

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

Mathias Fleury and Jasmin Blanchette

April 20, 2016

Contents

1	Resolution-based techniques	5
1.1	Resolution	5
1.1.1	Simplification Rules	5
1.1.2	Unconstrained Resolution	6
1.1.3	Inference Rule	7
1.1.4	Lemma about the simplified state	12
1.1.5	Resolution and Invariants	13
1.2	Superposition	21
1.2.1	We can now define the rules of the calculus	26
theory	<i>Prop-Resolution</i>	
imports	<i>Partial-Clausal-Logic List-More Wellfounded-More</i>	
begin		

Chapter 1

Resolution-based techniques

This chapter contains the formalisation of resolution and superposition.

1.1 Resolution

1.1.1 Simplification Rules

inductive *simplify* :: 'v clauses \Rightarrow 'v clauses \Rightarrow bool **for** *N* :: 'v clause set **where**

tautology-deletion:

$A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \} \in N \implies simplify\ N\ (N - \{A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}\})$

condensation:

$A + \{\#L\# \} + \{\#L\# \} \in N \implies simplify\ N\ (N - \{A + \{\#L\# \} + \{\#L\# \}\} \cup \{A + \{\#L\# \}\})$

subsumption:

$A \in N \implies A \subset\# B \implies B \in N \implies simplify\ N\ (N - \{B\})$

lemma *simplify-preserves-un-sat'*:

fixes *N N'* :: 'v clauses

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N' \longrightarrow I \models_s N$

<proof>

lemma *simplify-preserves-un-sat*:

fixes *N N'* :: 'v clauses

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N \longrightarrow I \models_s N'$

<proof>

lemma *simplify-preserves-un-sat''*:

fixes *N N'* :: 'v clauses

assumes *simplify N N'*

and *total-over-m I N'*

shows $I \models_s N \longrightarrow I \models_s N'$

<proof>

lemma *simplify-preserves-un-sat-eq*:

fixes *N N'* :: 'v clauses

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N \longleftrightarrow I \models_s N'$

$\langle \text{proof} \rangle$

lemma *simplify-preserves-finite*:

assumes *simplify* ψ ψ'

shows *finite* $\psi \longleftrightarrow$ *finite* ψ'

$\langle \text{proof} \rangle$

lemma *rtranclp-simplify-preserves-finite*:

assumes *rtranclp simplify* ψ ψ'

shows *finite* $\psi \longleftrightarrow$ *finite* ψ'

$\langle \text{proof} \rangle$

lemma *simplify-atms-of-ms*:

assumes *simplify* ψ ψ'

shows *atms-of-ms* $\psi' \subseteq$ *atms-of-ms* ψ

$\langle \text{proof} \rangle$

lemma *rtranclp-simplify-atms-of-ms*:

assumes *rtranclp simplify* ψ ψ'

shows *atms-of-ms* $\psi' \subseteq$ *atms-of-ms* ψ

$\langle \text{proof} \rangle$

lemma *factoring-imp-simplify*:

assumes $\{\#L\# \} + \{\#L\# \} + C \in N$

shows $\exists N'. \text{ simplify } N N'$

$\langle \text{proof} \rangle$

1.1.2 Unconstrained Resolution

type-synonym *'v uncon-state* = *'v clauses*

inductive *uncon-res* :: *'v uncon-state* \Rightarrow *'v uncon-state* \Rightarrow *bool* **where**

resolution:

$\{\#Pos\ p\# \} + C \in N \implies \{\#Neg\ p\# \} + D \in N \implies (\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D) \notin$
already-used

$\implies \text{uncon-res } (N) (N \cup \{C + D\}) \mid$

factoring: $\{\#L\# \} + \{\#L\# \} + C \in N \implies \text{uncon-res } N (N \cup \{C + \{\#L\# \}\})$

lemma *uncon-res-increasing*:

assumes *uncon-res* $S S'$ **and** $\psi \in S$

shows $\psi \in S'$

$\langle \text{proof} \rangle$

lemma *rtranclp-uncon-inference-increasing*:

assumes *rtranclp uncon-res* $S S'$ **and** $\psi \in S$

shows $\psi \in S'$

$\langle \text{proof} \rangle$

Subsumption

definition *subsumes* :: *'a literal multiset* \Rightarrow *'a literal multiset* \Rightarrow *bool* **where**

subsumes $\chi \chi' \longleftrightarrow$

$(\forall I. \text{total-over-}m\ I\ \{\chi'\} \longrightarrow \text{total-over-}m\ I\ \{\chi\})$

$\wedge (\forall I. \text{total-over-}m\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

lemma *subsumes-refl[simp]*:

subsumes $\chi \chi$

$\langle \text{proof} \rangle$

lemma *subsumes-subsumption*:

assumes *subsumes* $D \chi$
and $C \subset\# D$ **and** $\neg \text{tautology } \chi$
shows *subsumes* $C \chi$ $\langle \text{proof} \rangle$

lemma *subsumes-tautology*:

assumes *subsumes* $(C + \{\# \text{Pos } P\# \} + \{\# \text{Neg } P\# \}) \chi$
shows *tautology* χ
 $\langle \text{proof} \rangle$

1.1.3 Inference Rule

type-synonym *'v state* = *'v clauses* \times (*'v clause* \times *'v clause*) *set*

inductive *inference-clause* :: *'v state* \Rightarrow *'v clause* \times (*'v clause* \times *'v clause*) *set* \Rightarrow *bool*

(**infix** \Rightarrow_{Res} 100) **where**

resolution:

$\{\# \text{Pos } p\# \} + C \in N \implies \{\# \text{Neg } p\# \} + D \in N \implies (\{\# \text{Pos } p\# \} + C, \{\# \text{Neg } p\# \} + D) \notin$
already-used

$\implies \text{inference-clause } (N, \text{already-used}) (C + D, \text{already-used} \cup \{(\{\# \text{Pos } p\# \} + C, \{\# \text{Neg } p\# \} + D)\}) \mid$

factoring: $\{\# L\# \} + \{\# L\# \} + C \in N \implies \text{inference-clause } (N, \text{already-used}) (C + \{\# L\# \}, \text{already-used})$

inductive *inference* :: *'v state* \Rightarrow *'v state* \Rightarrow *bool* **where**

inference-step: *inference-clause* S (*clause*, *already-used*)

$\implies \text{inference } S (\text{fst } S \cup \{\text{clause}\}, \text{already-used})$

abbreviation *already-used-inv*

:: *'a literal multiset set* \times (*'a literal multiset* \times *'a literal multiset*) *set* \Rightarrow *bool* **where**

already-used-inv state \equiv

$(\forall (A, B) \in \text{snd state}. \exists p. \text{Pos } p \in\# A \wedge \text{Neg } p \in\# B \wedge$
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi ((A - \{\# \text{Pos } p\# \}) + (B - \{\# \text{Neg } p\# \})))$
 $\vee \text{tautology } ((A - \{\# \text{Pos } p\# \}) + (B - \{\# \text{Neg } p\# \}))))$

lemma *inference-clause-preserves-already-used-inv*:

assumes *inference-clause* $S S'$

and *already-used-inv* S

shows *already-used-inv* $(\text{fst } S \cup \{\text{fst } S'\}, \text{snd } S')$

$\langle \text{proof} \rangle$

lemma *inference-preserves-already-used-inv*:

assumes *inference* $S S'$

and *already-used-inv* S

shows *already-used-inv* S'

$\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-already-used-inv*:

assumes *rtranclp inference* $S S'$

and *already-used-inv* S

shows *already-used-inv* S'

$\langle \text{proof} \rangle$

lemma *subsumes-condensation*:

assumes *subsumes* $(C + \{\#L\# \} + \{\#L\# \}) D$
shows *subsumes* $(C + \{\#L\# \}) D$
 $\langle \text{proof} \rangle$

lemma *simplify-preserves-already-used-inv*:
assumes *simplify* $N N'$
and *already-used-inv* $(N, \text{already-used})$
shows *already-used-inv* $(N', \text{already-used})$
 $\langle \text{proof} \rangle$

lemma
factoring-satisfiable: $I \models \{\#L\# \} + \{\#L\# \} + C \longleftrightarrow I \models \{\#L\# \} + C$ **and**
resolution-satisfiable:
consistent-interp $I \implies I \models \{\#Pos\ p\# \} + C \implies I \models \{\#Neg\ p\# \} + D \implies I \models C + D$ **and**
factoring-same-vars: $\text{atms-of } (\{\#L\# \} + \{\#L\# \} + C) = \text{atms-of } (\{\#L\# \} + C)$
 $\langle \text{proof} \rangle$

lemma *inference-increasing*:
assumes *inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-increasing*:
assumes *rtranclp inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-already-used-increasing*:
assumes *inference-clause* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-already-used-increasing*:
assumes *inference* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-un-sat*:
fixes $N N' :: 'v \text{ clauses}$
assumes *inference-clause* $T T'$
and *total-over-m* $I (\text{fst } T)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T \cup \{\text{fst } T'\}$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-un-sat*:
fixes $N N' :: 'v \text{ clauses}$
assumes *inference* $T T'$
and *total-over-m* $I (\text{fst } T)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-atms-of-ms*:
assumes *inference-clause* $S\ S'$
shows $\text{atms-of-ms } (\text{fst } (\text{fst } S \cup \{\text{fst } S'\}, \text{snd } S')) \subseteq \text{atms-of-ms } (\text{fst } S)$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-atms-of-ms*:
fixes $N\ N' :: 'v \text{ clauses}$
assumes *inference* $T\ T'$
shows $\text{atms-of-ms } (\text{fst } T') \subseteq \text{atms-of-ms } (\text{fst } T)$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-total*:
fixes $N\ N' :: 'v \text{ clauses}$
assumes *inference* $(N, \text{already-used})\ (N', \text{already-used}')$
shows $\text{total-over-m } I\ N \implies \text{total-over-m } I\ N'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-total*:
assumes *rtranclp inference* $T\ T'$
shows $\text{total-over-m } I\ (\text{fst } T) \implies \text{total-over-m } I\ (\text{fst } T')$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-un-sat*:
assumes *rtranclp inference* $N\ N'$
and $\text{total-over-m } I\ (\text{fst } N)$
and *consistent: consistent-interp* I
shows $I \models_s \text{fst } N \longleftrightarrow I \models_s \text{fst } N'$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite*:
assumes *inference* $\psi\ \psi'$ **and** *finite* $(\text{fst } \psi)$
shows *finite* $(\text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-finite-snd*:
assumes *inference-clause* $\psi\ \psi'$ **and** *finite* $(\text{snd } \psi)$
shows *finite* $(\text{snd } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite-snd*:
assumes *inference* $\psi\ \psi'$ **and** *finite* $(\text{snd } \psi)$
shows *finite* $(\text{snd } \psi')$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-finite*:
assumes *rtranclp inference* $\psi\ \psi'$ **and** *finite* $(\text{fst } \psi)$
shows *finite* $(\text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *consistent-interp-insert*:
assumes *consistent-interp* I
and $\text{atm-of } P \notin \text{atm-of } I$

shows *consistent-interp* (insert *P I*)
 ⟨*proof*⟩

lemma *simplify-clause-preserves-sat*:
assumes *simp: simplify* $\psi \psi'$
and *satisfiable* ψ'
shows *satisfiable* ψ
 ⟨*proof*⟩

lemma *simplify-preserves-unsat*:
assumes *inference* $\psi \psi'$
shows *satisfiable* (fst ψ') \longrightarrow *satisfiable* (fst ψ)
 ⟨*proof*⟩

lemma *inference-preserves-unsat*:
assumes *inference*** $S S'$
shows *satisfiable* (fst S') \longrightarrow *satisfiable* (fst S)
 ⟨*proof*⟩

datatype *'v sem-tree* = *Node* *'v 'v sem-tree 'v sem-tree* | *Leaf*

fun *sem-tree-size* :: *'v sem-tree* \Rightarrow *nat* **where**
sem-tree-size *Leaf* = 0 |
sem-tree-size (*Node* - *ag ad*) = 1 + *sem-tree-size* *ag* + *sem-tree-size* *ad*

lemma *sem-tree-size*[*case-names bigger*]:
 ($\bigwedge xs :: 'v \text{ sem-tree. } (\bigwedge ys :: 'v \text{ sem-tree. } \text{sem-tree-size } ys < \text{sem-tree-size } xs \implies P \text{ } ys) \implies P \text{ } xs$)
 $\implies P \text{ } xs$
 ⟨*proof*⟩

fun *partial-interps* :: *'v sem-tree* \Rightarrow *'v interp* \Rightarrow *'v clauses* \Rightarrow *bool* **where**
partial-interps *Leaf I* ψ = ($\exists \chi. \neg I \models \chi \wedge \chi \in \psi \wedge \text{total-over-m } I \{ \chi \}$) |
partial-interps (*Node v ag ad*) *I* $\psi \longleftrightarrow$
 (*partial-interps* *ag* ($I \cup \{ \text{Pos } v \}$) $\psi \wedge \text{partial-interps}$ *ad* ($I \cup \{ \text{Neg } v \}$) ψ)

lemma *simplify-preserve-partial-leaf*:
simplify $N N' \implies \text{partial-interps } \text{Leaf } I \text{ } N \implies \text{partial-interps } \text{Leaf } I \text{ } N'$
 ⟨*proof*⟩

lemma *simplify-preserve-partial-tree*:
assumes *simplify* $N N'$
and *partial-interps* $t I N$
shows *partial-interps* $t I N'$
 ⟨*proof*⟩

lemma *inference-preserve-partial-tree*:
assumes *inference* $S S'$
and *partial-interps* $t I$ (fst S)
shows *partial-interps* $t I$ (fst S')
 ⟨*proof*⟩

lemma *rtranclp-inference-preserve-partial-tree*:

assumes *rtranclp inference* $N\ N'$
and *partial-interps* $t\ I\ (\text{fst } N)$
shows *partial-interps* $t\ I\ (\text{fst } N')$
 $\langle \text{proof} \rangle$

function *build-sem-tree* :: $'v :: \text{linorder set} \Rightarrow 'v \text{ clauses} \Rightarrow 'v \text{ sem-tree}$ **where**

build-sem-tree $\text{atms } \psi =$
 (if $\text{atms} = \{\}$ $\vee \neg \text{finite atms}$
 then *Leaf*
 else *Node* (*Min* atms) (*build-sem-tree* (*Set.remove* (*Min* atms) atms) ψ)
 (*build-sem-tree* (*Set.remove* (*Min* atms) atms) ψ))

$\langle \text{proof} \rangle$

termination

$\langle \text{proof} \rangle$

declare *build-sem-tree.induct*[*case-names tree*]

lemma *unsatisfiable-empty[simp]*:

$\neg \text{unsatisfiable } \{\}$
 $\langle \text{proof} \rangle$

lemma *partial-interps-build-sem-tree-atms-general*:

fixes $\psi :: 'v :: \text{linorder clauses}$ **and** $p :: 'v \text{ literal list}$
assumes *unsat: unsatisfiable* ψ **and** *finite* ψ **and** *consistent-interp* I
and *finite* atms
and $\text{atms-of-ms } \psi = \text{atms} \cup \text{atms-of-s } I$ **and** $\text{atms} \cap \text{atms-of-s } I = \{\}$
shows *partial-interps* (*build-sem-tree* $\text{atms } \psi$) $I\ \psi$
 $\langle \text{proof} \rangle$

lemma *partial-interps-build-sem-tree-atms*:

fixes $\psi :: 'v :: \text{linorder clauses}$ **and** $p :: 'v \text{ literal list}$
assumes *unsat: unsatisfiable* ψ **and** *finite: finite* ψ
shows *partial-interps* (*build-sem-tree* ($\text{atms-of-ms } \psi$) ψ) $\{\} \psi$
 $\langle \text{proof} \rangle$

lemma *can-decrease-count*:

fixes $\psi'' :: 'v \text{ clauses} \times ('v \text{ clause} \times 'v \text{ clause} \times 'v) \text{ set}$
assumes *count* $\chi\ L = n$
and $L \in \# \chi$ **and** $\chi \in \text{fst } \psi$
shows $\exists \psi' \chi'. \text{inference}^{**} \psi\ \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$
 $\wedge \text{count } \chi' L = 1$
 $\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$
 $\wedge (I \models \chi \longleftrightarrow I \models \chi')$
 $\wedge (\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi'\})$
 $\langle \text{proof} \rangle$

lemma *can-decrease-tree-size*:

fixes $\psi :: 'v \text{ state}$ **and** $\text{tree} :: 'v \text{ sem-tree}$
assumes *finite* ($\text{fst } \psi$) **and** *already-used-inv* ψ
and *partial-interps* $\text{tree } I\ (\text{fst } \psi)$
shows $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{inference}^{**} \psi\ \psi' \wedge \text{partial-interps } \text{tree}'\ I\ (\text{fst } \psi')$
 $\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0)$
 $\langle \text{proof} \rangle$

lemma *inference-completeness-inv*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes
 $\text{unsat}: \neg \text{satisfiable } (\text{fst } \psi)$ **and**
 $\text{finite}: \text{finite } (\text{fst } \psi)$ **and**
 $\text{a-u-v}: \text{already-used-inv } \psi$
shows $\exists \psi'. (\text{inference}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes $\text{unsat}: \neg \text{satisfiable } (\text{fst } \psi)$
and $\text{finite}: \text{finite } (\text{fst } \psi)$
and $\text{snd } \psi = \{\}$
shows $\exists \psi'. (\text{rtranclp inference } \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-soundness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes $\text{rtranclp inference } \psi \psi' \text{ and } \{\#\} \in \text{fst } \psi'$
shows $\neg \text{satisfiable } (\text{fst } \psi)$
 $\langle \text{proof} \rangle$

lemma *inference-soundness-and-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes $\text{finite}: \text{finite } (\text{fst } \psi)$
and $\text{snd } \psi = \{\}$
shows $(\exists \psi'. (\text{inference}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \neg \text{satisfiable } (\text{fst } \psi)$
 $\langle \text{proof} \rangle$

1.1.4 Lemma about the simplified state

abbreviation $\text{simplified } \psi \equiv (\text{no-step simplify } \psi)$

lemma *simplified-count*:
assumes $\text{simp}: \text{simplified } \psi$ **and** $\chi: \chi \in \psi$
shows $\text{count } \chi L \leq 1$
 $\langle \text{proof} \rangle$

lemma *simplified-no-both*:
assumes $\text{simp}: \text{simplified } \psi$ **and** $\chi: \chi \in \psi$
shows $\neg (L \in \# \chi \wedge \neg L \in \# \chi)$
 $\langle \text{proof} \rangle$

lemma *simplified-not-tautology*:
assumes $\text{simplified } \{\psi\}$
shows $\sim \text{tautology } \psi$
 $\langle \text{proof} \rangle$

lemma *simplified-remove*:
assumes $\text{simplified } \{\psi\}$
shows $\text{simplified } \{\psi - \{\#l\#\}\}$
 $\langle \text{proof} \rangle$

lemma *in-simplified-simplified*:

assumes *simp: simplified ψ* **and** *incl: $\psi' \subseteq \psi$*
shows *simplified ψ'*
 $\langle proof \rangle$

lemma *simplified-in:*
assumes *simplified ψ*
and *$N \in \psi$*
shows *simplified $\{N\}$*
 $\langle proof \rangle$

lemma *subsumes-imp-formula:*
assumes *$\psi \leq \# \varphi$*
shows *$\{\psi\} \models_p \varphi$*
 $\langle proof \rangle$

lemma *simplified-imp-distinct-mset-tauto:*
assumes *simp: simplified ψ'*
shows *distinct-mset-set ψ' and $\forall \chi \in \psi'. \neg \text{tautology } \chi$*
 $\langle proof \rangle$

lemma *simplified-no-more-full1-simplified:*
assumes *simplified ψ*
shows *$\neg \text{full1 simplify } \psi \psi'$*
 $\langle proof \rangle$

1.1.5 Resolution and Invariants

inductive *resolution* :: *'v state \Rightarrow 'v state \Rightarrow bool* **where**
full1-simp: full1 simplify $N N' \Rightarrow$ resolution $(N, \text{already-used}) (N', \text{already-used})$ |
inferring: inference $(N, \text{already-used}) (N', \text{already-used}') \Rightarrow$ simplified N
 \Rightarrow full simplify $N' N'' \Rightarrow$ resolution $(N, \text{already-used}) (N'', \text{already-used}')$

Invariants

lemma *resolution-finite:*
assumes *resolution $\psi \psi'$ and finite $(fst \psi)$*
shows *finite $(fst \psi')$*
 $\langle proof \rangle$

lemma *rtrancp-resolution-finite:*
assumes *resolution** $\psi \psi'$ and finite $(fst \psi)$*
shows *finite $(fst \psi')$*
 $\langle proof \rangle$

lemma *resolution-finite-snd:*
assumes *resolution $\psi \psi'$ and finite $(snd \psi)$*
shows *finite $(snd \psi')$*
 $\langle proof \rangle$

lemma *rtrancp-resolution-finite-snd:*
assumes *resolution** $\psi \psi'$ and finite $(snd \psi)$*
shows *finite $(snd \psi')$*
 $\langle proof \rangle$

lemma *resolution-always-simplified:*
assumes *resolution $\psi \psi'$*

shows *simplified* (*fst* ψ')
 $\langle \text{proof} \rangle$

lemma *trancpl-resolution-always-simplified*:

assumes *trancpl resolution* $\psi \psi'$

shows *simplified* (*fst* ψ')

$\langle \text{proof} \rangle$

lemma *resolution-atms-of*:

assumes *resolution* $\psi \psi'$ **and** *finite* (*fst* ψ)

shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)

$\langle \text{proof} \rangle$

lemma *rtrancpl-resolution-atms-of*:

assumes *resolution*** $\psi \psi'$ **and** *finite* (*fst* ψ)

shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)

$\langle \text{proof} \rangle$

lemma *resolution-include*:

assumes *res: resolution* $\psi \psi'$ **and** *finite: finite* (*fst* ψ)

shows *fst* $\psi' \subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))

$\langle \text{proof} \rangle$

lemma *rtrancpl-resolution-include*:

assumes *res: trancpl resolution* $\psi \psi'$ **and** *finite: finite* (*fst* ψ)

shows *fst* $\psi' \subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))

$\langle \text{proof} \rangle$

abbreviation *already-used-all-simple*

$:: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**

already-used-all-simple *already-used* *vars* \equiv

$(\forall (A, B) \in \text{already-used}. \text{simplified } \{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars})$

lemma *already-used-all-simple-vars-incl*:

assumes *vars* \subseteq *vars'*

shows *already-used-all-simple* *a vars* \implies *already-used-all-simple* *a vars'*

$\langle \text{proof} \rangle$

lemma *inference-clause-preserves-already-used-all-simple*:

assumes *inference-clause* $S S'$

and *already-used-all-simple* (*snd* S) *vars*

and *simplified* (*fst* S)

and *atms-of-ms* (*fst* S) \subseteq *vars*

shows *already-used-all-simple* (*snd* (*fst* $S \cup \{\text{fst } S'\}$, *snd* S')) *vars*

$\langle \text{proof} \rangle$

lemma *inference-preserves-already-used-all-simple*:

assumes *inference* $S S'$

and *already-used-all-simple* (*snd* S) *vars*

and *simplified* (*fst* S)

and *atms-of-ms* (*fst* S) \subseteq *vars*

shows *already-used-all-simple* (*snd* S') *vars*

$\langle \text{proof} \rangle$

lemma *already-used-all-simple-inv*:

assumes *resolution* $S S'$

and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
 $\langle \text{proof} \rangle$

lemma *rtrancp-already-used-all-simple-inv*:
assumes *resolution*** *S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
and *finite* (*fst S*)
shows *already-used-all-simple* (*snd S'*) *vars*
 $\langle \text{proof} \rangle$

lemma *inference-clause-simplified-already-used-subset*:
assumes *inference-clause* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 $\langle \text{proof} \rangle$

lemma *inference-simplified-already-used-subset*:
assumes *inference* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 $\langle \text{proof} \rangle$

lemma *resolution-simplified-already-used-subset*:
assumes *resolution* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-simplified-already-used-subset*:
assumes *trancp resolution* *S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
 $\langle \text{proof} \rangle$

abbreviation *already-used-top vars* \equiv *simple-clss vars* \times *simple-clss vars*

lemma *already-used-all-simple-in-already-used-top*:
assumes *already-used-all-simple* *s vars* **and** *finite vars*
shows *s* \subseteq *already-used-top vars*
 $\langle \text{proof} \rangle$

lemma *already-used-top-finite*:
assumes *finite vars*
shows *finite* (*already-used-top vars*)
 $\langle \text{proof} \rangle$

lemma *already-used-top-increasing*:
assumes *var* \subseteq *var'* **and** *finite var'*
shows *already-used-top var* \subseteq *already-used-top var'*
 $\langle \text{proof} \rangle$

lemma *already-used-all-simple-finite*:
fixes *s* :: ('a literal multiset \times 'a literal multiset) *set* **and** *vars* :: 'a *set*

assumes *already-used-all-simple s vars* **and** *finite vars*
shows *finite s*
 $\langle \text{proof} \rangle$

abbreviation *card-simple vars $\psi \equiv \text{card}(\text{already-used-top vars} - \psi)$*

lemma *resolution-card-simple-decreasing:*

assumes *res: resolution $\psi \psi'$*
and *a-u-s: already-used-all-simple (snd ψ) vars*
and *finite-v: finite vars*
and *finite-fst: finite (fst ψ)*
and *finite-snd: finite (snd ψ)*
and *simp: simplified (fst ψ)*
and *atms-of-ms (fst ψ) \subseteq vars*
shows *card-simple vars (snd ψ') $<$ card-simple vars (snd ψ)*
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-card-simple-decreasing:*

assumes *trancp resolution $\psi \psi'$ and finite-fst: finite (fst ψ)*
and *already-used-all-simple (snd ψ) vars*
and *atms-of-ms (fst ψ) \subseteq vars*
and *finite-v: finite vars*
and *finite-snd: finite (snd ψ)*
and *simplified (fst ψ)*
shows *card-simple vars (snd ψ') $<$ card-simple vars (snd ψ)*
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-card-simple-decreasing-2:*

assumes *trancp resolution $\psi \psi'$*
and *finite-fst: finite (fst ψ)*
and *empty-snd: snd $\psi = \{\}$*
and *simplified (fst ψ)*
shows *card-simple (atms-of-ms (fst ψ)) (snd ψ') $<$ card-simple (atms-of-ms (fst ψ)) (snd ψ)*
 $\langle \text{proof} \rangle$

well-foundness if the relation

lemma *wf-simplified-resolution:*

assumes *f-vars: finite vars*
shows *wf $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars})} \wedge \text{resolution } x y\}$*
 $\langle \text{proof} \rangle$

lemma *wf-simplified-resolution':*

assumes *f-vars: finite vars*
shows *wf $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \neg \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars})} \wedge \text{resolution } x y\}$*
 $\langle \text{proof} \rangle$

lemma *wf-resolution:*

assumes *f-vars: finite vars*
shows *wf $(\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars})} \wedge \text{resolution } x y\} \cup \{(y, x). (\text{atms-of-ms (fst } x) \subseteq \text{vars} \wedge \neg \text{simplified (fst } x) \wedge \text{finite (snd } x) \wedge \text{finite (fst } x) \wedge \text{already-used-all-simple (snd } x) \text{ vars})} \wedge \text{resolution } x y\})$*

$\wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x \ y\} \text{ (is wf } (?R \cup ?S))$
 $\langle \text{proof} \rangle$

lemma *rtrancp-simplify-already-used-inv*:
assumes *simplify*** $S \ S'$
and *already-used-inv* (S, N)
shows *already-used-inv* (S', N)
 $\langle \text{proof} \rangle$

lemma *full1-simplify-already-used-inv*:
assumes *full1 simplify* $S \ S'$
and *already-used-inv* (S, N)
shows *already-used-inv* (S', N)
 $\langle \text{proof} \rangle$

lemma *full-simplify-already-used-inv*:
assumes *full simplify* $S \ S'$
and *already-used-inv* (S, N)
shows *already-used-inv* (S', N)
 $\langle \text{proof} \rangle$

lemma *resolution-already-used-inv*:
assumes *resolution* $S \ S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-already-used-inv*:
assumes *resolution*** $S \ S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *rtanclp-simplify-preserves-unsat*:
assumes *simplify*** $\psi \ \psi'$
shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 $\langle \text{proof} \rangle$

lemma *full1-simplify-preserves-unsat*:
assumes *full1 simplify* $\psi \ \psi'$
shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 $\langle \text{proof} \rangle$

lemma *full-simplify-preserves-unsat*:
assumes *full simplify* $\psi \ \psi'$
shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 $\langle \text{proof} \rangle$

lemma *resolution-preserves-unsat*:
assumes *resolution* $\psi \ \psi'$
shows *satisfiable* $(fst \ \psi') \longrightarrow \text{satisfiable } (fst \ \psi)$
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-preserves-unsat*:
assumes *resolution*** $\psi \ \psi'$
shows *satisfiable* $(fst \ \psi') \longrightarrow \text{satisfiable } (fst \ \psi)$
 $\langle \text{proof} \rangle$

lemma *rtrancp-simplify-preserve-partial-tree*:
assumes *simplify** N N'*
and *partial-interps t I N*
shows *partial-interps t I N'*
 $\langle \text{proof} \rangle$

lemma *full1-simplify-preserve-partial-tree*:
assumes *full1 simplify N N'*
and *partial-interps t I N*
shows *partial-interps t I N'*
 $\langle \text{proof} \rangle$

lemma *full-simplify-preserve-partial-tree*:
assumes *full simplify N N'*
and *partial-interps t I N*
shows *partial-interps t I N'*
 $\langle \text{proof} \rangle$

lemma *resolution-preserve-partial-tree*:
assumes *resolution S S'*
and *partial-interps t I (fst S)*
shows *partial-interps t I (fst S')*
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-preserve-partial-tree*:
assumes *resolution** S S'*
and *partial-interps t I (fst S)*
shows *partial-interps t I (fst S')*
 $\langle \text{proof} \rangle$
thm *nat-less-induct nat.induct*

lemma *nat-ge-induct[case-names 0 Suc]*:
assumes *P 0*
and $\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P m) \implies P (\text{Suc } n)$
shows *P n*
 $\langle \text{proof} \rangle$

lemma *wf-always-more-step-False*:
assumes *wf R*
shows $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$
 $\langle \text{proof} \rangle$

lemma *finite-finite-mset-element-of-mset[simp]*:
assumes *finite N*
shows *finite $\{f \varphi L \mid \varphi L. \varphi \in N \wedge L \in \# \varphi \wedge P \varphi L\}$*
 $\langle \text{proof} \rangle$

definition *sum-count-ge-2* :: *'a multiset set \Rightarrow nat (Ξ) where*
sum-count-ge-2 \equiv folding.F $(\lambda \varphi. \text{op} + (\text{msetsum } \{\# \text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}))$ 0

interpretation *sum-count-ge-2*:
folding $(\lambda \varphi. \text{op} + (\text{msetsum } \{\# \text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}))$ 0
rewrites

folding.F ($\lambda\varphi. op + (msetsum \{ \#count \varphi L \mid L \in \# \varphi. 2 \leq count \varphi L \# \})$) $0 = sum-count-ge-2$
 <proof>

lemma *finite-incl-le-setsum*:

finite ($B :: 'a \text{ multiset set}$) $\implies A \subseteq B \implies \Xi A \leq \Xi B$
 <proof>

lemma *simplify-finite-measure-decrease*:

simplify $N N' \implies \text{finite } N \implies card N' + \Xi N' < card N + \Xi N$
 <proof>

lemma *simplify-terminates*:

wf $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\}$
 <proof>

lemma *wf-terminates*:

assumes *wf* r
shows $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$
 <proof>

lemma *rtranclp-simplify-terminates*:

assumes *fin*: *finite* N
shows $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$
 <proof>

lemma *finite-simplified-full1-simp*:

assumes *finite* N
shows $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$
 <proof>

lemma *finite-simplified-full-simp*:

assumes *finite* N
shows $\exists N'. \text{full simplify } N N'$
 <proof>

lemma *can-decrease-tree-size-resolution*:

fixes $\psi :: 'v \text{ state}$ **and** $\text{tree} :: 'v \text{ sem-tree}$
assumes *finite* (*fst* ψ) **and** *already-used-inv* ψ
and *partial-interps* $\text{tree } I$ (*fst* ψ)
and *simplified* (*fst* ψ)
shows $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' I$ (*fst* ψ')
 $\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0)$
 <proof>

lemma *resolution-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes
unsat: $\neg \text{satisfiable}$ (*fst* ψ) **and**
finite: *finite* (*fst* ψ) **and**
a-u-v: *already-used-inv* ψ
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 <proof>

lemma *resolution-preserves-already-used-inv*:

assumes *resolution* $S\ S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle proof \rangle$

lemma *rtrancp-resolution-preserves-already-used-inv*:
assumes *resolution*** $S\ S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle proof \rangle$

lemma *resolution-completeness*:
fixes $\psi :: 'v :: linorder\ state$
assumes *unsat*: $\neg \text{satisfiable}\ (fst\ \psi)$
and *finite*: *finite* $(fst\ \psi)$
and *snd* $\psi = \{\}$
shows $\exists \psi'. (\text{resolution**}\ \psi\ \psi' \wedge \{\#\} \in fst\ \psi')$
 $\langle proof \rangle$

lemma *rtrancp-preserves-sat*:
assumes *simplify*** $S\ S'$
and *satisfiable* S
shows *satisfiable* S'
 $\langle proof \rangle$

lemma *resolution-preserves-sat*:
assumes *resolution* $S\ S'$
and *satisfiable* $(fst\ S)$
shows *satisfiable* $(fst\ S')$
 $\langle proof \rangle$

lemma *rtrancp-resolution-preserves-sat*:
assumes *resolution*** $S\ S'$
and *satisfiable* $(fst\ S)$
shows *satisfiable* $(fst\ S')$
 $\langle proof \rangle$

lemma *resolution-soundness*:
fixes $\psi :: 'v :: linorder\ state$
assumes *resolution*** $\psi\ \psi'$ **and** $\{\#\} \in fst\ \psi'$
shows *unsatisfiable* $(fst\ \psi)$
 $\langle proof \rangle$

lemma *resolution-soundness-and-completeness*:
fixes $\psi :: 'v :: linorder\ state$
assumes *finite*: *finite* $(fst\ \psi)$
and *snd*: *snd* $\psi = \{\}$
shows $(\exists \psi'. (\text{resolution**}\ \psi\ \psi' \wedge \{\#\} \in fst\ \psi')) \longleftrightarrow \text{unsatisfiable}\ (fst\ \psi)$
 $\langle proof \rangle$

lemma *simplified-falsity*:
assumes *simp*: *simplified* ψ
and $\{\#\} \in \psi$
shows $\psi = \{\{\#\}\}$
 $\langle proof \rangle$

```

lemma simplify-falsity-in-preserved:
  assumes simplify  $\chi s \chi s'$ 
  and  $\{\#\} \in \chi s$ 
  shows  $\{\#\} \in \chi s'$ 
   $\langle proof \rangle$ 

lemma rtrancp-simplify-falsity-in-preserved:
  assumes simplify**  $\chi s \chi s'$ 
  and  $\{\#\} \in \chi s$ 
  shows  $\{\#\} \in \chi s'$ 
   $\langle proof \rangle$ 

lemma resolution-falsity-get-falsity-alone:
  assumes finite (fst  $\psi$ )
  shows  $(\exists \psi'. (resolution^{**} \psi \psi' \wedge \{\#\} \in fst \psi')) \longleftrightarrow (\exists a-u-v. resolution^{**} \psi (\{\{\#\}\}, a-u-v))$ 
  (is  $?A \longleftrightarrow ?B$ )
   $\langle proof \rangle$ 

lemma resolution-soundness-and-completeness':
  fixes  $\psi :: 'v :: linorder\ state$ 
  assumes
    finite: finite (fst  $\psi$ ) and
    snd: snd  $\psi = \{\}$ 
  shows  $(\exists a-u-v. (resolution^{**} \psi (\{\{\#\}\}, a-u-v))) \longleftrightarrow unsatisfiable (fst \psi)$ 
   $\langle proof \rangle$ 

end
theory Prop-Superposition
imports Partial-Clausal-Logic ../lib/Herbrand-Interpretation
begin

```

1.2 Superposition

```

no-notation Herbrand-Interpretation.true-cls (infix  $\models$  50)
notation Herbrand-Interpretation.true-cls (infix  $\models_h$  50)

no-notation Herbrand-Interpretation.true-clss (infix  $\models_s$  50)
notation Herbrand-Interpretation.true-clss (infix  $\models_{hs}$  50)

lemma herbrand-interp-iff-partial-interp-cls:
   $S \models_h C \longleftrightarrow \{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\} \models C$ 
   $\langle proof \rangle$ 

lemma herbrand-consistent-interp:
  consistent-interp  $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})$ 
   $\langle proof \rangle$ 

lemma herbrand-total-over-set:
  total-over-set  $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})\ T$ 
   $\langle proof \rangle$ 

lemma herbrand-total-over-m:
  total-over-m  $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})\ T$ 
   $\langle proof \rangle$ 

```

lemma *herbrand-interp-iff-partial-interp-clss:*

$S \models_{hs} C \longleftrightarrow \{Pos\ P | P. P \in S\} \cup \{Neg\ P | P. P \notin S\} \models_s C$
 $\langle proof \rangle$

definition *clss-lt* :: 'a::wellorder clauses \Rightarrow 'a clause \Rightarrow 'a clauses **where**

clss-lt $N\ C = \{D \in N. D \# \subset \# C\}$

notation (*latex output*)

clss-lt ($-\hat{<}^{bsup} - \hat{<}^{esup}$)

locale *selection* =

fixes $S :: 'a\ clause \Rightarrow 'a\ clause$

assumes

$S\text{-selects-subseteq}: \bigwedge C. S\ C \leq \# C$ **and**

$S\text{-selects-neg-lits}: \bigwedge C\ L. L \in \# S\ C \implies is\text{-neg}\ L$

locale *ground-resolution-with-selection* =

selection S **for** $S :: ('a :: wellorder)\ clause \Rightarrow 'a\ clause$

begin

context

fixes $N :: 'a\ clause\ set$

begin

We do not create an equivalent of δ , but we directly defined N_C by inlining the definition.

function

production :: 'a clause \Rightarrow 'a interp

where

production $C =$

$\{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max}(\text{set-mset}\ C) = \text{Pos}\ A \wedge \text{count}\ C\ (\text{Pos}\ A) \leq 1$
 $\wedge \neg (\bigcup D \in \{D. D \# \subset \# C\}. \text{production}\ D) \models_h C \wedge S\ C = \{\#\}\}$

$\langle proof \rangle$

termination $\langle proof \rangle$

declare *production.simps*[*simp del*]

definition *interp* :: 'a clause \Rightarrow 'a interp **where**

interp $C = (\bigcup D \in \{D. D \# \subset \# C\}. \text{production}\ D)$

lemma *production-unfold:*

production $C = \{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max}(\text{set-mset}\ C) = \text{Pos}\ A \wedge \text{count}\ C\ (\text{Pos}\ A) \leq 1 \wedge \neg$
 $\text{interp}\ C \models_h C \wedge S\ C = \{\#\}\}$

$\langle proof \rangle$

abbreviation *productive* $A \equiv (\text{production}\ A \neq \{\})$

abbreviation *produces* :: 'a clause \Rightarrow 'a \Rightarrow bool **where**

produces $C\ A \equiv \text{production}\ C = \{A\}$

lemma *producesD:*

produces $C\ A \implies C \in N \wedge C \neq \{\#\} \wedge \text{Pos}\ A = \text{Max}(\text{set-mset}\ C) \wedge \text{count}\ C\ (\text{Pos}\ A) \leq 1 \wedge \neg$
 $\text{interp}\ C \models_h C \wedge S\ C = \{\#\}$

$\langle proof \rangle$

lemma *produces* $C\ A \implies \text{Pos}\ A \in \# C$

$\langle \text{proof} \rangle$

lemma *interp'-def-in-set:*

$\text{interp } C = (\bigcup D \in \{D \in N. D \# \subset \# C\}. \text{production } D)$

$\langle \text{proof} \rangle$

lemma *production-iff-produces:*

$\text{produces } D A \longleftrightarrow A \in \text{production } D$

$\langle \text{proof} \rangle$

definition *Interp :: 'a clause \Rightarrow 'a interp where*

$\text{Interp } C = \text{interp } C \cup \text{production } C$

lemma

assumes *produces C P*

shows *Interp C \models_h C*

$\langle \text{proof} \rangle$

definition *INTERP :: 'a interp where*

$\text{INTERP} = (\bigcup D \in N. \text{production } D)$

lemma *interp-subseteq-Interp[simp]:* $\text{interp } C \subseteq \text{Interp } C$

$\langle \text{proof} \rangle$

lemma *Interp-as-UNION:* $\text{Interp } C = (\bigcup D \in \{D. D \# \subseteq \# C\}. \text{production } D)$

$\langle \text{proof} \rangle$

lemma *productive-not-empty:* $\text{productive } C \Longrightarrow C \neq \{\#\}$

$\langle \text{proof} \rangle$

lemma *productive-imp-produces-Max-literal:* $\text{productive } C \Longrightarrow \text{produces } C (\text{atm-of } (\text{Max } (\text{set-mset } C)))$

$\langle \text{proof} \rangle$

lemma *productive-imp-produces-Max-atom:* $\text{productive } C \Longrightarrow \text{produces } C (\text{Max } (\text{atms-of } C))$

$\langle \text{proof} \rangle$

lemma *produces-imp-Max-literal:* $\text{produces } C A \Longrightarrow A = \text{atm-of } (\text{Max } (\text{set-mset } C))$

$\langle \text{proof} \rangle$

lemma *produces-imp-Max-atom:* $\text{produces } C A \Longrightarrow A = \text{Max } (\text{atms-of } C)$

$\langle \text{proof} \rangle$

lemma *produces-imp-Pos-in-lits:* $\text{produces } C A \Longrightarrow \text{Pos } A \in \# C$

$\langle \text{proof} \rangle$

lemma *productive-in-N:* $\text{productive } C \Longrightarrow C \in N$

$\langle \text{proof} \rangle$

lemma *produces-imp-atms-leq:* $\text{produces } C A \Longrightarrow B \in \text{atms-of } C \Longrightarrow B \leq A$

$\langle \text{proof} \rangle$

lemma *produces-imp-neg-notin-lits:* $\text{produces } C A \Longrightarrow \text{Neg } A \notin \# C$

$\langle \text{proof} \rangle$

lemma *less-eq-imp-interp-subseteq-interp:* $C \# \subseteq \# D \Longrightarrow \text{interp } C \subseteq \text{interp } D$

$\langle \text{proof} \rangle$

lemma *less-eq-imp-interp-subseteq-Interp*: $C \# \subseteq \# D \implies \text{interp } C \subseteq \text{Interp } D$

$\langle \text{proof} \rangle$

lemma *less-imp-production-subseteq-interp*: $C \# \subset \# D \implies \text{production } C \subseteq \text{interp } D$

$\langle \text{proof} \rangle$

lemma *less-eq-imp-production-subseteq-Interp*: $C \# \subseteq \# D \implies \text{production } C \subseteq \text{Interp } D$

$\langle \text{proof} \rangle$

lemma *less-imp-Interp-subseteq-interp*: $C \# \subset \# D \implies \text{Interp } C \subseteq \text{interp } D$

$\langle \text{proof} \rangle$

lemma *less-eq-imp-Interp-subseteq-Interp*: $C \# \subseteq \# D \implies \text{Interp } C \subseteq \text{Interp } D$

$\langle \text{proof} \rangle$

lemma *false-Interp-to-true-interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{interp } D \implies C \# \subset \# D$

$\langle \text{proof} \rangle$

lemma *false-interp-to-true-interp-imp-less-multiset*: $A \notin \text{interp } C \implies A \in \text{interp } D \implies C \# \subset \# D$

$\langle \text{proof} \rangle$

lemma *false-Interp-to-true-Interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{Interp } D \implies C \# \subset \# D$

$\langle \text{proof} \rangle$

lemma *false-interp-to-true-Interp-imp-le-multiset*: $A \notin \text{interp } C \implies A \in \text{Interp } D \implies C \# \subseteq \# D$

$\langle \text{proof} \rangle$

lemma *interp-subseteq-INTERP*: $\text{interp } C \subseteq \text{INTERP}$

$\langle \text{proof} \rangle$

lemma *production-subseteq-INTERP*: $\text{production } C \subseteq \text{INTERP}$

$\langle \text{proof} \rangle$

lemma *Interp-subseteq-INTERP*: $\text{Interp } C \subseteq \text{INTERP}$

$\langle \text{proof} \rangle$

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

lemma *produces-imp-in-interp*:

assumes *a-in-c*: $\text{Neg } A \in \# C$ **and** *d*: *produces* $D A$

shows $A \in \text{interp } C$

$\langle \text{proof} \rangle$

lemma *neg-notin-Interp-not-produce*: $\text{Neg } A \in \# C \implies A \notin \text{Interp } D \implies C \# \subseteq \# D \implies \neg \text{produces } D'' A$

$\langle \text{proof} \rangle$

lemma *in-production-imp-produces*: $A \in \text{production } C \implies \text{produces } C A$

$\langle \text{proof} \rangle$

lemma *not-produces-imp-notin-production*: $\neg \text{produces } C A \implies A \notin \text{production } C$

$\langle \text{proof} \rangle$

lemma *not-produces-imp-notin-interp*: $(\bigwedge D. \neg \text{produces } D A) \implies A \notin \text{interp } C$

$\langle \text{proof} \rangle$

The results below corresponds to Lemma 3.4.

Nitpicking: If $D = D'$ and D is productive, $I^D \subseteq I_{D'}$ does not hold.

lemma *true-Interp-imp-general:*

assumes

c-le-d: $C \# \subseteq \# D$ **and**

d-lt-d': $D \# \subset \# D'$ **and**

c-at-d: $\text{Interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

<proof>

lemma *true-Interp-imp-interp:* $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{Interp } D \models_h C \implies \text{interp } D' \models_h C$

<proof>

lemma *true-Interp-imp-Interp:* $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{Interp } D \models_h C \implies \text{Interp } D' \models_h C$

<proof>

lemma *true-Interp-imp-INTERP:* $C \# \subseteq \# D \implies \text{Interp } D \models_h C \implies \text{INTERP} \models_h C$

<proof>

lemma *true-interp-imp-general:*

assumes

c-le-d: $C \# \subseteq \# D$ **and**

d-lt-d': $D \# \subset \# D'$ **and**

c-at-d: $\text{interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

<proof>

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

lemma *true-interp-imp-interp:* $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$

<proof>

lemma *true-interp-imp-Interp:* $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$

<proof>

lemma *true-interp-imp-INTERP:* $C \# \subseteq \# D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$

<proof>

lemma *productive-imp-false-interp:* $\text{productive } C \implies \neg \text{interp } C \models_h C$

<proof>

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

lemma *cls-gt-double-pos-no-production:*

assumes $D: \{\#Pos P, Pos P\} \# \subset \# C$

shows $\neg \text{produces } C P$

<proof>

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

lemma

assumes $D: C + \{\#Neg P\} \# \subset \# D$

shows $\text{production } D \neq \{P\}$

$\langle proof \rangle$

lemma *in-interp-is-produced*:

assumes $P \in INTERP$

shows $\exists D. D + \{\#Pos\ P\# \} \in N \wedge produces\ (D + \{\#Pos\ P\# \})\ P$

$\langle proof \rangle$

end

end

abbreviation $MMax\ M \equiv Max\ (set-mset\ M)$

1.2.1 We can now define the rules of the calculus

inductive *superposition-rules* :: '*a clause* \Rightarrow '*a clause* \Rightarrow '*a clause* \Rightarrow bool **where**

factoring: *superposition-rules* $(C + \{\#Pos\ P\# \} + \{\#Pos\ P\# \})\ B\ (C + \{\#Pos\ P\# \})\ |$

superposition-l: *superposition-rules* $(C_1 + \{\#Pos\ P\# \})\ (C_2 + \{\#Neg\ P\# \})\ (C_1 + C_2)$

inductive *superposition* :: '*a clauses* \Rightarrow '*a clauses* \Rightarrow bool **where**

superposition: $A \in N \Longrightarrow B \in N \Longrightarrow superposition-rules\ A\ B\ C$

$\Longrightarrow superposition\ N\ (N \cup \{C\})$

definition *abstract-red* :: '*a::wellorder clause* \Rightarrow '*a clauses* \Rightarrow bool **where**

abstract-red $C\ N = (clss-lt\ N\ C \models_p C)$

lemma *less-multiset[iff]*: $M < N \longleftrightarrow M \# \subset \# N$

$\langle proof \rangle$

lemma *less-eq-multiset[iff]*: $M \leq N \longleftrightarrow M \# \subseteq \# N$

$\langle proof \rangle$

lemma *herbrand-true-clss-true-clss-clss-herbrand-true-clss*:

assumes

$AB: A \models_{hs} B$ **and**

$BC: B \models_p C$

shows $A \models_h C$

$\langle proof \rangle$

lemma *abstract-red-subset-mset-abstract-red*:

assumes

abstr: *abstract-red* $C\ N$ **and**

c-lt-d: $C \subseteq \# D$

shows *abstract-red* $D\ N$

$\langle proof \rangle$

lemma *true-clss-clss-extended*:

assumes

$A \models_p B$ **and**

tot: *total-over-m* $I\ A$ **and**

cons: *consistent-interp* I **and**

$I-A: I \models_s A$

shows $I \models B$

$\langle proof \rangle$

lemma

assumes
 $CP: \neg \text{class-}lt\ N (\{ \#C\# \} + \{ \#E\# \}) \models_p \{ \#C\# \} + \{ \#Neg\ P\# \}$ **and**
 $\text{class-}lt\ N (\{ \#C\# \} + \{ \#E\# \}) \models_p \{ \#E\# \} + \{ \#Pos\ P\# \} \vee \text{class-}lt\ N (\{ \#C\# \} + \{ \#E\# \}) \models_p$
 $\{ \#C\# \} + \{ \#Neg\ P\# \}$
shows $\text{class-}lt\ N (\{ \#C\# \} + \{ \#E\# \}) \models_p \{ \#E\# \} + \{ \#Pos\ P\# \}$

$\langle proof \rangle$

locale *ground-ordered-resolution-with-redundancy* =
ground-resolution-with-selection +
fixes *redundant* :: 'a::wellorder clause \Rightarrow 'a clauses \Rightarrow bool
assumes
redundant-iff-abstract: $\text{redundant}\ A\ N \longleftrightarrow \text{abstract-red}\ A\ N$

begin

definition *saturated* :: 'a clauses \Rightarrow bool **where**

$\text{saturated}\ N \longleftrightarrow (\forall A\ B\ C. A \in N \longrightarrow B \in N \longrightarrow \neg \text{redundant}\ A\ N \longrightarrow \neg \text{redundant}\ B\ N$
 $\longrightarrow \text{superposition-rules}\ A\ B\ C \longrightarrow \text{redundant}\ C\ N \vee C \in N)$

lemma

assumes
saturated: $\text{saturated}\ N$ **and**
finite: $\text{finite}\ N$ **and**
empty: $\{ \# \} \notin N$
shows $\text{INTERP}\ N \models_{hs} N$

$\langle proof \rangle$

end

lemma *tautology-is-redundant*:

assumes *tautology* C
shows $\text{abstract-red}\ C\ N$
 $\langle proof \rangle$

lemma *subsumed-is-redundant*:

assumes $AB: A \subset \# B$
and $AN: A \in N$
shows $\text{abstract-red}\ B\ N$
 $\langle proof \rangle$

inductive *redundant* :: 'a clause \Rightarrow 'a clauses \Rightarrow bool **where**

subsumption: $A \in N \Longrightarrow A \subset \# B \Longrightarrow \text{redundant}\ B\ N$

lemma *redundant-is-redundancy-criterion*:

fixes $A :: 'a :: \text{wellorder clause}$ **and** $N :: 'a :: \text{wellorder clauses}$
assumes $\text{redundant}\ A\ N$
shows $\text{abstract-red}\ A\ N$
 $\langle proof \rangle$

lemma *redundant-mono*:

$\text{redundant}\ A\ N \Longrightarrow A \subseteq \# B \Longrightarrow \text{redundant}\ B\ N$
 $\langle proof \rangle$

locale *truc* =

selection S **for** $S :: \text{nat clause} \Rightarrow \text{nat clause}$

begin

end

end