (get-maximum-possible-level M')
  by (induct M rule: ann-literal-list-induct) auto

```

```

lemma get-maximum-possible-level-rev[simp]:
  get-maximum-possible-level (rev M) = get-maximum-possible-level M
  by (induct M rule: ann-literal-list-induct) auto

```

```

lemma get-maximum-possible-level-ge-get-rev-level:
  max (get-maximum-possible-level M) i  $\geq$  get-rev-level M i L
  by (induct M arbitrary: i rule: ann-literal-list-induct) (auto simp add: le-max-iff-disj)

```

```

lemma get-maximum-possible-level-ge-get-level[simp]:
  get-maximum-possible-level M  $\geq$  get-level M L
  using get-maximum-possible-level-ge-get-rev-level[of rev - 0] by auto

```

```

lemma get-maximum-possible-level-ge-get-maximum-level[simp]:
  get-maximum-possible-level M  $\geq$  get-maximum-level M D
  using get-maximum-level-exists-lit-of-max-level unfolding Bex-mset-def
  by (metis get-maximum-level-empty get-maximum-possible-level-ge-get-level le0)

```

```

fun get-all-mark-of-propagated where
  get-all-mark-of-propagated [] = [] |
  get-all-mark-of-propagated (Decided - - # L) = get-all-mark-of-propagated L |
  get-all-mark-of-propagated (Propagated - mark # L) = mark # get-all-mark-of-propagated L

```

```

lemma get-all-mark-of-propagated-append[simp]:
  get-all-mark-of-propagated (A @ B) = get-all-mark-of-propagated A @ get-all-mark-of-propagated B
  by (induct A rule: ann-literal-list-induct) auto

```

4.5.2 Properties about the levels

```

fun get-all-levels-of-decided:: ('b, 'a, 'c) ann-literal list  $\Rightarrow$  'a list where
  get-all-levels-of-decided [] = [] |
  get-all-levels-of-decided (Decided l level # Ls) = level # get-all-levels-of-decided Ls |
  get-all-levels-of-decided (Propagated - - # Ls) = get-all-levels-of-decided Ls

```

```

lemma get-all-levels-of-decided-nil-iff-not-is-decided:

```

get-all-levels-of-decided $xs = [] \longleftrightarrow (\forall x \in \text{set } xs. \neg \text{is-decided } x)$
using *assms* **by** (*induction* *xs* *rule*: *ann-literal-list-induct*) *auto*

lemma *get-all-levels-of-decided-cons*:
get-all-levels-of-decided ($a \# b$) =
 (*if is-decided* a *then* [*level-of* a] *else* []) @ *get-all-levels-of-decided* b
by (*cases* a) *simp-all*

lemma *get-all-levels-of-decided-append[simp]*:
get-all-levels-of-decided ($a @ b$) = *get-all-levels-of-decided* $a @$ *get-all-levels-of-decided* b
by (*induct* a) (*simp-all* *add*: *get-all-levels-of-decided-cons*)

lemma *in-get-all-levels-of-decided-iff-decomp*:
 $i \in \text{set } (\text{get-all-levels-of-decided } M) \longleftrightarrow (\exists c \ K \ c'. \ M = c @ \text{Decided } K \ i \ \# \ c') \ (\text{is } ?A \longleftrightarrow ?B)$

proof

assume $?B$

then show $?A$ **by** *auto*

next

assume $?A$

then show $?B$

apply (*induction* M *rule*: *ann-literal-list-induct*)

apply *auto*

apply (*metis* *append-Cons* *append-Nil* *get-all-levels-of-decided.simps(2)* *set-ConsD*)

by (*metis* *append-Cons* *get-all-levels-of-decided.simps(3)*)

qed

lemma *get-rev-level-less-max-get-all-levels-of-decided*:
get-rev-level $M \ n \ L \leq \text{Max } (\text{set } (n \# \text{get-all-levels-of-decided } M))$
by (*induct* M *arbitrary*: n *rule*: *get-all-levels-of-decided.induct*)
 (*simp-all* *add*: *max.coboundedI2*)

lemma *get-rev-level-ge-min-get-all-levels-of-decided*:
assumes *atm-of* $L \in \text{atm-of } \text{'lits-of } M$
shows *get-rev-level* $M \ n \ L \geq \text{Min } (\text{set } (n \# \text{get-all-levels-of-decided } M))$
using *assms* **by** (*induct* M *arbitrary*: n *rule*: *get-all-levels-of-decided.induct*)
 (*auto* *simp* *add*: *min-le-iff-disj*)

lemma *get-all-levels-of-decided-rev-eq-rev-get-all-levels-of-decided[simp]*:
get-all-levels-of-decided (*rev* M) = *rev* (*get-all-levels-of-decided* M)
by (*induct* M *rule*: *get-all-levels-of-decided.induct*)
 (*simp-all* *add*: *max.coboundedI2*)

lemma *get-maximum-possible-level-max-get-all-levels-of-decided*:
get-maximum-possible-level $M = \text{Max } (\text{insert } 0 \ (\text{set } (\text{get-all-levels-of-decided } M)))$
by (*induct* M *rule*: *ann-literal-list-induct*) (*auto* *simp*: *insert-commute*)

lemma *get-rev-level-in-levels-of-decided*:
get-rev-level $M \ n \ L \in \{0, n\} \cup \text{set } (\text{get-all-levels-of-decided } M)$
by (*induction* M *arbitrary*: n *rule*: *ann-literal-list-induct*) (*force* *simp* *add*: *atm-of-eq-atm-of*)⁺

lemma *get-rev-level-in-atms-in-levels-of-decided*:
 $\text{atm-of } L \in \text{atm-of } \text{'(lits-of } M) \implies \text{get-rev-level } M \ n \ L \in \{n\} \cup \text{set } (\text{get-all-levels-of-decided } M)$
by (*induction* M *arbitrary*: n *rule*: *ann-literal-list-induct*) (*auto* *simp* *add*: *atm-of-eq-atm-of*)

lemma *get-all-levels-of-decided-no-decided*:
 $(\forall l \in \text{set } Ls. \neg \text{is-decided } l) \longleftrightarrow \text{get-all-levels-of-decided } Ls = []$
by (*induction* Ls) (*auto simp add: get-all-levels-of-decided-cons*)

lemma *get-level-in-levels-of-decided*:
 $\text{get-level } M \in \{0\} \cup \text{set } (\text{get-all-levels-of-decided } M)$
using *get-rev-level-in-levels-of-decided*[*of* $\text{rev } M \ 0 \ L$] **by** *auto*

The zero is here to avoid empty-list issues with *last*:

lemma *get-level-get-rev-level-get-all-levels-of-decided*:
assumes $\text{atm-of } L \notin \text{atm-of } (lits\text{-of } M)$
shows $\text{get-level } (K @ M) \ L = \text{get-rev-level } (\text{rev } K) \ (\text{last } (0 \# \text{get-all-levels-of-decided } (\text{rev } M)))$
 L
using *assms*
proof (*induct* M *arbitrary: K*)
case *Nil*
then show ?*case* **by** *auto*
next
case (*Cons* $a \ M$)
then have $H: \bigwedge K. \text{get-level } (K @ M) \ L$
 $= \text{get-rev-level } (\text{rev } K) \ (\text{last } (0 \# \text{get-all-levels-of-decided } (\text{rev } M))) \ L$
by *auto*
have $\text{get-level } ((K @ [a]) @ M) \ L$
 $= \text{get-rev-level } (a \# \text{rev } K) \ (\text{last } (0 \# \text{get-all-levels-of-decided } (\text{rev } M))) \ L$
using $H[\text{of } K @ [a]]$ **by** *simp*
then show ?*case* **using** *Cons(2)* **by** (*cases* a) *auto*
qed

lemma *get-rev-level-can-skip-correctly-ordered*:
assumes
 $\text{no-dup } M$ **and**
 $\text{atm-of } L \notin \text{atm-of } (lits\text{-of } M)$ **and**
 $\text{get-all-levels-of-decided } M = \text{rev } [\text{Suc } 0 .. < \text{Suc } (\text{length } (\text{get-all-levels-of-decided } M))]$
shows $\text{get-rev-level } (\text{rev } M @ K) \ 0 \ L = \text{get-rev-level } K \ (\text{length } (\text{get-all-levels-of-decided } M)) \ L$
using *assms*
proof (*induct* M *arbitrary: K rule: ann-literal-list-induct*)
case *nil*
then show ?*case* **by** *simp*
next
case (*decided* $L' \ i \ M \ K$)
then have
 $i: i = \text{Suc } (\text{length } (\text{get-all-levels-of-decided } M))$ **and**
 $\text{get-all-levels-of-decided } M = \text{rev } [\text{Suc } 0 .. < \text{Suc } (\text{length } (\text{get-all-levels-of-decided } M))]$
by *auto*
then have $\text{get-rev-level } (\text{rev } M @ (\text{Decided } L' \ i \ \# \ K)) \ 0 \ L$
 $= \text{get-rev-level } (\text{Decided } L' \ i \ \# \ K) \ (\text{length } (\text{get-all-levels-of-decided } M)) \ L$
using *decided* **by** *auto*
then show ?*case* **using** *decided unfolding i* **by** *auto*
next
case (*proped* $L' \ D \ M \ K$)
then have $\text{get-all-levels-of-decided } M = \text{rev } [\text{Suc } 0 .. < \text{Suc } (\text{length } (\text{get-all-levels-of-decided } M))]$
by *auto*
then have $\text{get-rev-level } (\text{rev } M @ (\text{Propagated } L' \ D \ \# \ K)) \ 0 \ L$
 $= \text{get-rev-level } (\text{Propagated } L' \ D \ \# \ K) \ (\text{length } (\text{get-all-levels-of-decided } M)) \ L$
using *proped* **by** *auto*

```

  then show ?case using proped by auto
qed

lemma get-level-skip-beginning-hd-get-all-levels-of-decided:
  assumes atm-of L  $\notin$  atm-of ' lits-of S
  and get-all-levels-of-decided S  $\neq$  []
  shows get-level (M@ S) L = get-rev-level (rev M) (hd (get-all-levels-of-decided S)) L
  using assms
proof (induction S arbitrary: M rule: ann-literal-list-induct)
  case nil
  then show ?case by (auto simp add: lits-of-def)
next
  case (decided K m) note notin = this(2)
  then show ?case by (auto simp add: lits-of-def)
next
  case (proped L l) note IH = this(1) and L = this(2) and neq = this(3)
  show ?case using IH[of M@[Propagated L l]] L neq by (auto simp add: atm-of-eq-atm-of)
qed

end
theory CDCL-W
imports Partial-Annotated-Clausal-Logic List-More CDCL-W-Level Wellfounded-More

begin
declare set-mset-minus-replicate-mset[simp]

lemma Bex-set-set-Bex-set[iff]:  $(\exists x \in \text{set-mset } C. P) \longleftrightarrow (\exists x \in \#C. P)$ 
  by auto

```

5 Weidenbach's CDCL

```

declare upt.simps(2)[simp del]

```

5.1 The State

```

locale stateW =
  fixes
    trail :: 'st  $\Rightarrow$  ('v, nat, 'v clause) ann-literals and
    init-clss :: 'st  $\Rightarrow$  'v clauses and
    learned-clss :: 'st  $\Rightarrow$  'v clauses and
    backtrack-lvl :: 'st  $\Rightarrow$  nat and
    conflicting :: 'st  $\Rightarrow$  'v clause option and

    cons-trail :: ('v, nat, 'v clause) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-init-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    add-learned-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    update-backtrack-lvl :: nat  $\Rightarrow$  'st  $\Rightarrow$  'st and
    update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

    init-state :: 'v clauses  $\Rightarrow$  'st and
    restart-state :: 'st  $\Rightarrow$  'st
  assumes
    trail-cons-trail[simp]:

```

$\bigwedge L \text{ st. undefined-lit } (trail \text{ st}) (lit\text{-of } L) \implies trail (cons\text{-trail } L \text{ st}) = L \# trail \text{ st}$ **and**
 $trail\text{-tl-trail}[simp]: \bigwedge st. trail (tl\text{-trail } st) = tl (trail \text{ st})$ **and**
 $trail\text{-add-init-cls}[simp]:$
 $\bigwedge st \ C. no\text{-dup } (trail \text{ st}) \implies trail (add\text{-init-cls } C \text{ st}) = trail \text{ st}$ **and**
 $trail\text{-add-learned-cls}[simp]:$
 $\bigwedge C \text{ st. no-dup } (trail \text{ st}) \implies trail (add\text{-learned-cls } C \text{ st}) = trail \text{ st}$ **and**
 $trail\text{-remove-cls}[simp]:$
 $\bigwedge C \text{ st. trail } (remove\text{-cls } C \text{ st}) = trail \text{ st}$ **and**
 $trail\text{-update-backtrack-lvl}[simp]: \bigwedge st \ C. trail (update\text{-backtrack-lvl } C \text{ st}) = trail \text{ st}$ **and**
 $trail\text{-update-conflicting}[simp]: \bigwedge C \text{ st. trail } (update\text{-conflicting } C \text{ st}) = trail \text{ st}$ **and**

$init\text{-clss-cons-trail}[simp]:$
 $\bigwedge M \text{ st. undefined-lit } (trail \text{ st}) (lit\text{-of } M) \implies init\text{-clss } (cons\text{-trail } M \text{ st}) = init\text{-clss } st$ **and**
 $init\text{-clss-tl-trail}[simp]:$
 $\bigwedge st. init\text{-clss } (tl\text{-trail } st) = init\text{-clss } st$ **and**
 $init\text{-clss-add-init-cls}[simp]:$
 $\bigwedge st \ C. no\text{-dup } (trail \text{ st}) \implies init\text{-clss } (add\text{-init-cls } C \text{ st}) = \{\#C\# \} + init\text{-clss } st$ **and**
 $init\text{-clss-add-learned-cls}[simp]:$
 $\bigwedge C \text{ st. no-dup } (trail \text{ st}) \implies init\text{-clss } (add\text{-learned-cls } C \text{ st}) = init\text{-clss } st$ **and**
 $init\text{-clss-remove-cls}[simp]:$
 $\bigwedge C \text{ st. init-clss } (remove\text{-cls } C \text{ st}) = remove\text{-mset } C (init\text{-clss } st)$ **and**
 $init\text{-clss-update-backtrack-lvl}[simp]:$
 $\bigwedge st \ C. init\text{-clss } (update\text{-backtrack-lvl } C \text{ st}) = init\text{-clss } st$ **and**
 $init\text{-clss-update-conflicting}[simp]:$
 $\bigwedge C \text{ st. init-clss } (update\text{-conflicting } C \text{ st}) = init\text{-clss } st$ **and**

$learned\text{-clss-cons-trail}[simp]:$
 $\bigwedge M \text{ st. undefined-lit } (trail \text{ st}) (lit\text{-of } M) \implies$
 $learned\text{-clss } (cons\text{-trail } M \text{ st}) = learned\text{-clss } st$ **and**
 $learned\text{-clss-tl-trail}[simp]:$
 $\bigwedge st. learned\text{-clss } (tl\text{-trail } st) = learned\text{-clss } st$ **and**
 $learned\text{-clss-add-init-cls}[simp]:$
 $\bigwedge st \ C. no\text{-dup } (trail \text{ st}) \implies learned\text{-clss } (add\text{-init-cls } C \text{ st}) = learned\text{-clss } st$ **and**
 $learned\text{-clss-add-learned-cls}[simp]:$
 $\bigwedge C \text{ st. no-dup } (trail \text{ st}) \implies learned\text{-clss } (add\text{-learned-cls } C \text{ st}) = \{\#C\# \} + learned\text{-clss } st$ **and**
 $learned\text{-clss-remove-cls}[simp]:$
 $\bigwedge C \text{ st. learned-clss } (remove\text{-cls } C \text{ st}) = remove\text{-mset } C (learned\text{-clss } st)$ **and**
 $learned\text{-clss-update-backtrack-lvl}[simp]:$
 $\bigwedge st \ C. learned\text{-clss } (update\text{-backtrack-lvl } C \text{ st}) = learned\text{-clss } st$ **and**
 $learned\text{-clss-update-conflicting}[simp]:$
 $\bigwedge C \text{ st. learned-clss } (update\text{-conflicting } C \text{ st}) = learned\text{-clss } st$ **and**

$backtrack\text{-lvl-cons-trail}[simp]:$
 $\bigwedge M \text{ st. undefined-lit } (trail \text{ st}) (lit\text{-of } M) \implies$
 $backtrack\text{-lvl } (cons\text{-trail } M \text{ st}) = backtrack\text{-lvl } st$ **and**
 $backtrack\text{-lvl-tl-trail}[simp]:$
 $\bigwedge st. backtrack\text{-lvl } (tl\text{-trail } st) = backtrack\text{-lvl } st$ **and**
 $backtrack\text{-lvl-add-init-cls}[simp]:$
 $\bigwedge st \ C. no\text{-dup } (trail \text{ st}) \implies backtrack\text{-lvl } (add\text{-init-cls } C \text{ st}) = backtrack\text{-lvl } st$ **and**
 $backtrack\text{-lvl-add-learned-cls}[simp]:$
 $\bigwedge C \text{ st. no-dup } (trail \text{ st}) \implies backtrack\text{-lvl } (add\text{-learned-cls } C \text{ st}) = backtrack\text{-lvl } st$ **and**
 $backtrack\text{-lvl-remove-cls}[simp]:$
 $\bigwedge C \text{ st. backtrack-lvl } (remove\text{-cls } C \text{ st}) = backtrack\text{-lvl } st$ **and**

backtrack-lvl-update-backtrack-lvl[simp]:

$\bigwedge st\ k. \text{backtrack-lvl} (\text{update-backtrack-lvl } k\ st) = k$ **and**

backtrack-lvl-update-conflicting[simp]:

$\bigwedge C\ st. \text{backtrack-lvl} (\text{update-conflicting } C\ st) = \text{backtrack-lvl } st$ **and**

conflicting-cons-trail[simp]:

$\bigwedge M\ st. \text{undefined-lit} (\text{trail } st) (\text{lit-of } M) \implies$

$\text{conflicting} (\text{cons-trail } M\ st) = \text{conflicting } st$ **and**

conflicting-tl-trail[simp]:

$\bigwedge st. \text{conflicting} (\text{tl-trail } st) = \text{conflicting } st$ **and**

conflicting-add-init-cls[simp]:

$\bigwedge st\ C. \text{no-dup} (\text{trail } st) \implies \text{conflicting} (\text{add-init-cls } C\ st) = \text{conflicting } st$ **and**

conflicting-add-learned-cls[simp]:

$\bigwedge C\ st. \text{no-dup} (\text{trail } st) \implies \text{conflicting} (\text{add-learned-cls } C\ st) = \text{conflicting } st$ **and**

conflicting-remove-cls[simp]:

$\bigwedge C\ st. \text{conflicting} (\text{remove-cls } C\ st) = \text{conflicting } st$ **and**

conflicting-update-backtrack-lvl[simp]:

$\bigwedge st\ C. \text{conflicting} (\text{update-backtrack-lvl } C\ st) = \text{conflicting } st$ **and**

conflicting-update-conflicting[simp]:

$\bigwedge C\ st. \text{conflicting} (\text{update-conflicting } C\ st) = C$ **and**

init-state-trail[simp]: $\bigwedge N. \text{trail} (\text{init-state } N) = []$ **and**

init-state-clss[simp]: $\bigwedge N. \text{init-clss} (\text{init-state } N) = N$ **and**

init-state-learned-clss[simp]: $\bigwedge N. \text{learned-clss} (\text{init-state } N) = \{\#\}$ **and**

init-state-backtrack-lvl[simp]: $\bigwedge N. \text{backtrack-lvl} (\text{init-state } N) = 0$ **and**

init-state-conflicting[simp]: $\bigwedge N. \text{conflicting} (\text{init-state } N) = \text{None}$ **and**

trail-restart-state[simp]: $\text{trail} (\text{restart-state } S) = []$ **and**

init-clss-restart-state[simp]: $\text{init-clss} (\text{restart-state } S) = \text{init-clss } S$ **and**

learned-clss-restart-state[intro]: $\text{learned-clss} (\text{restart-state } S) \subseteq \# \text{learned-clss } S$ **and**

backtrack-lvl-restart-state[simp]: $\text{backtrack-lvl} (\text{restart-state } S) = 0$ **and**

conflicting-restart-state[simp]: $\text{conflicting} (\text{restart-state } S) = \text{None}$

begin

definition *clauses* :: $'st \Rightarrow 'v$ *clauses* **where**

clauses $S = \text{init-clss } S + \text{learned-clss } S$

lemma

shows

clauses-cons-trail[simp]:

$\text{undefined-lit} (\text{trail } S) (\text{lit-of } M) \implies \text{clauses} (\text{cons-trail } M\ S) = \text{clauses } S$ **and**

clss-tl-trail[simp]: $\text{clauses} (\text{tl-trail } S) = \text{clauses } S$ **and**

clauses-add-learned-cls-unfolded:

$\text{no-dup} (\text{trail } S) \implies \text{clauses} (\text{add-learned-cls } U\ S) = \{\#U\# \} + \text{learned-clss } S + \text{init-clss } S$

and

clauses-add-init-cls[simp]:

$\text{no-dup} (\text{trail } S) \implies \text{clauses} (\text{add-init-cls } N\ S) = \{\#N\# \} + \text{init-clss } S + \text{learned-clss } S$ **and**

clauses-update-backtrack-lvl[simp]: $\text{clauses} (\text{update-backtrack-lvl } k\ S) = \text{clauses } S$ **and**

clauses-update-conflicting[simp]: $\text{clauses} (\text{update-conflicting } D\ S) = \text{clauses } S$ **and**

clauses-remove-cls[simp]:

$\text{clauses} (\text{remove-cls } C\ S) = \text{clauses } S - \text{replicate-mset} (\text{count} (\text{clauses } S)\ C)\ C$ **and**

clauses-add-learned-cls[simp]:

$\text{no-dup} (\text{trail } S) \implies \text{clauses} (\text{add-learned-cls } C\ S) = \{\#C\# \} + \text{clauses } S$ **and**

clauses-restart[simp]: $\text{clauses} (\text{restart-state } S) \subseteq \# \text{clauses } S$ **and**

clauses-init-state[simp]: $\bigwedge N. \text{ clauses } (\text{init-state } N) = N$
prefer 9 using *clauses-def* *learned-clss-restart-state* **apply** *fastforce*
by (*auto simp: ac-simps replicate-mset-plus clauses-def intro: multiset-eqI*)

abbreviation *state* :: '*st* \Rightarrow ('*v*, *nat*, '*v* clause) *ann-literal list* \times '*v* clauses \times '*v* clauses \times *nat* \times '*v* clause option **where**
state S \equiv (*trail S*, *init-clss S*, *learned-clss S*, *backtrack-lvl S*, *conflicting S*)

abbreviation *incr-lvl* :: '*st* \Rightarrow '*st* **where**
incr-lvl S \equiv *update-backtrack-lvl* (*backtrack-lvl S* + 1) *S*

definition *state-eq* :: '*st* \Rightarrow '*st* \Rightarrow *bool* (**infix** \sim 50) **where**
S \sim *T* \longleftrightarrow *state S* = *state T*

lemma *state-eq-ref*[simp, intro]:
S \sim *S*
unfolding *state-eq-def* **by** *auto*

lemma *state-eq-sym*:
S \sim *T* \longleftrightarrow *T* \sim *S*
unfolding *state-eq-def* **by** *auto*

lemma *state-eq-trans*:
S \sim *T* \Longrightarrow *T* \sim *U* \Longrightarrow *S* \sim *U*
unfolding *state-eq-def* **by** *auto*

lemma
shows
state-eq-trail: *S* \sim *T* \Longrightarrow *trail S* = *trail T* **and**
state-eq-init-clss: *S* \sim *T* \Longrightarrow *init-clss S* = *init-clss T* **and**
state-eq-learned-clss: *S* \sim *T* \Longrightarrow *learned-clss S* = *learned-clss T* **and**
state-eq-backtrack-lvl: *S* \sim *T* \Longrightarrow *backtrack-lvl S* = *backtrack-lvl T* **and**
state-eq-conflicting: *S* \sim *T* \Longrightarrow *conflicting S* = *conflicting T* **and**
state-eq-clauses: *S* \sim *T* \Longrightarrow *clauses S* = *clauses T* **and**
state-eq-undefined-lit: *S* \sim *T* \Longrightarrow *undefined-lit* (*trail S*) *L* = *undefined-lit* (*trail T*) *L*
unfolding *state-eq-def* *clauses-def* **by** *auto*

lemmas *state-simp*[simp] = *state-eq-trail* *state-eq-init-clss* *state-eq-learned-clss*
state-eq-backtrack-lvl *state-eq-conflicting* *state-eq-clauses* *state-eq-undefined-lit*

lemma *atms-of-ms-learned-clss-restart-state-in-atms-of-ms-learned-clssI*[intro]:
 $x \in \text{atms-of-msu } (\text{learned-clss } (\text{restart-state } S)) \Longrightarrow x \in \text{atms-of-msu } (\text{learned-clss } S)$
by (*meson atms-of-ms-mono learned-clss-restart-state set-mset-mono subsetCE*)

function *reduce-trail-to* :: '*a* *list* \Rightarrow '*st* \Rightarrow '*st* **where**
reduce-trail-to F S =
 (if *length* (*trail S*) = *length F* \vee *trail S* = [] then *S* else *reduce-trail-to F* (*tl-trail S*))
by *fast+*
termination
by (*relation measure* ($\lambda(-, S). \text{length } (\text{trail } S)$)) *simp-all*

declare *reduce-trail-to.simps*[simp del]

lemma
shows

reduce-trail-to-nil[simp]: $\text{trail } S = [] \implies \text{reduce-trail-to } F S = S$ **and**
reduce-trail-to-eq-length[simp]: $\text{length } (\text{trail } S) = \text{length } F \implies \text{reduce-trail-to } F S = S$
by (auto simp: reduce-trail-to.simps)

lemma *reduce-trail-to-length-ne*:
 $\text{length } (\text{trail } S) \neq \text{length } F \implies \text{trail } S \neq [] \implies$
 $\text{reduce-trail-to } F S = \text{reduce-trail-to } F (\text{tl-trail } S)$
by (auto simp: reduce-trail-to.simps)

lemma *trail-reduce-trail-to-length-le*:
assumes $\text{length } F > \text{length } (\text{trail } S)$
shows $\text{trail } (\text{reduce-trail-to } F S) = []$
using assms **apply** (induction $F S$ rule: reduce-trail-to.induct)
by (metis (no-types, hide-lams) length-tl less-imp-diff-less less-irrefl trail-tl-trail
reduce-trail-to.simps)

lemma *trail-reduce-trail-to-nil*[simp]:
 $\text{trail } (\text{reduce-trail-to } [] S) = []$
apply (induction $[]::('v, \text{nat}, 'v \text{ clause}) \text{ ann-literals } S$ rule: reduce-trail-to.induct)
by (metis length-0-conv reduce-trail-to-length-ne reduce-trail-to-nil)

lemma *clauses-reduce-trail-to-nil*:
 $\text{clauses } (\text{reduce-trail-to } [] S) = \text{clauses } S$
proof (induction $[] S$ rule: reduce-trail-to.induct)
case (1 Sa)
then have $\text{clauses } (\text{reduce-trail-to } ([]::'a \text{ list}) (\text{tl-trail } Sa)) = \text{clauses } (\text{tl-trail } Sa)$
 $\vee \text{trail } Sa = []$
by fastforce
then show $\text{clauses } (\text{reduce-trail-to } ([]::'a \text{ list}) Sa) = \text{clauses } Sa$
by (metis (no-types) length-0-conv reduce-trail-to-eq-length clss-tl-trail
reduce-trail-to-length-ne)
qed

lemma *reduce-trail-to-skip-beginning*:
assumes $\text{trail } S = F' @ F$
shows $\text{trail } (\text{reduce-trail-to } F S) = F$
using assms **by** (induction F' arbitrary: S) (auto simp: reduce-trail-to-length-ne)

lemma *clauses-reduce-trail-to*[simp]:
 $\text{clauses } (\text{reduce-trail-to } F S) = \text{clauses } S$
apply (induction $F S$ rule: reduce-trail-to.induct)
by (metis clss-tl-trail reduce-trail-to.simps)

lemma *conflicting-update-trial*[simp]:
 $\text{conflicting } (\text{reduce-trail-to } F S) = \text{conflicting } S$
apply (induction $F S$ rule: reduce-trail-to.induct)
by (metis conflicting-tl-trail reduce-trail-to.simps)

lemma *backtrack-lvl-update-trial*[simp]:
 $\text{backtrack-lvl } (\text{reduce-trail-to } F S) = \text{backtrack-lvl } S$
apply (induction $F S$ rule: reduce-trail-to.induct)
by (metis backtrack-lvl-tl-trail reduce-trail-to.simps)

lemma *init-clss-update-trial*[simp]:
 $\text{init-clss } (\text{reduce-trail-to } F S) = \text{init-clss } S$

apply (*induction F S rule: reduce-trail-to.induct*)
by (*metis init-clss-tl-trail reduce-trail-to.simps*)

lemma *learned-clss-update-trial[simp]*:
learned-clss (reduce-trail-to F S) = learned-clss S
apply (*induction F S rule: reduce-trail-to.induct*)
by (*metis learned-clss-tl-trail reduce-trail-to.simps*)

lemma *trail-eq-reduce-trail-to-eq*:
trail S = trail T \implies trail (reduce-trail-to F S) = trail (reduce-trail-to F T)
apply (*induction F S arbitrary: T rule: reduce-trail-to.induct*)
by (*metis trail-tl-trail reduce-trail-to.simps*)

lemma *reduce-trail-to-state-eq_{NOT}-compatible*:
assumes *ST: S \sim T*
shows *reduce-trail-to F S \sim reduce-trail-to F T*
proof –
have *trail (reduce-trail-to F S) = trail (reduce-trail-to F T)*
using *trail-eq-reduce-trail-to-eq[of S T F] ST* **by** *auto*
then show *?thesis* **using** *ST* **by** (*auto simp del: state-simp simp: state-eq-def*)
qed

lemma *reduce-trail-to-trail-tl-trail-decomp[simp]*:
trail S = F' @ Decided K d # F \implies (trail (reduce-trail-to F S)) = F
apply (*rule reduce-trail-to-skip-beginning[of - F' @ Decided K d # []]*)
by (*cases F'*) (*auto simp add:tl-append reduce-trail-to-skip-beginning*)

lemma *reduce-trail-to-add-learned-clss[simp]*:
no-dup (trail S) \implies
trail (reduce-trail-to F (add-learned-clss C S)) = trail (reduce-trail-to F S)
by (*rule trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-add-init-clss[simp]*:
no-dup (trail S) \implies
trail (reduce-trail-to F (add-init-clss C S)) = trail (reduce-trail-to F S)
by (*rule trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-remove-learned-clss[simp]*:
trail (reduce-trail-to F (remove-clss C S)) = trail (reduce-trail-to F S)
by (*rule trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-update-conflicting[simp]*:
trail (reduce-trail-to F (update-conflicting C S)) = trail (reduce-trail-to F S)
by (*rule trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-update-backtrack-lvl[simp]*:
trail (reduce-trail-to F (update-backtrack-lvl C S)) = trail (reduce-trail-to F S)
by (*rule trail-eq-reduce-trail-to-eq*) *auto*

lemma *in-get-all-decided-decomposition-decided-or-empty*:
assumes (*a, b*) \in *set (get-all-decided-decomposition M)*
shows *a = [] \vee (is-decided (hd a))*
using *assms*
proof (*induct M arbitrary: a b*)
case Nil **then show** *?case* **by** *simp*

```

next
case (Cons m M)
show ?case
proof (cases m)
case (Decided l mark)
then show ?thesis using Cons by auto
next
case (Propagated l mark)
then show ?thesis using Cons by (cases get-all-decided-decomposition M) force+
qed
qed

```

```

lemma in-get-all-decided-decomposition-trail-update-trail[simp]:
assumes H: (L # M1, M2) ∈ set (get-all-decided-decomposition (trail S))
shows trail (reduce-trail-to M1 S) = M1
proof -
obtain K mark where
L: L = Decided K mark
using H by (cases L) (auto dest!: in-get-all-decided-decomposition-decided-or-empty)
obtain c where
tr-S: trail S = c @ M2 @ L # M1
using H by auto
show ?thesis
by (rule reduce-trail-to-trail-tl-trail-decomp[of - c @ M2 K mark])
(auto simp: tr-S L)
qed

```

```

fun append-trail where
append-trail [] S = S |
append-trail (L # M) S = append-trail M (cons-trail L S)

```

```

lemma trail-append-trail:
no-dup (M @ trail S) ⟹ trail (append-trail M S) = rev M @ trail S
by (induction M arbitrary: S) (auto simp: defined-lit-map)

```

```

lemma init-clss-append-trail:
no-dup (M @ trail S) ⟹ init-clss (append-trail M S) = init-clss S
by (induction M arbitrary: S) (auto simp: defined-lit-map)

```

```

lemma learned-clss-append-trail:
no-dup (M @ trail S) ⟹ learned-clss (append-trail M S) = learned-clss S
by (induction M arbitrary: S) (auto simp: defined-lit-map)

```

```

lemma conflicting-append-trail:
no-dup (M @ trail S) ⟹ conflicting (append-trail M S) = conflicting S
by (induction M arbitrary: S) (auto simp: defined-lit-map)

```

```

lemma backtrack-lvl-append-trail:
no-dup (M @ trail S) ⟹ backtrack-lvl (append-trail M S) = backtrack-lvl S
by (induction M arbitrary: S) (auto simp: defined-lit-map)

```

```

lemma clauses-append-trail:
no-dup (M @ trail S) ⟹ clauses (append-trail M S) = clauses S
by (induction M arbitrary: S) (auto simp: defined-lit-map)

```

```

lemmas state-access-simp =
  trail-append-trail init-clss-append-trail learned-clss-append-trail backtrack-lvl-append-trail
  clauses-append-trail conflicting-append-trail

```

This function is useful for proofs to speak of a global trail change, but is a bad for programs and code in general.

```

fun delete-trail-and-rebuild where
  delete-trail-and-rebuild  $M\ S = \text{append-trail } (\text{rev } M) (\text{reduce-trail-to } ([:: 'v\ \text{list})\ S)$ 

end

```

5.2 Special Instantiation: using Triples as State

5.3 CDCL Rules

Because of the strategy we will later use, we distinguish propagate, conflict from the other rules

```

locale
  cdclW =
    stateW trail init-clss learned-clss backtrack-lvl conflicting cons-trail tl-trail add-init-clss
    add-learned-clss remove-clss update-backtrack-lvl update-conflicting init-state
    restart-state
  for
    trail :: 'st  $\Rightarrow$  ('v, nat, 'v clause) ann-literals and
    init-clss :: 'st  $\Rightarrow$  'v clauses and
    learned-clss :: 'st  $\Rightarrow$  'v clauses and
    backtrack-lvl :: 'st  $\Rightarrow$  nat and
    conflicting :: 'st  $\Rightarrow$  'v clause option and

    cons-trail :: ('v, nat, 'v clause) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-init-clss :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    add-learned-clss :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    remove-clss :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
    update-backtrack-lvl :: nat  $\Rightarrow$  'st  $\Rightarrow$  'st and
    update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

    init-state :: 'v clauses  $\Rightarrow$  'st and
    restart-state :: 'st  $\Rightarrow$  'st
  begin

```

```

inductive propagate :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  propagate-rule[intro]:
    state  $S = (M, N, U, k, \text{None}) \Rightarrow C + \{\#L\} \in \# \text{ clauses } S \Rightarrow M \models_{\text{as}} C \text{Not } C$ 
     $\Rightarrow \text{undefined-lit } (\text{trail } S) L$ 
     $\Rightarrow T \sim \text{cons-trail } (\text{Propagated } L (C + \{\#L\})) S$ 
     $\Rightarrow \text{propagate } S T$ 
inductive-cases propagateE[elim]: propagate  $S T$ 
thm propagateE

```

```

inductive conflict :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  conflict-rule[intro]: state  $S = (M, N, U, k, \text{None}) \Rightarrow D \in \# \text{ clauses } S \Rightarrow M \models_{\text{as}} C \text{Not } D$ 
     $\Rightarrow T \sim \text{update-conflicting } (\text{Some } D) S$ 
     $\Rightarrow \text{conflict } S T$ 

```

```

inductive-cases conflictE[elim]: conflict  $S S'$ 

```

inductive *backtrack* :: 'st \Rightarrow 'st \Rightarrow bool **where**
backtrack-rule[intro]: state $S = (M, N, U, k, \text{Some } (D + \{\#L\# \}))$
 $\Rightarrow (\text{Decided } K \ (i+1) \ \# \ M1, M2) \in \text{set } (\text{get-all-decided-decomposition } M)$
 $\Rightarrow \text{get-level } M \ L = k$
 $\Rightarrow \text{get-level } M \ L = \text{get-maximum-level } M \ (D + \{\#L\# \})$
 $\Rightarrow \text{get-maximum-level } M \ D = i$
 $\Rightarrow T \sim \text{cons-trail } (\text{Propagated } L \ (D + \{\#L\# \}))$
 $\quad (\text{reduce-trail-to } M1$
 $\quad \quad (\text{add-learned-cls } (D + \{\#L\# \}))$
 $\quad \quad (\text{update-backtrack-lvl } i$
 $\quad \quad \quad (\text{update-conflicting } \text{None } S)))$
 $\Rightarrow \text{backtrack } S \ T$

inductive-cases *backtrackE*[elim]: *backtrack* $S \ S'$
thm *backtrackE*

inductive *decide* :: 'st \Rightarrow 'st \Rightarrow bool **where**
decide-rule[intro]: state $S = (M, N, U, k, \text{None})$
 $\Rightarrow \text{undefined-lit } M \ L \Rightarrow \text{atm-of } L \in \text{atms-of-msu } (\text{init-clss } S)$
 $\Rightarrow T \sim \text{cons-trail } (\text{Decided } L \ (k+1)) \ (\text{incr-lvl } S)$
 $\Rightarrow \text{decide } S \ T$

inductive-cases *decideE*[elim]: *decide* $S \ S'$
thm *decideE*

inductive *skip* :: 'st \Rightarrow 'st \Rightarrow bool **where**
skip-rule[intro]: state $S = (\text{Propagated } L \ C' \ \# \ M, N, U, k, \text{Some } D) \Rightarrow -L \notin \# \ D \Rightarrow D \neq \{\#\}$
 $\Rightarrow T \sim \text{tl-trail } S$
 $\Rightarrow \text{skip } S \ T$

inductive-cases *skipE*[elim]: *skip* $S \ S'$
thm *skipE*

get-maximum-level $(\text{Propagated } L \ (C + \{\#L\# \}) \ \# \ M) \ D = k \vee k = 0$ is equivalent to
get-maximum-level $(\text{Propagated } L \ (C + \{\#L\# \}) \ \# \ M) \ D = k$

inductive *resolve* :: 'st \Rightarrow 'st \Rightarrow bool **where**
resolve-rule[intro]:
state $S = (\text{Propagated } L \ (C + \{\#L\# \}) \ \# \ M, N, U, k, \text{Some } (D + \{\#-L\# \}))$
 $\Rightarrow \text{get-maximum-level } (\text{Propagated } L \ (C + \{\#L\# \}) \ \# \ M) \ D = k$
 $\Rightarrow T \sim \text{update-conflicting } (\text{Some } (D \ \# \cup \ C)) \ (\text{tl-trail } S)$
 $\Rightarrow \text{resolve } S \ T$

inductive-cases *resolveE*[elim]: *resolve* $S \ S'$
thm *resolveE*

inductive *restart* :: 'st \Rightarrow 'st \Rightarrow bool **where**
restart: state $S = (M, N, U, k, \text{None}) \Rightarrow \neg M \models_{\text{asm}} \text{clauses } S$
 $\Rightarrow T \sim \text{restart-state } S$
 $\Rightarrow \text{restart } S \ T$

inductive-cases *restartE*[elim]: *restart* $S \ T$
thm *restartE*

We add the condition $C \notin \# \ \text{init-clss } S$, to maintain consistency even without the strategy.

inductive *forget* :: 'st \Rightarrow 'st \Rightarrow bool **where**
forget-rule: state $S = (M, N, \{\#C\# \} + U, k, \text{None})$
 $\Rightarrow \neg M \models_{\text{asm}} \text{clauses } S$
 $\Rightarrow C \notin \text{set } (\text{get-all-mark-of-propagated } (\text{trail } S))$
 $\Rightarrow C \notin \# \ \text{init-clss } S$

```

 $\Rightarrow C \in \# \text{ learned-clss } S$ 
 $\Rightarrow T \sim \text{remove-clss } C S$ 
 $\Rightarrow \text{forget } S T$ 
inductive-cases forgetE[elim]: forget S T

inductive cdclW-rf :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for S :: 'st where
restart: restart S T  $\Rightarrow$  cdclW-rf S T |
forget: forget S T  $\Rightarrow$  cdclW-rf S T

inductive cdclW-bj :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
skip[intro]: skip S S'  $\Rightarrow$  cdclW-bj S S' |
resolve[intro]: resolve S S'  $\Rightarrow$  cdclW-bj S S' |
backtrack[intro]: backtrack S S'  $\Rightarrow$  cdclW-bj S S'

inductive-cases cdclW-bjE: cdclW-bj S T

inductive cdclW-o:: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for S :: 'st where
decide[intro]: decide S S'  $\Rightarrow$  cdclW-o S S' |
bj[intro]: cdclW-bj S S'  $\Rightarrow$  cdclW-o S S'

inductive cdclW :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for S :: 'st where
propagate: propagate S S'  $\Rightarrow$  cdclW S S' |
conflict: conflict S S'  $\Rightarrow$  cdclW S S' |
other: cdclW-o S S'  $\Rightarrow$  cdclW S S' |
rf: cdclW-rf S S'  $\Rightarrow$  cdclW S S'

lemma rtrancplp-propagate-is-rtrancplp-cdclW:
propagate** S S'  $\Rightarrow$  cdclW** S S'
by (induction rule: rtrancplp-induct) (fastforce dest!: propagate)+

lemma cdclW-all-rules-induct[consumes 1, case-names propagate conflict forget restart decide skip
resolve backtrack]:
fixes S :: 'st
assumes
cdclW: cdclW S S' and
propagate:  $\bigwedge T. \text{propagate } S T \Rightarrow P S T$  and
conflict:  $\bigwedge T. \text{conflict } S T \Rightarrow P S T$  and
forget:  $\bigwedge T. \text{forget } S T \Rightarrow P S T$  and
restart:  $\bigwedge T. \text{restart } S T \Rightarrow P S T$  and
decide:  $\bigwedge T. \text{decide } S T \Rightarrow P S T$  and
skip:  $\bigwedge T. \text{skip } S T \Rightarrow P S T$  and
resolve:  $\bigwedge T. \text{resolve } S T \Rightarrow P S T$  and
backtrack:  $\bigwedge T. \text{backtrack } S T \Rightarrow P S T$ 
shows P S S'
using assms(1)
proof (induct S' rule: cdclW.induct)
case (propagate S') note propagate = this(1)
then show ?case using assms(2) by auto
next
case (conflict S')
then show ?case using assms(3) by auto
next
case (other S')
then show ?case
proof (induct rule: cdclW-o.induct)

```

```

    case (decide U)
    then show ?case using assms(6) by auto
next
    case (bj S')
    then show ?case using assms(7-9) by (induction rule: cdclW-bj.induct) auto
qed
next
    case (rf S')
    then show ?case
    by (induct rule: cdclW-rf.induct) (fast dest: forget restart)+
qed

```

lemma *cdcl_W-all-induct[consumes 1, case-names propagate conflict forget restart decide skip resolve backtrack]:*

fixes $S :: 'st$

assumes

$cdcl_W: cdcl_W S S' \text{ and}$

$propagateH: \bigwedge C L T. C + \{\#L\# \} \in \# \text{ clauses } S \implies trail S \models_{as} CNot C$

$\implies undefined-lit (trail S) L \implies conflicting S = None$

$\implies T \sim cons-trail (Propagated L (C + \{\#L\# \})) S$

$\implies P S T \text{ and}$

$conflictH: \bigwedge D T. D \in \# \text{ clauses } S \implies conflicting S = None \implies trail S \models_{as} CNot D$

$\implies T \sim update-conflicting (Some D) S$

$\implies P S T \text{ and}$

$forgetH: \bigwedge C T. \neg trail S \models_{asm} clauses S$

$\implies C \notin set (get-all-mark-of-propagated (trail S))$

$\implies C \notin \# init-clss S$

$\implies C \in \# learned-clss S$

$\implies conflicting S = None$

$\implies T \sim remove-cl C S$

$\implies P S T \text{ and}$

$restartH: \bigwedge T. \neg trail S \models_{asm} clauses S$

$\implies conflicting S = None$

$\implies T \sim restart-state S$

$\implies P S T \text{ and}$

$decideH: \bigwedge L T. conflicting S = None \implies undefined-lit (trail S) L$

$\implies atm-of L \in atms-of-msu (init-clss S)$

$\implies T \sim cons-trail (Decided L (backtrack-lvl S + 1)) (incr-lvl S)$

$\implies P S T \text{ and}$

$skipH: \bigwedge L C' M D T. trail S = Propagated L C' \# M$

$\implies conflicting S = Some D \implies -L \notin \# D \implies D \neq \{\#\}$

$\implies T \sim tl-trail S$

$\implies P S T \text{ and}$

$resolveH: \bigwedge L C M D T.$

$trail S = Propagated L ((C + \{\#L\# \})) \# M$

$\implies conflicting S = Some (D + \{\#-L\# \})$

$\implies get-maximum-level (Propagated L (C + \{\#L\# \}) \# M) D = backtrack-lvl S$

$\implies T \sim (update-conflicting (Some (D \# \cup C)) (tl-trail S))$

$\implies P S T \text{ and}$

$backtrackH: \bigwedge K i M1 M2 L D T.$

$(Decided K (Suc i) \# M1, M2) \in set (get-all-decided-decomposition (trail S))$

$\implies get-level (trail S) L = backtrack-lvl S$

$\implies conflicting S = Some (D + \{\#L\# \})$

$\implies get-maximum-level (trail S) (D + \{\#L\# \}) = get-level (trail S) L$

$\implies get-maximum-level (trail S) D \equiv i$

```

     $\Rightarrow T \sim \text{cons-trail } (\text{Propagated } L \ (D + \{\#L\# \}))$ 
      ( $\text{reduce-trail-to } M1$ 
        ( $\text{add-learned-cls } (D + \{\#L\# \})$ 
          ( $\text{update-backtrack-lvl } i$ 
            ( $\text{update-conflicting } \text{None } S$ ))))
     $\Rightarrow P \ S \ T$ 
  shows  $P \ S \ S'$ 
  using  $\text{cdcl}_W$ 
proof (induct  $S \ S'$  rule:  $\text{cdcl}_W\text{-all-rules-induct}$ )
  case ( $\text{propagate } S'$ )
  then show ?case by ( $\text{elim propagateE}$ ) ( $\text{frule propagateH}$ ;  $\text{simp}$ )
next
  case ( $\text{conflict } S'$ )
  then show ?case by ( $\text{elim conflictE}$ ) ( $\text{frule conflictH}$ ;  $\text{simp}$ )
next
  case ( $\text{restart } S'$ )
  then show ?case by ( $\text{elim restartE}$ ) ( $\text{frule restartH}$ ;  $\text{simp}$ )
next
  case ( $\text{decide } T$ )
  then show ?case by ( $\text{elim decideE}$ ) ( $\text{frule decideH}$ ;  $\text{simp}$ )
next
  case ( $\text{backtrack } S'$ )
  then show ?case by ( $\text{elim backtrackE}$ ) ( $\text{frule backtrackH}$ ;  $\text{simp del: state-simp add: state-eq-def}$ )
next
  case ( $\text{forget } S'$ )
  then show ?case using  $\text{forgetH}$  by  $\text{auto}$ 
next
  case ( $\text{skip } S'$ )
  then show ?case using  $\text{skipH}$  by  $\text{auto}$ 
next
  case ( $\text{resolve } S'$ )
  then show ?case by ( $\text{elim resolveE}$ ) ( $\text{frule resolveH}$ ;  $\text{simp}$ )
qed

```

lemma $\text{cdcl}_W\text{-o-induct}[\text{consumes } 1, \text{ case-names decide skip resolve backtrack}]$:

fixes $S :: 'st$

assumes cdcl_W : $\text{cdcl}_W\text{-o } S \ T$ **and**

decideH : $\bigwedge L \ T. \text{conflicting } S = \text{None} \Rightarrow \text{undefined-lit } (\text{trail } S) \ L$
 $\Rightarrow \text{atm-of } L \in \text{atms-of-msu } (\text{init-clss } S)$
 $\Rightarrow T \sim \text{cons-trail } (\text{Decided } L \ (\text{backtrack-lvl } S + 1)) \ (\text{incr-lvl } S)$
 $\Rightarrow P \ S \ T$ **and**

skipH : $\bigwedge L \ C' \ M \ D \ T. \text{trail } S = \text{Propagated } L \ C' \ \# \ M$
 $\Rightarrow \text{conflicting } S = \text{Some } D \Rightarrow -L \notin \# \ D \Rightarrow D \neq \{\#\}$
 $\Rightarrow T \sim \text{tl-trail } S$
 $\Rightarrow P \ S \ T$ **and**

resolveH : $\bigwedge L \ C \ M \ D \ T.$
 $\text{trail } S = \text{Propagated } L \ ((C + \{\#L\# \}) \ \# \ M$
 $\Rightarrow \text{conflicting } S = \text{Some } (D + \{\#-L\# \})$
 $\Rightarrow \text{get-maximum-level } (\text{Propagated } L \ (C + \{\#L\# \}) \ \# \ M) \ D = \text{backtrack-lvl } S$
 $\Rightarrow T \sim \text{update-conflicting } (\text{Some } (D \ \# \cup \ C)) \ (\text{tl-trail } S)$
 $\Rightarrow P \ S \ T$ **and**

backtrackH : $\bigwedge K \ i \ M1 \ M2 \ L \ D \ T.$
 $(\text{Decided } K \ (\text{Suc } i) \ \# \ M1, \ M2) \in \text{set } (\text{get-all-decided-decomposition } (\text{trail } S))$
 $\Rightarrow \text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S$
 $\Rightarrow \text{conflicting } S = \text{Some } (D + \{\#L\# \})$


```

     $\Rightarrow$  get-level (trail S) L = get-maximum-level (trail S) (D+{#L#})
     $\Rightarrow$  get-maximum-level (trail S) D  $\equiv$  i
     $\Rightarrow$  T  $\sim$  cons-trail (Propagated L (D+{#L#}))
      (reduce-trail-to M1
        (add-learned-cls (D + {#L#}))
        (update-backtrack-lvl i
          (update-conflicting None S))))
     $\Rightarrow$  P S T
shows P S T
using cdclW-o apply (induct T rule: cdclW-o.induct)
  using assms(2) apply auto[1]
apply (elim cdclW-bjE skipE resolveE backtrackE)
  apply (frule skipH; simp)
  apply (frule resolveH; simp)
apply (frule backtrackH; simp-all del: state-simp add: state-eq-def)
done

thm cdclW-o.induct
lemma cdclW-o-all-rules-induct[consumes 1, case-names decide backtrack skip resolve]:
  fixes S T :: 'st
  assumes
    cdclW-o S T and
     $\bigwedge T. \text{decide } S T \Rightarrow P S T$  and
     $\bigwedge T. \text{backtrack } S T \Rightarrow P S T$  and
     $\bigwedge T. \text{skip } S T \Rightarrow P S T$  and
     $\bigwedge T. \text{resolve } S T \Rightarrow P S T$ 
  shows P S T
  using assms by (induct T rule: cdclW-o.induct) (auto simp: cdclW-bj.simps)

lemma cdclW-o-rule-cases[consumes 1, case-names decide backtrack skip resolve]:
  fixes S T :: 'st
  assumes
    cdclW-o S T and
    decide S T  $\Rightarrow$  P and
    backtrack S T  $\Rightarrow$  P and
    skip S T  $\Rightarrow$  P and
    resolve S T  $\Rightarrow$  P
  shows P
  using assms by (auto simp: cdclW-o.simps cdclW-bj.simps)

```

5.4 Invariants

5.4.1 Properties of the trail

We here establish that: * the marks are exactly 1..k where k is the level * the consistency of the trail * the fact that there is no duplicate in the trail.

```

lemma backtrack-lit-skipped:
  assumes L: get-level (trail S) L = backtrack-lvl S
  and M1: (Decided K (i + 1) # M1, M2)  $\in$  set (get-all-decided-decomposition (trail S))
  and no-dup: no-dup (trail S)
  and bt-l: backtrack-lvl S = length (get-all-levels-of-decided (trail S))
  and order: get-all-levels-of-decided (trail S)
    = rev ([1.. $<$ (1+length (get-all-levels-of-decided (trail S)))])
  shows atm-of L  $\notin$  atm-of ' lits-of M1
proof

```

let $?M = \text{trail } S$
 assume $L\text{-in-}M1$: $\text{atm-of } L \in \text{atm-of ' lits-of } M1$
 obtain c where Mc : $\text{trail } S = c @ M2 @ \text{Decided } K (i + 1) \# M1$ using $M1$ by *blast*
 have $\text{atm-of } L \notin \text{atm-of ' lits-of } c$
 using $L\text{-in-}M1$ *no-dup mk-disjoint-insert unfolding* Mc *lits-of-def* by *force*
 have $g\text{-}M\text{-eq-}g\text{-}M1$: $\text{get-level } ?M L = \text{get-level } M1 L$
 using $L\text{-in-}M1$ *unfolding* Mc by *auto*
 have g : $\text{get-all-levels-of-decided } M1 = \text{rev } [1..<\text{Suc } i]$
 using *order unfolding* Mc
 by (*auto simp del: upt-simps dest!: append-cons-eq-upt-length-i*
 simp add: rev-swap[symmetric])
 then have $\text{Max } (\text{set } (0 \# \text{get-all-levels-of-decided } (\text{rev } M1))) < \text{Suc } i$ by *auto*
 then have $\text{get-level } M1 L < \text{Suc } i$
 using *get-rev-level-less-max-get-all-levels-of-decided[of rev M1 0 L]* by *linarith*
 moreover have $\text{Suc } i \leq \text{backtrack-lvl } S$ using *bt-l* by (*simp add: Mc g*)
 ultimately show *False* using $L g\text{-}M\text{-eq-}g\text{-}M1$ by *auto*
 qed

lemma $\text{cdcl}_W\text{-distinctinv-1}$:

assumes
 $\text{cdcl}_W S S'$ and
 no-dup ($\text{trail } S$) and
 $\text{backtrack-lvl } S = \text{length } (\text{get-all-levels-of-decided } (\text{trail } S))$ and
 $\text{get-all-levels-of-decided } (\text{trail } S) = \text{rev } [1..<1+\text{length } (\text{get-all-levels-of-decided } (\text{trail } S))]$
 shows *no-dup* ($\text{trail } S'$)
 using *assms*
 proof (*induct rule: cdcl_W-all-induct*)
 case ($\text{backtrack } K i M1 M2 L D T$) note $\text{decomp} = \text{this}(1)$ and $L = \text{this}(2)$ and $T = \text{this}(6)$ and
 $n\text{-d} = \text{this}(7)$
 obtain c where Mc : $\text{trail } S = c @ M2 @ \text{Decided } K (i + 1) \# M1$
 using decomp by *auto*
 have *no-dup* ($M2 @ \text{Decided } K (i + 1) \# M1$)
 using Mc $n\text{-d}$ by *fastforce*
 moreover have $\text{atm-of } L \notin (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ ' set } M1$
 using *backtrack-lit-skipped[of S L K i M1 M2]* L decomp *backtrack.premis*
 by (*fastforce simp: lits-of-def*)
 moreover then have *undefined-lit* $M1 L$
 by (*simp add: defined-lit-map*)
 ultimately show *?case* using $\text{decomp } T n\text{-d}$ by *simp*
 qed (*auto simp: defined-lit-map*)

lemma $\text{cdcl}_W\text{-consistent-inv-2}$:

assumes
 $\text{cdcl}_W S S'$ and
 no-dup ($\text{trail } S$) and
 $\text{backtrack-lvl } S = \text{length } (\text{get-all-levels-of-decided } (\text{trail } S))$ and
 $\text{get-all-levels-of-decided } (\text{trail } S) = \text{rev } [1..<1+\text{length } (\text{get-all-levels-of-decided } (\text{trail } S))]$
 shows *consistent-interp* (*lits-of* ($\text{trail } S'$))
 using $\text{cdcl}_W\text{-distinctinv-1}$ [*OF assms*] *distinctconsistent-interp* by *fast*

lemma $\text{cdcl}_W\text{-o-bt}$:

assumes
 $\text{cdcl}_W\text{-o } S S'$ and
 $\text{backtrack-lvl } S = \text{length } (\text{get-all-levels-of-decided } (\text{trail } S))$ and
 $\text{get-all-levels-of-decided } (\text{trail } S) =$

$rev ([1..<(1+length (get-all-levels-of-decided (trail S)))])$ **and**
 $n-d[simp]: no-dup (trail S)$
shows $backtrack-lvl S' = length (get-all-levels-of-decided (trail S'))$
using *assms*
proof (*induct rule: cdcl_W-o-induct*)
case ($backtrack K i M1 M2 L D T$) **note** $decomp = this(1)$ **and** $T = this(6)$ **and** $level = this(8)$
have $[simp]: trail (reduce-trail-to M1 S) = M1$
using *decomp* **by** *auto*
obtain c **where** $M: trail S = c @ M2 @ Decided K (i + 1) \# M1$ **using** *decomp* **by** *auto*
have $rev (get-all-levels-of-decided (trail S))$
 $= [1..<1 + (length (get-all-levels-of-decided (trail S)))]$
using *level* **by** (*auto simp: rev-swap[symmetric]*)
moreover **have** $atm-of L \notin (\lambda l. atm-of (lit-of l))$ ‘*set M1*
using *backtrack-lit-skipped[of S L K i M1 M2]* *backtrack(2,7,8,9) decomp*
by (*fastforce simp add: lits-of-def*)
moreover **then** **have** *undefined-lit M1 L*
by (*simp add: defined-lit-map*)
moreover **then** **have** *no-dup (trail T)*
using $T decomp n-d$ **by** (*auto simp: defined-lit-map M*)
ultimately **show** *?case*
using $T n-d$ **unfolding** M **by** (*auto dest!: append-cons-eq-upt-length simp del: upt-simps*)
qed *auto*

lemma *cdcl_W-rf-bt*:
assumes
 $cdcl_W rf S S'$ **and**
 $backtrack-lvl S = length (get-all-levels-of-decided (trail S))$ **and**
 $get-all-levels-of-decided (trail S) = rev ([1..<(1+length (get-all-levels-of-decided (trail S)))])$
shows $backtrack-lvl S' = length (get-all-levels-of-decided (trail S'))$
using *assms* **by** (*induct rule: cdcl_W-rf.induct*) *auto*

lemma *cdcl_W-bt*:
assumes
 $cdcl_W S S'$ **and**
 $backtrack-lvl S = length (get-all-levels-of-decided (trail S))$ **and**
 $get-all-levels-of-decided (trail S)$
 $= rev ([1..<(1+length (get-all-levels-of-decided (trail S)))])$ **and**
 $no-dup (trail S)$
shows $backtrack-lvl S' = length (get-all-levels-of-decided (trail S'))$
using *assms* **by** (*induct rule: cdcl_W.induct*) (*auto simp add: cdcl_W-o-bt cdcl_W-rf-bt*)

lemma *cdcl_W-bt-level'*:
assumes
 $cdcl_W S S'$ **and**
 $backtrack-lvl S = length (get-all-levels-of-decided (trail S))$ **and**
 $get-all-levels-of-decided (trail S)$
 $= rev ([1..<(1+length (get-all-levels-of-decided (trail S)))])$ **and**
 $n-d: no-dup (trail S)$
shows $get-all-levels-of-decided (trail S')$
 $= rev ([1..<(1+length (get-all-levels-of-decided (trail S')))])$
using *assms*
proof (*induct rule: cdcl_W-all-induct*)
case ($decide L T$) **note** $undef = this(2)$ **and** $T = this(4)$
let $?k = backtrack-lvl S$
let $?M = trail S$

```

let ?M' = Decided L (?k + 1) # trail S
have H: get-all-levels-of-decided ?M = rev [Suc 0.. $1 + \text{length (get-all-levels-of-decided ?M)}$ ]
  using decide.premis by simp
have k: ?k = length (get-all-levels-of-decided ?M)
  using decide.premis by auto
have get-all-levels-of-decided ?M' = Suc ?k # get-all-levels-of-decided ?M by simp
then have get-all-levels-of-decided ?M' = Suc ?k #
  rev [Suc 0.. $1 + \text{length (get-all-levels-of-decided ?M)}$ ]
  using H by auto
moreover have ... = rev [Suc 0.. $\text{Suc (1 + length (get-all-levels-of-decided ?M))}$ ]
  unfolding k by simp
finally show ?case using T undef by (auto simp add: defined-lit-map)
next
case (backtrack K i M1 M2 L D T) note decomp = this(1) and confli = this(2) and T = this(6)
and
  all-decided = this(8) and bt-lvl = this(7)
have atm-of L  $\notin (\lambda l. \text{atm-of (lit-of l)})$  ' set M1
  using backtrack-lit-skipped[of S L K i M1 M2] backtrack(2,7,8,9) decomp
  by (fastforce simp add: lits-of-def)
moreover then have undefined-lit M1 L
  by (simp add: defined-lit-map)
then have [simp]: trail T = Propagated L (D + {#L#}) # M1
  using T decomp n-d by auto
obtain c where M: trail S = c @ M2 @ Decided K (i + 1) # M1 using decomp by auto
have get-all-levels-of-decided (rev (trail S))
  = [Suc 0.. $2 + \text{length (get-all-levels-of-decided c)} + (\text{length (get-all-levels-of-decided M2)} + \text{length (get-all-levels-of-decided M1)})$ ]
  using all-decided bt-lvl unfolding M by (auto simp add: rev-swap[symmetric] simp del: upt-simps)
then show ?case
  using T by (auto simp add: rev-swap M dest!: append-cons-eq-upt(1) simp del: upt-simps)
qed auto

```

We write $1 + \text{length (get-all-levels-of-decided (trail S))}$ instead of $\text{backtrack-lvl } S$ to avoid non termination of rewriting.

definition $\text{cdcl}_W\text{-}M\text{-level-inv } (S :: 'st) \longleftrightarrow$
 $\text{consistent-interp (lits-of (trail S))}$
 $\wedge \text{no-dup (trail S)}$
 $\wedge \text{backtrack-lvl } S = \text{length (get-all-levels-of-decided (trail S))}$
 $\wedge \text{get-all-levels-of-decided (trail S)}$
 $= \text{rev ([1.. $1 + \text{length (get-all-levels-of-decided (trail S))}$])}$

lemma $\text{cdcl}_W\text{-}M\text{-level-inv-decomp}$:
assumes $\text{cdcl}_W\text{-}M\text{-level-inv } S$
shows $\text{consistent-interp (lits-of (trail S))}$
and no-dup (trail S)
using *assms* **unfolding** $\text{cdcl}_W\text{-}M\text{-level-inv-def}$ **by** *fastforce+*

lemma $\text{cdcl}_W\text{-consistent-inv}$:
fixes $S S' :: 'st$
assumes
 $\text{cdcl}_W S S'$ **and**
 $\text{cdcl}_W\text{-}M\text{-level-inv } S$
shows $\text{cdcl}_W\text{-}M\text{-level-inv } S'$
using *assms* $\text{cdcl}_W\text{-consistent-inv-2}$ $\text{cdcl}_W\text{-distinctinv-1}$ $\text{cdcl}_W\text{-bt}$ $\text{cdcl}_W\text{-bt-level'}$
unfolding $\text{cdcl}_W\text{-}M\text{-level-inv-def}$ **by** *meson+*

```

lemma rtrancpl-cdclW-consistent-inv:
  assumes cdclW** S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms by (induct rule: rtrancpl-induct)
  (auto intro: cdclW-consistent-inv)

lemma trancpl-cdclW-consistent-inv:
  assumes cdclW++ S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms by (induct rule: trancpl-induct)
  (auto intro: cdclW-consistent-inv)

lemma cdclW-M-level-inv-S0-cdclW[simp]:
  cdclW-M-level-inv (init-state N)
  unfolding cdclW-M-level-inv-def by auto

lemma cdclW-M-level-inv-get-level-le-backtrack-lvl:
  assumes inv: cdclW-M-level-inv S
  shows get-level (trail S) L ≤ backtrack-lvl S
proof –
  have get-all-levels-of-decided (trail S) = rev [1.. $1 + \text{backtrack-lvl } S$ ]
    using inv unfolding cdclW-M-level-inv-def by auto
  then show ?thesis
    using get-rev-level-less-max-get-all-levels-of-decided[of rev (trail S) 0 L]
    by (auto simp: Max-n-upt)
qed

lemma backtrack-ex-decomp:
  assumes M-l: cdclW-M-level-inv S
  and i-S: i < backtrack-lvl S
  shows  $\exists K\ M1\ M2. (\text{Decided } K\ (i + 1) \# M1, M2) \in \text{set } (\text{get-all-decided-decomposition } (\text{trail } S))$ 
proof –
  let ?M = trail S
  have
    g: get-all-levels-of-decided (trail S) = rev [Suc 0.. $\text{Suc } (\text{backtrack-lvl } S)$ ]
    using M-l unfolding cdclW-M-level-inv-def by simp-all
  then have  $i+1 \in \text{set } (\text{get-all-levels-of-decided } (\text{trail } S))$ 
    using i-S by auto

  then obtain c K c' where tr-S: trail S = c @ Decided K (i + 1) # c'
    using in-get-all-levels-of-decided-iff-decomp[of i+1 trail S] by auto

  obtain M1 M2 where  $(\text{Decided } K\ (i + 1) \# M1, M2) \in \text{set } (\text{get-all-decided-decomposition } (\text{trail } S))$ 
    unfolding tr-S apply (induct c rule: ann-literal-list-induct)
    apply auto[2]
    apply (rename-tac L m xs,
      case-tac hd (get-all-decided-decomposition (xs @ Decided K (Suc i) # c')))
    apply (case-tac get-all-decided-decomposition (xs @ Decided K (Suc i) # c'))
    by auto
  then show ?thesis by blast
qed

```

5.4.2 Better-Suited Induction Principle

We generalise the induction principle defined previously: the induction case for *backtrack* now includes the assumption that *undefined-lit* $M1\ L$. This helps the simplifier and thus the automation.

lemma *backtrack-induction-lev*[consumes 1, case-names *M-devel-inv backtrack*]:

```

assumes
  bt: backtrack  $S\ T$  and
  inv:  $cdcl_W$ -M-level-inv  $S$  and
  backtrackH:  $\bigwedge K\ i\ M1\ M2\ L\ D\ T.$ 
    ( $Decided\ K\ (Suc\ i)\ \# \ M1,\ M2) \in set\ (get\_all\_decided\_decomposition\ (trail\ S))$ 
     $\implies get\_level\ (trail\ S)\ L = backtrack\_lvl\ S$ 
     $\implies conflicting\ S = Some\ (D + \{\#L\# \})$ 
     $\implies get\_level\ (trail\ S)\ L = get\_maximum\_level\ (trail\ S)\ (D + \{\#L\# \})$ 
     $\implies get\_maximum\_level\ (trail\ S)\ D \equiv i$ 
     $\implies undefined\_lit\ M1\ L$ 
     $\implies T \sim cons\_trail\ (Propagated\ L\ (D + \{\#L\# \}))$ 
      ( $reduce\_trail\_to\ M1$ 
        ( $add\_learned\_cls\ (D + \{\#L\# \})$ 
          ( $update\_backtrack\_lvl\ i$ 
            ( $update\_conflicting\ None\ S))))$ 
     $\implies P\ S\ T$ 
shows  $P\ S\ T$ 
proof -
obtain  $K\ i\ M1\ M2\ L\ D$  where
  decomp: ( $Decided\ K\ (Suc\ i)\ \# \ M1,\ M2) \in set\ (get\_all\_decided\_decomposition\ (trail\ S))$  and
  L:  $get\_level\ (trail\ S)\ L = backtrack\_lvl\ S$  and
  confl:  $conflicting\ S = Some\ (D + \{\#L\# \})$  and
  lev-L:  $get\_level\ (trail\ S)\ L = get\_maximum\_level\ (trail\ S)\ (D + \{\#L\# \})$  and
  lev-D:  $get\_maximum\_level\ (trail\ S)\ D \equiv i$  and
  T:  $T \sim cons\_trail\ (Propagated\ L\ (D + \{\#L\# \}))$ 
    ( $reduce\_trail\_to\ M1$ 
      ( $add\_learned\_cls\ (D + \{\#L\# \})$ 
        ( $update\_backtrack\_lvl\ i$ 
          ( $update\_conflicting\ None\ S))))$ 
using bt by ( $elim\ backtrackE$ ) metis

have  $atm\_of\ L \notin (\lambda l. atm\_of\ (lit\_of\ l))\ 'set\ M1$ 
using  $backtrack\_lit\_skipped[of\ S\ L\ K\ i\ M1\ M2]\ L\ decomp\ bt\ confl\ lev-L\ lev-D\ inv$ 
unfolding  $cdcl_W$ -M-level-inv-def
by ( $fastforce\ simp\ add: lits-of-def$ )
then have  $undefined\_lit\ M1\ L$ 
by ( $auto\ simp: defined-lit-map$ )
then show ?thesis
using backtrackH[ $OF\ decomp\ L\ confl\ lev-L\ lev-D - T$ ] by simp
qed

```

lemmas *backtrack-induction-lev2* = *backtrack-induction-lev*[consumes 2, case-names *backtrack*]

lemma *cdcl_W-all-induct-lev-full*:

fixes $S :: 'st$

assumes

$cdcl_W$: $cdcl_W\ S\ S'$ **and**

$inv[simp]$: $cdcl_W$ -*M-level-inv* S **and**

$propagateH$: $\bigwedge C\ L\ T. C + \{\#L\# \} \in \# \ clauses\ S \implies trail\ S \models_{as} CNot\ C$

$\Rightarrow \text{undefined-lit } (\text{trail } S) \ L \Rightarrow \text{conflicting } S = \text{None}$
 $\Rightarrow T \sim \text{cons-trail } (\text{Propagated } L \ (C + \{\#L\# \})) \ S$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
conflictH: $\bigwedge D \ T. \ D \in \# \text{ clauses } S \Rightarrow \text{conflicting } S = \text{None} \Rightarrow \text{trail } S \models_{\text{as}} \text{CNot } D$
 $\Rightarrow T \sim \text{update-conflicting } (\text{Some } D) \ S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
forgetH: $\bigwedge C \ T. \neg \text{trail } S \models_{\text{asm}} \text{clauses } S$
 $\Rightarrow C \notin \text{set } (\text{get-all-mark-of-propagated } (\text{trail } S))$
 $\Rightarrow C \notin \# \text{ init-clss } S$
 $\Rightarrow C \in \# \text{ learned-clss } S$
 $\Rightarrow \text{conflicting } S = \text{None}$
 $\Rightarrow T \sim \text{remove-cl } C \ S$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
restartH: $\bigwedge T. \neg \text{trail } S \models_{\text{asm}} \text{clauses } S$
 $\Rightarrow \text{conflicting } S = \text{None}$
 $\Rightarrow T \sim \text{restart-state } S$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
decideH: $\bigwedge L \ T. \text{conflicting } S = \text{None} \Rightarrow \text{undefined-lit } (\text{trail } S) \ L$
 $\Rightarrow \text{atm-of } L \in \text{atms-of-msu } (\text{init-clss } S)$
 $\Rightarrow T \sim \text{cons-trail } (\text{Decided } L \ (\text{backtrack-lvl } S + 1)) \ (\text{incr-lvl } S)$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
skipH: $\bigwedge L \ C' \ M \ D \ T. \text{trail } S = \text{Propagated } L \ C' \ \# \ M$
 $\Rightarrow \text{conflicting } S = \text{Some } D \Rightarrow -L \notin \# \ D \Rightarrow D \neq \{\#\}$
 $\Rightarrow T \sim \text{tl-trail } S$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
resolveH: $\bigwedge L \ C \ M \ D \ T.$
 $\text{trail } S = \text{Propagated } L \ ((C + \{\#L\# \})) \ \# \ M$
 $\Rightarrow \text{conflicting } S = \text{Some } (D + \{\#-L\# \})$
 $\Rightarrow \text{get-maximum-level } (\text{Propagated } L \ (C + \{\#L\# \}) \ \# \ M) \ D = \text{backtrack-lvl } S$
 $\Rightarrow T \sim (\text{update-conflicting } (\text{Some } (D \ \# \cup \ C)) \ (\text{tl-trail } S))$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T \ \mathbf{and}$
backtrackH: $\bigwedge K \ i \ M1 \ M2 \ L \ D \ T.$
 $(\text{Decided } K \ (\text{Suc } i) \ \# \ M1, \ M2) \in \text{set } (\text{get-all-decided-decomposition } (\text{trail } S))$
 $\Rightarrow \text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S$
 $\Rightarrow \text{conflicting } S = \text{Some } (D + \{\#L\# \})$
 $\Rightarrow \text{get-maximum-level } (\text{trail } S) \ (D + \{\#L\# \}) = \text{get-level } (\text{trail } S) \ L$
 $\Rightarrow \text{get-maximum-level } (\text{trail } S) \ D \equiv i$
 $\Rightarrow \text{undefined-lit } M1 \ L$
 $\Rightarrow T \sim \text{cons-trail } (\text{Propagated } L \ (D + \{\#L\# \}))$
 $\quad (\text{reduce-trail-to } M1$
 $\quad \quad (\text{add-learned-cl } (D + \{\#L\# \})$
 $\quad \quad \quad (\text{update-backtrack-lvl } i$
 $\quad \quad \quad \quad (\text{update-conflicting } \text{None } S))))$
 $\Rightarrow \text{cdcl}_W\text{-M-level-inv } S$
 $\Rightarrow P \ S \ T$
shows $P \ S \ S'$
using cdcl_W
proof (*induct* S' *rule*: $\text{cdcl}_W\text{-all-rules-induct}$)
case (*propagate* S')

```

  then show ?case by (elim propagateE) (frule propagateH; simp)
next
  case (conflict S')
  then show ?case by (elim conflictE) (frule conflictH; simp)
next
  case (restart S')
  then show ?case by (elim restartE) (frule restartH; simp)
next
  case (decide T)
  then show ?case by (elim decideE) (frule decideH; simp)
next
  case (backtrack S')
  then show ?case
    apply (induction rule: backtrack-induction-lev)
    apply (rule inv)
    by (rule backtrackH;
        fastforce simp del: state-simp simp add: state-eq-def dest!: HOL.meta-eq-to-obj-eq)
next
  case (forget S')
  then show ?case using forgetH by auto
next
  case (skip S')
  then show ?case using skipH by auto
next
  case (resolve S')
  then show ?case by (elim resolveE) (frule resolveH; simp)
qed

lemmas cdclW-all-induct-lev2 = cdclW-all-induct-lev-full[consumes 2, case-names propagate conflict
forget restart decide skip resolve backtrack]

lemmas cdclW-all-induct-lev = cdclW-all-induct-lev-full[consumes 1, case-names lev-inv propagate
conflict forget restart decide skip resolve backtrack]

thm cdclW-o-induct
lemma cdclW-o-induct-lev[consumes 1, case-names M-lev decide skip resolve backtrack]:
  fixes S :: 'st
  assumes
    cdclW: cdclW-o S T and
    inv[simp]: cdclW-M-level-inv S and
    decideH:  $\bigwedge L T. \text{conflicting } S = \text{None} \implies \text{undefined-lit } (\text{trail } S) L$ 
       $\implies \text{atm-of } L \in \text{atms-of-msu } (\text{init-clss } S)$ 
       $\implies T \sim \text{cons-trail } (\text{Decided } L (\text{backtrack-lvl } S + 1)) (\text{incr-lvl } S)$ 
       $\implies \text{cdcl}_W\text{-M-level-inv } S$ 
       $\implies P S T$  and
    skipH:  $\bigwedge L C' M D T. \text{trail } S = \text{Propagated } L C' \# M$ 
       $\implies \text{conflicting } S = \text{Some } D \implies -L \notin \# D \implies D \neq \{\#\}$ 
       $\implies T \sim \text{tl-trail } S$ 
       $\implies \text{cdcl}_W\text{-M-level-inv } S$ 
       $\implies P S T$  and
    resolveH:  $\bigwedge L C M D T. \text{trail } S = \text{Propagated } L (C + \{\#L\}) \# M$ 
       $\implies \text{conflicting } S = \text{Some } (D + \{\#-L\})$ 
       $\implies \text{get-maximum-level } (\text{Propagated } L (C + \{\#L\}) \# M) D = \text{backtrack-lvl } S$ 
       $\implies T \sim \text{update-conflicting } (\text{Some } (D \# \cup C)) (\text{tl-trail } S)$ 

```



```

     $\Rightarrow$  cdclW-M-level-inv S
     $\Rightarrow$  P S T and
    backtrackH:  $\bigwedge K\ i\ M1\ M2\ L\ D\ T.$ 
    (Decided K (Suc i) # M1, M2)  $\in$  set (get-all-decided-decomposition (trail S))
     $\Rightarrow$  get-level (trail S) L = backtrack-lvl S
     $\Rightarrow$  conflicting S = Some (D + {#L#})
     $\Rightarrow$  get-level (trail S) L = get-maximum-level (trail S) (D+{#L#})
     $\Rightarrow$  get-maximum-level (trail S) D  $\equiv$  i
     $\Rightarrow$  undefined-lit M1 L
     $\Rightarrow$  T  $\sim$  cons-trail (Propagated L (D+{#L#}))
      (reduce-trail-to M1
       (add-learned-cls (D + {#L#})
        (update-backtrack-lvl i
         (update-conflicting None S))))
     $\Rightarrow$  cdclW-M-level-inv S
     $\Rightarrow$  P S T
shows P S T
using cdclW
proof (induct S T rule: cdclW-o-all-rules-induct)
case (decide T)
  then show ?case by (elim decideE) (frule decideH; simp)
next
case (backtrack S')
then show ?case
  using inv apply (induction rule: backtrack-induction-lev2)
  by (rule backtrackH)
    (fastforce simp del: state-simp simp add: state-eq-def dest!: HOL.meta-eq-to-obj-eq)+
next
case (skip S')
then show ?case using skipH by auto
next
case (resolve S')
then show ?case by (elim resolveE) (frule resolveH; simp)
qed

lemmas cdclW-o-induct-lev2 = cdclW-o-induct-lev[consumes 2, case-names decide skip resolve
backtrack]

```

5.4.3 Compatibility with $op \sim$

```

lemma propagate-state-eq-compatible:
assumes
  propagate S T and
  S  $\sim$  S' and
  T  $\sim$  T'
shows propagate S' T'
using assms apply (elim propagateE)
apply (rule propagate-rule)
by (auto simp: state-eq-def clauses-def simp del: state-simp)

lemma conflict-state-eq-compatible:
assumes
  conflict S T and
  S  $\sim$  S' and
  T  $\sim$  T'
shows conflict S' T'

```

```

using assms apply (elim conflictE)
apply (rule conflict-rule)
by (auto simp: state-eq-def clauses-def simp del: state-simp)

```

lemma *backtrack-state-eq-compatible:*

```

assumes
  backtrack S T and
  S ~ S' and
  T ~ T' and
  inv: cdclW-M-level-inv S
shows backtrack S' T'
using assms apply (induction rule: backtrack-induction-lev)
  using inv apply simp
apply (rule backtrack-rule)
  apply auto[5]
by (auto simp: state-eq-def clauses-def cdclW-M-level-inv-def simp del: state-simp)

```

lemma *decide-state-eq-compatible:*

```

assumes
  decide S T and
  S ~ S' and
  T ~ T'
shows decide S' T'
using assms apply (elim decideE)
apply (rule decide-rule)
by (auto simp: state-eq-def clauses-def simp del: state-simp)

```

lemma *skip-state-eq-compatible:*

```

assumes
  skip S T and
  S ~ S' and
  T ~ T'
shows skip S' T'
using assms apply (elim skipE)
apply (rule skip-rule)
by (auto simp: state-eq-def clauses-def HOL.eq-sym-conv[of - # - trail -]
  simp del: state-simp dest: arg-cong[of - # trail - trail - tl])

```

lemma *resolve-state-eq-compatible:*

```

assumes
  resolve S T and
  S ~ S' and
  T ~ T'
shows resolve S' T'
using assms apply (elim resolveE)
apply (rule resolve-rule)
by (auto simp: state-eq-def clauses-def HOL.eq-sym-conv[of - # - trail -]
  simp del: state-simp dest: arg-cong[of - # trail - trail - tl])

```

lemma *forget-state-eq-compatible:*

```

assumes
  forget S T and
  S ~ S' and
  T ~ T'
shows forget S' T'

```

```

using assms apply (elim forgetE)
apply (rule forget-rule)
by (auto simp: state-eq-def clauses-def HOL.eq-sym-conv[of {#-#} + - -]
    simp del: state-simp dest: arg-cong[of - # trail - trail - tl])

```

lemma *cdcl_W-state-eq-compatible*:

```

assumes
  cdclW S T and ¬restart S T and
  S ~ S' and
  T ~ T' and
  inv: cdclW-M-level-inv S
shows cdclW S' T'
using assms by (meson assms backtrack-state-eq-compatible bj cdclW.simps cdclW-bj.simps
  cdclW-o-rule-cases cdclW-rf.cases cdclW-rf.restart conflict-state-eq-compatible decide
  decide-state-eq-compatible forget forget-state-eq-compatible
  propagate-state-eq-compatible resolve-state-eq-compatible
  skip-state-eq-compatible)

```

lemma *cdcl_W-bj-state-eq-compatible*:

```

assumes
  cdclW-bj S T and cdclW-M-level-inv S
  S ~ S' and
  T ~ T'
shows cdclW-bj S' T'
using assms
by induction (auto
  intro: skip-state-eq-compatible backtrack-state-eq-compatible resolve-state-eq-compatible)

```

lemma *trancpl-cdcl_W-bj-state-eq-compatible*:

```

assumes
  cdclW-bj++ S T and inv: cdclW-M-level-inv S and
  S ~ S' and
  T ~ T'
shows cdclW-bj++ S' T'
using assms
proof (induction arbitrary: S' T')
  case base
  then show ?case
    using cdclW-bj-state-eq-compatible by blast
next
  case (step T U) note IH = this(3)[OF this(4-5)]
  have cdclW++ S T
    using trancpl-mono[of cdclW-bj cdclW] other step.hyps(1) by blast
  then have cdclW-M-level-inv T
    using inv trancpl-cdclW-consistent-inv by blast
  then have cdclW-bj++ T T'
    using ⟨U ~ T'⟩ cdclW-bj-state-eq-compatible[of T U] ⟨cdclW-bj T U⟩ by auto
  then show ?case
    using IH[of T] by auto
qed

```

5.4.4 Conservation of some Properties

lemma *level-of-decided-ge-1*:

```

assumes
  cdclW S S' and

```

inv: cdcl_W-M-level-inv S and
∀ L l. Decided L l ∈ set (trail S) ⟶ l > 0
shows *∀ L l. Decided L l ∈ set (trail S') ⟶ l > 0*
using *assms apply (induct rule: cdcl_W-all-induct-lev2)*
by *(auto dest: union-in-get-all-decided-decomposition-is-subset simp: cdcl_W-M-level-inv-decomp)*

lemma *cdcl_W-o-no-more-init-clss:*

assumes
cdcl_W-o S S' and
inv: cdcl_W-M-level-inv S
shows *init-clss S = init-clss S'*
using *assms by (induct rule: cdcl_W-o-induct-lev2) (auto simp: cdcl_W-M-level-inv-decomp)*

lemma *trancpl-cdcl_W-o-no-more-init-clss:*

assumes
cdcl_W-o⁺⁺ S S' and
inv: cdcl_W-M-level-inv S
shows *init-clss S = init-clss S'*
using *assms apply (induct rule: trancpl.induct)*
by *(auto dest: cdcl_W-o-no-more-init-clss*
dest!: trancpl-cdcl_W-consistent-inv dest: trancpl-mono-explicit[of cdcl_W-o - - cdcl_W]
simp: other)

lemma *rtrancpl-cdcl_W-o-no-more-init-clss:*

assumes
*cdcl_W-o^{**} S S' and*
inv: cdcl_W-M-level-inv S
shows *init-clss S = init-clss S'*
using *assms unfolding rttrancpl-unfold by (auto intro: trancpl-cdcl_W-o-no-more-init-clss)*

lemma *cdcl_W-init-clss:*

cdcl_W S T ⟹ cdcl_W-M-level-inv S ⟹ init-clss S = init-clss T
by *(induct rule: cdcl_W-all-induct-lev2) (auto simp: cdcl_W-M-level-inv-def)*

lemma *rtrancpl-cdcl_W-init-clss:*

*cdcl_W^{**} S T ⟹ cdcl_W-M-level-inv S ⟹ init-clss S = init-clss T*
by *(induct rule: rttrancpl-induct) (auto dest: cdcl_W-init-clss rttrancpl-cdcl_W-consistent-inv)*

lemma *trancpl-cdcl_W-init-clss:*

cdcl_W⁺⁺ S T ⟹ cdcl_W-M-level-inv S ⟹ init-clss S = init-clss T
using *rttrancpl-cdcl_W-init-clss[of S T] unfolding rttrancpl-unfold by auto*

5.4.5 Learned Clause

This invariant shows that:

- the learned clauses are entailed by the initial set of clauses.
- the conflicting clause is entailed by the initial set of clauses.
- the marks are entailed by the clauses. A more precise version would be to show that either these decided are learned or are in the set of clauses

definition *cdcl_W-learned-clause (S:: 'st) ⟷*
(init-clss S ⊨_{psm} learned-clss S

$\wedge (\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{init-clss } S \models_{pm} T)$
 $\wedge \text{set } (\text{get-all-mark-of-propagated } (\text{trail } S)) \subseteq \text{set-mset } (\text{clauses } S)$

lemma *cdcl_W-learned-clause-S0-cdcl_W[simp]*:
cdcl_W-learned-clause (*init-state* *N*)
unfolding *cdcl_W-learned-clause-def* **by** *auto*

lemma *cdcl_W-learned-clss*:

assumes
cdcl_W *S S'* **and**
learned: *cdcl_W-learned-clause* *S* **and**
lev-inv: *cdcl_W-M-level-inv* *S*

shows *cdcl_W-learned-clause* *S'*

using *assms*(1) *lev-inv* *learned*

proof (*induct rule*: *cdcl_W-all-induct-lev2*)

case (*backtrack* *K i M1 M2 L D T*) **note** *decomp* = *this*(1) **and** *confl* = *this*(3) **and** *undef* = *this*(6)
and *T* = *this*(7)

show ?*case*

using *decomp confl learned undef T lev-inv* **unfolding** *cdcl_W-learned-clause-def*
by (*auto dest*!: *get-all-decided-decomposition-exists-prepend*
simp: *clauses-def cdcl_W-M-level-inv-decomp dest*: *true-clss-clss-left-right*)

next

case (*resolve* *L C M D*) **note** *trail* = *this*(1) **and** *confl* = *this*(2) **and** *lvl* = *this*(3) **and**
T = *this*(4)

moreover

have *init-clss* *S* \models_{psm} *learned-clss* *S*
using *learned trail* **unfolding** *cdcl_W-learned-clause-def clauses-def* **by** *auto*
then have *init-clss* *S* \models_{pm} *C* + {#*L*#}
using *trail learned* **unfolding** *cdcl_W-learned-clause-def clauses-def*
by (*auto dest*: *true-clss-clss-in-imp-true-clss-clss*)

ultimately show ?*case*

using *learned*
by (*auto dest*: *mk-disjoint-insert true-clss-clss-left-right*
simp add: *cdcl_W-learned-clause-def clauses-def*
intro: *true-clss-clss-union-mset-true-clss-clss-or-not-true-clss-clss-or*)

next

case (*restart* *T*)
then show ?*case*
using *learned-clss-restart-state*[*of T*]
by (*auto dest*!: *get-all-decided-decomposition-exists-prepend*
simp: *clauses-def state-eq-def cdcl_W-learned-clause-def*
simp del: *state-simp*
dest: *true-clss-clssm-subsetE*)

next

case *propagate*
then show ?*case* **using** *learned* **by** (*auto simp*: *cdcl_W-learned-clause-def clauses-def*)

next

case *conflict*
then show ?*case* **using** *learned*
by (*auto simp*: *cdcl_W-learned-clause-def clauses-def true-clss-clss-in-imp-true-clss-clss*)

next

case *forget*
then show ?*case*
using *learned* **by** (*auto simp*: *cdcl_W-learned-clause-def clauses-def split*: *split-if-asm*)

qed (*auto simp: cdcl_W-learned-clause-def clauses-def*)

lemma *rtrancp-cdcl_W-learned-clss:*

assumes

*cdcl_W** S S' and*

cdcl_W-M-level-inv S

cdcl_W-learned-clause S

shows *cdcl_W-learned-clause S'*

using *assms by induction (auto dest: cdcl_W-learned-clss intro: rtrancp-cdcl_W-consistent-inv)*

5.4.6 No alien atom in the state

This invariant means that all the literals are in the set of clauses.

definition *no-strange-atm S' \longleftrightarrow (*

($\forall T$. conflicting S' = Some T \longrightarrow atms-of T \subseteq atms-of-msu (init-clss S'))

\wedge ($\forall L$ mark. Propagated L mark \in set (trail S')

\longrightarrow atms-of (mark) \subseteq atms-of-msu (init-clss S'))

\wedge atms-of-msu (learned-clss S') \subseteq atms-of-msu (init-clss S')

\wedge atm-of ' (lits-of (trail S')) \subseteq atms-of-msu (init-clss S'))

lemma *no-strange-atm-decomp:*

assumes *no-strange-atm S*

shows *conflicting S = Some T \implies atms-of T \subseteq atms-of-msu (init-clss S)*

and ($\forall L$ mark. Propagated L mark \in set (trail S)

\longrightarrow atms-of (mark) \subseteq atms-of-msu (init-clss S))

and *atms-of-msu (learned-clss S) \subseteq atms-of-msu (init-clss S)*

and *atm-of ' (lits-of (trail S)) \subseteq atms-of-msu (init-clss S)*

using *assms unfolding no-strange-atm-def by blast+*

lemma *no-strange-atm-S0 [simp]: no-strange-atm (init-state N)*

unfolding *no-strange-atm-def by auto*

lemma *cdcl_W-no-strange-atm-explicit:*

assumes

cdcl_W S S' and

lev: cdcl_W-M-level-inv S and

conf: $\forall T$. conflicting S = Some T \longrightarrow atms-of T \subseteq atms-of-msu (init-clss S) and

decided: $\forall L$ mark. Propagated L mark \in set (trail S)

\longrightarrow atms-of mark \subseteq atms-of-msu (init-clss S) and

learned: atms-of-msu (learned-clss S) \subseteq atms-of-msu (init-clss S) and

trail: atm-of ' (lits-of (trail S)) \subseteq atms-of-msu (init-clss S)

shows ($\forall T$. conflicting S' = Some T \longrightarrow atms-of T \subseteq atms-of-msu (init-clss S')) \wedge

($\forall L$ mark. Propagated L mark \in set (trail S')

\longrightarrow atms-of (mark) \subseteq atms-of-msu (init-clss S')) \wedge

atms-of-msu (learned-clss S') \subseteq atms-of-msu (init-clss S') \wedge

atm-of ' (lits-of (trail S')) \subseteq atms-of-msu (init-clss S') (**is** ?C S' \wedge ?M S' \wedge ?U S' \wedge ?V S')

using *assms(1,2)*

proof (*induct rule: cdcl_W-all-induct-lev2*)

case (*propagate C L T*) **note** *C-L = this(1) and undef = this(3) and confl = this(4) and T = this(5)*

have ?C (*cons-trail (Propagated L (C + {#L#})) S*) **using** *confl undef by auto*

moreover

have *atms-of (C + {#L#}) \subseteq atms-of-msu (init-clss S)*

by (*metis (no-types) atms-of-atms-of-ms-mono atms-of-ms-union clauses-def mem-set-mset-iff*

C-L learned set-mset-union sup.orderE)

then have ?M (*cons-trail (Propagated L (C + {#L#})) S*) **using** *undef*

```

    by (simp add: decided)
  moreover have ?U (cons-trail (Propagated L (C + {#L#}))) S
    using learned undef by auto
  moreover have ?V (cons-trail (Propagated L (C + {#L#}))) S
    using C-L learned trail undef unfolding clauses-def
    by (auto simp: in-plus-implies-atm-of-on-atms-of-ms)
  ultimately show ?case using T by auto
next
case (decide L)
then show ?case using learned decided conf trail unfolding clauses-def by auto
next
case (skip L C M D)
then show ?case using learned decided conf trail by auto
next
case (conflict D T) note T = this(4)
have D: atm-of ' set-mset D  $\subseteq$   $\bigcup$  (atms-of ' (set-mset (clauses S)))
  using 'D  $\in$  # clauses S' by (auto simp add: atms-of-def atms-of-ms-def)
moreover {
  fix xa :: 'v literal
  assume a1: atm-of ' set-mset D  $\subseteq$  ( $\bigcup$  x $\in$ set-mset (init-clss S). atms-of x)
     $\cup$  ( $\bigcup$  x $\in$ set-mset (learned-clss S). atms-of x)
  assume a2: ( $\bigcup$  x $\in$ set-mset (learned-clss S). atms-of x)  $\subseteq$  ( $\bigcup$  x $\in$ set-mset (init-clss S). atms-of x)
  assume xa  $\in$  # D
  then have atm-of xa  $\in$  UNION (set-mset (init-clss S)) atms-of
    using a2 a1 by (metis (no-types) Un-iff atm-of-lit-in-atms-of atms-of-def subset-Un-eq)
  then have  $\exists$  m $\in$ set-mset (init-clss S). atm-of xa  $\in$  atms-of m
    by blast
} note H = this
ultimately show ?case using conflict.premis T learned decided conf trail
  unfolding atms-of-def atms-of-ms-def clauses-def
  by (auto simp add: H )
next
case (restart T)
then show ?case using learned decided conf trail by auto
next
case (forget C T) note C = this(3) and C-le = this(4) and confl = this(5) and
  T = this(6)
have H:  $\bigwedge$  L mark. Propagated L mark  $\in$  set (trail S)  $\implies$  atms-of mark  $\subseteq$  atms-of-msu (init-clss S)
  using decided by simp
show ?case unfolding clauses-def apply standard
  using conf T trail C unfolding clauses-def apply (auto dest!: H)[]
  apply standard
  using T trail C apply (auto dest!: H)[]
  apply standard
  using T learned C C-le atms-of-ms-remove-subset[of set-mset (learned-clss S)] apply (auto)[]
  using T trail C apply (auto simp: clauses-def lits-of-def)[]
done
next
case (backtrack K i M1 M2 L D T) note decomp = this(1) and confl = this(3) and undef = this(6)
  and T = this(7)
have ?C T
  using conf T decomp undef lev by (auto simp: cdclW-M-level-inv-decomp)
moreover have set M1  $\subseteq$  set (trail S)
  using backtrack.hyps(1) by auto
then have M: ?M T

```

```

    using decided conf undef confl T decomp lev
    by (auto simp: image-subset-iff clauses-def cdclW-M-level-inv-decomp)
  moreover have ?U T
    using learned decomp conf confl T undef lev unfolding clauses-def
    by (auto simp: cdclW-M-level-inv-decomp)
  moreover have ?V T
    using M conf confl trail T undef decomp lev by (force simp: cdclW-M-level-inv-decomp)
  ultimately show ?case by blast
next
case (resolve L C M D T) note trail-S = this(1) and confl = this(2) and T = this(4)
let ?T = update-conflicting (Some (remdups-mset (D + C))) (tl-trail S)
have ?C ?T
  using confl trail-S conf decided by simp
moreover have ?M ?T
  using confl trail-S conf decided by auto
moreover have ?U ?T
  using trail learned by auto
moreover have ?V ?T
  using confl trail-S trail by auto
ultimately show ?case using T by auto
qed

lemma cdclW-no-strange-atm-inv:
  assumes cdclW S S' and no-strange-atm S and cdclW-M-level-inv S
  shows no-strange-atm S'
  using cdclW-no-strange-atm-explicit[OF assms(1)] assms(2,3) unfolding no-strange-atm-def by fast

lemma rtrancpl-cdclW-no-strange-atm-inv:
  assumes cdclW** S S' and no-strange-atm S and cdclW-M-level-inv S
  shows no-strange-atm S'
  using assms by induction (auto intro: cdclW-no-strange-atm-inv rtrancpl-cdclW-consistent-inv)

```

5.4.7 No duplicates all around

This invariant shows that there is no duplicate (no literal appearing twice in the formula). The last part could be proven using the previous invariant moreover.

definition *distinct-cdcl_W-state* (S::'st)
 $\longleftrightarrow ((\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{distinct-mset } T)$
 $\wedge \text{distinct-mset-mset } (\text{learned-clss } S)$
 $\wedge \text{distinct-mset-mset } (\text{init-clss } S)$
 $\wedge (\forall L \text{ mark. } (\text{Propagated } L \text{ mark} \in \text{set } (\text{trail } S) \longrightarrow \text{distinct-mset } (\text{mark}))))$

lemma *distinct-cdcl_W-state-decomp*:
 assumes *distinct-cdcl_W-state* (S::'st)
 shows $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{distinct-mset } T$
 and *distinct-mset-mset* (learned-clss S)
 and *distinct-mset-mset* (init-clss S)
 and $\forall L \text{ mark. } (\text{Propagated } L \text{ mark} \in \text{set } (\text{trail } S) \longrightarrow \text{distinct-mset } (\text{mark}))$
 using assms unfolding *distinct-cdcl_W-state-def* by blast+

lemma *distinct-cdcl_W-state-decomp-2*:
 assumes *distinct-cdcl_W-state* (S::'st)
 shows $\text{conflicting } S = \text{Some } T \implies \text{distinct-mset } T$
 using assms unfolding *distinct-cdcl_W-state-def* by auto


```

lemma distinct-cdclW-state-S0-cdclW[simp]:
  distinct-mset-mset N  $\implies$  distinct-cdclW-state (init-state N)
  unfolding distinct-cdclW-state-def by auto

lemma distinct-cdclW-state-inv:
  assumes
    cdclW S S' and
    cdclW-M-level-inv S and
    distinct-cdclW-state S
  shows distinct-cdclW-state S'
  using assms
proof (induct rule: cdclW-all-induct-lev2)
  case (backtrack K i M1 M2 L D)
  then show ?case
    unfolding distinct-cdclW-state-def
    by (fastforce dest: get-all-decided-decomposition-incl simp: cdclW-M-level-inv-decomp)
next
  case restart
  then show ?case unfolding distinct-cdclW-state-def distinct-mset-set-def clauses-def
  using learned-clss-restart-state[of S] by auto
next
  case resolve
  then show ?case
    by (auto simp add: distinct-cdclW-state-def distinct-mset-set-def clauses-def
      distinct-mset-single-add
      intro!: distinct-mset-union-mset)
qed (auto simp add: distinct-cdclW-state-def distinct-mset-set-def clauses-def)

lemma rtanclp-distinct-cdclW-state-inv:
  assumes
    cdclW** S S' and
    cdclW-M-level-inv S and
    distinct-cdclW-state S
  shows distinct-cdclW-state S'
  using assms apply (induct rule: rtanclp-induct)
  using distinct-cdclW-state-inv rtanclp-cdclW-consistent-inv by blast+

```

5.4.8 Conflicts and co

This invariant shows that each mark contains a contradiction only related to the previously defined variable.

abbreviation *every-mark-is-a-conflict* :: *'st* \Rightarrow *bool* **where**
every-mark-is-a-conflict S \equiv
 $\forall L \text{ mark } a \text{ b. } a @ \text{Propagated } L \text{ mark} \# b = (\text{trail } S)$
 $\longrightarrow (b \models_{as} \text{CNot } (\text{mark} - \{\#L\})) \wedge L \in \# \text{ mark}$

definition *cdcl_W-conflicting S* \equiv
 $(\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{as} \text{CNot } T)$
 $\wedge \text{every-mark-is-a-conflict } S$

lemma *backtrack-atms-of-D-in-M1*:
fixes *M1* :: (*'v*, *nat*, *'v clause*) *ann-literals*
assumes
inv: cdcl_W-M-level-inv S **and**
undef: undefined-lit M1 L **and**

i: *get-maximum-level* (*trail S*) *D* = *i* **and**
decomp: (*Decided K* (*Suc i*) # *M1*, *M2*)
 \in *set* (*get-all-decided-decomposition* (*trail S*)) **and**
S-lvl: *backtrack-lvl S* = *get-maximum-level* (*trail S*) (*D* + {#*L*#}) **and**
S-conf: *conflicting S* = *Some* (*D* + {#*L*#}) **and**
undef: *undefined-lit M1 L* **and**
T: *T* \sim (*cons-trail* (*Propagated L* (*D*+{#*L*#})))
(reduce-trail-to *M1*
(add-learned-cls (*D* + {#*L*#})
(update-backtrack-lvl *i*
(update-conflicting *None S*)))) **and**
conf: $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{\text{as}} \text{CNot } T$
shows *atms-of D* \subseteq *atm-of ' lits-of (tl (trail T))*
proof (*rule ccontr*)
let ?*k* = *get-maximum-level* (*trail S*) (*D* + {#*L*#})
have *trail S* \models_{as} *CNot D* **using** *conf* *S-conf* **by** *auto*
then have *vars-of-D*: *atms-of D* \subseteq *atm-of ' lits-of (trail S)* **unfolding** *atms-of-def*
by (*meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined*)

obtain *M0* **where** *M*: *trail S* = *M0* @ *M2* @ *Decided K* (*Suc i*) # *M1*
using *decomp* **by** *auto*

have *max*: *get-maximum-level* (*trail S*) (*D* + {#*L*#})
= *length* (*get-all-levels-of-decided* (*M0* @ *M2* @ *Decided K* (*Suc i*) # *M1*))
using *inv unfolding cdcl_W-M-level-inv-def S-lvl M* **by** *simp*
assume *a*: \neg ?*thesis*
then obtain *L'* **where**
L': *L' ∈ atms-of D* **and**
L'-notin-M1: *L' ∉ atm-of ' lits-of M1*
using *T undef decomp inv* **by** (*auto simp: cdcl_W-M-level-inv-decomp*)
then have *L'-in*: *L' ∈ atm-of ' lits-of (M0 @ M2 @ Decided K (i + 1) # [])*
using *vars-of-D unfolding M* **by** *force*
then obtain *L''* **where**
L'' ∈# D **and**
L'': L' = atm-of L''
using *L' L'-notin-M1 unfolding atms-of-def* **by** *auto*
have *lev-L''*:
get-level (*trail S*) *L''* = *get-rev-level* (*Decided K* (*Suc i*) # *rev M2* @ *rev M0*) (*Suc i*) *L''*
using *L'-notin-M1 L'' M* **by** (*auto simp del: get-rev-level.simps*)
have *get-all-levels-of-decided* (*trail S*) = *rev [1..*1*+?*k*]*
using *inv S-lvl unfolding cdcl_W-M-level-inv-def* **by** *auto*
then have *get-all-levels-of-decided* (*M0* @ *M2*)
= *rev [Suc (Suc i)..*Suc* (*get-maximum-level* (*trail S*) (*D* + {#*L*#}))]*
unfolding *M* **by** (*auto simp: rev-swap[symmetric] dest!: append-cons-eq-upt-length-i-end*)

then have *M*: *get-all-levels-of-decided* *M0* @ *get-all-levels-of-decided* *M2*
= *rev [Suc (Suc i)..*Suc* (*length* (*get-all-levels-of-decided* (*M0* @ *M2* @ *Decided K* (*Suc i*) # *M1*)))]*
unfolding *max unfolding M* **by** *simp*

have *get-rev-level* (*Decided K* (*Suc i*) # *rev (M0 @ M2)*) (*Suc i*) *L''*
 \geq *Min* (*set* ((*Suc i*) # *get-all-levels-of-decided* (*Decided K* (*Suc i*) # *rev (M0 @ M2)*)))
using *get-rev-level-ge-min-get-all-levels-of-decided[of L'']*
rev (M0 @ M2 @ [Decided K (Suc i)] Suc i] L'-in
unfolding *L''* **by** (*fastforce simp add: lits-of-def*)
also have *Min* (*set* ((*Suc i*) # *get-all-levels-of-decided* (*Decided K* (*Suc i*) # *rev (M0 @ M2)*)))

```

    = Min (set ((Suc i) # get-all-levels-of-decided (rev (M0 @ M2)))) by auto
also have ... = Min (set ((Suc i) # get-all-levels-of-decided M0 @ get-all-levels-of-decided M2))
    by (simp add: Un-commute)
also have ... = Min (set ((Suc i) # [Suc (Suc i)..<2 + length (get-all-levels-of-decided M0)
    + (length (get-all-levels-of-decided M2) + length (get-all-levels-of-decided M1))]))
    unfolding M by (auto simp add: Un-commute)
also have ... = Suc i by (auto intro: Min-eqI)
finally have get-rev-level (Decided K (Suc i) # rev (M0 @ M2)) (Suc i) L'' ≥ Suc i .
then have get-level (trail S) L'' ≥ i + 1
    using lev-L'' by simp
then have get-maximum-level (trail S) D ≥ i + 1
    using get-maximum-level-ge-get-level[OF ⟨L'' ∈# D⟩, of trail S] by auto
then show False using i by auto
qed

```

lemma *distinct-atms-of-incl-not-in-other:*

```

assumes
  a1: no-dup (M @ M') and a2:
  atms-of D ⊆ atm-of ' lits-of M'
shows ∀ x ∈ atms-of D. x ∉ atm-of ' lits-of M
proof -
  { fix aa :: 'a
    have ff1: ∧ l ms. undefined-lit ms l ∨ atm-of l
      ∈ set (map (λm. atm-of (lit-of (m::('a, 'b, 'c) ann-literal))) ms)
      by (simp add: defined-lit-map)
    have ff2: ∧ a. a ∉ atms-of D ∨ a ∈ atm-of ' lits-of M'
      using a2 by (meson subsetCE)
    have ff3: ∧ a. a ∉ set (map (λm. atm-of (lit-of m)) M')
      ∨ a ∉ set (map (λm. atm-of (lit-of m)) M)
      using a1 by (metis (lifting) IntI distinct-append empty-iff map-append)
    have ∀ L a f. ∃ l. ((a::'a) ∉ f ' L ∨ (l::'a literal) ∈ L) ∧ (a ∉ f ' L ∨ f l = a)
      by blast
    then have aa ∉ atms-of D ∨ aa ∉ atm-of ' lits-of M
      using ff3 ff2 ff1 by (metis (no-types) Decided-Propagated-in-iff-in-lits-of) }
  then show ?thesis
    by blast
qed

```

lemma *cdcl_W-propagate-is-conclusion:*

```

assumes
  cdclW S S' and
  inv: cdclW-M-level-inv S and
  decomp: all-decomposition-implies-m (init-clss S) (get-all-decided-decomposition (trail S)) and
  learned: cdclW-learned-clause S and
  conf1: ∀ T. conflicting S = Some T ⟶ trail S ⊨as CNot T and
  alien: no-strange-atm S
shows all-decomposition-implies-m (init-clss S') (get-all-decided-decomposition (trail S'))
using asms(1,2)
proof (induct rule: cdclW-all-induct-lev2)
  case restart
  then show ?case by auto
next
  case forget
  then show ?case using decomp by auto
next

```

```

case conflict
then show ?case using decomp by auto
next
case (resolve L C M D) note tr = this(1) and T = this(4)
let ?decomp = get-all-decided-decomposition M
have M: set ?decomp = insert (hd ?decomp) (set (tl ?decomp))
  by (cases ?decomp) auto
show ?case
  using decomp tr T unfolding all-decomposition-implies-def
  by (cases hd (get-all-decided-decomposition M))
    (auto simp: M)
next
case (skip L C' M D) note tr = this(1) and T = this(5)
have M: set (get-all-decided-decomposition M)
  = insert (hd (get-all-decided-decomposition M)) (set (tl (get-all-decided-decomposition M)))
  by (cases get-all-decided-decomposition M) auto
show ?case
  using decomp tr T unfolding all-decomposition-implies-def
  by (cases hd (get-all-decided-decomposition M))
    (auto simp add: M)
next
case decide note S = this(1) and undef = this(2) and T = this(4)
show ?case using decomp T undef unfolding S all-decomposition-implies-def by auto
next
case (propagate C L T) note propa = this(2) and undef = this(3) and T = this(5)
obtain a y where ay: hd (get-all-decided-decomposition (trail S)) = (a, y)
  by (cases hd (get-all-decided-decomposition (trail S)))
then have M: trail S = y @ a using get-all-decided-decomposition-decomp by blast
have M': set (get-all-decided-decomposition (trail S))
  = insert (a, y) (set (tl (get-all-decided-decomposition (trail S))))
  using ay by (cases get-all-decided-decomposition (trail S)) auto
have unmark a ∪ set-mset (init-clss S) ⊨ps unmark y
  using decomp ay unfolding all-decomposition-implies-def
  by (cases get-all-decided-decomposition (trail S)) fastforce+
then have a-Un-N-M: unmark a ∪ set-mset (init-clss S)
  ⊨ps unmark (trail S)
  unfolding M by (auto simp add: all-in-true-clss-clss image-Un)

have unmark a ∪ set-mset (init-clss S) ⊨p {#L#} (is ?I ⊨p -)
proof (rule true-clss-clss-plus-CNot)
  show ?I ⊨p C + {#L#}
  using propa propagate.premis learned confl unfolding M
  by (metis Un-iff cdclW-learned-clause-def clauses-def mem-set-mset-iff propagate.hyps(1)
    set-mset-union true-clss-clss-in-imp-true-clss-clss true-clss-clss-mono-l2
    union-trus-clss-clss)
next
have (λm. {#lit-of m#}) ' set (trail S) ⊨ps CNot C
  using ⟨(trail S) ⊨as CNot C⟩ true-annots-true-clss-clss by blast
then show ?I ⊨ps CNot C
  using a-Un-N-M true-clss-clss-left-right true-clss-clss-union-l-r by blast
qed
moreover have ∧aa b.
  ∀ (Ls, seen) ∈ set (get-all-decided-decomposition (y @ a)).
    unmark Ls ∪ set-mset (init-clss S) ⊨ps unmark seen
  ⇒ (aa, b) ∈ set (tl (get-all-decided-decomposition (y @ a)))

```

```

 $\Rightarrow$  unmark aa  $\cup$  set-mset (init-clss S)  $\models_{ps}$  unmark b
by (metis (no-types, lifting) case-prod-conv get-all-decided-decomposition-never-empty-sym
list.collapse list.set-intros(2))

ultimately show ?case
using decomp T undef unfolding ay all-decomposition-implies-def
using M  $\langle$ unmark a  $\cup$  set-mset (init-clss S)  $\models_{ps}$  unmark y $\rangle$ 
ay by auto
next
case (backtrack K i M1 M2 L D T) note decomp' = this(1) and lev-L = this(2) and conf = this(3)
and
  undef = this(6) and T = this(7)
have  $\forall l \in \text{set } M2. \neg \text{is-decided } l$ 
  using get-all-decided-decomposition-snd-not-decided backtrack.hyps(1) by blast
obtain M0 where M: trail S = M0 @ M2 @ Decided K (i + 1) # M1
  using decomp' by auto
show ?case unfolding all-decomposition-implies-def
proof
  fix x
  assume x  $\in$  set (get-all-decided-decomposition (trail T))
  then have x: x  $\in$  set (get-all-decided-decomposition (Propagated L ((D + {#L#})) # M1))
    using T decomp' undef inv by (simp add: cdclW-M-level-inv-decomp)
  let ?m = get-all-decided-decomposition (Propagated L ((D + {#L#})) # M1)
  let ?hd = hd ?m
  let ?tl = tl ?m
  have x = ?hd  $\vee$  x  $\in$  set ?tl
    using x by (cases ?m) auto
  moreover {
    assume x  $\in$  set ?tl
    then have x  $\in$  set (get-all-decided-decomposition (trail S))
      using tl-get-all-decided-decomposition-skip-some[of x] by (simp add: list.set-sel(2) M)
    then have case x of (Ls, seen)  $\Rightarrow$  unmark Ls
       $\cup$  set-mset (init-clss (T))
       $\models_{ps}$  unmark seen
    using decomp learned decomp confl alien inv T undef M
    unfolding all-decomposition-implies-def cdclW-M-level-inv-def
    by auto
  }
  moreover {
    assume x = ?hd
    obtain M1' M1'' where M1: hd (get-all-decided-decomposition M1) = (M1', M1'')
      by (cases hd (get-all-decided-decomposition M1))
    then have x': x = (M1', Propagated L ( (D + {#L#})) # M1'')
      using  $\langle$ x = ?hd $\rangle$  by auto
    have (M1', M1'')  $\in$  set (get-all-decided-decomposition (trail S))
      using M1[symmetric] hd-get-all-decided-decomposition-skip-some[OF M1[symmetric],
of M0 @ M2 - i+1] unfolding M by fastforce
    then have 1: unmark M1'  $\cup$  set-mset (init-clss S)
       $\models_{ps}$  unmark M1''
    using decomp unfolding all-decomposition-implies-def by auto
  }
  moreover
  have trail S  $\models_{as}$  CNot D using conf confl by auto
  then have vars-of-D: atms-of D  $\subseteq$  atm-of ' lits-of (trail S)
    unfolding atms-of-def
    by (meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined)

```

```

have vars-of-D: atms-of D  $\subseteq$  atm-of ' lits-of M1
  using backtrack-atms-of-D-in-M1[of S M1 L D i K M2 T] backtrack inv conf confl
  by (auto simp: cdclW-M-level-inv-decomp)
have no-dup (trail S) using inv by (auto simp: cdclW-M-level-inv-decomp)
then have vars-in-M1:
   $\forall x \in \text{atms-of } D. x \notin \text{atm-of ' lits-of } (M0 @ M2 @ \text{Decided } K (i + 1) \# [])$ 
  using vars-of-D distinct-atms-of-incl-not-in-other[of M0 @ M2 @ Decided K (i + 1) # []
    M1]
  unfolding M by auto
have M1  $\models_{as}$  CNot D
  using vars-in-M1 true-annots-remove-if-notin-vars[of M0 @ M2 @ Decided K (i + 1) # []
    M1 CNot D] (trail S  $\models_{as}$  CNot D) unfolding M lits-of-def by simp
have M1 = M1'' @ M1' by (simp add: M1 get-all-decided-decomposition-decomp)
have TT: unmark M1'  $\cup$  set-mset (init-clss S)  $\models_{ps}$  CNot D
  using true-annots-true-clss-cl[OF (M1  $\models_{as}$  CNot D)] true-clss-clss-left-right[OF 1,
    of CNot D] unfolding (M1 = M1'' @ M1') by (auto simp add: inf-sup-aci(5,7))
have init-clss S  $\models_{pm}$  D + {#L#}
  using conf learned cdclW-learned-clause-def confl by blast
then have T': unmark M1'  $\cup$  set-mset (init-clss S)  $\models_p$  D + {#L#} by auto
have atms-of (D + {#L#})  $\subseteq$  atms-of-msu (clauses S)
  using alien conf unfolding no-strange-atm-def clauses-def by auto
then have unmark M1'  $\cup$  set-mset (init-clss S)  $\models_p$  {#L#}
  using true-clss-cls-plus-CNot[OF T' TT] by auto
ultimately
  have case x of (Ls, seen)  $\Rightarrow$  unmark Ls
     $\cup$  set-mset (init-clss T)
     $\models_{ps}$  unmark seen using T' T decomp' undef inv unfolding x'
    by (simp add: cdclW-M-level-inv-decomp)
}
ultimately show case x of (Ls, seen)  $\Rightarrow$  unmark Ls  $\cup$  set-mset (init-clss T)
   $\models_{ps}$  unmark seen using T by auto
qed
qed

```

lemma cdcl_W-propagate-is-false:

```

assumes
  cdclW S S' and
  lev: cdclW-M-level-inv S and
  learned: cdclW-learned-clause S and
  decomp: all-decomposition-implies-m (init-clss S) (get-all-decided-decomposition (trail S)) and
  confl:  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{as} \text{CNot } T$  and
  alien: no-strange-atm S and
  mark-confl: every-mark-is-a-conflict S
shows every-mark-is-a-conflict S'
using assms(1,2)
proof (induct rule: cdclW-all-induct-lev2)
case (propagate C L T) note undef = this(3) and T = this(5)
show ?case
  proof (intro allI impI)
    fix L' mark a b
    assume a @ Propagated L' mark # b = trail T
    then have (a = []  $\wedge$  L = L'  $\wedge$  mark = C + {#L#}  $\wedge$  b = trail S)
       $\vee$  tl a @ Propagated L' mark # b = trail S
      using T undef by (cases a) fastforce+
    moreover {

```

```

    assume  $tl\ a \ @\ Propagated\ L'\ mark\ \# \ b = trail\ S$ 
    then have  $b \models_{as} CNot\ (\ mark - \{\#L'\#\}) \wedge L' \in \# \ mark$ 
    using mark-confl by auto
  }
  moreover {
    assume  $a = []$  and  $L = L'$  and  $mark = C + \{\#L\#\}$  and  $b = trail\ S$ 
    then have  $b \models_{as} CNot\ (\ mark - \{\#L\#\}) \wedge L \in \# \ mark$ 
    using  $\langle trail\ S \models_{as} CNot\ C \rangle$  by auto
  }
  ultimately show  $b \models_{as} CNot\ (\ mark - \{\#L'\#\}) \wedge L' \in \# \ mark$  by blast
qed
next
case (decide L) note undef[simp] = this(2) and  $T = this(4)$ 
have  $\bigwedge a\ La\ mark\ b. a \ @\ Propagated\ La\ mark\ \# \ b = Decided\ L\ (backtrack-lvl\ S+1)\ \# \ trail\ S$ 
 $\implies tl\ a \ @\ Propagated\ La\ mark\ \# \ b = trail\ S$  by (case-tac a, auto)
then show ?case using mark-confl T unfolding decide.hyps(1) by fastforce
next
case (skip L C' M D T) note tr = this(1) and  $T = this(5)$ 
show ?case
proof (intro allI impI)
  fix  $L'\ mark\ a\ b$ 
  assume  $a \ @\ Propagated\ L'\ mark\ \# \ b = trail\ T$ 
  then have  $a \ @\ Propagated\ L'\ mark\ \# \ b = M$  using tr T by simp
  then have  $(Propagated\ L\ C' \ \# \ a) \ @\ Propagated\ L'\ mark\ \# \ b = Propagated\ L\ C' \ \# \ M$  by auto
  moreover have  $\forall La\ mark\ a\ b. a \ @\ Propagated\ La\ mark\ \# \ b = Propagated\ L\ C' \ \# \ M$ 
 $\implies b \models_{as} CNot\ (\ mark - \{\#La\#\}) \wedge La \in \# \ mark$ 
  using mark-confl unfolding skip.hyps(1) by simp
  ultimately show  $b \models_{as} CNot\ (\ mark - \{\#L'\#\}) \wedge L' \in \# \ mark$  by blast
qed
next
case (conflict D)
then show ?case using mark-confl by simp
next
case (resolve L C M D T) note tr-S = this(1) and  $T = this(4)$ 
show ?case unfolding resolve.hyps(1)
proof (intro allI impI)
  fix  $L'\ mark\ a\ b$ 
  assume  $a \ @\ Propagated\ L'\ mark\ \# \ b = trail\ T$ 
  then have  $Propagated\ L\ (\ (C + \{\#L\#\})) \ \# \ M$ 
 $= (Propagated\ L\ (\ (C + \{\#L\#\})) \ \# \ a) \ @\ Propagated\ L'\ mark\ \# \ b$ 
  using T tr-S by auto
  then show  $b \models_{as} CNot\ (\ mark - \{\#L'\#\}) \wedge L' \in \# \ mark$ 
  using mark-confl unfolding resolve.hyps(1) by presburger
qed
next
case restart
then show ?case by auto
next
case forget
then show ?case using mark-confl by auto
next
case (backtrack K i M1 M2 L D T) note decomp = this(1) and conf = this(3) and undef = this(6)
and
 $T = this(7)$ 
have  $\forall l \in set\ M2. \neg is-decided\ l$ 

```

```

using get-all-decided-decomposition-snd-not-decided backtrack.hyps(1) by blast
obtain  $M0$  where  $M$ :  $\text{trail } S = M0 @ M2 @ \text{Decided } K (i + 1) \# M1$ 
using backtrack.hyps(1) by auto
have [simp]:  $\text{trail } (\text{reduce-trail-to } M1 (\text{add-learned-cls } (D + \{\#L\#\})$ 
   $(\text{update-backtrack-lvl } i (\text{update-conflicting } \text{None } S)))) = M1$ 
using decomp lev by (auto simp: cdclW-M-level-inv-decomp)
show ?case
proof (intro allI impI)
  fix  $La$  mark  $a$   $b$ 
  assume  $a @ \text{Propagated } La \text{ mark} \# b = \text{trail } T$ 
  then have  $(a = [] \wedge \text{Propagated } La \text{ mark} = \text{Propagated } L (D + \{\#L\#\}) \wedge b = M1)$ 
     $\vee \text{tl } a @ \text{Propagated } La \text{ mark} \# b = M1$ 
    using  $M$   $T$  decomp undef by (cases a) (auto)
  moreover {
    assume  $A$ :  $a = []$  and
       $P$ :  $\text{Propagated } La \text{ mark} = \text{Propagated } L ((D + \{\#L\#\}))$  and
       $b$ :  $b = M1$ 
    have  $\text{trail } S \models_{as} \text{CNot } D$  using conf confl by auto
    then have  $\text{vars-of-} D$ :  $\text{atms-of } D \subseteq \text{atm-of ' lits-of } (\text{trail } S)$ 
      unfolding atms-of-def
      by (meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined)
    have  $\text{vars-of-} D$ :  $\text{atms-of } D \subseteq \text{atm-of ' lits-of } M1$ 
      using backtrack-atms-of-D-in-M1[of S M1 L D i K M2 T] $T$  backtrack lev confl by auto
    have no-dup ( $\text{trail } S$ ) using lev by (auto simp: cdclW-M-level-inv-decomp)
    then have  $\text{vars-in-} M1$ :  $\forall x \in \text{atms-of } D. x \notin$ 
       $\text{atm-of ' lits-of } (M0 @ M2 @ \text{Decided } K (i + 1) \# [])$ 
      using  $\text{vars-of-} D$  distinct-atms-of-incl-not-in-other[of M0 @ M2 @ Decided K (i + 1) # []
         $M1$ ]unfolding  $M$  by auto
    have  $M1 \models_{as} \text{CNot } D$ 
      using  $\text{vars-in-} M1$  true-annots-remove-if-notin-vars[of M0 @ M2 @ Decided K (i + 1) # [] M1
         $\text{CNot } D$ ] $\langle \text{trail } S \models_{as} \text{CNot } D \rangle$  unfolding  $M$  lits-of-def by simp
    then have  $b \models_{as} \text{CNot } (\text{mark} - \{\#La\#\}) \wedge La \in \# \text{ mark}$ 
      using  $P$   $b$  by auto
  }
  moreover {
    assume  $\text{tl } a @ \text{Propagated } La \text{ mark} \# b = M1$ 
    then obtain  $c'$  where  $c' @ \text{Propagated } La \text{ mark} \# b = \text{trail } S$  unfolding  $M$  by auto
    then have  $b \models_{as} \text{CNot } (\text{mark} - \{\#La\#\}) \wedge La \in \# \text{ mark}$ 
      using mark-confl by blast
  }
  ultimately show  $b \models_{as} \text{CNot } (\text{mark} - \{\#La\#\}) \wedge La \in \# \text{ mark}$  by fast
qed
qed

```

lemma *cdcl_W-conflicting-is-false*:

```

assumes
  cdclW S S' and
  M-lev: cdclW-M-level-inv S and
  confl-inv:  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{as} \text{CNot } T$  and
  decided-confl:  $\forall L \text{ mark } a \ b. a @ \text{Propagated } L \text{ mark} \# b = (\text{trail } S)$ 
     $\longrightarrow (b \models_{as} \text{CNot } (\text{mark} - \{\#L\#\}) \wedge L \in \# \text{ mark})$  and
  dist: distinct-cdclW-state S
shows  $\forall T. \text{conflicting } S' = \text{Some } T \longrightarrow \text{trail } S' \models_{as} \text{CNot } T$ 
using assms(1,2)
proof (induct rule: cdclW-all-induct-lev2)

```



```

case (skip L C' M D) note tr-S = this(1) and T = this(5)
then have Propagated L C' # M  $\models_{as}$  CNot D using assms skip by auto
moreover
  have L  $\notin$  # D
  proof (rule ccontr)
    assume  $\neg$  ?thesis
    then have  $- L \in \text{ lits-of } M$ 
      using in-CNot-implies-uminus(2)[of D L Propagated L C' # M]
       $\langle$  Propagated L C' # M  $\models_{as}$  CNot D  $\rangle$  by simp
    then show False
      by (metis M-lev cdclW-M-level-inv-decomp(1) consistent-interp-def insert-iff
        lits-of-cons ann-literal.sel(2) skip.hyps(1))
  qed
ultimately show ?case
  using skip.hyps(1-3) true-annots-CNot-lit-of-notin-skip T unfolding cdclW-M-level-inv-def
  by fastforce
next
case (resolve L C M D T) note tr = this(1) and confl = this(2) and T = this(4)
show ?case
  proof (intro allI impI)
    fix T'
    have tl (trail S)  $\models_{as}$  CNot C using tr assms(4) by fastforce
    moreover
      have distinct-mset (D + {#- L#}) using confl dist
        unfolding distinct-cdclW-state-def by auto
      then have  $-L \notin$  # D unfolding distinct-mset-def by auto
      have M  $\models_{as}$  CNot D
      proof -
        have Propagated L ( (C + {#L#}) ) # M  $\models_{as}$  CNot D  $\cup$  CNot {#- L#}
          using confl tr confl-inv by force
        then show ?thesis
          using M-lev  $\langle$  - L  $\notin$  # D  $\rangle$  tr true-annots-lit-of-notin-skip
          unfolding cdclW-M-level-inv-def by force
      qed
    moreover assume conflicting T = Some T'
    ultimately
      show trail T  $\models_{as}$  CNot T'
      using tr T by auto
  qed
qed (auto simp: assms(2) cdclW-M-level-inv-decomp)

```

lemma *cdcl_W-conflicting-decomp*:
 assumes *cdcl_W-conflicting S*
 shows $\forall T. \text{ conflicting } S = \text{ Some } T \longrightarrow \text{ trail } S \models_{as} \text{ CNot } T$
 and $\forall L \text{ mark } a \ b. a @ \text{ Propagated } L \text{ mark } \# \ b = (\text{ trail } S)$
 $\longrightarrow (b \models_{as} \text{ CNot } (\text{ mark } - \{ \#L\# \}) \wedge L \in \# \text{ mark})$
 using assms unfolding *cdcl_W-conflicting-def* by blast+

lemma *cdcl_W-conflicting-decomp2*:
 assumes *cdcl_W-conflicting S* and *conflicting S = Some T*
 shows $\text{ trail } S \models_{as} \text{ CNot } T$
 using assms unfolding *cdcl_W-conflicting-def* by blast+

lemma *cdcl_W-conflicting-decomp2'*:
 assumes

cdcl_W-conflicting S and
conflicting S = Some D
shows *trail S* \models_{as} *CNot D*
using *assms* **unfolding** *cdcl_W-conflicting-def* **by** *auto*

lemma *cdcl_W-conflicting-S0-cdcl_W[simp]*:
cdcl_W-conflicting (init-state N)
unfolding *cdcl_W-conflicting-def* **by** *auto*

5.4.9 Putting all the invariants together

lemma *cdcl_W-all-inv*:
assumes *cdcl_W: cdcl_W S S'* and
1: all-decomposition-implies-m (init-clss S) (get-all-decided-decomposition (trail S)) and
2: cdcl_W-learned-clause S and
4: cdcl_W-M-level-inv S and
5: no-strange-atm S and
7: distinct-cdcl_W-state S and
8: cdcl_W-conflicting S
shows *all-decomposition-implies-m (init-clss S') (get-all-decided-decomposition (trail S'))*
and *cdcl_W-learned-clause S'*
and *cdcl_W-M-level-inv S'*
and *no-strange-atm S'*
and *distinct-cdcl_W-state S'*
and *cdcl_W-conflicting S'*
proof –
show *S1: all-decomposition-implies-m (init-clss S') (get-all-decided-decomposition (trail S'))*
using *cdcl_W-propagate-is-conclusion[OF cdcl_W 4 1 2 - 5]* *8* **unfolding** *cdcl_W-conflicting-def*
by *blast*
show *S2: cdcl_W-learned-clause S' using cdcl_W-learned-clss[OF cdcl_W 2 4]* .
show *S4: cdcl_W-M-level-inv S' using cdcl_W-consistent-inv[OF cdcl_W 4]* .
show *S5: no-strange-atm S' using cdcl_W-no-strange-atm-inv[OF cdcl_W 5 4]* .
show *S7: distinct-cdcl_W-state S' using distinct-cdcl_W-state-inv[OF cdcl_W 4 7]* .
show *S8: cdcl_W-conflicting S'*
using *cdcl_W-conflicting-is-false[OF cdcl_W 4 - - 7]* *8* *cdcl_W-propagate-is-false[OF cdcl_W 4 2 1 - 5]*
unfolding *cdcl_W-conflicting-def* **by** *fast*
qed

lemma *rtrancpl-cdcl_W-all-inv*:
assumes
cdcl_W: rtrancpl cdcl_W S S' and
1: all-decomposition-implies-m (init-clss S) (get-all-decided-decomposition (trail S)) and
2: cdcl_W-learned-clause S and
4: cdcl_W-M-level-inv S and
5: no-strange-atm S and
7: distinct-cdcl_W-state S and
8: cdcl_W-conflicting S
shows
all-decomposition-implies-m (init-clss S') (get-all-decided-decomposition (trail S')) and
cdcl_W-learned-clause S' and
cdcl_W-M-level-inv S' and
no-strange-atm S' and
distinct-cdcl_W-state S' and
cdcl_W-conflicting S'
using *assms*

```

proof (induct rule: rtrancp-induct)
  case base
    case 1 then show ?case by blast
    case 2 then show ?case by blast
    case 3 then show ?case by blast
    case 4 then show ?case by blast
    case 5 then show ?case by blast
    case 6 then show ?case by blast
  next
    case (step S' S'') note H = this
      case 1 with H(3-7)[OF this(1-6)] show ?case using cdclW-all-inv[OF H(2)]
        H by presburger
      case 2 with H(3-7)[OF this(1-6)] show ?case using cdclW-all-inv[OF H(2)]
        H by presburger
      case 3 with H(3-7)[OF this(1-6)] show ?case using cdclW-all-inv[OF H(2)]
        H by presburger
      case 4 with H(3-7)[OF this(1-6)] show ?case using cdclW-all-inv[OF H(2)]
        H by presburger
      case 5 with H(3-7)[OF this(1-6)] show ?case using cdclW-all-inv[OF H(2)]
        H by presburger
      case 6 with H(3-7)[OF this(1-6)] show ?case using cdclW-all-inv[OF H(2)]
        H by presburger
  qed

```

```

lemma all-invariant-S0-cdclW:
  assumes distinct-mset-mset N
  shows all-decomposition-implies-m (init-clss (init-state N))
    (get-all-decided-decomposition (trail (init-state N)))
  and cdclW-learned-clause (init-state N)
  and  $\forall T. \text{conflicting } (init-state N) = \text{Some } T \longrightarrow (trail (init-state N)) \models_{as} CNot T$ 
  and no-strange-atm (init-state N)
  and consistent-interp (lits-of (trail (init-state N)))
  and  $\forall L \text{ mark } a \ b. a @ \text{Propagated } L \text{ mark } \# \ b = \text{trail } (init-state N) \longrightarrow$ 
    ( $b \models_{as} CNot (\text{mark} - \{\#L\}) \wedge L \in \# \text{ mark}$ )
  and distinct-cdclW-state (init-state N)
  using assms by auto

```

```

lemma cdclW-only-propagated-vars-unsat:
  assumes
    decided:  $\forall x \in \text{set } M. \neg \text{is-decided } x$  and
    DN:  $D \in \# \text{ clauses } S$  and
    D:  $M \models_{as} CNot D$  and
    inv: all-decomposition-implies-m N (get-all-decided-decomposition M) and
    state: state S = (M, N, U, k, C) and
    learned-cl: cdclW-learned-clause S and
    atm-incl: no-strange-atm S
  shows unsatisfiable (set-mset N)
proof (rule ccontr)
  assume  $\neg \text{unsatisfiable } (set-mset N)$ 
  then obtain I where
    I:  $I \models_s \text{set-mset } N$  and
    cons: consistent-interp I and
    tot: total-over-m I (set-mset N)
  unfolding satisfiable-def by auto

```

```

have atms-of-msu  $N \cup \text{atms-of-msu } U = \text{atms-of-msu } N$ 
  using atm-incl state unfolding total-over-m-def no-strange-atm-def
  by (auto simp add: clauses-def)
then have total-over-m  $I \text{ (set-mset } N) \text{ using tot unfolding total-over-m-def by auto}$ 
moreover have  $N \models_{psm} U$  using learned-cl state unfolding cdclW-learned-clause-def by auto
ultimately have I-D:  $I \models D$ 
  using I DN cons state unfolding true-clss-clss-def true-clss-def Ball-def
by (metis Un-iff (atms-of-msu  $N \cup \text{atms-of-msu } U = \text{atms-of-msu } N$ ) atms-of-ms-union clauses-def
  mem-set-mset-iff prod.inject set-mset-union total-over-m-def)

have l0:  $\{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\} = \{\}$  using decided by auto
have atms-of-ms  $(\text{set-mset } N \cup \text{unmark } M) = \text{atms-of-msu } N$ 
  using atm-incl state unfolding no-strange-atm-def by auto
then have total-over-m  $I \text{ (set-mset } N \cup (\lambda a. \{\#lit\text{-of } a\# \}) \text{ ' (set } M))$ 
  using tot unfolding total-over-m-def by auto
then have  $I \models_s (\lambda a. \{\#lit\text{-of } a\# \}) \text{ ' (set } M)$ 
  using all-decomposition-implies-propagated-lits-are-implied[OF inv] cons I
  unfolding true-clss-clss-def l0 by auto
then have IM:  $I \models_s \text{unmark } M$  by auto
{
  fix K
  assume  $K \in \# D$ 
  then have  $-K \in \text{lits-of } M$ 
    using D unfolding true-annots-def Ball-def CNot-def true-annot-def true-clss-def true-lit-def
    Bex-mset-def by (metis (mono-tags, lifting) count-single less-not-refl mem-Collect-eq)
  then have  $-K \in I$  using IM true-clss-singleton-lit-of-implies-incl lits-of-def by fastforce
}
then have  $\neg I \models D$  using cons unfolding true-clss-def true-lit-def consistent-interp-def by auto
then show False using I-D by blast
qed

```

We have actually a much stronger theorem, namely *all-decomposition-implies ?N* (*get-all-decided-decomposition ?M*) $\implies ?N \cup \{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } ?M\} \models_{ps} \text{unmark } ?M$, that show that the only choices we made are decided in the formula

```

lemma
  assumes all-decomposition-implies-m  $N \text{ (get-all-decided-decomposition } M)$ 
  and  $\forall m \in \text{set } M. \neg \text{is-decided } m$ 
  shows set-mset  $N \models_{ps} \text{unmark } M$ 
proof -
  have T:  $\{\{\#lit\text{-of } L\# \} \mid L. \text{is-decided } L \wedge L \in \text{set } M\} = \{\}$  using assms(2) by auto
  then show ?thesis
    using all-decomposition-implies-propagated-lits-are-implied[OF assms(1)] unfolding T by simp
qed

```

```

lemma conflict-with-false-implies-unsat:
  assumes
    cdclW: cdclW S S' and
    lev: cdclW-M-level-inv S and
    [simp]: conflicting S' = Some {#} and
    learned: cdclW-learned-clause S
  shows unsatisfiable (set-mset (init-clss S))
  using assms
proof -
  have cdclW-learned-clause S' using cdclW-learned-clss cdclW learned lev by auto

```

```

then have init-clss  $S' \models_{pm} \{\#\}$  using assms(3) unfolding cdclW-learned-clause-def by auto
then have init-clss  $S \models_{pm} \{\#\}$ 
  using cdclW-init-clss[OF assms(1) lev] by auto
then show ?thesis unfolding satisfiable-def true-clss-cls-def by auto
qed

```

```

lemma conflict-with-false-implies-terminated:
  assumes cdclW  $S S'$ 
  and conflicting  $S = \text{Some } \{\#\}$ 
  shows False
  using assms by (induct rule: cdclW-all-induct) auto

```

5.4.10 No tautology is learned

This is a simple consequence of all we have shown previously. It is not strictly necessary, but helps finding a better bound on the number of learned clauses.

```

lemma learned-clss-are-not-tautologies:
  assumes
    cdclW  $S S'$  and
    lev: cdclW-M-level-inv  $S$  and
    conflicting: cdclW-conflicting  $S$  and
    no-tauto:  $\forall s \in \# \text{ learned-clss } S. \neg \text{tautology } s$ 
  shows  $\forall s \in \# \text{ learned-clss } S'. \neg \text{tautology } s$ 
  using assms
proof (induct rule: cdclW-all-induct-lev2)
case (backtrack  $K i M1 M2 L D$ ) note confl = this(3)
have consistent-interp (lits-of (trail  $S$ )) using lev by (auto simp: cdclW-M-level-inv-decomp)
moreover
  have trail  $S \models_{as} CNot (D + \{\#L\# \})$ 
    using conflicting confl unfolding cdclW-conflicting-def by auto
  then have lits-of (trail  $S$ )  $\models_s CNot (D + \{\#L\# \})$  using true-annots-true-clss by blast
  ultimately have  $\neg \text{tautology } (D + \{\#L\# \})$  using consistent-CNot-not-tautology by blast
  then show ?case using backtrack no-tauto
    by (auto simp: cdclW-M-level-inv-decomp split: split-if-asm)
next
case restart
then show ?case using learned-clss-restart-state state-eq-learned-clss no-tauto
  by (metis (no-types, lifting) ball-msetE ball-msetI mem-set-mset-iff set-mset-mono subsetCE)
qed auto

```

```

definition final-cdclW-state ( $S:: 'st$ )
 $\longleftrightarrow$  (trail  $S \models_{asm} \text{init-clss } S$ 
   $\vee ((\forall L \in \text{set } (\text{trail } S). \neg \text{is-decided } L) \wedge$ 
     $(\exists C \in \# \text{ init-clss } S. \text{trail } S \models_{as} CNot C)))$ 

```

```

definition termination-cdclW-state ( $S:: 'st$ )
 $\longleftrightarrow$  (trail  $S \models_{asm} \text{init-clss } S$ 
   $\vee ((\forall L \in \text{atms-of-msu } (\text{init-clss } S). L \in \text{atm-of ' lits-of } (\text{trail } S))$ 
     $\wedge (\exists C \in \# \text{ init-clss } S. \text{trail } S \models_{as} CNot C)))$ 

```

5.5 CDCL Strong Completeness

```

fun mapi :: ( $'a \Rightarrow nat \Rightarrow 'b$ )  $\Rightarrow nat \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$  where
  mapi - - [] = [] |
  mapi  $f n (x \# xs) = f x n \# \text{mapi } f (n - 1) xs$ 

```

lemma *mark-not-in-set-mapi*[simp]: $L \notin \text{set } M \implies \text{Decided } L \notin \text{set } (\text{mapi } \text{Decided } i \ M)$
by (induct M arbitrary: i) auto

lemma *propagated-not-in-set-mapi*[simp]: $L \notin \text{set } M \implies \text{Propagated } L \notin \text{set } (\text{mapi } \text{Decided } i \ M)$
by (induct M arbitrary: i) auto

lemma *image-set-mapi*:
 $f \text{ ' set } (\text{mapi } g \ i \ M) = \text{set } (\text{mapi } (\lambda x \ i. f \ (g \ x \ i)) \ i \ M)$
by (induction M arbitrary: i) auto

lemma *mapi-map-convert*:
 $\forall x \ i \ j. f \ x \ i = f \ x \ j \implies \text{mapi } f \ i \ M = \text{map } (\lambda x. f \ x \ 0) \ M$
by (induction M arbitrary: i) auto

lemma *defined-lit-mapi*: $\text{defined-lit } (\text{mapi } \text{Decided } i \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{atm-of ' set } M$
by (induction M) (auto simp: *defined-lit-map image-set-mapi mapi-map-convert*)

lemma *cdcl_W-can-do-step*:
assumes
consistent-interp (set M) **and**
distinct M **and**
 $\text{atm-of ' (set } M) \subseteq \text{atms-of-msu } N$
shows $\exists S. \text{rtrancplp } \text{cdcl}_W \ (\text{init-state } N) \ S$
 $\wedge \text{state } S = (\text{mapi } \text{Decided } (\text{length } M) \ M, N, \{\#\}, \text{length } M, \text{None})$
using *assms*
proof (induct M)
case *Nil*
then show ?case **by** auto
next
case (Cons $L \ M$) **note** $IH = \text{this}(1)$
have *consistent-interp* (set M) **and** *distinct* M **and** $\text{atm-of ' set } M \subseteq \text{atms-of-msu } N$
using *Cons.prem*s(1–3) **unfolding** *consistent-interp-def* **by** auto
then obtain S **where**
 $st: \text{cdcl}_W^{**} \ (\text{init-state } N) \ S$ **and**
 $S: \text{state } S = (\text{mapi } \text{Decided } (\text{length } M) \ M, N, \{\#\}, \text{length } M, \text{None})$
using IH **by** auto
let $?S_0 = \text{incr-lvl } (\text{cons-trail } (\text{Decided } L \ (\text{length } M + 1)) \ S)$
have *undefined-lit* (mapi *Decided* (length M) M) L
using *Cons.prem*s(1,2) **unfolding** *defined-lit-def consistent-interp-def* **by** *fastforce*
moreover have *init-clss* $S = N$
using S **by** *blast*
moreover have $\text{atm-of } L \in \text{atms-of-msu } N$ **using** *Cons.prem*s(3) **by** auto
moreover have *undef: undefined-lit* (trail S) L
using $S \text{ ' distinct } (L \ \# \ M) \text{ ' calculation}(1)$ **by** (auto simp: *defined-lit-mapi defined-lit-map*)
ultimately have $\text{cdcl}_W \ S \ ?S_0$
using $\text{cdcl}_W.\text{other}[OF \ \text{cdcl}_W.\text{o.decide}[OF \ \text{decide-rule}[OF \ S, \text{of } L \ ?S_0]]] \ S$ **by** (auto simp: *state-eq-def simp del: state-simp*)
then show ?case
using $st \ S \ \text{undef}$ **by** (auto intro!: *exI*[of - $?S_0$])
qed

lemma *cdcl_W-strong-completeness*:
assumes
 $\text{set } M \models_s \text{set-mset } N$ **and**

```

    consistent-interp (set M) and
    distinct M and
    atm-of ' (set M)  $\subseteq$  atms-of-msu N
  obtains S where
    state S = (mapi Decided (length M) M, N, {#}, length M, None) and
    rtrancpl cdclW (init-state N) S and
    final-cdclW-state S
proof -
  obtain S where
    st: rtrancpl cdclW (init-state N) S and
    S: state S = (mapi Decided (length M) M, N, {#}, length M, None)
  using cdclW-can-do-step[OF assms(2-4)] by auto
  have lits-of (mapi Decided (length M) M) = set M
  by (induct M, auto)
  then have mapi Decided (length M) M  $\models_{asm}$  N using assms(1) true-annots-true-cls by metis
  then have final-cdclW-state S
  using S unfolding final-cdclW-state-def by auto
  then show ?thesis using that st S by blast
qed

```

5.6 Higher level strategy

The rules described previously do not lead to a conclusive state. We have to add a strategy.

5.6.1 Definition

```

lemma trancpl-conflict-iff[iff]:
  full1 conflict S S'  $\longleftrightarrow$  conflict S S'
proof -
  have trancpl conflict S S'  $\implies$  conflict S S'
  unfolding full1-def by (induct rule: trancpl.induct) force+
  then have trancpl conflict S S'  $\implies$  conflict S S' by (meson rtrancplD)
  then show ?thesis unfolding full1-def by (metis conflictE option.simps(3)
    conflicting-update-conflicting state-eq-conflicting trancpl.intros(1))
qed

```

```

inductive cdclW-cp :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  conflict[intro]: conflict S S'  $\implies$  cdclW-cp S S' |
  propagate': propagate S S'  $\implies$  cdclW-cp S S'

```

```

lemma rtrancpl-cdclW-cp-rtrancpl-cdclW:
  cdclW-cp** S T  $\implies$  cdclW** S T
  by (induction rule: rtrancpl-induct) (auto simp: cdclW-cp.simps dest: cdclW.intros)

```

```

lemma cdclW-cp-state-eq-compatible:
  assumes
    cdclW-cp S T and
    S  $\sim$  S' and
    T  $\sim$  T'
  shows cdclW-cp S' T'
  using assms
  apply (induction)
  using conflict-state-eq-compatible apply auto[1]
  using propagate' propagate-state-eq-compatible by auto

```

```

lemma trancpl-cdclW-cp-state-eq-compatible:
  assumes
    cdclW-cp++ S T and
    S ~ S' and
    T ~ T'
  shows cdclW-cp++ S' T'
  using assms
proof induction
  case base
  then show ?case
    using cdclW-cp-state-eq-compatible by blast
next
  case (step U V)
  obtain ss :: 'st where
    cdclW-cp S ss ∧ cdclW-cp** ss U
  by (metis (no-types) step(1) trancplD)
  then show ?case
    by (meson cdclW-cp-state-eq-compatible rtrancpl.rtrancpl-into-rtrancpl rtrancpl-into-trancpl2
      state-eq-ref step(2) step(4) step(5))
qed

lemma option-full-cdclW-cp:
  conflicting S ≠ None ⇒ full cdclW-cp S S
unfolding full-def rtrancpl-unfold trancpl-unfold by (auto simp add: cdclW-cp.simps)

lemma skip-unique:
  skip S T ⇒ skip S T' ⇒ T ~ T'
  by (fastforce simp: state-eq-def simp del: state-simp)

lemma resolve-unique:
  resolve S T ⇒ resolve S T' ⇒ T ~ T'
  by (fastforce simp: state-eq-def simp del: state-simp)

lemma cdclW-cp-no-more-clauses:
  assumes cdclW-cp S S'
  shows clauses S = clauses S'
  using assms by (induct rule: cdclW-cp.induct) (auto elim!: conflictE propagateE)

lemma trancpl-cdclW-cp-no-more-clauses:
  assumes cdclW-cp++ S S'
  shows clauses S = clauses S'
  using assms by (induct rule: trancpl.induct) (auto dest: cdclW-cp-no-more-clauses)

lemma rtrancpl-cdclW-cp-no-more-clauses:
  assumes cdclW-cp** S S'
  shows clauses S = clauses S'
  using assms by (induct rule: rtrancpl-induct) (fastforce dest: cdclW-cp-no-more-clauses)+

lemma no-conflict-after-conflict:
  conflict S T ⇒ ¬conflict T U
  by fastforce

lemma no-propagate-after-conflict:
  conflict S T ⇒ ¬propagate T U
  by fastforce

```


lemma *tranclp-cdcl_W-cp-propagate-with-conflict-or-not*:
assumes *cdcl_W-cp⁺⁺ S U*
shows (*propagate⁺⁺ S U* \wedge *conflicting U = None*)
 \vee ($\exists T D. \text{propagate}^{**} S T \wedge \text{conflict } T U \wedge \text{conflicting } U = \text{Some } D$)
proof –
have *propagate⁺⁺ S U* \vee ($\exists T. \text{propagate}^{**} S T \wedge \text{conflict } T U$)
using *assms by induction*
(force simp: cdcl_W-cp.simps tranclp-into-rtranclp dest: no-conflict-after-conflict
no-propagate-after-conflict)+
moreover
have *propagate⁺⁺ S U* \implies *conflicting U = None*
unfolding *tranclp-unfold-end by auto*
moreover
have $\bigwedge T. \text{conflict } T U \implies \exists D. \text{conflicting } U = \text{Some } D$
by auto
ultimately show *?thesis by meson*
qed

lemma *cdcl_W-cp-conflicting-not-empty[simp]*: *conflicting S = Some D* $\implies \neg \text{cdcl}_W\text{-cp } S S'$
proof
assume *cdcl_W-cp S S'* **and** *conflicting S = Some D*
then show *False by (induct rule: cdcl_W-cp.induct) auto*
qed

lemma *no-step-cdcl_W-cp-no-conflict-no-propagate*:
assumes *no-step cdcl_W-cp S*
shows *no-step conflict S* **and** *no-step propagate S*
using *assms conflict' apply blast*
by (meson assms conflict' propagate')

CDCL with the reasonable strategy: we fully propagate the conflict and propagate, then we apply any other possible rule *cdcl_W-o S S'* and re-apply conflict and propagate *cdcl_W-cp[↓] S' S''*

inductive *cdcl_W-stgy* :: '*st* \Rightarrow '*st* \Rightarrow bool **for** *S* :: '*st* **where**
conflict': *full1 cdcl_W-cp S S' \implies cdcl_W-stgy S S' |*
other': *cdcl_W-o S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S'' \implies cdcl_W-stgy S S''*

5.6.2 Invariants

These are the same invariants as before, but lifted

lemma *cdcl_W-cp-learned-clause-inv*:
assumes *cdcl_W-cp S S'*
shows *learned-clss S = learned-clss S'*
using *assms by (induct rule: cdcl_W-cp.induct) fastforce+*

lemma *rtranclp-cdcl_W-cp-learned-clause-inv*:
assumes *cdcl_W-cp^{**} S S'*
shows *learned-clss S = learned-clss S'*
using *assms by (induct rule: rtranclp-induct) (fastforce dest: cdcl_W-cp-learned-clause-inv)+*

lemma *tranclp-cdcl_W-cp-learned-clause-inv*:
assumes *cdcl_W-cp⁺⁺ S S'*
shows *learned-clss S = learned-clss S'*
using *assms by (simp add: rtranclp-cdcl_W-cp-learned-clause-inv tranclp-into-rtranclp)*

lemma *cdcl_W-cp-backtrack-lvl*:
assumes *cdcl_W-cp S S'*
shows *backtrack-lvl S = backtrack-lvl S'*
using *assms* **by** (*induct rule: cdcl_W-cp.induct*) *fastforce*+

lemma *rtrancpl-cdcl_W-cp-backtrack-lvl*:
assumes *cdcl_W-cp** S S'*
shows *backtrack-lvl S = backtrack-lvl S'*
using *assms* **by** (*induct rule: rtrancpl-induct*) (*fastforce dest: cdcl_W-cp-backtrack-lvl*)+

lemma *cdcl_W-cp-consistent-inv*:
assumes *cdcl_W-cp S S'*
and *cdcl_W-M-level-inv S*
shows *cdcl_W-M-level-inv S'*
using *assms*
proof (*induct rule: cdcl_W-cp.induct*)
case (*conflict'*)
then show ?*case* **using** *cdcl_W-consistent-inv cdcl_W.conflict* **by** *blast*
next
case (*propagate' S S'*)
have *cdcl_W S S'*
using *propagate'.hyps(1) propagate* **by** *blast*
then show *cdcl_W-M-level-inv S'*
using *propagate'.prems(1) cdcl_W-consistent-inv propagate* **by** *blast*
qed

lemma *full1-cdcl_W-cp-consistent-inv*:
assumes *full1 cdcl_W-cp S S'*
and *cdcl_W-M-level-inv S*
shows *cdcl_W-M-level-inv S'*
using *assms* **unfolding** *full1-def*
proof –
have *cdcl_W-cp++ S S'* **and** *cdcl_W-M-level-inv S* **using** *assms* **unfolding** *full1-def* **by** *auto*
then show ?*thesis* **by** (*induct rule: rtrancpl.induct*) (*blast intro: cdcl_W-cp-consistent-inv*)
qed

lemma *rtrancpl-cdcl_W-cp-consistent-inv*:
assumes *rtrancpl cdcl_W-cp S S'*
and *cdcl_W-M-level-inv S*
shows *cdcl_W-M-level-inv S'*
using *assms* **unfolding** *full1-def*
by (*induction rule: rtrancpl-induct*) (*blast intro: cdcl_W-cp-consistent-inv*)+

lemma *cdcl_W-stgy-consistent-inv*:
assumes *cdcl_W-stgy S S'*
and *cdcl_W-M-level-inv S*
shows *cdcl_W-M-level-inv S'*
using *assms* **apply** (*induct rule: cdcl_W-stgy.induct*)
unfolding *full-unfold* **by** (*blast intro: cdcl_W-consistent-inv full1-cdcl_W-cp-consistent-inv cdcl_W.other*)+

lemma *rtrancpl-cdcl_W-stgy-consistent-inv*:
assumes *cdcl_W-stgy** S S'*
and *cdcl_W-M-level-inv S*

shows $cdcl_W$ - M -level-inv S'
using *assms* **by** *induction* (*auto dest!*: $cdcl_W$ -*stgy-consistent-inv*)

lemma $cdcl_W$ -*cp-no-more-init-clss*:
assumes $cdcl_W$ -*cp* $S S'$
shows *init-clss* $S = \text{init-clss } S'$
using *assms* **by** (*induct rule*: $cdcl_W$ -*cp.induct*) *auto*

lemma $trancpl$ - $cdcl_W$ -*cp-no-more-init-clss*:
assumes $cdcl_W$ - cp^{++} $S S'$
shows *init-clss* $S = \text{init-clss } S'$
using *assms* **by** (*induct rule*: $trancpl$.*induct*) (*auto dest*: $cdcl_W$ -*cp-no-more-init-clss*)

lemma $cdcl_W$ -*stgy-no-more-init-clss*:
assumes $cdcl_W$ -*stgy* $S S'$ **and** $cdcl_W$ - M -level-inv S
shows *init-clss* $S = \text{init-clss } S'$
using *assms*
apply (*induct rule*: $cdcl_W$ -*stgy.induct*)
unfolding *full1-def full-def* **apply** (*blast dest*: $trancpl$ - $cdcl_W$ -*cp-no-more-init-clss*
 $trancpl$ - $cdcl_W$ -*o-no-more-init-clss*)
by (*metis* $cdcl_W$ -*o-no-more-init-clss* $rtrancpl$ -*unfold* $trancpl$ - $cdcl_W$ -*cp-no-more-init-clss*)

lemma $rtrancpl$ - $cdcl_W$ -*stgy-no-more-init-clss*:
assumes $cdcl_W$ -*stgy*^{**} $S S'$ **and** $cdcl_W$ - M -level-inv S
shows *init-clss* $S = \text{init-clss } S'$
using *assms*
apply (*induct rule*: $rtrancpl$ -*induct*, *simp*)
using $cdcl_W$ -*stgy-no-more-init-clss* **by** (*simp add*: $rtrancpl$ - $cdcl_W$ -*stgy-consistent-inv*)

lemma $cdcl_W$ -*cp-dropWhile-trail'*:
assumes $cdcl_W$ -*cp* $S S'$
obtains M **where** $\text{trail } S' = M @ \text{trail } S$ **and** $(\forall l \in \text{set } M. \neg \text{is-decided } l)$
using *assms* **by** *induction* *fastforce*+

lemma $rtrancpl$ - $cdcl_W$ -*cp-dropWhile-trail'*:
assumes $cdcl_W$ - cp^{**} $S S'$
obtains $M :: ('v, \text{nat}, 'v \text{ clause}) \text{ ann-literal list}$ **where**
 $\text{trail } S' = M @ \text{trail } S$ **and** $\forall l \in \text{set } M. \neg \text{is-decided } l$
using *assms* **by** *induction* (*fastforce dest!*: $cdcl_W$ -*cp-dropWhile-trail'*)+

lemma $cdcl_W$ -*cp-dropWhile-trail*:
assumes $cdcl_W$ -*cp* $S S'$
shows $\exists M. \text{trail } S' = M @ \text{trail } S \wedge (\forall l \in \text{set } M. \neg \text{is-decided } l)$
using *assms* **by** *induction* *fastforce*+

lemma $rtrancpl$ - $cdcl_W$ -*cp-dropWhile-trail*:
assumes $cdcl_W$ - cp^{**} $S S'$
shows $\exists M. \text{trail } S' = M @ \text{trail } S \wedge (\forall l \in \text{set } M. \neg \text{is-decided } l)$
using *assms* **by** *induction* (*fastforce dest*: $cdcl_W$ -*cp-dropWhile-trail*)+

This theorem can be seen a a termination theorem for $cdcl_W$ -*cp*.

lemma *length-model-le-vars*:
assumes
 $\text{no-strange-atm } S$ **and**
 $\text{no-d: no-dup } (\text{trail } S)$ **and**

$finite (atms-of-msu (init-clss S))$
shows $length (trail S) \leq card (atms-of-msu (init-clss S))$
proof –
obtain $M N U k D$ **where** $S: state S = (M, N, U, k, D)$ **by** $(cases\ state\ S,\ auto)$
have $finite (atm-of\ 'lits-of\ (trail\ S))$
using $assms(1,3)$ **unfolding** S **by** $(auto\ simp\ add: finite-subset)$
have $length (trail S) = card (atm-of\ 'lits-of\ (trail S))$
using $no-dup-length-eq-card-atm-of-lits-of\ no-d$ **by** $blast$
then show $?thesis$ **using** $assms(1)$ **unfolding** $no-strange-atm-def$
by $(auto\ simp\ add: assms(3)\ card-mono)$
qed

lemma $cdcl_W-cp-decreasing-measure:$
assumes
 $cdcl_W: cdcl_W-cp\ S\ T$ **and**
 $M-lev: cdcl_W-M-level-inv\ S$ **and**
 $alien: no-strange-atm\ S$
shows $(\lambda S. card (atms-of-msu (init-clss S)) - length (trail S))$
 $+ (if\ conflicting\ S = None\ then\ 1\ else\ 0))\ S$
 $> (\lambda S. card (atms-of-msu (init-clss S)) - length (trail S))$
 $+ (if\ conflicting\ S = None\ then\ 1\ else\ 0))\ T$
using $assms$
proof –
have $length (trail T) \leq card (atms-of-msu (init-clss T))$
apply $(rule\ length-model-le-vars)$
using $cdcl_W-no-strange-atm-inv\ alien\ M-lev$ **apply** $(meson\ cdcl_W\ cdcl_W.simps\ cdcl_W-cp.cases)$
using $M-lev\ cdcl_W\ cdcl_W-cp-consistent-inv\ cdcl_W-M-level-inv-def$ **apply** $blast$
using $cdcl_W$ **by** $(auto\ simp: cdcl_W-cp.simps)$
with $assms$
show $?thesis$ **by** $induction\ (auto\ split: split-if-asm)+$
qed

lemma $cdcl_W-cp-wf: wf\ \{(b,a). (cdcl_W-M-level-inv\ a \wedge no-strange-atm\ a) \wedge cdcl_W-cp\ a\ b\}$
apply $(rule\ wf-wf-if-measure'[of\ less-than\ -\ -\ (\lambda S. card (atms-of-msu (init-clss S)) - length (trail S)) + (if\ conflicting\ S = None\ then\ 1\ else\ 0))])$
apply $simp$
using $cdcl_W-cp-decreasing-measure$ **unfolding** $less-than-iff$ **by** $blast$

lemma $rtrancp-cdcl_W-all-struct-inv-cdcl_W-cp-iff-rtrancp-cdcl_W-cp:$
assumes
 $lev: cdcl_W-M-level-inv\ S$ **and**
 $alien: no-strange-atm\ S$
shows $(\lambda a\ b. (cdcl_W-M-level-inv\ a \wedge no-strange-atm\ a) \wedge cdcl_W-cp\ a\ b)^{**}\ S\ T$
 $\longleftrightarrow cdcl_W-cp^{**}\ S\ T$
(is $?I\ S\ T \longleftrightarrow ?C\ S\ T)$
proof
assume
 $?I\ S\ T$
then show $?C\ S\ T$ **by** $induction\ auto$
next
assume
 $?C\ S\ T$
then show $?I\ S\ T$

```

proof induction
  case base
  then show ?case by simp
next
  case (step T U) note st = this(1) and cp = this(2) and IH = this(3)
  have  $cdcl_W^{**} S T$ 
    by (metis rtrancpl-unfold cdcl_W-cp-conflicting-not-empty cp st
      rtrancpl-propagate-is-rtrancpl-cdcl_W trancpl-cdcl_W-cp-propagate-with-conflict-or-not)
  then have
    cdcl_W-M-level-inv T and
    no-strange-atm T
    using  $\langle cdcl_W^{**} S T \rangle$  apply (simp add: assms(1) rtrancpl-cdcl_W-consistent-inv)
    using  $\langle cdcl_W^{**} S T \rangle$  alien rtrancpl-cdcl_W-no-strange-atm-inv lev by blast
  then have  $(\lambda a b. (cdcl_W-M-level-inv a \wedge no-strange-atm a)$ 
     $\wedge cdcl_W-cp a b)^{**} T U$ 
    using cp by auto
  then show ?case using IH by auto
qed
qed

lemma cdcl_W-cp-normalized-element:
  assumes
    lev: cdcl_W-M-level-inv S and
    no-strange-atm S
  obtains T where full cdcl_W-cp S T
proof –
  let ?inv =  $\lambda a. (cdcl_W-M-level-inv a \wedge no-strange-atm a)$ 
  obtain T where T: full  $(\lambda a b. ?inv a \wedge cdcl_W-cp a b) S T$ 
  using cdcl_W-cp-wf wf-exists-normal-form[of  $\lambda a b. ?inv a \wedge cdcl_W-cp a b$ ]
  unfolding full-def by blast
  then have  $cdcl_W-cp^{**} S T$ 
    using rtrancpl-cdcl_W-all-struct-inv-cdcl_W-cp-iff-rtrancpl-cdcl_W-cp assms unfolding full-def
    by blast
  moreover
    then have  $cdcl_W^{**} S T$ 
      using rtrancpl-cdcl_W-cp-rtrancpl-cdcl_W by blast
    then have
      cdcl_W-M-level-inv T and
      no-strange-atm T
      using  $\langle cdcl_W^{**} S T \rangle$  apply (simp add: assms(1) rtrancpl-cdcl_W-consistent-inv)
      using  $\langle cdcl_W^{**} S T \rangle$  assms(2) rtrancpl-cdcl_W-no-strange-atm-inv lev by blast
    then have no-step cdcl_W-cp T
      using T unfolding full-def by auto
    ultimately show thesis using that unfolding full-def by blast
qed

```

```

lemma in-atms-of-implies-atm-of-on-atms-of-ms:
   $C + \{\#L\# \} \in \# A \implies x \in \text{atms-of } C \implies x \in \text{atms-of-msu } A$ 
  by (metis add.commute atm-iff-pos-or-neg-lit atms-of-atms-of-ms-mono contra-subsetD
    mem-set-mset-iff multi-member-skip)

```

```

lemma propagate-no-stange-atm:
  assumes
    propagate S S' and
    no-strange-atm S

```

shows *no-strange-atm* S'
using *assms* **by** *induction*
(auto simp add: no-strange-atm-def clauses-def in-plus-implies-atm-of-on-atms-of-ms in-atms-of-implies-atm-of-on-atms-of-ms)

lemma *always-exists-full-cdcl_W-cp-step*:
assumes *no-strange-atm* S
shows $\exists S''$. *full cdcl_W-cp* $S S''$
using *assms*

proof (*induct card (atms-of-msu (init-clss S) - atm-of 'lits-of (trail S)) arbitrary: S*)
case 0 **note** *card = this(1)* **and** *alien = this(2)*
then have *atm: atms-of-msu (init-clss S) = atm-of 'lits-of (trail S)*
unfolding *no-strange-atm-def* **by** *auto*
{ assume a : $\exists S'$. *conflict* $S S'$
then obtain S' **where** S' : *conflict* $S S'$ **by** *metis*
then have $\forall S''$. $\neg \text{cdcl}_W\text{-cp } S' S''$ **by** *auto*
then have ?*case* **using** a S' *cdcl_W-cp.conflict'* **unfolding** *full-def* **by** *blast*
}
moreover {
assume a : $\exists S'$. *propagate* $S S'$
then obtain S' **where** *propagate* $S S'$ **by** *blast*
then obtain $M N U k C L$ **where** S : *state* $S = (M, N, U, k, \text{None})$
and S' : *state* $S' = (\text{Propagated } L ((C + \{\#L\# \})) \# M, N, U, k, \text{None})$
and $C + \{\#L\# \} \in \# \text{ clauses } S$
and $M \models_{as} C \text{Not } C$
and *undefined-lit* $M L$
using *propagate* **by** *auto*
have *atms-of-msu* $U \subseteq \text{atms-of-msu } N$ **using** *alien* S **unfolding** *no-strange-atm-def* **by** *auto*
then have *atm-of* $L \in \text{atms-of-msu (init-clss } S)$
using $\langle C + \{\#L\# \} \in \# \text{ clauses } S \rangle S$ **unfolding** *atms-of-ms-def clauses-def* **by** *force+*
then have *False* **using** $\langle \text{undefined-lit } M L \rangle S$ **unfolding** *atm* **unfolding** *lits-of-def*
by (*auto simp add: defined-lit-map*)
}
ultimately show ?*case* **by** (*metis cdcl_W-cp.cases full-def rtranclp.rtrancl-refl*)

next
case (*Suc* n) **note** $IH = \text{this}(1)$ **and** *card = this(2)* **and** *alien = this(3)*
{ assume a : $\exists S'$. *conflict* $S S'$
then obtain S' **where** S' : *conflict* $S S'$ **by** *metis*
then have $\forall S''$. $\neg \text{cdcl}_W\text{-cp } S' S''$ **by** *auto*
then have ?*case* **unfolding** *full-def Ex-def* **using** S' *cdcl_W-cp.conflict'* **by** *blast*
}
moreover {
assume a : $\exists S'$. *propagate* $S S'$
then obtain S' **where** *propagate: propagate* $S S'$ **by** *blast*
then obtain $M N U k C L$ **where**
 S : *state* $S = (M, N, U, k, \text{None})$ **and**
 S' : *state* $S' = (\text{Propagated } L ((C + \{\#L\# \})) \# M, N, U, k, \text{None})$ **and**
 $C + \{\#L\# \} \in \# \text{ clauses } S$ **and**
 $M \models_{as} C \text{Not } C$ **and**
undefined-lit $M L$
by *fastforce*
then have *atm-of* $L \notin \text{atm-of 'lits-of } M$
unfolding *lits-of-def* **by** (*auto simp add: defined-lit-map*)
moreover
have *no-strange-atm* S' **using** *alien propagate propagate-no-strange-atm* **by** *blast*

then have $\text{atm-of } L \in \text{atms-of-msu } N$ **using** S' **unfolding** $\text{no-strange-atm-def}$ **by** auto
 then have $\bigwedge A. \{\text{atm-of } L\} \subseteq \text{atms-of-msu } N - A \vee \text{atm-of } L \in A$ **by** force
 moreover have $\text{Suc } n - \text{card } \{\text{atm-of } L\} = n$ **by** simp
 moreover have $\text{card } (\text{atms-of-msu } N - \text{atm-of ' lits-of } M) = \text{Suc } n$
 using $\text{card } S S'$ **by** simp
 ultimately
 have $\text{card } (\text{atms-of-msu } N - \text{atm-of ' insert } L (\text{lits-of } M)) = n$
 by $(\text{metis } (\text{no-types}) \text{Diff-insert card-Diff-subset finite.emptyI finite.insertI image-insert})$
 then have $n = \text{card } (\text{atms-of-msu } (\text{init-clss } S') - \text{atm-of ' lits-of } (\text{trail } S'))$
 using $\text{card } S S'$ **by** simp
 then have $a1: \text{Ex } (\text{full cdcl}_W\text{-cp } S')$ **using** $IH \langle \text{no-strange-atm } S' \rangle$ **by** blast
 have $?case$
 proof –
 obtain $S'' :: 'st$ **where**
 $\text{ff1: cdcl}_W\text{-cp}^{**} S' S'' \wedge \text{no-step cdcl}_W\text{-cp } S''$
 using $a1$ **unfolding** full-def **by** blast
 have $\text{cdcl}_W\text{-cp}^{**} S S''$
 using $\text{ff1 cdcl}_W\text{-cp.intros}(2)[\text{OF propagate}]$
 by $(\text{metis } (\text{no-types}) \text{converse-rtranclp-into-rtranclp})$
 then have $\exists S''. \text{cdcl}_W\text{-cp}^{**} S S'' \wedge (\forall S'''. \neg \text{cdcl}_W\text{-cp } S'' S''')$
 using ff1 **by** blast
 then show $?thesis$ **unfolding** full-def
 by meson
 qed
 }
 ultimately show $?case$ **unfolding** full-def **by** $(\text{metis cdcl}_W\text{-cp.cases rtranclp.rtrancl-refl})$
 qed

5.6.3 Literal of highest level in conflicting clauses

One important property of the local.cdcl_W with strategy is that, whenever a conflict takes place, there is at least a literal of level k involved (except if we have derived the false clause). The reason is that we apply conflicts before a decision is taken.

abbreviation $\text{no-clause-is-false} :: 'st \Rightarrow \text{bool}$ **where**

$\text{no-clause-is-false} \equiv$

$\lambda S. (\text{conflicting } S = \text{None} \longrightarrow (\forall D \in \# \text{ clauses } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } D))$

abbreviation $\text{conflict-is-false-with-level} :: 'st \Rightarrow \text{bool}$ **where**

$\text{conflict-is-false-with-level } S \equiv \forall D. \text{conflicting } S = \text{Some } D \longrightarrow D \neq \{\#\}$

$\longrightarrow (\exists L \in \# D. \text{get-level } (\text{trail } S) L = \text{backtrack-lvl } S)$

lemma $\text{not-conflict-not-any-negated-init-clss}$:

assumes $\forall S'. \neg \text{conflict } S S'$

shows $\text{no-clause-is-false } S$

using $\text{assms state-eq-ref}$ **by** blast

lemma $\text{full-cdcl}_W\text{-cp-not-any-negated-init-clss}$:

assumes $\text{full cdcl}_W\text{-cp } S S'$

shows $\text{no-clause-is-false } S'$

using $\text{assms not-conflict-not-any-negated-init-clss}$ **unfolding** full-def **by** blast

lemma $\text{full1-cdcl}_W\text{-cp-not-any-negated-init-clss}$:

assumes $\text{full1 cdcl}_W\text{-cp } S S'$

shows $\text{no-clause-is-false } S'$

using $\text{assms not-conflict-not-any-negated-init-clss}$ **unfolding** full1-def **by** blast

```

lemma cdclW-stgy-not-non-negated-init-clss:
  assumes cdclW-stgy  $S S'$ 
  shows no-clause-is-false  $S'$ 
  using assms apply (induct rule: cdclW-stgy.induct)
  using full1-cdclW-cp-not-any-negated-init-clss full-cdclW-cp-not-any-negated-init-clss by metis+

lemma rtrancpl-cdclW-stgy-not-non-negated-init-clss:
  assumes cdclW-stgy**  $S S'$  and no-clause-is-false  $S$ 
  shows no-clause-is-false  $S'$ 
  using assms by (induct rule: rtrancpl-induct) (auto simp: cdclW-stgy-not-non-negated-init-clss)

lemma cdclW-stgy-conflict-ex-lit-of-max-level:
  assumes cdclW-cp  $S S'$ 
  and no-clause-is-false  $S$ 
  and cdclW-M-level-inv  $S$ 
  shows conflict-is-false-with-level  $S'$ 
  using assms
proof (induct rule: cdclW-cp.induct)
  case conflict'
  then show ?case by auto
next
  case propagate'
  then show ?case by auto
qed

lemma no-chained-conflict:
  assumes conflict  $S S'$ 
  and conflict  $S' S''$ 
  shows False
  using assms by fastforce

lemma rtrancpl-cdclW-cp-propa-or-propa-confl:
  assumes cdclW-cp**  $S U$ 
  shows propagate**  $S U \vee (\exists T. \text{propagate** } S T \wedge \text{conflict } T U)$ 
  using assms
proof induction
  case base
  then show ?case by auto
next
  case (step  $U V$ )
  note  $SU = \text{this}(1)$  and  $UV = \text{this}(2)$  and  $IH = \text{this}(3)$ 
  consider (confl)  $T$  where propagate**  $S T$  and conflict  $T U$ 
  | (propa) propagate**  $S U$  using  $IH$  by auto
  then show ?case
  proof cases
    case confl
    then have False using  $UV$  by auto
    then show ?thesis by fast
  next
    case propa
    also have conflict  $U V \vee \text{propagate } U V$  using  $UV$  by (auto simp add: cdclW-cp.simps)
    ultimately show ?thesis by force
  qed
qed

```



```

lemma rtrancp-cdclW-co-conflict-ex-lit-of-max-level:
  assumes full: full cdclW-cp S U
  and cls-f: no-clause-is-false S
  and conflict-is-false-with-level S
  and lev: cdclW-M-level-inv S
  shows conflict-is-false-with-level U
proof (intro allI impI)
  fix D
  assume confl: conflicting U = Some D and
    D: D ≠ {#}
  consider (CT) conflicting S = None | (SD) D' where conflicting S = Some D'
  by (cases conflicting S) auto
  then show  $\exists L \in \#D. \text{get-level}(\text{trail } U) L = \text{backtrack-lvl } U$ 
  proof cases
    case SD
    then have S = U
    by (metis (no-types) assms(1) cdclW-cp-conflicting-not-empty full-def rtrancpD trancpD)
    then show ?thesis using assms(3) confl D by blast-
  next
  case CT
  have init-clss U = init-clss S and learned-clss U = learned-clss S
  using assms(1) unfolding full-def
  apply (metis (no-types) rtrancpD trancp-cdclW-cp-no-more-init-clss)
  by (metis (mono-tags, lifting) assms(1) full-def rtrancp-cdclW-cp-learned-clause-inv)
  obtain T where propagate** S T and TU: conflict T U
  proof –
    have f5: U ≠ S
    using confl CT by force
    then have cdclW-cp++ S U
    by (metis full full-def rtrancpD)
    have  $\bigwedge p \text{ pa. } \neg \text{propagate } p \text{ pa} \vee \text{conflicting pa} =$ 
      (None::'v literal multiset option)
    by auto
    then show ?thesis
    using f5 that trancp-cdclW-cp-propagate-with-conflict-or-not[OF (cdclW-cp++ S U)]
    full confl CT unfolding full-def by auto
  qed
  have init-clss T = init-clss S and learned-clss T = learned-clss S
  using TU (init-clss U = init-clss S) (learned-clss U = learned-clss S) by auto
  then have D ∈ # clauses S
  using TU confl by (fastforce simp: clauses-def)
  then have  $\neg \text{trail } S \models_{\text{as}} \text{CNot } D$ 
  using cls-f CT by simp
  moreover
  obtain M where tr-U: trail U = M @ trail S and nm:  $\forall m \in \text{set } M. \neg \text{is-decided } m$ 
  by (metis (mono-tags, lifting) assms(1) full-def rtrancp-cdclW-cp-dropWhile-trail)
  have trail U  $\models_{\text{as}}$  CNot D
  using TU confl by auto
  ultimately obtain L where L ∈ # D and  $\neg L \in \text{lits-of } M$ 
  unfolding tr-U CNot-def true-annot-def Ball-def true-annot-def true-cl-def by auto

  moreover have inv-U: cdclW-M-level-inv U
  by (metis cdclW-stgy.conflict' cdclW-stgy-consistent-inv full full-unfold lev)
  moreover
  have backtrack-lvl U = backtrack-lvl S

```

```

using full unfolding full-def by (auto dest: rtrancpl-cdclW-cp-backtrack-lvl)

moreover
  have no-dup (trail U)
    using inv-U unfolding cdclW-M-level-inv-def by auto
  { fix x :: ('v, nat, 'v literal multiset) ann-literal and
    xb :: ('v, nat, 'v literal multiset) ann-literal
    assume a1: atm-of L = atm-of (lit-of xb)
    moreover assume a2: - L = lit-of x
    moreover assume a3: (λl. atm-of (lit-of l)) ' set M
      ∩ (λl. atm-of (lit-of l)) ' set (trail S) = {}
    moreover assume a4: x ∈ set M
    moreover assume a5: xb ∈ set (trail S)
    moreover have atm-of (- L) = atm-of L
      by auto
    ultimately have False
      by auto
  }
  then have LS: atm-of L ∉ atm-of ' lits-of (trail S)
    using ⟨-L ∈ lits-of M⟩ ⟨no-dup (trail U)⟩ unfolding tr-U lits-of-def by auto
  ultimately have get-level (trail U) L = backtrack-lvl U
  proof (cases get-all-levels-of-decided (trail S) ≠ [], goal-cases)
    case 2 note LD = this(1) and LM = this(2) and inv-U = this(3) and US = this(4) and
      LS = this(5) and ne = this(6)
    have backtrack-lvl S = 0
      using lev ne unfolding cdclW-M-level-inv-def by auto
    moreover have get-rev-level (rev M) 0 L = 0
      using nm by auto
    ultimately show ?thesis using LS ne US unfolding tr-U
      by (simp add: get-all-levels-of-decided-nil-iff-not-is-decided lits-of-def)
  next
    case 1 note LD = this(1) and LM = this(2) and inv-U = this(3) and US = this(4) and
      LS = this(5) and ne = this(6)

    have hd (get-all-levels-of-decided (trail S)) = backtrack-lvl S
      using ne lev unfolding cdclW-M-level-inv-def
      by (cases get-all-levels-of-decided (trail S)) auto
    moreover have atm-of L ∈ atm-of ' lits-of M
      using ⟨-L ∈ lits-of M⟩ by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
        lits-of-def)
    ultimately show ?thesis
      using nm ne unfolding tr-U
      using get-level-skip-beginning-hd-get-all-levels-of-decided[OF LS, of M]
        get-level-skip-in-all-not-decided[of rev M L backtrack-lvl S]
      unfolding lits-of-def US
      by auto
    qed
  then show ∃ L ∈ #D. get-level (trail U) L = backtrack-lvl U
    using ⟨L ∈ # D⟩ by blast
  qed
qed

```

5.6.4 Literal of highest level in decided literals

definition *mark-is-false-with-level* :: 'st ⇒ bool **where**
mark-is-false-with-level S' ≡

$\forall D M1 M2 L. M1 @ \text{Propagated } L D \# M2 = \text{trail } S' \longrightarrow D - \{\#L\# \} \neq \{\# \}$
 $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{get-maximum-possible-level } M1)$

definition *no-more-propagation-to-do*:: 'st \Rightarrow bool **where**

no-more-propagation-to-do $S \equiv$

$\forall D M M' L. D + \{\#L\# \} \in \# \text{ clauses } S \longrightarrow \text{trail } S = M' @ M \longrightarrow M \models_{as} CNot D$
 $\longrightarrow \text{undefined-lit } M L \longrightarrow \text{get-maximum-possible-level } M < \text{backtrack-lvl } S$
 $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S) L = \text{get-maximum-possible-level } M)$

lemma *propagate-no-more-propagation-to-do*:

assumes *propagate*: *propagate* $S S'$

and H : *no-more-propagation-to-do* S

and M : *cdcl_W-M-level-inv* S

shows *no-more-propagation-to-do* S'

using *assms*

proof –

obtain $M N U k C L$ **where**

S : state $S = (M, N, U, k, None)$ **and**

S' : state $S' = (\text{Propagated } L ((C + \{\#L\# \})) \# M, N, U, k, None)$ **and**

$C + \{\#L\# \} \in \# \text{ clauses } S$ **and**

$M \models_{as} CNot C$ **and**

undefined-lit $M L$

using *propagate* **by** *auto*

let $?M' = \text{Propagated } L ((C + \{\#L\# \})) \# M$

show *?thesis unfolding no-more-propagation-to-do-def*

proof (*intro allI impI*)

fix $D M1 M2 L'$

assume $D-L$: $D + \{\#L'\# \} \in \# \text{ clauses } S'$

and $\text{trail } S' = M2 @ M1$

and *get-max*: *get-maximum-possible-level* $M1 < \text{backtrack-lvl } S'$

and $M1 \models_{as} CNot D$

and *undef*: *undefined-lit* $M1 L'$

have $tl M2 @ M1 = \text{trail } S \vee (M2 = [] \wedge M1 = \text{Propagated } L ((C + \{\#L\# \})) \# M)$

using $\langle \text{trail } S' = M2 @ M1 \rangle S' S$ **by** (*cases* $M2$) *auto*

moreover {

assume $tl M2 @ M1 = \text{trail } S$

moreover **have** $D + \{\#L'\# \} \in \# \text{ clauses } S$ **using** $D-L S S'$ **unfolding** *clauses-def* **by** *auto*

moreover **have** *get-maximum-possible-level* $M1 < \text{backtrack-lvl } S$

using *get-max* $S S'$ **by** *auto*

ultimately **obtain** L' **where** $L' \in \# D$ **and**

get-level $(\text{trail } S) L' = \text{get-maximum-possible-level } M1$

using $H \langle M1 \models_{as} CNot D \rangle \text{undef}$ **unfolding** *no-more-propagation-to-do-def* **by** *metis*

moreover

{ **have** *cdcl_W-M-level-inv* S'

using *cdcl_W-consistent-inv*[*OF* - M] *cdcl_W.propagate*[*OF propagate*] **by** *blast*

then **have** *no-dup* $?M'$ **using** S' **unfolding** *cdcl_W-M-level-inv-def* **by** *auto*

moreover

have *atm-of* $L' \in \text{atm-of } (\text{lits-of } M1)$

using $\langle L' \in \# D \rangle \langle M1 \models_{as} CNot D \rangle$ **by** (*metis atm-of-uminus image-eqI in-CNot-implies-uminus*(2))

then **have** *atm-of* $L' \in \text{atm-of } (\text{lits-of } M)$

using $\langle tl M2 @ M1 = \text{trail } S \rangle S$ **by** *auto*

ultimately **have** *atm-of* $L \neq \text{atm-of } L'$ **unfolding** *lits-of-def* **by** *auto*

}

ultimately **have** $\exists L' \in \# D. \text{get-level } (\text{trail } S') L' = \text{get-maximum-possible-level } M1$

```

    using  $S S'$  by auto
  }
  moreover {
    assume  $M2 = []$  and  $M1: M1 = \text{Propagated } L ( (C + \{\#L\# \})) \# M$ 
    have  $\text{cdcl}_W\text{-}M\text{-level-inv } S'$ 
      using  $\text{cdcl}_W\text{-consistent-inv}[OF - M] \text{cdcl}_W.\text{propagate}[OF \text{ propagate}]$  by blast
    then have  $\text{get-all-levels-of-decided } (\text{trail } S') = \text{rev } ([\text{Suc } 0..<(\text{Suc } 0+k)])$ 
      using  $S'$  unfolding  $\text{cdcl}_W\text{-}M\text{-level-inv-def}$  by auto
    then have  $\text{get-maximum-possible-level } M1 = \text{backtrack-lvl } S'$ 
      using  $\text{get-maximum-possible-level-max-get-all-levels-of-decided}[of M1] S' M1$ 
      by (auto intro:  $\text{Max-eqI}$ )
    then have  $\text{False}$  using  $\text{get-max}$  by auto
  }
  ultimately show  $\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{get-maximum-possible-level } M1$  by fast
qed
qed

```

lemma *conflict-no-more-propagation-to-do*:
 assumes *conflict*: *conflict* $S S'$
 and H : *no-more-propagation-to-do* S
 and M : $\text{cdcl}_W\text{-}M\text{-level-inv } S$
 shows *no-more-propagation-to-do* S'
 using *assms* unfolding *no-more-propagation-to-do-def* *conflict.simps* by force

lemma *cdcl_W-cp-no-more-propagation-to-do*:
 assumes *conflict*: *cdcl_W-cp* $S S'$
 and H : *no-more-propagation-to-do* S
 and M : $\text{cdcl}_W\text{-}M\text{-level-inv } S$
 shows *no-more-propagation-to-do* S'
 using *assms*
proof (induct rule: *cdcl_W-cp.induct*)
 case (*conflict'* $S S'$)
 then show ?case using *conflict-no-more-propagation-to-do*[of $S S'$] by blast
next
 case (*propagate'* $S S'$) **note** $S = \text{this}$
 show 1: *no-more-propagation-to-do* S'
 using *propagate-no-more-propagation-to-do*[of $S S'$] S by blast
qed

lemma *cdcl_W-then-exists-cdcl_W-stgy-step*:
 assumes
 o : *cdcl_W-o* $S S'$ and
 $alien$: *no-strange-atm* S and
 lev : $\text{cdcl}_W\text{-}M\text{-level-inv } S$
 shows $\exists S'. \text{cdcl}_W\text{-stgy } S S'$
proof –
 obtain S'' where *full* $\text{cdcl}_W\text{-cp}$ $S' S''$
 using *always-exists-full-cdcl_W-cp-step* $alien \text{cdcl}_W\text{-no-strange-atm-inv } \text{cdcl}_W\text{-o-no-more-init-clss}$
 o *other* lev by (*meson* $\text{cdcl}_W\text{-consistent-inv}$)
 then show ?thesis
 using *assms* by (*metis* *always-exists-full-cdcl_W-cp-step* $\text{cdcl}_W\text{-stgy.conflict' full-unfold other'}$)
qed

lemma *backtrack-no-decomp*:
 assumes S : *state* $S = (M, N, U, k, \text{Some } (D + \{\#L\# \}))$

and L : *get-level* M $L = k$
and D : *get-maximum-level* M $D < k$
and M - L : *cdcl_W-M-level-inv* S
shows $\exists S'. \text{cdcl}_W\text{-o } S S'$
proof –
have L - D : *get-level* M $L = \text{get-maximum-level } M (D + \{\#L\# \})$
using L D **by** (*simp add: get-maximum-level-plus*)
let $?i = \text{get-maximum-level } M D$
obtain K $M1$ $M2$ **where** K : (*Decided* K ($?i + 1$) $\#$ $M1, M2$) \in *set (get-all-decided-decomposition* M)
using *backtrack-ex-decomp*[*OF* M - L , *of* $?i$] D S **by** *auto*
show $?thesis$ **using** *backtrack-rule*[*OF* S K L L - D] **by** (*meson* bj *cdcl_W-bj.simps state-eq-ref*)
qed

lemma *cdcl_W-stgy-final-state-conclusive*:
assumes *termi*: $\forall S'. \neg \text{cdcl}_W\text{-stgy } S S'$
and *decomp*: *all-decomposition-implies-m* (*init-clss* S) (*get-all-decided-decomposition* (*trail* S))
and *learned*: *cdcl_W-learned-clause* S
and *level-inv*: *cdcl_W-M-level-inv* S
and *alien*: *no-strange-atm* S
and *no-dup*: *distinct-cdcl_W-state* S
and *confl*: *cdcl_W-conflicting* S
and *confl-k*: *conflict-is-false-with-level* S
shows (*conflicting* $S = \text{Some } \{\# \} \wedge \text{unsatisfiable (set-mset (init-clss } S))$)
 \vee (*conflicting* $S = \text{None} \wedge \text{trail } S \models_{\text{as}} \text{set-mset (init-clss } S)$)

proof –
let $?M = \text{trail } S$
let $?N = \text{init-clss } S$
let $?k = \text{backtrack-lvl } S$
let $?U = \text{learned-clss } S$
have *conflicting* $S = \text{Some } \{\# \}$
 \vee *conflicting* $S = \text{None}$
 \vee ($\exists D L. \text{conflicting } S = \text{Some } (D + \{\#L\# \})$)
apply (*cases conflicting* S , *auto*)
by (*rename-tac* C , *case-tac* C , *auto*)
moreover {
assume *conflicting* $S = \text{Some } \{\# \}$
then have *unsatisfiable (set-mset (init-clss } S))*
using *assms*(β) **unfolding** *cdcl_W-learned-clause-def true-clss-cls-def*
by (*metis* (*no-types*, *lifting*) *Un-insert-right atms-of-empty satisfiable-def*
sup-bot.right-neutral total-over-m-insert total-over-set-empty true-clss-empty)
}
moreover {
assume *conflicting* $S = \text{None}$
{ assume $\neg ?M \models_{\text{asm}} ?N$
have *atm-of* ‘ (*lits-of* $?M$) = *atms-of-msu* $?N$ (**is** $?A = ?B$)
proof
show $?A \subseteq ?B$ **using** *alien* **unfolding** *no-strange-atm-def* **by** *auto*
show $?B \subseteq ?A$
proof (*rule ccontr*)
assume $\neg ?B \subseteq ?A$
then obtain l **where** $l \in ?B$ **and** $l \notin ?A$ **by** *auto*
then have *undefined-lit* $?M$ (*Pos* l)
using $\langle l \notin ?A \rangle$ **unfolding** *lits-of-def* **by** (*auto simp add: defined-lit-map*)
then have $\exists S'. \text{cdcl}_W\text{-o } S S'$

```

    using cdclW-o.decide decide.intros (l ∈ ?B) no-strange-atm-def
    by (metis (conflicting S = None) literal.sel(1) state-eq-def)
  then show False
    using termi cdclW-then-exists-cdclW-stgy-step[OF - alien] level-inv by blast
  qed
  qed
  obtain D where ¬ ?M ⊨a D and D ∈# ?N
    using (¬ ?M ⊨asm ?N) unfolding lits-of-def true-annots-def Ball-def by auto
  have atms-of D ⊆ atm-of ' (lits-of ?M)
    using (D ∈# ?N) unfolding (atm-of ' (lits-of ?M) = atms-of-msu ?N) atms-of-ms-def
    by (auto simp add: atms-of-def)
  then have a1: atm-of ' set-mset D ⊆ atm-of ' lits-of (trail S)
    by (auto simp add: atms-of-def lits-of-def)
  have total-over-m (lits-of ?M) {D}
    using (atms-of D ⊆ atm-of ' (lits-of ?M)) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
    by (fastforce simp: total-over-set-def)
  then have ?M ⊨as CNot D
    using total-not-true-cls-true-clss-CNot (¬ trail S ⊨a D) true-annot-def
    true-annots-true-cls by fastforce
  then have False
    proof -
      obtain S' where
        f2: full cdclW-cp S S'
        by (meson alien always-exists-full-cdclW-cp-step level-inv)
      then have S' = S
        using cdclW-stgy.conflict'[of S] by (metis (no-types) full-unfold termi)
      then show ?thesis
        using f2 (D ∈# init-clss S) (conflicting S = None) (trail S ⊨as CNot D)
        clauses-def full-cdclW-cp-not-any-negated-init-clss by auto
    qed
  }
  then have ?M ⊨asm ?N by blast
}
moreover {
  assume ∃ D L. conflicting S = Some (D + {#L#})
  then obtain D L where LD: conflicting S = Some (D + {#L#}) and lev-L: get-level ?M L = ?k
    by (metis (mono-tags) bex-msetE confl-k insert-DiffM2 multi-self-add-other-not-self
        union-eq-empty)
  let ?D = D + {#L#}
  have ?D ≠ {} by auto
  have ?M ⊨as CNot ?D using confl LD unfolding cdclW-conflicting-def by auto
  then have ?M ≠ [] unfolding true-annots-def Ball-def true-annot-def true-cls-def by force
  { have M: ?M = hd ?M # tl ?M using (¬ ?M = []) list.collapse by fastforce
    assume decided: is-decided (hd ?M)
    then obtain k' where k': k' + 1 = ?k
      using level-inv M unfolding cdclW-M-level-inv-def
      by (cases hd (trail S); cases trail S) auto
    obtain L' l' where L': hd ?M = Decided L' l' using decided by (cases hd ?M) auto
    have decided-hd-tl: get-all-levels-of-decided (hd (trail S) # tl (trail S))
      = rev [1..<1 + length (get-all-levels-of-decided ?M)]
      using level-inv lev-L M unfolding cdclW-M-level-inv-def M[symmetric]
      by blast
    then have l'-tl: l' # get-all-levels-of-decided (tl ?M)
      = rev [1..<1 + length (get-all-levels-of-decided ?M)] unfolding L' by simp
    moreover have ... = length (get-all-levels-of-decided ?M)

```

```

# rev [1..<length (get-all-levels-of-decided ?M)]
using M Suc-le-mono calculation by (fastforce simp add: upt.simps(2))
finally have
  l' = ?k and
  g-r: get-all-levels-of-decided (tl (trail S))
    = rev [1..<length (get-all-levels-of-decided (trail S))]
  using level-inv lev-L M unfolding cdclW-M-level-inv-def by auto
have *:  $\bigwedge \text{list. no-dup list} \implies$ 
  -  $L \in \text{lits-of list} \implies \text{atm-of } L \in \text{atm-of ' lits-of list}$ 
  by (metis atm-of-uminus imageI)
have L' = -L
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  moreover have  $-L \in \text{lits-of } ?M$  using confl LD unfolding cdclW-conflicting-def by auto
  ultimately have get-level (hd (trail S) # tl (trail S)) L = get-level (tl ?M) L
  using cdclW-M-level-inv-decomp(1)[OF level-inv] unfolding L' consistent-interp-def
  by (metis (no-types, lifting) L' M atm-of-eq-atm-of get-level-skip-beginning insert-iff
    lits-of-cons ann-literal.sel(1))

  moreover
  have length (get-all-levels-of-decided (trail S)) = ?k
    using level-inv unfolding cdclW-M-level-inv-def by auto
  then have Max (set (0 # get-all-levels-of-decided (tl (trail S)))) = ?k - 1
    unfolding g-r by (auto simp add: Max-n-upt)
  then have get-level (tl ?M) L < ?k
    using get-maximum-possible-level-ge-get-level[of tl ?M L]
    by (metis One-nat-def add.right-neutral add-Suc-right diff-add-inverse2
      get-maximum-possible-level-max-get-all-levels-of-decided k' le-imp-less-Suc
      list.simps(15))
  finally show False using lev-L M by auto
qed
have L: hd ?M = Decided (-L) ?k using ⟨l' = ?k⟩ ⟨L' = -L⟩ L' by auto

have g-a-l: get-all-levels-of-decided ?M = rev [1..<1 + ?k]
  using level-inv lev-L M unfolding cdclW-M-level-inv-def by auto
have g-k: get-maximum-level (trail S) D ≤ ?k
  using get-maximum-possible-level-ge-get-maximum-level[of ?M]
  get-maximum-possible-level-max-get-all-levels-of-decided[of ?M]
  by (auto simp add: Max-n-upt g-a-l)
have get-maximum-level (trail S) D < ?k
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  then have get-maximum-level (trail S) D = ?k using M g-k unfolding L by auto
  then obtain L' where L' ∈ # D and L-k: get-level ?M L' = ?k
    using get-maximum-level-exists-lit[of ?k ?M D] unfolding k'[symmetric] by auto
  have L ≠ L' using no-dup ⟨L' ∈ # D⟩
    unfolding distinct-cdclW-state-def LD by (metis add commute add-eq-self-zero
      count-single count-union less-not-refl3 distinct-mset-def union-single-eq-member)
  have L' = -L
  proof (rule ccontr)
    assume  $\neg ?thesis$ 
    then have get-level ?M L' = get-level (tl ?M) L'
      using M ⟨L ≠ L'⟩ get-level-skip-beginning[of L' hd ?M tl ?M] unfolding L
      by (auto simp: atm-of-eq-atm-of)
    moreover have ... < ?k

```

```

proof -
{ assume a1: get-level (tl (trail S)) L' = backtrack-lvl S
  assume a2: rev (get-all-levels-of-decided (tl (trail S))) =
    [Suc 0..backtrack-lvl S]
  have k' + Suc 0 = backtrack-lvl S
  using k' by presburger
  then have False
    using a2 a1 by (metis (no-types) Max-n-upt Zero-neq-Suc add-diff-cancel-left'
      add-diff-cancel-right' diff-is-0-eq
      get-all-levels-of-decided-rev-eq-rev-get-all-levels-of-decided
      get-rev-level-less-max-get-all-levels-of-decided list.set(2) set-upt)
  }
then show ?thesis
  using g-r get-rev-level-less-max-get-all-levels-of-decided[of rev (tl ?M) 0 L]
    l'-tl calculation[symmetric] g-a-l L-k
  by (auto simp: Max-n-upt cdclW-M-level-inv-def rev-swap[symmetric])
qed
finally show False using L-k by simp
qed
then have taut: tautology (D + {#L#})
  using <L' ∈# D> by (metis add.commute mset-leD mset-le-add-left multi-member-this
    tautology-minus)
have consistent-interp (lits-of ?M)
  using level-inv unfolding cdclW-M-level-inv-def by auto
then have ¬?M ⊨as CNot ?D
  using taut by (metis (no-types) <L' = - L> <L' ∈# D> add.commute consistent-interp-def
    in-CNot-implies-uminus(2) mset-leD mset-le-add-left multi-member-this)
moreover have ?M ⊨as CNot ?D
  using confl no-dup LD unfolding cdclW-conflicting-def by auto
ultimately show False by blast
qed
then have False
  using backtrack-no-decomp[OF - <get-level (trail S) L = backtrack-lvl S> - level-inv]
    LD alien termi by (metis cdclW-then-exists-cdclW-stgy-step level-inv)
}
moreover {
  assume ¬is-decided (hd ?M)
  then obtain L' C where L'C: hd ?M = Propagated L' C by (cases hd ?M, auto)
  then have M: ?M = Propagated L' C # tl ?M using <?M ≠ []> list.collapse by fastforce
  then obtain C' where C': C = C' + {#L'#}
    using confl unfolding cdclW-conflicting-def by (metis append-Nil diff-single-eq-union)
  { assume -L' ∉# ?D
    then have False
      using bj[OF cdclW-bj.skip[OF skip-rule[OF - <-L' ∉# ?D> <?D ≠ {#}>, of S C tl (trail S) -
        []]]
      termi M by (metis LD alien cdclW-then-exists-cdclW-stgy-step state-eq-def level-inv)
  }
}
moreover {
  assume -L' ∈# ?D
  then obtain D' where D': ?D = D' + {#-L'#} by (metis insert-DiffM2)
  have g-r: get-all-levels-of-decided (Propagated L' C # tl (trail S))
    = rev [Suc 0..Suc (length (get-all-levels-of-decided (trail S)))]
  using level-inv M unfolding cdclW-M-level-inv-def by auto
  have Max (insert 0 (set (get-all-levels-of-decided (Propagated L' C # tl (trail S))))) = ?k
  using level-inv M unfolding g-r cdclW-M-level-inv-def set-rev

```



```

    by (auto simp add:Max-n-upt)
  then have get-maximum-level (Propagated L' C # tl ?M) D' ≤ ?k
    using get-maximum-possible-level-ge-get-maximum-level[of Propagated L' C # tl ?M]
    unfolding get-maximum-possible-level-max-get-all-levels-of-decided by auto
  then have get-maximum-level (Propagated L' C # tl ?M) D' = ?k
    ∨ get-maximum-level (Propagated L' C # tl ?M) D' < ?k
    using le-neq-implies-less by blast
  moreover {
    assume g-D'-k: get-maximum-level (Propagated L' C # tl ?M) D' = ?k
    have False
    proof -
      have f1: get-maximum-level (trail S) D' = backtrack-lvl S
        using M g-D'-k by auto
      have (trail S, init-clss S, learned-clss S, backtrack-lvl S, Some (D + {#L#}))
        = state S
        by (metis (no-types) LD)
      then have cdclW-o S (update-conflicting (Some (D' #∪ C')) (tl-trail S))
        using f1 bj[OF cdclW-bj.resolve[OF resolve-rule[of S L' C' tl ?M ?N ?U ?k D']]]
        C' D' M by (metis state-eq-def)
      then show ?thesis
        by (meson alien cdclW-then-exists-cdclW-stgy-step termi level-inv)
    qed
  }
  moreover {
    assume get-maximum-level (Propagated L' C # tl ?M) D' < ?k
    then have False
    proof -
      assume a1: get-maximum-level (Propagated L' C # tl (trail S)) D' < backtrack-lvl S
      obtain mm :: 'v literal multiset and ll :: 'v literal where
        f2: conflicting S = Some (mm + {#ll#})
        get-level (trail S) ll = backtrack-lvl S
        using LD (get-level (trail S) L = backtrack-lvl S) by blast
      then have f3: get-maximum-level (trail S) D' ≤ get-level (trail S) ll
        using M a1 by force
      have lev-neq: get-level (trail S) ll ≠ get-maximum-level (trail S) D'
        using f2 M calculation(2) by presburger
      have f1: trail S = Propagated L' C # tl (trail S)
        conflicting S = Some (D' + {#- L'#})
        using D' LD M by force+
      have f2: conflicting S = Some (mm + {#ll#})
        get-level (trail S) ll = backtrack-lvl S
        using f2 by force+
      have ll = - L'
        by (metis (no-types) D' LD lev-neq option.inject f2 f3 le-antisym
            get-maximum-level-ge-get-level insert-noteq-member)
      then show ?thesis
        using f2 f1 M backtrack-no-decomp[of S]
        by (metis (no-types) a1 alien cdclW-then-exists-cdclW-stgy-step level-inv termi)
    qed
  }
  ultimately have False by blast
}
ultimately have False by blast
}
ultimately have False by blast

```

```

}
ultimately show ?thesis by blast
qed

lemma cdclW-cp-tranclp-cdclW:
  cdclW-cp  $S S' \implies cdcl_W^{++} S S'$ 
  apply (induct rule: cdclW-cp.induct)
  by (meson cdclW.conflict cdclW.propagate tranclp.r-into-trancl tranclp.trancl-into-trancl)+

lemma tranclp-cdclW-cp-tranclp-cdclW:
  cdclW-cp++  $S S' \implies cdcl_W^{++} S S'$ 
  apply (induct rule: tranclp.induct)
  apply (simp add: cdclW-cp-tranclp-cdclW)
  by (meson cdclW-cp-tranclp-cdclW tranclp-trans)

lemma cdclW-stgy-tranclp-cdclW:
  cdclW-stgy  $S S' \implies cdcl_W^{++} S S'$ 
proof (induct rule: cdclW-stgy.induct)
  case conflict'
  then show ?case
    unfolding full1-def by (simp add: tranclp-cdclW-cp-tranclp-cdclW)
next
  case (other'  $S' S''$ )
  then have  $S' = S'' \vee cdcl_W\text{-cp}^{++} S' S''$ 
    by (simp add: rtranclp-unfold full-def)
  then show ?case
    using other' by (meson cdclW.other cdclW-axioms tranclp.r-into-trancl
      tranclp-cdclW-cp-tranclp-cdclW tranclp-trans)
qed

lemma tranclp-cdclW-stgy-tranclp-cdclW:
  cdclW-stgy++  $S S' \implies cdcl_W^{++} S S'$ 
  apply (induct rule: tranclp.induct)
  using cdclW-stgy-tranclp-cdclW apply blast
  by (meson cdclW-stgy-tranclp-cdclW tranclp-trans)

lemma rtranclp-cdclW-stgy-rtranclp-cdclW:
  cdclW-stgy**  $S S' \implies cdcl_W^{**} S S'$ 
  using rtranclp-unfold[of cdclW-stgy  $S S'$ ] tranclp-cdclW-stgy-tranclp-cdclW[of  $S S'$ ] by auto

lemma cdclW-o-conflict-is-false-with-level-inv:
  assumes
    cdclW-o  $S S'$  and
    lev: cdclW-M-level-inv  $S$  and
    confl-inv: conflict-is-false-with-level  $S$  and
    n-d: distinct-cdclW-state  $S$  and
    conflicting: cdclW-conflicting  $S$ 
  shows conflict-is-false-with-level  $S'$ 
  using assms(1,2)
proof (induct rule: cdclW-o-induct-lev2)
  case (resolve  $L C M D T$ ) note tr- $S = \text{this}(1)$  and confl =  $\text{this}(2)$  and  $T = \text{this}(4)$ 
  have  $-L \notin\# D$  using n-d confl unfolding distinct-cdclW-state-def distinct-mset-def by auto
  moreover have  $L \notin\# D$ 
  proof (rule ccontr)
    assume  $\neg ?thesis$ 

```

```

moreover have Propagated L (C + {#L#}) # M  $\models_{as}$  CNot D
  using conflicting confl tr-S unfolding cdclW-conflicting-def by auto
ultimately have  $\neg L \in \text{ lits-of } (\text{Propagated L } (C + \{ \#L\# \})) \# M$ 
  using in-CNot-implies-uminus(2) by blast
moreover have no-dup (Propagated L (C + {#L#})) # M
  using lev tr-S unfolding cdclW-M-level-inv-def by auto
ultimately show False unfolding lits-of-def by (metis consistent-interp-def image-eqI
  list.set-intros(1) lits-of-def ann-literal.sel(2) distinctconsistent-interp)
qed

ultimately
have g-D: get-maximum-level (Propagated L (C + {#L#}) # M) D
  = get-maximum-level M D
proof –
  have  $\forall a f L. ((a::'v) \in f \text{ ' } L) = (\exists l. (l::'v \text{ literal}) \in L \wedge a = f l)$ 
  by blast
  then show ?thesis
    using get-maximum-level-skip-first[of L D (C + {#L#}) M] unfolding atms-of-def
    by (metis (no-types)  $\neg L \notin \# D \rangle L \notin \# D \rangle \text{ atm-of-eq-atm-of mem-set-mset-iff}$ )
  qed
{ assume
  get-maximum-level (Propagated L (C + {#L#}) # M) D = backtrack-lvl S and
  backtrack-lvl S > 0
then have D: get-maximum-level M D = backtrack-lvl S unfolding g-D by blast
then have ?case
  using tr-S  $\langle \text{backtrack-lvl S} > 0 \rangle \text{ get-maximum-level-exists-lit[of backtrack-lvl S M D] T$ 
  by auto
}
moreover {
  assume [simp]: backtrack-lvl S = 0
  have  $\bigwedge L. \text{ get-level M L} = 0$ 
  proof –
  fix L
  have atm-of L  $\notin$  atm-of ' (lits-of M)  $\implies$  get-level M L = 0 by auto
  moreover {
    assume atm-of L  $\in$  atm-of ' (lits-of M)
    have g-r: get-all-levels-of-decided M = rev [Suc 0..Suc (backtrack-lvl S)]
    using lev tr-S unfolding cdclW-M-level-inv-def by auto
    have Max (insert 0 (set (get-all-levels-of-decided M))) = (backtrack-lvl S)
    unfolding g-r by (simp add: Max-n-upt)
    then have get-level M L = 0
    using get-maximum-possible-level-ge-get-level[of M L]
    unfolding get-maximum-possible-level-max-get-all-levels-of-decided by auto
  }
  ultimately show get-level M L = 0 by blast
  qed
then have ?case using get-maximum-level-exists-lit-of-max-level[of D# $\cup$ C M] tr-S T
  by (auto simp: Bex-mset-def)
}
ultimately show ?case using resolve.hyps(3) by blast
next
case (skip L C' M D T) note tr-S = this(1) and D = this(2) and T = this(5)
then obtain La where La  $\in \# D$  and get-level (Propagated L C' # M) La = backtrack-lvl S
  using skip confl-inv by auto
moreover

```

```

have atm-of La ≠ atm-of L
proof (rule ccontr)
  assume ¬ ?thesis
  then have La: La = L using ⟨La ∈# D⟩ ⟨- L ∉# D⟩ by (auto simp add: atm-of-eq-atm-of)
  have Propagated L C' # M ⊨as CNot D
    using conflicting tr-S D unfolding cdclW-conflicting-def by auto
  then have -L ∈ lits-of M
    using ⟨La ∈# D⟩ in-CNot-implies-uminus(2)[of D L Propagated L C' # M] unfolding La
    by auto
  then show False using lev tr-S unfolding cdclW-M-level-inv-def consistent-interp-def by auto
qed
then have get-level (Propagated L C' # M) La = get-level M La by auto
ultimately show ?case using D tr-S T by auto
qed (auto split: split-if-asm simp: cdclW-M-level-inv-decomp)

```

5.6.5 Strong completeness

lemma *cdcl_W-cp-propagate-confl*:

```

assumes cdclW-cp S T
shows propagate** S T ∨ (∃ S'. propagate** S S' ∧ conflict S' T)
using assms by induction blast+

```

lemma *rtrancpl-cdcl_W-cp-propagate-confl*:

```

assumes cdclW-cp** S T
shows propagate** S T ∨ (∃ S'. propagate** S S' ∧ conflict S' T)
by (simp add: assms rtrancpl-cdclW-cp-propa-or-propa-confl)

```

lemma *cdcl_W-cp-propagate-completeness*:

```

assumes MN: set M ⊨s set-mset N and
cons: consistent-interp (set M) and
tot: total-over-m (set M) (set-mset N) and
lits-of (trail S) ⊆ set M and
init-clss S = N and
propagate** S S' and
learned-clss S = {#}
shows length (trail S) ≤ length (trail S') ∧ lits-of (trail S') ⊆ set M
using assms(6,4,5,7)

```

proof (induction rule: rtrancpl-induct)

```

case base
then show ?case by auto

```

next

```

case (step Y Z)
note st = this(1) and propa = this(2) and IH = this(3) and lits' = this(4) and NS = this(5) and
learned = this(6)
then have len: length (trail S) ≤ length (trail Y) and LM: lits-of (trail Y) ⊆ set M
  by blast+

```

obtain *M' N' U k C L* **where**

```

Y: state Y = (M', N', U, k, None) and
Z: state Z = (Propagated L (C + {#L#}) # M', N', U, k, None) and
C: C + {#L#} ∈# clauses Y and
M'-C: M' ⊨as CNot C and
undefined-lit (trail Y) L
using propa by auto
have init-clss S = init-clss Y
using st by induction auto

```

```

then have [simp]:  $N' = N$  using NS Y Z by simp
have learned-clss  $Y = \{\#\}$ 
  using st learned by induction auto
then have [simp]:  $U = \{\#\}$  using Y by auto
have set  $M \models_s CNot\ C$ 
  using  $M'-C\ LM\ Y$  unfolding true-annots-def Ball-def true-annot-def true-clss-def true-clss-def
  by force
moreover
  have set  $M \models C + \{\#L\}$ 
    using MN C learned Y unfolding true-clss-def clauses-def
    by (metis NS  $\langle init-clss\ S = init-clss\ Y \rangle \langle learned-clss\ Y = \{\#\} \rangle add.right-neutral$ 
      mem-set-mset-iff)
  ultimately have  $L \in set\ M$  by (simp add: cons consistent-CNot-not)
then show ?case using LM len Y Z by auto
qed

```

lemma completeness-is-a-full1-propagation:

```

fixes S :: 'st and M :: 'v literal list
assumes MN: set  $M \models_s set-mset\ N$ 
and cons: consistent-interp (set M)
and tot: total-over-m (set M) (set-mset N)
and alien: no-strange-atm S
and learned: learned-clss  $S = \{\#\}$ 
and clsS[simp]: init-clss  $S = N$ 
and lits: lits-of (trail S)  $\subseteq set\ M$ 
shows  $\exists S'. propagate^{**}\ S\ S' \wedge full\ cdcl_W-cp\ S\ S'$ 
proof -
  obtain S' where full: full cdcl_W-cp S S'
    using always-exists-full-cdcl_W-cp-step alien by blast
  then consider (propa)  $propagate^{**}\ S\ S'$ 
  | (confl)  $\exists X. propagate^{**}\ S\ X \wedge conflict\ X\ S'$ 
  using rtranclp-cdcl_W-cp-propagate-confl unfolding full-def by blast
  then show ?thesis
  proof cases
    case propa then show ?thesis using full by blast
  next
    case confl
    then obtain X where
      X:  $propagate^{**}\ S\ X$  and
      Xconf:  $conflict\ X\ S'$ 
    by blast
    have clsX: init-clss  $X = init-clss\ S$ 
      using X by induction auto
    have learnedX: learned-clss  $X = \{\#\}$  using X learned by induction auto
    obtain E where
      E:  $E \in \# init-clss\ X + learned-clss\ X$  and
      Not-E: trail X  $\models_{as}\ CNot\ E$ 
      using Xconf by (auto simp add: conflict.simps clauses-def)
    have lits-of (trail X)  $\subseteq set\ M$ 
      using cdcl_W-cp-propagate-completeness[OF assms(1-3) lits - X learned] learned by auto
    then have MNE: set  $M \models_s CNot\ E$ 
      using Not-E
      by (fastforce simp add: true-annots-def true-annot-def true-clss-def true-clss-def)
    have  $\neg set\ M \models_s set-mset\ N$ 
      using E consistent-CNot-not[OF cons MNE]

```

unfolding *learnedX true-clss-def* **unfolding** *clsX clsS* **by** *auto*
then show *?thesis* **using** *MN* **by** *blast*
qed
qed

See also $cdcl_W\text{-cp}^{**} ?S ?S' \implies \exists M. \text{trail } ?S' = M @ \text{trail } ?S \wedge (\forall l \in \text{set } M. \neg \text{is-decided } l)$

lemma *rtrancpl-propagate-is-trail-append*:
 $\text{propagate}^{**} S T \implies \exists c. \text{trail } T = c @ \text{trail } S$
by (*induction rule: rtrancpl-induct*) *auto*

lemma *rtrancpl-propagate-is-update-trail*:
 $\text{propagate}^{**} S T \implies cdcl_W\text{-M-level-inv } S \implies T \sim \text{delete-trail-and-rebuild } (\text{trail } T) S$
proof (*induction rule: rtrancpl-induct*)
case *base*
then show *?case* **unfolding** *state-eq-def* **by** (*auto simp: cdcl_W-M-level-inv-decomp state-access-simp*)
next
case (*step T U*) **note** $IH = \text{this}(3)[OF \text{this}(4)]$
moreover have $cdcl_W\text{-M-level-inv } U$
using *rtrancpl-cdcl_W-consistent-inv* $\langle \text{propagate}^{**} S T \rangle \langle \text{propagate } T U \rangle$
rtrancpl-mono[*of propagate cdcl_W*] *cdcl_W-cp-consistent-inv propagate'*
*rtrancpl-propagate-is-rtrancpl-cdcl_W step.prem*s **by** *blast*
then have *no-dup* (*trail U*) **unfolding** *cdcl_W-M-level-inv-def* **by** *auto*
ultimately show *?case* **using** $\langle \text{propagate } T U \rangle$ **unfolding** *state-eq-def*
by (*fastforce simp: state-access-simp*)
qed

lemma *cdcl_W-stgy-strong-completeness-n*:
assumes
 $MN: \text{set } M \models_s \text{set-mset } N$ **and**
 $\text{cons: consistent-interp } (\text{set } M)$ **and**
 $\text{tot: total-over-m } (\text{set } M) (\text{set-mset } N)$ **and**
 $\text{atm-incl: atm-of ' } (\text{set } M) \subseteq \text{atms-of-msu } N$ **and**
 $\text{distM: distinct } M$ **and**
 $\text{length: } n \leq \text{length } M$

shows
 $\exists M' k S. \text{length } M' \geq n \wedge$
 $\text{lits-of } M' \subseteq \text{set } M \wedge$
 $\text{no-dup } M' \wedge$
 $S \sim \text{update-backtrack-lvl } k (\text{append-trail } (\text{rev } M') (\text{init-state } N)) \wedge$
 $cdcl_W\text{-stgy}^{**} (\text{init-state } N) S$

using *length*

proof (*induction n*)

case *0*

have $\text{update-backtrack-lvl } 0 (\text{append-trail } (\text{rev } []) (\text{init-state } N)) \sim \text{init-state } N$
by (*auto simp: state-eq-def simp del: state-simp*)

moreover have

$0 \leq \text{length } []$ **and**
 $\text{lits-of } [] \subseteq \text{set } M$ **and**
 $cdcl_W\text{-stgy}^{**} (\text{init-state } N) (\text{init-state } N)$
and $\text{no-dup } []$
by (*auto simp: state-eq-def simp del: state-simp*)

ultimately show *?case* **using** *state-eq-sym* **by** *blast*

next

case (*Suc n*) **note** $IH = \text{this}(1)$ **and** $n = \text{this}(2)$
then obtain $M' k S$ **where**

$l\text{-}M'$: $\text{length } M' \geq n$ **and**
 M' : $\text{lits-of } M' \subseteq \text{set } M$ **and**
 $n\text{-}d[\text{simp}]$: $\text{no-dup } M'$ **and**
 S : $S \sim \text{update-backtrack-lvl } k \text{ (append-trail (rev } M') \text{ (init-state } N))}$ **and**
 st : $\text{cdcl}_W\text{-stgy}^{**} \text{ (init-state } N) S$
by auto
have
 M : $\text{cdcl}_W\text{-}M\text{-level-inv } S$ **and**
 alien : $\text{no-strange-atm } S$
using $\text{rtranclp-cdcl}_W\text{-consistent-inv}[OF \text{ rtranclp-cdcl}_W\text{-stgy-rtranclp-cdcl}_W[OF st]]$
 $\text{rtranclp-cdcl}_W\text{-no-strange-atm-inv}[OF \text{ rtranclp-cdcl}_W\text{-stgy-rtranclp-cdcl}_W[OF st]]$
 S **unfolding** $\text{state-eq-def cdcl}_W\text{-}M\text{-level-inv-def no-strange-atm-def}$ **by auto**
{ assume $\text{no-step: } \neg \text{no-step propagate } S$

obtain S' **where** S' : $\text{propagate}^{**} S S'$ **and** full : $\text{full cdcl}_W\text{-cp } S S'$
using $\text{completeness-is-a-full1-propagation}[OF \text{ assms}(1-3), \text{ of } S]$ $\text{alien } M' S$
by $(\text{auto simp: state-access-simp})$
have lev : $\text{cdcl}_W\text{-}M\text{-level-inv } S'$
using $M S' \text{ rtranclp-cdcl}_W\text{-consistent-inv rtranclp-propagate-is-rtranclp-cdcl}_W$ **by blast**
then have $n\text{-}d'[\text{simp}]$: $\text{no-dup (trail } S')$
unfolding $\text{cdcl}_W\text{-}M\text{-level-inv-def}$ **by auto**
have $\text{length (trail } S) \leq \text{length (trail } S') \wedge \text{lits-of (trail } S') \subseteq \text{set } M$
using $S' \text{ full cdcl}_W\text{-cp-propagate-completeness}[OF \text{ assms}(1-3), \text{ of } S]$ $M' S$
by $(\text{auto simp: state-access-simp})$
moreover
have full : $\text{full1 cdcl}_W\text{-cp } S S'$
using $\text{full no-step no-step-cdcl}_W\text{-cp-no-conflict-no-propagate}(2)$ **unfolding** $\text{full1-def full-def}$
 rtranclp-unfold **by blast**
then have $\text{cdcl}_W\text{-stgy } S S'$ **by** $(\text{simp add: cdcl}_W\text{-stgy.conflict'})$
moreover
have propa : $\text{propagate}^{++} S S'$ **using** $S' \text{ full unfolding full1-def}$ **by** $(\text{metis rtranclpD tranclpD})$
have $\text{trail } S = M'$ **using** S **by** $(\text{auto simp: state-access-simp})$
with propa have $\text{length (trail } S') > n$
using $l\text{-}M' \text{ propa}$ **by** $(\text{induction rule: tranclp.induct})$ **auto**
moreover
have stS' : $\text{cdcl}_W\text{-stgy}^{**} \text{ (init-state } N) S'$
using $st \text{ cdcl}_W\text{-stgy.conflict'}[OF \text{ full}]$ **by auto**
then have $\text{init-clss } S' = N$ **using** $stS' \text{ rtranclp-cdcl}_W\text{-stgy-no-more-init-clss}$ **by fastforce**
moreover
have
 $[\text{simp}]: \text{learned-clss } S' = \{\#\}$ **and**
 $[\text{simp}]: \text{init-clss } S' = \text{init-clss } S$ **and**
 $[\text{simp}]: \text{conflicting } S' = \text{None}$
using $\text{tranclp-into-rtranclp}[OF \langle \text{propagate}^{++} S S' \rangle]$ S
 $\text{rtranclp-propagate-is-update-trail}[of S S'] S M$ **unfolding** state-eq-def
by $(\text{auto simp: state-access-simp})$
have $S\text{-}S'$: $S' \sim \text{update-backtrack-lvl (backtrack-lvl } S')$
 $(\text{append-trail (rev (trail } S')) \text{ (init-state } N))$ **using** S
by $(\text{auto simp: state-eq-def state-access-simp simp del: state-simp})$
have $\text{cdcl}_W\text{-stgy}^{**} \text{ (init-state (init-clss } S')) S'$
apply $(\text{rule rtranclp.rtrancl-into-rtrancl})$
using st **unfolding** $\langle \text{init-clss } S' = N \rangle$ **apply** simp
using $\langle \text{cdcl}_W\text{-stgy } S S' \rangle$ **by simp**
ultimately have $?case$
apply $-$

```

apply (rule exI[of - trail S'], rule exI[of - backtrack-lvl S'], rule exI[of - S'])
using S-S' by (auto simp: state-eq-def simp del: state-simp)
}
moreover {
  assume no-step: no-step propagate S
  have ?case
  proof (cases length M' ≥ Suc n)
    case True
    then show ?thesis using l-M' M' st M alien S by fastforce
  next
  case False
  then have n': length M' = n using l-M' by auto
  have no-conf: no-step conflict S
  proof -
    { fix D
      assume D ∈ # N and M' ⊨as CNot D
      then have set M ⊨ D using MN unfolding true-clss-def by auto
      moreover have set M ⊨s CNot D
        using ⟨M' ⊨as CNot D⟩ M'
        by (metis le-iff-sup true-annots-true-clss true-clss-union-increase)
      ultimately have False using cons consistent-CNot-not by blast
    }
    then show ?thesis using S by (auto simp: conflict.simps true-clss-def state-access-simp)
  qed
  have lenM: length M = card (set M) using distM by (induction M) auto
  have no-dup M' using S M unfolding cdclW-M-level-inv-def by auto
  then have card (lits-of M') = length M'
    by (induction M') (auto simp add: lits-of-def card-insert-if)
  then have lits-of M' ⊆ set M
    using n M' n' lenM by auto
  then obtain m where m: m ∈ set M and undef-m: m ∉ lits-of M' by auto
  moreover have undef: undefined-lit M' m
    using M' Decided-Propagated-in-iff-in-lits-of calculation(1,2) cons
    consistent-interp-def by blast
  moreover have atm-of m ∈ atms-of-msu (init-clss S)
    using atm-incl calculation S by (auto simp: state-access-simp)
  ultimately
    have dec: decide S (cons-trail (Decided m (k+1)) (incr-lvl S))
      using decide.intros[of S rev M' N - k m
        cons-trail (Decided m (k + 1)) (incr-lvl S)] S
      by (auto simp: state-access-simp)
    let ?S' = cons-trail (Decided m (k+1)) (incr-lvl S)
    have lits-of (trail ?S') ⊆ set M using m M' S undef by (auto simp: state-access-simp)
    moreover have no-strange-atm ?S'
      using alien dec M by (meson cdclW-no-strange-atm-inv decide other)
    ultimately obtain S'' where S'': propagate** ?S' S'' and full: full cdclW-cp ?S' S''
      using completeness-is-a-full1-propagation[OF assms(1-3), of ?S'] S undef
      by (auto simp: state-access-simp)
    have cdclW-M-level-inv ?S'
      using M dec rtranclp-mono[of decide cdclW] by (meson cdclW-consistent-inv decide other)
    then have lev'': cdclW-M-level-inv S''
      using S'' rtranclp-cdclW-consistent-inv rtranclp-propagate-is-rtranclp-cdclW by blast
    then have n-d'': no-dup (trail S'')
      unfolding cdclW-M-level-inv-def by auto
    have length (trail ?S') ≤ length (trail S'') ∧ lits-of (trail S'') ⊆ set M

```



```

    using  $S''$  full  $cdcl_W$ -cp-propagate-completeness[ $OF$   $assms(1-3)$ , of  $?S' S''$ ]  $m M' S$  undef
    by (simp add: state-access-simp)
  then have  $Suc\ n \leq length\ (trail\ S'') \wedge lits-of\ (trail\ S'') \subseteq set\ M$ 
    using  $l-M' S$  undef by (auto simp: state-access-simp)
  moreover
    have  $cdcl_W$ - $M$ -level-inv (cons-trail (Decided  $m$  (Suc (backtrack-lvl  $S$ )))
      (update-backtrack-lvl (Suc (backtrack-lvl  $S$ ))  $S$ ))
      using  $S$   $\langle cdcl_W$ - $M$ -level-inv (cons-trail (Decided  $m$  ( $k + 1$ )) (incr-lvl  $S$ ))  $\rangle$  by auto
    then have  $S''$ :  $S'' \sim update-backtrack-lvl\ (backtrack-lvl\ S'')$ 
      (append-trail (rev (trail  $S''$ )) (init-state  $N$ ))
      using  $rtrancp$ -propagate-is-update-trail[ $OF\ S''$ ]  $S$  undef  $n-d'' lev''$ 
      by (auto simp del: state-simp simp: state-eq-def state-access-simp)
    then have  $cdcl_W$ -stgy** (init-state  $N$ )  $S''$ 
      using  $cdcl_W$ -stgy.intros(2)[ $OF\ decide[OF\ dec] - full$ ] no-step no-conf st
      by (auto simp:  $cdcl_W$ -cp.simps)
    ultimately show  $?thesis$  using  $S'' n-d''$  by blast
  qed
}
ultimately show  $?case$  by blast
qed

```

lemma $cdcl_W$ -stgy-strong-completeness:

```

  assumes  $MN$ :  $set\ M \models set-mset\ N$ 
  and  $cons$ : consistent-interp (set  $M$ )
  and  $tot$ : total-over- $m$  (set  $M$ ) (set-mset  $N$ )
  and  $atm-incl$ :  $atm-of\ ' (set\ M) \subseteq atms-of-msu\ N$ 
  and  $distM$ : distinct  $M$ 

```

shows

```

 $\exists M' k S.$ 
   $lits-of\ M' = set\ M \wedge$ 
   $S \sim update-backtrack-lvl\ k\ (append-trail\ (rev\ M')\ (init-state\ N)) \wedge$ 
   $cdcl_W$ -stgy** (init-state  $N$ )  $S \wedge$ 
  final- $cdcl_W$ -state  $S$ 

```

proof –

from $cdcl_W$ -stgy-strong-completeness- n [$OF\ assms$, of length M]

obtain $M' k T$ where

```

   $l$ : length  $M \leq length\ M'$  and
   $M'-M$ :  $lits-of\ M' \subseteq set\ M$  and
  no-dup: no-dup  $M'$  and
   $T$ :  $T \sim update-backtrack-lvl\ k\ (append-trail\ (rev\ M')\ (init-state\ N))$  and
   $st$ :  $cdcl_W$ -stgy** (init-state  $N$ )  $T$ 
  by auto

```

have $card\ (set\ M) = length\ M$ using $distM$ by (simp add: distinct-card)

moreover

```

  have  $cdcl_W$ - $M$ -level-inv  $T$ 
    using  $rtrancp$ - $cdcl_W$ -stgy-consistent-inv[ $OF\ st$ ]  $T$  by auto
  then have  $card\ (set\ ((map\ (\lambda l. atm-of\ (lit-of\ l))\ M')) = length\ M'$ 
    using distinct-card no-dup by fastforce

```

moreover have $card\ (lits-of\ M') = card\ (set\ ((map\ (\lambda l. atm-of\ (lit-of\ l))\ M'))$

```

  using no-dup unfolding lits-of-def apply (induction  $M'$ ) by (auto simp add: card-insert-if)

```

ultimately have $card\ (set\ M) \leq card\ (lits-of\ M')$ using l unfolding lits-of-def by auto

then have $set\ M = lits-of\ M'$

```

  using  $M'-M$  card-seteq by blast

```

moreover

```

  then have  $M' \models_{asm}\ N$ 

```

```

    using MN unfolding true-annot-def Ball-def true-annot-def true-clss-def by auto
  then have final-cdclW-state T
    using T no-dup unfolding final-cdclW-state-def by (auto simp: state-access-simp)
  ultimately show ?thesis using st T by blast
qed

```

5.6.6 No conflict with only variables of level less than backtrack level

This invariant is stronger than the previous argument in the sense that it is a property about all possible conflicts.

definition *no-smaller-confl* ($S :: 'st$) \equiv
 $(\forall M K i M' D. M' @ Decided K i \# M = \text{trail } S \longrightarrow D \in \# \text{ clauses } S$
 $\longrightarrow \neg M \models_{as} CNot D)$

lemma *no-smaller-confl-init-sate*[simp]:
no-smaller-confl (init-state N) **unfolding** *no-smaller-confl-def* **by** auto

lemma *cdcl_W-o-no-smaller-confl-inv*:

```

  fixes S S' :: 'st
  assumes
    cdclW-o S S' and
    lev: cdclW-M-level-inv S and
    max-lev: conflict-is-false-with-level S and
    smaller: no-smaller-confl S and
    no-f: no-clause-is-false S
  shows no-smaller-confl S'
  using assms(1,2) unfolding no-smaller-confl-def
proof (induct rule: cdclW-o-induct-lev2)
  case (decide L T) note confl = this(1) and undef = this(2) and T = this(4)
  have [simp]: clauses T = clauses S
    using T undef by auto
  show ?case
  proof (intro allI impI)
    fix M'' K i M' Da
    assume M'' @ Decided K i \# M' = trail T
    and D: Da \in \# local.clauses T
    then have tl M'' @ Decided K i \# M' = trail S
      \vee (M'' = [] \wedge Decided K i \# M' = Decided L (backtrack-lvl S + 1) \# trail S)
    using T undef by (cases M'') auto
    moreover {
      assume tl M'' @ Decided K i \# M' = trail S
      then have \neg M' \models_{as} CNot Da
        using D T undef no-f confl smaller unfolding no-smaller-confl-def smaller by fastforce
    }
    moreover {
      assume Decided K i \# M' = Decided L (backtrack-lvl S + 1) \# trail S
      then have \neg M' \models_{as} CNot Da using no-f D confl T by auto
    }
    ultimately show \neg M' \models_{as} CNot Da by fast
  qed
next
  case resolve
  then show ?case using smaller no-f max-lev unfolding no-smaller-confl-def by auto
next
  case skip

```

```

then show ?case using smaller-no-f-max-lev unfolding no-smaller-conf-def by auto
next
case (backtrack K i M1 M2 L D T) note decomp = this(1) and confl = this(3) and undef = this(6)
  and T = this(7)
obtain c where M: trail S = c @ M2 @ Decided K (i+1) # M1
  using decomp by auto

show ?case
proof (intro allI impI)
  fix M ia K' M' Da
  assume M' @ Decided K' ia # M = trail T
  then have tl M' @ Decided K' ia # M = M1
    using T decomp undef lev by (cases M') (auto simp: cdclW-M-level-inv-decomp)
  assume D: Da ∈ # clauses T
  moreover {
    assume Da ∈ # clauses S
    then have ¬M ⊨as CNot Da using ⟨tl M' @ Decided K' ia # M = M1⟩ M confl undef smaller
      unfolding no-smaller-conf-def by auto
  }
  moreover {
    assume Da: Da = D + {#L#}
    have ¬M ⊨as CNot Da
    proof (rule ccontr)
      assume ¬ ?thesis
      then have -L ∈ lits-of M unfolding Da by auto
      then have -L ∈ lits-of (Propagated L ((D + {#L#}))) # M1
        using UnI2 ⟨tl M' @ Decided K' ia # M = M1⟩
        by auto
      moreover
        have backtrack S
          (cons-trail (Propagated L (D + {#L#})))
          (reduce-trail-to M1 (add-learned-cls (D + {#L#}))
            (update-backtrack-lvl i (update-conflicting None S))))
        using backtrack.intros[of S] backtrack.hyps
        by (force simp: state-eq-def simp del: state-simp)
      then have cdclW-M-level-inv
        (cons-trail (Propagated L (D + {#L#})))
        (reduce-trail-to M1 (add-learned-cls (D + {#L#}))
          (update-backtrack-lvl i (update-conflicting None S))))
        using cdclW-consistent-inv[OF - lev] other[OF bj] by auto
      then have no-dup (Propagated L (D + {#L#})) # M1
        using decomp undef lev unfolding cdclW-M-level-inv-def by auto
      ultimately show False by (metis consistent-interp-def distinctconsistent-interp
        insertCI lits-of-cons ann-literal.sel(2))
    qed
  }
  ultimately show ¬M ⊨as CNot Da
    using T undef ⟨Da = D + {#L#} ⟹ ¬ M ⊨as CNot Da⟩ decomp lev
    unfolding cdclW-M-level-inv-def by fastforce
  qed
}
ultimately show ¬M ⊨as CNot Da
  using T undef ⟨Da = D + {#L#} ⟹ ¬ M ⊨as CNot Da⟩ decomp lev
  unfolding cdclW-M-level-inv-def by fastforce
qed

```

lemma conflict-no-smaller-conf-inv:

```

assumes conflict S S'
and no-smaller-conf S

```

```

shows no-smaller-conflict S'
using assms unfolding no-smaller-conflict-def by fastforce

lemma propagate-no-smaller-conflict-inv:
  assumes propagate: propagate S S'
  and n-l: no-smaller-conflict S
  shows no-smaller-conflict S'
  unfolding no-smaller-conflict-def
proof (intro allI impI)
  fix M' K i M'' D
  assume M': M'' @ Decided K i # M' = trail S'
  and D ∈ # clauses S'
  obtain M N U k C L where
    S: state S = (M, N, U, k, None) and
    S': state S' = (Propagated L ( (C + {#L#})) # M, N, U, k, None) and
    C + {#L#} ∈ # clauses S and
    M ⊨as CNot C and
    undefined-lit M L
  using propagate by auto
  have tl M'' @ Decided K i # M' = trail S using M' S S'
  by (metis Pair-inject list.inject list.sel(3) ann-literal.distinct(1) self-append-conv2
      tl-append2)
  then have ¬M' ⊨as CNot D
  using ⟨D ∈ # clauses S'⟩ n-l S S' clauses-def unfolding no-smaller-conflict-def by auto
  then show ¬M' ⊨as CNot D by auto
qed

lemma cdclW-cp-no-smaller-conflict-inv:
  assumes propagate: cdclW-cp S S'
  and n-l: no-smaller-conflict S
  shows no-smaller-conflict S'
  using assms
proof (induct rule: cdclW-cp.induct)
  case (conflict' S S')
  then show ?case using conflict-no-smaller-conflict-inv[of S S'] by blast
next
  case (propagate' S S')
  then show ?case using propagate-no-smaller-conflict-inv[of S S'] by fastforce
qed

lemma rtrancp-cdclW-cp-no-smaller-conflict-inv:
  assumes propagate: cdclW-cp** S S'
  and n-l: no-smaller-conflict S
  shows no-smaller-conflict S'
  using assms
proof (induct rule: rtrancp-induct)
  case base
  then show ?case by simp
next
  case (step S' S'')
  then show ?case using cdclW-cp-no-smaller-conflict-inv[of S' S''] by fast
qed

lemma trancp-cdclW-cp-no-smaller-conflict-inv:
  assumes propagate: cdclW-cp++ S S'

```

and $n\text{-l: no-smaller-confli } S$
 shows $\text{no-smaller-confli } S'$
 using *assms*
proof (*induct rule: tranclp.induct*)
 case ($r\text{-into-trancl } S S'$)
 then show ?case using $\text{cdcl}_W\text{-cp-no-smaller-confli-inv}[of S S']$ by blast
 next
 case ($\text{trancl-into-trancl } S S' S''$)
 then show ?case using $\text{cdcl}_W\text{-cp-no-smaller-confli-inv}[of S' S'']$ by fast
 qed

lemma $\text{full-cdcl}_W\text{-cp-no-smaller-confli-inv}$:
 assumes $\text{full } \text{cdcl}_W\text{-cp } S S'$
 and $n\text{-l: no-smaller-confli } S$
 shows $\text{no-smaller-confli } S'$
 using *assms* **unfolding** *full-def*
 using $\text{rtranclp-cdcl}_W\text{-cp-no-smaller-confli-inv}[of S S']$ by blast

lemma $\text{full1-cdcl}_W\text{-cp-no-smaller-confli-inv}$:
 assumes $\text{full1 } \text{cdcl}_W\text{-cp } S S'$
 and $n\text{-l: no-smaller-confli } S$
 shows $\text{no-smaller-confli } S'$
 using *assms* **unfolding** *full1-def*
 using $\text{tranclp-cdcl}_W\text{-cp-no-smaller-confli-inv}[of S S']$ by blast

lemma $\text{cdcl}_W\text{-stgy-no-smaller-confli-inv}$:
 assumes $\text{cdcl}_W\text{-stgy } S S'$
 and $n\text{-l: no-smaller-confli } S$
 and $\text{conflict-is-false-with-level } S$
 and $\text{cdcl}_W\text{-M-level-inv } S$
 shows $\text{no-smaller-confli } S'$
 using *assms*
proof (*induct rule: cdcl_W-stgy.induct*)
 case ($\text{conflict}' S'$)
 then show ?case using $\text{full1-cdcl}_W\text{-cp-no-smaller-confli-inv}[of S S']$ by blast
 next
 case ($\text{other}' S' S''$)
 have $\text{no-smaller-confli } S'$
 using $\text{cdcl}_W\text{-o-no-smaller-confli-inv}[OF \text{other}'.\text{hyps}(1) \text{other}'.\text{prems}(3,2,1)]$
 not-conflict-not-any-negated-init-clss $\text{other}'.\text{hyps}(2)$ by blast
 then show ?case using $\text{full-cdcl}_W\text{-cp-no-smaller-confli-inv}[of S' S'']$ $\text{other}'.\text{hyps}$ by blast
 qed

lemma $\text{conflict-conflict-is-no-clause-is-false-test}$:
 assumes $\text{conflict } S S'$
 and $(\forall D \in \# \text{init-clss } S + \text{learned-clss } S. \text{trail } S \models_{as} CNot D$
 $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S) L = \text{backtrack-lvl } S))$
 shows $\forall D \in \# \text{init-clss } S' + \text{learned-clss } S'. \text{trail } S' \models_{as} CNot D$
 $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{backtrack-lvl } S')$
 using *assms* by auto

lemma $\text{is-conflicting-exists-conflict}$:
 assumes $\neg(\forall D \in \# \text{init-clss } S' + \text{learned-clss } S'. \neg \text{trail } S' \models_{as} CNot D)$
 and $\text{conflicting } S' = \text{None}$

```

shows  $\exists S''. \text{conflict } S' S''$ 
using assms clauses-def not-conflict-not-any-negated-init-clss by fastforce

lemma cdclW-o-conflict-is-no-clause-is-false:
fixes  $S S' :: 'st$ 
assumes
  cdclW-o  $S S'$  and
  lev: cdclW-M-level-inv  $S$  and
  max-lev: conflict-is-false-with-level  $S$  and
  no-f: no-clause-is-false  $S$  and
  no-l: no-smaller-conflict  $S$ 
shows no-clause-is-false  $S'$ 
   $\vee (\text{conflicting } S' = \text{None}$ 
     $\longrightarrow (\forall D \in \# \text{ clauses } S'. \text{trail } S' \models_{as} \text{CNot } D$ 
       $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{backtrack-lvl } S'))$ 
  )
using assms(1,2)
proof (induct rule: cdclW-o-induct-lev2)
case (decide  $L T$ ) note  $S = \text{this}(1)$  and  $\text{undef} = \text{this}(2)$  and  $T = \text{this}(4)$ 
show ?case
proof (rule HOL.disjI2, clarify)
  fix  $D$ 
  assume  $D: D \in \# \text{ clauses } T$  and  $M\text{-}D: \text{trail } T \models_{as} \text{CNot } D$ 
  let  $?M = \text{trail } S$ 
  let  $?M' = \text{trail } T$ 
  let  $?k = \text{backtrack-lvl } S$ 
  have  $\neg ?M \models_{as} \text{CNot } D$ 
    using no-f  $D S T \text{undef}$  by auto
  have  $-L \in \# D$ 
    proof (rule ccontr)
      assume  $\neg ?thesis$ 
      have  $?M \models_{as} \text{CNot } D$ 
      unfolding true-annots-def Ball-def true-annot-def CNot-def true-cls-def
      proof (intro allI impI)
        fix  $x$ 
        assume  $x: x \in \{\{\# - L\# \} \mid L. L \in \# D\}$ 

        then obtain  $L'$  where  $L': x = \{\# - L'\# \} L' \in \# D$  by auto
        obtain  $L''$  where  $L'' \in \# x$  and lits-of (Decided  $L (?k + 1) \# ?M$ )  $\models_l L''$ 
          using  $M\text{-}D x T \text{undef}$  unfolding true-annots-def Ball-def true-annot-def CNot-def
            true-cls-def Bex-mset-def by auto
        show  $\exists L \in \# x. \text{lits-of } ?M \models_l L$  unfolding Bex-mset-def
          by (metis  $\langle - L \notin \# D \rangle \langle L'' \in \# x \rangle L' \langle \text{lits-of } (\text{Decided } L (?k + 1) \# ?M) \models_l L'' \rangle$ 
            count-single insertE less-numeral-extra(3) lits-of-cons ann-literal.sel(1)
            true-lit-def uminus-of-uminus-id)
      )
    qed
    then show False using  $\langle \neg ?M \models_{as} \text{CNot } D \rangle$  by auto
  qed
  have atm-of  $L \notin \text{atm-of } ( \text{lits-of } ?M )$ 
    using undef defined-lit-map unfolding lits-of-def by fastforce
  then have get-level (Decided  $L (?k + 1) \# ?M$ )  $(-L) = ?k + 1$  by simp
  then show  $\exists La. La \in \# D \wedge \text{get-level } ?M' La = \text{backtrack-lvl } T$ 
    using  $\langle -L \in \# D \rangle T \text{undef}$  by auto
  qed
next
case resolve

```

```

then show ?case by auto
next
case skip
then show ?case by auto
next
case (backtrack K i M1 M2 L D T) note decomp = this(1) and undef = this(6) and T = this(7)
show ?case
proof (rule HOL.disjI2, clarify)
  fix Da
  assume Da: Da ∈# clauses T
  and M-D: trail T ⊨as CNot Da
  obtain c where M: trail S = c @ M2 @ Decided K (i + 1) # M1
  using decomp by auto
  have tr-T: trail T = Propagated L (D + {#L#}) # M1
  using T decomp undef lev by (auto simp: cdclW-M-level-inv-decomp)
  have backtrack S T
  using backtrack.intros backtrack.hyps T by (force simp del: state-simp simp: state-eq-def)
  then have lev': cdclW-M-level-inv T
  using cdclW-consistent-inv lev other by blast
  then have - L ∉ lits-of M1
  unfolding cdclW-M-level-inv-def lits-of-def
  proof -
    have consistent-interp (lits-of (trail S)) ∧ no-dup (trail S)
    ∧ backtrack-lvl S = length (get-all-levels-of-decided (trail S))
    ∧ get-all-levels-of-decided (trail S)
    = rev [1..i + length (get-all-levels-of-decided (trail S))]
    using lev cdclW-M-level-inv-def by blast
    then show - L ∉ lit-of 'set M1
    by (metis (no-types) One-nat-def add.right-neutral add-Suc-right
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set backtrack.hyps(2)
    cdclW.backtrack-lit-skipped cdclW-axioms decomp lits-of-def)
  qed
  { assume Da ∈# clauses S
    then have ¬M1 ⊨as CNot Da using no-l M unfolding no-smaller-confl-def by auto
  }
  moreover {
    assume Da: Da = D + {#L#}
    have ¬M1 ⊨as CNot Da using (¬ L ∉ lits-of M1) unfolding Da by simp
  }
  ultimately have ¬M1 ⊨as CNot Da
  using Da T undef decomp lev by (fastforce simp: cdclW-M-level-inv-decomp)
  then have -L ∈# Da
  using M-D (¬ L ∉ lits-of M1) in-CNot-implies-uminus(2)
  true-annots-CNot-lit-of-notin-skip T unfolding tr-T
  by (smt insert-iff lits-of-cons ann-literal.sel(2))
  have g-M1: get-all-levels-of-decided M1 = rev [1..i+1]
  using lev lev' T decomp undef unfolding cdclW-M-level-inv-def by auto
  have no-dup (Propagated L (D + {#L#}) # M1)
  using lev lev' T decomp undef unfolding cdclW-M-level-inv-def by auto
  then have L: atm-of L ∉ atm-of 'lits-of M1 unfolding lits-of-def by auto
  have get-level (Propagated L ((D + {#L#}))) # M1 (-L) = i
  using get-level-get-rev-level-get-all-levels-of-decided[OF L,
  of [Propagated L ((D + {#L#}))]]
  by (simp add: g-M1 split: if-splits)
  then show ∃ La. La ∈# Da ∧ get-level (trail T) La = backtrack-lvl T

```

```

    using  $\neg L \in \# D a$   $T$  decomp undef lev by (auto simp: cdclW-M-level-inv-def)
  qed
qed

lemma full1-cdclW-cp-exists-conflict-decompose:
  assumes confl:  $\exists D \in \# \text{clauses } S. \text{trail } S \models_{as} CNot D$ 
  and full: full cdclW-cp  $S U$ 
  and no-confl: conflicting  $S = None$ 
  shows  $\exists T. \text{propagate}^{**} S T \wedge \text{conflict } T U$ 
proof -
  consider (propa) propagate**  $S U$ 
    | (confl)  $T$  where propagate**  $S T$  and conflict  $T U$ 
  using full unfolding full-def by (blast dest:rtrancp-cdclW-cp-propa-or-propa-confl)
  then show ?thesis
  proof cases
    case confl
    then show ?thesis by blast
  next
    case propa
    then have conflicting  $U = None$ 
      using no-confl by induction auto
    moreover have [simp]: learned-clss  $U = \text{learned-clss } S$  and
      [simp]: init-clss  $U = \text{init-clss } S$ 
      using propa by induction auto
    moreover
      obtain  $D$  where  $D: D \in \# \text{clauses } U$  and
        trS: trail  $S \models_{as} CNot D$ 
        using confl clauses-def by auto
      obtain  $M$  where  $M: \text{trail } U = M @ \text{trail } S$ 
        using full rtrancp-cdclW-cp-dropWhile-trail unfolding full-def by meson
      have tr-U: trail  $U \models_{as} CNot D$ 
        apply (rule true-annots-mono)
        using trS unfolding  $M$  by simp-all
      have  $\exists V. \text{conflict } U V$ 
        using  $\langle \text{conflicting } U = None \rangle D$  clauses-def not-conflict-not-any-negated-init-clss tr-U
        by blast
      then have False using full cdclW-cp.conflict' unfolding full-def by blast
      then show ?thesis by fast
  qed
qed

```

```

lemma full1-cdclW-cp-exists-conflict-full1-decompose:
  assumes confl:  $\exists D \in \# \text{clauses } S. \text{trail } S \models_{as} CNot D$ 
  and full: full cdclW-cp  $S U$ 
  and no-confl: conflicting  $S = None$ 
  shows  $\exists T D. \text{propagate}^{**} S T \wedge \text{conflict } T U$ 
     $\wedge \text{trail } T \models_{as} CNot D \wedge \text{conflicting } U = \text{Some } D \wedge D \in \# \text{clauses } S$ 
proof -
  obtain  $T$  where propa: propagate**  $S T$  and conf: conflict  $T U$ 
    using full1-cdclW-cp-exists-conflict-decompose [OF assms] by blast
  have p: learned-clss  $T = \text{learned-clss } S$  init-clss  $T = \text{init-clss } S$ 
    using propa by induction auto
  have c: learned-clss  $U = \text{learned-clss } T$  init-clss  $U = \text{init-clss } T$ 
    using conf by induction auto
  obtain  $D$  where trail  $T \models_{as} CNot D \wedge \text{conflicting } U = \text{Some } D \wedge D \in \# \text{clauses } S$ 

```



```

    using conf p c by (fastforce simp: clauses-def)
  then show ?thesis
    using propa conf by blast
qed

lemma cdclW-stgy-no-smaller-confl:
  assumes cdclW-stgy S S'
  and n-l: no-smaller-confl S
  and conflict-is-false-with-level S
  and cdclW-M-level-inv S
  and no-clause-is-false S
  and distinct-cdclW-state S
  and cdclW-conflicting S
  shows no-smaller-confl S'
  using assms
proof (induct rule: cdclW-stgy.induct)
  case (conflict' S')
  show no-smaller-confl S'
    using conflict'.hyps conflict'.prems(1) full1-cdclW-cp-no-smaller-confl-inv by blast
next
  case (other' S' S'')
  have lev': cdclW-M-level-inv S'
    using cdclW-consistent-inv other other'.hyps(1) other'.prems(3) by blast
  show no-smaller-confl S''
    using cdclW-stgy-no-smaller-confl-inv[OF cdclW-stgy.other'[OF other'.hyps(1-3)]]
    other'.prems(1-3) by blast
qed

```

```

lemma cdclW-stgy-ex-lit-of-max-level:
  assumes cdclW-stgy S S'
  and n-l: no-smaller-confl S
  and conflict-is-false-with-level S
  and cdclW-M-level-inv S
  and no-clause-is-false S
  and distinct-cdclW-state S
  and cdclW-conflicting S
  shows conflict-is-false-with-level S'
  using assms
proof (induct rule: cdclW-stgy.induct)
  case (conflict' S')
  have no-smaller-confl S'
    using conflict'.hyps conflict'.prems(1) full1-cdclW-cp-no-smaller-confl-inv by blast
  moreover have conflict-is-false-with-level S'
    using conflict'.hyps conflict'.prems(2-4)
    rtranclp-cdclW-co-conflict-ex-lit-of-max-level[of S S']
    unfolding full-def full1-def rtranclp-unfold by presburger
  then show ?case by blast
next
  case (other' S' S'')
  have lev': cdclW-M-level-inv S'
    using cdclW-consistent-inv other other'.hyps(1) other'.prems(3) by blast
  moreover
    have no-clause-is-false S'
      
$$\vee (\text{conflicting } S' = \text{None} \longrightarrow (\forall D \in \# \text{clauses } S'. \text{trail } S' \models_{\text{as}} \text{CNot } D \\ \longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{backtrack-lvl } S')))$$


```

```

    using cdclW-o-conflict-is-no-clause-is-false[of  $S\ S'$ ] other'.hyps(1) other'.prems(1-4) by fast
  moreover {
    assume no-clause-is-false  $S'$ 
  {
    assume conflicting  $S' = \text{None}$ 
    then have conflict-is-false-with-level  $S'$  by auto
    moreover have full cdclW-cp  $S'\ S''$ 
      by (metis (no-types) other'.hyps(3))
    ultimately have conflict-is-false-with-level  $S''$ 
      using rtrancp-cdclW-co-conflict-ex-lit-of-max-level[of  $S'\ S''$ ] lev' ⟨no-clause-is-false  $S'$ ⟩
      by blast
  }
  moreover
  {
    assume c: conflicting  $S' \neq \text{None}$ 
    have conflicting  $S \neq \text{None}$  using other'.hyps(1) c
      by (induct rule: cdclW-o-induct) auto
    then have conflict-is-false-with-level  $S'$ 
      using cdclW-o-conflict-is-false-with-level-inv[OF other'.hyps(1)]
      other'.prems(3,5,6,2) by blast
    moreover have cdclW-cp**  $S'\ S''$  using other'.hyps(3) unfolding full-def by auto
    then have  $S' = S''$  using c
      by (induct rule: rtrancp-induct)
      (fastforce intro: option.exhaust)+
    ultimately have conflict-is-false-with-level  $S''$  by auto
  }
  ultimately have conflict-is-false-with-level  $S''$  by blast
}
moreover {
  assume
    confl: conflicting  $S' = \text{None}$  and
    D-L:  $\forall D \in \# \text{ clauses } S'. \text{ trail } S' \models_{\text{as}} \text{CNot } D$ 
     $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{backtrack-lvl } S')$ 
  { assume  $\forall D \in \# \text{ clauses } S'. \neg \text{ trail } S' \models_{\text{as}} \text{CNot } D$ 
    then have no-clause-is-false  $S'$  using confl by simp
    then have conflict-is-false-with-level  $S''$  using calculation(3) by presburger
  }
  moreover {
    assume  $\neg(\forall D \in \# \text{ clauses } S'. \neg \text{ trail } S' \models_{\text{as}} \text{CNot } D)$ 
    then obtain  $T\ D$  where
      propagate**  $S'\ T$  and
      conflict  $T\ S''$  and
      D:  $D \in \# \text{ clauses } S'$  and
      trail  $S'' \models_{\text{as}} \text{CNot } D$  and
      conflicting  $S'' = \text{Some } D$ 
    using full1-cdclW-cp-exists-conflict-full1-decompose[OF - - confl]
    other'(3) by (metis (mono-tags, lifting) ball-msetI bex-msetI conflictE state-eq-trail
      trail-update-conflicting)
    obtain  $M$  where  $M: \text{trail } S'' = M @ \text{trail } S'$  and  $nm: \forall m \in \text{set } M. \neg \text{is-decided } m$ 
    using rtrancp-cdclW-cp-dropWhile-trail other'(3) unfolding full-def by meson
    have btS: backtrack-lvl  $S'' = \text{backtrack-lvl } S'$ 
      using other'.hyps(3) unfolding full-def by (metis rtrancp-cdclW-cp-backtrack-lvl)
    have inv: cdclW-M-level-inv  $S''$ 
      by (metis (no-types) cdclW-stgy.conflict' cdclW-stgy-consistent-inv full-unfold lev'
        other'.hyps(3))
  }
}

```

then have nd : *no-dup* ($trail\ S''$)
by (*metis* (*no-types*) *cdcl_W-M-level-inv-decomp*(2))
have *conflict-is-false-with-level* S''
proof *cases*
assume $trail\ S' \models_{as} CNot\ D$
moreover then obtain L **where**
 $L \in \# D$ **and**
 lev - L : *get-level* ($trail\ S'$) $L = backtrack$ - $lvl\ S'$
using D - $L\ D$ **by** *blast*
moreover
have LS' : $-L \in lits$ - $of\ (trail\ S')$
using ($trail\ S' \models_{as} CNot\ D$) $\langle L \in \# D \rangle$ *in-CNot-implies-uminus*(2) **by** *blast*
{ **fix** $x :: ('v, nat, 'v\ literal\ multiset)\ ann$ -*literal* **and**
 $xb :: ('v, nat, 'v\ literal\ multiset)\ ann$ -*literal*
assume $a1$: $x \in set\ (trail\ S')$ **and**
 $a2$: $xb \in set\ M$ **and**
 $a3$: $(\lambda l. atm$ - $of\ (lit$ - $of\ l))\ 'set\ M \cap (\lambda l. atm$ - $of\ (lit$ - $of\ l))\ 'set\ (trail\ S')$
 $= \{\}$ **and**
 $a4$: $-L = lit$ - $of\ x$ **and**
 $a5$: atm - $of\ L = atm$ - $of\ (lit$ - $of\ xb)$
moreover have atm - $of\ (lit$ - $of\ x) = atm$ - $of\ L$
using $a4$ **by** (*metis* (*no-types*) *atm-of-uminus*)
ultimately have *False*
using $a5\ a3\ a2\ a1$ **by** *auto*
}
then have atm - $of\ L \notin atm$ - $of\ 'lits$ - $of\ M$
using $nd\ LS'$ **unfolding** M **by** (*auto simp add: lits-of-def*)
then have get - $level\ (trail\ S'')\ L = get$ - $level\ (trail\ S')\ L$
unfolding M **by** (*simp add: lits-of-def*)
ultimately show *?thesis* **using** $btS\ \langle conflicting\ S'' = Some\ D \rangle$ **by** *auto*
next
assume $\neg trail\ S' \models_{as} CNot\ D$
then obtain L **where** $L \in \# D$ **and** LM : $-L \in lits$ - $of\ M$
using ($trail\ S'' \models_{as} CNot\ D$)
by (*auto simp add: CNot-def true-cls-def M true-annots-def true-annot-def*
split: split-if-asm)
{ **fix** $x :: ('v, nat, 'v\ literal\ multiset)\ ann$ -*literal* **and**
 $xb :: ('v, nat, 'v\ literal\ multiset)\ ann$ -*literal*
assume $a1$: $xb \in set\ (trail\ S')$ **and**
 $a2$: $x \in set\ M$ **and**
 $a3$: atm - $of\ L = atm$ - $of\ (lit$ - $of\ xb)$ **and**
 $a4$: $-L = lit$ - $of\ x$ **and**
 $a5$: $(\lambda l. atm$ - $of\ (lit$ - $of\ l))\ 'set\ M \cap (\lambda l. atm$ - $of\ (lit$ - $of\ l))\ 'set\ (trail\ S')$
 $= \{\}$
moreover have atm - $of\ (lit$ - $of\ xb) = atm$ - $of\ (-L)$
using $a3$ **by** *simp*
ultimately have *False*
by *auto* **}**
then have LS' : atm - $of\ L \notin atm$ - $of\ 'lits$ - $of\ (trail\ S')$
using $nd\ \langle L \in \# D \rangle\ LM$ **unfolding** M **by** (*auto simp add: lits-of-def*)
show *?thesis*
proof *cases*
assume ne : *get-all-levels-of-decided* ($trail\ S'$) $= []$
have $backtrack$ - $lvl\ S'' = 0$
using $inv\ ne\ nm$ **unfolding** *cdcl_W-M-level-inv-def* M

```

    by (simp add: get-all-levels-of-decided-nil-iff-not-is-decided)
  moreover
    have a1: get-level M L = 0
      using nm by auto
    then have get-level (M @ trail S') L = 0
      by (metis LS' get-all-levels-of-decided-nil-iff-not-is-decided
        get-level-skip-beginning-not-decided lits-of-def ne)
    ultimately show ?thesis using ⟨conflicting S'' = Some D⟩ ⟨L ∈# D⟩ unfolding M
      by auto
  next
    assume ne: get-all-levels-of-decided (trail S') ≠ []
    have hd (get-all-levels-of-decided (trail S')) = backtrack-lvl S'
      using ne lev' M nm unfolding cdclW-M-level-inv-def
      by (cases get-all-levels-of-decided (trail S'))
      (simp-all add: get-all-levels-of-decided-nil-iff-not-is-decided[symmetric])
    moreover have atm-of L ∈ atm-of ' lits-of M
      using ⟨¬L ∈ lits-of M⟩
      by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def)
    ultimately show ?thesis
      using nm ne ⟨L ∈# D⟩ ⟨conflicting S'' = Some D⟩
        get-level-skip-beginning-hd-get-all-levels-of-decided[OF LS', of M]
        get-level-skip-in-all-not-decided[of rev M L backtrack-lvl S']
      unfolding lits-of-def btS M
      by auto
  qed
}
}
ultimately have conflict-is-false-with-level S'' by blast
}
moreover
{
  assume conflicting S' ≠ None
  have no-clause-is-false S' using ⟨conflicting S' ≠ None⟩ by auto
  then have conflict-is-false-with-level S'' using calculation(3) by presburger
}
ultimately show ?case by fast
qed

```

lemma rtrancp-cdcl_W-stgy-no-smaller-confl-inv:

```

  assumes
    cdclW-stgy** S S' and
    n-l: no-smaller-confl S and
    cls-false: conflict-is-false-with-level S and
    lev: cdclW-M-level-inv S and
    no-f: no-clause-is-false S and
    dist: distinct-cdclW-state S and
    conflicting: cdclW-conflicting S and
    decomp: all-decomposition-implies-m (init-clss S) (get-all-decided-decomposition (trail S)) and
    learned: cdclW-learned-clause S and
    alien: no-strange-atm S
  shows no-smaller-confl S' ∧ conflict-is-false-with-level S'
  using assms(1)
proof (induct rule: rtrancp-induct)
  case base
  then show ?case using n-l cls-false by auto

```

next
case (*step* $S' S''$) **note** $st = \text{this}(1)$ **and** $cdcl = \text{this}(2)$ **and** $IH = \text{this}(3)$
have *no-smaller-conf* S' **and** *conflict-is-false-with-level* S'
using IH **by** *blast*+
moreover have *cdcl_W-M-level-inv* S'
using st *lev rtranclp-cdcl_W-stgy-rtranclp-cdcl_W*
by (*blast intro: rtranclp-cdcl_W-consistent-inv*)+
moreover have *no-clause-is-false* S'
using st *no-f rtranclp-cdcl_W-stgy-not-non-negated-init-clss* **by** *presburger*
moreover have *distinct-cdcl_W-state* S'
using *rtranclp-distinct-cdcl_W-state-inv*[*of* $S S'$] *lev rtranclp-cdcl_W-stgy-rtranclp-cdcl_W*[*OF* st]
dist **by** *auto*
moreover have *cdcl_W-conflicting* S'
using *rtranclp-cdcl_W-all-inv*(6)[*of* $S S'$] st *alien conflicting decomp dist learned lev*
rtranclp-cdcl_W-stgy-rtranclp-cdcl_W **by** *blast*
ultimately show ?*case*
using *cdcl_W-stgy-no-smaller-conf*[*OF* $cdcl$] *cdcl_W-stgy-ex-lit-of-max-level*[*OF* $cdcl$] **by** *fast*
qed

5.6.7 Final States are Conclusive

lemma *full-cdcl_W-stgy-final-state-conclusive-non-false*:
fixes $S' :: 'st$
assumes *full: full cdcl_W-stgy (init-state N) S'*
and *no-d: distinct-mset-mset N*
and *no-empty: $\forall D \in \#N. D \neq \{\#\}$*
shows (*conflicting* $S' = \text{Some } \{\#\} \wedge \text{unsatisfiable } (\text{set-mset } (\text{init-clss } S'))$)
 \vee (*conflicting* $S' = \text{None} \wedge \text{trail } S' \models_{asm} \text{init-clss } S'$)
proof –
let ? $S = \text{init-state } N$
have
*termi: $\forall S''. \neg \text{cdcl}_W\text{-stgy } S' S''$ **and***
step: $\text{cdcl}_W\text{-stgy}^ (\text{init-state } N) S'$ **using** *full unfolding full-def* **by** *auto**
moreover have
*learned: cdcl_W-learned-clause S' **and***
*level-inv: cdcl_W-M-level-inv S' **and***
*alien: no-strange-atm S' **and***
*no-dup: distinct-cdcl_W-state S' **and***
*conf: cdcl_W-conflicting S' **and***
decomp: all-decomposition-implies-m (init-clss S') (get-all-decided-decomposition (trail S'))
using *no-d tranclp-cdcl_W-stgy-tranclp-cdcl_W*[*of* ? $S S'$] *step rtranclp-cdcl_W-all-inv*(1–6)[*of* ? $S S'$]
unfolding *rtranclp-unfold* **by** *auto*
moreover
have $\forall D \in \#N. \neg [] \models_{as} CNot D$ **using** *no-empty* **by** *auto*
then have *conf-k: conflict-is-false-with-level S'*
using *rtranclp-cdcl_W-stgy-no-smaller-conf-inv*[*OF* *step*] *no-d* **by** *auto*
show ?*thesis*
using *cdcl_W-stgy-final-state-conclusive*[*OF* *termi decomp learned level-inv alien no-dup conf*
conf-k] .
qed

lemma *conflict-is-full1-cdcl_W-cp*:
assumes *cp: conflict $S S'$*
shows *full1 cdcl_W-cp $S S'$*
proof –

have $cdcl_W\text{-}cp\ S\ S'$ **and** $conflicting\ S' \neq None$ **using** $cp\ cdcl_W\text{-}cp.intros$ **by** *auto*
then have $cdcl_W\text{-}cp^{++}\ S\ S'$ **by** *blast*
moreover have $no\text{-}step\ cdcl_W\text{-}cp\ S'$
using $\langle conflicting\ S' \neq None \rangle$ **by** $(metis\ cdcl_W\text{-}cp\ conflicting\ not\ empty\ option.exhaust)$
ultimately show $full1\ cdcl_W\text{-}cp\ S\ S'$ **unfolding** $full1\text{-}def$ **by** $blast+$
qed

lemma $cdcl_W\text{-}cp\text{-}fst\text{-}empty\text{-}conflicting\text{-}false$:
assumes $cdcl_W\text{-}cp\ S\ S'$
and $trail\ S = []$
and $conflicting\ S \neq None$
shows *False*
using *assms* **by** $(induct\ rule:\ cdcl_W\text{-}cp.induct)\ auto$

lemma $cdcl_W\text{-}o\text{-}fst\text{-}empty\text{-}conflicting\text{-}false$:
assumes $cdcl_W\text{-}o\ S\ S'$
and $trail\ S = []$
and $conflicting\ S \neq None$
shows *False*
using *assms* **by** $(induct\ rule:\ cdcl_W\text{-}o.induct)\ auto$

lemma $cdcl_W\text{-}stgy\text{-}fst\text{-}empty\text{-}conflicting\text{-}false$:
assumes $cdcl_W\text{-}stgy\ S\ S'$
and $trail\ S = []$
and $conflicting\ S \neq None$
shows *False*
using *assms* **apply** $(induct\ rule:\ cdcl_W\text{-}stgy.induct)$
using $tranclpD\ cdcl_W\text{-}cp\text{-}fst\text{-}empty\text{-}conflicting\text{-}false$ **unfolding** $full1\text{-}def$ **apply** *metis*
using $cdcl_W\text{-}o\text{-}fst\text{-}empty\text{-}conflicting\text{-}false$ **by** *blast*
thm $cdcl_W\text{-}cp.induct[split\text{-}format(complete)]$

lemma $cdcl_W\text{-}cp\text{-}conflicting\text{-}is\text{-}false$:
 $cdcl_W\text{-}cp\ S\ S' \implies conflicting\ S = Some\ \{\#\} \implies False$
by $(induction\ rule:\ cdcl_W\text{-}cp.induct)\ auto$

lemma $rtranclp\text{-}cdcl_W\text{-}cp\text{-}conflicting\text{-}is\text{-}false$:
 $cdcl_W\text{-}cp^{++}\ S\ S' \implies conflicting\ S = Some\ \{\#\} \implies False$
apply $(induction\ rule:\ tranclp.induct)$
by $(auto\ dest:\ cdcl_W\text{-}cp\text{-}conflicting\text{-}is\text{-}false)$

lemma $cdcl_W\text{-}o\text{-}conflicting\text{-}is\text{-}false$:
 $cdcl_W\text{-}o\ S\ S' \implies conflicting\ S = Some\ \{\#\} \implies False$
by $(induction\ rule:\ cdcl_W\text{-}o.induct)\ auto$

lemma $cdcl_W\text{-}stgy\text{-}conflicting\text{-}is\text{-}false$:
 $cdcl_W\text{-}stgy\ S\ S' \implies conflicting\ S = Some\ \{\#\} \implies False$
apply $(induction\ rule:\ cdcl_W\text{-}stgy.induct)$
unfolding $full1\text{-}def$ **apply** $(metis\ (no\text{-}types)\ cdcl_W\text{-}cp\text{-}conflicting\text{-}not\text{-}empty\ tranclpD)$
unfolding $full\text{-}def$ **by** $(metis\ conflict\text{-}with\text{-}false\text{-}implies\text{-}terminated\ other)$

lemma $rtranclp\text{-}cdcl_W\text{-}stgy\text{-}conflicting\text{-}is\text{-}false$:
 $cdcl_W\text{-}stgy^{**}\ S\ S' \implies conflicting\ S = Some\ \{\#\} \implies S' = S$
apply $(induction\ rule:\ rtranclp.induct)$

```

    apply simp
    using cdclW-stgy-conflicting-is-false by blast

lemma full-cdclW-init-clss-with-false-normal-form:
  assumes
     $\forall m \in \text{set } M. \neg \text{is-decided } m$  and
     $E = \text{Some } D$  and
    state  $S = (M, N, U, 0, E)$ 
    full cdclW-stgy  $S S'$  and
    all-decomposition-implies-m (init-clss  $S$ ) (get-all-decided-decomposition (trail  $S$ ))
    cdclW-learned-clause  $S$ 
    cdclW-M-level-inv  $S$ 
    no-strange-atm  $S$ 
    distinct-cdclW-state  $S$ 
    cdclW-conflicting  $S$ 
  shows  $\exists M''. \text{state } S' = (M'', N, U, 0, \text{Some } \{\#\})$ 
  using assms(10,9,8,7,6,5,4,3,2,1)
proof (induction  $M$  arbitrary:  $E D S$ )
  case Nil
  then show ?case
    using rtrancp-cdclW-stgy-conflicting-is-false unfolding full-def cdclW-conflicting-def by auto
next
  case (Cons  $L M$ ) note  $IH = \text{this}(1)$  and  $\text{full} = \text{this}(8)$  and  $E = \text{this}(10)$  and  $\text{inv} = \text{this}(2-7)$  and
     $S = \text{this}(9)$  and  $\text{nm} = \text{this}(11)$ 
  obtain  $K p$  where  $K: L = \text{Propagated } K p$ 
  using  $\text{nm}$  by (cases  $L$ ) auto
  have every-mark-is-a-conflict  $S$  using  $\text{inv}$  unfolding cdclW-conflicting-def by auto
  then have  $MpK: M \models_{\text{as}} \text{CNot } (p - \{\#K\#\})$  and  $Kp: K \in\# p$ 
  using  $S$  unfolding  $K$  by fastforce+
  then have  $p: p = (p - \{\#K\#\}) + \{\#K\#\}$ 
  by (auto simp add: multiset-eq-iff)
  then have  $K': L = \text{Propagated } K ((p - \{\#K\#\}) + \{\#K\\#))$ 
  using  $K$  by auto

  consider ( $D$ )  $D = \{\#\} \mid (D') D \neq \{\#\}$  by blast
  then show ?case
  proof cases
    case  $D$ 
    then show ?thesis
      using full rtrancp-cdclW-stgy-conflicting-is-false  $S$  unfolding full-def  $E D$  by auto
  next
    case  $D'$ 
    then have no-p: no-step propagate  $S$  and no-c: no-step conflict  $S$ 
    using  $S E$  by auto
    then have no-step cdclW-cp  $S$  by (auto simp: cdclW-cp.simps)
    have res-skip:  $\exists T. (\text{resolve } S T \wedge \text{no-step skip } S \wedge \text{full cdcl}_W\text{-cp } T T)$ 
       $\vee (\text{skip } S T \wedge \text{no-step resolve } S \wedge \text{full cdcl}_W\text{-cp } T T)$ 
    proof cases
      assume  $\neg \text{lit-of } L \notin\# D$ 
      then obtain  $T$  where  $\text{sk}: \text{skip } S T$  and  $\text{res}: \text{no-step resolve } S$ 
      using  $S$  that  $D' K$  unfolding skip.simps  $E$  by fastforce
      have full cdclW-cp  $T T$ 
      using  $\text{sk}$  by (auto simp add: option-full-cdclW-cp)
      then show ?thesis
      using  $\text{sk res}$  by blast
    end
  end
end

```

```

next
  assume LD:  $\neg$ -lit-of  $L \notin \# D$ 
  then have D: Some  $D = \text{Some } ((D - \{\# \text{-lit-of } L\}) + \{\# \text{-lit-of } L\})$ 
    by (auto simp add: multiset-eq-iff)

  have  $\bigwedge L. \text{get-level } M L = 0$ 
    by (simp add: nm)
  then have get-maximum-level (Propagated  $K (p - \{\#K\} + \{\#K\}) \# M (D - \{\# \text{-}$ 
 $K\}) = 0$ 
    using LD get-maximum-level-exists-lit-of-max-level
  proof -
    obtain  $L'$  where  $\text{get-level } (L \# M) L' = \text{get-maximum-level } (L \# M) D$ 
      using LD get-maximum-level-exists-lit-of-max-level[of  $D L \# M$ ] by fastforce
    then show ?thesis by (metis (mono-tags)  $K'$  bex-msetE get-level-skip-all-not-decided
      get-maximum-level-exists-lit nm not-gr0)
  qed
  then obtain  $T$  where  $sk: \text{resolve } S T$  and  $res: \text{no-step skip } S$ 
    using resolve-rule[of  $S K p - \{\#K\} M N U 0 (D - \{\# \text{-} K\})$ 
      update-conflicting (Some (remdups-mset ( $D - \{\# \text{-} K\} + (p - \{\#K\})))$ ) (tl-trail  $S$ )]
     $S$  unfolding  $K' D E$  by fastforce
  have full cdclW-cp  $T T$ 
    using  $sk$  by (auto simp add: option-full-cdclW-cp)
  then show ?thesis
    using  $sk res$  by blast
  qed
  then have step-s:  $\exists T. \text{cdcl}_W\text{-stgy } S T$ 
    using (no-step cdclW-cp  $S$ ) other' by (meson bj resolve skip)
  have get-all-decided-decomposition ( $L \# M$ ) =  $[(\[], L \# M)]$ 
    using nm unfolding  $K$  apply (induction  $M$  rule: ann-literal-list-induct, simp)
      by (rename-tac  $L l xs$ , case-tac  $hd$  (get-all-decided-decomposition  $xs$ ), auto)+
  then have no-b: no-step backtrack  $S$ 
    using nm  $S$  by auto
  have no-d: no-step decide  $S$ 
    using  $S E$  by auto

  have full-S-S: full cdclW-cp  $S S$ 
    using  $S E$  by (auto simp add: option-full-cdclW-cp)
  then have no-f: no-step (full1 cdclW-cp)  $S$ 
    unfolding full-def full1-def rtrancp-unfold by (meson trancpD)
  obtain  $T$  where
     $s: \text{cdcl}_W\text{-stgy } S T$  and  $st: \text{cdcl}_W\text{-stgy}^{**} T S'$ 
    using full step-s full unfolding full-def by (metis rtrancp-unfold trancpD)
  have resolve  $S T \vee \text{skip } S T$ 
    using  $s$  no-b no-d res-skip full-S-S unfolding cdclW-stgy.simps cdclW-o.simps full-unfold
      full1-def
    by (auto dest!: trancpD simp: cdclW-bj.simps)
  then obtain  $D'$  where  $T: \text{state } T = (M, N, U, 0, \text{Some } D')$ 
    using  $S E$  by auto

  have st-c: cdclW**  $S T$ 
    using  $E T$  rtrancp-cdclW-stgy-rtrancp-cdclW  $s$  by blast
  have cdclW-conflicting  $T$ 
    using rtrancp-cdclW-all-inv(6)[OF st-c inv(6,5,4,3,2,1)] .
  show ?thesis
    apply (rule IH[of  $T$ ])

```



```

        using rtrancpl-cdclW-all-inv(6)[OF st-c inv(6,5,4,3,2,1)] apply blast
        using rtrancpl-cdclW-all-inv(5)[OF st-c inv(6,5,4,3,2,1)] apply blast
        using rtrancpl-cdclW-all-inv(4)[OF st-c inv(6,5,4,3,2,1)] apply blast
        using rtrancpl-cdclW-all-inv(3)[OF st-c inv(6,5,4,3,2,1)] apply blast
        using rtrancpl-cdclW-all-inv(2)[OF st-c inv(6,5,4,3,2,1)] apply blast
        using rtrancpl-cdclW-all-inv(1)[OF st-c inv(6,5,4,3,2,1)] apply blast
        apply (metis full-def st full)
        using T E apply blast
        apply auto[]
        using nm by simp
    qed
qed

lemma full-cdclW-stgy-final-state-conclusive-is-one-false:
  fixes S' :: 'st
  assumes full: full cdclW-stgy (init-state N) S'
  and no-d: distinct-mset-mset N
  and empty: {#} ∈ # N
  shows conflicting S' = Some {#} ∧ unsatisfiable (set-mset (init-clss S'))
proof -
  let ?S = init-state N
  have cdclW-stgy** ?S S' and no-step cdclW-stgy S' using full unfolding full-def by auto
  then have plus-or-eq: cdclW-stgy++ ?S S' ∨ S' = ?S unfolding rtrancpl-unfold by auto
  have ∃ S''. conflict ?S S'' using empty not-conflict-not-any-negated-init-clss by force

  then have cdclW-stgy: ∃ S'. cdclW-stgy ?S S'
    using cdclW-cp.conflict'[of ?S] conflict-is-full1-cdclW-cp cdclW-stgy.intros(1) by metis
  have S' ≠ ?S using (no-step cdclW-stgy S') cdclW-stgy by blast

  then obtain St:: 'st where St: cdclW-stgy ?S St and cdclW-stgy** St S'
    using plus-or-eq by (metis (no-types) ⟨cdclW-stgy** ?S S'⟩ converse-rtrancplE)
  have st: cdclW** ?S St
    by (simp add: rtrancpl-unfold ⟨cdclW-stgy ?S St⟩ cdclW-stgy-trancpl-cdclW)

  have ∃ T. conflict ?S T
    using empty not-conflict-not-any-negated-init-clss by force
  then have fullSt: full1 cdclW-cp ?S St
    using St unfolding cdclW-stgy.simps by blast
  then have bt: backtrack-lvl St = (0::nat)
    using rtrancpl-cdclW-cp-backtrack-lvl unfolding full1-def
    by (fastforce dest!: trancpl-into-rtrancpl)
  have cls-St: init-clss St = N
    using fullSt cdclW-stgy-no-more-init-clss[OF St] by auto
  have conflicting St ≠ None
  proof (rule ccontr)
    assume ¬ ?thesis
    then have ∃ T. conflict St T
      using empty cls-St[] conflict-rule[of St trail St N learned-clss St backtrack-lvl St
        {#}]
      by (auto simp: clauses-def)
    then show False using fullSt unfolding full1-def by blast
  qed

  have 1: ∀ m ∈ set (trail St). ¬ is-decided m
    using fullSt unfolding full1-def by (auto dest!: trancpl-into-rtrancpl)

```

```

    rtrancpl-cdclW-cp-dropWhile-trail)
have 2: full cdclW-stgy St S'
  using ⟨cdclW-stgy** St S'⟩ ⟨no-step cdclW-stgy S'⟩ bt unfolding full-def by auto
have 3: all-decomposition-implies-m
  (init-clss St)
  (get-all-decided-decomposition
   (trail St))
  using rtrancpl-cdclW-all-inv(1)[OF st] no-d bt by simp
have 4: cdclW-learned-clause St
  using rtrancpl-cdclW-all-inv(2)[OF st] no-d bt bt by simp
have 5: cdclW-M-level-inv St
  using rtrancpl-cdclW-all-inv(3)[OF st] no-d bt by simp
have 6: no-strange-atm St
  using rtrancpl-cdclW-all-inv(4)[OF st] no-d bt by simp
have 7: distinct-cdclW-state St
  using rtrancpl-cdclW-all-inv(5)[OF st] no-d bt by simp
have 8: cdclW-conflicting St
  using rtrancpl-cdclW-all-inv(6)[OF st] no-d bt by simp
have init-clss S' = init-clss St and conflicting S' = Some {#}
  using ⟨conflicting St ≠ None⟩ full-cdclW-init-clss-with-false-normal-form[OF 1, of - - St]
  2 3 4 5 6 7 8 St apply (metis ⟨cdclW-stgy** St S'⟩ rtrancpl-cdclW-stgy-no-more-init-clss)
  using ⟨conflicting St ≠ None⟩ full-cdclW-init-clss-with-false-normal-form[OF 1, of - - St - -
  S'] 2 3 4 5 6 7 8 by (metis bt option.exhaust prod.inject)

moreover have init-clss S' = N
  using ⟨cdclW-stgy** (init-state N) S'⟩ rtrancpl-cdclW-stgy-no-more-init-clss by fastforce
moreover have unsatisfiable (set-mset N)
  by (meson empty mem-set-mset-iff satisfiable-def true-clss-empty true-clss-def)
ultimately show ?thesis by auto
qed

```

lemma full-cdcl_W-stgy-final-state-conclusive:

```

fixes S' :: 'st
assumes full: full cdclW-stgy (init-state N) S' and no-d: distinct-mset-mset N
shows (conflicting S' = Some {#} ∧ unsatisfiable (set-mset (init-clss S')))
  ∨ (conflicting S' = None ∧ trail S' ⊨asm init-clss S')
using assms full-cdclW-stgy-final-state-conclusive-is-one-false
full-cdclW-stgy-final-state-conclusive-non-false by blast

```

lemma full-cdcl_W-stgy-final-state-conclusive-from-init-state:

```

fixes S' :: 'st
assumes full: full cdclW-stgy (init-state N) S'
and no-d: distinct-mset-mset N
shows (conflicting S' = Some {#} ∧ unsatisfiable (set-mset N))
  ∨ (conflicting S' = None ∧ trail S' ⊨asm N ∧ satisfiable (set-mset N))
proof -
  have N: init-clss S' = N
    using full unfolding full-def by (auto dest: rtrancpl-cdclW-stgy-no-more-init-clss)
  consider
    (confl) conflicting S' = Some {#} and unsatisfiable (set-mset (init-clss S'))
  | (sat) conflicting S' = None and trail S' ⊨asm init-clss S'
    using full-cdclW-stgy-final-state-conclusive[OF assms] by auto
  then show ?thesis
    proof cases

```

```

    case confl
    then show ?thesis by (auto simp: N)
next
case sat
have cdclW-M-level-inv (init-state N) by auto
then have cdclW-M-level-inv S'
  using full rtracp-cdclW-stgy-consistent-inv unfolding full-def by blast
then have consistent-interp (lits-of (trail S')) unfolding cdclW-M-level-inv-def by blast
moreover have lits-of (trail S')  $\models_s$  set-mset (init-clss S')
  using sat(2) by (auto simp add: true-annots-def true-annot-def true-clss-def)
ultimately have satisfiable (set-mset (init-clss S')) by simp
then show ?thesis using sat unfolding N by blast
qed
qed
end
end
theory CDCL-W-Termination
imports CDCL-W
begin

context cdclW
begin

```

5.7 Termination

The condition that no learned clause is a tautology is overkill (in the sense that the no-duplicate condition is enough), but we can reuse *simple-clss*.

The invariant contains all the structural invariants that holds,

definition *cdcl_W-all-struct-inv* where

```

cdclW-all-struct-inv S =
  (no-strange-atm S  $\wedge$  cdclW-M-level-inv S
 $\wedge$  ( $\forall s \in \#$  learned-clss S.  $\neg$ tautology s)
 $\wedge$  distinct-cdclW-state S  $\wedge$  cdclW-conflicting S
 $\wedge$  all-decomposition-implies-m (init-clss S) (get-all-decided-decomposition (trail S))
 $\wedge$  cdclW-learned-clause S)

```

lemma *cdcl_W-all-struct-inv-inv*:

```

assumes cdclW S S' and cdclW-all-struct-inv S
shows cdclW-all-struct-inv S'
unfolding cdclW-all-struct-inv-def
proof (intro HOL.conjI)
  show no-strange-atm S'
    using cdclW-all-inv[OF assms(1)] assms(2) unfolding cdclW-all-struct-inv-def by auto
  show cdclW-M-level-inv S'
    using cdclW-all-inv[OF assms(1)] assms(2) unfolding cdclW-all-struct-inv-def by fast
  show distinct-cdclW-state S'
    using cdclW-all-inv[OF assms(1)] assms(2) unfolding cdclW-all-struct-inv-def by fast
  show cdclW-conflicting S'
    using cdclW-all-inv[OF assms(1)] assms(2) unfolding cdclW-all-struct-inv-def by fast
  show all-decomposition-implies-m (init-clss S') (get-all-decided-decomposition (trail S'))
    using cdclW-all-inv[OF assms(1)] assms(2) unfolding cdclW-all-struct-inv-def by fast
  show cdclW-learned-clause S'
    using cdclW-all-inv[OF assms(1)] assms(2) unfolding cdclW-all-struct-inv-def by fast

  show  $\forall s \in \#$  learned-clss S'.  $\neg$  tautology s

```

```

    using assms(1)[THEN learned-clss-are-not-tautologies] assms(2)
    unfolding cdclW-all-struct-inv-def by fast
qed

```

```

lemma rtranclp-cdclW-all-struct-inv-inv:
  assumes cdclW** S S' and cdclW-all-struct-inv S
  shows cdclW-all-struct-inv S'
  using assms by induction (auto intro: cdclW-all-struct-inv-inv)

```

```

lemma cdclW-stgy-cdclW-all-struct-inv:
  cdclW-stgy S T  $\implies$  cdclW-all-struct-inv S  $\implies$  cdclW-all-struct-inv T
  by (meson cdclW-stgy-tranclp-cdclW rtranclp-cdclW-all-struct-inv-inv rtranclp-unfold)

```

```

lemma rtranclp-cdclW-stgy-cdclW-all-struct-inv:
  cdclW-stgy** S T  $\implies$  cdclW-all-struct-inv S  $\implies$  cdclW-all-struct-inv T
  by (induction rule: rtranclp-induct) (auto intro: cdclW-stgy-cdclW-all-struct-inv)

```

5.8 No Relearning of a clause

```

lemma cdclW-o-new-clause-learned-is-backtrack-step:
  assumes learned: D ∈# learned-clss T and
  new: D ∉# learned-clss S and
  cdclW: cdclW-o S T and
  lev: cdclW-M-level-inv S
  shows backtrack S T ∧ conflicting S = Some D
  using cdclW lev learned new
proof (induction rule: cdclW-o-induct-lev2)
  case (backtrack K i M1 M2 L C T) note decomp = this(1) and undef = this(6) and T = this(7)
  and
    D-T = this(9) and D-S = this(10)
  then have D = C + {#L#}
    using not-gr0 lev by (auto simp: cdclW-M-level-inv-decomp)
  then show ?case
    using T backtrack.hyps(1-5) backtrack.intros by auto
qed auto

```

```

lemma cdclW-cp-new-clause-learned-has-backtrack-step:
  assumes learned: D ∈# learned-clss T and
  new: D ∉# learned-clss S and
  cdclW: cdclW-stgy S T and
  lev: cdclW-M-level-inv S
  shows  $\exists S'. \text{backtrack } S S' \wedge \text{cdclW-stgy** } S' T \wedge \text{conflicting } S = \text{Some } D$ 
  using cdclW learned new
proof (induction rule: cdclW-stgy.induct)
  case (conflict' S')
  then show ?case
    unfolding full1-def by (metis (mono-tags, lifting) rtranclp-cdclW-cp-learned-clause-inv
      tranclp-into-rtranclp)
next
  case (other' S' S'')
  then have D ∈# learned-clss S'
    unfolding full-def by (auto dest: rtranclp-cdclW-cp-learned-clause-inv)
  then show ?case
    using cdclW-o-new-clause-learned-is-backtrack-step[OF -  $\langle D \notin \# \text{learned-clss } S \rangle \langle \text{cdclW-o } S S' \rangle$ ]
       $\langle \text{full } \text{cdclW-cp } S' S'' \rangle \text{ lev}$  by (metis cdclW-stgy.conflict' full-unfold r-into-rtranclp
      rtranclp.rtrancl-refl)

```

qed

lemma *rtrancp-cdcl_W-cp-new-clause-learned-has-backtrack-step*:
assumes *learned*: $D \in \# \text{ learned-clss } T$ **and**
new: $D \notin \# \text{ learned-clss } S$ **and**
cdcl_W: $\text{cdcl}_W\text{-stgy}^{**} S T$ **and**
lev: $\text{cdcl}_W\text{-M-level-inv } S$
shows $\exists S' S''. \text{cdcl}_W\text{-stgy}^{**} S S' \wedge \text{backtrack } S' S'' \wedge \text{conflicting } S' = \text{Some } D \wedge$
 $\text{cdcl}_W\text{-stgy}^{**} S'' T$
using *cdcl_W* *learned* *new*
proof (*induction rule*: *rtrancp-induct*)
case *base*
then show ?*case* **by** *blast*
next
case (*step* $T U$) **note** $st = \text{this}(1)$ **and** $o = \text{this}(2)$ **and** $IH = \text{this}(3)$ **and**
 $D-U = \text{this}(4)$ **and** $D-S = \text{this}(5)$
show ?*case*
proof (*cases* $D \in \# \text{ learned-clss } T$)
case *True*
then obtain $S' S''$ **where**
 st' : $\text{cdcl}_W\text{-stgy}^{**} S S'$ **and**
 bt : $\text{backtrack } S' S''$ **and**
 $confl$: $\text{conflicting } S' = \text{Some } D$ **and**
 st'' : $\text{cdcl}_W\text{-stgy}^{**} S'' T$
using $IH D-S$ **by** *metis*
then show ?*thesis* **using** o **by** (*meson* *rtrancp.simps*)
next
case *False*
have $\text{cdcl}_W\text{-M-level-inv } T$
using *lev* *rtrancp-cdcl_W-stgy-consistent-inv* st **by** *blast*
then obtain S' **where**
 bt : $\text{backtrack } T S'$ **and**
 st' : $\text{cdcl}_W\text{-stgy}^{**} S' U$ **and**
 $confl$: $\text{conflicting } T = \text{Some } D$
using *cdcl_W-cp-new-clause-learned-has-backtrack-step*[*OF* $D-U$ *False* o]
by *metis*
then have $\text{cdcl}_W\text{-stgy}^{**} S T$ **and**
 $\text{backtrack } T S'$ **and**
 $\text{conflicting } T = \text{Some } D$ **and**
 $\text{cdcl}_W\text{-stgy}^{**} S' U$
using $o st$ **by** *auto*
then show ?*thesis* **by** *blast*
qed
qed

lemma *propagate-no-more-Decided-lit*:
assumes *propagate* $S S'$
shows $\text{Decided } K i \in \text{set } (\text{trail } S) \longleftrightarrow \text{Decided } K i \in \text{set } (\text{trail } S')$
using *assms* **by** *auto*

lemma *conflict-no-more-Decided-lit*:
assumes *conflict* $S S'$
shows $\text{Decided } K i \in \text{set } (\text{trail } S) \longleftrightarrow \text{Decided } K i \in \text{set } (\text{trail } S')$
using *assms* **by** *auto*

```

lemma cdclW-cp-no-more-Decided-lit:
  assumes cdclW-cp S S'
  shows Decided K i ∈ set (trail S) ⟷ Decided K i ∈ set (trail S')
  using assms apply (induct rule: cdclW-cp.induct)
  using conflict-no-more-Decided-lit propagate-no-more-Decided-lit by auto

lemma rtrancpl-cdclW-cp-no-more-Decided-lit:
  assumes cdclW-cp** S S'
  shows Decided K i ∈ set (trail S) ⟷ Decided K i ∈ set (trail S')
  using assms apply (induct rule: rtrancpl-induct)
  using cdclW-cp-no-more-Decided-lit by blast+

lemma cdclW-o-no-more-Decided-lit:
  assumes cdclW-o S S' and cdclW-M-level-inv S and  $\neg \text{decide } S S'$ 
  shows Decided K i ∈ set (trail S') ⟶ Decided K i ∈ set (trail S)
  using assms
proof (induct rule: cdclW-o-induct-lev2)
  case backtrack note decomp = this(1) and undef = this(6) and T = this(7) and lev = this(8)
  then show ?case
    by (auto simp: cdclW-M-level-inv-decomp)
next
  case (decide L T)
  then show ?case by blast
qed auto

lemma cdclW-new-decided-at-beginning-is-decide:
  assumes cdclW-stgy S S' and
  lev: cdclW-M-level-inv S and
  trail S' = M' @ Decided L i # M and
  trail S = M
  shows  $\exists T. \text{decide } S T \wedge \text{no-step } \text{cdcl}_W\text{-cp } S$ 
  using assms
proof (induct rule: cdclW-stgy.induct)
  case (conflict' S') note st = this(1) and no-dup = this(2) and S' = this(3) and S = this(4)
  have cdclW-M-level-inv S'
    using full1-cdclW-cp-consistent-inv no-dup st by blast
  then have Decided L i ∈ set (trail S') and Decided L i ∉ set (trail S)
    using no-dup unfolding S S' cdclW-M-level-inv-def by (auto simp add: rev-image-eqI)
  then have False
    using st rtrancpl-cdclW-cp-no-more-Decided-lit[of S S']
    unfolding full1-def rtrancpl-unfold by blast
  then show ?case by fast
next
  case (other' T U) note o = this(1) and ns = this(2) and st = this(3) and no-dup = this(4) and
    S' = this(5) and S = this(6)
  have cdclW-M-level-inv U
    by (metis (full-types) lev cdclW.simps cdclW-consistent-inv full-def o
      other'.hypos(3) rtrancpl-cdclW-cp-consistent-inv)
  then have Decided L i ∈ set (trail U) and Decided L i ∉ set (trail S)
    using no-dup unfolding S S' cdclW-M-level-inv-def by (auto simp add: rev-image-eqI)
  then have Decided L i ∈ set (trail T)
    using st rtrancpl-cdclW-cp-no-more-Decided-lit unfolding full-def by blast
  then show ?case
    using cdclW-o-no-more-Decided-lit[OF o] ⟨Decided L i ∉ set (trail S)⟩ ns lev by meson
qed

```

lemma *cdcl_W-o-is-decide*:

assumes *cdcl_W-o S' T and cdcl_W-M-level-inv S'*
trail T = drop (length M₀) M' @ Decided L i # H @ M and
 $\neg (\exists M'. \text{trail } S' = M' @ \text{Decided } L \ i \ \# \ H @ M)$
shows *decide S' T*

using *assms*

proof (*induction rule:cdcl_W-o-induct-lev2*)

case (*backtrack K i M1 M2 L D*)

then obtain *c* **where** *trail S' = c @ M2 @ Decided K (Suc i) # M1*

by *auto*

then show *?case*

using *backtrack by (cases drop (length M₀) M') (auto simp: cdcl_W-M-level-inv-def)*

next

case *decide*

show *?case* **using** *decide-rule[of S'] decide(1-4)* **by** *auto*

qed *auto*

lemma *rtranclp-cdcl_W-new-decided-at-beginning-is-decide*:

assumes *cdcl_W-stgy** R U and*

trail U = M' @ Decided L i # H @ M and

trail R = M and

cdcl_W-M-level-inv R

shows

$\exists S \ T \ T'. \text{cdcl}_W\text{-stgy}^{**} \ R \ S \wedge \text{decide } S \ T \wedge \text{cdcl}_W\text{-stgy}^{**} \ T \ U \wedge \text{cdcl}_W\text{-stgy}^{**} \ S \ U \wedge$
 $\text{no-step } \text{cdcl}_W\text{-cp } S \wedge \text{trail } T = \text{Decided } L \ i \ \# \ H @ M \wedge \text{trail } S = H @ M \wedge \text{cdcl}_W\text{-stgy } S \ T' \wedge$
 $\text{cdcl}_W\text{-stgy}^{**} \ T' \ U$

using *assms*

proof (*induct arbitrary: M H M' i rule: rtranclp-induct*)

case *base*

then show *?case* **by** *auto*

next

case (*step T U*) **note** *st = this(1) and IH = this(3) and s = this(2) and*

U = this(4) and S = this(5) and lev = this(6)

show *?case*

proof (*cases $\exists M'. \text{trail } T = M' @ \text{Decided } L \ i \ \# \ H @ M$*)

case *False*

with *s* **show** *?thesis* **using** *U s st S*

proof *induction*

case (*conflict' W*) **note** *cp = this(1) and nd = this(2) and W = this(3)*

then obtain *M₀* **where** *trail W = M₀ @ trail T and ndecided: $\forall l \in \text{set } M_0. \neg \text{is-decided } l$*

using *rtranclp-cdcl_W-cp-dropWhile-trail unfolding full1-def rtranclp-unfold by meson*

then have *MV: $M' @ \text{Decided } L \ i \ \# \ H @ M = M_0 @ \text{trail } T$* **unfolding** *W* **by** *simp*

then have *V: trail T = drop (length M₀) (M' @ Decided L i # H @ M)*

by *auto*

have *takeWhile (Not o is-decided) M' = M₀ @ takeWhile (Not o is-decided) (trail T)*

using *arg-cong[OF MV, of takeWhile (Not o is-decided)] ndecided*

by (*simp add: takeWhile-tail*)

from *arg-cong[OF this, of length]* **have** *length M₀ ≤ length M'*

unfolding *length-append* **by** (*metis (no-types, lifting) Nat.le-trans le-add1 length-takeWhile-le*)

then have *False* **using** *nd V* **by** *auto*

then show *?case* **by** *fast*

next

case (*other' T' U*) **note** *o = this(1) and ns = this(2) and cp = this(3) and nd = this(4)*

```

    and  $U = \text{this}(5)$  and  $st = \text{this}(6)$ 
  obtain  $M_0$  where  $\text{trail } U = M_0 @ \text{trail } T'$  and  $\text{ndecided}: \forall l \in \text{set } M_0. \neg \text{is-decided } l$ 
    using  $\text{rtrancp-cdcl}_W\text{-cp-dropWhile-trail } cp$  unfolding  $\text{full-def}$  by  $\text{meson}$ 
  then have  $MV: M' @ \text{Decided } L \ i \ \# \ H @ M = M_0 @ \text{trail } T'$  unfolding  $U$  by  $\text{simp}$ 
  then have  $V: \text{trail } T' = \text{drop } (\text{length } M_0) (M' @ \text{Decided } L \ i \ \# \ H @ M)$ 
    by  $\text{auto}$ 
  have  $\text{takeWhile } (\text{Not } o \text{ is-decided}) \ M' = M_0 @ \text{takeWhile } (\text{Not } o \text{ is-decided}) (\text{trail } T')$ 
    using  $\text{arg-cong}[OF \ MV, \text{ of takeWhile } (\text{Not } o \text{ is-decided})]$   $\text{ndecided}$ 
    by  $(\text{simp add: takeWhile-tail})$ 
  from  $\text{arg-cong}[OF \ \text{this}, \text{ of length}]$  have  $\text{length } M_0 \leq \text{length } M'$ 
    unfolding  $\text{length-append}$  by  $(\text{metis } (\text{no-types}, \text{lifting}) \text{Nat.le-trans le-add1 length-takeWhile-le})$ 
  then have  $\text{tr-T'}: \text{trail } T' = \text{drop } (\text{length } M_0) \ M' @ \text{Decided } L \ i \ \# \ H @ M$  using  $V$  by  $\text{auto}$ 
  then have  $LT': \text{Decided } L \ i \in \text{set } (\text{trail } T')$  by  $\text{auto}$ 
  moreover
    have  $\text{cdcl}_W\text{-M-level-inv } T$ 
      using  $\text{lev rtrancp-cdcl}_W\text{-stgy-consistent-inv step.hyps}(1)$  by  $\text{blast}$ 
    then have  $\text{decide } T \ T'$  using  $o \text{ nd tr-T' cdcl}_W\text{-o-is-decide}$  by  $\text{metis}$ 
  ultimately have  $\text{decide } T \ T'$  using  $\text{cdcl}_W\text{-o-no-more-Decided-lit}[OF \ o]$  by  $\text{blast}$ 
  then have  $1: \text{cdcl}_W\text{-stgy}^{**} \ R \ T$  and  $2: \text{decide } T \ T'$  and  $3: \text{cdcl}_W\text{-stgy}^{**} \ T' \ U$ 
    using  $st \ \text{other'}.prems(4)$ 
    by  $(\text{metis } \text{cdcl}_W\text{-stgy.conflict' } cp \text{ full-unfold r-into-rtrancp rtrancp.rtrancp-refl})+$ 
  have  $[\text{simp}]: \text{drop } (\text{length } M_0) \ M' = []$ 
    using  $\langle \text{decide } T \ T' \rangle \langle \text{Decided } L \ i \in \text{set } (\text{trail } T') \rangle \text{ nd tr-T'}$ 
    by  $(\text{auto simp add: Cons-eq-append-conv})$ 
  have  $T': \text{drop } (\text{length } M_0) \ M' @ \text{Decided } L \ i \ \# \ H @ M = \text{Decided } L \ i \ \# \ \text{trail } T$ 
    using  $\langle \text{decide } T \ T' \rangle \langle \text{Decided } L \ i \in \text{set } (\text{trail } T') \rangle \text{ nd tr-T'}$ 
    by  $\text{auto}$ 
  have  $\text{trail } T' = \text{Decided } L \ i \ \# \ \text{trail } T$ 
    using  $\langle \text{decide } T \ T' \rangle \langle \text{Decided } L \ i \in \text{set } (\text{trail } T') \rangle \text{ tr-T'}$ 
    by  $\text{auto}$ 
  then have  $5: \text{trail } T' = \text{Decided } L \ i \ \# \ H @ M$ 
    using  $\text{append.simps}(1) \text{ list.sel}(3) \text{ local.other'}(5) \text{ tl-append2}$  by  $(\text{simp add: tr-T'})$ 
  have  $6: \text{trail } T = H @ M$ 
    by  $(\text{metis } (\text{no-types}) \langle \text{trail } T' = \text{Decided } L \ i \ \# \ \text{trail } T \rangle$ 
       $\langle \text{trail } T' = \text{drop } (\text{length } M_0) \ M' @ \text{Decided } L \ i \ \# \ H @ M \rangle \text{ append-Nil list.sel}(3) \text{ nd}$ 
       $\text{tl-append2})$ 
  have  $7: \text{cdcl}_W\text{-stgy}^{**} \ T \ U$  using  $\text{other'}.prems(4) \ st$  by  $\text{auto}$ 
  have  $8: \text{cdcl}_W\text{-stgy } T \ U \ \text{cdcl}_W\text{-stgy}^{**} \ U \ U$ 
    using  $\text{cdcl}_W\text{-stgy.other'}[OF \ \text{other'}(1-3)]$  by  $\text{simp-all}$ 
  show  $?case$  apply  $(\text{rule exI}[of \ - \ T], \text{rule exI}[of \ - \ T'], \text{rule exI}[of \ - \ U])$ 
    using  $ns \ 1 \ 2 \ 3 \ 5 \ 6 \ 7 \ 8$  by  $\text{fast}$ 
  qed
next
case  $\text{True}$ 
then obtain  $M'$  where  $T: \text{trail } T = M' @ \text{Decided } L \ i \ \# \ H @ M$  by  $\text{metis}$ 
from  $IH[OF \ \text{this } S \ \text{lev}]$  obtain  $S' \ S'' \ S'''$  where
  1:  $\text{cdcl}_W\text{-stgy}^{**} \ R \ S'$  and
  2:  $\text{decide } S' \ S''$  and
  3:  $\text{cdcl}_W\text{-stgy}^{**} \ S'' \ T$  and
  4:  $\text{no-step } \text{cdcl}_W\text{-cp } S'$  and
  6:  $\text{trail } S'' = \text{Decided } L \ i \ \# \ H @ M$  and
  7:  $\text{trail } S' = H @ M$  and
  8:  $\text{cdcl}_W\text{-stgy}^{**} \ S' \ T$  and
  9:  $\text{cdcl}_W\text{-stgy } S' \ S'''$  and

```


10: $cdcl_W\text{-stgy}^{**} S''' T$
 by *blast*
 have $cdcl_W\text{-stgy}^{**} S'' U$ using $s \langle cdcl_W\text{-stgy}^{**} S'' T \rangle$ by *auto*
 moreover have $cdcl_W\text{-stgy}^{**} S' U$ using $8 s$ by *auto*
 moreover have $cdcl_W\text{-stgy}^{**} S''' U$ using $10 s$ by *auto*
 ultimately show *?thesis* apply – apply (rule $exI[of - S']$, rule $exI[of - S'']$)
 using $1\ 2\ 4\ 6\ 7\ 8\ 9$ by *blast*
 qed
 qed

lemma *rtrancp-cdcl_W-new-decided-at-beginning-is-decide'*:
 assumes $cdcl_W\text{-stgy}^{**} R U$ and
 trail $U = M' @ Decided L i \# H @ M$ and
 trail $R = M$ and
 $cdcl_W\text{-M-level-inv } R$
 shows $\exists y y'. cdcl_W\text{-stgy}^{**} R y \wedge cdcl_W\text{-stgy } y y' \wedge \neg (\exists c. trail y = c @ Decided L i \# H @ M)$
 $\wedge (\lambda a b. cdcl_W\text{-stgy } a b \wedge (\exists c. trail a = c @ Decided L i \# H @ M))^{**} y' U$
proof –
 fix T'
 obtain $S' T T'$ where
 st: $cdcl_W\text{-stgy}^{**} R S'$ and
 decide $S' T$ and
 TU: $cdcl_W\text{-stgy}^{**} T U$ and
 no-step $cdcl_W\text{-cp } S'$ and
 trT: trail $T = Decided L i \# H @ M$ and
 trS': trail $S' = H @ M$ and
 S'U: $cdcl_W\text{-stgy}^{**} S' U$ and
 S'T': $cdcl_W\text{-stgy } S' T'$ and
 T'U: $cdcl_W\text{-stgy}^{**} T' U$
 using *rtrancp-cdcl_W-new-decided-at-beginning-is-decide*[OF *assms*] by *blast*
 have $n: \neg (\exists c. trail S' = c @ Decided L i \# H @ M)$ using *trS'* by *auto*
 show *?thesis*
 using *rtrancp-trans*[OF *st*] *rtrancp-exists-last-with-prop*[of $cdcl_W\text{-stgy } S' T' -$
 $\lambda a -. \neg (\exists c. trail a = c @ Decided L i \# H @ M), OF S'T' T'U n]$
 by *meson*
 qed

lemma *beginning-not-decided-invert*:
 assumes $A: M @ A = M' @ Decided K i \# H$ and
 nm: $\forall m \in set M. \neg is_decided m$
 shows $\exists M. A = M @ Decided K i \# H$
proof –
 have $A = drop (length M) (M' @ Decided K i \# H)$
 using *arg-cong*[OF A , of $drop (length M)$] by *auto*
 moreover have $drop (length M) (M' @ Decided K i \# H) = drop (length M) M' @ Decided K i \# H$
 H
 using *nm* by (metis (no-types, lifting) *A* *drop-Cons'* *drop-append* *ann-literal.disc*(1) *not-gr0*
nth-append *nth-append-length* *nth-mem* *zero-less-diff*)
 finally show *?thesis* by *fast*
 qed

lemma *cdcl_W-stgy-trail-has-new-decided-is-decide-step*:
 assumes $cdcl_W\text{-stgy } S T$
 $\neg (\exists c. trail S = c @ Decided L i \# H @ M)$ and
 $(\lambda a b. cdcl_W\text{-stgy } a b \wedge (\exists c. trail a = c @ Decided L i \# H @ M))^{**} T U$ and

$\exists M'. \text{trail } U = M' @ \text{Decided } L \ i \ \# \ H @ M$ and
 $\text{lev: } \text{cdcl}_W\text{-M-level-inv } S$
shows $\exists S'. \text{decide } S \ S' \wedge \text{full } \text{cdcl}_W\text{-cp } S' \ T \wedge \text{no-step } \text{cdcl}_W\text{-cp } S$
using $\text{assms}(3,1,2,4,5)$
proof *induction*
case (*step* $T \ U$)
then show ?*case* **by** *fastforce*
next
case *base*
then show ?*case*
proof (*induction rule: cdcl_W-stgy.induct*)
case (*conflict'* T) **note** $cp = \text{this}(1)$ **and** $nd = \text{this}(2)$ **and** $M' = \text{this}(3)$ **and** $\text{no-dup} = \text{this}(3)$
then obtain M' **where** $M': \text{trail } T = M' @ \text{Decided } L \ i \ \# \ H @ M$ **by** *metis*
obtain M'' **where** $M'': \text{trail } T = M'' @ \text{trail } S$ **and** $nm: \forall m \in \text{set } M''. \neg \text{is-decided } m$
using *cp unfolding full1-def*
by (*metis rtrancp-cdcl_W-cp-dropWhile-trail' trancp-into-rtrancp*)
have *False*
using *beginning-not-decided-invert*[*of* $M'' \text{ trail } S \ M' \ L \ i \ H @ M$] $M' \ nm \ nd$ **unfolding** M''
by *fast*
then show ?*case* **by** *fast*
next
case (*other'* $T \ U'$) **note** $o = \text{this}(1)$ **and** $ns = \text{this}(2)$ **and** $cp = \text{this}(3)$ **and** $nd = \text{this}(4)$
and $\text{tr}U' = \text{this}(5)$
have $\text{cdcl}_W\text{-cp}^{**} \ T \ U'$ **using** *cp unfolding full-def* **by** *blast*
from *rtrancp-cdcl_W-cp-dropWhile-trail*[*OF this*]
have $\exists M'. \text{trail } T = M' @ \text{Decided } L \ i \ \# \ H @ M$
using *trU' beginning-not-decided-invert*[*of* - $\text{trail } T - L \ i \ H @ M$] **by** *metis*
then obtain M' **where** $M': \text{trail } T = M' @ \text{Decided } L \ i \ \# \ H @ M$
by *auto*
with $o \ \text{lev} \ nd \ cp \ ns$
show ?*case*
proof (*induction rule: cdcl_W-o-induct-lev2*)
case (*decide* L) **note** $\text{dec} = \text{this}(1)$ **and** $cp = \text{this}(5)$ **and** $ns = \text{this}(4)$
then have *decide* S (*cons-trail* (*Decided* L (*backtrack-lvl* $S + 1$)) (*incr-lvl* S))
using *decide.hyps decide.intros*[*of* S] **by** *force*
then show ?*case* **using** *cp decide.premis* **by** (*meson decide-state-eq-compatible ns state-eq-ref state-eq-sym*)
next
case (*backtrack* $K \ j \ M1 \ M2 \ L' \ D \ T$) **note** $\text{decomp} = \text{this}(1)$ **and** $cp = \text{this}(3)$
and $\text{undef} = \text{this}(6)$ **and** $T = \text{this}(7)$ **and** $\text{tr}T = \text{this}(12)$ **and** $ns = \text{this}(4)$
obtain $MS3$ **where** $MS3: \text{trail } S = MS3 @ M2 @ \text{Decided } K \ (\text{Suc } j) \ \# \ M1$
using *get-all-decided-decomposition-exists-prepend*[*OF decomp*] **by** *metis*
have $\text{tl } (M' @ \text{Decided } L \ i \ \# \ H @ M) = \text{tl } M' @ \text{Decided } L \ i \ \# \ H @ M$
using *lev trT T lev undef decomp* **by** (*cases* M') (*auto simp: cdcl_W-M-level-inv-decomp*)
then have $M'': M1 = \text{tl } M' @ \text{Decided } L \ i \ \# \ H @ M$
using *arg-cong*[*OF trT*[*simplified*], *of tl*] $T \ \text{decomp} \ \text{undef} \ \text{lev}$
by (*simp add: cdcl_W-M-level-inv-decomp*)
have *False* **using** $nd \ MS3 \ T \ \text{undef} \ \text{decomp}$ **unfolding** M'' **by** *auto*
then show ?*case* **by** *fast*
qed *auto*
qed
qed

lemma *rtrancp-cdcl_W-stgy-with-trail-end-has-trail-end*:
assumes $(\lambda a \ b. \text{cdcl}_W\text{-stgy } a \ b \wedge (\exists c. \text{trail } a = c @ \text{Decided } L \ i \ \# \ H @ M))^{**} \ T \ U$ **and**

$\exists M'. \text{trail } U = M' @ \text{Decided } L \ i \ \# \ H @ M$
shows $\exists M'. \text{trail } T = M' @ \text{Decided } L \ i \ \# \ H @ M$
using *assms* **by** (*induction rule: rtrancp-induct*) *auto*

lemma *cdcl_W-o-cannot-learn:*

assumes

cdcl_W-o *y z* **and**

lev: *cdcl_W-M-level-inv* *y* **and**

trM: *trail y = c @ Decided Kh i # H* **and**

DL: $D + \{\#L\# \} \notin \text{learned-clss } y$ **and**

DH: $\text{atms-of } D \subseteq \text{atm-of 'lits-of } H$ **and**

LH: $\text{atm-of } L \notin \text{atm-of 'lits-of } H$ **and**

learned: $\forall T. \text{conflicting } y = \text{Some } T \longrightarrow \text{trail } y \models_{\text{as}} \text{CNot } T$ **and**

z: *trail z = c' @ Decided Kh i # H*

shows $D + \{\#L\# \} \notin \text{learned-clss } z$

using *assms*(1–2) *trM DL DH LH learned z*

proof (*induction rule: cdcl_W-o-induct-lev2*)

case (*backtrack K j M1 M2 L' D' T*) **note** *decomp = this(1)* **and** *confl = this(3)* **and** *levD = this(5)*
and *undef = this(6)* **and** *T = this(7)*

obtain *M3* **where** *M3: trail y = M3 @ M2 @ Decided K (Suc j) # M1*

using *decomp* *get-all-decided-decomposition-exists-prepend* **by** *metis*

have *M*: *trail y = c @ Decided Kh i # H* **using** *trM* **by** *simp*

have *H*: *get-all-levels-of-decided (trail y) = rev [1..<1 + backtrack-lvl y]*

using *lev unfolding cdcl_W-M-level-inv-def* **by** *auto*

have $c' @ \text{Decided } Kh \ i \ \# \ H = \text{Propagated } L' (D' + \{\#L'\# \}) \# \text{trail (reduce-trail-to } M1 \ y)$

using *backtrack.premis(6) decomp undef T lev* **by** (*force simp: cdcl_W-M-level-inv-def*)

then obtain *d* **where** *d: M1 = d @ Decided Kh i # H*

by (*metis (no-types) decomp in-get-all-decided-decomposition-trail-update-trail list.inject*
list.sel(3) ann-literal.distinct(1) self-append-conv2 tl-append2)

have $i \in \text{set (get-all-levels-of-decided (M3 @ M2 @ Decided K (Suc j) \# d @ Decided Kh i \# H))}$
by *auto*

then have $i > 0$ **unfolding** *H[unfolded M3 d]* **by** *auto*

show *?case*

proof

assume $D + \{\#L\# \} \in \text{learned-clss } T$

then have *DLD'*: $D + \{\#L\# \} = D' + \{\#L'\# \}$

using *DL T neq0-conv undef decomp lev* **by** (*fastforce simp: cdcl_W-M-level-inv-def*)

have *L-cKh*: $\text{atm-of } L \in \text{atm-of 'lits-of } (c @ [\text{Decided } Kh \ i])$

using *LH learned M DLD'[symmetric] confl* **by** (*fastforce simp add: image-iff*)

have *get-all-levels-of-decided (M3 @ M2 @ Decided K (j + 1) # M1)*

$= \text{rev } [1..<1 + \text{backtrack-lvl } y]$

using *lev unfolding cdcl_W-M-level-inv-def M3* **by** *auto*

from *arg-cong[OF this, of $\lambda a. (\text{Suc } j) \in \text{set } a$]* **have** *backtrack-lvl y $\geq j$* **by** *auto*

have *DD'[simp]*: $D = D'$

proof (*rule ccontr*)

assume $D \neq D'$

then have $L' \in \# \ D$ **using** *DLD'* **by** (*metis add.left-neutral count-single count-union*
diff-union-cancelR neq0-conv union-single-eq-member)

then have *get-level (trail y) L' \leq get-maximum-level (trail y) D*

using *get-maximum-level-ge-get-level* **by** *blast*

moreover {

have *get-maximum-level (trail y) D = get-maximum-level H D*

using *DH unfolding M* **by** (*simp add: get-maximum-level-skip-beginning*)

moreover

```

have get-all-levels-of-decided (trail y) = rev [1..<1 + backtrack-lvl y]
  using lev unfolding cdclW-M-level-inv-def by auto
then have get-all-levels-of-decided H = rev [1..<i]
  unfolding M by (auto dest: append-cons-eq-upt-length-i
    simp add: rev-swap[symmetric])
then have get-maximum-possible-level H < i
  using get-maximum-possible-level-max-get-all-levels-of-decided[of H] ⟨i > 0⟩ by auto
ultimately have get-maximum-level (trail y) D < i
  by (metis (full-types) dual-order.strict-trans nat-neq-iff not-le
    get-maximum-possible-level-ge-get-maximum-level) }
moreover
have L ∈# D'
  by (metis DLD' ⟨D ≠ D'⟩ add.left-neutral count-single count-union diff-union-cancelR
    neq0-conv union-single-eq-member)
then have get-maximum-level (trail y) D' ≥ get-level (trail y) L
  using get-maximum-level-ge-get-level by blast
moreover {
have get-all-levels-of-decided (c @ [Decided Kh i]) = rev [i..< backtrack-lvl y+1]
  using append-cons-eq-upt-length-i-end[of rev (get-all-levels-of-decided H) i
    rev (get-all-levels-of-decided c) Suc 0 Suc (backtrack-lvl y)] H
unfolding M apply (auto simp add: rev-swap[symmetric])
  by (metis (no-types, hide-lams) Nil-is-append-conv Suc-le-eq less-Suc-eq list.sel(1)
    rev.simps(2) rev-rev-ident upt-Suc upt-rec)
have get-level (trail y) L = get-level (c @ [Decided Kh i]) L
  using L-cKh LH unfolding M by simp
have get-level (c @ [Decided Kh i]) L ≥ i
  using L-cKh
    ⟨get-all-levels-of-decided (c @ [Decided Kh i]) = rev [i..<backtrack-lvl y + 1]⟩
    backtrack.hyps(2) calculation(1,2) by auto
then have get-level (trail y) L ≥ i
  using M ⟨get-level (trail y) L = get-level (c @ [Decided Kh i]) L⟩ by auto }
moreover have get-maximum-level (trail y) D' < get-level (trail y) L
  using ⟨j ≤ backtrack-lvl y⟩ backtrack.hyps(2,5) calculation(1-4) by linarith
ultimately show False using backtrack.hyps(4) by linarith
qed
then have LL': L = L' using DLD' by auto
have nd: no-dup (trail y) using lev unfolding cdclW-M-level-inv-def by auto

{ assume D: D' = {#}
  then have j: j = 0 using levD by auto
  have ∀ m ∈ set M1. ¬is-decided m
    using H unfolding M3 j
    by (auto simp add: rev-swap[symmetric] get-all-levels-of-decided-no-decided
      dest!: append-cons-eq-upt-length-i)
  then have False using d by auto
}
moreover {
  assume D[simp]: D' ≠ {#}
  have i ≤ j
    using H unfolding M3 d by (auto simp add: rev-swap[symmetric]
      dest: upt-decomp-lt)
  have j > 0 apply (rule ccontr)
    using H ⟨i > 0⟩ unfolding M3 d
    by (auto simp add: rev-swap[symmetric] dest!: upt-decomp-lt)
  obtain L'' where

```

$L'' \in \#D'$ and
 $L''D'$: $\text{get-level } (\text{trail } y) \ L'' = \text{get-maximum-level } (\text{trail } y) \ D'$
using $\text{get-maximum-level-exists-lit-of-max-level}[OF \ D, \text{ of trail } y]$ **by** *auto*
have $L''M$: $\text{atm-of } L'' \in \text{atm-of ' lits-of } (\text{trail } y)$
using $\text{get-rev-level-ge-0-atm-of-in}[of \ 0 \ \text{rev } (\text{trail } y) \ L''] \ \langle j > 0 \rangle \ \text{levD } L''D'$ **by** *auto*
then have $L'' \in \text{lits-of } (\text{Decided } Kh \ i \ \# \ d)$
proof –
{
 assume $L''H$: $\text{atm-of } L'' \in \text{atm-of ' lits-of } H$
 have $\text{get-all-levels-of-decided } H = \text{rev } [1..<i]$
 using H **unfolding** M
 by $(\text{auto simp add: rev-swap[symmetric] dest!: append-cons-eq-upt-length-i})$
 moreover have $\text{get-level } (\text{trail } y) \ L'' = \text{get-level } H \ L''$
 using $L''H$ **unfolding** M **by** *simp*
 ultimately have *False*
 using $\text{levD } \langle j > 0 \rangle \ \text{get-rev-level-in-levels-of-decided}[of \ \text{rev } H \ 0 \ L''] \ \langle i \leq j \rangle$
 unfolding $L''D'$ $[symmetric]$ **nd** **by** *auto*
}
then show *?thesis*
 using $DD' \ DH \ \langle L'' \in \# \ D' \rangle \ \text{atm-of-lit-in-atms-of contra-subsetD}$ **by** *metis*
qed
then have *False*
 using $DH \ \langle L'' \in \#D' \rangle \ \text{nd}$ **unfolding** $M3 \ d$
 by $(\text{auto simp add: atms-of-def image-iff image-subset-iff lits-of-def})$
}
ultimately show *False* **by** *blast*
qed
qed *auto*

lemma $\text{cdcl}_W\text{-stgy-with-trail-end-has-not-been-learned}$:

assumes $\text{cdcl}_W\text{-stgy } y \ z$ **and**
 $\text{cdcl}_W\text{-M-level-inv } y$ **and**
 $\text{trail } y = c \ @ \ \text{Decided } Kh \ i \ \# \ H$ **and**
 $D + \{\#L\} \notin \# \ \text{learned-clss } y$ **and**
 DH : $\text{atms-of } D \subseteq \text{atm-of ' lits-of } H$ **and**
 LH : $\text{atm-of } L \notin \text{atm-of ' lits-of } H$ **and**
 $\forall T. \text{conflicting } y = \text{Some } T \longrightarrow \text{trail } y \models_{as} CNot \ T$ **and**
 $\text{trail } z = c' \ @ \ \text{Decided } Kh \ i \ \# \ H$
shows $D + \{\#L\} \notin \# \ \text{learned-clss } z$
using *assms*
proof *induction*
 case *conflict'*
 then show *?case*
 unfolding *full1-def* **using** $\text{tranclp-cdcl}_W\text{-cp-learned-clause-inv}$ **by** *auto*
next
 case $(\text{other}' \ T \ U)$ **note** $o = \text{this}(1)$ **and** $cp = \text{this}(3)$ **and** $\text{lev} = \text{this}(4)$ **and** $\text{trY} = \text{this}(5)$ **and**
 $\text{notin} = \text{this}(6)$ **and** $DH = \text{this}(7)$ **and** $LH = \text{this}(8)$ **and** $\text{confl} = \text{this}(9)$ **and** $\text{trU} = \text{this}(10)$
obtain c' **where** c' : $\text{trail } T = c' \ @ \ \text{Decided } Kh \ i \ \# \ H$
 using $cp \ \text{beginning-not-decided-invert}[of \ - \ \text{trail } T \ c' \ Kh \ i \ H]$
 $\text{rtranclp-cdcl}_W\text{-cp-dropWhile-trail}[of \ T \ U]$ **unfolding** trU full-def **by** *fastforce*
 show *?case*
 using $\text{cdcl}_W\text{-o-cannot-learn}[OF \ o \ \text{lev} \ \text{trY} \ \text{notin} \ DH \ LH \ \text{confl} \ c']$
 $\text{rtranclp-cdcl}_W\text{-cp-learned-clause-inv } cp$ **unfolding** *full-def* **by** *auto*
qed

lemma *rtrancpl-cdcl_W-stgy-with-trail-end-has-not-been-learned*:
assumes $(\lambda a b. \text{cdcl}_W\text{-stgy } a b \wedge (\exists c. \text{trail } a = c @ \text{Decided } K i \# H @ []))^{**} S z$ **and**
cdcl_W-all-struct-inv *S* **and**
trail *S* = *c* @ *Decided* *K i* # *H* **and**
D + {#*L*#} \notin *learned-clss* *S* **and**
DH: *atms-of* *D* \subseteq *atm-of* 'lits-of *H* **and**
LH: *atm-of* *L* \notin *atm-of* 'lits-of *H* **and**
 $\exists c'. \text{trail } z = c' @ \text{Decided } K i \# H$
shows *D* + {#*L*#} \notin *learned-clss* *z*
using *assms*(1-4,7)

proof (*induction rule: rtrancpl-induct*)

case *base*

then show ?*case* **by** *auto*[1]

next

case (*step* *T U*) **note** *st* = *this*(1) **and** *s* = *this*(2) **and** *IH* = *this*(3)[*OF this*(4-6)]

and *lev* = *this*(4) **and** *trS* = *this*(5) **and** *DL-S* = *this*(6) **and** *trU* = *this*(7)

obtain *c* **where** *c*: *trail* *T* = *c* @ *Decided* *K i* # *H* **using** *s* **by** *auto*

obtain *c'* **where** *c'*: *trail* *U* = *c'* @ *Decided* *K i* # *H* **using** *trU* **by** *blast*

have *cdcl_W*^{**} *S T*

proof –

have $\forall p pa. \exists s sa. \forall sb sc sd se. (\neg p^{**} (sb::'st) sc \vee p s sa \vee pa^{**} sb sc)$
 $\wedge (\neg pa s sa \vee \neg p^{**} sd se \vee pa^{**} sd se)$

by (*metis* (*no-types*) *mono-rtrancpl*)

then have *cdcl_W-stgy*^{**} *S T*

using *st* **by** *blast*

then show ?*thesis*

using *rtrancpl-cdcl_W-stgy-rtrancpl-cdcl_W* **by** *blast*

qed

then have *lev'*: *cdcl_W-all-struct-inv* *T*

using *rtrancpl-cdcl_W-all-struct-inv-inv*[*of S T*] *lev* **by** *auto*

then have *confl'*: $\forall Ta. \text{conflicting } T = \text{Some } Ta \longrightarrow \text{trail } T \models_{as} CNot Ta$

unfolding *cdcl_W-all-struct-inv-def* *cdcl_W-conflicting-def* **by** *blast*

show ?*case*

apply (*rule* *cdcl_W-stgy-with-trail-end-has-not-been-learned*[*OF - - c - DH LH confl' c'*])

using *s lev' IH c* **unfolding** *cdcl_W-all-struct-inv-def* **by** *blast*+

qed

lemma *cdcl_W-stgy-new-learned-clause*:

assumes *cdcl_W-stgy* *S T* **and**

lev: *cdcl_W-M-level-inv* *S* **and**

E \notin *learned-clss* *S* **and**

E \in *learned-clss* *T*

shows $\exists S'. \text{backtrack } S S' \wedge \text{conflicting } S = \text{Some } E \wedge \text{full } \text{cdcl}_W\text{-cp } S' T$

using *assms*

proof *induction*

case *conflict'*

then show ?*case* **unfolding** *full1-def* **by** (*auto* *dest*: *trancpl-cdcl_W-cp-learned-clause-inv*)

next

case (*other'* *T U*) **note** *o* = *this*(1) **and** *cp* = *this*(3) **and** *not-yet* = *this*(5) **and** *learned* = *this*(6)

have *E* \in *learned-clss* *T*

using *learned cp rtrancpl-cdcl_W-cp-learned-clause-inv* **unfolding** *full-def* **by** *auto*

then have *backtrack* *S T* **and** *conflicting* *S* = *Some E*

using *cdcl_W-o-new-clause-learned-is-backtrack-step*[*OF - not-yet o*] *lev* **by** *blast*+

then show ?*case* **using** *cp* **by** *blast*

qed

lemma *cdcl_W-stgy-no-relearned-clause*:

assumes

invR: *cdcl_W-all-struct-inv R* **and**
st': *cdcl_W-stgy** R S* **and**
bt: *backtrack S T* **and**
conft: *conflicting S = Some E* **and**
already-learned: *E ∈# clauses S* **and**
R: *trail R = []*

shows *False*

proof –

have *M-lev*: *cdcl_W-M-level-inv R*

using *invR* **unfolding** *cdcl_W-all-struct-inv-def* **by** *auto*

have *cdcl_W-M-level-inv S*

using *M-lev assms(2) rtrancp-cdcl_W-stgy-consistent-inv* **by** *blast*

with *bt* **obtain** *D L M1 M2-loc K i* **where**

T: *T ~ cons-trail (Propagated L ((D + {#L#})))*
(reduce-trail-to M1 (add-learned-cls (D + {#L#}))
(update-backtrack-lvl (get-maximum-level (trail S) D) (update-conflicting None S)))
and

decomp: *(Decided K (Suc (get-maximum-level (trail S) D)) # M1, M2-loc) ∈*
set (get-all-decided-decomposition (trail S)) **and**

k: *get-level (trail S) L = backtrack-lvl S* **and**

level: *get-level (trail S) L = get-maximum-level (trail S) (D + {#L#})* **and**

conft-S: *conflicting S = Some (D + {#L#})* **and**

i: *i = get-maximum-level (trail S) D* **and**

undef: *undefined-lit M1 L*

by *(induction rule: backtrack-induction-lev2) metis*

obtain *M2* **where**

M: *trail S = M2 @ Decided K (Suc i) # M1*

using *get-all-decided-decomposition-exists-prepend[OF decomp]* **unfolding** *i* **by** *(metis append-assoc)*

have *invS*: *cdcl_W-all-struct-inv S*

using *invR rtrancp-cdcl_W-all-struct-inv-inv rtrancp-cdcl_W-stgy-rtrancp-cdcl_W st'* **by** *blast*

then have *conft*: *cdcl_W-conflicting S* **unfolding** *cdcl_W-all-struct-inv-def* **by** *blast*

then have *trail S ⊨_{as} CNot (D + {#L#})* **unfolding** *cdcl_W-conflicting-def conft-S* **by** *auto*

then have *MD*: *trail S ⊨_{as} CNot D* **by** *auto*

have *lev'*: *cdcl_W-M-level-inv S* **using** *invS* **unfolding** *cdcl_W-all-struct-inv-def* **by** *blast*

have *get-lvls-M*: *get-all-levels-of-decided (trail S) = rev [1..*Suc* (backtrack-lvl S)]*

using *lev'* **unfolding** *cdcl_W-M-level-inv-def* **by** *auto*

have *lev*: *cdcl_W-M-level-inv R* **using** *invR* **unfolding** *cdcl_W-all-struct-inv-def* **by** *blast*

then have *vars-of-D*: *atms-of D ⊆ atm-of ‘lits-of M1*

using *backtrack-atms-of-D-in-M1[OF lev' undef - decomp - - - T] conft-S conf T decomp k level*
lev' i undef **unfolding** *cdcl_W-conflicting-def* **by** *(auto simp: cdcl_W-M-level-inv-def)*

have *no-dup* *(trail S)* **using** *lev'* **by** *(auto simp: cdcl_W-M-level-inv-decomp)*

have *vars-in-M1*:

$\forall x \in \text{atms-of } D. x \notin \text{atm-of ‘lits-of } (M2 @ [\text{Decided } K (\text{get-maximum-level } (\text{trail } S) D + 1)])$

apply *(rule vars-of-D distinct-atms-of-incl-not-in-other[of*
M2 @ Decided K (get-maximum-level (trail S) D + 1) # [] M1 D])

using *(no-dup (trail S)) M vars-of-D* **by** *simp-all*

have *M1-D*: *M1 ⊨_{as} CNot D*

using *vars-in-M1 true-annots-remove-if-notin-vars[of M2 @ Decided K (i + 1) # [] M1 CNot D]*

$\langle \text{trail } S \models_{\text{as}} \text{CNot } D \rangle M \text{ by simp}$

have *get-lvls-M*: *get-all-levels-of-decided* (*trail S*) = *rev* [*1..<Suc* (*backtrack-lvl S*)]
using *lev'* **unfolding** *cdcl_W-M-level-inv-def* **by** *auto*
then have *backtrack-lvl S* > 0 **unfolding** *M* **by** (*auto split: split-if-asm simp add: upt.simps(2)*)

obtain *M1' K' Ls* **where**

M': *trail S* = *Ls @ Decided K' (backtrack-lvl S) # M1'* **and**

Ls: $\forall l \in \text{set } Ls. \neg \text{is-decided } l$ **and**

set M1 \subseteq *set M1'*

proof –

let *?Ls* = *takeWhile* (*Not o is-decided*) (*trail S*)

have *MLs*: *trail S* = *?Ls @ dropWhile* (*Not o is-decided*) (*trail S*)

by *auto*

have *dropWhile* (*Not o is-decided*) (*trail S*) $\neq []$ **unfolding** *M* **by** *auto*

moreover

from *hd-dropWhile[OF this]* **have** *is-decided*(*hd* (*dropWhile* (*Not o is-decided*) (*trail S*)))

by *simp*

ultimately

obtain *K' K'k* **where**

K'k: *dropWhile* (*Not o is-decided*) (*trail S*)

= *Decided K' K'k # tl* (*dropWhile* (*Not o is-decided*) (*trail S*))

by (*cases dropWhile* (*Not o is-decided*) (*trail S*);
cases hd (*dropWhile* (*Not o is-decided*) (*trail S*)))

simp-all

moreover have $\forall l \in \text{set } ?Ls. \neg \text{is-decided } l$ **using** *set-takeWhileD* **by** *force*

moreover

have *get-all-levels-of-decided* (*trail S*)

= *K'k # get-all-levels-of-decided*(*tl* (*dropWhile* (*Not o is-decided*) (*trail S*)))

apply (*subst MLs, subst K'k*)

using *calculation(2)* **by** (*auto simp add: get-all-levels-of-decided-no-decided*)

then have *K'k* = *backtrack-lvl S*

using *calculation(2)* **by** (*auto split: split-if-asm simp add: get-lvls-M upt.simps(2)*)

moreover have *set M1* \subseteq *set* (*tl* (*dropWhile* (*Not o is-decided*) (*trail S*)))

unfolding *M* **by** (*induction M2*) *auto*

ultimately show *?thesis* **using** *that MLs* **by** *metis*

qed

have *get-lvls-M*: *get-all-levels-of-decided* (*trail S*) = *rev* [*1..<Suc* (*backtrack-lvl S*)]

using *lev'* **unfolding** *cdcl_W-M-level-inv-def* **by** *auto*

then have *backtrack-lvl S* > 0 **unfolding** *M* **by** (*auto split: split-if-asm simp add: upt.simps(2) i*)

have *M1'-D*: *M1'* $\models_{\text{as}} \text{CNot } D$ **using** *M1-D* $\langle \text{set } M1 \subseteq \text{set } M1' \rangle$ **by** (*auto intro: true-annots-mono*)

have $\neg L \in \text{lits-of}$ (*trail S*) **using** *conf conf₁-S* **unfolding** *cdcl_W-conflicting-def* **by** *auto*

have *lvls-M1'*: *get-all-levels-of-decided* *M1'* = *rev* [*1..<backtrack-lvl S*]

using *get-lvls-M Ls* **by** (*auto simp add: get-all-levels-of-decided-no-decided M'*

split: split-if-asm simp add: upt.simps(2))

have *L-notin*: *atm-of L* $\in \text{atm-of ' lits-of } Ls \vee \text{atm-of } L = \text{atm-of } K'$

proof (*rule ccontr*)

assume $\neg ?thesis$

then have *atm-of L* $\notin \text{atm-of ' lits-of}$ (*Decided K' (backtrack-lvl S) # rev Ls*) **by** *simp*

then have *get-level* (*trail S*) *L* = *get-level M1' L*

unfolding *M'* **by** *auto*

then show *False* **using** *get-level-in-levels-of-decided[of M1' L]* $\langle \text{backtrack-lvl } S > 0 \rangle$

unfolding *k lvls-M1'* **by** *auto*


```

qed
obtain Y Z where
  RY:  $cdcl_W$ -stgy** R Y and
  YZ:  $cdcl_W$ -stgy Y Z and
  nt:  $\neg (\exists c. \text{trail } Y = c @ \text{Decided } K' (\text{backtrack-lvl } S) \# M1' @ [])$  and
  Z:  $(\lambda a b. \text{cdcl}_W\text{-stgy } a b \wedge (\exists c. \text{trail } a = c @ \text{Decided } K' (\text{backtrack-lvl } S) \# M1' @ []))^{**}$ 
    Z S
  using rtrancpl-cdclW-new-decided-at-beginning-is-decide'[OF st' - - lev, of Ls K'
    backtrack-lvl S M1' []]
  unfolding R M' by auto
have [simp]:  $cdcl_W$ -M-level-inv Y
  using RY lev rtrancpl-cdclW-stgy-consistent-inv by blast
obtain M' where trZ: trail Z = M' @ Decided K' (backtrack-lvl S) # M1'
  using rtrancpl-cdclW-stgy-with-trail-end-has-trail-end[OF Z] M' by auto
have no-dup (trail Y)
  using RY lev rtrancpl-cdclW-stgy-consistent-inv unfolding  $cdcl_W$ -M-level-inv-def by blast
then obtain Y' where
  dec: decide Y Y' and
  Y'Z: full  $cdcl_W$ -cp Y' Z and
  no-step  $cdcl_W$ -cp Y
  using  $cdcl_W$ -stgy-trail-has-new-decided-is-decide-step[OF YZ nt Z] M' by auto
have trY: trail Y = M1'
proof -
  obtain M' where M: trail Z = M' @ Decided K' (backtrack-lvl S) # M1'
    using rtrancpl-cdclW-stgy-with-trail-end-has-trail-end[OF Z] M' by auto
  obtain M'' where M'': trail Z = M'' @ trail Y' and  $\forall m \in \text{set } M''. \neg \text{is-decided } m$ 
    using Y'Z rtrancpl-cdclW-cp-dropWhile-trail' unfolding full-def by blast
  obtain M''' where trail Y' = M''' @ Decided K' (backtrack-lvl S) # M1'
    using M'' unfolding M
    by (metis (no-types, lifting)  $\forall m \in \text{set } M''. \neg \text{is-decided } m$  beginning-not-decided-invert)
  then show ?thesis using dec nt by (induction M''') auto
qed
have Y-CT: conflicting Y = None using (decide Y Y') by auto
have  $cdcl_W$ ** R Y by (simp add: RY rtrancpl-cdclW-stgy-rtrancpl-cdclW)
then have init-clss Y = init-clss R using rtrancpl-cdclW-init-clss[of R Y] M-lev by auto
{ assume DL:  $D + \{\#L\} \in \# \text{ clauses } Y$ 
  have atm-of L  $\notin$  atm-of ' lits-of M1
    apply (rule backtrack-lit-skipped[of S])
    using decomp i k lev' unfolding  $cdcl_W$ -M-level-inv-def by auto
  then have LM1: undefined-lit M1 L
    by (metis Decided-Propagated-in-iff-in-lits-of atm-of-uminus image-eqI)
  have L-trY: undefined-lit (trail Y) L
    using L-notin (no-dup (trail S)) unfolding defined-lit-map trY M'
    by (auto simp add: image-iff lits-of-def)
  have  $\exists Y'. \text{propagate } Y Y'$ 
    using propagate-rule[of Y] DL M1'-D L-trY Y-CT trY DL by (metis state-eq-ref)
  then have False using (no-step  $cdcl_W$ -cp Y) propagate' by blast
}
moreover {
  assume DL:  $D + \{\#L\} \notin \# \text{ clauses } Y$ 
  have lY-lZ: learned-clss Y = learned-clss Z
    using dec Y'Z rtrancpl-cdclW-cp-learned-clause-inv[of Y' Z] unfolding full-def
    by auto
  have invZ:  $cdcl_W$ -all-struct-inv Z
    by (meson RY YZ invR r-into-rtrancpl rtrancpl-cdclW-all-struct-inv-inv

```

```

    rtrancplp-cdclW-stgy-rtrancplp-cdclW)
  have  $D + \{\#L\} \notin \text{learned-clss } S$ 
  apply (rule rtrancplp-cdclW-stgy-with-trail-end-has-not-been-learned[OF Z invZ trZ])
    using DL lY-lZ unfolding clauses-def apply simp
    apply (metis (no-types, lifting)  $\langle \text{set } M1 \subseteq \text{set } M1' \rangle$  image-mono order-trans
      vars-of-D lits-of-def)
    using L-notin  $\langle \text{no-dup } (\text{trail } S) \rangle$  unfolding  $M'$  by (auto simp add: image-iff lits-of-def)
  then have False
    using already-learned DL confl st' M-lev unfolding  $M'$ 
    by (simp add:  $\langle \text{init-clss } Y = \text{init-clss } R \rangle$  clauses-def confl-S
      rtrancplp-cdclW-stgy-no-more-init-clss)
}
ultimately show False by blast
qed

```

lemma rtrancplp-cdcl_W-stgy-distinct-mset-clauses:

```

  assumes
    invR: cdclW-all-struct-inv R and
    st: cdclW-stgy** R S and
    dist: distinct-mset (clauses R) and
    R: trail R = []
  shows distinct-mset (clauses S)
  using st
proof (induction)
  case base
  then show ?case using dist by simp
next
  case (step S T) note st = this(1) and s = this(2) and IH = this(3)
  from s show ?case
  proof (cases rule: cdclW-stgy.cases)
    case conflict'
    then show ?thesis
      using IH unfolding full1-def by (auto dest: trancplp-cdclW-cp-no-more-clauses)
  next
    case (other' S') note o = this(1) and full = this(3)
    have [simp]: clauses T = clauses S'
      using full unfolding full-def by (auto dest: rtrancplp-cdclW-cp-no-more-clauses)
    show ?thesis
      using o IH
    proof (cases rule: cdclW-o-rule-cases)
      case backtrack
      moreover
        have cdclW-all-struct-inv S
          using invR rtrancplp-cdclW-stgy-cdclW-all-struct-inv st by blast
        then have cdclW-M-level-inv S
          unfolding cdclW-all-struct-inv-def by auto
      ultimately obtain E where
        conflicting S = Some E and
        cls-S': clauses S' =  $\{\#E\} + \text{clauses } S$ 
      using  $\langle \text{cdcl}_W\text{-M-level-inv } S \rangle$ 
      by (induction rule: backtrack-induction-lev2) (auto simp: cdclW-M-level-inv-decomp)
      then have  $E \notin \text{clauses } S$ 
        using cdclW-stgy-no-relearned-clause R invR local.backtrack st by blast
      then show ?thesis using IH by (simp add: distinct-mset-add-single cls-S')
    qed auto
  qed

```

qed
qed

lemma *cdcl_W-stgy-distinct-mset-clauses*:
assumes
st: *cdcl_W-stgy*** (*init-state N*) *S* **and**
no-duplicate-clause: *distinct-mset N* **and**
no-duplicate-in-clause: *distinct-mset-mset N*
shows *distinct-mset (clauses S)*
using *rtrancp-cdcl_W-stgy-distinct-mset-clauses*[*OF - st*] *assms*
by (*auto simp: cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def*)

5.9 Decrease of a measure

fun *cdcl_W-measure* **where**
cdcl_W-measure S =
 [($\exists :: \text{nat}$) \wedge (*card (atms-of-msu (init-clss S))*) - *card (set-mset (learned-clss S))*],
 if *conflicting S* = *None* then 1 else 0,
 if *conflicting S* = *None* then *card (atms-of-msu (init-clss S))* - *length (trail S)*
 else *length (trail S)*
]

lemma *length-model-le-vars-all-inv*:
assumes *cdcl_W-all-struct-inv S*
shows *length (trail S) ≤ card (atms-of-msu (init-clss S))*
using *assms length-model-le-vars*[*of S*] **unfolding** *cdcl_W-all-struct-inv-def*
by (*auto simp: cdcl_W-M-level-inv-decomp*)
end

context *cdcl_W*
begin

lemma *learned-clss-less-upper-bound*:
fixes *S :: 'st*
assumes
distinct-cdcl_W-state S **and**
 $\forall s \in \# \text{learned-clss } S. \neg \text{tautology } s$
shows *card(set-mset (learned-clss S)) ≤ 3 \wedge card (atms-of-msu (learned-clss S))*
proof -
have *set-mset (learned-clss S) ⊆ simple-clss (atms-of-msu (learned-clss S))*
apply (*rule simplified-in-simple-clss*)
using *assms* **unfolding** *distinct-cdcl_W-state-def* **by** *auto*
then have *card(set-mset (learned-clss S))*
 $\leq \text{card}(\text{simple-clss}(\text{atms-of-msu}(\text{learned-clss } S)))$
by (*simp add: simple-clss-finite card-mono*)
then show *?thesis*
by (*meson atms-of-ms-finite simple-clss-card finite-set-mset order-trans*)
qed

lemma *lexn3*[*intro!*, *simp*]:
 $a < a' \vee (a = a' \wedge b < b') \vee (a = a' \wedge b = b' \wedge c < c')$
 $\implies ([a :: \text{nat}, b, c], [a', b', c']) \in \text{lexn } \{(x, y). x < y\} \text{ } 3$
apply *auto*
unfolding *lexn-conv* **apply** *fastforce*
unfolding *lexn-conv* **apply** *auto*
apply (*metis append.simps(1) append.simps(2)*) +

done

lemma *cdcl_W-measure-decreasing*:

fixes $S :: 'st$

assumes

cdcl_W S S' **and**

no-restart:

$\neg(\text{learned-clss } S \subseteq \# \text{ learned-clss } S' \wedge [] = \text{trail } S' \wedge \text{conflicting } S' = \text{None})$

and

learned-clss S $\subseteq \#$ *learned-clss S'* **and**

no-relearn: $\bigwedge S'. \text{backtrack } S S' \implies \forall T. \text{conflicting } S = \text{Some } T \longrightarrow T \notin \# \text{ learned-clss } S$

and

alien: *no-strange-atm S* **and**

M-level: *cdcl_W-M-level-inv S* **and**

no-taut: $\forall s \in \# \text{ learned-clss } S. \neg \text{tautology } s$ **and**

no-dup: *distinct-cdcl_W-state S* **and**

conf: *cdcl_W-conflicting S*

shows $(\text{cdcl}_W\text{-measure } S', \text{cdcl}_W\text{-measure } S) \in \text{lexn } \{(a, b). a < b\} \text{ } 3$

using *assms(1) M-level assms(2,3)*

proof (*induct rule: cdcl_W-all-induct-lev2*)

case (*propagate C L*) **note** *undef = this(3)* **and** *T = this(4)* **and** *conf = this(5)*

have *propa*: *propagate S (cons-trail (Propagated L (C + {#L#})) S)*

using *propagate-rule[OF - propagate.hyps(1,2)] propagate.hyps* **by** *auto*

then have *no-dup'*: *no-dup (Propagated L (C + {#L#})) # trail S*

by (*metis M-level cdcl_W-M-level-inv-decomp(2) ann-literal.sel(2) propagate'*

r-into-rtranclp rtranclp-cdcl_W-cp-consistent-inv trail-cons-trail undef)

let *?N = init-clss S*

have *no-strange-atm (cons-trail (Propagated L (C + {#L#})) S)*

using *alien cdcl_W.propagate cdcl_W-no-strange-atm-inv propa M-level* **by** *blast*

then have *atm-of ' lits-of (Propagated L (C + {#L#})) # trail S*

\subseteq *atms-of-msu (init-clss S)*

using *undef unfolding no-strange-atm-def* **by** *auto*

then have *card (atm-of ' lits-of (Propagated L (C + {#L#})) # trail S)*

\leq *card (atms-of-msu (init-clss S))*

by (*meson atms-of-ms-finite card-mono finite-set-mset*)

then have *length (Propagated L (C + {#L#})) # trail S* \leq *card (atms-of-msu ?N)*

using *no-dup-length-eq-card-atm-of-lits-of no-dup'* **by** *fastforce*

then have *H*: *card (atms-of-msu (init-clss S)) - length (trail S)*

$= \text{Suc } (\text{card } (\text{atms-of-msu } (\text{init-clss } S)) - \text{Suc } (\text{length } (\text{trail } S)))$

by *simp*

show *?case* **using** *conf T undef* **by** (*auto simp: H*)

next

case (*decide L*) **note** *conf = this(1)* **and** *undef = this(2)* **and** *T = this(4)*

moreover

have *dec*: *decide S (cons-trail (Decided L (backtrack-lvl S + 1)) (incr-lvl S))*

using *decide.intros decide.hyps* **by** *force*

then have *cdcl_W:cdcl_W S (cons-trail (Decided L (backtrack-lvl S + 1)) (incr-lvl S))*

using *cdcl_W.simps* **by** *blast*

moreover

have *lev*: *cdcl_W-M-level-inv (cons-trail (Decided L (backtrack-lvl S + 1)) (incr-lvl S))*

using *cdcl_W M-level cdcl_W-consistent-inv[OF cdcl_W]* **by** *auto*

then have *no-dup*: *no-dup (Decided L (backtrack-lvl S + 1)) # trail S*

using *undef unfolding cdcl_W-M-level-inv-def* **by** *auto*

have *no-strange-atm (cons-trail (Decided L (backtrack-lvl S + 1)) (incr-lvl S))*

```

    using M-level alien calculation(4) cdclW-no-strange-atm-inv by blast
  then have length (Decided L ((backtrack-lvl S) + 1) # (trail S))
    ≤ card (atms-of-msu (init-clss S))
    using no-dup clauses-def undef
    length-model-le-vars[of cons-trail (Decided L (backtrack-lvl S + 1)) (incr-lvl S)]
    by fastforce
  ultimately show ?case using conf by auto
next
  case (skip L C' M D) note tr = this(1) and conf = this(2) and T = this(5)
  show ?case using conf T unfolding clauses-def by (simp add: tr)
next
  case conflict
  then show ?case by simp
next
  case resolve
  then show ?case using finite unfolding clauses-def by simp
next
  case (backtrack K i M1 M2 L D T) note decomp = this(1) and conf = this(3) and undef = this(6)
  and
    T = this(7) and lev = this(8)
  let ?S' = T
  have bt: backtrack S ?S'
    using backtrack.hyps backtrack.intros[of S - - - D L K i] by auto
  have D + {#L#} ∉ learned-clss S
    using no-relearn conf bt by auto
  then have card-T:
    card (set-mset ({#D + {#L#}#} + learned-clss S)) = Suc (card (set-mset (learned-clss S)))
    by (simp add:)
  have distinct-cdclW-state ?S'
    using bt M-level distinct-cdclW-state-inv no-dup other by blast
  moreover have ∀ s ∈ #learned-clss ?S'. ¬ tautology s
    using learned-clss-are-not-tautologies[OF cdclW.other[OF cdclW-o.bj[OF
      cdclW-bj.backtrack[OF bt]]]] M-level no-taut confl by auto
  ultimately have card (set-mset (learned-clss T)) ≤ 3 ^ card (atms-of-msu (learned-clss T))
    by (auto simp: clauses-def learned-clss-less-upper-bound)
  then have H: card (set-mset ({#D + {#L#}#} + learned-clss S))
    ≤ 3 ^ card (atms-of-msu ({#D + {#L#}#} + learned-clss S))
    using T undef decomp lev by (auto simp: cdclW-M-level-inv-decomp)
  moreover
    have atms-of-msu ({#D + {#L#}#} + learned-clss S) ⊆ atms-of-msu (init-clss S)
      using alien conf unfolding no-strange-atm-def by auto
    then have card-f: card (atms-of-msu ({#D + {#L#}#} + learned-clss S))
      ≤ card (atms-of-msu (init-clss S))
      by (meson atms-of-ms-finite card-mono finite-set-mset)
    then have (3::nat) ^ card (atms-of-msu ({#D + {#L#}#} + learned-clss S))
      ≤ 3 ^ card (atms-of-msu (init-clss S)) by simp
  ultimately have (3::nat) ^ card (atms-of-msu (init-clss S))
    ≥ card (set-mset ({#D + {#L#}#} + learned-clss S))
    using le-trans by blast
  then show ?case using decomp undef diff-less-mono2 card-T T lev
    by (auto simp: cdclW-M-level-inv-decomp)
next
  case restart
  then show ?case using alien by (auto simp: state-eq-def simp del: state-simp)
next

```

```

case (forget C T)
then have C ∈# learned-clss S and C ∉# learned-clss T
  by auto
then show ?case using forget(9) by (simp add: mset-leD)
qed

```

```

lemma propagate-measure-decreasing:
  fixes S :: 'st
  assumes propagate S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lexn {(a, b). a < b} 3
  apply (rule cdclW-measure-decreasing)
  using assms(1) propagate apply blast
    using assms(1) apply (auto simp add: propagate.simps)[3]
    using assms(2) apply (auto simp add: cdclW-all-struct-inv-def)
  done

```

```

lemma conflict-measure-decreasing:
  fixes S :: 'st
  assumes conflict S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lexn {(a, b). a < b} 3
  apply (rule cdclW-measure-decreasing)
  using assms(1) conflict apply blast
    using assms(1) apply (auto simp add: propagate.simps)[3]
    using assms(2) apply (auto simp add: cdclW-all-struct-inv-def)
  done

```

```

lemma decide-measure-decreasing:
  fixes S :: 'st
  assumes decide S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lexn {(a, b). a < b} 3
  apply (rule cdclW-measure-decreasing)
  using assms(1) decide other apply blast
    using assms(1) apply (auto simp add: propagate.simps)[3]
    using assms(2) apply (auto simp add: cdclW-all-struct-inv-def)
  done

```

```

lemma trans-le:
  trans {(a, (b::nat)). a < b}
  unfolding trans-def by auto

```

```

lemma cdclW-cp-measure-decreasing:
  fixes S :: 'st
  assumes cdclW-cp S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lexn {(a, b). a < b} 3
  using assms
proof induction
  case conflict'
  then show ?case using conflict-measure-decreasing by blast
next
  case propagate'
  then show ?case using propagate-measure-decreasing by blast
qed

```

```

lemma tranclp-cdclW-cp-measure-decreasing:
  fixes S :: 'st

```

```

assumes  $cdcl_W\text{-}cp^{++} S S'$  and  $cdcl_W\text{-}all\text{-}struct\text{-}inv S$ 
shows  $(cdcl_W\text{-}measure S', cdcl_W\text{-}measure S) \in lexn \{(a, b). a < b\} \text{ } 3$ 
using assms
proof induction
  case base
  then show ?case using  $cdcl_W\text{-}cp\text{-}measure\text{-}decreasing$  by blast
next
  case  $(step\ T\ U)$  note  $st = this(1)$  and  $step = this(2)$  and  $IH = this(3)$  and  $inv = this(4)$ 
  then have  $(cdcl_W\text{-}measure\ T, cdcl_W\text{-}measure\ S) \in lexn \{a. case\ a\ of\ (a, b) \Rightarrow a < b\} \text{ } 3$  by blast

  moreover have  $(cdcl_W\text{-}measure\ U, cdcl_W\text{-}measure\ T) \in lexn \{a. case\ a\ of\ (a, b) \Rightarrow a < b\} \text{ } 3$ 
  using  $cdcl_W\text{-}cp\text{-}measure\text{-}decreasing[OF\ step]$   $rtranclp\text{-}cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ inv$ 
   $trancplp\text{-}cdcl_W\text{-}cp\text{-}trancplp\text{-}cdcl_W[OF\ st]$ 
  unfolding trans-def  $rtranclp\text{-}unfold$ 
  by blast
  ultimately show ?case using  $lexn\text{-}transI[OF\ trans\text{-}le]$  unfolding trans-def by blast
qed

lemma  $cdcl_W\text{-}stgy\text{-}step\text{-}decreasing$ :
  fixes  $R\ S\ T :: 'st$ 
  assumes  $cdcl_W\text{-}stgy\ S\ T$  and
   $cdcl_W\text{-}stgy^{**} R\ S$ 
  trail  $R = []$  and
   $cdcl_W\text{-}all\text{-}struct\text{-}inv R$ 
  shows  $(cdcl_W\text{-}measure\ T, cdcl_W\text{-}measure\ S) \in lexn \{(a, b). a < b\} \text{ } 3$ 
proof –
  have  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$ 
  using assms
  by  $(metis\ rtranclp\text{-}unfold\ rtranclp\text{-}cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ trancplp\text{-}cdcl_W\text{-}stgy\text{-}trancplp\text{-}cdcl_W)$ 
  with assms show ?thesis
  proof induction
    case  $(conflict'\ V)$  note  $cp = this(1)$  and  $inv = this(5)$ 
    show ?case
    using  $trancplp\text{-}cdcl_W\text{-}cp\text{-}measure\text{-}decreasing[OF\ HOL.conjunct1[OF\ cp[unfolding\ full1\text{-}def]]\ inv]$ 
    .
  next
    case  $(other'\ T\ U)$  note  $st = this(1)$  and  $H = this(4,5,6,7)$  and  $cp = this(3)$ 
    have  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ T$ 
    using  $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ other\ other'.hyps(1)\ other'.prems(4)$  by blast
    from  $trancplp\text{-}cdcl_W\text{-}cp\text{-}measure\text{-}decreasing[OF\ \text{-}\ this]$ 
    have le-or-eq:  $(cdcl_W\text{-}measure\ U, cdcl_W\text{-}measure\ T) \in lexn \{a. case\ a\ of\ (a, b) \Rightarrow a < b\} \text{ } 3 \vee$ 
     $cdcl_W\text{-}measure\ U = cdcl_W\text{-}measure\ T$ 
    using  $cp$  unfolding full-def  $rtranclp\text{-}unfold$  by blast
    moreover
    have  $cdcl_W\text{-}M\text{-}level\text{-}inv\ S$ 
    using  $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def\ other'.prems(4)$  by blast
    with  $st$  have  $(cdcl_W\text{-}measure\ T, cdcl_W\text{-}measure\ S) \in lexn \{a. case\ a\ of\ (a, b) \Rightarrow a < b\} \text{ } 3$ 
    proof (induction rule:cdcl_W-o-induct-lev2)
      case  $(decide\ T)$ 
      then show ?case using  $decide\text{-}measure\text{-}decreasing\ H$  by blast
    next
      case  $(backtrack\ K\ i\ M1\ M2\ L\ D\ T)$  note  $decomp = this(1)$  and  $undef = this(6)$  and  $T =$ 
this(7)
      have  $bt: backtrack\ S\ T$ 
      apply (rule backtrack-rule)

```

```

    using backtrack.hyps by auto
  then have no-relearn:  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow T \notin \# \text{ learned-clss } S$ 
    using cdclW-stgy-no-relearned-clause[of R S T] H
    unfolding cdclW-all-struct-inv-def clauses-def by auto
  have inv: cdclW-all-struct-inv S
    using ⟨cdclW-all-struct-inv S⟩ by blast
  show ?case
    apply (rule cdclW-measure-decreasing)
      using bt cdclW-bj.backtrack cdclW-o.bj other apply simp
      using bt T undef decomp inv unfolding cdclW-all-struct-inv-def
        cdclW-M-level-inv-def apply auto[]
      using bt T undef decomp inv unfolding cdclW-all-struct-inv-def
        cdclW-M-level-inv-def apply auto[]
      using bt no-relearn apply auto[]
      using inv unfolding cdclW-all-struct-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def by simp
    next
      case skip
      then show ?case by force
    next
      case resolve
      then show ?case by force
  qed
ultimately show ?case
  by (metis leW-transI transD trans-le)
qed
qed

```

lemma *tranclp-cdcl_W-stgy-decreasing*:

```

  fixes R S T :: 'st
  assumes cdclW-stgy++ R S
  trail R = [] and
  cdclW-all-struct-inv R
  shows (cdclW-measure S, cdclW-measure R) ∈ leW {(a, b). a < b} 3
  using assms
  apply induction
    using cdclW-stgy-step-decreasing[of R - R] apply blast
  using cdclW-stgy-step-decreasing[of - - R] tranclp-into-rtranclp[of cdclW-stgy R]
  leW-transI[OF trans-le, of 3] unfolding trans-def by blast

```

lemma *tranclp-cdcl_W-stgy-S0-decreasing*:

```

  fixes R S T :: 'st
  assumes pl: cdclW-stgy++ (init-state N) S and
  no-dup: distinct-mset-mset N
  shows (cdclW-measure S, cdclW-measure (init-state N)) ∈ leW {(a, b). a < b} 3
proof -
  have cdclW-all-struct-inv (init-state N)
    using no-dup unfolding cdclW-all-struct-inv-def by auto
  then show ?thesis using pl tranclp-cdclW-stgy-decreasing init-state-trail by blast
qed

```

lemma *wf-tranclp-cdcl_W-stgy*:


```

wf {(S::'st, init-state N) | S N. distinct-mset-mset N ∧ cdclW-stgy++ (init-state N) S}
apply (rule wf-wf-if-measure'-notation2[of lexn {(a, b). a < b} 3 - - cdclW-measure])
apply (simp add: wf wf-lexn)
using tranclp-cdclW-stgy-S0-decreasing by blast
end

end
theory DPLL-CDCL-W-Implementation
imports Partial-Annotated-Clausal-Logic
begin

```

6 Simple Implementation of the DPLL and CDCL

6.1 Common Rules

6.1.1 Propagation

The following theorem holds:

lemma *lits-of-unfold*[iff]:

$(\forall c \in \text{set } C. -c \in \text{lits-of } Ms) \longleftrightarrow Ms \models_{\text{as}} C\text{Not } (\text{mset } C)$

unfolding *true-annot-def Ball-def true-annot-def CNot-def mem-set-multiset-eq* **by** *auto*

The right-hand version is written at a high-level, but only the left-hand side is executable.

definition *is-unit-clause* :: 'a literal list \Rightarrow ('a, 'b, 'c) ann-literal list \Rightarrow 'a literal option

where

is-unit-clause *l M* =

(case *List.filter* ($\lambda a. \text{atm-of } a \notin \text{atm-of ' lits-of } M$) *l* of
 $a \# [] \Rightarrow \text{if } M \models_{\text{as}} C\text{Not } (\text{mset } l - \{\#a\# \}) \text{ then Some } a \text{ else None}$
 $| - \Rightarrow \text{None}$)

definition *is-unit-clause-code* :: 'a literal list \Rightarrow ('a, 'b, 'c) ann-literal list

\Rightarrow 'a literal option **where**

is-unit-clause-code *l M* =

(case *List.filter* ($\lambda a. \text{atm-of } a \notin \text{atm-of ' lits-of } M$) *l* of
 $a \# [] \Rightarrow \text{if } (\forall c \in \text{set } (\text{remove1 } a \text{ } l). -c \in \text{lits-of } M) \text{ then Some } a \text{ else None}$
 $| - \Rightarrow \text{None}$)

lemma *is-unit-clause-is-unit-clause-code*[code]:

is-unit-clause *l M* = *is-unit-clause-code* *l M*

proof –

have 1: $\bigwedge a. (\forall c \in \text{set } (\text{remove1 } a \text{ } l). -c \in \text{lits-of } M) \longleftrightarrow M \models_{\text{as}} C\text{Not } (\text{mset } l - \{\#a\# \})$

using *lits-of-unfold*[of *remove1* - *l*, of - *M*] **by** *simp*

thus *?thesis*

unfolding *is-unit-clause-code-def is-unit-clause-def 1* **by** *blast*

qed

lemma *is-unit-clause-some-undef*:

assumes *is-unit-clause* *l M* = *Some a*

shows *undefined-lit* *M a*

proof –

have (case [*a* ← *l* . *atm-of* *a* \notin *atm-of ' lits-of* *M*] of [] \Rightarrow *None*

| [*a*] \Rightarrow *if* *M* \models_{as} *CNot* (*mset* *l* - {*#a#*}) *then Some a* *else None*

| *a* # *ab* # *xa* \Rightarrow *Map.empty* *xa*) = *Some a*

using *assms* **unfolding** *is-unit-clause-def* .

hence *a* \in *set* [*a* ← *l* . *atm-of* *a* \notin *atm-of ' lits-of* *M*]

```

apply (cases [a ← l . atm-of a ∉ atm-of ' lits-of M])
apply simp
apply (rename-tac aa list; case-tac list) by (auto split: split-if-asm)
hence atm-of a ∉ atm-of ' lits-of M by auto
thus ?thesis
by (simp add: Decided-Propagated-in-iff-in-lits-of
      atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set )
qed

```

lemma *is-unit-clause-some-CNot*: $\text{is-unit-clause } l \ M = \text{Some } a \implies M \models_{\text{as}} \text{CNot } (\text{mset } l - \{\#a\# \})$
unfolding *is-unit-clause-def*

```

proof -
assume (case [a ← l . atm-of a ∉ atm-of ' lits-of M] of [] ⇒ None
  | [a] ⇒ if M ⊨as CNot (mset l - {#a#}) then Some a else None
  | a # ab # xa ⇒ Map.empty xa) = Some a
thus ?thesis
apply (cases [a ← l . atm-of a ∉ atm-of ' lits-of M], simp)
apply simp
apply (rename-tac aa list; case-tac list) by (auto split: split-if-asm)
qed

```

lemma *is-unit-clause-some-in*: $\text{is-unit-clause } l \ M = \text{Some } a \implies a \in \text{set } l$
unfolding *is-unit-clause-def*

```

proof -
assume (case [a ← l . atm-of a ∉ atm-of ' lits-of M] of [] ⇒ None
  | [a] ⇒ if M ⊨as CNot (mset l - {#a#}) then Some a else None
  | a # ab # xa ⇒ Map.empty xa) = Some a
thus a ∈ set l
by (cases [a ← l . atm-of a ∉ atm-of ' lits-of M])
  (fastforce dest: filter-eq-ConsD split: split-if-asm split: list.splits)+
qed

```

lemma *is-unit-clause-nil[simp]*: $\text{is-unit-clause } [] \ M = \text{None}$
unfolding *is-unit-clause-def* **by** auto

6.1.2 Unit propagation for all clauses

Finding the first clause to propagate

```

fun find-first-unit-clause :: 'a literal list list ⇒ ('a, 'b, 'c) ann-literal list
  ⇒ ('a literal × 'a literal list) option where
find-first-unit-clause (a # l) M =
  (case is-unit-clause a M of
    None ⇒ find-first-unit-clause l M
  | Some L ⇒ Some (L, a)) |
find-first-unit-clause [] - = None

```

lemma *find-first-unit-clause-some*:
 $\text{find-first-unit-clause } l \ M = \text{Some } (a, c)$
 $\implies c \in \text{set } l \wedge M \models_{\text{as}} \text{CNot } (\text{mset } c - \{\#a\# \}) \wedge \text{undefined-lit } M \ a \wedge a \in \text{set } c$
apply (induction l)
apply simp
by (auto split: option.splits dest: is-unit-clause-some-in is-unit-clause-some-CNot
 is-unit-clause-some-undef)

lemma *propagate-is-unit-clause-not-None*:

```

assumes dist: distinct c and
M:  $M \models_{as} CNot (mset\ c - \{\#a\# \})$  and
undef: undefined-lit M a and
ac:  $a \in set\ c$ 
shows is-unit-clause c M  $\neq None$ 
proof -
  have  $[a \leftarrow c . atm-of\ a \notin atm-of\ 'lits-of\ M] = [a]$ 
  using assms
  proof (induction c)
    case Nil thus ?case by simp
  next
    case (Cons ac c)
    show ?case
      proof (cases a = ac)
        case True
        thus ?thesis using Cons
        by (auto simp del: lits-of-unfold
          simp add: lits-of-unfold[symmetric] Decided-Propagated-in-iff-in-lits-of
          atm-of-eq-atm-of atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
      next
        case False
        hence T:  $mset\ c + \{\#ac\# \} - \{\#a\# \} = mset\ c - \{\#a\# \} + \{\#ac\# \}$ 
        by (auto simp add: multiset-eq-iff)
        show ?thesis using False Cons
        by (auto simp add: T atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
      qed
    qed
  thus ?thesis
  using M unfolding is-unit-clause-def by auto
qed

```

```

lemma find-first-unit-clause-none:
   $distinct\ c \implies c \in set\ l \implies M \models_{as} CNot (mset\ c - \{\#a\# \}) \implies undefined-lit\ M\ a \implies a \in set\ c$ 
   $\implies find-first-unit-clause\ l\ M \neq None$ 
  by (induction l)
  (auto split: option.split simp add: propagate-is-unit-clause-not-None)

```

6.1.3 Decide

```

fun find-first-unused-var :: 'a literal list list  $\Rightarrow$  'a literal set  $\Rightarrow$  'a literal option' where
find-first-unused-var (a # l) M =
  (case List.find ( $\lambda lit. lit \notin M \wedge \neg lit \notin M$ ) a of
    None  $\Rightarrow find-first-unused-var\ l\ M$ 
  | Some a  $\Rightarrow Some\ a$ ) |
find-first-unused-var [] - = None

```

```

lemma find-none[iff]:
   $List.find\ (\lambda lit. lit \notin M \wedge \neg lit \notin M)\ a = None \iff atm-of\ 'set\ a \subseteq atm-of\ 'M$ 
  apply (induct a)
  using atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
  by (force simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set) +

```

```

lemma find-some:  $List.find\ (\lambda lit. lit \notin M \wedge \neg lit \notin M)\ a = Some\ b \implies b \in set\ a \wedge b \notin M \wedge \neg b \notin M$ 
  unfolding find-Some-iff by (metis nth-mem)

```

```

lemma find-first-unused-var-None[iff]:

```

find-first-unused-var $l \ M = \text{None} \longleftrightarrow (\forall a \in \text{set } l. \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' \ M)$
by (*induct* l)
 (*auto split: option.splits dest!: find-some*
simp add: image-subset-iff atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)

lemma *find-first-unused-var-Some-not-all-incl*:
assumes *find-first-unused-var* $l \ M = \text{Some } c$
shows $\neg(\forall a \in \text{set } l. \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' \ M)$

proof –
have *find-first-unused-var* $l \ M \neq \text{None}$
using *assms* **by** (*cases find-first-unused-var* $l \ M$) *auto*
thus $\neg(\forall a \in \text{set } l. \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' \ M)$ **by** *auto*
qed

lemma *find-first-unused-var-Some*:
find-first-unused-var $l \ M = \text{Some } a \implies (\exists m \in \text{set } l. a \in \text{set } m \wedge a \notin M \wedge -a \notin M)$
by (*induct* l) (*auto split: option.splits dest: find-some*)

lemma *find-first-unused-var-undefined*:
find-first-unused-var $l \ (\text{lits-of } Ms) = \text{Some } a \implies \text{undefined-lit } Ms \ a$
using *find-first-unused-var-Some*[*of* $l \ \text{lits-of } Ms \ a$] *Decided-Propagated-in-iff-in-lits-of*
by *blast*

end

theory *DPLL-W-Implementation*

imports *DPLL-CDCL-W-Implementation* *DPLL-W* $\sim\sim$ */src/HOL/Library/Code-Target-Numeral*
begin

6.2 Simple Implementation of DPLL

6.2.1 Combining the propagate and decide: a DPLL step

definition *DPLL-step* :: *int dpll_W-ann-literals* \times *int literal list list*

\Rightarrow *int dpll_W-ann-literals* \times *int literal list list* **where**

DPLL-step = $(\lambda(Ms, N).$

(*case find-first-unit-clause* $N \ Ms$ *of*

Some $(L, -) \Rightarrow (\text{Propagated } L \ () \ \# \ Ms, N)$

| $- \Rightarrow$

if $\exists C \in \text{set } N. (\forall c \in \text{set } C. -c \in \text{lits-of } Ms)$

then

(*case backtrack-split* Ms *of*

$(-, L \ \# \ M) \Rightarrow (\text{Propagated } (- \ (\text{lit-of } L)) \ () \ \# \ M, N)$

| $(-, -) \Rightarrow (Ms, N)$

)

else

(*case find-first-unused-var* $N \ (\text{lits-of } Ms)$ *of*

Some $a \Rightarrow (\text{Decided } a \ () \ \# \ Ms, N)$

| *None* $\Rightarrow (Ms, N)))$

Example of propagation:

value *DPLL-step* $([\text{Decided } (\text{Neg } 1) \ ()], [[\text{Pos } (1::\text{int}), \text{Neg } 2]])$

We define the conversion function between the states as defined in *Prop-DPLL* (with multisets) and here (with lists).

abbreviation *toS* $\equiv \lambda(Ms::(\text{int}, \text{unit}, \text{unit}) \text{ ann-literal list})$
 $(N::\text{int literal list list}). (Ms, \text{mset } (\text{map } \text{mset } N))$

abbreviation $toS' \equiv \lambda(Ms::(int, unit, unit) \text{ ann-literal list},$
 $N:: int \text{ literal list list}). (Ms, mset (map mset N))$

Proof of correctness of *DPLL-step*

lemma *DPLL-step-is-a-dpll_W-step*:

assumes $step: (Ms', N') = DPLL\text{-}step (Ms, N)$

and $neg: (Ms, N) \neq (Ms', N')$

shows $dpll_W (toS Ms N) (toS Ms' N')$

proof –

let $?S = (Ms, mset (map mset N))$

{ fix $L E$

assume $unit: find\text{-}first\text{-}unit\text{-}clause N Ms = Some (L, E)$

hence $Ms'N: (Ms', N') = (Propagated L () \# Ms, N)$

using $step$ **unfolding** *DPLL-step-def* **by** *auto*

obtain C **where**

$C: C \in set N$ **and**

$Ms: Ms \models_{as} CNot (mset C - \{\#L\# \})$ **and**

$undef: undefined\text{-}lit Ms L$ **and**

$L \in set C$ **using** $find\text{-}first\text{-}unit\text{-}clause\text{-}some[OF unit]$ **by** *metis*

have $dpll_W (Ms, mset (map mset N))$

$(Propagated L () \# fst (Ms, mset (map mset N)), snd (Ms, mset (map mset N)))$

apply $(rule dpll_W.propagate)$

using $Ms undef C \langle L \in set C \rangle$ **unfolding** *mem-set-multiset-eq* **by** $(auto simp add: C)$

hence $?thesis$ **using** $Ms'N$ **by** *auto*

}

moreover

{ assume $unit: find\text{-}first\text{-}unit\text{-}clause N Ms = None$

assume $exC: \exists C \in set N. Ms \models_{as} CNot (mset C)$

then obtain C **where** $C: C \in set N$ **and** $Ms: Ms \models_{as} CNot (mset C)$ **by** *auto*

then obtain $L M M'$ **where** $bt: backtrack\text{-}split Ms = (M', L \# M)$

using $step exC neg$ **unfolding** *DPLL-step-def prod.case unit*

by $(cases backtrack\text{-}split Ms, rename\text{-}tac b, case\text{-}tac b)$ *auto*

hence $is\text{-}decided L$ **using** *backtrack-split-snd-hd-decided[of Ms]* **by** *auto*

have $1: dpll_W (Ms, mset (map mset N))$

$(Propagated (- lit\text{-}of L) () \# M, snd (Ms, mset (map mset N)))$

apply $(rule dpll_W.backtrack[OF - \langle is\text{-}decided L \rangle, of])$

using $C Ms bt$ **by** *auto*

moreover have $(Ms', N') = (Propagated (- (lit\text{-}of L)) () \# M, N)$

using $step exC$ **unfolding** *DPLL-step-def bt prod.case unit* **by** *auto*

ultimately have $?thesis$ **by** *auto*

}

moreover

{ assume $unit: find\text{-}first\text{-}unit\text{-}clause N Ms = None$

assume $exC: \neg (\exists C \in set N. Ms \models_{as} CNot (mset C))$

obtain L **where** $unused: find\text{-}first\text{-}unused\text{-}var N (lits\text{-}of Ms) = Some L$

using $step exC neg$ **unfolding** *DPLL-step-def prod.case unit*

by $(cases find\text{-}first\text{-}unused\text{-}var N (lits\text{-}of Ms))$ *auto*

have $dpll_W (Ms, mset (map mset N))$

$(Decided L () \# fst (Ms, mset (map mset N)), snd (Ms, mset (map mset N)))$

apply $(rule dpll_W.decided[of ?S L])$

using $find\text{-}first\text{-}unused\text{-}var\text{-}Some[OF unused]$

by $(auto simp add: Decided\text{-}Propagated\text{-}in\text{-}iff\text{-}in\text{-}lits\text{-}of\text{-}atms\text{-}of\text{-}ms\text{-}def)$

moreover have $(Ms', N') = (Decided L () \# Ms, N)$

using $step exC$ **unfolding** *DPLL-step-def unused prod.case unit* **by** *auto*

ultimately have $?thesis$ **by** *auto*

```

}
ultimately show ?thesis by (cases find-first-unit-clause N Ms) auto
qed

lemma DPLL-step-stuck-final-state:
  assumes step: (Ms, N) = DPLL-step (Ms, N)
  shows conclusive-dpllW-state (toS Ms N)
proof -
  have unit: find-first-unit-clause N Ms = None
    using step unfolding DPLL-step-def by (auto split: option.splits)

  { assume n:  $\exists C \in \text{set } N. Ms \models_{as} CNot (mset C)$ 
    hence Ms: (Ms, N) = (case backtrack-split Ms of (x, [])  $\Rightarrow$  (Ms, N)
      | (x, L # M)  $\Rightarrow$  (Propagated (- lit-of L) () # M, N))
      using step unfolding DPLL-step-def by (simp add: unit)

  have snd (backtrack-split Ms) = []
  proof (cases backtrack-split Ms, cases snd (backtrack-split Ms))
    fix a b
    assume backtrack-split Ms = (a, b) and snd (backtrack-split Ms) = []
    thus snd (backtrack-split Ms) = [] by blast
  next
    fix a b aa list
    assume
      bt: backtrack-split Ms = (a, b) and
      bt': snd (backtrack-split Ms) = aa # list
    hence Ms: Ms = Propagated (- lit-of aa) () # list using Ms by auto
    have is-decided aa using backtrack-split-snd-hd-decided[of Ms] bt bt' by auto
    moreover have fst (backtrack-split Ms) @ aa # list = Ms
      using backtrack-split-list-eq[of Ms] bt' by auto
    ultimately have False unfolding Ms by auto
    thus snd (backtrack-split Ms) = [] by blast
  qed

  hence ?thesis
    using n backtrack-snd-empty-not-decided[of Ms] unfolding conclusive-dpllW-state-def
    by (cases backtrack-split Ms) auto
}

moreover {
  assume n:  $\neg (\exists C \in \text{set } N. Ms \models_{as} CNot (mset C))$ 
  hence find-first-unused-var N (lits-of Ms) = None
    using step unfolding DPLL-step-def by (simp add: unit split: option.splits)
  hence a:  $\forall a \in \text{set } N. \text{atm-of 'set } a \subseteq \text{atm-of ' (lits-of Ms)}$  by auto
  have fst (toS Ms N)  $\models_{asm}$  snd (toS Ms N) unfolding true-annots-def CNot-def Ball-def
  proof clarify
    fix x
    assume x:  $x \in \text{set-mset (clauses (toS Ms N))}$ 
    hence  $\neg Ms \models_{as} CNot x$  using n unfolding true-annots-def CNot-def Ball-def by auto
    moreover have total-over-m (lits-of Ms) {x}
      using a x image-iff in-mono atms-of-s-def
      unfolding total-over-m-def total-over-set-def lits-of-def by fastforce
    ultimately show fst (toS Ms N)  $\models_a x$ 
      using total-not-CNot[of lits-of Ms x] by (simp add: true-annot-def true-annots-true-cls)
  qed
  hence ?thesis unfolding conclusive-dpllW-state-def by blast
}

```

```

}
ultimately show ?thesis by blast
qed

```

6.2.2 Adding invariants

Invariant tested in the function `function DPLL-ci :: int dpllW-ann-literals \Rightarrow int literal list list`

```

 $\Rightarrow$  int dpllW-ann-literals  $\times$  int literal list list where
DPLL-ci Ms N =
  (if  $\neg$ dpllW-all-inv (Ms, mset (map mset N))
   then (Ms, N)
   else
    let (Ms', N') = DPLL-step (Ms, N) in
    if (Ms', N') = (Ms, N) then (Ms, N) else DPLL-ci Ms' N)
by fast+

```

termination

```

proof (relation {(S', S). (toS' S', toS' S)  $\in$  {(S', S). dpllW-all-inv S  $\wedge$  dpllW S S'}})
show wf {(S', S). (toS' S', toS' S)  $\in$  {(S', S). dpllW-all-inv S  $\wedge$  dpllW S S'}}
using wf-if-measure-f[OF dpllW-wf, of toS'] by auto

```

next

```

fix Ms :: int dpllW-ann-literals and N x xa y
assume  $\neg \neg$  dpllW-all-inv (toS Ms N)
and step: x = DPLL-step (Ms, N)
and x: (xa, y) = x
and (xa, y)  $\neq$  (Ms, N)
thus ((xa, N), Ms, N)  $\in$  {(S', S). (toS' S', toS' S)  $\in$  {(S', S). dpllW-all-inv S  $\wedge$  dpllW S S'}}
using DPLL-step-is-a-dpllW-step dpllW-same-clauses split-conv by fastforce
qed

```

No invariant tested `function (domintros) DPLL-part :: int dpllW-ann-literals \Rightarrow int literal list list`

```

 $\Rightarrow$ 
int dpllW-ann-literals  $\times$  int literal list list where
DPLL-part Ms N =
  (let (Ms', N') = DPLL-step (Ms, N) in
   if (Ms', N') = (Ms, N) then (Ms, N) else DPLL-part Ms' N)
by fast+

```

lemma `snd-DPLL-step[simp]:`

```

snd (DPLL-step (Ms, N)) = N
unfolding DPLL-step-def by (auto split: split-if option.splits prod.splits list.splits)

```

lemma `dpllW-all-inv-implieS-2-eq3-and-dom:`

```

assumes dpllW-all-inv (Ms, mset (map mset N))
shows DPLL-ci Ms N = DPLL-part Ms N  $\wedge$  DPLL-part-dom (Ms, N)
using assms
proof (induct rule: DPLL-ci.induct)
case (1 Ms N)
have snd (DPLL-step (Ms, N)) = N by auto
then obtain Ms' where Ms': DPLL-step (Ms, N) = (Ms', N) by (cases DPLL-step (Ms, N)) auto
have inv': dpllW-all-inv (toS Ms' N) by (metis (mono-tags) 1.prem DPLL-step-is-a-dpllW-step
  Ms' dpllW-all-inv old.prod.inject)
{ assume (Ms', N)  $\neq$  (Ms, N)
hence DPLL-ci Ms' N = DPLL-part Ms' N  $\wedge$  DPLL-part-dom (Ms', N) using 1(1)[of - Ms' N]
Ms'
  1(2) inv' by auto

```

hence $DPLL\text{-}part\text{-}dom (Ms, N)$ **using** $DPLL\text{-}part.domintros Ms'$ **by** *fastforce*
 moreover **have** $DPLL\text{-}ci Ms N = DPLL\text{-}part Ms N$ **using** $1.prem s DPLL\text{-}part.psims Ms'$
 $\langle DPLL\text{-}ci Ms' N = DPLL\text{-}part Ms' N \wedge DPLL\text{-}part\text{-}dom (Ms', N) \rangle \langle DPLL\text{-}part\text{-}dom (Ms, N) \rangle$ **by**
auto
 ultimately **have** $?case$ **by** *blast*
 }
 moreover {
 assume $(Ms', N) = (Ms, N)$
 hence $?case$ **using** $DPLL\text{-}part.domintros DPLL\text{-}part.psims Ms'$ **by** *fastforce*
 }
 ultimately **show** $?case$ **by** *blast*
qed

lemma $DPLL\text{-}ci\text{-}dpll_W\text{-}rtranclp$:

assumes $DPLL\text{-}ci Ms N = (Ms', N')$
 shows $dpll_W^{**} (toS Ms N) (toS Ms' N')$
 using *assms*

proof (*induct Ms N arbitrary: Ms' N' rule: DPLL-ci.induct*)

case $(1 Ms N Ms' N')$ **note** $IH = this(1)$ **and** $step = this(2)$

obtain $S_1 S_2$ **where** $S: (S_1, S_2) = DPLL\text{-}step (Ms, N)$ **by** (*cases DPLL-step (Ms, N)*) *auto*

{ **assume** $\neg dpll_W\text{-}all\text{-}inv (toS Ms N)$
 hence $(Ms, N) = (Ms', N)$ **using** $step$ **by** *auto*
 hence $?case$ **by** *auto*
 }

moreover

{ **assume** $dpll_W\text{-}all\text{-}inv (toS Ms N)$
 and $(S_1, S_2) = (Ms, N)$
 hence $?case$ **using** $S step$ **by** *auto*
 }

moreover

{ **assume** $dpll_W\text{-}all\text{-}inv (toS Ms N)$
 and $(S_1, S_2) \neq (Ms, N)$
 moreover **obtain** $S_1' S_2'$ **where** $DPLL\text{-}ci S_1 N = (S_1', S_2')$ **by** (*cases DPLL-ci S₁ N*) *auto*
 moreover **have** $DPLL\text{-}ci Ms N = DPLL\text{-}ci S_1 N$ **using** $DPLL\text{-}ci.sims[of Ms N]$ *calculation*

proof –

have (*case* (S_1, S_2) *of* $(ms, lss) \Rightarrow$
 if $(ms, lss) = (Ms, N)$ *then* (Ms, N) *else* $DPLL\text{-}ci ms N = DPLL\text{-}ci Ms N$
using $S DPLL\text{-}ci.sims[of Ms N]$ *calculation* **by** *presburger*
 hence (*if* $(S_1, S_2) = (Ms, N)$ *then* (Ms, N) *else* $DPLL\text{-}ci S_1 N = DPLL\text{-}ci Ms N$
by *fastforce*
thus $?thesis$
using *calculation(2)* **by** *presburger*

qed

ultimately have $dpll_W^{**} (toS S_1' N) (toS Ms' N)$ **using** $IH[of (S_1, S_2) S_1 S_2]$ $S step$ **by** *simp*

moreover have $dpll_W (toS Ms N) (toS S_1 N)$

by (*metis DPLL-step-is-a-dpll_W-step S $\langle (S_1, S_2) \neq (Ms, N) \rangle prod.sel(2) snd\text{-}DPLL\text{-}step$*)

ultimately have $?case$ **by** (*metis (mono-tags, hide-lams) IH S $\langle (S_1, S_2) \neq (Ms, N) \rangle$*
 $\langle DPLL\text{-}ci Ms N = DPLL\text{-}ci S_1 N \rangle \langle dpll_W\text{-}all\text{-}inv (toS Ms N) \rangle$ *converse-rtranclp-into-rtranclp*
local.step

}

ultimately show $?case$ **by** *blast*

qed

lemma *dp_{ll}_W-all-inv-dp_{ll}_W-tranclp-irrefl*:

assumes *dp_{ll}_W-all-inv* (*Ms*, *N*)

and *dp_{ll}_W⁺⁺* (*Ms*, *N*) (*Ms*, *N*)

shows *False*

proof –

have *1*: *wf* $\{(S', S). \text{dp}_{llW}\text{-all-inv } S \wedge \text{dp}_{llW}^{++} S S'\}$ **using** *dp_{ll}_W-wf-tranclp* **by** *auto*

have $((Ms, N), (Ms, N)) \in \{(S', S). \text{dp}_{llW}\text{-all-inv } S \wedge \text{dp}_{llW}^{++} S S'\}$ **using** *assms* **by** *auto*

thus *False* **using** *wf-not-refl*[*OF 1*] **by** *blast*

qed

lemma *DPLL-ci-final-state*:

assumes *step*: *DPLL-ci* *Ms* *N* = (*Ms*, *N*)

and *inv*: *dp_{ll}_W-all-inv* (*toS* *Ms* *N*)

shows *conclusive-dp_{ll}_W-state* (*toS* *Ms* *N*)

proof –

have *st*: *dp_{ll}_W^{**}* (*toS* *Ms* *N*) (*toS* *Ms* *N*) **using** *DPLL-ci-dp_{ll}_W-rtranclp*[*OF step*] .

have *DPLL-step* (*Ms*, *N*) = (*Ms*, *N*)

proof (*rule ccontr*)

obtain *Ms' N'* **where** *Ms'N*: (*Ms'*, *N'*) = *DPLL-step* (*Ms*, *N*)

by (*cases DPLL-step* (*Ms*, *N*)) *auto*

assume $\neg ?thesis$

hence *DPLL-ci* *Ms' N* = (*Ms*, *N*) **using** *step inv st Ms'N[symmetric]* **by** *fastforce*

hence *dp_{ll}_W⁺⁺* (*toS* *Ms* *N*) (*toS* *Ms* *N*)

by (*metis DPLL-ci-dp_{ll}_W-rtranclp DPLL-step-is-a-dp_{ll}_W-step Ms'N $\langle DPLL\text{-step } (Ms, N) \neq (Ms, N) \rangle$*)

prod.sel(2) *rtranclp-into-tranclp2 snd-DPLL-step*)

thus *False* **using** *dp_{ll}_W-all-inv-dp_{ll}_W-tranclp-irrefl inv* **by** *auto*

qed

thus *?thesis* **using** *DPLL-step-stuck-final-state*[*of Ms N*] **by** *simp*

qed

lemma *DPLL-step-obtains*:

obtains *Ms'* **where** (*Ms'*, *N*) = *DPLL-step* (*Ms*, *N*)

unfolding *DPLL-step-def* **by** (*metis (no-types, lifting) DPLL-step-def prod.collapse snd-DPLL-step*)

lemma *DPLL-ci-obtains*:

obtains *Ms'* **where** (*Ms'*, *N*) = *DPLL-ci* *Ms* *N*

proof (*induct rule: DPLL-ci.induct*)

case (*1 Ms N*) **note** *IH* = *this*(1) **and** *that* = *this*(2)

obtain *S* **where** *SN*: (*S*, *N*) = *DPLL-step* (*Ms*, *N*) **using** *DPLL-step-obtains* **by** *metis*

{ assume $\neg \text{dp}_{llW}\text{-all-inv } (\text{toS } Ms \ N)$

hence *?case* **using** *that* **by** *auto*

}

moreover **{**

assume *n*: (*S*, *N*) \neq (*Ms*, *N*)

and *inv*: *dp_{ll}_W-all-inv* (*toS* *Ms* *N*)

have $\exists ms. \text{DPLL-step } (Ms, N) = (ms, N)$

by (*metis $\langle \bigwedge thesisa. (\bigwedge S. (S, N) = \text{DPLL-step } (Ms, N) \implies thesisa) \implies thesisa \rangle$*)

hence *?thesis*

using *IH that* **by** *fastforce*

}

moreover **{**

assume *n*: (*S*, *N*) = (*Ms*, *N*)

hence *?case* **using** *SN that* **by** *fastforce*

}

ultimately show ?case by blast
qed

lemma *DPLL-ci-no-more-step*:

assumes *step*: *DPLL-ci* *Ms* *N* = (*Ms'*, *N'*)

shows *DPLL-ci* *Ms'* *N'* = (*Ms'*, *N'*)

using *assms*

proof (induct arbitrary: *Ms'* *N'* rule: *DPLL-ci.induct*)

case (1 *Ms* *N* *Ms'* *N'*) note *IH* = *this*(1) and *step* = *this*(2)

obtain *S*₁ where *S*: (*S*₁, *N*) = *DPLL-step* (*Ms*, *N*) using *DPLL-step-obtains* by auto

{ assume $\neg \text{dpll}_W\text{-all-inv}$ (*toS* *Ms* *N*)

hence ?case using *step* by auto

}

moreover {

assume *dpll_W-all-inv* (*toS* *Ms* *N*)

and (*S*₁, *N*) = (*Ms*, *N*)

hence ?case using *S* *step* by auto

}

moreover

{ assume *inv*: *dpll_W-all-inv* (*toS* *Ms* *N*)

assume *n*: (*S*₁, *N*) \neq (*Ms*, *N*)

obtain *S*₁' where *SS*: (*S*₁', *N*) = *DPLL-ci* *S*₁ *N* using *DPLL-ci-obtains* by blast

moreover have *DPLL-ci* *Ms* *N* = *DPLL-ci* *S*₁ *N*

proof –

have (case (*S*₁, *N*) of (*ms*, *lss*) \Rightarrow if (*ms*, *lss*) = (*Ms*, *N*) then (*Ms*, *N*) else *DPLL-ci* *ms* *N*)
= *DPLL-ci* *Ms* *N*

using *S* *DPLL-ci.simps*[of *Ms* *N*] *calculation inv* by *presburger*

hence (if (*S*₁, *N*) = (*Ms*, *N*) then (*Ms*, *N*) else *DPLL-ci* *S*₁ *N*) = *DPLL-ci* *Ms* *N*

by *fastforce*

thus ?thesis

using *calculation n* by *presburger*

qed

moreover

have *DPLL-ci* *S*₁' *N* = (*S*₁', *N*) using *step IH*[*OF* - - *S n SS*[*symmetric*]] *inv* by blast

ultimately have ?case using *step* by *fastforce*

}

ultimately show ?case by blast

qed

lemma *DPLL-part-dpll_W-all-inv-final*:

fixes *M* *Ms'*:: (*int*, *unit*, *unit*) *ann-literal list* and

N :: *int literal list list*

assumes *inv*: *dpll_W-all-inv* (*Ms*, *mset* (*map mset* *N*))

and *MsN*: *DPLL-part* *Ms* *N* = (*Ms'*, *N*)

shows *conclusive-dpll_W-state* (*toS* *Ms'* *N*) \wedge *dpll_W*** (*toS* *Ms* *N*) (*toS* *Ms'* *N*)

proof –

have 2: *DPLL-ci* *Ms* *N* = *DPLL-part* *Ms* *N* using *inv dpll_W-all-inv-implieS-2-eq3-and-dom* by blast

hence *star*: *dpll_W*** (*toS* *Ms* *N*) (*toS* *Ms'* *N*) unfolding *MsN* using *DPLL-ci-dpll_W-rtranclp* by blast

hence *inv'*: *dpll_W-all-inv* (*toS* *Ms'* *N*) using *inv rtranclp-dpll_W-all-inv* by blast

show ?thesis using *star DPLL-ci-final-state*[*OF DPLL-ci-no-more-step inv*] 2 unfolding *MsN* by blast

qed

Embedding the invariant into the type

Defining the type `typedef dpllW-state =`

`{(M::(int, unit, unit) ann-literal list, N::int literal list list).
dpllW-all-inv (toS M N)}`

`morphisms rough-state-of state-of`

proof

`show ([],[]) ∈ {(M, N). dpllW-all-inv (toS M N)} by (auto simp add: dpllW-all-inv-def)`

qed

lemma

`DPLL-part-dom ([], N)`

`using assms dpllW-all-inv-implicS-2-eq3-and-dom[of [] N] by (simp add: dpllW-all-inv-def)`

Some type classes `instantiation dpllW-state :: equal`

begin

definition `equal-dpllW-state :: dpllW-state ⇒ dpllW-state ⇒ bool where`

`equal-dpllW-state S S' = (rough-state-of S = rough-state-of S')`

instance

`by standard (simp add: rough-state-of-inject equal-dpllW-state-def)`

end

DPLL **definition** `DPLL-step' :: dpllW-state ⇒ dpllW-state where`

`DPLL-step' S = state-of (DPLL-step (rough-state-of S))`

declare `rough-state-of-inverse[simp]`

lemma `DPLL-step-dpllW-conc-inv:`

`DPLL-step (rough-state-of S) ∈ {(M, N). dpllW-all-inv (toS M N)}`

`by (smt DPLL-ci.simps DPLL-ci-dpllW-rtranclp case-prodE case-prodI2 rough-state-of
mem-Collect-eq old.prod.case prod.sel(2) rtranclp-dpllW-all-inv snd-DPLL-step)`

lemma `rough-state-of-DPLL-step'-DPLL-step[simp]:`

`rough-state-of (DPLL-step' S) = DPLL-step (rough-state-of S)`

`using DPLL-step-dpllW-conc-inv DPLL-step'-def state-of-inverse by auto`

function `DPLL-tot:: dpllW-state ⇒ dpllW-state where`

`DPLL-tot S =`

`(let S' = DPLL-step' S in`

`if S' = S then S else DPLL-tot S')`

`by fast+`

termination

proof `(relation {(T', T).`

`(rough-state-of T', rough-state-of T)`

`∈ {(S', S). (toS' S', toS' S)`

`∈ {(S', S). dpllW-all-inv S ∧ dpllW S S'} } }`

show `wf {(b, a).`

`(rough-state-of b, rough-state-of a)`

`∈ {(b, a). (toS' b, toS' a)`

`∈ {(b, a). dpllW-all-inv a ∧ dpllW a b} } }`

`using wf-if-measure-f[OF wf-if-measure-f[OF dpllW-wf, of toS'], of rough-state-of] .`

next

fix `S x`

assume `x: x = DPLL-step' S`

and `x ≠ S`

```

have dpllW-all-inv (case rough-state-of  $S$  of  $(Ms, N) \Rightarrow (Ms, mset (map mset N))$ )
  by (metis (no-types, lifting) case-prodE mem-Collect-eq old.prod.case rough-state-of)
moreover have dpllW (case rough-state-of  $S$  of  $(Ms, N) \Rightarrow (Ms, mset (map mset N))$ )
  (case rough-state-of (DPLL-step'  $S$ ) of  $(Ms, N) \Rightarrow (Ms, mset (map mset N))$ )
proof -
  obtain  $Ms\ N$  where  $Ms: (Ms, N) = \text{rough-state-of } S$  by (cases rough-state-of  $S$ ) auto
  have dpllW-all-inv (toS'  $(Ms, N)$ ) using calculation unfolding  $Ms$  by blast
  moreover obtain  $Ms'\ N'$  where  $Ms': (Ms', N') = \text{rough-state-of } (DPLL\text{-step}'\ S)$ 
    by (cases rough-state-of (DPLL-step'  $S$ )) auto
  ultimately have dpllW-all-inv (toS'  $(Ms', N')$ ) unfolding  $Ms'$ 
    by (metis (no-types, lifting) case-prod-unfold mem-Collect-eq rough-state-of)

  have dpllW (toS  $Ms\ N$ ) (toS  $Ms'\ N'$ )
    apply (rule DPLL-step-is-a-dpllW-step[of  $Ms'\ N'\ Ms\ N$ ])
    unfolding  $Ms\ Ms'$  using  $\langle x \neq S \rangle$  rough-state-of-inject  $x$  by fastforce+
    thus ?thesis unfolding  $Ms[\text{symmetric}]\ Ms'[\text{symmetric}]$  by auto
qed
ultimately show  $(x, S) \in \{(T', T). (\text{rough-state-of } T', \text{rough-state-of } T) \in \{(S', S). (\text{toS}'\ S', \text{toS}'\ S) \in \{(S', S). dpll_W\text{-all-inv } S \wedge dpll_W\ S\ S'\}\}\}$ 
  by (auto simp add:  $x$ )
qed

lemma [code]:
DPLL-tot  $S =$ 
  (let  $S' = DPLL\text{-step}'\ S$  in
    if  $S' = S$  then  $S$  else DPLL-tot  $S'$ ) by auto

lemma DPLL-tot-DPLL-step-DPLL-tot[simp]: DPLL-tot (DPLL-step'  $S$ ) = DPLL-tot  $S$ 
  apply (cases DPLL-step'  $S = S$ )
  apply simp
  unfolding DPLL-tot.simps[of  $S$ ] by (simp del: DPLL-tot.simps)

lemma DOPLL-step'-DPLL-tot[simp]:
DPLL-step' (DPLL-tot  $S$ ) = DPLL-tot  $S$ 
  by (rule DPLL-tot.induct[of  $\lambda S. DPLL\text{-step}'\ (DPLL\text{-tot } S) = DPLL\text{-tot } S\ S$ ])
  (metis (full-types) DPLL-tot.simps)

lemma DPLL-tot-final-state:
  assumes DPLL-tot  $S = S$ 
  shows conclusive-dpllW-state (toS' (rough-state-of  $S$ ))
proof -
  have DPLL-step'  $S = S$  using assms[symmetric] DOPLL-step'-DPLL-tot by metis
  hence DPLL-step (rough-state-of  $S$ ) = (rough-state-of  $S$ )
    unfolding DPLL-step'-def using DPLL-step-dpllW-conc-inv rough-state-of-inverse
    by (metis rough-state-of-DPLL-step'-DPLL-step)
  thus ?thesis
    by (metis (mono-tags, lifting) DPLL-step-stuck-final-state old.prod.exhaust split-conv)
qed

lemma DPLL-tot-star:
  assumes rough-state-of (DPLL-tot  $S$ ) =  $S'$ 
  shows dpllW** (toS' (rough-state-of  $S$ )) (toS'  $S'$ )
  using assms

```

```

proof (induction arbitrary:  $S'$  rule:  $DPLL\text{-}tot.induct$ )
  case (1  $S S'$ )
  let  $?x = DPLL\text{-}step' S$ 
  { assume  $?x = S$ 
    then have  $?case$  using 1(2) by simp
  }
  moreover {
    assume  $S: ?x \neq S$ 
    have  $?case$ 
    apply (cases  $DPLL\text{-}step' S = S$ )
      using  $S$  apply blast
    by (smt 1.IH 1.prem  $DPLL\text{-}step\text{-}is\text{-}a\text{-}dpll_W\text{-}step$   $DPLL\text{-}tot.simps$  case-prodE2
      rough-state-of-DPLL-step'-DPLL-step rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl
      rtranclp-idemp split-conv)
  }
  ultimately show  $?case$  by auto
qed

```

```

lemma rough-state-of-rough-state-of-nil[simp]:
  rough-state-of (state-of ( $[], N$ )) = ( $[], N$ )
  apply (rule  $DPLL\text{-}W\text{-}Implementation.dpll_W\text{-}state.state\text{-}of\text{-}inverse$ )
  unfolding dpll_W-all-inv-def by auto

```

Theorem of correctness

```

lemma  $DPLL\text{-}tot\text{-}correct$ :
  assumes rough-state-of ( $DPLL\text{-}tot$  (state-of ( $[], N$ )))) = ( $M, N'$ )
  and ( $M', N''$ ) = toS' ( $M, N'$ )
  shows  $M' \models_{asm} N'' \longleftrightarrow \text{satisfiable} (\text{set-mset } N'')$ 
proof –
  have  $dpll_W^{**} (\text{toS}' ([], N)) (\text{toS}' (M, N'))$  using  $DPLL\text{-}tot\text{-}star[OF \text{assms}(1)]$  by auto
  moreover have conclusive-dpll_W-state ( $\text{toS}' (M, N')$ )
    using  $DPLL\text{-}tot\text{-}final\text{-}state$  by (metis (mono-tags, lifting)  $DOPLL\text{-}step'\text{-}DPLL\text{-}tot$   $DPLL\text{-}tot.simps$ 
      assms(1))
  ultimately show  $?thesis$  using dpll_W-conclusive-state-correct by (smt  $DPLL\text{-}ci.simps$ 
     $DPLL\text{-}ci\text{-}dpll_W\text{-}rtranclp$  assms(2) dpll_W-all-inv-def prod.case prod.sel(1) prod.sel(2)
    rtranclp-dpll_W-inv(3) rtranclp-dpll_W-inv-starting-from-0)
qed

```

6.2.3 Code export

A conversion to $DPLL\text{-}W\text{-}Implementation.dpll_W\text{-}state$ **definition** $Con :: (int, unit, unit) \text{ ann-literal } list \times int \text{ literal } list \text{ list}$

$\Rightarrow dpll_W\text{-}state$ **where**

$Con \text{ } xs = \text{state-of} (\text{if } dpll_W\text{-}all\text{-}inv (\text{toS} (\text{fst } xs) (\text{snd } xs)) \text{ then } xs \text{ else } ([], []))$

lemma [*code abstype*]:

$Con (\text{rough-state-of } S) = S$

using *rough-state-of[of S]* **unfolding** $Con\text{-}def$ **by** *auto*

declare *rough-state-of-DPLL-step'-DPLL-step*[*code abstract*]

lemma $Con\text{-}DPLL\text{-}step\text{-}rough\text{-}state\text{-}of\text{-}state\text{-}of[simp]$:

$Con (DPLL\text{-}step (\text{rough-state-of } s)) = \text{state-of} (DPLL\text{-}step (\text{rough-state-of } s))$

unfolding $Con\text{-}def$ **by** (*metis* (*mono-tags*, *lifting*) $DPLL\text{-}step\text{-}dpll_W\text{-}conc\text{-}inv$ *mem-Collect-eq*
prod.case-eq-if)

A slightly different version of $DPLL\text{-}tot$ where the returned boolean indicates the result.

definition *DPLL-tot-rep* **where**

DPLL-tot-rep $S =$

$(\text{let } (M, N) = (\text{rough-state-of } (DPLL\text{-tot } S)) \text{ in } (\forall A \in \text{set } N. (\exists a \in \text{set } A. a \in \text{lits-of } (M)), M))$

One version of the generated SML code is here, but not included in the generated document.
The only differences are:

- export *'a literal* from the SML Module *Clausal-Logic*;
- export the constructor *Con* from *DPLL-W-Implementation*;
- export the *int* constructor from *Arith*.

All these allows to test on the code on some examples.

end

theory *CDCL-W-Implementation*

imports *DPLL-CDCL-W-Implementation* *CDCL-W-Termination*

begin

notation *image-mset* (**infixr** *'#* 90)

type-synonym *'a cdcl_W-mark* = *'a clause*

type-synonym *cdcl_W-decided-level* = *nat*

type-synonym *'v cdcl_W-ann-literal* = (*'v*, *cdcl_W-decided-level*, *'v cdcl_W-mark*) *ann-literal*

type-synonym *'v cdcl_W-ann-literals* = (*'v*, *cdcl_W-decided-level*, *'v cdcl_W-mark*) *ann-literals*

type-synonym *'v cdcl_W-state* =

'v cdcl_W-ann-literals \times *'v clauses* \times *'v clauses* \times *nat* \times *'v clause option*

abbreviation *trail* :: *'a* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'a* **where**

trail $\equiv (\lambda(M, -). M)$

abbreviation *cons-trail* :: *'a* \Rightarrow *'a list* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'a list* \times *'b* \times *'c* \times *'d* \times *'e*

where

cons-trail $\equiv (\lambda L (M, S). (L \# M, S))$

abbreviation *tl-trail* :: *'a list* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'a list* \times *'b* \times *'c* \times *'d* \times *'e* **where**

tl-trail $\equiv (\lambda(M, S). (tl M, S))$

abbreviation *clss* :: *'a* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'b* **where**

clss $\equiv \lambda(M, N, -). N$

abbreviation *learned-clss* :: *'a* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'c* **where**

learned-clss $\equiv \lambda(M, N, U, -). U$

abbreviation *backtrack-lvl* :: *'a* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'d* **where**

backtrack-lvl $\equiv \lambda(M, N, U, k, -). k$

abbreviation *update-backtrack-lvl* :: *'d* \Rightarrow *'a* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'a* \times *'b* \times *'c* \times *'d* \times *'e*

where

update-backtrack-lvl $\equiv \lambda k (M, N, U, -, S). (M, N, U, k, S)$

abbreviation *conflicting* :: *'a* \times *'b* \times *'c* \times *'d* \times *'e* \Rightarrow *'e* **where**

conflicting $\equiv \lambda(M, N, U, k, D). D$

abbreviation *update-conflicting* :: 'e \Rightarrow 'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'a \times 'b \times 'c \times 'd \times 'e
where

update-conflicting $\equiv \lambda S (M, N, U, k, -). (M, N, U, k, S)$

abbreviation *S0-cdcl_W* *N* $\equiv (([], N, \{\#\}, 0, None):: 'v \text{ cdcl}_W\text{-state})$

abbreviation *add-learned-cls* **where**

add-learned-cls $\equiv \lambda C (M, N, U, S). (M, N, \{\#C\# \} + U, S)$

abbreviation *remove-cls* **where**

remove-cls $\equiv \lambda C (M, N, U, S). (M, \text{remove-mset } C \ N, \text{remove-mset } C \ U, S)$

lemma *trail-conv*: *trail* (*M*, *N*, *U*, *k*, *D*) = *M* **and**

clauses-conv: *clss* (*M*, *N*, *U*, *k*, *D*) = *N* **and**

learned-clss-conv: *learned-clss* (*M*, *N*, *U*, *k*, *D*) = *U* **and**

conflicting-conv: *conflicting* (*M*, *N*, *U*, *k*, *D*) = *D* **and**

backtrack-lvl-conv: *backtrack-lvl* (*M*, *N*, *U*, *k*, *D*) = *k*

by *auto*

lemma *state-conv*:

S = (*trail* *S*, *clss* *S*, *learned-clss* *S*, *backtrack-lvl* *S*, *conflicting* *S*)

by (*cases* *S*) *auto*

interpretation *state_W* *trail* *clss* *learned-clss* *backtrack-lvl* *conflicting*

$\lambda L (M, S). (L \# M, S)$

$\lambda (M, S). (tl \ M, S)$

$\lambda C (M, N, S). (M, \{\#C\# \} + N, S)$

$\lambda C (M, N, U, S). (M, N, \{\#C\# \} + U, S)$

$\lambda C (M, N, U, S). (M, \text{remove-mset } C \ N, \text{remove-mset } C \ U, S)$

$\lambda (k::nat) (M, N, U, -, D). (M, N, U, k, D)$

$\lambda D (M, N, U, k, -). (M, N, U, k, D)$

$\lambda N. ([], N, \{\#\}, 0, None)$

$\lambda (-, N, U, -). ([], N, U, 0, None)$

by *unfold-locales* *auto*

interpretation *cdcl_W* *trail* *clss* *learned-clss* *backtrack-lvl* *conflicting*

$\lambda L (M, S). (L \# M, S)$

$\lambda (M, S). (tl \ M, S)$

$\lambda C (M, N, S). (M, \{\#C\# \} + N, S)$

$\lambda C (M, N, U, S). (M, N, \{\#C\# \} + U, S)$

$\lambda C (M, N, U, S). (M, \text{remove-mset } C \ N, \text{remove-mset } C \ U, S)$

$\lambda (k::nat) (M, N, U, -, D). (M, N, U, k, D)$

$\lambda D (M, N, U, k, -). (M, N, U, k, D)$

$\lambda N. ([], N, \{\#\}, 0, None)$

$\lambda (-, N, U, -). ([], N, U, 0, None)$

by *unfold-locales* *auto*

declare *clauses-def*[*simp*]

lemma *cdcl_W-state-eq-equality*[*iff*]: *state-eq* *S* *T* \longleftrightarrow *S* = *T*

unfolding *state-eq-def* **by** (*cases* *S*, *cases* *T*) *auto*

declare *state-simp*[*simp* *del*]

6.3 CDCL Implementation

6.3.1 Definition of the rules

Types lemma *true-clss-remdups*[simp]:
 $I \models_s (mset \circ remdups) \text{ ' } N \longleftrightarrow I \models_s mset \text{ ' } N$
by (*simp add: true-clss-def*)

lemma *satisfiable-mset-remdups*[simp]:
 $satisfiable ((mset \circ remdups) \text{ ' } N) \longleftrightarrow satisfiable (mset \text{ ' } N)$
unfolding *satisfiable-carac*[symmetric] **by** *simp*

value *backtrack-split* [*Decided* (*Pos* (*Suc* 0)) ()]
value $\exists C \in set \llbracket Pos (Suc\ 0), Neg (Suc\ 0) \rrbracket. (\forall c \in set\ C. -c \in lits-of \llbracket Decided (Pos (Suc\ 0)) () \rrbracket)$

type-synonym *cdcl_W-state-inv-st* = (*nat*, *nat*, *nat literal list*) *ann-literal list* \times
nat literal list list \times *nat literal list list* \times *nat* \times *nat literal list option*

We need some functions to convert between our abstract state *nat cdcl_W-state* and the concrete state *cdcl_W-state-inv-st*.

fun *convert* :: ('a, 'b, 'c list) *ann-literal* \Rightarrow ('a, 'b, 'c multiset) *ann-literal* **where**
convert (*Propagated L C*) = *Propagated L* (*mset C*) |
convert (*Decided K i*) = *Decided K i*

abbreviation *convertC* :: 'a list option \Rightarrow 'a multiset option **where**
convertC \equiv *map-option mset*

lemma *convert-Propagated*[*elim!*]:
 $convert\ z = Propagated\ L\ C \implies (\exists C'. z = Propagated\ L\ C' \wedge C = mset\ C')$
by (*cases z*) *auto*

lemma *get-rev-level-map-convert*:
 $get_rev_level\ (map\ convert\ M)\ n\ x = get_rev_level\ M\ n\ x$
by (*induction M arbitrary: n rule: ann-literal-list-induct*) *auto*

lemma *get-level-map-convert*[simp]:
 $get_level\ (map\ convert\ M) = get_level\ M$
using *get-rev-level-map-convert*[*of rev M*] **by** (*simp add: rev-map*)

lemma *get-maximum-level-map-convert*[simp]:
 $get_maximum_level\ (map\ convert\ M)\ D = get_maximum_level\ M\ D$
by (*induction D*)
(auto simp add: get-maximum-level-plus)

lemma *get-all-levels-of-decided-map-convert*[simp]:
 $get_all_levels_of_decided\ (map\ convert\ M) = (get_all_levels_of_decided\ M)$
by (*induction M rule: ann-literal-list-induct*) *auto*

Conversion function

fun *toS* :: *cdcl_W-state-inv-st* \Rightarrow *nat cdcl_W-state* **where**
toS (*M*, *N*, *U*, *k*, *C*) = (*map convert M*, *mset (map mset N)*, *mset (map mset U)*, *k*, *convertC C*)

Definition an abstract type

typedef *cdcl_W-state-inv* = {*S* :: *cdcl_W-state-inv-st*. *cdcl_W-all-struct-inv* (*toS S*)}
morphisms *rough-state-of state-of*
proof

show ($[], [], [], 0, \text{None}$) $\in \{S. \text{cdcl}_W\text{-all-struct-inv } (\text{toS } S)\}$
by (*auto simp add: cdcl_W-all-struct-inv-def*)
qed

instantiation *cdcl_W-state-inv* :: *equal*

begin

definition *equal-cdcl_W-state-inv* :: *cdcl_W-state-inv* \Rightarrow *cdcl_W-state-inv* \Rightarrow *bool* **where**
equal-cdcl_W-state-inv *S S'* = (*rough-state-of* *S* = *rough-state-of* *S'*)

instance

by *standard (simp add: rough-state-of-inject equal-cdcl_W-state-inv-def)*
end

lemma *lits-of-map-convert[simp]*: *lits-of* (*map convert* *M*) = *lits-of* *M*
by (*induction M rule: ann-literal-list-induct*) *simp-all*

lemma *undefined-lit-map-convert[iff]*:
undefined-lit (*map convert* *M*) *L* \longleftrightarrow *undefined-lit* *M* *L*
by (*auto simp add: Decided-Propagated-in-iff-in-lits-of*)

lemma *true-annot-map-convert[simp]*: *map convert* *M* \models_a *N* \longleftrightarrow *M* \models_a *N*
by (*induction M rule: ann-literal-list-induct*) (*simp-all add: true-annot-def*)

lemma *true-annots-map-convert[simp]*: *map convert* *M* \models_{as} *N* \longleftrightarrow *M* \models_{as} *N*
unfolding *true-annots-def* **by** *auto*

lemmas *propagateE*

lemma *find-first-unit-clause-some-is-propagate*:

assumes *H*: *find-first-unit-clause* (*N* @ *U*) *M* = *Some* (*L*, *C*)
shows *propagate* (*toS* (*M*, *N*, *U*, *k*, *None*)) (*toS* (*Propagated* *L* *C* # *M*, *N*, *U*, *k*, *None*))
using *assms*
by (*auto dest!: find-first-unit-clause-some simp add: propagate.simps*
intro!: exI[of - mset C - {#L#}])

6.3.2 The Transitions

Propagate **definition** *do-propagate-step* **where**

do-propagate-step *S* =
(*case* *S* of
(*M*, *N*, *U*, *k*, *None*) \Rightarrow
(*case* *find-first-unit-clause* (*N* @ *U*) *M* of
Some (*L*, *C*) \Rightarrow (*Propagated* *L* *C* # *M*, *N*, *U*, *k*, *None*)
| *None* \Rightarrow (*M*, *N*, *U*, *k*, *None*))
| *S* \Rightarrow *S*)

lemma *do-propagate-step*:

do-propagate-step *S* \neq *S* \Longrightarrow *propagate* (*toS* *S*) (*toS* (*do-propagate-step* *S*))
apply (*cases S, cases conflicting S*)
using *find-first-unit-clause-some-is-propagate[of clss S learned-clss S trail S - - backtrack-lvl S]*
by (*auto simp add: do-propagate-step-def split: option.splits*)

lemma *do-propagate-step-option[simp]*:

conflicting *S* \neq *None* \Longrightarrow *do-propagate-step* *S* = *S*
unfolding *do-propagate-step-def* **by** (*cases S, cases conflicting S*) *auto*

lemma *do-propagate-step-no-step*:

```

assumes dist:  $\forall c \in \text{set } (\text{class } S @ \text{learned-class } S). \text{distinct } c$  and
prop-step: do-propagate-step  $S = S$ 
shows no-step propagate (toS  $S$ )
proof (standard, standard)
  fix  $T$ 
  assume propagate (toS  $S$ )  $T$ 
  then obtain  $M N U k C L$  where
    toSS: toS  $S = (M, N, U, k, \text{None})$  and
     $T$ :  $T = (\text{Propagated } L (C + \{\#L\}) \# M, N, U, k, \text{None})$  and
    MC:  $M \models_{\text{as}} C \text{Not } C$  and
    undef: undefined-lit  $M L$  and
    CL:  $C + \{\#L\} \in \# N + U$ 
    apply – by (cases toS S) auto
  let  $?M = \text{trail } S$ 
  let  $?N = \text{class } S$ 
  let  $?U = \text{learned-class } S$ 
  let  $?k = \text{backtrack-lvl } S$ 
  let  $?D = \text{None}$ 
  have  $S$ :  $S = (?M, ?N, ?U, ?k, ?D)$ 
    using toSS by (cases S, cases conflicting S) simp-all
  have  $S$ : toS  $S = \text{toS } (?M, ?N, ?U, ?k, ?D)$ 
    unfolding  $S[\text{symmetric}]$  by simp

  have
     $M$ :  $M = \text{map convert } ?M$  and
     $N$ :  $N = \text{mset } (\text{map mset } ?N)$  and
     $U$ :  $U = \text{mset } (\text{map mset } ?U)$ 
    using toSS[unfolded S] by auto

  obtain  $D$  where
    DCL:  $\text{mset } D = C + \{\#L\}$  and
     $D$ :  $D \in \text{set } (?N @ ?U)$ 
    using CL unfolding  $N U$  by auto
  obtain  $C' L'$  where
    setD:  $\text{set } D = \text{set } (L' \# C')$  and
     $C'$ :  $\text{mset } C' = C$  and
     $L$ :  $L = L'$ 
    using DCL by (metis ex-mset mset.simps(2) mset-eq-setD)
  have find-first-unit-clause ( $?N @ ?U$ )  $?M \neq \text{None}$ 
    apply (rule dist find-first-unit-clause-none[of D ?N @ ?U ?M L, OF - D])
      using  $D$  assms(1) apply auto[1]
      using  $MC$  setD DCL M MC unfolding  $C'[\text{symmetric}]$  apply auto[1]
      using  $M$  undef apply auto[1]
      unfolding setD L by auto
  then show False using prop-step S unfolding do-propagate-step-def by (cases S) auto
qed

Conflict fun find-conflict where
find-conflict  $M [] = \text{None}$  |
find-conflict  $M (N \# Ns) = (\text{if } (\forall c \in \text{set } N. -c \in \text{lits-of } M) \text{ then } \text{Some } N \text{ else } \text{find-conflict } M Ns)$ 

lemma find-conflict-Some:
find-conflict  $M Ns = \text{Some } N \implies N \in \text{set } Ns \wedge M \models_{\text{as}} C \text{Not } (\text{mset } N)$ 
by (induction Ns rule: find-conflict.induct)
  (auto split: split-if-asm)

```

lemma *find-conflict-None*:

find-conflict M $Ns = \text{None} \longleftrightarrow (\forall N \in \text{set } Ns. \neg M \models_{as} CNot (mset N))$
by (*induction* Ns) *auto*

lemma *find-conflict-None-no-conf*:

find-conflict M $(N @ U) = \text{None} \longleftrightarrow \text{no-step conflict } (toS (M, N, U, k, \text{None}))$
by (*auto simp add: find-conflict-None conflict.simps*)

definition *do-conflict-step* **where**

do-conflict-step $S =$

(*case* S *of*
 (M, N, U, k, None) \Rightarrow
 (*case* *find-conflict* M $(N @ U)$ *of*
 Some $a \Rightarrow (M, N, U, k, \text{Some } a)$
 | *None* $\Rightarrow (M, N, U, k, \text{None})$)
 | $S \Rightarrow S$)

lemma *do-conflict-step*:

do-conflict-step $S \neq S \implies \text{conflict } (toS S) (toS (do-conflict-step S))$
apply (*cases* S , *cases conflicting* S)
unfolding *conflict.simps do-conflict-step-def*
by (*auto dest!: find-conflict-Some split: option.splits*)

lemma *do-conflict-step-no-step*:

do-conflict-step $S = S \implies \text{no-step conflict } (toS S)$
apply (*cases* S , *cases conflicting* S)
unfolding *do-conflict-step-def*
using *find-conflict-None-no-conf*[*of trail S clss S learned-clss S backtrack-lvl S*]
by (*auto split: option.splits*)

lemma *do-conflict-step-option[simp]*:

conflicting $S \neq \text{None} \implies do-conflict-step S = S$
unfolding *do-conflict-step-def* **by** (*cases* S , *cases conflicting* S) *auto*

lemma *do-conflict-step-conflicting[dest]*:

do-conflict-step $S \neq S \implies \text{conflicting } (do-conflict-step S) \neq \text{None}$
unfolding *do-conflict-step-def* **by** (*cases* S , *cases conflicting* S) (*auto split: option.splits*)

definition *do-cp-step* **where**

do-cp-step $S =$

(*do-propagate-step* o *do-conflict-step*) S

lemma *cp-step-is-cdcl_W-cp*:

assumes H : *do-cp-step* $S \neq S$
shows *cdcl_W-cp* $(toS S) (toS (do-cp-step S))$

proof —

show *?thesis*

proof (*cases* *do-conflict-step* $S \neq S$)

case *True*

then show *?thesis*

by (*auto simp add: do-conflict-step do-conflict-step-conflicting do-cp-step-def*)

next

case *False*

```

then have confl[simp]: do-conflict-step S = S by simp
show ?thesis
proof (cases do-propagate-step S = S)
  case True
  then show ?thesis
  using H by (simp add: do-cp-step-def)
next
  case False
  let ?S = toS S
  let ?T = toS (do-propagate-step S)
  let ?U = toS (do-conflict-step (do-propagate-step S))
  have propa: propagate (toS S) ?T using False do-propagate-step by blast
  moreover have ns: no-step conflict (toS S) using confl do-conflict-step-no-step by blast
  ultimately show ?thesis
  using cdclW-cp.intros(2)[of ?S ?T] confl unfolding do-cp-step-def by auto
qed
qed
qed

```

lemma *do-cp-step-eq-no-prop-no-conf*:
 $do-cp-step S = S \implies do-conflict-step S = S \wedge do-propagate-step S = S$
by (cases S, cases conflicting S)
(auto simp add: do-conflict-step-def do-propagate-step-def do-cp-step-def split: option.splits)

lemma *no-cdcl_W-cp-iff-no-propagate-no-conflict*:
 $no-step cdcl_W-cp S \longleftrightarrow no-step propagate S \wedge no-step conflict S$
by (auto simp: cdcl_W-cp.simps)

lemma *do-cp-step-eq-no-step*:
assumes H: $do-cp-step S = S$ **and** $\forall c \in set (class S @ learned-class S). distinct c$
shows $no-step cdcl_W-cp (toS S)$
unfolding *no-cdcl_W-cp-iff-no-propagate-no-conflict*
using *assms* **apply** (cases S, cases conflicting S)
using *do-propagate-step-no-step*[of S]
by (auto dest!: do-cp-step-eq-no-prop-no-conf[simplified] do-conflict-step-no-step
split: option.splits)

lemma *cdcl_W-cp-cdcl_W-st*: $cdcl_W-cp S S' \implies cdcl_W^{**} S S'$
by (simp add: cdcl_W-cp-tranclp-cdcl_W tranclp-into-rtranclp)

lemma *cdcl_W-cp-wf-all-inv*:
 $wf \{(S', S::'v::linorder\ cdcl_W-state). cdcl_W-all-struct-inv S \wedge cdcl_W-cp S S'\}$
(is wf ?R)
proof (rule wf-bounded-measure[of - $\lambda S. card (atms-of-msu (class S)) + 1$
 $\lambda S. length (trail S) + (if conflicting S = None then 0 else 1)$], goal-cases)
case (1 S S')
then have $cdcl_W-all-struct-inv S$ **and** $cdcl_W-cp S S'$ **by** auto
moreover then have $cdcl_W-all-struct-inv S'$
using *rtranclp-cdcl_W-all-struct-inv-inv cdcl_W-cp-cdcl_W-st* **by** blast
ultimately show ?case
by (auto simp: cdcl_W-cp.simps elim!: conflictE propagateE
dest: length-model-le-vars-all-inv)
qed

lemma *cdcl_W-all-struct-inv-rough-state[simp]*: $cdcl_W-all-struct-inv (toS (rough-state-of S))$

using *rough-state-of* **by** *auto*

lemma [*simp*]: $cdcl_W\text{-all-struct-inv } (toS\ S) \implies rough\text{-state-of } (state\text{-of } S) = S$
by (*simp add: state-of-inverse*)

lemma *rough-state-of-state-of-do-cp-step*[*simp*]:
 $rough\text{-state-of } (state\text{-of } (do\text{-cp-step } (rough\text{-state-of } S))) = do\text{-cp-step } (rough\text{-state-of } S)$

proof –

have $cdcl_W\text{-all-struct-inv } (toS\ (do\text{-cp-step } (rough\text{-state-of } S)))$
apply (*cases do-cp-step (rough-state-of S) = (rough-state-of S)*)
apply *simp*
using $cp\text{-step-is-cdcl}_W\text{-cp}[of\ rough\text{-state-of } S]\ cdcl_W\text{-all-struct-inv-rough-state}[of\ S]$
 $cdcl_W\text{-cp-cdcl}_W\text{-st } rtranclp\text{-cdcl}_W\text{-all-struct-inv-inv}$ **by** *blast*
then show *?thesis* **by** *auto*

qed

Skip **fun** *do-skip-step* :: $cdcl_W\text{-state-inv-st} \Rightarrow cdcl_W\text{-state-inv-st}$ **where**

do-skip-step (*Propagated L C # Ls, N, U, k, Some D*) =
 (*if* $-L \notin set\ D \wedge D \neq []$
then (*Ls, N, U, k, Some D*)
else (*Propagated L C # Ls, N, U, k, Some D*)) |
do-skip-step S = S

lemma *do-skip-step*:
 $do\text{-skip-step } S \neq S \implies skip\ (toS\ S)\ (toS\ (do\text{-skip-step } S))$
apply (*induction S rule: do-skip-step.induct*)
by (*auto simp add: skip.simps*)

lemma *do-skip-step-no*:
 $do\text{-skip-step } S = S \implies no\text{-step skip } (toS\ S)$
by (*induction S rule: do-skip-step.induct*)
 (*auto simp add: other split: split-if-asm*)

lemma *do-skip-step-trail-is-None*[*iff*]:
 $do\text{-skip-step } S = (a, b, c, d, None) \longleftrightarrow S = (a, b, c, d, None)$
by (*cases S rule: do-skip-step.cases*) *auto*

Resolve **fun** *maximum-level-code*:: $'a\ literal\ list \Rightarrow ('a, nat, 'a\ literal\ list)\ ann\text{-literal}\ list \Rightarrow nat$
where

maximum-level-code [] = 0 |
 $maximum\text{-level-code } (L \# Ls)\ M = \max\ (get\text{-level } M\ L)\ (maximum\text{-level-code } Ls\ M)$

lemma *maximum-level-code-eq-get-maximum-level*[*code, simp*]:
 $maximum\text{-level-code } D\ M = get\text{-maximum-level } M\ (mset\ D)$
by (*induction D*) (*auto simp add: get-maximum-level-plus*)

fun *do-resolve-step* :: $cdcl_W\text{-state-inv-st} \Rightarrow cdcl_W\text{-state-inv-st}$ **where**

do-resolve-step (*Propagated L C # Ls, N, U, k, Some D*) =
 (*if* $-L \in set\ D \wedge maximum\text{-level-code } (remove1\ (-L)\ D)\ (Propagated\ L\ C\ \# Ls) = k$
then (*Ls, N, U, k, Some (remdups (remove1 L C @ remove1 (-L) D))*)
else (*Propagated L C # Ls, N, U, k, Some D*)) |
do-resolve-step S = S

lemma *do-resolve-step*:
 $cdcl_W\text{-all-struct-inv } (toS\ S) \implies do\text{-resolve-step } S \neq S$

```

⇒ resolve (toS S) (toS (do-resolve-step S))
proof (induction S rule: do-resolve-step.induct)
case (1 L C M N U k D)
then have
  - L ∈ set D and
  M: maximum-level-code (remove1 (-L) D) (Propagated L C # M) = k
by (cases mset D - {#- L#} = {#},
    auto dest!: get-maximum-level-exists-lit-of-max-level[of - Propagated L C # M]
    split: split-if-asm)+
have every-mark-is-a-conflict (toS (Propagated L C # M, N, U, k, Some D))
  using 1(1) unfolding cdclW-all-struct-inv-def cdclW-conflicting-def by fast
then have L ∈ set C by fastforce
then obtain C' where C: mset C = C' + {#L#}
  by (metis add.commute in-multiset-in-set insert-DiffM)
obtain D' where D: mset D = D' + {#-L#}
  using ⟨- L ∈ set D⟩ by (metis add.commute in-multiset-in-set insert-DiffM)
have D'L: D' + {#- L#} - {#-L#} = D' by (auto simp add: multiset-eq-iff)

have CL: mset C - {#L#} + {#L#} = mset C using ⟨L ∈ set C⟩ by (auto simp add: multiset-eq-iff)
have get-maximum-level (Propagated L (C' + {#L#}) # map convert M) D' = k
  using M[simplified] unfolding maximum-level-code-eq-get-maximum-level C[symmetric] CL
  by (metis D D'L convert.simps(1) get-maximum-level-map-convert list.simps(9))
then have
  resolve
  (map convert (Propagated L C # M), mset '# mset N, mset '# mset U, k, Some (mset D))
  (map convert M, mset '# mset N, mset '# mset U, k,
    Some (((mset D - {#-L#}) # ∪ (mset C - {#L#}))))
unfolding resolve.simps
  by (simp add: C D)
moreover have
  (map convert (Propagated L C # M), mset '# mset N, mset '# mset U, k, Some (mset D))
  = toS (Propagated L C # M, N, U, k, Some D)
  by (auto simp: mset-map)
moreover
  have distinct-mset (mset C) and distinct-mset (mset D)
    using ⟨cdclW-all-struct-inv (toS (Propagated L C # M, N, U, k, Some D))⟩
    unfolding cdclW-all-struct-inv-def distinct-cdclW-state-def
    by auto
  then have (mset C - {#L#}) # ∪ (mset D - {#- L#}) =
    remdups-mset (mset C - {#L#} + (mset D - {#- L#}))
    by (auto simp: distinct-mset-rempdups-union-mset)
  then have (map convert M, mset '# mset N, mset '# mset U, k,
    Some ((mset D - {#- L#}) # ∪ (mset C - {#L#})))
  = toS (do-resolve-step (Propagated L C # M, N, U, k, Some D))
    using ⟨- L ∈ set D⟩ M by (auto simp: ac-simps mset-map)
ultimately show ?case
  by simp
qed auto

```

```

lemma do-resolve-step-no:
do-resolve-step S = S ⇒ no-step resolve (toS S)
apply (cases S; cases hd (trail S); cases conflicting S)
by (auto
  elim!: resolveE split: split-if-asm
  dest!: union-single-eq-member)

```

simp del: in-multiset-in-set get-maximum-level-map-convert
simp: in-multiset-in-set[symmetric] get-maximum-level-map-convert[symmetric]

lemma *rough-state-of-state-of-resolve[simp]:*
 $cdcl_W\text{-all-struct-inv } (toS\ S) \implies \text{rough-state-of } (state\text{-of } (do\text{-resolve-step } S)) = do\text{-resolve-step } S$
apply (rule *state-of-inverse*)
apply (cases *do-resolve-step S = S*)
apply *simp*
by (blast dest: *other resolve bj do-resolve-step cdcl_W-all-struct-inv-inv*)

lemma *do-resolve-step-trail-is-None[iff]:*
 $do\text{-resolve-step } S = (a, b, c, d, None) \longleftrightarrow S = (a, b, c, d, None)$
by (cases *S rule: do-resolve-step.cases*) *auto*

Backjumping **fun** *find-level-decomp* **where**

find-level-decomp M [] D k = None |
find-level-decomp M (L # Ls) D k =
 (case (*get-level M L, maximum-level-code (D @ Ls) M*) of
 (*i, j*) \Rightarrow if $i = k \wedge j < i$ then *Some (L, j)* else *find-level-decomp M Ls (L # D) k*
)

lemma *find-level-decomp-some:*
assumes *find-level-decomp M Ls D k = Some (L, j)*
shows $L \in \text{set } Ls \wedge \text{get-maximum-level } M (\text{mset } (remove1\ L\ (Ls @ D))) = j \wedge \text{get-level } M\ L = k$
using *assms*

proof (*induction Ls arbitrary: D*)

case *Nil*
then show ?*case by simp*

next

case (*Cons L' Ls*) **note** *IH = this(1)* **and** *H = this(2)*

def *find* \equiv (if $\text{get-level } M\ L' \neq k \vee \neg \text{get-maximum-level } M (\text{mset } D + \text{mset } Ls) < \text{get-level } M\ L'$
 then *find-level-decomp M Ls (L' # D) k*
 else *Some (L', get-maximum-level M (mset D + mset Ls))*)

have *a1*: $\bigwedge D. \text{find-level-decomp } M\ Ls\ D\ k = \text{Some } (L, j) \implies$
 $L \in \text{set } Ls \wedge \text{get-maximum-level } M (\text{mset } Ls + \text{mset } D - \{\#L\# \}) = j \wedge \text{get-level } M\ L = k$
using *IH by simp*

have *a2*: *find = Some (L, j)*
using *H unfolding find-def by (auto split: split-if-asm)*

{ **assume** *Some (L', get-maximum-level M (mset D + mset Ls))* \neq *find*
then have *f3*: $L \in \text{set } Ls$ **and** $\text{get-maximum-level } M (\text{mset } Ls + \text{mset } (L' \# D) - \{\#L\# \}) = j$
using *a1 IH a2 unfolding find-def by meson+*

moreover then have $\text{mset } Ls + \text{mset } D - \{\#L\# \} + \{\#L'\# \} = \{\#L'\# \} + \text{mset } D + (\text{mset } Ls - \{\#L\# \})$
by (*auto simp: ac-simps multiset-eq-iff Suc-leI*)

ultimately have *f4*: $\text{get-maximum-level } M (\text{mset } Ls + \text{mset } D - \{\#L\# \} + \{\#L'\# \}) = j$
by (*metis (no-types) diff-union-single-conv mem-set-multiset-eq mset.simps(2) union-commute*)

} **note** *f4 = this*

have $\{\#L'\# \} + (\text{mset } Ls + \text{mset } D) = \text{mset } Ls + (\text{mset } D + \{\#L'\# \})$
by (*auto simp: ac-simps*)

then have

($L = L' \longrightarrow \text{get-maximum-level } M (\text{mset } Ls + \text{mset } D) = j \wedge \text{get-level } M\ L' = k$) **and**

($L \neq L' \longrightarrow L \in \text{set } Ls \wedge \text{get-maximum-level } M (\text{mset } Ls + \text{mset } D - \{\#L\# \} + \{\#L'\# \}) = j \wedge \text{get-level } M\ L = k$)

```

    using f4 a2 a1[of L' # D] unfolding find-def by (metis (no-types) add-diff-cancel-left'
      mset.simps(2) option.inject prod.inject union-commute)+
  then show ?case by simp
qed

lemma find-level-decomp-none:
  assumes find-level-decomp M Ls E k = None and mset (L#D) = mset (Ls @ E)
  shows  $\neg(L \in \text{set } Ls \wedge \text{get-maximum-level } M (\text{mset } D) < k \wedge k = \text{get-level } M L)$ 
  using assms
proof (induction Ls arbitrary: E L D)
  case Nil
  then show ?case by simp
next
  case (Cons L' Ls) note IH = this(1) and find-none = this(2) and LD = this(3)
  have mset D + {#L'#} = mset E + (mset Ls + {#L'#})  $\implies$  mset D = mset E + mset Ls
    by (metis add-right-imp-eq union-assoc)
  then show ?case
    using find-none IH[of L' # E L D] LD by (auto simp add: ac-simps split: split-if-asm)
qed

fun bt-cut where
  bt-cut i (Propagated - - # Ls) = bt-cut i Ls |
  bt-cut i (Decided K k # Ls) = (if k = Suc i then Some (Decided K k # Ls) else bt-cut i Ls) |
  bt-cut i [] = None

lemma bt-cut-some-decomp:
  bt-cut i M = Some M'  $\implies \exists K M2 M1. M = M2 @ M' \wedge M' = \text{Decided } K (i+1) \# M1$ 
  by (induction i M rule: bt-cut.induct) (auto split: split-if-asm)

lemma bt-cut-not-none:  $M = M2 @ \text{Decided } K (\text{Suc } i) \# M' \implies \text{bt-cut } i M \neq \text{None}$ 
  by (induction M2 arbitrary: M rule: ann-literal-list-induct) auto

lemma get-all-decided-decomposition-ex:
   $\exists N. (\text{Decided } K (\text{Suc } i) \# M', N) \in \text{set } (\text{get-all-decided-decomposition } (M2 @ \text{Decided } K (\text{Suc } i) \# M'))$ 
  apply (induction M2 rule: ann-literal-list-induct)
  apply auto[2]
  by (rename-tac L m xs, case-tac get-all-decided-decomposition (xs @ Decided K (Suc i) # M'))
  auto

lemma bt-cut-in-get-all-decided-decomposition:
  bt-cut i M = Some M'  $\implies \exists M2. (M', M2) \in \text{set } (\text{get-all-decided-decomposition } M)$ 
  by (auto dest!: bt-cut-some-decomp simp add: get-all-decided-decomposition-ex)

fun do-backtrack-step where
  do-backtrack-step (M, N, U, k, Some D) =
    (case find-level-decomp M D [] k of
      None  $\Rightarrow$  (M, N, U, k, Some D)
    | Some (L, j)  $\Rightarrow$ 
      (case bt-cut j M of
        Some (Decided - - # Ls)  $\Rightarrow$  (Propagated L D # Ls, N, D # U, j, None)
      | -  $\Rightarrow$  (M, N, U, k, Some D))
    ) |
  do-backtrack-step S = S

```



```

lemma get-all-decided-decomposition-map-convert:
  (get-all-decided-decomposition (map convert M)) =
    map ( $\lambda(a, b).$  (map convert a, map convert b)) (get-all-decided-decomposition M)
apply (induction M rule: ann-literal-list-induct)
apply simp
by (rename-tac L l xs, case-tac get-all-decided-decomposition xs; auto)+

lemma do-backtrack-step:
assumes
  db: do-backtrack-step S  $\neq$  S and
  inv: cdclW-all-struct-inv (toS S)
shows backtrack (toS S) (toS (do-backtrack-step S))
proof (cases S, cases conflicting S, goal-cases)
  case (1 M N U k E)
    then show ?case using db by auto
next
  case (2 M N U k E C) note S = this(1) and confl = this(2)
  have E: E = Some C using S confl by auto

  obtain L j where fd: find-level-decomp M C [] k = Some (L, j)
    using db unfolding S E by (cases C) (auto split: split-if-asm option.splits)
  have L  $\in$  set C and get-maximum-level M (mset (remove1 L C)) = j and
    levL: get-level M L = k
    using find-level-decomp-some[OF fd] by auto
  obtain C' where C: mset C = mset C' + {#L#}
    using  $\langle L \in \text{set } C \rangle$  by (metis add commute ex-mset in-multiset-in-set insert-DiffM)
  obtain M2 where M2: bt-cut j M = Some M2
    using db fd unfolding S E by (auto split: option.splits)
  obtain M1 K where M1: M2 = Decided K (Suc j) # M1
    using bt-cut-some-decomp[OF M2] by (cases M2) auto
  obtain c where c: M = c @ Decided K (Suc j) # M1
    using bt-cut-in-get-all-decided-decomposition[OF M2]
    unfolding M1 by fastforce
  have get-all-levels-of-decided (map convert M) = rev [1..Suc k]
    using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def S by auto
  from arg-cong[OF this, of  $\lambda a. \text{Suc } j \in \text{set } a$ ] have j  $\leq$  k unfolding c by auto
  have max-l-j: maximum-level-code C' M = j
    using db fd M2 C unfolding S E by (auto
      split: option.splits list.splits ann-literal.splits
      dest!: find-level-decomp-some)[1]
  have get-maximum-level M (mset C)  $\geq$  k
    using  $\langle L \in \text{set } C \rangle$  get-maximum-level-ge-get-level levL by blast
  moreover have get-maximum-level M (mset C)  $\leq$  k
    using get-maximum-level-exists-lit-of-max-level[of mset C M] inv
      cdclW-M-level-inv-get-level-le-backtrack-lvl[of toS S]
    unfolding C cdclW-all-struct-inv-def S by (auto dest: sym[of get-level - -])
  ultimately have get-maximum-level M (mset C) = k by auto

  obtain M2 where M2: (M2, M2)  $\in$  set (get-all-decided-decomposition M)
    using bt-cut-in-get-all-decided-decomposition[OF M2] by metis
  have H: (reduce-trail-to (map convert M1)
    (add-learned-cls (mset C' + {#L#})))
    (map convert M, mset (map mset N), mset (map mset U), j, None))) =
    (map convert M1, mset (map mset N), {#mset C' + {#L#}#} + mset (map mset U), j, None)
    apply (subst state-conv[of reduce-trail-to - -])

```

```

    using M2 unfolding M1 by auto
  have
    backtrack
      (map convert M, mset '# mset N, mset '# mset U, k, Some (mset C))
      (Propagated L (mset C) # map convert M1, mset '# mset N, mset '# mset U + {#mset C#},
j,
      None)
    apply (rule backtrack-rule)
      unfolding C apply simp
      using Set.imageI[of (M2, M2) set (get-all-decided-decomposition M)
        (λ(a, b). (map convert a, map convert b))] M2
      apply (auto simp: get-all-decided-decomposition-map-convert M1)[1]
      using max-l-j levL ⟨j ≤ k⟩ apply (simp add: get-maximum-level-plus)
      using C ⟨get-maximum-level M (mset C) = k⟩ levL apply auto[1]
      using max-l-j apply simp
    apply (cases reduce-trail-to (map convert M1)
      (add-learned-cls (mset C' + {#L#})
        (map convert M, mset (map mset N), mset (map mset U), j, None)))
      using M2 M1 H by (auto simp: ac-simps mset-map)
    then show ?case
      using M2 fd unfolding S E M1 by (auto simp: mset-map)
    obtain M2 where (M2, M2) ∈ set (get-all-decided-decomposition M)
      using bt-cut-in-get-all-decided-decomposition[OF M2] by metis
  qed

```

lemma *do-backtrack-step-no*:

```

  assumes db: do-backtrack-step S = S
  and inv: cdclW-all-struct-inv (toS S)
  shows no-step backtrack (toS S)
proof (rule ccontr, cases S, cases conflicting S, goal-cases)
  case 1
  then show ?case using db by (auto split: option.splits)
next
  case (2 M N U k E C) note bt = this(1) and S = this(2) and confl = this(3)
  obtain D L K b z M1 j where
    levL: get-level M L = get-maximum-level M (D + {#L#}) and
    k: k = get-maximum-level M (D + {#L#}) and
    j: j = get-maximum-level M D and
    CE: convertC E = Some (D + {#L#}) and
    decomp: (z # M1, b) ∈ set (get-all-decided-decomposition M) and
    z: Decided K (Suc j) = convert z using bt unfolding S
    by (auto split: option.splits elim!: backtrackE
      simp: get-all-decided-decomposition-map-convert)
  have z: z = Decided K (Suc j) using z by (cases z) auto
  obtain c where c: M = c @ b @ Decided K (Suc j) # M1
    using decomp unfolding z by blast
  have get-all-levels-of-decided (map convert M) = rev [1.. $\text{Suc } k$ ]
    using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def S by auto
  from arg-cong[OF this, of λa. Suc j ∈ set a] have k > j unfolding c by auto
  obtain C D' where
    E: E = Some C and
    C: mset C = mset (L # D')
    using CE apply (cases E)
    apply simp
    by (metis ex-mset mset.simps(2) option.inject option.simps(9))

```

```

have D'D: mset D' = D
  using C CE E by auto
have find-level-decomp M C [] k ≠ None
  apply rule
  apply (drule find-level-decomp-none[of - - - L D'])
  using C ⟨k > j⟩ mset-eq-setD unfolding k[symmetric] D'D j[symmetric] levL by fastforce+
then obtain L' j' where fd-some: find-level-decomp M C [] k = Some (L', j')
  by (cases find-level-decomp M C [] k) auto
have L': L' = L
  proof (rule ccontr)
    assume ¬ ?thesis
    then have L' ∈# D
      by (metis C D'D fd-some find-level-decomp-some in-multiset-in-set insert-iff list.simps(15))
    then have get-level M L' ≤ get-maximum-level M D
      using get-maximum-level-ge-get-level by blast
    then show False using ⟨k > j⟩ j find-level-decomp-some[OF fd-some] by auto
  qed
then have j': j' = j using find-level-decomp-some[OF fd-some] j C D'D by auto

have btc-none: bt-cut j M ≠ None
  apply (rule bt-cut-not-none[of M - @ -])
  using c by simp
show ?case using db unfolding S E
  by (auto split: option.splits list.splits ann-literal.splits
    simp add: fd-some L' j' btc-none
    dest: bt-cut-some-decomp)
qed

```

```

lemma rough-state-of-state-of-backtrack[simp]:
  assumes inv: cdclW-all-struct-inv (toS S)
  shows rough-state-of (state-of (do-backtrack-step S)) = do-backtrack-step S
proof (rule state-of-inverse)
  have f2: backtrack (toS S) (toS (do-backtrack-step S)) ∨ do-backtrack-step S = S
    using do-backtrack-step inv by blast
  have ∧p. ¬ cdclW-o (toS S) p ∨ cdclW-all-struct-inv p
    using inv cdclW-all-struct-inv-inv other by blast
  then have do-backtrack-step S = S ∨ cdclW-all-struct-inv (toS (do-backtrack-step S))
    using f2 by blast
  then show do-backtrack-step S ∈ {S. cdclW-all-struct-inv (toS S)}
    using inv by fastforce
qed

```

Decide fun do-decide-step where
do-decide-step (M, N, U, k, None) =
(case find-first-unused-var N (lits-of M) of
None ⇒ (M, N, U, k, None)
| Some L ⇒ (Decided L (Suc k) # M, N, U, k+1, None)) |
do-decide-step S = S

```

lemma do-decide-step:
  do-decide-step S ≠ S ⇒ decide (toS S) (toS (do-decide-step S))
  apply (cases S, cases conflicting S)
  defer
  apply (auto split: option.splits simp add: decide.simps Decided-Propagated-in-iff-in-lits-of
    dest: find-first-unused-var-undefined find-first-unused-var-Some)

```

```

      intro: atms-of-atms-of-ms-mono)[1]
proof -
  fix a :: (nat, nat, nat literal list) ann-literal list and
    b :: nat literal list list and c :: nat literal list list and
    d :: nat and e :: nat literal list option
  {
    fix a :: (nat, nat, nat literal list) ann-literal list and
      b :: nat literal list list and c :: nat literal list list and
      d :: nat and x2 :: nat literal and m :: nat literal list
    assume a1: m ∈ set b
    assume x2 ∈ set m
    then have f2: atm-of x2 ∈ atms-of (mset m)
      by simp
    have  $\bigwedge f. (f m :: nat literal multiset) \in f \text{ ' set } b$ 
      using a1 by blast
    then have  $\bigwedge f. (atms-of (f m) :: nat set) \subseteq atms-of-ms (f \text{ ' set } b)$ 
      using atms-of-atms-of-ms-mono by blast
    then have  $\bigwedge n f. (n :: nat) \in atms-of-ms (f \text{ ' set } b) \vee n \notin atms-of (f m)$ 
      by (meson contra-subsetD)
    then have atm-of x2 ∈ atms-of-ms (mset ' set b)
      using f2 by blast
  } note H = this
  {
    fix m :: nat literal list and x2
    have  $m \in set b \implies x2 \in set m \implies x2 \notin lits-of a \implies \neg x2 \notin lits-of a \implies$ 
       $\exists aa \in set b. \neg atm-of \text{ ' set } aa \subseteq atm-of \text{ ' lits-of } a$ 
      by (meson atm-of-in-atm-of-set-in-uminus contra-subsetD rev-image-eqI)
  } note H' = this

  assume do-decide-step S ≠ S and
    S = (a, b, c, d, e) and
    conflicting S = None
  then show decide (toS S) (toS (do-decide-step S))
    using H H' by (auto split: option.splits simp: decide.simps Decided-Propagated-in-iff-in-lits-of
      dest!: find-first-unused-var-Some)
qed

lemma do-decide-step-no:
  do-decide-step S = S  $\implies$  no-step decide (toS S)
  by (cases S, cases conflicting S)
    (fastforce simp: atms-of-ms-mset-unfold atm-of-eq-atm-of Decided-Propagated-in-iff-in-lits-of
      split: option.splits)+

lemma rough-state-of-state-of-do-decide-step[simp]:
  cdclW-all-struct-inv (toS S)  $\implies$  rough-state-of (state-of (do-decide-step S)) = do-decide-step S
proof (subst state-of-inverse, goal-cases)
  case 1
  then show ?case
    by (cases do-decide-step S = S)
      (auto dest: do-decide-step decide other intro: cdclW-all-struct-inv-inv)
qed simp

lemma rough-state-of-state-of-do-skip-step[simp]:
  cdclW-all-struct-inv (toS S)  $\implies$  rough-state-of (state-of (do-skip-step S)) = do-skip-step S
  apply (subst state-of-inverse, cases do-skip-step S = S)

```

apply *simp*
by (*blast dest: other skip bj do-skip-step cdcl_W-all-struct-inv-inv*)**+**

6.3.3 Code generation

Type definition There are two invariants: one while applying conflict and propagate and one for the other rules

declare *rough-state-of-inverse*[*simp add*]

definition *Con* **where**

*Con xs = state-of (if cdcl_W-all-struct-inv (toS (fst xs, snd xs)) then xs
else ([], [], [], 0, None))*

lemma [*code abstype*]:

Con (rough-state-of S) = S

using *rough-state-of*[*of S*] **unfolding** *Con-def* **by** *simp*

definition *do-cp-step'* **where**

do-cp-step' S = state-of (do-cp-step (rough-state-of S))

typedef *cdcl_W-state-inv-from-init-state* = $\{S::cdcl_W\text{-state-inv-st. } cdcl_W\text{-all-struct-inv (toS } S) \wedge cdcl_W\text{-stgy}^{**} (S0\text{-}cdcl_W (clss (toS S))) (toS S)\}$

morphisms *rough-state-from-init-state-of state-from-init-state-of*

proof

show $([], [], [], 0, None) \in \{S. cdcl_W\text{-all-struct-inv (toS } S) \wedge cdcl_W\text{-stgy}^{**} (S0\text{-}cdcl_W (clss (toS S))) (toS S)\}$

by (*auto simp add: cdcl_W-all-struct-inv-def*)

qed

instantiation *cdcl_W-state-inv-from-init-state* :: *equal*

begin

definition *equal-cdcl_W-state-inv-from-init-state* :: *cdcl_W-state-inv-from-init-state* \Rightarrow

cdcl_W-state-inv-from-init-state \Rightarrow *bool* **where**

equal-cdcl_W-state-inv-from-init-state S S' \longleftrightarrow

(rough-state-from-init-state-of S = rough-state-from-init-state-of S')

instance

by *standard (simp add: rough-state-from-init-state-of-inject*

equal-cdcl_W-state-inv-from-init-state-def)

end

definition *ConI* **where**

ConI S = state-from-init-state-of (if cdcl_W-all-struct-inv (toS (fst S, snd S))

*$\wedge cdcl_W\text{-stgy}^{**} (S0\text{-}cdcl_W (clss (toS S))) (toS S)$ then S else ([], [], [], 0, None))*

lemma [*code abstype*]:

ConI (rough-state-from-init-state-of S) = S

using *rough-state-from-init-state-of*[*of S*] **unfolding** *ConI-def*

by (*simp add: rough-state-from-init-state-of-inverse*)

definition *id-of-I-to*:: *cdcl_W-state-inv-from-init-state* \Rightarrow *cdcl_W-state-inv* **where**

id-of-I-to S = state-of (rough-state-from-init-state-of S)

lemma [*code abstract*]:

rough-state-of (id-of-I-to S) = rough-state-from-init-state-of S

unfolding *id-of-I-to-def* **using** *rough-state-from-init-state-of* **by** *auto*

Conflict and Propagate function $do_full1_cp_step :: cdcl_W_state_inv \Rightarrow cdcl_W_state_inv$ where

$do_full1_cp_step\ S =$

(let $S' = do_cp_step'\ S$ in

if $S = S'$ then S else $do_full1_cp_step\ S'$)

by auto

termination

proof (relation $\{(T', T). (rough_state_of\ T', rough_state_of\ T) \in \{(S', S).$

(toS S' , toS $S\}) \in \{(S', S). cdcl_W_all_struct_inv\ S \wedge cdcl_W_cp\ S\ S'\}\}$, goal-cases)

case 1

show ?case

using wf-if-measure-f[OF wf-if-measure-f[OF $cdcl_W_cp_wf_all_inv$, of toS], of rough-state-of] .

next

case (2 $S'\ S$)

then show ?case

unfolding $do_cp_step'\text{-}def$

apply simp

by (metis $cp_step_is_cdcl_W_cp\ rough_state_of_inverse$)

qed

lemma $do_full1_cp_step_fix_point_of_do_full1_cp_step$:

$do_cp_step(rough_state_of\ (do_full1_cp_step\ S)) = (rough_state_of\ (do_full1_cp_step\ S))$

by (rule $do_full1_cp_step.induct$ [of $\lambda S. do_cp_step(rough_state_of\ (do_full1_cp_step\ S))$
 $= (rough_state_of\ (do_full1_cp_step\ S))$])

(metis (full-types) $do_full1_cp_step.elims\ rough_state_of_state_of_do_cp_step\ do_cp_step'\text{-}def$)

lemma $in_clauses_rough_state_of_is_distinct$:

$c \in set\ (clss\ (rough_state_of\ S) @ learned_clss\ (rough_state_of\ S)) \implies distinct\ c$

apply (cases $rough_state_of\ S$)

using $rough_state_of$ [of S] by (auto simp add: $distinct_mset_set_distinct\ cdcl_W_all_struct_inv_def$
 $distinct_cdcl_W_state_def$)

lemma $do_full1_cp_step_full$:

$full\ cdcl_W_cp\ (toS\ (rough_state_of\ S))$

$(toS\ (rough_state_of\ (do_full1_cp_step\ S)))$

unfolding $full_def$

proof (rule $conjI$, induction S rule: $do_full1_cp_step.induct$)

case (1 S)

then have f1:

$cdcl_W_cp^{**}\ (toS\ (do_cp_step\ (rough_state_of\ S)))\ (\$
 $toS\ (rough_state_of\ (do_full1_cp_step\ (state_of\ (do_cp_step\ (rough_state_of\ S))))))$
 $\vee\ state_of\ (do_cp_step\ (rough_state_of\ S)) = S$

using $do_cp_step'\text{-}def\ rough_state_of_state_of_do_cp_step$ by fastforce

have f2: $\bigwedge c. (if\ c = state_of\ (do_cp_step\ (rough_state_of\ c))$

then c else $do_full1_cp_step\ (state_of\ (do_cp_step\ (rough_state_of\ c))))$

$= do_full1_cp_step\ c$

by (metis (full-types) $do_cp_step'\text{-}def\ do_full1_cp_step.simps$)

have f3: $\neg\ cdcl_W_cp\ (toS\ (rough_state_of\ S))\ (toS\ (do_cp_step\ (rough_state_of\ S)))$

$\vee\ state_of\ (do_cp_step\ (rough_state_of\ S)) = S$

$\vee\ cdcl_W_cp^{++}\ (toS\ (rough_state_of\ S))$

$(toS\ (rough_state_of\ (do_full1_cp_step\ (state_of\ (do_cp_step\ (rough_state_of\ S))))))$

using f1 by (meson $rtranclp_into_tranclp2$)

{ assume $do_full1_cp_step\ S \neq S$

then have $do_cp_step\ (rough_state_of\ S) = rough_state_of\ S$

$\longrightarrow cdcl_W_cp^{**}\ (toS\ (rough_state_of\ S))\ (toS\ (rough_state_of\ (do_full1_cp_step\ S)))$

$\vee\ do_cp_step\ (rough_state_of\ S) \neq rough_state_of\ S$

```

     $\wedge$  state-of (do-cp-step (rough-state-of  $S$ ))  $\neq S$ 
  using f2 f1 by (metis (no-types))
then have do-cp-step (rough-state-of  $S$ )  $\neq$  rough-state-of  $S$ 
     $\wedge$  state-of (do-cp-step (rough-state-of  $S$ ))  $\neq S$ 
 $\vee$  cdclW-cp** (toS (rough-state-of  $S$ )) (toS (rough-state-of (do-full1-cp-step  $S$ )))
  by (metis rough-state-of-state-of-do-cp-step)
then have cdclW-cp** (toS (rough-state-of  $S$ )) (toS (rough-state-of (do-full1-cp-step  $S$ )))
  using f3 f2 by (metis (no-types) cp-step-is-cdclW-cp tranclp-into-rtranclp) }
then show ?case
  by fastforce
next
show no-step cdclW-cp (toS (rough-state-of (do-full1-cp-step  $S$ )))
  apply (rule do-cp-step-eq-no-step[OF do-full1-cp-step-fix-point-of-do-full1-cp-step[of  $S$ ]])
  using in-clauses-rough-state-of-is-distinct unfolding do-cp-step'-def by blast
qed

```

lemma [code abstract]:
 rough-state-of (do-cp-step' S) = do-cp-step (rough-state-of S)
 unfolding do-cp-step'-def by auto

The other rules fun do-other-step where

```

do-other-step  $S$  =
  (let  $T$  = do-skip-step  $S$  in
    if  $T \neq S$ 
    then  $T$ 
    else
      (let  $U$  = do-resolve-step  $T$  in
        if  $U \neq T$ 
        then  $U$  else
          (let  $V$  = do-backtrack-step  $U$  in
            if  $V \neq U$  then  $V$  else do-decide-step  $V$ )))

```

lemma do-other-step:
 assumes inv: cdcl_W-all-struct-inv (toS S) and
 st: do-other-step $S \neq S$
 shows cdcl_W-o (toS S) (toS (do-other-step S))
 using st inv by (auto split: split-if-asm
 simp add: Let-def
 intro: do-skip-step do-resolve-step do-backtrack-step do-decide-step)

lemma do-other-step-no:
 assumes inv: cdcl_W-all-struct-inv (toS S) and
 st: do-other-step $S = S$
 shows no-step cdcl_W-o (toS S)
 using st inv by (auto split: split-if-asm elim: cdcl_W-bjE
 simp add: Let-def cdcl_W-bj.simps elim!: cdcl_W-o.cases
 dest!: do-skip-step-no do-resolve-step-no do-backtrack-step-no do-decide-step-no)

lemma rough-state-of-state-of-do-other-step[simp]:
 rough-state-of (state-of (do-other-step (rough-state-of S))) = do-other-step (rough-state-of S)
proof (cases do-other-step (rough-state-of S) = rough-state-of S)
 case True
 then show ?thesis by simp
next
 case False

```

have  $cdcl_W\text{-o}$  ( $toS$  ( $rough\text{-state-of}$   $S$ )) ( $toS$  ( $do\text{-other-step}$  ( $rough\text{-state-of}$   $S$ )))
  by ( $metis$   $False$   $cdcl_W\text{-all-struct-inv-rough-state}$   $do\text{-other-step}$  [ $of$   $rough\text{-state-of}$   $S$ ])
then have  $cdcl_W\text{-all-struct-inv}$  ( $toS$  ( $do\text{-other-step}$  ( $rough\text{-state-of}$   $S$ )))
  using  $cdcl_W\text{-all-struct-inv-inv}$   $cdcl_W\text{-all-struct-inv-rough-state}$   $other$  by  $blast$ 
then show  $?thesis$ 
  by ( $simp$   $add$ :  $CollectI$   $state\text{-of-inverse}$ )
qed

```

definition $do\text{-other-step}'$ **where**

```

 $do\text{-other-step}' S =$ 
   $state\text{-of}$  ( $do\text{-other-step}$  ( $rough\text{-state-of}$   $S$ ))

```

lemma $rough\text{-state-of-do-other-step}'$ [$code$ $abstract$]:

```

 $rough\text{-state-of}$  ( $do\text{-other-step}' S$ ) =  $do\text{-other-step}$  ( $rough\text{-state-of}$   $S$ )

```

apply ($cases$ $do\text{-other-step}$ ($rough\text{-state-of}$ S) = $rough\text{-state-of}$ S)

unfolding $do\text{-other-step}'\text{-def}$ **apply** $simp$

using $do\text{-other-step}$ [of $rough\text{-state-of}$ S] **by** ($auto$ $intro$: $cdcl_W\text{-all-struct-inv-inv}$ $cdcl_W\text{-all-struct-inv-rough-state}$ $other$ $state\text{-of-inverse}$)

definition $do\text{-cdcl}_W\text{-stgy-step}$ **where**

```

 $do\text{-cdcl}_W\text{-stgy-step} S =$ 
  ( $let$   $T = do\text{-full1-cp-step}$   $S$  in
    if  $T \neq S$ 
    then  $T$ 
    else
      ( $let$   $U = (do\text{-other-step}' T)$  in
        ( $do\text{-full1-cp-step}$   $U$ )))

```

definition $do\text{-cdcl}_W\text{-stgy-step}'$ **where**

```

 $do\text{-cdcl}_W\text{-stgy-step}' S = state\text{-from-init-state-of}$  ( $rough\text{-state-of}$  ( $do\text{-cdcl}_W\text{-stgy-step}$  ( $id\text{-of-I-to}$   $S$ )))

```

lemma $toS\text{-do-full1-cp-step-not-eq}$: $do\text{-full1-cp-step}$ $S \neq S \implies$

```

 $toS$  ( $rough\text{-state-of}$   $S$ )  $\neq toS$  ( $rough\text{-state-of}$  ( $do\text{-full1-cp-step}$   $S$ ))

```

proof –

assume $a1$: $do\text{-full1-cp-step}$ $S \neq S$

then have $S \neq do\text{-cp-step}' S$

by $fastforce$

then show $?thesis$

by ($metis$ ($no\text{-types}$) $cp\text{-step-is-cdcl}_W\text{-cp}$ $do\text{-cp-step}'\text{-def}$ $do\text{-cp-step-eq-no-step}$ $do\text{-full1-cp-step-fix-point-of-do-full1-cp-step}$ $in\text{-clauses-rough-state-of-is-distinct}$ $rough\text{-state-of-inverse}$)

qed

$do\text{-full1-cp-step}$ should not be unfolded anymore:

declare $do\text{-full1-cp-step.simps}$ [$simp$ del]

Correction of the transformation **lemma** $do\text{-cdcl}_W\text{-stgy-step}$:

assumes $do\text{-cdcl}_W\text{-stgy-step}$ $S \neq S$

shows $cdcl_W\text{-stgy}$ (toS ($rough\text{-state-of}$ S)) (toS ($rough\text{-state-of}$ ($do\text{-cdcl}_W\text{-stgy-step}$ S)))

proof ($cases$ $do\text{-full1-cp-step}$ $S = S$)

case $False$

then show $?thesis$

using $assms$ $do\text{-full1-cp-step-full}$ [of S] **unfolding** $full\text{-unfold}$ $do\text{-cdcl}_W\text{-stgy-step-def}$

by ($auto$ $intro$!: $cdcl_W\text{-stgy.intros}$ $dest$: $toS\text{-do-full1-cp-step-not-eq}$)

next


```

case True
have cdclW-o (toS (rough-state-of S)) (toS (rough-state-of (do-other-step' S)))
  by (smt True assms cdclW-all-struct-inv-rough-state do-cdclW-stgy-step-def do-other-step
    rough-state-of-do-other-step' rough-state-of-inverse)
moreover
  have
    np: no-step propagate (toS (rough-state-of S)) and
    nc: no-step conflict (toS (rough-state-of S))
    apply (metis True do-cp-step-eq-no-prop-no-confl
      do-full1-cp-step-fix-point-of-do-full1-cp-step do-propagate-step-no-step
      in-clauses-rough-state-of-is-distinct)
    by (metis True do-conflict-step-no-step do-cp-step-eq-no-prop-no-confl
      do-full1-cp-step-fix-point-of-do-full1-cp-step)
    then have no-step cdclW-cp (toS (rough-state-of S))
      by (simp add: cdclW-cp.simps)
    moreover have full cdclW-cp (toS (rough-state-of (do-other-step' S)))
      (toS (rough-state-of (do-full1-cp-step (do-other-step' S))))
      using do-full1-cp-step-full by auto
    ultimately show ?thesis
      using assms True unfolding do-cdclW-stgy-step-def
      by (auto intro!: cdclW-stgy.other' dest: toS-do-full1-cp-step-not-eq)
qed

```

```

lemma length-trail-toS[simp]:
  length (trail (toS S)) = length (trail S)
  by (cases S) auto

```

```

lemma conflicting-noTrue-iff-toS[simp]:
  conflicting (toS S)  $\neq$  None  $\longleftrightarrow$  conflicting S  $\neq$  None
  by (cases S) auto

```

```

lemma trail-toS-neq-imp-trail-neq:
  trail (toS S)  $\neq$  trail (toS S')  $\implies$  trail S  $\neq$  trail S'
  by (cases S, cases S') auto

```

```

lemma do-skip-step-trail-changed-or-conflict:
  assumes d: do-other-step S  $\neq$  S
  and inv: cdclW-all-struct-inv (toS S)
  shows trail S  $\neq$  trail (do-other-step S)

```

proof –

```

  have M:  $\bigwedge M K M1 c. M = c @ K \# M1 \implies \text{Suc}(\text{length } M1) \leq \text{length } M$ 
    by auto
  have cdclW-M-level-inv (toS S)
    using inv unfolding cdclW-all-struct-inv-def by auto
  have cdclW-o (toS S) (toS (do-other-step S)) using do-other-step[OF inv d] .
  then show ?thesis
    using  $\langle \text{cdcl}_W\text{-M-level-inv } (\text{toS } S) \rangle$ 
    proof (induction toS (do-other-step S) rule: cdclW-o-induct-lev2)
      case decide
        then show ?thesis
          by (auto simp add: trail-toS-neq-imp-trail-neq)[]
    next
    case (skip)
    then show ?case
      by (cases S; cases do-other-step S) force

```

```

next
  case (resolve)
  then show ?case
    by (cases S, cases do-other-step S) force
next
  case (backtrack K i M1 M2 L D) note decomp = this(1) and confl-S = this(3) and undef =
this(6)
    and U = this(7)
  have [simp]: cons-trail (Propagated L (D + {#L#}))
    (reduce-trail-to M1
      (add-learned-cls (D + {#L#})
        (update-backtrack-lvl (get-maximum-level (trail (toS S)) D)
          (update-conflicting None (toS S))))))
    =
    (Propagated L (D + {#L#})# M1, mset (map mset (cls S)),
      {#D + {#L#}#} + mset (map mset (learned-clss S)),
      get-maximum-level (trail (toS S)) D, None)
  apply (subst state-conv[of cons-trail - -])
  using decomp undef by (cases S) auto
then show ?case
  apply (cases do-other-step S)
  apply (auto split: split-if-asm simp: Let-def)
    apply (cases S rule: do-skip-step.cases; auto split: split-if-asm)
    apply (cases S rule: do-skip-step.cases; auto split: split-if-asm)

    apply (cases S rule: do-backtrack-step.cases;
      auto split: split-if-asm option.splits list.splits ann-literal.splits
      dest!: bt-cut-some-decomp simp: Let-def)
  using d apply (cases S rule: do-decide-step.cases; auto split: option.splits)[]
done
qed
qed

```

lemma *do-full1-cp-step-induct*:

$(\bigwedge S. (S \neq \text{do-cp-step}' S \implies P (\text{do-cp-step}' S)) \implies P S) \implies P a0$
 using *do-full1-cp-step.induct* by metis

lemma *do-cp-step-neq-trail-increase*:

$\exists c. \text{trail} (\text{do-cp-step } S) = c @ \text{trail } S \wedge (\forall m \in \text{set } c. \neg \text{is-decided } m)$
 by (cases S, cases conflicting S)
 (auto simp add: do-cp-step-def do-conflict-step-def do-propagate-step-def split: option.splits)

lemma *do-full1-cp-step-neq-trail-increase*:

$\exists c. \text{trail} (\text{rough-state-of } (\text{do-full1-cp-step } S)) = c @ \text{trail} (\text{rough-state-of } S)$
 $\wedge (\forall m \in \text{set } c. \neg \text{is-decided } m)$
 apply (induction rule: do-full1-cp-step-induct)
 apply (rename-tac S, case-tac do-cp-step' S = S)
 apply (simp add: do-full1-cp-step.simps)
 by (smt Un-iff append-assoc do-cp-step'-def do-cp-step-neq-trail-increase do-full1-cp-step.simps
 rough-state-of-state-of-do-cp-step set-append)

lemma *do-cp-step-conflicting*:

$\text{conflicting} (\text{rough-state-of } S) \neq \text{None} \implies \text{do-cp-step}' S = S$
 unfolding do-cp-step'-def do-cp-step-def by simp

lemma *do-full1-cp-step-conflicting*:

conflicting (*rough-state-of* *S*) \neq *None* \implies *do-full1-cp-step* *S* = *S*
unfolding *do-cp-step'-def* *do-cp-step-def*
apply (*induction rule*: *do-full1-cp-step-induct*)
by (*rename-tac* *S*, *case-tac* *S* \neq *do-cp-step'* *S*)
(auto simp add: do-full1-cp-step.simps do-cp-step-conflicting)

lemma *do-decide-step-not-conflicting-one-more-decide*:

assumes
conflicting *S* = *None* **and**
do-decide-step *S* \neq *S*
shows *Suc* (*length* (*filter is-decided* (*trail* *S*)))
= *length* (*filter is-decided* (*trail* (*do-decide-step* *S*)))
using *assms* **unfolding** *do-other-step'-def*
by (*cases* *S*) (*auto simp*: *Let-def split: split-if-asm option.splits*
dest!: *find-first-unused-var-Some-not-all-incl*)

lemma *do-decide-step-not-conflicting-one-more-decide-bt*:

assumes *conflicting* *S* \neq *None* **and**
do-decide-step *S* \neq *S*
shows *length* (*filter is-decided* (*trail* *S*)) < *length* (*filter is-decided* (*trail* (*do-decide-step* *S*)))
using *assms* **unfolding** *do-other-step'-def* **by** (*cases* *S*, *cases* *conflicting* *S*)
(auto simp add: Let-def split: split-if-asm option.splits)

lemma *do-other-step-not-conflicting-one-more-decide-bt*:

assumes
conflicting (*rough-state-of* *S*) \neq *None* **and**
conflicting (*rough-state-of* (*do-other-step'* *S*)) = *None* **and**
do-other-step' *S* \neq *S*
shows *length* (*filter is-decided* (*trail* (*rough-state-of* *S*)))
> *length* (*filter is-decided* (*trail* (*rough-state-of* (*do-other-step'* *S*))))

proof (*cases* *S*, *goal-cases*)

case (*1 y*) **note** *S* = *this*(*1*) **and** *inv* = *this*(*2*)
obtain *M N U k E* **where** *y*: *y* = (*M*, *N*, *U*, *k*, *Some* *E*)
using *assms*(*1*) *S* *inv* **by** (*cases* *y*, *cases* *conflicting* *y*) *auto*
have *M*: *rough-state-of* (*state-of* (*M*, *N*, *U*, *k*, *Some* *E*)) = (*M*, *N*, *U*, *k*, *Some* *E*)
using *inv y* **by** (*auto simp add: state-of-inverse*)
have *bt*: *do-other-step'* *S* = *state-of* (*do-backtrack-step* (*rough-state-of* *S*))

proof (*cases* *rough-state-of* *S* *rule*: *do-decide-step.cases*)

case *1*

then show *?thesis*

using *assms*(*1,2*) **by** *auto*[]

next

case (*2 v vb vd vf vh*)

have *f3*: $\bigwedge c$. (*if* *do-skip-step* (*rough-state-of* *c*) \neq *rough-state-of* *c*
then *do-skip-step* (*rough-state-of* *c*)
else *if* *do-resolve-step* (*do-skip-step* (*rough-state-of* *c*)) \neq *do-skip-step* (*rough-state-of* *c*)
then *do-resolve-step* (*do-skip-step* (*rough-state-of* *c*))
else *if* *do-backtrack-step* (*do-resolve-step* (*do-skip-step* (*rough-state-of* *c*)))
 \neq *do-resolve-step* (*do-skip-step* (*rough-state-of* *c*))
then *do-backtrack-step* (*do-resolve-step* (*do-skip-step* (*rough-state-of* *c*)))
else *do-decide-step* (*do-backtrack-step* (*do-resolve-step*
(*do-skip-step* (*rough-state-of* *c*))))))
= *rough-state-of* (*do-other-step'* *c*)
by (*simp add: rough-state-of-do-other-step'*)

```

have (trail (rough-state-of (do-other-step' S)), clss (rough-state-of (do-other-step' S)),
  learned-clss (rough-state-of (do-other-step' S)),
  backtrack-lvl (rough-state-of (do-other-step' S)), None)
= rough-state-of (do-other-step' S)
using assms(2) by (metis (no-types) state-conv)
then show ?thesis
using f3 2 by (metis (no-types) do-decide-step.simps(2) do-resolve-step-trail-is-None
  do-skip-step-trail-is-None rough-state-of-inverse)
qed
show ?case
using assms(2) S unfolding bt y inv
apply simp
by (auto simp add: M bt-cut-not-none
  split: option.splits
  dest!: bt-cut-some-decomp)
qed

lemma do-other-step-not-conflicting-one-more-decide:
assumes conflicting (rough-state-of S) = None and
do-other-step' S ≠ S
shows 1 + length (filter is-decided (trail (rough-state-of S)))
= length (filter is-decided (trail (rough-state-of (do-other-step' S))))
proof (cases S, goal-cases)
case (1 y) note S = this(1) and inv = this(2)
obtain M N U k where y: y = (M, N, U, k, None) using assms(1) S inv by (cases y) auto
have M: rough-state-of (state-of (M, N, U, k, None)) = (M, N, U, k, None)
using inv y by (auto simp add: state-of-inverse)
have state-of (do-decide-step (M, N, U, k, None)) ≠ state-of (M, N, U, k, None)
using assms(2) unfolding do-other-step'-def y inv S by (auto simp add: M)
then have f4: do-skip-step (rough-state-of S) = rough-state-of S
unfolding S M y by (metis (full-types) do-skip-step.simps(4))
have f5: do-resolve-step (rough-state-of S) = rough-state-of S
unfolding S M y by (metis (no-types) do-resolve-step.simps(4))
have f6: do-backtrack-step (rough-state-of S) = rough-state-of S
unfolding S M y by (metis (no-types) do-backtrack-step.simps(2))
have do-other-step (rough-state-of S) ≠ rough-state-of S
using assms(2) unfolding S M y do-other-step'-def by (metis (no-types))
then show ?case
using f6 f5 f4 by (simp add: assms(1) do-decide-step-not-conflicting-one-more-decide
  do-other-step'-def)
qed

lemma rough-state-of-state-of-do-skip-step-rough-state-of[simp]:
rough-state-of (state-of (do-skip-step (rough-state-of S))) = do-skip-step (rough-state-of S)
by (smt do-other-step.simps rough-state-of-inverse rough-state-of-state-of-do-other-step)

lemma conflicting-do-resolve-step-iff[iff]:
conflicting (do-resolve-step S) = None ⟷ conflicting S = None
by (cases S rule: do-resolve-step.cases)
(auto simp add: Let-def split: option.splits)

lemma conflicting-do-skip-step-iff[iff]:
conflicting (do-skip-step S) = None ⟷ conflicting S = None
by (cases S rule: do-skip-step.cases)
(auto simp add: Let-def split: option.splits)

```

lemma *conflicting-do-decide-step-iff*[iff]:
 $\text{conflicting } (\text{do-decide-step } S) = \text{None} \longleftrightarrow \text{conflicting } S = \text{None}$
by (cases S rule: *do-decide-step.cases*)
(auto simp add: *Let-def split: option.splits*)

lemma *conflicting-do-backtrack-step-imp*[simp]:
 $\text{do-backtrack-step } S \neq S \implies \text{conflicting } (\text{do-backtrack-step } S) = \text{None}$
by (cases S rule: *do-backtrack-step.cases*)
(auto simp add: *Let-def split: list.splits option.splits ann-literal.splits*)

lemma *do-skip-step-eq-iff-trail-eq*:
 $\text{do-skip-step } S = S \longleftrightarrow \text{trail } (\text{do-skip-step } S) = \text{trail } S$
by (cases S rule: *do-skip-step.cases*) auto

lemma *do-decide-step-eq-iff-trail-eq*:
 $\text{do-decide-step } S = S \longleftrightarrow \text{trail } (\text{do-decide-step } S) = \text{trail } S$
by (cases S rule: *do-decide-step.cases*) (auto split: *option.split*)

lemma *do-backtrack-step-eq-iff-trail-eq*:
 $\text{do-backtrack-step } S = S \longleftrightarrow \text{trail } (\text{do-backtrack-step } S) = \text{trail } S$
by (cases S rule: *do-backtrack-step.cases*)
(auto split: *option.split list.splits ann-literal.splits*
dest!: *bt-cut-in-get-all-decided-decomposition*)

lemma *do-resolve-step-eq-iff-trail-eq*:
 $\text{do-resolve-step } S = S \longleftrightarrow \text{trail } (\text{do-resolve-step } S) = \text{trail } S$
by (cases S rule: *do-resolve-step.cases*) auto

lemma *do-other-step-eq-iff-trail-eq*:
 $\text{trail } (\text{do-other-step } S) = \text{trail } S \longleftrightarrow \text{do-other-step } S = S$
by (auto simp add: *Let-def do-skip-step-eq-iff-trail-eq[symmetric]*
do-decide-step-eq-iff-trail-eq[symmetric] *do-backtrack-step-eq-iff-trail-eq[symmetric]*
do-resolve-step-eq-iff-trail-eq[symmetric])

lemma *do-full1-cp-step-do-other-step'-normal-form*[dest!]:
assumes H : $\text{do-full1-cp-step } (\text{do-other-step}' S) = S$
shows $\text{do-other-step}' S = S \wedge \text{do-full1-cp-step } S = S$
proof –
let $?T = \text{do-other-step}' S$
{ **assume** *confl*: $\text{conflicting } (\text{rough-state-of } ?T) \neq \text{None}$
then have tr : $\text{trail } (\text{rough-state-of } (\text{do-full1-cp-step } ?T)) = \text{trail } (\text{rough-state-of } ?T)$
using *do-full1-cp-step-conflicting* **by** auto
have $\text{trail } (\text{rough-state-of } (\text{do-full1-cp-step } (\text{do-other-step}' S))) = \text{trail } (\text{rough-state-of } S)$
using *arg-cong[OF H, of $\lambda S. \text{trail } (\text{rough-state-of } S)$]* .
then have $\text{trail } (\text{rough-state-of } (\text{do-other-step}' S)) = \text{trail } (\text{rough-state-of } S)$
by (auto simp add: *do-full1-cp-step-conflicting confl*)
then have $\text{do-other-step}' S = S$
by (simp add: *do-other-step-eq-iff-trail-eq do-other-step'-def*
del: *do-other-step.simps*)
}
moreover {
assume *eq[simp]*: $\text{do-other-step}' S = S$
obtain c **where** c : $\text{trail } (\text{rough-state-of } (\text{do-full1-cp-step } S)) = c @ \text{trail } (\text{rough-state-of } S)$

```

using do-full1-cp-step-neq-trail-increase by auto

moreover have trail (rough-state-of (do-full1-cp-step S)) = trail (rough-state-of S)
  using arg-cong[OF H, of  $\lambda S. \text{trail (rough-state-of S)}$ ] by simp
finally have c = [] by blast
then have do-full1-cp-step S = S using assms by auto
}
moreover {
  assume confl: conflicting (rough-state-of ?T) = None and neg: do-other-step' S  $\neq$  S
  obtain c where
    c: trail (rough-state-of (do-full1-cp-step ?T)) = c @ trail (rough-state-of ?T) and
    nm:  $\forall m \in \text{set } c. \neg \text{is-decided } m$ 
    using do-full1-cp-step-neq-trail-increase by auto
  have length (filter is-decided (trail (rough-state-of (do-full1-cp-step ?T))))
    = length (filter is-decided (trail (rough-state-of ?T))) using nm unfolding c by force
  moreover have length (filter is-decided (trail (rough-state-of S)))
     $\neq$  length (filter is-decided (trail (rough-state-of ?T)))
    using do-other-step-not-conflicting-one-more-decide[OF - neg]
    do-other-step-not-conflicting-one-more-decide-bt[of S, OF - confl neg]
    by linarith
  finally have False unfolding H by blast
}
ultimately show ?thesis by blast
qed

```

lemma *do-cdcl_W-stgy-step-no:*

```

assumes S: do-cdclW-stgy-step S = S
shows no-step cdclW-stgy (toS (rough-state-of S))
proof -
  {
    fix S'
    assume full1 cdclW-cp (toS (rough-state-of S)) S'
    then have False
      using do-full1-cp-step-full[of S] unfolding full-def S rtrancp-unfold full1-def
      by (smt assms do-cdclW-stgy-step-def trancpD)
  }
  moreover {
    fix S' S''
    assume cdclW-o (toS (rough-state-of S)) S' and
    no-step propagate (toS (rough-state-of S)) and
    no-step conflict (toS (rough-state-of S)) and
    full cdclW-cp S' S''
    then have False
      using assms unfolding do-cdclW-stgy-step-def
      by (smt cdclW-all-struct-inv-rough-state do-full1-cp-step-do-other-step'-normal-form
        do-other-step-no rough-state-of-do-other-step')
  }
  ultimately show ?thesis using assms by (force simp: cdclW-cp.simps cdclW-stgy.simps)
qed

```

lemma *toS-rough-state-of-state-of-rough-state-from-init-state-of[simp]:*

```

toS (rough-state-of (state-of (rough-state-from-init-state-of S)))
  = toS (rough-state-from-init-state-of S)
using rough-state-from-init-state-of[of S] by (auto simp add: state-of-inverse)

```

lemma $cdcl_W\text{-cp-is-rtrancp-cdcl}_W$: $cdcl_W\text{-cp } S \ T \implies cdcl_W^{**} S \ T$
apply (induction rule: $cdcl_W\text{-cp.induct}$)
using *conflict* **apply** *blast*
using *propagate* **by** *blast*

lemma $rtrancp\text{-cdcl}_W\text{-cp-is-rtrancp-cdcl}_W$: $cdcl_W\text{-cp}^{**} S \ T \implies cdcl_W^{**} S \ T$
apply (induction rule: $rtrancp\text{-induct}$)
apply *simp*
by (*fastforce* *dest!*: $cdcl_W\text{-cp-is-rtrancp-cdcl}_W$)

lemma $cdcl_W\text{-stgy-is-rtrancp-cdcl}_W$:
 $cdcl_W\text{-stgy } S \ T \implies cdcl_W^{**} S \ T$
apply (induction rule: $cdcl_W\text{-stgy.induct}$)
using $cdcl_W\text{-stgy.conflict'}$ $rtrancp\text{-cdcl}_W\text{-stgy-rtrancp-cdcl}_W$ **apply** *blast*
unfolding *full-def* **by** (*fastforce* *dest!*: *other* $rtrancp\text{-cdcl}_W\text{-cp-is-rtrancp-cdcl}_W$)

lemma $cdcl_W\text{-stgy-init-clss}$: $cdcl_W\text{-stgy } S \ T \implies cdcl_W\text{-M-level-inv } S \implies clss \ S = clss \ T$
using $rtrancp\text{-cdcl}_W\text{-init-clss}$ $cdcl_W\text{-stgy-is-rtrancp-cdcl}_W$ **by** *fast*

lemma $clauses\text{-toS-rough-state-of-do-cdcl}_W\text{-stgy-step[simp]}$:
 $clss \ (toS \ (rough\text{-state-of} \ (do\text{-cdcl}_W\text{-stgy-step} \ (state\text{-of} \ (rough\text{-state-from-init-state-of} \ S))))$
 $= clss \ (toS \ (rough\text{-state-from-init-state-of} \ S)) \ (\text{is} \ - = clss \ (toS \ ?S))$
apply (*cases* $do\text{-cdcl}_W\text{-stgy-step} \ (state\text{-of} \ ?S) = state\text{-of} \ ?S$)
apply *simp*
by (*smt* $cdcl_W\text{-all-struct-inv-def}$ $cdcl_W\text{-all-struct-inv-rough-state}$ $cdcl_W\text{-stgy-no-more-init-clss}$
 $do\text{-cdcl}_W\text{-stgy-step}$ $toS\text{-rough-state-of-state-of-rough-state-from-init-state-of}$)

lemma $rough\text{-state-from-init-state-of-do-cdcl}_W\text{-stgy-step'}$ [code abstract]:
 $rough\text{-state-from-init-state-of} \ (do\text{-cdcl}_W\text{-stgy-step' } S) =$
 $rough\text{-state-of} \ (do\text{-cdcl}_W\text{-stgy-step} \ (id\text{-of-I-to} \ S))$

proof –
let $?S = (rough\text{-state-from-init-state-of} \ S)$
have $cdcl_W\text{-stgy}^{**} \ (S0\text{-cdcl}_W \ (clss \ (toS \ (rough\text{-state-from-init-state-of} \ S))))$
 $(toS \ (rough\text{-state-from-init-state-of} \ S))$
using $rough\text{-state-from-init-state-of}$ [of S] **by** *auto*
moreover **have** $cdcl_W\text{-stgy}^{**}$
 $(toS \ (rough\text{-state-from-init-state-of} \ S))$
 $(toS \ (rough\text{-state-of} \ (do\text{-cdcl}_W\text{-stgy-step}$
 $(state\text{-of} \ (rough\text{-state-from-init-state-of} \ S))))$
using $do\text{-cdcl}_W\text{-stgy-step}$ [of $state\text{-of} \ ?S$]
by (*cases* $do\text{-cdcl}_W\text{-stgy-step} \ (state\text{-of} \ ?S) = state\text{-of} \ ?S$) *auto*
ultimately **show** *?thesis*
unfolding $do\text{-cdcl}_W\text{-stgy-step'-def}$ $id\text{-of-I-to-def}$
by (*auto* *intro!*: $state\text{-from-init-state-of-inverse}$)

qed

All rules together **function** $do\text{-all-cdcl}_W\text{-stgy}$ **where**

$do\text{-all-cdcl}_W\text{-stgy } S =$
 $(let \ T = do\text{-cdcl}_W\text{-stgy-step' } S \ in$
 $if \ T = S \ then \ S \ else \ do\text{-all-cdcl}_W\text{-stgy } T)$

by *fast+*

termination

proof (*relation* $\{(T, S)\}$.

$(cdcl_W\text{-measure} \ (toS \ (rough\text{-state-from-init-state-of} \ T))),$
 $cdcl_W\text{-measure} \ (toS \ (rough\text{-state-from-init-state-of} \ S)))$

```

    ∈ learn {(a, b). a < b} 3}, goal-cases)
  case 1
  show ?case by (rule wf-if-measure-f) (auto intro!: wf-learn wf-less)
next
case (2 S T) note T = this(1) and ST = this(2)
let ?S = rough-state-from-init-state-of S
have S: cdclW-stgy** (S0-cdclW (class (toS ?S))) (toS ?S)
  using rough-state-from-init-state-of[of S] by auto
moreover have cdclW-stgy (toS (rough-state-from-init-state-of S))
  (toS (rough-state-from-init-state-of T))
proof -
  have ∧c. rough-state-of (state-of (rough-state-from-init-state-of c)) =
    rough-state-from-init-state-of c
  using rough-state-from-init-state-of by force
  then have do-cdclW-stgy-step (state-of (rough-state-from-init-state-of S))
    ≠ state-of (rough-state-from-init-state-of S)
  using ST T by (metis (no-types) id-of-I-to-def rough-state-from-init-state-of-inject
    rough-state-from-init-state-of-do-cdclW-stgy-step')
  then show ?thesis
    using do-cdclW-stgy-step id-of-I-to-def rough-state-from-init-state-of-do-cdclW-stgy-step' T
    by fastforce
qed
moreover
  have cdclW-all-struct-inv (toS (rough-state-from-init-state-of S))
    using rough-state-from-init-state-of[of S] by auto
  then have cdclW-all-struct-inv (S0-cdclW (class (toS (rough-state-from-init-state-of S))))
    by (cases rough-state-from-init-state-of S)
      (auto simp add: cdclW-all-struct-inv-def distinct-cdclW-state-def)
  ultimately show ?case
    by (auto intro!: cdclW-stgy-step-decreasing[of - S0-cdclW (class (toS ?S))]
      simp del: cdclW-measure.simps)
qed

thm do-all-cdclW-stgy.induct
lemma do-all-cdclW-stgy.induct:
  (∧S. (do-cdclW-stgy-step' S ≠ S ⇒ P (do-cdclW-stgy-step' S)) ⇒ P S) ⇒ P a0
  using do-all-cdclW-stgy.induct by metis

lemma no-step-cdclW-stgy-cdclW-all:
  no-step cdclW-stgy (toS (rough-state-from-init-state-of (do-all-cdclW-stgy S)))
  apply (induction S rule:do-all-cdclW-stgy.induct)
  apply (rename-tac S, case-tac do-cdclW-stgy-step' S ≠ S)
proof -
  fix Sa :: cdclW-state-inv-from-init-state
  assume a1: ¬ do-cdclW-stgy-step' Sa ≠ Sa
  { fix pp
    have (if True then Sa else do-all-cdclW-stgy Sa) = do-all-cdclW-stgy Sa
      using a1 by auto
    then have ¬ cdclW-stgy (toS (rough-state-from-init-state-of (do-all-cdclW-stgy Sa))) pp
      using a1 by (metis (no-types) do-cdclW-stgy-step-no id-of-I-to-def
        rough-state-from-init-state-of-do-cdclW-stgy-step' rough-state-of-inverse) }
  then show no-step cdclW-stgy (toS (rough-state-from-init-state-of (do-all-cdclW-stgy Sa)))
    by fastforce
next
fix Sa :: cdclW-state-inv-from-init-state

```


assume $a1$: $do\text{-}cdcl_W\text{-}stgy\text{-}step' Sa \neq Sa$
 $\implies no\text{-}step\ cdcl_W\text{-}stgy\ (toS\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ (do\text{-}all\text{-}cdcl_W\text{-}stgy\ (do\text{-}cdcl_W\text{-}stgy\text{-}step' Sa))))$
assume $a2$: $do\text{-}cdcl_W\text{-}stgy\text{-}step' Sa \neq Sa$
have $do\text{-}all\text{-}cdcl_W\text{-}stgy\ Sa = do\text{-}all\text{-}cdcl_W\text{-}stgy\ (do\text{-}cdcl_W\text{-}stgy\text{-}step' Sa)$
by $(metis\ (full\text{-}types)\ do\text{-}all\text{-}cdcl_W\text{-}stgy.simps)$
then show $no\text{-}step\ cdcl_W\text{-}stgy\ (toS\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ (do\text{-}all\text{-}cdcl_W\text{-}stgy\ Sa)))$
using $a2\ a1$ **by** $presburger$
qed

lemma $do\text{-}all\text{-}cdcl_W\text{-}stgy\text{-}is\text{-}rtranclp\text{-}cdcl_W\text{-}stgy$:
 $cdcl_W\text{-}stgy^{**}\ (toS\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ S))$
 $(toS\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ (do\text{-}all\text{-}cdcl_W\text{-}stgy\ S)))$
proof $(induction\ S\ rule:\ do\text{-}all\text{-}cdcl_W\text{-}stgy\text{-}induct)$
case $(1\ S)$ **note** $IH = this(1)$
show $?case$
proof $(cases\ do\text{-}cdcl_W\text{-}stgy\text{-}step'\ S = S)$
case $True$
then show $?thesis$ **by** $simp$
next
case $False$
have $f2$: $do\text{-}cdcl_W\text{-}stgy\text{-}step\ (id\text{-}of\text{-}I\text{-}to\ S) = id\text{-}of\text{-}I\text{-}to\ S \longrightarrow$
 $rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ (do\text{-}cdcl_W\text{-}stgy\text{-}step'\ S)$
 $= rough\text{-}state\text{-}of\ (state\text{-}of\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ S))$
using $id\text{-}of\text{-}I\text{-}to\text{-}def\ rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ do\text{-}cdcl_W\text{-}stgy\text{-}step'$ **by** $presburger$
have $f3$: $do\text{-}all\text{-}cdcl_W\text{-}stgy\ S = do\text{-}all\text{-}cdcl_W\text{-}stgy\ (do\text{-}cdcl_W\text{-}stgy\text{-}step'\ S)$
by $(metis\ (full\text{-}types)\ do\text{-}all\text{-}cdcl_W\text{-}stgy.simps)$
have $cdcl_W\text{-}stgy\ (toS\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ S))$
 $(toS\ (rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ (do\text{-}cdcl_W\text{-}stgy\text{-}step'\ S)))$
 $= cdcl_W\text{-}stgy\ (toS\ (rough\text{-}state\text{-}of\ (id\text{-}of\text{-}I\text{-}to\ S)))$
 $(toS\ (rough\text{-}state\text{-}of\ (do\text{-}cdcl_W\text{-}stgy\text{-}step\ (id\text{-}of\text{-}I\text{-}to\ S))))$
using $id\text{-}of\text{-}I\text{-}to\text{-}def\ rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ do\text{-}cdcl_W\text{-}stgy\text{-}step'$
 $toS\text{-}rough\text{-}state\text{-}of\text{-}state\text{-}of\text{-}rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of$ **by** $presburger$
then show $?thesis$
using $f3\ f2\ IH\ do\text{-}cdcl_W\text{-}stgy\text{-}step$ **by** $fastforce$
qed
qed

Final theorem:

lemma $DPLL\text{-}tot\text{-}correct$:

assumes
 r : $rough\text{-}state\text{-}from\text{-}init\text{-}state\text{-}of\ (do\text{-}all\text{-}cdcl_W\text{-}stgy\ (state\text{-}from\text{-}init\text{-}state\text{-}of\ (([],\ map\ remdups\ N,\ [],\ 0,\ None)))) = S$ **and**
 S : $(M', N', U', k, E) = toS\ S$
shows $(E \neq Some\ \{\#\} \wedge satisfiable\ (set\ (map\ mset\ N)))$
 $\vee (E = Some\ \{\#\} \wedge unsatisfiable\ (set\ (map\ mset\ N)))$

proof –

let $?N = map\ remdups\ N$
have inv : $cdcl_W\text{-}all\text{-}struct\text{-}inv\ (toS\ ([[],\ map\ remdups\ N,\ [],\ 0,\ None]))$
unfolding $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def\ distinct\text{-}cdcl_W\text{-}state\text{-}def\ distinct\text{-}mset\text{-}set\text{-}def$ **by** $auto$
then have $S0$: $rough\text{-}state\text{-}of\ (state\text{-}of\ ([[],\ map\ remdups\ N,\ [],\ 0,\ None]))$
 $= ([[],\ map\ remdups\ N,\ [],\ 0,\ None)$ **by** $simp$
have 1 : $full\ cdcl_W\text{-}stgy\ (toS\ ([[],\ ?N,\ [],\ 0,\ None]))\ (toS\ S)$
unfolding $full\text{-}def$ **apply** $rule$
using $do\text{-}all\text{-}cdcl_W\text{-}stgy\text{-}is\text{-}rtranclp\text{-}cdcl_W\text{-}stgy[of$

```

state-from-init-state-of ([], map remdups N, [], 0, None)] inv
no-step-cdclW-stgy-cdclW-all
by (auto simp del: do-all-cdclW-stgy.simps simp: state-from-init-state-of-inverse
r[symmetric]) +
moreover have 2: finite (set (map mset ?N)) by auto
moreover have 3: distinct-mset-set (set (map mset ?N))
  unfolding distinct-mset-set-def by auto
moreover
have cdclW-all-struct-inv (toS S)
  by (metis (no-types) cdclW-all-struct-inv-rough-state r
toS-rough-state-of-state-of-rough-state-from-init-state-of)
then have cons: consistent-interp (lits-of M')
  unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def S[symmetric] by auto
moreover
have clss (toS ([], ?N, [], 0, None)) = clss (toS S)
  apply (rule rtrancp-cdclW-init-clss)
  using 1 unfolding full-def by (auto simp add: rtrancp-cdclW-stgy-rtrancp-cdclW)
then have N': mset (map mset ?N) = N'
  using S[symmetric] by auto
have (E ≠ Some {#} ∧ satisfiable (set (map mset ?N)))
  ∨ (E = Some {#} ∧ unsatisfiable (set (map mset ?N)))
  using full-cdclW-stgy-final-state-conclusive unfolding N' apply rule
  using 1 apply simp
  using 2 apply simp
  using 3 apply simp
  using S[symmetric] N' apply auto[1]
  using S[symmetric] N' cons by (fastforce simp: true-annots-true-cls)
then show ?thesis by auto
qed

```

The Code The SML code is skipped in the documentation, but stays to ensure that some version of the exported code is working. The only difference between the generated code and the one used here is the export of the constructor `ConI`.

```

end
theory CDCL-WNOT
imports CDCL-W-Termination CDCL-NOT
begin

```

7 Link between Weidenbach's and NOT's CDCL

7.1 Inclusion of the states

```

declare upt.simps(2)[simp del]
sledgehammer-params[verbose]

```

```

context cdclW
begin

```

```

lemma backtrack-levE:
  backtrack S S' ⇒ cdclW-M-level-inv S ⇒
  (∧ D L K M1 M2.
    (Decided K (Suc (get-maximum-level (trail S) D)) # M1, M2)
    ∈ set (get-all-decided-decomposition (trail S)) ⇒
    get-level (trail S) L = get-maximum-level (trail S) (D + {#L#}) ⇒

```

$undefined\text{-}lit\ M1\ L \implies$
 $S' \sim cons\text{-}trail\ (Propagated\ L\ (D + \{\#L\#\}))$
 $(reduce\text{-}trail\text{-}to\ M1\ (add\text{-}learned\text{-}cls\ (D + \{\#L\#\}))$
 $(update\text{-}backtrack\text{-}lvl\ (get\text{-}maximum\text{-}level\ (trail\ S)\ D)\ (update\text{-}conflicting\ None\ S)))) \implies$
 $backtrack\text{-}lvl\ S = get\text{-}maximum\text{-}level\ (trail\ S)\ (D + \{\#L\#\}) \implies$
 $conflicting\ S = Some\ (D + \{\#L\#\}) \implies P \implies$
 P
using *assms* **by** (*induction rule: backtrack-induction-lev2*) *metis*

lemma *backtrack-no-cdcl_W-bj*:

assumes *cdcl*: *cdcl_W-bj* *T U* **and** *inv*: *cdcl_W-M-level-inv* *V*
shows $\neg backtrack\ V\ T$
using *cdcl inv*
apply (*induction rule: cdcl_W-bj.induct*)
apply (*elim skipE, force elim!: backtrack-levE[OF - inv] simp: cdcl_W-M-level-inv-def*)
apply (*elim resolveE, force elim!: backtrack-levE[OF - inv] simp: cdcl_W-M-level-inv-def*)
apply *standard*
apply (*elim backtrack-levE[OF - inv], elim backtrackE*)
apply (*force simp del: state-simp simp add: state-eq-conflicting cdcl_W-M-level-inv-decomp*)
done

abbreviation *skip-or-resolve* :: $'st \Rightarrow 'st \Rightarrow bool$ **where**

skip-or-resolve $\equiv (\lambda S\ T.\ skip\ S\ T \vee resolve\ S\ T)$

lemma *rtranclp-cdcl_W-bj-skip-or-resolve-backtrack*:

assumes *cdcl_W-bj*** *S U* **and** *inv*: *cdcl_W-M-level-inv* *S*
shows *skip-or-resolve*** *S U* $\vee (\exists T.\ skip\text{-}or\text{-}resolve^{**}\ S\ T \wedge backtrack\ T\ U)$
using *assms*

proof (*induction*)

case *base*

then show *?case* **by** *simp*

next

case (*step* *U V*) **note** *st* = *this*(1) **and** *bj* = *this*(2) **and** *IH* = *this*(3)[*OF this*(4)]

consider

(*SU*) *S* = *U*

| (*SUp*) *cdcl_W-bj⁺⁺* *S U*

using *st* **unfolding** *rtranclp-unfold* **by** *blast*

then show *?case*

proof *cases*

case *SUp*

have $\bigwedge T.\ skip\text{-}or\text{-}resolve^{**}\ S\ T \implies cdcl_W^{**}\ S\ T$

using *mono-rtranclp[of skip-or-resolve cdcl_W]* **other** **by** *blast*

then have *skip-or-resolve*** *S U*

using *bj IH inv backtrack-no-cdcl_W-bj rtranclp-cdcl_W-consistent-inv[OF - inv]* **by** *meson*

then show *?thesis*

using *bj* **by** (*metis (no-types, lifting) cdcl_W-bj.cases rtranclp.simps*)

next

case *SU*

then show *?thesis*

using *bj* **by** (*metis (no-types, lifting) cdcl_W-bj.cases rtranclp.simps*)

qed

qed

lemma *rtranclp-skip-or-resolve-rtranclp-cdcl_W*:

*skip-or-resolve*** $S\ T \implies \text{cdcl}_W^{**}\ S\ T$
by (induction rule: *rtranclp-induct*) (auto dest!: *cdcl_W-bj.intros cdcl_W.intros cdcl_W-o.intros*)

definition *backjump-l-cond* :: '*v clause* \Rightarrow '*v clause* \Rightarrow '*v literal* \Rightarrow '*st* \Rightarrow *bool* **where**
backjump-l-cond $\equiv \lambda C\ C'\ L'\ S.\ \text{True}$

definition *inv_{NOT}* :: '*st* \Rightarrow *bool* **where**
inv_{NOT} $\equiv \lambda S.\ \text{no-dup}\ (\text{trail}\ S)$

declare *inv_{NOT}-def*[*simp*]
end

fun *convert-ann-literal-from-W* **where**
convert-ann-literal-from-W (*Propagated L* -) = *Propagated L* () |
convert-ann-literal-from-W (*Decided L* -) = *Decided L* ()

abbreviation *convert-trail-from-W* ::
 ('*v*, '*vl*, '*a*) *ann-literal list*
 \Rightarrow ('*v*, *unit*, *unit*) *ann-literal list* **where**
convert-trail-from-W $\equiv \text{map}\ \text{convert-ann-literal-from-W}$

lemma *lits-of-convert-trail-from-W*[*simp*]:
lits-of (*convert-trail-from-W M*) = *lits-of M*
by (induction rule: *ann-literal-list-induct*) *simp-all*

lemma *lit-of-convert-trail-from-W*[*simp*]:
lit-of (*convert-ann-literal-from-W L*) = *lit-of L*
by (*cases L*) *auto*

lemma *no-dup-convert-from-W*[*simp*]:
no-dup (*convert-trail-from-W M*) \longleftrightarrow *no-dup M*
by (*auto simp: comp-def*)

lemma *convert-trail-from-W-true-annots*[*simp*]:
convert-trail-from-W M $\models_{\text{as}} C \longleftrightarrow M \models_{\text{as}} C$
by (*auto simp: true-annots-true-cls*)

lemma *defined-lit-convert-trail-from-W*[*simp*]:
defined-lit (*convert-trail-from-W S*) *L* \longleftrightarrow *defined-lit S L*
by (*auto simp: defined-lit-map image-comp*)

The values 0 and {#} are dummy values.

fun *convert-ann-literal-from-NOT*
 :: ('*a*, '*e*, '*b*) *ann-literal* \Rightarrow ('*a*, *nat*, '*a literal multiset*) *ann-literal* **where**
convert-ann-literal-from-NOT (*Propagated L* -) = *Propagated L* {#} |
convert-ann-literal-from-NOT (*Decided L* -) = *Decided L* 0

abbreviation *convert-trail-from-NOT* **where**
convert-trail-from-NOT $\equiv \text{map}\ \text{convert-ann-literal-from-NOT}$

lemma *undefined-lit-convert-trail-from-NOT*[*simp*]:
undefined-lit (*convert-trail-from-NOT F*) *L* \longleftrightarrow *undefined-lit F L*
by (induction *F* rule: *ann-literal-list-induct*) (*auto simp: defined-lit-map*)

lemma *lits-of-convert-trail-from-NOT*:

lits-of (*convert-trail-from-NOT* *F*) = *lits-of* *F*
by (*induction* *F* *rule*: *ann-literal-list-induct*) *auto*

lemma *convert-trail-from-W-from-NOT*[*simp*]:
convert-trail-from-W (*convert-trail-from-NOT* *M*) = *M*
by (*induction* *rule*: *ann-literal-list-induct*) *auto*

lemma *convert-trail-from-W-convert-lit-from-NOT*[*simp*]:
convert-ann-literal-from-W (*convert-ann-literal-from-NOT* *L*) = *L*
by (*cases* *L*) *auto*

abbreviation *trail_{NOT}* **where**
trail_{NOT} *S* \equiv *convert-trail-from-W* (*fst* *S*)

lemma *undefined-lit-convert-trail-from-W*[*iff*]:
undefined-lit (*convert-trail-from-W* *M*) *L* \longleftrightarrow *undefined-lit* *M* *L*
by (*auto simp*: *defined-lit-map image-comp*)

lemma *lit-of-convert-ann-literal-from-NOT*[*iff*]:
lit-of (*convert-ann-literal-from-NOT* *L*) = *lit-of* *L*
by (*cases* *L*) *auto*

sublocale *state_W* \subseteq *dpll-state*
 $\lambda S.$ *convert-trail-from-W* (*trail* *S*)
clauses
 λL *S.* *cons-trail* (*convert-ann-literal-from-NOT* *L*) *S*
 $\lambda S.$ *tl-trail* *S*
 λC *S.* *add-learned-cls* *C* *S*
 λC *S.* *remove-cls* *C* *S*
by *unfold-locales* (*auto simp*: *map-tl o-def*)

context *state_W*
begin
declare *state-simp_{NOT}*[*simp del*]
end

sublocale *cdcl_W* \subseteq *cdcl_{NOT}-merge-bj-learn-ops*
 $\lambda S.$ *convert-trail-from-W* (*trail* *S*)
clauses
 λL *S.* *cons-trail* (*convert-ann-literal-from-NOT* *L*) *S*
 $\lambda S.$ *tl-trail* *S*
 λC *S.* *add-learned-cls* *C* *S*
 λC *S.* *remove-cls* *C* *S*
 $\lambda -.$ *True*
 $\lambda -$ *S.* *conflicting* *S* = *None*
 λC *C'* *L'* *S.* *backjump-l-cond* *C* *C'* *L'* *S* \wedge *distinct-mset* (*C'* + {*#L'#*}) \wedge \neg *tautology* (*C'* + {*#L'#*})
by *unfold-locales*

sublocale *cdcl_W* \subseteq *cdcl_{NOT}-merge-bj-learn-proxy*
 $\lambda S.$ *convert-trail-from-W* (*trail* *S*)
clauses
 λL *S.* *cons-trail* (*convert-ann-literal-from-NOT* *L*) *S*
 $\lambda S.$ *tl-trail* *S*
 λC *S.* *add-learned-cls* *C* *S*
 λC *S.* *remove-cls* *C* *S*

```

λ- -. True
λ- S. conflicting S = None backjump-l-cond invNOT
proof (unfold-locals, goal-cases)
  case 2
  then show ?case using cdclNOT-merged-bj-learn-no-dup-inv by (auto simp: comp-def)
next
case (1 C' S C F' K F L)
moreover
  let ?C' = remdups-mset C'
  have L ∉ # C'
    using ⟨F ⊨as CNot C'⟩ ⟨undefined-lit F L⟩ Decided-Propagated-in-iff-in-lits-of
    in-CNot-implies-uminus(2) by blast
  then have distinct-mset (?C' + {#L#})
    by (metis count-mset-set(3) distinct-mset-remdups-mset distinct-mset-single-add
    less-irrefl-nat mem-set-mset-iff remdups-mset-def)
moreover
  have no-dup F
    using ⟨invNOT S⟩ ⟨convert-trail-from-W (trail S) = F' @ Decided K () # F⟩
    unfolding invNOT-def
    by (smt comp-apply distinct.simps(2) distinct-append list.simps(9) map-append
    no-dup-convert-from-W)
  then have consistent-interp (lits-of F)
    using distinctconsistent-interp by blast
  then have ¬ tautology (C')
    using ⟨F ⊨as CNot C'⟩ consistent-CNot-not-tautology true-annots-true-cls by blast
  then have ¬ tautology (?C' + {#L#})
    using ⟨F ⊨as CNot C'⟩ ⟨undefined-lit F L⟩ by (metis CNot-remdups-mset
    Decided-Propagated-in-iff-in-lits-of add commute in-CNot-uminus tautology-add-single
    tautology-remdups-mset true-annot-singleton true-annots-def)
show ?case
proof -
  have f2: no-dup (convert-trail-from-W (trail S))
    using ⟨invNOT S⟩ unfolding invNOT-def by (simp add: o-def)
  have f3: atm-of L ∈ atms-of-msu (clauses S)
    ∪ atm-of ' lits-of (convert-trail-from-W (trail S))
    using ⟨convert-trail-from-W (trail S) = F' @ Decided K () # F⟩
    ⟨atm-of L ∈ atms-of-msu (clauses S) ∪ atm-of ' lits-of (F' @ Decided K () # F)⟩ by auto
  have f4: clauses S ⊨pm remdups-mset C' + {#L#}
    by (metis (no-types) ⟨L ∉ # C'⟩ ⟨clauses S ⊨pm C' + {#L#}⟩ remdups-mset-singleton-sum(2)
    true-clss-cls-remdups-mset union-commute)
  have F ⊨as CNot (remdups-mset C')
    by (simp add: ⟨F ⊨as CNot C'⟩)
  then show ?thesis
    using f4 f3 f2 ⟨¬ tautology (remdups-mset C' + {#L#})⟩
    backjump-l.intros[OF - f2] calculation(2-5,9)
    state-eqNOT-ref unfolding backjump-l-cond-def by blast
qed
qed

sublocale cdclW ⊆ cdclNOT-merge-bj-learn-proxy2
λS. convert-trail-from-W (trail S)
clauses
λL S. cons-trail (convert-ann-literal-from-NOT L) S
λS. tl-trail S
λC S. add-learned-cls C S

```

$\lambda C S. \text{remove-cls } C S \lambda - -. \text{True } \text{inv}_{NOT}$
 $\lambda - S. \text{conflicting } S = \text{None } \text{backjump-l-cond}$
by *unfold-locales*

sublocale $\text{cdcl}_W \subseteq \text{cdcl}_{NOT}\text{-merge-bj-learn}$
 $\lambda S. \text{convert-trail-from-}W \text{ (trail } S)$
clauses
 $\lambda L S. \text{cons-trail (convert-ann-literal-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S \lambda - -. \text{True } \text{inv}_{NOT}$
 $\lambda - S. \text{conflicting } S = \text{None } \text{backjump-l-cond}$
apply *unfold-locales*
using *dpll-bj-no-dup* **apply** (*simp add: comp-def*)
using *cdcl_{NOT}-no-dup* **by** (*auto simp add: comp-def cdcl_{NOT}.simps*)

context cdcl_W
begin

Notations are lost while proving locale inclusion:

notation *state-eq_{NOT}* (**infix** \sim_{NOT} 50)

7.2 Additional Lemmas between NOT and W states

lemma *trail_W-eq-reduce-trail-to_{NOT}-eq*:
 $\text{trail } S = \text{trail } T \implies \text{trail (reduce-trail-to}_{NOT} F S) = \text{trail (reduce-trail-to}_{NOT} F T)$
proof (*induction F S arbitrary: T rule: reduce-trail-to_{NOT}.induct*)
case ($1 F S T$) **note** $IH = \text{this}(1)$ **and** $tr = \text{this}(2)$
then have $\square = \text{convert-trail-from-}W \text{ (trail } S)$
 $\vee \text{length } F = \text{length (convert-trail-from-}W \text{ (trail } S))$
 $\vee \text{trail (reduce-trail-to}_{NOT} F (\text{tl-trail } S)) = \text{trail (reduce-trail-to}_{NOT} F (\text{tl-trail } T))$
using IH **by** (*metis (no-types) trail-tl-trail*)
then show $\text{trail (reduce-trail-to}_{NOT} F S) = \text{trail (reduce-trail-to}_{NOT} F T)$
using tr **by** (*metis (no-types) reduce-trail-to_{NOT}.elim*)
qed

lemma *trail-reduce-trail-to_{NOT}-add-learned-cls*:
 $\text{no-dup (trail } S) \implies$
 $\text{trail (reduce-trail-to}_{NOT} M (\text{add-learned-cls } D S)) = \text{trail (reduce-trail-to}_{NOT} M S)$
by (*rule trail_W-eq-reduce-trail-to_{NOT}-eq simp*)

lemma *reduce-trail-to_{NOT}-reduce-trail-convert*:
 $\text{reduce-trail-to}_{NOT} C S = \text{reduce-trail-to (convert-trail-from-NOT } C) S$
apply (*induction C S rule: reduce-trail-to_{NOT}.induct*)
apply (*subst reduce-trail-to_{NOT}.simps, subst reduce-trail-to.simps*)
by *auto*

lemma *reduce-trail-to-length*:
 $\text{length } M = \text{length } M' \implies \text{reduce-trail-to } M S = \text{reduce-trail-to } M' S$
apply (*induction M S arbitrary: rule: reduce-trail-to.induct*)
apply (*rename-tac F S; case-tac trail S $\neq \square$; case-tac length (trail S) $\neq \text{length } M'$*)
by (*simp-all add: reduce-trail-to-length-ne*)

7.3 More lemmas conflict-propagate and backjumping

7.3.1 Termination

lemma *cdcl_W-cp-normalized-element-all-inv*:
assumes *inv*: *cdcl_W-all-struct-inv S*
obtains *T* **where** *full cdcl_W-cp S T*
using *assms cdcl_W-cp-normalized-element unfolding cdcl_W-all-struct-inv-def* **by** *blast*
thm *backtrackE*

lemma *cdcl_W-bj-measure*:
assumes *cdcl_W-bj S T* **and** *cdcl_W-M-level-inv S*
shows *length (trail S) + (if conflicting S = None then 0 else 1)*
> length (trail T) + (if conflicting T = None then 0 else 1)
using *assms* **by** (*induction rule: cdcl_W-bj.induct*)
(force dest:arg-cong[of - - length]
intro: get-all-decided-decomposition-exists-prepend
elim!: backtrack-levE
simp: cdcl_W-M-level-inv-def)+

lemma *wf-cdcl_W-bj*:
wf {(b,a). cdcl_W-bj a b ∧ cdcl_W-M-level-inv a}
apply (*rule wfP-if-measure[of λ-. True*
- λT. length (trail T) + (if conflicting T = None then 0 else 1), simplified])
using *cdcl_W-bj-measure* **by** *blast*

lemma *cdcl_W-bj-exists-normal-form*:

assumes *lev: cdcl_W-M-level-inv S*
shows $\exists T. \text{full } cdcl_W\text{-bj } S \ T$

proof –

obtain *T* **where** *T: full (λa b. cdcl_W-bj a b ∧ cdcl_W-M-level-inv a) S T*
using *wf-exists-normal-form-full[OF wf-cdcl_W-bj]* **by** *auto*
then have *cdcl_W-bj** S T*
by (*auto dest: rtrancpl-and-rtrancpl-left simp: full-def*)

moreover

then have *cdcl_W** S T*
using *mono-rtrancpl[of cdcl_W-bj cdcl_W] cdcl_W.simps* **by** *blast*
then have *cdcl_W-M-level-inv T*
using *rtrancpl-cdcl_W-consistent-inv lev* **by** *auto*
ultimately show *?thesis* **using** *T unfolding full-def* **by** *auto*

qed

lemma *rtrancpl-skip-state-decomp*:

assumes *skip** S T* **and** *no-dup (trail S)*
shows
 $\exists M. \text{trail } S = M @ \text{trail } T \wedge (\forall m \in \text{set } M. \neg \text{is-decided } m)$ **and**
T ~ delete-trail-and-rebuild (trail T) S
using *assms* **by** (*induction rule: rtrancpl-induct*)
(auto simp del: state-simp simp: state-eq-def state-access-simp)

7.3.2 More backjumping

Backjumping after skipping or jump directly **lemma** *rtrancpl-skip-backtrack-backtrack*:

assumes
*skip** S T* **and**
backtrack T W **and**


```

    cdclW-all-struct-inv S
  shows backtrack S W
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step T V) note st = this(1) and skip = this(2) and IH = this(3) and bt = this(4) and
    inv = this(5)
  have skip** S V
    using st skip by auto
  then have cdclW-all-struct-inv V
    using rtrancp-mono[of skip cdclW] assms(3) rtrancp-cdclW-all-struct-inv-inv mono-rtrancp
    by (auto dest!: bj other cdclW-bj.skip)
  then have cdclW-M-level-inv V
    unfolding cdclW-all-struct-inv-def by auto
  then obtain N k M1 M2 K D L U i where
    V: state V = (trail V, N, U, k, Some (D + {#L#})) and
    W: state W = (Propagated L (D + {#L#}) # M1, N, {#D + {#L#}#} + U,
      get-maximum-level (trail V) D, None) and
    decomp: (Decided K (Suc i) # M1, M2)
      ∈ set (get-all-decided-decomposition (trail V)) and
    k = get-maximum-level (trail V) (D + {#L#}) and
    lev-L: get-level (trail V) L = k and
    undef: undefined-lit M1 L and
    W ~ cons-trail (Propagated L (D + {#L#}))
      (reduce-trail-to M1 (add-learned-cls (D + {#L#}))
        (update-backtrack-lvl (get-maximum-level (trail V) D) (update-conflicting None V))) and
    lev-l-D: backtrack-lvl V = get-maximum-level (trail V) (D + {#L#}) and
    conflicting V = Some (D + {#L#}) and
    i: i = get-maximum-level (trail V) D
    using bt by (elim backtrack-levE)
    (auto simp: cdclW-M-level-inv-decomp state-eq-def simp del: state-simp)+
  let ?D = (D + {#L#})
  obtain L' C' where
    T: state T = (Propagated L' C' # trail V, N, U, k, Some ?D) and
    V ~ tl-trail T and
    -L' ∉ # ?D and
    ?D ≠ {#}
    using skip V by force

  let ?M = Propagated L' C' # trail V
  have cdclW** S T using bj cdclW-bj.skip mono-rtrancp[of skip cdclW S T] other st by meson
  then have inv': cdclW-all-struct-inv T
    using rtrancp-cdclW-all-struct-inv-inv inv by blast
  have M-lev: cdclW-M-level-inv T using inv' unfolding cdclW-all-struct-inv-def by auto
  then have n-d': no-dup ?M
    using T unfolding cdclW-M-level-inv-def by auto

  have k > 0
    using decomp M-lev T V unfolding cdclW-M-level-inv-def by auto
  then have atm-of L ∈ atm-of ' lits-of (trail V)
    using lev-L get-rev-level-ge-0-atm-of-in V by fastforce
  then have L-L': atm-of L ≠ atm-of L'
    using n-d' unfolding lits-of-def by auto

```

```

have L'-M: atm-of L'  $\notin$  atm-of ' lits-of (trail V)
  using n-d' unfolding lits-of-def by auto
have ?M  $\models_{as}$  CNot ?D
  using inv' T unfolding cdclW-conflicting-def cdclW-all-struct-inv-def by auto
then have L'  $\notin$  # ?D
  using L-L' L'-M unfolding true-annots-def by (auto simp add: true-annot-def true-cls-def
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set Ball-mset-def
    split: split-if-asm)
have [simp]: trail (reduce-trail-to M1 T) = M1
  by (metis (mono-tags, lifting) One-nat-def Pair-inject T  $\langle$  V  $\sim$  tl-trail T  $\rangle$  decomp
    diff-less in-get-all-decided-decomposition-trail-update-trail length-greater-0-conv
    length-tl lessI list.distinct(1) reduce-trail-to-length-ne state-eq-trail
    trail-reduce-trail-to-length-le trail-tl-trail)
have skip** S V
  using st skip by auto
have no-dup (trail S)
  using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
then have [simp]: init-cls S = N and [simp]: learned-cls S = U
  using rtracp-skip-state-decomp[OF  $\langle$  skip** S V  $\rangle$ ] V
  by (auto simp del: state-simp simp: state-eq-def state-access-simp)
then have W-S: W  $\sim$  cons-trail (Propagated L (D + {#L#})) (reduce-trail-to M1
  (add-learned-cls (D + {#L#}) (update-backtrack-lvl i (update-conflicting None T))))
  using W i T undef M-lev by (auto simp del: state-simp simp: state-eq-def cdclW-M-level-inv-def)

obtain M2' where
  (Decided K (i+1) # M1, M2')  $\in$  set (get-all-decided-decomposition ?M)
  using decomp V by (cases hd (get-all-decided-decomposition (trail V)),
    cases get-all-decided-decomposition (trail V)) auto
moreover
  from L-L' have get-level ?M L = k
    using lev-L  $\langle$  L'  $\notin$  # ?D  $\rangle$  V by (auto split: split-if-asm)
moreover
  have atm-of L'  $\notin$  atms-of D
    using  $\langle$  L'  $\notin$  # ?D  $\rangle$   $\langle$  L'  $\notin$  # ?D  $\rangle$  by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
      atms-of-def)
  then have get-level ?M L = get-maximum-level ?M (D + {#L#})
    using lev-l-D[symmetric] L-L' V lev-L by simp
moreover have i = get-maximum-level ?M D
  using i  $\langle$  atm-of L'  $\notin$  atms-of D  $\rangle$  by auto
moreover

ultimately have backtrack T W
  using T(1) W-S by blast
then show ?thesis using IH inv by blast
qed

```

```

lemma fst-get-all-decided-decomposition-prepend-not-decided:
  assumes  $\forall m \in \text{set } MS. \neg \text{is-decided } m$ 
  shows set (map fst (get-all-decided-decomposition M))
    = set (map fst (get-all-decided-decomposition (MS @ M)))
  using assms apply (induction MS rule: ann-literal-list-induct)
  apply auto[2]
  by (rename-tac L m xs; case-tac get-all-decided-decomposition (xs @ M)) simp-all

```

See also $\llbracket \text{skip}^{**} ?S ?T; \text{backtrack} ?T ?W; \text{cdcl}_W\text{-all-struct-inv} ?S \rrbracket \implies \text{backtrack} ?S ?W$

lemma *rtrancp-skip-backtrack-backtrack-end*:

assumes

skip: *skip*** *S T* **and**

bt: *backtrack S W* **and**

inv: *cdcl_W-all-struct-inv S*

shows *backtrack T W*

using *assms*

proof –

have *M-lev*: *cdcl_W-M-level-inv S*

using *bt inv unfolding cdcl_W-all-struct-inv-def* **by** (*auto elim!*: *backtrack-levE*)

then obtain *k M M1 M2 K i D L N U* **where**

S: *state S = (M, N, U, k, Some (D + {#L#}))* **and**

W: *state W = (Propagated L (D + {#L#}) # M1, N, {#D + {#L#}#} + U, get-maximum-level*

M D,

None) **and**

decomp: (*Decided K (i+1) # M1, M2*) ∈ *set (get-all-decided-decomposition M)* **and**

lev-l: *get-level M L = k* **and**

lev-l-D: *get-level M L = get-maximum-level M (D + {#L#})* **and**

i: *i = get-maximum-level M D* **and**

undef: *undefined-lit M1 L*

using *bt by (elim backtrack-levE)*

(*simp-all add: cdcl_W-M-level-inv-decomp state-eq-def del: state-simp*)

let *?D = (D + {#L#})*

have [*simp*]: *no-dup (trail S)*

using *M-lev by (auto simp: cdcl_W-M-level-inv-decomp)*

have *cdcl_W-all-struct-inv T*

using *mono-rtrancp[of skip cdcl_W]* **by** (*smt bj cdcl_W-bj.skip inv local.skip other*
rtrancp-cdcl_W-all-struct-inv-inv)

then have [*simp*]: *no-dup (trail T)*

unfolding *cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*

obtain *MS M_T* **where** *M*: *M = MS @ M_T* **and** *M_T*: *M_T = trail T* **and** *nm*: $\forall m \in \text{set } MS. \neg \text{is-decided}$

m

using *rtrancp-skip-state-decomp(1)[OF skip] S M-lev* **by** *auto*

have *T*: *state T = (M_T, N, U, k, Some ?D)*

using *M_T rtrancp-skip-state-decomp(2)[of S T] skip S*

by (*auto simp del: state-simp simp: state-eq-def state-access-simp*)

have *cdcl_W-all-struct-inv T*

apply (*rule rtrancp-cdcl_W-all-struct-inv-inv[OF - inv]*)

using *bj cdcl_W-bj.skip local.skip other rtrancp-mono[of skip cdcl_W]* **by** *blast*

then have *M_T ⊨_{as} CNot ?D*

unfolding *cdcl_W-all-struct-inv-def cdcl_W-conflicting-def* **using** *T* **by** *blast*

have $\forall L \in \#?D. \text{atm-of } L \in \text{atm-of 'lits-of } M_T$

proof –

have *f1*: $\bigwedge l. \neg M_T \models_a \{ \# - l \# \} \vee \text{atm-of } l \in \text{atm-of 'lits-of } M_T$

by (*simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-lit-of-true-annot*
lits-of-def)

have $\bigwedge l. l \notin \# D \vee - l \in \text{lits-of } M_T$

using $\langle M_T \models_{as} CNot (D + \{ \# L \# \}) \rangle$ *multi-member-split* **by** *fastforce*

then show *?thesis*

using *f1 by (meson ⟨M_T ⊨_{as} CNot (D + {#L#})⟩ ball-msetI true-annots-CNot-all-atms-defined)*

qed

moreover have *no-dup M*

```

    using inv S unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
ultimately have  $\forall L \in \#?D. \text{atm-of } L \notin \text{atm-of ' lits-of } MS$ 
    unfolding M unfolding lits-of-def by auto
then have  $H: \bigwedge L. L \in \#?D \implies \text{get-level } M L = \text{get-level } M_T L$ 
    unfolding M by (fastforce simp: lits-of-def)
have [simp]:  $\text{get-maximum-level } M ?D = \text{get-maximum-level } M_T ?D$ 
    by (metis  $\langle M_T \models_{as} CNot (D + \{\#L\#\}) \rangle M \text{ nm ball-msetI true-annots-CNot-all-atms-defined}$ 
        get-maximum-level-skip-un-decided-not-present)

have lev-l':  $\text{get-level } M_T L = k$ 
    using lev-l by (auto simp: H)
have [simp]:  $\text{trail } (\text{reduce-trail-to } M1 T) = M1$ 
    using T decomp M nm by (smt  $M_T$  append-assoc beginning-not-decided-invert
        get-all-decided-decomposition-exists-prepend reduce-trail-to-trail-tl-trail-decomp)
have W:  $W \sim \text{cons-trail } (\text{Propagated } L (D + \{\#L\#\})) (\text{reduce-trail-to } M1$ 
     $(\text{add-learned-cls } (D + \{\#L\#\}) (\text{update-backtrack-lvl } i (\text{update-conflicting None } T))))$ 
    using W T i decomp undef by (auto simp del: state-simp simp: state-eq-def)

have lev-l-D':  $\text{get-level } M_T L = \text{get-maximum-level } M_T (D + \{\#L\#\})$ 
    using lev-l-D by (auto simp: H)
have [simp]:  $\text{get-maximum-level } M D = \text{get-maximum-level } M_T D$ 
proof -
    have  $\bigwedge ms m. \neg (ms::('v, nat, 'v \text{ literal multiset}) \text{ ann-literal list}) \models_{as} CNot m$ 
         $\vee (\forall l \in \#m. \text{atm-of } l \in \text{atm-of ' lits-of } ms)$ 
    by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-CNot-implies-uminus(2))
    then have  $\forall l \in \#D. \text{atm-of } l \in \text{atm-of ' lits-of } M_T$ 
        using  $\langle M_T \models_{as} CNot (D + \{\#L\#\}) \rangle$  by auto
    then show ?thesis
        by (metis M get-maximum-level-skip-un-decided-not-present nm)
qed
then have i':  $i = \text{get-maximum-level } M_T D$ 
    using i by auto
have Decided  $K (i + 1) \# M1 \in \text{set } (\text{map fst } (\text{get-all-decided-decomposition } M))$ 
    using Set.imageI[OF decomp, of fst] by auto
then have Decided  $K (i + 1) \# M1 \in \text{set } (\text{map fst } (\text{get-all-decided-decomposition } M_T))$ 
    using fst-get-all-decided-decomposition-prepend-not-decided[OF nm] unfolding M by auto
then obtain  $M2'$  where  $\text{decomp': } (Decided K (i+1) \# M1, M2') \in \text{set } (\text{get-all-decided-decomposition } M_T)$ 
    by auto
then show backtrack T W
    using backtrack.intros[OF T decomp' lev-l'] lev-l-D' i' W by force
qed

lemma cdclW-bj-decomp-resolve-skip-and-bj:
    assumes cdclW-bj** S T and inv: cdclW-M-level-inv S
    shows (skip-or-resolve** S T
         $\vee (\exists U. \text{skip-or-resolve** } S U \wedge \text{backtrack } U T))$ 
    using assms
proof induction
    case base
    then show ?case by simp
next
    case (step T U) note st = this(1) and bj = this(2) and IH = this(3)
    have IH: skip-or-resolve** S T
    proof -

```

```

{ assume ( $\exists U. \text{skip-or-resolve}^{**} S U \wedge \text{backtrack } U T$ )
  then obtain  $V$  where
     $bt: \text{backtrack } V T$  and
     $\text{skip-or-resolve}^{**} S V$ 
    by blast
  have  $cdcl_W^{**} S V$ 
    using  $\langle \text{skip-or-resolve}^{**} S V \rangle \text{rtrancp-skip-or-resolve-rtrancp-cdcl}_W$  by blast
  then have  $cdcl_W\text{-}M\text{-level-inv } V$  and  $cdcl_W\text{-}M\text{-level-inv } S$ 
    using  $\text{rtrancp-cdcl}_W\text{-consistent-inv inv}$  by blast+
  with  $bj\ bt$  have False using  $\text{backtrack-no-cdcl}_W\text{-bj}$  by simp
}
then show ?thesis using  $IH\ inv$  by blast
qed
show ?case
using  $bj$ 
proof (cases rule:  $cdcl_W\text{-bj.cases}$ )
  case backtrack
    then show ?thesis using  $IH$  by blast
  qed (metis (no-types, lifting)  $IH\ \text{rtrancp.simps}$ ) +
qed

lemma resolve-skip-deterministic:
   $\text{resolve } S\ T \implies \text{skip } S\ U \implies \text{False}$ 
  by fastforce

lemma backtrack-unique:
  assumes
     $bt\text{-}T: \text{backtrack } S\ T$  and
     $bt\text{-}U: \text{backtrack } S\ U$  and
     $inv: cdcl_W\text{-all-struct-inv } S$ 
  shows  $T \sim U$ 
proof -
  have  $lev: cdcl_W\text{-}M\text{-level-inv } S$ 
    using  $inv$  unfolding  $cdcl_W\text{-all-struct-inv-def}$  by auto
  then obtain  $M\ N\ U'\ k\ D\ L\ i\ K\ M1\ M2$  where
     $S: \text{state } S = (M, N, U', k, \text{Some } (D + \{\#L\#}))$  and
     $decomp: (\text{Decided } K\ (i+1) \# M1, M2) \in \text{set } (\text{get-all-decided-decomposition } M)$  and
     $\text{get-level } M\ L = k$  and
     $\text{get-level } M\ L = \text{get-maximum-level } M\ (D + \{\#L\#})$  and
     $\text{get-maximum-level } M\ D = i$  and
     $T: \text{state } T = (\text{Propagated } L\ (D + \{\#L\#})) \# M1, N, \{\#D + \{\#L\#\}\# \} + U', i, \text{None})$  and
     $undef: \text{undefined-lit } M1\ L$ 
  using  $bt\text{-}T$  by (elim  $\text{backtrack-levE}$ )
  (force  $\text{simp: } cdcl_W\text{-}M\text{-level-inv-def state-eq-def simp del: state-simp}$ ) +

  obtain  $D'\ L'\ i'\ K'\ M1'\ M2'$  where
     $S': \text{state } S = (M, N, U', k, \text{Some } (D' + \{\#L'\#}))$  and
     $decomp': (\text{Decided } K'\ (i'+1) \# M1', M2') \in \text{set } (\text{get-all-decided-decomposition } M)$  and
     $\text{get-level } M\ L' = k$  and
     $\text{get-level } M\ L' = \text{get-maximum-level } M\ (D' + \{\#L'\#})$  and
     $\text{get-maximum-level } M\ D' = i'$  and
     $U: \text{state } U = (\text{Propagated } L'\ (D' + \{\#L'\#})) \# M1', N, \{\#D' + \{\#L'\#\}\# \} + U', i', \text{None})$  and
     $undef: \text{undefined-lit } M1'\ L'$ 
  using  $bt\text{-}U\ lev\ S$  by (elim  $\text{backtrack-levE}$ )
  (force  $\text{simp: } cdcl_W\text{-}M\text{-level-inv-def state-eq-def simp del: state-simp}$ ) +

```

```

obtain  $c$  where  $M: M = c @ M2 @ Decided K (i + 1) \# M1$ 
  using decomp by auto
obtain  $c'$  where  $M': M = c' @ M2' @ Decided K' (i' + 1) \# M1'$ 
  using decomp' by auto
have decided: get-all-levels-of-decided  $M = rev [1..<1+k]$ 
  using inv S unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
then have  $i < k$ 
  unfolding  $M$ 
  by (force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set])

have [simp]:  $L = L'$ 
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  then have  $L' \in \# D$ 
    using  $S$  unfolding  $S'$  by (fastforce simp: multiset-eq-iff split: split-if-asm)
  then have get-maximum-level  $M D \geq k$ 
    using  $\langle get-level M L' = k \rangle$  get-maximum-level-ge-get-level by blast
  then show False using  $\langle get-maximum-level M D = i \rangle \langle i < k \rangle$  by auto
qed
then have [simp]:  $D = D'$ 
  using  $S S'$  by auto
have [simp]:  $i=i'$  using  $\langle get-maximum-level M D' = i' \rangle \langle get-maximum-level M D = i \rangle$  by auto

```

Automation in a step later...

```

have  $H: \bigwedge a A B. insert a A = B \implies a : B$ 
  by blast
have get-all-levels-of-decided  $(c @ M2) = rev [i+2..<1+k]$  and
  get-all-levels-of-decided  $(c' @ M2') = rev [i+2..<1+k]$ 
  using decided unfolding M
  using decided unfolding M'
  unfolding rev-swap[symmetric] by (auto dest: append-cons-eq-upt-length-i-end)
from arg-cong[OF this(1), of set] arg-cong[OF this(2), of set]
have
  dropWhile  $(\lambda L. \neg is-decided L \vee level-of L \neq Suc i) (c @ M2) = []$  and
  dropWhile  $(\lambda L. \neg is-decided L \vee level-of L \neq Suc i) (c' @ M2') = []$ 
  unfolding dropWhile-eq-Nil-conv Ball-def
  by (intro allI; rename-tac x; case-tac x; auto dest!: H simp add: in-set-conv-decomp) +

then have  $M1 = M1'$ 
  using arg-cong[OF M, of dropWhile  $(\lambda L. \neg is-decided L \vee level-of L \neq Suc i)$ 
  unfolding  $M'$  by auto
then show ?thesis using  $T U$  by (auto simp del: state-simp simp: state-eq-def)
qed

```

lemma *if-can-apply-backtrack-no-more-resolve:*

```

assumes
  skip: skip** S U and
  bt: backtrack S T and
  inv: cdclW-all-struct-inv S
shows  $\neg resolve U V$ 
proof (rule ccontr)
  assume resolve:  $\neg \neg resolve U V$ 

```

```

obtain  $L C M N U' k D$  where
   $U: state U = (Propagated L ( (C + \{\#L\# \})) \# M, N, U', k, Some (D + \{\#-L\# \}))$  and

```

get-maximum-level (*Propagated* $L (C + \{\#L\#\}) \# M$) $D = k$ and
state $V = (M, N, U', k, \text{Some } (D \# \cup C))$
using *resolve* **by** *auto*
have *cdcl_W-all-struct-inv* U
using *mono-rtrancpl*[*of skip cdcl_W*] **by** (*meson* *bj cdcl_W-bj.skip inv local.skip other*
rtrancpl-cdcl_W-all-struct-inv-inv)
then have [*iff*]: *no-dup* (*trail* S) *cdcl_W-M-level-inv* S and [*iff*]: *no-dup* (*trail* U)
using *inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *blast+*
then have
S: init-clss $S = N$
learned-clss $S = U'$
backtrack-lvl $S = k$
conflicting $S = \text{Some } (D + \{\#-L\#\})$
using *rtrancpl-skip-state-decomp*(2)[*OF skip*] U
by (*auto simp del: state-simp simp: state-eq-def state-access-simp*)
obtain M_0 **where**
tr-S: trail $S = M_0 @ \text{trail } U$ and
nm: $\forall m \in \text{set } M_0. \neg \text{is-decided } m$
using *rtrancpl-skip-state-decomp*[*OF skip*] **by** *blast*

obtain $M' D' L' i K M1 M2$ **where**
S': state $S = (M', N, U', k, \text{Some } (D' + \{\#L'\#\}))$ and
decomp: (Decided $K (i+1) \# M1, M2) \in \text{set } (\text{get-all-decided-decomposition } M')$ and
get-level $M' L' = k$ and
get-level $M' L' = \text{get-maximum-level } M' (D' + \{\#L'\#\})$ and
get-maximum-level $M' D' = i$ and
undef: undefined-lit $M1 L'$ and
T: state $T = (\text{Propagated } L' (D' + \{\#L'\#\}) \# M1, N, \{\#D' + \{\#L'\#\}\# + U', i, \text{None})$
using *bt* **by** (*elim backtrack-levE*) (*fastforce simp: S state-eq-def simp del: state-simp*) +
obtain c **where** $M: M' = c @ M2 @ \text{Decided } K (i + 1) \# M1$
using *get-all-decided-decomposition-exists-prepend*[*OF decomp*] **by** *auto*
have *decided: get-all-levels-of-decided* $M' = \text{rev } [1..<1+k]$
using *inv S' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*
then have $i < k$
unfolding M **by** (*force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set]*)

have $DD': D' + \{\#L'\#\} = D + \{\#-L\#\}$
using $S S'$ **by** *auto*
have [*simp*]: $L' = -L$
proof (*rule ccontr*)
assume $\neg ?thesis$
then have $-L \in \# D'$
using DD' **by** (*metis add-diff-cancel-right' diff-single-trivial diff-union-swap*
multi-self-add-other-not-self)
moreover
have $M': M' = M_0 @ \text{Propagated } L (C + \{\#L\#\}) \# M$
using *tr-S U S S'* **by** (*auto simp: lits-of-def*)
have *no-dup* M'
using *inv U S' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*
have *atm-L-notin-M: atm-of* $L \notin \text{atm-of } (\text{lits-of } M)$
using $\langle \text{no-dup } M' \rangle M' U S S'$ **by** (*auto simp: lits-of-def*)
have *get-all-levels-of-decided* $M' = \text{rev } [1..<1+k]$
using *inv U S' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*
then have *get-all-levels-of-decided* $M = \text{rev } [1..<1+k]$
using *nm M' S' U* **by** (*simp add: get-all-levels-of-decided-no-decided*)

```

then have get-lev-L:
  get-level(Propagated L ( $C + \{\#L\#\}$ )  $\# M$ )  $L = k$ 
  using get-level-get-rev-level-get-all-levels-of-decided[OF atm-L-notin-M,
    of [Propagated L ( $(C + \{\#L\#\})$ )] by simp
have atm-of L  $\notin$  atm-of '(lits-of (rev M0))
  using 'no-dup M''  $M' U S'$  by (auto simp: lits-of-def)
then have get-level M' L  $= k$ 
  using get-rev-level-notin-end[of L rev M0
    rev M @ Propagated L ( $C + \{\#L\#\}$ )  $\# [] 0$ ]
  using tr-S get-lev-L M' U S' by (simp add: nm lits-of-def)
ultimately have get-maximum-level M' D'  $\geq k$ 
  by (metis get-maximum-level-ge-get-level get-rev-level-uminus)
then show False
  using ' $i < k$ ' unfolding 'get-maximum-level M' D' = i' by auto
qed
have [simp]:  $D = D'$  using DD' by auto
have cdclW** S U
  using bj cdclW-bj.skip local.skip mono-rtranclp[of skip cdclW S U] other by meson
then have cdclW-all-struct-inv U
  using inv rtranclp-cdclW-all-struct-inv-inv by blast
then have Propagated L ( $(C + \{\#L\#\}) \# M \models_{as} CNot (D' + \{\#L'\#\})$ )
  using cdclW-all-struct-inv-def cdclW-conflicting-def U by auto
then have  $\forall L' \in \#D. \text{atm-of } L' \in \text{atm-of 'lits-of (Propagated L (C + \{\#L\#\}) \# M)$ 
  by (metis CNot-plus CNot-singleton Un-insert-right ' $D = D'$ ' true-annots-insert ball-msetI
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-CNot-implies-uminus(2)
    sup-bot.comm-neutral)
then have get-maximum-level M' D  $= k$ 
  using tr-S nm U S'
    get-maximum-level-skip-un-decided-not-present[of D
      Propagated L ( $C + \{\#L\#\}$ )  $\# M M_0$ ]
  unfolding 'get-maximum-level (Propagated L (C + \{\#L\#\}) \# M) D = k'
  unfolding ' $D = D'$ '
  by simp
then show False
  using 'get-maximum-level M' D' = i' ' $i < k$ ' by auto
qed

```

lemma *if-can-apply-resolve-no-more-backtrack*:

```

assumes
  skip: skip** S U and
  resolve: resolve S T and
  inv: cdclW-all-struct-inv S
shows  $\neg \text{backtrack } U V$ 
using assms
by (meson if-can-apply-backtrack-no-more-resolve rtranclp.rtrancl-refl
  rtranclp-skip-backtrack-backtrack)

```

lemma *if-can-apply-backtrack-skip-or-resolve-is-skip*:

```

assumes
  bt: backtrack S T and
  skip: skip-or-resolve** S U and
  inv: cdclW-all-struct-inv S
shows skip** S U
using assms(2,3,1)
by induction (simp-all add: if-can-apply-backtrack-no-more-resolve)

```


lemma *cdcl_W-bj-bj-decomp*:

assumes *cdcl_W-bj^{**} S W* **and** *cdcl_W-all-struct-inv S*

shows

$(\exists T U V. (\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)^{**} S T$
 $\wedge (\lambda T U. \text{resolve } T U \wedge \text{no-step backtrack } T) T U$
 $\wedge \text{skip}^{**} U V \wedge \text{backtrack } V W)$
 $\vee (\exists T U. (\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)^{**} S T$
 $\wedge (\lambda T U. \text{resolve } T U \wedge \text{no-step backtrack } T) T U \wedge \text{skip}^{**} U W)$
 $\vee (\exists T. \text{skip}^{**} S T \wedge \text{backtrack } T W)$
 $\vee \text{skip}^{**} S W$ (**is** $?RB S W \vee ?R S W \vee ?SB S W \vee ?S S W$)

using *assms*

proof *induction*

case *base*

then show *?case* **by** *simp*

next

case (*step W X*) **note** *st = this(1)* **and** *bj = this(2)* **and** *IH = this(3)[OF this(4)]* **and** *inv = this(4)*

have $\neg ?RB S W$ **and** $\neg ?SB S W$

proof (*clarify, goal-cases*)

case (*1 T U V*)

have *skip-or-resolve^{**} S T*

using *1(1)* **by** (*auto dest!: rtranclp-and-rtranclp-left*)

then show *False*

by (*metis (no-types, lifting) 1(2) 1(4) 1(5) backtrack-no-cdcl_W-bj*
cdcl_W-all-struct-inv-def cdcl_W-all-struct-inv-inv cdcl_W-o.bj local.bj other
resolve rtranclp-cdcl_W-all-struct-inv-inv rtranclp-skip-backtrack-backtrack
rtranclp-skip-or-resolve-rtranclp-cdcl_W step.premis)

next

case *2*

then show *?case* **by** (*meson assms(2) cdcl_W-all-struct-inv-def backtrack-no-cdcl_W-bj*
local.bj rtranclp-skip-backtrack-backtrack)

qed

then have *IH: ?R S W \vee ?S S W* **using** *IH* **by** *blast*

have *cdcl_W^{**} S W* **by** (*metis cdcl_W-o.bj mono-rtranclp other st*)

then have *inv-W: cdcl_W-all-struct-inv W* **by** (*simp add: rtranclp-cdcl_W-all-struct-inv-inv*
step.premis)

consider

(*BT*) *X'* **where** *backtrack W X'*

| (*skip*) *no-step backtrack W* **and** *skip W X*

| (*resolve*) *no-step backtrack W* **and** *resolve W X*

using *bj cdcl_W-bj.cases* **by** *meson*

then show *?case*

proof *cases*

case (*BT X'*)

then consider

(*bt*) *backtrack W X*

| (*sk*) *skip W X*

using *bj if-can-apply-backtrack-no-more-resolve[of W W X' X] inv-W cdcl_W-bj.cases* **by** *fast*

then show *?thesis*

proof *cases*

case *bt*

then show *?thesis* **using** *IH* **by** *auto*

next

```

    case sk
    then show ?thesis using IH by (meson rtrancpl-trans r-into-rtrancpl)
  qed
next
case skip
then show ?thesis using IH by (meson rtrancpl.rtrancpl-into-rtrancpl)
next
case resolve note no-bt = this(1) and res = this(2)
consider
  (RS) T U where
    ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ )** S T and
    resolve T U and
    no-step backtrack T and
    skip** U W
  | (S) skip** S W
using IH by auto
then show ?thesis
proof cases
case (RS T U)
have cdclW** S T
  using RS(1) cdclW-bj.resolve cdclW-o.bj other skip
  mono-rtrancpl[of ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ ) cdclW S T]
  by meson
then have cdclW-all-struct-inv U
  by (meson RS(2) cdclW-all-struct-inv-inv cdclW-bj.resolve cdclW-o.bj other
    rtrancpl-cdclW-all-struct-inv-inv step.prems)
{ fix U'
  assume skip** U U' and skip** U' W
  have cdclW-all-struct-inv U'
    using  $\langle \text{cdcl}_W\text{-all-struct-inv } U \rangle \langle \text{skip}^{**} U U' \rangle$  rtrancpl-cdclW-all-struct-inv-inv
    cdclW-o.bj rtrancpl-mono[of skip cdclW] other skip by blast
  then have no-step backtrack U'
    using if-can-apply-backtrack-no-more-resolve[OF  $\langle \text{skip}^{**} U' W \rangle$ ] res by blast
}
with  $\langle \text{skip}^{**} U W \rangle$ 
have ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ )** U W
proof induction
case base
then show ?case by simp
next
case (step V W) note st = this(1) and skip = this(2) and IH = this(3) and H = this(4)
have  $\bigwedge U'. \text{skip}^{**} U' V \implies \text{skip}^{**} U' W$ 
  using skip by auto
then have ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ )** U V
  using IH H by blast
moreover have ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ )** V W
  by (simp add: local.skip r-into-rtrancpl st step.prems)
ultimately show ?case by simp
qed
then show ?thesis
proof -
have f1:  $\forall p \text{ pa pb pc. } \neg p \text{ (pa) pb} \vee \neg p^{**} \text{ pb pc} \vee p^{**} \text{ pa pc}$ 
  by (meson converse-rtrancpl-into-rtrancpl)
have skip-or-resolve T U  $\wedge$  no-step backtrack T

```

```

    using RS(2) RS(3) by force
  then have (λp pa. skip-or-resolve p pa ∧ no-step backtrack p)** T W
  proof -
    have (∃ vr19 vr16 vr17 vr18. vr19 (vr16::'st) vr17 ∧ vr19** vr17 vr18
      ∧ ¬ vr19** vr16 vr18)
      ∨ ¬ (skip-or-resolve T U ∧ no-step backtrack T)
      ∨ ¬ (λuu uua. skip-or-resolve uu uua ∧ no-step backtrack uu)** U W
      ∨ (λuu uua. skip-or-resolve uu uua ∧ no-step backtrack uu)** T W
    by force
    then show ?thesis
      by (metis (no-types) ⟨λS T. skip-or-resolve S T ∧ no-step backtrack S⟩** U W⟩
        ⟨skip-or-resolve T U ∧ no-step backtrack T⟩ f1)
    qed
  then have (λp pa. skip-or-resolve p pa ∧ no-step backtrack p)** S W
  using RS(1) by force
  then show ?thesis
    using no-bt res by blast
  qed
next
case S
{ fix U'
  assume skip** S U' and skip** U' W
  then have cdclW** S U'
    using mono-rtranclp[of skip cdclW S U'] by (simp add: cdclW-o.bj other skip)
  then have cdclW-all-struct-inv U'
    by (metis (no-types, hide-lams) ⟨cdclW-all-struct-inv S⟩
      rtranclp-cdclW-all-struct-inv-inv)
  then have no-step backtrack U'
    using if-can-apply-backtrack-no-more-resolve[OF ⟨skip** U' W⟩] res by blast
}
with S
have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S W
proof induction
  case base
  then show ?case by simp
next
case (step V W) note st = this(1) and skip = this(2) and IH = this(3) and H = this(4)
  have ∧U'. skip** U' V ⇒ skip** U' W
    using skip by auto
  then have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S V
    using IH H by blast
  moreover have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** V W
    by (simp add: local.skip r-into-rtranclp st step.prem)
  ultimately show ?case by simp
qed
then show ?thesis using res no-bt by blast
qed
qed
qed

```

The case distinction is needed, since $T \sim V$ does not imply that $R^{**} T V$.

lemma *cdcl_W-bj-strongly-confluent*:

assumes

*cdcl_W-bj** S V* **and**

```

    cdclW-bj** S T and
    n-s: no-step cdclW-bj V and
    inv: cdclW-all-struct-inv S
  shows T ~ V ∨ cdclW-bj** T V
  using assms(2)
proof induction
  case base
  then show ?case by (simp add: assms(1))
next
  case (step T U) note st = this(1) and s-o-r = this(2) and IH = this(3)
  have cdclW** S T
    using st mono-rtrancp[of cdclW-bj cdclW] other by blast
  then have lev-T: cdclW-M-level-inv T
    using inv rtrancp-cdclW-consistent-inv[of S T]
    unfolding cdclW-all-struct-inv-def by auto

  consider
    (TV) T ~ V
    | (bj-TV) cdclW-bj** T V
  using IH by blast
  then show ?case
  proof cases
    case TV
    have no-step cdclW-bj T
      using ⟨cdclW-M-level-inv T⟩ n-s cdclW-bj-state-eq-compatible[of T - V] TV by auto
    then show ?thesis
      using s-o-r by auto
  next
    case bj-TV
    then obtain U' where
      T-U': cdclW-bj T U' and
      cdclW-bj** U' V
    using IH n-s s-o-r by (metis rtrancp-unfold trancpD)
    have cdclW** S T
      by (metis (no-types, hide-lams) bj mono-rtrancp[of cdclW-bj cdclW] other st)
    then have inv-T: cdclW-all-struct-inv T
      by (metis (no-types, hide-lams) inv rtrancp-cdclW-all-struct-inv-inv)

    have lev-U: cdclW-M-level-inv U
      using s-o-r cdclW-consistent-inv lev-T other by blast
    show ?thesis
      using s-o-r
    proof cases
      case backtrack
      then obtain V0 where skip** T V0 and backtrack V0 V
        using IH if-can-apply-backtrack-skip-or-resolve-is-skip[OF backtrack - inv-T]
        cdclW-bj-decomp-resolve-skip-and-bj
        by (meson bj-TV cdclW-bj.backtrack inv-T lev-T n-s
            rtrancp-skip-backtrack-backtrack-end)
      then have cdclW-bj** T V0 and cdclW-bj V0 V
        using rtrancp-mono[of skip cdclW-bj] by blast+
      then show ?thesis
        using ⟨backtrack V0 V⟩ ⟨skip** T V0⟩ backtrack-unique inv-T local.backtrack
        rtrancp-skip-backtrack-backtrack by auto
    next

```

```

case resolve
then have  $U \sim U'$ 
  by (meson  $T-U'$  cdclW-bj.simps if-can-apply-backtrack-no-more-resolve inv-T
    resolve-skip-deterministic resolve-unique rtrncpl.rtrncpl-refl)
then show ?thesis
  using  $\langle \text{cdcl}_W\text{-bj}^{**} U' V \rangle$  unfolding rtrncpl-unfold
  by (meson  $T-U'$  bj cdclW-consistent-inv lev-T other state-eq-ref state-eq-sym
    trncpl-cdclW-bj-state-eq-compatible)
next
  case skip
  consider
    (sk) skip T U'
    | (bt) backtrack T U'
  using  $T-U'$  by (meson cdclW-bj.cases local.skip resolve-skip-deterministic)
then show ?thesis
  proof cases
    case sk
    then show ?thesis
      using  $\langle \text{cdcl}_W\text{-bj}^{**} U' V \rangle$  unfolding rtrncpl-unfold
      by (meson  $T-U'$  bj cdclW-all-inv(3) cdclW-all-struct-inv-def inv-T local.skip other
        trncpl-cdclW-bj-state-eq-compatible skip-unique state-eq-ref)
    next
      case bt
      have  $\text{skip}^{++} T U$ 
      using local.skip by blast
      then show ?thesis
      using bt by (metis  $\langle \text{cdcl}_W\text{-bj}^{**} U' V \rangle$  backtrack inv-T trncpl-unfold-begin
        rtrncpl-skip-backtrack-backtrack-end trncpl-into-rtrncpl)
    qed
  qed
qed
qed

```

lemma *cdcl_W-bj-unique-normal-form:*

```

assumes
  ST: cdclW-bj** S T and SU: cdclW-bj** S U and
  n-s-U: no-step cdclW-bj U and
  n-s-T: no-step cdclW-bj T and
  inv: cdclW-all-struct-inv S
shows  $T \sim U$ 
proof –
  have  $T \sim U \vee \text{cdcl}_W\text{-bj}^{**} T U$ 
  using ST SU cdclW-bj-strongly-confluent inv n-s-U by blast
then show ?thesis
  by (metis (no-types) n-s-T rtrncpl-unfold state-eq-ref trncpl-unfold-begin)
qed

```

lemma *full-cdcl_W-bj-unique-normal-form:*

```

assumes full cdclW-bj S T and full cdclW-bj S U and
  inv: cdclW-all-struct-inv S
shows  $T \sim U$ 
  using cdclW-bj-unique-normal-form assms unfolding full-def by blast

```

7.4 CDCL FW

inductive $cdcl_W\text{-merge-restart} :: 'st \Rightarrow 'st \Rightarrow \text{bool}$ **where**
fw-r-propagate: $\text{propagate } S \ S' \Longrightarrow cdcl_W\text{-merge-restart } S \ S' \mid$
fw-r-conflict: $\text{conflict } S \ T \Longrightarrow \text{full } cdcl_W\text{-bj } T \ U \Longrightarrow cdcl_W\text{-merge-restart } S \ U \mid$
fw-r-decide: $\text{decide } S \ S' \Longrightarrow cdcl_W\text{-merge-restart } S \ S' \mid$
fw-r-rf: $cdcl_W\text{-rf } S \ S' \Longrightarrow cdcl_W\text{-merge-restart } S \ S'$

lemma $cdcl_W\text{-merge-restart-cdcl}_W$:
assumes $cdcl_W\text{-merge-restart } S \ T$
shows $cdcl_W^{**} \ S \ T$
using *assms*
proof *induction*
case (*fw-r-conflict* $S \ T \ U$) **note** $\text{confl} = \text{this}(1)$ **and** $\text{bj} = \text{this}(2)$
have $cdcl_W \ S \ T$ **using** confl **by** (*simp* *add*: $cdcl_W.\text{intros } r\text{-into-rtranclp}$)
moreover
have $cdcl_W\text{-bj}^{**} \ T \ U$ **using** bj **unfolding** *full-def* **by** *auto*
then have $cdcl_W^{**} \ T \ U$ **by** (*metis* $cdcl_W\text{-o.bj mono-rtranclp other}$)
ultimately show *?case* **by** *auto*
qed (*simp-all* *add*: $cdcl_W\text{-o.intros } cdcl_W.\text{intros } r\text{-into-rtranclp}$)

lemma $cdcl_W\text{-merge-restart-conflicting-true-or-no-step}$:
assumes $cdcl_W\text{-merge-restart } S \ T$
shows $\text{conflicting } T = \text{None} \vee \text{no-step } cdcl_W \ T$
using *assms*
proof *induction*
case (*fw-r-conflict* $S \ T \ U$) **note** $\text{confl} = \text{this}(1)$ **and** $n\text{-s} = \text{this}(2)$
{ fix $D \ V$
assume $cdcl_W \ U \ V$ **and** $\text{conflicting } U = \text{Some } D$
then have *False*
using $n\text{-s}$ **unfolding** *full-def*
by (*induction* *rule*: $cdcl_W\text{-all-rules-induct}$) (*auto* *dest*!: $cdcl_W\text{-bj.intros}$)
}
then show *?case* **by** (*cases* $\text{conflicting } U$) *fastforce* +
qed (*auto* *simp* *add*: $cdcl_W\text{-rf.simps}$)

inductive $cdcl_W\text{-merge} :: 'st \Rightarrow 'st \Rightarrow \text{bool}$ **where**
fw-propagate: $\text{propagate } S \ S' \Longrightarrow cdcl_W\text{-merge } S \ S' \mid$
fw-conflict: $\text{conflict } S \ T \Longrightarrow \text{full } cdcl_W\text{-bj } T \ U \Longrightarrow cdcl_W\text{-merge } S \ U \mid$
fw-decide: $\text{decide } S \ S' \Longrightarrow cdcl_W\text{-merge } S \ S' \mid$
fw-forget: $\text{forget } S \ S' \Longrightarrow cdcl_W\text{-merge } S \ S'$

lemma $cdcl_W\text{-merge-cdcl}_W\text{-merge-restart}$:
 $cdcl_W\text{-merge } S \ T \Longrightarrow cdcl_W\text{-merge-restart } S \ T$
by (*meson* $cdcl_W\text{-merge.cases } cdcl_W\text{-merge-restart.simps forget}$)

lemma $rtranclp\text{-cdcl}_W\text{-merge-rtranclp-cdcl}_W\text{-merge-restart}$:
 $cdcl_W\text{-merge}^{**} \ S \ T \Longrightarrow cdcl_W\text{-merge-restart}^{**} \ S \ T$
using $rtranclp\text{-mono[of } cdcl_W\text{-merge } cdcl_W\text{-merge-restart]}$ $cdcl_W\text{-merge-cdcl}_W\text{-merge-restart}$ **by** *blast*

lemma $cdcl_W\text{-merge-rtranclp-cdcl}_W$:
 $cdcl_W\text{-merge } S \ T \Longrightarrow cdcl_W^{**} \ S \ T$
using $cdcl_W\text{-merge-cdcl}_W\text{-merge-restart } cdcl_W\text{-merge-restart-cdcl}_W$ **by** *blast*

lemma $rtranclp\text{-cdcl}_W\text{-merge-rtranclp-cdcl}_W$:
 $cdcl_W\text{-merge}^{**} \ S \ T \Longrightarrow cdcl_W^{**} \ S \ T$

```

using rtrancpl-mono[of cdclW-merge cdclW**] cdclW-merge-rtrancpl-cdclW by auto

lemma cdclW-merge-is-cdclNOT-merged-bj-learn:
assumes
  inv: cdclW-all-struct-inv S and
  cdclW:cdclW-merge S T
shows cdclNOT-merged-bj-learn S T
  ∨ (no-step cdclW-merge T ∧ conflicting T ≠ None)
using cdclW inv
proof induction
case (fw-propagate S T) note propa = this(1)
then obtain M N U k L C where
  H: state S = (M, N, U, k, None) and
  CL: C + {#L#} ∈# clauses S and
  M-C: M ⊨as CNot C and
  undef: undefined-lit (trail S) L and
  T: T ∼ cons-trail (Propagated L (C + {#L#})) S
using propa by auto
have propagateNOT S T
apply (rule propagateNOT.propagateNOT[of - C L])
using H CL T undef M-C by (auto simp: state-eqNOT-def state-eq-def clauses-def
  simp del: state-simp)
then show ?case
using cdclNOT-merged-bj-learn.intros(2) by blast
next
case (fw-decide S T) note dec = this(1) and inv = this(2)
then obtain L where
  undef-L: undefined-lit (trail S) L and
  atm-L: atm-of L ∈ atms-of-msu (init-clss S) and
  T: T ∼ cons-trail (Decided L (Suc (backtrack-lvl S)))
  (update-backtrack-lvl (Suc (backtrack-lvl S)) S)
by auto
have decideNOT S T
apply (rule decideNOT.decideNOT)
using undef-L apply simp
using atm-L inv unfolding cdclW-all-struct-inv-def no-strange-atm-def clauses-def apply auto[]
using T undef-L unfolding state-eq-def state-eqNOT-def by (auto simp: clauses-def)
then show ?case using cdclNOT-merged-bj-learn-decideNOT by blast
next
case (fw-forget S T) note rf = this(1) and inv = this(2)
then obtain M N C U k where
  S: state S = (M, N, {#C#} + U, k, None) and
  ¬ M ⊨asm clauses S and
  C ∉ set (get-all-mark-of-propagated (trail S)) and
  C-init: C ∉# init-clss S and
  C-le: C ∈# learned-clss S and
  T: T ∼ remove-cls C S
by auto
have init-clss S ⊨pm C
using inv C-le unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def
by (meson mem-set-mset-iff true-clss-clss-in-imp-true-clss-cls)
then have S-C: clauses S - replicate-mset (count (clauses S) C) C ⊨pm C
using C-init C-le unfolding clauses-def by (simp add: Un-Diff)
moreover have H: init-clss S + (learned-clss S - replicate-mset (count (learned-clss S) C) C)
  = init-clss S + learned-clss S - replicate-mset (count (learned-clss S) C) C

```

```

using C-le C-init by (metis clauses-def clauses-remove-cls diff-zero gr0I
  init-clss-remove-cls learned-clss-remove-cls plus-multiset.rep-eq replicate-mset-0
  semiring-normalization-rules(5))
have forgetNOT S T
apply (rule forgetNOT.forgetNOT)
  using S-C apply blast
  using S apply simp
  using  $\langle C \in \# \text{ learned-clss } S \rangle$  apply (simp add: clauses-def)
using T C-le C-init by (auto
  simp: state-eq-def Un-Diff state-eqNOT-def clauses-def ac-simps H
  simp del: state-simp)
then show ?case using cdclNOT-merged-bj-learn-forgetNOT by blast
next
case (fw-conflict S T U) note confl = this(1) and bj = this(2) and inv = this(3)
obtain CS where
  confl-T: conflicting T = Some CS and
  CS: CS ∈ # clauses S and
  tr-S-CS: trail S ⊨as CNot CS
  using confl by auto
have cdclW-all-struct-inv T
  using cdclW.simps cdclW-all-struct-inv-inv confl inv by blast
then have cdclW-M-level-inv T
  unfolding cdclW-all-struct-inv-def by auto
then consider
  (no-bt) skip-or-resolve** T U
  | (bt) T' where skip-or-resolve** T T' and backtrack T' U
  using bj rtranclp-cdclW-bj-skip-or-resolve-backtrack unfolding full-def by meson
then show ?case
proof cases
  case no-bt
  then have conflicting U ≠ None
    using confl by (induction rule: rtranclp-induct) auto
  moreover then have no-step cdclW-merge U
    by (auto simp: cdclW-merge.simps)
  ultimately show ?thesis by blast
next
case bt note s-or-r = this(1) and bt = this(2)
have cdclW** T T'
  using s-or-r mono-rtranclp[of skip-or-resolve cdclW] rtranclp-skip-or-resolve-rtranclp-cdclW
  by blast
then have cdclW-M-level-inv T'
  using rtranclp-cdclW-consistent-inv ⟨cdclW-M-level-inv T⟩ by blast
then obtain M1 M2 i D L K where
  confl-T': conflicting T' = Some (D + {#L#}) and
  M1-M2: (Decided K (i+1) # M1, M2) ∈ set (get-all-decided-decomposition (trail T')) and
  get-level (trail T') L = backtrack-lvl T' and
  get-level (trail T') L = get-maximum-level (trail T') (D+{#L#}) and
  get-maximum-level (trail T') D = i and
  undef-L: undefined-lit M1 L and
  U: U ∼ cons-trail (Propagated L (D+{#L#}))
    (reduce-trail-to M1
      (add-learned-cls (D + {#L#})
        (update-backtrack-lvl i
          (update-conflicting None T'))))))
  using bt by (auto elim: backtrack-levE)

```



```

have [simp]: clauses S = clauses T
  using confl by auto
have [simp]: clauses T = clauses T'
  using s-or-r
proof (induction)
  case base
  then show ?case by simp
next
  case (step U V) note st = this(1) and s-o-r = this(2) and IH = this(3)
  have clauses U = clauses V
    using s-o-r by auto
  then show ?case using IH by auto
qed
have inv-T: cdclW-all-struct-inv T
  by (meson cdclW-cp.simps confl inv r-into-rtrancpl rtrancpl-cdclW-all-struct-inv-inv
    rtrancpl-cdclW-cp-rtrancpl-cdclW)
have cdclW** T T'
  using rtrancpl-skip-or-resolve-rtrancpl-cdclW s-or-r by blast
have inv-T': cdclW-all-struct-inv T'
  using ⟨cdclW** T T'⟩ inv-T rtrancpl-cdclW-all-struct-inv-inv by blast
have inv-U: cdclW-all-struct-inv U
  using cdclW-merge-restart-cdclW confl fw-r-conflict inv local.bj
    rtrancpl-cdclW-all-struct-inv-inv by blast

have [simp]: init-clss S = init-clss T'
  using ⟨cdclW** T T'⟩ cdclW-init-clss confl cdclW-all-struct-inv-def conflict inv
  by (metis ⟨cdclW-M-level-inv T'⟩ rtrancpl-cdclW-init-clss)
then have atm-L: atm-of L ∈ atms-of-msu (clauses S)
  using inv-T' confl-T' unfolding cdclW-all-struct-inv-def no-strange-atm-def clauses-def
  by auto
obtain M where tr-T: trail T = M @ trail T'
  using s-or-r by (induction rule: rtrancpl-induct) auto
obtain M' where
  tr-T': trail T' = M' @ Decided K (i+1) # tl (trail U) and
  tr-U: trail U = Propagated L (D + {#L#}) # tl (trail U)
  using U M1-M2 undef-L inv-T' unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
  by fastforce
def M'' ≡ M @ M'
  have tr-T: trail S = M'' @ Decided K (i+1) # tl (trail U)
  using tr-T tr-T' confl unfolding M''-def by auto
have init-clss T' + learned-clss S ⊢pm D + {#L#}
  using inv-T' confl-T' unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def clauses-def
  by simp
have reduce-trail-to (convert-trail-from-NOT (convert-trail-from-W M1)) S =
  reduce-trail-to M1 S
  by (rule reduce-trail-to-length) simp
moreover have trail (reduce-trail-to M1 S) = M1
  apply (rule reduce-trail-to-skip-beginning[of - M @ - @ M2 @ [Decided K (Suc i)]])
  using confl M1-M2 ⟨trail T = M @ trail T'⟩
  apply (auto dest!: get-all-decided-decomposition-exists-prepend
    elim!: conflictE)
  by (rule sym) auto
ultimately have [simp]: trail (reduce-trail-toNOT (convert-trail-from-W M1) S) = M1
  using M1-M2 confl by (auto simp add: reduce-trail-toNOT-reduce-trail-convert)
have every-mark-is-a-conflict U

```

```

    using inv-U unfolding cdclW-all-struct-inv-def cdclW-conflicting-def by simp
then have tl (trail U)  $\models_{as}$  CNot D
  by (metis add-diff-cancel-left' append-self-conv2 tr-U union-commute)
have backjump-l S U
  apply (rule backjump-l[of - - - - L])
    using tr-T apply simp
    using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
    apply (simp add: comp-def)
    using U M1-M2 confl undef-L M1-M2 inv-T' inv unfolding cdclW-all-struct-inv-def
    cdclW-M-level-inv-def apply (auto simp: state-eqNOT-def
      trail-reduce-trail-toNOT-add-learned-cls)[]
    using CS apply simp
    using tr-S-CS apply simp

    using U undef-L M1-M2 inv-T' inv unfolding cdclW-all-struct-inv-def
    cdclW-M-level-inv-def apply auto[]
    using undef-L atm-L apply (simp add: trail-reduce-trail-toNOT-add-learned-cls)
    using ⟨init-clss T' + learned-clss S  $\models_{pm}$  D + {#L#}⟩ unfolding clauses-def apply simp
    apply (metis ⟨tl (trail U)  $\models_{as}$  CNot D⟩ convert-trail-from-W-true-annots)
    using inv-T' inv-U U confl-T' undef-L M1-M2 unfolding cdclW-all-struct-inv-def
    distinct-cdclW-state-def by (simp add: cdclW-M-level-inv-decomp backjump-l-cond-def)
  then show ?thesis using cdclNOT-merged-bj-learn-backjump-l by fast
qed
qed

```

abbreviation $cdcl_{NOT}\text{-restart}$ where

$cdcl_{NOT}\text{-restart} \equiv \text{restart-ops.cdcl}_{NOT}\text{-raw-restart } cdcl_{NOT} \text{ restart}$

lemma $cdcl_W\text{-merge-restart-is-cdcl}_{NOT}\text{-merged-bj-learn-restart-no-step}$:

assumes

inv : $cdcl_W\text{-all-struct-inv } S$ and

$cdcl_W$: $cdcl_W\text{-merge-restart } S \ T$

shows $cdcl_{NOT}\text{-restart}^{**} \ S \ T \vee (\text{no-step } cdcl_W\text{-merge } T \wedge \text{conflicting } T \neq \text{None})$

proof –

consider

(fw) $cdcl_W\text{-merge } S \ T$

| (fw-r) $\text{restart } S \ T$

using $cdcl_W$ **by** (meson $cdcl_W\text{-merge-restart.simps } cdcl_W\text{-rf.cases fw-conflict fw-decide fw-forget}$
fw-propagate)

then show ?thesis

proof cases

case fw

then have IH: $cdcl_{NOT}\text{-merged-bj-learn } S \ T \vee (\text{no-step } cdcl_W\text{-merge } T \wedge \text{conflicting } T \neq \text{None})$

using inv $cdcl_W\text{-merge-is-cdcl}_{NOT}\text{-merged-bj-learn}$ **by** blast

have $invS$: $inv_{NOT} \ S$

using inv **unfolding** $cdcl_W\text{-all-struct-inv-def } cdcl_W\text{-M-level-inv-def}$ **by** auto

have ff2: $cdcl_{NOT}^{++} \ S \ T \longrightarrow cdcl_{NOT}^{**} \ S \ T$

by (meson $\text{trancpl-into-rtrancpl}$)

have ff3: $\text{no-dup } (\text{convert-trail-from-} W \ (\text{trail } S))$

using $invS$ **by** (simp add: comp-def)

have $cdcl_{NOT} \leq cdcl_{NOT}\text{-restart}$

by (auto simp: $\text{restart-ops.cdcl}_{NOT}\text{-raw-restart.simps}$)

then show ?thesis

using ff3 ff2 IH $cdcl_{NOT}\text{-merged-bj-learn-is-trancpl-cdcl}_{NOT}$

$\text{rtrancpl-mono}[of \ cdcl_{NOT} \ cdcl_{NOT}\text{-restart}] \ invS \ \text{predicate2D}$ **by** blast

next
case *fw-r*
then show *?thesis* **by** (*blast intro: restart-ops.cdcl_{NOT}-raw-restart.intros*)
qed
qed

abbreviation $\mu_{FW} :: 'st \Rightarrow nat$ **where**

$\mu_{FW} S \equiv (if\ no\text{-}step\ cdcl_W\text{-}merge\ S\ then\ 0\ else\ 1 + \mu_{CDCL}'\text{-}merged\ (set\text{-}mset\ (init\text{-}clss\ S))\ S)$

lemma *cdcl_W-merge- μ_{FW} -decreasing*:

assumes

inv: cdcl_W-all-struct-inv S and

fw: cdcl_W-merge S T

shows $\mu_{FW} T < \mu_{FW} S$

proof –

let *?A = init-clss S*

have *atm-clauses: atms-of-msu (clauses S) \subseteq atms-of-msu ?A*

using *inv unfolding cdcl_W-all-struct-inv-def no-strange-atm-def clauses-def* **by** *auto*

have *atm-trail: atm-of ' lits-of (trail S) \subseteq atms-of-msu ?A*

using *inv unfolding cdcl_W-all-struct-inv-def no-strange-atm-def clauses-def* **by** *auto*

have *n-d: no-dup (trail S)*

using *inv unfolding cdcl_W-all-struct-inv-def* **by** (*auto simp: cdcl_W-M-level-inv-decomp*)

have [*simp*]: $\neg no\text{-}step\ cdcl_W\text{-}merge\ S$

using *fw* **by** *auto*

have [*simp*]: *init-clss S = init-clss T*

using *cdcl_W-merge-restart-cdcl_W[of S T] inv rtranclp-cdcl_W-init-clss*

unfolding *cdcl_W-all-struct-inv-def*

by (*meson cdcl_W-merge.simps cdcl_W-merge-restart.simps cdcl_W-rf.simps fw*)

consider

(merged) cdcl_{NOT}-merged-bj-learn S T

| *(n-s) no-step cdcl_W-merge T*

using *cdcl_W-merge-is-cdcl_{NOT}-merged-bj-learn inv fw* **by** *blast*

then show *?thesis*

proof *cases*

case *merged*

then show *?thesis*

using *cdcl_{NOT}-decreasing-measure'[OF - - atm-clauses] atm-trail n-d*

by (*auto split: split-if simp: comp-def*)

next

case *n-s*

then show *?thesis* **by** *simp*

qed

qed

lemma *wf-cdcl_W-merge: wf {(T, S). cdcl_W-all-struct-inv S \wedge cdcl_W-merge S T}*

apply (*rule wfP-if-measure[of - - μ_{FW}]*)

using *cdcl_W-merge- μ_{FW} -decreasing* **by** *blast*

lemma *cdcl_W-all-struct-inv-tranclp-cdcl_W-merge-tranclp-cdcl_W-merge-cdcl_W-all-struct-inv:*

assumes

inv: cdcl_W-all-struct-inv b

cdcl_W-merge⁺⁺ b a

shows $(\lambda S T. cdcl_W\text{-}all\text{-}struct\text{-}inv\ S \wedge cdcl_W\text{-}merge\ S\ T)^{++} b\ a$

using *assms(2)*

proof *induction*

```

case base
then show ?case using inv by auto
next
case (step c d) note st = this(1) and fw = this(2) and IH = this(3)
have cdclW-all-struct-inv c
  using tranclp-into-rtranclp[OF st] cdclW-merge-rtranclp-cdclW
  assms(1) rtranclp-cdclW-all-struct-inv-inv rtranclp-mono[of cdclW-merge cdclW**] by fastforce
then have (λS T. cdclW-all-struct-inv S ∧ cdclW-merge S T)++ c d
  using fw by auto
then show ?case using IH by auto
qed

lemma wf-tranclp-cdclW-merge: wf {(T, S). cdclW-all-struct-inv S ∧ cdclW-merge++ S T}
  using wf-trancl[OF wf-cdclW-merge]
  apply (rule wf-subset)
  by (auto simp: trancl-set-tranclp
    cdclW-all-struct-inv-tranclp-cdclW-merge-tranclp-cdclW-merge-cdclW-all-struct-inv)

lemma backtrack-is-full1-cdclW-bj:
  assumes bt: backtrack S T and inv: cdclW-M-level-inv S
  shows full1 cdclW-bj S T
proof -
  have no-step cdclW-bj T
    using bt inv backtrack-no-cdclW-bj by blast
  moreover have cdclW-bj++ S T
    using bt by auto
  ultimately show ?thesis unfolding full1-def by blast
qed

lemma rtrancl-cdclW-conflicting-true-cdclW-merge-restart:
  assumes cdclW** S V and inv: cdclW-M-level-inv S and conflicting S = None
  shows (cdclW-merge-restart** S V ∧ conflicting V = None)
    ∨ (∃ T U. cdclW-merge-restart** S T ∧ conflicting V ≠ None ∧ conflict T U ∧ cdclW-bj** U V)
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step U V) note st = this(1) and cdclW = this(2) and IH = this(3)[OF this(4-)] and
    confl[simp] = this(5) and inv = this(4)
  from cdclW
  show ?case
  proof (cases)
    case propagate
    moreover then have conflicting U = None
      by auto
    moreover have conflicting V = None
      using propagate by auto
    ultimately show ?thesis using IH cdclW-merge-restart.fw-r-propagate[of U V] by auto
  next
    case conflict
    moreover then have conflicting U = None
      by auto
    moreover have conflicting V ≠ None
      using conflict by auto
  end

```

```

ultimately show ?thesis using IH by auto
next
case other
then show ?thesis
proof cases
case decide
moreover then have conflicting U = None
by auto
ultimately show ?thesis using IH cdclW-merge-restart.fw-r-decide[of U V] by auto
next
case bj
moreover {
  assume skip-or-resolve U V
  have f1: cdclW-bj++ U V
  by (simp add: local.bj tranclp.r-into-trancl)
  obtain T T' :: 'st where
    f2: cdclW-merge-restart** S U
      ∨ cdclW-merge-restart** S T ∧ conflicting U ≠ None
      ∧ conflict T T' ∧ cdclW-bj** T' U
  using IH confl by blast
  then have ?thesis
  proof -
    have conflicting V ≠ None ∧ conflicting U ≠ None
    using ⟨skip-or-resolve U V⟩ by auto
    then show ?thesis
    by (metis (no-types) IH f1 rtranclp-trans tranclp-into-rtranclp)
  qed
}
moreover {
  assume backtrack U V
  then have conflicting U ≠ None by auto
  then obtain T T' where
    cdclW-merge-restart** S T and
    conflicting U ≠ None and
    conflict T T' and
    cdclW-bj** T' U
  using IH confl by meson
  have invU: cdclW-M-level-inv U
  using inv rtranclp-cdclW-consistent-inv step.hyps(1) by blast
  then have conflicting V = None
  using ⟨backtrack U V⟩ inv by (auto elim: backtrack-levE
    simp: cdclW-M-level-inv-decomp)
  have full cdclW-bj T' V
  apply (rule rtranclp-fullI[of cdclW-bj T' U V])
  using ⟨cdclW-bj** T' U⟩ apply fast
  using ⟨backtrack U V⟩ backtrack-is-full1-cdclW-bj invU unfolding full1-def full-def
  by blast
  then have ?thesis
  using cdclW-merge-restart.fw-r-conflict[of T T' V] ⟨conflict T T'⟩
    ⟨cdclW-merge-restart** S T⟩ ⟨conflicting V = None⟩ by auto
}
ultimately show ?thesis by (auto simp: cdclW-bj.simps)
qed
next
case rf

```

```

    moreover then have conflicting  $U = \text{None}$  and conflicting  $V = \text{None}$ 
    by (auto simp: cdclW-rf.simps)
    ultimately show ?thesis using IH cdclW-merge-restart.fw-r-rf[of  $U$   $V$ ] by auto
qed
qed

lemma no-step-cdclW-no-step-cdclW-merge-restart: no-step cdclW  $S \implies$  no-step cdclW-merge-restart
 $S$ 
by (auto simp: cdclW.simps cdclW-merge-restart.simps cdclW-o.simps cdclW-bj.simps)

lemma no-step-cdclW-merge-restart-no-step-cdclW:
assumes
  conflicting  $S = \text{None}$  and
  cdclW-M-level-inv  $S$  and
  no-step cdclW-merge-restart  $S$ 
shows no-step cdclW  $S$ 
proof -
  { fix  $S'$ 
    assume conflict  $S$   $S'$ 
    then have cdclW  $S$   $S'$  using cdclW.conflict by auto
    then have cdclW-M-level-inv  $S'$ 
      using assms(2) cdclW-consistent-inv by blast
    then obtain  $S''$  where full cdclW-bj  $S'$   $S''$ 
      using cdclW-bj-exists-normal-form[of  $S'$ ] by auto
    then have False
      using ⟨conflict  $S$   $S'$ ⟩ assms(3) fw-r-conflict by blast
  }
  then show ?thesis
    using assms unfolding cdclW.simps cdclW-merge-restart.simps cdclW-o.simps cdclW-bj.simps
    by fastforce
qed

lemma rtrancpl-cdclW-merge-restart-no-step-cdclW-bj:
assumes
  cdclW-merge-restart**  $S$   $T$  and
  conflicting  $S = \text{None}$ 
shows no-step cdclW-bj  $T$ 
using assms
apply (induction rule: rtrancpl-induct)
apply (fastforce simp: cdclW-bj.simps cdclW-rf.simps cdclW-merge-restart.simps full-def)
apply (fastforce simp: cdclW-bj.simps cdclW-rf.simps cdclW-merge-restart.simps full-def)

done

```

If *conflicting* $S \neq \text{None}$, we cannot say anything.

Remark that this theorem does not say anything about well-foundedness: even if you know that one relation is well-founded, it only states that the normal forms are shared.

```

lemma conflicting-true-full-cdclW-iff-full-cdclW-merge:
assumes conf: conflicting  $S = \text{None}$  and lev: cdclW-M-level-inv  $S$ 
shows full cdclW  $S$   $V \iff$  full cdclW-merge-restart  $S$   $V$ 
proof
  assume full: full cdclW-merge-restart  $S$   $V$ 
  then have st: cdclW**  $S$   $V$ 
    using rtrancpl-mono[of cdclW-merge-restart cdclW**] cdclW-merge-restart-cdclW
    unfolding full-def by auto

```

```

have n-s: no-step cdclW-merge-restart V
  using full unfolding full-def by auto
have n-s-bj: no-step cdclW-bj V
  using rtrancp-cdclW-merge-restart-no-step-cdclW-bj confl full unfolding full-def by auto
have  $\bigwedge S'. \text{conflict } V S' \implies \text{cdcl}_W\text{-M-level-inv } S'$ 
  using cdclW.conflict cdclW-consistent-inv lev rtrancp-cdclW-consistent-inv st by blast
then have  $\bigwedge S'. \text{conflict } V S' \implies \text{False}$ 
  using n-s n-s-bj cdclW-bj-exists-normal-form cdclW-merge-restart.simps by meson
then have n-s-cdclW: no-step cdclW V
  using n-s n-s-bj by (auto simp: cdclW.simps cdclW-o.simps cdclW-merge-restart.simps)
then show full cdclW S V using st unfolding full-def by auto
next
assume full: full cdclW S V
have no-step cdclW-merge-restart V
  using full no-step-cdclW-no-step-cdclW-merge-restart unfolding full-def by blast
moreover
consider
  (fw) cdclW-merge-restart** S V and conflicting V = None
| (bj) T U where
  cdclW-merge-restart** S T and
  conflicting V  $\neq$  None and
  conflict T U and
  cdclW-bj** U V
  using full rtrancp-cdclW-conflicting-true-cdclW-merge-restart confl lev unfolding full-def
  by meson
then have cdclW-merge-restart** S V
proof cases
  case fw
  then show ?thesis by fast
next
  case (bj T U)
  have no-step cdclW-bj V
    using full unfolding full-def by (meson cdclW-o.bj other)
  then have full cdclW-bj U V
    using  $\langle \text{cdcl}_W\text{-bj}^{**} U V \rangle$  unfolding full-def by auto
  then have cdclW-merge-restart T V
    using  $\langle \text{conflict } T U \rangle$  cdclW-merge-restart.fw-r-conflict by blast
  then show ?thesis using  $\langle \text{cdcl}_W\text{-merge-restart}^{**} S T \rangle$  by auto
qed
ultimately show full cdclW-merge-restart S V unfolding full-def by fast
qed

```

lemma *init-state-true-full-cdcl_W-iff-full-cdcl_W-merge:*
 shows full cdcl_W (init-state N) V \longleftrightarrow full cdcl_W-merge-restart (init-state N) V
 by (rule conflicting-true-full-cdcl_W-iff-full-cdcl_W-merge) auto

7.5 FW with strategy

7.5.1 The intermediate step

inductive cdcl_W-s' :: 'st \Rightarrow 'st \Rightarrow bool **where**
conflict': full1 cdcl_W-cp S S' \implies cdcl_W-s' S S' |
decide': decide S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S'' \implies cdcl_W-s' S S'' |
bj': full1 cdcl_W-bj S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S'' \implies cdcl_W-s' S S''

inductive-cases $cdcl_W-s'E: cdcl_W-s' S T$

lemma $rtrancpl-cdcl_W-bj-full1-cdclp-cdcl_W-stgy:$

$cdcl_W-bj^{**} S S' \implies full\ cdcl_W-cp\ S' S'' \implies cdcl_W-stgy^{**} S S''$

proof (*induction rule: converse-rtrancpl-induct*)

case *base*

then show *?case* **by** (*metis* $cdcl_W-stgy.conflict'$ *full-unfold* *rtrancpl.simps*)

next

case (*step* $T U$) **note** $st = this(2)$ **and** $bj = this(1)$ **and** $IH = this(3)[OF\ this(4)]$

have *no-step* $cdcl_W-cp\ T$

using bj **by** (*auto* *simp* *add: cdcl_W-bj.simps*)

consider

(U) $U = S'$

| (U') U' **where** $cdcl_W-bj\ U\ U'$ **and** $cdcl_W-bj^{**}\ U'\ S'$

using st **by** (*metis* *converse-rtrancplE*)

then show *?case*

proof *cases*

case U

then show *?thesis*

using (*no-step* $cdcl_W-cp\ T$) $cdcl_W-o.bj\ local.bj\ other'$ *step.prem*s **by** (*meson* *r-into-rtrancpl*)

next

case U' **note** $U' = this(1)$

have *no-step* $cdcl_W-cp\ U$

using U' **by** (*fastforce* *simp: cdcl_W-cp.simps* *cdcl_W-bj.simps*)

then have *full* $cdcl_W-cp\ U\ U$

by (*simp* *add: full-unfold*)

then have $cdcl_W-stgy\ T\ U$

using (*no-step* $cdcl_W-cp\ T$) $cdcl_W-stgy.simps\ local.bj\ cdcl_W-o.bj$ **by** *meson*

then show *?thesis* **using** IH **by** *auto*

qed

qed

lemma $cdcl_W-s'-is-rtrancpl-cdcl_W-stgy:$

$cdcl_W-s' S T \implies cdcl_W-stgy^{**} S T$

apply (*induction rule: cdcl_W-s'.induct*)

apply (*auto* *intro: cdcl_W-stgy.intros*)[]

apply (*meson* *decide* *other' r-into-rtrancpl*)

by (*metis* *full1-def* *rtrancpl-cdcl_W-bj-full1-cdclp-cdcl_W-stgy* *trancpl-into-rtrancpl*)

lemma $cdcl_W-cp-cdcl_W-bj-bissimulation:$

assumes

full $cdcl_W-cp\ T\ U$ **and**

$cdcl_W-bj^{**}\ T\ T'$ **and**

$cdcl_W-all-struct-inv\ T$ **and**

no-step $cdcl_W-bj\ T'$

shows *full* $cdcl_W-cp\ T'\ U$

$\vee (\exists U' U''. full\ cdcl_W-cp\ T'\ U'' \wedge full1\ cdcl_W-bj\ U\ U' \wedge full\ cdcl_W-cp\ U'\ U'' \wedge cdcl_W-s'^{**}\ U\ U'')$

using *assms*(2,1,3,4)

proof (*induction rule: rtrancpl-induct*)

case *base*

then show *?case* **by** *blast*

next

case (*step* $T'\ T''$) **note** $st = this(1)$ **and** $bj = this(2)$ **and** $IH = this(3)[OF\ this(4,5)]$ **and**

$full = this(4)$ **and** $inv = this(5)$

have $cdcl_W^{**}\ T\ T''$


```

    by (metis (no-types, lifting) cdclW-o.bj local.bj mono-rtrancp[of cdclW-bj cdclW T T'] other
        st rtrancp.rtrancp-into-rtrancp)
  then have inv-T'': cdclW-all-struct-inv T''
    using inv rtrancp-cdclW-all-struct-inv-inv by blast
  have cdclW-bj++ T T''
    using local.bj st by auto
  have full1 cdclW-bj T T''
    by (metis <cdclW-bj++ T T''> full1-def step.prem(3))
  then have T = U
  proof -
    obtain Z where cdclW-bj T Z
      by (meson trancpD <cdclW-bj++ T T''>)
    { assume cdclW-cp++ T U
      then obtain Z' where cdclW-cp T Z'
        by (meson trancpD)
      then have False
        using <cdclW-bj T Z> by (fastforce simp: cdclW-bj.simps cdclW-cp.simps)
    }
    then show ?thesis
      using full unfolding full-def rtrancp-unfold by blast
  qed
  obtain U'' where full cdclW-cp T'' U''
    using cdclW-cp-normalized-element-all-inv inv-T'' by blast
  moreover then have cdclW-stgy** U U''
    by (metis <T = U> <cdclW-bj++ T T''> rtrancp-cdclW-bj-full1-cdclp-cdclW-stgy rtrancp-unfold)
  moreover have cdclW-s** U U''
  proof -
    obtain ss :: 'st ⇒ 'st where
      f1: ∀ x2. (∃ v3. cdclW-cp x2 v3) = cdclW-cp x2 (ss x2)
      by maura
    have ¬ cdclW-cp U (ss U)
      by (meson full full-def)
    then show ?thesis
      using f1 by (metis (no-types) <T = U> <full1 cdclW-bj T T''> bj' calculation(1)
        r-into-rtrancp)
  qed
  ultimately show ?case
    using <full1 cdclW-bj T T''> <full cdclW-cp T'' U''> unfolding <T = U> by blast
qed

```

lemma *cdcl_W-cp-cdcl_W-bj-bissimulation'*:

```

  assumes
    full cdclW-cp T U and
    cdclW-bj** T T' and
    cdclW-all-struct-inv T and
    no-step cdclW-bj T'
  shows full cdclW-cp T' U
    ∨ (∃ U'. full1 cdclW-bj U U' ∧ (∀ U''. full cdclW-cp U' U'' ⟶ full cdclW-cp T' U''
      ∧ cdclW-s** U U'))
  using assms(2,1,3,4)
proof (induction rule: rtrancp-induct)
  case base
  then show ?case by blast
next
  case (step T' T'') note st = this(1) and bj = this(2) and IH = this(3)[OF this(4,5)] and

```

```

  full = this(4) and inv = this(5)
have cdclW** T T''
  by (metis (no-types, lifting) cdclW-o.bj local.bj mono-rtrancp[of cdclW-bj cdclW T T''] other st
    rtrancp.rtrancp-into-rtrancp)
then have inv-T'': cdclW-all-struct-inv T''
  using inv rtrancp-cdclW-all-struct-inv-inv by blast
have cdclW-bj++ T T''
  using local.bj st by auto
have full1 cdclW-bj T T''
  by (metis (cdclW-bj++ T T'') full1-def step.prem(3))
then have T = U
  proof -
    obtain Z where cdclW-bj T Z
      by (meson trancpD (cdclW-bj++ T T''))
    { assume cdclW-cp++ T U
      then obtain Z' where cdclW-cp T Z'
        by (meson trancpD)
      then have False
        using (cdclW-bj T Z) by (fastforce simp: cdclW-bj.simps cdclW-cp.simps)
    }
    then show ?thesis
      using full unfolding full-def rtrancp-unfold by blast
  qed
{ fix U''
  assume full cdclW-cp T'' U''
  moreover then have cdclW-stgy** U U''
    by (metis (T = U) (cdclW-bj++ T T'') rtrancp-cdclW-bj-full1-cdclp-cdclW-stgy rtrancp-unfold)
  moreover have cdclW-s'** U U''
    proof -
      obtain ss :: 'st ⇒ 'st where
        f1: ∀ x2. (∃ v3. cdclW-cp x2 v3) = cdclW-cp x2 (ss x2)
      by maura
      have ¬ cdclW-cp U (ss U)
        by (meson assms(1) full-def)
      then show ?thesis
        using f1 by (metis (no-types) (T = U) (full1 cdclW-bj T T'') bj' calculation(1)
          r-into-rtrancp)
    qed
  ultimately have full1 cdclW-bj U T'' and cdclW-s'** T'' U''
    using (full1 cdclW-bj T T'') (full cdclW-cp T'' U'') unfolding (T = U)
    apply blast
    by (metis (full cdclW-cp T'' U'') cdclW-s'.simps full-unfold rtrancp.simps)
  }
  then show ?case
    using (full1 cdclW-bj T T'') full bj' unfolding (T = U) full-def by (metis r-into-rtrancp)
qed

```

lemma *cdcl_W-stgy-cdcl_W-s'-connected:*

```

  assumes cdclW-stgy S U and cdclW-all-struct-inv S
  shows cdclW-s' S U
    ∨ (∃ U'. full1 cdclW-bj U U' ∧ (∀ U''. full cdclW-cp U' U'' ⟶ cdclW-s' S U''))
  using assms
proof (induction rule: cdclW-stgy.induct)
  case (conflict' T)
  then have cdclW-s' S T

```

```

    using cdclW-s'.conflict' by blast
  then show ?case
    by blast
next
case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)
show ?case
  using o
  proof cases
    case decide
      then show ?thesis using cdclW-s'.simps full n-s by blast
  next
  case bj
    have inv-T: cdclW-all-struct-inv T
      using cdclW-all-struct-inv-inv o other other'.prems by blast
    consider
      (cp) full cdclW-cp T U and no-step cdclW-bj T
    | (fbj) T' where full1 cdclW-bj T T'
    apply (cases no-step cdclW-bj T)
      using full apply blast
    using cdclW-bj-exists-normal-form[of T] inv-T unfolding cdclW-all-struct-inv-def
    by (metis full-unfold)
  then show ?thesis
    proof cases
      case cp
        then show ?thesis
          proof -
            obtain ss :: 'st ⇒ 'st where
              f1: ∀ s sa sb. (¬ full1 cdclW-bj s sa ∨ cdclW-cp s (ss s) ∨ ¬ full cdclW-cp sa sb)
                ∨ cdclW-s' s sb
            using bj' by moura
            have full1 cdclW-bj S T
              by (simp add: cp(2) full1-def local.bj tranclp.r-into-trancl)
            then show ?thesis
              using f1 full n-s by blast
          qed
        next
          case (fbj U')
            then have full1 cdclW-bj S U'
              using bj unfolding full1-def by auto
            moreover have no-step cdclW-cp S
              using n-s by blast
            moreover have T = U
              using full fbj unfolding full1-def full-def rtranclp-unfold
              by (force dest!: tranclpD simp:cdclW-bj.simps)
            ultimately show ?thesis using cdclW-s'.bj'[of S U] using fbj by blast
          qed
        qed
      qed
    qed
  qed
qed

lemma cdclW-stgy-cdclW-s'-connected':
  assumes cdclW-stgy S U and cdclW-all-struct-inv S
  shows cdclW-s' S U
    ∨ (∃ U' U''. cdclW-s' S U'' ∧ full1 cdclW-bj U U' ∧ full cdclW-cp U' U'')
  using assms
  proof (induction rule: cdclW-stgy.induct)

```

```

case (conflict' T)
then have cdclW-s' S T
  using cdclW-s'.conflict' by blast
then show ?case
  by blast
next
case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)
show ?case
  using o
  proof cases
    case decide
    then show ?thesis using cdclW-s'.simps full n-s by blast
  next
  case bj
  have cdclW-all-struct-inv T
    using cdclW-all-struct-inv-inv o other other'.prems by blast
  then obtain T' where T': full cdclW-bj T T'
    using cdclW-bj-exists-normal-form unfolding full-def cdclW-all-struct-inv-def by metis
  then have full cdclW-bj S T'
    proof -
      have f1: cdclW-bj** T T' ∧ no-step cdclW-bj T'
        by (metis (no-types) T' full-def)
      then have cdclW-bj** S T'
        by (meson converse-rtranclp-into-rtranclp local.bj)
      then show ?thesis
        using f1 by (simp add: full-def)
    qed
  have cdclW-bj** T T'
    using T' unfolding full-def by simp
  have cdclW-all-struct-inv T
    using cdclW-all-struct-inv-inv o other other'.prems by blast
  then consider
    (T'U) full cdclW-cp T' U
  | (U) U' U'' where
    full cdclW-cp T' U'' and
    full1 cdclW-bj U U' and
    full cdclW-cp U' U'' and
    cdclW-s'** U U''
    using cdclW-cp-cdclW-bj-bissimulation[OF full ⟨cdclW-bj** T T'⟩] T' unfolding full-def
    by blast
  then show ?thesis by (metis T' cdclW-s'.simps full-full1 local.bj n-s)
qed
qed

```

lemma *cdcl_W-stgy-cdcl_W-s'-no-step:*
assumes *cdcl_W-stgy S U and cdcl_W-all-struct-inv S and no-step cdcl_W-bj U*
shows *cdcl_W-s' S U*
using *cdcl_W-stgy-cdcl_W-s'-connected[OF assms(1,2)] assms(3)*
by *(metis (no-types, lifting) full1-def tranclpD)*

lemma *rtranclp-cdcl_W-stgy-connected-to-rtranclp-cdcl_W-s':*
assumes *cdcl_W-stgy** S U and inv: cdcl_W-M-level-inv S*
shows *cdcl_W-s'** S U ∨ (∃ T. cdcl_W-s'** S T ∧ cdcl_W-bj⁺⁺ T U ∧ conflicting U ≠ None)*
using *assms(1)*
proof *induction*

```

case base
then show ?case by simp
next
case (step T V) note st = this(1) and o = this(2) and IH = this(3)
from o show ?case
proof cases
case conflict'
then have f2:  $cdcl_W\text{-}s' \ T \ V$ 
using  $cdcl_W\text{-}s'.conflict'$  by blast
obtain ss :: 'st where
f3:  $S = T \vee cdcl_W\text{-}stgy^{**} \ S \ ss \wedge cdcl_W\text{-}stgy \ ss \ T$ 
by (metis (full-types) rtranclp.simps st)
obtain ssa :: 'st where
 $cdcl_W\text{-}cp \ T \ ssa$ 
using conflict' by (metis (no-types) full1-def tranclpD)
then have  $S = T$ 
using f3 by (metis (no-types)  $cdcl_W\text{-}stgy.simps$  full-def full1-def)
then show ?thesis
using f2 by blast
next
case (other' U) note o = this(1) and n-s = this(2) and full = this(3)
then show ?thesis
using o
proof (cases rule:  $cdcl_W\text{-}o\text{-rule-cases}$ )
case decide
then have  $cdcl_W\text{-}s'^{**} \ S \ T$ 
using IH by auto
then show ?thesis
by (meson decide decide' full n-s rtranclp.rtrancl-into-rtrancl)
next
case backtrack
consider
(s')  $cdcl_W\text{-}s'^{**} \ S \ T$ 
| (bj)  $S' \text{ where } cdcl_W\text{-}s'^{**} \ S \ S' \text{ and } cdcl_W\text{-}bj^{++} \ S' \ T \text{ and conflicting } T \neq None$ 
using IH by blast
then show ?thesis
proof cases
case s'
moreover
have  $cdcl_W\text{-}M\text{-level-inv} \ T$ 
using  $inv \ local.step(1) \ rtranclp\text{-}cdcl_W\text{-}stgy\text{-consistent-inv}$  by auto
then have full1  $cdcl_W\text{-}bj \ T \ U$ 
using backtrack-is-full1- $cdcl_W\text{-}bj$  backtrack by blast
then have  $cdcl_W\text{-}s' \ T \ V$ 
using full bj' n-s by blast
ultimately show ?thesis by auto
next
case (bj S') note  $S\text{-}S' = this(1)$  and  $bj\text{-}T = this(2)$ 
have no-step  $cdcl_W\text{-}cp \ S'$ 
using bj-T by (fastforce simp:  $cdcl_W\text{-}cp.simps \ cdcl_W\text{-}bj.simps \ dest!:: \ tranclpD$ )
moreover
have  $cdcl_W\text{-}M\text{-level-inv} \ T$ 
using  $inv \ local.step(1) \ rtranclp\text{-}cdcl_W\text{-}stgy\text{-consistent-inv}$  by auto
then have full1  $cdcl_W\text{-}bj \ T \ U$ 
using backtrack-is-full1- $cdcl_W\text{-}bj$  backtrack by blast

```

```

    then have full1 cdclW-bj S' U
      using bj-T unfolding full1-def by fastforce
    ultimately have cdclW-s' S' V using full by (simp add: bj')
    then show ?thesis using S-S' by auto
  qed
next
case skip
then have [simp]: U = V
  using full converse-rtrancpE unfolding full-def by fastforce

consider
  (s') cdclW-s'^** S T
  | (bj) S' where cdclW-s'^** S S' and cdclW-bj^{++} S' T and conflicting T ≠ None
  using IH by blast
then show ?thesis
proof cases
case s'
have cdclW-bj^{++} T V
  using skip by force
moreover have conflicting V ≠ None
  using skip by auto
ultimately show ?thesis using s' by auto
next
case (bj S') note S-S' = this(1) and bj-T = this(2)
have cdclW-bj^{++} S' V
  using skip bj-T by (metis ⟨U = V⟩ cdclW-bj.skip trancp.simps)

  moreover have conflicting V ≠ None
    using skip by auto
  ultimately show ?thesis using S-S' by auto
qed
next
case resolve
then have [simp]: U = V
  using full converse-rtrancpE unfolding full-def by fastforce
consider
  (s') cdclW-s'^** S T
  | (bj) S' where cdclW-s'^** S S' and cdclW-bj^{++} S' T and conflicting T ≠ None
  using IH by blast
then show ?thesis
proof cases
case s'
have cdclW-bj^{++} T V
  using resolve by force
moreover have conflicting V ≠ None
  using resolve by auto
ultimately show ?thesis using s' by auto
next
case (bj S') note S-S' = this(1) and bj-T = this(2)
have cdclW-bj^{++} S' V
  using resolve bj-T by (metis ⟨U = V⟩ cdclW-bj.resolve trancp.simps)
moreover have conflicting V ≠ None
  using resolve by auto
ultimately show ?thesis using S-S' by auto
qed

```

```

      qed
    qed
  qed

lemma n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o:
  assumes inv: cdclW-all-struct-inv S
  shows no-step cdclW-s' S  $\longleftrightarrow$  no-step cdclW-cp S  $\wedge$  no-step cdclW-o S (is ?S' S  $\longleftrightarrow$  ?C S  $\wedge$  ?O S)
proof
  assume ?C S  $\wedge$  ?O S
  then show ?S' S
    by (auto simp: cdclW-s'.simps full1-def tranclp-unfold-begin)
next
  assume n-s: ?S' S
  have ?C S
  proof (rule ccontr)
    assume  $\neg$  ?thesis
    then obtain S' where cdclW-cp S S'
      by auto
    then obtain T where full1 cdclW-cp S T
      using cdclW-cp-normalized-element-all-inv inv by (metis (no-types, lifting) full-unfold)
    then show False using n-s cdclW-s'.conflict' by blast
  qed
  moreover have ?O S
  proof (rule ccontr)
    assume  $\neg$  ?thesis
    then obtain S' where cdclW-o S S'
      by auto
    then obtain T where full1 cdclW-cp S' T
      using cdclW-cp-normalized-element-all-inv inv
      by (meson cdclW-all-struct-inv-def n-s
        cdclW-stgy-cdclW-s'-connected' cdclW-then-exists-cdclW-stgy-step)
    then show False using n-s by (meson  $\langle$ cdclW-o S S' $\rangle$  cdclW-all-struct-inv-def
      cdclW-stgy-cdclW-s'-connected' cdclW-then-exists-cdclW-stgy-step inv)
  qed
  ultimately show ?C S  $\wedge$  ?O S by auto
qed

lemma cdclW-s'-tranclp-cdclW:
  cdclW-s' S S'  $\implies$  cdclW++ S S'
proof (induct rule: cdclW-s'.induct)
  case conflict'
  then show ?case
    by (simp add: full1-def tranclp-cdclW-cp-tranclp-cdclW)
next
  case decide'
  then show ?case
    using cdclW-stgy.simps cdclW-stgy-tranclp-cdclW by (meson cdclW-o.simps)
next
  case (bj' Sa S'a S'') note a2 = this(1) and a1 = this(2) and n-s = this(3)
  obtain ss :: 'st  $\Rightarrow$  'st  $\Rightarrow$  ('st  $\Rightarrow$  'st  $\Rightarrow$  bool)  $\Rightarrow$  'st where
     $\forall x0\ x1\ x2. (\exists v3. x2\ x1\ v3 \wedge x2^{**}\ v3\ x0) = (x2\ x1\ (ss\ x0\ x1\ x2) \wedge x2^{**}\ (ss\ x0\ x1\ x2)\ x0)$ 
    by moura
  then have f3:  $\forall p\ s\ sa. \neg p^{++}\ s\ sa \vee p\ s\ (ss\ sa\ s\ p) \wedge p^{**}\ (ss\ sa\ s\ p)\ sa$ 
    by (metis (full-types) tranclpD)
  have cdclW-bj++ Sa S'a  $\wedge$  no-step cdclW-bj S'a

```

```

    using a2 by (simp add: full1-def)
  then have  $cdcl_W\text{-}bj\ Sa\ (ss\ S'a\ Sa\ cdcl_W\text{-}bj) \wedge cdcl_W\text{-}bj^{**}\ (ss\ S'a\ Sa\ cdcl_W\text{-}bj)\ S'a$ 
    using f3 by auto
  then show  $cdcl_W^{++}\ Sa\ S''$ 
    using a1 n-s by (meson bj other rtrancpl-cdcl_W-bj-full1-cdclp-cdcl_W-stgy
      rtrancpl-cdcl_W-stgy-rtrancpl-cdcl_W rtrancpl-into-trancpl2)
qed

lemma  $trancpl\text{-}cdcl_W\text{-}s'\text{-}trancpl\text{-}cdcl_W$ :
   $cdcl_W\text{-}s'^{++}\ S\ S' \implies cdcl_W^{++}\ S\ S'$ 
  apply (induct rule: trancpl.induct)
  using  $cdcl_W\text{-}s'\text{-}trancpl\text{-}cdcl_W$  apply blast
  by (meson  $cdcl_W\text{-}s'\text{-}trancpl\text{-}cdcl_W$  trancpl-trans)

lemma  $rtrancpl\text{-}cdcl_W\text{-}s'\text{-}rtrancpl\text{-}cdcl_W$ :
   $cdcl_W\text{-}s'^{**}\ S\ S' \implies cdcl_W^{**}\ S\ S'$ 
  using rtrancpl-unfold[of  $cdcl_W\text{-}s'\ S\ S'$ ]  $trancpl\text{-}cdcl_W\text{-}s'\text{-}trancpl\text{-}cdcl_W$ [of  $S\ S'$ ] by auto

lemma  $full\text{-}cdcl_W\text{-}stgy\text{-}iff\text{-}full\text{-}cdcl_W\text{-}s'$ :
  assumes  $inv$ :  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$ 
  shows  $full\ cdcl_W\text{-}stgy\ S\ T \longleftrightarrow full\ cdcl_W\text{-}s'\ S\ T$  (is  $?S \longleftrightarrow ?S'$ )
proof
  assume  $?S'$ 
  then have  $cdcl_W^{**}\ S\ T$ 
    using  $rtrancpl\text{-}cdcl_W\text{-}s'\text{-}rtrancpl\text{-}cdcl_W$ [of  $S\ T$ ] unfolding full-def by blast
  then have  $inv'$ :  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ T$ 
    using  $rtrancpl\text{-}cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ inv$  by blast
  have  $cdcl_W\text{-}stgy^{**}\ S\ T$ 
    using  $\langle ?S' \rangle$  unfolding full-def
    using  $cdcl_W\text{-}s'\text{-}is\text{-}rtrancpl\text{-}cdcl_W\text{-}stgy\ rtrancpl\text{-}mono$ [of  $cdcl_W\text{-}s'\ cdcl_W\text{-}stgy^{**}$ ] by auto
  then show  $?S$ 
    using  $\langle ?S' \rangle\ inv'\ cdcl_W\text{-}stgy\text{-}cdcl_W\text{-}s'\text{-}connected'$  unfolding full-def by blast
next
  assume  $?S$ 
  then have  $inv\text{-}T$ :  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ T$ 
    by (metis assms full-def rtrancpl-cdcl_W-all-struct-inv-inv rtrancpl-cdcl_W-stgy-rtrancpl-cdcl_W)

consider
  ( $s'$ )  $cdcl_W\text{-}s'^{**}\ S\ T$ 
  | ( $st$ )  $S'$  where  $cdcl_W\text{-}s'^{**}\ S\ S'$  and  $cdcl_W\text{-}bj^{++}\ S'\ T$  and conflicting  $T \neq None$ 
  using  $rtrancpl\text{-}cdcl_W\text{-}stgy\text{-}connected\text{-}to\text{-}rtrancpl\text{-}cdcl_W\text{-}s'$ [of  $S\ T$ ]  $inv\ \langle ?S \rangle$ 
  unfolding full-def  $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def$ 
  by blast
then show  $?S'$ 
proof cases
  case  $s'$ 
  then show  $?thesis$ 
    by (metis  $\langle full\ cdcl_W\text{-}stgy\ S\ T \rangle\ inv\text{-}T\ cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def\ cdcl_W\text{-}s'\text{-}simps$ 
       $cdcl_W\text{-}stgy\text{-}conflict'\ cdcl_W\text{-}then\text{-}exists\text{-}cdcl_W\text{-}stgy\text{-}step\ full\text{-}def$ 
       $n\text{-}step\text{-}cdcl_W\text{-}stgy\text{-}iff\text{-}no\text{-}step\text{-}cdcl_W\text{-}cl\text{-}cdcl_W\text{-}o$ )
next
  case ( $st\ S'$ )
  have  $full\ cdcl_W\text{-}cp\ T\ T$ 
    using  $option\text{-}full\text{-}cdcl_W\text{-}cp\ st(3)$  by blast
  moreover

```



```

  have n-s: no-step cdclW-bj T
    by (metis ⟨full cdclW-stgy S T⟩ bj inv-T cdclW-all-struct-inv-def
      cdclW-then-exists-cdclW-stgy-step full-def)
  then have full1 cdclW-bj S' T
    using st(2) unfolding full1-def by blast
  moreover have no-step cdclW-cp S'
    using st(2) by (fastforce dest!: tranclpD simp: cdclW-cp.simps cdclW-bj.simps)
  ultimately have cdclW-s' S' T
    using cdclW-s'.bj'[of S' T T] by blast
  then have cdclW-s!* S T
    using st(1) by auto
  moreover have no-step cdclW-s' T
    using inv-T by (metis ⟨full cdclW-cp T T⟩ ⟨full cdclW-stgy S T⟩ cdclW-all-struct-inv-def
      cdclW-then-exists-cdclW-stgy-step full-def n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)
  ultimately show ?thesis
    unfolding full-def by blast
qed
qed

```

lemma *conflict-step-cdcl_W-stgy-step*:

```

  assumes
    conflict S T
    cdclW-all-struct-inv S
  shows ∃ T. cdclW-stgy S T
proof -
  obtain U where full cdclW-cp S U
    using cdclW-cp-normalized-element-all-inv assms by blast
  then have full1 cdclW-cp S U
    by (metis cdclW-cp.conflict' assms(1) full-unfold)
  then show ?thesis using cdclW-stgy.conflict' by blast
qed

```

lemma *decide-step-cdcl_W-stgy-step*:

```

  assumes
    decide S T
    cdclW-all-struct-inv S
  shows ∃ T. cdclW-stgy S T
proof -
  obtain U where full cdclW-cp T U
    using cdclW-cp-normalized-element-all-inv by (meson assms(1) assms(2) cdclW-all-struct-inv-inv
      cdclW-cp-normalized-element-all-inv decide other)
  then show ?thesis
    by (metis assms cdclW-cp-normalized-element-all-inv cdclW-stgy.conflict' decide full-unfold
      other')
qed

```

lemma *rtranclp-cdcl_W-cp-conflicting-Some*:

```

  cdclW-cp** S T ⟹ conflicting S = Some D ⟹ S = T
  using rtranclpD tranclpD by fastforce

```

inductive *cdcl_W-merge-cp* :: 'st ⇒ 'st ⇒ bool **where**

```

  conflict[intro]: conflict S T ⟹ full cdclW-bj T U ⟹ cdclW-merge-cp S U |
  propagate[intro]: propagate++ S S' ⟹ cdclW-merge-cp S S'

```

lemma *cdcl_W-merge-restart-cases*[consumes 1, case-names conflict propagate]:

```

assumes
  cdclW-merge-cp S U and
   $\bigwedge T. \text{conflict } S \ T \implies \text{full } \text{cdcl}_W\text{-bj } T \ U \implies P \text{ and}$ 
  propagate++ S U  $\implies$  P
shows P
using assms unfolding cdclW-merge-cp.simps by auto

lemma cdclW-merge-cp-tranclp-cdclW-merge:
  cdclW-merge-cp S T  $\implies$  cdclW-merge++ S T
apply (induction rule: cdclW-merge-cp.induct)
  using cdclW-merge.simps apply auto[1]
using tranclp-mono[of propagate cdclW-merge] fw-propagate by blast

lemma rtranclp-cdclW-merge-cp-rtranclp-cdclW:
  cdclW-merge-cp** S T  $\implies$  cdclW** S T
apply (induction rule: rtranclp-induct)
apply simp
unfolding cdclW-merge-cp.simps by (meson cdclW-merge-restart-cdclW fw-r-conflict
  rtranclp-propagate-is-rtranclp-cdclW rtranclp-trans tranclp-into-rtranclp)

lemma full1-cdclW-bj-no-step-cdclW-bj:
  full1 cdclW-bj S T  $\implies$  no-step cdclW-cp S
by (metis rtranclp-unfold cdclW-cp-conflicting-not-empty option.exhaust full1-def
  rtranclp-cdclW-merge-restart-no-step-cdclW-bj tranclpD)

inductive cdclW-s'-without-decide where
  conflict'-without-decide[intro]: full1 cdclW-cp S S'  $\implies$  cdclW-s'-without-decide S S' |
  bj'-without-decide[intro]: full1 cdclW-bj S S'  $\implies$  no-step cdclW-cp S  $\implies$  full cdclW-cp S' S''
   $\implies$  cdclW-s'-without-decide S S''

lemma rtranclp-cdclW-s'-without-decide-rtranclp-cdclW:
  cdclW-s'-without-decide** S T  $\implies$  cdclW** S T
apply (induction rule: rtranclp-induct)
apply simp
by (meson cdclW-s'.simps cdclW-s'-tranclp-cdclW cdclW-s'-without-decide.simps
  rtranclp-tranclp-tranclp tranclp-into-rtranclp)

lemma rtranclp-cdclW-s'-without-decide-rtranclp-cdclW-s':
  cdclW-s'-without-decide** S T  $\implies$  cdclW-s'*** S T
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by simp
next
  case (step y z) note a2 = this(2) and a1 = this(3)
  have cdclW-s' y z
  using a2 by (metis (no-types) bj' cdclW-s'.conflict' cdclW-s'-without-decide.cases)
  then show cdclW-s'*** S z
  using a1 by (meson r-into-rtranclp rtranclp-trans)
qed

lemma rtranclp-cdclW-merge-cp-is-rtranclp-cdclW-s'-without-decide:
assumes
  cdclW-merge-cp** S V
  conflicting S = None
shows

```

```

(cdcW-s'-without-decide** S V)
∨ (∃ T. cdcW-s'-without-decide** S T ∧ propagate++ T V)
∨ (∃ T U. cdcW-s'-without-decide** S T ∧ full1 cdcW-bj T U ∧ propagate** U V)
using assms
proof (induction rule: rtrancp-induct)
  case base
  then show ?case by simp
next
case (step U V) note st = this(1) and cp = this(2) and IH = this(3)[OF this(4)]
from cp show ?case
  proof (cases rule: cdcW-merge-restart-cases)
    case propagate
    then show ?thesis using IH by (meson rtrancp-trancp-trancp trancp-into-rtrancp)
  next
  case (conflict U') note confl = this(1) and bj = this(2)
  have full1-U-U': full1 cdcW-cp U U'
  by (simp add: conflict-is-full1-cdcW-cp local.conflict(1))
  consider
    (s') cdcW-s'-without-decide** S U
  | (propa) T' where cdcW-s'-without-decide** S T' and propagate++ T' U
  | (bj-prop) T' T'' where
    cdcW-s'-without-decide** S T' and
    full1 cdcW-bj T' T'' and
    propagate** T'' U
  using IH by blast
  then show ?thesis
  proof cases
    case s'
    have cdcW-s'-without-decide U U'
    using full1-U-U' conflict'-without-decide by blast
    then have cdcW-s'-without-decide** S U'
    using ⟨cdcW-s'-without-decide** S U⟩ by auto
    moreover have U' = V ∨ full1 cdcW-bj U' V
    using bj by (meson full-unfold)
    ultimately show ?thesis by blast
  next
  case propa note s' = this(1) and T'-U = this(2)
  have full1 cdcW-cp T' U'
  using rtrancp-mono[of propagate cdcW-cp] T'-U cdcW-cp.propagate' full1-U-U'
  rtrancp-full1I[of cdcW-cp T'] by (metis (full-types) predicate2D predicate2I
    trancp-into-rtrancp)
  have cdcW-s'-without-decide** S U'
  using ⟨full1 cdcW-cp T' U'⟩ conflict'-without-decide s' by force
  have full1 cdcW-bj U' V ∨ V = U'
  by (metis (lifting) full-unfold local.bj)
  then show ?thesis
  using ⟨cdcW-s'-without-decide** S U'⟩ by blast
  next
  case bj-prop note s' = this(1) and bj-T' = this(2) and T''-U = this(3)
  have no-step cdcW-cp T'
  using bj-T' full1-cdcW-bj-no-step-cdcW-bj by blast
  moreover have full1 cdcW-cp T'' U'
  using rtrancp-mono[of propagate cdcW-cp] T''-U cdcW-cp.propagate' full1-U-U'
  rtrancp-full1I[of cdcW-cp T''] by blast
  ultimately have cdcW-s'-without-decide T' U'

```

```

    using bj'-without-decide[of T' T'' U] bj-T' by (simp add: full-unfold)
  then have cdclW-s'-without-decide** S U'
    using s' rtrancp.intros(2)[of - S T' U] by blast
  then show ?thesis
    by (metis full-unfold local.bj rtrancp.rtrancp-refl)
qed
qed
qed

```

lemma *rtrancp-cdcl_W-s'-without-decide-is-rtrancp-cdcl_W-merge-cp:*

```

  assumes
    cdclW-s'-without-decide** S V and
    confl: conflicting S = None
  shows
    (cdclW-merge-cp** S V ∧ conflicting V = None)
    ∨ (cdclW-merge-cp** S V ∧ conflicting V ≠ None ∧ no-step cdclW-cp V ∧ no-step cdclW-bj V)
    ∨ (∃ T. cdclW-merge-cp** S T ∧ conflict T V)
  using assms(1)
proof (induction)
  case base
  then show ?case using confl by auto
next
  case (step U V) note st = this(1) and s = this(2) and IH = this(3)
  from s show ?case
  proof (cases rule: cdclW-s'-without-decide.cases)
    case conflict'-without-decide
    then have rt: cdclW-cp++ U V unfolding full1-def by fast
    then have conflicting U = None
      using trancp-cdclW-cp-propagate-with-conflict-or-not[of U V]
      conflict by (auto dest!: trancpD simp: rtrancp-unfold)
    then have cdclW-merge-cp** S U using IH by auto
    consider
      (propa) propagate++ U V
      | (confl') conflict U V
      | (propa-confl') U' where propagate++ U U' conflict U' V
    using trancp-cdclW-cp-propagate-with-conflict-or-not[OF rt] unfolding rtrancp-unfold
    by fastforce
  then show ?thesis
  proof cases
    case propa
    then have cdclW-merge-cp U V
      by auto
    moreover have conflicting V = None
      using propa unfolding trancp-unfold-end by auto
    ultimately show ?thesis using ⟨cdclW-merge-cp** S U⟩ by force
  next
    case confl'
    then show ?thesis using ⟨cdclW-merge-cp** S U⟩ by auto
  next
    case propa-confl' note propa = this(1) and confl' = this(2)
    then have cdclW-merge-cp U U' by auto
    then have cdclW-merge-cp** S U' using ⟨cdclW-merge-cp** S U⟩ by auto
    then show ?thesis using ⟨cdclW-merge-cp** S U⟩ confl' by auto
  qed
qed

```

```

next
case (bj'-without-decide U') note full-bj = this(1) and cp = this(3)
then have conflicting U ≠ None
  using full-bj unfolding full1-def by (fastforce dest!: tranclpD simp: cdclW-bj.simps)
with IH obtain T where
  S-T: cdclW-merge-cp** S T and T-U: conflict T U
  using full-bj unfolding full1-def by (blast dest: tranclpD)
then have cdclW-merge-cp T U'
  using cdclW-merge-cp.conflict'[of T U U'] full-bj by (simp add: full-unfold)
then have S-U': cdclW-merge-cp** S U' using S-T by auto
consider
  (n-s) U' = V
  | (propa) propagate++ U' V
  | (confl') conflict U' V
  | (propa-confl') U'' where propagate++ U' U'' conflict U'' V
  using tranclp-cdclW-cp-propagate-with-conflict-or-not cp
  unfolding rtranclp-unfold full-def by metis
then show ?thesis
proof cases
case propa
  then have cdclW-merge-cp U' V by auto
  moreover have conflicting V = None
    using propa unfolding tranclp-unfold-end by auto
  ultimately show ?thesis using S-U' by force
next
case confl'
  then show ?thesis using S-U' by auto
next
case propa-confl' note propa = this(1) and confl = this(2)
  have cdclW-merge-cp U' U'' using propa by auto
  then show ?thesis using S-U' confl by (meson rtranclp.rtrancl-into-rtrancl)
next
case n-s
  then show ?thesis
    using S-U' apply (cases conflicting V = None)
    using full-bj apply simp
    by (metis cp full-def full-unfold full-bj)
qed
qed
qed

```

lemma *no-step-cdclW-s'-no-ste-cdclW-merge-cp:*
assumes
cdclW-all-struct-inv S
conflicting S = None
no-step cdclW-s' S
shows *no-step cdclW-merge-cp S*
using *assms* **apply** (auto simp: cdclW-s'.simps cdclW-merge-cp.simps)
using *conflict-is-full1-cdclW-cp* **apply** blast
using *cdclW-cp-normalized-element-all-inv cdclW-cp.propagate'* **by** (metis cdclW-cp.propagate'
full-unfold tranclpD)

The *no-step decide S* is needed, since *cdclW-merge-cp* is *cdclW-s'* without *decide*.

lemma *conflicting-true-no-step-cdclW-merge-cp-no-step-s'-without-decide:*
assumes

confl: conflicting $S = \text{None}$ and
inv: $\text{cdcl}_W\text{-M-level-inv } S$ and
n-s: $\text{no-step cdcl}_W\text{-merge-cp } S$
shows $\text{no-step cdcl}_W\text{-s'-without-decide } S$
proof (rule *ccontr*)
assume $\neg \text{no-step cdcl}_W\text{-s'-without-decide } S$
then obtain T **where**
cdcl_W: $\text{cdcl}_W\text{-s'-without-decide } S \ T$
by *auto*
then have $\text{inv-T: cdcl}_W\text{-M-level-inv } T$
using $\text{rtrancpl-cdcl}_W\text{-s'-without-decide-rtrancpl-cdcl}_W[\text{of } S \ T]$
 $\text{rtrancpl-cdcl}_W\text{-consistent-inv inv}$ **by** *blast*
from cdcl_W **show** *False*
proof *cases*
case *conflict'-without-decide*
have $\text{no-step propagate } S$
using *n-s* **by** *blast*
then have $\text{conflict } S \ T$
using $\text{local.conflict' trancpl-cdcl}_W\text{-cp-propagate-with-conflict-or-not}[\text{of } S \ T]$
unfolding *full1-def* **by** (*metis full1-def local.conflict'-without-decide rtrancpl-unfold trancpl-unfold-begin*)
moreover
then obtain T' **where** $\text{full cdcl}_W\text{-bj } T \ T'$
using $\text{cdcl}_W\text{-bj-exists-normal-form inv-T}$ **by** *blast*
ultimately show *False* **using** $\text{cdcl}_W\text{-merge-cp.conflict' n-s}$ **by** *meson*
next
case (*bj'-without-decide S'*)
then show *?thesis*
using *confl* **unfolding** *full1-def* **by** (*fastforce simp: cdcl_W-bj.simps dest: trancplD*)
qed
qed

lemma *conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp*:
assumes
inv: $\text{cdcl}_W\text{-all-struct-inv } S$ and
n-s: $\text{no-step cdcl}_W\text{-s'-without-decide } S$
shows $\text{no-step cdcl}_W\text{-merge-cp } S$
proof (rule *ccontr*)
assume $\neg ?thesis$
then obtain T **where** $\text{cdcl}_W\text{-merge-cp } S \ T$
by *auto*
then show *False*
proof *cases*
case (*conflict' S'*)
then show *False* **using** $\text{n-s conflict'-without-decide conflict-is-full1-cdcl}_W\text{-cp}$ **by** *blast*
next
case *propagate'*
moreover
have $\text{cdcl}_W\text{-all-struct-inv } T$
using *inv* **by** (*meson local.propagate' rtrancpl-cdcl_W-all-struct-inv-inv rtrancpl-propagate-is-rtrancpl-cdcl_W trancpl-into-rtrancpl*)
then obtain U **where** $\text{full cdcl}_W\text{-cp } T \ U$
using $\text{cdcl}_W\text{-cp-normalized-element-all-inv}$ **by** *auto*
ultimately have $\text{full1 cdcl}_W\text{-cp } S \ U$
using $\text{trancpl-full-full1I}[\text{of cdcl}_W\text{-cp } S \ T \ U]$ $\text{cdcl}_W\text{-cp.propagate'}$

$\text{trancpl-mono[of propagate cdcl}_W\text{-cp] by blast}$
then show $\text{False using conflict'-without-decide n-s by blast}$
qed
qed

lemma $\text{no-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp:}$
 $\text{no-step cdcl}_W\text{-merge-cp } S \implies \text{cdcl}_W\text{-M-level-inv } S \implies \text{no-step cdcl}_W\text{-cp } S$
using $\text{cdcl}_W\text{-bj-exists-normal-form cdcl}_W\text{-consistent-inv[OF cdcl}_W\text{.conflict, of } S]$
by $(\text{metis cdcl}_W\text{-cp.cases cdcl}_W\text{-merge-cp.simps trancpl.intros(1))$

lemma $\text{conflicting-not-true-rtrancpl-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-bj:}$
assumes
 $\text{conflicting } S = \text{None and}$
 $\text{cdcl}_W\text{-merge-cp}^{**} S T$
shows $\text{no-step cdcl}_W\text{-bj } T$
using $\text{assms(2,1) by (induction)}$
 $(\text{fastforce simp: cdcl}_W\text{-merge-cp.simps full-def trancpl-unfold-end cdcl}_W\text{-bj.simps})+$

lemma $\text{conflicting-true-full-cdcl}_W\text{-merge-cp-iff-full-cdcl}_W\text{-s'-without-decode:}$
assumes
 $\text{confl: conflicting } S = \text{None and}$
 $\text{inv: cdcl}_W\text{-all-struct-inv } S$
shows
 $\text{full cdcl}_W\text{-merge-cp } S V \longleftrightarrow \text{full cdcl}_W\text{-s'-without-decode } S V \text{ (is ?fw } \longleftrightarrow ?s')$

proof

assume $?fw$
then have $\text{st: cdcl}_W\text{-merge-cp}^{**} S V$ **and** $\text{n-s: no-step cdcl}_W\text{-merge-cp } V$
unfolding $\text{full-def by blast+}$
have $\text{inv-V: cdcl}_W\text{-all-struct-inv } V$
using $\text{rtrancpl-cdcl}_W\text{-merge-cp-rtrancpl-cdcl}_W\text{[of } S V] \langle ?fw \rangle$ **unfolding** full-def
by $(\text{simp add: inv rtrancpl-cdcl}_W\text{-all-struct-inv-inv})$
consider
 $(s') \text{ cdcl}_W\text{-s'-without-decode}^{**} S V$
 $| (\text{propa}) T \text{ where } \text{cdcl}_W\text{-s'-without-decode}^{**} S T \text{ and } \text{propagate}^{++} T V$
 $| (\text{bj}) T U \text{ where } \text{cdcl}_W\text{-s'-without-decode}^{**} S T \text{ and } \text{full1 cdcl}_W\text{-bj } T U \text{ and } \text{propagate}^{**} U V$
using $\text{rtrancpl-cdcl}_W\text{-merge-cp-is-rtrancpl-cdcl}_W\text{-s'-without-decode confl st n-s by metis}$
then have $\text{cdcl}_W\text{-s'-without-decode}^{**} S V$

proof cases

case s'
then show $?thesis .$

next

case propa **note** $s' = \text{this(1)}$ **and** $\text{propa} = \text{this(2)}$

have $\text{no-step cdcl}_W\text{-cp } V$

using $\text{no-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp n-s inv-V}$

unfolding $\text{cdcl}_W\text{-all-struct-inv-def by blast}$

then have $\text{full1 cdcl}_W\text{-cp } T V$

using $\text{propa trancpl-mono[of propagate cdcl}_W\text{-cp] cdcl}_W\text{-cp.propagate'}$ **unfolding** full1-def
by blast

then have $\text{cdcl}_W\text{-s'-without-decode } T V$

using $\text{conflict'-without-decide by blast}$

then show $?thesis$ **using** s' **by** auto

next

case bj **note** $s' = \text{this(1)}$ **and** $\text{bj} = \text{this(2)}$ **and** $\text{propa} = \text{this(3)}$

have $\text{no-step cdcl}_W\text{-cp } V$

using $\text{no-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp n-s inv-V}$

```

    unfolding cdclW-all-struct-inv-def by blast
  then have full cdclW-cp U V
    using propa rtranclp-mono[of propagate cdclW-cp] cdclW-cp.propagate' unfolding full-def
    by blast
  moreover have no-step cdclW-cp T
    using bj unfolding full1-def by (fastforce dest!: tranclpD simp:cdclW-bj.simps)
  ultimately have cdclW-s'-without-decide T V
    using bj'-without-decide[of T U V] bj by blast
  then show ?thesis using s' by auto
qed
moreover have no-step cdclW-s'-without-decide V
proof (cases conflicting V = None)
case False
{ fix ss :: 'st
  have ff1:  $\forall s \text{ sa. } \neg \text{cdcl}_W\text{-s}' s \text{ sa} \vee \text{full1 cdcl}_W\text{-cp s sa}$ 
     $\vee (\exists sb. \text{decide s sb} \wedge \text{no-step cdcl}_W\text{-cp s} \wedge \text{full cdcl}_W\text{-cp sb sa})$ 
     $\vee (\exists sb. \text{full1 cdcl}_W\text{-bj s sb} \wedge \text{no-step cdcl}_W\text{-cp s} \wedge \text{full cdcl}_W\text{-cp sb sa})$ 
    by (metis cdclW-s'.cases)
  have ff2:  $(\forall p \text{ s sa. } \neg \text{full1 p (s::'st) sa} \vee p^{++} s \text{ sa} \wedge \text{no-step p sa})$ 
     $\wedge (\forall p \text{ s sa. } (\neg p^{++} (s::'st) sa \vee (\exists s. p \text{ sa s})) \vee \text{full1 p s sa})$ 
    by (meson full1-def)
  obtain ssa :: ('st  $\Rightarrow$  'st  $\Rightarrow$  bool)  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  'st where
    ff3:  $\forall p \text{ s sa. } \neg p^{++} s \text{ sa} \vee p \text{ s (ssa p s sa)} \wedge p^{**} (ssa p s sa) \text{ sa}$ 
    by (metis (no-types) tranclpD)
  then have a3:  $\neg \text{cdcl}_W\text{-cp}^{++} V \text{ ss}$ 
    using False by (metis option-full-cdclW-cp full-def)
  have  $\bigwedge s. \neg \text{cdcl}_W\text{-bj}^{++} V s$ 
    using ff3 False by (metis confl st
      conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj)
  then have  $\neg \text{cdcl}_W\text{-s}'\text{-without-decide } V \text{ ss}$ 
    using ff1 a3 ff2 by (metis cdclW-s'-without-decide.cases)
}
}
then show ?thesis
  by fastforce
next
case True
  then show ?thesis
    using conflicting-true-no-step-cdclW-merge-cp-no-step-s'-without-decide n-s inv-V
    unfolding cdclW-all-struct-inv-def by blast
qed
ultimately show ?s' unfolding full-def by blast
next
assume s': ?s'
  then have st: cdclW-s'-without-decide** S V and n-s: no-step cdclW-s'-without-decide V
    unfolding full-def by auto
  then have cdclW** S V
    using rtranclp-cdclW-s'-without-decide-rtranclp-cdclW st by blast
  then have inv-V: cdclW-all-struct-inv V using inv rtranclp-cdclW-all-struct-inv-inv by blast
  then have n-s-cp-V: no-step cdclW-cp V
    using cdclW-cp-normalized-element-all-inv[of V] full-fullI[of cdclW-cp V] n-s
    conflict'-without-decide conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp
    no-step-cdclW-merge-cp-no-step-cdclW-cp
    unfolding cdclW-all-struct-inv-def by presburger
  have n-s-bj: no-step cdclW-bj V
  proof (rule ccontr)

```



```

assume  $\neg ?thesis$ 
then obtain  $W$  where  $W: cdcl_W\text{-}bj\ V\ W$  by blast
have  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ W$ 
  using  $W\ cdcl_W.simps\ cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ inv\text{-}V$  by blast
then obtain  $W'$  where  $full1\ cdcl_W\text{-}bj\ V\ W'$ 
  using  $cdcl_W\text{-}bj\text{-}exists\text{-}normal\text{-}form[of\ W]\ full\text{-}fullI[of\ cdcl_W\text{-}bj\ V\ W]\ W$ 
  unfolding  $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def$ 
  by blast
moreover
  then have  $cdcl_W^{++}\ V\ W'$ 
    using  $trancp\text{-}mono[of\ cdcl_W\text{-}bj\ cdcl_W]\ cdcl_W.other\ cdcl_W\text{-}o.bj$  unfolding  $full1\text{-}def$  by blast
  then have  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ W'$ 
    by (meson  $inv\text{-}V\ rtrancp\text{-}cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ trancp\text{-}into\text{-}rtrancp$ )
  then obtain  $X$  where  $full\ cdcl_W\text{-}cp\ W'\ X$ 
    using  $cdcl_W\text{-}cp\text{-}normalized\text{-}element\text{-}all\text{-}inv$  by blast
ultimately show False
  using  $bj'\text{-}without\text{-}decide\ n\text{-}s\text{-}cp\text{-}V\ n\text{-}s$  by blast
qed
from  $s'$  consider
  (cp-true)  $cdcl_W\text{-}merge\text{-}cp^{**}\ S\ V$  and  $conflicting\ V = None$ 
| (cp-false)  $cdcl_W\text{-}merge\text{-}cp^{**}\ S\ V$  and  $conflicting\ V \neq None$  and  $no\text{-}step\ cdcl_W\text{-}cp\ V$  and
   $no\text{-}step\ cdcl_W\text{-}bj\ V$ 
| (cp-conf)  $T$  where  $cdcl_W\text{-}merge\text{-}cp^{**}\ S\ T\ conflict\ T\ V$ 
using  $rtrancp\text{-}cdcl_W\text{-}s'\text{-}without\text{-}decide\text{-}is\text{-}rtrancp\text{-}cdcl_W\text{-}merge\text{-}cp[of\ S\ V]\ confl$ 
unfolding  $full\text{-}def$  by meson
then have  $cdcl_W\text{-}merge\text{-}cp^{**}\ S\ V$ 
proof cases
  case cp-conf note  $S\text{-}T = this(1)$  and  $conf\text{-}V = this(2)$ 
  have  $full\ cdcl_W\text{-}bj\ V\ V$ 
    using  $conf\text{-}V\ n\text{-}s\text{-}bj$  unfolding  $full\text{-}def$  by fast
  then have  $cdcl_W\text{-}merge\text{-}cp\ T\ V$ 
    using  $cdcl_W\text{-}merge\text{-}cp.conflict'\ conf\text{-}V$  by auto
  then show  $?thesis$  using  $S\text{-}T$  by auto
qed fast+
moreover
  then have  $cdcl_W^{**}\ S\ V$  using  $rtrancp\text{-}cdcl_W\text{-}merge\text{-}cp\text{-}rtrancp\text{-}cdcl_W$  by blast
  then have  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ V$ 
    using  $inv\ rtrancp\text{-}cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv$  by blast
  then have  $no\text{-}step\ cdcl_W\text{-}merge\text{-}cp\ V$ 
    using  $conflicting\text{-}true\text{-}no\text{-}step\text{-}s'\text{-}without\text{-}decide\text{-}no\text{-}step\text{-}cdcl_W\text{-}merge\text{-}cp\ s'$ 
    unfolding  $full\text{-}def$  by blast
ultimately show  $?fw$  unfolding  $full\text{-}def$  by auto
qed

lemma  $conflicting\text{-}true\text{-}full1\text{-}cdcl_W\text{-}merge\text{-}cp\text{-}iff\text{-}full1\text{-}cdcl_W\text{-}s'\text{-}without\text{-}decode$ :
assumes
   $confl: conflicting\ S = None$  and
   $inv: cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$ 
shows
   $full1\ cdcl_W\text{-}merge\text{-}cp\ S\ V \longleftrightarrow full1\ cdcl_W\text{-}s'\text{-}without\text{-}decide\ S\ V$ 
proof –
  have  $full\ cdcl_W\text{-}merge\text{-}cp\ S\ V = full\ cdcl_W\text{-}s'\text{-}without\text{-}decide\ S\ V$ 
    using  $confl\ conflicting\text{-}true\text{-}full\text{-}cdcl_W\text{-}merge\text{-}cp\text{-}iff\text{-}full\text{-}cdcl_W\text{-}s'\text{-}without\text{-}decode\ inv$ 
    by blast
  then show  $?thesis$  unfolding  $full\text{-}unfold\ full1\text{-}def$ 

```

by (metis (mono-tags) tranclp-unfold-begin)
qed

lemma *conflicting-true-full1-cdcl_W-merge-cp-imp-full1-cdcl_W-s'-without-decode:*

assumes

fw: full1 cdcl_W-merge-cp *S V* **and**

inv: cdcl_W-all-struct-inv *S*

shows

full1 cdcl_W-s'-without-decode *S V*

proof –

have *conflicting S* = None

using *fw* **unfolding** full1-def **by** (auto dest!: tranclpD simp: cdcl_W-merge-cp.simps)

then show ?thesis

using *conflicting-true-full1-cdcl_W-merge-cp-iff-full1-cdcl_W-s'-without-decode fw inv* **by** blast

qed

inductive cdcl_W-merge-stgy **where**

fw-s-cp[intro]: full1 cdcl_W-merge-cp *S T* \implies cdcl_W-merge-stgy *S T* |

fw-s-decide[intro]: decide *S T* \implies no-step cdcl_W-merge-cp *S* \implies full cdcl_W-merge-cp *T U*
 \implies cdcl_W-merge-stgy *S U*

lemma *cdcl_W-merge-stgy-tranclp-cdcl_W-merge:*

assumes *fw*: cdcl_W-merge-stgy *S T*

shows cdcl_W-merge⁺⁺ *S T*

proof –

{ **fix** *S T*

assume full1 cdcl_W-merge-cp *S T*

then have cdcl_W-merge⁺⁺ *S T*

using tranclp-mono[of cdcl_W-merge-cp cdcl_W-merge⁺⁺] cdcl_W-merge-cp-tranclp-cdcl_W-merge

unfolding full1-def

by auto

} **note** full1-cdcl_W-merge-cp-cdcl_W-merge = *this*

show ?thesis

using *fw*

apply (induction rule: cdcl_W-merge-stgy.induct)

using full1-cdcl_W-merge-cp-cdcl_W-merge **apply** simp

unfolding full-unfold **by** (auto dest!: full1-cdcl_W-merge-cp-cdcl_W-merge *fw-decide*)

qed

lemma *rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-merge:*

assumes *fw*: cdcl_W-merge-stgy^{**} *S T*

shows cdcl_W-merge^{**} *S T*

using *fw* cdcl_W-merge-stgy-tranclp-cdcl_W-merge rtranclp-mono[of cdcl_W-merge-stgy cdcl_W-merge⁺⁺]

unfolding tranclp-rtranclp-rtranclp **by** blast

lemma *cdcl_W-merge-stgy-rtranclp-cdcl_W:*

cdcl_W-merge-stgy *S T* \implies cdcl_W^{**} *S T*

apply (induction rule: cdcl_W-merge-stgy.induct)

using rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W **unfolding** full1-def

apply (simp add: tranclp-into-rtranclp)

using rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W cdcl_W-o.decide cdcl_W-other **unfolding** full-def

by (meson r-into-rtranclp rtranclp-trans)

lemma *rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W:*

cdcl_W-merge-stgy^{**} *S T* \implies cdcl_W^{**} *S T*

using *rtrancpl-mono*[of *cdcl_W-merge-stgy cdcl_W***] *cdcl_W-merge-stgy-rtrancpl-cdcl_W* **by** *auto*

lemma *cdcl_W-merge-stgy-cases*[*consumes 1, case-names fw-s-cp fw-s-decide*]:
assumes
cdcl_W-merge-stgy S U
full1 cdcl_W-merge-cp S U \implies P
 $\bigwedge T. \text{decide } S \ T \implies \text{no-step } cdcl_W\text{-merge-cp } S \implies \text{full } cdcl_W\text{-merge-cp } T \ U \implies P$
shows *P*
using *assms* **by** (*auto simp: cdcl_W-merge-stgy.simps*)

inductive *cdcl_W-s'-w :: 'st \Rightarrow 'st \Rightarrow bool* **where**
conflict': *full1 cdcl_W-s'-without-decide S S' \implies cdcl_W-s'-w S S' |*
decide': *decide S S' \implies no-step cdcl_W-s'-without-decide S \implies full cdcl_W-s'-without-decide S' S''*
 $\implies cdcl_W\text{-s'-w } S \ S''$

lemma *cdcl_W-s'-w-rtrancpl-cdcl_W*:
*cdcl_W-s'-w S T \implies cdcl_W** S T*
apply (*induction rule: cdcl_W-s'-w.induct*)
using *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W* **unfolding** *full1-def*
apply (*simp add: trancpl-into-rtrancpl*)
using *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W* **unfolding** *full-def*
by (*meson decide other rtrancpl-into-trancpl2 trancpl-into-rtrancpl*)

lemma *rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W*:
*cdcl_W-s'-w** S T \implies cdcl_W** S T*
using *rtrancpl-mono*[of *cdcl_W-s'-w cdcl_W***] *cdcl_W-s'-w-rtrancpl-cdcl_W* **by** *auto*

lemma *no-step-cdcl_W-cp-no-step-cdcl_W-s'-without-decide*:
assumes *no-step cdcl_W-cp S* **and** *conflicting S = None* **and** *inv: cdcl_W-M-level-inv S*
shows *no-step cdcl_W-s'-without-decide S*
by (*metis assms cdcl_W-cp.conflict' cdcl_W-cp.propagate' cdcl_W-merge-restart-cases trancplD*
conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide)

lemma *no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart*:
assumes *no-step cdcl_W-cp S* **and** *conflicting S = None*
shows *no-step cdcl_W-merge-cp S*
by (*metis assms*(1) *cdcl_W-cp.conflict' cdcl_W-cp.propagate' cdcl_W-merge-restart-cases trancplD*)

lemma *after-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*:
assumes *cdcl_W-s'-without-decide S T*
shows *no-step cdcl_W-cp T*
using *assms* **by** (*induction rule: cdcl_W-s'-without-decide.induct*) (*auto simp: full1-def full-def*)

lemma *no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*:
cdcl_W-all-struct-inv S \implies no-step cdcl_W-s'-without-decide S \implies no-step cdcl_W-cp S
by (*simp add: conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp*
no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp cdcl_W-all-struct-inv-def)

lemma *after-cdcl_W-s'-w-no-step-cdcl_W-cp*:
assumes *cdcl_W-s'-w S T* **and** *cdcl_W-all-struct-inv S*
shows *no-step cdcl_W-cp T*
using *assms*
proof (*induction rule: cdcl_W-s'-w.induct*)
case *conflict'*
then show *?case*
by (*auto simp: full1-def trancpl-unfold-end after-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*)

next
case (*decide'* S T U)
moreover
then have $cdcl_W^{**} S U$
using $rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W[of\ T\ U]\ cdcl_W.other[of\ S\ T]$
 $cdcl_W-o.decide$ **unfolding** *full-def* **by** *auto*
then have $cdcl_W-all-struct-inv\ U$
using $decide'.prems\ rtrancpl-cdcl_W-all-struct-inv-inv$ **by** *blast*
ultimately show *?case*
using $no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-cp$ **unfolding** *full-def* **by** *blast*
qed

lemma $rtrancpl-cdcl_W-s'-w-no-step-cdcl_W-cp-or-eq$:
assumes $cdcl_W-s'-w^{**} S\ T$ **and** $cdcl_W-all-struct-inv\ S$
shows $S = T \vee no-step\ cdcl_W-cp\ T$
using *assms*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show *?case* **by** *simp*
next
case (*step* $T\ U$)
moreover have $cdcl_W-all-struct-inv\ T$
using $rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W[of\ S\ U]\ assms(2)\ rtrancpl-cdcl_W-all-struct-inv-inv$
 $rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W\ step.hyps(1)$ **by** *blast*
ultimately show *?case* **using** $after-cdcl_W-s'-w-no-step-cdcl_W-cp$ **by** *fast*
qed

lemma $rtrancpl-cdcl_W-merge-stgy'-no-step-cdcl_W-cp-or-eq$:
assumes $cdcl_W-merge-stgy^{**} S\ T$ **and** $inv: cdcl_W-all-struct-inv\ S$
shows $S = T \vee no-step\ cdcl_W-cp\ T$
using *assms*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show *?case* **by** *simp*
next
case (*step* $T\ U$)
moreover have $cdcl_W-all-struct-inv\ T$
using $rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W[of\ S\ U]\ assms(2)\ rtrancpl-cdcl_W-all-struct-inv-inv$
 $rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W\ step.hyps(1)$
by (*meson* $rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W$)
ultimately show *?case*
using $after-cdcl_W-s'-w-no-step-cdcl_W-cp\ inv$ **unfolding** $cdcl_W-all-struct-inv-def$
by (*metis* $cdcl_W-all-struct-inv-def\ cdcl_W-merge-stgy.simps\ full1-def\ full-def$
 $no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp\ rtrancpl-cdcl_W-all-struct-inv-inv$
 $rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W\ trancpl.intros(1)\ trancpl-into-rtrancpl$)
qed

lemma $no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-bj$:
assumes $no-step\ cdcl_W-s'-without-decide\ S$ **and** $inv: cdcl_W-all-struct-inv\ S$
shows $no-step\ cdcl_W-bj\ S$
proof (*rule ccontr*)
assume $\neg ?thesis$
then obtain T **where** $S-T: cdcl_W-bj\ S\ T$
by *auto*
have $cdcl_W-all-struct-inv\ T$

using $S \text{-} T \text{ cdcl}_W \text{-all-struct-inv-inv inv other}$ by *blast*
 then obtain T' where $\text{full1 cdcl}_W \text{-bj } S \ T'$
 using $\text{cdcl}_W \text{-bj-exists-normal-form[of } T] \text{ full-fullI } S \text{-} T$ unfolding $\text{cdcl}_W \text{-all-struct-inv-def}$
 by *metis*
 moreover
 then have $\text{cdcl}_W^{**} S \ T'$
 using $\text{rtranclp-mono[of cdcl}_W \text{-bj cdcl}_W] \text{ cdcl}_W \text{.other cdcl}_W \text{-o.bj tranclp-into-rtranclp[of cdcl}_W \text{-bj]}$
 unfolding full1-def by (*metis (full-types) predicate2D predicate2I*)
 then have $\text{cdcl}_W \text{-all-struct-inv } T'$
 using $\text{inv rtranclp-cdcl}_W \text{-all-struct-inv-inv}$ by *blast*
 then obtain U where $\text{full cdcl}_W \text{-cp } T' \ U$
 using $\text{cdcl}_W \text{-cp-normalized-element-all-inv}$ by *blast*
 moreover have $\text{no-step cdcl}_W \text{-cp } S$
 using $S \text{-} T$ by (*auto simp: cdcl}_W \text{-bj.simps}*)
 ultimately show *False*
 using $\text{assms cdcl}_W \text{-s'-without-decide.intros(2)[of } S \ T' \ U]$ by *fast*
 qed

lemma $\text{cdcl}_W \text{-s'-w-no-step-cdcl}_W \text{-bj}$:
 assumes $\text{cdcl}_W \text{-s'-w } S \ T$ and $\text{cdcl}_W \text{-all-struct-inv } S$
 shows $\text{no-step cdcl}_W \text{-bj } T$
 using *assms* apply *induction*
 using $\text{rtranclp-cdcl}_W \text{-s'-without-decide-rtranclp-cdcl}_W \text{ rtranclp-cdcl}_W \text{-all-struct-inv-inv}$
 $\text{no-step-cdcl}_W \text{-s'-without-decide-no-step-cdcl}_W \text{-bj}$ unfolding full1-def
 apply (*meson tranclp-into-rtranclp*)
 using $\text{rtranclp-cdcl}_W \text{-s'-without-decide-rtranclp-cdcl}_W \text{ rtranclp-cdcl}_W \text{-all-struct-inv-inv}$
 $\text{no-step-cdcl}_W \text{-s'-without-decide-no-step-cdcl}_W \text{-bj}$ unfolding full-def
 by (*meson cdcl}_W \text{-merge-restart-cdcl}_W \text{ fw-r-decide}*)

lemma $\text{rtranclp-cdcl}_W \text{-s'-w-no-step-cdcl}_W \text{-bj-or-eq}$:
 assumes $\text{cdcl}_W \text{-s'-w}^{**} S \ T$ and $\text{cdcl}_W \text{-all-struct-inv } S$
 shows $S = T \vee \text{no-step cdcl}_W \text{-bj } T$
 using *assms* apply *induction*
 apply *simp*
 using $\text{rtranclp-cdcl}_W \text{-s'-w-rtranclp-cdcl}_W \text{ rtranclp-cdcl}_W \text{-all-struct-inv-inv}$
 $\text{cdcl}_W \text{-s'-w-no-step-cdcl}_W \text{-bj}$ by *meson*

lemma $\text{rtranclp-cdcl}_W \text{-s'-no-step-cdcl}_W \text{-s'-without-decide-decomp-into-cdcl}_W \text{-merge}$:
 assumes
 $\text{cdcl}_W \text{-s'}^{**} R \ V$ and
 $\text{conflicting } R = \text{None}$ and
 $\text{inv: cdcl}_W \text{-all-struct-inv } R$
 shows $(\text{cdcl}_W \text{-merge-stgy}^{**} R \ V \wedge \text{conflicting } V = \text{None})$
 $\vee (\text{cdcl}_W \text{-merge-stgy}^{**} R \ V \wedge \text{conflicting } V \neq \text{None} \wedge \text{no-step cdcl}_W \text{-bj } V)$
 $\vee (\exists S \ T \ U. \text{cdcl}_W \text{-merge-stgy}^{**} R \ S \wedge \text{no-step cdcl}_W \text{-merge-cp } S \wedge \text{decide } S \ T$
 $\wedge \text{cdcl}_W \text{-merge-cp}^{**} T \ U \wedge \text{conflict } U \ V)$
 $\vee (\exists S \ T. \text{cdcl}_W \text{-merge-stgy}^{**} R \ S \wedge \text{no-step cdcl}_W \text{-merge-cp } S \wedge \text{decide } S \ T$
 $\wedge \text{cdcl}_W \text{-merge-cp}^{**} T \ V$
 $\wedge \text{conflicting } V = \text{None})$
 $\vee (\text{cdcl}_W \text{-merge-cp}^{**} R \ V \wedge \text{conflicting } V = \text{None})$
 $\vee (\exists U. \text{cdcl}_W \text{-merge-cp}^{**} R \ U \wedge \text{conflict } U \ V)$
 using assms(1,2)
proof *induction*
 case *base*
 then show *?case* by *simp*

```

next
case (step V W) note st = this(1) and s' = this(2) and IH = this(3)[OF this(4)] and
  n-s-R = this(4)
from s'
show ?case
proof cases
  case conflict'
  consider
    (s') cdclW-merge-stgy** R V
  | (dec-conf) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V
  | (cp-conf) U where cdclW-merge-cp** R U and conflict U V
  using IH by meson
then show ?thesis
proof cases
next
  case s'
  then have R = V
  by (metis full1-def inv local.conflict' tranclp-unfold-begin
    rtranclp-cdclW-merge-stgy'-no-step-cdclW-cp-or-eq)
  consider
    (V-W) V = W
  | (propa) propagate++ V W and conflicting W = None
  | (propa-conf) V' where propagate** V V' and conflict V' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
  case V-W
  then show ?thesis using ⟨R = V⟩ n-s-R by simp
next
  case propa
  then show ?thesis using ⟨R = V⟩ by auto
next
  case propa-conf
  moreover
    then have cdclW-merge-cp** V V'
    by (metis rtranclp-unfold cdclW-merge-cp.propagate' r-into-rtranclp)
  ultimately show ?thesis using s' ⟨R = V⟩ by blast
qed
next
  case dec-conf note - = this(5)
  then have False using conflict' unfolding full1-def by (auto dest!: tranclpD)
  then show ?thesis by fast
next
  case dec note T-V = this(4)
  consider
    (propa) propagate++ V W and conflicting W = None
  | (propa-conf) V' where propagate** V V' and conflict V' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full1-def by meson
then show ?thesis

```

```

proof cases
  case propa
  then show ?thesis
    by (meson T-V cdclW-merge-cp.propagate' dec rtrancpl.rtrancpl-into-rtrancpl)
next
  case propa-conf
  then have cdclW-merge-cp** T V'
    using T-V by (metis rtrancpl-unfold cdclW-merge-cp.propagate' rtrancpl.simps)
  then show ?thesis using dec propa-conf(2) by metis
qed
next
case cp
consider
  (propa) propagate++ V W and conflicting W = None
  | (propa-conf) V' where propagate** V V' and conflict V' W
  using trancpl-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full1-def by meson
then show ?thesis
  proof cases
    case propa
    then show ?thesis by (meson cdclW-merge-cp.propagate' cp rtrancpl.rtrancpl-into-rtrancpl)
  next
    case propa-conf
    then show ?thesis
      using propa-conf(2) by (metis rtrancpl-unfold cdclW-merge-cp.propagate'
        cp rtrancpl.rtrancpl-into-rtrancpl)
    qed
  next
    case cp-conf
    then show ?thesis using conflict' unfolding full1-def by (fastforce dest!: trancplD)
    qed
next
case (decide' V')
then have conf-V: conflicting V = None
  by auto
consider
  (s') cdclW-merge-stgy** R V
  | (dec-conf) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V
  | (cp-conf) U where cdclW-merge-cp** R U and conflict U V
  using IH by meson
then show ?thesis
  proof cases
    case s'
    have conf-V': conflicting V' = None using decide'(1) by auto
    have full: full1 cdclW-cp V' W  $\vee$  (V' = W  $\wedge$  no-step cdclW-cp W)
      using decide'(3) unfolding full-unfold by blast
    consider
      (V'-W) V' = W
      | (propa) propagate++ V' W and conflicting W = None
      | (propa-conf) V'' where propagate** V' V'' and conflict V'' W
      using trancpl-cdclW-cp-propagate-with-conflict-or-not[of V W] decide'

```

```

by (metis ⟨full1 cdclW-cp V' W ∨ V' = W ∧ no-step cdclW-cp W⟩ full1-def
  tranclp-cdclW-cp-propagate-with-conflict-or-not)
then show ?thesis
proof cases
case V'-W
then show ?thesis
  using confl-V' local.decide'(1,2) s' conf-V
  no-step-cdclW-cp-no-step-cdclW-merge-restart[of V] by blast
next
case propa
then show ?thesis using local.decide'(1,2) s' by (metis cdclW-merge-cp.simps conf-V
  no-step-cdclW-cp-no-step-cdclW-merge-restart r-into-rtranclp)
next
case propa-confl
then have cdclW-merge-cp** V' V''
  by (metis rtranclp-unfold cdclW-merge-cp.propagate' r-into-rtranclp)
then show ?thesis
  using local.decide'(1,2) propa-confl(2) s' conf-V
  no-step-cdclW-cp-no-step-cdclW-merge-restart
  by metis
qed
next
case (dec) note s' = this(1) and dec = this(2) and cp = this(3) and ns-cp-T = this(4)
have full cdclW-merge-cp T V
  unfolding full-def by (simp add: conf-V local.decide'(2)
    no-step-cdclW-cp-no-step-cdclW-merge-restart ns-cp-T)
moreover have no-step cdclW-merge-cp V
  by (simp add: conf-V local.decide'(2) no-step-cdclW-cp-no-step-cdclW-merge-restart)
moreover have no-step cdclW-merge-cp S
  by (metis dec)
ultimately have cdclW-merge-stgy S V
  using cp by blast
then have cdclW-merge-stgy** R V using s' by auto
consider
  (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] decide'
  unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
case V'-W
moreover have conflicting V' = None
  using decide'(1) by auto
ultimately show ?thesis
  using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩ by blast
next
case propa
moreover then have cdclW-merge-cp V' W
  by auto
ultimately show ?thesis
  using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩
  by (meson r-into-rtranclp)
next
case propa-confl

```



```

    moreover then have  $cdcl_W\text{-merge-cp}^{**} V' V''$ 
      by (metis  $cdcl_W\text{-merge-cp.propagate}' rtranclp\text{-unfold tranclp-unfold-end}$ )
    ultimately show ?thesis using  $\langle cdcl_W\text{-merge-stgy}^{**} R V \rangle decide'$ 
       $\langle no\text{-step } cdcl_W\text{-merge-cp } V \rangle$  by (meson  $r\text{-into-rtranclp}$ )
  qed
next
case cp
have  $no\text{-step } cdcl_W\text{-merge-cp } V$ 
  using  $conf\text{-}V local.decide'(2) no\text{-step-cdcl}_W\text{-cp-no-step-cdcl}_W\text{-merge-restart}$  by blast
then have  $full\ cdcl_W\text{-merge-cp } R V$ 
  unfolding  $full\text{-def}$  using cp by fast
then have  $cdcl_W\text{-merge-stgy}^{**} R V$ 
  unfolding  $full\text{-unfold}$  by auto
have  $full1\ cdcl_W\text{-cp } V' W \vee (V' = W \wedge no\text{-step } cdcl_W\text{-cp } W)$ 
  using  $decide'(3)$  unfolding  $full\text{-unfold}$  by blast

consider
  ( $V' \text{-} W$ )  $V' = W$ 
| ( $propa$ )  $propagate^{++} V' W$  and  $conflicting\ W = None$ 
| ( $propa\text{-}confl$ )  $V''$  where  $propagate^{**} V' V''$  and  $conflict\ V'' W$ 
  using  $tranclp\text{-}cdcl_W\text{-cp-propagate-with-conflict-or-not}[of\ V' W] decide'$ 
  unfolding  $full\text{-unfold full1-def}$  by meson
then show ?thesis

proof cases
case  $V' \text{-} W$ 
moreover have  $conflicting\ V' = None$ 
  using  $decide'(1)$  by auto
ultimately show ?thesis
  using  $\langle cdcl_W\text{-merge-stgy}^{**} R V \rangle decide' \langle no\text{-step } cdcl_W\text{-merge-cp } V \rangle$  by blast
next
case propa
moreover then have  $cdcl_W\text{-merge-cp } V' W$ 
  by auto
ultimately show ?thesis using  $\langle cdcl_W\text{-merge-stgy}^{**} R V \rangle decide'$ 
   $\langle no\text{-step } cdcl_W\text{-merge-cp } V \rangle$  by (meson  $r\text{-into-rtranclp}$ )
next
case  $propa\text{-}confl$ 
moreover then have  $cdcl_W\text{-merge-cp}^{**} V' V''$ 
  by (metis  $cdcl_W\text{-merge-cp.propagate}' rtranclp\text{-unfold tranclp-unfold-end}$ )
ultimately show ?thesis using  $\langle cdcl_W\text{-merge-stgy}^{**} R V \rangle decide'$ 
   $\langle no\text{-step } cdcl_W\text{-merge-cp } V \rangle$  by (meson  $r\text{-into-rtranclp}$ )
qed
next
case ( $dec\text{-}confl$ )
show ?thesis using  $conf\text{-}V dec\text{-}confl(5)$  by auto
next
case  $cp\text{-}confl$ 
then show ?thesis using  $decide'$  apply - by (intro  $HOL.disjI2$ ) fastforce
qed
next
case ( $bj' V'$ )
then have  $\neg no\text{-step } cdcl_W\text{-bj } V$ 
  by (auto dest:  $tranclpD simp: full1\text{-def}$ )
then consider

```

```

(s') cdclW-merge-stgy** R V and conflicting V = None
| (dec-confl) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
  decide S T and cdclW-merge-cp** T U and conflict U V
| (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
  and cdclW-merge-cp** T V and conflicting V = None
| (cp) cdclW-merge-cp** R V and conflicting V = None
| (cp-confl) U where cdclW-merge-cp** R U and conflict U V
using IH by meson
then show ?thesis
proof cases
  case s' note - = this(2)
  then have False
    using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdclW-bj.simps)
  then show ?thesis by fast
next
  case dec note - = this(5)
  then have False
    using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdclW-bj.simps)
  then show ?thesis by fast
next
  case dec-confl
  then have cdclW-merge-cp U V'
    using bj' cdclW-merge-cp.intros(1)[of U V V'] by (simp add: full-unfold)
  then have cdclW-merge-cp** T V'
    using dec-confl(4) by simp
  consider
    (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] bj'(3)
  unfolding full-unfold full1-def by meson
  then show ?thesis
  proof cases
    case V'-W
    then have no-step cdclW-cp V'
      using bj'(3) unfolding full-def by auto
    then have no-step cdclW-merge-cp V'
      by (metis cdclW-cp.propagate' cdclW-merge-cp.cases tranclpD
        no-step-cdclW-cp-no-conflict-no-propagate(1) )
    then have full1 cdclW-merge-cp T V'
      unfolding full1-def using ⟨cdclW-merge-cp U V'⟩ dec-confl(4) by auto
    then have full cdclW-merge-cp T V'
      by (simp add: full-unfold)
    then have cdclW-merge-stgy S V'
      using dec-confl(3) cdclW-merge-stgy.fw-s-decide ⟨no-step cdclW-merge-cp S⟩ by blast
    then have cdclW-merge-stgy** R V'
      using ⟨cdclW-merge-stgy** R S⟩ by auto
  show ?thesis
  proof cases
    assume conflicting W = None
    then show ?thesis using ⟨cdclW-merge-stgy** R V'⟩ ⟨V' = W⟩ by auto
  next
    assume conflicting W ≠ None
    then show ?thesis
      using ⟨cdclW-merge-stgy** R V'⟩ ⟨V' = W⟩ by (metis ⟨cdclW-merge-cp U V'⟩

```

```

      conflicting-not-true-rtrancp-cdclW-merge-cp-no-step-cdclW-bj dec-confl(5)
      r-into-rtrancp conflictE)
    qed
  next
    case propa
    moreover then have cdclW-merge-cp V' W
      by auto
    ultimately show ?thesis using decide' by (meson ⟨cdclW-merge-cp** T V'⟩ dec-confl(1-3)
      rtrancp.rtrancp-into-rtrancp)
  next
    case propa-confl
    moreover then have cdclW-merge-cp** V' V''
      by (metis cdclW-merge-cp.propagate' rtrancp-unfold trancp-unfold-end)
    ultimately show ?thesis by (meson ⟨cdclW-merge-cp** T V'⟩ dec-confl(1-3) rtrancp-trans)
  qed
next
  case cp note - = this(2)
  then show ?thesis using bj'(1) ⟨¬ no-step cdclW-bj V'⟩
    conflicting-not-true-rtrancp-cdclW-merge-cp-no-step-cdclW-bj by auto
next
  case cp-confl
  then have cdclW-merge-cp U V' by (simp add: cdclW-merge-cp.conflict' full-unfold
    local.bj'(1))
  consider
    (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
  using trancp-cdclW-cp-propagate-with-conflict-or-not[of V' W] bj'
  unfolding full-unfold full1-def by meson
  then show ?thesis

proof cases
  case V'-W
  show ?thesis
  proof cases
    assume conflicting V' = None
    then show ?thesis
      using V'-W ⟨cdclW-merge-cp U V'⟩ cp-confl(1) by force
  next
    assume confl: conflicting V' ≠ None
    then have no-step cdclW-merge-stgy V'
      by (fastforce simp: cdclW-merge-stgy.simps full1-def full-def
        cdclW-merge-cp.simps dest!: trancpD)
    have no-step cdclW-merge-cp V'
      using confl by (auto simp: full1-def full-def cdclW-merge-cp.simps
        dest!: trancpD)
    moreover have cdclW-merge-cp U W
      using V'-W ⟨cdclW-merge-cp U V'⟩ by blast
    ultimately have full1 cdclW-merge-cp R V'
      using cp-confl(1) V'-W unfolding full1-def by auto
    then have cdclW-merge-stgy R V'
      by auto
    moreover have no-step cdclW-merge-stgy V'
      using confl ⟨no-step cdclW-merge-cp V'⟩ by (auto simp: cdclW-merge-stgy.simps
        full1-def dest!: trancpD)

```

```

ultimately have  $cdcl_W\text{-merge-stgy}^{**} R V'$  by auto
show ?thesis by (metis  $V'-W \langle cdcl_W\text{-merge-cp } U V' \rangle \langle cdcl_W\text{-merge-stgy}^{**} R V' \rangle$ 
  conflicting-not-true-rtrancpl-cdclW-merge-cp-no-step-cdclW-bj cp-conf(1)
  rtrancpl.rtrancpl-into-rtrancpl step.premis)
qed
next
case propa
moreover then have  $cdcl_W\text{-merge-cp } V' W$ 
  by auto
ultimately show ?thesis using  $\langle cdcl_W\text{-merge-cp } U V' \rangle$  cp-conf(1) by force
next
case propa-conf
moreover then have  $cdcl_W\text{-merge-cp}^{**} V' V''$ 
  by (metis  $cdcl_W\text{-merge-cp.propagate}' rtrancpl\text{-unfold } trancpl\text{-unfold-end}$ )
ultimately show ?thesis
  using  $\langle cdcl_W\text{-merge-cp } U V' \rangle$  cp-conf(1) by (metis rtrancpl.rtrancpl-into-rtrancpl
    rtrancpl-trans)
qed
qed
qed
qed

```

lemma *decide-rtrancpl-cdcl_W-s'-rtrancpl-cdcl_W-s'*:

assumes

dec: *decide S T* and

$cdcl_W\text{-s}^{**} T U$ and

n-s-S: *no-step cdcl_W-cp S* and

no-step cdcl_W-cp U

shows $cdcl_W\text{-s}^{**} S U$

using *assms*(2,4)

proof *induction*

case (*step U V*) **note** *st* = *this*(1) and *s'* = *this*(2) and *IH* = *this*(3) and *n-s* = *this*(4)

consider

(*TU*) $T = U$

| (*s'-st*) T' **where** $cdcl_W\text{-s}' T T'$ and $cdcl_W\text{-s}^{**} T' U$

using *st*[*unfolded rtrancpl-unfold*] **by** (*auto dest!*: *trancplD*)

then show ?*case*

proof *cases*

case *TU*

then show ?*thesis*

proof –

assume *a1*: $T = U$

then have *f2*: $cdcl_W\text{-s}' T V$

using *s'* **by** *force*

obtain *ss* :: '*st* **where**

$cdcl_W\text{-s}^{**} S T \vee cdcl_W\text{-cp } T ss$

using *a1 step.IH* **by** *blast*

then show ?*thesis*

using *f2* **by** (*metis* (*full-types*) $cdcl_W\text{-s}'.decide'$ $cdcl_W\text{-s}'E$ *dec full1-is-full n-s-S*

rtrancpl-unfold trancpl-unfold-end)

qed

next

case (*s'-st T'*) **note** $s'\text{-}T' = this(1)$ and *st* = *this*(2)

have $cdcl_W\text{-s}^{**} S T'$

using $s'\text{-}T'$

```

proof cases
  case conflict'
  then have  $cdcl_W-s' S T'$ 
    using  $dec\ cdcl_W-s'.decide' n-s-S$  by ( $simp\ add: full-unfold$ )
  then show  $?thesis$ 
    using  $st$  by  $auto$ 
next
  case ( $decide' T''$ )
  then have  $cdcl_W-s' S T$ 
    using  $dec\ cdcl_W-s'.decide' n-s-S$  by ( $simp\ add: full-unfold$ )
  then show  $?thesis$  using  $decide' s'-T'$  by  $auto$ 
next
  case  $bj'$ 
  then have  $False$ 
    using  $dec\ unfolding\ full1-def$  by ( $fastforce\ dest!: tranclpD\ simp: cdcl_W-bj.simps$ )
  then show  $?thesis$  by  $fast$ 
qed
then show  $?thesis$  using  $s' st$  by  $auto$ 
qed
next
  case  $base$ 
  then have  $full\ cdcl_W-cp\ T\ T$ 
    by ( $simp\ add: full-unfold$ )
  then show  $?case$ 
    using  $cdcl_W-s'.simps\ dec\ n-s-S$  by  $auto$ 
qed

lemma  $rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-s'$ :
  assumes
     $cdcl_W-merge-stgy^{**} R\ V$  and
     $inv: cdcl_W-all-struct-inv\ R$ 
  shows  $cdcl_W-s'^{**} R\ V$ 
  using  $assms(1)$ 
proof induction
  case  $base$ 
  then show  $?case$  by  $simp$ 
next
  case ( $step\ S\ T$ ) note  $st = this(1)$  and  $fw = this(2)$  and  $IH = this(3)$ 
  have  $cdcl_W-all-struct-inv\ S$ 
    using  $inv\ rtranclp-cdcl_W-all-struct-inv-inv\ rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W\ st$  by  $blast$ 
from  $fw$  show  $?case$ 
  proof ( $cases\ rule: cdcl_W-merge-stgy-cases$ )
  case  $fw-s-cp$ 
  then show  $?thesis$ 
  proof –
    assume  $a1: full1\ cdcl_W-merge-cp\ S\ T$ 
    obtain  $ss :: ('st \Rightarrow 'st \Rightarrow bool) \Rightarrow 'st \Rightarrow 'st$  where
       $f2: \bigwedge p\ s\ sa\ pa\ sb\ sc\ sd\ pb\ se\ sf. (\neg full1\ p\ (s::'st)\ sa \vee p^{++}\ s\ sa)$ 
       $\wedge (\neg pa\ (sb::'st)\ sc \vee \neg full1\ pa\ sd\ sb) \wedge (\neg pb^{++}\ se\ sf \vee pb\ sf\ (ss\ pb\ sf))$ 
       $\vee full1\ pb\ se\ sf)$ 
    by ( $metis\ (no-types)\ full1-def$ )
    then have  $f3: cdcl_W-merge-cp^{++}\ S\ T$ 
    using  $a1$  by  $auto$ 
    obtain  $ssa :: ('st \Rightarrow 'st \Rightarrow bool) \Rightarrow 'st \Rightarrow 'st \Rightarrow 'st$  where
       $f4: \bigwedge p\ s\ sa. \neg p^{++}\ s\ sa \vee p\ s\ (ssa\ p\ s\ sa)$ 

```

```

    by (meson tranclp-unfold-begin)
  then have f5:  $\bigwedge s. \neg \text{full1 } \text{cdcl}_W\text{-merge-cp } s \ S$ 
    using f3 f2 by (metis (full-types))
  have  $\bigwedge s. \neg \text{full } \text{cdcl}_W\text{-merge-cp } s \ S$ 
    using f4 f3 by (meson full-def)
  then have  $S = R$ 
    using f5 by (metis (no-types)  $\text{cdcl}_W\text{-merge-stgy.simps } \text{rtranclp-unfold } st$ 
       $\text{tranclp-unfold-end}$ )
  then show ?thesis
    using f2 a1 by (metis (no-types)  $\langle \text{cdcl}_W\text{-all-struct-inv } S \rangle$ 
       $\text{conflicting-true-full1-cdcl}_W\text{-merge-cp-imp-full1-cdcl}_W\text{-s'-without-decode}$ 
       $\text{rtranclp-cdcl}_W\text{-s'-without-decide-rtranclp-cdcl}_W\text{-s' } \text{rtranclp-unfold}$ )
qed
next
case (fw-s-decide  $S'$ ) note dec = this(1) and n-S = this(2) and full = this(3)
moreover then have conflicting  $S' = \text{None}$ 
  by auto
ultimately have full  $\text{cdcl}_W\text{-s'-without-decide } S' \ T$ 
  by (meson  $\langle \text{cdcl}_W\text{-all-struct-inv } S \rangle$   $\text{cdcl}_W\text{-merge-restart-cdcl}_W$  fw-r-decide
     $\text{rtranclp-cdcl}_W\text{-all-struct-inv-inv}$ 
     $\text{conflicting-true-full-cdcl}_W\text{-merge-cp-iff-full-cdcl}_W\text{-s'-without-decode}$ )
then have a1:  $\text{cdcl}_W\text{-s}^{f**} S' \ T$ 
  unfolding full-def by (metis (full-types)  $\text{rtranclp-cdcl}_W\text{-s'-without-decide-rtranclp-cdcl}_W\text{-s'}$ )
have  $\text{cdcl}_W\text{-merge-stgy}^{f**} S \ T$ 
  using fw by blast
then have  $\text{cdcl}_W\text{-s}^{f**} S \ T$ 
  using decide-rtranclp-cdcl $_W\text{-s'-rtranclp-cdcl}_W\text{-s'}$  a1 by (metis  $\langle \text{cdcl}_W\text{-all-struct-inv } S \rangle$  dec
    n-S no-step-cdcl $_W\text{-merge-cp-no-step-cdcl}_W\text{-cp}$   $\text{cdcl}_W\text{-all-struct-inv-def}$ 
     $\text{rtranclp-cdcl}_W\text{-merge-stgy'-no-step-cdcl}_W\text{-cp-or-eq}$ )
then show ?thesis using IH by auto
qed
qed

```

lemma $\text{rtranclp-cdcl}_W\text{-merge-stgy-distinct-mset-clauses}$:

```

  assumes invR:  $\text{cdcl}_W\text{-all-struct-inv } R$  and
    st:  $\text{cdcl}_W\text{-merge-stgy}^{f**} R \ S$  and
    dist:  $\text{distinct-mset } (\text{clauses } R)$  and
    R:  $\text{trail } R = []$ 
  shows  $\text{distinct-mset } (\text{clauses } S)$ 
  using  $\text{rtranclp-cdcl}_W\text{-stgy-distinct-mset-clauses}[OF \text{ invR } - \text{ dist } R]$ 
    invR st  $\text{rtranclp-mono}[of \text{ cdcl}_W\text{-s' } \text{cdcl}_W\text{-stgy}^{f**}]$   $\text{cdcl}_W\text{-s'-is-rtranclp-cdcl}_W\text{-stgy}$ 
  by (auto dest!:  $\text{cdcl}_W\text{-s'-is-rtranclp-cdcl}_W\text{-stgy } \text{rtranclp-cdcl}_W\text{-merge-stgy-rtranclp-cdcl}_W\text{-s'}$ )

```

lemma $\text{no-step-cdcl}_W\text{-s'-no-step-cdcl}_W\text{-merge-stgy}$:

```

  assumes
    inv:  $\text{cdcl}_W\text{-all-struct-inv } R$  and  $s'$ :  $\text{no-step } \text{cdcl}_W\text{-s' } R$ 
  shows  $\text{no-step } \text{cdcl}_W\text{-merge-stgy } R$ 

```

proof —

```

{ fix ss :: 'st
  obtain ssa :: 'st  $\Rightarrow$  'st  $\Rightarrow$  'st where
    ff1:  $\bigwedge s \ sa. \neg \text{cdcl}_W\text{-merge-stgy } s \ sa \vee \text{full1 } \text{cdcl}_W\text{-merge-cp } s \ sa \vee \text{decide } s \ (ssa \ s \ sa)$ 
    using  $\text{cdcl}_W\text{-merge-stgy.cases}$  by moura
  obtain ssb :: ('st  $\Rightarrow$  'st  $\Rightarrow$  bool)  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  'st where
    ff2:  $\bigwedge p \ s \ sa. \neg p^{++} \ s \ sa \vee p \ s \ (ssb \ p \ s \ sa)$ 
    by (meson tranclp-unfold-begin)

```

```

obtain ssc :: 'st ⇒ 'st where
  ff3:  $\bigwedge s \text{ sa } sb. (\neg \text{cdcl}_W\text{-all-struct-inv } s \vee \neg \text{cdcl}_W\text{-cp } s \text{ sa} \vee \text{cdcl}_W\text{-s'} s (\text{ssc } s))$ 
     $\wedge (\neg \text{cdcl}_W\text{-all-struct-inv } s \vee \neg \text{cdcl}_W\text{-o } s \text{ sb} \vee \text{cdcl}_W\text{-s'} s (\text{ssc } s))$ 
  using n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o by moura
then have ff4:  $\bigwedge s. \neg \text{cdcl}_W\text{-o } R s$ 
  using s' inv by blast
have ff5:  $\bigwedge s. \neg \text{cdcl}_W\text{-cp}^{++} R s$ 
  using ff3 ff2 s' by (metis inv)
have  $\bigwedge s. \neg \text{cdcl}_W\text{-bj}^{++} R s$ 
  using ff4 ff2 by (metis bj)
then have  $\bigwedge s. \neg \text{cdcl}_W\text{-s'-without-decide } R s$ 
  using ff5 by (simp add: cdclW-s'-without-decide.simps full1-def)
then have  $\neg \text{cdcl}_W\text{-s'-without-decide}^{++} R ss$ 
  using ff2 by blast
then have  $\neg \text{cdcl}_W\text{-merge-stgy } R ss$ 
  using ff4 ff1 by (metis (full-types) decide full1-def inv
    conflicting-true-full1-cdclW-merge-cp-imp-full1-cdclW-s'-without-decode) }
then show ?thesis
  by fastforce
qed

lemma wf-cdclW-merge-cp:
  wf{(T, S).  $\text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S T$ }
  using wf-tranclp-cdclW-merge by (rule wf-subset) (auto simp: cdclW-merge-cp-tranclp-cdclW-merge)

lemma wf-cdclW-merge-stgy:
  wf{(T, S).  $\text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-stgy } S T$ }
  using wf-tranclp-cdclW-merge by (rule wf-subset)
  (auto simp add: cdclW-merge-stgy-tranclp-cdclW-merge)

lemma cdclW-merge-cp-obtain-normal-form:
  assumes inv:  $\text{cdcl}_W\text{-all-struct-inv } R$ 
  obtains S where full cdclW-merge-cp R S
proof –
  obtain S where full ( $\lambda S T. \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S T$ ) R S
  using wf-exists-normal-form-full[OF wf-cdclW-merge-cp] by blast
then have
  st:  $(\lambda S T. \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S T)^{**} R S$  and
  n-s: no-step ( $\lambda S T. \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S T$ ) S
  unfolding full-def by blast+
have  $\text{cdcl}_W\text{-merge-cp}^{**} R S$ 
  using st by induction auto
moreover
  have  $\text{cdcl}_W\text{-all-struct-inv } S$ 
  using st inv
  apply (induction rule: rtranclp-induct)
  apply simp
  by (meson r-into-rtranclp rtranclp-cdclW-all-struct-inv-inv
    rtranclp-cdclW-merge-cp-rtranclp-cdclW)
then have no-step cdclW-merge-cp S
  using n-s by auto
ultimately show ?thesis
  using that unfolding full-def by blast
qed

```

lemma *no-step-cdcl_W-merge-stgy-no-step-cdcl_W-s'*:
assumes
inv: *cdcl_W-all-struct-inv* *R* **and**
confl: *conflicting* *R* = *None* **and**
n-s: *no-step cdcl_W-merge-stgy* *R*
shows *no-step cdcl_W-s'* *R*
proof (*rule ccontr*)
assume \neg *?thesis*
then obtain *S* **where** *cdcl_W-s'* *R S* **by** *auto*
then show *False*
proof *cases*
case *conflict'*
then obtain *S'* **where** *full1 cdcl_W-merge-cp* *R S'*
by (*metis* (*full-types*) *cdcl_W-merge-cp-obtain-normal-form cdcl_W-s'-without-decide.simps confl*
conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide full-def full-unfold inv
cdcl_W-all-struct-inv-def)
then show *False* **using** *n-s* **by** *blast*
next
case (*decide' R'*)
then have *cdcl_W-all-struct-inv* *R'*
using *inv cdcl_W-all-struct-inv-inv cdcl_W.other cdcl_W-o.decide* **by** *meson*
then obtain *R''* **where** *full cdcl_W-merge-cp* *R' R''*
using *cdcl_W-merge-cp-obtain-normal-form* **by** *blast*
moreover have *no-step cdcl_W-merge-cp* *R*
by (*simp add: confl local.decide'(2) no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart*)
ultimately show *False* **using** *n-s cdcl_W-merge-stgy.intros local.decide'(1)* **by** *blast*
next
case (*bj' R'*)
then show *False*
using *confl no-step-cdcl_W-cp-no-step-cdcl_W-s'-without-decide inv*
unfolding *cdcl_W-all-struct-inv-def* **by** *blast*
qed
qed

lemma *rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj*:
assumes *conflicting* *R* = *None* **and** *cdcl_W-merge-cp*** *R S*
shows *no-step cdcl_W-bj* *S*
using *assms conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj* **by** *blast*

lemma *rtranclp-cdcl_W-merge-stgy-no-step-cdcl_W-bj*:
assumes *confl: conflicting* *R* = *None* **and** *cdcl_W-merge-stgy*** *R S*
shows *no-step cdcl_W-bj* *S*
using *assms(2)*
proof *induction*
case *base*
then show *?case*
using *confl* **by** (*auto simp: cdcl_W-bj.simps*)[]
next
case (*step S T*) **note** *st = this(1)* **and** *fw = this(2)* **and** *IH = this(3)*
have *confl-S: conflicting* *S* = *None*
using *fw apply cases*
by (*auto simp: full1-def cdcl_W-merge-cp.simps dest!: tranclpD*)
from *fw* **show** *?case*
proof *cases*
case *fw-s-cp*


```

then show ?thesis
  using rtrancpl-cdclW-merge-cp-no-step-cdclW-bj confl-S
  by (simp add: full1-def trancpl-into-rtrancpl)
next
case (fw-s-decide S')
moreover then have conflicting S' = None by auto
ultimately show ?thesis
  using conflicting-not-true-rtrancpl-cdclW-merge-cp-no-step-cdclW-bj
  unfolding full-def by meson
qed
qed

lemma full-cdclW-s'-full-cdclW-merge-restart:
  assumes
    conflicting R = None and
    inv: cdclW-all-struct-inv R
  shows full cdclW-s' R V  $\longleftrightarrow$  full cdclW-merge-stgy R V (is ?s'  $\longleftrightarrow$  ?fw)
proof
  assume ?s'
  then have cdclW-s'^** R V unfolding full-def by blast
  have cdclW-all-struct-inv V
    using  $\langle \text{cdcl}_W\text{-s}'^{**} R V \rangle$  inv rtrancpl-cdclW-all-struct-inv-inv rtrancpl-cdclW-s'-rtrancpl-cdclW
    by blast
  then have n-s: no-step cdclW-merge-stgy V
    using no-step-cdclW-s'-no-step-cdclW-merge-stgy by (meson  $\langle \text{full cdcl}_W\text{-s}' R V \rangle$  full-def)
  have n-s-bj: no-step cdclW-bj V
    by (metis  $\langle \text{cdcl}_W\text{-all-struct-inv } V \rangle \langle \text{full cdcl}_W\text{-s}' R V \rangle$  bj full-def
        n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)
  have n-s-cp: no-step cdclW-merge-cp V
  proof -
    { fix ss :: 'st
      obtain ssa :: 'st  $\Rightarrow$  'st where
        ff1:  $\forall s. \neg \text{cdcl}_W\text{-all-struct-inv } s \vee \text{cdcl}_W\text{-s}'\text{-without-decide } s \text{ (ssa } s) \vee \text{no-step cdcl}_W\text{-merge-cp } s$ 
        using conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp by moura
      have  $(\forall p \ s \ sa. \neg \text{full } p \ (s::'st) \ sa \vee p^{**} \ s \ sa \wedge \text{no-step } p \ sa)$  and
         $(\forall p \ s \ sa. (\neg p^{**} \ (s::'st) \ sa \vee (\exists s. p \ sa \ s))) \vee \text{full } p \ s \ sa$ 
        by (meson full-def)+
      then have  $\neg \text{cdcl}_W\text{-merge-cp } V \ ss$ 
        using ff1 by (metis (no-types)  $\langle \text{cdcl}_W\text{-all-struct-inv } V \rangle \langle \text{full cdcl}_W\text{-s}' R V \rangle \text{cdcl}_W\text{-s}'\text{-simps}$ 
            cdclW-s'-without-decide.cases) }
      then show ?thesis
        by blast
    }
  qed
  consider
    (fw-no-confl) cdclW-merge-stgy** R V and conflicting V = None
  | (fw-confl) cdclW-merge-stgy** R V and conflicting V  $\neq$  None and no-step cdclW-bj V
  | (fw-dec-confl) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (fw-dec-no-confl) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T V and conflicting V = None
  | (cp-no-confl) cdclW-merge-cp** R V and conflicting V = None
  | (cp-confl) U where cdclW-merge-cp** R U and conflict U V
  using rtrancpl-cdclW-s'-no-step-cdclW-s'-without-decide-decomp-into-cdclW-merge[OF
     $\langle \text{cdcl}_W\text{-s}'^{**} R V \rangle$  assms] by auto

```

```

then show ?fw
proof cases
  case fw-no-confl
  then show ?thesis using n-s unfolding full-def by blast
next
  case fw-confl
  then show ?thesis using n-s unfolding full-def by blast
next
  case fw-dec-confl
  have cdclW-merge-cp U V
    using n-s-bj by (metis cdclW-merge-cp.simps full-unfold fw-dec-confl(5))
  then have full1 cdclW-merge-cp T V
    unfolding full1-def by (metis fw-dec-confl(4) n-s-cp tranclp-unfold-end)
  then have cdclW-merge-stgy S V using ⟨decide S T⟩ ⟨no-step cdclW-merge-cp S⟩ by auto
  then show ?thesis using n-s ⟨cdclW-merge-stgy** R S⟩ unfolding full-def by auto
next
  case fw-dec-no-confl
  then have full cdclW-merge-cp T V
    using n-s-cp unfolding full-def by blast
  then have cdclW-merge-stgy S V using ⟨decide S T⟩ ⟨no-step cdclW-merge-cp S⟩ by auto
  then show ?thesis using n-s ⟨cdclW-merge-stgy** R S⟩ unfolding full-def by auto
next
  case cp-no-confl
  then have full cdclW-merge-cp R V
    by (simp add: full-def n-s-cp)
  then have R = V ∨ cdclW-merge-stgy++ R V
    by (metis (no-types) full-unfold fw-s-cp rtranclp-unfold tranclp-unfold-end)
  then show ?thesis
    by (simp add: full-def n-s rtranclp-unfold)
next
  case cp-confl
  have full cdclW-bj V V
    using n-s-bj unfolding full-def by blast
  then have full1 cdclW-merge-cp R V
    unfolding full1-def by (meson cdclW-merge-cp.conflict' cp-confl(1,2) n-s-cp
      rtranclp-into-tranclp1)
  then show ?thesis using n-s unfolding full-def by auto
qed
next
assume ?fw
then have cdclW** R V using rtranclp-mono[of cdclW-merge-stgy cdclW**]
  cdclW-merge-stgy-rtranclp-cdclW unfolding full-def by auto
then have inv': cdclW-all-struct-inv V using inv rtranclp-cdclW-all-struct-inv-inv by blast
have cdclW-s'** R V
  using ⟨?fw⟩ by (simp add: full-def inv rtranclp-cdclW-merge-stgy-rtranclp-cdclW-s')
moreover have no-step cdclW-s' V
proof cases
  assume conflicting V = None
  then show ?thesis
    by (metis inv' ⟨full cdclW-merge-stgy R V⟩ full-def
      no-step-cdclW-merge-stgy-no-step-cdclW-s')
next
  assume confl-V: conflicting V ≠ None
  then have no-step cdclW-bj V
    using rtranclp-cdclW-merge-stgy-no-step-cdclW-bj by (meson ⟨full cdclW-merge-stgy R V⟩

```

```

    assms(1) full-def)
  then show ?thesis using confl-V by (fastforce simp: cdclW-s'.simps full1-def cdclW-cp.simps
    dest!: trancpD)
qed
ultimately show ?s' unfolding full-def by blast
qed

```

lemma *full-cdcl_W-stgy-full-cdcl_W-merge*:

```

  assumes
    conflicting R = None and
    inv: cdclW-all-struct-inv R
  shows full cdclW-stgy R V  $\longleftrightarrow$  full cdclW-merge-stgy R V
  by (simp add: assms(1) full-cdclW-s'-full-cdclW-merge-restart full-cdclW-stgy-iff-full-cdclW-s'
    inv)

```

lemma *full-cdcl_W-merge-stgy-final-state-conclusive'*:

```

  fixes S' :: 'st
  assumes full: full cdclW-merge-stgy (init-state N) S'
  and no-d: distinct-mset-mset N
  shows (conflicting S' = Some {#}  $\wedge$  unsatisfiable (set-mset N))
     $\vee$  (conflicting S' = None  $\wedge$  trail S'  $\models_{asm}$  N  $\wedge$  satisfiable (set-mset N))

```

proof –

```

  have cdclW-all-struct-inv (init-state N)
    using no-d unfolding cdclW-all-struct-inv-def by auto
  moreover have conflicting (init-state N) = None
    by auto
  ultimately show ?thesis
    by (simp add: full full-cdclW-stgy-final-state-conclusive-from-init-state
      full-cdclW-stgy-full-cdclW-merge no-d)

```

qed

end

7.6 Adding Restarts

locale *cdcl_W-restart* =

```

  cdclW trail init-clss learned-clss backtrack-lvl conflicting cons-trail tl-trail
  add-init-cl
  add-learned-cl remove-cl update-backtrack-lvl update-conflicting init-state
  restart-state

```

for

```

  trail :: 'st  $\Rightarrow$  ('v, nat, 'v clause) ann-literals and
  init-clss :: 'st  $\Rightarrow$  'v clauses and
  learned-clss :: 'st  $\Rightarrow$  'v clauses and
  backtrack-lvl :: 'st  $\Rightarrow$  nat and
  conflicting :: 'st  $\Rightarrow$  'v clause option and

```

```

  cons-trail :: ('v, nat, 'v clause) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-init-cl :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  add-learned-cl remove-cl :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-backtrack-lvl :: nat  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

```

```

  init-state :: 'v clauses  $\Rightarrow$  'st and
  restart-state :: 'st  $\Rightarrow$  'st +

```

```

fixes  $f :: \text{nat} \Rightarrow \text{nat}$ 
assumes  $f$ : unbounded  $f$ 
begin

```

The condition of the differences of cardinality has to be strict. Otherwise, you could be in a strange state, where nothing remains to do, but a restart is done. See the proof of well-foundedness.

inductive *cdcl_W-merge-with-restart* **where**

restart-step:

```

  (cdclW-merge-stgy  $\sim$  ( $\text{card } (\text{set-mset } (\text{learned-clss } T)) - \text{card } (\text{set-mset } (\text{learned-clss } S))$ ))  $S\ T$ 
 $\implies \text{card } (\text{set-mset } (\text{learned-clss } T)) - \text{card } (\text{set-mset } (\text{learned-clss } S)) > f\ n$ 
 $\implies \text{restart } T\ U \implies \text{cdcl}_W\text{-merge-with-restart } (S, n)\ (U, \text{Suc } n) \mid$ 

```

restart-full: *full1 cdcl_W-merge-stgy* $S\ T \implies \text{cdcl}_W\text{-merge-with-restart } (S, n)\ (T, \text{Suc } n)$

lemma *cdcl_W-merge-with-restart* $S\ T \implies \text{cdcl}_W\text{-merge-restart}^{**}\ (\text{fst } S)\ (\text{fst } T)$

by (*induction rule*: *cdcl_W-merge-with-restart.induct*)

```

  (auto dest!: relpowp-imp-rtranclp cdclW-merge-stgy-tranclp-cdclW-merge tranclp-into-rtranclp
    rtranclp-cdclW-merge-stgy-rtranclp-cdclW-merge rtranclp-cdclW-merge-tranclp-cdclW-merge-restart
    fw-r-rf cdclW-rf.restart
    simp: full1-def)

```

lemma *cdcl_W-merge-with-restart-rtranclp-cdcl_W*:

cdcl_W-merge-with-restart $S\ T \implies \text{cdcl}_W^{**}\ (\text{fst } S)\ (\text{fst } T)$

by (*induction rule*: *cdcl_W-merge-with-restart.induct*)

```

  (auto dest!: relpowp-imp-rtranclp rtranclp-cdclW-merge-stgy-rtranclp-cdclW cdclW.rf
    cdclW-rf.restart tranclp-into-rtranclp simp: full1-def)

```

lemma *cdcl_W-merge-with-restart-increasing-number*:

cdcl_W-merge-with-restart $S\ T \implies \text{snd } T = 1 + \text{snd } S$

by (*induction rule*: *cdcl_W-merge-with-restart.induct*) *auto*

lemma *full1 cdcl_W-merge-stgy* $S\ T \implies \text{cdcl}_W\text{-merge-with-restart } (S, n)\ (T, \text{Suc } n)$

using *restart-full* **by** *blast*

lemma *cdcl_W-all-struct-inv-learned-clss-bound*:

assumes *inv*: *cdcl_W-all-struct-inv* S

shows $\text{set-mset } (\text{learned-clss } S) \subseteq \text{simple-clss } (\text{atms-of-msu } (\text{init-clss } S))$

proof

fix C

assume C : $C \in \text{set-mset } (\text{learned-clss } S)$

have *distinct-mset* C

using C *inv* **unfolding** *cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def distinct-mset-set-def*

by *auto*

moreover **have** $\neg \text{tautology } C$

using C *inv* **unfolding** *cdcl_W-all-struct-inv-def cdcl_W-learned-clause-def* **by** *auto*

moreover

have $\text{atms-of } C \subseteq \text{atms-of-msu } (\text{learned-clss } S)$

using C **by** *auto*

then **have** $\text{atms-of } C \subseteq \text{atms-of-msu } (\text{init-clss } S)$

using *inv* **unfolding** *cdcl_W-all-struct-inv-def no-strange-atm-def* **by** *force*

moreover **have** *finite* $(\text{atms-of-msu } (\text{init-clss } S))$

using *inv* **unfolding** *cdcl_W-all-struct-inv-def* **by** *auto*

ultimately **show** $C \in \text{simple-clss } (\text{atms-of-msu } (\text{init-clss } S))$

using *distinct-mset-not-tautology-implies-in-simple-clss simple-clss-mono*

by *blast*

qed

lemma *cdcl_W-merge-with-restart-init-clss*:

*cdcl_W-merge-with-restart S T \implies cdcl_W-M-level-inv (fst S) \implies
init-clss (fst S) = init-clss (fst T)*

using *cdcl_W-merge-with-restart-rtrancpl-cdcl_W rtrancpl-cdcl_W-init-clss* **by** *blast*

lemma

wf {(T, S). cdcl_W-all-struct-inv (fst S) \wedge cdcl_W-merge-with-restart S T}

proof (*rule ccontr*)

assume \neg *?thesis*

then obtain *g* **where**

g: $\bigwedge i. \text{cdcl}_W\text{-merge-with-restart } (g\ i) (g\ (\text{Suc } i))$ **and**

inv: $\bigwedge i. \text{cdcl}_W\text{-all-struct-inv } (\text{fst } (g\ i))$

unfolding *wf-iff-no-infinite-down-chain* **by** *fast*

{ fix *i*

have *init-clss (fst (g i)) = init-clss (fst (g 0))*

apply (*induction i*)

apply *simp*

using *g inv unfolding cdcl_W-all-struct-inv-def* **by** (*metis cdcl_W-merge-with-restart-init-clss*)

} **note** *init-g = this*

let *?S = g 0*

have *finite (atms-of-msu (init-clss (fst ?S)))*

using *inv unfolding cdcl_W-all-struct-inv-def* **by** *auto*

have *snd-g*: $\bigwedge i. \text{snd } (g\ i) = i + \text{snd } (g\ 0)$

apply (*induct-tac i*)

apply *simp*

by (*metis Suc-eq-plus1-left add-Suc cdcl_W-merge-with-restart-increasing-number g*)

then have *snd-g-0*: $\bigwedge i. i > 0 \implies \text{snd } (g\ i) = i + \text{snd } (g\ 0)$

by *blast*

have *unbounded-f-g*: *unbounded ($\lambda i. f\ (\text{snd } (g\ i))$)*

using *f unfolding bounded-def* **by** (*metis add.commute f less-or-eq-imp-le snd-g
not-bounded-nat-exists-larger not-le le-iff-add*)

obtain *k* **where**

f-g-k: $f\ (\text{snd } (g\ k)) > \text{card } (\text{simple-clss } (\text{atms-of-msu } (\text{init-clss } (\text{fst } ?S))))$ **and**

$k > \text{card } (\text{simple-clss } (\text{atms-of-msu } (\text{init-clss } (\text{fst } ?S))))$

using *not-bounded-nat-exists-larger[OF unbounded-f-g]* **by** *blast*

The following does not hold anymore with the non-strict version of cardinality in the definition.

{ fix *i*

assume *no-step cdcl_W-merge-stgy (fst (g i))*

with *g[of i]*

have *False*

proof (*induction rule: cdcl_W-merge-with-restart.induct*)

case (*restart-step T S n*) **note** *H = this(1)* **and** *c = this(2)* **and** *n-s = this(4)*

obtain *S'* **where** *cdcl_W-merge-stgy S S'*

using *H c* **by** (*metis gr-implies-not0 relpowp-E2*)

then show *False* **using** *n-s* **by** *auto*

next

case (*restart-full S T*)

then show *False* **unfolding** *full1-def* **by** (*auto dest: trancplD*)

qed

} **note** *H = this*

obtain *m T* **where**

$m: m = \text{card}(\text{set-mset}(\text{learned-clss } T)) - \text{card}(\text{set-mset}(\text{learned-clss}(\text{fst}(g\ k))))$ **and**
 $m > f(\text{snd}(g\ k))$ **and**
 $\text{restart } T(\text{fst}(g\ (k+1)))$ **and**
 $\text{cdcl}_W\text{-merge-stgy}: (\text{cdcl}_W\text{-merge-stgy} \rightsquigarrow m)(\text{fst}(g\ k))\ T$
using $g[\text{of } k]\ H[\text{of } \text{Suc } k]$ **by** $(\text{force simp: cdcl}_W\text{-merge-with-restart.simps full1-def})$
have $\text{cdcl}_W\text{-merge-stgy}^{**}(\text{fst}(g\ k))\ T$
using $\text{cdcl}_W\text{-merge-stgy relpowp-imp-rtrancpl}$ **by** metis
then have $\text{cdcl}_W\text{-all-struct-inv } T$
using $\text{inv}[\text{of } k]\ \text{rtrancpl-cdcl}_W\text{-all-struct-inv-inv rtrancpl-cdcl}_W\text{-merge-stgy-rtrancpl-cdcl}_W$
by blast
moreover have $\text{card}(\text{set-mset}(\text{learned-clss } T)) - \text{card}(\text{set-mset}(\text{learned-clss}(\text{fst}(g\ k))))$
 $> \text{card}(\text{simple-clss}(\text{atms-of-msu}(\text{init-clss}(\text{fst } ?S))))$
unfolding $m[\text{symmetric}]$ **using** $\langle m > f(\text{snd}(g\ k)) \rangle\ f\text{-}g\text{-}k$ **by** linarith
then have $\text{card}(\text{set-mset}(\text{learned-clss } T))$
 $> \text{card}(\text{simple-clss}(\text{atms-of-msu}(\text{init-clss}(\text{fst } ?S))))$
by linarith
moreover
have $\text{init-clss}(\text{fst}(g\ k)) = \text{init-clss } T$
using $\langle \text{cdcl}_W\text{-merge-stgy}^{**}(\text{fst}(g\ k))\ T \rangle\ \text{rtrancpl-cdcl}_W\text{-merge-stgy-rtrancpl-cdcl}_W$
 $\text{rtrancpl-cdcl}_W\text{-init-clss inv}$ **unfolding** $\text{cdcl}_W\text{-all-struct-inv-def}$ **by** blast
then have $\text{init-clss}(\text{fst } ?S) = \text{init-clss } T$
using $\text{init-g}[\text{of } k]$ **by** auto
ultimately show False
using $\text{cdcl}_W\text{-all-struct-inv-learned-clss-bound}$
by $(\text{simp add: } \langle \text{finite}(\text{atms-of-msu}(\text{init-clss}(\text{fst}(g\ 0)))) \rangle\ \text{simple-clss-finite}$
 $\text{card-mono leD})$
qed

lemma $\text{cdcl}_W\text{-merge-with-restart-distinct-mset-clauses}$:

assumes $\text{invR}: \text{cdcl}_W\text{-all-struct-inv}(\text{fst } R)$ **and**
 $\text{st}: \text{cdcl}_W\text{-merge-with-restart } R\ S$ **and**
 $\text{dist}: \text{distinct-mset}(\text{clauses}(\text{fst } R))$ **and**
 $R: \text{trail}(\text{fst } R) = []$
shows $\text{distinct-mset}(\text{clauses}(\text{fst } S))$
using $\text{assms}(2,1,3,4)$
proof (induction)
case $(\text{restart-full } S\ T)$
then show $?case$ **using** $\text{rtrancpl-cdcl}_W\text{-merge-stgy-distinct-mset-clauses}[\text{of } S\ T]$ **unfolding** full1-def
by $(\text{auto dest: trancpl-into-rtrancpl})$
next
case $(\text{restart-step } T\ S\ n\ U)$
then have $\text{distinct-mset}(\text{clauses } T)$
using $\text{rtrancpl-cdcl}_W\text{-merge-stgy-distinct-mset-clauses}[\text{of } S\ T]$ **unfolding** full1-def
by $(\text{auto dest: relpowp-imp-rtrancpl})$
then show $?case$ **using** $\langle \text{restart } T\ U \rangle$ **by** $(\text{metis clauses-restart distinct-mset-union fstI}$
 $\text{mset-le-exists-conv restart.cases state-eq-clauses})$
qed

inductive $\text{cdcl}_W\text{-with-restart}$ **where**

restart-step :

$(\text{cdcl}_W\text{-stgy} \rightsquigarrow (\text{card}(\text{set-mset}(\text{learned-clss } T)) - \text{card}(\text{set-mset}(\text{learned-clss } S))))\ S\ T \implies$
 $\text{card}(\text{set-mset}(\text{learned-clss } T)) - \text{card}(\text{set-mset}(\text{learned-clss } S)) > f\ n \implies$
 $\text{restart } T\ U \implies$
 $\text{cdcl}_W\text{-with-restart}(S, n)\ (U, \text{Suc } n) \mid$
 $\text{restart-full: full1 cdcl}_W\text{-stgy } S\ T \implies \text{cdcl}_W\text{-with-restart}(S, n)\ (T, \text{Suc } n)$

lemma *cdcl_W-with-restart-rtrancp-cdcl_W*:
cdcl_W-with-restart $S\ T \implies \text{cdcl}_W^{**} (\text{fst } S) (\text{fst } T)$
apply (*induction rule*: *cdcl_W-with-restart.induct*)
by (*auto dest!*: *relopw-imp-rtrancp* *trancp-into-rtrancp* *fw-r-rf*
cdcl_W-rf.restart *rtrancp-cdcl_W-stgy-rtrancp-cdcl_W* *cdcl_W-merge-restart-cdcl_W*
simp: *full1-def*)

lemma *cdcl_W-with-restart-increasing-number*:
cdcl_W-with-restart $S\ T \implies \text{snd } T = 1 + \text{snd } S$
by (*induction rule*: *cdcl_W-with-restart.induct*) *auto*

lemma *full1 cdcl_W-stgy* $S\ T \implies \text{cdcl}_W\text{-with-restart } (S, n) (T, \text{Suc } n)$
using *restart-full* **by** *blast*

lemma *cdcl_W-with-restart-init-clss*:
cdcl_W-with-restart $S\ T \implies \text{cdcl}_W\text{-M-level-inv } (\text{fst } S) \implies \text{init-clss } (\text{fst } S) = \text{init-clss } (\text{fst } T)$
using *cdcl_W-with-restart-rtrancp-cdcl_W* *rtrancp-cdcl_W-init-clss* **by** *blast*

lemma
wf $\{(T, S). \text{cdcl}_W\text{-all-struct-inv } (\text{fst } S) \wedge \text{cdcl}_W\text{-with-restart } S\ T\}$
proof (*rule ccontr*)
assume $\neg ?thesis$
then obtain g **where**
 $g: \bigwedge i. \text{cdcl}_W\text{-with-restart } (g\ i) (g\ (\text{Suc } i))$ **and**
 $\text{inv}: \bigwedge i. \text{cdcl}_W\text{-all-struct-inv } (\text{fst } (g\ i))$
unfolding *wf-iff-no-infinite-down-chain* **by** *fast*
{ fix i
have $\text{init-clss } (\text{fst } (g\ i)) = \text{init-clss } (\text{fst } (g\ 0))$
apply (*induction i*)
apply *simp*
using g *inv* **unfolding** *cdcl_W-all-struct-inv-def* **by** (*metis cdcl_W-with-restart-init-clss*)
} **note** *init-g = this*
let $?S = g\ 0$
have *finite* (*atms-of-msu* (*init-clss* (*fst* $?S$)))
using *inv* **unfolding** *cdcl_W-all-struct-inv-def* **by** *auto*
have *snd-g*: $\bigwedge i. \text{snd } (g\ i) = i + \text{snd } (g\ 0)$
apply (*induct-tac i*)
apply *simp*
by (*metis Suc-eq-plus1-left add-Suc cdcl_W-with-restart-increasing-number g*)
then have *snd-g-0*: $\bigwedge i. i > 0 \implies \text{snd } (g\ i) = i + \text{snd } (g\ 0)$
by *blast*
have *unbounded-f-g*: *unbounded* ($\lambda i. f\ (\text{snd } (g\ i))$)
using f **unfolding** *bounded-def* **by** (*metis add commute f less-or-eq-imp-le snd-g*
not-bounded-nat-exists-larger not-le le-iff-add)

obtain k **where**
 $f\text{-}g\text{-}k: f\ (\text{snd } (g\ k)) > \text{card } (\text{simple-clss } (\text{atms-of-msu } (\text{init-clss } (\text{fst } ?S))))$ **and**
 $k > \text{card } (\text{simple-clss } (\text{atms-of-msu } (\text{init-clss } (\text{fst } ?S))))$
using *not-bounded-nat-exists-larger* [*OF* *unbounded-f-g*] **by** *blast*

The following does not hold anymore with the non-strict version of cardinality in the definition.

```

{ fix  $i$ 
assume no-step cdclW-stgy (fst ( $g\ i$ ))
with  $g$  [of i]

```

```

have False
  proof (induction rule: cdclW-with-restart.induct)
    case (restart-step T S n) note H = this(1) and c = this(2) and n-s = this(4)
    obtain S' where cdclW-stgy S S'
      using H c by (metis gr-implies-not0 relpowp-E2)
    then show False using n-s by auto
  next
    case (restart-full S T)
    then show False unfolding full1-def by (auto dest: tranclpD)
  qed
} note H = this
obtain m T where
  m: m = card (set-mset (learned-clss T)) - card (set-mset (learned-clss (fst (g k)))) and
  m > f (snd (g k)) and
  restart T (fst (g (k+1))) and
  cdclW-merge-stgy: (cdclW-stgy  $\sim$  m) (fst (g k)) T
  using g[of k] H[of Suc k] by (force simp: cdclW-with-restart.simps full1-def)
have cdclW-stgy** (fst (g k)) T
  using cdclW-merge-stgy relpowp-imp-rtranclp by metis
then have cdclW-all-struct-inv T
  using inv[of k] rtranclp-cdclW-all-struct-inv-inv rtranclp-cdclW-stgy-rtranclp-cdclW by blast
moreover have card (set-mset (learned-clss T)) - card (set-mset (learned-clss (fst (g k))))
  > card (simple-clss (atms-of-msu (init-clss (fst ?S))))
  unfolding m[symmetric] using m > f (snd (g k)) f-g-k by linarith
then have card (set-mset (learned-clss T))
  > card (simple-clss (atms-of-msu (init-clss (fst ?S))))
  by linarith
moreover
  have init-clss (fst (g k)) = init-clss T
    using cdclW-stgy** (fst (g k)) T rtranclp-cdclW-stgy-rtranclp-cdclW rtranclp-cdclW-init-clss
    inv unfolding cdclW-all-struct-inv-def
    by blast
  then have init-clss (fst ?S) = init-clss T
    using init-g[of k] by auto
ultimately show False
  using cdclW-all-struct-inv-learned-clss-bound
  by (simp add: finite (atms-of-msu (init-clss (fst (g 0)))) simple-clss-finite
    card-mono leD)
qed

```

```

lemma cdclW-with-restart-distinct-mset-clauses:
  assumes invR: cdclW-all-struct-inv (fst R) and
  st: cdclW-with-restart R S and
  dist: distinct-mset (clauses (fst R)) and
  R: trail (fst R) = []
  shows distinct-mset (clauses (fst S))
  using asms(2,1,3,4)
proof (induction)
  case (restart-full S T)
  then show ?case using rtranclp-cdclW-stgy-distinct-mset-clauses[of S T] unfolding full1-def
    by (auto dest: tranclp-into-rtranclp)
next
  case (restart-step T S n U)
  then have distinct-mset (clauses T) using rtranclp-cdclW-stgy-distinct-mset-clauses[of S T]
    unfolding full1-def by (auto dest: relpowp-imp-rtranclp)

```



```

then show ?case using (restart T U) by (metis clauses-restart distinct-mset-union fstI
  mset-le-exists-conv restart.cases state-eq-clauses)
qed
end

locale luby-sequence =
  fixes ur :: nat
  assumes ur > 0
begin

lemma exists-luby-decomp:
  fixes i :: nat
  shows  $\exists k::nat. (2^{k-1} \leq i \wedge i < 2^k - 1) \vee i = 2^k - 1$ 
proof (induction i)
  case 0
  then show ?case
  by (rule exI[of - 0], simp)
next
  case (Suc n)
  then obtain k where  $2^{k-1} \leq n \wedge n < 2^k - 1 \vee n = 2^k - 1$ 
  by blast
  then consider
    (st-interv)  $2^{k-1} \leq n$  and  $n \leq 2^k - 2$ 
  | (end-interv)  $2^{k-1} \leq n$  and  $n = 2^k - 2$ 
  | (pow2)  $n = 2^k - 1$ 
  by linarith
  then show ?case
  proof cases
  case st-interv
  then show ?thesis apply - apply (rule exI[of - k])
  by (metis (no-types, lifting) One-nat-def Suc-diff-Suc Suc-lessI
    (2^{k-1} \leq n \wedge n < 2^k - 1 \vee n = 2^k - 1) diff-self-eq-0
    dual-order.trans le-SucI le-imp-less-Suc numeral-2-eq-2 one-le-numeral
    one-le-power zero-less-numeral zero-less-power)
  next
  case end-interv
  then show ?thesis apply - apply (rule exI[of - k]) by auto
  next
  case pow2
  then show ?thesis apply - apply (rule exI[of - k+1]) by auto
qed
qed

```

Luby sequences are defined by:

- $2^k - 1$, if $i = (2::'a)^k - (1::'a)$
- $\text{luby-sequence-core } (i - 2^{k-1} + 1)$, if $(2::'a)^{k-1} \leq i$ and $i \leq (2::'a)^k - (1::'a)$

Then the sequence is then scaled by a constant unit run (called *ur* here), strictly positive.

```

function luby-sequence-core :: nat  $\Rightarrow$  nat where
  luby-sequence-core i =
    (if  $\exists k. i = 2^k - 1$ 
     then  $2^{ur \cdot k} - 1$ 
     else luby-sequence-core (i -  $2^{ur \cdot (k-1)}$ ))

```

```

by auto
termination
proof (relation less-than, goal-cases)
  case 1
  then show ?case by auto
next
case (2 i)
let ?k = (SOME k. 2 ^ (k - 1) ≤ i ∧ i < 2 ^ k - 1)
have 2 ^ (?k - 1) ≤ i ∧ i < 2 ^ ?k - 1
  apply (rule someI-ex)
  using 2 exists-luby-decomp by blast
then show ?case

proof -
  have ∀ n na. ¬ (1::nat) ≤ n ∨ 1 ≤ n ^ na
    by (meson one-le-power)
  then have f1: (1::nat) ≤ 2 ^ (?k - 1)
    using one-le-numeral by blast
  have f2: i - 2 ^ (?k - 1) + 2 ^ (?k - 1) = i
    using (2 ^ (?k - 1) ≤ i ∧ i < 2 ^ ?k - 1) le-add-diff-inverse2 by blast
  have f3: 2 ^ ?k - 1 ≠ Suc 0
    using f1 (2 ^ (?k - 1) ≤ i ∧ i < 2 ^ ?k - 1) by linarith
  have 2 ^ ?k - (1::nat) ≠ 0
    using (2 ^ (?k - 1) ≤ i ∧ i < 2 ^ ?k - 1) gr-implies-not0 by blast
  then have f4: 2 ^ ?k ≠ (1::nat)
    by linarith
  have f5: ∀ n na. if na = 0 then (n::nat) ^ na = 1 else n ^ na = n * n ^ (na - 1)
    by (simp add: power-eq-if)
  then have ?k ≠ 0
    using f4 by meson
  then have 2 ^ (?k - 1) ≠ Suc 0
    using f5 f3 by presburger
  then have Suc 0 < 2 ^ (?k - 1)
    using f1 by linarith
  then show ?thesis
    using f2 less-than-iff by presburger
qed
qed

declare luby-sequence-core.simps[simp del]

lemma two-pover-n-eq-two-power-n'-eq:
  assumes H: (2::nat) ^ (k::nat) - 1 = 2 ^ k' - 1
  shows k' = k
proof -
  have (2::nat) ^ (k::nat) = 2 ^ k'
    using H by (metis One-nat-def Suc-pred zero-less-numeral zero-less-power)
  then show ?thesis by simp
qed

lemma luby-sequence-core-two-power-minus-one:
  luby-sequence-core (2 ^ k - 1) = 2 ^ (k - 1) (is ?L = ?K)
proof -
  have decomp: ∃ ka. 2 ^ k - 1 = 2 ^ ka - 1
    by auto

```

```

have ?L = 2^((SOME k'. (2::nat)^k - 1 = 2^k' - 1) - 1)
  apply (subst luby-sequence-core.simps, subst decomp)
  by simp
moreover have (SOME k'. (2::nat)^k - 1 = 2^k' - 1) = k
  apply (rule some-equality)
  apply simp
  using two-pover-n-eq-two-power-n'-eq by blast
ultimately show ?thesis by presburger
qed

```

lemma *different-luby-decomposition-false:*

```

assumes
  H: 2 ^ (k - Suc 0) ≤ i and
  k': i < 2 ^ k' - Suc 0 and
  k-k': k > k'
shows False
proof -
  have 2 ^ k' - Suc 0 < 2 ^ (k - Suc 0)
    using k-k' less-eq-Suc-le by auto
  then show ?thesis
    using H k' by linarith
qed

```

lemma *luby-sequence-core-not-two-power-minus-one:*

```

assumes
  k-i: 2 ^ (k - 1) ≤ i and
  i-k: i < 2 ^ k - 1
shows luby-sequence-core i = luby-sequence-core (i - 2 ^ (k - 1) + 1)
proof -
  have H: ¬ (∃ ka. i = 2 ^ ka - 1)
  proof (rule ccontr)
    assume ¬ ?thesis
    then obtain k':nat where k': i = 2 ^ k' - 1 by blast
    have (2::nat) ^ k' - 1 < 2 ^ k - 1
      using i-k unfolding k'.
    then have (2::nat) ^ k' < 2 ^ k
      by linarith
    then have k' < k
      by simp
    have 2 ^ (k - 1) ≤ 2 ^ k' - (1::nat)
      using k-i unfolding k'.
    then have (2::nat) ^ (k-1) < 2 ^ k'
      by (metis Suc-diff-1 not-le not-less-eq zero-less-numeral zero-less-power)
    then have k-1 < k'
      by simp

    show False using ⟨k' < k⟩ ⟨k-1 < k'⟩ by linarith
  qed
  have ∧k k'. 2 ^ (k - Suc 0) ≤ i ⟹ i < 2 ^ k - Suc 0 ⟹ 2 ^ (k' - Suc 0) ≤ i ⟹
    i < 2 ^ k' - Suc 0 ⟹ k = k'
    by (meson different-luby-decomposition-false linorder-neqE-nat)
  then have k: (SOME k. 2 ^ (k - Suc 0) ≤ i ∧ i < 2 ^ k - Suc 0) = k
    using k-i i-k by auto
  show ?thesis
    apply (subst luby-sequence-core.simps[of i], subst H)

```

by (simp add: k)
qed

lemma *unbounded-luby-sequence-core: unbounded luby-sequence-core
unfolding bounded-def*

proof

assume $\exists b. \forall n. \text{luby-sequence-core } n \leq b$
 then obtain b where $b: \bigwedge n. \text{luby-sequence-core } n \leq b$
 by metis
 have $\text{luby-sequence-core } (2^{b+1} - 1) = 2^b$
 using *luby-sequence-core-two-power-minus-one*[of b+1] by simp
 moreover have $(2::\text{nat})^b > b$
 by (induction b) auto
 ultimately show False using b[of $2^{b+1} - 1$] by linarith

qed

abbreviation *luby-sequence :: nat \Rightarrow nat where
luby-sequence n \equiv ur * luby-sequence-core n*

lemma *bounded-luby-sequence: unbounded luby-sequence
using bounded-const-product[of ur] luby-sequence-axioms
luby-sequence-def unbounded-luby-sequence-core by blast*

lemma *luby-sequence-core-0: luby-sequence-core 0 = 1*

proof –

have 0: $(0::\text{nat}) = 2^0 - 1$
 by auto
 show ?thesis
 by (subst 0, subst *luby-sequence-core-two-power-minus-one*) simp

qed

lemma *luby-sequence-core n \geq 1*

proof (induction n rule: nat-less-induct-case)

case 0

then show ?case by (simp add: *luby-sequence-core-0*)

next

case (Suc n) note IH = this

consider

(interv) k where $2^{k-1} \leq \text{Suc } n$ and $\text{Suc } n < 2^k - 1$
 | (pow2) k where $\text{Suc } n = 2^k - \text{Suc } 0$
 using *exists-luby-decomp*[of Suc n] by auto

then show ?case

proof cases

case pow2

show ?thesis

using *luby-sequence-core-two-power-minus-one* pow2 by auto

next

case interv

have n: $\text{Suc } n - 2^{k-1} + 1 < \text{Suc } n$

by (metis Suc-1 Suc-eq-plus1 add.commute add-diff-cancel-left' add-less-mono1 gr0I
 interv(1) interv(2) le-add-diff-inverse2 less-Suc-eq not-le power-0 power-one-right
 power-strict-increasing-iff)

show ?thesis

```

    apply (subst luby-sequence-core-not-two-power-minus-one[OF interv])
    using IH n by auto
  qed
qed
end

locale luby-sequence-restart =
  luby-sequence ur +
  cdclW trail init-clss learned-clss backtrack-lvl conflicting cons-trail tl-trail
  add-init-cls
  add-learned-cls remove-cls update-backtrack-lvl update-conflicting init-state
  restart-state
for
  ur :: nat and
  trail :: 'st  $\Rightarrow$  ('v, nat, 'v clause) ann-literals and
  init-clss :: 'st  $\Rightarrow$  'v clauses and
  learned-clss :: 'st  $\Rightarrow$  'v clauses and
  backtrack-lvl :: 'st  $\Rightarrow$  nat and
  conflicting :: 'st  $\Rightarrow$  'v clause option and
  cons-trail :: ('v, nat, 'v clause) ann-literal  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-init-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  add-learned-cls remove-cls :: 'v clause  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-backtrack-lvl :: nat  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-conflicting :: 'v clause option  $\Rightarrow$  'st  $\Rightarrow$  'st and

  init-state :: 'v clauses  $\Rightarrow$  'st and
  restart-state :: 'st  $\Rightarrow$  'st
begin

sublocale cdclW-restart - - - - - luby-sequence
  apply unfold-locales
  using bounded-luby-sequence by blast

end

end
theory CDCL-W-Incremental
imports CDCL-W-Termination
begin

```

8 Incremental SAT solving

```

context cdclW
begin

```

This invariant holds all the invariant related to the strategy. See the structural invariant in *cdcl_W-all-struct-inv*

```

definition cdclW-stgy-invariant where
  cdclW-stgy-invariant  $S \longleftrightarrow$ 
    conflict-is-false-with-level  $S$ 
     $\wedge$  no-clause-is-false  $S$ 
     $\wedge$  no-smaller-confl  $S$ 
     $\wedge$  no-clause-is-false  $S$ 

```

lemma *cdcl_W-stgy-cdcl_W-stgy-invariant*:

assumes

cdcl_W: *cdcl_W-stgy S T* **and**

inv-s: *cdcl_W-stgy-invariant S* **and**

inv: *cdcl_W-all-struct-inv S*

shows

cdcl_W-stgy-invariant T

unfolding *cdcl_W-stgy-invariant-def cdcl_W-all-struct-inv-def* **apply** *standard*

apply (*rule cdcl_W-stgy-ex-lit-of-max-level[of S]*)

using *assms* **unfolding** *cdcl_W-stgy-invariant-def cdcl_W-all-struct-inv-def* **apply** *auto[7]*

apply *standard*

using *cdcl_W cdcl_W-stgy-not-non-negated-init-clss* **apply** *blast*

apply *standard*

apply (*rule cdcl_W-stgy-no-smaller-conflict-inv*)

using *assms* **unfolding** *cdcl_W-stgy-invariant-def cdcl_W-all-struct-inv-def* **apply** *auto[4]*

using *cdcl_W cdcl_W-stgy-not-non-negated-init-clss* **by** *auto*

lemma *rtrancp-cdcl_W-stgy-cdcl_W-stgy-invariant*:

assumes

cdcl_W: *cdcl_W-stgy** S T* **and**

inv-s: *cdcl_W-stgy-invariant S* **and**

inv: *cdcl_W-all-struct-inv S*

shows

cdcl_W-stgy-invariant T

using *assms* **apply** (*induction*)

apply *simp*

using *cdcl_W-stgy-cdcl_W-stgy-invariant rtrancp-cdcl_W-all-struct-inv-inv*

rtrancp-cdcl_W-stgy-rtrancp-cdcl_W **by** *blast*

abbreviation *decr-bt-lvl* **where**

decr-bt-lvl S \equiv *update-backtrack-lvl (backtrack-lvl S - 1) S*

When we add a new clause, we reduce the trail until we get to the first literal included in C. Then we can mark the conflict.

fun *cut-trail-wrt-clause* **where**

cut-trail-wrt-clause C [] S = *S* |

cut-trail-wrt-clause C (Decided L - # M) S =

(*if* $-L \in \# C$ *then S*

else cut-trail-wrt-clause C M (decr-bt-lvl (tl-trail S))) |

cut-trail-wrt-clause C (Propagated L - # M) S =

(*if* $-L \in \# C$ *then S*

else cut-trail-wrt-clause C M (tl-trail S))

definition *add-new-clause-and-update* :: '*v* literal multiset \Rightarrow '*st* \Rightarrow '*st* **where**

add-new-clause-and-update C S =

(*if* *trail S* \models_{as} *CNot C*

then update-conflicting (Some C) (add-init-clss C (cut-trail-wrt-clause C (trail S) S))

else add-init-clss C S)

thm *cut-trail-wrt-clause.induct*

lemma *init-clss-cut-trail-wrt-clause[simp]*:

init-clss (cut-trail-wrt-clause C M S) = *init-clss S*

by (*induction rule: cut-trail-wrt-clause.induct*) *auto*

lemma *learned-clss-cut-trail-wrt-clause[simp]*:

$learned-clss\ (cut-trail-wrt-clause\ C\ M\ S) = learned-clss\ S$
by (induction rule: cut-trail-wrt-clause.induct) auto

lemma conflicting-clss-cut-trail-wrt-clause[simp]:
 $conflicting\ (cut-trail-wrt-clause\ C\ M\ S) = conflicting\ S$
by (induction rule: cut-trail-wrt-clause.induct) auto

lemma trail-cut-trail-wrt-clause:
 $\exists M. trail\ S = M @ trail\ (cut-trail-wrt-clause\ C\ (trail\ S)\ S)$
proof (induction trail S arbitrary:S rule: ann-literal-list-induct)
case nil
then show ?case **by** simp
next
case (decided L l M) **note** IH = this(1)[of decr-bt-lvl (tl-trail S)] **and** M = this(2)[symmetric]
then show ?case **using** Cons-eq-appendI **by** fastforce+
next
case (proped L l M) **note** IH = this(1)[of tl-trail S] **and** M = this(2)[symmetric]
then show ?case **using** Cons-eq-appendI **by** fastforce+
qed

lemma n-dup-no-dup-trail-cut-trail-wrt-clause[simp]:
assumes n-d: no-dup (trail T)
shows no-dup (trail (cut-trail-wrt-clause C (trail T) T))
proof –
obtain M **where**
 $M: trail\ T = M @ trail\ (cut-trail-wrt-clause\ C\ (trail\ T)\ T)$
using trail-cut-trail-wrt-clause[of T C] **by** auto
show ?thesis
using n-d **unfolding** arg-cong[OF M, of no-dup] **by** auto
qed

lemma cut-trail-wrt-clause-backtrack-lvl-length-decided:
assumes
 $backtrack-lvl\ T = length\ (get-all-levels-of-decided\ (trail\ T))$
shows
 $backtrack-lvl\ (cut-trail-wrt-clause\ C\ (trail\ T)\ T) =$
 $length\ (get-all-levels-of-decided\ (trail\ (cut-trail-wrt-clause\ C\ (trail\ T)\ T)))$
using assms
proof (induction trail T arbitrary:T rule: ann-literal-list-induct)
case nil
then show ?case **by** simp
next
case (decided L l M) **note** IH = this(1)[of decr-bt-lvl (tl-trail T)] **and** M = this(2)[symmetric]
and bt = this(3)
then show ?case **by** auto
next
case (proped L l M) **note** IH = this(1)[of tl-trail T] **and** M = this(2)[symmetric] **and** bt = this(3)
then show ?case **by** auto
qed

lemma cut-trail-wrt-clause-get-all-levels-of-decided:
assumes get-all-levels-of-decided (trail T) = rev [Suc 0..
 $Suc\ (length\ (get-all-levels-of-decided\ (trail\ T)))]$
shows
 $get-all-levels-of-decided\ (trail\ ((cut-trail-wrt-clause\ C\ (trail\ T)\ T))) = rev\ [Suc\ 0.. $$$

```

    Suc (length (get-all-levels-of-decided (trail ((cut-trail-wrt-clause C (trail T) T))))))
  using assms
proof (induction trail T arbitrary:T rule: ann-literal-list-induct)
  case nil
  then show ?case by simp
next
  case (decided L l M) note IH = this(1)[of decr-bt-lvl (tl-trail T)] and M = this(2)[symmetric]
    and bt = this(3)
  then show ?case by (cases count C L = 0) auto
next
  case (proped L l M) note IH = this(1)[of tl-trail T] and M = this(2)[symmetric] and bt = this(3)
  then show ?case by (cases count C L = 0) auto
qed

lemma cut-trail-wrt-clause-CNot-trail:
  assumes trail T  $\models_{as}$  CNot C
  shows
    (trail ((cut-trail-wrt-clause C (trail T) T)))  $\models_{as}$  CNot C
  using assms
proof (induction trail T arbitrary:T rule: ann-literal-list-induct)
  case nil
  then show ?case by simp
next
  case (decided L l M) note IH = this(1)[of decr-bt-lvl (tl-trail T)] and M = this(2)[symmetric]
    and bt = this(3)
  show ?case
  proof (cases count C (-L) = 0)
    case False
    then show ?thesis
      using IH M bt by (auto simp: true-annots-true-cls)
  next
    case True
    obtain mma :: 'v literal multiset where
      f6: (mma  $\in$   $\{\{\#- l\# \mid l. l \in \# C\} \longrightarrow M \models_a mma\} \longrightarrow M \models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ )
      using true-annots-def by moura
    have mma  $\in$   $\{\{\#- l\# \mid l. l \in \# C\} \longrightarrow \text{trail } T \models_a mma\}$ 
      using CNot-def M bt by (metis (no-types) true-annots-def)
    then have M  $\models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ 
      using f6 True M bt by force
    then show ?thesis
      using IH true-annots-true-cls M by (auto simp: CNot-def)
  qed
next
  case (proped L l M) note IH = this(1)[of tl-trail T] and M = this(2)[symmetric] and bt = this(3)
  show ?case
  proof (cases count C (-L) = 0)
    case False
    then show ?thesis
      using IH M bt by (auto simp: true-annots-true-cls)
  next
    case True
    obtain mma :: 'v literal multiset where
      f6: (mma  $\in$   $\{\{\#- l\# \mid l. l \in \# C\} \longrightarrow M \models_a mma\} \longrightarrow M \models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ )
      using true-annots-def by moura
    have mma  $\in$   $\{\{\#- l\# \mid l. l \in \# C\} \longrightarrow \text{trail } T \models_a mma\}$ 

```



```

    using CNot-def M bt by (metis (no-types) true-annots-def)
  then have M  $\models_{as}$   $\{\{\#- l\# \} \mid l. l \in \# C\}$ 
    using f6 True M bt by force
  then show ?thesis
    using IH true-annots-true-clss M by (auto simp: CNot-def)
qed
qed

```

lemma *cut-trail-wrt-clause-hd-trail-in-or-empty-trail:*

```

(( $\forall L \in \# C. -L \notin \text{ lits-of } (\text{trail } T)$ )  $\wedge$   $\text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) T) = []$ )
 $\vee$  ( $-\text{lit-of } (\text{hd } (\text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) T))) \in \# C$ 
 $\wedge$   $\text{length } (\text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) T)) \geq 1$ )

```

using *assms*

proof (*induction trail T arbitrary: T rule: ann-literal-list-induct*)

case *nil*

then show ?case by *simp*

next

case (*decided L l M*) **note** $IH = \text{this}(1)[\text{of } \text{decr-bt-lvl } (\text{tl-trail } T)]$ **and** $M = \text{this}(2)[\text{symmetric}]$

then show ?case by *simp* force

next

case (*proped L l M*) **note** $IH = \text{this}(1)[\text{of } \text{tl-trail } T]$ **and** $M = \text{this}(2)[\text{symmetric}]$

then show ?case by *simp* force

qed

We can fully run *cdcl_W*-s or add a clause. Remark that we use *cdcl_W*-s to avoid an explicit *skip*, *resolve*, and *backtrack* normalisation to get rid of the conflict *C* if possible.

inductive *incremental-cdcl_W* :: '*st* \Rightarrow '*st* \Rightarrow bool **for** *S* **where**

add-confl:

$\text{trail } S \models_{asm} \text{init-clss } S \Rightarrow \text{distinct-mset } C \Rightarrow \text{conflicting } S = \text{None} \Rightarrow$

$\text{trail } S \models_{as} \text{CNot } C \Rightarrow$

$\text{full } \text{cdcl}_W\text{-stgy}$

$(\text{update-conflicting } (\text{Some } C) (\text{add-init-clss } C (\text{cut-trail-wrt-clause } C (\text{trail } S) S))) T \Rightarrow$

$\text{incremental-cdcl}_W S T \mid$

add-no-confl:

$\text{trail } S \models_{asm} \text{init-clss } S \Rightarrow \text{distinct-mset } C \Rightarrow \text{conflicting } S = \text{None} \Rightarrow$

$\neg \text{trail } S \models_{as} \text{CNot } C \Rightarrow$

$\text{full } \text{cdcl}_W\text{-stgy } (\text{add-init-clss } C S) T \Rightarrow$

$\text{incremental-cdcl}_W S T$

inductive *add-learned-clss* :: '*st* \Rightarrow '*v* clauses \Rightarrow '*st* \Rightarrow bool **for** *S* :: '*st* **where**

add-learned-clss-nil: *add-learned-clss* *S* $\{\#\}$ *S* \mid

add-learned-clss-plus:

$\text{add-learned-clss } S A T \Rightarrow \text{add-learned-clss } S (\{\#x\# \} + A) (\text{add-learned-clss } x T)$

declare *add-learned-clss.intros*[*intro*]

lemma *Ex-add-learned-clss:*

$\exists T. \text{add-learned-clss } S A T$

by (*induction A arbitrary: S rule: multiset-induct*) (*auto simp: union-commute*[*of* - $\{\#-\#\}$])

lemma *add-learned-clss-trail:*

assumes *add-learned-clss* *S U T* **and** *no-dup* (*trail S*)

shows $\text{trail } T = \text{trail } S$

using *assms* **by** (*induction rule: add-learned-clss.induct*) (*simp-all add: ac-simps*)

lemma *add-learned-clss-learned-clss:*

assumes *add-learned-clss* S U T **and** *no-dup* (*trail* S)
shows *learned-clss* $T = U + \text{learned-clss } S$
using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
(*auto simp*: *ac-simps* *dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-init-clss*:
assumes *add-learned-clss* S U T **and** *no-dup* (*trail* S)
shows *init-clss* $T = \text{init-clss } S$
using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
(*auto simp*: *ac-simps* *dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-conflicting*:
assumes *add-learned-clss* S U T **and** *no-dup* (*trail* S)
shows *conflicting* $T = \text{conflicting } S$
using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
(*auto simp*: *ac-simps* *dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-backtrack-lvl*:
assumes *add-learned-clss* S U T **and** *no-dup* (*trail* S)
shows *backtrack-lvl* $T = \text{backtrack-lvl } S$
using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
(*auto simp*: *ac-simps* *dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-init-state-mempty[dest!]*:
add-learned-clss (*init-state* N) $\{\#\}$ $T \implies T = \text{init-state } N$
by (*cases rule*: *add-learned-clss.cases*) (*auto simp*: *add-learned-clss.cases*)

For multiset larger than 1 element, there is no way to know in which order the clauses are added.
But contrary to a definition *fold-mset*, there is an element.

lemma *add-learned-clss-init-state-single[dest!]*:
add-learned-clss (*init-state* N) $\{\#C\#\}$ $T \implies T = \text{add-learned-clss } C$ (*init-state* N)
by (*induction* $\{\#C\#\}$ T *rule*: *add-learned-clss.induct*)
(*auto simp*: *add-learned-clss.cases* *ac-simps* *union-is-single* *split*: *split-if-asm*)

thm *rtrancp-cdcl_W-stgy-no-smaller-conf-inv cdcl_W-stgy-final-state-conclusive*

lemma *cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv*:

assumes
inv-T: *cdcl_W-all-struct-inv* T **and**
tr-T-N[simp]: *trail* $T \models_{\text{asm}} N$ **and**
tr-C[simp]: *trail* $T \models_{\text{as}} C \text{Not } C$ **and**
[simp]: *distinct-mset* C

shows *cdcl_W-all-struct-inv* (*add-new-clause-and-update* C T) (**is** *cdcl_W-all-struct-inv* $?T$)

proof –

let $?T = \text{update-conflicting}$ (*Some* C) (*add-init-clss* C (*cut-trail-wrt-clause* C (*trail* T) T))

obtain M **where**

M : *trail* $T = M @ \text{trail}$ (*cut-trail-wrt-clause* C (*trail* T) T)

using *trail-cut-trail-wrt-clause[of T C]* **by** *blast*

have $H[\text{dest}]$: $\bigwedge x. x \in \text{lits-of}$ (*trail* (*cut-trail-wrt-clause* C (*trail* T) T)) \implies
 $x \in \text{lits-of}$ (*trail* T)

using *inv-T* *arg-cong[OF M, of lits-of]* **by** *auto*

have $H'[\text{dest}]$: $\bigwedge x. x \in \text{set}$ (*trail* (*cut-trail-wrt-clause* C (*trail* T) T)) $\implies x \in \text{set}$ (*trail* T)

using *inv-T* *arg-cong[OF M, of set]* **by** *auto*

have $H\text{-proped}$: $\bigwedge x. x \in \text{set}$ (*get-all-mark-of-propagated* (*trail* (*cut-trail-wrt-clause* C (*trail* T) T))) $\implies x \in \text{set}$ (*get-all-mark-of-propagated* (*trail* T))

```

using inv-T arg-cong[OF M, of get-all-mark-of-propagated] by auto

have [simp]: no-strange-atm ?T
  using inv-T unfolding cdclW-all-struct-inv-def no-strange-atm-def add-new-clause-and-update-def
  cdclW-M-level-inv-def
  by (auto dest!: H H')

have M-lev: cdclW-M-level-inv T
  using inv-T unfolding cdclW-all-struct-inv-def by blast
then have no-dup (M @ trail (cut-trail-wrt-clause C (trail T) T))
  unfolding cdclW-M-level-inv-def unfolding M[symmetric] by auto
then have [simp]: no-dup (trail (cut-trail-wrt-clause C (trail T) T))
  by auto

have consistent-interp (lits-of (M @ trail (cut-trail-wrt-clause C (trail T) T)))
  using M-lev unfolding cdclW-M-level-inv-def unfolding M[symmetric] by auto
then have [simp]: consistent-interp (lits-of (trail (cut-trail-wrt-clause C (trail T) T)))
  unfolding consistent-interp-def by auto

have [simp]: cdclW-M-level-inv ?T

  using M-lev cut-trail-wrt-clause-get-all-levels-of-decided[of T C]
  unfolding cdclW-M-level-inv-def by (auto dest: H H')
  simp: M-lev cdclW-M-level-inv-def cut-trail-wrt-clause-backtrack-lvl-length-decided

have [simp]:  $\bigwedge s. s \in \# \text{ learned-clss } T \implies \neg \text{tautology } s$ 
  using inv-T unfolding cdclW-all-struct-inv-def by auto

have distinct-cdclW-state T
  using inv-T unfolding cdclW-all-struct-inv-def by auto
then have [simp]: distinct-cdclW-state ?T
  unfolding distinct-cdclW-state-def by auto

have cdclW-conflicting T
  using inv-T unfolding cdclW-all-struct-inv-def by auto
have trail ?T  $\models_{as}$  CNot C
  by (simp add: cut-trail-wrt-clause-CNot-trail)
then have [simp]: cdclW-conflicting ?T
  unfolding cdclW-conflicting-def apply simp
  by (metis M  $\langle$ cdclW-conflicting T $\rangle$  append-assoc cdclW-conflicting-decomp(2))

have
  decomp-T: all-decomposition-implies-m (init-clss T) (get-all-decided-decomposition (trail T))
  using inv-T unfolding cdclW-all-struct-inv-def by auto
have all-decomposition-implies-m (init-clss ?T)
  (get-all-decided-decomposition (trail ?T))
  unfolding all-decomposition-implies-def
  proof clarify
    fix a b
    assume  $(a, b) \in \text{set } (\text{get-all-decided-decomposition } (\text{trail } ?T))$ 
    from in-get-all-decided-decomposition-in-get-all-decided-decomposition-prepend[OF this, of M]
    obtain b' where
       $(a, b' @ b) \in \text{set } (\text{get-all-decided-decomposition } (\text{trail } T))$ 
      using M by auto
    then have  $\text{unmark } a \cup \text{set-mset } (\text{init-clss } T) \models_{ps} \text{unmark } (b' @ b)$ 

```

```

    using decomp-T unfolding all-decomposition-implies-def by fastforce
  then have unmark a ∪ set-mset (init-clss ?T)
     $\models_{ps}$  unmark (b @ b')
    by (simp add: Un-commute)
  then show unmark a ∪ set-mset (init-clss ?T)
     $\models_{ps}$  unmark b
    by (auto simp: image-Un)
qed

have [simp]: cdclW-learned-clause ?T
  using inv-T unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def
  by (auto dest!: H-proped simp: clauses-def)
show ?thesis
  using (all-decomposition-implies-m (init-clss ?T)
  (get-all-decided-decomposition (trail ?T)))
  unfolding cdclW-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
qed

lemma cdclW-all-struct-inv-add-new-clause-and-update-cdclW-stgy-inv:
  assumes
    inv-s: cdclW-stgy-invariant T and
    inv: cdclW-all-struct-inv T and
    tr-T-N[simp]: trail T  $\models_{asm}$  N and
    tr-C[simp]: trail T  $\models_{as}$  CNot C and
    [simp]: distinct-mset C
  shows cdclW-stgy-invariant (add-new-clause-and-update C T) (is cdclW-stgy-invariant ?T')
proof -
  have cdclW-all-struct-inv ?T'
    using cdclW-all-struct-inv-add-new-clause-and-update-cdclW-all-struct-inv assms by blast
  then have
    no-dup-cut-T[simp]: no-dup (trail (cut-trail-wrt-clause C (trail T) T)) and
    n-d[simp]: no-dup (trail T)
    using cdclW-M-level-inv-decomp(2) cdclW-all-struct-inv-def inv
    n-dup-no-dup-trail-cut-trail-wrt-clause by blast+
  then have trail (add-new-clause-and-update C T)  $\models_{as}$  CNot C
    by (simp add: add-new-clause-and-update-def cut-trail-wrt-clause-CNot-trail
    cdclW-M-level-inv-def cdclW-all-struct-inv-def)
  obtain MT where
    MT: trail T = MT @ trail (cut-trail-wrt-clause C (trail T) T)
    using trail-cut-trail-wrt-clause by blast
  consider
    (false)  $\forall L \in \#C. - L \notin \text{ lits-of } (trail T)$  and trail (cut-trail-wrt-clause C (trail T) T) = []
    | (not-false) - lit-of (hd (trail (cut-trail-wrt-clause C (trail T) T)))  $\in \# C$  and
    1 ≤ length (trail (cut-trail-wrt-clause C (trail T) T))
    using cut-trail-wrt-clause-hd-trail-in-or-empty-trail[of C T] by auto
  then show ?thesis
  proof cases
    case false note C = this(1) and empty-tr = this(2)
    then have [simp]: C = {#}
      by (simp add: in-CNot-implies-uminus(2) multiset-eqI)
    show ?thesis
      using empty-tr unfolding cdclW-stgy-invariant-def no-smaller-confl-def
      cdclW-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
  next
    case not-false note C = this(1) and l = this(2)

```

```

let ?L = - lit-of (hd (trail (cut-trail-wrt-clause C (trail T) T)))
have get-all-levels-of-decided (trail (add-new-clause-and-update C T)) =
  rev [1.. $1 + \text{length (get-all-levels-of-decided (trail (add-new-clause-and-update C T)))}$ ]
  using  $\langle \text{cdcl}_W\text{-all-struct-inv } ?T' \rangle$  unfolding  $\text{cdcl}_W\text{-all-struct-inv-def}$   $\text{cdcl}_W\text{-M-level-inv-def}$ 
  by blast
moreover
  have backtrack-lvl (cut-trail-wrt-clause C (trail T) T) =
    length (get-all-levels-of-decided (trail (add-new-clause-and-update C T)))
    using  $\langle \text{cdcl}_W\text{-all-struct-inv } ?T' \rangle$  unfolding  $\text{cdcl}_W\text{-all-struct-inv-def}$   $\text{cdcl}_W\text{-M-level-inv-def}$ 
    by (auto simp: add-new-clause-and-update-def)
moreover
  have no-dup (trail (cut-trail-wrt-clause C (trail T) T))
    using  $\langle \text{cdcl}_W\text{-all-struct-inv } ?T' \rangle$  unfolding  $\text{cdcl}_W\text{-all-struct-inv-def}$   $\text{cdcl}_W\text{-M-level-inv-def}$ 
    by (auto simp: add-new-clause-and-update-def)
  then have atm-of ?L  $\notin$  atm-of ' lits-of (tl (trail (cut-trail-wrt-clause C (trail T) T)))
    apply (cases trail (cut-trail-wrt-clause C (trail T) T))
    apply (auto)
    using Decided-Propagated-in-iff-in-lits-of defined-lit-map by blast

ultimately have L: get-level (trail (cut-trail-wrt-clause C (trail T) T)) (-?L)
  = length (get-all-levels-of-decided (trail (cut-trail-wrt-clause C (trail T) T)))
  using get-level-get-rev-level-get-all-levels-of-decided[OF
     $\langle \text{atm-of } ?L \notin \text{atm-of ' lits-of (tl (trail (cut-trail-wrt-clause C (trail T) T)))} \rangle$ ,
    of [hd (trail (cut-trail-wrt-clause C (trail T) T))]]

  apply (cases trail (add-init-cls C (cut-trail-wrt-clause C (trail T) T));
    cases hd (trail (cut-trail-wrt-clause C (trail T) T)))
  using l by (auto split: split-if-asm
    simp: rev-swap[symmetric] add-new-clause-and-update-def)

have L': length (get-all-levels-of-decided (trail (cut-trail-wrt-clause C (trail T) T)))
  = backtrack-lvl (cut-trail-wrt-clause C (trail T) T)
  using  $\langle \text{cdcl}_W\text{-all-struct-inv } ?T' \rangle$  unfolding  $\text{cdcl}_W\text{-all-struct-inv-def}$   $\text{cdcl}_W\text{-M-level-inv-def}$ 
  by (auto simp: add-new-clause-and-update-def)

have [simp]: no-smaller-confl (update-conflicting (Some C)
  (add-init-cls C (cut-trail-wrt-clause C (trail T) T)))
  unfolding no-smaller-confl-def
proof (clarify, goal-cases)
  case (1 M K i M' D)
  then consider
    (DC) D = C
    | (D-T) D  $\in \#$  clauses T
  by (auto simp: clauses-def split: split-if-asm)
then show False
proof cases
  case D-T
  have no-smaller-confl T
    using inv-s unfolding  $\text{cdcl}_W\text{-stgy-invariant-def}$  by auto
  have (MT @ M') @ Decided K i  $\#$  M = trail T
    using MT 1(1) by auto
  thus False using D-T  $\langle \text{no-smaller-confl } T \rangle$  1(3) unfolding no-smaller-confl-def by blast
next
  case DC note -[simp] = this
  then have atm-of (-?L)  $\in$  atm-of ' (lits-of M)

```

```

    using 1(3) C in-CNot-implies-uminus(2) by blast
  moreover
    have lit-of (hd (M' @ Decided K i # [])) = -?L
      using l 1(1)[symmetric] inv
      by (cases trail (add-init-cls C (cut-trail-wrt-clause C (trail T) T)))
        (auto dest!: arg-cong[of - # - - hd] simp: hd-append cdclW-all-struct-inv-def
          cdclW-M-level-inv-def)
    from arg-cong[OF this, of atm-of]
    have atm-of (-?L) ∈ atm-of ' (lits-of (M' @ Decided K i # []))
      by (cases (M' @ Decided K i # [])) auto
  moreover have no-dup (trail (cut-trail-wrt-clause C (trail T) T))
    using ⟨cdclW-all-struct-inv ?T'⟩ unfolding cdclW-all-struct-inv-def
    cdclW-M-level-inv-def by (auto simp: add-new-clause-and-update-def)
  ultimately show False
    unfolding 1(1)[symmetric, simplified]
    apply auto
    using Decided-Propagated-in-iff-in-lits-of defined-lit-map apply blast
    by (metis IntI Decided-Propagated-in-iff-in-lits-of defined-lit-map empty-iff)
qed
qed
show ?thesis using L L' C
  unfolding cdclW-stgy-invariant-def
  unfolding cdclW-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
qed
qed

```

lemma *full-cdcl_W-stgy-inv-normal-form:*

```

  assumes
    full: full cdclW-stgy S T and
    inv-s: cdclW-stgy-invariant S and
    inv: cdclW-all-struct-inv S
  shows conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-clss S))
    ∨ conflicting T = None ∧ trail T ⊨asm init-clss S ∧ satisfiable (set-mset (init-clss S))
proof -
  have no-step cdclW-stgy T
    using full unfolding full-def by blast
  moreover have cdclW-all-struct-inv T and inv-s: cdclW-stgy-invariant T
    apply (metis cdclW.rtranclp-cdclW-stgy-rtranclp-cdclW cdclW-axioms full full-def inv
      rtranclp-cdclW-all-struct-inv-inv)
    by (metis full full-def inv inv-s rtranclp-cdclW-stgy-cdclW-stgy-invariant)
  ultimately have conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-clss T))
    ∨ conflicting T = None ∧ trail T ⊨asm init-clss T
    using cdclW-stgy-final-state-conclusive[of T] full
    unfolding cdclW-all-struct-inv-def cdclW-stgy-invariant-def full-def by fast
  moreover have consistent-interp (lits-of (trail T))
    using ⟨cdclW-all-struct-inv T⟩ unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
    by auto
  moreover have init-clss S = init-clss T
    using inv unfolding cdclW-all-struct-inv-def
    by (metis rtranclp-cdclW-stgy-no-more-init-clss full full-def)
  ultimately show ?thesis
    by (metis satisfiable-carac' true-annot-def true-annots-def true-clss-def)
qed

```

lemma *incremental-cdcl_W-inv:*

```

assumes
  inc: incremental-cdclW S T and
  inv: cdclW-all-struct-inv S and
  s-inv: cdclW-stgy-invariant S
shows
  cdclW-all-struct-inv T and
  cdclW-stgy-invariant T
using inc
proof (induction)
case (add-confl C T)
let ?T = (update-conflicting (Some C) (add-init-cls C (cut-trail-wrt-clause C (trail S) S)))
have cdclW-all-struct-inv ?T and inv-s-T: cdclW-stgy-invariant ?T
  using add-confl.hyps(1,2,4) add-new-clause-and-update-def
  cdclW-all-struct-inv-add-new-clause-and-update-cdclW-all-struct-inv inv apply auto[1]
  using add-confl.hyps(1,2,4) add-new-clause-and-update-def
  cdclW-all-struct-inv-add-new-clause-and-update-cdclW-stgy-inv inv s-inv by auto
case 1 show ?case
  by (metis add-confl.hyps(1,2,4,5) add-new-clause-and-update-def
    cdclW-all-struct-inv-add-new-clause-and-update-cdclW-all-struct-inv
    rtranclp-cdclW-all-struct-inv-inv rtranclp-cdclW-stgy-rtranclp-cdclW full-def inv)

case 2 show ?case
  by (metis inv-s-T add-confl.hyps(1,2,4,5) add-new-clause-and-update-def
    cdclW-all-struct-inv-add-new-clause-and-update-cdclW-all-struct-inv full-def inv
    rtranclp-cdclW-stgy-cdclW-stgy-invariant)
next
case (add-no-confl C T)
case 1
have cdclW-all-struct-inv (add-init-cls C S)
  using inv distinct-mset C unfolding cdclW-all-struct-inv-def no-strange-atm-def
  cdclW-M-level-inv-def distinct-cdclW-state-def cdclW-conflicting-def cdclW-learned-clause-def
  by (auto simp: all-decomposition-implies-insert-single clauses-def)
then show ?case
  using add-no-confl(5) unfolding full-def by (auto intro: rtranclp-cdclW-stgy-cdclW-all-struct-inv)
case 2 have cdclW-stgy-invariant (add-init-cls C S)
  using s-inv ¬ trail S ⊨as CNot C inv unfolding cdclW-stgy-invariant-def no-smaller-confl-def
  eq-commute[of - trail -] cdclW-M-level-inv-def cdclW-all-struct-inv-def
  by (auto simp: true-annots-true-cls-def-iff-negation-in-model clauses-def split: split-if-asm)
then show ?case
  by (metis cdclW-all-struct-inv (add-init-cls C S) add-no-confl.hyps(5) full-def
    rtranclp-cdclW-stgy-cdclW-stgy-invariant)
qed

```

lemma *rtranclp-incremental-cdcl_W-inv*:

```

assumes
  inc: incremental-cdclW** S T and
  inv: cdclW-all-struct-inv S and
  s-inv: cdclW-stgy-invariant S
shows
  cdclW-all-struct-inv T and
  cdclW-stgy-invariant T
  using inc apply induction
  using inv apply simp
  using s-inv apply simp
using incremental-cdclW-inv by blast+

```

lemma *incremental-conclusive-state*:

assumes

inc: *incremental-cdcl_W* *S T* **and**

inv: *cdcl_W-all-struct-inv* *S* **and**

s-inv: *cdcl_W-stgy-invariant* *S*

shows *conflicting* *T* = *Some* $\{\#\}$ \wedge *unsatisfiable* (*set-mset* (*init-cls* *T*))

\vee *conflicting* *T* = *None* \wedge *trail* *T* \models_{asm} *init-cls* *T* \wedge *satisfiable* (*set-mset* (*init-cls* *T*))

using *inc* **apply** *induction*

apply (*metis* *Nitpick.rtranclp-unfold* *add-confl* *full-cdcl_W-stgy-inv-normal-form* *full-def* *incremental-cdcl_W-inv*(1) *incremental-cdcl_W-inv*(2) *inv* *s-inv*)

by (*metis* (*full-types*) *rtranclp-unfold* *add-no-confl* *full-cdcl_W-stgy-inv-normal-form* *full-def* *incremental-cdcl_W-inv*(1) *incremental-cdcl_W-inv*(2) *inv* *s-inv*)

lemma *tranclp-incremental-correct*:

assumes

inc: *incremental-cdcl_W⁺⁺* *S T* **and**

inv: *cdcl_W-all-struct-inv* *S* **and**

s-inv: *cdcl_W-stgy-invariant* *S*

shows *conflicting* *T* = *Some* $\{\#\}$ \wedge *unsatisfiable* (*set-mset* (*init-cls* *T*))

\vee *conflicting* *T* = *None* \wedge *trail* *T* \models_{asm} *init-cls* *T* \wedge *satisfiable* (*set-mset* (*init-cls* *T*))

using *inc* **apply** *induction*

using *assms* *incremental-conclusive-state* **apply** *blast*

by (*meson* *incremental-conclusive-state* *inv* *rtranclp-incremental-cdcl_W-inv* *s-inv* *tranclp-into-rtranclp*)

lemma *blocked-induction-with-decided*:

assumes

n-d: *no-dup* (*L* $\#$ *M*) **and**

nil: *P* \square **and**

append: $\bigwedge M L M'. P M \implies is-decided L \implies \forall m \in set M'. \neg is-decided m \implies no-dup (L \# M' @ M) \implies$

$P (L \# M' @ M)$ **and**

L: *is-decided* *L*

shows

$P (L \# M)$

using *n-d* *L*

proof (*induction* *card* $\{L' \in set M. is-decided L'\}$ *arbitrary*: *L M*)

case 0 **note** *n* = *this*(1) **and** *n-d* = *this*(2) **and** *L* = *this*(3)

then have $\forall m \in set M. \neg is-decided m$ **by** *auto*

then show ?*case* **using** *append*[*of* \square *L M*] *L nil n-d* **by** *auto*

next

case (*Suc* *n*) **note** *IH* = *this*(1) **and** *n* = *this*(2) **and** *n-d* = *this*(3) **and** *L* = *this*(4)

have $\exists L' \in set M. is-decided L'$

proof (*rule* *ccontr*)

assume $\neg ?thesis$

then have *H*: $\{L' \in set M. is-decided L'\} = \{\}$

by *auto*

show *False* **using** *n* *unfolding* *H* **by** *auto*

qed

then obtain *L' M' M''* **where**

M: *M* = *M'* @ *L'* $\#$ *M''* **and**

L': *is-decided* *L'* **and**

nm: $\forall m \in set M'. \neg is-decided m$


```

    by (auto elim!: split-list-first-propE)
  have Suc n = card {L' ∈ set M. is-decided L'}
    using n .
  moreover have {L' ∈ set M. is-decided L'} = {L'} ∪ {L' ∈ set M''. is-decided L'}
    using nm L' n-d unfolding M by auto
  moreover have L' ∉ {L' ∈ set M''. is-decided L'}
    using n-d unfolding M by auto
  ultimately have n = card {L'' ∈ set M''. is-decided L''}
    using n L' by auto
  then have P (L' # M'') using IH L' n-d M by auto
  then show ?case using append[of L' # M'' L M] nm L n-d unfolding M by blast
qed

```

lemma *trail-bloc-induction*:

```

  assumes
    n-d: no-dup M and
    nil: P [] and
    append:  $\bigwedge M L M'. P M \implies \text{is-decided } L \implies \forall m \in \text{set } M'. \neg \text{is-decided } m \implies \text{no-dup } (L \# M' @ M) \implies$ 
       $P (L \# M' @ M) \text{ and}$ 
    append-nm:  $\bigwedge M' M''. P M' \implies M = M'' @ M' \implies \forall m \in \text{set } M''. \neg \text{is-decided } m \implies P M$ 
  shows
    P M
  proof (cases {L' ∈ set M. is-decided L'} = {})
    case True
      then show ?thesis using append-nm[of [] M] nil by auto
    next
      case False
        then have  $\exists L' \in \text{set } M. \text{is-decided } L'$ 
          by auto
        then obtain L' M' M'' where
          M:  $M = M' @ L' \# M''$  and
          L':  $\text{is-decided } L'$  and
          nm:  $\forall m \in \text{set } M'. \neg \text{is-decided } m$ 
          by (auto elim!: split-list-first-propE)
        have P (L' # M'')
          apply (rule blocked-induction-with-decided)
            using n-d unfolding M apply simp
            using nil apply simp
            using append apply simp
            using L' by auto
        then show ?thesis
          using append-nm[of - M'] nm unfolding M by simp
  qed

```

```

inductive Tcons :: ('v, nat, 'v clause) ann-literals  $\Rightarrow$  ('v, nat, 'v clause) ann-literals  $\Rightarrow$  bool
  for M :: ('v, nat, 'v clause) ann-literals where
    Tcons M [] |
    Tcons M M'  $\implies M = M'' @ M' \implies (\forall m \in \text{set } M''. \neg \text{is-decided } m) \implies \text{Tcons } M (M'' @ M') |$ 
    Tcons M M'  $\implies \text{is-decided } L \implies M = M''' @ L \# M'' @ M' \implies (\forall m \in \text{set } M''. \neg \text{is-decided } m) \implies$ 
      Tcons M (L # M'' @ M')

```

```

lemma Tcons-same-end: Tcons M M'  $\implies \exists M''. M = M'' @ M'$ 
  by (induction rule: Tcons.induct) auto

```

end

end

9 2-Watched-Literal

theory *CDCL-Two-Watched-Literals*
imports *CDCL-WNOT*
begin

9.1 Datastructure and Access Functions

Only the 2-watched literals have to be verified here: the backtrack level and the trail that appear in the state are not related to the 2-watched algorithm.

datatype *'v twl-clause* =
 TWL-Clause (*watched: 'v*) (*unwatched: 'v*)

abbreviation *raw-clause* :: *'v clause twl-clause* \Rightarrow *'v clause* **where**
 raw-clause C \equiv *watched C* + *unwatched C*

datatype (*'a, 'b, 'c, 'd*) *twl-state* =
 TWL-State (*trail: 'a list*) (*init-clss: 'b*)
 (*learned-clss: 'b*) (*backtrack-lvl: 'c*)
 (*conflicting: 'd option*)

type-synonym (*'v, 'lvl, 'mark*) *twl-state-abs* =
 (*'v, 'lvl, 'mark*) *ann-literal, 'v clause twl-clause multiset, 'lvl, 'v clause*) *twl-state*

abbreviation *raw-init-clss* **where**
 raw-init-clss S \equiv *image-mset raw-clause (init-clss S)*

abbreviation *raw-learned-clss* **where**
 raw-learned-clss S \equiv *image-mset raw-clause (learned-clss S)*

abbreviation *clauses* **where**
 clauses S \equiv *init-clss S* + *learned-clss S*

abbreviation *raw-clauses* **where**
 raw-clauses S \equiv *image-mset raw-clause (clauses S)*

definition
 candidates-propagate :: (*'v, 'lvl, 'mark*) *twl-state-abs* \Rightarrow (*'v literal* \times *'v clause*) *set*
where
 candidates-propagate S =
 {(*L, raw-clause C*) | *L C.*
 C \in # *clauses S* \wedge *watched C* - *mset-set (uminus ' lits-of (trail S))* = {#*L*#} \wedge
 undefined-lit (trail S) L}

definition *candidates-conflict* :: (*'v, 'lvl, 'mark*) *twl-state-abs* \Rightarrow *'v clause set* **where**
 candidates-conflict S =
 {*raw-clause C* | *C. C* \in # *clauses S* \wedge *watched C* \subseteq # *mset-set (uminus ' lits-of (trail S))*}

primrec (*nonexhaustive*) *index* :: *'a list* \Rightarrow *'a* \Rightarrow *nat* **where**
 index (a # l) c = (*if a = c then 0 else 1 + index l c*)

lemma *index-nth*:
 $a \in \text{set } l \implies l ! (\text{index } l \ a) = a$
by (*induction* l) *auto*

9.2 Invariants

We need the following property about updates: if there is a literal L with $-L$ in the trail, and L is not watched, then it stays unwatched; i.e., while updating with *rewatch* it does not get swapped with a watched literal L' such that $-L'$ is in the trail.

primrec *watched-decided-most-recently* :: $('v, 'vl, 'mark) \text{ ann-literal list} \Rightarrow 'v \text{ clause twl-clause} \Rightarrow \text{bool}$
where
watched-decided-most-recently $M \ (TWL\text{-Clause } W \ UW) \longleftrightarrow$
 $(\forall L' \in \# W. \forall L \in \# UW. \\ -L' \in \text{lits-of } M \longrightarrow -L \in \text{lits-of } M \longrightarrow L \notin \# W \longrightarrow \\ \text{index } (\text{map lit-of } M) \ (-L') \leq \text{index } (\text{map lit-of } M) \ (-L))$

Here are the invariant strictly related to the 2-WL data structure.

primrec *wf-twl-cl* :: $('v, 'vl, 'mark) \text{ ann-literal list} \Rightarrow 'v \text{ clause twl-clause} \Rightarrow \text{bool}$ **where**
wf-twl-cl $M \ (TWL\text{-Clause } W \ UW) \longleftrightarrow$
 $\text{distinct-mset } W \wedge \text{size } W \leq 2 \wedge (\text{size } W < 2 \longrightarrow \text{set-mset } UW \subseteq \text{set-mset } W) \wedge \\ (\forall L \in \# W. -L \in \text{lits-of } M \longrightarrow (\forall L' \in \# UW. L' \notin \# W \longrightarrow -L' \in \text{lits-of } M)) \wedge \\ \text{watched-decided-most-recently } M \ (TWL\text{-Clause } W \ UW)$

lemma $-L \in \text{lits-of } M \implies \{i. \text{map lit-of } M!i = -L\} \neq \{\}$
unfolding *set-map-lit-of-lits-of* [*symmetric*] *set-conv-nth*
by (*smt Collect-empty-eq mem-Collect-eq*)

lemma *size-mset-2*: $\text{size } x1 = 2 \longleftrightarrow (\exists a \ b. x1 = \{\#a, b\# \})$
by (*metis* (*no-types*, *hide-lams*) *Suc-eq-plus1 one-add-one size-1-singleton-mset*
size-Diff-singleton size-Suc-Diff1 size-eq-Suc-imp-eq-union size-single union-single-eq-diff
union-single-eq-member)

lemma *distinct-mset-size-2*: $\text{distinct-mset } \{\#a, b\# \} \longleftrightarrow a \neq b$
unfolding *distinct-mset-def* **by** *auto*

lemma *wf-twl-cl-annotation-indepndant*:
assumes $M: \text{map lit-of } M = \text{map lit-of } M'$
shows $\text{wf-twl-cl } M \ (TWL\text{-Clause } W \ UW) \longleftrightarrow \text{wf-twl-cl } M' \ (TWL\text{-Clause } W \ UW)$
proof –
have $\text{lits-of } M = \text{lits-of } M'$
using *arg-cong* [*OF* M , *of set*] **by** (*simp add: lits-of-def*)
then show *?thesis*
by (*simp add: lits-of-def* M)
qed

lemma *wf-twl-cl-wf-twl-cl-tl*:
assumes $\text{wf}: \text{wf-twl-cl } M \ C$ **and** $n\text{-d}: \text{no-dup } M$
shows $\text{wf-twl-cl } (\text{tl } M) \ C$
proof (*cases* M)
case *Nil*
then show *?thesis* **using** *wf*
by (*cases* C) (*simp add: wf-twl-cl.simps* [*of tl* -])
next

```

case (Cons l M') note  $M = \text{this}(1)$ 
obtain  $W UW$  where  $C: C = \text{TWL-Clause } W UW$ 
  by (cases C)
{ fix  $L L'$ 
  assume
     $LW: L \in \# W$  and
     $LM: - L \in \text{lits-of } M'$  and
     $L'UW: L' \in \# UW$  and
     $\text{count } W L' = 0$ 
  then have
     $L'M: - L' \in \text{lits-of } M$ 
    using wf by (auto simp: C M)
  have watched-decided-most-recently  $M C$ 
    using wf by (auto simp: C)
  then have
     $\text{index } (\text{map lit-of } M) (-L) \leq \text{index } (\text{map lit-of } M) (-L')$ 
    using  $LM L'M L'UW LW \langle \text{count } W L' = 0 \rangle$ 
    by (metis (no-types, lifting) C M bspec-mset insert-iff less-not-refl2 lits-of-cons
      watched-decided-most-recently.simps)
  then have  $- L' \in \text{lits-of } M'$ 
    using  $\langle \text{count } W L' = 0 \rangle LW L'M$  by (auto simp: C M split: split-if-asm)
}
moreover
{
  fix  $L' L$ 
  assume
     $L' \in \# W$  and
     $L \in \# UW$  and
     $L'M: - L' \in \text{lits-of } M'$  and
     $- L \in \text{lits-of } M'$  and
     $L \notin \# W$ 
  moreover
    have  $\text{lit-of } l \neq - L'$ 
    using n-d unfolding M
      by (metis (no-types) L'M M Decided-Propagated-in-iff-in-lits-of defined-lit-map
        distinct.simps(2) list.simps(9) set-map)
    moreover have watched-decided-most-recently  $M C$ 
      using wf by (auto simp: C)
    ultimately have  $\text{index } (\text{map lit-of } M') (- L') \leq \text{index } (\text{map lit-of } M') (- L)$ 
      by (fastforce simp: M C split: split-if-asm)
}
moreover have distinct-mset  $W$  and  $\text{size } W \leq 2$  and  $(\text{size } W < 2 \longrightarrow \text{set-mset } UW \subseteq \text{set-mset } W)$ 
  using wf by (auto simp: C M)
ultimately show ?thesis by (auto simp add: M C)
qed

```

definition *wf-tw-l-state* :: $(\text{'v}, \text{'vl}, \text{'mark}) \text{ tw-l-state-abs} \Rightarrow \text{bool}$ **where**
 $\text{wf-tw-l-state } S \longleftrightarrow (\forall C \in \# \text{ clauses } S. \text{ wf-tw-l-cls } (\text{trail } S) C) \wedge \text{no-dup } (\text{trail } S)$

lemma *wf-candidates-propagate-sound*:

assumes *wf*: *wf-tw-l-state* S **and**
 $\text{cand}: (L, C) \in \text{candidates-propagate } S$
shows $\text{trail } S \models_{\text{as}} C \text{Not } (\text{mset-set } (\text{set-mset } C - \{L\})) \wedge \text{undefined-lit } (\text{trail } S) L$
proof

```

def M ≡ trail S
def N ≡ init-clss S
def U ≡ learned-clss S

note MNU-defs [simp] = M-def N-def U-def

obtain Cw where cw:
  C = raw-clause Cw
  Cw ∈# N + U
  watched Cw - mset-set (uminus ' lits-of M) = {#L#}
  undefined-lit M L
  using cand unfolding candidates-propagate-def MNU-defs by blast

obtain W UW where cw-eq: Cw = TWL-Clause W UW
  by (cases Cw, blast)

have l-w: L ∈# W
  by (metis Multiset.diff-le-self cw(3) cw-eq mset-leD multi-member-last twl-clause.sel(1))

have wf-c: wf-twl-cls M Cw
  using wf (Cw ∈# N + U) unfolding wf-twl-state-def by simp

have w-nw:
  distinct-mset W
  size W < 2 ⇒ set-mset UW ⊆ set-mset W
  ∧ L L'. L ∈# W ⇒ -L ∈ lits-of M ⇒ L' ∈# UW ⇒ L' ∉# W ⇒ -L' ∈ lits-of M
  using wf-c unfolding cw-eq by auto

have ∀ L' ∈ set-mset C - {L}. -L' ∈ lits-of M
proof (cases size W < 2)
  case True
  moreover have size W ≠ 0
    using cw(3) cw-eq by auto
  ultimately have size W = 1
    by linarith
  then have w: W = {#L#}
    by (metis (no-types, lifting) Multiset.diff-le-self cw(3) cw-eq single-not-empty
      size-1-singleton-mset subset-mset.add-diff-inverse union-is-single twl-clause.sel(1))
  from True have set-mset UW ⊆ set-mset W
    using w-nw(2) by blast
  then show ?thesis
    using w cw(1) cw-eq by auto
next
  case sz2: False
  show ?thesis
  proof
    fix L'
    assume l': L' ∈ set-mset C - {L}
    have ex-la: ∃ La. La ≠ L ∧ La ∈# W
    proof (cases W)
      case empty
      thus ?thesis
        using l-w by auto
    next
      case lb: (add W' Lb)

```

```

show ?thesis
proof (cases W')
  case empty
  thus ?thesis
    using lb sz2 by simp
next
  case lc: (add W'' Lc)
  thus ?thesis
    by (metis add-gr-0 count-union distinct-mset-single-add lb union-single-eq-member
      w-nw(1))
  qed
qed
then obtain La where la: La ≠ L La ∈# W
  by blast
then have La ∈# mset-set (uminus ' lits-of M)
  using cw(3)[unfolded cw-eq, simplified, folded M-def]
  by (metis count-diff count-single diff-zero not-gr0)
then have nla: -La ∈ lits-of M
  by auto
then show -L' ∈ lits-of M

proof -
  have f1: L' ∈ set-mset C
  using l' by blast
  have f2: L' ∉ {L}
  using l' by fastforce
  have ∧l L. - (l::'a literal) ∈ L ∨ l ∉ uminus ' L
  by force
  then have ∧l. - l ∈ lits-of M ∨ count {#L#} l = count (C - UW) l
  by (metis (no-types) add-diff-cancel-right' count-diff count-mset-set(3) cw(1) cw(3)
    cw-eq diff-zero twl-clause.sel(2))
  then show ?thesis
    by (smt comm-monoid-add-class.add-0 cw(1) cw-eq diff-union-cancelR ex-la f1 f2 insertCI
      less-numeral-extra(3) mem-set-mset-iff plus-multiset.rep-eq single.rep-eq
      twl-clause.sel(1) twl-clause.sel(2) w-nw(3))
  qed
qed
qed
then show trail S ⊨as CNot (mset-set (set-mset C - {L}))
  unfolding true-annots-def by auto

show undefined-lit (trail S) L
  using cw(4) M-def by blast
qed

lemma wf-candidates-propagate-complete:
assumes wf: wf-twll-state S and
  c-mem: C ∈# raw-clauses S and
  l-mem: L ∈# C and
  unsat: trail S ⊨as CNot (mset-set (set-mset C - {L})) and
  undef: undefined-lit (trail S) L
shows (L, C) ∈ candidates-propagate S
proof -
  def M ≡ trail S
  def N ≡ init-clss S

```

```

def U ≡ learned-clss S

note MNU-defs [simp] = M-def N-def U-def

obtain Cw where cw: C = raw-clause Cw Cw ∈# N + U
  using c-mem by force

obtain W UW where cw-eq: Cw = TWL-Clause W UW
  by (cases Cw, blast)

have wf-c: wf-twl-clss M Cw
  using wf cw(2) unfolding wf-twl-state-def by simp

have w-nw:
  distinct-mset W
  size W < 2 ⇒ set-mset UW ⊆ set-mset W
  ∧ L L'. L ∈# W ⇒ -L ∈ lits-of M ⇒ L' ∈# UW ⇒ L' ∉# W ⇒ -L' ∈ lits-of M
  using wf-c unfolding cw-eq by auto

have unit-set: set-mset (W - mset-set (uminus ' lits-of M)) = {L}
proof
  show set-mset (W - mset-set (uminus ' lits-of M)) ⊆ {L}
  proof
    fix L'
    assume l': L' ∈ set-mset (W - mset-set (uminus ' lits-of M))
    hence l'-mem-w: L' ∈ set-mset W
      by auto
    have L' ∉ uminus ' lits-of M
      using distinct-mem-diff-mset[OF w-nw(1) l'] by simp
    then have ¬ M ⊨a {#-L'#}
      using image-iff by fastforce
    moreover have L' ∈# C
      using cw(1) cw-eq l'-mem-w by auto
    ultimately have L' = L
      unfolding M-def by (metis unsat[unfolded CNot-def true-annots-def, simplified])
    then show L' ∈ {L}
      by simp
  qed
next
show {L} ⊆ set-mset (W - mset-set (uminus ' lits-of M))
proof clarify
  have L ∈# W
  proof (cases W)
    case empty
    thus ?thesis
      using w-nw(2) cw(1) cw-eq l-mem by auto
  next
    case (add W' La)
    thus ?thesis
      proof (cases La = L)
        case True
        thus ?thesis
          using add by simp
      next
        case False

```

```

have  $-La \in \text{ lits-of } M$ 
  using False add cw(1) cw-eq unsat[unfolded CNot-def true-annots-def, simplified]
  by fastforce
then show ?thesis
  by (metis M-def Decided-Propagated-in-iff-in-lits-of add add.left-neutral count-union
    cw(1) cw-eq grOI l-mem twl-clause.sel(1) twl-clause.sel(2) undef union-single-eq-member
    w-nw(3))
qed
qed
moreover have  $L \notin \# \text{ mset-set } (\text{uminus } ' \text{ lits-of } M)$ 
  using Decided-Propagated-in-iff-in-lits-of undef by auto
ultimately show  $L \in \text{ set-mset } (W - \text{ mset-set } (\text{uminus } ' \text{ lits-of } M))$ 
  by auto
qed
qed
have unit:  $W - \text{ mset-set } (\text{uminus } ' \text{ lits-of } M) = \{\#L\# \}$ 
  by (metis distinct-mset-minus distinct-mset-set-mset-ident distinct-mset-singleton
    set-mset-single unit-set w-nw(1))

show ?thesis
  unfolding candidates-propagate-def using unit undef cw cw-eq by fastforce
qed

lemma wf-candidates-conflict-sound:
  assumes wf: wf-twl-state S and
    cand:  $C \in \text{ candidates-conflict } S$ 
  shows  $\text{trail } S \models_{\text{as}} \text{CNot } C \wedge C \in \# \text{ image-mset raw-clause } (\text{clauses } S)$ 
proof
  def  $M \equiv \text{trail } S$ 
  def  $N \equiv \text{init-clss } S$ 
  def  $U \equiv \text{learned-clss } S$ 

  note  $\text{MNU-defs } [\text{simp}] = M\text{-def } N\text{-def } U\text{-def}$ 

  obtain  $Cw$  where cw:
     $C = \text{raw-clause } Cw$ 
     $Cw \in \# N + U$ 
     $\text{watched } Cw \subseteq \# \text{ mset-set } (\text{uminus } ' \text{ lits-of } (\text{trail } S))$ 
    using cand[unfolded candidates-conflict-def, simplified] by auto

  obtain  $W UW$  where cw-eq:  $Cw = \text{TWL-Clause } W UW$ 
    by (cases Cw, blast)

  have wf-c: wf-twl-clss M Cw
    using wf cw(2) unfolding wf-twl-state-def by simp

  have w-nw:
    distinct-mset W
     $\text{size } W < 2 \implies \text{set-mset } UW \subseteq \text{set-mset } W$ 
     $\bigwedge L L'. L \in \# W \implies -L \in \text{ lits-of } M \implies L' \in \# UW \implies L' \notin \# W \implies -L' \in \text{ lits-of } M$ 
    using wf-c unfolding cw-eq by auto

  have  $\forall L \in \# C. -L \in \text{ lits-of } M$ 
  proof (cases W = \{\#\})
    case True

```



```

then have  $C = \{\#\}$ 
  using  $cw(1)$   $cw\text{-eq}$   $w\text{-nw}(2)$  by auto
then show ?thesis
  by simp
next
case False
then obtain  $La$  where  $la: La \in\# W$ 
  using  $multiset\text{-eq}\text{-iff}$  by force
show ?thesis
proof
  fix  $L$ 
  assume  $l: L \in\# C$ 
  show  $-L \in lits\text{-of } M$ 
  proof (cases  $L \in\# W$ )
    case True
    thus ?thesis
      using  $cw(3)$   $cw\text{-eq}$  by fastforce
  next
  case False
  thus ?thesis
    by (smt  $M\text{-def}$   $l$   $add\text{-diff}\text{-cancel}\text{-left}'$   $count\text{-diff}$   $cw(1)$   $cw(3)$   $la$   $cw\text{-eq}$ 
         $diff\text{-zero}$   $elem\text{-mset}\text{-set}$   $finite\text{-image}I$   $finite\text{-lits}\text{-of}\text{-def}$   $gr0I$   $imageE$   $mset\text{-le}D$ 
         $uminus\text{-of}\text{-uminus}\text{-id}$   $twl\text{-clause}.sel(1)$   $twl\text{-clause}.sel(2)$   $w\text{-nw}(3)$ )
  qed
qed
qed
then show  $trail\ S \models_{as} CNot\ C$ 
  unfolding  $CNot\text{-def}$   $true\text{-annots}\text{-def}$  by auto

show  $C \in\# image\text{-mset}\ raw\text{-clause}\ (clauses\ S)$ 
  using  $cw$  by auto
qed

lemma  $wf\text{-candidates}\text{-conflict}\text{-complete}$ :
  assumes  $wf: wf\text{-twl}\text{-state}\ S$  and
     $c\text{-mem}: C \in\# raw\text{-clauses}\ S$  and
     $unsat: trail\ S \models_{as} CNot\ C$ 
  shows  $C \in candidates\text{-conflict}\ S$ 
proof -
  def  $M \equiv trail\ S$ 
  def  $N \equiv init\text{-clss}\ S$ 
  def  $U \equiv learned\text{-clss}\ S$ 

  note  $MNU\text{-defs}\ [simp] = M\text{-def}\ N\text{-def}\ U\text{-def}$ 

  obtain  $Cw$  where  $cw: C = raw\text{-clause}\ Cw$   $Cw \in\# N + U$ 
    using  $c\text{-mem}$  by force

  obtain  $W\ UW$  where  $cw\text{-eq}: Cw = TWL\text{-Clause}\ W\ UW$ 
    by (cases  $Cw$ , blast)

  have  $wf\text{-c}: wf\text{-twl}\text{-cls}\ M\ Cw$ 
    using  $wf\ cw(2)$  unfolding  $wf\text{-twl}\text{-state}\text{-def}$  by simp

  have  $w\text{-nw}$ :

```

```

distinct-mset W
size W < 2  $\implies$  set-mset UW  $\subseteq$  set-mset W
 $\bigwedge L L'. L \in \# W \implies -L \in \text{ lits-of } M \implies L' \in \# UW \implies L' \notin \# W \implies -L' \in \text{ lits-of } M$ 
using wf-c unfolding cw-eq by auto

have  $\bigwedge L. L \in \# C \implies -L \in \text{ lits-of } M$ 
  unfolding M-def using unsat[unfolded CNot-def true-annots-def, simplified] by blast
then have set-mset C  $\subseteq$  uminus ' lits-of M
  by (metis imageI mem-set-mset-iff subsetI uminus-of-uminus-id)
then have set-mset W  $\subseteq$  uminus ' lits-of M
  using cw(1) cw-eq by auto
then have subset: W  $\subseteq \#$  mset-set (uminus ' lits-of M)
  by (simp add: w-nw(1))

have W = watched Cw
  using cw-eq twl-clause.sel(1) by simp
then show ?thesis
  using MNU-defs cw(1) cw(2) subset candidates-conflict-def by blast
qed

typedef 'v wf-twL = {S::('v, nat, 'v clause) twl-state-abs. wf-twL-state S}
morphisms rough-state-of-twL twL-of-rough-state
proof -
  have TWL-State ([::('v, nat, 'v clause) ann-literals)
    {#} {#} 0 None  $\in$  {S::('v, nat, 'v clause) twl-state-abs. wf-twL-state S}
    by (auto simp: wf-twL-state-def)
  then show ?thesis by auto
qed

lemma [code abstype]:
  twL-of-rough-state (rough-state-of-twL S) = S
  by (fact CDCL-Two-Watched-Literals.wf-twL.rough-state-of-twL-inverse)

lemma wf-twL-state-rough-state-of-twL[simp]: wf-twL-state (rough-state-of-twL S)
  using rough-state-of-twL by auto

abbreviation candidates-conflict-twL :: 'v wf-twL  $\Rightarrow$  'v literal multiset set where
  candidates-conflict-twL S  $\equiv$  candidates-conflict (rough-state-of-twL S)

abbreviation candidates-propagate-twL :: 'v wf-twL  $\Rightarrow$  ('v literal  $\times$  'v clause) set where
  candidates-propagate-twL S  $\equiv$  candidates-propagate (rough-state-of-twL S)

abbreviation trail-twL :: 'a wf-twL  $\Rightarrow$  ('a, nat, 'a literal multiset) ann-literal list where
  trail-twL S  $\equiv$  trail (rough-state-of-twL S)

abbreviation clauses-twL :: 'a wf-twL  $\Rightarrow$  'a literal multiset multiset where
  clauses-twL S  $\equiv$  raw-clauses (rough-state-of-twL S)

abbreviation init-clss-twL :: 'a wf-twL  $\Rightarrow$  'a literal multiset multiset where
  init-clss-twL S  $\equiv$  raw-init-clss (rough-state-of-twL S)

abbreviation learned-clss-twL :: 'a wf-twL  $\Rightarrow$  'a literal multiset multiset where
  learned-clss-twL S  $\equiv$  raw-learned-clss (rough-state-of-twL S)

abbreviation backtrack-lvl-twL where

```

$backtrack-lvl-twl\ S \equiv backtrack-lvl\ (rough-state-of-twl\ S)$

abbreviation *conflicting-twl* **where**

$conflicting-twl\ S \equiv conflicting\ (rough-state-of-twl\ S)$

lemma *wf-candidates-twl-conflict-complete*:

assumes

$c-mem: C \in \# \text{ clauses-}twl\ S$ **and**

$unsat: trail-twl\ S \models_{as} CNot\ C$

shows $C \in candidates-conflict-twl\ S$

using $c-mem\ unsat\ wf-candidates-conflict-complete\ wf-twl-state-rough-state-of-twl$ **by** *blast*

abbreviation *update-backtrack-lvl* **where**

$update-backtrack-lvl\ k\ S \equiv$

$TWL-State\ (trail\ S)\ (init-clss\ S)\ (learned-clss\ S)\ k\ (conflicting\ S)$

abbreviation *update-conflicting* **where**

$update-conflicting\ C\ S \equiv TWL-State\ (trail\ S)\ (init-clss\ S)\ (learned-clss\ S)\ (backtrack-lvl\ S)\ C$

9.3 Abstract 2-WL

definition *tl-trail* **where**

$tl-trail\ S =$

$TWL-State\ (tl\ (trail\ S))\ (init-clss\ S)\ (learned-clss\ S)\ (backtrack-lvl\ S)\ (conflicting\ S)$

locale *abstract-twl* =

fixes

$watch :: ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow 'v\ clause \Rightarrow 'v\ clause\ twl-clause$ **and**

$rewatch :: ('v, nat, 'v\ literal\ multiset)\ ann-literal \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow 'v\ clause\ twl-clause \Rightarrow 'v\ clause\ twl-clause$ **and**

$linearize :: 'v\ clauses \Rightarrow 'v\ clause\ list$ **and**

$restart-learned :: ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow 'v\ clause\ twl-clause\ multiset$

assumes

$clause-watch: no-dup\ (trail\ S) \Longrightarrow raw-clause\ (watch\ S\ C) = C$ **and**

$wf-watch: no-dup\ (trail\ S) \Longrightarrow wf-twl-cls\ (trail\ S)\ (watch\ S\ C)$ **and**

$clause-rewatch: raw-clause\ (rewatch\ L\ S\ C') = raw-clause\ C'$ **and**

$wf-rewatch:$

$no-dup\ (trail\ S) \Longrightarrow undefined-lit\ (trail\ S)\ (lit-of\ L) \Longrightarrow wf-twl-cls\ (trail\ S)\ C' \Longrightarrow$

$wf-twl-cls\ (L\ \# \ trail\ S)\ (rewatch\ L\ S\ C')$

and

$linearize: mset\ (linearize\ N) = N$ **and**

$restart-learned: restart-learned\ S \subseteq \# \ learned-clss\ S$

begin

lemma *linearize-mempty[simp]*: $linearize\ \{\#\} = []$

using $linearize\ mset-zero-iff$ **by** *blast*

definition

$cons-trail :: ('v, nat, 'v\ clause)\ ann-literal \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs$

where

$cons-trail\ L\ S =$

$TWL-State\ (L\ \# \ trail\ S)\ (image-mset\ (rewatch\ L\ S)\ (init-clss\ S))$

$(image-mset\ (rewatch\ L\ S)\ (learned-clss\ S))\ (backtrack-lvl\ S)\ (conflicting\ S)$

definition

$add-init-cls :: 'v\ clause \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow$
 $('v, nat, 'v\ clause)\ twl-state-abs$

where

$add-init-cls\ C\ S =$
 $TWL-State\ (trail\ S)\ (\{\#watch\ S\ C\ \# \} + init-clss\ S)\ (learned-clss\ S)\ (backtrack-lvl\ S)$
 $(conflicting\ S)$

definition

$add-learned-cls :: 'v\ clause \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow$
 $('v, nat, 'v\ clause)\ twl-state-abs$

where

$add-learned-cls\ C\ S =$
 $TWL-State\ (trail\ S)\ (init-clss\ S)\ (\{\#watch\ S\ C\ \# \} + learned-clss\ S)\ (backtrack-lvl\ S)$
 $(conflicting\ S)$

definition

$remove-cls :: 'v\ clause \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs \Rightarrow$
 $('v, nat, 'v\ clause)\ twl-state-abs$

where

$remove-cls\ C\ S =$
 $TWL-State\ (trail\ S)\ (filter-mset\ (\lambda D. raw-clause\ D \neq C)\ (init-clss\ S))$
 $(filter-mset\ (\lambda D. raw-clause\ D \neq C)\ (learned-clss\ S))\ (backtrack-lvl\ S)$
 $(conflicting\ S)$

definition $init-state :: 'v\ clauses \Rightarrow ('v, nat, 'v\ clause)\ twl-state-abs$ **where**

$init-state\ N = fold\ add-init-cls\ (linearize\ N)\ (TWL-State\ []\ \{\#\}\ \{\#\}\ 0\ None)$

lemma *unchanged-fold-add-init-cls:*

$trail\ (fold\ add-init-cls\ Cs\ (TWL-State\ M\ N\ U\ k\ C)) = M$
 $learned-clss\ (fold\ add-init-cls\ Cs\ (TWL-State\ M\ N\ U\ k\ C)) = U$
 $backtrack-lvl\ (fold\ add-init-cls\ Cs\ (TWL-State\ M\ N\ U\ k\ C)) = k$
 $conflicting\ (fold\ add-init-cls\ Cs\ (TWL-State\ M\ N\ U\ k\ C)) = C$
by $(induct\ Cs\ arbitrary: N)\ (auto\ simp: add-init-cls-def)$

lemma *unchanged-init-state[simp]:*

$trail\ (init-state\ N) = []$
 $learned-clss\ (init-state\ N) = \{\#\}$
 $backtrack-lvl\ (init-state\ N) = 0$
 $conflicting\ (init-state\ N) = None$
unfolding $init-state-def$ **by** $(rule\ unchanged-fold-add-init-cls)+$

lemma *clauses-init-fold-add-init:*

$no-dup\ M \implies$
 $image-mset\ raw-clause\ (init-clss\ (fold\ add-init-cls\ Cs\ (TWL-State\ M\ N\ U\ k\ C))) =$
 $mset\ Cs + image-mset\ raw-clause\ N$
by $(induct\ Cs\ arbitrary: N)\ (auto\ simp: add.assoc\ add-init-cls-def\ clause-watch)$

lemma *init-clss-init-state[simp]:* $image-mset\ raw-clause\ (init-clss\ (init-state\ N)) = N$

unfolding $init-state-def$ **by** $(simp\ add: clauses-init-fold-add-init\ linearize)$

definition *restart'* **where**

$restart'\ S = TWL-State\ []\ (init-clss\ S)\ (restart-learned\ S)\ 0\ None$

end

9.4 Instanciation of the previous locale

definition *watch-nat* :: (nat, nat, nat clause) twl-state-abs \Rightarrow nat clause \Rightarrow nat clause twl-clause **where**
watch-nat *S C* =
 (let
C' = remdups (sorted-list-of-set (set-mset *C*));
 negation-not-assigned = filter ($\lambda L. -L \notin \text{lits-of } (\text{trail } S)$) *C'*;
 negation-assigned-sorted-by-trail = filter ($\lambda L. L \in \# C$) (map ($\lambda L. -\text{lit-of } L$) (trail *S*));
W = take 2 (negation-not-assigned @ negation-assigned-sorted-by-trail);
UW = sorted-list-of-multiset (*C* - mset *W*)
 in *TWL-Clause* (mset *W*) (mset *UW*))

lemma *list-cases2*:
fixes *l* :: 'a list
assumes
 $l = [] \implies P$ **and**
 $\bigwedge x. l = [x] \implies P$ **and**
 $\bigwedge x y xs. l = x \# y \# xs \implies P$
shows *P*
by (metis assms list.collapse)

lemma *filter-in-list-prop-verifiedD*:
assumes [*L* ← *P* . *Q* *L*] = *l*
shows $\forall x \in \text{set } l. x \in \text{set } P \wedge Q x$
using assms **by** auto

lemma *no-dup-filter-diff*:
assumes *n-d*: no-dup *M* **and** *H*: [*L* ← map ($\lambda L. - \text{lit-of } L$) *M*. *L* ∈ # *C*] = *l*
shows distinct *l*
unfolding *H*[symmetric]
apply (rule distinct-filter)
using *n-d* **by** (induction *M*) auto

lemma *watch-nat-lists-disjointD*:
assumes
 $l: [L \leftarrow \text{remdups } (\text{sorted-list-of-set } (\text{set-mset } C)) . -L \notin \text{lits-of } (\text{trail } S)] = l$ **and**
 $l': [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{trail } S) . L \in \# C] = l'$
shows $\forall x \in \text{set } l. \forall y \in \text{set } l'. x \neq y$
by (auto simp: *l*[symmetric] *l'*[symmetric] lits-of-def)

lemma *watch-nat-list-cases-witness*[consumes 2, case-names nil-nil nil-single nil-other single-nil single-other other]:
fixes
 C :: 'v literal multiset **and**
 C' :: 'v literal list **and**
 S :: (('v, 'b, 'c) ann-literal, 'd, 'e, 'f) twl-state
defines
 $xs \equiv [L \leftarrow \text{remdups } C'. -L \notin \text{lits-of } (\text{trail } S)]$ **and**
 $ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{trail } S) . L \in \# C]$
assumes
 n-d: no-dup (trail *S*) **and**
 C': set *C'* = set-mset *C* **and**
 nil-nil: $xs = [] \implies ys = [] \implies P$ **and**
 nil-single:

$\bigwedge a. xs = [] \implies ys = [a] \implies a \in \# C \implies P$ **and**
nil-other: $\bigwedge a b ys'. xs = [] \implies ys = a \# b \# ys' \implies a \neq b \implies P$ **and**
single-nil: $\bigwedge a. xs = [a] \implies ys = [] \implies P$ **and**
single-other: $\bigwedge a b ys'. xs = [a] \implies ys = b \# ys' \implies a \neq b \implies P$ **and**
other: $\bigwedge a b xs'. xs = a \# b \# xs' \implies a \neq b \implies P$
shows P
proof –
note $xs\text{-def}[simp]$ **and** $ys\text{-def}[simp]$
have $dist: distinct [L \leftarrow \text{remdups } C' . - L \notin \text{lits-of } (trail\ S)]$
by *auto*
then have $H: \bigwedge a xs. [L \leftarrow \text{remdups } C' . - L \notin \text{lits-of } (trail\ S)]$
 $\neq a \# a \# xs$
by *force*
show *?thesis*
apply (*cases* $[L \leftarrow \text{remdups } C' . - L \notin \text{lits-of } (trail\ S)]$
rule: list-cases2;
cases $[L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (trail\ S) . L \in \# C]$ *rule: list-cases2*)
using *nil-nil* **apply** *simp*
using *nil-single* **apply** (*force dest: filter-in-list-prop-verifiedD*)
using *nil-other*
apply (*auto dest: filter-in-list-prop-verifiedD watch-nat-lists-disjointD*
no-dup-filter-diff[OF n-d] simp: H)[]
using *single-nil* **apply** *simp*
using *single-other* C' $xs\text{-def}$ $ys\text{-def}$ **apply** (*smt imageE image-eqI list.set-intros(1) lits-of-def*
mem-Collect-eq set-filter set-map uminus-of-uminus-id)
using *single-other* C' **unfolding** $xs\text{-def}$ $ys\text{-def}$ **apply** (*smt imageE image-eqI list.set-intros(1)*
lits-of-def mem-Collect-eq set-filter set-map uminus-of-uminus-id)
using *other* $xs\text{-def}$ $ys\text{-def}$ **by** (*metis H*)
qed

lemma *watch-nat-list-cases* [*consumes 1, case-names nil-nil nil-single nil-other single-nil single-other other*]:

fixes

$C :: 'v::\text{linorder literal multiset}$ **and**
 $S :: (('v, 'b, 'c) \text{ ann-literal}, 'd, 'e, 'f) \text{ twl-state}$

defines

$xs \equiv [L \leftarrow \text{remdups } (\text{sorted-list-of-set } (\text{set-mset } C)) . - L \notin \text{lits-of } (trail\ S)]$ **and**
 $ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (trail\ S) . L \in \# C]$

assumes

$n\text{-d}: no\text{-dup } (trail\ S)$ **and**

nil-nil: $xs = [] \implies ys = [] \implies P$ **and**

nil-single:

$\bigwedge a. xs = [] \implies ys = [a] \implies a \in \# C \implies P$ **and**
nil-other: $\bigwedge a b ys'. xs = [] \implies ys = a \# b \# ys' \implies a \neq b \implies P$ **and**
single-nil: $\bigwedge a. xs = [a] \implies ys = [] \implies P$ **and**
single-other: $\bigwedge a b ys'. xs = [a] \implies ys = b \# ys' \implies a \neq b \implies P$ **and**
other: $\bigwedge a b xs'. xs = a \# b \# xs' \implies a \neq b \implies P$

shows P

using *watch-nat-list-cases-witness[OF n-d, of sorted-list-of-set (set-mset C) C P]*

nil-nil nil-single nil-other single-nil single-other other

unfolding $xs\text{-def}[symmetric]$ $ys\text{-def}[symmetric]$ **by** *auto*

lemma *watch-nat-lists-set-union-witness*:

fixes

$C :: 'v \text{ literal multiset}$ **and**

$C' :: 'v \text{ literal list and}$
 $S :: (('v, 'b, 'c) \text{ ann-literal, 'd, 'e, 'f}) \text{ twl-state}$
defines
 $xs \equiv [L \leftarrow \text{remdups } C'. - L \notin \text{lits-of } (\text{trail } S)] \text{ and}$
 $ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{trail } S) . L \in \# C]$
assumes $n\text{-d: no-dup } (\text{trail } S) \text{ and } C': \text{set } C' = \text{set-mset } C$
shows $\text{set-mset } C = \text{set } xs \cup \text{set } ys$
using $n\text{-d } C' \text{ uminus-lit-swap unfolding } xs\text{-def } ys\text{-def by (auto simp: lits-of-def)}$

lemma *watch-nat-lists-set-union:*

fixes
 $C :: 'v::\text{linorder literal multiset and}$
 $S :: (('v, 'b, 'c) \text{ ann-literal, 'd, 'e, 'f}) \text{ twl-state}$
defines
 $xs \equiv [L \leftarrow \text{remdups } (\text{sorted-list-of-set } (\text{set-mset } C)). - L \notin \text{lits-of } (\text{trail } S)] \text{ and}$
 $ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{trail } S) . L \in \# C]$
assumes $n\text{-d: no-dup } (\text{trail } S)$
shows $\text{set-mset } C = \text{set } xs \cup \text{set } ys$
using $\text{watch-nat-lists-set-union-witness[of } S (\text{sorted-list-of-set } (\text{set-mset } C)) C, \text{ OF } n\text{-d}]$
 $\text{sorted-list-of-set } xs\text{-def } ys\text{-def by blast}$

lemma *mset-intersection-inclusion:* $A + (B - A) = B \longleftrightarrow A \subseteq \# B$

apply (*rule iffI*)
apply (*metis mset-le-add-left*)
by (*auto simp: ac-simps multiset-eq-iff subseteq-mset-def*)

lemma *clause-watch-nat:*

assumes $\text{no-dup } (\text{trail } S)$
shows $\text{raw-clause } (\text{watch-nat } S C) = C$
using *assms*
apply (*cases rule: watch-nat-list-cases[OF assms(1), of C]*)
by (*auto dest: filter-in-list-prop-verifiedD simp: watch-nat-def Let-def*
 $\text{mset-intersection-inclusion subseteq-mset-def}$)

lemma *set-mset-is-single-in-mset-is-single:*

$\text{set-mset } C = \{a\} \implies x \in \# C \implies x = a$
by *fastforce*

lemma *index-uminus-index-map-uminus:*

$-a \in \text{set } L \implies \text{index } L (-a) = \text{index } (\text{map } \text{uminus } L) (a::'a \text{ literal})$
by (*induction L*) *auto*

lemma *index-filter:*

$a \in \text{set } L \implies b \in \text{set } L \implies P a \implies P b \implies$
 $\text{index } L a \leq \text{index } L b \longleftrightarrow \text{index } (\text{filter } P L) a \leq \text{index } (\text{filter } P L) b$
by (*induction L*) *auto*

lemma *wf-watch-witness:*

fixes $C :: 'a \text{ literal multiset and } C':: 'a \text{ literal list and}$
 $S :: (('a, 'b, 'c) \text{ ann-literal, 'd, 'e, 'f}) \text{ twl-state}$
defines
 $\text{ass: negation-not-assigned} \equiv \text{filter } (\lambda L. -L \notin \text{lits-of } (\text{trail } S)) (\text{remdups } C') \text{ and}$
 $\text{tr: negation-assigned-sorted-by-trail} \equiv \text{filter } (\lambda L. L \in \# C) (\text{map } (\lambda L. -\text{lit-of } L) (\text{trail } S))$
defines

```

    W:  $W \equiv \text{take } 2 \text{ (negation-not-assigned @ negation-assigned-sorted-by-trail)}$ 
  assumes
    n-d[simp]: no-dup (trail S) and
    C': set C' = set-mset C
  shows wf-twl-cls (trail S) (TWL-Clause (mset W) (C - mset W))
  unfolding wf-twl-cls.simps
proof (intro conjI, goal-cases)
  case 1
  then show ?case using n-d C' W unfolding ass tr
    by (cases rule: watch-nat-list-cases-witness[of S C' C])
      (auto dest: filter-in-list-prop-verifiedD
        simp: distinct-mset-add-single)
  next
  case 2
  then show ?case unfolding W by simp
  next
  case 3
  then show ?case using n-d C'
  proof (cases rule: watch-nat-list-cases-witness[of S C' C])
    case nil-nil
    then have set-mset C = set []  $\cup$  set []
      using C' watch-nat-lists-set-union-witness n-d by metis
    then show ?thesis
      by simp
  next
  case (nil-single a)
  then show ?thesis
    using watch-nat-lists-set-union-witness[of S C' C] C' 3
    by (auto dest!: arg-cong[of - [] set] simp: W ass tr)
  next
  case nil-other
  then show ?thesis
    using 3 by (auto dest!: arg-cong[of - [] set] simp: W ass tr)
  next
  case single-nil
  show ?thesis
    using watch-nat-lists-set-union-witness[of S C' C] C' 3 mset-leD
    by (auto simp: W ass tr single-nil)
  next
  case single-other
  then show ?thesis
    using 3 by (auto dest!: arg-cong[of - [] set] simp: W ass tr)
  next
  case other
  then show ?thesis
    using 3 by (auto dest!: arg-cong[of - [] set] simp: W ass tr)
  qed
next
case 4 note -[simp] = this
{
  fix a :: 'a literal and ys' :: 'a literal list and L :: 'a literal and
    L' :: 'a literal
  assume a1:  $[L \leftarrow \text{remdups } C'. - L \notin \text{lits-of (trail S)}] = [a]$ 
  assume a2: set-mset C = insert L (insert a (set ys'))
  assume a3:  $L' \in \# C$ 

```



```

assume  $a_4$ :  $a \neq L'$ 
have  $set (L \# a \# ys') = set-mset C$ 
  using  $a_2$  by auto
then have  $L' \notin set [l \leftarrow remdups C'. - l \notin lits-of (trail S)]$ 
  using  $a_4 a_1$  by (metis list.set(1) list.set(2) singleton-iff)
then have  $- L' \in lits-of (trail S)$ 
  using  $a_3 C'$  by simp
  } note  $H = this$ 
show ?case
  using  $n-d C'$  apply (cases rule: watch-nat-list-cases-witness[of S C' C])
  apply (auto dest: filter-in-list-prop-verifiedD
    simp: W ass tr lits-of-def C' filter-empty-conv)[4]
  using watch-nat-lists-set-union-witness[of S C' C] C'
  by (auto dest: filter-in-list-prop-verifiedD H simp: W ass tr)
next
case 5
from  $n-d C'$  show ?case
  proof (cases rule: watch-nat-list-cases-witness[of S C' C])
    case nil-nil
    then show ?thesis by (auto simp: W ass tr)
  next
    case nil-single
    then show ?thesis
      using watch-nat-lists-set-union-witness[of S C' C] C' by (auto simp: W ass tr)
  next
    case nil-other
    then show ?thesis
      unfolding watched-decided-most-recently.simps Ball-mset-def
      apply (intro allI impI)
      apply (subst index-uminus-index-map-uminus,
        simp add: index-uminus-index-map-uminus lits-of-def o-def)
      apply (subst index-uminus-index-map-uminus,
        simp add: index-uminus-index-map-uminus lits-of-def o-def)

      apply (subst index-filter[of - -  $\lambda L. L \in \# C$ ])
      by (auto dest: filter-in-list-prop-verifiedD
        simp: uminus-lit-swap lits-of-def o-def W ass tr)
  next
    case single-nil
    then show ?thesis
      using watch-nat-lists-set-union-witness[of S C' C] C' by (auto simp: W ass tr)
  next
    case single-other
    then show ?thesis
      unfolding watched-decided-most-recently.simps Ball-mset-def
      apply (clarify)
      apply (subst index-uminus-index-map-uminus,
        simp add: index-uminus-index-map-uminus lits-of-def o-def)
      apply (subst index-uminus-index-map-uminus,
        simp add: index-uminus-index-map-uminus lits-of-def o-def)

      apply (subst index-filter[of - -  $\lambda L. L \in \# C$ ])
      by (auto dest: filter-in-list-prop-verifiedD
        simp: W ass tr uminus-lit-swap lits-of-def o-def)
  next

```

```

case other
then show ?thesis
  unfolding watched-decided-most-recently.simps
  apply clarify
  apply (subst index-uminus-index-map-uminus,
    simp add: index-uminus-index-map-uminus lits-of-def o-def)[1]
  apply (subst index-uminus-index-map-uminus,
    simp add: index-uminus-index-map-uminus lits-of-def o-def)[1]

  apply (subst index-filter[of - - λL. L ∈# C])
  by (auto dest: filter-in-list-prop-verifiedD
    simp: index-uminus-index-map-uminus lits-of-def o-def uminus-lit-swap
    W ass tr)
qed
qed

lemma wf-watch-nat: no-dup (trail S) ⇒ wf-twl-cls (trail S) (watch-nat S C)
  using wf-watch-witness[of S sorted-list-of-set (set-mset C) C]
  by (metis List.finite-set mset-sorted-list-of-multiset set-sorted-list-of-multiset
    sorted-list-of-set watch-nat-def)

definition
  rewatch-nat ::
    (nat, nat, nat literal multiset) ann-literal ⇒ (nat, nat, nat clause) twl-state-abs ⇒
      nat clause twl-clause ⇒ nat clause twl-clause
where
  rewatch-nat L S C =
    (if - lit-of L ∈# watched C then
      case filter (λL'. L' ∉# watched C ∧ - L' ∉ lits-of (L # trail S))
        (sorted-list-of-multiset (unwatched C)) of
        [] ⇒ C
        | L' # - ⇒
          TWL-Clause (watched C - {#- lit-of L#} + {#L'#}) (unwatched C - {#L'#} + {#- lit-of
L#})
        else
          C)

lemma clause-rewatch-witness:
  fixes UW :: 'a literal list and
    S :: (('a, 'b, 'c) ann-literal, 'd, 'e, 'f) twl-state and
    L :: ('a, 'b, 'c) ann-literal and C :: 'a literal multiset twl-clause
  defines C' ≡ (if - lit-of L ∈# watched C then
    case filter (λL'. L' ∉# watched C ∧ - L' ∉ lits-of (L # trail S)) UW of
    [] ⇒ C
    | L' # - ⇒
      TWL-Clause (watched C - {#- lit-of L#} + {#L'#}) (unwatched C - {#L'#} + {#- lit-of
L#})
    else
      C)
  assumes
    UW: set UW = set-mset (unwatched C)
  shows raw-clause C' = raw-clause C
  using UW unfolding C'-def by (auto simp: subset-mset.add-diff-assoc2 multiset-eq-iff
    split: list.split dest: filter-in-list-prop-verifiedD)

```

lemma *clause-rewatch-nat*: *raw-clause* (*rewatch-nat* *L S C*) = *raw-clause* *C*
using *clause-rewatch-witness*[*of sorted-list-of-multiset* (*unwatched C*) *C - S*]
by (*auto simp*: *rewatch-nat-def Let-def split*: *list.split split-if-asm*)

lemma *filter-sorted-list-of-multiset-Nil*:
 $[x \leftarrow \text{sorted-list-of-multiset } M. p \ x] = [] \longleftrightarrow (\forall x \in\# M. \neg p \ x)$
by *auto* (*metis empty-iff filter-set list.set(1) mem-set-mset-iff member-filter*
set-sorted-list-of-multiset)

lemma *filter-sorted-list-of-multiset-ConsD*:
 $[x \leftarrow \text{sorted-list-of-multiset } M. p \ x] = x \# xs \implies p \ x$
by (*metis filter-set insert-iff list.set(2) member-filter*)

lemma *mset-minus-single-eq-empty*:
 $a - \{\#b\} = \{\#\} \longleftrightarrow a = \{\#b\} \vee a = \{\#\}$
by (*metis Multiset.diff-cancel add.right-neutral diff-single-eq-union*
diff-single-trivial zero-diff)

lemma *size-mset-le-2-cases*:
assumes *size W* ≤ 2
shows $W = \{\#\} \vee (\exists a. W = \{\#a\}) \vee (\exists a \ b. W = \{\#a, b\})$
by (*metis One-nat-def Suc-1 Suc-eq-plus1-left assms linorder-not-less nat-less-le*
not-less-eq-eq le-iff-add size-1-singleton-mset
size-eq-0-iff-empty size-mset-2)

lemma *filter-sorted-list-of-multiset-eqD*:
assumes $[x \leftarrow \text{sorted-list-of-multiset } A. p \ x] = x \# xs$ (**is** *?comp = -*)
shows $x \in\# A$
proof -
have $x \in \text{set } ?comp$
using *assms* **by** *simp*
then have $x \in \text{set } (\text{sorted-list-of-multiset } A)$
by *simp*
then show $x \in\# A$
by *simp*
qed

lemma *clause-rewatch-witness'*:
fixes *UWC* :: '*a literal list* **and**
S :: ((*'a*, '*b*, '*c*) *ann-literal*, '*d*, '*e*, '*f*) *twl-state* **and**
L :: '*a*, '*b*, '*c*) *ann-literal* **and** *C* :: '*a literal multiset twl-clause*
defines $C' \equiv (\text{if } - \text{ lit-of } L \in\# \text{ watched } C \text{ then}$
 $\text{case filter } (\lambda L'. L' \notin\# \text{ watched } C \wedge - L' \notin \text{ lits-of } (L \# \text{ trail } S)) \text{ UWC of}$
 $\quad [] \Rightarrow C$
 $\quad | L' \# - \Rightarrow$
 $\quad \text{TWL-Clause } (\text{watched } C - \{\# - \text{ lit-of } L\} + \{\# L'\}) (\text{unwatched } C - \{\# L'\} + \{\# - \text{ lit-of}$
 $L\})$
 $\quad \text{else}$
 $\quad C)$
assumes
UWC: *set UWC* = *set-mset* (*unwatched C*) **and**
wf: *wf-tw-cls* (*trail S*) *C* **and**
n-d: *no-dup* (*trail S*) **and**
undef: *undefined-lit* (*trail S*) (*lit-of L*)
shows *wf-tw-cls* (*L* # *trail S*) *C'*

```

proof (cases – lit-of  $L \in \#$  watched  $C$ )
  case False
  then have wf-twl-cls ( $L \#$  trail  $S$ )  $C$ 
    apply (cases  $C$ )
    using wf n-d undef apply (clarify)
    unfolding wf-twl-cls.simps
    apply (intro conjI)
      apply blast
      apply blast
      apply blast
    apply (smt ball-mset-cong bspec-mset insert-iff lits-of-cons nat-neq-iff twl-clause.sel(1)
      uminus-of-uminus-id)
    apply (auto simp: Decided-Propagated-in-iff-in-lits-of)
  done
  then show ?thesis
    using False C'-def by simp
next
  case falsified: True

  let ?unwatched-nonfalsified =
    [ $L' \leftarrow UWC. L' \notin \#$  watched  $C \wedge - L' \notin$  lits-of ( $L \#$  trail  $S$ )]
  obtain  $W UW$  where  $C: C = TWL\text{-}Clause\ W UW$ 
    by (cases  $C$ )

  show ?thesis
  proof (cases ?unwatched-nonfalsified)
    case Nil
    show ?thesis
      using falsified Nil
      apply (simp only: wf-twl-cls.simps if-True list.cases C C'-def)
      apply (intro conjI)
      proof goal-cases
        case 1
        then show ?case using wf C by simp
      next
        case 2
        then show ?case using wf C by simp
      next
        case 3
        then show ?case using wf C by simp
      next
        case 4
        have  $\bigwedge p l. \text{filter } p\ UWC \neq [] \vee l \notin \text{set-mset } UW \vee \neg p\ l$ 
          using  $UWC$  unfolding  $C$  by (metis (no-types) filter-empty-conv twl-clause.sel(2))
        then show ?case
          using 4(2) unfolding Ball-mset-def by (metis (lifting) mem-set-mset-iff twl-clause.sel(1))
      next
        case 5
        then show ?case

        using  $C$  apply simp
        using wf by (smt ball-msetI bspec-mset not-gr0 uminus-of-uminus-id
          watched-decided-most-recently.simps wf-twl-cls.simps)
      qed
  next

```

```

case (Cons L' Ls)
show ?thesis
  unfolding rewatch-nat-def C'-def
  using falsified Cons
  apply (simp only: wf-twl-cls.simps if-True list.cases C)
  apply (intro conjI)
  proof goal-cases
    case 1
    have distinct-mset (watched (TWL-Clause W UW))
      using wf unfolding C by auto
    moreover have L' ∉ # watched (TWL-Clause W UW) - {#- lit-of L#}
      using 1(2) not-gr0 by (fastforce dest: filter-in-list-prop-verifiedD)
    ultimately show ?case
      by (auto simp: distinct-mset-single-add)
  next
    case 2
    then show ?case using wf C by (metis insert-DiffM2 size-single size-union twl-clause.sel(1)
      wf-twl-cls.simps)
  next
    case 3
    then show ?case
      using wf C UWC by (force simp: mset-minus-single-eq-mempty dest: subset-singletonD)
  next
    case 4
    have H: ∀ L ∈ # W. - L ∈ lits-of (trail S) →
      (∀ L' ∈ # UW. count W L' = 0 → - L' ∈ lits-of (trail S))
      using wf by (auto simp: C)
    have W: size W ≤ 2 and W-UW: size W < 2 → set-mset UW ⊆ set-mset W
      using wf by (auto simp: C)

    have distinct: distinct-mset W
      using wf by (auto simp: C)
    show ?case
      using 4
      unfolding C watched-decided-most-recently.simps Ball-mset-def twl-clause.sel
      apply (intro allI impI)
      apply (rename-tac xW xUW)
      apply (case-tac - lit-of L = xW; case-tac xW = xUW; case-tac L' = xW)
      apply (auto simp: uminus-lit-swap)[2]
      apply (force dest: filter-in-list-prop-verifiedD)
      using H size-mset-le-2-cases[OF W]
      using distinct apply (fastforce split: split-if-asm simp: distinct-mset-size-2)
      using distinct apply (fastforce split: split-if-asm simp: distinct-mset-size-2)
      using distinct apply (fastforce split: split-if-asm simp: distinct-mset-size-2)
      apply (force dest: filter-in-list-prop-verifiedD)
      using size-mset-le-2-cases[OF W] H by (fastforce simp: uminus-lit-swap
        dest: filter-sorted-list-of-multiset-ConsD filter-sorted-list-of-multiset-eqD)

  next
    case 5
    have H: ∀ x. x ∈ # W → - x ∈ lits-of (trail S) → (∀ x. x ∈ # UW → count W x = 0
      → - x ∈ lits-of (trail S))
      using wf by (auto simp: C)
    show ?case
      unfolding C watched-decided-most-recently.simps Ball-mset-def

```

```

proof (intro allI impI conjI, goal-cases)
  case (1  $xW$   $x$ )
  show ?case
    proof (cases - lit-of L = xW)
      case True
      then show ?thesis
        by (cases xW = x) (auto simp: uminus-lit-swap)
    next
      case False note  $LxW = \text{this}$ 
      have  $f9: L' \in \text{set } [l \leftarrow UWC . l \notin \# \text{watched } (TWL\text{-Clause } W \ UW)$ 
         $\wedge - l \notin \text{lits-of } (L \# \text{trail } S)]$ 
      using 1(2) 5 by auto
      moreover then have  $f11: - xW \in \text{lits-of } (\text{trail } S)$ 
      using 1(3)  $LxW$  unfolding lits-of-cons by (metis (no-types) insert-iff
        uminus-of-uminus-id)
      moreover then have  $xW \notin \# W$ 
      using  $f9$  1(2)  $H$  by (auto simp: C UWC)
      ultimately have False
      using 1 by auto
      then show ?thesis
        by fast
    qed
  qed
qed
qed
qed

```

```

lemma wf-rewatch-nat':
  assumes
     $wf: wf\text{-twl-cls } (\text{trail } S) \ C$  and
     $n\text{-d}: no\text{-dup } (\text{trail } S)$  and
     $undef: undefined\text{-lit } (\text{trail } S) \ (\text{lit-of } L)$ 
  shows  $wf\text{-twl-cls } (L \# \text{trail } S) \ (\text{rewatch-nat } L \ S \ C)$ 
  using clause-rewatch-witness'[of sorted-list-of-multiset (unwatched C) C S L]
  assms by (auto simp: rewatch-nat-def)

```

```

interpretation twl: abstract-twl watch-nat rewatch-nat sorted-list-of-multiset learned-clss
  apply unfold-locales
  apply (rule clause-watch-nat; simp)
  apply (rule wf-watch-nat; simp)
  apply (rule clause-rewatch-nat)
  apply (rule wf-rewatch-nat'; simp)
  apply (rule mset-sorted-list-of-multiset)
  apply (rule subset-mset.order-refl)
done

```

9.5 Interpretation for $cdcl_W.cdcl_W$

```

context abstract-twl
begin

```

9.5.1 Direct Interpretation

```

interpretation rough-cdcl: state_W trail raw-init-clss raw-learned-clss backtrack-lvl conflicting

```

cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
update-conflicting init-state restart'
apply *unfold-locales*
apply (*simp-all add: add-init-cls-def add-learned-cls-def clause-rewatch clause-watch*
cons-trail-def remove-cls-def restart'-def tl-trail-def)
apply (*rule image-mset-subseteq-mono[OF restart-learned]*)
done

interpretation *rough-cdcl*: *cdcl_W trail raw-init-clss raw-learned-clss backtrack-lvl conflicting*
cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
update-conflicting init-state restart'
by *unfold-locales*

9.5.2 Opaque Type with Invariant

declare *rough-cdcl.state-simp*[*simp del*]

definition *cons-trail-twl* :: (*'v*, *nat*, *'v literal multiset*) *ann-literal* \Rightarrow *'v wf-twl* \Rightarrow *'v wf-twl*
where
cons-trail-twl L S \equiv *twl-of-rough-state (cons-trail L (rough-state-of-twl S))*

lemma *wf-twl-state-cons-trail*:
undefined-lit (trail S) (lit-of L) \implies wf-twl-state S \implies wf-twl-state (cons-trail L S)
unfolding *wf-twl-state-def* **by** (*auto simp: cons-trail-def wf-rewatch defined-lit-map*)

lemma *rough-state-of-twl-cons-trail*:
undefined-lit (trail-twl S) (lit-of L) \implies
rough-state-of-twl (cons-trail-twl L S) = cons-trail L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-cons-trail*
unfolding *cons-trail-twl-def* **by** *blast*

abbreviation *add-init-cls-twl* **where**
add-init-cls-twl C S \equiv *twl-of-rough-state (add-init-cls C (rough-state-of-twl S))*

lemma *wf-twl-add-init-cls*: *wf-twl-state S \implies wf-twl-state (add-init-cls L S)*
unfolding *wf-twl-state-def* **by** (*auto simp: wf-watch add-init-cls-def split: split-if-asm*)

lemma *rough-state-of-twl-add-init-cls*:
rough-state-of-twl (add-init-cls-twl L S) = add-init-cls L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-add-init-cls* **by** *blast*

abbreviation *add-learned-cls-twl* **where**
add-learned-cls-twl C S \equiv *twl-of-rough-state (add-learned-cls C (rough-state-of-twl S))*

lemma *wf-twl-add-learned-cls*: *wf-twl-state S \implies wf-twl-state (add-learned-cls L S)*
unfolding *wf-twl-state-def* **by** (*auto simp: wf-watch add-learned-cls-def split: split-if-asm*)

lemma *rough-state-of-twl-add-learned-cls*:
rough-state-of-twl (add-learned-cls-twl L S) = add-learned-cls L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-add-learned-cls* **by** *blast*

abbreviation *remove-cls-twl* **where**
remove-cls-twl C S \equiv *twl-of-rough-state (remove-cls C (rough-state-of-twl S))*

lemma *wf-twl-remove-cls*: *wf-twl-state S \implies wf-twl-state (remove-cls L S)*
unfolding *wf-twl-state-def* **by** (*auto simp: wf-watch remove-cls-def split: split-if-asm*)

lemma *rough-state-of-twl-remove-cls*:
 $\text{rough-state-of-twl } (\text{remove-cls-twl } L \ S) = \text{remove-cls } L \ (\text{rough-state-of-twl } S)$
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-remove-cls* **by** *blast*

abbreviation *init-state-twl* **where**
 $\text{init-state-twl } N \equiv \text{twl-of-rough-state } (\text{init-state } N)$

lemma *wf-twl-state-wf-twl-state-fold-add-init-cls*:
assumes *wf-twl-state* *S*
shows *wf-twl-state* ($\text{fold add-init-cls } N \ S$)
using *assms* **apply** (*induction* *N* *arbitrary*: *S*)
apply (*auto simp*: *wf-twl-state-def*)[]
by (*simp add*: *wf-twl-add-init-cls*)

lemma *wf-twl-state-epsilon-state*[*simp*]:
 $\text{wf-twl-state } (\text{TWL-State } [] \ \{\#\} \ \{\#\} \ 0 \ \text{None})$
by (*auto simp*: *wf-twl-state-def*)

lemma *wf-twl-init-state*: $\text{wf-twl-state } (\text{init-state } N)$
unfolding *init-state-def* **by** (*auto intro!*: *wf-twl-state-wf-twl-state-fold-add-init-cls*)

lemma *rough-state-of-twl-init-state*:
 $\text{rough-state-of-twl } (\text{init-state-twl } N) = \text{init-state } N$
by (*simp add*: *twl-of-rough-state-inverse wf-twl-init-state*)

abbreviation *tl-trail-twl* **where**
 $\text{tl-trail-twl } S \equiv \text{twl-of-rough-state } (\text{tl-trail } (\text{rough-state-of-twl } S))$

lemma *wf-twl-state-tl-trail*: $\text{wf-twl-state } S \implies \text{wf-twl-state } (\text{tl-trail } S)$
by (*simp add*: *twl-of-rough-state-inverse wf-twl-init-state wf-twl-cls-wf-twl-cls-tl*
tl-trail-def wf-twl-state-def distinct-tl map-tl)

lemma *rough-state-of-twl-tl-trail*:
 $\text{rough-state-of-twl } (\text{tl-trail-twl } S) = \text{tl-trail } (\text{rough-state-of-twl } S)$
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-tl-trail* **by** *blast*

abbreviation *update-backtrack-lvl-twl* **where**
 $\text{update-backtrack-lvl-twl } k \ S \equiv \text{twl-of-rough-state } (\text{update-backtrack-lvl } k \ (\text{rough-state-of-twl } S))$

lemma *wf-twl-state-update-backtrack-lvl*:
 $\text{wf-twl-state } S \implies \text{wf-twl-state } (\text{update-backtrack-lvl } k \ S)$
unfolding *wf-twl-state-def* **by** *auto*

lemma *rough-state-of-twl-update-backtrack-lvl*:
 $\text{rough-state-of-twl } (\text{update-backtrack-lvl-twl } k \ S) = \text{update-backtrack-lvl } k \ (\text{rough-state-of-twl } S)$
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-update-backtrack-lvl* **by** *fast*

abbreviation *update-conflicting-twl* **where**
 $\text{update-conflicting-twl } k \ S \equiv \text{twl-of-rough-state } (\text{update-conflicting } k \ (\text{rough-state-of-twl } S))$

lemma *wf-twl-state-update-conflicting*:
 $\text{wf-twl-state } S \implies \text{wf-twl-state } (\text{update-conflicting } k \ S)$
unfolding *wf-twl-state-def* **by** *auto*

lemma *rough-state-of-twl-update-conflicting*:
 $\text{rough-state-of-twl } (\text{update-conflicting-twl } k \ S) = \text{update-conflicting } k$
 $\quad (\text{rough-state-of-twl } S)$
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-update-conflicting* **by** *fast*

abbreviation *raw-clauses-twl* **where**
 $\text{raw-clauses-twl } S \equiv \text{raw-clauses } (\text{rough-state-of-twl } S)$

abbreviation *restart-twl* **where**
 $\text{restart-twl } S \equiv \text{twl-of-rough-state } (\text{restart}' (\text{rough-state-of-twl } S))$

lemma *wf-wf-restart'*: $\text{wf-twl-state } S \implies \text{wf-twl-state } (\text{restart}' S)$
unfolding *restart'-def wf-twl-state-def* **apply** *standard*
apply *clarify*
apply *(rename-tac x)*
apply *(subgoal-tac wf-twl-cls (trail S) x)*
apply *(case-tac x)*
using *restart-learned* **by** *fastforce+*

lemma *rough-state-of-twl-restart-twl*:
 $\text{rough-state-of-twl } (\text{restart-twl } S) = \text{restart}' (\text{rough-state-of-twl } S)$
by *(simp add: twl-of-rough-state-inverse wf-wf-restart')*

interpretation *cdcl_W-twl-NOT*: *dpll-state*
 $\lambda S. \text{convert-trail-from-}W \ (\text{trail-twl } S)$
raw-clauses-twl
 $\lambda L \ S. \text{cons-trail-twl } (\text{convert-ann-literal-from-NOT } L) \ S$
 $\lambda S. \text{tl-trail-twl } S$
 $\lambda C \ S. \text{add-learned-cls-twl } C \ S$
 $\lambda C \ S. \text{remove-cls-twl } C \ S$
apply *unfold-locales*
apply *(simp add: rough-state-of-twl-cons-trail)*
apply *(metis rough-state-of-twl-tl-trail rough-cdcl.tl-trail)*
apply *(metis rough-state-of-twl-add-learned-cls rough-cdcl.trail-add-cls_{NOT})*
apply *(metis rough-state-of-twl-remove-cls rough-cdcl.trail-remove-cls)*
apply *(simp add: rough-state-of-twl-cons-trail)*
apply *(simp add: rough-state-of-twl-tl-trail)*
using *rough-cdcl.clauses-add-cls_{NOT} rough-cdcl.clauses-def rough-state-of-twl-add-learned-cls*
apply *auto[1]*
using *rough-cdcl.clauses-def rough-cdcl.clauses-remove-cls rough-state-of-twl-remove-cls* **by** *auto*

interpretation *cdcl_W-twl*: *state_W*
trail-twl
init-clss-twl
learned-clss-twl
backtrack-lvl-twl
conflicting-twl
cons-trail-twl
tl-trail-twl
add-init-clss-twl
add-learned-clss-twl
remove-clss-twl
update-backtrack-lvl-twl

update-conflicting-tw
init-state-tw
restart-tw
apply *unfold-locales*
by (*simp-all* *add*: *rough-state-of-tw-cons-trail* *rough-state-of-tw-tl-trail*
rough-state-of-tw-add-init-cl *rough-state-of-tw-add-learned-cl* *rough-state-of-tw-remove-cl*
rough-state-of-tw-update-backtrack-lvl *rough-state-of-tw-update-conflicting*
rough-state-of-tw-init-state *rough-state-of-tw-restart-tw*
rough-cdcl.learned-clss-restart-state)

interpretation *cdcl_W-tw*: *cdcl_W*

trail-tw
init-clss-tw
learned-clss-tw
backtrack-lvl-tw
conflicting-tw
cons-trail-tw
tl-trail-tw
add-init-cl-tw
add-learned-cl-tw
remove-cl-tw
update-backtrack-lvl-tw
update-conflicting-tw
init-state-tw
restart-tw
by *unfold-locales*

sublocale *cdcl_W*

trail-tw
init-clss-tw
learned-clss-tw
backtrack-lvl-tw
conflicting-tw
cons-trail-tw
tl-trail-tw
add-init-cl-tw
add-learned-cl-tw
remove-cl-tw
update-backtrack-lvl-tw
update-conflicting-tw
init-state-tw
restart-tw
by (*rule* *cdcl_W-tw.cdcl_W-axioms*)

abbreviation *state-eq-tw* (**infix** \sim_{TWL} 51) **where**

state-eq-tw $S \ S' \equiv \text{rough-cdcl.state-eq} \ (\text{rough-state-of-tw } S) \ (\text{rough-state-of-tw } S')$

notation *cdcl_W-tw.state-eq* (**infix** \sim 51)

declare *cdcl_W-tw.state-simp*[*simp del*]
*cdcl_W-tw.NOT.state-simp*_{NOT}[*simp del*]

To avoid ambiguities:

no-notation *state-eq-tw* (**infix** \sim 51)

definition *propagate-tw* **where**

propagate-tw $S \ S' \longleftrightarrow$

$(\exists L C. (L, C) \in \text{candidates-propagate-twl } S$
 $\wedge S' \sim \text{cons-trail-twl } (\text{Propagated } L \ C) \ S$
 $\wedge \text{conflicting-twl } S = \text{None})$

lemma *propagate-twl-iff-propagate:*

assumes *inv*: $\text{cdcl}_W\text{-twl.cdcl}_W\text{-all-struct-inv } S$

shows $\text{cdcl}_W\text{-twl.propagate } S \ T \longleftrightarrow \text{propagate-twl } S \ T$ (**is** $?P \longleftrightarrow ?T$)

proof

assume $?P$

then obtain $C \ L$ **where**

conflicting (*rough-state-of-twl* S) = *None* **and**

CL-Clauses: $C + \{\#L\# \} \in \# \text{cdcl}_W\text{-twl.clauses } S$ **and**

tr-CNot: $\text{trail-twl } S \models_{\text{as}} \text{CNot } C$ **and**

undef-lot: *undefined-lit* (*trail-twl* S) L **and**

$T \sim \text{cons-trail-twl } (\text{Propagated } L \ (C + \{\#L\# \})) \ S$

unfolding $\text{cdcl}_W\text{-twl.propagate.simps}$ **by** *blast*

have *distinct-mset* ($C + \{\#L\# \}$)

using *inv* *CL-Clauses* **unfolding** $\text{cdcl}_W\text{-twl.cdcl}_W\text{-all-struct-inv-def}$

$\text{cdcl}_W\text{-twl.distinct-cdcl}_W\text{-state-def}$ $\text{cdcl}_W\text{-twl.clauses-def}$ *distinct-mset-set-def*

by (*metis* (*no-types*, *lifting*) *add-gr-0* *mem-set-mset-iff* *plus-multiset.rep-eq*)

then have *C-L-L*: *mset-set* (*set-mset* ($C + \{\#L\# \}$) - $\{L\}$) = C

by (*metis* *Un-insert-right* *add-diff-cancel-left'* *add-diff-cancel-right'*

distinct-mset-set-mset-ident *finite-set-mset* *insert-absorb2* *mset-set.insert-remove*

set-mset-single *set-mset-union*)

have $(L, C + \{\#L\# \}) \in \text{candidates-propagate-twl } S$

apply (*rule* *wf-candidates-propagate-complete*)

using *rough-state-of-twl* **apply** *auto*[]

using *CL-Clauses* **unfolding** $\text{cdcl}_W\text{-twl.clauses-def}$ **apply** *auto*[]

apply *simp*

using *C-L-L* *tr-CNot* **apply** *simp*

using *undef-lot* **apply** *blast*

done

show $?T$ **unfolding** *propagate-twl-def*

apply (*rule* *exI*[*of* - L], *rule* *exI*[*of* - $C + \{\#L\# \}$])

apply (*auto* *simp*: $\langle (L, C + \{\#L\# \}) \in \text{candidates-propagate-twl } S \rangle$

$\langle \text{conflicting } (\text{rough-state-of-twl } S) = \text{None} \rangle$)

using $\langle T \sim \text{cons-trail-twl } (\text{Propagated } L \ (C + \{\#L\# \})) \ S \rangle$ $\text{cdcl}_W\text{-twl.state-eq-backtrack-lvl}$

$\text{cdcl}_W\text{-twl.state-eq-conflicting}$ $\text{cdcl}_W\text{-twl.state-eq-init-clss}$

$\text{cdcl}_W\text{-twl.state-eq-learned-clss}$ $\text{cdcl}_W\text{-twl.state-eq-trail}$ *rough-cdcl.state-eq-def* **by** *blast*

next

assume $?T$

then obtain $L \ C$ **where**

LC: $(L, C) \in \text{candidates-propagate-twl } S$ **and**

T: $T \sim \text{cons-trail-twl } (\text{Propagated } L \ C) \ S$ **and**

confl: *conflicting* (*rough-state-of-twl* S) = *None*

unfolding *propagate-twl-def* **by** *auto*

have [*simp*]: $C - \{\#L\# \} + \{\#L\# \} = C$

using *LC* **unfolding** *candidates-propagate-def*

by *clarify* (*metis* *add commute* *add-diff-cancel-right'* *count-diff* *insert-DiffM*

multi-member-last *not-gr0* *zero-diff*)

have $C \in \# \text{raw-clauses-twl } S$

using *LC* **unfolding** *candidates-propagate-def* *rough-cdcl.clauses-def* **by** *auto*

then have *distinct-mset* C

using *inv* **unfolding** $\text{cdcl}_W\text{-twl.cdcl}_W\text{-all-struct-inv-def}$ $\text{cdcl}_W\text{-twl.distinct-cdcl}_W\text{-state-def}$

$\text{cdcl}_W\text{-twl.clauses-def}$ *distinct-mset-set-def* *rough-cdcl.clauses-def* **by** *auto*

then have $C\text{-}L\text{-}L$: $\text{mset-set } (\text{set-mset } C - \{L\}) = C - \{\#L\# \}$
by ($\text{metis } \langle C - \{\#L\# \} + \{\#L\# \} = C \rangle$ add-left-imp-eq $\text{diff-single-trivial}$
 $\text{distinct-mset-set-mset-ident}$ finite-set-mset mem-set-mset-iff mset-set.remove
 $\text{multi-self-add-other-not-self}$ union-commute)

show $?P$
apply ($\text{rule } \text{cdcl}_W\text{-twl.propagate.intros}[of - \text{trail-twl } S \text{ init-clss-twl } S$
 $\text{learned-clss-twl } S \text{ backtrack-lvl-twl } S \text{ } C - \{\#L\# \} \text{ } L])$
using confl **apply** $\text{auto}[]$
using LC **unfolding** $\text{candidates-propagate-def}$ **apply** ($\text{auto simp: } \text{cdcl}_W\text{-twl.clauses-def}[]$)
using $\text{wf-candidates-propagate-sound}[OF - LC]$ $\text{rough-state-of-twl}$ **apply** ($\text{simp add: } C\text{-}L\text{-}L$)
using $\text{wf-candidates-propagate-sound}[OF - LC]$ $\text{rough-state-of-twl}$ **apply** simp
using T **unfolding** $\text{cdcl}_W\text{-twl.state-eq-def}$ $\text{rough-cdcl.state-eq-def}$ **by** auto

qed
no-notation $CDCL\text{-Two-Watched-Literals.twl.state-eq-twl}$ (**infix** \sim_{TWL} 51)

definition conflict-twl **where**
 $\text{conflict-twl } S \text{ } S' \longleftrightarrow$
 $(\exists C. C \in \text{candidates-conflict-twl } S$
 $\wedge S' \sim \text{update-conflicting-twl } (\text{Some } C) \text{ } S$
 $\wedge \text{conflicting-twl } S = \text{None})$

lemma $\text{conflict-twl-iff-conflict}$:
shows $\text{cdcl}_W\text{-twl.conflict } S \text{ } T \longleftrightarrow \text{conflict-twl } S \text{ } T$ (**is** $?C \longleftrightarrow ?T$)

proof
assume $?C$
then obtain $M \text{ } N \text{ } U \text{ } k \text{ } C$ **where**
 S : $\text{rough-cdcl.state } (\text{rough-state-of-twl } S) = (M, N, U, k, \text{None})$ **and**
 C : $C \in \# \text{cdcl}_W\text{-twl.clauses } S$ **and**
 $M\text{-}C$: $M \models_{as} C\text{Not } C$ **and**
 T : $T \sim \text{update-conflicting-twl } (\text{Some } C) \text{ } S$
by auto
have $C \in \text{candidates-conflict-twl } S$
apply ($\text{rule } \text{wf-candidates-conflict-complete}$)
apply simp
using C **apply** ($\text{auto simp: } \text{cdcl}_W\text{-twl.clauses-def}[]$)
using $M\text{-}C \text{ } S$ **by** auto
moreover have $T \sim \text{twl-of-rough-state } (\text{update-conflicting } (\text{Some } C) \text{ } (\text{rough-state-of-twl } S))$
using T **unfolding** $\text{rough-cdcl.state-eq-def}$ $\text{cdcl}_W\text{-twl.state-eq-def}$ **by** auto
ultimately show $?T$
using S **unfolding** conflict-twl-def **by** auto

next
assume $?T$
then obtain C **where**
 C : $C \in \text{candidates-conflict-twl } S$ **and**
 T : $T \sim \text{update-conflicting-twl } (\text{Some } C) \text{ } S$ **and**
 confl : $\text{conflicting-twl } S = \text{None}$
unfolding conflict-twl-def **by** auto
have $C \in \# \text{cdcl}_W\text{-twl.clauses } S$
using C **unfolding** $\text{candidates-conflict-def}$ $\text{cdcl}_W\text{-twl.clauses-def}$ **by** auto
moreover have $\text{trail-twl } S \models_{as} C\text{Not } C$
using $\text{wf-candidates-conflict-sound}[OF - C]$ **by** auto
ultimately show $?C$ **apply** –
apply ($\text{rule } \text{cdcl}_W\text{-twl.conflict.conflict-rule}[of - - - - C]$)
using $\text{confl } T$ **unfolding** $\text{rough-cdcl.state-eq-def}$ $\text{cdcl}_W\text{-twl.state-eq-def}$ **by** auto

qed

inductive $cdcl_W\text{-}twl :: 'v \text{ wf-}twl \Rightarrow 'v \text{ wf-}twl \Rightarrow \text{bool}$ **for** $S :: 'v \text{ wf-}twl$ **where**
propagate: $\text{propagate-}twl\ S\ S' \Longrightarrow cdcl_W\text{-}twl\ S\ S' \mid$
conflict: $\text{conflict-}twl\ S\ S' \Longrightarrow cdcl_W\text{-}twl\ S\ S' \mid$
other: $cdcl_W\text{-}twl.cdcl_W\text{-}o\ S\ S' \Longrightarrow cdcl_W\text{-}twl\ S\ S' \mid$
rf: $cdcl_W\text{-}twl.cdcl_W\text{-}rf\ S\ S' \Longrightarrow cdcl_W\text{-}twl\ S\ S'$

lemma $cdcl_W\text{-}twl\text{-}iff\text{-}cdcl_W$:
assumes $cdcl_W\text{-}twl.cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$
shows $cdcl_W\text{-}twl\ S\ T \longleftrightarrow cdcl_W\text{-}twl.cdcl_W\ S\ T$
by (*simp add: assms $cdcl_W\text{-}twl.cdcl_W.simps\ cdcl_W\text{-}twl.simps\ conflict\text{-}twl\text{-}iff\text{-}conflict\ propagate\text{-}twl\text{-}iff\text{-}propagate$*)

lemma $rtrancpl\text{-}cdcl_W\text{-}twl\text{-}all\text{-}struct\text{-}inv\text{-}inv$:
assumes $cdcl_W\text{-}twl^{**}\ S\ T$ **and** $cdcl_W\text{-}twl.cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$
shows $cdcl_W\text{-}twl.cdcl_W\text{-}all\text{-}struct\text{-}inv\ T$
using *assms* **by** (*induction rule: $rtrancpl\text{-}induct$*)
(simp-all add: $cdcl_W\text{-}twl\text{-}iff\text{-}cdcl_W\ cdcl_W\text{-}twl.cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv$)

lemma $rtrancpl\text{-}cdcl_W\text{-}twl\text{-}iff\text{-}rtrancpl\text{-}cdcl_W$:
assumes $cdcl_W\text{-}twl.cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$
shows $cdcl_W\text{-}twl^{**}\ S\ T \longleftrightarrow cdcl_W\text{-}twl.cdcl_W^{**}\ S\ T$ (**is** $?T \longleftrightarrow ?W$)

proof

assume $?W$
then show $?T$
proof (*induction rule: $rtrancpl\text{-}induct$*)
case *base*
then show $?case$ **by** *simp*
next
case (*step* $T\ U$) **note** $st = \text{this}(1)$ **and** $cdcl = \text{this}(2)$ **and** $IH = \text{this}(3)$
have $cdcl_W\text{-}twl\ T\ U$
using *assms* $st\ cdcl\ cdcl_W\text{-}twl.rtrancpl\text{-}cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ cdcl_W\text{-}twl\text{-}iff\text{-}cdcl_W$
by *blast*
then show $?case$ **using** IH **by** *auto*
qed

next

assume $?T$
then show $?W$
proof (*induction rule: $rtrancpl\text{-}induct$*)
case *base*
then show $?case$ **by** *simp*
next
case (*step* $T\ U$) **note** $st = \text{this}(1)$ **and** $cdcl = \text{this}(2)$ **and** $IH = \text{this}(3)$
have $cdcl_W\text{-}twl.cdcl_W\ T\ U$
using *assms* $st\ cdcl\ rtrancpl\text{-}cdcl_W\text{-}twl\text{-}all\text{-}struct\text{-}inv\text{-}inv\ cdcl_W\text{-}twl\text{-}iff\text{-}cdcl_W$
by *blast*
then show $?case$ **using** IH **by** *auto*
qed

qed

interpretation $cdcl_{NOT}\text{-}twl$: *backjumping-ops*

$\lambda S.$ *convert-trail-from- W ($trail\text{-}twl\ S$)*

abstract- twl .raw-clauses- twl

$\lambda L\ (S:: 'v \text{ wf-}twl).$

cons-trail- twl

(convert-ann-literal-from-NOT L) (S:: 'v wf-twl)
 tl-trail-twl
 add-learned-cls-twl
 remove-cls-twl
 λC - - (S:: 'v wf-twl) -. $C \in \text{candidates-conflict-twl } S$
 by unfold-locales

lemma reduce-trail-to_{NOT}-skip-beginning-twl:
assumes trail-twl $S = \text{convert-trail-from-NOT } (F' @ F)$
shows trail-twl (cdcl_W-twl.reduce-trail-to_{NOT} F S) = convert-trail-from-NOT F
using assms by (induction F' arbitrary: S) auto

lemma reduce-trail-to_{NOT}-trail-tl-trail-twl-decomp[simp]:
 trail-twl $S = \text{convert-trail-from-NOT } (F' @ \text{Decided } K () \# F) \implies$
 trail-twl (cdcl_W-twl.reduce-trail-to_{NOT} F (tl-trail-twl S)) = convert-trail-from-NOT F
apply (rule reduce-trail-to_{NOT}-skip-beginning-twl[of - tl (F' @ Decided K () # [])])
by (cases F') (auto simp add:tl-append rough-cdcl.reduce-trail-to_{NOT}-skip-beginning)

lemma trail-twl-reduce-trail-to_{NOT}-drop:
 trail-twl (cdcl_W-twl.reduce-trail-to_{NOT} F S) =
 (if length (trail-twl S) \geq length F
 then drop (length (trail-twl S) - length F) (trail-twl S)
 else [])
apply (induction F S rule: cdcl_W-twl.reduce-trail-to_{NOT}.induct)
apply (rename-tac F S)
apply (case-tac trail-twl S)
apply auto[]
apply (rename-tac list)
apply (case-tac Suc (length list) > length F)
prefer 2 **apply** simp
apply (subgoal-tac Suc (length list) - length F = Suc (length list - length F))
apply simp
apply simp
 done

interpretation cdcl_{NOT}-twl: dpll-with-backjumping-ops

λS . convert-trail-from-W (trail-twl S)
 abstract-twl.raw-clauses-twl
 λL S.
 cons-trail-twl
 (convert-ann-literal-from-NOT L) S
 tl-trail-twl
 add-learned-cls-twl
 remove-cls-twl
 λL S. lit-of L \in fst ' candidates-propagate-twl S
 λS . no-dup (trail-twl S)
 λC - - S -. $C \in \text{candidates-conflict-twl } S$

proof (unfold-locales, goal-cases)

case (1 C' S C F' K F L) **note** n-d = this(1) **and** n-d' = this(2) **and** undef = this(6)
let ?T' = (cons-trail (Propagated L {#}) (rough-state-of-twl (cdcl_W-twl.reduce-trail-to_{NOT} F S)))
let ?T = (cons-trail-twl (Propagated L {#}) (cdcl_W-twl.reduce-trail-to_{NOT} F S))
have tr-F-S: map lit-of (trail-twl (cdcl_W-twl.reduce-trail-to_{NOT} F S)) =
 map lit-of (convert-trail-from-NOT F)
apply (subst trail-twl-reduce-trail-to_{NOT}-drop[of F S])
using 1(1) arg-cong[OF 1(3), of length] arg-cong[OF 1(3), of map lit-of]

```

by (auto simp: o-def drop-map[symmetric])

have no-dup (trail-twl S)
  using 1(1) by blast
have wf-twl-state (rough-state-of-twl (cdclW-twl.reduce-trail-toNOT F S))
  using wf-twl-state-rough-state-of-twl by blast
moreover have undef': undefined-lit (trail-twl (cdclW-twl.reduce-trail-toNOT F S)) L
  using undef arg-cong[OF tr-F-S, of map atm-of] unfolding defined-lit-map image-set
  by (simp add: o-def)
ultimately have wf-twl-state ?T'
  by (simp-all add: wf-twl-state-cons-trail)
then have init-clss-twl ?T = init-clss-twl (cdclW-twl.reduce-trail-toNOT F S)
  using 1(6) by (simp add: undef')
then have [simp]: init-clss-twl ?T = init-clss-twl S
  by (simp add: cdclW-twl.reduce-trail-toNOT-reduce-trail-convert)

have learned-clss-twl ?T = learned-clss-twl (cdclW-twl.reduce-trail-toNOT F S)
  by (simp add: undef')
moreover have learned-clss-twl (cdclW-twl.reduce-trail-toNOT F S)
  = learned-clss-twl S
  by (simp add: cdclW-twl.reduce-trail-toNOT-reduce-trail-convert)
ultimately have [simp]: learned-clss-twl ?T = learned-clss-twl S
  by simp
have tr-L-F-S: map lit-of (trail-twl ?T)
  = map lit-of (Propagated L {#} # convert-trail-from-NOT F)
  using undef' tr-F-S by (simp add: o-def)
have C-conflict-cand: C ∈ candidates-conflict-twl S
  apply (rule wf-candidates-twl-conflict-complete)
  using 1(1,4) apply (simp add: rough-cdcl.clauses-def)
  using 1(5) by (simp add: tr-L-F-S true-annots-true-cls lits-of-convert-trail-from-NOT)

have cdclNOT-twl.backjump S
  (cons-trail-twl (convert-ann-literal-from-NOT (Propagated L ()))
  (cdclW-twl.reduce-trail-toNOT F S))
  apply (rule cdclNOT-twl.backjump.intros[of S F' K F - L C, OF 1(3) - 1(4-6) - 1(8-9)])
  unfolding cdclW-twl-NOT.state-eqNOT-def apply (metis convert-ann-literal-from-NOT.simps(1))
  using 1(7) 1(3) apply presburger
  using C-conflict-cand by simp
then show ?case
  by blast
qed

interpretation cdclNOT-twl: dp11-with-backjumping
λS. convert-trail-from-W (trail-twl S)
abstract-twl.raw-clauses-twl
λL (S:: 'v wf-twl).
  cons-trail-twl
  (convert-ann-literal-from-NOT L) (S:: 'v wf-twl)
tl-trail-twl
add-learned-cls-twl
remove-cls-twl
λL S. lit-of L ∈ fst 'candidates-propagate-twl S
λS. no-dup (trail-twl S)
λC - - (S:: 'v wf-twl) -. C ∈ candidates-conflict-twl S
apply unfold-locales

```

```

    using cdclNOT-twl.dpll-bj-no-dup by (simp add: o-def)
end

end

```

10 Implementation for 2 Watched-Literals

```

theory CDCL-Two-Watched-Literals-Implementation
imports CDCL-Two-Watched-Literals DPLL-CDCL-W-Implementation
begin

```

```

type-synonym 'v conc-twl-state =
  (('v, nat, 'v literal list) ann-literal, 'v literal list twl-clause list, nat, 'v literal list)
  twl-state

```

```

fun convert :: ('a, 'b, 'c list) ann-literal  $\Rightarrow$  ('a, 'b, 'c multiset) ann-literal where
  convert (Propagated L C) = Propagated L (mset C) |
  convert (Decided K i) = Decided K i

```

```

abbreviation convert-tr :: ('a, 'b, 'c list) ann-literals  $\Rightarrow$  ('a, 'b, 'c multiset) ann-literals
  where
  convert-tr  $\equiv$  map convert

```

```

abbreviation convertC :: 'a literal list option  $\Rightarrow$  'a clause option where
  convertC  $\equiv$  map-option mset

```

```

fun raw-clause-l :: 'v list twl-clause  $\Rightarrow$  'v multiset twl-clause where
  raw-clause-l (TWL-Clause UW W) = TWL-Clause (mset W) (mset UW)

```

```

abbreviation convert-clss :: 'v literal list twl-clause list  $\Rightarrow$  'v clause twl-clause multiset
  where
  convert-clss S  $\equiv$  mset (map raw-clause-l S)

```

```

fun raw-state-of-conc :: 'v conc-twl-state  $\Rightarrow$  ('v, nat, 'v clause) twl-state-abs where
  raw-state-of-conc (TWL-State M N U k C) =
    TWL-State (convert-tr M) (convert-clss N) (convert-clss U) k (map-option mset C)

```

```

lemma
  raw-state-of-conc (tl-trail S) = tl-trail (raw-state-of-conc S)
  unfolding tl-trail-def by (induction S) (auto simp: map-tl)

```

```

typedef 'v conv-twl-state = {S:: 'v conc-twl-state. wf-twl-state (raw-state-of-conc S)}
morphisms list-twl-state-of cls-twl-state

```

```

proof -
  have TWL-State [] [] 0 None  $\in$  {S:: 'v conc-twl-state. wf-twl-state (raw-state-of-conc S)}
  by (auto simp: wf-twl-state-def)
  then show ?thesis by blast

```

```

qed
term list-twl-state-of

```

```

definition watch-list :: 'v conv-twl-state  $\Rightarrow$  'v literal list  $\Rightarrow$  'v literal list twl-clause where
  watch-list S' C =
    (let
      M = trail (list-twl-state-of S');
      C' = remdups C;

```



```

negation-not-assigned = filter (λL. -L ∉ lits-of M) C';
negation-assigned-sorted-by-trail = filter (λL. L ∈ set C) (map (λL. -lit-of L) M);
W = take 2 (negation-not-assigned @ negation-assigned-sorted-by-trail);
UW = foldl (λa l. remove1 l a) C W
in TWL-Clause W UW)

```

```

lemma wf-watch-nat: no-dup (trail (list-twl-state-of S)) ⇒
  wf-twl-cls (trail (list-twl-state-of S)) (raw-clause-l (watch-list S C))
apply (simp only: watch-list-def Let-def raw-clause-l.simps)
using wf-watch-witness[of (list-twl-state-of S) C mset C]
oops

```

end