Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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Contents

theory *Wellfounded-More*
imports *Main*

begin

1 Transitions

This theory contains more facts about closure, the definition of full transformations, and well-foundedness.

1.1 More theorems about Closures

This is the equivalent of $?r \le ?s \Longrightarrow ?r^{**} \le ?s^{**}$ for *tranclp*

lemma *tranclp-mono-explicit*:
  $r^{++}\ a\ b \Longrightarrow r \le s \Longrightarrow s^{++}\ a\ b$
    using *rtranclp-mono* **by** (*auto dest*!: *tranclpD intro*: *rtranclp-into-tranclp2*)

lemma *tranclp-mono*:
  assumes *mono*: $r \le s$
  shows $r^{++} \le s^{++}$
    using *rtranclp-mono*[*OF mono*] *mono* **by** (*auto dest*!: *tranclpD intro*: *rtranclp-into-tranclp2*)

lemma *tranclp-idemp-rel*:
  $R^{++++}\ a\ b \longleftrightarrow R^{++}\ a\ b$
  apply (*rule iffI*)
    prefer *2* **apply** *blast*
  by (*induction rule*: *tranclp-induct*) *auto*

Equivalent of $?r^{****} = ?r^{**}$

lemma *trancl-idemp*: $(r^+)^+ = r^+$
  by *simp*

lemmas *tranclp-idemp*[*simp*] = *trancl-idemp*[*to-pred*]

This theorem already exists as $?r^{**}\ ?a\ ?b \equiv ?a = ?b \lor ?r^{++}\ ?a\ ?b$ (and sledgehammer uses it), but it makes sense to duplicate it, because it is unclear how stable the lemmas in Nitpick are.

lemma *rtranclp-unfold*: *rtranclp r a b* $\longleftrightarrow$ ($a = b \lor$ *tranclp r a b*)
  by (*meson rtranclp.simps rtranclpD tranclp-into-rtranclp*)

lemma *tranclp-unfold-end*: *tranclp r a b* $\longleftrightarrow$ ($\exists\, a'$. *rtranclp r a a'* $\land$ *r a' b*)
  by (*metis rtranclp.rtrancl-refl rtranclp-into-tranclp1 tranclp.cases tranclp-into-rtranclp*)

lemma *tranclp-unfold-begin*: *tranclp r a b* $\longleftrightarrow$ ($\exists\, a'$. *r a a'* $\land$ *rtranclp r a' b*)
  by (*meson rtranclp-into-tranclp2 tranclpD*)

lemma *trancl-set-tranclp*: $(a,\ b) \in \{(b,a).\ P\ a\ b\}^+ \longleftrightarrow P^{++}\ b\ a$
  apply (*rule iffI*)

apply (*induction rule*: *trancl-induct*; *simp*)
apply (*induction rule*: *tranclp-induct*; *auto simp*: *trancl-into-trancl2*)
done

lemma *tranclp-rtranclp-rtranclp-rel*: $R^{++**}\ a\ b \longleftrightarrow R^{**}\ a\ b$
by (*simp add*: *rtranclp-unfold*)

lemma *tranclp-rtranclp-rtranclp*[*simp*]: $R^{++**} = R^{**}$
by (*fastforce simp*: *rtranclp-unfold*)

lemma *rtranclp-exists-last-with-prop*:
assumes $R\ x\ z$
and $R^{**}\ z\ z'$ **and** $P\ x\ z$
shows $\exists\, y\ y'.\ R^{**}\ x\ y \wedge R\ y\ y' \wedge P\ y\ y' \wedge (\lambda a\ b.\ R\ a\ b \wedge \neg P\ a\ b)^{**}\ y'\ z'$
using *assms*(*2*,*1*,*3*)
proof (*induction arbitrary*:)
case *base*
then show *?case* **by** *auto*
next
case (*step* $z'\ z''$) **note** z = *this*(*2*) **and** *IH* =*this*(*3*)[*OF this*(*4*−*5*)]
show *?case*
apply (*cases* $P\ z'\ z''$)
apply (*rule exI*[*of* - z'], *rule exI*[*of* - z''])
using *z assms*(*1*) *step.hyps*(*1*) *step.prems*(*2*) **apply** *auto*[*1*]
using *IH z rtranclp.rtrancl-into-rtrancl* **by** *fastforce*
qed

lemma *rtranclp-and-rtranclp-left*: $(\lambda\ a\ b.\ P\ a\ b \wedge Q\ a\ b)^{**}\ S\ T \Longrightarrow P^{**}\ S\ T$
by (*induction rule*: *rtranclp-induct*) *auto*

1.2 Full Transitions

We define here properties to define properties after all possible transitions.

abbreviation *no-step step* $S \equiv (\forall\, S'.\ \neg step\ S\ S')$

definition *full1* :: $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ **where**
full1 transf = $(\lambda S\ S'.\ tranclp\ transf\ S\ S' \wedge (\forall\, S''.\ \neg\ transf\ S'\ S''))$

definition *full*:: $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ **where**
full transf = $(\lambda S\ S'.\ rtranclp\ transf\ S\ S' \wedge (\forall\, S''.\ \neg\ transf\ S'\ S''))$

lemma *rtranclp-full1I*:
$R^{**}\ a\ b \Longrightarrow full1\ R\ b\ c \Longrightarrow full1\ R\ a\ c$
unfolding *full1-def* **by** *auto*

lemma *tranclp-full1I*:
$R^{++}\ a\ b \Longrightarrow full1\ R\ b\ c \Longrightarrow full1\ R\ a\ c$
unfolding *full1-def* **by** *auto*

lemma *rtranclp-fullI*:
$R^{**}\ a\ b \Longrightarrow full\ R\ b\ c \Longrightarrow full\ R\ a\ c$
unfolding *full-def* **by** *auto*

lemma *tranclp-full-full1I*:
$R^{++}\ a\ b \Longrightarrow full\ R\ b\ c \Longrightarrow full1\ R\ a\ c$

```
  unfolding full-def full1-def by auto

lemma full-fullI:
  R a b ⟹ full R b c ⟹ full1 R a c
  unfolding full-def full1-def by auto

lemma full-unfold:
  full r S S' ⟷ ((S = S' ∧ no-step r S') ∨ full1 r S S')
  unfolding full-def full1-def by (auto simp add: rtranclp-unfold)

lemma full1-is-full[intro]: full1 R S T ⟹ full R S T
  by (simp add: full-unfold)

lemma not-full1-rtranclp-relation: ¬full1 R** a b
  by (meson full1-def rtranclp.rtrancl-refl)

lemma not-full-rtranclp-relation: ¬full R** a b
  by (meson full-fullI not-full1-rtranclp-relation rtranclp.rtrancl-refl)

lemma full1-tranclp-relation-full:
  full1 R++ a b ⟷ full1 R a b
  by (metis converse-tranclpE full1-def reflclp-tranclp rtranclpD rtranclp-idemp rtranclp-reflclp
    tranclp.r-into-trancl tranclp-into-rtranclp)

lemma full-tranclp-relation-full:
  full R++ a b ⟷ full R a b
  by (metis full-unfold full1-tranclp-relation-full tranclp.r-into-trancl tranclpD)

lemma rtranclp-full1-eq-or-full1:
  (full1 R)** a b ⟷ (a = b ∨ full1 R a b)
proof –
  have ∀ p a aa. ¬ p** (a::'a) aa ∨ a = aa ∨ (∃ ab. p** a ab ∧ p ab aa)
    by (metis rtranclp.cases)
  then obtain aa :: ('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a ⇒ 'a where
    f1: ∀ p a ab. ¬ p** a ab ∨ a = ab ∨ p** a (aa p a ab) ∧ p (aa p a ab) ab
    by moura
  { assume a ≠ b
    { assume ¬ full1 R a b ∧ a ≠ b
      then have a ≠ b ∧ a ≠ b ∧ ¬ full1 R (aa (full1 R) a b) b ∨ ¬ (full1 R)** a b ∧ a ≠ b
        using f1 by (metis (no-types) full1-def full1-tranclp-relation-full)
      then have ?thesis
        using f1 by blast }
    then have ?thesis
      by auto }
  then show ?thesis
    by fastforce
qed

lemma tranclp-full1-full1:
  (full1 R)++ a b ⟷ full1 R a b
  by (metis full1-def rtranclp-full1-eq-or-full1 tranclp-unfold-begin)
```

1.3 Well-Foundedness and Full Transitions

```
lemma wf-exists-normal-form:
  assumes wf:wf {(x, y). R y x}
  shows ∃ b. R** a b ∧ no-step R b
proof (rule ccontr)
  assume ¬ ?thesis
  then have H: ⋀b. ¬ R** a b ∨ ¬no-step R b
    by blast
  def F ≡ rec-nat a (λi b. SOME c. R b c)
  have [simp]: F 0 = a
    unfolding F-def by auto
  have [simp]: ⋀i. F (Suc i) = (SOME b. R (F i) b)
    using F-def by simp
  { fix i
    have ∀ j<i. R (F j) (F (Suc j))
      proof (induction i)
        case 0
        then show ?case by auto
      next
        case (Suc i)
        then have R** a (F i)
          by (induction i) auto
        then have R (F i) (SOME b. R (F i) b)
          using H by (simp add: someI-ex)
        then have ∀ j < Suc i. R (F j) (F (Suc j))
          using H Suc by (simp add: less-Suc-eq)
        then show ?case by fast
      qed
  }
  then have ∀ j. R (F j) (F (Suc j)) by blast
  then show False
    using wf unfolding wfP-def wf-iff-no-infinite-down-chain by blast
qed

lemma wf-exists-normal-form-full:
  assumes wf:wf {(x, y). R y x}
  shows ∃ b. full R a b
  using wf-exists-normal-form[OF assms] unfolding full-def by blast
```

1.4 More Well-Foundedness

A little list of theorems that could be useful, but are hidden:

- link between *wf* and infinite chains: $wf\ ?r = (\neg (\exists f.\ \forall i.\ (f\ (Suc\ i),\ f\ i) \in\ ?r))$, $[\![wf\ ?r;\ \bigwedge k.\ (?f\ (Suc\ k),\ ?f\ k) \notin\ ?r \Longrightarrow\ ?thesis]\!] \Longrightarrow\ ?thesis$

```
lemma wf-if-measure-in-wf:
  wf R ⟹ (⋀a b. (a, b) ∈ S ⟹ (ν a, ν b)∈R) ⟹ wf S
  by (metis in-inv-image wfE-min wfI-min wf-inv-image)

lemma wfP-if-measure: fixes f :: 'a ⇒ nat
shows (⋀x y. P x ⟹ g x y  ⟹ f y < f x) ⟹ wf {(y,x). P x ∧ g x y}
  apply(insert wf-measure[of f])
  apply(simp only: measure-def inv-image-def less-than-def less-eq)
  apply(erule wf-subset)
  apply auto
  done
```

```
lemma wf-if-measure-f:
assumes wf r
shows wf {(b, a). (f b, f a) ∈ r}
  using assms by (metis inv-image-def wf-inv-image)

lemma wf-wf-if-measure′:
assumes wf r and H: (⋀x y. P x ⟹ g x y ⟹ (f y, f x) ∈ r)
shows wf {(y,x). P x ∧ g x y}
proof −
  have wf {(b, a). (f b, f a) ∈ r} using assms(1) wf-if-measure-f by auto
  then have wf {(b, a). P a ∧ g a b ∧ (f b, f a) ∈ r}
    using wf-subset[of - {(b, a). P a ∧ g a b ∧ (f b, f a) ∈ r}] by auto
  moreover have {(b, a). P a ∧ g a b ∧ (f b, f a) ∈ r} ⊆ {(b, a). (f b, f a) ∈ r} by auto
  moreover have {(b, a). P a ∧ g a b ∧ (f b, f a) ∈ r} = {(b, a). P a ∧ g a b} using H by auto
  ultimately show ?thesis using wf-subset by simp
qed

lemma wf-lex-less: wf (lex {(a, b). (a::nat) < b})
proof −
  have m: {(a, b). a < b} = measure id by auto
  show ?thesis apply (rule wf-lex) unfolding m by auto
qed

lemma wfP-if-measure2: fixes f :: ′a ⇒ nat
shows (⋀x y. P x y ⟹ g x y  ⟹ f x < f y) ⟹ wf {(x,y). P x y ∧ g x y}
  apply(insert wf-measure[of f])
  apply(simp only: measure-def inv-image-def less-than-def less-eq)
  apply(erule wf-subset)
  apply auto
  done

lemma lexord-on-finite-set-is-wf:
  assumes
    P-finite: ⋀U. P U ⟶ U ∈ A and
    finite: finite A and
    wf: wf R and
    trans: trans R
  shows wf {(T, S). (P S ∧ P T) ∧ (T, S) ∈ lexord R}
proof (rule wfP-if-measure2)
  fix T S
  assume P: P S ∧ P T and
  s-le-t: (T, S) ∈ lexord R
  let ?f = λS. {U. (U, S) ∈ lexord R ∧ P U ∧ P S}
  have ?f T ⊆ ?f S
     using s-le-t P lexord-trans trans by auto
  moreover have T ∈ ?f S
    using s-le-t P by auto
  moreover have T ∉ ?f T
    using s-le-t by (auto simp add: lexord-irreflexive local.wf)
  ultimately have {U. (U, T) ∈ lexord R ∧ P U ∧ P T} ⊂ {U. (U, S) ∈ lexord R ∧ P U ∧ P S}
    by auto
  moreover have finite {U. (U, S) ∈ lexord R ∧ P U ∧ P S}
    using finite by (metis (no-types, lifting) P-finite finite-subset mem-Collect-eq subsetI)
  ultimately show card (?f T) < card (?f S) by (simp add: psubset-card-mono)
qed
```

```
lemma wf-fst-wf-pair:
  assumes wf {(M', M). R M' M}
  shows wf {((M', N'), (M, N)). R M' M}
proof –
  have wf ({(M', M). R M' M} <*lex*> {})
    using assms by auto
  then show ?thesis
    by (rule wf-subset) auto
qed

lemma wf-snd-wf-pair:
  assumes wf {(M', M). R M' M}
  shows wf {((M', N'), (M, N)). R N' N}
proof –
  have wf: wf {((M', N'), (M, N)). R M' M}
    using assms wf-fst-wf-pair by auto
  then have wf: ⋀P. (∀x. (∀y. (y, x) ∈ {((M', N'), M, N). R M' M} ⟶ P y) ⟶ P x) ⟹ All P
    unfolding wf-def by auto
  show ?thesis
    unfolding wf-def
    proof (intro allI impI)
      fix P :: 'c × 'a ⇒ bool and x :: 'c × 'a
      assume H: ∀x. (∀y. (y, x) ∈ {((M', N'), M, y). R N' y} ⟶ P y) ⟶ P x
      obtain a b where x: x = (a, b) by (cases x)
      have P: P x = (P ∘ (λ(a, b). (b, a))) (b, a)
        unfolding x by auto
      show P x
        using wf[of P o (λ(a, b). (b, a))] apply rule
          using H apply simp
        unfolding P by blast
    qed
qed

lemma wf-if-measure-f-notation2:
  assumes wf r
  shows wf {(b, h a)|b a. (f b, f (h a)) ∈ r}
  apply (rule wf-subset)
  using wf-if-measure-f[OF assms, of f] by auto

lemma wf-wf-if-measure'-notation2:
assumes wf r and H: (⋀x y. P x ⟹ g x y ⟹ (f y, f (h x)) ∈ r)
shows wf {(y,h x)| y x. P x ∧ g x y}
proof –
  have wf {(b, h a)|b a. (f b, f (h a)) ∈ r} using assms(1) wf-if-measure-f-notation2 by auto
  then have wf {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r}
    using wf-subset[of - {(b, h a)| b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r}] by auto
  moreover have {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r}
    ⊆ {(b, h a)|b a. (f b, f (h a)) ∈ r} by auto
  moreover have {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r} = {(b, h a)|b a. P a ∧ g a b}
    using H by auto
  ultimately show ?thesis using wf-subset by simp
qed
```

```
end
theory List-More
imports Main
begin
```

2 Various Lemmas

Close to $(\bigwedge n.\ \forall m{<}n.\ ?P\ m \Longrightarrow ?P\ n) \Longrightarrow ?P\ ?n$, but with a separation between zero and non-zero, and case names.

```
thm nat-less-induct
lemma nat-less-induct-case[case-names 0 Suc]:
  assumes
    P 0 and
    ⋀n. (∀ m < Suc n. P m) ⟹ P (Suc n)
  shows P n
  apply (induction rule: nat-less-induct)
  by (rename-tac n, case-tac n) (auto intro: assms)
```

This is only proved in simple cases by auto. In assumptions, nothing happens, and $?P\ (\mathit{if}\ ?Q\ \mathit{then}\ ?x\ \mathit{else}\ ?y) = (\neg\ (?Q \wedge \neg\ ?P\ ?x \vee \neg\ ?Q \wedge \neg\ ?P\ ?y))$ can blow up goals (because of other if expression).

```
lemma if-0-1-ge-0[simp]:
  0 < (if P then a else (0::nat)) ⟷ P ∧ 0 < a
  by auto
```

Bounded function have not been defined in Isabelle.

```
definition bounded where
bounded f ⟷ (∃ b. ∀ n. f n ≤ b)

abbreviation unbounded :: ('a ⇒ 'b::ord) ⇒ bool where
unbounded f ≡ ¬ bounded f

lemma not-bounded-nat-exists-larger:
  fixes f :: nat ⇒ nat
  assumes unbound: unbounded f
  shows ∃ n. f n > m ∧ n > n₀
proof (rule ccontr)
  assume H: ¬ ?thesis
  have finite {f n|n. n ≤ n₀}
    by auto
  have ⋀n. f n ≤ Max ({f n|n. n ≤ n₀} ∪ {m})
    apply (case-tac n ≤ n₀)
    apply (metis (mono-tags, lifting) Max-ge Un-insert-right ‹finite {f n |n. n ≤ n₀}›
      finite-insert insertCI mem-Collect-eq sup-bot.right-neutral)
    by (metis (no-types, lifting) H Max-less-iff Un-insert-right ‹finite {f n |n. n ≤ n₀}›
      finite-insert insertI1 insert-not-empty leI sup-bot.right-neutral)
  then show False
    using unbound unfolding bounded-def by auto
qed

lemma bounded-const-product:
  fixes k :: nat and f :: nat ⇒ nat
  assumes k > 0
  shows bounded f ⟷ bounded (λi. k ∗ f i)
  unfolding bounded-def apply (rule iffI)
   using mult-le-mono2 apply blast
  by (meson assms le-less-trans less-or-eq-imp-le nat-mult-less-cancel-disj split-div-lemma)
```

This lemma is not used, but here to show that a property that can be expected from *bounded* holds.

```
lemma bounded-finite-linorder:
  fixes f :: 'a ⇒ 'a ::{finite, linorder}
  shows bounded f
proof −
  have ⋀x. f x ≤ Max {f x|x. True}
    by (metis (mono-tags) Max-ge finite mem-Collect-eq)
  then show ?thesis
    unfolding bounded-def by blast
qed
```

3 More List

3.1 *upt*

The simplification rules are not very handy, because [*?i*..<*Suc ?j*] = (*if ?i* ≤ *?j then* [*?i*..<*?j*] @ [*?j*] *else* []) leads to a case distinction, that we do not want if the condition is not in the context.

```
lemma upt-Suc-le-append: ¬i ≤ j ⟹ [i..<Suc j] = []
  by auto

lemmas upt-simps[simp] = upt-Suc-append upt-Suc-le-append

declare upt.simps(2)[simp del]


lemma
  assumes i ≤ n − m
  shows take i [m..<n] = [m..<m+i]
  by (metis Nat.le-diff-conv2 add.commute assms diff-is-0-eq' linear take-upt upt-conv-Nil)
```

The counterpart for this lemma when $n - m < i$ is *length ?xs* ≤ *?n* ⟹ *take ?n ?xs* = *?xs*. It is close to *?i* + *?m* ≤ *?n* ⟹ *take ?m* [*?i*..<*?n*] = [*?i*..<*?i* + *?m*], but seems more general.

```
lemma take-upt-bound-minus[simp]:
  assumes i ≤ n − m
  shows take i [m..<n] = [m ..<m+i]
  using assms by (induction i) auto


lemma append-cons-eq-upt:
  assumes A @ B = [m..<n]
  shows A = [m ..<m+length A] and B = [m + length A..<n]
proof −
  have take (length A) (A @ B) = A by auto
  moreover
    have length A ≤ n − m using assms linear calculation by fastforce
    then have take (length A) [m..<n] = [m ..<m+length A] by auto
  ultimately show A = [m ..<m+length A] using assms by auto
  show B = [m + length A..<n] using assms by (metis append-eq-conv-conj drop-upt)
```

qed

The converse of *?A* @ *?B* = [*?m*..<*?n*] ⟹ *?A* = [*?m*..<*?m* + *length ?A*]
?A @ *?B* = [*?m*..<*?n*] ⟹ *?B* = [*?m* + *length ?A*..<*?n*] does not hold, for example if *B* is empty and *A* is [*0*::*'a*]:

lemma *A* @ *B* = [*m*..< *n*] ⟷ *A* = [*m* ..<*m*+*length A*] ∧ *B* = [*m* + *length A*..<*n*]

oops

A more restrictive version holds:

lemma *B* ≠ [] ⟹ *A* @ *B* = [*m*..< *n*] ⟷ *A* = [*m* ..<*m*+*length A*] ∧ *B* = [*m* + *length A*..<*n*]
  (**is** *?P* ⟹ *?A* = *?B*)
proof
  assume *?A* **then show** *?B* **by** (*auto simp add*: *append-cons-eq-upt*)
next
  assume *?P* **and** *?B*
  then show *?A* **using** *append-eq-conv-conj* **by** *fastforce*
qed

lemma *append-cons-eq-upt-length-i*:
  assumes *A* @ *i* # *B* = [*m*..<*n*]
  shows *A* = [*m* ..<*i*]
proof −
  have *A* = [*m* ..< *m* + *length A*] **using** *assms append-cons-eq-upt* **by** *auto*
  have (*A* @ *i* # *B*) ! (*length A*) = *i* **by** *auto*
  moreover have *n* − *m* = *length* (*A* @ *i* # *B*)
    using *assms length-upt* **by** *presburger*
  then have [*m*..<*n*] ! (*length A*) = *m* + *length A* **by** *simp*
  ultimately have *i* = *m* + *length A* **using** *assms* **by** *auto*
  then show *?thesis* **using** ‹*A* = [*m* ..< *m* + *length A*]› **by** *auto*
qed

lemma *append-cons-eq-upt-length*:
  assumes *A* @ *i* # *B* = [*m*..<*n*]
  shows *length A* = *i* − *m*
  using *assms*
proof (*induction A arbitrary*: *m*)
  case *Nil*
  then show *?case* **by** (*metis append-Nil diff-is-0-eq list.size*(*3*) *order-refl upt-eq-Cons-conv*)
next
  case (*Cons a A*)
  then have *A*: *A* @ *i* # *B* = [*m* + *1*..<*n*] **by** (*metis append-Cons upt-eq-Cons-conv*)
  then have *m* < *i* **by** (*metis Cons.prems append-cons-eq-upt-length-i upt-eq-Cons-conv*)
  with *Cons.IH*[*OF A*] **show** *?case* **by** *auto*
qed

lemma *append-cons-eq-upt-length-i-end*:
  assumes *A* @ *i* # *B* = [*m*..<*n*]
  shows *B* = [*Suc i* ..<*n*]
proof −
  have *B* = [*Suc m* + *length A*..<*n*] **using** *assms append-cons-eq-upt*[*of A* @ [*i*] *B m n*] **by** *auto*
  have (*A* @ *i* # *B*) ! (*length A*) = *i* **by** *auto*
  moreover have *n* − *m* = *length* (*A* @ *i* # *B*)
    using *assms length-upt* **by** *auto*
  then have [*m*..<*n*]! (*length A*) = *m* + *length A* **by** *simp*

```
  ultimately have i = m + length A using assms by auto
  then show ?thesis using ‹B = [Suc m + length A..<n]› by auto
qed

lemma Max-n-upt: Max (insert 0 {Suc 0..<n}) = n − Suc 0
proof (induct n)
  case 0
  then show ?case by simp
next
  case (Suc n) note IH = this
  have i: insert 0 {Suc 0..<Suc n} = insert 0 {Suc 0..< n} ∪ {n} by auto
  show ?case using IH unfolding i by auto
qed

lemma upt-decomp-lt:
  assumes H: xs @ i # ys @ j # zs = [m ..< n]
  shows i < j
proof −
  have xs: xs = [m ..< i] and ys: ys = [Suc i ..< j] and zs: zs = [Suc j ..< n]
    using H by (auto dest: append-cons-eq-upt-length-i append-cons-eq-upt-length-i-end)
  show ?thesis
    by (metis append-cons-eq-upt-length-i-end assms lessI less-trans self-append-conv2
      upt-eq-Cons-conv upt-rec ys)
qed
```

3.2 Lexicographic ordering

We are working a lot on lexicographic ordering over pairs.

```
lemma list-length2-append-cons:
  [c, d] = ys @ y # ys' ⟷ (ys = [] ∧ y = c ∧ ys' = [d]) ∨ (ys = [c] ∧ y = d ∧ ys' = [])
  by (cases ys; cases ys') auto

lemma lexn2-conv:
  ([a, b], [c, d]) ∈ lexn r 2 ⟷ (a, c)∈r ∨ (a = c ∧ (b, d) ∈r)
  unfolding lexn-conv by (auto simp add: list-length2-append-cons)

end
theory Prop-Logic

imports Main

begin
```

4 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

4.1 Definition and abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

```
datatype 'v propo =
  FT | FF | FVar 'v | FNot 'v propo | FAnd 'v propo 'v propo | FOr 'v propo 'v propo
```

  | *FImp 'v propo 'v propo* | *FEq 'v propo 'v propo*

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

datatype *'v connective* = *CT* | *CF* | *CVar 'v* | *CNot* | *CAnd* | *COr* | *CImp* | *CEq*

abbreviation *nullary-connective* ≡ {*CF*} ∪ {*CT*} ∪ {*CVar x* | *x*. *True*}
definition *binary-connectives* ≡ {*CAnd*, *COr*, *CImp*, *CEq*}

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

lemma *propo-induct-arity*[*case-names nullary unary binary*]:
  fixes φ ψ :: *'v propo*
  assumes *nullary*: (⋀φ *x*. φ = *FF* ∨ φ = *FT* ∨ φ = *FVar x* ⟹ *P* φ)
  and *unary*: (⋀ψ. *P* ψ ⟹ *P* (*FNot* ψ))
  and *binary*: (⋀φ ψ*1* ψ*2*. *P* ψ*1* ⟹ *P* ψ*2* ⟹ φ = *FAnd* ψ*1* ψ*2* ∨ φ = *FOr* ψ*1* ψ*2* ∨ φ = *FImp* ψ*1* ψ*2*
    ∨ φ = *FEq* ψ*1* ψ*2* ⟹ *P* φ)
  shows *P* ψ
  apply (*induct rule*: *propo.induct*)
  using *assms* **by** *metis+*

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

fun *conn* :: *'v connective* ⇒ *'v propo list* ⇒ *'v propo* **where**
conn CT [] = *FT* |
conn CF [] = *FF* |
conn (*CVar v*) [] = *FVar v* |
conn CNot [φ] = *FNot* φ |
conn CAnd (φ# [ψ]) = *FAnd* φ ψ |
conn COr (φ# [ψ]) = *FOr* φ ψ |
conn CImp (φ# [ψ]) = *FImp* φ ψ |
conn CEq (φ# [ψ]) = *FEq* φ ψ |
conn - - = *FF*

We will often use case distinction, based on the arity of the *'v connective*, thus we define our own splitting principle.

lemma *connective-cases-arity*[*case-names nullary binary unary*]:
  assumes *nullary*: ⋀*x*. *c* = *CT* ∨ *c* = *CF* ∨ *c* = *CVar x* ⟹ *P*
  and *binary*: *c* ∈ *binary-connectives* ⟹ *P*
  and *unary*: *c* = *CNot* ⟹ *P*
  shows *P*
  using *assms* **by** (*cases c*) (*auto simp*: *binary-connectives-def*)

lemma *connective-cases-arity-2*[*case-names nullary unary binary*]:
  assumes *nullary*: *c* ∈ *nullary-connective* ⟹ *P*
  and *unary*: *c* = *CNot* ⟹ *P*
  and *binary*: *c* ∈ *binary-connectives* ⟹ *P*
  shows *P*
  using *assms* **by** (*cases c*, *auto simp add*: *binary-connectives-def*)

Our previous definition is not necessary correct (connective and list of arguments) , so we define an inductive predicate.

```
inductive wf-conn :: 'v connective ⇒ 'v propo list ⇒ bool for c :: 'v connective where
wf-conn-nullary[simp]: (c = CT ∨ c = CF ∨ c  = CVar v) ⟹ wf-conn c [] |
wf-conn-unary[simp]: c = CNot ⟹ wf-conn c [ψ] |
wf-conn-binary[simp]: c ∈ binary-connectives ⟹ wf-conn c (ψ # ψ' # [])
thm wf-conn.induct
lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
  assumes wf-conn c x and
    (⋀v. c = CT ⟹ P []) and
    (⋀v. c = CF ⟹ P []) and
    (⋀v. c = CVar v ⟹ P []) and
    (⋀ψ. c = CNot ⟹ P [ψ]) and
    (⋀ψ ψ'. c = COr ⟹ P [ψ, ψ'])  and
    (⋀ψ ψ'. c = CAnd ⟹ P [ψ, ψ'])  and
    (⋀ψ ψ'. c = CImp ⟹ P [ψ, ψ'])  and
    (⋀ψ ψ'. c = CEq ⟹ P [ψ, ψ'])
    shows  P x
   using assms by induction (auto simp add: binary-connectives-def)
```

4.2 properties of the abstraction

First we can define simplification rules.

```
lemma wf-conn-conn[simp]:
  wf-conn CT l ⟹ conn CT l = FT
  wf-conn CF l ⟹ conn CF l = FF
  wf-conn (CVar x) l ⟹ conn (CVar x) l = FVar x
  apply (simp-all add: wf-conn.simps)
  unfolding binary-connectives-def by simp-all


lemma wf-conn-list-decomp[simp]:
  wf-conn CT l ⟷ l = []
  wf-conn CF l ⟷ l = []
  wf-conn (CVar x) l ⟷ l = []
  wf-conn CNot (ξ @ φ # ξ') ⟷ ξ = [] ∧ ξ' = []
  apply (simp-all add: wf-conn.simps)
       unfolding binary-connectives-def apply simp-all
  by (metis append-Nil append-is-Nil-conv list.distinct(1) list.sel(3) tl-append2)


lemma wf-conn-list:
  wf-conn c l ⟹ conn c l = FT ⟷ (c = CT ∧ l = [])
  wf-conn c l ⟹ conn c l = FF  ⟷ (c = CF ∧ l = [])
  wf-conn c l ⟹ conn c l = FVar x  ⟷ (c = CVar x ∧ l = [])
  wf-conn c l ⟹ conn c l = FAnd a b  ⟷ (c = CAnd ∧ l = a # b # [])
  wf-conn c l ⟹ conn c l = FOr a b ⟷ (c = COr ∧ l = a # b # [])
  wf-conn c l ⟹ conn c l = FEq a b ⟷ (c = CEq ∧ l = a # b # [])
  wf-conn c l ⟹ conn c l = FImp a b ⟷ (c = CImp ∧ l = a # b # [])
  wf-conn c l ⟹ conn c l = FNot a ⟷ (c = CNot ∧ l = a # [])
  apply (induct l rule: wf-conn.induct)
  unfolding binary-connectives-def by auto
```

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

```
lemma list-length2-decomp: length l = 2 ⟹ (∃ a b. l = a # b # [])
  apply (induct l, auto)
  by (rename-tac l, case-tac l, auto)
```

wf-conn for binary operators means that there are two arguments.

```
lemma wf-conn-bin-list-length:
  fixes l :: 'v propo list
  assumes conn: c ∈ binary-connectives
  shows length l = 2 ⟷ wf-conn c l
proof
  assume length l = 2
  thus wf-conn c l using wf-conn-binary list-length2-decomp using conn by metis
next
  assume wf-conn c l
  thus length l = 2 (is ?P l)
    proof (cases rule: wf-conn.induct)
      case wf-conn-nullary
      thus ?P [] using conn binary-connectives-def
        using connective.distinct(11) connective.distinct(13) connective.distinct(9) by blast
    next
      fix ψ :: 'v propo
      case wf-conn-unary
      thus ?P [ψ] using conn binary-connectives-def
        using connective.distinct by blast
    next
      fix ψ ψ':: 'v propo
      show ?P [ψ, ψ'] by auto
    qed
qed

lemma wf-conn-not-list-length[iff]:
  fixes l :: 'v propo list
  shows wf-conn CNot l ⟷ length l = 1
  apply auto
  apply (metis append-Nil connective.distinct(5,17,27) length-Cons list.size(3) wf-conn.simps
    wf-conn-list-decomp(4))
  by (simp add: length-Suc-conv wf-conn.simps)
```

Decomposing the Not into an element is moreover very useful.

```
lemma wf-conn-Not-decomp:
  fixes l :: 'v propo list and a :: 'v
  assumes corr: wf-conn CNot l
  shows ∃ a. l = [a]
  by (metis (no-types, lifting) One-nat-def Suc-length-conv corr length-0-conv
    wf-conn-not-list-length)
```

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

```
lemma wf-conn-no-arity-change:
  length l = length l' ⟹ wf-conn c l ⟷ wf-conn c l'
proof –
  {
    fix l l'
    have length l = length l' ⟹ wf-conn c l ⟹ wf-conn c l'
      apply (cases c l rule: wf-conn.induct, auto)
    by (metis wf-conn-bin-list-length)
  }
  thus length l = length l′ ⟹ wf-conn c l = wf-conn c l′ by metis
qed

lemma wf-conn-no-arity-change-helper:
  length (ξ @ φ # ξ′) = length (ξ @ φ′ # ξ′)
  by auto
```

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

```
lemma conn-inj-not:
  assumes correct: wf-conn c l
  and conn: conn c l = FNot ψ
  shows c = CNot and l = [ψ]
  apply (cases c l rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def apply auto
  apply (cases c l rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def by auto


lemma conn-inj:
  fixes c ca :: ′v connective and l ψs :: ′v propo list
  assumes corr: wf-conn ca l
  and corr′: wf-conn c ψs
  and eq: conn ca l = conn c ψs
  shows ca = c ∧ ψs = l
  using corr
proof (cases ca l rule: wf-conn.cases)
  case (wf-conn-nullary v)
  thus ca = c ∧ ψs = l using assms
      by (metis conn.simps(1) conn.simps(2) conn.simps(3) wf-conn-list(1−3))
next
  case (wf-conn-unary ψ′)
  hence ∗: FNot ψ′ = conn c ψs using conn-inj-not eq assms by auto
  hence c = ca by (metis conn-inj-not(1) corr′ wf-conn-unary(2))
  moreover have ψs = l using ∗ conn-inj-not(2) corr′ wf-conn-unary(1) by force
  ultimately show ca = c ∧ ψs = l by auto
next
  case (wf-conn-binary ψ′ ψ′′)
  thus ca = c ∧ ψs = l
    using eq corr′ unfolding binary-connectives-def apply (cases ca, auto simp add: wf-conn-list)
    using wf-conn-list(4−7) corr′ by metis+
qed
```

4.3 Subformulas and properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

```
inductive subformula :: ′v propo ⇒ ′v propo ⇒ bool (infix ≼ 45) for φ where
subformula-refl[simp]: φ ≼ φ |
subformula-into-subformula: ψ ∈ set l ⟹ wf-conn c l ⟹ φ ≼ ψ ⟹ φ ≼ conn c l
```

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

lemma *subformula-in-subformula-not*:
shows *b*: *FNot* $\varphi \preceq \psi \Longrightarrow \varphi \preceq \psi$
  apply (*induct rule*: *subformula.induct*)
  using *subformula-into-subformula wf-conn-unary subformula-refl list.set-intros*(*1*) *subformula-refl*
    by (*fastforce intro*: *subformula-into-subformula*)+

lemma *subformula-in-binary-conn*:
  assumes *conn*: $c \in$ *binary-connectives*
  shows $f \preceq$ *conn c* [f, g]
  and $g \preceq$ *conn c* [f, g]
proof −
  have *a*: *wf-conn c* (f# [g]) **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*
  moreover have *b*: $f \preceq f$ **using** *subformula-refl* **by** *auto*
  ultimately show $f \preceq$ *conn c* [f, g]
    by (*metis append-Nil in-set-conv-decomp subformula-into-subformula*)
next
  have *a*: *wf-conn c* ([f] @ [g]) **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*
  moreover have *b*: $g \preceq g$ **using** *subformula-refl* **by** *auto*
  ultimately show $g \preceq$ *conn c* [f, g] **using** *subformula-into-subformula* **by** *force*
qed

lemma *subformula-trans*:
 $\psi \preceq \psi' \Longrightarrow \varphi \preceq \psi \Longrightarrow \varphi \preceq \psi'$
  apply (*induct* ψ' *rule*: *subformula.inducts*)
  by (*auto simp add*: *subformula-into-subformula*)

lemma *subformula-leaf*:
  fixes φ ψ :: *'v propo*
  assumes *incl*: $\varphi \preceq \psi$
  and *simple*: $\psi = FT \vee \psi = FF \vee \psi = FVar\ x$
  shows $\varphi = \psi$
  using *incl simple*
  by (*induct rule*: *subformula.induct*, *auto simp add*: *wf-conn-list*)

lemma *subfurmula-not-incl-eq*:
  assumes $\varphi \preceq$ *conn c l*
  and *wf-conn c l*
  and $\forall \psi.\ \psi \in$ *set l* $\longrightarrow \neg\ \varphi \preceq \psi$
  shows $\varphi =$ *conn c l*
  using *assms* **apply** (*induction conn c l rule*: *subformula.induct*, *auto*)
  using *conn-inj* **by** *blast*

lemma *wf-subformula-conn-cases*:
  wf-conn c l $\Longrightarrow \varphi \preceq$ *conn c l* $\longleftrightarrow$ ($\varphi =$ *conn c l* $\vee$ ($\exists \psi.\ \psi \in$ *set l* $\wedge\ \varphi \preceq \psi$))
  apply *standard*
    using *subfurmula-not-incl-eq* **apply** *metis*
  by (*auto simp add*: *subformula-into-subformula*)

lemma *subformula-decomp-explicit*[*simp*]:
  $\varphi \preceq$ *FAnd* ψ ψ' $\longleftrightarrow$ ($\varphi =$ *FAnd* ψ ψ' $\vee\ \varphi \preceq \psi \vee \varphi \preceq \psi'$) (**is** *?P FAnd*)
  $\varphi \preceq$ *FOr* ψ ψ' $\longleftrightarrow$ ($\varphi =$ *FOr* ψ ψ' $\vee\ \varphi \preceq \psi \vee \varphi \preceq \psi'$)

```
  φ ≼ FEq ψ ψ' ⟷ (φ = FEq ψ ψ' ∨ φ ≼ ψ ∨ φ ≼ ψ')
  φ ≼ FImp ψ ψ' ⟷ (φ = FImp ψ ψ' ∨ φ ≼ ψ ∨ φ ≼ ψ')
proof −
  have wf-conn CAnd [ψ, ψ'] by (simp add: binary-connectives-def)
  hence φ ≼ conn CAnd [ψ, ψ'] ⟷ (φ = conn CAnd [ψ, ψ'] ∨ (∃ψ''. ψ'' ∈ set [ψ, ψ'] ∧ φ ≼ ψ''))
    using wf-subformula-conn-cases by metis
  thus ?P FAnd by auto
next
  have wf-conn COr [ψ, ψ'] by (simp add: binary-connectives-def)
  hence φ ≼ conn COr [ψ, ψ'] ⟷ (φ = conn COr [ψ, ψ'] ∨ (∃ψ''. ψ'' ∈ set [ψ, ψ'] ∧ φ ≼ ψ''))
    using wf-subformula-conn-cases by metis
  thus ?P FOr by auto
next
  have wf-conn CEq [ψ, ψ'] by (simp add: binary-connectives-def)
  hence φ ≼ conn CEq [ψ, ψ'] ⟷ (φ = conn CEq [ψ, ψ'] ∨ (∃ψ''. ψ'' ∈ set [ψ, ψ'] ∧ φ ≼ ψ''))
    using wf-subformula-conn-cases by metis
  thus ?P FEq by auto
next
  have wf-conn CImp [ψ, ψ'] by (simp add: binary-connectives-def)
  hence φ ≼ conn CImp [ψ, ψ'] ⟷ (φ = conn CImp [ψ, ψ'] ∨ (∃ψ''. ψ'' ∈ set [ψ, ψ'] ∧ φ ≼ ψ''))
    using wf-subformula-conn-cases by metis
  thus ?P FImp by auto
qed


lemma wf-conn-helper-facts[iff]:
  wf-conn CNot [φ]
  wf-conn CT []
  wf-conn CF []
  wf-conn (CVar x) []
  wf-conn CAnd [φ, ψ]
  wf-conn COr [φ, ψ]
  wf-conn CImp [φ, ψ]
  wf-conn CEq [φ, ψ]
  using wf-conn.intros unfolding binary-connectives-def by fastforce+

lemma exists-c-conn: ∃ c l. φ = conn c l ∧ wf-conn c l
  by (cases φ) force+

lemma subformula-conn-decomp[simp]:
  assumes wf: wf-conn c l
  shows φ ≼ conn c l ⟷ (φ = conn c l ∨ (∃ ψ∈ set l. φ ≼ ψ)) (is ?A ⟷ ?B)
proof (rule iffI)
  {
    fix ξ
    have φ ≼ ξ ⟹ ξ = conn c l ⟹ wf-conn c l ⟹ ∀x::'a propo∈set l. ¬ φ ≼ x ⟹ φ = conn c l
      apply (induct rule: subformula.induct)
        apply simp
      using conn-inj by blast
  }
  moreover assume ?A
  ultimately show ?B using wf by metis
next
  assume ?B
  then show φ ≼ conn c l using wf wf-subformula-conn-cases by blast
```

qed

lemma *subformula-leaf-explicit*[*simp*]:
  $\varphi \preceq FT \longleftrightarrow \varphi = FT$
  $\varphi \preceq FF \longleftrightarrow \varphi = FF$
  $\varphi \preceq FVar\ x \longleftrightarrow \varphi = FVar\ x$
  apply *auto*
  using *subformula-leaf* **by** *metis* +

The variables inside the formula gives precisely the variables that are needed for the formula.

primrec *vars-of-prop*:: *'v propo* ⇒ *'v set* **where**
vars-of-prop $FT = \{\}$ |
vars-of-prop $FF = \{\}$ |
vars-of-prop $(FVar\ x) = \{x\}$ |
vars-of-prop $(FNot\ \varphi)$ = *vars-of-prop* φ |
vars-of-prop $(FAnd\ \varphi\ \psi)$ = *vars-of-prop* $\varphi \cup$ *vars-of-prop* ψ |
vars-of-prop $(FOr\ \varphi\ \psi)$ = *vars-of-prop* $\varphi \cup$ *vars-of-prop* ψ |
vars-of-prop $(FImp\ \varphi\ \psi)$ = *vars-of-prop* $\varphi \cup$ *vars-of-prop* ψ |
vars-of-prop $(FEq\ \varphi\ \psi)$ = *vars-of-prop* $\varphi \cup$ *vars-of-prop* ψ

lemma *vars-of-prop-incl-conn*:
  fixes $\xi\ \xi'$ :: *'v propo list* **and** ψ :: *'v propo* **and** c :: *'v connective*
  assumes *corr*: *wf-conn c l* **and** *incl*: $\psi \in$ *set l*
  shows *vars-of-prop* $\psi \subseteq$ *vars-of-prop* (*conn c l*)
proof (*cases c rule*: *connective-cases-arity-2*)
  case *nullary*
  hence *False* **using** *corr incl* **by** *auto*
  thus *vars-of-prop* $\psi \subseteq$ *vars-of-prop* (*conn c l*) **by** *blast*
next
  case *binary* **note** c = *this*
  then obtain a b **where** *ab*: $l = [a, b]$
    using *wf-conn-bin-list-length list-length2-decomp corr* **by** *metis*
  hence $\psi = a \lor \psi = b$ **using** *incl* **by** *auto*
  thus *vars-of-prop* $\psi \subseteq$ *vars-of-prop* (*conn c l*)
    using *ab c* **unfolding** *binary-connectives-def* **by** *auto*
next
  case *unary* **note** c = *this*
  fix φ :: *'v propo*
  have $l = [\psi]$ **using** *corr c incl split-list* **by** *force*
  thus *vars-of-prop* $\psi \subseteq$ *vars-of-prop* (*conn c l*) **using** c **by** *auto*
qed

The set of variables is compatible with the subformula order.

lemma *subformula-vars-of-prop*:
  $\varphi \preceq \psi \Longrightarrow$ *vars-of-prop* $\varphi \subseteq$ *vars-of-prop* ψ
  apply (*induct rule*: *subformula.induct*)
  apply *simp*
  using *vars-of-prop-incl-conn* **by** *blast*

4.4 Positions

Instead of 1 or 2 we use L or R

datatype *sign* = L | R

We use *nil* instead of ε.

```
fun pos :: 'v propo ⇒ sign list set where
pos FF = {[]} |
pos FT = {[]} |
pos (FVar x) = {[]} |
pos (FAnd φ ψ) = {[]} ∪ { L # p | p. p∈ pos φ} ∪ { R # p | p. p∈ pos ψ} |
pos (FOr φ ψ) = {[]} ∪ { L # p | p. p∈ pos φ} ∪ { R # p | p. p∈ pos ψ} |
pos (FEq φ ψ) = {[]} ∪ { L # p | p. p∈ pos φ} ∪ { R # p | p. p∈ pos ψ} |
pos (FImp φ ψ) = {[]} ∪ { L # p | p. p∈ pos φ} ∪ { R # p | p. p∈ pos ψ} |
pos (FNot φ) = {[]} ∪ { L # p | p. p∈ pos φ}

lemma finite-pos: finite (pos φ)
  by (induct φ, auto)


lemma finite-inj-comp-set:
  fixes s :: 'v set
  assumes finite: finite s
  and inj: inj f
  shows card ({f p |p. p ∈ s}) = card s
  using finite
proof (induct s rule: finite-induct)
  show card {f p |p. p ∈ {}} = card {} by auto
next
  fix x :: 'v and s:: 'v set
  assume f: finite s and notin: x ∉ s
  and IH: card {f p |p. p ∈ s} = card s
  have f': finite {f p |p. p ∈ insert x s} using f by auto
  have notin': f x ∉ {f p |p. p ∈ s} using notin inj injD by fastforce
  have {f p |p. p ∈ insert x s} = insert (f x) {f p |p. p∈ s} by auto
  hence card {f p |p. p ∈ insert x s} = 1 + card {f p |p. p ∈ s}
    using finite card-insert-disjoint f' notin' by auto
  moreover have ... = card (insert x s) using notin f IH by auto
  finally show card {f p |p. p ∈ insert x s} = card (insert x s) .
qed

lemma cons-inject:
  inj (op # s)
  by (meson injI list.inject)


lemma finite-insert-nil-cons:
  finite s ⟹ card (insert [] {L # p |p. p ∈ s}) = 1 + card {L # p |p. p ∈ s}
using card-insert-disjoint by auto


lemma cord-not[simp]:
  card (pos (FNot φ)) = 1 + card (pos φ)
by (simp add: cons-inject finite-inj-comp-set finite-pos)

lemma card-seperate:
  assumes finite s1 and finite s2
  shows card ({L # p |p. p ∈ s1} ∪ {R # p |p. p ∈ s2}) = card ({L # p |p. p ∈ s1})
           + card({R # p |p. p ∈ s2}) (is card (?L∪?R) = card ?L + card ?R)
proof -
```

have *finite ?L* **using** *assms* **by** *auto*
moreover have *finite ?R* **using** *assms* **by** *auto*
moreover have *?L ∩ ?R* = {} **by** *blast*
ultimately show *?thesis* **using** *assms card-Un-disjoint* **by** *blast*
qed

definition *prop-size* **where** *prop-size φ = card (pos φ)*

lemma *prop-size-vars-of-prop*:
fixes *φ :: 'v propo*
shows *card (vars-of-prop φ) ≤ prop-size φ*
unfolding *prop-size-def* **apply** (*induct φ, auto simp add: cons-inject finite-inj-comp-set finite-pos*)
proof −
fix *φ1 φ2 :: 'v propo*
assume *IH1*: *card (vars-of-prop φ1) ≤ card (pos φ1)*
and *IH2*: *card (vars-of-prop φ2) ≤ card (pos φ2)*
let *?L = {L # p |p. p ∈ pos φ1}*
let *?R = {R # p |p. p ∈ pos φ2}*
have *card (?L ∪ ?R) = card ?L + card ?R*
using *card-seperate finite-pos* **by** *blast*
moreover have ... = *card (pos φ1) + card (pos φ2)*
by (*simp add: cons-inject finite-inj-comp-set finite-pos*)
moreover have ... ≥ *card (vars-of-prop φ1) + card (vars-of-prop φ2)* **using** *IH1 IH2* **by** *arith*
hence ... ≥ *card (vars-of-prop φ1 ∪ vars-of-prop φ2)* **using** *card-Un-le le-trans* **by** *blast*
ultimately
show *card (vars-of-prop φ1 ∪ vars-of-prop φ2) ≤ Suc (card (?L ∪ ?R))*
card (vars-of-prop φ1 ∪ vars-of-prop φ2) ≤ Suc (card (?L ∪ ?R))
card (vars-of-prop φ1 ∪ vars-of-prop φ2) ≤ Suc (card (?L ∪ ?R))
card (vars-of-prop φ1 ∪ vars-of-prop φ2) ≤ Suc (card (?L ∪ ?R))
by *auto*
qed

value *pos (FImp (FAnd (FVar P) (FVar Q)) (FOr (FVar P) (FVar Q)))*

inductive *path-to :: sign list ⇒ 'v propo ⇒ 'v propo ⇒ bool* **where**
path-to-refl[intro]: *path-to [] φ φ* |
path-to-l: *c∈binary-connectives ∨ c = CNot ⟹ wf-conn c (φ#l) ⟹ path-to p φ φ'*
⟹ path-to (L#p) (conn c (φ#l)) φ' |
path-to-r: *c∈binary-connectives ⟹ wf-conn c (ψ#φ#[]) ⟹ path-to p φ φ'*
⟹ path-to (R#p) (conn c (ψ#φ#[])) φ'

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

lemma *path-to-subformula*:
path-to p φ φ' ⟹ φ' ⪯ φ
apply (*induct rule: path-to.induct*)
apply *simp*
apply (*metis list.set-intros(1) subformula-into-subformula*)
using *subformula-trans subformula-in-binary-conn(2)* **by** *metis*

lemma *subformula-path-exists*:

```
  fixes φ φ':: 'v propo
  shows φ' ≼ φ ⟹ ∃ p. path-to p φ φ'
proof (induct rule: subformula.induct)
  case subformula-refl
  have path-to [] φ' φ' by auto
  thus ∃ p. path-to p φ' φ' by metis
next
  case (subformula-into-subformula ψ l c)
  note wf = this(2) and IH = this(4) and ψ = this(1)
  then obtain p where p: path-to p ψ φ' by metis
  {
    fix x :: 'v
    assume c = CT ∨ c = CF ∨ c = CVar x
    hence False using subformula-into-subformula by auto
    hence ∃ p. path-to p (conn c l) φ' by blast
  }
  moreover {
    assume c: c = CNot
    hence l = [ψ] using wf ψ wf-conn-Not-decomp by fastforce
    hence path-to (L # p) (conn c l) φ' by (metis c wf-conn-unary p path-to-l)
   hence ∃ p. path-to p (conn c l) φ' by blast
  }
  moreover {
    assume c: c∈ binary-connectives
    obtain a b where ab: [a, b] = l using subformula-into-subformula c wf-conn-bin-list-length
      list-length2-decomp by metis
    hence a = ψ ∨ b = ψ using ψ by auto
    hence path-to (L # p) (conn c l) φ' ∨ path-to (R # p) (conn c l) φ' using c  path-to-l
      path-to-r p ab by (metis wf-conn-binary)
    hence ∃ p. path-to p (conn c l) φ' by blast
  }
  ultimately show ∃ p. path-to p (conn c l) φ' using connective-cases-arity by metis
qed

fun replace-at :: sign list ⇒ 'v propo ⇒ 'v propo ⇒ 'v propo where
replace-at [] - ψ = ψ |
replace-at (L # l) (FAnd φ φ') ψ = FAnd (replace-at l φ ψ) φ'|
replace-at (R # l) (FAnd φ φ') ψ = FAnd φ (replace-at l φ' ψ) |
replace-at (L # l) (FOr φ φ') ψ = FOr (replace-at l φ ψ) φ' |
replace-at (R # l) (FOr φ φ') ψ = FOr φ (replace-at l φ' ψ) |
replace-at (L # l) (FEq φ φ') ψ = FEq (replace-at l φ ψ) φ'|
replace-at (R # l) (FEq φ φ') ψ = FEq φ (replace-at l φ' ψ) |
replace-at (L # l) (FImp φ φ') ψ = FImp (replace-at l φ ψ) φ'|
replace-at (R # l) (FImp φ φ') ψ = FImp φ (replace-at l φ' ψ) |
replace-at (L # l) (FNot φ) ψ = FNot (replace-at l φ ψ)
```

5 Semantics over the syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

```
fun eval :: ('v ⇒ bool) ⇒ 'v propo ⇒ bool (infix ⊨ 50) where
𝒜 ⊨ FT = True |
𝒜 ⊨ FF = False |
𝒜 ⊨ FVar v = (𝒜 v) |
```

$\mathcal{A} \models \mathit{FNot}\ \varphi = (\neg(\mathcal{A}\models \varphi))$ |
$\mathcal{A} \models \mathit{FAnd}\ \varphi_1\ \varphi_2 = (\mathcal{A}\models\varphi_1 \wedge \mathcal{A}\models\varphi_2)$ |
$\mathcal{A} \models \mathit{FOr}\ \varphi_1\ \varphi_2 = (\mathcal{A}\models\varphi_1 \vee \mathcal{A}\models\varphi_2)$ |
$\mathcal{A} \models \mathit{FImp}\ \varphi_1\ \varphi_2 = (\mathcal{A}\models\varphi_1 \longrightarrow \mathcal{A}\models\varphi_2)$ |
$\mathcal{A} \models \mathit{FEq}\ \varphi_1\ \varphi_2 = (\mathcal{A}\models\varphi_1 \longleftrightarrow \mathcal{A} \models\varphi_2)$

definition *evalf* (**infix** $\models$*f 50*) **where**
evalf $\varphi\ \psi = (\forall A.\ A \models \varphi \longrightarrow A \models \psi)$

The deduction rule is in the book. And the proof looks like to the one of the book.

lemma *deduction-rule*:
  $(\varphi \models f\ \psi) \longleftrightarrow (\forall A.\ (A\models \mathit{FImp}\ \varphi\ \psi))$
proof
  assume *H*: $\varphi \models f\ \psi$
  {
    fix *A*

"Suppose that φ entails ψ (assumption $\varphi \models f\ \psi$) and let A be an arbitrary $'v$-valuation. We need to show $A \models \mathit{FImp}\ \varphi\ \psi$. "

    {

If $A\ \varphi = (1{::}'b)$, then $A\ \varphi = (1{::}'b)$, because φ entails ψ, and therefore $A \models \mathit{FImp}\ \varphi\ \psi$.

      assume $A \models \varphi$
      hence $A \models \psi$ **using** *H* **unfolding** *evalf-def* **by** *metis*
      hence $A \models \mathit{FImp}\ \varphi\ \psi$ **by** *auto*
    }
    moreover **{**

For otherwise, if $A\ \varphi = (0{::}'b)$, then $A \models \mathit{FImp}\ \varphi\ \psi$ holds by definition, independently of the value of $A \models \psi$.

      assume $\neg\ A \models \varphi$
      hence $A \models \mathit{FImp}\ \varphi\ \psi$ **by** *auto*
    }

In both cases $A \models \mathit{FImp}\ \varphi\ \psi$.

    ultimately have $A\models \mathit{FImp}\ \varphi\ \psi$ **by** *blast*
  }
  thus $\forall A.\ A \models \mathit{FImp}\ \varphi\ \psi$ **by** *blast*
next
  show $\forall A.\ A \models \mathit{FImp}\ \varphi\ \psi \Longrightarrow \varphi \models f\ \psi$
    proof (*rule ccontr*)
      assume $\neg\varphi\models f\ \psi$
      then obtain *A* **where** $A \models \varphi \wedge \neg A\models\psi$ **using** *evalf-def* **by** *metis*
      hence $\neg\ A \models \mathit{FImp}\ \varphi\ \psi$ **by** *auto*
      moreover assume $\forall A.\ A \models \mathit{FImp}\ \varphi\ \psi$
      ultimately show *False* **by** *blast*
    qed
qed

A shorter proof:

lemma $\varphi \models f\ \psi \longleftrightarrow (\forall A.\ A\models \mathit{FImp}\ \varphi\ \psi)$
  by (*simp add*: *evalf-def*)

definition *same-over-set*:: $('v \Rightarrow \mathit{bool}) \Rightarrow ('v \Rightarrow \mathit{bool}) \Rightarrow\ 'v\ \mathit{set} \Rightarrow \mathit{bool}$ **where**

same-over-set A B S = ($\forall$ *c*$\in$*S*. *A c* = *B c*)

If two mapping A and B have the same value over the variables, then the same formula are satisfiable.

lemma *same-over-set-eval*:
  assumes *same-over-set A B* (*vars-of-prop* φ)
  shows $A \models \varphi \longleftrightarrow B \models \varphi$
  using *assms* **unfolding** *same-over-set-def* **by** (*induct* φ, *auto*)

end
theory *Prop-Abstract-Transformation*
imports *Main Prop-Logic Wellfounded-More*

begin

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

6 Rewrite systems and properties

6.1 Lifting of rewrite rules

We can lift a rewrite relation r over a full1 formula: the relation r works on terms, while *propo-rew-step* works on formulas.

inductive *propo-rew-step* :: (*'v propo* $\Rightarrow$ *'v propo* $\Rightarrow$ *bool*) $\Rightarrow$ *'v propo* $\Rightarrow$ *'v propo* $\Rightarrow$ *bool*
  for *r* :: *'v propo* $\Rightarrow$ *'v propo* $\Rightarrow$ *bool* **where**
global-rel: *r* φ ψ $\Longrightarrow$ *propo-rew-step r* φ ψ |
propo-rew-one-step-lift: *propo-rew-step r* φ φ' $\Longrightarrow$ *wf-conn c* (ψs @ φ # $\psi s'$)
  $\Longrightarrow$ *propo-rew-step r* (*conn c* (ψs @ φ # $\psi s'$)) (*conn c* (ψs @ φ'# $\psi s'$))

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between φ and φ', then there are two subformulas ψ in φ and ψ' in φ', ψ' is the result of the rewriting of r on ψ.

This lemma is only a health condition:

lemma *propo-rew-step-subformula-imp*:
shows *propo-rew-step r* φ φ' $\Longrightarrow \exists\ \psi\ \psi'.\ \psi \preceq \varphi \wedge \psi' \preceq \varphi' \wedge r\ \psi\ \psi'$
  apply (*induct rule*: *propo-rew-step.induct*)
    using *subformula.simps subformula-into-subformula* **apply** *blast*
  using *wf-conn-no-arity-change subformula-into-subformula wf-conn-no-arity-change-helper*
  in-set-conv-decomp **by** *metis*

The converse is moreover true: if there is a ψ and ψ', then every formula φ containing ψ, can be rewritten into a formula φ', such that it contains φ'.

lemma *propo-rew-step-subformula-rec*:
  fixes ψ ψ' φ :: *'v propo*
  shows $\psi \preceq \varphi \Longrightarrow r\ \psi\ \psi' \Longrightarrow (\exists \varphi'.\ \psi' \preceq \varphi' \wedge$ *propo-rew-step r* φ φ')
proof (*induct* φ *rule*: *subformula.induct*)
  case *subformula-refl*
  hence *propo-rew-step r* ψ ψ' **using** *propo-rew-step.intros* **by** *auto*
  moreover have $\psi' \preceq \psi'$ **using** *Prop-Logic.subformula-refl* **by** *auto*
  ultimately show $\exists \varphi'.\ \psi' \preceq \varphi' \wedge$ *propo-rew-step r* ψ φ' **by** *fastforce*
next

```
  case (subformula-into-subformula ψ'' l c)
  note IH = this(4) and r = this(5) and ψ'' = this(1) and wf = this(2) and incl = this(3)
  then obtain φ' where *: ψ' ≼ φ' ∧ propo-rew-step r ψ'' φ' by metis
  moreover obtain ξ ξ' :: 'v propo list where
    l: l = ξ @ ψ'' # ξ' using List.split-list ψ'' by metis
  ultimately have propo-rew-step r (conn c l) (conn c (ξ @ φ' # ξ'))
    using propo-rew-step.intros(2) wf by metis
  moreover have ψ' ≼ conn c (ξ @ φ' # ξ')
    using wf * wf-conn-no-arity-change Prop-Logic.subformula-into-subformula
    by (metis (no-types) in-set-conv-decomp l wf-conn-no-arity-change-helper)
  ultimately show ∃φ'. ψ' ≼ φ' ∧ propo-rew-step r (conn c l) φ' by metis
qed

lemma propo-rew-step-subformula:
  (∃ψ ψ'. ψ ≼ φ ∧ r ψ ψ') ⟷ (∃φ'. propo-rew-step r φ φ')
  using propo-rew-step-subformula-imp propo-rew-step-subformula-rec by metis+

lemma consistency-decompose-into-list:
  assumes wf: wf-conn c l and wf': wf-conn c l'
  and same: ∀n. (A ⊨ l ! n ⟷ (A ⊨ l' ! n))
  shows (A ⊨ conn c l) = (A ⊨ conn c l')
proof (cases c rule: connective-cases-arity-2)
  case nullary
  thus (A ⊨ conn c l) ⟷ (A ⊨ conn c l') using wf wf' by auto
next
  case unary note c = this
  then obtain a where l: l = [a] using wf-conn-Not-decomp wf by metis
  obtain a' where l': l' = [a'] using wf-conn-Not-decomp wf' c by metis
  have A ⊨ a ⟷ A ⊨ a' using l l' by (metis nth-Cons-0 same)
  thus A ⊨ conn c l ⟷ A ⊨ conn c l' using  l l' c by auto
next
  case binary note c = this
  then obtain a b where l: l = [a, b]
    using wf-conn-bin-list-length list-length2-decomp wf by metis
  obtain a' b' where l': l' = [a', b']
    using wf-conn-bin-list-length list-length2-decomp wf' c by metis

  have p: A ⊨ a ⟷ A ⊨ a' A ⊨ b ⟷ A ⊨ b'
    using l l' same by (metis diff-Suc-1 nth-Cons' nat.distinct(2))+
  show A ⊨ conn c l ⟷ A ⊨ conn c l'
    using wf c p unfolding binary-connectives-def l l' by auto
qed
```

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step r φ φ'* means that we rewrite *ψ* inside *φ* (ie at a path *p*) into *ψ'*.

```
lemma propo-rew-step-rewrite:
  fixes φ φ' :: 'v propo and r :: 'v propo ⇒ 'v propo ⇒ bool
  assumes propo-rew-step r φ φ'
  shows ∃ψ ψ' p. r ψ ψ' ∧ path-to p φ ψ ∧ replace-at p φ ψ' = φ'
  using assms
proof (induct rule: propo-rew-step.induct)
  case(global-rel φ ψ)
  moreover have path-to [] φ φ by auto
  moreover have replace-at [] φ ψ = ψ by auto
  ultimately show ?case by metis
```

```
next
  case (propo-rew-one-step-lift $\varphi$ $\varphi'$ c $\xi$ $\xi'$) note rel = this(1) and IH0 = this(2) and corr = this(3)
  obtain $\psi$ $\psi'$ p where IH: r $\psi$ $\psi'$ $\wedge$ path-to p $\varphi$ $\psi$ $\wedge$ replace-at p $\varphi$ $\psi'$ = $\varphi'$ using IH0 by metis

  {
    fix x :: 'v
    assume c = CT $\vee$ c = CF $\vee$ c = CVar x
    hence False using corr by auto
    hence $\exists\psi$ $\psi'$ p. r $\psi$ $\psi'$ $\wedge$ path-to p (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi$
                    $\wedge$ replace-at p (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi'$ = conn c ($\xi$@ ($\varphi'$ # $\xi'$))
      by fast
  }
  moreover {
    assume c: c = CNot
    hence empty: $\xi$ =[] $\xi'$=[] using corr by auto
    have path-to (L#p) (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi$
      using c empty IH wf-conn-unary path-to-l by fastforce
    moreover have replace-at (L#p) (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi'$ = conn c ($\xi$@ ($\varphi'$ # $\xi'$))
      using c empty IH by auto
    ultimately have $\exists\psi$ $\psi'$ p. r $\psi$ $\psi'$ $\wedge$ path-to p (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi$
                          $\wedge$ replace-at p (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi'$ = conn c ($\xi$@ ($\varphi'$ # $\xi'$))
    using IH by metis
  }
  moreover {
    assume c: c $\in$ binary-connectives
    have length ($\xi$@ $\varphi$ # $\xi'$) = 2 using wf-conn-bin-list-length corr c by metis
    hence length $\xi$ + length $\xi'$ = 1 by auto
    hence ld: (length $\xi$ = 1 $\wedge$ length $\xi'$ = 0) $\vee$ (length $\xi$ = 0 $\wedge$ length $\xi'$ = 1)  by arith
    obtain a b where ab: ($\xi$=[] $\wedge$ $\xi'$=[b]) $\vee$ ($\xi$=[a] $\wedge$ $\xi'$=[])
      using ld by (case-tac $\xi$, case-tac $\xi'$, auto)
    {
      assume $\varphi$: $\xi$=[] $\wedge$ $\xi'$=[b]
      have path-to (L#p) (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi$
        using $\varphi$ c IH ab  corr  by (simp add: path-to-l)
      moreover have replace-at (L#p) (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi'$ = conn c ($\xi$@ ($\varphi'$ # $\xi'$))
        using c IH ab $\varphi$ unfolding binary-connectives-def by auto
      ultimately have $\exists\psi$ $\psi'$ p. r $\psi$ $\psi'$ $\wedge$ path-to p (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi$
        $\wedge$ replace-at p (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi'$ = conn c ($\xi$@ ($\varphi'$ # $\xi'$))
        using IH by metis
    }
    moreover {
      assume $\varphi$: $\xi$=[a]  $\xi'$=[]
      hence path-to (R#p) (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi$
        using c IH corr path-to-r corr $\varphi$  by (simp add: path-to-r)
      moreover have replace-at (R#p) (conn c ($\xi$@ ($\varphi$ # $\xi'$))) $\psi'$ = conn c ($\xi$@ ($\varphi'$ # $\xi'$))
        using c IH ab $\varphi$ unfolding binary-connectives-def by auto
      ultimately have ?case using IH by metis
    }
    ultimately have ?case using ab by blast
  }
  ultimately show ?case using connective-cases-arity by blast
qed
```

6.2 Consistency preservation

We define *preserves-un-sat*: it means that a relation preserves consistency.

definition *preserves-un-sat* **where**
$preserves\text{-}un\text{-}sat\ r \longleftrightarrow (\forall \varphi\ \psi.\ r\ \varphi\ \psi \longrightarrow (\forall A.\ A \models \varphi \longleftrightarrow A \models \psi))$

lemma *propo-rew-step-preservers-val-explicit*:
$propo\text{-}rew\text{-}step\ r\ \varphi\ \psi \Longrightarrow preserves\text{-}un\text{-}sat\ r \Longrightarrow propo\text{-}rew\text{-}step\ r\ \varphi\ \psi \Longrightarrow (\forall A.\ A \models\varphi \longleftrightarrow A\models\psi)$
  unfolding *preserves-un-sat-def*
proof (*induction rule*: *propo-rew-step.induct*)
  case *global-rel*
  thus *?case* **by** *simp*
next
  case (*propo-rew-one-step-lift* $\varphi\ \varphi'\ c\ \xi\ \xi'$) **note** *rel = this*(*1*) **and** *wf = this*(*2*)
    and *IH = this*(*3*)[*OF this*(*4*) *this*(*1*)] **and** *consistent = this*(*4*)
  {
    fix *A*
    from *IH* **have** $\forall n.\ (A \models (\xi\ @\ \varphi\ \#\ \xi')\ !\ n) = (A \models (\xi\ @\ \varphi'\ \#\ \xi')\ !\ n)$
      by (*metis* (*mono-tags*, *hide-lams*) *list-update-length nth-Cons-0 nth-append-length-plus*
        nth-list-update-neq)
    hence $(A \models conn\ c\ (\xi\ @\ \varphi\ \#\ \xi')) = (A \models conn\ c\ (\xi\ @\ \varphi'\ \#\ \xi'))$
      by (*meson consistency-decompose-into-list wf wf-conn-no-arity-change-helper*
        wf-conn-no-arity-change)
  }
  thus $\forall A.\ A \models conn\ c\ (\xi\ @\ \varphi\ \#\ \xi') \longleftrightarrow A \models conn\ c\ (\xi\ @\ \varphi'\ \#\ \xi')$ **by** *auto*
qed

lemma *propo-rew-step-preservers-val′*:
  assumes *preserves-un-sat r*
  shows *preserves-un-sat* (*propo-rew-step r*)
  using *assms* **by** (*simp add*: *preserves-un-sat-def propo-rew-step-preservers-val-explicit*)

lemma *preserves-un-sat-OO*[*intro*]:
preserves-un-sat f $\Longrightarrow$ *preserves-un-sat g* $\Longrightarrow$ *preserves-un-sat* (*f OO g*)
  unfolding *preserves-un-sat-def* **by** *auto*

lemma *star-consistency-preservation-explicit*:
  assumes (*propo-rew-step r*)^** $\varphi\ \psi$ **and** *preserves-un-sat r*
  shows $\forall A.\ A \models \varphi \longleftrightarrow A \models \psi$
  using *assms* **by** (*induct rule*: *rtranclp-induct*)
    (*auto simp add*: *propo-rew-step-preservers-val-explicit*)

lemma *star-consistency-preservation*:
preserves-un-sat r $\Longrightarrow$ *preserves-un-sat* (*propo-rew-step r*)^**
  by (*simp add*: *star-consistency-preservation-explicit preserves-un-sat-def*)

6.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

lemma *full-ropo-rew-step-preservers-val*[*simp*]:
preserves-un-sat r $\Longrightarrow$ *preserves-un-sat* (*full* (*propo-rew-step r*))
  by (*metis full-def preserves-un-sat-def star-consistency-preservation*)

lemma *full-propo-rew-step-subformula*:
full (*propo-rew-step r*) φ' φ $\Longrightarrow$ $\neg(\exists\ \psi\ \psi'.\ \psi \preceq \varphi \wedge r\ \psi\ \psi')$
  unfolding *full-def* **using** *propo-rew-step-subformula-rec* **by** *metis*

7 Transformation testing

7.1 Definition and first properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

definition *all-subformula-st* :: ('*a propo* $\Rightarrow$ *bool*) $\Rightarrow$ '*a propo* $\Rightarrow$ *bool* **where**
all-subformula-st test-symb $\varphi \equiv \forall\psi.\ \psi \preceq \varphi \longrightarrow$ *test-symb* ψ

lemma *test-symb-imp-all-subformula-st*[*simp*]:
  test-symb FT $\Longrightarrow$ *all-subformula-st test-symb FT*
  test-symb FF $\Longrightarrow$ *all-subformula-st test-symb FF*
  test-symb (*FVar x*) $\Longrightarrow$ *all-subformula-st test-symb* (*FVar x*)
  unfolding *all-subformula-st-def* **using** *subformula-leaf* **by** *metis*+

lemma *all-subformula-st-test-symb-true-phi*:
  all-subformula-st test-symb φ $\Longrightarrow$ *test-symb* φ
  unfolding *all-subformula-st-def* **by** *auto*

lemma *all-subformula-st-decomp-imp*:
  wf-conn c l $\Longrightarrow$ (*test-symb* (*conn c l*) $\wedge$ ($\forall\varphi\in$ *set l. all-subformula-st test-symb* φ))
  $\Longrightarrow$ *all-subformula-st test-symb* (*conn c l*)
  unfolding *all-subformula-st-def* **by** *auto*

To ease the finding of proofs, we give some explicit theorem about the decomposition.

lemma *all-subformula-st-decomp-rec*:
  all-subformula-st test-symb (*conn c l*) $\Longrightarrow$ *wf-conn c l*
    $\Longrightarrow$ (*test-symb* (*conn c l*) $\wedge$ ($\forall\varphi\in$ *set l. all-subformula-st test-symb* φ))
  unfolding *all-subformula-st-def* **by** *auto*

lemma *all-subformula-st-decomp*:
  fixes *c* :: '*v connective* **and** *l* :: '*v propo list*
  assumes *wf-conn c l*
  shows *all-subformula-st test-symb* (*conn c l*)
    $\longleftrightarrow$ (*test-symb* (*conn c l*) $\wedge$ ($\forall\varphi\in$ *set l. all-subformula-st test-symb* φ))
  using *assms all-subformula-st-decomp-rec all-subformula-st-decomp-imp* **by** *metis*

lemma *helper-fact*: *c* $\in$ *binary-connectives* $\longleftrightarrow$ (*c* = *COr* $\vee$ *c* = *CAnd* $\vee$ *c* = *CEq* $\vee$ *c* = *CImp*)
  unfolding *binary-connectives-def* **by** *auto*
lemma *all-subformula-st-decomp-explicit*[*simp*]:
  fixes φ ψ :: '*v propo*
  shows *all-subformula-st test-symb* (*FAnd* φ ψ)

$\longleftrightarrow$ (*test-symb* (*FAnd* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
and *all-subformula-st test-symb* (*FOr* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FOr* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
and *all-subformula-st test-symb* (*FNot* φ)
$\longleftrightarrow$ (*test-symb* (*FNot* φ) $\wedge$ *all-subformula-st test-symb* φ)
and *all-subformula-st test-symb* (*FEq* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FEq* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
and *all-subformula-st test-symb* (*FImp* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FImp* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
proof −
have *all-subformula-st test-symb* (*FAnd* φ ψ) $\longleftrightarrow$ *all-subformula-st test-symb* (*conn CAnd* [φ, ψ])
by *auto*
moreover have ... $\longleftrightarrow$*test-symb* (*conn CAnd* [φ, ψ])$\wedge$($\forall\xi\in$ *set* [φ, ψ]. *all-subformula-st test-symb* ξ)
using *all-subformula-st-decomp wf-conn-helper-facts(5)* **by** *metis*
finally show *all-subformula-st test-symb* (*FAnd* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FAnd* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FOr* φ ψ) $\longleftrightarrow$ *all-subformula-st test-symb* (*conn COr* [φ, ψ])
by *auto*
moreover have ... $\longleftrightarrow$
(*test-symb* (*conn COr* [φ, ψ]) $\wedge$ ($\forall\xi\in$ *set* [φ, ψ]. *all-subformula-st test-symb* ξ))
using *all-subformula-st-decomp wf-conn-helper-facts(6)* **by** *metis*
finally show *all-subformula-st test-symb* (*FOr* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FOr* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FEq* φ ψ) $\longleftrightarrow$ *all-subformula-st test-symb* (*conn CEq* [φ, ψ])
by *auto*
moreover have ...
$\longleftrightarrow$ (*test-symb* (*conn CEq* [φ, ψ]) $\wedge$ ($\forall\xi\in$ *set* [φ, ψ]. *all-subformula-st test-symb* ξ))
using *all-subformula-st-decomp wf-conn-helper-facts(8)* **by** *metis*
finally show *all-subformula-st test-symb* (*FEq* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FEq* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FImp* φ ψ) $\longleftrightarrow$ *all-subformula-st test-symb* (*conn CImp* [φ, ψ])
by *auto*
moreover have ...
$\longleftrightarrow$(*test-symb* (*conn CImp* [φ, ψ]) $\wedge$ ($\forall\xi\in$ *set* [φ, ψ]. *all-subformula-st test-symb* ξ))
using *all-subformula-st-decomp wf-conn-helper-facts(7)* **by** *metis*
finally show *all-subformula-st test-symb* (*FImp* φ ψ)
$\longleftrightarrow$ (*test-symb* (*FImp* φ ψ) $\wedge$ *all-subformula-st test-symb* φ $\wedge$ *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FNot* φ) $\longleftrightarrow$ *all-subformula-st test-symb* (*conn CNot* [φ])
by *auto*
moreover have ... = (*test-symb* (*conn CNot* [φ]) $\wedge$ ($\forall\xi\in$ *set* [φ]. *all-subformula-st test-symb* ξ))
using *all-subformula-st-decomp wf-conn-helper-facts(1)* **by** *metis*
finally show *all-subformula-st test-symb* (*FNot* φ)
$\longleftrightarrow$ (*test-symb* (*FNot* φ) $\wedge$ *all-subformula-st test-symb* φ) **by** *simp*
qed

As *all-subformula-st* tests recursively, the function is true on every subformula.

```
lemma subformula-all-subformula-st:
  ψ ≼ φ ⟹ all-subformula-st test-symb φ ⟹ all-subformula-st test-symb ψ
  by (induct rule: subformula.induct, auto simp add: all-subformula-st-decomp)
```

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation r: if we assume that if every time *test-symb* is true, then a r can be applied, finally as long as ¬ *all-subformula-st test-symb* φ, then something can be rewritten in φ.

```
lemma no-test-symb-step-exists:
  fixes r:: 'v propo ⇒ 'v propo ⇒ bool and test-symb:: 'v propo ⇒ bool and x :: 'v
  and φ :: 'v propo
  assumes test-symb-false-nullary: ∀x. test-symb FF ∧ test-symb FT ∧ test-symb (FVar x)
  and ∀φ'. φ' ≼ φ ⟶ (¬test-symb φ') ⟶  (∃ ψ. r φ' ψ) and
  ¬ all-subformula-st test-symb φ
  shows (∃ψ ψ'. ψ ≼ φ ∧ r ψ ψ')
  using assms
proof (induct φ rule: propo-induct-arity)
  case (nullary φ x)
  thus ∃ψ ψ'. ψ ≼ φ ∧ r ψ ψ'
    using wf-conn-nullary test-symb-false-nullary by fastforce
next
   case (unary φ) note IH = this(1)[OF this(2)] and r = this(2)  and nst = this(3) and subf =
this(4)
  from r IH nst have H: ¬ all-subformula-st test-symb φ ⟹ ∃ψ. ψ ≼ φ ∧ (∃ψ'. r ψ ψ')
    by (metis subformula-in-subformula-not subformula-refl subformula-trans)
  {
    assume n: ¬test-symb (FNot φ)
    obtain ψ where r (FNot φ) ψ using subformula-refl r n nst by blast
    moreover have FNot φ ≼ FNot φ using subformula-refl by auto
    ultimately have ∃ψ ψ'. ψ ≼ FNot φ ∧ r ψ ψ' by metis
  }
  moreover {
    assume n: test-symb (FNot φ)
    hence ¬ all-subformula-st test-symb φ
      using all-subformula-st-decomp-explicit(3) nst subf by blast
    hence ∃ψ ψ'. ψ ≼ FNot φ ∧ r ψ ψ'
      using H subformula-in-subformula-not subformula-refl subformula-trans by blast
  }
  ultimately show ∃ψ ψ'. ψ ≼ FNot φ ∧ r ψ ψ' by blast
next
  case (binary φ φ1 φ2)
  note IHφ1-0 = this(1)[OF this(4)] and IHφ2-0 = this(2)[OF this(4)] and r = this(4)
    and φ = this(3) and le = this(5) and nst = this(6)

  obtain c :: 'v connective where
    c: (c = CAnd ∨ c = COr ∨ c = CImp ∨ c = CEq) ∧ conn c [φ1, φ2] = φ
    using φ by fastforce

  hence corr: wf-conn c [φ1, φ2] using wf-conn.simps unfolding binary-connectives-def by auto
  have inc: φ1 ≼ φ φ2 ≼ φ using binary-connectives-def c subformula-in-binary-conn by blast+
  from r IHφ1-0 have IHφ1: ¬ all-subformula-st test-symb φ1 ⟹ ∃ψ ψ'. ψ ≼ φ1 ∧ r ψ ψ'
    using inc(1) subformula-trans le by blast
  from r IHφ2-0 have IHφ2: ¬ all-subformula-st test-symb φ2 ⟹ ∃ψ. ψ ≼ φ2 ∧ (∃ψ'. r ψ ψ')
    using inc(2) subformula-trans le by blast
  have cases: ¬test-symb φ ∨ ¬all-subformula-st test-symb φ1 ∨ ¬all-subformula-st test-symb φ2
```

using *c nst* **by** *auto*
show $\exists \psi\ \psi'.\ \psi \preceq \varphi \wedge r\ \psi\ \psi'$
using *IHφ1 IHφ2 subformula-trans inc subformula-refl cases le* **by** *blast*
qed

7.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption $\forall \varphi'\ \psi.\ \varphi' \preceq \Phi \longrightarrow r\ \varphi'\ \psi \longrightarrow$ *all-subformula-st test-symb* $\varphi' \longrightarrow$ *all-subformula-st test-symb* ψ means that rewriting with r does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from r to *propo-rew-step* r: we have to add the assumption that rewriting inside does not mess up the term: $\forall c\ \xi\ \varphi\ \xi'\ \varphi'.\ \varphi \preceq \Phi \longrightarrow$ *propo-rew-step* $r\ \varphi\ \varphi' \longrightarrow$ *wf-conn* $c\ (\xi\ @\ \varphi\ \#\ \xi') \longrightarrow$ *test-symb* $($*conn* $c\ (\xi\ @\ \varphi\ \#\ \xi')) \longrightarrow$ *test-symb* $\varphi' \longrightarrow$ *test-symb* $($*conn* $c\ (\xi\ @\ \varphi'\ \#\ \xi'))$

7.2.1 Invariant while lifting of the rewriting relation

The condition $\varphi \preceq \Phi$ (that will by used with $\Phi = \varphi$ most of the time) is here to ensure that the recursive conditions on Φ will moreover hold for the subterm we are rewriting. For example if there is no equivalence symbol in Φ, we do not have to care about equivalence symbols in the two previous assumptions.

lemma *propo-rew-step-inv-stay'*:
fixes r:: *'v propo* $\Rightarrow$ *'v propo* $\Rightarrow$ *bool* **and** *test-symb*:: *'v propo* $\Rightarrow$ *bool* **and** x :: *'v*
and $\varphi\ \psi\ \Phi$:: *'v propo*
assumes H: $\forall \varphi'\ \psi.\ \varphi' \preceq \Phi \longrightarrow r\ \varphi'\ \psi \longrightarrow$ *all-subformula-st test-symb* φ'
$\longrightarrow$ *all-subformula-st test-symb* ψ
and H': $\forall (c$:: *'v connective*$)\ \xi\ \varphi\ \xi'\ \varphi'.\ \varphi \preceq \Phi \longrightarrow$ *propo-rew-step* $r\ \varphi\ \varphi'$
$\longrightarrow$ *wf-conn* $c\ (\xi\ @\ \varphi\ \#\ \xi') \longrightarrow$ *test-symb* $($*conn* $c\ (\xi\ @\ \varphi\ \#\ \xi')) \longrightarrow$ *test-symb* φ'
$\longrightarrow$ *test-symb* $($*conn* $c\ (\xi\ @\ \varphi'\ \#\ \xi'))$ **and**
propo-rew-step $r\ \varphi\ \psi$ **and**
$\varphi \preceq \Phi$ **and**
all-subformula-st test-symb φ
shows *all-subformula-st test-symb* ψ
using *assms*$(3-5)$
proof (*induct rule*: *propo-rew-step.induct*)
case *global-rel*
thus *?case* **using** H **by** *simp*
next
case (*propo-rew-one-step-lift* $\varphi\ \varphi'\ c\ \xi\ \xi'$)
note *rel* = *this*(1) **and** φ = *this*(2) **and** *corr* = *this*(3) **and** Φ = *this*(4) **and** *nst* = *this*(5)
have *sq*: $\varphi \preceq \Phi$
using Φ *corr subformula-into-subformula subformula-refl subformula-trans*
by (*metis in-set-conv-decomp*)
from *corr* **have** $\forall\ \psi.\ \psi \in$ *set* $(\xi\ @\ \varphi\ \#\ \xi') \longrightarrow$ *all-subformula-st test-symb* ψ
using *all-subformula-st-decomp nst* **by** *blast*
hence $*$: $\forall \psi.\ \psi \in$ *set* $(\xi\ @\ \varphi'\ \#\ \xi') \longrightarrow$ *all-subformula-st test-symb* ψ **using** φ *sq* **by** *fastforce*
hence *test-symb* φ' **using** *all-subformula-st-test-symb-true-phi* **by** *auto*
moreover from *corr nst* **have** *test-symb* $($*conn* $c\ (\xi\ @\ \varphi\ \#\ \xi'))$

```
    using all-subformula-st-decomp by blast
  ultimately have test-symb: test-symb (conn c (ξ @ φ' # ξ')) using H' sq corr rel by blast

  have wf-conn c (ξ @ φ' # ξ')
    by (metis wf-conn-no-arity-change-helper corr wf-conn-no-arity-change)
  thus all-subformula-st test-symb (conn c (ξ @ φ' # ξ'))
    using * test-symb by (metis all-subformula-st-decomp)
qed
```

The need for $\varphi \preceq \Phi$ is not always necessary, hence we moreover have a version without inclusion.

```
lemma propo-rew-step-inv-stay:
  fixes r:: 'v propo ⇒ 'v propo ⇒ bool and test-symb:: 'v propo ⇒ bool and x :: 'v
  and φ ψ :: 'v propo
  assumes
    H: ∀φ' ψ. r φ' ψ ⟶ all-subformula-st test-symb φ' ⟶ all-subformula-st test-symb ψ and
    H': ∀(c:: 'v connective) ξ φ ξ' φ'. wf-conn c (ξ @ φ # ξ') ⟶ test-symb (conn c (ξ @ φ # ξ'))
      ⟶ test-symb φ' ⟶ test-symb (conn c (ξ @ φ' # ξ')) and
    propo-rew-step r φ ψ and
    all-subformula-st test-symb φ
  shows all-subformula-st test-symb ψ
  using propo-rew-step-inv-stay'[of φ r test-symb φ ψ] assms subformula-refl by metis
```

The lemmas can be lifted to *full (propo-rew-step r)* instead of *propo-rew-step*

7.2.2 Invariant after all rewriting

```
lemma full-propo-rew-step-inv-stay-with-inc:
  fixes r:: 'v propo ⇒ 'v propo ⇒ bool and test-symb:: 'v propo ⇒ bool and x :: 'v
  and φ ψ :: 'v propo
  assumes
    H: ∀ φ ψ. propo-rew-step r φ ψ ⟶ all-subformula-st test-symb φ
      ⟶ all-subformula-st test-symb ψ and
    H': ∀(c:: 'v connective) ξ φ ξ' φ'. φ ≼ Φ ⟶ propo-rew-step r φ φ'
      ⟶ wf-conn c (ξ @ φ # ξ') ⟶ test-symb (conn c (ξ @ φ # ξ')) ⟶ test-symb φ'
      ⟶ test-symb (conn c (ξ @ φ' # ξ')) and
      φ ≼ Φ and
    full: full (propo-rew-step r) φ ψ and
    init: all-subformula-st test-symb φ
  shows all-subformula-st test-symb ψ
  using assms unfolding full-def
proof –
  have rel: (propo-rew-step r)** φ ψ
    using full unfolding full-def by auto
  thus all-subformula-st test-symb ψ
    using init
    proof (induct rule: rtranclp-induct)
      case base
      then show all-subformula-st test-symb φ by blast
    next
      case (step b c) note star = this(1) and IH = this(3) and one = this(2) and all = this(4)
      then have all-subformula-st test-symb b by metis
      then show all-subformula-st test-symb c using propo-rew-step-inv-stay' H H' rel one by auto
    qed
qed
```

lemma *full-propo-rew-step-inv-stay'*:
  fixes *r*:: *'v propo* ⇒ *'v propo* ⇒ *bool* **and** *test-symb*:: *'v propo* ⇒ *bool* **and** *x* :: *'v*
  and *φ ψ* :: *'v propo*
  assumes
    H: ∀ *φ ψ*. *propo-rew-step r φ ψ* ⟶ *all-subformula-st test-symb φ*
      ⟶ *all-subformula-st test-symb ψ* **and**
    H': ∀ (*c*:: *'v connective*) *ξ φ ξ' φ'*. *propo-rew-step r φ φ'* ⟶ *wf-conn c* (*ξ* @ *φ* # *ξ'*)
      ⟶ *test-symb* (*conn c* (*ξ* @ *φ* # *ξ'*)) ⟶ *test-symb φ'* ⟶ *test-symb* (*conn c* (*ξ* @ *φ'* # *ξ'*)) **and**
    full: *full* (*propo-rew-step r*) *φ ψ* **and**
    init: *all-subformula-st test-symb φ*
  shows *all-subformula-st test-symb ψ*
  using *full-propo-rew-step-inv-stay-with-inc*[*of r test-symb φ*] *assms subformula-refl* **by** *metis*

lemma *full-propo-rew-step-inv-stay*:
  fixes *r*:: *'v propo* ⇒ *'v propo* ⇒ *bool* **and** *test-symb*:: *'v propo* ⇒ *bool* **and** *x* :: *'v*
  and *φ ψ* :: *'v propo*
  assumes
    H: ∀ *φ ψ*. *r φ ψ* ⟶ *all-subformula-st test-symb φ* ⟶ *all-subformula-st test-symb ψ* **and**
    H': ∀ (*c*:: *'v connective*) *ξ φ ξ' φ'*. *wf-conn c* (*ξ* @ *φ* # *ξ'*) ⟶ *test-symb* (*conn c* (*ξ* @ *φ* # *ξ'*))
      ⟶ *test-symb φ'* ⟶ *test-symb* (*conn c* (*ξ* @ *φ'* # *ξ'*)) **and**
    full: *full* (*propo-rew-step r*) *φ ψ* **and**
    init: *all-subformula-st test-symb φ*
  shows *all-subformula-st test-symb ψ*
  unfolding *full-def*
proof –
  have *rel*: (*propo-rew-step r*)^** *φ ψ*
    using *full* **unfolding** *full-def* **by** *auto*
  thus *all-subformula-st test-symb ψ*
    using *init*
    proof (*induct rule*: *rtranclp-induct*)
      case *base*
      thus *all-subformula-st test-symb φ* **by** *blast*
    next
      case (*step b c*)
      note *star* = *this*(*1*) **and** *IH* = *this*(*3*) **and** *one* = *this*(*2*) **and** *all* = *this*(*4*)
      hence *all-subformula-st test-symb b* **by** *metis*
      thus *all-subformula-st test-symb c*
        using *propo-rew-step-inv-stay subformula-refl H H' rel one* **by** *auto*
    qed
qed

lemma *full-propo-rew-step-inv-stay-conn*:
  fixes *r*:: *'v propo* ⇒ *'v propo* ⇒ *bool* **and** *test-symb*:: *'v propo* ⇒ *bool* **and** *x* :: *'v*
  and *φ ψ* :: *'v propo*
  assumes
    H: ∀ *φ ψ*. *r φ ψ* ⟶ *all-subformula-st test-symb φ* ⟶ *all-subformula-st test-symb ψ* **and**
    H': ∀ (*c*:: *'v connective*) *l l'*. *wf-conn c l* ⟶ *wf-conn c l'*
      ⟶ (*test-symb* (*conn c l*) ⟷ *test-symb* (*conn c l'*)) **and**
    full: *full* (*propo-rew-step r*) *φ ψ* **and**
    init: *all-subformula-st test-symb φ*
  shows *all-subformula-st test-symb ψ*
proof –
  have ⋀(*c*:: *'v connective*) *ξ φ ξ' φ'*. *wf-conn c* (*ξ* @ *φ* # *ξ'*)
    ⟹ *test-symb* (*conn c* (*ξ* @ *φ* # *ξ'*)) ⟹ *test-symb φ'* ⟹ *test-symb* (*conn c* (*ξ* @ *φ'* # *ξ'*))

```
    using H′ by (metis wf-conn-no-arity-change-helper wf-conn-no-arity-change)
  thus all-subformula-st test-symb ψ
    using H  full init full-propo-rew-step-inv-stay by blast
qed

end
theory Prop-Normalisation
imports Main Prop-Logic Prop-Abstract-Transformation
begin
```

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

8 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalencies, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation seperately.

8.1 Elimination of the equivalences

The first transformation consists in removing every equivalence symbol.

```
inductive elim-equiv :: ′v propo ⇒ ′v propo ⇒ bool where
elim-equiv[simp]: elim-equiv (FEq φ ψ) (FAnd (FImp φ ψ)  (FImp ψ φ))

lemma elim-equiv-transformation-consistent:
A ⊨ FEq φ ψ ⟷ A ⊨ FAnd (FImp φ ψ) (FImp ψ φ)
  by auto

lemma elim-equiv-explicit: elim-equiv φ ψ ⟹ ∀ A. A ⊨ φ ⟷ A ⊨ ψ
  by (induct rule: elim-equiv.induct, auto)

lemma elim-equiv-consistent: preserves-un-sat elim-equiv
  unfolding preserves-un-sat-def by (simp add: elim-equiv-explicit)

lemma elimEquv-lifted-consistant:
  preserves-un-sat (full (propo-rew-step elim-equiv))
  by (simp add: elim-equiv-consistent)
```

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

```
fun no-equiv-symb :: ′v propo ⇒ bool where
no-equiv-symb (FEq - -) = False |
no-equiv-symb - = True
```

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

```
lemma no-equiv-symb-conn-characterization[simp]:
  fixes c :: ′v connective and l :: ′v propo list
  assumes wf: wf-conn c l
  shows no-equiv-symb (conn c l) ⟷ c ≠ CEq
    by (metis connective.distinct(13,25,35,43) wf no-equiv-symb.elims(3) no-equiv-symb.simps(1)
      wf-conn.cases wf-conn-list(6))
```

definition *no-equiv* **where** *no-equiv* = *all-subformula-st no-equiv-symb*

lemma *no-equiv-eq*[*simp*]:
  fixes φ ψ :: *'v propo*
  shows
    ¬*no-equiv* (*FEq* φ ψ)
    no-equiv FT
    no-equiv FF
  using *no-equiv-symb.simps*(*1*) *all-subformula-st-test-symb-true-phi* **unfolding** *no-equiv-def* **by** *auto*

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

lemma *all-subformula-st-decomp-explicit-no-equiv*[*iff*]:
fixes φ ψ :: *'v propo*
shows
  no-equiv (*FNot* φ) $\longleftrightarrow$ *no-equiv* φ
  no-equiv (*FAnd* φ ψ) $\longleftrightarrow$ (*no-equiv* φ $\wedge$ *no-equiv* ψ)
  no-equiv (*FOr* φ ψ) $\longleftrightarrow$ (*no-equiv* φ $\wedge$ *no-equiv* ψ)
  no-equiv (*FImp* φ ψ) $\longleftrightarrow$ (*no-equiv* φ $\wedge$ *no-equiv* ψ)
  by (*auto simp add*: *no-equiv-def*)

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*. This theorem is one of the assumption we need to characterize the transformation.

lemma *no-equiv-elim-equiv-step*:
  fixes φ :: *'v propo*
  assumes *no-equiv*: ¬ *no-equiv* φ
  shows $\exists\psi\ \psi'.\ \psi \preceq \varphi \wedge$ *elim-equiv* ψ ψ'
proof −
  have *test-symb-false-nullary*:
    ∀*x*::*'v*. *no-equiv-symb FF* ∧ *no-equiv-symb FT* ∧ *no-equiv-symb* (*FVar x*)
    unfolding *no-equiv-def* **by** *auto*
  moreover {
    fix *c*:: *'v connective* **and** *l* :: *'v propo list* **and** ψ :: *'v propo*
      assume *a1*: *elim-equiv* (*conn c l*) ψ
      have $\bigwedge$*p pa*. ¬ *elim-equiv* (*p*::*'v propo*) *pa* ∨ ¬ *no-equiv-symb p*
        using *elim-equiv.cases no-equiv-symb.simps*(*1*) **by** *blast*
      hence *elim-equiv* (*conn c l*) ψ $\Longrightarrow$ ¬*no-equiv-symb* (*conn c l*) **using** *a1* **by** *metis*
  }
  moreover have *H'*: ∀ψ. ¬*elim-equiv FT* ψ ∀ψ. ¬*elim-equiv FF* ψ ∀ψ *x*. ¬*elim-equiv* (*FVar x*) ψ
    using *elim-equiv.cases* **by** *auto*
  moreover have $\bigwedge\varphi$. ¬ *no-equiv-symb* φ $\Longrightarrow$ $\exists\psi$. *elim-equiv* φ ψ
    by (*case-tac* φ, *auto simp add*: *elim-equiv.simps*)
  hence $\bigwedge\varphi'.\ \varphi' \preceq \varphi \Longrightarrow$ ¬*no-equiv-symb* φ' $\Longrightarrow$ $\exists\psi$. *elim-equiv* φ' ψ **by** *force*
  ultimately show *?thesis*
    using *no-test-symb-step-exists no-equiv test-symb-false-nullary* **unfolding** *no-equiv-def* **by** *blast*
qed

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

lemma *no-equiv-full-propo-rew-step-elim-equiv*:
  full (*propo-rew-step elim-equiv*) φ ψ $\Longrightarrow$ *no-equiv* ψ
  using *full-propo-rew-step-subformula no-equiv-elim-equiv-step* **by** *blast*

8.2 Eliminate Implication

After that, we can eliminate the implication symbols.

inductive *elim-imp* :: *'v propo* ⇒ *'v propo* ⇒ *bool* **where**
[*simp*]: *elim-imp* (*FImp* φ ψ) (*FOr* (*FNot* φ) ψ)

lemma *elim-imp-transformation-consistent*:
  $A \models$ *FImp* φ $\psi \longleftrightarrow A \models$ *FOr* (*FNot* φ) ψ
  by *auto*

lemma *elim-imp-explicit*: *elim-imp* φ $\psi \Longrightarrow \forall A.\ A \models \varphi \longleftrightarrow A \models \psi$
  by (*induct* φ ψ *rule*: *elim-imp.induct*, *auto*)

lemma *elim-imp-consistent*: *preserves-un-sat elim-imp*
  unfolding *preserves-un-sat-def* **by** (*simp add*: *elim-imp-explicit*)

lemma *elim-imp-lifted-consistant*:
  preserves-un-sat (*full* (*propo-rew-step elim-imp*))
  by (*simp add*: *elim-imp-consistent*)

fun *no-imp-symb* **where**
no-imp-symb (*FImp* - -) = *False* |
no-imp-symb - = *True*

lemma *no-imp-symb-conn-characterization*:
  wf-conn c l $\Longrightarrow$ *no-imp-symb* (*conn c l*) $\longleftrightarrow c \neq$ *CImp*
  by (*induction rule*: *wf-conn-induct*) *auto*

definition *no-imp* **where** *no-imp* $\equiv$ *all-subformula-st no-imp-symb*
declare *no-imp-def*[*simp*]

lemma *no-imp-Imp*[*simp*]:
  $\neg$*no-imp* (*FImp* φ ψ)
  no-imp FT
  no-imp FF
  unfolding *no-imp-def* **by** *auto*

lemma *all-subformula-st-decomp-explicit-imp*[*simp*]:
fixes φ ψ :: *'v propo*
shows
  no-imp (*FNot* φ) $\longleftrightarrow$ *no-imp* φ
  no-imp (*FAnd* φ ψ) $\longleftrightarrow$ (*no-imp* φ $\wedge$ *no-imp* ψ)
  no-imp (*FOr* φ ψ) $\longleftrightarrow$ (*no-imp* φ $\wedge$ *no-imp* ψ)
  by *auto*

Invariant of the *elim-imp* transformation

lemma *elim-imp-no-equiv*:
  elim-imp φ $\psi \Longrightarrow$ *no-equiv* $\varphi \Longrightarrow$ *no-equiv* ψ
  by (*induct* φ ψ *rule*: *elim-imp.induct*, *auto*)

lemma *elim-imp-inv*:
  fixes φ ψ :: *'v propo*

```
  assumes full (propo-rew-step elim-imp) φ ψ
  and no-equiv φ
  shows no-equiv ψ
  using full-propo-rew-step-inv-stay-conn[of elim-imp no-equiv-symb φ ψ] assms elim-imp-no-equiv
    no-equiv-symb-conn-characterization unfolding no-equiv-def by metis

lemma no-no-imp-elim-imp-step-exists:
  fixes φ :: 'v propo
  assumes no-equiv: ¬ no-imp φ
  shows ∃ψ ψ'. ψ ≼ φ ∧ elim-imp ψ ψ'
proof –
  have test-symb-false-nullary: ∀x. no-imp-symb FF ∧ no-imp-symb FT ∧ no-imp-symb (FVar (x:: 'v))
    by auto
  moreover {
     fix c:: 'v connective and  l :: 'v propo list and ψ :: 'v propo
     have H: elim-imp (conn c l) ψ ⟹ ¬no-imp-symb (conn c l)
       by (auto elim: elim-imp.cases)
  }
  moreover
    have  H': ∀ψ. ¬elim-imp FT ψ ∀ψ. ¬elim-imp FF ψ ∀ψ x. ¬elim-imp (FVar x) ψ
      by (auto elim: elim-imp.cases)+
  moreover have ⋀φ. ¬ no-imp-symb φ ⟹ ∃ψ. elim-imp φ ψ
    apply (case-tac φ) using elim-imp.simps by force+
  hence (⋀φ'. φ' ≼ φ ⟹ ¬no-imp-symb φ' ⟹  ∃ ψ. elim-imp φ' ψ) by force
  ultimately show ?thesis
    using no-test-symb-step-exists no-equiv test-symb-false-nullary unfolding no-imp-def by blast
qed


lemma no-imp-full-propo-rew-step-elim-imp: full (propo-rew-step elim-imp) φ ψ ⟹ no-imp ψ
  using full-propo-rew-step-subformula no-no-imp-elim-imp-step-exists by blast
```

8.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

```
inductive elimTB where
ElimTB1: elimTB (FAnd φ FT) φ |
ElimTB1': elimTB (FAnd FT φ) φ |

ElimTB2: elimTB (FAnd φ FF) FF |
ElimTB2': elimTB (FAnd FF φ) FF |

ElimTB3: elimTB (FOr φ FT) FT |
ElimTB3': elimTB (FOr FT φ) FT |

ElimTB4: elimTB (FOr φ FF) φ |
ElimTB4': elimTB (FOr FF φ) φ |

ElimTB5: elimTB (FNot FT) FF |
ElimTB6: elimTB (FNot FF) FT


lemma elimTB-consistent: preserves-un-sat elimTB
proof –
```

```
  {
    fix φ ψ:: 'b propo
    have elimTB φ ψ ⟹ ∀ A. A ⊨ φ ⟷ A ⊨ ψ by (induct-tac rule: elimTB.inducts) auto
  }
  thus ?thesis using preserves-un-sat-def by auto
qed

inductive no-T-F-symb :: 'v propo ⇒ bool where
no-T-F-symb-comp: c ≠ CF ⟹ c ≠ CT ⟹ wf-conn c l ⟹ (∀ φ ∈ set l. φ ≠ FT ∧ φ ≠ FF)
  ⟹ no-T-F-symb (conn c l)


lemma wf-conn-no-T-F-symb-iff[simp]:
  wf-conn c ψs ⟹ no-T-F-symb (conn c ψs) ⟷ (c ≠ CF ∧ c ≠ CT ∧ (∀ ψ∈set ψs. ψ ≠ FF ∧ ψ ≠
FT))
  unfolding no-T-F-symb.simps apply (cases c)
          using wf-conn-list(1) apply fastforce
         using wf-conn-list(2) apply fastforce
        using wf-conn-list(3) apply fastforce
       apply (metis (no-types, hide-lams) conn-inj connective.distinct(5,17))
      using conn-inj apply blast+
  done

lemma wf-conn-no-T-F-symb-iff-explicit[simp]:
no-T-F-symb (FAnd φ ψ) ⟷ (∀ χ ∈ set [φ, ψ]. χ ≠ FF ∧ χ ≠ FT)
no-T-F-symb (FOr φ ψ) ⟷ (∀ χ ∈ set [φ, ψ]. χ ≠ FF ∧ χ ≠ FT)
no-T-F-symb (FEq φ ψ) ⟷ (∀ χ ∈ set [φ, ψ]. χ ≠ FF ∧ χ ≠ FT)
no-T-F-symb (FImp φ ψ) ⟷ (∀ χ ∈ set [φ, ψ]. χ ≠ FF ∧ χ ≠ FT)
     apply (metis conn.simps(36) conn.simps(37) conn.simps(5) propo.distinct(19)
       wf-conn-helper-facts(5) wf-conn-no-T-F-symb-iff)
    apply (metis conn.simps(36) conn.simps(37) conn.simps(6) propo.distinct(22)
      wf-conn-helper-facts(6) wf-conn-no-T-F-symb-iff)
   using wf-conn-no-T-F-symb-iff apply fastforce
  by (metis conn.simps(36) conn.simps(37) conn.simps(7) propo.distinct(23) wf-conn-helper-facts(7)
    wf-conn-no-T-F-symb-iff)


lemma no-T-F-symb-false[simp]:
  fixes c :: 'v connective
  shows
    ¬no-T-F-symb (FT :: 'v propo)
    ¬no-T-F-symb (FF :: 'v propo)
    by (metis (no-types) conn.simps(1,2) wf-conn-no-T-F-symb-iff wf-conn-nullary)+

lemma no-T-F-symb-bool[simp]:
  fixes x :: 'v
  shows no-T-F-symb (FVar x)
  using no-T-F-symb-comp wf-conn-nullary by (metis connective.distinct(3, 15) conn.simps(3)
    empty-iff list.set(1))


lemma no-T-F-symb-fnot-imp:
  ¬no-T-F-symb (FNot φ) ⟹ φ = FT ∨ φ = FF
proof (rule ccontr)
  assume n: ¬ no-T-F-symb (FNot φ)
  assume ¬ (φ = FT ∨ φ = FF)
  hence ∀φ' ∈ set [φ]. φ'≠FT ∧ φ'≠FF by auto
  moreover have wf-conn CNot [φ] by simp
  ultimately have no-T-F-symb (FNot φ)
    using no-T-F-symb.intros by (metis conn.simps(4) connective.distinct(5,17))
  thus False using n by blast
qed

lemma no-T-F-symb-fnot[simp]:
  no-T-F-symb (FNot φ) ⟷ ¬(φ = FT ∨ φ = FF)
  using no-T-F-symb.simps no-T-F-symb-fnot-imp by (metis conn-inj-not(2) list.set-intros(1))
```

Actually it is not possible to remover every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

```
inductive no-T-F-symb-except-toplevel where
no-T-F-symb-except-toplevel-true[simp]: no-T-F-symb-except-toplevel FT |
no-T-F-symb-except-toplevel-false[simp]: no-T-F-symb-except-toplevel FF |
noTrue-no-T-F-symb-except-toplevel[simp]: no-T-F-symb φ ⟹ no-T-F-symb-except-toplevel φ

lemma no-T-F-symb-except-toplevel-bool[simp]:
  fixes x :: 'v
  shows no-T-F-symb-except-toplevel (FVar x)
  by simp


lemma no-T-F-symb-except-toplevel-not-decom:
  φ ≠ FT ⟹ φ ≠ FF ⟹ no-T-F-symb-except-toplevel (FNot φ)
  by simp


lemma no-T-F-symb-except-toplevel-bin-decom:
  fixes φ ψ :: 'v propo
  assumes φ ≠ FT and φ ≠ FF and ψ ≠ FT and ψ ≠ FF
  and c: c∈ binary-connectives
  shows no-T-F-symb-except-toplevel (conn c [φ, ψ])
  by (metis (no-types, lifting) assms c conn.simps(4) list.discI noTrue-no-T-F-symb-except-toplevel
    wf-conn-no-T-F-symb-iff no-T-F-symb-fnot set-ConsD wf-conn-binary wf-conn-helper-facts(1)
    wf-conn-list-decomp(1,2))


lemma no-T-F-symb-except-toplevel-if-is-a-true-false:
  fixes l :: 'v propo list and c :: 'v connective
  assumes corr: wf-conn c l
  and FT ∈ set l ∨ FF ∈ set l
  shows ¬no-T-F-symb-except-toplevel (conn c l)
  by (metis assms empty-iff no-T-F-symb-except-toplevel.simps wf-conn-no-T-F-symb-iff set-empty
    wf-conn-list(1,2))


lemma no-T-F-symb-except-top-level-false-example[simp]:
  fixes φ ψ :: 'v propo
  assumes φ = FT ∨ ψ = FT ∨ φ = FF ∨ ψ = FF
  shows
    ¬ no-T-F-symb-except-toplevel (FAnd φ ψ)
    ¬ no-T-F-symb-except-toplevel (FOr φ ψ)
```

¬ *no-T-F-symb-except-toplevel* (*FImp* φ ψ)
¬ *no-T-F-symb-except-toplevel* (*FEq* φ ψ)
using *assms no-T-F-symb-except-toplevel-if-is-a-true-false* **unfolding** *binary-connectives-def*
by (*metis* (*no-types*) *conn.simps*(*5*−*8*) *insert-iff list.simps*(*14*−*15*) *wf-conn-helper-facts*(*5*−*8*))+

lemma *no-T-F-symb-except-top-level-false-not*[*simp*]:
fixes φ ψ :: *'v propo*
assumes φ = *FT* ∨ φ = *FF*
shows
¬ *no-T-F-symb-except-toplevel* (*FNot* φ)
by (*simp add*: *assms no-T-F-symb-except-toplevel.simps*)

This is the local extension of *no-T-F-symb-except-toplevel*.

definition *no-T-F-except-top-level* **where**
no-T-F-except-top-level ≡ *all-subformula-st no-T-F-symb-except-toplevel*

This is another property we will use. While this version might seem to be the one we want to prove, it is not since *FT* can not be reduced.

definition *no-T-F* **where**
no-T-F ≡ *all-subformula-st no-T-F-symb*

lemma *no-T-F-except-top-level-false*:
fixes *l* :: *'v propo list* **and** *c* :: *'v connective*
assumes *wf-conn c l*
and *FT* ∈ *set l* ∨ *FF* ∈ *set l*
shows ¬*no-T-F-except-top-level* (*conn c l*)
by (*simp add*: *all-subformula-st-decomp assms no-T-F-except-top-level-def*
no-T-F-symb-except-toplevel-if-is-a-true-false)

lemma *no-T-F-except-top-level-false-example*[*simp*]:
fixes φ ψ :: *'v propo*
assumes φ = *FT* ∨ ψ = *FT* ∨ φ = *FF* ∨ ψ = *FF*
shows
¬*no-T-F-except-top-level* (*FAnd* φ ψ)
¬*no-T-F-except-top-level* (*FOr* φ ψ)
¬*no-T-F-except-top-level* (*FEq* φ ψ)
¬*no-T-F-except-top-level* (*FImp* φ ψ)
by (*metis all-subformula-st-test-symb-true-phi assms no-T-F-except-top-level-def*
no-T-F-symb-except-top-level-false-example)+

lemma *no-T-F-symb-except-toplevel-no-T-F-symb*:
no-T-F-symb-except-toplevel φ ⟹ φ ≠ *FF* ⟹ φ ≠ *FT* ⟹ *no-T-F-symb* φ
by (*induct rule*: *no-T-F-symb-except-toplevel.induct*, *auto*)

The two following lemmas give the precise link between the two definitions.

lemma *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*:
no-T-F-except-top-level φ ⟹ φ ≠ *FF* ⟹ φ ≠ *FT* ⟹ *no-T-F* φ
unfolding *no-T-F-except-top-level-def no-T-F-def* **apply** (*induct* φ)
using *no-T-F-symb-fnot* **by** *fastforce*+

lemma *no-T-F-no-T-F-except-top-level*:
no-T-F φ ⟹ *no-T-F-except-top-level* φ
unfolding *no-T-F-except-top-level-def no-T-F-def*

unfolding *all-subformula-st-def* **by** *auto*

lemma *no-T-F-except-top-level-simp*[*simp*]: *no-T-F-except-top-level FF no-T-F-except-top-level FT*
unfolding *no-T-F-except-top-level-def* **by** *auto*

lemma *no-T-F-no-T-F-except-top-level'*[*simp*]:
no-T-F-except-top-level $\varphi \longleftrightarrow (\varphi = FF \lor \varphi = FT \lor$ *no-T-F* $\varphi)$
apply *auto*
using *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb no-T-F-no-T-F-except-top-level*
by *blast+*

lemma *no-T-F-bin-decomp*[*simp*]:
assumes *c*: $c \in$ *binary-connectives*
shows *no-T-F* (*conn c* $[\varphi, \psi]$) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
proof −
have *wf*: *wf-conn c* $[\varphi, \psi]$ **using** *c* **by** *auto*
hence *no-T-F* (*conn c* $[\varphi, \psi]$) $\longleftrightarrow$ (*no-T-F-symb* (*conn c* $[\varphi, \psi]$) $\land$ *no-T-F* $\varphi \land$ *no-T-F* ψ)
by (*simp add*: *all-subformula-st-decomp no-T-F-def*)
thus *no-T-F* (*conn c* $[\varphi, \psi]$) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
using *c wf all-subformula-st-decomp list.discI no-T-F-def no-T-F-symb-except-toplevel-bin-decom no-T-F-symb-except-toplevel-no-T-F-symb no-T-F-symb-false*(*1*,*2*) *wf-conn-helper-facts*(*2*,*3*) *wf-conn-list*(*1*,*2*) **by** *metis*
qed

lemma *no-T-F-bin-decomp-expanded*[*simp*]:
assumes *c*: $c = CAnd \lor c = COr \lor c = CEq \lor c = CImp$
shows *no-T-F* (*conn c* $[\varphi, \psi]$) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
using *no-T-F-bin-decomp assms* **unfolding** *binary-connectives-def* **by** *blast*

lemma *no-T-F-comp-expanded-explicit*[*simp*]:
fixes φ ψ :: *'v propo*
shows
no-T-F (*FAnd* φ ψ) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
no-T-F (*FOr* φ ψ) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
no-T-F (*FEq* φ ψ) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
no-T-F (*FImp* φ ψ) $\longleftrightarrow$ (*no-T-F* $\varphi \land$ *no-T-F* ψ)
using *assms conn.simps*(*5*−*8*) *no-T-F-bin-decomp-expanded* **by** (*metis* (*no-types*))+

lemma *no-T-F-comp-not*[*simp*]:
fixes φ ψ :: *'v propo*
shows *no-T-F* (*FNot* φ) $\longleftrightarrow$ *no-T-F* φ
by (*metis all-subformula-st-decomp-explicit*(*3*) *all-subformula-st-test-symb-true-phi no-T-F-def no-T-F-symb-false*(*1*,*2*) *no-T-F-symb-fnot-imp*)

lemma *no-T-F-decomp*:
fixes φ ψ :: *'v propo*
assumes φ: *no-T-F* (*FAnd* φ ψ) $\lor$ *no-T-F* (*FOr* φ ψ) $\lor$ *no-T-F* (*FEq* φ ψ) $\lor$ *no-T-F* (*FImp* φ ψ)
shows *no-T-F* ψ **and** *no-T-F* φ
using *assms* **by** *auto*

lemma *no-T-F-decomp-not*:
fixes φ :: *'v propo*
assumes φ: *no-T-F* (*FNot* φ)

shows *no-T-F* φ
using *assms* **by** *auto*

lemma *no-T-F-symb-except-toplevel-step-exists*:
fixes φ ψ :: *'v propo*
assumes *no-equiv* φ **and** *no-imp* φ
shows $\psi \preceq \varphi \Longrightarrow \neg$ *no-T-F-symb-except-toplevel* $\psi \Longrightarrow \exists \psi'$. *elimTB* ψ ψ'
proof (*induct* ψ *rule*: *propo-induct-arity*)
case (*nullary* φ' *x*)
hence *False* **using** *no-T-F-symb-except-toplevel-true no-T-F-symb-except-toplevel-false* **by** *auto*
thus *?case* **by** *blast*
next
case (*unary* ψ)
hence $\psi = FF \vee \psi = FT$ **using** *no-T-F-symb-except-toplevel-not-decom* **by** *blast*
thus *?case* **using** *ElimTB5 ElimTB6* **by** *blast*
next
case (*binary* φ' $\psi 1$ $\psi 2$)
note *IH1* = *this*(*1*) **and** *IH2* = *this*(*2*) **and** φ' = *this*(*3*) **and** $F\varphi$ = *this*(*4*) **and** *n* = *this*(*5*)
{
assume $\varphi' = FImp\ \psi 1\ \psi 2 \vee \varphi' = FEq\ \psi 1\ \psi 2$
hence *False* **using** *n* $F\varphi$ *subformula-all-subformula-st assms* **by** (*metis* (*no-types*) *no-equiv-eq*(*1*) *no-equiv-def no-imp-Imp*(*1*) *no-imp-def*)
hence *?case* **by** *blast*
}
moreover {
assume φ': $\varphi' = FAnd\ \psi 1\ \psi 2 \vee \varphi' = FOr\ \psi 1\ \psi 2$
hence $\psi 1 = FT \vee \psi 2 = FT \vee \psi 1 = FF \vee \psi 2 = FF$
using *no-T-F-symb-except-toplevel-bin-decom conn.simps*(*5*,*6*) *n* **unfolding** *binary-connectives-def*
by *fastforce*+
hence *?case* **using** *elimTB.intros* φ' **by** *blast*
}
ultimately show *?case* **using** φ' **by** *blast*
qed

lemma *no-T-F-except-top-level-rew*:
fixes φ :: *'v propo*
assumes *noTB*: $\neg$ *no-T-F-except-top-level* φ **and** *no-equiv*: *no-equiv* φ **and** *no-imp*: *no-imp* φ
shows $\exists \psi\ \psi'$. $\psi \preceq \varphi \wedge$ *elimTB* ψ ψ'
proof –
have *test-symb-false-nullary*: $\forall x$. *no-T-F-symb-except-toplevel* (*FF*:: *'v propo*)
$\wedge$ *no-T-F-symb-except-toplevel FT* $\wedge$ *no-T-F-symb-except-toplevel* (*FVar* (*x*:: *'v*)) **by** *auto*
moreover {
fix *c*:: *'v connective* **and** *l* :: *'v propo list* **and** ψ :: *'v propo*
have *H*: *elimTB* (*conn c l*) $\psi \Longrightarrow \neg$*no-T-F-symb-except-toplevel* (*conn c l*)
by (*cases* (*conn c l*) *rule*: *elimTB.cases*, *auto*)
}
moreover {
fix *x* :: *'v*
have *H'*: *no-T-F-except-top-level FT* *no-T-F-except-top-level FF*
no-T-F-except-top-level (*FVar x*)
by (*auto simp add*: *no-T-F-except-top-level-def test-symb-false-nullary*)
}
moreover {
fix ψ

have $\psi \preceq \varphi \Longrightarrow \neg$ *no-T-F-symb-except-toplevel* $\psi \Longrightarrow \exists \psi'.$ *elimTB* ψ ψ'
    using *no-T-F-symb-except-toplevel-step-exists no-equiv no-imp* **by** *auto*
  }
  ultimately show *?thesis*
    using *no-test-symb-step-exists noTB* **unfolding** *no-T-F-except-top-level-def* **by** *blast*
qed

lemma *elimTB-inv*:
  fixes φ ψ :: *'v propo*
  assumes *full* (*propo-rew-step elimTB*) φ ψ
  and *no-equiv* φ **and** *no-imp* φ
  shows *no-equiv* ψ **and** *no-imp* ψ
proof −
  {
    fix φ ψ :: *'v propo*
    have *H*: *elimTB* φ $\psi \Longrightarrow$ *no-equiv* $\varphi \Longrightarrow$ *no-equiv* ψ
      by (*induct* φ ψ *rule*: *elimTB.induct*, *auto*)
  }
  thus *no-equiv* ψ
    using *full-propo-rew-step-inv-stay-conn*[*of elimTB no-equiv-symb* φ ψ]
      no-equiv-symb-conn-characterization assms **unfolding** *no-equiv-def* **by** *metis*
next
  {
    fix φ ψ :: *'v propo*
    have *H*: *elimTB* φ $\psi \Longrightarrow$ *no-imp* $\varphi \Longrightarrow$ *no-imp* ψ
      by (*induct* φ ψ *rule*: *elimTB.induct*, *auto*)
  }
  thus *no-imp* ψ
    using *full-propo-rew-step-inv-stay-conn*[*of elimTB no-imp-symb* φ ψ] *assms*
      no-imp-symb-conn-characterization **unfolding** *no-imp-def* **by** *metis*
qed

lemma *elimTB-full-propo-rew-step*:
  fixes φ ψ :: *'v propo*
  assumes *no-equiv* φ **and** *no-imp* φ **and** *full* (*propo-rew-step elimTB*) φ ψ
  shows *no-T-F-except-top-level* ψ
  using *full-propo-rew-step-subformula no-T-F-except-top-level-rew assms elimTB-inv* **by** *fastforce*

8.4 PushNeg

Push the negation inside the formula, until the litteral.

inductive *pushNeg* **where**
PushNeg1[*simp*]: *pushNeg* (*FNot* (*FAnd* φ ψ)) (*FOr* (*FNot* φ) (*FNot* ψ)) |
PushNeg2[*simp*]: *pushNeg* (*FNot* (*FOr* φ ψ)) (*FAnd* (*FNot* φ) (*FNot* ψ)) |
PushNeg3[*simp*]: *pushNeg* (*FNot* (*FNot* φ)) φ

lemma *pushNeg-transformation-consistent*:
$A \models$ *FNot* (*FAnd* φ ψ) $\longleftrightarrow A \models$ (*FOr* (*FNot* φ) (*FNot* ψ))
$A \models$ *FNot* (*FOr* φ ψ) $\longleftrightarrow A \models$ (*FAnd* (*FNot* φ) (*FNot* ψ))
$A \models$ *FNot* (*FNot* φ) $\longleftrightarrow A \models \varphi$
  by *auto*

lemma *pushNeg-explicit*: *pushNeg* φ $\psi \Longrightarrow \forall A.\ A \models \varphi \longleftrightarrow A \models \psi$

  by (*induct* φ ψ *rule*: *pushNeg.induct*, *auto*)

lemma *pushNeg-consistent*: *preserves-un-sat pushNeg*
  unfolding *preserves-un-sat-def* **by** (*simp add*: *pushNeg-explicit*)

lemma *pushNeg-lifted-consistant*:
preserves-un-sat (*full* (*propo-rew-step pushNeg*))
  by (*simp add*: *pushNeg-consistent*)

fun *simple* **where**
simple FT = *True* |
simple FF = *True* |
simple (*FVar* -) = *True* |
simple - = *False*

lemma *simple-decomp*:
  simple $\varphi \longleftrightarrow (\varphi = FT \lor \varphi = FF \lor (\exists x.\ \varphi = FVar\ x))$
  by (*cases* φ) *auto*

lemma *subformula-conn-decomp-simple*:
  fixes φ ψ :: *'v propo*
  assumes *s*: *simple* ψ
  shows $\varphi \preceq FNot\ \psi \longleftrightarrow (\varphi = FNot\ \psi \lor \varphi = \psi)$
proof −
  have $\varphi \preceq conn\ CNot\ [\psi] \longleftrightarrow (\varphi = conn\ CNot\ [\psi] \lor (\exists\ \psi \in set\ [\psi].\ \varphi \preceq \psi))$
    using *subformula-conn-decomp wf-conn-helper-facts*(*1*) **by** *metis*
  thus $\varphi \preceq FNot\ \psi \longleftrightarrow (\varphi = FNot\ \psi \lor \varphi = \psi)$ **using** *s* **by** (*auto simp add*: *simple-decomp*)
qed

lemma *subformula-conn-decomp-explicit*[*simp*]:
  fixes φ :: *'v propo* **and** *x* :: *'v*
  shows
    $\varphi \preceq FNot\ FT \longleftrightarrow (\varphi = FNot\ FT \lor \varphi = FT)$
    $\varphi \preceq FNot\ FF \longleftrightarrow (\varphi = FNot\ FF \lor \varphi = FF)$
    $\varphi \preceq FNot\ (FVar\ x) \longleftrightarrow (\varphi = FNot\ (FVar\ x) \lor \varphi = FVar\ x)$
  by (*auto simp add*: *subformula-conn-decomp-simple*)

fun *simple-not-symb* **where**
simple-not-symb (*FNot* φ) = (*simple* φ) |
simple-not-symb - = *True*

definition *simple-not* **where**
simple-not = *all-subformula-st simple-not-symb*
declare *simple-not-def*[*simp*]

lemma *simple-not-Not*[*simp*]:
  $\neg$ *simple-not* (*FNot* (*FAnd* φ ψ))
  $\neg$ *simple-not* (*FNot* (*FOr* φ ψ))
  by *auto*

lemma *simple-not-step-exists*:
  fixes φ ψ :: *'v propo*
  assumes *no-equiv* φ **and** *no-imp* φ

```
  shows ψ ≼ φ ⟹ ¬ simple-not-symb ψ ⟹ ∃ ψ'. pushNeg ψ ψ'
  apply (induct ψ, auto)
  apply (rename-tac ψ, case-tac ψ, auto intro: pushNeg.intros)
  by (metis assms(1,2) no-imp-Imp(1) no-equiv-eq(1) no-imp-def no-equiv-def
    subformula-in-subformula-not subformula-all-subformula-st)+

lemma simple-not-rew:
  fixes φ :: 'v propo
  assumes noTB: ¬ simple-not φ and no-equiv: no-equiv φ and no-imp: no-imp φ
  shows ∃ ψ ψ'. ψ ≼ φ ∧ pushNeg ψ ψ'
proof –
  have ∀ x. simple-not-symb (FF:: 'v propo) ∧ simple-not-symb FT ∧ simple-not-symb (FVar (x:: 'v))
    by auto
  moreover {
     fix c:: 'v connective and  l :: 'v propo list and ψ :: 'v propo
     have H: pushNeg (conn c l) ψ ⟹ ¬simple-not-symb (conn c l)
       by (cases (conn c l) rule: pushNeg.cases) auto
  }
  moreover {
     fix x :: 'v
     have  H': simple-not FT simple-not FF simple-not (FVar x)
       by simp-all
  }
  moreover {
     fix ψ :: 'v propo
     have  ψ ≼ φ ⟹ ¬ simple-not-symb ψ ⟹ ∃ ψ'. pushNeg ψ ψ'
       using simple-not-step-exists no-equiv no-imp by blast
  }
  ultimately show ?thesis using no-test-symb-step-exists noTB unfolding simple-not-def by blast
qed

lemma no-T-F-except-top-level-pushNeg1:
  no-T-F-except-top-level (FNot (FAnd φ ψ)) ⟹ no-T-F-except-top-level (FOr (FNot φ) (FNot ψ))
 using no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb  no-T-F-comp-not no-T-F-decomp(1)
   no-T-F-decomp(2) no-T-F-no-T-F-except-top-level by (metis no-T-F-comp-expanded-explicit(2)
     propo.distinct(5,17))

lemma no-T-F-except-top-level-pushNeg2:
  no-T-F-except-top-level (FNot (FOr φ ψ)) ⟹ no-T-F-except-top-level (FAnd (FNot φ) (FNot ψ))
  by auto

lemma no-T-F-symb-pushNeg:
  no-T-F-symb (FOr (FNot φ') (FNot ψ'))
  no-T-F-symb (FAnd (FNot φ') (FNot ψ'))
  no-T-F-symb (FNot (FNot φ'))
  by auto

lemma propo-rew-step-pushNeg-no-T-F-symb:
  propo-rew-step pushNeg φ ψ ⟹ no-T-F-except-top-level φ ⟹ no-T-F-symb φ ⟹ no-T-F-symb ψ
  apply (induct rule: propo-rew-step.induct)
  apply (cases rule: pushNeg.cases)
  apply simp-all
  apply (metis no-T-F-symb-pushNeg(1))
  apply (metis no-T-F-symb-pushNeg(2))
  apply (simp, metis all-subformula-st-test-symb-true-phi no-T-F-def)
```

proof −
  fix φ φ':: *'a propo* **and** *c*:: *'a connective* **and** ξ ξ':: *'a propo list*
  assume *rel*: *propo-rew-step pushNeg* φ φ'
  and *IH*: *no-T-F* $\varphi \Longrightarrow$ *no-T-F-symb* $\varphi \Longrightarrow$ *no-T-F-symb* φ'
  and *wf*: *wf-conn c* (ξ @ φ # ξ')
  and *n*: *conn c* (ξ @ φ # ξ') = *FF* $\vee$ *conn c* (ξ @ φ # ξ') = *FT* $\vee$ *no-T-F* (*conn c* (ξ @ φ # ξ'))
  and *x*: $c \neq$ *CF* $\wedge$ $c \neq$ *CT* $\wedge$ $\varphi \neq$ *FF* $\wedge$ $\varphi \neq$ *FT* $\wedge$ ($\forall \psi \in$ *set* ξ $\cup$ *set* ξ'. $\psi \neq$ *FF* $\wedge$ $\psi \neq$ *FT*)
  hence $c \neq$ *CF* $\wedge$ $c \neq$ *CF* $\wedge$ *wf-conn c* (ξ @ φ' # ξ')
    using *wf-conn-no-arity-change-helper wf-conn-no-arity-change* **by** *metis*
  moreover have *n'*: *no-T-F* (*conn c* (ξ @ φ # ξ')) **using** *n* **by** (*simp add*: *wf wf-conn-list*(*1*,*2*))
  moreover
  {
    have *no-T-F* φ
      by (*metis Un-iff all-subformula-st-decomp list.set-intros*(*1*) *n' wf no-T-F-def set-append*)
    moreover hence *no-T-F-symb* φ
      by (*simp add*: *all-subformula-st-test-symb-true-phi no-T-F-def*)
    ultimately have $\varphi' \neq$ *FF* $\wedge$ $\varphi' \neq$ *FT*
      using *IH no-T-F-symb-false*(*1*) *no-T-F-symb-false*(*2*) **by** *blast*
    hence $\forall \psi \in$ *set* (ξ @ φ' # ξ'). $\psi \neq$ *FF* $\wedge$ $\psi \neq$ *FT* **using** *x* **by** *auto*
  }
  ultimately show *no-T-F-symb* (*conn c* (ξ @ φ' # ξ')) **by** (*simp add*: *x*)
qed

lemma *propo-rew-step-pushNeg-no-T-F*:
  propo-rew-step pushNeg φ $\psi \Longrightarrow$ *no-T-F* $\varphi \Longrightarrow$ *no-T-F* ψ
proof (*induct rule*: *propo-rew-step.induct*)
  case *global-rel*
  thus *?case*
    by (*metis* (*no-types*, *lifting*) *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*
      no-T-F-def no-T-F-except-top-level-pushNeg1 no-T-F-except-top-level-pushNeg2
      no-T-F-no-T-F-except-top-level all-subformula-st-decomp-explicit(*3*) *pushNeg.simps*
      simple.simps(*1*,*2*,*5*,*6*))
next
  case (*propo-rew-one-step-lift* φ φ' *c* ξ ξ')
  note *rel* = *this*(*1*) **and** *IH* = *this*(*2*) **and** *wf* = *this*(*3*) **and** *no-T-F* = *this*(*4*)
  moreover have *wf'*: *wf-conn c* (ξ @ φ' # ξ')
    using *wf-conn-no-arity-change wf-conn-no-arity-change-helper wf* **by** *metis*
  ultimately show *no-T-F* (*conn c* (ξ @ φ' # ξ')) **unfolding** *no-T-F-def*
    apply(*simp add*: *all-subformula-st-decomp wf wf'*)
    using *all-subformula-st-test-symb-true-phi no-T-F-symb-false*(*1*) *no-T-F-symb-false*(*2*) **by** *blast*
qed

lemma *pushNeg-inv*:
  fixes φ ψ :: *'v propo*
  assumes *full* (*propo-rew-step pushNeg*) φ ψ
  and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ
  shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ
proof −
  {
    fix φ ψ :: *'v propo*
    assume *rel*: *propo-rew-step pushNeg* φ ψ
    and *no*: *no-T-F-except-top-level* φ
    hence *no-T-F-except-top-level* ψ
      proof −

```
        {
          assume $\varphi = FT \vee \varphi = FF$
          from rel this have False
            apply (induct rule: propo-rew-step.induct)
              using pushNeg.cases apply blast
            using wf-conn-list(1) wf-conn-list(2) by auto
          hence no-T-F-except-top-level $\psi$ by blast
        }
        moreover {
          assume $\varphi \neq FT \wedge \varphi \neq FF$
          hence no-T-F $\varphi$ by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
          hence no-T-F $\psi$ using propo-rew-step-pushNeg-no-T-F rel by auto
          hence no-T-F-except-top-level $\psi$ by (simp add: no-T-F-no-T-F-except-top-level)
        }
        ultimately show no-T-F-except-top-level $\psi$ by metis
      qed
    }
    moreover {
      fix c :: 'v connective and $\xi$ $\xi'$ :: 'v propo list and $\zeta$ $\zeta'$ :: 'v propo
      assume rel: propo-rew-step pushNeg $\zeta$ $\zeta'$
      and incl: $\zeta \preceq \varphi$
      and corr: wf-conn c ($\xi$ @ $\zeta$ # $\xi'$)
      and no-T-F: no-T-F-symb-except-toplevel (conn c ($\xi$ @ $\zeta$ # $\xi'$))
      and n: no-T-F-symb-except-toplevel $\zeta'$
      have no-T-F-symb-except-toplevel (conn c ($\xi$ @ $\zeta'$ # $\xi'$))
      proof
        have p: no-T-F-symb (conn c ($\xi$ @ $\zeta$ # $\xi'$))
          using corr wf-conn-list(1) wf-conn-list(2) no-T-F-symb-except-toplevel-no-T-F-symb no-T-F
          by blast
        have l: $\forall\varphi\in$set ($\xi$ @ $\zeta$ # $\xi'$). $\varphi \neq FT \wedge \varphi \neq FF$
          using corr wf-conn-no-T-F-symb-iff p by blast
        from rel incl have $\zeta'\neq FT \wedge \zeta'\neq FF$
          apply (induction $\zeta$ $\zeta'$ rule: propo-rew-step.induct)
          apply (cases rule: pushNeg.cases, auto)
          by (metis assms(4) no-T-F-symb-except-top-level-false-not no-T-F-except-top-level-def
            all-subformula-st-test-symb-true-phi subformula-in-subformula-not
            subformula-all-subformula-st append-is-Nil-conv list.distinct(1)
            wf-conn-no-arity-change-helper wf-conn-list(1,2) wf-conn-no-arity-change)+
        hence $\forall\varphi \in$ set ($\xi$ @ $\zeta'$ # $\xi'$). $\varphi \neq FT \wedge \varphi \neq FF$ using l by auto
        moreover have c $\neq$ CT $\wedge$ c $\neq$ CF using corr by auto
        ultimately show no-T-F-symb (conn c ($\xi$ @ $\zeta'$ # $\xi'$))
          by (metis corr no-T-F-symb-comp wf-conn-no-arity-change wf-conn-no-arity-change-helper)
      qed
    }
    ultimately show no-T-F-except-top-level $\psi$
      using full-propo-rew-step-inv-stay-with-inc[of pushNeg no-T-F-symb-except-toplevel $\varphi$] assms
        subformula-refl unfolding no-T-F-except-top-level-def full-unfold by metis
  next
    {
      fix $\varphi$ $\psi$ :: 'v propo
      have H: pushNeg $\varphi$ $\psi$ $\Longrightarrow$ no-equiv $\varphi$ $\Longrightarrow$ no-equiv $\psi$
        by (induct $\varphi$ $\psi$ rule: pushNeg.induct, auto)
    }
    thus no-equiv $\psi$
      using full-propo-rew-step-inv-stay-conn[of pushNeg no-equiv-symb $\varphi$ $\psi$]
      no-equiv-symb-conn-characterization assms unfolding no-equiv-def full-unfold by metis
  next
    {
      fix φ ψ :: 'v propo
      have H: pushNeg φ ψ ⟹ no-imp φ ⟹  no-imp ψ
        by (induct φ ψ rule: pushNeg.induct, auto)
    }
    thus no-imp ψ
      using full-propo-rew-step-inv-stay-conn[of pushNeg no-imp-symb φ ψ] assms
        no-imp-symb-conn-characterization unfolding no-imp-def full-unfold by metis
  qed


  lemma pushNeg-full-propo-rew-step:
    fixes φ ψ :: 'v propo
    assumes
      no-equiv φ and
      no-imp φ and
      full (propo-rew-step pushNeg) φ ψ and
      no-T-F-except-top-level φ
    shows simple-not ψ
    using assms full-propo-rew-step-subformula pushNeg-inv(1,2) simple-not-rew by blast
```

8.5 Push inside

```
inductive push-conn-inside :: 'v connective ⇒ 'v connective ⇒ 'v propo ⇒ 'v propo ⇒ bool
  for c c':: 'v connective where
push-conn-inside-l[simp]: c = CAnd ∨ c = COr ⟹ c' = CAnd ∨ c' = COr
  ⟹ push-conn-inside c c' (conn c [conn c' [φ1, φ2], ψ])
        (conn c' [conn c [φ1, ψ], conn c [φ2, ψ]]) |
push-conn-inside-r[simp]: c = CAnd ∨ c = COr ⟹ c' = CAnd ∨ c' = COr
  ⟹ push-conn-inside c c' (conn c [ψ, conn c' [φ1, φ2]])
    (conn c' [conn c [ψ, φ1], conn c [ψ, φ2]])


lemma push-conn-inside-explicit: push-conn-inside c c' φ ψ ⟹ ∀ A. A⊨φ ⟷ A⊨ψ
  by (induct φ ψ rule: push-conn-inside.induct, auto)

lemma push-conn-inside-consistent: preserves-un-sat (push-conn-inside c c')
  unfolding preserves-un-sat-def by (simp add: push-conn-inside-explicit)

lemma propo-rew-step-push-conn-inside[simp]:
¬propo-rew-step (push-conn-inside c c') FT ψ ¬propo-rew-step (push-conn-inside c c') FF ψ
 proof –
  {
    {
      fix φ ψ
      have push-conn-inside c c' φ ψ ⟹ φ = FT ∨ φ = FF ⟹ False
        by (induct rule: push-conn-inside.induct, auto)
    } note H = this
    fix φ
    have propo-rew-step (push-conn-inside c c') φ ψ ⟹ φ = FT ∨ φ = FF ⟹ False
      apply (induct rule: propo-rew-step.induct, auto simp add: wf-conn-list(1) wf-conn-list(2))
      using H by blast+
  }
  thus
```

$\neg$*propo-rew-step* (*push-conn-inside c c'*) *FT* ψ
  $\neg$*propo-rew-step* (*push-conn-inside c c'*) *FF* ψ **by** *blast+*
qed

inductive *not-c-in-c'-symb*:: *'v connective* $\Rightarrow$ *'v connective* $\Rightarrow$ *'v propo* $\Rightarrow$ *bool* **for** *c c'* **where**
not-c-in-c'-symb-l[*simp*]: *wf-conn c* [*conn c'* [φ, φ'], ψ] $\Longrightarrow$ *wf-conn c'* [φ, φ']
 $\Longrightarrow$ *not-c-in-c'-symb c c'* (*conn c* [*conn c'* [φ, φ'], ψ]) |
not-c-in-c'-symb-r[*simp*]: *wf-conn c* [ψ, *conn c'* [φ, φ']] $\Longrightarrow$ *wf-conn c'* [φ, φ']
 $\Longrightarrow$ *not-c-in-c'-symb c c'* (*conn c* [ψ, *conn c'* [φ, φ']])

abbreviation *c-in-c'-symb c c'* $\varphi \equiv \neg$*not-c-in-c'-symb c c'* φ

lemma *c-in-c'-symb-simp*:
 not-c-in-c'-symb c c' $\xi \Longrightarrow \xi = FF \vee \xi = FT \vee \xi = FVar\ x \vee \xi = FNot\ FF \vee \xi = FNot\ FT$
  $\vee\ \xi = FNot\ (FVar\ x) \Longrightarrow False$
 apply (*induct rule*: *not-c-in-c'-symb.induct*, *auto simp add*: *wf-conn.simps wf-conn-list*(*1*−*3*))
 using *conn-inj-not*(*2*) *wf-conn-binary* **unfolding** *binary-connectives-def* **by** *fastforce+*

lemma *c-in-c'-symb-simp'*[*simp*]:
 $\neg$*not-c-in-c'-symb c c' FF*
 $\neg$*not-c-in-c'-symb c c' FT*
 $\neg$*not-c-in-c'-symb c c'* (*FVar x*)
 $\neg$*not-c-in-c'-symb c c'* (*FNot FF*)
 $\neg$*not-c-in-c'-symb c c'* (*FNot FT*)
 $\neg$*not-c-in-c'-symb c c'* (*FNot* (*FVar x*))
 using *c-in-c'-symb-simp* **by** *metis+*

definition *c-in-c'-only* **where**
c-in-c'-only c c' $\equiv$ *all-subformula-st* (*c-in-c'-symb c c'*)

lemma *c-in-c'-only-simp*[*simp*]:
 c-in-c'-only c c' FF
 c-in-c'-only c c' FT
 c-in-c'-only c c' (*FVar x*)
 c-in-c'-only c c' (*FNot FF*)
 c-in-c'-only c c' (*FNot FT*)
 c-in-c'-only c c' (*FNot* (*FVar x*))
 unfolding *c-in-c'-only-def* **by** *auto*

lemma *not-c-in-c'-symb-commute*:
 not-c-in-c'-symb c c' $\xi \Longrightarrow$ *wf-conn c* [φ, ψ] $\Longrightarrow \xi =$ *conn c* [φ, ψ]
  $\Longrightarrow$ *not-c-in-c'-symb c c'* (*conn c* [ψ, φ])
proof (*induct rule*: *not-c-in-c'-symb.induct*)
 case (*not-c-in-c'-symb-r* φ' φ'' ψ') **note** *H* = *this*
 hence ψ: ψ = *conn c'* [φ'', ψ'] **using** *conn-inj* **by** *auto*
 have *wf-conn c* [*conn c'* [φ'', ψ'], φ]
  using *H*(*1*) *wf-conn-no-arity-change length-Cons* **by** *metis*
 thus *not-c-in-c'-symb c c'* (*conn c* [ψ, φ])
  unfolding ψ **using** *not-c-in-c'-symb.intros*(*1*) *H* **by** *auto*
next
 case (*not-c-in-c'-symb-l* φ' φ'' ψ') **note** *H* = *this*
 hence φ = *conn c'* [φ', φ''] **using** *conn-inj* **by** *auto*

```
  moreover have wf-conn c [ψ', conn c' [φ', φ'']]
    using H(1) wf-conn-no-arity-change length-Cons by metis
  ultimately show  not-c-in-c'-symb c c' (conn c [ψ, φ])
    using not-c-in-c'-symb.intros(2) conn-inj not-c-in-c'-symb-l.hyps
      not-c-in-c'-symb-l.prems(1,2) by blast
qed

lemma not-c-in-c'-symb-commute':
  wf-conn c [φ, ψ] ⟹ c-in-c'-symb c c' (conn c [φ, ψ])  ⟷ c-in-c'-symb c c' (conn c [ψ, φ])
  using not-c-in-c'-symb-commute wf-conn-no-arity-change by (metis length-Cons)

lemma not-c-in-c'-comm:
  assumes wf: wf-conn c [φ, ψ]
  shows c-in-c'-only c c' (conn c [φ, ψ]) ⟷ c-in-c'-only c c' (conn c [ψ, φ]) (is ?A ⟷ ?B)
proof –
  have ?A ⟷ (c-in-c'-symb c c' (conn c [φ, ψ])
              ∧ (∀ξ ∈ set [φ, ψ]. all-subformula-st (c-in-c'-symb c c') ξ))
    using all-subformula-st-decomp wf unfolding c-in-c'-only-def  by fastforce
  also have ... ⟷ (c-in-c'-symb c c' (conn c [ψ, φ])
                   ∧ (∀ξ ∈ set [ψ, φ]. all-subformula-st (c-in-c'-symb c c') ξ))
    using not-c-in-c'-symb-commute' wf by auto
  also
    have wf-conn c [ψ, φ] using wf-conn-no-arity-change wf by (metis length-Cons)
    hence (c-in-c'-symb c c' (conn c [ψ, φ])
            ∧ (∀ξ ∈ set [ψ, φ]. all-subformula-st (c-in-c'-symb c c') ξ))
          ⟷ ?B
      using all-subformula-st-decomp  unfolding c-in-c'-only-def by fastforce
  finally show ?thesis .
qed

lemma not-c-in-c'-simp[simp]:
  fixes φ1 φ2 ψ :: 'v propo and x :: 'v
  shows
  c-in-c'-symb c c' FT
  c-in-c'-symb c c' FF
  c-in-c'-symb c c' (FVar x)
  wf-conn c [conn c' [φ1, φ2], ψ] ⟹ wf-conn c' [φ1, φ2]
    ⟹ ¬ c-in-c'-only c c' (conn c [conn c' [φ1, φ2], ψ])
  apply (simp-all add: c-in-c'-only-def)
  using all-subformula-st-test-symb-true-phi not-c-in-c'-symb-l by blast

lemma c-in-c'-symb-not[simp]:
  fixes c c' :: 'v connective and ψ :: 'v propo
  shows c-in-c'-symb c c' (FNot ψ)
proof –
  {
    fix ξ :: 'v propo
    have not-c-in-c'-symb c c' (FNot ψ) ⟹ False
      apply (induct FNot ψ rule: not-c-in-c'-symb.induct)
      using conn-inj-not(2) by blast+
  }
 thus ?thesis by auto
qed

lemma c-in-c'-symb-step-exists:
  fixes φ :: 'v propo
  assumes c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  shows ψ ≼ φ ⟹ ¬ c-in-c'-symb c c' ψ ⟹ ∃ψ'. push-conn-inside c c' ψ ψ'
  apply (induct ψ rule: propo-induct-arity)
  apply auto[2]
proof −
  fix ψ1 ψ2 φ':: 'v propo
  assume IHψ1: ψ1 ≼ φ ⟹ ¬ c-in-c'-symb c c' ψ1 ⟹ Ex (push-conn-inside c c' ψ1)
  and IHψ2: ψ1 ≼ φ ⟹ ¬ c-in-c'-symb c c' ψ1 ⟹ Ex (push-conn-inside c c' ψ1)
  and φ': φ' = FAnd ψ1 ψ2 ∨ φ' = FOr ψ1 ψ2 ∨ φ' = FImp ψ1 ψ2 ∨ φ' = FEq ψ1 ψ2
  and inφ: φ' ≼ φ and  n0: ¬c-in-c'-symb c c' φ'
  hence n: not-c-in-c'-symb c c' φ' by auto
  {
    assume φ': φ' = conn c [ψ1, ψ2]
    obtain a b where ψ1 = conn c' [a, b] ∨ ψ2 = conn c' [a, b]
      using n φ' apply (induct rule: not-c-in-c'-symb.induct)
      using c by force+
    hence Ex (push-conn-inside c c' φ')
      unfolding φ' apply auto
      using push-conn-inside.intros(1) c c' apply blast
      using push-conn-inside.intros(2) c c' by blast
  }
  moreover {
     assume φ': φ' ≠ conn c [ψ1, ψ2]
     have ∀φ c ca. ∃φ1 ψ1 ψ2 ψ1' ψ2' φ2'. conn (c::'v connective) [φ1, conn ca [ψ1, ψ2]] = φ
             ∨ conn c [conn ca [ψ1', ψ2'], φ2'] = φ ∨ c-in-c'-symb c ca φ
       by (metis not-c-in-c'-symb.cases)
     hence Ex (push-conn-inside c c' φ')
       by (metis (no-types) c c' n push-conn-inside-l push-conn-inside-r)
  }
  ultimately show Ex (push-conn-inside c c' φ') by blast
qed


lemma c-in-c'-symb-rew:
  fixes φ :: 'v propo
  assumes noTB: ¬c-in-c'-only c c' φ
  and c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  shows ∃ψ ψ'. ψ ≼ φ ∧ push-conn-inside c c' ψ ψ'
proof −
  have test-symb-false-nullary:
    ∀x. c-in-c'-symb c c' (FF:: 'v propo) ∧ c-in-c'-symb c c' FT
      ∧ c-in-c'-symb c c' (FVar (x:: 'v))
    by auto
  moreover {
    fix x :: 'v
    have  H': c-in-c'-symb c c' FT c-in-c'-symb c c' FF c-in-c'-symb c c' (FVar x)
      by simp+
  }
  moreover {
    fix ψ :: 'v propo
    have ψ ≼ φ ⟹ ¬ c-in-c'-symb c c' ψ ⟹ ∃ψ'. push-conn-inside c c' ψ ψ'
      by (auto simp add: assms(2) c' c-in-c'-symb-step-exists)
  }
  ultimately show ?thesis using noTB no-test-symb-step-exists[of c-in-c'-symb c c']
```

```
    unfolding c-in-c′-only-def by metis
qed

lemma push-conn-insidec-in-c′-symb-no-T-F:
  fixes φ ψ :: ′v propo
  shows propo-rew-step (push-conn-inside c c′) φ ψ ⟹ no-T-F φ ⟹ no-T-F ψ
proof (induct rule: propo-rew-step.induct)
  case (global-rel φ ψ)
  thus no-T-F ψ
    by (cases rule: push-conn-inside.cases, auto)
next
  case (propo-rew-one-step-lift φ φ′ c ξ ξ′)
  note rel = this(1) and IH = this(2) and wf = this(3) and no-T-F = this(4)
  have no-T-F φ
    using wf no-T-F  no-T-F-def subformula-into-subformula subformula-all-subformula-st
    subformula-refl by (metis (no-types) in-set-conv-decomp)
  hence φ′: no-T-F φ′ using IH by blast

  have ∀ζ ∈ set (ξ @ φ # ξ′). no-T-F ζ by (metis wf no-T-F no-T-F-def all-subformula-st-decomp)
  hence n: ∀ζ ∈ set (ξ @ φ′ # ξ′). no-T-F ζ using φ′ by auto
  hence n′: ∀ζ ∈ set (ξ @ φ′ # ξ′). ζ ≠  FF ∧ ζ ≠ FT
    using φ′ by (metis no-T-F-symb-false(1) no-T-F-symb-false(2) no-T-F-def
      all-subformula-st-test-symb-true-phi)

  have wf′: wf-conn c (ξ @ φ′ # ξ′)
    using wf wf-conn-no-arity-change by (metis wf-conn-no-arity-change-helper)
  {
    fix x :: ′v
    assume c = CT ∨ c = CF ∨ c = CVar x
    hence False using wf by auto
    hence no-T-F (conn c (ξ @ φ′ # ξ′)) by blast
  }
  moreover {
    assume c: c = CNot
    hence ξ = [] ξ′ = [] using wf by auto
    hence no-T-F (conn c (ξ @ φ′ # ξ′))
      using c by (metis φ′ conn.simps(4) no-T-F-symb-false(1,2) no-T-F-symb-fnot no-T-F-def
        all-subformula-st-decomp-explicit(3) all-subformula-st-test-symb-true-phi self-append-conv2)
  }
  moreover {
    assume c: c ∈ binary-connectives
    hence no-T-F-symb (conn c (ξ @ φ′ # ξ′)) using wf′ n′ no-T-F-symb.simps by fastforce
    hence no-T-F (conn c (ξ @ φ′ # ξ′)) by (metis all-subformula-st-decomp-imp wf′ n no-T-F-def)
  }
  ultimately show no-T-F (conn c (ξ @ φ′ # ξ′)) using connective-cases-arity by auto
qed


lemma simple-propo-rew-step-push-conn-inside-inv:
propo-rew-step (push-conn-inside c c′) φ ψ ⟹ simple φ ⟹ simple ψ
  apply (induct rule: propo-rew-step.induct)
  apply (rename-tac φ, case-tac φ, auto simp add: push-conn-inside.simps)[]
  by (metis append-is-Nil-conv list.distinct(1) simple.elims(2) wf-conn-list(1−3))
```

```
lemma simple-propo-rew-step-inv-push-conn-inside-simple-not:
  fixes c c' :: 'v connective and φ ψ :: 'v propo
  shows propo-rew-step (push-conn-inside c c') φ ψ ⟹ simple-not φ ⟹ simple-not ψ
proof (induct rule: propo-rew-step.induct)
  case (global-rel φ ψ)
  thus ?case by (cases φ, auto simp add: push-conn-inside.simps)
next
  case (propo-rew-one-step-lift φ φ' ca ξ ξ') note rew = this(1) and IH = this(2) and wf = this(3)
   and simple = this(4)
  show ?case
    proof (cases ca rule: connective-cases-arity)
      case nullary
      then show ?thesis using propo-rew-one-step-lift by auto
    next
      case binary note ca = this
      obtain a b where ab: ξ @ φ' # ξ' = [a, b]
        using wf ca list-length2-decomp wf-conn-bin-list-length
        by (metis (no-types) wf-conn-no-arity-change-helper)
      have ∀ζ ∈ set (ξ @ φ # ξ'). simple-not ζ
        by (metis wf all-subformula-st-decomp simple simple-not-def)
      hence ∀ζ ∈ set (ξ @ φ' # ξ'). simple-not ζ using IH by simp
      moreover have simple-not-symb (conn ca (ξ @ φ' # ξ')) using ca
      by (metis ab conn.simps(5−8) helper-fact simple-not-symb.simps(5) simple-not-symb.simps(6)
          simple-not-symb.simps(7) simple-not-symb.simps(8))
      ultimately show ?thesis
        by (simp add: ab all-subformula-st-decomp ca)
    next
      case unary
      then show ?thesis
         using rew simple-propo-rew-step-push-conn-inside-inv[OF rew] IH local.wf simple by auto
    qed
qed

lemma propo-rew-step-push-conn-inside-simple-not:
  fixes φ φ' :: 'v propo and ξ ξ' :: 'v propo list and c :: 'v connective
  assumes
    propo-rew-step (push-conn-inside c c') φ φ' and
    wf-conn c (ξ @ φ # ξ') and
    simple-not-symb (conn c (ξ @ φ # ξ')) and
    simple-not-symb φ'
  shows simple-not-symb (conn c (ξ @ φ' # ξ'))
  using assms
proof (induction rule: propo-rew-step.induct)
print-cases
  case (global-rel)
  then show ?case
    by (metis conn.simps(12,17) list.discI push-conn-inside.cases simple-not-symb.elims(3)
      wf-conn-helper-facts(5) wf-conn-list(2) wf-conn-list(8) wf-conn-no-arity-change
      wf-conn-no-arity-change-helper)
next
  case (propo-rew-one-step-lift φ φ' c' χs χs') note tel = this(1) and wf = this(2) and IH = this(3)
    and wf' = this(4) and simple' = this(5) and simple = this(6)
  then show ?case
    proof (cases c' rule: connective-cases-arity)
      case nullary
      then show ?thesis using wf simple simple' by auto
    next
      case binary note c = this(1)
      have corr': wf-conn c (ξ @ conn c' (χs @ φ' # χs') # ξ')
        using wf wf-conn-no-arity-change
        by (metis wf' wf-conn-no-arity-change-helper)
      then show ?thesis
        using c propo-rew-one-step-lift wf
        by (metis conn.simps(17) connective.distinct(37) propo-rew-step-subformula-imp
          push-conn-inside.cases simple-not-symb.elims(3) wf-conn.simps wf-conn-list(2,8))
    next
      case unary
      then have empty: χs = [] χs' = [] using wf by auto
      then show ?thesis using simple unary simple' wf wf'
        by (metis connective.distinct(37) connective.distinct(39) propo-rew-step-subformula-imp
          push-conn-inside.cases simple-not-symb.elims(3) tel wf-conn-list(8)
          wf-conn-no-arity-change wf-conn-no-arity-change-helper)
    qed
qed

lemma push-conn-inside-not-true-false:
  push-conn-inside c c' φ ψ ⟹ ψ ≠ FT ∧ ψ ≠ FF
  by (induct rule: push-conn-inside.induct, auto)

lemma push-conn-inside-inv:
  fixes φ ψ :: 'v propo
  assumes full (propo-rew-step (push-conn-inside c c')) φ ψ
  and no-equiv φ and no-imp φ and no-T-F-except-top-level φ and simple-not φ
  shows no-equiv ψ and no-imp ψ and no-T-F-except-top-level ψ and simple-not ψ
proof –
  {
    {
      fix φ ψ :: 'v propo
      have H: push-conn-inside c c' φ ψ ⟹ all-subformula-st simple-not-symb φ
        ⟹ all-subformula-st simple-not-symb ψ
        by (induct φ ψ rule: push-conn-inside.induct, auto)
    } note H = this

    fix φ ψ :: 'v propo
    have H: propo-rew-step (push-conn-inside c c') φ ψ ⟹ all-subformula-st simple-not-symb φ
      ⟹ all-subformula-st simple-not-symb ψ
      apply (induct φ ψ rule: propo-rew-step.induct)
      using H apply simp
      proof (rename-tac φ φ' ca ψs ψs', case-tac ca rule: connective-cases-arity)
        fix φ φ' :: 'v propo and c:: 'v connective and ξ ξ':: 'v propo list
        and x:: 'v
        assume wf-conn c (ξ @ φ # ξ')
        and  c = CT ∨ c = CF ∨ c = CVar x
        hence ξ @ φ # ξ' = [] by auto
        hence False by auto
        thus all-subformula-st simple-not-symb (conn c (ξ @ φ' # ξ')) by blast
      next
        fix φ φ' :: 'v propo and ca:: 'v connective and ξ ξ':: 'v propo list
        and x :: 'v
        assume rel: propo-rew-step (push-conn-inside c c') φ φ'
        and φ-φ': all-subformula-st simple-not-symb φ ⟹ all-subformula-st simple-not-symb φ'
        and corr: wf-conn ca (ξ @ φ # ξ')
        and n: all-subformula-st simple-not-symb (conn ca (ξ @ φ # ξ'))
        and c: ca = CNot

        have empty: ξ = [] ξ' = [] using c corr by auto
        hence simple-not:all-subformula-st simple-not-symb (FNot φ) using corr c n by auto
        hence simple φ
          using all-subformula-st-test-symb-true-phi simple-not-symb.simps(1) by blast
        hence simple φ'
          using rel simple-propo-rew-step-push-conn-inside-inv by blast
        thus all-subformula-st simple-not-symb (conn ca (ξ @ φ' # ξ')) using c empty
          by (metis simple-not φ-φ' append-Nil conn.simps(4) all-subformula-st-decomp-explicit(3)
            simple-not-symb.simps(1))
      next
        fix φ φ' :: 'v propo and ca :: 'v connective and ξ ξ' :: 'v propo list
        and x :: 'v
        assume rel: propo-rew-step (push-conn-inside c c') φ φ'
        and nφ: all-subformula-st simple-not-symb φ ⟹ all-subformula-st simple-not-symb φ'
        and corr: wf-conn ca (ξ @ φ # ξ')
        and n: all-subformula-st simple-not-symb (conn ca (ξ @ φ # ξ'))
        and c: ca ∈ binary-connectives

        have all-subformula-st simple-not-symb φ
          using n c corr all-subformula-st-decomp by fastforce
        hence φ': all-subformula-st simple-not-symb φ' using nφ by blast
        obtain a b where ab: [a, b] = (ξ @ φ # ξ')
          using corr c list-length2-decomp wf-conn-bin-list-length by metis
        hence ξ @ φ' # ξ' = [a, φ'] ∨ (ξ @ φ' # ξ') = [φ', b]
          using ab by (metis (no-types, hide-lams) append-Cons append-Nil append-Nil2
            append-is-Nil-conv butlast.simps(2) butlast-append list.sel(3) tl-append2)
        moreover
        {
          fix χ :: 'v propo
          have wf': wf-conn ca [a, b]
            using ab corr by presburger
          have all-subformula-st simple-not-symb (conn ca [a, b])
            using ab n by presburger
          hence all-subformula-st simple-not-symb χ ∨ χ ∉ set (ξ @ φ' # ξ')
            using wf' by (metis (no-types) φ' all-subformula-st-decomp calculation insert-iff
              list.set(2))
        }
        hence ∀φ. φ ∈ set (ξ @ φ' # ξ') ⟶ all-subformula-st simple-not-symb φ
            by (metis (no-types))

        moreover have simple-not-symb (conn ca (ξ @ φ' # ξ'))
          using ab conn-inj-not(1) corr wf-conn-list-decomp(4) wf-conn-no-arity-change
            not-Cons-self2 self-append-conv2 simple-not-symb.elims(3) by (metis (no-types) c
            calculation(1) wf-conn-binary)
        moreover have wf-conn ca (ξ @ φ' # ξ')  using c calculation(1) by auto
        ultimately show all-subformula-st simple-not-symb (conn ca (ξ @ φ' # ξ'))
          by (metis all-subformula-st-decomp-imp)
      qed
  }
  moreover {
    fix $ca$ :: $'v$ connective and $\xi$ $\xi'$ :: $'v$ propo list and $\varphi$ $\varphi'$ :: $'v$ propo
    have propo-rew-step (push-conn-inside c c') $\varphi$ $\varphi'$ $\Longrightarrow$ wf-conn ca ($\xi$ @ $\varphi$ # $\xi'$)
      $\Longrightarrow$ simple-not-symb (conn ca ($\xi$ @ $\varphi$ # $\xi'$)) $\Longrightarrow$ simple-not-symb $\varphi'$
      $\Longrightarrow$ simple-not-symb (conn ca ($\xi$ @ $\varphi'$ # $\xi'$))
      by (metis append-self-conv2 conn.simps(4) conn-inj-not(1) simple-not-symb.elims(3)
        simple-not-symb.simps(1) simple-propo-rew-step-push-conn-inside-inv
        wf-conn-no-arity-change-helper wf-conn-list-decomp(4) wf-conn-no-arity-change)
  }
  ultimately show simple-not $\psi$
    using full-propo-rew-step-inv-stay'[of push-conn-inside c c' simple-not-symb] assms
    unfolding no-T-F-except-top-level-def simple-not-def full-unfold by metis
next
  {
    fix $\varphi$ $\psi$ :: $'v$ propo
    have H: propo-rew-step (push-conn-inside c c') $\varphi$ $\psi$ $\Longrightarrow$ no-T-F-except-top-level $\varphi$
      $\Longrightarrow$  no-T-F-except-top-level $\psi$
     proof –
       assume rel: propo-rew-step (push-conn-inside c c') $\varphi$ $\psi$
       and no-T-F-except-top-level $\varphi$
       hence no-T-F $\varphi$ $\lor$ $\varphi$ = FF $\lor$ $\varphi$ = FT
         by (metis no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
       moreover {
         assume $\varphi$ = FF $\lor$ $\varphi$ = FT
         hence False using rel propo-rew-step-push-conn-inside by blast
         hence no-T-F-except-top-level $\psi$ by blast
       }
       moreover {
         assume no-T-F $\varphi$ $\land$ $\varphi$ $\neq$ FF $\land$ $\varphi$ $\neq$ FT
         hence no-T-F $\psi$ using rel push-conn-insidec-in-c'-symb-no-T-F by blast
         hence no-T-F-except-top-level $\psi$ using no-T-F-no-T-F-except-top-level by blast
       }
       ultimately show no-T-F-except-top-level $\psi$ by blast
     qed
  }
  moreover {
    fix $ca$ :: $'v$ connective and $\xi$ $\xi'$ :: $'v$ propo list and $\varphi$ $\varphi'$ :: $'v$ propo
    assume rel: propo-rew-step (push-conn-inside c c') $\varphi$ $\varphi'$
    assume corr: wf-conn ca ($\xi$ @ $\varphi$ # $\xi'$)
    hence c: ca $\neq$ CT $\land$ ca $\neq$ CF by auto
    assume no-T-F: no-T-F-symb-except-toplevel (conn ca ($\xi$ @ $\varphi$ # $\xi'$))
    have no-T-F-symb-except-toplevel (conn ca ($\xi$ @ $\varphi'$ # $\xi'$))
    proof
      have c: ca $\neq$ CT $\land$ ca $\neq$ CF using corr by auto
      have $\zeta$: $\forall\zeta\in$ set ($\xi$ @ $\varphi$ # $\xi'$). $\zeta\neq$FT $\land$ $\zeta$ $\neq$ FF
        using corr no-T-F no-T-F-symb-except-toplevel-if-is-a-true-false by blast
      hence $\varphi$ $\neq$ FT $\land$ $\varphi$ $\neq$ FF by auto
      from rel this have  $\varphi'$ $\neq$ FT $\land$ $\varphi'$ $\neq$ FF
        apply (induct rule: propo-rew-step.induct)
        by (metis append-is-Nil-conv conn.simps(2) conn-inj list.distinct(1)
          wf-conn-helper-facts(3) wf-conn-list(1) wf-conn-no-arity-change
          wf-conn-no-arity-change-helper push-conn-inside-not-true-false)+
      hence $\forall\zeta\in$ set ($\xi$ @ $\varphi'$ # $\xi'$). $\zeta$ $\neq$ FT $\land$ $\zeta$ $\neq$ FF using $\zeta$ by auto
      moreover have wf-conn ca ($\xi$ @ $\varphi'$ # $\xi'$)
        using corr wf-conn-no-arity-change by (metis wf-conn-no-arity-change-helper)
      ultimately show no-T-F-symb (conn ca ($\xi$ @ $\varphi'$ # $\xi'$)) using no-T-F-symb.intros c by metis
```

```
   qed
  }
  ultimately show no-T-F-except-top-level ψ
    using full-propo-rew-step-inv-stay'[of push-conn-inside c c' no-T-F-symb-except-toplevel]
    assms unfolding no-T-F-except-top-level-def full-unfold by metis

next
  {
    fix φ ψ :: 'v propo
    have H: push-conn-inside c c' φ ψ ⟹ no-equiv φ ⟹ no-equiv ψ
      by (induct φ ψ rule: push-conn-inside.induct, auto)
  }
  thus no-equiv ψ
    using full-propo-rew-step-inv-stay-conn[of push-conn-inside c c' no-equiv-symb] assms
    no-equiv-symb-conn-characterization unfolding no-equiv-def by metis

next
  {
    fix φ ψ :: 'v propo
    have H: push-conn-inside c c' φ ψ ⟹ no-imp φ ⟹  no-imp ψ
      by (induct φ ψ rule: push-conn-inside.induct, auto)
  }
  thus no-imp ψ
    using full-propo-rew-step-inv-stay-conn[of push-conn-inside c c' no-imp-symb] assms
    no-imp-symb-conn-characterization unfolding no-imp-def by metis
qed
```

```
lemma push-conn-inside-full-propo-rew-step:
  fixes φ ψ :: 'v propo
  assumes
    no-equiv φ and
    no-imp φ and
    full (propo-rew-step (push-conn-inside c c')) φ ψ and
    no-T-F-except-top-level φ and
    simple-not φ and
    c = CAnd ∨ c = COr and
    c'  = CAnd ∨ c' = COr
  shows c-in-c'-only c c' ψ
  using c-in-c'-symb-rew assms full-propo-rew-step-subformula by blast
```

8.5.1 Only one type of connective in the formula (+ not)

```
inductive only-c-inside-symb :: 'v connective ⇒ 'v propo ⇒ bool for c:: 'v connective where
simple-only-c-inside[simp]: simple φ ⟹  only-c-inside-symb c φ |
simple-cnot-only-c-inside[simp]: simple φ ⟹  only-c-inside-symb c (FNot φ) |
only-c-inside-into-only-c-inside: wf-conn c l ⟹ only-c-inside-symb c (conn c l)
```

```
lemma only-c-inside-symb-simp[simp]:
  only-c-inside-symb c FF only-c-inside-symb c FT only-c-inside-symb c (FVar x) by auto
```

```
definition only-c-inside where only-c-inside c = all-subformula-st (only-c-inside-symb c)
```

```
lemma only-c-inside-symb-decomp:
  only-c-inside-symb c ψ ⟷ (simple ψ
                          ∨ (∃ φ'. ψ = FNot φ' ∧ simple φ')
                          ∨ (∃ l. ψ = conn c l ∧ wf-conn c l))
  by (auto simp add: only-c-inside-symb.intros(3)) (induct rule: only-c-inside-symb.induct, auto)

lemma only-c-inside-symb-decomp-not[simp]:
  fixes c :: 'v connective
  assumes c: c ≠ CNot
  shows only-c-inside-symb c (FNot ψ) ⟷ simple ψ
  apply (auto simp add: only-c-inside-symb.intros(3))
  by (induct FNot ψ rule: only-c-inside-symb.induct, auto simp add: wf-conn-list(8) c)

lemma only-c-inside-decomp-not[simp]:
  assumes c: c ≠ CNot
  shows only-c-inside c (FNot ψ) ⟷ simple ψ
  by (metis (no-types, hide-lams) all-subformula-st-def all-subformula-st-test-symb-true-phi c
    only-c-inside-def only-c-inside-symb-decomp-not simple-only-c-inside
    subformula-conn-decomp-simple)


lemma only-c-inside-decomp:
  only-c-inside c φ ⟷
    (∀ψ. ψ ≼ φ ⟶ (simple ψ ∨ (∃ φ'. ψ = FNot φ' ∧ simple φ')
                ∨ (∃ l. ψ = conn c l ∧ wf-conn c l)))
  unfolding only-c-inside-def by (auto simp add: all-subformula-st-def only-c-inside-symb-decomp)

lemma only-c-inside-c-c'-false:
  fixes c c' :: 'v connective and l :: 'v propo list and φ :: 'v propo
  assumes cc': c ≠ c' and c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  and only: only-c-inside c φ and incl: conn c' l ≼ φ and wf: wf-conn c' l
  shows False
proof −
  let ?ψ = conn c' l
  have simple ?ψ ∨ (∃ φ'. ?ψ = FNot φ' ∧ simple φ') ∨ (∃ l. ?ψ = conn c l ∧ wf-conn c l)
    using only-c-inside-decomp only incl by blast
  moreover have ¬ simple ?ψ
    using wf simple-decomp by (metis c' connective.distinct(19) connective.distinct(7,9,21,29,31)
      wf-conn-list(1−3))
  moreover
    {
      fix φ'
      have ?ψ ≠ FNot φ' using c' conn-inj-not(1) wf by blast
    }
  ultimately obtain l :: 'v propo list where ?ψ = conn c l ∧ wf-conn c l by metis
  hence c = c' using conn-inj wf by metis
  thus False using cc' by auto
qed

lemma only-c-inside-implies-c-in-c'-symb:
  assumes δ: c ≠ c'  and c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  shows only-c-inside c φ ⟹ c-in-c'-symb c c' φ
  apply (rule ccontr)
  apply (cases rule: not-c-in-c'-symb.cases, auto)
  by (metis δ c c' connective.distinct(37,39) list.distinct(1) only-c-inside-c-c'-false
    subformula-in-binary-conn(1,2) wf-conn.simps)+
```

lemma *c-in-c′-symb-decomp-level1*:
  fixes *l* :: *′v propo list* **and** *c c′ ca* :: *′v connective*
  shows *wf-conn ca l* $\Longrightarrow$ *ca* $\neq$ *c* $\Longrightarrow$ *c-in-c′-symb c c′* (*conn ca l*)
proof −
  have *not-c-in-c′-symb c c′* (*conn ca l*) $\Longrightarrow$ *wf-conn ca l* $\Longrightarrow$ *ca* = *c*
    by (*induct conn ca l rule*: *not-c-in-c′-symb.induct*, *auto simp add*: *conn-inj*)
  thus *wf-conn ca l* $\Longrightarrow$ *ca* $\neq$ *c* $\Longrightarrow$ *c-in-c′-symb c c′* (*conn ca l*) **by** *blast*
qed

lemma *only-c-inside-implies-c-in-c′-only*:
  assumes *δ*: *c* $\neq$ *c′* **and** *c*: *c* = *CAnd* ∨ *c* = *COr* **and** *c′*: *c′* = *CAnd* ∨ *c′* = *COr*
  shows *only-c-inside c φ* $\Longrightarrow$ *c-in-c′-only c c′ φ*
  unfolding *c-in-c′-only-def all-subformula-st-def*
  using *only-c-inside-implies-c-in-c′-symb*
    by (*metis all-subformula-st-def assms*(*1*) *c c′ only-c-inside-def subformula-trans*)

lemma *c-in-c′-symb-c-implies-only-c-inside*:
  assumes *δ*: *c* = *CAnd* ∨ *c* = *COr c′* = *CAnd* ∨ *c′* = *COr c* $\neq$ *c′* **and** *wf*: *wf-conn c* [*φ*, *ψ*]
  and *inv*: *no-equiv* (*conn c l*) *no-imp* (*conn c l*) *simple-not* (*conn c l*)
  shows *wf-conn c l* $\Longrightarrow$ *c-in-c′-only c c′* (*conn c l*) $\Longrightarrow$ (∀*ψ*∈ *set l*. *only-c-inside c ψ*)
using *inv*
proof (*induct conn c l arbitrary*: *l rule*: *propo-induct-arity*)
  case (*nullary x*)
  thus *?case* **by** (*auto simp add*: *wf-conn-list assms*)
next
  case (*unary φ la*)
  hence *c* = *CNot* ∧ *la* = [*φ*] **by** (*metis* (*no-types*) *wf-conn-list*(*8*))
  thus *?case* **using** *assms*(*2*) *assms*(*1*) **by** *blast*
next
  case (*binary φ1 φ2*)
  note *IHφ1* = *this*(*1*) **and** *IHφ2* = *this*(*2*) **and** *φ* = *this*(*3*) **and** *only* = *this*(*5*) **and** *wf* = *this*(*4*)
    and *no-equiv* = *this*(*6*) **and** *no-imp* = *this*(*7*) **and** *simple-not* = *this*(*8*)
  hence *l*: *l* = [*φ1*, *φ2*] **by** (*meson wf-conn-list*(*4*−*7*))
  let *?φ* = *conn c l*

  obtain *c1 l1 c2 l2* **where** *φ1*: *φ1* = *conn c1 l1* **and** *wfφ1*: *wf-conn c1 l1*
    and *φ2*: *φ2* = *conn c2 l2* **and** *wfφ2*: *wf-conn c2 l2* **using** *exists-c-conn* **by** *metis*
  hence *c-in-onlyφ1*: *c-in-c′-only c c′* (*conn c1 l1*) **and** *c-in-c′-only c c′* (*conn c2 l2*)
    using *only l* **unfolding** *c-in-c′-only-def* **using** *assms*(*1*) **by** *auto*
  have *incφ1*: *φ1* ⪯ *?φ* **and** *incφ2*: *φ2* ⪯ *?φ*
    using *φ1 φ2 φ local.wf* **by** (*metis conn.simps*(*5*−*8*) *helper-fact subformula-in-binary-conn*(*1*,*2*))+

  have *c1-eq*: *c1* $\neq$ *CEq* **and** *c2-eq*: *c2* $\neq$ *CEq*
    unfolding *no-equiv-def* **using** *incφ1 incφ2* **by** (*metis φ1 φ2 wfφ1 wfφ2 assms*(*1*) *no-equiv*
      no-equiv-eq(*1*) *no-equiv-symb.elims*(*3*) *no-equiv-symb-conn-characterization wf-conn-list*(*4*,*5*)
      no-equiv-def subformula-all-subformula-st)+
  have *c1-imp*: *c1* $\neq$ *CImp* **and** *c2-imp*: *c2* $\neq$ *CImp*
    using *no-imp* **by** (*metis φ1 φ2 all-subformula-st-decomp-explicit-imp*(*2*,*3*) *assms*(*1*)
      conn.simps(*5*,*6*) *l no-imp-Imp*(*1*) *no-imp-symb.elims*(*3*) *no-imp-symb-conn-characterization*
      wfφ1 wfφ2 all-subformula-st-decomp no-imp-symb-conn-characterization)+
  have *c1c*: *c1* $\neq$ *c′*
    proof

```
    assume c1c: c1 = c′
    then obtain ξ1 ξ2 where l1: l1 = [ξ1, ξ2]
      by (metis assms(2) connective.distinct(37,39) helper-fact wfφ1 wf-conn.simps
        wf-conn-list-decomp(1−3))
    have c-in-c′-only c c′ (conn c [conn c′ l1, φ2]) using c1c l only φ1 by auto
    moreover have not-c-in-c′-symb c c′ (conn c [conn c′ l1, φ2])
      using l1 φ1 c1c l local.wf not-c-in-c′-symb-l wfφ1 by blast
    ultimately show False using φ1 c1c l l1 local.wf not-c-in-c′-simp(4) wfφ1 by blast
  qed
hence (φ1 = conn c l1 ∧ wf-conn c l1) ∨ (∃ψ1. φ1 = FNot ψ1) ∨ simple φ1
  by (metis φ1 assms(1−3) c1-eq c1-imp simple.elims(3) wfφ1 wf-conn-list(4) wf-conn-list(5−7))
moreover {
  assume φ1 = conn c l1 ∧ wf-conn c l1
  hence only-c-inside c φ1
    by (metis IHφ1 φ1 all-subformula-st-decomp-imp incφ1 no-equiv no-equiv-def no-imp no-imp-def
      c-in-onlyφ1 only-c-inside-def only-c-inside-into-only-c-inside simple-not simple-not-def
      subformula-all-subformula-st)
}
moreover {
  assume ∃ψ1. φ1 = FNot ψ1
  then obtain ψ1 where φ1 = FNot ψ1 by metis
  hence only-c-inside c φ1
    by (metis all-subformula-st-def assms(1) connective.distinct(37,39) incφ1
      only-c-inside-decomp-not simple-not simple-not-def simple-not-symb.simps(1))
}
moreover {
  assume simple φ1
  hence only-c-inside c φ1
    by (metis all-subformula-st-decomp-explicit(3) assms(1) connective.distinct(37,39)
      only-c-inside-decomp-not only-c-inside-def)
}
ultimately have only-c-insideφ1: only-c-inside c φ1 by metis

have c-in-onlyφ2: c-in-c′-only c c′ (conn c2 l2)
  using only l φ2 wfφ2 assms unfolding c-in-c′-only-def by auto
have c2c: c2 ≠ c′
  proof
    assume c2c: c2 = c′
    then obtain ξ1 ξ2 where l2: l2 = [ξ1, ξ2]
     by (metis assms(2) wfφ2 wf-conn.simps connective.distinct(7,9,19,21,29,31,37,39))
    hence c-in-c′-symb c c′ (conn c [φ1, conn c′ l2])
      using c2c l only φ2 all-subformula-st-test-symb-true-phi unfolding c-in-c′-only-def by auto
    moreover have not-c-in-c′-symb c c′ (conn c [φ1, conn c′ l2])
      using assms(1) c2c l2 not-c-in-c′-symb-r wfφ2 wf-conn-helper-facts(5,6) by metis
    ultimately show False by auto
  qed
hence (φ2 = conn c l2 ∧ wf-conn c l2) ∨ (∃ψ2. φ2 = FNot ψ2) ∨ simple φ2
  using c2-eq by (metis φ2 assms(1−3) c2-eq c2-imp simple.elims(3) wfφ2 wf-conn-list(4−7))
moreover {
  assume φ2 = conn c l2 ∧ wf-conn c l2
  hence only-c-inside c φ2
    by (metis IHφ2 φ2 all-subformula-st-decomp incφ2 no-equiv no-equiv-def no-imp no-imp-def
      c-in-onlyφ2 only-c-inside-def only-c-inside-into-only-c-inside simple-not simple-not-def
      subformula-all-subformula-st)
}
```

  moreover {
    assume $\exists\psi 2.\ \varphi 2 = FNot\ \psi 2$
    then obtain $\psi 2$ **where** $\varphi 2 = FNot\ \psi 2$ **by** *metis*
    hence *only-c-inside c* $\varphi 2$
      by (*metis all-subformula-st-def assms*(*1−3*) *connective.distinct*(*38*,*40*) *inc*$\varphi 2$
        only-c-inside-decomp-not simple-not simple-not-def simple-not-symb.simps(*1*))
  }
  moreover {
    assume *simple* $\varphi 2$
    hence *only-c-inside c* $\varphi 2$
      by (*metis all-subformula-st-decomp-explicit*(*3*) *assms*(*1*) *connective.distinct*(*37*,*39*)
        only-c-inside-decomp-not only-c-inside-def)
  }
  ultimately have *only-c-inside*$\varphi 2$: *only-c-inside c* $\varphi 2$ **by** *metis*
  show *?case* **using** *l only-c-inside*$\varphi 1$ *only-c-inside*$\varphi 2$ **by** *auto*
qed

8.5.2 Push Conjunction

definition *pushConj* **where** *pushConj = push-conn-inside CAnd COr*

lemma *pushConj-consistent*: *preserves-un-sat pushConj*
  unfolding *pushConj-def* **by** (*simp add*: *push-conn-inside-consistent*)

definition *and-in-or-symb* **where** *and-in-or-symb = c-in-c′-symb CAnd COr*

definition *and-in-or-only* **where**
and-in-or-only = all-subformula-st (*c-in-c′-symb CAnd COr*)

lemma *pushConj-inv*:
  fixes $\varphi\ \psi$ :: *′v propo*
  assumes *full* (*propo-rew-step pushConj*) $\varphi\ \psi$
  and   *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ
  shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ
  using *push-conn-inside-inv assms* **unfolding** *pushConj-def* **by** *metis+*

lemma *pushConj-full-propo-rew-step*:
  fixes $\varphi\ \psi$ :: *′v propo*
  assumes
    no-equiv φ **and**
    no-imp φ **and**
    full (*propo-rew-step pushConj*) $\varphi\ \psi$ **and**
    no-T-F-except-top-level φ **and**
    simple-not φ
  shows *and-in-or-only* ψ
  using *assms push-conn-inside-full-propo-rew-step*
  unfolding *pushConj-def and-in-or-only-def c-in-c′-only-def* **by** (*metis* (*no-types*))

8.5.3 Push Disjunction

definition *pushDisj* **where** *pushDisj = push-conn-inside COr CAnd*

lemma *pushDisj-consistent*: *preserves-un-sat pushDisj*
  unfolding *pushDisj-def* **by** (*simp add*: *push-conn-inside-consistent*)

definition *or-in-and-symb* **where** *or-in-and-symb* = *c-in-c′-symb COr CAnd*

definition *or-in-and-only* **where**
or-in-and-only = *all-subformula-st* (*c-in-c′-symb COr CAnd*)

lemma *not-or-in-and-only-or-and*[*simp*]:
  ~or-in-and-only (*FOr* (*FAnd* $\psi 1$ $\psi 2$) φ')
  unfolding *or-in-and-only-def*
  by (*metis all-subformula-st-test-symb-true-phi conn.simps*(*5−6*) *not-c-in-c′-symb-l*
    wf-conn-helper-facts(*5*) *wf-conn-helper-facts*(*6*))

lemma *pushDisj-inv*:
  fixes φ ψ :: *′v propo*
  assumes *full* (*propo-rew-step pushDisj*) φ ψ
  and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ
  shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ
  using *push-conn-inside-inv assms* **unfolding** *pushDisj-def* **by** *metis+*

lemma *pushDisj-full-propo-rew-step*:
  fixes φ ψ :: *′v propo*
  assumes
    no-equiv φ **and**
    no-imp φ **and**
    full (*propo-rew-step pushDisj*) φ ψ **and**
    no-T-F-except-top-level φ **and**
    simple-not φ
  shows *or-in-and-only* ψ
  using *assms push-conn-inside-full-propo-rew-step*
  unfolding *pushDisj-def or-in-and-only-def c-in-c′-only-def* **by** (*metis* (*no-types*))

9 The full transformations

9.1 Abstract Property characterizing that only some connective are inside the others

9.1.1 Definition

The normal is a super group of groups

inductive *grouped-by* :: *′a connective* ⇒ *′a propo* ⇒ *bool* **for** *c* **where**
simple-is-grouped[*simp*]: *simple* φ ⟹ *grouped-by c* φ |
simple-not-is-grouped[*simp*]: *simple* φ ⟹ *grouped-by c* (*FNot* φ) |
connected-is-group[*simp*]: *grouped-by c* φ ⟹ *grouped-by c* ψ ⟹ *wf-conn c* [φ, ψ]
  ⟹ *grouped-by c* (*conn c* [φ, ψ])

lemma *simple-clause*[*simp*]:
  grouped-by c FT
  grouped-by c FF
  grouped-by c (*FVar x*)
  grouped-by c (*FNot FT*)
  grouped-by c (*FNot FF*)
  grouped-by c (*FNot* (*FVar x*))
  by *simp+*

lemma *only-c-inside-symb-c-eq-c′*:

```
  only-c-inside-symb c (conn c' [φ1, φ2]) ⟹ c' = CAnd ∨ c' = COr ⟹ wf-conn c' [φ1, φ2]
    ⟹ c' = c
  by (induct conn c' [φ1, φ2] rule: only-c-inside-symb.induct, auto simp add: conn-inj)


lemma only-c-inside-c-eq-c':
  only-c-inside c (conn c' [φ1, φ2]) ⟹  c' = CAnd ∨ c' = COr ⟹ wf-conn c' [φ1, φ2] ⟹ c = c'
  unfolding only-c-inside-def all-subformula-st-def using only-c-inside-symb-c-eq-c' subformula-refl
  by blast

lemma only-c-inside-imp-grouped-by:
  assumes c: c ≠ CNot and c': c' = CAnd ∨ c' = COr
  shows only-c-inside c φ ⟹ grouped-by c φ (is ?O φ ⟹ ?G φ)
proof (induct φ rule: propo-induct-arity)
  case (nullary φ x)
  thus ?G φ by auto
next
  case (unary ψ)
  thus ?G (FNot ψ) by (auto simp add: c)
next
  case (binary φ φ1 φ2)
  note IHφ1 = this(1) and IHφ2 = this(2) and φ = this(3) and only = this(4)
  have φ-conn: φ = conn c [φ1, φ2] and wf: wf-conn c [φ1, φ2]
    proof -
      obtain c'' l'' where φ-c'': φ = conn c'' l'' and wf: wf-conn c'' l''
        using exists-c-conn by metis
      hence l'': l'' = [φ1, φ2] using φ by (metis wf-conn-list(4−7))
      have only-c-inside-symb c (conn c'' [φ1, φ2])
        using only all-subformula-st-test-symb-true-phi
        unfolding only-c-inside-def φ-c'' l'' by metis
      hence c = c''
        by (metis φ φ-c'' conn-inj conn-inj-not(2) l'' list.distinct(1) list.inject wf
          only-c-inside-symb.cases simple.simps(5−8))
      thus φ = conn c [φ1, φ2] and wf-conn c [φ1, φ2] using φ-c'' wf l'' by auto
    qed
  have grouped-by c φ1  using wf IHφ1 IHφ2 φ-conn only φ unfolding only-c-inside-def by auto
  moreover have grouped-by c φ2
    using wf φ IHφ1 IHφ2 φ-conn only unfolding only-c-inside-def by auto
  ultimately show ?G φ  using φ-conn connected-is-group local.wf by blast
qed


lemma grouped-by-false:
  grouped-by c (conn c' [φ, ψ]) ⟹ c ≠ c' ⟹ wf-conn c' [φ, ψ] ⟹ False
  apply (induct conn c' [φ, ψ] rule: grouped-by.induct)
  apply (auto simp add: simple-decomp wf-conn-list, auto simp add: conn-inj)
  by (metis list.distinct(1) list.sel(3) wf-conn-list(8))+
```

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas in CNF form can be related by an and.

```
inductive super-grouped-by:: 'a connective ⇒ 'a connective ⇒ 'a propo ⇒ bool for c c' where
grouped-is-super-grouped[simp]: grouped-by c φ ⟹ super-grouped-by c c' φ  |
connected-is-super-group: super-grouped-by c c' φ ⟹ super-grouped-by c c' ψ ⟹ wf-conn c [φ, ψ]
  ⟹ super-grouped-by c c' (conn c' [φ, ψ])
```

```
lemma simple-cnf[simp]:
  super-grouped-by c c′ FT
  super-grouped-by c c′ FF
  super-grouped-by c c′ (FVar x)
  super-grouped-by c c′ (FNot FT)
  super-grouped-by c c′ (FNot FF)
  super-grouped-by c c′ (FNot (FVar x))
  by auto

lemma c-in-c′-only-super-grouped-by:
  assumes c: c = CAnd ∨ c = COr and c′: c′ = CAnd ∨ c′ = COr and  cc′: c ≠ c′
  shows no-equiv φ ⟹ no-imp φ ⟹ simple-not φ ⟹ c-in-c′-only c c′ φ
    ⟹ super-grouped-by c c′ φ
    (is ?NE φ ⟹ ?NI φ ⟹ ?SN φ ⟹ ?C φ ⟹ ?S φ)
proof (induct φ rule: propo-induct-arity)
  case (nullary φ x)
  thus ?S φ by auto
next
  case (unary φ)
  hence simple-not-symb (FNot φ)
    using all-subformula-st-test-symb-true-phi unfolding simple-not-def by blast
  hence φ = FT ∨ φ = FF ∨ (∃ x. φ = FVar x) by (cases φ, auto)
  thus ?S (FNot φ) by auto
next
  case (binary φ φ1 φ2)
  note IHφ1 = this(1) and IHφ2 = this(2) and no-equiv = this(4) and no-imp = this(5)
    and simpleN = this(6) and c-in-c′-only = this(7) and φ′ = this(3)
  {
    assume φ = FImp φ1 φ2 ∨ φ = FEq φ1 φ2
    hence False using no-equiv no-imp by auto
    hence ?S φ by auto
  }
  moreover {
    assume φ: φ = conn c′ [φ1, φ2] ∧ wf-conn c′ [φ1, φ2]
    have c-in-c′-only: c-in-c′-only c c′ φ1 ∧ c-in-c′-only c c′ φ2 ∧ c-in-c′-symb c c′ φ
      using c-in-c′-only φ′ unfolding c-in-c′-only-def by auto
    have super-grouped-by c c′ φ1 using φ c′ no-equiv no-imp simpleN IHφ1 c-in-c′-only by auto
    moreover have super-grouped-by c c′ φ2
      using φ c′ no-equiv no-imp simpleN IHφ2 c-in-c′-only by auto
    ultimately have ?S φ
      using super-grouped-by.intros(2) φ by (metis c wf-conn-helper-facts(5,6))
  }
  moreover {
    assume φ: φ = conn c [φ1, φ2] ∧ wf-conn c [φ1, φ2]
    hence only-c-inside c φ1 ∧ only-c-inside c φ2
      using c-in-c′-symb-c-implies-only-c-inside c c′ c-in-c′-only  list.set-intros(1)
        wf-conn-helper-facts(5,6)  no-equiv no-imp simpleN  last-ConsL last-ConsR last-in-set
        list.distinct(1) by (metis (no-types, hide-lams) cc′)
    hence only-c-inside c (conn c [φ1, φ2])
      unfolding only-c-inside-def using φ
      by (simp add: only-c-inside-into-only-c-inside all-subformula-st-decomp)
    hence grouped-by c φ using φ only-c-inside-imp-grouped-by c by blast
    hence ?S φ using super-grouped-by.intros(1) by metis
  }
  ultimately show ?S φ by (metis φ' c c' cc' conn.simps(5,6) wf-conn-helper-facts(5,6))
qed
```

9.2 Conjunctive Normal Form

```
definition is-conj-with-TF where is-conj-with-TF == super-grouped-by COr CAnd

lemma or-in-and-only-conjunction-in-disj:
  shows no-equiv φ ⟹ no-imp φ ⟹ simple-not φ ⟹ or-in-and-only φ ⟹ is-conj-with-TF φ
  using c-in-c'-only-super-grouped-by
  unfolding is-conj-with-TF-def or-in-and-only-def c-in-c'-only-def
  by (simp add: c-in-c'-only-def c-in-c'-only-super-grouped-by)

definition is-cnf where is-cnf φ == is-conj-with-TF φ ∧ no-T-F-except-top-level φ
```

9.2.1 Full CNF transformation

The full1 CNF transformation consists simply in chaining all the transformation defined before.

```
definition cnf-rew where cnf-rew =
  (full (propo-rew-step elim-equiv)) OO
  (full (propo-rew-step elim-imp)) OO
  (full (propo-rew-step elimTB)) OO
  (full (propo-rew-step pushNeg)) OO
  (full (propo-rew-step pushDisj))

lemma cnf-rew-consistent: preserves-un-sat cnf-rew
  by (simp add: cnf-rew-def elimEquv-lifted-consistant elim-imp-lifted-consistant elimTB-consistent
    preserves-un-sat-OO pushDisj-consistent pushNeg-lifted-consistant)


lemma cnf-rew-is-cnf: cnf-rew φ φ' ⟹ is-cnf φ'
  apply (unfold cnf-rew-def OO-def)
  apply auto
proof –
  fix φ φEq φImp φTB φNeg φDisj :: 'v propo
  assume Eq: full (propo-rew-step elim-equiv) φ φEq
  hence no-equiv: no-equiv φEq using no-equiv-full-propo-rew-step-elim-equiv by blast

  assume Imp: full (propo-rew-step elim-imp) φEq φImp
  hence no-imp: no-imp φImp using no-imp-full-propo-rew-step-elim-imp by blast
  have no-imp-inv: no-equiv φImp using no-equiv Imp elim-imp-inv by blast

  assume TB: full (propo-rew-step elimTB) φImp φTB
  hence noTB: no-T-F-except-top-level φTB
    using no-imp-inv no-imp elimTB-full-propo-rew-step by blast
  have noTB-inv: no-equiv φTB no-imp φTB using elimTB-inv TB no-imp no-imp-inv by blast+

  assume Neg: full (propo-rew-step pushNeg) φTB φNeg
  hence noNeg: simple-not φNeg
    using noTB-inv noTB pushNeg-full-propo-rew-step by blast
  have noNeg-inv: no-equiv φNeg no-imp φNeg no-T-F-except-top-level φNeg
    using pushNeg-inv Neg noTB noTB-inv by blast+

  assume Disj: full (propo-rew-step pushDisj) φNeg φDisj
  hence no-Disj: or-in-and-only φDisj
```

using *noNeg-inv noNeg pushDisj-full-propo-rew-step* **by** *blast*
have *noDisj-inv*: *no-equiv φDisj no-imp φDisj no-T-F-except-top-level φDisj simple-not φDisj*
using *pushDisj-inv Disj noNeg noNeg-inv* **by** *blast+*

moreover have *is-conj-with-TF φDisj*
using *or-in-and-only-conjunction-in-disj noDisj-inv no-Disj* **by** *blast*
ultimately show *is-cnf φDisj* **unfolding** *is-cnf-def* **by** *blast*
qed

9.3 Disjunctive Normal Form

definition *is-disj-with-TF* **where** *is-disj-with-TF* ≡ *super-grouped-by CAnd COr*

lemma *and-in-or-only-conjunction-in-disj*:
shows $no\text{-}equiv\ \varphi \Longrightarrow no\text{-}imp\ \varphi \Longrightarrow simple\text{-}not\ \varphi \Longrightarrow and\text{-}in\text{-}or\text{-}only\ \varphi \Longrightarrow is\text{-}disj\text{-}with\text{-}TF\ \varphi$
using *c-in-c′-only-super-grouped-by*
unfolding *is-disj-with-TF-def and-in-or-only-def c-in-c′-only-def*
by (*simp add*: *c-in-c′-only-def c-in-c′-only-super-grouped-by*)

definition *is-dnf* :: *′a propo* ⇒ *bool* **where**
$is\text{-}dnf\ \varphi \longleftrightarrow is\text{-}disj\text{-}with\text{-}TF\ \varphi \wedge no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level\ \varphi$

9.3.1 Full DNF transform

The full1 DNF transformation consists simply in chaining all the transformation defined before.

definition *dnf-rew* **where** *dnf-rew* ≡
(*full* (*propo-rew-step elim-equiv*)) *OO*
(*full* (*propo-rew-step elim-imp*)) *OO*
(*full* (*propo-rew-step elimTB*)) *OO*
(*full* (*propo-rew-step pushNeg*)) *OO*
(*full* (*propo-rew-step pushConj*))

lemma *dnf-rew-consistent*: *preserves-un-sat dnf-rew*
by (*simp add*: *dnf-rew-def elimEquv-lifted-consistant elim-imp-lifted-consistant elimTB-consistent preserves-un-sat-OO pushConj-consistent pushNeg-lifted-consistant*)

theorem *dnf-transformation-correction*:
$dnf\text{-}rew\ \varphi\ \varphi' \Longrightarrow is\text{-}dnf\ \varphi'$
apply (*unfold dnf-rew-def OO-def*)
by (*meson and-in-or-only-conjunction-in-disj elimTB-full-propo-rew-step elimTB-inv*(*1*,*2*) *elim-imp-inv is-dnf-def no-equiv-full-propo-rew-step-elim-equiv no-imp-full-propo-rew-step-elim-imp pushConj-full-propo-rew-step pushConj-inv*(*1*−*4*) *pushNeg-full-propo-rew-step pushNeg-inv*(*1*−*3*))

10 More aggressive simplifications: Removing true and false at the beginning

10.1 Transformation

We should remove *FT* and *FF* at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

inductive *elimTBFull* **where**

```
ElimTBFull1[simp]: elimTBFull (FAnd $\varphi$ FT) $\varphi$ |
ElimTBFull1'[simp]: elimTBFull (FAnd FT $\varphi$) $\varphi$ |

ElimTBFull2[simp]: elimTBFull (FAnd $\varphi$ FF) FF |
ElimTBFull2'[simp]: elimTBFull (FAnd FF $\varphi$) FF |

ElimTBFull3[simp]: elimTBFull (FOr $\varphi$ FT) FT |
ElimTBFull3'[simp]: elimTBFull (FOr FT $\varphi$) FT |

ElimTBFull4[simp]: elimTBFull (FOr $\varphi$ FF) $\varphi$ |
ElimTBFull4'[simp]: elimTBFull (FOr FF $\varphi$) $\varphi$ |

ElimTBFull5[simp]: elimTBFull (FNot FT) FF |
ElimTBFull5'[simp]: elimTBFull (FNot FF) FT |

ElimTBFull6-l[simp]: elimTBFull (FImp FT $\varphi$) $\varphi$ |
ElimTBFull6-l'[simp]: elimTBFull (FImp FF $\varphi$) FT |
ElimTBFull6-r[simp]: elimTBFull (FImp $\varphi$ FT) FT |
ElimTBFull6-r'[simp]: elimTBFull (FImp $\varphi$ FF) (FNot $\varphi$) |

ElimTBFull7-l[simp]: elimTBFull (FEq FT $\varphi$) $\varphi$ |
ElimTBFull7-l'[simp]: elimTBFull (FEq FF $\varphi$) (FNot $\varphi$) |
ElimTBFull7-r[simp]: elimTBFull (FEq $\varphi$ FT) $\varphi$ |
ElimTBFull7-r'[simp]: elimTBFull (FEq $\varphi$ FF) (FNot $\varphi$)
```

The transformation is still consistent.

```
lemma elimTBFull-consistent: preserves-un-sat elimTBFull
proof –
  {
    fix $\varphi$ $\psi$:: 'b propo
    have elimTBFull $\varphi$ $\psi$ $\Longrightarrow \forall A.\ A \models \varphi \longleftrightarrow A \models \psi$
      by (induct-tac rule: elimTBFull.inducts, auto)
  }
  thus ?thesis using preserves-un-sat-def by auto
qed
```

Contrary to the theorem $[\![$*no-equiv* $?\varphi$; *no-imp* $?\varphi$; $?\psi \preceq ?\varphi$; $\neg$ *no-T-F-symb-except-toplevel* $?\psi]\!] \Longrightarrow \exists\psi'.$ *elimTB* $?\psi$ ψ', we do not need the assumption *no-equiv* φ and *no-imp* φ, since our transformation is more general.

```
lemma no-T-F-symb-except-toplevel-step-exists':
  fixes $\varphi$ :: 'v propo
  shows $\psi \preceq \varphi \Longrightarrow \neg$ no-T-F-symb-except-toplevel $\psi \Longrightarrow \exists\psi'.$ elimTBFull $\psi$ $\psi'$
proof (induct $\psi$ rule: propo-induct-arity)
  case (nullary $\varphi'$)
  hence False using no-T-F-symb-except-toplevel-true  no-T-F-symb-except-toplevel-false by auto
  thus Ex (elimTBFull $\varphi'$) by blast
next
  case (unary $\psi$)
  hence $\psi$ = FF $\vee$ $\psi$ = FT using no-T-F-symb-except-toplevel-not-decom by blast
  thus Ex (elimTBFull (FNot $\psi$)) using ElimTBFull5 ElimTBFull5' by blast
next
  case (binary $\varphi'$ $\psi 1$ $\psi 2$)
  hence $\psi 1$ = FT $\vee$ $\psi 2$ = FT $\vee$ $\psi 1$ = FF $\vee$ $\psi 2$ = FF
    by (metis binary-connectives-def conn.simps(5–8) insertI1 insert-commute
      no-T-F-symb-except-toplevel-bin-decom binary.hyps(3))
```

  thus *Ex* (*elimTBFull* φ') **using** *elimTBFull.intros binary.hyps*(*3*) **by** *blast*
qed

The same applies here. We do not need the assumption, but the deep link between ¬ *no-T-F-except-top-level* φ and the existence of a rewriting step, still exists.

lemma *no-T-F-except-top-level-rew'*:
  fixes φ :: *'v propo*
  assumes *noTB*: ¬ *no-T-F-except-top-level* φ
  shows $\exists\psi\ \psi'.\ \psi \preceq \varphi \wedge$ *elimTBFull* $\psi\ \psi'$
proof –
  have *test-symb-false-nullary*:
    ∀ *x*. *no-T-F-symb-except-toplevel* (*FF*:: *'v propo*) ∧ *no-T-F-symb-except-toplevel FT*
      ∧ *no-T-F-symb-except-toplevel* (*FVar* (*x*:: *'v*))
    by *auto*
  moreover **{**
    fix *c*:: *'v connective* **and** *l* :: *'v propo list* **and** ψ :: *'v propo*
    have *H*: *elimTBFull* (*conn c l*) $\psi \Longrightarrow$ ¬*no-T-F-symb-except-toplevel* (*conn c l*)
      by (*cases* (*conn c l*) *rule*: *elimTBFull.cases*) *auto*
  }
  ultimately show *?thesis*
    using *no-test-symb-step-exists*[*of no-T-F-symb-except-toplevel* φ *elimTBFull*] *noTB*
    no-T-F-symb-except-toplevel-step-exists' **unfolding** *no-T-F-except-top-level-def* **by** *metis*
qed

lemma *elimTBFull-full-propo-rew-step*:
  fixes $\varphi\ \psi$ :: *'v propo*
  assumes *full* (*propo-rew-step elimTBFull*) $\varphi\ \psi$
  shows *no-T-F-except-top-level* ψ
  using *full-propo-rew-step-subformula no-T-F-except-top-level-rew' assms* **by** *fastforce*

10.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

lemma *propo-rew-step-ElimEquiv-no-T-F*: *propo-rew-step elim-equiv* $\varphi\ \psi \Longrightarrow$ *no-T-F* $\varphi \Longrightarrow$ *no-T-F* ψ
proof (*induct rule*: *propo-rew-step.induct*)
  fix φ' :: *'v propo* **and** ψ' :: *'v propo*
  assume *a1*: *no-T-F* φ'
  assume *a2*: *elim-equiv* $\varphi'\ \psi'$
  have ∀ *x0 x1*. (¬ *elim-equiv* (*x1* :: *'v propo*) *x0* ∨ (∃ *v2 v3 v4 v5 v6 v7*. *x1* = *FEq v2 v3*
    ∧ *x0* = *FAnd* (*FImp v4 v5*) (*FImp v6 v7*) ∧ *v2* = *v4* ∧ *v4* = *v7* ∧ *v3* = *v5* ∧ *v3* = *v6*))
      = (¬ *elim-equiv x1 x0* ∨ (∃ *v2 v3 v4 v5 v6 v7*. *x1* = *FEq v2 v3*
    ∧ *x0* = *FAnd* (*FImp v4 v5*) (*FImp v6 v7*) ∧ *v2* = *v4* ∧ *v4* = *v7* ∧ *v3* = *v5* ∧ *v3* = *v6*))
    by *meson*
  hence ∀ *p pa*. ¬ *elim-equiv* (*p* :: *'v propo*) *pa* ∨ (∃ *pb pc pd pe pf pg*. *p* = *FEq pb pc*
    ∧ *pa* = *FAnd* (*FImp pd pe*) (*FImp pf pg*) ∧ *pb* = *pd* ∧ *pd* = *pg* ∧ *pc* = *pe* ∧ *pc* = *pf*)
    using *elim-equiv.cases* **by** *force*
  thus *no-T-F* ψ' **using** *a1 a2* **by** *fastforce*
next
  fix $\varphi\ \varphi'$ :: *'v propo* **and** $\xi\ \xi'$ :: *'v propo list* **and** *c* :: *'v connective*
  assume *rel*: *propo-rew-step elim-equiv* $\varphi\ \varphi'$

```
  and IH: no-T-F φ ⟹ no-T-F φ'
  and corr: wf-conn c (ξ @ φ # ξ')
  and no-T-F: no-T-F (conn c (ξ @ φ # ξ'))
  {
    assume c: c = CNot
    hence empty: ξ = [] ξ' = [] using corr by auto
    hence no-T-F φ using no-T-F c no-T-F-decomp-not by auto
    hence no-T-F (conn c (ξ @ φ' # ξ')) using c empty no-T-F-comp-not IH by auto
  }
  moreover {
    assume c: c ∈ binary-connectives
    obtain a b where ab: ξ @ φ # ξ' = [a, b]
      using corr c list-length2-decomp wf-conn-bin-list-length by metis
    hence φ: φ = a ∨ φ = b
      by (metis append.simps(1) append-is-Nil-conv list.distinct(1) list.sel(3) nth-Cons-0
        tl-append2)
    have ζ: ∀ζ∈ set (ξ @ φ # ξ'). no-T-F ζ
      using no-T-F unfolding no-T-F-def using corr all-subformula-st-decomp by blast

    hence φ': no-T-F φ' using ab IH φ by auto
    have l': ξ @ φ' # ξ' = [φ', b] ∨ ξ @ φ' # ξ' = [a, φ']
      by (metis (no-types, hide-lams) ab append-Cons append-Nil append-Nil2 butlast.simps(2)
        butlast-append list.distinct(1) list.sel(3))
    hence ∀ζ ∈ set (ξ @ φ' # ξ'). no-T-F ζ using ζ φ' ab by fastforce
    moreover
      have ∀ζ ∈ set (ξ @ φ # ξ'). ζ ≠ FT ∧ ζ ≠ FF
        using ζ corr no-T-F no-T-F-except-top-level-false no-T-F-no-T-F-except-top-level by blast
      hence no-T-F-symb (conn c (ξ @ φ' # ξ'))
        by (metis φ' l' ab all-subformula-st-test-symb-true-phi c list.distinct(1)
          list.set-intros(1,2) no-T-F-symb-except-toplevel-bin-decom
          no-T-F-symb-except-toplevel-no-T-F-symb no-T-F-symb-false(1,2) no-T-F-def wf-conn-binary
          wf-conn-list(1,2))
    ultimately have no-T-F (conn c (ξ @ φ' # ξ'))
      by (metis l' all-subformula-st-decomp-imp c no-T-F-def wf-conn-binary)
  }
  moreover {
     fix x
     assume c = CVar x ∨ c = CF ∨ c = CT
     hence False using corr by auto
     hence  no-T-F (conn c (ξ @ φ' # ξ')) by auto
  }
  ultimately show no-T-F (conn c (ξ @ φ' # ξ')) using corr wf-conn.cases by metis
qed

lemma elim-equiv-inv':
  fixes φ ψ :: 'v propo
  assumes full (propo-rew-step elim-equiv) φ ψ and no-T-F-except-top-level φ
  showsno-T-F-except-top-level ψ
proof -
  {
    fix φ ψ :: 'v propo
    have propo-rew-step elim-equiv φ ψ ⟹ no-T-F-except-top-level φ
      ⟹ no-T-F-except-top-level ψ
      proof -
        assume rel: propo-rew-step elim-equiv φ ψ
        and no: no-T-F-except-top-level φ
        {
          assume φ = FT ∨ φ = FF
          from rel this have False
            apply (induct rule: propo-rew-step.induct, auto simp add: wf-conn-list(1,2))
            using elim-equiv.simps by blast+
          hence no-T-F-except-top-level ψ by blast
        }
        moreover {
          assume φ ≠ FT ∧ φ ≠ FF
          hence no-T-F φ by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
          hence no-T-F ψ using propo-rew-step-ElimEquiv-no-T-F rel by blast
          hence no-T-F-except-top-level ψ by (simp add: no-T-F-no-T-F-except-top-level)
        }
        ultimately show no-T-F-except-top-level ψ by metis
      qed
  }
  moreover {
     fix c :: 'v connective and ξ ξ' :: 'v propo list and ζ ζ' :: 'v propo
     assume rel: propo-rew-step elim-equiv ζ ζ'
     and incl: ζ ⪯ φ
     and corr: wf-conn c (ξ @ ζ # ξ')
     and no-T-F: no-T-F-symb-except-toplevel (conn c (ξ @ ζ # ξ'))
     and n: no-T-F-symb-except-toplevel ζ'
     have no-T-F-symb-except-toplevel (conn c (ξ @ ζ' # ξ'))
     proof
       have p: no-T-F-symb (conn c (ξ @ ζ # ξ'))
         using corr wf-conn-list(1) wf-conn-list(2) no-T-F-symb-except-toplevel-no-T-F-symb no-T-F
         by blast
       have l: ∀φ∈set (ξ @ ζ # ξ'). φ ≠ FT ∧ φ ≠ FF
         using corr wf-conn-no-T-F-symb-iff p by blast
       from rel incl have ζ'≠FT ∧ζ'≠FF
         apply (induction ζ ζ' rule: propo-rew-step.induct)
         apply (cases rule: elim-equiv.cases, auto simp add: elim-equiv.simps)
         by (metis append-is-Nil-conv list.distinct wf-conn-list(1,2) wf-conn-no-arity-change
           wf-conn-no-arity-change-helper)+
       hence ∀φ ∈ set (ξ @ ζ' # ξ'). φ ≠ FT ∧ φ ≠ FF using l by auto
       moreover have c ≠ CT ∧ c ≠ CF using corr by auto
       ultimately show no-T-F-symb (conn c (ξ @ ζ' # ξ'))
         by (metis corr wf-conn-no-arity-change wf-conn-no-arity-change-helper no-T-F-symb-comp)
     qed
  }
  ultimately show no-T-F-except-top-level ψ
    using full-propo-rew-step-inv-stay-with-inc[of elim-equiv no-T-F-symb-except-toplevel φ]
      assms subformula-refl unfolding no-T-F-except-top-level-def by metis
qed


lemma propo-rew-step-ElimImp-no-T-F: propo-rew-step elim-imp φ ψ ⟹ no-T-F φ ⟹  no-T-F ψ
proof (induct rule: propo-rew-step.induct)
  case (global-rel φ' ψ')
  thus no-T-F ψ'
    using elim-imp.cases no-T-F-comp-not no-T-F-decomp(1,2)
    by (metis no-T-F-comp-expanded-explicit(2))
next
```

```
  case (propo-rew-one-step-lift φ φ' c ξ ξ')
  note rel = this(1) and IH = this(2) and corr = this(3) and no-T-F = this(4)
  {
    assume c: c = CNot
    hence empty: ξ = [] ξ' = [] using corr by auto
    hence no-T-F φ using no-T-F c no-T-F-decomp-not by auto
    hence no-T-F (conn c (ξ @ φ' # ξ')) using c empty no-T-F-comp-not IH by auto
  }
  moreover {
    assume c: c ∈ binary-connectives
    then obtain a b where ab: ξ @ φ # ξ' = [a, b]
      using corr list-length2-decomp wf-conn-bin-list-length by metis
    hence φ: φ = a ∨ φ = b
      by (metis append-self-conv2 wf-conn-list-decomp(4) wf-conn-unary list.discI list.sel(3)
        nth-Cons-0 tl-append2)
    have ζ: ∀ζ ∈ set (ξ @ φ # ξ'). no-T-F ζ using ab c propo-rew-one-step-lift.prems by auto

    hence φ': no-T-F φ'
      using ab IH φ corr no-T-F no-T-F-def all-subformula-st-decomp-explicit by auto
    have χ: ξ @ φ' # ξ' = [φ', b] ∨ ξ @ φ' # ξ' = [a, φ']
      by (metis (no-types, hide-lams) ab append-Cons append-Nil append-Nil2 butlast.simps(2)
        butlast-append list.distinct(1) list.sel(3))
    hence ∀ζ∈ set (ξ @ φ' # ξ'). no-T-F ζ using ζ φ' ab by fastforce
    moreover
      have no-T-F (last (ξ @ φ' # ξ')) by (simp add: calculation)
      hence no-T-F-symb (conn c (ξ @ φ' # ξ'))
        by (metis χ φ' ζ ab all-subformula-st-test-symb-true-phi c last.simps list.distinct(1)
          list.set-intros(1) no-T-F-bin-decomp no-T-F-def)
    ultimately have no-T-F (conn c (ξ @ φ' # ξ')) using c χ by fastforce
  }
  moreover {
    fix x
    assume c = CVar x ∨ c = CF ∨ c = CT
    hence False using corr by auto
    hence no-T-F (conn c (ξ @ φ' # ξ')) by auto
  }
  ultimately show no-T-F (conn c (ξ @ φ' # ξ')) using corr wf-conn.cases by blast
qed


lemma elim-imp-inv':
  fixes φ ψ :: 'v propo
  assumes full (propo-rew-step elim-imp) φ ψ and no-T-F-except-top-level φ
  showsno-T-F-except-top-level ψ
proof -
  {
    {
      fix φ ψ :: 'v propo
      have H: elim-imp φ ψ ⟹ no-T-F-except-top-level φ ⟹  no-T-F-except-top-level ψ
        by (induct φ ψ rule: elim-imp.induct, auto)
    } note H = this
    fix φ ψ :: 'v propo
    have propo-rew-step elim-imp φ ψ ⟹ no-T-F-except-top-level φ ⟹  no-T-F-except-top-level ψ
      proof -
        assume rel: propo-rew-step elim-imp φ ψ
        and no: no-T-F-except-top-level φ
        {
          assume φ = FT ∨ φ = FF
          from rel this have False
            apply (induct rule: propo-rew-step.induct)
            by (cases rule: elim-imp.cases, auto simp add: wf-conn-list(1,2))
          hence no-T-F-except-top-level ψ by blast
        }
        moreover {
          assume φ ≠ FT ∧ φ ≠ FF
          hence no-T-F φ by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
          hence no-T-F ψ using rel propo-rew-step-ElimImp-no-T-F by blast
          hence no-T-F-except-top-level ψ by (simp add: no-T-F-no-T-F-except-top-level)
        }
        ultimately show no-T-F-except-top-level ψ by metis
      qed
  }
  moreover {
     fix c :: 'v connective and ξ ξ' :: 'v propo list and ζ ζ' :: 'v propo
     assume rel: propo-rew-step elim-imp ζ ζ'
     and incl: ζ ≼ φ
     and corr: wf-conn c (ξ @ ζ # ξ')
     and no-T-F: no-T-F-symb-except-toplevel (conn c (ξ @ ζ # ξ'))
     and n: no-T-F-symb-except-toplevel ζ'
     have no-T-F-symb-except-toplevel (conn c (ξ @ ζ' # ξ'))
     proof
       have p: no-T-F-symb (conn c (ξ @ ζ # ξ'))
         by (simp add: corr no-T-F no-T-F-symb-except-toplevel-no-T-F-symb wf-conn-list(1,2))

       have l: ∀φ∈set (ξ @ ζ # ξ'). φ ≠ FT ∧ φ ≠ FF
         using corr wf-conn-no-T-F-symb-iff p by blast
       from rel incl have ζ'≠FT ∧ζ'≠FF
         apply (induction ζ ζ' rule: propo-rew-step.induct)
         apply (cases rule: elim-imp.cases, auto)
         using wf-conn-list(1,2) wf-conn-no-arity-change wf-conn-no-arity-change-helper
         by (metis append-is-Nil-conv list.distinct(1))+
       hence ∀φ∈set (ξ @ ζ' # ξ'). φ ≠ FT ∧ φ ≠ FF using l by auto
       moreover have c ≠ CT ∧ c ≠ CF using corr by auto
       ultimately show no-T-F-symb (conn c (ξ @ ζ' # ξ'))
         using corr wf-conn-no-arity-change no-T-F-symb-comp
         by (metis wf-conn-no-arity-change-helper)
     qed
  }
  ultimately show no-T-F-except-top-level ψ
    using full-propo-rew-step-inv-stay-with-inc[of elim-imp no-T-F-symb-except-toplevel φ]
    assms subformula-refl unfolding no-T-F-except-top-level-def by metis
qed
```

10.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

```
definition dnf-rew' :: 'a propo ⇒ 'a propo ⇒ bool where dnf-rew' ≡
  (full (propo-rew-step elimTBFull)) OO
  (full (propo-rew-step elim-equiv)) OO
  (full (propo-rew-step elim-imp)) OO
```

(*full* (*propo-rew-step pushNeg*)) *OO*
(*full* (*propo-rew-step pushConj*))

lemma *dnf-rew′-consistent*: *preserves-un-sat dnf-rew′*
by (*simp add*: *dnf-rew′-def elimEquv-lifted-consistant elim-imp-lifted-consistant elimTBFull-consistent preserves-un-sat-OO pushConj-consistent pushNeg-lifted-consistant*)

theorem *cnf-transformation-correction*:
dnf-rew′ φ φ′ ⟹ is-dnf φ′
unfolding *dnf-rew′-def OO-def*
by (*meson and-in-or-only-conjunction-in-disj elimTBFull-full-propo-rew-step elim-equiv-inv′ elim-imp-inv elim-imp-inv′ is-dnf-def no-equiv-full-propo-rew-step-elim-equiv no-imp-full-propo-rew-step-elim-imp pushConj-full-propo-rew-step pushConj-inv*(*1*−*4*) *pushNeg-full-propo-rew-step pushNeg-inv*(*1*−*3*))

Given all the lemmas before the CNF transformation is easy to prove:

definition *cnf-rew′* :: *′a propo ⇒ ′a propo ⇒ bool* **where** *cnf-rew′* ≡
(*full* (*propo-rew-step elimTBFull*)) *OO*
(*full* (*propo-rew-step elim-equiv*)) *OO*
(*full* (*propo-rew-step elim-imp*)) *OO*
(*full* (*propo-rew-step pushNeg*)) *OO*
(*full* (*propo-rew-step pushDisj*))

lemma *cnf-rew′-consistent*: *preserves-un-sat cnf-rew′*
by (*simp add*: *cnf-rew′-def elimEquv-lifted-consistant elim-imp-lifted-consistant elimTBFull-consistent preserves-un-sat-OO pushDisj-consistent pushNeg-lifted-consistant*)

theorem *cnf′-transformation-correction*:
cnf-rew′ φ φ′ ⟹ is-cnf φ′
unfolding *cnf-rew′-def OO-def*
by (*meson elimTBFull-full-propo-rew-step elim-equiv-inv′ elim-imp-inv elim-imp-inv′ is-cnf-def no-equiv-full-propo-rew-step-elim-equiv no-imp-full-propo-rew-step-elim-imp or-in-and-only-conjunction-in-disj pushDisj-full-propo-rew-step pushDisj-inv*(*1*−*4*) *pushNeg-full-propo-rew-step pushNeg-inv*(*1*) *pushNeg-inv*(*2*) *pushNeg-inv*(*3*))

end

11 Partial Clausal Logic

theory *Partial-Clausal-Logic*
imports *../lib/Clausal-Logic List-More*
begin

11.1 Clauses

Clauses are (finite) multisets of literals.

type-synonym *′a clause* = *′a literal multiset*
type-synonym *′v clauses* = *′v clause set*

11.2 Partial Interpretations

type-synonym *′a interp* = *′a literal set*

definition *true-lit* :: *′a interp ⇒ ′a literal ⇒ bool* (**infix** ⊨*l 50*) **where**

$I \models l\ L \longleftrightarrow L \in I$

declare *true-lit-def*[*simp*]

11.2.1 Consistency

definition *consistent-interp* :: *'a literal set* ⇒ *bool* **where**
consistent-interp $I = (\forall L.\ \neg(L \in I \land -\ L \in I))$

lemma *consistent-interp-empty*[*simp*]:
  consistent-interp {} **unfolding** *consistent-interp-def* **by** *auto*

lemma *consistent-interp-single*[*simp*]:
  consistent-interp {*L*} **unfolding** *consistent-interp-def* **by** *auto*

lemma *consistent-interp-subset*:
  assumes
    $A \subseteq B$ **and**
    consistent-interp B
  shows *consistent-interp A*
  using *assms* **unfolding** *consistent-interp-def* **by** *auto*

lemma *consistent-interp-change-insert*:
  $a \notin A \Longrightarrow -a \notin A \Longrightarrow$ *consistent-interp* (*insert* $(-a)$ *A*) $\longleftrightarrow$ *consistent-interp* (*insert a A*)
  unfolding *consistent-interp-def* **by** *fastforce*

lemma *consistent-interp-insert-pos*[*simp*]:
  $a \notin A \Longrightarrow$ *consistent-interp* (*insert a A*) $\longleftrightarrow$ *consistent-interp* $A \land -a \notin A$
  unfolding *consistent-interp-def* **by** *auto*

lemma *consistent-interp-insert-not-in*:
  consistent-interp $A \Longrightarrow a \notin A \Longrightarrow -a \notin A \Longrightarrow$ *consistent-interp* (*insert a A*)
  unfolding *consistent-interp-def* **by** *auto*

11.2.2 Atoms

definition *atms-of-ms* :: *'a literal multiset set* ⇒ *'a set* **where**
atms-of-ms $\psi s = \bigcup$(*atms-of* ‘ ψs)

lemma *atms-of-msultiset*[*simp*]:
  atms-of (*mset a*) = *atm-of* ‘ *set a*
  by (*induct a*) *auto*

lemma *atms-of-ms-mset-unfold*:
  atms-of-ms (*mset* ‘ *b*) = $(\bigcup x{\in}b.$ *atm-of* ‘ *set x*)
  unfolding *atms-of-ms-def* **by** *simp*

definition *atms-of-s* :: *'a literal set* ⇒ *'a set* **where**
  atms-of-s C = *atm-of* ‘ *C*

lemma *atms-of-ms-emtpy-set*[*simp*]:
  atms-of-ms {} = {}
  unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-memtpy*[*simp*]:
  atms-of-ms {{#}} = {}

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-mono*:
$A \subseteq B \Longrightarrow$ *atms-of-ms* $A \subseteq$ *atms-of-ms* B
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-finite*[*simp*]:
finite $\psi s \Longrightarrow$ *finite* (*atms-of-ms* ψs)
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-union*[*simp*]:
atms-of-ms $(\psi s \cup \chi s) =$ *atms-of-ms* $\psi s \cup$ *atms-of-ms* χs
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-insert*[*simp*]:
atms-of-ms (*insert* ψs χs) = *atms-of* $\psi s \cup$ *atms-of-ms* χs
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-singleton*[*simp*]: *atms-of-ms* $\{L\}$ = *atms-of* L
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-atms-of-ms-mono*[*simp*]:
$A \in \psi \Longrightarrow$ *atms-of* $A \subseteq$ *atms-of-ms* ψ
unfolding *atms-of-ms-def* **by** *fastforce*

lemma *atms-of-ms-single-set-mset-atns-of*[*simp*]:
atms-of-ms (*single* ‘ *set-mset* B) = *atms-of* B
unfolding *atms-of-ms-def atms-of-def* **by** *auto*

lemma *atms-of-ms-remove-incl*:
shows *atms-of-ms* (*Set.remove* a ψ) $\subseteq$ *atms-of-ms* ψ
unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-remove-subset*:
atms-of-ms $(\varphi - \psi) \subseteq$ *atms-of-ms* φ
unfolding *atms-of-ms-def* **by** *auto*

lemma *finite-atms-of-ms-remove-subset*[*simp*]:
finite (*atms-of-ms* A) $\Longrightarrow$ *finite* (*atms-of-ms* $(A - C)$)
using *atms-of-ms-remove-subset*[*of* A C] *finite-subset* **by** *blast*

lemma *atms-of-ms-empty-iff*:
atms-of-ms $A = \{\} \longleftrightarrow A = \{\{\#\}\} \vee A = \{\}$
apply (*rule iffI*)
 apply (*metis* (*no-types*, *lifting*) *atms-empty-iff-empty atms-of-atms-of-ms-mono insert-absorb*
  singleton-iff singleton-insert-inj-eq′ subsetI subset-empty)
apply *auto*[]
done

lemma *in-implies-atm-of-on-atms-of-ms*:
assumes $L \in\# C$ **and** $C \in N$
shows *atm-of* $L \in$ *atms-of-ms* N
using *atms-of-atms-of-ms-mono*[*of* C N] *assms* **by** (*simp add*: *atm-of-lit-in-atms-of subset-iff*)

lemma *in-plus-implies-atm-of-on-atms-of-ms*:

assumes $C+\{\#L\#\} \in N$
shows *atm-of* $L \in$ *atms-of-ms* N
using *in-implies-atm-of-on-atms-of-ms*[*of* C $+\{\#L\#\}$] *assms* **by** *auto*

lemma *in-m-in-literals*:
assumes $\{\#A\#\} + D \in \psi s$
shows *atm-of* $A \in$ *atms-of-ms* ψs
using *assms* **by** (*auto dest*: *atms-of-atms-of-ms-mono*)

lemma *atms-of-s-union*[*simp*]:
atms-of-s ($Ia \cup Ib$) = *atms-of-s* $Ia \cup$ *atms-of-s* Ib
unfolding *atms-of-s-def* **by** *auto*

lemma *atms-of-s-single*[*simp*]:
atms-of-s $\{L\}$ = $\{$*atm-of* $L\}$
unfolding *atms-of-s-def* **by** *auto*

lemma *atms-of-s-insert*[*simp*]:
atms-of-s (*insert* L Ib) = $\{$*atm-of* $L\} \cup$ *atms-of-s* Ib
unfolding *atms-of-s-def* **by** *auto*

lemma *in-atms-of-s-decomp*[*iff*]:
$P \in$ *atms-of-s* $I \longleftrightarrow$ (*Pos* $P \in I \lor$ *Neg* $P \in I$) (**is** *?P* $\longleftrightarrow$ *?Q*)
proof
assume *?P*
then show *?Q* **unfolding** *atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)
next
assume *?Q*
then show *?P* **unfolding** *atms-of-s-def* **by** *force*
qed

lemma *atm-of-in-atm-of-set-in-uminus*:
atm-of $L' \in$ *atm-of* ‘ $B \Longrightarrow L' \in B \lor - L' \in B$
using *atms-of-s-def* **by** (*cases* L') *fastforce+*

11.2.3 Totality

definition *total-over-set* :: *'a interp* ⇒ *'a set* ⇒ *bool* **where**
total-over-set I S = ($\forall$ $l{\in}S$. *Pos* $l \in I \lor$ *Neg* $l \in I$)

definition *total-over-m* :: *'a literal set* ⇒ *'a clause set* ⇒ *bool* **where**
total-over-m I ψs = *total-over-set* I (*atms-of-ms* ψs)

lemma *total-over-set-empty*[*simp*]:
total-over-set I $\{\}$
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-m-empty*[*simp*]:
total-over-m I $\{\}$
unfolding *total-over-m-def* **by** *auto*

lemma *total-over-set-single*[*iff*]:
total-over-set I $\{L\}$ $\longleftrightarrow$ (*Pos* $L \in I \lor$ *Neg* $L \in I$)
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-set-insert*[*iff*]:

total-over-set I (*insert L Ls*) $\longleftrightarrow$ ((*Pos L* $\in$ *I* $\vee$ *Neg L* $\in$ *I*) $\wedge$ *total-over-set I Ls*)
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-set-union*[*iff*]:
total-over-set I (*Ls* $\cup$ *Ls'*) $\longleftrightarrow$ (*total-over-set I Ls* $\wedge$ *total-over-set I Ls'*)
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-m-subset*:
A $\subseteq$ *B* $\Longrightarrow$ *total-over-m I B* $\Longrightarrow$ *total-over-m I A*
using *atms-of-ms-mono*[*of A*] **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

lemma *total-over-m-sum*[*iff*]:
shows *total-over-m I* {*C* + *D*} $\longleftrightarrow$ (*total-over-m I* {*C*} $\wedge$ *total-over-m I* {*D*})
using *assms* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

lemma *total-over-m-union*[*iff*]:
total-over-m I (*A* $\cup$ *B*) $\longleftrightarrow$ (*total-over-m I A* $\wedge$ *total-over-m I B*)
unfolding *total-over-m-def total-over-set-def* **by** *auto*

lemma *total-over-m-insert*[*iff*]:
total-over-m I (*insert a A*) $\longleftrightarrow$ (*total-over-set I* (*atms-of a*) $\wedge$ *total-over-m I A*)
unfolding *total-over-m-def total-over-set-def* **by** *fastforce*

lemma *total-over-m-extension*:
fixes *I* :: *'v literal set* **and** *A* :: *'v clauses*
assumes *total*: *total-over-m I A*
shows $\exists$ *I'*. *total-over-m* (*I* $\cup$ *I'*) (*A*$\cup$*B*)
$\wedge$ ($\forall$ *x*$\in$*I'*. *atm-of x* $\in$ *atms-of-ms B* $\wedge$ *atm-of x* $\notin$ *atms-of-ms A*)
proof −
let *?I'* = {*Pos v* |*v*. *v*$\in$ *atms-of-ms B* $\wedge$ *v* $\notin$ *atms-of-ms A*}
have ($\forall$ *x*$\in$*?I'*. *atm-of x* $\in$ *atms-of-ms B* $\wedge$ *atm-of x* $\notin$ *atms-of-ms A*) **by** *auto*
moreover have *total-over-m* (*I* $\cup$ *?I'*) (*A*$\cup$*B*)
using *total* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*
ultimately show *?thesis* **by** *blast*
qed

lemma *total-over-m-consistent-extension*:
fixes *I* :: *'v literal set* **and** *A* :: *'v clauses*
assumes *total*: *total-over-m I A*
and *cons*: *consistent-interp I*
shows $\exists$ *I'*. *total-over-m* (*I* $\cup$ *I'*) (*A* $\cup$ *B*)
$\wedge$ ($\forall$ *x*$\in$*I'*. *atm-of x* $\in$ *atms-of-ms B* $\wedge$ *atm-of x* $\notin$ *atms-of-ms A*) $\wedge$ *consistent-interp* (*I* $\cup$ *I'*)
proof −
let *?I'* = {*Pos v* |*v*. *v*$\in$ *atms-of-ms B* $\wedge$ *v* $\notin$ *atms-of-ms A* $\wedge$ *Pos v* $\notin$ *I* $\wedge$ *Neg v* $\notin$ *I*}
have ($\forall$ *x*$\in$*?I'*. *atm-of x* $\in$ *atms-of-ms B* $\wedge$ *atm-of x* $\notin$ *atms-of-ms A*) **by** *auto*
moreover have *total-over-m* (*I* $\cup$ *?I'*) (*A*$\cup$*B*)
using *total* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*
moreover have *consistent-interp* (*I* $\cup$ *?I'*)
using *cons* **unfolding** *consistent-interp-def* **by** (*intro allI*) (*rename-tac L*, *case-tac L*, *auto*)
ultimately show *?thesis* **by** *blast*
qed

lemma *total-over-set-atms-of*[*simp*]:
total-over-set Ia (*atms-of-s Ia*)
unfolding *total-over-set-def atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

```
lemma total-over-set-literal-defined:
  assumes {#A#} + D ∈ ψs
  and total-over-set I (atms-of-ms ψs)
  shows A ∈ I ∨ −A ∈ I
  using assms unfolding total-over-set-def by (metis (no-types) Neg-atm-of-iff in-m-in-literals
    literal.collapse(1) uminus-Neg uminus-Pos)

lemma tot-over-m-remove:
  assumes total-over-m (I ∪ {L}) {ψ}
  and L: ¬ L ∈# ψ −L ∉# ψ
  shows total-over-m I {ψ}
  unfolding total-over-m-def total-over-set-def
proof
  fix l
  assume l: l ∈ atms-of-ms {ψ}
  then have Pos l ∈ I ∨ Neg l ∈ I ∨ l = atm-of L
    using assms unfolding total-over-m-def total-over-set-def by auto
  moreover have atm-of L ∉ atms-of-ms {ψ}
    proof (rule ccontr)
      assume ¬ ?thesis
      then have atm-of L ∈ atms-of ψ by auto
      then have Pos (atm-of L) ∈# ψ ∨ Neg (atm-of L) ∈# ψ
        using atm-imp-pos-or-neg-lit by metis
      then have L ∈# ψ ∨ − L ∈# ψ by (cases L) auto
      then show False using L by auto
    qed
  ultimately show  Pos l ∈ I ∨ Neg l ∈ I using l by metis
qed

lemma total-union:
  assumes total-over-m I ψ
  shows total-over-m (I ∪ I′) ψ
  using assms unfolding total-over-m-def total-over-set-def by auto

lemma total-union-2:
  assumes total-over-m I ψ
  and total-over-m I′ ψ′
  shows total-over-m (I ∪ I′) (ψ ∪ ψ′)
  using assms unfolding total-over-m-def total-over-set-def by auto
```

11.2.4 Interpretations

```
definition true-cls :: 'a interp ⇒ 'a clause ⇒ bool (infix ⊨ 50) where
  I ⊨ C ⟷ (∃ L ∈# C. I ⊨l L)

lemma true-cls-empty[iff]: ¬ I ⊨ {#}
  unfolding true-cls-def by auto

lemma true-cls-singleton[iff]: I ⊨ {#L#} ⟷ I ⊨l L
  unfolding true-cls-def by (auto split:split-if-asm)

lemma true-cls-union[iff]: I ⊨ C + D ⟷ I ⊨ C ∨ I ⊨ D
  unfolding true-cls-def by auto

lemma true-cls-mono-set-mset: set-mset C ⊆ set-mset D ⟹ I ⊨ C ⟹ I ⊨ D
```

unfolding *true-cls-def subset-eq Bex-mset-def* **by** (*metis mem-set-mset-iff*)

lemma *true-cls-mono-leD*[*dest*]: $A \subseteq\# B \Longrightarrow I \models A \Longrightarrow I \models B$
unfolding *true-cls-def* **by** *auto*

lemma
assumes $I \models \psi$
shows *true-cls-union-increase*[*simp*]: $I \cup I' \models \psi$
and *true-cls-union-increase'*[*simp*]: $I' \cup I \models \psi$
using *assms* **unfolding** *true-cls-def* **by** *auto*

lemma *true-cls-mono-set-mset-l*:
assumes $A \models \psi$
and $A \subseteq B$
shows $B \models \psi$
using *assms* **unfolding** *true-cls-def* **by** *auto*

lemma *true-cls-replicate-mset*[*iff*]: $I \models$ *replicate-mset n L* $\longleftrightarrow n \neq 0 \land I \models l\ L$
by (*induct n*) *auto*

lemma *true-cls-empty-entails*[*iff*]: $\neg \{\} \models N$
by (*auto simp add*: *true-cls-def*)

lemma *true-cls-not-in-remove*:
assumes $L \notin\# \chi$
and $I \cup \{L\} \models \chi$
shows $I \models \chi$
using *assms* **unfolding** *true-cls-def* **by** *auto*

definition *true-clss* :: *'a interp* ⇒ *'a clauses* ⇒ *bool* (**infix** ⊨*s 50*) **where**
$I \models s\ CC \longleftrightarrow (\forall C \in CC.\ I \models C)$

lemma *true-clss-empty*[*simp*]: $I \models s\ \{\}$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-singleton*[*iff*]: $I \models s\ \{C\} \longleftrightarrow I \models C$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-empty-entails-empty*[*iff*]: $\{\} \models s\ N \longleftrightarrow N = \{\}$
unfolding *true-clss-def* **by** (*auto simp add*: *true-cls-def*)

lemma *true-cls-insert-l* [*simp*]:
$M \models A \Longrightarrow$ *insert L M* $\models A$
unfolding *true-cls-def* **by** *auto*

lemma *true-clss-union*[*iff*]: $I \models s\ CC \cup DD \longleftrightarrow I \models s\ CC \land I \models s\ DD$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-insert*[*iff*]: $I \models s$ *insert C DD* $\longleftrightarrow I \models C \land I \models s\ DD$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-mono*: $DD \subseteq CC \Longrightarrow I \models s\ CC \Longrightarrow I \models s\ DD$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-union-increase*[*simp*]:

assumes $I \models s\ \psi$
shows $I \cup I' \models s\ \psi$
using *assms* **unfolding** *true-clss-def* **by** *auto*

lemma *true-clss-union-increase'*[*simp*]:
assumes $I' \models s\ \psi$
shows $I \cup I' \models s\ \psi$
using *assms* **by** (*auto simp add*: *true-clss-def*)

lemma *true-clss-commute-l*:
$(I \cup I' \models s\ \psi) \longleftrightarrow (I' \cup I \models s\ \psi)$
by (*simp add*: *Un-commute*)

lemma *model-remove*[*simp*]: $I \models s\ N \Longrightarrow I \models s\ Set.remove\ a\ N$
by (*simp add*: *true-clss-def*)

lemma *model-remove-minus*[*simp*]: $I \models s\ N \Longrightarrow I \models s\ N - A$
by (*simp add*: *true-clss-def*)

lemma *notin-vars-union-true-cls-true-cls*:
assumes $\forall x \in I'.\ atm\text{-}of\ x \notin atms\text{-}of\text{-}ms\ A$
and $atms\text{-}of\ L \subseteq atms\text{-}of\text{-}ms\ A$
and $I \cup I' \models L$
shows $I \models L$
using *assms* **unfolding** *true-cls-def true-lit-def Bex-mset-def*
by (*metis Un-iff atm-of-lit-in-atms-of contra-subsetD*)

lemma *notin-vars-union-true-clss-true-clss*:
assumes $\forall x \in I'.\ atm\text{-}of\ x \notin atms\text{-}of\text{-}ms\ A$
and $atms\text{-}of\text{-}ms\ L \subseteq atms\text{-}of\text{-}ms\ A$
and $I \cup I' \models s\ L$
shows $I \models s\ L$
using *assms* **unfolding** *true-clss-def true-lit-def Ball-def*
by (*meson atms-of-atms-of-ms-mono notin-vars-union-true-cls-true-cls subset-trans*)

11.2.5 Satisfiability

definition *satisfiable* :: *'a clause set* $\Rightarrow$ *bool* **where**
$satisfiable\ CC \equiv \exists I.\ (I \models s\ CC \land consistent\text{-}interp\ I \land total\text{-}over\text{-}m\ I\ CC)$

lemma *satisfiable-single*[*simp*]:
satisfiable {{#*L*#}}
unfolding *satisfiable-def* **by** *fastforce*

abbreviation *unsatisfiable* :: *'a clause set* $\Rightarrow$ *bool* **where**
$unsatisfiable\ CC \equiv \neg\ satisfiable\ CC$

lemma *satisfiable-decreasing*:
assumes $satisfiable\ (\psi \cup \psi')$
shows $satisfiable\ \psi$
using *assms total-over-m-union* **unfolding** *satisfiable-def* **by** *blast*

lemma *satisfiable-def-min*:
satisfiable CC
$\longleftrightarrow (\exists I.\ I \models s\ CC \land consistent\text{-}interp\ I \land total\text{-}over\text{-}m\ I\ CC \land atm\text{-}of`I = atms\text{-}of\text{-}ms\ CC)$
(**is** $?sat \longleftrightarrow ?B$)

proof
  assume *?B* **then show** *?sat* **by** (*auto simp add*: *satisfiable-def*)
next
  assume *?sat*
  then obtain *I* **where**
    I-CC: *I* ⊨*s CC* **and**
    cons: *consistent-interp I* **and**
    tot: *total-over-m I CC*
    unfolding *satisfiable-def* **by** *auto*
  let *?I* = {*P*. *P* ∈ *I* ∧ *atm-of P* ∈ *atms-of-ms CC*}

  have *I-CC*: *?I* ⊨*s CC*
    using *I-CC in-implies-atm-of-on-atms-of-ms* **unfolding** *true-clss-def Ball-def true-cls-def Bex-mset-def true-lit-def*
    by *blast*

  moreover have *cons*: *consistent-interp ?I*
    using *cons* **unfolding** *consistent-interp-def* **by** *auto*
  moreover have *total-over-m ?I CC*
    using *tot* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*
  moreover
    have *atms-CC-incl*: *atms-of-ms CC* ⊆ *atm-of'I*
      using *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*
      by (*auto simp add*: *atms-of-def atms-of-s-def*[*symmetric*])
    have *atm-of ' ?I* = *atms-of-ms CC*
      using *atms-CC-incl* **unfolding** *atms-of-ms-def* **by** *force*
  ultimately show *?B* **by** *auto*
qed

11.2.6 Entailment for Multisets of Clauses

definition *true-cls-mset* :: *'a interp* ⇒ *'a clause multiset* ⇒ *bool* (**infix** ⊨*m 50*) **where**
  I ⊨*m CC* ⟷ (∀ *C* ∈# *CC*. *I* ⊨ *C*)

lemma *true-cls-mset-empty*[*simp*]: *I* ⊨*m* {#}
  unfolding *true-cls-mset-def* **by** *auto*

lemma *true-cls-mset-singleton*[*iff*]: *I* ⊨*m* {#*C*#} ⟷ *I* ⊨ *C*
  unfolding *true-cls-mset-def* **by** (*auto split*: *split-if-asm*)

lemma *true-cls-mset-union*[*iff*]: *I* ⊨*m CC* + *DD* ⟷ *I* ⊨*m CC* ∧ *I* ⊨*m DD*
  unfolding *true-cls-mset-def* **by** *fastforce*

lemma *true-cls-mset-image-mset*[*iff*]: *I* ⊨*m image-mset f A* ⟷ (∀ *x* ∈# *A*. *I* ⊨ *f x*)
  unfolding *true-cls-mset-def* **by** *fastforce*

lemma *true-cls-mset-mono*: *set-mset DD* ⊆ *set-mset CC* ⟹ *I* ⊨*m CC* ⟹ *I* ⊨*m DD*
  unfolding *true-cls-mset-def subset-iff* **by** *auto*

lemma *true-clss-set-mset*[*iff*]: *I* ⊨*s set-mset CC* ⟷ *I* ⊨*m CC*
  unfolding *true-clss-def true-cls-mset-def* **by** *auto*

lemma *true-cls-mset-increasing-r*[*simp*]:
  I ⊨*m CC* ⟹ *I* ∪ *J* ⊨*m CC*
  unfolding *true-cls-mset-def* **by** *auto*

theorem *true-cls-remove-unused*:
  assumes $I \models \psi$
  shows $\{v \in I.\ \textit{atm-of } v \in \textit{atms-of } \psi\} \models \psi$
  using *assms* **unfolding** *true-cls-def atms-of-def* **by** *auto*

theorem *true-clss-remove-unused*:
  assumes $I \models s\ \psi$
  shows $\{v \in I.\ \textit{atm-of } v \in \textit{atms-of-ms } \psi\} \models s\ \psi$
  unfolding *true-clss-def atms-of-def Ball-def*
proof (*intro allI impI*)
  fix x
  assume $x \in \psi$
  then have $I \models x$
    using *assms* **unfolding** *true-clss-def atms-of-def Ball-def* **by** *auto*

  then have $\{v \in I.\ \textit{atm-of } v \in \textit{atms-of } x\} \models x$
    by (*simp only*: *true-cls-remove-unused*[*of I*])
  moreover have $\{v \in I.\ \textit{atm-of } v \in \textit{atms-of } x\} \subseteq \{v \in I.\ \textit{atm-of } v \in \textit{atms-of-ms } \psi\}$
    using ‹$x \in \psi$› **by** (*auto simp add*: *atms-of-ms-def*)
  ultimately show $\{v \in I.\ \textit{atm-of } v \in \textit{atms-of-ms } \psi\} \models x$
    using *true-cls-mono-set-mset-l* **by** *blast*
qed

A simple application of the previous theorem:

lemma *true-clss-union-decrease*:
  assumes *II'*: $I \cup I' \models \psi$
  and *H*: $\forall v \in I'.\ \textit{atm-of } v \notin \textit{atms-of } \psi$
  shows $I \models \psi$
proof −
  let $?I = \{v \in I \cup I'.\ \textit{atm-of } v \in \textit{atms-of } \psi\}$
  have $?I \models \psi$ **using** *true-cls-remove-unused II'* **by** *blast*
  moreover have $?I \subseteq I$ **using** *H* **by** *auto*
  ultimately show *?thesis* **using** *true-cls-mono-set-mset-l* **by** *blast*
qed

lemma *multiset-not-empty*:
  assumes $M \neq \{\#\}$
  and $x \in\# M$
  shows $\exists A.\ x = \textit{Pos } A \vee x = \textit{Neg } A$
  using *assms literal.exhaust-sel* **by** *blast*

lemma *atms-of-ms-empty*:
  fixes ψ :: *'v clauses*
  assumes $\textit{atms-of-ms } \psi = \{\}$
  shows $\psi = \{\} \vee \psi = \{\{\#\}\}$
  using *assms* **by** (*auto simp add*: *atms-of-ms-def*)

lemma *consistent-interp-disjoint*:
 assumes *consI*: *consistent-interp I*
 and *disj*: $\textit{atms-of-s } A \cap \textit{atms-of-s } I = \{\}$
 and *consA*: *consistent-interp A*
 shows *consistent-interp* $(A \cup I)$
proof (*rule ccontr*)
  assume ¬ *?thesis*
  moreover have $\bigwedge L.\ \neg (L \in A \wedge -L \in I)$

using *disj* **unfolding** *atms-of-s-def* **by** (*auto simp add*: *rev-image-eqI*)
ultimately show *False*
using *consA consI* **unfolding** *consistent-interp-def* **by** (*metis* (*full-types*) *Un-iff literal.exhaust-sel uminus-Neg uminus-Pos*)
qed

lemma *total-remove-unused*:
assumes *total-over-m I* ψ
shows *total-over-m* $\{v \in I.\ \textit{atm-of}\ v \in \textit{atms-of-ms}\ \psi\}\ \psi$
using *assms* **unfolding** *total-over-m-def total-over-set-def*
by (*metis* (*lifting*) *literal.sel*(*1*,*2*) *mem-Collect-eq*)

lemma *true-cls-remove-hd-if-notin-vars*:
assumes *insert a* $M' \models D$
and *atm-of a* $\notin$ *atms-of D*
shows $M' \models D$
using *assms* **by** (*auto simp add*: *atm-of-lit-in-atms-of true-cls-def*)

lemma *total-over-set-atm-of*:
fixes I :: *'v interp* **and** K :: *'v set*
shows *total-over-set I K* $\longleftrightarrow (\forall l \in K.\ l \in (\textit{atm-of}\ `\ I))$
unfolding *total-over-set-def* **by** (*metis atms-of-s-def in-atms-of-s-decomp*)

11.2.7 Tautologies

definition *tautology* (ψ:: *'v clause*) $\equiv \forall I.$ *total-over-set I* (*atms-of* ψ) $\longrightarrow I \models \psi$

lemma *tautology-Pos-Neg*[*intro*]:
assumes *Pos p* $\in\#$ *A* **and** *Neg p* $\in\#$ *A*
shows *tautology A*
using *assms* **unfolding** *tautology-def total-over-set-def true-cls-def Bex-mset-def*
by (*meson atm-iff-pos-or-neg-lit true-lit-def*)

lemma *tautology-minus*[*simp*]:
assumes $L \in\# A$ **and** $-L \in\# A$
shows *tautology A*
by (*metis assms literal.exhaust tautology-Pos-Neg uminus-Neg uminus-Pos*)

lemma *tautology-exists-Pos-Neg*:
assumes *tautology* ψ
shows $\exists p.$ *Pos p* $\in\# \psi \land$ *Neg p* $\in\# \psi$
proof (*rule ccontr*)
assume *p*: $\neg (\exists p.$ *Pos p* $\in\# \psi \land$ *Neg p* $\in\# \psi)$
let $?I = \{-L \mid L.\ L \in\# \psi\}$
have *total-over-set ?I* (*atms-of* ψ)
unfolding *total-over-set-def* **using** *atm-imp-pos-or-neg-lit* **by** *force*
moreover have $\neg\ ?I \models \psi$
unfolding *true-cls-def true-lit-def Bex-mset-def* **apply** *clarify*
using *p* **by** (*rename-tac x L*, *case-tac L*) *fastforce+*
ultimately show *False* **using** *assms* **unfolding** *tautology-def* **by** *auto*
qed

lemma *tautology-decomp*:
tautology $\psi \longleftrightarrow (\exists p.$ *Pos p* $\in\# \psi \land$ *Neg p* $\in\# \psi)$
using *tautology-exists-Pos-Neg* **by** *auto*

lemma *tautology-false*[*simp*]: $\neg$*tautology* {#}
  unfolding *tautology-def* **by** *auto*

lemma *tautology-add-single*:
  tautology ({#*a*#} + *L*) $\longleftrightarrow$ *tautology L* $\vee$ $-a$ $\in$# *L*
  unfolding *tautology-decomp* **by** (*cases a*) *auto*

lemma *minus-interp-tautology*:
  assumes {$-L$ | *L*. *L*$\in$# χ} $\models$ χ
  shows *tautology* χ
proof –
  obtain *L* **where** *L* $\in$# χ $\wedge$ $-L$ $\in$# χ
    using *assms* **unfolding** *true-cls-def* **by** *auto*
  then show *?thesis* **using** *tautology-decomp literal.exhaust uminus-Neg uminus-Pos* **by** *metis*
qed

lemma *remove-literal-in-model-tautology*:
  assumes *I* $\cup$ {*Pos P*} $\models$ φ
  and *I* $\cup$ {*Neg P*} $\models$ φ
  shows *I* $\models$ φ $\vee$ *tautology* φ
  using *assms* **unfolding** *true-cls-def* **by** *auto*

lemma *tautology-imp-tautology*:
  fixes χ χ' :: *'v clause*
  assumes $\forall$*I*. *total-over-m I* {χ} $\longrightarrow$ *I* $\models$ χ $\longrightarrow$ *I* $\models$ χ' **and** *tautology* χ
  shows *tautology* χ' **unfolding** *tautology-def*
proof (*intro allI HOL.impI*)
  fix *I* ::*'v literal set*
  assume *totI*: *total-over-set I* (*atms-of* χ')
  let *?I'* = {*Pos v* |*v*. *v*$\in$ *atms-of* χ $\wedge$ *v* $\notin$ *atms-of-s I*}
  have *totI'*: *total-over-m* (*I* $\cup$ *?I'*) {χ} **unfolding** *total-over-m-def total-over-set-def* **by** *auto*
  then have χ: *I* $\cup$ *?I'* $\models$ χ **using** *assms*(*2*) **unfolding** *total-over-m-def tautology-def* **by** *simp*
  then have *I* $\cup$ (*?I'*$-$ *I*) $\models$ χ' **using** *assms*(*1*) *totI'* **by** *auto*
  moreover have $\bigwedge$*L*. *L* $\in$# χ' $\Longrightarrow$ *L* $\notin$ *?I'*
    using *totI* **unfolding** *total-over-set-def* **by** (*auto dest*: *pos-lit-in-atms-of*)
  ultimately show *I* $\models$ χ' **unfolding** *true-cls-def* **by** *auto*
qed

11.2.8 Entailment for clauses and propositions

definition *true-cls-cls* :: *'a clause* $\Rightarrow$ *'a clause* $\Rightarrow$ *bool* (**infix** $\models$*f 49*) **where**
ψ $\models$*f* χ $\longleftrightarrow$ ($\forall$*I*. *total-over-m I* ({ψ} $\cup$ {χ}) $\longrightarrow$ *consistent-interp I* $\longrightarrow$ *I* $\models$ ψ $\longrightarrow$ *I* $\models$ χ)

definition *true-cls-clss* :: *'a clause* $\Rightarrow$ *'a clauses* $\Rightarrow$ *bool* (**infix** $\models$*fs 49*) **where**
ψ $\models$*fs* χ $\longleftrightarrow$ ($\forall$*I*. *total-over-m I* ({ψ} $\cup$ χ) $\longrightarrow$ *consistent-interp I* $\longrightarrow$ *I* $\models$ ψ $\longrightarrow$ *I* $\models$*s* χ)

definition *true-clss-cls* :: *'a clauses* $\Rightarrow$ *'a clause* $\Rightarrow$ *bool* (**infix** $\models$*p 49*) **where**
N $\models$*p* χ $\longleftrightarrow$ ($\forall$*I*. *total-over-m I* (*N* $\cup$ {χ}) $\longrightarrow$ *consistent-interp I* $\longrightarrow$ *I* $\models$*s N* $\longrightarrow$ *I* $\models$ χ)

definition *true-clss-clss* :: *'a clauses* $\Rightarrow$ *'a clauses* $\Rightarrow$ *bool* (**infix** $\models$*ps 49*) **where**
N $\models$*ps N'* $\longleftrightarrow$ ($\forall$*I*. *total-over-m I* (*N* $\cup$ *N'*) $\longrightarrow$ *consistent-interp I* $\longrightarrow$ *I* $\models$*s N* $\longrightarrow$ *I* $\models$*s N'*)

lemma *true-cls-cls-refl*[*simp*]:
  A $\models$*f A*
  unfolding *true-cls-cls-def* **by** *auto*

lemma *true-cls-cls-insert-l*[*simp*]:
  $a \models f\ C \Longrightarrow insert\ a\ A \models p\ C$
  unfolding *true-cls-cls-def true-clss-cls-def true-clss-def* **by** *fastforce*

lemma *true-cls-clss-empty*[*iff*]:
  $N \models fs\ \{\}$
  unfolding *true-cls-clss-def* **by** *auto*

lemma *true-prop-true-clause*[*iff*]:
  $\{\varphi\} \models p\ \psi \longleftrightarrow \varphi \models f\ \psi$
  unfolding *true-cls-cls-def true-clss-cls-def* **by** *auto*

lemma *true-clss-clss-true-clss-cls*[*iff*]:
  $N \models ps\ \{\psi\} \longleftrightarrow N \models p\ \psi$
  unfolding *true-clss-clss-def true-clss-cls-def* **by** *auto*

lemma *true-clss-clss-true-cls-clss*[*iff*]:
  $\{\chi\} \models ps\ \psi \longleftrightarrow \chi \models fs\ \psi$
  unfolding *true-clss-clss-def true-cls-clss-def* **by** *auto*

lemma *true-clss-clss-empty*[*simp*]:
  $N \models ps\ \{\}$
  unfolding *true-clss-clss-def* **by** *auto*

lemma *true-clss-cls-subset*:
  $A \subseteq B \Longrightarrow A \models p\ CC \Longrightarrow B \models p\ CC$
  unfolding *true-clss-cls-def total-over-m-union* **by** (*simp add*: *total-over-m-subset true-clss-mono*)

lemma *true-clss-cs-mono-l*[*simp*]:
  $A \models p\ CC \Longrightarrow A \cup B\ \models p\ CC$
  by (*auto intro*: *true-clss-cls-subset*)

lemma *true-clss-cs-mono-l2*[*simp*]:
  $B \models p\ CC \Longrightarrow A \cup B\ \models p\ CC$
  by (*auto intro*: *true-clss-cls-subset*)

lemma *true-clss-cls-mono-r*[*simp*]:
  $A \models p\ CC \Longrightarrow A \models p\ CC + CC'$
  unfolding *true-clss-cls-def total-over-m-union total-over-m-sum* **by** *blast*

lemma *true-clss-cls-mono-r'*[*simp*]:
  $A \models p\ CC' \Longrightarrow A \models p\ CC + CC'$
  unfolding *true-clss-cls-def total-over-m-union total-over-m-sum* **by** *blast*

lemma *true-clss-clss-union-l*[*simp*]:
  $A \models ps\ CC \Longrightarrow A \cup B\ \models ps\ CC$
  unfolding *true-clss-clss-def total-over-m-union* **by** *fastforce*

lemma *true-clss-clss-union-l-r*[*simp*]:
  $B \models ps\ CC \Longrightarrow A \cup B \models ps\ CC$
  unfolding *true-clss-clss-def total-over-m-union* **by** *fastforce*

lemma *true-clss-cls-in*[*simp*]:
  $CC \in A \Longrightarrow A \models p\ CC$
  unfolding *true-clss-cls-def true-clss-def total-over-m-union* **by** *fastforce*

```
lemma true-clss-cls-insert-l[simp]:
  A ⊨p C ⟹ insert a A ⊨p C
  unfolding true-clss-cls-def true-clss-def using total-over-m-union
  by (metis Un-iff insert-is-Un sup.commute)

lemma true-clss-clss-insert-l[simp]:
  A ⊨ps C ⟹ insert a A ⊨ps C
  unfolding true-clss-cls-def true-clss-clss-def true-clss-def by blast

lemma true-clss-clss-union-and[iff]:
  A ⊨ps C ∪ D ⟷ (A ⊨ps C ∧ A ⊨ps D)
proof
  {
    fix A C D :: 'a clauses
    assume A: A ⊨ps C ∪ D
    have A ⊨ps C
        unfolding true-clss-clss-def true-clss-cls-def insert-def total-over-m-insert
      proof (intro allI impI)
        fix I
        assume totAC: total-over-m I (A ∪ C)
        and cons: consistent-interp I
        and I: I ⊨s A
        then have  tot: total-over-m I A and tot': total-over-m I  C by auto
        obtain I' where tot': total-over-m (I ∪ I') (A ∪ C ∪ D)
        and cons': consistent-interp (I ∪ I')
        and H: ∀x∈I'. atm-of x ∈ atms-of-ms D ∧ atm-of x ∉ atms-of-ms (A ∪ C)
          using total-over-m-consistent-extension[OF - cons, of A ∪ C] tot tot' by blast
        moreover have I ∪ I' ⊨s A using I by simp
        ultimately have I ∪ I' ⊨s C ∪ D using A unfolding true-clss-clss-def  by auto
        then have I ∪ I' ⊨s C ∪ D by auto
        then show I ⊨s C using notin-vars-union-true-clss-true-clss[of I'] H by auto
      qed
  } note H = this
  assume A ⊨ps C ∪ D
  then show A ⊨ps C ∧ A ⊨ps D using H[of A] Un-commute[of C D] by metis
next
  assume A ⊨ps C ∧ A ⊨ps D
  then show A ⊨ps C ∪ D
    unfolding true-clss-clss-def by auto
qed

lemma true-clss-clss-insert[iff]:
  A ⊨ps insert L Ls ⟷ (A ⊨p L ∧ A ⊨ps Ls)
  using true-clss-clss-union-and[of A {L} Ls] by auto

lemma true-clss-clss-subset:
  A ⊆ B ⟹ A ⊨ps CC ⟹ B ⊨ps CC
  by (metis subset-Un-eq true-clss-clss-union-l)

lemma union-trus-clss-clss[simp]: A ∪ B ⊨ps B
  unfolding true-clss-clss-def by auto

lemma true-clss-clss-remove[simp]:
  A ⊨ps B ⟹ A⊨ps B − C
  by (metis Un-Diff-Int true-clss-clss-union-and)

lemma true-clss-clss-subsetE:
  N ⊨ps B ⟹ A ⊆ B ⟹ N ⊨ps A
  by (metis sup.orderE true-clss-clss-union-and)

lemma true-clss-clss-in-imp-true-clss-cls:
  assumes N ⊨ps U
  and A ∈ U
  shows N ⊨p A
  using assms mk-disjoint-insert by fastforce

lemma all-in-true-clss-clss: ∀ x ∈ B. x ∈ A ⟹ A ⊨ps B
  unfolding true-clss-clss-def true-clss-def by auto

lemma true-clss-clss-left-right:
  assumes A ⊨ps B
  and A ∪ B ⊨ps M
  shows A ⊨ps M ∪ B
  using assms unfolding true-clss-clss-def by auto

lemma true-clss-clss-generalise-true-clss-clss:
  A ∪ C ⊨ps D ⟹ B ⊨ps C ⟹ A ∪ B ⊨ps D
proof −
  assume a1: A ∪ C ⊨ps D
  assume B ⊨ps C
  then have f2: ⋀M. M ∪ B ⊨ps C
    by (meson true-clss-clss-union-l-r)
  have ⋀M. C ∪ (M ∪ A) ⊨ps D
    using a1 by (simp add: Un-commute sup-left-commute)
  then show ?thesis
    using f2 by (metis (no-types) Un-commute true-clss-clss-left-right true-clss-clss-union-and)
qed

lemma true-clss-cls-or-true-clss-cls-or-not-true-clss-cls-or:
  assumes D: N ⊨p D + {#− L#}
  and C: N ⊨p C + {#L#}
  shows N ⊨p D + C
  unfolding true-clss-cls-def
proof (intro allI impI)
  fix I
  assume tot: total-over-m I (N ∪ {D + C})
  and consistent-interp I
  and I ⊨s N
  {
    assume L: L ∈ I ∨ −L ∈ I
    then have total-over-m I {D + {#− L#}}
      using tot by (cases L) auto
    then have I ⊨ D + {#− L#} using D ‹I ⊨s N› tot ‹consistent-interp I›
      unfolding true-clss-cls-def by auto
    moreover
      have total-over-m I {C + {#L#}}
        using L tot by (cases L) auto
      then have I ⊨ C + {#L#}
        using C ‹I ⊨s N› tot ‹consistent-interp I› unfolding true-clss-cls-def by auto
    ultimately have I ⊨ D + C using ‹consistent-interp I› consistent-interp-def by fastforce
  }
  moreover {
    assume L: L ∉ I ∧ −L ∉ I
    let ?I' = I ∪ {L}
    have consistent-interp ?I' using L ‹consistent-interp I› by auto
    moreover have total-over-m ?I' {D + {#− L#}}
      using tot unfolding total-over-m-def total-over-set-def by (auto simp add: atms-of-def)
    moreover have total-over-m ?I' N using tot using total-union by blast
    moreover have ?I' ⊨s N using ‹I ⊨s N› using true-clss-union-increase by blast
    ultimately have ?I' ⊨ D + {#− L#}
      using D unfolding true-clss-cls-def by blast
    then have ?I' ⊨ D using L by auto
    moreover
      have total-over-set I (atms-of (D + C)) using tot by auto
      then have L ∉# D ∧ −L ∉# D
        using L unfolding total-over-set-def atms-of-def by (cases L) force+
    ultimately have I ⊨ D + C unfolding true-cls-def by auto
  }
  ultimately show I ⊨ D + C by blast
qed

lemma true-cls-union-mset[iff]: I ⊨ C #∪ D ⟷ I ⊨ C ∨ I ⊨ D
  unfolding true-cls-def by force

lemma true-clss-cls-union-mset-true-clss-cls-or-not-true-clss-cls-or:
  assumes D: N ⊨p D + {#− L#}
  and C: N ⊨p C + {#L#}
  shows N ⊨p D #∪ C
  unfolding true-clss-cls-def
proof (intro allI impI)
  fix I
  assume
    tot: total-over-m I (N ∪ {D #∪ C}) and
    consistent-interp I and
    I ⊨s N
  {
    assume L: L ∈ I ∨ −L ∈ I
    then have total-over-m I {D + {#− L#}}
      using tot by (cases L) auto
    then have I ⊨ D + {#− L#}
      using D ‹I ⊨s N› tot ‹consistent-interp I› unfolding true-clss-cls-def by auto
    moreover
      have total-over-m I {C + {#L#}}
        using L tot by (cases L) auto
      then have I ⊨ C + {#L#}
        using C ‹I ⊨s N› tot ‹consistent-interp I› unfolding true-clss-cls-def by auto
    ultimately have I ⊨ D #∪ C using ‹consistent-interp I› unfolding consistent-interp-def
    by auto
  }
  moreover {
    assume L: L ∉ I ∧ −L ∉ I
    let ?I' = I ∪ {L}
    have consistent-interp ?I' using L ‹consistent-interp I› by auto
    moreover have total-over-m ?I' {D + {#− L#}}
```

```
      using tot unfolding total-over-m-def total-over-set-def by (auto simp add: atms-of-def)
    moreover have total-over-m ?I′ N using tot using total-union by blast
    moreover have ?I′ ⊨s N using ‹I ⊨s N› using true-clss-union-increase by blast
    ultimately have ?I′ ⊨ D + {#− L#}
      using D unfolding true-clss-cls-def by blast
    then have ?I′ ⊨ D using L by auto
    moreover
      have total-over-set I (atms-of (D + C)) using tot by auto
      then have L ∉# D ∧ −L ∉# D
        using L unfolding total-over-set-def atms-of-def by (cases L) force+
    ultimately have I ⊨ D #∪ C unfolding true-cls-def by auto
  }
  ultimately show I ⊨ D #∪ C by blast
qed

lemma satisfiable-carac[iff]:
  (∃ I. consistent-interp I ∧ I ⊨s φ) ⟷ satisfiable φ (is (∃ I. ?Q I) ⟷ ?S)
proof
  assume ?S
  then show ∃ I. ?Q I unfolding satisfiable-def by auto
next
  assume ∃ I. ?Q I
  then obtain I where cons: consistent-interp I and I: I ⊨s φ by metis
  let ?I′ = {Pos v |v. v ∉ atms-of-s I ∧ v ∈ atms-of-ms φ}
  have consistent-interp (I ∪ ?I′)
    using cons unfolding consistent-interp-def by (intro allI) (rename-tac L, case-tac L, auto)
  moreover have total-over-m (I ∪ ?I′) φ
    unfolding total-over-m-def total-over-set-def by auto
  moreover have I ∪ ?I′ ⊨s φ
    using I unfolding Ball-def true-clss-def true-cls-def by auto
  ultimately show ?S unfolding satisfiable-def by blast
qed

lemma satisfiable-carac′[simp]: consistent-interp I ⟹ I ⊨s φ ⟹ satisfiable φ
  using satisfiable-carac by metis
```

11.3 Subsumptions

```
lemma subsumption-total-over-m:
  assumes A ⊆# B
  shows total-over-m I {B} ⟹ total-over-m I {A}
  using assms unfolding subset-mset-def total-over-m-def total-over-set-def
  by (auto simp add: mset-le-exists-conv)

lemma atms-of-replicate-mset-replicate-mset-uminus[simp]:
  atms-of (D − replicate-mset (count D L) L − replicate-mset (count D (−L)) (−L))
    = atms-of D − {atm-of L}
  by (auto split: split-if-asm simp add: atm-of-eq-atm-of atms-of-def)

lemma subsumption-chained:
  assumes
    ∀ I. total-over-m I {D} ⟶ I ⊨ D ⟶ I ⊨ φ and
    C ⊆# D
  shows (∀ I. total-over-m I {C} ⟶ I ⊨ C ⟶ I ⊨ φ) ∨ tautology φ
  using assms
proof (induct card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C} arbitrary: D
    rule: nat-less-induct-case)
  case 0 note n = this(1) and  H = this(2) and incl = this(3)
  then have atms-of D ⊆ atms-of C by auto
  then have ∀ I. total-over-m I {C} ⟶ total-over-m I {D}
    unfolding total-over-m-def total-over-set-def by auto
  moreover have ∀ I. I ⊨ C ⟶ I ⊨ D using incl true-cls-mono-leD by blast
  ultimately show ?case using H by auto
next
  case (Suc n D) note IH = this(1) and card = this(2) and H = this(3) and incl = this(4)
  let ?atms = {Pos v |v. v ∈ atms-of D ∧ v ∉ atms-of C}
  have finite ?atms by auto
  then obtain L where  L: L ∈ ?atms
    using card by (metis (no-types, lifting) Collect-empty-eq card-0-eq mem-Collect-eq
      nat.simps(3))
  let ?D' = D − replicate-mset (count D L) L − replicate-mset (count D (−L)) (−L)
  have atms-of-D: atms-of-ms {D} ⊆ atms-of-ms {?D'} ∪ {atm-of L} by auto

  {
    fix I
    assume total-over-m I {?D'}
    then have tot: total-over-m (I ∪ {L}) {D}
      unfolding total-over-m-def total-over-set-def using atms-of-D by auto

    assume IDL: I ⊨ ?D'
    then have I ∪ {L} ⊨ D unfolding true-cls-def by force
    then have I ∪ {L} ⊨ φ using H tot by auto

    moreover
      have tot': total-over-m (I ∪ {−L}) {D}
        using tot unfolding total-over-m-def total-over-set-def by auto
      have I ∪ {−L} ⊨ D using IDL unfolding true-cls-def by force
      then have I ∪ {−L} ⊨ φ using H tot' by auto
    ultimately have I ⊨ φ ∨ tautology φ
      using L remove-literal-in-model-tautology by force
  } note H' = this

  have L ∉# C  and −L ∉# C using L atm-iff-pos-or-neg-lit by force+
  then have C-in-D': C ⊆# ?D' using ‹C ⊆# D› by (auto simp add: subseteq-mset-def)
  have card {Pos v |v. v ∈ atms-of ?D' ∧ v ∉ atms-of C} <
    card {Pos v |v. v ∈ atms-of D ∧ v ∉ atms-of C}
    using L by (auto intro!: psubset-card-mono)
  then show ?case
    using IH C-in-D' H'  unfolding card[symmetric] by blast
qed
```

11.4 Removing Duplicates

```
lemma tautology-remdups-mset[iff]:
  tautology (remdups-mset C) ⟷ tautology C
  unfolding tautology-decomp by auto

lemma atms-of-remdups-mset[simp]: atms-of (remdups-mset C) = atms-of C
  unfolding atms-of-def by auto

lemma true-cls-remdups-mset[iff]: I ⊨ remdups-mset C ⟷ I ⊨ C
  unfolding true-cls-def by auto
```

lemma *true-clss-cls-remdups-mset*[*iff*]: $A \models p$ *remdups-mset* $C \longleftrightarrow A \models p$ C
  unfolding *true-clss-cls-def total-over-m-def* **by** *auto*

11.5 Set of all Simple Clauses

definition *simple-clss* :: *'v set* ⇒ *'v clause set* **where**
simple-clss atms = {*C*. *atms-of C* ⊆ *atms* ∧ ¬*tautology C* ∧ *distinct-mset C*}

```
lemma simple-clss-empty[simp]:
  simple-clss {} = {{#}}
  unfolding simple-clss-def by auto

lemma simple-clss-insert:
  assumes l ∉ atms
  shows simple-clss (insert l atms) =
    (op + {#Pos l#}) ‘ (simple-clss atms)
    ∪ (op + {#Neg l#}) ‘ (simple-clss atms)
    ∪ simple-clss atms(is ?I = ?U)
proof (standard; standard)
  fix C
  assume C ∈ ?I
  then have
    atms: atms-of C ⊆ insert l atms and
    taut: ¬tautology C and
    dist: distinct-mset C
    unfolding simple-clss-def by auto
  have H: ⋀x. x ∈# C ⟹ atm-of x ∈ insert l atms
    using atm-of-lit-in-atms-of atms by blast
  consider
      (Add) L where L ∈# C and L = Neg l ∨ L = Pos l
    | (No)  Pos l ∉# C  Neg l ∉# C
    by auto
  then show C ∈ ?U
    proof cases
      case Add
      then have L ∉# C − {#L#}
        using dist unfolding distinct-mset-def by auto
      moreover have −L ∉# C
        using taut Add by auto
      ultimately have atms-of (C − {#L#}) ⊆ atms
        using atms Add by (auto simp: atm-iff-pos-or-neg-lit split: split-if-asm dest!: H)

      moreover have ¬ tautology (C − {#L#})
        using taut by (metis Add(1) insert-DiffM tautology-add-single)
      moreover have distinct-mset (C − {#L#})
        using dist by auto
      ultimately have (C − {#L#}) ∈ simple-clss atms
        using Add unfolding simple-clss-def by auto
      moreover have C = {#L#} + (C − {#L#})
        using Add by (auto simp: multiset-eq-iff)
      ultimately show ?thesis using Add by auto
    next
      case No
      then have C ∈ simple-clss atms
        using taut atms dist unfolding simple-clss-def
      by (auto simp: atm-iff-pos-or-neg-lit split: split-if-asm dest!: H)
    then show ?thesis by blast
  qed
next
  fix C
  assume C ∈ ?U
  then consider
      (Add) L C' where C = {#L#} + C' and C' ∈ simple-clss atms and
        L = Pos l ∨ L = Neg l
    | (No) C ∈ simple-clss atms
    by auto
  then show C ∈ ?I
    proof cases
      case No
      then show ?thesis unfolding simple-clss-def by auto
    next
      case (Add L C') note C' = this(1) and C = this(2) and L = this(3)
      then have
        atms: atms-of C' ⊆ atms and
        taut: ¬tautology C' and
        dist: distinct-mset C'
        unfolding simple-clss-def by auto
      have atms-of C ⊆ insert l atms
        using atms C' L by auto
      moreover have ¬ tautology C
        using taut C' L by (metis assms atm-of-lit-in-atms-of atms literal.sel(1,2) subset-eq
          tautology-add-single uminus-Neg uminus-Pos)
      moreover have distinct-mset C
        using dist C' L
        by (metis assms atm-of-lit-in-atms-of atms contra-subsetD distinct-mset-add-single
          literal.sel(1,2))
      ultimately show ?thesis unfolding simple-clss-def by blast
    qed
qed

lemma simple-clss-finite:
  fixes atms :: 'v set
  assumes finite atms
  shows finite (simple-clss atms)
  using assms by (induction rule: finite-induct) (auto simp: simple-clss-insert)

lemma simple-clssE:
  assumes
    x ∈ simple-clss atms
  shows atms-of x ⊆ atms ∧ ¬tautology x ∧ distinct-mset x
  using assms unfolding simple-clss-def by auto

lemma cls-in-simple-clss:
  shows {#} ∈ simple-clss s
  unfolding simple-clss-def by auto

lemma simple-clss-card:
  fixes atms :: 'v  set
  assumes finite atms
  shows card (simple-clss atms) ≤ (3::nat) ^ (card atms)
  using assms
proof (induct atms rule: finite-induct)
  case empty
  then show ?case by auto
next
  case (insert l C) note fin = this(1) and l = this(2) and IH = this(3)
  have notin:
    ⋀C'. {#Pos l#} + C' ∉ simple-clss C
    ⋀C'. {#Neg l#} + C' ∉ simple-clss C
    using l unfolding simple-clss-def by auto
  have H: ⋀C' D. {#Pos l#} + C' = {#Neg l#} + D ⟹ D ∈ simple-clss C ⟹ False
    proof –
      fix C' D
      assume C'D: {#Pos l#} + C' = {#Neg l#} + D and D: D ∈ simple-clss C
      then have Pos l ∈# D by (metis insert-noteq-member literal.distinct(1) union-commute)
      then have l ∈ atms-of D
        by (simp add: atm-iff-pos-or-neg-lit)
      then show False using D l unfolding simple-clss-def by auto
    qed
  let ?P = (op + {#Pos l#}) ‘ (simple-clss C)
  let ?N = (op + {#Neg l#}) ‘ (simple-clss C)
  let ?O = simple-clss C
  have card (?P ∪ ?N ∪ ?O) = card (?P ∪ ?N) + card ?O
    apply (subst card-Un-disjoint)
    using l fin by (auto simp: simple-clss-finite notin)
  moreover have card (?P ∪ ?N) = card ?P + card ?N
    apply (subst card-Un-disjoint)
    using l fin H by (auto simp: simple-clss-finite notin)
  moreover
    have card ?P = card ?O
      using inj-on-iff-eq-card[of ?O op + {#Pos l#}]
      by (auto simp: fin simple-clss-finite inj-on-def)
  moreover have card ?N = card ?O
      using inj-on-iff-eq-card[of ?O op + {#Neg l#}]
      by (auto simp: fin simple-clss-finite inj-on-def)
  moreover have (3::nat) ^ card (insert l C) = 3 ^ (card C) + 3 ^ (card C) + 3 ^ (card C)
    using l by (simp add: fin mult-2-right numeral-3-eq-3)
  ultimately show ?case using IH l by (auto simp: simple-clss-insert)
qed

lemma simple-clss-mono:
  assumes incl: atms ⊆ atms'
  shows simple-clss atms ⊆ simple-clss atms'
  using assms unfolding simple-clss-def by auto

lemma distinct-mset-not-tautology-implies-in-simple-clss:
  assumes distinct-mset χ and ¬tautology χ
  shows χ ∈ simple-clss (atms-of χ)
  using assms unfolding simple-clss-def by auto

lemma simplified-in-simple-clss:
  assumes distinct-mset-set ψ and ∀χ ∈ ψ. ¬tautology χ
  shows ψ ⊆ simple-clss (atms-of-ms ψ)
  using assms unfolding simple-clss-def
  by (auto simp: distinct-mset-set-def atms-of-ms-def)
```

11.6 Experiment: Expressing the Entailments as Locales

locale *entail* =
  fixes *entail* :: $'a\ set \Rightarrow 'b \Rightarrow bool$ (**infix** $\models e$ *50*)
  assumes *entail-insert*[*simp*]: $I \neq \{\} \Longrightarrow insert\ L\ I \models e\ x \longleftrightarrow \{L\} \models e\ x \lor I \models e\ x$
  assumes *entail-union*[*simp*]: $I \models e\ A \Longrightarrow I \cup I' \models e\ A$
begin

definition *entails* :: $'a\ set \Rightarrow 'b\ set \Rightarrow bool$ (**infix** $\models es$ *50*) **where**
  $I \models es\ A \longleftrightarrow (\forall a \in A.\ I \models e\ a)$

lemma *entails-empty*[*simp*]:
  $I \models es\ \{\}$
  unfolding *entails-def* **by** *auto*

lemma *entails-single*[*iff*]:
  $I \models es\ \{a\} \longleftrightarrow I \models e\ a$
  unfolding *entails-def* **by** *auto*

lemma *entails-insert-l*[*simp*]:
  $M \models es\ A \Longrightarrow insert\ L\ M \models es\ A$
  unfolding *entails-def* **by** (*metis Un-commute entail-union insert-is-Un*)

lemma *entails-union*[*iff*]: $I \models es\ CC \cup DD \longleftrightarrow I \models es\ CC \land I \models es\ DD$
  unfolding *entails-def* **by** *blast*

lemma *entails-insert*[*iff*]: $I \models es\ insert\ C\ DD \longleftrightarrow I \models e\ C \land I \models es\ DD$
  unfolding *entails-def* **by** *blast*

lemma *entails-insert-mono*: $DD \subseteq CC \Longrightarrow I \models es\ CC \Longrightarrow I \models es\ DD$
  unfolding *entails-def* **by** *blast*

lemma *entails-union-increase*[*simp*]:
 assumes $I \models es\ \psi$
 shows $I \cup I' \models es\ \psi$
 using *assms* **unfolding** *entails-def* **by** *auto*

lemma *true-clss-commute-l*:
  $(I \cup I' \models es\ \psi) \longleftrightarrow (I' \cup I \models es\ \psi)$
  by (*simp add*: *Un-commute*)

lemma *entails-remove*[*simp*]: $I \models es\ N \Longrightarrow I \models es\ Set.remove\ a\ N$
  by (*simp add*: *entails-def*)

lemma *entails-remove-minus*[*simp*]: $I \models es\ N \Longrightarrow I \models es\ N - A$
  by (*simp add*: *entails-def*)

end

interpretation *true-cls*: *entail true-cls*
  by *standard* (*auto simp add*: *true-cls-def*)

11.7 Entailment to be extended

definition *true-clss-ext* :: $'a\ literal\ set \Rightarrow 'a\ literal\ multiset\ set \Rightarrow bool$ (**infix** $\models sext$ *49*)
where

I ⊨*sext N* ⟷ (∀ *J*. *I* ⊆ *J* ⟶ *consistent-interp J* ⟶ *total-over-m J N* ⟶ *J* ⊨*s N*)

lemma *true-clss-imp-true-cls-ext*:
  I⊨*s N* ⟹ *I* ⊨*sext N*
  unfolding *true-clss-ext-def* **by** (*metis sup.orderE true-clss-union-increase′*)

lemma *true-clss-ext-decrease-right-remove-r*:
  assumes *I* ⊨*sext N*
  shows *I* ⊨*sext N* − {*C*}
  unfolding *true-clss-ext-def*
proof (*intro allI impI*)
  fix *J*
  assume
    I ⊆ *J* **and**
    cons: *consistent-interp J* **and**
    tot: *total-over-m J* (*N* − {*C*})
  let *?J* = *J* ∪ {*Pos* (*atm-of P*)|*P*. *P* ∈# *C* ∧ *atm-of P* ∉ *atm-of* ‘ *J*}
  have *I* ⊆ *?J* **using** ⟨*I* ⊆ *J*⟩ **by** *auto*
  moreover have *consistent-interp ?J*
    using *cons* **unfolding** *consistent-interp-def* **apply** (*intro allI*)
    by (*rename-tac L*, *case-tac L*) (*fastforce simp add*: *image-iff*)+
  moreover have *total-over-m ?J N*
    using *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*
    apply *clarify*
    apply (*rename-tac l a*, *case-tac a* ∈ *N* − {*C*})
      apply *auto*[]
    using *atms-of-s-def atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*
    by (*fastforce simp*: *atms-of-def*)
  ultimately have *?J* ⊨*s N*
    using *assms* **unfolding** *true-clss-ext-def* **by** *blast*
  then have *?J* ⊨*s N* − {*C*} **by** *auto*
  have {*v* ∈ *?J*. *atm-of v* ∈ *atms-of-ms* (*N* − {*C*})} ⊆ *J*
    using *tot* **unfolding** *total-over-m-def total-over-set-def*
    by (*auto intro*!: *rev-image-eqI*)
  then show *J* ⊨*s N* − {*C*}
    using *true-clss-remove-unused*[*OF* ⟨*?J* ⊨*s N* − {*C*}⟩] **unfolding** *true-clss-def*
    by (*meson true-cls-mono-set-mset-l*)
qed

lemma *consistent-true-clss-ext-satisfiable*:
  assumes *consistent-interp I* **and** *I* ⊨*sext A*
  shows *satisfiable A*
  by (*metis Un-empty-left assms satisfiable-carac subset-Un-eq sup.left-idem*
    total-over-m-consistent-extension total-over-m-empty true-clss-ext-def)

lemma *not-consistent-true-clss-ext*:
  assumes ¬*consistent-interp I*
  shows *I*⊨*sext A*
  by (*meson assms consistent-interp-subset true-clss-ext-def*)
end
theory *Prop-Resolution*
imports *Partial-Clausal-Logic List-More Wellfounded-More*

begin

12 Resolution

12.1 Simplification Rules

inductive *simplify* :: *'v clauses* ⇒ *'v clauses* ⇒ *bool* **for** *N* :: *'v clause set* **where**
tautology-deletion:
  $(A + \{\#Pos\ P\#\} + \{\#Neg\ P\#\}) \in N \Longrightarrow simplify\ \ N\ (N - \{A + \{\#Pos\ P\#\} + \{\#Neg\ P\#\}\})|$
condensation:
  $(A + \{\#L\#\} + \{\#L\#\}) \in N \Longrightarrow simplify\ N\ (\ N- \{A + \{\#L\#\} + \{\#L\#\}\} \cup \{A + \{\#L\#\}\})\ |$
subsumption:
  $A \in N \Longrightarrow A \subset\# B \Longrightarrow B \in N \Longrightarrow simplify\ N\ (N - \{B\})$

lemma *simplify-preserves-un-sat'*:
  fixes *N N'* :: *'v clauses*
  assumes *simplify N N'*
  and *total-over-m I N*
  shows $I \models s\ N' \longrightarrow I \models s\ N$
  using *assms*
proof (*induct rule*: *simplify.induct*)
  case (*tautology-deletion A P*)
  then have $I \models A + \{\#Pos\ P\#\} + \{\#Neg\ P\#\}$
    by (*metis total-over-m-def total-over-set-literal-defined true-cls-singleton true-cls-union*
      true-lit-def uminus-Neg union-commute)
  then show *?case* **by** (*metis Un-Diff-cancel2 true-clss-singleton true-clss-union*)
next
  case (*condensation A P*)
  then show *?case* **by** (*metis Diff-insert-absorb Set.set-insert insertE true-cls-union true-clss-def*
    true-clss-singleton true-clss-union)
next
  case (*subsumption A B*)
  have $A \neq B$ **using** *subsumption.hyps*(*2*) **by** *auto*
  then have $I \models s\ N - \{B\} \Longrightarrow I \models A$ **using** ‹$A \in N$› **by** (*simp add*: *true-clss-def*)
  moreover have $I \models A \Longrightarrow I \models B$ **using** ‹$A <\# B$› **by** *auto*
  ultimately show *?case* **by** (*metis insert-Diff-single true-clss-insert*)
qed

lemma *simplify-preserves-un-sat*:
  fixes *N N'* :: *'v clauses*
  assumes *simplify N N'*
  and *total-over-m I N*
  shows $I \models s\ N \longrightarrow I \models s\ N'$
  using *assms* **apply** (*induct rule*: *simplify.induct*)
  using *true-clss-def* **by** *fastforce+*

lemma *simplify-preserves-un-sat''*:
  fixes *N N'* :: *'v clauses*
  assumes *simplify N N'*
  and *total-over-m I N'*
  shows $I \models s\ N \longrightarrow I \models s\ N'$
  using *assms* **apply** (*induct rule*: *simplify.induct*)
  using *true-clss-def* **by** *fastforce+*

lemma *simplify-preserves-un-sat-eq*:
  fixes *N N'* :: *'v clauses*
  assumes *simplify N N'*
  and *total-over-m I N*

shows $I \models s\ N \longleftrightarrow I \models s\ N'$
using *simplify-preserves-un-sat simplify-preserves-un-sat' assms* **by** *blast*

lemma *simplify-preserves-finite*:
assumes *simplify* ψ ψ'
shows *finite* $\psi \longleftrightarrow$ *finite* ψ'
using *assms* **by** (*induct rule*: *simplify.induct*, *auto simp add*: *remove-def*)

lemma *rtranclp-simplify-preserves-finite*:
assumes *rtranclp simplify* ψ ψ'
shows *finite* $\psi \longleftrightarrow$ *finite* ψ'
using *assms* **by** (*induct rule*: *rtranclp-induct*) (*auto simp add*: *simplify-preserves-finite*)

lemma *simplify-atms-of-ms*:
assumes *simplify* ψ ψ'
shows *atms-of-ms* $\psi' \subseteq$ *atms-of-ms* ψ
using *assms* **unfolding** *atms-of-ms-def*
proof (*induct rule*: *simplify.induct*)
case (*tautology-deletion A P*)
then show *?case* **by** *auto*
next
case (*condensation A P*)
moreover have $A + \{\#P\#\} + \{\#P\#\} \in \psi \Longrightarrow \exists x{\in}\psi.$ *atm-of* $P \in$ *atm-of* ‘ *set-mset* x
by (*metis Un-iff atms-of-def atms-of-plus atms-of-singleton insert-iff*)
ultimately show *?case* **by** (*auto simp add*: *atms-of-def*)
next
case (*subsumption A P*)
then show *?case* **by** *auto*
qed

lemma *rtranclp-simplify-atms-of-ms*:
assumes *rtranclp simplify* ψ ψ'
shows *atms-of-ms* $\psi' \subseteq$ *atms-of-ms* ψ
using *assms* **apply** (*induct rule*: *rtranclp-induct*)
apply (*fastforce intro*: *simplify-atms-of-ms*)
using *simplify-atms-of-ms* **by** *blast*

lemma *factoring-imp-simplify*:
assumes $\{\#L\#\} + \{\#L\#\} + C \in N$
shows $\exists N'.$ *simplify* N N'
proof –
have $C + \{\#L\#\} + \{\#L\#\} \in N$ **using** *assms* **by** (*simp add*: *add.commute union-lcomm*)
from *condensation*[*OF this*] **show** *?thesis* **by** *blast*
qed

12.2 Unconstrained Resolution

type-synonym *'v uncon-state = 'v clauses*
inductive *uncon-res* :: *'v uncon-state* $\Rightarrow$ *'v uncon-state* $\Rightarrow$ *bool* **where**
resolution:
$\{\#Pos\ p\#\} + C \in N \Longrightarrow \{\#Neg\ p\#\} + D \in N \Longrightarrow (\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D) \notin$ *already-used*
$\Longrightarrow$ *uncon-res* (N) $(N \cup \{C + D\})$ |
factoring: $\{\#L\#\} + \{\#L\#\} + C \in N \Longrightarrow$ *uncon-res* N $(N \cup \{C + \{\#L\#\}\})$

lemma *uncon-res-increasing*:

assumes *uncon-res S S'* **and** $\psi \in S$
shows $\psi \in S'$
using *assms* **by** (*induct rule*: *uncon-res.induct*) *auto*

lemma *rtranclp-uncon-inference-increasing*:
assumes *rtranclp uncon-res S S'* **and** $\psi \in S$
shows $\psi \in S'$
using *assms* **by** (*induct rule*: *rtranclp-induct*) (*auto simp add*: *uncon-res-increasing*)

12.2.1 Subsumption

definition *subsumes* :: *'a literal multiset* $\Rightarrow$ *'a literal multiset* $\Rightarrow$ *bool* **where**
subsumes χ χ' $\longleftrightarrow$
($\forall$ *I*. *total-over-m I* $\{\chi'\}$ $\longrightarrow$ *total-over-m I* $\{\chi\}$)
$\wedge$ ($\forall$ *I*. *total-over-m I* $\{\chi\}$ $\longrightarrow$ $I \models \chi$ $\longrightarrow$ $I \models \chi'$)

lemma *subsumes-refl*[*simp*]:
subsumes χ χ
unfolding *subsumes-def* **by** *auto*

lemma *subsumes-subsumption*:
assumes *subsumes D* χ
and $C \subset\# D$ **and** $\neg$*tautology* χ
shows *subsumes C* χ **unfolding** *subsumes-def*
using *assms subsumption-total-over-m subsumption-chained* **unfolding** *subsumes-def*
by (*blast intro*!: *subset-mset.less-imp-le*)

lemma *subsumes-tautology*:
assumes *subsumes* (C + {#*Pos P*#} + {#*Neg P*#}) χ
shows *tautology* χ
using *assms* **unfolding** *subsumes-def* **by** (*simp add*: *tautology-def*)

12.3 Inference Rule

type-synonym *'v state* = *'v clauses* $\times$ (*'v clause* $\times$ *'v clause*) *set*
inductive *inference-clause* :: *'v state* $\Rightarrow$ *'v clause* $\times$ (*'v clause* $\times$ *'v clause*) *set* $\Rightarrow$ *bool*
(**infix** $\Rightarrow_{\mathrm{Res}}$ *100*) **where**
resolution:
{#*Pos p*#} + $C \in N$ $\Longrightarrow$ {#*Neg p*#} + $D \in N$ $\Longrightarrow$ ({#*Pos p*#} + C, {#*Neg p*#} + D) $\notin$ *already-used*
$\Longrightarrow$ *inference-clause* (N, *already-used*) ($C + D$, *already-used* $\cup$ {({#*Pos p*#} + C, {#*Neg p*#} + D)}) |
factoring: {#*L*#} + {#*L*#} + $C \in N$ $\Longrightarrow$ *inference-clause* (N, *already-used*) (C + {#*L*#}, *already-used*)

inductive *inference* :: *'v state* $\Rightarrow$ *'v state* $\Rightarrow$ *bool* **where**
inference-step: *inference-clause S* (*clause*, *already-used*)
$\Longrightarrow$ *inference S* (*fst S* $\cup$ {*clause*}, *already-used*)

abbreviation *already-used-inv*
:: *'a literal multiset set* $\times$ (*'a literal multiset* $\times$ *'a literal multiset*) *set* $\Rightarrow$ *bool* **where**
already-used-inv state $\equiv$
($\forall$ (A, B) $\in$ *snd state*. $\exists$ *p*. *Pos p* $\in\#$ A $\wedge$ *Neg p* $\in\#$ B $\wedge$
(($\exists \chi \in$ *fst state*. *subsumes* χ ((A − {#*Pos p*#}) + (B − {#*Neg p*#})))
$\vee$ *tautology* ((A − {#*Pos p*#}) + (B − {#*Neg p*#}))))

```
lemma inference-clause-preserves-already-used-inv:
  assumes inference-clause S S′
  and already-used-inv S
  shows already-used-inv (fst S ∪ {fst S′}, snd S′)
  using assms apply (induct rule: inference-clause.induct)
  by fastforce+

lemma inference-preserves-already-used-inv:
  assumes inference S S′
  and already-used-inv S
  shows already-used-inv S′
  using assms
proof (induct rule: inference.induct)
  case (inference-step S clause already-used)
  then show ?case
    using inference-clause-preserves-already-used-inv[of S (clause, already-used)] by simp
qed

lemma rtranclp-inference-preserves-already-used-inv:
  assumes rtranclp inference S S′
  and already-used-inv S
  shows already-used-inv S′
  using assms apply (induct rule: rtranclp-induct, simp)
  using inference-preserves-already-used-inv unfolding tautology-def by fast

lemma subsumes-condensation:
  assumes subsumes (C + {#L#} + {#L#}) D
  shows subsumes (C + {#L#}) D
  using assms unfolding subsumes-def by simp

lemma simplify-preserves-already-used-inv:
  assumes simplify N N′
  and already-used-inv (N, already-used)
  shows already-used-inv (N′, already-used)
  using assms
proof (induct rule: simplify.induct)
  case (condensation C L)
  then show ?case
    using subsumes-condensation by simp fast
next
  {
     fix a:: ′a and A :: ′a set and P
     have (∃ x ∈ Set.remove a A. P x) ⟷ (∃ x ∈ A. x ≠ a ∧ P x) by auto
  } note ex-member-remove = this
  {
    fix a a0 :: ′v clause and A :: ′v clauses and  y
    assume a ∈ A and a0 ⊂# a
    then have (∃ x ∈ A. subsumes x y) ⟷ (subsumes a y  ∨ (∃ x ∈ A. x ≠ a ∧ subsumes x y))
      by auto
  } note tt2 = this
  case (subsumption A B) note A = this(1) and AB = this(2) and B = this(3) and inv = this(4)
  show ?case
    proof (standard, standard)
      fix x a b
      assume x: x ∈ snd (N − {B}, already-used) and [simp]: x = (a, b)
      obtain p where p: Pos p ∈# a ∧ Neg p ∈# b and
        q: (∃χ∈N. subsumes χ (a − {#Pos p#} + (b − {#Neg p#})))
          ∨ tautology (a − {#Pos p#} + (b − {#Neg p#}))
        using inv x by fastforce
      consider (taut) tautology (a − {#Pos p#} + (b − {#Neg p#})) |
        (χ) χ where χ ∈ N subsumes χ (a − {#Pos p#} + (b − {#Neg p#}))
          ¬tautology (a − {#Pos p#} + (b − {#Neg p#}))
        using q by auto
      then show
        ∃p. Pos p ∈# a ∧ Neg p ∈# b
            ∧ ((∃χ∈fst (N − {B}, already-used). subsumes χ (a − {#Pos p#} + (b − {#Neg p#})))
                ∨ tautology (a − {#Pos p#} + (b − {#Neg p#})))
        proof cases
          case taut
          then show ?thesis using p by auto
        next
          case χ note H = this
          show ?thesis using p A AB B  subsumes-subsumption[OF - AB H(3)] H(1,2) by auto
        qed
    qed
next
  case (tautology-deletion C P)
  then show ?case apply clarify
  proof −
    fix a b
    assume C + {#Pos P#} + {#Neg P#} ∈ N
    assume already-used-inv (N, already-used)
    and (a, b) ∈ snd (N − {C + {#Pos P#} + {#Neg P#}}, already-used)
    then obtain p where
      Pos p ∈# a ∧ Neg p ∈# b ∧
        ((∃χ∈fst (N ∪ {C + {#Pos P#} + {#Neg P#}}, already-used).
            subsumes χ (a − {#Pos p#} + (b − {#Neg p#})))
          ∨ tautology (a − {#Pos p#} + (b − {#Neg p#})))
      by fastforce
    moreover have tautology (C + {#Pos P#} + {#Neg P#}) by auto
    ultimately show
      ∃p. Pos p ∈# a ∧ Neg p ∈# b
      ∧ ((∃χ∈fst (N − {C + {#Pos P#} + {#Neg P#}}, already-used).
            subsumes χ (a − {#Pos p#} + (b − {#Neg p#})))
          ∨ tautology (a − {#Pos p#} + (b − {#Neg p#})))
      by (metis (no-types) Diff-iff Un-insert-right empty-iff fst-conv insertE subsumes-tautology
        sup-bot.right-neutral)
  qed
qed


lemma
  factoring-satisfiable: I ⊨ {#L#} + {#L#} + C ⟷ I ⊨ {#L#} + C and
  resolution-satisfiable:
    consistent-interp I ⟹ I ⊨ {#Pos p#} + C ⟹ I ⊨ {#Neg p#} + D ⟹ I ⊨ C + D and
    factoring-same-vars: atms-of ({#L#} + {#L#} + C) = atms-of ({#L#} + C)
  unfolding true-cls-def consistent-interp-def by (fastforce split: split-if-asm)+

lemma inference-increasing:
```

assumes *inference S S'* **and** $\psi \in$ *fst S*
shows $\psi \in$ *fst S'*
using *assms* **by** (*induct rule*: *inference.induct*, *auto*)

lemma *rtranclp-inference-increasing*:
assumes *rtranclp inference S S'* **and** $\psi \in$ *fst S*
shows $\psi \in$ *fst S'*
using *assms* **by** (*induct rule*: *rtranclp-induct*, *auto simp add*: *inference-increasing*)

lemma *inference-clause-already-used-increasing*:
assumes *inference-clause S S'*
shows *snd S* $\subseteq$ *snd S'*
using *assms* **by** (*induct rule*:*inference-clause.induct*, *auto*)

lemma *inference-already-used-increasing*:
assumes *inference S S'*
shows *snd S* $\subseteq$ *snd S'*
using *assms* **apply** (*induct rule*:*inference.induct*)
using *inference-clause-already-used-increasing* **by** *fastforce*

lemma *inference-clause-preserves-un-sat*:
fixes *N N'* :: *'v clauses*
assumes *inference-clause T T'*
and *total-over-m I* (*fst T*)
and *consistent*: *consistent-interp I*
shows *I* $\models$*s fst T* $\longleftrightarrow$ *I* $\models$*s fst T* $\cup$ {*fst T'*}
using *assms* **apply** (*induct rule*: *inference-clause.induct*)
unfolding *consistent-interp-def true-clss-def* **by** *auto force+*

lemma *inference-preserves-un-sat*:
fixes *N N'* :: *'v clauses*
assumes *inference T T'*
and *total-over-m I* (*fst T*)
and *consistent*: *consistent-interp I*
shows *I* $\models$*s fst T* $\longleftrightarrow$ *I* $\models$*s fst T'*
using *assms* **apply** (*induct rule*: *inference.induct*)
using *inference-clause-preserves-un-sat* **by** *fastforce*

lemma *inference-clause-preserves-atms-of-ms*:
assumes *inference-clause S S'*
shows *atms-of-ms* (*fst* (*fst S* $\cup$ {*fst S'*}, *snd S'*)) $\subseteq$ *atms-of-ms* (*fst S*)
using *assms* **apply** (*induct rule*: *inference-clause.induct*)
 apply *auto*
   apply (*metis Set.set-insert UnCI atms-of-ms-insert atms-of-plus*)
  apply (*metis Set.set-insert UnCI atms-of-ms-insert atms-of-plus*)
 apply (*simp add*: *in-m-in-literals union-assoc*)
unfolding *atms-of-ms-def* **using** *assms* **by** *fastforce*

lemma *inference-preserves-atms-of-ms*:
fixes *N N'* :: *'v clauses*
assumes *inference T T'*
shows *atms-of-ms* (*fst T'*) $\subseteq$ *atms-of-ms* (*fst T*)
using *assms* **apply** (*induct rule*: *inference.induct*)

  using *inference-clause-preserves-atms-of-ms* **by** *fastforce*

lemma *inference-preserves-total*:
  fixes *N N′* :: *′v clauses*
  assumes *inference* (*N*, *already-used*) (*N′*, *already-used′*)
  shows *total-over-m I N* $\Longrightarrow$ *total-over-m I N′*
    using *assms inference-preserves-atms-of-ms* **unfolding** *total-over-m-def total-over-set-def*
    by *fastforce*

lemma *rtranclp-inference-preserves-total*:
  assumes *rtranclp inference T T′*
  shows *total-over-m I* (*fst T*) $\Longrightarrow$ *total-over-m I* (*fst T′*)
  using *assms* **by** (*induct rule*: *rtranclp-induct*, *auto simp add*: *inference-preserves-total*)

lemma *rtranclp-inference-preserves-un-sat*:
  assumes *rtranclp inference N N′*
  and *total-over-m I* (*fst N*)
  and *consistent*: *consistent-interp I*
  shows *I* $\models$*s fst N* $\longleftrightarrow$ *I* $\models$*s fst N′*
  using *assms* **apply** (*induct rule*: *rtranclp-induct*)
  apply (*simp add*: *inference-preserves-un-sat*)
  using *inference-preserves-un-sat rtranclp-inference-preserves-total* **by** *blast*

lemma *inference-preserves-finite*:
  assumes *inference* ψ ψ' **and** *finite* (*fst* ψ)
  shows *finite* (*fst* ψ')
  using *assms* **by** (*induct rule*: *inference.induct*, *auto simp add*: *simplify-preserves-finite*)

lemma *inference-clause-preserves-finite-snd*:
  assumes *inference-clause* ψ ψ' **and** *finite* (*snd* ψ)
  shows *finite* (*snd* ψ')
  using *assms* **by** (*induct rule*: *inference-clause.induct*, *auto*)

lemma *inference-preserves-finite-snd*:
  assumes *inference* ψ ψ' **and** *finite* (*snd* ψ)
  shows *finite* (*snd* ψ')
  using *assms inference-clause-preserves-finite-snd* **by** (*induct rule*: *inference.induct*, *fastforce*)

lemma *rtranclp-inference-preserves-finite*:
  assumes *rtranclp inference* ψ ψ' **and** *finite* (*fst* ψ)
  shows *finite* (*fst* ψ')
  using *assms* **by** (*induct rule*: *rtranclp-induct*)
    (*auto simp add*: *simplify-preserves-finite inference-preserves-finite*)

lemma *consistent-interp-insert*:
  assumes *consistent-interp I*
  and *atm-of P* $\notin$ *atm-of ‘ I*
  shows *consistent-interp* (*insert P I*)
proof −
  have *P*: *insert P I* = *I* $\cup$ $\{P\}$ **by** *auto*
  show *?thesis* **unfolding** *P*

apply (*rule consistent-interp-disjoint*)
using *assms* **by** (*auto simp add*: *atms-of-s-def*)
qed

lemma *simplify-clause-preserves-sat*:
assumes *simp*: *simplify* ψ ψ'
and *satisfiable* ψ'
shows *satisfiable* ψ
using *assms*
proof *induction*
case (*tautology-deletion A P*) **note** AP = *this*(*1*) **and** *sat* = *this*(*2*)
let $?A' = A + \{\#Pos\ P\#\} + \{\#Neg\ P\#\}$
let $?\psi' = \psi - \{?A'\}$
obtain I **where**
I: $I \models s\ ?\psi'$ **and**
cons: *consistent-interp* I **and**
tot: *total-over-m* $I\ ?\psi'$
using *sat* **unfolding** *satisfiable-def* **by** *auto*
{ assume $Pos\ P \in I \lor Neg\ P \in I$
then have $I \models\ ?A'$ **by** *auto*
then have $I \models s\ \psi$ **using** I **by** (*metis insert-Diff tautology-deletion.hyps true-clss-insert*)
then have *?case* **using** *cons tot* **by** *auto*
}
moreover {
assume *Pos*: $Pos\ P \notin I$ **and** *Neg*: $Neg\ P \notin I$
then have *consistent-interp* $(I \cup \{Pos\ P\})$ **using** *cons* **by** *simp*
moreover have $I'A$: $I \cup \{Pos\ P\} \models\ ?A'$ **by** *auto*
have $\{Pos\ P\} \cup I \models s\ \psi - \{A + \{\#Pos\ P\#\} + \{\#Neg\ P\#\}\}$
using ‹$I \models s\ \psi - \{A + \{\#Pos\ P\#\} + \{\#Neg\ P\#\}\}$› *true-clss-union-increase'* **by** *blast*
then have $I \cup \{Pos\ P\} \models s\ \psi$
by (*metis* (*no-types*) *Un-empty-right Un-insert-left Un-insert-right I'A insert-Diff sup-bot.left-neutral tautology-deletion.hyps true-clss-insert*)
ultimately have *?case* **using** *satisfiable-carac'* **by** *blast*
}
ultimately show *?case* **by** *blast*
next
case (*condensation A L*) **note** AL = *this*(*1*) **and** *sat* = *this*(*2*)
have *f3*: *simplify* ψ $(\psi - \{A + \{\#L\#\} + \{\#L\#\}\} \cup \{A + \{\#L\#\}\})$
using *AL simplify.condensation* **by** *blast*
obtain LL :: *'a literal multiset set* $\Rightarrow$ *'a literal set* **where**
f4: $LL\ (\psi - \{A + \{\#L\#\} + \{\#L\#\}\} \cup \{A + \{\#L\#\}\}) \models s\ \psi - \{A + \{\#L\#\} + \{\#L\#\}\} \cup \{A + \{\#L\#\}\}$
$\land$ *consistent-interp* $(LL\ (\psi - \{A + \{\#L\#\} + \{\#L\#\}\} \cup \{A + \{\#L\#\}\}))$
$\land$ *total-over-m* $(LL\ (\psi - \{A + \{\#L\#\} + \{\#L\#\}\}$
$\cup \{A + \{\#L\#\}\}))\ (\psi - \{A + \{\#L\#\} + \{\#L\#\}\} \cup \{A + \{\#L\#\}\})$
using *sat* **by** (*meson satisfiable-def*)
have *f5*: *insert* $(A + \{\#L\#\} + \{\#L\#\})\ (\psi - \{A + \{\#L\#\} + \{\#L\#\}\}) = \psi$
using *AL* **by** *fastforce*
have *atms-of* $(A + \{\#L\#\} + \{\#L\#\})$ = *atms-of* $(\{\#L\#\} + A)$
by *simp*
then show *?case*
using *f5 f4 f3* **by** (*metis* (*no-types*) *add.commute satisfiable-def simplify-preserves-un-sat' total-over-m-insert total-over-m-union*)
next
case (*subsumption A B*) **note** A = *this*(*1*) **and** AB = *this*(*2*) **and** B = *this*(*3*) **and** *sat* = *this*(*4*)

let *?ψ′* = *ψ* − {*B*}
obtain *I* **where** *I*: *I* ⊨*s* *?ψ′* **and** *cons*: *consistent-interp I* **and** *tot*: *total-over-m I ?ψ′*
  using *sat* **unfolding** *satisfiable-def* **by** *auto*
have *I* ⊨ *A* **using** *A I* **by** (*metis AB Diff-iff subset-mset.less-irrefl singletonD true-clss-def*)
then have *I* ⊨ *B* **using** *AB subset-mset.less-imp-le true-cls-mono-leD* **by** *blast*
then have *I* ⊨*s* *ψ* **using** *I* **by** (*metis insert-Diff-single true-clss-insert*)
then show *?case* **using** *cons satisfiable-carac′* **by** *blast*
qed

lemma *simplify-preserves-unsat*:
  assumes *inference ψ ψ′*
  shows *satisfiable* (*fst ψ′*) ⟶ *satisfiable* (*fst ψ*)
  using *assms* **apply** (*induct rule*: *inference.induct*)
  using *satisfiable-decreasing* **by** (*metis fst-conv*)+

lemma *inference-preserves-unsat*:
  assumes $inference^{**}$ *S S′*
  shows *satisfiable* (*fst S′*) ⟶ *satisfiable* (*fst S*)
  using *assms* **apply** (*induct rule*: *rtranclp-induct*)
  apply *simp-all*
  using *simplify-preserves-unsat* **by** *blast*

datatype *′v sem-tree* = *Node ′v ′v sem-tree ′v sem-tree* | *Leaf*

fun *sem-tree-size* :: *′v sem-tree* ⇒ *nat* **where**
sem-tree-size Leaf = *0* |
sem-tree-size (*Node - ag ad*) = *1* + *sem-tree-size ag* + *sem-tree-size ad*

lemma *sem-tree-size*[*case-names bigger*]:
  (⋀*xs*:: *′v sem-tree*. (⋀*ys*:: *′v sem-tree*. *sem-tree-size ys* < *sem-tree-size xs* ⟹ *P ys*) ⟹ *P xs*)
  ⟹ *P xs*
  by (*fact Nat.measure-induct-rule*)

fun *partial-interps* :: *′v sem-tree* ⇒ *′v interp* ⇒ *′v clauses* ⇒ *bool* **where**
partial-interps Leaf I ψ = (∃ *χ*. ¬ *I* ⊨ *χ* ∧ *χ* ∈ *ψ* ∧ *total-over-m I* {*χ*}) |
partial-interps (*Node v ag ad*) *I ψ* ⟷
  (*partial-interps ag* (*I* ∪ {*Pos v*}) *ψ* ∧ *partial-interps ad* (*I*∪ {*Neg v*}) *ψ*)

lemma *simplify-preserve-partial-leaf*:
  simplify N N′ ⟹ *partial-interps Leaf I N* ⟹ *partial-interps Leaf I N′*
  apply (*induct rule*: *simplify.induct*)
    using *union-lcomm* **apply** *auto*[*1*]
   apply (*simp*, *metis atms-of-plus total-over-set-union true-cls-union*)
  apply *simp*
  by (*metis atms-of-ms-singleton mset-le-exists-conv subset-mset-def true-cls-mono-leD*
    total-over-m-def total-over-m-sum)

lemma *simplify-preserve-partial-tree*:
  assumes *simplify N N′*
  and *partial-interps t I N*
  shows *partial-interps t I N′*
  using *assms* **apply** (*induct t arbitrary*: *I*, *simp*)

```
  using simplify-preserve-partial-leaf by metis


lemma inference-preserve-partial-tree:
  assumes inference S S'
  and partial-interps t I (fst S)
  shows partial-interps t I (fst S')
  using assms apply (induct t arbitrary: I, simp-all)
  by (meson inference-increasing)


lemma rtranclp-inference-preserve-partial-tree:
  assumes rtranclp inference N N'
  and partial-interps t I (fst N)
  shows partial-interps t I (fst N')
  using assms apply (induct rule: rtranclp-induct, auto)
  using inference-preserve-partial-tree by force


function build-sem-tree :: 'v :: linorder set ⇒ 'v clauses ⇒ 'v sem-tree where
build-sem-tree atms ψ =
  (if atms = {} ∨ ¬ finite atms
  then Leaf
  else Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms) ψ)
     (build-sem-tree (Set.remove (Min atms) atms) ψ))
by auto
termination
  apply (relation measure (λ(A, -).  card A), simp-all)
  apply (metis Min-in card-Diff1-less remove-def)+
done
declare build-sem-tree.induct[case-names tree]

lemma unsatisfiable-empty[simp]:
  ¬unsatisfiable {}
   unfolding satisfiable-def apply auto
  using consistent-interp-def unfolding total-over-m-def total-over-set-def atms-of-ms-def by blast

lemma partial-interps-build-sem-tree-atms-general:
  fixes ψ :: 'v :: linorder clauses and p :: 'v literal list
  assumes unsat: unsatisfiable ψ and finite ψ and consistent-interp I
  and finite atms
  and atms-of-ms ψ = atms ∪ atms-of-s I and atms ∩ atms-of-s I = {}
  shows partial-interps (build-sem-tree atms ψ) I ψ
  using assms
proof (induct arbitrary: I rule: build-sem-tree.induct)
  case (1 atms ψ Ia) note IH1 = this(1) and IH2 = this(2) and unsat = this(3) and finite = this(4)
    and cons = this(5)  and f = this(6) and un = this(7) and disj = this(8)
  {
    assume atms: atms = {}
    then have atmsIa: atms-of-ms ψ = atms-of-s Ia using un by auto
    then have total-over-m Ia ψ unfolding total-over-m-def atmsIa by auto
    then have χ: ∃ χ ∈ ψ. ¬ Ia ⊨ χ
      using unsat cons unfolding true-clss-def satisfiable-def by auto
    then have build-sem-tree atms ψ = Leaf using atms by auto
    moreover
```

```
      have tot: ⋀χ. χ ∈ ψ ⟹ total-over-m Ia {χ}
      unfolding total-over-m-def total-over-set-def atms-of-ms-def atms-of-s-def
      using atmsIa atms-of-ms-def by fastforce
    have partial-interps Leaf Ia ψ
      using χ tot by (auto simp add: total-over-m-def total-over-set-def atms-of-ms-def)

      ultimately have ?case by metis
  }
  moreover {
    assume atms: atms ≠ {}
    have build-sem-tree atms ψ = Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms) ψ)
       (build-sem-tree (Set.remove (Min atms) atms) ψ)
      using build-sem-tree.simps[of atms ψ] f atms by metis

    have consistent-interp (Ia ∪ {Pos (Min atms)}) unfolding consistent-interp-def
      by (metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff
        f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
        uminus-Neg uminus-Pos)
    moreover have atms-of-ms ψ = Set.remove (Min atms) atms ∪ atms-of-s (Ia ∪ {Pos (Min atms)})
      using Min-in atms f un by fastforce
    moreover have disj': Set.remove (Min atms) atms ∩ atms-of-s (Ia ∪ {Pos (Min atms)}) = {}
      by simp (metis disj disjoint-iff-not-equal member-remove)
    moreover have finite (Set.remove (Min atms) atms) using f by (simp add: remove-def)
    ultimately have subtree1: partial-interps (build-sem-tree (Set.remove (Min atms) atms) ψ)
        (Ia ∪ {Pos (Min atms)}) ψ
      using IH1[of Ia ∪ {Pos (Min (atms))}] atms f unsat finite by metis

    have consistent-interp (Ia ∪ {Neg (Min atms)}) unfolding consistent-interp-def
      by (metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff
        f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
        uminus-Neg)
    moreover have atms-of-ms ψ = Set.remove (Min atms) atms ∪ atms-of-s (Ia ∪ {Neg (Min atms)})
       using ‹atms-of-ms ψ = Set.remove (Min atms) atms ∪ atms-of-s (Ia ∪ {Pos (Min atms)})› by
blast

    moreover have disj': Set.remove (Min atms) atms ∩ atms-of-s (Ia ∪ {Neg (Min atms)}) = {}
      using disj by auto
    moreover have finite (Set.remove (Min atms) atms) using f by (simp add: remove-def)
    ultimately have subtree2: partial-interps (build-sem-tree (Set.remove (Min atms) atms) ψ)
        (Ia ∪ {Neg (Min atms)}) ψ
      using IH2[of Ia ∪ {Neg (Min (atms))}]  atms f unsat finite by metis

    then have ?case
      using IH1 subtree1 subtree2 f local.finite unsat atms by simp
  }
  ultimately show ?case by metis
qed


lemma partial-interps-build-sem-tree-atms:
  fixes ψ :: 'v :: linorder clauses and p :: 'v literal list
  assumes unsat: unsatisfiable ψ and finite: finite ψ
  shows partial-interps (build-sem-tree (atms-of-ms ψ) ψ) {} ψ
proof –
  have consistent-interp {} unfolding consistent-interp-def by auto
```

moreover have *atms-of-ms* ψ = *atms-of-ms* ψ ∪ *atms-of-s* {} **unfolding** *atms-of-s-def* **by** *auto*
moreover have *atms-of-ms* ψ ∩ *atms-of-s* {} = {} **unfolding** *atms-of-s-def* **by** *auto*
moreover have *finite* (*atms-of-ms* ψ) **unfolding** *atms-of-ms-def* **using** *finite* **by** *simp*
ultimately show *partial-interps* (*build-sem-tree* (*atms-of-ms* ψ) ψ) {} ψ
  using *partial-interps-build-sem-tree-atms-general*[*of* ψ {} *atms-of-ms* ψ] *assms* **by** *metis*
qed

lemma *can-decrease-count*:
fixes ψ'' :: *'v clauses* × (*'v clause* × *'v clause* × *'v*) *set*
assumes *count* χ L = n
and L ∈# χ **and** $\chi \in$ *fst* ψ
shows $\exists\psi'\ \chi'$. *inference*** $\psi\ \psi' \wedge \chi' \in$ *fst* $\psi' \wedge (\forall L.\ L \in\#\ \chi \longleftrightarrow L \in\#\ \chi')$
  ∧ *count* χ' L = *1*
  ∧ $(\forall\varphi.\ \varphi \in$ *fst* $\psi \longrightarrow \varphi \in$ *fst* $\psi')$
  ∧ $(I \models \chi \longleftrightarrow I \models \chi')$
  ∧ $(\forall I'.$ *total-over-m* I' $\{\chi\} \longrightarrow$ *total-over-m* I' $\{\chi'\})$
using *assms*
proof (*induct n arbitrary*: $\chi\ \psi$)
case *0*
then show *?case* **by** *simp*
next
 case (*Suc n* χ)
 note *IH* = *this*(*1*) **and** *count* = *this*(*2*) **and** *L* = *this*(*3*) **and** χ = *this*(*4*)
 {
  assume n = *0*
  then have *inference*** $\psi\ \psi$
  and $\chi \in$ *fst* ψ
  and $\forall L.\ (L \in\#\ \chi) \longleftrightarrow (L \in\#\ \chi)$
  and *count* χ L = (*1*::*nat*)
  and $\forall\varphi.\ \varphi \in$ *fst* $\psi \longrightarrow \varphi \in$ *fst* ψ
   by (*auto simp add*: *count L* χ)
  then have *?case* **by** *metis*
 }
 moreover {
  assume $n > 0$
  then have $\exists C.\ \chi = C$ + {#*L*, *L*#}
   by (*metis L One-nat-def add-diff-cancel-right' count-diff count-single diff-Suc-Suc diff-zero local.count multi-member-split union-assoc*)
  then obtain *C* **where** *C*: $\chi = C$ + {#*L*, *L*#} **by** *metis*
  let $?\chi' = C$ +{#*L*#}
  let $?\psi'$ = (*fst* ψ ∪ {$?\chi'$}, *snd* ψ)
  have φ: $\forall\varphi \in$ *fst* ψ. $(\varphi \in$ *fst* $\psi \vee \varphi \neq ?\chi') \longleftrightarrow \varphi \in$ *fst* $?\psi'$ **unfolding** *C* **by** *auto*
  have *inf*: *inference* ψ $?\psi'$
   using *C factoring* χ *prod.collapse union-commute inference-step* **by** *metis*
  moreover have *count'*: *count* $?\chi'$ L = n **using** *C count* **by** *auto*
  moreover have $L\chi'$: L :# $?\chi'$ **by** *auto*
  moreover have $\chi'\psi'$: $?\chi' \in$ *fst* $?\psi'$ **by** *auto*
  ultimately obtain ψ'' **and** χ''
  where
   *inference*** $?\psi'\ \psi''$ **and**
   α: $\chi'' \in$ *fst* ψ'' **and**
   $\forall La.\ (La \in\#\ ?\chi') \longleftrightarrow (La \in\#\ \chi'')$ **and**
   β: *count* χ'' L = (*1*::*nat*) **and**
   φ': $\forall\varphi.\ \varphi \in$ *fst* $?\psi' \longrightarrow \varphi \in$ *fst* ψ'' **and**
   $I\chi$: $I \models ?\chi' \longleftrightarrow I \models \chi''$ **and**

```
      tot: ∀I'. total-over-m I' {?χ'} ⟶ total-over-m I' {χ''}
      using IH[of ?χ' ?ψ'] count' Lχ' χ'ψ' by blast

    then have inference** ψ ψ''
    and ∀La. (La ∈# χ) ⟷ (La ∈# χ'')
    using inf unfolding C by auto
    moreover have ∀φ. φ ∈ fst ψ ⟶ φ ∈ fst ψ'' using φ φ' by metis
    moreover have I ⊨ χ ⟷ I ⊨ χ'' using Iχ unfolding true-cls-def C by auto
    moreover have ∀I'. total-over-m I' {χ} ⟶ total-over-m I' {χ''}
      using tot unfolding C total-over-m-def by auto
    ultimately have ?case using φ φ' α β  by metis
  }
  ultimately show ?case by auto
qed

lemma can-decrease-tree-size:
  fixes ψ :: 'v state and tree :: 'v sem-tree
  assumes finite (fst ψ)  and already-used-inv ψ
  and partial-interps tree I (fst ψ)
  shows ∃(tree':: 'v sem-tree) ψ'. inference** ψ ψ' ∧ partial-interps tree' I (fst ψ')
          ∧ (sem-tree-size tree' < sem-tree-size tree ∨ sem-tree-size tree = 0)
  using assms
proof (induct arbitrary: I rule: sem-tree-size)
  case (bigger xs I) note IH = this(1) and finite = this(2) and a-u-i = this(3) and part = this(4)

  {
    assume sem-tree-size xs = 0
    then have ?case using part by blast
  }

  moreover {
    assume sn0: sem-tree-size xs > 0
    obtain ag ad v where xs: xs = Node v ag ad using sn0 by (cases xs, auto)
    {
      assume sem-tree-size ag = 0 and sem-tree-size ad = 0
      then have ag: ag = Leaf and ad: ad = Leaf by (cases ag,  auto) (cases ad,  auto)

      then obtain χ χ' where
        χ: ¬ I ∪ {Pos v} ⊨ χ and
        totχ: total-over-m (I ∪ {Pos v}) {χ} and
        χψ: χ ∈ fst ψ and
        χ': ¬ I ∪ {Neg v} ⊨ χ'  and
        totχ': total-over-m (I ∪ {Neg v}) {χ'} and
        χ'ψ: χ' ∈ fst ψ
        using part unfolding xs by auto
      have Posv: ¬Pos v ∈# χ using χ unfolding true-cls-def true-lit-def by auto
      have Negv: ¬Neg v ∈# χ' using χ' unfolding true-cls-def true-lit-def by auto
      {
        assume Negχ: ¬Neg v ∈# χ
        have ¬ I ⊨ χ using χ Posv unfolding true-cls-def true-lit-def by auto
        moreover have total-over-m I {χ}
          using Posv Negχ atm-imp-pos-or-neg-lit totχ unfolding total-over-m-def total-over-set-def
          by fastforce
        ultimately have partial-interps Leaf I (fst ψ)
        and sem-tree-size Leaf < sem-tree-size xs
        and inference** ψ ψ
          unfolding xs by (auto simp add: χψ)
      }
      moreover {
        assume Posχ: ¬Pos v ∈# χ'
        then have Iχ: ¬ I ⊨ χ' using χ' Posv unfolding true-cls-def true-lit-def by auto
        moreover have total-over-m I {χ'}
          using Negv Posχ atm-imp-pos-or-neg-lit totχ'
          unfolding total-over-m-def total-over-set-def by fastforce
        ultimately have partial-interps Leaf I (fst ψ) and
          sem-tree-size Leaf < sem-tree-size xs and
          inference** ψ ψ
          using χ'ψ Iχ unfolding xs by auto
      }
      moreover {
        assume neg: Neg v ∈# χ and pos: Pos v ∈# χ'
        then obtain ψ' χ2 where inf: rtranclp inference ψ ψ' and χ2incl: χ2 ∈ fst ψ'
          and χχ2-incl: ∀ L. L :# χ ⟷ L :# χ2
          and countχ2: count χ2 (Neg v) = 1
          and φ: ∀ φ::'v literal multiset. φ ∈ fst ψ ⟶ φ ∈ fst ψ'
          and Iχ: I ⊨ χ ⟷ I ⊨ χ2
          and tot-impχ: ∀ I'. total-over-m I' {χ} ⟶ total-over-m I' {χ2}
          using can-decrease-count[of χ Neg v count χ (Neg v) ψ I] χψ χ'ψ by auto

        have χ' ∈ fst ψ' by (simp add: χ'ψ φ)
        with pos
        obtain ψ'' χ2' where
        inf': inference** ψ' ψ''
        and χ2'-incl: χ2' ∈ fst ψ''
        and χ'χ2-incl: ∀ L::'v literal. (L ∈# χ') = (L ∈# χ2')
        and countχ2': count χ2' (Pos v) = (1::nat)
        and φ': ∀ φ::'v literal multiset. φ ∈ fst ψ' ⟶ φ ∈ fst ψ''
        and Iχ': I ⊨ χ' ⟷ I ⊨ χ2'
        and tot-impχ': ∀ I'. total-over-m I' {χ'} ⟶ total-over-m I' {χ2'}
        using can-decrease-count[of χ' Pos v  count χ' (Pos v) ψ' I] by auto

        obtain C where χ2: χ2 = C + {#Neg v#} and negC: Neg v ∉# C and posC: Pos v ∉# C
          by (metis (no-types, lifting) One-nat-def Posv Suc-inject Suc-pred χχ2-incl countχ2
            count-diff count-single gr0I insert-DiffM insert-DiffM2 multi-member-skip
            old.nat.distinct(2))

        obtain C' where
          χ2': χ2' = C' + {#Pos v#} and
          posC': Pos v ∉# C' and
          negC': Neg v ∉# C'
          proof −
            assume a1: ⋀C'. ⟦χ2' = C' + {#Pos v#}; Pos v ∉# C'; Neg v ∉# C'⟧ ⟹ thesis
            have f2: ⋀n. (n::nat) − n = 0
              by simp
            have Neg v ∉# χ2' − {#Pos v#}
              using Negv χ'χ2-incl by auto
            then show ?thesis
              using f2 a1 by (metis add.commute countχ2' count-diff count-single insert-DiffM
                less-nat-zero-code zero-less-one)
          qed
```

```
have already-used-inv ψ'
  using rtranclp-inference-preserves-already-used-inv[of ψ ψ'] a-u-i inf by blast
then have a-u-i-ψ'': already-used-inv ψ''
  using rtranclp-inference-preserves-already-used-inv a-u-i inf' unfolding tautology-def
  by simp

have totC: total-over-m I {C}
  using tot-impχ totχ tot-over-m-remove[of I Pos v C] negC posC unfolding χ2
  by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
have totC': total-over-m I {C'}
  using tot-impχ' totχ' total-over-m-sum tot-over-m-remove[of I Neg v C'] negC' posC'
  unfolding χ2' by (metis total-over-m-sum uminus-Neg)
have ¬ I ⊨ C + C'
  using χ Iχ χ' Iχ' unfolding χ2 χ2' true-cls-def Bex-mset-def
  by (metis add-gr-0 count-union true-cls-singleton true-cls-union-increase)
then have part-I-ψ''': partial-interps Leaf I (fst ψ'' ∪ {C + C'})
  using totC totC' by simp
    (metis ‹¬ I ⊨ C + C'› atms-of-ms-singleton total-over-m-def total-over-m-sum)
{
  assume ({#Pos v#} + C', {#Neg v#} + C) ∉ snd ψ''
  then have inf'': inference ψ'' (fst ψ'' ∪ {C + C'}, snd ψ'' ∪ {(χ2', χ2)})
    using add.commute φ' χ2incl ‹χ2' ∈ fst ψ''› unfolding χ2 χ2'
    by (metis prod.collapse inference-step resolution)
  have inference** ψ (fst ψ'' ∪ {C + C'}, snd ψ'' ∪ {(χ2', χ2)})
    using inf inf' inf'' rtranclp-trans by auto
  moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
  ultimately have ?case using part-I-ψ''' by (metis fst-conv)
}
moreover {
  assume a: ({#Pos v#} + C', {#Neg v#} + C) ∈ snd ψ''
  then have (∃χ ∈ fst ψ''. (∀I. total-over-m I {C+C'} ⟶ total-over-m I {χ})
          ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ C' + C))
        ∨ tautology (C' + C)
    proof –
      obtain p where p: Pos p ∈# ({#Pos v#} + C') and
      n: Neg p ∈# ({#Neg v#} + C) and
      decomp: ((∃χ∈fst ψ''.
                (∀I. total-over-m I {({#Pos v#} + C') – {#Pos p#}
                        + (({#Neg v#} + C) – {#Neg p#})}
                   ⟶ total-over-m I {χ})
                ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ
                ⟶ I ⊨ ({#Pos v#} + C') – {#Pos p#} + (({#Neg v#} + C) – {#Neg p#}))
               )
          ∨ tautology (({#Pos v#} + C') – {#Pos p#} + (({#Neg v#} + C) – {#Neg p#})))
        using a by (blast intro: allE[OF a-u-i-ψ''[unfolded subsumes-def Ball-def],
            of ({#Pos v#} + C', {#Neg v#} + C)])
      { assume p ≠ v
        then have Pos p ∈# C' ∧ Neg p ∈# C using p n by force
        then have ?thesis by (metis add-gr-0 count-union tautology-Pos-Neg)
      }
      moreover {
        assume p = v
       then have ?thesis using decomp by (metis add.commute add-diff-cancel-left')
      }
```

```
          ultimately show ?thesis by auto
        qed
      moreover {
        assume ∃χ ∈ fst ψ''. (∀I. total-over-m I {C+C'} ⟶ total-over-m I {χ})
          ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ C' + C)
        then obtain ϑ where ϑ: ϑ ∈ fst ψ'' and
          tot-ϑ-CC': ∀I. total-over-m I {C+C'} ⟶ total-over-m I {ϑ} and
          ϑ-inv: ∀I. total-over-m I {ϑ} ⟶ I ⊨ ϑ ⟶ I ⊨ C' + C by blast
        have partial-interps Leaf I (fst ψ'')
          using tot-ϑ-CC' ϑ ϑ-inv totC totC' ‹¬ I ⊨ C + C'› total-over-m-sum by fastforce
        moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
        ultimately have ?case by (metis inf inf' rtranclp-trans)
      }
      moreover {
        assume tautCC': tautology (C' + C)
        have total-over-m I {C'+C} using totC totC' total-over-m-sum by auto
        then have ¬tautology (C' + C)
          using ‹¬ I ⊨ C + C'› unfolding add.commute[of C C'] total-over-m-def
          unfolding tautology-def by auto
        then have False using tautCC'  unfolding tautology-def by auto
      }
      ultimately have ?case by auto
    }
    ultimately have ?case by auto
  }
  ultimately have ?case using part by (metis (no-types) sem-tree-size.simps(1))
}
moreover {
  assume size-ag: sem-tree-size ag > 0
  have sem-tree-size ag < sem-tree-size xs unfolding xs by auto
  moreover have partial-interps ag (I ∪ {Pos v}) (fst ψ)
    and partad: partial-interps ad (I ∪ {Neg v}) (fst ψ)
    using part partial-interps.simps(2) unfolding xs by metis+
  moreover have sem-tree-size ag < sem-tree-size xs ⟶ finite (fst ψ) ⟶ already-used-inv ψ
    ⟶ ( partial-interps ag (I ∪ {Pos v}) (fst ψ) ⟶
    (∃tree' ψ'. inference** ψ ψ' ∧ partial-interps tree' (I ∪ {Pos v}) (fst ψ')
      ∧ (sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0)))
      using IH by auto
  ultimately obtain ψ' :: 'v state and  tree' :: 'v sem-tree  where
    inf: inference** ψ ψ'
    and part: partial-interps tree' (I ∪ {Pos v}) (fst ψ')
    and size: sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0
    using finite part rtranclp.rtrancl-refl a-u-i by blast

  have partial-interps ad (I ∪ {Neg v}) (fst ψ')
    using rtranclp-inference-preserve-partial-tree inf partad by metis
  then have partial-interps (Node v tree' ad) I (fst ψ') using part by auto
  then have ?case using inf size size-ag part unfolding xs by fastforce
}
moreover {
  assume size-ad: sem-tree-size ad > 0
  have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
  moreover have partag: partial-interps ag (I ∪ {Pos v}) (fst ψ) and
    partial-interps ad (I ∪ {Neg v}) (fst ψ)
    using part partial-interps.simps(2) unfolding xs by metis+
      moreover have sem-tree-size ad < sem-tree-size xs ⟶ finite (fst ψ) ⟶ already-used-inv ψ
        ⟶ ( partial-interps ad (I ∪ {Neg v}) (fst ψ)
        ⟶ (∃ tree' ψ'. inference** ψ ψ' ∧ partial-interps tree' (I ∪ {Neg v}) (fst ψ')
           ∧ (sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0)))
        using IH by auto
      ultimately obtain ψ' :: 'v state and  tree' :: 'v sem-tree  where
        inf: inference** ψ ψ'
        and part: partial-interps tree' (I ∪ {Neg v}) (fst ψ')
        and size: sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0
        using finite part  rtranclp.rtrancl-refl a-u-i by blast

      have partial-interps ag (I ∪ {Pos v}) (fst ψ')
        using rtranclp-inference-preserve-partial-tree inf partag by metis
      then have partial-interps (Node v ag tree') I (fst ψ') using part by auto
      then have ?case using inf size size-ad unfolding xs by fastforce
    }
    ultimately have ?case by auto
  }
  ultimately show ?case by auto
qed

lemma inference-completeness-inv:
  fixes ψ :: 'v ::linorder state
  assumes
    unsat: ¬satisfiable (fst ψ) and
    finite: finite (fst ψ) and
    a-u-v: already-used-inv ψ
  shows ∃ψ'. (inference** ψ ψ' ∧ {#} ∈ fst ψ')
proof –
  obtain tree where  partial-interps tree {} (fst ψ)
    using partial-interps-build-sem-tree-atms assms by metis
  then show ?thesis
    using unsat finite a-u-v
    proof (induct tree arbitrary: ψ rule: sem-tree-size)
      case (bigger tree ψ) note H = this
      {
        fix χ
        assume tree: tree = Leaf
        obtain χ where χ: ¬ {} ⊨ χ and totχ: total-over-m {} {χ} and χψ: χ ∈ fst ψ
          using H unfolding tree by auto
        moreover have {#} = χ
          using  totχ unfolding total-over-m-def total-over-set-def by fastforce
        moreover have inference** ψ ψ by auto
        ultimately have ?case by metis
      }
      moreover {
        fix v tree1 tree2
        assume tree: tree = Node v tree1 tree2
        obtain
          tree' ψ' where inf: inference** ψ ψ' and
          part': partial-interps tree' {} (fst ψ') and
          decrease: sem-tree-size tree' < sem-tree-size tree ∨ sem-tree-size tree = 0
          using can-decrease-tree-size[of ψ] H(2,4,5)  unfolding tautology-def by meson
        have sem-tree-size tree' < sem-tree-size tree using decrease unfolding tree by auto
        moreover have finite (fst ψ') using rtranclp-inference-preserves-finite inf H(4) by metis
        moreover have unsatisfiable (fst ψ')
          using inference-preserves-unsat inf bigger.prems(2) by blast
        moreover have already-used-inv ψ'
          using H(5) inf rtranclp-inference-preserves-already-used-inv[of ψ ψ'] by auto
        ultimately have ?case using inf rtranclp-trans part' H(1) by fastforce
      }
      ultimately show ?case by (cases tree, auto)
   qed
qed

lemma inference-completeness:
  fixes ψ :: 'v ::linorder state
  assumes unsat: ¬satisfiable (fst ψ)
  and finite: finite (fst ψ)
  and snd ψ = {}
  shows ∃ψ'. (rtranclp inference ψ ψ' ∧ {#} ∈ fst ψ')
proof -
  have already-used-inv ψ unfolding assms by auto
  then show ?thesis using assms inference-completeness-inv by blast
qed

lemma inference-soundness:
  fixes ψ :: 'v ::linorder state
  assumes rtranclp inference ψ ψ' and {#} ∈ fst ψ'
  shows unsatisfiable (fst ψ)
  using assms by (meson rtranclp-inference-preserves-un-sat satisfiable-def true-cls-empty
    true-clss-def)

lemma inference-soundness-and-completeness:
fixes ψ :: 'v ::linorder state
assumes finite: finite (fst ψ)
and snd ψ = {}
shows (∃ψ'. (inference** ψ ψ' ∧ {#} ∈ fst ψ')) ⟷ unsatisfiable (fst ψ)
  using assms inference-completeness inference-soundness by metis
```

12.4 Lemma about the simplified state

```
abbreviation simplified ψ ≡ (no-step simplify ψ)

lemma simplified-count:
  assumes simp: simplified ψ and χ: χ ∈ ψ
  shows count χ L ≤ 1
proof -
  {
    let ?χ' = χ - {#L, L#}
    assume count χ L ≥ 2
    then have f1: count (χ - {#L, L#} + {#L, L#}) L = count χ L
      by simp
    then have L ∈# χ - {#L#}
      by simp
    then have χ': ?χ' + {#L#} + {#L#} = χ
      using f1 by (metis (no-types) diff-diff-add diff-single-eq-union union-assoc
        union-single-eq-member)
    have ∃ψ'. simplify ψ ψ'
      by (metis (no-types, hide-lams) χ χ' add.commute factoring-imp-simplify union-assoc)
    then have False using simp by auto
  }
  then show ?thesis by arith
qed

lemma simplified-no-both:
  assumes  simp: simplified ψ and χ: χ ∈ ψ
  shows ¬ (L ∈# χ ∧ −L ∈# χ)
proof (rule ccontr)
  assume ¬ ¬ (L ∈# χ ∧ − L ∈# χ)
  then have L ∈# χ ∧ − L ∈# χ by metis
  then obtain  χ' where χ = χ' + {#Pos (atm-of L)#}+ {#Neg (atm-of L)#}
    by (metis Neg-atm-of-iff Pos-atm-of-iff diff-union-swap insert-DiffM2 uminus-Neg uminus-Pos)
  then show False using χ simp tautology-deletion by fastforce
qed

lemma simplified-not-tautology:
  assumes simplified {ψ}
  shows ~tautology ψ
proof (rule ccontr)
  assume ~ ?thesis
  then obtain p where Pos p ∈# ψ ∧ Neg p ∈# ψ using tautology-decomp by metis
  then obtain χ where ψ = χ + {#Pos p#} + {#Neg p#}
    by (metis insert-noteq-member literal.distinct(1) multi-member-split)
  then have ~ simplified {ψ} by (auto intro: tautology-deletion)
  then show False using assms by auto
qed

lemma simplified-remove:
  assumes simplified {ψ}
  shows simplified {ψ − {#l#}}
proof (rule ccontr)
  assume ns: ¬ simplified {ψ − {#l#}}
  {
    assume ¬ l∈# ψ
    then have ψ − {#l#} = ψ by simp
    then have False using ns assms by auto
  }
  moreover {
    assume lψ: l∈# ψ
    have A: ⋀A. A ∈ {ψ − {#l#}} ⟷ A + {#l#} ∈ {ψ}  by (auto simp add: lψ)
    obtain l' where l': simplify {ψ − {#l#}} l' using ns by metis
    then have ∃ l'. simplify {ψ} l'
      proof (induction rule: simplify.induct)
        case (tautology-deletion A P)
        have {#Neg P#} + ({#Pos P#} + (A + {#l#})) ∈ {ψ}
          by (metis (no-types) A add.commute tautology-deletion.hyps union-lcomm)
        then show ?thesis
           by (metis simplify.tautology-deletion[of A+{#l#} P {ψ}] add.commute)
      next
        case (condensation A L)
        have A + {#L#} + {#L#} + {#l#} ∈ {ψ}
          using A condensation.hyps by blast
        then have {#L, L#} + (A + {#l#}) ∈ {ψ}
          by (metis (no-types) union-assoc union-commute)
        then show ?case
        using factoring-imp-simplify by blast
      next
        case (subsumption A B)
        then show ?case by blast
      qed
    then have False using assms(1) by blast
  }
  ultimately show False by auto
qed


lemma in-simplified-simplified:
  assumes simp: simplified ψ and incl: ψ' ⊆ ψ
  shows simplified ψ'
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain ψ'' where simplify ψ' ψ''  by metis
    then have ∃ l'. simplify ψ l'
      proof (induction rule: simplify.induct)
        case (tautology-deletion A P)
        then show ?thesis using simplify.tautology-deletion[of A P ψ] incl by blast
      next
        case (condensation A L)
        then show ?case using simplify.condensation[of A L ψ] incl by blast
      next
        case (subsumption A B)
        then show ?case using simplify.subsumption[of A ψ B] incl by auto
      qed
  then show False using assms(1) by blast
qed

lemma simplified-in:
  assumes simplified ψ
  and N ∈ ψ
  shows simplified {N}
  using assms by (metis Set.set-insert empty-subsetI in-simplified-simplified insert-mono)

lemma subsumes-imp-formula:
  assumes ψ ≤# φ
  shows {ψ} ⊨p φ
  unfolding true-clss-cls-def apply auto
  using assms true-cls-mono-leD by blast

lemma simplified-imp-distinct-mset-tauto:
  assumes simp: simplified ψ'
  shows distinct-mset-set ψ' and  ∀ χ ∈ ψ'. ¬tautology χ
proof -
  show ∀ χ ∈ ψ'. ¬tautology χ
    using simp by (auto simp add: simplified-in simplified-not-tautology)

  show distinct-mset-set ψ'
    proof (rule ccontr)
      assume ¬?thesis
      then obtain χ where χ ∈ ψ' and ¬distinct-mset χ unfolding distinct-mset-set-def by auto
      then obtain L where count χ L ≥ 2
```

      unfolding *distinct-mset-def* **by** (*metis gr-implies-not0 le-antisym less-one not-le simp simplified-count*)
    then show *False* **by** (*metis Suc-1* ‹$\chi \in \psi'$› *not-less-eq-eq simp simplified-count*)
  qed
qed

lemma *simplified-no-more-full1-simplified*:
  assumes *simplified* ψ
  shows $\neg$*full1 simplify* ψ ψ'
  using *assms* **unfolding** *full1-def* **by** (*meson tranclpD*)

12.5 Resolution and Invariants

inductive *resolution* :: *'v state* $\Rightarrow$ *'v state* $\Rightarrow$ *bool* **where**
full1-simp: *full1 simplify N N'* $\Longrightarrow$ *resolution* (*N*, *already-used*) (*N'*, *already-used*) |
inferring: *inference* (*N*, *already-used*) (*N'*, *already-used'*) $\Longrightarrow$ *simplified N*
  $\Longrightarrow$ *full simplify N' N''* $\Longrightarrow$ *resolution* (*N*, *already-used*) (*N''*, *already-used'*)

12.5.1 Invariants

lemma *resolution-finite*:
  assumes *resolution* ψ ψ' **and** *finite* (*fst* ψ)
  shows *finite* (*fst* ψ')
  using *assms* **by** (*induct rule*: *resolution.induct*)
    (*auto simp add*: *full1-def full-def rtranclp-simplify-preserves-finite*
      dest: *tranclp-into-rtranclp inference-preserves-finite*)

lemma *rtranclp-resolution-finite*:
  assumes *resolution*** ψ ψ' **and** *finite* (*fst* ψ)
  shows *finite* (*fst* ψ')
  using *assms* **by** (*induct rule*: *rtranclp-induct*, *auto simp add*: *resolution-finite*)

lemma *resolution-finite-snd*:
  assumes *resolution* ψ ψ' **and** *finite* (*snd* ψ)
  shows *finite* (*snd* ψ')
  using *assms* **apply** (*induct rule*: *resolution.induct*, *auto simp add*: *inference-preserves-finite-snd*)
  using *inference-preserves-finite-snd snd-conv* **by** *metis*

lemma *rtranclp-resolution-finite-snd*:
  assumes *resolution*** ψ ψ' **and** *finite* (*snd* ψ)
  shows *finite* (*snd* ψ')
  using *assms* **by** (*induct rule*: *rtranclp-induct*, *auto simp add*: *resolution-finite-snd*)

lemma *resolution-always-simplified*:
 assumes *resolution* ψ ψ'
 shows *simplified* (*fst* ψ')
 using *assms* **by** (*induct rule*: *resolution.induct*)
   (*auto simp add*: *full1-def full-def*)

lemma *tranclp-resolution-always-simplified*:
  assumes *tranclp resolution* ψ ψ'
  shows *simplified* (*fst* ψ')
  using *assms* **by** (*induct rule*: *tranclp.induct*, *auto simp add*: *resolution-always-simplified*)

lemma *resolution-atms-of*:
  assumes *resolution* ψ ψ' **and** *finite* (*fst* ψ)

shows *atms-of-ms* (*fst* ψ') $\subseteq$ *atms-of-ms* (*fst* ψ)
using *assms* **apply** (*induct rule*: *resolution.induct*)
apply(*simp add*: *rtranclp-simplify-atms-of-ms tranclp-into-rtranclp full1-def*)
by (*metis* (*no-types*, *lifting*) *contra-subsetD fst-conv full-def*
inference-preserves-atms-of-ms rtranclp-simplify-atms-of-ms subsetI)

lemma *rtranclp-resolution-atms-of*:
assumes *resolution*** ψ ψ' **and** *finite* (*fst* ψ)
shows *atms-of-ms* (*fst* ψ') $\subseteq$ *atms-of-ms* (*fst* ψ)
using *assms* **apply** (*induct rule*: *rtranclp-induct*)
using *resolution-atms-of rtranclp-resolution-finite* **by** *blast+*

lemma *resolution-include*:
assumes *res*: *resolution* ψ ψ' **and** *finite*: *finite* (*fst* ψ)
shows *fst* ψ' $\subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))
proof −
have *finite'*: *finite* (*fst* ψ') **using** *local.finite res resolution-finite* **by** *blast*
have *simplified* (*fst* ψ') **using** *res finite' resolution-always-simplified* **by** *blast*
then have *fst* ψ' $\subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ'))
using *simplified-in-simple-clss finite' simplified-imp-distinct-mset-tauto*[*of fst* ψ'] **by** *auto*
moreover have *atms-of-ms* (*fst* ψ') $\subseteq$ *atms-of-ms* (*fst* ψ)
using *res finite resolution-atms-of*[*of* ψ ψ'] **by** *auto*
ultimately show *?thesis* **by** (*meson atms-of-ms-finite local.finite order.trans rev-finite-subset*
simple-clss-mono)
qed

lemma *rtranclp-resolution-include*:
assumes *res*: *tranclp resolution* ψ ψ' **and** *finite*: *finite* (*fst* ψ)
shows *fst* ψ' $\subseteq$ *simple-clss* (*atms-of-ms* (*fst* ψ))
using *assms* **apply** (*induct rule*: *tranclp.induct*)
apply (*simp add*: *resolution-include*)
by (*meson simple-clss-mono order-class.le-trans resolution-include*
rtranclp-resolution-atms-of rtranclp-resolution-finite tranclp-into-rtranclp)

abbreviation *already-used-all-simple*
:: (*'a literal multiset* $\times$ *'a literal multiset*) *set* $\Rightarrow$ *'a set* $\Rightarrow$ *bool* **where**
already-used-all-simple already-used vars $\equiv$
($\forall$ (*A*, *B*) $\in$ *already-used*. *simplified* $\{A\}$ $\wedge$ *simplified* $\{B\}$ $\wedge$ *atms-of A* $\subseteq$ *vars* $\wedge$ *atms-of B* $\subseteq$ *vars*)

lemma *already-used-all-simple-vars-incl*:
assumes *vars* $\subseteq$ *vars'*
shows *already-used-all-simple a vars* $\Longrightarrow$ *already-used-all-simple a vars'*
using *assms* **by** *fast*

lemma *inference-clause-preserves-already-used-all-simple*:
assumes *inference-clause S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *simplified* (*fst S*)
and *atms-of-ms* (*fst S*) $\subseteq$ *vars*
shows *already-used-all-simple* (*snd* (*fst S* $\cup$ $\{$*fst S'*$\}$, *snd S'*)) *vars*
using *assms*
proof (*induct rule*: *inference-clause.induct*)
case (*factoring L C N already-used*)
then show *?case* **by** (*simp add*: *simplified-in factoring-imp-simplify*)
next

```
  case (resolution P C N D already-used) note H = this
  show ?case apply clarify
    proof –
      fix A B v
      assume (A, B) ∈ snd (fst (N, already-used)
        ∪ {fst (C + D, already-used ∪ {({#Pos P#} + C, {#Neg P#} + D)})},
            snd (C + D, already-used ∪ {({#Pos P#} + C, {#Neg P#} + D)}))
      then have (A, B) ∈ already-used ∨ (A, B) = ({#Pos P#} + C, {#Neg P#} + D) by auto
      moreover {
        assume (A, B) ∈ already-used
        then have simplified {A} ∧ simplified {B} ∧ atms-of A ⊆ vars ∧ atms-of B ⊆ vars
          using H(4) by auto
      }
      moreover {
        assume eq: (A, B) = ({#Pos P#} + C, {#Neg P#} + D)
        then have simplified {A} using simplified-in H(1,5) by auto
        moreover have simplified {B} using eq simplified-in H(2,5) by auto
        moreover have atms-of A ⊆ atms-of-ms N
          using eq H(1) atms-of-atms-of-ms-mono[of A N] by auto
        moreover have atms-of B ⊆ atms-of-ms N
          using eq H(2) atms-of-atms-of-ms-mono[of B N] by auto
        ultimately have simplified {A} ∧ simplified {B} ∧ atms-of A ⊆ vars ∧ atms-of B ⊆ vars
          using H(6) by auto
      }
      ultimately show simplified {A} ∧ simplified {B} ∧ atms-of A ⊆ vars ∧ atms-of B ⊆ vars
        by fast
    qed
qed

lemma inference-preserves-already-used-all-simple:
  assumes inference S S′
  and already-used-all-simple (snd S) vars
  and simplified (fst S)
  and atms-of-ms (fst S) ⊆ vars
  shows already-used-all-simple (snd S′) vars
  using assms
proof (induct rule: inference.induct)
  case (inference-step S clause already-used)
  then show ?case
    using inference-clause-preserves-already-used-all-simple[of S (clause, already-used) vars]
    by auto
qed

lemma already-used-all-simple-inv:
  assumes resolution S S′
  and already-used-all-simple (snd S) vars
  and atms-of-ms (fst S) ⊆ vars
  shows already-used-all-simple (snd S′) vars
  using assms
proof (induct rule: resolution.induct)
  case (full1-simp N N′)
  then show ?case by simp
next
  case (inferring N already-used N′ already-used′ N′′)
  then show already-used-all-simple (snd (N′′, already-used′)) vars
```

  using *inference-preserves-already-used-all-simple*[*of* (*N*, *already-used*)] **by** *simp*
qed

lemma *rtranclp-already-used-all-simple-inv*:
 assumes *resolution*** *S S'*
 and *already-used-all-simple* (*snd S*) *vars*
 and *atms-of-ms* (*fst S*) $\subseteq$ *vars*
 and *finite* (*fst S*)
 shows *already-used-all-simple* (*snd S'*) *vars*
 using *assms*
proof (*induct rule*: *rtranclp-induct*)
 case *base*
 then show *?case* **by** *simp*
next
 case (*step S' S''*) **note** *infstar* = *this*(*1*) **and** *IH* = *this*(*3*) **and** *res* = *this*(*2*) **and**
  already = *this*(*4*) **and** *atms* = *this*(*5*) **and** *finite* = *this*(*6*)
 have *already-used-all-simple* (*snd S'*) *vars* **using** *IH already atms finite* **by** *simp*
 moreover have *atms-of-ms* (*fst S'*) $\subseteq$ *atms-of-ms* (*fst S*)
  by (*simp add*: *infstar local.finite rtranclp-resolution-atms-of*)
 then have *atms-of-ms* (*fst S'*) $\subseteq$ *vars* **using** *atms* **by** *auto*
 ultimately show *?case*
  using *already-used-all-simple-inv*[*OF res*] **by** *simp*
qed

lemma *inference-clause-simplified-already-used-subset*:
 assumes *inference-clause S S'*
 and *simplified* (*fst S*)
 shows *snd S* $\subset$ *snd S'*
 using *assms* **apply** (*induct rule*: *inference-clause.induct*, *auto*)
 using *factoring-imp-simplify* **by** *blast*

lemma *inference-simplified-already-used-subset*:
 assumes *inference S S'*
 and *simplified* (*fst S*)
 shows *snd S* $\subset$ *snd S'*
 using *assms* **apply** (*induct rule*: *inference.induct*)
 by (*metis inference-clause-simplified-already-used-subset snd-conv*)

lemma *resolution-simplified-already-used-subset*:
 assumes *resolution S S'*
 and *simplified* (*fst S*)
 shows *snd S* $\subset$ *snd S'*
 using *assms* **apply** (*induct rule*: *resolution.induct*, *simp-all add*: *full1-def*)
 apply (*meson tranclpD*)
 by (*metis inference-simplified-already-used-subset fst-conv snd-conv*)

lemma *tranclp-resolution-simplified-already-used-subset*:
 assumes *tranclp resolution S S'*
 and *simplified* (*fst S*)
 shows *snd S* $\subset$ *snd S'*
 using *assms* **apply** (*induct rule*: *tranclp.induct*)
 using *resolution-simplified-already-used-subset* **apply** *metis*
 by (*meson tranclp-resolution-always-simplified resolution-simplified-already-used-subset*
  less-trans)

abbreviation *already-used-top vars* ≡ *simple-clss vars* × *simple-clss vars*

lemma *already-used-all-simple-in-already-used-top*:
  assumes *already-used-all-simple s vars* **and** *finite vars*
  shows *s* ⊆ *already-used-top vars*
proof
  fix *x*
  assume *x-s*: *x* ∈ *s*
  obtain *A B* **where** *x*: *x* = (*A*, *B*) **by** (*cases x*, *auto*)
  then have *simplified* {*A*} **and** *atms-of A* ⊆ *vars* **using** *assms*(*1*) *x-s* **by** *fastforce*+
  then have *A*: *A* ∈ *simple-clss vars*
    using *simple-clss-mono*[*of atms-of A vars*] *x assms*(*2*)
    simplified-imp-distinct-mset-tauto[*of* {*A*}]
    distinct-mset-not-tautology-implies-in-simple-clss **by** *fast*
  moreover have *simplified* {*B*} **and** *atms-of B* ⊆ *vars* **using** *assms*(*1*) *x-s x* **by** *fast*+
  then have *B*: *B* ∈ *simple-clss vars*
    using *simplified-imp-distinct-mset-tauto*[*of* {*B*}]
    distinct-mset-not-tautology-implies-in-simple-clss
    simple-clss-mono[*of atms-of B vars*] *x assms*(*2*) **by** *fast*
  ultimately show *x* ∈ *simple-clss vars* × *simple-clss vars*
    unfolding *x* **by** *auto*
qed

lemma *already-used-top-finite*:
  assumes *finite vars*
  shows *finite* (*already-used-top vars*)
  using *simple-clss-finite assms* **by** *auto*

lemma *already-used-top-increasing*:
  assumes *var* ⊆ *var'* **and** *finite var'*
  shows *already-used-top var* ⊆ *already-used-top var'*
  using *assms simple-clss-mono* **by** *auto*

lemma *already-used-all-simple-finite*:
  fixes *s* :: (*'a literal multiset* × *'a literal multiset*) *set* **and** *vars* :: *'a set*
  assumes *already-used-all-simple s vars* **and** *finite vars*
  shows *finite s*
  using *assms already-used-all-simple-in-already-used-top*[*OF assms*(*1*)]
  rev-finite-subset[*OF already-used-top-finite*[*of vars*]] **by** *auto*

abbreviation *card-simple vars* ψ ≡ *card* (*already-used-top vars* − ψ)

lemma *resolution-card-simple-decreasing*:
  assumes *res*: *resolution* ψ ψ'
  and *a-u-s*: *already-used-all-simple* (*snd* ψ) *vars*
  and *finite-v*: *finite vars*
  and *finite-fst*: *finite* (*fst* ψ)
  and *finite-snd*: *finite* (*snd* ψ)
  and *simp*: *simplified* (*fst* ψ)
  and *atms-of-ms* (*fst* ψ) ⊆ *vars*
  shows *card-simple vars* (*snd* ψ') < *card-simple vars* (*snd* ψ)
proof −
  let *?vars* = *vars*
  let *?top* = *simple-clss ?vars* × *simple-clss ?vars*
  have *1*: *card-simple vars* (*snd* ψ) = *card ?top* − *card* (*snd* ψ)

```
    using card-Diff-subset finite-snd  already-used-all-simple-in-already-used-top[OF a-u-s]
    finite-v by metis
  have a-u-s': already-used-all-simple (snd ψ') vars
    using already-used-all-simple-inv res a-u-s assms(7) by blast
  have f: finite (snd ψ') using already-used-all-simple-finite a-u-s' finite-v by auto
  have 2: card-simple vars (snd ψ') = card ?top − card (snd ψ')
    using card-Diff-subset[OF f] already-used-all-simple-in-already-used-top[OF a-u-s' finite-v]
    by auto
  have card (already-used-top vars) ≥ card (snd ψ')
    using already-used-all-simple-in-already-used-top[OF a-u-s' finite-v]
    card-mono[of already-used-top vars snd ψ'] already-used-top-finite[OF finite-v] by metis
  then show ?thesis
    using  psubset-card-mono[OF f resolution-simplified-already-used-subset[OF res simp]]
    unfolding 1 2 by linarith
qed


lemma tranclp-resolution-card-simple-decreasing:
  assumes tranclp resolution ψ ψ' and finite-fst: finite (fst ψ)
  and already-used-all-simple (snd ψ) vars
  and atms-of-ms (fst ψ) ⊆ vars
  and finite-v: finite vars
  and finite-snd: finite (snd ψ)
  and simplified (fst ψ)
  shows card-simple vars (snd ψ') < card-simple vars (snd ψ)
  using assms
proof (induct rule: tranclp-induct)
  case (base ψ')
  then show ?case by (simp add: resolution-card-simple-decreasing)
next
  case (step ψ' ψ'') note res = this(1) and res' = this(2) and a-u-s = this(5) and
    atms = this(6) and f-v = this(7) and f-fst = this(4) and H = this
  then have card-simple vars (snd ψ') < card-simple vars (snd ψ) by auto
  moreover have a-u-s': already-used-all-simple (snd ψ') vars
    using rtranclp-already-used-all-simple-inv[OF tranclp-into-rtranclp[OF res] a-u-s atms f-fst] .
  have finite (fst ψ')
    by (meson finite-fst res rtranclp-resolution-finite tranclp-into-rtranclp)
  moreover have finite (snd ψ') using already-used-all-simple-finite[OF a-u-s' f-v] .
  moreover have simplified (fst ψ') using res tranclp-resolution-always-simplified by blast
  moreover have atms-of-ms (fst ψ') ⊆ vars
    by (meson atms f-fst order.trans res rtranclp-resolution-atms-of tranclp-into-rtranclp)
  ultimately show ?case
    using resolution-card-simple-decreasing[OF res' a-u-s' f-v] f-v
    less-trans[of card-simple vars (snd ψ'') card-simple vars (snd ψ')
      card-simple vars (snd ψ)]
    by blast
qed


lemma tranclp-resolution-card-simple-decreasing-2:
  assumes tranclp resolution ψ ψ'
  and finite-fst: finite (fst ψ)
  and empty-snd: snd ψ = {}
  and simplified (fst ψ)
  shows card-simple (atms-of-ms (fst ψ)) (snd ψ') < card-simple (atms-of-ms (fst ψ)) (snd ψ)
```

proof −
  let *?vars* = (*atms-of-ms* (*fst* ψ))
  have *already-used-all-simple* (*snd* ψ) *?vars* **unfolding** *empty-snd* **by** *auto*
  moreover have *atms-of-ms* (*fst* ψ) $\subseteq$ *?vars* **by** *auto*
  moreover have *finite-v*: *finite ?vars* **using** *finite-fst* **by** *auto*
  moreover have *finite-snd*: *finite* (*snd* ψ) **unfolding** *empty-snd* **by** *auto*
  ultimately show *?thesis*
    using *assms*(*1*,*2*,*4*) *tranclp-resolution-card-simple-decreasing*[*of* ψ ψ'] **by** *presburger*
qed

12.5.2 well-foundness if the relation

lemma *wf-simplified-resolution*:
  assumes *f-vars*: *finite vars*
  shows *wf* {(*y*:: *'v*:: *linorder state*, *x*). (*atms-of-ms* (*fst x*) $\subseteq$ *vars* $\wedge$ *simplified* (*fst x*)
    $\wedge$ *finite* (*snd x*) $\wedge$ *finite* (*fst x*) $\wedge$ *already-used-all-simple* (*snd x*) *vars*) $\wedge$ *resolution x y*}
proof −
  {
    fix *a b* :: *'v*::*linorder state*
    assume (*b*, *a*) $\in$ {(*y*, *x*). (*atms-of-ms* (*fst x*) $\subseteq$ *vars* $\wedge$ *simplified* (*fst x*) $\wedge$ *finite* (*snd x*)
      $\wedge$ *finite* (*fst x*) $\wedge$ *already-used-all-simple* (*snd x*) *vars*) $\wedge$ *resolution x y*}
    then have
      atms-of-ms (*fst a*) $\subseteq$ *vars* **and**
      simp: *simplified* (*fst a*) **and**
      finite (*snd a*) **and**
      finite (*fst a*) **and**
      a-u-v: *already-used-all-simple* (*snd a*) *vars* **and**
      res: *resolution a b* **by** *auto*
    have *finite* (*already-used-top vars*) **using** *f-vars already-used-top-finite* **by** *blast*
    moreover have *already-used-top vars* $\subseteq$ *already-used-top vars* **by** *auto*
    moreover have *snd b* $\subseteq$ *already-used-top vars*
      using *already-used-all-simple-in-already-used-top*[*of snd b vars*]
      a-u-v already-used-all-simple-inv[*OF res*] ‹*finite* (*fst a*)› ‹*atms-of-ms* (*fst a*) $\subseteq$ *vars*› *f-vars*
      by *presburger*
    moreover have *snd a* $\subset$ *snd b* **using** *resolution-simplified-already-used-subset*[*OF res simp*] **.**
    ultimately have *finite* (*already-used-top vars*) $\wedge$ *already-used-top vars* $\subseteq$ *already-used-top vars*
      $\wedge$ *snd b* $\subseteq$ *already-used-top vars* $\wedge$ *snd a* $\subset$ *snd b* **by** *metis*
  }
  then show *?thesis* **using** *wf-bounded-set*[*of* {(*y*:: *'v*:: *linorder state*, *x*).
    (*atms-of-ms* (*fst x*) $\subseteq$ *vars*
    $\wedge$ *simplified* (*fst x*) $\wedge$ *finite* (*snd x*) $\wedge$ *finite* (*fst x*)$\wedge$ *already-used-all-simple* (*snd x*) *vars*)
    $\wedge$ *resolution x y*} λ-. *already-used-top vars snd*] **by** *auto*
qed

lemma *wf-simplified-resolution'*:
  assumes *f-vars*: *finite vars*
  shows *wf* {(*y*:: *'v*:: *linorder state*, *x*). (*atms-of-ms* (*fst x*) $\subseteq$ *vars* $\wedge$ $\neg$*simplified* (*fst x*)
    $\wedge$ *finite* (*snd x*) $\wedge$ *finite* (*fst x*) $\wedge$ *already-used-all-simple* (*snd x*) *vars*) $\wedge$ *resolution x y*}
  unfolding *wf-def*
   apply (*simp add*: *resolution-always-simplified*)
  by (*metis* (*mono-tags*, *hide-lams*) *fst-conv resolution-always-simplified*)

lemma *wf-resolution*:
  assumes *f-vars*: *finite vars*
  shows *wf* ({(*y*:: *'v*:: *linorder state*, *x*). (*atms-of-ms* (*fst x*) $\subseteq$ *vars* $\wedge$ *simplified* (*fst x*)
        $\wedge$ *finite* (*snd x*) $\wedge$ *finite* (*fst x*) $\wedge$ *already-used-all-simple* (*snd x*) *vars*) $\wedge$ *resolution x y*}

$\cup$ {(*y*, *x*). (*atms-of-ms* (*fst x*) $\subseteq$ *vars* $\wedge$ $\neg$ *simplified* (*fst x*) $\wedge$ *finite* (*snd x*) $\wedge$ *finite* (*fst x*)
$\wedge$ *already-used-all-simple* (*snd x*) *vars*) $\wedge$ *resolution x y*}) (**is** *wf* (*?R* $\cup$ *?S*))
proof −
have *Domain ?R Int Range ?S* = {} **using** *resolution-always-simplified* **by** *auto blast*
then show *wf* (*?R* $\cup$ *?S*)
using *wf-simplified-resolution*[*OF f-vars*] *wf-simplified-resolution'*[*OF f-vars*] *wf-Un*[*of ?R ?S*]
by *fast*
qed

lemma *rtrancp-simplify-already-used-inv*:
assumes *simplify*** *S S'*
and *already-used-inv* (*S*, *N*)
shows *already-used-inv* (*S'*, *N*)
using *assms* **apply** *induction*
using *simplify-preserves-already-used-inv* **by** *fast+*

lemma *full1-simplify-already-used-inv*:
assumes *full1 simplify S S'*
and *already-used-inv* (*S*, *N*)
shows *already-used-inv* (*S'*, *N*)
using *assms tranclp-into-rtranclp*[*of simplify S S'*] *rtrancp-simplify-already-used-inv*
unfolding *full1-def* **by** *fast*

lemma *full-simplify-already-used-inv*:
assumes *full simplify S S'*
and *already-used-inv* (*S*, *N*)
shows *already-used-inv* (*S'*, *N*)
using *assms rtrancp-simplify-already-used-inv* **unfolding** *full-def* **by** *fast*
lemma *resolution-already-used-inv*:
assumes *resolution S S'*
and *already-used-inv S*
shows *already-used-inv S'*
using *assms*
proof *induction*
case (*full1-simp N N' already-used*)
then show *?case* **using** *full1-simplify-already-used-inv* **by** *fast*
next
case (*inferring N already-used N' already-used' N''*) **note** *inf* = *this*(*1*) **and** *full* = *this*(*3*) **and**
a-u-v = *this*(*4*)
then show *?case*
using *inference-preserves-already-used-inv*[*OF inf a-u-v*] *full-simplify-already-used-inv full*
by *fast*
qed

lemma *rtranclp-resolution-already-used-inv*:
assumes *resolution*** *S S'*
and *already-used-inv S*
shows *already-used-inv S'*
using *assms* **apply** *induction*
using *resolution-already-used-inv* **by** *fast+*

lemma *rtanclp-simplify-preserves-unsat*:
assumes *simplify*** ψ ψ'
shows *satisfiable* ψ' $\longrightarrow$ *satisfiable* ψ
using *assms* **apply** *induction*

using *simplify-clause-preserves-sat* **by** *blast+*

lemma *full1-simplify-preserves-unsat*:
assumes *full1 simplify* ψ ψ'
shows *satisfiable* ψ' $\longrightarrow$ *satisfiable* ψ
using *assms rtanclp-simplify-preserves-unsat*[*of* ψ ψ'] *tranclp-into-rtranclp*
unfolding *full1-def* **by** *metis*

lemma *full-simplify-preserves-unsat*:
assumes *full simplify* ψ ψ'
shows *satisfiable* ψ' $\longrightarrow$ *satisfiable* ψ
using *assms rtanclp-simplify-preserves-unsat*[*of* ψ ψ'] **unfolding** *full-def* **by** *metis*

lemma *resolution-preserves-unsat*:
assumes *resolution* ψ ψ'
shows *satisfiable* (*fst* ψ') $\longrightarrow$ *satisfiable* (*fst* ψ)
using *assms* **apply** (*induct rule*: *resolution.induct*)
using *full1-simplify-preserves-unsat* **apply** (*metis fst-conv*)
using *full-simplify-preserves-unsat simplify-preserves-unsat* **by** *fastforce*

lemma *rtranclp-resolution-preserves-unsat*:
assumes *resolution*** ψ ψ'
shows *satisfiable* (*fst* ψ') $\longrightarrow$ *satisfiable* (*fst* ψ)
using *assms* **apply** *induction*
using *resolution-preserves-unsat* **by** *fast+*

lemma *rtranclp-simplify-preserve-partial-tree*:
assumes *simplify*** N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
using *assms* **apply** (*induction*, *simp*)
using *simplify-preserve-partial-tree* **by** *metis*

lemma *full1-simplify-preserve-partial-tree*:
assumes *full1 simplify* N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
using *assms rtranclp-simplify-preserve-partial-tree*[*of* N N' t I] *tranclp-into-rtranclp*
unfolding *full1-def* **by** *fast*

lemma *full-simplify-preserve-partial-tree*:
assumes *full simplify* N N'
and *partial-interps* t I N
shows *partial-interps* t I N'
using *assms rtranclp-simplify-preserve-partial-tree*[*of* N N' t I] *tranclp-into-rtranclp*
unfolding *full-def* **by** *fast*

lemma *resolution-preserve-partial-tree*:
assumes *resolution* S S'
and *partial-interps* t I (*fst* S)
shows *partial-interps* t I (*fst* S')
using *assms* **apply** *induction*
  using *full1-simplify-preserve-partial-tree fst-conv* **apply** *metis*
using *full-simplify-preserve-partial-tree inference-preserve-partial-tree* **by** *fastforce*

lemma *rtranclp-resolution-preserve-partial-tree*:
  assumes *resolution*** *S S'*
  and *partial-interps t I* (*fst S*)
  shows *partial-interps t I* (*fst S'*)
  using *assms* **apply** *induction*
  using *resolution-preserve-partial-tree* **by** *fast+*
  thm *nat-less-induct nat.induct*

lemma *nat-ge-induct*[*case-names 0 Suc*]:
  assumes *P 0*
  and ($\bigwedge$*n*. ($\bigwedge$*m*. *m*<*Suc n* $\Longrightarrow$ *P m*) $\Longrightarrow$ *P* (*Suc n*))
  shows *P n*
  using *assms* **apply** (*induct rule*: *nat-less-induct*)
  by (*rename-tac n*, *case-tac n*) *auto*

lemma *wf-always-more-step-False*:
  assumes *wf R*
  shows ($\forall$ *x*. $\exists$ *z*. (*z*, *x*)$\in$*R*) $\Longrightarrow$ *False*
 using *assms* **unfolding** *wf-def* **by** (*meson Domain.DomainI assms wfE-min*)

lemma *finite-finite-mset-element-of-mset*[*simp*]:
  assumes *finite N*
  shows *finite* {*f* φ *L* |φ *L*. φ $\in$ *N* $\wedge$ *L* $\in$# φ $\wedge$ *P* φ *L*}
  using *assms*
proof (*induction N rule*: *finite-induct*)
  case *empty*
  show *?case* **by** *auto*
next
  case (*insert x N*) **note** *finite* = *this*(*1*) **and** *IH* = *this*(*3*)
  have {*f* φ *L* |φ *L*. (φ = *x* $\vee$ φ $\in$ *N*) $\wedge$ *L* $\in$# φ $\wedge$ *P* φ *L*} $\subseteq$ {*f x L* | *L*. *L* $\in$# *x* $\wedge$ *P x L*}
    $\cup$ {*f* φ *L* |φ *L*. φ $\in$ *N* $\wedge$ *L* $\in$# φ $\wedge$ *P* φ *L*} **by** *auto*
  moreover have *finite* {*f x L* | *L*. *L* $\in$# *x*} **by** *auto*
  ultimately show *?case* **using** *IH finite-subset* **by** *fastforce*
qed

 value *card*
 value *filter-mset*
value {#*count* φ *L* |*L* $\in$# φ. *2* $\leq$ *count* φ *L*#}
value ($\lambda\varphi$. *msetsum* {#*count* φ *L* |*L* $\in$# φ. *2* $\leq$ *count* φ *L*#})

syntax
  -comprehension1'-mset :: *'a* $\Rightarrow$ *'b* $\Rightarrow$ *'b multiset* $\Rightarrow$ *'a multiset*
      (({#-/. - : *setof* -#}))
translations
  {#*e*. *x*: *setof M*#} == *CONST set-mset* (*CONST image-mset* (%*x*. *e*) *M*)
value {# *a*. *a* : *setof* {#*1*,*1*,*2*::*int*#}#} = {*1*,*2*}

definition *sum-count-ge-2* :: *'a multiset set* $\Rightarrow$ *nat* (Ξ) **where**
sum-count-ge-2 $\equiv$ *folding.F* ($\lambda\varphi$. *op* +(*msetsum* {#*count* φ *L* |*L* $\in$# φ. *2* $\leq$ *count* φ *L*#})) *0*

interpretation *sum-count-ge-2*:
  folding ($\lambda\varphi$. *op* +(*msetsum* {#*count* φ *L* |*L* $\in$# φ. *2* $\leq$ *count* φ *L*#})) *0*
rewrites

```
  folding.F (λφ. op +(msetsum {#count φ L |L ∈# φ. 2 ≤ count φ L#})) 0 = sum-count-ge-2
proof −
  show folding (λφ. op + (msetsum (image-mset (count φ) {# L :# φ. 2 ≤ count φ L#})))
    by standard auto
  then interpret sum-count-ge-2:
    folding (λφ. op +(msetsum {#count φ L |L ∈# φ. 2 ≤ count φ L#})) 0 .
  show folding.F (λφ. op + (msetsum (image-mset (count φ) {# L :# φ. 2 ≤ count φ L#}))) 0
    = sum-count-ge-2 by (auto simp add: sum-count-ge-2-def)
qed

lemma finite-incl-le-setsum:
 finite (B::'a multiset set) ⟹ A ⊆ B ⟹ Ξ A ≤ Ξ B
proof (induction arbitrary:A rule: finite-induct)
  case empty
  then show ?case by simp
next
  case (insert a F) note finite = this(1) and aF = this(2) and IH = this(3) and AF = this(4)
  show ?case
    proof (cases a ∈ A)
      assume a ∉ A
      then have A ⊆ F using AF by auto
      then show ?case using IH[of A] by (simp add: aF local.finite)
    next
      assume aA: a ∈ A
      then have A − {a} ⊆ F using AF by auto
      then have Ξ (A − {a}) ≤ Ξ F using IH by blast
      then show ?case
        proof −
          obtain nn :: nat ⇒ nat ⇒ nat where
            ∀x0 x1. (∃v2. x0 = x1 + v2) = (x0 = x1 + nn x0 x1)
            by moura
          then have Ξ F = Ξ (A − {a}) + nn (Ξ F) (Ξ (A − {a}))
            using Nat.le-iff-add ‹Ξ (A − {a}) ≤ Ξ F› by presburger
          then show ?thesis
            by (metis (no-types) Nat.le-iff-add aA aF add.assoc finite.insertI finite-subset
              insert.prems local.finite sum-count-ge-2.insert sum-count-ge-2.remove)
        qed
    qed
qed

lemma simplify-finite-measure-decrease:
  simplify N N' ⟹ finite N ⟹ card N' + Ξ N' < card N + Ξ N
proof (induction rule: simplify.induct)
  case (tautology-deletion A P) note an = this(1) and fin = this(2)
  let ?N' = N − {A + {#Pos P#} + {#Neg P#}}
  have card ?N' < card N
    by (meson card-Diff1-less tautology-deletion.hyps tautology-deletion.prems)
  moreover have ?N' ⊆ N by auto
  then have sum-count-ge-2 ?N' ≤ sum-count-ge-2 N using finite-incl-le-setsum[OF fin] by blast
  ultimately show ?case by linarith
next
  case (condensation A L) note AN = this(1) and fin = this(2)
  let ?C' = A + {#L#}
  let ?C = A + {#L#} + {#L#}
  let ?N' = N − {?C} ∪ {?C'}
```

```
have card ?N' ≤ card N
  using AN by (metis (no-types, lifting) Diff-subset Un-empty-right Un-insert-right card.remove
    card-insert-if card-mono fin finite-Diff order-refl)
moreover have Ξ {?C'} < Ξ {?C}
  proof -
    have mset-decomp:
      {# La ∈# A. (L = La ⟶ Suc 0 ≤ count A La) ∧ (L ≠ La ⟶ 2 ≤ count A La)#}
      = {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} +
        {# La ∈# A. L = La ∧ Suc 0 ≤ count A L#}
         by (auto simp: multiset-eq-iff ac-simps)
    have mset-decomp2: {# La ∈# A. L ≠ La ⟶ 2 ≤ count A La#} =
      {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} + replicate-mset (count A L) L
      by (auto simp: multiset-eq-iff)
    show ?thesis
      by (auto simp: mset-decomp mset-decomp2 filter-mset-eq ac-simps)
 qed
have Ξ ?N' < Ξ N
  proof cases
    assume a1: ?C' ∈ N
    then show ?thesis
      proof -
        have f2: ⋀m M. insert (m::'a literal multiset) (M − {m}) = M ∪ {} ∨ m ∉ M
          using Un-empty-right insert-Diff by blast
        have f3: ⋀m M Ma. insert (m::'a literal multiset) M − insert m Ma = M − insert m Ma
          by simp
        then have f4: ⋀M m. M − {m::'a literal multiset} = M ∪ {} ∨ m ∈ M
          using Diff-insert-absorb Un-empty-right by fastforce
        have f5: insert (A + {#L#} + {#L#}) N = N
          using f3 f2 Un-empty-right condensation.hyps insert-iff by fastforce
        have ⋀m M. insert (m::'a literal multiset) M = M ∪ {} ∨ m ∉ M
          using f3 f2 Un-empty-right add.right-neutral insert-iff by fastforce
        then have Ξ (N − {A + {#L#} + {#L#}}) < Ξ N
          using f5 f4 by (metis Un-empty-right ‹Ξ {A + {#L#}} < Ξ {A + {#L#} + {#L#}}›
            add.right-neutral add-diff-cancel-left' add-gr-0 diff-less fin finite.emptyI not-le
            sum-count-ge-2.empty sum-count-ge-2.insert-remove trans-le-add2)
        then show ?thesis
          using f3 f2 a1 by (metis (no-types) Un-empty-right Un-insert-right condensation.hyps
            insert-iff multi-self-add-other-not-self)
      qed
  next
    assume ?C' ∉ N
    have mset-decomp:
      {# La ∈# A. (L = La ⟶ Suc 0 ≤ count A La) ∧ (L ≠ La ⟶ 2 ≤ count A La)#}
      = {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} +
        {# La ∈# A. L = La ∧ Suc 0 ≤ count A L#}
         by (auto simp: multiset-eq-iff ac-simps)
    have mset-decomp2: {# La ∈# A. L ≠ La ⟶ 2 ≤ count A La#} =
      {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} + replicate-mset (count A L) L
      by (auto simp: multiset-eq-iff)

    show ?thesis
      using ‹Ξ {A + {#L#}} < Ξ {A + {#L#} + {#L#}}› condensation.hyps fin
      sum-count-ge-2.remove[of - A + {#L#} + {#L#}] ‹?C' ∉ N›
      by (auto simp: mset-decomp mset-decomp2 filter-mset-eq)
  qed
  ultimately show ?case by linarith
next
  case (subsumption A B) note AN = this(1) and AB = this(2) and BN = this(3) and fin = this(4)
  have card (N − {B}) < card N using BN by (meson card-Diff1-less subsumption.prems)
  moreover have Ξ (N − {B}) ≤ Ξ N
    by (simp add: Diff-subset finite-incl-le-setsum subsumption.prems)
  ultimately show ?case by linarith
qed

lemma simplify-terminates:
  wf {(N', N). finite N ∧ simplify N N'}
  using assms apply (rule wfP-if-measure[of finite simplify λN. card N + Ξ N])
  using simplify-finite-measure-decrease by blast



lemma wf-terminates:
  assumes wf r
  shows ∃N'.(N', N)∈ r* ∧ (∀N''. (N'', N')∉ r)
proof −
  let ?P = λN. (∃N'.(N', N)∈ r* ∧ (∀N''. (N'', N')∉ r))
  have (∀x. (∀y. (y, x) ∈ r ⟶ ?P y) ⟶ ?P x)
    proof clarify
      fix x
      assume H: ∀y. (y, x) ∈ r ⟶ ?P y
      { assume ∃y. (y, x) ∈ r
        then obtain y where y: (y, x) ∈ r by blast
        then have ?P y using H by blast
        then have ?P x using y by (meson rtrancl.rtrancl-into-rtrancl)
      }
      moreover {
        assume ¬(∃y. (y, x) ∈ r)
        then have ?P x by auto
      }
      ultimately show ?P x by blast
    qed
  moreover have (∀x. (∀y. (y, x) ∈ r ⟶ ?P y) ⟶ ?P x) ⟶ All ?P
    using assms unfolding wf-def by (rule allE)
  ultimately have All ?P by blast
  then show ?P N by blast
qed

lemma rtranclp-simplify-terminates:
  assumes fin: finite N
  shows ∃N'. simplify** N N' ∧ simplified N'
proof −
  have H: {(N', N). finite N ∧ simplify N N'} = {(N', N). simplify N N' ∧ finite N} by auto
  then have wf: wf {(N', N). simplify N N' ∧ finite N}
    using simplify-terminates by (simp add: H)
  obtain N' where N': (N', N)∈ {(b, a). simplify a b ∧ finite a}* and
    more: (∀N''. (N'', N')∉ {(b, a). simplify a b ∧ finite a})
    using Prop-Resolution.wf-terminates[OF wf, of N] by blast
  have 1: simplify** N N'
    using N' by (induction rule: rtrancl.induct) auto
  then have finite N' using fin rtranclp-simplify-preserves-finite by blast
  then have 2: ∀ N''. ¬simplify N' N'' using more by auto

  show ?thesis using 1 2 by blast
qed

lemma finite-simplified-full1-simp:
  assumes finite N
  shows simplified N ∨ (∃ N'. full1 simplify N N')
  using rtranclp-simplify-terminates[OF assms] unfolding full1-def
  by (metis Nitpick.rtranclp-unfold)

lemma finite-simplified-full-simp:
  assumes finite N
  shows ∃ N'. full simplify N N'
  using rtranclp-simplify-terminates[OF assms] unfolding full-def by metis

lemma can-decrease-tree-size-resolution:
  fixes ψ :: 'v state and tree :: 'v sem-tree
  assumes finite (fst ψ) and already-used-inv ψ
  and partial-interps tree I (fst ψ)
  and simplified (fst ψ)
  shows ∃ (tree':: 'v sem-tree) ψ'. resolution** ψ ψ' ∧ partial-interps tree' I (fst ψ')
    ∧ (sem-tree-size tree' < sem-tree-size tree ∨ sem-tree-size tree = 0)
  using assms
proof (induct arbitrary: I rule: sem-tree-size)
  case (bigger xs I) note IH = this(1) and finite = this(2) and a-u-i = this(3) and part = this(4)
    and simp = this(5)

  { assume sem-tree-size xs = 0
    then have ?case using part by blast
  }

  moreover {
    assume sn0: sem-tree-size xs > 0
    obtain ag ad v where xs: xs = Node v ag ad using sn0 by (cases xs, auto)
    {
       assume sem-tree-size ag = 0 ∧ sem-tree-size ad = 0
       then have ag: ag = Leaf and ad: ad = Leaf by (cases ag, auto, cases ad, auto)

       then obtain χ χ' where
         χ: ¬ I ∪ {Pos v} ⊨ χ and
         totχ: total-over-m (I ∪ {Pos v}) {χ} and
         χψ: χ ∈ fst ψ and
         χ': ¬ I ∪ {Neg v} ⊨ χ'  and
         totχ': total-over-m (I ∪ {Neg v}) {χ'} and χ'ψ: χ' ∈ fst ψ
         using part unfolding xs by auto
       have Posv: Pos v ∉# χ using χ unfolding true-cls-def true-lit-def by auto
       have Negv: Neg v ∉# χ' using χ' unfolding true-cls-def true-lit-def by auto
       {
         assume Negχ: ¬Neg v ∈# χ
         then have ¬ I ⊨ χ using χ Posv unfolding true-cls-def true-lit-def by auto
         moreover have total-over-m I {χ}
           using Posv Negχ atm-imp-pos-or-neg-lit totχ unfolding total-over-m-def total-over-set-def
             by fastforce
         ultimately have partial-interps Leaf I (fst ψ)
         and sem-tree-size Leaf < sem-tree-size xs
         and resolution** ψ ψ
           unfolding xs by (auto simp add: χψ)
       }
       moreover {
         assume Posχ: ¬Pos v ∈# χ'
         then have Iχ: ¬ I ⊨ χ' using χ' Posv unfolding true-cls-def true-lit-def by auto
         moreover have total-over-m I {χ'}
           using Negv Posχ atm-imp-pos-or-neg-lit totχ'
           unfolding total-over-m-def total-over-set-def by fastforce
         ultimately have  partial-interps Leaf I (fst ψ)
         and sem-tree-size Leaf < sem-tree-size xs
         and resolution** ψ ψ using χ'ψ Iχ unfolding xs by auto
       }
       moreover {
         assume neg: Neg v ∈# χ and pos: Pos v ∈# χ'
         have count χ (Neg v) = 1
           using simplified-count[OF simp χψ] neg by (metis One-nat-def Suc-le-mono Suc-pred eq-iff
             le0)
         have count χ' (Pos v) = 1
           using simplified-count[OF simp χ'ψ] pos by (metis One-nat-def Suc-le-mono Suc-pred
             eq-iff le0)
         obtain C where χC: χ = C + {#Neg v#} and negC: Neg v ∉# C and posC: Pos v ∉# C
           proof −
             assume a1: ⋀C. ⟦χ = C + {#Neg v#}; Neg v ∉# C; Pos v ∉# C⟧ ⟹ thesis
             have f2: ⋀n. (0::nat) + n = n
               by simp
             obtain mm :: 'v literal multiset ⇒ 'v literal ⇒ 'v literal multiset where
               f3: {#Neg v#} + mm χ (Neg v) = χ
               by (metis (no-types) ‹count χ (Neg v) = 1› add.commute multi-member-split
                 zero-less-one)
             then have Pos v ∉# mm χ (Neg v)
               using f2 by (metis (no-types) Posv ‹count χ (Neg v) = 1› add.right-neutral
                 add-left-cancel count-single count-union less-nat-zero-code)
             then show ?thesis
               using f3 a1 by (metis (no-types) ‹count χ (Neg v) = 1› add.commute
                 add.right-neutral add-left-cancel count-single count-union less-nat-zero-code)
           qed
         obtain C' where
           χC': χ' = C' + {#Pos v#} and
           posC': Pos v ∉# C' and
           negC': Neg v ∉# C'
           by (metis (no-types, hide-lams) Negv ‹count χ' (Pos v) = 1› add-diff-cancel-right'
             cancel-comm-monoid-add-class.diff-cancel count-diff count-single less-nat-zero-code
             mset-leD mset-le-add-left multi-member-split zero-less-one)

         have totC: total-over-m I {C}
           using totχ tot-over-m-remove[of I Pos v C] negC posC unfolding χC
           by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
         have totC': total-over-m I {C'}
           using totχ' total-over-m-sum tot-over-m-remove[of I Neg v C'] negC' posC'
           unfolding χC' by (metis total-over-m-sum uminus-Neg)
         have ¬ I ⊨ C + C'
           using χ χ' χC χC' by auto
         then have part-I-ψ''': partial-interps Leaf I (fst ψ ∪ {C + C'})
```

```
      using totC totC′ ‹¬ I ⊨ C + C′› by (metis Un-insert-right insertI1
        partial-interps.simps(1) total-over-m-sum)
    {
      assume ({#Pos v#} + C′, {#Neg v#} + C) ∉ snd ψ
      then have inf″: inference ψ (fst ψ ∪ {C + C′}, snd ψ ∪ {(χ′, χ)})
        by (metis χ′ψ χC χC′ χψ add.commute inference-step prod.collapse resolution)
      obtain N′ where full: full simplify (fst ψ ∪ {C + C′}) N′
        by (metis finite-simplified-full-simp fst-conv inf″ inference-preserves-finite
          local.finite)
      have resolution ψ (N′, snd ψ ∪ {(χ′, χ)})
        using resolution.intros(2)[OF - simp full, of snd ψ snd ψ ∪ {(χ′, χ)}] inf″
        by (metis surjective-pairing)
      moreover have partial-interps Leaf I N′
        using full-simplify-preserve-partial-tree[OF full part-I-ψ‴] .
      moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
      ultimately have ?case
        by (metis (no-types) prod.sel(1) rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
    }
    moreover {
      assume a: ({#Pos v#} + C′, {#Neg v#} + C) ∈ snd ψ
      then have (∃χ ∈ fst ψ. (∀I. total-over-m I {C+C′} ⟶ total-over-m I {χ})
          ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ C′ + C)) ∨ tautology (C′ + C)
        proof −
          obtain p where p: Pos p ∈# ({#Pos v#} + C′) ∧ Neg p ∈# ({#Neg v#} + C)
            ∧((∃χ∈fst ψ. (∀I. total-over-m I {({#Pos v#} + C′) − {#Pos p#} + (({#Neg v#}
+ C) − {#Neg p#})} ⟶ total-over-m I {χ}) ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ ({#Pos
v#} + C′) − {#Pos p#} + (({#Neg v#} + C) − {#Neg p#}))) ∨ tautology (({#Pos v#} + C′) −
{#Pos p#} + (({#Neg v#} + C) − {#Neg p#})))
              using a by (blast intro: allE[OF a-u-i[unfolded subsumes-def Ball-def],
                  of ({#Pos v#} + C′, {#Neg v#} + C)])
          { assume p ≠ v
            then have Pos p ∈# C′ ∧ Neg p ∈# C using p by force
            then have ?thesis by (metis add-gr-0 count-union tautology-Pos-Neg)
          }
          moreover {
            assume p = v
           then have ?thesis using p by (metis add.commute add-diff-cancel-left′)
          }
          ultimately show ?thesis by auto
        qed
      moreover {
        assume ∃χ ∈ fst ψ. (∀I. total-over-m I {C+C′} ⟶ total-over-m I {χ})
          ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ C′ + C)
        then obtain ϑ where
          ϑ: ϑ ∈ fst ψ and
          tot-ϑ-CC′: ∀I. total-over-m I {C+C′} ⟶ total-over-m I {ϑ} and
          ϑ-inv: ∀I. total-over-m I {ϑ} ⟶ I ⊨ ϑ ⟶ I ⊨ C′ + C by blast
        have partial-interps Leaf I (fst ψ)
          using tot-ϑ-CC′ ϑ ϑ-inv totC totC′ ‹¬ I ⊨ C + C′› total-over-m-sum by fastforce
        moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
        ultimately have ?case by blast
      }
      moreover {
        assume tautCC′: tautology (C′ + C)
        have total-over-m I {C′+C} using totC totC′ total-over-m-sum by auto
```

```
          then have ¬tautology (C' + C)
            using ‹¬ I ⊨ C + C'› unfolding add.commute[of C C'] total-over-m-def
            unfolding tautology-def by auto
          then have False using tautCC' unfolding tautology-def by auto
        }
        ultimately have ?case by auto
      }
      ultimately have ?case by auto
    }
    ultimately have ?case using part by (metis (no-types) sem-tree-size.simps(1))
  }
  moreover {
    assume size-ag: sem-tree-size ag > 0
    have sem-tree-size ag < sem-tree-size xs unfolding xs by auto
    moreover have partial-interps ag (I ∪ {Pos v}) (fst ψ)
    and partad: partial-interps ad (I ∪ {Neg v}) (fst ψ)
      using part partial-interps.simps(2) unfolding xs by metis+
    moreover
      have sem-tree-size ag < sem-tree-size xs ⟹ finite (fst ψ) ⟹ already-used-inv ψ
        ⟹ partial-interps ag (I ∪ {Pos v}) (fst ψ) ⟹ simplified (fst ψ)
        ⟹ ∃ tree' ψ'. resolution** ψ ψ' ∧ partial-interps tree' (I ∪ {Pos v}) (fst ψ')
            ∧ (sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0)
        using IH[of ag I ∪ {Pos v}] by auto
    ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
      inf: resolution** ψ ψ'
      and part: partial-interps tree' (I ∪ {Pos v}) (fst ψ')
      and size: sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0
      using finite part rtranclp.rtrancl-refl a-u-i simp by blast

    have partial-interps ad (I ∪ {Neg v}) (fst ψ')
      using rtranclp-resolution-preserve-partial-tree inf partad by fast
    then have partial-interps (Node v tree' ad) I (fst ψ') using part by auto
    then have ?case using inf size size-ag part unfolding xs by fastforce
  }
  moreover {
    assume size-ad: sem-tree-size ad > 0
    have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
    moreover
      have
        partag: partial-interps ag (I ∪ {Pos v}) (fst ψ) and
        partial-interps ad (I ∪ {Neg v}) (fst ψ)
        using part partial-interps.simps(2) unfolding xs by metis+
    moreover have sem-tree-size ad < sem-tree-size xs ⟶ finite (fst ψ) ⟶ already-used-inv ψ
      ⟶ ( partial-interps ad (I ∪ {Neg v}) (fst ψ) ⟶ simplified (fst ψ)
      ⟶ (∃ tree' ψ'. resolution** ψ ψ' ∧ partial-interps tree' (I ∪ {Neg v}) (fst ψ')
            ∧ (sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0)))
      using IH by blast
    ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
      inf: resolution** ψ ψ'
      and part: partial-interps tree' (I ∪ {Neg v}) (fst ψ')
      and size: sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0
      using finite part rtranclp.rtrancl-refl a-u-i simp by blast

    have partial-interps ag (I ∪ {Pos v}) (fst ψ')
      using rtranclp-resolution-preserve-partial-tree inf partag by fast
      then have partial-interps (Node v ag tree') I (fst ψ') using part by auto
      then have ?case using inf size size-ad unfolding xs by fastforce
    }
     ultimately have ?case by auto
  }
  ultimately show ?case by auto
qed

lemma resolution-completeness-inv:
  fixes ψ :: 'v ::linorder state
  assumes
    unsat: ¬satisfiable (fst ψ) and
    finite: finite (fst ψ) and
    a-u-v: already-used-inv ψ
  shows ∃ψ'. (resolution** ψ ψ' ∧ {#} ∈ fst ψ')
proof -
  obtain tree where  partial-interps tree {} (fst ψ)
    using partial-interps-build-sem-tree-atms assms by metis
  then show ?thesis
    using unsat finite a-u-v
    proof (induct tree arbitrary: ψ rule: sem-tree-size)
      case (bigger tree ψ) note H = this
      {
        fix χ
        assume tree: tree = Leaf
        obtain χ where χ: ¬ {} ⊨ χ and totχ: total-over-m {} {χ} and χψ: χ ∈ fst ψ
          using H unfolding tree by auto
        moreover have {#} = χ
          using H atms-empty-iff-empty totχ
          unfolding true-cls-def total-over-m-def total-over-set-def by fastforce
        moreover have resolution** ψ ψ by auto
        ultimately have ?case by metis
      }
      moreover {
        fix v tree1 tree2
        assume tree: tree = Node v tree1 tree2
        obtain ψ0 where ψ0: resolution** ψ ψ0 and simp: simplified (fst ψ0)
          proof -
            { assume simplified (fst ψ)
              moreover have resolution** ψ ψ by auto
              ultimately have thesis using that by blast
            }
            moreover {
              assume ¬simplified (fst ψ)
              then have ∃ψ'. full1 simplify (fst ψ) ψ'
                by (metis Nitpick.rtranclp-unfold bigger.prems(3) full1-def
                  rtranclp-simplify-terminates)
              then obtain N where full1 simplify (fst ψ) N by metis
              then have resolution ψ (N, snd ψ)
                using resolution.intros(1)[of fst ψ N snd ψ] by auto
              moreover have simplified N
                using ‹full1 simplify (fst ψ) N› unfolding full1-def by blast
              ultimately have ?thesis using that by force
            }
            ultimately show ?thesis by auto
          qed

        have p: partial-interps tree {} (fst $\psi_0$)
        and uns: unsatisfiable (fst $\psi_0$)
        and f: finite (fst $\psi_0$)
        and a-u-v: already-used-inv $\psi_0$
             using $\psi_0$ bigger.prems(1) rtranclp-resolution-preserve-partial-tree apply blast
            using $\psi_0$ bigger.prems(2) rtranclp-resolution-preserves-unsat apply blast
           using $\psi_0$ bigger.prems(3) rtranclp-resolution-finite apply blast
          using rtranclp-resolution-already-used-inv[OF $\psi_0$ bigger.prems(4)] by blast
        obtain tree' $\psi'$ where
          inf: resolution** $\psi_0$ $\psi'$ and
          part': partial-interps tree' {} (fst $\psi'$) and
          decrease: sem-tree-size tree' < sem-tree-size tree ∨ sem-tree-size tree = 0
          using can-decrease-tree-size-resolution[OF f a-u-v p simp] unfolding tautology-def
          by meson
        have s: sem-tree-size tree' < sem-tree-size tree using decrease unfolding tree by auto
        have fin: finite (fst $\psi'$)
          using f inf rtranclp-resolution-finite by blast
        have unsat: unsatisfiable (fst $\psi'$)
          using rtranclp-resolution-preserves-unsat inf uns by metis
        have a-u-i': already-used-inv $\psi'$
          using a-u-v inf rtranclp-resolution-already-used-inv[of $\psi_0$ $\psi'$] by auto
        have ?case
          using inf rtranclp-trans[of resolution] H(1)[OF s part' unsat fin a-u-i'] $\psi_0$ by blast
      }
      ultimately show ?case by (cases tree, auto)
    qed
qed

lemma resolution-preserves-already-used-inv:
  assumes resolution S S'
  and already-used-inv S
  shows already-used-inv S'
  using assms
  apply (induct rule: resolution.induct)
   apply (rule full1-simplify-already-used-inv; simp)
  apply (rule full-simplify-already-used-inv, simp)
  apply (rule inference-preserves-already-used-inv, simp)
  apply blast
  done

lemma rtranclp-resolution-preserves-already-used-inv:
  assumes resolution** S S'
  and already-used-inv S
  shows already-used-inv S'
  using assms
  apply (induct rule: rtranclp-induct)
   apply simp
  using resolution-preserves-already-used-inv by fast

lemma resolution-completeness:
  fixes $\psi$ :: 'v ::linorder state
  assumes unsat: ¬satisfiable (fst $\psi$)
  and finite: finite (fst ψ)
  and snd ψ = {}
  shows ∃ψ'. (resolution** ψ ψ' ∧ {#} ∈ fst ψ')
proof -
  have already-used-inv ψ unfolding assms by auto
  then show ?thesis using assms resolution-completeness-inv by blast
qed

lemma rtranclp-preserves-sat:
  assumes simplify** S S'
  and satisfiable S
  shows satisfiable S'
  using assms apply induction
   apply simp
  by (meson satisfiable-carac satisfiable-def simplify-preserves-un-sat-eq)

lemma resolution-preserves-sat:
  assumes resolution S S'
  and satisfiable (fst S)
  shows satisfiable (fst S')
  using assms apply (induction rule: resolution.induct)
   using rtranclp-preserves-sat tranclp-into-rtranclp unfolding full1-def apply fastforce
  by (metis fst-conv full-def inference-preserves-un-sat rtranclp-preserves-sat
    satisfiable-carac' satisfiable-def)

lemma rtranclp-resolution-preserves-sat:
  assumes resolution** S S'
  and satisfiable (fst S)
  shows satisfiable (fst S')
  using assms apply (induction rule: rtranclp-induct)
   apply simp
  using resolution-preserves-sat by blast

lemma resolution-soundness:
  fixes ψ :: 'v ::linorder state
  assumes resolution** ψ ψ' and {#} ∈ fst ψ'
  shows unsatisfiable (fst ψ)
  using assms by (meson rtranclp-resolution-preserves-sat satisfiable-def true-cls-empty
    true-clss-def)

lemma resolution-soundness-and-completeness:
fixes ψ :: 'v ::linorder state
assumes finite: finite (fst ψ)
and snd: snd ψ = {}
shows (∃ψ'. (resolution** ψ ψ' ∧ {#} ∈ fst ψ')) ⟷ unsatisfiable (fst ψ)
  using assms resolution-completeness resolution-soundness by metis

lemma simplified-falsity:
  assumes simp: simplified ψ
  and {#} ∈ ψ
  shows ψ = {{#}}
proof (rule ccontr)
  assume H: ¬ ?thesis
  then obtain χ where χ ∈ ψ and χ ≠ {#} using assms(2) by blast
  then have {#} ⊂# χ by (simp add: mset-less-empty-nonempty)
  then have simplify ψ (ψ − {χ})
    using simplify.subsumption[OF assms(2) ‹{#} ⊂# χ› ‹χ ∈ ψ›] by blast
  then show False using simp by blast
qed


lemma simplify-falsity-in-preserved:
  assumes simplify χs χs'
  and {#} ∈ χs
  shows {#} ∈ χs'
  using assms
  by induction auto

lemma rtranclp-simplify-falsity-in-preserved:
  assumes simplify** χs χs'
  and {#} ∈ χs
  shows {#} ∈ χs'
  using assms
  by induction (auto intro: simplify-falsity-in-preserved)

lemma resolution-falsity-get-falsity-alone:
  assumes finite (fst ψ)
  shows (∃ ψ'. (resolution** ψ ψ' ∧ {#} ∈ fst ψ')) ⟷ (∃ a-u-v. resolution** ψ ({{#}}, a-u-v))
    (is ?A ⟷ ?B)
proof
  assume ?B
  then show ?A by auto
next
  assume ?A
  then obtain χs a-u-v where χs: resolution** ψ (χs, a-u-v) and F: {#} ∈ χs by auto
  { assume simplified χs
    then have ?B using simplified-falsity[OF - F] χs by blast
  }
  moreover {
    assume ¬ simplified χs
    then obtain χs' where full1 simplify χs χs'
       by (metis χs assms finite-simplified-full1-simp fst-conv rtranclp-resolution-finite)
    then have {#} ∈ χs'
      unfolding full1-def by (meson F rtranclp-simplify-falsity-in-preserved
        tranclp-into-rtranclp)
    then have ?B
      by (metis χs ‹full1 simplify χs χs'› fst-conv full1-simp resolution-always-simplified
        rtranclp.rtrancl-into-rtrancl simplified-falsity)
  }
  ultimately show ?B by blast
qed

lemma resolution-soundness-and-completeness':
  fixes ψ :: 'v ::linorder state
  assumes
    finite: finite (fst ψ)and
    snd: snd ψ = {}
  shows (∃ a-u-v. (resolution** ψ ({{#}}, a-u-v))) ⟷ unsatisfiable (fst ψ)
    using assms resolution-completeness resolution-soundness resolution-falsity-get-falsity-alone
    by metis
```

end

theory *Partial-Annotated-Clausal-Logic*
imports *Partial-Clausal-Logic*

begin

13 Partial Clausal Logic

We here define marked literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

13.1 Marked Literals

13.1.1 Definition

datatype (*'v*, *'lvl*, *'mark*) *marked-lit* =
  is-marked: *Marked* (*lit-of*: *'v literal*) (*level-of*: *'lvl*) |
  is-proped: *Propagated* (*lit-of*: *'v literal*) (*mark-of*: *'mark*)

lemma *marked-lit-list-induct*[*case-names nil marked proped*]:
  assumes P [] **and**
  $\bigwedge L\ l\ xs.\ P\ xs \Longrightarrow P\ (Marked\ L\ l\ \#\ xs)$ **and**
  $\bigwedge L\ m\ xs.\ P\ xs \Longrightarrow P\ (Propagated\ L\ m\ \#\ xs)$
  shows *P xs*
  using *assms* **apply** (*induction xs*, *simp*)
  by (*rename-tac a xs*, *case-tac a*) *auto*

lemma *is-marked-ex-Marked*:
  $is\text{-}marked\ L \Longrightarrow \exists K\ lvl.\ L = Marked\ K\ lvl$
  by (*cases L*) *auto*

type-synonym (*'v*, *'l*, *'m*) *marked-lits* = (*'v*, *'l*, *'m*) *marked-lit list*

definition *lits-of* :: (*'a*, *'b*, *'c*) *marked-lit list* ⇒ *'a literal set* **where**
lits-of Ls = *lit-of* ‘ (*set Ls*)

lemma *lits-of-empty*[*simp*]:
  lits-of [] = {} **unfolding** *lits-of-def* **by** *auto*

lemma *lits-of-cons*[*simp*]:
  lits-of (*L* # *Ls*) = *insert* (*lit-of L*) (*lits-of Ls*)
  unfolding *lits-of-def* **by** *auto*

lemma *lits-of-append*[*simp*]:
  lits-of (*l* @ *l'*) = *lits-of l* ∪ *lits-of l'*
  unfolding *lits-of-def* **by** *auto*

lemma *finite-lits-of-def*[*simp*]: *finite* (*lits-of L*)
  unfolding *lits-of-def* **by** *auto*

lemma *lits-of-rev*[*simp*]: *lits-of* (*rev M*) = *lits-of M*
  unfolding *lits-of-def* **by** *auto*

lemma *set-map-lit-of-lits-of*[*simp*]:
  set (*map lit-of T*) = *lits-of T*
  unfolding *lits-of-def* **by** *auto*

abbreviation *unmark* **where**
unmark M ≡ (λ*a*. {#*lit-of a*#}) ' *set M*

lemma *atms-of-ms-lambda-lit-of-is-atm-of-lit-of*[*simp*]:
  atms-of-ms (*unmark M'*) = *atm-of* ' *lits-of M'*
  unfolding *atms-of-ms-def lits-of-def* **by** *auto*

lemma *lits-of-empty-is-empty*[*iff*]:
  lits-of M = {} ⟷ *M* = []
  by (*induct M*) *auto*

13.1.2 Entailment

definition *true-annot* :: (*'a*, *'l*, *'m*) *marked-lits* ⇒ *'a clause* ⇒ *bool* (**infix** ⊨*a 49*) **where**
  I ⊨*a C* ⟷ (*lits-of I*) ⊨ *C*

definition *true-annots* :: (*'a*, *'l*, *'m*) *marked-lits* ⇒ *'a clauses* ⇒ *bool* (**infix** ⊨*as 49*) **where**
  I ⊨*as CC* ⟷ (∀ *C* ∈ *CC*. *I* ⊨*a C*)

lemma *true-annot-empty-model*[*simp*]:
  ¬[] ⊨*a* ψ
  unfolding *true-annot-def true-cls-def* **by** *simp*

lemma *true-annot-empty*[*simp*]:
  ¬*I* ⊨*a* {#}
  unfolding *true-annot-def true-cls-def* **by** *simp*

lemma *empty-true-annots-def*[*iff*]:
  [] ⊨*as* ψ ⟷ ψ = {}
  unfolding *true-annots-def* **by** *auto*

lemma *true-annots-empty*[*simp*]:
  I ⊨*as* {}
  unfolding *true-annots-def* **by** *auto*

lemma *true-annots-single-true-annot*[*iff*]:
  I ⊨*as* {*C*} ⟷ *I* ⊨*a C*
  unfolding *true-annots-def* **by** *auto*

lemma *true-annot-insert-l*[*simp*]:
  M ⊨*a A* ⟹ *L* # *M* ⊨*a A*
  unfolding *true-annot-def* **by** *auto*

lemma *true-annots-insert-l* [*simp*]:
  M ⊨*as A* ⟹ *L* # *M* ⊨*as A*
  unfolding *true-annots-def* **by** *auto*

lemma *true-annots-union*[*iff*]:
  M ⊨*as A* ∪ *B* ⟷ (*M* ⊨*as A* ∧ *M* ⊨*as B*)
  unfolding *true-annots-def* **by** *auto*

lemma *true-annots-insert*[*iff*]:

  M ⊨*as insert a A* ⟷ (*M* ⊨*a a* ∧ *M* ⊨*as A*)
  unfolding *true-annots-def* **by** *auto*

Link between ⊨*as* and ⊨*s*:

lemma *true-annots-true-cls*:
  I ⊨*as CC* ⟷ (*lits-of I*) ⊨*s CC*
  unfolding *true-annots-def Ball-def true-annot-def true-clss-def* **by** *auto*

lemma *in-lit-of-true-annot*:
  a ∈ *lits-of M* ⟷ *M* ⊨*a* {#*a*#}
  unfolding *true-annot-def lits-of-def* **by** *auto*

lemma *true-annot-lit-of-notin-skip*:
  L # *M* ⊨*a A* ⟹ *lit-of L* ∉# *A* ⟹ *M* ⊨*a A*
  unfolding *true-annot-def true-cls-def* **by** *auto*

lemma *true-clss-singleton-lit-of-implies-incl*:
  I ⊨*s unmark MLs* ⟹ *lits-of MLs* ⊆ *I*
  unfolding *true-clss-def lits-of-def* **by** *auto*

lemma *true-annot-true-clss-cls*:
  MLs ⊨*a ψ* ⟹ *set* (*map* (*λa*. {#*lit-of a*#}) *MLs*) ⊨*p ψ*
  unfolding *true-annot-def true-clss-cls-def true-cls-def*
  by (*auto dest*: *true-clss-singleton-lit-of-implies-incl*)

lemma *true-annots-true-clss-cls*:
  MLs ⊨*as ψ* ⟹ *set* (*map* (*λa*. {#*lit-of a*#}) *MLs*) ⊨*ps ψ*
  by (*auto*
    dest: *true-clss-singleton-lit-of-implies-incl*
    simp add: *true-clss-def true-annots-def true-annot-def lits-of-def true-cls-def*
    true-clss-clss-def)

lemma *true-annots-marked-true-cls*[*iff*]:
  map (*λM*. *Marked M a*) *M* ⊨*as N* ⟷ *set M* ⊨*s N*
proof –
  have ∗: *lits-of* (*map* (*λM*. *Marked M a*) *M*) = *set M* **unfolding** *lits-of-def* **by** *force*
  show *?thesis* **by** (*simp add*: *true-annots-true-cls* ∗)
qed

lemma *true-annot-singleton*[*iff*]: *M* ⊨*a* {#*L*#} ⟷ *L* ∈ *lits-of M*
  unfolding *true-annot-def lits-of-def* **by** *auto*

lemma *true-annots-true-clss-clss*:
  A ⊨*as* Ψ ⟹ *unmark A* ⊨*ps* Ψ
  unfolding *true-clss-clss-def true-annots-def true-clss-def*
  by (*auto*
    dest!: *true-clss-singleton-lit-of-implies-incl*
    simp add: *lits-of-def true-annot-def true-cls-def*)

lemma *true-annot-commute*:
  M @ *M'* ⊨*a D* ⟷ *M'* @ *M* ⊨*a D*
  unfolding *true-annot-def* **by** (*simp add*: *Un-commute*)

lemma *true-annots-commute*:

M @ M' $\models as$ D $\longleftrightarrow$ M' @ M $\models as$ D
unfolding *true-annots-def* **by** (*auto simp add*: *true-annot-commute*)

lemma *true-annot-mono*[*dest*]:
set I $\subseteq$ *set I'* $\Longrightarrow$ *I* $\models a$ *N* $\Longrightarrow$ *I'* $\models a$ *N*
using *true-cls-mono-set-mset-l* **unfolding** *true-annot-def lits-of-def*
by (*metis* (*no-types*) *Un-commute Un-upper1 image-Un sup.orderE*)

lemma *true-annots-mono*:
set I $\subseteq$ *set I'* $\Longrightarrow$ *I* $\models as$ *N* $\Longrightarrow$ *I'* $\models as$ *N*
unfolding *true-annots-def* **by** *auto*

13.1.3 Defined and undefined literals

definition *defined-lit* :: (*'a*, *'l*, *'m*) *marked-lit list* $\Rightarrow$ *'a literal* $\Rightarrow$ *bool*
where
defined-lit I L $\longleftrightarrow$ ($\exists$ *l. Marked L l* $\in$ *set I*) $\vee$ ($\exists$ *P. Propagated L P* $\in$ *set I*)
$\vee$ ($\exists$ *l. Marked* ($-L$) *l* $\in$ *set I*) $\vee$ ($\exists$ *P. Propagated* ($-L$) *P* $\in$ *set I*)

abbreviation *undefined-lit* :: (*'a*, *'l*, *'m*) *marked-lit list* $\Rightarrow$ *'a literal* $\Rightarrow$ *bool*
where *undefined-lit I L* $\equiv$ $\neg$*defined-lit I L*

lemma *defined-lit-rev*[*simp*]:
defined-lit (*rev M*) *L* $\longleftrightarrow$ *defined-lit M L*
unfolding *defined-lit-def* **by** *auto*

lemma *atm-imp-marked-or-proped*:
assumes *x* $\in$ *set I*
shows
($\exists$ *l. Marked* ($-$ *lit-of x*) *l* $\in$ *set I*)
$\vee$ ($\exists$ *l. Marked* (*lit-of x*) *l* $\in$ *set I*)
$\vee$ ($\exists$ *l. Propagated* ($-$ *lit-of x*) *l* $\in$ *set I*)
$\vee$ ($\exists$ *l. Propagated* (*lit-of x*) *l* $\in$ *set I*)
using *assms marked-lit.exhaust-sel* **by** *metis*

lemma *literal-is-lit-of-marked*:
assumes *L* = *lit-of x*
shows ($\exists$ *l. x* = *Marked L l*) $\vee$ ($\exists$ *l'. x* = *Propagated L l'*)
using *assms* **by** (*cases x*) *auto*

lemma *true-annot-iff-marked-or-true-lit*:
defined-lit I L $\longleftrightarrow$ ((*lits-of I*) $\models l$ *L* $\vee$ (*lits-of I*) $\models l$ $-L$)
unfolding *defined-lit-def* **by** (*auto simp add*: *lits-of-def rev-image-eqI*
dest!: *literal-is-lit-of-marked*)

lemma *consistent-interp* (*lits-of I*) $\Longrightarrow$ *I* $\models as$ *N* $\Longrightarrow$ *satisfiable N*
by (*simp add*: *true-annots-true-cls*)

lemma *defined-lit-map*:
defined-lit Ls L $\longleftrightarrow$ *atm-of L* $\in$ (λ*l. atm-of* (*lit-of l*)) ‘ *set Ls*
unfolding *defined-lit-def* **apply** (*rule iffI*)
using *image-iff* **apply** *fastforce*
by (*fastforce simp add*: *atm-of-eq-atm-of dest*: *atm-imp-marked-or-proped*)

lemma *defined-lit-uminus*[*iff*]:
defined-lit I ($-L$) $\longleftrightarrow$ *defined-lit I L*

unfolding *defined-lit-def* **by** *auto*

lemma *Marked-Propagated-in-iff-in-lits-of*:
defined-lit I L $\longleftrightarrow$ (*L* $\in$ *lits-of I* $\vee$ $-$*L* $\in$ *lits-of I*)
unfolding *lits-of-def defined-lit-def*
by (*auto simp*: *rev-image-eqI*) (*rename-tac x*, *case-tac x*, *auto*)+

lemma *consistent-add-undefined-lit-consistent*[*simp*]:
assumes
consistent-interp (*lits-of Ls*) **and**
undefined-lit Ls L
shows *consistent-interp* (*insert L* (*lits-of Ls*))
using *assms* **unfolding** *consistent-interp-def* **by** (*auto simp*: *Marked-Propagated-in-iff-in-lits-of*)

lemma *decided-empty*[*simp*]:
$\neg$*defined-lit* [] *L*
unfolding *defined-lit-def* **by** *simp*

13.2 Backtracking

fun *backtrack-split* :: ('*v*, '*l*, '*m*) *marked-lits*
$\Rightarrow$ ('*v*, '*l*, '*m*) *marked-lits* $\times$ ('*v*, '*l*, '*m*) *marked-lits* **where**
backtrack-split [] = ([], []) |
backtrack-split (*Propagated L P* # *mlits*) = *apfst* ((*op* #) (*Propagated L P*)) (*backtrack-split mlits*) |
backtrack-split (*Marked L l* # *mlits*) = ([], *Marked L l* # *mlits*)

lemma *backtrack-split-fst-not-marked*: *a* $\in$ *set* (*fst* (*backtrack-split l*)) $\Longrightarrow$ $\neg$*is-marked a*
by (*induct l rule*: *marked-lit-list-induct*) *auto*

lemma *backtrack-split-snd-hd-marked*:
snd (*backtrack-split l*) $\neq$ [] $\Longrightarrow$ *is-marked* (*hd* (*snd* (*backtrack-split l*)))
by (*induct l rule*: *marked-lit-list-induct*) *auto*

lemma *backtrack-split-list-eq*[*simp*]:
fst (*backtrack-split l*) @ (*snd* (*backtrack-split l*)) = *l*
by (*induct l rule*: *marked-lit-list-induct*) *auto*

lemma *backtrack-snd-empty-not-marked*:
backtrack-split M = (*M''*, []) $\Longrightarrow$ $\forall$ *l*$\in$*set M*. $\neg$ *is-marked l*
by (*metis append-Nil2 backtrack-split-fst-not-marked backtrack-split-list-eq snd-conv*)

lemma *backtrack-split-some-is-marked-then-snd-has-hd*:
$\exists$ *l*$\in$*set M*. *is-marked l* $\Longrightarrow$ $\exists$ *M' L' M''*. *backtrack-split M* = (*M''*, *L'* # *M'*)
by (*metis backtrack-snd-empty-not-marked list.exhaust prod.collapse*)

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

lemma *backtrack-split-takeWhile-dropWhile*:
backtrack-split M = (*takeWhile* (*Not o is-marked*) *M*, *dropWhile* (*Not o is-marked*) *M*)
proof (*induct M*)
case *Nil* **show** *?case* **by** *simp*
next
case (*Cons L M*) **thus** *?case* **by** (*cases L*) *auto*
qed

13.3 Decomposition with respect to the marked literals

The pattern *get-all-marked-decomposition* [] = [([], [])] is necessary otherwise, we can call the *hd* function in the other pattern.

```
fun get-all-marked-decomposition :: ('a, 'l, 'm) marked-lits
  ⇒ (('a, 'l, 'm) marked-lits × ('a, 'l, 'm) marked-lits) list where
get-all-marked-decomposition (Marked L l # Ls) =
  (Marked L l # Ls, []) # get-all-marked-decomposition Ls |
get-all-marked-decomposition (Propagated L P# Ls) =
  (apsnd ((op #) (Propagated L P)) (hd (get-all-marked-decomposition Ls)))
    # tl (get-all-marked-decomposition Ls) |
get-all-marked-decomposition [] = [([], [])]

value get-all-marked-decomposition [Propagated A5 B5, Marked C4 D4, Propagated A3 B3,
  Propagated A2 B2, Marked C1 D1, Propagated A0 B0]


lemma get-all-marked-decomposition-never-empty[iff]:
  get-all-marked-decomposition M = [] ⟷ False
  by (induct M, simp) (rename-tac a xs, case-tac a, auto)

lemma get-all-marked-decomposition-never-empty-sym[iff]:
  [] = get-all-marked-decomposition M ⟷ False
  using get-all-marked-decomposition-never-empty[of M] by presburger

lemma get-all-marked-decomposition-decomp:
  hd (get-all-marked-decomposition S) = (a, c) ⟹ S = c @ a
proof (induct S arbitrary: a c)
  case Nil
  thus ?case by simp
next
  case (Cons x A)
  thus ?case by (cases x; cases hd (get-all-marked-decomposition A)) auto
qed

lemma get-all-marked-decomposition-backtrack-split:
  backtrack-split S = (M, M') ⟷ hd (get-all-marked-decomposition S) = (M', M)
proof (induction S arbitrary: M M')
  case Nil
  thus ?case by auto
next
  case (Cons a S)
  thus ?case using backtrack-split-takeWhile-dropWhile by (cases a) force+
qed

lemma get-all-marked-decomposition-nil-backtrack-split-snd-nil:
  get-all-marked-decomposition S = [([], A)] ⟹ snd (backtrack-split S) = []
  by (simp add: get-all-marked-decomposition-backtrack-split sndI)

lemma get-all-marked-decomposition-length-1-fst-empty-or-length-1:
  assumes get-all-marked-decomposition M = (a, b) # []
  shows a = [] ∨ (length a = 1 ∧ is-marked (hd a) ∧ hd a ∈ set M)
  using assms
proof (induct M arbitrary: a b)
  case Nil thus ?case by simp
```

```
next
  case (Cons m M)
  show ?case
    proof (cases m)
      case (Marked l mark)
      thus ?thesis using Cons by simp
    next
      case (Propagated l mark)
      thus ?thesis using Cons by (cases get-all-marked-decomposition M) force+
    qed
qed

lemma get-all-marked-decomposition-fst-empty-or-hd-in-M:
  assumes get-all-marked-decomposition M = (a, b) # l
  shows a = [] ∨ (is-marked (hd a) ∧ hd a ∈ set M)
  using assms apply (induct M arbitrary: a b rule: marked-lit-list-induct)
    apply auto[2]
  by (metis UnCI backtrack-split-snd-hd-marked get-all-marked-decomposition-backtrack-split
    get-all-marked-decomposition-decomp hd-in-set list.sel(1) set-append snd-conv)

lemma get-all-marked-decomposition-snd-not-marked:
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  and L ∈ set b
  shows ¬is-marked L
  using assms apply (induct M arbitrary: a b rule: marked-lit-list-induct, simp)
  by (rename-tac L' l xs a b, case-tac get-all-marked-decomposition xs; fastforce)+

lemma tl-get-all-marked-decomposition-skip-some:
  assumes x ∈ set (tl (get-all-marked-decomposition M1))
  shows x ∈ set (tl (get-all-marked-decomposition (M0 @ M1)))
  using assms
  by (induct M0 rule: marked-lit-list-induct)
     (auto simp add: list.set-sel(2))

lemma hd-get-all-marked-decomposition-skip-some:
  assumes (x, y) = hd (get-all-marked-decomposition M1)
  shows (x, y) ∈ set (get-all-marked-decomposition (M0 @ Marked K i # M1))
  using assms
proof (induct M0)
  case Nil
  thus ?case by auto
next
  case (Cons L M0)
  hence xy: (x, y) ∈ set (get-all-marked-decomposition (M0 @ Marked K i # M1)) by blast
  show ?case
    proof (cases L)
      case (Marked l m)
      thus ?thesis using xy by auto
    next
      case (Propagated l m)
      thus ?thesis
        using xy Cons.prems
        by (cases get-all-marked-decomposition (M0 @ Marked K i # M1))
           (auto dest!: get-all-marked-decomposition-decomp
              arg-cong[of get-all-marked-decomposition - - hd])
    qed
qed

lemma get-all-marked-decomposition-snd-union:
  set M = ⋃(set ‘ snd ‘ set (get-all-marked-decomposition M)) ∪ {L |L. is-marked L ∧ L ∈ set M}
  (is ?M M = ?U M ∪ ?Ls M)
proof (induct M arbitrary:)
  case Nil
  thus ?case by simp
next
  case (Cons L M)
  show ?case
    proof (cases L)
      case (Marked a l) note L = this
      hence L ∈ ?Ls (L#M) by auto
      moreover have ?U (L#M) = ?U M unfolding L by auto
      moreover have ?M M = ?U M ∪ ?Ls M using Cons.hyps by auto
      ultimately show ?thesis by auto
    next
      case (Propagated a P)
      thus ?thesis using Cons.hyps by (cases (get-all-marked-decomposition M)) auto
    qed
qed

lemma in-get-all-marked-decomposition-in-get-all-marked-decomposition-prepend:
  (a, b) ∈ set (get-all-marked-decomposition M') ⟹
    ∃ b'. (a, b' @ b) ∈ set (get-all-marked-decomposition (M @ M'))
  apply (induction M rule: marked-lit-list-induct)
    apply (metis append-Nil)
   apply auto[]
  by (rename-tac L' m xs, case-tac get-all-marked-decomposition (xs @ M')) auto

lemma get-all-marked-decomposition-remove-unmarked-length:
  assumes ∀ l ∈ set M'. ¬is-marked l
  shows length (get-all-marked-decomposition (M' @ M''))
    = length (get-all-marked-decomposition M'')
  using assms by (induct M' arbitrary: M'' rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-not-is-marked-length:
  assumes ∀ l ∈ set M'. ¬is-marked l
  shows 1 + length (get-all-marked-decomposition (Propagated (−L) P # M))
    = length (get-all-marked-decomposition (M' @ Marked L l # M))
 using assms get-all-marked-decomposition-remove-unmarked-length by fastforce

lemma get-all-marked-decomposition-last-choice:
  assumes tl (get-all-marked-decomposition (M' @ Marked L l # M)) ≠ []
  and ∀ l ∈ set M'. ¬is-marked l
  and hd (tl (get-all-marked-decomposition (M' @ Marked L l # M))) = (M0', M0)
  shows hd (get-all-marked-decomposition (Propagated (−L) P # M)) = (M0', Propagated (−L) P #
M0)
  using assms by (induct M' rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-except-last-choice-equal:
  assumes ∀ l ∈ set M'. ¬is-marked l
  shows tl (get-all-marked-decomposition (Propagated (−L) P # M))
```

```
    = tl (tl (get-all-marked-decomposition (M' @ Marked L l # M)))
  using assms by (induct M'  rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-hd-hd:
  assumes get-all-marked-decomposition Ls = (M, C) # (M0, M0') # l
  shows tl M = M0' @ M0 ∧ is-marked (hd M)
  using assms
proof (induct Ls arbitrary: M C M0 M0' l)
  case Nil
  thus ?case by simp
next
  case (Cons a Ls M C M0 M0' l) note IH = this(1) and g = this(2)
  { fix L level
    assume a: a = Marked L level
    have Ls = M0' @ M0
      using g a by (force intro: get-all-marked-decomposition-decomp)
    hence tl M = M0' @ M0 ∧ is-marked (hd M) using g a by auto
  }
  moreover {
    fix L P
    assume a: a = Propagated L P
    have tl M = M0' @ M0 ∧ is-marked (hd M)
      using IH Cons.prems unfolding a by (cases get-all-marked-decomposition Ls) auto
  }
  ultimately show ?case by (cases a) auto
qed

lemma get-all-marked-decomposition-exists-prepend[dest]:
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  shows ∃ c. M = c @ b @ a
  using assms apply (induct M rule: marked-lit-list-induct)
    apply simp
  by (rename-tac L' m xs, case-tac get-all-marked-decomposition xs;
    auto dest!: arg-cong[of get-all-marked-decomposition - - hd]
      get-all-marked-decomposition-decomp)+

lemma get-all-marked-decomposition-incl:
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  shows set b ⊆ set M and set a ⊆ set M
  using assms get-all-marked-decomposition-exists-prepend by fastforce+

lemma get-all-marked-decomposition-exists-prepend':
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  obtains c where M = c @ b @ a
  using assms apply (induct M rule: marked-lit-list-induct)
    apply auto[1]
  by (rename-tac L' m xs, case-tac hd (get-all-marked-decomposition xs),
    auto dest!: get-all-marked-decomposition-decomp simp add: list.set-sel(2))+

lemma union-in-get-all-marked-decomposition-is-subset:
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  shows set a ∪ set b ⊆ set M
  using assms by force
```

```
definition all-decomposition-implies :: 'a literal multiset set
  ⇒ (('a, 'l, 'm) marked-lit list × ('a, 'l, 'm) marked-lit list) list ⇒ bool where
 all-decomposition-implies N S
   ⟷ (∀ (Ls, seen) ∈ set S. unmark Ls ∪ N ⊨ps unmark seen)

lemma all-decomposition-implies-empty[iff]:
  all-decomposition-implies N [] unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-single[iff]:
  all-decomposition-implies N [(Ls, seen)]
    ⟷ unmark Ls ∪ N ⊨ps unmark seen
  unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-append[iff]:
  all-decomposition-implies N (S @ S')
    ⟷ (all-decomposition-implies N S ∧ all-decomposition-implies N S')
  unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-cons-pair[iff]:
  all-decomposition-implies N ((Ls, seen) # S')
    ⟷ (all-decomposition-implies N [(Ls, seen)] ∧ all-decomposition-implies N S')
  unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-cons-single[iff]:
  all-decomposition-implies N (l # S') ⟷
    (unmark (fst l) ∪ N ⊨ps unmark (snd l) ∧
      all-decomposition-implies N S')
  unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-trail-is-implied:
  assumes all-decomposition-implies N (get-all-marked-decomposition M)
  shows N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
    ⊨ps (λa. {#lit-of a#}) ` ⋃(set ` snd ` set (get-all-marked-decomposition M))
using assms
proof (induct length (get-all-marked-decomposition M) arbitrary: M)
  case 0
  thus ?case by auto
next
  case (Suc n) note IH = this(1) and length = this(2)
  {
    assume length (get-all-marked-decomposition M) ≤ 1
    then obtain a b where g: get-all-marked-decomposition M = (a, b) # []
      by (cases get-all-marked-decomposition M) auto
    moreover {
      assume a = []
      hence ?case using Suc.prems g by auto
    }
    moreover {
      assume l: length a = 1 and m: is-marked (hd a) and hd: hd a ∈ set M
      hence (λa. {#lit-of a#}) (hd a) ∈ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M} by auto
      hence H: unmark a ∪ N ⊆ N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
        using l by (cases a) auto
      have f1: (λm. {#lit-of m#}) ` set a ∪ N ⊨ps (λm. {#lit-of m#}) ` set b
        using Suc.prems unfolding all-decomposition-implies-def g by simp
      have ?case
      unfolding g apply (rule true-clss-clss-subset) using f1 H by auto
    }
    ultimately have ?case using get-all-marked-decomposition-length-1-fst-empty-or-length-1 by blast
  }
  moreover {
    assume length (get-all-marked-decomposition M) > 1
    then obtain Ls0 seen0 M' where
      Ls0: get-all-marked-decomposition M = (Ls0, seen0) # get-all-marked-decomposition M' and
      length': length (get-all-marked-decomposition M') = n and
      M'-in-M: set M' ⊆ set M
      using length apply (induct M)
        apply simp
      by (rename-tac a M, case-tac a, case-tac hd (get-all-marked-decomposition M))
         (auto simp add: subset-insertI2)
    {
      assume n = 0
      hence get-all-marked-decomposition M' = [] using length' by auto
      hence ?case using Suc.prems unfolding all-decomposition-implies-def Ls0 by auto
    }
    moreover {
      assume n: n > 0
      then obtain Ls1 seen1 l where Ls1: get-all-marked-decomposition M' = (Ls1, seen1) # l
        using length' by (induct M', simp) (rename-tac a xs, case-tac a, auto)

      have all-decomposition-implies N (get-all-marked-decomposition M')
        using Suc.prems unfolding Ls0 all-decomposition-implies-def by auto
      hence N: N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M'}
          ⊨ps (λa. {#lit-of a#}) ` ⋃(set ` snd ` set (get-all-marked-decomposition M'))
        using IH length' by auto

      have l: N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M'}
        ⊆ N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
        using M'-in-M by auto
      hence ΨN: N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
        ⊨ps (λa. {#lit-of a#}) ` ⋃(set ` snd ` set (get-all-marked-decomposition M'))
        using true-clss-clss-subset[OF l N] by auto
      have is-marked (hd Ls0) and LS: tl Ls0 = seen1 @ Ls1
        using get-all-marked-decomposition-hd-hd[of M] unfolding Ls0 Ls1 by auto

      have LSM: seen1 @ Ls1 = M' using get-all-marked-decomposition-decomp[of M'] Ls1 by auto
      have M': set M' = Union (set ` snd ` set (get-all-marked-decomposition M'))
        ∪ {L |L. is-marked L ∧ L ∈ set M'}
        using get-all-marked-decomposition-snd-union by auto

      {
        assume Ls0 ≠ []
        hence hd Ls0 ∈ set M using get-all-marked-decomposition-fst-empty-or-hd-in-M Ls0 by blast
        hence N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M} ⊨p (λa. {#lit-of a#}) (hd Ls0)
          using ‹is-marked (hd Ls0)› by (metis (mono-tags, lifting) UnCI mem-Collect-eq
            true-clss-cls-in)
      } note hd-Ls0 = this

      have l: (λa. {#lit-of a#}) ` (⋃(set ` snd ` set (get-all-marked-decomposition M'))
          ∪ {L |L. is-marked L ∧ L ∈ set M'})
        = (λa. {#lit-of a#}) `
```

```
          ⋃(set ‘ snd ‘ set (get-all-marked-decomposition M′))
          ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M′}
        by auto
      have N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M′} ⊨ps
             (λa. {#lit-of a#}) ‘ (⋃(set ‘ snd ‘ set (get-all-marked-decomposition M′))
                ∪ {L |L. is-marked L ∧ L ∈ set M′})
        unfolding l using N by (auto simp add: all-in-true-clss-clss)
      hence N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M′} ⊨ps unmark (tl Ls0)
        using M′ unfolding LS LSM by auto
      hence t: N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M′}
        ⊨ps unmark (tl Ls0)
        by (blast intro: all-in-true-clss-clss)
      hence N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
        ⊨ps unmark (tl Ls0)
        using M′-in-M true-clss-clss-subset[OF - t,
          of N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}]
        by auto
      hence N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M} ⊨ps unmark Ls0
        using hd-Ls0 by (cases Ls0, auto)

      moreover have unmark Ls0 ∪ N ⊨ps unmark seen0
        using Suc.prems unfolding Ls0 all-decomposition-implies-def by simp
      moreover have ⋀M Ma. (M::′a literal multiset set) ∪ Ma ⊨ps M
        by (simp add: all-in-true-clss-clss)
      ultimately have Ψ: N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M} ⊨ps
          unmark seen0
        by (meson true-clss-clss-left-right true-clss-clss-union-and true-clss-clss-union-l-r)
      have (λa. {#lit-of a#})‘(set seen0
          ∪ (⋃x∈set (get-all-marked-decomposition M′). set (snd x)))
        = unmark seen0
          ∪ (λa. {#lit-of a#}) ‘ (⋃x∈set (get-all-marked-decomposition M′). set (snd x))
        by auto

      hence ?case unfolding Ls0 using Ψ ΨN by simp
    }
    ultimately have ?case by auto
  }
  ultimately show ?case by arith
qed

lemma all-decomposition-implies-propagated-lits-are-implied:
  assumes all-decomposition-implies N (get-all-marked-decomposition M)
  shows N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M} ⊨ps unmark M
    (is ?I ⊨ps ?A)
proof −
  have ?I ⊨ps (λa. {#lit-of a#}) ‘ {L |L. is-marked L ∧ L ∈ set M}
    by (auto intro: all-in-true-clss-clss)
  moreover have ?I ⊨ps (λa. {#lit-of a#}) ‘ ⋃(set ‘ snd ‘ set (get-all-marked-decomposition M))
    using all-decomposition-implies-trail-is-implied assms by blast
  ultimately have N ∪ {{#lit-of m#} |m. is-marked m ∧ m ∈ set M}
    ⊨ps (λm. {#lit-of m#}) ‘ ⋃(set ‘ snd ‘ set (get-all-marked-decomposition M))
      ∪ (λm. {#lit-of m#}) ‘ {m |m. is-marked m ∧ m ∈ set M}
      by blast
  thus ?thesis
    by (metis (no-types) get-all-marked-decomposition-snd-union[of M] image-Un)
```

qed

lemma *all-decomposition-implies-insert-single*:
  all-decomposition-implies N M $\Longrightarrow$ *all-decomposition-implies* (*insert C N*) *M*
  unfolding *all-decomposition-implies-def* **by** *auto*

13.4 Negation of Clauses

definition *CNot* :: *'v clause* $\Rightarrow$ *'v clauses* **where**
CNot ψ = { {#−*L*#} | *L*. *L* ∈# ψ }

lemma *in-CNot-uminus*[*iff*]:
  shows {#*L*#} ∈ *CNot* ψ $\longleftrightarrow$ −*L* ∈# ψ
  using *assms* **unfolding** *CNot-def* **by** *force*

lemma *CNot-singleton*[*simp*]: *CNot* {#*L*#} = {{#−*L*#}} **unfolding** *CNot-def* **by** *auto*
lemma *CNot-empty*[*simp*]: *CNot* {#} = {} **unfolding** *CNot-def* **by** *auto*
lemma *CNot-plus*[*simp*]: *CNot* (*A* + *B*) = *CNot A* ∪ *CNot B* **unfolding** *CNot-def* **by** *auto*

lemma *CNot-eq-empty*[*iff*]:
  CNot D = {} $\longleftrightarrow$ *D* = {#}
  unfolding *CNot-def* **by** (*auto simp add*: *multiset-eqI*)

lemma *in-CNot-implies-uminus*:
  assumes *L* ∈# *D*
  and *M* ⊨*as CNot D*
  shows *M* ⊨*a* {#−*L*#} **and** −*L* ∈ *lits-of M*
  using *assms* **by** (*auto simp add*: *true-annots-def true-annot-def CNot-def*)

lemma *CNot-remdups-mset*[*simp*]:
  CNot (*remdups-mset A*) = *CNot A*
  unfolding *CNot-def* **by** *auto*

lemma *Ball-CNot-Ball-mset*[*simp*] :
  (∀ *x*∈*CNot D*. *P x*) $\longleftrightarrow$ (∀ *L*∈# *D*. *P* {#−*L*#})
 unfolding *CNot-def* **by** *auto*

lemma *consistent-CNot-not*:
  assumes *consistent-interp I*
  shows *I* ⊨*s CNot* φ $\Longrightarrow$ ¬*I* ⊨ φ
  using *assms* **unfolding** *consistent-interp-def true-clss-def true-cls-def* **by** *auto*

lemma *total-not-true-cls-true-clss-CNot*:
  assumes *total-over-m I* {φ} **and** ¬*I* ⊨ φ
  shows *I* ⊨*s CNot* φ
  using *assms* **unfolding** *total-over-m-def total-over-set-def true-clss-def true-cls-def CNot-def*
    apply *clarify*
  by (*rename-tac x L*, *case-tac L*) (*force intro*: *pos-lit-in-atms-of neg-lit-in-atms-of*)+

lemma *total-not-CNot*:
  assumes *total-over-m I* {φ} **and** ¬*I* ⊨*s CNot* φ
  shows *I* ⊨ φ
  using *assms total-not-true-cls-true-clss-CNot* **by** *auto*

lemma *atms-of-ms-CNot-atms-of*[*simp*]:
  atms-of-ms (*CNot C*) = *atms-of C*

```
  unfolding atms-of-ms-def atms-of-def CNot-def by fastforce

lemma true-clss-clss-contradiction-true-clss-cls-false:
  C ∈ D ⟹ D ⊨ps CNot C ⟹ D ⊨p {#}
  unfolding true-clss-clss-def true-clss-cls-def total-over-m-def
  by (metis Un-commute atms-of-empty atms-of-ms-CNot-atms-of atms-of-ms-insert atms-of-ms-union
    consistent-CNot-not insert-absorb sup-bot.left-neutral true-clss-def)

lemma true-annots-CNot-all-atms-defined:
  assumes M ⊨as CNot T and a1: L ∈# T
  shows atm-of L ∈ atm-of ‘ lits-of M
  by (metis assms atm-of-uminus image-eqI in-CNot-implies-uminus(1) true-annot-singleton)

lemma true-clss-clss-false-left-right:
  assumes {{#L#}} ∪ B ⊨p {#}
  shows B ⊨ps CNot {#L#}
  unfolding true-clss-clss-def true-clss-cls-def
proof (intro allI impI)
  fix I
  assume
    tot: total-over-m I (B ∪ CNot {#L#}) and
    cons: consistent-interp I and
    I: I ⊨s B
  have total-over-m I ({{#L#}} ∪ B) using tot by auto
  hence ¬I ⊨s insert {#L#} B
    using assms cons unfolding true-clss-cls-def by simp
  thus I ⊨s CNot {#L#}
    using tot I by (cases L) auto
qed

lemma true-annots-true-cls-def-iff-negation-in-model:
  M ⊨as CNot C ⟷ (∀ L ∈# C. −L ∈ lits-of M)
  unfolding CNot-def true-annots-true-cls true-clss-def by auto

lemma consistent-CNot-not-tautology:
  consistent-interp M ⟹ M ⊨s CNot D ⟹ ¬tautology D
  by (metis atms-of-ms-CNot-atms-of consistent-CNot-not satisfiable-carac′ satisfiable-def
    tautology-def total-over-m-def)

lemma atms-of-ms-CNot-atms-of-ms: atms-of-ms (CNot CC) = atms-of-ms {CC}
  by simp

lemma total-over-m-CNot-toal-over-m[simp]:
  total-over-m I (CNot C) = total-over-set I (atms-of C)
  unfolding total-over-m-def total-over-set-def by auto

lemma uminus-lit-swap: −(a::′a literal) = i ⟷ a = −i
  by auto

lemma true-clss-cls-plus-CNot:
  assumes CC-L: A ⊨p CC + {#L#}
  and CNot-CC: A ⊨ps CNot CC
  shows A ⊨p {#L#}
  unfolding true-clss-clss-def true-clss-cls-def CNot-def total-over-m-def
proof (intro allI impI)
```

```
  fix I
  assume tot: total-over-set I (atms-of-ms (A ∪ {{#L#}}))
  and cons: consistent-interp I
  and I: I ⊨s A
  let ?I = I ∪ {Pos P|P. P ∈ atms-of CC ∧ P ∉ atm-of ‘ I}
  have cons': consistent-interp ?I
    using cons unfolding consistent-interp-def
    by (auto simp add: uminus-lit-swap atms-of-def rev-image-eqI)
  have I': ?I ⊨s A
    using I true-clss-union-increase by blast
  have tot-CNot: total-over-m ?I (A ∪ CNot CC)
    using tot atms-of-s-def by (fastforce simp add: total-over-m-def total-over-set-def)

  hence tot-I-A-CC-L: total-over-m ?I (A ∪ {CC + {#L#}})
    using tot unfolding total-over-m-def total-over-set-atm-of by auto
  hence ?I ⊨ CC + {#L#} using CC-L cons' I' unfolding true-clss-cls-def by blast
  moreover
    have ?I ⊨s CNot CC using CNot-CC cons' I' tot-CNot unfolding true-clss-clss-def by auto
    hence ¬A ⊨p CC
      by (metis (no-types, lifting) I' atms-of-ms-CNot-atms-of-ms atms-of-ms-union cons'
        consistent-CNot-not tot-CNot total-over-m-def true-clss-cls-def)
    hence ¬?I ⊨ CC using ‹?I ⊨s CNot CC› cons' consistent-CNot-not by blast
  ultimately have ?I ⊨ {#L#} by blast
  thus I ⊨ {#L#}
    by (metis (no-types, lifting) atms-of-ms-union cons' consistent-CNot-not tot total-not-CNot
      total-over-m-def total-over-set-union true-clss-union-increase)
qed

lemma true-annots-CNot-lit-of-notin-skip:
  assumes LM: L # M ⊨as CNot A and LA: lit-of L ∉# A −lit-of L ∉# A
  shows M ⊨as CNot A
  using LM unfolding true-annots-def Ball-def
proof (intro allI impI)
  fix l
  assume H: ∀x. x ∈ CNot A ⟶ L # M ⊨a x  and l: l ∈ CNot A
  hence L # M ⊨a l by auto
  thus M ⊨a l using LA l by (cases L) (auto simp add: CNot-def)
 qed

lemma true-clss-clss-union-false-true-clss-clss-cnot:
  A ∪ {B} ⊨ps {{#}} ⟷ A ⊨ps CNot B
  using total-not-CNot consistent-CNot-not unfolding total-over-m-def true-clss-clss-def
  by fastforce

lemma true-annot-remove-hd-if-notin-vars:
  assumes a # M'⊨a D
  and atm-of (lit-of a) ∉ atms-of D
  shows M' ⊨a D
  using assms true-cls-remove-hd-if-notin-vars unfolding true-annot-def by auto

lemma true-annot-remove-if-notin-vars:
  assumes M @ M'⊨a D
  and ∀x∈atms-of D. x ∉ atm-of ‘ lits-of M
  shows M' ⊨a D
  using assms apply (induct M, simp)
```

using *true-annot-remove-hd-if-notin-vars* **by** *force+*

lemma *true-annots-remove-if-notin-vars*:
  assumes *M* @ *M'*⊨*as D*
  and ∀ *x*∈*atms-of-ms D*. *x* ∉ *atm-of* ' *lits-of M*
  shows *M'* ⊨*as D* **unfolding** *true-annots-def*
  using *assms true-annot-remove-if-notin-vars*[*of M M'*]
  unfolding *true-annots-def atms-of-ms-def* **by** *force*

lemma *all-variables-defined-not-imply-cnot*:
  assumes ∀ *s* ∈ *atms-of-ms* {*B*}. *s* ∈ *atm-of* ' *lits-of A*
  and ¬ *A* ⊨*a B*
  shows *A* ⊨*as CNot B*
  unfolding *true-annot-def true-annots-def Ball-def CNot-def true-lit-def*
proof (*clarify*, *rule ccontr*)
  fix *L*
  assume *LB*: *L* ∈# *B* **and** ¬ *lits-of A* ⊨*l* − *L*
  hence *atm-of L* ∈ *atm-of* ' *lits-of A*
    using *assms*(*1*) **by** (*simp add*: *atm-of-lit-in-atms-of lits-of-def*)
  hence *L* ∈ *lits-of A* ∨ −*L* ∈ *lits-of A*
    using *atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set* **by** *metis*
  hence *L* ∈ *lits-of A* **using** ‹ ¬ *lits-of A* ⊨*l* − *L*› **by** *auto*
  thus *False*
    using *LB assms*(*2*) **unfolding** *true-annot-def true-lit-def true-cls-def Bex-mset-def*
    by *blast*
qed

lemma *CNot-union-mset*[*simp*]:
  CNot (*A* #∪ *B*) = *CNot A* ∪ *CNot B*
  unfolding *CNot-def* **by** *auto*

13.5 Other

abbreviation *no-dup L* ≡ *distinct* (*map* (λ*l*. *atm-of* (*lit-of l*)) *L*)

lemma *no-dup-rev*[*simp*]:
  no-dup (*rev M*) ⟷ *no-dup M*
  by (*auto simp*: *rev-map*[*symmetric*])

lemma *no-dup-length-eq-card-atm-of-lits-of*:
  assumes *no-dup M*
  shows *length M* = *card* (*atm-of* ' *lits-of M*)
  using *assms* **unfolding** *lits-of-def* **by** (*induct M*) (*auto simp add*: *image-image*)

lemma *distinctconsistent-interp*:
  no-dup M ⟹ *consistent-interp* (*lits-of M*)
proof (*induct M*)
  case *Nil*
  show *?case* **by** *auto*
next
  case (*Cons L M*)
  hence *a1*: *consistent-interp* (*lits-of M*) **by** *auto*
  have *a2*: *atm-of* (*lit-of L*) ∉ (λ*l*. *atm-of* (*lit-of l*)) ' *set M* **using** *Cons.prems* **by** *auto*
  have *undefined-lit M* (*lit-of L*)
    using *a2 image-iff* **unfolding** *defined-lit-def* **by** *fastforce*
  thus *?case*

```
    using a1 by simp
qed

lemma distinct-get-all-marked-decomposition-no-dup:
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  and no-dup M
  shows no-dup (a @ b)
  using assms by force

lemma true-annots-lit-of-notin-skip:
  assumes L # M ⊨as CNot A
  and −lit-of L ∉# A
  and no-dup (L # M)
  shows M ⊨as CNot A
proof −
  have ∀ l ∈# A. −l ∈ lits-of (L # M)
    using assms(1) in-CNot-implies-uminus(2) by blast
  moreover
    have atm-of (lit-of L) ∉ atm-of ‘ lits-of M
      using assms(3) unfolding lits-of-def by force
    hence − lit-of L ∉ lits-of M unfolding lits-of-def
      by (metis (no-types) atm-of-uminus imageI)
  ultimately have ∀  l ∈# A. −l ∈ lits-of M
    using assms(2) unfolding Ball-mset-def by (metis insertE lits-of-cons uminus-of-uminus-id)
  thus ?thesis by (auto simp add: true-annots-def)
qed

type-synonym 'v clauses = 'v clause multiset

abbreviation true-annots-mset (infix ⊨asm 50) where
I ⊨asm C ≡ I ⊨as (set-mset C)

abbreviation true-clss-clss-m:: 'a clauses ⇒ 'a clauses ⇒ bool (infix ⊨psm 50) where
I ⊨psm C ≡ set-mset I ⊨ps (set-mset C)
```

Analog of ⟦?N ⊨ps ?B; ?A ⊆ ?B⟧ ⟹ ?N ⊨ps ?A

```
lemma true-clss-clssm-subsetE: N ⊨psm B ⟹ A ⊆# B ⟹ N ⊨psm A
  using set-mset-mono true-clss-clss-subsetE by blast

abbreviation true-clss-cls-m:: 'a clauses ⇒ 'a clause ⇒ bool (infix ⊨pm 50) where
I ⊨pm C ≡ set-mset I ⊨p C

abbreviation distinct-mset-mset :: 'a multiset multiset ⇒ bool where
distinct-mset-mset Σ ≡ distinct-mset-set (set-mset Σ)

abbreviation all-decomposition-implies-m where
all-decomposition-implies-m A B ≡ all-decomposition-implies (set-mset A) B

abbreviation atms-of-msu where
atms-of-msu U ≡ atms-of-ms (set-mset U)

abbreviation true-clss-m:: 'a interp ⇒ 'a clauses ⇒ bool (infix ⊨sm 50) where
I ⊨sm C ≡ I ⊨s set-mset C

abbreviation true-clss-ext-m  (infix ⊨sextm 49) where
```

I ⊨*sextm C* ≡ *I* ⊨*sext set-mset C*
end
theory *CDCL-NOT*
imports *Partial-Annotated-Clausal-Logic List-More Wellfounded-More Partial-Clausal-Logic*
begin

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sledgehammer-params[*verbose*, *prover=e spass z3 cvc4 verit remote-vampire*]

declare *set-mset-minus-replicate-mset*[*simp*]

14.1 Auxiliary Lemmas and Measure

lemma *no-dup-cannot-not-lit-and-uminus*:
  no-dup M ⟹ − *lit-of xa* = *lit-of x* ⟹ *x* ∈ *set M* ⟹ *xa* ∉ *set M*
  by (*metis atm-of-uminus distinct-map inj-on-eq-iff uminus-not-id'*)

lemma *true-clss-single-iff-incl*:
  I ⊨*s single* ‘ *B* ⟷ *B* ⊆ *I*
  unfolding *true-clss-def* **by** *auto*

lemma *atms-of-ms-single-atm-of*[*simp*]:
  atms-of-ms {{#*lit-of L*#} |*L*. *P L*} = *atm-of* ‘ {*lit-of L* |*L*. *P L*}
  unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-uminus-lit-atm-of-lit-of*:
  atms-of {#− *lit-of x*. *x* ∈# *A*#} = *atm-of* ‘ (*lit-of* ‘ (*set-mset A*))
  unfolding *atms-of-def* **by** (*auto simp add*: *Fun.image-comp*)

lemma *atms-of-ms-single-image-atm-of-lit-of*:
  atms-of-ms ((λ*x*. {#*lit-of x*#}) ‘ *A*) = *atm-of* ‘ (*lit-of* ‘ *A*)
  unfolding *atms-of-ms-def* **by** *auto*

This measure can also be seen as the increasing lexicographic order: it is an order on bounded sequences, when each element is bounded. The proof involves a measure like the one defined here (the same?).

definition μ_C :: *nat* ⇒ *nat* ⇒ *nat list* ⇒ *nat* **where**
μ_C *s b M* ≡ (∑ *i=0..<length M*. *M*!*i* ∗ *b*^ (*s* +*i* − *length M*))

lemma μ_C-*nil*[*simp*]:
  μ_C *s b* [] = *0*
  unfolding μ_C-*def* **by** *auto*

lemma μ_C-*single*[*simp*]:
  μ_C *s b* [*L*] = *L* ∗ *b* ^ (*s* − *Suc 0*)
  unfolding μ_C-*def* **by** *auto*

lemma *set-sum-atLeastLessThan-add*:
  (∑ *i=k..<k+*(*b*::*nat*). *f i*) = (∑ *i=0..<b*. *f* (*k*+ *i*))
  by (*induction b*) *auto*

lemma *set-sum-atLeastLessThan-Suc*:
  (∑ *i=1..<Suc j*. *f i*) = (∑ *i=0..<j*. *f* (*Suc i*))
  using *set-sum-atLeastLessThan-add*[*of - 1 j*] **by** *force*

lemma μ_C*-cons*:
$\mu_C\ s\ b\ (L\ \#\ M) = L * b\ \hat{}\ (s - 1 - \mathit{length}\ M) + \mu_C\ s\ b\ M$
proof −
have $\mu_C\ s\ b\ (L\ \#\ M) = (\sum i{=}0..{<}\mathit{length}\ (L\#M).\ (L\#M)!i * b\hat{}\ (s + i - \mathit{length}\ (L\#M)))$
unfolding μ_C*-def* **by** *blast*
also have $\ldots = (\sum i{=}0..{<}1.\ (L\#M)!i * b\hat{}\ (s + i - \mathit{length}\ (L\#M)))$
$+ (\sum i{=}1..{<}\mathit{length}\ (L\#M).\ (L\#M)!i * b\hat{}\ (s + i - \mathit{length}\ (L\#M)))$
by (*rule setsum-add-nat-ivl*[*symmetric*]) *simp-all*
finally have $\mu_C\ s\ b\ (L\ \#\ M) = L * b\ \hat{}\ (s - 1 - \mathit{length}\ M)$
$+ (\sum i{=}1..{<}\mathit{length}\ (L\#M).\ (L\#M)!i * b\hat{}\ (s + i - \mathit{length}\ (L\#M)))$
by *auto*
moreover {
have $(\sum i{=}1..{<}\mathit{length}\ (L\#M).\ (L\#M)!i * b\hat{}\ (s + i - \mathit{length}\ (L\#M))) =$
$(\sum i{=}0..{<}\mathit{length}\ (M).\ (L\#M)!(\mathit{Suc}\ i) * b\hat{}\ (s + (\mathit{Suc}\ i) - \mathit{length}\ (L\#M)))$
unfolding *length-Cons set-sum-atLeastLessThan-Suc* **by** *blast*
also have $\ldots = (\sum i{=}0..{<}\mathit{length}\ (M).\ M!i * b\hat{}\ (s + i - \mathit{length}\ M))$
by *auto*
finally have $(\sum i{=}1..{<}\mathit{length}\ (L\#M).\ (L\#M)!i * b\hat{}\ (s + i - \mathit{length}\ (L\#M))) = \mu_C\ s\ b\ M$
unfolding μ_C*-def* **.**
}
ultimately show *?thesis* **by** *presburger*
qed

lemma μ_C*-append*:
assumes $s \geq \mathit{length}\ (M@M')$
shows $\mu_C\ s\ b\ (M@M') = \mu_C\ (s - \mathit{length}\ M')\ b\ M + \mu_C\ s\ b\ M'$
proof −
have $\mu_C\ s\ b\ (M@M') = (\sum i{=}0..{<}\mathit{length}\ (M@M').\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M')))$
unfolding μ_C*-def* **by** *blast*
moreover then have $\ldots = (\sum i{=}0..{<}\ \mathit{length}\ M.\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M')))$
$+ (\sum i{=}\mathit{length}\ M..{<}\mathit{length}\ (M@M').\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M')))$
by (*auto intro*!: *setsum-add-nat-ivl*[*symmetric*])
moreover
have $\forall\, i{\in}\{0..{<}\ \mathit{length}\ M\}.\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M')) = M\ !\ i * b\ \hat{}\ (s - \mathit{length}\ M'$
$+ i - \mathit{length}\ M)$
using ‹$s \geq \mathit{length}\ (M@M')$› **by** (*auto simp add*: *nth-append ac-simps*)
then have $\mu_C\ (s - \mathit{length}\ M')\ b\ M = (\sum i{=}0..{<}\ \mathit{length}\ M.\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}$
$(M@M')))$
unfolding μ_C*-def* **by** *auto*
ultimately have $\mu_C\ s\ b\ (M@M') = \mu_C\ (s - \mathit{length}\ M')\ b\ M$
$+ (\sum i{=}\mathit{length}\ M..{<}\mathit{length}\ (M@M').\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M')))$
by *auto*
moreover {
have $(\sum i{=}\mathit{length}\ M..{<}\mathit{length}\ (M@M').\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M'))) =$
$(\sum i{=}0..{<}\mathit{length}\ M'.\ M'!i * b\hat{}\ (s + i - \mathit{length}\ M'))$
unfolding *length-append set-sum-atLeastLessThan-add* **by** *auto*
then have $(\sum i{=}\mathit{length}\ M..{<}\mathit{length}\ (M@M').\ (M@M')!i * b\hat{}\ (s + i - \mathit{length}\ (M@M'))) = \mu_C\ s\ b$
M'
unfolding μ_C*-def* **.**
}
ultimately show *?thesis* **by** *presburger*
qed

lemma μ_C*-cons-non-empty-inf*:

```
  assumes M-ge-1: ∀ i∈set M. i ≥ 1 and M: M ≠ []
  shows μ_C s b M ≥ b ^ (s − length M)
  using assms by (cases M) (auto simp: mult-eq-if μ_C-cons)
```

Duplicate of " /src/HOL/ex/NatSum.thy" (but generalized to $(0::'a) \leq k$)

```
lemma sum-of-powers: 0 ≤ k ⟹ (k − 1) ∗ (∑ i=0..<n. k^i) = k^n − (1::nat)
  apply (cases k = 0)
    apply (cases n; simp)
  by (induct n) (auto simp: Nat.nat-distrib)
```

In the degenerated cases, we only have the large inequality holds. In the other cases, the following strict inequality holds:

```
lemma μ_C-bounded-non-degenerated:
  fixes b ::nat
  assumes
    b > 0 and
    M ≠ [] and
    M-le: ∀ i < length M. M!i < b and
    s ≥ length M
  shows μ_C s b M < b^s
proof −
  consider (b1) b= 1 | (b) b>1 using ‹b>0› by (cases b) auto
  then show ?thesis
    proof cases
      case b1
      then have ∀ i < length M. M!i = 0 using M-le by auto
      then have μ_C s b M = 0 unfolding μ_C-def by auto
      then show ?thesis using ‹b > 0› by auto
    next
      case b
      have ∀ i ∈ {0..<length M}. M!i ∗ b^ (s +i − length M) ≤ (b−1) ∗ b^ (s +i − length M)
        using M-le ‹b > 1› by auto
      then have μ_C s b M ≤ (∑ i=0..<length M. (b−1) ∗ b^ (s +i − length M))
         using ‹M≠[]› ‹b>0› unfolding μ_C-def by (auto intro: setsum-mono)
      also
       have ∀ i ∈ {0..<length M}. (b−1) ∗ b^ (s +i − length M) = (b−1) ∗ b^ i ∗ b^(s − length M)
         by (metis Nat.add-diff-assoc2 add.commute assms(4) mult.assoc power-add)
       then have (∑ i=0..<length M. (b−1) ∗ b^ (s +i − length M))
         = (∑ i=0..<length M. (b−1)∗ b^ i ∗ b^(s − length M))
         by (auto simp add: ac-simps)
      also have ... = (∑ i=0..<length M. b^ i) ∗ b^(s − length M) ∗ (b−1)
         by (simp add: setsum-left-distrib setsum-right-distrib ac-simps)
      finally have μ_C s b M ≤ (∑ i=0..<length M. b^ i) ∗ (b−1) ∗ b^(s − length M)
        by (simp add: ac-simps)

      also
        have (∑ i=0..<length M. b^ i)∗ (b−1) = b ^ (length M) − 1
          using sum-of-powers[of b length M] ‹b>1›
          by (auto simp add: ac-simps)
      finally have μ_C s b M ≤ (b ^ (length M) − 1) ∗ b ^ (s − length M)
        by auto
      also have ... < b ^ (length M) ∗ b ^ (s − length M)
        using ‹b>1› by auto
      also have ... = b ^ s
        by (metis assms(4) le-add-diff-inverse power-add)
      finally show ?thesis unfolding μC-def by (auto simp add: ac-simps)
    qed
qed
```

In the degenerate case $b = (0::'a)$, the list M is empty (since the list cannot contain any element).

```
lemma μC-bounded:
  fixes b ::nat
  assumes
    M-le: ∀ i < length M. M!i < b and
    s ≥ length M
    b > 0
  shows μC s b M < b ^ s
proof −
  consider (M0) M = [] | (M) b > 0 and M ≠ []
    using M-le by (cases b, cases M) auto
  then show ?thesis
    proof cases
      case M0
      then show ?thesis using M-le ‹b > 0› by auto
    next
      case M
      show ?thesis using μC-bounded-non-degenerated[OF M assms(1,2)] by arith
    qed
qed
```

When $b = 0$, we cannot show that the measure is empty, since $0^0 = 1$.

```
lemma μC-base-0:
  assumes length M ≤ s
  shows μC s 0 M ≤ M!0
proof −
  {
    assume s = length M
    moreover {
      fix n
      have (∑i=0..<n. M ! i * (0::nat) ^ i) ≤ M ! 0
        apply (induction n rule: nat-induct)
        by simp (rename-tac n, case-tac n, auto)
    }
    ultimately have ?thesis unfolding μC-def by auto
  }
  moreover
  {
    assume length M < s
    then have μC s 0 M = 0 unfolding μC-def by auto}
  ultimately show ?thesis using assms unfolding μC-def by linarith
qed
```

14.2 Initial definitions

14.2.1 The state

We define here an abstraction over operation on the state we are manipulating.

```
locale dpll-state =
  fixes
```

trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* ⇒ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒*'st* **and**
add-cls$_{NOT}$ :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
remove-cls$_{NOT}$ :: *'v clause* ⇒ *'st* ⇒ *'st*
assumes
trail-prepend-trail[*simp*]:
⋀*st L*. *undefined-lit* (*trail st*) (*lit-of L*) ⟹ *trail* (*prepend-trail L st*) = *L* # *trail st*
and
tl-trail[*simp*]: *trail* (*tl-trail S*) = *tl* (*trail S*) **and**
trail-add-cls$_{NOT}$[*simp*]: ⋀*st C*. *no-dup* (*trail st*) ⟹ *trail* (*add-cls*$_{NOT}$ *C st*) = *trail st* **and**
trail-remove-cls$_{NOT}$[*simp*]: ⋀*st C*. *trail* (*remove-cls*$_{NOT}$ *C st*) = *trail st* **and**

clauses-prepend-trail[*simp*]:
⋀*st L*. *undefined-lit* (*trail st*) (*lit-of L*) ⟹ *clauses* (*prepend-trail L st*) = *clauses st*
and
clauses-tl-trail[*simp*]: ⋀*st*. *clauses* (*tl-trail st*) = *clauses st* **and**
clauses-add-cls$_{NOT}$[*simp*]:
⋀*st C*. *no-dup* (*trail st*) ⟹ *clauses* (*add-cls*$_{NOT}$ *C st*) = {#*C*#} + *clauses st* **and**
clauses-remove-cls$_{NOT}$[*simp*]: ⋀*st C*. *clauses* (*remove-cls*$_{NOT}$ *C st*) = *remove-mset C* (*clauses st*)
begin

function *reduce-trail-to*$_{NOT}$ :: *'a list* ⇒ *'st* ⇒ *'st* **where**
reduce-trail-to$_{NOT}$ *F S* =
(*if length* (*trail S*) = *length F* ∨ *trail S* = [] *then S else reduce-trail-to*$_{NOT}$ *F* (*tl-trail S*))
by *fast+*
termination by (*relation measure* (λ(-, *S*). *length* (*trail S*))) *auto*
declare *reduce-trail-to*$_{NOT}$.*simps*[*simp del*]

lemma
shows
reduce-trail-to$_{NOT}$-*nil*[*simp*]: *trail S* = [] ⟹ *reduce-trail-to*$_{NOT}$ *F S* = *S* **and**
reduce-trail-to$_{NOT}$-*eq-length*[*simp*]: *length* (*trail S*) = *length F* ⟹ *reduce-trail-to*$_{NOT}$ *F S* = *S*
by (*auto simp*: *reduce-trail-to*$_{NOT}$.*simps*)

lemma *reduce-trail-to*$_{NOT}$-*length-ne*[*simp*]:
length (*trail S*) ≠ *length F* ⟹ *trail S* ≠ [] ⟹
reduce-trail-to$_{NOT}$ *F S* = *reduce-trail-to*$_{NOT}$ *F* (*tl-trail S*)
by (*auto simp*: *reduce-trail-to*$_{NOT}$.*simps*)

lemma *trail-reduce-trail-to*$_{NOT}$-*length-le*:
assumes *length F* > *length* (*trail S*)
shows *trail* (*reduce-trail-to*$_{NOT}$ *F S*) = []
using *assms* **by** (*induction F S rule*: *reduce-trail-to*$_{NOT}$.*induct*)
(*simp add*: *less-imp-diff-less reduce-trail-to*$_{NOT}$.*simps*)

lemma *trail-reduce-trail-to*$_{NOT}$-*nil*[*simp*]:
trail (*reduce-trail-to*$_{NOT}$ [] *S*) = []
by (*induction* [] *S rule*: *reduce-trail-to*$_{NOT}$.*induct*)
(*simp add*: *less-imp-diff-less reduce-trail-to*$_{NOT}$.*simps*)

lemma *clauses-reduce-trail-to*$_{NOT}$-*nil*:
clauses (*reduce-trail-to*$_{NOT}$ [] *S*) = *clauses S*
by (*induction* [] *S rule*: *reduce-trail-to*$_{NOT}$.*induct*)

(*simp add*: *less-imp-diff-less reduce-trail-to*$_{NOT}$.*simps*)

lemma *trail-reduce-trail-to*$_{NOT}$-*drop*:
  trail (*reduce-trail-to*$_{NOT}$ *F S*) =
    (*if length* (*trail S*) $\geq$ *length F*
    then drop (*length* (*trail S*) $-$ *length F*) (*trail S*)
    else [])
  apply (*induction F S rule*: *reduce-trail-to*$_{NOT}$.*induct*)
  apply (*rename-tac F S*, *case-tac trail S*)
   apply *auto*[]
  apply (*rename-tac list*, *case-tac Suc* (*length list*) > *length F*)
   prefer *2* **apply** *simp*
  apply (*subgoal-tac Suc* (*length list*) $-$ *length F* = *Suc* (*length list* $-$ *length F*))
   apply *simp*
  apply *simp*
  done

lemma *reduce-trail-to*$_{NOT}$-*skip-beginning*:
  assumes *trail S* = *F'* @ *F*
  shows *trail* (*reduce-trail-to*$_{NOT}$ *F S*) = *F*
  using *assms* **by** (*auto simp*: *trail-reduce-trail-to*$_{NOT}$-*drop*)

lemma *reduce-trail-to*$_{NOT}$-*clauses*[*simp*]:
  clauses (*reduce-trail-to*$_{NOT}$ *F S*) = *clauses S*
  by (*induction F S rule*: *reduce-trail-to*$_{NOT}$.*induct*)
  (*simp add*: *less-imp-diff-less reduce-trail-to*$_{NOT}$.*simps*)

abbreviation *trail-weight* **where**
trail-weight S $\equiv$ *map* ((λl. *1* + *length l*) *o snd*) (*get-all-marked-decomposition* (*trail S*))

definition *state-eq*$_{NOT}$ :: *'st* $\Rightarrow$ *'st* $\Rightarrow$ *bool* (**infix** $\sim$ *50*) **where**
S $\sim$ *T* $\longleftrightarrow$ *trail S* = *trail T* $\wedge$ *clauses S* = *clauses T*

lemma *state-eq*$_{NOT}$-*ref*[*simp*]:
  S $\sim$ *S*
  unfolding *state-eq*$_{NOT}$-*def* **by** *auto*

lemma *state-eq*$_{NOT}$-*sym*:
  S $\sim$ *T* $\longleftrightarrow$ *T* $\sim$ *S*
  unfolding *state-eq*$_{NOT}$-*def* **by** *auto*

lemma *state-eq*$_{NOT}$-*trans*:
  S $\sim$ *T* $\Longrightarrow$ *T* $\sim$ *U* $\Longrightarrow$ *S* $\sim$ *U*
  unfolding *state-eq*$_{NOT}$-*def* **by** *auto*

lemma
  shows
    state-eq$_{NOT}$-*trail*: *S* $\sim$ *T* $\Longrightarrow$ *trail S* = *trail T* **and**
    state-eq$_{NOT}$-*clauses*: *S* $\sim$ *T* $\Longrightarrow$ *clauses S* = *clauses T*
  unfolding *state-eq*$_{NOT}$-*def* **by** *auto*

lemmas *state-simp*$_{NOT}$[*simp*]= *state-eq*$_{NOT}$-*trail state-eq*$_{NOT}$-*clauses*

lemma *trail-eq-reduce-trail-to*$_{NOT}$-*eq*:

trail S = *trail T* ⟹ *trail* (*reduce-trail-to*$_{NOT}$ *F S*) = *trail* (*reduce-trail-to*$_{NOT}$ *F T*)
apply (*induction F S arbitrary*: *T rule*: *reduce-trail-to*$_{NOT}$.*induct*)
by (*metis tl-trail reduce-trail-to*$_{NOT}$*-eq-length reduce-trail-to*$_{NOT}$*-length-ne reduce-trail-to*$_{NOT}$*-nil*)

lemma *reduce-trail-to*$_{NOT}$*-state-eq*$_{NOT}$*-compatible*:
assumes *ST*: *S* ∼ *T*
shows *reduce-trail-to*$_{NOT}$ *F S* ∼ *reduce-trail-to*$_{NOT}$ *F T*
proof −
have *clauses* (*reduce-trail-to*$_{NOT}$ *F S*) = *clauses* (*reduce-trail-to*$_{NOT}$ *F T*)
using *ST* **by** *auto*
moreover have *trail* (*reduce-trail-to*$_{NOT}$ *F S*) = *trail* (*reduce-trail-to*$_{NOT}$ *F T*)
using *trail-eq-reduce-trail-to*$_{NOT}$*-eq*[*of S T F*] *ST* **by** *auto*
ultimately show *?thesis* **by** (*auto simp del*: *state-simp*$_{NOT}$ *simp*: *state-eq*$_{NOT}$*-def*)
qed

lemma *trail-reduce-trail-to*$_{NOT}$*-add-cls*$_{NOT}$[*simp*]:
no-dup (*trail S*) ⟹
trail (*reduce-trail-to*$_{NOT}$ *F* (*add-cls*$_{NOT}$ *C S*)) = *trail* (*reduce-trail-to*$_{NOT}$ *F S*)
by (*rule trail-eq-reduce-trail-to*$_{NOT}$*-eq*) *simp*

lemma *reduce-trail-to*$_{NOT}$*-trail-tl-trail-decomp*[*simp*]:
trail S = *F'* @ *Marked K* () # *F* ⟹
trail (*reduce-trail-to*$_{NOT}$ *F* (*tl-trail S*)) = *F*
apply (*rule reduce-trail-to*$_{NOT}$*-skip-beginning*[*of - tl* (*F'* @ *Marked K* () # [])])
by (*cases F'*) (*auto simp add*:*tl-append reduce-trail-to*$_{NOT}$*-skip-beginning*)

end

14.2.2 Definition of the operation

locale *propagate-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ **for**
trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* ⇒ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
propagate-cond :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool*
begin
inductive *propagate*$_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool* **where**
propagate$_{NOT}$[*intro*]: *C* + {#*L*#} ∈# *clauses S* ⟹ *trail S* ⊨*as CNot C*
⟹ *undefined-lit* (*trail S*) *L*
⟹ *propagate-cond* (*Propagated L* ()) *S*
⟹ *T* ∼ *prepend-trail* (*Propagated L* ()) *S*
⟹ *propagate*$_{NOT}$ *S T*
inductive-cases *propagateE*[*elim*]: *propagate*$_{NOT}$ *S T*

end

locale *decide-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ **for**
trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* ⇒ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st*

begin
inductive $decide_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool* **where**
$decide_{NOT}$[*intro*]: *undefined-lit* (*trail S*) *L* ⟹ *atm-of L* ∈ *atms-of-msu* (*clauses S*)
  ⟹ *T* ∼ *prepend-trail* (*Marked L* ()) *S*
  ⟹ $decide_{NOT}$ *S T*

inductive-cases *decideE*[*elim*]: $decide_{NOT}$ *S S'*
end

locale *backjumping-ops* =
  dpll-state trail clauses prepend-trail tl-trail $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$
  for
    trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
    clauses :: *'st* ⇒ *'v clauses* **and**
    prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
    tl-trail :: *'st* ⇒ *'st* **and**
    $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* +
  fixes
    backjump-conds :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *'st* ⇒ *bool*
begin
inductive *backjump* **where**
trail S = *F'* @ *Marked K* ()# *F*
   ⟹ *T* ∼ *prepend-trail* (*Propagated L* ()) ($reduce\text{-}trail\text{-}to_{NOT}$ *F S*)
   ⟹ *C* ∈# *clauses S*
   ⟹ *trail S* ⊨*as CNot C*
   ⟹ *undefined-lit F L*
   ⟹ *atm-of L* ∈ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*))
   ⟹ *clauses S* ⊨*pm C'* + {#*L*#}
   ⟹ *F* ⊨*as CNot C'*
   ⟹ *backjump-conds C C' L S T*
   ⟹ *backjump S T*
inductive-cases *backjumpE*: *backjump S T*
end

14.3 DPLL with backjumping

locale *dpll-with-backjumping-ops* =
  dpll-state trail clauses prepend-trail tl-trail $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$ +
  propagate-ops trail clauses prepend-trail tl-trail $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$ *propagate-conds* +
  decide-ops trail clauses prepend-trail tl-trail $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$ +
  backjumping-ops trail clauses prepend-trail tl-trail $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$ *backjump-conds*
  for
    trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
    clauses :: *'st* ⇒ *'v clauses* **and**
    prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
    tl-trail :: *'st* ⇒ *'st* **and**
    $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool* **and**
    inv :: *'st* ⇒ *bool* **and**
    backjump-conds :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *'st* ⇒ *bool* +
  assumes
      bj-can-jump:
      ⋀*S C F' K F L*.
        inv S ⟹
        no-dup (*trail S*) ⟹
        trail S = *F'* @ *Marked K* () # *F* ⟹

$C \in\#$ *clauses* $S \Longrightarrow$
trail $S \models as$ *CNot* $C \Longrightarrow$
undefined-lit $F\ L \Longrightarrow$
atm-of $L \in$ *atms-of-msu* (*clauses* S) $\cup$ *atm-of* ‘ (*lits-of* (F' @ *Marked* K () # F)) $\Longrightarrow$
clauses $S \models pm\ C' + \{\#L\#\} \Longrightarrow$
$F \models as$ *CNot* $C' \Longrightarrow$
$\neg$*no-step backjump* S
begin

We cannot add a like condition *atms-of* $C' \subseteq$ *atms-of-ms* N because to ensure that we can backjump even if the last decision variable has disappeared.
The part of the condition *atm-of* $L \in$ *atm-of* ‘ *lits-of* (F' @ *Marked* K () # F) is important, otherwise you are not sure that you can backtrack.

14.3.1 Definition

We define dpll with backjumping:

inductive *dpll-bj* :: *'st* $\Rightarrow$ *'st* $\Rightarrow$ *bool* **for** S :: *'st* **where**
bj-decide$_{NOT}$: *decide*$_{NOT}$ $S\ S' \Longrightarrow$ *dpll-bj* $S\ S'$ |
bj-propagate$_{NOT}$: *propagate*$_{NOT}$ $S\ S' \Longrightarrow$ *dpll-bj* $S\ S'$ |
bj-backjump: *backjump* $S\ S' \Longrightarrow$ *dpll-bj* $S\ S'$

lemmas *dpll-bj-induct* = *dpll-bj.induct*[*split-format*(*complete*)]
thm *dpll-bj-induct*[*OF dpll-with-backjumping-ops-axioms*]
lemma *dpll-bj-all-induct*[*consumes 2*, *case-names decide*$_{NOT}$ *propagate*$_{NOT}$ *backjump*]:
  fixes $S\ T$ :: *'st*
  assumes
    dpll-bj $S\ T$ **and**
    inv S
    $\bigwedge L\ T.$ *undefined-lit* (*trail* S) $L \Longrightarrow$ *atm-of* $L \in$ *atms-of-msu* (*clauses* S)
      $\Longrightarrow T \sim$ *prepend-trail* (*Marked* L ()) S
      $\Longrightarrow P\ S\ T$ **and**
    $\bigwedge C\ L\ T.\ C + \{\#L\#\} \in\#$ *clauses* $S \Longrightarrow$ *trail* $S \models as$ *CNot* $C \Longrightarrow$ *undefined-lit* (*trail* S) L
      $\Longrightarrow T \sim$ *prepend-trail* (*Propagated* L ()) S
      $\Longrightarrow P\ S\ T$ **and**
    $\bigwedge C\ F'\ K\ F\ L\ C'\ T.\ C \in\#$ *clauses* $S \Longrightarrow F'$ @ *Marked* K () # $F \models as$ *CNot* C
      $\Longrightarrow$ *trail* $S = F'$ @ *Marked* K () # F
      $\Longrightarrow$ *undefined-lit* $F\ L$
      $\Longrightarrow$ *atm-of* $L \in$ *atms-of-msu* (*clauses* S) $\cup$ *atm-of* ‘ (*lits-of* (F' @ *Marked* K () # F))
      $\Longrightarrow$ *clauses* $S \models pm\ C' + \{\#L\#\}$
      $\Longrightarrow F \models as$ *CNot* C'
      $\Longrightarrow T \sim$ *prepend-trail* (*Propagated* L ()) (*reduce-trail-to*$_{NOT}$ $F\ S$)
      $\Longrightarrow P\ S\ T$
  shows $P\ S\ T$
  apply (*induct T rule*: *dpll-bj-induct*[*OF local.dpll-with-backjumping-ops-axioms*])
     apply (*rule assms*(*1*))
    using *assms*(*3*) **apply** *blast*
   apply (*elim propagateE*) **using** *assms*(*4*) **apply** *blast*
  apply (*elim backjumpE*) **using** *assms*(*5*) ‹*inv* S› **by** *simp*

14.3.2 Basic properties

First, some better suited induction principle **lemma** *dpll-bj-clauses*:
  assumes *dpll-bj* $S\ T$ **and** *inv* S

shows *clauses S* = *clauses T*
using *assms* **by** (*induction rule*: *dpll-bj-all-induct*) *auto*

No duplicates in the trail **lemma** *dpll-bj-no-dup*:
assumes *dpll-bj S T* **and** *inv S*
and *no-dup* (*trail S*)
shows *no-dup* (*trail T*)
using *assms* **by** (*induction rule*: *dpll-bj-all-induct*)
(*auto simp add*: *defined-lit-map reduce-trail-to*$_{NOT}$*-skip-beginning*)

Valuations **lemma** *dpll-bj-sat-iff*:
assumes *dpll-bj S T* **and** *inv S*
shows $I \models sm$ *clauses S* $\longleftrightarrow$ $I \models sm$ *clauses T*
using *assms* **by** (*induction rule*: *dpll-bj-all-induct*) *auto*

Clauses **lemma** *dpll-bj-atms-of-ms-clauses-inv*:
assumes
dpll-bj S T **and**
inv S
shows *atms-of-msu* (*clauses S*) = *atms-of-msu* (*clauses T*)
using *assms* **by** (*induction rule*: *dpll-bj-all-induct*) *auto*

lemma *dpll-bj-atms-in-trail*:
assumes
dpll-bj S T **and**
inv S **and**
atm-of ‘ (*lits-of* (*trail S*)) $\subseteq$ *atms-of-msu* (*clauses S*)
shows *atm-of* ‘ (*lits-of* (*trail T*)) $\subseteq$ *atms-of-msu* (*clauses S*)
using *assms* **by** (*induction rule*: *dpll-bj-all-induct*)
(*auto simp*: *in-plus-implies-atm-of-on-atms-of-ms reduce-trail-to*$_{NOT}$*-skip-beginning*)

lemma *dpll-bj-atms-in-trail-in-set*:
assumes *dpll-bj S T***and**
inv S **and**
atms-of-msu (*clauses S*) $\subseteq$ *A* **and**
atm-of ‘ (*lits-of* (*trail S*)) $\subseteq$ *A*
shows *atm-of* ‘ (*lits-of* (*trail T*)) $\subseteq$ *A*
using *assms* **by** (*induction rule*: *dpll-bj-all-induct*)
(*auto simp*: *in-plus-implies-atm-of-on-atms-of-ms*)

lemma *dpll-bj-all-decomposition-implies-inv*:
assumes
dpll-bj S T **and**
inv: *inv S* **and**
decomp: *all-decomposition-implies-m* (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
shows *all-decomposition-implies-m* (*clauses T*) (*get-all-marked-decomposition* (*trail T*))
using *assms*(*1*,*2*)
proof (*induction rule*:*dpll-bj-all-induct*)
case *decide*$_{NOT}$
then show *?case* **using** *decomp* **by** *auto*
next
case (*propagate*$_{NOT}$ *C L T*) **note** *propa* = *this*(*1*) **and** *undef* = *this*(*3*) **and** *T* = *this*(*4*)
let *?M′* = *trail* (*prepend-trail* (*Propagated L* ()) *S*)
let *?N* = *clauses S*
obtain *a y l* **where** *ay*: *get-all-marked-decomposition ?M′* = (*a*, *y*) # *l*

```
  by (cases get-all-marked-decomposition ?M') fastforce+
then have M': ?M' = y @ a using get-all-marked-decomposition-decomp[of ?M'] by auto
have M: get-all-marked-decomposition (trail S) = (a, tl y) # l
  using ay undef by (cases  get-all-marked-decomposition (trail S)) auto
have y₀: y = (Propagated L ()) # (tl y)
  using ay undef by (auto simp add: M)
from arg-cong[OF this, of set] have y[simp]: set y = insert (Propagated L ()) (set (tl y))
  by simp
have tr-S: trail S = tl y @ a
  using arg-cong[OF M', of tl] y₀ M get-all-marked-decomposition-decomp by force
have a-Un-N-M: unmark a ∪ set-mset ?N ⊨ps unmark (tl y)
  using decomp ay unfolding all-decomposition-implies-def by (simp add: M)+

moreover have unmark a ∪ set-mset ?N ⊨p {#L#} (is ?I ⊨p -)
  proof (rule true-clss-cls-plus-CNot)
    show ?I ⊨p C + {#L#}
      using propa propagate_NOT.prems by (auto dest!: true-clss-clss-in-imp-true-clss-cls)
  next
    have (λm. {#lit-of m#}) ‘ set ?M' ⊨ps CNot C
      using ‹trail S ⊨as CNot C› undef by (auto simp add: true-annots-true-clss-clss)
    have a1: (λm. {#lit-of m#}) ‘ set a ∪ (λm. {#lit-of m#}) ‘ set (tl y)  ⊨ps CNot C
      using propagate_NOT.hyps(2) tr-S true-annots-true-clss-clss
      by (force simp add: image-Un sup-commute)
    have a2: set-mset (clauses S)∪ unmark a
      ⊨ps unmark (tl y)
      using calculation by (auto simp add: sup-commute)
    show (λm. {#lit-of m#}) ‘ set a  ∪ set-mset (clauses S)⊨ps CNot C
      proof –
        have set-mset (clauses S) ∪ (λm. {#lit-of m#}) ‘ set a ⊨ps
          (λm. {#lit-of m#}) ‘ set a ∪ (λm. {#lit-of m#}) ‘ set (tl y)
          using a2 true-clss-clss-def by blast
        then show (λm. {#lit-of m#}) ‘ set a  ∪ set-mset (clauses S)⊨ps CNot C
          using a1 unfolding sup-commute by (meson true-clss-clss-left-right
            true-clss-clss-union-and true-clss-clss-union-l-r )
      qed
  qed

ultimately have unmark a ∪ set-mset ?N ⊨ps unmark ?M'
  unfolding M' by (auto simp add: all-in-true-clss-clss image-Un)

then show ?case
  using decomp T M undef unfolding ay all-decomposition-implies-def by (auto simp add: ay)
next
  case (backjump C F' K F L D T) note confl = this(2) and tr = this(3) and undef = this(4)
  and L = this(5) and N-C = this(6) and vars-D = this(5) and T = this(8)
  have decomp: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition F)
    using decomp unfolding tr all-decomposition-implies-def
    by (metis (no-types, lifting) get-all-marked-decomposition.simps(1)
      get-all-marked-decomposition-never-empty hd-Cons-tl insert-iff list.sel(3) list.set(2)
      tl-get-all-marked-decomposition-skip-some)

  moreover have unmark (fst (hd (get-all-marked-decomposition F)))
      ∪ set-mset (clauses S)
    ⊨ps unmark (snd (hd (get-all-marked-decomposition F)))
    by (metis all-decomposition-implies-cons-single decomp get-all-marked-decomposition-never-empty
```

    hd-Cons-tl)
  moreover
    have *vars-of-D*: *atms-of D* ⊆ *atm-of* ‘ *lits-of F*
      using ‹*F* ⊨*as CNot D*› **unfolding** *atms-of-def*
      by (*meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined*)

  obtain *a b li* **where** *F*: *get-all-marked-decomposition F* = (*a*, *b*) # *li*
    by (*cases get-all-marked-decomposition F*) *auto*
  have *F* = *b* @ *a*
    using *get-all-marked-decomposition-decomp*[*of F a b*] *F* **by** *auto*
  have *a-N-b*:*unmark a* ∪ *set-mset* (*clauses S*) ⊨*ps unmark b*
    using *decomp* **unfolding** *all-decomposition-implies-def* **by** (*auto simp add*: *F*)

  have *F-D*:*unmark F* ⊨*ps CNot D*
    using ‹*F* ⊨*as CNot D*› **by** (*simp add*: *true-annots-true-clss-clss*)
  then have *unmark a* ∪ *unmark b* ⊨*ps CNot D*
    unfolding ‹*F* = *b* @ *a*› **by** (*simp add*: *image-Un sup.commute*)
  have *a-N-CNot-D*: *unmark a* ∪ *set-mset* (*clauses S*)
    ⊨*ps CNot D* ∪ *unmark b*
    apply (*rule true-clss-clss-left-right*)
    using *a-N-b F-D* **unfolding** ‹*F* = *b* @ *a*› **by** (*auto simp add*: *image-Un ac-simps*)

  have *a-N-D-L*: *unmark a* ∪ *set-mset* (*clauses S*) ⊨*p D*+{#*L*#}
    by (*simp add*: *N-C*)
  have *unmark a* ∪ *set-mset* (*clauses S*) ⊨*p* {#*L*#}
    using *a-N-D-L a-N-CNot-D* **by** (*blast intro*: *true-clss-cls-plus-CNot*)
  then show *?case*
    using *decomp T tr undef* **unfolding** *all-decomposition-implies-def* **by** (*auto simp add*: *F*)
qed

14.3.3 Termination

Using a proper measure **lemma** *length-get-all-marked-decomposition-append-Marked*:
  length (*get-all-marked-decomposition* (*F′* @ *Marked K* () # *F*)) =
    length (*get-all-marked-decomposition F′*)
    + *length* (*get-all-marked-decomposition* (*Marked K* () # *F*))
    − *1*
  by (*induction F′ rule*: *marked-lit-list-induct*) *auto*

lemma *take-length-get-all-marked-decomposition-marked-sandwich*:
  take (*length* (*get-all-marked-decomposition F*))
      (*map* (*f o snd*) (*rev* (*get-all-marked-decomposition* (*F′* @ *Marked K* () # *F*))))
      =
     map (*f o snd*) (*rev* (*get-all-marked-decomposition F*))

proof (*induction F′ rule*: *marked-lit-list-induct*)
  case *nil*
  then show *?case* **by** *auto*
next
  case (*marked K*)
  then show *?case* **by** (*simp add*: *length-get-all-marked-decomposition-append-Marked*)
next
  case (*proped L m F′*) **note** *IH* = *this*(*1*)
  obtain *a b l* **where** *F′*: *get-all-marked-decomposition* (*F′* @ *Marked K* () # *F*) = (*a*, *b*) # *l*
    by (*cases get-all-marked-decomposition* (*F′* @ *Marked K* () # *F*)) *auto*
  have *length* (*get-all-marked-decomposition F*) − *length l* = *0*

using *length-get-all-marked-decomposition-append-Marked*[*of F' K F*]
unfolding *F'* **by** (*cases get-all-marked-decomposition F'*) *auto*
then show *?case*
using *IH* **by** (*simp add*: *F'*)
qed

lemma *length-get-all-marked-decomposition-length*:
length (*get-all-marked-decomposition M*) ≤ *1* + *length M*
by (*induction M rule*: *marked-lit-list-induct*) *auto*

lemma *length-in-get-all-marked-decomposition-bounded*:
assumes *i*:*i* ∈ *set* (*trail-weight S*)
shows *i* ≤ *Suc* (*length* (*trail S*))
proof −
obtain *a b* **where**
(*a*, *b*) ∈ *set* (*get-all-marked-decomposition* (*trail S*)) **and**
ib: *i* = *Suc* (*length b*)
using *i* **by** *auto*
then obtain *c* **where** *trail S* = *c* @ *b* @ *a*
using *get-all-marked-decomposition-exists-prepend'* **by** *metis*
from *arg-cong*[*OF this*, *of length*] **show** *?thesis* **using** *i ib* **by** *auto*
qed

Well-foundedness The bounds are the following:

- *1* + *card* (*atms-of-ms A*): *card* (*atms-of-ms A*) is an upper bound on the length of the list. As *get-all-marked-decomposition* appends an possibly empty couple at the end, adding one is needed.
- *2* + *card* (*atms-of-ms A*): *card* (*atms-of-ms A*) is an upper bound on the number of elements, where adding one is necessary for the same reason as for the bound on the list, and one is needed to have a strict bound.

abbreviation *unassigned-lit* :: *'b literal multiset set* ⇒ *'a list* ⇒ *nat* **where**
unassigned-lit N M ≡ *card* (*atms-of-ms N*) − *length M*
lemma *dpll-bj-trail-mes-increasing-prop*:
fixes *M* :: (*'v*, *unit*, *unit*) *marked-lits* **and** *N* :: *'v clauses*
assumes
dpll-bj S T **and**
inv S **and**
NA: *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* **and**
MA: *atm-of ' lits-of* (*trail S*) ⊆ *atms-of-ms A* **and**
n-d: *no-dup* (*trail S*) **and**
finite: *finite A*
shows μ_C (*1*+*card* (*atms-of-ms A*)) (*2*+*card* (*atms-of-ms A*)) (*trail-weight T*)
> μ_C (*1*+*card* (*atms-of-ms A*)) (*2*+*card* (*atms-of-ms A*)) (*trail-weight S*)
using *assms*(*1*,*2*)
proof (*induction rule*: *dpll-bj-all-induct*)
case (*propagate*$_{NOT}$ *C L*) **note** *CLN* = *this*(*1*) **and** *MC* =*this*(*2*) **and** *undef-L* = *this*(*3*) **and** *T* = *this*(*4*)
have *incl*: *atm-of ' lits-of* (*Propagated L* () # *trail S*) ⊆ *atms-of-ms A*
using *propagate*$_{NOT}$.*hyps propagate-ops.propagate*$_{NOT}$ *dpll-bj-atms-in-trail-in-set bj-propagate*$_{NOT}$ *NA MA CLN* **by** (*auto simp*: *in-plus-implies-atm-of-on-atms-of-ms*)

```
  have no-dup: no-dup (Propagated L () # trail S)
    using defined-lit-map n-d undef-L by auto
  obtain a b l where M: get-all-marked-decomposition (trail S) = (a, b) # l
    by (cases get-all-marked-decomposition (trail S)) auto
  have b-le-M: length b ≤ length (trail S)
    using get-all-marked-decomposition-decomp[of trail S] by (simp add: M)
  have finite (atms-of-ms A) using finite by simp

  then have length (Propagated L () # trail S) ≤ card (atms-of-ms A)
    using incl finite unfolding no-dup-length-eq-card-atm-of-lits-of[OF no-dup]
    by (simp add: card-mono)
  then have latm: unassigned-lit A b = Suc (unassigned-lit A (Propagated L d # b))
    using b-le-M by auto
  then show ?case using T undef-L by (auto simp: latm M μ_C-cons)
next
  case (decide_NOT L) note undef-L = this(1) and MC = this(2) and T = this(3)
  have incl: atm-of ` lits-of (Marked L () # (trail S)) ⊆ atms-of-ms A
    using dpll-bj-atms-in-trail-in-set bj-decide_NOT decide_NOT.decide_NOT[OF decide_NOT.hyps] NA MA
MC
    by auto

  have no-dup: no-dup (Marked L () # (trail S))
    using defined-lit-map n-d undef-L by auto
  obtain a b l where M: get-all-marked-decomposition (trail S) = (a, b) # l
    by (cases get-all-marked-decomposition (trail S)) auto

  then have length (Marked L () # (trail S)) ≤ card (atms-of-ms A)
    using incl finite unfolding no-dup-length-eq-card-atm-of-lits-of[OF no-dup]
    by (simp add: card-mono)
  then have latm: unassigned-lit A (trail S) = Suc (unassigned-lit A (Marked L lv # (trail S)))
    by force
  show ?case using T undef-L by (simp add: latm μ_C-cons)
next
  case (backjump C F' K F L C' T) note undef-L = this(4) and MC =this(1) and tr-S = this(3)
and
    L = this(5) and T = this(8)
  have incl: atm-of ` lits-of (Propagated L () # F) ⊆ atms-of-ms A
    using dpll-bj-atms-in-trail-in-set NA MA tr-S L by auto

  have no-dup: no-dup (Propagated L () # F)
    using defined-lit-map n-d undef-L tr-S by auto
  obtain a b l where M: get-all-marked-decomposition (trail S) = (a, b) # l
    by (cases get-all-marked-decomposition (trail S)) auto
  have b-le-M: length b ≤ length (trail S)
    using get-all-marked-decomposition-decomp[of trail S] by (simp add: M)
  have fin-atms-A: finite (atms-of-ms A) using finite by simp

  then have F-le-A: length (Propagated L () # F) ≤ card (atms-of-ms A)
    using incl finite unfolding no-dup-length-eq-card-atm-of-lits-of[OF no-dup]
    by (simp add: card-mono)
  have tr-S-le-A: length (trail S) ≤ (card (atms-of-ms A))
    using n-d MA by (metis fin-atms-A card-mono no-dup-length-eq-card-atm-of-lits-of)
  obtain a b l where F: get-all-marked-decomposition F = (a, b) # l
    by (cases get-all-marked-decomposition F) auto
  then have F = b @ a
```

```
    using get-all-marked-decomposition-decomp[of Propagated L () # F a
      Propagated L () # b] by simp
  then have latm: unassigned-lit A b = Suc (unassigned-lit A (Propagated L () # b))
     using F-le-A by simp
  obtain rem where
    rem:map (λa. Suc (length (snd a))) (rev (get-all-marked-decomposition (F' @ Marked K () # F)))
    = map (λa. Suc (length (snd a))) (rev (get-all-marked-decomposition F)) @ rem
    using take-length-get-all-marked-decomposition-marked-sandwich[of F λa. Suc (length a) F' K]
    unfolding o-def by (metis append-take-drop-id)
  then have rem: map (λa. Suc (length (snd a)))
      (get-all-marked-decomposition (F' @ Marked K () # F))
    = rev rem @ map (λa. Suc (length (snd a))) ((get-all-marked-decomposition F))
    by (simp add: rev-map[symmetric] rev-swap)
  have length (rev rem @ map (λa. Suc (length (snd a))) (get-all-marked-decomposition F))
         ≤ Suc (card (atms-of-ms A))
    using arg-cong[OF rem, of length] tr-S-le-A
    length-get-all-marked-decomposition-length[of F' @ Marked K () # F] tr-S by auto
  moreover
    { fix i :: nat and xs :: 'a list
      have i < length xs ⟹ length xs − Suc i < length xs
        by auto
      then have H: i<length xs ⟹ rev xs ! i ∈ set xs
        using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
    } note H = this
    have ∀ i<length rem. rev rem ! i < card (atms-of-ms A) + 2
      using tr-S-le-A length-in-get-all-marked-decomposition-bounded[of - S] unfolding tr-S
      by (force simp add: o-def rem dest!: H intro: length-get-all-marked-decomposition-length)
  ultimately show ?case
    using μC-bounded[of rev rem card (atms-of-ms A)+2 unassigned-lit A l] T undef-L
    by (simp add: rem μC-append μC-cons F tr-S)
qed

lemma dpll-bj-trail-mes-decreasing-prop:
  assumes dpll: dpll-bj S T  and inv: inv S and
  N-A: atms-of-msu (clauses S) ⊆ atms-of-ms A and
  M-A: atm-of ` lits-of (trail S) ⊆ atms-of-ms A and
  nd: no-dup (trail S) and
  fin-A: finite A
  shows (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
               − μC (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight T)
            < (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
               − μC (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight S)
proof −
  let ?b = 2+card (atms-of-ms A)
  let ?s = 1+card (atms-of-ms A)
  let ?μ = μC ?s ?b
  have M'-A: atm-of ` lits-of (trail T) ⊆ atms-of-ms A
    by (meson M-A N-A dpll dpll-bj-atms-in-trail-in-set inv)
  have nd': no-dup (trail T)
    using ‹dpll-bj S T› dpll-bj-no-dup nd inv by blast
  { fix i :: nat and xs :: 'a list
    have i < length xs ⟹ length xs − Suc i < length xs
      by auto
    then have H: i<length xs ⟹  xs ! i ∈ set xs
      using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
  } note H = this

  have l-M-A: length (trail S) ≤ card (atms-of-ms A)
    by (simp add: fin-A M-A card-mono no-dup-length-eq-card-atm-of-lits-of nd)
  have l-M′-A: length (trail T) ≤ card (atms-of-ms A)
    by (simp add: fin-A M′-A card-mono no-dup-length-eq-card-atm-of-lits-of nd′)
  have l-trail-weight-M: length (trail-weight T) ≤ 1+card (atms-of-ms A)
     using l-M′-A length-get-all-marked-decomposition-length[of trail T] by auto
  have bounded-M: ∀ i<length (trail-weight T). (trail-weight T)! i < card (atms-of-ms A) + 2
    using length-in-get-all-marked-decomposition-bounded[of - T] l-M′-A
    by (metis (no-types, lifting) Nat.le-trans One-nat-def Suc-1 add.right-neutral add-Suc-right
      le-imp-less-Suc less-eq-Suc-le nth-mem)

  from dpll-bj-trail-mes-increasing-prop[OF dpll inv N-A M-A nd fin-A]
  have μ_C ?s ?b (trail-weight S) < μ_C ?s ?b (trail-weight T) by simp
  moreover from μ_C-bounded[OF bounded-M l-trail-weight-M]
    have μ_C ?s ?b (trail-weight T) ≤ ?b ^ ?s by auto
  ultimately show ?thesis by linarith
qed

lemma wf-dpll-bj:
  assumes fin: finite A
  shows wf {(T, S). dpll-bj S T
    ∧ atms-of-msu (clauses S) ⊆ atms-of-ms A ∧ atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A
    ∧ no-dup (trail S) ∧ inv S}
  (is wf ?A)
proof (rule wf-bounded-measure[of -
       λ-. (2 + card (atms-of-ms A))^(1 + card (atms-of-ms A))
       λS. μ_C (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight S)])
  fix a b :: ′st
  let ?b = 2+card (atms-of-ms A)
  let ?s = 1+card (atms-of-ms A)
  let ?μ = μ_C ?s ?b
  assume ab: (b, a) ∈ {(T, S). dpll-bj S T
    ∧ atms-of-msu (clauses S) ⊆ atms-of-ms A ∧ atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A
    ∧ no-dup (trail S) ∧ inv S}

  have fin-A: finite (atms-of-ms A)
    using fin by auto
  have
    dpll-bj: dpll-bj a b and
    N-A: atms-of-msu (clauses a) ⊆ atms-of-ms A and
    M-A: atm-of ‘ lits-of (trail a) ⊆ atms-of-ms A and
    nd: no-dup (trail a) and
    inv: inv a
    using ab by auto

  have M′-A: atm-of ‘ lits-of (trail b) ⊆ atms-of-ms A
    by (meson M-A N-A ‹dpll-bj a b› dpll-bj-atms-in-trail-in-set inv)
  have nd′: no-dup (trail b)
    using ‹dpll-bj a b› dpll-bj-no-dup nd inv by blast
  { fix i :: nat and xs :: ′a list
    have i < length xs ⟹ length xs − Suc i < length xs
      by auto
    then have H: i<length xs ⟹  xs ! i ∈ set xs
    using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
  } note H = this

  have l-M-A: length (trail a) ≤ card (atms-of-ms A)
    by (simp add: fin-A M-A card-mono no-dup-length-eq-card-atm-of-lits-of nd)
  have l-M'-A: length (trail b) ≤ card (atms-of-ms A)
    by (simp add: fin-A M'-A card-mono no-dup-length-eq-card-atm-of-lits-of nd')
  have l-trail-weight-M: length (trail-weight b) ≤ 1+card (atms-of-ms A)
     using l-M'-A length-get-all-marked-decomposition-length[of trail b] by auto
  have bounded-M: ∀ i<length (trail-weight b). (trail-weight b)! i < card (atms-of-ms A) + 2
    using length-in-get-all-marked-decomposition-bounded[of - b] l-M'-A
    by (metis (no-types, lifting) Nat.le-trans One-nat-def Suc-1 add.right-neutral add-Suc-right
      le-imp-less-Suc less-eq-Suc-le nth-mem)

  from dpll-bj-trail-mes-increasing-prop[OF dpll-bj inv N-A M-A nd fin]
  have μ_C ?s ?b (trail-weight a) < μ_C ?s ?b (trail-weight b) by simp
  moreover from μ_C-bounded[OF bounded-M l-trail-weight-M]
    have μ_C ?s ?b (trail-weight b) ≤ ?b ^ ?s by auto
  ultimately show ?b ^ ?s ≤ ?b ^ ?s ∧
           μ_C ?s ?b (trail-weight b) ≤ ?b ^ ?s ∧
           μ_C ?s ?b (trail-weight a) < μ_C ?s ?b (trail-weight b)
    by blast
qed
```

14.3.4 Normal Forms

We prove that given a normal form of DPLL, with some invariants, the either N is satisfiable and the built valuation M is a model; or N is unsatisfiable.

Idea of the proof: We have to prove tat *satisfiable N*, $\neg$ *M* $\models$*as N* and there is no remaining step is incompatible.

1. The *decide* rules tells us that every variable in N has a value.
2. $\neg$ *M* $\models$*as N* tells us that there is conflict.
3. There is at least one decision in the trail (otherwise, M is a model of N).
4. Now if we build the clause with all the decision literals of the trail, we can apply the *backjump* rule.

The assumption are saying that we have a finite upper bound A for the literals, that we cannot do any step *no-step dpll-bj S*

```
theorem dpll-backjump-final-state:
  fixes A :: 'v literal multiset set and S T :: 'st
  assumes
    atms-of-msu (clauses S) ⊆ atms-of-ms A and
    atm-of ` lits-of (trail S) ⊆ atms-of-ms A and
    no-dup (trail S) and
    finite A and
    inv: inv S and
    n-s: no-step dpll-bj S and
    decomp: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  shows unsatisfiable (set-mset (clauses S))
    ∨ (trail S ⊨asm clauses S ∧ satisfiable (set-mset (clauses S)))
```

```
proof −
  let ?N = set-mset (clauses S)
  let ?M = trail S
  consider
      (sat) satisfiable ?N and ?M ⊨as ?N
    | (sat') satisfiable ?N and ¬ ?M ⊨as ?N
    | (unsat) unsatisfiable ?N
    by auto
  then show ?thesis
    proof cases
      case sat' note sat = this(1) and M = this(2)
      obtain C where C ∈ ?N and ¬?M ⊨a C using M unfolding true-annots-def by auto
      obtain I :: 'v literal set where
        I ⊨s ?N and
        cons: consistent-interp I and
        tot: total-over-m I ?N and
        atm-I-N: atm-of ‘I ⊆ atms-of-ms ?N
        using sat unfolding satisfiable-def-min by auto
      let ?I = I ∪ {P| P. P ∈ lits-of ?M ∧ atm-of P ∉ atm-of ‘ I}
      let ?O = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
      have cons-I': consistent-interp ?I
        using cons using ‹no-dup ?M›  unfolding consistent-interp-def
        by (auto simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def
          dest!: no-dup-cannot-not-lit-and-uminus)
      have tot-I': total-over-m ?I (?N ∪ unmark ?M)
        using tot atms-of-s-def unfolding total-over-m-def total-over-set-def
        by fastforce
      have {P |P. P ∈ lits-of ?M ∧ atm-of P ∉ atm-of ‘ I} ⊨s ?O
        using ‹I⊨s ?N› atm-I-N by (auto simp add: atm-of-eq-atm-of true-clss-def lits-of-def)
      then have I'-N: ?I ⊨s ?N ∪ ?O
        using ‹I⊨s ?N› true-clss-union-increase by force
      have tot': total-over-m ?I (?N∪?O)
        using atm-I-N tot unfolding total-over-m-def total-over-set-def
        by (force simp: image-iff lits-of-def dest!: is-marked-ex-Marked)

      have atms-N-M: atms-of-ms ?N ⊆ atm-of ‘ lits-of ?M
        proof (rule ccontr)
          assume ¬ ?thesis
          then obtain l :: 'v where
            l-N: l ∈ atms-of-ms ?N and
            l-M: l ∉ atm-of ‘ lits-of ?M
            by auto
          have undefined-lit ?M (Pos l)
            using l-M by (metis Marked-Propagated-in-iff-in-lits-of
              atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set literal.sel(1))
          from bj-decide_NOT[OF decide_NOT[OF this]] show False
            using l-N n-s by (metis literal.sel(1) state-eq_NOT-ref)
        qed

      have ?M ⊨as CNot C
        by (metis ‹C ∈ set-mset (clauses S)› ‹¬ trail S ⊨a C› all-variables-defined-not-imply-cnot
        atms-N-M atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of atms-of-ms-CNot-atms-of-ms
        subset-eq)
      have ∃ l ∈ set ?M. is-marked l
        proof (rule ccontr)
          let ?O = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
          have ϑ[iff]: ⋀I. total-over-m I (?N ∪ ?O ∪ unmark ?M)
            ⟷ total-over-m I (?N ∪unmark ?M)
            unfolding total-over-set-def total-over-m-def atms-of-ms-def by auto
          assume ¬ ?thesis
          then have [simp]:{{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M}
            = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
            by auto
          then have ?N ∪ ?O ⊨ps unmark ?M
            using all-decomposition-implies-propagated-lits-are-implied[OF decomp] by auto

          then have ?I ⊨s unmark ?M
            using cons-I' I'-N tot-I' ‹?I ⊨s ?N ∪ ?O› unfolding ϑ true-clss-clss-def by blast
          then have lits-of ?M ⊆ ?I
            unfolding true-clss-def lits-of-def by auto
          then have ?M ⊨as ?N
            using I'-N ‹C ∈ ?N› ‹¬ ?M ⊨a C› cons-I' atms-N-M
            by (meson ‹trail S ⊨as CNot C› consistent-CNot-not rev-subsetD sup-ge1 true-annot-def
              true-annots-def true-cls-mono-set-mset-l true-clss-def)
          then show False using M by fast
        qed
      from List.split-list-first-propE[OF this] obtain K :: 'v literal and
        F F' :: ('v, unit, unit) marked-lit list where
        M-K: ?M = F' @ Marked K () # F and
        nm: ∀f∈set F'. ¬is-marked f
        unfolding is-marked-def by (metis (full-types) old.unit.exhaust)
      let ?K = Marked K ()::('v, unit, unit) marked-lit
      have ?K ∈ set ?M
        unfolding M-K by auto
      let ?C = image-mset lit-of {#L∈#mset ?M. is-marked L ∧ L≠?K#} :: 'v literal multiset
      let ?C' = set-mset (image-mset (λL::'v literal. {#L#}) (?C+{#lit-of ?K#}))
      have ?N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M} ⊨ps unmark ?M
        using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
      moreover have C': ?C' = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M}
        unfolding M-K apply standard
          apply force
        using IntI by auto
      ultimately have N-C-M: ?N ∪ ?C' ⊨ps unmark ?M
        by auto
      have N-M-False: ?N ∪ (λL. {#lit-of L#}) ` (set ?M) ⊨ps {{#}}
        using M ‹?M ⊨as CNot C› ‹C∈?N› unfolding true-clss-clss-def true-annots-def Ball-def
        true-annot-def by (metis consistent-CNot-not sup.orderE sup-commute true-clss-def
          true-clss-singleton-lit-of-implies-incl true-clss-union true-clss-union-increase)

      have undefined-lit F K using ‹no-dup ?M› unfolding M-K by (simp add: defined-lit-map)
      moreover
        have ?N ∪ ?C' ⊨ps {{#}}
          proof –
            have A: ?N ∪ ?C' ∪ unmark ?M =
              ?N ∪ unmark ?M
              unfolding M-K by auto
            show ?thesis
              using true-clss-clss-left-right[OF N-C-M, of {{#}}] N-M-False unfolding A by auto
          qed
        have ?N ⊨p image-mset uminus ?C + {#−K#}
```

```
        unfolding true-clss-cls-def true-clss-clss-def total-over-m-def
        proof (intro allI impI)
          fix I
          assume
            tot: total-over-set I (atms-of-ms (?N ∪ {image-mset uminus ?C+ {#− K#}})) and
            cons: consistent-interp I and
            I ⊨s ?N
          have (K ∈ I ∧ −K ∉ I) ∨ (−K ∈ I ∧ K ∉ I)
            using cons tot unfolding consistent-interp-def by (cases K) auto
          have tot′: total-over-set I
             (atm-of ‘ lit-of ‘ (set ?M ∩ {L. is-marked L ∧ L ≠ Marked K ()}))
            using tot by (auto simp add: atms-of-uminus-lit-atm-of-lit-of)
          { fix x :: (′v, unit, unit) marked-lit
            assume
              a3: lit-of x ∉ I and
              a1: x ∈ set ?M and
              a4: is-marked x and
              a5: x ≠ Marked K ()
            then have Pos (atm-of (lit-of x)) ∈ I ∨ Neg (atm-of (lit-of x)) ∈ I
              using a5 a4 tot′ a1 unfolding total-over-set-def atms-of-s-def by blast
            moreover have f6: Neg (atm-of (lit-of x)) = − Pos (atm-of (lit-of x))
              by simp
            ultimately have − lit-of x ∈ I
              using f6 a3 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
                literal.sel(1))
          } note H = this

          have ¬I ⊨s ?C′
            using ‹?N ∪ ?C′ ⊨ps {{#}}› tot cons ‹I ⊨s ?N›
            unfolding true-clss-clss-def total-over-m-def
            by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
          then show I ⊨ image-mset uminus ?C + {#− K#}
            unfolding true-clss-def true-cls-def Bex-mset-def
            using ‹(K ∈ I ∧ −K ∉ I) ∨ (−K ∈ I ∧ K ∉ I)›
            by (auto dest!: H)
        qed
      moreover have F ⊨as CNot (image-mset uminus ?C)
        using nm unfolding true-annots-def CNot-def M-K by (auto simp add: lits-of-def)
      ultimately have False
        using bj-can-jump[of S F′ K F C −K
          image-mset uminus (image-mset lit-of {# L :# mset ?M. is-marked L ∧ L ≠ Marked K ()#})]
          ‹C∈?N› n-s ‹?M ⊨as CNot C› bj-backjump inv ‹no-dup (trail S)› unfolding M-K by auto
        then show ?thesis by fast
    qed auto
qed

end

locale dpll-with-backjumping =
  dpll-with-backjumping-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ remove-cls$_{NOT}$
  propagate-conds inv backjump-conds
  for
    trail :: ′st ⇒ (′v, unit, unit) marked-lits and
    clauses :: ′st ⇒ ′v clauses and
    prepend-trail :: (′v, unit, unit) marked-lit ⇒ ′st ⇒ ′st and tl-trail :: ′st ⇒ ′st and
    add-cls_NOT remove-cls_NOT:: 'v clause ⇒ 'st ⇒ 'st and
    propagate-conds :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ bool and
    inv :: 'st ⇒ bool and
    backjump-conds :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ 'st ⇒ bool
  +
  assumes dpll-bj-inv:⋀S T. dpll-bj S T ⟹ inv S ⟹ inv T
begin

lemma rtranclp-dpll-bj-inv:
  assumes dpll-bj** S T and inv S
  shows inv T
  using assms by (induction rule: rtranclp-induct)
    (auto simp add: dpll-bj-no-dup intro: dpll-bj-inv)

lemma rtranclp-dpll-bj-no-dup:
  assumes dpll-bj** S T and inv S
  and no-dup (trail S)
  shows no-dup (trail T)
  using assms by (induction rule: rtranclp-induct)
  (auto simp add: dpll-bj-no-dup dest: rtranclp-dpll-bj-inv dpll-bj-inv)

lemma rtranclp-dpll-bj-atms-of-ms-clauses-inv:
  assumes
    dpll-bj** S T and inv S
  shows atms-of-msu (clauses S) = atms-of-msu (clauses T)
  using assms by (induction rule: rtranclp-induct)
    (auto dest: rtranclp-dpll-bj-inv dpll-bj-atms-of-ms-clauses-inv)

lemma rtranclp-dpll-bj-atms-in-trail:
  assumes
    dpll-bj** S T and
    inv S and
    atm-of ` (lits-of (trail S)) ⊆ atms-of-msu (clauses S)
  shows atm-of ` (lits-of (trail T)) ⊆ atms-of-msu (clauses T)
  using assms apply (induction rule: rtranclp-induct)
  using dpll-bj-atms-in-trail dpll-bj-atms-of-ms-clauses-inv rtranclp-dpll-bj-inv by auto

lemma rtranclp-dpll-bj-sat-iff:
  assumes dpll-bj** S T and inv S
  shows I ⊨sm clauses S ⟷ I ⊨sm clauses T
  using assms by (induction rule: rtranclp-induct)
    (auto dest!: dpll-bj-sat-iff simp: rtranclp-dpll-bj-inv)

lemma rtranclp-dpll-bj-atms-in-trail-in-set:
  assumes
    dpll-bj** S T and
    inv S
    atms-of-msu (clauses S) ⊆ A and
    atm-of ` (lits-of (trail S)) ⊆ A
  shows atm-of ` (lits-of (trail T)) ⊆ A
  using assms
    by (induction rule: rtranclp-induct)
      (auto dest: rtranclp-dpll-bj-inv
        simp add: dpll-bj-atms-in-trail-in-set rtranclp-dpll-bj-atms-of-ms-clauses-inv
          rtranclp-dpll-bj-inv)
```

```
lemma rtranclp-dpll-bj-all-decomposition-implies-inv:
  assumes
    dpll-bj** S T and
    inv S
    all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  shows all-decomposition-implies-m (clauses T) (get-all-marked-decomposition (trail T))
  using assms by (induction rule: rtranclp-induct)
    (auto intro: dpll-bj-all-decomposition-implies-inv simp: rtranclp-dpll-bj-inv)

lemma rtranclp-dpll-bj-inv-incl-dpll-bj-inv-trancl:
  {(T, S). dpll-bj^++ S T
    ∧ atms-of-msu (clauses S) ⊆ atms-of-ms A ∧ atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A
    ∧ no-dup (trail S) ∧ inv S}
     ⊆ {(T, S). dpll-bj S T ∧ atms-of-msu (clauses S) ⊆ atms-of-ms A
        ∧ atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A ∧ no-dup (trail S) ∧ inv S}^+
    (is ?A ⊆ ?B^+)
proof standard
  fix x
  assume x-A: x ∈ ?A
  obtain S T::'st where
    x[simp]: x = (T, S) by (cases x) auto
  have
    dpll-bj^++ S T and
    atms-of-msu (clauses S) ⊆ atms-of-ms A and
    atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A and
    no-dup (trail S) and
     inv S
    using x-A by auto
  then show x ∈ ?B^+ unfolding x
    proof (induction rule: tranclp-induct)
      case base
      then show ?case by auto
    next
      case (step T U) note step = this(1) and ST = this(2) and IH = this(3)[OF this(4−7)]
        and N-A = this(4) and M-A = this(5) and nd = this(6) and inv = this(7)

      have [simp]: atms-of-msu (clauses S) = atms-of-msu (clauses T)
        using step rtranclp-dpll-bj-atms-of-ms-clauses-inv tranclp-into-rtranclp inv by fastforce
      have no-dup (trail T)
        using local.step nd rtranclp-dpll-bj-no-dup tranclp-into-rtranclp inv by fastforce
      moreover have atm-of ‘ (lits-of (trail T)) ⊆ atms-of-ms A
        by (metis inv M-A N-A local.step rtranclp-dpll-bj-atms-in-trail-in-set
          tranclp-into-rtranclp)
      moreover have inv T
         using inv local.step rtranclp-dpll-bj-inv tranclp-into-rtranclp by fastforce
      ultimately have (U, T) ∈ ?B using ST N-A M-A inv by auto
      then show ?case using IH by (rule trancl-into-trancl2)
    qed
qed

lemma wf-tranclp-dpll-bj:
  assumes fin: finite A
  shows wf {(T, S). dpll-bj^++ S T
    ∧ atms-of-msu (clauses S) ⊆ atms-of-ms A ∧ atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A
```

$\wedge$ *no-dup* (*trail S*) $\wedge$ *inv S*}
using *wf-trancl*[*OF wf-dpll-bj*[*OF fin*]] *rtranclp-dpll-bj-inv-incl-dpll-bj-inv-trancl*
by (*rule wf-subset*)

lemma *dpll-bj-sat-ext-iff*:
dpll-bj S T $\Longrightarrow$ *inv S* $\Longrightarrow$ *I*$\models$*sextm clauses S* $\longleftrightarrow$ *I*$\models$*sextm clauses T*
by (*simp add*: *dpll-bj-clauses*)

lemma *rtranclp-dpll-bj-sat-ext-iff*:
dpll-bj** *S T* $\Longrightarrow$ *inv S* $\Longrightarrow$ *I*$\models$*sextm clauses S* $\longleftrightarrow$ *I*$\models$*sextm clauses T*
by (*induction rule*: *rtranclp-induct*) (*simp-all add*: *rtranclp-dpll-bj-inv dpll-bj-sat-ext-iff*)

theorem *full-dpll-backjump-final-state*:
fixes *A* :: *'v literal multiset set* **and** *S T* :: *'st*
assumes
full: *full dpll-bj S T* **and**
atms-S: *atms-of-msu* (*clauses S*) $\subseteq$ *atms-of-ms A* **and**
atms-trail: *atm-of ‘ lits-of* (*trail S*) $\subseteq$ *atms-of-ms A* **and**
n-d: *no-dup* (*trail S*) **and**
finite A **and**
inv: *inv S* **and**
decomp: *all-decomposition-implies-m* (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
shows *unsatisfiable* (*set-mset* (*clauses S*))
$\vee$ (*trail T* $\models$*asm clauses S* $\wedge$ *satisfiable* (*set-mset* (*clauses S*)))
proof −
have *st*: *dpll-bj*** *S T* **and** *no-step dpll-bj T*
using *full* **unfolding** *full-def* **by** *fast+*
moreover have *atms-of-msu* (*clauses T*) $\subseteq$ *atms-of-ms A*
using *atms-S inv rtranclp-dpll-bj-atms-of-ms-clauses-inv st* **by** *blast*
moreover have *atm-of ‘ lits-of* (*trail T*) $\subseteq$ *atms-of-ms A*
using *atms-S atms-trail inv rtranclp-dpll-bj-atms-in-trail-in-set st* **by** *auto*
moreover have *no-dup* (*trail T*)
using *n-d inv rtranclp-dpll-bj-no-dup st* **by** *blast*
moreover have *inv*: *inv T*
using *inv rtranclp-dpll-bj-inv st* **by** *blast*
moreover
have *decomp*: *all-decomposition-implies-m* (*clauses T*) (*get-all-marked-decomposition* (*trail T*))
using ⟨*inv S*⟩ *decomp rtranclp-dpll-bj-all-decomposition-implies-inv st* **by** *blast*
ultimately have *unsatisfiable* (*set-mset* (*clauses T*))
$\vee$ (*trail T* $\models$*asm clauses T* $\wedge$ *satisfiable* (*set-mset* (*clauses T*)))
using ⟨*finite A*⟩ *dpll-backjump-final-state* **by** *force*
then show *?thesis*
by (*meson* ⟨*inv S*⟩ *rtranclp-dpll-bj-sat-iff satisfiable-carac st true-annots-true-cls*)
qed

corollary *full-dpll-backjump-final-state-from-init-state*:
fixes *A* :: *'v literal multiset set* **and** *S T* :: *'st*
assumes
full: *full dpll-bj S T* **and**
trail S = [] **and**
clauses S = *N* **and**
inv S
shows *unsatisfiable* (*set-mset N*) $\vee$ (*trail T* $\models$*asm N* $\wedge$ *satisfiable* (*set-mset N*))
using *assms full-dpll-backjump-final-state*[*of S T set-mset N*] **by** *auto*

```
lemma tranclp-dpll-bj-trail-mes-decreasing-prop:
  assumes dpll: dpll-bj⁺⁺ S T  and inv: inv S and
  N-A: atms-of-msu (clauses S) ⊆ atms-of-ms A and
  M-A: atm-of ‘ lits-of (trail S) ⊆ atms-of-ms A and
  n-d: no-dup (trail S) and
  fin-A: finite A
  shows (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
              − μ_C (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight T)
            < (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
              − μ_C (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight S)
  using dpll
proof (induction)
  case base
  then show ?case
    using N-A M-A n-d dpll-bj-trail-mes-decreasing-prop fin-A inv by blast
next
  case (step T U) note st = this(1) and dpll = this(2) and IH = this(3)
  have  atms-of-msu (clauses S) = atms-of-msu (clauses T)
    using rtranclp-dpll-bj-atms-of-ms-clauses-inv by (metis dpll-bj-clauses dpll-bj-inv inv st
      tranclpD)
  then have N-A′: atms-of-msu (clauses T) ⊆ atms-of-ms A
     using N-A by auto
  moreover have M-A′: atm-of ‘ lits-of (trail T) ⊆ atms-of-ms A
    by (meson M-A N-A inv rtranclp-dpll-bj-atms-in-trail-in-set st dpll
      tranclp.r-into-trancl tranclp-into-rtranclp tranclp-trans)
  moreover have nd: no-dup (trail T)
    by (metis inv n-d rtranclp-dpll-bj-no-dup st tranclp-into-rtranclp)
  moreover have inv T
    by (meson dpll dpll-bj-inv inv rtranclp-dpll-bj-inv st tranclp-into-rtranclp)
  ultimately show ?case
    using IH dpll-bj-trail-mes-decreasing-prop[of T U A] dpll fin-A by linarith
qed

end
```

14.4 CDCL

14.4.1 Learn and Forget

```
locale learn-ops =
  dpll-state trail clauses prepend-trail tl-trail add-cls_NOT remove-cls_NOT
  for
    trail :: ′st ⇒ (′v, unit, unit) marked-lits and
    clauses :: ′st ⇒ ′v clauses and
    prepend-trail :: (′v, unit, unit) marked-lit ⇒ ′st ⇒ ′st and tl-trail :: ′st ⇒ ′st and
    add-cls_NOT remove-cls_NOT:: ′v clause ⇒ ′st ⇒ ′st +
  fixes
    learn-cond :: ′v clause ⇒ ′st ⇒ bool

begin
inductive learn :: ′st ⇒ ′st ⇒ bool where
clauses S ⊨pm C ⟹ atms-of C ⊆ atms-of-msu (clauses S) ∪ atm-of ‘ (lits-of (trail S))
  ⟹ learn-cond C S
  ⟹ T ∼ add-cls_NOT C S
  ⟹ learn S T
inductive-cases learnE: learn S T
```

lemma *learn-μ_C-stable*:
  assumes *learn S T* **and** *no-dup* (*trail S*)
  shows μ_C *A B* (*trail-weight S*) = μ_C *A B* (*trail-weight T*)
  using *assms* **by** (*auto elim*: *learnE*)
end

locale *forget-ops* =
  dpll-state trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$
  for
    trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
    clauses :: *'st* ⇒ *'v clauses* **and**
    prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and** *tl-trail* :: *'st* ⇒ *'st* **and**
    add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* +
  fixes
    forget-cond :: *'v clause* ⇒ *'st* ⇒ *bool*
begin
inductive *forget*$_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool* **where**
forget$_{NOT}$:*clauses S* − *replicate-mset* (*count* (*clauses S*) *C*) *C* ⊨*pm C*
  ⟹ *forget-cond C S*
  ⟹ *C* ∈# *clauses S*
  ⟹ *T* ∼ *remove-cls*$_{NOT}$ *C S*
  ⟹ *forget*$_{NOT}$ *S T*
inductive-cases *forgetE*: *forget*$_{NOT}$ *S T*

lemma *forget-μ_C-stable*:
  assumes *forget*$_{NOT}$ *S T*
  shows μ_C *A B* (*trail-weight S*) = μ_C *A B* (*trail-weight T*)
  using *assms* **by** (*auto elim*!: *forgetE*)
end

locale *learn-and-forget*$_{NOT}$ =
  learn-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ *learn-cond* +
  forget-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ *forget-cond*
  for
    trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
    clauses :: *'st* ⇒ *'v clauses* **and**
    prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
    tl-trail :: *'st* ⇒ *'st* **and**
    add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    learn-cond forget-cond :: *'v clause* ⇒ *'st* ⇒ *bool*
begin
inductive *learn-and-forget*$_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool*
where
lf-learn: *learn S T* ⟹ *learn-and-forget*$_{NOT}$ *S T* |
lf-forget: *forget*$_{NOT}$ *S T* ⟹ *learn-and-forget*$_{NOT}$ *S T*
end

14.4.2 Definition of CDCL

locale *conflict-driven-clause-learning-ops* =
  dpll-with-backjumping-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$
    propagate-conds inv backjump-conds +
  learn-and-forget$_{NOT}$ *trail clauses prepend-trail tl-trail add-cls*$_{NOT}$ *remove-cls*$_{NOT}$ *learn-cond*
    forget-cond
    for

trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* ⇒ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒*'st* ⇒ *bool* **and**
inv :: *'st* ⇒ *bool* **and**
backjump-conds :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *'st* ⇒ *bool* **and**
learn-cond forget-cond :: *'v clause* ⇒ *'st* ⇒ *bool*
begin

inductive *cdcl*$_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool* **for** *S* :: *'st* **where**
c-dpll-bj: *dpll-bj S S'* ⟹ *cdcl*$_{NOT}$ *S S'* |
c-learn: *learn S S'* ⟹ *cdcl*$_{NOT}$ *S S'* |
c-forget$_{NOT}$: *forget*$_{NOT}$ *S S'* ⟹ *cdcl*$_{NOT}$ *S S'*

lemma *cdcl*$_{NOT}$*-all-induct*[*consumes 1*, *case-names dpll-bj learn forget*$_{NOT}$]:
fixes *S T* :: *'st*
assumes *cdcl*$_{NOT}$ *S T* **and**
dpll: ⋀*T*. *dpll-bj S T* ⟹ *P S T* **and**
learning:
⋀*C T*. *clauses S* ⊨*pm C* ⟹
atms-of C ⊆ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*)) ⟹
T ∼ *add-cls*$_{NOT}$ *C S* ⟹
P S T **and**
forgetting: ⋀*C T*. *clauses S* − *replicate-mset* (*count* (*clauses S*) *C*) *C* ⊨*pm C* ⟹
C ∈# *clauses S* ⟹
T ∼ *remove-cls*$_{NOT}$ *C S* ⟹
P S T
shows *P S T*
using *assms*(*1*) **by** (*induction rule*: *cdcl*$_{NOT}$*.induct*)
(*auto intro*: *assms*(*2*, *3*, *4*) *elim*!: *learnE forgetE*)+

lemma *cdcl*$_{NOT}$*-no-dup*:
assumes
cdcl$_{NOT}$ *S T* **and**
inv S **and**
no-dup (*trail S*)
shows *no-dup* (*trail T*)
using *assms* **by** (*induction rule*: *cdcl*$_{NOT}$*-all-induct*) (*auto intro*: *dpll-bj-no-dup*)

Consistency of the trail **lemma** *cdcl*$_{NOT}$*-consistent*:
assumes
cdcl$_{NOT}$ *S T* **and**
inv S **and**
no-dup (*trail S*)
shows *consistent-interp* (*lits-of* (*trail T*))
using *cdcl*$_{NOT}$*-no-dup*[*OF assms*] *distinctconsistent-interp* **by** *fast*

The subtle problem here is that tautologies can be removed, meaning that some variable can disappear of the problem. It is also possible that some variable of the trail are not in the clauses anymore.

lemma *cdcl*$_{NOT}$*-atms-of-ms-clauses-decreasing*:
assumes *cdcl*$_{NOT}$ *S T***and** *inv S* **and** *no-dup* (*trail S*)
shows *atms-of-msu* (*clauses T*) ⊆ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*))

using *assms* **by** (*induction rule*: *cdcl*$_{NOT}$*-all-induct*)
(*auto dest*!: *dpll-bj-atms-of-ms-clauses-inv set-mp simp add*: *atms-of-ms-def Union-eq*)

lemma *cdcl*$_{NOT}$*-atms-in-trail*:
assumes *cdcl*$_{NOT}$ *S T***and** *inv S* **and** *no-dup* (*trail S*)
and *atm-of* ‘ (*lits-of* (*trail S*)) ⊆ *atms-of-msu* (*clauses S*)
shows *atm-of* ‘ (*lits-of* (*trail T*)) ⊆ *atms-of-msu* (*clauses S*)
using *assms* **by** (*induction rule*: *cdcl*$_{NOT}$*-all-induct*) (*auto simp add*: *dpll-bj-atms-in-trail*)

lemma *cdcl*$_{NOT}$*-atms-in-trail-in-set*:
assumes
cdcl$_{NOT}$ *S T* **and** *inv S* **and** *no-dup* (*trail S*) **and**
atms-of-msu (*clauses S*) ⊆ *A* **and**
atm-of ‘ (*lits-of* (*trail S*)) ⊆ *A*
shows *atm-of* ‘ (*lits-of* (*trail T*)) ⊆ *A*
using *assms*
by (*induction rule*: *cdcl*$_{NOT}$*-all-induct*)
(*simp-all add*: *dpll-bj-atms-in-trail-in-set dpll-bj-atms-of-ms-clauses-inv*)

lemma *cdcl*$_{NOT}$*-all-decomposition-implies*:
assumes *cdcl*$_{NOT}$ *S T* **and** *inv S* **and** *n-d*[*simp*]: *no-dup* (*trail S*) **and**
all-decomposition-implies-m (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
shows
all-decomposition-implies-m (*clauses T*) (*get-all-marked-decomposition* (*trail T*))
using *assms*(*1*,*2*,*4*)
proof (*induction rule*: *cdcl*$_{NOT}$*-all-induct*)
case *dpll-bj*
then show *?case*
using *dpll-bj-all-decomposition-implies-inv n-d* **by** *blast*
next
case *learn*
then show *?case* **by** (*auto simp add*: *all-decomposition-implies-def*)
next
case (*forget*$_{NOT}$ *C T*) **note** *cls-C* = *this*(*1*) **and** *C* = *this*(*2*) **and** *T* = *this*(*3*) **and** *iniv* = *this*(*4*)
and
decomp = *this*(*5*)
show *?case*
unfolding *all-decomposition-implies-def Ball-def*
proof (*intro allI*, *clarify*)
fix *a b*
assume (*a*, *b*) ∈ *set* (*get-all-marked-decomposition* (*trail T*))
then have *unmark a* ∪ *set-mset* (*clauses S*) ⊨*ps unmark b*
using *decomp T* **by** (*auto simp add*: *all-decomposition-implies-def*)
moreover
have *C* ∈ *set-mset* (*clauses S*)
by (*simp add*: *C*)
then have *set-mset* (*clauses T*) ⊨*ps set-mset* (*clauses S*)
by (*metis* (*no-types*) *T clauses-remove-cls*$_{NOT}$ *cls-C insert-Diff order-refl*
set-mset-minus-replicate-mset(*1*) *state-eq*$_{NOT}$*-clauses true-clss-clss-def*
true-clss-clss-insert)
ultimately show *unmark a* ∪ *set-mset* (*clauses T*)
⊨*ps unmark b*
using *true-clss-clss-generalise-true-clss-clss* **by** *blast*
qed
qed

Extension of models **lemma** *cdcl*$_{NOT}$*-bj-sat-ext-iff*:
  assumes *cdcl*$_{NOT}$ *S T***and** *inv S* **and** *n-d*: *no-dup* (*trail S*)
  shows *I*⊨*sextm clauses S* ⟷ *I*⊨*sextm clauses T*
  using *assms*
proof (*induction rule*:*cdcl*$_{NOT}$*-all-induct*)
  case *dpll-bj*
  then show *?case* **by** (*simp add*: *dpll-bj-clauses*)
next
  case (*learn C T*) **note** *T* = *this*(*3*)
  { fix *J*
    assume
      I ⊨*sextm clauses S* **and**
      I ⊆ *J* **and**
      tot: *total-over-m J* (*set-mset* ({#*C*#} + (*clauses S*))) **and**
      cons: *consistent-interp J*
    then have *J* ⊨*sm clauses S* **unfolding** *true-clss-ext-def* **by** *auto*

    moreover
      with ‹*clauses S*⊨*pm C*› **have** *J* ⊨ *C*
        using *tot cons* **unfolding** *true-clss-cls-def* **by** *auto*
    ultimately have *J* ⊨*sm* {#*C*#} + *clauses S* **by** *auto*
  }
  then have *H*: *I* ⊨*sextm* (*clauses S*) ⟹ *I* ⊨*sext insert C* (*set-mset* (*clauses S*))
    unfolding *true-clss-ext-def* **by** *auto*
  show *?case*
    apply *standard*
      using *T n-d* **apply** (*auto simp add*: *H*)[]
    using *T n-d* **apply** *simp*
    by (*metis Diff-insert-absorb insert-subset subsetI subset-antisym*
      true-clss-ext-decrease-right-remove-r)
next
  case (*forget*$_{NOT}$ *C T*) **note** *cls-C* = *this*(*1*) **and** *T* = *this*(*3*)
  { fix *J*
    assume
      I ⊨*sext set-mset* (*clauses S*) − {*C*} **and**
      I ⊆ *J* **and**
      tot: *total-over-m J* (*set-mset* (*clauses S*)) **and**
      cons: *consistent-interp J*
    then have *J* ⊨*s set-mset* (*clauses S*) − {*C*}
      unfolding *true-clss-ext-def* **by** (*meson Diff-subset total-over-m-subset*)

    moreover
      with *cls-C* **have** *J* ⊨ *C*
        using *tot cons* **unfolding** *true-clss-cls-def*
        by (*metis Un-commute forget*$_{NOT}$*.hyps*(*2*) *insert-Diff insert-is-Un mem-set-mset-iff order-refl*
          set-mset-minus-replicate-mset(*1*))
    ultimately have *J* ⊨*sm* (*clauses S*) **by** (*metis insert-Diff-single true-clss-insert*)
  }
  then have *H*: *I* ⊨*sext set-mset* (*clauses S*) − {*C*} ⟹ *I* ⊨*sextm* (*clauses S*)
    unfolding *true-clss-ext-def* **by** *blast*
  show *?case* **using** *T* **by** (*auto simp*: *true-clss-ext-decrease-right-remove-r H*)
qed

end — end of *conflict-driven-clause-learning-ops*

14.5 CDCL with invariant

locale *conflict-driven-clause-learning* =
  conflict-driven-clause-learning-ops +
  assumes *cdcl*$_{NOT}$*-inv*: $\bigwedge$*S T*. *cdcl*$_{NOT}$ *S T* $\Longrightarrow$ *inv S* $\Longrightarrow$ *inv T*
begin
sublocale *dpll-with-backjumping*
  apply *unfold-locales*
  using *cdcl*$_{NOT}$*.simps cdcl*$_{NOT}$*-inv* **by** *auto*

lemma *rtranclp-cdcl*$_{NOT}$*-inv*:
  cdcl$_{NOT}$** *S T* $\Longrightarrow$ *inv S* $\Longrightarrow$ *inv T*
  by (*induction rule*: *rtranclp-induct*) (*auto simp add*: *cdcl*$_{NOT}$*-inv*)

lemma *rtranclp-cdcl*$_{NOT}$*-no-dup*:
  assumes *cdcl*$_{NOT}$** *S T* **and** *inv S*
  and *no-dup* (*trail S*)
  shows *no-dup* (*trail T*)
  using *assms* **by** (*induction rule*: *rtranclp-induct*) (*auto intro*: *cdcl*$_{NOT}$*-no-dup rtranclp-cdcl*$_{NOT}$*-inv*)

lemma *rtranclp-cdcl*$_{NOT}$*-trail-clauses-bound*:
  assumes
    cdcl: *cdcl*$_{NOT}$** *S T* **and**
    inv: *inv S* **and**
    n-d: *no-dup* (*trail S*) **and**
    atms-clauses-S: *atms-of-msu* (*clauses S*) $\subseteq$ *A* **and**
    atms-trail-S: *atm-of* ‘(*lits-of* (*trail S*)) $\subseteq$ *A*
  shows *atm-of* ‘(*lits-of* (*trail T*)) $\subseteq$ *A* $\wedge$ *atms-of-msu* (*clauses T*) $\subseteq$ *A*
  using *cdcl*
proof (*induction rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **using** *atms-clauses-S atms-trail-S* **by** *simp*
next
  case (*step T U*) **note** *st* = *this*(*1*) **and** *cdcl*$_{NOT}$ = *this*(*2*) **and** *IH* = *this*(*3*)
  have *inv T* **using** *inv st rtranclp-cdcl*$_{NOT}$*-inv* **by** *blast*
  have *no-dup* (*trail T*)
    using *rtranclp-cdcl*$_{NOT}$*-no-dup*[*of S T*] *st cdcl*$_{NOT}$ *inv n-d* **by** *blast*
  then have *atms-of-msu* (*clauses U*) $\subseteq$ *A*
    using *cdcl*$_{NOT}$*-atms-of-ms-clauses-decreasing*[*OF cdcl*$_{NOT}$] *IH n-d* ‹*inv T*› **by** *auto*
  moreover
    have *atm-of* ‘(*lits-of* (*trail U*)) $\subseteq$ *A*
      using *cdcl*$_{NOT}$*-atms-in-trail-in-set*[*OF cdcl*$_{NOT}$, *of A*] ‹*no-dup* (*trail T*)›
      by (*meson atms-trail-S atms-clauses-S IH* ‹*inv T*› *cdcl*$_{NOT}$)
  ultimately show *?case* **by** *fast*
qed

lemma *rtranclp-cdcl*$_{NOT}$*-all-decomposition-implies*:
  assumes *cdcl*$_{NOT}$** *S T* **and** *inv S* **and** *no-dup* (*trail S*) **and**
    all-decomposition-implies-m (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
  shows
    all-decomposition-implies-m (*clauses T*) (*get-all-marked-decomposition* (*trail T*))
  using *assms* **by** (*induction*)
  (*auto intro*: *rtranclp-cdcl*$_{NOT}$*-inv cdcl*$_{NOT}$*-all-decomposition-implies rtranclp-cdcl*$_{NOT}$*-no-dup*)

lemma *rtranclp-cdcl*$_{NOT}$*-bj-sat-ext-iff*:
  assumes *cdcl*$_{NOT}$** *S T***and** *inv S* **and** *no-dup* (*trail S*)

shows *I*⊨*sextm clauses S* ⟷ *I*⊨*sextm clauses T*
using *assms* **apply** (*induction rule*: *rtranclp-induct*)
using *cdcl*$_{NOT}$*-bj-sat-ext-iff* **by** (*auto intro*: *rtranclp-cdcl*$_{NOT}$*-inv rtranclp-cdcl*$_{NOT}$*-no-dup*)

definition *cdcl*$_{NOT}$*-NOT-all-inv* **where**
cdcl$_{NOT}$*-NOT-all-inv A S* ⟷ (*finite A* ∧ *inv S* ∧ *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A*
∧ *atm-of* ‘ *lits-of* (*trail S*) ⊆ *atms-of-ms A* ∧ *no-dup* (*trail S*))

lemma *cdcl*$_{NOT}$*-NOT-all-inv*:
assumes *cdcl*$_{NOT}$** *S T* **and** *cdcl*$_{NOT}$*-NOT-all-inv A S*
shows *cdcl*$_{NOT}$*-NOT-all-inv A T*
using *assms* **unfolding** *cdcl*$_{NOT}$*-NOT-all-inv-def*
by (*simp add*: *rtranclp-cdcl*$_{NOT}$*-inv rtranclp-cdcl*$_{NOT}$*-no-dup rtranclp-cdcl*$_{NOT}$*-trail-clauses-bound*)

abbreviation *learn-or-forget* **where**
learn-or-forget S T ≡ (*λS T. learn S T* ∨ *forget*$_{NOT}$ *S T*) *S T*

lemma *rtranclp-learn-or-forget-cdcl*$_{NOT}$:
learn-or-forget** *S T* ⟹ *cdcl*$_{NOT}$** *S T*
using *rtranclp-mono*[*of learn-or-forget cdcl*$_{NOT}$] *cdcl*$_{NOT}$*.c-learn cdcl*$_{NOT}$*.c-forget*$_{NOT}$ **by** *blast*

lemma *learn-or-forget-dpll-*μ_C:
assumes
l-f: *learn-or-forget*** *S T* **and**
dpll: *dpll-bj T U* **and**
inv: *cdcl*$_{NOT}$*-NOT-all-inv A S*
shows (*2*+*card* (*atms-of-ms A*)) ^ (*1*+*card* (*atms-of-ms A*))
− μ_C (*1*+*card* (*atms-of-ms A*)) (*2*+*card* (*atms-of-ms A*)) (*trail-weight U*)
< (*2*+*card* (*atms-of-ms A*)) ^ (*1*+*card* (*atms-of-ms A*))
− μ_C (*1*+*card* (*atms-of-ms A*)) (*2*+*card* (*atms-of-ms A*)) (*trail-weight S*)
(**is** *?μ U* < *?μ S*)
proof −
have *?μ S* = *?μ T*
using *l-f*
proof (*induction*)
case *base*
then show *?case* **by** *simp*
next
case (*step T U*)
moreover then have *no-dup* (*trail T*)
using *rtranclp-cdcl*$_{NOT}$*-no-dup*[*of S T*] *cdcl*$_{NOT}$*-NOT-all-inv-def inv*
rtranclp-learn-or-forget-cdcl$_{NOT}$ **by** *auto*
ultimately show *?case*
using *forget-*μ_C*-stable learn-*μ_C*-stable inv* **unfolding** *cdcl*$_{NOT}$*-NOT-all-inv-def* **by** *presburger*
qed
moreover have *cdcl*$_{NOT}$*-NOT-all-inv A T*
using *rtranclp-learn-or-forget-cdcl*$_{NOT}$ *cdcl*$_{NOT}$*-NOT-all-inv l-f inv* **by** *blast*
ultimately show *?thesis*
using *dpll-bj-trail-mes-decreasing-prop*[*of T U A*, *OF dpll*] *finite*
unfolding *cdcl*$_{NOT}$*-NOT-all-inv-def* **by** *linarith*
qed

lemma *infinite-cdcl*$_{NOT}$*-exists-learn-and-forget-infinite-chain*:
assumes

```
    ⋀i. cdcl_NOT (f i) (f(Suc i)) and
    inv: cdcl_NOT-NOT-all-inv A (f 0)
  shows ∃j. ∀i≥j. learn-or-forget (f i) (f (Suc i))
  using assms
proof (induction (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
    − μ_C (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight (f 0))
    arbitrary: f
    rule: nat-less-induct-case)
  case (Suc n) note IH = this(1) and μ = this(2) and cdcl_NOT = this(3) and inv = this(4)
  consider
      (dpll-end) ∃j. ∀i≥j. learn-or-forget (f i) (f (Suc i))
    | (dpll-more) ¬(∃j. ∀i≥j. learn-or-forget (f i) (f (Suc i)))
    by blast
  then show ?case
    proof cases
      case dpll-end
      then show ?thesis by auto
    next
      case dpll-more
      then have j: ∃i. ¬ learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i))
        by blast
      obtain i where
        ¬learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i)) and
        ∀k<i. learn-or-forget (f k) (f (Suc k))
        proof −
          obtain i_0 where ¬ learn (f i_0) (f (Suc i_0)) ∧ ¬forget_NOT (f i_0) (f (Suc i_0))
            using j by auto
          then have {i. i≤i_0 ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i))} ≠ {}
            by auto
          let ?I = {i. i≤i_0 ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i))}
          let ?i = Min ?I
          have finite ?I
            by auto
          have ¬ learn (f ?i) (f (Suc ?i)) ∧ ¬forget_NOT (f ?i) (f (Suc ?i))
            using Min-in[OF ‹finite ?I› ‹?I ≠ {}›] by auto
          moreover have ∀k<?i. learn-or-forget (f k) (f (Suc k))
            using Min.coboundedI[of {i. i ≤ i_0 ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬ forget_NOT (f i)
              (f (Suc i))}, simplified]
            by (meson ‹¬ learn (f i_0) (f (Suc i_0)) ∧ ¬ forget_NOT (f i_0) (f (Suc i_0))› less-imp-le
              dual-order.trans not-le)
          ultimately show ?thesis using that by blast
        qed
      def g ≡ λn. f (n + Suc i)
      have dpll-bj (f i) (g 0)
        using ‹¬ learn (f i) (f (Suc i)) ∧ ¬ forget_NOT (f i) (f (Suc i))› cdcl_NOT cdcl_NOT.cases
        g-def by auto
      {
        fix j
        assume j ≤ i
        then have learn-or-forget** (f 0) (f j)
          apply (induction j)
           apply simp
          by (metis (no-types, lifting) Suc-leD Suc-le-lessD rtranclp.simps
            ‹∀k<i. learn (f k) (f (Suc k)) ∨ forget_NOT (f k) (f (Suc k))›)
      }
      then have learn-or-forget** (f 0) (f i) by blast
      then have (2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
          − μ_C (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight (g 0))
        < (2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
          − μ_C (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight (f 0))
        using learn-or-forget-dpll-μ_C[of f 0 f i g 0 A] inv ‹dpll-bj (f i) (g 0)›
        unfolding cdcl_NOT-NOT-all-inv-def by linarith

      moreover have cdcl_NOT-i: cdcl_NOT** (f 0) (g 0)
        using rtranclp-learn-or-forget-cdcl_NOT[of f 0 f i] ‹learn-or-forget** (f 0) (f i)›
        cdcl_NOT[of i] unfolding g-def by auto
      moreover have ⋀i. cdcl_NOT (g i) (g (Suc i))
        using cdcl_NOT g-def by auto
      moreover have cdcl_NOT-NOT-all-inv A (g 0)
        using inv cdcl_NOT-i rtranclp-cdcl_NOT-trail-clauses-bound g-def cdcl_NOT-NOT-all-inv by auto
      ultimately obtain j where j: ⋀i. i≥j ⟹ learn-or-forget (g i) (g (Suc i))
        using IH unfolding μ[symmetric] by presburger
      show ?thesis
        proof
          {
            fix k
            assume k ≥ j + Suc i
            then have learn-or-forget (f k) (f (Suc k))
              using j[of k−Suc i] unfolding g-def by auto
          }
          then show ∀k≥j+Suc i. learn-or-forget (f k) (f (Suc k))
            by auto
        qed
    qed
  next
    case 0 note H = this(1) and cdcl_NOT = this(2) and inv = this(3)
    show ?case
      proof (rule ccontr)
        assume ¬ ?case
        then have j: ∃i. ¬ learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i))
          by blast
        obtain i where
          ¬learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i)) and
          ∀k<i. learn-or-forget (f k) (f (Suc k))
          proof −
            obtain i_0 where ¬ learn (f i_0) (f (Suc i_0)) ∧ ¬forget_NOT (f i_0) (f (Suc i_0))
              using j by auto
            then have {i. i≤i_0 ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i))} ≠ {}
              by auto
            let ?I = {i. i≤i_0 ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬forget_NOT (f i) (f (Suc i))}
            let ?i = Min ?I
            have finite ?I
              by auto
            have ¬ learn (f ?i) (f (Suc ?i)) ∧ ¬forget_NOT (f ?i) (f (Suc ?i))
              using Min-in[OF ‹finite ?I› ‹?I ≠ {}›] by auto
            moreover have ∀k<?i. learn-or-forget (f k) (f (Suc k))
              using Min.coboundedI[of {i. i ≤ i_0 ∧ ¬ learn (f i) (f (Suc i)) ∧ ¬ forget_NOT (f i)
                (f (Suc i))}, simplified]
              by (meson ‹¬ learn (f i_0) (f (Suc i_0)) ∧ ¬ forget_NOT (f i_0) (f (Suc i_0))› less-imp-le
                dual-order.trans not-le)
        ultimately show ?thesis using that by blast
      qed
    have dpll-bj (f i) (f (Suc i))
      using ‹¬ learn (f i) (f (Suc i)) ∧ ¬ forget_NOT (f i) (f (Suc i))› cdcl_NOT cdcl_NOT.cases
      by blast
    {
      fix j
      assume j ≤ i
      then have learn-or-forget** (f 0) (f j)
        apply (induction j)
         apply simp
        by (metis (no-types, lifting) Suc-leD Suc-le-lessD rtranclp.simps
          ‹∀ k<i. learn (f k) (f (Suc k)) ∨ forget_NOT (f k) (f (Suc k))›)
    }
    then have learn-or-forget** (f 0) (f i) by blast

    then show False
      using learn-or-forget-dpll-μ_C[of f 0 f i f (Suc i) A] inv 0
      ‹dpll-bj (f i) (f (Suc i))› unfolding cdcl_NOT-NOT-all-inv-def by linarith
  qed
qed

lemma wf-cdcl_NOT-no-learn-and-forget-infinite-chain:
  assumes
    no-infinite-lf: ⋀f j. ¬ (∀ i≥j. learn-or-forget (f i) (f (Suc i)))
  shows wf {(T, S). cdcl_NOT S T ∧ cdcl_NOT-NOT-all-inv A S} (is wf {(T, S). cdcl_NOT S T
      ∧ ?inv S})
  unfolding wf-iff-no-infinite-down-chain
proof (rule ccontr)
  assume ¬ ¬ (∃f. ∀ i. (f (Suc i), f i) ∈ {(T, S). cdcl_NOT S T ∧ ?inv S})
  then obtain f where
    ∀ i. cdcl_NOT (f i) (f (Suc i)) ∧ ?inv (f i)
    by fast
  then have ∃ j. ∀ i≥j. learn-or-forget (f i) (f (Suc i))
    using infinite-cdcl_NOT-exists-learn-and-forget-infinite-chain[of f] by meson
  then show False using no-infinite-lf by blast
qed

lemma inv-and-tranclp-cdcl-_NOT-tranclp-cdcl_NOT-and-inv:
  cdcl_NOT^++ S T ∧ cdcl_NOT-NOT-all-inv A S ⟷ (λS T. cdcl_NOT S T ∧ cdcl_NOT-NOT-all-inv A
S)^++ S T
  (is ?A ∧ ?I ⟷ ?B)
proof
  assume ?A ∧ ?I
  then have ?A and ?I by blast+
  then show ?B
    apply induction
      apply (simp add: tranclp.r-into-trancl)
    by (metis (no-types, lifting) cdcl_NOT-NOT-all-inv tranclp.simps tranclp-into-rtranclp)
next
  assume ?B
  then have ?A by induction auto
  moreover have ?I using ‹?B› tranclpD by fastforce
  ultimately show ?A ∧ ?I by blast
qed
```

```
lemma wf-tranclp-cdcl_NOT-no-learn-and-forget-infinite-chain:
  assumes
    no-infinite-lf: ⋀f j. ¬ (∀ i≥j. learn-or-forget (f i) (f (Suc i)))
  shows wf {(T, S). cdcl_NOT^++ S T ∧ cdcl_NOT-NOT-all-inv A S}
  using wf-trancl[OF wf-cdcl_NOT-no-learn-and-forget-infinite-chain[OF no-infinite-lf]]
  apply (rule wf-subset)
  by (auto simp: trancl-set-tranclp inv-and-tranclp-cdcl-_NOT-tranclp-cdcl_NOT-and-inv)

lemma cdcl_NOT-final-state:
  assumes
    n-s: no-step cdcl_NOT S and
    inv: cdcl_NOT-NOT-all-inv A S and
    decomp: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  shows unsatisfiable (set-mset (clauses S))
    ∨ (trail S ⊨asm clauses S ∧ satisfiable (set-mset (clauses S)))
proof –
  have n-s': no-step dpll-bj S
    using n-s by (auto simp: cdcl_NOT.simps)
  show ?thesis
    apply (rule dpll-backjump-final-state[of S A])
    using inv decomp n-s' unfolding cdcl_NOT-NOT-all-inv-def by auto
qed

lemma full-cdcl_NOT-final-state:
  assumes
    full: full cdcl_NOT S T and
    inv: cdcl_NOT-NOT-all-inv A S and
    n-d: no-dup (trail S) and
    decomp: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  shows unsatisfiable (set-mset (clauses T))
    ∨ (trail T ⊨asm clauses T ∧ satisfiable (set-mset (clauses T)))
proof –
  have st: cdcl_NOT^** S T and n-s: no-step cdcl_NOT T
    using full unfolding full-def by blast+
  have n-s': cdcl_NOT-NOT-all-inv A T
    using cdcl_NOT-NOT-all-inv inv st by blast
  moreover have all-decomposition-implies-m (clauses T) (get-all-marked-decomposition (trail T))
    using cdcl_NOT-NOT-all-inv-def decomp inv rtranclp-cdcl_NOT-all-decomposition-implies st by auto
  ultimately show ?thesis
    using cdcl_NOT-final-state n-s by blast
qed

end — end of conflict-driven-clause-learning
```

14.6 Termination

14.6.1 Restricting learn and forget

```
locale conflict-driven-clause-learning-learning-before-backjump-only-distinct-learnt =
  conflict-driven-clause-learning trail clauses prepend-trail tl-trail add-cls_NOT remove-cls_NOT
  propagate-conds inv backjump-conds
  λC S.  distinct-mset C ∧ ¬tautology C ∧ learn-restrictions C S ∧
    (∃F K d F' C' L.  trail S = F' @ Marked K () # F ∧ C = C' + {#L#} ∧ F ⊨as CNot C'
      ∧ C' + {#L#} ∉# clauses S)
  λC S. ¬(∃F' F K d L. trail S = F' @ Marked K () # F ∧ F ⊨as CNot (C – {#L#}))
```

$\wedge$ *forget-restrictions C S*
for
trail :: *'st* $\Rightarrow$ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* $\Rightarrow$ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **and**
tl-trail :: *'st* $\Rightarrow$ *'st* **and**
add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* $\Rightarrow$ *'st* $\Rightarrow$ *bool* **and**
inv :: *'st* $\Rightarrow$ *bool* **and**
backjump-conds :: *'v clause* $\Rightarrow$ *'v clause* $\Rightarrow$ *'v literal* $\Rightarrow$ *'st* $\Rightarrow$ *'st* $\Rightarrow$ *bool* **and**
learn-restrictions forget-restrictions :: *'v clause* $\Rightarrow$ *'st* $\Rightarrow$ *bool*
begin

lemma *cdcl*$_{NOT}$*-learn-all-induct*[*consumes 1*, *case-names dpll-bj learn forget*$_{NOT}$]:
fixes *S T* :: *'st*
assumes *cdcl*$_{NOT}$ *S T* **and**
dpll: $\bigwedge T$. *dpll-bj S T* $\Longrightarrow$ *P S T* **and**
learning:
$\bigwedge C\ F\ K\ F'\ C'\ L\ T$. *clauses S* $\models$*pm C*
$\Longrightarrow$ *atms-of C* $\subseteq$ *atms-of-msu* (*clauses S*) $\cup$ *atm-of* ` (*lits-of* (*trail S*))
$\Longrightarrow$ *distinct-mset C* $\Longrightarrow$ $\neg$ *tautology C* $\Longrightarrow$ *learn-restrictions C S*
$\Longrightarrow$ *trail S* = *F'* @ *Marked K* () # *F* $\Longrightarrow$ *C* = *C'* + {#*L*#} $\Longrightarrow$ *F* $\models$*as CNot C'*
$\Longrightarrow$ *C'* + {#*L*#} $\notin$# *clauses S* $\Longrightarrow$ *T* $\sim$ *add-cls*$_{NOT}$ *C S*
$\Longrightarrow$ *P S T* **and**
forgetting: $\bigwedge C\ T$. *clauses S* $-$ *replicate-mset* (*count* (*clauses S*) *C*) *C* $\models$*pm C*
$\Longrightarrow$ *C* $\in$# *clauses S*
$\Longrightarrow$ $\neg$($\exists$ *F' F K L*. *trail S* = *F'* @ *Marked K* () # *F* $\wedge$ *F* $\models$*as CNot* (*C* $-$ {#*L*#}))
$\Longrightarrow$ *T* $\sim$ *remove-cls*$_{NOT}$ *C S*
$\Longrightarrow$ *forget-restrictions C S* $\Longrightarrow$ *P S T*
shows *P S T*
using *assms*(*1*)
apply (*induction rule*: *cdcl*$_{NOT}$*.induct*)
apply (*auto dest*: *assms*(*2*) *simp add*: *learn-ops-axioms*)[]
apply (*auto elim*!: *learn-ops.learn.cases*[*OF learn-ops-axioms*] *dest*: *assms*(*3*))[]
apply (*auto elim*!: *forget-ops.forget*$_{NOT}$*.cases*[*OF forget-ops-axioms*] *dest*!: *assms*(*4*))
done

lemma *rtranclp-cdcl*$_{NOT}$*-inv*:
cdcl$_{NOT}$** *S T* $\Longrightarrow$ *inv S* $\Longrightarrow$ *inv T*
apply (*induction rule*: *rtranclp-induct*)
apply *simp*
using *cdcl*$_{NOT}$*-inv* **unfolding** *conflict-driven-clause-learning-def*
conflict-driven-clause-learning-axioms-def **by** *blast*

lemma *learn-always-simple-clauses*:
assumes
learn: *learn S T* **and**
n-d: *no-dup* (*trail S*)
shows *set-mset* (*clauses T* $-$ *clauses S*)
$\subseteq$ *simple-clss* (*atms-of-msu* (*clauses S*) $\cup$ *atm-of* ` *lits-of* (*trail S*))
proof
fix *C* **assume** *C*: *C* $\in$ *set-mset* (*clauses T* $-$ *clauses S*)
have *distinct-mset C* $\neg$*tautology C* **using** *learn C n-d* **by** (*elim learnE*; *auto*)+
then have *C* $\in$ *simple-clss* (*atms-of C*)
using *distinct-mset-not-tautology-implies-in-simple-clss* **by** *blast*

moreover have *atms-of* $C \subseteq$ *atms-of-msu* (*clauses* S) $\cup$ *atm-of* ' *lits-of* (*trail* S)
using *learn* C *n-d* **by** (*elim learnE*) (*auto simp*: *atms-of-ms-def atms-of-def image-Un true-annots-CNot-all-atms-defined*)
moreover have *finite* (*atms-of-msu* (*clauses* S) $\cup$ *atm-of* ' *lits-of* (*trail* S))
by *auto*
ultimately show $C \in$ *simple-clss* (*atms-of-msu* (*clauses* S) $\cup$ *atm-of* ' *lits-of* (*trail* S))
using *simple-clss-mono* **by** (*metis* (*no-types*) *insert-subset mk-disjoint-insert*)
qed

definition *conflicting-bj-clss* $S \equiv$
$\{C+\{\#L\#\}|C\ L.\ C+\{\#L\#\} \in\#$ *clauses* $S \land$ *distinct-mset* $(C+\{\#L\#\}) \land \neg$*tautology* $(C+\{\#L\#\})$
$\land (\exists F'\ K\ F.$ *trail* $S = F'$ @ *Marked* K () # $F \land F \models$*as CNot* $C)\}$

lemma *conflicting-bj-clss-remove-cls*$_{NOT}$[*simp*]:
conflicting-bj-clss (*remove-cls*$_{NOT}$ C S) = *conflicting-bj-clss* $S - \{C\}$
unfolding *conflicting-bj-clss-def* **by** *fastforce*

lemma *conflicting-bj-clss-add-cls*$_{NOT}$*-state-eq*:
$T \sim$ *add-cls*$_{NOT}$ C' $S \Longrightarrow$ *no-dup* (*trail* S) $\Longrightarrow$ *conflicting-bj-clss* T
= *conflicting-bj-clss* S
$\cup$ (*if* $\exists C\ L.\ C' = C + \{\#L\#\} \land$ *distinct-mset* $(C+\{\#L\#\}) \land \neg$*tautology* $(C+\{\#L\#\})$
$\land (\exists F'\ K\ d\ F.$ *trail* $S = F'$ @ *Marked* K () # $F \land F \models$*as CNot* C)
then $\{C'\}$ *else* $\{\}$)
unfolding *conflicting-bj-clss-def* **by** *auto metis+*

lemma *conflicting-bj-clss-add-cls*$_{NOT}$:
no-dup (*trail* S) $\Longrightarrow$
conflicting-bj-clss (*add-cls*$_{NOT}$ C' S)
= *conflicting-bj-clss* S
$\cup$ (*if* $\exists C\ L.\ C' = C + \{\#L\#\}\land$ *distinct-mset* $(C+\{\#L\#\}) \land \neg$*tautology* $(C+\{\#L\#\})$
$\land (\exists F'\ K\ d\ F.$ *trail* $S = F'$ @ *Marked* K () # $F \land F \models$*as CNot* C)
then $\{C'\}$ *else* $\{\}$)
using *conflicting-bj-clss-add-cls*$_{NOT}$*-state-eq* **by** *auto*

lemma *conflicting-bj-clss-incl-clauses*:
conflicting-bj-clss $S \subseteq$ *set-mset* (*clauses* S)
unfolding *conflicting-bj-clss-def* **by** *auto*

lemma *finite-conflicting-bj-clss*[*simp*]:
finite (*conflicting-bj-clss* S)
using *conflicting-bj-clss-incl-clauses*[*of* S] *rev-finite-subset* **by** *blast*

lemma *learn-conflicting-increasing*:
no-dup (*trail* S) $\Longrightarrow$ *learn* S $T \Longrightarrow$ *conflicting-bj-clss* $S \subseteq$ *conflicting-bj-clss* T
apply (*elim learnE*)
by (*subst conflicting-bj-clss-add-cls*$_{NOT}$*-state-eq*[*of* T]) *auto*

abbreviation *conflicting-bj-clss-yet* b $S \equiv$
$3\ \hat{}\ b$ − *card* (*conflicting-bj-clss* S)

abbreviation μ_L :: *nat* ⇒ *'st* ⇒ *nat* × *nat* **where**
μ_L b $S \equiv$ (*conflicting-bj-clss-yet* b S, *card* (*set-mset* (*clauses* S)))

lemma *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched*:
assumes *forget*$_{NOT}$ S T

shows *conflicting-bj-clss S* = *conflicting-bj-clss T*
using *assms* **apply** *induction*
unfolding *conflicting-bj-clss-def*
by (*metis* (*no-types*, *lifting*) *Diff-insert-absorb Set.set-insert clauses-remove-cls*$_{NOT}$
diff-union-cancelR insert-iff mem-set-mset-iff order-refl set-mset-minus-replicate-mset(*1*)
state-eq$_{NOT}$*-clauses state-eq*$_{NOT}$*-trail trail-remove-cls*$_{NOT}$)

lemma *forget-*μ_L*-decrease*:
assumes *forget*$_{NOT}$: *forget*$_{NOT}$ *S T*
shows (μ_L *b T*, μ_L *b S*) ∈ *less-than* <∗*lex*∗> *less-than*
proof −
have *card* (*set-mset* (*clauses T*)) < *card* (*set-mset* (*clauses S*))
using *forget*$_{NOT}$ **apply** *induction*
by (*metis card-Diff1-less clauses-remove-cls*$_{NOT}$ *finite-set-mset mem-set-mset-iff order-refl*
set-mset-minus-replicate-mset(*1*) *state-eq*$_{NOT}$*-clauses*)
then show *?thesis*
unfolding *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched*[*OF forget*$_{NOT}$]
by *auto*
qed

lemma *set-condition-or-split*:
{*a*. (*a* = *b* ∨ *Q a*) ∧ *S a*} = (*if S b then* {*b*} *else* {}) ∪ {*a*. *Q a* ∧ *S a*}
by *auto*

lemma *set-insert-neq*:
A ≠ *insert a A* ⟷ *a* ∉ *A*
by *auto*

lemma *learn-*μ_L*-decrease*:
assumes *learnST*: *learn S T* **and** *n-d*: *no-dup* (*trail S*) **and**
A: *atms-of-msu* (*clauses S*) ∪ *atm-of* ' *lits-of* (*trail S*) ⊆ *A* **and**
fin-A: *finite A*
shows (μ_L (*card A*) *T*, μ_L (*card A*) *S*) ∈ *less-than* <∗*lex*∗> *less-than*
proof −
have [*simp*]: (*atms-of-msu* (*clauses T*) ∪ *atm-of* ' *lits-of* (*trail T*))
= (*atms-of-msu* (*clauses S*) ∪ *atm-of* ' *lits-of* (*trail S*))
using *learnST n-d* **by** (*elim learnE*) *auto*

then have *card* (*atms-of-msu* (*clauses T*) ∪ *atm-of* ' *lits-of* (*trail T*))
= *card* (*atms-of-msu* (*clauses S*) ∪ *atm-of* ' *lits-of* (*trail S*))
by (*auto intro*!: *card-mono*)
then have *3*: (*3*::*nat*) ^ *card* (*atms-of-msu* (*clauses T*) ∪ *atm-of* ' *lits-of* (*trail T*))
= *3* ^ *card* (*atms-of-msu* (*clauses S*) ∪ *atm-of* ' *lits-of* (*trail S*))
by (*auto intro*: *power-mono*)
moreover have *conflicting-bj-clss S* ⊆ *conflicting-bj-clss T*
using *learnST n-d* **by** (*simp add*: *learn-conflicting-increasing*)
moreover have *conflicting-bj-clss S* ≠ *conflicting-bj-clss T*
using *learnST*
proof (*elim learnE*, *goal-cases*)
case (*1 C*) **note** *clss-S* = *this*(*1*) **and** *atms-C* = *this*(*2*) **and** *inv* = *this*(*3*) **and** *T* = *this*(*4*)
then obtain *F K F' C' L* **where**
tr-S: *trail S* = *F'* @ *Marked K* () # *F* **and**
C: *C* = *C'* + {#*L*#} **and**
F: *F* ⊨*as CNot C'* **and**
C-S:*C'* + {#*L*#} ∉# *clauses S*

```
        by blast
      moreover have distinct-mset C ¬ tautology C using inv by blast+
      ultimately have C' + {#L#} ∈ conflicting-bj-clss T
        using T n-d unfolding conflicting-bj-clss-def by fastforce
      moreover have C' + {#L#} ∉ conflicting-bj-clss S
        using C-S unfolding conflicting-bj-clss-def by auto
      ultimately show ?case by blast
    qed
  moreover have fin-T: finite (conflicting-bj-clss T)
    using learnST by induction (auto simp add: conflicting-bj-clss-add-cls_NOT )
  ultimately have card (conflicting-bj-clss T) ≥ card (conflicting-bj-clss S)
    using card-mono by blast

  moreover
    have fin': finite (atms-of-msu (clauses T) ∪ atm-of ‘ lits-of (trail T))
      by auto
    have 1:atms-of-ms (conflicting-bj-clss T) ⊆ atms-of-msu (clauses T)
      unfolding conflicting-bj-clss-def atms-of-ms-def by auto
    have 2: ⋀x. x∈ conflicting-bj-clss T ⟹ ¬ tautology x ∧ distinct-mset x
      unfolding conflicting-bj-clss-def by auto
    have T: conflicting-bj-clss T
    ⊆ simple-clss (atms-of-msu (clauses T) ∪ atm-of ‘ lits-of (trail T))
      by standard (meson 1 2 fin' ‹finite (conflicting-bj-clss T)› simple-clss-mono
        distinct-mset-set-def simplified-in-simple-clss subsetCE sup.coboundedI1)
  moreover
    then have #: 3 ^ card (atms-of-msu (clauses T) ∪ atm-of ‘ lits-of (trail T))
        ≥ card (conflicting-bj-clss T)
      by (meson Nat.le-trans simple-clss-card simple-clss-finite card-mono fin')
    have atms-of-msu (clauses T) ∪ atm-of ‘ lits-of (trail T) ⊆ A
      using learnE[OF learnST] A by simp
    then have 3 ^ (card A) ≥ card (conflicting-bj-clss T)
      using # fin-A by (meson simple-clss-card simple-clss-finite
        simple-clss-mono calculation(2) card-mono dual-order.trans)
  ultimately show ?thesis
    using psubset-card-mono[OF fin-T ]
    unfolding less-than-iff lex-prod-def by clarify
      (meson ‹conflicting-bj-clss S ≠ conflicting-bj-clss T›
        ‹conflicting-bj-clss S ⊆ conflicting-bj-clss T›
        diff-less-mono2 le-less-trans not-le psubsetI)
qed
```

We have to assume the following:

- *inv S*: the invariant holds in the inital state.
- *A* is a (finite *finite A*) superset of the literals in the trail *atm-of ‘ lits-of* (*trail S*) ⊆ *atms-of-ms A* and in the clauses *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A*. This can the the set of all the literals in the starting set of clauses.
- *no-dup* (*trail S*): no duplicate in the trail. This is invariant along the path.

definition μ_{CDCL} **where**
μ_{CDCL} *A T* ≡ ((*2+card* (*atms-of-ms A*)) ^ (*1+card* (*atms-of-ms A*))
  − μ_C (*1+card* (*atms-of-ms A*)) (*2+card* (*atms-of-ms A*)) (*trail-weight T*),
  conflicting-bj-clss-yet (*card* (*atms-of-ms A*)) *T*, *card* (*set-mset* (*clauses T*)))

lemma *cdcl*$_{NOT}$*-decreasing-measure*:
  assumes
    cdcl$_{NOT}$ *S T* **and**
    inv: *inv S* **and**
    atm-clss: *atms-of-msu* (*clauses S*) $\subseteq$ *atms-of-ms A* **and**
    atm-lits: *atm-of ‘ lits-of* (*trail S*) $\subseteq$ *atms-of-ms A* **and**
    n-d: *no-dup* (*trail S*) **and**
    fin-A: *finite A*
  shows (μ_{CDCL} *A T*, μ_{CDCL} *A S*)
          $\in$ *less-than <∗lex∗>* (*less-than <∗lex∗> less-than*)
  using *assms*(*1*)
proof *induction*
  case (*c-dpll-bj T*)
  from *dpll-bj-trail-mes-decreasing-prop*[*OF this*(*1*) *inv atm-clss atm-lits n-d fin-A*]
  show *?case* **unfolding** μ_{CDCL}*-def*
    by (*meson in-lex-prod less-than-iff*)
next
  case (*c-learn T*) **note** *learn* = *this*(*1*)
  then have *S*: *trail S* = *trail T*
    using *inv atm-clss atm-lits n-d fin-A*
    by (*elim learnE*) *auto*
  show *?case*
    using *learn-*μ_L*-decrease*[*OF learn - *] *atm-clss atm-lits fin-A n-d* **unfolding** *S* μ_{CDCL}*-def* **by** *auto*
next
  case (*c-forget*$_{NOT}$ *T*) **note** *forget*$_{NOT}$ = *this*(*1*)
  have *trail S* = *trail T* **using** *forget*$_{NOT}$ **by** *induction auto*
  then show *?case*
    using *forget-*μ_L*-decrease*[*OF forget*$_{NOT}$] **unfolding** μ_{CDCL}*-def* **by** *auto*
qed

lemma *wf-cdcl*$_{NOT}$*-restricted-learning*:
  assumes *finite A*
  shows *wf* {(*T*, *S*).
    (*atms-of-msu* (*clauses S*) $\subseteq$ *atms-of-ms A* $\wedge$ *atm-of ‘ lits-of* (*trail S*) $\subseteq$ *atms-of-ms A*
    $\wedge$ *no-dup* (*trail S*)
    $\wedge$ *inv S*)
    $\wedge$ *cdcl*$_{NOT}$ *S T* }
  by (*rule wf-wf-if-measure'*[*of less-than <∗lex∗>* (*less-than <∗lex∗> less-than*)])
     (*auto intro*: *cdcl*$_{NOT}$*-decreasing-measure*[*OF - - - - - assms*])

definition $\mu_C{}'$ :: *'v literal multiset set* $\Rightarrow$ *'st* $\Rightarrow$ *nat* **where**
$\mu_C{}'$ *A T* $\equiv$ μ_C (*1*+*card* (*atms-of-ms A*)) (*2*+*card* (*atms-of-ms A*)) (*trail-weight T*)

definition $\mu_{CDCL}{}'$ :: *'v literal multiset set* $\Rightarrow$ *'st* $\Rightarrow$ *nat* **where**
$\mu_{CDCL}{}'$ *A T* $\equiv$
  ((*2*+*card* (*atms-of-ms A*)) ^ (*1*+*card* (*atms-of-ms A*)) − $\mu_C{}'$ *A T*) ∗ (*1*+ *3*^*card* (*atms-of-ms A*)) ∗
2
  + *conflicting-bj-clss-yet* (*card* (*atms-of-ms A*)) *T* ∗ *2*
  + *card* (*set-mset* (*clauses T*))

lemma *cdcl*$_{NOT}$*-decreasing-measure'*:
  assumes
    cdcl$_{NOT}$ *S T* **and**
    inv: *inv S* **and**
    atms-clss: *atms-of-msu* (*clauses S*) $\subseteq$ *atms-of-ms A* **and**

atms-trail: *atm-of* ' *lits-of* (*trail* *S*) $\subseteq$ *atms-of-ms* *A* **and**
n-d: *no-dup* (*trail* *S*) **and**
fin-A: *finite* *A*
shows $\mu_{CDCL}{}'$ *A* *T* < $\mu_{CDCL}{}'$ *A* *S*
using *assms*(*1*)
proof (*induction rule*: *cdcl*$_{NOT}$*-learn-all-induct*)
case (*dpll-bj* *T*)
then have (*2*+*card* (*atms-of-ms* *A*)) ^ (*1*+*card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *T*
< (*2*+*card* (*atms-of-ms* *A*)) ^ (*1*+*card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *S*
using *dpll-bj-trail-mes-decreasing-prop fin-A inv n-d atms-clss atms-trail*
unfolding $\mu_C{}'$*-def* **by** *blast*
then have *XX*: ((*2*+*card* (*atms-of-ms* *A*)) ^ (*1*+*card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *T*) + *1*
≤ (*2*+*card* (*atms-of-ms* *A*)) ^ (*1*+*card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *S*
by *auto*
from *mult-le-mono1*[*OF this*, *of* (*1* + *3* ^ *card* (*atms-of-ms* *A*))]
have ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *T*) ∗
(*1* + *3* ^ *card* (*atms-of-ms* *A*)) + (*1* + *3* ^ *card* (*atms-of-ms* *A*))
≤ ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *S*)
∗ (*1* + *3* ^ *card* (*atms-of-ms* *A*))
unfolding *Nat.add-mult-distrib*
by *presburger*
moreover
have *cl-T-S*: *clauses* *T* = *clauses* *S*
using *dpll-bj.hyps inv dpll-bj-clauses* **by** *auto*
have *conflicting-bj-clss-yet* (*card* (*atms-of-ms* *A*)) *S* < *1*+ *3* ^ *card* (*atms-of-ms* *A*)
by *simp*
ultimately have ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *T*)
∗ (*1* + *3* ^ *card* (*atms-of-ms* *A*)) + *conflicting-bj-clss-yet* (*card* (*atms-of-ms* *A*)) *T*
< ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *S*) ∗(*1* + *3* ^ *card* (*atms-of-ms*
A))
by *linarith*
then have ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *T*)
∗ (*1* + *3* ^ *card* (*atms-of-ms* *A*))
+ *conflicting-bj-clss-yet* (*card* (*atms-of-ms* *A*)) *T*
< ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *S*)
∗ (*1* + *3* ^ *card* (*atms-of-ms* *A*))
+ *conflicting-bj-clss-yet* (*card* (*atms-of-ms* *A*)) *S*
by *linarith*
then have ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *T*)
∗ (*1* + *3* ^ *card* (*atms-of-ms* *A*)) ∗ *2*
+ *conflicting-bj-clss-yet* (*card* (*atms-of-ms* *A*)) *T* ∗ *2*
< ((*2* + *card* (*atms-of-ms* *A*)) ^ (*1* + *card* (*atms-of-ms* *A*)) − $\mu_C{}'$ *A* *S*)
∗ (*1* + *3* ^ *card* (*atms-of-ms* *A*)) ∗ *2*
+ *conflicting-bj-clss-yet* (*card* (*atms-of-ms* *A*)) *S* ∗ *2*
by *linarith*
then show *?case* **unfolding** $\mu_{CDCL}{}'$*-def cl-T-S* **by** *presburger*
next
case (*learn* *C* *F'* *K* *F* *C'* *L* *T*) **note** *clss-S-C* = *this*(*1*) **and** *atms-C* = *this*(*2*) **and** *dist* = *this*(*3*)
and *tauto* = *this*(*4*) **and** *learn-restr* = *this*(*5*) **and** *tr-S* = *this*(*6*) **and** *C'* = *this*(*7*) **and**
F-C = *this*(*8*) **and** *C-new* = *this*(*9*) **and** *T* =*this*(*10*)
have *insert* *C* (*conflicting-bj-clss* *S*) $\subseteq$ *simple-clss* (*atms-of-ms* *A*)
proof −
have *C* ∈ *simple-clss* (*atms-of-ms* *A*)
by (*metis* (*no-types*, *hide-lams*) *Un-subset-iff atms-of-ms-finite simple-clss-mono*
contra-subsetD dist distinct-mset-not-tautology-implies-in-simple-clss

```
        dual-order.trans fin-A atms-C atms-clss atms-trail tauto)
    moreover have conflicting-bj-clss S ⊆ simple-clss (atms-of-ms A)
      unfolding conflicting-bj-clss-def
      proof
        fix x :: 'v literal multiset
        assume x ∈ {C + {#L#} |C L. C + {#L#} ∈# clauses S
          ∧ distinct-mset (C + {#L#}) ∧ ¬ tautology (C + {#L#})
          ∧ (∃F' K F. trail S = F' @ Marked K () # F ∧ F ⊨as CNot C)}
        then have ∃m l. x = m + {#l#} ∧ m + {#l#} ∈# clauses S
          ∧ distinct-mset (m + {#l#}) ∧ ¬ tautology (m + {#l#})
          ∧ (∃ms l msa. trail S = ms @ Marked l () # msa ∧ msa ⊨as CNot m)
          by blast
        then show x ∈ simple-clss (atms-of-ms A)
          by (meson atms-clss atms-of-atms-of-ms-mono atms-of-ms-finite simple-clss-mono
            distinct-mset-not-tautology-implies-in-simple-clss fin-A finite-subset
            mem-set-mset-iff set-rev-mp)
      qed
    ultimately show ?thesis
      by auto
  qed
 then have card (insert C (conflicting-bj-clss S)) ≤ 3 ^ (card (atms-of-ms A))
   by (meson Nat.le-trans atms-of-ms-finite simple-clss-card simple-clss-finite
     card-mono fin-A)
 moreover have [simp]: card (insert C (conflicting-bj-clss S))
   = Suc (card ((conflicting-bj-clss S)))
   by (metis (no-types) C' C-new card-insert-if conflicting-bj-clss-incl-clauses contra-subsetD
     finite-conflicting-bj-clss mem-set-mset-iff)
 moreover have [simp]: conflicting-bj-clss (add-cls_NOT C S) = conflicting-bj-clss S ∪ {C}
    using dist tauto F-C n-d by (subst conflicting-bj-clss-add-cls_NOT)
   (force simp add: ac-simps C' tr-S)+
 ultimately have [simp]: conflicting-bj-clss-yet (card (atms-of-ms A)) S
   = Suc (conflicting-bj-clss-yet (card (atms-of-ms A)) (add-cls_NOT C S))
     by simp
 have 1: clauses T = clauses (add-cls_NOT C S) using T by auto
 have 2: conflicting-bj-clss-yet (card (atms-of-ms A)) T
   = conflicting-bj-clss-yet (card (atms-of-ms A)) (add-cls_NOT C S)
   using T unfolding conflicting-bj-clss-def by auto
 have 3: μ_C' A T = μ_C' A (add-cls_NOT C S)
   using T unfolding μ_C'-def by auto
 have ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) − μ_C' A (add-cls_NOT C S))
   ∗ (1 + 3 ^ card (atms-of-ms A)) ∗ 2
   = ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) − μ_C' A S)
   ∗ (1 + 3 ^ card (atms-of-ms A)) ∗ 2
     using n-d unfolding μ_C'-def by auto
 moreover
   have conflicting-bj-clss-yet (card (atms-of-ms A)) (add-cls_NOT C S)
       ∗ 2
     + card (set-mset (clauses (add-cls_NOT C S)))
     < conflicting-bj-clss-yet (card (atms-of-ms A)) S ∗ 2
     + card (set-mset (clauses S))
     by (simp add: C' C-new n-d)
 ultimately show ?case unfolding μ_CDCL'-def 1 2 3 by presburger
next
 case (forget_NOT C T) note T = this(4)
 have [simp]: μ_C' A (remove-cls_NOT C S) = μ_C' A S
```

    unfolding μ_C*'-def* **by** *auto*
  have $forget_{NOT}$ *S T*
    apply (*rule* $forget_{NOT}$*.intros*) **using** $forget_{NOT}$ **by** *auto*
  then have *conflicting-bj-clss T* = *conflicting-bj-clss S*
    using *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched* **by** *blast*
  moreover have *card* (*set-mset* (*clauses T*)) < *card* (*set-mset* (*clauses S*))
    by (*metis T card-Diff1-less* $clauses\text{-}remove\text{-}cls_{NOT}$ *finite-set-mset* $forget_{NOT}$*.hyps*(*2*)
      mem-set-mset-iff order-refl set-mset-minus-replicate-mset(*1*) $state\text{-}eq_{NOT}$*-clauses*)
  ultimately show *?case* **unfolding** μ_{CDCL}*'-def*
    by (*metis* (*no-types*) *T* ‹μ_C*' A* ($remove\text{-}cls_{NOT}$ *C S*) = μ_C*' A S*› *add-le-cancel-left*
      μ_C*'-def not-le* $state\text{-}eq_{NOT}$*-trail*)
qed

lemma $cdcl_{NOT}$*-clauses-bound*:
  assumes
    $cdcl_{NOT}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) ⊆ *A* **and**
    atm-of ‘(*lits-of* (*trail S*)) ⊆ *A* **and**
    n-d: *no-dup* (*trail S*) **and**
    fin-A[*simp*]: *finite A*
  shows *set-mset* (*clauses T*) ⊆ *set-mset* (*clauses S*) ∪ *simple-clss A*
  using *assms*
proof (*induction rule*: $cdcl_{NOT}$*-learn-all-induct*)
  case *dpll-bj*
  then show *?case* **using** *dpll-bj-clauses* **by** *simp*
next
  case $forget_{NOT}$
  then show *?case* **using** $clauses\text{-}remove\text{-}cls_{NOT}$ **unfolding** $state\text{-}eq_{NOT}$*-def* **by** *auto*
next
  case (*learn C F K d F' C' L*) **note** *atms-C* = *this*(*2*) **and** *dist* = *this*(*3*) **and** *tauto* = *this*(*4*) **and**
  T = *this*(*10*) **and** *atms-clss-S* = *this*(*12*) **and** *atms-trail-S* = *this*(*13*)
  have *atms-of C* ⊆ *A*
    using *atms-C atms-clss-S atms-trail-S* **by** *auto*
  then have *simple-clss* (*atms-of C*) ⊆ *simple-clss A*
    by (*simp add*: *simple-clss-mono*)
  then have *C* ∈ *simple-clss A*
    using *finite dist tauto*
    by (*auto dest*: *distinct-mset-not-tautology-implies-in-simple-clss*)
  then show *?case* **using** *T n-d* **by** *auto*
qed

lemma *rtranclp-*$cdcl_{NOT}$*-clauses-bound*:
  assumes
    $cdcl_{NOT}^{**}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) ⊆ *A* **and**
    atm-of ‘(*lits-of* (*trail S*)) ⊆ *A* **and**
    n-d: *no-dup* (*trail S*) **and**
    finite: *finite A*
  shows *set-mset* (*clauses T*) ⊆ *set-mset* (*clauses S*) ∪ *simple-clss A*
  using *assms*(*1*−*5*)
proof *induction*
  case *base*
  then show *?case* **by** *simp*

next
  case (*step T U*) **note** *st* = *this*(*1*) **and** $cdcl_{NOT}$ = *this*(*2*) **and** *IH* = *this*(*3*)[*OF this*(*4*−*7*)] **and**
    inv = *this*(*4*) **and** *atms-clss-S* = *this*(*5*) **and** *atms-trail-S* = *this*(*6*) **and** *finite-cls-S* = *this*(*7*)
  have *inv T*
    using *rtranclp-cdcl*$_{NOT}$*-inv st inv* **by** *blast*
  moreover have *atms-of-msu* (*clauses T*) ⊆ *A* **and** *atm-of* ' *lits-of* (*trail T*) ⊆ *A*
    using *rtranclp-cdcl*$_{NOT}$*-trail-clauses-bound*[*OF st*] *inv atms-clss-S atms-trail-S n-d* **by** *blast+*
  moreover have *no-dup* (*trail T*)
   using *rtranclp-cdcl*$_{NOT}$*-no-dup*[*OF st* ‹*inv S*› *n-d*] **by** *simp*
  ultimately have *set-mset* (*clauses U*) ⊆ *set-mset* (*clauses T*) ∪ *simple-clss A*
    using $cdcl_{NOT}$ *finite n-d* **by** (*auto simp*: *cdcl*$_{NOT}$*-clauses-bound*)
  then show *?case* **using** *IH* **by** *auto*
qed

lemma *rtranclp-cdcl*$_{NOT}$*-card-clauses-bound*:
  assumes
    ${cdcl_{NOT}}^{**}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) ⊆ *A* **and**
    atm-of '(*lits-of* (*trail S*)) ⊆ *A* **and**
    n-d: *no-dup* (*trail S*) **and**
    finite: *finite A*
  shows *card* (*set-mset* (*clauses T*)) ≤ *card* (*set-mset* (*clauses S*)) + *3* ^ (*card A*)
  using *rtranclp-cdcl*$_{NOT}$*-clauses-bound*[*OF assms*] *finite* **by** (*meson Nat.le-trans*
    simple-clss-card simple-clss-finite card-Un-le card-mono finite-UnI
    finite-set-mset nat-add-left-cancel-le)

lemma *rtranclp-cdcl*$_{NOT}$*-card-clauses-bound'*:
  assumes
    ${cdcl_{NOT}}^{**}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) ⊆ *A* **and**
    atm-of '(*lits-of* (*trail S*)) ⊆ *A* **and**
    n-d: *no-dup* (*trail S*) **and**
    finite: *finite A*
  shows *card* {*C*|*C*. *C* ∈# *clauses T* ∧ (*tautology C* ∨ ¬*distinct-mset C*)}
    ≤ *card* {*C*|*C*. *C*∈# *clauses S* ∧ (*tautology C* ∨ ¬*distinct-mset C*)} + *3* ^ (*card A*)
    (**is** *card ?T* ≤ *card ?S* + -)
  using *rtranclp-cdcl*$_{NOT}$*-clauses-bound*[*OF assms*] *finite*
proof −
  have *?T* ⊆ *?S* ∪ *simple-clss A*
    using *rtranclp-cdcl*$_{NOT}$*-clauses-bound*[*OF assms*] **by** *force*
  then have *card ?T* ≤ *card* (*?S* ∪ *simple-clss A*)
    using *finite* **by** (*simp add*: *assms*(*5*) *simple-clss-finite card-mono*)
  then show *?thesis*
    by (*meson le-trans simple-clss-card card-Un-le local.finite nat-add-left-cancel-le*)
qed

lemma *rtranclp-cdcl*$_{NOT}$*-card-simple-clauses-bound*:
  assumes
    ${cdcl_{NOT}}^{**}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) ⊆ *A* **and**
    atm-of '(*lits-of* (*trail S*)) ⊆ *A* **and**

```
    n-d: no-dup (trail S) and
    finite: finite A
  shows card (set-mset (clauses T))
  ≤ card {C. C ∈# clauses S ∧ (tautology C ∨ ¬distinct-mset C)} + 3 ^ (card A)
    (is card ?T ≤ card ?S + -)
  using rtranclp-cdcl_NOT-clauses-bound[OF assms] finite
proof -
  have ⋀x. x ∈# clauses T ⟹¬ tautology x ⟹ distinct-mset x ⟹ x ∈ simple-clss A
    using rtranclp-cdcl_NOT-clauses-bound[OF assms] by (metis (no-types, hide-lams) Un-iff assms(3)
      atms-of-atms-of-ms-mono simple-clss-mono contra-subsetD
      distinct-mset-not-tautology-implies-in-simple-clss local.finite mem-set-mset-iff
      subset-trans)
  then have set-mset (clauses T) ⊆ ?S ∪ simple-clss A
    using rtranclp-cdcl_NOT-clauses-bound[OF assms] by auto
  then have card(set-mset (clauses T)) ≤ card (?S ∪ simple-clss A)
    using finite by (simp add: assms(5) simple-clss-finite card-mono)
  then show ?thesis
    by (meson le-trans simple-clss-card card-Un-le local.finite nat-add-left-cancel-le)
qed

definition μ_CDCL'-bound :: 'v literal multiset set ⇒ 'st ⇒ nat where
μ_CDCL'-bound A S =
  ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))) * (1 + 3 ^ card (atms-of-ms A)) * 2
     + 2*3 ^ (card (atms-of-ms A))
     + card {C. C ∈# clauses S ∧ (tautology C ∨ ¬distinct-mset C)} + 3 ^ (card (atms-of-ms A))

lemma μ_CDCL'-bound-reduce-trail-to_NOT[simp]:
  μ_CDCL'-bound A (reduce-trail-to_NOT M S) = μ_CDCL'-bound A S
  unfolding μ_CDCL'-bound-def by auto

lemma rtranclp-cdcl_NOT-μ_CDCL'-bound-reduce-trail-to_NOT:
  assumes
    cdcl_NOT** S T and
    inv S and
    atms-of-msu (clauses S) ⊆ atms-of-ms A and
    atm-of `(lits-of (trail S)) ⊆ atms-of-ms A and
    n-d: no-dup (trail S) and
    finite: finite (atms-of-ms A) and
    U: U ∼ reduce-trail-to_NOT M T
  shows μ_CDCL' A U ≤ μ_CDCL'-bound A S
proof -
  have  ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) − μ_C' A U)
    ≤ (2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
    by auto
  then have ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) − μ_C' A U)
        * (1 + 3 ^ card (atms-of-ms A)) * 2
    ≤ (2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) * (1 + 3 ^ card (atms-of-ms A)) * 2
    using mult-le-mono1 by blast
  moreover
    have conflicting-bj-clss-yet (card (atms-of-ms A)) T * 2 ≤ 2 * 3 ^ card (atms-of-ms A)
      by linarith
  moreover have card (set-mset (clauses U))
      ≤ card {C. C ∈# clauses S ∧ (tautology C ∨ ¬distinct-mset C)} + 3 ^ card (atms-of-ms A)
    using rtranclp-cdcl_NOT-card-simple-clauses-bound[OF assms(1−6)] U by auto
  ultimately show ?thesis
```

unfolding $\mu_{CDCL}{}'$*-def* $\mu_{CDCL}{}'$*-bound-def* **by** *linarith*
qed

lemma *rtranclp-cdcl*$_{NOT}$*-*$\mu_{CDCL}{}'$*-bound*:
  assumes
    cdcl$_{NOT}{}^{**}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) $\subseteq$ *atms-of-ms A* **and**
    atm-of ‘(*lits-of* (*trail S*)) $\subseteq$ *atms-of-ms A* **and**
    n-d: *no-dup* (*trail S*) **and**
    finite: *finite* (*atms-of-ms A*)
  shows $\mu_{CDCL}{}'$ *A T* $\leq$ $\mu_{CDCL}{}'$*-bound A S*
proof –
  have $\mu_{CDCL}{}'$ *A* (*reduce-trail-to*$_{NOT}$ (*trail T*) *T*) = $\mu_{CDCL}{}'$ *A T*
    unfolding $\mu_{CDCL}{}'$*-def* $\mu_C{}'$*-def* *conflicting-bj-clss-def* **by** *auto*
  then show *?thesis* **using** *rtranclp-cdcl*$_{NOT}$*-*$\mu_{CDCL}{}'$*-bound-reduce-trail-to*$_{NOT}$[*OF assms*, *of - trail T*]
    state-eq$_{NOT}$*-ref* **by** *fastforce*
qed

lemma *rtranclp-*$\mu_{CDCL}{}'$*-bound-decreasing*:
  assumes
    cdcl$_{NOT}{}^{**}$ *S T* **and**
    inv S **and**
    atms-of-msu (*clauses S*) $\subseteq$ *atms-of-ms A* **and**
    atm-of ‘(*lits-of* (*trail S*)) $\subseteq$ *atms-of-ms A* **and**
    n-d: *no-dup* (*trail S*) **and**
    finite[*simp*]: *finite* (*atms-of-ms A*)
  shows $\mu_{CDCL}{}'$*-bound A T* $\leq$ $\mu_{CDCL}{}'$*-bound A S*
proof –
  have {*C*. *C* ∈# *clauses T* ∧ (*tautology C* ∨ ¬ *distinct-mset C*)}
    ⊆ {*C*. *C* ∈# *clauses S* ∧ (*tautology C* ∨ ¬ *distinct-mset C*)} (**is** *?T* ⊆ *?S*)
    proof (*rule Set.subsetI*)
      fix *C* **assume** *C* ∈ *?T*
      then have *C-T*: *C* ∈# *clauses T* **and** *t-d*: *tautology C* ∨ ¬ *distinct-mset C*
        by *auto*
      then have *C* ∉ *simple-clss* (*atms-of-ms A*)
        by (*auto dest*: *simple-clssE*)
      then show *C* ∈ *?S*
        using *C-T rtranclp-cdcl*$_{NOT}$*-clauses-bound*[*OF assms*] *t-d* **by** *force*
    qed
  then have *card* {*C*. *C* ∈# *clauses T* ∧ (*tautology C* ∨ ¬ *distinct-mset C*)} ≤
    card {*C*. *C* ∈# *clauses S* ∧ (*tautology C* ∨ ¬ *distinct-mset C*)}
    by (*simp add*: *card-mono*)
  then show *?thesis*
    unfolding $\mu_{CDCL}{}'$*-bound-def* **by** *auto*
qed

end — end of *conflict-driven-clause-learning-learning-before-backjump-only-distinct-learnt*

14.7 CDCL with restarts

14.7.1 Definition

locale *restart-ops* =
  fixes
    cdcl$_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool* **and**

```
    restart :: 'st ⇒ 'st ⇒ bool
begin
inductive cdcl_NOT-raw-restart :: 'st ⇒ 'st ⇒ bool where
cdcl_NOT S T ⟹ cdcl_NOT-raw-restart S T |
restart S T ⟹ cdcl_NOT-raw-restart S T

end

locale conflict-driven-clause-learning-with-restarts =
  conflict-driven-clause-learning trail clauses prepend-trail tl-trail add-cls_NOT remove-cls_NOT
  propagate-conds inv backjump-conds learn-cond forget-cond
    for
      trail :: 'st ⇒ ('v, unit, unit) marked-lits and
      clauses :: 'st ⇒ 'v clauses and
      prepend-trail :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ 'st and
      tl-trail :: 'st ⇒ 'st and
      add-cls_NOT remove-cls_NOT:: 'v clause ⇒ 'st ⇒ 'st and
      propagate-conds :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ bool and
      inv :: 'st ⇒ bool and
      backjump-conds ::  'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ 'st ⇒ bool and
      learn-cond forget-cond :: 'v clause ⇒ 'st ⇒ bool
begin

lemma cdcl_NOT-iff-cdcl_NOT-raw-restart-no-restarts:
  cdcl_NOT S T ⟷ restart-ops.cdcl_NOT-raw-restart cdcl_NOT (λ- -. False) S T
  (is ?C S T ⟷ ?R S T)
proof
  fix S T
  assume ?C S T
  then show ?R S T by (simp add: restart-ops.cdcl_NOT-raw-restart.intros(1))
next
  fix S T
  assume ?R S T
  then show ?C S T
    apply (cases rule: restart-ops.cdcl_NOT-raw-restart.cases)
    using ‹?R S T› by fast+
qed

lemma cdcl_NOT-cdcl_NOT-raw-restart:
  cdcl_NOT S T ⟹ restart-ops.cdcl_NOT-raw-restart cdcl_NOT restart S T
  by (simp add: restart-ops.cdcl_NOT-raw-restart.intros(1))
end
```

14.7.2 Increasing restarts

To add restarts we needs some assumptions on the predicate (called *cdcl*$_{NOT}$ here):

- a function f that is strictly monotonic. The first step is actually only used as a restart to clean the state (e.g. to ensure that the trail is empty). Then we assume that $(1{::}'a) \leq f\ n$ for $(1{::}'a) \leq n$: it means that between two consecutive restarts, at least one step will be done. This is necessary to avoid sequence. like: full – restart – full – ...

- a measure μ: it should decrease under the assumptions *bound-inv*, whenever a *cdcl*$_{NOT}$ or a *restart* is done. A parameter is given to μ: for conflict- driven clause learning, it is an upper-bound of the clauses. We are assuming that such a bound can be found after a restart whenever the invariant holds.

- we also assume that the measure decrease after any $cdcl_{NOT}$ step.
- an invariant on the states $cdcl_{NOT}$-*inv* that also holds after restarts.
- it is *not required* that the measure decrease with respect to restarts, but the measure has to be bound by some function *μ-bound* taking the same parameter as μ and the initial state of the considered $cdcl_{NOT}$ chain.

locale $cdcl_{NOT}$*-increasing-restarts-ops* =
  restart-ops $cdcl_{NOT}$ *restart* **for**
    restart :: *'st ⇒ 'st ⇒ bool* **and**
    $cdcl_{NOT}$ :: *'st ⇒ 'st ⇒ bool* +
  fixes
    f :: *nat ⇒ nat* **and**
    bound-inv :: *'bound ⇒ 'st ⇒ bool* **and**
    μ :: *'bound ⇒ 'st ⇒ nat* **and**
    $cdcl_{NOT}$*-inv* :: *'st ⇒ bool* **and**
    μ-bound :: *'bound ⇒ 'st ⇒ nat*
  assumes
    f: *unbounded f* **and**
    f-ge-1:⋀*n*. *n≥1* ⟹ *f n ≠ 0* **and**
    bound-inv: ⋀*A S T*. $cdcl_{NOT}$*-inv S* ⟹ *bound-inv A S* ⟹ $cdcl_{NOT}$ *S T* ⟹ *bound-inv A T* **and**
    $cdcl_{NOT}$*-measure*: ⋀*A S T*. $cdcl_{NOT}$*-inv S* ⟹ *bound-inv A S* ⟹ $cdcl_{NOT}$ *S T* ⟹ *μ A T* < *μ A S* **and**
    measure-bound2: ⋀*A T U*. $cdcl_{NOT}$*-inv T* ⟹ *bound-inv A T* ⟹ $cdcl_{NOT}^{**}$ *T U*
      ⟹ *μ A U* ≤ *μ-bound A T* **and**
    measure-bound4: ⋀*A T U*. $cdcl_{NOT}$*-inv T* ⟹ *bound-inv A T* ⟹ $cdcl_{NOT}^{**}$ *T U*
      ⟹ *μ-bound A U* ≤ *μ-bound A T* **and**
    $cdcl_{NOT}$*-restart-inv*: ⋀*A U V*. $cdcl_{NOT}$*-inv U* ⟹ *restart U V* ⟹ *bound-inv A U* ⟹ *bound-inv A V*
      and
    exists-bound: ⋀*R S*. $cdcl_{NOT}$*-inv R* ⟹ *restart R S* ⟹ ∃ *A*. *bound-inv A S* **and**
    $cdcl_{NOT}$*-inv*: ⋀*S T*. $cdcl_{NOT}$*-inv S* ⟹ $cdcl_{NOT}$ *S T* ⟹ $cdcl_{NOT}$*-inv T* **and**
    $cdcl_{NOT}$*-inv-restart*: ⋀*S T*. $cdcl_{NOT}$*-inv S* ⟹ *restart S T* ⟹ $cdcl_{NOT}$*-inv T*
begin

lemma $cdcl_{NOT}$-$cdcl_{NOT}$*-inv*:
  assumes
    ($cdcl_{NOT}$^^*n*) *S T* **and**
    $cdcl_{NOT}$*-inv S*
  shows $cdcl_{NOT}$*-inv T*
  using *assms* **by** (*induction n arbitrary*: *T*) (*auto intro*:*bound-inv* $cdcl_{NOT}$*-inv*)

lemma $cdcl_{NOT}$*-bound-inv*:
  assumes
    ($cdcl_{NOT}$^^*n*) *S T* **and**
    $cdcl_{NOT}$*-inv S*
    bound-inv A S
  shows *bound-inv A T*
  using *assms* **by** (*induction n arbitrary*: *T*) (*auto intro*:*bound-inv* $cdcl_{NOT}$-$cdcl_{NOT}$*-inv*)

lemma *rtranclp*-$cdcl_{NOT}$-$cdcl_{NOT}$*-inv*:

assumes
  $cdcl_{NOT}^{**}$ *S T* **and**
  $cdcl_{NOT}$*-inv S*
shows $cdcl_{NOT}$*-inv T*
using *assms* **by** *induction* (*auto intro*: $cdcl_{NOT}$*-inv*)

lemma *rtranclp-*$cdcl_{NOT}$*-bound-inv*:
assumes
  $cdcl_{NOT}^{**}$ *S T* **and**
  bound-inv A S **and**
  $cdcl_{NOT}$*-inv S*
shows *bound-inv A T*
using *assms* **by** *induction* (*auto intro*:*bound-inv rtranclp-*$cdcl_{NOT}$*-*$cdcl_{NOT}$*-inv*)

lemma $cdcl_{NOT}$*-comp-n-le*:
assumes
  ($cdcl_{NOT}$^^(*Suc n*)) *S T* **and**
  bound-inv A S
  $cdcl_{NOT}$*-inv S*
shows μ *A T* < μ *A S* − *n*
using *assms*
proof (*induction n arbitrary*: *T*)
case *0*
then show *?case* **using** $cdcl_{NOT}$*-measure* **by** *auto*
next
case (*Suc n*) **note** *IH* =*this*(*1*)[*OF - this*(*3*) *this*(*4*)] **and** *S-T* =*this*(*2*) **and** *b-inv* = *this*(*3*) **and** *c-inv* = *this*(*4*)
obtain *U* :: *'st* **where** *S-U*: ($cdcl_{NOT}$^^(*Suc n*)) *S U* **and** *U-T*: $cdcl_{NOT}$ *U T* **using** *S-T* **by** *auto*
then have μ *A U* < μ *A S* − *n* **using** *IH*[*of U*] **by** *simp*
moreover
  have *bound-inv A U*
    using *S-U b-inv* $cdcl_{NOT}$*-bound-inv c-inv* **by** *blast*
  then have μ *A T* < μ *A U* **using** $cdcl_{NOT}$*-measure*[*OF - - U-T*] *S-U c-inv* $cdcl_{NOT}$*-*$cdcl_{NOT}$*-inv* **by** *auto*
ultimately show *?case* **by** *linarith*
qed

lemma *wf-*$cdcl_{NOT}$:
wf {(*T*, *S*). $cdcl_{NOT}$ *S T* ∧ $cdcl_{NOT}$*-inv S* ∧ *bound-inv A S*} (**is** *wf ?A*)
apply (*rule wfP-if-measure2*[*of - -* μ *A*])
using $cdcl_{NOT}$*-comp-n-le*[*of 0 - - A*] **by** *auto*

lemma *rtranclp-*$cdcl_{NOT}$*-measure*:
assumes
  $cdcl_{NOT}^{**}$ *S T* **and**
  bound-inv A S **and**
  $cdcl_{NOT}$*-inv S*
shows μ *A T* ≤ μ *A S*
using *assms*
proof (*induction rule*: *rtranclp-induct*)
case *base*
then show *?case* **by** *auto*
next
case (*step T U*) **note** *IH* =*this*(*3*)[*OF this*(*4*) *this*(*5*)] **and** *st* =*this*(*1*) **and** $cdcl_{NOT}$= *this*(*2*) **and** *b-inv* = *this*(*4*) **and** *c-inv* = *this*(*5*)

have *bound-inv A T*
  by (*meson* $cdcl_{NOT}$*-bound-inv rtranclp-imp-relpowp st step.prems*)
moreover have $cdcl_{NOT}$*-inv T*
  using *c-inv rtranclp-*$cdcl_{NOT}$*-*$cdcl_{NOT}$*-inv st* **by** *blast*
ultimately have μ *A U* < μ *A T* **using** $cdcl_{NOT}$*-measure*[*OF - -* $cdcl_{NOT}$] **by** *auto*
then show *?case* **using** *IH* **by** *linarith*
qed

lemma $cdcl_{NOT}$*-comp-bounded*:
  assumes
    bound-inv A S **and** $cdcl_{NOT}$*-inv S* **and** $m \geq 1+\mu\ A\ S$
  shows $\neg$($cdcl_{NOT}$ ^^ *m*) *S T*
  using *assms* $cdcl_{NOT}$*-comp-n-le*[*of m−1 S T A*] **by** *fastforce*

- *f n* < *m* ensures that at least one step has been done.

inductive $cdcl_{NOT}$*-restart* **where**
restart-step: ($cdcl_{NOT}$^^*m*) *S T* $\Longrightarrow$ *m* $\geq$ *f n* $\Longrightarrow$ *restart T U*
  $\Longrightarrow$ $cdcl_{NOT}$*-restart* (*S*, *n*) (*U*, *Suc n*) |
restart-full: *full1* $cdcl_{NOT}$ *S T* $\Longrightarrow$ $cdcl_{NOT}$*-restart* (*S*, *n*) (*T*, *Suc n*)

lemmas $cdcl_{NOT}$*-with-restart-induct* = $cdcl_{NOT}$*-restart.induct*[*split-format*(*complete*),
  OF $cdcl_{NOT}$*-increasing-restarts-ops-axioms*]

lemma $cdcl_{NOT}$*-restart-*$cdcl_{NOT}$*-raw-restart*:
  $cdcl_{NOT}$*-restart S T* $\Longrightarrow$ $cdcl_{NOT}$*-raw-restart*** (*fst S*) (*fst T*)
proof (*induction rule*: $cdcl_{NOT}$*-restart.induct*)
  case (*restart-step m S T n U*)
  then have $cdcl_{NOT}{}^{**}$ *S T* **by** (*meson relpowp-imp-rtranclp*)
  then have $cdcl_{NOT}$*-raw-restart*** *S T* **using** $cdcl_{NOT}$*-raw-restart.intros*(*1*)
    rtranclp-mono[*of* $cdcl_{NOT}$ $cdcl_{NOT}$*-raw-restart*] **by** *blast*
  moreover have $cdcl_{NOT}$*-raw-restart T U*
    using ‹*restart T U*› $cdcl_{NOT}$*-raw-restart.intros*(*2*) **by** *blast*
  ultimately show *?case* **by** *auto*
next
  case (*restart-full S T*)
  then have $cdcl_{NOT}{}^{**}$ *S T* **unfolding** *full1-def* **by** *auto*
  then show *?case* **using** $cdcl_{NOT}$*-raw-restart.intros*(*1*)
    rtranclp-mono[*of* $cdcl_{NOT}$ $cdcl_{NOT}$*-raw-restart*] **by** *auto*
qed

lemma $cdcl_{NOT}$*-with-restart-bound-inv*:
  assumes
    $cdcl_{NOT}$*-restart S T* **and**
    bound-inv A (*fst S*) **and**
    $cdcl_{NOT}$*-inv* (*fst S*)
  shows *bound-inv A* (*fst T*)
  using *assms* **apply** (*induction rule*: $cdcl_{NOT}$*-restart.induct*)
    prefer *2* **apply** (*metis rtranclp-unfold fstI full1-def rtranclp-*$cdcl_{NOT}$*-bound-inv*)
  by (*metis* $cdcl_{NOT}$*-bound-inv* $cdcl_{NOT}$*-*$cdcl_{NOT}$*-inv* $cdcl_{NOT}$*-restart-inv fst-conv*)

lemma $cdcl_{NOT}$*-with-restart-*$cdcl_{NOT}$*-inv*:
  assumes
    $cdcl_{NOT}$*-restart S T* **and**
    $cdcl_{NOT}$*-inv* (*fst S*)

shows *cdcl*$_{NOT}$*-inv* (*fst* *T*)
using *assms* **apply** *induction*
  apply (*metis cdcl*$_{NOT}$*-cdcl*$_{NOT}$*-inv cdcl*$_{NOT}$*-inv-restart fst-conv*)
 apply (*metis fstI full-def full-unfold rtranclp-cdcl*$_{NOT}$*-cdcl*$_{NOT}$*-inv*)
done

lemma *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv*:
 assumes
  cdcl$_{NOT}$*-restart*** *S T* **and**
  cdcl$_{NOT}$*-inv* (*fst S*)
 shows *cdcl*$_{NOT}$*-inv* (*fst T*)
 using *assms* **by** *induction* (*auto intro*: *cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv*)

lemma *rtranclp-cdcl*$_{NOT}$*-with-restart-bound-inv*:
 assumes
  cdcl$_{NOT}$*-restart*** *S T* **and**
  cdcl$_{NOT}$*-inv* (*fst S*) **and**
  bound-inv A (*fst S*)
 shows *bound-inv A* (*fst T*)
 using *assms* **apply** *induction*
  apply (*simp add*: *cdcl*$_{NOT}$*-cdcl*$_{NOT}$*-inv cdcl*$_{NOT}$*-with-restart-bound-inv*)
 using *cdcl*$_{NOT}$*-with-restart-bound-inv rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv* **by** *blast*

lemma *cdcl*$_{NOT}$*-with-restart-increasing-number*:
 cdcl$_{NOT}$*-restart S T* $\Longrightarrow$ *snd T* = *1* + *snd S*
 by (*induction rule*: *cdcl*$_{NOT}$*-restart.induct*) *auto*
end

locale *cdcl*$_{NOT}$*-increasing-restarts* =
 cdcl$_{NOT}$*-increasing-restarts-ops restart cdcl*$_{NOT}$ *f bound-inv* μ *cdcl*$_{NOT}$*-inv* μ*-bound*
 for
  trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
  clauses :: *'st* ⇒ *'v clauses* **and**
  prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
  tl-trail :: *'st* ⇒ *'st* **and**
  add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
  f :: *nat* ⇒ *nat* **and**
  restart :: *'st* ⇒ *'st* ⇒ *bool* **and**
  bound-inv :: *'bound* ⇒ *'st* ⇒ *bool* **and**
  μ :: *'bound* ⇒ *'st* ⇒ *nat* **and**
  cdcl$_{NOT}$ :: *'st* ⇒ *'st* ⇒ *bool* **and**
  cdcl$_{NOT}$*-inv* :: *'st* ⇒ *bool* **and**
  μ*-bound* :: *'bound* ⇒ *'st* ⇒ *nat* +
 assumes
  measure-bound: $\bigwedge$*A T V n*. *cdcl*$_{NOT}$*-inv T* $\Longrightarrow$ *bound-inv A T*
   $\Longrightarrow$ *cdcl*$_{NOT}$*-restart* (*T*, *n*) (*V*, *Suc n*) $\Longrightarrow$ μ *A V* $\leq$ μ*-bound A T* **and**
  cdcl$_{NOT}$*-raw-restart-*μ*-bound*:
   cdcl$_{NOT}$*-restart* (*T*, *a*) (*V*, *b*) $\Longrightarrow$ *cdcl*$_{NOT}$*-inv T* $\Longrightarrow$ *bound-inv A T*
    $\Longrightarrow$ μ*-bound A V* $\leq$ μ*-bound A T*
begin

lemma *rtranclp-cdcl*$_{NOT}$*-raw-restart-*μ*-bound*:
 cdcl$_{NOT}$*-restart*** (*T*, *a*) (*V*, *b*) $\Longrightarrow$ *cdcl*$_{NOT}$*-inv T* $\Longrightarrow$ *bound-inv A T*
  $\Longrightarrow$ μ*-bound A V* $\leq$ μ*-bound A T*
 apply (*induction rule*: *rtranclp-induct2*)

apply *simp*
by (*metis* $cdcl_{NOT}$*-raw-restart-*μ*-bound dual-order.trans fst-conv*
rtranclp-$cdcl_{NOT}$*-with-restart-bound-inv rtranclp-*$cdcl_{NOT}$*-with-restart-*$cdcl_{NOT}$*-inv*)

lemma $cdcl_{NOT}$*-raw-restart-measure-bound*:
$cdcl_{NOT}$*-restart* (*T*, *a*) (*V*, *b*) $\Longrightarrow$ $cdcl_{NOT}$*-inv T* $\Longrightarrow$ *bound-inv A T*
$\Longrightarrow$ μ *A V* $\leq$ μ*-bound A T*
apply (*cases rule*: $cdcl_{NOT}$*-restart.cases*)
apply *simp*
using *measure-bound relpowp-imp-rtranclp* **apply** *fastforce*
by (*metis full-def full-unfold measure-bound2 prod.inject*)

lemma *rtranclp-*$cdcl_{NOT}$*-raw-restart-measure-bound*:
$cdcl_{NOT}$*-restart*** (*T*, *a*) (*V*, *b*) $\Longrightarrow$ $cdcl_{NOT}$*-inv T* $\Longrightarrow$ *bound-inv A T*
$\Longrightarrow$ μ *A V* $\leq$ μ*-bound A T*
apply (*induction rule*: *rtranclp-induct2*)
apply (*simp add*: *measure-bound2*)
by (*metis dual-order.trans fst-conv measure-bound2 r-into-rtranclp rtranclp.rtrancl-refl*
rtranclp-$cdcl_{NOT}$*-with-restart-bound-inv rtranclp-*$cdcl_{NOT}$*-with-restart-*$cdcl_{NOT}$*-inv*
rtranclp-$cdcl_{NOT}$*-raw-restart-*μ*-bound*)

lemma *wf-*$cdcl_{NOT}$*-restart*:
wf {(*T*, *S*). $cdcl_{NOT}$*-restart S T* $\wedge$ $cdcl_{NOT}$*-inv* (*fst S*)} (**is** *wf ?A*)
proof (*rule ccontr*)
assume $\neg$ *?thesis*
then obtain *g* **where**
g: $\bigwedge$*i*. $cdcl_{NOT}$*-restart* (*g i*) (*g* (*Suc i*)) **and**
$cdcl_{NOT}$*-inv-g*: $\bigwedge$*i*. $cdcl_{NOT}$*-inv* (*fst* (*g i*))
unfolding *wf-iff-no-infinite-down-chain* **by** *fast*

have *snd-g*: $\bigwedge$*i*. *snd* (*g i*) = *i* + *snd* (*g 0*)
apply (*induct-tac i*)
apply *simp*
by (*metis Suc-eq-plus1-left add.commute add.left-commute*
$cdcl_{NOT}$*-with-restart-increasing-number g*)
then have *snd-g-0*: $\bigwedge$*i*. *i* > *0* $\Longrightarrow$ *snd* (*g i*) = *i* + *snd* (*g 0*)
by *blast*
have *unbounded-f-g*: *unbounded* (λ*i*. *f* (*snd* (*g i*)))
using *f* **unfolding** *bounded-def* **by** (*metis add.commute f less-or-eq-imp-le snd-g*
not-bounded-nat-exists-larger not-le ordered-cancel-comm-monoid-diff-class.le-iff-add)

{ **fix** *i*
have *H*: $\bigwedge$*T Ta m*. ($cdcl_{NOT}$ ^^ *m*) *T Ta* $\Longrightarrow$ *no-step* $cdcl_{NOT}$ *T* $\Longrightarrow$ *m* = *0*
apply (*case-tac m*) **by** *simp* (*meson relpowp-E2*)
have $\exists$ *T m*. ($cdcl_{NOT}$ ^^ *m*) (*fst* (*g i*)) *T* $\wedge$ *m* $\geq$ *f* (*snd* (*g i*))
using *g*[*of i*] **apply** (*cases rule*: $cdcl_{NOT}$*-restart.cases*)
apply *auto*[]
using *g*[*of Suc i*] *f-ge-1* **apply** (*cases rule*: $cdcl_{NOT}$*-restart.cases*)
apply (*auto simp add*: *full1-def full-def dest*: *H dest*: *tranclpD*)
using *H Suc-leI leD* **by** *blast*
} **note** *H* = *this*
obtain *A* **where** *bound-inv A* (*fst* (*g 1*))
using *g*[*of 0*] $cdcl_{NOT}$*-inv-g*[*of 0*] **apply** (*cases rule*: $cdcl_{NOT}$*-restart.cases*)
apply (*metis One-nat-def* $cdcl_{NOT}$*-inv exists-bound fst-conv relpowp-imp-rtranclp*
rtranclp-induct)

```
      using H[of 1] unfolding full1-def by (metis One-nat-def Suc-eq-plus1 diff-is-0-eq' diff-zero
        f-ge-1 fst-conv le-add2 relpowp-E2 snd-conv)
  let ?j = μ-bound A (fst (g 1)) + 1
  obtain j where
    j: f (snd (g j)) > ?j and j > 1
    using unbounded-f-g not-bounded-nat-exists-larger by blast
  {
     fix i j
     have cdcl_NOT-with-restart: j ≥ i ⟹ cdcl_NOT-restart^** (g i) (g j)
       apply (induction j)
         apply simp
       by (metis g le-Suc-eq rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
  } note cdcl_NOT-restart = this
  have cdcl_NOT-inv (fst (g (Suc 0)))
    by (simp add: cdcl_NOT-inv-g)
  have cdcl_NOT-restart^** (fst (g 1), snd (g 1)) (fst (g j), snd (g j))
    using ⟨j> 1⟩ by (simp add: cdcl_NOT-restart)
  have μ A (fst (g j)) ≤ μ-bound A (fst (g 1))
    apply (rule rtranclp-cdcl_NOT-raw-restart-measure-bound)
    using ⟨cdcl_NOT-restart^** (fst (g 1), snd (g 1)) (fst (g j), snd (g j))⟩ apply blast
        apply (simp add: cdcl_NOT-inv-g)
       using ⟨bound-inv A (fst (g 1))⟩ apply simp
    done
  then have μ A (fst (g j)) ≤ ?j
    by auto
  have inv: bound-inv A (fst (g j))
    using ⟨bound-inv A (fst (g 1))⟩ ⟨cdcl_NOT-inv (fst (g (Suc 0)))⟩
    ⟨cdcl_NOT-restart^** (fst (g 1), snd (g 1)) (fst (g j), snd (g j))⟩
    rtranclp-cdcl_NOT-with-restart-bound-inv by auto
  obtain T m where
    cdcl_NOT-m: (cdcl_NOT ^^ m) (fst (g j)) T and
    f-m: f (snd (g j)) ≤ m
    using H[of j] by blast
  have ?j < m
    using f-m j Nat.le-trans by linarith

  then show False
    using ⟨μ A (fst (g j)) ≤ μ-bound A (fst (g 1))⟩
    cdcl_NOT-comp-bounded[OF inv cdcl_NOT-inv-g, of ] cdcl_NOT-inv-g cdcl_NOT-m
    ⟨?j < m⟩ by auto
qed

lemma cdcl_NOT-restart-steps-bigger-than-bound:
  assumes
    cdcl_NOT-restart S T and
    bound-inv A (fst S) and
    cdcl_NOT-inv (fst S) and
    f (snd S) > μ-bound A (fst S)
  shows full1 cdcl_NOT (fst S) (fst T)
  using assms
proof (induction rule: cdcl_NOT-restart.induct)
  case restart-full
  then show ?case by auto
next
  case (restart-step m S T n U) note st = this(1) and f = this(2) and bound-inv = this(4) and
```

cdcl$_{NOT}$*-inv* =*this*(*5*) **and** μ = *this*(*6*)
then obtain *m'* **where** *m*: *m* = *Suc m'* **by** (*cases m*) *auto*
have μ *A S* − *m'* = *0*
using *f bound-inv cdcl*$_{NOT}$*-inv* μ *m rtranclp-cdcl*$_{NOT}$*-raw-restart-measure-bound* **by** *fastforce*
then have *False* **using** *cdcl*$_{NOT}$*-comp-n-le*[*of m' S T A*] *restart-step* **unfolding** *m* **by** *simp*
then show *?case* **by** *fast*
qed

lemma *rtranclp-cdcl*$_{NOT}$*-with-inv-inv-rtranclp-cdcl*$_{NOT}$:
assumes
inv: *cdcl*$_{NOT}$*-inv S* **and**
binv: *bound-inv A S*
shows (λ*S T*. *cdcl*$_{NOT}$ *S T* $\wedge$ *cdcl*$_{NOT}$*-inv S* $\wedge$ *bound-inv A S*)** *S T* $\longleftrightarrow$ *cdcl*$_{NOT}$** *S T*
(**is** *?A*** *S T* $\longleftrightarrow$ *?B*** *S T*)
apply (*rule iffI*)
using *rtranclp-mono*[*of ?A ?B*] **apply** *blast*
apply (*induction rule*: *rtranclp-induct*)
using *inv binv* **apply** *simp*
by (*metis* (*mono-tags*, *lifting*) *binv inv rtranclp.simps rtranclp-cdcl*$_{NOT}$*-bound-inv*
rtranclp-cdcl$_{NOT}$*-cdcl*$_{NOT}$*-inv*)

lemma *no-step-cdcl*$_{NOT}$*-restart-no-step-cdcl*$_{NOT}$:
assumes
n-s: *no-step cdcl*$_{NOT}$*-restart S* **and**
inv: *cdcl*$_{NOT}$*-inv* (*fst S*) **and**
binv: *bound-inv A* (*fst S*)
shows *no-step cdcl*$_{NOT}$ (*fst S*)
proof (*rule ccontr*)
assume ¬ *?thesis*
then obtain *T* **where** *T*: *cdcl*$_{NOT}$ (*fst S*) *T*
by *blast*
then obtain *U* **where** *U*: *full* (λ*S T*. *cdcl*$_{NOT}$ *S T* $\wedge$ *cdcl*$_{NOT}$*-inv S* $\wedge$ *bound-inv A S*) *T U*
using *wf-exists-normal-form-full*[*OF wf-cdcl*$_{NOT}$, *of A T*] **by** *auto*
moreover have *inv-T*: *cdcl*$_{NOT}$*-inv T*
using ‹*cdcl*$_{NOT}$ (*fst S*) *T*› *cdcl*$_{NOT}$*-inv inv* **by** *blast*
moreover have *b-inv-T*: *bound-inv A T*
using ‹*cdcl*$_{NOT}$ (*fst S*) *T*› *binv bound-inv inv* **by** *blast*
ultimately have *full cdcl*$_{NOT}$ *T U*
using *rtranclp-cdcl*$_{NOT}$*-with-inv-inv-rtranclp-cdcl*$_{NOT}$ *rtranclp-cdcl*$_{NOT}$*-bound-inv*
rtranclp-cdcl$_{NOT}$*-cdcl*$_{NOT}$*-inv* **unfolding** *full-def* **by** *blast*
then have *full1 cdcl*$_{NOT}$ (*fst S*) *U*
using *T full-fullI* **by** *metis*
then show *False* **by** (*metis n-s prod.collapse restart-full*)
qed

end

14.8 Merging backjump and learning

locale *cdcl*$_{NOT}$*-merge-bj-learn-ops* =
dpll-state trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ +
decide-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ +
forget-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ *forget-cond* +
propagate-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ *propagate-conds*
for
trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**

clauses :: *'st* ⇒ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
$add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool* **and**
forget-cond :: *'v clause* ⇒ *'st* ⇒ *bool* +
fixes *backjump-l-cond* :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *bool*
begin
inductive *backjump-l* **where**
backjump-l: *trail S* = *F'* @ *Marked K* () # *F*
⟹ *no-dup* (*trail S*)
⟹ *T* ∼ *prepend-trail* (*Propagated L* ()) ($reduce\text{-}trail\text{-}to_{NOT}$ *F* ($add\text{-}cls_{NOT}$ (*C'* + {#*L*#}) *S*))
⟹ *C* ∈# *clauses S*
⟹ *trail S* ⊨*as CNot C*
⟹ *undefined-lit F L*
⟹ *atm-of L* ∈ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*))
⟹ *clauses S* ⊨*pm C'* + {#*L*#}
⟹ *F* ⊨*as CNot C'*
⟹ *backjump-l-cond C C' L T*
⟹ *backjump-l S T*
inductive-cases *backjump-lE*: *backjump-l S T*

inductive $cdcl_{NOT}$*-merged-bj-learn* :: *'st* ⇒ *'st* ⇒ *bool* **for** *S* :: *'st* **where**
$cdcl_{NOT}$*-merged-bj-learn-*$decide_{NOT}$: $decide_{NOT}$ *S S'* ⟹ $cdcl_{NOT}$*-merged-bj-learn S S'* |
$cdcl_{NOT}$*-merged-bj-learn-*$propagate_{NOT}$: $propagate_{NOT}$ *S S'* ⟹ $cdcl_{NOT}$*-merged-bj-learn S S'* |
$cdcl_{NOT}$*-merged-bj-learn-backjump-l*: *backjump-l S S'* ⟹ $cdcl_{NOT}$*-merged-bj-learn S S'* |
$cdcl_{NOT}$*-merged-bj-learn-*$forget_{NOT}$: $forget_{NOT}$ *S S'* ⟹ $cdcl_{NOT}$*-merged-bj-learn S S'*

lemma $cdcl_{NOT}$*-merged-bj-learn-no-dup-inv*:
$cdcl_{NOT}$*-merged-bj-learn S T* ⟹ *no-dup* (*trail S*) ⟹ *no-dup* (*trail T*)
apply (*induction rule*: $cdcl_{NOT}$*-merged-bj-learn.induct*)
using *defined-lit-map* **apply** *fastforce*
using *defined-lit-map* **apply** *fastforce*
apply (*force simp*: *defined-lit-map elim*!: *backjump-lE*)[]
using $forget_{NOT}$*.simps* **apply** *auto*[*1*]
done
end

locale $cdcl_{NOT}$*-merge-bj-learn-proxy* =
$cdcl_{NOT}$*-merge-bj-learn-ops trail clauses prepend-trail tl-trail* $add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$
propagate-conds forget-conds λ*C C' L' S*. *backjump-l-cond C C' L' S*
∧ *distinct-mset* (*C'* + {#*L'*#}) ∧ ¬*tautology* (*C'* + {#*L'*#})
for
trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* ⇒ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
$add\text{-}cls_{NOT}$ $remove\text{-}cls_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool* **and**
forget-conds :: *'v clause* ⇒ *'st* ⇒ *bool* **and**
backjump-l-cond :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *bool* +
fixes
inv :: *'st* ⇒ *bool*
assumes
bj-can-jump:

```
  ⋀S C F' K F L.
    inv S
    ⟹ trail S = F' @ Marked K () # F
    ⟹ C ∈# clauses S
    ⟹ trail S ⊨as CNot C
    ⟹ undefined-lit F L
    ⟹ atm-of L ∈ atms-of-msu (clauses S) ∪ atm-of ` (lits-of (F' @ Marked K () # F))
    ⟹ clauses S ⊨pm C' + {#L#}
    ⟹ F ⊨as CNot C'
    ⟹ ¬no-step backjump-l S and
  cdcl-merged-inv: ⋀S T. cdcl_NOT-merged-bj-learn S T ⟹ inv S ⟹ inv T
begin
abbreviation backjump-conds where
backjump-conds ≡ λ- C L - -. distinct-mset (C + {#L#}) ∧ ¬tautology (C + {#L#})

sublocale dpll-with-backjumping-ops trail clauses prepend-trail tl-trail add-cls_NOT remove-cls_NOT
  propagate-conds inv backjump-conds
proof (unfold-locales, goal-cases)
  case 1
  { fix S S'
    assume bj: backjump-l S S' and no-dup (trail S)
    then obtain F' K F L C' C where
      S': S' ∼ prepend-trail (Propagated L ()) (reduce-trail-to_NOT F
        (tl-trail(add-cls_NOT (C' + {#L#}) S)))
        and
      tr-S: trail S = F' @ Marked K () # F and
      C: C ∈# clauses S and
      tr-S-C: trail S ⊨as CNot C and
      undef-L: undefined-lit F L and
      atm-L: atm-of L ∈ atms-of-msu (clauses S) ∪ atm-of ` lits-of (trail S) and
      cls-S-C': clauses S ⊨pm C' + {#L#} and
      F-C': F ⊨as CNot C' and
      dist: distinct-mset (C' + {#L#}) and
      not-tauto: ¬ tautology (C' + {#L#})
      by (elim backjump-lE) simp

    have ∃ S'. backjumping-ops.backjump trail clauses prepend-trail tl-trail backjump-conds S S'
      apply rule
      apply (rule backjumping-ops.backjump.intros)
                apply unfold-locales
               using tr-S apply simp
              apply (rule state-eq_NOT-ref)
             using C apply simp
            using tr-S-C apply simp
          using undef-L apply simp
         using atm-L apply simp
        using cls-S-C' apply simp
       using F-C' apply simp
      using dist not-tauto apply simp
      done
  } note H = this(1)
  then show ?case using 1 bj-can-jump by meson
qed

end
```

locale *cdcl$_{NOT}$-merge-bj-learn-proxy2* =
  cdcl$_{NOT}$-merge-bj-learn-proxy trail clauses prepend-trail tl-trail add-cls$_{NOT}$ remove-cls$_{NOT}$
    propagate-conds forget-conds backjump-l-cond inv
  for
    trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
    clauses :: *'st* ⇒ *'v clauses* **and**
    prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
    tl-trail :: *'st* ⇒ *'st* **and**
    add-cls$_{NOT}$ remove-cls$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool* **and**
    inv :: *'st* ⇒ *bool* **and**
    forget-conds :: *'v clause* ⇒ *'st* ⇒ *bool* **and**
    backjump-l-cond :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *bool*
begin

sublocale *conflict-driven-clause-learning-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$*
  remove-cls$_{NOT}$ propagate-conds inv backjump-conds λC -. *distinct-mset C* ∧ ¬*tautology C*
  forget-conds
  by *unfold-locales*
end

locale *cdcl$_{NOT}$-merge-bj-learn* =
  cdcl$_{NOT}$-merge-bj-learn-proxy2 trail clauses prepend-trail tl-trail add-cls$_{NOT}$ remove-cls$_{NOT}$
    propagate-conds inv forget-conds backjump-l-cond
  for
    trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
    clauses :: *'st* ⇒ *'v clauses* **and**
    prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
    tl-trail :: *'st* ⇒ *'st* **and**
    add-cls$_{NOT}$ remove-cls$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool* **and**
    inv :: *'st* ⇒ *bool* **and**
    forget-conds :: *'v clause* ⇒ *'st* ⇒ *bool* **and**
    backjump-l-cond :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *bool* +
  assumes
     dpll-bj-inv: ⋀*S T*. *dpll-bj S T* ⟹ *inv S* ⟹ *inv T* **and**
     learn-inv: ⋀*S T*. *learn S T* ⟹ *inv S* ⟹ *inv T*
begin

interpretation *cdcl$_{NOT}$*:
   conflict-driven-clause-learning trail clauses prepend-trail tl-trail add-cls$_{NOT}$ remove-cls$_{NOT}$
   propagate-conds inv backjump-conds λC -. *distinct-mset C* ∧ ¬*tautology C forget-conds*
  apply *unfold-locales*
  apply (*simp only*: *cdcl$_{NOT}$.simps*)
  using *cdcl$_{NOT}$-merged-bj-learn-forget$_{NOT}$ cdcl-merged-inv learn-inv*
  by (*auto simp add*: *cdcl$_{NOT}$.simps dpll-bj-inv*)

lemma *backjump-l-learn-backjump*:
  assumes *bt*: *backjump-l S T* **and** *inv*: *inv S* **and** *n-d*: *no-dup* (*trail S*)
  shows ∃ *C' L*. *learn S* (*add-cls$_{NOT}$* (*C'* + {#*L*#}) *S*)
    ∧ *backjump* (*add-cls$_{NOT}$* (*C'* + {#*L*#}) *S*) *T*
    ∧ *atms-of* (*C'* + {#*L*#}) ⊆ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*))
proof −
  obtain *C F' K F L l C'* **where**

tr-S: *trail S* = F' @ *Marked K* () # *F* **and**
T: *T* ∼ *prepend-trail* (*Propagated L l*) (*reduce-trail-to*$_{NOT}$ *F* (*add-cls*$_{NOT}$ (C' + {#*L*#}) *S*)) **and**
C-cls-S: *C* ∈# *clauses S* **and**
tr-S-CNot-C: *trail S* ⊨*as CNot C* **and**
undef: *undefined-lit F L* **and**
atm-L: *atm-of L* ∈ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*)) **and**
clss-C: *clauses S* ⊨*pm* C' + {#*L*#} **and**
F ⊨*as CNot* C' **and**
distinct: *distinct-mset* (C' + {#*L*#}) **and**
not-tauto: ¬ *tautology* (C' + {#*L*#})
using *bt inv* **by** (*elim backjump-lE*) *simp*
have *atms-C′*: *atms-of* C' ⊆ *atm-of* ‘ (*lits-of F*)
proof −
obtain *ll* :: ′*v* ⇒ (′*v literal* ⇒ ′*v*) ⇒ ′*v literal set* ⇒ ′*v literal* **where**
∀ *v f L*. *v* ∉ *f* ‘ *L* ∨ *v* = *f* (*ll v f L*) ∧ *ll v f L* ∈ *L*
by *moura*
then show *?thesis* **unfolding** *tr-S*
by (*metis* (*no-types*) ‹*F* ⊨*as CNot* C'› *atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*
atms-of-def in-CNot-implies-uminus(*2*) *mem-set-mset-iff subsetI*)
qed
then have *atms-of* (C' + {#*L*#}) ⊆ *atms-of-msu* (*clauses S*) ∪ *atm-of* ‘ (*lits-of* (*trail S*))
using *atm-L tr-S* **by** *auto*
moreover have *learn*: *learn S* (*add-cls*$_{NOT}$ (C' + {#*L*#}) *S*)
apply (*rule learn.intros*)
apply (*rule clss-C*)
using *atms-C′ atm-L* **apply** (*fastforce simp add*: *tr-S in-plus-implies-atm-of-on-atms-of-ms*)[]
apply *standard*
apply (*rule distinct*)
apply (*rule not-tauto*)
apply *simp*
done
moreover have *bj*: *backjump* (*add-cls*$_{NOT}$ (C' + {#*L*#}) *S*) *T*
apply (*rule backjump.intros*)
using ‹*F* ⊨*as CNot* C'› *C-cls-S tr-S-CNot-C undef T distinct not-tauto n-d*
by (*auto simp*: *tr-S state-eq*$_{NOT}$*-def simp del*: *state-simp*$_{NOT}$)
ultimately show *?thesis* **by** *auto*
qed

lemma *cdcl*$_{NOT}$*-merged-bj-learn-is-tranclp-cdcl*$_{NOT}$:
cdcl$_{NOT}$*-merged-bj-learn S T* ⟹ *inv S* ⟹ *no-dup* (*trail S*) ⟹ *cdcl*$_{NOT}^{++}$ *S T*
proof (*induction rule*: *cdcl*$_{NOT}$*-merged-bj-learn.induct*)
case (*cdcl*$_{NOT}$*-merged-bj-learn-decide*$_{NOT}$ *T*)
then have *cdcl*$_{NOT}$ *S T*
using *bj-decide*$_{NOT}$ *cdcl*$_{NOT}$*.simps* **by** *fastforce*
then show *?case* **by** *auto*
next
case (*cdcl*$_{NOT}$*-merged-bj-learn-propagate*$_{NOT}$ *T*)
then have *cdcl*$_{NOT}$ *S T*
using *bj-propagate*$_{NOT}$ *cdcl*$_{NOT}$*.simps* **by** *fastforce*
then show *?case* **by** *auto*
next
case (*cdcl*$_{NOT}$*-merged-bj-learn-forget*$_{NOT}$ *T*)
then have *cdcl*$_{NOT}$ *S T*
using *c-forget*$_{NOT}$ **by** *blast*
then show *?case* **by** *auto*

next
  case (*cdcl*$_{NOT}$*-merged-bj-learn-backjump-l T*) **note** *bt* = *this*(*1*) **and** *inv* = *this*(*2*) **and**
   n-d = *this*(*3*)
  obtain *C'* :: *'v literal multiset* **and** *L* :: *'v literal* **where**
   f3: *learn S* (*add-cls*$_{NOT}$ (*C'* + {#*L*#}) *S*) ∧
    backjump (*add-cls*$_{NOT}$ (*C'* + {#*L*#}) *S*) *T* ∧
    atms-of (*C'* + {#*L*#}) ⊆ *atms-of-msu* (*clauses S*) ∪ *atm-of* ' *lits-of* (*trail S*)
   using *n-d backjump-l-learn-backjump*[*OF bt inv*] **by** *blast*
  then have *f4*: *cdcl*$_{NOT}$ *S* (*add-cls*$_{NOT}$ (*C'* + {#*L*#}) *S*)
   using *n-d c-learn* **by** *blast*
  have *cdcl*$_{NOT}$ (*add-cls*$_{NOT}$ (*C'* + {#*L*#}) *S*) *T*
   using *f3 n-d bj-backjump c-dpll-bj* **by** *blast*
  then show *?case*
   using *f4* **by** (*meson tranclp.r-into-trancl tranclp.trancl-into-trancl*)
qed

lemma *rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$*-and-inv*:
 cdcl$_{NOT}$*-merged-bj-learn*** *S T* ⟹ *inv S* ⟹ *no-dup* (*trail S*) ⟹ *cdcl*$_{NOT}$** *S T* ∧ *inv T*
proof (*induction rule*: *rtranclp-induct*)
 case *base*
 then show *?case* **by** *auto*
next
 case (*step T U*) **note** *st* =*this*(*1*) **and** *cdcl*$_{NOT}$ = *this*(*2*) **and** *IH* = *this*(*3*)[*OF this*(*4*−)] **and**
  inv = *this*(*4*) **and** *n-d* = *this*(*5*)
 have *cdcl*$_{NOT}$** *T U*
  using *cdcl*$_{NOT}$*-merged-bj-learn-is-tranclp-cdcl*$_{NOT}$[*OF cdcl*$_{NOT}$] *IH*
  cdcl$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-no-dup inv n-d* **by** *auto*
 then have *cdcl*$_{NOT}$** *S U* **using** *IH* **by** *fastforce*
 moreover have *inv U* **using** *n-d IH* ‹*cdcl*$_{NOT}$** *T U*› *cdcl*$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-inv* **by** *blast*
 ultimately show *?case* **using** *st* **by** *fast*
qed

lemma *rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$:
 cdcl$_{NOT}$*-merged-bj-learn*** *S T* ⟹ *inv S* ⟹*no-dup* (*trail S*) ⟹ *cdcl*$_{NOT}$** *S T*
 using *rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$*-and-inv* **by** *blast*

lemma *rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-inv*:
 cdcl$_{NOT}$*-merged-bj-learn*** *S T* ⟹ *inv S* ⟹ *no-dup* (*trail S*) ⟹ *inv T*
 using *rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$*-and-inv* **by** *blast*

definition μ_C*'* :: *'v literal multiset set* ⇒ *'st* ⇒ *nat* **where**
μ_C*' A T* ≡ μ_C (*1*+*card* (*atms-of-ms A*)) (*2*+*card* (*atms-of-ms A*)) (*trail-weight T*)

definition μ_{CDCL}*'-merged* :: *'v literal multiset set* ⇒ *'st* ⇒ *nat* **where**
μ_{CDCL}*'-merged A T* ≡
 ((*2*+*card* (*atms-of-ms A*)) ^ (*1*+*card* (*atms-of-ms A*)) − μ_C*' A T*) ∗ *2* + *card* (*set-mset* (*clauses T*))

lemma *cdcl*$_{NOT}$*-decreasing-measure'*:
 assumes
  cdcl$_{NOT}$*-merged-bj-learn S T* **and**
  inv: *inv S* **and**
  atm-clss: *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* **and**
  atm-trail: *atm-of* ' *lits-of* (*trail S*) ⊆ *atms-of-ms A* **and**
  n-d: *no-dup* (*trail S*) **and**
  fin-A: *finite A*

shows μ_{CDCL}*'-merged A T* < μ_{CDCL}*'-merged A S*
using *assms(1)*
proof *induction*
case *(cdcl*$_{NOT}$*-merged-bj-learn-decide*$_{NOT}$ *T)*
have *clauses S = clauses T*
using *cdcl*$_{NOT}$*-merged-bj-learn-decide*$_{NOT}$*.hyps* **by** *auto*
moreover have
(2 + card (atms-of-ms A)) ^ *(1 + card (atms-of-ms A))*
− μ_C *(1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight T)*
< *(2 + card (atms-of-ms A))* ^ *(1 + card (atms-of-ms A))*
− μ_C *(1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight S)*
apply *(rule dpll-bj-trail-mes-decreasing-prop)*
using *cdcl*$_{NOT}$*-merged-bj-learn-decide*$_{NOT}$ *fin-A atm-clss atm-trail n-d inv*
by *(simp-all add: bj-decide*$_{NOT}$ *cdcl*$_{NOT}$*-merged-bj-learn-decide*$_{NOT}$*.hyps)*
ultimately show *?case*
unfolding μ_{CDCL}*'-merged-def* μ_C*'-def* **by** *simp*
next
case *(cdcl*$_{NOT}$*-merged-bj-learn-propagate*$_{NOT}$ *T)*
have *clauses S = clauses T*
using *cdcl*$_{NOT}$*-merged-bj-learn-propagate*$_{NOT}$*.hyps*
by *(simp add: bj-propagate*$_{NOT}$ *inv dpll-bj-clauses)*
moreover have
(2 + card (atms-of-ms A)) ^ *(1 + card (atms-of-ms A))*
− μ_C *(1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight T)*
< *(2 + card (atms-of-ms A))* ^ *(1 + card (atms-of-ms A))*
− μ_C *(1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight S)*
apply *(rule dpll-bj-trail-mes-decreasing-prop)*
using *inv n-d atm-clss atm-trail fin-A* **by** *(simp-all add: bj-propagate*$_{NOT}$
cdcl$_{NOT}$*-merged-bj-learn-propagate*$_{NOT}$*.hyps)*
ultimately show *?case*
unfolding μ_{CDCL}*'-merged-def* μ_C*'-def* **by** *simp*
next
case *(cdcl*$_{NOT}$*-merged-bj-learn-forget*$_{NOT}$ *T)*
have *card (set-mset (clauses T)) < card (set-mset (clauses S))*
using ‹*forget*$_{NOT}$ *S T*› **by** *(metis card-Diff1-less*
cdcl$_{NOT}$*-merged-bj-learn-forget*$_{NOT}$*.hyps clauses-remove-cls*$_{NOT}$ *finite-set-mset forgetE*
mem-set-mset-iff order-refl set-mset-minus-replicate-mset(1) state-eq$_{NOT}$*-clauses)*
moreover
have *trail S = trail T*
using ‹*forget*$_{NOT}$ *S T*› **by** *(auto elim: forgetE)*
then have
(2 + card (atms-of-ms A)) ^ *(1 + card (atms-of-ms A))*
− μ_C *(1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight T)*
= *(2 + card (atms-of-ms A))* ^ *(1 + card (atms-of-ms A))*
− μ_C *(1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight S)*
by *auto*
ultimately show *?case*
unfolding μ_{CDCL}*'-merged-def* μ_C*'-def* **by** *simp*
next
case *(cdcl*$_{NOT}$*-merged-bj-learn-backjump-l T)* **note** *bj-l = this(1)*
obtain *C' L* **where**
learn: learn S (add-cls$_{NOT}$ *(C' + {#L#}) S)* **and**
bj: backjump (add-cls$_{NOT}$ *(C' + {#L#}) S) T* **and**
atms-C: atms-of (C' + {#L#}) ⊆ *atms-of-msu (clauses S)* ∪ *atm-of ‘ (lits-of (trail S))*
using *bj-l inv backjump-l-learn-backjump n-d atm-clss atm-trail* **by** *blast*

```
  have card-T-S: card (set-mset (clauses T)) $\le$ 1+ card (set-mset (clauses S))
    using bj-l inv by (force elim!: backjump-lE simp: card-insert-if)
  have
    ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
      − $\mu_C$ (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight T))
    < ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
      − $\mu_C$ (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A))
          (trail-weight (add-cls$_{NOT}$ (C' + {#L#}) S)))
    apply (rule dpll-bj-trail-mes-decreasing-prop)
         using bj bj-backjump apply blast
        using cdcl$_{NOT}$.c-learn cdcl$_{NOT}$.cdcl$_{NOT}$-inv inv learn apply blast
       using atms-C atm-clss atm-trail n-d clauses-add-cls$_{NOT}$ apply simp apply fast
      using atm-trail n-d apply simp
     apply (simp add: n-d)
    using fin-A apply simp
    done
  then have ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
      − $\mu_C$ (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight T))
    < ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A))
      − $\mu_C$ (1 + card (atms-of-ms A)) (2 + card (atms-of-ms A)) (trail-weight S))
    using n-d by auto
  then show ?case
    using card-T-S unfolding $\mu_{CDCL}$'-merged-def $\mu_C$'-def by linarith
qed

lemma wf-cdcl$_{NOT}$-merged-bj-learn:
  assumes
    fin-A: finite A
  shows wf {(T, S).
    (inv S $\wedge$ atms-of-msu (clauses S) $\subseteq$ atms-of-ms A $\wedge$ atm-of ` lits-of (trail S) $\subseteq$ atms-of-ms A
    $\wedge$ no-dup (trail S))
    $\wedge$ cdcl$_{NOT}$-merged-bj-learn S T}
  apply (rule wfP-if-measure[of - - $\mu_{CDCL}$'-merged A])
  using cdcl$_{NOT}$-decreasing-measure' fin-A by simp

lemma tranclp-cdcl$_{NOT}$-cdcl$_{NOT}$-tranclp:
  assumes
    cdcl$_{NOT}$-merged-bj-learn$^{++}$ S T and
    inv: inv S and
    atm-clss: atms-of-msu (clauses S) $\subseteq$ atms-of-ms A and
    atm-trail: atm-of ` lits-of (trail S) $\subseteq$ atms-of-ms A and
    n-d: no-dup (trail S) and
    fin-A[simp]: finite A
  shows (T, S) $\in$ {(T, S).
    (inv S $\wedge$ atms-of-msu (clauses S) $\subseteq$ atms-of-ms A $\wedge$ atm-of ` lits-of (trail S) $\subseteq$ atms-of-ms A
    $\wedge$ no-dup (trail S))
    $\wedge$ cdcl$_{NOT}$-merged-bj-learn S T}$^+$ (is - $\in$ ?P$^+$)
  using assms(1)
proof (induction rule: tranclp-induct)
  case base
  then show ?case using n-d atm-clss atm-trail inv by auto
next
  case (step T U) note st = this(1) and cdcl$_{NOT}$ = this(2) and IH = this(3)
  have cdcl$_{NOT}$$^{**}$ S T
    apply (rule rtranclp-cdcl$_{NOT}$-merged-bj-learn-is-rtranclp-cdcl$_{NOT}$)
```

  using *st* $cdcl_{NOT}$ *inv n-d atm-clss atm-trail inv* **by** *auto*
 have *inv T*
  apply (*rule rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-inv*)
   using *inv st* $cdcl_{NOT}$ *n-d atm-clss atm-trail inv* **by** *auto*
 moreover have *atms-of-msu* (*clauses T*) ⊆ *atms-of-ms A*
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-trail-clauses-bound*[*OF* ‹$cdcl_{NOT}{}^{**}$ *S T*› *inv n-d atm-clss atm-trail*]
  by *fast*
 moreover have *atm-of* ‘ (*lits-of* (*trail T*))⊆ *atms-of-ms A*
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-trail-clauses-bound*[*OF* ‹$cdcl_{NOT}{}^{**}$ *S T*› *inv n-d atm-clss atm-trail*]
  by *fast*
 moreover have *no-dup* (*trail T*)
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-no-dup*[*OF* ‹$cdcl_{NOT}{}^{**}$ *S T*› *inv n-d*] **by** *fast*
 ultimately have (*U*, *T*) ∈ *?P*
  using $cdcl_{NOT}$ **by** *auto*
 then show *?case* **using** *IH* **by** (*simp add*: *trancl-into-trancl2*)
qed

lemma *wf-tranclp-*$cdcl_{NOT}$*-merged-bj-learn*:
 assumes *finite A*
 shows *wf* {(*T*, *S*).
  (*inv S* ∧ *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* ∧ *atm-of* ‘ *lits-of* (*trail S*) ⊆ *atms-of-ms A*
  ∧ *no-dup* (*trail S*))
  ∧ $cdcl_{NOT}$*-merged-bj-learn*$^{++}$ *S T*}
 apply (*rule wf-subset*)
  apply (*rule wf-trancl*[*OF wf-*$cdcl_{NOT}$*-merged-bj-learn*])
  using *assms* **apply** *simp*
 using *tranclp-*$cdcl_{NOT}$*-*$cdcl_{NOT}$*-tranclp*[*OF* - - - - - ‹*finite A*›] **by** *auto*

lemma *backjump-no-step-backjump-l*:
 backjump S T ⟹ *inv S* ⟹ ¬*no-step backjump-l S*
 apply (*elim backjumpE*)
 apply (*rule bj-can-jump*)
  apply *auto*[*7*]
 by *blast*

lemma $cdcl_{NOT}$*-merged-bj-learn-final-state*:
 fixes *A* :: *'v literal multiset set* **and** *S T* :: *'st*
 assumes
  n-s: *no-step* $cdcl_{NOT}$*-merged-bj-learn S* **and**
  atms-S: *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* **and**
  atms-trail: *atm-of* ‘ *lits-of* (*trail S*) ⊆ *atms-of-ms A* **and**
  n-d: *no-dup* (*trail S*) **and**
  finite A **and**
  inv: *inv S* **and**
  decomp: *all-decomposition-implies-m* (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
 shows *unsatisfiable* (*set-mset* (*clauses S*))
  ∨ (*trail S* ⊨*asm clauses S* ∧ *satisfiable* (*set-mset* (*clauses S*)))
proof −
 let *?N* = *set-mset* (*clauses S*)
 let *?M* = *trail S*
 consider
   (*sat*) *satisfiable ?N* **and** *?M* ⊨*as ?N*
  | (*sat'*) *satisfiable ?N* **and** ¬ *?M* ⊨*as ?N*
  | (*unsat*) *unsatisfiable ?N*
  by *auto*

```
then show ?thesis
  proof cases
    case sat' note sat = this(1) and M = this(2)
    obtain C where C ∈ ?N and ¬?M ⊨a C using M unfolding true-annots-def by auto
    obtain I :: 'v literal set where
      I ⊨s ?N and
      cons: consistent-interp I and
      tot: total-over-m I ?N and
      atm-I-N: atm-of `I ⊆ atms-of-ms ?N
      using sat unfolding satisfiable-def-min by auto
    let ?I = I ∪ {P| P. P ∈ lits-of ?M ∧ atm-of P ∉ atm-of ` I}
    let ?O = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
    have cons-I': consistent-interp ?I
      using cons using ‹no-dup ?M›  unfolding consistent-interp-def
      by (auto simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def
        dest!: no-dup-cannot-not-lit-and-uminus)
    have tot-I': total-over-m ?I (?N ∪ unmark ?M)
      using tot atms-of-s-def unfolding total-over-m-def total-over-set-def
      by fastforce
    have {P |P. P ∈ lits-of ?M ∧ atm-of P ∉ atm-of ` I} ⊨s ?O
      using ‹I⊨s ?N› atm-I-N by (auto simp add: atm-of-eq-atm-of true-clss-def lits-of-def)
    then have I'-N: ?I ⊨s ?N ∪ ?O
      using ‹I⊨s ?N› true-clss-union-increase by force
    have tot': total-over-m ?I (?N∪?O)
      using atm-I-N tot unfolding total-over-m-def total-over-set-def
      by (force simp: image-iff lits-of-def dest!: is-marked-ex-Marked)

    have atms-N-M: atms-of-ms ?N ⊆ atm-of ` lits-of ?M
      proof (rule ccontr)
        assume ¬ ?thesis
        then obtain l :: 'v where
          l-N: l ∈ atms-of-ms ?N and
          l-M: l ∉ atm-of ` lits-of ?M
          by auto
        have undefined-lit ?M (Pos l)
          using l-M by (metis Marked-Propagated-in-iff-in-lits-of
            atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set literal.sel(1))
        have decide_NOT S (prepend-trail (Marked (Pos l) ()) S)
          by (metis ‹undefined-lit ?M (Pos l)› decide_NOT.intros l-N literal.sel(1)
            state-eq_NOT-ref)
        then show False
          using cdcl_NOT-merged-bj-learn-decide_NOT n-s by blast
      qed

    have ?M ⊨as CNot C
      by (metis atms-N-M ‹C ∈ ?N› ‹¬ ?M ⊨a C› all-variables-defined-not-imply-cnot
        atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of atms-of-ms-CNot-atms-of-ms subsetCE)
    have ∃ l ∈ set ?M. is-marked l
      proof (rule ccontr)
        let ?O = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
        have ϑ[iff]: ⋀I. total-over-m I (?N ∪ ?O ∪ unmark ?M)
          ⟷ total-over-m I (?N ∪unmark ?M)
          unfolding total-over-set-def total-over-m-def atms-of-ms-def by auto
        assume ¬ ?thesis
        then have [simp]:{{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M}
          = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
          by auto
        then have ?N ∪ ?O ⊨ps unmark ?M
          using all-decomposition-implies-propagated-lits-are-implied[OF decomp] by auto

        then have ?I ⊨s unmark ?M
          using cons-I' I'-N tot-I' ‹?I ⊨s ?N ∪ ?O› unfolding ϑ true-clss-clss-def by blast
        then have lits-of ?M ⊆ ?I
          unfolding true-clss-def lits-of-def by auto
        then have ?M ⊨as ?N
          using I'-N ‹C ∈ ?N› ‹¬ ?M ⊨a C› cons-I' atms-N-M
          by (meson ‹trail S ⊨as CNot C› consistent-CNot-not rev-subsetD sup-ge1 true-annot-def
            true-annots-def true-cls-mono-set-mset-l true-clss-def)
        then show False using M by fast
      qed
    from List.split-list-first-propE[OF this] obtain K :: 'v literal and d :: unit and
      F F' :: ('v, unit, unit) marked-lit list where
      M-K: ?M = F' @ Marked K () # F and
      nm: ∀f∈set F'. ¬is-marked f
      unfolding is-marked-def by (metis (full-types) old.unit.exhaust)
    let ?K = Marked K ()::('v, unit, unit) marked-lit
    have ?K ∈ set ?M
      unfolding M-K by auto
    let ?C = image-mset lit-of {#L∈#mset ?M. is-marked L ∧ L≠?K#} :: 'v literal multiset
    let ?C' = set-mset (image-mset (λL::'v literal. {#L#}) (?C+{#lit-of ?K#}))
    have ?N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M} ⊨ps unmark ?M
      using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
    moreover have C': ?C' = {{#lit-of L#} |L. is-marked L ∧ L ∈ set ?M}
      unfolding M-K apply standard
        apply force
      using IntI by auto
    ultimately have N-C-M: ?N ∪ ?C' ⊨ps unmark ?M
      by auto
    have N-M-False: ?N ∪ (λL. {#lit-of L#}) ‘ (set ?M) ⊨ps {{#}}
      using M ‹?M ⊨as CNot C› ‹C∈?N› unfolding true-clss-clss-def true-annots-def Ball-def
      true-annot-def by (metis consistent-CNot-not sup.orderE sup-commute true-clss-def
        true-clss-singleton-lit-of-implies-incl true-clss-union true-clss-union-increase)

    have undefined-lit F K using ‹no-dup ?M› unfolding M-K by (simp add: defined-lit-map)
    moreover
      have ?N ∪ ?C' ⊨ps {{#}}
        proof −
          have A: ?N ∪ ?C' ∪ unmark ?M =
            ?N ∪ unmark ?M
            unfolding M-K by auto
          show ?thesis
            using true-clss-clss-left-right[OF N-C-M, of {{#}}] N-M-False unfolding A by auto
        qed
      have ?N ⊨p image-mset uminus ?C + {#−K#}
        unfolding true-clss-cls-def true-clss-clss-def total-over-m-def
        proof (intro allI impI)
          fix I
          assume
            tot: total-over-set I (atms-of-ms (?N ∪ {image-mset uminus ?C+ {#− K#}})) and
            cons: consistent-interp I and
```

```
            I ⊨s ?N
          have (K ∈ I ∧ −K ∉ I) ∨ (−K ∈ I ∧ K ∉ I)
            using cons tot unfolding consistent-interp-def by (cases K) auto
          have tot': total-over-set I
              (atm-of ` lit-of ` (set ?M ∩ {L. is-marked L ∧ L ≠ Marked K ()}))
            using tot by (auto simp add: atms-of-uminus-lit-atm-of-lit-of)
          { fix x :: ('v, unit, unit) marked-lit
            assume
              a3: lit-of x ∉ I and
              a1: x ∈ set ?M and
              a4: is-marked x and
              a5: x ≠ Marked K ()
            then have Pos (atm-of (lit-of x)) ∈ I ∨ Neg (atm-of (lit-of x)) ∈ I
              using a5 a4 tot' a1 unfolding total-over-set-def atms-of-s-def by blast
            moreover have f6: Neg (atm-of (lit-of x)) = − Pos (atm-of (lit-of x))
              by simp
            ultimately have − lit-of x ∈ I
              using f6 a3 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
                literal.sel(1))
          } note H = this

          have ¬I ⊨s ?C'
            using ‹?N ∪ ?C' ⊨ps {{#}}› tot cons ‹I ⊨s ?N›
            unfolding true-clss-clss-def total-over-m-def
            by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
          then show I ⊨ image-mset uminus ?C + {#− K#}
            unfolding true-clss-def true-cls-def Bex-mset-def
            using ‹(K ∈ I ∧ −K ∉ I) ∨ (−K ∈ I ∧ K ∉ I)›
            by (auto dest!: H)
        qed
      moreover have F ⊨as CNot (image-mset uminus ?C)
        using nm unfolding true-annots-def CNot-def M-K by (auto simp add: lits-of-def)
      ultimately have False
        using bj-can-jump[of S F' K F C −K
          image-mset uminus (image-mset lit-of {# L :# mset ?M. is-marked L ∧ L ≠ Marked K ()#})]
          ‹C∈?N› n-s ‹?M ⊨as CNot C› bj-backjump inv unfolding M-K
          by (auto simp: cdcl_NOT-merged-bj-learn.simps)
        then show ?thesis by fast
    qed auto
qed

lemma full-cdcl_NOT-merged-bj-learn-final-state:
  fixes A :: 'v literal multiset set and S T :: 'st
  assumes
    full: full cdcl_NOT-merged-bj-learn S T and
    atms-S: atms-of-msu (clauses S) ⊆ atms-of-ms A and
    atms-trail: atm-of ` lits-of (trail S) ⊆ atms-of-ms A and
    n-d: no-dup (trail S) and
    finite A and
    inv: inv S and
    decomp: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  shows unsatisfiable (set-mset (clauses T))
    ∨ (trail T ⊨asm clauses T ∧ satisfiable (set-mset (clauses T)))
proof −
  have st: cdcl_NOT-merged-bj-learn** S T and n-s: no-step cdcl_NOT-merged-bj-learn T
```

  using *full* **unfolding** *full-def* **by** *blast+*
 then have *st*: $cdcl_{NOT}^{**}$ *S T*
  using *inv rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-is-rtranclp-*$cdcl_{NOT}$*-and-inv n-d* **by** *auto*
 have *atms-of-msu* (*clauses T*) ⊆ *atms-of-ms A* **and** *atm-of ‘ lits-of* (*trail T*) ⊆ *atms-of-ms A*
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-trail-clauses-bound*[*OF st inv n-d atms-S atms-trail*] **by** *blast+*
 moreover have *no-dup* (*trail T*)
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-no-dup inv n-d st* **by** *blast*
 moreover have *inv T*
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-inv inv st* **by** *blast*
 moreover have *all-decomposition-implies-m* (*clauses T*) (*get-all-marked-decomposition* (*trail T*))
  using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-all-decomposition-implies inv st decomp n-d* **by** *blast*
 ultimately show *?thesis*
  using $cdcl_{NOT}$*-merged-bj-learn-final-state*[*of T A*] ‹*finite A*› *n-s* **by** *fast*
qed

end

14.8.1 Instantiations

locale $cdcl_{NOT}$*-with-backtrack-and-restarts* =
 conflict-driven-clause-learning-learning-before-backjump-only-distinct-learnt trail clauses
  prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ *propagate-conds inv backjump-conds*
  learn-restrictions forget-restrictions
 for
  trail :: *'st* ⇒ (*'v*, *unit*, *unit*) *marked-lits* **and**
  clauses :: *'st* ⇒ *'v clauses* **and**
  prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
  tl-trail :: *'st* ⇒ *'st* **and**
  add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* ⇒ *'st* ⇒ *'st* **and**
  propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* ⇒ *'st* ⇒ *bool* **and**
  inv :: *'st* ⇒ *bool* **and**
  backjump-conds :: *'v clause* ⇒ *'v clause* ⇒ *'v literal* ⇒ *'st* ⇒ *'st* ⇒ *bool* **and**
  learn-restrictions forget-restrictions :: *'v clause* ⇒ *'st* ⇒ *bool*
  +
 fixes *f* :: *nat* ⇒ *nat*
 assumes
  unbounded: *unbounded f* **and** *f-ge-1*: ⋀*n. n* ≥ *1* ⟹ *f n* ≥ *1* **and**
  inv-restart:⋀*S T. inv S* ⟹ *T* ∼ *reduce-trail-to*$_{NOT}$ ([]::*'a list*) *S* ⟹ *inv T*
begin

lemma *bound-inv-inv*:
 assumes
  inv S **and**
  n-d: *no-dup* (*trail S*) **and**
  atms-clss-S-A: *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* **and**
  atms-trail-S-A:*atm-of ‘ lits-of* (*trail S*) ⊆ *atms-of-ms A* **and**
  finite A **and**
  $cdcl_{NOT}$: $cdcl_{NOT}$ *S T*
 shows
  atms-of-msu (*clauses T*) ⊆ *atms-of-ms A* **and**
  atm-of ‘ lits-of (*trail T*) ⊆ *atms-of-ms A* **and**
  finite A
proof −
 have $cdcl_{NOT}$ *S T*
  using ‹*inv S*› $cdcl_{NOT}$ **by** *linarith*
 then have *atms-of-msu* (*clauses T*) ⊆ *atms-of-msu* (*clauses S*) ∪ *atm-of ‘ lits-of* (*trail S*)

```
    using ‹inv S›
    by (meson conflict-driven-clause-learning-ops.cdcl_NOT-atms-of-ms-clauses-decreasing
      conflict-driven-clause-learning-ops-axioms n-d)
  then show atms-of-msu (clauses T) ⊆ atms-of-ms A
    using atms-clss-S-A atms-trail-S-A by blast
next
  show atm-of ` lits-of (trail T) ⊆ atms-of-ms A
    by (meson ‹inv S› atms-clss-S-A atms-trail-S-A cdcl_NOT cdcl_NOT-atms-in-trail-in-set n-d)
next
  show finite A
    using ‹finite A› by simp
qed

sublocale cdcl_NOT-increasing-restarts-ops λS T. T ∼ reduce-trail-to_NOT ([]::'a list) S cdcl_NOT f
  λA S. atms-of-msu (clauses S) ⊆ atms-of-ms A ∧ atm-of ` lits-of (trail S) ⊆ atms-of-ms A ∧
  finite A
  μ_CDCL' λS. inv S ∧ no-dup (trail S)
  μ_CDCL'-bound
  apply unfold-locales
          apply (simp add: unbounded)
         using f-ge-1 apply force
        using bound-inv-inv apply meson
       apply (rule cdcl_NOT-decreasing-measure'; simp)
       apply (rule rtranclp-cdcl_NOT-μ_CDCL'-bound; simp)
      apply (rule rtranclp-μ_CDCL'-bound-decreasing; simp)
     apply auto[]
   apply auto[]
  using cdcl_NOT-inv cdcl_NOT-no-dup apply blast
  using inv-restart apply auto[]
  done

abbreviation cdcl_NOT-l where
cdcl_NOT-l ≡
  conflict-driven-clause-learning-ops.cdcl_NOT trail clauses prepend-trail tl-trail add-cls_NOT
  remove-cls_NOT propagate-conds (λ- - - S T. backjump S T)
  (λC S. distinct-mset C ∧ ¬ tautology C ∧ learn-restrictions C S
    ∧ (∃F K F' C' L. trail S = F' @ Marked K () # F ∧ C = C' + {#L#}
       ∧ F ⊨as CNot C' ∧ C' + {#L#} ∉# clauses S))
  (λC S. ¬ (∃F' F K L. trail S = F' @ Marked K () # F ∧ F ⊨as CNot (C − {#L#}))
  ∧ forget-restrictions C S)

lemma cdcl_NOT-with-restart-μ_CDCL'-le-μ_CDCL'-bound:
  assumes
    cdcl_NOT: cdcl_NOT-restart (T, a) (V, b) and
    cdcl_NOT-inv:
      inv T
      no-dup (trail T) and
    bound-inv:
      atms-of-msu (clauses T) ⊆ atms-of-ms A
      atm-of ` lits-of (trail T) ⊆ atms-of-ms A
      finite A
  shows μ_CDCL' A V ≤ μ_CDCL'-bound A T
  using cdcl_NOT-inv bound-inv
proof (induction rule: cdcl_NOT-with-restart-induct[OF cdcl_NOT])
  case (1 m S T n U) note U = this(3)
  show ?case
    apply (rule rtranclp-cdcl_NOT-μ_CDCL'-bound-reduce-trail-to_NOT[of S T])
         using ‹(cdcl_NOT ^^ m) S T› apply (fastforce dest!: relpowp-imp-rtranclp)
        using 1 by auto
next
  case (2 S T n) note full = this(2)
  show ?case
    apply (rule rtranclp-cdcl_NOT-μ_CDCL'-bound)
    using full 2 unfolding full1-def by force+
qed

lemma cdcl_NOT-with-restart-μ_CDCL'-bound-le-μ_CDCL'-bound:
  assumes
    cdcl_NOT: cdcl_NOT-restart (T, a) (V, b) and
    cdcl_NOT-inv:
      inv T
      no-dup (trail T) and
    bound-inv:
      atms-of-msu (clauses T) ⊆ atms-of-ms A
      atm-of ` lits-of (trail T) ⊆ atms-of-ms A
      finite A
  shows μ_CDCL'-bound A V ≤ μ_CDCL'-bound A T
  using cdcl_NOT-inv bound-inv
proof (induction rule: cdcl_NOT-with-restart-induct[OF cdcl_NOT])
  case (1 m S T n U) note U = this(3)
  have μ_CDCL'-bound A T ≤ μ_CDCL'-bound A S
     apply (rule rtranclp-μ_CDCL'-bound-decreasing)
         using ‹(cdcl_NOT ^^ m) S T› apply (fastforce dest: relpowp-imp-rtranclp)
        using 1 by auto
  then show ?case using U unfolding μ_CDCL'-bound-def by auto
next
  case (2 S T n) note full = this(2)
  show ?case
    apply (rule rtranclp-μ_CDCL'-bound-decreasing)
    using full 2 unfolding full1-def by force+
qed

sublocale cdcl_NOT-increasing-restarts - - - - - - f
    λS T. T ∼ reduce-trail-to_NOT ([]::'a list) S
   λA S. atms-of-msu (clauses S) ⊆ atms-of-ms A
     ∧ atm-of ` lits-of (trail S) ⊆ atms-of-ms A ∧ finite A
   μ_CDCL' cdcl_NOT
    λS. inv S ∧ no-dup (trail S)
   μ_CDCL'-bound
  apply unfold-locales
   using cdcl_NOT-with-restart-μ_CDCL'-le-μ_CDCL'-bound apply simp
  using cdcl_NOT-with-restart-μ_CDCL'-bound-le-μ_CDCL'-bound apply simp
  done

lemma cdcl_NOT-restart-all-decomposition-implies:
  assumes cdcl_NOT-restart S T and
    inv (fst S) and
    no-dup (trail (fst S))
    all-decomposition-implies-m (clauses (fst S)) (get-all-marked-decomposition (trail (fst S)))
  shows
```

all-decomposition-implies-m (*clauses* (*fst T*)) (*get-all-marked-decomposition* (*trail* (*fst T*)))
using *assms* **apply** (*induction*)
using *rtranclp-cdcl*$_{NOT}$*-all-decomposition-implies* **by** (*auto dest*!: *tranclp-into-rtranclp*
simp: *full1-def*)

lemma *rtranclp-cdcl*$_{NOT}$*-restart-all-decomposition-implies*:
assumes *cdcl*$_{NOT}$*-restart*** *S T* **and**
inv: *inv* (*fst S*) **and**
n-d: *no-dup* (*trail* (*fst S*)) **and**
decomp:
all-decomposition-implies-m (*clauses* (*fst S*)) (*get-all-marked-decomposition* (*trail* (*fst S*)))
shows
all-decomposition-implies-m (*clauses* (*fst T*)) (*get-all-marked-decomposition* (*trail* (*fst T*)))
using *assms*(*1*)
proof (*induction rule*: *rtranclp-induct*)
case *base*
then show *?case* **using** *decomp* **by** *simp*
next
case (*step T u*) **note** *st* = *this*(*1*) **and** *r* = *this*(*2*) **and** *IH* = *this*(*3*)
have *inv* (*fst T*)
using *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv*[*OF st*] *inv n-d* **by** *blast*
moreover have *no-dup* (*trail* (*fst T*))
using *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv*[*OF st*] *inv n-d* **by** *blast*
ultimately show *?case*
using *cdcl*$_{NOT}$*-restart-all-decomposition-implies r IH n-d* **by** *fast*
qed

lemma *cdcl*$_{NOT}$*-restart-sat-ext-iff*:
assumes
st: *cdcl*$_{NOT}$*-restart S T* **and**
n-d: *no-dup* (*trail* (*fst S*)) **and**
inv: *inv* (*fst S*)
shows I ⊨*sextm clauses* (*fst S*) ⟷ I ⊨*sextm clauses*(*fst T*)
using *assms*
proof (*induction*)
case (*restart-step m S T n U*)
then show *?case*
using *rtranclp-cdcl*$_{NOT}$*-bj-sat-ext-iff n-d* **by** (*fastforce dest*!: *relpowp-imp-rtranclp*)
next
case *restart-full*
then show *?case* **using** *rtranclp-cdcl*$_{NOT}$*-bj-sat-ext-iff* **unfolding** *full1-def*
by (*fastforce dest*!: *tranclp-into-rtranclp*)
qed

lemma *rtranclp-cdcl*$_{NOT}$*-restart-sat-ext-iff*:
assumes
st: *cdcl*$_{NOT}$*-restart*** *S T* **and**
n-d: *no-dup* (*trail* (*fst S*)) **and**
inv: *inv* (*fst S*)
shows I ⊨*sextm clauses* (*fst S*) ⟷ I ⊨*sextm clauses*(*fst T*)
using *st*
proof (*induction*)
case *base*
then show *?case* **by** *simp*
next

  case (*step T U*) **note** *st* = *this*(*1*) **and** *r* = *this*(*2*) **and** *IH* = *this*(*3*)
  have *inv* (*fst T*)
    using *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv*[*OF st*] *inv n-d* **by** *blast+*
  moreover have *no-dup* (*trail* (*fst T*))
    using *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv rtranclp-cdcl*$_{NOT}$*-no-dup st inv n-d* **by** *blast*
  ultimately show *?case*
    using *cdcl*$_{NOT}$*-restart-sat-ext-iff*[*OF r*] *IH* **by** *blast*
qed

theorem *full-cdcl*$_{NOT}$*-restart-backjump-final-state*:
  fixes *A* :: *'v literal multiset set* **and** *S T* :: *'st*
  assumes
    full: *full cdcl*$_{NOT}$*-restart* (*S*, *n*) (*T*, *m*) **and**
    atms-S: *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A* **and**
    atms-trail: *atm-of* ' *lits-of* (*trail S*) ⊆ *atms-of-ms A* **and**
    n-d: *no-dup* (*trail S*) **and**
    fin-A[*simp*]: *finite A* **and**
    inv: *inv S* **and**
    decomp: *all-decomposition-implies-m* (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
  shows *unsatisfiable* (*set-mset* (*clauses S*))
    ∨ (*lits-of* (*trail T*) ⊨*sextm clauses S* ∧ *satisfiable* (*set-mset* (*clauses S*)))
proof −
  have *st*: *cdcl*$_{NOT}$*-restart*** (*S*, *n*) (*T*, *m*) **and**
    n-s: *no-step cdcl*$_{NOT}$*-restart* (*T*, *m*)
    using *full* **unfolding** *full-def* **by** *fast+*
  have *binv-T*: *atms-of-msu* (*clauses T*) ⊆ *atms-of-ms A atm-of* ' *lits-of* (*trail T*) ⊆ *atms-of-ms A*
    using *rtranclp-cdcl*$_{NOT}$*-with-restart-bound-inv*[*OF st*, *of A*] *inv n-d atms-S atms-trail*
    by *auto*
  moreover have *inv-T*: *no-dup* (*trail T*) *inv T*
    using *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv*[*OF st*] *inv n-d* **by** *auto*
  moreover have *all-decomposition-implies-m* (*clauses T*) (*get-all-marked-decomposition* (*trail T*))
    using *rtranclp-cdcl*$_{NOT}$*-restart-all-decomposition-implies*[*OF st*] *inv n-d*
    decomp **by** *auto*
  ultimately have *T*: *unsatisfiable* (*set-mset* (*clauses T*))
    ∨ (*trail T* ⊨*asm clauses T* ∧ *satisfiable* (*set-mset* (*clauses T*)))
    using *no-step-cdcl*$_{NOT}$*-restart-no-step-cdcl*$_{NOT}$[*of* (*T*, *m*) *A*] *n-s*
    cdcl$_{NOT}$*-final-state*[*of T A*] **unfolding** *cdcl*$_{NOT}$*-NOT-all-inv-def* **by** *auto*
  have *eq-sat-S-T*:⋀*I*. *I* ⊨*sextm clauses S* ⟷ *I* ⊨*sextm clauses T*
    using *rtranclp-cdcl*$_{NOT}$*-restart-sat-ext-iff*[*OF st*] *inv n-d atms-S*
      atms-trail **by** *auto*
  have *cons-T*: *consistent-interp* (*lits-of* (*trail T*))
    using *inv-T*(*1*) *distinctconsistent-interp* **by** *blast*
  consider
      (*unsat*) *unsatisfiable* (*set-mset* (*clauses T*))
    | (*sat*) *trail T* ⊨*asm clauses T* **and** *satisfiable* (*set-mset* (*clauses T*))
    using *T* **by** *blast*
  then show *?thesis*
    proof *cases*
      case *unsat*
      then have *unsatisfiable* (*set-mset* (*clauses S*))
        using *eq-sat-S-T consistent-true-clss-ext-satisfiable true-clss-imp-true-cls-ext*
        unfolding *satisfiable-def* **by** *blast*
      then show *?thesis* **by** *fast*
    next
      case *sat*

then have *lits-of* (*trail T*) $\models$*sextm clauses S*
  using *rtranclp-cdcl*$_{NOT}$*-restart-sat-ext-iff*[*OF st*] *inv n-d atms-S*
  atms-trail **by** (*auto simp*: *true-clss-imp-true-cls-ext true-annots-true-cls*)
moreover then have *satisfiable* (*set-mset* (*clauses S*))
    using *cons-T consistent-true-clss-ext-satisfiable* **by** *blast*
ultimately show *?thesis* **by** *blast*
qed
qed
end — end of *cdcl*$_{NOT}$*-with-backtrack-and-restarts* locale

locale *most-general-cdcl*$_{NOT}$ =
dpll-state trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ +
propagate-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ *propagate-conds* +
backjumping-ops trail clauses prepend-trail tl-trail add-cls$_{NOT}$ *remove-cls*$_{NOT}$ λ- - - - -. *True*
for
trail :: *'st* $\Rightarrow$ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* $\Rightarrow$ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **and**
tl-trail :: *'st* $\Rightarrow$ *'st* **and**
add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* $\Rightarrow$ *'st* $\Rightarrow$ *bool* **and**
inv :: *'st* $\Rightarrow$ *bool*
begin
lemma *backjump-bj-can-jump*:
assumes
tr-S: *trail S* = *F'* @ *Marked K* () # *F* **and**
C: *C* $\in$# *clauses S* **and**
tr-S-C: *trail S* $\models$*as CNot C* **and**
undef: *undefined-lit F L* **and**
atm-L: *atm-of L* $\in$ *atms-of-msu* (*clauses S*) $\cup$ *atm-of* ' (*lits-of* (*F'* @ *Marked K* () # *F*)) **and**
cls-S-C': *clauses S* $\models$*pm C'* + {#*L*#} **and**
F-C': *F* $\models$*as CNot C'*
shows $\neg$*no-step backjump S*
using *backjump.intros*[*OF tr-S* - *C tr-S-C undef* - *cls-S-C' F-C'*,
  of prepend-trail (*Propagated L* -) (*reduce-trail-to*$_{NOT}$ *F S*)] *atm-L* **unfolding** *tr-S*
by (*auto simp*: *state-eq*$_{NOT}$*-def simp del*: *state-simp*$_{NOT}$)

sublocale *dpll-with-backjumping-ops* - - - - - - - *inv* λ- - - - -. *True*
using *backjump-bj-can-jump* **by** *unfold-locales auto*
end

The restart does only reset the trail, contrary to Weidenbach's version. But there is a forget rule.

locale *cdcl*$_{NOT}$*-merge-bj-learn-with-backtrack-restarts* =
cdcl$_{NOT}$*-merge-bj-learn trail clauses prepend-trail tl-trail add-cls*$_{NOT}$ *remove-cls*$_{NOT}$
propagate-conds inv forget-conds
λ*C C' L' S*. *distinct-mset* (*C'* + {#*L'*#}) $\wedge$ *backjump-l-cond C C' L' S*
for
trail :: *'st* $\Rightarrow$ (*'v*, *unit*, *unit*) *marked-lits* **and**
clauses :: *'st* $\Rightarrow$ *'v clauses* **and**
prepend-trail :: (*'v*, *unit*, *unit*) *marked-lit* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **and**
tl-trail :: *'st* $\Rightarrow$ *'st* **and**
add-cls$_{NOT}$ *remove-cls*$_{NOT}$:: *'v clause* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **and**
propagate-conds :: (*'v*, *unit*, *unit*) *marked-lit* $\Rightarrow$ *'st* $\Rightarrow$ *bool* **and**
inv :: *'st* $\Rightarrow$ *bool* **and**

```
    forget-conds :: 'v clause ⇒ 'st ⇒ bool and
    backjump-l-cond :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ bool
    +
  fixes f :: nat ⇒ nat
  assumes
    unbounded: unbounded f and f-ge-1: ⋀n. n ≥ 1 ⟹ f n ≥ 1 and
    inv-restart:⋀S T. inv S ⟹ T ∼ reduce-trail-to_NOT [] S ⟹ inv T
begin


interpretation cdcl_NOT:
   conflict-driven-clause-learning-ops trail clauses prepend-trail tl-trail add-cls_NOT remove-cls_NOT
   propagate-conds inv backjump-conds (λC -. distinct-mset C ∧ ¬ tautology C) forget-conds
  by unfold-locales

interpretation cdcl_NOT:
   conflict-driven-clause-learning trail clauses prepend-trail tl-trail add-cls_NOT remove-cls_NOT
   propagate-conds inv backjump-conds (λC -. distinct-mset C ∧ ¬ tautology C) forget-conds
  apply unfold-locales
  using cdcl_NOT-merged-bj-learn-forget_NOT cdcl-merged-inv learn-inv
  by (auto simp add: cdcl_NOT.simps dpll-bj-inv)

definition not-simplified-cls A = {#C ∈# A. tautology C ∨ ¬distinct-mset C#}

lemma simple-clss-or-not-simplified-cls:
  assumes atms-of-msu (clauses S) ⊆ atms-of-ms A and
    x ∈# clauses S and finite A
  shows x ∈ simple-clss (atms-of-ms A) ∨ x ∈# not-simplified-cls (clauses S)
proof -
  consider
      (simpl) ¬tautology x and distinct-mset x
    | (n-simp) tautology x ∨ ¬distinct-mset x
    by auto
  then show ?thesis
    proof cases
      case simpl
      then have x ∈ simple-clss (atms-of-ms A)
        by (meson assms atms-of-atms-of-ms-mono atms-of-ms-finite simple-clss-mono
          distinct-mset-not-tautology-implies-in-simple-clss finite-subset
          mem-set-mset-iff subsetCE)
      then show ?thesis by blast
    next
      case n-simp
      then have x ∈# not-simplified-cls (clauses S)
        using ‹x ∈# clauses S› unfolding not-simplified-cls-def by auto
      then show ?thesis by blast
    qed
qed

lemma cdcl_NOT-merged-bj-learn-clauses-bound:
  assumes
    cdcl_NOT-merged-bj-learn S T and
    inv: inv S and
    atms-clss: atms-of-msu (clauses S) ⊆ atms-of-ms A and
```

atms-trail: *atm-of* '(*lits-of* (*trail S*)) ⊆ *atms-of-ms A* **and**
n-d: *no-dup* (*trail S*) **and**
fin-A[*simp*]: *finite A*
shows *set-mset* (*clauses T*) ⊆ *set-mset* (*not-simplified-cls* (*clauses S*))
∪ *simple-clss* (*atms-of-ms A*)
using *assms*
proof (*induction rule*: *cdcl*$_{NOT}$*-merged-bj-learn.induct*)
case *cdcl*$_{NOT}$*-merged-bj-learn-decide*$_{NOT}$
then show *?case* **using** *dpll-bj-clauses* **by** (*force dest*!: *simple-clss-or-not-simplified-cls*)
next
case *cdcl*$_{NOT}$*-merged-bj-learn-propagate*$_{NOT}$
then show *?case* **using** *dpll-bj-clauses* **by** (*force dest*!: *simple-clss-or-not-simplified-cls*)
next
case *cdcl*$_{NOT}$*-merged-bj-learn-forget*$_{NOT}$
then show *?case* **using** *clauses-remove-cls*$_{NOT}$ **unfolding** *state-eq*$_{NOT}$*-def*
by (*force elim*!: *forgetE dest*: *simple-clss-or-not-simplified-cls*)
next
case (*cdcl*$_{NOT}$*-merged-bj-learn-backjump-l T*) **note** *bj* = *this*(*1*) **and** *inv* = *this*(*2*) **and**
atms-clss = *this*(*3*) **and** *atms-trail* = *this*(*4*) **and** *n-d* = *this*(*5*)

have *cdcl*$_{NOT}$** *S T*
apply (*rule rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$)
using ‹*backjump-l S T*› *inv cdcl*$_{NOT}$*-merged-bj-learn.simps n-d* **by** *blast+*
have *atm-of* '(*lits-of* (*trail T*)) ⊆ *atms-of-ms A*
using *cdcl*$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-trail-clauses-bound*[*OF* ‹*cdcl*$_{NOT}$** *S T*›] *inv atms-trail atms-clss*
n-d **by** *auto*
have *atms-of-msu* (*clauses T*) ⊆ *atms-of-ms A*
using *cdcl*$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-trail-clauses-bound*[*OF* ‹*cdcl*$_{NOT}$** *S T*› *inv n-d atms-clss atms-trail*]
by *fast*
moreover have *no-dup* (*trail T*)
using *cdcl*$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-no-dup*[*OF* ‹*cdcl*$_{NOT}$** *S T*› *inv n-d*] **by** *fast*

obtain *F' K F L l C' C* **where**
tr-S: *trail S* = *F'* @ *Marked K* () # *F* **and**
T: *T* ∼ *prepend-trail* (*Propagated L l*) (*reduce-trail-to*$_{NOT}$ *F* (*add-cls*$_{NOT}$ (*C'* + {#*L*#}) *S*)) **and**
C ∈# *clauses S* **and**
trail S ⊨*as CNot C* **and**
undef: *undefined-lit F L* **and**
atm-of L = *atm-of K* ∨ *atm-of L* ∈ *atms-of-msu* (*clauses S*)
∨ *atm-of L* ∈ *atm-of* ' (*lits-of F'* ∪ *lits-of F*) **and**
clauses S ⊨*pm C'* + {#*L*#} **and**
F ⊨*as CNot C'* **and**
dist: *distinct-mset* (*C'* + {#*L*#}) **and**
tauto: ¬ *tautology* (*C'* + {#*L*#}) **and**
backjump-l-cond C C' L T
using ‹*backjump-l S T*› **apply** (*induction rule*: *backjump-l.induct*) **by** *auto*

have *atms-of C'* ⊆ *atm-of* ' (*lits-of F*)
using ‹*F* ⊨*as CNot C'*› **by** (*simp add*: *atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*
atms-of-def image-subset-iff in-CNot-implies-uminus(*2*))
then have *atms-of* (*C'*+{#*L*#}) ⊆ *atms-of-ms A*
using *T* ‹*atm-of* ' *lits-of* (*trail T*) ⊆ *atms-of-ms A*› *tr-S undef n-d* **by** *auto*
then have *simple-clss* (*atms-of* (*C'* + {#*L*#})) ⊆ *simple-clss* (*atms-of-ms A*)
apply − **by** (*rule simple-clss-mono*) (*simp-all*)
then have *C'* + {#*L*#} ∈ *simple-clss* (*atms-of-ms A*)

```
    using distinct-mset-not-tautology-implies-in-simple-clss[OF dist tauto]
    by auto
  then show ?case
    using T inv atms-clss undef tr-S n-d
    by (force dest!: simple-clss-or-not-simplified-cls)
qed

lemma cdcl_NOT-merged-bj-learn-not-simplified-decreasing:
  assumes cdcl_NOT-merged-bj-learn S T
  shows (not-simplified-cls (clauses T)) ⊆# (not-simplified-cls (clauses S))
  using assms apply induction
  prefer 4
  unfolding not-simplified-cls-def apply (auto elim!: backjump-lE forgetE)[3]
  by (elim backjump-lE) auto

lemma rtranclp-cdcl_NOT-merged-bj-learn-not-simplified-decreasing:
  assumes cdcl_NOT-merged-bj-learn** S T
  shows (not-simplified-cls (clauses T)) ⊆# (not-simplified-cls (clauses S))
  using assms apply induction
    apply simp
   by (drule cdcl_NOT-merged-bj-learn-not-simplified-decreasing) auto

lemma rtranclp-cdcl_NOT-merged-bj-learn-clauses-bound:
  assumes
    cdcl_NOT-merged-bj-learn** S T and
    inv S and
    atms-of-msu (clauses S) ⊆ atms-of-ms A and
    atm-of `(lits-of (trail S)) ⊆ atms-of-ms A and
    n-d: no-dup (trail S) and
    finite[simp]: finite A
  shows set-mset (clauses T) ⊆ set-mset (not-simplified-cls (clauses S))
    ∪ simple-clss (atms-of-ms A)
  using assms(1−5)
proof induction
  case base
  then show ?case by (auto dest!: simple-clss-or-not-simplified-cls)
next
  case (step T U) note st = this(1) and cdcl_NOT = this(2) and IH = this(3)[OF this(4−7)] and
    inv = this(4) and atms-clss-S = this(5) and atms-trail-S = this(6) and finite-cls-S = this(7)
  have st': cdcl_NOT** S T
    using inv rtranclp-cdcl_NOT-merged-bj-learn-is-rtranclp-cdcl_NOT-and-inv st n-d by blast
  have inv T
    using inv rtranclp-cdcl_NOT-merged-bj-learn-inv st n-d by blast
  moreover
    have atms-of-msu (clauses T) ⊆ atms-of-ms A and
      atm-of ` lits-of (trail T) ⊆ atms-of-ms A
      using cdcl_NOT.rtranclp-cdcl_NOT-trail-clauses-bound[OF st'] inv atms-clss-S atms-trail-S n-d
      by blast+
  moreover moreover have no-dup (trail T)
    using cdcl_NOT.rtranclp-cdcl_NOT-no-dup[OF ‹cdcl_NOT** S T› inv n-d] by fast
  ultimately have set-mset (clauses U)
    ⊆ set-mset (not-simplified-cls (clauses T)) ∪ simple-clss (atms-of-ms A)
    using cdcl_NOT finite  cdcl_NOT-merged-bj-learn-clauses-bound
    by (auto intro!: cdcl_NOT-merged-bj-learn-clauses-bound)
  moreover have set-mset (not-simplified-cls (clauses T))
```

```
    ⊆ set-mset (not-simplified-cls (clauses S))
    using rtranclp-cdcl_NOT-merged-bj-learn-not-simplified-decreasing[OF st] by auto
  ultimately show ?case using IH inv atms-clss-S
    by (auto dest!: simple-clss-or-not-simplified-cls)
qed

abbreviation μ_CDCL'-bound where
μ_CDCL'-bound A T == ((2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))) * 2
     + card (set-mset (not-simplified-cls(clauses T)))
     + 3 ^ card (atms-of-ms A)

lemma rtranclp-cdcl_NOT-merged-bj-learn-clauses-bound-card:
  assumes
    cdcl_NOT-merged-bj-learn** S T and
    inv S and
    atms-of-msu (clauses S) ⊆ atms-of-ms A and
    atm-of `(lits-of (trail S)) ⊆ atms-of-ms A and
    n-d: no-dup (trail S) and
    finite: finite A
  shows μ_CDCL'-merged A T ≤ μ_CDCL'-bound A S
proof −
  have set-mset (clauses T) ⊆ set-mset (not-simplified-cls(clauses S))
    ∪ simple-clss (atms-of-ms A)
    using rtranclp-cdcl_NOT-merged-bj-learn-clauses-bound[OF assms] .
  moreover have card (set-mset (not-simplified-cls(clauses S))
      ∪ simple-clss (atms-of-ms A))
    ≤ card (set-mset (not-simplified-cls(clauses S))) + 3 ^ card (atms-of-ms A)
    by (meson Nat.le-trans atms-of-ms-finite simple-clss-card card-Un-le finite
      nat-add-left-cancel-le)
  ultimately have card (set-mset (clauses T))
    ≤ card (set-mset (not-simplified-cls(clauses S))) + 3 ^ card (atms-of-ms A)
    by (meson Nat.le-trans atms-of-ms-finite simple-clss-finite card-mono
      finite-UnI finite-set-mset local.finite)
  moreover have ((2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) − μ_C' A T) * 2
    ≤ (2 + card (atms-of-ms A)) ^ (1 + card (atms-of-ms A)) * 2
    by auto
  ultimately show ?thesis unfolding μ_CDCL'-merged-def by auto
qed

sublocale cdcl_NOT-increasing-restarts-ops λS T. T ∼ reduce-trail-to_NOT ([]::'a list) S
   cdcl_NOT-merged-bj-learn f
   λA S. atms-of-msu (clauses S) ⊆ atms-of-ms A
     ∧ atm-of ` lits-of (trail S) ⊆ atms-of-ms A ∧ finite A
   μ_CDCL'-merged
    λS. inv S ∧ no-dup (trail S)
   μ_CDCL'-bound
   apply unfold-locales
              using unbounded apply simp
             using f-ge-1 apply force
            apply (blast dest!: cdcl_NOT-merged-bj-learn-is-tranclp-cdcl_NOT tranclp-into-rtranclp
              cdcl_NOT.rtranclp-cdcl_NOT-trail-clauses-bound )
           apply (simp add: cdcl_NOT-decreasing-measure')
          using rtranclp-cdcl_NOT-merged-bj-learn-clauses-bound-card apply blast
          apply (drule rtranclp-cdcl_NOT-merged-bj-learn-not-simplified-decreasing)
          apply (auto dest!: simp: card-mono set-mset-mono )[]
       **apply** *simp*
      **apply** *auto*[]
     **using** $cdcl_{NOT}$*-merged-bj-learn-no-dup-inv cdcl-merged-inv* **apply** *blast*
    **apply** (*auto simp*: *inv-restart*)[]
    **done**
```

lemma $cdcl_{NOT}$*-restart-*μ_{CDCL}*'-merged-le-*μ_{CDCL}*'-bound*:
  assumes
    $cdcl_{NOT}$*-restart T V*
    inv (*fst T*) **and**
    no-dup (*trail* (*fst T*)) **and**
    atms-of-msu (*clauses* (*fst T*)) $\subseteq$ *atms-of-ms A* **and**
    atm-of ‘ lits-of (*trail* (*fst T*)) $\subseteq$ *atms-of-ms A* **and**
    finite A
  shows μ_{CDCL}*'-merged A* (*fst V*) $\leq$ μ_{CDCL}*'-bound A* (*fst T*)
  using *assms*
proof *induction*
  case (*restart-full S T n*)
  show *?case*
    unfolding *fst-conv*
    apply (*rule rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-clauses-bound-card*)
    using *restart-full* **unfolding** *full1-def* **by** (*force dest*!: *tranclp-into-rtranclp*)+
next
  case (*restart-step m S T n U*) **note** *st* = *this*(*1*) **and** *U* = *this*(*3*) **and** *inv* = *this*(*4*) **and**
    n-d = *this*(*5*) **and** *atms-clss* = *this*(*6*) **and** *atms-trail* = *this*(*7*) **and** *finite* = *this*(*8*)
  then have *st'*: $cdcl_{NOT}$*-merged-bj-learn*** *S T*
    by (*blast dest*: *relpowp-imp-rtranclp*)
  then have *st''*: $cdcl_{NOT}{}^{**}$ *S T*
    using *inv n-d* **apply** − **by** (*rule rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-is-rtranclp-*$cdcl_{NOT}$) *auto*
  have *inv T*
    apply (*rule rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-inv*)
      using *inv st' n-d* **by** *auto*
  then have *inv U*
    using *U* **by** (*auto simp*: *inv-restart*)
  have *atms-of-msu* (*clauses T*) $\subseteq$ *atms-of-ms A*
    using $cdcl_{NOT}$*.rtranclp-*$cdcl_{NOT}$*-trail-clauses-bound*[*OF st''*] *inv atms-clss atms-trail n-d*
    by *simp*
  then have *atms-of-msu* (*clauses U*) $\subseteq$ *atms-of-ms A*
    using *U* **by** *simp*
  have *not-simplified-cls* (*clauses U*) $\subseteq\#$ *not-simplified-cls* (*clauses T*)
    using ‹*U* ∼ *reduce-trail-to*$_{NOT}$ [] *T*› **by** *auto*
  moreover have *not-simplified-cls* (*clauses T*) $\subseteq\#$ *not-simplified-cls* (*clauses S*)
    apply (*rule rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-not-simplified-decreasing*)
    using ‹($cdcl_{NOT}$*-merged-bj-learn* ^^ *m*) *S T*› **by** (*auto dest*!: *relpowp-imp-rtranclp*)
  ultimately have *U-S*: *not-simplified-cls* (*clauses U*) $\subseteq\#$ *not-simplified-cls* (*clauses S*)
    by *auto*

  have (*set-mset* (*clauses U*))
    $\subseteq$ *set-mset* (*not-simplified-cls* (*clauses U*)) $\cup$ *simple-clss* (*atms-of-ms A*)
    apply (*rule rtranclp-*$cdcl_{NOT}$*-merged-bj-learn-clauses-bound*)
         apply *simp*
        using ‹*inv U*› **apply** *simp*
       using ‹*atms-of-msu* (*clauses U*) $\subseteq$ *atms-of-ms A*› **apply** *simp*
      using *U* **apply** *simp*
     using *U* **apply** *simp*

using *finite* **apply** *simp*
done
then have *f1*: *card* (*set-mset* (*clauses U*)) $\leq$ *card* (*set-mset* (*not-simplified-cls* (*clauses U*)) $\cup$ *simple-clss* (*atms-of-ms A*))
by (*simp add*: *simple-clss-finite card-mono local.finite*)

moreover have *set-mset* (*not-simplified-cls* (*clauses U*)) $\cup$ *simple-clss* (*atms-of-ms A*) $\subseteq$ *set-mset* (*not-simplified-cls* (*clauses S*)) $\cup$ *simple-clss* (*atms-of-ms A*)
using *U-S* **by** *auto*
then have *f2*:
card (*set-mset* (*not-simplified-cls* (*clauses U*)) $\cup$ *simple-clss* (*atms-of-ms A*)) $\leq$ *card* (*set-mset* (*not-simplified-cls* (*clauses S*)) $\cup$ *simple-clss* (*atms-of-ms A*))
by (*simp add*: *simple-clss-finite card-mono local.finite*)

moreover have *card* (*set-mset* (*not-simplified-cls* (*clauses S*)) $\cup$ *simple-clss* (*atms-of-ms A*)) $\leq$ *card* (*set-mset* (*not-simplified-cls* (*clauses S*))) + *card* (*simple-clss* (*atms-of-ms A*))
using *card-Un-le* **by** *blast*
moreover have *card* (*simple-clss* (*atms-of-ms A*)) $\leq$ *3* ^ *card* (*atms-of-ms A*)
using *atms-of-ms-finite simple-clss-card local.finite* **by** *blast*
ultimately have *card* (*set-mset* (*clauses U*)) $\leq$ *card* (*set-mset* (*not-simplified-cls* (*clauses S*))) + *3* ^ *card* (*atms-of-ms A*)
by *linarith*
then show *?case* **unfolding** μ_{CDCL}*'-merged-def* **by** *auto*
qed

lemma *cdcl*$_{NOT}$*-restart-*μ_{CDCL}*'-bound-le-*μ_{CDCL}*'-bound*:
assumes
cdcl$_{NOT}$*-restart T V* **and**
no-dup (*trail* (*fst T*)) **and**
inv (*fst T*) **and**
fin: *finite A*
shows μ_{CDCL}*'-bound A* (*fst V*) $\leq$ μ_{CDCL}*'-bound A* (*fst T*)
using *assms*(*1*−*3*)
proof *induction*
case (*restart-full S T n*)
have *not-simplified-cls* (*clauses T*) $\subseteq\#$ *not-simplified-cls* (*clauses S*)
apply (*rule rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-not-simplified-decreasing*)
using ‹*full1 cdcl*$_{NOT}$*-merged-bj-learn S T*› **unfolding** *full1-def*
by (*auto dest*: *tranclp-into-rtranclp*)
then show *?case* **by** (*auto simp*: *card-mono set-mset-mono*)
next
case (*restart-step m S T n U*) **note** *st* = *this*(*1*) **and** *U* = *this*(*3*) **and** *n-d* =*this*(*4*) **and** *inv* = *this*(*5*)
then have *st'*: *cdcl*$_{NOT}$*-merged-bj-learn*** *S T*
by (*blast dest*: *relpowp-imp-rtranclp*)
then have *st''*: *cdcl*$_{NOT}$** *S T*
using *inv n-d* **apply** − **by** (*rule rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$) *auto*
have *inv T*
apply (*rule rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-inv*)
using *inv st' n-d* **by** *auto*
then have *inv U*
using *U* **by** (*auto simp*: *inv-restart*)
have *not-simplified-cls* (*clauses U*) $\subseteq\#$ *not-simplified-cls* (*clauses T*)
using ‹*U* $\sim$ *reduce-trail-to*$_{NOT}$ [] *T*› **by** *auto*

moreover have *not-simplified-cls* (*clauses T*) ⊆# *not-simplified-cls* (*clauses S*)
  apply (*rule rtranclp-cdcl*$_{NOT}$*-merged-bj-learn-not-simplified-decreasing*)
  using ‹(*cdcl*$_{NOT}$*-merged-bj-learn* ^^ *m*) *S T*› **by** (*auto dest*!: *relpowp-imp-rtranclp*)
ultimately have *U-S*: *not-simplified-cls* (*clauses U*) ⊆# *not-simplified-cls* (*clauses S*)
  by *auto*
then show *?case* **by** (*auto simp*: *card-mono set-mset-mono*)
qed

sublocale *cdcl*$_{NOT}$*-increasing-restarts - - - - - - f* λ*S T*. *T* ∼ *reduce-trail-to*$_{NOT}$ ([]::'*a list*) *S*
  λ*A S*. *atms-of-msu* (*clauses S*) ⊆ *atms-of-ms A*
    ∧ *atm-of* ' *lits-of* (*trail S*) ⊆ *atms-of-ms A* ∧ *finite A*
  μ_{CDCL}'*-merged cdcl*$_{NOT}$*-merged-bj-learn*
  λ*S*. *inv S* ∧ *no-dup* (*trail S*)
  λ*A T*. ((*2*+*card* (*atms-of-ms A*)) ^ (*1*+*card* (*atms-of-ms A*))) ∗ *2*
    + *card* (*set-mset* (*not-simplified-cls*(*clauses T*)))
    + *3* ^ *card* (*atms-of-ms A*)
  apply *unfold-locales*
   using *cdcl*$_{NOT}$*-restart-*μ_{CDCL}'*-merged-le-*μ_{CDCL}'*-bound* **apply** *force*
  using *cdcl*$_{NOT}$*-restart-*μ_{CDCL}'*-bound-le-*μ_{CDCL}'*-bound* **by** *fastforce*

lemma *cdcl*$_{NOT}$*-restart-eq-sat-iff*:
  assumes
    cdcl$_{NOT}$*-restart S T* **and**
    no-dup (*trail* (*fst S*))
    inv (*fst S*)
  shows *I*⊨*sextm clauses* (*fst S*) ⟷ *I* ⊨*sextm clauses* (*fst T*)
  using *assms*
proof (*induction rule*: *cdcl*$_{NOT}$*-restart.induct*)
  case (*restart-full S T n*)
  then have *cdcl*$_{NOT}$*-merged-bj-learn*** *S T*
    by (*simp add*: *tranclp-into-rtranclp full1-def*)
  then show *?case*
    using *cdcl*$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-bj-sat-ext-iff restart-full.prems*(*1*,*2*)
    rtranclp-cdcl$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$ **by** *auto*
next
  case (*restart-step m S T n U*)
  then have *cdcl*$_{NOT}$*-merged-bj-learn*** *S T*
    by (*auto simp*: *tranclp-into-rtranclp full1-def dest*!: *relpowp-imp-rtranclp*)
  then have *I* ⊨*sextm clauses S* ⟷ *I* ⊨*sextm clauses T*
    using *cdcl*$_{NOT}$*.rtranclp-cdcl*$_{NOT}$*-bj-sat-ext-iff restart-step.prems*(*1*,*2*)
    rtranclp-cdcl$_{NOT}$*-merged-bj-learn-is-rtranclp-cdcl*$_{NOT}$ **by** *auto*
  moreover have *I* ⊨*sextm clauses T* ⟷ *I* ⊨*sextm clauses U*
    using *restart-step.hyps*(*3*) **by** *auto*
  ultimately show *?case* **by** *auto*
qed

lemma *rtranclp-cdcl*$_{NOT}$*-restart-eq-sat-iff*:
  assumes
    cdcl$_{NOT}$*-restart*** *S T* **and**
    inv: *inv* (*fst S*) **and** *n-d*: *no-dup*(*trail* (*fst S*))
  shows *I*⊨*sextm clauses* (*fst S*) ⟷ *I* ⊨*sextm clauses* (*fst T*)
  using *assms*(*1*)
proof (*induction rule*: *rtranclp-induct*)
  case *base*

```
  then show ?case by simp
next
  case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)
  have inv (fst T) and no-dup (trail (fst T))
    using rtranclp-cdcl_NOT-with-restart-cdcl_NOT-inv using st inv n-d by blast+
  then have I|=sextm clauses (fst T) ⟷ I |=sextm clauses (fst U)
    using  cdcl_NOT-restart-eq-sat-iff cdcl by blast
  then show ?case using IH by blast
qed

lemma cdcl_NOT-restart-all-decomposition-implies-m:
  assumes
    cdcl_NOT-restart S T and
    inv: inv (fst S) and n-d: no-dup(trail (fst S)) and
    all-decomposition-implies-m (clauses (fst S))
      (get-all-marked-decomposition (trail (fst S)))
  shows all-decomposition-implies-m (clauses (fst T))
      (get-all-marked-decomposition (trail (fst T)))
  using assms
proof (induction)
  case (restart-full S T n) note full = this(1) and inv = this(2) and n-d = this(3) and
    decomp = this(4)
  have st: cdcl_NOT-merged-bj-learn** S T and
    n-s: no-step cdcl_NOT-merged-bj-learn T
    using full unfolding full1-def by (fast dest: tranclp-into-rtranclp)+
  have st': cdcl_NOT** S T
    using inv rtranclp-cdcl_NOT-merged-bj-learn-is-rtranclp-cdcl_NOT-and-inv st n-d by auto
  have inv T
    using rtranclp-cdcl_NOT-cdcl_NOT-inv[OF st] inv n-d by auto
  then show ?case
    using cdcl_NOT.rtranclp-cdcl_NOT-all-decomposition-implies[OF -  - n-d decomp] st' inv by auto
next
  case (restart-step m S T n U) note st = this(1) and U = this(3) and inv = this(4) and
    n-d = this(5) and decomp = this(6)
  show ?case using U by auto
qed

lemma rtranclp-cdcl_NOT-restart-all-decomposition-implies-m:
  assumes
    cdcl_NOT-restart** S T and
    inv: inv (fst S) and n-d: no-dup(trail (fst S)) and
    decomp: all-decomposition-implies-m (clauses (fst S))
      (get-all-marked-decomposition (trail (fst S)))
  shows all-decomposition-implies-m (clauses (fst T))
      (get-all-marked-decomposition (trail (fst T)))
  using assms
proof (induction)
  case base
  then show ?case using decomp by simp
next
  case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)[OF this(4−)] and
    inv = this(4) and n-d = this(5) and decomp = this(6)
  have inv (fst T) and no-dup (trail (fst T))
    using rtranclp-cdcl_NOT-with-restart-cdcl_NOT-inv using st inv n-d by blast+
  then show ?case
```

  using *cdcl*$_{NOT}$*-restart-all-decomposition-implies-m*[*OF cdcl*] *IH* **by** *auto*
qed

lemma *full-cdcl*$_{NOT}$*-restart-normal-form*:
  assumes
    full: *full cdcl*$_{NOT}$*-restart S T* **and**
    inv: *inv* (*fst S*) **and** *n-d*: *no-dup*(*trail* (*fst S*)) **and**
    decomp: *all-decomposition-implies-m* (*clauses* (*fst S*))
      (*get-all-marked-decomposition* (*trail* (*fst S*))) **and**
    atms-cls: *atms-of-msu* (*clauses* (*fst S*)) ⊆ *atms-of-ms A* **and**
    atms-trail: *atm-of* ‘ *lits-of* (*trail* (*fst S*)) ⊆ *atms-of-ms A* **and**
    fin: *finite A*
  shows *unsatisfiable* (*set-mset* (*clauses* (*fst S*)))
    ∨ *lits-of* (*trail* (*fst T*)) ⊨*sextm clauses* (*fst S*) ∧ *satisfiable* (*set-mset* (*clauses* (*fst S*)))
proof −
  have *inv-T*: *inv* (*fst T*) **and** *n-d-T*: *no-dup* (*trail* (*fst T*))
    using *rtranclp-cdcl*$_{NOT}$*-with-restart-cdcl*$_{NOT}$*-inv* **using** *full inv n-d* **unfolding** *full-def* **by** *blast+*
  moreover have
    atms-cls-T: *atms-of-msu* (*clauses* (*fst T*)) ⊆ *atms-of-ms A* **and**
    atms-trail-T: *atm-of* ‘ *lits-of* (*trail* (*fst T*)) ⊆ *atms-of-ms A*
    using *rtranclp-cdcl*$_{NOT}$*-with-restart-bound-inv*[*of S T A*] *full atms-cls atms-trail fin inv n-d*
    unfolding *full-def* **by** *blast+*
  ultimately have *no-step cdcl*$_{NOT}$*-merged-bj-learn* (*fst T*)
    apply −
    apply (*rule no-step-cdcl*$_{NOT}$*-restart-no-step-cdcl*$_{NOT}$[*of - A*])
       using *full* **unfolding** *full-def* **apply** *simp*
      apply *simp*
    using *fin* **apply** *simp*
    done
  moreover have *all-decomposition-implies-m* (*clauses* (*fst T*))
    (*get-all-marked-decomposition* (*trail* (*fst T*)))
    using *rtranclp-cdcl*$_{NOT}$*-restart-all-decomposition-implies-m*[*of S T*] *inv n-d decomp*
    full **unfolding** *full-def* **by** *auto*
  ultimately have *unsatisfiable* (*set-mset* (*clauses* (*fst T*)))
    ∨ *trail* (*fst T*) ⊨*asm clauses* (*fst T*) ∧ *satisfiable* (*set-mset* (*clauses* (*fst T*)))
    apply −
    apply (*rule cdcl*$_{NOT}$*-merged-bj-learn-final-state*)
    using *atms-cls-T atms-trail-T fin n-d-T fin inv-T* **by** *blast+*
  then consider
      (*unsat*) *unsatisfiable* (*set-mset* (*clauses* (*fst T*)))
    | (*sat*) *trail* (*fst T*) ⊨*asm clauses* (*fst T*) **and** *satisfiable* (*set-mset* (*clauses* (*fst T*)))
    by *auto*
  then show *unsatisfiable* (*set-mset* (*clauses* (*fst S*)))
    ∨ *lits-of* (*trail* (*fst T*)) ⊨*sextm clauses* (*fst S*) ∧ *satisfiable* (*set-mset* (*clauses* (*fst S*)))
    proof *cases*
      case *unsat*
      then have *unsatisfiable* (*set-mset* (*clauses* (*fst S*)))
        unfolding *satisfiable-def* **apply** *auto*
        using *rtranclp-cdcl*$_{NOT}$*-restart-eq-sat-iff*[*of S T*] *full inv n-d*
        consistent-true-clss-ext-satisfiable true-clss-imp-true-cls-ext
        unfolding *satisfiable-def full-def* **by** *blast*
      then show *?thesis* **by** *blast*
    next
      case *sat*
      then have *lits-of* (*trail* (*fst T*)) ⊨*sextm clauses* (*fst T*)

using *true-clss-imp-true-cls-ext* **by** (*auto simp*: *true-annots-true-cls*)
then have *lits-of* (*trail* (*fst T*)) $\models$*sextm clauses* (*fst S*)
using *rtranclp-cdcl*$_{NOT}$*-restart-eq-sat-iff*[*of S T*] *full inv n-d* **unfolding** *full-def* **by** *blast*
moreover then have *satisfiable* (*set-mset* (*clauses* (*fst S*)))
using *consistent-true-clss-ext-satisfiable distinctconsistent-interp n-d-T* **by** *fast*
ultimately show *?thesis* **by** *fast*
qed
qed

corollary *full-cdcl*$_{NOT}$*-restart-normal-form-init-state*:
assumes
init-state: *trail S* = [] *clauses S* = *N* **and**
full: *full cdcl*$_{NOT}$*-restart* (*S*, *0*) *T* **and**
inv: *inv S*
shows *unsatisfiable* (*set-mset N*)
$\vee$ *lits-of* (*trail* (*fst T*)) $\models$*sextm N* $\wedge$ *satisfiable* (*set-mset N*)
using *full-cdcl*$_{NOT}$*-restart-normal-form*[*of* (*S*, *0*) *T*] *assms* **by** *auto*

end

end
theory *DPLL-NOT*
imports *CDCL-NOT*
begin

15 DPLL as an instance of NOT

15.1 DPLL with simple backtrack

locale *dpll-with-backtrack*
begin
inductive *backtrack* :: ('*v*, *unit*, *unit*) *marked-lit list* $\times$ '*v clauses*
$\Rightarrow$ ('*v*, *unit*, *unit*) *marked-lit list* $\times$ '*v clauses* $\Rightarrow$ *bool* **where**
backtrack-split (*fst S*) = (*M'*, *L* # *M*) $\Longrightarrow$ *is-marked L* $\Longrightarrow$ *D* $\in$# *snd S*
$\Longrightarrow$ *fst S* $\models$*as CNot D* $\Longrightarrow$ *backtrack S* (*Propagated* (− (*lit-of L*)) () # *M*, *snd S*)

inductive-cases *backtrackE*[*elim*]: *backtrack* (*M*, *N*) (*M'*, *N'*)
lemma *backtrack-is-backjump*:
fixes *M M'* :: ('*v*, *unit*, *unit*) *marked-lit list*
assumes
backtrack: *backtrack* (*M*, *N*) (*M'*, *N'*) **and**
no-dup: (*no-dup* $\circ$ *fst*) (*M*, *N*) **and**
decomp: *all-decomposition-implies-m N* (*get-all-marked-decomposition M*)
shows
$\exists$ *C F' K F L l C'*.
M = *F'* @ *Marked K* () # *F* $\wedge$
M' = *Propagated L l* # *F* $\wedge$ *N* = *N'* $\wedge$ *C* $\in$# *N* $\wedge$ *F'* @ *Marked K d* # *F* $\models$*as CNot C* $\wedge$
undefined-lit F L $\wedge$ *atm-of L* $\in$ *atms-of-msu N* $\cup$ *atm-of* ' *lits-of* (*F'* @ *Marked K d* # *F*) $\wedge$
N $\models$*pm C'* + {#*L*#} $\wedge$ *F* $\models$*as CNot C'*
proof −
let *?S* = (*M*, *N*)
let *?T* = (*M'*, *N'*)
obtain *F F' P L D* **where**
b-sp: *backtrack-split M* = (*F'*, *L* # *F*) **and**
is-marked L **and**

```
  D ∈# snd ?S and
  M ⊨as CNot D and
  bt: backtrack ?S (Propagated (− (lit-of L)) P # F, N) and
  M': M' = Propagated (− (lit-of L)) P # F and
  [simp]: N' = N
using backtrackE[OF backtrack] by (metis backtrack fstI sndI)
let ?K = lit-of L
let ?C = image-mset lit-of {#K∈#mset M. is-marked K ∧ K≠L#} :: 'v literal multiset
let ?C' = set-mset (image-mset single (?C+{#?K#}))
obtain K where L: L = Marked K () using ‹is-marked L› by (cases L) auto

have M: M = F' @ Marked K () # F
  using b-sp by (metis L backtrack-split-list-eq fst-conv snd-conv)
moreover have F' @ Marked K () # F ⊨as CNot D
  using ‹M⊨as CNot D› unfolding M .
moreover have undefined-lit F (−?K)
  using no-dup unfolding M L by (simp add: defined-lit-map)
moreover have atm-of (−K) ∈ atms-of-msu N ∪ atm-of ‘ lits-of (F' @ Marked K d # F)
  by auto
moreover
  have set-mset N ∪ ?C' ⊨ps {{#}}
    proof −
      have A: set-mset N ∪ ?C' ∪ unmark M =
        set-mset N ∪ unmark M
        unfolding M L by auto
      have set-mset N ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
          ⊨ps unmark M
        using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
      moreover have C': ?C' = {{#lit-of L#} |L. is-marked L ∧ L ∈ set M}
        unfolding M L apply standard
          apply force
        using IntI by auto
      ultimately have N-C-M: set-mset N ∪ ?C' ⊨ps unmark M
        by auto
      have set-mset N ∪ (λL. {#lit-of L#}) ‘ (set M) ⊨ps {{#}}
        unfolding true-clss-clss-def
        proof (intro allI impI, goal-cases)
          case (1 I) note tot = this(1) and cons = this(2) and I-N-M = this(3)
          have I ⊨ D
            using I-N-M ‹D ∈# snd ?S› unfolding true-clss-def by auto
          moreover have I ⊨s CNot D
            using ‹M ⊨as CNot D› unfolding M by (metis 1(3) ‹M ⊨as CNot D›
              true-annots-true-cls true-cls-mono-set-mset-l true-clss-def
              true-clss-singleton-lit-of-implies-incl true-clss-union)
          ultimately show ?case using cons consistent-CNot-not by blast
        qed
      then show ?thesis
        using true-clss-clss-left-right[OF N-C-M, of {{#}}] unfolding A by auto
    qed
  have N ⊨pm image-mset uminus ?C + {#−?K#}
    unfolding true-clss-cls-def true-clss-clss-def total-over-m-def
    proof (intro allI impI)
      fix I
      assume
        tot: total-over-set I (atms-of-ms (set-mset N ∪ {image-mset uminus ?C + {#− ?K#}})) and
```

```
          cons: consistent-interp I and
          I ⊨sm N
        have (K ∈ I ∧ −K ∉ I) ∨ (−K ∈ I ∧ K ∉ I)
          using cons tot unfolding consistent-interp-def L by (cases K) auto
        have tI: total-over-set I (atm-of ` lit-of ` (set M ∩ {L. is-marked L ∧ L ≠ Marked K d}))
          using tot by (auto simp add: L atms-of-uminus-lit-atm-of-lit-of)

        then have H: ⋀x.
            lit-of x ∉ I ⟹ x ∈ set M ⟹is-marked x
            ⟹ x ≠ Marked K d ⟹ −lit-of x ∈ I
          proof −
            fix x :: ('v, unit, unit) marked-lit
            assume a1: x ≠ Marked K d
            assume a2: is-marked x
            assume a3: x ∈ set M
            assume a4: lit-of x ∉ I
            have atm-of (lit-of x) ∈ atm-of ` lit-of `
              (set M ∩ {m. is-marked m ∧ m ≠ Marked K d})
              using a3 a2 a1 by blast
            then have Pos (atm-of (lit-of x)) ∈ I ∨ Neg (atm-of (lit-of x)) ∈ I
              using tI unfolding total-over-set-def by blast
            then show − lit-of x ∈ I
              using a4 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
                literal.sel(1,2))
          qed
        have ¬I ⊨s ?C'
          using ‹set-mset N ∪ ?C' ⊨ps {{#}}› tot cons ‹I ⊨sm N›
          unfolding true-clss-clss-def total-over-m-def
          by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
        then show I ⊨ image-mset uminus ?C + {#− lit-of L#}
          unfolding true-clss-def true-cls-def Bex-mset-def
          using ‹(K ∈ I ∧ −K ∉ I) ∨ (−K ∈ I ∧ K ∉ I)›
          unfolding L by (auto dest!: H)
      qed
  moreover
    have set F' ∩ {K. is-marked K ∧ K ≠ L} = {}
      using backtrack-split-fst-not-marked[of - M] b-sp by auto
    then have F ⊨as CNot (image-mset uminus ?C)
        unfolding M CNot-def true-annots-def by (auto simp add: L lits-of-def)
  ultimately show ?thesis
    using M' ‹D ∈# snd ?S› L by force
qed

lemma backtrack-is-backjump':
  fixes M M' :: ('v, unit, unit) marked-lit list
  assumes
    backtrack: backtrack S T and
    no-dup: (no-dup ∘ fst) S and
    decomp: all-decomposition-implies-m (snd S) (get-all-marked-decomposition (fst S))
    shows
        ∃ C F' K F L l C'.
          fst S = F' @ Marked K () # F ∧
          T = (Propagated L l # F, snd S) ∧ C ∈# snd S ∧ fst S ⊨as CNot C
          ∧ undefined-lit F L ∧ atm-of L ∈ atms-of-msu (snd S) ∪ atm-of ` lits-of (fst S) ∧
          snd S ⊨pm C' + {#L#} ∧ F ⊨as CNot C'
```

apply (*cases S*, *cases T*)
using *backtrack-is-backjump*[*of fst S snd S fst T snd T*] *assms* **by** *fastforce*

sublocale *dpll-state fst snd* λL (*M*, *N*). (*L* # *M*, *N*) λ(*M*, *N*). (*tl M*, *N*)
λC (*M*, *N*). (*M*, {#*C*#} + *N*) λC (*M*, *N*). (*M*, *remove-mset C N*)
by *unfold-locales auto*

sublocale *backjumping-ops fst snd* λL (*M*, *N*). (*L* # *M*, *N*) λ(*M*, *N*). (*tl M*, *N*)
λC (*M*, *N*). (*M*, {#*C*#} + *N*) λC (*M*, *N*). (*M*, *remove-mset C N*) λ- - - *S T*. *backtrack S T*
by *unfold-locales*

lemma *backtrack-is-backjump''*:
fixes *M M'* :: (*'v*, *unit*, *unit*) *marked-lit list*
assumes
backtrack: *backtrack S T* **and**
no-dup: (*no-dup* ∘ *fst*) *S* **and**
decomp: *all-decomposition-implies-m* (*snd S*) (*get-all-marked-decomposition* (*fst S*))
shows *backjump S T*
proof −
obtain *C F' K F L l C'* **where**
1: *fst S* = *F'* @ *Marked K* () # *F* **and**
2: *T* = (*Propagated L l* # *F*, *snd S*) **and**
3: *C* ∈# *snd S* **and**
4: *fst S* ⊨*as CNot C* **and**
5: *undefined-lit F L* **and**
6: *atm-of L* ∈ *atms-of-msu* (*snd S*) ∪ *atm-of* ‘ *lits-of* (*fst S*) **and**
7: *snd S* ⊨*pm C'* + {#*L*#} **and**
8: *F* ⊨*as CNot C'*
using *backtrack-is-backjump'*[*OF assms*] **by** *blast*
show *?thesis*
using *backjump.intros*[*OF 1 - 3 4 5 6 7 8*] *2 backtrack 1 5*
by (*auto simp*: *state-eq*$_{NOT}$*-def simp del*: *state-simp*$_{NOT}$)
qed

lemma *can-do-bt-step*:
assumes
M: *fst S* = *F'* @ *Marked K d* # *F* **and**
C ∈# *snd S* **and**
C: *fst S* ⊨*as CNot C*
shows ¬ *no-step backtrack S*
proof −
obtain *L G' G* **where**
backtrack-split (*fst S*) = (*G'*, *L* # *G*)
unfolding *M* **by** (*induction F' rule*: *marked-lit-list-induct*) *auto*
moreover then have *is-marked L*
by (*metis backtrack-split-snd-hd-marked list.distinct*(*1*) *list.sel*(*1*) *snd-conv*)
ultimately show *?thesis*
using *backtrack.intros*[*of S G' L G C*] ‹*C* ∈# *snd S*› *C* **unfolding** *M* **by** *auto*
qed

end

sublocale *dpll-with-backtrack* ⊆ *dpll-with-backjumping-ops fst snd* λL (*M*, *N*). (*L* # *M*, *N*)
λ(*M*, *N*). (*tl M*, *N*) λC (*M*, *N*). (*M*, {#*C*#} + *N*) λC (*M*, *N*). (*M*, *remove-mset C N*) λ- -. *True*
λ(*M*, *N*). *no-dup M* ∧ *all-decomposition-implies-m N* (*get-all-marked-decomposition M*)

λ- - - *S T*. *backtrack S T*
by *unfold-locales* (*metis* (*mono-tags*, *lifting*) *dpll-with-backtrack.backtrack-is-backjump''*
dpll-with-backtrack.can-do-bt-step prod.case-eq-if comp-apply)

sublocale *dpll-with-backtrack* $\subseteq$ *dpll-with-backjumping fst snd* λL (*M*, *N*). (*L* # *M*, *N*)
λ(*M*, *N*). (*tl M*, *N*) λC (*M*, *N*). (*M*, {#*C*#} + *N*) λC (*M*, *N*). (*M*, *remove-mset C N*) λ- -. *True*
λ(*M*, *N*). *no-dup M* $\wedge$ *all-decomposition-implies-m N* (*get-all-marked-decomposition M*)
λ- - - *S T*. *backtrack S T*
apply *unfold-locales*
using *dpll-bj-no-dup dpll-bj-all-decomposition-implies-inv* **apply** *fastforce*
done

sublocale *dpll-with-backtrack* $\subseteq$ *conflict-driven-clause-learning-ops*
fst snd λL (*M*, *N*). (*L* # *M*, *N*)
λ(*M*, *N*). (*tl M*, *N*) λC (*M*, *N*). (*M*, {#*C*#} + *N*) λC (*M*, *N*). (*M*, *remove-mset C N*) λ- -. *True*
λ(*M*, *N*). *no-dup M* $\wedge$ *all-decomposition-implies-m N* (*get-all-marked-decomposition M*)
λ- - - *S T*. *backtrack S T* λ- -. *False* λ- -. *False*
by *unfold-locales*

sublocale *dpll-with-backtrack* $\subseteq$ *conflict-driven-clause-learning*
fst snd λL (*M*, *N*). (*L* # *M*, *N*)
λ(*M*, *N*). (*tl M*, *N*) λC (*M*, *N*). (*M*, {#*C*#} + *N*) λC (*M*, *N*). (*M*, *remove-mset C N*) λ- -. *True*
λ(*M*, *N*). *no-dup M* $\wedge$ *all-decomposition-implies-m N* (*get-all-marked-decomposition M*)
λ- - - *S T*. *backtrack S T* λ- -. *False* λ- -. *False*
apply *unfold-locales*
using $cdcl_{NOT}$*.simps dpll-bj-inv forgetE learnE* **by** *blast*

context *dpll-with-backtrack*
begin
lemma *wf-tranclp-dpll-inital-state*:
assumes *fin*: *finite A*
shows *wf* {((*M'*::('*v*, *unit*, *unit*) *marked-lits*, *N'*::'*v clauses*), ([], *N*))|*M' N' N*.
dpll-bj^{++} ([], *N*) (*M'*, *N'*) $\wedge$ *atms-of-msu N* $\subseteq$ *atms-of-ms A*}
using *wf-tranclp-dpll-bj*[*OF assms*(*1*)] **by** (*rule wf-subset*) *auto*

corollary *full-dpll-final-state-conclusive*:
fixes *M M'* :: ('*v*, *unit*, *unit*) *marked-lit list*
assumes
full: *full dpll-bj* ([], *N*) (*M'*, *N'*)
shows *unsatisfiable* (*set-mset N*) $\vee$ (*M'* $\models$*asm N* $\wedge$ *satisfiable* (*set-mset N*))
using *assms full-dpll-backjump-final-state*[*of* ([],*N*) (*M'*, *N'*) *set-mset N*] **by** *auto*

corollary *full-dpll-normal-form-from-init-state*:
fixes *M M'* :: ('*v*, *unit*, *unit*) *marked-lit list*
assumes
full: *full dpll-bj* ([], *N*) (*M'*, *N'*)
shows *M'* $\models$*asm N* $\longleftrightarrow$ *satisfiable* (*set-mset N*)
proof –
have *no-dup M'*
using *rtranclp-dpll-bj-no-dup*[*of* ([], *N*) (*M'*, *N'*)]
full **unfolding** *full-def* **by** *auto*
then have *M'* $\models$*asm N* $\Longrightarrow$ *satisfiable* (*set-mset N*)
using *distinctconsistent-interp satisfiable-carac' true-annots-true-cls* **by** *blast*
then show *?thesis*
using *full-dpll-final-state-conclusive*[*OF full*] **by** *auto*

qed

lemma *cdcl*$_{NOT}$*-is-dpll*:
  cdcl$_{NOT}$ *S T* ⟷ *dpll-bj S T*
  by (*auto simp*: *cdcl*$_{NOT}$*.simps learn.simps forget*$_{NOT}$*.simps*)

Another proof of termination:

lemma *wf* {(*T*, *S*). *dpll-bj S T* ∧ *cdcl*$_{NOT}$*-NOT-all-inv A S*}
  unfolding *cdcl*$_{NOT}$*-is-dpll*[*symmetric*]
  by (*rule wf-cdcl*$_{NOT}$*-no-learn-and-forget-infinite-chain*)
  (*auto simp*: *learn.simps forget*$_{NOT}$*.simps*)
end

15.2 Adding restarts

```
locale dpll-withbacktrack-and-restarts =
  dpll-with-backtrack +
  fixes f :: nat ⇒ nat
  assumes unbounded: unbounded f and f-ge-1:⋀n. n≥ 1 ⟹ f n ≥ 1
begin
  sublocale cdcl_NOT-increasing-restarts  fst snd λL (M, N). (L # M, N) λ(M, N). (tl M, N)
    λC (M, N). (M, {#C#} + N) λC (M, N). (M, remove-mset C N) f λ(-, N) S. S = ([], N)
  λA (M, N). atms-of-msu N ⊆ atms-of-ms A ∧ atm-of ‘ lits-of M ⊆ atms-of-ms A ∧ finite A
    ∧ all-decomposition-implies-m N (get-all-marked-decomposition M)
  λA T. (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
              − μ_C (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight T) dpll-bj
  λ(M, N). no-dup M ∧ all-decomposition-implies-m N (get-all-marked-decomposition M)
  λA -.  (2+card (atms-of-ms A)) ^ (1+card (atms-of-ms A))
  apply unfold-locales
          apply (rule unbounded)
         using f-ge-1 apply fastforce
        apply (smt dpll-bj-all-decomposition-implies-inv dpll-bj-atms-in-trail-in-set
          dpll-bj-clauses dpll-bj-no-dup prod.case-eq-if)
       apply (rule dpll-bj-trail-mes-decreasing-prop; auto)
      apply (rename-tac A T U, case-tac T, simp)
     apply (rename-tac A T U, case-tac U, simp)
    using dpll-bj-clauses dpll-bj-all-decomposition-implies-inv dpll-bj-no-dup by fastforce+
end

end
theory DPLL-W
imports Main Partial-Clausal-Logic Partial-Annotated-Clausal-Logic List-More Wellfounded-More
  DPLL-NOT
begin
```

16 DPLL

16.1 Rules

type-synonym *'a dpll*$_W$*-marked-lit* = (*'a*, *unit*, *unit*) *marked-lit*
type-synonym *'a dpll*$_W$*-marked-lits* = (*'a*, *unit*, *unit*) *marked-lits*
type-synonym *'v dpll*$_W$*-state* = *'v dpll*$_W$*-marked-lits* × *'v clauses*

abbreviation *trail* :: *'v dpll*$_W$*-state* ⇒ *'v dpll*$_W$*-marked-lits* **where**
trail ≡ *fst*

abbreviation *clauses* :: *'v* $dpll_W$*-state* ⇒ *'v clauses* **where**
clauses ≡ *snd*

The definition of DPLL is given in figure 2.13 page 70 of CW.

inductive $dpll_W$ :: *'v* $dpll_W$*-state* ⇒ *'v* $dpll_W$*-state* ⇒ *bool* **where**
propagate: *C* + {#*L*#} ∈# *clauses S* ⟹ *trail S* ⊨*as CNot C* ⟹ *undefined-lit* (*trail S*) *L*
  ⟹ $dpll_W$ *S* (*Propagated L* () # *trail S*, *clauses S*) |
decided: *undefined-lit* (*trail S*) *L* ⟹ *atm-of L* ∈ *atms-of-msu* (*clauses S*)
  ⟹ $dpll_W$ *S* (*Marked L* () # *trail S*, *clauses S*) |
backtrack: *backtrack-split* (*trail S*) = (*M'*, *L* # *M*) ⟹ *is-marked L* ⟹ *D* ∈# *clauses S*
  ⟹ *trail S* ⊨*as CNot D* ⟹ $dpll_W$ *S* (*Propagated* (− (*lit-of L*)) () # *M*, *clauses S*)

16.2 Invariants

lemma $dpll_W$*-distinct-inv*:
  assumes $dpll_W$ *S S'*
  and *no-dup* (*trail S*)
  shows *no-dup* (*trail S'*)
  using *assms*
proof (*induct rule*: $dpll_W$*.induct*)
  case (*decided L S*)
  then show *?case* **using** *defined-lit-map* **by** *force*
next
  case (*propagate C L S*)
  then show *?case* **using** *defined-lit-map* **by** *force*
next
  case (*backtrack S M' L M D*) **note** *extracted* = *this*(*1*) **and** *no-dup* = *this*(*5*)
  show *?case*
    using *no-dup backtrack-split-list-eq*[*of trail S*, *symmetric*] **unfolding** *extracted* **by** *auto*
qed

lemma $dpll_W$*-consistent-interp-inv*:
  assumes $dpll_W$ *S S'*
  and *consistent-interp* (*lits-of* (*trail S*))
  and *no-dup* (*trail S*)
  shows *consistent-interp* (*lits-of* (*trail S'*))
  using *assms*
proof (*induct rule*: $dpll_W$*.induct*)
  case (*backtrack S M' L M D*) **note** *extracted* = *this*(*1*) **and** *marked* = *this*(*2*) **and** *D* = *this*(*4*) **and**
    cons = *this*(*5*) **and** *no-dup* = *this*(*6*)
  have *no-dup'*: *no-dup M*
    by (*metis* (*no-types*) *backtrack-split-list-eq distinct.simps*(*2*) *distinct-append extracted*
      list.simps(*9*) *map-append no-dup snd-conv*)
  then have *insert* (*lit-of L*) (*lits-of M*) ⊆ *lits-of* (*trail S*)
    using *backtrack-split-list-eq*[*of trail S*, *symmetric*] **unfolding** *extracted* **by** *auto*
  then have *cons*: *consistent-interp* (*insert* (*lit-of L*) (*lits-of M*))
    using *consistent-interp-subset cons* **by** *blast*
  moreover
    have *lit-of L* ∉ *lits-of M*
      using *no-dup backtrack-split-list-eq*[*of trail S*, *symmetric*] *extracted*
      unfolding *lits-of-def* **by** *force*
  moreover
    have *atm-of* (−*lit-of L*) ∉ (λ*m*. *atm-of* (*lit-of m*)) ‘ *set M*
      using *no-dup backtrack-split-list-eq*[*of trail S*, *symmetric*] **unfolding** *extracted* **by** *force*
    then have −*lit-of L* ∉ *lits-of M*
      unfolding *lits-of-def* **by** *force*

ultimately show *?case* **by** *simp*
qed (*auto intro*: *consistent-add-undefined-lit-consistent*)

lemma *dpll$_W$-vars-in-snd-inv*:
 assumes *dpll$_W$ S S'*
 and *atm-of ‘ (lits-of (trail S)) ⊆ atms-of-msu (clauses S)*
 shows *atm-of ‘ (lits-of (trail S')) ⊆ atms-of-msu (clauses S')*
 using *assms*
proof (*induct rule*: *dpll$_W$.induct*)
 case (*backtrack S M' L M D*)
 then have *atm-of (lit-of L) ∈ atms-of-msu (clauses S)*
  using *backtrack-split-list-eq*[*of trail S*, *symmetric*] **by** *auto*
 moreover
  have *atm-of ‘ lits-of (trail S) ⊆ atms-of-msu (clauses S)*
   using *backtrack*(*5*) **by** *simp*
  then have *⋀xb. xb ∈ set M ⟹ atm-of (lit-of xb) ∈ atms-of-msu (clauses S)*
   using *backtrack-split-list-eq*[*symmetric*, *of trail S*] *backtrack.hyps*(*1*)
   unfolding *lits-of-def* **by** *auto*
 ultimately show *?case* **by** (*auto simp* : *lits-of-def*)
qed (*auto simp*: *in-plus-implies-atm-of-on-atms-of-ms*)

lemma *atms-of-ms-lit-of-atms-of*: *atms-of-ms ((λa. {#lit-of a#}) ‘ c) = atm-of ‘ lit-of ‘ c*
 unfolding *atms-of-ms-def* **using** *image-iff* **by** *force*

Lemma theorem 2.8.2 page 71 of CW

lemma *dpll$_W$-propagate-is-conclusion*:
 assumes *dpll$_W$ S S'*
 and *all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))*
 and *atm-of ‘ lits-of (trail S) ⊆ atms-of-msu (clauses S)*
 shows *all-decomposition-implies-m (clauses S') (get-all-marked-decomposition (trail S'))*
 using *assms*
proof (*induct rule*: *dpll$_W$.induct*)
 case (*decided L S*)
 then show *?case* **unfolding** *all-decomposition-implies-def* **by** *simp*
next
 case (*propagate C L S*) **note** *inS = this*(*1*) **and** *cnot = this*(*2*) **and** *IH = this*(*4*) **and** *undef = this*(*3*) **and** *atms-incl = this*(*5*)
 let *?I = set (map (λa. {#lit-of a#}) (trail S)) ∪ set-mset (clauses S)*
 have *?I ⊨p C + {#L#}* **by** (*auto simp add*: *inS*)
 moreover have *?I ⊨ps CNot C* **using** *true-annots-true-clss-cls cnot* **by** *fastforce*
 ultimately have *?I ⊨p {#L#}* **using** *true-clss-cls-plus-CNot*[*of ?I C L*] *inS* **by** *blast*
 {
  assume *get-all-marked-decomposition (trail S) = []*
  then have *?case* **by** *blast*
 }
 moreover {
  assume *n*: *get-all-marked-decomposition (trail S) ≠ []*
  have *1*: *⋀a b. (a, b) ∈ set (tl (get-all-marked-decomposition (trail S)))*
   ⟹ (unmark a ∪ set-mset (clauses S)) ⊨ps unmark b
   using *IH* **unfolding** *all-decomposition-implies-def* **by** (*fastforce simp add*: *list.set-sel*(*2*) *n*)
  moreover have *2*: *⋀a c. hd (get-all-marked-decomposition (trail S)) = (a, c)*
   ⟹ (unmark a ∪ set-mset (clauses S)) ⊨ps (unmark c)
   by (*metis IH all-decomposition-implies-cons-pair all-decomposition-implies-single*
    list.collapse n)
  moreover have *3*: *⋀a c. hd (get-all-marked-decomposition (trail S)) = (a, c)*

```
      ⟹ (unmark a ∪ set-mset (clauses S)) ⊨p {#L#}
      proof −
        fix a c
        assume h: hd (get-all-marked-decomposition (trail S)) = (a, c)
        have h': trail S = c @ a using get-all-marked-decomposition-decomp h by blast
        have I: set (map (λa. {#lit-of a#})  a) ∪ set-mset (clauses S)
          ∪ unmark c ⊨ps CNot C
          using ‹?I ⊨ps CNot C› unfolding h' by (simp add: Un-commute Un-left-commute)
        have
          atms-of-ms (CNot C) ⊆ atms-of-ms (set (map (λa. {#lit-of a#}) a) ∪ set-mset (clauses S))
            and
          atms-of-ms (unmark c) ⊆ atms-of-ms (set (map (λa. {#lit-of a#}) a)
            ∪ set-mset (clauses S))
            apply (metis CNot-plus Un-subset-iff atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of
              atms-of-ms-union inS mem-set-mset-iff sup.coboundedI2)
          using inS atms-of-atms-of-ms-mono atms-incl by (fastforce simp: h')

        then have unmark a ∪ set-mset (clauses S) ⊨ps CNot C
          using true-clss-clss-left-right[OF - I] h 2 by auto
        then show unmark a ∪ set-mset (clauses S) ⊨p {#L#}
          by (metis (no-types) Un-insert-right inS insertI1 mk-disjoint-insert inS mem-set-mset-iff
            true-clss-cls-in true-clss-cls-plus-CNot)
      qed
    ultimately have ?case
      by (cases hd (get-all-marked-decomposition (trail S)))
         (auto simp: all-decomposition-implies-def)
  }
  ultimately show ?case by auto
next
  case (backtrack S M' L M D) note extracted = this(1) and marked = this(2) and D = this(3) and
    cnot = this(4) and cons = this(4) and IH = this(5) and atms-incl = this(6)
  have S: trail S = M' @ L # M
    using backtrack-split-list-eq[of trail S] unfolding extracted by auto
  have M': ∀ l ∈ set M'. ¬is-marked l
    using extracted backtrack-split-fst-not-marked[of - trail S] by simp
  have n: get-all-marked-decomposition (trail S) ≠ [] by auto
  then have all-decomposition-implies-m (clauses S) ((L # M, M')
          # tl (get-all-marked-decomposition (trail S)))
    by (metis (no-types) IH extracted get-all-marked-decomposition-backtrack-split list.exhaust-sel)
  then have 1: unmark (L # M) ∪ set-mset (clauses S) ⊨ps(λa.{#lit-of a#}) ‘ set M'
    by simp
  moreover
    have unmark (L # M) ∪ unmark M' ⊨ps CNot D
      by (metis (mono-tags, lifting) S Un-commute cons image-Un set-append
        true-annots-true-clss-clss)
    then have 2: unmark (L # M) ∪ set-mset (clauses S) ∪ unmark M'
        ⊨ps CNot D
      by (metis (no-types, lifting) Un-assoc Un-left-commute true-clss-clss-union-l-r)
  ultimately
    have set (map (λa. {#lit-of a#}) (L # M)) ∪ set-mset (clauses S) ⊨ps CNot D
      using true-clss-clss-left-right by fastforce
    then have set (map (λa. {#lit-of a#}) (L # M)) ∪ set-mset (clauses S) ⊨p {#}
      by (metis (mono-tags, lifting) D Un-def mem-Collect-eq set-mset-def
        true-clss-clss-contradiction-true-clss-cls-false)
    then have IL: unmark M ∪ set-mset (clauses S) ⊨p {#−lit-of L#}
      using true-clss-clss-false-left-right by auto
  show ?case unfolding S all-decomposition-implies-def
    proof
      fix x P level
      assume x: x ∈ set (get-all-marked-decomposition
        (fst (Propagated (− lit-of L) P # M, clauses S)))
      let ?M′ = Propagated (− lit-of L) P # M
      let ?hd = hd (get-all-marked-decomposition ?M′)
      let ?tl = tl (get-all-marked-decomposition ?M′)
      have x = ?hd ∨ x ∈ set ?tl
        using x
        by (cases get-all-marked-decomposition ?M′)
          auto
      moreover {
        assume x′: x ∈ set ?tl
        have L′: Marked (lit-of L) () = L using marked by (cases L, auto)
        have x ∈ set (get-all-marked-decomposition (M′ @ L # M))
          using x′ get-all-marked-decomposition-except-last-choice-equal[of M′ lit-of L P M]
          L′ by (metis (no-types) M′ list.set-sel(2) tl-Nil)
        then have case x of (Ls, seen) ⇒ unmark Ls ∪ set-mset (clauses S)
          ⊨ps unmark seen
          using marked IH by (cases L) (auto simp add: S all-decomposition-implies-def)
      }
      moreover {
        assume x′: x = ?hd
        have tl: tl (get-all-marked-decomposition (M′ @ L # M)) ≠ []
          proof −
            have f1: ⋀ms. length (get-all-marked-decomposition (M′ @ ms))
              = length (get-all-marked-decomposition ms)
              by (simp add: M′ get-all-marked-decomposition-remove-unmarked-length)
            have Suc (length (get-all-marked-decomposition M)) ≠ Suc 0
              by blast
            then show ?thesis
              using f1 marked by (metis (no-types) get-all-marked-decomposition.simps(1) length-tl
                list.sel(3) list.size(3) marked-lit.collapse(1))
          qed
        obtain M0′ M0 where
          L0: hd (tl (get-all-marked-decomposition (M′ @ L # M))) = (M0, M0′)
          by (cases hd (tl (get-all-marked-decomposition (M′ @ L # M))))
        have x″: x = (M0, Propagated (−lit-of L) P # M0′)
          unfolding x′ using get-all-marked-decomposition-last-choice tl M′ L0
          by (metis marked marked-lit.collapse(1))
        obtain l-get-all-marked-decomposition where
          get-all-marked-decomposition (trail S) = (L # M, M′) # (M0, M0′) #
            l-get-all-marked-decomposition
          using get-all-marked-decomposition-backtrack-split extracted by (metis (no-types) L0 S
            hd-Cons-tl n tl)
        then have M = M0′ @ M0 using get-all-marked-decomposition-hd-hd by fastforce
        then have IL′:  unmark M0 ∪ set-mset (clauses S)
          ∪ unmark M0′ ⊨ps {{#− lit-of L#}}
          using IL by (simp add: Un-commute Un-left-commute image-Un)
        moreover have H: unmark M0 ∪ set-mset (clauses S)
          ⊨ps unmark M0′
          using IH x″ unfolding all-decomposition-implies-def by (metis (no-types, lifting) L0 S
            list.set-sel(1) list.set-sel(2) old.prod.case tl tl-Nil)
        ultimately have case x of (Ls, seen) ⇒ unmark Ls ∪ set-mset (clauses S)
          ⊨ps unmark seen
          using true-clss-clss-left-right unfolding x'' by auto
      }
      ultimately show case x of (Ls, seen) ⇒
        unmark Ls ∪ set-mset (snd (?M', clauses S))
          ⊨ps unmark seen
        unfolding snd-conv by blast
    qed
  qed
```

Lemma theorem 2.8.3 page 72 of CW

```
theorem dpll_W-propagate-is-conclusion-of-decided:
  assumes dpll_W S S'
  and all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  and atm-of ` lits-of (trail S) ⊆ atms-of-msu (clauses S)
  shows set-mset (clauses S') ∪ {{#lit-of L#} |L. is-marked L ∧ L ∈ set (trail S')}
    ⊨ps (λa. {#lit-of a#}) ` ⋃(set ` snd ` set (get-all-marked-decomposition (trail S')))
  using all-decomposition-implies-trail-is-implied[OF dpll_W-propagate-is-conclusion[OF assms]] .
```

Lemma theorem 2.8.4 page 72 of CW

```
lemma only-propagated-vars-unsat:
  assumes marked: ∀x ∈ set M. ¬ is-marked x
  and DN: D ∈ N and D: M ⊨as CNot D
  and inv: all-decomposition-implies N (get-all-marked-decomposition M)
  and atm-incl: atm-of ` lits-of M  ⊆ atms-of-ms N
  shows unsatisfiable N
proof (rule ccontr)
  assume ¬ unsatisfiable N
  then obtain I where
    I: I ⊨s N and
    cons: consistent-interp I and
    tot: total-over-m I N
    unfolding satisfiable-def by auto
  then have I-D: I ⊨ D
    using DN unfolding true-clss-def by auto

  have l0: {{#lit-of L#} |L. is-marked L ∧ L ∈ set M} = {} using marked by auto
  have atms-of-ms (N ∪ unmark M) = atms-of-ms N
    using atm-incl unfolding atms-of-ms-def lits-of-def by auto

  then have total-over-m I (N ∪ (λa. {#lit-of a#}) ` (set M))
    using tot unfolding total-over-m-def by auto
  then have I ⊨s (λa. {#lit-of a#}) ` (set M)
    using all-decomposition-implies-propagated-lits-are-implied[OF inv] cons I
    unfolding true-clss-clss-def l0 by auto
  then have IM: I ⊨s unmark M by auto
  {
    fix K
    assume K ∈# D
    then have −K ∈ lits-of M
      by (auto split: split-if-asm
        intro: allE[OF D[unfolded true-annots-def Ball-def], of {#−K#}])
    then have −K ∈ I using IM true-clss-singleton-lit-of-implies-incl by fastforce
  }
  then have ¬ I ⊨ D using cons unfolding true-cls-def consistent-interp-def by auto
  then show False using I-D by blast
qed

lemma dpll_W-same-clauses:
  assumes dpll_W S S'
  shows clauses S = clauses S'
  using assms by (induct rule: dpll_W.induct, auto)

lemma rtranclp-dpll_W-inv:
  assumes rtranclp dpll_W S S'
  and inv: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  and atm-incl: atm-of ` lits-of (trail S) ⊆ atms-of-msu (clauses S)
  and consistent-interp (lits-of (trail S))
  and no-dup (trail S)
  shows all-decomposition-implies-m (clauses S') (get-all-marked-decomposition (trail S'))
  and atm-of ` lits-of (trail S') ⊆ atms-of-msu (clauses S')
  and clauses S = clauses S'
  and consistent-interp (lits-of (trail S'))
  and no-dup (trail S')
  using assms
proof (induct rule: rtranclp-induct)
  case base
  show
    all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S)) and
    atm-of ` lits-of (trail S) ⊆ atms-of-msu (clauses S) and
    clauses S = clauses S and
    consistent-interp (lits-of (trail S)) and
    no-dup (trail S) using assms by auto
next
  case (step S' S'') note dpll_WStar = this(1) and IH = this(3,4,5,6,7) and
    dpll_W = this(2)
  moreover
    assume
      inv: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S)) and
      atm-incl: atm-of ` lits-of (trail S) ⊆ atms-of-msu (clauses S) and
      cons: consistent-interp (lits-of (trail S)) and
      no-dup (trail S)
  ultimately have decomp: all-decomposition-implies-m (clauses S')
    (get-all-marked-decomposition (trail S')) and
    atm-incl': atm-of ` lits-of (trail S') ⊆ atms-of-msu (clauses S') and
    snd: clauses S = clauses S' and
    cons': consistent-interp (lits-of (trail S')) and
    no-dup': no-dup (trail S') by blast+
  show clauses S = clauses S'' using dpll_W-same-clauses[OF dpll_W] snd by metis

  show all-decomposition-implies-m (clauses S'') (get-all-marked-decomposition (trail S''))
    using dpll_W-propagate-is-conclusion[OF dpll_W] decomp atm-incl' by auto
  show atm-of ` lits-of (trail S'') ⊆ atms-of-msu (clauses S'')
    using dpll_W-vars-in-snd-inv[OF dpll_W]  atm-incl atm-incl' by auto
  show no-dup (trail S'') using dpll_W-distinct-inv[OF dpll_W] no-dup' dpll_W by auto
  show consistent-interp (lits-of (trail S''))
    using cons' no-dup' dpll_W-consistent-interp-inv[OF dpll_W] by auto
qed
```

```
definition dpll_W-all-inv S ≡
  (all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  ∧ atm-of ‘ lits-of (trail S) ⊆ atms-of-msu (clauses S)
  ∧ consistent-interp (lits-of (trail S))
  ∧ no-dup (trail S))

lemma dpll_W-all-inv-dest[dest]:
  assumes dpll_W-all-inv S
  shows all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  and atm-of ‘ lits-of (trail S) ⊆ atms-of-msu (clauses S)
  and consistent-interp (lits-of (trail S)) ∧ no-dup (trail S)
  using assms unfolding dpll_W-all-inv-def lits-of-def by auto

lemma rtranclp-dpll_W-all-inv:
  assumes rtranclp dpll_W S S'
  and dpll_W-all-inv S
  shows dpll_W-all-inv S'
  using assms rtranclp-dpll_W-inv[OF assms(1)] unfolding dpll_W-all-inv-def lits-of-def by blast

lemma dpll_W-all-inv:
  assumes dpll_W S S'
  and dpll_W-all-inv S
  shows dpll_W-all-inv S'
  using assms rtranclp-dpll_W-all-inv by blast

lemma rtranclp-dpll_W-inv-starting-from-0:
  assumes rtranclp dpll_W S S'
  and inv: trail S = []
  shows dpll_W-all-inv S'
proof −
  have dpll_W-all-inv S
    using assms unfolding all-decomposition-implies-def dpll_W-all-inv-def by auto
  then show ?thesis using rtranclp-dpll_W-all-inv[OF assms(1)] by blast
qed

lemma dpll_W-can-do-step:
  assumes consistent-interp (set M)
  and distinct M
  and atm-of ‘ (set M) ⊆ atms-of-msu N
  shows rtranclp dpll_W ([], N) (map (λM. Marked M ()) M, N)
  using assms
proof (induct M)
  case Nil
  then show ?case by auto
next
  case (Cons L M)
  then have undefined-lit (map (λM. Marked M ()) M) L
    unfolding defined-lit-def consistent-interp-def by auto
  moreover have atm-of L ∈ atms-of-msu N using Cons.prems(3) by auto
  ultimately have dpll_W (map (λM. Marked M ()) M, N) (map (λM. Marked M ()) (L # M), N)
    using dpll_W.decided by auto
  moreover have consistent-interp (set M) and distinct M and atm-of ‘ set M ⊆ atms-of-msu N
    using Cons.prems unfolding consistent-interp-def by auto
  ultimately show ?case using Cons.hyps by auto
qed
```

```
definition conclusive-dpll_W-state (S:: 'v dpll_W-state) ⟷
  (trail S ⊨asm clauses S ∨ ((∀ L ∈ set (trail S). ¬is-marked L)
  ∧ (∃ C ∈# clauses S. trail S ⊨as CNot C)))


lemma dpll_W-strong-completeness:
  assumes set M ⊨sm N
  and consistent-interp (set M)
  and distinct M
  and atm-of ` (set M) ⊆ atms-of-msu N
  shows dpll_W** ([], N) (map (λM. Marked M ()) M, N)
  and conclusive-dpll_W-state (map (λM. Marked M ())  M, N)
proof –
  show rtranclp dpll_W ([], N) (map (λM. Marked M ()) M, N) using dpll_W-can-do-step assms by auto
  have map (λM. Marked M ()) M ⊨asm N using assms(1) true-annots-marked-true-cls by auto
  then show conclusive-dpll_W-state (map (λM. Marked M ()) M, N)
    unfolding conclusive-dpll_W-state-def by auto
qed


lemma dpll_W-sound:
  assumes
    rtranclp dpll_W ([], N) (M, N) and
    ∀ S. ¬dpll_W (M, N) S
  shows M ⊨asm N ⟷ satisfiable (set-mset N) (is ?A ⟷ ?B)
proof
  let ?M'= lits-of M
  assume ?A
  then have ?M' ⊨sm N by (simp add: true-annots-true-cls)
  moreover have consistent-interp ?M'
    using rtranclp-dpll_W-inv-starting-from-0[OF assms(1)] by auto
  ultimately show ?B by auto
next
  assume ?B
  show ?A
    proof (rule ccontr)
      assume n: ¬ ?A
      have (∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of-msu N) ∨ (∃ D∈#N. M ⊨as CNot D)
        proof –
          obtain D :: 'a clause where D: D ∈# N and ¬ M ⊨a D
            using n unfolding true-annots-def Ball-def by auto
          then have (∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of D) ∨ M ⊨as CNot D
             unfolding true-annots-def Ball-def CNot-def true-annot-def
             using atm-of-lit-in-atms-of true-annot-iff-marked-or-true-lit true-cls-def by blast
          then show ?thesis
            by (metis Bex-mset-def D atms-of-atms-of-ms-mono mem-set-mset-iff rev-subsetD)
        qed
      moreover {
        assume ∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of-msu N
        then have False using assms(2) decided by fastforce
      }
      moreover {
        assume ∃ D∈#N. M ⊨as CNot D
        then obtain D where DN: D ∈# N and MD: M ⊨as CNot D by auto
        {
          assume ∀ l ∈ set M. ¬ is-marked l
          moreover have dpll_W-all-inv ([], N)
            using assms unfolding all-decomposition-implies-def dpll_W-all-inv-def by auto
          ultimately have unsatisfiable (set-mset N)
            using only-propagated-vars-unsat[of M D set-mset N] DN MD
            rtranclp-dpll_W-all-inv[OF assms(1)] by force
          then have False using ‹?B› by blast
        }
        moreover {
          assume l: ∃ l ∈ set M. is-marked l
          then have False
            using backtrack[of (M, N) - - - D ] DN MD assms(2)
              backtrack-split-some-is-marked-then-snd-has-hd[OF l]
            by (metis backtrack-split-snd-hd-marked fst-conv list.distinct(1) list.sel(1) snd-conv)
        }
        ultimately have False by blast
      }
      ultimately show False by blast
    qed
qed
```

16.3 Termination

```
definition dpll_W-mes M n =
  map (λl. if is-marked l then 2 else (1::nat)) (rev M) @ replicate (n − length M) 3

lemma length-dpll_W-mes:
  assumes length M ≤ n
  shows length (dpll_W-mes M n) = n
  using assms unfolding dpll_W-mes-def by auto

lemma distinctcard-atm-of-lit-of-eq-length:
  assumes no-dup S
  shows card (atm-of ‘ lits-of S) = length S
  using assms by (induct S) (auto simp add: image-image lits-of-def)

lemma dpll_W-card-decrease:
  assumes dpll: dpll_W S S′ and length (trail S′) ≤ card vars
  and length (trail S) ≤ card vars
  shows (dpll_W-mes (trail S′) (card vars), dpll_W-mes (trail S) (card vars))
    ∈ lexn {(a, b). a < b} (card vars)
  using assms
proof (induct rule: dpll_W.induct)
  case (propagate C L S)
  have m: map (λl. if is-marked l then 2 else 1) (rev (trail S))
       @ replicate (card vars − length (trail S)) 3
     = map (λl. if is-marked l then 2 else 1) (rev (trail S)) @ 3
         # replicate (card vars − Suc (length (trail S))) 3
     using propagate.prems[simplified] using Suc-diff-le by fastforce
  then show ?case
    using propagate.prems(1) unfolding dpll_W-mes-def by (fastforce simp add: lexn-conv assms(2))
next
  case (decided S L)
  have m: map (λl. if is-marked l then 2 else 1) (rev (trail S))
      @ replicate (card vars − length (trail S)) 3
    = map (λl. if is-marked l then 2 else 1) (rev (trail S)) @ 3
      # replicate (card vars − Suc (length (trail S))) 3
    using decided.prems[simplified] using Suc-diff-le by fastforce
  then show ?case
    using decided.prems unfolding dpll_W-mes-def by (force simp add: lexn-conv assms(2))
next
  case (backtrack S M' L M D)
  have L: is-marked L using backtrack.hyps(2) by auto
  have S: trail S = M' @ L # M
    using backtrack.hyps(1) backtrack-split-list-eq[of trail S] by auto
  show ?case
    using backtrack.prems L unfolding dpll_W-mes-def S by (fastforce simp add: lexn-conv assms(2))
qed
```

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```
lemma dpll_W-card-decrease':
  assumes dpll: dpll_W S S'
  and atm-incl: atm-of ` lits-of (trail S) ⊆ atms-of-msu (clauses S)
  and no-dup: no-dup (trail S)
  shows (dpll_W-mes (trail S') (card (atms-of-msu (clauses S'))),
          dpll_W-mes (trail S) (card (atms-of-msu (clauses S)))) ∈ lex {(a, b). a < b}
proof −
  have finite (atms-of-msu (clauses S)) unfolding atms-of-ms-def by auto
  then have 1: length (trail S) ≤ card (atms-of-msu (clauses S))
    using distinctcard-atm-of-lit-of-eq-length[OF no-dup] atm-incl card-mono by metis

  moreover
    have no-dup': no-dup (trail S') using dpll dpll_W-distinct-inv no-dup by blast
    have SS': clauses S' = clauses S using dpll by (auto dest!: dpll_W-same-clauses)
    have atm-incl': atm-of ` lits-of (trail S') ⊆ atms-of-msu (clauses S')
      using atm-incl dpll dpll_W-vars-in-snd-inv[OF dpll] by force
    have finite (atms-of-msu (clauses S'))
      unfolding atms-of-ms-def by auto
    then have 2: length (trail S') ≤ card (atms-of-msu (clauses S))
      using distinctcard-atm-of-lit-of-eq-length[OF no-dup'] atm-incl' card-mono SS' by metis

  ultimately have (dpll_W-mes (trail S') (card (atms-of-msu (clauses S))),
      dpll_W-mes (trail S) (card (atms-of-msu (clauses S))))
    ∈ lexn {(a, b). a < b} (card (atms-of-msu (clauses S)))
    using dpll_W-card-decrease[OF assms(1), of atms-of-msu (clauses S)] by blast
  then have (dpll_W-mes (trail S') (card (atms-of-msu (clauses S))),
          dpll_W-mes (trail S) (card (atms-of-msu (clauses S)))) ∈ lex {(a, b). a < b}
    unfolding lex-def by auto
  then show (dpll_W-mes (trail S') (card (atms-of-msu (clauses S'))),
         dpll_W-mes (trail S) (card (atms-of-msu (clauses S)))) ∈ lex {(a, b). a < b}
    using dpll_W-same-clauses[OF assms(1)]  by auto
qed

lemma wf-lexn: wf (lexn {(a, b). (a::nat) < b} (card (atms-of-msu (clauses S))))
proof −
  have m: {(a, b). a < b} = measure id by auto
  show ?thesis apply (rule wf-lexn) unfolding m by auto
qed

lemma dpll_W-wf:
  wf {(S', S). dpll_W-all-inv S ∧ dpll_W S S'}
  apply (rule wf-wf-if-measure'[OF wf-lex-less, of - -
         λS. dpll_W-mes (trail S) (card (atms-of-msu (clauses S)))])
  using dpll_W-card-decrease' by fast


lemma dpll_W-tranclp-star-commute:
  {(S', S). dpll_W-all-inv S ∧ dpll_W S S'}⁺ = {(S', S). dpll_W-all-inv S ∧ tranclp dpll_W S S'}
    (is ?A = ?B)
proof
  { fix S S'
    assume (S, S') ∈ ?A
    then have (S, S') ∈ ?B
      by (induct rule: trancl.induct, auto)
  }
  then show ?A ⊆ ?B by blast
  { fix S S'
    assume (S, S') ∈ ?B
    then have dpll_W⁺⁺ S' S and dpll_W-all-inv S' by auto
    then have (S, S') ∈ ?A
      proof (induct rule: tranclp.induct)
        case r-into-trancl
        then show ?case by (simp-all add: r-into-trancl')
      next
        case (trancl-into-trancl S S' S'')
        then have (S', S) ∈ {a. case a of (S', S) ⇒ dpll_W-all-inv S ∧ dpll_W S S'}⁺ by blast
        moreover have dpll_W-all-inv S'
          using rtranclp-dpll_W-all-inv[OF tranclp-into-rtranclp[OF trancl-into-trancl.hyps(1)]]
          trancl-into-trancl.prems by auto
        ultimately have (S'', S') ∈ {(pa, p). dpll_W-all-inv p ∧ dpll_W p pa}⁺
          using ‹dpll_W-all-inv S'› trancl-into-trancl.hyps(3) by blast
        then show ?case
          using ‹(S', S) ∈ {a. case a of (S', S) ⇒ dpll_W-all-inv S ∧ dpll_W S S'}⁺› by auto
      qed
  }
  then show ?B ⊆ ?A by blast
qed

lemma dpll_W-wf-tranclp: wf {(S', S). dpll_W-all-inv S ∧ dpll_W⁺⁺ S S'}
  unfolding dpll_W-tranclp-star-commute[symmetric] by (simp add: dpll_W-wf wf-trancl)

lemma dpll_W-wf-plus:
  shows wf {(S', ([], N))| S'. dpll_W⁺⁺ ([], N) S'}  (is wf ?P)
  apply (rule wf-subset[OF dpll_W-wf-tranclp, of ?P])
  using assms unfolding dpll_W-all-inv-def by auto
```

16.4 Final States

```
lemma dpll_W-no-more-step-is-a-conclusive-state:
  assumes ∀ S'. ¬dpll_W S S'
  shows conclusive-dpll_W-state S
proof -
  have vars: ∀ s ∈ atms-of-msu (clauses S). s ∈ atm-of ‘ lits-of (trail S)
    proof (rule ccontr)
      assume ¬ (∀ s∈atms-of-msu (clauses S). s ∈ atm-of ‘ lits-of (trail S))
      then obtain L where
```

```
        L-in-atms: L ∈ atms-of-msu (clauses S) and
        L-notin-trail: L ∉ atm-of ‘ lits-of (trail S) by metis
      obtain L' where L': atm-of L' = L by (meson literal.sel(2))
      then have undefined-lit (trail S) L'
        unfolding Marked-Propagated-in-iff-in-lits-of by (metis L-notin-trail atm-of-uminus imageI)
      then show False using dpll_W.decided assms(1) L-in-atms L' by blast
    qed
  show ?thesis
    proof (rule ccontr)
      assume not-final: ¬ ?thesis
      then have
        ¬ trail S ⊨asm clauses S and
        (∃ L∈set (trail S). is-marked L) ∨ (∀ C∈#clauses S. ¬trail S ⊨as CNot C)
        unfolding conclusive-dpll_W-state-def by auto
      moreover {
        assume ∃ L∈set (trail S). is-marked L
        then obtain L M' M where L: backtrack-split (trail S) = (M', L # M)
          using backtrack-split-some-is-marked-then-snd-has-hd by blast
        obtain D where D ∈# clauses S and ¬ trail S ⊨a D
          using ‹¬ trail S ⊨asm clauses S› unfolding true-annots-def by auto
        then have ∀ s∈atms-of-ms {D}. s ∈ atm-of ‘ lits-of (trail S)
          using vars unfolding atms-of-ms-def by auto
        then have trail S ⊨as CNot D
          using all-variables-defined-not-imply-cnot[of D] ‹¬ trail S ⊨a D› by auto
        moreover have is-marked L
          using L by (metis backtrack-split-snd-hd-marked list.distinct(1) list.sel(1) snd-conv)
        ultimately have False
          using assms(1) dpll_W.backtrack L ‹D ∈# clauses S› ‹trail S ⊨as CNot D› by blast
      }
      moreover {
        assume tr: ∀ C∈#clauses S. ¬trail S ⊨as CNot C
        obtain C where C-in-cls: C ∈# clauses S and trC: ¬ trail S ⊨a C
          using ‹¬ trail S ⊨asm clauses S› unfolding true-annots-def by auto
        have ∀ s∈atms-of-ms {C}. s ∈ atm-of ‘ lits-of (trail S)
          using vars ‹C ∈# clauses S› unfolding atms-of-ms-def by auto
        then have trail S ⊨as CNot C
          by (meson C-in-cls tr trC all-variables-defined-not-imply-cnot)
        then have False using tr C-in-cls by auto
      }
      ultimately show False by blast
    qed
qed

lemma dpll_W-conclusive-state-correct:
  assumes dpll_W** ([], N) (M, N) and conclusive-dpll_W-state (M, N)
  shows M ⊨asm N ⟷ satisfiable (set-mset N) (is ?A ⟷ ?B)
proof
  let ?M'= lits-of M
  assume ?A
  then have ?M' ⊨sm N by (simp add: true-annots-true-cls)
  moreover have consistent-interp ?M'
    using rtranclp-dpll_W-inv-starting-from-0[OF assms(1)] by auto
  ultimately show ?B by auto
next
  assume ?B
  show ?A
    proof (rule ccontr)
      assume n: ¬ ?A
      have no-mark: ∀ L∈set M. ¬ is-marked L  ∃ C ∈# N. M ⊨as CNot C
        using n assms(2) unfolding conclusive-$dpll_W$-state-def by auto
      moreover obtain D where DN: D ∈# N and MD: M ⊨as CNot D using no-mark by auto
      ultimately have unsatisfiable (set-mset N)
        using only-propagated-vars-unsat rtranclp-$dpll_W$-all-inv[OF assms(1)]
        unfolding $dpll_W$-all-inv-def by force
      then show False using ‹?B› by blast
    qed
qed
```

16.5 Link with NOT's DPLL

```
interpretation $dpll_W$-$_{NOT}$: dpll-with-backtrack .

lemma state-$eq_{NOT}$-iff-eq[iff, simp]: $dpll_W$-$_{NOT}$.state-$eq_{NOT}$ S T ⟷ S = T
  unfolding $dpll_W$-$_{NOT}$.state-$eq_{NOT}$-def by (cases S, cases T) auto

declare $dpll_W$-$_{NOT}$.state-$simp_{NOT}$[simp del]

lemma $dpll_W$-$dpll_W$-bj:
  assumes inv: $dpll_W$-all-inv S and dpll: $dpll_W$ S T
  shows $dpll_W$-$_{NOT}$.dpll-bj S T
  using dpll inv
  apply (induction rule: $dpll_W$.induct)
     using $dpll_W$-$_{NOT}$.dpll-bj.simps apply fastforce
    using $dpll_W$-$_{NOT}$.bj-$decide_{NOT}$ apply fastforce
  apply (frule $dpll_W$-$_{NOT}$.backtrack.intros[of - -  - - -], simp-all)
  apply (rule $dpll_W$-$_{NOT}$.dpll-bj.bj-backjump)
  apply (rule $dpll_W$-$_{NOT}$.backtrack-is-backjump'',
    simp-all add: $dpll_W$-all-inv-def)
  done

lemma $dpll_W$-bj-dpll:
  assumes inv: $dpll_W$-all-inv S and dpll: $dpll_W$-$_{NOT}$.dpll-bj S T
  shows $dpll_W$ S T
  using dpll
  apply (induction rule: $dpll_W$-$_{NOT}$.dpll-bj.induct)
    apply (elim $dpll_W$-$_{NOT}$.decideE, cases S)
    using decided apply fastforce
   apply (elim $dpll_W$-$_{NOT}$.propagateE, cases S)
   using $dpll_W$.simps apply fastforce
  apply (elim $dpll_W$-$_{NOT}$.backjumpE, cases S)
  by (simp add: $dpll_W$.simps dpll-with-backtrack.backtrack.simps)

lemma rtranclp-$dpll_W$-rtranclp-$dpll_W$-$_{NOT}$:
  assumes $dpll_W^{**}$ S T  and $dpll_W$-all-inv S
  shows $dpll_W$-$_{NOT}$.dpll-$bj^{**}$ S T
  using assms apply (induction)
   apply simp
  by (auto intro:  rtranclp-$dpll_W$-all-inv $dpll_W$-$dpll_W$-bj rtranclp.rtrancl-into-rtrancl)

lemma rtranclp-dpll-rtranclp-$dpll_W$:
  assumes $dpll_W$-$_{NOT}$.dpll-$bj^{**}$ S T  and $dpll_W$-all-inv S
```

shows *dpll*$_W$** *S T*
using *assms* **apply** (*induction*)
 apply *simp*
by (*auto intro*: *dpll*$_W$*-bj-dpll rtranclp.rtrancl-into-rtrancl rtranclp-dpll*$_W$*-all-inv*)

lemma *dpll-conclusive-state-correctness*:
assumes *dpll*$_W$*-*$_{NOT}$*.dpll-bj*** ([], *N*) (*M*, *N*) **and** *conclusive-dpll*$_W$*-state* (*M*, *N*)
shows *M* $\models$*asm N* $\longleftrightarrow$ *satisfiable* (*set-mset N*)
proof −
have *dpll*$_W$*-all-inv* ([], *N*)
  unfolding *dpll*$_W$*-all-inv-def* **by** *auto*
show *?thesis*
  apply (*rule dpll*$_W$*-conclusive-state-correct*)
   apply (*simp add*: ‹*dpll*$_W$*-all-inv* ([], *N*)› *assms*(*1*) *rtranclp-dpll-rtranclp-dpll*$_W$)
  using *assms*(*2*) **by** *simp*
qed

end
theory *CDCL-W-Level*
imports *Partial-Annotated-Clausal-Logic*
begin

16.5.1 Level of literals and clauses

Getting the level of a variable, implies that the list has to be reversed. Here is the funtion after reversing.

fun *get-rev-level* :: (*'v*, *nat*, *'a*) *marked-lits* ⇒ *nat* ⇒ *'v literal* ⇒ *nat* **where**
get-rev-level [] - - = *0* |
get-rev-level (*Marked l level* # *Ls*) *n L* =
  (*if atm-of l* = *atm-of L then level else get-rev-level Ls level L*) |
get-rev-level (*Propagated l* - # *Ls*) *n L* =
  (*if atm-of l* = *atm-of L then n else get-rev-level Ls n L*)

abbreviation *get-level M L* ≡ *get-rev-level* (*rev M*) *0 L*

lemma *get-rev-level-uminus*[*simp*]: *get-rev-level M n*(−*L*) = *get-rev-level M n L*
by (*induct arbitrary*: *n rule*: *get-rev-level.induct*) *auto*

lemma *atm-of-notin-get-rev-level-eq-0*[*simp*]:
assumes *atm-of L* ∉ *atm-of* ‘ *lits-of M*
shows *get-rev-level M n L* = *0*
using *assms* **by** (*induct M arbitrary*: *n rule*: *marked-lit-list-induct*) *auto*

lemma *get-rev-level-ge-0-atm-of-in*:
assumes *get-rev-level M n L* > *n*
shows *atm-of L* ∈ *atm-of* ‘ *lits-of M*
using *assms* **by** (*induct M arbitrary*: *n rule*: *marked-lit-list-induct*) *fastforce*+

In *get-rev-level* (resp. *get-level*), the beginning (resp. the end) can be skipped if the literal is not in the beginning (resp. the end).

lemma *get-rev-level-skip*[*simp*]:
assumes *atm-of L* ∉ *atm-of* ‘ *lits-of M*
shows *get-rev-level* (*M* @ *Marked K i* # *M'*) *n L* = *get-rev-level* (*Marked K i* # *M'*) *i L*
using *assms* **by** (*induct M arbitrary*: *n i rule*: *marked-lit-list-induct*) *auto*

lemma *get-rev-level-notin-end*[*simp*]:
  assumes *atm-of L* ∉ *atm-of* ‘ *lits-of M'*
  shows *get-rev-level* (*M* @ *M'*) *n L* = *get-rev-level M n L*
  using *assms* **by** (*induct M arbitrary*: *n rule*: *marked-lit-list-induct*) *auto*

If the literal is at the beginning, then the end can be skipped

lemma *get-rev-level-skip-end*[*simp*]:
  assumes *atm-of L* ∈ *atm-of* ‘ *lits-of M*
  shows *get-rev-level* (*M* @ *M'*) *n L* = *get-rev-level M n L*
  using *assms* **by** (*induct arbitrary*: *n rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-skip-beginning*:
  assumes *atm-of L'* ≠ *atm-of* (*lit-of K*)
  shows *get-level* (*K* # *M*) *L'* = *get-level M L'*
  using *assms* **by** *auto*

lemma *get-level-skip-beginning-not-marked-rev*:
  assumes *atm-of L* ∉ *atm-of* ‘ *lit-of* ‘(*set S*)
  and ∀ *s*∈*set S*. ¬*is-marked s*
  shows *get-level* (*M* @ *rev S*) *L* = *get-level M L*
  using *assms* **by** (*induction S rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-skip-beginning-not-marked*[*simp*]:
  assumes *atm-of L* ∉ *atm-of* ‘ *lit-of* ‘(*set S*)
  and ∀ *s*∈*set S*. ¬*is-marked s*
  shows *get-level* (*M* @ *S*) *L* = *get-level M L*
  using *get-level-skip-beginning-not-marked-rev*[*of L rev S M*] *assms* **by** *auto*

lemma *get-rev-level-skip-beginning-not-marked*[*simp*]:
  assumes *atm-of L* ∉ *atm-of* ‘ *lit-of* ‘(*set S*)
  and ∀ *s*∈*set S*. ¬*is-marked s*
  shows *get-rev-level* (*rev S* @ *rev M*) *0 L* = *get-level M L*
  using *get-level-skip-beginning-not-marked-rev*[*of L rev S M*] *assms* **by** *auto*

lemma *get-level-skip-in-all-not-marked*:
  fixes *M* :: (*'a*, *nat*, *'b*) *marked-lit list* **and** *L* :: *'a literal*
  assumes ∀ *m*∈*set M*. ¬ *is-marked m*
  and *atm-of L* ∈ *atm-of* ‘ *lit-of* ‘ (*set M*)
  shows *get-rev-level M n L* = *n*
  using *assms* **by** (*induction M rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-skip-all-not-marked*[*simp*]:
  fixes *M*
  defines *M'* ≡ *rev M*
  assumes ∀ *m*∈*set M*. ¬ *is-marked m*
  shows *get-level M L* = *0*
proof −
  have *M*: *M* = *rev M'*
    unfolding *M'-def* **by** *auto*
  show *?thesis*
    using *assms* **unfolding** *M* **by** (*induction M' rule*: *marked-lit-list-induct*) *auto*
qed

abbreviation *MMax M* ≡ *Max* (*set-mset M*)

the {#*0*::*'a*#} is there to ensures that the set is not empty.

definition *get-maximum-level* :: ('*a*, *nat*, '*b*) *marked-lit list* ⇒ '*a literal multiset* ⇒ *nat*
  where
get-maximum-level M D = *MMax* ({#*0*#} + *image-mset* (*get-level M*) *D*)

lemma *get-maximum-level-ge-get-level*:
  L ∈# *D* ⟹ *get-maximum-level M D* ≥ *get-level M L*
  unfolding *get-maximum-level-def* **by** *auto*

lemma *get-maximum-level-empty*[*simp*]:
  get-maximum-level M {#} = *0*
  unfolding *get-maximum-level-def* **by** *auto*

lemma *get-maximum-level-exists-lit-of-max-level*:
  D ≠ {#} ⟹ ∃ *L*∈# *D*. *get-level M L* = *get-maximum-level M D*
  unfolding *get-maximum-level-def*
  apply (*induct D*)
   apply *simp*
  by (*rename-tac D x*, *case-tac D* = {#}) (*auto simp add*: *max-def*)

lemma *get-maximum-level-empty-list*[*simp*]:
  get-maximum-level [] *D* = *0*
  unfolding *get-maximum-level-def* **by** (*simp add*: *image-constant-conv*)

lemma *get-maximum-level-single*[*simp*]:
  get-maximum-level M {#*L*#} = *get-level M L*
  unfolding *get-maximum-level-def* **by** *simp*

lemma *get-maximum-level-plus*:
  get-maximum-level M (*D* + *D'*) = *max* (*get-maximum-level M D*) (*get-maximum-level M D'*)
  by (*induct D*) (*auto simp add*: *get-maximum-level-def*)

lemma *get-maximum-level-exists-lit*:
  assumes *n*: *n* > *0*
  and *max*: *get-maximum-level M D* = *n*
  shows ∃ *L* ∈#*D*. *get-level M L* = *n*
proof −
  have *f*: *finite* (*insert 0* ((λ*L*. *get-level M L*) ' *set-mset D*)) **by** *auto*
  then have *n* ∈ ((λ*L*. *get-level M L*) ' *set-mset D*)
    using *n max Max-in*[*OF f*] **unfolding** *get-maximum-level-def* **by** *simp*
  then show ∃ *L* ∈# *D*. *get-level M L* = *n* **by** *auto*
qed

lemma *get-maximum-level-skip-first*[*simp*]:
  assumes *atm-of L* ∉ *atms-of D*
  shows *get-maximum-level* (*Propagated L C* # *M*) *D* = *get-maximum-level M D*
  using *assms* **unfolding** *get-maximum-level-def atms-of-def*
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
  by (*smt atm-of-in-atm-of-set-in-uminus get-level-skip-beginning image-iff marked-lit.sel*(*2*)
    multiset.map-cong0)

lemma *get-maximum-level-skip-beginning*:
  assumes *DH*: *atms-of D* ⊆ *atm-of* '*lits-of H*
  shows *get-maximum-level* (*c* @ *Marked Kh i* # *H*) *D* = *get-maximum-level H D*
proof −

```
  have (get-rev-level (rev H @ Marked Kh i # rev c) 0) ` set-mset D
     = (get-rev-level (rev H) 0) ` set-mset D
    using DH unfolding atms-of-def
    by (metis (no-types, lifting) get-rev-level-skip-end image-cong image-subset-iff lits-of-rev)+
  then show ?thesis using DH unfolding get-maximum-level-def by auto
qed

lemma get-maximum-level-D-single-propagated:
  get-maximum-level [Propagated x21 x22] D = 0
proof -
  have A: insert 0 ((λL. 0) ` (set-mset D ∩ {L. atm-of x21 = atm-of L})
      ∪ (λL. 0) ` (set-mset D ∩ {L. atm-of x21 ≠ atm-of L})) = {0}
    by auto
  show ?thesis unfolding get-maximum-level-def by (simp add: A)
qed

lemma get-maximum-level-skip-notin:
  assumes D: ∀ L∈#D. atm-of L ∈ atm-of `lits-of M
  shows get-maximum-level M D = get-maximum-level (Propagated x21 x22 # M) D
proof -
  have A: (get-rev-level (rev M @ [Propagated x21 x22]) 0) ` set-mset D
     = (get-rev-level (rev M) 0) ` set-mset D
    using D by (auto intro!: image-cong simp add:  lits-of-def)
  show ?thesis unfolding get-maximum-level-def by (auto simp: A)
qed

lemma get-maximum-level-skip-un-marked-not-present:
  assumes ∀ L∈#D. atm-of L ∈ atm-of ` lits-of aa and
  ∀ m∈set M. ¬ is-marked m
  shows  get-maximum-level aa D = get-maximum-level (M @ aa) D
  using assms by (induction M rule: marked-lit-list-induct)
  (auto intro!: get-maximum-level-skip-notin[of D - @ aa] simp add: image-Un)

fun get-maximum-possible-level:: ('b, nat, 'c) marked-lit list ⇒ nat  where
get-maximum-possible-level [] = 0 |
get-maximum-possible-level (Marked K i # l) = max i (get-maximum-possible-level l) |
get-maximum-possible-level (Propagated - - # l) = get-maximum-possible-level l

lemma get-maximum-possible-level-append[simp]:
  get-maximum-possible-level (M@M')
    = max (get-maximum-possible-level M) (get-maximum-possible-level M')
  by (induct M rule: marked-lit-list-induct) auto

lemma get-maximum-possible-level-rev[simp]:
  get-maximum-possible-level (rev M) = get-maximum-possible-level M
  by (induct M rule: marked-lit-list-induct) auto

lemma get-maximum-possible-level-ge-get-rev-level:
  max (get-maximum-possible-level M) i ≥ get-rev-level M i L
  by (induct M arbitrary: i rule: marked-lit-list-induct) (auto simp add: le-max-iff-disj)

lemma get-maximum-possible-level-ge-get-level[simp]:
  get-maximum-possible-level M ≥ get-level M L
  using get-maximum-possible-level-ge-get-rev-level[of rev - 0] by auto
```

lemma *get-maximum-possible-level-ge-get-maximum-level*[*simp*]:
  get-maximum-possible-level M ≥ *get-maximum-level M D*
  using *get-maximum-level-exists-lit-of-max-level* **unfolding** *Bex-mset-def*
  by (*metis get-maximum-level-empty get-maximum-possible-level-ge-get-level le0*)

fun *get-all-mark-of-propagated* **where**
get-all-mark-of-propagated [] = [] |
get-all-mark-of-propagated (*Marked - - # L*) = *get-all-mark-of-propagated L* |
get-all-mark-of-propagated (*Propagated - mark # L*) = *mark # get-all-mark-of-propagated L*

lemma *get-all-mark-of-propagated-append*[*simp*]:
  get-all-mark-of-propagated (*A* @ *B*) = *get-all-mark-of-propagated A* @ *get-all-mark-of-propagated B*
  by (*induct A rule*: *marked-lit-list-induct*) *auto*

16.5.2 Properties about the levels

fun *get-all-levels-of-marked* :: (*'b*, *'a*, *'c*) *marked-lit list* ⇒ *'a list* **where**
get-all-levels-of-marked [] = [] |
get-all-levels-of-marked (*Marked l level # Ls*) = *level # get-all-levels-of-marked Ls* |
get-all-levels-of-marked (*Propagated - - # Ls*) = *get-all-levels-of-marked Ls*

lemma *get-all-levels-of-marked-nil-iff-not-is-marked*:
  get-all-levels-of-marked xs = [] ⟷ (∀ *x* ∈ *set xs*. ¬*is-marked x*)
  using *assms* **by** (*induction xs rule*: *marked-lit-list-induct*) *auto*

lemma *get-all-levels-of-marked-cons*:
  get-all-levels-of-marked (*a # b*) =
    (*if is-marked a then* [*level-of a*] *else* []) @ *get-all-levels-of-marked b*
  by (*cases a*) *simp-all*

lemma *get-all-levels-of-marked-append*[*simp*]:
  get-all-levels-of-marked (*a* @ *b*) = *get-all-levels-of-marked a* @ *get-all-levels-of-marked b*
  by (*induct a*) (*simp-all add*: *get-all-levels-of-marked-cons*)

lemma *in-get-all-levels-of-marked-iff-decomp*:
  i ∈ *set* (*get-all-levels-of-marked M*) ⟷ (∃ *c K c'*. *M* = *c* @ *Marked K i # c'*) (**is** *?A* ⟷ *?B*)
proof
  assume *?B*
  then show *?A* **by** *auto*
next
  assume *?A*
  then show *?B*
    apply (*induction M rule*: *marked-lit-list-induct*)
      apply *auto*[]
     apply (*metis append-Cons append-Nil get-all-levels-of-marked.simps*(*2*) *set-ConsD*)
    by (*metis append-Cons get-all-levels-of-marked.simps*(*3*))
qed

lemma *get-rev-level-less-max-get-all-levels-of-marked*:
  get-rev-level M n L ≤ *Max* (*set* (*n # get-all-levels-of-marked M*))
  by (*induct M arbitrary*: *n rule*: *get-all-levels-of-marked.induct*)
    (*simp-all add*: *max.coboundedI2*)

lemma *get-rev-level-ge-min-get-all-levels-of-marked*:
  assumes *atm-of L* ∈ *atm-of* ' *lits-of M*
  shows *get-rev-level M n L* ≥ *Min* (*set* (*n # get-all-levels-of-marked M*))

using *assms* **by** (*induct M arbitrary*: *n rule*: *get-all-levels-of-marked.induct*)
(*auto simp add*: *min-le-iff-disj*)

lemma *get-all-levels-of-marked-rev-eq-rev-get-all-levels-of-marked*[*simp*]:
get-all-levels-of-marked (*rev M*) = *rev* (*get-all-levels-of-marked M*)
by (*induct M rule*: *get-all-levels-of-marked.induct*)
(*simp-all add*: *max.coboundedI2*)

lemma *get-maximum-possible-level-max-get-all-levels-of-marked*:
get-maximum-possible-level M = *Max* (*insert 0* (*set* (*get-all-levels-of-marked M*)))
by (*induct M rule*: *marked-lit-list-induct*) (*auto simp*: *insert-commute*)

lemma *get-rev-level-in-levels-of-marked*:
get-rev-level M n L ∈ {*0*, *n*} ∪ *set* (*get-all-levels-of-marked M*)
by (*induction M arbitrary*: *n rule*: *marked-lit-list-induct*) (*force simp add*: *atm-of-eq-atm-of*)+

lemma *get-rev-level-in-atms-in-levels-of-marked*:
atm-of L ∈ *atm-of* ' (*lits-of M*) ⟹ *get-rev-level M n L* ∈ {*n*} ∪ *set* (*get-all-levels-of-marked M*)
by (*induction M arbitrary*: *n rule*: *marked-lit-list-induct*) (*auto simp add*: *atm-of-eq-atm-of*)

lemma *get-all-levels-of-marked-no-marked*:
(∀ *l*∈*set Ls*. ¬ *is-marked l*) ⟷ *get-all-levels-of-marked Ls* = []
by (*induction Ls*) (*auto simp add*: *get-all-levels-of-marked-cons*)

lemma *get-level-in-levels-of-marked*:
get-level M L ∈ {*0*} ∪ *set* (*get-all-levels-of-marked M*)
using *get-rev-level-in-levels-of-marked*[*of rev M 0 L*] **by** *auto*

The zero is here to avoid empty-list issues with *last*:

lemma *get-level-get-rev-level-get-all-levels-of-marked*:
assumes *atm-of L* ∉ *atm-of* ' (*lits-of M*)
shows *get-level* (*K* @ *M*) *L* = *get-rev-level* (*rev K*) (*last* (*0* # *get-all-levels-of-marked* (*rev M*)))
L
using *assms*
proof (*induct M arbitrary*: *K*)
case *Nil*
then show *?case* **by** *auto*
next
case (*Cons a M*)
then have *H*: ⋀*K*. *get-level* (*K* @ *M*) *L*
= *get-rev-level* (*rev K*) (*last* (*0* # *get-all-levels-of-marked* (*rev M*))) *L*
by *auto*
have *get-level* ((*K* @ [*a*])@ *M*) *L*
= *get-rev-level* (*a* # *rev K*) (*last* (*0* # *get-all-levels-of-marked* (*rev M*))) *L*
using *H*[*of K* @ [*a*]] **by** *simp*
then show *?case* **using** *Cons*(*2*) **by** (*cases a*) *auto*
qed

lemma *get-rev-level-can-skip-correctly-ordered*:
assumes
no-dup M **and**
atm-of L ∉ *atm-of* ' (*lits-of M*) **and**
get-all-levels-of-marked M = *rev* [*Suc 0*..<*Suc* (*length* (*get-all-levels-of-marked M*))]
shows *get-rev-level* (*rev M* @ *K*) *0 L* = *get-rev-level K* (*length* (*get-all-levels-of-marked M*)) *L*

```
  using assms
proof (induct M arbitrary: K rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L' i M K)
  then have
    i: i = Suc (length (get-all-levels-of-marked M)) and
    get-all-levels-of-marked M = rev [Suc 0..<Suc (length (get-all-levels-of-marked M))]
    by auto
  then have get-rev-level (rev M @ (Marked L' i # K)) 0 L
    = get-rev-level (Marked L' i # K) (length (get-all-levels-of-marked M)) L
    using marked by auto
  then show ?case using marked unfolding i by auto
next
  case (proped L' D M K)
  then have get-all-levels-of-marked M = rev [Suc 0..<Suc (length (get-all-levels-of-marked M))]
    by auto
  then have get-rev-level (rev M @ (Propagated L' D # K)) 0 L
    = get-rev-level (Propagated L' D # K) (length (get-all-levels-of-marked M)) L
    using proped by auto
  then show ?case using proped by auto
qed

lemma get-level-skip-beginning-hd-get-all-levels-of-marked:
  assumes atm-of L ∉ atm-of ` lits-of S
  and get-all-levels-of-marked S ≠ []
  shows get-level (M@ S) L = get-rev-level (rev M) (hd (get-all-levels-of-marked S)) L
  using assms
proof (induction S arbitrary: M rule: marked-lit-list-induct)
  case nil
  then show ?case by (auto simp add: lits-of-def)
next
  case (marked K m) note notin = this(2)
  then show ?case by (auto simp add: lits-of-def)
next
  case (proped L l) note IH = this(1) and L = this(2) and neq = this(3)
  show ?case using IH[of M@[Propagated L l]] L neq by (auto simp add: atm-of-eq-atm-of)
qed

end
theory CDCL-W
imports Partial-Annotated-Clausal-Logic List-More CDCL-W-Level Wellfounded-More

begin
declare set-mset-minus-replicate-mset[simp]

lemma Bex-set-set-Bex-set[iff]: (∃x∈set-mset C. P) ⟷ (∃x∈#C. P)
  by auto
```

17 Weidenbach's CDCL

```
sledgehammer-params[verbose, e spass cvc4 z3 verit]
declare upt.simps(2)[simp del]
```

17.1 The State

locale $state_W$ =
fixes
trail :: *'st* ⇒ (*'v*, *nat*, *'v clause*) *marked-lits* **and**
init-clss :: *'st* ⇒ *'v clauses* **and**
learned-clss :: *'st* ⇒ *'v clauses* **and**
backtrack-lvl :: *'st* ⇒ *nat* **and**
conflicting :: *'st* ⇒*'v clause option* **and**

cons-trail :: (*'v*, *nat*, *'v clause*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒*'st* **and**
add-init-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
add-learned-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
remove-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
update-backtrack-lvl :: *nat* ⇒ *'st* ⇒ *'st* **and**
update-conflicting :: *'v clause option* ⇒ *'st* ⇒ *'st* **and**

init-state :: *'v clauses* ⇒ *'st* **and**
restart-state :: *'st* ⇒ *'st*
assumes
trail-cons-trail[*simp*]:
⋀*L st*. *undefined-lit* (*trail st*) (*lit-of L*) ⟹ *trail* (*cons-trail L st*) = *L* # *trail st* **and**
trail-tl-trail[*simp*]: ⋀*st*. *trail* (*tl-trail st*) = *tl* (*trail st*) **and**
trail-add-init-cls[*simp*]:
⋀*st C*. *no-dup* (*trail st*) ⟹ *trail* (*add-init-cls C st*) = *trail st* **and**
trail-add-learned-cls[*simp*]:
⋀*C st*. *no-dup* (*trail st*) ⟹ *trail* (*add-learned-cls C st*) = *trail st* **and**
trail-remove-cls[*simp*]:
⋀*C st*. *trail* (*remove-cls C st*) = *trail st* **and**
trail-update-backtrack-lvl[*simp*]: ⋀*st C*. *trail* (*update-backtrack-lvl C st*) = *trail st* **and**
trail-update-conflicting[*simp*]: ⋀*C st*. *trail* (*update-conflicting C st*) = *trail st* **and**

init-clss-cons-trail[*simp*]:
⋀*M st*. *undefined-lit* (*trail st*) (*lit-of M*)⟹ *init-clss* (*cons-trail M st*) = *init-clss st*
and
init-clss-tl-trail[*simp*]:
⋀*st*. *init-clss* (*tl-trail st*) = *init-clss st* **and**
init-clss-add-init-cls[*simp*]:
⋀*st C*. *no-dup* (*trail st*) ⟹ *init-clss* (*add-init-cls C st*) = {#*C*#} + *init-clss st* **and**
init-clss-add-learned-cls[*simp*]:
⋀*C st*. *no-dup* (*trail st*) ⟹ *init-clss* (*add-learned-cls C st*) = *init-clss st* **and**
init-clss-remove-cls[*simp*]:
⋀*C st*. *init-clss* (*remove-cls C st*) = *remove-mset C* (*init-clss st*) **and**
init-clss-update-backtrack-lvl[*simp*]:
⋀*st C*. *init-clss* (*update-backtrack-lvl C st*) = *init-clss st* **and**
init-clss-update-conflicting[*simp*]:
⋀*C st*. *init-clss* (*update-conflicting C st*) = *init-clss st* **and**

learned-clss-cons-trail[*simp*]:
⋀*M st*. *undefined-lit* (*trail st*) (*lit-of M*) ⟹
learned-clss (*cons-trail M st*) = *learned-clss st* **and**
learned-clss-tl-trail[*simp*]:
⋀*st*. *learned-clss* (*tl-trail st*) = *learned-clss st* **and**
learned-clss-add-init-cls[*simp*]:
⋀*st C*. *no-dup* (*trail st*) ⟹ *learned-clss* (*add-init-cls C st*) = *learned-clss st* **and**

learned-clss-add-learned-cls[*simp*]:
  $\bigwedge$*C st. no-dup* (*trail st*) $\Longrightarrow$ *learned-clss* (*add-learned-cls C st*) = {#*C*#} + *learned-clss st*
  and
learned-clss-remove-cls[*simp*]:
  $\bigwedge$*C st. learned-clss* (*remove-cls C st*) = *remove-mset C* (*learned-clss st*) **and**
learned-clss-update-backtrack-lvl[*simp*]:
  $\bigwedge$*st C. learned-clss* (*update-backtrack-lvl C st*) = *learned-clss st* **and**
learned-clss-update-conflicting[*simp*]:
  $\bigwedge$*C st. learned-clss* (*update-conflicting C st*) = *learned-clss st* **and**

backtrack-lvl-cons-trail[*simp*]:
  $\bigwedge$*M st. undefined-lit* (*trail st*) (*lit-of M*) $\Longrightarrow$
    backtrack-lvl (*cons-trail M st*) = *backtrack-lvl st* **and**
backtrack-lvl-tl-trail[*simp*]:
  $\bigwedge$*st. backtrack-lvl* (*tl-trail st*) = *backtrack-lvl st* **and**
backtrack-lvl-add-init-cls[*simp*]:
  $\bigwedge$*st C. no-dup* (*trail st*) $\Longrightarrow$ *backtrack-lvl* (*add-init-cls C st*) = *backtrack-lvl st* **and**
backtrack-lvl-add-learned-cls[*simp*]:
  $\bigwedge$*C st. no-dup* (*trail st*) $\Longrightarrow$ *backtrack-lvl* (*add-learned-cls C st*) = *backtrack-lvl st* **and**
backtrack-lvl-remove-cls[*simp*]:
  $\bigwedge$*C st. backtrack-lvl* (*remove-cls C st*) = *backtrack-lvl st* **and**
backtrack-lvl-update-backtrack-lvl[*simp*]:
  $\bigwedge$*st k. backtrack-lvl* (*update-backtrack-lvl k st*) = *k* **and**
backtrack-lvl-update-conflicting[*simp*]:
  $\bigwedge$*C st. backtrack-lvl* (*update-conflicting C st*) = *backtrack-lvl st* **and**

conflicting-cons-trail[*simp*]:
  $\bigwedge$*M st. undefined-lit* (*trail st*) (*lit-of M*) $\Longrightarrow$
    conflicting (*cons-trail M st*) = *conflicting st* **and**
conflicting-tl-trail[*simp*]:
  $\bigwedge$*st. conflicting* (*tl-trail st*) = *conflicting st* **and**
conflicting-add-init-cls[*simp*]:
  $\bigwedge$*st C. no-dup* (*trail st*) $\Longrightarrow$ *conflicting* (*add-init-cls C st*) = *conflicting st* **and**
conflicting-add-learned-cls[*simp*]:
  $\bigwedge$*C st. no-dup* (*trail st*) $\Longrightarrow$ *conflicting* (*add-learned-cls C st*) = *conflicting st* **and**
conflicting-remove-cls[*simp*]:
  $\bigwedge$*C st. conflicting* (*remove-cls C st*) = *conflicting st* **and**
conflicting-update-backtrack-lvl[*simp*]:
  $\bigwedge$*st C. conflicting* (*update-backtrack-lvl C st*) = *conflicting st* **and**
conflicting-update-conflicting[*simp*]:
  $\bigwedge$*C st. conflicting* (*update-conflicting C st*) = *C* **and**

init-state-trail[*simp*]: $\bigwedge$*N. trail* (*init-state N*) = [] **and**
init-state-clss[*simp*]: $\bigwedge$*N. init-clss* (*init-state N*) = *N* **and**
init-state-learned-clss[*simp*]: $\bigwedge$*N. learned-clss* (*init-state N*) = {#} **and**
init-state-backtrack-lvl[*simp*]: $\bigwedge$*N. backtrack-lvl* (*init-state N*) = *0* **and**
init-state-conflicting[*simp*]: $\bigwedge$*N. conflicting* (*init-state N*) = *None* **and**

trail-restart-state[*simp*]: *trail* (*restart-state S*) = [] **and**
init-clss-restart-state[*simp*]: *init-clss* (*restart-state S*) = *init-clss S* **and**
learned-clss-restart-state[*intro*]: *learned-clss* (*restart-state S*) ⊆# *learned-clss S* **and**
backtrack-lvl-restart-state[*simp*]: *backtrack-lvl* (*restart-state S*) = *0* **and**
conflicting-restart-state[*simp*]: *conflicting* (*restart-state S*) = *None*
begin

definition *clauses* :: *'st* ⇒ *'v clauses* **where**
clauses S = *init-clss S* + *learned-clss S*

lemma
  shows
    clauses-cons-trail[*simp*]:
      undefined-lit (*trail S*) (*lit-of M*) ⟹*clauses* (*cons-trail M S*) = *clauses S* **and**

    clss-tl-trail[*simp*]: *clauses* (*tl-trail S*) = *clauses S* **and**
    clauses-add-learned-cls-unfolded:
      no-dup (*trail S*) ⟹ *clauses* (*add-learned-cls U S*) = {#*U*#} + *learned-clss S* + *init-clss S*
      and
    clauses-add-init-cls[*simp*]:
      no-dup (*trail S*) ⟹ *clauses* (*add-init-cls N S*) = {#*N*#} + *init-clss S* + *learned-clss S* **and**
    clauses-update-backtrack-lvl[*simp*]: *clauses* (*update-backtrack-lvl k S*) = *clauses S* **and**
    clauses-update-conflicting[*simp*]: *clauses* (*update-conflicting D S*) = *clauses S* **and**
    clauses-remove-cls[*simp*]:
      clauses (*remove-cls C S*) = *clauses S* − *replicate-mset* (*count* (*clauses S*) *C*) *C* **and**
    clauses-add-learned-cls[*simp*]:
      no-dup (*trail S*) ⟹ *clauses* (*add-learned-cls C S*) = {#*C*#} + *clauses S* **and**
    clauses-restart[*simp*]: *clauses* (*restart-state S*) ⊆# *clauses S* **and**
    clauses-init-state[*simp*]: ⋀*N*. *clauses* (*init-state N*) = *N*
    prefer *9* **using** *clauses-def learned-clss-restart-state* **apply** *fastforce*
    by (*auto simp*: *ac-simps replicate-mset-plus clauses-def intro*: *multiset-eqI*)

abbreviation *state* :: *'st* ⇒ (*'v*, *nat*, *'v clause*) *marked-lit list* × *'v clauses* × *'v clauses*
  × *nat* × *'v clause option* **where**
state S ≡ (*trail S*, *init-clss S*, *learned-clss S*, *backtrack-lvl S*, *conflicting S*)

abbreviation *incr-lvl* :: *'st* ⇒ *'st* **where**
incr-lvl S ≡ *update-backtrack-lvl* (*backtrack-lvl S* + *1*) *S*

definition *state-eq* :: *'st* ⇒ *'st* ⇒ *bool* (**infix** ∼ *50*) **where**
S ∼ *T* ⟷ *state S* = *state T*

lemma *state-eq-ref*[*simp*, *intro*]:
  S ∼ *S*
  unfolding *state-eq-def* **by** *auto*

lemma *state-eq-sym*:
  S ∼ *T* ⟷ *T* ∼ *S*
  unfolding *state-eq-def* **by** *auto*

lemma *state-eq-trans*:
  S ∼ *T* ⟹ *T* ∼ *U* ⟹ *S* ∼ *U*
  unfolding *state-eq-def* **by** *auto*

lemma
  shows
    state-eq-trail: *S* ∼ *T* ⟹ *trail S* = *trail T* **and**
    state-eq-init-clss: *S* ∼ *T* ⟹ *init-clss S* = *init-clss T* **and**
    state-eq-learned-clss: *S* ∼ *T* ⟹ *learned-clss S* = *learned-clss T* **and**
    state-eq-backtrack-lvl: *S* ∼ *T* ⟹ *backtrack-lvl S* = *backtrack-lvl T* **and**
    state-eq-conflicting: *S* ∼ *T* ⟹ *conflicting S* = *conflicting T* **and**
    state-eq-clauses: *S* ∼ *T* ⟹ *clauses S* = *clauses T* **and**

  state-eq-undefined-lit: $S \sim T \Longrightarrow$ *undefined-lit* (*trail S*) $L =$ *undefined-lit* (*trail T*) L
 unfolding *state-eq-def clauses-def* **by** *auto*

lemmas *state-simp*[*simp*] = *state-eq-trail state-eq-init-clss state-eq-learned-clss*
 state-eq-backtrack-lvl state-eq-conflicting state-eq-clauses state-eq-undefined-lit

lemma *atms-of-ms-learned-clss-restart-state-in-atms-of-ms-learned-clssI*[*intro*]:
 $x \in$ *atms-of-msu* (*learned-clss* (*restart-state S*)) $\Longrightarrow x \in$ *atms-of-msu* (*learned-clss S*)
 by (*meson atms-of-ms-mono learned-clss-restart-state set-mset-mono subsetCE*)

function *reduce-trail-to* :: *'a list* $\Rightarrow$ *'st* $\Rightarrow$ *'st* **where**
reduce-trail-to F S =
 (*if length* (*trail S*) = *length F* $\vee$ *trail S* = [] *then S else reduce-trail-to F* (*tl-trail S*))
by *fast+*
termination
 by (*relation measure* (λ(-, *S*). *length* (*trail S*))) *simp-all*

declare *reduce-trail-to.simps*[*simp del*]

lemma
 shows
 reduce-trail-to-nil[*simp*]: *trail S* = [] $\Longrightarrow$ *reduce-trail-to F S = S* **and**
 reduce-trail-to-eq-length[*simp*]: *length* (*trail S*) = *length F* $\Longrightarrow$ *reduce-trail-to F S = S*
 by (*auto simp*: *reduce-trail-to.simps*)

lemma *reduce-trail-to-length-ne*:
 length (*trail S*) $\neq$ *length F* $\Longrightarrow$ *trail S* $\neq$ [] $\Longrightarrow$
  reduce-trail-to F S = reduce-trail-to F (*tl-trail S*)
 by (*auto simp*: *reduce-trail-to.simps*)

lemma *trail-reduce-trail-to-length-le*:
 assumes *length F* > *length* (*trail S*)
 shows *trail* (*reduce-trail-to F S*) = []
 using *assms* **apply** (*induction F S rule*: *reduce-trail-to.induct*)
 by (*metis* (*no-types*, *hide-lams*) *length-tl less-imp-diff-less less-irrefl trail-tl-trail*
  reduce-trail-to.simps)

lemma *trail-reduce-trail-to-nil*[*simp*]:
 trail (*reduce-trail-to* [] *S*) = []
 apply (*induction* []:: (*'v*, *nat*, *'v clause*) *marked-lits S rule*: *reduce-trail-to.induct*)
 by (*metis length-0-conv reduce-trail-to-length-ne reduce-trail-to-nil*)

lemma *clauses-reduce-trail-to-nil*:
 clauses (*reduce-trail-to* [] *S*) = *clauses S*
proof (*induction* [] *S rule*: *reduce-trail-to.induct*)
 case (*1 Sa*)
 then have *clauses* (*reduce-trail-to* ([]::*'a list*) (*tl-trail Sa*)) = *clauses* (*tl-trail Sa*)
  $\vee$ *trail Sa* = []
  by *fastforce*
 then show *clauses* (*reduce-trail-to* ([]::*'a list*) *Sa*) = *clauses Sa*
  by (*metis* (*no-types*) *length-0-conv reduce-trail-to-eq-length clss-tl-trail*
   reduce-trail-to-length-ne)
qed

lemma *reduce-trail-to-skip-beginning*:

assumes *trail* $S = F'$ @ F
shows *trail* (*reduce-trail-to* F S) = F
using *assms* **by** (*induction* F' *arbitrary*: S) (*auto simp*: *reduce-trail-to-length-ne*)

lemma *clauses-reduce-trail-to*[*simp*]:
clauses (*reduce-trail-to* F S) = *clauses* S
apply (*induction* F S *rule*: *reduce-trail-to.induct*)
by (*metis clss-tl-trail reduce-trail-to.simps*)

lemma *conflicting-update-trial*[*simp*]:
conflicting (*reduce-trail-to* F S) = *conflicting* S
apply (*induction* F S *rule*: *reduce-trail-to.induct*)
by (*metis conflicting-tl-trail reduce-trail-to.simps*)

lemma *backtrack-lvl-update-trial*[*simp*]:
backtrack-lvl (*reduce-trail-to* F S) = *backtrack-lvl* S
apply (*induction* F S *rule*: *reduce-trail-to.induct*)
by (*metis backtrack-lvl-tl-trail reduce-trail-to.simps*)

lemma *init-clss-update-trial*[*simp*]:
init-clss (*reduce-trail-to* F S) = *init-clss* S
apply (*induction* F S *rule*: *reduce-trail-to.induct*)
by (*metis init-clss-tl-trail reduce-trail-to.simps*)

lemma *learned-clss-update-trial*[*simp*]:
learned-clss (*reduce-trail-to* F S) = *learned-clss* S
apply (*induction* F S *rule*: *reduce-trail-to.induct*)
by (*metis learned-clss-tl-trail reduce-trail-to.simps*)

lemma *trail-eq-reduce-trail-to-eq*:
trail S = *trail* $T \Longrightarrow$ *trail* (*reduce-trail-to* F S) = *trail* (*reduce-trail-to* F T)
apply (*induction* F S *arbitrary*: T *rule*: *reduce-trail-to.induct*)
by (*metis trail-tl-trail reduce-trail-to.simps*)

lemma *reduce-trail-to-state-eq*$_{NOT}$*-compatible*:
assumes ST: $S \sim T$
shows *reduce-trail-to* F $S \sim$ *reduce-trail-to* F T
proof –
have *trail* (*reduce-trail-to* F S) = *trail* (*reduce-trail-to* F T)
using *trail-eq-reduce-trail-to-eq*[*of* S T F] ST **by** *auto*
then show *?thesis* **using** ST **by** (*auto simp del*: *state-simp simp*: *state-eq-def*)
qed

lemma *reduce-trail-to-trail-tl-trail-decomp*[*simp*]:
trail $S = F'$ @ *Marked* K d # $F \Longrightarrow$ (*trail* (*reduce-trail-to* F S)) = F
apply (*rule reduce-trail-to-skip-beginning*[*of* - F' @ *Marked* K d # []])
by (*cases* F') (*auto simp add*:*tl-append reduce-trail-to-skip-beginning*)

lemma *reduce-trail-to-add-learned-cls*[*simp*]:
no-dup (*trail* S) $\Longrightarrow$
trail (*reduce-trail-to* F (*add-learned-cls* C S)) = *trail* (*reduce-trail-to* F S)
by (*rule trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-add-init-cls*[*simp*]:
no-dup (*trail* S) $\Longrightarrow$

```
    trail (reduce-trail-to F (add-init-cls C S)) = trail (reduce-trail-to F S)
  by (rule trail-eq-reduce-trail-to-eq) auto

lemma reduce-trail-to-remove-learned-cls[simp]:
  trail (reduce-trail-to F (remove-cls C S)) = trail (reduce-trail-to F S)
  by (rule trail-eq-reduce-trail-to-eq) auto

lemma reduce-trail-to-update-conflicting[simp]:
  trail (reduce-trail-to F (update-conflicting C S)) = trail (reduce-trail-to F S)
  by (rule trail-eq-reduce-trail-to-eq) auto

lemma reduce-trail-to-update-backtrack-lvl[simp]:
  trail (reduce-trail-to F (update-backtrack-lvl C S)) = trail (reduce-trail-to F S)
  by (rule trail-eq-reduce-trail-to-eq) auto

lemma in-get-all-marked-decomposition-marked-or-empty:
  assumes (a, b) ∈ set (get-all-marked-decomposition M)
  shows a = [] ∨ (is-marked (hd a))
  using assms
proof (induct M arbitrary: a b)
  case Nil then show ?case by simp
next
  case (Cons m M)
  show ?case
    proof (cases m)
      case (Marked l mark)
      then show ?thesis using Cons by auto
    next
      case (Propagated l mark)
      then show ?thesis using Cons by (cases get-all-marked-decomposition M) force+
    qed
qed

lemma in-get-all-marked-decomposition-trail-update-trail[simp]:
  assumes  H: (L # M1, M2) ∈ set (get-all-marked-decomposition (trail S))
  shows trail (reduce-trail-to M1 S) = M1
proof −
  obtain K mark where
    L: L = Marked K mark
    using H by (cases L) (auto dest!: in-get-all-marked-decomposition-marked-or-empty)
  obtain c where
    tr-S: trail S = c @ M2 @ L # M1
    using H by auto
  show ?thesis
    by (rule reduce-trail-to-trail-tl-trail-decomp[of - c @ M2 K mark])
     (auto simp: tr-S L)
qed

fun append-trail where
append-trail [] S = S |
append-trail (L # M) S = append-trail M (cons-trail L S)

lemma trail-append-trail:
  no-dup (M @ trail S) ⟹ trail (append-trail M S) = rev M @ trail S
  by (induction M arbitrary: S) (auto simp: defined-lit-map)
```

lemma *init-clss-append-trail*:
  no-dup (*M* @ *trail S*) $\Longrightarrow$ *init-clss* (*append-trail M S*) = *init-clss S*
  by (*induction M arbitrary*: *S*) (*auto simp*: *defined-lit-map*)

lemma *learned-clss-append-trail*:
  no-dup (*M* @ *trail S*) $\Longrightarrow$ *learned-clss* (*append-trail M S*) = *learned-clss S*
  by (*induction M arbitrary*: *S*) (*auto simp*: *defined-lit-map*)

lemma *conflicting-append-trail*:
  no-dup (*M* @ *trail S*) $\Longrightarrow$ *conflicting* (*append-trail M S*) = *conflicting S*
  by (*induction M arbitrary*: *S*) (*auto simp*: *defined-lit-map*)

lemma *backtrack-lvl-append-trail*:
  no-dup (*M* @ *trail S*) $\Longrightarrow$ *backtrack-lvl* (*append-trail M S*) = *backtrack-lvl S*
  by (*induction M arbitrary*: *S*) (*auto simp*: *defined-lit-map*)

lemma *clauses-append-trail*:
  no-dup (*M* @ *trail S*) $\Longrightarrow$ *clauses* (*append-trail M S*) = *clauses S*
  by (*induction M arbitrary*: *S*) (*auto simp*: *defined-lit-map*)

lemmas *state-access-simp* =
  trail-append-trail init-clss-append-trail learned-clss-append-trail backtrack-lvl-append-trail
  clauses-append-trail conflicting-append-trail

This function is useful for proofs to speak of a global trail change, but is a bad for programs and code in general.

fun *delete-trail-and-rebuild* **where**
delete-trail-and-rebuild M S = *append-trail* (*rev M*) (*reduce-trail-to* ([]:: *'v list*) *S*)

end

17.2 Special Instantiation: using Triples as State

17.3 CDCL Rules

Because of the strategy we will later use, we distinguish propagate, conflict from the other rules

locale
  $cdcl_W$ =
   $state_W$ *trail init-clss learned-clss backtrack-lvl conflicting cons-trail tl-trail add-init-cls*
   add-learned-cls remove-cls update-backtrack-lvl update-conflicting init-state
   restart-state
  for
    trail :: *'st* ⇒ (*'v*, *nat*, *'v clause*) *marked-lits* **and**
    init-clss :: *'st* ⇒ *'v clauses* **and**
    learned-clss :: *'st* ⇒ *'v clauses* **and**
    backtrack-lvl :: *'st* ⇒ *nat* **and**
    conflicting :: *'st* ⇒*'v clause option* **and**

    cons-trail :: (*'v*, *nat*, *'v clause*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
    tl-trail :: *'st* ⇒ *'st* **and**
    add-init-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    add-learned-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    remove-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
    update-backtrack-lvl :: *nat* ⇒ *'st* ⇒ *'st* **and**

```
  update-conflicting :: 'v clause option ⇒ 'st ⇒ 'st and

  init-state :: 'v clauses ⇒ 'st and
  restart-state :: 'st ⇒ 'st
begin

inductive propagate :: 'st ⇒ 'st ⇒ bool where
propagate-rule[intro]:
 state S = (M, N, U, k, None) ⟹ C + {#L#} ∈# clauses S ⟹ M ⊨as CNot C
 ⟹ undefined-lit (trail S) L
 ⟹ T ∼ cons-trail (Propagated L (C + {#L#})) S
 ⟹ propagate S T
inductive-cases propagateE[elim]: propagate S T
thm propagateE

inductive conflict :: 'st ⇒ 'st ⇒ bool where
conflict-rule[intro]: state S = (M, N, U, k, None) ⟹ D ∈# clauses S ⟹ M ⊨as CNot D
 ⟹ T ∼ update-conflicting (Some D) S
 ⟹ conflict S T

inductive-cases conflictE[elim]: conflict S S'

inductive backtrack :: 'st ⇒ 'st ⇒ bool where
backtrack-rule[intro]: state S = (M, N, U, k, Some (D + {#L#}))
 ⟹ (Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition M)
 ⟹ get-level M L = k
 ⟹ get-level M L = get-maximum-level M (D+{#L#})
 ⟹ get-maximum-level M D = i
 ⟹ T ∼ cons-trail (Propagated L (D+{#L#}))
          (reduce-trail-to M1
            (add-learned-cls (D + {#L#})
              (update-backtrack-lvl i
                (update-conflicting None S))))
 ⟹ backtrack S T
inductive-cases backtrackE[elim]: backtrack S S'
thm backtrackE

inductive decide :: 'st ⇒ 'st ⇒ bool where
decide-rule[intro]: state S = (M, N, U, k, None)
⟹ undefined-lit M L ⟹ atm-of L ∈ atms-of-msu (init-clss S)
⟹ T ∼ cons-trail (Marked L (k+1)) (incr-lvl S)
⟹ decide S T
inductive-cases decideE[elim]: decide S S'
thm decideE

inductive skip :: 'st ⇒ 'st ⇒ bool where
skip-rule[intro]: state S = (Propagated L C' # M, N, U, k, Some D) ⟹ −L ∉# D ⟹ D ≠ {#}
 ⟹ T ∼ tl-trail S
 ⟹ skip S T
inductive-cases skipE[elim]: skip S S'
thm skipE
```

get-maximum-level (*Propagated L* (*C* + {#*L*#}) # *M*) *D* = *k* ∨ *k* = *0* is equivalent to *get-maximum-level* (*Propagated L* (*C* + {#*L*#}) # *M*) *D* = *k*

```
inductive resolve :: 'st ⇒ 'st ⇒ bool where
```

resolve-rule[*intro*]:
  state S = (*Propagated* L (C + {#L#}) # M, N, U, k, *Some* (D + {#−L#}))
  ⟹ *get-maximum-level* (*Propagated* L (C + {#L#}) # M) D = k
  ⟹ T ∼ *update-conflicting* (*Some* (D #∪ C)) (*tl-trail* S)
  ⟹ *resolve* S T
inductive-cases *resolveE*[*elim*]: *resolve* S S'
thm *resolveE*

inductive *restart* :: *'st* ⇒ *'st* ⇒ *bool* **where**
restart: *state* S = (M, N, U, k, *None*) ⟹ ¬M ⊨*asm clauses* S
⟹ T ∼ *restart-state* S
⟹ *restart* S T
inductive-cases *restartE*[*elim*]: *restart* S T
thm *restartE*

We add the condition C ∉# *init-clss* S, to maintain consistency even without the strategy.

inductive *forget* :: *'st* ⇒ *'st* ⇒ *bool* **where**
forget-rule: *state* S = (M, N, {#C#} + U, k, *None*)
  ⟹ ¬M ⊨*asm clauses* S
  ⟹ C ∉ *set* (*get-all-mark-of-propagated* (*trail* S))
  ⟹ C ∉# *init-clss* S
  ⟹ C ∈# *learned-clss* S
  ⟹ T ∼ *remove-cls* C S
  ⟹ *forget* S T
inductive-cases *forgetE*[*elim*]: *forget* S T

inductive $cdcl_W$*-rf* :: *'st* ⇒ *'st* ⇒ *bool* **for** S :: *'st* **where**
restart: *restart* S T ⟹ $cdcl_W$*-rf* S T |
forget: *forget* S T ⟹ $cdcl_W$*-rf* S T

inductive $cdcl_W$*-bj* :: *'st* ⇒ *'st* ⇒ *bool* **where**
skip[*intro*]: *skip* S S' ⟹ $cdcl_W$*-bj* S S' |
resolve[*intro*]: *resolve* S S' ⟹ $cdcl_W$*-bj* S S' |
backtrack[*intro*]: *backtrack* S S' ⟹ $cdcl_W$*-bj* S S'

inductive-cases $cdcl_W$*-bjE*: $cdcl_W$*-bj* S T

inductive $cdcl_W$*-o*:: *'st* ⇒ *'st* ⇒ *bool* **for** S :: *'st* **where**
decide[*intro*]: *decide* S S' ⟹ $cdcl_W$*-o* S S' |
bj[*intro*]: $cdcl_W$*-bj* S S' ⟹ $cdcl_W$*-o* S S'

inductive $cdcl_W$ :: *'st* ⇒ *'st* ⇒ *bool* **for** S :: *'st* **where**
propagate: *propagate* S S' ⟹ $cdcl_W$ S S' |
conflict: *conflict* S S' ⟹ $cdcl_W$ S S' |
other: $cdcl_W$*-o* S S' ⟹ $cdcl_W$ S S'|
rf: $cdcl_W$*-rf* S S' ⟹ $cdcl_W$ S S'

lemma *rtranclp-propagate-is-rtranclp-*$cdcl_W$:
  propagate** S S' ⟹ $cdcl_W{}^{**}$ S S'
  by (*induction rule*: *rtranclp-induct*) (*fastforce dest*!: *propagate*)+

lemma $cdcl_W$*-all-rules-induct*[*consumes 1*, *case-names propagate conflict forget restart decide skip resolve backtrack*]:
  fixes S :: *'st*
  assumes

```
    cdcl_W: cdcl_W S S' and
    propagate: ⋀T. propagate S T ⟹ P S T and
    conflict: ⋀T. conflict S T ⟹ P S T and
    forget: ⋀T. forget S T ⟹ P S T and
    restart: ⋀T. restart S T ⟹ P S T and
    decide: ⋀T. decide S T ⟹ P S T and
    skip: ⋀T. skip S T ⟹ P S T and
    resolve: ⋀T. resolve S T ⟹ P S T and
    backtrack: ⋀T. backtrack S T ⟹ P S T
  shows P S S'
  using assms(1)
proof (induct S' rule: cdcl_W.induct)
  case (propagate S') note propagate = this(1)
  then show ?case using assms(2) by auto
next
  case (conflict S')
  then show ?case using assms(3) by auto
next
  case (other S')
  then show ?case
    proof (induct rule: cdcl_W-o.induct)
      case (decide U)
      then show ?case using assms(6) by auto
    next
      case (bj S')
      then show ?case using assms(7−9) by (induction rule: cdcl_W-bj.induct) auto
    qed
next
  case (rf S')
  then show ?case
    by (induct rule: cdcl_W-rf.induct) (fast dest: forget restart)+
qed

lemma cdcl_W-all-induct[consumes 1, case-names propagate conflict forget restart decide skip
    resolve backtrack]:
  fixes S :: 'st
  assumes
    cdcl_W: cdcl_W S S' and
    propagateH: ⋀C L T. C + {#L#} ∈# clauses S ⟹ trail S ⊨as CNot C
      ⟹ undefined-lit (trail S) L ⟹ conflicting S = None
      ⟹ T ∼ cons-trail (Propagated L (C + {#L#})) S
      ⟹ P S T and
    conflictH: ⋀D T. D ∈# clauses S ⟹ conflicting S = None ⟹ trail S ⊨as CNot D
      ⟹ T ∼ update-conflicting (Some D) S
      ⟹ P S T and
    forgetH: ⋀C T. ¬trail S ⊨asm clauses S
      ⟹ C ∉ set (get-all-mark-of-propagated (trail S))
      ⟹ C ∉# init-clss S
      ⟹ C ∈# learned-clss S
      ⟹ conflicting S = None
      ⟹ T ∼ remove-cls C S
      ⟹ P S T and
    restartH: ⋀T. ¬trail S ⊨asm clauses S
      ⟹ conflicting S = None
      ⟹ T ∼ restart-state S
```

  $\Longrightarrow$ *P S T* **and**
decideH: $\bigwedge$*L T*. *conflicting S* = *None* $\Longrightarrow$ *undefined-lit* (*trail S*) *L*
  $\Longrightarrow$ *atm-of L* $\in$ *atms-of-msu* (*init-clss S*)
  $\Longrightarrow$ *T* $\sim$ *cons-trail* (*Marked L* (*backtrack-lvl S* +*1*)) (*incr-lvl S*)
  $\Longrightarrow$ *P S T* **and**
skipH: $\bigwedge$*L C' M D T*. *trail S* = *Propagated L C'* # *M*
  $\Longrightarrow$ *conflicting S* = *Some D* $\Longrightarrow$ $-L \notin\#$ *D* $\Longrightarrow$ *D* $\neq$ {#}
  $\Longrightarrow$ *T* $\sim$ *tl-trail S*
  $\Longrightarrow$ *P S T* **and**
resolveH: $\bigwedge$*L C M D T*.
  trail S = *Propagated L* ((*C* + {#*L*#})) # *M*
  $\Longrightarrow$ *conflicting S* = *Some* (*D* + {#$-$*L*#})
  $\Longrightarrow$ *get-maximum-level* (*Propagated L* (*C* + {#*L*#}) # *M*) *D* = *backtrack-lvl S*
  $\Longrightarrow$ *T* $\sim$ (*update-conflicting* (*Some* (*D* #$\cup$ *C*)) (*tl-trail S*))
  $\Longrightarrow$ *P S T* **and**
backtrackH: $\bigwedge$*K i M1 M2 L D T*.
  (*Marked K* (*Suc i*) # *M1*, *M2*) $\in$ *set* (*get-all-marked-decomposition* (*trail S*))
  $\Longrightarrow$ *get-level* (*trail S*) *L* = *backtrack-lvl S*
  $\Longrightarrow$ *conflicting S* = *Some* (*D* + {#*L*#})
  $\Longrightarrow$ *get-maximum-level* (*trail S*) (*D*+{#*L*#}) = *get-level* (*trail S*) *L*
  $\Longrightarrow$ *get-maximum-level* (*trail S*) *D* $\equiv$ *i*
  $\Longrightarrow$ *T* $\sim$ *cons-trail* (*Propagated L* (*D*+{#*L*#}))
        (*reduce-trail-to M1*
          (*add-learned-cls* (*D* + {#*L*#})
            (*update-backtrack-lvl i*
              (*update-conflicting None S*))))
  $\Longrightarrow$ *P S T*
shows *P S S'*
using $cdcl_W$
proof (*induct S S' rule*: $cdcl_W$*-all-rules-induct*)
case (*propagate S'*)
then show *?case* **by** (*elim propagateE*) (*frule propagateH*; *simp*)
next
case (*conflict S'*)
then show *?case* **by** (*elim conflictE*) (*frule conflictH*; *simp*)
next
case (*restart S'*)
then show *?case* **by** (*elim restartE*) (*frule restartH*; *simp*)
next
case (*decide T*)
then show *?case* **by** (*elim decideE*) (*frule decideH*; *simp*)
next
case (*backtrack S'*)
then show *?case* **by** (*elim backtrackE*) (*frule backtrackH*; *simp del*: *state-simp add*: *state-eq-def*)
next
case (*forget S'*)
then show *?case* **using** *forgetH* **by** *auto*
next
case (*skip S'*)
then show *?case* **using** *skipH* **by** *auto*
next
case (*resolve S'*)
then show *?case* **by** (*elim resolveE*) (*frule resolveH*; *simp*)
qed

lemma *cdcl*$_W$*-o-induct*[*consumes 1*, *case-names decide skip resolve backtrack*]:
  fixes *S* :: *'st*
  assumes *cdcl*$_W$: *cdcl*$_W$*-o S T* **and**
    decideH: $\bigwedge$*L T. conflicting S = None* $\Longrightarrow$ *undefined-lit* (*trail S*) *L*
      $\Longrightarrow$ *atm-of L* $\in$ *atms-of-msu* (*init-clss S*)
      $\Longrightarrow$ *T* $\sim$ *cons-trail* (*Marked L* (*backtrack-lvl S +1*)) (*incr-lvl S*)
      $\Longrightarrow$ *P S T* **and**
    skipH: $\bigwedge$*L C' M D T. trail S = Propagated L C'* # *M*
      $\Longrightarrow$ *conflicting S = Some D* $\Longrightarrow$ $-L \notin\#$ *D* $\Longrightarrow$ *D* $\neq$ {#}
      $\Longrightarrow$ *T* $\sim$ *tl-trail S*
      $\Longrightarrow$ *P S T* **and**
    resolveH: $\bigwedge$*L C M D T.*
      trail S = Propagated L ((*C* + {#*L*#})) # *M*
      $\Longrightarrow$ *conflicting S = Some* (*D* + {#$-L$#})
      $\Longrightarrow$ *get-maximum-level* (*Propagated L* (*C* + {#*L*#}) # *M*) *D = backtrack-lvl S*
      $\Longrightarrow$ *T* $\sim$ *update-conflicting* (*Some* (*D* #$\cup$ *C*)) (*tl-trail S*)
      $\Longrightarrow$ *P S T* **and**
    backtrackH: $\bigwedge$*K i M1 M2 L D T.*
      (*Marked K* (*Suc i*) # *M1*, *M2*) $\in$ *set* (*get-all-marked-decomposition* (*trail S*))
      $\Longrightarrow$ *get-level* (*trail S*) *L = backtrack-lvl S*
      $\Longrightarrow$ *conflicting S = Some* (*D* + {#*L*#})
      $\Longrightarrow$ *get-level* (*trail S*) *L = get-maximum-level* (*trail S*) (*D*+{#*L*#})
      $\Longrightarrow$ *get-maximum-level* (*trail S*) *D* $\equiv$ *i*
      $\Longrightarrow$ *T* $\sim$ *cons-trail* (*Propagated L* (*D*+{#*L*#}))
              (*reduce-trail-to M1*
                (*add-learned-cls* (*D* + {#*L*#})
                  (*update-backtrack-lvl i*
                    (*update-conflicting None S*))))
      $\Longrightarrow$ *P S T*
  shows *P S T*
  using *cdcl*$_W$ **apply** (*induct T rule*: *cdcl*$_W$*-o.induct*)
   using *assms*(*2*) **apply** *auto*[*1*]
  apply (*elim cdcl*$_W$*-bjE skipE resolveE backtrackE*)
    apply (*frule skipH*; *simp*)
   apply (*frule resolveH*; *simp*)
  apply (*frule backtrackH*; *simp-all del*: *state-simp add*: *state-eq-def*)
  done

thm *cdcl*$_W$*-o.induct*
lemma *cdcl*$_W$*-o-all-rules-induct*[*consumes 1*, *case-names decide backtrack skip resolve*]:
  fixes *S T* :: *'st*
  assumes
    cdcl$_W$*-o S T* **and**
    $\bigwedge$*T. decide S T* $\Longrightarrow$ *P S T* **and**
    $\bigwedge$*T. backtrack S T* $\Longrightarrow$ *P S T* **and**
    $\bigwedge$*T. skip S T* $\Longrightarrow$ *P S T* **and**
    $\bigwedge$*T. resolve S T* $\Longrightarrow$ *P S T*
  shows *P S T*
  using *assms* **by** (*induct T rule*: *cdcl*$_W$*-o.induct*) (*auto simp*: *cdcl*$_W$*-bj.simps*)

lemma *cdcl*$_W$*-o-rule-cases*[*consumes 1*, *case-names decide backtrack skip resolve*]:
  fixes *S T* :: *'st*
  assumes
    cdcl$_W$*-o S T* **and**
    decide S T $\Longrightarrow$ *P* **and**

backtrack S T $\Longrightarrow$ *P* **and**
skip S T $\Longrightarrow$ *P* **and**
resolve S T $\Longrightarrow$ *P*
shows *P*
using *assms* **by** (*auto simp*: *cdcl$_W$-o.simps cdcl$_W$-bj.simps*)

17.4 Invariants

17.4.1 Properties of the trail

We here establish that: * the marks are exactly 1..k where k is the level * the consistency of the trail * the fact that there is no duplicate in the trail.

lemma *backtrack-lit-skiped*:
  assumes *L*: *get-level* (*trail S*) *L* = *backtrack-lvl S*
  and *M1*: (*Marked K* (*i* + *1*) # *M1*, *M2*) ∈ *set* (*get-all-marked-decomposition* (*trail S*))
  and *no-dup*: *no-dup* (*trail S*)
  and *bt-l*: *backtrack-lvl S* = *length* (*get-all-levels-of-marked* (*trail S*))
  and *order*: *get-all-levels-of-marked* (*trail S*)
    = *rev* ([*1*..<(*1*+*length* (*get-all-levels-of-marked* (*trail S*)))])
  shows *atm-of L* ∉ *atm-of* ‘ *lits-of M1*
proof
  let *?M* = *trail S*
  assume *L-in-M1*: *atm-of L* ∈ *atm-of* ‘ *lits-of M1*
  obtain *c* **where** *Mc*: *trail S* = *c* @ *M2* @ *Marked K* (*i* + *1*) # *M1* **using** *M1* **by** *blast*
  have *atm-of L* ∉ *atm-of* ‘ *lits-of c*
    using *L-in-M1 no-dup mk-disjoint-insert* **unfolding** *Mc lits-of-def* **by** *force*
  have *g-M-eq-g-M1*: *get-level ?M L* = *get-level M1 L*
    using *L-in-M1* **unfolding** *Mc* **by** *auto*
  have *g*: *get-all-levels-of-marked M1* = *rev* [*1*..<*Suc i*]
    using *order* **unfolding** *Mc*
    by (*auto simp del*: *upt-simps dest*!: *append-cons-eq-upt-length-i*
          simp add: *rev-swap*[*symmetric*])
  then have *Max* (*set* (*0* # *get-all-levels-of-marked* (*rev M1*))) < *Suc i* **by** *auto*
  then have *get-level M1 L* < *Suc i*
    using *get-rev-level-less-max-get-all-levels-of-marked*[*of rev M1 0 L*] **by** *linarith*
  moreover have *Suc i* ≤ *backtrack-lvl S* **using** *bt-l* **by** (*simp add*: *Mc g*)
  ultimately show *False* **using** *L g-M-eq-g-M1* **by** *auto*
qed

lemma *cdcl$_W$-distinctinv-1*:
  assumes
    cdcl$_W$ S S′ **and**
    no-dup (*trail S*) **and**
    backtrack-lvl S = *length* (*get-all-levels-of-marked* (*trail S*)) **and**
    get-all-levels-of-marked (*trail S*) = *rev* [*1*..<*1*+*length* (*get-all-levels-of-marked* (*trail S*))]
  shows *no-dup* (*trail S′*)
  using *assms*
proof (*induct rule*: *cdcl$_W$-all-induct*)
  case (*backtrack K i M1 M2 L D T*) **note** *decomp* = *this*(*1*) **and** *L* = *this*(*2*) **and** *T* = *this*(*6*) **and**
    n-d = *this*(*7*)
  obtain *c* **where** *Mc*: *trail S* = *c* @ *M2* @ *Marked K* (*i* + *1*) # *M1*
    using *decomp* **by** *auto*
  have *no-dup* (*M2* @ *Marked K* (*i* + *1*) # *M1*)
    using *Mc n-d* **by** *fastforce*
  moreover have *atm-of L* ∉ (*λl. atm-of* (*lit-of l*)) ‘ *set M1*

```
    using backtrack-lit-skiped[of S L K i M1 M2] L decomp backtrack.prems
    by (fastforce simp: lits-of-def)
  moreover then have undefined-lit M1 L
     by (simp add: defined-lit-map)
  ultimately show ?case using decomp T n-d by simp
qed (auto simp: defined-lit-map)

lemma cdcl_W-consistent-inv-2:
  assumes
    cdcl_W S S' and
    no-dup (trail S) and
    backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
    get-all-levels-of-marked (trail S) = rev [1..<1+length (get-all-levels-of-marked (trail S))]
  shows consistent-interp (lits-of (trail S'))
  using cdcl_W-distinctinv-1[OF assms] distinctconsistent-interp by fast

lemma cdcl_W-o-bt:
  assumes
    cdcl_W-o S S' and
    backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
    get-all-levels-of-marked (trail S) =
      rev ([1..<(1+length (get-all-levels-of-marked (trail S)))]) and
    n-d[simp]: no-dup (trail S)
  shows backtrack-lvl S' = length (get-all-levels-of-marked (trail S'))
  using assms
proof (induct rule: cdcl_W-o-induct)
  case (backtrack K i M1 M2 L D T) note decomp = this(1) and T = this(6) and level = this(8)
  have [simp]: trail (reduce-trail-to M1 S) = M1
    using decomp by auto
  obtain c where M: trail S = c @ M2 @ Marked K (i + 1) # M1 using decomp by auto
  have rev (get-all-levels-of-marked (trail S))
    = [1..<1+ (length (get-all-levels-of-marked (trail S)))]
    using level by (auto simp: rev-swap[symmetric])
  moreover have atm-of L ∉ (λl. atm-of (lit-of l)) ` set M1
    using backtrack-lit-skiped[of S L K i M1 M2] backtrack(2,7,8,9) decomp
    by (fastforce simp add: lits-of-def)
  moreover then have undefined-lit M1 L
     by (simp add: defined-lit-map)
  moreover then have no-dup (trail T)
    using T decomp n-d by (auto simp: defined-lit-map M)
  ultimately show ?case
    using T n-d unfolding M by (auto dest!: append-cons-eq-upt-length simp del: upt-simps)
qed auto

lemma cdcl_W-rf-bt:
  assumes
    cdcl_W-rf S S' and
    backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
    get-all-levels-of-marked (trail S) = rev [1..<(1+length (get-all-levels-of-marked (trail S)))]
  shows backtrack-lvl S' = length (get-all-levels-of-marked (trail S'))
  using assms by (induct rule: cdcl_W-rf.induct) auto

lemma cdcl_W-bt:
  assumes
    cdcl_W S S' and
    backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
    get-all-levels-of-marked (trail S)
    = rev ([1..<(1+length (get-all-levels-of-marked (trail S)))]) and
    no-dup (trail S)
  shows backtrack-lvl S' = length (get-all-levels-of-marked (trail S'))
  using assms by (induct rule: cdcl_W.induct) (auto simp add: cdcl_W-o-bt cdcl_W-rf-bt)

lemma cdcl_W-bt-level':
  assumes
    cdcl_W S S' and
    backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
    get-all-levels-of-marked (trail S)
      = rev ([1..<(1+length (get-all-levels-of-marked (trail S)))]) and
    n-d: no-dup (trail S)
  shows get-all-levels-of-marked (trail S')
    = rev ([1..<(1+length (get-all-levels-of-marked (trail S')))])
  using assms
proof (induct rule: cdcl_W-all-induct)
  case (decide L T) note undef = this(2) and T = this(4)
  let ?k = backtrack-lvl S
  let ?M = trail S
  let ?M' = Marked L (?k + 1) # trail S
  have H: get-all-levels-of-marked ?M = rev [Suc 0..<1+length (get-all-levels-of-marked ?M)]
    using decide.prems by simp
  have k: ?k = length (get-all-levels-of-marked ?M)
    using decide.prems by auto
  have get-all-levels-of-marked ?M' = Suc ?k # get-all-levels-of-marked ?M by simp
  then have get-all-levels-of-marked ?M' = Suc ?k #
      rev [Suc 0..<1+length (get-all-levels-of-marked ?M)]
    using H by auto
  moreover have ... = rev [Suc 0..< Suc (1+length (get-all-levels-of-marked ?M))]
    unfolding k by simp
  finally show ?case using T undef by (auto simp add: defined-lit-map)
next
   case (backtrack K i M1 M2 L D T) note decomp = this(1) and confli = this(2) and T =this(6)
and
    all-marked = this(8) and bt-lvl = this(7)
  have atm-of L ∉ (λl. atm-of (lit-of l)) ‘ set M1
    using backtrack-lit-skiped[of S L K i M1 M2] backtrack(2,7,8,9) decomp
    by (fastforce simp add: lits-of-def)
  moreover then have undefined-lit M1 L
     by (simp add: defined-lit-map)
  then have [simp]: trail T = Propagated L (D + {#L#}) # M1
    using T decomp n-d by auto
  obtain c where M: trail S = c @ M2 @ Marked K (i + 1) # M1 using decomp by auto
  have get-all-levels-of-marked (rev (trail S))
    = [Suc 0..<2+length (get-all-levels-of-marked c) + (length (get-all-levels-of-marked M2)
              + length (get-all-levels-of-marked M1))]
    using all-marked bt-lvl unfolding M by (auto simp add: rev-swap[symmetric] simp del: upt-simps)
  then show ?case
    using T by (auto simp add: rev-swap M dest!: append-cons-eq-upt(1) simp del: upt-simps)
qed auto
```

We write *1 + length (get-all-levels-of-marked (trail S))* instead of *backtrack-lvl S* to avoid non termination of rewriting.

definition *cdcl*$_W$*-M-level-inv* (*S*:: *'st*) ⟷
  consistent-interp (*lits-of* (*trail S*))
  ∧ *no-dup* (*trail S*)
  ∧ *backtrack-lvl S* = *length* (*get-all-levels-of-marked* (*trail S*))
  ∧ *get-all-levels-of-marked* (*trail S*)
      = *rev* ([*1*..<*1*+*length* (*get-all-levels-of-marked* (*trail S*))])

lemma *cdcl*$_W$*-M-level-inv-decomp*:
  assumes *cdcl*$_W$*-M-level-inv S*
  shows *consistent-interp* (*lits-of* (*trail S*))
  and *no-dup* (*trail S*)
  using *assms* **unfolding** *cdcl*$_W$*-M-level-inv-def* **by** *fastforce*+

lemma *cdcl*$_W$*-consistent-inv*:
  fixes *S S'* :: *'st*
  assumes
    cdcl$_W$ *S S'* **and**
    cdcl$_W$*-M-level-inv S*
  shows *cdcl*$_W$*-M-level-inv S'*
  using *assms cdcl*$_W$*-consistent-inv-2 cdcl*$_W$*-distinctinv-1 cdcl*$_W$*-bt cdcl*$_W$*-bt-level'*
  unfolding *cdcl*$_W$*-M-level-inv-def* **by** *meson*+

lemma *rtranclp-cdcl*$_W$*-consistent-inv*:
  assumes *cdcl*$_W$** *S S'*
  and *cdcl*$_W$*-M-level-inv S*
  shows *cdcl*$_W$*-M-level-inv S'*
  using *assms* **by** (*induct rule*: *rtranclp-induct*)
  (*auto intro*: *cdcl*$_W$*-consistent-inv*)

lemma *tranclp-cdcl*$_W$*-consistent-inv*:
  assumes *cdcl*$_W$$^{++}$ *S S'*
  and *cdcl*$_W$*-M-level-inv S*
  shows *cdcl*$_W$*-M-level-inv S'*
  using *assms* **by** (*induct rule*: *tranclp-induct*)
  (*auto intro*: *cdcl*$_W$*-consistent-inv*)

lemma *cdcl*$_W$*-M-level-inv-S0-cdcl*$_W$[*simp*]:
  cdcl$_W$*-M-level-inv* (*init-state N*)
  unfolding *cdcl*$_W$*-M-level-inv-def* **by** *auto*

lemma *cdcl*$_W$*-M-level-inv-get-level-le-backtrack-lvl*:
  assumes *inv*: *cdcl*$_W$*-M-level-inv S*
  shows *get-level* (*trail S*) *L* ≤ *backtrack-lvl S*
proof −
  have *get-all-levels-of-marked* (*trail S*) = *rev* [*1*..<*1* + *backtrack-lvl S*]
    using *inv* **unfolding** *cdcl*$_W$*-M-level-inv-def* **by** *auto*
  then show *?thesis*
    using *get-rev-level-less-max-get-all-levels-of-marked*[*of rev* (*trail S*) *0 L*]
    by (*auto simp*: *Max-n-upt*)
qed

lemma *backtrack-ex-decomp*:
  assumes *M-l*: *cdcl*$_W$*-M-level-inv S*
  and *i-S*: *i* < *backtrack-lvl S*
  shows ∃ *K M1 M2*. (*Marked K* (*i* + *1*) # *M1*, *M2*) ∈ *set* (*get-all-marked-decomposition* (*trail S*))

```
proof -
  let ?M = trail S
  have
    g: get-all-levels-of-marked (trail S) = rev [Suc 0..<Suc (backtrack-lvl S)]
    using M-l unfolding cdcl_W-M-level-inv-def by simp-all
  then have i+1 ∈ set (get-all-levels-of-marked (trail S))
    using i-S by auto

  then obtain c K c' where tr-S: trail S = c @ Marked K (i + 1) # c'
    using in-get-all-levels-of-marked-iff-decomp[of i+1 trail S] by auto

  obtain M1 M2 where (Marked K (i + 1) # M1, M2) ∈ set (get-all-marked-decomposition (trail S))
    unfolding tr-S apply (induct c rule: marked-lit-list-induct)
      apply auto[2]
    apply (rename-tac L m xs,
        case-tac hd (get-all-marked-decomposition (xs @ Marked K (Suc i) # c')))
    apply (case-tac get-all-marked-decomposition (xs @ Marked K (Suc i) # c'))
    by auto
  then show ?thesis by blast
qed
```

17.4.2 Better-Suited Induction Principle

We generalise the induction principle defined previously: the induction case for *backtrack* now includes the assumption that *undefined-lit M1 L*. This helps the simplifier and thus the automation.

```
lemma backtrack-induction-lev[consumes 1, case-names M-devel-inv backtrack]:
  assumes
    bt: backtrack S T and
    inv: cdcl_W-M-level-inv S and
    backtrackH: ⋀K i M1 M2 L D T.
      (Marked K (Suc i) # M1, M2) ∈ set (get-all-marked-decomposition (trail S))
      ⟹ get-level (trail S) L = backtrack-lvl S
      ⟹ conflicting S = Some (D + {#L#})
      ⟹ get-level (trail S) L = get-maximum-level (trail S) (D+{#L#})
      ⟹ get-maximum-level (trail S) D ≡ i
      ⟹ undefined-lit M1 L
      ⟹ T ∼ cons-trail (Propagated L (D+{#L#}))
              (reduce-trail-to M1
                (add-learned-cls (D + {#L#})
                  (update-backtrack-lvl i
                    (update-conflicting None S))))
      ⟹ P S T
  shows P S T
proof -
  obtain K i M1 M2 L D where
    decomp: (Marked K (Suc i) # M1, M2) ∈ set (get-all-marked-decomposition (trail S)) and
    L: get-level (trail S) L = backtrack-lvl S and
    confl: conflicting S = Some (D + {#L#}) and
    lev-L: get-level (trail S) L = get-maximum-level (trail S) (D+{#L#}) and
    lev-D: get-maximum-level (trail S) D ≡ i and
    T: T ∼ cons-trail (Propagated L (D+{#L#}))
              (reduce-trail-to M1
                (add-learned-cls (D + {#L#})
                  (update-backtrack-lvl i
                  (update-conflicting None S))))
    using bt by (elim backtrackE)  metis

  have atm-of L ∉ (λl. atm-of (lit-of l)) ‘ set M1
    using backtrack-lit-skiped[of S L K i M1 M2] L decomp bt confl lev-L lev-D inv
    unfolding cdcl_W-M-level-inv-def
    by (fastforce simp add: lits-of-def)
  then have undefined-lit M1 L
    by (auto simp: defined-lit-map)
  then show ?thesis
    using backtrackH[OF decomp L confl lev-L lev-D - T] by simp
qed

lemmas backtrack-induction-lev2 = backtrack-induction-lev[consumes 2, case-names backtrack]

lemma cdcl_W-all-induct-lev-full:
  fixes S :: 'st
  assumes
    cdcl_W: cdcl_W S S' and
    inv[simp]: cdcl_W-M-level-inv S and
    propagateH: ⋀C L T. C + {#L#} ∈# clauses S ⟹ trail S ⊨as CNot C
      ⟹ undefined-lit (trail S) L ⟹ conflicting S = None
      ⟹ T ∼ cons-trail (Propagated L (C + {#L#})) S
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    conflictH: ⋀D T. D ∈# clauses S ⟹ conflicting S = None ⟹ trail S ⊨as CNot D
      ⟹ T ∼ update-conflicting (Some D) S
      ⟹ P S T and
    forgetH: ⋀C T. ¬trail S ⊨asm clauses S
      ⟹ C ∉ set (get-all-mark-of-propagated (trail S))
      ⟹ C ∉# init-clss S
      ⟹ C ∈# learned-clss S
      ⟹ conflicting S = None
      ⟹ T ∼ remove-cls C S
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    restartH: ⋀T. ¬trail S ⊨asm clauses S
      ⟹ conflicting S = None
      ⟹ T ∼ restart-state S
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    decideH: ⋀L T. conflicting S = None ⟹  undefined-lit (trail S) L
      ⟹ atm-of L ∈ atms-of-msu (init-clss S)
      ⟹ T ∼ cons-trail (Marked L (backtrack-lvl S +1)) (incr-lvl S)
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    skipH: ⋀L C' M D T. trail S = Propagated L C' # M
      ⟹ conflicting S = Some D ⟹ −L ∉# D ⟹ D ≠ {#}
      ⟹ T ∼ tl-trail S
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    resolveH: ⋀L C M D T.
      trail S = Propagated L ( (C + {#L#})) # M
      ⟹ conflicting S = Some (D + {#−L#})
      ⟹ get-maximum-level (Propagated L (C + {#L#}) # M) D = backtrack-lvl S
```

  $\Longrightarrow$ *T* $\sim$ (*update-conflicting* (*Some* (*D* #∪ *C*)) (*tl-trail S*))
  $\Longrightarrow$ *cdcl*$_W$*-M-level-inv S*
  $\Longrightarrow$ *P S T* **and**
backtrackH: $\bigwedge$*K i M1 M2 L D T*.
  (*Marked K* (*Suc i*) # *M1*, *M2*) $\in$ *set* (*get-all-marked-decomposition* (*trail S*))
  $\Longrightarrow$ *get-level* (*trail S*) *L* = *backtrack-lvl S*
  $\Longrightarrow$ *conflicting S* = *Some* (*D* + {#*L*#})
  $\Longrightarrow$ *get-maximum-level* (*trail S*) (*D*+{#*L*#}) = *get-level* (*trail S*) *L*
  $\Longrightarrow$ *get-maximum-level* (*trail S*) *D* $\equiv$ *i*
  $\Longrightarrow$ *undefined-lit M1 L*
  $\Longrightarrow$ *T* $\sim$ *cons-trail* (*Propagated L* (*D*+{#*L*#}))
        (*reduce-trail-to M1*
          (*add-learned-cls* (*D* + {#*L*#})
            (*update-backtrack-lvl i*
              (*update-conflicting None S*))))
  $\Longrightarrow$ *cdcl*$_W$*-M-level-inv S*
  $\Longrightarrow$ *P S T*
shows *P S S'*
using *cdcl*$_W$
proof (*induct S' rule*: *cdcl*$_W$*-all-rules-induct*)
  case (*propagate S'*)
  then show *?case* **by** (*elim propagateE*) (*frule propagateH*; *simp*)
next
  case (*conflict S'*)
  then show *?case* **by** (*elim conflictE*) (*frule conflictH*; *simp*)
next
  case (*restart S'*)
  then show *?case* **by** (*elim restartE*) (*frule restartH*; *simp*)
next
  case (*decide T*)
  then show *?case* **by** (*elim decideE*) (*frule decideH*; *simp*)
next
  case (*backtrack S'*)
  then show *?case*
    apply (*induction rule*: *backtrack-induction-lev*)
     apply (*rule inv*)
    by (*rule backtrackH*;
      fastforce simp del: *state-simp simp add*: *state-eq-def dest!*: *HOL.meta-eq-to-obj-eq*)
next
  case (*forget S'*)
  then show *?case* **using** *forgetH* **by** *auto*
next
  case (*skip S'*)
  then show *?case* **using** *skipH* **by** *auto*
next
  case (*resolve S'*)
  then show *?case* **by** (*elim resolveE*) (*frule resolveH*; *simp*)
qed

lemmas *cdcl*$_W$*-all-induct-lev2* = *cdcl*$_W$*-all-induct-lev-full*[*consumes 2*, *case-names propagate conflict forget restart decide skip resolve backtrack*]

lemmas *cdcl*$_W$*-all-induct-lev* = *cdcl*$_W$*-all-induct-lev-full*[*consumes 1*, *case-names lev-inv propagate conflict forget restart decide skip resolve backtrack*]

```
thm cdcl_W-o-induct
lemma cdcl_W-o-induct-lev[consumes 1, case-names M-lev decide skip resolve backtrack]:
  fixes S :: 'st
  assumes
    cdcl_W: cdcl_W-o S T and
    inv[simp]: cdcl_W-M-level-inv S and
    decideH: ⋀L T. conflicting S = None ⟹  undefined-lit (trail S) L
      ⟹ atm-of L ∈ atms-of-msu (init-clss S)
      ⟹ T ∼ cons-trail (Marked L (backtrack-lvl S +1)) (incr-lvl S)
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    skipH: ⋀L C' M D T. trail S = Propagated L C' # M
      ⟹ conflicting S = Some D ⟹ −L ∉# D ⟹ D ≠ {#}
      ⟹ T ∼ tl-trail S
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    resolveH: ⋀L C M D T.
      trail S = Propagated L ( (C + {#L#})) # M
      ⟹ conflicting S = Some (D + {#−L#})
      ⟹ get-maximum-level (Propagated L (C + {#L#}) # M) D = backtrack-lvl S
      ⟹ T ∼ update-conflicting (Some (D #∪ C)) (tl-trail S)
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T and
    backtrackH: ⋀K i M1 M2 L D T.
      (Marked K (Suc i) # M1, M2) ∈ set (get-all-marked-decomposition (trail S))
      ⟹ get-level (trail S) L = backtrack-lvl S
      ⟹ conflicting S = Some (D + {#L#})
      ⟹ get-level (trail S) L = get-maximum-level (trail S) (D+{#L#})
      ⟹ get-maximum-level (trail S) D ≡ i
      ⟹ undefined-lit M1 L
      ⟹ T ∼ cons-trail (Propagated L (D+{#L#}))
              (reduce-trail-to M1
                (add-learned-cls (D + {#L#})
                  (update-backtrack-lvl i
                    (update-conflicting None S))))
      ⟹ cdcl_W-M-level-inv S
      ⟹ P S T
  shows P S T
  using cdcl_W
proof (induct S T rule: cdcl_W-o-all-rules-induct)
  case (decide T)
  then show ?case by (elim decideE) (frule decideH; simp)
next
  case (backtrack S')
  then show ?case
    using inv apply (induction rule: backtrack-induction-lev2)
    by (rule backtrackH)
      (fastforce simp del: state-simp simp add: state-eq-def dest!: HOL.meta-eq-to-obj-eq)+
next
  case (skip S')
  then show ?case using skipH by auto
next
  case (resolve S')
  then show ?case by (elim resolveE) (frule resolveH; simp)
qed
```

lemmas *cdcl*$_W$*-o-induct-lev2* = *cdcl*$_W$*-o-induct-lev*[*consumes 2*, *case-names decide skip resolve backtrack*]

17.4.3 Compatibility with *op* ∼

```
lemma propagate-state-eq-compatible:
  assumes
    propagate S T and
    S ∼ S' and
    T ∼ T'
  shows propagate S' T'
  using assms apply (elim propagateE)
  apply (rule propagate-rule)
  by (auto simp: state-eq-def clauses-def simp del: state-simp)

lemma conflict-state-eq-compatible:
  assumes
    conflict S T and
    S ∼ S' and
    T ∼ T'
  shows conflict S' T'
  using assms apply (elim conflictE)
  apply (rule conflict-rule)
  by (auto simp: state-eq-def clauses-def simp del: state-simp)

lemma backtrack-state-eq-compatible:
  assumes
    backtrack S T and
    S ∼ S' and
    T ∼ T' and
    inv: cdcl_W-M-level-inv S
  shows backtrack S' T'
  using assms apply (induction rule: backtrack-induction-lev)
    using inv apply simp
  apply (rule backtrack-rule)
        apply auto[5]
  by (auto simp: state-eq-def clauses-def cdcl_W-M-level-inv-def simp del: state-simp)

lemma decide-state-eq-compatible:
  assumes
    decide S T and
    S ∼ S' and
    T ∼ T'
  shows decide S' T'
  using assms apply (elim decideE)
  apply (rule decide-rule)
  by (auto simp: state-eq-def clauses-def simp del: state-simp)

lemma skip-state-eq-compatible:
  assumes
    skip S T and
    S ∼ S' and
    T ∼ T'
  shows skip S' T'
  using assms apply (elim skipE)
```

apply (*rule skip-rule*)
by (*auto simp*: *state-eq-def clauses-def HOL.eq-sym-conv*[*of* - # - *trail* -]
simp del: *state-simp dest*: *arg-cong*[*of* - # *trail* - *trail* - *tl*])

lemma *resolve-state-eq-compatible*:
assumes
resolve S T **and**
$S \sim S'$ **and**
$T \sim T'$
shows *resolve* S' T'
using *assms* **apply** (*elim resolveE*)
apply (*rule resolve-rule*)
by (*auto simp*: *state-eq-def clauses-def HOL.eq-sym-conv*[*of* - # - *trail* -]
simp del: *state-simp dest*: *arg-cong*[*of* - # *trail* - *trail* - *tl*])

lemma *forget-state-eq-compatible*:
assumes
forget S T **and**
$S \sim S'$ **and**
$T \sim T'$
shows *forget* S' T'
using *assms* **apply** (*elim forgetE*)
apply (*rule forget-rule*)
by (*auto simp*: *state-eq-def clauses-def HOL.eq-sym-conv*[*of* {#-#} + - -]
simp del: *state-simp dest*: *arg-cong*[*of* - # *trail* - *trail* - *tl*])

lemma $cdcl_W$*-state-eq-compatible*:
assumes
$cdcl_W$ *S T* **and** ¬*restart S T* **and**
$S \sim S'$ **and**
$T \sim T'$ **and**
inv: $cdcl_W$*-M-level-inv S*
shows $cdcl_W$ S' T'
using *assms* **by** (*meson assms backtrack-state-eq-compatible bj* $cdcl_W$*.simps* $cdcl_W$*-bj.simps*
$cdcl_W$*-o-rule-cases* $cdcl_W$*-rf.cases* $cdcl_W$*-rf.restart conflict-state-eq-compatible decide*
decide-state-eq-compatible forget forget-state-eq-compatible
propagate-state-eq-compatible resolve-state-eq-compatible
skip-state-eq-compatible)

lemma $cdcl_W$*-bj-state-eq-compatible*:
assumes
$cdcl_W$*-bj S T* **and** $cdcl_W$*-M-level-inv S*
$S \sim S'$ **and**
$T \sim T'$
shows $cdcl_W$*-bj* S' T'
using *assms*
by *induction* (*auto*
intro: *skip-state-eq-compatible backtrack-state-eq-compatible resolve-state-eq-compatible*)

lemma *tranclp-*$cdcl_W$*-bj-state-eq-compatible*:
assumes
$cdcl_W$*-bj*$^{++}$ *S T* **and** *inv*: $cdcl_W$*-M-level-inv S* **and**
$S \sim S'$ **and**
$T \sim T'$
shows $cdcl_W$*-bj*$^{++}$ S' T'

  using *assms*
proof (*induction arbitrary*: S' T')
  case *base*
  then show *?case*
    using *cdcl$_W$-bj-state-eq-compatible* **by** *blast*
next
  case (*step T U*) **note** *IH* = *this*(*3*)[*OF this*(*4*−*5*)]
  have *cdcl$_W$*$^{++}$ *S T*
    using *tranclp-mono*[*of cdcl$_W$-bj cdcl$_W$*] *other step.hyps*(*1*) **by** *blast*
  then have *cdcl$_W$-M-level-inv T*
    using *inv tranclp-cdcl$_W$-consistent-inv* **by** *blast*
  then have *cdcl$_W$-bj*$^{++}$ *T* T'
    using ‹*U* ∼ T'› *cdcl$_W$-bj-state-eq-compatible*[*of T U*] ‹*cdcl$_W$-bj T U*› **by** *auto*
  then show *?case*
    using *IH*[*of T*] **by** *auto*
qed

17.4.4 Conservation of some Properties

lemma *level-of-marked-ge-1*:
  assumes
    cdcl$_W$ S S' **and**
    inv: *cdcl$_W$-M-level-inv S* **and**
    ∀ *L l. Marked L l* ∈ *set* (*trail S*) ⟶ *l* > *0*
  shows ∀ *L l. Marked L l* ∈ *set* (*trail* S') ⟶ *l* > *0*
  using *assms* **apply** (*induct rule*: *cdcl$_W$-all-induct-lev2*)
  by (*auto dest*: *union-in-get-all-marked-decomposition-is-subset simp*: *cdcl$_W$-M-level-inv-decomp*)

lemma *cdcl$_W$-o-no-more-init-clss*:
  assumes
    cdcl$_W$-o S S' **and**
    inv: *cdcl$_W$-M-level-inv S*
  shows *init-clss S* = *init-clss* S'
  using *assms* **by** (*induct rule*: *cdcl$_W$-o-induct-lev2*) (*auto simp*: *cdcl$_W$-M-level-inv-decomp*)

lemma *tranclp-cdcl$_W$-o-no-more-init-clss*:
  assumes
    cdcl$_W$-o^{++} *S* S' **and**
    inv: *cdcl$_W$-M-level-inv S*
  shows *init-clss S* = *init-clss* S'
  using *assms* **apply** (*induct rule*: *tranclp.induct*)
  by (*auto dest*: *cdcl$_W$-o-no-more-init-clss*
    dest!: *tranclp-cdcl$_W$-consistent-inv dest*: *tranclp-mono-explicit*[*of cdcl$_W$-o - - cdcl$_W$*]
    simp: *other*)

lemma *rtranclp-cdcl$_W$-o-no-more-init-clss*:
  assumes
    cdcl$_W$-o** *S* S' **and**
    inv: *cdcl$_W$-M-level-inv S*
  shows *init-clss S* = *init-clss* S'
  using *assms* **unfolding** *rtranclp-unfold* **by** (*auto intro*: *tranclp-cdcl$_W$-o-no-more-init-clss*)

lemma *cdcl$_W$-init-clss*:
  cdcl$_W$ S T ⟹ *cdcl$_W$-M-level-inv S* ⟹ *init-clss S* = *init-clss T*
  by (*induct rule*: *cdcl$_W$-all-induct-lev2*) (*auto simp*: *cdcl$_W$-M-level-inv-def*)

lemma *rtranclp-cdcl*$_W$*-init-clss*:
  cdcl$_W$** *S T* ⟹ *cdcl*$_W$*-M-level-inv S* ⟹ *init-clss S* = *init-clss T*
  by (*induct rule*: *rtranclp-induct*) (*auto dest*: *cdcl*$_W$*-init-clss rtranclp-cdcl*$_W$*-consistent-inv*)

lemma *tranclp-cdcl*$_W$*-init-clss*:
  cdcl$_W$$^{++}$ *S T* ⟹ *cdcl*$_W$*-M-level-inv S* ⟹ *init-clss S* = *init-clss T*
  using *rtranclp-cdcl*$_W$*-init-clss*[*of S T*] **unfolding** *rtranclp-unfold* **by** *auto*

17.4.5 Learned Clause

This invariant shows that:

- the learned clauses are entailed by the initial set of clauses.
- the conflicting clause is entailed by the initial set of clauses.
- the marks are entailed by the clauses. A more precise version would be to show that either these marked are learned or are in the set of clauses

definition *cdcl*$_W$*-learned-clause* (*S*:: *'st*) ⟷
  (*init-clss S* ⊨*psm learned-clss S*
  ∧ (∀ *T*. *conflicting S* = *Some T* ⟶ *init-clss S* ⊨*pm T*)
  ∧ *set* (*get-all-mark-of-propagated* (*trail S*)) ⊆ *set-mset* (*clauses S*))

lemma *cdcl*$_W$*-learned-clause-S0-cdcl*$_W$[*simp*]:
   cdcl$_W$*-learned-clause* (*init-state N*)
  unfolding *cdcl*$_W$*-learned-clause-def* **by** *auto*

lemma *cdcl*$_W$*-learned-clss*:
  assumes
    cdcl$_W$ *S S'* **and**
    learned: *cdcl*$_W$*-learned-clause S* **and**
    lev-inv: *cdcl*$_W$*-M-level-inv S*
  shows *cdcl*$_W$*-learned-clause S'*
  using *assms*(*1*) *lev-inv learned*
proof (*induct rule*: *cdcl*$_W$*-all-induct-lev2*)
  case (*backtrack K i M1 M2 L D T*) **note** *decomp* = *this*(*1*) **and** *confl* = *this*(*3*) **and** *undef* = *this*(*6*)
  and *T* =*this*(*7*)
  show *?case*
    using *decomp confl learned undef T lev-inv* **unfolding** *cdcl*$_W$*-learned-clause-def*
    by (*auto dest*!: *get-all-marked-decomposition-exists-prepend*
      simp: *clauses-def cdcl*$_W$*-M-level-inv-decomp dest*: *true-clss-clss-left-right*)
next
  case (*resolve L C M D*) **note** *trail* = *this*(*1*) **and** *confl* = *this*(*2*) **and** *lvl* = *this*(*3*) **and**
    T =*this*(*4*)
  moreover
    have *init-clss S* ⊨*psm learned-clss S*
      using *learned trail* **unfolding** *cdcl*$_W$*-learned-clause-def clauses-def* **by** *auto*
    then have *init-clss S* ⊨*pm C* + {#*L*#}
      using *trail learned* **unfolding** *cdcl*$_W$*-learned-clause-def clauses-def*
      by (*auto dest*: *true-clss-clss-in-imp-true-clss-cls*)
  ultimately show *?case*
    using *learned*
    by (*auto dest*: *mk-disjoint-insert true-clss-clss-left-right*

```
    simp add: cdcl_W-learned-clause-def clauses-def
    intro: true-clss-cls-union-mset-true-clss-cls-or-not-true-clss-cls-or)
next
  case (restart T)
  then show ?case
    using learned-clss-restart-state[of T]
    by (auto dest!: get-all-marked-decomposition-exists-prepend
      simp: clauses-def state-eq-def cdcl_W-learned-clause-def
       simp del: state-simp
     dest: true-clss-clssm-subsetE)
next
  case propagate
  then show ?case using learned by (auto simp: cdcl_W-learned-clause-def clauses-def)
next
  case conflict
  then show ?case  using learned
    by (auto simp: cdcl_W-learned-clause-def clauses-def true-clss-clss-in-imp-true-clss-cls)
next
  case forget
  then show ?case
    using learned by (auto simp: cdcl_W-learned-clause-def clauses-def split: split-if-asm)
qed (auto simp: cdcl_W-learned-clause-def clauses-def)

lemma rtranclp-cdcl_W-learned-clss:
  assumes
    cdcl_W** S S' and
    cdcl_W-M-level-inv S
    cdcl_W-learned-clause S
  shows cdcl_W-learned-clause S'
  using assms by induction (auto dest: cdcl_W-learned-clss intro: rtranclp-cdcl_W-consistent-inv)
```

17.4.6 No alien atom in the state

This invariant means that all the literals are in the set of clauses.

```
definition no-strange-atm S' ⟷ (
    (∀ T. conflicting S' = Some T ⟶ atms-of T ⊆ atms-of-msu (init-clss S'))
  ∧ (∀ L mark. Propagated L mark ∈ set (trail S')
      ⟶ atms-of ( mark) ⊆ atms-of-msu (init-clss S'))
  ∧ atms-of-msu (learned-clss S') ⊆ atms-of-msu (init-clss S')
  ∧ atm-of ` (lits-of (trail S')) ⊆ atms-of-msu (init-clss S'))

lemma no-strange-atm-decomp:
  assumes no-strange-atm S
  shows conflicting S = Some T ⟹ atms-of T ⊆ atms-of-msu (init-clss S)
  and (∀ L mark. Propagated L mark ∈ set (trail S)
    ⟶ atms-of ( mark) ⊆ atms-of-msu (init-clss S))
  and atms-of-msu (learned-clss S) ⊆ atms-of-msu (init-clss S)
  and atm-of ` (lits-of (trail S)) ⊆ atms-of-msu (init-clss S)
  using assms unfolding no-strange-atm-def by blast+

lemma no-strange-atm-S0 [simp]: no-strange-atm (init-state N)
  unfolding no-strange-atm-def by auto

lemma cdcl_W-no-strange-atm-explicit:
  assumes
```

```
    cdcl_W S S′ and
    lev: cdcl_W-M-level-inv S and
    conf: ∀ T. conflicting S = Some T ⟶ atms-of T ⊆ atms-of-msu (init-clss S) and
    marked: ∀ L mark. Propagated L mark ∈ set (trail S)
      ⟶ atms-of mark ⊆ atms-of-msu (init-clss S) and
    learned: atms-of-msu (learned-clss S) ⊆ atms-of-msu (init-clss S) and
    trail: atm-of ‘ (lits-of (trail S)) ⊆ atms-of-msu (init-clss S)
  shows (∀ T. conflicting S′ = Some T ⟶ atms-of T ⊆ atms-of-msu (init-clss S′)) ∧
   (∀ L mark. Propagated L mark ∈ set (trail S′)
     ⟶ atms-of ( mark) ⊆ atms-of-msu (init-clss S′)) ∧
   atms-of-msu (learned-clss S′) ⊆ atms-of-msu (init-clss S′) ∧
   atm-of ‘ (lits-of (trail S′)) ⊆ atms-of-msu (init-clss S′) (is ?C S′ ∧ ?M S′ ∧ ?U S′ ∧ ?V S′)
  using assms(1,2)
proof (induct rule: cdcl_W-all-induct-lev2)
 case (propagate C L T) note C-L = this(1) and undef = this(3) and confl = this(4) and T =this(5)
  have ?C (cons-trail (Propagated L (C + {#L#})) S) using confl undef by auto
  moreover
    have atms-of (C + {#L#}) ⊆ atms-of-msu (init-clss S)
      by (metis (no-types) atms-of-atms-of-ms-mono atms-of-ms-union clauses-def mem-set-mset-iff
        C-L learned set-mset-union sup.orderE)
    then have ?M (cons-trail (Propagated L (C + {#L#})) S) using undef
      by (simp add: marked)
  moreover have ?U  (cons-trail (Propagated L (C + {#L#})) S)
    using learned undef by auto
  moreover have ?V (cons-trail (Propagated L (C + {#L#})) S)
    using C-L learned trail undef unfolding clauses-def
    by (auto simp: in-plus-implies-atm-of-on-atms-of-ms)
  ultimately show ?case using T by auto
next
  case (decide L)
  then show ?case using learned marked conf trail unfolding clauses-def by auto
next
  case (skip L C M D)
  then show ?case using learned marked conf trail by auto
next
  case (conflict D T) note T =this(4)
  have D: atm-of ‘ set-mset D ⊆ ⋃(atms-of ‘ (set-mset (clauses S)))
    using ‹D ∈# clauses S› by (auto simp add: atms-of-def atms-of-ms-def)
  moreover {
    fix xa :: ′v literal
    assume a1: atm-of ‘ set-mset D ⊆ (⋃x∈set-mset (init-clss S). atms-of x)
      ∪ (⋃x∈set-mset (learned-clss S). atms-of x)
    assume a2: (⋃x∈set-mset (learned-clss S). atms-of x) ⊆ (⋃x∈set-mset (init-clss S). atms-of x)
    assume xa ∈# D
    then have atm-of xa ∈ UNION (set-mset (init-clss S)) atms-of
      using a2 a1 by (metis (no-types) Un-iff atm-of-lit-in-atms-of atms-of-def subset-Un-eq)
    then have ∃ m∈set-mset (init-clss S). atm-of xa ∈ atms-of m
      by blast
    } note H = this
  ultimately show ?case using conflict.prems T learned marked conf trail
    unfolding atms-of-def atms-of-ms-def clauses-def
     by (auto simp add: H )
next
  case (restart T)
  then show ?case using learned marked conf trail by auto
```

```
next
  case (forget C T) note C = this(3) and C-le = this(4) and confl = this(5) and
    T = this(6)
  have H: ⋀L mark. Propagated L mark ∈ set (trail S) ⟹ atms-of mark ⊆ atms-of-msu (init-clss S)
    using marked by simp
  show ?case unfolding clauses-def apply standard
    using conf T trail C unfolding clauses-def apply (auto dest!: H)[]
    apply standard
     using T trail C apply (auto dest!: H)[]
    apply standard
      using T learned C C-le atms-of-ms-remove-subset[of set-mset (learned-clss S)] apply (auto)[]
    using T trail C apply (auto simp: clauses-def lits-of-def)[]
  done
next
  case (backtrack K i M1 M2 L D T) note decomp = this(1) and confl = this(3) and undef= this(6)
    and T =this(7)
  have ?C T
    using conf T decomp undef lev by (auto simp: cdcl_W-M-level-inv-decomp)
  moreover have set M1 ⊆ set (trail S)
    using backtrack.hyps(1) by auto
  then have M: ?M T
    using marked conf undef confl T decomp lev
    by (auto simp: image-subset-iff clauses-def cdcl_W-M-level-inv-decomp)
  moreover have ?U T
    using learned decomp conf confl T undef lev unfolding clauses-def
    by (auto simp: cdcl_W-M-level-inv-decomp)
  moreover have ?V T
    using M conf confl trail T undef decomp lev by (force simp: cdcl_W-M-level-inv-decomp)
  ultimately show ?case by blast
next
  case (resolve L C M D T) note trail-S = this(1) and confl = this(2) and T = this(4)
  let ?T = update-conflicting (Some (remdups-mset (D + C))) (tl-trail S)
  have ?C ?T
    using confl trail-S conf marked by simp
  moreover have  ?M ?T
    using confl trail-S conf marked by auto
  moreover have ?U ?T
    using trail learned by auto
  moreover have ?V ?T
    using confl trail-S trail by auto
  ultimately show ?case using T by auto
qed

lemma cdcl_W-no-strange-atm-inv:
  assumes cdcl_W S S' and no-strange-atm S and cdcl_W-M-level-inv S
  shows no-strange-atm S'
  using cdcl_W-no-strange-atm-explicit[OF assms(1)] assms(2,3) unfolding no-strange-atm-def by fast

lemma rtranclp-cdcl_W-no-strange-atm-inv:
  assumes cdcl_W** S S' and no-strange-atm S and cdcl_W-M-level-inv S
  shows no-strange-atm S'
  using assms by induction (auto intro: cdcl_W-no-strange-atm-inv rtranclp-cdcl_W-consistent-inv)
```

17.4.7 No duplicates all around

This invariant shows that there is no duplicate (no literal appearing twice in the formula). The last part could be proven using the previous invariant moreover.

definition *distinct-cdcl$_W$-state* (*S*::*'st*)
  $\longleftrightarrow$ ((∀ *T*. *conflicting S* = *Some T* $\longrightarrow$ *distinct-mset T*)
    ∧ *distinct-mset-mset* (*learned-clss S*)
    ∧ *distinct-mset-mset* (*init-clss S*)
    ∧ (∀ *L mark*. (*Propagated L mark* ∈ *set* (*trail S*) $\longrightarrow$ *distinct-mset* (*mark*))))

lemma *distinct-cdcl$_W$-state-decomp*:
  assumes *distinct-cdcl$_W$-state* (*S*::*'st*)
  shows ∀ *T*. *conflicting S* = *Some T* $\longrightarrow$ *distinct-mset T*
  and *distinct-mset-mset* (*learned-clss S*)
  and *distinct-mset-mset* (*init-clss S*)
  and ∀ *L mark*. (*Propagated L mark* ∈ *set* (*trail S*) $\longrightarrow$ *distinct-mset* (*mark*))
  using *assms* **unfolding** *distinct-cdcl$_W$-state-def* **by** *blast+*

lemma *distinct-cdcl$_W$-state-decomp-2*:
  assumes *distinct-cdcl$_W$-state* (*S*::*'st*)
  shows *conflicting S* = *Some T* $\Longrightarrow$ *distinct-mset T*
  using *assms* **unfolding** *distinct-cdcl$_W$-state-def* **by** *auto*

lemma *distinct-cdcl$_W$-state-S0-cdcl$_W$*[*simp*]:
  distinct-mset-mset N $\Longrightarrow$ *distinct-cdcl$_W$-state* (*init-state N*)
  unfolding *distinct-cdcl$_W$-state-def* **by** *auto*

lemma *distinct-cdcl$_W$-state-inv*:
  assumes
    cdcl$_W$ S S' **and**
    cdcl$_W$-M-level-inv S **and**
    distinct-cdcl$_W$-state S
  shows *distinct-cdcl$_W$-state S'*
  using *assms*
proof (*induct rule*: *cdcl$_W$-all-induct-lev2*)
  case (*backtrack K i M1 M2 L D*)
  then show *?case*
    unfolding *distinct-cdcl$_W$-state-def*
    by (*fastforce dest*: *get-all-marked-decomposition-incl simp*: *cdcl$_W$-M-level-inv-decomp*)
next
  case *restart*
  then show *?case* **unfolding** *distinct-cdcl$_W$-state-def distinct-mset-set-def clauses-def*
  using *learned-clss-restart-state*[*of S*] **by** *auto*
next
  case *resolve*
  then show *?case*
    by (*auto simp add*: *distinct-cdcl$_W$-state-def distinct-mset-set-def clauses-def*
      distinct-mset-single-add
      intro!: *distinct-mset-union-mset*)
qed (*auto simp add*: *distinct-cdcl$_W$-state-def distinct-mset-set-def clauses-def*)

lemma *rtanclp-distinct-cdcl$_W$-state-inv*:
  assumes
    cdcl$_W$** S S' **and**
    cdcl$_W$-M-level-inv S **and**

```
  distinct-cdcl_W-state S
shows distinct-cdcl_W-state S'
using assms apply (induct rule: rtranclp-induct)
using distinct-cdcl_W-state-inv rtranclp-cdcl_W-consistent-inv by blast+
```

17.4.8 Conflicts and co

This invariant shows that each mark contains a contradiction only related to the previously defined variable.

```
abbreviation every-mark-is-a-conflict :: 'st ⇒ bool where
every-mark-is-a-conflict S ≡
 ∀ L mark a b. a @ Propagated L mark # b = (trail S)
   ⟶ (b ⊨as CNot ( mark − {#L#}) ∧ L ∈# mark)

definition cdcl_W-conflicting S ≡
  (∀ T. conflicting S = Some T ⟶ trail S ⊨as CNot T)
  ∧ every-mark-is-a-conflict S


lemma backtrack-atms-of-D-in-M1:
  fixes M1 :: ('v, nat, 'v clause) marked-lits
  assumes
    inv: cdcl_W-M-level-inv S and
    undef: undefined-lit M1 L and
    i: get-maximum-level (trail S) D = i and
    decomp: (Marked K (Suc i) # M1, M2)
       ∈ set (get-all-marked-decomposition (trail S)) and
    S-lvl: backtrack-lvl S = get-maximum-level (trail S) (D + {#L#}) and
    S-confl: conflicting S = Some (D + {#L#}) and
    undef: undefined-lit M1 L and
    T: T ∼ (cons-trail (Propagated L (D+{#L#}))
                (reduce-trail-to M1
                    (add-learned-cls (D + {#L#})
                       (update-backtrack-lvl i
                          (update-conflicting None S))))) and
    confl: ∀ T. conflicting S = Some T ⟶ trail S ⊨as CNot T
  shows atms-of D ⊆ atm-of ‘ lits-of (tl (trail T))
proof (rule ccontr)
  let ?k = get-maximum-level (trail S) (D + {#L#})
  have trail S ⊨as CNot D using confl S-confl by auto
  then have vars-of-D: atms-of D ⊆ atm-of ‘ lits-of (trail S) unfolding atms-of-def
    by (meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined)

  obtain M0 where M: trail S = M0 @ M2 @ Marked K (Suc i) # M1
    using decomp by auto

  have max: get-maximum-level (trail S) (D + {#L#})
    = length (get-all-levels-of-marked (M0 @ M2 @ Marked K (Suc i) # M1))
    using inv unfolding cdcl_W-M-level-inv-def S-lvl M by simp
  assume a: ¬ ?thesis
  then obtain L' where
    L': L' ∈ atms-of D and
    L'-notin-M1: L' ∉ atm-of ‘ lits-of M1
    using T undef decomp inv by (auto simp: cdcl_W-M-level-inv-decomp)
  then have L'-in: L' ∈ atm-of ‘ lits-of (M0 @ M2 @ Marked K (i + 1) # [])
    using vars-of-D unfolding M by force
  then obtain L'' where
    L'' ∈# D and
    L'': L' = atm-of L''
    using L' L'-notin-M1 unfolding atms-of-def by auto
  have lev-L'':
    get-level (trail S) L'' = get-rev-level (Marked K (Suc i) # rev M2 @ rev M0) (Suc i) L''
    using L'-notin-M1 L'' M by (auto simp del: get-rev-level.simps)
  have get-all-levels-of-marked (trail S) = rev [1..<1+?k]
    using inv S-lvl unfolding cdcl_W-M-level-inv-def by auto
  then have get-all-levels-of-marked (M0 @ M2)
    = rev [Suc (Suc i)..<Suc (get-maximum-level (trail S) (D + {#L#}))]
    unfolding M by (auto simp:rev-swap[symmetric] dest!: append-cons-eq-upt-length-i-end)

  then have M: get-all-levels-of-marked M0 @ get-all-levels-of-marked M2
   = rev [Suc (Suc i)..<Suc (length (get-all-levels-of-marked (M0 @ M2 @ Marked K (Suc i) # M1)))]
    unfolding max unfolding M by simp

  have get-rev-level (Marked K (Suc i) # rev (M0 @ M2)) (Suc i) L''
    ≥ Min (set ((Suc i) # get-all-levels-of-marked (Marked K (Suc i) # rev (M0 @ M2))))
    using get-rev-level-ge-min-get-all-levels-of-marked[of L''
      rev (M0 @ M2 @ [Marked K (Suc i)]) Suc i] L'-in
    unfolding L'' by (fastforce simp add: lits-of-def)
  also have Min (set ((Suc i) # get-all-levels-of-marked (Marked K (Suc i) # rev (M0 @ M2))))
    = Min (set ((Suc i) # get-all-levels-of-marked (rev (M0 @ M2)))) by auto
  also have ... = Min (set ((Suc i) # get-all-levels-of-marked M0 @ get-all-levels-of-marked M2))
    by (simp add: Un-commute)
  also have ... =  Min (set ((Suc i) # [Suc (Suc i)..<2 + length (get-all-levels-of-marked M0)
    + (length (get-all-levels-of-marked M2) + length (get-all-levels-of-marked M1))]))
    unfolding M by (auto simp add: Un-commute)
  also have ... = Suc i by (auto intro: Min-eqI)
  finally have get-rev-level (Marked K (Suc i) # rev (M0 @ M2)) (Suc i) L'' ≥ Suc i .
  then have get-level (trail S) L'' ≥ i + 1
    using lev-L'' by simp
  then have get-maximum-level (trail S) D ≥ i + 1
    using get-maximum-level-ge-get-level[OF ‹L'' ∈# D›, of trail S] by auto
  then show False using i by auto
qed

lemma distinct-atms-of-incl-not-in-other:
  assumes
    a1: no-dup (M @ M') and a2:
    atms-of D ⊆ atm-of ` lits-of M'
  shows ∀x∈atms-of D. x ∉ atm-of ` lits-of M
proof −
  { fix aa :: 'a
    have ff1: ⋀l ms. undefined-lit ms l ∨ atm-of l
      ∈ set (map (λm. atm-of (lit-of (m::('a, 'b, 'c) marked-lit))) ms)
      by (simp add: defined-lit-map)
    have ff2: ⋀a. a ∉ atms-of D ∨ a ∈ atm-of ` lits-of M'
      using a2 by (meson subsetCE)
    have ff3: ⋀a. a ∉ set (map (λm. atm-of (lit-of m)) M')
      ∨ a ∉ set (map (λm. atm-of (lit-of m)) M)
      using a1 by (metis (lifting) IntI distinct-append empty-iff map-append)
    have ∀L a f. ∃l. ((a::'a) ∉ f ` L ∨ (l::'a literal) ∈ L) ∧ (a ∉ f ` L ∨ f l = a)
      by blast
    then have aa ∉ atms-of D ∨ aa ∉ atm-of ‘ lits-of M
      using ff3 ff2 ff1 by (metis (no-types) Marked-Propagated-in-iff-in-lits-of) }
  then show ?thesis
    by blast
qed

lemma cdcl_W-propagate-is-conclusion:
  assumes
    cdcl_W S S' and
    inv: cdcl_W-M-level-inv S and
    decomp: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S)) and
    learned: cdcl_W-learned-clause S and
    confl: ∀ T. conflicting S = Some T ⟶ trail S ⊨as CNot T and
    alien: no-strange-atm S
  shows all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))
  using assms(1,2)
proof (induct rule: cdcl_W-all-induct-lev2)
  case restart
  then show ?case by auto
next
  case forget
  then show ?case using decomp by auto
next
  case conflict
  then show ?case using decomp by auto
next
  case (resolve L C M D) note tr = this(1) and T = this(4)
  let ?decomp = get-all-marked-decomposition M
  have M: set ?decomp = insert (hd ?decomp) (set (tl ?decomp))
    by (cases ?decomp) auto
  show ?case
    using decomp tr T unfolding all-decomposition-implies-def
    by (cases hd (get-all-marked-decomposition M))
       (auto simp: M)
next
  case (skip L C' M D) note tr = this(1) and T = this(5)
  have M: set (get-all-marked-decomposition M)
    = insert (hd (get-all-marked-decomposition M)) (set (tl (get-all-marked-decomposition M)))
    by (cases get-all-marked-decomposition M) auto
  show ?case
    using decomp tr T unfolding all-decomposition-implies-def
    by (cases hd (get-all-marked-decomposition M))
       (auto simp add: M)
next
  case decide note S = this(1) and undef = this(2) and T = this(4)
  show ?case using decomp T undef unfolding S all-decomposition-implies-def by auto
next
  case (propagate C L T) note propa = this(2) and undef = this(3) and T =this(5)
  obtain a y where ay: hd (get-all-marked-decomposition (trail S)) = (a, y)
    by (cases hd (get-all-marked-decomposition (trail S)))
  then have M: trail S = y @ a using get-all-marked-decomposition-decomp by blast
  have M': set (get-all-marked-decomposition (trail S))
    = insert (a, y) (set (tl (get-all-marked-decomposition (trail S))))
    using ay by (cases get-all-marked-decomposition (trail S)) auto
  have unmark a ∪ set-mset (init-clss S) ⊨ps unmark y
```

```
    using decomp ay unfolding all-decomposition-implies-def
    by (cases get-all-marked-decomposition (trail S)) fastforce+
  then have a-Un-N-M: unmark a ∪ set-mset (init-clss S)
    ⊨ps unmark (trail S)
    unfolding M by (auto simp add: all-in-true-clss-clss image-Un)

  have unmark a ∪ set-mset (init-clss S) ⊨p {#L#} (is ?I ⊨p -)
    proof (rule true-clss-cls-plus-CNot)
      show ?I ⊨p C + {#L#}
        using propa propagate.prems learned confl unfolding M
        by (metis Un-iff cdcl_W-learned-clause-def clauses-def mem-set-mset-iff propagate.hyps(1)
          set-mset-union true-clss-clss-in-imp-true-clss-cls true-clss-cs-mono-l2
          union-trus-clss-clss)
    next
      have (λm. {#lit-of m#}) ` set (trail S) ⊨ps CNot C
        using ‹(trail S) ⊨as CNot C› true-annots-true-clss-clss by blast
      then show ?I ⊨ps CNot C
        using a-Un-N-M true-clss-clss-left-right true-clss-clss-union-l-r by blast
    qed
  moreover have ⋀aa b.
      ∀ (Ls, seen)∈set (get-all-marked-decomposition (y @ a)).
        unmark Ls ∪ set-mset (init-clss S) ⊨ps unmark seen
    ⟹ (aa, b) ∈ set (tl (get-all-marked-decomposition (y @ a)))
    ⟹ unmark aa ∪ set-mset (init-clss S) ⊨ps unmark b
    by (metis (no-types, lifting) case-prod-conv get-all-marked-decomposition-never-empty-sym
      list.collapse list.set-intros(2))

  ultimately show ?case
    using decomp T undef unfolding ay all-decomposition-implies-def
    using M ‹unmark a ∪ set-mset (init-clss S) ⊨ps unmark y›
     ay by auto
next
  case (backtrack K i M1 M2 L D T) note decomp' = this(1) and lev-L = this(2) and conf = this(3)
and
    undef = this(6) and T = this(7)
  have ∀ l ∈ set M2. ¬is-marked l
    using get-all-marked-decomposition-snd-not-marked backtrack.hyps(1) by blast
  obtain M0 where M: trail S = M0 @ M2 @ Marked K (i + 1) # M1
    using decomp' by auto
  show ?case unfolding all-decomposition-implies-def
    proof
      fix x
      assume x ∈set (get-all-marked-decomposition (trail T))
      then have x: x ∈ set (get-all-marked-decomposition (Propagated L ((D + {#L#})) # M1))
        using T decomp' undef inv by (simp add: cdcl_W-M-level-inv-decomp)
      let ?m = get-all-marked-decomposition (Propagated L ((D + {#L#})) # M1)
      let ?hd = hd ?m
      let ?tl = tl ?m
      have x = ?hd ∨ x ∈ set ?tl
        using x by (cases ?m) auto
      moreover {
        assume x ∈ set ?tl
        then have x ∈ set (get-all-marked-decomposition (trail S))
          using tl-get-all-marked-decomposition-skip-some[of x] by (simp add: list.set-sel(2) M)
        then have case x of (Ls, seen) ⇒ unmark Ls
```

```
          ∪ set-mset (init-clss (T))
          ⊨ps unmark seen
      using decomp learned decomp confl alien inv T undef M
      unfolding all-decomposition-implies-def cdcl_W-M-level-inv-def
      by auto
  }
  moreover {
    assume x = ?hd
    obtain M1' M1'' where M1: hd (get-all-marked-decomposition M1) = (M1', M1'')
      by (cases hd (get-all-marked-decomposition M1))
    then have x': x = (M1', Propagated L ( (D + {#L#})) # M1'')
      using ‹x= ?hd› by auto
    have (M1', M1'') ∈ set (get-all-marked-decomposition (trail S))
      using M1[symmetric] hd-get-all-marked-decomposition-skip-some[OF M1[symmetric],
        of M0 @ M2 - i+1] unfolding M by fastforce
    then have 1: unmark M1' ∪ set-mset (init-clss S)
      ⊨ps unmark M1''
      using decomp unfolding all-decomposition-implies-def by auto
    moreover
      have trail S ⊨as CNot D using conf confl by auto
      then have vars-of-D: atms-of D ⊆ atm-of ‘ lits-of (trail S)
        unfolding atms-of-def
        by (meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined)
      have vars-of-D: atms-of D ⊆ atm-of ‘ lits-of M1
        using backtrack-atms-of-D-in-M1[of S M1 L D i  K M2 T] backtrack inv conf confl
        by (auto simp: cdcl_W-M-level-inv-decomp)
      have no-dup (trail S) using inv by (auto simp: cdcl_W-M-level-inv-decomp)
      then have vars-in-M1:
        ∀x ∈ atms-of D. x ∉ atm-of ‘ lits-of (M0 @ M2 @ Marked K (i + 1) # [])
        using vars-of-D distinct-atms-of-incl-not-in-other[of M0 @M2 @ Marked K (i + 1) # []
          M1]
        unfolding M by auto
      have M1 ⊨as CNot D
        using vars-in-M1 true-annots-remove-if-notin-vars[of M0 @ M2 @ Marked K (i + 1) # []
          M1 CNot D] ‹trail S ⊨as CNot D› unfolding M lits-of-def by simp
      have M1 = M1'' @ M1' by (simp add: M1 get-all-marked-decomposition-decomp)
      have TT: unmark M1' ∪ set-mset (init-clss S) ⊨ps CNot D
        using true-annots-true-clss-cls[OF ‹M1 ⊨as CNot D›] true-clss-clss-left-right[OF 1,
          of CNot D] unfolding ‹M1 = M1'' @ M1'› by (auto simp add: inf-sup-aci(5,7))
      have init-clss S ⊨pm D + {#L#}
        using conf learned cdcl_W-learned-clause-def confl by blast
      then have T': unmark M1' ∪ set-mset (init-clss S) ⊨p D + {#L#} by auto
      have atms-of (D + {#L#}) ⊆ atms-of-msu (clauses S)
        using alien conf unfolding no-strange-atm-def clauses-def by auto
      then have unmark M1' ∪ set-mset (init-clss S) ⊨p {#L#}
        using true-clss-cls-plus-CNot[OF T' TT] by auto
    ultimately
      have case x of (Ls, seen) ⇒ unmark Ls
        ∪ set-mset (init-clss T)
        ⊨ps unmark seen using T' T decomp' undef inv unfolding x'
        by (simp add: cdcl_W-M-level-inv-decomp)
  }
  ultimately show case x of (Ls, seen) ⇒ unmark Ls ∪ set-mset (init-clss T)
    ⊨ps unmark seen using T by auto
qed
```

```
qed

lemma cdcl_W-propagate-is-false:
  assumes
    cdcl_W S S' and
    lev: cdcl_W-M-level-inv S and
    learned: cdcl_W-learned-clause S and
    decomp: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S)) and
    confl: ∀ T. conflicting S = Some T ⟶ trail S ⊨as CNot T and
    alien: no-strange-atm S and
    mark-confl: every-mark-is-a-conflict S
  shows every-mark-is-a-conflict S'
  using assms(1,2)
proof (induct rule: cdcl_W-all-induct-lev2)
  case (propagate C L T) note undef = this(3) and T =this(5)
  show ?case
    proof (intro allI impI)
      fix L' mark a b
      assume a @ Propagated L' mark # b = trail T
      then have (a=[] ∧ L = L' ∧  mark = C + {#L#} ∧ b = trail S)
        ∨ tl a @ Propagated L' mark # b = trail S
        using T undef by (cases a) fastforce+
      moreover {
        assume tl a @ Propagated L' mark # b = trail S
        then have b ⊨as CNot ( mark − {#L'#}) ∧ L' ∈#  mark
          using mark-confl by auto
      }
      moreover {
        assume a=[] and L = L' and  mark = C + {#L#} and b = trail S
        then have b ⊨as CNot ( mark − {#L#}) ∧ L ∈#  mark
          using ‹trail S ⊨as CNot C› by auto
      }
      ultimately show b ⊨as CNot ( mark − {#L'#}) ∧ L' ∈#  mark by blast
    qed
next
  case (decide L) note undef[simp] = this(2) and T = this(4)
  have ⋀a La mark b. a @ Propagated La mark # b = Marked L (backtrack-lvl S+1) # trail S
    ⟹ tl a @ Propagated La mark # b = trail S by (case-tac a, auto)
  then show ?case using mark-confl T unfolding decide.hyps(1) by fastforce
next
  case (skip L C' M D T) note tr = this(1) and T = this(5)
  show ?case
    proof (intro allI impI)
      fix L' mark a b
      assume a @ Propagated L' mark # b = trail T
      then have a @ Propagated L' mark # b = M using tr T by simp
      then have (Propagated L C' # a) @ Propagated L' mark # b = Propagated L C' # M by auto
      moreover have ∀ La mark a b. a @ Propagated La mark # b = Propagated L C' # M
        ⟶ b ⊨as CNot ( mark − {#La#}) ∧ La ∈#  mark
        using mark-confl unfolding skip.hyps(1) by simp
      ultimately show b ⊨as CNot ( mark − {#L'#}) ∧ L' ∈#  mark by blast
    qed
next
  case (conflict D)
  then show ?case using mark-confl by simp
```

```
next
  case (resolve L C M D T) note tr-S = this(1) and T = this(4)
  show ?case unfolding resolve.hyps(1)
    proof (intro allI impI)
      fix  L' mark a b
      assume a @ Propagated L' mark # b = trail T
      then have Propagated L ( (C + {#L#})) # M
        = (Propagated L ( (C + {#L#})) # a) @ Propagated L' mark # b
        using T tr-S by auto
      then show b ⊨as CNot ( mark − {#L'#}) ∧ L' ∈#  mark
        using mark-confl unfolding resolve.hyps(1) by presburger
    qed
next
  case restart
  then show ?case by auto
next
  case forget
  then show ?case using mark-confl by auto
next
  case (backtrack K i M1 M2 L D T) note decomp = this(1) and conf = this(3) and undef = this(6)
and
    T =this(7)
  have ∀ l ∈ set M2. ¬is-marked l
    using get-all-marked-decomposition-snd-not-marked backtrack.hyps(1) by blast
  obtain M0 where M: trail S = M0 @ M2 @ Marked K (i + 1) # M1
    using backtrack.hyps(1) by auto
  have [simp]: trail (reduce-trail-to M1 (add-learned-cls (D + {#L#})
    (update-backtrack-lvl i (update-conflicting None S)))) = M1
    using decomp lev by (auto simp: cdcl_W-M-level-inv-decomp)
  show ?case
    proof (intro allI impI)
      fix La mark a b
      assume a @ Propagated La mark # b = trail T
      then have (a = [] ∧ Propagated La mark = Propagated L (D + {#L#}) ∧ b = M1)
        ∨ tl a @ Propagated La mark # b = M1
        using M T decomp undef by (cases a) (auto)
      moreover {
        assume A: a = [] and
          P: Propagated La mark = Propagated L ( (D + {#L#})) and
          b: b = M1
        have trail S ⊨as CNot D using conf confl by auto
        then have vars-of-D: atms-of D ⊆ atm-of ‘ lits-of (trail S)
          unfolding atms-of-def
          by (meson image-subsetI mem-set-mset-iff true-annots-CNot-all-atms-defined)
        have vars-of-D: atms-of D ⊆ atm-of ‘ lits-of M1
          using backtrack-atms-of-D-in-M1[of S M1 L D i K M2 T] T  backtrack lev confl by auto
        have no-dup (trail S) using lev by (auto simp: cdcl_W-M-level-inv-decomp)
        then have vars-in-M1: ∀ x ∈ atms-of D. x ∉
          atm-of ‘ lits-of (M0 @ M2 @ Marked K (i + 1) # [])
          using vars-of-D distinct-atms-of-incl-not-in-other[of M0 @ M2 @ Marked K (i + 1) # []
            M1] unfolding M by auto
        have M1 ⊨as CNot D
          using vars-in-M1 true-annots-remove-if-notin-vars[of M0 @ M2 @ Marked K (i + 1) # [] M1
            CNot D] ‹trail S ⊨as CNot D› unfolding M lits-of-def by simp
        then have b ⊨as CNot ( mark − {#La#}) ∧ La ∈#  mark
        using $P$ $b$ by $auto$
      }
      moreover {
        assume $tl\ a\ @\ Propagated\ La\ mark\ \#\ b = M1$
        then obtain $c'$ where $c'\ @\ Propagated\ La\ mark\ \#\ b = trail\ S$ unfolding $M$ by $auto$
        then have $b \models as\ CNot\ (mark - \{\#La\#\}) \wedge La \in\#\ mark$
          using $mark\text{-}confl$ by $blast$
      }
      ultimately show $b \models as\ CNot\ (mark - \{\#La\#\}) \wedge La \in\#\ mark$ by $fast$
    qed
qed

lemma $cdcl_W\text{-}conflicting\text{-}is\text{-}false$:
  assumes
    $cdcl_W\ S\ S'$ and
    $M\text{-}lev$: $cdcl_W\text{-}M\text{-}level\text{-}inv\ S$ and
    $confl\text{-}inv$: $\forall\ T.\ conflicting\ S = Some\ T \longrightarrow trail\ S \models as\ CNot\ T$ and
    $marked\text{-}confl$: $\forall\ L\ mark\ a\ b.\ a\ @\ Propagated\ L\ mark\ \#\ b = (trail\ S)$
      $\longrightarrow (b \models as\ CNot\ (mark - \{\#L\#\}) \wedge L \in\#\ mark)$ and
      $dist$: $distinct\text{-}cdcl_W\text{-}state\ S$
  shows $\forall\ T.\ conflicting\ S' = Some\ T \longrightarrow trail\ S' \models as\ CNot\ T$
  using $assms(1,2)$
proof ($induct\ rule$: $cdcl_W\text{-}all\text{-}induct\text{-}lev2$)
  case ($skip\ L\ C'\ M\ D$) note $tr\text{-}S = this(1)$ and $T = this(5)$
  then have $Propagated\ L\ C'\ \#\ M \models as\ CNot\ D$ using $assms\ skip$ by $auto$
  moreover
    have $L \notin\#\ D$
      proof ($rule\ ccontr$)
        assume $\neg\ ?thesis$
        then have $-\ L \in lits\text{-}of\ M$
          using $in\text{-}CNot\text{-}implies\text{-}uminus(2)[of\ D\ L\ Propagated\ L\ C'\ \#\ M]$
          $\langle Propagated\ L\ C'\ \#\ M \models as\ CNot\ D\rangle$ by $simp$
        then show $False$
          by ($metis\ M\text{-}lev\ cdcl_W\text{-}M\text{-}level\text{-}inv\text{-}decomp(1)\ consistent\text{-}interp\text{-}def\ insert\text{-}iff$
            $lits\text{-}of\text{-}cons\ marked\text{-}lit.sel(2)\ skip.hyps(1)$)
      qed
  ultimately show $?case$
    using $skip.hyps(1-3)\ true\text{-}annots\text{-}CNot\text{-}lit\text{-}of\text{-}notin\text{-}skip\ T$ unfolding $cdcl_W\text{-}M\text{-}level\text{-}inv\text{-}def$
     by $fastforce$
next
  case ($resolve\ L\ C\ M\ D\ T$) note $tr = this(1)$ and $confl = this(2)$ and $T = this(4)$
  show $?case$
    proof ($intro\ allI\ impI$)
      fix $T'$
      have $tl\ (trail\ S) \models as\ CNot\ C$ using $tr\ assms(4)$ by $fastforce$
      moreover
        have $distinct\text{-}mset\ (D + \{\#-\ L\#\})$ using $confl\ dist$
          unfolding $distinct\text{-}cdcl_W\text{-}state\text{-}def$ by $auto$
        then have $-L \notin\#\ D$ unfolding $distinct\text{-}mset\text{-}def$ by $auto$
        have $M \models as\ CNot\ D$
          proof -
            have $Propagated\ L\ (\ (C + \{\#L\#\}))\ \#\ M \models as\ CNot\ D \cup CNot\ \{\#-\ L\#\}$
              using $confl\ tr\ confl\text{-}inv$  by $force$
            then show $?thesis$
              using $M\text{-}lev\ \langle -\ L \notin\#\ D\rangle\ tr\ true\text{-}annots\text{-}lit\text{-}of\text{-}notin\text{-}skip$
          unfolding cdcl_W-M-level-inv-def by force
        qed
      moreover assume conflicting T = Some T'
      ultimately
        show trail T ⊨as CNot T'
        using tr T by auto
    qed
qed (auto simp: assms(2) cdcl_W-M-level-inv-decomp)

lemma cdcl_W-conflicting-decomp:
  assumes cdcl_W-conflicting S
  shows ∀T. conflicting S = Some T ⟶ trail S ⊨as CNot T
  and ∀L mark a b. a @ Propagated L mark # b = (trail S)
    ⟶ (b ⊨as CNot ( mark - {#L#}) ∧ L ∈# mark)
  using assms unfolding cdcl_W-conflicting-def by blast+

lemma cdcl_W-conflicting-decomp2:
  assumes cdcl_W-conflicting S and conflicting S = Some T
  shows trail S ⊨as CNot T
  using assms unfolding cdcl_W-conflicting-def by blast+

lemma cdcl_W-conflicting-decomp2':
  assumes
    cdcl_W-conflicting S and
    conflicting S = Some D
  shows trail S ⊨as CNot D
  using assms unfolding cdcl_W-conflicting-def by auto

lemma cdcl_W-conflicting-S0-cdcl_W[simp]:
  cdcl_W-conflicting (init-state N)
  unfolding cdcl_W-conflicting-def by auto
```

17.4.9 Putting all the invariants together

```
lemma cdcl_W-all-inv:
  assumes cdcl_W: cdcl_W S S' and
  1: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S)) and
  2: cdcl_W-learned-clause S and
  4: cdcl_W-M-level-inv S and
  5: no-strange-atm S and
  7: distinct-cdcl_W-state S and
  8: cdcl_W-conflicting S
  shows all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))
  and cdcl_W-learned-clause S'
  and cdcl_W-M-level-inv S'
  and no-strange-atm S'
  and distinct-cdcl_W-state S'
  and cdcl_W-conflicting S'
proof -
  show S1: all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))
    using cdcl_W-propagate-is-conclusion[OF cdcl_W 4 1 2 - 5] 8 unfolding cdcl_W-conflicting-def
    by blast
  show S2: cdcl_W-learned-clause S' using cdcl_W-learned-clss[OF cdcl_W 2 4] .
  show S4: cdcl_W-M-level-inv S' using cdcl_W-consistent-inv[OF cdcl_W 4] .
  show S5: no-strange-atm S'  using  cdcl_W-no-strange-atm-inv[OF cdcl_W 5 4] .
  show S7: distinct-cdcl_W-state S' using distinct-cdcl_W-state-inv[OF cdcl_W 4 7] .
```

show *S8*: *cdcl$_W$-conflicting S'*
using *cdcl$_W$-conflicting-is-false*[*OF cdcl$_W$ 4 - - 7*] *8 cdcl$_W$-propagate-is-false*[*OF cdcl$_W$ 4 2 1 - 5*]
unfolding *cdcl$_W$-conflicting-def* **by** *fast*
qed

lemma *rtranclp-cdcl$_W$-all-inv*:
assumes
cdcl$_W$: *rtranclp cdcl$_W$ S S'* **and**
1: *all-decomposition-implies-m* (*init-clss S*) (*get-all-marked-decomposition* (*trail S*)) **and**
2: *cdcl$_W$-learned-clause S* **and**
4: *cdcl$_W$-M-level-inv S* **and**
5: *no-strange-atm S* **and**
7: *distinct-cdcl$_W$-state S* **and**
8: *cdcl$_W$-conflicting S*
shows
all-decomposition-implies-m (*init-clss S'*) (*get-all-marked-decomposition* (*trail S'*)) **and**
cdcl$_W$-learned-clause S' **and**
cdcl$_W$-M-level-inv S' **and**
no-strange-atm S' **and**
distinct-cdcl$_W$-state S' **and**
cdcl$_W$-conflicting S'
using *assms*
proof (*induct rule*: *rtranclp-induct*)
case *base*
case *1* **then show** *?case* **by** *blast*
case *2* **then show** *?case* **by** *blast*
case *3* **then show** *?case* **by** *blast*
case *4* **then show** *?case* **by** *blast*
case *5* **then show** *?case* **by** *blast*
case *6* **then show** *?case* **by** *blast*
next
case (*step S' S''*) **note** *H* = *this*
case *1* **with** *H*(*3*−*7*)[*OF this*(*1*−*6*)] **show** *?case* **using** *cdcl$_W$-all-inv*[*OF H*(*2*)] *H* **by** *presburger*
case *2* **with** *H*(*3*−*7*)[*OF this*(*1*−*6*)] **show** *?case* **using** *cdcl$_W$-all-inv*[*OF H*(*2*)] *H* **by** *presburger*
case *3* **with** *H*(*3*−*7*)[*OF this*(*1*−*6*)] **show** *?case* **using** *cdcl$_W$-all-inv*[*OF H*(*2*)] *H* **by** *presburger*
case *4* **with** *H*(*3*−*7*)[*OF this*(*1*−*6*)] **show** *?case* **using** *cdcl$_W$-all-inv*[*OF H*(*2*)] *H* **by** *presburger*
case *5* **with** *H*(*3*−*7*)[*OF this*(*1*−*6*)] **show** *?case* **using** *cdcl$_W$-all-inv*[*OF H*(*2*)] *H* **by** *presburger*
case *6* **with** *H*(*3*−*7*)[*OF this*(*1*−*6*)] **show** *?case* **using** *cdcl$_W$-all-inv*[*OF H*(*2*)] *H* **by** *presburger*
qed

lemma *all-invariant-S0-cdcl$_W$*:
assumes *distinct-mset-mset N*
shows *all-decomposition-implies-m* (*init-clss* (*init-state N*)) (*get-all-marked-decomposition* (*trail* (*init-state N*)))
and *cdcl$_W$-learned-clause* (*init-state N*)
and ∀ *T*. *conflicting* (*init-state N*) = *Some T* ⟶ (*trail* (*init-state N*))⊨*as CNot T*
and *no-strange-atm* (*init-state N*)
and *consistent-interp* (*lits-of* (*trail* (*init-state N*)))

and ∀ *L mark a b*. *a* @ *Propagated L mark* # *b* = *trail* (*init-state N*) ⟶
(*b* ⊨*as CNot* (*mark* − {#*L*#}) ∧ *L* ∈# *mark*)
and *distinct-cdcl*$_W$*-state* (*init-state N*)
using *assms* **by** *auto*

lemma *cdcl*$_W$*-only-propagated-vars-unsat*:
assumes
marked: ∀ *x* ∈ *set M*. ¬ *is-marked x* **and**
DN: *D* ∈# *clauses S* **and**
D: *M* ⊨*as CNot D* **and**
inv: *all-decomposition-implies-m N* (*get-all-marked-decomposition M*) **and**
state: *state S* = (*M*, *N*, *U*, *k*, *C*) **and**
learned-cl: *cdcl*$_W$*-learned-clause S* **and**
atm-incl: *no-strange-atm S*
shows *unsatisfiable* (*set-mset N*)
proof (*rule ccontr*)
assume ¬ *unsatisfiable* (*set-mset N*)
then obtain *I* **where**
I: *I* ⊨*s set-mset N* **and**
cons: *consistent-interp I* **and**
tot: *total-over-m I* (*set-mset N*)
unfolding *satisfiable-def* **by** *auto*
have *atms-of-msu N* ∪ *atms-of-msu U* = *atms-of-msu N*
using *atm-incl state* **unfolding** *total-over-m-def no-strange-atm-def*
by (*auto simp add*: *clauses-def*)
then have *total-over-m I* (*set-mset N*) **using** *tot* **unfolding** *total-over-m-def* **by** *auto*
moreover have *N* ⊨*psm U* **using** *learned-cl state* **unfolding** *cdcl*$_W$*-learned-clause-def* **by** *auto*
ultimately have *I-D*: *I* ⊨ *D*
using *I DN cons state* **unfolding** *true-clss-clss-def true-clss-def Ball-def*
by (*metis Un-iff* ‹*atms-of-msu N* ∪ *atms-of-msu U* = *atms-of-msu N*› *atms-of-ms-union clauses-def mem-set-mset-iff prod.inject set-mset-union total-over-m-def*)

have *l0*: {{#*lit-of L*#} |*L*. *is-marked L* ∧ *L* ∈ *set M*} = {} **using** *marked* **by** *auto*
have *atms-of-ms* (*set-mset N* ∪ *unmark M*) = *atms-of-msu N*
using *atm-incl state* **unfolding** *no-strange-atm-def* **by** *auto*
then have *total-over-m I* (*set-mset N* ∪ (*λa*. {#*lit-of a*#}) ‘ (*set M*))
using *tot* **unfolding** *total-over-m-def* **by** *auto*
then have *I* ⊨*s* (*λa*. {#*lit-of a*#}) ‘ (*set M*)
using *all-decomposition-implies-propagated-lits-are-implied*[*OF inv*] *cons I*
unfolding *true-clss-clss-def l0* **by** *auto*
then have *IM*: *I* ⊨*s unmark M* **by** *auto*
{
fix *K*
assume *K* ∈# *D*
then have −*K* ∈ *lits-of M*
using *D* **unfolding** *true-annots-def Ball-def CNot-def true-annot-def true-cls-def true-lit-def Bex-mset-def* **by** (*metis* (*mono-tags*, *lifting*) *count-single less-not-refl mem-Collect-eq*)
then have −*K* ∈ *I* **using** *IM true-clss-singleton-lit-of-implies-incl lits-of-def* **by** *fastforce*
}
then have ¬ *I* ⊨ *D* **using** *cons* **unfolding** *true-cls-def true-lit-def consistent-interp-def* **by** *auto*
then show *False* **using** *I-D* **by** *blast*
qed

We have actually a much stronger theorem, namely *all-decomposition-implies ?N* (*get-all-marked-decomposition ?M*) ⟹ *?N* ∪ {{#*lit-of L*#} |*L*. *is-marked L* ∧ *L* ∈ *set ?M*} ⊨*ps unmark ?M*, that show that the only choices we made are marked in the formula

lemma
  assumes *all-decomposition-implies-m N* (*get-all-marked-decomposition M*)
  and ∀ *m* ∈ *set M*. ¬*is-marked m*
  shows *set-mset N* ⊨*ps unmark M*
proof −
  have *T*: {{#*lit-of L*#} |*L*. *is-marked L* ∧ *L* ∈ *set M*} = {} **using** *assms*(*2*) **by** *auto*
  then show *?thesis*
    using *all-decomposition-implies-propagated-lits-are-implied*[*OF assms*(*1*)] **unfolding** *T* **by** *simp*
qed

lemma *conflict-with-false-implies-unsat*:
  assumes
    cdcl$_W$: *cdcl*$_W$ *S S′* **and**
    lev: *cdcl*$_W$*-M-level-inv S* **and**
    [*simp*]: *conflicting S′* = *Some* {#} **and**
    learned: *cdcl*$_W$*-learned-clause S*
  shows *unsatisfiable* (*set-mset* (*init-clss S*))
  using *assms*
proof −
  have *cdcl*$_W$*-learned-clause S′* **using** *cdcl*$_W$*-learned-clss cdcl*$_W$ *learned lev* **by** *auto*
  then have *init-clss S′* ⊨*pm* {#} **using** *assms*(*3*) **unfolding** *cdcl*$_W$*-learned-clause-def* **by** *auto*
  then have *init-clss S* ⊨*pm* {#}
    using *cdcl*$_W$*-init-clss*[*OF assms*(*1*) *lev*] **by** *auto*
  then show *?thesis* **unfolding** *satisfiable-def true-clss-cls-def* **by** *auto*
qed

lemma *conflict-with-false-implies-terminated*:
  assumes *cdcl*$_W$ *S S′*
  and *conflicting S* = *Some* {#}
  shows *False*
  using *assms* **by** (*induct rule*: *cdcl*$_W$*-all-induct*) *auto*

17.4.10 No tautology is learned

This is a simple consequence of all we have shown previously. It is not strictly necessary, but helps finding a better bound on the number of learned clauses.

lemma *learned-clss-are-not-tautologies*:
  assumes
    cdcl$_W$ *S S′* **and**
    lev: *cdcl*$_W$*-M-level-inv S* **and**
    conflicting: *cdcl*$_W$*-conflicting S* **and**
    no-tauto: ∀ *s* ∈# *learned-clss S*. ¬*tautology s*
  shows ∀ *s* ∈# *learned-clss S′*. ¬*tautology s*
  using *assms*
proof (*induct rule*: *cdcl*$_W$*-all-induct-lev2*)
  case (*backtrack K i M1 M2 L D*) **note** *confl* = *this*(*3*)
  have *consistent-interp* (*lits-of* (*trail S*)) **using** *lev* **by** (*auto simp*: *cdcl*$_W$*-M-level-inv-decomp*)
  moreover
    have *trail S* ⊨*as CNot* (*D* + {#*L*#})
      using *conflicting confl* **unfolding** *cdcl*$_W$*-conflicting-def* **by** *auto*
    then have *lits-of* (*trail S*) ⊨*s CNot* (*D* + {#*L*#}) **using** *true-annots-true-cls* **by** *blast*
  ultimately have ¬*tautology* (*D* + {#*L*#}) **using** *consistent-CNot-not-tautology* **by** *blast*
  then show *?case* **using** *backtrack no-tauto*

by (*auto simp*: *cdcl*$_W$*-M-level-inv-decomp split*: *split-if-asm*)
next
case *restart*
then show *?case* **using** *learned-clss-restart-state state-eq-learned-clss no-tauto*
by (*metis* (*no-types*, *lifting*) *ball-msetE ball-msetI mem-set-mset-iff set-mset-mono subsetCE*)
qed *auto*

definition *final-cdcl*$_W$*-state* (*S*:: *'st*)
⟷ (*trail S* ⊨*asm init-clss S*
∨ ((∀ *L* ∈ *set* (*trail S*). ¬*is-marked L*) ∧
(∃ *C* ∈# *init-clss S*. *trail S* ⊨*as CNot C*)))

definition *termination-cdcl*$_W$*-state* (*S*:: *'st*)
⟷ (*trail S* ⊨*asm init-clss S*
∨ ((∀ *L* ∈ *atms-of-msu* (*init-clss S*). *L* ∈ *atm-of* ‘ *lits-of* (*trail S*))
∧ (∃ *C* ∈# *init-clss S*. *trail S* ⊨*as CNot C*)))

17.5 CDCL Strong Completeness

fun *mapi* :: (*'a* ⇒ *nat* ⇒ *'b*) ⇒ *nat* ⇒ *'a list* ⇒ *'b list* **where**
mapi - - [] = [] |
mapi f n (*x* # *xs*) = *f x n* # *mapi f* (*n* − *1*) *xs*

lemma *mark-not-in-set-mapi*[*simp*]: *L* ∉ *set M* ⟹ *Marked L k* ∉ *set* (*mapi Marked i M*)
by (*induct M arbitrary*: *i*) *auto*

lemma *propagated-not-in-set-mapi*[*simp*]: *L* ∉ *set M* ⟹ *Propagated L k* ∉ *set* (*mapi Marked i M*)
by (*induct M arbitrary*: *i*) *auto*

lemma *image-set-mapi*:
f ‘ *set* (*mapi g i M*) = *set* (*mapi* (λ*x i*. *f* (*g x i*)) *i M*)
by (*induction M arbitrary*: *i*) *auto*

lemma *mapi-map-convert*:
∀ *x i j*. *f x i* = *f x j* ⟹ *mapi f i M* = *map* (λ*x*. *f x 0*) *M*
by (*induction M arbitrary*: *i*) *auto*

lemma *defined-lit-mapi*: *defined-lit* (*mapi Marked i M*) *L* ⟷ *atm-of L* ∈ *atm-of* ‘ *set M*
by (*induction M*) (*auto simp*: *defined-lit-map image-set-mapi mapi-map-convert*)

lemma *cdcl*$_W$*-can-do-step*:
assumes
consistent-interp (*set M*) **and**
distinct M **and**
atm-of ‘ (*set M*) ⊆ *atms-of-msu N*
shows ∃ *S*. *rtranclp cdcl*$_W$ (*init-state N*) *S*
∧ *state S* = (*mapi Marked* (*length M*) *M*, *N*, {#}, *length M*, *None*)
using *assms*
proof (*induct M*)
case *Nil*
then show *?case* **by** *auto*
next
case (*Cons L M*) **note** *IH* = *this*(*1*)
have *consistent-interp* (*set M*) **and** *distinct M* **and** *atm-of* ‘ *set M* ⊆ *atms-of-msu N*
using *Cons.prems*(*1*−*3*) **unfolding** *consistent-interp-def* **by** *auto*
then obtain *S* **where**

```
    st: cdcl_W** (init-state N) S and
    S: state S = (mapi Marked (length M) M, N, {#}, length M, None)
    using IH by auto
  let ?S_0 = incr-lvl (cons-trail (Marked L (length M +1)) S)
  have undefined-lit (mapi Marked (length M) M) L
    using Cons.prems(1,2) unfolding defined-lit-def consistent-interp-def by fastforce
  moreover have init-clss S = N
    using S by blast
  moreover have atm-of L ∈ atms-of-msu N using Cons.prems(3) by auto
  moreover have undef: undefined-lit (trail S) L
    using S ‹distinct (L#M)› calculation(1) by (auto simp: defined-lit-mapi defined-lit-map)
  ultimately have cdcl_W S ?S_0
    using cdcl_W.other[OF cdcl_W-o.decide[OF decide-rule[OF S,
      of L ?S_0]]] S by (auto simp: state-eq-def simp del: state-simp)
  then show ?case
    using st S undef by (auto intro!: exI[of - ?S_0])
qed

lemma cdcl_W-strong-completeness:
  assumes
    set M ⊨s set-mset N and
    consistent-interp (set M) and
    distinct M and
    atm-of ‘ (set M) ⊆ atms-of-msu N
  obtains S where
    state S = (mapi Marked (length M) M, N, {#}, length M, None) and
    rtranclp cdcl_W (init-state N) S and
    final-cdcl_W-state S
proof –
  obtain S where
    st: rtranclp cdcl_W (init-state N) S and
    S: state S = (mapi Marked (length M) M, N, {#}, length M, None)
    using cdcl_W-can-do-step[OF assms(2–4)] by auto
  have lits-of (mapi Marked (length M) M) = set M
    by (induct M, auto)
  then have mapi Marked (length M) M ⊨asm N using assms(1) true-annots-true-cls by metis
  then have final-cdcl_W-state S
    using S unfolding final-cdcl_W-state-def by auto
  then show ?thesis using that st S by blast
qed
```

17.6 Higher level strategy

The rules described previously do not lead to a conclusive state. We have to add a strategy.

17.6.1 Definition

```
lemma tranclp-conflict-iff[iff]:
  full1 conflict S S' ⟷ conflict S S'
proof –
  have tranclp conflict S S' ⟹ conflict S S'
    unfolding full1-def by (induct rule: tranclp.induct) force+
  then have tranclp conflict S S' ⟹ conflict S S' by (meson rtranclpD)
  then show ?thesis unfolding full1-def by (metis conflictE option.simps(3)
    conflicting-update-conflicting state-eq-conflicting tranclp.intros(1))
```

qed

inductive *cdcl*$_W$*-cp* :: *'st* ⇒ *'st* ⇒ *bool* **where**
conflict'[*intro*]: *conflict S S'* ⟹ *cdcl*$_W$*-cp S S'* |
propagate': *propagate S S'* ⟹ *cdcl*$_W$*-cp S S'*

lemma *rtranclp-cdcl*$_W$*-cp-rtranclp-cdcl*$_W$:
  cdcl$_W$*-cp*** *S T* ⟹ *cdcl*$_W$** *S T*
  by (*induction rule*: *rtranclp-induct*) (*auto simp*: *cdcl*$_W$*-cp.simps dest*: *cdcl*$_W$*.intros*)

lemma *cdcl*$_W$*-cp-state-eq-compatible*:
  assumes
    cdcl$_W$*-cp S T* **and**
    S ∼ *S'* **and**
    T ∼ *T'*
  shows *cdcl*$_W$*-cp S' T'*
  using *assms*
  apply (*induction*)
    using *conflict-state-eq-compatible* **apply** *auto*[*1*]
  using *propagate' propagate-state-eq-compatible* **by** *auto*

lemma *tranclp-cdcl*$_W$*-cp-state-eq-compatible*:
  assumes
    cdcl$_W$*-cp*$^{++}$ *S T* **and**
    S ∼ *S'* **and**
    T ∼ *T'*
  shows *cdcl*$_W$*-cp*$^{++}$ *S' T'*
  using *assms*
proof *induction*
  case *base*
  then show *?case*
    using *cdcl*$_W$*-cp-state-eq-compatible* **by** *blast*
next
  case (*step U V*)
  obtain *ss* :: *'st* **where**
    cdcl$_W$*-cp S ss* ∧ *cdcl*$_W$*-cp*** *ss U*
    by (*metis* (*no-types*) *step*(*1*) *tranclpD*)
  then show *?case*
    by (*meson cdcl*$_W$*-cp-state-eq-compatible rtranclp.rtrancl-into-rtrancl rtranclp-into-tranclp2*
      state-eq-ref step(*2*) *step*(*4*) *step*(*5*))
qed

lemma *option-full-cdcl*$_W$*-cp*:
  conflicting S ≠ *None* ⟹ *full cdcl*$_W$*-cp S S*
unfolding *full-def rtranclp-unfold tranclp-unfold* **by** (*auto simp add*: *cdcl*$_W$*-cp.simps*)

lemma *skip-unique*:
  skip S T ⟹ *skip S T'* ⟹ *T* ∼ *T'*
  by (*fastforce simp*: *state-eq-def simp del*: *state-simp*)

lemma *resolve-unique*:
  resolve S T ⟹ *resolve S T'* ⟹ *T* ∼ *T'*
  by (*fastforce simp*: *state-eq-def simp del*: *state-simp*)

lemma *cdcl*$_W$*-cp-no-more-clauses*:

assumes $\mathit{cdcl}_W\textit{-cp}\ S\ S'$
shows $\mathit{clauses}\ S = \mathit{clauses}\ S'$
using *assms* **by** (*induct rule*: $\mathit{cdcl}_W\textit{-cp.induct}$) (*auto elim*!: *conflictE propagateE*)

lemma $\textit{tranclp-cdcl}_W\textit{-cp-no-more-clauses}$:
assumes $\mathit{cdcl}_W\textit{-cp}^{++}\ S\ S'$
shows $\mathit{clauses}\ S = \mathit{clauses}\ S'$
using *assms* **by** (*induct rule*: *tranclp.induct*) (*auto dest*: $\mathit{cdcl}_W\textit{-cp-no-more-clauses}$)

lemma $\textit{rtranclp-cdcl}_W\textit{-cp-no-more-clauses}$:
assumes $\mathit{cdcl}_W\textit{-cp}^{**}\ S\ S'$
shows $\mathit{clauses}\ S = \mathit{clauses}\ S'$
using *assms* **by** (*induct rule*: *rtranclp-induct*) (*fastforce dest*: $\mathit{cdcl}_W\textit{-cp-no-more-clauses}$)+

lemma *no-conflict-after-conflict*:
$\mathit{conflict}\ S\ T \Longrightarrow \neg\mathit{conflict}\ T\ U$
by *fastforce*

lemma *no-propagate-after-conflict*:
$\mathit{conflict}\ S\ T \Longrightarrow \neg\mathit{propagate}\ T\ U$
by *fastforce*

lemma $\textit{tranclp-cdcl}_W\textit{-cp-propagate-with-conflict-or-not}$:
assumes $\mathit{cdcl}_W\textit{-cp}^{++}\ S\ U$
shows $(\mathit{propagate}^{++}\ S\ U \wedge \mathit{conflicting}\ U = \mathit{None})$
$\vee (\exists\, T\ D.\ \mathit{propagate}^{**}\ S\ T \wedge \mathit{conflict}\ T\ U \wedge \mathit{conflicting}\ U = \mathit{Some}\ D)$
proof −
have $\mathit{propagate}^{++}\ S\ U \vee (\exists\, T.\ \mathit{propagate}^{**}\ S\ T \wedge \mathit{conflict}\ T\ U)$
using *assms* **by** *induction*
(*force simp*: $\mathit{cdcl}_W\textit{-cp.simps}$ *tranclp-into-rtranclp dest*: *no-conflict-after-conflict*
no-propagate-after-conflict)+
moreover
have $\mathit{propagate}^{++}\ S\ U \Longrightarrow \mathit{conflicting}\ U = \mathit{None}$
unfolding *tranclp-unfold-end* **by** *auto*
moreover
have $\bigwedge T.\ \mathit{conflict}\ T\ U \Longrightarrow \exists\, D.\ \mathit{conflicting}\ U = \mathit{Some}\ D$
by *auto*
ultimately show *?thesis* **by** *meson*
qed

lemma $\mathit{cdcl}_W\textit{-cp-conflicting-not-empty}$[*simp*]: $\mathit{conflicting}\ S = \mathit{Some}\ D \Longrightarrow \neg\mathit{cdcl}_W\textit{-cp}\ S\ S'$
proof
assume $\mathit{cdcl}_W\textit{-cp}\ S\ S'$ **and** $\mathit{conflicting}\ S = \mathit{Some}\ D$
then show *False* **by** (*induct rule*: $\mathit{cdcl}_W\textit{-cp.induct}$) *auto*
qed

lemma $\textit{no-step-cdcl}_W\textit{-cp-no-conflict-no-propagate}$:
assumes $\textit{no-step}\ \mathit{cdcl}_W\textit{-cp}\ S$
shows *no-step conflict S* **and** *no-step propagate S*
using *assms conflict'* **apply** *blast*
by (*meson assms conflict' propagate'*)

CDCL with the reasonable strategy: we fully propagate the conflict and propagate, then we apply any other possible rule $\mathit{cdcl}_W\textit{-o}\ S\ S'$ and re-apply conflict and propagate $\mathit{full}\ \mathit{cdcl}_W\textit{-cp}\ S'\ S''$

inductive *cdcl*$_W$*-stgy* :: *'st* ⇒ *'st* ⇒ *bool* **for** *S* :: *'st* **where**
conflict': *full1 cdcl*$_W$*-cp S S'* ⟹ *cdcl*$_W$*-stgy S S'* |
other': *cdcl*$_W$*-o S S'* ⟹ *no-step cdcl*$_W$*-cp S* ⟹ *full cdcl*$_W$*-cp S' S''* ⟹ *cdcl*$_W$*-stgy S S''*

17.6.2 Invariants

These are the same invariants as before, but lifted

lemma *cdcl*$_W$*-cp-learned-clause-inv*:
  assumes *cdcl*$_W$*-cp S S'*
  shows *learned-clss S* = *learned-clss S'*
  using *assms* **by** (*induct rule*: *cdcl*$_W$*-cp.induct*) *fastforce+*

lemma *rtranclp-cdcl*$_W$*-cp-learned-clause-inv*:
  assumes *cdcl*$_W$*-cp*** *S S'*
  shows *learned-clss S* = *learned-clss S'*
  using *assms* **by** (*induct rule*: *rtranclp-induct*) (*fastforce dest*: *cdcl*$_W$*-cp-learned-clause-inv*)+

lemma *tranclp-cdcl*$_W$*-cp-learned-clause-inv*:
  assumes *cdcl*$_W$*-cp*$^{++}$ *S S'*
  shows *learned-clss S* = *learned-clss S'*
  using *assms* **by** (*simp add*: *rtranclp-cdcl*$_W$*-cp-learned-clause-inv tranclp-into-rtranclp*)

lemma *cdcl*$_W$*-cp-backtrack-lvl*:
  assumes *cdcl*$_W$*-cp S S'*
  shows *backtrack-lvl S* = *backtrack-lvl S'*
  using *assms* **by** (*induct rule*: *cdcl*$_W$*-cp.induct*) *fastforce+*

lemma *rtranclp-cdcl*$_W$*-cp-backtrack-lvl*:
  assumes *cdcl*$_W$*-cp*** *S S'*
  shows *backtrack-lvl S* = *backtrack-lvl S'*
  using *assms* **by** (*induct rule*: *rtranclp-induct*) (*fastforce dest*: *cdcl*$_W$*-cp-backtrack-lvl*)+

lemma *cdcl*$_W$*-cp-consistent-inv*:
  assumes *cdcl*$_W$*-cp S S'*
  and *cdcl*$_W$*-M-level-inv S*
  shows *cdcl*$_W$*-M-level-inv S'*
  using *assms*
proof (*induct rule*: *cdcl*$_W$*-cp.induct*)
  case (*conflict'*)
  then show *?case* **using** *cdcl*$_W$*-consistent-inv cdcl*$_W$*.conflict* **by** *blast*
next
  case (*propagate' S S'*)
  have *cdcl*$_W$ *S S'*
    using *propagate'.hyps*(*1*) *propagate* **by** *blast*
  then show *cdcl*$_W$*-M-level-inv S'*
    using *propagate'.prems*(*1*) *cdcl*$_W$*-consistent-inv propagate* **by** *blast*
qed

lemma *full1-cdcl*$_W$*-cp-consistent-inv*:
  assumes *full1 cdcl*$_W$*-cp S S'*
  and *cdcl*$_W$*-M-level-inv S*
  shows *cdcl*$_W$*-M-level-inv S'*
  using *assms* **unfolding** *full1-def*
proof −
  have *cdcl*$_W$*-cp*$^{++}$ *S S'* **and** *cdcl*$_W$*-M-level-inv S* **using** *assms* **unfolding** *full1-def* **by** *auto*

then show *?thesis* **by** (*induct rule*: *tranclp.induct*) (*blast intro*: *cdcl$_W$-cp-consistent-inv*)+
qed

lemma *rtranclp-cdcl$_W$-cp-consistent-inv*:
  assumes *rtranclp cdcl$_W$-cp S S'*
  and *cdcl$_W$-M-level-inv S*
  shows *cdcl$_W$-M-level-inv S'*
  using *assms* **unfolding** *full1-def*
  by (*induction rule*: *rtranclp-induct*) (*blast intro*: *cdcl$_W$-cp-consistent-inv*)+

lemma *cdcl$_W$-stgy-consistent-inv*:
  assumes *cdcl$_W$-stgy S S'*
  and *cdcl$_W$-M-level-inv S*
  shows *cdcl$_W$-M-level-inv S'*
  using *assms* **apply** (*induct rule*: *cdcl$_W$-stgy.induct*)
  unfolding *full-unfold* **by** (*blast intro*: *cdcl$_W$-consistent-inv full1-cdcl$_W$-cp-consistent-inv*
    cdcl$_W$.other)+

lemma *rtranclp-cdcl$_W$-stgy-consistent-inv*:
  assumes *cdcl$_W$-stgy** S S'*
  and *cdcl$_W$-M-level-inv S*
  shows *cdcl$_W$-M-level-inv S'*
  using *assms* **by** *induction* (*auto dest*!: *cdcl$_W$-stgy-consistent-inv*)

lemma *cdcl$_W$-cp-no-more-init-clss*:
  assumes *cdcl$_W$-cp S S'*
  shows *init-clss S = init-clss S'*
  using *assms* **by** (*induct rule*: *cdcl$_W$-cp.induct*) *auto*

lemma *tranclp-cdcl$_W$-cp-no-more-init-clss*:
  assumes *cdcl$_W$-cp^{++} S S'*
  shows *init-clss S = init-clss S'*
  using *assms* **by** (*induct rule*: *tranclp.induct*) (*auto dest*: *cdcl$_W$-cp-no-more-init-clss*)

lemma *cdcl$_W$-stgy-no-more-init-clss*:
  assumes *cdcl$_W$-stgy S S'* **and** *cdcl$_W$-M-level-inv S*
  shows *init-clss S = init-clss S'*
  using *assms*
  apply (*induct rule*: *cdcl$_W$-stgy.induct*)
  unfolding *full1-def full-def* **apply** (*blast dest*: *tranclp-cdcl$_W$-cp-no-more-init-clss*
    tranclp-cdcl$_W$-o-no-more-init-clss)
  by (*metis cdcl$_W$-o-no-more-init-clss rtranclp-unfold tranclp-cdcl$_W$-cp-no-more-init-clss*)

lemma *rtranclp-cdcl$_W$-stgy-no-more-init-clss*:
  assumes *cdcl$_W$-stgy** S S'* **and** *cdcl$_W$-M-level-inv S*
  shows *init-clss S = init-clss S'*
  using *assms*
  apply (*induct rule*: *rtranclp-induct*, *simp*)
  using *cdcl$_W$-stgy-no-more-init-clss* **by** (*simp add*: *rtranclp-cdcl$_W$-stgy-consistent-inv*)

lemma *cdcl$_W$-cp-dropWhile-trail'*:
  assumes *cdcl$_W$-cp S S'*
  obtains *M* **where** *trail S' = M @ trail S* **and** $(\forall\, l \in$ *set M*. $\neg$*is-marked l*)
  using *assms* **by** *induction fastforce*+

lemma *rtranclp-cdcl$_W$-cp-dropWhile-trail'*:
  assumes *cdcl$_W$-cp** S S'*
  obtains *M* :: (*'v*, *nat*, *'v clause*) *marked-lit list* **where**
    trail S' = *M* @ *trail S* **and** ∀ *l* ∈ *set M*. ¬*is-marked l*
  using *assms* **by** *induction* (*fastforce dest*!: *cdcl$_W$-cp-dropWhile-trail'*)+

lemma *cdcl$_W$-cp-dropWhile-trail*:
  assumes *cdcl$_W$-cp S S'*
  shows ∃ *M*. *trail S'* = *M* @ *trail S* ∧ (∀ *l* ∈ *set M*. ¬*is-marked l*)
  using *assms* **by** *induction fastforce*+

lemma *rtranclp-cdcl$_W$-cp-dropWhile-trail*:
  assumes *cdcl$_W$-cp** S S'*
  shows ∃ *M*. *trail S'* = *M* @ *trail S* ∧ (∀ *l* ∈ *set M*. ¬*is-marked l*)
  using *assms* **by** *induction* (*fastforce dest*: *cdcl$_W$-cp-dropWhile-trail*)+

This theorem can be seen a a termination theorem for *cdcl$_W$-cp*.

lemma *length-model-le-vars*:
  assumes
    no-strange-atm S **and**
    no-d: *no-dup* (*trail S*) **and**
    finite (*atms-of-msu* (*init-clss S*))
  shows *length* (*trail S*) ≤ *card* (*atms-of-msu* (*init-clss S*))
proof −
  obtain *M N U k D* **where** *S*: *state S* = (*M*, *N*, *U*, *k*, *D*) **by** (*cases state S*, *auto*)
  have *finite* (*atm-of* ‘ *lits-of* (*trail S*))
    using *assms*(*1*,*3*) **unfolding** *S* **by** (*auto simp add*: *finite-subset*)
  have *length* (*trail S*) = *card* (*atm-of* ‘ *lits-of* (*trail S*))
    using *no-dup-length-eq-card-atm-of-lits-of no-d* **by** *blast*
  then show *?thesis* **using** *assms*(*1*) **unfolding** *no-strange-atm-def*
  by (*auto simp add*: *assms*(*3*) *card-mono*)
qed

lemma *cdcl$_W$-cp-decreasing-measure*:
  assumes
    cdcl$_W$: *cdcl$_W$-cp S T* **and**
    M-lev: *cdcl$_W$-M-level-inv S* **and**
    alien: *no-strange-atm S*
  shows (λ*S*. *card* (*atms-of-msu* (*init-clss S*)) − *length* (*trail S*)
      + (*if conflicting S* = *None then 1 else 0*)) *S*
    > (λ*S*. *card* (*atms-of-msu* (*init-clss S*)) − *length* (*trail S*)
      + (*if conflicting S* = *None then 1 else 0*)) *T*
  using *assms*
proof −
  have *length* (*trail T*) ≤ *card* (*atms-of-msu* (*init-clss T*))
    apply (*rule length-model-le-vars*)
       using *cdcl$_W$-no-strange-atm-inv alien M-lev* **apply** (*meson cdcl$_W$ cdcl$_W$.simps cdcl$_W$-cp.cases*)
      using *M-lev cdcl$_W$ cdcl$_W$-cp-consistent-inv cdcl$_W$-M-level-inv-def* **apply** *blast*
      using *cdcl$_W$* **by** (*auto simp*: *cdcl$_W$-cp.simps*)
  with *assms*
  show *?thesis* **by** *induction* (*auto split*: *split-if-asm*)+
qed

lemma *cdcl$_W$-cp-wf*: *wf* {(*b*,*a*). (*cdcl$_W$-M-level-inv a* ∧ *no-strange-atm a*)
  ∧ *cdcl$_W$-cp a b*}

```
  apply (rule wf-wf-if-measure'[of less-than - -
      (λS. card (atms-of-msu (init-clss S)) − length (trail S)
        + (if conflicting S = None then 1 else 0))])
    apply simp
  using cdcl_W-cp-decreasing-measure unfolding less-than-iff by blast

lemma rtranclp-cdcl_W-all-struct-inv-cdcl_W-cp-iff-rtranclp-cdcl_W-cp:
  assumes
    lev: cdcl_W-M-level-inv S and
    alien: no-strange-atm S
  shows (λa b. (cdcl_W-M-level-inv a ∧ no-strange-atm a) ∧ cdcl_W-cp a b)^** S T
    ⟷ cdcl_W-cp^** S T
  (is ?I S T ⟷ ?C S T)
proof
  assume
    ?I S T
  then show ?C S T by induction auto
next
  assume
    ?C S T
  then show ?I S T
    proof induction
      case base
      then show ?case by simp
    next
      case (step T U) note st = this(1) and cp = this(2) and IH = this(3)
      have cdcl_W^** S T
        by (metis rtranclp-unfold cdcl_W-cp-conflicting-not-empty cp st
          rtranclp-propagate-is-rtranclp-cdcl_W tranclp-cdcl_W-cp-propagate-with-conflict-or-not)
      then have
        cdcl_W-M-level-inv T and
        no-strange-atm T
         using ‹cdcl_W^** S T› apply (simp add: assms(1) rtranclp-cdcl_W-consistent-inv)
        using ‹cdcl_W^** S T› alien rtranclp-cdcl_W-no-strange-atm-inv lev by blast
      then have (λa b. (cdcl_W-M-level-inv a ∧ no-strange-atm a)
        ∧ cdcl_W-cp a b)^** T U
        using cp by auto
      then show ?case using IH by auto
    qed
qed

lemma cdcl_W-cp-normalized-element:
  assumes
    lev: cdcl_W-M-level-inv S and
    no-strange-atm S
  obtains T where full cdcl_W-cp S T
proof –
  let ?inv = λa. (cdcl_W-M-level-inv a ∧ no-strange-atm a)
  obtain T where T: full (λa b. ?inv a ∧ cdcl_W-cp a b) S T
    using cdcl_W-cp-wf wf-exists-normal-form[of λa b. ?inv a ∧ cdcl_W-cp a b]
    unfolding full-def by blast
    then have cdcl_W-cp^** S T
      using rtranclp-cdcl_W-all-struct-inv-cdcl_W-cp-iff-rtranclp-cdcl_W-cp assms unfolding full-def
      by blast
    moreover
      then have $cdcl_W{}^{**}$ S T
        using rtranclp-$cdcl_W$-cp-rtranclp-$cdcl_W$ by blast
      then have
        $cdcl_W$-M-level-inv T and
        no-strange-atm T
         using ‹$cdcl_W{}^{**}$ S T› apply (simp add: assms(1) rtranclp-$cdcl_W$-consistent-inv)
        using ‹$cdcl_W{}^{**}$ S T› assms(2) rtranclp-$cdcl_W$-no-strange-atm-inv lev by blast
      then have no-step $cdcl_W$-cp T
        using T unfolding full-def by auto
    ultimately show thesis using that unfolding full-def by blast
qed

lemma in-atms-of-implies-atm-of-on-atms-of-ms:
  C + {#L#} ∈# A ⟹ x ∈ atms-of C ⟹ x ∈ atms-of-msu A
  by (metis add.commute atm-iff-pos-or-neg-lit atms-of-atms-of-ms-mono contra-subsetD
    mem-set-mset-iff multi-member-skip)

lemma propagate-no-stange-atm:
  assumes
    propagate S S' and
    no-strange-atm S
  shows no-strange-atm S'
  using assms by induction
  (auto simp add: no-strange-atm-def clauses-def in-plus-implies-atm-of-on-atms-of-ms
    in-atms-of-implies-atm-of-on-atms-of-ms)

lemma always-exists-full-$cdcl_W$-cp-step:
  assumes no-strange-atm S
  shows ∃S''. full $cdcl_W$-cp S S''
  using assms
proof (induct card (atms-of-msu (init-clss S) − atm-of ‘lits-of (trail S)) arbitrary: S)
  case 0 note card = this(1) and alien = this(2)
  then have atm: atms-of-msu (init-clss S) = atm-of ‘ lits-of (trail S)
    unfolding no-strange-atm-def by auto
  { assume a: ∃S'. conflict S S'
    then obtain S' where S': conflict S S' by metis
    then have ∀S''. ¬$cdcl_W$-cp S' S'' by auto
    then have ?case using a S' $cdcl_W$-cp.conflict' unfolding full-def by blast
  }
  moreover {
    assume a: ∃S'. propagate S S'
    then obtain S' where propagate S S' by blast
    then obtain M N U k C L where S: state S = (M, N, U, k, None)
    and S': state S' = (Propagated L ( (C + {#L#})) # M, N, U, k, None)
    and C + {#L#} ∈# clauses S
    and M ⊨as CNot C
    and undefined-lit M L
    using propagate by auto
    have atms-of-msu U ⊆ atms-of-msu N using alien S unfolding no-strange-atm-def by auto
    then have atm-of L ∈ atms-of-msu (init-clss S)
      using ‹C + {#L#} ∈# clauses S› S unfolding atms-of-ms-def clauses-def by force+
    then have False using ‹undefined-lit M L› S unfolding atm unfolding lits-of-def
      by (auto simp add: defined-lit-map)
  }
  ultimately show ?case by (metis $cdcl_W$-cp.cases full-def rtranclp.rtrancl-refl)
```

```
next
  case (Suc n) note IH = this(1) and card = this(2) and alien = this(3)
  { assume a: ∃S'. conflict S S'
    then obtain S' where S': conflict S S' by metis
    then have ∀S''. ¬cdcl_W-cp S' S'' by auto
    then have ?case  unfolding full-def Ex-def using S' cdcl_W-cp.conflict' by blast
  }
  moreover {
    assume a: ∃S'. propagate S S'
    then obtain S' where propagate: propagate S S' by blast
    then obtain M N U k C L where
      S: state S = (M, N, U, k, None) and
      S': state S' = (Propagated L ( (C + {#L#})) # M, N, U, k, None) and
      C + {#L#} ∈# clauses S and
      M ⊨as CNot C and
      undefined-lit M L
      by fastforce
    then have atm-of L ∉ atm-of ` lits-of M
      unfolding lits-of-def by (auto simp add: defined-lit-map)
    moreover
      have no-strange-atm S' using alien propagate propagate-no-stange-atm by blast
      then have atm-of L ∈ atms-of-msu N using S' unfolding no-strange-atm-def by auto
      then have ⋀A. {atm-of L} ⊆ atms-of-msu N − A ∨ atm-of L ∈ A by force
    moreover have Suc n − card {atm-of L} = n by simp
    moreover have card (atms-of-msu N − atm-of ` lits-of M) = Suc n
     using card S S' by simp
    ultimately
      have card (atms-of-msu N − atm-of ` insert L (lits-of M)) = n
        by (metis (no-types) Diff-insert card-Diff-subset finite.emptyI finite.insertI image-insert)
      then have n = card (atms-of-msu (init-clss S') − atm-of ` lits-of (trail S'))
        using card S S' by simp
    then have a1: Ex (full cdcl_W-cp S') using IH ‹no-strange-atm S'›  by blast
    have ?case
      proof −
        obtain S'' :: 'st where
          ff1: cdcl_W-cp** S' S'' ∧ no-step cdcl_W-cp S''
          using a1 unfolding full-def by blast
        have cdcl_W-cp** S S''
          using ff1 cdcl_W-cp.intros(2)[OF propagate]
          by (metis (no-types) converse-rtranclp-into-rtranclp)
        then have ∃S''. cdcl_W-cp** S S'' ∧ (∀S'''. ¬ cdcl_W-cp S'' S''')
          using ff1 by blast
        then show ?thesis unfolding full-def
          by meson
      qed
    }
  ultimately show ?case unfolding full-def by (metis cdcl_W-cp.cases rtranclp.rtrancl-refl)
qed
```

17.6.3 Literal of highest level in conflicting clauses

One important property of the *local.cdcl*$_W$ with strategy is that, whenever a conflict takes place, there is at least a literal of level k involved (except if we have derived the false clause). The reason is that we apply conflicts before a decision is taken.

abbreviation *no-clause-is-false* :: *'st* ⇒ *bool* **where**

no-clause-is-false ≡
  $\lambda S.$ (*conflicting S = None* ⟶ (∀ *D* ∈# *clauses S*. ¬*trail S* ⊨*as CNot D*))

abbreviation *conflict-is-false-with-level* :: *'st* ⇒ *bool* **where**
conflict-is-false-with-level S ≡ ∀ *D*. *conflicting S = Some D* ⟶ *D* ≠ {#}
  ⟶ (∃ *L* ∈# *D*. *get-level* (*trail S*) *L* = *backtrack-lvl S*)

lemma *not-conflict-not-any-negated-init-clss*:
  assumes ∀ *S'*. ¬*conflict S S'*
  shows *no-clause-is-false S*
  using *assms state-eq-ref* **by** *blast*

lemma *full-cdcl$_W$-cp-not-any-negated-init-clss*:
  assumes *full cdcl$_W$-cp S S'*
  shows *no-clause-is-false S'*
  using *assms not-conflict-not-any-negated-init-clss* **unfolding** *full-def* **by** *blast*

lemma *full1-cdcl$_W$-cp-not-any-negated-init-clss*:
  assumes *full1 cdcl$_W$-cp S S'*
  shows *no-clause-is-false S'*
  using *assms not-conflict-not-any-negated-init-clss* **unfolding** *full1-def* **by** *blast*

lemma *cdcl$_W$-stgy-not-non-negated-init-clss*:
  assumes *cdcl$_W$-stgy S S'*
  shows *no-clause-is-false S'*
  using *assms* **apply** (*induct rule*: *cdcl$_W$-stgy.induct*)
  using *full1-cdcl$_W$-cp-not-any-negated-init-clss full-cdcl$_W$-cp-not-any-negated-init-clss* **by** *metis+*

lemma *rtranclp-cdcl$_W$-stgy-not-non-negated-init-clss*:
  assumes *cdcl$_W$-stgy** S S'* **and** *no-clause-is-false S*
  shows *no-clause-is-false S'*
  using *assms* **by** (*induct rule*: *rtranclp-induct*) (*auto simp*: *cdcl$_W$-stgy-not-non-negated-init-clss*)

lemma *cdcl$_W$-stgy-conflict-ex-lit-of-max-level*:
  assumes *cdcl$_W$-cp S S'*
  and *no-clause-is-false S*
  and *cdcl$_W$-M-level-inv S*
  shows *conflict-is-false-with-level S'*
  using *assms*
proof (*induct rule*: *cdcl$_W$-cp.induct*)
  case *conflict'*
  then show *?case* **by** *auto*
next
  case *propagate'*
  then show *?case* **by** *auto*
qed

lemma *no-chained-conflict*:
  assumes *conflict S S'*
  and *conflict S' S''*
  shows *False*
  using *assms* **by** *fastforce*

lemma *rtranclp-cdcl$_W$-cp-propa-or-propa-confl*:
  assumes *cdcl$_W$-cp** S U*

```
  shows propagate** S U ∨ (∃ T. propagate** S T  ∧ conflict T U)
  using assms
proof induction
  case base
  then show ?case by auto
next
  case (step U V) note SU = this(1) and UV = this(2) and IH = this(3)
  consider (confl) T where propagate** S T and conflict T U
    | (propa) propagate** S U using IH by auto
  then show ?case
    proof cases
      case confl
      then have False using UV by auto
      then show ?thesis by fast
    next
      case propa
      also have conflict U V ∨ propagate U V using UV by (auto simp add: cdcl_W-cp.simps)
      ultimately show ?thesis by force
    qed
qed

lemma rtranclp-cdcl_W-co-conflict-ex-lit-of-max-level:
  assumes full: full cdcl_W-cp S U
  and cls-f: no-clause-is-false S
  and conflict-is-false-with-level S
  and lev: cdcl_W-M-level-inv S
  shows conflict-is-false-with-level U
proof (intro allI impI)
  fix D
  assume confl: conflicting U = Some D and
    D: D ≠ {#}
  consider (CT) conflicting S = None | (SD) D' where conflicting S = Some D'
    by (cases conflicting S) auto
  then show ∃L∈#D. get-level (trail U) L = backtrack-lvl U
    proof cases
      case SD
      then have S = U
        by (metis (no-types) assms(1) cdcl_W-cp-conflicting-not-empty full-def rtranclpD tranclpD)
      then show  ?thesis using assms(3) confl D by blast−
    next
      case CT
      have init-clss U = init-clss S and learned-clss U = learned-clss S
        using assms(1) unfolding full-def
          apply (metis (no-types) rtranclpD tranclp-cdcl_W-cp-no-more-init-clss)
        by (metis (mono-tags, lifting) assms(1) full-def rtranclp-cdcl_W-cp-learned-clause-inv)
      obtain T where propagate** S T and TU: conflict T U
        proof −
          have f5: U ≠ S
            using confl CT by force
          then have cdcl_W-cp++ S U
            by (metis full full-def rtranclpD)
          have ⋀p pa. ¬ propagate p pa ∨ conflicting pa =
            (None::'v literal multiset option)
            by auto
          then show ?thesis
            using f5 that tranclp-cdcl_W-cp-propagate-with-conflict-or-not[OF ‹cdcl_W-cp^++ S U›]
            full confl CT unfolding full-def by auto
        qed
      have init-clss T = init-clss S and learned-clss T = learned-clss S
        using TU ‹init-clss U = init-clss S› ‹learned-clss U = learned-clss S› by auto
      then have D ∈# clauses S
        using TU confl by (fastforce simp: clauses-def)
      then have ¬ trail S ⊨as CNot D
        using cls-f CT by simp
      moreover
        obtain M where tr-U: trail U = M @ trail S and nm: ∀m∈set M. ¬is-marked m
          by (metis (mono-tags, lifting) assms(1) full-def rtranclp-cdcl_W-cp-dropWhile-trail)
        have trail U ⊨as CNot D
          using TU confl by auto
      ultimately obtain L where L ∈# D and −L ∈ lits-of M
        unfolding tr-U CNot-def true-annots-def Ball-def true-annot-def true-cls-def by auto

      moreover have inv-U: cdcl_W-M-level-inv U
        by (metis cdcl_W-stgy.conflict' cdcl_W-stgy-consistent-inv full full-unfold lev)
      moreover
        have backtrack-lvl U = backtrack-lvl S
          using full unfolding full-def by (auto dest: rtranclp-cdcl_W-cp-backtrack-lvl)

      moreover
        have no-dup (trail U)
          using inv-U unfolding cdcl_W-M-level-inv-def by auto
        { fix x :: ('v, nat, 'v literal multiset) marked-lit and
            xb :: ('v, nat, 'v literal multiset) marked-lit
          assume a1: atm-of L = atm-of (lit-of xb)
          moreover assume a2: − L = lit-of x
          moreover assume a3: (λl. atm-of (lit-of l)) ‘ set M
            ∩ (λl. atm-of (lit-of l)) ‘ set (trail S) = {}
          moreover assume a4: x ∈ set M
          moreover assume a5: xb ∈ set (trail S)
          moreover have atm-of (− L) = atm-of L
            by auto
          ultimately have False
            by auto
         }
        then have LS: atm-of L ∉ atm-of ‘ lits-of (trail S)
          using ‹−L ∈ lits-of M› ‹no-dup (trail U)› unfolding tr-U lits-of-def by auto
      ultimately have get-level (trail U) L = backtrack-lvl U
        proof (cases get-all-levels-of-marked (trail S) ≠ [], goal-cases)
          case 2 note LD = this(1) and LM = this(2) and inv-U = this(3) and US = this(4) and
            LS = this(5) and ne = this(6)
          have backtrack-lvl S = 0
            using lev ne unfolding cdcl_W-M-level-inv-def by auto
          moreover have get-rev-level (rev M) 0 L = 0
            using nm by auto
          ultimately show ?thesis using LS ne US unfolding tr-U
            by (simp add: get-all-levels-of-marked-nil-iff-not-is-marked lits-of-def)
        next
          case 1 note LD = this(1) and LM = this(2) and inv-U = this(3) and US = this(4) and
            LS = this(5) and ne = this(6)
```

```
        have hd (get-all-levels-of-marked (trail S)) = backtrack-lvl S
          using ne lev unfolding cdcl_W-M-level-inv-def
          by (cases get-all-levels-of-marked (trail S)) auto
        moreover have atm-of L ∈ atm-of ‘ lits-of M
          using ‹−L ∈ lits-of M› by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
            lits-of-def)
        ultimately show ?thesis
          using nm ne unfolding tr-U
          using get-level-skip-beginning-hd-get-all-levels-of-marked[OF LS, of M]
             get-level-skip-in-all-not-marked[of rev M L backtrack-lvl S]
          unfolding lits-of-def US
          by auto
        qed
      then show ∃ L∈#D. get-level (trail U) L = backtrack-lvl U
        using ‹L ∈# D› by blast
    qed
qed
```

17.6.4 Literal of highest level in marked literals

```
definition mark-is-false-with-level :: 'st ⇒ bool where
mark-is-false-with-level S' ≡
  ∀ D M1 M2 L.  M1 @ Propagated L D # M2 = trail S' ⟶  D − {#L#} ≠ {#}
    ⟶ (∃ L. L ∈#  D ∧ get-level (trail S') L = get-maximum-possible-level M1)

definition no-more-propagation-to-do:: 'st ⇒ bool where
no-more-propagation-to-do S ≡
  ∀ D M M' L. D + {#L#} ∈# clauses S ⟶ trail S = M' @ M ⟶ M ⊨as CNot D
    ⟶ undefined-lit M L ⟶ get-maximum-possible-level M < backtrack-lvl S
    ⟶ (∃ L. L ∈# D ∧ get-level (trail S) L = get-maximum-possible-level M)

lemma propagate-no-more-propagation-to-do:
  assumes propagate: propagate S S'
  and H: no-more-propagation-to-do S
  and M: cdcl_W-M-level-inv S
  shows no-more-propagation-to-do S'
  using assms
proof −
  obtain M N U k C L where
    S: state S = (M, N, U, k, None) and
    S': state S' = (Propagated L ( (C + {#L#})) # M, N, U, k, None) and
    C + {#L#} ∈# clauses S and
    M ⊨as CNot C and
    undefined-lit M L
    using propagate by auto
  let ?M' = Propagated L ( (C + {#L#})) # M
  show ?thesis unfolding no-more-propagation-to-do-def
    proof (intro allI impI)
      fix D M1 M2 L'
      assume D-L: D + {#L'#} ∈# clauses S'
      and trail S' = M2 @ M1
      and get-max: get-maximum-possible-level M1 < backtrack-lvl S'
      and M1 ⊨as CNot D
      and undef: undefined-lit M1 L'
      have tl M2 @ M1 = trail S ∨ (M2 = [] ∧ M1 = Propagated L ( (C + {#L#})) # M)
        using ‹trail S' = M2 @ M1› S' S by (cases M2) auto
      moreover {
        assume tl M2 @ M1 = trail S
        moreover have D + {#L'#} ∈# clauses S using D-L S S' unfolding clauses-def by auto
        moreover have get-maximum-possible-level M1 < backtrack-lvl S
          using get-max S S' by auto
        ultimately obtain L' where L' ∈# D and
          get-level (trail S) L' = get-maximum-possible-level M1
          using H ‹M1 ⊨as CNot D› undef unfolding no-more-propagation-to-do-def by metis
        moreover
          { have cdcl_W-M-level-inv S'
              using cdcl_W-consistent-inv[OF - M] cdcl_W.propagate[OF propagate] by blast
            then have no-dup ?M' using S' unfolding cdcl_W-M-level-inv-def by auto
            moreover
              have atm-of L' ∈ atm-of ‘ (lits-of M1)
                using ‹L' ∈# D› ‹M1 ⊨as CNot D› by (metis atm-of-uminus image-eqI
                  in-CNot-implies-uminus(2))
              then have atm-of L' ∈ atm-of ‘ (lits-of M)
                using ‹tl M2 @ M1 = trail S› S by auto
            ultimately have atm-of L ≠ atm-of L' unfolding lits-of-def by auto
        }
        ultimately have ∃L' ∈# D. get-level (trail S') L' = get-maximum-possible-level M1
          using S S' by auto
      }
      moreover {
        assume M2 = [] and M1: M1 = Propagated L ( (C + {#L#})) # M
        have cdcl_W-M-level-inv S'
          using cdcl_W-consistent-inv[OF - M] cdcl_W.propagate[OF propagate] by blast
        then have get-all-levels-of-marked (trail S') = rev ([Suc 0..<(Suc 0+k)])
          using S' unfolding cdcl_W-M-level-inv-def by auto
        then have get-maximum-possible-level M1 = backtrack-lvl S'
          using get-maximum-possible-level-max-get-all-levels-of-marked[of M1] S' M1
          by (auto intro: Max-eqI)
        then have False using get-max by auto
      }
      ultimately show ∃L. L ∈# D ∧ get-level (trail S') L = get-maximum-possible-level M1 by fast
   qed
qed

lemma conflict-no-more-propagation-to-do:
  assumes conflict: conflict S S'
  and H: no-more-propagation-to-do S
  and M: cdcl_W-M-level-inv S
  shows no-more-propagation-to-do S'
  using assms unfolding no-more-propagation-to-do-def conflict.simps by force

lemma cdcl_W-cp-no-more-propagation-to-do:
  assumes conflict: cdcl_W-cp S S'
  and H: no-more-propagation-to-do S
  and M: cdcl_W-M-level-inv S
  shows no-more-propagation-to-do S'
  using assms
  proof (induct rule: cdcl_W-cp.induct)
  case (conflict' S S')
  then show ?case using conflict-no-more-propagation-to-do[of S S'] by blast
next
```

```
  case (propagate' S S') note S = this
  show 1: no-more-propagation-to-do S'
    using propagate-no-more-propagation-to-do[of S S']  S by blast
qed

lemma cdcl_W-then-exists-cdcl_W-stgy-step:
  assumes
    o: cdcl_W-o S S' and
    alien: no-strange-atm S and
    lev: cdcl_W-M-level-inv S
  shows ∃ S'. cdcl_W-stgy S S'
proof −
  obtain S'' where full cdcl_W-cp S' S''
    using always-exists-full-cdcl_W-cp-step alien cdcl_W-no-strange-atm-inv cdcl_W-o-no-more-init-clss
     o other lev by (meson cdcl_W-consistent-inv)
  then show ?thesis
    using assms by (metis always-exists-full-cdcl_W-cp-step cdcl_W-stgy.conflict' full-unfold other')
qed

lemma backtrack-no-decomp:
  assumes S: state S = (M, N, U, k, Some (D + {#L#}))
  and L: get-level M L = k
  and D: get-maximum-level M D < k
  and M-L: cdcl_W-M-level-inv S
  shows ∃ S'. cdcl_W-o S S'
proof −
  have L-D: get-level M L = get-maximum-level M (D + {#L#})
    using L D by (simp add: get-maximum-level-plus)
  let ?i = get-maximum-level M D
  obtain K M1 M2 where K: (Marked K (?i + 1) # M1, M2) ∈ set (get-all-marked-decomposition
M)
    using backtrack-ex-decomp[OF M-L, of ?i] D S by auto
  show ?thesis using backtrack-rule[OF S K L L-D] by (meson bj cdcl_W-bj.simps state-eq-ref)
qed

lemma cdcl_W-stgy-final-state-conclusive:
  assumes termi: ∀ S'. ¬cdcl_W-stgy S S'
  and decomp: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S))
  and learned: cdcl_W-learned-clause S
  and level-inv: cdcl_W-M-level-inv S
  and alien: no-strange-atm S
  and no-dup: distinct-cdcl_W-state S
  and confl: cdcl_W-conflicting S
  and confl-k: conflict-is-false-with-level S
  shows (conflicting S = Some {#} ∧ unsatisfiable (set-mset (init-clss S)))
        ∨ (conflicting S = None ∧ trail S ⊨as set-mset (init-clss S))
proof −
  let ?M = trail S
  let ?N = init-clss S
  let ?k = backtrack-lvl S
  let ?U = learned-clss S
  have conflicting S = Some {#}
        ∨ conflicting S = None
        ∨ (∃ D L. conflicting S = Some (D + {#L#}))
    apply (cases conflicting S, auto)
    by (rename-tac C, case-tac C, auto)
  moreover {
    assume conflicting S = Some {#}
    then have unsatisfiable (set-mset (init-clss S))
      using assms(3) unfolding cdcl_W-learned-clause-def true-clss-cls-def
      by (metis (no-types, lifting) Un-insert-right atms-of-empty satisfiable-def
        sup-bot.right-neutral total-over-m-insert total-over-set-empty true-cls-empty)
  }
  moreover {
    assume conflicting S = None
    { assume ¬?M ⊨asm ?N
      have atm-of ` (lits-of ?M) = atms-of-msu ?N (is ?A = ?B)
        proof
          show ?A ⊆ ?B using alien unfolding no-strange-atm-def by auto
          show ?B ⊆ ?A
            proof (rule ccontr)
              assume ¬?B ⊆ ?A
              then obtain l where l ∈ ?B and l ∉ ?A by auto
              then have undefined-lit ?M (Pos l)
                using ‹l ∉ ?A› unfolding lits-of-def by (auto simp add: defined-lit-map)
              then have ∃S'. cdcl_W-o S S'
                using cdcl_W-o.decide decide.intros ‹l ∈ ?B› no-strange-atm-def
                by (metis ‹conflicting S = None› literal.sel(1) state-eq-def)
              then show False
                using termi cdcl_W-then-exists-cdcl_W-stgy-step[OF - alien]  level-inv by blast
            qed
        qed
        obtain D where ¬ ?M ⊨a D and D ∈# ?N
           using ‹¬?M ⊨asm ?N› unfolding lits-of-def true-annots-def Ball-def by auto
        have atms-of D ⊆ atm-of ` (lits-of ?M)
        using ‹D ∈# ?N› unfolding ‹atm-of ` (lits-of ?M) = atms-of-msu ?N› atms-of-ms-def
        by (auto simp add: atms-of-def)
        then have a1: atm-of ` set-mset D ⊆ atm-of ` lits-of (trail S)
        by (auto simp add: atms-of-def lits-of-def)
        have total-over-m (lits-of ?M) {D}
        using ‹atms-of D ⊆ atm-of ` (lits-of ?M)› atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
        by (fastforce simp: total-over-set-def)
        then have ?M ⊨as CNot D
        using total-not-true-cls-true-clss-CNot  ‹¬ trail S ⊨a D› true-annot-def
        true-annots-true-cls by fastforce
        then have False
        proof −
          obtain S' where
            f2: full cdcl_W-cp S S'
            by (meson alien always-exists-full-cdcl_W-cp-step level-inv)
          then have S' = S
            using cdcl_W-stgy.conflict'[of S] by (metis (no-types) full-unfold termi)
          then show ?thesis
            using f2 ‹D ∈# init-clss S› ‹conflicting S = None› ‹trail S ⊨as CNot D›
            clauses-def full-cdcl_W-cp-not-any-negated-init-clss by auto
        qed
    }
    then have ?M ⊨asm ?N by blast
  }
  moreover {
```

```
assume ∃ D L. conflicting S = Some (D + {#L#})
then obtain D L where LD: conflicting S = Some (D + {#L#}) and lev-L: get-level ?M L = ?k
  by (metis (mono-tags) bex-msetE confl-k insert-DiffM2 multi-self-add-other-not-self
    union-eq-empty)
let ?D = D + {#L#}
have ?D ≠ {#} by auto
have ?M ⊨as CNot ?D using confl LD unfolding cdcl_W-conflicting-def by auto
then have ?M ≠ [] unfolding true-annots-def Ball-def true-annot-def true-cls-def by force
{ have M: ?M = hd ?M # tl ?M using ‹?M ≠ []› list.collapse by fastforce
  assume marked: is-marked (hd ?M)
  then obtain k' where k': k' + 1 = ?k
    using level-inv M unfolding cdcl_W-M-level-inv-def
    by (cases hd (trail S); cases trail S) auto
  obtain L' l' where L': hd ?M = Marked L' l' using marked by (cases hd ?M) auto
  have marked-hd-tl: get-all-levels-of-marked (hd (trail S) # tl (trail S))
    = rev [1..<1 + length (get-all-levels-of-marked ?M)]
    using level-inv lev-L M unfolding cdcl_W-M-level-inv-def M[symmetric]
    by blast
  then have l'-tl: l' # get-all-levels-of-marked (tl ?M)
    = rev [1..<1 + length (get-all-levels-of-marked ?M)] unfolding L' by simp
  moreover have ... = length (get-all-levels-of-marked ?M)
    # rev [1..<length (get-all-levels-of-marked ?M)]
    using M Suc-le-mono calculation by (fastforce simp add: upt.simps(2))
  finally have
    l' = ?k and
    g-r: get-all-levels-of-marked (tl (trail S))
      = rev [1..<length (get-all-levels-of-marked (trail S))]
    using level-inv lev-L M unfolding cdcl_W-M-level-inv-def by auto
  have *: ⋀list. no-dup list ⟹
        − L ∈ lits-of list ⟹ atm-of L ∈ atm-of ‘ lits-of list
    by (metis atm-of-uminus imageI)
  have L' = −L
    proof (rule ccontr)
      assume ¬ ?thesis
      moreover have −L ∈ lits-of ?M using confl LD unfolding cdcl_W-conflicting-def by auto
      ultimately have get-level (hd (trail S) # tl (trail S)) L = get-level (tl ?M) L
        using cdcl_W-M-level-inv-decomp(1)[OF level-inv] unfolding L' consistent-interp-def
        by (metis (no-types, lifting) L' M atm-of-eq-atm-of get-level-skip-beginning insert-iff
          lits-of-cons marked-lit.sel(1))

      moreover
        have length (get-all-levels-of-marked (trail S)) = ?k
          using level-inv unfolding cdcl_W-M-level-inv-def by auto
        then have Max (set (0#get-all-levels-of-marked (tl (trail S)))) = ?k − 1
          unfolding g-r by (auto simp add: Max-n-upt)
        then have get-level (tl ?M) L < ?k
          using get-maximum-possible-level-ge-get-level[of tl ?M L]
          by (metis One-nat-def add.right-neutral add-Suc-right diff-add-inverse2
            get-maximum-possible-level-max-get-all-levels-of-marked k' le-imp-less-Suc
            list.simps(15))
      finally show False using lev-L M by auto
    qed
  have L: hd ?M = Marked (−L) ?k  using ‹l' = ?k› ‹L' = −L› L' by auto

  have g-a-l: get-all-levels-of-marked ?M = rev [1..<1 + ?k]
  using level-inv lev-L M unfolding cdcl_W-M-level-inv-def by auto
have g-k: get-maximum-level (trail S) D ≤ ?k
  using get-maximum-possible-level-ge-get-maximum-level[of ?M]
    get-maximum-possible-level-max-get-all-levels-of-marked[of ?M]
  by (auto simp add: Max-n-upt g-a-l)
have get-maximum-level (trail S) D < ?k
  proof (rule ccontr)
    assume ¬ ?thesis
    then have get-maximum-level (trail S) D = ?k using M g-k unfolding L by auto
    then obtain L' where L' ∈# D and L-k: get-level ?M L' = ?k
      using get-maximum-level-exists-lit[of ?k ?M D] unfolding k'[symmetric] by auto
    have L ≠ L' using no-dup ‹L' ∈# D›
      unfolding distinct-cdcl_W-state-def LD by (metis add.commute add-eq-self-zero
        count-single count-union less-not-refl3 distinct-mset-def union-single-eq-member)
    have L' = −L
      proof (rule ccontr)
        assume ¬ ?thesis
        then have get-level ?M L' = get-level (tl ?M) L'
          using M ‹L ≠ L'› get-level-skip-beginning[of L' hd ?M tl ?M] unfolding L
          by (auto simp: atm-of-eq-atm-of)
        moreover have ... < ?k
          proof −
            { assume a1: get-level (tl (trail S)) L' = backtrack-lvl S
              assume a2: rev (get-all-levels-of-marked (tl (trail S))) =
                [Suc 0..<backtrack-lvl S]
              have k' + Suc 0 = backtrack-lvl S
                using k' by presburger
              then have False
                using a2 a1 by (metis (no-types) Max-n-upt Zero-neq-Suc add-diff-cancel-left'
                  add-diff-cancel-right' diff-is-0-eq
                  get-all-levels-of-marked-rev-eq-rev-get-all-levels-of-marked
                  get-rev-level-less-max-get-all-levels-of-marked list.set(2) set-upt)
            }
            then show ?thesis
              using g-r get-rev-level-less-max-get-all-levels-of-marked[of rev (tl ?M) 0 L]
              l'-tl calculation[symmetric] g-a-l L-k
              by (auto simp: Max-n-upt cdcl_W-M-level-inv-def rev-swap[symmetric])
          qed
        finally show False using L-k by simp
      qed
    then have taut: tautology (D + {#L#})
      using ‹L' ∈# D› by (metis add.commute mset-leD mset-le-add-left multi-member-this
        tautology-minus)
    have consistent-interp (lits-of ?M)
      using level-inv unfolding cdcl_W-M-level-inv-def by auto
    then have ¬?M ⊨as CNot ?D
      using taut by (metis (no-types) ‹L' = − L› ‹L' ∈# D› add.commute consistent-interp-def
        in-CNot-implies-uminus(2) mset-leD mset-le-add-left multi-member-this)
    moreover have ?M ⊨as CNot ?D
      using confl no-dup LD unfolding cdcl_W-conflicting-def by auto
    ultimately show False by blast
  qed
then have False
  using backtrack-no-decomp[OF - ‹get-level (trail S) L = backtrack-lvl S› - level-inv]
  LD  alien termi by (metis cdcl_W-then-exists-cdcl_W-stgy-step level-inv)
```

```
}
moreover {
  assume ¬is-marked (hd ?M)
  then obtain L′ C where L′C: hd ?M = Propagated L′ C by (cases hd ?M, auto)
  then have M: ?M = Propagated L′ C # tl ?M using ‹?M ≠ []› list.collapse by fastforce
  then obtain C′ where C′:  C = C′ + {#L′#}
    using confl unfolding cdcl_W-conflicting-def by (metis append-Nil diff-single-eq-union)
  { assume −L′ ∉# ?D
    then have False
      using bj[OF cdcl_W-bj.skip[OF skip-rule[OF - ‹−L′ ∉# ?D› ‹?D ≠ {#}›, of S C tl (trail S) -
        ]]]
      termi M by (metis LD alien cdcl_W-then-exists-cdcl_W-stgy-step state-eq-def level-inv)
  }
  moreover {
    assume −L′ ∈# ?D
    then obtain D′ where D′: ?D = D′ + {#−L′#} by (metis insert-DiffM2)
    have g-r: get-all-levels-of-marked (Propagated L′ C # tl (trail S))
      = rev [Suc 0..<Suc (length (get-all-levels-of-marked (trail S)))]
      using level-inv M unfolding cdcl_W-M-level-inv-def by auto
    have Max (insert 0 (set (get-all-levels-of-marked (Propagated L′ C # tl (trail S))))) = ?k
      using level-inv M unfolding g-r cdcl_W-M-level-inv-def set-rev
      by (auto simp add:Max-n-upt)
    then have get-maximum-level (Propagated L′ C # tl ?M) D′ ≤ ?k
      using get-maximum-possible-level-ge-get-maximum-level[of Propagated L′ C # tl ?M]
      unfolding get-maximum-possible-level-max-get-all-levels-of-marked by auto
    then have get-maximum-level (Propagated L′ C # tl ?M) D′ = ?k
      ∨ get-maximum-level (Propagated L′ C # tl ?M) D′ < ?k
      using le-neq-implies-less by blast
    moreover {
      assume g-D′-k: get-maximum-level (Propagated L′ C # tl ?M) D′ = ?k
      have False
        proof −
          have f1: get-maximum-level (trail S) D′ = backtrack-lvl S
            using M g-D′-k by auto
          have (trail S, init-clss S, learned-clss S, backtrack-lvl S, Some (D + {#L#}))
            = state S
            by (metis (no-types) LD)
          then have cdcl_W-o S (update-conflicting (Some (D′ #∪ C′)) (tl-trail S))
            using f1 bj[OF cdcl_W-bj.resolve[OF resolve-rule[of S L′ C′ tl ?M ?N ?U ?k D′]]]
            C′ D′ M by (metis state-eq-def)
          then show ?thesis
            by (meson alien cdcl_W-then-exists-cdcl_W-stgy-step termi level-inv)
        qed
    }
    moreover {
      assume get-maximum-level (Propagated L′ C # tl ?M) D′ < ?k
      then have False
        proof −
          assume a1: get-maximum-level (Propagated L′ C # tl (trail S)) D′ < backtrack-lvl S
          obtain mm :: ′v literal multiset and ll :: ′v literal where
            f2: conflicting S = Some (mm + {#ll#})
                get-level (trail S) ll = backtrack-lvl S
            using LD ‹get-level (trail S) L = backtrack-lvl S› by blast
          then have f3: get-maximum-level (trail S) D′ ≤ get-level (trail S) ll
            using M a1 by force
          have lev-neq: get-level (trail S) ll ≠ get-maximum-level (trail S) D'
            using f2 M calculation(2) by presburger
          have f1: trail S = Propagated L' C # tl (trail S)
              conflicting S = Some (D' + {#− L'#})
            using D' LD M by force+
          have f2: conflicting S = Some (mm + {#ll#})
             get-level (trail S) ll = backtrack-lvl S
            using f2 by force+
          have ll = − L'
            by (metis (no-types) D' LD lev-neq option.inject f2 f3 le-antisym
              get-maximum-level-ge-get-level insert-noteq-member)
          then show ?thesis
            using f2 f1 M backtrack-no-decomp[of S]
            by (metis (no-types) a1 alien cdcl_W-then-exists-cdcl_W-stgy-step level-inv termi)
        qed
      }
      ultimately have False by blast
    }
    ultimately have False by blast
  }
  ultimately have False by blast
 }
 ultimately show ?thesis by blast
qed

lemma cdcl_W-cp-tranclp-cdcl_W:
  cdcl_W-cp S S' ⟹ cdcl_W^++ S S'
  apply (induct rule: cdcl_W-cp.induct)
  by (meson cdcl_W.conflict cdcl_W.propagate tranclp.r-into-trancl tranclp.trancl-into-trancl)+

lemma tranclp-cdcl_W-cp-tranclp-cdcl_W:
  cdcl_W-cp^++ S S' ⟹ cdcl_W^++ S S'
  apply (induct rule: tranclp.induct)
   apply (simp add: cdcl_W-cp-tranclp-cdcl_W)
   by (meson cdcl_W-cp-tranclp-cdcl_W tranclp-trans)

lemma cdcl_W-stgy-tranclp-cdcl_W:
  cdcl_W-stgy S S' ⟹ cdcl_W^++ S S'
proof (induct rule: cdcl_W-stgy.induct)
 case conflict'
 then show ?case
  unfolding full1-def by (simp add: tranclp-cdcl_W-cp-tranclp-cdcl_W)
next
 case (other' S' S'')
 then have S' = S'' ∨ cdcl_W-cp^++ S' S''
   by (simp add: rtranclp-unfold full-def)
 then show ?case
   using other' by (meson cdcl_W.other cdcl_W-axioms tranclp.r-into-trancl
     tranclp-cdcl_W-cp-tranclp-cdcl_W tranclp-trans)
qed

lemma tranclp-cdcl_W-stgy-tranclp-cdcl_W:
  cdcl_W-stgy^++ S S' ⟹ cdcl_W^++ S S'
  apply (induct rule: tranclp.induct)
  using cdcl_W-stgy-tranclp-cdcl_W apply blast
```

by (*meson* $cdcl_W$*-stgy-tranclp-*$cdcl_W$ *tranclp-trans*)

lemma *rtranclp-*$cdcl_W$*-stgy-rtranclp-*$cdcl_W$:
  $cdcl_W$*-stgy*** S $S' \Longrightarrow$ $cdcl_W{}^{**}$ S S'
 using *rtranclp-unfold*[*of* $cdcl_W$*-stgy S S'*] *tranclp-*$cdcl_W$*-stgy-tranclp-*$cdcl_W$[*of S S'*] **by** *auto*

lemma $cdcl_W$*-o-conflict-is-false-with-level-inv*:
 assumes
  $cdcl_W$*-o* S S' **and**
  lev: $cdcl_W$*-M-level-inv S* **and**
  confl-inv: *conflict-is-false-with-level S* **and**
  n-d: *distinct-*$cdcl_W$*-state S* **and**
  conflicting: $cdcl_W$*-conflicting S*
 shows *conflict-is-false-with-level S'*
 using *assms*(*1*,*2*)
proof (*induct rule*: $cdcl_W$*-o-induct-lev2*)
 case (*resolve L C M D T*) **note** *tr-S* = *this*(*1*) **and** *confl* = *this*(*2*) **and** T = *this*(*4*)
 have $-L \notin\#$ D **using** *n-d confl* **unfolding** *distinct-*$cdcl_W$*-state-def distinct-mset-def* **by** *auto*
 moreover have $L \notin\#$ D
  proof (*rule ccontr*)
   assume ¬ *?thesis*
   moreover have *Propagated L* (C + {#L#}) # M $\models$*as CNot D*
    using *conflicting confl tr-S* **unfolding** $cdcl_W$*-conflicting-def* **by** *auto*
   ultimately have $-L \in$ *lits-of* (*Propagated L* ((C + {#L#})) # M)
    using *in-CNot-implies-uminus*(*2*) **by** *blast*
   moreover have *no-dup* (*Propagated L* ((C + {#L#})) # M)
    using *lev tr-S* **unfolding** $cdcl_W$*-M-level-inv-def* **by** *auto*
   ultimately show *False* **unfolding** *lits-of-def* **by** (*metis consistent-interp-def image-eqI*
    list.set-intros(*1*) *lits-of-def marked-lit.sel*(*2*) *distinctconsistent-interp*)
  qed

 ultimately
  have *g-D*: *get-maximum-level* (*Propagated L* (C + {#L#}) # M) D
   = *get-maximum-level M D*
  proof −
   have ∀ *a f L*. ((*a*::'*v*) ∈ *f* ‘ L) = (∃ *l*. (*l*::'*v literal*) ∈ L ∧ $a = f\ l$)
    by *blast*
   then show *?thesis*
    using *get-maximum-level-skip-first*[*of L D* (C + {#L#}) M] **unfolding** *atms-of-def*
    by (*metis* (*no-types*) ‹− $L \notin\#$ D› ‹$L \notin\#$ D› *atm-of-eq-atm-of mem-set-mset-iff*)
  qed
 { assume
   get-maximum-level (*Propagated L* (C + {#L#}) # M) D = *backtrack-lvl S* **and**
   backtrack-lvl S > *0*
  then have D: *get-maximum-level M D* = *backtrack-lvl S* **unfolding** *g-D* **by** *blast*
  then have *?case*
   using *tr-S* ‹*backtrack-lvl S* > *0*› *get-maximum-level-exists-lit*[*of backtrack-lvl S M D*] T
   by *auto*
 }
 moreover {
  assume [*simp*]: *backtrack-lvl S* = *0*
  have $\bigwedge L$. *get-level M L* = *0*
   proof −
    fix L
    have *atm-of L* ∉ *atm-of* ‘ (*lits-of M*) $\Longrightarrow$ *get-level M L* = *0* **by** *auto*

```
        moreover {
          assume atm-of L ∈ atm-of ‘ (lits-of M)
          have g-r: get-all-levels-of-marked M = rev [Suc 0..<Suc (backtrack-lvl S)]
            using lev tr-S unfolding cdcl_W-M-level-inv-def by auto
          have Max (insert 0 (set (get-all-levels-of-marked M))) = (backtrack-lvl S)
            unfolding g-r by (simp add: Max-n-upt)
          then have get-level M L = 0
            using get-maximum-possible-level-ge-get-level[of M L]
            unfolding get-maximum-possible-level-max-get-all-levels-of-marked by auto
        }
        ultimately show get-level M L = 0 by blast
      qed
    then have ?case using get-maximum-level-exists-lit-of-max-level[of D#∪C M] tr-S T
      by (auto simp: Bex-mset-def)
  }
  ultimately show ?case using resolve.hyps(3) by blast
next
  case (skip L C' M D T) note tr-S = this(1) and D = this(2) and T =this(5)
  then obtain La where La ∈# D and get-level (Propagated L C' # M) La = backtrack-lvl S
    using skip confl-inv by auto
  moreover
    have atm-of La ≠ atm-of L
      proof (rule ccontr)
        assume ¬ ?thesis
        then have La: La = L using ‹La ∈# D› ‹− L ∉# D› by (auto simp add: atm-of-eq-atm-of)
        have Propagated L C' # M ⊨as CNot D
          using conflicting tr-S D unfolding cdcl_W-conflicting-def by auto
        then have −L ∈ lits-of M
          using ‹La ∈# D› in-CNot-implies-uminus(2)[of D L Propagated L C' # M] unfolding La
          by auto
        then show False using lev tr-S unfolding cdcl_W-M-level-inv-def consistent-interp-def by auto
      qed
    then have get-level (Propagated L C' # M) La = get-level M La  by auto
  ultimately show ?case using D tr-S T by auto
qed (auto split: split-if-asm simp: cdcl_W-M-level-inv-decomp)
```

17.6.5 Strong completeness

```
lemma cdcl_W-cp-propagate-confl:
  assumes cdcl_W-cp S T
  shows propagate** S T ∨ (∃ S'. propagate** S S' ∧ conflict S' T)
  using assms by induction blast+

lemma rtranclp-cdcl_W-cp-propagate-confl:
  assumes cdcl_W-cp** S T
  shows propagate** S T ∨ (∃ S'. propagate** S S' ∧ conflict S' T)
  by (simp add: assms rtranclp-cdcl_W-cp-propa-or-propa-confl)

lemma cdcl_W-cp-propagate-completeness:
  assumes MN: set M ⊨s set-mset N and
  cons: consistent-interp (set M) and
  tot: total-over-m (set M) (set-mset N) and
  lits-of (trail S) ⊆ set M and
  init-clss S = N and
  propagate** S S' and
  learned-clss S = {#}
```

shows *length* (*trail* *S*) ≤ *length* (*trail* *S'*) ∧ *lits-of* (*trail* *S'*) ⊆ *set* *M*
using *assms*(*6*,*4*,*5*,*7*)
proof (*induction rule*: *rtranclp-induct*)
case *base*
then show *?case* **by** *auto*
next
case (*step* *Y* *Z*)
note *st* = *this*(*1*) **and** *propa* = *this*(*2*) **and** *IH* = *this*(*3*) **and** *lits'* = *this*(*4*) **and** *NS* = *this*(*5*) **and** *learned* = *this*(*6*)
then have *len*: *length* (*trail* *S*) ≤ *length* (*trail* *Y*) **and** *LM*: *lits-of* (*trail* *Y*) ⊆ *set* *M*
by *blast+*

obtain *M'* *N'* *U* *k* *C* *L* **where**
Y: *state* *Y* = (*M'*, *N'*, *U*, *k*, *None*) **and**
Z: *state* *Z* = (*Propagated* *L* (*C* + {#*L*#}) # *M'*, *N'*, *U*, *k*, *None*) **and**
C: *C* + {#*L*#} ∈# *clauses* *Y* **and**
M'-C: *M'* ⊨*as* *CNot* *C* **and**
undefined-lit (*trail* *Y*) *L*
using *propa* **by** *auto*
have *init-clss* *S* = *init-clss* *Y*
using *st* **by** *induction auto*
then have [*simp*]: *N'* = *N* **using** *NS* *Y* *Z* **by** *simp*
have *learned-clss* *Y* = {#}
using *st* *learned* **by** *induction auto*
then have [*simp*]: *U* = {#} **using** *Y* **by** *auto*
have *set* *M* ⊨*s* *CNot* *C*
using *M'-C* *LM* *Y* **unfolding** *true-annots-def Ball-def true-annot-def true-clss-def true-cls-def*
by *force*
moreover
have *set* *M* ⊨ *C* + {#*L*#}
using *MN* *C* *learned* *Y* **unfolding** *true-clss-def clauses-def*
by (*metis* *NS* ‹*init-clss* *S* = *init-clss* *Y*› ‹*learned-clss* *Y* = {#}› *add.right-neutral mem-set-mset-iff*)
ultimately have *L* ∈ *set* *M* **by** (*simp add*: *cons consistent-CNot-not*)
then show *?case* **using** *LM* *len* *Y* *Z* **by** *auto*
qed

lemma *completeness-is-a-full1-propagation*:
fixes *S* :: *'st* **and** *M* :: *'v literal list*
assumes *MN*: *set* *M* ⊨*s* *set-mset* *N*
and *cons*: *consistent-interp* (*set* *M*)
and *tot*: *total-over-m* (*set* *M*) (*set-mset* *N*)
and *alien*: *no-strange-atm* *S*
and *learned*: *learned-clss* *S* = {#}
and *clsS*[*simp*]: *init-clss* *S* = *N*
and *lits*: *lits-of* (*trail* *S*) ⊆ *set* *M*
shows ∃ *S'*. *propagate*** *S* *S'* ∧ *full* *cdcl*$_W$*-cp* *S* *S'*
proof –
obtain *S'* **where** *full*: *full* *cdcl*$_W$*-cp* *S* *S'*
using *always-exists-full-cdcl*$_W$*-cp-step* *alien* **by** *blast*
then consider (*propa*) *propagate*** *S* *S'*
| (*confl*) ∃ *X*. *propagate*** *S* *X* ∧ *conflict* *X* *S'*
using *rtranclp-cdcl*$_W$*-cp-propagate-confl* **unfolding** *full-def* **by** *blast*
then show *?thesis*
proof *cases*

```
      case propa then show ?thesis using full by blast
    next
      case confl
      then obtain X where
        X: propagate** S X and
        Xconf: conflict X S'
      by blast
      have clsX: init-clss X = init-clss S
        using X  by induction auto
      have learnedX: learned-clss X = {#} using X learned by induction auto
      obtain E where
        E: E ∈# init-clss X + learned-clss X and
        Not-E: trail X ⊨as CNot E
        using Xconf by (auto simp add: conflict.simps clauses-def)
      have lits-of (trail X) ⊆ set M
        using cdcl_W-cp-propagate-completeness[OF assms(1−3) lits - X learned] learned by auto
      then have MNE: set M ⊨s CNot E
        using Not-E
        by (fastforce simp add: true-annots-def true-annot-def true-clss-def true-cls-def)
      have ¬ set M ⊨s set-mset N
         using E consistent-CNot-not[OF cons MNE]
         unfolding learnedX true-clss-def unfolding clsX clsS by auto
      then show ?thesis using MN by blast
    qed
qed
```

See also $cdcl_W\text{-}cp^{**}$?S ?S′ ⟹ ∃ M. trail ?S′ = M @ trail ?S ∧ (∀ l∈set M. ¬ is-marked l)

```
lemma rtranclp-propagate-is-trail-append:
  propagate** S T ⟹ ∃ c. trail T = c @ trail S
  by (induction rule: rtranclp-induct) auto

lemma rtranclp-propagate-is-update-trail:
  propagate** S T ⟹ cdcl_W-M-level-inv S ⟹ T ∼ delete-trail-and-rebuild (trail T) S
proof (induction rule: rtranclp-induct)
  case base
  then show ?case unfolding state-eq-def by (auto simp: cdcl_W-M-level-inv-decomp state-access-simp)
next
  case (step T U) note IH=this(3)[OF this(4)]
  moreover have cdcl_W-M-level-inv U
    using rtranclp-cdcl_W-consistent-inv ‹propagate** S T› ‹propagate T U›
    rtranclp-mono[of propagate cdcl_W] cdcl_W-cp-consistent-inv propagate'
    rtranclp-propagate-is-rtranclp-cdcl_W step.prems by blast
    then have no-dup (trail U) unfolding cdcl_W-M-level-inv-def by auto
  ultimately show ?case using ‹propagate T U› unfolding state-eq-def
    by (fastforce simp: state-access-simp)
qed

lemma cdcl_W-stgy-strong-completeness-n:
  assumes
    MN: set M ⊨s set-mset N and
    cons: consistent-interp (set M) and
    tot: total-over-m (set M) (set-mset N) and
    atm-incl: atm-of ‘ (set M) ⊆ atms-of-msu N and
    distM: distinct M and
    length: n ≤ length M
  shows
    ∃M' k S. length M' ≥ n ∧
      lits-of M' ⊆ set M ∧
      no-dup M' ∧
      S ∼ update-backtrack-lvl k (append-trail (rev M') (init-state N)) ∧
      cdcl_W-stgy** (init-state N) S
  using length
proof (induction n)
  case 0
  have update-backtrack-lvl 0 (append-trail (rev []) (init-state N)) ∼ init-state N
    by (auto simp: state-eq-def simp del: state-simp)
  moreover have
    0 ≤ length [] and
    lits-of [] ⊆ set M and
    cdcl_W-stgy** (init-state N) (init-state N)
    and no-dup []
    by (auto simp: state-eq-def simp del: state-simp)
  ultimately show ?case using state-eq-sym by blast
next
  case (Suc n) note IH = this(1) and n = this(2)
  then obtain M' k S where
    l-M': length M' ≥ n and
    M': lits-of M' ⊆ set M and
    n-d[simp]: no-dup M' and
    S: S ∼ update-backtrack-lvl k (append-trail (rev M') (init-state N)) and
    st: cdcl_W-stgy** (init-state N) S
    by auto
  have
    M: cdcl_W-M-level-inv S and
    alien: no-strange-atm S
      using rtranclp-cdcl_W-consistent-inv[OF rtranclp-cdcl_W-stgy-rtranclp-cdcl_W[OF st]]
      rtranclp-cdcl_W-no-strange-atm-inv[OF rtranclp-cdcl_W-stgy-rtranclp-cdcl_W[OF st]]
      S unfolding state-eq-def cdcl_W-M-level-inv-def no-strange-atm-def by auto
  { assume no-step: ¬no-step propagate S

    obtain S' where S': propagate** S S' and full: full cdcl_W-cp S S'
      using completeness-is-a-full1-propagation[OF assms(1-3), of S] alien M' S
      by (auto simp: state-access-simp)
    have lev: cdcl_W-M-level-inv S'
      using M S' rtranclp-cdcl_W-consistent-inv rtranclp-propagate-is-rtranclp-cdcl_W by blast
    then have n-d'[simp]: no-dup (trail S')
      unfolding cdcl_W-M-level-inv-def by auto
    have length (trail S) ≤ length (trail S') ∧ lits-of (trail S') ⊆ set M
      using S' full cdcl_W-cp-propagate-completeness[OF assms(1-3), of S] M' S
      by (auto simp: state-access-simp)
    moreover
      have full: full1 cdcl_W-cp S S'
        using full no-step no-step-cdcl_W-cp-no-conflict-no-propagate(2) unfolding full1-def full-def
        rtranclp-unfold by blast
      then have cdcl_W-stgy S S' by (simp add: cdcl_W-stgy.conflict')
    moreover
      have propa: propagate++ S S' using S' full unfolding full1-def by (metis rtranclpD tranclpD)
      have trail S = M' using S by (auto simp: state-access-simp)
      with propa have length (trail S') > n
        using l-M' propa by (induction rule: tranclp.induct) auto
    moreover
      have stS': cdcl_W-stgy** (init-state N) S'
        using st cdcl_W-stgy.conflict'[OF full] by auto
      then have init-clss S' = N using stS' rtranclp-cdcl_W-stgy-no-more-init-clss by fastforce
    moreover
      have
        [simp]:learned-clss S' = {#} and
        [simp]: init-clss S' = init-clss S and
        [simp]: conflicting S' = None
        using tranclp-into-rtranclp[OF ‹propagate++ S S'›] S
        rtranclp-propagate-is-update-trail[of S S'] S M unfolding state-eq-def
        by (auto simp: state-access-simp)
      have S-S': S' ∼ update-backtrack-lvl (backtrack-lvl S')
        (append-trail (rev (trail S')) (init-state N)) using S
        by (auto simp: state-eq-def state-access-simp simp del: state-simp)
      have cdcl_W-stgy** (init-state (init-clss S')) S'
        apply (rule rtranclp.rtrancl-into-rtrancl)
        using st unfolding ‹init-clss S' = N› apply simp
        using ‹cdcl_W-stgy S S'› by simp
    ultimately have ?case
      apply −
      apply (rule exI[of - trail S'], rule exI[of - backtrack-lvl S'],  rule exI[of - S'])
      using S-S' by (auto simp: state-eq-def simp del: state-simp)
  }
  moreover {
    assume no-step: no-step propagate S
    have ?case
      proof (cases length M' ≥ Suc n)
        case True
        then show ?thesis using l-M' M' st M alien S by fastforce
      next
        case False
        then have n': length M' = n using l-M' by auto
        have no-confl: no-step conflict S
          proof −
            { fix D
              assume D ∈# N and M' ⊨as CNot D
              then have set M ⊨ D using MN unfolding true-clss-def by auto
              moreover have set M ⊨s CNot D
                using ‹M' ⊨as CNot D› M'
                by (metis le-iff-sup true-annots-true-cls true-clss-union-increase)
              ultimately have False using cons consistent-CNot-not by blast
            }
            then show ?thesis using S by (auto simp: conflict.simps true-clss-def state-access-simp)
          qed
        have lenM: length M = card (set M) using distM by (induction M) auto
        have no-dup M' using S M unfolding cdcl_W-M-level-inv-def by auto
        then have card (lits-of M') = length M'
          by (induction M') (auto simp add: lits-of-def card-insert-if)
        then have lits-of M' ⊂ set M
          using n M' n' lenM by auto
        then obtain m where m: m ∈ set M and undef-m: m ∉ lits-of M' by auto
        moreover have undef: undefined-lit M' m
          using M' Marked-Propagated-in-iff-in-lits-of calculation(1,2) cons
          consistent-interp-def by blast
        moreover have atm-of m ∈ atms-of-msu (init-clss S)
          using atm-incl calculation S by (auto simp: state-access-simp)
        ultimately
          have dec: decide S (cons-trail (Marked m (k+1)) (incr-lvl S))
            using decide.intros[of S rev M' N - k m
              cons-trail (Marked m (k + 1)) (incr-lvl S)] S
            by (auto simp: state-access-simp)
        let ?S' = cons-trail (Marked m (k+1)) (incr-lvl S)
        have lits-of (trail ?S') ⊆ set M using m M' S undef by (auto simp: state-access-simp)
        moreover have no-strange-atm ?S'
          using alien dec M by (meson cdcl_W-no-strange-atm-inv decide other)
        ultimately obtain S'' where S'': propagate** ?S' S'' and full: full cdcl_W-cp ?S' S''
          using completeness-is-a-full1-propagation[OF assms(1−3), of ?S'] S undef
          by (auto simp: state-access-simp)
        have cdcl_W-M-level-inv ?S'
          using M dec rtranclp-mono[of decide cdcl_W] by (meson cdcl_W-consistent-inv decide other)
        then have lev'': cdcl_W-M-level-inv S''
          using S'' rtranclp-cdcl_W-consistent-inv rtranclp-propagate-is-rtranclp-cdcl_W by blast
        then have n-d'': no-dup (trail S'')
          unfolding cdcl_W-M-level-inv-def by auto
        have length (trail ?S') ≤ length (trail S'') ∧ lits-of (trail S'') ⊆ set M
          using S'' full cdcl_W-cp-propagate-completeness[OF assms(1−3), of ?S' S''] m M' S undef
          by (simp add: state-access-simp)
        then have Suc n ≤ length (trail S'') ∧ lits-of (trail S'') ⊆ set M
          using l-M' S undef by (auto simp: state-access-simp)
        moreover
          have cdcl_W-M-level-inv (cons-trail (Marked m (Suc (backtrack-lvl S)))
            (update-backtrack-lvl (Suc (backtrack-lvl S)) S))
            using S ‹cdcl_W-M-level-inv (cons-trail (Marked m (k + 1)) (incr-lvl S))› by auto
          then have S'': S'' ∼ update-backtrack-lvl (backtrack-lvl S'')
            (append-trail (rev (trail S'')) (init-state N))
            using rtranclp-propagate-is-update-trail[OF S''] S undef n-d'' lev''
            by (auto simp del: state-simp simp: state-eq-def state-access-simp)
          then have cdcl_W-stgy** (init-state N) S''
            using cdcl_W-stgy.intros(2)[OF decide[OF dec] - full] no-step no-confl st
            by (auto simp: cdcl_W-cp.simps)
        ultimately show ?thesis using S'' n-d'' by blast
      qed
  }
  ultimately show ?case by blast
qed

lemma cdcl_W-stgy-strong-completeness:
  assumes MN: set M ⊨s set-mset N
  and cons: consistent-interp (set M)
  and tot: total-over-m (set M) (set-mset N)
  and atm-incl: atm-of ‘ (set M) ⊆ atms-of-msu N
  and distM: distinct M
  shows
    ∃M' k S.
      lits-of M' = set M ∧
      S ∼ update-backtrack-lvl k (append-trail (rev M') (init-state N)) ∧
      cdcl_W-stgy** (init-state N) S ∧
      final-cdcl_W-state S
proof −
```

from *cdcl*$_W$*-stgy-strong-completeness-n*[*OF assms*, *of length M*]
obtain *M' k T* **where**
l: *length M* ≤ *length M'* **and**
M'-M: *lits-of M'* ⊆ *set M* **and**
no-dup: *no-dup M'* **and**
T: *T* ∼ *update-backtrack-lvl k* (*append-trail* (*rev M'*) (*init-state N*)) **and**
st: *cdcl*$_W$*-stgy*** (*init-state N*) *T*
by *auto*
have *card* (*set M*) = *length M* **using** *distM* **by** (*simp add*: *distinct-card*)
moreover
have *cdcl*$_W$*-M-level-inv T*
using *rtranclp-cdcl*$_W$*-stgy-consistent-inv*[*OF st*] *T* **by** *auto*
then have *card* (*set* ((*map* (λ*l*. *atm-of* (*lit-of l*)) *M'*))) = *length M'*
using *distinct-card no-dup* **by** *fastforce*
moreover have *card* (*lits-of M'*) = *card* (*set* ((*map* (λ*l*. *atm-of* (*lit-of l*)) *M'*)))
using *no-dup* **unfolding** *lits-of-def* **apply** (*induction M'*) **by** (*auto simp add*: *card-insert-if*)
ultimately have *card* (*set M*) ≤ *card* (*lits-of M'*) **using** *l* **unfolding** *lits-of-def* **by** *auto*
then have *set M* = *lits-of M'*
using *M'-M card-seteq* **by** *blast*
moreover
then have *M'* ⊨*asm N*
using *MN* **unfolding** *true-annots-def Ball-def true-annot-def true-clss-def* **by** *auto*
then have *final-cdcl*$_W$*-state T*
using *T no-dup* **unfolding** *final-cdcl*$_W$*-state-def* **by** (*auto simp*: *state-access-simp*)
ultimately show *?thesis* **using** *st T* **by** *blast*
qed

17.6.6 No conflict with only variables of level less than backtrack level

This invariant is stronger than the previous argument in the sense that it is a property about all possible conflicts.

definition *no-smaller-confl* (*S*::*'st*) ≡
(∀ *M K i M' D*. *M'* @ *Marked K i* # *M* = *trail S* ⟶ *D* ∈# *clauses S*
⟶ ¬*M* ⊨*as CNot D*)

lemma *no-smaller-confl-init-sate*[*simp*]:
no-smaller-confl (*init-state N*) **unfolding** *no-smaller-confl-def* **by** *auto*

lemma *cdcl*$_W$*-o-no-smaller-confl-inv*:
fixes *S S'* :: *'st*
assumes
cdcl$_W$*-o S S'* **and**
lev: *cdcl*$_W$*-M-level-inv S* **and**
max-lev: *conflict-is-false-with-level S* **and**
smaller: *no-smaller-confl S* **and**
no-f: *no-clause-is-false S*
shows *no-smaller-confl S'*
using *assms*(*1*,*2*) **unfolding** *no-smaller-confl-def*
proof (*induct rule*: *cdcl*$_W$*-o-induct-lev2*)
case (*decide L T*) **note** *confl* = *this*(*1*) **and** *undef* = *this*(*2*) **and** *T* = *this*(*4*)
have [*simp*]: *clauses T* = *clauses S*
using *T undef* **by** *auto*
show *?case*
proof (*intro allI impI*)
fix *M'' K i M' Da*

```
      assume M'' @ Marked K i # M' = trail T
      and D: Da ∈# local.clauses T
      then have tl M'' @ Marked K i # M' = trail S
        ∨ (M'' = [] ∧ Marked K i # M' = Marked L (backtrack-lvl S + 1) # trail S)
        using T undef by (cases M'') auto
      moreover {
        assume tl M'' @ Marked K i # M' = trail S
        then have ¬M' ⊨as CNot Da
          using D T undef no-f confl smaller unfolding no-smaller-confl-def smaller by fastforce
      }
      moreover {
        assume Marked K i # M' = Marked L (backtrack-lvl S + 1) # trail S
        then have ¬M' ⊨as CNot Da using no-f D confl T by auto
      }
      ultimately show ¬M' ⊨as CNot Da by fast
    qed
next
  case resolve
  then show ?case using smaller no-f max-lev unfolding no-smaller-confl-def by auto
next
  case skip
  then show ?case using smaller no-f max-lev unfolding no-smaller-confl-def by auto
next
  case (backtrack K i M1 M2 L D T) note decomp = this(1) and confl = this(3) and undef = this(6)
    and T =this(7)
  obtain c where M: trail S = c @ M2 @ Marked K (i+1) # M1
    using decomp by auto

  show ?case
    proof (intro allI impI)
      fix M ia K' M' Da
      assume M' @ Marked K' ia # M = trail T
      then have tl M' @ Marked K' ia # M = M1
        using T decomp undef lev by (cases M') (auto simp: cdcl_W-M-level-inv-decomp)
      assume D: Da ∈# clauses T
      moreover{
        assume Da ∈# clauses S
        then have ¬M ⊨as CNot Da using ‹tl M' @ Marked K' ia # M = M1› M confl undef smaller
          unfolding no-smaller-confl-def by auto
      }
      moreover {
        assume Da: Da = D + {#L#}
        have ¬M ⊨as CNot Da
          proof (rule ccontr)
            assume ¬ ?thesis
            then have −L ∈ lits-of M unfolding Da by auto
            then have −L ∈ lits-of (Propagated L ((D + {#L#})) # M1)
              using UnI2 ‹tl M' @ Marked K' ia # M = M1›
              by auto
            moreover
              have backtrack S
                (cons-trail (Propagated L (D + {#L#}))
                  (reduce-trail-to M1 (add-learned-cls (D + {#L#})
                  (update-backtrack-lvl i (update-conflicting None S)))))
                using backtrack.intros[of S] backtrack.hyps
```

by (*force simp*: *state-eq-def simp del*: *state-simp*)
then have *cdcl*$_W$*-M-level-inv*
(*cons-trail* (*Propagated L* (*D* + {#*L*#}))
(*reduce-trail-to M1* (*add-learned-cls* (*D* + {#*L*#})
(*update-backtrack-lvl i* (*update-conflicting None S*)))))
using *cdcl*$_W$*-consistent-inv*[*OF* - *lev*] *other*[*OF bj*] **by** *auto*
then have *no-dup* (*Propagated L* (*D* + {#*L*#}) # *M1*)
using *decomp undef lev* **unfolding** *cdcl*$_W$*-M-level-inv-def* **by** *auto*
ultimately show *False* **by** (*metis consistent-interp-def distinctconsistent-interp insertCI lits-of-cons marked-lit.sel*(*2*))
qed
}
ultimately show ¬*M* ⊨*as CNot Da*
using *T undef* ‹*Da* = *D* + {#*L*#} ⟹ ¬ *M* ⊨*as CNot Da*› *decomp lev*
unfolding *cdcl*$_W$*-M-level-inv-def* **by** *fastforce*
qed
qed

lemma *conflict-no-smaller-confl-inv*:
assumes *conflict S S'*
and *no-smaller-confl S*
shows *no-smaller-confl S'*
using *assms* **unfolding** *no-smaller-confl-def* **by** *fastforce*

lemma *propagate-no-smaller-confl-inv*:
assumes *propagate*: *propagate S S'*
and *n-l*: *no-smaller-confl S*
shows *no-smaller-confl S'*
unfolding *no-smaller-confl-def*
proof (*intro allI impI*)
fix *M' K i M'' D*
assume *M'*: *M''* @ *Marked K i* # *M'* = *trail S'*
and *D* ∈# *clauses S'*
obtain *M N U k C L* **where**
S: *state S* = (*M*, *N*, *U*, *k*, *None*) **and**
S': *state S'* = (*Propagated L* ((*C* + {#*L*#})) # *M*, *N*, *U*, *k*, *None*) **and**
C + {#*L*#} ∈# *clauses S* **and**
M ⊨*as CNot C* **and**
undefined-lit M L
using *propagate* **by** *auto*
have *tl M''* @ *Marked K i* # *M'* = *trail S* **using** *M' S S'*
by (*metis Pair-inject list.inject list.sel*(*3*) *marked-lit.distinct*(*1*) *self-append-conv2 tl-append2*)
then have ¬*M'* ⊨*as CNot D*
using ‹*D* ∈# *clauses S'*› *n-l S S' clauses-def* **unfolding** *no-smaller-confl-def* **by** *auto*
then show ¬*M'* ⊨*as CNot D* **by** *auto*
qed

lemma *cdcl*$_W$*-cp-no-smaller-confl-inv*:
assumes *propagate*: *cdcl*$_W$*-cp S S'*
and *n-l*: *no-smaller-confl S*
shows *no-smaller-confl S'*
using *assms*
proof (*induct rule*: *cdcl*$_W$*-cp.induct*)
case (*conflict' S S'*)

  then show *?case* **using** *conflict-no-smaller-confl-inv*[*of S S'*] **by** *blast*
next
  case (*propagate' S S'*)
  then show *?case* **using** *propagate-no-smaller-confl-inv*[*of S S'*] **by** *fastforce*
qed

lemma *rtrancp-cdcl*$_W$*-cp-no-smaller-confl-inv*:
  assumes *propagate*: *cdcl*$_W$*-cp*** *S S'*
  and *n-l*: *no-smaller-confl S*
  shows *no-smaller-confl S'*
  using *assms*
proof (*induct rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **by** *simp*
next
  case (*step S' S''*)
  then show *?case* **using** *cdcl*$_W$*-cp-no-smaller-confl-inv*[*of S' S''*] **by** *fast*
qed

lemma *trancp-cdcl*$_W$*-cp-no-smaller-confl-inv*:
  assumes *propagate*: *cdcl*$_W$*-cp*$^{++}$ *S S'*
  and *n-l*: *no-smaller-confl S*
  shows *no-smaller-confl S'*
  using *assms*
proof (*induct rule*: *tranclp.induct*)
  case (*r-into-trancl S S'*)
  then show *?case* **using** *cdcl*$_W$*-cp-no-smaller-confl-inv*[*of S S'*] **by** *blast*
next
  case (*trancl-into-trancl S S' S''*)
  then show *?case* **using** *cdcl*$_W$*-cp-no-smaller-confl-inv*[*of S' S''*] **by** *fast*
qed

lemma *full-cdcl*$_W$*-cp-no-smaller-confl-inv*:
  assumes *full cdcl*$_W$*-cp S S'*
  and *n-l*: *no-smaller-confl S*
  shows *no-smaller-confl S'*
  using *assms* **unfolding** *full-def*
  using *rtrancp-cdcl*$_W$*-cp-no-smaller-confl-inv*[*of S S'*] **by** *blast*

lemma *full1-cdcl*$_W$*-cp-no-smaller-confl-inv*:
  assumes *full1 cdcl*$_W$*-cp S S'*
  and *n-l*: *no-smaller-confl S*
  shows *no-smaller-confl S'*
  using *assms* **unfolding** *full1-def*
  using *trancp-cdcl*$_W$*-cp-no-smaller-confl-inv*[*of S S'*] **by** *blast*

lemma *cdcl*$_W$*-stgy-no-smaller-confl-inv*:
  assumes *cdcl*$_W$*-stgy S S'*
  and *n-l*: *no-smaller-confl S*
  and *conflict-is-false-with-level S*
  and *cdcl*$_W$*-M-level-inv S*
  shows *no-smaller-confl S'*
  using *assms*
proof (*induct rule*: *cdcl*$_W$*-stgy.induct*)
  case (*conflict' S'*)

```
  then show ?case using full1-cdcl_W-cp-no-smaller-confl-inv[of S S'] by blast
next
  case (other' S' S'')
  have no-smaller-confl S'
    using cdcl_W-o-no-smaller-confl-inv[OF other'.hyps(1) other'.prems(3,2,1)]
    not-conflict-not-any-negated-init-clss other'.hyps(2) by blast
  then show ?case using full-cdcl_W-cp-no-smaller-confl-inv[of S' S''] other'.hyps by blast
qed


lemma conflict-conflict-is-no-clause-is-false-test:
  assumes conflict S S'
  and (∀D ∈# init-clss S + learned-clss S. trail S ⊨as CNot D
    ⟶ (∃L. L ∈# D ∧ get-level (trail S) L = backtrack-lvl S))
  shows ∀D ∈# init-clss S' + learned-clss S'. trail S' ⊨as CNot D
    ⟶ (∃L. L ∈# D ∧ get-level (trail S') L = backtrack-lvl S')
  using assms by auto

lemma is-conflicting-exists-conflict:
  assumes ¬(∀D∈#init-clss S' + learned-clss S'. ¬ trail S' ⊨as CNot D)
  and conflicting S' = None
  shows ∃S''. conflict S' S''
  using assms clauses-def not-conflict-not-any-negated-init-clss by fastforce

lemma cdcl_W-o-conflict-is-no-clause-is-false:
  fixes S S' :: 'st
  assumes
    cdcl_W-o S S' and
    lev: cdcl_W-M-level-inv S and
    max-lev: conflict-is-false-with-level S and
    no-f: no-clause-is-false S and
    no-l: no-smaller-confl S
  shows no-clause-is-false S'
    ∨ (conflicting S' = None
        ⟶ (∀D ∈# clauses S'. trail S' ⊨as CNot D
             ⟶ (∃L. L ∈# D ∧ get-level (trail S') L = backtrack-lvl S')))
  using assms(1,2)
proof (induct rule: cdcl_W-o-induct-lev2)
  case (decide L T) note S = this(1) and undef = this(2) and T =this(4)
  show ?case
    proof (rule HOL.disjI2, clarify)
      fix D
      assume D: D ∈# clauses T and M-D: trail T ⊨as CNot D
      let ?M = trail S
      let ?M' = trail T
      let ?k = backtrack-lvl S
      have ¬?M ⊨as CNot D
          using no-f D S T undef by auto
      have −L ∈# D
        proof (rule ccontr)
          assume ¬ ?thesis
          have ?M ⊨as CNot D
            unfolding true-annots-def Ball-def true-annot-def CNot-def true-cls-def
            proof (intro allI impI)
              fix x
              assume x: x ∈ {{#− L#} |L. L ∈# D}

              then obtain L′ where L′: x = {#−L′#} L′ ∈# D by auto
              obtain L″ where L″ ∈# x and lits-of (Marked L (?k + 1) # ?M) ⊨l L″
                using M-D x T undef unfolding true-annots-def Ball-def true-annot-def CNot-def
                true-cls-def Bex-mset-def by auto
              show ∃ L ∈# x. lits-of ?M ⊨l L unfolding Bex-mset-def
                by (metis ‹− L ∉# D› ‹L″ ∈# x› L′ ‹lits-of (Marked L (?k + 1) # ?M) ⊨l L″›
                  count-single insertE less-numeral-extra(3) lits-of-cons marked-lit.sel(1)
                  true-lit-def uminus-of-uminus-id)
            qed
          then show False using ‹¬ ?M ⊨as CNot D› by auto
        qed
      have atm-of L ∉ atm-of ‘ (lits-of ?M)
        using undef defined-lit-map unfolding lits-of-def by fastforce
      then have get-level (Marked L (?k + 1) # ?M) (−L) = ?k + 1 by simp
      then show ∃ La. La ∈# D ∧ get-level ?M′ La = backtrack-lvl T
        using ‹−L ∈# D› T undef by auto
    qed
next
  case resolve
  then show ?case by auto
next
  case skip
  then show ?case by auto
next
  case (backtrack K i M1 M2 L D T) note decomp = this(1) and undef = this(6) and T =this(7)
  show ?case
    proof (rule HOL.disjI2, clarify)
      fix Da
      assume Da: Da ∈# clauses T
      and M-D: trail T ⊨as CNot Da
      obtain c where M: trail S = c @ M2 @ Marked K (i + 1) # M1
        using decomp by auto
      have tr-T: trail T = Propagated L (D + {#L#}) # M1
        using T decomp undef lev by (auto simp: cdcl_W-M-level-inv-decomp)
      have backtrack S T
       using backtrack.intros backtrack.hyps T by (force simp del: state-simp simp: state-eq-def)
      then have lev′: cdcl_W-M-level-inv T
        using cdcl_W-consistent-inv lev other by blast
      then have − L ∉ lits-of M1
        unfolding cdcl_W-M-level-inv-def lits-of-def
        proof −
          have consistent-interp (lits-of (trail S)) ∧ no-dup (trail S)
            ∧ backtrack-lvl S = length (get-all-levels-of-marked (trail S))
            ∧ get-all-levels-of-marked (trail S)
              = rev [1..<1 + length (get-all-levels-of-marked (trail S))]
            using lev cdcl_W-M-level-inv-def by blast
          then show − L ∉ lit-of ‘ set M1
            by (metis (no-types) One-nat-def add.right-neutral add-Suc-right
              atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set backtrack.hyps(2)
              cdcl_W.backtrack-lit-skiped cdcl_W-axioms decomp lits-of-def)
        qed
      { assume Da ∈# clauses S
        then have ¬M1 ⊨as CNot Da using no-l M unfolding no-smaller-confl-def by auto
      }
      moreover {
        assume Da: Da = D + {#L#}
        have ¬M1 ⊨as CNot Da using ‹− L ∉ lits-of M1› unfolding Da by simp
      }
      ultimately have ¬M1 ⊨as CNot Da
        using Da T undef decomp lev by (fastforce simp: cdcl_W-M-level-inv-decomp)
      then have −L ∈# Da
        using M-D ‹− L ∉ lits-of M1› in-CNot-implies-uminus(2)
          true-annots-CNot-lit-of-notin-skip T unfolding tr-T
        by (smt insert-iff lits-of-cons marked-lit.sel(2))
      have g-M1: get-all-levels-of-marked M1 = rev [1..<i+1]
        using lev lev' T decomp undef unfolding cdcl_W-M-level-inv-def by auto
      have no-dup (Propagated L (D + {#L#}) # M1)
        using lev lev' T decomp undef unfolding cdcl_W-M-level-inv-def by auto
      then have L: atm-of L ∉ atm-of ‘ lits-of M1 unfolding lits-of-def by auto
      have get-level (Propagated L ((D + {#L#})) # M1) (−L) = i
        using get-level-get-rev-level-get-all-levels-of-marked[OF L,
          of [Propagated L ((D + {#L#}))]]
        by (simp add: g-M1 split: if-splits)
      then show ∃ La. La ∈# Da ∧ get-level (trail T) La = backtrack-lvl T
        using ‹−L ∈# Da› T decomp undef lev by (auto simp: cdcl_W-M-level-inv-def)
    qed
qed

lemma full1-cdcl_W-cp-exists-conflict-decompose:
  assumes confl: ∃ D∈#clauses S. trail S ⊨as CNot D
  and full: full cdcl_W-cp S U
  and no-confl: conflicting S = None
  shows ∃ T. propagate** S T ∧ conflict T U
proof −
  consider (propa) propagate** S U
      | (confl) T where propagate** S T and conflict T U
   using full unfolding full-def by (blast dest:rtranclp-cdcl_W-cp-propa-or-propa-confl)
  then show ?thesis
    proof cases
      case confl
      then show ?thesis by blast
    next
      case propa
      then have conflicting U = None
        using no-confl by induction auto
      moreover have [simp]: learned-clss U = learned-clss S and
        [simp]: init-clss U = init-clss S
        using propa by induction auto
      moreover
        obtain D where D: D∈#clauses U and
          trS: trail S ⊨as CNot D
          using confl clauses-def by auto
        obtain M where M: trail U = M @ trail S
          using full rtranclp-cdcl_W-cp-dropWhile-trail unfolding full-def by meson
        have tr-U: trail U ⊨as CNot D
          apply (rule true-annots-mono)
          using trS unfolding M by simp-all
      have ∃ V. conflict U V
```

    using ‹*conflicting U = None*› *D clauses-def not-conflict-not-any-negated-init-clss tr-U*
    by *blast*
   then have *False* **using** *full* $cdcl_W$*-cp.conflict'* **unfolding** *full-def* **by** *blast*
   then show *?thesis* **by** *fast*
  qed
qed

lemma *full1-*$cdcl_W$*-cp-exists-conflict-full1-decompose*:
 assumes *confl*: ∃ *D*∈#*clauses S*. *trail S* ⊨*as CNot D*
 and *full*: *full* $cdcl_W$*-cp S U*
 and *no-confl*: *conflicting S* = *None*
 shows ∃ *T D*. *propagate*** *S T* ∧ *conflict T U*
  ∧ *trail T* ⊨*as CNot D* ∧ *conflicting U* = *Some D* ∧ *D* ∈# *clauses S*
proof −
 obtain *T* **where** *propa*: *propagate*** *S T* **and** *conf*: *conflict T U*
  using *full1-*$cdcl_W$*-cp-exists-conflict-decompose*[*OF assms*] **by** *blast*
 have *p*: *learned-clss T* = *learned-clss S init-clss T* = *init-clss S*
   using *propa* **by** *induction auto*
 have *c*: *learned-clss U* = *learned-clss T init-clss U* = *init-clss T*
   using *conf* **by** *induction auto*
 obtain *D* **where** *trail T* ⊨*as CNot D* ∧ *conflicting U* = *Some D* ∧ *D* ∈# *clauses S*
  using *conf p c* **by** (*fastforce simp*: *clauses-def*)
 then show *?thesis*
  using *propa conf* **by** *blast*
qed

lemma $cdcl_W$*-stgy-no-smaller-confl*:
 assumes $cdcl_W$*-stgy S S'*
 and *n-l*: *no-smaller-confl S*
 and *conflict-is-false-with-level S*
 and $cdcl_W$*-M-level-inv S*
 and *no-clause-is-false S*
 and *distinct-*$cdcl_W$*-state S*
 and $cdcl_W$*-conflicting S*
 shows *no-smaller-confl S'*
 using *assms*
proof (*induct rule*: $cdcl_W$*-stgy.induct*)
 case (*conflict' S'*)
 show *no-smaller-confl S'*
  using *conflict'.hyps conflict'.prems*(*1*) *full1-*$cdcl_W$*-cp-no-smaller-confl-inv* **by** *blast*
next
 case (*other' S' S''*)
 have *lev'*: $cdcl_W$*-M-level-inv S'*
  using $cdcl_W$*-consistent-inv other other'.hyps*(*1*) *other'.prems*(*3*) **by** *blast*
 show *no-smaller-confl S''*
  using $cdcl_W$*-stgy-no-smaller-confl-inv*[*OF* $cdcl_W$*-stgy.other'*[*OF other'.hyps*(*1*−*3*)]]
  other'.prems(*1*−*3*) **by** *blast*
qed

lemma $cdcl_W$*-stgy-ex-lit-of-max-level*:
 assumes $cdcl_W$*-stgy S S'*
 and *n-l*: *no-smaller-confl S*
 and *conflict-is-false-with-level S*
 and $cdcl_W$*-M-level-inv S*
 and *no-clause-is-false S*

```
  and distinct-cdcl_W-state S
  and cdcl_W-conflicting S
  shows conflict-is-false-with-level S'
  using assms
proof (induct rule: cdcl_W-stgy.induct)
  case (conflict' S')
  have no-smaller-confl S'
    using conflict'.hyps conflict'.prems(1) full1-cdcl_W-cp-no-smaller-confl-inv by blast
  moreover have conflict-is-false-with-level S'
    using conflict'.hyps conflict'.prems(2−4)
    rtranclp-cdcl_W-co-conflict-ex-lit-of-max-level[of S S']
    unfolding full-def full1-def rtranclp-unfold by presburger
  then show ?case by blast
next
  case (other' S' S'')
  have lev': cdcl_W-M-level-inv S'
    using cdcl_W-consistent-inv other other'.hyps(1) other'.prems(3) by blast
  moreover
    have no-clause-is-false S'
     ∨ (conflicting S' = None ⟶ (∀D∈#clauses S'. trail S' ⊨as CNot D
        ⟶ (∃L. L ∈# D ∧ get-level (trail S') L = backtrack-lvl S')))
      using cdcl_W-o-conflict-is-no-clause-is-false[of S S'] other'.hyps(1) other'.prems(1−4) by fast
  moreover {
    assume no-clause-is-false S'
    {
      assume conflicting S' = None
      then have conflict-is-false-with-level S' by auto
      moreover have full cdcl_W-cp S' S''
        by (metis (no-types) other'.hyps(3))
      ultimately have conflict-is-false-with-level S''
        using rtranclp-cdcl_W-co-conflict-ex-lit-of-max-level[of S' S''] lev' ‹no-clause-is-false S'›
        by blast
    }
    moreover
    {
      assume c: conflicting S' ≠ None
      have conflicting S ≠ None using other'.hyps(1) c
        by (induct rule: cdcl_W-o-induct) auto
      then have conflict-is-false-with-level S'
        using cdcl_W-o-conflict-is-false-with-level-inv[OF other'.hyps(1)]
        other'.prems(3,5,6,2) by blast
      moreover have cdcl_W-cp** S' S'' using other'.hyps(3) unfolding full-def by auto
      then have S' = S'' using c
        by (induct rule: rtranclp-induct)
           (fastforce intro: option.exhaust)+
      ultimately have conflict-is-false-with-level S'' by auto
    }
    ultimately have conflict-is-false-with-level S'' by blast
  }
  moreover {
     assume
       confl: conflicting S' = None and
       D-L: ∀D ∈# clauses S'. trail S' ⊨as CNot D
         ⟶ (∃L. L ∈# D ∧ get-level (trail S') L = backtrack-lvl S')
    { assume ∀D∈#clauses S'. ¬ trail S' ⊨as CNot D
       then have no-clause-is-false S' using confl by simp
       then have conflict-is-false-with-level S'' using calculation(3) by presburger
     }
     moreover {
       assume ¬(∀ D∈#clauses S'. ¬ trail S' ⊨as CNot D)
       then obtain T D where
         propagate** S' T and
         conflict T S'' and
         D: D ∈# clauses S' and
         trail S'' ⊨as CNot D and
         conflicting S'' = Some D
         using full1-cdcl_W-cp-exists-conflict-full1-decompose[OF - -  confl]
         other'(3) by (metis (mono-tags, lifting) ball-msetI bex-msetI conflictE state-eq-trail
           trail-update-conflicting)
       obtain M where M: trail S'' = M @ trail S' and nm: ∀ m∈set M. ¬is-marked m
         using rtranclp-cdcl_W-cp-dropWhile-trail other'(3) unfolding full-def by meson
       have btS: backtrack-lvl S'' = backtrack-lvl S'
         using other'.hyps(3) unfolding full-def by (metis  rtranclp-cdcl_W-cp-backtrack-lvl)
       have inv: cdcl_W-M-level-inv S''
         by (metis (no-types) cdcl_W-stgy.conflict' cdcl_W-stgy-consistent-inv full-unfold lev'
           other'.hyps(3))
       then have nd: no-dup (trail S'')
         by (metis (no-types) cdcl_W-M-level-inv-decomp(2))
       have conflict-is-false-with-level S''
         proof cases
           assume trail S' ⊨as CNot D
           moreover then obtain L where
             L ∈# D and
             lev-L: get-level (trail S') L = backtrack-lvl S'
             using D-L D by blast
           moreover
             have LS': −L ∈ lits-of (trail S')
               using ‹trail S' ⊨as CNot D› ‹L ∈# D› in-CNot-implies-uminus(2) by blast
             {  fix x :: ('v, nat, 'v literal multiset) marked-lit and
                  xb :: ('v, nat, 'v literal multiset) marked-lit
               assume a1: x ∈ set (trail S') and
                 a2: xb ∈ set M and
                 a3: (λl. atm-of (lit-of l)) ‘ set M  ∩ (λl. atm-of (lit-of l)) ‘ set (trail S')
                   = {} and
                  a4: − L = lit-of x and
                  a5: atm-of L = atm-of (lit-of xb)
               moreover have atm-of (lit-of x) = atm-of L
                 using a4 by (metis (no-types) atm-of-uminus)
               ultimately have False
                 using a5 a3 a2 a1 by auto
             }
             then have atm-of L ∉ atm-of ‘ lits-of M
               using nd LS' unfolding M by (auto simp add: lits-of-def)
             then have get-level (trail S'') L = get-level (trail S') L
               unfolding M by (simp add: lits-of-def)
           ultimately show ?thesis using btS ‹conflicting S'' = Some D› by auto
         next
           assume ¬trail S' ⊨as CNot D
           then obtain L where L ∈# D and LM: −L ∈ lits-of M
             using ‹trail S'' ⊨as CNot D›
```

```
          by (auto simp add: CNot-def true-cls-def  M true-annots-def true-annot-def
              split: split-if-asm)
        { fix x :: ('v, nat, 'v literal multiset) marked-lit and
            xb :: ('v, nat, 'v literal multiset) marked-lit
          assume a1: xb ∈ set (trail S') and
            a2: x ∈ set M and
            a3: atm-of L = atm-of (lit-of xb) and
            a4: − L = lit-of x and
            a5: (λl. atm-of (lit-of l)) ` set M ∩ (λl. atm-of (lit-of l)) ` set (trail S')
              = {}
          moreover have atm-of (lit-of xb) = atm-of (− L)
            using a3 by simp
          ultimately have False
            by auto }
        then have LS': atm-of L ∉ atm-of ` lits-of (trail S')
          using nd ‹L ∈# D› LM unfolding M by (auto simp add: lits-of-def)
        show ?thesis
          proof cases
            assume ne: get-all-levels-of-marked (trail S') = []
            have backtrack-lvl S'' = 0
              using inv ne nm unfolding cdcl_W-M-level-inv-def M
              by (simp add: get-all-levels-of-marked-nil-iff-not-is-marked)
            moreover
              have a1: get-level M L = 0
                using nm by auto
              then have get-level (M @ trail S') L = 0
                by (metis LS' get-all-levels-of-marked-nil-iff-not-is-marked
                  get-level-skip-beginning-not-marked lits-of-def ne)
            ultimately show ?thesis using ‹conflicting S'' = Some D› ‹L ∈# D› unfolding M
              by auto
          next
            assume ne: get-all-levels-of-marked (trail S') ≠ []
            have hd (get-all-levels-of-marked (trail S')) = backtrack-lvl S'
              using ne lev' M nm unfolding cdcl_W-M-level-inv-def
              by (cases get-all-levels-of-marked (trail S'))
              (simp-all add: get-all-levels-of-marked-nil-iff-not-is-marked[symmetric])
            moreover have atm-of L ∈ atm-of ` lits-of M
               using ‹−L ∈ lits-of M›
               by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def)
            ultimately show ?thesis
              using nm ne ‹L∈#D› ‹conflicting S'' = Some D›
                get-level-skip-beginning-hd-get-all-levels-of-marked[OF LS', of M]
                get-level-skip-in-all-not-marked[of rev M L backtrack-lvl S']
              unfolding lits-of-def btS M
              by auto
          qed
      qed
    }
    ultimately have conflict-is-false-with-level S'' by blast
}
moreover
{
  assume conflicting S' ≠ None
  have no-clause-is-false S' using ‹conflicting S' ≠ None›  by auto
  then have conflict-is-false-with-level S'' using calculation(3) by presburger
```

}
ultimately show *?case* **by** *fast*
qed

lemma *rtranclp-cdcl$_W$-stgy-no-smaller-confl-inv*:
assumes
cdcl$_W$-stgy** *S S'* **and**
n-l: *no-smaller-confl S* **and**
cls-false: *conflict-is-false-with-level S* **and**
lev: *cdcl$_W$-M-level-inv S* **and**
no-f: *no-clause-is-false S* **and**
dist: *distinct-cdcl$_W$-state S* **and**
conflicting: *cdcl$_W$-conflicting S* **and**
decomp: *all-decomposition-implies-m* (*init-clss S*) (*get-all-marked-decomposition* (*trail S*)) **and**
learned: *cdcl$_W$-learned-clause S* **and**
alien: *no-strange-atm S*
shows *no-smaller-confl S'* $\wedge$ *conflict-is-false-with-level S'*
using *assms*(*1*)
proof (*induct rule*: *rtranclp-induct*)
case *base*
then show *?case* **using** *n-l cls-false* **by** *auto*
next
case (*step S' S''*) **note** *st* = *this*(*1*) **and** *cdcl* = *this*(*2*) **and** *IH* = *this*(*3*)
have *no-smaller-confl S'* **and** *conflict-is-false-with-level S'*
using *IH* **by** *blast+*
moreover have *cdcl$_W$-M-level-inv S'*
using *st lev rtranclp-cdcl$_W$-stgy-rtranclp-cdcl$_W$*
by (*blast intro*: *rtranclp-cdcl$_W$-consistent-inv*)+
moreover have *no-clause-is-false S'*
using *st no-f rtranclp-cdcl$_W$-stgy-not-non-negated-init-clss* **by** *presburger*
moreover have *distinct-cdcl$_W$-state S'*
using *rtanclp-distinct-cdcl$_W$-state-inv*[*of S S'*] *lev rtranclp-cdcl$_W$-stgy-rtranclp-cdcl$_W$*[*OF st*]
dist **by** *auto*
moreover have *cdcl$_W$-conflicting S'*
using *rtranclp-cdcl$_W$-all-inv*(*6*)[*of S S'*] *st alien conflicting decomp dist learned lev*
rtranclp-cdcl$_W$-stgy-rtranclp-cdcl$_W$ **by** *blast*
ultimately show *?case*
using *cdcl$_W$-stgy-no-smaller-confl*[*OF cdcl*] *cdcl$_W$-stgy-ex-lit-of-max-level*[*OF cdcl*] **by** *fast*
qed

17.6.7 Final States are Conclusive

lemma *full-cdcl$_W$-stgy-final-state-conclusive-non-false*:
fixes *S'* :: *'st*
assumes *full*: *full cdcl$_W$-stgy* (*init-state N*) *S'*
and *no-d*: *distinct-mset-mset N*
and *no-empty*: $\forall$ *D*$\in$#*N*. *D* $\neq$ {#}
shows (*conflicting S'* = *Some* {#} $\wedge$ *unsatisfiable* (*set-mset* (*init-clss S'*)))
$\vee$ (*conflicting S'* = *None* $\wedge$ *trail S'* $\models$*asm init-clss S'*)
proof –
let *?S* = *init-state N*
have
termi: $\forall$ *S''*. $\neg$*cdcl$_W$-stgy S' S''* **and**
step: *cdcl$_W$-stgy*** (*init-state N*) *S'* **using** *full* **unfolding** *full-def* **by** *auto*
moreover have
learned: *cdcl$_W$-learned-clause S'* **and**

    level-inv: *cdcl*$_W$*-M-level-inv S'* **and**
    alien: *no-strange-atm S'* **and**
    no-dup: *distinct-cdcl*$_W$*-state S'* **and**
    confl: *cdcl*$_W$*-conflicting S'* **and**
    decomp: *all-decomposition-implies-m* (*init-clss S'*) (*get-all-marked-decomposition* (*trail S'*))
    using *no-d tranclp-cdcl*$_W$*-stgy-tranclp-cdcl*$_W$[*of ?S S'*] *step rtranclp-cdcl*$_W$*-all-inv*(*1*−*6*)[*of ?S S'*]
    unfolding *rtranclp-unfold* **by** *auto*
  moreover
    have ∀ *D*∈#*N*. ¬ [] ⊨*as CNot D* **using** *no-empty* **by** *auto*
    then have *confl-k*: *conflict-is-false-with-level S'*
      using *rtranclp-cdcl*$_W$*-stgy-no-smaller-confl-inv*[*OF step*] *no-d* **by** *auto*
  show *?thesis*
    using *cdcl*$_W$*-stgy-final-state-conclusive*[*OF termi decomp learned level-inv alien no-dup confl*
      confl-k] **.**
qed

lemma *conflict-is-full1-cdcl*$_W$*-cp*:
  assumes *cp*: *conflict S S'*
  shows *full1 cdcl*$_W$*-cp S S'*
proof −
  have *cdcl*$_W$*-cp S S'* **and** *conflicting S'* ≠ *None* **using** *cp cdcl*$_W$*-cp.intros* **by** *auto*
  then have *cdcl*$_W$*-cp*$^{++}$ *S S'* **by** *blast*
  moreover have *no-step cdcl*$_W$*-cp S'*
    using ‹*conflicting S'* ≠ *None*› **by** (*metis cdcl*$_W$*-cp-conflicting-not-empty*
      option.exhaust)
  ultimately show *full1 cdcl*$_W$*-cp S S'* **unfolding** *full1-def* **by** *blast+*
qed

lemma *cdcl*$_W$*-cp-fst-empty-conflicting-false*:
  assumes *cdcl*$_W$*-cp S S'*
  and *trail S* = []
  and *conflicting S* ≠ *None*
  shows *False*
  using *assms* **by** (*induct rule*: *cdcl*$_W$*-cp.induct*) *auto*

lemma *cdcl*$_W$*-o-fst-empty-conflicting-false*:
  assumes *cdcl*$_W$*-o S S'*
  and *trail S* = []
  and *conflicting S* ≠ *None*
  shows *False*
  using *assms* **by** (*induct rule*: *cdcl*$_W$*-o-induct*) *auto*

lemma *cdcl*$_W$*-stgy-fst-empty-conflicting-false*:
  assumes *cdcl*$_W$*-stgy S S'*
  and *trail S* = []
  and *conflicting S* ≠ *None*
  shows *False*
  using *assms* **apply** (*induct rule*: *cdcl*$_W$*-stgy.induct*)
  using *tranclpD cdcl*$_W$*-cp-fst-empty-conflicting-false* **unfolding** *full1-def* **apply** *metis*
  using *cdcl*$_W$*-o-fst-empty-conflicting-false* **by** *blast*
thm *cdcl*$_W$*-cp.induct*[*split-format*(*complete*)]

lemma *cdcl*$_W$*-cp-conflicting-is-false*:
  cdcl$_W$*-cp S S'* ⟹ *conflicting S* = *Some* {#} ⟹ *False*

by (*induction rule*: *cdcl*$_W$*-cp.induct*) *auto*

lemma *rtranclp-cdcl*$_W$*-cp-conflicting-is-false*:
cdcl$_W$*-cp*$^{++}$ *S S'* $\Longrightarrow$ *conflicting S* = *Some* {#} $\Longrightarrow$ *False*
apply (*induction rule*: *tranclp.induct*)
by (*auto dest*: *cdcl*$_W$*-cp-conflicting-is-false*)

lemma *cdcl*$_W$*-o-conflicting-is-false*:
cdcl$_W$*-o S S'* $\Longrightarrow$ *conflicting S* = *Some* {#} $\Longrightarrow$ *False*
by (*induction rule*: *cdcl*$_W$*-o-induct*) *auto*

lemma *cdcl*$_W$*-stgy-conflicting-is-false*:
cdcl$_W$*-stgy S S'* $\Longrightarrow$ *conflicting S* = *Some* {#} $\Longrightarrow$ *False*
apply (*induction rule*: *cdcl*$_W$*-stgy.induct*)
unfolding *full1-def* **apply** (*metis* (*no-types*) *cdcl*$_W$*-cp-conflicting-not-empty tranclpD*)
unfolding *full-def* **by** (*metis conflict-with-false-implies-terminated other*)

lemma *rtranclp-cdcl*$_W$*-stgy-conflicting-is-false*:
cdcl$_W$*-stgy*** *S S'* $\Longrightarrow$ *conflicting S* = *Some* {#} $\Longrightarrow$ *S'* = *S*
apply (*induction rule*: *rtranclp-induct*)
apply *simp*
using *cdcl*$_W$*-stgy-conflicting-is-false* **by** *blast*

lemma *full-cdcl*$_W$*-init-clss-with-false-normal-form*:
assumes
∀ *m*∈ *set M*. ¬*is-marked m* **and**
E = *Some D* **and**
state S = (*M*, *N*, *U*, *0*, *E*)
full cdcl$_W$*-stgy S S'* **and**
all-decomposition-implies-m (*init-clss S*) (*get-all-marked-decomposition* (*trail S*))
cdcl$_W$*-learned-clause S*
cdcl$_W$*-M-level-inv S*
no-strange-atm S
distinct-cdcl$_W$*-state S*
cdcl$_W$*-conflicting S*
shows ∃ *M''*. *state S'* = (*M''*, *N*, *U*, *0*, *Some* {#})
using *assms*(*10*,*9*,*8*,*7*,*6*,*5*,*4*,*3*,*2*,*1*)
proof (*induction M arbitrary*: *E D S*)
case *Nil*
then show *?case*
using *rtranclp-cdcl*$_W$*-stgy-conflicting-is-false* **unfolding** *full-def cdcl*$_W$*-conflicting-def* **by** *auto*
next
case (*Cons L M*) **note** *IH* = *this*(*1*) **and** *full* = *this*(*8*) **and** *E* = *this*(*10*) **and** *inv* = *this*(*2*−*7*) **and** *S* = *this*(*9*) **and** *nm* = *this*(*11*)
obtain *K p* **where** *K*: *L* = *Propagated K p*
using *nm* **by** (*cases L*) *auto*
have *every-mark-is-a-conflict S* **using** *inv* **unfolding** *cdcl*$_W$*-conflicting-def* **by** *auto*
then have *MpK*: *M* ⊨*as CNot* (*p* − {#*K*#}) **and** *Kp*: *K* ∈# *p*
using *S* **unfolding** *K* **by** *fastforce+*
then have *p*: *p* = (*p* − {#*K*#}) + {#*K*#}
by (*auto simp add*: *multiset-eq-iff*)
then have *K'*: *L* = *Propagated K* (((*p* − {#*K*#}) + {#*K*#}))
using *K* **by** *auto*

```
consider (D) D = {#} | (D') D ≠ {#} by blast
then show ?case
  proof cases
    case D
    then show ?thesis
      using full rtranclp-cdcl_W-stgy-conflicting-is-false S unfolding full-def E D by auto
  next
    case D'
    then have no-p: no-step propagate S and no-c: no-step conflict S
      using S E by auto
    then have no-step cdcl_W-cp S by (auto simp: cdcl_W-cp.simps)
    have res-skip: ∃ T. (resolve S T ∧ no-step skip S ∧ full cdcl_W-cp T T)
      ∨ (skip S T ∧ no-step resolve S ∧ full cdcl_W-cp T T)
      proof cases
        assume −lit-of L ∉# D
        then obtain T where sk: skip S T and res: no-step resolve S
        using S that D' K unfolding skip.simps E by fastforce
        have full cdcl_W-cp T T
          using sk by (auto simp add: option-full-cdcl_W-cp)
        then show ?thesis
          using sk res by blast
      next
        assume LD: ¬−lit-of L ∉# D
        then have D: Some D = Some ((D − {#−lit-of L#}) + {#−lit-of L#})
          by (auto simp add: multiset-eq-iff)

        have ⋀L. get-level M L = 0
          by (simp add: nm)
          then have get-maximum-level (Propagated K (p − {#K#} + {#K#}) # M) (D − {#−
K#}) = 0
          using  LD get-maximum-level-exists-lit-of-max-level
          proof −
            obtain L' where get-level (L#M) L' = get-maximum-level (L#M) D
              using  LD get-maximum-level-exists-lit-of-max-level[of D L#M] by fastforce
            then show ?thesis by (metis (mono-tags) K' bex-msetE get-level-skip-all-not-marked
              get-maximum-level-exists-lit nm not-gr0)
          qed
        then obtain T where sk: resolve S T and res: no-step skip S
          using resolve-rule[of S K  p − {#K#} M N U 0 (D − {#−K#})
          update-conflicting (Some (remdups-mset (D − {#− K#} + (p − {#K#})))) (tl-trail S)]
          S unfolding K' D E  by fastforce
        have full cdcl_W-cp T T
          using sk by (auto simp add: option-full-cdcl_W-cp)
        then show ?thesis
         using sk res by blast
      qed
    then have step-s: ∃ T. cdcl_W-stgy S T
      using ‹no-step cdcl_W-cp S› other' by (meson bj resolve skip)
    have get-all-marked-decomposition (L # M) = [([], L#M)]
      using nm unfolding K apply (induction M rule: marked-lit-list-induct, simp)
        by (rename-tac L l xs, case-tac hd (get-all-marked-decomposition xs), auto)+
    then have no-b: no-step backtrack S
      using nm S by auto
    have no-d: no-step decide S
      using S E by auto
    have full-S-S: full cdcl_W-cp S S
      using S E by (auto simp add: option-full-cdcl_W-cp)
    then have no-f: no-step (full1 cdcl_W-cp) S
      unfolding full-def full1-def rtranclp-unfold by (meson tranclpD)
    obtain T where
      s: cdcl_W-stgy S T and st: cdcl_W-stgy^** T S'
      using full step-s full unfolding full-def by (metis rtranclp-unfold tranclpD)
    have resolve S T ∨ skip S T
      using s no-b no-d res-skip full-S-S unfolding cdcl_W-stgy.simps cdcl_W-o.simps full-unfold
      full1-def
      by (auto dest!: tranclpD simp: cdcl_W-bj.simps)
    then obtain D' where T: state T = (M, N, U, 0, Some D')
      using S E by auto

    have st-c: cdcl_W^** S T
      using E T rtranclp-cdcl_W-stgy-rtranclp-cdcl_W  s by blast
    have cdcl_W-conflicting T
      using rtranclp-cdcl_W-all-inv(6)[OF st-c  inv(6,5,4,3,2,1)]  .
    show ?thesis
      apply (rule IH[of T])
                using rtranclp-cdcl_W-all-inv(6)[OF st-c inv(6,5,4,3,2,1)] apply blast
              using rtranclp-cdcl_W-all-inv(5)[OF st-c inv(6,5,4,3,2,1)] apply blast
             using rtranclp-cdcl_W-all-inv(4)[OF st-c inv(6,5,4,3,2,1)] apply blast
            using rtranclp-cdcl_W-all-inv(3)[OF st-c inv(6,5,4,3,2,1)] apply blast
           using rtranclp-cdcl_W-all-inv(2)[OF st-c inv(6,5,4,3,2,1)] apply blast
          using rtranclp-cdcl_W-all-inv(1)[OF st-c inv(6,5,4,3,2,1)] apply blast
         apply (metis full-def st full)
        using T E apply blast
       apply auto[]
      using nm by simp
  qed
qed

lemma full-cdcl_W-stgy-final-state-conclusive-is-one-false:
  fixes S' :: 'st
  assumes full: full cdcl_W-stgy (init-state N) S'
  and no-d: distinct-mset-mset N
  and empty: {#} ∈# N
  shows conflicting S' = Some {#} ∧ unsatisfiable (set-mset (init-clss S'))
proof –
  let ?S = init-state N
  have cdcl_W-stgy^** ?S S' and no-step cdcl_W-stgy S' using full unfolding full-def by auto
  then have plus-or-eq: cdcl_W-stgy^++ ?S S' ∨ S' = ?S unfolding rtranclp-unfold by auto
  have ∃S''. conflict ?S S'' using empty not-conflict-not-any-negated-init-clss by force

  then have cdcl_W-stgy: ∃S'. cdcl_W-stgy ?S S'
    using cdcl_W-cp.conflict'[of ?S] conflict-is-full1-cdcl_W-cp cdcl_W-stgy.intros(1) by metis
  have S' ≠ ?S  using ‹no-step cdcl_W-stgy S'› cdcl_W-stgy by blast

  then obtain St:: 'st where St: cdcl_W-stgy ?S St and cdcl_W-stgy^** St S'
    using plus-or-eq by (metis (no-types) ‹cdcl_W-stgy^** ?S S'› converse-rtranclpE)
  have st: cdcl_W^** ?S St
    by (simp add: rtranclp-unfold ‹cdcl_W-stgy ?S St› cdcl_W-stgy-tranclp-cdcl_W)
  have ∃ T. conflict ?S T
    using empty not-conflict-not-any-negated-init-clss by force
  then have fullSt: full1 cdcl_W-cp ?S St
    using St unfolding cdcl_W-stgy.simps by blast
  then have bt: backtrack-lvl St = (0::nat)
    using rtranclp-cdcl_W-cp-backtrack-lvl unfolding full1-def
    by (fastforce dest!: tranclp-into-rtranclp)
  have cls-St: init-clss St = N
    using fullSt  cdcl_W-stgy-no-more-init-clss[OF St] by auto
  have conflicting St ≠ None
    proof (rule ccontr)
      assume ¬ ?thesis
      then have ∃ T. conflict St T
        using empty cls-St[] conflict-rule[of St trail St N learned-clss St backtrack-lvl St
          {#}]
        by (auto simp: clauses-def)
      then show False using fullSt unfolding full1-def by blast
    qed

  have 1: ∀ m∈set (trail St). ¬ is-marked m
    using fullSt unfolding full1-def by (auto dest!: tranclp-into-rtranclp
      rtranclp-cdcl_W-cp-dropWhile-trail)
  have 2: full cdcl_W-stgy St S'
    using ‹cdcl_W-stgy** St S'› ‹no-step cdcl_W-stgy S'› bt unfolding full-def by auto
  have 3: all-decomposition-implies-m
      (init-clss St)
      (get-all-marked-decomposition
         (trail St))
   using rtranclp-cdcl_W-all-inv(1)[OF st] no-d bt by simp
  have 4: cdcl_W-learned-clause St
    using rtranclp-cdcl_W-all-inv(2)[OF st] no-d bt bt by simp
  have 5: cdcl_W-M-level-inv St
    using rtranclp-cdcl_W-all-inv(3)[OF st] no-d bt by simp
  have 6: no-strange-atm St
    using rtranclp-cdcl_W-all-inv(4)[OF st] no-d bt by simp
  have 7: distinct-cdcl_W-state St
    using rtranclp-cdcl_W-all-inv(5)[OF st] no-d bt by simp
  have 8: cdcl_W-conflicting St
    using rtranclp-cdcl_W-all-inv(6)[OF st] no-d bt by simp
  have init-clss S' = init-clss St and conflicting S' = Some {#}
     using ‹conflicting St ≠ None› full-cdcl_W-init-clss-with-false-normal-form[OF 1, of - - St]
    2 3 4 5 6 7 8 St apply (metis ‹cdcl_W-stgy** St S'› rtranclp-cdcl_W-stgy-no-more-init-clss)
    using ‹conflicting St ≠ None› full-cdcl_W-init-clss-with-false-normal-form[OF 1, of - - St - -
      S'] 2 3 4 5 6 7 8 by (metis bt option.exhaust prod.inject)

  moreover have init-clss S' = N
    using ‹cdcl_W-stgy** (init-state N) S'› rtranclp-cdcl_W-stgy-no-more-init-clss by fastforce
  moreover have unsatisfiable (set-mset N)
    by (meson empty mem-set-mset-iff satisfiable-def true-cls-empty true-clss-def)
  ultimately show ?thesis by auto
qed


lemma full-cdcl_W-stgy-final-state-conclusive:
  fixes S' :: 'st
```

  assumes *full*: *full cdcl*$_W$*-stgy* (*init-state N*) *S'* **and** *no-d*: *distinct-mset-mset N*
  shows (*conflicting S'* = *Some* {#} ∧ *unsatisfiable* (*set-mset* (*init-clss S'*)))
    ∨ (*conflicting S'* = *None* ∧ *trail S'* ⊨*asm init-clss S'*)
  using *assms full-cdcl*$_W$*-stgy-final-state-conclusive-is-one-false*
  full-cdcl$_W$*-stgy-final-state-conclusive-non-false* **by** *blast*

lemma *full-cdcl*$_W$*-stgy-final-state-conclusive-from-init-state*:
  fixes *S'* :: *'st*
  assumes *full*: *full cdcl*$_W$*-stgy* (*init-state N*) *S'*
  and *no-d*: *distinct-mset-mset N*
  shows (*conflicting S'* = *Some* {#} ∧ *unsatisfiable* (*set-mset N*))
    ∨ (*conflicting S'* = *None* ∧ *trail S'* ⊨*asm N* ∧ *satisfiable* (*set-mset N*))
proof −
  have *N*: *init-clss S'* = *N*
    using *full* **unfolding** *full-def* **by** (*auto dest*: *rtranclp-cdcl*$_W$*-stgy-no-more-init-clss*)
  consider
      (*confl*) *conflicting S'* = *Some* {#} **and** *unsatisfiable* (*set-mset* (*init-clss S'*))
    | (*sat*) *conflicting S'* = *None* **and** *trail S'* ⊨*asm init-clss S'*
    using *full-cdcl*$_W$*-stgy-final-state-conclusive*[*OF assms*] **by** *auto*
  then show *?thesis*
    proof *cases*
      case *confl*
      then show *?thesis* **by** (*auto simp*: *N*)
    next
      case *sat*
      have *cdcl*$_W$*-M-level-inv* (*init-state N*) **by** *auto*
      then have *cdcl*$_W$*-M-level-inv S'*
        using *full rtranclp-cdcl*$_W$*-stgy-consistent-inv* **unfolding** *full-def* **by** *blast*
      then have *consistent-interp* (*lits-of* (*trail S'*)) **unfolding** *cdcl*$_W$*-M-level-inv-def* **by** *blast*
      moreover have *lits-of* (*trail S'*) ⊨*s set-mset* (*init-clss S'*)
        using *sat*(*2*) **by** (*auto simp add*: *true-annots-def true-annot-def true-clss-def*)
      ultimately have *satisfiable* (*set-mset* (*init-clss S'*)) **by** *simp*
      then show *?thesis* **using** *sat* **unfolding** *N* **by** *blast*
    qed
qed
end
end
theory *CDCL-W-Termination*
imports *CDCL-W*
begin

context *cdcl*$_W$
begin

17.7 Termination

The condition that no learned clause is a tautology is overkill (in the sense that the no-duplicate condition is enough), but we can reuse *simple-clss*.

The invariant contains all the structural invariants that holds,

definition *cdcl*$_W$*-all-struct-inv* **where**
  cdcl$_W$*-all-struct-inv S* =
    (*no-strange-atm S* ∧ *cdcl*$_W$*-M-level-inv S*
    ∧ (∀ *s* ∈# *learned-clss S*. ¬*tautology s*)
    ∧ *distinct-cdcl*$_W$*-state S* ∧ *cdcl*$_W$*-conflicting S*
    ∧ *all-decomposition-implies-m* (*init-clss S*) (*get-all-marked-decomposition* (*trail S*))

```
  ∧ cdcl_W-learned-clause S)

lemma cdcl_W-all-struct-inv-inv:
  assumes cdcl_W S S' and cdcl_W-all-struct-inv S
  shows cdcl_W-all-struct-inv S'
  unfolding cdcl_W-all-struct-inv-def
proof (intro HOL.conjI)
  show no-strange-atm S'
    using cdcl_W-all-inv[OF assms(1)] assms(2) unfolding cdcl_W-all-struct-inv-def by auto
  show cdcl_W-M-level-inv S'
    using cdcl_W-all-inv[OF assms(1)] assms(2) unfolding cdcl_W-all-struct-inv-def by fast
  show distinct-cdcl_W-state S'
     using cdcl_W-all-inv[OF assms(1)] assms(2) unfolding cdcl_W-all-struct-inv-def by fast
  show cdcl_W-conflicting S'
     using cdcl_W-all-inv[OF assms(1)] assms(2) unfolding cdcl_W-all-struct-inv-def by fast
  show all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))
     using cdcl_W-all-inv[OF assms(1)] assms(2) unfolding cdcl_W-all-struct-inv-def by fast
  show cdcl_W-learned-clause S'
     using cdcl_W-all-inv[OF assms(1)] assms(2) unfolding cdcl_W-all-struct-inv-def by fast

  show ∀ s∈#learned-clss S'. ¬ tautology s
    using assms(1)[THEN learned-clss-are-not-tautologies] assms(2)
    unfolding cdcl_W-all-struct-inv-def by fast
qed

lemma rtranclp-cdcl_W-all-struct-inv-inv:
  assumes cdcl_W** S S' and cdcl_W-all-struct-inv S
  shows cdcl_W-all-struct-inv S'
  using assms by induction (auto intro: cdcl_W-all-struct-inv-inv)

lemma cdcl_W-stgy-cdcl_W-all-struct-inv:
  cdcl_W-stgy S T ⟹ cdcl_W-all-struct-inv S ⟹ cdcl_W-all-struct-inv T
  by (meson cdcl_W-stgy-tranclp-cdcl_W rtranclp-cdcl_W-all-struct-inv-inv rtranclp-unfold)

lemma rtranclp-cdcl_W-stgy-cdcl_W-all-struct-inv:
  cdcl_W-stgy** S T ⟹ cdcl_W-all-struct-inv S ⟹ cdcl_W-all-struct-inv T
  by (induction rule: rtranclp-induct) (auto intro: cdcl_W-stgy-cdcl_W-all-struct-inv)
```

17.8 No Relearning of a clause

```
lemma cdcl_W-o-new-clause-learned-is-backtrack-step:
  assumes learned: D ∈# learned-clss T and
  new: D ∉# learned-clss S and
  cdcl_W: cdcl_W-o S T and
  lev: cdcl_W-M-level-inv S
  shows backtrack S T ∧ conflicting S = Some D
  using cdcl_W lev learned new
proof (induction rule: cdcl_W-o-induct-lev2)
  case (backtrack K i M1 M2 L C T) note decomp =this(1) and undef = this(6) and T = this(7)
and
    D-T = this(9) and D-S = this(10)
  then have D = C + {#L#}
    using not-gr0 lev by (auto simp: cdcl_W-M-level-inv-decomp)
  then show ?case
    using T backtrack.hyps(1−5) backtrack.intros by auto
qed auto
```

lemma *cdcl*$_W$*-cp-new-clause-learned-has-backtrack-step*:
  assumes *learned*: *D* ∈# *learned-clss T* **and**
  new: *D* ∉# *learned-clss S* **and**
  cdcl$_W$: *cdcl*$_W$*-stgy S T* **and**
  lev: *cdcl*$_W$*-M-level-inv S*
  shows ∃ *S'*. *backtrack S S'* ∧ *cdcl*$_W$*-stgy*** *S' T* ∧ *conflicting S* = *Some D*
  using *cdcl*$_W$ *learned new*
proof (*induction rule*: *cdcl*$_W$*-stgy.induct*)
  case (*conflict' S'*)
  then show *?case*
    unfolding *full1-def* **by** (*metis* (*mono-tags*, *lifting*) *rtranclp-cdcl*$_W$*-cp-learned-clause-inv*
      tranclp-into-rtranclp)
next
  case (*other' S' S''*)
  then have *D* ∈# *learned-clss S'*
    unfolding *full-def* **by** (*auto dest*: *rtranclp-cdcl*$_W$*-cp-learned-clause-inv*)
  then show *?case*
    using *cdcl*$_W$*-o-new-clause-learned-is-backtrack-step*[*OF* - ‹*D* ∉# *learned-clss S*› ‹*cdcl*$_W$*-o S S'*›]
    ‹*full cdcl*$_W$*-cp S' S''*› *lev* **by** (*metis cdcl*$_W$*-stgy.conflict' full-unfold r-into-rtranclp*
      rtranclp.rtrancl-refl)
qed

lemma *rtranclp-cdcl*$_W$*-cp-new-clause-learned-has-backtrack-step*:
  assumes *learned*: *D* ∈# *learned-clss T* **and**
  new: *D* ∉# *learned-clss S* **and**
  cdcl$_W$: *cdcl*$_W$*-stgy*** *S T* **and**
  lev: *cdcl*$_W$*-M-level-inv S*
  shows ∃ *S' S''*. *cdcl*$_W$*-stgy*** *S S'* ∧ *backtrack S' S''* ∧ *conflicting S'* = *Some D* ∧
    cdcl$_W$*-stgy*** *S'' T*
  using *cdcl*$_W$ *learned new*
proof (*induction rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **by** *blast*
next
  case (*step T U*) **note** *st* =*this*(*1*) **and** *o* = *this*(*2*) **and** *IH* = *this*(*3*) **and**
    D-U = *this*(*4*) **and** *D-S* = *this*(*5*)
  show *?case*
    proof (*cases D* ∈# *learned-clss T*)
      case *True*
      then obtain *S' S''* **where**
        st': *cdcl*$_W$*-stgy*** *S S'* **and**
        bt: *backtrack S' S''* **and**
        confl: *conflicting S'* = *Some D* **and**
        st'': *cdcl*$_W$*-stgy*** *S'' T*
        using *IH D-S* **by** *metis*
      then show *?thesis* **using** *o* **by** (*meson rtranclp.simps*)
    next
      case *False*
      have *cdcl*$_W$*-M-level-inv T*
        using *lev rtranclp-cdcl*$_W$*-stgy-consistent-inv st* **by** *blast*
      then obtain *S'* **where**
        bt: *backtrack T S'* **and**
        st': *cdcl*$_W$*-stgy*** *S' U* **and**
        confl: *conflicting T* = *Some D*

```
        using cdcl_W-cp-new-clause-learned-has-backtrack-step[OF D-U False o]
         by metis
      then have cdcl_W-stgy** S T and
        backtrack T S' and
        conflicting T = Some D and
        cdcl_W-stgy** S' U
        using o st by auto
      then show ?thesis by blast
    qed
qed

lemma propagate-no-more-Marked-lit:
  assumes propagate S S'
  shows Marked K i ∈ set (trail S) ⟷ Marked K i ∈ set (trail S')
  using assms by auto

lemma conflict-no-more-Marked-lit:
  assumes conflict S S'
  shows Marked K i ∈ set (trail S) ⟷ Marked K i ∈ set (trail S')
  using assms by auto

lemma cdcl_W-cp-no-more-Marked-lit:
  assumes cdcl_W-cp S S'
  shows Marked K i ∈ set (trail S) ⟷ Marked K i ∈ set (trail S')
  using assms apply (induct rule: cdcl_W-cp.induct)
  using conflict-no-more-Marked-lit propagate-no-more-Marked-lit by auto

lemma rtranclp-cdcl_W-cp-no-more-Marked-lit:
  assumes cdcl_W-cp** S S'
  shows Marked K i ∈ set (trail S) ⟷ Marked K i ∈ set (trail S')
  using assms apply (induct rule: rtranclp-induct)
  using cdcl_W-cp-no-more-Marked-lit by blast+

lemma cdcl_W-o-no-more-Marked-lit:
  assumes cdcl_W-o S S' and cdcl_W-M-level-inv S and ¬decide S S'
  shows Marked K i ∈ set (trail S') ⟶ Marked K i ∈ set (trail S)
  using assms
proof (induct rule: cdcl_W-o-induct-lev2)
  case backtrack note decomp = this(1) and undef = this(6) and T =this(7) and lev = this(8)
  then show ?case
    by (auto simp: cdcl_W-M-level-inv-decomp)
next
  case (decide L T)
  then show ?case by blast
qed auto

lemma cdcl_W-new-marked-at-beginning-is-decide:
  assumes cdcl_W-stgy S S' and
  lev: cdcl_W-M-level-inv S and
  trail S' = M' @ Marked L i # M and
  trail S = M
  shows ∃ T. decide S T ∧ no-step cdcl_W-cp S
  using assms
proof (induct rule: cdcl_W-stgy.induct)
  case (conflict' S') note st =this(1) and no-dup = this(2) and S' = this(3) and S = this(4)
  have cdcl_W-M-level-inv S'
    using full1-cdcl_W-cp-consistent-inv no-dup st by blast
  then have Marked L i ∈ set (trail S') and Marked L i ∉ set (trail S)
    using no-dup unfolding S S' cdcl_W-M-level-inv-def by (auto simp add: rev-image-eqI)
  then have False
    using st rtranclp-cdcl_W-cp-no-more-Marked-lit[of S S']
    unfolding full1-def rtranclp-unfold by blast
  then show ?case by fast
next
  case (other' T U) note o = this(1) and ns = this(2) and st = this(3) and no-dup = this(4) and
    S' = this(5) and S = this(6)
  have cdcl_W-M-level-inv U
    by (metis (full-types) lev cdcl_W.simps cdcl_W-consistent-inv full-def o
      other'.hyps(3) rtranclp-cdcl_W-cp-consistent-inv)
  then have Marked L i ∈ set (trail U) and Marked L i ∉ set (trail S)
    using no-dup unfolding S S' cdcl_W-M-level-inv-def by (auto simp add: rev-image-eqI)
  then have Marked L i ∈ set (trail T)
    using st rtranclp-cdcl_W-cp-no-more-Marked-lit unfolding full-def by blast
  then show ?case
    using cdcl_W-o-no-more-Marked-lit[OF o] ‹Marked L i ∉ set (trail S)› ns lev by meson
qed

lemma cdcl_W-o-is-decide:
  assumes cdcl_W-o S' T and cdcl_W-M-level-inv S'
  trail T = drop (length M_0) M' @ Marked L i # H @ Mand
  ¬ (∃M'. trail S' = M' @ Marked L i # H @ M)
  shows decide S' T
    using assms
proof (induction rule:cdcl_W-o-induct-lev2)
  case (backtrack K i M1 M2 L D)
  then obtain c where trail S' = c @ M2 @ Marked K (Suc i) # M1
    by auto
  then show ?case
    using backtrack by (cases drop (length M_0) M') (auto simp: cdcl_W-M-level-inv-def)
next
  case decide
  show ?case using decide-rule[of S'] decide(1−4) by auto
qed auto

lemma rtranclp-cdcl_W-new-marked-at-beginning-is-decide:
  assumes cdcl_W-stgy** R U and
  trail U = M' @ Marked L i # H @ M and
  trail R = M and
  cdcl_W-M-level-inv R
  shows
    ∃S T T'. cdcl_W-stgy** R S ∧ decide S T ∧ cdcl_W-stgy** T U ∧ cdcl_W-stgy** S U ∧
      no-step cdcl_W-cp S ∧ trail T = Marked L i # H @ M ∧ trail S = H @ M ∧ cdcl_W-stgy S T' ∧
      cdcl_W-stgy** T' U
  using assms
proof (induct arbitrary: M H M' i rule: rtranclp-induct)
  case base
  then show ?case by auto
next
  case (step T U) note st = this(1) and IH = this(3) and s = this(2) and
    U = this(4) and S = this(5) and lev = this(6)
```

```
show ?case
  proof (cases ∃M'. trail T = M' @ Marked L i # H @ M)
    case False
    with s show ?thesis using U s st S
      proof induction
        case (conflict' W) note cp = this(1) and  nd = this(2) and W = this(3)
        then obtain M_0 where trail W = M_0 @ trail T and nmarked: ∀l∈set M_0. ¬ is-marked l
          using rtranclp-cdcl_W-cp-dropWhile-trail unfolding full1-def rtranclp-unfold by meson
        then have MV: M' @ Marked L i # H @ M = M_0 @ trail T unfolding W by simp
        then have V: trail T = drop (length M_0) (M' @ Marked L i # H @ M)
          by auto
        have takeWhile (Not o is-marked) M' = M_0  @ takeWhile (Not ∘ is-marked) (trail T)
          using arg-cong[OF MV, of takeWhile (Not o is-marked)] nmarked
          by (simp add: takeWhile-tail)
        from arg-cong[OF this, of length] have length M_0 ≤ length M'
          unfolding length-append by (metis (no-types, lifting) Nat.le-trans le-add1
            length-takeWhile-le)
        then have False using nd V by auto
        then show ?case by fast
      next
        case (other' T' U) note o = this(1) and ns =this(2) and cp = this(3) and nd = this(4)
          and U = this(5) and st = this(6)
        obtain M_0 where trail U = M_0 @ trail T' and nmarked: ∀l∈set M_0. ¬ is-marked l
          using rtranclp-cdcl_W-cp-dropWhile-trail cp unfolding full-def by meson
        then have MV: M' @ Marked L i # H @ M = M_0 @ trail T' unfolding U by simp
        then have V: trail T' = drop (length M_0) (M' @ Marked L i # H @ M)
          by auto
        have takeWhile (Not o is-marked) M' = M_0  @ takeWhile (Not ∘ is-marked) (trail T')
          using arg-cong[OF MV, of takeWhile (Not o is-marked)] nmarked
          by (simp add: takeWhile-tail)
        from arg-cong[OF this, of length]  have length M_0 ≤ length M'
          unfolding length-append by (metis (no-types, lifting) Nat.le-trans le-add1
            length-takeWhile-le)
        then have tr-T': trail T' = drop (length M_0) M' @ Marked L i # H @ M using V by auto
        then have LT': Marked L i ∈ set (trail T') by auto
        moreover
          have cdcl_W-M-level-inv T
            using lev rtranclp-cdcl_W-stgy-consistent-inv step.hyps(1) by blast
          then have decide T T' using o nd tr-T' cdcl_W-o-is-decide by metis
        ultimately  have decide T T' using cdcl_W-o-no-more-Marked-lit[OF o] by blast
        then have 1: cdcl_W-stgy** R T and 2: decide T T' and 3: cdcl_W-stgy** T' U
          using st other'.prems(4)
          by (metis cdcl_W-stgy.conflict' cp full-unfold r-into-rtranclp rtranclp.rtrancl-refl)+
        have [simp]: drop (length M_0) M' = []
          using ‹decide T T'› ‹Marked L i ∈ set (trail T')›  nd tr-T'
          by (auto simp add: Cons-eq-append-conv)
        have T': drop (length M_0) M' @ Marked L i # H @ M = Marked L i # trail T
          using ‹decide T T'› ‹Marked L i ∈ set (trail T')›  nd tr-T'
          by auto
        have trail T' = Marked L i # trail T
          using ‹decide T T'› ‹Marked L i ∈ set (trail T')› tr-T'
          by auto
        then have 5: trail T' = Marked L i # H @ M
            using append.simps(1) list.sel(3) local.other'(5) tl-append2 by (simp add: tr-T')
        have 6: trail T = H @ M
```

by (*metis* (*no-types*) ‹*trail* T' = *Marked* L i # *trail* T›
‹*trail* T' = *drop* (*length* M_0) M' @ *Marked* L i # H @ M› *append-Nil list.sel*(*3*) *nd tl-append2*)
have *7*: *cdcl*$_W$*-stgy*** T U **using** *other'.prems*(*4*) *st* **by** *auto*
have *8*: *cdcl*$_W$*-stgy* T U *cdcl*$_W$*-stgy*** U U
using *cdcl*$_W$*-stgy.other'*[*OF other'*(*1*−*3*)] **by** *simp-all*
show *?case* **apply** (*rule exI*[*of* - T], *rule exI*[*of* - T'], *rule exI*[*of* - U])
using *ns 1 2 3 5 6 7 8* **by** *fast*
qed
next
case *True*
then obtain M' **where** T: *trail* T = M' @ *Marked* L i # H @ M **by** *metis*
from *IH*[*OF this S lev*] **obtain** S' S'' S''' **where**
1: *cdcl*$_W$*-stgy*** R S' **and**
2: *decide* S' S'' **and**
3: *cdcl*$_W$*-stgy*** S'' T **and**
4: *no-step cdcl*$_W$*-cp* S' **and**
6: *trail* S'' = *Marked* L i # H @ M **and**
7: *trail* S' = H @ M **and**
8: *cdcl*$_W$*-stgy*** S' T **and**
9: *cdcl*$_W$*-stgy* S' S''' **and**
10: *cdcl*$_W$*-stgy*** S''' T
by *blast*
have *cdcl*$_W$*-stgy*** S'' U **using** *s* ‹*cdcl*$_W$*-stgy*** S'' T › **by** *auto*
moreover have *cdcl*$_W$*-stgy*** S' U **using** *8 s* **by** *auto*
moreover have *cdcl*$_W$*-stgy*** S''' U **using** *10 s* **by** *auto*
ultimately show *?thesis* **apply** − **apply** (*rule exI*[*of* - S'], *rule exI*[*of* - S''])
using *1 2 4 6 7 8 9* **by** *blast*
qed
qed

lemma *rtranclp-cdcl*$_W$*-new-marked-at-beginning-is-decide'*:
assumes *cdcl*$_W$*-stgy*** R U **and**
trail U = M' @ *Marked* L i # H @ M **and**
trail R = M **and**
cdcl$_W$*-M-level-inv* R
shows $\exists$ y y'. *cdcl*$_W$*-stgy*** R y ∧ *cdcl*$_W$*-stgy* y y' ∧ ¬ (∃ *c*. *trail* y = *c* @ *Marked* L i # H @ M)
∧ (λ*a b*. *cdcl*$_W$*-stgy a b* ∧ (∃ *c*. *trail a* = *c* @ *Marked* L i # H @ M))** y' U
proof −
fix T'
obtain S' T T' **where**
st: *cdcl*$_W$*-stgy*** R S' **and**
decide S' T **and**
TU: *cdcl*$_W$*-stgy*** T U **and**
no-step cdcl$_W$*-cp* S' **and**
trT: *trail* T = *Marked* L i # H @ M **and**
trS': *trail* S' = H @ M **and**
S'U: *cdcl*$_W$*-stgy*** S' U **and**
S'T': *cdcl*$_W$*-stgy* S' T' **and**
T'U: *cdcl*$_W$*-stgy*** T' U
using *rtranclp-cdcl*$_W$*-new-marked-at-beginning-is-decide*[*OF assms*] **by** *blast*
have *n*: ¬ (∃ *c*. *trail* S' = *c* @ *Marked* L i # H @ M) **using** *trS'* **by** *auto*
show *?thesis*
using *rtranclp-trans*[*OF st*] *rtranclp-exists-last-with-prop*[*of cdcl*$_W$*-stgy* S' T' -
λ*a* -. ¬(∃ *c*. *trail a* = *c* @ *Marked* L i # H @ M), *OF S'T' T'U n*]

```
    by meson
qed

lemma beginning-not-marked-invert:
  assumes A: M @ A = M' @ Marked K i # H and
  nm: ∀m∈set M. ¬is-marked m
  shows ∃M. A = M @ Marked K i # H
proof -
  have A = drop (length M) (M' @ Marked K i # H)
    using arg-cong[OF A, of drop (length M)] by auto
  moreover have drop (length M) (M' @ Marked K i # H) = drop (length M) M' @ Marked K i # H
    using nm by (metis (no-types, lifting) A drop-Cons' drop-append marked-lit.disc(1) not-gr0
      nth-append nth-append-length nth-mem zero-less-diff)
  finally show ?thesis by fast
qed

lemma cdcl_W-stgy-trail-has-new-marked-is-decide-step:
  assumes cdcl_W-stgy S T
  ¬ (∃c. trail S = c @ Marked L i # H @ M) and
  (λa b. cdcl_W-stgy a b ∧ (∃c. trail a = c @ Marked L i # H @ M))** T U and
  ∃M'. trail U = M' @ Marked L i # H @ M and
  lev: cdcl_W-M-level-inv S
  shows ∃S'. decide S S' ∧ full cdcl_W-cp S' T ∧ no-step cdcl_W-cp S
  using assms(3,1,2,4,5)
proof induction
  case (step T U)
  then show ?case by fastforce
next
  case base
  then show ?case
    proof (induction rule: cdcl_W-stgy.induct)
      case (conflict' T) note cp = this(1) and nd = this(2) and M' =this(3) and no-dup = this(3)
      then obtain M' where M': trail T = M' @ Marked L i # H @ M by metis
      obtain M'' where M'': trail T = M'' @ trail S and nm: ∀m∈ set M''. ¬is-marked m
        using cp unfolding full1-def
        by (metis rtranclp-cdcl_W-cp-dropWhile-trail' tranclp-into-rtranclp)
      have False
        using beginning-not-marked-invert[of M'' trail S M' L i H @ M] M' nm nd unfolding M''
        by fast
      then show ?case by fast
    next
      case (other' T U') note o = this(1) and ns = this(2) and cp = this(3) and nd = this(4)
        and trU' = this(5)
      have cdcl_W-cp** T U' using cp unfolding full-def by blast
      from rtranclp-cdcl_W-cp-dropWhile-trail[OF this]
      have ∃M'. trail T = M' @ Marked L i # H @ M
        using  trU' beginning-not-marked-invert[of - trail T - L i H @ M] by metis
      then obtain M' where M': trail T = M' @ Marked L i # H @ M
        by auto
      with o lev nd cp ns
      show ?case
        proof (induction rule: cdcl_W-o-induct-lev2)
          case (decide L) note dec = this(1) and cp = this(5) and ns = this(4)
          then have decide S (cons-trail (Marked L (backtrack-lvl S +1)) (incr-lvl S))
            using decide.hyps decide.intros[of S] by force
          then show ?case using cp decide.prems by (meson decide-state-eq-compatible ns state-eq-ref
            state-eq-sym)
        next
          case (backtrack K j M1 M2 L' D T) note decomp = this(1) and cp = this(3)
            and undef = this(6) and T = this(7) and trT = this(12) and ns = this(4)
          obtain MS3 where MS3: trail S = MS3 @ M2 @ Marked K (Suc j) # M1
            using get-all-marked-decomposition-exists-prepend[OF decomp] by metis
          have tl (M' @ Marked L i # H @ M) = tl M' @ Marked L i # H @ M
            using lev trT T lev undef decomp by (cases M') (auto simp: cdcl_W-M-level-inv-decomp)
          then have M'': M1 = tl M' @ Marked L i # H @ M
            using arg-cong[OF trT[simplified], of tl] T decomp undef lev
            by (simp add: cdcl_W-M-level-inv-decomp)
          have False using nd MS3 T undef decomp unfolding M'' by auto
          then show ?case by fast
        qed auto
      qed
qed

lemma rtranclp-cdcl_W-stgy-with-trail-end-has-trail-end:
  assumes (λa b. cdcl_W-stgy a b ∧ (∃ c. trail a = c @ Marked L i # H @ M))** T U and
  ∃ M'. trail U = M' @ Marked L i # H @ M
  shows ∃ M'. trail T = M' @ Marked L i # H @ M
  using assms by (induction rule: rtranclp-induct) auto

lemma cdcl_W-o-cannot-learn:
  assumes
    cdcl_W-o y z and
    lev: cdcl_W-M-level-inv y and
    trM: trail y = c @ Marked Kh i # H and
    DL: D + {#L#} ∉# learned-clss y and
    DH: atms-of D ⊆ atm-of `lits-of H and
    LH: atm-of L ∉ atm-of `lits-of H and
    learned: ∀ T. conflicting y = Some T ⟶ trail y ⊨as CNot T and
    z: trail z = c' @ Marked Kh i # H
  shows D + {#L#} ∉# learned-clss z
  using assms(1−2) trM DL DH LH learned z
proof (induction rule: cdcl_W-o-induct-lev2)
  case (backtrack K j M1 M2 L' D' T) note decomp = this(1) and confl = this(3) and levD = this(5)
    and undef = this(6) and T = this(7)
  obtain M3 where M3: trail y = M3 @ M2 @ Marked K (Suc j) # M1
    using decomp get-all-marked-decomposition-exists-prepend by metis
  have M: trail y = c @ Marked Kh i # H using trM by simp
  have H: get-all-levels-of-marked (trail y) = rev [1..<1 + backtrack-lvl y]
    using lev unfolding cdcl_W-M-level-inv-def by auto
  have c' @ Marked Kh i # H = Propagated L' (D' + {#L'#}) # trail (reduce-trail-to M1 y)
    using backtrack.prems(6) decomp undef T lev by (force simp: cdcl_W-M-level-inv-def)
  then obtain d where d: M1 = d @ Marked Kh i # H
    by (metis (no-types) decomp in-get-all-marked-decomposition-trail-update-trail list.inject
      list.sel(3) marked-lit.distinct(1) self-append-conv2 tl-append2)
  have i ∈ set (get-all-levels-of-marked (M3 @ M2 @ Marked K (Suc j) # d @ Marked Kh i # H))
    by auto
  then have i > 0 unfolding H[unfolded M3 d] by auto
  show ?case
    proof
      assume D + {#L#} ∈# learned-clss T
```

```
then have DLD': D + {#L#} = D' + {#L'#}
  using DL T neq0-conv undef decomp lev by (fastforce simp: cdcl_W-M-level-inv-def)
have L-cKh: atm-of L ∈ atm-of `lits-of (c @ [Marked Kh i])
  using LH learned  M DLD'[symmetric] confl by (fastforce simp add: image-iff)
have get-all-levels-of-marked (M3 @ M2 @ Marked K (j + 1) # M1)
  = rev [1..<1 + backtrack-lvl y]
  using lev unfolding cdcl_W-M-level-inv-def M3 by auto
from arg-cong[OF this, of λa. (Suc j) ∈ set a] have backtrack-lvl y ≥ j by auto

have DD'[simp]: D = D'
  proof (rule ccontr)
    assume D ≠ D'
    then have L' ∈#  D using DLD' by (metis add.left-neutral count-single count-union
      diff-union-cancelR neq0-conv union-single-eq-member)
    then have get-level (trail y) L' ≤ get-maximum-level (trail y) D
      using get-maximum-level-ge-get-level by blast
    moreover {
      have get-maximum-level (trail y) D = get-maximum-level H D
        using DH unfolding M by (simp add: get-maximum-level-skip-beginning)
      moreover
        have get-all-levels-of-marked (trail y) = rev [1..<1 + backtrack-lvl y]
          using lev unfolding cdcl_W-M-level-inv-def by auto
        then have get-all-levels-of-marked H = rev [1..< i]
          unfolding M by (auto dest: append-cons-eq-upt-length-i
            simp add: rev-swap[symmetric])
        then have get-maximum-possible-level H < i
          using get-maximum-possible-level-max-get-all-levels-of-marked[of H] ‹i > 0› by auto
      ultimately have get-maximum-level (trail y) D < i
        by (metis (full-types) dual-order.strict-trans nat-neq-iff not-le
          get-maximum-possible-level-ge-get-maximum-level) }
    moreover
      have L ∈# D'
        by (metis DLD' ‹D ≠ D'› add.left-neutral count-single count-union diff-union-cancelR
          neq0-conv union-single-eq-member)
      then have get-maximum-level (trail y) D' ≥ get-level (trail y) L
        using get-maximum-level-ge-get-level by blast
    moreover {
      have get-all-levels-of-marked (c @ [Marked Kh i]) = rev [i..< backtrack-lvl y+1]
        using append-cons-eq-upt-length-i-end[of  rev (get-all-levels-of-marked H) i
          rev (get-all-levels-of-marked c) Suc 0 Suc (backtrack-lvl y)] H
        unfolding M apply (auto simp add: rev-swap[symmetric])
          by (metis (no-types, hide-lams) Nil-is-append-conv Suc-le-eq less-Suc-eq list.sel(1)
            rev.simps(2) rev-rev-ident upt-Suc upt-rec)
      have get-level (trail y) L  = get-level (c @ [Marked Kh i]) L
        using L-cKh LH unfolding M by simp
      have get-level (c @ [Marked Kh i]) L ≥ i
        using L-cKh
          ‹get-all-levels-of-marked (c @ [Marked Kh i]) = rev [i..<backtrack-lvl y + 1]›
        backtrack.hyps(2) calculation(1,2) by auto
      then have get-level (trail y) L ≥ i
        using M ‹get-level (trail y) L = get-level (c @ [Marked Kh i]) L› by auto }
    moreover have get-maximum-level (trail y) D' < get-level (trail y) L
      using ‹j ≤ backtrack-lvl y› backtrack.hyps(2,5) calculation(1−4) by linarith
    ultimately show False using backtrack.hyps(4) by linarith
  qed
```

```
      then have LL': L = L' using DLD' by auto
      have nd: no-dup (trail y) using lev unfolding cdcl_W-M-level-inv-def by auto

      { assume D: D' = {#}
        then have j: j = 0 using levD by auto
        have ∀ m ∈ set M1. ¬is-marked m
          using H unfolding M3 j
          by (auto simp add: rev-swap[symmetric] get-all-levels-of-marked-no-marked
            dest!: append-cons-eq-upt-length-i)
        then have False using d by auto
      }
      moreover {
        assume D[simp]: D' ≠ {#}
        have i ≤ j
          using H unfolding M3 d by (auto simp add: rev-swap[symmetric]
            dest: upt-decomp-lt)
        have j > 0 apply (rule ccontr)
          using H ‹ i > 0› unfolding M3 d
          by (auto simp add: rev-swap[symmetric] dest!: upt-decomp-lt)
        obtain L'' where
          L''∈#D' and
          L''D': get-level (trail y) L'' = get-maximum-level (trail y) D'
          using get-maximum-level-exists-lit-of-max-level[OF D, of trail y] by auto
        have L''M: atm-of L'' ∈ atm-of ‘ lits-of (trail y)
          using get-rev-level-ge-0-atm-of-in[of 0 rev (trail y) L''] ‹j>0› levD L''D' by auto
        then have L'' ∈ lits-of (Marked Kh i # d)
          proof –
            {
              assume L''H: atm-of L'' ∈ atm-of ‘ lits-of H
              have get-all-levels-of-marked H = rev [1..<i]
                using H unfolding M
                by (auto simp add: rev-swap[symmetric] dest!: append-cons-eq-upt-length-i)
              moreover have get-level (trail y) L'' = get-level H L''
                using L''H unfolding M by simp
              ultimately have False
                using levD ‹j>0› get-rev-level-in-levels-of-marked[of rev H 0 L''] ‹i ≤ j›
                unfolding L''D'[symmetric] nd by auto
            }
            then show ?thesis
              using DD' DH ‹L'' ∈# D'› atm-of-lit-in-atms-of contra-subsetD by metis
          qed
        then have False
          using DH ‹L''∈#D'› nd unfolding M3 d
          by (auto simp add: atms-of-def image-iff image-subset-iff lits-of-def)
      }
      ultimately show False by blast
    qed
qed auto

lemma cdcl_W-stgy-with-trail-end-has-not-been-learned:
  assumes cdcl_W-stgy y z and
  cdcl_W-M-level-inv y and
  trail y = c @ Marked Kh i # H and
  D + {#L#} ∉# learned-clss y and
  DH: atms-of D ⊆ atm-of ‘lits-of H and
  LH: atm-of L ∉ atm-of ‘lits-of H and
  ∀ T. conflicting y = Some T ⟶ trail y ⊨as CNot T and
  trail z = c' @ Marked Kh i # H
  shows D + {#L#} ∉# learned-clss z
  using assms
proof induction
  case conflict'
  then show ?case
    unfolding full1-def using tranclp-cdcl_W-cp-learned-clause-inv by auto
next
  case (other' T U) note o = this(1) and cp = this(3) and lev = this(4) and trY = this(5) and
    notin = this(6) and DH = this(7) and LH = this(8) and confl = this(9) and trU = this(10)
  obtain c' where c': trail T = c' @ Marked Kh i # H
    using cp beginning-not-marked-invert[of - trail T c' Kh i H]
      rtranclp-cdcl_W-cp-dropWhile-trail[of T U] unfolding trU full-def by fastforce
  show ?case
    using cdcl_W-o-cannot-learn[OF o lev trY notin DH LH  confl c']
      rtranclp-cdcl_W-cp-learned-clause-inv cp unfolding full-def by auto
qed

lemma rtranclp-cdcl_W-stgy-with-trail-end-has-not-been-learned:
  assumes (λa b. cdcl_W-stgy a b ∧ (∃ c. trail a = c @ Marked K i # H @ []))** S z and
  cdcl_W-all-struct-inv S and
  trail S = c @ Marked K i # H and
  D + {#L#} ∉# learned-clss S and
  DH: atms-of D ⊆ atm-of ‘lits-of H and
  LH: atm-of L ∉ atm-of ‘lits-of H and
  ∃ c'. trail z = c' @ Marked K i # H
  shows D + {#L#} ∉# learned-clss z
  using assms(1−4,7)
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by auto[1]
next
  case (step T U) note st = this(1) and s = this(2) and IH = this(3)[OF this(4−6)]
    and lev = this(4) and trS = this(5) and DL-S = this(6) and trU = this(7)
  obtain c where c: trail T = c @ Marked K i # H using s by auto
  obtain c' where c': trail U = c' @ Marked K i # H using trU by blast
  have cdcl_W** S T
    proof −
      have ∀ p pa. ∃ s sa. ∀ sb sc sd se. (¬ p** (sb::'st) sc ∨ p s sa ∨ pa** sb sc)
        ∧ (¬ pa s sa ∨ ¬ p** sd se ∨ pa** sd se)
        by (metis (no-types) mono-rtranclp)
      then have cdcl_W-stgy** S T
        using st by blast
      then show ?thesis
        using rtranclp-cdcl_W-stgy-rtranclp-cdcl_W by blast
    qed
  then have lev': cdcl_W-all-struct-inv T
    using rtranclp-cdcl_W-all-struct-inv-inv[of S T] lev by auto
  then have confl': ∀ Ta. conflicting T = Some Ta ⟶ trail T ⊨as CNot Ta
    unfolding cdcl_W-all-struct-inv-def cdcl_W-conflicting-def by blast
  show ?case
    apply (rule cdcl_W-stgy-with-trail-end-has-not-been-learned[OF - - c - DH LH confl' c'])
    using s lev' IH c unfolding cdcl_W-all-struct-inv-def by blast+
```

qed

lemma *cdcl*$_W$*-stgy-new-learned-clause*:
  assumes *cdcl*$_W$*-stgy S T* **and**
    lev: *cdcl*$_W$*-M-level-inv S* **and**
    E $\notin$# *learned-clss S* **and**
    E $\in$# *learned-clss T*
  shows $\exists$ *S'*. *backtrack S S'* $\wedge$ *conflicting S* = *Some E* $\wedge$ *full cdcl*$_W$*-cp S' T*
  using *assms*
proof *induction*
  case *conflict'*
  then show *?case* **unfolding** *full1-def* **by** (*auto dest*: *tranclp-cdcl*$_W$*-cp-learned-clause-inv*)
next
  case (*other' T U*) **note** *o* = *this*(*1*) **and** *cp* = *this*(*3*) **and** *not-yet* = *this*(*5*) **and** *learned* = *this*(*6*)
  have *E* $\in$# *learned-clss T*
    using *learned cp rtranclp-cdcl*$_W$*-cp-learned-clause-inv* **unfolding** *full-def* **by** *auto*
  then have *backtrack S T* **and** *conflicting S* = *Some E*
    using *cdcl*$_W$*-o-new-clause-learned-is-backtrack-step*[*OF - not-yet o*] *lev* **by** *blast+*
  then show *?case* **using** *cp* **by** *blast*
qed

lemma *cdcl*$_W$*-stgy-no-relearned-clause*:
  assumes
    invR: *cdcl*$_W$*-all-struct-inv R* **and**
    st': *cdcl*$_W$*-stgy*** *R S* **and**
    bt: *backtrack S T* **and**
    confl: *conflicting S* = *Some E* **and**
    already-learned: *E* $\in$# *clauses S* **and**
    R: *trail R* = []
  shows *False*
proof −
  have *M-lev*: *cdcl*$_W$*-M-level-inv R*
    using *invR* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
  have *cdcl*$_W$*-M-level-inv S*
    using *M-lev assms*(*2*) *rtranclp-cdcl*$_W$*-stgy-consistent-inv* **by** *blast*
  with *bt* **obtain** *D L M1 M2-loc K i* **where**
     T: *T* ∼ *cons-trail* (*Propagated L* ((*D* + {#*L*#})))
       (*reduce-trail-to M1* (*add-learned-cls* (*D* + {#*L*#})
         (*update-backtrack-lvl* (*get-maximum-level* (*trail S*) *D*) (*update-conflicting None S*))))
     and
    decomp: (*Marked K* (*Suc* (*get-maximum-level* (*trail S*) *D*)) # *M1*, *M2-loc*) $\in$
              set (*get-all-marked-decomposition* (*trail S*)) **and**
    k: *get-level* (*trail S*) *L* = *backtrack-lvl S* **and**
    level: *get-level* (*trail S*) *L* = *get-maximum-level* (*trail S*) (*D*+{#*L*#}) **and**
    confl-S: *conflicting S* = *Some* (*D* + {#*L*#}) **and**
    i: *i* = *get-maximum-level* (*trail S*) *D* **and**
    undef: *undefined-lit M1 L*
    by (*induction rule*: *backtrack-induction-lev2*) *metis*
  obtain *M2* **where**
    M: *trail S* = *M2* @ *Marked K* (*Suc i*) # *M1*
   using *get-all-marked-decomposition-exists-prepend*[*OF decomp*] **unfolding** *i* **by** (*metis append-assoc*)

  have *invS*: *cdcl*$_W$*-all-struct-inv S*
    using *invR rtranclp-cdcl*$_W$*-all-struct-inv-inv rtranclp-cdcl*$_W$*-stgy-rtranclp-cdcl*$_W$ *st'* **by** *blast*
  then have *conf*: *cdcl*$_W$*-conflicting S* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *blast*

```
then have trail S ⊨as CNot (D + {#L#}) unfolding cdcl_W-conflicting-def confl-S by auto
then have MD: trail S ⊨as CNot D by auto

have lev': cdcl_W-M-level-inv S using invS  unfolding cdcl_W-all-struct-inv-def by blast

have get-lvls-M: get-all-levels-of-marked (trail S) = rev [1..<Suc (backtrack-lvl S)]
  using lev' unfolding cdcl_W-M-level-inv-def by auto

have lev: cdcl_W-M-level-inv R using invR unfolding cdcl_W-all-struct-inv-def by blast
then have vars-of-D: atms-of D ⊆ atm-of ` lits-of M1
  using backtrack-atms-of-D-in-M1[OF lev' undef - decomp - - - T] confl-S conf T decomp k level
  lev' i undef unfolding cdcl_W-conflicting-def by (auto simp: cdcl_W-M-level-inv-def)
have no-dup (trail S) using lev' by (auto simp: cdcl_W-M-level-inv-decomp)
have vars-in-M1:
  ∀ x ∈ atms-of D. x ∉ atm-of ` lits-of (M2 @ [Marked K (get-maximum-level (trail S) D + 1)])
    apply (rule vars-of-D distinct-atms-of-incl-not-in-other[of
    M2 @ Marked K (get-maximum-level (trail S) D + 1) # [] M1 D])
    using ‹no-dup (trail S)› M vars-of-D by simp-all
have M1-D: M1 ⊨as CNot D
  using vars-in-M1 true-annots-remove-if-notin-vars[of M2 @ Marked K (i + 1) # [] M1 CNot D]
  ‹trail S ⊨as CNot D› M by simp

have get-lvls-M: get-all-levels-of-marked (trail S) = rev [1..<Suc (backtrack-lvl S)]
  using lev' unfolding cdcl_W-M-level-inv-def by auto
then have backtrack-lvl S > 0 unfolding M by (auto split: split-if-asm simp add: upt.simps(2))

obtain M1' K' Ls where
  M': trail S = Ls @ Marked K' (backtrack-lvl S) # M1' and
  Ls: ∀ l ∈ set Ls. ¬ is-marked l and
  set M1 ⊆ set M1'
  proof −
    let ?Ls = takeWhile (Not o is-marked) (trail S)
    have MLs: trail S = ?Ls @ dropWhile (Not o is-marked) (trail S)
      by auto
    have dropWhile (Not o is-marked) (trail S) ≠ [] unfolding M by auto
    moreover
      from hd-dropWhile[OF this] have is-marked(hd (dropWhile (Not o is-marked) (trail S)))
        by simp
    ultimately
      obtain K' K'k where
        K'k: dropWhile (Not o is-marked) (trail S)
          = Marked K' K'k # tl (dropWhile (Not o is-marked) (trail S))
        by (cases dropWhile (Not ∘ is-marked) (trail S);
            cases hd (dropWhile (Not ∘ is-marked) (trail S)))
          simp-all
    moreover have ∀ l ∈ set ?Ls. ¬is-marked l using set-takeWhileD by force
    moreover
      have get-all-levels-of-marked (trail S)
              = K'k # get-all-levels-of-marked(tl (dropWhile (Not ∘ is-marked) (trail S)))
        apply (subst MLs, subst K'k)
        using calculation(2) by (auto simp add: get-all-levels-of-marked-no-marked)
      then have K'k =  backtrack-lvl S
      using calculation(2) by (auto split: split-if-asm simp add: get-lvls-M upt.simps(2))
    moreover have set M1 ⊆ set (tl (dropWhile (Not o is-marked) (trail S)))
      unfolding M by (induction M2) auto
    ultimately show ?thesis using that MLs by metis
  qed

have get-lvls-M: get-all-levels-of-marked (trail S) = rev [1..<Suc (backtrack-lvl S)]
  using lev' unfolding cdcl_W-M-level-inv-def by auto
then have backtrack-lvl S > 0 unfolding M by (auto split: split-if-asm simp add: upt.simps(2) i)

have M1'-D: M1' ⊨as CNot D using M1-D ‹set M1 ⊆ set M1'› by (auto intro: true-annots-mono)
have −L ∈ lits-of (trail S) using conf confl-S unfolding cdcl_W-conflicting-def by auto
have lvls-M1': get-all-levels-of-marked M1' = rev [1..<backtrack-lvl S]
  using get-lvls-M Ls by (auto simp add: get-all-levels-of-marked-no-marked M'
    split: split-if-asm simp add: upt.simps(2))
have L-notin: atm-of L ∈ atm-of ‘ lits-of Ls ∨ atm-of L = atm-of K'
  proof (rule ccontr)
    assume ¬ ?thesis
    then have atm-of L ∉ atm-of ‘ lits-of (Marked K' (backtrack-lvl S) # rev Ls) by simp
    then have get-level (trail S) L = get-level M1' L
      unfolding M' by auto
    then show False using get-level-in-levels-of-marked[of M1' L] ‹backtrack-lvl S > 0›
    unfolding k lvls-M1' by auto
  qed
obtain Y Z where
  RY: cdcl_W-stgy** R Y and
  YZ: cdcl_W-stgy Y Z and
  nt: ¬ (∃c. trail Y = c @ Marked K' (backtrack-lvl S) # M1' @ []) and
  Z: (λa b. cdcl_W-stgy a b ∧ (∃c. trail a = c @ Marked K' (backtrack-lvl S) # M1' @ []))**
          Z S
  using rtranclp-cdcl_W-new-marked-at-beginning-is-decide'[OF st' - - lev, of Ls K'
    backtrack-lvl S M1' []]
  unfolding R M' by auto
have [simp]: cdcl_W-M-level-inv Y
  using RY lev rtranclp-cdcl_W-stgy-consistent-inv by blast
obtain M' where trZ: trail Z = M' @ Marked K' (backtrack-lvl S) # M1'
  using rtranclp-cdcl_W-stgy-with-trail-end-has-trail-end[OF Z] M' by auto
have no-dup (trail Y)
  using RY lev rtranclp-cdcl_W-stgy-consistent-inv unfolding cdcl_W-M-level-inv-def by blast
then obtain Y' where
  dec: decide Y Y' and
  Y'Z: full cdcl_W-cp Y' Z and
  no-step cdcl_W-cp Y
  using cdcl_W-stgy-trail-has-new-marked-is-decide-step[OF YZ nt Z] M' by auto
have trY: trail Y = M1'
  proof −
    obtain M' where M: trail Z = M' @ Marked K' (backtrack-lvl S) # M1'
      using rtranclp-cdcl_W-stgy-with-trail-end-has-trail-end[OF Z] M' by auto
    obtain M'' where M'': trail Z = M'' @ trail Y' and ∀m∈set M''. ¬is-marked m
      using Y'Z rtranclp-cdcl_W-cp-dropWhile-trail' unfolding full-def by blast
    obtain M''' where trail Y' = M''' @ Marked K' (backtrack-lvl S) # M1'
      using M'' unfolding M
      by (metis (no-types, lifting) ‹∀m∈set M''. ¬ is-marked m› beginning-not-marked-invert)
    then show ?thesis using dec nt by (induction M''') auto
  qed
have Y-CT: conflicting Y = None using ‹decide Y Y'› by auto
have cdcl_W** R Y by (simp add: RY rtranclp-cdcl_W-stgy-rtranclp-cdcl_W)
then have init-clss Y = init-clss R using rtranclp-cdcl_W-init-clss[of R Y] M-lev by auto
```

```
 { assume DL: D + {#L#} ∈# clauses Y
   have atm-of L ∉ atm-of ‘ lits-of M1
     apply (rule backtrack-lit-skiped[of S])
     using decomp i k lev' unfolding cdcl_W-M-level-inv-def by auto
   then have LM1: undefined-lit M1 L
     by (metis Marked-Propagated-in-iff-in-lits-of atm-of-uminus image-eqI)
   have L-trY: undefined-lit (trail Y) L
     using  L-notin ‹no-dup (trail S)› unfolding defined-lit-map trY M'
     by (auto simp add: image-iff lits-of-def)
   have ∃ Y'. propagate Y Y'
     using propagate-rule[of Y] DL M1'-D L-trY Y-CT trY DL by (metis state-eq-ref)
   then have False using ‹no-step cdcl_W-cp Y›  propagate' by blast
 }
 moreover {
   assume DL: D + {#L#} ∉# clauses Y
   have lY-lZ: learned-clss Y = learned-clss Z
     using dec Y'Z rtranclp-cdcl_W-cp-learned-clause-inv[of Y' Z] unfolding full-def
     by auto
   have invZ: cdcl_W-all-struct-inv Z
     by (meson RY YZ invR r-into-rtranclp rtranclp-cdcl_W-all-struct-inv-inv
       rtranclp-cdcl_W-stgy-rtranclp-cdcl_W)
   have D + {#L#} ∉#learned-clss S
     apply (rule rtranclp-cdcl_W-stgy-with-trail-end-has-not-been-learned[OF Z invZ trZ])
        using DL lY-lZ unfolding clauses-def apply simp
       apply (metis (no-types, lifting) ‹set M1 ⊆ set M1'› image-mono order-trans
         vars-of-D lits-of-def)
      using L-notin ‹no-dup (trail S)› unfolding M' by (auto simp add: image-iff lits-of-def)
   then have False
     using already-learned DL confl  st' M-lev unfolding M'
     by (simp add: ‹init-clss Y = init-clss R› clauses-def confl-S
       rtranclp-cdcl_W-stgy-no-more-init-clss)
 }
 ultimately show False by blast
qed

lemma rtranclp-cdcl_W-stgy-distinct-mset-clauses:
 assumes
   invR: cdcl_W-all-struct-inv R and
   st: cdcl_W-stgy** R S and
   dist: distinct-mset (clauses R) and
   R: trail R = []
 shows distinct-mset (clauses S)
 using st
proof (induction)
 case base
 then show ?case using dist by simp
next
 case (step S T) note st = this(1) and s = this(2) and IH = this(3)
 from s show ?case
   proof (cases rule: cdcl_W-stgy.cases)
     case conflict'
     then show ?thesis
       using IH unfolding full1-def by (auto dest: tranclp-cdcl_W-cp-no-more-clauses)
   next
     case (other' S') note o = this(1) and full = this(3)
```

```
      have [simp]: clauses T = clauses S'
        using full unfolding full-def by (auto dest: rtranclp-cdcl_W-cp-no-more-clauses)
      show ?thesis
        using o IH
        proof (cases rule: cdcl_W-o-rule-cases)
          case backtrack
          moreover
            have cdcl_W-all-struct-inv S
              using invR rtranclp-cdcl_W-stgy-cdcl_W-all-struct-inv st by blast
            then have cdcl_W-M-level-inv S
              unfolding cdcl_W-all-struct-inv-def by auto
          ultimately obtain E where
            conflicting S = Some E and
            cls-S': clauses S' = {#E#} + clauses S
            using ‹cdcl_W-M-level-inv S›
            by (induction rule: backtrack-induction-lev2) (auto simp: cdcl_W-M-level-inv-decomp)
          then have E ∉# clauses S
            using cdcl_W-stgy-no-relearned-clause R invR local.backtrack st by blast
          then show ?thesis using IH by (simp add: distinct-mset-add-single cls-S')
        qed auto
    qed
qed

lemma cdcl_W-stgy-distinct-mset-clauses:
  assumes
    st: cdcl_W-stgy** (init-state N) S and
    no-duplicate-clause: distinct-mset N and
    no-duplicate-in-clause: distinct-mset-mset N
  shows distinct-mset (clauses S)
  using rtranclp-cdcl_W-stgy-distinct-mset-clauses[OF - st] assms
  by (auto simp: cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def)
```

17.9 Decrease of a measure

```
fun cdcl_W-measure where
cdcl_W-measure S =
  [(3::nat) ^ (card (atms-of-msu (init-clss S))) − card (set-mset (learned-clss S)),
    if conflicting S = None then 1 else 0,
    if conflicting S = None then card (atms-of-msu (init-clss S)) − length (trail S)
    else length (trail S)
    ]

lemma length-model-le-vars-all-inv:
  assumes cdcl_W-all-struct-inv S
  shows length (trail S) ≤ card (atms-of-msu (init-clss S))
  using assms length-model-le-vars[of S] unfolding cdcl_W-all-struct-inv-def
  by (auto simp: cdcl_W-M-level-inv-decomp)
end

context cdcl_W
begin

lemma learned-clss-less-upper-bound:
  fixes S :: 'st
  assumes
    distinct-cdcl_W-state S and
```

  $\forall s \in\#$ *learned-clss* S. $\neg$*tautology* s
shows *card*(*set-mset* (*learned-clss* S)) $\leq$ *3* ^ *card* (*atms-of-msu* (*learned-clss* S))
proof –
  have *set-mset* (*learned-clss* S) $\subseteq$ *simple-clss* (*atms-of-msu* (*learned-clss* S))
    apply (*rule simplified-in-simple-clss*)
    using *assms* **unfolding** *distinct-cdcl*$_W$*-state-def* **by** *auto*
  then have *card*(*set-mset* (*learned-clss* S))
    $\leq$ *card* (*simple-clss* (*atms-of-msu* (*learned-clss* S)))
    by (*simp add*: *simple-clss-finite card-mono*)
  then show *?thesis*
    by (*meson atms-of-ms-finite simple-clss-card finite-set-mset order-trans*)
qed

lemma *lexn3*[*intro*!, *simp*]:
  $a < a' \vee (a = a' \wedge b < b') \vee (a = a' \wedge b = b' \wedge c < c')$
    $\Longrightarrow$ ([a::*nat*, b, c], [a', b', c']) $\in$ *lexn* {(x, y). $x < y$} *3*
  apply *auto*
  unfolding *lexn-conv* **apply** *fastforce*
  unfolding *lexn-conv* **apply** *auto*
  apply (*metis append.simps*(*1*) *append.simps*(*2*))+
  done

lemma *cdcl*$_W$*-measure-decreasing*:
  fixes S :: *'st*
  assumes
    cdcl$_W$ S S' **and**
    no-restart:
      $\neg$(*learned-clss* S $\subseteq\#$ *learned-clss* S' $\wedge$ [] = *trail* S' $\wedge$ *conflicting* S' = *None*)
     and
    learned-clss S $\subseteq\#$ *learned-clss* S' **and**
    no-relearn: $\bigwedge S'$. *backtrack* S S' $\Longrightarrow$ $\forall T$. *conflicting* S = *Some* T $\longrightarrow$ $T \notin\#$ *learned-clss* S
      and
    alien: *no-strange-atm* S **and**
    M-level: *cdcl*$_W$*-M-level-inv* S **and**
    no-taut: $\forall s \in\#$ *learned-clss* S. $\neg$*tautology* s **and**
    no-dup: *distinct-cdcl*$_W$*-state* S **and**
    confl: *cdcl*$_W$*-conflicting* S
  shows (*cdcl*$_W$*-measure* S', *cdcl*$_W$*-measure* S) $\in$ *lexn* {(a, b). $a < b$} *3*
  using *assms*(*1*) *M-level assms*(*2*,*3*)
proof (*induct rule*: *cdcl*$_W$*-all-induct-lev2*)
  case (*propagate* C L) **note** *undef* = *this*(*3*) **and** T = *this*(*4*) **and** *conf* = *this*(*5*)
  have *propa*: *propagate* S (*cons-trail* (*Propagated* L (C + {#L#})) S)
    using *propagate-rule*[*OF* - *propagate.hyps*(*1*,*2*)] *propagate.hyps* **by** *auto*
  then have *no-dup'*: *no-dup* (*Propagated* L ((C + {#L#})) # *trail* S)
    by (*metis M-level cdcl*$_W$*-M-level-inv-decomp*(*2*) *marked-lit.sel*(*2*) *propagate'*
      r-into-rtranclp rtranclp-cdcl$_W$*-cp-consistent-inv trail-cons-trail undef*)

  let *?N* = *init-clss* S
  have *no-strange-atm* (*cons-trail* (*Propagated* L (C + {#L#})) S)
    using *alien cdcl*$_W$*.propagate cdcl*$_W$*-no-strange-atm-inv propa M-level* **by** *blast*
  then have *atm-of* ' *lits-of* (*Propagated* L ((C + {#L#})) # *trail* S)
    $\subseteq$ *atms-of-msu* (*init-clss* S)
    using *undef* **unfolding** *no-strange-atm-def* **by** *auto*
  then have *card* (*atm-of* ' *lits-of* (*Propagated* L ((C + {#L#})) # *trail* S))
    $\leq$ *card* (*atms-of-msu* (*init-clss* S))

by (*meson atms-of-ms-finite card-mono finite-set-mset*)
then have *length* (*Propagated L* ((*C* + {#*L*#})) # *trail S*) ≤ *card* (*atms-of-msu ?N*)
using *no-dup-length-eq-card-atm-of-lits-of no-dup′* **by** *fastforce*
then have *H*: *card* (*atms-of-msu* (*init-clss S*)) − *length* (*trail S*)
= *Suc* (*card* (*atms-of-msu* (*init-clss S*)) − *Suc* (*length* (*trail S*)))
by *simp*
show *?case* **using** *conf T undef* **by** (*auto simp*: *H*)
next
case (*decide L*) **note** *conf* = *this*(*1*) **and** *undef* = *this*(*2*) **and** *T* = *this*(*4*)
moreover
have *dec*: *decide S* (*cons-trail* (*Marked L* (*backtrack-lvl S* + *1*)) (*incr-lvl S*))
using *decide.intros decide.hyps* **by** *force*
then have $cdcl_W$:$cdcl_W$ *S* (*cons-trail* (*Marked L* (*backtrack-lvl S* + *1*)) (*incr-lvl S*))
using $cdcl_W$*.simps* **by** *blast*
moreover
have *lev*: $cdcl_W$*-M-level-inv* (*cons-trail* (*Marked L* (*backtrack-lvl S* + *1*)) (*incr-lvl S*))
using $cdcl_W$ *M-level* $cdcl_W$*-consistent-inv*[*OF* $cdcl_W$] **by** *auto*
then have *no-dup*: *no-dup* (*Marked L* (*backtrack-lvl S* + *1*) # *trail S*)
using *undef* **unfolding** $cdcl_W$*-M-level-inv-def* **by** *auto*
have *no-strange-atm* (*cons-trail* (*Marked L* (*backtrack-lvl S* + *1*)) (*incr-lvl S*))
using *M-level alien calculation*(*4*) $cdcl_W$*-no-strange-atm-inv* **by** *blast*
then have *length* (*Marked L* ((*backtrack-lvl S*) + *1*) # (*trail S*))
≤ *card* (*atms-of-msu* (*init-clss S*))
using *no-dup clauses-def undef*
length-model-le-vars[*of cons-trail* (*Marked L* (*backtrack-lvl S* + *1*)) (*incr-lvl S*)]
by *fastforce*
ultimately show *?case* **using** *conf* **by** *auto*
next
case (*skip L C′ M D*) **note** *tr* = *this*(*1*) **and** *conf* = *this*(*2*) **and** *T* = *this*(*5*)
show *?case* **using** *conf T* **unfolding** *clauses-def* **by** (*simp add*: *tr*)
next
case *conflict*
then show *?case* **by** *simp*
next
case *resolve*
then show *?case* **using** *finite* **unfolding** *clauses-def* **by** *simp*
next
case (*backtrack K i M1 M2 L D T*) **note** *decomp* = *this*(*1*) **and** *conf* = *this*(*3*) **and** *undef* = *this*(*6*)
and
T =*this*(*7*) **and** *lev* = *this*(*8*)
let *?S′* = *T*
have *bt*: *backtrack S ?S′*
using *backtrack.hyps backtrack.intros*[*of S - - - - D L K i*] **by** *auto*
have *D* + {#*L*#} ∉# *learned-clss S*
using *no-relearn conf bt* **by** *auto*
then have *card-T*:
card (*set-mset* ({#*D* + {#*L*#}#} + *learned-clss S*)) = *Suc* (*card* (*set-mset* (*learned-clss S*)))
by (*simp add*:)
have *distinct-*$cdcl_W$*-state ?S′*
using *bt M-level distinct-*$cdcl_W$*-state-inv no-dup other* **by** *blast*
moreover have ∀ *s*∈#*learned-clss ?S′*. ¬ *tautology s*
using *learned-clss-are-not-tautologies*[*OF* $cdcl_W$*.other*[*OF* $cdcl_W$*-o.bj*[*OF*
$cdcl_W$*-bj.backtrack*[*OF bt*]]]] *M-level no-taut confl* **by** *auto*
ultimately have *card* (*set-mset* (*learned-clss T*)) ≤ *3* ^ *card* (*atms-of-msu* (*learned-clss T*))
by (*auto simp*: *clauses-def learned-clss-less-upper-bound*)

```
    then have H: card (set-mset ({#D + {#L#}#} + learned-clss S))
      ≤ 3 ^ card (atms-of-msu ({#D + {#L#}#} + learned-clss S))
      using T undef decomp lev by (auto simp: cdcl_W-M-level-inv-decomp)
  moreover
    have atms-of-msu ({#D + {#L#}#} + learned-clss S) ⊆ atms-of-msu (init-clss S)
      using alien conf unfolding no-strange-atm-def by auto
    then have card-f: card (atms-of-msu ({#D + {#L#}#} + learned-clss S))
      ≤ card (atms-of-msu (init-clss S))
      by (meson atms-of-ms-finite card-mono finite-set-mset)
    then have (3::nat) ^ card (atms-of-msu ({#D + {#L#}#} + learned-clss S))
      ≤ 3 ^ card (atms-of-msu (init-clss S)) by simp
  ultimately have (3::nat) ^ card (atms-of-msu (init-clss S))
    ≥ card (set-mset ({#D + {#L#}#} + learned-clss S))
    using le-trans by blast
  then show ?case using decomp undef diff-less-mono2 card-T T lev
    by (auto simp: cdcl_W-M-level-inv-decomp)
next
  case restart
  then show ?case using alien by (auto simp: state-eq-def simp del: state-simp)
next
  case (forget C T)
  then have C ∈# learned-clss S and C ∉# learned-clss T
    by auto
  then show ?case using forget(9) by (simp add: mset-leD)
qed

lemma propagate-measure-decreasing:
  fixes S :: 'st
  assumes propagate S S' and cdcl_W-all-struct-inv S
  shows (cdcl_W-measure S', cdcl_W-measure S) ∈ lexn {(a, b). a < b} 3
  apply (rule cdcl_W-measure-decreasing)
  using assms(1) propagate apply blast
          using assms(1) apply (auto simp add: propagate.simps)[3]
       using assms(2) apply (auto simp add: cdcl_W-all-struct-inv-def)
  done

lemma conflict-measure-decreasing:
  fixes S :: 'st
  assumes conflict S S' and cdcl_W-all-struct-inv S
  shows (cdcl_W-measure S', cdcl_W-measure S) ∈ lexn {(a, b). a < b} 3
  apply (rule cdcl_W-measure-decreasing)
  using assms(1) conflict apply blast
           using assms(1) apply (auto simp add: propagate.simps)[3]
        using assms(2) apply (auto simp add: cdcl_W-all-struct-inv-def)
  done

lemma decide-measure-decreasing:
  fixes S :: 'st
  assumes decide S S' and cdcl_W-all-struct-inv S
  shows (cdcl_W-measure S', cdcl_W-measure S) ∈ lexn {(a, b). a < b} 3
  apply (rule cdcl_W-measure-decreasing)
  using assms(1) decide other apply blast
           using assms(1) apply (auto simp add: propagate.simps)[3]
        using assms(2) apply (auto simp add: cdcl_W-all-struct-inv-def)
  done
```

lemma *trans-le*:
  trans $\{(a, (b::nat)).\ a < b\}$
  unfolding *trans-def* **by** *auto*

lemma *cdcl$_W$-cp-measure-decreasing*:
  fixes S :: *'st*
  assumes *cdcl$_W$-cp S S'* **and** *cdcl$_W$-all-struct-inv S*
  shows (*cdcl$_W$-measure S'*, *cdcl$_W$-measure S*) $\in$ *lexn* $\{(a, b).\ a < b\}$ *3*
  using *assms*
proof *induction*
  case *conflict'*
  then show *?case* **using** *conflict-measure-decreasing* **by** *blast*
next
  case *propagate'*
  then show *?case* **using** *propagate-measure-decreasing* **by** *blast*
qed

lemma *tranclp-cdcl$_W$-cp-measure-decreasing*:
  fixes S :: *'st*
  assumes *cdcl$_W$-cp^{++} S S'* **and** *cdcl$_W$-all-struct-inv S*
  shows (*cdcl$_W$-measure S'*, *cdcl$_W$-measure S*) $\in$ *lexn* $\{(a, b).\ a < b\}$ *3*
  using *assms*
proof *induction*
  case *base*
  then show *?case* **using** *cdcl$_W$-cp-measure-decreasing* **by** *blast*
next
  case (*step T U*) **note** *st* = *this(1)* **and** *step* = *this(2)* **and** *IH* =*this(3)* **and** *inv* = *this(4)*
  then have (*cdcl$_W$-measure T*, *cdcl$_W$-measure S*) $\in$ *lexn* $\{a.\ case\ a\ of\ (a, b) \Rightarrow a < b\}$ *3* **by** *blast*

  moreover have (*cdcl$_W$-measure U*, *cdcl$_W$-measure T*) $\in$ *lexn* $\{a.\ case\ a\ of\ (a, b) \Rightarrow a < b\}$ *3*
    using *cdcl$_W$-cp-measure-decreasing[OF step] rtranclp-cdcl$_W$-all-struct-inv-inv inv*
    tranclp-cdcl$_W$-cp-tranclp-cdcl$_W$[OF st]
    unfolding *trans-def rtranclp-unfold*
    by *blast*
  ultimately show *?case* **using** *lexn-transI[OF trans-le]* **unfolding** *trans-def* **by** *blast*
qed

lemma *cdcl$_W$-stgy-step-decreasing*:
  fixes *R S T* :: *'st*
  assumes *cdcl$_W$-stgy S T* **and**
  cdcl$_W$-stgy** R S
  trail R = [] **and**
  cdcl$_W$-all-struct-inv R
  shows (*cdcl$_W$-measure T*, *cdcl$_W$-measure S*) $\in$ *lexn* $\{(a, b).\ a < b\}$ *3*
proof –
  have *cdcl$_W$-all-struct-inv S*
    using *assms*
    by (*metis rtranclp-unfold rtranclp-cdcl$_W$-all-struct-inv-inv tranclp-cdcl$_W$-stgy-tranclp-cdcl$_W$*)
  with *assms* **show** *?thesis*
    proof *induction*
      case (*conflict' V*) **note** *cp* = *this(1)* **and** *inv* = *this(5)*
      show *?case*
         using *tranclp-cdcl$_W$-cp-measure-decreasing[OF HOL.conjunct1[OF cp[unfolded full1-def]] inv]*
         .

```
    next
      case (other' T U) note st = this(1) and H= this(4,5,6,7) and cp = this(3)
      have cdcl_W-all-struct-inv T
        using cdcl_W-all-struct-inv-inv other other'.hyps(1) other'.prems(4) by blast
      from tranclp-cdcl_W-cp-measure-decreasing[OF - this]
      have le-or-eq: (cdcl_W-measure U, cdcl_W-measure T) ∈ lexn {a. case a of (a, b) ⇒ a < b} 3 ∨
        cdcl_W-measure U = cdcl_W-measure T
        using cp unfolding full-def rtranclp-unfold by blast
      moreover
        have cdcl_W-M-level-inv S
          using cdcl_W-all-struct-inv-def other'.prems(4) by blast
        with st have (cdcl_W-measure T, cdcl_W-measure S) ∈ lexn {a. case a of (a, b) ⇒ a < b} 3
        proof (induction rule:cdcl_W-o-induct-lev2)
          case (decide T)
          then show ?case using decide-measure-decreasing H by blast
        next
           case (backtrack K i M1 M2 L D T) note decomp = this(1) and undef = this(6) and T =
this(7)
          have bt: backtrack S T
            apply (rule backtrack-rule)
            using backtrack.hyps by auto
          then have no-relearn: ∀ T. conflicting S = Some T ⟶ T ∉# learned-clss S
            using cdcl_W-stgy-no-relearned-clause[of R S T] H
            unfolding cdcl_W-all-struct-inv-def clauses-def by auto
          have inv: cdcl_W-all-struct-inv S
            using ‹cdcl_W-all-struct-inv S› by blast
          show ?case
            apply (rule cdcl_W-measure-decreasing)
                    using bt cdcl_W-bj.backtrack cdcl_W-o.bj other apply simp
                   using bt T undef decomp inv unfolding cdcl_W-all-struct-inv-def
                   cdcl_W-M-level-inv-def apply auto[]
                  using bt T undef decomp inv unfolding cdcl_W-all-struct-inv-def
                   cdcl_W-M-level-inv-def apply auto[]
                 using bt no-relearn apply auto[]
                using inv unfolding cdcl_W-all-struct-inv-def apply simp
               using inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def apply simp
              using inv unfolding cdcl_W-all-struct-inv-def apply simp
             using inv unfolding cdcl_W-all-struct-inv-def apply simp
            using inv unfolding cdcl_W-all-struct-inv-def by simp
        next
          case skip
          then show ?case by force
        next
          case resolve
          then show ?case by force
        qed
      ultimately show ?case
        by (metis lexn-transI transD trans-le)
    qed
qed

lemma tranclp-cdcl_W-stgy-decreasing:
  fixes R S T :: 'st
  assumes cdcl_W-stgy^++ R S
  trail R = [] and
```

cdcl$_W$*-all-struct-inv R*
shows (*cdcl*$_W$*-measure S*, *cdcl*$_W$*-measure R*) ∈ *lexn* {(*a*, *b*). *a* < *b*} *3*
using *assms*
apply *induction*
 using *cdcl*$_W$*-stgy-step-decreasing*[*of R - R*] **apply** *blast*
using *cdcl*$_W$*-stgy-step-decreasing*[*of - - R*] *tranclp-into-rtranclp*[*of cdcl*$_W$*-stgy R*]
lexn-transI[*OF trans-le*, *of 3*] **unfolding** *trans-def* **by** *blast*

lemma *tranclp-cdcl*$_W$*-stgy-S0-decreasing*:
fixes *R S T* :: *'st*
assumes *pl*: *cdcl*$_W$*-stgy*$^{++}$ (*init-state N*) *S* **and**
no-dup: *distinct-mset-mset N*
shows (*cdcl*$_W$*-measure S*, *cdcl*$_W$*-measure* (*init-state N*)) ∈ *lexn* {(*a*, *b*). *a* < *b*} *3*
proof –
have *cdcl*$_W$*-all-struct-inv* (*init-state N*)
 using *no-dup* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
then show *?thesis* **using** *pl tranclp-cdcl*$_W$*-stgy-decreasing init-state-trail* **by** *blast*
qed

lemma *wf-tranclp-cdcl*$_W$*-stgy*:
wf {(*S*::*'st*, *init-state N*)| *S N*. *distinct-mset-mset N* ∧ *cdcl*$_W$*-stgy*$^{++}$ (*init-state N*) *S*}
apply (*rule wf-wf-if-measure'-notation2*[*of lexn* {(*a*, *b*). *a* < *b*} *3 - - cdcl*$_W$*-measure*])
 apply (*simp add*: *wf wf-lexn*)
using *tranclp-cdcl*$_W$*-stgy-S0-decreasing* **by** *blast*
end

end
theory *DPLL-CDCL-W-Implementation*
imports *Partial-Annotated-Clausal-Logic*
begin

18 Simple Implementation of the DPLL and CDCL

18.1 Common Rules

18.1.1 Propagation

The following theorem holds:

lemma *lits-of-unfold*[*iff*]:
(∀ *c* ∈ *set C*. –*c* ∈ *lits-of Ms*) ⟷ *Ms* ⊨*as CNot* (*mset C*)
unfolding *true-annots-def Ball-def true-annot-def CNot-def mem-set-multiset-eq* **by** *auto*

The right-hand version is written at a high-level, but only the left-hand side is executable.

definition *is-unit-clause* :: *'a literal list* ⇒ (*'a*, *'b*, *'c*) *marked-lit list* ⇒ *'a literal option*
where
is-unit-clause l M =
 (*case List.filter* (λ*a*. *atm-of a* ∉ *atm-of* ‘ *lits-of M*) *l of*
  a # [] ⇒ *if M* ⊨*as CNot* (*mset l* – {#*a*#}) *then Some a else None*
 | - ⇒ *None*)

definition *is-unit-clause-code* :: *'a literal list* ⇒ (*'a*, *'b*, *'c*) *marked-lit list*
⇒ *'a literal option* **where**
is-unit-clause-code l M =
 (*case List.filter* (λ*a*. *atm-of a* ∉ *atm-of* ‘ *lits-of M*) *l of*
  a # [] ⇒ *if* (∀ *c* ∈*set* (*remove1 a l*). –*c* ∈ *lits-of M*) *then Some a else None*

```
  | - ⇒ None)

lemma is-unit-clause-is-unit-clause-code[code]:
  is-unit-clause l M = is-unit-clause-code l M
proof –
  have 1: ⋀a. (∀ c∈set (remove1 a l). – c ∈ lits-of M) ⟷ M ⊨as CNot (mset l – {#a#})
    using lits-of-unfold[of remove1 - l, of - M] by simp
  thus ?thesis
    unfolding is-unit-clause-code-def is-unit-clause-def 1 by blast
qed

lemma is-unit-clause-some-undef:
  assumes is-unit-clause l M = Some a
  shows undefined-lit M a
proof –
  have (case [a←l . atm-of a ∉ atm-of ‘ lits-of M] of [] ⇒ None
          | [a] ⇒ if M ⊨as CNot (mset l – {#a#}) then Some a else None
          | a # ab # xa ⇒ Map.empty xa) = Some a
    using assms unfolding is-unit-clause-def .
  hence a ∈ set [a←l . atm-of a ∉ atm-of ‘ lits-of M]
    apply (cases [a←l . atm-of a ∉ atm-of ‘ lits-of M])
      apply simp
    apply (rename-tac aa list; case-tac list) by (auto split: split-if-asm)
  hence atm-of a ∉ atm-of ‘ lits-of M by auto
  thus ?thesis
    by (simp add: Marked-Propagated-in-iff-in-lits-of
      atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set )
qed

lemma is-unit-clause-some-CNot: is-unit-clause l M = Some a ⟹ M ⊨as CNot (mset l – {#a#})
  unfolding is-unit-clause-def
proof –
  assume (case [a←l . atm-of a ∉ atm-of ‘ lits-of M] of [] ⇒ None
          | [a] ⇒ if M ⊨as CNot (mset l – {#a#}) then Some a else None
          | a # ab # xa ⇒ Map.empty xa) = Some a
  thus ?thesis
    apply (cases [a←l . atm-of a ∉ atm-of ‘ lits-of M], simp)
      apply simp
    apply (rename-tac aa list, case-tac list) by (auto split: split-if-asm)
qed

lemma is-unit-clause-some-in: is-unit-clause l M = Some a ⟹ a ∈ set l
  unfolding is-unit-clause-def
proof –
  assume (case [a←l . atm-of a ∉ atm-of ‘ lits-of M] of [] ⇒ None
         | [a] ⇒ if M ⊨as CNot (mset l – {#a#}) then Some a else None
         | a # ab # xa ⇒ Map.empty xa) = Some a
  thus a ∈ set l
    by (cases [a←l . atm-of a ∉ atm-of ‘ lits-of M])
      (fastforce dest: filter-eq-ConsD split: split-if-asm  split: list.splits)+
qed

lemma is-unit-clause-nil[simp]: is-unit-clause [] M = None
  unfolding is-unit-clause-def by auto
```

18.1.2 Unit propagation for all clauses

Finding the first clause to propagate

```
fun find-first-unit-clause :: 'a literal list list ⇒ ('a, 'b, 'c) marked-lit list
  ⇒ ('a literal × 'a literal list) option  where
find-first-unit-clause (a # l) M =
  (case is-unit-clause a M of
    None ⇒ find-first-unit-clause l M
  | Some L ⇒ Some (L, a)) |
find-first-unit-clause [] - = None

lemma find-first-unit-clause-some:
  find-first-unit-clause l M = Some (a, c)
  ⟹ c ∈ set l ∧  M ⊨as CNot (mset c − {#a#}) ∧ undefined-lit M a ∧ a ∈ set c
  apply (induction l)
    apply simp
  by (auto split: option.splits dest: is-unit-clause-some-in is-unit-clause-some-CNot
        is-unit-clause-some-undef)

lemma propagate-is-unit-clause-not-None:
  assumes dist: distinct c and
  M: M ⊨as CNot (mset c − {#a#}) and
  undef: undefined-lit M a and
  ac: a ∈ set c
  shows is-unit-clause c M ≠ None
proof −
  have [a←c . atm-of a ∉ atm-of ` lits-of M] = [a]
    using assms
    proof (induction c)
      case Nil thus ?case by simp
    next
      case (Cons ac c)
      show ?case
        proof (cases a = ac)
          case True
          thus ?thesis using Cons
            by (auto simp del: lits-of-unfold
                 simp add: lits-of-unfold[symmetric] Marked-Propagated-in-iff-in-lits-of
                   atm-of-eq-atm-of atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
        next
          case False
          hence T: mset c + {#ac#} − {#a#} = mset c − {#a#} + {#ac#}
            by (auto simp add: multiset-eq-iff)
          show ?thesis using False Cons
            by (auto simp add: T atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
        qed
    qed
  thus ?thesis
    using M unfolding is-unit-clause-def by auto
qed

lemma find-first-unit-clause-none:
  distinct c ⟹ c ∈ set l ⟹  M ⊨as CNot (mset c − {#a#}) ⟹ undefined-lit M a ⟹ a ∈ set c
  ⟹ find-first-unit-clause l M ≠ None
  by (induction l)
  (auto split: option.split simp add: propagate-is-unit-clause-not-None)
```

18.1.3 Decide

```
fun find-first-unused-var :: 'a literal list list ⇒ 'a literal set ⇒ 'a literal option  where
find-first-unused-var (a # l) M =
  (case List.find (λlit. lit ∉ M ∧ −lit ∉ M) a of
    None ⇒ find-first-unused-var l M
  | Some a ⇒ Some a) |
find-first-unused-var [] - = None

lemma find-none[iff]:
  List.find (λlit. lit ∉ M ∧ −lit ∉ M) a = None ⟷  atm-of ‘ set a ⊆ atm-of ‘  M
  apply (induct a)
  using atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
    by (force simp add:  atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)+

lemma find-some: List.find (λlit. lit ∉ M ∧ −lit ∉ M) a = Some b ⟹ b ∈ set a ∧ b ∉ M ∧ −b ∉ M
  unfolding find-Some-iff by (metis nth-mem)

lemma find-first-unused-var-None[iff]:
  find-first-unused-var l M = None ⟷ (∀ a ∈ set l. atm-of ‘ set a ⊆ atm-of ‘  M)
  by (induct l)
     (auto split: option.splits dest!: find-some
       simp add: image-subset-iff atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)

lemma find-first-unused-var-Some-not-all-incl:
  assumes find-first-unused-var l M = Some c
  shows  ¬(∀ a ∈ set l. atm-of ‘ set a ⊆ atm-of ‘  M)
proof −
  have find-first-unused-var l M ≠ None
    using assms by (cases find-first-unused-var l M) auto
  thus ¬(∀ a ∈ set l. atm-of ‘ set a ⊆ atm-of ‘  M) by auto
qed

lemma find-first-unused-var-Some:
  find-first-unused-var l M = Some a ⟹ (∃ m ∈ set l. a ∈ set m ∧ a ∉ M ∧ −a ∉ M)
  by (induct l) (auto split: option.splits dest: find-some)

lemma find-first-unused-var-undefined:
  find-first-unused-var l (lits-of Ms) = Some a ⟹ undefined-lit Ms a
  using find-first-unused-var-Some[of l lits-of Ms a] Marked-Propagated-in-iff-in-lits-of
  by blast

end
theory DPLL-W-Implementation
imports DPLL-CDCL-W-Implementation DPLL-W ~~/src/HOL/Library/Code-Target-Numeral
begin
```

18.2 Simple Implementation of DPLL

18.2.1 Combining the propagate and decide: a DPLL step

```
definition DPLL-step :: int dpll_W-marked-lits × int literal list list
  ⇒ int dpll_W-marked-lits × int literal list list  where
DPLL-step = (λ(Ms, N).
(case find-first-unit-clause N Ms of
  Some (L, -) ⇒ (Propagated L () # Ms, N)
| - ⇒
  if ∃ C ∈ set N. (∀ c ∈ set C. −c ∈ lits-of Ms)
  then
    (case backtrack-split Ms of
      (-, L # M) ⇒ (Propagated (− (lit-of L)) () # M, N)
    | (-, -) ⇒ (Ms, N)
    )
  else
  (case find-first-unused-var N (lits-of Ms) of
      Some a ⇒ (Marked a () # Ms, N)
    | None ⇒ (Ms, N))))
```

Example of propagation:

```
value DPLL-step ([Marked (Neg 1) ()], [[Pos (1::int), Neg 2]])
```

We define the conversion function between the states as defined in *Prop-DPLL* (with multisets) and here (with lists).

```
abbreviation toS ≡ λ(Ms::(int, unit, unit) marked-lit list)
                    (N:: int literal list list). (Ms, mset (map mset N))
abbreviation toS' ≡ λ(Ms::(int, unit, unit) marked-lit list,
                        N:: int literal list list). (Ms, mset (map mset N))
```

Proof of correctness of *DPLL-step*

```
lemma DPLL-step-is-a-dpll_W-step:
  assumes step: (Ms', N') = DPLL-step (Ms, N)
  and neq: (Ms, N) ≠ (Ms', N')
  shows dpll_W (toS Ms N) (toS Ms' N')
proof −
  let ?S = (Ms, mset (map mset N))
  { fix L E
    assume unit: find-first-unit-clause N Ms = Some (L, E)
    hence Ms'N: (Ms', N') = (Propagated L () # Ms, N)
      using step unfolding DPLL-step-def by auto
    obtain C where
      C: C ∈ set N and
      Ms: Ms ⊨as CNot (mset C − {#L#}) and
      undef: undefined-lit Ms L and
      L ∈ set C using find-first-unit-clause-some[OF unit] by metis
    have dpll_W (Ms, mset (map mset N))
        (Propagated L () # fst (Ms, mset (map mset N)), snd (Ms, mset (map mset N)))
      apply (rule dpll_W.propagate)
      using Ms undef C ‹L ∈ set C› unfolding mem-set-multiset-eq by (auto simp add: C)
    hence ?thesis using Ms'N by auto
  }
  moreover
  { assume unit: find-first-unit-clause N Ms = None
    assume exC: ∃ C ∈ set N. Ms ⊨as CNot (mset C)
    then obtain C where C: C ∈ set N and Ms: Ms ⊨as CNot (mset C) by auto
    then obtain L M M' where bt: backtrack-split Ms = (M', L # M)
      using step exC neq unfolding DPLL-step-def prod.case unit
      by (cases backtrack-split Ms, rename-tac b, case-tac b) auto
    hence is-marked L using backtrack-split-snd-hd-marked[of Ms] by auto
    have 1: dpll_W (Ms, mset (map mset N))
```

```
          (Propagated (− lit-of L) () # M, snd (Ms, mset (map mset N)))
    apply (rule dpll_W.backtrack[OF - ‹is-marked L›, of ])
    using C Ms bt by auto
  moreover have (Ms', N') = (Propagated (− (lit-of L)) () # M, N)
    using step exC unfolding DPLL-step-def bt prod.case unit by auto
  ultimately have ?thesis by auto
 }
 moreover
 { assume unit: find-first-unit-clause N Ms = None
  assume exC: ¬ (∃ C ∈ set N. Ms ⊨as CNot (mset C))
  obtain L where unused: find-first-unused-var N (lits-of Ms) = Some L
    using step exC neq unfolding DPLL-step-def prod.case unit
    by (cases find-first-unused-var N (lits-of Ms)) auto
  have dpll_W (Ms, mset (map mset N))
         (Marked L () # fst (Ms, mset (map mset N)), snd (Ms, mset (map mset N)))
    apply (rule dpll_W.decided[of ?S L])
    using find-first-unused-var-Some[OF unused]
    by (auto simp add: Marked-Propagated-in-iff-in-lits-of atms-of-ms-def)
  moreover have (Ms', N') = (Marked L () # Ms, N)
    using step exC unfolding DPLL-step-def unused prod.case unit by auto
  ultimately have ?thesis by auto
 }
 ultimately show ?thesis by (cases find-first-unit-clause N Ms) auto
qed

lemma DPLL-step-stuck-final-state:
 assumes step: (Ms, N) = DPLL-step (Ms, N)
 shows conclusive-dpll_W-state (toS Ms N)
proof −
 have unit: find-first-unit-clause N Ms = None
  using step unfolding DPLL-step-def by (auto split:option.splits)

 { assume n: ∃ C ∈ set N. Ms ⊨as CNot (mset C)
  hence Ms: (Ms, N) = (case backtrack-split Ms of (x, []) ⇒ (Ms, N)
                  | (x, L # M) ⇒ (Propagated (− lit-of L) () # M, N))
    using step unfolding DPLL-step-def by (simp add:unit)

 have snd (backtrack-split Ms) = []
  proof (cases backtrack-split Ms, cases snd (backtrack-split Ms))
    fix a b
    assume backtrack-split Ms = (a, b) and snd (backtrack-split Ms) = []
    thus snd (backtrack-split Ms) = [] by blast
  next
    fix a b aa list
    assume
      bt: backtrack-split Ms = (a, b) and
      bt': snd (backtrack-split Ms) = aa # list
    hence Ms: Ms = Propagated (− lit-of aa) () # list using Ms by auto
    have is-marked aa using backtrack-split-snd-hd-marked[of Ms] bt bt' by auto
    moreover have fst (backtrack-split Ms) @ aa # list = Ms
      using backtrack-split-list-eq[of Ms] bt' by auto
    ultimately have False unfolding Ms by auto
    thus snd (backtrack-split Ms) = [] by blast
  qed
```

hence *?thesis*
using *n backtrack-snd-empty-not-marked*[*of Ms*] **unfolding** *conclusive-dpll$_W$-state-def*
by (*cases backtrack-split Ms*) *auto*
}
moreover {
assume *n*: $\neg$ ($\exists$ *C* $\in$ *set N*. *Ms* $\models$*as CNot* (*mset C*))
hence *find-first-unused-var N* (*lits-of Ms*) = *None*
using *step* **unfolding** *DPLL-step-def* **by** (*simp add*: *unit split*: *option.splits*)
hence *a*: $\forall$ *a* $\in$ *set N*. *atm-of* ‘ *set a* $\subseteq$ *atm-of* ‘ (*lits-of Ms*) **by** *auto*
have *fst* (*toS Ms N*) $\models$*asm snd* (*toS Ms N*) **unfolding** *true-annots-def CNot-def Ball-def*
proof *clarify*
fix *x*
assume *x*: *x* $\in$ *set-mset* (*clauses* (*toS Ms N*))
hence $\neg$*Ms* $\models$*as CNot x* **using** *n* **unfolding** *true-annots-def CNot-def Ball-def* **by** *auto*
moreover have *total-over-m* (*lits-of Ms*) {*x*}
using *a x image-iff in-mono atms-of-s-def*
unfolding *total-over-m-def total-over-set-def lits-of-def* **by** *fastforce*
ultimately show *fst* (*toS Ms N*) $\models$*a x*
using *total-not-CNot*[*of lits-of Ms x*] **by** (*simp add*: *true-annot-def true-annots-true-cls*)
qed
hence *?thesis* **unfolding** *conclusive-dpll$_W$-state-def* **by** *blast*
}
ultimately show *?thesis* **by** *blast*
qed

18.2.2 Adding invariants

Invariant tested in the function **function** *DPLL-ci* :: *int dpll$_W$-marked-lits* $\Rightarrow$ *int literal list list*
$\Rightarrow$ *int dpll$_W$-marked-lits* $\times$ *int literal list list* **where**
DPLL-ci Ms N =
(*if* $\neg$*dpll$_W$-all-inv* (*Ms*, *mset* (*map mset N*))
then (*Ms*, *N*)
else
let (*Ms'*, *N'*) = *DPLL-step* (*Ms*, *N*) *in*
if (*Ms'*, *N'*) = (*Ms*, *N*) *then* (*Ms*, *N*) *else DPLL-ci Ms' N*)
by *fast+*
termination
proof (*relation* {(*S'*, *S*). (*toS' S'*, *toS' S*) $\in$ {(*S'*, *S*). *dpll$_W$-all-inv S* $\wedge$ *dpll$_W$ S S'*}})
show *wf* {(*S'*, *S*).(*toS' S'*, *toS' S*) $\in$ {(*S'*, *S*). *dpll$_W$-all-inv S* $\wedge$ *dpll$_W$ S S'*}}
using *wf-if-measure-f*[*OF dpll$_W$-wf*, *of toS'*] **by** *auto*
next
fix *Ms* :: *int dpll$_W$-marked-lits* **and** *N x xa y*
assume$\neg$ $\neg$ *dpll$_W$-all-inv* (*toS Ms N*)
and *step*: *x* = *DPLL-step* (*Ms*, *N*)
and *x*: (*xa*, *y*) = *x*
and (*xa*, *y*) $\neq$ (*Ms*, *N*)
thus ((*xa*, *N*), *Ms*, *N*) $\in$ {(*S'*, *S*). (*toS' S'*, *toS' S*) $\in$ {(*S'*, *S*). *dpll$_W$-all-inv S* $\wedge$ *dpll$_W$ S S'*}}
using *DPLL-step-is-a-dpll$_W$-step dpll$_W$-same-clauses split-conv* **by** *fastforce*
qed

No invariant tested **function** (*domintros*) *DPLL-part*:: *int dpll$_W$-marked-lits* $\Rightarrow$ *int literal list list* $\Rightarrow$
int dpll$_W$-marked-lits $\times$ *int literal list list* **where**
DPLL-part Ms N =
(*let* (*Ms'*, *N'*) = *DPLL-step* (*Ms*, *N*) *in*

if $(Ms', N') = (Ms, N)$ *then* (Ms, N) *else DPLL-part* Ms' $N)$
by *fast+*

lemma *snd-DPLL-step*[*simp*]:
snd (*DPLL-step* (*Ms*, *N*)) = *N*
unfolding *DPLL-step-def* **by** (*auto split*: *split-if option.splits prod.splits list.splits*)

lemma *dpll*$_W$*-all-inv-implieS-2-eq3-and-dom*:
assumes *dpll*$_W$*-all-inv* (*Ms*, *mset* (*map mset N*))
shows *DPLL-ci Ms N* = *DPLL-part Ms N* ∧ *DPLL-part-dom* (*Ms*, *N*)
using *assms*
proof (*induct rule*: *DPLL-ci.induct*)
case (*1 Ms N*)
have *snd* (*DPLL-step* (*Ms*, *N*)) = *N* **by** *auto*
then obtain *Ms'* **where** *Ms'*: *DPLL-step* (*Ms*, *N*) = (*Ms'*, *N*) **by** (*cases DPLL-step* (*Ms*, *N*)) *auto*
have *inv'*: *dpll*$_W$*-all-inv* (*toS Ms' N*) **by** (*metis* (*mono-tags*) *1.prems DPLL-step-is-a-dpll*$_W$*-step*
Ms' dpll$_W$*-all-inv old.prod.inject*)
{ assume (*Ms'*, *N*) ≠ (*Ms*, *N*)
hence *DPLL-ci Ms' N* = *DPLL-part Ms' N* ∧ *DPLL-part-dom* (*Ms'*, *N*) **using** *1*(*1*)[*of - Ms' N*]
Ms'
1(*2*) *inv'* **by** *auto*
hence *DPLL-part-dom* (*Ms*, *N*) **using** *DPLL-part.domintros Ms'* **by** *fastforce*
moreover have *DPLL-ci Ms N* = *DPLL-part Ms N* **using** *1.prems DPLL-part.psimps Ms'*
‹*DPLL-ci Ms' N* = *DPLL-part Ms' N* ∧ *DPLL-part-dom* (*Ms'*, *N*)› ‹*DPLL-part-dom* (*Ms*, *N*)› **by**
auto
ultimately have *?case* **by** *blast*
}
moreover {
assume (*Ms'*, *N*) = (*Ms*, *N*)
hence *?case* **using** *DPLL-part.domintros DPLL-part.psimps Ms'* **by** *fastforce*
}
ultimately show *?case* **by** *blast*
qed

lemma *DPLL-ci-dpll*$_W$*-rtranclp*:
assumes *DPLL-ci Ms N* = (*Ms'*, *N'*)
shows *dpll*$_W$** (*toS Ms N*) (*toS Ms' N*)
using *assms*
proof (*induct Ms N arbitrary*: *Ms' N' rule*: *DPLL-ci.induct*)
case (*1 Ms N Ms' N'*) **note** *IH* = *this*(*1*) **and** *step* = *this*(*2*)
obtain S_1 S_2 **where** *S*: (S_1, S_2) = *DPLL-step* (*Ms*, *N*) **by** (*cases DPLL-step* (*Ms*, *N*)) *auto*

{ assume ¬*dpll*$_W$*-all-inv* (*toS Ms N*)
hence (*Ms*, *N*) = (*Ms'*, *N*) **using** *step* **by** *auto*
hence *?case* **by** *auto*
}
moreover
{ assume *dpll*$_W$*-all-inv* (*toS Ms N*)
and (S_1, S_2) = (*Ms*, *N*)
hence *?case* **using** *S step* **by** *auto*
}
moreover
{ assume *dpll*$_W$*-all-inv* (*toS Ms N*)
and (S_1, S_2) ≠ (*Ms*, *N*)
moreover obtain $S_1{}'$ $S_2{}'$ **where** *DPLL-ci* S_1 *N* = ($S_1{}'$, $S_2{}'$) **by** (*cases DPLL-ci* S_1 *N*) *auto*

```
    moreover have DPLL-ci Ms N = DPLL-ci S_1 N using DPLL-ci.simps[of Ms N] calculation
      proof -
        have (case (S_1, S_2) of (ms, lss) ⇒
          if (ms, lss) = (Ms, N) then (Ms, N) else DPLL-ci ms N) = DPLL-ci Ms N
          using S DPLL-ci.simps[of Ms N] calculation by presburger
        hence (if (S_1, S_2) = (Ms, N) then (Ms, N) else DPLL-ci S_1 N) = DPLL-ci Ms N
          by fastforce
        thus ?thesis
          using calculation(2) by presburger
      qed
    ultimately have dpll_W^** (toS S_1' N) (toS Ms' N) using IH[of (S_1, S_2) S_1 S_2] S step by simp

    moreover have dpll_W (toS Ms N) (toS S_1 N)
      by (metis DPLL-step-is-a-dpll_W-step S ‹(S_1, S_2) ≠ (Ms, N)› prod.sel(2) snd-DPLL-step)
    ultimately have ?case by (metis (mono-tags, hide-lams) IH S ‹(S_1, S_2) ≠ (Ms, N)›
      ‹DPLL-ci Ms N = DPLL-ci S_1 N› ‹dpll_W-all-inv (toS Ms N)› converse-rtranclp-into-rtranclp
      local.step)
  }
  ultimately show ?case by blast
qed

lemma dpll_W-all-inv-dpll_W-tranclp-irrefl:
  assumes dpll_W-all-inv (Ms, N)
  and dpll_W^++ (Ms, N) (Ms, N)
  shows False
proof -
  have 1: wf {(S', S). dpll_W-all-inv S ∧ dpll_W^++ S S'} using dpll_W-wf-tranclp by auto
  have ((Ms, N), (Ms, N)) ∈ {(S', S). dpll_W-all-inv S ∧ dpll_W^++ S S'} using assms by auto
  thus False using wf-not-refl[OF 1] by blast
qed

lemma DPLL-ci-final-state:
  assumes step: DPLL-ci Ms N = (Ms, N)
  and inv: dpll_W-all-inv (toS Ms N)
  shows conclusive-dpll_W-state (toS Ms N)
proof -
  have st: dpll_W^** (toS Ms N) (toS Ms N) using DPLL-ci-dpll_W-rtranclp[OF step] .
  have DPLL-step (Ms, N) = (Ms, N)
    proof (rule ccontr)
      obtain Ms' N' where Ms'N: (Ms', N') = DPLL-step (Ms, N)
        by (cases DPLL-step (Ms, N)) auto
      assume ¬ ?thesis
      hence DPLL-ci Ms' N = (Ms, N) using step inv st Ms'N[symmetric] by fastforce
      hence dpll_W^++ (toS Ms N) (toS Ms N)
       by (metis DPLL-ci-dpll_W-rtranclp DPLL-step-is-a-dpll_W-step Ms'N ‹DPLL-step (Ms, N) ≠ (Ms,
N)›
          prod.sel(2) rtranclp-into-tranclp2 snd-DPLL-step)
      thus False using dpll_W-all-inv-dpll_W-tranclp-irrefl inv by auto
    qed
  thus ?thesis using DPLL-step-stuck-final-state[of Ms N] by simp
qed

lemma DPLL-step-obtains:
  obtains Ms' where (Ms', N) = DPLL-step (Ms, N)
  unfolding DPLL-step-def by (metis (no-types, lifting) DPLL-step-def prod.collapse snd-DPLL-step)
```

```
lemma DPLL-ci-obtains:
  obtains Ms' where (Ms', N) = DPLL-ci Ms N
proof (induct rule: DPLL-ci.induct)
  case (1 Ms N) note IH = this(1) and that = this(2)
  obtain S where SN: (S, N) = DPLL-step (Ms, N) using DPLL-step-obtains by metis
  { assume ¬ dpll_W-all-inv (toS Ms N)
    hence ?case using that by auto
  }
  moreover {
    assume n: (S, N) ≠ (Ms, N)
    and inv: dpll_W-all-inv (toS Ms N)
    have ∃ ms. DPLL-step (Ms, N) = (ms, N)
      by (metis ‹⋀thesisa. (⋀S. (S, N) = DPLL-step (Ms, N) ⟹ thesisa) ⟹ thesisa›)
    hence ?thesis
      using IH that by fastforce
  }
  moreover {
    assume n: (S, N) = (Ms, N)
    hence ?case using SN that by fastforce
 }
  ultimately show ?case by blast
qed


lemma DPLL-ci-no-more-step:
  assumes step: DPLL-ci Ms N = (Ms', N')
  shows DPLL-ci Ms' N' = (Ms', N')
  using assms
proof (induct arbitrary: Ms' N' rule: DPLL-ci.induct)
  case (1 Ms N Ms' N') note IH = this(1) and step = this(2)
  obtain S_1 where S: (S_1, N) = DPLL-step (Ms, N) using DPLL-step-obtains by auto
  { assume ¬dpll_W-all-inv (toS Ms N)
    hence ?case using step by auto
  }
  moreover {
    assume dpll_W-all-inv (toS Ms N)
    and (S_1, N) = (Ms, N)
    hence ?case using S step by auto
  }
  moreover
  { assume inv: dpll_W-all-inv (toS Ms N)
    assume n: (S_1, N) ≠ (Ms, N)
    obtain S_1' where SS: (S_1', N) = DPLL-ci S_1 N using DPLL-ci-obtains by blast
    moreover have DPLL-ci Ms N = DPLL-ci S_1 N
      proof –
        have (case (S_1, N) of (ms, lss) ⇒ if (ms, lss) = (Ms, N) then (Ms, N) else DPLL-ci ms N)
          = DPLL-ci Ms N
          using S DPLL-ci.simps[of Ms N] calculation inv by presburger
        hence (if (S_1, N) = (Ms, N) then (Ms, N) else DPLL-ci S_1 N) = DPLL-ci Ms N
          by fastforce
        thus ?thesis
          using calculation n by presburger
      qed
    moreover
```

    have *DPLL-ci* $S_1{}'$ *N* = ($S_1{}'$, *N*) **using** *step IH*[*OF - - S n SS*[*symmetric*]] *inv* **by** *blast*
  ultimately have *?case* **using** *step* **by** *fastforce*
 }
 ultimately show *?case* **by** *blast*
qed

lemma *DPLL-part-dpll*$_W$*-all-inv-final*:
 fixes *M Ms'*:: (*int*, *unit*, *unit*) *marked-lit list* **and**
  N :: *int literal list list*
 assumes *inv*: *dpll*$_W$*-all-inv* (*Ms*, *mset* (*map mset N*))
 and *MsN*: *DPLL-part Ms N* = (*Ms'*, *N*)
 shows *conclusive-dpll*$_W$*-state* (*toS Ms' N*) ∧ *dpll*$_W$** (*toS Ms N*) (*toS Ms' N*)
proof −
 have *2*: *DPLL-ci Ms N* = *DPLL-part Ms N* **using** *inv dpll*$_W$*-all-inv-implieS-2-eq3-and-dom* **by** *blast*
 hence *star*: *dpll*$_W$** (*toS Ms N*) (*toS Ms' N*) **unfolding** *MsN* **using** *DPLL-ci-dpll*$_W$*-rtranclp* **by** *blast*
 hence *inv'*: *dpll*$_W$*-all-inv* (*toS Ms' N*) **using** *inv rtranclp-dpll*$_W$*-all-inv* **by** *blast*
 show *?thesis* **using** *star DPLL-ci-final-state*[*OF DPLL-ci-no-more-step inv'*] *2* **unfolding** *MsN* **by** *blast*
qed

Embedding the invariant into the type

Defining the type **typedef** *dpll*$_W$*-state* =
  {(*M*::(*int*, *unit*, *unit*) *marked-lit list*, *N*::*int literal list list*).
    dpll$_W$*-all-inv* (*toS M N*)}
 morphisms *rough-state-of state-of*
proof
  show ([],[]) ∈ {(*M*, *N*). *dpll*$_W$*-all-inv* (*toS M N*)} **by** (*auto simp add*: *dpll*$_W$*-all-inv-def*)
qed

lemma
 DPLL-part-dom ([], *N*)
 using *assms dpll*$_W$*-all-inv-implieS-2-eq3-and-dom*[*of* [] *N*] **by** (*simp add*: *dpll*$_W$*-all-inv-def*)

Some type classes **instantiation** *dpll*$_W$*-state* :: *equal*
begin
definition *equal-dpll*$_W$*-state* :: *dpll*$_W$*-state* ⇒ *dpll*$_W$*-state* ⇒ *bool* **where**
 equal-dpll$_W$*-state S S'* = (*rough-state-of S* = *rough-state-of S'*)
instance
 by *standard* (*simp add*: *rough-state-of-inject equal-dpll*$_W$*-state-def*)
end

DPLL **definition** *DPLL-step'* :: *dpll*$_W$*-state* ⇒ *dpll*$_W$*-state* **where**
 DPLL-step' S = *state-of* (*DPLL-step* (*rough-state-of S*))

declare *rough-state-of-inverse*[*simp*]

lemma *DPLL-step-dpll*$_W$*-conc-inv*:
 DPLL-step (*rough-state-of S*) ∈ {(*M*, *N*). *dpll*$_W$*-all-inv* (*toS M N*)}
 by (*smt DPLL-ci.simps DPLL-ci-dpll*$_W$*-rtranclp case-prodE case-prodI2 rough-state-of*
  mem-Collect-eq old.prod.case prod.sel(*2*) *rtranclp-dpll*$_W$*-all-inv snd-DPLL-step*)

lemma *rough-state-of-DPLL-step'-DPLL-step*[*simp*]:

```
  rough-state-of (DPLL-step' S) = DPLL-step (rough-state-of S)
  using DPLL-step-dpll_W-conc-inv DPLL-step'-def state-of-inverse by auto

function DPLL-tot:: dpll_W-state ⇒ dpll_W-state where
DPLL-tot S =
  (let S' = DPLL-step' S in
   if S' = S then S else DPLL-tot S')
  by fast+
termination
proof (relation {(T', T).
     (rough-state-of T', rough-state-of T)
        ∈ {(S', S). (toS' S', toS' S)
              ∈ {(S', S). dpll_W-all-inv S ∧ dpll_W S S'}}})
  show wf {(b, a).
          (rough-state-of b, rough-state-of a)
            ∈ {(b, a). (toS' b, toS' a)
              ∈ {(b, a). dpll_W-all-inv a ∧ dpll_W a b}}}
    using wf-if-measure-f[OF wf-if-measure-f[OF dpll_W-wf, of toS'], of rough-state-of] .
next
  fix S x
  assume x: x = DPLL-step' S
  and x ≠ S
  have dpll_W-all-inv (case rough-state-of S of (Ms, N) ⇒ (Ms, mset (map mset N)))
    by (metis (no-types, lifting) case-prodE mem-Collect-eq old.prod.case rough-state-of)
  moreover have dpll_W (case rough-state-of S of (Ms, N) ⇒ (Ms, mset (map mset N)))
                    (case rough-state-of (DPLL-step' S) of (Ms, N) ⇒ (Ms, mset (map mset N)))
    proof –
      obtain Ms N where Ms: (Ms, N) = rough-state-of S by (cases rough-state-of S) auto
      have dpll_W-all-inv (toS' (Ms, N)) using calculation unfolding Ms by blast
      moreover obtain Ms' N' where Ms': (Ms', N') = rough-state-of (DPLL-step' S)
        by (cases rough-state-of (DPLL-step' S)) auto
      ultimately have dpll_W-all-inv (toS' (Ms', N')) unfolding Ms'
        by (metis (no-types, lifting) case-prod-unfold mem-Collect-eq rough-state-of)

      have dpll_W (toS Ms N) (toS Ms' N')
        apply (rule DPLL-step-is-a-dpll_W-step[of Ms' N' Ms N])
        unfolding Ms Ms' using ‹x ≠ S› rough-state-of-inject x by fastforce+
      thus ?thesis unfolding Ms[symmetric] Ms'[symmetric] by auto
    qed
  ultimately show (x, S) ∈ {(T', T). (rough-state-of T', rough-state-of T)
    ∈ {(S', S). (toS' S', toS' S) ∈ {(S', S). dpll_W-all-inv S ∧ dpll_W S S'}}}
    by (auto simp add: x)
qed

lemma [code]:
DPLL-tot S =
  (let S' = DPLL-step' S in
   if S' = S then S else DPLL-tot S') by auto

lemma DPLL-tot-DPLL-step-DPLL-tot[simp]: DPLL-tot (DPLL-step' S) = DPLL-tot S
  apply (cases DPLL-step' S = S)
  apply simp
  unfolding DPLL-tot.simps[of S] by (simp del: DPLL-tot.simps)

lemma DOPLL-step'-DPLL-tot[simp]:
  DPLL-step' (DPLL-tot S) = DPLL-tot S
  by (rule  DPLL-tot.induct[of λS. DPLL-step' (DPLL-tot S) = DPLL-tot S S])
    (metis (full-types) DPLL-tot.simps)


lemma DPLL-tot-final-state:
  assumes DPLL-tot S = S
  shows conclusive-dpll_W-state (toS' (rough-state-of S))
proof –
  have DPLL-step' S =  S using assms[symmetric] DOPLL-step'-DPLL-tot by metis
  hence DPLL-step (rough-state-of S) =  (rough-state-of S)
    unfolding DPLL-step'-def using DPLL-step-dpll_W-conc-inv rough-state-of-inverse
    by (metis rough-state-of-DPLL-step'-DPLL-step)
  thus ?thesis
    by (metis (mono-tags, lifting) DPLL-step-stuck-final-state old.prod.exhaust split-conv)
qed

lemma DPLL-tot-star:
  assumes rough-state-of (DPLL-tot S) = S'
  shows dpll_W** (toS' (rough-state-of S)) (toS' S')
  using assms
proof (induction arbitrary: S' rule: DPLL-tot.induct)
  case (1 S S')
  let ?x = DPLL-step' S
  { assume ?x = S
    then have ?case using 1(2) by simp
  }
  moreover {
    assume S: ?x ≠ S
    have ?case
      apply (cases DPLL-step' S  = S)
        using S apply blast
      by (smt 1.IH 1.prems DPLL-step-is-a-dpll_W-step DPLL-tot.simps case-prodE2
        rough-state-of-DPLL-step'-DPLL-step rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl
        rtranclp-idemp split-conv)
  }
  ultimately show ?case by auto
qed

lemma rough-state-of-rough-state-of-nil[simp]:
  rough-state-of (state-of ([], N)) = ([], N)
  apply (rule DPLL-W-Implementation.dpll_W-state.state-of-inverse)
  unfolding dpll_W-all-inv-def by auto
```

Theorem of correctness

```
lemma DPLL-tot-correct:
  assumes rough-state-of (DPLL-tot (state-of (([], N)))) = (M, N')
  and (M', N'') =  toS' (M, N')
  shows M' ⊨asm N'' ⟷ satisfiable (set-mset N'')
proof –
  have dpll_W** (toS' ([], N)) (toS' (M, N')) using DPLL-tot-star[OF assms(1)] by auto
  moreover have conclusive-dpll_W-state (toS' (M, N'))
    using DPLL-tot-final-state by (metis (mono-tags, lifting) DOPLL-step'-DPLL-tot DPLL-tot.simps
      assms(1))
```

ultimately show *?thesis* **using** *dpll*$_W$*-conclusive-state-correct* **by** (*smt DPLL-ci.simps*
DPLL-ci-dpll$_W$*-rtranclp assms*(*2*) *dpll*$_W$*-all-inv-def prod.case prod.sel*(*1*) *prod.sel*(*2*)
rtranclp-dpll$_W$*-inv*(*3*) *rtranclp-dpll*$_W$*-inv-starting-from-0*)
qed

18.2.3 Code export

A conversion to *DPLL-W-Implementation.dpll*$_W$*-state* **definition** *Con* :: (*int*, *unit*, *unit*) *marked-lit list* × *int literal list list*
⇒ *dpll*$_W$*-state* **where**
Con xs = *state-of* (*if dpll*$_W$*-all-inv* (*toS* (*fst xs*) (*snd xs*)) *then xs else* ([], []))
lemma [*code abstype*]:
Con (*rough-state-of S*) = *S*
using *rough-state-of*[*of S*] **unfolding** *Con-def* **by** *auto*

declare *rough-state-of-DPLL-step'-DPLL-step*[*code abstract*]

lemma *Con-DPLL-step-rough-state-of-state-of*[*simp*]:
Con (*DPLL-step* (*rough-state-of s*)) = *state-of* (*DPLL-step* (*rough-state-of s*))
unfolding *Con-def* **by** (*metis* (*mono-tags*, *lifting*) *DPLL-step-dpll*$_W$*-conc-inv mem-Collect-eq prod.case-eq-if*)

A slightly different version of *DPLL-tot* where the returned boolean indicates the result.

definition *DPLL-tot-rep* **where**
DPLL-tot-rep S =
(*let* (*M*, *N*) = (*rough-state-of* (*DPLL-tot S*)) *in* (∀ *A* ∈ *set N*. (∃ *a*∈*set A*. *a* ∈ *lits-of* (*M*)), *M*))

One version of the generated SML code is here, but not included in the generated document. The only differences are:

- export *'a literal* from the SML Module *Clausal-Logic*;
- export the constructor *Con* from *DPLL-W-Implementation*;
- export the *int* constructor from *Arith*.

  All these allows to test on the code on some examples.

end
theory *CDCL-W-Implementation*
imports *DPLL-CDCL-W-Implementation CDCL-W-Termination*
begin

notation *image-mset* (**infixr** *'# 90*)

type-synonym *'a cdcl*$_W$*-mark* = *'a clause*
type-synonym *cdcl*$_W$*-marked-level* = *nat*

type-synonym *'v cdcl*$_W$*-marked-lit* = (*'v*, *cdcl*$_W$*-marked-level*, *'v cdcl*$_W$*-mark*) *marked-lit*
type-synonym *'v cdcl*$_W$*-marked-lits* = (*'v*, *cdcl*$_W$*-marked-level*, *'v cdcl*$_W$*-mark*) *marked-lits*
type-synonym *'v cdcl*$_W$*-state* =
'v cdcl$_W$*-marked-lits* × *'v clauses* × *'v clauses* × *nat* × *'v clause option*

abbreviation *trail* :: *'a* × *'b* × *'c* × *'d* × *'e* ⇒ *'a* **where**
trail ≡ (λ(*M*, -). *M*)

abbreviation *cons-trail* :: $'a \Rightarrow 'a$ *list* $\times$ $'b \times 'c \times 'd \times 'e \Rightarrow 'a$ *list* $\times$ $'b \times 'c \times 'd \times 'e$
  where
cons-trail $\equiv$ (λL (M, S). ($L\#M$, S))

abbreviation *tl-trail* :: $'a$ *list* $\times$ $'b \times 'c \times 'd \times 'e \Rightarrow 'a$ *list* $\times$ $'b \times 'c \times 'd \times 'e$ **where**
tl-trail $\equiv$ (λ(M, S). (*tl* M, S))

abbreviation *clss* :: $'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'b$ **where**
clss $\equiv$ λ(M, N, -). N

abbreviation *learned-clss* :: $'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'c$ **where**
learned-clss $\equiv$ λ(M, N, U, -). U

abbreviation *backtrack-lvl* :: $'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'd$ **where**
backtrack-lvl $\equiv$ λ(M, N, U, k, -). k

abbreviation *update-backtrack-lvl* :: $'d \Rightarrow 'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'a \times 'b \times 'c \times 'd \times 'e$
  where
update-backtrack-lvl $\equiv$ λk (M, N, U, -, S). (M, N, U, k, S)

abbreviation *conflicting* :: $'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'e$ **where**
conflicting $\equiv$ λ(M, N, U, k, D). D

abbreviation *update-conflicting* :: $'e \Rightarrow 'a \times 'b \times 'c \times 'd \times 'e \Rightarrow 'a \times 'b \times 'c \times 'd \times 'e$
  where
update-conflicting $\equiv$ λS (M, N, U, k, -). (M, N, U, k, S)

abbreviation *S0-cdcl*$_W$ N $\equiv$ (([], N, {#}, *0*, *None*):: $'v$ *cdcl*$_W$*-state*)

abbreviation *add-learned-cls* **where**
add-learned-cls $\equiv$ λC (M, N, U, S). (M, N, {#C#} + U, S)

abbreviation *remove-cls* **where**
remove-cls $\equiv$ λC (M, N, U, S). (M, *remove-mset* C N, *remove-mset* C U, S)

lemma *trail-conv*: *trail* (M, N, U, k, D) = M **and**
  clauses-conv: *clss* (M, N, U, k, D) = N **and**
  learned-clss-conv: *learned-clss* (M, N, U, k, D) = U **and**
  conflicting-conv: *conflicting* (M, N, U, k, D) = D **and**
  backtrack-lvl-conv: *backtrack-lvl* (M, N, U, k, D) = k
  by *auto*

lemma *state-conv*:
  S = (*trail* S, *clss* S, *learned-clss* S, *backtrack-lvl* S, *conflicting* S)
  by (*cases* S) *auto*

interpretation *state*$_W$ *trail clss learned-clss backtrack-lvl conflicting*
  λL (M, S). (L # M, S)
  λ(M, S). (*tl* M, S)
  λC (M, N, S). (M, {#C#} + N, S)
  λC (M, N, U, S). (M, N, {#C#} + U, S)
  λC (M, N, U, S). (M, *remove-mset* C N, *remove-mset* C U, S)
  λ(k::*nat*) (M, N, U, -, D). (M, N, U, k, D)
  λD (M, N, U, k, -). (M, N, U, k, D)

λN. ([], *N*, {#}, *0*, *None*)
λ(-, *N*, *U*, -). ([], *N*, *U*, *0*, *None*)
by *unfold-locales auto*

interpretation $cdcl_W$ *trail clss learned-clss backtrack-lvl conflicting*
λL (*M*, *S*). (*L* # *M*, *S*)
λ(*M*, *S*). (*tl M*, *S*)
λC (*M*, *N*, *S*). (*M*, {#*C*#} + *N*, *S*)
λC (*M*, *N*, *U*, *S*). (*M*, *N*, {#*C*#} + *U*, *S*)
λC (*M*, *N*, *U*, *S*). (*M*, *remove-mset C N*, *remove-mset C U*, *S*)
λ(*k*::*nat*) (*M*, *N*, *U*, -, *D*). (*M*, *N*, *U*, *k*, *D*)
λD (*M*, *N*, *U*, *k*, -). (*M*, *N*, *U*, *k*, *D*)
λN. ([], *N*, {#}, *0*, *None*)
λ(-, *N*, *U*, -). ([], *N*, *U*, *0*, *None*)
by *unfold-locales auto*

declare *clauses-def*[*simp*]

lemma $cdcl_W$*-state-eq-equality*[*iff*]: *state-eq S T* $\longleftrightarrow$ *S* = *T*
unfolding *state-eq-def* **by** (*cases S*, *cases T*) *auto*
declare *state-simp*[*simp del*]

18.3 CDCL Implementation

18.3.1 Definition of the rules

Types **lemma** *true-clss-remdups*[*simp*]:
I $\models$*s* (*mset* $\circ$ *remdups*) ‘ *N* $\longleftrightarrow$ *I* $\models$*s mset* ‘ *N*
by (*simp add*: *true-clss-def*)

lemma *satisfiable-mset-remdups*[*simp*]:
satisfiable ((*mset* $\circ$ *remdups*) ‘ *N*) $\longleftrightarrow$ *satisfiable* (*mset* ‘ *N*)
unfolding *satisfiable-carac*[*symmetric*] **by** *simp*

value *backtrack-split* [*Marked* (*Pos* (*Suc 0*)) ()]
value $\exists$ *C* $\in$ *set* [[*Pos* (*Suc 0*), *Neg* (*Suc 0*)]]. ($\forall$ *c* $\in$ *set C*. $-c$ $\in$ *lits-of* [*Marked* (*Pos* (*Suc 0*)) ()])

type-synonym $cdcl_W$*-state-inv-st* = (*nat*, *nat*, *nat literal list*) *marked-lit list* $\times$
nat literal list list $\times$ *nat literal list list* $\times$ *nat* $\times$ *nat literal list option*

We need some functions to convert between our abstract state *nat* $cdcl_W$*-state* and the concrete state $cdcl_W$*-state-inv-st*.

fun *convert* :: ('*a*, '*b*, '*c list*) *marked-lit* $\Rightarrow$ ('*a*, '*b*, '*c multiset*) *marked-lit* **where**
convert (*Propagated L C*) = *Propagated L* (*mset C*) |
convert (*Marked K i*) = *Marked K i*

abbreviation *convertC* :: '*a list option* $\Rightarrow$ '*a multiset option* **where**
convertC $\equiv$ *map-option mset*

lemma *convert-Propagated*[*elim*!]:
convert z = *Propagated L C* $\Longrightarrow$ ($\exists$ *C'*. *z* = *Propagated L C'* $\wedge$ *C* = *mset C'*)
by (*cases z*) *auto*

lemma *get-rev-level-map-convert*:
get-rev-level (*map convert M*) *n x* = *get-rev-level M n x*
by (*induction M arbitrary*: *n rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-map-convert*[*simp*]:
  get-level (*map convert M*) = *get-level M*
  using *get-rev-level-map-convert*[*of rev M*] **by** (*simp add*: *rev-map*)

lemma *get-maximum-level-map-convert*[*simp*]:
  get-maximum-level (*map convert M*) *D* = *get-maximum-level M D*
  by (*induction D*)
    (*auto simp add*: *get-maximum-level-plus*)

lemma *get-all-levels-of-marked-map-convert*[*simp*]:
  get-all-levels-of-marked (*map convert M*) = (*get-all-levels-of-marked M*)
  by (*induction M rule*: *marked-lit-list-induct*) *auto*

Conversion function

fun *toS* :: $cdcl_W$*-state-inv-st* ⇒ *nat* $cdcl_W$*-state* **where**
toS (*M*, *N*, *U*, *k*, *C*) = (*map convert M*, *mset* (*map mset N*), *mset* (*map mset U*), *k*, *convertC C*)

Definition an abstract type

typedef $cdcl_W$*-state-inv* = {*S*::$cdcl_W$*-state-inv-st*. $cdcl_W$*-all-struct-inv* (*toS S*)}
  morphisms *rough-state-of state-of*
proof
  show ([],[], [], *0*, *None*) ∈ {*S*. $cdcl_W$*-all-struct-inv* (*toS S*)}
    by (*auto simp add*: $cdcl_W$*-all-struct-inv-def*)
qed

instantiation $cdcl_W$*-state-inv* :: *equal*
begin
definition *equal-*$cdcl_W$*-state-inv* :: $cdcl_W$*-state-inv* ⇒ $cdcl_W$*-state-inv* ⇒ *bool* **where**
 equal-$cdcl_W$*-state-inv S S'* = (*rough-state-of S* = *rough-state-of S'*)
instance
  by *standard* (*simp add*: *rough-state-of-inject equal-*$cdcl_W$*-state-inv-def*)
end

lemma *lits-of-map-convert*[*simp*]: *lits-of* (*map convert M*) = *lits-of M*
  by (*induction M rule*: *marked-lit-list-induct*) *simp-all*

lemma *undefined-lit-map-convert*[*iff*]:
  undefined-lit (*map convert M*) *L* ⟷ *undefined-lit M L*
  by (*auto simp add*: *Marked-Propagated-in-iff-in-lits-of*)

lemma *true-annot-map-convert*[*simp*]: *map convert M* ⊨*a N* ⟷ *M* ⊨*a N*
  by (*induction M rule*: *marked-lit-list-induct*) (*simp-all add*: *true-annot-def*)

lemma *true-annots-map-convert*[*simp*]: *map convert M* ⊨*as N* ⟷ *M* ⊨*as N*
  unfolding *true-annots-def* **by** *auto*

lemmas *propagateE*
lemma *find-first-unit-clause-some-is-propagate*:
  assumes *H*: *find-first-unit-clause* (*N* @ *U*) *M* = *Some* (*L*, *C*)
  shows *propagate* (*toS* (*M*, *N*, *U*, *k*, *None*)) (*toS* (*Propagated L C* # *M*, *N*, *U*, *k*, *None*))
  using *assms*
  by (*auto dest*!: *find-first-unit-clause-some simp add*: *propagate.simps*
    intro!: *exI*[*of - mset C* − {#*L*#}])

18.3.2 The Transitions

```
Propagate  definition do-propagate-step where
do-propagate-step S =
  (case S of
    (M, N, U, k, None) ⇒
      (case find-first-unit-clause (N @ U) M of
        Some (L, C) ⇒ (Propagated L C # M, N, U, k, None)
      | None ⇒ (M, N, U, k, None))
  | S ⇒ S)

lemma do-propgate-step:
  do-propagate-step S ≠ S ⟹ propagate (toS S) (toS (do-propagate-step S))
  apply (cases S, cases conflicting S)
  using find-first-unit-clause-some-is-propagate[of clss S learned-clss S trail S - -
    backtrack-lvl S]
  by (auto simp add: do-propagate-step-def split: option.splits)

lemma do-propagate-step-option[simp]:
  conflicting S ≠ None ⟹ do-propagate-step S = S
  unfolding do-propagate-step-def by (cases S, cases conflicting S) auto

lemma do-propagate-step-no-step:
  assumes dist: ∀ c∈set (clss S @ learned-clss S). distinct c and
  prop-step: do-propagate-step S = S
  shows no-step propagate (toS S)
proof (standard, standard)
  fix T
  assume propagate (toS S) T
  then obtain M N U k C L where
    toSS: toS S = (M, N, U, k, None) and
    T: T = (Propagated L (C + {#L#}) # M, N, U, k, None) and
    MC: M ⊨as CNot C and
    undef: undefined-lit M L and
    CL: C + {#L#} ∈# N + U
    apply − by (cases toS S) auto
  let ?M = trail S
  let ?N = clss S
  let ?U = learned-clss S
  let ?k = backtrack-lvl S
  let ?D = None
  have S: S = (?M, ?N, ?U, ?k, ?D)
    using toSS by (cases S, cases conflicting S) simp-all
  have S: toS S = toS (?M, ?N, ?U, ?k, ?D)
    unfolding S[symmetric] by simp

  have
    M: M = map convert ?M and
    N: N = mset (map mset ?N) and
    U: U = mset (map mset ?U)
    using toSS[unfolded S] by auto

  obtain D where
    DCL: mset D = C + {#L#} and
    D: D ∈ set (?N @ ?U)
    using CL unfolding N U by auto
  obtain C' L' where
    setD: set D = set (L' # C') and
    C': mset C' = C and
    L: L = L'
    using DCL by (metis ex-mset mset.simps(2) mset-eq-setD)
  have find-first-unit-clause (?N @ ?U) ?M ≠ None
    apply (rule dist find-first-unit-clause-none[of D ?N @ ?U ?M L, OF - D ])
       using D assms(1) apply auto[1]
      using MC setD DCL M MC unfolding C'[symmetric] apply auto[1]
     using M undef apply auto[1]
    unfolding setD L by auto
  then show False using prop-step S unfolding do-propagate-step-def by (cases S) auto
qed
```

Conflict **fun** *find-conflict* **where**

```
find-conflict M [] = None |
find-conflict M (N # Ns) = (if (∀c ∈ set N. −c ∈ lits-of M) then Some N else find-conflict M Ns)

lemma find-conflict-Some:
  find-conflict M Ns = Some N ⟹ N ∈ set Ns ∧ M ⊨as CNot (mset N)
  by (induction Ns rule: find-conflict.induct)
    (auto split: split-if-asm)

lemma find-conflict-None:
  find-conflict M Ns = None ⟷ (∀N ∈ set Ns. ¬M ⊨as CNot (mset N))
  by (induction Ns) auto

lemma find-conflict-None-no-confl:
  find-conflict M (N@U) = None ⟷ no-step conflict (toS (M, N, U, k, None))
  by (auto simp add: find-conflict-None conflict.simps)

definition do-conflict-step where
do-conflict-step S =
  (case S of
    (M, N, U, k, None) ⇒
      (case find-conflict M (N @ U) of
        Some a ⇒ (M, N, U, k, Some a)
      | None ⇒ (M, N, U, k, None))
  | S ⇒ S)

lemma do-conflict-step:
  do-conflict-step S ≠ S ⟹ conflict (toS S) (toS (do-conflict-step S))
  apply (cases S, cases conflicting S)
  unfolding conflict.simps do-conflict-step-def
  by (auto dest!:find-conflict-Some split: option.splits)

lemma do-conflict-step-no-step:
  do-conflict-step S = S ⟹ no-step conflict (toS S)
  apply (cases S, cases conflicting S)
  unfolding do-conflict-step-def
  using find-conflict-None-no-confl[of trail S clss S learned-clss S
      backtrack-lvl S]
  by (auto split: option.splits)

lemma do-conflict-step-option[simp]:
  conflicting S ≠ None ⟹ do-conflict-step S = S
  unfolding do-conflict-step-def by (cases S, cases conflicting S) auto

lemma do-conflict-step-conflicting[dest]:
  do-conflict-step S ≠ S ⟹ conflicting (do-conflict-step S) ≠ None
  unfolding do-conflict-step-def by (cases S, cases conflicting S) (auto split: option.splits)

definition do-cp-step where
do-cp-step S =
  (do-propagate-step o do-conflict-step) S

lemma cp-step-is-cdcl_W-cp:
  assumes H: do-cp-step S ≠ S
  shows cdcl_W-cp (toS S) (toS (do-cp-step S))
proof –
  show ?thesis
  proof (cases do-conflict-step S ≠ S)
    case True
    then show ?thesis
      by (auto simp add: do-conflict-step do-conflict-step-conflicting do-cp-step-def)
  next
    case False
    then have confl[simp]: do-conflict-step S = S by simp
    show ?thesis
      proof (cases do-propagate-step S = S)
        case True
        then show ?thesis
        using H by (simp add: do-cp-step-def)
      next
        case False
        let ?S = toS S
        let ?T = toS (do-propagate-step S)
        let ?U = toS (do-conflict-step (do-propagate-step S))
        have propa: propagate (toS S) ?T using False do-propgate-step by blast
        moreover have ns: no-step conflict (toS S) using confl do-conflict-step-no-step by blast
        ultimately show ?thesis
          using cdcl_W-cp.intros(2)[of ?S ?T] confl unfolding do-cp-step-def by auto
      qed
  qed
qed

lemma do-cp-step-eq-no-prop-no-confl:
  do-cp-step S = S ⟹ do-conflict-step S = S ∧ do-propagate-step S = S
  by (cases S, cases conflicting S)
    (auto simp add: do-conflict-step-def do-propagate-step-def do-cp-step-def split: option.splits)

lemma no-cdcl_W-cp-iff-no-propagate-no-conflict:
  no-step cdcl_W-cp S ⟷ no-step propagate S ∧ no-step conflict S
  by (auto simp: cdcl_W-cp.simps)

lemma do-cp-step-eq-no-step:
  assumes H: do-cp-step S = S and ∀ c ∈ set (clss S @ learned-clss S). distinct c
  shows no-step cdcl_W-cp (toS S)
  unfolding no-cdcl_W-cp-iff-no-propagate-no-conflict
  using assms apply (cases S, cases conflicting S)
```

using *do-propagate-step-no-step*[*of S*]
by (*auto dest*!: *do-cp-step-eq-no-prop-no-confl*[*simplified*] *do-conflict-step-no-step split*: *option.splits*)

lemma $cdcl_W$*-cp-*$cdcl_W$*-st*: $cdcl_W$*-cp S S'* $\Longrightarrow$ $cdcl_W{}^{**}$ *S S'*
by (*simp add*: $cdcl_W$*-cp-tranclp-*$cdcl_W$ *tranclp-into-rtranclp*)

lemma $cdcl_W$*-cp-wf-all-inv*:
wf {(*S'*, *S*::'*v*::*linorder* $cdcl_W$*-state*). $cdcl_W$*-all-struct-inv S* $\wedge$ $cdcl_W$*-cp S S'*}
(**is** *wf ?R*)
proof (*rule wf-bounded-measure*[*of* - λS. *card* (*atms-of-msu* (*clss S*))+*1*
λS. *length* (*trail S*) + (*if conflicting S* = *None then 0 else 1*)], *goal-cases*)
case (*1 S S'*)
then have $cdcl_W$*-all-struct-inv S* **and** $cdcl_W$*-cp S S'* **by** *auto*
moreover then have $cdcl_W$*-all-struct-inv S'*
using *rtranclp-*$cdcl_W$*-all-struct-inv-inv* $cdcl_W$*-cp-*$cdcl_W$*-st* **by** *blast*
ultimately show *?case*
by (*auto simp*:$cdcl_W$*-cp.simps elim*!: *conflictE propagateE dest*: *length-model-le-vars-all-inv*)
qed

lemma $cdcl_W$*-all-struct-inv-rough-state*[*simp*]: $cdcl_W$*-all-struct-inv* (*toS* (*rough-state-of S*))
using *rough-state-of* **by** *auto*

lemma [*simp*]: $cdcl_W$*-all-struct-inv* (*toS S*) $\Longrightarrow$ *rough-state-of* (*state-of S*) = *S*
by (*simp add*: *state-of-inverse*)

lemma *rough-state-of-state-of-do-cp-step*[*simp*]:
rough-state-of (*state-of* (*do-cp-step* (*rough-state-of S*))) = *do-cp-step* (*rough-state-of S*)
proof −
have $cdcl_W$*-all-struct-inv* (*toS* (*do-cp-step* (*rough-state-of S*)))
apply (*cases do-cp-step* (*rough-state-of S*) = (*rough-state-of S*))
apply *simp*
using *cp-step-is-*$cdcl_W$*-cp*[*of rough-state-of S*] $cdcl_W$*-all-struct-inv-rough-state*[*of S*]
$cdcl_W$*-cp-*$cdcl_W$*-st rtranclp-*$cdcl_W$*-all-struct-inv-inv* **by** *blast*
then show *?thesis* **by** *auto*
qed

Skip **fun** *do-skip-step* :: $cdcl_W$*-state-inv-st* $\Rightarrow$ $cdcl_W$*-state-inv-st* **where**
do-skip-step (*Propagated L C # Ls*,*N*,*U*,*k*, *Some D*) =
(*if* −*L* $\notin$ *set D* $\wedge$ *D* $\neq$ []
then (*Ls*, *N*, *U*, *k*, *Some D*)
else (*Propagated L C #Ls*, *N*, *U*, *k*, *Some D*)) |
do-skip-step S = *S*

lemma *do-skip-step*:
do-skip-step S $\neq$ *S* $\Longrightarrow$ *skip* (*toS S*) (*toS* (*do-skip-step S*))
apply (*induction S rule*: *do-skip-step.induct*)
by (*auto simp add*: *skip.simps*)

lemma *do-skip-step-no*:
do-skip-step S = *S* $\Longrightarrow$ *no-step skip* (*toS S*)
by (*induction S rule*: *do-skip-step.induct*)
(*auto simp add*: *other split*: *split-if-asm*)

lemma *do-skip-step-trail-is-None*[*iff*]:
  do-skip-step S = (*a*, *b*, *c*, *d*, *None*) ⟷ *S* = (*a*, *b*, *c*, *d*, *None*)
  by (*cases S rule*: *do-skip-step.cases*) *auto*

Resolve **fun** *maximum-level-code*:: *'a literal list* ⇒ (*'a*, *nat*, *'a literal list*) *marked-lit list* ⇒ *nat*
  where
maximum-level-code [] - = *0* |
maximum-level-code (*L* # *Ls*) *M* = *max* (*get-level M L*) (*maximum-level-code Ls M*)

lemma *maximum-level-code-eq-get-maximum-level*[*code*, *simp*]:
  maximum-level-code D M = *get-maximum-level M* (*mset D*)
  by (*induction D*) (*auto simp add*: *get-maximum-level-plus*)

fun *do-resolve-step* :: $cdcl_W$*-state-inv-st* ⇒ $cdcl_W$*-state-inv-st* **where**
do-resolve-step (*Propagated L C* # *Ls*, *N*, *U*, *k*, *Some D*) =
  (*if* −*L* ∈ *set D* ∧ *maximum-level-code* (*remove1* (−*L*) *D*) (*Propagated L C* # *Ls*) = *k*
  then (*Ls*, *N*, *U*, *k*, *Some* (*remdups* (*remove1 L C* @ *remove1* (−*L*) *D*)))
  else (*Propagated L C* # *Ls*, *N*, *U*, *k*, *Some D*)) |
do-resolve-step S = *S*

lemma *do-resolve-step*:
  $cdcl_W$*-all-struct-inv* (*toS S*) ⟹ *do-resolve-step S* ≠ *S*
  ⟹ *resolve* (*toS S*) (*toS* (*do-resolve-step S*))
proof (*induction S rule*: *do-resolve-step.induct*)
  case (*1 L C M N U k D*)
  then have
    − *L* ∈ *set D* **and**
    M: *maximum-level-code* (*remove1* (−*L*) *D*) (*Propagated L C* # *M*) = *k*
    by (*cases mset D* − {#− *L*#} = {#},
        auto dest!: *get-maximum-level-exists-lit-of-max-level*[*of* - *Propagated L C* # *M*]
        split: *split-if-asm*)+
  have *every-mark-is-a-conflict* (*toS* (*Propagated L C* # *M*, *N*, *U*, *k*, *Some D*))
    using *1*(*1*) **unfolding** $cdcl_W$*-all-struct-inv-def* $cdcl_W$*-conflicting-def* **by** *fast*
  then have *L* ∈ *set C* **by** *fastforce*
  then obtain *C'* **where** *C*: *mset C* = *C'* + {#*L*#}
    by (*metis add.commute in-multiset-in-set insert-DiffM*)
  obtain *D'* **where** *D*: *mset D* = *D'* + {#−*L*#}
    using ‹− *L* ∈ *set D*› **by** (*metis add.commute in-multiset-in-set insert-DiffM*)
  have *D'L*: *D'* + {#− *L*#} − {#−*L*#} = *D'* **by** (*auto simp add*: *multiset-eq-iff*)

  have *CL*: *mset C* − {#*L*#} + {#*L*#} = *mset C* **using** ‹*L* ∈ *set C*› **by** (*auto simp add*: *multiset-eq-iff*)
  have *get-maximum-level* (*Propagated L* (*C'* + {#*L*#}) # *map convert M*) *D'* = *k*
    using *M*[*simplified*] **unfolding** *maximum-level-code-eq-get-maximum-level C*[*symmetric*] *CL*
    by (*metis D D'L convert.simps*(*1*) *get-maximum-level-map-convert list.simps*(*9*))
  then have
    resolve
      (*map convert* (*Propagated L C* # *M*), *mset* ‘# *mset N*, *mset* ‘# *mset U*, *k*, *Some* (*mset D*))
      (*map convert M*, *mset* ‘# *mset N*, *mset* ‘# *mset U*, *k*,
        Some (((*mset D* − {#−*L*#}) #∪ (*mset C* − {#*L*#}))))
    unfolding *resolve.simps*
      by (*simp add*: *C D*)
  moreover have
    (*map convert* (*Propagated L C* # *M*), *mset* ‘# *mset N*, *mset* ‘# *mset U*, *k*, *Some* (*mset D*))
     = *toS* (*Propagated L C* # *M*, *N*, *U*, *k*, *Some D*)
    by (*auto simp*: *mset-map*)

```
  moreover
    have distinct-mset (mset C) and distinct-mset (mset D)
      using ‹cdcl_W-all-struct-inv (toS (Propagated L C # M, N, U, k, Some D))›
      unfolding cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def
      by auto
    then have (mset C − {#L#}) #∪ (mset D − {#− L#}) =
      remdups-mset (mset C − {#L#} + (mset D − {#− L#}))
      by (auto simp: distinct-mset-rempdups-union-mset)
    then have (map convert M, mset '# mset N, mset '# mset U, k,
    Some ((mset D − {#− L#}) #∪ (mset C − {#L#})))
    = toS (do-resolve-step (Propagated L C # M, N, U, k, Some D))
    using ‹− L ∈ set D› M by (auto simp:ac-simps mset-map)
  ultimately show ?case
    by simp
qed auto

lemma do-resolve-step-no:
  do-resolve-step S = S ⟹ no-step resolve (toS S)
  apply (cases S; cases hd (trail S); cases conflicting S)
  by (auto
    elim!: resolveE  split: split-if-asm
    dest!: union-single-eq-member
    simp del: in-multiset-in-set get-maximum-level-map-convert
    simp: in-multiset-in-set[symmetric] get-maximum-level-map-convert[symmetric])


lemma  rough-state-of-state-of-resolve[simp]:
  cdcl_W-all-struct-inv (toS S) ⟹ rough-state-of (state-of (do-resolve-step S)) = do-resolve-step S
  apply (rule state-of-inverse)
  apply (cases do-resolve-step S = S)
   apply simp
  by (blast dest: other resolve bj do-resolve-step cdcl_W-all-struct-inv-inv)

lemma do-resolve-step-trail-is-None[iff]:
  do-resolve-step S = (a, b, c, d, None) ⟷ S = (a, b, c, d, None)
  by (cases S rule: do-resolve-step.cases) auto
```

Backjumping

```
fun find-level-decomp where
find-level-decomp M [] D k = None |
find-level-decomp M (L # Ls) D k =
  (case (get-level M L, maximum-level-code (D @ Ls) M) of
    (i, j) ⇒ if i = k ∧ j < i then Some (L, j) else find-level-decomp M Ls (L#D) k
  )

lemma find-level-decomp-some:
  assumes find-level-decomp M Ls D k = Some (L, j)
  shows L ∈ set Ls ∧ get-maximum-level M (mset (remove1 L (Ls @ D))) = j ∧ get-level M L = k
  using assms
proof (induction Ls arbitrary: D)
  case Nil
  then show ?case by simp
next
  case (Cons L' Ls) note IH = this(1) and H = this(2)

  def find ≡ (if get-level M L' ≠ k ∨ ¬ get-maximum-level M (mset D + mset Ls) < get-level M L'
    then find-level-decomp M Ls (L′ # D) k
    else Some (L′, get-maximum-level M (mset D + mset Ls)))
  have a1: ⋀D. find-level-decomp M Ls D k = Some (L, j) ⟹
     L ∈ set Ls ∧ get-maximum-level M (mset Ls + mset D − {#L#}) = j ∧ get-level M L = k
    using IH by simp
  have a2: find = Some (L, j)
    using H unfolding find-def by (auto split: split-if-asm)
  { assume Some (L′, get-maximum-level M (mset D + mset Ls)) ≠ find
    then have f3: L ∈ set Ls and get-maximum-level M (mset Ls + mset (L′ # D) − {#L#}) = j
      using a1 IH a2 unfolding find-def by meson+
    moreover then have mset Ls + mset D − {#L#} + {#L′#} = {#L′#} + mset D + (mset Ls
− {#L#})
      by (auto simp: ac-simps multiset-eq-iff Suc-leI)
    ultimately have f4: get-maximum-level M (mset Ls + mset D − {#L#} + {#L′#}) = j
      by (metis (no-types) diff-union-single-conv mem-set-multiset-eq mset.simps(2) union-commute)
  } note f4 = this
  have {#L′#} + (mset Ls + mset D) = mset Ls + (mset D + {#L′#})
      by (auto simp: ac-simps)
  then have
    (L = L′ ⟶ get-maximum-level M (mset Ls + mset D) = j ∧ get-level M L′ = k) and
    (L ≠ L′ ⟶ L ∈ set Ls ∧ get-maximum-level M (mset Ls + mset D − {#L#} + {#L′#}) = j ∧
      get-level M L = k)
    using f4 a2 a1[of L′ # D] unfolding find-def by (metis (no-types) add-diff-cancel-left′
      mset.simps(2) option.inject prod.inject union-commute)+
  then show ?case by simp
qed

lemma find-level-decomp-none:
  assumes find-level-decomp M Ls E k = None and mset (L#D) = mset (Ls @ E)
  shows ¬(L ∈ set Ls ∧ get-maximum-level M (mset D) < k ∧ k = get-level M L)
  using assms
proof (induction Ls arbitrary: E L D)
  case Nil
  then show ?case by simp
next
  case (Cons L′ Ls) note IH = this(1) and find-none = this(2) and LD = this(3)
  have mset D + {#L′#} = mset E + (mset Ls + {#L′#})  ⟹ mset D = mset E + mset Ls
    by (metis add-right-imp-eq union-assoc)
  then show ?case
    using find-none IH[of L′ # E L D] LD by (auto simp add: ac-simps split: split-if-asm)
qed

fun bt-cut where
bt-cut i (Propagated - - # Ls) = bt-cut i Ls |
bt-cut i (Marked K k # Ls) = (if k = Suc i then Some (Marked K k # Ls) else bt-cut i Ls) |
bt-cut i [] = None

lemma bt-cut-some-decomp:
  bt-cut i M = Some M′ ⟹ ∃ K M2 M1. M = M2 @ M′ ∧ M′ = Marked K (i+1) # M1
  by (induction i M rule: bt-cut.induct) (auto split: split-if-asm)

lemma bt-cut-not-none: M = M2 @ Marked K (Suc i) # M′ ⟹ bt-cut i M ≠ None
  by (induction M2 arbitrary: M rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-ex:
  ∃N. (Marked K (Suc i) # M′, N) ∈ set (get-all-marked-decomposition (M2@Marked K (Suc i) #
M′))
  apply (induction M2 rule: marked-lit-list-induct)
    apply auto[2]
  by (rename-tac L m xs,  case-tac get-all-marked-decomposition (xs @ Marked K (Suc i) # M′))
  auto

lemma bt-cut-in-get-all-marked-decomposition:
  bt-cut i M = Some M′ ⟹ ∃M2. (M′, M2) ∈ set (get-all-marked-decomposition M)
  by (auto dest!: bt-cut-some-decomp simp add: get-all-marked-decomposition-ex)

fun do-backtrack-step where
do-backtrack-step (M, N, U, k, Some D) =
  (case find-level-decomp M D [] k of
    None ⇒ (M, N, U, k, Some D)
  | Some (L, j) ⇒
    (case bt-cut j M of
      Some (Marked - - # Ls) ⇒ (Propagated L D # Ls, N, D # U, j, None)
    | - ⇒ (M, N, U, k, Some D))
  ) |
do-backtrack-step S = S

lemma get-all-marked-decomposition-map-convert:
  (get-all-marked-decomposition (map convert M)) =
    map (λ(a, b). (map convert a, map convert b)) (get-all-marked-decomposition M)
  apply (induction M rule: marked-lit-list-induct)
    apply simp
  by (rename-tac L l xs, case-tac get-all-marked-decomposition xs; auto)+

lemma do-backtrack-step:
  assumes
    db: do-backtrack-step S ≠ S and
    inv: cdcl_W-all-struct-inv (toS S)
  shows backtrack (toS S) (toS (do-backtrack-step S))
  proof (cases S, cases conflicting S, goal-cases)
    case (1 M N U k E)
    then show ?case using db by auto
  next
    case (2 M N U k E C) note S = this(1) and confl = this(2)
    have E: E = Some C using S confl by auto

    obtain L j where fd: find-level-decomp M C [] k = Some (L, j)
      using db unfolding S E  by (cases C) (auto split: split-if-asm option.splits)
    have L ∈ set C and get-maximum-level M (mset (remove1 L C)) = j and
      levL: get-level M L = k
      using find-level-decomp-some[OF fd] by auto
    obtain C′ where C: mset C = mset C′ + {#L#}
      using ‹L ∈ set C› by (metis add.commute ex-mset in-multiset-in-set insert-DiffM)
    obtain M₂ where M₂: bt-cut j M = Some M₂
      using db fd unfolding S E by (auto split: option.splits)
    obtain M1 K where M1: M₂ = Marked K (Suc j) # M1
      using bt-cut-some-decomp[OF M₂] by (cases M₂) auto
    obtain c where c: M = c @ Marked K (Suc j) # M1
       using bt-cut-in-get-all-marked-decomposition[OF M₂]
       unfolding M1 by fastforce
    have get-all-levels-of-marked (map convert M) = rev [1..<Suc k]
      using inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def S by auto
    from arg-cong[OF this, of λa. Suc j ∈ set a] have j ≤ k unfolding c by auto
    have max-l-j: maximum-level-code C' M = j
      using db fd M_2 C unfolding S E by (auto
          split: option.splits list.splits marked-lit.splits
          dest!: find-level-decomp-some)[1]
    have get-maximum-level M (mset C) ≥ k
      using ‹L ∈ set C› get-maximum-level-ge-get-level levL by blast
    moreover have get-maximum-level M (mset C) ≤ k
      using get-maximum-level-exists-lit-of-max-level[of mset C M] inv
        cdcl_W-M-level-inv-get-level-le-backtrack-lvl[of toS S]
      unfolding C cdcl_W-all-struct-inv-def S by (auto dest: sym[of get-level - -])
    ultimately have get-maximum-level M (mset C) = k by auto

    obtain M2 where M2: (M_2, M2) ∈ set (get-all-marked-decomposition M)
      using bt-cut-in-get-all-marked-decomposition[OF M_2] by metis
    have H: (reduce-trail-to (map convert M1)
      (add-learned-cls (mset C' + {#L#})
        (map convert M, mset (map mset N), mset (map mset U), j, None))) =
       (map convert M1, mset (map mset N), {#mset C' + {#L#}#} + mset (map mset U), j, None)
        apply (subst state-conv[of reduce-trail-to - -])
      using M2 unfolding M1 by auto
    have
      backtrack
        (map convert M, mset ‘# mset N, mset ‘# mset U, k, Some (mset C))
        (Propagated L (mset C) # map convert M1, mset ‘# mset N, mset ‘# mset U + {#mset C#},
j,
          None)
      apply (rule backtrack-rule)
             unfolding C apply simp
            using Set.imageI[of (M_2, M2) set (get-all-marked-decomposition M)
                          (λ(a, b). (map convert a, map convert b))] M2
            apply (auto simp: get-all-marked-decomposition-map-convert M1)[1]
           using max-l-j levL ‹j ≤ k› apply (simp add: get-maximum-level-plus)
          using C ‹get-maximum-level M (mset C) = k› levL apply auto[1]
         using max-l-j apply simp
        apply (cases reduce-trail-to (map convert M1)
            (add-learned-cls (mset C' + {#L#})
            (map convert M, mset (map mset N), mset (map mset U), j, None)))
       using M2 M1 H by (auto simp: ac-simps mset-map)
    then show ?case
      using M_2 fd unfolding S E M1 by (auto simp: mset-map)
    obtain M2 where (M_2, M2) ∈ set (get-all-marked-decomposition M)
      using bt-cut-in-get-all-marked-decomposition[OF M_2] by metis
qed

lemma do-backtrack-step-no:
  assumes db: do-backtrack-step S = S
  and inv: cdcl_W-all-struct-inv (toS S)
  shows no-step backtrack (toS S)
proof (rule ccontr, cases S, cases conflicting S, goal-cases)
  case 1
  then show ?case using db by (auto split: option.splits)
next
```

```
  case (2 M N U k E C) note bt = this(1) and S = this(2) and confl = this(3)
  obtain D L K b z M1 j where
    levL: get-level M L = get-maximum-level M (D + {#L#}) and
    k: k = get-maximum-level M (D + {#L#}) and
    j: j = get-maximum-level M D and
    CE: convertC E = Some (D + {#L#}) and
    decomp: (z # M1, b) ∈ set (get-all-marked-decomposition M) and
    z: Marked K (Suc j) = convert z using bt unfolding S
      by (auto split: option.splits elim!: backtrackE
        simp: get-all-marked-decomposition-map-convert)
  have z: z = Marked K (Suc j) using z by (cases z) auto
  obtain c where c: M = c @ b @ Marked K (Suc j) # M1
    using decomp unfolding z by blast
  have get-all-levels-of-marked (map convert M) = rev [1..<Suc k]
    using inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def S by auto
  from arg-cong[OF this, of λa. Suc j ∈ set a] have k > j unfolding c by auto
  obtain C D' where
    E: E = Some C and
    C: mset C = mset (L # D')
    using CE apply (cases E)
      apply simp
    by (metis ex-mset mset.simps(2) option.inject option.simps(9))
  have D'D: mset D' = D
    using C CE E by auto
  have find-level-decomp M C [] k ≠ None
    apply rule
    apply (drule find-level-decomp-none[of - - - - L D'])
    using C ‹k > j› mset-eq-setD unfolding k[symmetric] D'D j[symmetric] levL by fastforce+
  then obtain L' j' where fd-some: find-level-decomp M C [] k = Some (L', j')
    by (cases find-level-decomp M C [] k) auto
  have L': L' = L
    proof (rule ccontr)
      assume ¬ ?thesis
      then have L' ∈# D
        by (metis C D'D fd-some find-level-decomp-some in-multiset-in-set insert-iff list.simps(15))
      then have get-level M L' ≤ get-maximum-level M D
        using get-maximum-level-ge-get-level by blast
      then show False using ‹k > j› j find-level-decomp-some[OF fd-some] by auto
    qed
  then have j': j' = j using find-level-decomp-some[OF fd-some] j C D'D by auto

  have btc-none: bt-cut j M ≠ None
    apply (rule bt-cut-not-none[of M - @ -])
    using c by simp
  show ?case using db unfolding S  E
    by (auto split: option.splits list.splits marked-lit.splits
      simp add: fd-some  L' j' btc-none
      dest: bt-cut-some-decomp)
qed

lemma rough-state-of-state-of-backtrack[simp]:
  assumes inv: cdcl_W-all-struct-inv (toS S)
  shows rough-state-of (state-of (do-backtrack-step S))= do-backtrack-step S
proof (rule state-of-inverse)
  have f2: backtrack (toS S) (toS (do-backtrack-step S)) ∨ do-backtrack-step S = S
```

```
    using do-backtrack-step inv by blast
  have ⋀p. ¬ cdcl_W-o (toS S) p ∨ cdcl_W-all-struct-inv p
    using inv cdcl_W-all-struct-inv-inv other by blast
  then have do-backtrack-step S = S ∨ cdcl_W-all-struct-inv (toS (do-backtrack-step S))
    using f2 by blast
  then show do-backtrack-step S ∈ {S. cdcl_W-all-struct-inv (toS S)}
    using inv by fastforce
qed
```

Decide **fun** *do-decide-step* **where**

```
do-decide-step (M, N, U, k, None) =
  (case find-first-unused-var N (lits-of M) of
    None ⇒ (M, N, U, k, None)
  | Some L ⇒ (Marked L (Suc k) # M, N, U, k+1, None)) |
do-decide-step S = S

lemma do-decide-step:
  do-decide-step S ≠ S ⟹ decide (toS S) (toS (do-decide-step S))
  apply (cases S, cases conflicting S)
  defer
  apply (auto split: option.splits simp add: decide.simps Marked-Propagated-in-iff-in-lits-of
          dest: find-first-unused-var-undefined find-first-unused-var-Some
          intro: atms-of-atms-of-ms-mono)[1]
proof –
  fix a :: (nat, nat, nat literal list) marked-lit list and
      b :: nat literal list list and  c :: nat literal list list and
      d :: nat and e :: nat literal list option
  {
    fix a :: (nat, nat, nat literal list) marked-lit list and
      b :: nat literal list list and  c :: nat literal list list and
      d :: nat and x2 :: nat literal and m :: nat literal list
    assume a1: m ∈ set b
    assume x2 ∈ set m
    then have f2: atm-of x2 ∈ atms-of (mset m)
      by simp
    have ⋀f. (f m::nat literal multiset) ∈ f ‘ set b
      using a1 by blast
    then have ⋀f. (atms-of (f m)::nat set) ⊆ atms-of-ms (f ‘ set b)
     using atms-of-atms-of-ms-mono by blast
    then have ⋀n f. (n::nat) ∈ atms-of-ms (f ‘ set b) ∨ n ∉ atms-of (f m)
      by (meson contra-subsetD)
    then have atm-of x2 ∈ atms-of-ms (mset ‘ set b)
      using f2 by blast
  } note H = this
  {
    fix m :: nat literal list and x2
    have m ∈ set b ⟹ x2 ∈ set m ⟹ x2 ∉ lits-of a ⟹ – x2 ∉ lits-of a ⟹
      ∃ aa∈set b. ¬ atm-of ‘ set aa ⊆ atm-of ‘ lits-of a
      by (meson atm-of-in-atm-of-set-in-uminus contra-subsetD rev-image-eqI)
  } note H' = this

  assume  do-decide-step S ≠ S and
     S = (a, b, c, d, e) and
     conflicting S = None
  then show decide (toS S) (toS (do-decide-step S))
```

using H H' **by** (*auto split*: *option.splits simp*: *decide.simps Marked-Propagated-in-iff-in-lits-of dest*!: *find-first-unused-var-Some*)
qed

lemma *do-decide-step-no*:
do-decide-step $S = S \Longrightarrow$ *no-step decide* (*toS* S)
by (*cases* S, *cases conflicting* S)
(*fastforce simp*: *atms-of-ms-mset-unfold atm-of-eq-atm-of Marked-Propagated-in-iff-in-lits-of split*: *option.splits*)+

lemma *rough-state-of-state-of-do-decide-step*[*simp*]:
$cdcl_W$*-all-struct-inv* (*toS* S) $\Longrightarrow$ *rough-state-of* (*state-of* (*do-decide-step* S)) = *do-decide-step* S
proof (*subst state-of-inverse*, *goal-cases*)
case *1*
then show *?case*
by (*cases do-decide-step* $S = S$)
(*auto dest*: *do-decide-step decide other intro*: $cdcl_W$*-all-struct-inv-inv*)
qed *simp*

lemma *rough-state-of-state-of-do-skip-step*[*simp*]:
$cdcl_W$*-all-struct-inv* (*toS* S) $\Longrightarrow$ *rough-state-of* (*state-of* (*do-skip-step* S)) = *do-skip-step* S
apply (*subst state-of-inverse*, *cases do-skip-step* $S = S$)
apply *simp*
by (*blast dest*: *other skip bj do-skip-step* $cdcl_W$*-all-struct-inv-inv*)+

18.3.3 Code generation

Type definition There are two invariants: one while applying conflict and propagate and one for the other rules

declare *rough-state-of-inverse*[*simp add*]
definition *Con* **where**
Con xs = *state-of* (*if* $cdcl_W$*-all-struct-inv* (*toS* (*fst xs*, *snd xs*)) *then xs*
else ([], [], [], *0*, *None*))

lemma [*code abstype*]:
Con (*rough-state-of* S) = S
using *rough-state-of*[*of* S] **unfolding** *Con-def* **by** *simp*

definition *do-cp-step'* **where**
do-cp-step' S = *state-of* (*do-cp-step* (*rough-state-of* S))

typedef $cdcl_W$*-state-inv-from-init-state* = {S::$cdcl_W$*-state-inv-st*. $cdcl_W$*-all-struct-inv* (*toS* S)
$\wedge$ $cdcl_W$*-stgy*** (*S0-*$cdcl_W$ (*clss* (*toS* S))) (*toS* S)}
morphisms *rough-state-from-init-state-of state-from-init-state-of*
proof
show ([],[], [], *0*, *None*) $\in$ {S. $cdcl_W$*-all-struct-inv* (*toS* S)
$\wedge$ $cdcl_W$*-stgy*** (*S0-*$cdcl_W$ (*clss* (*toS* S))) (*toS* S)}
by (*auto simp add*: $cdcl_W$*-all-struct-inv-def*)
qed

instantiation $cdcl_W$*-state-inv-from-init-state* :: *equal*
begin
definition *equal-*$cdcl_W$*-state-inv-from-init-state* :: $cdcl_W$*-state-inv-from-init-state* $\Rightarrow$
$cdcl_W$*-state-inv-from-init-state* $\Rightarrow$ *bool* **where**
equal-$cdcl_W$*-state-inv-from-init-state* S S' $\longleftrightarrow$

(*rough-state-from-init-state-of S* = *rough-state-from-init-state-of S'*)
instance
by *standard* (*simp add*: *rough-state-from-init-state-of-inject*
equal-cdcl$_W$*-state-inv-from-init-state-def*)
end

definition *ConI* **where**
ConI S = *state-from-init-state-of* (*if cdcl*$_W$*-all-struct-inv* (*toS* (*fst S*, *snd S*))
∧ *cdcl*$_W$*-stgy*** (*S0-cdcl*$_W$ (*clss* (*toS S*))) (*toS S*) *then S else* ([], [], [], *0*, *None*))

lemma [*code abstype*]:
ConI (*rough-state-from-init-state-of S*) = *S*
using *rough-state-from-init-state-of*[*of S*] **unfolding** *ConI-def*
by (*simp add*: *rough-state-from-init-state-of-inverse*)

definition *id-of-I-to*:: *cdcl*$_W$*-state-inv-from-init-state* ⇒ *cdcl*$_W$*-state-inv* **where**
id-of-I-to S = *state-of* (*rough-state-from-init-state-of S*)

lemma [*code abstract*]:
rough-state-of (*id-of-I-to S*) = *rough-state-from-init-state-of S*
unfolding *id-of-I-to-def* **using** *rough-state-from-init-state-of* **by** *auto*

Conflict and Propagate **function** *do-full1-cp-step* :: *cdcl*$_W$*-state-inv* ⇒ *cdcl*$_W$*-state-inv* **where**
do-full1-cp-step S =
(*let S'* = *do-cp-step' S in*
if S = *S' then S else do-full1-cp-step S'*)
by *auto*
termination
proof (*relation* {(*T'*, *T*). (*rough-state-of T'*, *rough-state-of T*) ∈ {(*S'*, *S*).
(*toS S'*, *toS S*) ∈ {(*S'*, *S*). *cdcl*$_W$*-all-struct-inv S* ∧ *cdcl*$_W$*-cp S S'*}}}, *goal-cases*)
case *1*
show *?case*
using *wf-if-measure-f*[*OF wf-if-measure-f*[*OF cdcl*$_W$*-cp-wf-all-inv*, *of toS*], *of rough-state-of*] **.**
next
case (*2 S' S*)
then show *?case*
unfolding *do-cp-step'-def*
apply *simp*
by (*metis cp-step-is-cdcl*$_W$*-cp rough-state-of-inverse*)
qed

lemma *do-full1-cp-step-fix-point-of-do-full1-cp-step*:
do-cp-step(*rough-state-of* (*do-full1-cp-step S*)) = (*rough-state-of* (*do-full1-cp-step S*))
by (*rule do-full1-cp-step.induct*[*of* λ*S*. *do-cp-step*(*rough-state-of* (*do-full1-cp-step S*))
= (*rough-state-of* (*do-full1-cp-step S*))]])
(*metis* (*full-types*) *do-full1-cp-step.elims rough-state-of-state-of-do-cp-step do-cp-step'-def*)

lemma *in-clauses-rough-state-of-is-distinct*:
c∈*set* (*clss* (*rough-state-of S*) @ *learned-clss* (*rough-state-of S*)) ⟹ *distinct c*
apply (*cases rough-state-of S*)
using *rough-state-of*[*of S*] **by** (*auto simp add*: *distinct-mset-set-distinct cdcl*$_W$*-all-struct-inv-def*
distinct-cdcl$_W$*-state-def*)

lemma *do-full1-cp-step-full*:
full cdcl$_W$*-cp* (*toS* (*rough-state-of S*))

(*toS* (*rough-state-of* (*do-full1-cp-step S*)))
unfolding *full-def* **apply** *standard*
apply (*induction S rule*: *do-full1-cp-step.induct*)
apply (*smt cp-step-is-cdcl*$_W$*-cp do-cp-step*′*-def do-full1-cp-step.simps rough-state-of-state-of-do-cp-step rtranclp.rtrancl-refl rtranclp-into-tranclp2 tranclp-into-rtranclp*)

apply (*rule do-cp-step-eq-no-step*[*OF do-full1-cp-step-fix-point-of-do-full1-cp-step*[*of S*]])
using *in-clauses-rough-state-of-is-distinct* **unfolding** *do-cp-step*′*-def* **by** *blast*

lemma [*code abstract*]:
rough-state-of (*do-cp-step*′ *S*) = *do-cp-step* (*rough-state-of S*)
unfolding *do-cp-step*′*-def* **by** *auto*

The other rules **fun** *do-other-step* **where**

```
do-other-step S =
  (let T = do-skip-step S in
    if T ≠ S
    then T
    else
      (let U = do-resolve-step T in
      if U ≠ T
      then U else
      (let V = do-backtrack-step U in
      if V ≠ U then V else do-decide-step V)))
```

lemma *do-other-step*:
assumes *inv*: *cdcl*$_W$*-all-struct-inv* (*toS S*) **and**
st: *do-other-step S* ≠ *S*
shows *cdcl*$_W$*-o* (*toS S*) (*toS* (*do-other-step S*))
using *st inv* **by** (*auto split*: *split-if-asm*
simp add: *Let-def*
intro: *do-skip-step do-resolve-step do-backtrack-step do-decide-step*)

lemma *do-other-step-no*:
assumes *inv*: *cdcl*$_W$*-all-struct-inv* (*toS S*) **and**
st: *do-other-step S* = *S*
shows *no-step cdcl*$_W$*-o* (*toS S*)
using *st inv* **by** (*auto split*: *split-if-asm elim*: *cdcl*$_W$*-bjE*
simp add: *Let-def cdcl*$_W$*-bj.simps elim*!: *cdcl*$_W$*-o.cases*
dest!: *do-skip-step-no do-resolve-step-no do-backtrack-step-no do-decide-step-no*)

lemma *rough-state-of-state-of-do-other-step*[*simp*]:
rough-state-of (*state-of* (*do-other-step* (*rough-state-of S*))) = *do-other-step* (*rough-state-of S*)
proof (*cases do-other-step* (*rough-state-of S*) = *rough-state-of S*)
case *True*
then show *?thesis* **by** *simp*
next
case *False*
have *cdcl*$_W$*-o* (*toS* (*rough-state-of S*)) (*toS* (*do-other-step* (*rough-state-of S*)))
by (*metis False cdcl*$_W$*-all-struct-inv-rough-state do-other-step*[*of rough-state-of S*])
then have *cdcl*$_W$*-all-struct-inv* (*toS* (*do-other-step* (*rough-state-of S*)))
using *cdcl*$_W$*-all-struct-inv-inv cdcl*$_W$*-all-struct-inv-rough-state other* **by** *blast*
then show *?thesis*
by (*simp add*: *CollectI state-of-inverse*)

qed

definition *do-other-step'* **where**
do-other-step' S =
  state-of (do-other-step (rough-state-of S))

lemma *rough-state-of-do-other-step'[code abstract]*:
 rough-state-of (do-other-step' S) = do-other-step (rough-state-of S)
 apply (*cases do-other-step (rough-state-of S) = rough-state-of S*)
   unfolding *do-other-step'-def* **apply** *simp*
 using *do-other-step[of rough-state-of S]* **by** (*auto intro*: *$cdcl_W$-all-struct-inv-inv*
   $cdcl_W$-all-struct-inv-rough-state other state-of-inverse)

definition *do-$cdcl_W$-stgy-step* **where**
do-$cdcl_W$-stgy-step S =
   (let T = do-full1-cp-step S in
     if T ≠ S
     then T
     else
       (let U = (do-other-step' T) in
        (do-full1-cp-step U)))

definition *do-$cdcl_W$-stgy-step'* **where**
do-$cdcl_W$-stgy-step' S = state-from-init-state-of (rough-state-of (do-$cdcl_W$-stgy-step (id-of-I-to S)))

lemma *toS-do-full1-cp-step-not-eq*: *do-full1-cp-step S ≠ S ⟹*
   toS (rough-state-of S) ≠ toS (rough-state-of (do-full1-cp-step S))
proof –
  assume *a1*: *do-full1-cp-step S ≠ S*
  then have *S ≠ do-cp-step' S*
    by *fastforce*
  then show *?thesis*
    by (*metis (no-types) cp-step-is-$cdcl_W$-cp do-cp-step'-def do-cp-step-eq-no-step*
      do-full1-cp-step-fix-point-of-do-full1-cp-step in-clauses-rough-state-of-is-distinct
      rough-state-of-inverse)
qed

do-full1-cp-step should not be unfolded anymore:

declare *do-full1-cp-step.simps[simp del]*

Correction of the transformation **lemma** *do-$cdcl_W$-stgy-step*:
  assumes *do-$cdcl_W$-stgy-step S ≠ S*
  shows *$cdcl_W$-stgy (toS (rough-state-of S)) (toS (rough-state-of (do-$cdcl_W$-stgy-step S)))*
proof (*cases do-full1-cp-step S = S*)
  case *False*
  then show *?thesis*
    using *assms do-full1-cp-step-full[of S]* **unfolding** *full-unfold do-$cdcl_W$-stgy-step-def*
    by (*auto intro!*: *$cdcl_W$-stgy.intros dest*: *toS-do-full1-cp-step-not-eq*)
next
  case *True*
  have *$cdcl_W$-o (toS (rough-state-of S)) (toS (rough-state-of (do-other-step' S)))*
    by (*smt True assms $cdcl_W$-all-struct-inv-rough-state do-$cdcl_W$-stgy-step-def do-other-step*
      rough-state-of-do-other-step' rough-state-of-inverse)
  moreover
    have

```
      np: no-step propagate (toS (rough-state-of S)) and
      nc: no-step conflict (toS (rough-state-of S))
        apply (metis True do-cp-step-eq-no-prop-no-confl
          do-full1-cp-step-fix-point-of-do-full1-cp-step do-propagate-step-no-step
          in-clauses-rough-state-of-is-distinct)
      by (metis True do-conflict-step-no-step do-cp-step-eq-no-prop-no-confl
        do-full1-cp-step-fix-point-of-do-full1-cp-step)
    then have no-step cdcl_W-cp (toS (rough-state-of S))
      by (simp add: cdcl_W-cp.simps)
  moreover have full cdcl_W-cp (toS (rough-state-of (do-other-step' S)))
    (toS (rough-state-of (do-full1-cp-step (do-other-step' S))))
    using do-full1-cp-step-full by auto
  ultimately show ?thesis
    using assms True unfolding do-cdcl_W-stgy-step-def
    by (auto intro!: cdcl_W-stgy.other' dest: toS-do-full1-cp-step-not-eq)
qed

lemma length-trail-toS[simp]:
  length (trail (toS S)) = length (trail S)
  by (cases S) auto

lemma conflicting-noTrue-iff-toS[simp]:
  conflicting (toS S) ≠ None ⟷ conflicting S ≠ None
  by (cases S) auto

lemma trail-toS-neq-imp-trail-neq:
  trail (toS S) ≠ trail (toS S') ⟹ trail S ≠ trail S'
  by (cases S, cases S') auto

lemma do-skip-step-trail-changed-or-conflict:
  assumes d: do-other-step S ≠ S
  and inv: cdcl_W-all-struct-inv (toS S)
  shows trail S ≠ trail (do-other-step S)
proof -
  have M: ⋀M K M1 c. M = c @ K # M1 ⟹ Suc (length M1) ≤ length M
    by auto
  have cdcl_W-M-level-inv (toS S)
    using inv unfolding cdcl_W-all-struct-inv-def by auto
  have cdcl_W-o (toS S) (toS (do-other-step S)) using do-other-step[OF inv d] .
  then show ?thesis
    using ‹cdcl_W-M-level-inv (toS S)›
    proof (induction toS (do-other-step S) rule: cdcl_W-o-induct-lev2)
      case decide
      then show ?thesis
        by (auto simp add: trail-toS-neq-imp-trail-neq)[]
    next
    case (skip)
    then show ?case
      by (cases S; cases do-other-step S) force
    next
      case (resolve)
      then show ?case
         by (cases S, cases do-other-step S) force
    next
       case (backtrack K i M1 M2 L D) note decomp = this(1) and confl-S = this(3) and undef =
this(6)
        and U = this(7)
      have [simp]: cons-trail (Propagated L (D + {#L#}))
        (reduce-trail-to M1
          (add-learned-cls (D + {#L#})
            (update-backtrack-lvl (get-maximum-level (trail (toS S)) D)
              (update-conflicting None (toS S)))))
        =
        (Propagated L (D + {#L#})# M1,mset (map mset (clss S)),
          {#D + {#L#}#} + mset (map mset (learned-clss S)),
          get-maximum-level (trail (toS S)) D, None)
        apply (subst state-conv[of cons-trail - -])
        using decomp undef by (cases S) auto
      then show ?case
        apply (cases do-other-step S)
        apply (auto split: split-if-asm simp: Let-def)
            apply (cases S rule: do-skip-step.cases; auto split: split-if-asm)
           apply (cases S rule: do-skip-step.cases; auto split: split-if-asm)

          apply (cases S rule: do-backtrack-step.cases;
            auto split: split-if-asm option.splits list.splits marked-lit.splits
              dest!: bt-cut-some-decomp simp: Let-def)
        using d apply (cases S rule: do-decide-step.cases; auto split: option.splits)[]
        done
    qed
qed

lemma do-full1-cp-step-induct:
  (⋀S. (S ≠  do-cp-step' S ⟹ P (do-cp-step' S)) ⟹ P S) ⟹ P a0
  using do-full1-cp-step.induct by metis

lemma do-cp-step-neq-trail-increase:
  ∃ c. trail (do-cp-step S) = c @ trail  S ∧(∀ m ∈ set c. ¬ is-marked m)
  by (cases S, cases conflicting S)
     (auto simp add: do-cp-step-def do-conflict-step-def do-propagate-step-def split: option.splits)

lemma do-full1-cp-step-neq-trail-increase:
  ∃ c. trail (rough-state-of (do-full1-cp-step S)) = c @ trail (rough-state-of S)
    ∧ (∀ m ∈ set c. ¬ is-marked m)
  apply (induction rule: do-full1-cp-step-induct)
  apply (rename-tac S, case-tac do-cp-step' S = S)
    apply (simp add: do-full1-cp-step.simps)
  by (smt Un-iff append-assoc do-cp-step'-def do-cp-step-neq-trail-increase do-full1-cp-step.simps
    rough-state-of-state-of-do-cp-step set-append)

lemma do-cp-step-conflicting:
  conflicting (rough-state-of S) ≠ None ⟹ do-cp-step' S = S
  unfolding do-cp-step'-def do-cp-step-def by simp

lemma do-full1-cp-step-conflicting:
  conflicting (rough-state-of S) ≠ None ⟹ do-full1-cp-step S = S
  unfolding do-cp-step'-def do-cp-step-def
  apply (induction rule: do-full1-cp-step-induct)
  by (rename-tac S, case-tac S ≠ do-cp-step' S)
   (auto simp add: do-full1-cp-step.simps do-cp-step-conflicting)
```

```
lemma do-decide-step-not-conflicting-one-more-decide:
  assumes
    conflicting S = None and
    do-decide-step S ≠ S
  shows Suc (length (filter is-marked (trail S)))
    = length (filter is-marked (trail (do-decide-step S)))
  using assms unfolding do-other-step'-def
  by (cases S) (auto simp: Let-def split: split-if-asm option.splits
     dest!: find-first-unused-var-Some-not-all-incl)

lemma do-decide-step-not-conflicting-one-more-decide-bt:
  assumes conflicting S ≠ None and
  do-decide-step S ≠ S
  shows length (filter is-marked (trail S)) < length (filter is-marked (trail (do-decide-step S)))
  using assms unfolding do-other-step'-def by (cases S, cases conflicting S)
    (auto simp add: Let-def split: split-if-asm option.splits)

lemma do-other-step-not-conflicting-one-more-decide-bt:
  assumes
    conflicting (rough-state-of S) ≠ None and
    conflicting (rough-state-of (do-other-step' S)) = None and
    do-other-step' S ≠ S
  shows length (filter is-marked (trail (rough-state-of S)))
    > length (filter is-marked (trail (rough-state-of (do-other-step' S))))
proof (cases S, goal-cases)
  case (1 y) note S = this(1) and inv = this(2)
  obtain M N U k E where y: y = (M, N, U, k, Some E)
    using assms(1) S inv by (cases y, cases conflicting y) auto
  have M: rough-state-of (state-of (M, N, U, k,  Some E)) = (M, N, U, k,  Some E)
    using inv y by (auto simp add: state-of-inverse)
  have bt: do-other-step' S = state-of (do-backtrack-step (rough-state-of S))
    proof (cases rough-state-of S rule: do-decide-step.cases)
      case 1
      then show ?thesis
        using assms(1,2) by auto[]
    next
      case (2 v vb vd vf vh)
      have f3: ⋀c. (if do-skip-step (rough-state-of c) ≠ rough-state-of c
        then do-skip-step (rough-state-of c)
        else if do-resolve-step (do-skip-step (rough-state-of c)) ≠ do-skip-step (rough-state-of c)
             then do-resolve-step (do-skip-step (rough-state-of c))
             else if do-backtrack-step (do-resolve-step (do-skip-step (rough-state-of c)))
               ≠ do-resolve-step (do-skip-step (rough-state-of c))
             then do-backtrack-step (do-resolve-step (do-skip-step (rough-state-of c)))
             else do-decide-step (do-backtrack-step (do-resolve-step
               (do-skip-step (rough-state-of c)))))
        = rough-state-of (do-other-step' c)
        by (simp add: rough-state-of-do-other-step')
      have (trail (rough-state-of (do-other-step' S)), clss (rough-state-of (do-other-step' S)),
          learned-clss (rough-state-of (do-other-step' S)),
          backtrack-lvl (rough-state-of (do-other-step' S)), None)
        = rough-state-of (do-other-step' S)
        using assms(2) by (metis (no-types) state-conv)
      then show ?thesis
```

        using *f3 2* **by** (*metis* (*no-types*) *do-decide-step.simps*(*2*) *do-resolve-step-trail-is-None*
          do-skip-step-trail-is-None rough-state-of-inverse)
    qed
  show *?case*
    using *assms*(*2*) *S* **unfolding** *bt y inv*
    apply *simp*
    by (*auto simp add*: *M bt-cut-not-none*
          split: *option.splits*
          dest!: *bt-cut-some-decomp*)
qed

lemma *do-other-step-not-conflicting-one-more-decide*:
  assumes *conflicting* (*rough-state-of S*) = *None* **and**
  do-other-step′ S ≠ *S*
  shows *1* + *length* (*filter is-marked* (*trail* (*rough-state-of S*)))
    = *length* (*filter is-marked* (*trail* (*rough-state-of* (*do-other-step′ S*))))
proof (*cases S*, *goal-cases*)
  case (*1 y*) **note** *S* = *this*(*1*) **and** *inv* = *this*(*2*)
  obtain *M N U k* **where** *y*: *y* = (*M*, *N*, *U*, *k*, *None*) **using** *assms*(*1*) *S inv* **by** (*cases y*) *auto*
  have *M*: *rough-state-of* (*state-of* (*M*, *N*, *U*, *k*, *None*)) = (*M*, *N*, *U*, *k*, *None*)
    using *inv y* **by** (*auto simp add*: *state-of-inverse*)
  have *state-of* (*do-decide-step* (*M*, *N*, *U*, *k*, *None*)) ≠ *state-of* (*M*, *N*, *U*, *k*, *None*)
    using *assms*(*2*) **unfolding** *do-other-step′-def y inv S* **by** (*auto simp add*: *M*)
  then have *f4*: *do-skip-step* (*rough-state-of S*) = *rough-state-of S*
    unfolding *S M y* **by** (*metis* (*full-types*) *do-skip-step.simps*(*4*))
  have *f5*: *do-resolve-step* (*rough-state-of S*) = *rough-state-of S*
    unfolding *S M y* **by** (*metis* (*no-types*) *do-resolve-step.simps*(*4*))
  have *f6*: *do-backtrack-step* (*rough-state-of S*) = *rough-state-of S*
    unfolding *S M y* **by** (*metis* (*no-types*) *do-backtrack-step.simps*(*2*))
  have *do-other-step* (*rough-state-of S*) ≠ *rough-state-of S*
    using *assms*(*2*) **unfolding** *S M y do-other-step′-def* **by** (*metis* (*no-types*))
  then show *?case*
    using *f6 f5 f4* **by** (*simp add*: *assms*(*1*) *do-decide-step-not-conflicting-one-more-decide*
      do-other-step′-def)
qed

lemma *rough-state-of-state-of-do-skip-step-rough-state-of*[*simp*]:
  rough-state-of (*state-of* (*do-skip-step* (*rough-state-of S*))) = *do-skip-step* (*rough-state-of S*)
  by (*smt do-other-step.simps rough-state-of-inverse rough-state-of-state-of-do-other-step*)

lemma *conflicting-do-resolve-step-iff*[*iff*]:
  conflicting (*do-resolve-step S*) = *None* ⟷ *conflicting S* = *None*
  by (*cases S rule*: *do-resolve-step.cases*)
   (*auto simp add*: *Let-def split*: *option.splits*)

lemma *conflicting-do-skip-step-iff*[*iff*]:
  conflicting (*do-skip-step S*) = *None* ⟷ *conflicting S* = *None*
  by (*cases S rule*: *do-skip-step.cases*)
     (*auto simp add*: *Let-def split*: *option.splits*)

lemma *conflicting-do-decide-step-iff*[*iff*]:
  conflicting (*do-decide-step S*) = *None* ⟷ *conflicting S* = *None*
  by (*cases S rule*: *do-decide-step.cases*)
     (*auto simp add*: *Let-def split*: *option.splits*)

lemma *conflicting-do-backtrack-step-imp*[*simp*]:
  do-backtrack-step S $\neq$ *S* $\Longrightarrow$ *conflicting* (*do-backtrack-step S*) = *None*
  by (*cases S rule*: *do-backtrack-step.cases*)
     (*auto simp add*: *Let-def split*: *list.splits option.splits marked-lit.splits*)

lemma *do-skip-step-eq-iff-trail-eq*:
  do-skip-step S = *S* $\longleftrightarrow$ *trail* (*do-skip-step S*) = *trail S*
  by (*cases S rule*: *do-skip-step.cases*) *auto*

lemma *do-decide-step-eq-iff-trail-eq*:
  do-decide-step S = *S* $\longleftrightarrow$ *trail* (*do-decide-step S*) = *trail S*
  by (*cases S rule*: *do-decide-step.cases*) (*auto split*: *option.split*)

lemma *do-backtrack-step-eq-iff-trail-eq*:
  do-backtrack-step S = *S* $\longleftrightarrow$ *trail* (*do-backtrack-step S*) = *trail S*
  by (*cases S rule*: *do-backtrack-step.cases*)
     (*auto split*: *option.split list.splits marked-lit.splits*
       dest!: *bt-cut-in-get-all-marked-decomposition*)

lemma *do-resolve-step-eq-iff-trail-eq*:
  do-resolve-step S = *S* $\longleftrightarrow$ *trail* (*do-resolve-step S*) = *trail S*
  by (*cases S rule*: *do-resolve-step.cases*) *auto*

lemma *do-other-step-eq-iff-trail-eq*:
  trail (*do-other-step S*) = *trail S* $\longleftrightarrow$ *do-other-step S* = *S*
  by (*auto simp add*: *Let-def do-skip-step-eq-iff-trail-eq*[*symmetric*]
    do-decide-step-eq-iff-trail-eq[*symmetric*] *do-backtrack-step-eq-iff-trail-eq*[*symmetric*]
    do-resolve-step-eq-iff-trail-eq[*symmetric*])

lemma *do-full1-cp-step-do-other-step′-normal-form*[*dest*!]:
  assumes *H*: *do-full1-cp-step* (*do-other-step′ S*) = *S*
  shows *do-other-step′ S* = *S* $\wedge$ *do-full1-cp-step S* = *S*
proof −
  let *?T* = *do-other-step′ S*
  { assume *confl*: *conflicting* (*rough-state-of ?T*) $\neq$ *None*
    then have *tr*: *trail* (*rough-state-of* (*do-full1-cp-step ?T*)) = *trail* (*rough-state-of ?T*)
      using *do-full1-cp-step-conflicting* **by** *auto*
    have *trail* (*rough-state-of* (*do-full1-cp-step* (*do-other-step′ S*))) = *trail* (*rough-state-of S*)
      using *arg-cong*[*OF H*, *of* λ*S*. *trail* (*rough-state-of S*)] **.**
    then have *trail* (*rough-state-of* (*do-other-step′ S*)) = *trail* (*rough-state-of S*)
       by (*auto simp add*: *do-full1-cp-step-conflicting confl*)
    then have *do-other-step′ S* = *S*
      by (*simp add*: *do-other-step-eq-iff-trail-eq do-other-step′-def*
        del: *do-other-step.simps*)
  }
  moreover {
    assume *eq*[*simp*]: *do-other-step′ S* = *S*
    obtain *c* **where** *c*: *trail* (*rough-state-of* (*do-full1-cp-step S*)) = *c* @ *trail* (*rough-state-of S*)
      using *do-full1-cp-step-neq-trail-increase* **by** *auto*

    moreover have *trail* (*rough-state-of* (*do-full1-cp-step S*)) = *trail* (*rough-state-of S*)
      using *arg-cong*[*OF H*, *of* λ*S*. *trail* (*rough-state-of S*)] **by** *simp*
    finally have *c* = [] **by** *blast*
    then have *do-full1-cp-step S* = *S* **using** *assms* **by** *auto*

```
    }
  moreover {
    assume confl: conflicting (rough-state-of ?T) = None and neq: do-other-step' S ≠ S
    obtain c where
      c: trail (rough-state-of (do-full1-cp-step ?T)) = c @ trail (rough-state-of ?T) and
      nm: ∀ m∈set c. ¬ is-marked m
      using do-full1-cp-step-neq-trail-increase by auto
    have length (filter is-marked (trail (rough-state-of (do-full1-cp-step ?T))))
       = length (filter is-marked (trail (rough-state-of ?T))) using nm unfolding c by force
    moreover have length (filter is-marked (trail (rough-state-of S)))
       ≠ length (filter is-marked  (trail (rough-state-of ?T)))
      using do-other-step-not-conflicting-one-more-decide[OF - neq]
      do-other-step-not-conflicting-one-more-decide-bt[of S, OF - confl neq]
      by linarith
    finally have False unfolding H by blast
  }
  ultimately show ?thesis by blast
qed

lemma do-cdcl_W-stgy-step-no:
  assumes S: do-cdcl_W-stgy-step S = S
  shows no-step cdcl_W-stgy (toS (rough-state-of S))
proof –
  {
    fix S'
    assume full1 cdcl_W-cp (toS (rough-state-of S)) S'
    then have False
      using do-full1-cp-step-full[of S] unfolding full-def S rtranclp-unfold full1-def
      by (smt assms do-cdcl_W-stgy-step-def tranclpD)
  }
  moreover {
    fix S' S''
    assume  cdcl_W-o (toS (rough-state-of S)) S' and
     no-step propagate (toS (rough-state-of S)) and
     no-step conflict (toS (rough-state-of S)) and
     full cdcl_W-cp S' S''
    then have False
      using assms unfolding do-cdcl_W-stgy-step-def
      by (smt cdcl_W-all-struct-inv-rough-state do-full1-cp-step-do-other-step'-normal-form
        do-other-step-no rough-state-of-do-other-step')
  }
  ultimately show ?thesis using assms by (force simp: cdcl_W-cp.simps cdcl_W-stgy.simps)
qed

lemma toS-rough-state-of-state-of-rough-state-from-init-state-of[simp]:
  toS (rough-state-of (state-of (rough-state-from-init-state-of S)))
   = toS (rough-state-from-init-state-of S)
  using rough-state-from-init-state-of[of S] by (auto simp add: state-of-inverse)

lemma cdcl_W-cp-is-rtranclp-cdcl_W: cdcl_W-cp S T ⟹ cdcl_W^** S T
  apply (induction rule: cdcl_W-cp.induct)
   using conflict apply blast
  using propagate by blast

lemma rtranclp-cdcl_W-cp-is-rtranclp-cdcl_W: cdcl_W-cp^** S T ⟹ cdcl_W^** S T
```

apply (*induction rule*: *rtranclp-induct*)
apply *simp*
by (*fastforce dest*!: *cdcl*$_W$*-cp-is-rtranclp-cdcl*$_W$)

lemma *cdcl*$_W$*-stgy-is-rtranclp-cdcl*$_W$:
cdcl$_W$*-stgy S T* $\Longrightarrow$ *cdcl*$_W$** *S T*
apply (*induction rule*: *cdcl*$_W$*-stgy.induct*)
using *cdcl*$_W$*-stgy.conflict'* *rtranclp-cdcl*$_W$*-stgy-rtranclp-cdcl*$_W$ **apply** *blast*
unfolding *full-def* **by** (*fastforce dest*!:*other rtranclp-cdcl*$_W$*-cp-is-rtranclp-cdcl*$_W$)

lemma *cdcl*$_W$*-stgy-init-clss*: *cdcl*$_W$*-stgy S T* $\Longrightarrow$ *cdcl*$_W$*-M-level-inv S* $\Longrightarrow$ *clss S* = *clss T*
using *rtranclp-cdcl*$_W$*-init-clss cdcl*$_W$*-stgy-is-rtranclp-cdcl*$_W$ **by** *fast*

lemma *clauses-toS-rough-state-of-do-cdcl*$_W$*-stgy-step*[*simp*]:
clss (*toS* (*rough-state-of* (*do-cdcl*$_W$*-stgy-step* (*state-of* (*rough-state-from-init-state-of S*)))))
= *clss* (*toS* (*rough-state-from-init-state-of S*)) (**is** - = *clss* (*toS ?S*))
apply (*cases do-cdcl*$_W$*-stgy-step* (*state-of ?S*) = *state-of ?S*)
apply *simp*
by (*smt cdcl*$_W$*-all-struct-inv-def cdcl*$_W$*-all-struct-inv-rough-state cdcl*$_W$*-stgy-no-more-init-clss*
do-cdcl$_W$*-stgy-step toS-rough-state-of-state-of-rough-state-from-init-state-of*)

lemma *rough-state-from-init-state-of-do-cdcl*$_W$*-stgy-step'*[*code abstract*]:
rough-state-from-init-state-of (*do-cdcl*$_W$*-stgy-step' S*) =
rough-state-of (*do-cdcl*$_W$*-stgy-step* (*id-of-I-to S*))
proof –
let *?S* = (*rough-state-from-init-state-of S*)
have *cdcl*$_W$*-stgy*** (*S0-cdcl*$_W$ (*clss* (*toS* (*rough-state-from-init-state-of S*))))
(*toS* (*rough-state-from-init-state-of S*))
using *rough-state-from-init-state-of*[*of S*] **by** *auto*
moreover have *cdcl*$_W$*-stgy***
(*toS* (*rough-state-from-init-state-of S*))
(*toS* (*rough-state-of* (*do-cdcl*$_W$*-stgy-step*
(*state-of* (*rough-state-from-init-state-of S*)))))
using *do-cdcl*$_W$*-stgy-step*[*of state-of ?S*]
by (*cases do-cdcl*$_W$*-stgy-step* (*state-of ?S*) = *state-of ?S*) *auto*
ultimately show *?thesis*
unfolding *do-cdcl*$_W$*-stgy-step'-def id-of-I-to-def*
by (*auto intro*!: *state-from-init-state-of-inverse*)
qed

All rules together **function** *do-all-cdcl*$_W$*-stgy* **where**
do-all-cdcl$_W$*-stgy S* =
(*let T* = *do-cdcl*$_W$*-stgy-step' S in*
if T = *S then S else do-all-cdcl*$_W$*-stgy T*)
by *fast*+
termination
proof (*relation* {(*T*, *S*).
(*cdcl*$_W$*-measure* (*toS* (*rough-state-from-init-state-of T*)),
cdcl$_W$*-measure* (*toS* (*rough-state-from-init-state-of S*)))
$\in$ *lexn* {(*a*, *b*). *a* < *b*} *3*}, *goal-cases*)
case *1*
show *?case* **by** (*rule wf-if-measure-f*) (*auto intro*!: *wf-lexn wf-less*)
next
case (*2 S T*) **note** *T* = *this*(*1*) **and** *ST* = *this*(*2*)
let *?S* = *rough-state-from-init-state-of S*

```
  have S: cdcl_W-stgy** (S0-cdcl_W (clss (toS ?S))) (toS ?S)
    using rough-state-from-init-state-of[of S] by auto
  moreover have cdcl_W-stgy (toS (rough-state-from-init-state-of S))
    (toS (rough-state-from-init-state-of T))
    proof -
      have ⋀c. rough-state-of (state-of (rough-state-from-init-state-of c)) =
        rough-state-from-init-state-of c
        using rough-state-from-init-state-of by force
      then have do-cdcl_W-stgy-step (state-of (rough-state-from-init-state-of S))
        ≠ state-of (rough-state-from-init-state-of S)
        using ST T by (metis (no-types) id-of-I-to-def rough-state-from-init-state-of-inject
          rough-state-from-init-state-of-do-cdcl_W-stgy-step')
      then show ?thesis
        using do-cdcl_W-stgy-step id-of-I-to-def rough-state-from-init-state-of-do-cdcl_W-stgy-step' T
        by fastforce
    qed
  moreover
    have cdcl_W-all-struct-inv (toS (rough-state-from-init-state-of S))
      using rough-state-from-init-state-of[of S] by auto
    then have cdcl_W-all-struct-inv (S0-cdcl_W (clss (toS (rough-state-from-init-state-of S))))
      by (cases rough-state-from-init-state-of S)
         (auto simp add: cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def)
  ultimately show ?case
    by (auto intro!: cdcl_W-stgy-step-decreasing[of - - S0-cdcl_W (clss (toS ?S))]
      simp del: cdcl_W-measure.simps)
qed

thm do-all-cdcl_W-stgy.induct
lemma do-all-cdcl_W-stgy-induct:
  (⋀S. (do-cdcl_W-stgy-step' S ≠ S ⟹ P (do-cdcl_W-stgy-step' S)) ⟹ P S) ⟹ P a0
 using do-all-cdcl_W-stgy.induct by metis

lemma no-step-cdcl_W-stgy-cdcl_W-all:
  no-step cdcl_W-stgy (toS (rough-state-from-init-state-of (do-all-cdcl_W-stgy S)))
  apply (induction S rule:do-all-cdcl_W-stgy-induct)
  apply (rename-tac S, case-tac do-cdcl_W-stgy-step' S ≠ S)
proof -
  fix Sa :: cdcl_W-state-inv-from-init-state
  assume a1: ¬ do-cdcl_W-stgy-step' Sa ≠ Sa
  { fix pp
    have (if True then Sa else do-all-cdcl_W-stgy Sa) = do-all-cdcl_W-stgy Sa
      using a1 by auto
    then have ¬ cdcl_W-stgy (toS (rough-state-from-init-state-of (do-all-cdcl_W-stgy Sa))) pp
      using a1 by (metis (no-types) do-cdcl_W-stgy-step-no id-of-I-to-def
        rough-state-from-init-state-of-do-cdcl_W-stgy-step' rough-state-of-inverse) }
  then show no-step cdcl_W-stgy (toS (rough-state-from-init-state-of (do-all-cdcl_W-stgy Sa)))
    by fastforce
next
  fix Sa :: cdcl_W-state-inv-from-init-state
  assume a1: do-cdcl_W-stgy-step' Sa ≠ Sa
    ⟹ no-step cdcl_W-stgy (toS (rough-state-from-init-state-of
      (do-all-cdcl_W-stgy (do-cdcl_W-stgy-step' Sa))))
  assume a2: do-cdcl_W-stgy-step' Sa ≠ Sa
  have do-all-cdcl_W-stgy Sa = do-all-cdcl_W-stgy (do-cdcl_W-stgy-step' Sa)
    by (metis (full-types) do-all-cdcl_W-stgy.simps)
```

  then show *no-step* $cdcl_W$*-stgy* (*toS* (*rough-state-from-init-state-of* (*do-all-*$cdcl_W$*-stgy Sa*)))
    using *a2 a1* **by** *presburger*
qed

lemma *do-all-*$cdcl_W$*-stgy-is-rtranclp-*$cdcl_W$*-stgy*:
  $cdcl_W$*-stgy*** (*toS* (*rough-state-from-init-state-of S*))
    (*toS* (*rough-state-from-init-state-of* (*do-all-*$cdcl_W$*-stgy S*)))
proof (*induction S rule*: *do-all-*$cdcl_W$*-stgy-induct*)
  case (*1 S*) **note** *IH* = *this*(*1*)
  show *?case*
    proof (*cases do-*$cdcl_W$*-stgy-step′ S* = *S*)
      case *True*
      then show *?thesis* **by** *simp*
    next
      case *False*
      have *f2*: *do-*$cdcl_W$*-stgy-step* (*id-of-I-to S*) = *id-of-I-to S* ⟶
        rough-state-from-init-state-of (*do-*$cdcl_W$*-stgy-step′ S*)
        = *rough-state-of* (*state-of* (*rough-state-from-init-state-of S*))
        using *id-of-I-to-def rough-state-from-init-state-of-do-*$cdcl_W$*-stgy-step′* **by** *presburger*
      have *f3*: *do-all-*$cdcl_W$*-stgy S* = *do-all-*$cdcl_W$*-stgy* (*do-*$cdcl_W$*-stgy-step′ S*)
        by (*metis* (*full-types*) *do-all-*$cdcl_W$*-stgy.simps*)
      have $cdcl_W$*-stgy* (*toS* (*rough-state-from-init-state-of S*))
          (*toS* (*rough-state-from-init-state-of* (*do-*$cdcl_W$*-stgy-step′ S*)))
        = $cdcl_W$*-stgy* (*toS* (*rough-state-of* (*id-of-I-to S*)))
          (*toS* (*rough-state-of* (*do-*$cdcl_W$*-stgy-step* (*id-of-I-to S*))))
        using *id-of-I-to-def rough-state-from-init-state-of-do-*$cdcl_W$*-stgy-step′*
        toS-rough-state-of-state-of-rough-state-from-init-state-of **by** *presburger*
      then show *?thesis*
        using *f3 f2 IH do-*$cdcl_W$*-stgy-step* **by** *fastforce*
    qed
qed

Final theorem:

lemma *DPLL-tot-correct*:
  assumes
    r: *rough-state-from-init-state-of* (*do-all-*$cdcl_W$*-stgy* (*state-from-init-state-of*
      (([], *map remdups N*, [], *0*, *None*)))) = *S* **and**
    S: (*M′*, *N′*, *U′*, *k*, *E*) = *toS S*
  shows (*E* ≠ *Some* {#} ∧ *satisfiable* (*set* (*map mset N*)))
    ∨ (*E* = *Some* {#} ∧ *unsatisfiable* (*set* (*map mset N*)))
proof −
  let *?N* = *map remdups N*
  have *inv*: $cdcl_W$*-all-struct-inv* (*toS* ([], *map remdups N*, [], *0*, *None*))
    unfolding $cdcl_W$*-all-struct-inv-def distinct-*$cdcl_W$*-state-def distinct-mset-set-def* **by** *auto*
  then have *S0*: *rough-state-of* (*state-of* ([], *map remdups N*, [], *0*, *None*))
    = ([], *map remdups N*, [], *0*, *None*) **by** *simp*
  have *1*: *full* $cdcl_W$*-stgy* (*toS* ([], *?N*, [], *0*, *None*)) (*toS S*)
    unfolding *full-def* **apply** *rule*
      using *do-all-*$cdcl_W$*-stgy-is-rtranclp-*$cdcl_W$*-stgy*[*of*
        state-from-init-state-of ([], *map remdups N*, [], *0*, *None*)] *inv*
        no-step-$cdcl_W$*-stgy-*$cdcl_W$*-all*
        by (*auto simp del*: *do-all-*$cdcl_W$*-stgy.simps simp*: *state-from-init-state-of-inverse*
          r[*symmetric*])+
  moreover have *2*: *finite* (*set* (*map mset ?N*)) **by** *auto*
  moreover have *3*: *distinct-mset-set* (*set* (*map mset ?N*))

```
    unfolding distinct-mset-set-def by auto
  moreover
    have cdcl_W-all-struct-inv (toS S)
      by (metis (no-types) cdcl_W-all-struct-inv-rough-state r
        toS-rough-state-of-state-of-rough-state-from-init-state-of)
    then have cons: consistent-interp (lits-of M')
      unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def S[symmetric] by auto
  moreover
    have clss (toS ([], ?N, [], 0, None)) = clss (toS S)
      apply (rule rtranclp-cdcl_W-init-clss)
      using 1 unfolding full-def by (auto simp add: rtranclp-cdcl_W-stgy-rtranclp-cdcl_W)
    then have N': mset (map mset ?N) = N'
      using S[symmetric] by auto
  have (E ≠ Some {#} ∧ satisfiable (set (map mset ?N)))
    ∨ (E = Some {#} ∧ unsatisfiable (set (map mset ?N)))
    using full-cdcl_W-stgy-final-state-conclusive unfolding N' apply rule
        using 1 apply simp
       using 2 apply simp
      using 3 apply simp
     using S[symmetric] N' apply auto[1]
   using S[symmetric] N' cons by (fastforce simp: true-annots-true-cls)
  then show ?thesis by auto
qed
```

The Code The SML code is skipped in the documentation, but stays to ensure that some version of the exported code is working. The only difference between the generated code and the one used here is the export of the constructor ConI.

```
end
theory CDCL-WNOT
imports CDCL-W-Termination CDCL-NOT
begin
```

19 Link between Weidenbach's and NOT's CDCL

19.1 Inclusion of the states

```
declare upt.simps(2)[simp del]
sledgehammer-params[verbose]

context cdcl_W
begin

lemma backtrack-levE:
  backtrack S S' ⟹ cdcl_W-M-level-inv S ⟹
  (⋀D L K M1 M2.
    (Marked K (Suc (get-maximum-level (trail S) D)) # M1, M2)
      ∈ set (get-all-marked-decomposition (trail S)) ⟹
    get-level (trail S) L = get-maximum-level (trail S) (D + {#L#}) ⟹
    undefined-lit M1 L ⟹
    S' ∼ cons-trail (Propagated L (D + {#L#}))
      (reduce-trail-to M1 (add-learned-cls (D + {#L#})
        (update-backtrack-lvl (get-maximum-level (trail S) D) (update-conflicting None S)))) ⟹
    backtrack-lvl S = get-maximum-level (trail S) (D + {#L#}) ⟹
    conflicting S = Some (D + {#L#}) ⟹ P) ⟹
  P
  using assms by (induction rule: backtrack-induction-lev2) metis

lemma backtrack-no-cdcl_W-bj:
  assumes cdcl: cdcl_W-bj T U and inv: cdcl_W-M-level-inv V
  shows ¬backtrack V T
  using cdcl inv
  apply (induction rule: cdcl_W-bj.induct)
    apply (elim skipE, force elim!: backtrack-levE[OF - inv] simp: cdcl_W-M-level-inv-def)
   apply (elim resolveE, force elim!: backtrack-levE[OF - inv] simp: cdcl_W-M-level-inv-def)
  apply standard
  apply (elim backtrack-levE[OF - inv], elim backtrackE)
  apply (force simp del: state-simp simp add: state-eq-conflicting cdcl_W-M-level-inv-decomp)
  done


abbreviation skip-or-resolve :: 'st ⇒ 'st ⇒ bool where
skip-or-resolve ≡ (λS T. skip S T ∨ resolve S T)

lemma rtranclp-cdcl_W-bj-skip-or-resolve-backtrack:
  assumes cdcl_W-bj** S U and inv: cdcl_W-M-level-inv S
  shows skip-or-resolve** S U ∨ (∃ T. skip-or-resolve** S T ∧ backtrack T U)
  using assms
proof (induction)
  case base
  then show ?case by simp
next
  case (step U V) note st = this(1) and bj = this(2) and IH = this(3)[OF this(4)]
  consider
      (SU) S = U
    | (SUp) cdcl_W-bj++ S U
    using st unfolding rtranclp-unfold by blast
  then show ?case
    proof cases
      case SUp
      have ⋀T. skip-or-resolve** S T ⟹ cdcl_W** S T
        using mono-rtranclp[of skip-or-resolve cdcl_W] other by blast
      then have skip-or-resolve** S U
        using bj IH inv backtrack-no-cdcl_W-bj rtranclp-cdcl_W-consistent-inv[OF - inv] by meson
      then show ?thesis
        using bj by (metis (no-types, lifting) cdcl_W-bj.cases rtranclp.simps)
    next
      case SU
      then show ?thesis
        using bj by (metis (no-types, lifting) cdcl_W-bj.cases rtranclp.simps)
    qed
qed

lemma rtranclp-skip-or-resolve-rtranclp-cdcl_W:
  skip-or-resolve** S T ⟹ cdcl_W** S T
  by (induction rule: rtranclp-induct) (auto dest!: cdcl_W-bj.intros cdcl_W.intros cdcl_W-o.intros)

definition backjump-l-cond :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ bool where
backjump-l-cond ≡ λC C' L' S. True
```

definition *inv*$_{NOT}$ :: *'st* ⇒ *bool* **where**
inv$_{NOT}$ ≡ λ*S*. *no-dup* (*trail S*)

declare *inv*$_{NOT}$*-def*[*simp*]
end

fun *convert-marked-lit-from-W* **where**
convert-marked-lit-from-W (*Propagated L -*) = *Propagated L* () |
convert-marked-lit-from-W (*Marked L -*) = *Marked L* ()

abbreviation *convert-trail-from-W* ::
  (*'v*, *'lvl*, *'a*) *marked-lit list*
    ⇒ (*'v*, *unit*, *unit*) *marked-lit list* **where**
convert-trail-from-W ≡ *map convert-marked-lit-from-W*

lemma *lits-of-convert-trail-from-W*[*simp*]:
  lits-of (*convert-trail-from-W M*) = *lits-of M*
  by (*induction rule*: *marked-lit-list-induct*) *simp-all*

lemma *lit-of-convert-trail-from-W*[*simp*]:
  lit-of (*convert-marked-lit-from-W L*) = *lit-of L*
  by (*cases L*) *auto*

lemma *no-dup-convert-from-W*[*simp*]:
  no-dup (*convert-trail-from-W M*) ⟷ *no-dup M*
  by (*auto simp*: *comp-def*)

lemma *convert-trail-from-W-true-annots*[*simp*]:
  convert-trail-from-W M ⊨*as C* ⟷ *M* ⊨*as C*
  by (*auto simp*: *true-annots-true-cls*)

lemma *defined-lit-convert-trail-from-W*[*simp*]:
  defined-lit (*convert-trail-from-W S*) *L* ⟷ *defined-lit S L*
  by (*auto simp*: *defined-lit-map image-comp*)

The values *0* and {#} are dummy values.

fun *convert-marked-lit-from-NOT*
  :: (*'a*, *'e*, *'b*) *marked-lit* ⇒ (*'a*, *nat*, *'a literal multiset*) *marked-lit* **where**
convert-marked-lit-from-NOT (*Propagated L -*) = *Propagated L* {#} |
convert-marked-lit-from-NOT (*Marked L -*) = *Marked L 0*

abbreviation *convert-trail-from-NOT* **where**
convert-trail-from-NOT ≡ *map convert-marked-lit-from-NOT*

lemma *undefined-lit-convert-trail-from-NOT*[*simp*]:
  undefined-lit (*convert-trail-from-NOT F*) *L* ⟷ *undefined-lit F L*
  by (*induction F rule*: *marked-lit-list-induct*) (*auto simp*: *defined-lit-map*)

lemma *lits-of-convert-trail-from-NOT*:
  lits-of (*convert-trail-from-NOT F*) = *lits-of F*
  by (*induction F rule*: *marked-lit-list-induct*) *auto*

lemma *convert-trail-from-W-from-NOT*[*simp*]:
  convert-trail-from-W (*convert-trail-from-NOT M*) = *M*
  by (*induction rule*: *marked-lit-list-induct*) *auto*

lemma *convert-trail-from-W-convert-lit-from-NOT*[*simp*]:
  convert-marked-lit-from-W (*convert-marked-lit-from-NOT L*) = *L*
  by (*cases L*) *auto*

abbreviation $trail_{NOT}$ **where**
$trail_{NOT}$ *S* ≡ *convert-trail-from-W* (*fst S*)

lemma *undefined-lit-convert-trail-from-W*[*iff*]:
  undefined-lit (*convert-trail-from-W M*) *L* ⟷ *undefined-lit M L*
  by (*auto simp*: *defined-lit-map image-comp*)

lemma *lit-of-convert-marked-lit-from-NOT*[*iff*]:
  lit-of (*convert-marked-lit-from-NOT L*) = *lit-of L*
  by (*cases L*) *auto*

sublocale $state_W$ ⊆ *dpll-state*
  λ*S*. *convert-trail-from-W* (*trail S*)
  clauses
  λ*L S*. *cons-trail* (*convert-marked-lit-from-NOT L*) *S*
  λ*S*. *tl-trail S*
  λ*C S*. *add-learned-cls C S*
  λ*C S*. *remove-cls C S*
  by *unfold-locales* (*auto simp*: *map-tl o-def*)

context $state_W$
begin
declare $state\text{-}simp_{NOT}$[*simp del*]
end

sublocale $cdcl_W$ ⊆ $cdcl_{NOT}$*-merge-bj-learn-ops*
  λ*S*. *convert-trail-from-W* (*trail S*)
  clauses
  λ*L S*. *cons-trail* (*convert-marked-lit-from-NOT L*) *S*
  λ*S*. *tl-trail S*
  λ*C S*. *add-learned-cls C S*
  λ*C S*. *remove-cls C S*
  λ- -. *True*
   λ- *S*. *conflicting S* = *None*
  λ*C C' L' S*. *backjump-l-cond C C' L' S* ∧ *distinct-mset* (*C'* + {#*L'*#}) ∧ ¬*tautology* (*C'* + {#*L'*#})
  by *unfold-locales*

sublocale $cdcl_W$ ⊆ $cdcl_{NOT}$*-merge-bj-learn-proxy*
  λ*S*. *convert-trail-from-W* (*trail S*)
  clauses
  λ*L S*. *cons-trail* (*convert-marked-lit-from-NOT L*) *S*
  λ*S*. *tl-trail S*
  λ*C S*. *add-learned-cls C S*
  λ*C S*. *remove-cls C S*
  λ- -. *True*
  λ- *S*. *conflicting S* = *None backjump-l-cond* inv_{NOT}
proof (*unfold-locales*, *goal-cases*)
  case *2*
  then show *?case* **using** $cdcl_{NOT}$*-merged-bj-learn-no-dup-inv* **by** (*auto simp*: *comp-def*)
next

```
  case (1 C' S C F' K F L)
  moreover
    let ?C' = remdups-mset C'
    have L ∉# C'
      using ‹F ⊨as CNot C'› ‹undefined-lit F L› Marked-Propagated-in-iff-in-lits-of
      in-CNot-implies-uminus(2) by blast
    then have distinct-mset (?C' + {#L#})
      by (metis count-mset-set(3) distinct-mset-remdups-mset distinct-mset-single-add
        less-irrefl-nat mem-set-mset-iff remdups-mset-def)
  moreover
    have no-dup F
      using ‹inv_NOT S› ‹convert-trail-from-W (trail S) = F' @ Marked K () # F›
      unfolding inv_NOT-def
      by (smt comp-apply distinct.simps(2) distinct-append list.simps(9) map-append
        no-dup-convert-from-W)
    then have consistent-interp (lits-of F)
      using distinctconsistent-interp by blast
    then have ¬ tautology (C')
      using ‹F ⊨as CNot C'› consistent-CNot-not-tautology true-annots-true-cls by blast
    then have ¬ tautology (?C' + {#L#})
      using ‹F ⊨as CNot C'› ‹undefined-lit F L› by (metis  CNot-remdups-mset
        Marked-Propagated-in-iff-in-lits-of add.commute in-CNot-uminus tautology-add-single
        tautology-remdups-mset true-annot-singleton true-annots-def)
  show ?case
    proof –
      have f2: no-dup (convert-trail-from-W (trail S))
        using ‹inv_NOT S› unfolding inv_NOT-def by (simp add: o-def)
      have f3: atm-of L ∈ atms-of-msu (clauses S)
        ∪ atm-of ‘ lits-of (convert-trail-from-W (trail S))
        using ‹convert-trail-from-W (trail S) = F' @ Marked K () # F›
        ‹atm-of L ∈ atms-of-msu (clauses S) ∪ atm-of ‘ lits-of (F' @ Marked K () # F)› by auto
      have f4: clauses S ⊨pm remdups-mset C' + {#L#}
        by (metis (no-types) ‹L ∉# C'› ‹clauses S ⊨pm C' + {#L#}› remdups-mset-singleton-sum(2)
          true-clss-cls-remdups-mset union-commute)
      have F ⊨as CNot (remdups-mset C')
        by (simp add: ‹F ⊨as CNot C'›)
      then show ?thesis
        using f4 f3 f2 ‹¬ tautology (remdups-mset C' + {#L#})›
        backjump-l.intros[OF  - f2] calculation(2−5,9)
        state-eq_NOT-ref unfolding backjump-l-cond-def by blast
    qed
qed

sublocale cdcl_W ⊆ cdcl_NOT-merge-bj-learn-proxy2
  λS. convert-trail-from-W (trail S)
  clauses
  λL S. cons-trail (convert-marked-lit-from-NOT L) S
  λS. tl-trail S
  λC S. add-learned-cls C S
  λC S. remove-cls C S λ- -. True  inv_NOT
  λ- S. conflicting S = None backjump-l-cond
  by unfold-locales

sublocale cdcl_W ⊆ cdcl_NOT-merge-bj-learn
  λS. convert-trail-from-W (trail S)
```

clauses
λL S. cons-trail (convert-marked-lit-from-NOT L) S
λS. tl-trail S
λC S. add-learned-cls C S
λC S. remove-cls C S λ- -. True inv_{NOT}
λ- S. conflicting S = None backjump-l-cond
apply *unfold-locales*
using *dpll-bj-no-dup* **apply** (*simp add*: *comp-def*)
using $cdcl_{NOT}$*-no-dup* **by** (*auto simp add*: *comp-def* $cdcl_{NOT}$*.simps*)

context $cdcl_W$
begin

Notations are lost while proving locale inclusion:

notation *state-eq*$_{NOT}$ (**infix** $\sim_{NOT}$ *50*)

19.2 Additional Lemmas between NOT and W states

lemma *trail*$_W$*-eq-reduce-trail-to*$_{NOT}$*-eq*:
trail S = trail T ⟹ trail (reduce-trail-to$_{NOT}$ *F S) = trail (reduce-trail-to*$_{NOT}$ *F T)*
proof (*induction F S arbitrary*: *T rule*: *reduce-trail-to*$_{NOT}$*.induct*)
case (*1 F S T*) **note** *IH = this*(*1*) **and** *tr = this*(*2*)
then have [] = *convert-trail-from-W* (*trail S*)
∨ *length F = length* (*convert-trail-from-W* (*trail S*))
∨ *trail* (*reduce-trail-to*$_{NOT}$ *F* (*tl-trail S*)) = *trail* (*reduce-trail-to*$_{NOT}$ *F* (*tl-trail T*))
using *IH* **by** (*metis* (*no-types*) *trail-tl-trail*)
then show *trail* (*reduce-trail-to*$_{NOT}$ *F S*) = *trail* (*reduce-trail-to*$_{NOT}$ *F T*)
using *tr* **by** (*metis* (*no-types*) *reduce-trail-to*$_{NOT}$*.elims*)
qed

lemma *trail-reduce-trail-to*$_{NOT}$*-add-learned-cls*:
no-dup (*trail S*) ⟹
trail (*reduce-trail-to*$_{NOT}$ *M* (*add-learned-cls D S*)) = *trail* (*reduce-trail-to*$_{NOT}$ *M S*)
by (*rule trail*$_W$*-eq-reduce-trail-to*$_{NOT}$*-eq*) *simp*

lemma *reduce-trail-to*$_{NOT}$*-reduce-trail-convert*:
reduce-trail-to$_{NOT}$ *C S = reduce-trail-to* (*convert-trail-from-NOT C*) *S*
apply (*induction C S rule*: *reduce-trail-to*$_{NOT}$*.induct*)
apply (*subst reduce-trail-to*$_{NOT}$*.simps*, *subst reduce-trail-to.simps*)
by *auto*

lemma *reduce-trail-to-length*:
length M = length M′ ⟹ reduce-trail-to M S = reduce-trail-to M′ S
apply (*induction M S arbitrary*: *rule*: *reduce-trail-to.induct*)
apply (*rename-tac F S*; *case-tac trail S ≠* [] ; *case-tac length* (*trail S*) *≠ length M′*)
by (*simp-all add*: *reduce-trail-to-length-ne*)

19.3 More lemmas conflict–propagate and backjumping

19.3.1 Termination

lemma $cdcl_W$*-cp-normalized-element-all-inv*:
assumes *inv*: $cdcl_W$*-all-struct-inv S*
obtains *T* **where** *full* $cdcl_W$*-cp S T*
using *assms* $cdcl_W$*-cp-normalized-element* **unfolding** $cdcl_W$*-all-struct-inv-def* **by** *blast*
thm *backtrackE*

lemma *cdcl$_W$-bj-measure*:
  assumes *cdcl$_W$-bj S T* **and** *cdcl$_W$-M-level-inv S*
  shows *length* (*trail S*) + (*if conflicting S* = *None then 0 else 1*)
    > *length* (*trail T*) + (*if conflicting T* = *None then 0 else 1*)
  using *assms* **by** (*induction rule*: *cdcl$_W$-bj.induct*)
  (*force dest*:*arg-cong*[*of - - length*]
    intro: *get-all-marked-decomposition-exists-prepend*
    elim!: *backtrack-levE*
    simp: *cdcl$_W$-M-level-inv-def*)+

lemma *wf-cdcl$_W$-bj*:
  wf {(*b*,*a*). *cdcl$_W$-bj a b* ∧ *cdcl$_W$-M-level-inv a*}
  apply (*rule wfP-if-measure*[*of* λ-. *True*
      - λ*T*. *length* (*trail T*) + (*if conflicting T* = *None then 0 else 1*), *simplified*])
  using *cdcl$_W$-bj-measure* **by** *blast*

lemma *cdcl$_W$-bj-exists-normal-form*:
  assumes *lev*: *cdcl$_W$-M-level-inv S*
  shows ∃ *T*. *full cdcl$_W$-bj S T*
proof −
  obtain *T* **where** *T*: *full* (λ*a b*. *cdcl$_W$-bj a b* ∧ *cdcl$_W$-M-level-inv a*) *S T*
    using *wf-exists-normal-form-full*[*OF wf-cdcl$_W$-bj*] **by** *auto*
  then have *cdcl$_W$-bj** S T*
     by (*auto dest*: *rtranclp-and-rtranclp-left simp*: *full-def*)
  moreover
    then have *cdcl$_W$** S T*
      using *mono-rtranclp*[*of cdcl$_W$-bj cdcl$_W$*] *cdcl$_W$.simps* **by** *blast*
    then have *cdcl$_W$-M-level-inv T*
      using *rtranclp-cdcl$_W$-consistent-inv lev* **by** *auto*
  ultimately show *?thesis* **using** *T* **unfolding** *full-def* **by** *auto*
qed

lemma *rtranclp-skip-state-decomp*:
  assumes *skip** S T* **and** *no-dup* (*trail S*)
  shows
    ∃*M*. *trail S* = *M* @ *trail T* ∧ (∀*m*∈*set M*. ¬*is-marked m*) **and**
    T ∼ *delete-trail-and-rebuild* (*trail T*) *S*
  using *assms* **by** (*induction rule*: *rtranclp-induct*)
  (*auto simp del*: *state-simp simp*: *state-eq-def state-access-simp*)

19.3.2 More backjumping

Backjumping after skipping or jump directly **lemma** *rtranclp-skip-backtrack-backtrack*:
  assumes
    skip** S T **and**
    backtrack T W **and**
    cdcl$_W$-all-struct-inv S
  shows *backtrack S W*
  using *assms*
proof *induction*
  case *base*
  then show *?case* **by** *simp*
next
  case (*step T V*) **note** *st* = *this*(*1*) **and** *skip* = *this*(*2*) **and** *IH* = *this*(*3*) **and** *bt* = *this*(*4*) **and**
    inv = *this*(*5*)

have *skip*** *S V*
  using *st skip* **by** *auto*
then have *cdcl*$_W$*-all-struct-inv V*
  using *rtranclp-mono*[*of skip cdcl*$_W$] *assms*(*3*) *rtranclp-cdcl*$_W$*-all-struct-inv-inv mono-rtranclp*
  by (*auto dest*!: *bj other cdcl*$_W$*-bj.skip*)
then have *cdcl*$_W$*-M-level-inv V*
  unfolding *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
then obtain *N k M1 M2 K D L U i* **where**
  V: *state V* = (*trail V*, *N*, *U*, *k*, *Some* (*D* + {#*L*#})) **and**
  W: *state W* = (*Propagated L* (*D* + {#*L*#}) # *M1*, *N*, {#*D* + {#*L*#}#} + *U*,
    get-maximum-level (*trail V*) *D*, *None*) **and**
  decomp: (*Marked K* (*Suc i*) # *M1*, *M2*)
    ∈ *set* (*get-all-marked-decomposition* (*trail V*)) **and**
  k = *get-maximum-level* (*trail V*) (*D* + {#*L*#}) **and**
  lev-L: *get-level* (*trail V*) *L* = *k* **and**
  undef: *undefined-lit M1 L* **and**
  W ∼ *cons-trail* (*Propagated L* (*D* + {#*L*#}))
    (*reduce-trail-to M1* (*add-learned-cls* (*D* + {#*L*#})
      (*update-backtrack-lvl* (*get-maximum-level* (*trail V*) *D*) (*update-conflicting None V*))))**and**
  lev-l-D: *backtrack-lvl V* = *get-maximum-level* (*trail V*) (*D* + {#*L*#}) **and**
  conflicting V = *Some* (*D* + {#*L*#}) **and**
  i: *i* = *get-maximum-level* (*trail V*) *D*
  using *bt* **by** (*elim backtrack-levE*)
  (*auto simp*: *cdcl*$_W$*-M-level-inv-decomp state-eq-def simp del*: *state-simp*)+
let *?D* = (*D* + {#*L*#})
obtain *L*′ *C*′ **where**
  T: *state T* = (*Propagated L*′ *C*′ # *trail V*, *N*, *U*, *k*, *Some ?D*) **and**
  V ∼ *tl-trail T* **and**
  −*L*′ ∉# *?D* **and**
  ?D ≠ {#}
  using *skip V* **by** *force*

let *?M* = *Propagated L*′ *C*′ # *trail V*
have *cdcl*$_W$** *S T* **using** *bj cdcl*$_W$*-bj.skip mono-rtranclp*[*of skip cdcl*$_W$ *S T*] *other st* **by** *meson*
then have *inv*′: *cdcl*$_W$*-all-struct-inv T*
  using *rtranclp-cdcl*$_W$*-all-struct-inv-inv inv* **by** *blast*
have *M-lev*: *cdcl*$_W$*-M-level-inv T* **using** *inv*′ **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
then have *n-d*′: *no-dup ?M*
  using *T* **unfolding** *cdcl*$_W$*-M-level-inv-def* **by** *auto*

have *k* > *0*
  using *decomp M-lev T V* **unfolding** *cdcl*$_W$*-M-level-inv-def* **by** *auto*
then have *atm-of L* ∈ *atm-of* ‘ *lits-of* (*trail V*)
  using *lev-L get-rev-level-ge-0-atm-of-in V* **by** *fastforce*
then have *L-L*′: *atm-of L* ≠ *atm-of L*′
  using *n-d*′ **unfolding** *lits-of-def* **by** *auto*
have *L*′*-M*: *atm-of L*′ ∉ *atm-of* ‘ *lits-of* (*trail V*)
  using *n-d*′ **unfolding** *lits-of-def* **by** *auto*
have *?M* ⊨*as CNot ?D*
  using *inv*′ *T* **unfolding** *cdcl*$_W$*-conflicting-def cdcl*$_W$*-all-struct-inv-def* **by** *auto*
then have *L*′ ∉# *?D*
  using *L-L*′ *L*′*-M* **unfolding** *true-annots-def* **by** (*auto simp add*: *true-annot-def true-cls-def*
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set Ball-mset-def
    split: *split-if-asm*)
have [*simp*]: *trail* (*reduce-trail-to M1 T*) = *M1*

by (*metis* (*mono-tags*, *lifting*) *One-nat-def Pair-inject T* ‹*V* ∼ *tl-trail T*› *decomp diff-less in-get-all-marked-decomposition-trail-update-trail length-greater-0-conv length-tl lessI list.distinct*(*1*) *reduce-trail-to-length-ne state-eq-trail trail-reduce-trail-to-length-le trail-tl-trail*)
have $skip^{**}$ *S V*
using *st skip* **by** *auto*
have *no-dup* (*trail S*)
using *inv* **unfolding** $cdcl_W$*-all-struct-inv-def* $cdcl_W$*-M-level-inv-def* **by** *auto*
then have [*simp*]: *init-clss S* = *N* **and** [*simp*]: *learned-clss S* = *U*
using *rtranclp-skip-state-decomp*[*OF* ‹$skip^{**}$ *S V*›] *V*
by (*auto simp del*: *state-simp simp*: *state-eq-def state-access-simp*)
then have *W-S*: *W* ∼ *cons-trail* (*Propagated L* (*D* + {#*L*#})) (*reduce-trail-to M1* (*add-learned-cls* (*D* + {#*L*#}) (*update-backtrack-lvl i* (*update-conflicting None T*))))
using *W i T undef M-lev* **by** (*auto simp del*: *state-simp simp*: *state-eq-def* $cdcl_W$*-M-level-inv-def*)

obtain *M2′* **where**
(*Marked K* (*i*+*1*) # *M1*, *M2′*) ∈ *set* (*get-all-marked-decomposition ?M*)
using *decomp V* **by** (*cases hd* (*get-all-marked-decomposition* (*trail V*)), *cases get-all-marked-decomposition* (*trail V*)) *auto*
moreover
from *L-L′* **have** *get-level ?M L* = *k*
using *lev-L* ‹−*L′* ∉# *?D*› *V* **by** (*auto split*: *split-if-asm*)
moreover
have *atm-of L′* ∉ *atms-of D*
using ‹*L′* ∉# *?D*› ‹−*L′* ∉# *?D*› **by** (*simp add*: *atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set atms-of-def*)
then have *get-level ?M L* = *get-maximum-level ?M* (*D*+{#*L*#})
using *lev-l-D*[*symmetric*] *L-L′ V lev-L* **by** *simp*
moreover have *i* = *get-maximum-level ?M D*
using *i* ‹*atm-of L′* ∉ *atms-of D*› **by** *auto*
moreover

ultimately have *backtrack T W*
using *T*(*1*) *W-S* **by** *blast*
then show *?thesis* **using** *IH inv* **by** *blast*
qed

lemma *fst-get-all-marked-decomposition-prepend-not-marked*:
assumes ∀ *m*∈*set MS*. ¬ *is-marked m*
shows *set* (*map fst* (*get-all-marked-decomposition M*))
= *set* (*map fst* (*get-all-marked-decomposition* (*MS* @ *M*)))
using *assms* **apply** (*induction MS rule*: *marked-lit-list-induct*)
apply *auto*[*2*]
by (*rename-tac L m xs*; *case-tac get-all-marked-decomposition* (*xs* @ *M*)) *simp-all*

See also ⟦$skip^{**}$ *?S ?T*; *backtrack ?T ?W*; $cdcl_W$*-all-struct-inv ?S*⟧ ⟹ *backtrack ?S ?W*

lemma *rtranclp-skip-backtrack-backtrack-end*:
assumes
skip: $skip^{**}$ *S T* **and**
bt: *backtrack S W* **and**
inv: $cdcl_W$*-all-struct-inv S*
shows *backtrack T W*
using *assms*
proof −
have *M-lev*: $cdcl_W$*-M-level-inv S*

```
    using bt inv unfolding cdcl_W-all-struct-inv-def by (auto elim!: backtrack-levE)
  then obtain k M M1 M2 K i D L N U where
    S: state S = (M, N, U, k, Some (D + {#L#})) and
    W: state W = (Propagated L (D + {#L#}) # M1, N, {#D + {#L#}#} + U, get-maximum-level
M D,
      None) and
    decomp: (Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition M) and
    lev-l: get-level M L = k and
    lev-l-D: get-level M L = get-maximum-level M (D+{#L#}) and
    i: i = get-maximum-level M D and
    undef: undefined-lit M1 L
    using bt by (elim backtrack-levE)
    (simp-all add: cdcl_W-M-level-inv-decomp state-eq-def del: state-simp)
  let ?D = (D + {#L#})

  have [simp]: no-dup (trail S)
    using M-lev by (auto simp: cdcl_W-M-level-inv-decomp)
  have cdcl_W-all-struct-inv T
    using mono-rtranclp[of skip cdcl_W] by (smt bj cdcl_W-bj.skip inv local.skip  other
      rtranclp-cdcl_W-all-struct-inv-inv)
  then have [simp]: no-dup (trail T)
    unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto

  obtain MS M_T where M: M = MS @ M_T and M_T: M_T = trail T and nm: ∀ m∈set MS. ¬is-marked
m
    using rtranclp-skip-state-decomp(1)[OF skip] S M-lev by auto
  have T: state T = (M_T, N, U, k, Some ?D)
    using M_T rtranclp-skip-state-decomp(2)[of S T] skip S
    by (auto simp del: state-simp simp: state-eq-def state-access-simp)

  have cdcl_W-all-struct-inv T
    apply (rule rtranclp-cdcl_W-all-struct-inv-inv[OF - inv])
    using bj cdcl_W-bj.skip local.skip other rtranclp-mono[of skip cdcl_W] by blast
  then have M_T ⊨as CNot ?D
    unfolding cdcl_W-all-struct-inv-def cdcl_W-conflicting-def using T by blast
  have ∀ L∈#?D. atm-of L ∈ atm-of ‘ lits-of M_T
    proof –
      have f1: ⋀l. ¬ M_T ⊨a {#– l#} ∨ atm-of l ∈ atm-of ‘ lits-of M_T
        by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-lit-of-true-annot
          lits-of-def)
      have ⋀l. l ∉# D ∨ – l ∈ lits-of M_T
        using ‹M_T ⊨as CNot (D + {#L#})› multi-member-split by fastforce
      then show ?thesis
       using f1 by (meson ‹M_T ⊨as CNot (D + {#L#})› ball-msetI true-annots-CNot-all-atms-defined)
    qed
  moreover have no-dup M
    using inv S unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto
  ultimately have ∀ L∈#?D. atm-of L ∉ atm-of ‘ lits-of MS
    unfolding M unfolding lits-of-def by auto
  then have H: ⋀L. L∈#?D ⟹ get-level M L  = get-level M_T L
    unfolding M by (fastforce simp: lits-of-def)
  have [simp]: get-maximum-level M ?D = get-maximum-level M_T ?D
    by (metis ‹M_T ⊨as CNot (D + {#L#})›  M nm ball-msetI true-annots-CNot-all-atms-defined
      get-maximum-level-skip-un-marked-not-present)
  have lev-l': get-level M_T L = k
    using lev-l by (auto simp: H)
  have [simp]: trail (reduce-trail-to M1 T) = M1
    using T decomp M nm by (smt M_T append-assoc beginning-not-marked-invert
      get-all-marked-decomposition-exists-prepend reduce-trail-to-trail-tl-trail-decomp)
  have W: W ∼ cons-trail (Propagated L (D + {#L#})) (reduce-trail-to M1
    (add-learned-cls (D + {#L#}) (update-backtrack-lvl i (update-conflicting None T))))
    using W T i decomp undef by (auto simp del: state-simp simp: state-eq-def)

  have lev-l-D': get-level M_T L = get-maximum-level M_T (D+{#L#})
    using lev-l-D by (auto simp: H)
  have [simp]: get-maximum-level M D = get-maximum-level M_T D
    proof –
      have ⋀ms m. ¬ (ms::('v, nat, 'v literal multiset) marked-lit list) ⊨as CNot m
          ∨ (∀ l∈#m. atm-of l ∈ atm-of ‘ lits-of ms)
        by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-CNot-implies-uminus(2))
      then have ∀ l∈#D. atm-of l ∈ atm-of ‘ lits-of M_T
        using ‹M_T ⊨as CNot (D + {#L#})› by auto
      then show ?thesis
        by (metis M get-maximum-level-skip-un-marked-not-present nm)
    qed
  then have i': i = get-maximum-level M_T D
    using i by auto
  have Marked K (i + 1) # M1 ∈ set (map fst (get-all-marked-decomposition M))
    using Set.imageI[OF decomp, of fst] by auto
  then have Marked K (i + 1) # M1 ∈ set (map fst (get-all-marked-decomposition M_T))
    using fst-get-all-marked-decomposition-prepend-not-marked[OF nm] unfolding M  by auto
  then obtain M2' where decomp':(Marked K (i+1) # M1, M2') ∈ set (get-all-marked-decomposition
M_T)
    by auto
  then show backtrack T W
    using backtrack.intros[OF T decomp' lev-l'] lev-l-D' i' W by force
qed

lemma cdcl_W-bj-decomp-resolve-skip-and-bj:
  assumes cdcl_W-bj** S T and inv: cdcl_W-M-level-inv S
  shows (skip-or-resolve** S T
    ∨ (∃ U. skip-or-resolve** S U ∧ backtrack U T))
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step T U) note st = this(1) and bj = this(2) and IH = this(3)
  have IH: skip-or-resolve** S T
    proof –
      { assume (∃ U. skip-or-resolve** S U ∧ backtrack U T)
        then obtain V where
          bt: backtrack V T and
          skip-or-resolve** S V
          by blast
        have cdcl_W** S V
          using ‹skip-or-resolve** S V› rtranclp-skip-or-resolve-rtranclp-cdcl_W by blast
        then have cdcl_W-M-level-inv V and cdcl_W-M-level-inv S
          using rtranclp-cdcl_W-consistent-inv inv by blast+
        with bj bt have False using backtrack-no-cdcl_W-bj by simp
      }
      then show ?thesis using IH inv by blast
    qed
  show ?case
    using bj
    proof (cases rule: cdcl_W-bj.cases)
      case backtrack
      then show ?thesis using IH by blast
    qed (metis (no-types, lifting) IH rtranclp.simps)+
qed

lemma resolve-skip-deterministic:
  resolve S T ⟹ skip S U ⟹ False
  by fastforce

lemma backtrack-unique:
  assumes
    bt-T: backtrack S T and
    bt-U: backtrack S U and
    inv: cdcl_W-all-struct-inv S
  shows T ∼ U
proof –
  have lev: cdcl_W-M-level-inv S
    using inv unfolding cdcl_W-all-struct-inv-def by auto
  then obtain M N U' k D L i K M1 M2 where
    S: state S = (M, N, U', k, Some (D + {#L#})) and
    decomp: (Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition M) and
    get-level M L = k and
    get-level M L = get-maximum-level M (D+{#L#}) and
    get-maximum-level M D = i and
    T: state T = (Propagated L ( (D+{#L#})) # M1 , N, {#D + {#L#}#} + U', i, None) and
    undef: undefined-lit M1 L
    using bt-T by (elim backtrack-levE)
    (force simp: cdcl_W-M-level-inv-def state-eq-def simp del: state-simp)+

  obtain  D' L' i' K' M1' M2' where
    S': state S = (M, N, U', k, Some (D' + {#L'#})) and
    decomp': (Marked K' (i'+1) # M1', M2') ∈ set (get-all-marked-decomposition M) and
    get-level M L' = k and
    get-level M L' = get-maximum-level M (D'+{#L'#}) and
    get-maximum-level M D' = i' and
    U: state U = (Propagated L' (D'+{#L'#}) # M1', N, {#D' + {#L'#}#} +U', i', None) and
    undef: undefined-lit M1' L'
    using bt-U lev S by (elim backtrack-levE)
    (force simp: cdcl_W-M-level-inv-def  state-eq-def simp del: state-simp)+
  obtain c where M: M = c @ M2 @ Marked K (i + 1) # M1
    using decomp by auto
  obtain c' where M': M = c' @ M2' @ Marked K' (i' + 1) # M1'
    using decomp' by auto
  have marked: get-all-levels-of-marked M = rev [1..<1+k]
    using inv S unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto
  then have i < k
    unfolding M
    by (force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set])
  have [simp]: L = L'
    proof (rule ccontr)
      assume ¬ ?thesis
      then have L' ∈# D
        using S unfolding S' by (fastforce simp: multiset-eq-iff split: split-if-asm)
      then have get-maximum-level M D ≥ k
        using ‹get-level M L' = k› get-maximum-level-ge-get-level by blast
      then show False using ‹get-maximum-level M D = i› ‹i < k› by auto
    qed
  then have [simp]: D = D'
    using S S' by auto
  have [simp]: i=i' using ‹get-maximum-level M D' = i'› ‹get-maximum-level M D = i› by auto
```

Automation in a step later...

```
  have H: ⋀a A B. insert a A = B ⟹ a : B
    by blast
  have get-all-levels-of-marked (c@M2) = rev [i+2..<1+k] and
    get-all-levels-of-marked (c'@M2') = rev [i+2..<1+k]
    using marked unfolding M
    using marked unfolding M'
    unfolding rev-swap[symmetric] by (auto dest: append-cons-eq-upt-length-i-end)
  from arg-cong[OF this(1), of set] arg-cong[OF this(2), of set]
  have
    dropWhile (λL. ¬is-marked L ∨ level-of L ≠ Suc i) (c @ M2) = [] and
    dropWhile (λL. ¬is-marked L ∨ level-of L ≠ Suc i) (c' @ M2') = []
      unfolding dropWhile-eq-Nil-conv Ball-def
      by (intro allI; rename-tac x; case-tac x; auto dest!: H simp add: in-set-conv-decomp)+

  then have M1 = M1'
    using arg-cong[OF M, of dropWhile (λL. ¬is-marked L ∨ level-of L ≠ Suc i)]
    unfolding M' by auto
  then show ?thesis using T U by (auto simp del: state-simp simp: state-eq-def)
qed

lemma if-can-apply-backtrack-no-more-resolve:
  assumes
    skip: skip** S U and
    bt: backtrack S T and
    inv: cdcl_W-all-struct-inv S
  shows ¬resolve U V
proof (rule ccontr)
  assume resolve: ¬¬resolve U V

  obtain L C M N U' k D where
    U: state U = (Propagated L ( (C + {#L#})) # M, N, U', k, Some (D + {#−L#}))and
    get-maximum-level (Propagated L (C + {#L#}) # M) D = k and
    state V = (M, N, U', k, Some (D #∪ C))
    using resolve by auto
  have cdcl_W-all-struct-inv U
    using mono-rtranclp[of skip cdcl_W] by (meson bj cdcl_W-bj.skip inv local.skip other
      rtranclp-cdcl_W-all-struct-inv-inv)
  then have [iff]: no-dup (trail S) cdcl_W-M-level-inv S and [iff]: no-dup (trail U)
    using inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by blast+
  then have
    S: init-clss S = N
       learned-clss S = U'
       backtrack-lvl S = k
       conflicting S = Some (D + {#−L#})
    using rtranclp-skip-state-decomp(2)[OF skip] U
    by (auto simp del: state-simp simp: state-eq-def state-access-simp)
  obtain M_0 where
    tr-S: trail S = M_0 @ trail U and
    nm: ∀ m∈set M_0. ¬is-marked m
    using rtranclp-skip-state-decomp[OF skip] by blast

  obtain M' D' L' i K M1 M2 where
    S': state S = (M', N, U', k, Some (D' + {#L'#}))  and
    decomp: (Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition M') and
    get-level M' L' = k and
    get-level M' L' = get-maximum-level M' (D'+{#L'#}) and
    get-maximum-level M' D' = i and
    undef: undefined-lit M1 L' and
    T: state T = (Propagated L' (D'+{#L'#}) # M1 , N, {#D' + {#L'#}#}+U', i, None)
    using bt by (elim backtrack-levE) (fastforce simp: S state-eq-def simp del:state-simp)+
  obtain c where M: M' = c @ M2 @ Marked K (i + 1) # M1
    using get-all-marked-decomposition-exists-prepend[OF decomp] by auto
  have marked: get-all-levels-of-marked M' = rev [1..<1+k]
    using inv S' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto
  then have i < k
    unfolding M by (force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set])

  have DD': D' + {#L'#} = D + {#−L#}
    using S S' by auto
  have [simp]: L' = −L
    proof (rule ccontr)
      assume ¬ ?thesis
      then have −L ∈# D'
        using DD' by (metis add-diff-cancel-right' diff-single-trivial diff-union-swap
          multi-self-add-other-not-self)
      moreover
        have M': M' = M_0 @ Propagated L ( (C + {#L#})) # M
          using tr-S U S S' by (auto simp: lits-of-def)
        have no-dup M'
           using inv U S' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto
        have atm-L-notin-M: atm-of L ∉ atm-of ` (lits-of M)
          using ‹no-dup M'› M' U S S' by (auto simp: lits-of-def)
        have get-all-levels-of-marked M' = rev [1..<1+k]
          using inv U S' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto
        then have get-all-levels-of-marked M = rev [1..<1+k]
          using nm M' S' U by (simp add: get-all-levels-of-marked-no-marked)
        then have get-lev-L:
          get-level(Propagated L (C + {#L#}) # M) L = k
          using get-level-get-rev-level-get-all-levels-of-marked[OF atm-L-notin-M,
            of [Propagated L ((C + {#L#}))]] by simp
        have atm-of L ∉ atm-of ` (lits-of (rev M_0))
          using ‹no-dup M'› M' U S' by (auto simp: lits-of-def)
        then have get-level M' L = k
          using get-rev-level-notin-end[of L rev M_0
            rev M @ Propagated L (C + {#L#}) # [] 0]
          using tr-S get-lev-L M' U S' by (simp add:nm lits-of-def)
        ultimately have get-maximum-level M' D' ≥ k
          by (metis get-maximum-level-ge-get-level get-rev-level-uminus)
        then show False
          using ⟨i < k⟩ unfolding ⟨get-maximum-level M' D' = i⟩ by auto
      qed
    have [simp]: D = D' using DD' by auto
    have cdcl_W** S U
      using bj cdcl_W-bj.skip local.skip mono-rtranclp[of skip cdcl_W S U] other by meson
    then have cdcl_W-all-struct-inv U
      using inv rtranclp-cdcl_W-all-struct-inv-inv by blast
    then have Propagated L ( (C + {#L#})) # M ⊨as CNot (D' + {#L'#})
      using cdcl_W-all-struct-inv-def cdcl_W-conflicting-def U by auto
    then have ∀ L'∈#D. atm-of L' ∈ atm-of ‘ lits-of (Propagated L ( (C + {#L#})) # M)
      by (metis CNot-plus CNot-singleton Un-insert-right ⟨D = D'⟩ true-annots-insert ball-msetI
        atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-CNot-implies-uminus(2)
        sup-bot.comm-neutral)
    then have get-maximum-level M' D = k
       using tr-S nm U S'
         get-maximum-level-skip-un-marked-not-present[of D
           Propagated L (C + {#L#}) # M M_0]
       unfolding ⟨get-maximum-level (Propagated L (C + {#L#}) # M) D = k⟩
       unfolding ⟨D = D'⟩
       by simp
    then show False
      using ⟨get-maximum-level M' D' = i⟩ ⟨i < k⟩ by auto
qed

lemma if-can-apply-resolve-no-more-backtrack:
  assumes
    skip: skip** S U and
    resolve: resolve S T and
    inv: cdcl_W-all-struct-inv S
  shows ¬backtrack U V
  using assms
  by (meson if-can-apply-backtrack-no-more-resolve rtranclp.rtrancl-refl
    rtranclp-skip-backtrack-backtrack)

lemma if-can-apply-backtrack-skip-or-resolve-is-skip:
  assumes
    bt: backtrack S T and
    skip: skip-or-resolve** S U and
    inv: cdcl_W-all-struct-inv S
  shows skip** S U
  using assms(2,3,1)
  by induction (simp-all add: if-can-apply-backtrack-no-more-resolve)

lemma cdcl_W-bj-bj-decomp:
  assumes cdcl_W-bj** S W and cdcl_W-all-struct-inv S
  shows
    (∃ T U V. (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S T
        ∧ (λT U. resolve T U ∧ no-step backtrack T) T U
        ∧ skip** U V  ∧ backtrack V W)
    ∨ (∃ T U. (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S T
        ∧ (λT U. resolve T U ∧ no-step backtrack T) T U ∧ skip** U W)
    ∨ (∃ T. skip** S T  ∧ backtrack T W)
    ∨ skip** S W (is ?RB S W ∨ ?R S W ∨ ?SB S W ∨ ?S S W)
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step W X) note st = this(1) and bj = this(2) and IH = this(3)[OF this(4)] and inv = this(4)

  have ¬?RB S W and ¬?SB S W
    proof (clarify, goal-cases)
      case (1 T U V)
      have skip-or-resolve** S T
        using 1(1) by (auto dest!: rtranclp-and-rtranclp-left)
      then show False
        by (metis (no-types, lifting) 1(2) 1(4) 1(5) backtrack-no-cdcl_W-bj
          cdcl_W-all-struct-inv-def cdcl_W-all-struct-inv-inv cdcl_W-o.bj local.bj other
          resolve rtranclp-cdcl_W-all-struct-inv-inv rtranclp-skip-backtrack-backtrack
          rtranclp-skip-or-resolve-rtranclp-cdcl_W step.prems)
    next
      case 2
      then show ?case by (meson assms(2) cdcl_W-all-struct-inv-def backtrack-no-cdcl_W-bj
        local.bj rtranclp-skip-backtrack-backtrack)
    qed
  then have IH: ?R S W ∨ ?S S W using IH by blast

  have cdcl_W** S W by (metis cdcl_W-o.bj mono-rtranclp other st)
  then have inv-W: cdcl_W-all-struct-inv W by (simp add: rtranclp-cdcl_W-all-struct-inv-inv
    step.prems)
  consider
      (BT) X' where backtrack W X'
    | (skip) no-step backtrack W and skip W X
    | (resolve) no-step backtrack W and resolve W X
    using bj cdcl_W-bj.cases by meson
  then show ?case
    proof cases
      case (BT X')
      then consider
          (bt) backtrack W X
        | (sk) skip W X
        using bj if-can-apply-backtrack-no-more-resolve[of W W X' X] inv-W cdcl_W-bj.cases by fast
      then show ?thesis
        proof cases
          case bt
          then show ?thesis using IH by auto
        next
          case sk
          then show ?thesis using IH by (meson rtranclp-trans r-into-rtranclp)
        qed
    next
      case skip
      then show ?thesis using IH  by (meson rtranclp.rtrancl-into-rtrancl)
    next
      case resolve note no-bt = this(1) and res = this(2)
      consider
          (RS) T U where
            (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S T and
            resolve T U and
            no-step backtrack T and
            skip** U W
        | (S)  skip** S W
        using IH by auto
      then show ?thesis
        proof cases
          case (RS T U)
          have cdcl_W** S T
            using  RS(1) cdcl_W-bj.resolve cdcl_W-o.bj  other skip
            mono-rtranclp[of  (λS T. skip-or-resolve S T ∧ no-step backtrack S) cdcl_W  S T]
            by meson
          then have cdcl_W-all-struct-inv U
            by (meson RS(2) cdcl_W-all-struct-inv-inv cdcl_W-bj.resolve cdcl_W-o.bj other
              rtranclp-cdcl_W-all-struct-inv-inv step.prems)
          { fix U'
            assume skip** U U' and skip** U' W
            have cdcl_W-all-struct-inv U'
              using ‹cdcl_W-all-struct-inv U› ‹skip** U U'› rtranclp-cdcl_W-all-struct-inv-inv
                cdcl_W-o.bj rtranclp-mono[of skip cdcl_W] other skip by blast
            then have no-step backtrack U'
              using if-can-apply-backtrack-no-more-resolve[OF ‹skip** U' W› ] res by blast
          }
          with ‹skip** U W›
          have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** U W
             proof induction
               case base
               then show ?case by simp
             next
              case (step V W) note st = this(1) and skip = this(2) and IH = this(3) and H = this(4)
               have ⋀U'. skip** U' V ⟹ skip** U' W
                 using skip by auto
               then have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** U V
                 using IH H by blast
               moreover have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** V W

                 by (simp add: local.skip r-into-rtranclp st step.prems)
               ultimately show ?case by simp
             qed
          then show ?thesis
            proof -
              have f1: ∀p pa pb pc. ¬ p (pa) pb ∨ ¬ p** pb pc ∨ p** pa pc
                by (meson converse-rtranclp-into-rtranclp)
              have skip-or-resolve T U ∧ no-step backtrack T
                using RS(2) RS(3) by force
              then have (λp pa. skip-or-resolve p pa ∧ no-step backtrack p)** T W
                proof -
                  have (∃vr19 vr16 vr17 vr18. vr19 (vr16::'st) vr17 ∧ vr19** vr17 vr18
                      ∧ ¬ vr19** vr16 vr18)
                    ∨ ¬ (skip-or-resolve T U ∧ no-step backtrack T)
                    ∨ ¬ (λuu uua. skip-or-resolve uu uua ∧ no-step backtrack uu)** U W
                    ∨ (λuu uua. skip-or-resolve uu uua ∧ no-step backtrack uu)** T W
                    by force
                then show ?thesis
                  by (metis (no-types) ‹(λS T. skip-or-resolve S T ∧ no-step backtrack S)** U W›
                    ‹skip-or-resolve T U ∧ no-step backtrack T› f1)
              qed
            then have (λp pa. skip-or-resolve p pa ∧ no-step backtrack p)** S W
              using RS(1) by force
            then show ?thesis
              using no-bt res by blast
          qed
        next
          case S
          { fix U'
            assume skip** S U' and skip** U' W
            then have cdcl_W** S U'
              using mono-rtranclp[of skip cdcl_W S U'] by (simp add: cdcl_W-o.bj other skip)
            then have cdcl_W-all-struct-inv U'
              by (metis (no-types, hide-lams) ‹cdcl_W-all-struct-inv S›
                rtranclp-cdcl_W-all-struct-inv-inv)
            then have no-step backtrack U'
              using if-can-apply-backtrack-no-more-resolve[OF ‹skip** U' W› ] res by blast
          }
          with S
          have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S W
             proof induction
               case base
               then show ?case by simp
             next
              case (step V W) note st = this(1) and skip = this(2) and IH = this(3) and H = this(4)
               have ⋀U'. skip** U' V ⟹ skip** U' W
                 using skip by auto
               then have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S V
                 using IH H by blast
               moreover have (λS T. skip-or-resolve S T ∧ no-step backtrack S)** V W

                 by (simp add: local.skip r-into-rtranclp st step.prems)
               ultimately show ?case by simp
             qed
          then show ?thesis using res no-bt by blast
        qed
      qed
qed
```

The case distinction is needed, since $T \sim V$ does not imply that $R^{**}\ T\ V$.

```
lemma cdcl_W-bj-strongly-confluent:
   assumes
     cdcl_W-bj** S V and
     cdcl_W-bj** S T and
     n-s: no-step cdcl_W-bj V and
     inv: cdcl_W-all-struct-inv S
   shows T ∼ V ∨ cdcl_W-bj** T V
   using assms(2)
proof induction
  case base
  then show ?case by (simp add: assms(1))
next
```

```
case (step T U) note st = this(1) and s-o-r = this(2) and IH = this(3)
have cdcl_W** S T
  using st mono-rtranclp[of cdcl_W-bj cdcl_W] other by blast
then have lev-T: cdcl_W-M-level-inv T
  using inv rtranclp-cdcl_W-consistent-inv[of S T]
  unfolding cdcl_W-all-struct-inv-def by auto

consider
    (TV) T ∼ V
  | (bj-TV) cdcl_W-bj** T V
  using IH by blast
then show ?case
  proof cases
    case TV
    have no-step cdcl_W-bj T
      using ‹cdcl_W-M-level-inv T› n-s cdcl_W-bj-state-eq-compatible[of T - V] TV by auto
    then show ?thesis
      using s-o-r by auto
  next
    case bj-TV
    then obtain U' where
      T-U': cdcl_W-bj T U' and
      cdcl_W-bj** U' V
      using IH n-s s-o-r by (metis rtranclp-unfold tranclpD)
    have cdcl_W** S T
      by (metis (no-types, hide-lams) bj mono-rtranclp[of cdcl_W-bj cdcl_W] other st)
    then have inv-T: cdcl_W-all-struct-inv T
      by (metis (no-types, hide-lams) inv rtranclp-cdcl_W-all-struct-inv-inv)

    have lev-U: cdcl_W-M-level-inv U
      using s-o-r cdcl_W-consistent-inv lev-T other by blast
    show ?thesis
      using s-o-r
      proof cases
        case backtrack
        then obtain V0 where skip** T V0 and backtrack V0 V
          using IH if-can-apply-backtrack-skip-or-resolve-is-skip[OF backtrack - inv-T]
           cdcl_W-bj-decomp-resolve-skip-and-bj
           by (meson bj-TV cdcl_W-bj.backtrack inv-T lev-T n-s
             rtranclp-skip-backtrack-backtrack-end)
        then have cdcl_W-bj** T V0 and cdcl_W-bj V0 V
          using rtranclp-mono[of skip cdcl_W-bj] by blast+
        then show ?thesis
          using ‹backtrack V0 V› ‹skip** T V0› backtrack-unique inv-T local.backtrack
          rtranclp-skip-backtrack-backtrack by auto
      next
        case resolve
        then have U ∼ U'
          by (meson T-U' cdcl_W-bj.simps if-can-apply-backtrack-no-more-resolve inv-T
            resolve-skip-deterministic resolve-unique rtranclp.rtrancl-refl)
        then show ?thesis
          using ‹cdcl_W-bj** U' V› unfolding rtranclp-unfold
          by (meson T-U' bj cdcl_W-consistent-inv lev-T other state-eq-ref state-eq-sym
            tranclp-cdcl_W-bj-state-eq-compatible)
      next
```

```
          case skip
          consider
              (sk)  skip T U′
            | (bt)  backtrack T U′
            using T-U′ by (meson cdclW-bj.cases local.skip resolve-skip-deterministic)
          then show ?thesis
            proof cases
              case sk
              then show ?thesis
                using ‹cdclW-bj** U′ V› unfolding rtranclp-unfold
                by (meson T-U′ bj cdclW-all-inv(3) cdclW-all-struct-inv-def inv-T local.skip other
                  tranclp-cdclW-bj-state-eq-compatible skip-unique state-eq-ref)
            next
              case bt
              have skip++ T U
                using local.skip by blast
              then show ?thesis
                using bt by (metis ‹cdclW-bj** U′ V› backtrack inv-T tranclp-unfold-begin
                  rtranclp-skip-backtrack-backtrack-end tranclp-into-rtranclp)
            qed
        qed
    qed
qed


lemma cdclW-bj-unique-normal-form:
  assumes
    ST: cdclW-bj** S T and SU: cdclW-bj** S U and
    n-s-U: no-step cdclW-bj U and
    n-s-T: no-step cdclW-bj T and
    inv: cdclW-all-struct-inv S
  shows T ∼ U
proof −
  have T ∼ U ∨ cdclW-bj** T U
    using ST SU cdclW-bj-strongly-confluent inv n-s-U by blast
  then show ?thesis
    by (metis (no-types) n-s-T rtranclp-unfold state-eq-ref tranclp-unfold-begin)
qed

lemma full-cdclW-bj-unique-normal-form:
 assumes full cdclW-bj S T and full cdclW-bj S U and
   inv: cdclW-all-struct-inv S
 shows T ∼ U
   using cdclW-bj-unique-normal-form assms unfolding full-def by blast
```

19.4 CDCL FW

```
inductive cdclW-merge-restart :: ′st ⇒ ′st ⇒ bool where
fw-r-propagate: propagate S S′ ⟹ cdclW-merge-restart S S′ |
fw-r-conflict: conflict S T ⟹ full cdclW-bj T U ⟹ cdclW-merge-restart S U |
fw-r-decide: decide S S′ ⟹ cdclW-merge-restart S S′|
fw-r-rf: cdclW-rf S S′ ⟹ cdclW-merge-restart S S′

lemma cdclW-merge-restart-cdclW:
  assumes cdclW-merge-restart S T
  shows cdclW** S T
```

  using *assms*
proof *induction*
  case (*fw-r-conflict S T U*) **note** *confl* = *this*(*1*) **and** *bj* = *this*(*2*)
  have $cdcl_W$ *S T* **using** *confl* **by** (*simp add*: $cdcl_W$*.intros r-into-rtranclp*)
  moreover
    have $cdcl_W$*-bj*** *T U* **using** *bj* **unfolding** *full-def* **by** *auto*
    then have $cdcl_W{}^{**}$ *T U* **by** (*metis* $cdcl_W$*-o.bj mono-rtranclp other*)
  ultimately show *?case* **by** *auto*
qed (*simp-all add*: $cdcl_W$*-o.intros* $cdcl_W$*.intros r-into-rtranclp*)

lemma $cdcl_W$*-merge-restart-conflicting-true-or-no-step*:
  assumes $cdcl_W$*-merge-restart S T*
  shows *conflicting T* = *None* ∨ *no-step* $cdcl_W$ *T*
  using *assms*
proof *induction*
  case (*fw-r-conflict S T U*) **note** *confl* = *this*(*1*) **and** *n-s* = *this*(*2*)
  { fix *D V*
    assume $cdcl_W$ *U V* **and** *conflicting U* = *Some D*
    then have *False*
      using *n-s* **unfolding** *full-def*
      by (*induction rule*: $cdcl_W$*-all-rules-induct*) (*auto dest*!: $cdcl_W$*-bj.intros*)
  }
  then show *?case* **by** (*cases conflicting U*) *fastforce+*
qed (*auto simp add*: $cdcl_W$*-rf.simps*)

inductive $cdcl_W$*-merge* :: *'st* ⇒ *'st* ⇒ *bool* **where**
fw-propagate: *propagate S S'* ⟹ $cdcl_W$*-merge S S'* |
fw-conflict: *conflict S T* ⟹ *full* $cdcl_W$*-bj T U* ⟹ $cdcl_W$*-merge S U* |
fw-decide: *decide S S'* ⟹ $cdcl_W$*-merge S S'*|
fw-forget: *forget S S'* ⟹ $cdcl_W$*-merge S S'*

lemma $cdcl_W$*-merge-*$cdcl_W$*-merge-restart*:
  $cdcl_W$*-merge S T* ⟹ $cdcl_W$*-merge-restart S T*
  by (*meson* $cdcl_W$*-merge.cases* $cdcl_W$*-merge-restart.simps forget*)

lemma *rtranclp-*$cdcl_W$*-merge-tranclp-*$cdcl_W$*-merge-restart*:
  $cdcl_W$*-merge*** *S T* ⟹ $cdcl_W$*-merge-restart*** *S T*
  using *rtranclp-mono*[*of* $cdcl_W$*-merge* $cdcl_W$*-merge-restart*] $cdcl_W$*-merge-*$cdcl_W$*-merge-restart* **by** *blast*

lemma $cdcl_W$*-merge-rtranclp-*$cdcl_W$:
  $cdcl_W$*-merge S T* ⟹ $cdcl_W{}^{**}$ *S T*
  using $cdcl_W$*-merge-*$cdcl_W$*-merge-restart* $cdcl_W$*-merge-restart-*$cdcl_W$ **by** *blast*

lemma *rtranclp-*$cdcl_W$*-merge-rtranclp-*$cdcl_W$:
  $cdcl_W$*-merge*** *S T* ⟹ $cdcl_W{}^{**}$ *S T*
  using *rtranclp-mono*[*of* $cdcl_W$*-merge* $cdcl_W{}^{**}$] $cdcl_W$*-merge-rtranclp-*$cdcl_W$ **by** *auto*

lemma $cdcl_W$*-merge-is-*$cdcl_{NOT}$*-merged-bj-learn*:
  assumes
    inv: $cdcl_W$*-all-struct-inv S* **and**
    $cdcl_W$:$cdcl_W$*-merge S T*
  shows $cdcl_{NOT}$*-merged-bj-learn S T*
    ∨ (*no-step* $cdcl_W$*-merge T* ∧ *conflicting T* ≠ *None*)
  using $cdcl_W$ *inv*
proof *induction*

```
  case (fw-propagate S T) note propa = this(1)
  then obtain M N U k L C where
    H: state S = (M, N, U, k, None) and
    CL: C + {#L#} ∈# clauses S and
    M-C: M ⊨as CNot C and
    undef: undefined-lit (trail S) L and
    T: T ∼ cons-trail (Propagated L (C + {#L#})) S
    using propa by auto
  have propagate_NOT S T
    apply (rule propagate_NOT.propagate_NOT[of - C L])
    using H CL T undef M-C by (auto simp: state-eq_NOT-def state-eq-def clauses-def
      simp del: state-simp)
  then show ?case
    using cdcl_NOT-merged-bj-learn.intros(2) by blast
next
  case (fw-decide S T) note dec = this(1) and inv = this(2)
  then obtain L where
    undef-L: undefined-lit (trail S) L and
    atm-L: atm-of L ∈ atms-of-msu (init-clss S) and
    T: T ∼ cons-trail (Marked L (Suc (backtrack-lvl S)))
      (update-backtrack-lvl (Suc (backtrack-lvl S)) S)
    by auto
  have decide_NOT S T
    apply (rule decide_NOT.decide_NOT)
       using undef-L apply simp
     using atm-L inv unfolding cdcl_W-all-struct-inv-def no-strange-atm-def clauses-def apply auto[]
    using T undef-L unfolding state-eq-def state-eq_NOT-def by (auto simp: clauses-def)
  then show ?case using cdcl_NOT-merged-bj-learn-decide_NOT by blast
next
  case (fw-forget S T) note rf =this(1) and inv = this(2)
  then obtain M N C U k where
     S: state S = (M, N, {#C#} + U, k, None) and
     ¬ M ⊨asm clauses S and
     C ∉ set (get-all-mark-of-propagated (trail S)) and
     C-init: C ∉# init-clss S and
     C-le: C ∈# learned-clss S and
     T: T ∼ remove-cls C S
    by auto
  have init-clss S ⊨pm C
    using inv C-le unfolding cdcl_W-all-struct-inv-def cdcl_W-learned-clause-def
    by (meson mem-set-mset-iff true-clss-clss-in-imp-true-clss-cls)
  then have S-C: clauses S − replicate-mset (count (clauses S) C) C ⊨pm C
    using C-init C-le unfolding clauses-def by (simp add: Un-Diff)
  moreover have H: init-clss S + (learned-clss S − replicate-mset (count (learned-clss S) C) C)
    = init-clss S + learned-clss S − replicate-mset (count (learned-clss S) C) C
    using C-le C-init by (metis clauses-def clauses-remove-cls diff-zero gr0I
      init-clss-remove-cls learned-clss-remove-cls plus-multiset.rep-eq replicate-mset-0
      semiring-normalization-rules(5))
  have forget_NOT S T
    apply (rule forget_NOT.forget_NOT)
       using S-C apply blast
      using S apply simp
     using ‹C ∈# learned-clss S› apply (simp add: clauses-def)
    using T C-le C-init by (auto
      simp: state-eq-def Un-Diff state-eq_NOT-def clauses-def ac-simps H
      simp del: state-simp)
  then show ?case using $cdcl_{NOT}$-merged-bj-learn-forget$_{NOT}$ by blast
next
  case (fw-conflict S T U) note confl = this(1) and bj = this(2) and inv = this(3)
  obtain $C_S$ where
    confl-T: conflicting T = Some $C_S$ and
    $C_S$: $C_S$ ∈# clauses S and
    tr-S-$C_S$: trail S ⊨as CNot $C_S$
    using confl by auto
  have $cdcl_W$-all-struct-inv T
    using $cdcl_W$.simps $cdcl_W$-all-struct-inv-inv confl inv by blast
  then have $cdcl_W$-M-level-inv T
    unfolding $cdcl_W$-all-struct-inv-def by auto
  then consider
      (no-bt) skip-or-resolve$^{**}$ T U
    | (bt) T' where skip-or-resolve$^{**}$ T T' and backtrack T' U
    using bj rtranclp-$cdcl_W$-bj-skip-or-resolve-backtrack unfolding full-def by meson
  then show ?case
    proof cases
      case no-bt
      then have conflicting U ≠ None
        using confl by (induction rule: rtranclp-induct) auto
      moreover then have no-step $cdcl_W$-merge U
        by (auto simp: $cdcl_W$-merge.simps)
      ultimately show ?thesis by blast
    next
      case bt note s-or-r = this(1) and bt = this(2)
      have $cdcl_W{}^{**}$ T T'
        using s-or-r mono-rtranclp[of skip-or-resolve $cdcl_W$] rtranclp-skip-or-resolve-rtranclp-$cdcl_W$
        by blast
      then have $cdcl_W$-M-level-inv T'
        using rtranclp-$cdcl_W$-consistent-inv ‹$cdcl_W$-M-level-inv T› by blast
      then obtain M1 M2 i D L K where
        confl-T': conflicting T' = Some (D + {#L#}) and
        M1-M2:(Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition (trail T')) and
        get-level (trail T') L = backtrack-lvl T' and
        get-level (trail T') L = get-maximum-level (trail T') (D+{#L#}) and
        get-maximum-level (trail T') D = i and
        undef-L: undefined-lit M1 L and
        U: U ∼ cons-trail (Propagated L (D+{#L#}))
                (reduce-trail-to M1
                     (add-learned-cls (D + {#L#})
                        (update-backtrack-lvl i
                           (update-conflicting None T'))))
        using bt by (auto elim: backtrack-levE)
      have [simp]: clauses S = clauses T
        using confl by auto
      have [simp]: clauses T = clauses T'
        using s-or-r
        proof (induction)
          case base
          then show ?case by simp
        next
          case (step U V) note st = this(1) and s-o-r = this(2) and IH = this(3)
          have clauses U = clauses V
      using s-o-r by auto
    then show ?case using IH by auto
  qed
have inv-T: cdcl_W-all-struct-inv T
  by (meson cdcl_W-cp.simps confl inv r-into-rtranclp rtranclp-cdcl_W-all-struct-inv-inv
    rtranclp-cdcl_W-cp-rtranclp-cdcl_W)
have cdcl_W** T T'
  using rtranclp-skip-or-resolve-rtranclp-cdcl_W s-or-r by blast
have inv-T': cdcl_W-all-struct-inv T'
  using ‹cdcl_W** T T'› inv-T rtranclp-cdcl_W-all-struct-inv-inv by blast
have inv-U: cdcl_W-all-struct-inv U
  using cdcl_W-merge-restart-cdcl_W confl fw-r-conflict inv local.bj
  rtranclp-cdcl_W-all-struct-inv-inv by blast

have [simp]: init-clss S = init-clss T'
  using ‹cdcl_W** T T'› cdcl_W-init-clss confl cdcl_W-all-struct-inv-def conflict inv
  by (metis ‹cdcl_W-M-level-inv T› rtranclp-cdcl_W-init-clss)
then have atm-L: atm-of L ∈ atms-of-msu (clauses S)
  using inv-T' confl-T' unfolding cdcl_W-all-struct-inv-def no-strange-atm-def clauses-def
  by auto
obtain M where tr-T: trail T = M @ trail T'
  using s-or-r by (induction rule: rtranclp-induct) auto
obtain M' where
  tr-T': trail T' = M' @  Marked K (i+1) # tl (trail U) and
  tr-U: trail U = Propagated L (D + {#L#}) # tl (trail U)
  using U M1-M2 undef-L inv-T' unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
  by fastforce
def M'' ≡ M @ M'
  have tr-T: trail S = M'' @  Marked K (i+1) # tl (trail U)
  using tr-T tr-T' confl unfolding M''-def by auto
have init-clss T' + learned-clss S ⊨pm D + {#L#}
  using inv-T' confl-T' unfolding cdcl_W-all-struct-inv-def cdcl_W-learned-clause-def clauses-def
  by simp
have reduce-trail-to (convert-trail-from-NOT (convert-trail-from-W M1)) S =
  reduce-trail-to M1 S
  by (rule reduce-trail-to-length) simp
moreover have trail (reduce-trail-to M1 S) = M1
  apply (rule reduce-trail-to-skip-beginning[of - M @ - @ M2 @ [Marked K (Suc i)]])
  using confl M1-M2 ‹trail T = M @ trail T'›
    apply (auto dest!: get-all-marked-decomposition-exists-prepend
      elim!: conflictE)
    by (rule sym) auto
ultimately have [simp]: trail (reduce-trail-to_NOT (convert-trail-from-W M1) S) = M1
  using M1-M2 confl by (auto simp add: reduce-trail-to_NOT-reduce-trail-convert)
have every-mark-is-a-conflict U
  using inv-U unfolding cdcl_W-all-struct-inv-def cdcl_W-conflicting-def by simp
then have tl (trail U) ⊨as CNot D
  by (metis add-diff-cancel-left' append-self-conv2 tr-U union-commute)
have backjump-l S U
  apply (rule backjump-l[of - - - - - L])
           using tr-T apply simp
          using inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
          apply (simp add: comp-def)
         using U M1-M2 confl undef-L M1-M2 inv-T' inv unfolding cdcl_W-all-struct-inv-def
         cdcl_W-M-level-inv-def apply (auto simp: state-eq_NOT-def
              trail-reduce-trail-to_{NOT}-add-learned-cls)[]
           using C_S apply simp
          using tr-S-C_S apply simp

         using U undef-L M1-M2  inv-T' inv unfolding cdcl_W-all-struct-inv-def
         cdcl_W-M-level-inv-def apply auto[]
        using undef-L atm-L apply (simp add: trail-reduce-trail-to_{NOT}-add-learned-cls)
       using ⟨init-clss T' + learned-clss S ⊨pm D + {#L#}⟩ unfolding clauses-def apply simp
      apply (metis ⟨tl (trail U) ⊨as CNot D⟩ convert-trail-from-W-true-annots)
     using  inv-T' inv-U U confl-T' undef-L M1-M2 unfolding cdcl_W-all-struct-inv-def
     distinct-cdcl_W-state-def by (simp add: cdcl_W-M-level-inv-decomp backjump-l-cond-def)
   then show ?thesis using cdcl_{NOT}-merged-bj-learn-backjump-l by fast
  qed
qed

abbreviation cdcl_{NOT}-restart where
cdcl_{NOT}-restart ≡ restart-ops.cdcl_{NOT}-raw-restart cdcl_{NOT} restart

lemma cdcl_W-merge-restart-is-cdcl_{NOT}-merged-bj-learn-restart-no-step:
 assumes
   inv: cdcl_W-all-struct-inv S and
   cdcl_W:cdcl_W-merge-restart S T
 shows cdcl_{NOT}-restart^{**} S T ∨ (no-step cdcl_W-merge T ∧ conflicting T ≠ None)
proof –
 consider
     (fw) cdcl_W-merge S T
   | (fw-r) restart S T
   using cdcl_W by (meson cdcl_W-merge-restart.simps cdcl_W-rf.cases fw-conflict fw-decide fw-forget
     fw-propagate)
 then show ?thesis
   proof cases
     case fw
     then have IH: cdcl_{NOT}-merged-bj-learn S T ∨ (no-step cdcl_W-merge T ∧ conflicting T ≠ None)
       using inv cdcl_W-merge-is-cdcl_{NOT}-merged-bj-learn by blast
     have invS: inv_{NOT} S
       using inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def by auto
     have ff2: cdcl_{NOT}^{++} S T ⟶ cdcl_{NOT}^{**} S T
         by (meson tranclp-into-rtranclp)
     have ff3: no-dup (convert-trail-from-W (trail S))
       using invS by (simp add: comp-def)
     have cdcl_{NOT} ≤ cdcl_{NOT}-restart
       by (auto simp: restart-ops.cdcl_{NOT}-raw-restart.simps)
     then show ?thesis
       using ff3 ff2 IH cdcl_{NOT}-merged-bj-learn-is-tranclp-cdcl_{NOT}
       rtranclp-mono[of cdcl_{NOT} cdcl_{NOT}-restart] invS predicate2D by blast
   next
     case fw-r
     then show ?thesis by (blast intro: restart-ops.cdcl_{NOT}-raw-restart.intros)
   qed
qed

abbreviation μ_{FW} :: 'st ⇒ nat where
μ_{FW} S ≡ (if no-step cdcl_W-merge S then 0 else 1+μ_{CDCL}'-merged (set-mset (init-clss S)) S)

lemma cdcl_W-merge-μ_{FW}-decreasing:
```

assumes
inv: *cdcl*$_W$*-all-struct-inv S* **and**
fw: *cdcl*$_W$*-merge S T*
shows μ_{FW} *T* < μ_{FW} *S*
proof −
let *?A* = *init-clss S*
have *atm-clauses*: *atms-of-msu* (*clauses S*) ⊆ *atms-of-msu ?A*
using *inv* **unfolding** *cdcl*$_W$*-all-struct-inv-def no-strange-atm-def clauses-def* **by** *auto*
have *atm-trail*: *atm-of ‘ lits-of* (*trail S*) ⊆ *atms-of-msu ?A*
using *inv* **unfolding** *cdcl*$_W$*-all-struct-inv-def no-strange-atm-def clauses-def* **by** *auto*
have *n-d*: *no-dup* (*trail S*)
using *inv* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** (*auto simp*: *cdcl*$_W$*-M-level-inv-decomp*)
have [*simp*]: ¬ *no-step cdcl*$_W$*-merge S*
using *fw* **by** *auto*
have [*simp*]: *init-clss S* = *init-clss T*
using *cdcl*$_W$*-merge-restart-cdcl*$_W$[*of S T*] *inv rtranclp-cdcl*$_W$*-init-clss*
unfolding *cdcl*$_W$*-all-struct-inv-def*
by (*meson cdcl*$_W$*-merge.simps cdcl*$_W$*-merge-restart.simps cdcl*$_W$*-rf.simps fw*)
consider
(*merged*) *cdcl*$_{NOT}$*-merged-bj-learn S T*
| (*n-s*) *no-step cdcl*$_W$*-merge T*
using *cdcl*$_W$*-merge-is-cdcl*$_{NOT}$*-merged-bj-learn inv fw* **by** *blast*
then show *?thesis*
proof *cases*
case *merged*
then show *?thesis*
using *cdcl*$_{NOT}$*-decreasing-measure'*[*OF - - atm-clauses*] *atm-trail n-d*
by (*auto split*: *split-if simp*: *comp-def*)
next
case *n-s*
then show *?thesis* **by** *simp*
qed
qed

lemma *wf-cdcl*$_W$*-merge*: *wf* {(*T*, *S*). *cdcl*$_W$*-all-struct-inv S* ∧ *cdcl*$_W$*-merge S T*}
apply (*rule wfP-if-measure*[*of - -* μ_{FW}])
using *cdcl*$_W$*-merge-*μ_{FW}*-decreasing* **by** *blast*

lemma *cdcl*$_W$*-all-struct-inv-tranclp-cdcl*$_W$*-merge-tranclp-cdcl*$_W$*-merge-cdcl*$_W$*-all-struct-inv*:
assumes
inv: *cdcl*$_W$*-all-struct-inv b*
cdcl$_W$*-merge*$^{++}$ *b a*
shows (λ*S T*. *cdcl*$_W$*-all-struct-inv S* ∧ *cdcl*$_W$*-merge S T*)$^{++}$ *b a*
using *assms*(*2*)
proof *induction*
case *base*
then show *?case* **using** *inv* **by** *auto*
next
case (*step c d*) **note** *st* =*this*(*1*) **and** *fw* = *this*(*2*) **and** *IH* = *this*(*3*)
have *cdcl*$_W$*-all-struct-inv c*
using *tranclp-into-rtranclp*[*OF st*] *cdcl*$_W$*-merge-rtranclp-cdcl*$_W$
assms(*1*) *rtranclp-cdcl*$_W$*-all-struct-inv-inv rtranclp-mono*[*of cdcl*$_W$*-merge cdcl*$_W$**] **by** *fastforce*
then have (λ*S T*. *cdcl*$_W$*-all-struct-inv S* ∧ *cdcl*$_W$*-merge S T*)$^{++}$ *c d*
using *fw* **by** *auto*
then show *?case* **using** *IH* **by** *auto*

qed

lemma *wf-tranclp-cdcl$_W$-merge*: *wf* {(*T*, *S*). *cdcl$_W$-all-struct-inv S* ∧ *cdcl$_W$-merge^{++} S T*}
  using *wf-trancl*[*OF wf-cdcl$_W$-merge*]
  apply (*rule wf-subset*)
  by (*auto simp*: *trancl-set-tranclp*
    cdcl$_W$-all-struct-inv-tranclp-cdcl$_W$-merge-tranclp-cdcl$_W$-merge-cdcl$_W$-all-struct-inv)

lemma *backtrack-is-full1-cdcl$_W$-bj*:
  assumes *bt*: *backtrack S T* **and** *inv*: *cdcl$_W$-M-level-inv S*
  shows *full1 cdcl$_W$-bj S T*
proof −
  have *no-step cdcl$_W$-bj T*
    using *bt inv backtrack-no-cdcl$_W$-bj* **by** *blast*
  moreover have *cdcl$_W$-bj^{++} S T*
    using *bt* **by** *auto*
  ultimately show *?thesis* **unfolding** *full1-def* **by** *blast*
qed

lemma *rtrancl-cdcl$_W$-conflicting-true-cdcl$_W$-merge-restart*:
  assumes *cdcl$_W$** S V* **and** *inv*: *cdcl$_W$-M-level-inv S* **and** *conflicting S = None*
  shows (*cdcl$_W$-merge-restart** S V* ∧ *conflicting V = None*)
    ∨ (∃ *T U*. *cdcl$_W$-merge-restart** S T* ∧ *conflicting V* ≠ *None* ∧ *conflict T U* ∧ *cdcl$_W$-bj** U V*)
  using *assms*
proof *induction*
  case *base*
  then show *?case* **by** *simp*
next
  case (*step U V*) **note** *st* = *this*(*1*) **and** *cdcl$_W$* = *this*(*2*) **and** *IH* = *this*(*3*)[*OF this*(*4*−)] **and**
    confl[*simp*] = *this*(*5*) **and** *inv* = *this*(*4*)
  from *cdcl$_W$*
  show *?case*
    proof (*cases*)
      case *propagate*
      moreover then have *conflicting U = None*
        by *auto*
      moreover have *conflicting V = None*
        using *propagate* **by** *auto*
      ultimately show *?thesis* **using** *IH cdcl$_W$-merge-restart.fw-r-propagate*[*of U V*] **by** *auto*
    next
      case *conflict*
      moreover then have *conflicting U = None*
        by *auto*
      moreover have *conflicting V* ≠ *None*
        using *conflict* **by** *auto*
      ultimately show *?thesis* **using** *IH* **by** *auto*
    next
      case *other*
      then show *?thesis*
        proof *cases*
          case *decide*
          moreover then have *conflicting U = None*
            by *auto*
          ultimately show *?thesis* **using** *IH cdcl$_W$-merge-restart.fw-r-decide*[*of U V*] **by** *auto*
        next

```
      case bj
      moreover {
        assume skip-or-resolve U V
        have f1: $cdcl_W$-bj$^{++}$ U V
          by (simp add: local.bj tranclp.r-into-trancl)
        obtain T T' :: 'st where
          f2: $cdcl_W$-merge-restart$^{**}$ S U
            ∨ $cdcl_W$-merge-restart$^{**}$ S T ∧ conflicting U ≠ None
              ∧ conflict T T' ∧ $cdcl_W$-bj$^{**}$ T' U
          using IH confl by blast
        then have ?thesis
          proof –
            have conflicting V ≠ None ∧ conflicting U ≠ None
              using ‹skip-or-resolve U V› by auto
            then show ?thesis
              by (metis (no-types) IH f1 rtranclp-trans tranclp-into-rtranclp)
          qed
      }
      moreover {
        assume backtrack U V
        then have conflicting U ≠ None by auto
        then obtain T T' where
          $cdcl_W$-merge-restart$^{**}$ S T and
          conflicting U ≠ None and
          conflict T T' and
          $cdcl_W$-bj$^{**}$ T' U
          using IH confl by meson
        have invU: $cdcl_W$-M-level-inv U
          using inv rtranclp-$cdcl_W$-consistent-inv step.hyps(1) by blast
        then have conflicting V = None
          using ‹backtrack U V› inv by (auto elim: backtrack-levE
            simp: $cdcl_W$-M-level-inv-decomp)
        have full $cdcl_W$-bj T' V
          apply (rule rtranclp-fullI[of $cdcl_W$-bj T' U V])
            using ‹$cdcl_W$-bj$^{**}$ T' U› apply fast
          using ‹backtrack U V› backtrack-is-full1-$cdcl_W$-bj invU unfolding full1-def full-def
          by blast
        then have ?thesis
          using $cdcl_W$-merge-restart.fw-r-conflict[of T T' V] ‹conflict T T'›
          ‹$cdcl_W$-merge-restart$^{**}$ S T› ‹conflicting V = None› by auto
      }
      ultimately show ?thesis by (auto simp: $cdcl_W$-bj.simps)
    qed
  next
    case rf
    moreover then have conflicting U = None and conflicting V = None
      by (auto simp: $cdcl_W$-rf.simps)
    ultimately show ?thesis using IH $cdcl_W$-merge-restart.fw-r-rf[of U V] by auto
  qed
qed
```

lemma *no-step-$cdcl_W$-no-step-$cdcl_W$-merge-restart*: *no-step $cdcl_W$ S* $\Longrightarrow$ *no-step $cdcl_W$-merge-restart S*
 by (*auto simp*: *$cdcl_W$.simps $cdcl_W$-merge-restart.simps $cdcl_W$-o.simps $cdcl_W$-bj.simps*)

lemma *no-step-cdcl$_W$-merge-restart-no-step-cdcl$_W$*:
  assumes
    conflicting S = None **and**
    cdcl$_W$-M-level-inv S **and**
    no-step cdcl$_W$-merge-restart S
  shows *no-step cdcl$_W$ S*
proof –
  { fix *S'*
    assume *conflict S S'*
    then have *cdcl$_W$ S S'* **using** *cdcl$_W$.conflict* **by** *auto*
    then have *cdcl$_W$-M-level-inv S'*
      using *assms(2) cdcl$_W$-consistent-inv* **by** *blast*
    then obtain *S''* **where** *full cdcl$_W$-bj S' S''*
      using *cdcl$_W$-bj-exists-normal-form[of S']* **by** *auto*
    then have *False*
      using ‹*conflict S S'*› *assms(3) fw-r-conflict* **by** *blast*
  }
  then show *?thesis*
    using *assms* **unfolding** *cdcl$_W$.simps cdcl$_W$-merge-restart.simps cdcl$_W$-o.simps cdcl$_W$-bj.simps*
    by *fastforce*
qed

lemma *rtranclp-cdcl$_W$-merge-restart-no-step-cdcl$_W$-bj*:
  assumes
    cdcl$_W$-merge-restart** S T **and**
    conflicting S = None
  shows *no-step cdcl$_W$-bj T*
  using *assms*
  apply (*induction rule*: *rtranclp-induct*)
   apply (*fastforce simp*: *cdcl$_W$-bj.simps cdcl$_W$-rf.simps cdcl$_W$-merge-restart.simps full-def*)
  apply (*fastforce simp*: *cdcl$_W$-bj.simps cdcl$_W$-rf.simps cdcl$_W$-merge-restart.simps full-def*)

  done

If *conflicting S ≠ None*, we cannot say anything.

Remark that this theorem does not say anything about well-foundedness: even if you know that one relation is well-founded, it only states that the normal forms are shared.

lemma *conflicting-true-full-cdcl$_W$-iff-full-cdcl$_W$-merge*:
  assumes *confl*: *conflicting S = None* **and** *lev*: *cdcl$_W$-M-level-inv S*
  shows *full cdcl$_W$ S V* $\longleftrightarrow$ *full cdcl$_W$-merge-restart S V*
proof
  assume *full*: *full cdcl$_W$-merge-restart S V*
  then have *st*: *cdcl$_W$** S V*
    using *rtranclp-mono[of cdcl$_W$-merge-restart cdcl$_W$**] cdcl$_W$-merge-restart-cdcl$_W$*
    unfolding *full-def* **by** *auto*

  have *n-s*: *no-step cdcl$_W$-merge-restart V*
    using *full* **unfolding** *full-def* **by** *auto*
  have *n-s-bj*: *no-step cdcl$_W$-bj V*
    using *rtranclp-cdcl$_W$-merge-restart-no-step-cdcl$_W$-bj confl full* **unfolding** *full-def* **by** *auto*
  have $\bigwedge$*S'*. *conflict V S'* $\Longrightarrow$ *cdcl$_W$-M-level-inv S'*
    using *cdcl$_W$.conflict cdcl$_W$-consistent-inv lev rtranclp-cdcl$_W$-consistent-inv st* **by** *blast*
  then have $\bigwedge$*S'*. *conflict V S'* $\Longrightarrow$ *False*
    using *n-s n-s-bj cdcl$_W$-bj-exists-normal-form cdcl$_W$-merge-restart.simps* **by** *meson*
  then have *n-s-cdcl$_W$*: *no-step cdcl$_W$ V*

using *n-s n-s-bj* **by** (*auto simp*: *$cdcl_W$.simps $cdcl_W$-o.simps $cdcl_W$-merge-restart.simps*)
then show *full $cdcl_W$ S V* **using** *st* **unfolding** *full-def* **by** *auto*
next
assume *full*: *full $cdcl_W$ S V*
have *no-step $cdcl_W$-merge-restart V*
using *full no-step-$cdcl_W$-no-step-$cdcl_W$-merge-restart* **unfolding** *full-def* **by** *blast*
moreover
consider
(*fw*) *$cdcl_W$-merge-restart** S V* **and** *conflicting V = None*
| (*bj*) *T U* **where**
$cdcl_W$-merge-restart** S T **and**
conflicting V $\neq$ None **and**
conflict T U **and**
$cdcl_W$-bj** U V
using *full rtrancl-$cdcl_W$-conflicting-true-$cdcl_W$-merge-restart confl lev* **unfolding** *full-def*
by *meson*
then have *$cdcl_W$-merge-restart** S V*
proof *cases*
case *fw*
then show *?thesis* **by** *fast*
next
case (*bj T U*)
have *no-step $cdcl_W$-bj V*
using *full* **unfolding** *full-def* **by** (*meson $cdcl_W$-o.bj other*)
then have *full $cdcl_W$-bj U V*
using ‹ *$cdcl_W$-bj** U V*› **unfolding** *full-def* **by** *auto*
then have *$cdcl_W$-merge-restart T V*
using ‹*conflict T U*› *$cdcl_W$-merge-restart.fw-r-conflict* **by** *blast*
then show *?thesis* **using** ‹*$cdcl_W$-merge-restart** S T*› **by** *auto*
qed
ultimately show *full $cdcl_W$-merge-restart S V* **unfolding** *full-def* **by** *fast*
qed

lemma *init-state-true-full-$cdcl_W$-iff-full-$cdcl_W$-merge*:
shows *full $cdcl_W$* (*init-state N*) *V* $\longleftrightarrow$ *full $cdcl_W$-merge-restart* (*init-state N*) *V*
by (*rule conflicting-true-full-$cdcl_W$-iff-full-$cdcl_W$-merge*) *auto*

19.5 FW with strategy

19.5.1 The intermediate step

inductive *$cdcl_W$-s'* :: *'st ⇒ 'st ⇒ bool* **where**
conflict': *full1 $cdcl_W$-cp S S'* $\Longrightarrow$ *$cdcl_W$-s' S S'* |
decide': *decide S S'* $\Longrightarrow$ *no-step $cdcl_W$-cp S* $\Longrightarrow$ *full $cdcl_W$-cp S' S''* $\Longrightarrow$ *$cdcl_W$-s' S S''* |
bj': *full1 $cdcl_W$-bj S S'* $\Longrightarrow$ *no-step $cdcl_W$-cp S* $\Longrightarrow$ *full $cdcl_W$-cp S' S''* $\Longrightarrow$ *$cdcl_W$-s' S S''*

inductive-cases *$cdcl_W$-s'E*: *$cdcl_W$-s' S T*

lemma *rtranclp-$cdcl_W$-bj-full1-cdclp-$cdcl_W$-stgy*:
$cdcl_W$-bj** S S' $\Longrightarrow$ *full $cdcl_W$-cp S' S''* $\Longrightarrow$ *$cdcl_W$-stgy** S S''*
proof (*induction rule*: *converse-rtranclp-induct*)
case *base*
then show *?case* **by** (*metis $cdcl_W$-stgy.conflict' full-unfold rtranclp.simps*)
next
case (*step T U*) **note** *st* =*this*(*2*) **and** *bj* = *this*(*1*) **and** *IH* = *this*(*3*)[*OF this*(*4*)]
have *no-step $cdcl_W$-cp T*

```
    using bj by (auto simp add: cdcl_W-bj.simps)
  consider
      (U) U = S'
    | (U') U' where cdcl_W-bj U U' and cdcl_W-bj** U' S'
    using st by (metis converse-rtranclpE)
  then show ?case
    proof cases
      case U
      then show ?thesis
        using ‹no-step cdcl_W-cp T› cdcl_W-o.bj local.bj other' step.prems by (meson r-into-rtranclp)
    next
      case U' note U' = this(1)
      have no-step cdcl_W-cp U
        using U' by (fastforce simp: cdcl_W-cp.simps cdcl_W-bj.simps)
      then have full cdcl_W-cp U U
        by (simp add: full-unfold)
      then have cdcl_W-stgy T U
        using ‹no-step cdcl_W-cp T› cdcl_W-stgy.simps local.bj cdcl_W-o.bj by meson
      then show ?thesis using IH by auto
    qed
qed

lemma cdcl_W-s'-is-rtranclp-cdcl_W-stgy:
  cdcl_W-s' S T ⟹ cdcl_W-stgy** S T
  apply (induction rule: cdcl_W-s'.induct)
    apply (auto intro: cdcl_W-stgy.intros)[]
   apply (meson decide other' r-into-rtranclp)
  by (metis full1-def rtranclp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy tranclp-into-rtranclp)

lemma cdcl_W-cp-cdcl_W-bj-bissimulation:
  assumes
    full cdcl_W-cp T U and
    cdcl_W-bj** T T' and
    cdcl_W-all-struct-inv T and
    no-step cdcl_W-bj T'
  shows full cdcl_W-cp T' U
    ∨ (∃ U' U''. full cdcl_W-cp T' U'' ∧ full1 cdcl_W-bj U U' ∧ full cdcl_W-cp U' U'' ∧ cdcl_W-s'** U U'')
  using assms(2,1,3,4)
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by blast
next
  case (step T' T'') note st = this(1) and bj = this(2) and IH = this(3)[OF this(4,5)] and
    full = this(4) and inv = this(5)
  have cdcl_W** T T''
    by (metis (no-types, lifting) cdcl_W-o.bj local.bj mono-rtranclp[of cdcl_W-bj cdcl_W T T''] other
      st rtranclp.rtrancl-into-rtrancl)
  then have inv-T'': cdcl_W-all-struct-inv T''
    using inv rtranclp-cdcl_W-all-struct-inv-inv by blast
  have cdcl_W-bj++ T T''
    using local.bj st by auto
  have full1 cdcl_W-bj T T''
    by (metis ‹cdcl_W-bj++ T T''› full1-def step.prems(3))
  then have T = U
    proof -
```

```
      obtain Z where cdcl_W-bj T Z
        by (meson tranclpD ‹cdcl_W-bj⁺⁺ T T''›)
      { assume cdcl_W-cp⁺⁺ T U
        then obtain Z' where cdcl_W-cp T Z'
          by (meson tranclpD)
        then have False
          using ‹cdcl_W-bj T Z› by (fastforce simp: cdcl_W-bj.simps cdcl_W-cp.simps)
      }
      then show ?thesis
        using full unfolding full-def rtranclp-unfold by blast
    qed
  obtain U'' where full cdcl_W-cp T'' U''
    using cdcl_W-cp-normalized-element-all-inv inv-T'' by blast
  moreover then have cdcl_W-stgy** U U''
    by (metis ‹T = U› ‹cdcl_W-bj⁺⁺ T T''› rtranclp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy rtranclp-unfold)
  moreover have cdcl_W-s'** U U''
    proof −
      obtain ss :: 'st ⇒ 'st where
        f1: ∀x2. (∃v3. cdcl_W-cp x2 v3) = cdcl_W-cp x2 (ss x2)
        by moura
      have ¬ cdcl_W-cp U (ss U)
        by (meson full full-def)
      then show ?thesis
        using f1 by (metis (no-types) ‹T = U› ‹full1 cdcl_W-bj T T''› bj' calculation(1)
          r-into-rtranclp)
    qed
  ultimately show ?case
    using ‹full1 cdcl_W-bj T T''› ‹full cdcl_W-cp T'' U''› unfolding ‹T = U› by blast
qed

lemma cdcl_W-cp-cdcl_W-bj-bissimulation':
  assumes
    full cdcl_W-cp T U and
    cdcl_W-bj** T T' and
    cdcl_W-all-struct-inv T and
    no-step cdcl_W-bj T'
  shows full cdcl_W-cp T' U
    ∨ (∃U'. full1 cdcl_W-bj U U' ∧ (∀U''. full cdcl_W-cp U' U'' ⟶ full cdcl_W-cp T' U''
      ∧ cdcl_W-s'** U U''))
  using assms(2,1,3,4)
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by blast
next
  case (step T' T'') note st = this(1) and bj = this(2) and IH = this(3)[OF this(4,5)] and
    full = this(4) and inv = this(5)
  have cdcl_W** T T''
    by (metis (no-types, lifting) cdcl_W-o.bj local.bj mono-rtranclp[of cdcl_W-bj cdcl_W T T''] other st
      rtranclp.rtrancl-into-rtrancl)
  then have inv-T'': cdcl_W-all-struct-inv T''
    using inv rtranclp-cdcl_W-all-struct-inv-inv by blast
  have cdcl_W-bj⁺⁺ T T''
    using local.bj st by auto
  have full1 cdcl_W-bj T T''
    by (metis ‹cdcl_W-bj⁺⁺ T T''› full1-def step.prems(3))
  then have T = U
    proof -
      obtain Z where cdcl_W-bj T Z
        by (meson tranclpD ‹cdcl_W-bj⁺⁺ T T''›)
      { assume cdcl_W-cp⁺⁺ T U
        then obtain Z' where cdcl_W-cp T Z'
          by (meson tranclpD)
        then have False
          using ‹cdcl_W-bj T Z› by (fastforce simp: cdcl_W-bj.simps cdcl_W-cp.simps)
      }
      then show ?thesis
        using full unfolding full-def rtranclp-unfold by blast
    qed
  { fix U''
    assume full cdcl_W-cp T'' U''
    moreover then have cdcl_W-stgy** U U''
      by (metis ‹T = U› ‹cdcl_W-bj⁺⁺ T T''› rtranclp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy rtranclp-unfold)
    moreover have cdcl_W-s'** U U''
      proof -
        obtain ss :: 'st ⇒ 'st where
          f1: ∀x2. (∃v3. cdcl_W-cp x2 v3) = cdcl_W-cp x2 (ss x2)
          by moura
        have ¬ cdcl_W-cp U (ss U)
          by (meson assms(1) full-def)
        then show ?thesis
          using f1 by (metis (no-types) ‹T = U› ‹full1 cdcl_W-bj T T''› bj' calculation(1)
            r-into-rtranclp)
      qed
    ultimately have full1 cdcl_W-bj U T'' and  cdcl_W-s'** T'' U''
      using ‹full1 cdcl_W-bj T T''› ‹full cdcl_W-cp T'' U''› unfolding ‹T = U›
        apply blast
      by (metis ‹full cdcl_W-cp T'' U''› cdcl_W-s'.simps full-unfold rtranclp.simps)
  }
  then show ?case
    using ‹full1 cdcl_W-bj T T''› full bj' unfolding ‹T = U› full-def by (metis r-into-rtranclp)
qed

lemma cdcl_W-stgy-cdcl_W-s'-connected:
  assumes cdcl_W-stgy S U and cdcl_W-all-struct-inv S
  shows cdcl_W-s' S U
    ∨ (∃U'. full1 cdcl_W-bj U U' ∧ (∀U''. full cdcl_W-cp U' U'' ⟶ cdcl_W-s' S U'' ))
  using assms
proof (induction rule: cdcl_W-stgy.induct)
  case (conflict' T)
  then have cdcl_W-s' S T
    using cdcl_W-s'.conflict' by blast
  then show ?case
    by blast
next
  case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)
  show ?case
    using o
    proof cases
      case decide
      then show ?thesis using cdcl_W-s'.simps full n-s by blast
    next
      case bj
      have inv-T: cdcl_W-all-struct-inv T
        using cdcl_W-all-struct-inv-inv o other other'.prems by blast
      consider
          (cp) full cdcl_W-cp T U and no-step cdcl_W-bj T
        | (fbj) T' where full1 cdcl_W-bj T T'
        apply (cases no-step cdcl_W-bj T)
         using full apply blast
        using cdcl_W-bj-exists-normal-form[of T] inv-T unfolding cdcl_W-all-struct-inv-def
        by (metis full-unfold)
      then show ?thesis
        proof cases
          case cp
          then show ?thesis
            proof -
              obtain ss :: 'st ⇒ 'st where
                f1: ∀ s sa sb. (¬ full1 cdcl_W-bj s sa ∨ cdcl_W-cp s (ss s) ∨ ¬ full cdcl_W-cp sa sb)
                  ∨ cdcl_W-s' s sb
                using bj' by moura
              have full1 cdcl_W-bj S T
                by (simp add: cp(2) full1-def local.bj tranclp.r-into-trancl)
              then show ?thesis
                using f1 full n-s by blast
            qed
        next
          case (fbj U')
          then have full1 cdcl_W-bj S U'
            using bj unfolding full1-def by auto
          moreover have no-step cdcl_W-cp S
            using n-s by blast
          moreover have T = U
            using full fbj unfolding full1-def full-def rtranclp-unfold
            by (force dest!: tranclpD simp:cdcl_W-bj.simps)
          ultimately show ?thesis using cdcl_W-s'.bj'[of S U'] using fbj by blast
        qed
    qed
qed

lemma cdcl_W-stgy-cdcl_W-s'-connected':
  assumes cdcl_W-stgy S U and cdcl_W-all-struct-inv S
  shows cdcl_W-s' S U
    ∨ (∃ U' U''. cdcl_W-s' S U'' ∧ full1 cdcl_W-bj U U' ∧ full cdcl_W-cp U' U'')
  using assms
proof (induction rule: cdcl_W-stgy.induct)
  case (conflict' T)
  then have cdcl_W-s' S T
    using cdcl_W-s'.conflict' by blast
  then show ?case
    by blast
next
  case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)
  show ?case
    using o
    proof cases
```

```
      case decide
      then show ?thesis using cdcl_W-s'.simps full n-s by blast
    next
      case bj
      have cdcl_W-all-struct-inv T
        using cdcl_W-all-struct-inv-inv o other other'.prems by blast
      then obtain T' where T': full cdcl_W-bj T T'
        using cdcl_W-bj-exists-normal-form unfolding full-def cdcl_W-all-struct-inv-def by metis
      then have full cdcl_W-bj S T'
        proof –
          have f1: cdcl_W-bj** T T' ∧ no-step cdcl_W-bj T'
            by (metis (no-types) T' full-def)
          then have cdcl_W-bj** S T'
            by (meson converse-rtranclp-into-rtranclp local.bj)
          then show ?thesis
            using f1 by (simp add: full-def)
        qed
      have cdcl_W-bj** T T'
        using T' unfolding full-def by simp
      have cdcl_W-all-struct-inv T
        using cdcl_W-all-struct-inv-inv o other other'.prems by blast
      then consider
          (T'U) full cdcl_W-cp T' U
        | (U) U' U'' where
            full cdcl_W-cp T' U'' and
            full1 cdcl_W-bj U U' and
            full cdcl_W-cp U' U'' and
            cdcl_W-s'** U U''
        using cdcl_W-cp-cdcl_W-bj-bissimulation[OF full ‹cdcl_W-bj** T T'›] T' unfolding full-def
        by blast
      then show ?thesis by (metis T' cdcl_W-s'.simps full-fullI local.bj n-s)
    qed
qed

lemma cdcl_W-stgy-cdcl_W-s'-no-step:
  assumes cdcl_W-stgy S U and cdcl_W-all-struct-inv S and no-step cdcl_W-bj U
  shows cdcl_W-s' S U
  using cdcl_W-stgy-cdcl_W-s'-connected[OF assms(1,2)] assms(3)
  by (metis (no-types, lifting) full1-def tranclpD)

lemma rtranclp-cdcl_W-stgy-connected-to-rtranclp-cdcl_W-s':
  assumes cdcl_W-stgy** S U and inv: cdcl_W-M-level-inv S
  shows cdcl_W-s'** S U ∨ (∃ T. cdcl_W-s'** S T ∧ cdcl_W-bj++ T U ∧ conflicting U ≠ None)
  using assms(1)
proof induction
  case base
  then show ?case by simp
next
  case (step T V) note st = this(1) and o = this(2) and IH = this(3)
  from o show ?case
    proof cases
      case conflict'
      then have f2: cdcl_W-s' T V
        using cdcl_W-s'.conflict' by blast
      obtain ss :: 'st where
        f3: $S = T \vee cdcl_W\text{-}stgy^{**}\ S\ ss \wedge cdcl_W\text{-}stgy\ ss\ T$
        by (metis (full-types) rtranclp.simps st)
      obtain ssa :: 'st where
        $cdcl_W$-cp T ssa
        using conflict' by (metis (no-types) full1-def tranclpD)
      then have S = T
        using f3 by (metis (no-types) $cdcl_W$-stgy.simps full-def full1-def)
      then show ?thesis
        using f2 by blast
    next
      case (other' U) note o = this(1) and n-s = this(2) and full = this(3)
      then show ?thesis
        using o
        proof (cases rule: $cdcl_W$-o-rule-cases)
          case decide
          then have $cdcl_W\text{-}s'^{**}$ S T
            using IH by auto
          then show ?thesis
            by (meson decide decide' full n-s rtranclp.rtrancl-into-rtrancl)
        next
          case backtrack
          consider
              (s') $cdcl_W\text{-}s'^{**}$ S T
            | (bj) S' where $cdcl_W\text{-}s'^{**}$ S S' and $cdcl_W\text{-}bj^{++}$ S' T and conflicting T ≠ None
            using IH by blast
          then show ?thesis
            proof cases
              case s'
              moreover
                have $cdcl_W$-M-level-inv T
                  using inv local.step(1) rtranclp-$cdcl_W$-stgy-consistent-inv by auto
                then have full1 $cdcl_W$-bj T U
                  using backtrack-is-full1-$cdcl_W$-bj backtrack by blast
                then have $cdcl_W$-s' T V
                 using full bj' n-s by blast
              ultimately show ?thesis by auto
            next
              case (bj S') note S-S' = this(1) and bj-T = this(2)
              have no-step $cdcl_W$-cp S'
                using bj-T by (fastforce simp: $cdcl_W$-cp.simps $cdcl_W$-bj.simps dest!: tranclpD)
              moreover
                have  $cdcl_W$-M-level-inv T
                  using inv local.step(1) rtranclp-$cdcl_W$-stgy-consistent-inv by auto
                then have full1 $cdcl_W$-bj T U
                  using backtrack-is-full1-$cdcl_W$-bj backtrack by blast
                then have full1 $cdcl_W$-bj S' U
                  using bj-T unfolding full1-def by fastforce
              ultimately have $cdcl_W$-s' S' V using full by (simp add: bj')
              then show ?thesis using S-S' by auto
            qed
        next
          case skip
          then have [simp]: U = V
            using full converse-rtranclpE unfolding full-def by fastforce
          consider
              (s') cdclW-s'** S T
            | (bj) S' where cdclW-s'** S S' and cdclW-bj++ S' T and conflicting T ≠ None
            using IH by blast
          then show ?thesis
            proof cases
              case s'
              have cdclW-bj++ T V
                using skip by force
              moreover have conflicting V ≠ None
                using skip by auto
              ultimately show ?thesis using s' by auto
            next
              case (bj S') note S-S' = this(1) and bj-T = this(2)
              have cdclW-bj++ S' V
                using skip bj-T by (metis ‹U = V› cdclW-bj.skip tranclp.simps)

              moreover have conflicting V ≠ None
                using skip by auto
              ultimately show ?thesis using S-S' by auto
            qed
        next
          case resolve
          then have [simp]: U = V
            using full converse-rtranclpE unfolding full-def by fastforce
          consider
              (s') cdclW-s'** S T
            | (bj) S' where cdclW-s'** S S' and cdclW-bj++ S' T and conflicting T ≠ None
            using IH by blast
          then show ?thesis
            proof cases
              case s'
              have cdclW-bj++ T V
                using resolve by force
              moreover have conflicting V ≠ None
                using resolve by auto
              ultimately show ?thesis using s' by auto
            next
              case (bj S') note S-S' = this(1) and bj-T = this(2)
              have cdclW-bj++ S' V
                using resolve  bj-T by (metis ‹U = V› cdclW-bj.resolve tranclp.simps)
              moreover have conflicting V ≠ None
                using resolve by auto
              ultimately show ?thesis using S-S' by auto
            qed
        qed
    qed
qed

lemma n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o:
  assumes inv: cdclW-all-struct-inv S
  shows no-step cdclW-s' S ⟷ no-step cdclW-cp S ∧ no-step cdclW-o S (is ?S' S ⟷ ?C S ∧ ?O S)
proof
  assume ?C S ∧ ?O S
  then show ?S' S
```

```
    by (auto simp: cdcl_W-s'.simps full1-def tranclp-unfold-begin)
next
  assume n-s: ?S' S
  have ?C S
    proof (rule ccontr)
      assume ¬ ?thesis
      then obtain S' where cdcl_W-cp S S'
        by auto
      then obtain T where full1 cdcl_W-cp S T
        using cdcl_W-cp-normalized-element-all-inv inv by (metis (no-types, lifting) full-unfold)
      then show False using n-s cdcl_W-s'.conflict' by blast
    qed
  moreover have ?O S
    proof (rule ccontr)
      assume ¬ ?thesis
      then obtain S' where cdcl_W-o S S'
        by auto
      then obtain T where full1 cdcl_W-cp S' T
        using cdcl_W-cp-normalized-element-all-inv inv
        by (meson cdcl_W-all-struct-inv-def n-s
          cdcl_W-stgy-cdcl_W-s'-connected' cdcl_W-then-exists-cdcl_W-stgy-step )
      then show False using n-s by (meson ‹cdcl_W-o S S'› cdcl_W-all-struct-inv-def
        cdcl_W-stgy-cdcl_W-s'-connected' cdcl_W-then-exists-cdcl_W-stgy-step inv)
    qed
  ultimately show ?C S ∧ ?O S by auto
qed

lemma cdcl_W-s'-tranclp-cdcl_W:
   cdcl_W-s' S S' ⟹ cdcl_W⁺⁺ S S'
proof (induct rule: cdcl_W-s'.induct)
  case conflict'
  then show ?case
    by (simp add: full1-def tranclp-cdcl_W-cp-tranclp-cdcl_W)
next
  case decide'
  then show ?case
    using cdcl_W-stgy.simps cdcl_W-stgy-tranclp-cdcl_W by (meson cdcl_W-o.simps)
next
  case (bj' Sa S'a S'') note a2 = this(1) and a1 = this(2) and n-s = this(3)
  obtain ss :: 'st ⇒ 'st ⇒ ('st ⇒ 'st ⇒ bool) ⇒ 'st where
    ∀x0 x1 x2. (∃v3. x2 x1 v3 ∧ x2** v3 x0) = (x2 x1 (ss x0 x1 x2) ∧ x2** (ss x0 x1 x2) x0)
    by moura
  then have f3: ∀p s sa. ¬ p⁺⁺ s sa ∨ p s (ss sa s p) ∧ p** (ss sa s p) sa
    by (metis (full-types) tranclpD)
  have cdcl_W-bj⁺⁺ Sa S'a ∧ no-step cdcl_W-bj S'a
    using a2 by (simp add: full1-def)
  then have cdcl_W-bj Sa (ss S'a Sa cdcl_W-bj) ∧ cdcl_W-bj** (ss S'a Sa cdcl_W-bj) S'a
    using f3 by auto
  then show cdcl_W⁺⁺ Sa S''
    using a1 n-s by (meson bj other rtranclp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy
      rtranclp-cdcl_W-stgy-rtranclp-cdcl_W rtranclp-into-tranclp2)
qed

lemma tranclp-cdcl_W-s'-tranclp-cdcl_W:
  cdcl_W-s'⁺⁺ S S' ⟹ cdcl_W⁺⁺ S S'
  apply (induct rule: tranclp.induct)
   using cdcl_W-s'-tranclp-cdcl_W apply blast
  by (meson cdcl_W-s'-tranclp-cdcl_W tranclp-trans)

lemma rtranclp-cdcl_W-s'-rtranclp-cdcl_W:
   cdcl_W-s'^** S S' ⟹ cdcl_W^** S S'
  using rtranclp-unfold[of cdcl_W-s' S S'] tranclp-cdcl_W-s'-tranclp-cdcl_W[of S S'] by auto

lemma full-cdcl_W-stgy-iff-full-cdcl_W-s':
  assumes inv: cdcl_W-all-struct-inv S
  shows full cdcl_W-stgy S T ⟷ full cdcl_W-s' S T (is ?S ⟷ ?S')
proof
  assume ?S'
  then have cdcl_W^** S T
    using rtranclp-cdcl_W-s'-rtranclp-cdcl_W[of S T] unfolding full-def by blast
  then have inv': cdcl_W-all-struct-inv T
    using rtranclp-cdcl_W-all-struct-inv-inv inv by blast
  have cdcl_W-stgy^** S T
    using ‹?S'› unfolding full-def
      using cdcl_W-s'-is-rtranclp-cdcl_W-stgy rtranclp-mono[of cdcl_W-s' cdcl_W-stgy^**] by auto
  then show ?S
    using ‹?S'› inv' cdcl_W-stgy-cdcl_W-s'-connected' unfolding full-def by blast
next
  assume ?S
  then have inv-T:cdcl_W-all-struct-inv T
    by (metis assms full-def rtranclp-cdcl_W-all-struct-inv-inv rtranclp-cdcl_W-stgy-rtranclp-cdcl_W)

  consider
      (s') cdcl_W-s'^** S T
    | (st) S' where cdcl_W-s'^** S S' and cdcl_W-bj^++ S' T and conflicting T ≠ None
    using rtranclp-cdcl_W-stgy-connected-to-rtranclp-cdcl_W-s'[of S T] inv ‹?S›
    unfolding full-def  cdcl_W-all-struct-inv-def
    by blast
  then show ?S'
    proof cases
      case s'
      then show ?thesis
        by (metis ‹full cdcl_W-stgy S T› inv-T cdcl_W-all-struct-inv-def cdcl_W-s'.simps
          cdcl_W-stgy.conflict'  cdcl_W-then-exists-cdcl_W-stgy-step full-def
          n-step-cdcl_W-stgy-iff-no-step-cdcl_W-cl-cdcl_W-o)
    next
      case (st S')
      have full cdcl_W-cp T T
        using option-full-cdcl_W-cp st(3) by blast
      moreover
        have n-s: no-step cdcl_W-bj T
          by (metis ‹full cdcl_W-stgy S T› bj inv-T cdcl_W-all-struct-inv-def
            cdcl_W-then-exists-cdcl_W-stgy-step full-def)
        then have full1 cdcl_W-bj S' T
          using st(2) unfolding full1-def by blast
      moreover have no-step cdcl_W-cp S'
        using st(2) by (fastforce dest!: tranclpD simp: cdcl_W-cp.simps cdcl_W-bj.simps)
      ultimately have cdcl_W-s' S' T
        using cdcl_W-s'.bj'[of S' T T] by blast
      then have cdcl_W-s'^** S T
```

```
        using st(1) by auto
      moreover have no-step cdcl_W-s' T
        using inv-T by (metis ‹full cdcl_W-cp T T› ‹full cdcl_W-stgy S T› cdcl_W-all-struct-inv-def
          cdcl_W-then-exists-cdcl_W-stgy-step full-def n-step-cdcl_W-stgy-iff-no-step-cdcl_W-cl-cdcl_W-o)
      ultimately show ?thesis
        unfolding full-def by blast
    qed
qed

lemma conflict-step-cdcl_W-stgy-step:
  assumes
    conflict S T
    cdcl_W-all-struct-inv S
  shows ∃ T. cdcl_W-stgy S T
proof -
  obtain U where full cdcl_W-cp S U
    using cdcl_W-cp-normalized-element-all-inv assms by blast
  then have full1 cdcl_W-cp S U
    by (metis cdcl_W-cp.conflict' assms(1) full-unfold)
  then show ?thesis using cdcl_W-stgy.conflict' by blast
qed

lemma decide-step-cdcl_W-stgy-step:
  assumes
    decide S T
    cdcl_W-all-struct-inv S
  shows ∃ T. cdcl_W-stgy S T
proof -
  obtain U where full cdcl_W-cp T U
    using cdcl_W-cp-normalized-element-all-inv by (meson assms(1) assms(2) cdcl_W-all-struct-inv-inv
      cdcl_W-cp-normalized-element-all-inv decide other)
  then show ?thesis
    by (metis assms cdcl_W-cp-normalized-element-all-inv cdcl_W-stgy.conflict' decide full-unfold
      other')
qed

lemma rtranclp-cdcl_W-cp-conflicting-Some:
  cdcl_W-cp** S T ⟹ conflicting S = Some D ⟹ S = T
  using rtranclpD tranclpD by fastforce

inductive cdcl_W-merge-cp :: 'st ⇒ 'st ⇒ bool where
conflict'[intro]: conflict S T ⟹ full cdcl_W-bj T U ⟹ cdcl_W-merge-cp S U |
propagate'[intro]: propagate++ S S' ⟹ cdcl_W-merge-cp S S'

lemma cdcl_W-merge-restart-cases[consumes 1, case-names conflict propagate]:
  assumes
    cdcl_W-merge-cp S U and
    ⋀T. conflict S T ⟹ full cdcl_W-bj T U ⟹ P and
    propagate++ S U ⟹ P
  shows P
  using assms unfolding cdcl_W-merge-cp.simps by auto

lemma cdcl_W-merge-cp-tranclp-cdcl_W-merge:
  cdcl_W-merge-cp S T ⟹ cdcl_W-merge++ S T
  apply (induction rule: cdcl_W-merge-cp.induct)
```

    using *cdcl*$_W$*-merge.simps* **apply** *auto*[*1*]
  using *tranclp-mono*[*of propagate cdcl*$_W$*-merge*] *fw-propagate* **by** *blast*

lemma *rtranclp-cdcl*$_W$*-merge-cp-rtranclp-cdcl*$_W$:
  cdcl$_W$*-merge-cp*** *S T* $\Longrightarrow$ *cdcl*$_W$** *S T*
 apply (*induction rule*: *rtranclp-induct*)
  apply *simp*
 unfolding *cdcl*$_W$*-merge-cp.simps* **by** (*meson cdcl*$_W$*-merge-restart-cdcl*$_W$ *fw-r-conflict*
   rtranclp-propagate-is-rtranclp-cdcl$_W$ *rtranclp-trans tranclp-into-rtranclp*)

lemma *full1-cdcl*$_W$*-bj-no-step-cdcl*$_W$*-bj*:
  full1 cdcl$_W$*-bj S T* $\Longrightarrow$ *no-step cdcl*$_W$*-cp S*
  by (*metis rtranclp-unfold cdcl*$_W$*-cp-conflicting-not-empty option.exhaust full1-def*
    rtranclp-cdcl$_W$*-merge-restart-no-step-cdcl*$_W$*-bj tranclpD*)

inductive *cdcl*$_W$*-s'-without-decide* **where**
conflict'-without-decide[*intro*]: *full1 cdcl*$_W$*-cp S S'* $\Longrightarrow$ *cdcl*$_W$*-s'-without-decide S S'* |
bj'-without-decide[*intro*]: *full1 cdcl*$_W$*-bj S S'* $\Longrightarrow$ *no-step cdcl*$_W$*-cp S* $\Longrightarrow$ *full cdcl*$_W$*-cp S' S''*
     $\Longrightarrow$ *cdcl*$_W$*-s'-without-decide S S''*

lemma *rtranclp-cdcl*$_W$*-s'-without-decide-rtranclp-cdcl*$_W$:
  cdcl$_W$*-s'-without-decide*** *S T* $\Longrightarrow$ *cdcl*$_W$** *S T*
  apply (*induction rule*: *rtranclp-induct*)
    apply *simp*
  by (*meson cdcl*$_W$*-s'.simps cdcl*$_W$*-s'-tranclp-cdcl*$_W$ *cdcl*$_W$*-s'-without-decide.simps*
    rtranclp-tranclp-tranclp tranclp-into-rtranclp)

lemma *rtranclp-cdcl*$_W$*-s'-without-decide-rtranclp-cdcl*$_W$*-s'*:
  cdcl$_W$*-s'-without-decide*** *S T* $\Longrightarrow$ *cdcl*$_W$*-s'*** *S T*
proof (*induction rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **by** *simp*
next
  case (*step y z*) **note** *a2* = *this*(*2*) **and** *a1* = *this*(*3*)
  have *cdcl*$_W$*-s' y z*
    using *a2* **by** (*metis* (*no-types*) *bj' cdcl*$_W$*-s'.conflict' cdcl*$_W$*-s'-without-decide.cases*)
  then show *cdcl*$_W$*-s'*** *S z*
    using *a1* **by** (*meson r-into-rtranclp rtranclp-trans*)
qed

lemma *rtranclp-cdcl*$_W$*-merge-cp-is-rtranclp-cdcl*$_W$*-s'-without-decide*:
  assumes
    cdcl$_W$*-merge-cp*** *S V*
    conflicting S = *None*
  shows
    (*cdcl*$_W$*-s'-without-decide*** *S V*)
    $\vee$ ($\exists$ *T*. *cdcl*$_W$*-s'-without-decide*** *S T* $\wedge$ *propagate*$^{++}$ *T V*)
    $\vee$ ($\exists$ *T U*. *cdcl*$_W$*-s'-without-decide*** *S T* $\wedge$ *full1 cdcl*$_W$*-bj T U* $\wedge$ *propagate*** *U V*)
  using *assms*
proof (*induction rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **by** *simp*
next
  case (*step U V*) **note** *st* = *this*(*1*) **and** *cp* = *this*(*2*) **and** *IH* = *this*(*3*)[*OF this*(*4*)]
  from *cp* **show** *?case*

```
  proof (cases rule: cdcl_W-merge-restart-cases)
    case propagate
    then show ?thesis using IH by (meson rtranclp-tranclp-tranclp tranclp-into-rtranclp)
  next
    case (conflict U') note confl = this(1) and bj = this(2)
    have full1-U-U': full1 cdcl_W-cp U U'
      by (simp add: conflict-is-full1-cdcl_W-cp local.conflict(1))
    consider
        (s') cdcl_W-s'-without-decide^** S U
      | (propa) T' where cdcl_W-s'-without-decide^** S T' and propagate^++ T' U
      | (bj-prop) T' T'' where
          cdcl_W-s'-without-decide^** S T'  and
          full1 cdcl_W-bj T' T'' and
          propagate^** T'' U
      using IH by blast
    then show ?thesis
      proof cases
        case s'
        have cdcl_W-s'-without-decide U U'
         using full1-U-U' conflict'-without-decide by blast
        then have cdcl_W-s'-without-decide^** S U'
          using ‹cdcl_W-s'-without-decide^** S U› by auto
        moreover have U' = V ∨ full1 cdcl_W-bj U' V
          using bj by (meson full-unfold)
        ultimately show ?thesis by blast
      next
        case propa note s' = this(1) and T'-U = this(2)
        have full1 cdcl_W-cp T' U'
          using rtranclp-mono[of propagate cdcl_W-cp] T'-U cdcl_W-cp.propagate' full1-U-U'
          rtranclp-full1I[of cdcl_W-cp T'] by (metis (full-types) predicate2D predicate2I
            tranclp-into-rtranclp)
        have cdcl_W-s'-without-decide^** S U'
          using ‹full1 cdcl_W-cp T' U'› conflict'-without-decide s' by force
        have full1 cdcl_W-bj U' V ∨ V = U'
          by (metis (lifting) full-unfold local.bj)
        then show ?thesis
          using ‹cdcl_W-s'-without-decide^** S U'› by blast
      next
        case bj-prop note s' = this(1) and bj-T' = this(2) and T''-U = this(3)
        have no-step cdcl_W-cp T'
          using bj-T' full1-cdcl_W-bj-no-step-cdcl_W-bj by blast
        moreover have full1 cdcl_W-cp T'' U'
          using rtranclp-mono[of propagate cdcl_W-cp] T''-U cdcl_W-cp.propagate' full1-U-U'
          rtranclp-full1I[of cdcl_W-cp T''] by blast
        ultimately have cdcl_W-s'-without-decide T' U'
          using bj'-without-decide[of T' T'' U'] bj-T' by (simp add: full-unfold)
        then have cdcl_W-s'-without-decide^** S U'
          using s' rtranclp.intros(2)[of - S T' U'] by blast
        then show ?thesis
          by (metis full-unfold local.bj rtranclp.rtrancl-refl)
      qed
  qed
qed
```

```
lemma rtranclp-cdcl_W-s'-without-decide-is-rtranclp-cdcl_W-merge-cp:
  assumes
    cdcl_W-s'-without-decide** S V and
    confl: conflicting S = None
  shows
    (cdcl_W-merge-cp** S V ∧ conflicting V = None)
    ∨ (cdcl_W-merge-cp** S V ∧ conflicting V ≠ None ∧ no-step cdcl_W-cp V ∧ no-step cdcl_W-bj V)
    ∨ (∃ T. cdcl_W-merge-cp** S T ∧ conflict T V)
  using assms(1)
proof (induction)
  case base
  then show ?case using confl by auto
next
  case (step U V) note st = this(1) and s = this(2) and IH = this(3)
  from s show ?case
    proof (cases rule: cdcl_W-s'-without-decide.cases)
      case conflict'-without-decide
      then have rt: cdcl_W-cp++ U V  unfolding full1-def by fast
      then have conflicting U = None
        using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of U V]
        conflict by (auto dest!: tranclpD simp: rtranclp-unfold)
      then have cdcl_W-merge-cp** S U using IH by auto
      consider
          (propa) propagate++ U V
        | (confl') conflict U V
        | (propa-confl') U' where propagate++ U U' conflict U' V
        using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[OF rt] unfolding rtranclp-unfold
        by fastforce
      then show ?thesis
        proof cases
          case propa
          then have cdcl_W-merge-cp U V
            by auto
          moreover have conflicting V = None
            using propa unfolding tranclp-unfold-end by auto
          ultimately show ?thesis using ‹cdcl_W-merge-cp** S U› by force
        next
          case confl'
          then show ?thesis using ‹cdcl_W-merge-cp** S U› by auto
        next
          case propa-confl' note propa = this(1) and confl' = this(2)
          then have cdcl_W-merge-cp U U' by auto
          then have cdcl_W-merge-cp** S U' using ‹cdcl_W-merge-cp** S U› by auto
          then show ?thesis using ‹cdcl_W-merge-cp** S U› confl' by auto
        qed
    next
      case (bj'-without-decide U') note full-bj = this(1) and cp = this(3)
      then have conflicting U ≠ None
        using full-bj unfolding full1-def by (fastforce dest!: tranclpD simp: cdcl_W-bj.simps)
      with IH obtain T where
        S-T: cdcl_W-merge-cp** S T and T-U: conflict T U
        using full-bj unfolding full1-def by (blast dest: tranclpD)
      then have cdcl_W-merge-cp T U'
        using cdcl_W-merge-cp.conflict'[of T U U'] full-bj by (simp add: full-unfold)
      then have S-U': cdcl_W-merge-cp** S U' using S-T by auto
      consider
          (n-s) U' = V
        | (propa) propagate⁺⁺ U' V
        | (confl') conflict U' V
        | (propa-confl') U'' where propagate⁺⁺ U' U'' conflict U'' V
        using tranclp-cdcl_W-cp-propagate-with-conflict-or-not cp
        unfolding rtranclp-unfold full-def by metis
      then show ?thesis
        proof cases
          case propa
          then have cdcl_W-merge-cp U' V by auto
          moreover have conflicting V = None
            using propa  unfolding tranclp-unfold-end by auto
          ultimately show ?thesis using S-U' by force
        next
          case confl'
          then show ?thesis using S-U' by auto
        next
          case propa-confl' note propa = this(1) and confl = this(2)
          have cdcl_W-merge-cp U' U'' using propa by auto
          then show ?thesis using S-U' confl by (meson rtranclp.rtrancl-into-rtrancl)
        next
          case n-s
          then show ?thesis
            using S-U' apply (cases conflicting V = None)
             using full-bj apply simp
            by (metis cp full-def full-unfold full-bj)
        qed
    qed
qed

lemma no-step-cdcl_W-s'-no-ste-cdcl_W-merge-cp:
  assumes
    cdcl_W-all-struct-inv S
    conflicting S = None
    no-step cdcl_W-s' S
  shows no-step cdcl_W-merge-cp S
  using assms apply (auto simp: cdcl_W-s'.simps cdcl_W-merge-cp.simps)
    using conflict-is-full1-cdcl_W-cp apply blast
  using cdcl_W-cp-normalized-element-all-inv cdcl_W-cp.propagate' by (metis cdcl_W-cp.propagate'
    full-unfold tranclpD)
```

The *no-step decide S* is needed, since *cdcl*$_W$*-merge-cp* is *cdcl*$_W$*-s'* without *decide*.

```
lemma conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide:
  assumes
    confl: conflicting S = None and
    inv: cdcl_W-M-level-inv S and
    n-s: no-step cdcl_W-merge-cp S
  shows no-step cdcl_W-s'-without-decide S
proof (rule ccontr)
  assume ¬ no-step cdcl_W-s'-without-decide S
  then obtain T where
    cdcl_W: cdcl_W-s'-without-decide S T
    by auto
  then have inv-T: cdcl_W-M-level-inv T
```

```
    using rtranclp-cdcl_W-s'-without-decide-rtranclp-cdcl_W[of S T]
    rtranclp-cdcl_W-consistent-inv inv by blast
  from cdcl_W show False
    proof cases
      case conflict'-without-decide
      have no-step propagate S
        using n-s by blast
      then have conflict S T
        using local.conflict' tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of S T]
        unfolding full1-def by (metis full1-def local.conflict'-without-decide rtranclp-unfold
          tranclp-unfold-begin)
      moreover
        then obtain T' where full cdcl_W-bj T T'
          using cdcl_W-bj-exists-normal-form inv-T by blast
      ultimately show False using cdcl_W-merge-cp.conflict' n-s by meson
    next
      case (bj'-without-decide S')
      then show ?thesis
        using confl unfolding full1-def by (fastforce simp: cdcl_W-bj.simps dest: tranclpD)
    qed
qed

lemma conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp:
  assumes
    inv: cdcl_W-all-struct-inv S and
    n-s: no-step cdcl_W-s'-without-decide S
  shows no-step cdcl_W-merge-cp S
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain T where cdcl_W-merge-cp S T
    by auto
  then show False
    proof cases
      case (conflict' S')
      then show False using n-s conflict'-without-decide conflict-is-full1-cdcl_W-cp by blast
    next
      case propagate'
      moreover
        have cdcl_W-all-struct-inv T
          using inv by (meson local.propagate' rtranclp-cdcl_W-all-struct-inv-inv
            rtranclp-propagate-is-rtranclp-cdcl_W tranclp-into-rtranclp)
        then obtain U where full cdcl_W-cp T U
          using cdcl_W-cp-normalized-element-all-inv by auto
      ultimately have full1 cdcl_W-cp S U
        using tranclp-full-full1I[of cdcl_W-cp S T U] cdcl_W-cp.propagate'
        tranclp-mono[of propagate cdcl_W-cp] by blast
      then show False using conflict'-without-decide n-s by blast
    qed
qed

lemma no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp:
  no-step cdcl_W-merge-cp S ⟹ cdcl_W-M-level-inv S ⟹ no-step cdcl_W-cp S
  using cdcl_W-bj-exists-normal-form cdcl_W-consistent-inv[OF cdcl_W.conflict, of S]
  by (metis cdcl_W-cp.cases cdcl_W-merge-cp.simps tranclp.intros(1))
```

lemma *conflicting-not-true-rtranclp-cdcl*$_W$*-merge-cp-no-step-cdcl*$_W$*-bj*:
  assumes
    conflicting S = None **and**
    cdcl$_W$*-merge-cp*** *S T*
  shows *no-step cdcl*$_W$*-bj T*
  using *assms(2,1)* **by** (*induction*)
  (*fastforce simp*: *cdcl*$_W$*-merge-cp.simps full-def tranclp-unfold-end cdcl*$_W$*-bj.simps*)+

lemma *conflicting-true-full-cdcl*$_W$*-merge-cp-iff-full-cdcl*$_W$*-s'-without-decode*:
  assumes
    confl: *conflicting S = None* **and**
    inv: *cdcl*$_W$*-all-struct-inv S*
  shows
    full cdcl$_W$*-merge-cp S V* ⟷ *full cdcl*$_W$*-s'-without-decide S V* (**is** *?fw* ⟷ *?s'*)
proof
  assume *?fw*
  then have *st*: *cdcl*$_W$*-merge-cp*** *S V* **and** *n-s*: *no-step cdcl*$_W$*-merge-cp V*
    unfolding *full-def* **by** *blast*+
  have *inv-V*: *cdcl*$_W$*-all-struct-inv V*
    using *rtranclp-cdcl*$_W$*-merge-cp-rtranclp-cdcl*$_W$[*of S V*] ‹*?fw*› **unfolding** *full-def*
    by (*simp add*: *inv rtranclp-cdcl*$_W$*-all-struct-inv-inv*)
  consider
      (*s'*) *cdcl*$_W$*-s'-without-decide*** *S V*
    | (*propa*) *T* **where** *cdcl*$_W$*-s'-without-decide*** *S T* **and** *propagate*$^{++}$ *T V*
    | (*bj*) *T U* **where** *cdcl*$_W$*-s'-without-decide*** *S T* **and** *full1 cdcl*$_W$*-bj T U* **and** *propagate*** *U V*
    using *rtranclp-cdcl*$_W$*-merge-cp-is-rtranclp-cdcl*$_W$*-s'-without-decide confl st n-s* **by** *metis*
  then have *cdcl*$_W$*-s'-without-decide*** *S V*
    proof *cases*
      case *s'*
      then show *?thesis* **.**
    next
      case *propa* **note** *s' = this(1)* **and** *propa = this(2)*
      have *no-step cdcl*$_W$*-cp V*
        using *no-step-cdcl*$_W$*-merge-cp-no-step-cdcl*$_W$*-cp n-s inv-V*
        unfolding *cdcl*$_W$*-all-struct-inv-def* **by** *blast*
      then have *full1 cdcl*$_W$*-cp T V*
        using *propa tranclp-mono*[*of propagate cdcl*$_W$*-cp*] *cdcl*$_W$*-cp.propagate'* **unfolding** *full1-def*
        by *blast*
      then have *cdcl*$_W$*-s'-without-decide T V*
        using *conflict'-without-decide* **by** *blast*
      then show *?thesis* **using** *s'* **by** *auto*
    next
      case *bj* **note** *s' = this(1)* **and** *bj = this(2)* **and** *propa = this(3)*
      have *no-step cdcl*$_W$*-cp V*
        using *no-step-cdcl*$_W$*-merge-cp-no-step-cdcl*$_W$*-cp n-s inv-V*
        unfolding *cdcl*$_W$*-all-struct-inv-def* **by** *blast*
      then have *full cdcl*$_W$*-cp U V*
        using *propa rtranclp-mono*[*of propagate cdcl*$_W$*-cp*] *cdcl*$_W$*-cp.propagate'* **unfolding** *full-def*
        by *blast*
      moreover have *no-step cdcl*$_W$*-cp T*
        using *bj* **unfolding** *full1-def* **by** (*fastforce dest*!: *tranclpD simp*:*cdcl*$_W$*-bj.simps*)
      ultimately have *cdcl*$_W$*-s'-without-decide T V*
        using *bj'-without-decide*[*of T U V*] *bj* **by** *blast*
      then show *?thesis* **using** *s'* **by** *auto*
    qed

```
    moreover have no-step cdcl_W-s'-without-decide V
      proof (cases conflicting V = None)
        case False
        { fix ss :: 'st
          have ff1: ∀ s sa. ¬ cdcl_W-s' s sa ∨ full1 cdcl_W-cp s sa
            ∨ (∃ sb. decide s sb ∧ no-step cdcl_W-cp s ∧ full cdcl_W-cp sb sa)
            ∨ (∃ sb. full1 cdcl_W-bj s sb ∧ no-step cdcl_W-cp s ∧ full cdcl_W-cp sb sa)
            by (metis cdcl_W-s'.cases)
          have ff2: (∀ p s sa. ¬ full1 p (s::'st) sa ∨ p⁺⁺ s sa ∧ no-step p sa)
            ∧ (∀ p s sa. (¬ p⁺⁺ (s::'st) sa ∨ (∃ s. p sa s)) ∨ full1 p s sa)
            by (meson full1-def)
          obtain ssa :: ('st ⇒ 'st ⇒ bool) ⇒ 'st ⇒ 'st ⇒ 'st where
            ff3: ∀ p s sa. ¬ p⁺⁺ s sa ∨ p s (ssa p s sa) ∧ p** (ssa p s sa) sa
            by (metis (no-types) tranclpD)
          then have a3: ¬ cdcl_W-cp⁺⁺ V ss
            using False by (metis option-full-cdcl_W-cp full-def)
          have ⋀s. ¬ cdcl_W-bj⁺⁺ V s
            using ff3 False by (metis confl st
              conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj)
          then have ¬ cdcl_W-s'-without-decide V ss
            using ff1 a3 ff2 by (metis cdcl_W-s'-without-decide.cases)
        }
        then show ?thesis
          by fastforce
        next
          case True
          then show ?thesis
            using conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide n-s inv-V
            unfolding cdcl_W-all-struct-inv-def by blast
      qed
    ultimately show ?s' unfolding full-def by blast
  next
    assume s': ?s'
    then have st: cdcl_W-s'-without-decide** S V and n-s: no-step cdcl_W-s'-without-decide V
      unfolding full-def by auto
    then have cdcl_W** S V
      using rtranclp-cdcl_W-s'-without-decide-rtranclp-cdcl_W st by blast
    then have inv-V: cdcl_W-all-struct-inv V using inv rtranclp-cdcl_W-all-struct-inv-inv by blast
    then have n-s-cp-V: no-step cdcl_W-cp V
      using cdcl_W-cp-normalized-element-all-inv[of V] full-fullI[of cdcl_W-cp V] n-s
      conflict'-without-decide conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp
      no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp
      unfolding cdcl_W-all-struct-inv-def by presburger
    have n-s-bj: no-step cdcl_W-bj V
      proof (rule ccontr)
        assume ¬ ?thesis
        then obtain W where W: cdcl_W-bj V W by blast
        have cdcl_W-all-struct-inv W
          using W cdcl_W.simps cdcl_W-all-struct-inv-inv inv-V by blast
        then obtain W' where full1 cdcl_W-bj V W'
          using cdcl_W-bj-exists-normal-form[of W] full-fullI[of cdcl_W-bj V W]  W
          unfolding cdcl_W-all-struct-inv-def
          by blast
        moreover
          then have cdcl_W⁺⁺ V W'
```

using *tranclp-mono*[*of* $cdcl_W$*-bj* $cdcl_W$] $cdcl_W$*.other* $cdcl_W$*-o.bj* **unfolding** *full1-def* **by** *blast*
then have $cdcl_W$*-all-struct-inv* W'
by (*meson inv-V rtranclp-*$cdcl_W$*-all-struct-inv-inv tranclp-into-rtranclp*)
then obtain X **where** *full* $cdcl_W$*-cp* W' X
using $cdcl_W$*-cp-normalized-element-all-inv* **by** *blast*
ultimately show *False*
using *bj'-without-decide n-s-cp-V n-s* **by** *blast*
qed
from s' **consider**
(*cp-true*) $cdcl_W$*-merge-cp*** S V **and** *conflicting* V = *None*
| (*cp-false*) $cdcl_W$*-merge-cp*** S V **and** *conflicting* $V \neq$ *None* **and** *no-step* $cdcl_W$*-cp* V **and**
no-step $cdcl_W$*-bj* V
| (*cp-confl*) T **where** $cdcl_W$*-merge-cp*** S T *conflict* T V
using *rtranclp-*$cdcl_W$*-s'-without-decide-is-rtranclp-*$cdcl_W$*-merge-cp*[*of* S V] *confl*
unfolding *full-def* **by** *meson*
then have $cdcl_W$*-merge-cp*** S V
proof *cases*
case *cp-confl* **note** *S-T* = *this*(*1*) **and** *conf-V* = *this*(*2*)
have *full* $cdcl_W$*-bj* V V
using *conf-V n-s-bj* **unfolding** *full-def* **by** *fast*
then have $cdcl_W$*-merge-cp* T V
using $cdcl_W$*-merge-cp.conflict'* *conf-V* **by** *auto*
then show *?thesis* **using** *S-T* **by** *auto*
qed *fast+*
moreover
then have $cdcl_W{}^{**}$ S V **using** *rtranclp-*$cdcl_W$*-merge-cp-rtranclp-*$cdcl_W$ **by** *blast*
then have $cdcl_W$*-all-struct-inv* V
using *inv rtranclp-*$cdcl_W$*-all-struct-inv-inv* **by** *blast*
then have *no-step* $cdcl_W$*-merge-cp* V
using *conflicting-true-no-step-s'-without-decide-no-step-*$cdcl_W$*-merge-cp* s'
unfolding *full-def* **by** *blast*
ultimately show *?fw* **unfolding** *full-def* **by** *auto*
qed

lemma *conflicting-true-full1-*$cdcl_W$*-merge-cp-iff-full1-*$cdcl_W$*-s'-without-decode*:
assumes
confl: *conflicting* S = *None* **and**
inv: $cdcl_W$*-all-struct-inv* S
shows
full1 $cdcl_W$*-merge-cp* S V $\longleftrightarrow$ *full1* $cdcl_W$*-s'-without-decide* S V
proof –
have *full* $cdcl_W$*-merge-cp* S V = *full* $cdcl_W$*-s'-without-decide* S V
using *confl conflicting-true-full-*$cdcl_W$*-merge-cp-iff-full-*$cdcl_W$*-s'-without-decode inv*
by *blast*
then show *?thesis* **unfolding** *full-unfold full1-def*
by (*metis* (*mono-tags*) *tranclp-unfold-begin*)
qed

lemma *conflicting-true-full1-*$cdcl_W$*-merge-cp-imp-full1-*$cdcl_W$*-s'-without-decode*:
assumes
fw: *full1* $cdcl_W$*-merge-cp* S V **and**
inv: $cdcl_W$*-all-struct-inv* S
shows
full1 $cdcl_W$*-s'-without-decide* S V
proof –

have *conflicting S = None*
using *fw* **unfolding** *full1-def* **by** (*auto dest*!: *tranclpD simp*: *cdcl*$_W$*-merge-cp.simps*)
then show *?thesis*
using *conflicting-true-full1-cdcl*$_W$*-merge-cp-iff-full1-cdcl*$_W$*-s'-without-decode fw inv* **by** *blast*
qed

inductive *cdcl*$_W$*-merge-stgy* **where**
fw-s-cp[*intro*]: *full1 cdcl*$_W$*-merge-cp S T* $\Longrightarrow$ *cdcl*$_W$*-merge-stgy S T* |
fw-s-decide[*intro*]: *decide S T* $\Longrightarrow$ *no-step cdcl*$_W$*-merge-cp S* $\Longrightarrow$ *full cdcl*$_W$*-merge-cp T U*
$\Longrightarrow$ *cdcl*$_W$*-merge-stgy S U*

lemma *cdcl*$_W$*-merge-stgy-tranclp-cdcl*$_W$*-merge*:
assumes *fw*: *cdcl*$_W$*-merge-stgy S T*
shows *cdcl*$_W$*-merge*$^{++}$ *S T*
proof −
{ **fix** *S T*
assume *full1 cdcl*$_W$*-merge-cp S T*
then have *cdcl*$_W$*-merge*$^{++}$ *S T*
using *tranclp-mono*[*of cdcl*$_W$*-merge-cp cdcl*$_W$*-merge*$^{++}$] *cdcl*$_W$*-merge-cp-tranclp-cdcl*$_W$*-merge*
unfolding *full1-def*
by *auto*
} **note** *full1-cdcl*$_W$*-merge-cp-cdcl*$_W$*-merge = this*
show *?thesis*
using *fw*
apply (*induction rule*: *cdcl*$_W$*-merge-stgy.induct*)
using *full1-cdcl*$_W$*-merge-cp-cdcl*$_W$*-merge* **apply** *simp*
unfolding *full-unfold* **by** (*auto dest*!: *full1-cdcl*$_W$*-merge-cp-cdcl*$_W$*-merge fw-decide*)
qed

lemma *rtranclp-cdcl*$_W$*-merge-stgy-rtranclp-cdcl*$_W$*-merge*:
assumes *fw*: *cdcl*$_W$*-merge-stgy*** *S T*
shows *cdcl*$_W$*-merge*** *S T*
using *fw cdcl*$_W$*-merge-stgy-tranclp-cdcl*$_W$*-merge rtranclp-mono*[*of cdcl*$_W$*-merge-stgy cdcl*$_W$*-merge*$^{++}$]
unfolding *tranclp-rtranclp-rtranclp* **by** *blast*

lemma *cdcl*$_W$*-merge-stgy-rtranclp-cdcl*$_W$:
cdcl$_W$*-merge-stgy S T* $\Longrightarrow$ *cdcl*$_W$** *S T*
apply (*induction rule*: *cdcl*$_W$*-merge-stgy.induct*)
using *rtranclp-cdcl*$_W$*-merge-cp-rtranclp-cdcl*$_W$ **unfolding** *full1-def*
apply (*simp add*: *tranclp-into-rtranclp*)
using *rtranclp-cdcl*$_W$*-merge-cp-rtranclp-cdcl*$_W$ *cdcl*$_W$*-o.decide cdcl*$_W$*.other* **unfolding** *full-def*
by (*meson r-into-rtranclp rtranclp-trans*)

lemma *rtranclp-cdcl*$_W$*-merge-stgy-rtranclp-cdcl*$_W$:
cdcl$_W$*-merge-stgy*** *S T* $\Longrightarrow$ *cdcl*$_W$** *S T*
using *rtranclp-mono*[*of cdcl*$_W$*-merge-stgy cdcl*$_W$**] *cdcl*$_W$*-merge-stgy-rtranclp-cdcl*$_W$ **by** *auto*

lemma *cdcl*$_W$*-merge-stgy-cases*[*consumes 1*, *case-names fw-s-cp fw-s-decide*]:
assumes
cdcl$_W$*-merge-stgy S U*
full1 cdcl$_W$*-merge-cp S U* $\Longrightarrow$ *P*
$\bigwedge$*T. decide S T* $\Longrightarrow$ *no-step cdcl*$_W$*-merge-cp S* $\Longrightarrow$ *full cdcl*$_W$*-merge-cp T U* $\Longrightarrow$ *P*
shows *P*
using *assms* **by** (*auto simp*: *cdcl*$_W$*-merge-stgy.simps*)

inductive *cdcl*$_W$*-s′-w* :: *′st* ⇒ *′st* ⇒ *bool* **where**
conflict′: *full1 cdcl*$_W$*-s′-without-decide S S′* ⟹ *cdcl*$_W$*-s′-w S S′* |
decide′: *decide S S′* ⟹ *no-step cdcl*$_W$*-s′-without-decide S* ⟹ *full cdcl*$_W$*-s′-without-decide S′ S″*
  ⟹ *cdcl*$_W$*-s′-w S S″*

lemma *cdcl*$_W$*-s′-w-rtranclp-cdcl*$_W$:
  cdcl$_W$*-s′-w S T* ⟹ *cdcl*$_W$** *S T*
  apply (*induction rule*: *cdcl*$_W$*-s′-w.induct*)
    using *rtranclp-cdcl*$_W$*-s′-without-decide-rtranclp-cdcl*$_W$ **unfolding** *full1-def*
    apply (*simp add*: *tranclp-into-rtranclp*)
  using *rtranclp-cdcl*$_W$*-s′-without-decide-rtranclp-cdcl*$_W$ **unfolding** *full-def*
  by (*meson decide other rtranclp-into-tranclp2 tranclp-into-rtranclp*)

lemma *rtranclp-cdcl*$_W$*-s′-w-rtranclp-cdcl*$_W$:
  cdcl$_W$*-s′-w*** *S T* ⟹ *cdcl*$_W$** *S T*
  using *rtranclp-mono*[*of cdcl*$_W$*-s′-w cdcl*$_W$**] *cdcl*$_W$*-s′-w-rtranclp-cdcl*$_W$ **by** *auto*

lemma *no-step-cdcl*$_W$*-cp-no-step-cdcl*$_W$*-s′-without-decide*:
  assumes *no-step cdcl*$_W$*-cp S* **and** *conflicting S* = *None* **and** *inv*: *cdcl*$_W$*-M-level-inv S*
  shows *no-step cdcl*$_W$*-s′-without-decide S*
  by (*metis assms cdcl*$_W$*-cp.conflict′ cdcl*$_W$*-cp.propagate′ cdcl*$_W$*-merge-restart-cases tranclpD*
    conflicting-true-no-step-cdcl$_W$*-merge-cp-no-step-s′-without-decide*)

lemma *no-step-cdcl*$_W$*-cp-no-step-cdcl*$_W$*-merge-restart*:
  assumes *no-step cdcl*$_W$*-cp S* **and** *conflicting S* = *None*
  shows *no-step cdcl*$_W$*-merge-cp S*
  by (*metis assms*(*1*) *cdcl*$_W$*-cp.conflict′ cdcl*$_W$*-cp.propagate′ cdcl*$_W$*-merge-restart-cases tranclpD*)
lemma *after-cdcl*$_W$*-s′-without-decide-no-step-cdcl*$_W$*-cp*:
  assumes *cdcl*$_W$*-s′-without-decide S T*
  shows *no-step cdcl*$_W$*-cp T*
  using *assms* **by** (*induction rule*: *cdcl*$_W$*-s′-without-decide.induct*) (*auto simp*: *full1-def full-def*)

lemma *no-step-cdcl*$_W$*-s′-without-decide-no-step-cdcl*$_W$*-cp*:
  cdcl$_W$*-all-struct-inv S* ⟹ *no-step cdcl*$_W$*-s′-without-decide S* ⟹ *no-step cdcl*$_W$*-cp S*
  by (*simp add*: *conflicting-true-no-step-s′-without-decide-no-step-cdcl*$_W$*-merge-cp*
    no-step-cdcl$_W$*-merge-cp-no-step-cdcl*$_W$*-cp cdcl*$_W$*-all-struct-inv-def*)

lemma *after-cdcl*$_W$*-s′-w-no-step-cdcl*$_W$*-cp*:
  assumes *cdcl*$_W$*-s′-w S T* **and** *cdcl*$_W$*-all-struct-inv S*
  shows *no-step cdcl*$_W$*-cp T*
  using *assms*
proof (*induction rule*: *cdcl*$_W$*-s′-w.induct*)
  case *conflict′*
  then show *?case*
    by (*auto simp*: *full1-def tranclp-unfold-end after-cdcl*$_W$*-s′-without-decide-no-step-cdcl*$_W$*-cp*)
next
  case (*decide′ S T U*)
  moreover
    then have *cdcl*$_W$** *S U*
      using *rtranclp-cdcl*$_W$*-s′-without-decide-rtranclp-cdcl*$_W$[*of T U*] *cdcl*$_W$*.other*[*of S T*]
      cdcl$_W$*-o.decide* **unfolding** *full-def* **by** *auto*
    then have *cdcl*$_W$*-all-struct-inv U*
      using *decide′.prems rtranclp-cdcl*$_W$*-all-struct-inv-inv* **by** *blast*
  ultimately show *?case*
    using *no-step-cdcl*$_W$*-s′-without-decide-no-step-cdcl*$_W$*-cp* **unfolding** *full-def* **by** *blast*

qed

lemma *rtranclp-cdcl*$_W$*-s'-w-no-step-cdcl*$_W$*-cp-or-eq*:
  assumes *cdcl*$_W$*-s'-w*** *S T* **and** *cdcl*$_W$*-all-struct-inv S*
  shows $S = T \lor$ *no-step cdcl*$_W$*-cp T*
  using *assms*
proof (*induction rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **by** *simp*
next
  case (*step T U*)
  moreover have *cdcl*$_W$*-all-struct-inv T*
    using *rtranclp-cdcl*$_W$*-s'-w-rtranclp-cdcl*$_W$[*of S U*] *assms*(*2*) *rtranclp-cdcl*$_W$*-all-struct-inv-inv*
    rtranclp-cdcl$_W$*-s'-w-rtranclp-cdcl*$_W$ *step.hyps*(*1*) **by** *blast*
  ultimately show *?case* **using** *after-cdcl*$_W$*-s'-w-no-step-cdcl*$_W$*-cp* **by** *fast*
qed

lemma *rtranclp-cdcl*$_W$*-merge-stgy'-no-step-cdcl*$_W$*-cp-or-eq*:
  assumes *cdcl*$_W$*-merge-stgy*** *S T* **and** *inv*: *cdcl*$_W$*-all-struct-inv S*
  shows $S = T \lor$ *no-step cdcl*$_W$*-cp T*
  using *assms*
proof (*induction rule*: *rtranclp-induct*)
  case *base*
  then show *?case* **by** *simp*
next
  case (*step T U*)
  moreover have *cdcl*$_W$*-all-struct-inv T*
    using *rtranclp-cdcl*$_W$*-merge-stgy-rtranclp-cdcl*$_W$[*of S U*] *assms*(*2*) *rtranclp-cdcl*$_W$*-all-struct-inv-inv*
    rtranclp-cdcl$_W$*-s'-w-rtranclp-cdcl*$_W$ *step.hyps*(*1*)
    by (*meson rtranclp-cdcl*$_W$*-merge-stgy-rtranclp-cdcl*$_W$)
  ultimately show *?case*
    using *after-cdcl*$_W$*-s'-w-no-step-cdcl*$_W$*-cp inv* **unfolding** *cdcl*$_W$*-all-struct-inv-def*
    by (*metis cdcl*$_W$*-all-struct-inv-def cdcl*$_W$*-merge-stgy.simps full1-def full-def*
      no-step-cdcl$_W$*-merge-cp-no-step-cdcl*$_W$*-cp rtranclp-cdcl*$_W$*-all-struct-inv-inv*
      rtranclp-cdcl$_W$*-merge-stgy-rtranclp-cdcl*$_W$ *tranclp.intros*(*1*) *tranclp-into-rtranclp*)
qed

lemma *no-step-cdcl*$_W$*-s'-without-decide-no-step-cdcl*$_W$*-bj*:
  assumes *no-step cdcl*$_W$*-s'-without-decide S* **and** *inv*: *cdcl*$_W$*-all-struct-inv S*
  shows *no-step cdcl*$_W$*-bj S*
proof (*rule ccontr*)
  assume ¬ *?thesis*
  then obtain *T* **where** *S-T*: *cdcl*$_W$*-bj S T*
    by *auto*
  have *cdcl*$_W$*-all-struct-inv T*
    using *S-T cdcl*$_W$*-all-struct-inv-inv inv other* **by** *blast*
  then obtain *T'* **where** *full1 cdcl*$_W$*-bj S T'*
    using *cdcl*$_W$*-bj-exists-normal-form*[*of T*] *full-fullI S-T* **unfolding** *cdcl*$_W$*-all-struct-inv-def*
    by *metis*
  moreover
    then have *cdcl*$_W$** *S T'*
      using *rtranclp-mono*[*of cdcl*$_W$*-bj cdcl*$_W$] *cdcl*$_W$*.other cdcl*$_W$*-o.bj tranclp-into-rtranclp*[*of cdcl*$_W$*-bj*]
      unfolding *full1-def* **by** (*metis* (*full-types*) *predicate2D predicate2I*)
    then have *cdcl*$_W$*-all-struct-inv T'*
      using *inv rtranclp-cdcl*$_W$*-all-struct-inv-inv* **by** *blast*

then obtain U **where** *full* $cdcl_W$*-cp* T' U
using $cdcl_W$*-cp-normalized-element-all-inv* **by** *blast*
moreover have *no-step* $cdcl_W$*-cp* S
using *S-T* **by** (*auto simp*: $cdcl_W$*-bj.simps*)
ultimately show *False*
using *assms* $cdcl_W$*-s'-without-decide.intros*(*2*)[*of* S T' U] **by** *fast*
qed

lemma $cdcl_W$*-s'-w-no-step-*$cdcl_W$*-bj*:
assumes $cdcl_W$*-s'-w* S T **and** $cdcl_W$*-all-struct-inv* S
shows *no-step* $cdcl_W$*-bj* T
using *assms* **apply** *induction*
using *rtranclp-*$cdcl_W$*-s'-without-decide-rtranclp-*$cdcl_W$ *rtranclp-*$cdcl_W$*-all-struct-inv-inv*
no-step-$cdcl_W$*-s'-without-decide-no-step-*$cdcl_W$*-bj* **unfolding** *full1-def*
apply (*meson tranclp-into-rtranclp*)
using *rtranclp-*$cdcl_W$*-s'-without-decide-rtranclp-*$cdcl_W$ *rtranclp-*$cdcl_W$*-all-struct-inv-inv*
no-step-$cdcl_W$*-s'-without-decide-no-step-*$cdcl_W$*-bj* **unfolding** *full-def*
by (*meson* $cdcl_W$*-merge-restart-*$cdcl_W$ *fw-r-decide*)

lemma *rtranclp-*$cdcl_W$*-s'-w-no-step-*$cdcl_W$*-bj-or-eq*:
assumes $cdcl_W$*-s'-w*** S T **and** $cdcl_W$*-all-struct-inv* S
shows $S = T \lor$ *no-step* $cdcl_W$*-bj* T
using *assms* **apply** *induction*
apply *simp*
using *rtranclp-*$cdcl_W$*-s'-w-rtranclp-*$cdcl_W$ *rtranclp-*$cdcl_W$*-all-struct-inv-inv*
$cdcl_W$*-s'-w-no-step-*$cdcl_W$*-bj* **by** *meson*

lemma *rtranclp-*$cdcl_W$*-s'-no-step-*$cdcl_W$*-s'-without-decide-decomp-into-*$cdcl_W$*-merge*:
assumes
$cdcl_W$*-s'*** R V **and**
conflicting R = *None* **and**
inv: $cdcl_W$*-all-struct-inv* R
shows ($cdcl_W$*-merge-stgy*** R V $\land$ *conflicting* V = *None*)
$\lor$ ($cdcl_W$*-merge-stgy*** R V $\land$ *conflicting* $V \neq$ *None* $\land$ *no-step* $cdcl_W$*-bj* V)
$\lor$ ($\exists$ S T U. $cdcl_W$*-merge-stgy*** R S $\land$ *no-step* $cdcl_W$*-merge-cp* S $\land$ *decide* S T
$\land$ $cdcl_W$*-merge-cp*** T U $\land$ *conflict* U V)
$\lor$ ($\exists$ S T. $cdcl_W$*-merge-stgy*** R S $\land$ *no-step* $cdcl_W$*-merge-cp* S $\land$ *decide* S T
$\land$ $cdcl_W$*-merge-cp*** T V
$\land$ *conflicting* V = *None*)
$\lor$ ($cdcl_W$*-merge-cp*** R V $\land$ *conflicting* V = *None*)
$\lor$ ($\exists$ U. $cdcl_W$*-merge-cp*** R U $\land$ *conflict* U V)
using *assms*(*1*,*2*)
proof *induction*
case *base*
then show *?case* **by** *simp*
next
case (*step* V W) **note** *st* = *this*(*1*) **and** *s'* = *this*(*2*) **and** *IH* = *this*(*3*)[*OF this*(*4*)] **and**
n-s-R = *this*(*4*)
from *s'*
show *?case*
proof *cases*
case *conflict'*
consider
(*s'*) $cdcl_W$*-merge-stgy*** R V
| (*dec-confl*) S T U **where** $cdcl_W$*-merge-stgy*** R S **and** *no-step* $cdcl_W$*-merge-cp* S **and**

```
    decide S T and cdcl_W-merge-cp** T U and conflict U V
  | (dec) S T where cdcl_W-merge-stgy** R S and no-step cdcl_W-merge-cp S and decide S T
    and cdcl_W-merge-cp** T V and conflicting V = None
  | (cp) cdcl_W-merge-cp** R V
  | (cp-confl) U where cdcl_W-merge-cp** R U and conflict U V
  using IH by meson
then show ?thesis
  proof cases
  next
    case s'
    then have R = V
      by (metis full1-def inv local.conflict' tranclp-unfold-begin
        rtranclp-cdcl_W-merge-stgy'-no-step-cdcl_W-cp-or-eq)
    consider
        (V-W) V = W
      | (propa) propagate++ V W and conflicting W = None
      | (propa-confl) V' where propagate** V V' and conflict V' W
      using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V W] conflict'
      unfolding full-unfold full1-def by meson
    then show ?thesis
      proof cases
        case V-W
        then show ?thesis using ‹R = V› n-s-R by simp
      next
        case propa
        then show ?thesis using ‹R = V› by auto
      next
        case propa-confl
        moreover
          then have cdcl_W-merge-cp** V V'
            by (metis rtranclp-unfold cdcl_W-merge-cp.propagate' r-into-rtranclp)
        ultimately show ?thesis using s' ‹R = V› by blast
      qed
  next
    case dec-confl note - = this(5)
    then have False using conflict' unfolding full1-def by (auto dest!: tranclpD)
    then show ?thesis by fast
  next
    case dec note T-V = this(4)
    consider
        (propa) propagate++ V W and conflicting W = None
      | (propa-confl) V' where propagate** V V' and conflict V' W
      using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V W] conflict'
      unfolding full1-def by meson
    then show ?thesis
      proof cases
        case propa
        then show ?thesis
          by (meson T-V cdcl_W-merge-cp.propagate' dec rtranclp.rtrancl-into-rtrancl)
      next
        case propa-confl
        then have cdcl_W-merge-cp** T V'
          using T-V by (metis rtranclp-unfold cdcl_W-merge-cp.propagate' rtranclp.simps)
        then show ?thesis using dec propa-confl(2) by metis
      qed
      next
        case cp
        consider
            (propa) propagate⁺⁺ V W and conflicting W = None
          | (propa-confl) V' where propagate** V V' and conflict V' W
          using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V W] conflict'
          unfolding full1-def by meson
        then show ?thesis
          proof cases
            case propa
            then show ?thesis by (meson cdcl_W-merge-cp.propagate' cp rtranclp.rtrancl-into-rtrancl)
          next
            case propa-confl
            then show ?thesis
              using propa-confl(2) by (metis rtranclp-unfold cdcl_W-merge-cp.propagate'
                cp rtranclp.rtrancl-into-rtrancl)
          qed
      next
        case cp-confl
        then show ?thesis using conflict' unfolding full1-def by (fastforce dest!: tranclpD)
      qed
  next
    case (decide' V')
    then have conf-V: conflicting V = None
      by auto
    consider
       (s') cdcl_W-merge-stgy** R V
      | (dec-confl) S T U where cdcl_W-merge-stgy** R S and no-step cdcl_W-merge-cp S and
          decide S T and cdcl_W-merge-cp** T U and conflict U V
      | (dec) S T where cdcl_W-merge-stgy** R S and no-step cdcl_W-merge-cp S and decide S T
          and cdcl_W-merge-cp** T V and conflicting V = None
      | (cp) cdcl_W-merge-cp** R V
      | (cp-confl) U where cdcl_W-merge-cp** R U and conflict U V
      using IH by meson
    then show ?thesis
      proof cases
        case s'
        have confl-V': conflicting V' = None using decide'(1) by auto
        have full: full1 cdcl_W-cp V' W ∨ (V' = W ∧ no-step cdcl_W-cp W)
          using decide'(3) unfolding full-unfold by blast
        consider
            (V'-W) V' = W
          | (propa) propagate⁺⁺ V' W and conflicting W = None
          | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
          using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V W] decide'
          by (metis ‹full1 cdcl_W-cp V' W ∨ V' = W ∧ no-step cdcl_W-cp W› full1-def
            tranclp-cdcl_W-cp-propagate-with-conflict-or-not)
        then show ?thesis
          proof cases
            case V'-W
            then show ?thesis
              using confl-V' local.decide'(1,2) s' conf-V
              no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart[of V] by blast
          next
            case propa
            then show ?thesis using local.decide'(1,2) s' by (metis cdcl_W-merge-cp.simps conf-V
              no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart r-into-rtranclp)
          next
            case propa-confl
            then have cdcl_W-merge-cp** V' V''
              by (metis rtranclp-unfold cdcl_W-merge-cp.propagate' r-into-rtranclp)
            then show ?thesis
              using local.decide'(1,2) propa-confl(2) s' conf-V
              no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart
              by metis
          qed
      next
        case (dec) note s' = this(1) and dec = this(2) and cp = this(3) and ns-cp-T = this(4)
        have full cdcl_W-merge-cp T V
          unfolding full-def by (simp add: conf-V local.decide'(2)
            no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart ns-cp-T)
        moreover have no-step cdcl_W-merge-cp V
          by (simp add: conf-V local.decide'(2) no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart)
        moreover have no-step cdcl_W-merge-cp S
          by (metis dec)
        ultimately have cdcl_W-merge-stgy S V
          using cp by blast
        then have cdcl_W-merge-stgy** R V using s' by auto
        consider
            (V'-W) V' = W
          | (propa) propagate++ V' W and conflicting W = None
          | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
          using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V' W] decide'
          unfolding full-unfold full1-def by meson
        then show ?thesis
          proof cases
            case V'-W
            moreover have conflicting V' = None
              using decide'(1) by auto
            ultimately show ?thesis
              using ‹cdcl_W-merge-stgy** R V› decide' ‹no-step cdcl_W-merge-cp V› by blast
          next
            case propa
            moreover then have cdcl_W-merge-cp V' W
              by auto
            ultimately show ?thesis
              using ‹cdcl_W-merge-stgy** R V› decide' ‹no-step cdcl_W-merge-cp V›
              by (meson r-into-rtranclp)
          next
            case propa-confl
            moreover then have cdcl_W-merge-cp** V' V''
              by (metis cdcl_W-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
            ultimately show ?thesis using ‹cdcl_W-merge-stgy** R V› decide'
              ‹no-step cdcl_W-merge-cp V› by (meson r-into-rtranclp)
          qed
      next
        case cp
        have no-step cdcl_W-merge-cp V
          using conf-V local.decide'(2) no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart by blast
        then have full cdcl_W-merge-cp R V
```

```
        unfolding full-def using cp by fast
      then have cdcl_W-merge-stgy** R V
        unfolding full-unfold by auto
      have full1 cdcl_W-cp V' W ∨ (V' = W ∧ no-step cdcl_W-cp W)
        using decide'(3) unfolding full-unfold by blast

      consider
          (V'-W) V' = W
        | (propa) propagate++ V' W and conflicting W = None
        | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
        using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V' W] decide'
        unfolding full-unfold full1-def by meson
      then show ?thesis

        proof cases
          case V'-W
          moreover have conflicting V' = None
            using decide'(1) by auto
          ultimately show ?thesis
            using ‹cdcl_W-merge-stgy** R V› decide' ‹no-step cdcl_W-merge-cp V› by blast
        next
          case propa
          moreover then have cdcl_W-merge-cp V' W
            by auto
          ultimately show ?thesis using ‹cdcl_W-merge-stgy** R V› decide'
            ‹no-step cdcl_W-merge-cp V› by (meson r-into-rtranclp)
        next
          case propa-confl
          moreover then have cdcl_W-merge-cp** V' V''
            by (metis cdcl_W-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
          ultimately show ?thesis using ‹cdcl_W-merge-stgy** R V› decide'
            ‹no-step cdcl_W-merge-cp V› by (meson r-into-rtranclp)
        qed
    next
      case (dec-confl)
      show ?thesis using conf-V dec-confl(5) by auto
    next
      case cp-confl
      then show ?thesis using decide' apply − by (intro HOL.disjI2) fastforce
  qed
next
  case (bj' V')
  then have ¬no-step cdcl_W-bj V
    by (auto dest: tranclpD simp: full1-def)
  then consider
     (s') cdcl_W-merge-stgy** R V and conflicting V = None
    | (dec-confl) S T U where cdcl_W-merge-stgy** R S and no-step cdcl_W-merge-cp S and
        decide S T and cdcl_W-merge-cp** T U and conflict U V
    | (dec) S T where cdcl_W-merge-stgy** R S and no-step cdcl_W-merge-cp S and decide S T
        and cdcl_W-merge-cp** T V and conflicting V = None
    | (cp) cdcl_W-merge-cp** R V and conflicting V = None
    | (cp-confl) U where cdcl_W-merge-cp** R U and conflict U V
    using IH by meson
  then show ?thesis
    proof cases
  case s' note - =this(2)
  then have False
    using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdcl_W-bj.simps)
  then show ?thesis by fast
next
  case dec note - = this(5)
  then have False
    using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdcl_W-bj.simps)
  then show ?thesis by fast
next
  case dec-confl
  then have cdcl_W-merge-cp U V'
    using bj' cdcl_W-merge-cp.intros(1)[of U V V'] by (simp add: full-unfold)
  then have cdcl_W-merge-cp** T V'
    using dec-confl(4) by simp
  consider
      (V'-W) V' = W
    | (propa) propagate++ V' W and conflicting W = None
    | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
    using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V' W] bj'(3)
    unfolding full-unfold full1-def by meson
  then show ?thesis
    proof cases
      case V'-W
      then have no-step cdcl_W-cp V'
        using bj'(3) unfolding full-def by auto
      then have no-step cdcl_W-merge-cp V'
        by (metis cdcl_W-cp.propagate' cdcl_W-merge-cp.cases tranclpD
          no-step-cdcl_W-cp-no-conflict-no-propagate(1) )
      then have full1 cdcl_W-merge-cp T V'
        unfolding full1-def using ‹cdcl_W-merge-cp U V'› dec-confl(4) by auto
      then have full  cdcl_W-merge-cp T V'
        by (simp add: full-unfold)
      then have cdcl_W-merge-stgy S V'
        using dec-confl(3) cdcl_W-merge-stgy.fw-s-decide ‹no-step cdcl_W-merge-cp S› by blast
      then have cdcl_W-merge-stgy** R V'
        using ‹cdcl_W-merge-stgy** R S› by auto
      show ?thesis
        proof cases
          assume conflicting W = None
          then show ?thesis using ‹cdcl_W-merge-stgy** R V'› ‹V' = W› by auto
        next
          assume conflicting W ≠ None
          then show ?thesis
            using ‹cdcl_W-merge-stgy** R V'› ‹V' = W› by (metis ‹cdcl_W-merge-cp U V'›
              conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj dec-confl(5)
              r-into-rtranclp conflictE)
        qed
    next
      case propa
      moreover then have cdcl_W-merge-cp V' W
        by auto
     ultimately show ?thesis using decide' by (meson ‹cdcl_W-merge-cp** T V'› dec-confl(1-3)
        rtranclp.rtrancl-into-rtrancl)
    next
```

```
      case propa-confl
      moreover then have cdcl_W-merge-cp** V' V''
        by (metis cdcl_W-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
    ultimately show ?thesis by (meson ‹cdcl_W-merge-cp** T V'› dec-confl(1−3) rtranclp-trans)
    qed
next
  case cp note - = this(2)
  then show ?thesis using bj'(1) ‹¬ no-step cdcl_W-bj V›
    conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj by auto
next
  case cp-confl
  then have cdcl_W-merge-cp U V' by (simp add: cdcl_W-merge-cp.conflict' full-unfold
    local.bj'(1))
  consider
      (V'-W) V' = W
    | (propa) propagate++ V' W and conflicting W = None
    | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
    using tranclp-cdcl_W-cp-propagate-with-conflict-or-not[of V' W] bj'
    unfolding full-unfold full1-def by meson
  then show ?thesis

    proof cases
      case V'-W
      show ?thesis
        proof cases
          assume conflicting V' = None
          then show ?thesis
            using V'-W ‹cdcl_W-merge-cp U V'› cp-confl(1) by force
        next
          assume confl: conflicting V' ≠ None
          then have no-step cdcl_W-merge-stgy V'
            by (fastforce simp: cdcl_W-merge-stgy.simps full1-def full-def
              cdcl_W-merge-cp.simps dest!: tranclpD)
          have no-step cdcl_W-merge-cp V'
            using confl by (auto simp: full1-def full-def cdcl_W-merge-cp.simps
            dest!: tranclpD)
          moreover have cdcl_W-merge-cp U W
            using V'-W ‹cdcl_W-merge-cp U V'› by blast
          ultimately have full1 cdcl_W-merge-cp R V'
            using cp-confl(1) V'-W unfolding full1-def by auto
          then have cdcl_W-merge-stgy R V'
            by auto
          moreover have no-step cdcl_W-merge-stgy V'
            using confl ‹no-step cdcl_W-merge-cp V'› by (auto simp: cdcl_W-merge-stgy.simps
              full1-def dest!: tranclpD)
          ultimately have cdcl_W-merge-stgy** R V' by auto
          show ?thesis by (metis V'-W ‹cdcl_W-merge-cp U V'› ‹cdcl_W-merge-stgy** R V'›
            conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj cp-confl(1)
            rtranclp.rtrancl-into-rtrancl step.prems)
        qed
    next
      case propa
      moreover then have cdcl_W-merge-cp V' W
        by auto
      ultimately show ?thesis using ‹cdcl_W-merge-cp U V'› cp-confl(1) by force
```

```
            next
              case propa-confl
              moreover then have $cdcl_W$-merge-cp** V' V''
                by (metis $cdcl_W$-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
              ultimately show ?thesis
                using ‹$cdcl_W$-merge-cp U V'› cp-confl(1) by (metis rtranclp.rtrancl-into-rtrancl
                  rtranclp-trans)
            qed
        qed
    qed
qed

lemma decide-rtranclp-$cdcl_W$-s'-rtranclp-$cdcl_W$-s':
  assumes
    dec: decide S T and
    $cdcl_W$-s'** T U and
    n-s-S: no-step $cdcl_W$-cp S and
    no-step $cdcl_W$-cp U
  shows $cdcl_W$-s'** S U
  using assms(2,4)
proof induction
  case (step U V) note st =this(1) and s' = this(2) and IH = this(3) and n-s = this(4)
  consider
      (TU) T = U
    | (s'-st) T' where $cdcl_W$-s' T T' and $cdcl_W$-s'** T' U
    using st[unfolded rtranclp-unfold] by (auto dest!: tranclpD)
  then show ?case
    proof cases
      case TU
      then show ?thesis
        proof -
          assume a1: T = U
          then have f2: $cdcl_W$-s' T V
            using s' by force
          obtain ss :: 'st where
            $cdcl_W$-s'** S T ∨ $cdcl_W$-cp T ss
            using a1 step.IH by blast
          then show ?thesis
            using f2 by (metis (full-types) $cdcl_W$-s'.decide' $cdcl_W$-s'E dec full1-is-full n-s-S
              rtranclp-unfold tranclp-unfold-end)
        qed
    next
      case (s'-st T') note s'-T' = this(1) and st = this(2)
      have $cdcl_W$-s'** S T'
        using s'-T'
        proof cases
          case conflict'
          then have $cdcl_W$-s' S T'
             using dec $cdcl_W$-s'.decide' n-s-S by (simp add: full-unfold)
          then show ?thesis
             using st by auto
        next
          case (decide' T'')
          then have $cdcl_W$-s' S T
             using dec $cdcl_W$-s'.decide' n-s-S by (simp add: full-unfold)
          then show ?thesis using decide' s'-T' by auto
        next
          case bj'
          then have False
            using dec unfolding full1-def by (fastforce dest!: tranclpD simp: cdcl_W-bj.simps)
          then show ?thesis by fast
        qed
      then show ?thesis using s' st by auto
    qed
next
  case base
  then have full cdcl_W-cp T T
    by (simp add: full-unfold)
  then show ?case
    using cdcl_W-s'.simps dec n-s-S by auto
qed

lemma rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-s':
  assumes
    cdcl_W-merge-stgy** R V and
    inv: cdcl_W-all-struct-inv R
  shows cdcl_W-s'** R V
  using assms(1)
proof induction
  case base
  then show ?case by simp
next
  case (step S T) note st = this(1) and fw = this(2) and IH = this(3)
  have cdcl_W-all-struct-inv S
    using inv rtranclp-cdcl_W-all-struct-inv-inv rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W st by blast
  from fw show ?case
    proof (cases rule: cdcl_W-merge-stgy-cases)
      case fw-s-cp
      then show ?thesis
        proof –
          assume a1: full1 cdcl_W-merge-cp S T
          obtain ss :: ('st ⇒ 'st ⇒ bool) ⇒ 'st ⇒ 'st where
            f2: ⋀p s sa pa sb sc sd pb se sf. (¬ full1 p (s::'st) sa ∨ p++ s sa)
              ∧ (¬ pa (sb::'st) sc ∨ ¬ full1 pa sd sb) ∧ (¬ pb++ se sf ∨ pb sf (ss pb sf)
              ∨ full1 pb se sf)
            by (metis (no-types) full1-def)
          then have f3: cdcl_W-merge-cp++ S T
            using a1 by auto
          obtain ssa :: ('st ⇒ 'st ⇒ bool) ⇒ 'st ⇒ 'st ⇒ 'st where
            f4: ⋀p s sa. ¬ p++ s sa ∨ p s (ssa p s sa)
            by (meson tranclp-unfold-begin)
          then have f5: ⋀s. ¬ full1 cdcl_W-merge-cp s S
            using f3 f2 by (metis (full-types))
          have ⋀s. ¬ full cdcl_W-merge-cp s S
            using f4 f3 by (meson full-def)
          then have S = R
            using f5 by (metis (no-types) cdcl_W-merge-stgy.simps rtranclp-unfold st
              tranclp-unfold-end)
          then show ?thesis
            using f2 a1 by (metis (no-types) ‹cdcl_W-all-struct-inv S›
```

conflicting-true-full1-cdcl$_W$-merge-cp-imp-full1-cdcl$_W$-s′-without-decode rtranclp-cdcl$_W$-s′-without-decide-rtranclp-cdcl$_W$-s′ rtranclp-unfold)
qed
next
case (*fw-s-decide S′*) **note** *dec* = *this*(*1*) **and** *n-S* = *this*(*2*) **and** *full* = *this*(*3*)
moreover then have *conflicting S′* = *None*
by *auto*
ultimately have *full cdcl$_W$-s′-without-decide S′ T*
by (*meson* ‹*cdcl$_W$-all-struct-inv S*› *cdcl$_W$-merge-restart-cdcl$_W$ fw-r-decide rtranclp-cdcl$_W$-all-struct-inv-inv conflicting-true-full-cdcl$_W$-merge-cp-iff-full-cdcl$_W$-s′-without-decode*)
then have *a1*: *cdcl$_W$-s′** S′ T*
unfolding *full-def* **by** (*metis* (*full-types*)*rtranclp-cdcl$_W$-s′-without-decide-rtranclp-cdcl$_W$-s′*)
have *cdcl$_W$-merge-stgy** S T*
using *fw* **by** *blast*
then have *cdcl$_W$-s′** S T*
using *decide-rtranclp-cdcl$_W$-s′-rtranclp-cdcl$_W$-s′ a1* **by** (*metis* ‹*cdcl$_W$-all-struct-inv S*› *dec n-S no-step-cdcl$_W$-merge-cp-no-step-cdcl$_W$-cp cdcl$_W$-all-struct-inv-def rtranclp-cdcl$_W$-merge-stgy′-no-step-cdcl$_W$-cp-or-eq*)
then show *?thesis* **using** *IH* **by** *auto*
qed
qed

lemma *rtranclp-cdcl$_W$-merge-stgy-distinct-mset-clauses*:
assumes *invR*: *cdcl$_W$-all-struct-inv R* **and**
st: *cdcl$_W$-merge-stgy** R S* **and**
dist: *distinct-mset* (*clauses R*) **and**
R: *trail R* = []
shows *distinct-mset* (*clauses S*)
using *rtranclp-cdcl$_W$-stgy-distinct-mset-clauses*[*OF invR - dist R*]
invR st rtranclp-mono[*of cdcl$_W$-s′ cdcl$_W$-stgy***] *cdcl$_W$-s′-is-rtranclp-cdcl$_W$-stgy*
by (*auto dest*!: *cdcl$_W$-s′-is-rtranclp-cdcl$_W$-stgy rtranclp-cdcl$_W$-merge-stgy-rtranclp-cdcl$_W$-s′*)

lemma *no-step-cdcl$_W$-s′-no-step-cdcl$_W$-merge-stgy*:
assumes
inv: *cdcl$_W$-all-struct-inv R* **and** *s′*: *no-step cdcl$_W$-s′ R*
shows *no-step cdcl$_W$-merge-stgy R*
proof −
{ fix *ss* :: *′st*
obtain *ssa* :: *′st* ⇒ *′st* ⇒ *′st* **where**
ff1: $\bigwedge$*s sa*. ¬ *cdcl$_W$-merge-stgy s sa* ∨ *full1 cdcl$_W$-merge-cp s sa* ∨ *decide s* (*ssa s sa*)
using *cdcl$_W$-merge-stgy.cases* **by** *moura*
obtain *ssb* :: (*′st* ⇒ *′st* ⇒ *bool*) ⇒ *′st* ⇒ *′st* ⇒ *′st* **where**
ff2: $\bigwedge$*p s sa*. ¬ *p^{++} s sa* ∨ *p s* (*ssb p s sa*)
by (*meson tranclp-unfold-begin*)
obtain *ssc* :: *′st* ⇒ *′st* **where**
ff3: $\bigwedge$*s sa sb*. (¬ *cdcl$_W$-all-struct-inv s* ∨ ¬ *cdcl$_W$-cp s sa* ∨ *cdcl$_W$-s′ s* (*ssc s*))
∧ (¬ *cdcl$_W$-all-struct-inv s* ∨ ¬ *cdcl$_W$-o s sb* ∨ *cdcl$_W$-s′ s* (*ssc s*))
using *n-step-cdcl$_W$-stgy-iff-no-step-cdcl$_W$-cl-cdcl$_W$-o* **by** *moura*
then have *ff4*: $\bigwedge$*s*. ¬ *cdcl$_W$-o R s*
using *s′ inv* **by** *blast*
have *ff5*: $\bigwedge$*s*. ¬ *cdcl$_W$-cp^{++} R s*
using *ff3 ff2 s′* **by** (*metis inv*)
have $\bigwedge$*s*. ¬ *cdcl$_W$-bj^{++} R s*
using *ff4 ff2* **by** (*metis bj*)

```
    then have ⋀s. ¬ cdcl_W-s'-without-decide R s
      using ff5 by (simp add: cdcl_W-s'-without-decide.simps full1-def)
    then have ¬ cdcl_W-s'-without-decide⁺⁺ R ss
      using ff2 by blast
    then have ¬ cdcl_W-merge-stgy R ss
      using ff4 ff1 by (metis (full-types)  decide full1-def inv
        conflicting-true-full1-cdcl_W-merge-cp-imp-full1-cdcl_W-s'-without-decode) }
  then show ?thesis
    by fastforce
qed

lemma wf-cdcl_W-merge-cp:
  wf{(T, S). cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T}
  using wf-tranclp-cdcl_W-merge by (rule wf-subset) (auto simp: cdcl_W-merge-cp-tranclp-cdcl_W-merge)

lemma wf-cdcl_W-merge-stgy:
  wf{(T, S). cdcl_W-all-struct-inv S ∧ cdcl_W-merge-stgy S T}
  using wf-tranclp-cdcl_W-merge by (rule wf-subset)
  (auto simp add: cdcl_W-merge-stgy-tranclp-cdcl_W-merge)

lemma cdcl_W-merge-cp-obtain-normal-form:
  assumes inv: cdcl_W-all-struct-inv R
  obtains S where full cdcl_W-merge-cp R S
proof −
  obtain S where full (λS T. cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T) R S
    using wf-exists-normal-form-full[OF wf-cdcl_W-merge-cp] by blast
  then have
    st: (λS T. cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T)** R S and
    n-s: no-step (λS T. cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T) S
    unfolding full-def by blast+
  have cdcl_W-merge-cp** R S
    using st by induction auto
  moreover
    have cdcl_W-all-struct-inv S
      using st inv
      apply (induction rule: rtranclp-induct)
        apply simp
      by (meson r-into-rtranclp rtranclp-cdcl_W-all-struct-inv-inv
        rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W)
    then have no-step cdcl_W-merge-cp S
      using n-s by auto
  ultimately show ?thesis
    using that unfolding full-def by blast
qed

lemma no-step-cdcl_W-merge-stgy-no-step-cdcl_W-s':
  assumes
    inv: cdcl_W-all-struct-inv R and
    confl: conflicting R = None and
    n-s: no-step cdcl_W-merge-stgy R
  shows no-step cdcl_W-s' R
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain S where cdcl_W-s' R S by auto
  then show False
```

```
    proof cases
      case conflict′
      then obtain S′ where full1 cdcl_W-merge-cp R S′
        by (metis (full-types) cdcl_W-merge-cp-obtain-normal-form cdcl_W-s′-without-decide.simps confl
          conflicting-true-no-step-cdcl_W-merge-cp-no-step-s′-without-decide full-def full-unfold inv
          cdcl_W-all-struct-inv-def)
      then show False using n-s by blast
    next
      case (decide′ R′)
      then have cdcl_W-all-struct-inv R′
        using inv cdcl_W-all-struct-inv-inv cdcl_W.other cdcl_W-o.decide by meson
      then obtain R″ where full cdcl_W-merge-cp R′ R″
        using cdcl_W-merge-cp-obtain-normal-form by blast
      moreover have no-step cdcl_W-merge-cp R
        by (simp add: confl local.decide′(2) no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart)
      ultimately show False using n-s cdcl_W-merge-stgy.intros local.decide′(1) by blast
    next
      case (bj′ R′)
      then show False
        using confl no-step-cdcl_W-cp-no-step-cdcl_W-s′-without-decide inv
        unfolding cdcl_W-all-struct-inv-def by blast
    qed
qed

lemma rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj:
  assumes conflicting R = None and cdcl_W-merge-cp** R S
  shows no-step cdcl_W-bj S
  using assms conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj by blast

lemma rtranclp-cdcl_W-merge-stgy-no-step-cdcl_W-bj:
  assumes confl: conflicting R = None and cdcl_W-merge-stgy** R S
  shows no-step cdcl_W-bj S
  using assms(2)
proof induction
  case base
  then show ?case
    using confl by (auto simp: cdcl_W-bj.simps)[]
next
  case (step S T) note st = this(1) and fw = this(2) and IH = this(3)
  have confl-S: conflicting S = None
    using fw apply cases
    by (auto simp: full1-def cdcl_W-merge-cp.simps dest!: tranclpD)
  from fw show ?case
    proof cases
      case fw-s-cp
      then show ?thesis
        using rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj confl-S
        by (simp add: full1-def tranclp-into-rtranclp)
    next
      case (fw-s-decide S′)
      moreover then have conflicting S′ = None by auto
      ultimately show ?thesis
        using conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj
        unfolding full-def by meson
    qed
```

qed

lemma *full-cdcl$_W$-s'-full-cdcl$_W$-merge-restart*:
  assumes
    conflicting R = None **and**
    inv: *cdcl$_W$-all-struct-inv R*
  shows *full cdcl$_W$-s' R V* $\longleftrightarrow$ *full cdcl$_W$-merge-stgy R V* (**is** *?s'* $\longleftrightarrow$ *?fw*)
proof
  assume *?s'*
  then have *cdcl$_W$-s'** R V* **unfolding** *full-def* **by** *blast*
  have *cdcl$_W$-all-struct-inv V*
    using ‹*cdcl$_W$-s'** R V*› *inv rtranclp-cdcl$_W$-all-struct-inv-inv rtranclp-cdcl$_W$-s'-rtranclp-cdcl$_W$*
    by *blast*
  then have *n-s*: *no-step cdcl$_W$-merge-stgy V*
    using *no-step-cdcl$_W$-s'-no-step-cdcl$_W$-merge-stgy* **by** (*meson* ‹*full cdcl$_W$-s' R V*› *full-def*)
  have *n-s-bj*: *no-step cdcl$_W$-bj V*
    by (*metis* ‹*cdcl$_W$-all-struct-inv V*› ‹*full cdcl$_W$-s' R V*› *bj full-def*
      n-step-cdcl$_W$-stgy-iff-no-step-cdcl$_W$-cl-cdcl$_W$-o)
  have *n-s-cp*: *no-step cdcl$_W$-merge-cp V*
    proof –
      { fix *ss* :: *'st*
        obtain *ssa* :: *'st* ⇒ *'st* **where**
          ff1: ∀ *s*. ¬ *cdcl$_W$-all-struct-inv s* ∨ *cdcl$_W$-s'-without-decide s* (*ssa s*)
            ∨ *no-step cdcl$_W$-merge-cp s*
          using *conflicting-true-no-step-s'-without-decide-no-step-cdcl$_W$-merge-cp* **by** *moura*
        have (∀ *p s sa*. ¬ *full p* (*s*::*'st*) *sa* ∨ *p** s sa* ∧ *no-step p sa*) **and**
          (∀ *p s sa*. (¬ *p*** (*s*::*'st*) *sa* ∨ (∃ *s*. *p sa s*)) ∨ *full p s sa*)
          by (*meson full-def*)+
        then have ¬ *cdcl$_W$-merge-cp V ss*
          using *ff1* **by** (*metis* (*no-types*) ‹*cdcl$_W$-all-struct-inv V*› ‹*full cdcl$_W$-s' R V*› *cdcl$_W$-s'.simps*
            cdcl$_W$-s'-without-decide.cases) **}**
      then show *?thesis*
        by *blast*
    qed
  consider
      (*fw-no-confl*) *cdcl$_W$-merge-stgy** R V* **and** *conflicting V = None*
    | (*fw-confl*) *cdcl$_W$-merge-stgy** R V* **and** *conflicting V ≠ None* **and** *no-step cdcl$_W$-bj V*
    | (*fw-dec-confl*) *S T U* **where** *cdcl$_W$-merge-stgy** R S* **and** *no-step cdcl$_W$-merge-cp S* **and**
        decide S T **and** *cdcl$_W$-merge-cp** T U* **and** *conflict U V*
    | (*fw-dec-no-confl*) *S T* **where** *cdcl$_W$-merge-stgy** R S* **and** *no-step cdcl$_W$-merge-cp S* **and**
        decide S T **and** *cdcl$_W$-merge-cp** T V* **and** *conflicting V = None*
    | (*cp-no-confl*) *cdcl$_W$-merge-cp** R V* **and** *conflicting V = None*
    | (*cp-confl*) *U* **where** *cdcl$_W$-merge-cp** R U* **and** *conflict U V*
    using *rtranclp-cdcl$_W$-s'-no-step-cdcl$_W$-s'-without-decide-decomp-into-cdcl$_W$-merge*[*OF*
      ‹*cdcl$_W$-s'** R V*› *assms*] **by** *auto*
  then show *?fw*
    proof *cases*
      case *fw-no-confl*
      then show *?thesis* **using** *n-s* **unfolding** *full-def* **by** *blast*
    next
      case *fw-confl*
      then show *?thesis* **using** *n-s* **unfolding** *full-def* **by** *blast*
    next
      case *fw-dec-confl*
      have *cdcl$_W$-merge-cp U V*

using *n-s-bj* **by** (*metis* $cdcl_W$*-merge-cp.simps full-unfold fw-dec-confl*(*5*))
then have *full1* $cdcl_W$*-merge-cp T V*
unfolding *full1-def* **by** (*metis fw-dec-confl*(*4*) *n-s-cp tranclp-unfold-end*)
then have $cdcl_W$*-merge-stgy S V* **using** ‹*decide S T*› ‹*no-step* $cdcl_W$*-merge-cp S*› **by** *auto*
then show *?thesis* **using** *n-s* ‹ $cdcl_W$*-merge-stgy*** *R S*› **unfolding** *full-def* **by** *auto*
next
case *fw-dec-no-confl*
then have *full* $cdcl_W$*-merge-cp T V*
using *n-s-cp* **unfolding** *full-def* **by** *blast*
then have $cdcl_W$*-merge-stgy S V* **using** ‹*decide S T*› ‹*no-step* $cdcl_W$*-merge-cp S*› **by** *auto*
then show *?thesis* **using** *n-s* ‹ $cdcl_W$*-merge-stgy*** *R S*› **unfolding** *full-def* **by** *auto*
next
case *cp-no-confl*
then have *full* $cdcl_W$*-merge-cp R V*
by (*simp add*: *full-def n-s-cp*)
then have $R = V \vee$ $cdcl_W$*-merge-stgy*$^{++}$ *R V*
by (*metis* (*no-types*) *full-unfold fw-s-cp rtranclp-unfold tranclp-unfold-end*)
then show *?thesis*
by (*simp add*: *full-def n-s rtranclp-unfold*)
next
case *cp-confl*
have *full* $cdcl_W$*-bj V V*
using *n-s-bj* **unfolding** *full-def* **by** *blast*
then have *full1* $cdcl_W$*-merge-cp R V*
unfolding *full1-def* **by** (*meson* $cdcl_W$*-merge-cp.conflict*$'$ *cp-confl*(*1*,*2*) *n-s-cp*
rtranclp-into-tranclp1)
then show *?thesis* **using** *n-s* **unfolding** *full-def* **by** *auto*
qed
next
assume *?fw*
then have $cdcl_W$** *R V* **using** *rtranclp-mono*[*of* $cdcl_W$*-merge-stgy* $cdcl_W$**]
$cdcl_W$*-merge-stgy-rtranclp-*$cdcl_W$ **unfolding** *full-def* **by** *auto*
then have *inv*$'$: $cdcl_W$*-all-struct-inv V* **using** *inv rtranclp-*$cdcl_W$*-all-struct-inv-inv* **by** *blast*
have $cdcl_W$*-s*$'^{**}$ *R V*
using ‹*?fw*› **by** (*simp add*: *full-def inv rtranclp-*$cdcl_W$*-merge-stgy-rtranclp-*$cdcl_W$*-s*$'$)
moreover have *no-step* $cdcl_W$*-s*$'$ *V*
proof *cases*
assume *conflicting V = None*
then show *?thesis*
by (*metis inv*$'$ ‹*full* $cdcl_W$*-merge-stgy R V*› *full-def*
no-step-$cdcl_W$*-merge-stgy-no-step-*$cdcl_W$*-s*$'$)
next
assume *confl-V*: *conflicting V* $\neq$ *None*
then have *no-step* $cdcl_W$*-bj V*
using *rtranclp-*$cdcl_W$*-merge-stgy-no-step-*$cdcl_W$*-bj* **by** (*meson* ‹*full* $cdcl_W$*-merge-stgy R V*›
assms(*1*) *full-def*)
then show *?thesis* **using** *confl-V* **by** (*fastforce simp*: $cdcl_W$*-s*$'$*.simps full1-def* $cdcl_W$*-cp.simps*
dest!: *tranclpD*)
qed
ultimately show *?s*$'$ **unfolding** *full-def* **by** *blast*
qed

lemma *full-*$cdcl_W$*-stgy-full-*$cdcl_W$*-merge*:
assumes
conflicting R = None **and**

inv: *cdcl*$_W$*-all-struct-inv R*
shows *full cdcl*$_W$*-stgy R V* $\longleftrightarrow$ *full cdcl*$_W$*-merge-stgy R V*
by (*simp add*: *assms*(*1*) *full-cdcl*$_W$*-s'-full-cdcl*$_W$*-merge-restart full-cdcl*$_W$*-stgy-iff-full-cdcl*$_W$*-s'*
inv)

lemma *full-cdcl*$_W$*-merge-stgy-final-state-conclusive'*:
fixes *S'* :: *'st*
assumes *full*: *full cdcl*$_W$*-merge-stgy* (*init-state N*) *S'*
and *no-d*: *distinct-mset-mset N*
shows (*conflicting S'* = *Some* {#} ∧ *unsatisfiable* (*set-mset N*))
∨ (*conflicting S'* = *None* ∧ *trail S'* ⊨*asm N* ∧ *satisfiable* (*set-mset N*))
proof −
have *cdcl*$_W$*-all-struct-inv* (*init-state N*)
using *no-d* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
moreover have *conflicting* (*init-state N*) = *None*
by *auto*
ultimately show *?thesis*
by (*simp add*: *full full-cdcl*$_W$*-stgy-final-state-conclusive-from-init-state*
full-cdcl$_W$*-stgy-full-cdcl*$_W$*-merge no-d*)
qed

end

19.6 Adding Restarts

locale *cdcl*$_W$*-restart* =
cdcl$_W$ *trail init-clss learned-clss backtrack-lvl conflicting cons-trail tl-trail*
add-init-cls
add-learned-cls remove-cls update-backtrack-lvl update-conflicting init-state
restart-state
for
trail :: *'st* ⇒ (*'v*, *nat*, *'v clause*) *marked-lits* **and**
init-clss :: *'st* ⇒ *'v clauses* **and**
learned-clss :: *'st* ⇒ *'v clauses* **and**
backtrack-lvl :: *'st* ⇒ *nat* **and**
conflicting :: *'st* ⇒*'v clause option* **and**

cons-trail :: (*'v*, *nat*, *'v clause*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
add-init-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
add-learned-cls remove-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
update-backtrack-lvl :: *nat* ⇒ *'st* ⇒ *'st* **and**
update-conflicting :: *'v clause option* ⇒ *'st* ⇒ *'st* **and**

init-state :: *'v clauses* ⇒ *'st* **and**
restart-state :: *'st* ⇒ *'st* +
fixes *f* :: *nat* ⇒ *nat*
assumes *f*: *unbounded f*
begin

The condition of the differences of cardinality has to be strict. Otherwise, you could be in a strange state, where nothing remains to do, but a restart is done. See the proof of well-foundedness.

inductive *cdcl*$_W$*-merge-with-restart* **where**
restart-step:

```
  (cdcl_W-merge-stgy^^(card (set-mset (learned-clss T)) − card (set-mset (learned-clss S)))) S T
  ⟹ card (set-mset (learned-clss T)) − card (set-mset (learned-clss S)) > f n
  ⟹ restart T U ⟹ cdcl_W-merge-with-restart (S, n) (U, Suc n) |
restart-full: full1 cdcl_W-merge-stgy S T ⟹ cdcl_W-merge-with-restart (S, n) (T, Suc n)

lemma cdcl_W-merge-with-restart S T ⟹ cdcl_W-merge-restart** (fst S) (fst T)
  by (induction rule: cdcl_W-merge-with-restart.induct)
  (auto dest!: relpowp-imp-rtranclp cdcl_W-merge-stgy-tranclp-cdcl_W-merge tranclp-into-rtranclp
     rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-merge rtranclp-cdcl_W-merge-tranclp-cdcl_W-merge-restart
     fw-r-rf cdcl_W-rf.restart
    simp: full1-def)

lemma cdcl_W-merge-with-restart-rtranclp-cdcl_W:
  cdcl_W-merge-with-restart S T ⟹ cdcl_W** (fst S) (fst T)
  by (induction rule: cdcl_W-merge-with-restart.induct)
  (auto dest!: relpowp-imp-rtranclp rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W cdcl_W.rf
    cdcl_W-rf.restart tranclp-into-rtranclp simp: full1-def)

lemma cdcl_W-merge-with-restart-increasing-number:
  cdcl_W-merge-with-restart S T ⟹ snd T = 1 + snd S
  by (induction rule: cdcl_W-merge-with-restart.induct) auto

lemma full1 cdcl_W-merge-stgy S T ⟹ cdcl_W-merge-with-restart (S, n) (T, Suc n)
  using restart-full by blast

lemma cdcl_W-all-struct-inv-learned-clss-bound:
  assumes inv: cdcl_W-all-struct-inv S
  shows set-mset (learned-clss S) ⊆ simple-clss (atms-of-msu (init-clss S))
proof
  fix C
  assume C: C ∈ set-mset (learned-clss S)
  have distinct-mset C
    using C inv unfolding cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def distinct-mset-set-def
    by auto
  moreover have ¬tautology C
    using C inv unfolding cdcl_W-all-struct-inv-def cdcl_W-learned-clause-def by auto
  moreover
    have atms-of C ⊆ atms-of-msu (learned-clss S)
      using C by auto
    then have atms-of C ⊆ atms-of-msu (init-clss S)
    using inv  unfolding cdcl_W-all-struct-inv-def no-strange-atm-def by force
  moreover have finite (atms-of-msu (init-clss S))
    using inv unfolding cdcl_W-all-struct-inv-def by auto
  ultimately show C ∈ simple-clss (atms-of-msu (init-clss S))
    using distinct-mset-not-tautology-implies-in-simple-clss simple-clss-mono
    by blast
qed

lemma cdcl_W-merge-with-restart-init-clss:
  cdcl_W-merge-with-restart S T ⟹ cdcl_W-M-level-inv (fst S) ⟹
  init-clss (fst S) = init-clss (fst T)
  using cdcl_W-merge-with-restart-rtranclp-cdcl_W rtranclp-cdcl_W-init-clss by blast

lemma
  wf {(T, S). cdcl_W-all-struct-inv (fst S) ∧ cdcl_W-merge-with-restart S T}
```

```
proof (rule ccontr)
  assume ¬ ?thesis
    then obtain g where
    g: ⋀i. cdcl_W-merge-with-restart (g i) (g (Suc i)) and
    inv: ⋀i. cdcl_W-all-struct-inv (fst (g i))
    unfolding wf-iff-no-infinite-down-chain by fast
  { fix i
    have init-clss (fst (g i)) = init-clss (fst (g 0))
      apply (induction i)
        apply simp
      using g inv unfolding cdcl_W-all-struct-inv-def by (metis cdcl_W-merge-with-restart-init-clss)
    } note init-g = this
  let ?S = g 0
  have finite (atms-of-msu (init-clss (fst ?S)))
    using inv unfolding cdcl_W-all-struct-inv-def by auto
  have snd-g: ⋀i. snd (g i) = i + snd (g 0)
    apply (induct-tac i)
      apply simp
    by (metis Suc-eq-plus1-left add-Suc cdcl_W-merge-with-restart-increasing-number g)
  then have snd-g-0: ⋀i. i > 0 ⟹ snd (g i) = i + snd (g 0)
    by blast
  have unbounded-f-g: unbounded (λi. f (snd (g i)))
    using f unfolding bounded-def by (metis add.commute f less-or-eq-imp-le snd-g
      not-bounded-nat-exists-larger not-le ordered-cancel-comm-monoid-diff-class.le-iff-add)

  obtain k where
    f-g-k: f (snd (g k)) > card (simple-clss (atms-of-msu (init-clss (fst ?S)))) and
    k > card (simple-clss (atms-of-msu (init-clss (fst ?S))))
    using not-bounded-nat-exists-larger[OF unbounded-f-g] by blast
```

The following does not hold anymore with the non-strict version of cardinality in the definition.

```
  { fix i
    assume no-step cdcl_W-merge-stgy (fst (g i))
    with g[of i]
    have False
      proof (induction rule: cdcl_W-merge-with-restart.induct)
        case (restart-step T S n) note H = this(1) and c = this(2) and n-s = this(4)
        obtain S' where cdcl_W-merge-stgy S S'
          using H c by (metis gr-implies-not0 relpowp-E2)
        then show False using n-s by auto
      next
        case (restart-full S T)
        then show False unfolding full1-def by (auto dest: tranclpD)
      qed
    } note H = this
  obtain m T where
    m: m = card (set-mset (learned-clss T)) − card (set-mset (learned-clss (fst (g k)))) and
    m > f (snd (g k)) and
    restart T (fst (g (k+1))) and
    cdcl_W-merge-stgy: (cdcl_W-merge-stgy ^^ m) (fst (g k)) T
    using g[of k] H[of Suc k] by (force simp: cdcl_W-merge-with-restart.simps full1-def)
  have cdcl_W-merge-stgy^** (fst (g k)) T
    using cdcl_W-merge-stgy relpowp-imp-rtranclp by metis
  then have cdcl_W-all-struct-inv T
    using inv[of k]  rtranclp-cdcl_W-all-struct-inv-inv rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W
```

  by *blast*
 moreover have *card* (*set-mset* (*learned-clss* T)) − *card* (*set-mset* (*learned-clss* (*fst* (*g k*))))
   > *card* (*simple-clss* (*atms-of-msu* (*init-clss* (*fst ?S*))))
   unfolding *m*[*symmetric*] **using** ‹*m* > *f* (*snd* (*g k*))› *f-g-k* **by** *linarith*
  then have *card* (*set-mset* (*learned-clss* T))
   > *card* (*simple-clss* (*atms-of-msu* (*init-clss* (*fst ?S*))))
   by *linarith*
 moreover
  have *init-clss* (*fst* (*g k*)) = *init-clss T*
   using ‹$cdcl_W$*-merge-stgy*** (*fst* (*g k*)) T› *rtranclp-*$cdcl_W$*-merge-stgy-rtranclp-*$cdcl_W$
   rtranclp-$cdcl_W$*-init-clss inv* **unfolding** $cdcl_W$*-all-struct-inv-def* **by** *blast*
  then have *init-clss* (*fst ?S*) = *init-clss T*
   using *init-g*[*of k*] **by** *auto*
 ultimately show *False*
  using $cdcl_W$*-all-struct-inv-learned-clss-bound*
  by (*simp add*: ‹*finite* (*atms-of-msu* (*init-clss* (*fst* (*g 0*))))› *simple-clss-finite*
   card-mono leD)
qed

lemma $cdcl_W$*-merge-with-restart-distinct-mset-clauses*:
 assumes *invR*: $cdcl_W$*-all-struct-inv* (*fst R*) **and**
 st: $cdcl_W$*-merge-with-restart R S* **and**
 dist: *distinct-mset* (*clauses* (*fst R*)) **and**
 R: *trail* (*fst R*) = []
 shows *distinct-mset* (*clauses* (*fst S*))
 using *assms*(*2*,*1*,*3*,*4*)
proof (*induction*)
 case (*restart-full S T*)
 then show *?case* **using** *rtranclp-*$cdcl_W$*-merge-stgy-distinct-mset-clauses*[*of S T*] **unfolding** *full1-def*
  by (*auto dest*: *tranclp-into-rtranclp*)
next
 case (*restart-step T S n U*)
 then have *distinct-mset* (*clauses T*)
  using *rtranclp-*$cdcl_W$*-merge-stgy-distinct-mset-clauses*[*of S T*] **unfolding** *full1-def*
  by (*auto dest*: *relpowp-imp-rtranclp*)
 then show *?case* **using** ‹*restart T U*› **by** (*metis clauses-restart distinct-mset-union fstI*
  mset-le-exists-conv restart.cases state-eq-clauses)
qed

inductive $cdcl_W$*-with-restart* **where**
restart-step:
 ($cdcl_W$*-stgy*$^{\frown\frown}$(*card* (*set-mset* (*learned-clss T*)) − *card* (*set-mset* (*learned-clss S*)))) *S T* ⟹
   card (*set-mset* (*learned-clss T*)) − *card* (*set-mset* (*learned-clss S*)) > *f n* ⟹
   restart T U ⟹
  $cdcl_W$*-with-restart* (*S*, *n*) (*U*, *Suc n*) |
restart-full: *full1* $cdcl_W$*-stgy S T* ⟹ $cdcl_W$*-with-restart* (*S*, *n*) (*T*, *Suc n*)

lemma $cdcl_W$*-with-restart-rtranclp-*$cdcl_W$:
 $cdcl_W$*-with-restart S T* ⟹ $cdcl_W$** (*fst S*) (*fst T*)
 apply (*induction rule*: $cdcl_W$*-with-restart.induct*)
 by (*auto dest*!: *relpowp-imp-rtranclp tranclp-into-rtranclp fw-r-rf*
   $cdcl_W$*-rf.restart rtranclp-*$cdcl_W$*-stgy-rtranclp-*$cdcl_W$ $cdcl_W$*-merge-restart-*$cdcl_W$
  simp: *full1-def*)

lemma $cdcl_W$*-with-restart-increasing-number*:

cdcl_W-with-restart S T ⟹ *snd T = 1 + snd S*
by (*induction rule*: *cdcl_W-with-restart.induct*) *auto*

lemma *full1 cdcl_W-stgy S T* ⟹ *cdcl_W-with-restart* (*S*, *n*) (*T*, *Suc n*)
using *restart-full* **by** *blast*

lemma *cdcl_W-with-restart-init-clss*:
cdcl_W-with-restart S T ⟹ *cdcl_W-M-level-inv* (*fst S*) ⟹ *init-clss* (*fst S*) = *init-clss* (*fst T*)
using *cdcl_W-with-restart-rtranclp-cdcl_W rtranclp-cdcl_W-init-clss* **by** *blast*

```
lemma
  wf {(T, S). cdcl_W-all-struct-inv (fst S) ∧ cdcl_W-with-restart S T}
proof (rule ccontr)
  assume ¬ ?thesis
    then obtain g where
    g: ⋀i. cdcl_W-with-restart (g i) (g (Suc i)) and
    inv: ⋀i. cdcl_W-all-struct-inv (fst (g i))
    unfolding wf-iff-no-infinite-down-chain by fast
  { fix i
    have init-clss (fst (g i)) = init-clss (fst (g 0))
      apply (induction i)
        apply simp
      using g inv unfolding cdcl_W-all-struct-inv-def by (metis cdcl_W-with-restart-init-clss)
    } note init-g = this
  let ?S = g 0
  have finite (atms-of-msu (init-clss (fst ?S)))
    using inv unfolding cdcl_W-all-struct-inv-def by auto
  have snd-g: ⋀i. snd (g i) = i + snd (g 0)
    apply (induct-tac i)
      apply simp
    by (metis Suc-eq-plus1-left add-Suc cdcl_W-with-restart-increasing-number g)
  then have snd-g-0: ⋀i. i > 0 ⟹ snd (g i) = i + snd (g 0)
    by blast
  have unbounded-f-g: unbounded (λi. f (snd (g i)))
    using f unfolding bounded-def by (metis add.commute f less-or-eq-imp-le snd-g
      not-bounded-nat-exists-larger not-le ordered-cancel-comm-monoid-diff-class.le-iff-add)

  obtain k where
    f-g-k: f (snd (g k)) > card (simple-clss (atms-of-msu (init-clss (fst ?S)))) and
    k > card (simple-clss (atms-of-msu (init-clss (fst ?S))))
    using not-bounded-nat-exists-larger[OF unbounded-f-g] by blast
```

The following does not hold anymore with the non-strict version of cardinality in the definition.

```
  { fix i
    assume no-step cdcl_W-stgy (fst (g i))
    with g[of i]
    have False
      proof (induction rule: cdcl_W-with-restart.induct)
        case (restart-step T S n) note H = this(1) and c = this(2) and n-s = this(4)
        obtain S' where cdcl_W-stgy S S'
          using H c by (metis gr-implies-not0 relpowp-E2)
        then show False using n-s by auto
      next
        case (restart-full S T)
        then show False unfolding full1-def by (auto dest: tranclpD)
      qed
    } note H = this
  obtain m T where
    m: m = card (set-mset (learned-clss T)) − card (set-mset (learned-clss (fst (g k)))) and
    m > f (snd (g k)) and
    restart T (fst (g (k+1))) and
    cdcl_W-merge-stgy: (cdcl_W-stgy ^^ m) (fst (g k)) T
    using g[of k] H[of Suc k] by (force simp: cdcl_W-with-restart.simps full1-def)
  have cdcl_W-stgy** (fst (g k)) T
    using cdcl_W-merge-stgy relpowp-imp-rtranclp by metis
  then have cdcl_W-all-struct-inv T
    using inv[of k]  rtranclp-cdcl_W-all-struct-inv-inv rtranclp-cdcl_W-stgy-rtranclp-cdcl_W by blast
  moreover have card (set-mset (learned-clss T)) − card (set-mset (learned-clss (fst (g k))))
      > card (simple-clss (atms-of-msu (init-clss (fst ?S))))
      unfolding m[symmetric] using ‹m > f (snd (g k))› f-g-k by linarith
    then have card (set-mset (learned-clss T))
      > card (simple-clss (atms-of-msu (init-clss (fst ?S))))
      by linarith
  moreover
    have init-clss (fst (g k)) = init-clss T
      using ‹cdcl_W-stgy** (fst (g k)) T› rtranclp-cdcl_W-stgy-rtranclp-cdcl_W rtranclp-cdcl_W-init-clss
      inv unfolding cdcl_W-all-struct-inv-def
      by blast
    then have init-clss (fst ?S) = init-clss T
      using init-g[of k] by auto
  ultimately show False
    using cdcl_W-all-struct-inv-learned-clss-bound
    by (simp add: ‹finite (atms-of-msu (init-clss (fst (g 0))))› simple-clss-finite
      card-mono leD)
qed

lemma cdcl_W-with-restart-distinct-mset-clauses:
  assumes invR: cdcl_W-all-struct-inv (fst R) and
  st: cdcl_W-with-restart R S and
  dist: distinct-mset (clauses (fst R)) and
  R: trail (fst R) = []
  shows distinct-mset (clauses (fst S))
  using assms(2,1,3,4)
proof (induction)
  case (restart-full S T)
  then show ?case using rtranclp-cdcl_W-stgy-distinct-mset-clauses[of S T] unfolding full1-def
    by (auto dest: tranclp-into-rtranclp)
next
  case (restart-step T S n U)
  then have distinct-mset (clauses T) using rtranclp-cdcl_W-stgy-distinct-mset-clauses[of S T]
    unfolding full1-def by (auto dest: relpowp-imp-rtranclp)
  then show ?case using ‹restart T U› by (metis clauses-restart distinct-mset-union fstI
    mset-le-exists-conv restart.cases state-eq-clauses)
qed
end

locale luby-sequence =
  fixes ur :: nat
  assumes ur > 0
begin
```

```
lemma exists-luby-decomp:
  fixes i ::nat
  shows ∃k::nat. (2 ^ (k − 1) ≤ i ∧ i < 2 ^ k − 1) ∨ i = 2 ^ k − 1
proof (induction i)
  case 0
  then show ?case
    by (rule exI[of - 0], simp)
next
  case (Suc n)
  then obtain k where 2 ^ (k − 1) ≤ n ∧ n < 2 ^ k − 1 ∨ n = 2 ^ k − 1
    by blast
  then consider
      (st-interv) 2 ^ (k − 1) ≤ n and  n ≤ 2 ^ k − 2
    | (end-interv) 2 ^ (k − 1) ≤ n and n = 2 ^ k − 2
    | (pow2) n = 2 ^ k − 1
    by linarith
  then show ?case
    proof cases
      case st-interv
      then show ?thesis apply − apply (rule exI[of - k])
        by (metis (no-types, lifting) One-nat-def Suc-diff-Suc Suc-lessI
          ‹2 ^ (k − 1) ≤ n ∧ n < 2 ^ k − 1 ∨ n = 2 ^ k − 1› diff-self-eq-0
          dual-order.trans le-SucI le-imp-less-Suc numeral-2-eq-2 one-le-numeral
          one-le-power zero-less-numeral zero-less-power)
    next
      case end-interv
      then show ?thesis apply − apply (rule exI[of - k]) by auto
    next
      case pow2
      then show ?thesis apply − apply (rule exI[of - k+1]) by auto
    qed
qed
```

Luby sequences are defined by:

- $2^k - 1$, if $i = (2::'a)^k - (1::'a)$
- *luby-sequence-core* $(i - 2^{k-1} + 1)$, if $(2::'a)^{k-1} \le i$ and $i \le (2::'a)^k - (1::'a)$

Then the sequence is then scaled by a constant unit run (called *ur* here), strictly positive.

```
function luby-sequence-core :: nat ⇒ nat where
luby-sequence-core i =
  (if ∃k. i = 2^k − 1
  then 2^((SOME k. i = 2^k − 1) − 1)
  else luby-sequence-core (i − 2^((SOME k. 2^(k−1)≤ i ∧ i < 2^k − 1) − 1) + 1))
by auto
termination
proof (relation less-than, goal-cases)
  case 1
  then show ?case by auto
next
  case (2 i)
  let ?k = (SOME k. 2 ^ (k − 1) ≤ i ∧ i < 2 ^ k − 1)
  have 2 ^ (?k − 1) ≤ i ∧ i < 2 ^ ?k − 1
```

```
    apply (rule someI-ex)
    using 2 exists-luby-decomp by blast
  then show ?case

    proof –
      have ∀ n na. ¬ (1::nat) ≤ n ∨ 1 ≤ n ^ na
        by (meson one-le-power)
      then have f1: (1::nat) ≤ 2 ^ (?k – 1)
        using one-le-numeral by blast
      have f2: i – 2 ^ (?k – 1) + 2 ^ (?k – 1) = i
        using ‹2 ^ (?k – 1) ≤ i ∧ i < 2 ^ ?k – 1› le-add-diff-inverse2 by blast
      have f3: 2 ^ ?k – 1 ≠ Suc 0
        using f1 ‹2 ^ (?k – 1) ≤ i ∧ i < 2 ^ ?k – 1› by linarith
      have 2 ^ ?k – (1::nat) ≠ 0
        using ‹2 ^ (?k – 1) ≤ i ∧ i < 2 ^ ?k – 1› gr-implies-not0 by blast
      then have f4: 2 ^ ?k ≠ (1::nat)
        by linarith
      have f5: ∀ n na. if na = 0 then (n::nat) ^ na = 1 else n ^ na = n ∗ n ^ (na – 1)
        by (simp add: power-eq-if)
      then have ?k ≠ 0
        using f4 by meson
      then have 2 ^ (?k – 1) ≠ Suc 0
        using f5 f3 by presburger
      then have Suc 0 < 2 ^ (?k – 1)
        using f1 by linarith
      then show ?thesis
        using f2 less-than-iff by presburger
    qed
qed

declare luby-sequence-core.simps[simp del]

lemma two-pover-n-eq-two-power-n′-eq:
  assumes H: (2::nat) ^ (k::nat) – 1 = 2 ^ k′ – 1
  shows k′ = k
proof –
  have (2::nat) ^ (k::nat) = 2 ^ k′
    using H by (metis One-nat-def Suc-pred zero-less-numeral zero-less-power)
  then show ?thesis by simp
qed

lemma luby-sequence-core-two-power-minus-one:
  luby-sequence-core (2^k – 1) = 2^(k–1) (is ?L = ?K)
proof –
  have decomp: ∃ ka. 2 ^ k – 1 = 2 ^ ka – 1
    by auto
  have ?L = 2^((SOME k′. (2::nat)^k – 1 = 2^k′ – 1) – 1)
    apply (subst luby-sequence-core.simps, subst decomp)
    by simp
  moreover have (SOME k′. (2::nat)^k – 1 = 2^k′ – 1) = k
    apply (rule some-equality)
      apply simp
      using two-pover-n-eq-two-power-n′-eq by blast
  ultimately show ?thesis by presburger
qed
```

lemma *different-luby-decomposition-false*:
  assumes
    H: $2 \mathbin{\hat{}} (k - \mathit{Suc}\ 0) \leq i$ **and**
    k': $i < 2 \mathbin{\hat{}} k' - \mathit{Suc}\ 0$ **and**
    k-k': $k > k'$
  shows *False*
proof −
  have $2 \mathbin{\hat{}} k' - \mathit{Suc}\ 0 < 2 \mathbin{\hat{}} (k - \mathit{Suc}\ 0)$
    using *k-k' less-eq-Suc-le* **by** *auto*
  then show *?thesis*
    using *H k'* **by** *linarith*
qed

lemma *luby-sequence-core-not-two-power-minus-one*:
  assumes
    k-i: $2 \mathbin{\hat{}} (k - 1) \leq i$ **and**
    i-k: $i < 2\mathbin{\hat{}} k - 1$
  shows *luby-sequence-core* $i =$ *luby-sequence-core* $(i - 2 \mathbin{\hat{}} (k - 1) + 1)$
proof −
  have *H*: $\neg (\exists ka.\ i = 2 \mathbin{\hat{}} ka - 1)$
    proof (*rule ccontr*)
      assume ¬ *?thesis*
      then obtain *k'::nat* **where** *k'*: $i = 2 \mathbin{\hat{}} k' - 1$ **by** *blast*
      have $(2::nat) \mathbin{\hat{}} k' - 1 < 2 \mathbin{\hat{}} k - 1$
        using *i-k* **unfolding** *k'* **.**
      then have $(2::nat) \mathbin{\hat{}} k' < 2 \mathbin{\hat{}} k$
        by *linarith*
      then have $k' < k$
        by *simp*
      have $2 \mathbin{\hat{}} (k - 1) \leq 2 \mathbin{\hat{}} k' - (1::nat)$
        using *k-i* **unfolding** *k'* **.**
      then have $(2::nat) \mathbin{\hat{}} (k-1) < 2 \mathbin{\hat{}} k'$
        by (*metis Suc-diff-1 not-le not-less-eq zero-less-numeral zero-less-power*)
      then have $k-1 < k'$
        by *simp*

      show *False* **using** ‹$k' < k$› ‹$k-1 < k'$› **by** *linarith*
    qed
  have $\bigwedge k\ k'.\ 2 \mathbin{\hat{}} (k - \mathit{Suc}\ 0) \leq i \Longrightarrow i < 2 \mathbin{\hat{}} k - \mathit{Suc}\ 0 \Longrightarrow 2 \mathbin{\hat{}} (k' - \mathit{Suc}\ 0) \leq i \Longrightarrow$
    $i < 2 \mathbin{\hat{}} k' - \mathit{Suc}\ 0 \Longrightarrow k = k'$
    by (*meson different-luby-decomposition-false linorder-neqE-nat*)
  then have *k*: $(\mathit{SOME}\ k.\ 2 \mathbin{\hat{}} (k - \mathit{Suc}\ 0) \leq i \wedge i < 2 \mathbin{\hat{}} k - \mathit{Suc}\ 0) = k$
    using *k-i i-k* **by** *auto*
  show *?thesis*
    apply (*subst luby-sequence-core.simps*[*of i*], *subst H*)
    by (*simp add*: *k*)
qed

lemma *unbounded-luby-sequence-core*: *unbounded luby-sequence-core*
  unfolding *bounded-def*
proof
  assume $\exists b.\ \forall n.$ *luby-sequence-core* $n \leq b$
  then obtain *b* **where** *b*: $\bigwedge n.$ *luby-sequence-core* $n \leq b$
    by *metis*

```
  have luby-sequence-core (2^(b+1) − 1) = 2^b
    using luby-sequence-core-two-power-minus-one[of b+1] by simp
  moreover have (2::nat)^b > b
    by (induction b) auto
  ultimately show False using b[of 2^(b+1) − 1] by linarith
qed

abbreviation luby-sequence :: nat ⇒ nat where
luby-sequence n ≡  ur ∗ luby-sequence-core n

lemma bounded-luby-sequence: unbounded luby-sequence
  using bounded-const-product[of ur] luby-sequence-axioms
  luby-sequence-def unbounded-luby-sequence-core by blast

lemma luby-sequence-core-0: luby-sequence-core 0 = 1
proof −
  have 0: (0::nat) = 2^0−1
    by auto
  show ?thesis
    by (subst 0, subst luby-sequence-core-two-power-minus-one) simp
qed

lemma luby-sequence-core n ≥ 1
proof (induction n rule: nat-less-induct-case)
  case 0
  then show ?case by (simp add: luby-sequence-core-0)
next
  case (Suc n) note IH = this

  consider
      (interv) k where 2 ^ (k − 1) ≤ Suc n and Suc n < 2 ^ k − 1
    | (pow2)  k where Suc n = 2 ^ k − Suc 0
    using exists-luby-decomp[of Suc n] by auto

  then show ?case
     proof cases
       case pow2
       show ?thesis
         using luby-sequence-core-two-power-minus-one pow2 by auto
     next
       case interv
       have n: Suc n − 2 ^ (k − 1) + 1 < Suc n
         by (metis Suc-1 Suc-eq-plus1 add.commute add-diff-cancel-left' add-less-mono1 gr0I
           interv(1) interv(2) le-add-diff-inverse2 less-Suc-eq not-le power-0 power-one-right
           power-strict-increasing-iff)
       show ?thesis
         apply (subst luby-sequence-core-not-two-power-minus-one[OF interv])
         using IH n by auto
     qed
qed
end

locale luby-sequence-restart =
  luby-sequence ur +
  cdcl_W trail init-clss learned-clss backtrack-lvl conflicting cons-trail tl-trail
```

add-init-cls
add-learned-cls remove-cls update-backtrack-lvl update-conflicting init-state
restart-state
for
ur :: *nat* **and**
trail :: *'st* ⇒ (*'v*, *nat*, *'v clause*) *marked-lits* **and**
init-clss :: *'st* ⇒ *'v clauses* **and**
learned-clss :: *'st* ⇒ *'v clauses* **and**
backtrack-lvl :: *'st* ⇒ *nat* **and**
conflicting :: *'st* ⇒*'v clause option* **and**
cons-trail :: (*'v*, *nat*, *'v clause*) *marked-lit* ⇒ *'st* ⇒ *'st* **and**
tl-trail :: *'st* ⇒ *'st* **and**
add-init-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
add-learned-cls remove-cls :: *'v clause* ⇒ *'st* ⇒ *'st* **and**
update-backtrack-lvl :: *nat* ⇒ *'st* ⇒ *'st* **and**
update-conflicting :: *'v clause option* ⇒ *'st* ⇒ *'st* **and**

init-state :: *'v clauses* ⇒ *'st* **and**
restart-state :: *'st* ⇒ *'st*
begin

sublocale *$cdcl_W$-restart* - - - - - - - - - - - - - - *luby-sequence*
apply *unfold-locales*
using *bounded-luby-sequence* **by** *blast*

end

end
theory *CDCL-W-Incremental*
imports *CDCL-W-Termination*
begin

20 Incremental SAT solving

context *$cdcl_W$*
begin

This invariant holds all the invariant related to the strategy. See the structural invariant in *$cdcl_W$-all-struct-inv*

definition *$cdcl_W$-stgy-invariant* **where**
$cdcl_W$-stgy-invariant S ⟷
conflict-is-false-with-level S
∧ *no-clause-is-false S*
∧ *no-smaller-confl S*
∧ *no-clause-is-false S*

lemma *$cdcl_W$-stgy-$cdcl_W$-stgy-invariant*:
assumes
$cdcl_W$: *$cdcl_W$-stgy S T* **and**
inv-s: *$cdcl_W$-stgy-invariant S* **and**
inv: *$cdcl_W$-all-struct-inv S*
shows
$cdcl_W$-stgy-invariant T
unfolding *$cdcl_W$-stgy-invariant-def $cdcl_W$-all-struct-inv-def* **apply** *standard*
apply (*rule $cdcl_W$-stgy-ex-lit-of-max-level*[*of S*])

using *assms* **unfolding** *cdcl$_W$-stgy-invariant-def cdcl$_W$-all-struct-inv-def* **apply** *auto*[*7*]
apply *standard*
using *cdcl$_W$ cdcl$_W$-stgy-not-non-negated-init-clss* **apply** *blast*
apply *standard*
apply (*rule cdcl$_W$-stgy-no-smaller-confl-inv*)
using *assms* **unfolding** *cdcl$_W$-stgy-invariant-def cdcl$_W$-all-struct-inv-def* **apply** *auto*[*4*]
using *cdcl$_W$ cdcl$_W$-stgy-not-non-negated-init-clss* **by** *auto*

lemma *rtranclp-cdcl$_W$-stgy-cdcl$_W$-stgy-invariant*:
assumes
cdcl$_W$: *cdcl$_W$-stgy** S T* **and**
inv-s: *cdcl$_W$-stgy-invariant S* **and**
inv: *cdcl$_W$-all-struct-inv S*
shows
cdcl$_W$-stgy-invariant T
using *assms* **apply** (*induction*)
apply *simp*
using *cdcl$_W$-stgy-cdcl$_W$-stgy-invariant rtranclp-cdcl$_W$-all-struct-inv-inv*
rtranclp-cdcl$_W$-stgy-rtranclp-cdcl$_W$ **by** *blast*

abbreviation *decr-bt-lvl* **where**
decr-bt-lvl S ≡ *update-backtrack-lvl* (*backtrack-lvl S* − *1*) *S*

When we add a new clause, we reduce the trail until we get to tho first literal included in C. Then we can mark the conflict.

fun *cut-trail-wrt-clause* **where**
cut-trail-wrt-clause C [] *S* = *S* |
cut-trail-wrt-clause C (*Marked L - # M*) *S* =
(*if* −*L* ∈# *C then S*
else cut-trail-wrt-clause C M (*decr-bt-lvl* (*tl-trail S*))) |
cut-trail-wrt-clause C (*Propagated L - # M*) *S* =
(*if* −*L* ∈# *C then S*
else cut-trail-wrt-clause C M (*tl-trail S*))

definition *add-new-clause-and-update* :: *'v literal multiset* ⇒ *'st* ⇒ *'st* **where**
add-new-clause-and-update C S =
(*if trail S* ⊨*as CNot C*
then update-conflicting (*Some C*) (*add-init-cls C* (*cut-trail-wrt-clause C* (*trail S*) *S*))
else add-init-cls C S)

thm *cut-trail-wrt-clause.induct*
lemma *init-clss-cut-trail-wrt-clause*[*simp*]:
init-clss (*cut-trail-wrt-clause C M S*) = *init-clss S*
by (*induction rule*: *cut-trail-wrt-clause.induct*) *auto*

lemma *learned-clss-cut-trail-wrt-clause*[*simp*]:
learned-clss (*cut-trail-wrt-clause C M S*) = *learned-clss S*
by (*induction rule*: *cut-trail-wrt-clause.induct*) *auto*

lemma *conflicting-clss-cut-trail-wrt-clause*[*simp*]:
conflicting (*cut-trail-wrt-clause C M S*) = *conflicting S*
by (*induction rule*: *cut-trail-wrt-clause.induct*) *auto*

lemma *trail-cut-trail-wrt-clause*:
∃ *M*. *trail S* = *M* @ *trail* (*cut-trail-wrt-clause C* (*trail S*) *S*)

proof (*induction trail S arbitrary*:*S rule*: *marked-lit-list-induct*)
  case *nil*
  then show *?case* **by** *simp*
next
  case (*marked L l M*) **note** *IH* = *this*(*1*)[*of decr-bt-lvl* (*tl-trail S*)] **and** *M* = *this*(*2*)[*symmetric*]
  then show *?case* **using** *Cons-eq-appendI* **by** *fastforce+*
next
  case (*proped L l M*) **note** *IH* = *this*(*1*)[*of tl-trail S*] **and** *M* = *this*(*2*)[*symmetric*]
  then show *?case* **using** *Cons-eq-appendI* **by** *fastforce+*
qed

lemma *n-dup-no-dup-trail-cut-trail-wrt-clause*[*simp*]:
  assumes *n-d*: *no-dup* (*trail T*)
  shows *no-dup* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*))
proof −
  obtain *M* **where**
    M: *trail T* = *M* @ *trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)
    using *trail-cut-trail-wrt-clause*[*of T C*] **by** *auto*
  show *?thesis*
    using *n-d* **unfolding** *arg-cong*[*OF M*, *of no-dup*] **by** *auto*
qed

lemma *cut-trail-wrt-clause-backtrack-lvl-length-marked*:
  assumes
     backtrack-lvl T = *length* (*get-all-levels-of-marked* (*trail T*))
  shows
  backtrack-lvl (*cut-trail-wrt-clause C* (*trail T*) *T*) =
     length (*get-all-levels-of-marked* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)))
  using *assms*
proof (*induction trail T arbitrary*:*T rule*: *marked-lit-list-induct*)
  case *nil*
  then show *?case* **by** *simp*
next
  case (*marked L l M*) **note** *IH* = *this*(*1*)[*of decr-bt-lvl* (*tl-trail T*)] **and** *M* = *this*(*2*)[*symmetric*]
    and *bt* = *this*(*3*)
  then show *?case* **by** *auto*
next
  case (*proped L l M*) **note** *IH* = *this*(*1*)[*of tl-trail T*] **and** *M* = *this*(*2*)[*symmetric*] **and** *bt* = *this*(*3*)
  then show *?case* **by** *auto*
qed

lemma *cut-trail-wrt-clause-get-all-levels-of-marked*:
  assumes *get-all-levels-of-marked* (*trail T*) = *rev* [*Suc 0*..<
    Suc (*length* (*get-all-levels-of-marked* (*trail T*)))]
  shows
    get-all-levels-of-marked (*trail* ((*cut-trail-wrt-clause C* (*trail T*) *T*))) = *rev* [*Suc 0*..<
    Suc (*length* (*get-all-levels-of-marked* (*trail* ((*cut-trail-wrt-clause C* (*trail T*) *T*)))))]
  using *assms*
proof (*induction trail T arbitrary*:*T rule*: *marked-lit-list-induct*)
  case *nil*
  then show *?case* **by** *simp*
next
  case (*marked L l M*) **note** *IH* = *this*(*1*)[*of decr-bt-lvl* (*tl-trail T*)] **and** *M* = *this*(*2*)[*symmetric*]
    and *bt* = *this*(*3*)
  then show *?case* **by** (*cases count C L* = *0*) *auto*

```
next
  case (proped L l M) note IH = this(1)[of tl-trail T] and M = this(2)[symmetric] and bt = this(3)
  then show ?case by (cases count C L = 0) auto
qed

lemma cut-trail-wrt-clause-CNot-trail:
  assumes trail T ⊨as CNot C
  shows
    (trail ((cut-trail-wrt-clause C (trail T) T))) ⊨as CNot C
  using assms
proof (induction trail T arbitrary:T rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L l M) note IH = this(1)[of decr-bt-lvl (tl-trail T)] and M = this(2)[symmetric]
    and bt = this(3)
  show ?case
    proof (cases count C (−L) = 0)
      case False
      then show ?thesis
        using IH M bt by (auto simp: true-annots-true-cls)
    next
      case True
      obtain mma :: 'v literal multiset where
        f6: (mma ∈ {{#− l#} |l. l ∈# C} ⟶ M ⊨a mma) ⟶ M ⊨as {{#− l#} |l. l ∈# C}
        using true-annots-def by moura
      have mma ∈ {{#− l#} |l. l ∈# C} ⟶ trail T ⊨a mma
        using CNot-def M bt by (metis (no-types) true-annots-def)
      then have M ⊨as {{#− l#} |l. l ∈# C}
        using f6 True M bt by force
      then show ?thesis
        using IH true-annots-true-cls M by (auto simp: CNot-def)
    qed
next
  case (proped L l M) note IH = this(1)[of tl-trail T] and M = this(2)[symmetric] and bt = this(3)
  show ?case
    proof (cases count C (−L) = 0)
      case False
      then show ?thesis
        using IH M bt by (auto simp: true-annots-true-cls)
    next
      case True
      obtain mma :: 'v literal multiset where
        f6: (mma ∈ {{#− l#} |l. l ∈# C} ⟶ M ⊨a mma) ⟶ M ⊨as {{#− l#} |l. l ∈# C}
        using true-annots-def by moura
      have mma ∈ {{#− l#} |l. l ∈# C} ⟶ trail T ⊨a mma
        using CNot-def M bt by (metis (no-types) true-annots-def)
      then have M ⊨as {{#− l#} |l. l ∈# C}
        using f6 True M bt by force
      then show ?thesis
        using IH true-annots-true-cls M by (auto simp: CNot-def)
    qed
qed

lemma cut-trail-wrt-clause-hd-trail-in-or-empty-trail:
```

((∀ *L* ∈#*C*. −*L* ∉ *lits-of* (*trail T*)) ∧ *trail* (*cut-trail-wrt-clause C* (*trail T*) *T*) = [])
  ∨ (−*lit-of* (*hd* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*))) ∈# *C*
    ∧ *length* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)) ≥ *1*)
 using *assms*
proof (*induction trail T arbitrary*:*T rule*: *marked-lit-list-induct*)
 case *nil*
 then show *?case* **by** *simp*
next
 case (*marked L l M*) **note** *IH* = *this*(*1*)[*of decr-bt-lvl* (*tl-trail T*)] **and** *M* = *this*(*2*)[*symmetric*]
 then show *?case* **by** *simp force*
next
 case (*proped L l M*) **note** *IH* = *this*(*1*)[*of tl-trail T*] **and** *M* = *this*(*2*)[*symmetric*]
 then show *?case* **by** *simp force*
qed

We can fully run $cdcl_W$*-s* or add a clause. Remark that we use $cdcl_W$*-s* to avoid an explicit *skip*, *resolve*, and *backtrack* normalisation to get rid of the conflict *C* if possible.

inductive *incremental-*$cdcl_W$ :: *'st* ⇒ *'st* ⇒ *bool* **for** *S* **where**
add-confl:
 trail S ⊨*asm init-clss S* ⟹ *distinct-mset C* ⟹ *conflicting S* = *None* ⟹
  trail S ⊨*as CNot C* ⟹
  full $cdcl_W$*-stgy*
   (*update-conflicting* (*Some C*) (*add-init-cls C* (*cut-trail-wrt-clause C* (*trail S*) *S*))) *T* ⟹
  incremental-$cdcl_W$ *S T* |
add-no-confl:
 trail S ⊨*asm init-clss S* ⟹ *distinct-mset C* ⟹ *conflicting S* = *None* ⟹
  ¬*trail S* ⊨*as CNot C* ⟹
  full $cdcl_W$*-stgy* (*add-init-cls C S*) *T* ⟹
  incremental-$cdcl_W$ *S T*

inductive *add-learned-clss* :: *'st* ⇒ *'v clauses* ⇒ *'st* ⇒ *bool* **for** *S* :: *'st* **where**
add-learned-clss-nil: *add-learned-clss S* {#} *S* |
add-learned-clss-plus:
 add-learned-clss S A T ⟹ *add-learned-clss S* ({#*x*#} + *A*) (*add-learned-cls x T*)
declare *add-learned-clss.intros*[*intro*]

lemma *Ex-add-learned-clss*:
 ∃ *T*. *add-learned-clss S A T*
 by (*induction A arbitrary*: *S rule*: *multiset-induct*) (*auto simp*: *union-commute*[*of* - {#-#}])

lemma *add-learned-clss-trail*:
 assumes *add-learned-clss S U T* **and** *no-dup* (*trail S*)
 shows *trail T* = *trail S*
 using *assms* **by** (*induction rule*: *add-learned-clss.induct*) (*simp-all add*: *ac-simps*)

lemma *add-learned-clss-learned-clss*:
 assumes *add-learned-clss S U T* **and** *no-dup* (*trail S*)
 shows *learned-clss T* = *U* + *learned-clss S*
 using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
 (*auto simp*: *ac-simps dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-init-clss*:
 assumes *add-learned-clss S U T* **and** *no-dup* (*trail S*)
 shows *init-clss T* = *init-clss S*
 using *assms* **by** (*induction rule*: *add-learned-clss.induct*)

(*auto simp*: *ac-simps dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-conflicting*:
  assumes *add-learned-clss S U T* **and** *no-dup* (*trail S*)
  shows *conflicting T* = *conflicting S*
  using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
  (*auto simp*: *ac-simps dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-backtrack-lvl*:
  assumes *add-learned-clss S U T* **and** *no-dup* (*trail S*)
  shows *backtrack-lvl T* = *backtrack-lvl S*
  using *assms* **by** (*induction rule*: *add-learned-clss.induct*)
  (*auto simp*: *ac-simps dest*: *add-learned-clss-trail*)

lemma *add-learned-clss-init-state-mempty*[*dest*!]:
  add-learned-clss (*init-state N*) {#} *T* $\Longrightarrow$ *T* = *init-state N*
  by (*cases rule*: *add-learned-clss.cases*) (*auto simp*: *add-learned-clss.cases*)

For multiset larger that 1 element, there is no way to know in which order the clauses are added. But contrary to a definition *fold-mset*, there is an element.

lemma *add-learned-clss-init-state-single*[*dest*!]:
  add-learned-clss (*init-state N*) {#*C*#} *T* $\Longrightarrow$ *T* = *add-learned-cls C* (*init-state N*)
  by (*induction* {#*C*#} *T rule*: *add-learned-clss.induct*)
  (*auto simp*: *add-learned-clss.cases ac-simps union-is-single split*: *split-if-asm*)

thm *rtranclp-cdcl$_W$-stgy-no-smaller-confl-inv cdcl$_W$-stgy-final-state-conclusive*
lemma *cdcl$_W$-all-struct-inv-add-new-clause-and-update-cdcl$_W$-all-struct-inv*:
  assumes
    inv-T: *cdcl$_W$-all-struct-inv T* **and**
    tr-T-N[*simp*]: *trail T* $\models$*asm N* **and**
    tr-C[*simp*]: *trail T* $\models$*as CNot C* **and**
    [*simp*]: *distinct-mset C*
  shows *cdcl$_W$-all-struct-inv* (*add-new-clause-and-update C T*) (**is** *cdcl$_W$-all-struct-inv ?T'*)
proof –
  let *?T* = *update-conflicting* (*Some C*) (*add-init-cls C* (*cut-trail-wrt-clause C* (*trail T*) *T*))
  obtain *M* **where**
    M: *trail T* = *M* @ *trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)
      using *trail-cut-trail-wrt-clause*[*of T C*] **by** *blast*
  have *H*[*dest*]: $\bigwedge$*x*. *x* ∈ *lits-of* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)) $\Longrightarrow$
    x ∈ *lits-of* (*trail T*)
    using *inv-T arg-cong*[*OF M*, *of lits-of*] **by** *auto*
  have *H'*[*dest*]: $\bigwedge$*x*. *x* ∈ *set* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)) $\Longrightarrow$ *x* ∈ *set* (*trail T*)
    using *inv-T arg-cong*[*OF M*, *of set*] **by** *auto*

  have *H-proped*:$\bigwedge$*x*. *x* ∈ *set* (*get-all-mark-of-propagated* (*trail* (*cut-trail-wrt-clause C* (*trail T*)
    T))) $\Longrightarrow$ *x* ∈ *set* (*get-all-mark-of-propagated* (*trail T*))
  using *inv-T arg-cong*[*OF M*, *of get-all-mark-of-propagated*] **by** *auto*

  have [*simp*]: *no-strange-atm ?T*
    using *inv-T* **unfolding** *cdcl$_W$-all-struct-inv-def no-strange-atm-def add-new-clause-and-update-def*
    cdcl$_W$-M-level-inv-def
    by (*auto dest*!: *H H'*)

  have *M-lev*: *cdcl$_W$-M-level-inv T*
    using *inv-T* **unfolding** *cdcl$_W$-all-struct-inv-def* **by** *blast*

then have *no-dup* (*M* @ *trail* (*cut-trail-wrt-clause C* (*trail T*) *T*))
  unfolding *cdcl*$_W$*-M-level-inv-def* **unfolding** *M*[*symmetric*] **by** *auto*
then have [*simp*]: *no-dup* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*))
  by *auto*

have *consistent-interp* (*lits-of* (*M* @ *trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)))
  using *M-lev* **unfolding** *cdcl*$_W$*-M-level-inv-def* **unfolding** *M*[*symmetric*] **by** *auto*
then have [*simp*]: *consistent-interp* (*lits-of* (*trail* (*cut-trail-wrt-clause C* (*trail T*) *T*)))
  unfolding *consistent-interp-def* **by** *auto*

have [*simp*]: *cdcl*$_W$*-M-level-inv ?T*

  using *M-lev cut-trail-wrt-clause-get-all-levels-of-marked*[*of T C*]
  unfolding *cdcl*$_W$*-M-level-inv-def* **by** (*auto dest*: *H H'*
    simp: *M-lev cdcl*$_W$*-M-level-inv-def cut-trail-wrt-clause-backtrack-lvl-length-marked*)

have [*simp*]: $\bigwedge$*s*. *s* ∈# *learned-clss T* ⟹ ¬*tautology s*
  using *inv-T* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*

have *distinct-cdcl*$_W$*-state T*
  using *inv-T* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
then have [*simp*]: *distinct-cdcl*$_W$*-state ?T*
  unfolding *distinct-cdcl*$_W$*-state-def* **by** *auto*

have *cdcl*$_W$*-conflicting T*
  using *inv-T* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
have *trail ?T* ⊨*as CNot C*
   by (*simp add*: *cut-trail-wrt-clause-CNot-trail*)
then have [*simp*]: *cdcl*$_W$*-conflicting ?T*
  unfolding *cdcl*$_W$*-conflicting-def* **apply** *simp*
  by (*metis M* ‹*cdcl*$_W$*-conflicting T*› *append-assoc cdcl*$_W$*-conflicting-decomp*(*2*))

have
  decomp-T: *all-decomposition-implies-m* (*init-clss T*) (*get-all-marked-decomposition* (*trail T*))
  using *inv-T* **unfolding** *cdcl*$_W$*-all-struct-inv-def* **by** *auto*
have *all-decomposition-implies-m* (*init-clss ?T*)
  (*get-all-marked-decomposition* (*trail ?T*))
  unfolding *all-decomposition-implies-def*
  proof *clarify*
    fix *a b*
    assume (*a*, *b*) ∈ *set* (*get-all-marked-decomposition* (*trail ?T*))
    from *in-get-all-marked-decomposition-in-get-all-marked-decomposition-prepend*[*OF this*, *of M*]
    obtain *b'* **where**
      (*a*, *b'* @ *b*) ∈ *set* (*get-all-marked-decomposition* (*trail T*))
      using *M* **by** *auto*
    then have *unmark a* ∪ *set-mset* (*init-clss T*) ⊨*ps unmark* (*b'* @ *b*)
      using *decomp-T* **unfolding** *all-decomposition-implies-def* **by** *fastforce*
    then have *unmark a* ∪ *set-mset* (*init-clss ?T*)
        ⊨*ps unmark* (*b* @ *b'*)
      by (*simp add*: *Un-commute*)
    then show *unmark a* ∪ *set-mset* (*init-clss ?T*)
      ⊨*ps unmark b*
      by (*auto simp*: *image-Un*)
  qed

```
  have [simp]: cdcl_W-learned-clause ?T
    using inv-T unfolding cdcl_W-all-struct-inv-def cdcl_W-learned-clause-def
    by (auto dest!: H-proped simp: clauses-def)
  show ?thesis
    using ‹all-decomposition-implies-m (init-clss ?T)
    (get-all-marked-decomposition (trail ?T))›
    unfolding cdcl_W-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
qed

lemma cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-stgy-inv:
  assumes
    inv-s: cdcl_W-stgy-invariant T and
    inv: cdcl_W-all-struct-inv T and
    tr-T-N[simp]: trail T ⊨asm N and
    tr-C[simp]: trail T ⊨as CNot C and
    [simp]: distinct-mset C
  shows cdcl_W-stgy-invariant (add-new-clause-and-update C T) (is cdcl_W-stgy-invariant ?T')
proof -
  have cdcl_W-all-struct-inv ?T'
    using cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv assms by blast
  then have
    no-dup-cut-T[simp]: no-dup (trail (cut-trail-wrt-clause C (trail T) T)) and
    n-d[simp]: no-dup (trail T)
    using cdcl_W-M-level-inv-decomp(2) cdcl_W-all-struct-inv-def inv
    n-dup-no-dup-trail-cut-trail-wrt-clause by blast+
  then have trail (add-new-clause-and-update C T) ⊨as CNot C
    by (simp add: add-new-clause-and-update-def cut-trail-wrt-clause-CNot-trail
      cdcl_W-M-level-inv-def cdcl_W-all-struct-inv-def)
  obtain MT where
    MT: trail T = MT @  trail (cut-trail-wrt-clause C (trail T) T)
    using trail-cut-trail-wrt-clause by blast
  consider
      (false) ∀ L∈#C. − L ∉ lits-of (trail T) andtrail (cut-trail-wrt-clause C (trail T) T) = []
    | (not-false) − lit-of (hd (trail (cut-trail-wrt-clause C (trail T) T))) ∈# C and
      1 ≤ length (trail (cut-trail-wrt-clause C (trail T) T))
    using cut-trail-wrt-clause-hd-trail-in-or-empty-trail[of C T] by auto
  then show ?thesis
    proof cases
      case false note C = this(1) and empty-tr = this(2)
      then have [simp]: C = {#}
        by (simp add: in-CNot-implies-uminus(2) multiset-eqI)
      show ?thesis
        using empty-tr unfolding cdcl_W-stgy-invariant-def no-smaller-confl-def
        cdcl_W-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
    next
      case not-false note C = this(1) and l = this(2)
      let ?L = − lit-of (hd (trail (cut-trail-wrt-clause C (trail T) T)))
      have get-all-levels-of-marked (trail (add-new-clause-and-update C T)) =
        rev [1..<1 + length (get-all-levels-of-marked (trail (add-new-clause-and-update C T)))]
        using ‹cdcl_W-all-struct-inv ?T'› unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
        by blast
      moreover
        have backtrack-lvl (cut-trail-wrt-clause C (trail T) T) =
          length (get-all-levels-of-marked (trail (add-new-clause-and-update C T)))
          using ‹cdcl_W-all-struct-inv ?T'› unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
    by (auto simp:add-new-clause-and-update-def)
moreover
  have no-dup (trail (cut-trail-wrt-clause C (trail T) T))
    using ‹cdcl_W-all-struct-inv ?T'› unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
    by (auto simp:add-new-clause-and-update-def)
  then have atm-of ?L ∉ atm-of ‘ lits-of (tl (trail (cut-trail-wrt-clause C (trail T) T)))
    apply (cases trail (cut-trail-wrt-clause C (trail T) T))
    apply (auto)
    using Marked-Propagated-in-iff-in-lits-of defined-lit-map by blast

ultimately have L: get-level (trail (cut-trail-wrt-clause C (trail T) T)) (−?L)
  = length (get-all-levels-of-marked (trail (cut-trail-wrt-clause C (trail T) T)))
  using get-level-get-rev-level-get-all-levels-of-marked[OF
    ‹atm-of ?L ∉ atm-of ‘ lits-of (tl (trail (cut-trail-wrt-clause C (trail T) T)))›,
    of [hd (trail (cut-trail-wrt-clause C (trail T) T))]]

    apply (cases trail (add-init-cls C (cut-trail-wrt-clause C (trail T) T));
     cases hd (trail (cut-trail-wrt-clause C (trail T) T)))
    using l by (auto split: split-if-asm
      simp:rev-swap[symmetric] add-new-clause-and-update-def)

have L': length (get-all-levels-of-marked (trail (cut-trail-wrt-clause C (trail T) T)))
  = backtrack-lvl (cut-trail-wrt-clause C (trail T) T)
  using ‹cdcl_W-all-struct-inv ?T'› unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
  by (auto simp:add-new-clause-and-update-def)

have [simp]: no-smaller-confl (update-conflicting (Some C)
  (add-init-cls C (cut-trail-wrt-clause C (trail T) T)))
  unfolding no-smaller-confl-def
proof (clarify, goal-cases)
  case (1 M K i M' D)
  then consider
      (DC) D = C
    | (D-T) D ∈# clauses T
    by (auto simp: clauses-def split: split-if-asm)
  then show False
    proof cases
      case D-T
      have no-smaller-confl T
        using inv-s unfolding cdcl_W-stgy-invariant-def by auto
      have (MT @ M') @ Marked K i # M = trail T
        using MT 1(1) by auto
      thus False using D-T ‹no-smaller-confl T› 1(3) unfolding no-smaller-confl-def by blast
    next
      case DC note -[simp] = this
      then have atm-of (−?L) ∈ atm-of ‘ (lits-of M)
        using 1(3) C in-CNot-implies-uminus(2) by blast
      moreover
        have lit-of (hd (M' @ Marked K i # [])) = −?L
          using l 1(1)[symmetric] inv
          by (cases trail (add-init-cls C (cut-trail-wrt-clause C (trail T) T)))
          (auto dest!: arg-cong[of - # - - hd] simp: hd-append cdcl_W-all-struct-inv-def
            cdcl_W-M-level-inv-def)
        from arg-cong[OF this, of atm-of]
        have atm-of (−?L) ∈ atm-of ‘ (lits-of (M' @ Marked K i # []))
```

```
          by (cases (M' @ Marked K i # [])) auto
        moreover have no-dup (trail (cut-trail-wrt-clause C (trail T) T))
          using ‹cdcl_W-all-struct-inv ?T'› unfolding cdcl_W-all-struct-inv-def
          cdcl_W-M-level-inv-def by (auto simp: add-new-clause-and-update-def)
        ultimately show False
          unfolding 1(1)[symmetric, simplified]
          apply auto
          using Marked-Propagated-in-iff-in-lits-of defined-lit-map apply blast
          by (metis IntI Marked-Propagated-in-iff-in-lits-of defined-lit-map empty-iff)
      qed
    qed
    show ?thesis using L L' C
      unfolding cdcl_W-stgy-invariant-def
      unfolding cdcl_W-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
  qed
qed

lemma full-cdcl_W-stgy-inv-normal-form:
  assumes
    full: full cdcl_W-stgy S T and
    inv-s: cdcl_W-stgy-invariant S and
    inv: cdcl_W-all-struct-inv S
  shows conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-clss S))
    ∨ conflicting T = None ∧ trail T ⊨asm init-clss S ∧ satisfiable (set-mset (init-clss S))
proof –
  have no-step cdcl_W-stgy T
    using full unfolding full-def by blast
  moreover have cdcl_W-all-struct-inv T and inv-s: cdcl_W-stgy-invariant T
    apply (metis cdcl_W.rtranclp-cdcl_W-stgy-rtranclp-cdcl_W cdcl_W-axioms full full-def inv
      rtranclp-cdcl_W-all-struct-inv-inv)
    by (metis full full-def inv inv-s rtranclp-cdcl_W-stgy-cdcl_W-stgy-invariant)
  ultimately have conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-clss T))
    ∨ conflicting T = None ∧ trail T ⊨asm init-clss T
    using cdcl_W-stgy-final-state-conclusive[of T] full
    unfolding cdcl_W-all-struct-inv-def cdcl_W-stgy-invariant-def full-def by fast
  moreover have consistent-interp (lits-of (trail T))
    using ‹cdcl_W-all-struct-inv T› unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def
    by auto
  moreover have init-clss S = init-clss T
    using inv unfolding cdcl_W-all-struct-inv-def
    by (metis rtranclp-cdcl_W-stgy-no-more-init-clss full full-def)
  ultimately show ?thesis
    by (metis satisfiable-carac' true-annot-def true-annots-def true-clss-def)
qed

lemma incremental-cdcl_W-inv:
  assumes
    inc: incremental-cdcl_W S T and
    inv: cdcl_W-all-struct-inv S and
    s-inv: cdcl_W-stgy-invariant S
  shows
    cdcl_W-all-struct-inv T and
    cdcl_W-stgy-invariant T
  using inc
proof (induction)
```

```
  case (add-confl C T)
  let ?T = (update-conflicting (Some C) (add-init-cls C (cut-trail-wrt-clause C (trail S) S)))
  have cdcl_W-all-struct-inv ?T and inv-s-T: cdcl_W-stgy-invariant ?T
    using add-confl.hyps(1,2,4) add-new-clause-and-update-def
    cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv inv apply auto[1]
    using add-confl.hyps(1,2,4) add-new-clause-and-update-def
    cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-stgy-inv inv s-inv by auto
  case 1 show ?case
     by (metis add-confl.hyps(1,2,4,5) add-new-clause-and-update-def
       cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv
       rtranclp-cdcl_W-all-struct-inv-inv rtranclp-cdcl_W-stgy-rtranclp-cdcl_W full-def inv)

  case 2  show ?case
    by (metis inv-s-T add-confl.hyps(1,2,4,5) add-new-clause-and-update-def
      cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv full-def inv
      rtranclp-cdcl_W-stgy-cdcl_W-stgy-invariant)
next
  case (add-no-confl C T)
  case 1
  have cdcl_W-all-struct-inv (add-init-cls C S)
    using inv ‹distinct-mset C› unfolding cdcl_W-all-struct-inv-def no-strange-atm-def
    cdcl_W-M-level-inv-def distinct-cdcl_W-state-def cdcl_W-conflicting-def cdcl_W-learned-clause-def
    by (auto simp: all-decomposition-implies-insert-single clauses-def)
  then show ?case
    using add-no-confl(5) unfolding full-def by (auto intro: rtranclp-cdcl_W-stgy-cdcl_W-all-struct-inv)
  case 2 have cdcl_W-stgy-invariant (add-init-cls C S)
    using s-inv ‹¬ trail S ⊨as CNot C› inv unfolding cdcl_W-stgy-invariant-def no-smaller-confl-def
    eq-commute[of - trail -] cdcl_W-M-level-inv-def cdcl_W-all-struct-inv-def
    by (auto simp: true-annots-true-cls-def-iff-negation-in-model clauses-def split: split-if-asm)
  then show ?case
    by (metis ‹cdcl_W-all-struct-inv (add-init-cls C S)› add-no-confl.hyps(5) full-def
      rtranclp-cdcl_W-stgy-cdcl_W-stgy-invariant)
qed

lemma rtranclp-incremental-cdcl_W-inv:
  assumes
    inc: incremental-cdcl_W** S T and
    inv: cdcl_W-all-struct-inv S and
    s-inv: cdcl_W-stgy-invariant S
  shows
    cdcl_W-all-struct-inv T and
    cdcl_W-stgy-invariant T
     using inc apply induction
    using inv apply simp
   using s-inv apply simp
  using incremental-cdcl_W-inv by blast+

lemma incremental-conclusive-state:
  assumes
    inc: incremental-cdcl_W S T and
    inv: cdcl_W-all-struct-inv S and
    s-inv: cdcl_W-stgy-invariant S
  shows conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-clss T))
    ∨ conflicting T = None ∧ trail T ⊨asm init-clss T ∧ satisfiable (set-mset (init-clss T))
  using inc apply induction
```

apply (*metis Nitpick.rtranclp-unfold add-confl full-cdcl*$_W$*-stgy-inv-normal-form full-def incremental-cdcl*$_W$*-inv*(*1*) *incremental-cdcl*$_W$*-inv*(*2*) *inv s-inv*)
by (*metis* (*full-types*) *rtranclp-unfold add-no-confl full-cdcl*$_W$*-stgy-inv-normal-form full-def incremental-cdcl*$_W$*-inv*(*1*) *incremental-cdcl*$_W$*-inv*(*2*) *inv s-inv*)

lemma *tranclp-incremental-correct*:
assumes
inc: *incremental-cdcl*$_W$$^{++}$ *S T* **and**
inv: *cdcl*$_W$*-all-struct-inv S* **and**
s-inv: *cdcl*$_W$*-stgy-invariant S*
shows *conflicting T* = *Some* {#} ∧ *unsatisfiable* (*set-mset* (*init-clss T*))
∨ *conflicting T* = *None* ∧ *trail T* ⊨*asm init-clss T* ∧ *satisfiable* (*set-mset* (*init-clss T*))
using *inc* **apply** *induction*
using *assms incremental-conclusive-state* **apply** *blast*
by (*meson incremental-conclusive-state inv rtranclp-incremental-cdcl*$_W$*-inv s-inv tranclp-into-rtranclp*)

lemma *blocked-induction-with-marked*:
assumes
n-d: *no-dup* (*L* # *M*) **and**
nil: *P* [] **and**
append: ⋀*M L M'*. *P M* ⟹ *is-marked L* ⟹ ∀ *m* ∈ *set M'*. ¬*is-marked m* ⟹ *no-dup* (*L* # *M'* @ *M*) ⟹
P (*L* # *M'* @ *M*) **and**
L: *is-marked L*
shows
P (*L* # *M*)
using *n-d L*
proof (*induction card* {*L'* ∈ *set M*. *is-marked L'*} *arbitrary*: *L M*)
case *0* **note** *n* = *this*(*1*) **and** *n-d* = *this*(*2*) **and** *L* = *this*(*3*)
then have ∀ *m* ∈ *set M*. ¬*is-marked m* **by** *auto*
then show *?case* **using** *append*[*of* [] *L M*] *L nil n-d* **by** *auto*
next
case (*Suc n*) **note** *IH* = *this*(*1*) **and** *n* = *this*(*2*) **and** *n-d* = *this*(*3*) **and** *L* = *this*(*4*)
have ∃ *L'* ∈ *set M*. *is-marked L'*
proof (*rule ccontr*)
assume ¬*?thesis*
then have *H*: {*L'* ∈ *set M*. *is-marked L'*} = {}
by *auto*
show *False* **using** *n* **unfolding** *H* **by** *auto*
qed
then obtain *L' M' M''* **where**
M: *M* = *M'* @ *L'* # *M''* **and**
L': *is-marked L'* **and**
nm: ∀ *m*∈*set M'*. ¬*is-marked m*
by (*auto elim*!: *split-list-first-propE*)
have *Suc n* = *card* {*L'* ∈ *set M*. *is-marked L'*}
using *n* **.**
moreover have {*L'* ∈ *set M*. *is-marked L'*} = {*L'*} ∪ {*L'* ∈ *set M''*. *is-marked L'*}
using *nm L' n-d* **unfolding** *M* **by** *auto*
moreover have *L'* ∉ {*L'* ∈ *set M''*. *is-marked L'*}
using *n-d* **unfolding** *M* **by** *auto*
ultimately have *n* = *card* {*L''* ∈ *set M''*. *is-marked L''*}
using *n L'* **by** *auto*

```
  then have P (L' # M'') using IH L' n-d M by auto
  then show ?case using append[of L' # M'' L M'] nm L n-d unfolding M by blast
qed

lemma trail-bloc-induction:
  assumes
    n-d: no-dup M and
    nil: P [] and
    append: ⋀M L M'. P M ⟹ is-marked L ⟹ ∀ m ∈ set M'. ¬is-marked m ⟹ no-dup (L # M' @
M) ⟹
      P (L # M' @ M) and
    append-nm: ⋀M' M''. P M' ⟹ M = M'' @  M' ⟹ ∀ m∈set M''. ¬is-marked m ⟹ P M
  shows
    P M
proof (cases {L' ∈ set M. is-marked L'} = {})
  case True
  then show ?thesis using append-nm[of [] M] nil by auto
next
  case False
  then have ∃ L' ∈ set M. is-marked L'
    by auto
  then obtain L' M' M'' where
    M: M = M' @ L' # M'' and
    L': is-marked L' and
    nm: ∀ m∈set M'. ¬is-marked m
    by (auto elim!: split-list-first-propE)
  have P (L' # M'')
    apply (rule blocked-induction-with-marked)
       using n-d unfolding M apply simp
      using nil apply simp
     using append apply simp
    using L' by auto
  then show ?thesis
    using append-nm[of - M'] nm  unfolding M by simp
qed

inductive Tcons :: ('v, nat, 'v clause) marked-lits ⇒('v, nat, 'v clause) marked-lits ⇒ bool
  for M :: ('v, nat, 'v clause) marked-lits where
Tcons M [] |
Tcons M M' ⟹ M = M'' @ M' ⟹ (∀ m ∈ set M''. ¬is-marked m) ⟹ Tcons M (M'' @ M') |
Tcons M M' ⟹ is-marked L ⟹ M = M''' @ L # M'' @ M' ⟹ (∀ m ∈ set M''. ¬is-marked m) ⟹
  Tcons M (L # M'' @ M')

lemma Tcons-same-end: Tcons M M' ⟹ ∃ M''. M = M'' @ M'
  by (induction rule: Tcons.induct) auto

end

end
```

21 2-Watched-Literal

```
theory CDCL-Two-Watched-Literals
imports CDCL-WNOT
begin
```

21.1 Datastructure and Access Functions

Only the 2-watched literals have to be verified here: the backtrack level and the trail that appear in the state are not related to the 2-watched algoritm.

datatype *'v twl-clause* =
  TWL-Clause (*watched*: *'v*) (*unwatched*: *'v*)

abbreviation *raw-clause* :: *'v clause twl-clause* ⇒ *'v clause* **where**
  raw-clause C ≡ *watched C* + *unwatched C*

datatype (*'a*, *'b*, *'c*, *'d*) *twl-state* =
  TWL-State (*trail*: *'a list*) (*init-clss*: *'b*)
    (*learned-clss*: *'b*) (*backtrack-lvl*: *'c*)
    (*conflicting*: *'d option*)

type-synonym (*'v*, *'lvl*, *'mark*) *twl-state-abs* =
  ((*'v*, *'lvl*, *'mark*) *marked-lit*, *'v clause twl-clause multiset*, *'lvl*, *'v clause*) *twl-state*

abbreviation *raw-init-clss* **where**
  raw-init-clss S ≡ *image-mset raw-clause* (*init-clss S*)

abbreviation *raw-learned-clss* **where**
  raw-learned-clss S ≡ *image-mset raw-clause* (*learned-clss S*)

abbreviation *clauses* **where**
  clauses S ≡ *init-clss S* + *learned-clss S*

abbreviation *raw-clauses* **where**
  raw-clauses S ≡ *image-mset raw-clause* (*clauses S*)

definition
  candidates-propagate :: (*'v*, *'lvl*, *'mark*) *twl-state-abs* ⇒ (*'v literal* × *'v clause*) *set*
where
  candidates-propagate S =
   {(*L*, *raw-clause C*) | *L C*.
    C ∈# *clauses S* ∧ *watched C* − *mset-set* (*uminus* ‘ *lits-of* (*trail S*)) = {#*L*#} ∧
    undefined-lit (*trail S*) *L*}

definition *candidates-conflict* :: (*'v*, *'lvl*, *'mark*) *twl-state-abs* ⇒ *'v clause set* **where**
  candidates-conflict S =
   {*raw-clause C* | *C*. *C* ∈# *clauses S* ∧ *watched C* ⊆# *mset-set* (*uminus* ‘ *lits-of* (*trail S*))}

primrec (*nonexhaustive*) *index* :: *'a list* ⇒*'a* ⇒ *nat* **where**
index (*a* # *l*) *c* = (*if a* = *c then 0 else 1*+*index l c*)

lemma *index-nth*:
  a ∈ *set l* ⟹ *l* ! (*index l a*) = *a*
  by (*induction l*) *auto*

21.2 Invariants

We need the following property about updates: if there is a literal L with $-L$ in the trail, and L is not watched, then it stays unwatched; i.e., while updating with *rewatch* it does not get swap with a watched literal L' such that $-L'$ is in the trail.

```
primrec watched-decided-most-recently :: ('v, 'lvl, 'mark) marked-lit list ⇒ 'v clause twl-clause
  ⇒ bool
  where
watched-decided-most-recently M (TWL-Clause W UW) ⟷
  (∀ L'∈# W. ∀ L∈# UW.
    −L' ∈ lits-of M ⟶ −L ∈ lits-of M ⟶ L ∉# W ⟶
      index (map lit-of M) (−L') ≤ index (map lit-of M) (−L))
```

Here are the invariant strictly related to the 2-WL data structure.

```
primrec wf-twl-cls :: ('v, 'lvl, 'mark) marked-lit list ⇒ 'v clause twl-clause ⇒ bool where
  wf-twl-cls M (TWL-Clause W UW) ⟷
   distinct-mset W ∧ size W ≤ 2 ∧ (size W < 2 ⟶ set-mset UW ⊆ set-mset W) ∧
   (∀ L ∈# W. −L ∈ lits-of M ⟶ (∀ L' ∈# UW. L' ∉# W ⟶ −L' ∈ lits-of M)) ∧
   watched-decided-most-recently M (TWL-Clause W UW)

lemma −L ∈ lits-of M ⟹ {i. map lit-of M!i = −L} ≠ {}
  unfolding set-map-lit-of-lits-of[symmetric] set-conv-nth
  by (smt Collect-empty-eq mem-Collect-eq)

lemma size-mset-2: size x1 = 2 ⟷ (∃ a b. x1 = {#a, b#})
  by (metis (no-types, hide-lams) Suc-eq-plus1 one-add-one size-1-singleton-mset
  size-Diff-singleton size-Suc-Diff1 size-eq-Suc-imp-eq-union size-single union-single-eq-diff
  union-single-eq-member)

lemma distinct-mset-size-2: distinct-mset {#a, b#} ⟷ a ≠ b
  unfolding distinct-mset-def by auto

lemma wf-twl-cls-annotation-indepnedant:
  assumes M: map lit-of M = map lit-of M'
  shows wf-twl-cls M (TWL-Clause W UW) ⟷ wf-twl-cls M' (TWL-Clause W UW)
proof −
  have lits-of M = lits-of M'
    using arg-cong[OF M, of set] by (simp add: lits-of-def)
  then show ?thesis
    by (simp add: lits-of-def M)
qed

lemma wf-twl-cls-wf-twl-cls-tl:
  assumes wf: wf-twl-cls M C and n-d: no-dup M
  shows wf-twl-cls (tl M) C
proof (cases M)
  case Nil
  then show ?thesis using wf
    by (cases C) (simp add: wf-twl-cls.simps[of tl -])
next
  case (Cons l M') note M = this(1)
  obtain W UW where C: C = TWL-Clause W UW
    by (cases C)
  { fix L L'
    assume
      LW: L ∈# W and
      LM: − L ∈ lits-of M' and
      L'UW: L' ∈# UW and
      count W L' = 0
    then have
```

```
      L'M: − L' ∈ lits-of M
      using wf by (auto simp: C M)
    have watched-decided-most-recently M C
      using wf by (auto simp: C)
    then have
      index (map lit-of M) (−L) ≤ index (map lit-of M) (−L')
      using LM L'M L'UW LW ‹count W L' = 0›
      by (metis (no-types, lifting) C M bspec-mset insert-iff less-not-refl2 lits-of-cons
        watched-decided-most-recently.simps)
    then have − L' ∈ lits-of M'
      using ‹count W L' = 0› LW L'M by (auto simp: C M split: split-if-asm)
  }
  moreover
    {
      fix L' L
      assume
        L' ∈# W and
        L ∈# UW and
        L'M: − L' ∈ lits-of M' and
        − L ∈ lits-of M' and
        L ∉# W
      moreover
        have lit-of l ≠ − L'
        using n-d unfolding M
          by (metis (no-types) L'M M Marked-Propagated-in-iff-in-lits-of defined-lit-map
            distinct.simps(2) list.simps(9) set-map)
      moreover have watched-decided-most-recently M C
        using wf by (auto simp: C)
      ultimately have index (map lit-of M') (− L') ≤ index (map lit-of M') (− L)
        by (fastforce simp: M C split: split-if-asm)
    }
  moreover have distinct-mset W and size W ≤ 2 and (size W < 2 ⟶ set-mset UW ⊆ set-mset
W)
    using wf by (auto simp: C M)
  ultimately show ?thesis by (auto simp add: M C)
qed

definition wf-twl-state :: ('v, 'lvl, 'mark) twl-state-abs ⇒ bool where
  wf-twl-state S ⟷ (∀ C ∈# clauses S. wf-twl-cls (trail S) C) ∧ no-dup (trail S)

lemma wf-candidates-propagate-sound:
  assumes wf: wf-twl-state S and
    cand: (L, C) ∈ candidates-propagate S
  shows trail S ⊨as CNot (mset-set (set-mset C − {L})) ∧ undefined-lit (trail S) L
proof
  def M ≡ trail S
  def N ≡ init-clss S
  def U ≡ learned-clss S

  note MNU-defs [simp] = M-def N-def U-def

  obtain Cw where cw:
    C = raw-clause Cw
    Cw ∈# N + U
    watched Cw − mset-set (uminus ‘ lits-of M) = {#L#}
    undefined-lit M L
    using cand unfolding candidates-propagate-def MNU-defs by blast

  obtain W UW where cw-eq: Cw = TWL-Clause W UW
    by (cases Cw, blast)

  have l-w: L ∈# W
    by (metis Multiset.diff-le-self cw(3) cw-eq mset-leD multi-member-last twl-clause.sel(1))

  have wf-c: wf-twl-cls M Cw
    using wf ‹Cw ∈# N + U› unfolding wf-twl-state-def by simp

  have w-nw:
    distinct-mset W
    size W < 2 ⟹ set-mset UW ⊆ set-mset W
    ⋀L L'. L ∈# W ⟹ −L ∈ lits-of M ⟹ L' ∈# UW ⟹ L' ∉# W ⟹ −L' ∈ lits-of M
   using wf-c unfolding cw-eq by auto

  have ∀L' ∈ set-mset C − {L}. −L' ∈ lits-of M
  proof (cases size W < 2)
    case True
    moreover have size W ≠ 0
      using cw(3) cw-eq by auto
    ultimately have size W = 1
      by linarith
    then have w: W = {#L#}
      by (metis (no-types, lifting) Multiset.diff-le-self cw(3) cw-eq single-not-empty
        size-1-singleton-mset subset-mset.add-diff-inverse union-is-single twl-clause.sel(1))
    from True have set-mset UW ⊆ set-mset W
      using w-nw(2) by blast
    then show ?thesis
      using w cw(1) cw-eq by auto
  next
    case sz2: False
    show ?thesis
    proof
      fix L'
      assume l': L' ∈ set-mset C − {L}
      have ex-la: ∃La. La ≠ L ∧ La ∈# W
      proof (cases W)
        case empty
        thus ?thesis
          using l-w by auto
      next
        case lb: (add W' Lb)
        show ?thesis
        proof (cases W')
          case empty
          thus ?thesis
            using lb sz2 by simp
        next
          case lc: (add W'' Lc)
          thus ?thesis
            by (metis add-gr-0 count-union distinct-mset-single-add lb union-single-eq-member
              w-nw(1))
          qed
        qed
        then obtain La where la: La ≠ L La ∈# W
          by blast
        then have La ∈# mset-set (uminus ‘ lits-of M)
          using cw(3)[unfolded cw-eq, simplified, folded M-def]
          by (metis count-diff count-single diff-zero not-gr0)
        then have nla: −La ∈ lits-of M
          by auto
        then show −L′ ∈ lits-of M

        proof −
          have f1: L′ ∈ set-mset C
            using l′ by blast
          have f2: L′ ∉ {L}
            using l′ by fastforce
          have ⋀l L. − (l::′a literal) ∈ L ∨ l ∉ uminus ‘ L
            by force
          then have ⋀l. − l ∈ lits-of M ∨ count {#L#} l = count (C − UW) l
            by (metis (no-types) add-diff-cancel-right′ count-diff count-mset-set(3) cw(1) cw(3)
                cw-eq diff-zero twl-clause.sel(2))
          then show ?thesis
            by (smt comm-monoid-add-class.add-0 cw(1) cw-eq diff-union-cancelR ex-la f1 f2 insertCI
              less-numeral-extra(3) mem-set-mset-iff plus-multiset.rep-eq single.rep-eq
              twl-clause.sel(1) twl-clause.sel(2) w-nw(3))
        qed
      qed
    qed
    then show trail S ⊨as CNot (mset-set (set-mset C − {L}))
      unfolding true-annots-def by auto

    show undefined-lit (trail S) L
      using cw(4) M-def by blast
  qed

  lemma wf-candidates-propagate-complete:
    assumes wf: wf-twl-state S and
      c-mem: C ∈# raw-clauses S and
      l-mem: L ∈# C and
      unsat: trail S ⊨as CNot (mset-set (set-mset C − {L})) and
      undef: undefined-lit (trail S) L
    shows (L, C) ∈ candidates-propagate S
  proof −
    def M ≡ trail S
    def N ≡ init-clss S
    def U ≡ learned-clss S

    note MNU-defs [simp] = M-def N-def U-def

    obtain Cw where cw: C = raw-clause Cw Cw ∈# N + U
      using c-mem by force

    obtain W UW where cw-eq: Cw = TWL-Clause W UW
      by (cases Cw, blast)
```

```
have wf-c: wf-twl-cls M Cw
  using wf cw(2) unfolding wf-twl-state-def by simp

have w-nw:
  distinct-mset W
  size W < 2 ⟹ set-mset UW ⊆ set-mset W
  ⋀L L'. L ∈# W ⟹ −L ∈ lits-of M ⟹ L' ∈# UW ⟹ L' ∉# W ⟹ −L' ∈ lits-of M
 using wf-c unfolding cw-eq by auto

have unit-set: set-mset (W − mset-set (uminus ` lits-of M)) = {L}
proof
  show set-mset (W − mset-set (uminus ` lits-of M)) ⊆ {L}
  proof
    fix L'
    assume l': L' ∈ set-mset (W − mset-set (uminus ` lits-of M))
    hence l'-mem-w: L' ∈ set-mset W
      by auto
    have L' ∉ uminus ` lits-of M
      using distinct-mem-diff-mset[OF w-nw(1) l'] by simp
    then have ¬ M ⊨a {#−L'#}
      using image-iff by fastforce
    moreover have L' ∈# C
      using cw(1) cw-eq l'-mem-w by auto
    ultimately have L' = L
      unfolding M-def by (metis unsat[unfolded CNot-def true-annots-def, simplified])
    then show L' ∈ {L}
      by simp
  qed
next
  show {L} ⊆ set-mset (W − mset-set (uminus ` lits-of M))
  proof clarify
    have L ∈# W
    proof (cases W)
      case empty
      thus ?thesis
        using w-nw(2) cw(1) cw-eq l-mem by auto
    next
      case (add W' La)
      thus ?thesis
      proof (cases La = L)
        case True
        thus ?thesis
          using add by simp
      next
        case False
        have −La ∈ lits-of M
          using False add cw(1) cw-eq unsat[unfolded CNot-def true-annots-def, simplified]
          by fastforce
        then show ?thesis
          by (metis M-def Marked-Propagated-in-iff-in-lits-of add add.left-neutral count-union
            cw(1) cw-eq gr0I l-mem twl-clause.sel(1) twl-clause.sel(2) undef union-single-eq-member
            w-nw(3))
      qed
    qed
    moreover have L ∉# mset-set (uminus ` lits-of M)
```

```
        using Marked-Propagated-in-iff-in-lits-of undef by auto
      ultimately show L ∈ set-mset (W − mset-set (uminus ‘ lits-of M))
        by auto
    qed
  qed
  have unit: W − mset-set (uminus ‘ lits-of M) = {#L#}
    by (metis distinct-mset-minus distinct-mset-set-mset-ident distinct-mset-singleton
      set-mset-single unit-set w-nw(1))

  show ?thesis
    unfolding candidates-propagate-def using unit undef cw cw-eq by fastforce
qed

lemma wf-candidates-conflict-sound:
  assumes wf: wf-twl-state S and
    cand: C ∈ candidates-conflict S
  shows trail S ⊨as CNot C ∧ C ∈# image-mset raw-clause (clauses S)
proof
  def M ≡ trail S
  def N ≡ init-clss S
  def U ≡ learned-clss S

  note MNU-defs [simp] = M-def N-def U-def

  obtain Cw where cw:
    C = raw-clause Cw
    Cw ∈# N + U
    watched Cw ⊆# mset-set (uminus ‘ lits-of (trail S))
    using cand[unfolded candidates-conflict-def, simplified] by auto

  obtain W UW where cw-eq: Cw = TWL-Clause W UW
    by (cases Cw, blast)

  have wf-c: wf-twl-cls M Cw
    using wf cw(2) unfolding wf-twl-state-def by simp

  have w-nw:
    distinct-mset W
    size W < 2 ⟹ set-mset UW ⊆ set-mset W
    ⋀L L'. L ∈# W ⟹ −L ∈ lits-of M ⟹ L' ∈# UW ⟹ L' ∉# W ⟹ −L' ∈ lits-of M
   using wf-c unfolding cw-eq by auto

  have ∀ L ∈# C. −L ∈ lits-of M
  proof (cases W = {#})
    case True
    then have C = {#}
      using cw(1) cw-eq w-nw(2) by auto
    then show ?thesis
      by simp
  next
    case False
    then obtain La where la: La ∈# W
      using multiset-eq-iff by force
    show ?thesis
    proof
```

```
      fix L
      assume l: L ∈# C
      show −L ∈ lits-of M
      proof (cases L ∈# W)
        case True
        thus ?thesis
          using cw(3) cw-eq by fastforce
      next
        case False
        thus ?thesis
          by (smt M-def l add-diff-cancel-left′ count-diff cw(1) cw(3) la cw-eq
            diff-zero elem-mset-set finite-imageI finite-lits-of-def gr0I imageE mset-leD
            uminus-of-uminus-id twl-clause.sel(1) twl-clause.sel(2) w-nw(3))
      qed
    qed
  qed
  then show trail S ⊨as CNot C
    unfolding CNot-def true-annots-def by auto

  show C ∈# image-mset raw-clause (clauses S)
    using cw by auto
qed

lemma wf-candidates-conflict-complete:
  assumes wf: wf-twl-state S and
    c-mem: C ∈# raw-clauses S and
    unsat: trail S ⊨as CNot C
  shows C ∈ candidates-conflict S
proof −
  def M ≡ trail S
  def N ≡ init-clss S
  def U ≡ learned-clss S

  note MNU-defs [simp] = M-def N-def U-def

  obtain Cw where cw: C = raw-clause Cw Cw ∈# N + U
    using c-mem by force

  obtain W UW where cw-eq: Cw = TWL-Clause W UW
    by (cases Cw, blast)

  have wf-c: wf-twl-cls M Cw
    using wf cw(2) unfolding wf-twl-state-def by simp

  have w-nw:
    distinct-mset W
    size W < 2 ⟹ set-mset UW ⊆ set-mset W
    ⋀L L′. L ∈# W ⟹ −L ∈ lits-of M ⟹ L′ ∈# UW ⟹ L′ ∉# W ⟹ −L′ ∈ lits-of M
   using wf-c unfolding cw-eq by auto

  have ⋀L. L ∈# C ⟹ −L ∈ lits-of M
    unfolding M-def using unsat[unfolded CNot-def true-annots-def, simplified] by blast
  then have set-mset C ⊆ uminus ‘ lits-of M
    by (metis imageI mem-set-mset-iff subsetI uminus-of-uminus-id)
  then have set-mset W ⊆ uminus ‘ lits-of M
```

```
    using cw(1) cw-eq by auto
  then have subset: W ⊆# mset-set (uminus ‘ lits-of M)
    by (simp add: w-nw(1))

  have W = watched Cw
    using cw-eq twl-clause.sel(1) by simp
  then show ?thesis
    using MNU-defs cw(1) cw(2) subset candidates-conflict-def by blast
qed

typedef 'v wf-twl = {S::('v, nat, 'v clause) twl-state-abs. wf-twl-state S}
morphisms rough-state-of-twl twl-of-rough-state
proof –
  have TWL-State ([]::('v, nat, 'v clause) marked-lits)
    {#} {#} 0 None ∈ {S:: ('v, nat, 'v clause) twl-state-abs. wf-twl-state S}
    by (auto simp: wf-twl-state-def)
  then show ?thesis by auto
qed

lemma [code abstype]:
  twl-of-rough-state (rough-state-of-twl S) = S
  by (fact CDCL-Two-Watched-Literals.wf-twl.rough-state-of-twl-inverse)

lemma wf-twl-state-rough-state-of-twl[simp]: wf-twl-state (rough-state-of-twl S)
  using rough-state-of-twl by auto

abbreviation candidates-conflict-twl :: 'v wf-twl ⇒ 'v literal multiset set where
candidates-conflict-twl S ≡ candidates-conflict (rough-state-of-twl S)

abbreviation candidates-propagate-twl :: 'v wf-twl ⇒ ('v literal × 'v clause) set where
candidates-propagate-twl S ≡ candidates-propagate (rough-state-of-twl S)

abbreviation trail-twl :: 'a wf-twl ⇒ ('a, nat, 'a literal multiset) marked-lit list where
trail-twl S ≡ trail (rough-state-of-twl S)

abbreviation clauses-twl :: 'a wf-twl ⇒ 'a literal multiset multiset where
clauses-twl S ≡ raw-clauses (rough-state-of-twl S)

abbreviation init-clss-twl :: 'a wf-twl ⇒ 'a literal multiset multiset where
init-clss-twl S ≡ raw-init-clss (rough-state-of-twl S)

abbreviation learned-clss-twl :: 'a wf-twl ⇒ 'a literal multiset multiset where
learned-clss-twl S ≡ raw-learned-clss (rough-state-of-twl S)

abbreviation backtrack-lvl-twl where
backtrack-lvl-twl S ≡ backtrack-lvl (rough-state-of-twl S)

abbreviation conflicting-twl where
conflicting-twl S ≡ conflicting (rough-state-of-twl S)

lemma wf-candidates-twl-conflict-complete:
  assumes
    c-mem: C ∈# clauses-twl S and
    unsat: trail-twl S ⊨as CNot C
  shows C ∈ candidates-conflict-twl S
```

using *c-mem unsat wf-candidates-conflict-complete wf-twl-state-rough-state-of-twl* **by** *blast*

abbreviation *update-backtrack-lvl* **where**
update-backtrack-lvl k S ≡
TWL-State (*trail S*) (*init-clss S*) (*learned-clss S*) *k* (*conflicting S*)

abbreviation *update-conflicting* **where**
update-conflicting C S ≡ *TWL-State* (*trail S*) (*init-clss S*) (*learned-clss S*) (*backtrack-lvl S*) *C*

21.3 Abstract 2-WL

definition *tl-trail* **where**
tl-trail S =
TWL-State (*tl* (*trail S*)) (*init-clss S*) (*learned-clss S*) (*backtrack-lvl S*) (*conflicting S*)

locale *abstract-twl* =
fixes
watch :: (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒ *'v clause* ⇒ *'v clause twl-clause* **and**
rewatch :: (*'v*, *nat*, *'v literal multiset*) *marked-lit* ⇒ (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒
'v clause twl-clause ⇒ *'v clause twl-clause* **and**
linearize :: *'v clauses* ⇒ *'v clause list* **and**
restart-learned :: (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒ *'v clause twl-clause multiset*
assumes
clause-watch: *no-dup* (*trail S*) ⟹ *raw-clause* (*watch S C*) = *C* **and**
wf-watch: *no-dup* (*trail S*) ⟹ *wf-twl-cls* (*trail S*) (*watch S C*) **and**
clause-rewatch: *raw-clause* (*rewatch L S C'*) = *raw-clause C'* **and**
wf-rewatch:
no-dup (*trail S*) ⟹ *undefined-lit* (*trail S*) (*lit-of L*) ⟹ *wf-twl-cls* (*trail S*) *C'* ⟹
wf-twl-cls (*L # trail S*) (*rewatch L S C'*)
and
linearize: *mset* (*linearize N*) = *N* **and**
restart-learned: *restart-learned S* ⊆# *learned-clss S*
begin

lemma *linearize-mempty*[*simp*]: *linearize* {#} = []
using *linearize mset-zero-iff* **by** *blast*

definition
cons-trail :: (*'v*, *nat*, *'v clause*) *marked-lit* ⇒ (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒
(*'v*, *nat*, *'v clause*) *twl-state-abs*
where
cons-trail L S =
TWL-State (*L # trail S*) (*image-mset* (*rewatch L S*) (*init-clss S*))
(*image-mset* (*rewatch L S*) (*learned-clss S*)) (*backtrack-lvl S*) (*conflicting S*)

definition
add-init-cls :: *'v clause* ⇒ (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒
(*'v*, *nat*, *'v clause*) *twl-state-abs*
where
add-init-cls C S =
TWL-State (*trail S*) ({#*watch S C*#} + *init-clss S*) (*learned-clss S*) (*backtrack-lvl S*)
(*conflicting S*)

definition
add-learned-cls :: *'v clause* ⇒ (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒
(*'v*, *nat*, *'v clause*) *twl-state-abs*

where
  add-learned-cls C S =
   TWL-State (*trail S*) (*init-clss S*) ({#*watch S C*#} + *learned-clss S*) (*backtrack-lvl S*)
     (*conflicting S*)

definition
  remove-cls :: *'v clause* ⇒ (*'v*, *nat*, *'v clause*) *twl-state-abs* ⇒
   (*'v*, *nat*, *'v clause*) *twl-state-abs*
where
  remove-cls C S =
   TWL-State (*trail S*) (*filter-mset* (λ*D*. *raw-clause D* ≠ *C*) (*init-clss S*))
     (*filter-mset* (λ*D*. *raw-clause D* ≠ *C*) (*learned-clss S*)) (*backtrack-lvl S*)
     (*conflicting S*)

definition *init-state* :: *'v clauses* ⇒ (*'v*, *nat*, *'v clause*) *twl-state-abs* **where**
  init-state N = *fold add-init-cls* (*linearize N*) (*TWL-State* [] {#} {#} *0 None*)

lemma *unchanged-fold-add-init-cls*:
  trail (*fold add-init-cls Cs* (*TWL-State M N U k C*)) = *M*
  learned-clss (*fold add-init-cls Cs* (*TWL-State M N U k C*)) = *U*
  backtrack-lvl (*fold add-init-cls Cs* (*TWL-State M N U k C*)) = *k*
  conflicting (*fold add-init-cls Cs* (*TWL-State M N U k C*)) = *C*
  by (*induct Cs arbitrary*: *N*) (*auto simp*: *add-init-cls-def*)

lemma *unchanged-init-state*[*simp*]:
  trail (*init-state N*) = []
  learned-clss (*init-state N*) = {#}
  backtrack-lvl (*init-state N*) = *0*
  conflicting (*init-state N*) = *None*
  unfolding *init-state-def* **by** (*rule unchanged-fold-add-init-cls*)+

lemma *clauses-init-fold-add-init*:
  no-dup M ⟹
  image-mset raw-clause (*init-clss* (*fold add-init-cls Cs* (*TWL-State M N U k C*))) =
   mset Cs + *image-mset raw-clause N*
  by (*induct Cs arbitrary*: *N*) (*auto simp*: *add.assoc add-init-cls-def clause-watch*)

lemma *init-clss-init-state*[*simp*]: *image-mset raw-clause* (*init-clss* (*init-state N*)) = *N*
  unfolding *init-state-def* **by** (*simp add*: *clauses-init-fold-add-init linearize*)

definition *restart'* **where**
  restart' S = *TWL-State* [] (*init-clss S*) (*restart-learned S*) *0 None*
end

21.4 Instanciation of the previous locale

definition *pull* :: (*'a* ⇒ *bool*) ⇒ *'a list* ⇒ *'a list* **where**
  pull p xs = *filter p xs* @ *filter* (*Not* ∘ *p*) *xs*

lemma *set-pull*[*simp*]: *set* (*pull p xs*) = *set xs*
  unfolding *pull-def* **by** *auto*

lemma *mset-pull*[*simp*]: *mset* (*pull p xs*) = *mset xs*
  by (*simp add*: *pull-def mset-filter-compl*)

lemma *mset-take-pull-sorted-list-of-set-subseteq*:

mset (*take n* (*pull p* (*sorted-list-of-set* (*set-mset A*)))) $\subseteq\#$ *A*
by (*metis mset-pull mset-set-set-mset-subseteq mset-sorted-list-of-set mset-take-subseteq subset-mset.dual-order.trans*)

definition *watch-nat* :: (*nat*, *nat*, *nat clause*) *twl-state-abs* ⇒ *nat clause* ⇒ *nat clause twl-clause* **where**
watch-nat S C =
(*let*
C' = *remdups* (*sorted-list-of-set* (*set-mset C*));
negation-not-assigned = *filter* (λ*L*. −*L* ∉ *lits-of* (*trail S*)) *C'*;
negation-assigned-sorted-by-trail = *filter* (λ*L*. *L* ∈# *C*) (*map* (λ*L*. −*lit-of L*) (*trail S*));
W = *take 2* (*negation-not-assigned* @ *negation-assigned-sorted-by-trail*);
UW = *sorted-list-of-multiset* (*C* − *mset W*)
in TWL-Clause (*mset W*) (*mset UW*))

lemma *list-cases2*:
fixes *l* :: *'a list*
assumes
l = [] ⟹ *P* **and**
⋀*x*. *l* = [*x*] ⟹ *P* **and**
⋀*x y xs*. *l* = *x* # *y* # *xs* ⟹ *P*
shows *P*
by (*metis assms list.collapse*)

lemma *filter-in-list-prop-verifiedD*:
assumes [*L*←*P* . *Q L*] = *l*
shows ∀ *x* ∈ *set l*. *x* ∈ *set P* ∧ *Q x*
using *assms* **by** *auto*

lemma *no-dup-filter-diff*:
assumes *n-d*: *no-dup M* **and** *H*: [*L*←*map* (λ*L*. − *lit-of L*) *M*. *L* ∈# *C*] = *l*
shows *distinct l*
unfolding *H*[*symmetric*]
apply (*rule distinct-filter*)
using *n-d* **by** (*induction M*) *auto*

lemma *watch-nat-lists-disjointD*:
assumes
l: [*L*←*remdups* (*sorted-list-of-set* (*set-mset C*)) . − *L* ∉ *lits-of* (*trail S*)] = *l* **and**
l': [*L*←*map* (λ*L*. − *lit-of L*) (*trail S*) . *L* ∈# *C*] = *l'*
shows ∀ *x* ∈ *set l*. ∀ *y* ∈ *set l'*. *x* ≠ *y*
by (*auto simp*: *l*[*symmetric*] *l'*[*symmetric*] *lits-of-def*)

lemma *watch-nat-list-cases* [*consumes 1*, *case-names nil-nil nil-single nil-other single-nil single-other other*]:
fixes
C :: *'v::linorder literal multiset* **and**
S :: ((*'v*, *'b*, *'c*) *marked-lit*, *'d*, *'e*, *'f*) *twl-state*
defines
xs ≡ [*L*←*remdups* (*sorted-list-of-set* (*set-mset C*)) . − *L* ∉ *lits-of* (*trail S*)] **and**
ys ≡ [*L*←*map* (λ*L*. − *lit-of L*) (*trail S*) . *L* ∈# *C*]
assumes *n-d*: *no-dup* (*trail S*) **and**
nil-nil: *xs* = [] ⟹ *ys* = [] ⟹ *P* **and**
nil-single:
⋀*a*. *xs* = [] ⟹ *ys* = [*a*] ⟹ *a* ∈# *C* ⟹ *P* **and**

```
    nil-other: ⋀a b ys'. xs = [] ⟹ ys = a # b # ys' ⟹ a ≠ b ⟹ P and
    single-nil: ⋀a. xs = [a] ⟹ ys = [] ⟹ P and
    single-other: ⋀a b ys'. xs = [a] ⟹ ys = b # ys' ⟹ a ≠ b ⟹ P and
    other: ⋀a b xs'. xs = a # b # xs' ⟹ a ≠ b ⟹ P
  shows P
proof −
  note xs-def[simp] and ys-def[simp]
  have dist: distinct [L←remdups (sorted-list-of-set (set-mset C)) . − L ∉ lits-of (trail S)]
    by auto
  then have H: ⋀a xs. [L←remdups (sorted-list-of-set (set-mset C)) . − L ∉ lits-of (trail S)]
    ≠ a # a # xs
    by force
  show ?thesis
  apply (cases [L←remdups (sorted-list-of-set (set-mset C)). − L ∉ lits-of (trail S)]
        rule: list-cases2;
      cases [L←map (λL. − lit-of L) (trail S) . L ∈# C] rule: list-cases2)
          using nil-nil apply simp
         using nil-single apply (force dest: filter-in-list-prop-verifiedD)
        using nil-other
        apply (auto dest: filter-in-list-prop-verifiedD watch-nat-lists-disjointD
          no-dup-filter-diff[OF n-d] simp: H)[]
       using single-nil apply simp
      using single-other
      apply (auto dest: filter-in-list-prop-verifiedD watch-nat-lists-disjointD
        no-dup-filter-diff[OF n-d] simp: H)[]
     using single-other apply (auto dest: filter-in-list-prop-verifiedD watch-nat-lists-disjointD
      no-dup-filter-diff[OF n-d] simp: H)[]
    using other xs-def ys-def by (metis H)+
qed

lemma watch-nat-lists-set-union:
  fixes
    C :: 'v::linorder literal multiset and
    S :: (('v, 'b, 'c) marked-lit, 'd, 'e, 'f) twl-state
  defines
    xs ≡ [L←remdups (sorted-list-of-set (set-mset C)) . − L ∉ lits-of (trail S)] and
    ys ≡ [L←map (λL. − lit-of L) (trail S) . L ∈# C]
  assumes n-d: no-dup (trail S)
  shows set-mset C = set xs ∪ set ys
  using n-d unfolding xs-def ys-def by (auto simp: lits-of-def uminus-lit-swap)

definition
  rewatch-nat ::
  (nat, nat, nat literal multiset) marked-lit ⇒ (nat, nat, nat clause) twl-state-abs ⇒
    nat clause twl-clause ⇒ nat clause twl-clause
where
  rewatch-nat L S C =
   (if − lit-of L ∈# watched C then
      case filter (λL'. L' ∉# watched C ∧ − L' ∉ lits-of (L # trail S))
          (sorted-list-of-multiset (unwatched C)) of
        [] ⇒ C
      | L' # - ⇒
        TWL-Clause (watched C − {#− lit-of L#} + {#L'#}) (unwatched C − {#L'#} + {#− lit-of
L#})
    else
```

    C)

lemma *mset-intersection-inclusion*: $A + (B - A) = B \longleftrightarrow A \subseteq\# B$
  apply (*rule iffI*)
   apply (*metis mset-le-add-left*)
  by (*auto simp*: *ac-simps multiset-eq-iff subseteq-mset-def*)

lemma *clause-watch-nat*:
  assumes *no-dup* (*trail S*)
  shows *raw-clause* (*watch-nat S C*) = *C*
  using *assms*
  apply (*cases rule*: *watch-nat-list-cases*[*OF assms*(*1*), *of C*])
  by (*auto dest*: *filter-in-list-prop-verifiedD simp*: *watch-nat-def Let-def*
    mset-intersection-inclusion subseteq-mset-def)

lemma *distinct-pull*[*simp*]: *distinct* (*pull p xs*) = *distinct xs*
  unfolding *pull-def* **by** (*induct xs*) *auto*

lemma *falsified-watiched-imp-unwatched-falsified*:
  assumes
    watched: $L \in$ *set* (*take n* (*pull* (*Not* $\circ$ *fls*) (*sorted-list-of-set* (*set-mset C*)))) **and**
    falsified: *fls L* **and**
    not-watched: $L' \notin$ *set* (*take n* (*pull* (*Not* $\circ$ *fls*) (*sorted-list-of-set* (*set-mset C*)))) **and**
    unwatched: $L' \in\# C -$ *mset* (*take n* (*pull* (*Not* $\circ$ *fls*) (*sorted-list-of-set* (*set-mset C*))))
  shows *fls* L'
proof −
  let *?Ls* = *sorted-list-of-set* (*set-mset C*)
  let *?W* = *take n* (*pull* (*Not* $\circ$ *fls*) *?Ls*)

  have $n >$ *length* (*filter* (*Not* $\circ$ *fls*) *?Ls*)
    using *watched falsified*
    unfolding *pull-def comp-def*
    apply *auto*
     using *in-set-takeD* **apply** *fastforce*
    by (*metis gr0I length-greater-0-conv length-pos-if-in-set take-0 zero-less-diff*)
  then have $\bigwedge L.\ L \in$ *set ?Ls* $\Longrightarrow \neg$ *fls L* $\Longrightarrow L \in$ *set ?W*
    unfolding *pull-def* **by** *auto*
  then show *?thesis*
    by (*metis Multiset.diff-le-self finite-set-mset mem-set-mset-iff mset-leD not-watched*
      sorted-list-of-set unwatched)
qed

lemma *set-mset-is-single-in-mset-is-single*:
  set-mset $C = \{a\} \Longrightarrow x \in\# C \Longrightarrow x = a$
  by *fastforce*

lemma *index-uminus-index-map-uminus*:
  $-a \in$ *set* $L \Longrightarrow$ *index L* $(-a)$ = *index* (*map uminus L*) (*a*::*'a literal*)
  by (*induction L*) *auto*

lemma *index-filter*:
  $a \in$ *set* $L \Longrightarrow b \in$ *set* $L \Longrightarrow P\ a \Longrightarrow P\ b \Longrightarrow$
   index L a $\leq$ *index L b* $\longleftrightarrow$ *index* (*filter P L*) *a* $\leq$ *index* (*filter P L*) *b*
  by (*induction L*) *auto*

lemma *wf-watch-nat*: *no-dup* (*trail S*) $\Longrightarrow$ *wf-twl-cls* (*trail S*) (*watch-nat S C*)
  apply (*simp only*: *watch-nat-def Let-def partition-filter-conv case-prod-beta fst-conv snd-conv*)
  unfolding *wf-twl-cls.simps*
  apply (*intro conjI*)
proof *goal-cases*
  case *1*
  then show *?case*
    by (*cases rule*: *watch-nat-list-cases*[*of S C*]) (*auto dest*: *filter-in-list-prop-verifiedD*
      simp: *distinct-mset-add-single*)
next
  case *2*
  then show *?case* **by** *simp*
next
  case *3*
  then show *?case*
    proof (*cases rule*: *watch-nat-list-cases*[*of S C*])
      case *nil-nil*
      then have *set-mset C* = *set* [] ∪ *set* []
        using *3* **by** (*metis watch-nat-lists-set-union*)
      then show *?thesis*
        by *simp*
    next
      case *nil-single*
      then show *?thesis*
        using *watch-nat-lists-set-union*[*of S C*] *3* **by**(*auto dest*!: *arg-cong*[*of* - [] *set*])
    next
      case *nil-other*
      then show *?thesis*
       using *3* **by** (*auto dest*!: *arg-cong*[*of* - [] *set*])
    next
      case *single-nil*
      show *?thesis*
      using *watch-nat-lists-set-union*[*of S C*] *3 mset-leD* **unfolding** *single-nil* **by** *auto*
    next
      case *single-other*
      then show *?thesis*
        using *3* **by** (*auto dest*!: *arg-cong*[*of* - [] *set*])
    next
      case *other*
      then show *?thesis*
        using *3* **by** (*auto dest*!: *arg-cong*[*of* - [] *set*])[]
    qed
next
  case *4* **note** -[*simp*] = *this*
  {
    fix *a* :: *nat literal* **and** *ys'* :: *nat literal list* **and** *L* :: *nat literal* **and**
      L' :: *nat literal*
    assume *a1*: [*L*←*remdups* (*insort L* (*sorted-list-of-set* (*insert a* (*set ys'*) − {*L*}))).
      − *L* ∉ *lits-of* (*trail S*)] = [*a*]
    assume *a2*: *set-mset C* = *insert L* (*insert a* (*set ys'*))
    assume *a3*: *L'* ∈# *C*
    assume *a4*: *a* ≠ *L'*
    have *set* (*L* # *a* # *ys'*) = *set-mset C*
      using *a2* **by** *auto*
    then have *L'* ∉ *set* [*l*←*remdups* (*sorted-list-of-set* (*set-mset C*)) . − *l* ∉ *lits-of* (*trail S*)]

```
      using a4 a1 by (metis List.finite-set list.set(1) list.set(2) singleton-iff
        sorted-list-of-set.insert-remove)
    then have − L′ ∈ lits-of (trail S)
      using a3 by simp
      } note H =this
  show ?case using 4
    apply (cases rule: watch-nat-list-cases[of S C])
      apply (auto dest: filter-in-list-prop-verifiedD H simp: filter-empty-conv)[3]
    using watch-nat-lists-set-union[of S C] by (auto dest: filter-in-list-prop-verifiedD H)
next
  case 5
  then show ?case
    proof (cases rule: watch-nat-list-cases[of S C])
      case nil-nil
      then show ?thesis by auto
    next
      case nil-single
      then show ?thesis
        using watch-nat-lists-set-union[of S C] 5 by auto
    next
      case nil-other
      then show ?thesis
        unfolding watched-decided-most-recently.simps Ball-mset-def
        apply (intro allI impI)
        apply (subst index-uminus-index-map-uminus,
          simp add: index-uminus-index-map-uminus lits-of-def o-def)
        apply (subst index-uminus-index-map-uminus,
          simp add: index-uminus-index-map-uminus lits-of-def o-def)

        apply (subst index-filter[of - - - λL. L ∈# C])
        by (auto dest: filter-in-list-prop-verifiedD
          simp: uminus-lit-swap lits-of-def o-def)
    next
      case single-nil
      then show ?thesis
         using watch-nat-lists-set-union[of S C] 5 by auto
    next
      case single-other
      then show ?thesis
        unfolding watched-decided-most-recently.simps Ball-mset-def
        apply (clarify)
        apply (subst index-uminus-index-map-uminus,
          simp add: index-uminus-index-map-uminus lits-of-def o-def)
        apply (subst index-uminus-index-map-uminus,
          simp add: index-uminus-index-map-uminus lits-of-def o-def)

        apply (subst index-filter[of - - - λL. L ∈# C])
        by (auto dest: filter-in-list-prop-verifiedD simp: uminus-lit-swap lits-of-def o-def)
    next
      case other
      then show ?thesis
        apply clarsimp
        apply (elim disjE)
        prefer 2 apply (auto dest: filter-in-list-prop-verifiedD)[]
        apply (subst index-uminus-index-map-uminus,
          simp add: index-uminus-index-map-uminus lits-of-def o-def)[1]
        apply (subst index-uminus-index-map-uminus,
          simp add: index-uminus-index-map-uminus lits-of-def o-def)[1]

        apply (subst index-filter[of - - - λL. L ∈# C])
        by (auto dest: filter-in-list-prop-verifiedD
          simp: index-uminus-index-map-uminus lits-of-def o-def uminus-lit-swap)
    qed
qed

lemma filter-sorted-list-of-multiset-eqD:
  assumes [x ← sorted-list-of-multiset A. p x] = x # xs (is ?comp = -)
  shows x ∈# A
proof –
  have x ∈ set ?comp
    using assms by simp
  then have x ∈ set (sorted-list-of-multiset A)
    by simp
  then show x ∈# A
    by simp
qed

lemma clause-rewatch-nat: raw-clause (rewatch-nat L S C) = raw-clause C
  apply (auto simp: rewatch-nat-def Let-def split: list.split)
  apply (subst subset-mset.add-diff-assoc2, simp)
  apply (subst subset-mset.add-diff-assoc2, simp)
  apply (subst subset-mset.add-diff-assoc2)
   apply (auto dest: filter-sorted-list-of-multiset-eqD)
  by (metis (no-types, lifting) add.assoc add-diff-cancel-right' filter-sorted-list-of-multiset-eqD
    insert-DiffM mset-leD mset-le-add-left)

lemma filter-sorted-list-of-multiset-Nil:
  [x ← sorted-list-of-multiset M. p x] = [] ⟷ (∀ x ∈# M. ¬ p x)
  by auto (metis empty-iff filter-set list.set(1) mem-set-mset-iff member-filter
    set-sorted-list-of-multiset)

lemma filter-sorted-list-of-multiset-ConsD:
  [x ← sorted-list-of-multiset M. p x] = x # xs ⟹ p x
  by (metis filter-set insert-iff list.set(2) member-filter)

lemma mset-minus-single-eq-mempty:
  a – {#b#} = {#} ⟷ a = {#b#} ∨ a = {#}
  by (metis Multiset.diff-cancel add.right-neutral diff-single-eq-union
    diff-single-trivial zero-diff)

lemma size-mset-le-2-cases:
  assumes size W ≤ 2
  shows W = {#} ∨ (∃ a. W = {#a#}) ∨ (∃ a b. W = {#a,b#})
  by (metis One-nat-def Suc-1 Suc-eq-plus1-left assms linorder-not-less nat-less-le
    not-less-eq-eq ordered-cancel-comm-monoid-diff-class.le-iff-add size-1-singleton-mset
    size-eq-0-iff-empty size-mset-2)

lemma wf-rewatch-nat':
  assumes
    wf: wf-twl-cls (trail S) C and
    n-d: no-dup (trail S) and
    undef: undefined-lit (trail S) (lit-of L)
  shows wf-twl-cls (L # trail S) (rewatch-nat L S C)
  using filter-sorted-list-of-multiset-Nil[simp]
proof (cases − lit-of L ∈# watched C)
  case falsified: True

  let ?unwatched-nonfalsified =
    [L'←sorted-list-of-multiset (unwatched C) . L' ∉# watched C ∧ − L' ∉ lits-of (L # trail S)]
  obtain W UW where C: C = TWL-Clause W UW
    by (cases C)

  show ?thesis
  proof (cases ?unwatched-nonfalsified)
    case Nil
    show ?thesis
      unfolding rewatch-nat-def
      using falsified Nil
      apply (simp only: wf-twl-cls.simps if-True list.cases C)
      apply (intro conjI)
      proof goal-cases
        case 1
        then show ?case using wf C by simp
      next
        case 2
        then show ?case using wf C by simp
      next
        case 3
        then show ?case using wf C by simp
      next
        case 4
        then show ?case using wf C by auto
      next
        case 5
        then show ?case
          using C apply simp
          using wf by (smt ball-msetI bspec-mset not-gr0 uminus-of-uminus-id
            watched-decided-most-recently.simps wf-twl-cls.simps)
      qed
  next
    case (Cons L' Ls)
    show ?thesis
      unfolding rewatch-nat-def C
      using falsified Cons
      apply (simp only: wf-twl-cls.simps if-True list.cases C)
      apply (intro conjI)
      proof goal-cases
        case 1
        then show ?case using wf C n-d
          by (smt Multiset.diff-le-self distinct-mset-add-single distinct-mset-single-add
            filter-sorted-list-of-multiset-ConsD insert-DiffM mset-leD twl-clause.sel(1)
            wf-twl-cls.simps)
      next
        case 2
        then show ?case using wf C by (metis insert-DiffM2 size-single size-union twl-clause.sel(1)
          wf-twl-cls.simps)
      next
        case 3
        then show ?case
          using wf C by (force simp: mset-minus-single-eq-mempty dest: subset-singletonD)
      next
        case 4
        have H: ∀L∈#W. − L ∈ lits-of (trail S) ⟶
          (∀L'∈#UW. count W L' = 0 ⟶ − L' ∈ lits-of (trail S))
          using wf by (auto simp: C)
        have W: size W ≤ 2 and W-UW: size W < 2 ⟶ set-mset UW ⊆ set-mset W
          using wf by (auto simp: C)

        have distinct: distinct-mset W
          using wf by (auto simp: C)
        show ?case
          using 4
          unfolding C watched-decided-most-recently.simps Ball-mset-def twl-clause.sel
          apply (intro allI impI)
          apply (rename-tac xW xUW)
          apply (case-tac − lit-of L = xW; case-tac xW = xUW; case-tac L' = xW)
                  apply (auto simp: uminus-lit-swap)[2]
                using filter-sorted-list-of-multiset-ConsD apply blast
               using H size-mset-le-2-cases[OF W]
              using distinct apply (fastforce split: split-if-asm simp: distinct-mset-size-2)
             using distinct apply (fastforce split: split-if-asm simp: distinct-mset-size-2)
            using distinct apply (fastforce split: split-if-asm simp: distinct-mset-size-2)
           using filter-sorted-list-of-multiset-ConsD apply blast
          using size-mset-le-2-cases[OF W] H by (fastforce simp: uminus-lit-swap
            dest: filter-sorted-list-of-multiset-ConsD filter-sorted-list-of-multiset-eqD)

      next
        case 5
        have H: ∀x. x ∈# W ⟶ − x ∈ lits-of (trail S) ⟶ (∀x. x ∈# UW ⟶ count W x = 0
          ⟶ − x ∈ lits-of (trail S))
          using wf by (auto simp: C)

        show ?case
          using 5 unfolding C watched-decided-most-recently.simps Ball-mset-def
          apply (intro allI impI conjI)
          apply (rename-tac xW x)
          apply (case-tac − lit-of L = xW; case-tac xW = x)
              apply (auto simp: uminus-lit-swap)[3]
          apply (case-tac − lit-of L = x)
           apply (clarsimp)
           using H apply (blast dest: filter-sorted-list-of-multiset-ConsD
            filter-sorted-list-of-multiset-eqD)
          apply (clarsimp)
          using H apply (blast dest: filter-sorted-list-of-multiset-ConsD
            filter-sorted-list-of-multiset-eqD)
          done
      qed
  qed
next
  case False
  then have wf-twl-cls (L # trail S) C
    apply (cases C)
    using wf n-d undef apply (clarify)
    unfolding wf-twl-cls.simps
    apply (intro conjI)
         apply blast
        apply blast
       apply blast
      apply (smt ball-mset-cong bspec-mset insert-iff lits-of-cons nat-neq-iff twl-clause.sel(1)
        uminus-of-uminus-id)
     apply (auto simp: Marked-Propagated-in-iff-in-lits-of)
    done
  then show ?thesis
    unfolding rewatch-nat-def using False by simp
qed


interpretation twl: abstract-twl watch-nat rewatch-nat sorted-list-of-multiset learned-clss
  apply unfold-locales
  apply (rule clause-watch-nat; simp)
  apply (rule wf-watch-nat; simp)
  apply (rule clause-rewatch-nat)
  apply (rule wf-rewatch-nat'; simp)
  apply (rule mset-sorted-list-of-multiset)
  apply (rule subset-mset.order-refl)
  done
```

21.5 Interpretation for $cdcl_W.cdcl_W$

```
context abstract-twl
begin
```

21.5.1 Direct Interpretation

```
interpretation rough-cdcl: state_W trail raw-init-clss raw-learned-clss backtrack-lvl conflicting
  cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
  update-conflicting init-state restart'
  apply unfold-locales
  apply (simp-all add: add-init-cls-def add-learned-cls-def clause-rewatch clause-watch
    cons-trail-def remove-cls-def restart'-def tl-trail-def)
  apply (rule image-mset-subseteq-mono[OF restart-learned])
  done

interpretation rough-cdcl: cdcl_W trail raw-init-clss raw-learned-clss backtrack-lvl conflicting
  cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
  update-conflicting init-state restart'
  by unfold-locales

interpretation cdcl_NOT: cdcl_NOT-merge-bj-learn-ops
  λS. convert-trail-from-W (trail S)
  rough-cdcl.clauses
  λL S. cons-trail (convert-marked-lit-from-NOT L) S
  λS. tl-trail S
  λC S. add-learned-cls C S
  λC S. remove-cls C S
  λL S. lit-of L ∈ fst ‘ candidates-propagate S
```

λ- S. conflicting S = None
λC C′ L′ S. C ∈ candidates-conflict S ∧ distinct-mset (C′ + {#L′#}) ∧ ¬tautology (C′ + {#L′#})
by *unfold-locales*

21.5.2 Opaque Type with Invariant

declare *rough-cdcl.state-simp[simp del]*

definition *cons-trail-twl* :: *('v, nat, 'v literal multiset) marked-lit ⇒ 'v wf-twl ⇒ 'v wf-twl*
where
cons-trail-twl L S ≡ twl-of-rough-state (cons-trail L (rough-state-of-twl S))

lemma *wf-twl-state-cons-trail*:
undefined-lit (trail S) (lit-of L) ⟹ wf-twl-state S ⟹ wf-twl-state (cons-trail L S)
unfolding *wf-twl-state-def* **by** *(auto simp: cons-trail-def wf-rewatch defined-lit-map)*

lemma *rough-state-of-twl-cons-trail*:
undefined-lit (trail-twl S) (lit-of L) ⟹
rough-state-of-twl (cons-trail-twl L S) = cons-trail L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-cons-trail*
unfolding *cons-trail-twl-def* **by** *blast*

abbreviation *add-init-cls-twl* **where**
add-init-cls-twl C S ≡ twl-of-rough-state (add-init-cls C (rough-state-of-twl S))

lemma *wf-twl-add-init-cls*: *wf-twl-state S ⟹ wf-twl-state (add-init-cls L S)*
unfolding *wf-twl-state-def* **by** *(auto simp: wf-watch add-init-cls-def split: split-if-asm)*

lemma *rough-state-of-twl-add-init-cls*:
rough-state-of-twl (add-init-cls-twl L S) = add-init-cls L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-add-init-cls* **by** *blast*

abbreviation *add-learned-cls-twl* **where**
add-learned-cls-twl C S ≡ twl-of-rough-state (add-learned-cls C (rough-state-of-twl S))

lemma *wf-twl-add-learned-cls*: *wf-twl-state S ⟹ wf-twl-state (add-learned-cls L S)*
unfolding *wf-twl-state-def* **by** *(auto simp: wf-watch add-learned-cls-def split: split-if-asm)*

lemma *rough-state-of-twl-add-learned-cls*:
rough-state-of-twl (add-learned-cls-twl L S) = add-learned-cls L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-add-learned-cls* **by** *blast*

abbreviation *remove-cls-twl* **where**
remove-cls-twl C S ≡ twl-of-rough-state (remove-cls C (rough-state-of-twl S))

lemma *wf-twl-remove-cls*: *wf-twl-state S ⟹ wf-twl-state (remove-cls L S)*
unfolding *wf-twl-state-def* **by** *(auto simp: wf-watch remove-cls-def split: split-if-asm)*

lemma *rough-state-of-twl-remove-cls*:
rough-state-of-twl (remove-cls-twl L S) = remove-cls L (rough-state-of-twl S)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-remove-cls* **by** *blast*

abbreviation *init-state-twl* **where**
init-state-twl N ≡ twl-of-rough-state (init-state N)

lemma *wf-twl-state-wf-twl-state-fold-add-init-cls*:

assumes *wf-twl-state S*
shows *wf-twl-state* (*fold add-init-cls N S*)
using *assms* **apply** (*induction N arbitrary*: *S*)
apply (*auto simp*: *wf-twl-state-def*)[]
by (*simp add*: *wf-twl-add-init-cls*)

lemma *wf-twl-state-epsilon-state*[*simp*]:
wf-twl-state (*TWL-State* [] {#} {#} *0 None*)
by (*auto simp*: *wf-twl-state-def*)

lemma *wf-twl-init-state*: *wf-twl-state* (*init-state N*)
unfolding *init-state-def* **by** (*auto intro*!: *wf-twl-state-wf-twl-state-fold-add-init-cls*)

lemma *rough-state-of-twl-init-state*:
rough-state-of-twl (*init-state-twl N*) = *init-state N*
by (*simp add*: *twl-of-rough-state-inverse wf-twl-init-state*)

abbreviation *tl-trail-twl* **where**
tl-trail-twl S ≡ *twl-of-rough-state* (*tl-trail* (*rough-state-of-twl S*))

lemma *wf-twl-state-tl-trail*: *wf-twl-state S* ⟹ *wf-twl-state* (*tl-trail S*)
by (*simp add*: *twl-of-rough-state-inverse wf-twl-init-state wf-twl-cls-wf-twl-cls-tl*
tl-trail-def wf-twl-state-def distinct-tl map-tl)

lemma *rough-state-of-twl-tl-trail*:
rough-state-of-twl (*tl-trail-twl S*) = *tl-trail* (*rough-state-of-twl S*)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-tl-trail* **by** *blast*

abbreviation *update-backtrack-lvl-twl* **where**
update-backtrack-lvl-twl k S ≡ *twl-of-rough-state* (*update-backtrack-lvl k* (*rough-state-of-twl S*))

lemma *wf-twl-state-update-backtrack-lvl*:
wf-twl-state S ⟹ *wf-twl-state* (*update-backtrack-lvl k S*)
unfolding *wf-twl-state-def* **by** *auto*

lemma *rough-state-of-twl-update-backtrack-lvl*:
rough-state-of-twl (*update-backtrack-lvl-twl k S*) = *update-backtrack-lvl k*
(*rough-state-of-twl S*)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-update-backtrack-lvl* **by** *fast*

abbreviation *update-conflicting-twl* **where**
update-conflicting-twl k S ≡ *twl-of-rough-state* (*update-conflicting k* (*rough-state-of-twl S*))

lemma *wf-twl-state-update-conflicting*:
wf-twl-state S ⟹ *wf-twl-state* (*update-conflicting k S*)
unfolding *wf-twl-state-def* **by** *auto*

lemma *rough-state-of-twl-update-conflicting*:
rough-state-of-twl (*update-conflicting-twl k S*) = *update-conflicting k*
(*rough-state-of-twl S*)
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-update-conflicting* **by** *fast*

abbreviation *raw-clauses-twl* **where**
raw-clauses-twl S ≡ *raw-clauses* (*rough-state-of-twl S*)

abbreviation *restart-twl* **where**
restart-twl S ≡ *twl-of-rough-state* (*restart′* (*rough-state-of-twl S*))

lemma *wf-wf-restart′*: *wf-twl-state S* ⟹ *wf-twl-state* (*restart′ S*)
  unfolding *restart′-def wf-twl-state-def* **apply** *standard*
   apply *clarify*
   apply (*rename-tac x*)
   apply (*subgoal-tac wf-twl-cls* (*trail S*) *x*)
    apply (*case-tac x*)
  using *restart-learned* **by** *fastforce+*

lemma *rough-state-of-twl-restart-twl*:
  rough-state-of-twl (*restart-twl S*) = *restart′* (*rough-state-of-twl S*)
  by (*simp add*: *twl-of-rough-state-inverse wf-wf-restart′*)

interpretation $cdcl_W$*-twl-NOT*: *dpll-state*
  λS. convert-trail-from-W (*trail-twl S*)
  raw-clauses-twl
  λL S. cons-trail-twl (*convert-marked-lit-from-NOT L*) *S*
  λS. tl-trail-twl S
  λC S. add-learned-cls-twl C S
  λC S. remove-cls-twl C S
  apply *unfold-locales*
        apply (*simp add*: *rough-state-of-twl-cons-trail*)
       apply (*metis rough-state-of-twl-tl-trail rough-cdcl.tl-trail*)
      apply (*metis rough-state-of-twl-add-learned-cls rough-cdcl.trail-add-cls*$_{NOT}$)
     apply (*metis rough-state-of-twl-remove-cls rough-cdcl.trail-remove-cls*)
    apply (*simp add*: *rough-state-of-twl-cons-trail*)
   apply (*simp add*: *twl.rough-state-of-twl-tl-trail*)
   using *rough-cdcl.clauses-add-cls*$_{NOT}$ *rough-cdcl.clauses-def rough-state-of-twl-add-learned-cls*
   apply *auto*[*1*]
  using *rough-cdcl.clauses-def rough-cdcl.clauses-remove-cls rough-state-of-twl-remove-cls* **by** *auto*

interpretation $cdcl_W$*-twl*: $state_W$
  trail-twl
  init-clss-twl
  learned-clss-twl
  backtrack-lvl-twl
  conflicting-twl
  cons-trail-twl
  tl-trail-twl
  add-init-cls-twl
  add-learned-cls-twl
  remove-cls-twl
  update-backtrack-lvl-twl
  update-conflicting-twl
  init-state-twl
  restart-twl
  apply *unfold-locales*
  by (*simp-all add*: *rough-state-of-twl-cons-trail rough-state-of-twl-tl-trail*
    rough-state-of-twl-add-init-cls rough-state-of-twl-add-learned-cls rough-state-of-twl-remove-cls
    rough-state-of-twl-update-backtrack-lvl rough-state-of-twl-update-conflicting
    rough-state-of-twl-init-state rough-state-of-twl-restart-twl
    rough-cdcl.learned-clss-restart-state)

interpretation *cdcl*$_W$*-twl*: *cdcl*$_W$
  trail-twl
  init-clss-twl
  learned-clss-twl
  backtrack-lvl-twl
  conflicting-twl
  cons-trail-twl
  tl-trail-twl
  add-init-cls-twl
  add-learned-cls-twl
  remove-cls-twl
  update-backtrack-lvl-twl
  update-conflicting-twl
  init-state-twl
  restart-twl
  by *unfold-locales*

abbreviation *state-eq-twl* (**infix** $\sim$*TWL 51*) **where**
state-eq-twl S S′ ≡ *rough-cdcl.state-eq* (*rough-state-of-twl S*) (*rough-state-of-twl S′*)
notation *cdcl*$_W$*-twl.state-eq* (**infix** $\sim$ *51*)
declare *cdcl*$_W$*-twl.state-simp*[*simp del*]
  cdcl$_W$*-twl-NOT.state-simp*$_{NOT}$[*simp del*]

To avoid ambiguities:

no-notation *CDCL-Two-Watched-Literals.twl.state-eq-twl* (**infix** $\sim$*TWL 51*)

definition *propagate-twl* **where**
propagate-twl S S′ ⟷
  (∃ *L C*. (*L*, *C*) ∈ *candidates-propagate-twl S*
  ∧ *S′* $\sim$*TWL cons-trail-twl* (*Propagated L C*) *S*
  ∧ *conflicting-twl S* = *None*)

lemma *propagate-twl-iff-propagate*:
  assumes *inv*: *cdcl*$_W$*-twl.cdcl*$_W$*-all-struct-inv S*
  shows *cdcl*$_W$*-twl.propagate S T* ⟷ *propagate-twl S T* (**is** *?P* ⟷ *?T*)
proof
  assume *?P*
  then obtain *C L* **where**
    conflicting (*rough-state-of-twl S*) = *None* **and**
    CL-Clauses: *C* + {#*L*#} ∈# *cdcl*$_W$*-twl.clauses S* **and**
    tr-CNot: *trail-twl S* ⊨*as CNot C* **and**
    undef-lot: *undefined-lit* (*trail-twl S*) *L* **and**
    T $\sim$ *cons-trail-twl* (*Propagated L* (*C* + {#*L*#})) *S*
    unfolding *cdcl*$_W$*-twl.propagate.simps* **by** *blast*
  have *distinct-mset* (*C* + {#*L*#})
    using *inv CL-Clauses* **unfolding** *cdcl*$_W$*-twl.cdcl*$_W$*-all-struct-inv-def*
    cdcl$_W$*-twl.distinct-cdcl*$_W$*-state-def cdcl*$_W$*-twl.clauses-def distinct-mset-set-def*
    by (*metis* (*no-types*, *lifting*) *add-gr-0 mem-set-mset-iff plus-multiset.rep-eq*)
  then have *C-L-L*: *mset-set* (*set-mset* (*C* + {#*L*#}) − {*L*}) = *C*
    by (*metis Un-insert-right add-diff-cancel-left′ add-diff-cancel-right′*
      distinct-mset-set-mset-ident finite-set-mset insert-absorb2 mset-set.insert-remove
      set-mset-single set-mset-union)
  have (*L*, *C*+{#*L*#}) ∈ *candidates-propagate-twl S*
    apply (*rule wf-candidates-propagate-complete*)

```
        using rough-state-of-twl apply auto[]
       using CL-Clauses unfolding cdcl_W-twl.clauses-def apply auto[]
      apply simp
     using C-L-L tr-CNot apply simp
    using undef-lot apply blast
    done
  show ?T unfolding propagate-twl-def
    apply (rule exI[of - L], rule exI[of - C+{#L#}])
    apply (auto simp: ‹(L, C+{#L#}) ∈ candidates-propagate-twl S›
      ‹conflicting (rough-state-of-twl S) = None› )
    using ‹T ∼ cons-trail-twl (Propagated L (C + {#L#})) S› cdcl_W-twl.state-eq-backtrack-lvl
    cdcl_W-twl.state-eq-conflicting cdcl_W-twl.state-eq-init-clss
    cdcl_W-twl.state-eq-learned-clss cdcl_W-twl.state-eq-trail rough-cdcl.state-eq-def by blast
next
  assume ?T
  then obtain L C where
    LC: (L, C) ∈ candidates-propagate-twl S and
    T: T ∼TWL cons-trail-twl (Propagated L C) S and
    confl: conflicting (rough-state-of-twl S) = None
    unfolding propagate-twl-def by auto
  have [simp]: C − {#L#} + {#L#} = C
    using LC unfolding candidates-propagate-def
    by clarify (metis add.commute add-diff-cancel-right' count-diff insert-DiffM
      multi-member-last not-gr0 zero-diff)
  have C ∈# raw-clauses-twl S
    using LC unfolding candidates-propagate-def rough-cdcl.clauses-def by auto
  then have distinct-mset C
    using inv unfolding cdcl_W-twl.cdcl_W-all-struct-inv-def cdcl_W-twl.distinct-cdcl_W-state-def
    cdcl_W-twl.clauses-def distinct-mset-set-def rough-cdcl.clauses-def by auto
  then have C-L-L: mset-set (set-mset C − {L}) = C − {#L#}
    by (metis ‹C − {#L#} + {#L#} = C› add-left-imp-eq diff-single-trivial
      distinct-mset-set-mset-ident finite-set-mset mem-set-mset-iff mset-set.remove
      multi-self-add-other-not-self union-commute)

  show ?P
    apply (rule cdcl_W-twl.propagate.intros[of - trail-twl S init-clss-twl S
      learned-clss-twl S backtrack-lvl-twl S C−{#L#} L])
        using confl apply auto[]
       using LC unfolding candidates-propagate-def apply (auto simp: cdcl_W-twl.clauses-def)[]
      using wf-candidates-propagate-sound[OF - LC] rough-state-of-twl apply (simp add: C-L-L)
     using wf-candidates-propagate-sound[OF - LC] rough-state-of-twl apply simp
    using T unfolding cdcl_W-twl.state-eq-def rough-cdcl.state-eq-def by auto
qed

term local.state-eq-twl
term CDCL-Two-Watched-Literals.twl.state-eq-twl
definition conflict-twl where
conflict-twl S S' ⟷
  (∃ C. C ∈ candidates-conflict-twl S
  ∧ S' ∼TWL update-conflicting-twl (Some C) S
  ∧ conflicting-twl S = None)

lemma conflict-twl-iff-conflict:
  shows cdcl_W-twl.conflict S T ⟷ conflict-twl S T (is ?C ⟷ ?T)
proof
```

assume *?C*
then obtain *M N U k C* **where**
S: *rough-cdcl.state* (*rough-state-of-twl S*) = (*M*, *N*, *U*, *k*, *None*) **and**
C: *C* ∈# *cdcl$_W$-twl.clauses S* **and**
M-C: *M* ⊨*as CNot C* **and**
T: *T* ∼ *update-conflicting-twl* (*Some C*) *S*
by *auto*
have *C* ∈ *candidates-conflict-twl S*
apply (*rule wf-candidates-conflict-complete*)
apply *simp*
using *C* **apply** (*auto simp*: *cdcl$_W$-twl.clauses-def*)[]
using *M-C S* **by** *auto*
moreover have *T* ∼*TWL twl-of-rough-state* (*update-conflicting* (*Some C*) (*rough-state-of-twl S*))
using *T* **unfolding** *rough-cdcl.state-eq-def cdcl$_W$-twl.state-eq-def* **by** *auto*
ultimately show *?T*
using *S* **unfolding** *conflict-twl-def* **by** *auto*
next
assume *?T*
then obtain *C* **where**
C: *C* ∈ *candidates-conflict-twl S* **and**
T: *T* ∼*TWL update-conflicting-twl* (*Some C*) *S* **and**
confl: *conflicting-twl S* = *None*
unfolding *conflict-twl-def* **by** *auto*
have *C* ∈# *cdcl$_W$-twl.clauses S*
using *C* **unfolding** *candidates-conflict-def cdcl$_W$-twl.clauses-def* **by** *auto*
moreover have *trail-twl S* ⊨*as CNot C*
using *wf-candidates-conflict-sound*[*OF - C*] **by** *auto*
ultimately show *?C* **apply** −
apply (*rule cdcl$_W$-twl.conflict.conflict-rule*[*of - - - - - C*])
using *confl T* **unfolding** *rough-cdcl.state-eq-def cdcl$_W$-twl.state-eq-def* **by** *auto*
qed

inductive *cdcl$_W$-twl* :: *'v wf-twl* ⇒ *'v wf-twl* ⇒ *bool* **for** *S* :: *'v wf-twl* **where**
propagate: *propagate-twl S S'* ⟹ *cdcl$_W$-twl S S'* |
conflict: *conflict-twl S S'* ⟹ *cdcl$_W$-twl S S'* |
other: *cdcl$_W$-twl.cdcl$_W$-o S S'* ⟹ *cdcl$_W$-twl S S'*|
rf: *cdcl$_W$-twl.cdcl$_W$-rf S S'* ⟹ *cdcl$_W$-twl S S'*

lemma *cdcl$_W$-twl-iff-cdcl$_W$*:
assumes *cdcl$_W$-twl.cdcl$_W$-all-struct-inv S*
shows *cdcl$_W$-twl S T* ⟷ *cdcl$_W$-twl.cdcl$_W$ S T*
by (*simp add*: *assms cdcl$_W$-twl.cdcl$_W$.simps cdcl$_W$-twl.simps conflict-twl-iff-conflict*
propagate-twl-iff-propagate)

lemma *rtranclp-cdcl$_W$-twl-all-struct-inv-inv*:
assumes *cdcl$_W$-twl** S T* **and** *cdcl$_W$-twl.cdcl$_W$-all-struct-inv S*
shows *cdcl$_W$-twl.cdcl$_W$-all-struct-inv T*
using *assms* **by** (*induction rule*: *rtranclp-induct*)
(*simp-all add*: *cdcl$_W$-twl-iff-cdcl$_W$ cdcl$_W$-twl.cdcl$_W$-all-struct-inv-inv*)

lemma *rtranclp-cdcl$_W$-twl-iff-rtranclp-cdcl$_W$*:
assumes *cdcl$_W$-twl.cdcl$_W$-all-struct-inv S*
shows *cdcl$_W$-twl** S T* ⟷ *cdcl$_W$-twl.cdcl$_W{}^{**}$ S T* (**is** *?T* ⟷ *?W*)
proof
assume *?W*

```
  then show ?T
    proof (induction rule: rtranclp-induct)
      case base
      then show ?case by simp
    next
      case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)
      have cdcl_W-twl T U
        using assms st cdcl cdcl_W-twl.rtranclp-cdcl_W-all-struct-inv-inv cdcl_W-twl-iff-cdcl_W
        by blast
      then show ?case using IH by auto
    qed
next
  assume ?T
  then show ?W
    proof (induction rule: rtranclp-induct)
      case base
      then show ?case by simp
    next
      case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)
      have cdcl_W-twl.cdcl_W T U
        using assms st cdcl rtranclp-cdcl_W-twl-all-struct-inv-inv cdcl_W-twl-iff-cdcl_W
        by blast
      then show ?case using IH by auto
    qed
qed

interpretation cdcl_NOT-twl: backjumping-ops
  λS. convert-trail-from-W (trail-twl S)
  abstract-twl.raw-clauses-twl
  λL (S:: 'v wf-twl).
    cons-trail-twl
      (convert-marked-lit-from-NOT L) (S:: 'v wf-twl)
  tl-trail-twl
  add-learned-cls-twl
  remove-cls-twl
   λC - - (S:: 'v wf-twl) -. C ∈ candidates-conflict-twl S
  by unfold-locales

lemma reduce-trail-to_NOT-skip-beginning-twl:
  assumes trail-twl S = convert-trail-from-NOT (F' @ F)
  shows trail-twl (cdcl_W-twl.reduce-trail-to_NOT F S) = convert-trail-from-NOT F
  using assms by (induction F' arbitrary: S) auto

lemma reduce-trail-to_NOT-trail-tl-trail-twl-decomp[simp]:
  trail-twl S = convert-trail-from-NOT (F' @ Marked K () # F) ⟹
     trail-twl (cdcl_W-twl.reduce-trail-to_NOT F (tl-trail-twl S)) = convert-trail-from-NOT F
  apply (rule reduce-trail-to_NOT-skip-beginning-twl[of - tl (F' @ Marked K () # [])])
  by (cases F') (auto simp add:tl-append rough-cdcl.reduce-trail-to_NOT-skip-beginning)

lemma trail-twl-reduce-trail-to_NOT-drop:
  trail-twl (cdcl_W-twl.reduce-trail-to_NOT F S) =
    (if length (trail-twl S) ≥ length F
    then drop (length (trail-twl S) − length F) (trail-twl S)
    else [])
  apply (induction F S rule: cdcl_W-twl.reduce-trail-to_NOT.induct)
```

apply (*rename-tac F S*)
apply (*case-tac trail-twl S*)
 apply *auto*[]
apply (*rename-tac list*)
apply (*case-tac Suc* (*length list*) > *length F*)
 prefer *2* **apply** *simp*
apply (*subgoal-tac Suc* (*length list*) − *length F* = *Suc* (*length list* − *length F*))
 apply *simp*
apply *simp*
done

interpretation *cdcl*$_{NOT}$*-twl*: *dpll-with-backjumping-ops*
 λS. convert-trail-from-W (*trail-twl S*)
 abstract-twl.raw-clauses-twl
 λL S.
  cons-trail-twl
   (*convert-marked-lit-from-NOT L*) *S*
 tl-trail-twl
 add-learned-cls-twl
 remove-cls-twl
 λL S. lit-of L ∈ *fst* ‘ *candidates-propagate-twl S*
 λS. no-dup (*trail-twl S*)
 λC - - S -. C ∈ *candidates-conflict-twl S*
proof (*unfold-locales*, *goal-cases*)
 case (*1 C′ S C F′ K F L*) **note** *n-d* = *this*(*1*) **and** *n-d′* = *this*(*2*) **and** *undef* = *this*(*6*)
 let *?T′* = (*cons-trail* (*Propagated L* {#}) (*rough-state-of-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*)))
 let *?T* = (*cons-trail-twl* (*Propagated L* {#}) (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*))
 have *tr-F-S*: *map lit-of* (*trail-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*)) =
  map lit-of (*convert-trail-from-NOT F*)
  apply (*subst trail-twl-reduce-trail-to*$_{NOT}$*-drop*[*of F S*])
  using *1*(*1*) *arg-cong*[*OF 1*(*3*), *of length*] *arg-cong*[*OF 1*(*3*), *of map lit-of*]
  by (*auto simp*: *o-def drop-map*[*symmetric*])

 have *no-dup* (*trail-twl S*)
  using *1*(*1*) **by** *blast*
 have *wf-twl-state* (*rough-state-of-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*))
  using *wf-twl-state-rough-state-of-twl* **by** *blast*
 moreover have *undef′*: *undefined-lit* (*trail-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*)) *L*
  using *undef arg-cong*[*OF tr-F-S*, *of map atm-of*] **unfolding** *defined-lit-map image-set*
  by (*simp add*: *o-def*)
 ultimately have *wf-twl-state ?T′*
  by (*simp-all add*: *wf-twl-state-cons-trail*)
 then have *init-clss-twl ?T* = *init-clss-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*)
   using *1*(*6*) **by** (*simp add*: *undef′*)
 then have [*simp*]: *init-clss-twl ?T* = *init-clss-twl S*
   by (*simp add*: *cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$*-reduce-trail-convert*)

 have *learned-clss-twl ?T* = *learned-clss-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*)
  by (*smt 1*(*3*) *1*(*6*) *append-assoc cdcl*$_W$*-twl.learned-clss-cons-trail*
   cdcl$_W$*-twl-NOT.reduce-trail-to*$_{NOT}$*-eq-length cdcl*$_W$*-twl-NOT.reduce-trail-to*$_{NOT}$*-nil*
   cdcl$_W$*-twl-NOT.reduce-trail-to*$_{NOT}$*-skip-beginning comp-apply defined-lit-convert-trail-from-W*
   list.sel(*3*) *marked-lit.sel*(*2*) *rev.simps*(*2*) *rev-append rev-eq-Cons-iff*
   cons-trail-twl-def)
 moreover have *learned-clss-twl* (*cdcl*$_W$*-twl.reduce-trail-to*$_{NOT}$ *F S*)
  = *learned-clss-twl S*

```
    by (simp add: cdcl_W-twl.reduce-trail-to_NOT-reduce-trail-convert)
  ultimately have [simp]: learned-clss-twl ?T = learned-clss-twl S
    by simp
  have tr-L-F-S: map lit-of (trail-twl ?T)
    = map lit-of (Propagated L {#} # convert-trail-from-NOT F)
    using undef' tr-F-S by (simp add: o-def)
  have C-confl-cand: C ∈ candidates-conflict-twl S
    apply(rule wf-candidates-twl-conflict-complete)
     using 1(1,4) apply (simp add: rough-cdcl.clauses-def)
    using 1(5) by (simp add: tr-L-F-S true-annots-true-cls lits-of-convert-trail-from-NOT)

  have cdcl_NOT-twl.backjump S
    (cons-trail-twl (convert-marked-lit-from-NOT (Propagated L ()))
      (cdcl_W-twl.reduce-trail-to_NOT F S))
    apply (rule cdcl_NOT-twl.backjump.intros[of S F' K F - L C, OF 1(3) - 1(4−6) - 1(8−9)])
     unfolding cdcl_W-twl-NOT.state-eq_NOT-def apply (metis convert-marked-lit-from-NOT.simps(1))
     using 1(7) 1(3) apply presburger
    using C-confl-cand by simp
  then show ?case
    by blast
qed

interpretation cdcl_NOT-twl: dpll-with-backjumping
  λS. convert-trail-from-W (trail-twl S)
  abstract-twl.raw-clauses-twl
  λL (S:: 'v wf-twl).
    cons-trail-twl
      (convert-marked-lit-from-NOT L) (S:: 'v wf-twl)
  tl-trail-twl
  add-learned-cls-twl
  remove-cls-twl
  λL S. lit-of L ∈ fst ‘ candidates-propagate-twl S
  λS. no-dup (trail-twl S)
  λC - - (S:: 'v wf-twl) -. C ∈ candidates-conflict-twl S
  apply unfold-locales
  using cdcl_NOT-twl.dpll-bj-no-dup by (simp add: o-def)
end

end
theory Prop-Superposition
imports Partial-Clausal-Logic ../lib/Herbrand-Interpretation
begin
sledgehammer-params[verbose]
no-notation Herbrand-Interpretation.true-cls (infix ⊨ 50)
notation Herbrand-Interpretation.true-cls (infix ⊨h 50)

no-notation Herbrand-Interpretation.true-clss (infix ⊨s 50)
notation Herbrand-Interpretation.true-clss (infix ⊨hs 50)

lemma herbrand-interp-iff-partial-interp-cls:
  S ⊨h C ⟷ {Pos P|P. P∈S} ∪ {Neg P|P. P∉S} ⊨ C
  unfolding Herbrand-Interpretation.true-cls-def Partial-Clausal-Logic.true-cls-def
  by auto

lemma herbrand-consistent-interp:
```

consistent-interp ({*Pos P*|*P*. *P*∈*S*} ∪ {*Neg P*|*P*. *P*∉*S*})
unfolding *consistent-interp-def* **by** *auto*

lemma *herbrand-total-over-set*:
total-over-set ({*Pos P*|*P*. *P*∈*S*} ∪ {*Neg P*|*P*. *P*∉*S*}) *T*
unfolding *total-over-set-def* **by** *auto*

lemma *herbrand-total-over-m*:
total-over-m ({*Pos P*|*P*. *P*∈*S*} ∪ {*Neg P*|*P*. *P*∉*S*}) *T*
unfolding *total-over-m-def* **by** (*auto simp add*: *herbrand-total-over-set*)

lemma *herbrand-interp-iff-partial-interp-clss*:
S ⊨*hs C* ⟷ {*Pos P*|*P*. *P*∈*S*} ∪ {*Neg P*|*P*. *P*∉*S*} ⊨*s C*
unfolding *true-clss-def Ball-def herbrand-interp-iff-partial-interp-cls*
Partial-Clausal-Logic.true-clss-def **by** *auto*

definition *clss-lt* :: *'a*::*wellorder clauses* ⇒ *'a clause* ⇒ *'a clauses* **where**
clss-lt N C = {*D* ∈ *N*. *D* #⊂# *C*}

notation (*latex* **output**)
clss-lt (-<^bsup>-<^esup>)

locale *selection* =
fixes *S* :: *'a clause* ⇒ *'a clause*
assumes
S-selects-subseteq: ⋀*C*. *S C* ≤# *C* **and**
S-selects-neg-lits: ⋀*C L*. *L* ∈# *S C* ⟹ *is-neg L*

locale *ground-resolution-with-selection* =
selection S **for** *S* :: (*'a* :: *wellorder*) *clause* ⇒ *'a clause*
begin

context
fixes *N* :: *'a clause set*
begin

We do not create an equivalent of δ, but we directly defined N_C by inlining the definition.

function
production :: *'a clause* ⇒ *'a interp*
where
production C =
{*A*. *C* ∈ *N* ∧ *C* ≠ {#} ∧ *Max* (*set-mset C*) = *Pos A* ∧ *count C* (*Pos A*) ≤ *1*
∧ ¬ (⋃*D* ∈ {*D*. *D* #⊂# *C*}. *production D*) ⊨*h C* ∧ *S C* = {#}}
by *auto*
termination by (*relation* {(*D*, *C*). *D* #⊂# *C*}) (*auto simp*: *wf-less-multiset*)

declare *production.simps*[*simp del*]

definition *interp* :: *'a clause* ⇒ *'a interp* **where**
interp C = (⋃*D* ∈ {*D*. *D* #⊂# *C*}. *production D*)

lemma *production-unfold*:
production C = {*A*. *C* ∈ *N* ∧ *C* ≠ {#} ∧ *Max* (*set-mset C*) = *Pos A*∧ *count C* (*Pos A*) ≤ *1* ∧ ¬ *interp C* ⊨*h C* ∧ *S C* = {#}}
unfolding *interp-def* **by** (*rule production.simps*)

abbreviation *productive A* ≡ (*production A* ≠ {})

abbreviation *produces* :: *'a clause* ⇒ *'a* ⇒ *bool* **where**
  produces C A ≡ *production C* = {*A*}

lemma *producesD*:
  produces C A ⟹ *C* ∈ *N* ∧ *C* ≠ {#} ∧ *Pos A* = *Max* (*set-mset C*) ∧ *count C* (*Pos A*) ≤ *1*∧ ¬ *interp C* ⊨*h C* ∧ *S C* = {#}
  unfolding *production-unfold* **by** *auto*

lemma *produces C A* ⟹ *Pos A* ∈# *C*
  by (*simp add*: *Max-in-lits producesD*)

lemma *interp'-def-in-set*:
  interp C = (⋃*D* ∈ {*D* ∈ *N*. *D* #⊂# *C*}. *production D*)
  unfolding *interp-def* **apply** *auto*
  unfolding *production-unfold* **apply** *auto*
  done

lemma *production-iff-produces*:
  produces D A ⟷ *A* ∈ *production D*
  unfolding *production-unfold* **by** *auto*

definition *Interp* :: *'a clause* ⇒ *'a interp* **where**
  Interp C = *interp C* ∪ *production C*

lemma
  assumes *produces C P*
  shows *Interp C* ⊨*h C*
  unfolding *Interp-def assms* **using** *producesD*[*OF assms*]
  by (*metis Max-in-lits Un-insert-right insertI1 pos-literal-in-imp-true-cls*)

definition *INTERP* :: *'a interp* **where**
INTERP = (⋃*D* ∈*N*. *production D*)

lemma *interp-subseteq-Interp*[*simp*]: *interp C* ⊆ *Interp C*
  unfolding *Interp-def* **by** *simp*

lemma *Interp-as-UNION*: *Interp C* = (⋃*D* ∈ {*D*. *D* #⊆# *C*}. *production D*)
  unfolding *Interp-def interp-def le-multiset-def* **by** *fast*

lemma *productive-not-empty*: *productive C* ⟹ *C* ≠ {#}
  unfolding *production-unfold* **by** *auto*

lemma *productive-imp-produces-Max-literal*: *productive C* ⟹ *produces C* (*atm-of* (*Max* (*set-mset C*)))
  unfolding *production-unfold* **by** (*auto simp del*: *atm-of-Max-lit*)

lemma *productive-imp-produces-Max-atom*: *productive C* ⟹ *produces C* (*Max* (*atms-of C*))
  unfolding *atms-of-def Max-atm-of-set-mset-commute*[*OF productive-not-empty*]
  by (*rule productive-imp-produces-Max-literal*)

lemma *produces-imp-Max-literal*: *produces C A* ⟹ *A* = *atm-of* (*Max* (*set-mset C*))
  by (*metis Max-singleton insert-not-empty productive-imp-produces-Max-literal*)

lemma *produces-imp-Max-atom*: *produces C A* $\Longrightarrow$ *A* = *Max* (*atms-of C*)
  by (*metis Max-singleton insert-not-empty productive-imp-produces-Max-atom*)

lemma *produces-imp-Pos-in-lits*: *produces C A* $\Longrightarrow$ *Pos A* $\in\#$ *C*
  by (*auto intro*: *Max-in-lits dest*!: *producesD*)

lemma *productive-in-N*: *productive C* $\Longrightarrow$ *C* $\in$ *N*
  unfolding *production-unfold* **by** *auto*

lemma *produces-imp-atms-leq*: *produces C A* $\Longrightarrow$ *B* $\in$ *atms-of C* $\Longrightarrow$ *B* $\leq$ *A*
  by (*metis Max-ge finite-atms-of insert-not-empty productive-imp-produces-Max-atom*
    singleton-inject)

lemma *produces-imp-neg-notin-lits*: *produces C A* $\Longrightarrow$ $\neg$ *Neg A* $\in\#$ *C*
  by (*auto intro*!: *pos-Max-imp-neg-notin dest*: *producesD simp del*: *not-gr0*)

lemma *less-eq-imp-interp-subseteq-interp*: *C* $\#{\subseteq}\#$ *D* $\Longrightarrow$ *interp C* $\subseteq$ *interp D*
  unfolding *interp-def* **by** *auto* (*metis multiset-order.order.strict-trans2*)

lemma *less-eq-imp-interp-subseteq-Interp*: *C* $\#{\subseteq}\#$ *D* $\Longrightarrow$ *interp C* $\subseteq$ *Interp D*
  unfolding *Interp-def* **using** *less-eq-imp-interp-subseteq-interp* **by** *blast*

lemma *less-imp-production-subseteq-interp*: *C* $\#{\subset}\#$ *D* $\Longrightarrow$ *production C* $\subseteq$ *interp D*
  unfolding *interp-def* **by** *fast*

lemma *less-eq-imp-production-subseteq-Interp*: *C* $\#{\subseteq}\#$ *D* $\Longrightarrow$ *production C* $\subseteq$ *Interp D*
  unfolding *Interp-def* **using** *less-imp-production-subseteq-interp*
  by (*metis multiset-order.le-imp-less-or-eq le-supI1 sup-ge2*)

lemma *less-imp-Interp-subseteq-interp*: *C* $\#{\subset}\#$ *D* $\Longrightarrow$ *Interp C* $\subseteq$ *interp D*
  unfolding *Interp-def*
  by (*auto simp*: *less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp*)

lemma *less-eq-imp-Interp-subseteq-Interp*: *C* $\#{\subseteq}\#$ *D* $\Longrightarrow$ *Interp C* $\subseteq$ *Interp D*
  using *less-imp-Interp-subseteq-interp*
  unfolding *Interp-def* **by** (*metis multiset-order.le-imp-less-or-eq le-supI2 subset-refl sup-commute*)

lemma *false-Interp-to-true-interp-imp-less-multiset*: *A* $\notin$ *Interp C* $\Longrightarrow$ *A* $\in$ *interp D* $\Longrightarrow$ *C* $\#{\subset}\#$ *D*
  using *less-eq-imp-interp-subseteq-Interp multiset-linorder.not-less* **by** *blast*

lemma *false-interp-to-true-interp-imp-less-multiset*: *A* $\notin$ *interp C* $\Longrightarrow$ *A* $\in$ *interp D* $\Longrightarrow$ *C* $\#{\subset}\#$ *D*
  using *less-eq-imp-interp-subseteq-interp multiset-linorder.not-less* **by** *blast*

lemma *false-Interp-to-true-Interp-imp-less-multiset*: *A* $\notin$ *Interp C* $\Longrightarrow$ *A* $\in$ *Interp D* $\Longrightarrow$ *C* $\#{\subset}\#$ *D*
  using *less-eq-imp-Interp-subseteq-Interp multiset-linorder.not-less* **by** *blast*

lemma *false-interp-to-true-Interp-imp-le-multiset*: *A* $\notin$ *interp C* $\Longrightarrow$ *A* $\in$ *Interp D* $\Longrightarrow$ *C* $\#{\subseteq}\#$ *D*
  using *less-imp-Interp-subseteq-interp multiset-linorder.not-less* **by** *blast*

lemma *interp-subseteq-INTERP*: *interp C* $\subseteq$ *INTERP*
  unfolding *interp-def INTERP-def* **by** (*auto simp*: *production-unfold*)

lemma *production-subseteq-INTERP*: *production C* $\subseteq$ *INTERP*
  unfolding *INTERP-def* **using** *production-unfold* **by** *blast*

```
lemma Interp-subseteq-INTERP: Interp C ⊆ INTERP
  unfolding Interp-def by (auto intro!: interp-subseteq-INTERP production-subseteq-INTERP)
```

This lemma corresponds to theorem 2.7.6 page 66 of CW.

```
lemma produces-imp-in-interp:
  assumes a-in-c: Neg A ∈# C and d: produces D A
  shows A ∈ interp C
proof −
  from d have Max (set-mset D) = Pos A
    using production-unfold by blast
  hence D #⊂# {#Neg A#}
    by (auto intro: Max-pos-neg-less-multiset)
  moreover have {#Neg A#} #⊆# C
    by (rule less-eq-imp-le-multiset) (rule mset-le-single[OF a-in-c[unfolded mem-set-mset-iff]])
  ultimately show ?thesis
    using d by (blast dest: less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp)
qed

lemma neg-notin-Interp-not-produce: Neg A ∈# C ⟹ A ∉ Interp D ⟹ C #⊆# D ⟹ ¬ produces
D'' A
  by (auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-Interp)

lemma in-production-imp-produces: A ∈ production C ⟹ produces C A
  by (metis insert-absorb productive-imp-produces-Max-atom singleton-insert-inj-eq')

lemma not-produces-imp-notin-production: ¬ produces C A ⟹ A ∉ production C
  by (metis in-production-imp-produces)

lemma not-produces-imp-notin-interp: (⋀D. ¬ produces D A) ⟹ A ∉ interp C
  unfolding interp-def by (fast intro!: in-production-imp-produces)
```

The results below corresponds to Lemma 3.4.

Nitpicking: If $D = D'$ and D is productive, $I^D \subseteq I_{D'}$ does not hold.

```
lemma true-Interp-imp-general:
  assumes
    c-le-d: C #⊆# D and
    d-lt-d': D #⊂# D' and
    c-at-d: Interp D ⊨h C and
    subs: interp D' ⊆ (⋃C ∈ CC. production C)
  shows (⋃C ∈ CC. production C) ⊨h C
proof (cases ∃A. Pos A ∈# C ∧ A ∈ Interp D)
  case True
  then obtain A where a-in-c: Pos A ∈# C and a-at-d: A ∈ Interp D
    by blast
  from a-at-d have A ∈ interp D'
    using d-lt-d' less-imp-Interp-subseteq-interp by blast
  thus ?thesis
    using subs a-in-c by (blast dest: contra-subsetD)
next
  case False
  then obtain A where a-in-c: Neg A ∈# C and A ∉ Interp D
    using c-at-d unfolding true-cls-def by blast
  hence ⋀D''. ¬ produces D'' A
    using c-le-d neg-notin-Interp-not-produce by simp
  thus ?thesis
    using a-in-c subs not-produces-imp-notin-production by auto
qed

lemma true-Interp-imp-interp: C #⊆# D ⟹ D #⊂# D′ ⟹ Interp D ⊨h C ⟹ interp D′ ⊨h C
  using interp-def true-Interp-imp-general by simp

lemma true-Interp-imp-Interp: C #⊆# D ⟹ D #⊂# D′ ⟹ Interp D ⊨h C ⟹ Interp D′ ⊨h C
  using Interp-as-UNION interp-subseteq-Interp true-Interp-imp-general by simp

lemma true-Interp-imp-INTERP: C #⊆# D ⟹ Interp D ⊨h C ⟹ INTERP ⊨h C
  using INTERP-def interp-subseteq-INTERP
    true-Interp-imp-general[OF - less-multiset-right-total]
  by simp

lemma true-interp-imp-general:
  assumes
    c-le-d: C #⊆# D and
    d-lt-d′: D #⊂# D′ and
    c-at-d: interp D ⊨h C and
    subs: interp D′ ⊆ (⋃ C ∈ CC. production C)
  shows (⋃ C ∈ CC. production C) ⊨h C
proof (cases ∃ A. Pos A ∈# C ∧ A ∈ interp D)
  case True
  then obtain A where a-in-c: Pos A ∈# C and a-at-d: A ∈ interp D
    by blast
  from a-at-d have A ∈ interp D′
    using d-lt-d′ less-eq-imp-interp-subseteq-interp[OF multiset-order.less-imp-le] by blast
  thus ?thesis
    using subs a-in-c by (blast dest: contra-subsetD)
next
  case False
  then obtain A where a-in-c: Neg A ∈# C and A ∉ interp D
    using c-at-d unfolding true-cls-def by blast
  hence ⋀D′′. ¬ produces D′′ A
    using c-le-d by (auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-interp)
  thus ?thesis
    using a-in-c subs not-produces-imp-notin-production by auto
qed
```

This lemma corresponds to theorem 2.7.6 page 66 of CW. Here the strict maximality is important

```
lemma true-interp-imp-interp: C #⊆# D ⟹ D #⊂# D′ ⟹ interp D ⊨h C ⟹ interp D′ ⊨h C
  using interp-def true-interp-imp-general by simp

lemma true-interp-imp-Interp: C #⊆# D ⟹ D #⊂# D′ ⟹ interp D ⊨h C ⟹ Interp D′ ⊨h C
  using Interp-as-UNION interp-subseteq-Interp[of D′] true-interp-imp-general by simp

lemma true-interp-imp-INTERP: C #⊆# D ⟹ interp D ⊨h C ⟹ INTERP ⊨h C
  using INTERP-def interp-subseteq-INTERP
    true-interp-imp-general[OF - less-multiset-right-total]
  by simp

lemma productive-imp-false-interp: productive C ⟹ ¬ interp C ⊨h C
  unfolding production-unfold by auto
```

This lemma corresponds to theorem 2.7.6 page 66 of CW. Here the strict maximality is important

```
lemma cls-gt-double-pos-no-production:
  assumes D: {#Pos P, Pos P#} #⊂# C
  shows ¬produces C P
proof −
  let ?D = {#Pos P, Pos P#}
  note D' = D[unfolded less-multiset_HO]
  consider
    (P) count C (Pos P) ≥ 2
  | (Q) Q where Q > Pos P and Q ∈# C
    using HOL.spec[OF HOL.conjunct2[OF D'], of Pos P] by auto
  thus ?thesis
    proof cases
      case Q
      have Q ∈ set-mset C
        using Q(2) by (auto split: split-if-asm)
      then have Max (set-mset C) > Pos P
        using Q(1) Max-gr-iff by blast
      thus ?thesis
        unfolding production-unfold by auto
    next
      case P
      thus ?thesis
        unfolding production-unfold by auto
    qed
qed
```

This lemma corresponds to theorem 2.7.6 page 66 of CW.

```
lemma
  assumes D: C+{#Neg P#} #⊂# D
  shows production D ≠ {P}
proof −
  note D' = D[unfolded less-multiset_HO]
  consider
    (P) Neg P ∈# D
  | (Q) Q where Q > Neg P and count D Q > count (C + {#Neg P#}) Q
    using HOL.spec[OF HOL.conjunct2[OF D'], of Neg P] by fastforce
  thus ?thesis
    proof cases
      case Q
      have Q ∈ set-mset D
        using Q(2) by (auto split: split-if-asm)
      then have Max (set-mset D) > Neg P
        using Q(1) Max-gr-iff by blast
      hence Max (set-mset D) > Pos P
        using less-trans[of Pos P Neg P Max (set-mset D)] by auto
      thus ?thesis
        unfolding production-unfold by auto
    next
      case P
      hence Max (set-mset D) > Pos P
        by (meson Max-ge finite-set-mset le-less-trans linorder-not-le mem-set-mset-iff
          pos-less-neg)
      thus ?thesis
        unfolding production-unfold by auto
    qed
```

qed

lemma *in-interp-is-produced*:
  assumes $P \in INTERP$
  shows $\exists D.\ D + \{\#Pos\ P\#\} \in N \wedge produces\ (D + \{\#Pos\ P\#\})\ P$
  using *assms* **unfolding** *INTERP-def UN-iff production-iff-produces Ball-def*
  by (*metis ground-resolution-with-selection.produces-imp-Pos-in-lits insert-DiffM2 ground-resolution-with-selection-axioms not-produces-imp-notin-production*)

end
end

abbreviation $MMax\ M \equiv Max\ (set\text{-}mset\ M)$

21.6 We can now define the rules of the calculus

inductive *superposition-rules* :: *'a clause* ⇒ *'a clause* ⇒ *'a clause* ⇒ *bool* **where**
factoring: *superposition-rules* $(C + \{\#Pos\ P\#\} + \{\#Pos\ P\#\})\ B\ (C + \{\#Pos\ P\#\})$ |
superposition-l: *superposition-rules* $(C_1 + \{\#Pos\ P\#\})\ (C_2 + \{\#Neg\ P\#\})\ (C_1 + C_2)$

inductive *superposition* :: *'a clauses* ⇒ *'a clauses* ⇒ *bool* **where**
superposition: $A \in N \Longrightarrow B \in N \Longrightarrow$ *superposition-rules A B C*
  $\Longrightarrow$ *superposition* $N\ (N \cup \{C\})$

definition *abstract-red* :: *'a::wellorder clause* ⇒ *'a clauses* ⇒ *bool* **where**
abstract-red C N = (*clss-lt N C* ⊨*p C*)

lemma *less-multiset*[*iff*]: $M < N \longleftrightarrow M\ \#\subset\#\ N$
  unfolding *less-multiset-def* **by** *auto*

lemma *less-eq-multiset*[*iff*]: $M \le N \longleftrightarrow M\ \#\subseteq\#\ N$
  unfolding *less-eq-multiset-def* **by** *auto*

lemma *herbrand-true-clss-true-clss-cls-herbrand-true-clss*:
  assumes
    AB: *A* ⊨*hs B* **and**
    BC: *B* ⊨*p C*
  shows *A* ⊨*h C*
proof −
  let $?I = \{Pos\ P\ |P.\ P \in A\} \cup \{Neg\ P\ |P.\ P \notin A\}$
  have *B*: *?I* ⊨*s B* **using** *AB*
    by (*auto simp add*: *herbrand-interp-iff-partial-interp-clss*)

  have *IH*: $\bigwedge I.$ *total-over-set I* (*atms-of C*) $\Longrightarrow$ *total-over-m I B* $\Longrightarrow$ *consistent-interp I*
    $\Longrightarrow$ *I* ⊨*s B* $\Longrightarrow$ *I* ⊨ *C* **using** *BC*
    by (*auto simp add*: *true-clss-cls-def*)
  show *?thesis*
    unfolding *herbrand-interp-iff-partial-interp-cls*
    by (*auto intro*: *IH*[*of ?I*] *simp add*: *herbrand-total-over-set herbrand-total-over-m herbrand-consistent-interp B*)
qed

lemma *abstract-red-subset-mset-abstract-red*:
  assumes
    abstr: *abstract-red C N* **and**

```
    c-lt-d: C ⊆# D
  shows abstract-red D N
proof -
  have {D ∈ N. D #⊂# C} ⊆ {D' ∈ N. D' #⊂# D}
    using c-lt-d less-eq-imp-le-multiset by fastforce
  thus ?thesis
    using abstr unfolding abstract-red-def clss-lt-def
    by (metis (no-types, lifting) c-lt-d subset-mset.diff-add true-clss-cls-mono-r'
      true-clss-cls-subset)
qed


lemma true-clss-cls-extended:
  assumes
    A ⊨p B and
    tot: total-over-m I (A) and
    cons: consistent-interp I and
    I-A: I ⊨s A
  shows I ⊨ B
proof -
  let ?I = I ∪ {Pos P|P. P ∈ atms-of B ∧ P ∉ atms-of-s I}
  have consistent-interp ?I
    using cons unfolding consistent-interp-def atms-of-s-def atms-of-def
      apply (auto 1 5 simp add: image-iff)
    by (metis atm-of-uminus literal.sel(1))
  moreover have total-over-m ?I (A ∪ {B})
    proof -
      obtain aa :: 'a set ⇒ 'a literal set ⇒ 'a where
        f2: ∀x0 x1. (∃v2. v2 ∈ x0 ∧ Pos v2 ∉ x1 ∧ Neg v2 ∉ x1)
           ⟷ (aa x0 x1 ∈ x0 ∧ Pos (aa x0 x1) ∉ x1 ∧ Neg (aa x0 x1) ∉ x1)
        by moura
      have ∀a. a ∉ atms-of-ms A ∨ Pos a ∈ I ∨ Neg a ∈ I
        using tot by (simp add: total-over-m-def total-over-set-def)
      hence aa (atms-of-ms A ∪ atms-of-ms {B}) (I ∪ {Pos a |a. a ∈ atms-of B ∧ a ∉ atms-of-s I})
        ∉ atms-of-ms A ∪ atms-of-ms {B} ∨ Pos (aa (atms-of-ms A ∪ atms-of-ms {B})
          (I ∪ {Pos a |a. a ∈ atms-of B ∧ a ∉ atms-of-s I})) ∈ I
            ∪ {Pos a |a. a ∈ atms-of B ∧ a ∉ atms-of-s I}
          ∨ Neg (aa (atms-of-ms A ∪ atms-of-ms {B})
            (I ∪ {Pos a |a. a ∈ atms-of B ∧ a ∉ atms-of-s I})) ∈ I
            ∪ {Pos a |a. a ∈ atms-of B ∧ a ∉ atms-of-s I}
        by auto
     hence total-over-set (I ∪ {Pos a |a. a ∈ atms-of B ∧ a ∉ atms-of-s I}) (atms-of-ms A ∪ atms-of-ms
{B})
        using f2 by (meson total-over-set-def)
      thus ?thesis
        by (simp add: total-over-m-def)
    qed
  moreover have ?I ⊨s A
    using I-A by auto
  ultimately have ?I ⊨ B
    using ‹A⊨pB› unfolding true-clss-cls-def by auto
  thus ?thesis
oops
lemma
  assumes
```

CP: ¬ *clss-lt N* ({#*C*#} + {#*E*#}) ⊨*p* {#*C*#} + {#*Neg P*#} **and**
clss-lt N ({#*C*#} + {#*E*#}) ⊨*p* {#*E*#} + {#*Pos P*#} ∨ *clss-lt N* ({#*C*#} + {#*E*#}) ⊨*p* {#*C*#} + {#*Neg P*#}
shows *clss-lt N* ({#*C*#} + {#*E*#}) ⊨*p* {#*E*#} + {#*Pos P*#}

oops

locale *ground-ordered-resolution-with-redundancy* =
ground-resolution-with-selection +
fixes *redundant* :: *'a::wellorder clause* ⇒ *'a clauses* ⇒ *bool*
assumes
redundant-iff-abstract: *redundant A N* ⟷ *abstract-red A N*
begin
definition *saturated* :: *'a clauses* ⇒ *bool* **where**
saturated N ⟷ (∀ *A B C*. *A* ∈ *N* ⟶ *B* ∈ *N* ⟶ ¬*redundant A N* ⟶ ¬*redundant B N*
⟶ *superposition-rules A B C* ⟶ *redundant C N* ∨ *C* ∈ *N*)

lemma
assumes
saturated: *saturated N* **and**
finite: *finite N* **and**
empty: {#} ∉ *N*
shows *INTERP N* ⊨*hs N*
proof (*rule ccontr*)
let *?N*$_{\mathcal{I}}$ = *INTERP N*
assume ¬ *?thesis*
hence *not-empty*: {*E*∈*N*. ¬*?N*$_{\mathcal{I}}$ ⊨*h E*} ≠ {}
unfolding *true-clss-def Ball-def* **by** *auto*
def *D* ≡ *Min* {*E*∈*N*. ¬*?N*$_{\mathcal{I}}$ ⊨*h E*}
have [*simp*]: *D* ∈ *N*
unfolding *D-def*
by (*metis* (*mono-tags*, *lifting*) *Min-in not-empty finite mem-Collect-eq rev-finite-subset subsetI*)
have *not-d-interp*: ¬*?N*$_{\mathcal{I}}$ ⊨*h D*
unfolding *D-def*
by (*metis* (*mono-tags*, *lifting*) *Min-in finite mem-Collect-eq not-empty rev-finite-subset subsetI*)
have *cls-not-D*: ⋀*E*. *E* ∈ *N* ⟹ *E* ≠ *D* ⟹ ¬*?N*$_{\mathcal{I}}$ ⊨*h E* ⟹ *D* ≤ *E*
using *finite D-def* **by** (*auto simp del*: *less-eq-multiset*)
obtain *C L* **where** *D*: *D* = *C* + {#*L*#} **and** *LSD*: *L* ∈# *S D* ∨ (*S D* = {#} ∧ *Max* (*set-mset D*) = *L*)
proof (*cases S D* = {#})
case *False*
then obtain *L* **where** *L* ∈# *S D*
using *Max-in-lits* **by** *blast*
moreover
hence *L* ∈# *D*
using *S-selects-subseteq*[*of D*] **by** *auto*
hence *D* = (*D* − {#*L*#}) + {#*L*#}
by *auto*
ultimately show *?thesis* **using** *that* **by** *blast*
next
let *?L* = *MMax D*
case *True*
moreover
have *?L* ∈# *D*
by (*metis* (*no-types*, *lifting*) *Max-in-lits* ‹*D* ∈ *N*› *empty*)

```
      hence D = (D − {#?L#}) + {#?L#}
        by auto
    ultimately show ?thesis using that by blast
  qed
have red: ¬redundant D N
  proof (rule ccontr)
    assume red[simplified]: ~~redundant D N
    have ∀E < D. E ∈ N ⟶ ?N𝓘 ⊨h E
      using cls-not-D not-le by fastforce
    hence ?N𝓘 ⊨hs clss-lt N D
      unfolding clss-lt-def true-clss-def Ball-def by blast
    thus False
      using  red not-d-interp unfolding abstract-red-def redundant-iff-abstract
      using herbrand-true-clss-true-clss-cls-herbrand-true-clss by fast
  qed

consider
  (L) P where L = Pos P and S D = {#} and Max (set-mset D) = Pos P
| (Lneg) P where L = Neg P
  using LSD S-selects-neg-lits[of D L] by (cases L) auto
thus False
  proof cases
    case L note P = this(1) and S = this(2) and max = this(3)
    have count D L > 1
      proof (rule ccontr)
        assume ~ ?thesis
        hence count: count D L = 1
          unfolding D by auto
        have ¬?N𝓘⊨h D
          using not-d-interp true-interp-imp-INTERP ground-resolution-with-selection-axioms
            by blast
        hence produces N D P
          using not-empty empty finite ‹D ∈ N› count L
            true-interp-imp-INTERP unfolding production-iff-produces unfolding production-unfold
          by (auto simp add: max not-empty)
        hence INTERP N ⊨h D
          unfolding D
          by (metis pos-literal-in-imp-true-cls produces-imp-Pos-in-lits
            production-subseteq-INTERP singletonI subsetCE)
        thus False
          using not-d-interp by blast
      qed
    then obtain C' where C':D = C' + {#Pos P#} + {#Pos P#}
      unfolding D by (metis P add.left-neutral add-less-cancel-right count-single count-union
        multi-member-split)
    have sup: superposition-rules D D (D − {#L#})
      unfolding C' L by (auto simp add: superposition-rules.simps)
    have C' + {#Pos P#}  #⊂# C' + {#Pos P#} + {#Pos P#}
      by auto
    moreover have ¬?N𝓘 ⊨h (D − {#L#})
      using not-d-interp unfolding C' L by auto
    ultimately have C' + {#Pos P#} ∉ N
      by (metis (no-types, lifting) C' P add-diff-cancel-right' cls-not-D less-multiset
        multi-self-add-other-not-self not-le)
    have D − {#L#} #⊂# D
```

unfolding C' L **by** *auto*
have c'-*p*-*p*: $C' + \{\#Pos\ P\#\} + \{\#Pos\ P\#\} - \{\#Pos\ P\#\} = C' + \{\#Pos\ P\#\}$
by *auto*
have *redundant* $(C' + \{\#Pos\ P\#\})\ N$
using *saturated red sup* $\langle D \in N\rangle\langle C' + \{\#Pos\ P\#\} \notin N\rangle$ **unfolding** *saturated-def* C' L c'-*p*-*p*
by *blast*
moreover have $C' + \{\#Pos\ P\#\}\ \subseteq\#\ C' + \{\#Pos\ P\#\} + \{\#Pos\ P\#\}$
by *auto*
ultimately show *False*
using *red* **unfolding** C' *redundant-iff-abstract* **by** (*blast dest*:
abstract-red-subset-mset-abstract-red)
next
case *Lneg* **note** $L = this(1)$
have $P \in\ ?N_{\mathcal{I}}$
using *not-d-interp* **unfolding** *D true-cls-def L* **by** (*auto split*: *split-if-asm*)
then obtain E **where**
DPN: $E + \{\#Pos\ P\#\} \in N$ **and**
prod: *production* $N\ (E + \{\#Pos\ P\#\}) = \{P\}$
using *in-interp-is-produced* **by** *blast*
have *sup-EC*: *superposition-rules* $(E + \{\#Pos\ P\#\})\ (C + \{\#Neg\ P\#\})\ (E + C)$
using *superposition-l* **by** *fast*
hence *superposition* $N\ (N \cup \{E{+}C\})$
using *DPN* $\langle D \in N\rangle$ **unfolding** *D L* **by** (*auto simp add*: *superposition.simps*)
have
PMax: $Pos\ P = MMax\ (E + \{\#Pos\ P\#\})$ **and**
$count\ (E + \{\#Pos\ P\#\})\ (Pos\ P) \leq 1$ **and**
$S\ (E + \{\#Pos\ P\#\}) = \{\#\}$ **and**
$\neg interp\ N\ (E + \{\#Pos\ P\#\}) \models h\ E + \{\#Pos\ P\#\}$
using *prod* **unfolding** *production-unfold* **by** *auto*
have $Neg\ P \notin\#\ E$
using *prod produces-imp-neg-notin-lits* **by** *force*
hence $\bigwedge y.\ y \in\#\ (E + \{\#Pos\ P\#\})$
$\Longrightarrow count\ (E + \{\#Pos\ P\#\})\ (Neg\ P) < count\ (C + \{\#Neg\ P\#\})\ (Neg\ P)$
by (*auto split*: *split-if-asm*)
moreover have $\bigwedge y.\ y \in\#\ (E + \{\#Pos\ P\#\}) \Longrightarrow y < Neg\ P$
using *PMax* **by** (*metis DPN Max-less-iff empty finite-set-mset mem-set-mset-iff pos-less-neg*
set-mset-eq-empty-iff)
moreover have $E + \{\#Pos\ P\#\} \neq C + \{\#Neg\ P\#\}$
using *prod produces-imp-neg-notin-lits* **by** *force*
ultimately have $E + \{\#Pos\ P\#\}\ \#{\subset}\#\ C + \{\#Neg\ P\#\}$
unfolding *less-multiset*$_{HO}$ **by** (*metis add.left-neutral add-lessD1*)
have *ce-lt-d*: $C + E\ \#{\subset}\#\ D$
unfolding *D L*
by (*metis* (*mono-tags*, *lifting*) *Max-pos-neg-less-multiset One-nat-def PMax count-single*
less-multiset-plus-right-nonempty mult-less-trans single-not-empty union-less-mono2
zero-less-Suc)
have $?N_{\mathcal{I}} \models h\ E + \{\#Pos\ P\#\}$
using $\langle P \in\ ?N_{\mathcal{I}}\rangle$ **by** *blast*
have $?N_{\mathcal{I}} \models h\ C{+}E \vee C{+}E \notin N$
using *ce-lt-d cls-not-D* **unfolding** *D-def* **by** *fastforce*
have $Pos\ P \notin\#\ C{+}E$
using $D\ \langle P \in ground\text{-}resolution\text{-}with\text{-}selection.INTERP\ S\ N\rangle$
$\langle count\ (E + \{\#Pos\ P\#\})\ (Pos\ P) \leq 1\rangle$ *multi-member-skip not-d-interp* **by** *auto*
hence $\bigwedge y.\ y \in\#\ C{+}E$
$\Longrightarrow count\ (C{+}E)\ (Pos\ P) < count\ (E + \{\#Pos\ P\#\})\ (Pos\ P)$

```
        by (auto split: split-if-asm)

      have ¬redundant (C + E) N
        proof (rule ccontr)
          assume red'[simplified]: ¬ ?thesis
          have abs: clss-lt N (C + E) ⊨p C + E
            using redundant-iff-abstract red' unfolding abstract-red-def by auto
          have clss-lt N (C + E) ⊨p E + {#Pos P#} ∨ clss-lt N (C + E) ⊨p C + {#Neg P#}
            proof clarify
              assume CP: ¬ clss-lt N (C + E) ⊨p C + {#Neg P#}
              { fix I
                assume
                  total-over-m I (clss-lt N (C + E) ∪ {E + {#Pos P#}}) and
                  consistent-interp I and
                  I ⊨s clss-lt N (C + E)
                  hence I ⊨ C + E
                    using  abs sorry
                  moreover have ¬ I ⊨ C + {#Neg P#}
                    using CP unfolding true-clss-cls-def
                    sorry
                  ultimately have  I ⊨ E + {#Pos P#} by auto
              }
              then show clss-lt N (C + E) ⊨p E + {#Pos P#}
                unfolding true-clss-cls-def by auto
            qed
          moreover have clss-lt N (C + E) ⊆ clss-lt N (C + {#Neg P#})
            using ce-lt-d mult-less-trans unfolding clss-lt-def D L by force
          ultimately have redundant (C + {#Neg P#}) N ∨ clss-lt N (C + E) ⊨p E + {#Pos P#}
            unfolding redundant-iff-abstract abstract-red-def using true-clss-cls-subset by blast
          show False sorry
        qed
      moreover have ¬ redundant (E + {#Pos P#}) N
        sorry
      ultimately have CEN: C + E ∈ N
        using ‹D∈N› ‹E + {#Pos P#}∈N› saturated sup-EC red unfolding saturated-def D L
        by (metis union-commute)
      have CED: C + E ≠ D
        using D ce-lt-d by auto
      have interp: ¬ INTERP N ⊨h C + E
      sorry
      show False
         using cls-not-D[OF CEN CED interp] ce-lt-d unfolding INTERP-def less-eq-multiset-def by
auto
  qed
qed

end

lemma tautology-is-redundant:
  assumes tautology C
  shows abstract-red C N
  using assms unfolding abstract-red-def true-clss-cls-def tautology-def by auto

lemma subsumed-is-redundant:
  assumes AB: A ⊂# B
```

and *AN*: $A \in N$
shows *abstract-red B N*
proof −
have $A \in$ *clss-lt N B* **using** *AN AB* **unfolding** *clss-lt-def*
by (*auto dest*: *less-eq-imp-le-multiset simp add*: *multiset-order.dual-order.order-iff-strict*)
thus *?thesis*
using *AB* **unfolding** *abstract-red-def true-clss-cls-def Partial-Clausal-Logic.true-clss-def*
by *blast*
qed

inductive *redundant* :: *'a clause* ⇒ *'a clauses* ⇒ *bool* **where**
subsumption: $A \in N \Longrightarrow A \subset\# B \Longrightarrow$ *redundant B N*

lemma *redundant-is-redundancy-criterion*:
fixes *A* :: *'a* :: *wellorder clause* **and** *N* :: *'a* :: *wellorder clauses*
assumes *redundant A N*
shows *abstract-red A N*
using *assms*
proof (*induction rule*: *redundant.induct*)
case (*subsumption A B N*)
thus *?case*
using *subsumed-is-redundant*[*of A N B*] **unfolding** *abstract-red-def clss-lt-def* **by** *auto*
qed

lemma *redundant-mono*:
redundant A N $\Longrightarrow A \subseteq\# B \Longrightarrow$ *redundant B N*
apply (*induction rule*: *redundant.induct*)
by (*meson subset-mset.less-le-trans subsumption*)

locale *truc*=
selection S **for** *S* :: *nat clause* ⇒ *nat clause*
begin

end

end
theory *Weidenbach-Book*
imports
Prop-Normalisation

Prop-Resolution

Prop-Superposition

CDCL-NOT DPLL-NOT DPLL-W-Implementation CDCL-W-Implementation CDCL-W-Incremental CDCL-WNOT CDCL-Two-Watched-Literals

begin

end

22 Implementation for 2 Watched-Literals

theory *CDCL-Two-Watched-Literals-Implementation*
imports *CDCL-Two-Watched-Literals DPLL-CDCL-W-Implementation*

```
begin

type-synonym conc-twl-state =
  ((nat, nat, nat literal list) marked-lit, nat literal list twl-clause list, nat, nat literal list)
    twl-state

fun convert :: ('a, 'b, 'c list) marked-lit ⇒ ('a, 'b, 'c multiset) marked-lit  where
convert (Propagated L C) = Propagated L (mset C) |
convert (Marked K i) = Marked K i

abbreviation convert-tr :: ('a, 'b, 'c list) marked-lits ⇒ ('a, 'b, 'c multiset) marked-lits
  where
convert-tr ≡ map convert

abbreviation convertC :: 'a literal list option ⇒ 'a clause option  where
convertC ≡ map-option mset

fun raw-clause-l :: 'v list twl-clause ⇒ 'v multiset twl-clause  where
  raw-clause-l (TWL-Clause UW W) = TWL-Clause (mset W) (mset UW)

abbreviation convert-clss :: 'v literal list twl-clause list ⇒ 'v clause twl-clause multiset
  where
convert-clss S ≡ mset (map raw-clause-l S)

fun raw-state-of-conc :: conc-twl-state ⇒ (nat, nat, nat clause) twl-state-abs where
raw-state-of-conc (TWL-State M N U k C) =
  TWL-State (convert-tr M) (convert-clss N) (convert-clss U) k (map-option mset C)

lemma
  raw-state-of-conc (tl-trail S) = tl-trail (raw-state-of-conc S)
  unfolding tl-trail-def by (induction S) (auto simp: map-tl)

definition watch-nat :: conc-twl-state ⇒ nat literal list ⇒ nat literal list twl-clause where
  watch-nat S C =
   (let
      C' = remdups C;
      negation-not-assigned = filter (λL. −L ∉ lits-of (trail S)) C';
      negation-assigned-sorted-by-trail = filter (λL. L ∈ set C) (map (λL. −lit-of L) (trail S));
      W = take 2 (negation-not-assigned @ negation-assigned-sorted-by-trail);
      UW = foldl (λa l. remove1 l a) C W
    in TWL-Clause W UW)

definition
  rewatch-nat ::
  (nat, nat, nat literal list) marked-lit ⇒ conc-twl-state ⇒
    nat literal list twl-clause ⇒ nat literal list twl-clause
where
  rewatch-nat L S C =
   (if − lit-of L ∈ set (watched C) then
      case filter (λL'. L' ∉ set (watched C) ∧ − L' ∉ lits-of (L # trail S))
          (unwatched C) of
        [] ⇒ C
      | L' # - ⇒
        TWL-Clause (L' # remove1 (− lit-of L) (watched C))
          (− lit-of L # remove1 L' (unwatched C))
    else
      C)
```

definition *raw-candidates-conflict* :: *conc-twl-state* ⇒ *nat literal list list* **where**

```
  raw-candidates-conflict S =
    map (λT. case T of TWL-Clause W UW ⇒ W @ UW)
      (filter (λC. set (watched C) ⊆ (uminus ` lits-of (trail S)))
      (init-clss S @ learned-clss S))
```

definition *do-conflict-step* :: *conc-twl-state* ⇒ *conc-twl-state option* **where**

```
do-conflict-step S =
  (case conflicting S of
    Some - ⇒ None
  | None ⇒
      (case raw-candidates-conflict S of
        [] ⇒ None
      | a # - ⇒ Some (update-conflicting (Some a) S)))
```

end