

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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theory *Prop-Resolution*

imports *Partial-Clausal-Logic List-More Wellfounded-More*

begin

1 Resolution

1.1 Simplification Rules

inductive *simplify* :: '*v* clauses \Rightarrow '*v* clauses \Rightarrow bool **for** *N* :: '*v* clause set **where**

tautology-deletion:

$(A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}) \in N \Longrightarrow simplify\ N\ (N - \{A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}\})$

condensation:

$(A + \{\#L\# \} + \{\#L\# \}) \in N \Longrightarrow simplify\ N\ (N - \{A + \{\#L\# \} + \{\#L\# \}\} \cup \{A + \{\#L\# \}\})$

subsumption:

$A \in N \Longrightarrow A \subset\# B \Longrightarrow B \in N \Longrightarrow simplify\ N\ (N - \{B\})$

lemma *simplify-preserves-un-sat'*:

fixes *N N'* :: '*v* clauses

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N' \longrightarrow I \models_s N$

<proof>

lemma *simplify-preserves-un-sat*:

fixes *N N'* :: '*v* clauses

assumes *simplify N N'*

and *total-over-m* $I\ N$
shows $I \models_s N \longrightarrow I \models_s N'$
 $\langle proof \rangle$

lemma *simplify-preserves-un-sat''*:
fixes $N\ N' :: 'v\ clauses$
assumes *simplify* $N\ N'$
and *total-over-m* $I\ N'$
shows $I \models_s N \longrightarrow I \models_s N'$
 $\langle proof \rangle$

lemma *simplify-preserves-un-sat-eq*:
fixes $N\ N' :: 'v\ clauses$
assumes *simplify* $N\ N'$
and *total-over-m* $I\ N$
shows $I \models_s N \longleftrightarrow I \models_s N'$
 $\langle proof \rangle$

lemma *simplify-preserves-finite*:
assumes *simplify* $\psi\ \psi'$
shows *finite* $\psi \longleftrightarrow \text{finite } \psi'$
 $\langle proof \rangle$

lemma *rtranclp-simplify-preserves-finite*:
assumes *rtranclp simplify* $\psi\ \psi'$
shows *finite* $\psi \longleftrightarrow \text{finite } \psi'$
 $\langle proof \rangle$

lemma *simplify-atms-of-ms*:
assumes *simplify* $\psi\ \psi'$
shows *atms-of-ms* $\psi' \subseteq \text{atms-of-ms } \psi$
 $\langle proof \rangle$

lemma *rtranclp-simplify-atms-of-ms*:
assumes *rtranclp simplify* $\psi\ \psi'$
shows *atms-of-ms* $\psi' \subseteq \text{atms-of-ms } \psi$
 $\langle proof \rangle$

lemma *factoring-imp-simplify*:
assumes $\{\#L\# \} + \{\#L\# \} + C \in N$
shows $\exists N'. \text{ simplify } N\ N'$
 $\langle proof \rangle$

1.2 Unconstrained Resolution

type-synonym *'v uncon-state* = *'v clauses*

inductive *uncon-res* :: *'v uncon-state* \Rightarrow *'v uncon-state* \Rightarrow *bool* **where**

resolution:

$\{\#Pos\ p\#\} + C \in N \Longrightarrow \{\#Neg\ p\#\} + D \in N \Longrightarrow (\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D) \notin$
already-used
 $\Longrightarrow \text{uncon-res } (N) (N \cup \{C + D\}) \mid$

factoring: $\{\#L\# \} + \{\#L\# \} + C \in N \Longrightarrow \text{uncon-res } N (N \cup \{C + \{\#L\# \}\})$

lemma *uncon-res-increasing*:
assumes *uncon-res* $S\ S'$ **and** $\psi \in S$
shows $\psi \in S'$

$\langle \text{proof} \rangle$

lemma *rtrancp-uncon-inference-increasing*:
assumes *rtrancp uncon-res S S'* **and** $\psi \in S$
shows $\psi \in S'$
 $\langle \text{proof} \rangle$

1.2.1 Subsumption

definition *subsumes* :: 'a literal multiset \Rightarrow 'a literal multiset \Rightarrow bool **where**
subsumes $\chi \chi' \longleftrightarrow$
 $(\forall I. \text{total-over-}m\ I\ \{\chi'\} \longrightarrow \text{total-over-}m\ I\ \{\chi\})$
 $\wedge (\forall I. \text{total-over-}m\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

lemma *subsumes-refl[simp]*:
subsumes $\chi \chi$
 $\langle \text{proof} \rangle$

lemma *subsumes-subsumption*:
assumes *subsumes D χ*
and $C \subset\# D$ **and** $\neg \text{tautology } \chi$
shows *subsumes C χ* $\langle \text{proof} \rangle$

lemma *subsumes-tautology*:
assumes *subsumes (C + {#Pos P#} + {#Neg P#}) χ*
shows *tautology χ*
 $\langle \text{proof} \rangle$

1.3 Inference Rule

type-synonym 'v state = 'v clauses \times ('v clause \times 'v clause) set

inductive *inference-clause* :: 'v state \Rightarrow 'v clause \times ('v clause \times 'v clause) set \Rightarrow bool

(**infix** \Rightarrow_{Res} 100) **where**

resolution:

$\{\#Pos\ p\# \} + C \in N \Longrightarrow \{\#Neg\ p\# \} + D \in N \Longrightarrow (\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D) \notin$
already-used
 $\Longrightarrow \text{inference-clause } (N, \text{already-used})\ (C + D, \text{already-used} \cup \{(\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D)\}) \mid$

factoring: $\{\#L\# \} + \{\#L\# \} + C \in N \Longrightarrow \text{inference-clause } (N, \text{already-used})\ (C + \{\#L\# \}, \text{already-used})$

inductive *inference* :: 'v state \Rightarrow 'v state \Rightarrow bool **where**

inference-step: *inference-clause S (clause, already-used)*

$\Longrightarrow \text{inference } S\ (\text{fst } S \cup \{\text{clause}\}, \text{already-used})$

abbreviation *already-used-inv*

:: 'a literal multiset set \times ('a literal multiset \times 'a literal multiset) set \Rightarrow bool **where**

already-used-inv state \equiv

$(\forall (A, B) \in \text{snd state}. \exists p. \text{Pos } p \in\# A \wedge \text{Neg } p \in\# B \wedge$
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi\ ((A - \{\#Pos\ p\# \}) + (B - \{\#Neg\ p\# \})))$
 $\vee \text{tautology } ((A - \{\#Pos\ p\# \}) + (B - \{\#Neg\ p\# \}))))$

lemma *inference-clause-preserves-already-used-inv*:

assumes *inference-clause S S'*

and *already-used-inv S*

shows *already-used-inv* ($\text{fst } S \cup \{\text{fst } S'\}$, $\text{snd } S'$)
 $\langle \text{proof} \rangle$

lemma *inference-preserves-already-used-inv*:

assumes *inference* $S S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-preserves-already-used-inv*:

assumes *rtranclp inference* $S S'$
and *already-used-inv* S
shows *already-used-inv* S'
 $\langle \text{proof} \rangle$

lemma *subsumes-condensation*:

assumes *subsumes* ($C + \{\#L\# \} + \{\#L\# \}$) D
shows *subsumes* ($C + \{\#L\# \}$) D
 $\langle \text{proof} \rangle$

lemma *simplify-preserves-already-used-inv*:

assumes *simplify* $N N'$
and *already-used-inv* (N , *already-used*)
shows *already-used-inv* (N' , *already-used*)
 $\langle \text{proof} \rangle$

lemma

factoring-satisfiable: $I \models \{\#L\# \} + \{\#L\# \} + C \longleftrightarrow I \models \{\#L\# \} + C$ **and**
resolution-satisfiable:
consistent-interp $I \implies I \models \{\#Pos\ p\# \} + C \implies I \models \{\#Neg\ p\# \} + D \implies I \models C + D$ **and**
factoring-same-vars: $\text{atms-of } (\{\#L\# \} + \{\#L\# \} + C) = \text{atms-of } (\{\#L\# \} + C)$
 $\langle \text{proof} \rangle$

lemma *inference-increasing*:

assumes *inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-inference-increasing*:

assumes *rtranclp inference* $S S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-already-used-increasing*:

assumes *inference-clause* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-already-used-increasing*:

assumes *inference* $S S'$
shows $\text{snd } S \subseteq \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-un-sat*:
fixes $N\ N' :: 'v\ clauses$
assumes *inference-clause* $T\ T'$
and *total-over-m* $I\ (fst\ T)$
and *consistent*: *consistent-interp* I
shows $I \models_s fst\ T \longleftrightarrow I \models_s fst\ T \cup \{fst\ T'\}$
 $\langle proof \rangle$

lemma *inference-preserves-un-sat*:
fixes $N\ N' :: 'v\ clauses$
assumes *inference* $T\ T'$
and *total-over-m* $I\ (fst\ T)$
and *consistent*: *consistent-interp* I
shows $I \models_s fst\ T \longleftrightarrow I \models_s fst\ T'$
 $\langle proof \rangle$

lemma *inference-clause-preserves-atms-of-ms*:
assumes *inference-clause* $S\ S'$
shows *atms-of-ms* $(fst\ (fst\ S \cup \{fst\ S'\},\ snd\ S')) \subseteq \text{atms-of-ms}\ (fst\ S)$
 $\langle proof \rangle$

lemma *inference-preserves-atms-of-ms*:
fixes $N\ N' :: 'v\ clauses$
assumes *inference* $T\ T'$
shows *atms-of-ms* $(fst\ T') \subseteq \text{atms-of-ms}\ (fst\ T)$
 $\langle proof \rangle$

lemma *inference-preserves-total*:
fixes $N\ N' :: 'v\ clauses$
assumes *inference* $(N,\ already-used)\ (N',\ already-used')$
shows *total-over-m* $I\ N \implies \text{total-over-m}\ I\ N'$
 $\langle proof \rangle$

lemma *rtranclp-inference-preserves-total*:
assumes *rtranclp inference* $T\ T'$
shows *total-over-m* $I\ (fst\ T) \implies \text{total-over-m}\ I\ (fst\ T')$
 $\langle proof \rangle$

lemma *rtranclp-inference-preserves-un-sat*:
assumes *rtranclp inference* $N\ N'$
and *total-over-m* $I\ (fst\ N)$
and *consistent*: *consistent-interp* I
shows $I \models_s fst\ N \longleftrightarrow I \models_s fst\ N'$
 $\langle proof \rangle$

lemma *inference-preserves-finite*:
assumes *inference* $\psi\ \psi'$ **and** *finite* $(fst\ \psi)$
shows *finite* $(fst\ \psi')$
 $\langle proof \rangle$

lemma *inference-clause-preserves-finite-snd*:
assumes *inference-clause* $\psi\ \psi'$ **and** *finite* $(snd\ \psi)$

shows *finite* (*snd* ψ')
 $\langle \text{proof} \rangle$

lemma *inference-preserves-finite-snd*:
assumes *inference* $\psi \ \psi'$ **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')
 $\langle \text{proof} \rangle$

lemma *rtrancplp-inference-preserves-finite*:
assumes *rtrancplp inference* $\psi \ \psi'$ **and** *finite* (*fst* ψ)
shows *finite* (*fst* ψ')
 $\langle \text{proof} \rangle$

lemma *consistent-interp-insert*:
assumes *consistent-interp* I
and *atm-of* $P \notin \text{atm-of } I$
shows *consistent-interp* (*insert* $P \ I$)
 $\langle \text{proof} \rangle$

lemma *simplify-clause-preserves-sat*:
assumes *simp: simplify* $\psi \ \psi'$
and *satisfiable* ψ'
shows *satisfiable* ψ
 $\langle \text{proof} \rangle$

lemma *simplify-preserves-unsat*:
assumes *inference* $\psi \ \psi'$
shows *satisfiable* (*fst* ψ') \longrightarrow *satisfiable* (*fst* ψ)
 $\langle \text{proof} \rangle$

lemma *inference-preserves-unsat*:
assumes *inference*** $S \ S'$
shows *satisfiable* (*fst* S') \longrightarrow *satisfiable* (*fst* S)
 $\langle \text{proof} \rangle$

datatype *'v sem-tree* = *Node* *'v 'v sem-tree 'v sem-tree* | *Leaf*

fun *sem-tree-size* :: *'v sem-tree* \Rightarrow *nat* **where**
sem-tree-size *Leaf* = 0 |
sem-tree-size (*Node* - *ag ad*) = 1 + *sem-tree-size* *ag* + *sem-tree-size* *ad*

lemma *sem-tree-size[case-names bigger]*:
 $(\bigwedge xs:: 'v \text{ sem-tree. } (\bigwedge ys:: 'v \text{ sem-tree. } \text{sem-tree-size } ys < \text{sem-tree-size } xs \implies P \ ys) \implies P \ xs)$
 $\implies P \ xs$
 $\langle \text{proof} \rangle$

fun *partial-interps* :: *'v sem-tree* \Rightarrow *'v interp* \Rightarrow *'v clauses* \Rightarrow *bool* **where**
partial-interps *Leaf* $I \ \psi$ = $(\exists \chi. \neg I \models \chi \wedge \chi \in \psi \wedge \text{total-over-m } I \ \{\chi\})$ |
partial-interps (*Node* $v \ ag \ ad$) $I \ \psi \longleftrightarrow$
 $(\text{partial-interps } ag \ (I \cup \{\text{Pos } v\}) \ \psi \wedge \text{partial-interps } ad \ (I \cup \{\text{Neg } v\}) \ \psi)$

lemma *simplify-preserve-partial-leaf*:
simplify $N\ N' \implies \text{partial-interps Leaf } I\ N \implies \text{partial-interps Leaf } I\ N'$
 ⟨proof⟩

lemma *simplify-preserve-partial-tree*:
assumes *simplify* $N\ N'$
and *partial-interps* $t\ I\ N$
shows *partial-interps* $t\ I\ N'$
 ⟨proof⟩

lemma *inference-preserve-partial-tree*:
assumes *inference* $S\ S'$
and *partial-interps* $t\ I\ (\text{fst } S)$
shows *partial-interps* $t\ I\ (\text{fst } S')$
 ⟨proof⟩

lemma *rtranclp-inference-preserve-partial-tree*:
assumes *rtranclp inference* $N\ N'$
and *partial-interps* $t\ I\ (\text{fst } N)$
shows *partial-interps* $t\ I\ (\text{fst } N')$
 ⟨proof⟩

function *build-sem-tree* :: $'v :: \text{linorder set} \Rightarrow 'v \text{ clauses} \Rightarrow 'v \text{ sem-tree}$ **where**
build-sem-tree $\text{atms } \psi =$
 (if $\text{atms} = \{\}$ $\vee \neg \text{finite atms}$
 then *Leaf*
 else *Node* (*Min atms*) (*build-sem-tree* (*Set.remove* (*Min atms*) atms) ψ)
 (*build-sem-tree* (*Set.remove* (*Min atms*) atms) ψ))
 ⟨proof⟩

termination

⟨proof⟩

declare *build-sem-tree.induct*[*case-names tree*]

lemma *unsatisfiable-empty[simp]*:
 $\neg \text{unsatisfiable } \{\}$
 ⟨proof⟩

lemma *partial-interps-build-sem-tree-atms-general*:
fixes $\psi :: 'v :: \text{linorder clauses}$ **and** $p :: 'v \text{ literal list}$
assumes *unsat: unsatisfiable* ψ **and** *finite* ψ **and** *consistent-interp* I
and *finite atms*
and *atms-of-ms* $\psi = \text{atms} \cup \text{atms-of-s } I$ **and** $\text{atms} \cap \text{atms-of-s } I = \{\}$
shows *partial-interps* (*build-sem-tree* $\text{atms } \psi$) $I\ \psi$
 ⟨proof⟩

lemma *partial-interps-build-sem-tree-atms*:
fixes $\psi :: 'v :: \text{linorder clauses}$ **and** $p :: 'v \text{ literal list}$
assumes *unsat: unsatisfiable* ψ **and** *finite: finite* ψ
shows *partial-interps* (*build-sem-tree* (*atms-of-ms* ψ) ψ) $\{\}$ ψ
 ⟨proof⟩

lemma *can-decrease-count*:

fixes $\psi'' :: 'v \text{ clauses} \times ('v \text{ clause} \times 'v \text{ clause} \times 'v) \text{ set}$
assumes $\text{count } \chi \ L = n$
and $L \in \# \chi$ **and** $\chi \in \text{fst } \psi$
shows $\exists \psi' \chi'. \text{inference}^{**} \psi \ \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$
 $\wedge \text{count } \chi' \ L = 1$
 $\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$
 $\wedge (I \models \chi \longleftrightarrow I \models \chi')$
 $\wedge (\forall I'. \text{total-over-m } I' \ \{\chi\} \longrightarrow \text{total-over-m } I' \ \{\chi'\})$
 $\langle \text{proof} \rangle$

lemma *can-decrease-tree-size*:

fixes $\psi :: 'v \text{ state}$ **and** $\text{tree} :: 'v \text{ sem-tree}$
assumes $\text{finite } (\text{fst } \psi)$ **and** $\text{already-used-inv } \psi$
and $\text{partial-interps tree } I \ (\text{fst } \psi)$
shows $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{inference}^{**} \psi \ \psi' \wedge \text{partial-interps tree}' \ I \ (\text{fst } \psi')$
 $\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0)$
 $\langle \text{proof} \rangle$

lemma *inference-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes
 $\text{unsat}: \neg \text{satisfiable } (\text{fst } \psi)$ **and**
 $\text{finite}: \text{finite } (\text{fst } \psi)$ **and**
 $\text{a-u-v}: \text{already-used-inv } \psi$
shows $\exists \psi'. (\text{inference}^{**} \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes $\text{unsat}: \neg \text{satisfiable } (\text{fst } \psi)$
and $\text{finite}: \text{finite } (\text{fst } \psi)$
and $\text{snd } \psi = \{\}$
shows $\exists \psi'. (\text{rtranclp inference } \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')$
 $\langle \text{proof} \rangle$

lemma *inference-soundness*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes $\text{rtranclp inference } \psi \ \psi' \text{ and } \{\#\} \in \text{fst } \psi'$
shows $\text{unsatisfiable } (\text{fst } \psi)$
 $\langle \text{proof} \rangle$

lemma *inference-soundness-and-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes $\text{finite}: \text{finite } (\text{fst } \psi)$
and $\text{snd } \psi = \{\}$
shows $(\exists \psi'. (\text{inference}^{**} \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable } (\text{fst } \psi)$
 $\langle \text{proof} \rangle$

1.4 Lemma about the simplified state

abbreviation $\text{simplified } \psi \equiv (\text{no-step simplify } \psi)$

lemma *simplified-count*:

assumes $\text{simp}: \text{simplified } \psi$ **and** $\chi: \chi \in \psi$

shows *count* χ $L \leq 1$
 $\langle \text{proof} \rangle$

lemma *simplified-no-both*:
assumes *simp*: *simplified* ψ **and** χ : $\chi \in \psi$
shows $\neg (L \in \# \chi \wedge \neg L \in \# \chi)$
 $\langle \text{proof} \rangle$

lemma *simplified-not-tautology*:
assumes *simplified* $\{\psi\}$
shows $\sim \text{tautology } \psi$
 $\langle \text{proof} \rangle$

lemma *simplified-remove*:
assumes *simplified* $\{\psi\}$
shows *simplified* $\{\psi - \{\#l\# \}\}$
 $\langle \text{proof} \rangle$

lemma *in-simplified-simplified*:
assumes *simp*: *simplified* ψ **and** *incl*: $\psi' \subseteq \psi$
shows *simplified* ψ'
 $\langle \text{proof} \rangle$

lemma *simplified-in*:
assumes *simplified* ψ
and $N \in \psi$
shows *simplified* $\{N\}$
 $\langle \text{proof} \rangle$

lemma *subsumes-imp-formula*:
assumes $\psi \leq \# \varphi$
shows $\{\psi\} \models_p \varphi$
 $\langle \text{proof} \rangle$

lemma *simplified-imp-distinct-mset-tauto*:
assumes *simp*: *simplified* ψ'
shows *distinct-mset-set* ψ' **and** $\forall \chi \in \psi'. \neg \text{tautology } \chi$
 $\langle \text{proof} \rangle$

lemma *simplified-no-more-full1-simplified*:
assumes *simplified* ψ
shows $\neg \text{full1 simplify } \psi \psi'$
 $\langle \text{proof} \rangle$

1.5 Resolution and Invariants

inductive *resolution* :: $'v \text{ state} \Rightarrow 'v \text{ state} \Rightarrow \text{bool}$ **where**
full1-simp: *full1 simplify* $N N' \Longrightarrow \text{resolution } (N, \text{already-used}) (N', \text{already-used})$ |
inferring: *inference* $(N, \text{already-used}) (N', \text{already-used}') \Longrightarrow \text{simplified } N$
 $\Longrightarrow \text{full simplify } N' N'' \Longrightarrow \text{resolution } (N, \text{already-used}) (N'', \text{already-used}')$

1.5.1 Invariants

lemma *resolution-finite*:
assumes *resolution* $\psi \psi'$ **and** *finite* $(\text{fst } \psi)$

shows *finite* (*fst* ψ')
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-finite*:
assumes *resolution*** ψ ψ' **and** *finite* (*fst* ψ)
shows *finite* (*fst* ψ')
 $\langle \text{proof} \rangle$

lemma *resolution-finite-snd*:
assumes *resolution* ψ ψ' **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-finite-snd*:
assumes *resolution*** ψ ψ' **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')
 $\langle \text{proof} \rangle$

lemma *resolution-always-simplified*:
assumes *resolution* ψ ψ'
shows *simplified* (*fst* ψ')
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-always-simplified*:
assumes *trancp resolution* ψ ψ'
shows *simplified* (*fst* ψ')
 $\langle \text{proof} \rangle$

lemma *resolution-atms-of*:
assumes *resolution* ψ ψ' **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-atms-of*:
assumes *resolution*** ψ ψ' **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') \subseteq *atms-of-ms* (*fst* ψ)
 $\langle \text{proof} \rangle$

lemma *resolution-include*:
assumes *res: resolution* ψ ψ' **and** *finite: finite* (*fst* ψ)
shows *fst* $\psi' \subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))
 $\langle \text{proof} \rangle$

lemma *rtrancp-resolution-include*:
assumes *res: trancp resolution* ψ ψ' **and** *finite: finite* (*fst* ψ)
shows *fst* $\psi' \subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))
 $\langle \text{proof} \rangle$

abbreviation *already-used-all-simple*
 $:: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
already-used-all-simple *already-used* *vars* \equiv
 $(\forall (A, B) \in \text{already-used}. \text{simplified } \{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars})$

lemma *already-used-all-simple-vars-incl*:
assumes *vars* \subseteq *vars'*

shows *already-used-all-simple* $a \text{ vars} \implies \text{already-used-all-simple } a \text{ vars}'$
 $\langle \text{proof} \rangle$

lemma *inference-clause-preserves-already-used-all-simple:*

assumes *inference-clause* $S \ S'$
and *already-used-all-simple* $(\text{snd } S) \text{ vars}$
and *simplified* $(\text{fst } S)$
and *atms-of-ms* $(\text{fst } S) \subseteq \text{vars}$
shows *already-used-all-simple* $(\text{snd } (\text{fst } S \cup \{\text{fst } S'\}, \text{snd } S')) \text{ vars}$
 $\langle \text{proof} \rangle$

lemma *inference-preserves-already-used-all-simple:*

assumes *inference* $S \ S'$
and *already-used-all-simple* $(\text{snd } S) \text{ vars}$
and *simplified* $(\text{fst } S)$
and *atms-of-ms* $(\text{fst } S) \subseteq \text{vars}$
shows *already-used-all-simple* $(\text{snd } S') \text{ vars}$
 $\langle \text{proof} \rangle$

lemma *already-used-all-simple-inv:*

assumes *resolution* $S \ S'$
and *already-used-all-simple* $(\text{snd } S) \text{ vars}$
and *atms-of-ms* $(\text{fst } S) \subseteq \text{vars}$
shows *already-used-all-simple* $(\text{snd } S') \text{ vars}$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-already-used-all-simple-inv:*

assumes *resolution*** $S \ S'$
and *already-used-all-simple* $(\text{snd } S) \text{ vars}$
and *atms-of-ms* $(\text{fst } S) \subseteq \text{vars}$
and *finite* $(\text{fst } S)$
shows *already-used-all-simple* $(\text{snd } S') \text{ vars}$
 $\langle \text{proof} \rangle$

lemma *inference-clause-simplified-already-used-subset:*

assumes *inference-clause* $S \ S'$
and *simplified* $(\text{fst } S)$
shows $\text{snd } S \subset \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *inference-simplified-already-used-subset:*

assumes *inference* $S \ S'$
and *simplified* $(\text{fst } S)$
shows $\text{snd } S \subset \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *resolution-simplified-already-used-subset:*

assumes *resolution* $S \ S'$
and *simplified* $(\text{fst } S)$
shows $\text{snd } S \subset \text{snd } S'$
 $\langle \text{proof} \rangle$

lemma *trancpl-resolution-simplified-already-used-subset:*

assumes *trancpl resolution* $S \ S'$
and *simplified* $(\text{fst } S)$

shows $\text{snd } S \subset \text{snd } S'$
 $\langle \text{proof} \rangle$

abbreviation $\text{already-used-top vars} \equiv \text{simple-clss vars} \times \text{simple-clss vars}$

lemma *already-used-all-simple-in-already-used-top*:
assumes *already-used-all-simple s vars* **and** *finite vars*
shows $s \subseteq \text{already-used-top vars}$
 $\langle \text{proof} \rangle$

lemma *already-used-top-finite*:
assumes *finite vars*
shows *finite (already-used-top vars)*
 $\langle \text{proof} \rangle$

lemma *already-used-top-increasing*:
assumes $\text{var} \subseteq \text{var}'$ **and** *finite var'*
shows $\text{already-used-top var} \subseteq \text{already-used-top var}'$
 $\langle \text{proof} \rangle$

lemma *already-used-all-simple-finite*:
fixes $s :: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set}$ **and** $\text{vars} :: 'a \text{ set}$
assumes *already-used-all-simple s vars* **and** *finite vars*
shows *finite s*
 $\langle \text{proof} \rangle$

abbreviation $\text{card-simple vars } \psi \equiv \text{card } (\text{already-used-top vars} - \psi)$

lemma *resolution-card-simple-decreasing*:
assumes *res: resolution $\psi \psi'$*
and *a-u-s: already-used-all-simple (snd ψ) vars*
and *finite-v: finite vars*
and *finite-fst: finite (fst ψ)*
and *finite-snd: finite (snd ψ)*
and *simp: simplified (fst ψ)*
and $\text{atms-of-ms (fst } \psi) \subseteq \text{vars}$
shows $\text{card-simple vars (snd } \psi') < \text{card-simple vars (snd } \psi)$
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-card-simple-decreasing*:
assumes *trancp resolution $\psi \psi'$* **and** *finite-fst: finite (fst ψ)*
and *already-used-all-simple (snd ψ) vars*
and $\text{atms-of-ms (fst } \psi) \subseteq \text{vars}$
and *finite-v: finite vars*
and *finite-snd: finite (snd ψ)*
and *simplified (fst ψ)*
shows $\text{card-simple vars (snd } \psi') < \text{card-simple vars (snd } \psi)$
 $\langle \text{proof} \rangle$

lemma *trancp-resolution-card-simple-decreasing-2*:
assumes *trancp resolution $\psi \psi'$*
and *finite-fst: finite (fst ψ)*
and *empty-snd: snd $\psi = \{\}$*

and *simplified* (*fst* ψ)
shows *card-simple* (*atms-of-ms* (*fst* ψ)) (*snd* ψ) < *card-simple* (*atms-of-ms* (*fst* ψ)) (*snd* ψ)
 <proof>

1.5.2 well-foundness if the relation

lemma *wf-simplified-resolution*:

assumes *f-vars*: *finite vars*
shows *wf* $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x \ y)\}$
 <proof>

lemma *wf-simplified-resolution'*:

assumes *f-vars*: *finite vars*
shows *wf* $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \neg \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x \ y)\}$
 <proof>

lemma *wf-resolution*:

assumes *f-vars*: *finite vars*
shows *wf* $(\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x \ y\} \cup \{(y, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \neg \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x \ y)\}) \text{ (is wf } (?R \cup ?S))$
 <proof>

lemma *rtrancp-simplify-already-used-inv*:

assumes *simplify*** *S S'*
and *already-used-inv* (*S*, *N*)
shows *already-used-inv* (*S'*, *N*)
 <proof>

lemma *full1-simplify-already-used-inv*:

assumes *full1 simplify* *S S'*
and *already-used-inv* (*S*, *N*)
shows *already-used-inv* (*S'*, *N*)
 <proof>

lemma *full-simplify-already-used-inv*:

assumes *full simplify* *S S'*
and *already-used-inv* (*S*, *N*)
shows *already-used-inv* (*S'*, *N*)
 <proof>

lemma *resolution-already-used-inv*:

assumes *resolution* *S S'*
and *already-used-inv* *S*
shows *already-used-inv* *S'*
 <proof>

lemma *rtrancp-resolution-already-used-inv*:

assumes *resolution*** *S S'*
and *already-used-inv* *S*
shows *already-used-inv* *S'*
 <proof>

lemma *rtanclp-simplify-preserved-unsat*:

assumes *simplify*** $\psi \ \psi'$
shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 $\langle \text{proof} \rangle$

lemma *full1-simplify-preserves-unsat*:
assumes *full1 simplify* $\psi \ \psi'$
shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 $\langle \text{proof} \rangle$

lemma *full-simplify-preserves-unsat*:
assumes *full simplify* $\psi \ \psi'$
shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 $\langle \text{proof} \rangle$

lemma *resolution-preserves-unsat*:
assumes *resolution* $\psi \ \psi'$
shows *satisfiable* $(fst \ \psi') \longrightarrow \text{satisfiable } (fst \ \psi)$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-resolution-preserves-unsat*:
assumes *resolution*** $\psi \ \psi'$
shows *satisfiable* $(fst \ \psi') \longrightarrow \text{satisfiable } (fst \ \psi)$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-simplify-preserve-partial-tree*:
assumes *simplify*** $N \ N'$
and *partial-interps* $t \ I \ N$
shows *partial-interps* $t \ I \ N'$
 $\langle \text{proof} \rangle$

lemma *full1-simplify-preserve-partial-tree*:
assumes *full1 simplify* $N \ N'$
and *partial-interps* $t \ I \ N$
shows *partial-interps* $t \ I \ N'$
 $\langle \text{proof} \rangle$

lemma *full-simplify-preserve-partial-tree*:
assumes *full simplify* $N \ N'$
and *partial-interps* $t \ I \ N$
shows *partial-interps* $t \ I \ N'$
 $\langle \text{proof} \rangle$

lemma *resolution-preserve-partial-tree*:
assumes *resolution* $S \ S'$
and *partial-interps* $t \ I \ (fst \ S)$
shows *partial-interps* $t \ I \ (fst \ S')$
 $\langle \text{proof} \rangle$

lemma *rtrancpl-resolution-preserve-partial-tree*:
assumes *resolution*** $S \ S'$
and *partial-interps* $t \ I \ (fst \ S)$
shows *partial-interps* $t \ I \ (fst \ S')$
 $\langle \text{proof} \rangle$
thm *nat-less-induct nat.induct*

lemma *nat-ge-induct*[*case-names 0 Suc*]:

assumes $P\ 0$

and $(\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P\ m) \implies P\ (\text{Suc } n))$

shows $P\ n$

$\langle \text{proof} \rangle$

lemma *wf-always-more-step-False*:

assumes $wf\ R$

shows $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$

$\langle \text{proof} \rangle$

lemma *finite-finite-mset-element-of-mset*[*simp*]:

assumes $finite\ N$

shows $finite\ \{f\ \varphi\ L\ |\ \varphi \in N \wedge L \in \# \varphi \wedge P\ \varphi\ L\}$

$\langle \text{proof} \rangle$

value *card*

value *filter-mset*

value $\{\#count\ \varphi\ L\ |\ L \in \# \varphi. 2 \leq count\ \varphi\ L\#\}$

value $(\lambda \varphi. msetsum\ \{\#count\ \varphi\ L\ |\ L \in \# \varphi. 2 \leq count\ \varphi\ L\#\})$

syntax

-comprehension1 $'a \Rightarrow 'b \Rightarrow 'b\ multiset \Rightarrow 'a\ multiset$
 $((\{\#-/ . - : setof\ -\#\}))$

translations

$\{\#e. x: setof\ M\#\} == CONST\ set-mset\ (CONST\ image-mset\ (\%x. e)\ M)$

value $\{\# a. a : setof\ \{\#1,1,2::int\}\#\} = \{1,2\}$

definition *sum-count-ge-2* $:: 'a\ multiset\ set \Rightarrow nat\ (\Xi)\ \text{where}$

$sum-count-ge-2 \equiv folding.F\ (\lambda \varphi. op + (msetsum\ \{\#count\ \varphi\ L\ |\ L \in \# \varphi. 2 \leq count\ \varphi\ L\#\}))\ 0$

interpretation *sum-count-ge-2*:

$folding\ (\lambda \varphi. op + (msetsum\ \{\#count\ \varphi\ L\ |\ L \in \# \varphi. 2 \leq count\ \varphi\ L\#\}))\ 0$

rewrites

$folding.F\ (\lambda \varphi. op + (msetsum\ \{\#count\ \varphi\ L\ |\ L \in \# \varphi. 2 \leq count\ \varphi\ L\#\}))\ 0 = sum-count-ge-2$

$\langle \text{proof} \rangle$

lemma *finite-incl-le-setsum*:

$finite\ (B::'a\ multiset\ set) \implies A \subseteq B \implies \Xi\ A \leq \Xi\ B$

$\langle \text{proof} \rangle$

lemma *simplify-finite-measure-decrease*:

$simplify\ N\ N' \implies finite\ N \implies card\ N' + \Xi\ N' < card\ N + \Xi\ N$

$\langle \text{proof} \rangle$

lemma *simplify-terminates*:

$wf\ \{(N', N). finite\ N \wedge simplify\ N\ N'\}$

$\langle \text{proof} \rangle$

lemma *wf-terminates*:

assumes $wf\ r$

shows $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$
 <proof>

lemma *rtrancp-simplify-terminates*:

assumes *fin*: *finite* *N*
shows $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$
 <proof>

lemma *finite-simplified-full1-simp*:

assumes *finite* *N*
shows $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$
 <proof>

lemma *finite-simplified-full-simp*:

assumes *finite* *N*
shows $\exists N'. \text{full simplify } N N'$
 <proof>

lemma *can-decrease-tree-size-resolution*:

fixes $\psi :: 'v \text{ state}$ **and** $\text{tree} :: 'v \text{ sem-tree}$
assumes *finite* (*fst* ψ) **and** *already-used-inv* ψ
and *partial-interps* *tree* *I* (*fst* ψ)
and *simplified* (*fst* ψ)
shows $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' I (\text{fst } \psi')$
 $\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0)$
 <proof>

lemma *resolution-completeness-inv*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes
unsat: $\neg \text{satisfiable}$ (*fst* ψ) **and**
finite: *finite* (*fst* ψ) **and**
a-u-v: *already-used-inv* ψ
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 <proof>

lemma *resolution-preserves-already-used-inv*:

assumes *resolution* *S S'*
and *already-used-inv* *S*
shows *already-used-inv* *S'*
 <proof>

lemma *rtrancp-resolution-preserves-already-used-inv*:

assumes *resolution*^{**} *S S'*
and *already-used-inv* *S*
shows *already-used-inv* *S'*
 <proof>

lemma *resolution-completeness*:

fixes $\psi :: 'v :: \text{linorder state}$
assumes *unsat*: $\neg \text{satisfiable}$ (*fst* ψ)
and *finite*: *finite* (*fst* ψ)
and *snd* $\psi = \{\}$
shows $\exists \psi'. (\text{resolution}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$
 <proof>

lemma *rtrancp-preserves-sat:*

assumes *simplify*** $S S'$
and *satisfiable* S
shows *satisfiable* S'
 $\langle proof \rangle$

lemma *resolution-preserves-sat:*

assumes *resolution* $S S'$
and *satisfiable* $(fst S)$
shows *satisfiable* $(fst S')$
 $\langle proof \rangle$

lemma *rtrancp-resolution-preserves-sat:*

assumes *resolution*** $S S'$
and *satisfiable* $(fst S)$
shows *satisfiable* $(fst S')$
 $\langle proof \rangle$

lemma *resolution-soundness:*

fixes $\psi :: 'v :: linorder \text{ state}$
assumes *resolution*** $\psi \psi'$ **and** $\{\#\} \in fst \psi'$
shows *unsatisfiable* $(fst \psi)$
 $\langle proof \rangle$

lemma *resolution-soundness-and-completeness:*

fixes $\psi :: 'v :: linorder \text{ state}$
assumes *finite: finite* $(fst \psi)$
and *snd: snd* $\psi = \{\}$
shows $(\exists \psi'. (resolution^{**} \psi \psi' \wedge \{\#\} \in fst \psi')) \longleftrightarrow unsatisfiable (fst \psi)$
 $\langle proof \rangle$

lemma *simplified-falsity:*

assumes *simp: simplified* ψ
and $\{\#\} \in \psi$
shows $\psi = \{\{\#\}\}$
 $\langle proof \rangle$

lemma *simplify-falsity-in-preserved:*

assumes *simplify* $\chi s \chi s'$
and $\{\#\} \in \chi s$
shows $\{\#\} \in \chi s'$
 $\langle proof \rangle$

lemma *rtrancp-simplify-falsity-in-preserved:*

assumes *simplify*** $\chi s \chi s'$
and $\{\#\} \in \chi s$
shows $\{\#\} \in \chi s'$
 $\langle proof \rangle$

lemma *resolution-falsity-get-falsity-alone:*

assumes *finite* $(fst \psi)$
shows $(\exists \psi'. (resolution^{**} \psi \psi' \wedge \{\#\} \in fst \psi')) \longleftrightarrow (\exists a-u-v. resolution^{**} \psi (\{\{\#\}\}, a-u-v))$
(is $?A \longleftrightarrow ?B)$

$\langle proof \rangle$

lemma *resolution-soundness-and-completeness'*:

fixes $\psi :: 'v :: linorder\ state$

assumes

finite: $finite\ (fst\ \psi)$ **and**

snd: $snd\ \psi = \{\}$

shows $(\exists\ a-u-v. (resolution^{**}\ \psi\ (\{\#\},\ a-u-v))) \longleftrightarrow unsatisfiable\ (fst\ \psi)$

$\langle proof \rangle$

end

theory *Prop-Superposition*

imports *Partial-Clausal-Logic* *../lib/Herbrand-Interpretation*

begin

2 Superposition

no-notation *Herbrand-Interpretation.true-cls* (**infix** \models 50)

notation *Herbrand-Interpretation.true-cls* (**infix** \models_h 50)

no-notation *Herbrand-Interpretation.true-clss* (**infix** \models_s 50)

notation *Herbrand-Interpretation.true-clss* (**infix** \models_{hs} 50)

lemma *herbrand-interp-iff-partial-interp-cls*:

$S \models_h C \longleftrightarrow \{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\} \models C$

$\langle proof \rangle$

lemma *herbrand-consistent-interp*:

consistent-interp $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})$

$\langle proof \rangle$

lemma *herbrand-total-over-set*:

total-over-set $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})\ T$

$\langle proof \rangle$

lemma *herbrand-total-over-m*:

total-over-m $(\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\})\ T$

$\langle proof \rangle$

lemma *herbrand-interp-iff-partial-interp-clss*:

$S \models_{hs} C \longleftrightarrow \{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\} \models_s C$

$\langle proof \rangle$

definition *clss-lt* $:: 'a::wellorder\ clauses \Rightarrow 'a\ clause \Rightarrow 'a\ clauses\ \text{where}$

clss-lt $N\ C = \{D \in N. D \# \subset \# C\}$

notation (*latex output*)

clss-lt $(-<\hat{b}sup>-<\hat{e}sup>)$

locale *selection* =

fixes $S :: 'a\ clause \Rightarrow 'a\ clause$

assumes

S-selects-subseteq: $\bigwedge C. S\ C \leq \# C$ **and**

S-selects-neg-lits: $\bigwedge C\ L. L \in \# S\ C \Longrightarrow is-neg\ L$

locale *ground-resolution-with-selection* =
selection S for S :: ('a :: wellorder) clause \Rightarrow 'a clause
begin

context
fixes N :: 'a clause set
begin

We do not create an equivalent of δ , but we directly defined N_C by inlining the definition.

function
production :: 'a clause \Rightarrow 'a interp
where
production C =
 $\{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max}(\text{set-mset } C) = \text{Pos } A \wedge \text{count } C (\text{Pos } A) \leq 1$
 $\wedge \neg (\bigcup D \in \{D. D \# \subset \# C\}. \text{production } D) \models_h C \wedge S C = \{\#\}\}$
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$
declare *production.simps[simp del]*

definition *interp :: 'a clause \Rightarrow 'a interp where*
interp C = ($\bigcup D \in \{D. D \# \subset \# C\}. \text{production } D$)

lemma *production-unfold:*
 $\text{production } C = \{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max}(\text{set-mset } C) = \text{Pos } A \wedge \text{count } C (\text{Pos } A) \leq 1 \wedge \neg$
 $\text{interp } C \models_h C \wedge S C = \{\#\}\}$
 $\langle \text{proof} \rangle$

abbreviation *productive A \equiv (production A $\neq \{\}$)*

abbreviation *produces :: 'a clause \Rightarrow 'a \Rightarrow bool where*
produces C A \equiv production C = {A}

lemma *producesD:*
 $\text{produces } C A \Longrightarrow C \in N \wedge C \neq \{\#\} \wedge \text{Pos } A = \text{Max}(\text{set-mset } C) \wedge \text{count } C (\text{Pos } A) \leq 1 \wedge$
 $\neg \text{interp } C \models_h C \wedge S C = \{\#\}$
 $\langle \text{proof} \rangle$

lemma *produces C A \Longrightarrow Pos A $\in \# C$*
 $\langle \text{proof} \rangle$

lemma *interp'-def-in-set:*
 $\text{interp } C = (\bigcup D \in \{D \in N. D \# \subset \# C\}. \text{production } D)$
 $\langle \text{proof} \rangle$

lemma *production-iff-produces:*
 $\text{produces } D A \longleftrightarrow A \in \text{production } D$
 $\langle \text{proof} \rangle$

definition *Interp :: 'a clause \Rightarrow 'a interp where*
Interp C = interp C \cup production C

lemma
assumes *produces C P*
shows *Interp C $\models_h C$*

$\langle proof \rangle$

definition $INTERP :: 'a \text{ interp where}$
 $INTERP = (\bigcup D \in N. \text{ production } D)$

lemma $\text{interp-subseteq-Interp[simp]: } \text{interp } C \subseteq \text{Interp } C$
 $\langle proof \rangle$

lemma $\text{Interp-as-UNION: } \text{Interp } C = (\bigcup D \in \{D. D \# \subseteq \# C\}. \text{ production } D)$
 $\langle proof \rangle$

lemma $\text{productive-not-empty: } \text{productive } C \implies C \neq \{\#\}$
 $\langle proof \rangle$

lemma $\text{productive-imp-produces-Max-literal: } \text{productive } C \implies \text{produces } C (\text{atm-of } (\text{Max } (\text{set-mset } C)))$
 $\langle proof \rangle$

lemma $\text{productive-imp-produces-Max-atom: } \text{productive } C \implies \text{produces } C (\text{Max } (\text{atms-of } C))$
 $\langle proof \rangle$

lemma $\text{produces-imp-Max-literal: } \text{produces } C A \implies A = \text{atm-of } (\text{Max } (\text{set-mset } C))$
 $\langle proof \rangle$

lemma $\text{produces-imp-Max-atom: } \text{produces } C A \implies A = \text{Max } (\text{atms-of } C)$
 $\langle proof \rangle$

lemma $\text{produces-imp-Pos-in-lits: } \text{produces } C A \implies \text{Pos } A \in \# C$
 $\langle proof \rangle$

lemma $\text{productive-in-N: } \text{productive } C \implies C \in N$
 $\langle proof \rangle$

lemma $\text{produces-imp-atms-leq: } \text{produces } C A \implies B \in \text{atms-of } C \implies B \leq A$
 $\langle proof \rangle$

lemma $\text{produces-imp-neg-notin-lits: } \text{produces } C A \implies \neg \text{Neg } A \in \# C$
 $\langle proof \rangle$

lemma $\text{less-eq-imp-interp-subseteq-interp: } C \# \subseteq \# D \implies \text{interp } C \subseteq \text{interp } D$
 $\langle proof \rangle$

lemma $\text{less-eq-imp-interp-subseteq-Interp: } C \# \subseteq \# D \implies \text{interp } C \subseteq \text{Interp } D$
 $\langle proof \rangle$

lemma $\text{less-imp-production-subseteq-interp: } C \# \subset \# D \implies \text{production } C \subseteq \text{interp } D$
 $\langle proof \rangle$

lemma $\text{less-eq-imp-production-subseteq-Interp: } C \# \subseteq \# D \implies \text{production } C \subseteq \text{Interp } D$
 $\langle proof \rangle$

lemma $\text{less-imp-Interp-subseteq-interp: } C \# \subset \# D \implies \text{Interp } C \subseteq \text{interp } D$
 $\langle proof \rangle$

lemma $\text{less-eq-imp-Interp-subseteq-Interp: } C \# \subseteq \# D \implies \text{Interp } C \subseteq \text{Interp } D$

$\langle \text{proof} \rangle$

lemma *false-Interp-to-true-interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{interp } D \implies C \# \subset \# D$
 $\langle \text{proof} \rangle$

lemma *false-interp-to-true-interp-imp-less-multiset*: $A \notin \text{interp } C \implies A \in \text{interp } D \implies C \# \subset \# D$
 $\langle \text{proof} \rangle$

lemma *false-Interp-to-true-Interp-imp-less-multiset*: $A \notin \text{Interp } C \implies A \in \text{Interp } D \implies C \# \subset \# D$
 $\langle \text{proof} \rangle$

lemma *false-interp-to-true-Interp-imp-le-multiset*: $A \notin \text{interp } C \implies A \in \text{Interp } D \implies C \# \subseteq \# D$
 $\langle \text{proof} \rangle$

lemma *interp-subseteq-INTERP*: $\text{interp } C \subseteq \text{INTERP}$
 $\langle \text{proof} \rangle$

lemma *production-subseteq-INTERP*: $\text{production } C \subseteq \text{INTERP}$
 $\langle \text{proof} \rangle$

lemma *Interp-subseteq-INTERP*: $\text{Interp } C \subseteq \text{INTERP}$
 $\langle \text{proof} \rangle$

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

lemma *produces-imp-in-interp*:
assumes *a-in-c*: $\text{Neg } A \in \# C$ **and** *d*: *produces* $D A$
shows $A \in \text{interp } C$
 $\langle \text{proof} \rangle$

lemma *neg-notin-Interp-not-produce*: $\text{Neg } A \in \# C \implies A \notin \text{Interp } D \implies C \# \subseteq \# D \implies \neg \text{produces } D'' A$
 $\langle \text{proof} \rangle$

lemma *in-production-imp-produces*: $A \in \text{production } C \implies \text{produces } C A$
 $\langle \text{proof} \rangle$

lemma *not-produces-imp-notin-production*: $\neg \text{produces } C A \implies A \notin \text{production } C$
 $\langle \text{proof} \rangle$

lemma *not-produces-imp-notin-interp*: $(\bigwedge D. \neg \text{produces } D A) \implies A \notin \text{interp } C$
 $\langle \text{proof} \rangle$

The results below corresponds to Lemma 3.4.

Nitpicking: If $D = D'$ and D is productive, $I^D \subseteq I_{D'}$ does not hold.

lemma *true-Interp-imp-general*:
assumes
c-le-d: $C \# \subseteq \# D$ **and**
d-lt-d': $D \# \subset \# D'$ **and**
c-at-d: $\text{Interp } D \models_h C$ **and**
subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$
shows $(\bigcup C \in CC. \text{production } C) \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-Interp-imp-interp*: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{Interp } D \models_h C \implies \text{interp } D' \models_h C$
 $\langle \text{proof} \rangle$

lemma *true-Interp-imp-Interp*: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{Interp } D \models_h C \implies \text{Interp } D' \models_h C$
 <proof>

lemma *true-Interp-imp-INTERP*: $C \# \subseteq \# D \implies \text{Interp } D \models_h C \implies \text{INTERP} \models_h C$
 <proof>

lemma *true-interp-imp-general*:

assumes

c-le-d: $C \# \subseteq \# D$ **and**

d-lt-d': $D \# \subset \# D'$ **and**

c-at-d: $\text{interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

<proof>

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

lemma *true-interp-imp-interp*: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$
 <proof>

lemma *true-interp-imp-Interp*: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$
 <proof>

lemma *true-interp-imp-INTERP*: $C \# \subseteq \# D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$
 <proof>

lemma *productive-imp-false-interp*: $\text{productive } C \implies \neg \text{interp } C \models_h C$
 <proof>

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

lemma *cls-gt-double-pos-no-production*:

assumes $D: \{\#Pos P, Pos P\} \# \subset \# C$

shows $\neg \text{produces } C P$

<proof>

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

lemma

assumes $D: C + \{\#Neg P\} \# \subset \# D$

shows $\text{production } D \neq \{P\}$

<proof>

lemma *in-interp-is-produced*:

assumes $P \in \text{INTERP}$

shows $\exists D. D + \{\#Pos P\} \in N \wedge \text{produces } (D + \{\#Pos P\}) P$

<proof>

end

end

abbreviation $MMax M \equiv Max (\text{set-mset } M)$

2.1 We can now define the rules of the calculus

inductive *superposition-rules* :: 'a clause \Rightarrow 'a clause \Rightarrow 'a clause \Rightarrow bool **where**
factoring: *superposition-rules* ($C + \{\#Pos\ P\# \} + \{\#Pos\ P\# \}$) B ($C + \{\#Pos\ P\# \}$) |
superposition-l: *superposition-rules* ($C_1 + \{\#Pos\ P\# \}$) ($C_2 + \{\#Neg\ P\# \}$) ($C_1 + C_2$)

inductive *superposition* :: 'a clauses \Rightarrow 'a clauses \Rightarrow bool **where**
superposition: $A \in N \Rightarrow B \in N \Rightarrow$ *superposition-rules* $A\ B\ C$
 \Rightarrow *superposition* $N\ (N \cup \{C\})$

definition *abstract-red* :: 'a::wellorder clause \Rightarrow 'a clauses \Rightarrow bool **where**
abstract-red $C\ N = (clss-lt\ N\ C \models_p C)$

lemma *less-multiset[iff]*: $M < N \longleftrightarrow M \# \subset \# N$
 $\langle proof \rangle$

lemma *less-eq-multiset[iff]*: $M \leq N \longleftrightarrow M \# \subseteq \# N$
 $\langle proof \rangle$

lemma *herbrand-true-clss-true-clss-clss-herbrand-true-clss*:
assumes
 $AB: A \models_{hs} B$ **and**
 $BC: B \models_p C$
shows $A \models_h C$
 $\langle proof \rangle$

lemma *abstract-red-subset-mset-abstract-red*:
assumes
 $abstr: abstract-red\ C\ N$ **and**
 $c-lt-d: C \subseteq \# D$
shows $abstract-red\ D\ N$
 $\langle proof \rangle$

lemma *true-clss-clss-extended*:
assumes
 $A \models_p B$ **and**
 $tot: total-over-m\ I\ (A)$ **and**
 $cons: consistent-interp\ I$ **and**
 $I-A: I \models_s A$
shows $I \models B$
 $\langle proof \rangle$

lemma
assumes
 $CP: \neg\ clss-lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#C\# \} + \{\#Neg\ P\# \}$ **and**
 $clss-lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \} \vee clss-lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p$
 $\{\#C\# \} + \{\#Neg\ P\# \}$
shows $clss-lt\ N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \}$
 $\langle proof \rangle$

locale *ground-ordered-resolution-with-redundancy* =
ground-resolution-with-selection +
fixes *redundant* :: 'a::wellorder clause \Rightarrow 'a clauses \Rightarrow bool
assumes
redundant-iff-abstract: $redundant\ A\ N \longleftrightarrow abstract-red\ A\ N$

```

begin
definition saturated :: 'a clauses  $\Rightarrow$  bool where
saturated  $N \iff (\forall A\ B\ C. A \in N \longrightarrow B \in N \longrightarrow \neg \text{redundant}\ A\ N \longrightarrow \neg \text{redundant}\ B\ N$ 
 $\longrightarrow \text{superposition-rules}\ A\ B\ C \longrightarrow \text{redundant}\ C\ N \vee C \in N)$ 

lemma
  assumes
    saturated: saturated  $N$  and
    finite: finite  $N$  and
    empty:  $\{\#\} \notin N$ 
  shows INTERP  $N \models_{hs} N$ 
 $\langle \text{proof} \rangle$ 

end

lemma tautology-is-redundant:
  assumes tautology  $C$ 
  shows abstract-red  $C\ N$ 
 $\langle \text{proof} \rangle$ 

lemma subsumed-is-redundant:
  assumes AB:  $A \subset\# B$ 
  and AN:  $A \in N$ 
  shows abstract-red  $B\ N$ 
 $\langle \text{proof} \rangle$ 

inductive redundant :: 'a clause  $\Rightarrow$  'a clauses  $\Rightarrow$  bool where
subsumption:  $A \in N \implies A \subset\# B \implies \text{redundant}\ B\ N$ 

lemma redundant-is-redundancy-criterion:
  fixes  $A :: 'a :: \text{wellorder clause}$  and  $N :: 'a :: \text{wellorder clauses}$ 
  assumes redundant  $A\ N$ 
  shows abstract-red  $A\ N$ 
 $\langle \text{proof} \rangle$ 

lemma redundant-mono:
  redundant  $A\ N \implies A \subseteq\# B \implies \text{redundant}\ B\ N$ 
 $\langle \text{proof} \rangle$ 

locale truc =
  selection  $S$  for  $S :: \text{nat clause} \Rightarrow \text{nat clause}$ 
begin

end

end

```