

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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theory *Wellfounded-More*

imports *Main*

begin

1 Transitions

This theory contains more facts about closure, the definition of full transformations, and well-foundedness.

1.1 More theorems about Closures

This is the equivalent of $?r \leq ?s \implies ?r^{**} \leq ?s^{**}$ for *tranclp*

lemma *tranclp-mono-explicit*:

$r^{++} a b \implies r \leq s \implies s^{++} a b$
using *rtranclp-mono* **by** (*auto dest!*: *tranclpD intro: rtranclp-into-tranclp2*)

lemma *tranclp-mono*:
assumes *mono*: $r \leq s$
shows $r^{++} \leq s^{++}$
using *rtranclp-mono[OF mono] mono* **by** (*auto dest!*: *tranclpD intro: rtranclp-into-tranclp2*)

lemma *tranclp-idemp-rel*:
 $R^{++++} a b \longleftrightarrow R^{++} a b$
apply (*rule iffI*)
prefer 2 **apply** *blast*
by (*induction rule: tranclp-induct*) *auto*

Equivalent of $?r^{****} = ?r^{**}$

lemma *trancl-idemp*: $(r^+)^+ = r^+$
by *simp*

lemmas *tranclp-idemp[simp] = trancl-idemp[to-pred]*

This theorem already exists as $?r^{**} ?a ?b \equiv ?a = ?b \vee ?r^{++} ?a ?b$ (and sledgehammer uses it), but it makes sense to duplicate it, because it is unclear how stable the lemmas in Nitpick are.

lemma *rtranclp-unfold*: $rtranclp r a b \longleftrightarrow (a = b \vee tranclp r a b)$
by (*meson rtranclp.simps rtranclpD tranclp-into-rtranclp*)

lemma *tranclp-unfold-end*: $tranclp r a b \longleftrightarrow (\exists a'. rtranclp r a a' \wedge r a' b)$
by (*metis rtranclp.rtrancl-refl rtranclp-into-tranclp1 tranclp.cases tranclp-into-rtranclp*)

lemma *tranclp-unfold-begin*: $tranclp r a b \longleftrightarrow (\exists a'. r a a' \wedge rtranclp r a' b)$
by (*meson rtranclp-into-tranclp2 tranclpD*)

lemma *trancl-set-tranclp*: $(a, b) \in \{(b, a). P a b\}^+ \longleftrightarrow P^{++} b a$
apply (*rule iffI*)
apply (*induction rule: trancl-induct; simp*)
apply (*induction rule: tranclp-induct; auto simp: trancl-into-trancl2*)
done

lemma *tranclp-rtranclp-rtranclp-rel*: $R^{++++} a b \longleftrightarrow R^{**} a b$
by (*simp add: rtranclp-unfold*)

lemma *tranclp-rtranclp-rtranclp[simp]*: $R^{++++} = R^{**}$
by (*fastforce simp: rtranclp-unfold*)

lemma *rtranclp-exists-last-with-prop*:
assumes $R x z$
and $R^{**} z z'$ **and** $P x z$
shows $\exists y y'. R^{**} x y \wedge R y y' \wedge P y y' \wedge (\lambda a b. R a b \wedge \neg P a b)^{**} y' z'$
using *assms(2,1,3)*
proof (*induction arbitrary:*)
case *base*
then show $?case$ **by** *auto*
next
case (*step z' z''*) **note** $z = \text{this}(2)$ **and** $IH = \text{this}(3)[OF \text{this}(4-5)]$
show $?case$

apply (*cases* $P\ z'\ z''$)
apply (*rule* $exI[of -\ z']$, *rule* $exI[of -\ z'']$)
using $z\ assms(1)\ step.hyps(1)\ step.premis(2)$ **apply** $auto[1]$
using $IH\ z\ rtranclp.rtrancl\text{-}into\text{-}rtrancl$ **by** *fastforce*
qed

lemma *rtranclp-and-rtranclp-left*: $(\lambda\ a\ b.\ P\ a\ b \wedge Q\ a\ b)^{**}\ S\ T \implies P^{**}\ S\ T$
by (*induction rule*: *rtranclp-induct*) *auto*

1.2 Full Transitions

We define here properties to define properties after all possible transitions.

abbreviation *no-step step* $S \equiv (\forall\ S'.\ \neg\ step\ S\ S')$

definition *full1* :: $(a \Rightarrow a' \Rightarrow bool) \Rightarrow a \Rightarrow a' \Rightarrow bool$ **where**
full1 transf = $(\lambda\ S\ S'.\ trans\ S\ S' \wedge (\forall\ S''.\ \neg\ transf\ S'\ S''))$

definition *full*:: $(a \Rightarrow a' \Rightarrow bool) \Rightarrow a \Rightarrow a' \Rightarrow bool$ **where**
full transf = $(\lambda\ S\ S'.\ rtranclp\ transf\ S\ S' \wedge (\forall\ S''.\ \neg\ transf\ S'\ S''))$

lemma *rtranclp-full1I*:
 $R^{**}\ a\ b \implies full1\ R\ b\ c \implies full1\ R\ a\ c$
unfolding *full1-def* **by** *auto*

lemma *trancpl-full1I*:
 $R^{++}\ a\ b \implies full1\ R\ b\ c \implies full1\ R\ a\ c$
unfolding *full1-def* **by** *auto*

lemma *rtranclp-fullI*:
 $R^{**}\ a\ b \implies full\ R\ b\ c \implies full\ R\ a\ c$
unfolding *full-def* **by** *auto*

lemma *trancpl-full-full1I*:
 $R^{++}\ a\ b \implies full\ R\ b\ c \implies full1\ R\ a\ c$
unfolding *full-def full1-def* **by** *auto*

lemma *full-fullI*:
 $R\ a\ b \implies full\ R\ b\ c \implies full1\ R\ a\ c$
unfolding *full-def full1-def* **by** *auto*

lemma *full-unfold*:
 $full\ r\ S\ S' \longleftrightarrow ((S = S' \wedge no\text{-}step\ r\ S') \vee full1\ r\ S\ S')$
unfolding *full-def full1-def* **by** (*auto simp add: rtranclp-unfold*)

lemma *full1-is-full[intro]*: $full1\ R\ S\ T \implies full\ R\ S\ T$
by (*simp add: full-unfold*)

lemma *not-full1-rtranclp-relation*: $\neg full1\ R^{**}\ a\ b$
by (*meson full1-def rtranclp.rtrancl-refl*)

lemma *not-full-rtranclp-relation*: $\neg full\ R^{**}\ a\ b$
by (*meson full-fullI not-full1-rtranclp-relation rtranclp.rtrancl-refl*)

lemma *full1-trancpl-relation-full*:
 $full1\ R^{++}\ a\ b \longleftrightarrow full1\ R\ a\ b$

by (metis converse-tranclpE full1-def reflclp-tranclp rtranclpD rtranclp-idemp rtranclp-reflclp
 tranclp.r-into-trancl tranclp-into-rtranclp)

lemma full-tranclp-relation-full:

full R^{++} a b \longleftrightarrow full R a b

by (metis full-unfold full1-tranclp-relation-full tranclp.r-into-trancl tranclpD)

lemma rtranclp-full1-eq-or-full1:

(full1 R)** a b \longleftrightarrow (a = b \vee full1 R a b)

proof –

have $\forall p a aa. \neg p^{**} (a::'a) aa \vee a = aa \vee (\exists ab. p^{**} a ab \wedge p ab aa)$

by (metis rtranclp.cases)

then obtain aa :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a **where**

f1: $\forall p a ab. \neg p^{**} a ab \vee a = ab \vee p^{**} a (aa p a ab) \wedge p (aa p a ab) ab$

by moura

{ **assume** a \neq b

{ **assume** \neg full1 R a b \wedge a \neq b

then have a \neq b \wedge a \neq b \wedge \neg full1 R (aa (full1 R) a b) b \vee \neg (full1 R)** a b \wedge a \neq b

using f1 **by** (metis (no-types) full1-def full1-tranclp-relation-full)

then have ?thesis

using f1 **by** blast }

then have ?thesis

by auto }

then show ?thesis

by fastforce

qed

lemma tranclp-full1-full1:

(full1 R) $^{++}$ a b \longleftrightarrow full1 R a b

by (metis full1-def rtranclp-full1-eq-or-full1 tranclp-unfold-begin)

1.3 Well-Foundedness and Full Transitions

lemma wf-exists-normal-form:

assumes wf:wf {(x, y). R y x}

shows $\exists b. R^{**} a b \wedge \text{no-step } R b$

proof (rule ccontr)

assume \neg ?thesis

then have H: $\bigwedge b. \neg R^{**} a b \vee \neg \text{no-step } R b$

by blast

def F \equiv rec-nat a ($\lambda i b. \text{SOME } c. R b c$)

have [simp]: F 0 = a

unfolding F-def **by** auto

have [simp]: $\bigwedge i. F (\text{Suc } i) = (\text{SOME } b. R (F i) b)$

using F-def **by** simp

{ **fix** i

have $\forall j < i. R (F j) (F (\text{Suc } j))$

proof (induction i)

case 0

then show ?case **by** auto

next

case (Suc i)

then have $R^{**} a (F i)$

by (induction i) auto

then have R (F i) (SOME b. R (F i) b)

using H **by** (simp add: someI-ex)


```

    then have  $\forall j < \text{Suc } i. R (F j) (F (\text{Suc } j))$ 
      using  $H \text{ Suc}$  by (simp add: less-Suc-eq)
    then show ?case by fast
  qed
}
then have  $\forall j. R (F j) (F (\text{Suc } j))$  by blast
then show False
  using wf unfolding wfP-def wf-iff-no-infinite-down-chain by blast
qed

```

```

lemma wf-exists-normal-form-full:
  assumes wf:wf  $\{(x, y). R y x\}$ 
  shows  $\exists b. \text{full } R a b$ 
  using wf-exists-normal-form[OF assms] unfolding full-def by blast

```

1.4 More Well-Foundedness

A little list of theorems that could be useful, but are hidden:

- link between wf and infinite chains: $wf \text{ ?}r = (\nexists f. \forall i. (f (\text{Suc } i), f i) \in \text{?}r), \llbracket wf \text{ ?}r; \bigwedge k. (\text{?}f (\text{Suc } k), \text{?}f k) \notin \text{?}r \implies \text{?thesis} \rrbracket \implies \text{?thesis}$

```

lemma wf-if-measure-in-wf:
  wf R  $\implies (\bigwedge a b. (a, b) \in S \implies (\nu a, \nu b) \in R) \implies wf S$ 
  by (metis in-inv-image wfE-min wfI-min wf-inv-image)

```

```

lemma wfP-if-measure: fixes f :: 'a  $\Rightarrow$  nat
shows  $(\bigwedge x y. P x \implies g x y \implies f y < f x) \implies wf \{(y, x). P x \wedge g x y\}$ 
  apply (insert wf-measure[of f])
  apply (simp only: measure-def inv-image-def less-than-def less-eq)
  apply (erule wf-subset)
  apply auto
  done

```

```

lemma wf-if-measure-f:
  assumes wf r
  shows wf  $\{(b, a). (f b, f a) \in r\}$ 
  using assms by (metis inv-image-def wf-inv-image)

```

```

lemma wf-wf-if-measure':
  assumes wf r and H:  $(\bigwedge x y. P x \implies g x y \implies (f y, f x) \in r)$ 
  shows wf  $\{(y, x). P x \wedge g x y\}$ 
  proof -
    have wf  $\{(b, a). (f b, f a) \in r\}$  using assms(1) wf-if-measure-f by auto
    then have wf  $\{(b, a). P a \wedge g a b \wedge (f b, f a) \in r\}$ 
      using wf-subset[of -  $\{(b, a). P a \wedge g a b \wedge (f b, f a) \in r\}$ ] by auto
    moreover have  $\{(b, a). P a \wedge g a b \wedge (f b, f a) \in r\} \subseteq \{(b, a). (f b, f a) \in r\}$  by auto
    moreover have  $\{(b, a). P a \wedge g a b \wedge (f b, f a) \in r\} = \{(b, a). P a \wedge g a b\}$  using H by auto
    ultimately show ?thesis using wf-subset by simp
  qed

```

```

lemma wf-lex-less: wf  $(\text{lex } \{(a, b). (a::nat) < b\})$ 
  proof -
    have m:  $\{(a, b). a < b\} = \text{measure id}$  by auto
    show ?thesis apply (rule wf-lex) unfolding m by auto
  qed

```

qed

```

lemma wfP-if-measure2: fixes f :: 'a ⇒ nat
shows (⋀ x y. P x y ⇒ g x y ⇒ f x < f y) ⇒ wf {(x,y). P x y ∧ g x y}
  apply (insert wf-measure[of f])
  apply (simp only: measure-def inv-image-def less-than-def less-eq)
  apply (erule wf-subset)
  apply auto
done

```

lemma lexord-on-finite-set-is-wf:

```

assumes
  P-finite: ⋀ U. P U ⟶ U ∈ A and
  finite: finite A and
  wf: wf R and
  trans: trans R
shows wf {(T, S). (P S ∧ P T) ∧ (T, S) ∈ lexord R}
proof (rule wfP-if-measure2)
fix T S
assume P: P S ∧ P T and
s-le-t: (T, S) ∈ lexord R
let ?f = λS. {U. (U, S) ∈ lexord R ∧ P U ∧ P S}
have ?f T ⊆ ?f S
  using s-le-t P lexord-trans trans by auto
moreover have T ∈ ?f S
  using s-le-t P by auto
moreover have T ∉ ?f T
  using s-le-t by (auto simp add: lexord-irreflexive local.wf)
ultimately have {U. (U, T) ∈ lexord R ∧ P U ∧ P T} ⊂ {U. (U, S) ∈ lexord R ∧ P U ∧ P S}
  by auto
moreover have finite {U. (U, S) ∈ lexord R ∧ P U ∧ P S}
  using finite by (metis (no-types, lifting) P-finite finite-subset mem-Collect-eq subsetI)
ultimately show card (?f T) < card (?f S) by (simp add: psubset-card-mono)
qed

```

lemma wf-fst-wf-pair:

```

assumes wf {(M', M). R M' M}
shows wf {(M', N'), (M, N)). R M' M}
proof -
have wf {(M', M). R M' M} < *lex* > {}
  using assms by auto
then show ?thesis
  by (rule wf-subset) auto
qed

```

lemma wf-snd-wf-pair:

```

assumes wf {(M', M). R M' M}
shows wf {(M', N'), (M, N)). R N' N}
proof -
have wf: wf {(M', N'), (M, N)). R M' M}
  using assms wf-fst-wf-pair by auto
then have wf: ⋀ P. (∀ x. (∀ y. (y, x) ∈ {(M', N'), (M, N)). R M' M} ⟶ P y) ⟶ P x ⟹ All P
  unfolding wf-def by auto
show ?thesis

```

```

unfolding wf-def
proof (intro allI impI)
  fix P :: 'c × 'a ⇒ bool and x :: 'c × 'a
  assume H: ∀ x. (∀ y. (y, x) ∈ {(M', N'), M, y}. R N' y} ⇒ P y) ⇒ P x
  obtain a b where x: x = (a, b) by (cases x)
  have P: P x = (P o (λ(a, b). (b, a))) (b, a)
    unfolding x by auto
  show P x
    using wf[of P o (λ(a, b). (b, a))] apply rule
    using H apply simp
    unfolding P by blast
qed
qed

lemma wf-if-measure-f-notation2:
  assumes wf r
  shows wf {(b, h a)|b a. (f b, f (h a)) ∈ r}
  apply (rule wf-subset)
  using wf-if-measure-f[OF assms, of f] by auto

lemma wf-wf-if-measure'-notation2:
  assumes wf r and H: (⋀ x y. P x ⇒ g x y ⇒ (f y, f (h x)) ∈ r)
  shows wf {(y, h x)| y x. P x ∧ g x y}
proof -
  have wf {(b, h a)|b a. (f b, f (h a)) ∈ r} using assms(1) wf-if-measure-f-notation2 by auto
  then have wf {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r}
    using wf-subset[of - {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r}] by auto
  moreover have {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r}
    ⊆ {(b, h a)|b a. (f b, f (h a)) ∈ r} by auto
  moreover have {(b, h a)|b a. P a ∧ g a b ∧ (f b, f (h a)) ∈ r} = {(b, h a)|b a. P a ∧ g a b}
    using H by auto
  ultimately show ?thesis using wf-subset by simp
qed

end
theory List-More
imports Main ../lib/Multiset-More
begin

```

Sledgehammer parameters

sledgehammer-params[debug]

2 Various Lemmas

Close to $(\bigwedge n. \forall m < n. ?P\ m \Rightarrow ?P\ n) \Rightarrow ?P\ ?n$, but with a separation between zero and non-zero, and case names.

thm *nat-less-induct*

lemma *nat-less-induct-case*[case-names 0 Suc]:

assumes

$P\ 0$ and

$\bigwedge n. (\forall m < \text{Suc } n. P\ m) \Rightarrow P\ (\text{Suc } n)$

shows $P\ n$

apply (induction rule: nat-less-induct)

by (rename-tac n, case-tac n) (auto intro: assms)

This is only proved in simple cases by auto. In assumptions, nothing happens, and $?P$ (*if* $?Q$ then $?x$ else $?y$) = $(\neg (?Q \wedge \neg ?P ?x \vee \neg ?Q \wedge \neg ?P ?y))$ can blow up goals (because of other if expression).

lemma *if-0-1-ge-0[simp]*:
 $0 < (\text{if } P \text{ then } a \text{ else } (0::nat)) \longleftrightarrow P \wedge 0 < a$
by *auto*

Bounded function have not been defined in Isabelle.

definition *bounded* **where**
 $\text{bounded } f \longleftrightarrow (\exists b. \forall n. f\ n \leq b)$

abbreviation *unbounded* :: $('a \Rightarrow 'b::ord) \Rightarrow \text{bool}$ **where**
 $\text{unbounded } f \equiv \neg \text{bounded } f$

lemma *not-bounded-nat-exists-larger*:
fixes $f :: nat \Rightarrow nat$
assumes *unbound*: $\text{unbounded } f$
shows $\exists n. f\ n > m \wedge n > n_0$
proof (*rule ccontr*)
assume $H: \neg ?thesis$
have *finite* $\{f\ n \mid n. n \leq n_0\}$
by *auto*
have $\bigwedge n. f\ n \leq \text{Max } (\{f\ n \mid n. n \leq n_0\} \cup \{m\})$
apply (*case-tac* $n \leq n_0$)
apply (*metis* (*mono-tags*, *lifting*) *Max-ge Un-insert-right* *finite* $\{f\ n \mid n. n \leq n_0\}$
finite-insert insertCI mem-Collect-eq sup-bot.right-neutral)
by (*metis* (*no-types*, *lifting*) H *Max-less-iff Un-insert-right* *finite* $\{f\ n \mid n. n \leq n_0\}$
finite-insert insertI1 insert-not-empty leI sup-bot.right-neutral)
then show *False*
using *unbound* **unfolding** *bounded-def* **by** *auto*
qed

lemma *bounded-const-product*:
fixes $k :: nat$ **and** $f :: nat \Rightarrow nat$
assumes $k > 0$
shows $\text{bounded } f \longleftrightarrow \text{bounded } (\lambda i. k * f\ i)$
unfolding *bounded-def* **apply** (*rule iffI*)
using *mult-le-mono2* **apply** *blast*
by (*meson* *assms* *le-less-trans* *less-or-eq-imp-le* *nat-mult-less-cancel-disj* *split-div-lemma*)

This lemma is not used, but here to show that a property that can be expected from *bounded* holds.

lemma *bounded-finite-linorder*:
fixes $f :: 'a \Rightarrow 'a :: \{\text{finite}, \text{linorder}\}$
shows $\text{bounded } f$
proof –
have $\bigwedge x. f\ x \leq \text{Max } \{f\ x \mid x. \text{True}\}$
by (*metis* (*mono-tags*) *Max-ge finite mem-Collect-eq*)
then show *?thesis*
unfolding *bounded-def* **by** *blast*
qed

3 More List

3.1 *upt*

The simplification rules are not very handy, because $[?i..<Suc\ ?j] = (if\ ?i \leq ?j\ then\ [?i..<?j]\ @\ [?j]\ else\ [])$ leads to a case distinction, that we do not want if the condition is not in the context.

lemma *upt-Suc-le-append*: $\neg i \leq j \implies [i..<Suc\ j] = []$
by *auto*

lemmas *upt-simps[simp]* = *upt-Suc-append upt-Suc-le-append*

declare *upt.simps(2)[simp del]*

lemma

assumes $i \leq n - m$
shows $take\ i\ [m..<n] = [m..<m+i]$
by (*metis Nat.le-diff-conv2 add.commute assms diff-is-0-eq' linear take-upt upt-conv-Nil*)

The counterpart for this lemma when $n - m < i$ is $length\ ?xs \leq ?n \implies take\ ?n\ ?xs = ?xs$. It is close to $?i + ?m \leq ?n \implies take\ ?m\ [?i..<?n] = [?i..<?i + ?m]$, but seems more general.

lemma *take-upt-bound-minus[simp]*:

assumes $i \leq n - m$
shows $take\ i\ [m..<n] = [m..<m+i]$
using *assms* **by** (*induction i*) *auto*

lemma *append-cons-eq-upt*:

assumes $A @ B = [m..<n]$
shows $A = [m..<m+length\ A]$ **and** $B = [m + length\ A..<n]$

proof –

have $take\ (length\ A)\ (A @ B) = A$ **by** *auto*

moreover

have $length\ A \leq n - m$ **using** *assms linear calculation* **by** *fastforce*

then have $take\ (length\ A)\ [m..<n] = [m..<m+length\ A]$ **by** *auto*

ultimately show $A = [m..<m+length\ A]$ **using** *assms* **by** *auto*

show $B = [m + length\ A..<n]$ **using** *assms* **by** (*metis append-eq-conv-conj drop-upt*)

qed

lemma *length-list-Suc-0*:

$length\ W = Suc\ 0 \iff (\exists L. W = [L])$

apply (*cases W*)

apply *simp*

apply (*rename-tac a W', case-tac W'*)

apply *auto*

done

lemma *length-list-2*: $length\ S = 2 \iff (\exists a\ b. S = [a, b])$

apply (*cases S*)

apply *simp*

apply (*rename-tac a S'*)

apply (*case-tac S'*)

by *simp-all*

The converse of $?A @ ?B = [?m..<?n] \implies ?A = [?m..<?m + length\ ?A]$

$?A @ ?B = [?m..<?n] \implies ?B = [?m + \text{length } ?A..<?n]$ does not hold, for example if B is empty and A is $[0::'a]$:

lemma $A @ B = [m..<n] \longleftrightarrow A = [m ..<m+\text{length } A] \wedge B = [m + \text{length } A..<n]$

oops

A more restrictive version holds:

lemma $B \neq [] \implies A @ B = [m..<n] \longleftrightarrow A = [m ..<m+\text{length } A] \wedge B = [m + \text{length } A..<n]$
(is $?P \implies ?A = ?B)$

proof

assume $?A$ **then show** $?B$ **by** (*auto simp add: append-cons-eq-upt*)

next

assume $?P$ **and** $?B$

then show $?A$ **using** *append-eq-conv-conj* **by** *fastforce*

qed

lemma *append-cons-eq-upt-length-i:*

assumes $A @ i \# B = [m..<n]$

shows $A = [m ..<i]$

proof –

have $A = [m ..<m + \text{length } A]$ **using** *assms append-cons-eq-upt* **by** *auto*

have $(A @ i \# B) ! (\text{length } A) = i$ **by** *auto*

moreover have $n - m = \text{length } (A @ i \# B)$

using *assms length-upt* **by** *presburger*

then have $[m..<n] ! (\text{length } A) = m + \text{length } A$ **by** *simp*

ultimately have $i = m + \text{length } A$ **using** *assms* **by** *auto*

then show *thesis* **using** $\langle A = [m ..<m + \text{length } A] \rangle$ **by** *auto*

qed

lemma *append-cons-eq-upt-length:*

assumes $A @ i \# B = [m..<n]$

shows $\text{length } A = i - m$

using *assms*

proof (*induction A arbitrary: m*)

case *Nil*

then show *?case* **by** (*metis append-Nil diff-is-0-eq list.size(3) order-refl upt-eq-Cons-conv*)

next

case (*Cons a A*)

then have $A @ i \# B = [m + 1..<n]$ **by** (*metis append-Cons upt-eq-Cons-conv*)

then have $m < i$ **by** (*metis Cons.premis append-cons-eq-upt-length-i upt-eq-Cons-conv*)

with *Cons.IH[OF A]* **show** *?case* **by** *auto*

qed

lemma *append-cons-eq-upt-length-i-end:*

assumes $A @ i \# B = [m..<n]$

shows $B = [\text{Suc } i ..<n]$

proof –

have $B = [\text{Suc } m + \text{length } A..<n]$ **using** *assms append-cons-eq-upt[of A @ [i] B m n]* **by** *auto*

have $(A @ i \# B) ! (\text{length } A) = i$ **by** *auto*

moreover have $n - m = \text{length } (A @ i \# B)$

using *assms length-upt* **by** *auto*

then have $[m..<n] ! (\text{length } A) = m + \text{length } A$ **by** *simp*

ultimately have $i = m + \text{length } A$ **using** *assms* **by** *auto*

then show *thesis* **using** $\langle B = [\text{Suc } m + \text{length } A..<n] \rangle$ **by** *auto*

qed

```

lemma Max-n-upt:  $\text{Max} (\text{insert } 0 \{ \text{Suc } 0..<n \}) = n - \text{Suc } 0$ 
proof (induct n)
  case 0
  then show ?case by simp
next
  case (Suc n) note IH = this
  have i:  $\text{insert } 0 \{ \text{Suc } 0..<\text{Suc } n \} = \text{insert } 0 \{ \text{Suc } 0..<n \} \cup \{n\}$  by auto
  show ?case using IH unfolding i by auto
qed

```

```

lemma upt-decomp-lt:
  assumes H:  $xs @ i \# ys @ j \# zs = [m ..<n]$ 
  shows  $i < j$ 
proof -
  have xs:  $xs = [m ..<i]$  and ys:  $ys = [\text{Suc } i ..<j]$  and zs:  $zs = [\text{Suc } j ..<n]$ 
  using H by (auto dest: append-cons-eq-upt-length-i append-cons-eq-upt-length-i-end)
  show ?thesis
  by (metis append-cons-eq-upt-length-i-end assms lessI less-trans self-append-conv2
    upt-eq-Cons-conv upt-rec ys)
qed

```

3.2 Lexicographic ordering

We are working a lot on lexicographic ordering over pairs.

```

lemma list-length2-append-cons:
   $[c, d] = ys @ y \# ys' \longleftrightarrow (ys = [] \wedge y = c \wedge ys' = [d]) \vee (ys = [c] \wedge y = d \wedge ys' = [])$ 
  by (cases ys; cases ys') auto

```

```

lemma lexn2-conv:
   $([a, b], [c, d]) \in \text{lexn } r \ 2 \longleftrightarrow (a, c) \in r \vee (a = c \wedge (b, d) \in r)$ 
  unfolding lexn-conv by (auto simp add: list-length2-append-cons)

```

3.3 Remove and Multiset equality

```

lemma remove1-mset-single-add:
   $a \neq b \implies \text{remove1-mset } a (\{ \#b \# \} + C) = \{ \#b \# \} + \text{remove1-mset } a C$ 
   $\text{remove1-mset } a (\{ \#a \# \} + C) = C$ 
  by (auto simp: multiset-eq-iff)

```

This is the same as *remove1* under the assumptions of non-duplication inside a clause.

```

fun remove1-cond where
  remove1-cond f [] = [] |
  remove1-cond f (C' # L) = (if f C' then L else C' # remove1-cond f L)

```

```

lemma mset-map-mset-remove1-cond:
   $\text{mset} (\text{map mset} (\text{remove1-cond } (\lambda L. \text{mset } L = \text{mset } a) C)) =$ 
   $\text{remove1-mset } (\text{mset } a) (\text{mset} (\text{map mset } C))$ 
  by (induction C) (auto simp: ac-simps remove1-mset-single-add)

```

```

fun removeAll-cond where
  removeAll-cond f [] = [] |
  removeAll-cond f (C' # L) =
  (if f C' then removeAll-cond f L else C' # removeAll-cond f L)

```

lemma *mset-map-mset-removeAll-cond*:

mset (map mset (removeAll-cond (λb . mset b = mset a) C))
 $=$ *removeAll-mset (mset a) (mset (map mset C))*
by (*induction C*) (*auto simp: ac-simps mset-less-eqI multiset-diff-union-assoc*)

abbreviation *union-mset-list* **where**

union-mset-list xs ys \equiv case-prod append (fold (λx (ys, zs). (remove1 x ys, x # zs)) xs (ys, []))

lemma *union-mset-list*:

mset xs # \cup mset ys = mset (union-mset-list xs ys)

proof –

have $\bigwedge zs$. *mset (case-prod append (fold (λx (ys, zs). (remove1 x ys, x # zs)) xs (ys, zs))) =*
(mset xs # \cup mset ys) + mset zs

by (*induct xs arbitrary: ys*) (*simp-all add: multiset-eq-iff*)

then show *?thesis* **by** *simp*

qed

end

theory *Prop-Logic*

imports *Main*

begin

4 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

4.1 Definition and abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

datatype *'v propo* =

FT | FF | FVar 'v | FNot 'v propo | FAnd 'v propo 'v propo | FOr 'v propo 'v propo
 $|$ *FImp 'v propo 'v propo | FEq 'v propo 'v propo*

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

datatype *'v connective* = *CT | CF | CVar 'v | CNot | CAnd | COr | CImp | CEq*

abbreviation *nullary-connective* $\equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

definition *binary-connectives* $\equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

lemma *propo-induct-arity*[*case-names nullary unary binary*]:

fixes $\varphi \psi :: 'v propo$

assumes *nullary*: ($\bigwedge \varphi x$. $\varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$)

and *unary*: ($\bigwedge \psi$. $P\ \psi \implies P\ (FNot\ \psi)$)

and *binary*: ($\bigwedge \varphi \psi1\ \psi2$. $P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1\ \psi2$)


```

     $\vee \varphi = FEq \ \psi1 \ \psi2 \implies P \ \varphi$ )
shows  $P \ \psi$ 
apply (induct rule: propo.induct)
using assms by metis+

```

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```

fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi \ \psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi \ \psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi \ \psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi \ \psi$  |
conn - - = FF

```

We will often use case distinction, based on the arity of the 'v connective, thus we define our own splitting principle.

```

lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar \ x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows  $P$ 
using assms by (cases c) (auto simp: binary-connectives-def)

```

```

lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows  $P$ 
using assms by (cases c, auto simp add: binary-connectives-def)

```

Our previous definition is not necessary correct (connective and list of arguments) , so we define an inductive predicate.

```

inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar \ v) \implies \text{wf-conn } c \ []$  |
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c \ [\psi]$  |
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c \ (\psi \ \# \ \psi' \ \# \ [])$ 
thm wf-conn.induct

```

```

lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
  ( $\bigwedge v. c = CT \implies P \ []$ ) and
  ( $\bigwedge v. c = CF \implies P \ []$ ) and
  ( $\bigwedge v. c = CVar \ v \implies P \ []$ ) and
  ( $\bigwedge \psi. c = CNot \implies P \ [\psi]$ ) and
  ( $\bigwedge \psi \ \psi'. c = COr \implies P \ [\psi, \ \psi']$ ) and
  ( $\bigwedge \psi \ \psi'. c = CAnd \implies P \ [\psi, \ \psi']$ ) and
  ( $\bigwedge \psi \ \psi'. c = CImp \implies P \ [\psi, \ \psi']$ ) and
  ( $\bigwedge \psi \ \psi'. c = CEq \implies P \ [\psi, \ \psi']$ )
shows  $P \ x$ 
using assms by induction (auto simp: binary-connectives-def)

```

4.2 properties of the abstraction

First we can define simplification rules.

lemma *wf-conn-conn[simp]*:
 $wf\text{-}conn\ CT\ l \implies conn\ CT\ l = FT$
 $wf\text{-}conn\ CF\ l \implies conn\ CF\ l = FF$
 $wf\text{-}conn\ (CVar\ x)\ l \implies conn\ (CVar\ x)\ l = FVar\ x$
apply (*simp-all add: wf-conn.simps*)
unfolding *binary-connectives-def* **by** *simp-all*

lemma *wf-conn-list-decomp[simp]*:
 $wf\text{-}conn\ CT\ l \longleftrightarrow l = []$
 $wf\text{-}conn\ CF\ l \longleftrightarrow l = []$
 $wf\text{-}conn\ (CVar\ x)\ l \longleftrightarrow l = []$
 $wf\text{-}conn\ CNot\ (\xi @ \varphi \# \xi') \longleftrightarrow \xi = [] \wedge \xi' = []$
apply (*simp-all add: wf-conn.simps*)
unfolding *binary-connectives-def* **apply** *simp-all*
by (*metis append-Nil append-is-Nil-conv list.distinct(1) list.sel(3) tl-append2*)

lemma *wf-conn-list*:
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FT \longleftrightarrow (c = CT \wedge l = [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FF \longleftrightarrow (c = CF \wedge l = [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FVar\ x \longleftrightarrow (c = CVar\ x \wedge l = [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FAnd\ a\ b \longleftrightarrow (c = CAnd \wedge l = a \# b \# [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FOr\ a\ b \longleftrightarrow (c = COr \wedge l = a \# b \# [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FEq\ a\ b \longleftrightarrow (c = CEq \wedge l = a \# b \# [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FImp\ a\ b \longleftrightarrow (c = CImp \wedge l = a \# b \# [])$
 $wf\text{-}conn\ c\ l \implies conn\ c\ l = FNot\ a \longleftrightarrow (c = CNot \wedge l = a \# [])$
apply (*induct l rule: wf-conn.induct*)
unfolding *binary-connectives-def* **by** *auto*

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

lemma *list-length2-decomp*: $length\ l = 2 \implies (\exists\ a\ b.\ l = a \# b \# [])$
apply (*induct l, auto*)
by (*rename-tac l, case-tac l, auto*)

wf-conn for binary operators means that there are two arguments.

lemma *wf-conn-bin-list-length*:
fixes $l :: 'v\ propo\ list$
assumes $conn: c \in binary\text{-}connectives$
shows $length\ l = 2 \longleftrightarrow wf\text{-}conn\ c\ l$
proof
assume $length\ l = 2$
then show $wf\text{-}conn\ c\ l$ **using** *wf-conn-binary list-length2-decomp* **using** *conn* **by** *metis*
next
assume $wf\text{-}conn\ c\ l$
then show $length\ l = 2$ (**is** $?P\ l$)
proof (*cases rule: wf-conn.induct*)
case *wf-conn-nullary*
then show $?P\ []$ **using** *conn binary-connectives-def*
using *connective.distinct(11) connective.distinct(13) connective.distinct(9)* **by** *blast*
next

```

fix  $\psi :: 'v$  propo
case wf-conn-unary
then show ?P [ $\psi$ ] using conn binary-connectives-def
  using connective.distinct by blast
next
fix  $\psi \ \psi' :: 'v$  propo
show ?P [ $\psi, \psi'$ ] by auto
qed
qed

lemma wf-conn-not-list-length[iff]:
fixes  $l :: 'v$  propo list
shows wf-conn CNot  $l \longleftrightarrow \text{length } l = 1$ 
apply auto
apply (metis append-Nil connective.distinct(5,17,27) length-Cons list.size(3) wf-conn.simps
  wf-conn-list-decomp(4))
by (simp add: length-Suc-conv wf-conn.simps)

```

Decomposing the Not into an element is moreover very useful.

```

lemma wf-conn-Not-decomp:
fixes  $l :: 'v$  propo list and  $a :: 'v$ 
assumes corr: wf-conn CNot  $l$ 
shows  $\exists a. l = [a]$ 
by (metis (no-types, lifting) One-nat-def Suc-length-conv corr length-0-conv
  wf-conn-not-list-length)

```

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

```

lemma wf-conn-no-arity-change:
length  $l = \text{length } l' \implies \text{wf-conn } c \ l \longleftrightarrow \text{wf-conn } c \ l'$ 
proof -
{
fix  $l \ l'$ 
have length  $l = \text{length } l' \implies \text{wf-conn } c \ l \implies \text{wf-conn } c \ l'$ 
  apply (cases  $c \ l$  rule: wf-conn.induct, auto)
  by (metis wf-conn-bin-list-length)
}
then show length  $l = \text{length } l' \implies \text{wf-conn } c \ l = \text{wf-conn } c \ l'$  by metis
qed

```

```

lemma wf-conn-no-arity-change-helper:
length  $(\xi @ \varphi \# \xi') = \text{length } (\xi @ \varphi' \# \xi')$ 
by auto

```

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

```

lemma conn-inj-not:
assumes correct: wf-conn  $c \ l$ 
and conn: conn  $c \ l = FNot \ \psi$ 
shows  $c = CNot$  and  $l = [\psi]$ 
apply (cases  $c \ l$  rule: wf-conn.cases)
using correct conn unfolding binary-connectives-def apply auto
apply (cases  $c \ l$  rule: wf-conn.cases)
using correct conn unfolding binary-connectives-def by auto

```

```

lemma conn-inj:
  fixes c ca :: 'v connective and l  $\psi$ s :: 'v propo list
  assumes corr: wf-conn ca l
  and corr': wf-conn c  $\psi$ s
  and eq: conn ca l = conn c  $\psi$ s
  shows ca = c  $\wedge \psi$ s = l
  using corr
proof (cases ca l rule: wf-conn.cases)
  case (wf-conn-nullary v)
  then show ca = c  $\wedge \psi$ s = l using assms
    by (metis conn.simps(1) conn.simps(2) conn.simps(3) wf-conn-list(1-3))
next
  case (wf-conn-unary  $\psi'$ )
  then have *: FNot  $\psi'$  = conn c  $\psi$ s using conn-inj-not eq assms by auto
  then have c = ca by (metis conn-inj-not(1) corr' wf-conn-unary(2))
  moreover have  $\psi$ s = l using * conn-inj-not(2) corr' wf-conn-unary(1) by force
  ultimately show ca = c  $\wedge \psi$ s = l by auto
next
  case (wf-conn-binary  $\psi' \psi''$ )
  then show ca = c  $\wedge \psi$ s = l
    using eq corr' unfolding binary-connectives-def apply (cases ca, auto simp add: wf-conn-list)
    using wf-conn-list(4-7) corr' by metis+
qed

```

4.3 Subformulas and properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

```

inductive subformula :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool (infix  $\preceq$  45) for  $\varphi$  where
  subformula-refl[simp]:  $\varphi \preceq \varphi$  |
  subformula-into-subformula:  $\psi \in \text{set } l \implies \text{wf-conn } c \ l \implies \varphi \preceq \psi \implies \varphi \preceq \text{conn } c \ l$ 

```

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

```

lemma subformula-in-subformula-not:
shows b: FNot  $\varphi \preceq \psi \implies \varphi \preceq \psi$ 
  apply (induct rule: subformula.induct)
  using subformula-into-subformula wf-conn-unary subformula-refl list.set-intros(1) subformula-refl
  by (fastforce intro: subformula-into-subformula)+

```

```

lemma subformula-in-binary-conn:
  assumes conn: c  $\in$  binary-connectives
  shows f  $\preceq$  conn c [f, g]
  and g  $\preceq$  conn c [f, g]
proof -
  have a: wf-conn c (f# [g]) using conn wf-conn-binary binary-connectives-def by auto
  moreover have b: f  $\preceq$  f using subformula-refl by auto
  ultimately show f  $\preceq$  conn c [f, g]
    by (metis append-Nil in-set-conv-decomp subformula-into-subformula)
next
  have a: wf-conn c ([f] @ [g]) using conn wf-conn-binary binary-connectives-def by auto
  moreover have b: g  $\preceq$  g using subformula-refl by auto

```

ultimately show $g \preceq \text{conn } c [f, g]$ **using** *subformula-into-subformula* **by force**
qed

lemma *subformula-trans*:

$\psi \preceq \psi' \implies \varphi \preceq \psi \implies \varphi \preceq \psi'$
apply (*induct* ψ' *rule*: *subformula.inducts*)
by (*auto simp*: *subformula-into-subformula*)

lemma *subformula-leaf*:

fixes $\varphi \psi :: 'v \text{ propo}$
assumes *incl*: $\varphi \preceq \psi$
and *simple*: $\psi = FT \vee \psi = FF \vee \psi = FVar x$
shows $\varphi = \psi$
using *incl simple*
by (*induct rule*: *subformula.induct*, *auto simp*: *wf-conn-list*)

lemma *subformula-not-incl-eq*:

assumes $\varphi \preceq \text{conn } c l$
and *wf-conn* $c l$
and $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$
shows $\varphi = \text{conn } c l$
using *assms* **apply** (*induction* *conn* $c l$ *rule*: *subformula.induct*, *auto*)
using *conn-inj* **by** *blast*

lemma *wf-subformula-conn-cases*:

$\text{wf-conn } c l \implies \varphi \preceq \text{conn } c l \longleftrightarrow (\varphi = \text{conn } c l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$
apply *standard*
using *subformula-not-incl-eq* **apply** *metis*
by (*auto simp add*: *subformula-into-subformula*)

lemma *subformula-decomp-explicit*[*simp*]:

$\varphi \preceq FAnd \psi \psi' \longleftrightarrow (\varphi = FAnd \psi \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$ (**is** $?P FAnd$)
 $\varphi \preceq FOr \psi \psi' \longleftrightarrow (\varphi = FOr \psi \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\varphi \preceq FEq \psi \psi' \longleftrightarrow (\varphi = FEq \psi \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$
 $\varphi \preceq FImp \psi \psi' \longleftrightarrow (\varphi = FImp \psi \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$

proof –

have *wf-conn* $CAnd [\psi, \psi']$ **by** (*simp add*: *binary-connectives-def*)
then have $\varphi \preceq \text{conn } CAnd [\psi, \psi'] \longleftrightarrow$
 $(\varphi = \text{conn } CAnd [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$
using *wf-subformula-conn-cases* **by** *metis*
then show $?P FAnd$ **by** *auto*

next

have *wf-conn* $COr [\psi, \psi']$ **by** (*simp add*: *binary-connectives-def*)
then have $\varphi \preceq \text{conn } COr [\psi, \psi'] \longleftrightarrow$
 $(\varphi = \text{conn } COr [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$
using *wf-subformula-conn-cases* **by** *metis*
then show $?P FOr$ **by** *auto*

next

have *wf-conn* $CEq [\psi, \psi']$ **by** (*simp add*: *binary-connectives-def*)
then have $\varphi \preceq \text{conn } CEq [\psi, \psi'] \longleftrightarrow$
 $(\varphi = \text{conn } CEq [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$
using *wf-subformula-conn-cases* **by** *metis*
then show $?P FEq$ **by** *auto*

next

have *wf-conn* $CImp [\psi, \psi']$ **by** (*simp add*: *binary-connectives-def*)

then have $\varphi \preceq \text{conn } CImp [\psi, \psi'] \longleftrightarrow$
 $(\varphi = \text{conn } CImp [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$
using *wf-subformula-conn-cases* **by** *metis*
then show $?P \text{ FImp}$ **by** *auto*
qed

lemma *wf-conn-helper-facts*[*iff*]:

wf-conn *CNot* $[\varphi]$
wf-conn *CT* $[]$
wf-conn *CF* $[]$
wf-conn (*CVar* x) $[]$
wf-conn *CAnd* $[\varphi, \psi]$
wf-conn *COr* $[\varphi, \psi]$
wf-conn *CImp* $[\varphi, \psi]$
wf-conn *CEq* $[\varphi, \psi]$
using *wf-conn.intros* **unfolding** *binary-connectives-def* **by** *fastforce+*

lemma *exists-c-conn*: $\exists c l. \varphi = \text{conn } c l \wedge \text{wf-conn } c l$
by (*cases* φ) *force+*

lemma *subformula-conn-decomp*[*simp*]:

assumes *wf*: *wf-conn* $c l$
shows $\varphi \preceq \text{conn } c l \longleftrightarrow (\varphi = \text{conn } c l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi))$ (**is** $?A \longleftrightarrow ?B$)

proof (*rule iffI*)

$\{$
fix ξ
have $\varphi \preceq \xi \implies \xi = \text{conn } c l \implies \text{wf-conn } c l \implies \forall x::'a \text{ propo} \in \text{set } l. \neg \varphi \preceq x \implies \varphi = \text{conn } c l$
apply (*induct rule: subformula.induct*)
apply *simp*
using *conn-inj* **by** *blast*
 $\}$
moreover assume $?A$
ultimately show $?B$ **using** *wf* **by** *metis*

next

assume $?B$
then show $\varphi \preceq \text{conn } c l$ **using** *wf* *wf-subformula-conn-cases* **by** *blast*
qed

lemma *subformula-leaf-explicit*[*simp*]:

$\varphi \preceq FT \longleftrightarrow \varphi = FT$
 $\varphi \preceq FF \longleftrightarrow \varphi = FF$
 $\varphi \preceq FVar x \longleftrightarrow \varphi = FVar x$
apply *auto*
using *subformula-leaf* **by** *metis* +

The variables inside the formula gives precisely the variables that are needed for the formula.

primrec *vars-of-prop*:: $'v \text{ propo} \Rightarrow 'v \text{ set}$ **where**

vars-of-prop $FT = \{\}$ |
vars-of-prop $FF = \{\}$ |
vars-of-prop (*FVar* x) = $\{x\}$ |
vars-of-prop (*FNot* φ) = *vars-of-prop* φ |
vars-of-prop (*FAnd* $\varphi \psi$) = *vars-of-prop* $\varphi \cup \text{vars-of-prop } \psi$ |
vars-of-prop (*FOr* $\varphi \psi$) = *vars-of-prop* $\varphi \cup \text{vars-of-prop } \psi$ |
vars-of-prop (*FImp* $\varphi \psi$) = *vars-of-prop* $\varphi \cup \text{vars-of-prop } \psi$ |
vars-of-prop (*FEq* $\varphi \psi$) = *vars-of-prop* $\varphi \cup \text{vars-of-prop } \psi$

```

lemma vars-of-prop-incl-conn:
  fixes  $\xi \ \xi' :: 'v \text{ propo list}$  and  $\psi :: 'v \text{ propo}$  and  $c :: 'v \text{ connective}$ 
  assumes corr:  $\text{wf-conn } c \ l$  and incl:  $\psi \in \text{set } l$ 
  shows  $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$ 
proof (cases c rule: connective-cases-arity-2)
  case nullary
  then have False using corr incl by auto
  then show  $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$  by blast
next
  case binary note  $c = \text{this}$ 
  then obtain  $a \ b$  where  $ab: l = [a, b]$ 
  using wf-conn-bin-list-length list-length2-decomp corr by metis
  then have  $\psi = a \vee \psi = b$  using incl by auto
  then show  $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$ 
  using  $ab \ c$  unfolding binary-connectives-def by auto
next
  case unary note  $c = \text{this}$ 
  fix  $\varphi :: 'v \text{ propo}$ 
  have  $l = [\psi]$  using corr c incl split-list by force
  then show  $\text{vars-of-prop } \psi \subseteq \text{vars-of-prop } (\text{conn } c \ l)$  using  $c$  by auto
qed

```

The set of variables is compatible with the subformula order.

```

lemma subformula-vars-of-prop:
   $\varphi \preceq \psi \implies \text{vars-of-prop } \varphi \subseteq \text{vars-of-prop } \psi$ 
  apply (induct rule: subformula.induct)
  apply simp
  using vars-of-prop-incl-conn by blast

```

4.4 Positions

Instead of 1 or 2 we use L or R

```
datatype sign =  $L \mid R$ 
```

We use *nil* instead of ε .

```

fun pos ::  $'v \text{ propo} \Rightarrow \text{sign list set}$  where
  pos FF =  $\{\emptyset\} \mid$ 
  pos FT =  $\{\emptyset\} \mid$ 
  pos (FVar x) =  $\{\emptyset\} \mid$ 
  pos (FAnd  $\varphi \ \psi$ ) =  $\{\emptyset\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$ 
  pos (FOr  $\varphi \ \psi$ ) =  $\{\emptyset\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$ 
  pos (FEq  $\varphi \ \psi$ ) =  $\{\emptyset\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$ 
  pos (FImp  $\varphi \ \psi$ ) =  $\{\emptyset\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\} \cup \{R \ \# \ p \mid p. p \in \text{pos } \psi\} \mid$ 
  pos (FNot  $\varphi$ ) =  $\{\emptyset\} \cup \{L \ \# \ p \mid p. p \in \text{pos } \varphi\}$ 

```

```

lemma finite-pos: finite (pos  $\varphi$ )
  by (induct  $\varphi$ , auto)

```

```

lemma finite-inj-comp-set:
  fixes  $s :: 'v \text{ set}$ 
  assumes finite: finite  $s$ 
  and inj: inj  $f$ 
  shows  $\text{card } (\{f \ p \mid p. p \in s\}) = \text{card } s$ 
  using finite

```

proof (*induct s rule: finite-induct*)
 show $\text{card } \{f\ p \mid p. p \in \{\}\} = \text{card } \{\}$ **by** *auto*
next
 fix $x :: 'v$ **and** $s :: 'v \text{ set}$
 assume $f: \text{finite } s$ **and** $\text{notin}: x \notin s$
 and $IH: \text{card } \{f\ p \mid p. p \in s\} = \text{card } s$
 have $f': \text{finite } \{f\ p \mid p. p \in \text{insert } x\ s\}$ **using** f **by** *auto*
 have $\text{notin}': f\ x \notin \{f\ p \mid p. p \in s\}$ **using** notin *inj injD* **by** *fastforce*
 have $\{f\ p \mid p. p \in \text{insert } x\ s\} = \text{insert } (f\ x) \{f\ p \mid p. p \in s\}$ **by** *auto*
 then have $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = 1 + \text{card } \{f\ p \mid p. p \in s\}$
 using *finite card-insert-disjoint f' notin'* **by** *auto*
 moreover have $\dots = \text{card } (\text{insert } x\ s)$ **using** $\text{notin } f\ IH$ **by** *auto*
 finally show $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = \text{card } (\text{insert } x\ s)$.
qed

lemma *cons-inject*:

inj (op # s)
by (*meson injI list.inject*)

lemma *finite-insert-nil-cons*:

$\text{finite } s \implies \text{card } (\text{insert } [] \{L \# p \mid p. p \in s\}) = 1 + \text{card } \{L \# p \mid p. p \in s\}$
using *card-insert-disjoint* **by** *auto*

lemma *cord-not[simp]*:

$\text{card } (\text{pos } (FNot\ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$
by (*simp add: cons-inject finite-inj-comp-set finite-pos*)

lemma *card-seperate*:

assumes *finite s1 and finite s2*
shows $\text{card } (\{L \# p \mid p. p \in s1\} \cup \{R \# p \mid p. p \in s2\}) = \text{card } (\{L \# p \mid p. p \in s1\})$
 $+ \text{card } (\{R \# p \mid p. p \in s2\})$ (**is** $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$)

proof –

have *finite ?L* **using** *assms* **by** *auto*
 moreover have *finite ?R* **using** *assms* **by** *auto*
 moreover have $?L \cap ?R = \{\}$ **by** *blast*
 ultimately show *?thesis* **using** *assms card-Un-disjoint* **by** *blast*

qed

definition *prop-size* **where** $\text{prop-size } \varphi = \text{card } (\text{pos } \varphi)$

lemma *prop-size-vars-of-prop*:

fixes $\varphi :: 'v \text{ propo}$
shows $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

unfolding *prop-size-def* **apply** (*induct* φ , *auto simp add: cons-inject finite-inj-comp-set finite-pos*)

proof –

fix $\varphi1\ \varphi2 :: 'v \text{ propo}$
 assume $IH1: \text{card } (\text{vars-of-prop } \varphi1) \leq \text{card } (\text{pos } \varphi1)$
 and $IH2: \text{card } (\text{vars-of-prop } \varphi2) \leq \text{card } (\text{pos } \varphi2)$
 let $?L = \{L \# p \mid p. p \in \text{pos } \varphi1\}$
 let $?R = \{R \# p \mid p. p \in \text{pos } \varphi2\}$
 have $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$
 using *card-seperate finite-pos* **by** *blast*
 moreover have $\dots = \text{card } (\text{pos } \varphi1) + \text{card } (\text{pos } \varphi2)$


```

    by (simp add: cons-inject finite-inj-comp-set finite-pos)
  moreover have ... ≥ card (vars-of-prop  $\varphi 1$ ) + card (vars-of-prop  $\varphi 2$ ) using IH1 IH2 by arith
  then have ... ≥ card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ ) using card-Un-le le-trans by blast
  ultimately
    show card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ ) ≤ Suc (card (?L  $\cup$  ?R))
      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ ) ≤ Suc (card (?L  $\cup$  ?R))
      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ ) ≤ Suc (card (?L  $\cup$  ?R))
      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ ) ≤ Suc (card (?L  $\cup$  ?R))
    by auto
qed

```

```

value pos (FImp (FAnd (FVar P) (FVar Q)) (FOr (FVar P) (FVar Q)))

```

```

inductive path-to :: sign list ⇒ 'v propo ⇒ 'v propo ⇒ bool where
path-to-refl[intro]: path-to []  $\varphi$   $\varphi$  |
path-to-l:  $c \in \text{binary-connectives} \vee c = \text{CNot} \implies \text{wf-conn } c (\varphi \# l) \implies \text{path-to } p \varphi \varphi' \implies$ 
  path-to (L#p) (conn c ( $\varphi \# l$ ))  $\varphi'$  |
path-to-r:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \varphi \# []) \implies \text{path-to } p \varphi \varphi' \implies$ 
  path-to (R#p) (conn c ( $\psi \# \varphi \# []$ ))  $\varphi'$ 

```

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

```

lemma path-to-subformula:
  path-to p  $\varphi$   $\varphi' \implies \varphi' \preceq \varphi$ 
  apply (induct rule: path-to.induct)
  apply simp
  apply (metis list.set-intros(1) subformula-into-subformula)
  using subformula-trans subformula-in-binary-conn(2) by metis

```

```

lemma subformula-path-exists:
  fixes  $\varphi \varphi' :: 'v \text{ propo}$ 
  shows  $\varphi' \preceq \varphi \implies \exists p. \text{path-to } p \varphi \varphi'$ 
proof (induct rule: subformula.induct)
  case subformula-refl
  have path-to []  $\varphi' \varphi'$  by auto
  then show  $\exists p. \text{path-to } p \varphi' \varphi'$  by metis
next
  case (subformula-into-subformula  $\psi l c$ )
  note wf = this(2) and IH = this(4) and  $\psi = \text{this}(1)$ 
  then obtain p where p: path-to p  $\psi \varphi'$  by metis
  {
    fix x :: 'v
    assume  $c = \text{CT} \vee c = \text{CF} \vee c = \text{CVar } x$ 
    then have False using subformula-into-subformula by auto
    then have  $\exists p. \text{path-to } p (\text{conn } c l) \varphi'$  by blast
  }
  moreover {
    assume  $c = \text{CNot}$ 
    then have  $l = [\psi]$  using wf  $\psi$  wf-conn-Not-decomp by fastforce
    then have path-to (L#p) (conn c l)  $\varphi'$  by (metis c wf-conn-unary p path-to-l)
    then have  $\exists p. \text{path-to } p (\text{conn } c l) \varphi'$  by blast
  }
  moreover {
    assume  $c: c \in \text{binary-connectives}$ 
    obtain a b where ab:  $[a, b] = l$  using subformula-into-subformula c wf-conn-bin-list-length

```

```

    list-length2-decomp by metis
  then have a =  $\psi \vee b = \psi$  using  $\psi$  by auto
  then have path-to (L # p) (conn c l)  $\varphi' \vee$  path-to (R # p) (conn c l)  $\varphi'$  using c path-to-l
    path-to-r p ab by (metis wf-conn-binary)
  then have  $\exists p. \text{path-to } p \text{ (conn c l) } \varphi'$  by blast
}
ultimately show  $\exists p. \text{path-to } p \text{ (conn c l) } \varphi'$  using connective-cases-arity by metis
qed

```

```

fun replace-at :: sign list  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo where
  replace-at [] -  $\psi = \psi$  |
  replace-at (L # l) (FAnd  $\varphi \varphi'$ )  $\psi = \text{FAnd (replace-at l } \varphi \psi) \varphi'$  |
  replace-at (R # l) (FAnd  $\varphi \varphi'$ )  $\psi = \text{FAnd } \varphi \text{ (replace-at l } \varphi' \psi)$  |
  replace-at (L # l) (FOr  $\varphi \varphi'$ )  $\psi = \text{FOr (replace-at l } \varphi \psi) \varphi'$  |
  replace-at (R # l) (FOr  $\varphi \varphi'$ )  $\psi = \text{FOr } \varphi \text{ (replace-at l } \varphi' \psi)$  |
  replace-at (L # l) (FEq  $\varphi \varphi'$ )  $\psi = \text{FEq (replace-at l } \varphi \psi) \varphi'$  |
  replace-at (R # l) (FEq  $\varphi \varphi'$ )  $\psi = \text{FEq } \varphi \text{ (replace-at l } \varphi' \psi)$  |
  replace-at (L # l) (FImp  $\varphi \varphi'$ )  $\psi = \text{FImp (replace-at l } \varphi \psi) \varphi'$  |
  replace-at (R # l) (FImp  $\varphi \varphi'$ )  $\psi = \text{FImp } \varphi \text{ (replace-at l } \varphi' \psi)$  |
  replace-at (L # l) (FNot  $\varphi$ )  $\psi = \text{FNot (replace-at l } \varphi \psi)$ 

```

5 Semantics over the syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

```

fun eval :: ('v  $\Rightarrow$  bool)  $\Rightarrow$  'v propo  $\Rightarrow$  bool (infix  $\models$  50) where
   $\mathcal{A} \models FT = \text{True}$  |
   $\mathcal{A} \models FF = \text{False}$  |
   $\mathcal{A} \models \text{FVar } v = (\mathcal{A} \ v)$  |
   $\mathcal{A} \models \text{FNot } \varphi = (\neg(\mathcal{A} \models \varphi))$  |
   $\mathcal{A} \models \text{FAnd } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \wedge \mathcal{A} \models \varphi_2)$  |
   $\mathcal{A} \models \text{FOr } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \vee \mathcal{A} \models \varphi_2)$  |
   $\mathcal{A} \models \text{FImp } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longrightarrow \mathcal{A} \models \varphi_2)$  |
   $\mathcal{A} \models \text{FEq } \varphi_1 \varphi_2 = (\mathcal{A} \models \varphi_1 \longleftrightarrow \mathcal{A} \models \varphi_2)$ 

```

```

definition evalf (infix  $\models_f$  50) where
  evalf  $\varphi \psi = (\forall A. A \models \varphi \longrightarrow A \models \psi)$ 

```

The deduction rule is in the book. And the proof looks like to the one of the book.

theorem *deduction-theorem*:

$$(\varphi \models_f \psi) \longleftrightarrow (\forall A. (A \models \text{FImp } \varphi \psi))$$

proof

```

  assume H:  $\varphi \models_f \psi$ 
  {
    fix A
    have  $A \models \text{FImp } \varphi \psi$ 
    proof (cases  $A \models \varphi$ )
      case True
      then have  $A \models \psi$  using H unfolding evalf-def by metis
      then show  $A \models \text{FImp } \varphi \psi$  by auto
    next
      case False
      then show  $A \models \text{FImp } \varphi \psi$  by auto
    qed
  }

```

```

}
then show  $\forall A. A \models \text{FImp } \varphi \ \psi$  by blast
next
assume A:  $\forall A. A \models \text{FImp } \varphi \ \psi$ 
show  $\varphi \models_f \psi$ 
proof (rule ccontr)
  assume  $\neg \varphi \models_f \psi$ 
  then obtain A where  $A \models \varphi$  and  $\neg A \models \psi$  using evalf-def by metis
  then have  $\neg A \models \text{FImp } \varphi \ \psi$  by auto
  then show False using A by blast
qed
qed

```

A shorter proof:

```

lemma  $\varphi \models_f \psi \longleftrightarrow (\forall A. A \models \text{FImp } \varphi \ \psi)$ 
  by (simp add: evalf-def)

```

definition *same-over-set*:: $('v \Rightarrow \text{bool}) \Rightarrow ('v \Rightarrow \text{bool}) \Rightarrow 'v \text{ set} \Rightarrow \text{bool}$ **where**
same-over-set A B S = $(\forall c \in S. A \ c = B \ c)$

If two mapping A and B have the same value over the variables, then the same formula are satisfiable.

```

lemma same-over-set-eval:
  assumes same-over-set A B (vars-of-prop  $\varphi$ )
  shows  $A \models \varphi \longleftrightarrow B \models \varphi$ 
  using assms unfolding same-over-set-def by (induct  $\varphi$ , auto)

```

```

end
theory Prop-Abstract-Transformation
imports Main Prop-Logic Wellfounded-More

```

begin

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

6 Rewrite systems and properties

6.1 Lifting of rewrite rules

We can lift a rewrite relation r over a full formula: the relation r works on terms, while *propo-rew-step* works on formulas.

```

inductive propo-rew-step ::  $('v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}) \Rightarrow 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ 
  for  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  where
  global-rel:  $r \ \varphi \ \psi \implies \text{propo-rew-step } r \ \varphi \ \psi$  |
  propo-rew-one-step-lift:  $\text{propo-rew-step } r \ \varphi \ \varphi' \implies \text{wf-conn } c \ (\psi s @ \varphi \ \# \ \psi s')$ 
     $\implies \text{propo-rew-step } r \ (\text{conn } c \ (\psi s @ \varphi \ \# \ \psi s')) \ (\text{conn } c \ (\psi s @ \varphi' \ \# \ \psi s'))$ 

```

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between φ and φ' , then there are two subformulas ψ in φ and ψ' in φ' , ψ' is the result of the rewriting of r on ψ .

This lemma is only a health condition:

lemma *propo-rew-step-subformula-imp:*

shows *propo-rew-step* $r \varphi \varphi' \implies \exists \psi \psi'. \psi \preceq \varphi \wedge \psi' \preceq \varphi' \wedge r \psi \psi'$

apply (*induct rule: propo-rew-step.induct*)

using *subformula.simps subformula-into-subformula* **apply** *blast*

using *wf-conn-no-arity-change subformula-into-subformula wf-conn-no-arity-change-helper in-set-conv-decomp* **by** *metis*

The converse is moreover true: if there is a ψ and ψ' , then every formula φ containing ψ , can be rewritten into a formula φ' , such that it contains φ' .

lemma *propo-rew-step-subformula-rec:*

fixes $\psi \psi' \varphi :: 'v \text{ propo}$

shows $\psi \preceq \varphi \implies r \psi \psi' \implies (\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \varphi \varphi')$

proof (*induct* φ *rule: subformula.induct*)

case *subformula-refl*

hence *propo-rew-step* $r \psi \psi'$ **using** *propo-rew-step.intros* **by** *auto*

moreover **have** $\psi' \preceq \psi'$ **using** *Prop-Logic.subformula-refl* **by** *auto*

ultimately **show** $\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \psi \varphi'$ **by** *fastforce*

next

case (*subformula-into-subformula* $\psi'' l c$)

note $IH = \text{this}(4)$ **and** $r = \text{this}(5)$ **and** $\psi'' = \text{this}(1)$ **and** $wf = \text{this}(2)$ **and** $incl = \text{this}(3)$

then **obtain** φ' **where** $\psi' \preceq \varphi' \wedge \text{propo-rew-step } r \psi'' \varphi'$ **by** *metis*

moreover **obtain** $\xi \xi' :: 'v \text{ propo list}$ **where**

$l: l = \xi @ \psi'' \# \xi'$ **using** *List.split-list* ψ'' **by** *metis*

ultimately **have** *propo-rew-step* $r (\text{conn } c l) (\text{conn } c (\xi @ \varphi' \# \xi'))$

using *propo-rew-step.intros(2)* wf **by** *metis*

moreover **have** $\psi' \preceq \text{conn } c (\xi @ \varphi' \# \xi')$

using $wf * wf\text{-conn-no-arity-change}$ *Prop-Logic.subformula-into-subformula*

by (*metis* (*no-types*) *in-set-conv-decomp l wf-conn-no-arity-change-helper*)

ultimately **show** $\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r (\text{conn } c l) \varphi'$ **by** *metis*

qed

lemma *propo-rew-step-subformula:*

$(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi') \longleftrightarrow (\exists \varphi'. \text{propo-rew-step } r \varphi \varphi')$

using *propo-rew-step-subformula-imp propo-rew-step-subformula-rec* **by** *metis+*

lemma *consistency-decompose-into-list:*

assumes $wf: wf\text{-conn } c l$ **and** $wf': wf\text{-conn } c l'$

and *same*: $\forall n. (A \models l ! n \longleftrightarrow (A \models l' ! n))$

shows $(A \models \text{conn } c l) = (A \models \text{conn } c l')$

proof (*cases c rule: connective-cases-arity-2*)

case *nullary*

thus $(A \models \text{conn } c l) \longleftrightarrow (A \models \text{conn } c l')$ **using** $wf wf'$ **by** *auto*

next

case *unary* **note** $c = \text{this}$

then **obtain** a **where** $l = [a]$ **using** *wf-conn-Not-decomp wf* **by** *metis*

obtain a' **where** $l': l' = [a']$ **using** *wf-conn-Not-decomp wf' c* **by** *metis*

have $A \models a \longleftrightarrow A \models a'$ **using** $l l'$ **by** (*metis nth-Cons-0 same*)

thus $A \models \text{conn } c l \longleftrightarrow A \models \text{conn } c l'$ **using** $l l' c$ **by** *auto*

next

case *binary* **note** $c = \text{this}$

then **obtain** $a b$ **where** $l = [a, b]$

using *wf-conn-bin-list-length list-length2-decomp wf* **by** *metis*

obtain $a' b'$ **where** $l' = [a', b']$

using *wf-conn-bin-list-length list-length2-decomp wf' c* **by** *metis*

```

have p: A ⊨ a ⟷ A ⊨ a' A ⊨ b ⟷ A ⊨ b'
  using l l' same by (metis diff-Suc-1 nth-Cons' nat.distinct(2))+
show A ⊨ conn c l ⟷ A ⊨ conn c l'
  using wf c p unfolding binary-connectives-def l l' by auto
qed

```

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step* $r \varphi \varphi'$ means that we rewrite ψ inside φ (ie at a path p) into ψ' .

lemma *propo-rew-step-rewrite*:

```

fixes  $\varphi \varphi' :: 'v \text{ propo}$  and  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ 
assumes propo-rew-step  $r \varphi \varphi'$ 
shows  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p \varphi \psi \wedge \text{replace-at } p \varphi \psi' = \varphi'$ 
  using assms
proof (induct rule: propo-rew-step.induct)
  case (global-rel  $\varphi \psi$ )
  moreover have path-to []  $\varphi \varphi$  by auto
  moreover have replace-at []  $\varphi \psi = \psi$  by auto
  ultimately show ?case by metis
next
  case (propo-rew-one-step-lift  $\varphi \varphi' c \xi \xi'$ ) note  $\text{rel} = \text{this}(1)$  and  $\text{IH0} = \text{this}(2)$  and  $\text{corr} = \text{this}(3)$ 
  obtain  $\psi \psi' p$  where  $\text{IH}: r \psi \psi' \wedge \text{path-to } p \varphi \psi \wedge \text{replace-at } p \varphi \psi' = \varphi'$  using  $\text{IH0}$  by metis

```

```

{
  fix  $x :: 'v$ 
  assume  $c = CT \vee c = CF \vee c = CVar x$ 
  hence False using corr by auto
  hence  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p (\text{conn } c (\xi @ (\varphi \# \xi'))) \psi$ 
     $\wedge \text{replace-at } p (\text{conn } c (\xi @ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi @ (\varphi' \# \xi'))$ 
    by fast
}
moreover {
  assume  $c: c = CNot$ 
  hence empty:  $\xi = [] \ \xi' = []$  using corr by auto
  have path-to ( $L \# p$ ) ( $\text{conn } c (\xi @ (\varphi \# \xi'))$ )  $\psi$ 
    using c empty IH wf-conn-unary path-to-l by fastforce
  moreover have replace-at ( $L \# p$ ) ( $\text{conn } c (\xi @ (\varphi \# \xi'))$ )  $\psi' = \text{conn } c (\xi @ (\varphi' \# \xi'))$ 
    using c empty IH by auto
  ultimately have  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p (\text{conn } c (\xi @ (\varphi \# \xi'))) \psi$ 
     $\wedge \text{replace-at } p (\text{conn } c (\xi @ (\varphi \# \xi'))) \psi' = \text{conn } c (\xi @ (\varphi' \# \xi'))$ 
    using  $\text{IH}$  by metis
}
moreover {
  assume  $c: c \in \text{binary-connectives}$ 
  have length ( $\xi @ \varphi \# \xi'$ ) = 2 using wf-conn-bin-list-length corr c by metis
  hence length  $\xi + \text{length } \xi' = 1$  by auto
  hence  $\text{ld}: (\text{length } \xi = 1 \wedge \text{length } \xi' = 0) \vee (\text{length } \xi = 0 \wedge \text{length } \xi' = 1)$  by arith
  obtain  $a b$  where  $\text{ab}: (\xi = [] \wedge \xi' = [b]) \vee (\xi = [a] \wedge \xi' = [])$ 
    using  $\text{ld}$  by (case-tac  $\xi$ , case-tac  $\xi'$ , auto)
  {
    assume  $\varphi: \xi = [] \wedge \xi' = [b]$ 
    have path-to ( $L \# p$ ) ( $\text{conn } c (\xi @ (\varphi \# \xi'))$ )  $\psi$ 
      using  $\varphi \ c \ \text{IH} \ \text{ab} \ \text{corr}$  by (simp add: path-to-l)
    moreover have replace-at ( $L \# p$ ) ( $\text{conn } c (\xi @ (\varphi \# \xi'))$ )  $\psi' = \text{conn } c (\xi @ (\varphi' \# \xi'))$ 
      using  $c \ \text{IH} \ \text{ab} \ \varphi$  unfolding binary-connectives-def by auto
    ultimately have  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p (\text{conn } c (\xi @ (\varphi \# \xi'))) \psi$ 

```

```

     $\wedge$  replace-at  $p$  ( $\text{conn } c$  ( $\xi @ (\varphi \# \xi')$ ))  $\psi' = \text{conn } c$  ( $\xi @ (\varphi' \# \xi')$ )
    using IH by metis
  }
  moreover {
    assume  $\varphi: \xi=[a] \ \xi'=[]$ 
    hence path-to ( $R\#p$ ) ( $\text{conn } c$  ( $\xi @ (\varphi \# \xi')$ ))  $\psi$ 
      using c IH corr path-to-r corr  $\varphi$  by (simp add: path-to-r)
    moreover have replace-at ( $R\#p$ ) ( $\text{conn } c$  ( $\xi @ (\varphi \# \xi')$ ))  $\psi' = \text{conn } c$  ( $\xi @ (\varphi' \# \xi')$ )
      using c IH ab  $\varphi$  unfolding binary-connectives-def by auto
    ultimately have ?case using IH by metis
  }
  ultimately have ?case using ab by blast
}
ultimately show ?case using connective-cases-arity by blast
qed

```

6.2 Consistency preservation

We define *preserves-un-sat*: it means that a relation preserves consistency.

definition *preserves-un-sat* **where**

preserves-un-sat $r \longleftrightarrow (\forall \varphi \psi. r \varphi \psi \longrightarrow (\forall A. A \models \varphi \longleftrightarrow A \models \psi))$

lemma *propo-rew-step-preservers-val-explicit*:

propo-rew-step $r \varphi \psi \implies \text{preserves-un-sat } r \implies \text{propo-rew-step } r \varphi \psi \implies (\forall A. A \models \varphi \longleftrightarrow A \models \psi)$

unfolding *preserves-un-sat-def*

proof (*induction rule: propo-rew-step.induct*)

case *global-rel*

thus ?case by *simp*

next

case (*propo-rew-one-step-lift* $\varphi \varphi' c \xi \xi'$) **note** *rel = this(1)* **and** *wf = this(2)*

and *IH = this(3)[OF this(4) this(1)]* **and** *consistent = this(4)*

{

fix A

from *IH* **have** $\forall n. (A \models (\xi @ \varphi \# \xi') ! n) = (A \models (\xi @ \varphi' \# \xi') ! n)$

by (*metis (mono-tags, hide-lams) list-update-length nth-Cons-0 nth-append-length-plus nth-list-update-neg*)

hence $(A \models \text{conn } c (\xi @ \varphi \# \xi')) = (A \models \text{conn } c (\xi @ \varphi' \# \xi'))$

by (*meson consistency-decompose-into-list wf wf-conn-no-arity-change-helper wf-conn-no-arity-change*)

}

thus $\forall A. A \models \text{conn } c (\xi @ \varphi \# \xi') \longleftrightarrow A \models \text{conn } c (\xi @ \varphi' \# \xi')$ **by** *auto*

qed

lemma *propo-rew-step-preservers-val'*:

assumes *preserves-un-sat* r

shows *preserves-un-sat* (*propo-rew-step* r)

using *assms* **by** (*simp add: preserves-un-sat-def propo-rew-step-preservers-val-explicit*)

lemma *preserves-un-sat-OO[intro]*:

preserves-un-sat $f \implies \text{preserves-un-sat } g \implies \text{preserves-un-sat } (f \text{ OO } g)$

unfolding *preserves-un-sat-def* **by** *auto*

lemma *star-consistency-preservation-explicit*:
assumes $(\text{propo-rew-step } r)^{\wedge**} \varphi \psi$ **and** *preserves-un-sat* r
shows $\forall A. A \models \varphi \longleftrightarrow A \models \psi$
using *assms* **by** (*induct rule: rtranclp-induct*)
(auto simp add: propo-rew-step-preservers-val-explicit)

lemma *star-consistency-preservation*:
preserves-un-sat $r \implies \text{preserves-un-sat } (\text{propo-rew-step } r)^{\wedge**}$
by (*simp add: star-consistency-preservation-explicit preserves-un-sat-def*)

6.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

lemma *full-ropo-rew-step-preservers-val[simp]*:
preserves-un-sat $r \implies \text{preserves-un-sat } (\text{full } (\text{propo-rew-step } r))$
by (*metis full-def preserves-un-sat-def star-consistency-preservation*)

lemma *full-propo-rew-step-subformula*:
 $\text{full } (\text{propo-rew-step } r) \varphi' \varphi \implies \neg(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi')$
unfolding *full-def* **using** *propo-rew-step-subformula-rec* **by** *metis*

7 Transformation testing

7.1 Definition and first properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

definition *all-subformula-st* :: $('a \text{ propo} \Rightarrow \text{bool}) \Rightarrow 'a \text{ propo} \Rightarrow \text{bool}$ **where**
all-subformula-st test-symb $\varphi \equiv \forall \psi. \psi \preceq \varphi \longrightarrow \text{test-symb } \psi$

lemma *test-symb-imp-all-subformula-st[simp]*:
 $\text{test-symb } FT \implies \text{all-subformula-st test-symb } FT$
 $\text{test-symb } FF \implies \text{all-subformula-st test-symb } FF$
 $\text{test-symb } (FVar \ x) \implies \text{all-subformula-st test-symb } (FVar \ x)$
unfolding *all-subformula-st-def* **using** *subformula-leaf* **by** *metis+*

lemma *all-subformula-st-test-symb-true-phi*:
 $\text{all-subformula-st test-symb } \varphi \implies \text{test-symb } \varphi$
unfolding *all-subformula-st-def* **by** *auto*

lemma *all-subformula-st-decomp-imp*:
 $\text{wf-conn } c \ l \implies (\text{test-symb } (\text{conn } c \ l) \wedge (\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi))$
 $\implies \text{all-subformula-st test-symb } (\text{conn } c \ l)$
unfolding *all-subformula-st-def* **by** *auto*

To ease the finding of proofs, we give some explicit theorem about the decomposition.

lemma *all-subformula-st-decomp-rec*:

all-subformula-st test-symb (conn *c l*) \implies *wf-conn c l*
 \implies (*test-symb* (conn *c l*) \wedge ($\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi$))
unfolding *all-subformula-st-def* **by** *auto*

lemma *all-subformula-st-decomp*:

fixes *c* :: '*v* connective **and** *l* :: '*v* propo list
assumes *wf-conn c l*
shows *all-subformula-st test-symb* (conn *c l*)
 \longleftrightarrow (*test-symb* (conn *c l*) \wedge ($\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi$))
using *assms all-subformula-st-decomp-rec all-subformula-st-decomp-imp* **by** *metis*

lemma *helper-fact*: $c \in \text{binary-connectives} \longleftrightarrow (c = \text{COr} \vee c = \text{CAnd} \vee c = \text{CEq} \vee c = \text{CImp})$
unfolding *binary-connectives-def* **by** *auto*

lemma *all-subformula-st-decomp-explicit[simp]*:

fixes $\varphi \psi$:: '*v* propo
shows *all-subformula-st test-symb* (*FAnd* $\varphi \psi$)
 \longleftrightarrow (*test-symb* (*FAnd* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)
and *all-subformula-st test-symb* (*FOr* $\varphi \psi$)
 \longleftrightarrow (*test-symb* (*FOr* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)
and *all-subformula-st test-symb* (*FNot* φ)
 \longleftrightarrow (*test-symb* (*FNot* φ) \wedge *all-subformula-st test-symb* φ)
and *all-subformula-st test-symb* (*FEq* $\varphi \psi$)
 \longleftrightarrow (*test-symb* (*FEq* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)
and *all-subformula-st test-symb* (*FImp* $\varphi \psi$)
 \longleftrightarrow (*test-symb* (*FImp* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)

proof –

have *all-subformula-st test-symb* (*FAnd* $\varphi \psi$) \longleftrightarrow *all-subformula-st test-symb* (conn *CAnd* $[\varphi, \psi]$)
by *auto*

moreover have ... \longleftrightarrow *test-symb* (conn *CAnd* $[\varphi, \psi]$) \wedge ($\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi$)
 ξ)

using *all-subformula-st-decomp wf-conn-helper-facts(5)* **by** *metis*

finally show *all-subformula-st test-symb* (*FAnd* $\varphi \psi$)

\longleftrightarrow (*test-symb* (*FAnd* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FOr* $\varphi \psi$) \longleftrightarrow *all-subformula-st test-symb* (conn *COr* $[\varphi, \psi]$)
by *auto*

moreover have ... \longleftrightarrow

(*test-symb* (conn *COr* $[\varphi, \psi]$) \wedge ($\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi$))

using *all-subformula-st-decomp wf-conn-helper-facts(6)* **by** *metis*

finally show *all-subformula-st test-symb* (*FOr* $\varphi \psi$)

\longleftrightarrow (*test-symb* (*FOr* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FEq* $\varphi \psi$) \longleftrightarrow *all-subformula-st test-symb* (conn *CEq* $[\varphi, \psi]$)
by *auto*

moreover have ...

\longleftrightarrow (*test-symb* (conn *CEq* $[\varphi, \psi]$) \wedge ($\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi$))

using *all-subformula-st-decomp wf-conn-helper-facts(8)* **by** *metis*

finally show *all-subformula-st test-symb* (*FEq* $\varphi \psi$)

\longleftrightarrow (*test-symb* (*FEq* $\varphi \psi$) \wedge *all-subformula-st test-symb* φ \wedge *all-subformula-st test-symb* ψ)
by *simp*

have *all-subformula-st test-symb* (*FImp* $\varphi \psi$) \longleftrightarrow *all-subformula-st test-symb* (conn *CImp* $[\varphi, \psi]$)


```

  by auto
  moreover have ...
     $\longleftrightarrow$  (test-symb (conn CImp  $[\varphi, \psi]$ )  $\wedge$  ( $\forall \xi \in \text{set } [\varphi, \psi]. \text{all-subformula-st test-symb } \xi$ ))
    using all-subformula-st-decomp wf-conn-helper-facts(7) by metis
  finally show all-subformula-st test-symb (FImp  $\varphi \psi$ )
     $\longleftrightarrow$  (test-symb (FImp  $\varphi \psi$ )  $\wedge$  all-subformula-st test-symb  $\varphi \wedge$  all-subformula-st test-symb  $\psi$ )
    by simp

  have all-subformula-st test-symb (FNot  $\varphi$ )  $\longleftrightarrow$  all-subformula-st test-symb (conn CNot  $[\varphi]$ )
    by auto
  moreover have ... = (test-symb (conn CNot  $[\varphi]$ )  $\wedge$  ( $\forall \xi \in \text{set } [\varphi]. \text{all-subformula-st test-symb } \xi$ ))
    using all-subformula-st-decomp wf-conn-helper-facts(1) by metis
  finally show all-subformula-st test-symb (FNot  $\varphi$ )
     $\longleftrightarrow$  (test-symb (FNot  $\varphi$ )  $\wedge$  all-subformula-st test-symb  $\varphi$ ) by simp
qed

```

As *all-subformula-st* tests recursively, the function is true on every subformula.

lemma *subformula-all-subformula-st*:

```

 $\psi \preceq \varphi \implies \text{all-subformula-st test-symb } \varphi \implies \text{all-subformula-st test-symb } \psi$ 
  by (induct rule: subformula.induct, auto simp add: all-subformula-st-decomp)

```

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation *r*: if we assume that if every time *test-symb* is true, then a *r* can be applied, finally as long as $\neg \text{all-subformula-st test-symb } \varphi$, then something can be rewritten in φ .

lemma *no-test-symb-step-exists*:

```

  fixes  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  and test-symb::  $'v \text{ propo} \Rightarrow \text{bool}$  and  $x :: 'v$ 
  and  $\varphi :: 'v \text{ propo}$ 
  assumes test-symb-false-nullary:  $\forall x. \text{test-symb } FF \wedge \text{test-symb } FT \wedge \text{test-symb } (FVar\ x)$ 
  and  $\forall \varphi'. \varphi' \preceq \varphi \longrightarrow (\neg \text{test-symb } \varphi') \longrightarrow (\exists \psi. r\ \varphi'\ \psi)$  and
   $\neg \text{all-subformula-st test-symb } \varphi$ 
  shows  $(\exists \psi\ \psi'. \psi \preceq \varphi \wedge r\ \psi\ \psi')$ 
  using assms
proof (induct  $\varphi$  rule: propo-induct-arity)
  case (nullary  $\varphi\ x$ )
  thus  $\exists \psi\ \psi'. \psi \preceq \varphi \wedge r\ \psi\ \psi'$ 
    using wf-conn-nullary test-symb-false-nullary by fastforce
next
  case (unary  $\varphi$ ) note IH = this(1)[OF this(2)] and  $r = \text{this}(2)$  and  $nst = \text{this}(3)$  and  $\text{subf} = \text{this}(4)$ 
  from  $r\ IH\ nst$  have  $H: \neg \text{all-subformula-st test-symb } \varphi \implies \exists \psi. \psi \preceq \varphi \wedge (\exists \psi'. r\ \psi\ \psi')$ 
    by (metis subformula-in-subformula-not subformula-refl subformula-trans)
  {
    assume  $n: \neg \text{test-symb } (FNot\ \varphi)$ 
    obtain  $\psi$  where  $r\ (FNot\ \varphi)\ \psi$  using subformula-refl  $r\ n\ nst$  by blast
    moreover have  $FNot\ \varphi \preceq FNot\ \varphi$  using subformula-refl by auto
    ultimately have  $\exists \psi\ \psi'. \psi \preceq FNot\ \varphi \wedge r\ \psi\ \psi'$  by metis
  }
  moreover {
    assume  $n: \text{test-symb } (FNot\ \varphi)$ 
    hence  $\neg \text{all-subformula-st test-symb } \varphi$ 
      using all-subformula-st-decomp-explicit(3)  $nst\ \text{subf}$  by blast
    hence  $\exists \psi\ \psi'. \psi \preceq FNot\ \varphi \wedge r\ \psi\ \psi'$ 
      using  $H\ \text{subformula-in-subformula-not subformula-refl subformula-trans}$  by blast
  }
}

```

ultimately show $\exists \psi \psi'. \psi \preceq F\text{Not } \varphi \wedge r \psi \psi'$ by blast

next

case (binary $\varphi \varphi1 \varphi2$)

note $IH\varphi1-0 = \text{this}(1)[OF \text{this}(4)]$ and $IH\varphi2-0 = \text{this}(2)[OF \text{this}(4)]$ and $r = \text{this}(4)$
and $\varphi = \text{this}(3)$ and $le = \text{this}(5)$ and $nst = \text{this}(6)$

obtain $c :: 'v \text{ connective}$ where
 $c: (c = C\text{And} \vee c = C\text{Or} \vee c = C\text{Imp} \vee c = C\text{Eq}) \wedge \text{conn } c [\varphi1, \varphi2] = \varphi$
using φ by fastforce

hence corr: $\text{wf-conn } c [\varphi1, \varphi2]$ using wf-conn.simps unfolding $\text{binary-connectives-def}$ by auto

have inc: $\varphi1 \preceq \varphi \varphi2 \preceq \varphi$ using $\text{binary-connectives-def } c \text{ subformula-in-binary-conn}$ by blast+

from $r IH\varphi1-0$ have $IH\varphi1: \neg \text{all-subformula-st test-symb } \varphi1 \implies \exists \psi \psi'. \psi \preceq \varphi1 \wedge r \psi \psi'$
using $\text{inc}(1) \text{ subformula-trans } le$ by blast

from $r IH\varphi2-0$ have $IH\varphi2: \neg \text{all-subformula-st test-symb } \varphi2 \implies \exists \psi. \psi \preceq \varphi2 \wedge (\exists \psi'. r \psi \psi')$
using $\text{inc}(2) \text{ subformula-trans } le$ by blast

have cases: $\neg \text{test-symb } \varphi \vee \neg \text{all-subformula-st test-symb } \varphi1 \vee \neg \text{all-subformula-st test-symb } \varphi2$
using $c \text{ nst}$ by auto

show $\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi'$
using $IH\varphi1 IH\varphi2 \text{ subformula-trans inc subformula-refl cases } le$ by blast

qed

7.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption $\forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$ means that rewriting with r does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from r to *propo-rew-step* r : we have to add the assumption that rewriting inside does not mess up the term: $\forall c \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$

7.2.1 Invariant while lifting of the rewriting relation

The condition $\varphi \preceq \Phi$ (that will be used with $\Phi = \varphi$ most of the time) is here to ensure that the recursive conditions on Φ will moreover hold for the subterm we are rewriting. For example if there is no equivalence symbol in Φ , we do not have to care about equivalence symbols in the two previous assumptions.

lemma *propo-rew-step-inv-stay*:

fixes $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ and $\text{test-symb}:: 'v \text{ propo} \Rightarrow \text{bool}$ and $x:: 'v$
and $\varphi \psi \Phi:: 'v \text{ propo}$
assumes $H: \forall \varphi' \psi. \varphi' \preceq \Phi \longrightarrow r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$
and $H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$ and
 $\text{propo-rew-step } r \varphi \psi$ and
 $\varphi \preceq \Phi$ and

```

    all-subformula-st test-symb  $\varphi$ 
  shows all-subformula-st test-symb  $\psi$ 
  using assms(3-5)
proof (induct rule: propo-rew-step.induct)
  case global-rel
  thus ?case using  $H$  by simp
next
  case (propo-rew-one-step-lift  $\varphi \varphi' c \xi \xi'$ )
  note rel = this(1) and  $\varphi = \text{this}(2)$  and  $\text{corr} = \text{this}(3)$  and  $\Phi = \text{this}(4)$  and  $nst = \text{this}(5)$ 
  have sq:  $\varphi \preceq \Phi$ 
    using  $\Phi$  corr subformula-into-subformula subformula-refl subformula-trans
    by (metis in-set-conv-decomp)
  from corr have  $\forall \psi. \psi \in \text{set } (\xi @ \varphi \# \xi') \longrightarrow \text{all-subformula-st test-symb } \psi$ 
    using all-subformula-st-decomp nst by blast
  hence *:  $\forall \psi. \psi \in \text{set } (\xi @ \varphi' \# \xi') \longrightarrow \text{all-subformula-st test-symb } \psi$  using  $\varphi$  sq by fastforce
  hence test-symb  $\varphi'$  using all-subformula-st-test-symb-true-phi by auto
  moreover from corr nst have test-symb (conn  $c$  ( $\xi @ \varphi \# \xi'$ ))
    using all-subformula-st-decomp by blast
  ultimately have test-symb: test-symb (conn  $c$  ( $\xi @ \varphi' \# \xi'$ )) using  $H'$  sq corr rel by blast

  have wf-conn  $c$  ( $\xi @ \varphi' \# \xi'$ )
    by (metis wf-conn-no-arity-change-helper corr wf-conn-no-arity-change)
  thus all-subformula-st test-symb (conn  $c$  ( $\xi @ \varphi' \# \xi'$ ))
    using * test-symb by (metis all-subformula-st-decomp)
qed

```

The need for $\varphi \preceq \Phi$ is not always necessary, hence we moreover have a version without inclusion.

lemma *propo-rew-step-inv-stay*:

```

  fixes  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  and test-symb::  $'v \text{ propo} \Rightarrow \text{bool}$  and  $x :: 'v$ 
  and  $\varphi \psi :: 'v \text{ propo}$ 
  assumes
     $H: \forall \varphi' \psi. r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$  and
     $H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb (conn } c (\xi @ \varphi \# \xi'))$ 
     $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb (conn } c (\xi @ \varphi' \# \xi'))$  and
    propo-rew-step  $r \varphi \psi$  and
    all-subformula-st test-symb  $\varphi$ 
  shows all-subformula-st test-symb  $\psi$ 
  using propo-rew-step-inv-stay'[of  $\varphi$   $r$  test-symb  $\varphi \psi$ ] assms subformula-refl by metis

```

The lemmas can be lifted to *full* (propo-rew-step r) instead of *propo-rew-step*

7.2.2 Invariant after all rewriting

lemma *full-propo-rew-step-inv-stay-with-inc*:

```

  fixes  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  and test-symb::  $'v \text{ propo} \Rightarrow \text{bool}$  and  $x :: 'v$ 
  and  $\varphi \psi :: 'v \text{ propo}$ 
  assumes
     $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$ 
     $\longrightarrow \text{all-subformula-st test-symb } \psi$  and
     $H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$ 
     $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb (conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$ 
     $\longrightarrow \text{test-symb (conn } c (\xi @ \varphi' \# \xi'))$  and
     $\varphi \preceq \Phi$  and
    full: full (propo-rew-step  $r$ )  $\varphi \psi$  and
    init: all-subformula-st test-symb  $\varphi$ 

```

```

shows all-subformula-st test-symb  $\psi$ 
using assms unfolding full-def
proof -
  have rel: (prop-rew-step  $r$ )**  $\varphi \psi$ 
    using full unfolding full-def by auto
  thus all-subformula-st test-symb  $\psi$ 
    using init
  proof (induct rule: rtrancpl-induct)
    case base
    then show all-subformula-st test-symb  $\varphi$  by blast
  next
    case (step b c) note star = this(1) and IH = this(3) and one = this(2) and all = this(4)
    then have all-subformula-st test-symb b by metis
    then show all-subformula-st test-symb c using prop-rew-step-inv-stay' H H' rel one by auto
  qed
qed

lemma full-prop-rew-step-inv-stay':
  fixes r:: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool and test-symb:: 'v propo  $\Rightarrow$  bool and x :: 'v
  and  $\varphi \psi$  :: 'v propo
  assumes
    H:  $\forall \varphi \psi. \text{prop-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$ 
       $\longrightarrow \text{all-subformula-st test-symb } \psi$  and
    H':  $\forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{prop-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi')$ 
       $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  and
    full: full (prop-rew-step  $r$ )  $\varphi \psi$  and
    init: all-subformula-st test-symb  $\varphi$ 
  shows all-subformula-st test-symb  $\psi$ 
  using full-prop-rew-step-inv-stay-with-inc[of r test-symb  $\varphi$ ] assms subformula-refl by metis

lemma full-prop-rew-step-inv-stay:
  fixes r:: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool and test-symb:: 'v propo  $\Rightarrow$  bool and x :: 'v
  and  $\varphi \psi$  :: 'v propo
  assumes
    H:  $\forall \varphi \psi. r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$  and
    H':  $\forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$ 
       $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  and
    full: full (prop-rew-step  $r$ )  $\varphi \psi$  and
    init: all-subformula-st test-symb  $\varphi$ 
  shows all-subformula-st test-symb  $\psi$ 
  unfolding full-def
proof -
  have rel: (prop-rew-step  $r$ )^**  $\varphi \psi$ 
    using full unfolding full-def by auto
  thus all-subformula-st test-symb  $\psi$ 
    using init
  proof (induct rule: rtrancpl-induct)
    case base
    thus all-subformula-st test-symb  $\varphi$  by blast
  next
    case (step b c)
    note star = this(1) and IH = this(3) and one = this(2) and all = this(4)
    hence all-subformula-st test-symb b by metis
    thus all-subformula-st test-symb c
      using prop-rew-step-inv-stay subformula-refl H H' rel one by auto
  qed

```

qed
qed

lemma *full-propo-rew-step-inv-stay-conn*:

fixes $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **and** $\text{test-symb} :: 'v \text{ propo} \Rightarrow \text{bool}$ **and** $x :: 'v$
and $\varphi \psi :: 'v \text{ propo}$

assumes

$H: \forall \varphi \psi. r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$ **and**

$H': \forall (c :: 'v \text{ connective}) l l'. \text{wf-conn } c l \longrightarrow \text{wf-conn } c l'$

$\longrightarrow (\text{test-symb } (\text{conn } c l) \longleftrightarrow \text{test-symb } (\text{conn } c l'))$ **and**

$\text{full}: \text{full } (\text{propo-rew-step } r) \varphi \psi$ **and**

$\text{init}: \text{all-subformula-st test-symb } \varphi$

shows $\text{all-subformula-st test-symb } \psi$

proof –

have $\bigwedge (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi')$

$\implies \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \implies \text{test-symb } \varphi' \implies \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$

using H' **by** (*metis wf-conn-no-arity-change-helper wf-conn-no-arity-change*)

thus $\text{all-subformula-st test-symb } \psi$

using H $\text{full init full-propo-rew-step-inv-stay}$ **by** *blast*

qed

end

theory *Prop-Normalisation*

imports *Main Prop-Logic Prop-Abstract-Transformation ../lib/Multiset-More*

begin

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

8 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalencies, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation separately.

8.1 Elimination of the equivalences

The first transformation consists in removing every equivalence symbol.

inductive *elim-equiv* :: $'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **where**

elim-equiv[*simp*]: $\text{elim-equiv } (\text{FEq } \varphi \psi) (\text{FAnd } (\text{FImp } \varphi \psi) (\text{FImp } \psi \varphi))$

lemma *elim-equiv-transformation-consistent*:

$A \models \text{FEq } \varphi \psi \longleftrightarrow A \models \text{FAnd } (\text{FImp } \varphi \psi) (\text{FImp } \psi \varphi)$

by *auto*

lemma *elim-equiv-explicit*: $\text{elim-equiv } \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$

by (*induct rule: elim-equiv.induct, auto*)

lemma *elim-equiv-consistent: preserves-un-sat elim-equiv*

unfolding *preserves-un-sat-def* **by** (*simp add: elim-equiv-explicit*)

lemma *elimEquiv-lifted-consistent*:

```

preserves-un-sat (full (propo-rew-step elim-equiv))
by (simp add: elim-equiv-consistent)

```

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

```

fun no-equiv-symb :: 'v propo  $\Rightarrow$  bool where
no-equiv-symb (FEq -) = False |
no-equiv-symb - = True

```

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

```

lemma no-equiv-symb-conn-characterization[simp]:
fixes c :: 'v connective and l :: 'v propo list
assumes wf: wf-conn c l
shows no-equiv-symb (conn c l)  $\longleftrightarrow$  c  $\neq$  CEq
by (metis connective.distinct(13,25,35,43) wf no-equiv-symb.elims(3) no-equiv-symb.simps(1)
wf-conn.cases wf-conn-list(6))

```

```

definition no-equiv where no-equiv = all-subformula-st no-equiv-symb

```

```

lemma no-equiv-eq[simp]:
fixes  $\varphi$   $\psi$  :: 'v propo
shows
 $\neg$ no-equiv (FEq  $\varphi$   $\psi$ )
no-equiv FT
no-equiv FF
using no-equiv-symb.simps(1) all-subformula-st-test-symb-true-phi unfolding no-equiv-def by auto

```

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

```

lemma all-subformula-st-decomp-explicit-no-equiv[iff]:
fixes  $\varphi$   $\psi$  :: 'v propo
shows
no-equiv (FNot  $\varphi$ )  $\longleftrightarrow$  no-equiv  $\varphi$ 
no-equiv (FAnd  $\varphi$   $\psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi$   $\wedge$  no-equiv  $\psi$ )
no-equiv (FOr  $\varphi$   $\psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi$   $\wedge$  no-equiv  $\psi$ )
no-equiv (FImp  $\varphi$   $\psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi$   $\wedge$  no-equiv  $\psi$ )
by (auto simp: no-equiv-def)

```

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*. This theorem is one of the assumption we need to characterize the transformation.

```

lemma no-equiv-elim-equiv-step:
fixes  $\varphi$  :: 'v propo
assumes no-equiv:  $\neg$  no-equiv  $\varphi$ 
shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elim-equiv } \psi \psi'$ 
proof -
have test-symb-false-nullary:
 $\forall x::'v. \text{no-equiv-symb } FF \wedge \text{no-equiv-symb } FT \wedge \text{no-equiv-symb } (FVar x)$ 
unfolding no-equiv-def by auto
moreover {
fix c::'v connective and l :: 'v propo list and  $\psi$  :: 'v propo
assume a1: elim-equiv (conn c l)  $\psi$ 
have  $\bigwedge p \text{ pa}. \neg \text{elim-equiv } (p::'v propo) \text{ pa} \vee \neg \text{no-equiv-symb } p$ 
using elim-equiv.cases no-equiv-symb.simps(1) by blast
then have elim-equiv (conn c l)  $\psi \implies \neg \text{no-equiv-symb } (conn c l)$  using a1 by metis

```

```

}
moreover have  $H': \forall \psi. \neg \text{elim-equiv } FT \ \psi \ \forall \psi. \neg \text{elim-equiv } FF \ \psi \ \forall \psi \ x. \neg \text{elim-equiv } (FVar \ x) \ \psi$ 
using elim-equiv.cases by auto
moreover have  $\bigwedge \varphi. \neg \text{no-equiv-symb } \varphi \implies \exists \psi. \text{elim-equiv } \varphi \ \psi$ 
by (case-tac  $\varphi$ , auto simp: elim-equiv.simps)
then have  $\bigwedge \varphi'. \varphi' \preceq \varphi \implies \neg \text{no-equiv-symb } \varphi' \implies \exists \psi. \text{elim-equiv } \varphi' \ \psi$  by force
ultimately show ?thesis
using no-test-symb-step-exists no-equiv test-symb-false-nullary unfolding no-equiv-def by blast
qed

```

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

```

lemma no-equiv-full-propo-rew-step-elim-equiv:
  full (propo-rew-step elim-equiv)  $\varphi \ \psi \implies \text{no-equiv } \psi$ 
using full-propo-rew-step-subformula no-equiv-elim-equiv-step by blast

```

8.2 Eliminate Implication

After that, we can eliminate the implication symbols.

```

inductive elim-imp :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
[simp]: elim-imp (FImp  $\varphi \ \psi$ ) (FOr (FNot  $\varphi$ )  $\psi$ )

```

```

lemma elim-imp-transformation-consistent:
   $A \models \text{FImp } \varphi \ \psi \longleftrightarrow A \models \text{FOr } (\text{FNot } \varphi) \ \psi$ 
by auto

```

```

lemma elim-imp-explicit: elim-imp  $\varphi \ \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
by (induct  $\varphi \ \psi$  rule: elim-imp.induct, auto)

```

```

lemma elim-imp-consistent: preserves-un-sat elim-imp
unfolding preserves-un-sat-def by (simp add: elim-imp-explicit)

```

```

lemma elim-imp-lifted-consistant:
  preserves-un-sat (full (propo-rew-step elim-imp))
by (simp add: elim-imp-consistent)

```

```

fun no-imp-symb where
no-imp-symb (FImp -) = False |
no-imp-symb - = True

```

```

lemma no-imp-symb-conn-characterization:
   $\text{wf-conn } c \ l \implies \text{no-imp-symb } (\text{conn } c \ l) \longleftrightarrow c \neq \text{CImp}$ 
by (induction rule: wf-conn-induct) auto

```

```

definition no-imp where no-imp  $\equiv \text{all-subformula-st no-imp-symb}$ 
declare no-imp-def[simp]

```

```

lemma no-imp-Imp[simp]:
   $\neg \text{no-imp } (\text{FImp } \varphi \ \psi)$ 
  no-imp FT
  no-imp FF
unfolding no-imp-def by auto

```

```

lemma all-subformula-st-decomp-explicit-imp[simp]:
  fixes  $\varphi \ \psi :: 'v \text{ propo}$ 

```

shows

$no\text{-}imp (FNot \varphi) \longleftrightarrow no\text{-}imp \varphi$
 $no\text{-}imp (FAnd \varphi \psi) \longleftrightarrow (no\text{-}imp \varphi \wedge no\text{-}imp \psi)$
 $no\text{-}imp (FOr \varphi \psi) \longleftrightarrow (no\text{-}imp \varphi \wedge no\text{-}imp \psi)$

by *auto*

Invariant of the *elim-imp* transformation

lemma *elim-imp-no-equiv*:

$elim\text{-}imp \varphi \psi \implies no\text{-}equiv \varphi \implies no\text{-}equiv \psi$
by (*induct* $\varphi \psi$ *rule*: *elim-imp.induct*, *auto*)

lemma *elim-imp-inv*:

fixes $\varphi \psi :: 'v \text{ propo}$
assumes *full* (*propo-rew-step* *elim-imp*) $\varphi \psi$ **and** *no-equiv* φ
shows *no-equiv* ψ
using *full-propo-rew-step-inv-stay-conn*[*of* *elim-imp no-equiv-symb* $\varphi \psi$] *assms* *elim-imp-no-equiv*
no-equiv-symb-conn-characterization **unfolding** *no-equiv-def* **by** *metis*

lemma *no-no-imp-elim-imp-step-exists*:

fixes $\varphi :: 'v \text{ propo}$
assumes *no-equiv*: $\neg no\text{-}imp \varphi$
shows $\exists \psi \psi'. \psi \preceq \varphi \wedge elim\text{-}imp \psi \psi'$

proof –

have *test-symb-false-nullary*: $\forall x. no\text{-}imp\text{-}symb FF \wedge no\text{-}imp\text{-}symb FT \wedge no\text{-}imp\text{-}symb (FVar (x:: 'v))$
by *auto*

moreover {

fix $c :: 'v \text{ connective}$ **and** $l :: 'v \text{ propo list}$ **and** $\psi :: 'v \text{ propo}$
have *H*: $elim\text{-}imp (conn\ c\ l) \psi \implies \neg no\text{-}imp\text{-}symb (conn\ c\ l)$
by (*auto* *elim*: *elim-imp.cases*)

}

moreover

have *H'*: $\forall \psi. \neg elim\text{-}imp FT \psi \forall \psi. \neg elim\text{-}imp FF \psi \forall \psi x. \neg elim\text{-}imp (FVar x) \psi$
by (*auto* *elim*: *elim-imp.cases*)+

moreover

have $\bigwedge \varphi. \neg no\text{-}imp\text{-}symb \varphi \implies \exists \psi. elim\text{-}imp \varphi \psi$
by (*case-tac* φ) (*force* *simp*: *elim-imp.simps*)+
then have $(\bigwedge \varphi'. \varphi' \preceq \varphi \implies \neg no\text{-}imp\text{-}symb \varphi' \implies \exists \psi. elim\text{-}imp \varphi' \psi)$ **by** *force*

ultimately show *?thesis*

using *no-test-symb-step-exists* *no-equiv test-symb-false-nullary* **unfolding** *no-imp-def* **by** *blast*

qed

lemma *no-imp-full-propo-rew-step-elim-imp*: $full (propo\text{-}rew\text{-}step\ elim\text{-}imp) \varphi \psi \implies no\text{-}imp \psi$

using *full-propo-rew-step-subformula* *no-no-imp-elim-imp-step-exists* **by** *blast*

8.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

inductive *elimTB* **where**

ElimTB1: $elimTB (FAnd \varphi FT) \varphi \mid$

ElimTB1': $elimTB (FAnd FT \varphi) \varphi \mid$

ElimTB2: $elimTB (FAnd \varphi FF) FF \mid$

ElimTB2': $elimTB (FAnd FF \varphi) FF \mid$

ElimTB3: *elimTB (FOr φ FT) FT* |
ElimTB3': *elimTB (FOr FT φ) FT* |

ElimTB4: *elimTB (FOr φ FF) φ* |
ElimTB4': *elimTB (FOr FF φ) φ* |

ElimTB5: *elimTB (FNot FT) FF* |
ElimTB6: *elimTB (FNot FF) FT*

lemma *elimTB-consistent: preserves-un-sat elimTB*

proof –

```
{
  fix  $\varphi \psi :: 'b$  propo
  have elimTB  $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$  by (induction rule: elimTB.inducts) auto
}
then show ?thesis using preserves-un-sat-def by auto
qed
```

inductive *no-T-F-symb* :: '*v* propo \Rightarrow bool **where**

no-T-F-symb-comp: $c \neq CF \implies c \neq CT \implies wf\text{-}conn\ c\ l \implies (\forall \varphi \in set\ l. \varphi \neq FT \wedge \varphi \neq FF)$
 $\implies no\text{-}T\text{-}F\text{-}symb\ (conn\ c\ l)$

lemma *wf-conn-no-T-F-symb-iff[simp]*:

```
wf-conn c  $\psi$ s  $\implies$ 
  no-T-F-symb (conn c  $\psi$ s)  $\longleftrightarrow (c \neq CF \wedge c \neq CT \wedge (\forall \psi \in set\ \psi.s. \psi \neq FF \wedge \psi \neq FT))$ 
unfolding no-T-F-symb.simps apply (cases c)
  using wf-conn-list(1) apply fastforce
  using wf-conn-list(2) apply fastforce
  using wf-conn-list(3) apply fastforce
  apply (metis (no-types, hide-lams) conn-inj connective.distinct(5,17))
  using conn-inj apply blast+
done
```

lemma *wf-conn-no-T-F-symb-iff-explicit[simp]*:

```
no-T-F-symb (FAnd  $\varphi \psi$ )  $\longleftrightarrow (\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$ 
no-T-F-symb (FOr  $\varphi \psi$ )  $\longleftrightarrow (\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$ 
no-T-F-symb (FEq  $\varphi \psi$ )  $\longleftrightarrow (\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$ 
no-T-F-symb (FImp  $\varphi \psi$ )  $\longleftrightarrow (\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$ 
apply (metis conn.simps(36) conn.simps(37) conn.simps(5) propo.distinct(19))
  wf-conn-helper-facts(5) wf-conn-no-T-F-symb-iff)
apply (metis conn.simps(36) conn.simps(37) conn.simps(6) propo.distinct(22))
  wf-conn-helper-facts(6) wf-conn-no-T-F-symb-iff)
using wf-conn-no-T-F-symb-iff apply fastforce
by (metis conn.simps(36) conn.simps(37) conn.simps(7) propo.distinct(23) wf-conn-helper-facts(7))
  wf-conn-no-T-F-symb-iff)
```

lemma *no-T-F-symb-false[simp]*:

fixes *c* :: '*v* connective

shows

```
 $\neg no\text{-}T\text{-}F\text{-}symb\ (FT :: 'v\ propo)$ 
 $\neg no\text{-}T\text{-}F\text{-}symb\ (FF :: 'v\ propo)$ 
by (metis (no-types) conn.simps(1,2) wf-conn-no-T-F-symb-iff wf-conn-nullary) +
```

lemma *no-T-F-symb-bool*[simp]:
fixes $x :: 'v$
shows *no-T-F-symb* (FVar x)
using *no-T-F-symb-comp wf-conn-nullary* **by** (*metis connective.distinct*(3, 15) *conn.simps*(3)
empty-iff list.set(1))

lemma *no-T-F-symb-fnot-imp*:
 $\neg \text{no-T-F-symb } (F\text{Not } \varphi) \implies \varphi = FT \vee \varphi = FF$
proof (*rule ccontr*)
assume $n: \neg \text{no-T-F-symb } (F\text{Not } \varphi)$
assume $\neg (\varphi = FT \vee \varphi = FF)$
then have $\forall \varphi' \in \text{set } [\varphi]. \varphi' \neq FT \wedge \varphi' \neq FF$ **by** *auto*
moreover have *wf-conn CNot* $[\varphi]$ **by** *simp*
ultimately have *no-T-F-symb* (FNot φ)
using *no-T-F-symb.intros* **by** (*metis conn.simps*(4) *connective.distinct*(5,17))
then show *False* **using** n **by** *blast*
qed

lemma *no-T-F-symb-fnot*[simp]:
 $\text{no-T-F-symb } (F\text{Not } \varphi) \longleftrightarrow \neg (\varphi = FT \vee \varphi = FF)$
using *no-T-F-symb.simps no-T-F-symb-fnot-imp* **by** (*metis conn-inj-not*(2) *list.set-intros*(1))

Actually it is not possible to remove every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

inductive *no-T-F-symb-except-toplevel* **where**
no-T-F-symb-except-toplevel-true[simp]: *no-T-F-symb-except-toplevel* *FT* |
no-T-F-symb-except-toplevel-false[simp]: *no-T-F-symb-except-toplevel* *FF* |
noTrue-no-T-F-symb-except-toplevel[simp]: *no-T-F-symb* $\varphi \implies \text{no-T-F-symb-except-toplevel } \varphi$

lemma *no-T-F-symb-except-toplevel-bool*:
fixes $x :: 'v$
shows *no-T-F-symb-except-toplevel* (FVar x)
by *simp*

lemma *no-T-F-symb-except-toplevel-not-decom*:
 $\varphi \neq FT \implies \varphi \neq FF \implies \text{no-T-F-symb-except-toplevel } (F\text{Not } \varphi)$
by *simp*

lemma *no-T-F-symb-except-toplevel-bin-decom*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi \neq FT$ **and** $\varphi \neq FF$ **and** $\psi \neq FT$ **and** $\psi \neq FF$
and $c: c \in \text{binary-connectives}$
shows *no-T-F-symb-except-toplevel* (*conn* c $[\varphi, \psi]$)
by (*metis* (*no-types, lifting*) *assms* c *conn.simps*(4) *list.discI noTrue-no-T-F-symb-except-toplevel*
wf-conn-no-T-F-symb-iff no-T-F-symb-fnot set-ConsD wf-conn-binary wf-conn-helper-facts(1)
wf-conn-list-decomp(1,2))

lemma *no-T-F-symb-except-toplevel-if-is-a-true-false*:
fixes $l :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$
assumes *corr: wf-conn* c l
and $FT \in \text{set } l \vee FF \in \text{set } l$
shows $\neg \text{no-T-F-symb-except-toplevel } (\text{conn } c$ $l)$
by (*metis assms empty-iff no-T-F-symb-except-toplevel.simps wf-conn-no-T-F-symb-iff set-empty*)

wf-conn-list(1,2))

lemma *no-T-F-symb-except-top-level-false-example[simp]:*
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$
shows
 $\neg \text{no-T-F-symb-except-toplevel } (FAnd \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FOr \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FImp \ \varphi \ \psi)$
 $\neg \text{no-T-F-symb-except-toplevel } (FEq \ \varphi \ \psi)$
using *assms no-T-F-symb-except-toplevel-if-is-a-true-false unfolding binary-connectives-def*
by (*metis (no-types) conn.simps(5-8) insert-iff list.simps(14-15) wf-conn-helper-facts(5-8)*)+

lemma *no-T-F-symb-except-top-level-false-not[simp]:*
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \varphi = FF$
shows
 $\neg \text{no-T-F-symb-except-toplevel } (FNot \ \varphi)$
by (*simp add: assms no-T-F-symb-except-toplevel.simps*)

This is the local extension of *no-T-F-symb-except-toplevel*.

definition *no-T-F-except-top-level where*
no-T-F-except-top-level \equiv all-subformula-st no-T-F-symb-except-toplevel

This is another property we will use. While this version might seem to be the one we want to prove, it is not since *FT* can not be reduced.

definition *no-T-F where*
no-T-F \equiv all-subformula-st no-T-F-symb

lemma *no-T-F-except-top-level-false:*
fixes $l :: 'v \text{ propo list}$ **and** $c :: 'v \text{ connective}$
assumes *wf-conn c l*
and $FT \in \text{set } l \vee FF \in \text{set } l$
shows $\neg \text{no-T-F-except-top-level } (\text{conn } c \ l)$
by (*simp add: all-subformula-st-decomp assms no-T-F-except-top-level-def no-T-F-symb-except-toplevel-if-is-a-true-false*)

lemma *no-T-F-except-top-level-false-example[simp]:*
fixes $\varphi \psi :: 'v \text{ propo}$
assumes $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$
shows
 $\neg \text{no-T-F-except-top-level } (FAnd \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FOr \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FEq \ \varphi \ \psi)$
 $\neg \text{no-T-F-except-top-level } (FImp \ \varphi \ \psi)$
by (*metis all-subformula-st-test-symb-true-phi assms no-T-F-except-top-level-def no-T-F-symb-except-top-level-false-example*)+

lemma *no-T-F-symb-except-toplevel-no-T-F-symb:*
 $\text{no-T-F-symb-except-toplevel } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-T-F-symb } \varphi$
by (*induct rule: no-T-F-symb-except-toplevel.induct, auto*)

The two following lemmas give the precise link between the two definitions.

lemma *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*:
no-T-F-except-top-level $\varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-T-F } \varphi$
unfolding *no-T-F-except-top-level-def* *no-T-F-def* **apply** (*induct* φ)
using *no-T-F-symb-fnot* **by** *fastforce+*

lemma *no-T-F-no-T-F-except-top-level*:
no-T-F $\varphi \implies \text{no-T-F-except-top-level } \varphi$
unfolding *no-T-F-except-top-level-def* *no-T-F-def*
unfolding *all-subformula-st-def* **by** *auto*

lemma *no-T-F-except-top-level-simp*[*simp*]: *no-T-F-except-top-level* *FF* *no-T-F-except-top-level* *FT*
unfolding *no-T-F-except-top-level-def* **by** *auto*

lemma *no-T-F-no-T-F-except-top-level'*[*simp*]:
no-T-F-except-top-level $\varphi \longleftrightarrow (\varphi = FF \vee \varphi = FT \vee \text{no-T-F } \varphi)$
using *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb* *no-T-F-no-T-F-except-top-level*
by *auto*

lemma *no-T-F-bin-decomp*[*simp*]:
assumes *c*: *c* \in *binary-connectives*
shows *no-T-F* (*conn* *c* [φ , ψ]) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)

proof –

have *wf*: *wf-conn* *c* [φ , ψ] **using** *c* **by** *auto*
then have *no-T-F* (*conn* *c* [φ , ψ]) \longleftrightarrow (*no-T-F-symb* (*conn* *c* [φ , ψ]) $\wedge \text{no-T-F } \varphi \wedge \text{no-T-F } \psi$)
by (*simp* *add*: *all-subformula-st-decomp* *no-T-F-def*)
then show *no-T-F* (*conn* *c* [φ , ψ]) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)
using *c* *wf* *all-subformula-st-decomp* *list.discI* *no-T-F-def* *no-T-F-symb-except-toplevel-bin-decom*
no-T-F-symb-except-toplevel-no-T-F-symb *no-T-F-symb-false*(1,2) *wf-conn-helper-facts*(2,3)
wf-conn-list(1,2) **by** *metis*

qed

lemma *no-T-F-bin-decomp-expanded*[*simp*]:
assumes *c*: *c* = *CAnd* \vee *c* = *COr* \vee *c* = *CEq* \vee *c* = *CImp*
shows *no-T-F* (*conn* *c* [φ , ψ]) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)
using *no-T-F-bin-decomp* *assms* **unfolding** *binary-connectives-def* **by** *blast*

lemma *no-T-F-comp-expanded-explicit*[*simp*]:
fixes $\varphi \psi :: 'v \text{ propo}$
shows
no-T-F (*FAnd* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)
no-T-F (*FOr* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)
no-T-F (*FEq* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)
no-T-F (*FImp* $\varphi \psi$) \longleftrightarrow (*no-T-F* $\varphi \wedge \text{no-T-F } \psi$)
using *assms* *conn.simps*(5–8) *no-T-F-bin-decomp-expanded* **by** (*metis* (*no-types*))+

lemma *no-T-F-comp-not*[*simp*]:
fixes $\varphi \psi :: 'v \text{ propo}$
shows *no-T-F* (*FNot* φ) $\longleftrightarrow \text{no-T-F } \varphi$
by (*metis* *all-subformula-st-decomp-explicit*(3) *all-subformula-st-test-symb-true-phi* *no-T-F-def*
no-T-F-symb-false(1,2) *no-T-F-symb-fnot-imp*)

lemma *no-T-F-decomp*:
fixes $\varphi \psi :: 'v \text{ propo}$
assumes φ : *no-T-F* (*FAnd* $\varphi \psi$) $\vee \text{no-T-F}$ (*FOr* $\varphi \psi$) $\vee \text{no-T-F}$ (*FEq* $\varphi \psi$) $\vee \text{no-T-F}$ (*FImp* $\varphi \psi$)
shows *no-T-F* ψ **and** *no-T-F* φ

```

using assms by auto

lemma no-T-F-decomp-not:
  fixes  $\varphi :: 'v \text{ propo}$ 
  assumes  $\varphi: \text{no-T-F } (F\text{Not } \varphi)$ 
  shows  $\text{no-T-F } \varphi$ 
  using assms by auto

lemma no-T-F-symb-except-toplevel-step-exists:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes  $\text{no-equiv } \varphi$  and  $\text{no-imp } \varphi$ 
  shows  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \psi'$ 
proof (induct  $\psi$  rule: propo-induct-arity)
  case (nullary  $\varphi' x$ )
  then have False using no-T-F-symb-except-toplevel-true no-T-F-symb-except-toplevel-false by auto
  then show ?case by blast
next
  case (unary  $\psi$ )
  then have  $\psi = FF \vee \psi = FT$  using no-T-F-symb-except-toplevel-not-decom by blast
  then show ?case using ElimTB5 ElimTB6 by blast
next
  case (binary  $\varphi' \psi1 \psi2$ )
  note IH1 = this(1) and IH2 = this(2) and  $\varphi' = \text{this}(3)$  and  $F\varphi = \text{this}(4)$  and  $n = \text{this}(5)$ 
  {
    assume  $\varphi' = F\text{Imp } \psi1 \psi2 \vee \varphi' = F\text{Eq } \psi1 \psi2$ 
    then have False using  $n F\varphi$  subformula-all-subformula-st assms
      by (metis (no-types) no-equiv-eq(1) no-equiv-def no-imp-Imp(1) no-imp-def)
    then have ?case by blast
  }
  moreover {
    assume  $\varphi': \varphi' = F\text{And } \psi1 \psi2 \vee \varphi' = F\text{Or } \psi1 \psi2$ 
    then have  $\psi1 = FT \vee \psi2 = FT \vee \psi1 = FF \vee \psi2 = FF$ 
      using no-T-F-symb-except-toplevel-bin-decom conn.simps(5,6)  $n$  unfolding binary-connectives-def
      by fastforce+
    then have ?case using elimTB.intros  $\varphi'$  by blast
  }
  ultimately show ?case using  $\varphi'$  by blast
qed

lemma no-T-F-except-top-level-rew:
  fixes  $\varphi :: 'v \text{ propo}$ 
  assumes  $\text{noTB}: \neg \text{no-T-F-except-top-level } \varphi$  and  $\text{no-equiv}: \text{no-equiv } \varphi$  and  $\text{no-imp}: \text{no-imp } \varphi$ 
  shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTB } \psi \psi'$ 
proof -
  have test-symb-false-nullary:  $\forall x. \text{no-T-F-symb-except-toplevel } (FF:: 'v \text{ propo})$ 
     $\wedge \text{no-T-F-symb-except-toplevel } FT \wedge \text{no-T-F-symb-except-toplevel } (F\text{Var } (x:: 'v))$  by auto
  moreover {
    fix  $c:: 'v \text{ connective}$  and  $l:: 'v \text{ propo list}$  and  $\psi:: 'v \text{ propo}$ 
    have  $H: \text{elimTB } (\text{conn } c l) \psi \implies \neg \text{no-T-F-symb-except-toplevel } (\text{conn } c l)$ 
      by (cases (conn  $c l$ ) rule: elimTB.cases, auto)
  }
  moreover {
    fix  $x:: 'v$ 
    have  $H': \text{no-T-F-except-top-level } FT \text{ no-T-F-except-top-level } FF$ 
       $\text{no-T-F-except-top-level } (F\text{Var } x)$ 
  }

```

```

    by (auto simp: no-T-F-except-top-level-def test-symb-false-nullary)
  }
  moreover {
    fix  $\psi$ 
    have  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \psi'$ 
      using no-T-F-symb-except-toplevel-step-exists no-equiv no-imp by auto
  }
  ultimately show ?thesis
    using no-test-symb-step-exists noTB unfolding no-T-F-except-top-level-def by blast
qed

```

lemma *elimTB-inv*:

```

  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elimTB)  $\varphi \psi$ 
  and no-equiv  $\varphi$  and no-imp  $\varphi$ 
  shows no-equiv  $\psi$  and no-imp  $\psi$ 
proof -
  {
    fix  $\varphi \psi :: 'v \text{ propo}$ 
    have  $H: \text{elimTB } \varphi \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$ 
      by (induct  $\varphi \psi$  rule: elimTB.induct, auto)
  }
  then show no-equiv  $\psi$ 
    using full-propo-rew-step-inv-stay-conn[of elimTB no-equiv-symb  $\varphi \psi$ ]
      no-equiv-symb-conn-characterization assms unfolding no-equiv-def by metis

```

next

```

  {
    fix  $\varphi \psi :: 'v \text{ propo}$ 
    have  $H: \text{elimTB } \varphi \psi \implies \text{no-imp } \varphi \implies \text{no-imp } \psi$ 
      by (induct  $\varphi \psi$  rule: elimTB.induct, auto)
  }
  then show no-imp  $\psi$ 
    using full-propo-rew-step-inv-stay-conn[of elimTB no-imp-symb  $\varphi \psi$ ] assms
      no-imp-symb-conn-characterization unfolding no-imp-def by metis
qed

```

lemma *elimTB-full-propo-rew-step*:

```

  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes no-equiv  $\varphi$  and no-imp  $\varphi$  and full (propo-rew-step elimTB)  $\varphi \psi$ 
  shows no-T-F-except-top-level  $\psi$ 
  using full-propo-rew-step-subformula no-T-F-except-top-level-rew assms elimTB-inv by fastforce

```

8.4 PushNeg

Push the negation inside the formula, until the literal.

inductive *pushNeg* **where**

```

  PushNeg1[simp]: pushNeg (FNot (FAnd  $\varphi \psi$ )) (FOr (FNot  $\varphi$ ) (FNot  $\psi$ )) |
  PushNeg2[simp]: pushNeg (FNot (FOr  $\varphi \psi$ )) (FAnd (FNot  $\varphi$ ) (FNot  $\psi$ )) |
  PushNeg3[simp]: pushNeg (FNot (FNot  $\varphi$ ))  $\varphi$ 

```

lemma *pushNeg-transformation-consistent*:

```

 $A \models \text{FNot } (FAnd \varphi \psi) \iff A \models (FOr (FNot \varphi) (FNot \psi))$ 
 $A \models \text{FNot } (FOr \varphi \psi) \iff A \models (FAnd (FNot \varphi) (FNot \psi))$ 
 $A \models \text{FNot } (FNot \varphi) \iff A \models \varphi$ 

```

by *auto*

lemma *pushNeg-explicit*: $\text{pushNeg } \varphi \ \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$
by (*induct* $\varphi \ \psi$ *rule*: *pushNeg.induct*, *auto*)

lemma *pushNeg-consistent*: *preserves-un-sat pushNeg*
unfolding *preserves-un-sat-def* **by** (*simp add*: *pushNeg-explicit*)

lemma *pushNeg-lifted-consistant*:
preserves-un-sat (full (propo-rew-step pushNeg))
by (*simp add*: *pushNeg-consistent*)

fun *simple* **where**
simple FT = *True* |
simple FF = *True* |
simple (FVar -) = *True* |
simple - = *False*

lemma *simple-decomp*:
simple $\varphi \longleftrightarrow (\varphi = \text{FT} \vee \varphi = \text{FF} \vee (\exists x. \varphi = \text{FVar } x))$
by (*cases* φ) *auto*

lemma *subformula-conn-decomp-simple*:
fixes $\varphi \ \psi :: 'v \text{ propo}$
assumes *s*: *simple* ψ
shows $\varphi \preceq \text{FNot } \psi \longleftrightarrow (\varphi = \text{FNot } \psi \vee \varphi = \psi)$
proof –
have $\varphi \preceq \text{conn } \text{CNot } [\psi] \longleftrightarrow (\varphi = \text{conn } \text{CNot } [\psi] \vee (\exists \psi \in \text{set } [\psi]. \varphi \preceq \psi))$
using *subformula-conn-decomp wf-conn-helper-facts(1)* **by** *metis*
then show $\varphi \preceq \text{FNot } \psi \longleftrightarrow (\varphi = \text{FNot } \psi \vee \varphi = \psi)$ **using** *s* **by** (*auto simp*: *simple-decomp*)
qed

lemma *subformula-conn-decomp-explicit[simp]*:
fixes $\varphi :: 'v \text{ propo}$ **and** $x :: 'v$
shows
 $\varphi \preceq \text{FNot } \text{FT} \longleftrightarrow (\varphi = \text{FNot } \text{FT} \vee \varphi = \text{FT})$
 $\varphi \preceq \text{FNot } \text{FF} \longleftrightarrow (\varphi = \text{FNot } \text{FF} \vee \varphi = \text{FF})$
 $\varphi \preceq \text{FNot } (\text{FVar } x) \longleftrightarrow (\varphi = \text{FNot } (\text{FVar } x) \vee \varphi = \text{FVar } x)$
by (*auto simp*: *subformula-conn-decomp-simple*)

fun *simple-not-symb* **where**
simple-not-symb (FNot φ) = (*simple* φ) |
simple-not-symb - = *True*

definition *simple-not* **where**
simple-not = *all-subformula-st simple-not-symb*
declare *simple-not-def[simp]*

lemma *simple-not-Not[simp]*:
 $\neg \text{simple-not } (\text{FNot } (\text{FAnd } \varphi \ \psi))$
 $\neg \text{simple-not } (\text{FNot } (\text{FOr } \varphi \ \psi))$
by *auto*

lemma *simple-not-step-exists*:

fixes $\varphi \ \psi :: 'v \text{ propo}$
assumes *no-equiv* φ **and** *no-imp* φ
shows $\psi \preceq \varphi \implies \neg \text{simple-not-symb } \psi \implies \exists \psi'. \text{pushNeg } \psi \ \psi'$
apply (*induct* ψ , *auto*)
apply (*rename-tac* ψ , *case-tac* ψ , *auto intro: pushNeg.intros*)
by (*metis* *assms*(1,2) *no-imp-Imp*(1) *no-equiv-eq*(1) *no-imp-def* *no-equiv-def*
subformula-in-subformula-not *subformula-all-subformula-st*)**+**

lemma *simple-not-rew*:

fixes $\varphi :: 'v \text{ propo}$
assumes *noTB*: $\neg \text{simple-not } \varphi$ **and** *no-equiv*: *no-equiv* φ **and** *no-imp*: *no-imp* φ
shows $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{pushNeg } \psi \ \psi'$

proof $-$

have $\forall x. \text{simple-not-symb } (FF :: 'v \text{ propo}) \wedge \text{simple-not-symb } FT \wedge \text{simple-not-symb } (FVar \ (x :: 'v))$
by *auto*
moreover {
fix $c :: 'v \text{ connective}$ **and** $l :: 'v \text{ propo list}$ **and** $\psi :: 'v \text{ propo}$
have $H: \text{pushNeg } (\text{conn } c \ l) \ \psi \implies \neg \text{simple-not-symb } (\text{conn } c \ l)$
by (*cases* (*conn* $c \ l$) *rule: pushNeg.cases*) *auto*
}
moreover {
fix $x :: 'v$
have $H': \text{simple-not } FT \ \text{simple-not } FF \ \text{simple-not } (FVar \ x)$
by *simp-all*
}
moreover {
fix $\psi :: 'v \text{ propo}$
have $\psi \preceq \varphi \implies \neg \text{simple-not-symb } \psi \implies \exists \psi'. \text{pushNeg } \psi \ \psi'$
using *simple-not-step-exists* *no-equiv* *no-imp* **by** *blast*
}
ultimately show *?thesis* **using** *no-test-symb-step-exists* *noTB* **unfolding** *simple-not-def* **by** *blast*
qed

lemma *no-T-F-except-top-level-pushNeg1*:

no-T-F-except-top-level (*FNot* (*FAnd* $\varphi \ \psi$)) \implies *no-T-F-except-top-level* (*FOr* (*FNot* φ) (*FNot* ψ))
using *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb* *no-T-F-comp-not* *no-T-F-decomp*(1)
no-T-F-decomp(2) *no-T-F-no-T-F-except-top-level* **by** (*metis* *no-T-F-comp-expanded-explicit*(2)
propo.distinct(5,17))

lemma *no-T-F-except-top-level-pushNeg2*:

no-T-F-except-top-level (*FNot* (*FOr* $\varphi \ \psi$)) \implies *no-T-F-except-top-level* (*FAnd* (*FNot* φ) (*FNot* ψ))
by *auto*

lemma *no-T-F-symb-pushNeg*:

no-T-F-symb (*FOr* (*FNot* φ') (*FNot* ψ'))
no-T-F-symb (*FAnd* (*FNot* φ') (*FNot* ψ'))
no-T-F-symb (*FNot* (*FNot* φ'))
by *auto*

lemma *propo-rew-step-pushNeg-no-T-F-symb*:

propo-rew-step *pushNeg* $\varphi \ \psi \implies$ *no-T-F-except-top-level* $\varphi \implies$ *no-T-F-symb* $\varphi \implies$ *no-T-F-symb* ψ
apply (*induct* *rule: propo-rew-step.induct*)
apply (*cases* *rule: pushNeg.cases*)


```

apply simp-all
apply (metis no-T-F-symb-pushNeg(1))
apply (metis no-T-F-symb-pushNeg(2))
apply (simp, metis all-subformula-st-test-symb-true-phi no-T-F-def)
proof –
  fix  $\varphi \varphi'$ : 'a propo and  $c$ :: 'a connective and  $\xi \xi'$ :: 'a propo list
  assume rel: propo-rew-step pushNeg  $\varphi \varphi'$ 
  and IH: no-T-F  $\varphi \implies$  no-T-F-symb  $\varphi \implies$  no-T-F-symb  $\varphi'$ 
  and wf: wf-conn  $c (\xi @ \varphi \# \xi')$ 
  and  $n$ : conn  $c (\xi @ \varphi \# \xi') = FF \vee$  conn  $c (\xi @ \varphi \# \xi') = FT \vee$  no-T-F (conn  $c (\xi @ \varphi \# \xi')$ )
  and  $x$ :  $c \neq CF \wedge c \neq CT \wedge \varphi \neq FF \wedge \varphi \neq FT \wedge (\forall \psi \in \text{set } \xi \cup \text{set } \xi'. \psi \neq FF \wedge \psi \neq FT)$ 
  then have  $c \neq CF \wedge c \neq CT \wedge$  wf-conn  $c (\xi @ \varphi' \# \xi')$ 
    using wf-conn-no-arity-change-helper wf-conn-no-arity-change by metis
  moreover have  $n'$ : no-T-F (conn  $c (\xi @ \varphi \# \xi')$ ) using  $n$  by (simp add: wf wf-conn-list(1,2))
  moreover
  {
    have no-T-F  $\varphi$ 
      by (metis Un-iff all-subformula-st-decomp list.set-intros(1)  $n'$  wf no-T-F-def set-append)
    moreover then have no-T-F-symb  $\varphi$ 
      by (simp add: all-subformula-st-test-symb-true-phi no-T-F-def)
    ultimately have  $\varphi' \neq FF \wedge \varphi' \neq FT$ 
      using IH no-T-F-symb-false(1) no-T-F-symb-false(2) by blast
    then have  $\forall \psi \in \text{set } (\xi @ \varphi' \# \xi'). \psi \neq FF \wedge \psi \neq FT$  using  $x$  by auto
  }
  ultimately show no-T-F-symb (conn  $c (\xi @ \varphi' \# \xi')$ ) by (simp add:  $x$ )
qed

```

lemma *propo-rew-step-pushNeg-no-T-F*:

propo-rew-step pushNeg $\varphi \psi \implies$ *no-T-F* $\varphi \implies$ *no-T-F* ψ

proof (*induct rule*: *propo-rew-step.induct*)

case *global-rel*

then show ?*case*

by (*metis (no-types, lifting) no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb no-T-F-def no-T-F-except-top-level-pushNeg1 no-T-F-except-top-level-pushNeg2 no-T-F-no-T-F-except-top-level all-subformula-st-decomp-explicit(3) pushNeg.simps simple.simps(1,2,5,6)*)

next

case (*propo-rew-one-step-lift* $\varphi \varphi' c \xi \xi'$)

note *rel* = *this(1)* **and** *IH* = *this(2)* **and** *wf* = *this(3)* **and** *no-T-F* = *this(4)*

moreover have *wf'*: *wf-conn* $c (\xi @ \varphi' \# \xi')$

using *wf-conn-no-arity-change wf-conn-no-arity-change-helper wf* **by** *metis*

ultimately show *no-T-F* (*conn* $c (\xi @ \varphi' \# \xi')$)

using *all-subformula-st-test-symb-true-phi*

by (*fastforce simp*: *no-T-F-def all-subformula-st-decomp wf wf'*)

qed

lemma *pushNeg-inv*:

fixes $\varphi \psi$:: 'v *propo*

assumes *full* (*propo-rew-step pushNeg*) $\varphi \psi$

and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ

shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ

proof –

{
fix $\varphi \psi$:: 'v *propo*

```

assume rel: propo-rew-step pushNeg  $\varphi$   $\psi$ 
and no: no-T-F-except-top-level  $\varphi$ 
then have no-T-F-except-top-level  $\psi$ 
proof -
{
  assume  $\varphi = FT \vee \varphi = FF$ 
  from rel this have False
  apply (induct rule: propo-rew-step.induct)
  using pushNeg.cases apply blast
  using wf-conn-list(1) wf-conn-list(2) by auto
  then have no-T-F-except-top-level  $\psi$  by blast
}
moreover {
  assume  $\varphi \neq FT \wedge \varphi \neq FF$ 
  then have no-T-F  $\varphi$ 
  by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
  then have no-T-F  $\psi$ 
  using propo-rew-step-pushNeg-no-T-F rel by auto
  then have no-T-F-except-top-level  $\psi$  by (simp add: no-T-F-no-T-F-except-top-level)
}
ultimately show no-T-F-except-top-level  $\psi$  by metis
qed
}
moreover {
  fix c :: 'v connective and  $\xi \xi' :: 'v$  propo list and  $\zeta \zeta' :: 'v$  propo
  assume rel: propo-rew-step pushNeg  $\zeta \zeta'$ 
  and incl:  $\zeta \preceq \varphi$ 
  and corr: wf-conn c ( $\xi @ \zeta \# \xi'$ )
  and no-T-F: no-T-F-symb-except-toplevel (conn c ( $\xi @ \zeta \# \xi'$ ))
  and n: no-T-F-symb-except-toplevel  $\zeta'$ 
  have no-T-F-symb-except-toplevel (conn c ( $\xi @ \zeta' \# \xi'$ ))
  proof
    have p: no-T-F-symb (conn c ( $\xi @ \zeta \# \xi'$ ))
    using corr wf-conn-list(1) wf-conn-list(2) no-T-F-symb-except-toplevel-no-T-F-symb no-T-F
    by blast
    have l:  $\forall \varphi \in \text{set } (\xi @ \zeta \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$ 
    using corr wf-conn-no-T-F-symb-iff p by blast
    from rel incl have  $\zeta' \neq FT \wedge \zeta' \neq FF$ 
    apply (induction  $\zeta \zeta'$  rule: propo-rew-step.induct)
    apply (cases rule: pushNeg.cases, auto)
    by (metis assms(4) no-T-F-symb-except-top-level-false-not no-T-F-except-top-level-def
        all-subformula-st-test-symb-true-phi subformula-in-subformula-not
        subformula-all-subformula-st append-is-Nil-conv list.distinct(1)
        wf-conn-no-arity-change-helper wf-conn-list(1,2) wf-conn-no-arity-change)+
    then have  $\forall \varphi \in \text{set } (\xi @ \zeta' \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$  using l by auto
    moreover have  $c \neq CT \wedge c \neq CF$  using corr by auto
    ultimately show no-T-F-symb (conn c ( $\xi @ \zeta' \# \xi'$ ))
    by (metis corr no-T-F-symb-comp wf-conn-no-arity-change wf-conn-no-arity-change-helper)
  qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
using full-propo-rew-step-inv-stay-with-inc[of pushNeg no-T-F-symb-except-toplevel  $\varphi$ ] assms
subformula-refl unfolding no-T-F-except-top-level-def full-unfold by metis
next
{

```

```

fix  $\varphi \psi :: 'v \text{ propo}$ 
have  $H: \text{pushNeg } \varphi \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$ 
  by (induct  $\varphi \psi$  rule:  $\text{pushNeg.induct}$ ,  $\text{auto}$ )
}
then show  $\text{no-equiv } \psi$ 
  using  $\text{full-propo-rew-step-inv-stay-conn[of pushNeg no-equiv-symb } \varphi \psi]$ 
   $\text{no-equiv-symb-conn-characterization assms unfolding no-equiv-def full-unfold by metis}$ 
next
{
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have  $H: \text{pushNeg } \varphi \psi \implies \text{no-imp } \varphi \implies \text{no-imp } \psi$ 
    by (induct  $\varphi \psi$  rule:  $\text{pushNeg.induct}$ ,  $\text{auto}$ )
  }
  then show  $\text{no-imp } \psi$ 
    using  $\text{full-propo-rew-step-inv-stay-conn[of pushNeg no-imp-symb } \varphi \psi]$   $\text{assms}$ 
     $\text{no-imp-symb-conn-characterization unfolding no-imp-def full-unfold by metis}$ 
qed

```

lemma $\text{pushNeg-full-propo-rew-step}$:

```

fixes  $\varphi \psi :: 'v \text{ propo}$ 
assumes
   $\text{no-equiv } \varphi$  and
   $\text{no-imp } \varphi$  and
   $\text{full (propo-rew-step pushNeg) } \varphi \psi$  and
   $\text{no-T-F-except-top-level } \varphi$ 
shows  $\text{simple-not } \psi$ 
using  $\text{assms full-propo-rew-step-subformula pushNeg-inv(1,2) simple-not-rew by blast}$ 

```

8.5 Push inside

inductive $\text{push-conn-inside} :: 'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$

for $c c' :: 'v \text{ connective}$ **where**

```

 $\text{push-conn-inside-l[simp]: } c = \text{CAnd} \vee c = \text{COr} \implies c' = \text{CAnd} \vee c' = \text{COr}$ 
 $\implies \text{push-conn-inside } c c' (\text{conn } c [\text{conn } c' [\varphi 1, \varphi 2], \psi])$ 
 $(\text{conn } c' [\text{conn } c [\varphi 1, \psi], \text{conn } c [\varphi 2, \psi]]) \mid$ 
 $\text{push-conn-inside-r[simp]: } c = \text{CAnd} \vee c = \text{COr} \implies c' = \text{CAnd} \vee c' = \text{COr}$ 
 $\implies \text{push-conn-inside } c c' (\text{conn } c [\psi, \text{conn } c' [\varphi 1, \varphi 2]])$ 
 $(\text{conn } c' [\text{conn } c [\psi, \varphi 1], \text{conn } c [\psi, \varphi 2]])$ 

```

lemma $\text{push-conn-inside-explicit}$: $\text{push-conn-inside } c c' \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$

by (induct $\varphi \psi$ rule: $\text{push-conn-inside.induct}$, auto)

lemma $\text{push-conn-inside-consistent}$: $\text{preserves-un-sat (push-conn-inside } c c')$

unfolding $\text{preserves-un-sat-def by (simp add: push-conn-inside-explicit)}$

lemma $\text{propo-rew-step-push-conn-inside[simp]}$:

```

 $\neg \text{propo-rew-step (push-conn-inside } c c') \text{ FT } \psi \neg \text{propo-rew-step (push-conn-inside } c c') \text{ FF } \psi$ 
proof –
{
  {
    fix  $\varphi \psi$ 
    have  $\text{push-conn-inside } c c' \varphi \psi \implies \varphi = \text{FT} \vee \varphi = \text{FF} \implies \text{False}$ 
      by (induct rule:  $\text{push-conn-inside.induct}$ ,  $\text{auto}$ )
    } note  $H = \text{this}$ 
  }
}

```

```

fix  $\varphi$ 
have propo-rew-step (push-conn-inside  $c\ c'$ )  $\varphi\ \psi \implies \varphi = FT \vee \varphi = FF \implies \text{False}$ 
  apply (induct rule: propo-rew-step.induct, auto simp: wf-conn-list(1) wf-conn-list(2))
  using  $H$  by blast+
}
then show
   $\neg \text{propo-rew-step} (\text{push-conn-inside } c\ c')\ FT\ \psi$ 
   $\neg \text{propo-rew-step} (\text{push-conn-inside } c\ c')\ FF\ \psi$  by blast+
qed

```

inductive *not-c-in-c'-symb*:: '*v* *connective* \Rightarrow '*v* *connective* \Rightarrow '*v* *propo* \Rightarrow *bool* **for** $c\ c'$ **where**
not-c-in-c'-symb-l[*simp*]: *wf-conn* $c\ [\text{conn } c'\ [\varphi, \varphi'], \psi] \implies \text{wf-conn } c'\ [\varphi, \varphi']$
 $\implies \text{not-c-in-c'-symb } c\ c'\ (\text{conn } c\ [\text{conn } c'\ [\varphi, \varphi'], \psi]) \mid$
not-c-in-c'-symb-r[*simp*]: *wf-conn* $c\ [\psi, \text{conn } c'\ [\varphi, \varphi']] \implies \text{wf-conn } c'\ [\varphi, \varphi']$
 $\implies \text{not-c-in-c'-symb } c\ c'\ (\text{conn } c\ [\psi, \text{conn } c'\ [\varphi, \varphi']])$

abbreviation *c-in-c'-symb* $c\ c'\ \varphi \equiv \neg \text{not-c-in-c'-symb } c\ c'\ \varphi$

lemma *c-in-c'-symb-simp*:
 $\text{not-c-in-c'-symb } c\ c'\ \xi \implies \xi = FF \vee \xi = FT \vee \xi = FVar\ x \vee \xi = FNot\ FF \vee \xi = FNot\ FT$
 $\vee \xi = FNot\ (FVar\ x) \implies \text{False}$
apply (*induct rule*: *not-c-in-c'-symb.induct*, *auto simp*: *wf-conn.simps* *wf-conn-list*(1-3))
using *conn-inj-not*(2) *wf-conn-binary* **unfolding** *binary-connectives-def* **by** *fastforce+*

lemma *c-in-c'-symb-simp'*[*simp*]:
 $\neg \text{not-c-in-c'-symb } c\ c'\ FF$
 $\neg \text{not-c-in-c'-symb } c\ c'\ FT$
 $\neg \text{not-c-in-c'-symb } c\ c'\ (FVar\ x)$
 $\neg \text{not-c-in-c'-symb } c\ c'\ (FNot\ FF)$
 $\neg \text{not-c-in-c'-symb } c\ c'\ (FNot\ FT)$
 $\neg \text{not-c-in-c'-symb } c\ c'\ (FNot\ (FVar\ x))$
using *c-in-c'-symb-simp* **by** *metis+*

definition *c-in-c'-only* **where**
c-in-c'-only $c\ c' \equiv \text{all-subformula-st } (\text{c-in-c'-symb } c\ c')$

lemma *c-in-c'-only-simp*[*simp*]:
 $\text{c-in-c'-only } c\ c'\ FF$
 $\text{c-in-c'-only } c\ c'\ FT$
 $\text{c-in-c'-only } c\ c'\ (FVar\ x)$
 $\text{c-in-c'-only } c\ c'\ (FNot\ FF)$
 $\text{c-in-c'-only } c\ c'\ (FNot\ FT)$
 $\text{c-in-c'-only } c\ c'\ (FNot\ (FVar\ x))$
unfolding *c-in-c'-only-def* **by** *auto*

lemma *not-c-in-c'-symb-commute*:
 $\text{not-c-in-c'-symb } c\ c'\ \xi \implies \text{wf-conn } c\ [\varphi, \psi] \implies \xi = \text{conn } c\ [\varphi, \psi]$
 $\implies \text{not-c-in-c'-symb } c\ c'\ (\text{conn } c\ [\psi, \varphi])$
proof (*induct rule*: *not-c-in-c'-symb.induct*)
case (*not-c-in-c'-symb-r* $\varphi'\ \varphi''\ \psi'$) **note** $H = \text{this}$
then have $\psi: \psi = \text{conn } c'\ [\varphi'', \psi']$ **using** *conn-inj* **by** *auto*
have $\text{wf-conn } c\ [\text{conn } c'\ [\varphi'', \psi'], \varphi]$

```

    using H(1) wf-conn-no-arity-change length-Cons by metis
  then show not-c-in-c'-symb c c' (conn c [\psi, \varphi])
    unfolding \psi using not-c-in-c'-symb.intros(1) H by auto
next
case (not-c-in-c'-symb-l \varphi' \varphi'' \psi') note H = this
then have \varphi = conn c' [\varphi', \varphi''] using conn-inj by auto
moreover have wf-conn c [\psi', conn c' [\varphi', \varphi'']]
  using H(1) wf-conn-no-arity-change length-Cons by metis
ultimately show not-c-in-c'-symb c c' (conn c [\psi, \varphi])
  using not-c-in-c'-symb.intros(2) conn-inj not-c-in-c'-symb-l.hyps
  not-c-in-c'-symb-l.premis(1,2) by blast
qed

```

```

lemma not-c-in-c'-symb-commute':
  wf-conn c [\varphi, \psi] \implies c-in-c'-symb c c' (conn c [\varphi, \psi]) \longleftrightarrow c-in-c'-symb c c' (conn c [\psi, \varphi])
  using not-c-in-c'-symb-commute wf-conn-no-arity-change by (metis length-Cons)

```

```

lemma not-c-in-c'-comm:
  assumes wf: wf-conn c [\varphi, \psi]
  shows c-in-c'-only c c' (conn c [\varphi, \psi]) \longleftrightarrow c-in-c'-only c c' (conn c [\psi, \varphi]) (is ?A \longleftrightarrow ?B)
proof -
  have ?A \longleftrightarrow (c-in-c'-symb c c' (conn c [\varphi, \psi])
    \wedge (\forall \xi \in set [\varphi, \psi]. all-subformula-st (c-in-c'-symb c c') \xi))
    using all-subformula-st-decomp wf unfolding c-in-c'-only-def by fastforce
  also have ... \longleftrightarrow (c-in-c'-symb c c' (conn c [\psi, \varphi])
    \wedge (\forall \xi \in set [\psi, \varphi]. all-subformula-st (c-in-c'-symb c c') \xi))
    using not-c-in-c'-symb-commute' wf by auto
  also
  have wf-conn c [\psi, \varphi] using wf-conn-no-arity-change wf by (metis length-Cons)
  then have (c-in-c'-symb c c' (conn c [\psi, \varphi])
    \wedge (\forall \xi \in set [\psi, \varphi]. all-subformula-st (c-in-c'-symb c c') \xi))
    \longleftrightarrow ?B
    using all-subformula-st-decomp unfolding c-in-c'-only-def by fastforce
  finally show ?thesis .
qed

```

```

lemma not-c-in-c'-simp[simp]:
  fixes \varphi1 \varphi2 \psi :: 'v propo and x :: 'v
  shows
    c-in-c'-symb c c' FT
    c-in-c'-symb c c' FF
    c-in-c'-symb c c' (FVar x)
    wf-conn c [conn c' [\varphi1, \varphi2], \psi] \implies wf-conn c' [\varphi1, \varphi2]
    \implies \neg c-in-c'-only c c' (conn c [conn c' [\varphi1, \varphi2], \psi])
  apply (simp-all add: c-in-c'-only-def)
  using all-subformula-st-test-symb-true-phi not-c-in-c'-symb-l by blast

```

```

lemma c-in-c'-symb-not[simp]:
  fixes c c' :: 'v connective and \psi :: 'v propo
  shows c-in-c'-symb c c' (FNot \psi)
proof -
  {
    fix \xi :: 'v propo
    have not-c-in-c'-symb c c' (FNot \psi) \implies False
      apply (induct FNot \psi rule: not-c-in-c'-symb.induct)

```

```

    using conn-inj-not(2) by blast+
  }
  then show ?thesis by auto
qed

```

lemma *c-in-c'-symb-step-exists*:

```

  fixes  $\varphi :: 'v \text{ propo}$ 
  assumes  $c: c = CAnd \vee c = COr$  and  $c': c' = CAnd \vee c' = COr$ 
  shows  $\psi \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \ c' \ \psi \implies \exists \psi'. \text{push-conn-inside } c \ c' \ \psi \ \psi'$ 
  apply (induct  $\psi$  rule: propo-induct-arity)
  apply auto[2]
proof -
  fix  $\psi1 \ \psi2 \ \varphi' :: 'v \text{ propo}$ 
  assume IH $\psi1$ :  $\psi1 \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \ c' \ \psi1 \implies \text{Ex } (\text{push-conn-inside } c \ c' \ \psi1)$ 
  and IH $\psi2$ :  $\psi2 \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \ c' \ \psi2 \implies \text{Ex } (\text{push-conn-inside } c \ c' \ \psi2)$ 
  and  $\varphi': \varphi' = FAnd \ \psi1 \ \psi2 \vee \varphi' = FOr \ \psi1 \ \psi2 \vee \varphi' = FImp \ \psi1 \ \psi2 \vee \varphi' = FEq \ \psi1 \ \psi2$ 
  and in $\varphi$ :  $\varphi' \preceq \varphi$  and  $n0: \neg c\text{-in-}c'\text{-symb } c \ c' \ \varphi'$ 
  then have  $n: \text{not-}c\text{-in-}c'\text{-symb } c \ c' \ \varphi'$  by auto
  {
    assume  $\varphi': \varphi' = \text{conn } c \ [\psi1, \psi2]$ 
    obtain  $a \ b$  where  $\psi1 = \text{conn } c' \ [a, b] \vee \psi2 = \text{conn } c' \ [a, b]$ 
    using  $n \ \varphi'$  apply (induct rule: not-c-in-c'-symb.induct)
    using  $c$  by force+
    then have  $\text{Ex } (\text{push-conn-inside } c \ c' \ \varphi')$ 
    unfolding  $\varphi'$  apply auto
    using push-conn-inside.intros(1)  $c \ c'$  apply blast
    using push-conn-inside.intros(2)  $c \ c'$  by blast
  }
  moreover {
    assume  $\varphi': \varphi' \neq \text{conn } c \ [\psi1, \psi2]$ 
    have  $\forall \varphi \ c \ ca. \exists \varphi1 \ \psi1 \ \psi2 \ \psi1' \ \psi2' \ \varphi2'. \text{conn } (c::'v \text{ connective}) \ [\varphi1, \text{conn } ca \ [\psi1, \psi2]] = \varphi$ 
       $\vee \text{conn } c \ [\text{conn } ca \ [\psi1', \psi2'], \varphi2'] = \varphi \vee c\text{-in-}c'\text{-symb } c \ ca \ \varphi$ 
    by (metis not-c-in-c'-symb.cases)
    then have  $\text{Ex } (\text{push-conn-inside } c \ c' \ \varphi')$ 
    by (metis (no-types)  $c \ c' \ n$  push-conn-inside-l push-conn-inside-r)
  }
  ultimately show  $\text{Ex } (\text{push-conn-inside } c \ c' \ \varphi')$  by blast
qed

```

lemma *c-in-c'-symb-rew*:

```

  fixes  $\varphi :: 'v \text{ propo}$ 
  assumes noTB:  $\neg c\text{-in-}c'\text{-only } c \ c' \ \varphi$ 
  and  $c: c = CAnd \vee c = COr$  and  $c': c' = CAnd \vee c' = COr$ 
  shows  $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{push-conn-inside } c \ c' \ \psi \ \psi'$ 
proof -
  have test-symb-false-nullary:
     $\forall x. c\text{-in-}c'\text{-symb } c \ c' \ (FF::'v \text{ propo}) \wedge c\text{-in-}c'\text{-symb } c \ c' \ FT$ 
     $\wedge c\text{-in-}c'\text{-symb } c \ c' \ (FVar \ (x::'v))$ 
  by auto
  moreover {
    fix  $x :: 'v$ 
    have  $H': c\text{-in-}c'\text{-symb } c \ c' \ FT \ c\text{-in-}c'\text{-symb } c \ c' \ FF \ c\text{-in-}c'\text{-symb } c \ c' \ (FVar \ x)$ 
    by simp+
  }

```

```

moreover {
  fix  $\psi :: 'v \text{ propo}$ 
  have  $\psi \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \ c' \ \psi \implies \exists \psi'. \text{push-conn-inside } c \ c' \ \psi \ \psi'$ 
    by (auto simp: assms(2)  $c' \ c\text{-in-}c'\text{-symb-step-exists}$ )
}
ultimately show ?thesis using noTB no-test-symb-step-exists[of  $c\text{-in-}c'\text{-symb } c \ c'$ ]
  unfolding  $c\text{-in-}c'\text{-only-def}$  by metis
qed

lemma push-conn-insidec-in- $c'\text{-symb-no-}T\text{-}F$ :
  fixes  $\varphi \ \psi :: 'v \text{ propo}$ 
  shows propo-rew-step (push-conn-inside  $c \ c'$ )  $\varphi \ \psi \implies \text{no-}T\text{-}F \ \varphi \implies \text{no-}T\text{-}F \ \psi$ 
proof (induct rule: propo-rew-step.induct)
  case (global-rel  $\varphi \ \psi$ )
  then show  $\text{no-}T\text{-}F \ \psi$ 
    by (cases rule: push-conn-inside.cases, auto)
next
  case (propo-rew-one-step-lift  $\varphi \ \varphi' \ c \ \xi \ \xi'$ )
  note rel = this(1) and IH = this(2) and wf = this(3) and  $\text{no-}T\text{-}F$  = this(4)
  have  $\text{no-}T\text{-}F \ \varphi$ 
    using wf  $\text{no-}T\text{-}F$   $\text{no-}T\text{-}F\text{-def}$  subformula-into-subformula subformula-all-subformula-st
    subformula-refl by (metis (no-types) in-set-conv-decomp)
  then have  $\varphi': \text{no-}T\text{-}F \ \varphi'$  using IH by blast

  have  $\forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \text{no-}T\text{-}F \ \zeta$  by (metis wf  $\text{no-}T\text{-}F$   $\text{no-}T\text{-}F\text{-def}$  all-subformula-st-decomp)
  then have  $n: \forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \text{no-}T\text{-}F \ \zeta$  using  $\varphi'$  by auto
  then have  $n': \forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \zeta \neq FF \wedge \zeta \neq FT$ 
    using  $\varphi'$  by (metis  $\text{no-}T\text{-}F\text{-symb-false}(1)$   $\text{no-}T\text{-}F\text{-symb-false}(2)$   $\text{no-}T\text{-}F\text{-def}$ 
    all-subformula-st-test-symb-true-phi)

  have  $\text{wf}': \text{wf-conn } c \ (\xi @ \varphi' \# \xi')$ 
    using wf wf-conn-no-arity-change by (metis wf-conn-no-arity-change-helper)
  {
    fix  $x :: 'v$ 
    assume  $c = CT \vee c = CF \vee c = CVar \ x$ 
    then have False using wf by auto
    then have  $\text{no-}T\text{-}F \ (\text{conn } c \ (\xi @ \varphi' \# \xi'))$  by blast
  }
  moreover {
    assume  $c: c = CNot$ 
    then have  $\xi = [] \ \xi' = []$  using wf by auto
    then have  $\text{no-}T\text{-}F \ (\text{conn } c \ (\xi @ \varphi' \# \xi'))$ 
      using  $c$  by (metis  $\varphi'$  conn.simps(4)  $\text{no-}T\text{-}F\text{-symb-false}(1,2)$   $\text{no-}T\text{-}F\text{-symb-fnot}$   $\text{no-}T\text{-}F\text{-def}$ 
      all-subformula-st-decomp-explicit(3) all-subformula-st-test-symb-true-phi self-append-conv2)
  }
  moreover {
    assume  $c: c \in \text{binary-connectives}$ 
    then have  $\text{no-}T\text{-}F\text{-symb } (\text{conn } c \ (\xi @ \varphi' \# \xi'))$  using  $\text{wf}' \ n' \ \text{no-}T\text{-}F\text{-symb.simps}$  by fastforce
    then have  $\text{no-}T\text{-}F \ (\text{conn } c \ (\xi @ \varphi' \# \xi'))$ 
      by (metis all-subformula-st-decomp-imp  $\text{wf}' \ n \ \text{no-}T\text{-}F\text{-def}$ )
  }
  ultimately show  $\text{no-}T\text{-}F \ (\text{conn } c \ (\xi @ \varphi' \# \xi'))$  using connective-cases-arity by auto
qed

```

```

lemma simple-propo-rew-step-push-conn-inside-inv:
  propo-rew-step (push-conn-inside c c')  $\varphi$   $\psi \implies$  simple  $\varphi \implies$  simple  $\psi$ 
  apply (induct rule: propo-rew-step.induct)
  apply (rename-tac  $\varphi$ , case-tac  $\varphi$ , auto simp: push-conn-inside.simps)[]
  by (metis append-is-Nil-conv list.distinct(1) simple.elims(2) wf-conn-list(1-3))

lemma simple-propo-rew-step-inv-push-conn-inside-simple-not:
  fixes c c' :: 'v connective and  $\varphi \psi :: 'v$  propo
  shows propo-rew-step (push-conn-inside c c')  $\varphi \psi \implies$  simple-not  $\varphi \implies$  simple-not  $\psi$ 
proof (induct rule: propo-rew-step.induct)
  case (global-rel  $\varphi \psi$ )
  then show ?case by (cases  $\varphi$ , auto simp: push-conn-inside.simps)
next
  case (propo-rew-one-step-lift  $\varphi \varphi'$  ca  $\xi \xi'$ ) note rew = this(1) and IH = this(2) and wf = this(3)
  and simple = this(4)
  show ?case
  proof (cases ca rule: connective-cases-arity)
  case nullary
  then show ?thesis using propo-rew-one-step-lift by auto
  next
  case binary note ca = this
  obtain a b where ab:  $\xi @ \varphi' \# \xi' = [a, b]$ 
  using wf ca list-length2-decomp wf-conn-bin-list-length
  by (metis (no-types) wf-conn-no-arity-change-helper)
  have  $\forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \text{simple-not } \zeta$ 
  by (metis wf all-subformula-st-decomp simple simple-not-def)
  then have  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \text{simple-not } \zeta$  using IH by simp
  moreover have simple-not-symb (conn ca ( $\xi @ \varphi' \# \xi'$ )) using ca
  by (metis ab conn.simps(5-8) helper-fact simple-not-symb.simps(5) simple-not-symb.simps(6)
    simple-not-symb.simps(7) simple-not-symb.simps(8))
  ultimately show ?thesis
  by (simp add: ab all-subformula-st-decomp ca)
  next
  case unary
  then show ?thesis
  using rew simple-propo-rew-step-push-conn-inside-inv[OF rew] IH local.wf simple by auto
qed
qed

```

```

lemma propo-rew-step-push-conn-inside-simple-not:
  fixes  $\varphi \varphi' :: 'v$  propo and  $\xi \xi' :: 'v$  propo list and  $c :: 'v$  connective
  assumes
    propo-rew-step (push-conn-inside c c')  $\varphi \varphi'$  and
    wf-conn c ( $\xi @ \varphi \# \xi'$ ) and
    simple-not-symb (conn c ( $\xi @ \varphi \# \xi'$ )) and
    simple-not-symb  $\varphi'$ 
  shows simple-not-symb (conn c ( $\xi @ \varphi' \# \xi'$ ))
  using assms
proof (induction rule: propo-rew-step.induct)
print-cases
case (global-rel)
then show ?case
  by (metis conn.simps(12,17) list.discI push-conn-inside.cases simple-not-symb.elims(3)
    wf-conn-helper-facts(5) wf-conn-list(2) wf-conn-list(8) wf-conn-no-arity-change)

```



```

wf-conn-no-arity-change-helper)
next
case (propo-rew-one-step-lift  $\varphi$   $\varphi'$   $c'$   $\chi s$   $\chi s'$ ) note  $tel = this(1)$  and  $wf = this(2)$  and
 $IH = this(3)$  and  $wf' = this(4)$  and  $simple' = this(5)$  and  $simple = this(6)$ 
then show ?case
proof (cases  $c'$  rule: connective-cases-arity)
  case nullary
  then show ?thesis using  $wf$   $simple$   $simple'$  by auto
next
  case binary note  $c = this(1)$ 
  have  $corr'$ :  $wf\text{-}conn\ c\ (\xi @ conn\ c'\ (\chi s @ \varphi' \# \chi s') \# \xi')$ 
  using  $wf$   $wf\text{-}conn\text{-}no\text{-}arity\text{-}change$ 
  by (metis  $wf'$   $wf\text{-}conn\text{-}no\text{-}arity\text{-}change\text{-}helper$ )
  then show ?thesis
  using  $c$   $propo\text{-}rew\text{-}one\text{-}step\text{-}lift\ wf$ 
  by (metis  $conn.simps(17)$   $connective.distinct(37)$   $propo\text{-}rew\text{-}step\text{-}subformula\text{-}imp$ 
 $push\text{-}conn\text{-}inside.cases\ simple\text{-}not\text{-}symb.elims(3)$   $wf\text{-}conn.simps$   $wf\text{-}conn\text{-}list(2,8)$ )
next
  case unary
  then have  $empty$ :  $\chi s = []\ \chi s' = []$  using  $wf$  by auto
  then show ?thesis using  $simple$   $unary$   $simple'$   $wf$   $wf'$ 
  by (metis  $connective.distinct(37)$   $connective.distinct(39)$   $propo\text{-}rew\text{-}step\text{-}subformula\text{-}imp$ 
 $push\text{-}conn\text{-}inside.cases\ simple\text{-}not\text{-}symb.elims(3)$   $tel$   $wf\text{-}conn\text{-}list(8)$ 
 $wf\text{-}conn\text{-}no\text{-}arity\text{-}change$   $wf\text{-}conn\text{-}no\text{-}arity\text{-}change\text{-}helper$ )
qed
qed

```

lemma $push\text{-}conn\text{-}inside\text{-}not\text{-}true\text{-}false$:

$push\text{-}conn\text{-}inside\ c\ c'\ \varphi\ \psi \implies \psi \neq FT \wedge \psi \neq FF$

by (induct rule: $push\text{-}conn\text{-}inside.induct$, auto)

lemma $push\text{-}conn\text{-}inside\text{-}inv$:

fixes $\varphi\ \psi :: 'v\ propo$

assumes $full$ ($propo\text{-}rew\text{-}step\ (push\text{-}conn\text{-}inside\ c\ c')\ \varphi\ \psi$

and $no\text{-}equiv\ \varphi$ **and** $no\text{-}imp\ \varphi$ **and** $no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level\ \varphi$ **and** $simple\text{-}not\ \varphi$

shows $no\text{-}equiv\ \psi$ **and** $no\text{-}imp\ \psi$ **and** $no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level\ \psi$ **and** $simple\text{-}not\ \psi$

proof –

```

{
  {
    fix  $\varphi\ \psi :: 'v\ propo$ 
    have  $H$ :  $push\text{-}conn\text{-}inside\ c\ c'\ \varphi\ \psi \implies all\text{-}subformula\text{-}st\ simple\text{-}not\text{-}symb\ \varphi$ 
       $\implies all\text{-}subformula\text{-}st\ simple\text{-}not\text{-}symb\ \psi$ 
    by (induct  $\varphi\ \psi$  rule:  $push\text{-}conn\text{-}inside.induct$ , auto)
  } note  $H = this$ 

```

```

fix  $\varphi\ \psi :: 'v\ propo$ 
have  $H$ :  $propo\text{-}rew\text{-}step\ (push\text{-}conn\text{-}inside\ c\ c')\ \varphi\ \psi \implies all\text{-}subformula\text{-}st\ simple\text{-}not\text{-}symb\ \varphi$ 
   $\implies all\text{-}subformula\text{-}st\ simple\text{-}not\text{-}symb\ \psi$ 
apply (induct  $\varphi\ \psi$  rule:  $propo\text{-}rew\text{-}step.induct$ )
using  $H$  apply  $simp$ 
proof (rename-tac  $\varphi\ \varphi'$  ca  $\psi s\ \psi s'$ , case-tac ca rule: connective-cases-arity)
  fix  $\varphi\ \varphi' :: 'v\ propo$  and  $c :: 'v\ connective$  and  $\xi\ \xi' :: 'v\ propo\ list$ 
  and  $x :: 'v$ 
  assume  $wf\text{-}conn\ c\ (\xi @ \varphi \# \xi')$ 
  and  $c = CT \vee c = CF \vee c = CVar\ x$ 

```

```

then have  $\xi @ \varphi \# \xi' = []$  by auto
then have False by auto
then show all-subformula-st simple-not-symb (conn c ( $\xi @ \varphi' \# \xi'$ )) by blast
next
fix  $\varphi \varphi' :: 'v$  propo and  $ca :: 'v$  connective and  $\xi \xi' :: 'v$  propo list
and  $x :: 'v$ 
assume rel: propo-rew-step (push-conn-inside c c')  $\varphi \varphi'$ 
and  $\varphi\text{-}\varphi'$ : all-subformula-st simple-not-symb  $\varphi \implies$  all-subformula-st simple-not-symb  $\varphi'$ 
and corr: wf-conn ca ( $\xi @ \varphi \# \xi'$ )
and n: all-subformula-st simple-not-symb (conn ca ( $\xi @ \varphi \# \xi'$ ))
and c: ca = CNot

have empty:  $\xi = [] \ \xi' = []$  using c corr by auto
then have simple-not:all-subformula-st simple-not-symb (FNot  $\varphi$ ) using corr c n by auto
then have simple  $\varphi$ 
  using all-subformula-st-test-symb-true-phi simple-not-symb.simps(1) by blast
then have simple  $\varphi'$ 
  using rel simple-propo-rew-step-push-conn-inside-inv by blast
then show all-subformula-st simple-not-symb (conn ca ( $\xi @ \varphi' \# \xi'$ )) using c empty
  by (metis simple-not  $\varphi\text{-}\varphi'$  append-Nil conn.simps(4) all-subformula-st-decomp-explicit(3)
    simple-not-symb.simps(1))
next
fix  $\varphi \varphi' :: 'v$  propo and  $ca :: 'v$  connective and  $\xi \xi' :: 'v$  propo list
and  $x :: 'v$ 
assume rel: propo-rew-step (push-conn-inside c c')  $\varphi \varphi'$ 
and  $n\varphi$ : all-subformula-st simple-not-symb  $\varphi \implies$  all-subformula-st simple-not-symb  $\varphi'$ 
and corr: wf-conn ca ( $\xi @ \varphi \# \xi'$ )
and n: all-subformula-st simple-not-symb (conn ca ( $\xi @ \varphi \# \xi'$ ))
and c: ca  $\in$  binary-connectives

have all-subformula-st simple-not-symb  $\varphi$ 
  using n c corr all-subformula-st-decomp by fastforce
then have  $\varphi'$ : all-subformula-st simple-not-symb  $\varphi'$  using  $n\varphi$  by blast
obtain a b where ab:  $[a, b] = (\xi @ \varphi \# \xi')$ 
  using corr c list-length2-decomp wf-conn-bin-list-length by metis
then have  $\xi @ \varphi' \# \xi' = [a, \varphi'] \vee (\xi @ \varphi' \# \xi') = [\varphi', b]$ 
  using ab by (metis (no-types, hide-lams) append-Cons append-Nil append-Nil2
    append-is-Nil-conv butlast.simps(2) butlast-append list.sel(3) tl-append2)
moreover
{
  fix  $\chi :: 'v$  propo
  have wf': wf-conn ca  $[a, b]$ 
    using ab corr by presburger
  have all-subformula-st simple-not-symb (conn ca  $[a, b]$ )
    using ab n by presburger
  then have all-subformula-st simple-not-symb  $\chi \vee \chi \notin$  set ( $\xi @ \varphi' \# \xi'$ )
    using wf' by (metis (no-types)  $\varphi'$  all-subformula-st-decomp calculation insert-iff
      list.set(2))
}
then have  $\forall \varphi. \varphi \in$  set ( $\xi @ \varphi' \# \xi'$ )  $\longrightarrow$  all-subformula-st simple-not-symb  $\varphi$ 
  by (metis (no-types))

moreover have simple-not-symb (conn ca ( $\xi @ \varphi' \# \xi'$ ))
  using ab conn-inj-not(1) corr wf-conn-list-decomp(4) wf-conn-no-arity-change
    not-Cons-self2 self-append-conv2 simple-not-symb.elims(3) by (metis (no-types) c

```

```

      calculation(1) wf-conn-binary)
    moreover have wf-conn ca ( $\xi @ \varphi' \# \xi'$ ) using c calculation(1) by auto
    ultimately show all-subformula-st simple-not-symb (conn ca ( $\xi @ \varphi' \# \xi'$ ))
      by (metis all-subformula-st-decomp-imp)
  qed
}
moreover {
  fix ca :: 'v connective and  $\xi \xi' :: 'v$  propo list and  $\varphi \varphi' :: 'v$  propo
  have propo-rew-step (push-conn-inside c c')  $\varphi \varphi' \implies$  wf-conn ca ( $\xi @ \varphi \# \xi'$ )
     $\implies$  simple-not-symb (conn ca ( $\xi @ \varphi \# \xi'$ ))  $\implies$  simple-not-symb  $\varphi'$ 
     $\implies$  simple-not-symb (conn ca ( $\xi @ \varphi' \# \xi'$ ))
  by (metis append-self-conv2 conn.simps(4) conn-inj-not(1) simple-not-symb.elims(3)
    simple-not-symb.simps(1) simple-propo-rew-step-push-conn-inside-inv
    wf-conn-no-arity-change-helper wf-conn-list-decomp(4) wf-conn-no-arity-change)
}
ultimately show simple-not  $\psi$ 
  using full-propo-rew-step-inv-stay'[of push-conn-inside c c' simple-not-symb] assms
  unfolding no-T-F-except-top-level-def simple-not-def full-unfold by metis
next
{
  fix  $\varphi \psi :: 'v$  propo
  have H: propo-rew-step (push-conn-inside c c')  $\varphi \psi \implies$  no-T-F-except-top-level  $\varphi$ 
     $\implies$  no-T-F-except-top-level  $\psi$ 
  proof -
    assume rel: propo-rew-step (push-conn-inside c c')  $\varphi \psi$ 
    and no-T-F-except-top-level  $\varphi$ 
    then have no-T-F  $\varphi \vee \varphi = FF \vee \varphi = FT$ 
      by (metis no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
    moreover {
      assume  $\varphi = FF \vee \varphi = FT$ 
      then have False using rel propo-rew-step-push-conn-inside by blast
      then have no-T-F-except-top-level  $\psi$  by blast
    }
    moreover {
      assume no-T-F  $\varphi \wedge \varphi \neq FF \wedge \varphi \neq FT$ 
      then have no-T-F  $\psi$  using rel push-conn-inside-c'-symb-no-T-F by blast
      then have no-T-F-except-top-level  $\psi$  using no-T-F-no-T-F-except-top-level by blast
    }
    ultimately show no-T-F-except-top-level  $\psi$  by blast
  qed
}
moreover {
  fix ca :: 'v connective and  $\xi \xi' :: 'v$  propo list and  $\varphi \varphi' :: 'v$  propo
  assume rel: propo-rew-step (push-conn-inside c c')  $\varphi \varphi'$ 
  assume corr: wf-conn ca ( $\xi @ \varphi \# \xi'$ )
  then have c:  $ca \neq CT \wedge ca \neq CF$  by auto
  assume no-T-F: no-T-F-symb-except-toplevel (conn ca ( $\xi @ \varphi \# \xi'$ ))
  have no-T-F-symb-except-toplevel (conn ca ( $\xi @ \varphi' \# \xi'$ ))
  proof
    have c:  $ca \neq CT \wedge ca \neq CF$  using corr by auto
    have  $\zeta: \forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \zeta \neq FT \wedge \zeta \neq FF$ 
      using corr no-T-F no-T-F-symb-except-toplevel-if-is-a-true-false by blast
    then have  $\varphi \neq FT \wedge \varphi \neq FF$  by auto
    from rel this have  $\varphi' \neq FT \wedge \varphi' \neq FF$ 
    apply (induct rule: propo-rew-step.induct)

```

```

    by (metis append-is-Nil-conv conn.simps(2) conn-inj list.distinct(1)
        wf-conn-helper-facts(3) wf-conn-list(1) wf-conn-no-arity-change
        wf-conn-no-arity-change-helper push-conn-inside-not-true-false)+
  then have  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \zeta \neq FT \wedge \zeta \neq FF$  using  $\zeta$  by auto
  moreover have wf-conn ca ( $\xi @ \varphi' \# \xi'$ )
    using corr wf-conn-no-arity-change by (metis wf-conn-no-arity-change-helper)
  ultimately show no-T-F-symb (conn ca ( $\xi @ \varphi' \# \xi'$ )) using no-T-F-symb.intros c by metis
qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
  using full-propo-rew-step-inv-stay'[of push-conn-inside c c' no-T-F-symb-except-toplevel]
  assms unfolding no-T-F-except-top-level-def full-unfold by metis

next
{
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have  $H: \text{push-conn-inside } c \ c' \ \varphi \ \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$ 
    by (induct  $\varphi \ \psi$  rule: push-conn-inside.induct, auto)
}
then show no-equiv  $\psi$ 
  using full-propo-rew-step-inv-stay-conn[of push-conn-inside c c' no-equiv-symb] assms
  no-equiv-symb-conn-characterization unfolding no-equiv-def by metis

next
{
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have  $H: \text{push-conn-inside } c \ c' \ \varphi \ \psi \implies \text{no-imp } \varphi \implies \text{no-imp } \psi$ 
    by (induct  $\varphi \ \psi$  rule: push-conn-inside.induct, auto)
}
then show no-imp  $\psi$ 
  using full-propo-rew-step-inv-stay-conn[of push-conn-inside c c' no-imp-symb] assms
  no-imp-symb-conn-characterization unfolding no-imp-def by metis
qed

```

lemma *push-conn-inside-full-propo-rew-step*:

```

  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes
    no-equiv  $\varphi$  and
    no-imp  $\varphi$  and
    full (propo-rew-step (push-conn-inside c c'))  $\varphi \ \psi$  and
    no-T-F-except-top-level  $\varphi$  and
    simple-not  $\varphi$  and
     $c = CAnd \vee c = COr$  and
     $c' = CAnd \vee c' = COr$ 
  shows c-in-c'-only c c'  $\psi$ 
  using c-in-c'-symb-rew assms full-propo-rew-step-subformula by blast

```

8.5.1 Only one type of connective in the formula (+ not)

inductive *only-c-inside-symb* :: $'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$ **for** $c :: 'v \text{ connective}$ **where**
simple-only-c-inside[simp]: $\text{simple } \varphi \implies \text{only-c-inside-symb } c \ \varphi$ |
simple-cnot-only-c-inside[simp]: $\text{simple } \varphi \implies \text{only-c-inside-symb } c \ (FNot \ \varphi)$ |
only-c-inside-into-only-c-inside: $\text{wf-conn } c \ l \implies \text{only-c-inside-symb } c \ (\text{conn } c \ l)$

lemma *only-c-inside-symb-simp*[simp]:

only-c-inside-symb c FF *only-c-inside-symb* c FT *only-c-inside-symb* c ($FVar\ x$) **by** *auto*

definition *only-c-inside* **where** *only-c-inside* $c = all_subformula_st\ (only_c_inside_symb\ c)$

lemma *only-c-inside-symb-decomp*:

only-c-inside-symb $c\ \psi \longleftrightarrow (simple\ \psi$
 $\vee (\exists\ \varphi'.\ \psi = FNot\ \varphi' \wedge simple\ \varphi')$
 $\vee (\exists\ l.\ \psi = conn\ c\ l \wedge wf_conn\ c\ l))$

by (*auto simp: only-c-inside-symb.intros*(3)) (*induct rule: only-c-inside-symb.induct, auto*)

lemma *only-c-inside-symb-decomp-not*[simp]:

fixes $c :: 'v\ connective$

assumes $c: c \neq CNot$

shows *only-c-inside-symb* $c\ (FNot\ \psi) \longleftrightarrow simple\ \psi$

apply (*auto simp: only-c-inside-symb.intros*(3))

by (*induct FNot* ψ *rule: only-c-inside-symb.induct, auto simp: wf-conn-list*(8) c)

lemma *only-c-inside-decomp-not*[simp]:

assumes $c: c \neq CNot$

shows *only-c-inside* $c\ (FNot\ \psi) \longleftrightarrow simple\ \psi$

by (*metis* (*no-types, hide-lams*) *all-subformula-st-def all-subformula-st-test-symb-true-phi* c
only-c-inside-def only-c-inside-symb-decomp-not simple-only-c-inside
subformula-conn-decomp-simple)

lemma *only-c-inside-decomp*:

only-c-inside $c\ \varphi \longleftrightarrow$

$(\forall\ \psi.\ \psi \preceq \varphi \longrightarrow (simple\ \psi \vee (\exists\ \varphi'.\ \psi = FNot\ \varphi' \wedge simple\ \varphi')$
 $\vee (\exists\ l.\ \psi = conn\ c\ l \wedge wf_conn\ c\ l)))$

unfolding *only-c-inside-def* **by** (*auto simp: all-subformula-st-def only-c-inside-symb-decomp*)

lemma *only-c-inside-c-c'-false*:

fixes $c\ c' :: 'v\ connective$ **and** $l :: 'v\ propo\ list$ **and** $\varphi :: 'v\ propo$

assumes $cc': c \neq c'$ **and** $c: c = CAnd \vee c = COr$ **and** $c': c' = CAnd \vee c' = COr$

and *only: only-c-inside* $c\ \varphi$ **and** *incl: conn* $c'\ l \preceq \varphi$ **and** *wf: wf-conn* $c'\ l$

shows *False*

proof –

let $? \psi = conn\ c'\ l$

have *simple* $? \psi \vee (\exists\ \varphi'.\ ? \psi = FNot\ \varphi' \wedge simple\ \varphi') \vee (\exists\ l.\ ? \psi = conn\ c\ l \wedge wf_conn\ c\ l)$

using *only-c-inside-decomp only incl* **by** *blast*

moreover **have** $\neg simple\ ? \psi$

using *wf simple-decomp* **by** (*metis* c' *connective.distinct*(19) *connective.distinct*(7,9,21,29,31)
wf-conn-list(1–3))

moreover

{
fix φ'
have $? \psi \neq FNot\ \varphi'$ **using** c' *conn-inj-not*(1) *wf* **by** *blast*
}

ultimately obtain $l :: 'v\ propo\ list$ **where** $? \psi = conn\ c\ l \wedge wf_conn\ c\ l$ **by** *metis*

then have $c = c'$ **using** *conn-inj wf* **by** *metis*

then show *False* **using** cc' **by** *auto*

qed

lemma *only-c-inside-implies-c-in-c'-symb*:

assumes δ : $c \neq c'$ **and** c : $c = CAnd \vee c = COr$ **and** c' : $c' = CAnd \vee c' = COr$
shows *only-c-inside* $c \varphi \implies c\text{-in-}c'\text{-symb } c c' \varphi$
apply (rule ccontr)
apply (cases rule: not-c-in-c'-symb.cases, auto)
by (metis δ c c' *connective.distinct*(37,39) *list.distinct*(1) *only-c-inside-c-c'-false*
subformula-in-binary-conn(1,2) *wf-conn.simps*)+

lemma *c-in-c'-symb-decomp-level1*:

fixes $l :: 'v$ *propo list* **and** $c c' ca :: 'v$ *connective*
shows *wf-conn* $ca l \implies ca \neq c \implies c\text{-in-}c'\text{-symb } c c' (conn\ ca\ l)$

proof –

have *not-c-in-c'-symb* $c c' (conn\ ca\ l) \implies wf\text{-conn } ca\ l \implies ca = c$
by (*induct conn ca l* rule: *not-c-in-c'-symb.induct*, *auto simp: conn-inj*)
then show *wf-conn* $ca l \implies ca \neq c \implies c\text{-in-}c'\text{-symb } c c' (conn\ ca\ l)$ **by** *blast*

qed

lemma *only-c-inside-implies-c-in-c'-only*:

assumes δ : $c \neq c'$ **and** c : $c = CAnd \vee c = COr$ **and** c' : $c' = CAnd \vee c' = COr$
shows *only-c-inside* $c \varphi \implies c\text{-in-}c'\text{-only } c c' \varphi$
unfolding *c-in-c'-only-def* *all-subformula-st-def*
using *only-c-inside-implies-c-in-c'-symb*
by (metis *all-subformula-st-def* *assms*(1) c c' *only-c-inside-def* *subformula-trans*)

lemma *c-in-c'-symb-c-implies-only-c-inside*:

assumes δ : $c = CAnd \vee c = COr$ $c' = CAnd \vee c' = COr$ $c \neq c'$ **and** wf : *wf-conn* $c [\varphi, \psi]$
and *inv*: *no-equiv* (*conn* $c\ l$) *no-imp* (*conn* $c\ l$) *simple-not* (*conn* $c\ l$)
shows *wf-conn* $c\ l \implies c\text{-in-}c'\text{-only } c c' (conn\ c\ l) \implies (\forall \psi \in set\ l. \text{only-c-inside } c\ \psi)$

using *inv*

proof (*induct conn c l* *arbitrary: l* rule: *propo-induct-arity*)

case (*nullary* x)

then show *?case* **by** (*auto simp: wf-conn-list* *assms*)

next

case (*unary* $\varphi\ la$)

then have $c = CNot \wedge la = [\varphi]$ **by** (metis (*no-types*) *wf-conn-list*(8))

then show *?case* **using** *assms*(2) *assms*(1) **by** *blast*

next

case (*binary* $\varphi1\ \varphi2$)

note $IH\varphi1 = this(1)$ **and** $IH\varphi2 = this(2)$ **and** $\varphi = this(3)$ **and** $only = this(5)$ **and** $wf = this(4)$
and $no\text{-equiv} = this(6)$ **and** $no\text{-imp} = this(7)$ **and** $simple\text{-not} = this(8)$

then have $l: l = [\varphi1, \varphi2]$ **by** (*meson wf-conn-list*(4–7))

let $? \varphi = conn\ c\ l$

obtain $c1\ l1\ c2\ l2$ **where** $\varphi1: \varphi1 = conn\ c1\ l1$ **and** $wf\varphi1: wf\text{-conn } c1\ l1$

and $\varphi2: \varphi2 = conn\ c2\ l2$ **and** $wf\varphi2: wf\text{-conn } c2\ l2$ **using** *exists-c-conn* **by** *metis*

then have *c-in-only* $\varphi1: c\text{-in-}c'\text{-only } c c' (conn\ c1\ l1)$ **and** *c-in-c'-only* $c c' (conn\ c2\ l2)$

using *only l* **unfolding** *c-in-c'-only-def* **using** *assms*(1) **by** *auto*

have *inc* $\varphi1: \varphi1 \preceq ? \varphi$ **and** *inc* $\varphi2: \varphi2 \preceq ? \varphi$

using $\varphi1\ \varphi2\ \varphi$ *local.wf* **by** (metis *conn.simps*(5–8) *helper-fact subformula-in-binary-conn*(1,2))+

have *c1-eq*: $c1 \neq CEq$ **and** *c2-eq*: $c2 \neq CEq$

unfolding *no-equiv-def* **using** *inc* $\varphi1$ *inc* $\varphi2$ **by** (metis $\varphi1\ \varphi2\ wf\varphi1\ wf\varphi2$ *assms*(1) *no-equiv*
no-equiv-eq(1) *no-equiv-symb.elims*(3) *no-equiv-symb-conn-characterization* *wf-conn-list*(4,5))

```

    no-equiv-def subformula-all-subformula-st)+
have c1-imp: c1 ≠ CImp and c2-imp: c2 ≠ CImp
using no-imp by (metis  $\varphi 1$   $\varphi 2$  all-subformula-st-decomp-explicit-imp(2,3) assms(1)
    conn.simps(5,6) l no-imp-imp(1) no-imp-symb.elims(3) no-imp-symb-conn-characterization
    wf $\varphi 1$  wf $\varphi 2$  all-subformula-st-decomp no-imp-symb-conn-characterization)+
have c1c: c1 ≠ c'
proof
  assume c1c: c1 = c'
  then obtain  $\xi 1$   $\xi 2$  where l1: l1 = [ $\xi 1$ ,  $\xi 2$ ]
    by (metis assms(2) connective.distinct(37,39) helper-fact wf $\varphi 1$  wf-conn.simps
        wf-conn-list-decomp(1-3))
  have c-in-c'-only c c' (conn c [conn c' l1,  $\varphi 2$ ]) using c1c l only  $\varphi 1$  by auto
  moreover have not-c-in-c'-symb c c' (conn c [conn c' l1,  $\varphi 2$ ])
    using l1  $\varphi 1$  c1c l local.wf not-c-in-c'-symb-l wf $\varphi 1$  by blast
  ultimately show False using  $\varphi 1$  c1c l l1 local.wf not-c-in-c'-simp(4) wf $\varphi 1$  by blast
qed
then have ( $\varphi 1 = \text{conn } c \text{ } l1 \wedge \text{wf-conn } c \text{ } l1$ )  $\vee$  ( $\exists \psi 1. \varphi 1 = \text{FNot } \psi 1$ )  $\vee$  simple  $\varphi 1$ 
  by (metis  $\varphi 1$  assms(1-3) c1-eq c1-imp simple.elims(3) wf $\varphi 1$  wf-conn-list(4) wf-conn-list(5-7))
moreover {
  assume  $\varphi 1 = \text{conn } c \text{ } l1 \wedge \text{wf-conn } c \text{ } l1$ 
  then have only-c-inside c  $\varphi 1$ 
    by (metis IH $\varphi 1$   $\varphi 1$  all-subformula-st-decomp-imp inc $\varphi 1$  no-equiv no-equiv-def no-imp no-imp-def
        c-in-only $\varphi 1$  only-c-inside-def only-c-inside-into-only-c-inside simple-not simple-not-def
        subformula-all-subformula-st)
}
moreover {
  assume  $\exists \psi 1. \varphi 1 = \text{FNot } \psi 1$ 
  then obtain  $\psi 1$  where  $\varphi 1 = \text{FNot } \psi 1$  by metis
  then have only-c-inside c  $\varphi 1$ 
    by (metis all-subformula-st-def assms(1) connective.distinct(37,39) inc $\varphi 1$ 
        only-c-inside-decomp-not simple-not simple-not-def simple-not-symb.simps(1))
}
moreover {
  assume simple  $\varphi 1$ 
  then have only-c-inside c  $\varphi 1$ 
    by (metis all-subformula-st-decomp-explicit(3) assms(1) connective.distinct(37,39)
        only-c-inside-decomp-not only-c-inside-def)
}
ultimately have only-c-inside $\varphi 1$ : only-c-inside c  $\varphi 1$  by metis

have c-in-only $\varphi 2$ : c-in-c'-only c c' (conn c2 l2)
  using only l  $\varphi 2$  wf $\varphi 2$  assms unfolding c-in-c'-only-def by auto
have c2c: c2 ≠ c'
proof
  assume c2c: c2 = c'
  then obtain  $\xi 1$   $\xi 2$  where l2: l2 = [ $\xi 1$ ,  $\xi 2$ ]
    by (metis assms(2) wf $\varphi 2$  wf-conn.simps connective.distinct(7,9,19,21,29,31,37,39))
  then have c-in-c'-symb c c' (conn c [ $\varphi 1$ , conn c' l2])
    using c2c l only  $\varphi 2$  all-subformula-st-test-symb-true-phi unfolding c-in-c'-only-def by auto
  moreover have not-c-in-c'-symb c c' (conn c [ $\varphi 1$ , conn c' l2])
    using assms(1) c2c l2 not-c-in-c'-symb-r wf $\varphi 2$  wf-conn-helper-facts(5,6) by metis
  ultimately show False by auto
qed
then have ( $\varphi 2 = \text{conn } c \text{ } l2 \wedge \text{wf-conn } c \text{ } l2$ )  $\vee$  ( $\exists \psi 2. \varphi 2 = \text{FNot } \psi 2$ )  $\vee$  simple  $\varphi 2$ 
  using c2-eq by (metis  $\varphi 2$  assms(1-3) c2-eq c2-imp simple.elims(3) wf $\varphi 2$  wf-conn-list(4-7))

```

```

moreover {
  assume  $\varphi 2 = \text{conn } c \text{ } l2 \wedge \text{wf-conn } c \text{ } l2$ 
  then have only-c-inside  $c \text{ } \varphi 2$ 
  by (metis IH  $\varphi 2 \text{ } \varphi 2$  all-subformula-st-decomp inc  $\varphi 2$  no-equiv no-equiv-def no-imp no-imp-def
    c-in-only  $\varphi 2$  only-c-inside-def only-c-inside-into-only-c-inside simple-not simple-not-def
    subformula-all-subformula-st)
}
moreover {
  assume  $\exists \psi 2. \varphi 2 = \text{FNot } \psi 2$ 
  then obtain  $\psi 2$  where  $\varphi 2 = \text{FNot } \psi 2$  by metis
  then have only-c-inside  $c \text{ } \varphi 2$ 
  by (metis all-subformula-st-def assms(1-3) connective.distinct(38,40) inc  $\varphi 2$ 
    only-c-inside-decomp-not simple-not simple-not-def simple-not-symb.simps(1))
}
moreover {
  assume simple  $\varphi 2$ 
  then have only-c-inside  $c \text{ } \varphi 2$ 
  by (metis all-subformula-st-decomp-explicit(3) assms(1) connective.distinct(37,39)
    only-c-inside-decomp-not only-c-inside-def)
}
ultimately have only-c-inside  $\varphi 2$ : only-c-inside  $c \text{ } \varphi 2$  by metis
show ?case using l only-c-inside  $\varphi 1$  only-c-inside  $\varphi 2$  by auto
qed

```

8.5.2 Push Conjunction

definition *pushConj* **where** *pushConj* = *push-conn-inside* *CAnd* *COr*

lemma *pushConj-consistent: preserves-un-sat pushConj*
unfolding *pushConj-def* **by** (*simp* *add: push-conn-inside-consistent*)

definition *and-in-or-symb* **where** *and-in-or-symb* = *c-in-c'-symb* *CAnd* *COr*

definition *and-in-or-only* **where**
and-in-or-only = *all-subformula-st* (*c-in-c'-symb* *CAnd* *COr*)

lemma *pushConj-inv*:
fixes $\varphi \psi :: 'v \text{ } \text{propo}$
assumes *full* (*propo-rew-step* *pushConj*) $\varphi \psi$
and *no-equiv* φ **and** *no-imp* φ **and** *no-T-F-except-top-level* φ **and** *simple-not* φ
shows *no-equiv* ψ **and** *no-imp* ψ **and** *no-T-F-except-top-level* ψ **and** *simple-not* ψ
using *push-conn-inside-inv* *assms* **unfolding** *pushConj-def* **by** *metis+*

lemma *pushConj-full-propo-rew-step*:
fixes $\varphi \psi :: 'v \text{ } \text{propo}$
assumes
no-equiv φ **and**
no-imp φ **and**
full (*propo-rew-step* *pushConj*) $\varphi \psi$ **and**
no-T-F-except-top-level φ **and**
simple-not φ
shows *and-in-or-only* ψ
using *assms* *push-conn-inside-full-propo-rew-step*
unfolding *pushConj-def* *and-in-or-only-def* *c-in-c'-only-def* **by** (*metis* (*no-types*))

8.5.3 Push Disjunction

definition *pushDisj* **where** *pushDisj* = *push-conn-inside COr CAnd*

lemma *pushDisj-consistent: preserves-un-sat pushDisj*

unfolding *pushDisj-def* **by** (*simp add: push-conn-inside-consistent*)

definition *or-in-and-symb* **where** *or-in-and-symb* = *c-in-c'-symb COr CAnd*

definition *or-in-and-only* **where**

or-in-and-only = *all-subformula-st (c-in-c'-symb COr CAnd)*

lemma *not-or-in-and-only-or-and[simp]:*

$\sim \text{or-in-and-only } (FOr (FAnd \psi1 \psi2) \varphi')$

unfolding *or-in-and-only-def*

by (*metis all-subformula-st-test-symb-true-phi conn.simps(5-6) not-c-in-c'-symb-l wf-conn-helper-facts(5) wf-conn-helper-facts(6)*)

lemma *pushDisj-inv:*

fixes $\varphi \psi :: 'v \text{ propo}$

assumes *full (propo-rew-step pushDisj) $\varphi \psi$*

and *no-equiv φ and no-imp φ and no-T-F-except-top-level φ and simple-not φ*

shows *no-equiv ψ and no-imp ψ and no-T-F-except-top-level ψ and simple-not ψ*

using *push-conn-inside-inv assms unfolding pushDisj-def by metis+*

lemma *pushDisj-full-propo-rew-step:*

fixes $\varphi \psi :: 'v \text{ propo}$

assumes

no-equiv φ and

no-imp φ and

full (propo-rew-step pushDisj) $\varphi \psi$ and

no-T-F-except-top-level φ and

simple-not φ

shows *or-in-and-only ψ*

using *assms push-conn-inside-full-propo-rew-step*

unfolding *pushDisj-def or-in-and-only-def c-in-c'-only-def by (metis (no-types))*

9 The full transformations

9.1 Abstract Property characterizing that only some connective are inside the others

9.1.1 Definition

The normal is a super group of groups

inductive *grouped-by* :: *'a connective \Rightarrow 'a propo \Rightarrow bool* **for** *c* **where**

*simple-is-grouped[simp]: simple $\varphi \Rightarrow$ grouped-by *c* φ |*

*simple-not-is-grouped[simp]: simple $\varphi \Rightarrow$ grouped-by *c* (*FNot* φ) |*

*connected-is-group[simp]: grouped-by *c* $\varphi \Rightarrow$ grouped-by *c* $\psi \Rightarrow$ wf-conn *c* [φ, ψ]
 \Rightarrow grouped-by *c* (*conn* *c* [φ, ψ])*

lemma *simple-clause[simp]:*

*grouped-by *c* FT*

*grouped-by *c* FF*

```

grouped-by c (FVar x)
grouped-by c (FNot FT)
grouped-by c (FNot FF)
grouped-by c (FNot (FVar x))
by simp+

```

lemma *only-c-inside-symb-c-eq-c'*:

```

only-c-inside-symb c (conn c' [φ1, φ2]) ⇒ c' = CAnd ∨ c' = COr ⇒ wf-conn c' [φ1, φ2]
⇒ c' = c
by (induct conn c' [φ1, φ2] rule: only-c-inside-symb.induct, auto simp: conn-inj)

```

lemma *only-c-inside-c-eq-c'*:

```

only-c-inside c (conn c' [φ1, φ2]) ⇒ c' = CAnd ∨ c' = COr ⇒ wf-conn c' [φ1, φ2] ⇒ c = c'
unfolding only-c-inside-def all-subformula-st-def using only-c-inside-symb-c-eq-c' subformula-refl
by blast

```

lemma *only-c-inside-imp-grouped-by*:

```

assumes c: c ≠ CNot and c': c' = CAnd ∨ c' = COr
shows only-c-inside c φ ⇒ grouped-by c φ (is ?O φ ⇒ ?G φ)
proof (induct φ rule: propo-induct-arity)
  case (nullary φ x)
  then show ?G φ by auto
next
  case (unary ψ)
  then show ?G (FNot ψ) by (auto simp: c)
next
  case (binary φ φ1 φ2)
  note IHφ1 = this(1) and IHφ2 = this(2) and φ = this(3) and only = this(4)
  have φ-conn: φ = conn c [φ1, φ2] and wf: wf-conn c [φ1, φ2]
  proof –
    obtain c'' l'' where φ-c'': φ = conn c'' l'' and wf: wf-conn c'' l''
    using exists-c-conn by metis
    then have l'': l'' = [φ1, φ2] using φ by (metis wf-conn-list(4-7))
    have only-c-inside-symb c (conn c'' [φ1, φ2])
    using only all-subformula-st-test-symb-true-phi
    unfolding only-c-inside-def φ-c'' l'' by metis
    then have c = c''
    by (metis φ φ-c'' conn-inj conn-inj-not(2) l'' list.distinct(1) list.inject wf
      only-c-inside-symb.cases simple.simps(5-8))
    then show φ = conn c [φ1, φ2] and wf-conn c [φ1, φ2] using φ-c'' wf l'' by auto
  qed
  have grouped-by c φ1 using wf IHφ1 IHφ2 φ-conn only φ unfolding only-c-inside-def by auto
  moreover have grouped-by c φ2
  using wf φ IHφ1 IHφ2 φ-conn only unfolding only-c-inside-def by auto
  ultimately show ?G φ using φ-conn connected-is-group local.wf by blast
qed

```

lemma *grouped-by-false*:

```

grouped-by c (conn c' [φ, ψ]) ⇒ c ≠ c' ⇒ wf-conn c' [φ, ψ] ⇒ False
apply (induct conn c' [φ, ψ] rule: grouped-by.induct)
apply (auto simp: simple-decomp wf-conn-list, auto simp: conn-inj)
by (metis list.distinct(1) list.sel(3) wf-conn-list(8))+

```

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas

in CNF form can be related by an and.

inductive *super-grouped-by*: 'a connective \Rightarrow 'a connective \Rightarrow 'a propo \Rightarrow bool **for** c c' **where**
grouped-is-super-grouped[simp]: grouped-by c $\varphi \Rightarrow$ super-grouped-by c c' φ |
connected-is-super-group: super-grouped-by c c' $\varphi \Rightarrow$ super-grouped-by c c' $\psi \Rightarrow$ wf-conn c [φ , ψ]
 \Rightarrow super-grouped-by c c' (conn c' [φ , ψ])

lemma *simple-cnf*[simp]:
super-grouped-by c c' FT
super-grouped-by c c' FF
super-grouped-by c c' (FVar x)
super-grouped-by c c' (FNot FT)
super-grouped-by c c' (FNot FF)
super-grouped-by c c' (FNot (FVar x))
by auto

lemma *c-in-c'-only-super-grouped-by*:
assumes c: c = CAnd \vee c = COr **and** c': c' = CAnd \vee c' = COr **and** cc': c \neq c'
shows no-equiv $\varphi \Rightarrow$ no-imp $\varphi \Rightarrow$ simple-not $\varphi \Rightarrow$ c-in-c'-only c c' φ
 \Rightarrow super-grouped-by c c' φ
(is ?NE $\varphi \Rightarrow$?NI $\varphi \Rightarrow$?SN $\varphi \Rightarrow$?C $\varphi \Rightarrow$?S φ)
proof (induct φ rule: propo-induct-arity)
case (nullary φ x)
then show ?S φ **by** auto
next
case (unary φ)
then have simple-not-symb (FNot φ)
using all-subformula-st-test-symb-true-phi **unfolding** simple-not-def **by** blast
then have $\varphi = FT \vee \varphi = FF \vee (\exists x. \varphi = FVar x)$ **by** (cases φ , auto)
then show ?S (FNot φ) **by** auto
next
case (binary φ $\varphi1$ $\varphi2$)
note IH $\varphi1 =$ this(1) **and** IH $\varphi2 =$ this(2) **and** no-equiv = this(4) **and** no-imp = this(5)
and simpleN = this(6) **and** c-in-c'-only = this(7) **and** $\varphi' =$ this(3)
{
assume $\varphi = FImp \varphi1 \varphi2 \vee \varphi = FEq \varphi1 \varphi2$
then have False **using** no-equiv no-imp **by** auto
then have ?S φ **by** auto
}
moreover {
assume $\varphi = \text{conn } c' [\varphi1, \varphi2] \wedge \text{wf-conn } c' [\varphi1, \varphi2]$
have c-in-c'-only: c-in-c'-only c c' $\varphi1 \wedge$ c-in-c'-only c c' $\varphi2 \wedge$ c-in-c'-symb c c' φ
using c-in-c'-only φ' **unfolding** c-in-c'-only-def **by** auto
have super-grouped-by c c' $\varphi1$ **using** φ c' no-equiv no-imp simpleN IH $\varphi1$ c-in-c'-only **by** auto
moreover have super-grouped-by c c' $\varphi2$
using φ c' no-equiv no-imp simpleN IH $\varphi2$ c-in-c'-only **by** auto
ultimately have ?S φ
using super-grouped-by.intros(2) φ **by** (metis c wf-conn-helper-facts(5,6))
}
moreover {
assume $\varphi = \text{conn } c [\varphi1, \varphi2] \wedge \text{wf-conn } c [\varphi1, \varphi2]$
then have only-c-inside c $\varphi1 \wedge$ only-c-inside c $\varphi2$
using c-in-c'-symb-c-implies-only-c-inside c c' c-in-c'-only list.set-intros(1)
wf-conn-helper-facts(5,6) no-equiv no-imp simpleN last-ConsL last-ConsR last-in-set
list.distinct(1) **by** (metis (no-types, hide-lams) cc')
then have only-c-inside c (conn c [$\varphi1$, $\varphi2$])
}

```

    unfolding only-c-inside-def using  $\varphi$ 
    by (simp add: only-c-inside-into-only-c-inside all-subformula-st-decomp)
  then have grouped-by  $c$   $\varphi$  using  $\varphi$  only-c-inside-imp-grouped-by  $c$  by blast
  then have ?S  $\varphi$  using super-grouped-by.intros(1) by metis
}
ultimately show ?S  $\varphi$  by (metis  $\varphi'$   $c$   $c'$   $cc'$  conn.simps(5,6) wf-conn-helper-facts(5,6))
qed

```

9.2 Conjunctive Normal Form

definition *is-conj-with-TF* where *is-conj-with-TF* == *super-grouped-by COr CAnd*

lemma *or-in-and-only-conjunction-in-disj*:

```

  shows no-equiv  $\varphi \implies$  no-imp  $\varphi \implies$  simple-not  $\varphi \implies$  or-in-and-only  $\varphi \implies$  is-conj-with-TF  $\varphi$ 
  using c-in-c'-only-super-grouped-by
  unfolding is-conj-with-TF-def or-in-and-only-def c-in-c'-only-def
  by (simp add: c-in-c'-only-def c-in-c'-only-super-grouped-by)

```

definition *is-cnf* where

is-cnf $\varphi \equiv$ *is-conj-with-TF* $\varphi \wedge$ *no-T-F-except-top-level* φ

9.2.1 Full CNF transformation

The full CNF transformation consists simply in chaining all the transformation defined before.

definition *cnf-rew* where *cnf-rew* =
 (full (propo-rew-step elim-equiv)) OO
 (full (propo-rew-step elim-imp)) OO
 (full (propo-rew-step elimTB)) OO
 (full (propo-rew-step pushNeg)) OO
 (full (propo-rew-step pushDisj))

lemma *cnf-rew-consistent: preserves-un-sat* *cnf-rew*

```

  by (simp add: cnf-rew-def elimEquiv-lifted-consistant elim-imp-lifted-consistant elimTB-consistent
    preserves-un-sat-OO pushDisj-consistent pushNeg-lifted-consistant)

```

lemma *cnf-rew-is-cnf*: *cnf-rew* φ $\varphi' \implies$ *is-cnf* φ'

```

  apply (unfold cnf-rew-def OO-def)
  apply auto

```

proof –

```

  fix  $\varphi$   $\varphiEq$   $\varphiImp$   $\varphiTB$   $\varphiNeg$   $\varphiDisj$  :: 'v propo
  assume Eq: full (propo-rew-step elim-equiv)  $\varphi$   $\varphiEq$ 
  then have no-equiv: no-equiv  $\varphiEq$  using no-equiv-full-propo-rew-step-elim-equiv by blast

```

```

  assume Imp: full (propo-rew-step elim-imp)  $\varphiEq$   $\varphiImp$ 
  then have no-imp: no-imp  $\varphiImp$  using no-imp-full-propo-rew-step-elim-imp by blast
  have no-imp-inv: no-equiv  $\varphiImp$  using no-equiv Imp elim-imp-inv by blast

```

```

  assume TB: full (propo-rew-step elimTB)  $\varphiImp$   $\varphiTB$ 
  then have noTB: no-T-F-except-top-level  $\varphiTB$ 
    using no-imp-inv no-imp elimTB-full-propo-rew-step by blast
  have noTB-inv: no-equiv  $\varphiTB$  no-imp  $\varphiTB$  using elimTB-inv TB no-imp no-imp-inv by blast+

```

```

  assume Neg: full (propo-rew-step pushNeg)  $\varphiTB$   $\varphiNeg$ 
  then have noNeg: simple-not  $\varphiNeg$ 

```

using *noTB-inv noTB pushNeg-full-propo-rew-step* **by** *blast*
have *noNeg-inv: no-equiv φ Neg no-imp φ Neg no-T-F-except-top-level φ Neg*
using *pushNeg-inv Neg noTB noTB-inv* **by** *blast+*

assume *Disj: full (propo-rew-step pushDisj) φ Neg φ Disj*
then have *no-Disj: or-in-and-only φ Disj*
using *noNeg-inv noNeg pushDisj-full-propo-rew-step* **by** *blast*
have *noDisj-inv: no-equiv φ Disj no-imp φ Disj no-T-F-except-top-level φ Disj*
simple-not φ Disj
using *pushDisj-inv Disj noNeg noNeg-inv* **by** *blast+*

moreover have *is-conj-with-TF φ Disj*
using *or-in-and-only-conjunction-in-disj noDisj-inv no-Disj* **by** *blast*
ultimately show *is-cnff φ Disj unfolding is-cnff-def* **by** *blast*
qed

9.3 Disjunctive Normal Form

definition *is-disj-with-TF* **where** *is-disj-with-TF \equiv super-grouped-by CAnd COr*

lemma *and-in-or-only-conjunction-in-disj:*

shows *no-equiv $\varphi \implies$ no-imp $\varphi \implies$ simple-not $\varphi \implies$ and-in-or-only $\varphi \implies$ is-disj-with-TF φ*
using *c-in-c'-only-super-grouped-by*
unfolding *is-disj-with-TF-def and-in-or-only-def c-in-c'-only-def*
by *(simp add: c-in-c'-only-def c-in-c'-only-super-grouped-by)*

definition *is-dnf :: 'a propo \Rightarrow bool* **where**

is-dnf $\varphi \longleftrightarrow$ is-disj-with-TF $\varphi \wedge$ no-T-F-except-top-level φ

9.3.1 Full DNF transform

The full DNF transformation consists simply in chaining all the transformation defined before.

definition *dnf-rew* **where** *dnf-rew \equiv*
(full (propo-rew-step elim-equiv)) OO
(full (propo-rew-step elim-imp)) OO
(full (propo-rew-step elimTB)) OO
(full (propo-rew-step pushNeg)) OO
(full (propo-rew-step pushConj))

lemma *dnf-rew-consistent: preserves-un-sat dnf-rew*

by *(simp add: dnf-rew-def elimEquiv-lifted-consistant elim-imp-lifted-consistant elimTB-consistent*
preserves-un-sat-OO pushConj-consistent pushNeg-lifted-consistant)

theorem *dnf-transformation-correction:*

dnf-rew $\varphi \varphi' \implies$ is-dnf φ'
apply *(unfold dnf-rew-def OO-def)*
by *(meson and-in-or-only-conjunction-in-disj elimTB-full-propo-rew-step elimTB-inv(1,2)*
elim-imp-inv is-dnf-def no-equiv-full-propo-rew-step-elim-equiv
no-imp-full-propo-rew-step-elim-imp pushConj-full-propo-rew-step pushConj-inv(1-4)
pushNeg-full-propo-rew-step pushNeg-inv(1-3))

10 More aggressive simplifications: Removing true and false at the beginning

10.1 Transformation

We should remove FT and FF at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

inductive *elimTBFull* **where**

ElimTBFull1[simp]: *elimTBFull* (*FAnd* φ FT) φ |
ElimTBFull1'[simp]: *elimTBFull* (*FAnd* FT φ) φ |

ElimTBFull2[simp]: *elimTBFull* (*FAnd* φ FF) FF |
ElimTBFull2'[simp]: *elimTBFull* (*FAnd* FF φ) FF |

ElimTBFull3[simp]: *elimTBFull* (*FOr* φ FT) FT |
ElimTBFull3'[simp]: *elimTBFull* (*FOr* FT φ) FT |

ElimTBFull4[simp]: *elimTBFull* (*FOr* φ FF) φ |
ElimTBFull4'[simp]: *elimTBFull* (*FOr* FF φ) φ |

ElimTBFull5[simp]: *elimTBFull* (*FNot* FT) FF |
ElimTBFull5'[simp]: *elimTBFull* (*FNot* FF) FT |

ElimTBFull6-l[simp]: *elimTBFull* (*FImp* FT φ) φ |
ElimTBFull6-l'[simp]: *elimTBFull* (*FImp* FF φ) FT |
ElimTBFull6-r[simp]: *elimTBFull* (*FImp* φ FT) FT |
ElimTBFull6-r'[simp]: *elimTBFull* (*FImp* φ FF) (*FNot* φ) |

ElimTBFull7-l[simp]: *elimTBFull* (*FEq* FT φ) φ |
ElimTBFull7-l'[simp]: *elimTBFull* (*FEq* FF φ) (*FNot* φ) |
ElimTBFull7-r[simp]: *elimTBFull* (*FEq* φ FT) φ |
ElimTBFull7-r'[simp]: *elimTBFull* (*FEq* φ FF) (*FNot* φ) |

The transformation is still consistent.

lemma *elimTBFull-consistent*: *preserves-un-sat elimTBFull*

proof –

```
{
  fix  $\varphi \psi :: 'b \text{ propo}$ 
  have elimTBFull  $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
    by (induct-tac rule: elimTBFull.inducts, auto)
}
then show ?thesis using preserves-un-sat-def by auto
qed
```

Contrary to the theorem $\llbracket \text{no-equiv } ?\varphi; \text{no-imp } ?\varphi; ?\psi \preceq ?\varphi; \neg \text{no-}T\text{-}F\text{-symb-except-toplevel } ?\psi \rrbracket \implies \exists \psi'. \text{elimTB } ?\psi \psi'$, we do not need the assumption *no-equiv* φ and *no-imp* φ , since our transformation is more general.

lemma *no-T-F-symb-except-toplevel-step-exists'*:

fixes $\varphi :: 'v \text{ propo}$
shows $\psi \preceq \varphi \implies \neg \text{no-}T\text{-}F\text{-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTBFull } \psi \psi'$

proof (*induct* ψ rule: *propo-induct-arity*)

case (*nullary* φ')

then have *False* **using** *no-T-F-symb-except-toplevel-true no-T-F-symb-except-toplevel-false* **by** *auto*

then show *Ex* (*elimTBFull* φ') **by** *blast*

```

next
  case (unary  $\psi$ )
  then have  $\psi = FF \vee \psi = FT$  using no-T-F-symb-except-toplevel-not-decom by blast
  then show  $\text{Ex } (\text{elimTBFull } (F\text{Not } \psi))$  using ElimTBFull5 ElimTBFull5' by blast
next
  case (binary  $\varphi' \psi_1 \psi_2$ )
  then have  $\psi_1 = FT \vee \psi_2 = FT \vee \psi_1 = FF \vee \psi_2 = FF$ 
    by (metis binary-connectives-def conn.simps(5-8) insertI1 insert-commute
      no-T-F-symb-except-toplevel-bin-decom binary.hyps(3))
  then show  $\text{Ex } (\text{elimTBFull } \varphi')$  using elimTBFull.intros binary.hyps(3) by blast
qed

```

The same applies here. We do not need the assumption, but the deep link between $\neg \text{no-T-F-except-top-level } \varphi$ and the existence of a rewriting step, still exists.

```

lemma no-T-F-except-top-level-rew':
  fixes  $\varphi :: 'v \text{ propo}$ 
  assumes noTB:  $\neg \text{no-T-F-except-top-level } \varphi$ 
  shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTBFull } \psi \psi'$ 
proof -
  have test-symb-false-nullary:
     $\forall x. \text{no-T-F-symb-except-toplevel } (FF :: 'v \text{ propo}) \wedge \text{no-T-F-symb-except-toplevel } FT$ 
     $\wedge \text{no-T-F-symb-except-toplevel } (FVar (x :: 'v))$ 
  by auto
  moreover {
    fix  $c :: 'v \text{ connective}$  and  $l :: 'v \text{ propo list}$  and  $\psi :: 'v \text{ propo}$ 
    have  $H: \text{elimTBFull } (\text{conn } c \ l) \ \psi \implies \neg \text{no-T-F-symb-except-toplevel } (\text{conn } c \ l)$ 
      by (cases (conn c l) rule: elimTBFull.cases) auto
  }
  ultimately show ?thesis
    using no-test-symb-step-exists[of no-T-F-symb-except-toplevel  $\varphi$  elimTBFull] noTB
    no-T-F-symb-except-toplevel-step-exists' unfolding no-T-F-except-top-level-def by metis
qed

```

```

lemma elimTBFull-full-propo-rew-step:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elimTBFull)  $\varphi \psi$ 
  shows no-T-F-except-top-level  $\psi$ 
  using full-propo-rew-step-subformula no-T-F-except-top-level-rew' assms by fastforce

```

10.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

```

lemma propo-rew-step-ElimEquiv-no-T-F: propo-rew-step elim-equiv  $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$ 
proof (induct rule: propo-rew-step.induct)

```

```

  fix  $\varphi' :: 'v \text{ propo}$  and  $\psi' :: 'v \text{ propo}$ 
  assume a1: no-T-F  $\varphi'$ 
  assume a2: elim-equiv  $\varphi' \psi'$ 
  have  $\forall x_0 x_1. (\neg \text{elim-equiv } (x_1 :: 'v \text{ propo}) \ x_0 \vee (\exists v_2 v_3 v_4 v_5 v_6 v_7. x_1 = FEq \ v_2 \ v_3$ 
     $\wedge x_0 = FAnd (FImp \ v_4 \ v_5) (FImp \ v_6 \ v_7) \wedge v_2 = v_4 \wedge v_4 = v_7 \wedge v_3 = v_5 \wedge v_3 = v_6))$ 
     $= (\neg \text{elim-equiv } x_1 \ x_0 \vee (\exists v_2 v_3 v_4 v_5 v_6 v_7. x_1 = FEq \ v_2 \ v_3$ 

```

```

     $\wedge x0 = FAnd (FImp v4 v5) (FImp v6 v7) \wedge v2 = v4 \wedge v4 = v7 \wedge v3 = v5 \wedge v3 = v6))$ 
  by meson
then have  $\forall p pa. \neg elim-equiv (p :: 'v propo) pa \vee (\exists pb pc pd pe pf pg. p = FEq pb pc$ 
 $\wedge pa = FAnd (FImp pd pe) (FImp pf pg) \wedge pb = pd \wedge pd = pg \wedge pc = pe \wedge pc = pf)$ 
  using elim-equiv.cases by force
then show no-T-F  $\psi'$  using a1 a2 by fastforce
next
fix  $\varphi \varphi' :: 'v propo$  and  $\xi \xi' :: 'v propo list$  and  $c :: 'v connective$ 
assume rel: propo-rew-step elim-equiv  $\varphi \varphi'$ 
and IH: no-T-F  $\varphi \implies$  no-T-F  $\varphi'$ 
and corr: wf-conn  $c (\xi @ \varphi \# \xi')$ 
and no-T-F: no-T-F  $(conn c (\xi @ \varphi \# \xi'))$ 
{
  assume  $c: c = CNot$ 
  then have empty:  $\xi = [] \xi' = []$  using corr by auto
  then have no-T-F  $\varphi$  using no-T-F  $c$  no-T-F-decomp-not by auto
  then have no-T-F  $(conn c (\xi @ \varphi' \# \xi'))$  using c empty no-T-F-comp-not IH by auto
}
moreover {
  assume  $c: c \in binary-connectives$ 
  obtain  $a b$  where  $ab: \xi @ \varphi \# \xi' = [a, b]$ 
  using corr c list-length2-decomp wf-conn-bin-list-length by metis
  then have  $\varphi: \varphi = a \vee \varphi = b$ 
  by (metis append.simps(1) append-is-Nil-conv list.distinct(1) list.sel(3) nth-Cons-0
  tl-append2)
  have  $\zeta: \forall \zeta \in set (\xi @ \varphi \# \xi'). no-T-F \zeta$ 
  using no-T-F unfolding no-T-F-def using corr all-subformula-st-decomp by blast

  then have  $\varphi': no-T-F \varphi'$  using ab IH  $\varphi$  by auto
  have  $l': \xi @ \varphi' \# \xi' = [\varphi', b] \vee \xi @ \varphi' \# \xi' = [a, \varphi']$ 
  by (metis (no-types, hide-lams) ab append-Cons append-Nil append-Nil2 butlast.simps(2)
  butlast-append list.distinct(1) list.sel(3))
  then have  $\forall \zeta \in set (\xi @ \varphi' \# \xi'). no-T-F \zeta$  using  $\zeta \varphi' ab$  by fastforce
  moreover
  have  $\forall \zeta \in set (\xi @ \varphi \# \xi'). \zeta \ne FT \wedge \zeta \ne FF$ 
  using  $\zeta$  corr no-T-F no-T-F-except-top-level-false no-T-F-no-T-F-except-top-level by blast
  then have no-T-F-symb  $(conn c (\xi @ \varphi' \# \xi'))$ 
  by (metis  $\varphi' l'$  ab all-subformula-st-test-symb-true-phi c list.distinct(1)
  list.set-intros(1,2) no-T-F-symb-except-toplevel-bin-decom
  no-T-F-symb-except-toplevel-no-T-F-symb no-T-F-symb-false(1,2) no-T-F-def wf-conn-binary
  wf-conn-list(1,2))
  ultimately have no-T-F  $(conn c (\xi @ \varphi' \# \xi'))$ 
  by (metis  $l'$  all-subformula-st-decomp-imp c no-T-F-def wf-conn-binary)
}
moreover {
  fix  $x$ 
  assume  $c = CVar x \vee c = CF \vee c = CT$ 
  then have False using corr by auto
  then have no-T-F  $(conn c (\xi @ \varphi' \# \xi'))$  by auto
}
ultimately show no-T-F  $(conn c (\xi @ \varphi' \# \xi'))$  using corr wf-conn.cases by metis
qed

```

lemma elim-equiv-inv':
 fixes $\varphi \psi :: 'v propo$


```

assumes full (propo-rew-step elim-equiv)  $\varphi \psi$  and no-T-F-except-top-level  $\varphi$ 
shows no-T-F-except-top-level  $\psi$ 
proof -
{
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have propo-rew-step elim-equiv  $\varphi \psi \implies$  no-T-F-except-top-level  $\varphi$ 
     $\implies$  no-T-F-except-top-level  $\psi$ 
  proof -
    assume rel: propo-rew-step elim-equiv  $\varphi \psi$ 
    and no: no-T-F-except-top-level  $\varphi$ 
    {
      assume  $\varphi = FT \vee \varphi = FF$ 
      from rel this have False
      apply (induct rule: propo-rew-step.induct, auto simp: wf-conn-list(1,2))
      using elim-equiv.simps by blast+
      then have no-T-F-except-top-level  $\psi$  by blast
    }
    moreover {
      assume  $\varphi \neq FT \wedge \varphi \neq FF$ 
      then have no-T-F  $\varphi$ 
        by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
      then have no-T-F  $\psi$  using propo-rew-step-ElimEquiv-no-T-F rel by blast
      then have no-T-F-except-top-level  $\psi$  by (simp add: no-T-F-no-T-F-except-top-level)
    }
    ultimately show no-T-F-except-top-level  $\psi$  by metis
  qed
}
moreover {
  fix  $c :: 'v \text{ connective}$  and  $\xi \xi' :: 'v \text{ propo list}$  and  $\zeta \zeta' :: 'v \text{ propo}$ 
  assume rel: propo-rew-step elim-equiv  $\zeta \zeta'$ 
  and incl:  $\zeta \preceq \varphi$ 
  and corr: wf-conn  $c (\xi @ \zeta \# \xi')$ 
  and no-T-F: no-T-F-symb-except-toplevel (conn  $c (\xi @ \zeta \# \xi')$ )
  and n: no-T-F-symb-except-toplevel  $\zeta'$ 
  have no-T-F-symb-except-toplevel (conn  $c (\xi @ \zeta' \# \xi')$ )
  proof
    have p: no-T-F-symb (conn  $c (\xi @ \zeta \# \xi')$ )
      using corr wf-conn-list(1) wf-conn-list(2) no-T-F-symb-except-toplevel-no-T-F-symb no-T-F
      by blast
    have l:  $\forall \varphi \in \text{set } (\xi @ \zeta \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$ 
      using corr wf-conn-no-T-F-symb-iff p by blast
    from rel incl have  $\zeta' \neq FT \wedge \zeta' \neq FF$ 
    apply (induction  $\zeta \zeta'$  rule: propo-rew-step.induct)
    apply (cases rule: elim-equiv.cases, auto simp: elim-equiv.simps)
    by (metis append-is-Nil-conv list.distinct wf-conn-list(1,2) wf-conn-no-arity-change
      wf-conn-no-arity-change-helper)+
    then have  $\forall \varphi \in \text{set } (\xi @ \zeta' \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$  using l by auto
    moreover have  $c \neq CT \wedge c \neq CF$  using corr by auto
    ultimately show no-T-F-symb (conn  $c (\xi @ \zeta' \# \xi')$ )
      by (metis corr wf-conn-no-arity-change wf-conn-no-arity-change-helper no-T-F-symb-comp)
  qed
}
ultimately show no-T-F-except-top-level  $\psi$ 
  using full-propo-rew-step-inv-stay-with-inc[of elim-equiv no-T-F-symb-except-toplevel  $\varphi$ ]
  assms subformula-refl unfolding no-T-F-except-top-level-def by metis

```

qed

```

lemma propo-rew-step-ElimImp-no-T-F: propo-rew-step elim-imp  $\varphi \psi \implies$  no-T-F  $\varphi \implies$  no-T-F  $\psi$ 
proof (induct rule: propo-rew-step.induct)
  case (global-rel  $\varphi' \psi'$ )
  then show no-T-F  $\psi'$ 
    using elim-imp.cases no-T-F-comp-not no-T-F-decomp(1,2)
    by (metis no-T-F-comp-expanded-explicit(2))
next
case (propo-rew-one-step-lift  $\varphi \varphi' c \xi \xi'$ )
note rel = this(1) and IH = this(2) and corr = this(3) and no-T-F = this(4)
{
  assume c:  $c = CNot$ 
  then have empty:  $\xi = [] \ \xi' = []$  using corr by auto
  then have no-T-F  $\varphi$  using no-T-F c no-T-F-decomp-not by auto
  then have no-T-F (conn c ( $\xi @ \varphi' \# \xi'$ )) using c empty no-T-F-comp-not IH by auto
}
moreover {
  assume c:  $c \in \text{binary-connectives}$ 
  then obtain a b where ab:  $\xi @ \varphi \# \xi' = [a, b]$ 
    using corr list-length2-decomp wf-conn-bin-list-length by metis
  then have  $\varphi: \varphi = a \vee \varphi = b$ 
    by (metis append-self-conv2 wf-conn-list-decomp(4) wf-conn-unary list.discI list.sel(3)
      nth-Cons-0 tl-append2)
  have  $\zeta: \forall \zeta \in \text{set } (\xi @ \varphi \# \xi'). \text{no-T-F } \zeta$  using ab c propo-rew-one-step-lift.prem by auto

  then have  $\varphi': \text{no-T-F } \varphi'$ 
    using ab IH  $\varphi$  corr no-T-F no-T-F-def all-subformula-st-decomp-explicit by auto
  have  $\chi: \xi @ \varphi' \# \xi' = [\varphi', b] \vee \xi @ \varphi' \# \xi' = [a, \varphi']$ 
    by (metis (no-types, hide-lams) ab append-Cons append-Nil append-Nil2 butlast.simps(2)
      butlast-append list.distinct(1) list.sel(3))
  then have  $\forall \zeta \in \text{set } (\xi @ \varphi' \# \xi'). \text{no-T-F } \zeta$  using  $\zeta \varphi' ab$  by fastforce
  moreover
    have no-T-F (last ( $\xi @ \varphi' \# \xi'$ )) by (simp add: calculation)
    then have no-T-F-symb (conn c ( $\xi @ \varphi' \# \xi'$ ))
      by (metis  $\chi \varphi' \zeta ab$  all-subformula-st-test-symb-true-phi c last.simps list.distinct(1)
        list.set-intros(1) no-T-F-bin-decomp no-T-F-def)
    ultimately have no-T-F (conn c ( $\xi @ \varphi' \# \xi'$ )) using c  $\chi$  by fastforce
}
moreover {
  fix x
  assume  $c = CVar x \vee c = CF \vee c = CT$ 
  then have False using corr by auto
  then have no-T-F (conn c ( $\xi @ \varphi' \# \xi'$ )) by auto
}
ultimately show no-T-F (conn c ( $\xi @ \varphi' \# \xi'$ )) using corr wf-conn.cases by blast
qed

```

```

lemma elim-imp-inv':
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elim-imp)  $\varphi \psi$  and no-T-F-except-top-level  $\varphi$ 
  shows no-T-F-except-top-level  $\psi$ 
proof -

```

```

{
  {
    fix  $\varphi \psi :: 'v \text{ propo}$ 
    have  $H: \text{elim-imp } \varphi \psi \implies \text{no-T-F-except-top-level } \varphi \implies \text{no-T-F-except-top-level } \psi$ 
      by (induct  $\varphi \psi$  rule: elim-imp.induct, auto)
  } note  $H = \text{this}$ 
  fix  $\varphi \psi :: 'v \text{ propo}$ 
  have  $\text{propo-rew-step elim-imp } \varphi \psi \implies \text{no-T-F-except-top-level } \varphi \implies \text{no-T-F-except-top-level } \psi$ 
  proof -
    assume  $\text{rel: propo-rew-step elim-imp } \varphi \psi$ 
    and  $\text{no: no-T-F-except-top-level } \varphi$ 
    {
      assume  $\varphi = FT \vee \varphi = FF$ 
      from  $\text{rel this}$  have False
      apply (induct rule: propo-rew-step.induct)
      by (cases rule: elim-imp.cases, auto simp: wf-conn-list(1,2))
      then have  $\text{no-T-F-except-top-level } \psi$  by blast
    }
    moreover {
      assume  $\varphi \neq FT \wedge \varphi \neq FF$ 
      then have  $\text{no-T-F } \varphi$ 
        by (metis no no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb)
      then have  $\text{no-T-F } \psi$ 
        using  $\text{rel propo-rew-step-ElimImp-no-T-F}$  by blast
      then have  $\text{no-T-F-except-top-level } \psi$  by (simp add: no-T-F-no-T-F-except-top-level)
    }
    ultimately show  $\text{no-T-F-except-top-level } \psi$  by metis
  qed
}
moreover {
  fix  $c :: 'v \text{ connective}$  and  $\xi \xi' :: 'v \text{ propo list}$  and  $\zeta \zeta' :: 'v \text{ propo}$ 
  assume  $\text{rel: propo-rew-step elim-imp } \zeta \zeta'$ 
  and  $\text{incl: } \zeta \preceq \varphi$ 
  and  $\text{corr: wf-conn } c (\xi @ \zeta \# \xi')$ 
  and  $\text{no-T-F: no-T-F-symb-except-toplevel (conn } c (\xi @ \zeta \# \xi'))$ 
  and  $n: \text{no-T-F-symb-except-toplevel } \zeta'$ 
  have  $\text{no-T-F-symb-except-toplevel (conn } c (\xi @ \zeta' \# \xi'))$ 
  proof
    have  $p: \text{no-T-F-symb (conn } c (\xi @ \zeta \# \xi'))$ 
      by (simp add: corr no-T-F no-T-F-symb-except-toplevel-no-T-F-symb wf-conn-list(1,2))

    have  $l: \forall \varphi \in \text{set } (\xi @ \zeta \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$ 
      using  $\text{corr wf-conn-no-T-F-symb-iff } p$  by blast
    from  $\text{rel incl}$  have  $\zeta' \neq FT \wedge \zeta' \neq FF$ 
    apply (induction  $\zeta \zeta'$  rule: propo-rew-step.induct)
    apply (cases rule: elim-imp.cases, auto)
    using wf-conn-list(1,2) wf-conn-no-arity-change wf-conn-no-arity-change-helper
    by (metis append-is-Nil-conv list.distinct(1))+
    then have  $\forall \varphi \in \text{set } (\xi @ \zeta' \# \xi'). \varphi \neq FT \wedge \varphi \neq FF$  using  $l$  by auto
    moreover have  $c \neq CT \wedge c \neq CF$  using  $\text{corr}$  by auto
    ultimately show  $\text{no-T-F-symb (conn } c (\xi @ \zeta' \# \xi'))$ 
      using  $\text{corr wf-conn-no-arity-change no-T-F-symb-comp}$ 
      by (metis wf-conn-no-arity-change-helper)
  qed
}

```

ultimately show *no-T-F-except-top-level* ψ
using *full-propo-rew-step-inv-stay-with-inc*[of *elim-imp no-T-F-symb-except-toplevel* φ]
assms subformula-refl **unfolding** *no-T-F-except-top-level-def* **by** *metis*
qed

10.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

definition *dnf-rew'* :: '*a propo* \Rightarrow '*a propo* \Rightarrow *bool* **where**

dnf-rew' =
 (full (propo-rew-step *elimTBFull*)) OO
 (full (propo-rew-step *elim-equiv*)) OO
 (full (propo-rew-step *elim-imp*)) OO
 (full (propo-rew-step *pushNeg*)) OO
 (full (propo-rew-step *pushConj*))

lemma *dnf-rew'-consistent: preserves-un-sat dnf-rew'*

by (*simp add: dnf-rew'-def elimEqv-lifted-consistant elim-imp-lifted-consistant*
elimTBFull-consistent preserves-un-sat-OO pushConj-consistent pushNeg-lifted-consistant)

theorem *cnf-transformation-correction:*

dnf-rew' φ φ' \Longrightarrow is-dnf φ'

unfolding *dnf-rew'-def OO-def*

by (*meson and-in-or-only-conjunction-in-disj elimTBFull-full-propo-rew-step elim-equiv-inv'*
elim-imp-inv elim-imp-inv' is-dnf-def no-equiv-full-propo-rew-step-elim-equiv
no-imp-full-propo-rew-step-elim-imp pushConj-full-propo-rew-step pushConj-inv(1-4)
pushNeg-full-propo-rew-step pushNeg-inv(1-3)))

Given all the lemmas before the CNF transformation is easy to prove:

definition *cnf-rew'* :: '*a propo* \Rightarrow '*a propo* \Rightarrow *bool* **where**

cnf-rew' =
 (full (propo-rew-step *elimTBFull*)) OO
 (full (propo-rew-step *elim-equiv*)) OO
 (full (propo-rew-step *elim-imp*)) OO
 (full (propo-rew-step *pushNeg*)) OO
 (full (propo-rew-step *pushDisj*))

lemma *cnf-rew'-consistent: preserves-un-sat cnf-rew'*

by (*simp add: cnf-rew'-def elimEqv-lifted-consistant elim-imp-lifted-consistant*
elimTBFull-consistent preserves-un-sat-OO pushDisj-consistent pushNeg-lifted-consistant)

theorem *cnf'-transformation-correction:*

cnf-rew' φ φ' \Longrightarrow is-cnf φ'

unfolding *cnf-rew'-def OO-def*

by (*meson elimTBFull-full-propo-rew-step elim-equiv-inv' elim-imp-inv elim-imp-inv' is-cnf-def*
no-equiv-full-propo-rew-step-elim-equiv no-imp-full-propo-rew-step-elim-imp
or-in-and-only-conjunction-in-disj pushDisj-full-propo-rew-step pushDisj-inv(1-4)
pushNeg-full-propo-rew-step pushNeg-inv(1) pushNeg-inv(2) pushNeg-inv(3)))

end

11 Partial Clausal Logic

theory *Partial-Clausal-Logic*

```
imports ../lib/Clausal-Logic List-More
begin
```

11.1 Clauses

Clauses are (finite) multisets of literals.

```
type-synonym 'a clause = 'a literal multiset
```

```
type-synonym 'v clauses = 'v clause set
```

11.2 Partial Interpretations

```
type-synonym 'a interp = 'a literal set
```

```
definition true-lit :: 'a interp  $\Rightarrow$  'a literal  $\Rightarrow$  bool (infix  $\models_l$  50) where
  I  $\models_l$  L  $\longleftrightarrow$  L  $\in$  I
```

```
declare true-lit-def[simp]
```

11.2.1 Consistency

```
definition consistent-interp :: 'a literal set  $\Rightarrow$  bool where
  consistent-interp I = ( $\forall$  L.  $\neg$ (L  $\in$  I  $\wedge$   $\neg$  L  $\in$  I))
```

```
lemma consistent-interp-empty[simp]:
  consistent-interp {} unfolding consistent-interp-def by auto
```

```
lemma consistent-interp-single[simp]:
  consistent-interp {L} unfolding consistent-interp-def by auto
```

```
lemma consistent-interp-subset:
  assumes
    A  $\subseteq$  B and
    consistent-interp B
  shows consistent-interp A
  using assms unfolding consistent-interp-def by auto
```

```
lemma consistent-interp-change-insert:
  a  $\notin$  A  $\Longrightarrow$   $\neg$ a  $\notin$  A  $\Longrightarrow$  consistent-interp (insert ( $\neg$ a) A)  $\longleftrightarrow$  consistent-interp (insert a A)
  unfolding consistent-interp-def by fastforce
```

```
lemma consistent-interp-insert-pos[simp]:
  a  $\notin$  A  $\Longrightarrow$  consistent-interp (insert a A)  $\longleftrightarrow$  consistent-interp A  $\wedge$   $\neg$ a  $\notin$  A
  unfolding consistent-interp-def by auto
```

```
lemma consistent-interp-insert-not-in:
  consistent-interp A  $\Longrightarrow$  a  $\notin$  A  $\Longrightarrow$   $\neg$ a  $\notin$  A  $\Longrightarrow$  consistent-interp (insert a A)
  unfolding consistent-interp-def by auto
```

11.2.2 Atoms

```
definition atms-of-ms :: 'a literal multiset set  $\Rightarrow$  'a set where
  atms-of-ms  $\psi$ s =  $\bigcup$  (atms-of '  $\psi$ s)
```

```
lemma atms-of-mmltiset[simp]:
  atms-of (mset a) = atm-of ' set a
```

by (*induct a*) *auto*

lemma *atms-of-ms-mset-unfold*:

atms-of-ms (*mset* ‘ *b*) = ($\bigcup_{x \in b} \text{atm-of } \text{'set } x$)

unfolding *atms-of-ms-def* **by** *simp*

definition *atms-of-s* :: ‘*a* literal set \Rightarrow ‘*a* set **where**

atms-of-s *C* = *atm-of* ‘ *C*

lemma *atms-of-ms-empty-set*[*simp*]:

atms-of-ms {} = {}

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-mempty*[*simp*]:

atms-of-ms {{#}} = {}

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-mono*:

$A \subseteq B \Rightarrow \text{atms-of-ms } A \subseteq \text{atms-of-ms } B$

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-finite*[*simp*]:

finite $\psi s \Rightarrow \text{finite } (\text{atms-of-ms } \psi s)$

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-union*[*simp*]:

atms-of-ms ($\psi s \cup \chi s$) = *atms-of-ms* $\psi s \cup \text{atms-of-ms } \chi s$

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-insert*[*simp*]:

atms-of-ms (*insert* ψs χs) = *atms-of* $\psi s \cup \text{atms-of-ms } \chi s$

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-singleton*[*simp*]: *atms-of-ms* {*L*} = *atms-of* *L*

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-atms-of-ms-mono*[*simp*]:

$A \in \psi \Rightarrow \text{atms-of } A \subseteq \text{atms-of-ms } \psi$

unfolding *atms-of-ms-def* **by** *fastforce*

lemma *atms-of-ms-single-set-mset-atms-of*[*simp*]:

atms-of-ms (*single* ‘ *set-mset* *B*) = *atms-of* *B*

unfolding *atms-of-ms-def* *atms-of-def* **by** *auto*

lemma *atms-of-ms-remove-incl*:

shows *atms-of-ms* (*Set.remove* *a* ψ) $\subseteq \text{atms-of-ms } \psi$

unfolding *atms-of-ms-def* **by** *auto*

lemma *atms-of-ms-remove-subset*:

atms-of-ms ($\varphi - \psi$) $\subseteq \text{atms-of-ms } \varphi$

unfolding *atms-of-ms-def* **by** *auto*

lemma *finite-atms-of-ms-remove-subset*[*simp*]:

finite (*atms-of-ms* *A*) $\Rightarrow \text{finite } (\text{atms-of-ms } (A - C))$

using *atms-of-ms-remove-subset*[*of A C*] *finite-subset* **by** *blast*

```

lemma atms-of-ms-empty-iff:
  atms-of-ms  $A = \{\}$   $\longleftrightarrow A = \{\{\#\}\} \vee A = \{\}$ 
apply (rule iffI)
apply (metis (no-types, lifting) atms-empty-iff-empty atms-of-atms-of-ms-mono insert-absorb
  singleton-iff singleton-insert-inj-eq' subsetI subset-empty)
apply auto[]
done

lemma in-implies-atm-of-on-atms-of-ms:
assumes  $L \in \# C$  and  $C \in N$ 
shows atm-of  $L \in \text{atms-of-ms } N$ 
using atms-of-atms-of-ms-mono[of C N] assms by (simp add: atm-of-lit-in-atms-of subset-iff)

lemma in-plus-implies-atm-of-on-atms-of-ms:
assumes  $C + \{\#L\} \in N$ 
shows atm-of  $L \in \text{atms-of-ms } N$ 
using in-implies-atm-of-on-atms-of-ms[of - C + \{\#L\}] assms by auto

lemma in-m-in-literals:
assumes  $\{\#A\} + D \in \psi$ 
shows atm-of  $A \in \text{atms-of-ms } \psi$ 
using assms by (auto dest: atms-of-atms-of-ms-mono)

lemma atms-of-s-union[simp]:
atms-of-s ( $Ia \cup Ib$ ) = atms-of-s  $Ia \cup \text{atms-of-s } Ib$ 
unfolding atms-of-s-def by auto

lemma atms-of-s-single[simp]:
atms-of-s  $\{L\} = \{\text{atm-of } L\}$ 
unfolding atms-of-s-def by auto

lemma atms-of-s-insert[simp]:
atms-of-s (insert  $L Ib$ ) =  $\{\text{atm-of } L\} \cup \text{atms-of-s } Ib$ 
unfolding atms-of-s-def by auto

lemma in-atms-of-s-decomp[iff]:
 $P \in \text{atms-of-s } I \longleftrightarrow (\text{Pos } P \in I \vee \text{Neg } P \in I)$  (is  $?P \longleftrightarrow ?Q$ )
proof
assume  $?P$ 
then show  $?Q$  unfolding atms-of-s-def by (metis image-iff literal.exhaust-sel)
next
assume  $?Q$ 
then show  $?P$  unfolding atms-of-s-def by force
qed

lemma atm-of-in-atm-of-set-in-uminus:
atm-of  $L' \in \text{atm-of } 'B \implies L' \in B \vee - L' \in B$ 
using atms-of-s-def by (cases L') fastforce+

```

11.2.3 Totality

definition *total-over-set* :: $'a \text{ interp} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
total-over-set $I S = (\forall l \in S. \text{Pos } l \in I \vee \text{Neg } l \in I)$

definition *total-over-m* :: $'a \text{ literal set} \Rightarrow 'a \text{ clause set} \Rightarrow \text{bool}$ **where**

$total-over-m\ I\ \psi s = total-over-set\ I\ (atms-of-ms\ \psi s)$

lemma *total-over-set-empty[simp]:*
 $total-over-set\ I\ \{\}$
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-m-empty[simp]:*
 $total-over-m\ I\ \{\}$
unfolding *total-over-m-def* **by** *auto*

lemma *total-over-set-single[iff]:*
 $total-over-set\ I\ \{L\} \longleftrightarrow (Pos\ L \in I \vee Neg\ L \in I)$
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-set-insert[iff]:*
 $total-over-set\ I\ (insert\ L\ Ls) \longleftrightarrow ((Pos\ L \in I \vee Neg\ L \in I) \wedge total-over-set\ I\ Ls)$
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-set-union[iff]:*
 $total-over-set\ I\ (Ls \cup Ls') \longleftrightarrow (total-over-set\ I\ Ls \wedge total-over-set\ I\ Ls')$
unfolding *total-over-set-def* **by** *auto*

lemma *total-over-m-subset:*
 $A \subseteq B \implies total-over-m\ I\ B \implies total-over-m\ I\ A$
using *atms-of-ms-mono[of A]* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

lemma *total-over-m-sum[iff]:*
shows $total-over-m\ I\ \{C + D\} \longleftrightarrow (total-over-m\ I\ \{C\} \wedge total-over-m\ I\ \{D\})$
using *assms* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

lemma *total-over-m-union[iff]:*
 $total-over-m\ I\ (A \cup B) \longleftrightarrow (total-over-m\ I\ A \wedge total-over-m\ I\ B)$
unfolding *total-over-m-def total-over-set-def* **by** *auto*

lemma *total-over-m-insert[iff]:*
 $total-over-m\ I\ (insert\ a\ A) \longleftrightarrow (total-over-set\ I\ (atms-of\ a) \wedge total-over-m\ I\ A)$
unfolding *total-over-m-def total-over-set-def* **by** *fastforce*

lemma *total-over-m-extension:*
fixes $I :: 'v\ literal\ set$ **and** $A :: 'v\ clauses$
assumes *total: total-over-m I A*
shows $\exists I'. total-over-m\ (I \cup I')\ (A \cup B)$
 $\wedge (\forall x \in I'. atm-of\ x \in atms-of-ms\ B \wedge atm-of\ x \notin atms-of-ms\ A)$

proof –
let $?I' = \{Pos\ v \mid v. v \in atms-of-ms\ B \wedge v \notin atms-of-ms\ A\}$
have $(\forall x \in ?I'. atm-of\ x \in atms-of-ms\ B \wedge atm-of\ x \notin atms-of-ms\ A)$ **by** *auto*
moreover have $total-over-m\ (I \cup ?I')\ (A \cup B)$
using *total* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*
ultimately show *?thesis* **by** *blast*

qed

lemma *total-over-m-consistent-extension:*
fixes $I :: 'v\ literal\ set$ **and** $A :: 'v\ clauses$
assumes *total: total-over-m I A*
and *cons: consistent-interp I*

shows $\exists I'. \text{total-over-m } (I \cup I') (A \cup B)$
 $\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A) \wedge \text{consistent-interp } (I \cup I')$
proof –
let $?I' = \{\text{Pos } v \mid v. v \in \text{atms-of-ms } B \wedge v \notin \text{atms-of-ms } A \wedge \text{Pos } v \notin I \wedge \text{Neg } v \notin I\}$
have $(\forall x \in ?I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A)$ **by** *auto*
moreover have $\text{total-over-m } (I \cup ?I') (A \cup B)$
using *total unfolding total-over-m-def total-over-set-def* **by** *auto*
moreover have $\text{consistent-interp } (I \cup ?I')$
using *cons unfolding consistent-interp-def* **by** $(\text{intro allI}) (\text{rename-tac } L, \text{case-tac } L, \text{auto})$
ultimately show *?thesis* **by** *blast*
qed

lemma *total-over-set-atms-of-m[simp]*:
 $\text{total-over-set } Ia (\text{atms-of-s } Ia)$
unfolding *total-over-set-def atms-of-s-def* **by** $(\text{metis image-iff literal.exhaust-sel})$

lemma *total-over-set-literal-defined*:
assumes $\{\#A\# \} + D \in \psi_s$
and $\text{total-over-set } I (\text{atms-of-ms } \psi_s)$
shows $A \in I \vee -A \in I$
using *assms unfolding total-over-set-def* **by** $(\text{metis (no-types) Neg-atm-of-iff in-m-in-literals literal.collapse(1) uminus-Neg uminus-Pos})$

lemma *tot-over-m-remove*:
assumes $\text{total-over-m } (I \cup \{L\}) \{\psi\}$
and $L: \neg L \in \# \psi \neg L \notin \# \psi$
shows $\text{total-over-m } I \{\psi\}$
unfolding *total-over-m-def total-over-set-def*
proof
fix l
assume $l: l \in \text{atms-of-ms } \{\psi\}$
then have $\text{Pos } l \in I \vee \text{Neg } l \in I \vee l = \text{atm-of } L$
using *assms unfolding total-over-m-def total-over-set-def* **by** *auto*
moreover have $\text{atm-of } L \notin \text{atms-of-ms } \{\psi\}$
proof *(rule ccontr)*
assume $\neg ?thesis$
then have $\text{atm-of } L \in \text{atms-of } \psi$ **by** *auto*
then have $\text{Pos } (\text{atm-of } L) \in \# \psi \vee \text{Neg } (\text{atm-of } L) \in \# \psi$
using *atm-imp-pos-or-neg-lit* **by** *metis*
then have $L \in \# \psi \vee -L \in \# \psi$ **by** $(\text{cases } L) \text{ auto}$
then show *False* **using** L **by** *auto*
qed
ultimately show $\text{Pos } l \in I \vee \text{Neg } l \in I$ **using** l **by** *metis*
qed

lemma *total-union*:
assumes $\text{total-over-m } I \psi$
shows $\text{total-over-m } (I \cup I') \psi$
using *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

lemma *total-union-2*:
assumes $\text{total-over-m } I \psi$
and $\text{total-over-m } I' \psi'$
shows $\text{total-over-m } (I \cup I') (\psi \cup \psi')$
using *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

11.2.4 Interpretations

definition *true-cls* :: 'a interp \Rightarrow 'a clause \Rightarrow bool (infix \models 50) **where**
 $I \models C \longleftrightarrow (\exists L \in \# C. I \models_l L)$

lemma *true-cls-empty*[*iff*]: $\neg I \models \{\#\}$
unfolding *true-cls-def* **by** *auto*

lemma *true-cls-singleton*[*iff*]: $I \models \{\#L\# \} \longleftrightarrow I \models_l L$
unfolding *true-cls-def* **by** (auto *split:if-split-asm*)

lemma *true-cls-union*[*iff*]: $I \models C + D \longleftrightarrow I \models C \vee I \models D$
unfolding *true-cls-def* **by** *auto*

lemma *true-cls-mono-set-mset*: $\text{set-mset } C \subseteq \text{set-mset } D \Longrightarrow I \models C \Longrightarrow I \models D$
unfolding *true-cls-def* *subset-eq* *Bex-def* **by** *metis*

lemma *true-cls-mono-leD*[*dest*]: $A \subseteq \# B \Longrightarrow I \models A \Longrightarrow I \models B$
unfolding *true-cls-def* **by** *auto*

lemma
assumes $I \models \psi$
shows *true-cls-union-increase*[*simp*]: $I \cup I' \models \psi$
and *true-cls-union-increase'*[*simp*]: $I' \cup I \models \psi$
using *assms* **unfolding** *true-cls-def* **by** *auto*

lemma *true-cls-mono-set-mset-l*:
assumes $A \models \psi$
and $A \subseteq B$
shows $B \models \psi$
using *assms* **unfolding** *true-cls-def* **by** *auto*

lemma *true-cls-replicate-mset*[*iff*]: $I \models \text{replicate-mset } n L \longleftrightarrow n \neq 0 \wedge I \models_l L$
by (*induct* n) *auto*

lemma *true-cls-empty-entails*[*iff*]: $\neg \{\} \models N$
by (auto *simp* *add: true-cls-def*)

lemma *true-cls-not-in-remove*:
assumes $L \notin \# \chi$
and $I \cup \{L\} \models \chi$
shows $I \models \chi$
using *assms* **unfolding** *true-cls-def* **by** *auto*

definition *true-clss* :: 'a interp \Rightarrow 'a clauses \Rightarrow bool (infix \models_s 50) **where**
 $I \models_s CC \longleftrightarrow (\forall C \in CC. I \models C)$

lemma *true-clss-empty*[*simp*]: $I \models_s \{\}$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-singleton*[*iff*]: $I \models_s \{C\} \longleftrightarrow I \models C$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-empty-entails-empty*[*iff*]: $\{\} \models_s N \longleftrightarrow N = \{\}$
unfolding *true-clss-def* **by** (auto *simp* *add: true-cls-def*)

lemma *true-cls-insert-l* [simp]:
 $M \models A \implies \text{insert } L \ M \models A$
unfolding *true-cls-def* **by** *auto*

lemma *true-clss-union*[iff]: $I \models_s CC \cup DD \longleftrightarrow I \models_s CC \wedge I \models_s DD$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-insert*[iff]: $I \models_s \text{insert } C \ DD \longleftrightarrow I \models C \wedge I \models_s DD$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-mono*: $DD \subseteq CC \implies I \models_s CC \implies I \models_s DD$
unfolding *true-clss-def* **by** *blast*

lemma *true-clss-union-increase*[simp]:
assumes $I \models_s \psi$
shows $I \cup I' \models_s \psi$
using *assms* **unfolding** *true-clss-def* **by** *auto*

lemma *true-clss-union-increase'*[simp]:
assumes $I' \models_s \psi$
shows $I \cup I' \models_s \psi$
using *assms* **by** (*auto simp add: true-clss-def*)

lemma *true-clss-commute-l*:
 $(I \cup I' \models_s \psi) \longleftrightarrow (I' \cup I \models_s \psi)$
by (*simp add: Un-commute*)

lemma *model-remove*[simp]: $I \models_s N \implies I \models_s \text{Set.remove } a \ N$
by (*simp add: true-clss-def*)

lemma *model-remove-minus*[simp]: $I \models_s N \implies I \models_s N - A$
by (*simp add: true-clss-def*)

lemma *notin-vars-union-true-cls-true-cls*:
assumes $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$
and $\text{atms-of } L \subseteq \text{atms-of-ms } A$
and $I \cup I' \models L$
shows $I \models L$
using *assms* **unfolding** *true-cls-def true-lit-def Bex-def*
by (*metis Un-iff atm-of-lit-in-atms-of contra-subsetD*)

lemma *notin-vars-union-true-clss-true-clss*:
assumes $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$
and $\text{atms-of-ms } L \subseteq \text{atms-of-ms } A$
and $I \cup I' \models_s L$
shows $I \models_s L$
using *assms* **unfolding** *true-clss-def true-lit-def Ball-def*
by (*meson atms-of-atms-of-ms-mono notin-vars-union-true-cls-true-cls subset-trans*)

11.2.5 Satisfiability

definition *satisfiable* :: 'a clause set \Rightarrow bool **where**
 $\text{satisfiable } CC \equiv \exists I. (I \models_s CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC)$

lemma *satisfiable-single*[simp]:
 $\text{satisfiable } \{\{\#L\#\}\}$

unfolding *satisfiable-def* **by** *fastforce*

abbreviation *unsatisfiable* :: 'a clause set \Rightarrow bool **where**
unsatisfiable *CC* $\equiv \neg$ *satisfiable* *CC*

lemma *satisfiable-decreasing*:
assumes *satisfiable* ($\psi \cup \psi'$)
shows *satisfiable* ψ
using *assms total-over-m-union* **unfolding** *satisfiable-def* **by** *blast*

lemma *satisfiable-def-min*:
satisfiable *CC*
 $\longleftrightarrow (\exists I. I \models_s CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \text{ } CC \wedge \text{atm-of } I = \text{atms-of-ms } CC)$
(is *?sat* \longleftrightarrow *?B*)

proof

assume *?B* **then show** *?sat* **by** (*auto simp add: satisfiable-def*)

next

assume *?sat*

then obtain *I* **where**

I-CC: $I \models_s CC$ **and**

cons: *consistent-interp* *I* **and**

tot: *total-over-m* *I* *CC*

unfolding *satisfiable-def* **by** *auto*

let *?I* = $\{P. P \in I \wedge \text{atm-of } P \in \text{atms-of-ms } CC\}$

have *I-CC*: $?I \models_s CC$

using *I-CC in-implies-atm-of-on-atms-of-ms* **unfolding** *true-clss-def Ball-def true-cls-def Bex-def true-lit-def*

by *blast*

moreover have *cons*: *consistent-interp* *?I*

using *cons* **unfolding** *consistent-interp-def* **by** *auto*

moreover have *total-over-m* *?I* *CC*

using *tot* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

moreover

have *atms-CC-incl*: $\text{atms-of-ms } CC \subseteq \text{atm-of } I$

using *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

by (*auto simp add: atms-of-def atms-of-s-def[symmetric]*)

have *atm-of* ' $?I = \text{atms-of-ms } CC$

using *atms-CC-incl* **unfolding** *atms-of-ms-def* **by** *force*

ultimately show *?B* **by** *auto*

qed

11.2.6 Entailment for Multisets of Clauses

definition *true-cls-mset* :: 'a interp \Rightarrow 'a clause multiset \Rightarrow bool (**infix** \models_m 50) **where**
 $I \models_m CC \longleftrightarrow (\forall C \in \# CC. I \models C)$

lemma *true-cls-mset-empty[simp]*: $I \models_m \{\#\}$

unfolding *true-cls-mset-def* **by** *auto*

lemma *true-cls-mset-singleton[iff]*: $I \models_m \{\# C \#\} \longleftrightarrow I \models C$

unfolding *true-cls-mset-def* **by** (*auto split: if-split-asm*)

lemma *true-cls-mset-union[iff]*: $I \models_m CC + DD \longleftrightarrow I \models_m CC \wedge I \models_m DD$

unfolding *true-cls-mset-def* **by** *fastforce*

lemma *true-cls-mset-image-mset*[iff]: $I \models_m \text{image-mset } f A \longleftrightarrow (\forall x \in \# A. I \models f x)$
unfolding *true-cls-mset-def* **by** *fastforce*

lemma *true-cls-mset-mono*: $\text{set-mset } DD \subseteq \text{set-mset } CC \implies I \models_m CC \implies I \models_m DD$
unfolding *true-cls-mset-def subset-iff* **by** *auto*

lemma *true-clss-set-mset*[iff]: $I \models_s \text{set-mset } CC \longleftrightarrow I \models_m CC$
unfolding *true-clss-def true-cls-mset-def* **by** *auto*

lemma *true-cls-mset-increasing-r*[simp]:
 $I \models_m CC \implies I \cup J \models_m CC$
unfolding *true-cls-mset-def* **by** *auto*

theorem *true-cls-remove-unused*:
assumes $I \models \psi$
shows $\{v \in I. \text{atm-of } v \in \text{atms-of } \psi\} \models \psi$
using *assms unfolding true-cls-def atms-of-def* **by** *auto*

theorem *true-clss-remove-unused*:
assumes $I \models_s \psi$
shows $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models_s \psi$
unfolding *true-clss-def atms-of-def Ball-def*

proof (*intro allI impI*)
fix x
assume $x \in \psi$
then have $I \models x$
using *assms unfolding true-clss-def atms-of-def Ball-def* **by** *auto*

then have $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \models x$
by (*simp only: true-cls-remove-unused[of I]*)
moreover have $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \subseteq \{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\}$
using $\langle x \in \psi \rangle$ **by** (*auto simp add: atms-of-ms-def*)
ultimately show $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models x$
using *true-cls-mono-set-mset-l* **by** *blast*

qed

A simple application of the previous theorem:

lemma *true-clss-union-decrease*:
assumes $II': I \cup I' \models \psi$
and $H: \forall v \in I'. \text{atm-of } v \notin \text{atms-of } \psi$
shows $I \models \psi$

proof –
let $?I = \{v \in I \cup I'. \text{atm-of } v \in \text{atms-of } \psi\}$
have $?I \models \psi$ **using** *true-cls-remove-unused II'* **by** *blast*
moreover have $?I \subseteq I$ **using** H **by** *auto*
ultimately show *?thesis* **using** *true-cls-mono-set-mset-l* **by** *blast*

qed

lemma *multiset-not-empty*:
assumes $M \neq \{\#\}$
and $x \in \# M$
shows $\exists A. x = \text{Pos } A \vee x = \text{Neg } A$
using *assms literal.exhaust-sel* **by** *blast*

lemma *atms-of-ms-empty*:
fixes $\psi :: 'v \text{ clauses}$
assumes *atms-of-ms* $\psi = \{\}$
shows $\psi = \{\} \vee \psi = \{\{\#\}\}$
using *assms* **by** (*auto simp add: atms-of-ms-def*)

lemma *consistent-interp-disjoint*:
assumes *consI*: *consistent-interp* I
and *disj*: *atms-of-s* $A \cap \text{atms-of-s } I = \{\}$
and *consA*: *consistent-interp* A
shows *consistent-interp* $(A \cup I)$
proof (*rule ccontr*)
assume $\neg ?thesis$
moreover have $\bigwedge L. \neg (L \in A \wedge \neg L \in I)$
using *disj unfolding atms-of-s-def* **by** (*auto simp add: rev-image-eqI*)
ultimately show *False*
using *consA consI unfolding consistent-interp-def* **by** (*metis (full-types) Un-iff literal.exhaust-sel uminus-Neg uminus-Pos*)
qed

lemma *total-remove-unused*:
assumes *total-over-m* $I \psi$
shows *total-over-m* $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \psi$
using *assms unfolding total-over-m-def total-over-set-def*
by (*metis (lifting) literal.sel(1,2) mem-Collect-eq*)

lemma *true-cls-remove-hd-if-notin-vars*:
assumes *insert* $a \ M' \models D$
and *atm-of* $a \notin \text{atms-of } D$
shows $M' \models D$
using *assms* **by** (*auto simp add: atm-of-lit-in-atms-of true-cls-def*)

lemma *total-over-set-atm-of*:
fixes $I :: 'v \text{ interp}$ **and** $K :: 'v \text{ set}$
shows *total-over-set* $I K \longleftrightarrow (\forall l \in K. l \in (\text{atm-of } I))$
unfolding *total-over-set-def* **by** (*metis atms-of-s-def in-atms-of-s-decomp*)

11.2.7 Tautologies

definition *tautology* ($\psi :: 'v \text{ clause}$) $\equiv \forall I. \text{total-over-set } I (\text{atms-of } \psi) \longrightarrow I \models \psi$

lemma *tautology-Pos-Neg[intro]*:
assumes *Pos* $p \in \# A$ **and** *Neg* $p \in \# A$
shows *tautology* A
using *assms unfolding tautology-def total-over-set-def true-cls-def Bex-def*
by (*meson atm-iff-pos-or-neg-lit true-lit-def*)

lemma *tautology-minus[simp]*:
assumes $L \in \# A$ **and** $\neg L \in \# A$
shows *tautology* A
by (*metis assms literal.exhaust tautology-Pos-Neg uminus-Neg uminus-Pos*)

lemma *tautology-exists-Pos-Neg*:
assumes *tautology* ψ
shows $\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi$
proof (*rule ccontr*)

```

assume  $p: \neg (\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi)$ 
let  $?I = \{-L \mid L. L \in \# \psi\}$ 
have total-over-set  $?I$  (atms-of  $\psi$ )
  unfolding total-over-set-def using atm-imp-pos-or-neg-lit by force
moreover have  $\neg ?I \models \psi$ 
  unfolding true-cls-def true-lit-def Bex-def apply clarify
  using  $p$  by (rename-tac  $x$   $L$ , case-tac  $L$ ) fastforce+
ultimately show False using assms unfolding tautology-def by auto
qed

```

```

lemma tautology-decomp:
  tautology  $\psi \longleftrightarrow (\exists p. \text{Pos } p \in \# \psi \wedge \text{Neg } p \in \# \psi)$ 
  using tautology-exists-Pos-Neg by auto

```

```

lemma tautology-false[simp]:  $\neg \text{tautology } \{\#\}$ 
  unfolding tautology-def by auto

```

```

lemma tautology-add-single:
  tautology  $(\{ \#a \# \} + L) \longleftrightarrow \text{tautology } L \vee -a \in \# L$ 
  unfolding tautology-decomp by (cases  $a$ ) auto

```

```

lemma minus-interp-tautology:
  assumes  $\{-L \mid L. L \in \# \chi\} \models \chi$ 
  shows tautology  $\chi$ 
proof -
  obtain  $L$  where  $L \in \# \chi \wedge -L \in \# \chi$ 
  using assms unfolding true-cls-def by auto
  then show ?thesis using tautology-decomp literal.exhaust uminus-Neg uminus-Pos by metis
qed

```

```

lemma remove-literal-in-model-tautology:
  assumes  $I \cup \{\text{Pos } P\} \models \varphi$ 
  and  $I \cup \{\text{Neg } P\} \models \varphi$ 
  shows  $I \models \varphi \vee \text{tautology } \varphi$ 
  using assms unfolding true-cls-def by auto

```

```

lemma tautology-imp-tautology:
  fixes  $\chi \chi' :: 'v \text{ clause}$ 
  assumes  $\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi'$  and tautology  $\chi$ 
  shows tautology  $\chi'$  unfolding tautology-def
proof (intro allI HOL.impI)
  fix  $I :: 'v \text{ literal set}$ 
  assume totI: total-over-set  $I$  (atms-of  $\chi'$ )
  let  $?I' = \{\text{Pos } v \mid v. v \in \text{atms-of } \chi \wedge v \notin \text{atms-of-s } I\}$ 
  have totI': total-over-m  $(I \cup ?I') \{\chi\}$  unfolding total-over-m-def total-over-set-def by auto
  then have  $\chi: I \cup ?I' \models \chi$  using assms(2) unfolding total-over-m-def tautology-def by simp
  then have  $I \cup (?I' - I) \models \chi'$  using assms(1) totI' by auto
  moreover have  $\bigwedge L. L \in \# \chi' \implies L \notin ?I'$ 
    using totI unfolding total-over-set-def by (auto dest: pos-lit-in-atms-of)
  ultimately show  $I \models \chi'$  unfolding true-cls-def by auto
qed

```

11.2.8 Entailment for clauses and propositions

definition *true-cls-cls* :: $'a \text{ clause} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool}$ (*infix* \models_f 49) **where**
 $\psi \models_f \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{\psi\} \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

definition *true-cls-cls* :: 'a clause \Rightarrow 'a clauses \Rightarrow bool (**infix** \models_{fs} 49) **where**
 $\psi \models_{fs} \chi \longleftrightarrow (\forall I. \text{total-over-}m\ I\ (\{\psi\} \cup \chi) \longrightarrow \text{consistent-interp}\ I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

definition *true-clss-cls* :: 'a clauses \Rightarrow 'a clause \Rightarrow bool (**infix** \models_p 49) **where**
 $N \models_p \chi \longleftrightarrow (\forall I. \text{total-over-}m\ I\ (N \cup \{\chi\}) \longrightarrow \text{consistent-interp}\ I \longrightarrow I \models_s N \longrightarrow I \models \chi)$

definition *true-clss-clss* :: 'a clauses \Rightarrow 'a clauses \Rightarrow bool (**infix** \models_{ps} 49) **where**
 $N \models_{ps} N' \longleftrightarrow (\forall I. \text{total-over-}m\ I\ (N \cup N') \longrightarrow \text{consistent-interp}\ I \longrightarrow I \models_s N \longrightarrow I \models_s N')$

lemma *true-cls-cls-refl[simp]*:
 $A \models_f A$
unfolding *true-cls-cls-def* **by** *auto*

lemma *true-cls-cls-insert-l[simp]*:
 $a \models_f C \implies \text{insert } a\ A \models_p C$
unfolding *true-cls-cls-def true-clss-cls-def true-clss-def* **by** *fastforce*

lemma *true-cls-clss-empty[iff]*:
 $N \models_{fs} \{\}$
unfolding *true-cls-clss-def* **by** *auto*

lemma *true-prop-true-clause[iff]*:
 $\{\varphi\} \models_p \psi \longleftrightarrow \varphi \models_f \psi$
unfolding *true-cls-cls-def true-clss-cls-def* **by** *auto*

lemma *true-clss-clss-true-clss-cls[iff]*:
 $N \models_{ps} \{\psi\} \longleftrightarrow N \models_p \psi$
unfolding *true-clss-clss-def true-clss-cls-def* **by** *auto*

lemma *true-clss-clss-true-cls-clss[iff]*:
 $\{\chi\} \models_{ps} \psi \longleftrightarrow \chi \models_{fs} \psi$
unfolding *true-clss-clss-def true-cls-clss-def* **by** *auto*

lemma *true-clss-clss-empty[simp]*:
 $N \models_{ps} \{\}$
unfolding *true-clss-clss-def* **by** *auto*

lemma *true-clss-cls-subset*:
 $A \subseteq B \implies A \models_p CC \implies B \models_p CC$
unfolding *true-clss-cls-def total-over-m-union* **by** (*simp add: total-over-m-subset true-clss-mono*)

lemma *true-clss-cs-mono-l[simp]*:
 $A \models_p CC \implies A \cup B \models_p CC$
by (*auto intro: true-clss-cls-subset*)

lemma *true-clss-cs-mono-l2[simp]*:
 $B \models_p CC \implies A \cup B \models_p CC$
by (*auto intro: true-clss-cls-subset*)

lemma *true-clss-cls-mono-r[simp]*:
 $A \models_p CC \implies A \models_p CC + CC'$
unfolding *true-clss-cls-def total-over-m-union total-over-m-sum* **by** *blast*

lemma *true-clss-cls-mono-r'[simp]*:

$A \models_p CC' \implies A \models_p CC + CC'$
unfolding *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

lemma *true-clss-clss-union-l[simp]*:
 $A \models_{ps} CC \implies A \cup B \models_{ps} CC$
unfolding *true-clss-clss-def total-over-m-union* **by** *fastforce*

lemma *true-clss-clss-union-l-r[simp]*:
 $B \models_{ps} CC \implies A \cup B \models_{ps} CC$
unfolding *true-clss-clss-def total-over-m-union* **by** *fastforce*

lemma *true-clss-clss-in[simp]*:
 $CC \in A \implies A \models_p CC$
unfolding *true-clss-clss-def true-clss-def total-over-m-union* **by** *fastforce*

lemma *true-clss-clss-insert-l[simp]*:
 $A \models_p C \implies \text{insert } a \ A \models_p C$
unfolding *true-clss-clss-def true-clss-def* **using** *total-over-m-union*
by (*metis Un-iff insert-is-Un sup commute*)

lemma *true-clss-clss-insert-l[simp]*:
 $A \models_{ps} C \implies \text{insert } a \ A \models_{ps} C$
unfolding *true-clss-clss-def true-clss-clss-def true-clss-def* **by** *blast*

lemma *true-clss-clss-union-and[iff]*:
 $A \models_{ps} C \cup D \longleftrightarrow (A \models_{ps} C \wedge A \models_{ps} D)$

proof
{
 fix *A C D* :: 'a clauses
 assume *A*: $A \models_{ps} C \cup D$
 have $A \models_{ps} C$
 unfolding *true-clss-clss-def true-clss-clss-def insert-def total-over-m-insert*
 proof (*intro allI impI*)
 fix *I*
 assume
 totAC: *total-over-m* *I* ($A \cup C$) **and**
 cons: *consistent-interp* *I* **and**
 I: $I \models_s A$
 then have *tot*: *total-over-m* *I* *A* **and** *tot'*: *total-over-m* *I* *C* **by** *auto*
 obtain *I'* **where**
 tot': *total-over-m* ($I \cup I'$) ($A \cup C \cup D$) **and**
 cons': *consistent-interp* ($I \cup I'$) **and**
 H: $\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } D \wedge \text{atm-of } x \notin \text{atms-of-ms } (A \cup C)$
 using *total-over-m-consistent-extension[OF - cons, of A \cup C]* *tot tot'* **by** *blast*
 moreover have $I \cup I' \models_s A$ **using** *I* **by** *simp*
 ultimately have $I \cup I' \models_s C \cup D$ **using** *A* **unfolding** *true-clss-clss-def* **by** *auto*
 then have $I \cup I' \models_s C \cup D$ **by** *auto*
 then show $I \models_s C$ **using** *notin-vars-union-true-clss-true-clss[of I'] H* **by** *auto*
 qed
} **note** *H = this*
assume *A* $\models_{ps} C \cup D$
then show $A \models_{ps} C \wedge A \models_{ps} D$ **using** *H[of A] Un-commute[of C D]* **by** *metis*

next
assume $A \models_{ps} C \wedge A \models_{ps} D$
then show $A \models_{ps} C \cup D$

unfolding *true-clss-clss-def* **by** *auto*
qed

lemma *true-clss-clss-insert[iff]*:
 $A \models_{ps} \text{insert } L \ Ls \longleftrightarrow (A \models_p L \wedge A \models_{ps} Ls)$
using *true-clss-clss-union-and*[of $A \ \{L\} \ Ls$] **by** *auto*

lemma *true-clss-clss-subset*:
 $A \subseteq B \implies A \models_{ps} CC \implies B \models_{ps} CC$
by (*metis subset-Un-eq true-clss-clss-union-l*)

lemma *union-trus-clss-clss[simp]*: $A \cup B \models_{ps} B$
unfolding *true-clss-clss-def* **by** *auto*

lemma *true-clss-clss-remove[simp]*:
 $A \models_{ps} B \implies A \models_{ps} B - C$
by (*metis Un-Diff-Int true-clss-clss-union-and*)

lemma *true-clss-clss-subsetE*:
 $N \models_{ps} B \implies A \subseteq B \implies N \models_{ps} A$
by (*metis sup.orderE true-clss-clss-union-and*)

lemma *true-clss-clss-in-imp-true-clss-clss*:
assumes $N \models_{ps} U$
and $A \in U$
shows $N \models_p A$
using *assms mk-disjoint-insert* **by** *fastforce*

lemma *all-in-true-clss-clss*: $\forall x \in B. x \in A \implies A \models_{ps} B$
unfolding *true-clss-clss-def true-clss-def* **by** *auto*

lemma *true-clss-clss-left-right*:
assumes $A \models_{ps} B$
and $A \cup B \models_{ps} M$
shows $A \models_{ps} M \cup B$
using *assms* **unfolding** *true-clss-clss-def* **by** *auto*

lemma *true-clss-clss-generalise-true-clss-clss*:
 $A \cup C \models_{ps} D \implies B \models_{ps} C \implies A \cup B \models_{ps} D$
proof –
assume $a1: A \cup C \models_{ps} D$
assume $B \models_{ps} C$
then have $f2: \bigwedge M. M \cup B \models_{ps} C$
by (*meson true-clss-clss-union-l-r*)
have $\bigwedge M. C \cup (M \cup A) \models_{ps} D$
using $a1$ **by** (*simp add: Un-commute sup-left-commute*)
then show *?thesis*
using $f2$ **by** (*metis (no-types) Un-commute true-clss-clss-left-right true-clss-clss-union-and*)
qed

lemma *true-clss-clss-or-true-clss-clss-or-not-true-clss-clss-or*:
assumes $D: N \models_p D + \{\# - L\#\}$
and $C: N \models_p C + \{\# L\#\}$
shows $N \models_p D + C$
unfolding *true-clss-clss-def*

```

proof (intro allI impI)
  fix I
  assume
    tot: total-over-m I (N  $\cup$  {D + C}) and
    consistent-interp I and
    I  $\models_s$  N
  {
    assume L: L  $\in$  I  $\vee$   $\neg$ L  $\in$  I
    then have total-over-m I {D + {#- L#}}
      using tot by (cases L) auto
    then have I  $\models$  D + {#- L#} using D  $\langle$ I  $\models_s$  N $\rangle$  tot  $\langle$ consistent-interp I $\rangle$ 
      unfolding true-clss-cls-def by auto
    moreover
      have total-over-m I {C + {#L#}}
        using L tot by (cases L) auto
      then have I  $\models$  C + {#L#}
        using C  $\langle$ I  $\models_s$  N $\rangle$  tot  $\langle$ consistent-interp I $\rangle$  unfolding true-clss-cls-def by auto
      ultimately have I  $\models$  D + C using  $\langle$ consistent-interp I $\rangle$  consistent-interp-def by fastforce
    }
    moreover {
      assume L: L  $\notin$  I  $\wedge$   $\neg$ L  $\notin$  I
      let ?I' = I  $\cup$  {L}
      have consistent-interp ?I' using L  $\langle$ consistent-interp I $\rangle$  by auto
      moreover have total-over-m ?I' {D + {#- L#}}
        using tot unfolding total-over-m-def total-over-set-def by (auto simp add: atms-of-def)
      moreover have total-over-m ?I' N using tot using total-union by blast
      moreover have ?I'  $\models_s$  N using  $\langle$ I  $\models_s$  N $\rangle$  using true-clss-union-increase by blast
      ultimately have ?I'  $\models$  D + {#- L#}
        using D unfolding true-clss-cls-def by blast
      then have ?I'  $\models$  D using L by auto
      moreover
        have total-over-set I (atms-of (D + C)) using tot by auto
        then have L  $\notin$  # D  $\wedge$   $\neg$ L  $\notin$  # D
          using L unfolding total-over-set-def atms-of-def by (cases L) force+
        ultimately have I  $\models$  D + C unfolding true-clss-cls-def by auto
      }
    ultimately show I  $\models$  D + C by blast
  }
qed

```

```

lemma true-clss-union-mset[iff]: I  $\models$  C # $\cup$  D  $\longleftrightarrow$  I  $\models$  C  $\vee$  I  $\models$  D
  unfolding true-clss-def by force

```

```

lemma true-clss-clss-union-mset-true-clss-clss-or-not-true-clss-clss-or:

```

```

  assumes
    D: N  $\models_p$  D + {#- L#} and
    C: N  $\models_p$  C + {#L#}
  shows N  $\models_p$  D # $\cup$  C
  unfolding true-clss-clss-def

```

```

proof (intro allI impI)
  fix I
  assume
    tot: total-over-m I (N  $\cup$  {D # $\cup$  C}) and
    consistent-interp I and
    I  $\models_s$  N
  {

```

```

assume  $L$ :  $L \in I \vee -L \in I$ 
then have  $\text{total-over-}m\ I\ \{D + \{\#- L\#\}\}$ 
  using  $\text{tot}$  by ( $\text{cases } L$ )  $\text{auto}$ 
then have  $I \models D + \{\#- L\#\}$ 
  using  $D \langle I \models N \rangle \text{ tot } \langle \text{consistent-interp } I \rangle$  unfolding  $\text{true-clss-cls-def}$  by  $\text{auto}$ 
moreover
  have  $\text{total-over-}m\ I\ \{C + \{\#L\#\}\}$ 
    using  $L \text{ tot}$  by ( $\text{cases } L$ )  $\text{auto}$ 
  then have  $I \models C + \{\#L\#\}$ 
    using  $C \langle I \models N \rangle \text{ tot } \langle \text{consistent-interp } I \rangle$  unfolding  $\text{true-clss-cls-def}$  by  $\text{auto}$ 
ultimately have  $I \models D \# \cup C$  using  $\langle \text{consistent-interp } I \rangle$  unfolding  $\text{consistent-interp-def}$ 
by  $\text{auto}$ 
}
moreover {
  assume  $L$ :  $L \notin I \wedge -L \notin I$ 
  let  $?I' = I \cup \{L\}$ 
  have  $\text{consistent-interp } ?I'$  using  $L \langle \text{consistent-interp } I \rangle$  by  $\text{auto}$ 
  moreover have  $\text{total-over-}m\ ?I'\ \{D + \{\#- L\#\}\}$ 
    using  $\text{tot}$  unfolding  $\text{total-over-}m\text{-def}$   $\text{total-over-set-def}$  by ( $\text{auto simp add: atms-of-def}$ )
  moreover have  $\text{total-over-}m\ ?I'\ N$  using  $\text{tot}$  using  $\text{total-union}$  by  $\text{blast}$ 
  moreover have  $?I' \models N$  using  $\langle I \models N \rangle$  using  $\text{true-clss-union-increase}$  by  $\text{blast}$ 
  ultimately have  $?I' \models D + \{\#- L\#\}$ 
    using  $D$  unfolding  $\text{true-clss-cls-def}$  by  $\text{blast}$ 
  then have  $?I' \models D$  using  $L$  by  $\text{auto}$ 
  moreover
    have  $\text{total-over-set } I\ (\text{atms-of } (D + C))$  using  $\text{tot}$  by  $\text{auto}$ 
    then have  $L \notin \# D \wedge -L \notin \# D$ 
      using  $L$  unfolding  $\text{total-over-set-def}$   $\text{atms-of-def}$  by ( $\text{cases } L$ )  $\text{force+}$ 
    ultimately have  $I \models D \# \cup C$  unfolding  $\text{true-clss-def}$  by  $\text{auto}$ 
}
ultimately show  $I \models D \# \cup C$  by  $\text{blast}$ 
qed

```

lemma $\text{satisfiable-carac}[\text{iff}]$:

$(\exists I. \text{consistent-interp } I \wedge I \models \varphi) \longleftrightarrow \text{satisfiable } \varphi$ (**is** $(\exists I. ?Q\ I) \longleftrightarrow ?S$)

proof

assume $?S$

then show $\exists I. ?Q\ I$ **unfolding** satisfiable-def **by** auto

next

assume $\exists I. ?Q\ I$

then obtain I **where** $\text{cons: consistent-interp } I$ **and** $I: I \models \varphi$ **by** metis

let $?I' = \{\text{Pos } v \mid v. v \notin \text{atms-of-}s\ I \wedge v \in \text{atms-of-ms } \varphi\}$

have $\text{consistent-interp } (I \cup ?I')$

using cons **unfolding** $\text{consistent-interp-def}$ **by** (intro allI) ($\text{rename-tac } L, \text{case-tac } L, \text{auto}$)

moreover have $\text{total-over-}m\ (I \cup ?I')\ \varphi$

unfolding $\text{total-over-}m\text{-def}$ $\text{total-over-set-def}$ **by** auto

moreover have $I \cup ?I' \models \varphi$

using I **unfolding** Ball-def true-clss-def true-clss-def **by** auto

ultimately show $?S$ **unfolding** satisfiable-def **by** blast

qed

lemma $\text{satisfiable-carac}'[\text{simp}]$: $\text{consistent-interp } I \implies I \models \varphi \implies \text{satisfiable } \varphi$

using satisfiable-carac **by** metis

11.3 Subsumptions

lemma *subsumption-total-over-m*:

assumes $A \subseteq\# B$
shows $\text{total-over-m } I \{B\} \implies \text{total-over-m } I \{A\}$
using *assms unfolding subset-mset-def total-over-m-def total-over-set-def*
by (*auto simp add: mset-le-exists-conv*)

lemma *atms-of-replicate-mset-replicate-mset-uminus[simp]*:

$\text{atms-of } (D - \text{replicate-mset } (\text{count } D \ L) \ L - \text{replicate-mset } (\text{count } D \ (-L)) \ (-L))$
 $= \text{atms-of } D - \{\text{atm-of } L\}$
by (*fastforce simp: atm-of-eq-atm-of atms-of-def*)

lemma *subsumption-chained*:

assumes
 $\forall I. \text{total-over-m } I \{D\} \longrightarrow I \models D \longrightarrow I \models \varphi$ **and**
 $C \subseteq\# D$
shows $(\forall I. \text{total-over-m } I \{C\} \longrightarrow I \models C \longrightarrow I \models \varphi) \vee \text{tautology } \varphi$
using *assms*

proof (*induct card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C} arbitrary: D*
rule: nat-less-induct-case)

case 0 **note** $n = \text{this}(1)$ **and** $H = \text{this}(2)$ **and** $\text{incl} = \text{this}(3)$
then have $\text{atms-of } D \subseteq \text{atms-of } C$ **by** *auto*
then have $\forall I. \text{total-over-m } I \{C\} \longrightarrow \text{total-over-m } I \{D\}$
unfolding *total-over-m-def total-over-set-def* **by** *auto*
moreover have $\forall I. I \models C \longrightarrow I \models D$ **using** *incl true-cls-mono-leD* **by** *blast*
ultimately show *?case* **using** H **by** *auto*

next

case (*Suc n D*) **note** $IH = \text{this}(1)$ **and** $\text{card} = \text{this}(2)$ **and** $H = \text{this}(3)$ **and** $\text{incl} = \text{this}(4)$
let $?atms = \{\text{Pos } v \mid v. v \in \text{atms-of } D \wedge v \notin \text{atms-of } C\}$
have *finite ?atms* **by** *auto*
then obtain L **where** $L: L \in ?atms$
using *card* **by** (*metis (no-types, lifting) Collect-empty-eq card-0-eq mem-Collect-eq*
nat.simps(3))
let $?D' = D - \text{replicate-mset } (\text{count } D \ L) \ L - \text{replicate-mset } (\text{count } D \ (-L)) \ (-L)$
have $\text{atms-of-}D: \text{atms-of-ms } \{D\} \subseteq \text{atms-of-ms } \{?D'\} \cup \{\text{atm-of } L\}$ **by** *auto*

{
fix I
assume $\text{total-over-m } I \{?D'\}$
then have $\text{tot}: \text{total-over-m } (I \cup \{L\}) \{D\}$
unfolding *total-over-m-def total-over-set-def* **using** *atms-of-D* **by** *auto*

assume $IDL: I \models ?D'$
then have $I \cup \{L\} \models D$ **unfolding** *true-cls-def* **by** *force*
then have $I \cup \{L\} \models \varphi$ **using** $H \text{ tot}$ **by** *auto*

moreover

have $\text{tot}': \text{total-over-m } (I \cup \{-L\}) \{D\}$
using *tot* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*
have $I \cup \{-L\} \models D$ **using** IDL **unfolding** *true-cls-def* **by** *force*
then have $I \cup \{-L\} \models \varphi$ **using** $H \text{ tot}'$ **by** *auto*
ultimately have $I \models \varphi \vee \text{tautology } \varphi$
using $L \text{ remove-literal-in-model-tautology}$ **by** *force*
} **note** $H' = \text{this}$

have $L \notin \# C$ **and** $-L \notin \# C$ **using** L *atm-iff-pos-or-neg-lit* **by** *force+*
then have $C\text{-in-}D'$: $C \subseteq \# ?D'$ **using** $\langle C \subseteq \# D \rangle$ **by** (*auto simp: subseteq-mset-def not-in-iff*)
have $\text{card } \{ \text{Pos } v \mid v. v \in \text{atms-of } ?D' \wedge v \notin \text{atms-of } C \} <$
 $\text{card } \{ \text{Pos } v \mid v. v \in \text{atms-of } D \wedge v \notin \text{atms-of } C \}$
using L **by** (*auto intro!: psubset-card-mono*)
then show $?case$
using IH $C\text{-in-}D'$ H' **unfolding** $\text{card}[\text{symmetric}]$ **by** *blast*
qed

11.4 Removing Duplicates

lemma *tautology-remdups-mset[iff]*:
 $\text{tautology } (\text{remdups-mset } C) \longleftrightarrow \text{tautology } C$
unfolding *tautology-decomp* **by** *auto*

lemma *atms-of-remdups-mset[simp]*: $\text{atms-of } (\text{remdups-mset } C) = \text{atms-of } C$
unfolding *atms-of-def* **by** *auto*

lemma *true-cls-remdups-mset[iff]*: $I \models \text{remdups-mset } C \longleftrightarrow I \models C$
unfolding *true-cls-def* **by** *auto*

lemma *true-clss-cls-remdups-mset[iff]*: $A \models_p \text{remdups-mset } C \longleftrightarrow A \models_p C$
unfolding *true-clss-cls-def total-over-m-def* **by** *auto*

11.5 Set of all Simple Clauses

definition *simple-clss* :: $'v \text{ set} \Rightarrow 'v \text{ clause set}$ **where**
 $\text{simple-clss } \text{atms} = \{ C. \text{atms-of } C \subseteq \text{atms} \wedge \neg \text{tautology } C \wedge \text{distinct-mset } C \}$

lemma *simple-clss-empty[simp]*:
 $\text{simple-clss } \{ \} = \{ \{ \# \} \}$
unfolding *simple-clss-def* **by** *auto*

lemma *simple-clss-insert*:
assumes $l \notin \text{atms}$
shows $\text{simple-clss } (\text{insert } l \text{ atms}) =$
 $(\text{op } + \{ \# \text{Pos } l \# \}) \text{ ` } (\text{simple-clss } \text{atms})$
 $\cup (\text{op } + \{ \# \text{Neg } l \# \}) \text{ ` } (\text{simple-clss } \text{atms})$
 $\cup \text{simple-clss } \text{atms}(\text{is } ?I = ?U)$

proof (*standard; standard*)
fix C
assume $C \in ?I$
then have
 $\text{atms}: \text{atms-of } C \subseteq \text{insert } l \text{ atms}$ **and**
 $\text{taut}: \neg \text{tautology } C$ **and**
 $\text{dist}: \text{distinct-mset } C$
unfolding *simple-clss-def* **by** *auto*
have $H: \bigwedge x. x \in \# C \implies \text{atm-of } x \in \text{insert } l \text{ atms}$
using *atm-of-lit-in-atms-of atms* **by** *blast*
consider
 $(\text{Add}) \ L$ **where** $L \in \# C$ **and** $L = \text{Neg } l \vee L = \text{Pos } l$
 $| (\text{No}) \ \text{Pos } l \notin \# C \ \text{Neg } l \notin \# C$
by *auto*
then show $C \in ?U$
proof *cases*
case *Add*

```

then have  $L \notin \# C - \{\#L\}$ 
  using dist unfolding distinct-mset-def by (auto simp: not-in-iff)
moreover have  $-L \notin \# C$ 
  using taut Add by auto
ultimately have  $\text{atms-of } (C - \{\#L\}) \subseteq \text{atms}$ 
  using atms Add by (smt H atms-of-def imageE in-diffD insertE literal.exhaust-sel
    subset-iff uminus-Neg uminus-Pos)

moreover have  $\neg \text{tautology } (C - \{\#L\})$ 
  using taut by (metis Add(1) insert-DiffM tautology-add-single)
moreover have distinct-mset  $(C - \{\#L\})$ 
  using dist by auto
ultimately have  $(C - \{\#L\}) \in \text{simple-clss atms}$ 
  using Add unfolding simple-clss-def by auto
moreover have  $C = \{\#L\} + (C - \{\#L\})$ 
  using Add by (auto simp: multiset-eq-iff)
ultimately show ?thesis using Add by auto
next
case No
then have  $C \in \text{simple-clss atms}$ 
  using taut atms dist unfolding simple-clss-def
  by (auto simp: atm-iff-pos-or-neg-lit split: if-split-asm dest!: H)
then show ?thesis by blast
qed
next
fix C
assume  $C \in ?U$ 
then consider
  (Add)  $L \ C'$  where  $C = \{\#L\} + C'$  and  $C' \in \text{simple-clss atms}$  and
     $L = \text{Pos } l \vee L = \text{Neg } l$ 
  | (No)  $C \in \text{simple-clss atms}$ 
by auto
then show  $C \in ?I$ 
proof cases
case No
then show ?thesis unfolding simple-clss-def by auto
next
case (Add L C') note  $C' = \text{this}(1)$  and  $C = \text{this}(2)$  and  $L = \text{this}(3)$ 
then have
  atms:  $\text{atms-of } C' \subseteq \text{atms}$  and
  taut:  $\neg \text{tautology } C'$  and
  dist: distinct-mset  $C'$ 
  unfolding simple-clss-def by auto
have  $\text{atms-of } C \subseteq \text{insert } l \text{ atms}$ 
  using atms C' L by auto
moreover have  $\neg \text{tautology } C$ 
  using taut C' L by (metis assms atm-of-lit-in-atms-of atms literal.sel(1,2) subset-eq
    tautology-add-single uminus-Neg uminus-Pos)
moreover have distinct-mset  $C$ 
  using dist C' L
  by (metis assms atm-of-lit-in-atms-of atms contra-subsetD distinct-mset-add-single
    literal.sel(1,2))
ultimately show ?thesis unfolding simple-clss-def by blast
qed
qed

```

```

lemma simple-clss-finite:
  fixes atms :: 'v set
  assumes finite atms
  shows finite (simple-clss atms)
  using assms by (induction rule: finite-induct) (auto simp: simple-clss-insert)

lemma simple-clssE:
  assumes
     $x \in \text{simple-clss atms}$ 
  shows  $\text{atms-of } x \subseteq \text{atms} \wedge \neg \text{tautology } x \wedge \text{distinct-mset } x$ 
  using assms unfolding simple-clss-def by auto

lemma cls-in-simple-clss:
  shows  $\{\#\} \in \text{simple-clss } s$ 
  unfolding simple-clss-def by auto

lemma simple-clss-card:
  fixes atms :: 'v set
  assumes finite atms
  shows  $\text{card } (\text{simple-clss atms}) \leq (3::\text{nat}) \wedge (\text{card atms})$ 
  using assms
proof (induct atms rule: finite-induct)
  case empty
  then show ?case by auto
next
  case (insert l C)
  note fin = this(1) and l = this(2) and IH = this(3)
  have notin:
     $\bigwedge C'. \{\#\text{Pos } l\# \} + C' \notin \text{simple-clss } C$ 
     $\bigwedge C'. \{\#\text{Neg } l\# \} + C' \notin \text{simple-clss } C$ 
    using l unfolding simple-clss-def by auto
  have H:  $\bigwedge C' D. \{\#\text{Pos } l\# \} + C' = \{\#\text{Neg } l\# \} + D \implies D \in \text{simple-clss } C \implies \text{False}$ 
  proof -
    fix C' D
    assume C'D:  $\{\#\text{Pos } l\# \} + C' = \{\#\text{Neg } l\# \} + D$  and D:  $D \in \text{simple-clss } C$ 
    then have Pos l  $\in \#$  D by (metis insert-noteq-member literal.distinct(1) union-commute)
    then have l  $\in \text{atms-of } D$ 
      by (simp add: atm-iff-pos-or-neg-lit)
    then show False using D l unfolding simple-clss-def by auto
  qed
  let ?P = (op +  $\{\#\text{Pos } l\# \}$ ) ' (simple-clss C)
  let ?N = (op +  $\{\#\text{Neg } l\# \}$ ) ' (simple-clss C)
  let ?O = simple-clss C
  have card (?P  $\cup$  ?N  $\cup$  ?O) = card (?P  $\cup$  ?N) + card ?O
    apply (subst card-Un-disjoint)
    using l fin by (auto simp: simple-clss-finite notin)
  moreover have card (?P  $\cup$  ?N) = card ?P + card ?N
    apply (subst card-Un-disjoint)
    using l fin H by (auto simp: simple-clss-finite notin)
  moreover
    have card ?P = card ?O
      using inj-on-iff-eq-card[of ?O op +  $\{\#\text{Pos } l\# \}$ ]
      by (auto simp: fin simple-clss-finite inj-on-def)
    moreover have card ?N = card ?O
      using inj-on-iff-eq-card[of ?O op +  $\{\#\text{Neg } l\# \}$ ]

```


by (auto simp: fin simple-clss-finite inj-on-def)
 moreover have $(3::nat) \wedge \text{card } (\text{insert } l \ C) = 3 \wedge (\text{card } C) + 3 \wedge (\text{card } C) + 3 \wedge (\text{card } C)$
 using l by (simp add: fin mult-2-right numeral-3-eq-3)
 ultimately show ?case using IH l by (auto simp: simple-clss-insert)
 qed

lemma *simple-clss-mono*:
 assumes *incl*: $\text{atms} \subseteq \text{atms}'$
 shows *simple-clss* $\text{atms} \subseteq \text{simple-clss } \text{atms}'$
 using *assms* **unfolding** *simple-clss-def* **by** *auto*

lemma *distinct-mset-not-tautology-implies-in-simple-clss*:
 assumes *distinct-mset* χ **and** $\neg \text{tautology } \chi$
 shows $\chi \in \text{simple-clss } (\text{atms-of } \chi)$
 using *assms* **unfolding** *simple-clss-def* **by** *auto*

lemma *simplified-in-simple-clss*:
 assumes *distinct-mset-set* ψ **and** $\forall \chi \in \psi. \neg \text{tautology } \chi$
 shows $\psi \subseteq \text{simple-clss } (\text{atms-of-ms } \psi)$
 using *assms* **unfolding** *simple-clss-def*
 by (auto simp: *distinct-mset-set-def* *atms-of-ms-def*)

11.6 Experiment: Expressing the Entailments as Locales

locale *entail* =
 fixes *entail* :: '*a* set \Rightarrow '*b* \Rightarrow bool (**infix** \models_e 50)
 assumes *entail-insert*[*simp*]: $I \neq \{\} \implies \text{insert } L \ I \models_e x \longleftrightarrow \{L\} \models_e x \vee I \models_e x$
 assumes *entail-union*[*simp*]: $I \models_e A \implies I \cup I' \models_e A$
begin

definition *entails* :: '*a* set \Rightarrow '*b* set \Rightarrow bool (**infix** \models_{es} 50) **where**
 $I \models_{es} A \longleftrightarrow (\forall a \in A. I \models_e a)$

lemma *entails-empty*[*simp*]:
 $I \models_{es} \{\}$
unfolding *entails-def* **by** *auto*

lemma *entails-single*[*iff*]:
 $I \models_{es} \{a\} \longleftrightarrow I \models_e a$
unfolding *entails-def* **by** *auto*

lemma *entails-insert-l*[*simp*]:
 $M \models_{es} A \implies \text{insert } L \ M \models_{es} A$
unfolding *entails-def* **by** (*metis* *Un-commute* *entail-union* *insert-is-Un*)

lemma *entails-union*[*iff*]: $I \models_{es} CC \cup DD \longleftrightarrow I \models_{es} CC \wedge I \models_{es} DD$
unfolding *entails-def* **by** *blast*

lemma *entails-insert*[*iff*]: $I \models_{es} \text{insert } C \ DD \longleftrightarrow I \models_e C \wedge I \models_{es} DD$
unfolding *entails-def* **by** *blast*

lemma *entails-insert-mono*: $DD \subseteq CC \implies I \models_{es} CC \implies I \models_{es} DD$
unfolding *entails-def* **by** *blast*

lemma *entails-union-increase*[*simp*]:
 assumes $I \models_{es} \psi$

shows $I \cup I' \models_{es} \psi$
using *assms* **unfolding** *entails-def* **by** *auto*

lemma *true-clss-commute-l*:
 $(I \cup I' \models_{es} \psi) \longleftrightarrow (I' \cup I \models_{es} \psi)$
by (*simp add: Un-commute*)

lemma *entails-remove[simp]*: $I \models_{es} N \implies I \models_{es} \text{Set.remove } a \ N$
by (*simp add: entails-def*)

lemma *entails-remove-minus[simp]*: $I \models_{es} N \implies I \models_{es} N - A$
by (*simp add: entails-def*)

end

interpretation *true-cls*: *entail true-cls*
by *standard* (*auto simp add: true-cls-def*)

11.7 Entailment to be extended

definition *true-clss-ext* :: '*a literal set* \Rightarrow '*a literal multiset set* \Rightarrow *bool* (**infix** \models_{sext} 49)
where
 $I \models_{sext} N \longleftrightarrow (\forall J. I \subseteq J \longrightarrow \text{consistent-interp } J \longrightarrow \text{total-over-m } J \ N \longrightarrow J \models_s N)$

lemma *true-clss-imp-true-cls-ext*:
 $I \models_s N \implies I \models_{sext} N$
unfolding *true-clss-ext-def* **by** (*metis sup.orderE true-clss-union-increase*)

lemma *true-clss-ext-decrease-right-remove-r*:
assumes $I \models_{sext} N$
shows $I \models_{sext} N - \{C\}$
unfolding *true-clss-ext-def*
proof (*intro allI impI*)
fix J
assume
 $I \subseteq J$ **and**
cons: *consistent-interp* J **and**
tot: *total-over-m* $J \ (N - \{C\})$
let $?J = J \cup \{Pos \ (\text{atm-of } P) | P. P \in \# \ C \wedge \text{atm-of } P \notin \text{atm-of } 'J\}$
have $I \subseteq ?J$ **using** $\langle I \subseteq J \rangle$ **by** *auto*
moreover **have** *consistent-interp* $?J$
using *cons* **unfolding** *consistent-interp-def* **apply** (*intro allI*)
by (*rename-tac L, case-tac L*) (*fastforce simp add: image-iff*)
moreover **have** *total-over-m* $?J \ N$
using *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*
apply *clarify*
apply (*rename-tac l a, case-tac a* $\in N - \{C\}$)
apply *auto*
using *atms-of-s-def atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*
by (*fastforce simp: atms-of-def*)
ultimately **have** $?J \models_s N$
using *assms* **unfolding** *true-clss-ext-def* **by** *blast*
then **have** $?J \models_s N - \{C\}$ **by** *auto*
have $\{v \in ?J. \text{atm-of } v \in \text{atms-of-ms } (N - \{C\})\} \subseteq J$
using *tot* **unfolding** *total-over-m-def total-over-set-def*
by (*auto intro!: rev-image-eqI*)

```

then show  $J \models_s N - \{C\}$ 
  using true-clss-remove-unused[ $OF \langle ?J \models_s N - \{C\} \rangle$ ] unfolding true-clss-def
  by (meson true-clss-mono-set-mset-l)
qed

```

```

lemma consistent-true-clss-ext-satisfiable:
  assumes consistent-interp  $I$  and  $I \models_{\text{sext}} A$ 
  shows satisfiable  $A$ 
  by (metis Un-empty-left assms satisfiable-carac subset-Un-eq sup.left-idem
    total-over-m-consistent-extension total-over-m-empty true-clss-ext-def)

```

```

lemma not-consistent-true-clss-ext:
  assumes  $\neg \text{consistent-interp } I$ 
  shows  $I \models_{\text{sext}} A$ 
  by (meson assms consistent-interp-subset true-clss-ext-def)
end

```

```

theory Prop-Logic-Multiset

```

```

imports ../lib/Multiset-More Prop-Normalisation Partial-Clausal-Logic
begin

```

12 Link with Multiset Version

12.1 Transformation to Multiset

```

fun mset-of-conj :: 'a propo  $\Rightarrow$  'a literal multiset where
  mset-of-conj (FOr  $\varphi \psi$ ) = mset-of-conj  $\varphi$  + mset-of-conj  $\psi$  |
  mset-of-conj (FVar  $v$ ) =  $\{\# \text{ Pos } v \#\}$  |
  mset-of-conj (FNot (FVar  $v$ )) =  $\{\# \text{ Neg } v \#\}$  |
  mset-of-conj FF =  $\{\#\}$ 

```

```

fun mset-of-formula :: 'a propo  $\Rightarrow$  'a literal multiset set where
  mset-of-formula (FAnd  $\varphi \psi$ ) = mset-of-formula  $\varphi \cup$  mset-of-formula  $\psi$  |
  mset-of-formula (FOr  $\varphi \psi$ ) =  $\{\text{mset-of-conj (FOr } \varphi \psi)\}$  |
  mset-of-formula (FVar  $\psi$ ) =  $\{\text{mset-of-conj (FVar } \psi)\}$  |
  mset-of-formula (FNot  $\psi$ ) =  $\{\text{mset-of-conj (FNot } \psi)\}$  |
  mset-of-formula FF =  $\{\{\#\}\}$  |
  mset-of-formula FT =  $\{\}$ 

```

12.2 Equisatisfiability of the two Version

```

lemma is-conj-with-TF-FNot:
  is-conj-with-TF (FNot  $\varphi$ )  $\longleftrightarrow (\exists v. \varphi = \text{FVar } v \vee \varphi = \text{FF} \vee \varphi = \text{FT})$ 
  unfolding is-conj-with-TF-def apply (rule iffI)
  apply (induction FNot  $\varphi$  rule: super-grouped-by.induct)
  apply (induction FNot  $\varphi$  rule: grouped-by.induct)
  apply simp
  apply (cases  $\varphi$ ; simp)
  apply auto
done

```

```

lemma grouped-by-COr-FNot:
  grouped-by COr (FNot  $\varphi$ )  $\longleftrightarrow (\exists v. \varphi = \text{FVar } v \vee \varphi = \text{FF} \vee \varphi = \text{FT})$ 
  unfolding is-conj-with-TF-def apply (rule iffI)
  apply (induction FNot  $\varphi$  rule: grouped-by.induct)
  apply simp

```

```

    apply (cases  $\varphi$ ; simp)
  apply auto
done

lemma
  shows  $\text{no-T-F-FF}[simp]: \neg \text{no-T-F FF}$  and
     $\text{no-T-F-FT}[simp]: \neg \text{no-T-F FT}$ 
  unfolding  $\text{no-T-F-def all-subformula-st-def}$  by auto

lemma grouped-by-CAnd-FAnd:
  grouped-by CAnd ( $\text{FAnd } \varphi1 \ \varphi2$ )  $\longleftrightarrow$  grouped-by CAnd  $\varphi1 \wedge$  grouped-by CAnd  $\varphi2$ 
  apply (rule iffI)
  apply (induction  $\text{FAnd } \varphi1 \ \varphi2$  rule: grouped-by.induct)
  using connected-is-group[of CAnd  $\varphi1 \ \varphi2$ ] by auto

lemma grouped-by-COr-FOr:
  grouped-by COr ( $\text{FOr } \varphi1 \ \varphi2$ )  $\longleftrightarrow$  grouped-by COr  $\varphi1 \wedge$  grouped-by COr  $\varphi2$ 
  apply (rule iffI)
  apply (induction  $\text{FOr } \varphi1 \ \varphi2$  rule: grouped-by.induct)
  using connected-is-group[of COr  $\varphi1 \ \varphi2$ ] by auto

lemma grouped-by-COr-FAnd[simp]:  $\neg$  grouped-by COr ( $\text{FAnd } \varphi1 \ \varphi2$ )
  apply clarify
  apply (induction  $\text{FAnd } \varphi1 \ \varphi2$  rule: grouped-by.induct)
  apply auto
done

lemma grouped-by-COr-FEq[simp]:  $\neg$  grouped-by COr ( $\text{FEq } \varphi1 \ \varphi2$ )
  apply clarify
  apply (induction  $\text{FEq } \varphi1 \ \varphi2$  rule: grouped-by.induct)
  apply auto
done

lemma [simp]:  $\neg$ grouped-by COr ( $\text{FImp } \varphi \ \psi$ )
  apply clarify
  by (induction  $\text{FImp } \varphi \ \psi$  rule: grouped-by.induct) simp-all

lemma [simp]:  $\neg$  is-conj-with-TF ( $\text{FImp } \varphi \ \psi$ )
  unfolding is-conj-with-TF-def apply clarify
  by (induction  $\text{FImp } \varphi \ \psi$  rule: super-grouped-by.induct) simp-all

lemma [simp]:  $\neg$ grouped-by COr ( $\text{FEq } \varphi \ \psi$ )
  apply clarify
  by (induction  $\text{FEq } \varphi \ \psi$  rule: grouped-by.induct) simp-all

lemma [simp]:  $\neg$  is-conj-with-TF ( $\text{FEq } \varphi \ \psi$ )
  unfolding is-conj-with-TF-def apply clarify
  by (induction  $\text{FEq } \varphi \ \psi$  rule: super-grouped-by.induct) simp-all

lemma is-conj-with-TF-Fand:
  is-conj-with-TF ( $\text{FAnd } \varphi1 \ \varphi2$ )  $\implies$  is-conj-with-TF  $\varphi1 \wedge$  is-conj-with-TF  $\varphi2$ 
  unfolding is-conj-with-TF-def
  apply (induction  $\text{FAnd } \varphi1 \ \varphi2$  rule: super-grouped-by.induct)
  apply (auto simp: grouped-by-CAnd-FAnd intro: grouped-is-super-grouped)[]

```

```

apply auto[]
done

```

lemma *is-conj-with-TF-FOr*:

```

is-conj-with-TF (FOr  $\varphi 1$   $\varphi 2$ )  $\implies$  grouped-by COr  $\varphi 1 \wedge$  grouped-by COr  $\varphi 2$ 
unfolding is-conj-with-TF-def
apply (induction FOr  $\varphi 1$   $\varphi 2$  rule: super-grouped-by.induct)
apply (auto simp: grouped-by-COr-FOr)[]
apply auto[]
done

```

lemma *grouped-by-COr-mset-of-formula*:

```

grouped-by COr  $\varphi \implies$  mset-of-formula  $\varphi =$  (if  $\varphi = FT$  then  $\{\}$  else  $\{\text{mset-of-conj } \varphi\}$ )
by (induction  $\varphi$ ) (auto simp add: grouped-by-COr-FNot)

```

When a formula is in CNF form, then there is equisatisfiability. Remark that the definition for the entailment are slightly different: $op \models$ uses a function assigning *True* or *False*, while $op \models s$ uses a set where being in the list means entailment of a literal.

theorem

```

fixes  $\varphi :: 'v \text{ propo}$ 
assumes is-cnf  $\varphi$ 
shows  $\text{eval } A \varphi \longleftrightarrow \text{Partial-Clausal-Logic.true-clss } (\{\text{Pos } v | v. A \ v\} \cup \{\text{Neg } v | v. \neg A \ v\})$ 
  (mset-of-formula  $\varphi$ )
using assms
proof (induction  $\varphi$ )
  case FF
    then show ?case by auto
  next
    case FT
      then show ?case by auto
  next
    case (FVar  $v$ )
      then show ?case by auto
  next
    case (FAnd  $\varphi \ \psi$ )
      then show ?case
      unfolding is-cnf-def by (auto simp: is-conj-with-TF-FNot dest: is-conj-with-TF-Fand
        dest!: is-conj-with-TF-FOr)
  next
    case (FOr  $\varphi \ \psi$ )
      then have [simp]: mset-of-formula  $\varphi = \{\text{mset-of-conj } \varphi\}$  mset-of-formula  $\psi = \{\text{mset-of-conj } \psi\}$ 
        unfolding is-cnf-def by (auto dest!: is-conj-with-TF-FOr simp: grouped-by-COr-mset-of-formula
          split: if-splits)
      have is-conj-with-TF  $\varphi$  is-conj-with-TF  $\psi$ 
        using FOr(3) unfolding is-cnf-def no-T-F-def
        by (metis grouped-is-super-grouped is-conj-with-TF-FOr is-conj-with-TF-def)+
      then show ?case using FOr
        unfolding is-cnf-def by simp
  next
    case (FImp  $\varphi \ \psi$ )
      then show ?case
        unfolding is-cnf-def by auto
  next
    case (FEq  $\varphi \ \psi$ )
      then show ?case

```

```

    unfolding is-cnfn-def by auto
next
case (FNot  $\varphi$ )
then show ?case
    unfolding is-cnfn-def by (auto simp: is-conj-with-TF-FNot)
qed

end
theory Prop-Resolution
imports Partial-Clausal-Logic List-More Wellfounded-More

begin

```

13 Resolution

13.1 Simplification Rules

inductive *simplify* :: '*v* clauses \Rightarrow '*v* clauses \Rightarrow bool **for** *N* :: '*v* clause set **where**
tautology-deletion:

$(A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}) \in N \Longrightarrow simplify\ N\ (N - \{A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}\})$
condensation:

$(A + \{\#L\# \} + \{\#L\# \}) \in N \Longrightarrow simplify\ N\ (N - \{A + \{\#L\# \} + \{\#L\# \}\} \cup \{A + \{\#L\# \}\})$ |
subsumption:

$A \in N \Longrightarrow A \subset\# B \Longrightarrow B \in N \Longrightarrow simplify\ N\ (N - \{B\})$

lemma *simplify-preserves-un-sat*:

fixes *N N'* :: '*v* clauses

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N' \longrightarrow I \models_s N$

using *assms*

proof (*induct rule: simplify.induct*)

case (*tautology-deletion A P*)

then have $I \models A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}$

by (*metis total-over-m-def total-over-set-literal-defined true-clss-singleton true-clss-union
true-lit-def uminus-Neg union-commute*)

then show ?case **by** (*metis Un-Diff-cancel2 true-clss-singleton true-clss-union*)

next

case (*condensation A P*)

then show ?case **by** (*metis Diff-insert-absorb Set.set-insert insertE true-clss-union true-clss-def
true-clss-singleton true-clss-union*)

next

case (*subsumption A B*)

have $A \neq B$ **using** *subsumption.hyps(2)* **by** *auto*

then have $I \models_s N - \{B\} \Longrightarrow I \models A$ **using** $\langle A \in N \rangle$ **by** (*simp add: true-clss-def*)

moreover have $I \models A \Longrightarrow I \models B$ **using** $\langle A \subset\# B \rangle$ **by** *auto*

ultimately show ?case **by** (*metis insert-Diff-single true-clss-insert*)

qed

lemma *simplify-preserves-un-sat*:

fixes *N N'* :: '*v* clauses

assumes *simplify N N'*

and *total-over-m I N*

shows $I \models_s N \longrightarrow I \models_s N'$

using *assms apply (induct rule: simplify.induct)*

```

using true-clss-def by fastforce+

lemma simplify-preserves-un-sat'':
  fixes N N' :: 'v clauses
  assumes simplify N N'
  and total-over-m I N'
  shows  $I \models_s N \longrightarrow I \models_s N'$ 
  using assms apply (induct rule: simplify.induct)
  using true-clss-def by fastforce+

lemma simplify-preserves-un-sat-eq:
  fixes N N' :: 'v clauses
  assumes simplify N N'
  and total-over-m I N
  shows  $I \models_s N \longleftrightarrow I \models_s N'$ 
  using simplify-preserves-un-sat simplify-preserves-un-sat' assms by blast

lemma simplify-preserves-finite:
  assumes simplify  $\psi \psi'$ 
  shows  $\text{finite } \psi \longleftrightarrow \text{finite } \psi'$ 
  using assms by (induct rule: simplify.induct, auto simp add: remove-def)

lemma rtranclp-simplify-preserves-finite:
  assumes rtranclp simplify  $\psi \psi'$ 
  shows  $\text{finite } \psi \longleftrightarrow \text{finite } \psi'$ 
  using assms by (induct rule: rtranclp-induct) (auto simp add: simplify-preserves-finite)

lemma simplify-atms-of-ms:
  assumes simplify  $\psi \psi'$ 
  shows  $\text{atms-of-ms } \psi' \subseteq \text{atms-of-ms } \psi$ 
  using assms unfolding atms-of-ms-def
proof (induct rule: simplify.induct)
  case (tautology-deletion A P)
  then show ?case by auto
next
  case (condensation A P)
  moreover have  $A + \{\#P\# \} + \{\#P\# \} \in \psi \implies \exists x \in \psi. \text{atm-of } P \in \text{atm-of 'set-mset } x$ 
    by (metis Un-iff atms-of-def atms-of-plus atms-of-singleton insert-iff)
  ultimately show ?case by (auto simp add: atms-of-def)
next
  case (subsumption A P)
  then show ?case by auto
qed

lemma rtranclp-simplify-atms-of-ms:
  assumes rtranclp simplify  $\psi \psi'$ 
  shows  $\text{atms-of-ms } \psi' \subseteq \text{atms-of-ms } \psi$ 
  using assms apply (induct rule: rtranclp-induct)
  apply (fastforce intro: simplify-atms-of-ms)
  using simplify-atms-of-ms by blast

lemma factoring-imp-simplify:
  assumes  $\{\#L\# \} + \{\#L\# \} + C \in N$ 
  shows  $\exists N'. \text{simplify } N N'$ 
proof -

```

have $C + \{\#L\# \} + \{\#L\# \} \in N$ **using** *assms* **by** (*simp add: add.commute union-lcomm*)
from *condensation[OF this]* **show** *?thesis* **by** *blast*
qed

13.2 Unconstrained Resolution

type-synonym *'v uncon-state* = *'v clauses*

inductive *uncon-res* :: *'v uncon-state* \Rightarrow *'v uncon-state* \Rightarrow *bool* **where**

resolution:

$\{\#Pos\ p\# \} + C \in N \Longrightarrow \{\#Neg\ p\# \} + D \in N \Longrightarrow (\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D) \notin$
already-used

$\Longrightarrow uncon-res\ (N)\ (N \cup \{C + D\}) \mid$

factoring: $\{\#L\# \} + \{\#L\# \} + C \in N \Longrightarrow uncon-res\ N\ (N \cup \{C + \{\#L\# \}\})$

lemma *uncon-res-increasing*:

assumes *uncon-res* *S S'* **and** $\psi \in S$

shows $\psi \in S'$

using *assms* **by** (*induct rule: uncon-res.induct*) *auto*

lemma *rtranclp-uncon-inference-increasing*:

assumes *rtranclp uncon-res* *S S'* **and** $\psi \in S$

shows $\psi \in S'$

using *assms* **by** (*induct rule: rtranclp-induct*) (*auto simp add: uncon-res-increasing*)

13.2.1 Subsumption

definition *subsumes* :: *'a literal multiset* \Rightarrow *'a literal multiset* \Rightarrow *bool* **where**

subsumes $\chi\ \chi' \longleftrightarrow$

$(\forall I. total-over-m\ I\ \{\chi'\} \longrightarrow total-over-m\ I\ \{\chi\})$

$\wedge (\forall I. total-over-m\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

lemma *subsumes-refl[simp]*:

subsumes $\chi\ \chi$

unfolding *subsumes-def* **by** *auto*

lemma *subsumes-subsumption*:

assumes *subsumes* *D* χ

and $C \subset\# D$ **and** $\neg tautology\ \chi$

shows *subsumes* *C* χ **unfolding** *subsumes-def*

using *assms* *subsumption-total-over-m* *subsumption-chained* **unfolding** *subsumes-def*

by (*blast intro!: subset-mset.less-imp-le*)

lemma *subsumes-tautology*:

assumes *subsumes* $(C + \{\#Pos\ P\# \} + \{\#Neg\ P\# \})\ \chi$

shows *tautology* χ

using *assms* **unfolding** *subsumes-def* **by** (*simp add: tautology-def*)

13.3 Inference Rule

type-synonym *'v state* = *'v clauses* \times (*'v clause* \times *'v clause*) *set*

inductive *inference-clause* :: *'v state* \Rightarrow *'v clause* \times (*'v clause* \times *'v clause*) *set* \Rightarrow *bool*

(**infix** \Rightarrow_{Res} 100) **where**

resolution:

$\{\#Pos\ p\# \} + C \in N \Longrightarrow \{\#Neg\ p\# \} + D \in N \Longrightarrow (\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D) \notin$
already-used

\Rightarrow inference-clause $(N, \text{already-used}) (C + D, \text{already-used} \cup \{(\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D)\}) \mid$
factoring: $\{\#L\#\} + \{\#L\#\} + C \in N \Rightarrow$ inference-clause $(N, \text{already-used}) (C + \{\#L\#\}, \text{already-used})$

inductive inference :: '*v* state \Rightarrow '*v* state \Rightarrow bool **where**
inference-step: inference-clause S (*clause*, *already-used*)
 \Rightarrow inference S (*fst* $S \cup \{\text{clause}\}$, *already-used*)

abbreviation *already-used-inv*

:: '*a* literal multiset set \times ('*a* literal multiset \times '*a* literal multiset) set \Rightarrow bool **where**
already-used-inv state \equiv

$(\forall (A, B) \in \text{snd state}. \exists p. Pos\ p \in\# A \wedge Neg\ p \in\# B \wedge$
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi ((A - \{\#Pos\ p\# \}) + (B - \{\#Neg\ p\# \})))$
 $\vee \text{tautology } ((A - \{\#Pos\ p\# \}) + (B - \{\#Neg\ p\# \}))))$

lemma *inference-clause-preserves-already-used-inv*:

assumes *inference-clause* $S\ S'$
and *already-used-inv* S
shows *already-used-inv* (*fst* $S \cup \{\text{fst } S'\}$, *snd* S')
using *assms* **apply** (*induct* rule: *inference-clause.induct*)
by *fastforce*+

lemma *inference-preserves-already-used-inv*:

assumes *inference* $S\ S'$
and *already-used-inv* S
shows *already-used-inv* S'
using *assms*

proof (*induct* rule: *inference.induct*)

case (*inference-step* S *clause* *already-used*)

then show ?*case*

using *inference-clause-preserves-already-used-inv*[of S (*clause*, *already-used*)] **by** *simp*

qed

lemma *rtranclp-inference-preserves-already-used-inv*:

assumes *rtranclp inference* $S\ S'$
and *already-used-inv* S
shows *already-used-inv* S'
using *assms* **apply** (*induct* rule: *rtranclp-induct*, *simp*)
using *inference-preserves-already-used-inv* **unfolding** *tautology-def* **by** *fast*

lemma *subsumes-condensation*:

assumes *subsumes* $(C + \{\#L\#\} + \{\#L\#\})\ D$
shows *subsumes* $(C + \{\#L\#\})\ D$
using *assms* **unfolding** *subsumes-def* **by** *simp*

lemma *simplify-preserves-already-used-inv*:

assumes *simplify* $N\ N'$
and *already-used-inv* $(N, \text{already-used})$
shows *already-used-inv* $(N', \text{already-used})$
using *assms*

proof (*induct* rule: *simplify.induct*)

case (*condensation* $C\ L$)

then show ?*case*

using *subsumes-condensation* **by** *simp fast*

```

next
{
  fix a:: 'a and A :: 'a set and P
  have  $(\exists x \in \text{Set.remove } a \ A. P \ x) \longleftrightarrow (\exists x \in A. x \neq a \wedge P \ x)$  by auto
} note ex-member-remove = this
{
  fix a a0 :: 'v clause and A :: 'v clauses and y
  assume a  $\in$  A and a0  $\subset\#$  a
  then have  $(\exists x \in A. \text{subsumes } x \ y) \longleftrightarrow (\text{subsumes } a \ y \ \vee (\exists x \in A. x \neq a \wedge \text{subsumes } x \ y))$ 
    by auto
} note tt2 = this
case (subsumption A B) note A = this(1) and AB = this(2) and B = this(3) and inv = this(4)
show ?case
proof (standard, standard)
  fix x a b
  assume x:  $x \in \text{snd } (N - \{B\}, \text{already-used})$  and [simp]:  $x = (a, b)$ 
  obtain p where p:  $\text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$  and
    q:  $(\exists \chi \in N. \text{subsumes } \chi (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})))$ 
     $\vee \text{tautology } (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \}))$ 
  using inv x by fastforce
  consider (taut)  $\text{tautology } (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})) \mid$ 
     $(\chi) \ \chi \text{ where } \chi \in N \text{ subsumes } \chi (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \}))$ 
     $\neg \text{tautology } (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \}))$ 
  using q by auto
  then show
     $\exists p. \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$ 
     $\wedge ((\exists \chi \in \text{fst } (N - \{B\}, \text{already-used}). \text{subsumes } \chi (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})))$ 
     $\vee \text{tautology } (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})))$ 
  proof cases
    case taut
      then show ?thesis using p by auto
  next
    case  $\chi$  note H = this
      show ?thesis using p A AB B subsumes-subsumption[OF - AB H(3)] H(1,2) by auto
  qed
qed
next
case (tautology-deletion C P)
then show ?case apply clarify
proof -
  fix a b
  assume  $C + \{\# \text{Pos } P\# \} + \{\# \text{Neg } P\# \} \in N$ 
  assume already-used-inv (N, already-used)
  and  $(a, b) \in \text{snd } (N - \{C + \{\# \text{Pos } P\# \} + \{\# \text{Neg } P\# \}\}, \text{already-used})$ 
  then obtain p where
     $\text{Pos } p \in\# a \wedge \text{Neg } p \in\# b \wedge$ 
     $((\exists \chi \in \text{fst } (N \cup \{C + \{\# \text{Pos } P\# \} + \{\# \text{Neg } P\# \}\}, \text{already-used}).$ 
     $\text{subsumes } \chi (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})))$ 
     $\vee \text{tautology } (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})))$ 
  by fastforce
  moreover have  $\text{tautology } (C + \{\# \text{Pos } P\# \} + \{\# \text{Neg } P\# \})$  by auto
  ultimately show
     $\exists p. \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$ 
     $\wedge ((\exists \chi \in \text{fst } (N - \{C + \{\# \text{Pos } P\# \} + \{\# \text{Neg } P\# \}\}, \text{already-used}).$ 
     $\text{subsumes } \chi (a - \{\# \text{Pos } p\# \} + (b - \{\# \text{Neg } p\# \})))$ 

```

$\vee \text{tautology } (a - \{\#Pos\ p\# \} + (b - \{\#Neg\ p\# \})))$
by (*metis* (*no-types*) *Diff-iff* *Un-insert-right* *empty-iff* *fst-conv* *insertE* *subsumes-tautology* *sup-bot.right-neutral*)
qed
qed

lemma

factoring-satisfiable: $I \models \{\#L\# \} + \{\#L\# \} + C \longleftrightarrow I \models \{\#L\# \} + C$ **and**
resolution-satisfiable:
consistent-interp $I \implies I \models \{\#Pos\ p\# \} + C \implies I \models \{\#Neg\ p\# \} + D \implies I \models C + D$ **and**
factoring-same-vars: $\text{atms-of } (\{\#L\# \} + \{\#L\# \} + C) = \text{atms-of } (\{\#L\# \} + C)$
unfolding *true-clf-def* *consistent-interp-def* **by** (*fastforce* *split*: *if-split-asm*)**+**

lemma *inference-increasing*:

assumes *inference* $S\ S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
using *assms* **by** (*induct* *rule*: *inference.induct*, *auto*)

lemma *rtranclp-inference-increasing*:

assumes *rtranclp inference* $S\ S'$ **and** $\psi \in \text{fst } S$
shows $\psi \in \text{fst } S'$
using *assms* **by** (*induct* *rule*: *rtranclp-induct*, *auto* *simp* *add*: *inference-increasing*)

lemma *inference-clause-already-used-increasing*:

assumes *inference-clause* $S\ S'$
shows $\text{snd } S \subseteq \text{snd } S'$
using *assms* **by** (*induct* *rule*: *inference-clause.induct*, *auto*)

lemma *inference-already-used-increasing*:

assumes *inference* $S\ S'$
shows $\text{snd } S \subseteq \text{snd } S'$
using *assms* **apply** (*induct* *rule*: *inference.induct*)
using *inference-clause-already-used-increasing* **by** *fastforce*

lemma *inference-clause-preserves-un-sat*:

fixes $N\ N' :: 'v \text{ clauses}$
assumes *inference-clause* $T\ T'$
and *total-over-m* $I\ (\text{fst } T)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T \cup \{\text{fst } T'\}$
using *assms* **apply** (*induct* *rule*: *inference-clause.induct*)
unfolding *consistent-interp-def* *true-clss-def* **by** *auto* *force***+**

lemma *inference-preserves-un-sat*:

fixes $N\ N' :: 'v \text{ clauses}$
assumes *inference* $T\ T'$
and *total-over-m* $I\ (\text{fst } T)$
and *consistent*: *consistent-interp* I
shows $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T'$
using *assms* **apply** (*induct* *rule*: *inference.induct*)
using *inference-clause-preserves-un-sat* **by** *fastforce*

lemma *inference-clause-preserves-atms-of-ms*:
assumes *inference-clause* $S S'$
shows $\text{atms-of-ms } (\text{fst } (S \cup \{\text{fst } S'\}, \text{snd } S')) \subseteq \text{atms-of-ms } (\text{fst } S)$
using *assms* **apply** (*induct rule: inference-clause.induct*)
apply *auto*
apply (*metis Set.set-insert UnCI atms-of-ms-insert atms-of-plus*)
apply (*metis Set.set-insert UnCI atms-of-ms-insert atms-of-plus*)
apply (*simp add: in-m-in-literals union-assoc*)
unfolding *atms-of-ms-def* **using** *assms* **by** *fastforce*

lemma *inference-preserves-atms-of-ms*:
fixes $N N' :: 'v \text{ clauses}$
assumes *inference* $T T'$
shows $\text{atms-of-ms } (\text{fst } T') \subseteq \text{atms-of-ms } (\text{fst } T)$
using *assms* **apply** (*induct rule: inference.induct*)
using *inference-clause-preserves-atms-of-ms* **by** *fastforce*

lemma *inference-preserves-total*:
fixes $N N' :: 'v \text{ clauses}$
assumes *inference* $(N, \text{already-used}) (N', \text{already-used'})$
shows $\text{total-over-m } I N \implies \text{total-over-m } I N'$
using *assms* *inference-preserves-atms-of-ms* **unfolding** *total-over-m-def total-over-set-def*
by *fastforce*

lemma *rtranclp-inference-preserves-total*:
assumes *rtranclp inference* $T T'$
shows $\text{total-over-m } I (\text{fst } T) \implies \text{total-over-m } I (\text{fst } T')$
using *assms* **by** (*induct rule: rtranclp-induct, auto simp add: inference-preserves-total*)

lemma *rtranclp-inference-preserves-un-sat*:
assumes *rtranclp inference* $N N'$
and $\text{total-over-m } I (\text{fst } N)$
and *consistent: consistent-interp* I
shows $I \models_s \text{fst } N \longleftrightarrow I \models_s \text{fst } N'$
using *assms* **apply** (*induct rule: rtranclp-induct*)
apply (*simp add: inference-preserves-un-sat*)
using *inference-preserves-un-sat rtranclp-inference-preserves-total* **by** *blast*

lemma *inference-preserves-finite*:
assumes *inference* $\psi \psi'$ **and** *finite* $(\text{fst } \psi)$
shows *finite* $(\text{fst } \psi')$
using *assms* **by** (*induct rule: inference.induct, auto simp add: simplify-preserves-finite*)

lemma *inference-clause-preserves-finite-snd*:
assumes *inference-clause* $\psi \psi'$ **and** *finite* $(\text{snd } \psi)$
shows *finite* $(\text{snd } \psi')$
using *assms* **by** (*induct rule: inference-clause.induct, auto*)

lemma *inference-preserves-finite-snd*:
assumes *inference* $\psi \psi'$ **and** *finite* $(\text{snd } \psi)$
shows *finite* $(\text{snd } \psi')$
using *assms* *inference-clause-preserves-finite-snd* **by** (*induct rule: inference.induct, fastforce*)

```

lemma rtrancp-inference-preserves-finite:
  assumes rtrancp_inference  $\psi$   $\psi'$  and finite (fst  $\psi$ )
  shows finite (fst  $\psi'$ )
  using assms by (induct rule: rtrancp-induct)
  (auto simp add: simplify-preserves-finite inference-preserves-finite)

lemma consistent-interp-insert:
  assumes consistent-interp I
  and atm-of P  $\notin$  atm-of ' I
  shows consistent-interp (insert P I)
proof -
  have P: insert P I = I  $\cup$  {P} by auto
  show ?thesis unfolding P
  apply (rule consistent-interp-disjoint)
  using assms by (auto simp: image-iff)
qed

lemma simplify-clause-preserves-sat:
  assumes simp: simplify  $\psi$   $\psi'$ 
  and satisfiable  $\psi'$ 
  shows satisfiable  $\psi$ 
  using assms
proof induction
  case (tautology-deletion A P) note AP = this(1) and sat = this(2)
  let ?A' = A + {#Pos P#} + {#Neg P#}
  let ? $\psi$ ' =  $\psi$  - {?A'}
  obtain I where
    I: I  $\models$  s ? $\psi'$  and
    cons: consistent-interp I and
    tot: total-over-m I ? $\psi'$ 
  using sat unfolding satisfiable-def by auto
  { assume Pos P  $\in$  I  $\vee$  Neg P  $\in$  I
    then have I  $\models$  ?A' by auto
    then have I  $\models$  s  $\psi$  using I by (metis insert-Diff tautology-deletion.hyps true-clss-insert)
    then have ?case using cons tot by auto
  }
  moreover {
    assume Pos: Pos P  $\notin$  I and Neg: Neg P  $\notin$  I
    then have consistent-interp (I  $\cup$  {Pos P}) using cons by simp
    moreover have I'A: I  $\cup$  {Pos P}  $\models$  ?A' by auto
    have {Pos P}  $\cup$  I  $\models$  s  $\psi$  - {A + {#Pos P#} + {#Neg P#}}
      using {I  $\models$  s  $\psi$  - {A + {#Pos P#} + {#Neg P#}}} true-clss-union-increase' by blast
    then have I  $\cup$  {Pos P}  $\models$  s  $\psi$ 
      by (metis (no-types) Un-empty-right Un-insert-left Un-insert-right I'A insert-Diff
        sup-bot.left-neutral tautology-deletion.hyps true-clss-insert)
    ultimately have ?case using satisfiable-carac' by blast
  }
  ultimately show ?case by blast
next
  case (condensation A L) note AL = this(1) and sat = this(2)
  have f3: simplify  $\psi$  ( $\psi$  - {A + {#L#} + {#L#}}  $\cup$  {A + {#L#}})
    using AL simplify.condensation by blast
  obtain LL :: 'a literal multiset set  $\Rightarrow$  'a literal set where

```

```

f4: LL ( $\psi - \{A + \{\#L\# \} + \{\#L\# \} \} \cup \{A + \{\#L\# \} \}$ )  $\models_s \psi - \{A + \{\#L\# \} + \{\#L\# \} \} \cup \{A + \{\#L\# \} \}$ 
   $\wedge$  consistent-interp (LL ( $\psi - \{A + \{\#L\# \} + \{\#L\# \} \} \cup \{A + \{\#L\# \} \}$ ))
   $\wedge$  total-over-m (LL ( $\psi - \{A + \{\#L\# \} + \{\#L\# \} \}$ 
     $\cup \{A + \{\#L\# \} \}$ )) ( $\psi - \{A + \{\#L\# \} + \{\#L\# \} \} \cup \{A + \{\#L\# \} \}$ )
  using sat by (meson satisfiable-def)
have f5: insert ( $A + \{\#L\# \} + \{\#L\# \}$ ) ( $\psi - \{A + \{\#L\# \} + \{\#L\# \} \}$ ) =  $\psi$ 
  using AL by fastforce
have atms-of ( $A + \{\#L\# \} + \{\#L\# \}$ ) = atms-of ( $\{\#L\# \} + A$ )
  by simp
then show ?case
  using f5 f4 f3 by (metis (no-types) add.commute satisfiable-def simplify-preserves-un-sat'
    total-over-m-insert total-over-m-union)
next
case (subsumption A B) note A = this(1) and AB = this(2) and B = this(3) and sat = this(4)
let  $\psi' = \psi - \{B\}$ 
obtain I where I:  $I \models \psi'$  and cons: consistent-interp I and tot: total-over-m I  $\psi'$ 
  using sat unfolding satisfiable-def by auto
have  $I \models A$  using A I by (metis AB Diff-iff subset-mset.less-irrefl singletonD true-clss-def)
then have  $I \models B$  using AB subset-mset.less-imp-le true-clss-mono-leD by blast
then have  $I \models \psi$  using I by (metis insert-Diff-single true-clss-insert)
then show ?case using cons satisfiable-carac' by blast
qed

```

```

lemma simplify-preserves-unsat:
  assumes inference  $\psi \psi'$ 
  shows satisfiable (fst  $\psi'$ )  $\longrightarrow$  satisfiable (fst  $\psi$ )
  using assms apply (induct rule: inference.induct)
  using satisfiable-decreasing by (metis fst-conv)+

```

```

lemma inference-preserves-unsat:
  assumes inference** S S'
  shows satisfiable (fst S')  $\longrightarrow$  satisfiable (fst S)
  using assms apply (induct rule: rtranclp-induct)
  apply simp-all
  using simplify-preserves-unsat by blast

```

```

datatype 'v sem-tree = Node 'v 'v sem-tree 'v sem-tree | Leaf

```

```

fun sem-tree-size :: 'v sem-tree  $\Rightarrow$  nat where
  sem-tree-size Leaf = 0 |
  sem-tree-size (Node - ag ad) = 1 + sem-tree-size ag + sem-tree-size ad

```

```

lemma sem-tree-size[case-names bigger]:
  ( $\bigwedge xs:: 'v \text{ sem-tree. } (\bigwedge ys:: 'v \text{ sem-tree. } \text{sem-tree-size } ys < \text{sem-tree-size } xs \implies P \text{ } ys) \implies P \text{ } xs$ )
 $\implies P \text{ } xs$ 
  by (fact Nat.measure-induct-rule)

```

```

fun partial-interps :: 'v sem-tree  $\Rightarrow$  'v interp  $\Rightarrow$  'v clauses  $\Rightarrow$  bool where
  partial-interps Leaf I  $\psi$  = ( $\exists \chi. \neg I \models \chi \wedge \chi \in \psi \wedge \text{total-over-m } I \{\chi\}$ ) |
  partial-interps (Node v ag ad) I  $\psi \longleftrightarrow$ 
    (partial-interps ag ( $I \cup \{\text{Pos } v\}$ )  $\psi \wedge \text{partial-interps ad } (I \cup \{\text{Neg } v\}$ )  $\psi$ )

```

```

lemma simplify-preserve-partial-leaf:
  simplify N N'  $\implies$  partial-interps Leaf I N  $\implies$  partial-interps Leaf I N'
apply (induct rule: simplify.induct)
  using union-lcomm apply auto[1]
  apply (simp, metis atms-of-plus total-over-set-union true-cls-union)
apply simp
by (metis atms-of-ms-singleton mset-le-exists-conv subset-mset-def true-cls-mono-leD
  total-over-m-def total-over-m-sum)

```

```

lemma simplify-preserve-partial-tree:
  assumes simplify N N'
  and partial-interps t I N
  shows partial-interps t I N'
  using assms apply (induct t arbitrary: I, simp)
  using simplify-preserve-partial-leaf by metis

```

```

lemma inference-preserve-partial-tree:
  assumes inference S S'
  and partial-interps t I (fst S)
  shows partial-interps t I (fst S')
  using assms apply (induct t arbitrary: I, simp-all)
  by (meson inference-increasing)

```

```

lemma rtrancplp-inference-preserve-partial-tree:
  assumes rtrancplp inference N N'
  and partial-interps t I (fst N)
  shows partial-interps t I (fst N')
  using assms apply (induct rule: rtrancplp-induct, auto)
  using inference-preserve-partial-tree by force

```

```

function build-sem-tree :: 'v :: linorder set  $\Rightarrow$  'v clauses  $\Rightarrow$  'v sem-tree where
build-sem-tree atms  $\psi$  =
  (if atms = {}  $\vee$   $\neg$  finite atms
  then Leaf
  else Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
  (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ ))
by auto
termination
  apply (relation measure ( $\lambda(A, -). \text{card } A$ ), simp-all)
  apply (metis Min-in card-Diff1-less remove-def) +
done
declare build-sem-tree.induct[case-names tree]

```

```

lemma unsatisfiable-empty[simp]:
   $\neg$ unsatisfiable {}
  unfolding satisfiable-def apply auto
  using consistent-interp-def unfolding total-over-m-def total-over-set-def atms-of-ms-def by blast

```

```

lemma partial-interps-build-sem-tree-atms-general:
  fixes  $\psi :: 'v :: linorder$  clauses and  $p :: 'v$  literal list
  assumes unsat: unsatisfiable  $\psi$  and finite  $\psi$  and consistent-interp I

```

and *finite atms*
and *atms-of-ms* $\psi = \text{atms} \cup \text{atms-of-s } I$ **and** $\text{atms} \cap \text{atms-of-s } I = \{\}$
shows *partial-interps* (*build-sem-tree* *atms* ψ) *I* ψ
using *assms*
proof (*induct arbitrary: I rule: build-sem-tree.induct*)
case (*1 atms* ψ *Ia*) **note** *IH1* = *this(1)* **and** *IH2* = *this(2)* **and** *unsat* = *this(3)* **and** *finite* = *this(4)*
and *cons* = *this(5)* **and** *f* = *this(6)* **and** *un* = *this(7)* **and** *disj* = *this(8)*
{
 assume *atms: atms* = {}
 then have *atmsIa: atms-of-ms* $\psi = \text{atms-of-s } Ia$ **using** *un* **by** *auto*
 then have *total-over-m* *Ia* ψ **unfolding** *total-over-m-def* *atmsIa* **by** *auto*
 then have $\chi: \exists \chi \in \psi. \neg Ia \models \chi$
 using *unsat cons* **unfolding** *true-clss-def satisfiable-def* **by** *auto*
 then have *build-sem-tree* *atms* $\psi = \text{Leaf}$ **using** *atms* **by** *auto*
 moreover
 have *tot: $\bigwedge \chi. \chi \in \psi \implies \text{total-over-m } Ia \{\chi\}$*
 unfolding *total-over-m-def total-over-set-def atms-of-ms-def atms-of-s-def*
 using *atmsIa atms-of-ms-def* **by** *fastforce*
 have *partial-interps* *Leaf* *Ia* ψ
 using χ *tot* **by** (*auto simp add: total-over-m-def total-over-set-def atms-of-ms-def*)

 ultimately have *?case* **by** *metis*
}
moreover {
 assume *atms: atms* $\neq \{\}$
 have *build-sem-tree* *atms* $\psi = \text{Node } (\text{Min } \text{atms}) (\text{build-sem-tree } (\text{Set.remove } (\text{Min } \text{atms}) \text{atms}) \psi)$
 (*build-sem-tree* (*Set.remove* (*Min* *atms*) *atms*) ψ)
 using *build-sem-tree.simps*[*of* *atms* ψ] *f* *atms* **by** *metis*

 have *consistent-interp* (*Ia* $\cup \{\text{Pos } (\text{Min } \text{atms})\}$) **unfolding** *consistent-interp-def*
 by (*metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff*
 f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
 uminus-Neg uminus-Pos)
 moreover have *atms-of-ms* $\psi = \text{Set.remove } (\text{Min } \text{atms}) \text{atms} \cup \text{atms-of-s } (Ia \cup \{\text{Pos } (\text{Min } \text{atms})\})$
 using *Min-in atms f un* **by** *fastforce*
 moreover have *disj'*: $\text{Set.remove } (\text{Min } \text{atms}) \text{atms} \cap \text{atms-of-s } (Ia \cup \{\text{Pos } (\text{Min } \text{atms})\}) = \{\}$
 by *simp (metis disj disjoint-iff-not-equal member-remove)*
 moreover have *finite* ($\text{Set.remove } (\text{Min } \text{atms}) \text{atms}$) **using** *f* **by** (*simp add: remove-def*)
 ultimately have *subtree1: partial-interps* (*build-sem-tree* ($\text{Set.remove } (\text{Min } \text{atms}) \text{atms}$) ψ)
 ($Ia \cup \{\text{Pos } (\text{Min } \text{atms})\}$) ψ
 using *IH1*[*of* $Ia \cup \{\text{Pos } (\text{Min } (\text{atms}))\}$] *atms f unsat finite* **by** *metis*

 have *consistent-interp* ($Ia \cup \{\text{Neg } (\text{Min } \text{atms})\}$) **unfolding** *consistent-interp-def*
 by (*metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff*
 f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
 uminus-Neg)
 moreover have *atms-of-ms* $\psi = \text{Set.remove } (\text{Min } \text{atms}) \text{atms} \cup \text{atms-of-s } (Ia \cup \{\text{Neg } (\text{Min } \text{atms})\})$
 using $\langle \text{atms-of-ms } \psi = \text{Set.remove } (\text{Min } \text{atms}) \text{atms} \cup \text{atms-of-s } (Ia \cup \{\text{Pos } (\text{Min } \text{atms})\}) \rangle$ **by**
blast

 moreover have *disj'*: $\text{Set.remove } (\text{Min } \text{atms}) \text{atms} \cap \text{atms-of-s } (Ia \cup \{\text{Neg } (\text{Min } \text{atms})\}) = \{\}$
 using *disj* **by** *auto*
 moreover have *finite* ($\text{Set.remove } (\text{Min } \text{atms}) \text{atms}$) **using** *f* **by** (*simp add: remove-def*)
 ultimately have *subtree2: partial-interps* (*build-sem-tree* ($\text{Set.remove } (\text{Min } \text{atms}) \text{atms}$) ψ)
 ($Ia \cup \{\text{Neg } (\text{Min } \text{atms})\}$) ψ


```

using IH2[of Ia  $\cup$  {Neg (Min (atms))}] atms f unsat finite by metis

then have ?case
  using IH1 subtree1 subtree2 f local.finite unsat atms by simp
}
ultimately show ?case by metis
qed

lemma partial-interps-build-sem-tree-atms:
  fixes  $\psi :: 'v :: \text{linorder}$  clauses and  $p :: 'v$  literal list
  assumes unsat: unsatisfiable  $\psi$  and finite: finite  $\psi$ 
  shows partial-interps (build-sem-tree (atms-of-ms  $\psi$ )  $\psi$ ) {}  $\psi$ 
proof -
  have consistent-interp {} unfolding consistent-interp-def by auto
  moreover have atms-of-ms  $\psi$  = atms-of-ms  $\psi \cup$  atms-of-s {} unfolding atms-of-s-def by auto
  moreover have atms-of-ms  $\psi \cap$  atms-of-s {} = {} unfolding atms-of-s-def by auto
  moreover have finite (atms-of-ms  $\psi$ ) unfolding atms-of-ms-def using finite by simp
  ultimately show partial-interps (build-sem-tree (atms-of-ms  $\psi$ )  $\psi$ ) {}  $\psi$ 
    using partial-interps-build-sem-tree-atms-general[of  $\psi$  {} atms-of-ms  $\psi$ ] assms by metis
qed

lemma can-decrease-count:
  fixes  $\psi'' :: 'v$  clauses  $\times$  ('v clause  $\times$  'v clause  $\times$  'v) set
  assumes count  $\chi$  L = n
  and L  $\in \#$   $\chi$  and  $\chi \in \text{fst } \psi$ 
  shows  $\exists \psi' \chi'. \text{inference}^{**} \psi \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$ 
     $\wedge \text{count } \chi' L = 1$ 
     $\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$ 
     $\wedge (I \models \chi \longleftrightarrow I \models \chi')$ 
     $\wedge (\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi'\})$ 
  using assms
proof (induct n arbitrary:  $\chi \psi$ )
  case 0
  then show ?case by (simp add: not-in-iff[symmetric])
next
  case (Suc n  $\chi$ )
  note IH = this(1) and count = this(2) and L = this(3) and  $\chi$  = this(4)
  {
    assume n = 0
    then have inference**  $\psi \psi$ 
    and  $\chi \in \text{fst } \psi$ 
    and  $\forall L. (L \in \# \chi) \longleftrightarrow (L \in \# \chi)$ 
    and count  $\chi$  L = (1::nat)
    and  $\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi$ 
    by (auto simp add: count L  $\chi$ )
    then have ?case by metis
  }
  moreover {
    assume n > 0
    then have  $\exists C. \chi = C + \{\#L, L\# \}$ 
    by (smt L Suc-eq-plus1-left add.left-commute add-diff-cancel-left' add-diff-cancel-right'
      count-greater-zero-iff count-single local.count multi-member-split plus-multiset.rep-eq)
    then obtain C where C:  $\chi = C + \{\#L, L\# \}$  by metis
    let  $\chi' = C + \{\#L\# \}$ 

```

```

let ?ψ' = (fst ψ ∪ {?χ'}, snd ψ)
have φ: ∀ φ ∈ fst ψ. (φ ∈ fst ψ ∨ φ ≠ ?χ') ⟷ φ ∈ fst ?ψ' unfolding C by auto
have inf: inference ψ ?ψ'
  using C factoring χ prod.collapse union-commute inference-step by metis
moreover have count': count ?χ' L = n using C count by auto
moreover have Lχ': L ∈# ?χ' by auto
moreover have χ'ψ': ?χ' ∈ fst ?ψ' by auto
ultimately obtain ψ'' and χ''
where
  inference** ?ψ' ψ'' and
  α: χ'' ∈ fst ψ'' and
  ∀ La. (La ∈# ?χ') ⟷ (La ∈# χ'') and
  β: count χ'' L = (1::nat) and
  φ': ∀ φ. φ ∈ fst ?ψ' ⟶ φ ∈ fst ψ'' and
  Iχ: I ⊨ ?χ' ⟷ I ⊨ χ'' and
  tot: ∀ I'. total-over-m I' {?χ'} ⟶ total-over-m I' {χ''}
  using IH[of ?χ' ?ψ'] count' Lχ' χ'ψ' by blast

then have inference** ψ ψ''
and ∀ La. (La ∈# χ) ⟷ (La ∈# χ'')
using inf unfolding C by auto
moreover have ∀ φ. φ ∈ fst ψ ⟶ φ ∈ fst ψ'' using φ φ' by metis
moreover have I ⊨ χ ⟷ I ⊨ χ'' using Iχ unfolding true-cls-def C by auto
moreover have ∀ I'. total-over-m I' {χ} ⟶ total-over-m I' {χ''}
  using tot unfolding C total-over-m-def by auto
ultimately have ?case using φ φ' α β by metis
}
ultimately show ?case by auto
qed

lemma can-decrease-tree-size:
  fixes ψ :: 'v state and tree :: 'v sem-tree
  assumes finite (fst ψ) and already-used-inv ψ
  and partial-interps tree I (fst ψ)
  shows ∃ (tree' :: 'v sem-tree) ψ'. inference** ψ ψ' ∧ partial-interps tree' I (fst ψ')
    ∧ (sem-tree-size tree' < sem-tree-size tree ∨ sem-tree-size tree = 0)
  using assms
proof (induct arbitrary: I rule: sem-tree-size)
  case (bigger xs I) note IH = this(1) and finite = this(2) and a-u-i = this(3) and part = this(4)

  {
    assume sem-tree-size xs = 0
    then have ?case using part by blast
  }

  moreover {
    assume sn0: sem-tree-size xs > 0
    obtain ag ad v where xs: xs = Node v ag ad using sn0 by (cases xs, auto)
    {
      assume sem-tree-size ag = 0 and sem-tree-size ad = 0
      then have ag: ag = Leaf and ad: ad = Leaf by (cases ag, auto) (cases ad, auto)

      then obtain χ χ' where
        χ: ¬ I ∪ {Pos v} ⊨ χ and
        totχ: total-over-m (I ∪ {Pos v}) {χ} and

```

```

 $\chi\psi$ :  $\chi \in \text{fst } \psi$  and
 $\chi'$ :  $\neg I \cup \{\text{Neg } v\} \models \chi'$  and
 $\text{tot}\chi'$ :  $\text{total-over-m } (I \cup \{\text{Neg } v\}) \{\chi'\}$  and
 $\chi'\psi$ :  $\chi' \in \text{fst } \psi$ 
using part unfolding  $xs$  by auto
have  $\text{Pos}v$ :  $\neg \text{Pos } v \in \# \chi$  using  $\chi$  unfolding true-cls-def true-lit-def by auto
have  $\text{Neg}v$ :  $\neg \text{Neg } v \in \# \chi'$  using  $\chi'$  unfolding true-cls-def true-lit-def by auto
{
  assume  $\text{Neg}\chi$ :  $\neg \text{Neg } v \in \# \chi$ 
  have  $\neg I \models \chi$  using  $\chi$   $\text{Pos}v$  unfolding true-cls-def true-lit-def by auto
  moreover have  $\text{total-over-m } I \{\chi\}$ 
    using  $\text{Pos}\chi$   $\text{atm-imp-pos-or-neg-lit tot}\chi$  unfolding total-over-m-def total-over-set-def
    by fastforce
  ultimately have  $\text{partial-interps Leaf } I (\text{fst } \psi)$ 
  and  $\text{sem-tree-size Leaf} < \text{sem-tree-size } xs$ 
  and  $\text{inference}^{**} \psi \psi$ 
    unfolding  $xs$  by (auto simp add:  $\chi\psi$ )
}
moreover {
  assume  $\text{Pos}\chi$ :  $\neg \text{Pos } v \in \# \chi'$ 
  then have  $I\chi$ :  $\neg I \models \chi'$  using  $\chi'$   $\text{Pos}v$  unfolding true-cls-def true-lit-def by auto
  moreover have  $\text{total-over-m } I \{\chi'\}$ 
    using  $\text{Neg}\chi$   $\text{atm-imp-pos-or-neg-lit tot}\chi'$ 
    unfolding total-over-m-def total-over-set-def by fastforce
  ultimately have  $\text{partial-interps Leaf } I (\text{fst } \psi)$  and
     $\text{sem-tree-size Leaf} < \text{sem-tree-size } xs$  and
     $\text{inference}^{**} \psi \psi$ 
    using  $\chi'\psi$   $I\chi$  unfolding  $xs$  by auto
}
moreover {
  assume  $\text{neg}$ :  $\text{Neg } v \in \# \chi$  and  $\text{pos}$ :  $\text{Pos } v \in \# \chi'$ 
  then obtain  $\psi' \chi^2$  where  $\text{inf}$ :  $\text{rtrancp inference } \psi \psi'$  and  $\chi^2\text{incl}$ :  $\chi^2 \in \text{fst } \psi'$ 
    and  $\chi\chi^2\text{-incl}$ :  $\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi^2$ 
    and  $\text{count}\chi^2$ :  $\text{count } \chi^2 (\text{Neg } v) = 1$ 
    and  $\varphi$ :  $\forall \varphi::'v \text{ literal multiset. } \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi'$ 
    and  $I\chi$ :  $I \models \chi \longleftrightarrow I \models \chi^2$ 
    and  $\text{tot-imp}\chi$ :  $\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi^2\}$ 
    using  $\text{can-decrease-count[of } \chi \text{ Neg } v \text{ count } \chi (\text{Neg } v) \psi I] \chi\psi \chi'\psi$  by auto

  have  $\chi' \in \text{fst } \psi'$  by (simp add:  $\chi'\psi \varphi$ )
  with  $\text{pos}$ 
  obtain  $\psi'' \chi^{2'}$  where
     $\text{inf}'$ :  $\text{inference}^{**} \psi' \psi''$ 
    and  $\chi^{2'}\text{-incl}$ :  $\chi^{2'} \in \text{fst } \psi''$ 
    and  $\chi'\chi^{2'}\text{-incl}$ :  $\forall L::'v \text{ literal. } (L \in \# \chi') = (L \in \# \chi^{2'})$ 
    and  $\text{count}\chi^{2'}$ :  $\text{count } \chi^{2'} (\text{Pos } v) = (1::\text{nat})$ 
    and  $\varphi'$ :  $\forall \varphi::'v \text{ literal multiset. } \varphi \in \text{fst } \psi' \longrightarrow \varphi \in \text{fst } \psi''$ 
    and  $I\chi'$ :  $I \models \chi' \longleftrightarrow I \models \chi^{2'}$ 
    and  $\text{tot-imp}\chi'$ :  $\forall I'. \text{total-over-m } I' \{\chi'\} \longrightarrow \text{total-over-m } I' \{\chi^{2'}\}$ 
    using  $\text{can-decrease-count[of } \chi' \text{ Pos } v \text{ count } \chi' (\text{Pos } v) \psi' I] \chi'\psi \chi^{2'}$  by auto

  obtain  $C$  where  $\chi^2$ :  $\chi^2 = C + \{\#\text{Neg } v\}$  and  $\text{neg}C$ :  $\text{Neg } v \notin \# C$  and  $\text{pos}C$ :  $\text{Pos } v \notin \# C$ 
  proof -
    have  $\bigwedge m. \text{Suc } 0 - \text{count } m (\text{Neg } v) = \text{count } (\chi^2 - m) (\text{Neg } v)$ 
    by (simp add: count $\chi^2$ )

```

```

then show ?thesis
  using that by (metis (no-types) One-nat-def Posv Suc-inject Suc-pred  $\chi\chi 2$ -incl
    count-diff count-single insert-DiffM2 mem-Collect-eq multi-member-skip neg
    not-gr0 set-mset-def union-commute)
qed

obtain  $C'$  where
 $\chi 2'$ :  $\chi 2' = C' + \{\#Pos\ v\# \}$  and
 $posC'$ :  $Pos\ v \notin \# C'$  and
 $negC'$ :  $Neg\ v \notin \# C'$ 
proof -
  assume a1:  $\bigwedge C'. [\chi 2' = C' + \{\#Pos\ v\# \}; Pos\ v \notin \# C'; Neg\ v \notin \# C'] \implies thesis$ 
  have f2:  $\bigwedge n. (n::nat) - n = 0$ 
    by simp
  have  $Neg\ v \notin \# \chi 2' - \{\#Pos\ v\# \}$ 
    using Negv  $\chi' \chi 2$ -incl by (auto simp: not-in-iff)
  have count  $\{\#Pos\ v\# \} (Pos\ v) = 1$ 
    by simp
  then show ?thesis
    by (metis  $\chi' \chi 2$ -incl  $\langle Neg\ v \notin \# \chi 2' - \{\#Pos\ v\# \} \rangle$  a1 count $\chi 2'$  count-diff f2
      insert-DiffM2 less-numeral-extra(3) mem-Collect-eq pos set-mset-def)
qed

have already-used-inv  $\psi'$ 
  using rtranclp-inference-preserves-already-used-inv[of  $\psi\ \psi'$ ] a-u-i inf by blast
then have a-u-i- $\psi''$ : already-used-inv  $\psi''$ 
  using rtranclp-inference-preserves-already-used-inv a-u-i inf' unfolding tautology-def
  by simp

have totC: total-over-m  $I\ \{C\}$ 
  using tot-imp $\chi$  tot $\chi$  tot-over-m-remove[of  $I\ Pos\ v\ C$ ] negC posC unfolding  $\chi 2$ 
  by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
have totC': total-over-m  $I\ \{C'\}$ 
  using tot-imp $\chi'$  tot $\chi'$  total-over-m-sum tot-over-m-remove[of  $I\ Neg\ v\ C'$ ] negC' posC'
  unfolding  $\chi 2'$  by (metis total-over-m-sum uminus-Neg)
have  $\neg I \models C + C'$ 
  using  $\chi\ I\chi\ \chi' I\chi'$  unfolding  $\chi 2\ \chi 2'$  true-cls-def by auto
then have part-I- $\psi'''$ : partial-interps Leaf  $I\ (fst\ \psi'' \cup \{C + C'\})$ 
  using totC totC' by simp
  (metis  $\neg I \models C + C'$  atms-of-ms-singleton total-over-m-def total-over-m-sum)
{
  assume  $(\{\#Pos\ v\# \} + C', \{\#Neg\ v\# \} + C) \notin snd\ \psi''$ 
  then have inf'': inference  $\psi'' (fst\ \psi'' \cup \{C + C'\}, snd\ \psi'' \cup \{(\chi 2', \chi 2)\})$ 
    using add commute  $\varphi' \chi 2$ incl  $\langle \chi 2' \in fst\ \psi'' \rangle$  unfolding  $\chi 2\ \chi 2'$ 
    by (metis prod.collapse inference-step resolution)
  have inference**  $\psi (fst\ \psi'' \cup \{C + C'\}, snd\ \psi'' \cup \{(\chi 2', \chi 2)\})$ 
    using inf inf' inf'' rtranclp-trans by auto
  moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
  ultimately have ?case using part-I- $\psi'''$  by (metis fst-conv)
}
moreover {
  assume a:  $(\{\#Pos\ v\# \} + C', \{\#Neg\ v\# \} + C) \in snd\ \psi''$ 
  then have  $(\exists \chi \in fst\ \psi''. (\forall I. total-over-m\ I\ \{C + C'\} \longrightarrow total-over-m\ I\ \{\chi\})$ 
     $\wedge (\forall I. total-over-m\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C))$ 
     $\vee tautology\ (C' + C)$ 

```

```

proof –
  obtain  $p$  where  $p: \text{Pos } p \in \# (\{\# \text{Pos } v\} + C')$  and
   $n: \text{Neg } p \in \# (\{\# \text{Neg } v\} + C)$  and
  decomp:  $((\exists \chi \in \text{fst } \psi'')$ 
     $(\forall I. \text{total-over-}m \ I \ (\{\# \text{Pos } v\} + C') - \{\# \text{Pos } p\}$ 
       $+ ((\{\# \text{Neg } v\} + C) - \{\# \text{Neg } p\}))$ 
       $\longrightarrow \text{total-over-}m \ I \ \{\chi\})$ 
       $\wedge (\forall I. \text{total-over-}m \ I \ \{\chi\} \longrightarrow I \models \chi$ 
         $\longrightarrow I \models (\{\# \text{Pos } v\} + C') - \{\# \text{Pos } p\} + ((\{\# \text{Neg } v\} + C) - \{\# \text{Neg } p\}))$ 
       $)$ 
       $\vee \text{tautology } ((\{\# \text{Pos } v\} + C') - \{\# \text{Pos } p\} + ((\{\# \text{Neg } v\} + C) - \{\# \text{Neg } p\})))$ 
    using  $a$  by (blast intro:  $\text{allE}[OF \ a\text{-}u\text{-}\psi''[\text{unfolded subsumes-def Ball-def}],$ 
       $\text{of } (\{\# \text{Pos } v\} + C', \{\# \text{Neg } v\} + C)]$ )
    { assume  $p \neq v$ 
      then have  $\text{Pos } p \in \# \ C' \wedge \text{Neg } p \in \# \ C$  using  $p \ n$  by force
      then have  $?thesis$  unfolding  $\text{Bex-def}$  by auto
    }
    moreover {
      assume  $p = v$ 
      then have  $?thesis$  using decomp by ( $\text{metis add.commute add-diff-cancel-left}$ )
    }
    ultimately show  $?thesis$  by auto
  qed
moreover {
  assume  $\exists \chi \in \text{fst } \psi''$ .  $(\forall I. \text{total-over-}m \ I \ \{C+C'\} \longrightarrow \text{total-over-}m \ I \ \{\chi\})$ 
   $\wedge (\forall I. \text{total-over-}m \ I \ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C)$ 
  then obtain  $\vartheta$  where  $\vartheta: \vartheta \in \text{fst } \psi''$  and
   $\text{tot-}\vartheta\text{-}CC': \forall I. \text{total-over-}m \ I \ \{C+C'\} \longrightarrow \text{total-over-}m \ I \ \{\vartheta\}$  and
   $\vartheta\text{-inv}: \forall I. \text{total-over-}m \ I \ \{\vartheta\} \longrightarrow I \models \vartheta \longrightarrow I \models C' + C$  by blast
  have  $\text{partial-interps Leaf } I \ (\text{fst } \psi'')$ 
    using  $\text{tot-}\vartheta\text{-}CC' \ \vartheta \ \vartheta\text{-inv totC totC'} \hookrightarrow I \models C + C'$   $\text{total-over-}m\text{-sum}$  by fastforce
  moreover have  $\text{sem-tree-size Leaf} < \text{sem-tree-size } xs$  unfolding  $xs$  by auto
  ultimately have  $?case$  by ( $\text{metis inf inf' rtranclp-trans}$ )
}
moreover {
  assume  $\text{tautCC}': \text{tautology } (C' + C)$ 
  have  $\text{total-over-}m \ I \ \{C'+C\}$  using  $\text{totC totC' total-over-}m\text{-sum}$  by auto
  then have  $\neg \text{tautology } (C' + C)$ 
    using  $\hookrightarrow I \models C + C'$  unfolding  $\text{add.commute}[of \ C \ C'] \text{total-over-}m\text{-def}$ 
    unfolding  $\text{tautology-def}$  by auto
  then have  $\text{False}$  using  $\text{tautCC}'$  unfolding  $\text{tautology-def}$  by auto
}
ultimately have  $?case$  by auto
}
ultimately have  $?case$  by auto
}
ultimately have  $?case$  using part by ( $\text{metis (no-types) sem-tree-size.simps(1)}$ )
}
moreover {
  assume  $\text{size-ag: sem-tree-size ag} > 0$ 
  have  $\text{sem-tree-size ag} < \text{sem-tree-size } xs$  unfolding  $xs$  by auto
  moreover have  $\text{partial-interps ag } (I \cup \{\text{Pos } v\}) \ (\text{fst } \psi)$ 
    and  $\text{partad: partial-interps ad } (I \cup \{\text{Neg } v\}) \ (\text{fst } \psi)$ 
    using part partial-interps.simps(2) unfolding  $xs$  by metis+
  moreover have  $\text{sem-tree-size ag} < \text{sem-tree-size } xs \longrightarrow \text{finite } (\text{fst } \psi) \longrightarrow \text{already-used-inv } \psi$ 

```

```

    → ( partial-interps ag (I ∪ {Pos v}) (fst ψ) →
      (∃ tree' ψ'. inference** ψ ψ' ∧ partial-interps tree' (I ∪ {Pos v}) (fst ψ')
        ∧ (sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0)))
    using IH by auto
  ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
    inf: inference** ψ ψ'
    and part: partial-interps tree' (I ∪ {Pos v}) (fst ψ')
    and size: sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0
    using finite part rtranclp.rtrancl_refl a-u-i by blast

  have partial-interps ad (I ∪ {Neg v}) (fst ψ')
    using rtranclp-inference-preserve-partial-tree inf partad by metis
  then have partial-interps (Node v tree' ad) I (fst ψ') using part by auto
  then have ?case using inf size size-ag part unfolding xs by fastforce
}
moreover {
  assume size-ad: sem-tree-size ad > 0
  have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
  moreover have partag: partial-interps ag (I ∪ {Pos v}) (fst ψ) and
    partial-interps ad (I ∪ {Neg v}) (fst ψ)
    using part partial-interps.simps(2) unfolding xs by metis+
  moreover have sem-tree-size ad < sem-tree-size xs → finite (fst ψ) → already-used-inv ψ
    → ( partial-interps ad (I ∪ {Neg v}) (fst ψ)
      → (∃ tree' ψ'. inference** ψ ψ' ∧ partial-interps tree' (I ∪ {Neg v}) (fst ψ')
        ∧ (sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0)))
    using IH by auto
  ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
    inf: inference** ψ ψ'
    and part: partial-interps tree' (I ∪ {Neg v}) (fst ψ')
    and size: sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0
    using finite part rtranclp.rtrancl_refl a-u-i by blast

  have partial-interps ag (I ∪ {Pos v}) (fst ψ')
    using rtranclp-inference-preserve-partial-tree inf partag by metis
  then have partial-interps (Node v ag tree') I (fst ψ') using part by auto
  then have ?case using inf size size-ad unfolding xs by fastforce
}
ultimately have ?case by auto
}
ultimately show ?case by auto
qed

```

lemma *inference-completeness-inv*:

fixes ψ :: 'v :: linorder state

assumes

unsat: ¬satisfiable (fst ψ) **and**

finite: finite (fst ψ) **and**

a-u-v: already-used-inv ψ

shows ∃ ψ'. (inference** ψ ψ' ∧ {#} ∈ fst ψ')

proof –

obtain tree **where** partial-interps tree {} (fst ψ)

using partial-interps-build-sem-tree-atms assms **by** metis

then show ?thesis

using unsat finite a-u-v

proof (induct tree arbitrary: ψ rule: sem-tree-size)

```

case (bigger tree  $\psi$ ) note  $H = \text{this}$ 
{
  fix  $\chi$ 
  assume  $\text{tree}: \text{tree} = \text{Leaf}$ 
  obtain  $\chi$  where  $\chi: \neg \{\} \models \chi$  and  $\text{tot}\chi: \text{total-over-m } \{\} \{\chi\}$  and  $\chi\psi: \chi \in \text{fst } \psi$ 
    using  $H$  unfolding  $\text{tree}$  by  $\text{auto}$ 
  moreover have  $\{\#\} = \chi$ 
    using  $\text{tot}\chi$  unfolding  $\text{total-over-m-def total-over-set-def}$  by  $\text{fastforce}$ 
  moreover have  $\text{inference}^{**} \psi \psi$  by  $\text{auto}$ 
  ultimately have  $?case$  by  $\text{metis}$ 
}
moreover {
  fix  $v \text{ tree1 tree2}$ 
  assume  $\text{tree}: \text{tree} = \text{Node } v \text{ tree1 tree2}$ 
  obtain
     $\text{tree}' \psi'$  where  $\text{inf}: \text{inference}^{**} \psi \psi'$  and
     $\text{part}': \text{partial-interps } \text{tree}' \{\} (\text{fst } \psi')$  and
     $\text{decrease}: \text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0$ 
    using  $\text{can-decrease-tree-size}[\text{of } \psi] H(2,4,5)$  unfolding  $\text{tautology-def}$  by  $\text{meson}$ 
  have  $\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree}$  using  $\text{decrease}$  unfolding  $\text{tree}$  by  $\text{auto}$ 
  moreover have  $\text{finite } (\text{fst } \psi')$  using  $\text{rtranclp-inference-preserves-finite inf } H(4)$  by  $\text{metis}$ 
  moreover have  $\text{unsatisfiable } (\text{fst } \psi')$ 
    using  $\text{inference-preserves-unsat inf bigger.prem}(2)$  by  $\text{blast}$ 
  moreover have  $\text{already-used-inv } \psi'$ 
    using  $H(5) \text{ inf rtranclp-inference-preserves-already-used-inv}[\text{of } \psi \psi']$  by  $\text{auto}$ 
  ultimately have  $?case$  using  $\text{inf rtranclp-trans part}' H(1)$  by  $\text{fastforce}$ 
}
ultimately show  $?case$  by  $(\text{cases } \text{tree}, \text{auto})$ 
qed
qed

```

```

lemma inference-completeness:
  fixes  $\psi :: 'v :: \text{linorder state}$ 
  assumes  $\text{unsat}: \neg \text{satisfiable } (\text{fst } \psi)$ 
  and  $\text{finite}: \text{finite } (\text{fst } \psi)$ 
  and  $\text{snd } \psi = \{\}$ 
  shows  $\exists \psi'. (\text{rtranclp inference } \psi \psi' \wedge \{\#\} \in \text{fst } \psi')$ 
proof –
  have  $\text{already-used-inv } \psi$  unfolding  $\text{assms}$  by  $\text{auto}$ 
  then show  $?thesis$  using  $\text{assms inference-completeness-inv}$  by  $\text{blast}$ 
qed

```

```

lemma inference-soundness:
  fixes  $\psi :: 'v :: \text{linorder state}$ 
  assumes  $\text{rtranclp inference } \psi \psi'$  and  $\{\#\} \in \text{fst } \psi'$ 
  shows  $\text{unsatisfiable } (\text{fst } \psi)$ 
  using  $\text{assms}$  by  $(\text{meson rtranclp-inference-preserves-un-sat satisfiable-def true-cls-empty true-clss-def})$ 

```

```

lemma inference-soundness-and-completeness:
  fixes  $\psi :: 'v :: \text{linorder state}$ 
  assumes  $\text{finite}: \text{finite } (\text{fst } \psi)$ 
  and  $\text{snd } \psi = \{\}$ 
  shows  $(\exists \psi'. (\text{inference}^{**} \psi \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable } (\text{fst } \psi)$ 
  using  $\text{assms inference-completeness inference-soundness}$  by  $\text{metis}$ 

```

13.4 Lemma about the simplified state

abbreviation $\text{simplified } \psi \equiv (\text{no-step simplify } \psi)$

lemma *simplified-count*:

assumes *simp*: *simplified* ψ and $\chi: \chi \in \psi$

shows $\text{count } \chi \ L \leq 1$

proof –

```
{
  let  $? \chi' = \chi - \{\#L, L\# \}$ 
  assume  $\text{count } \chi \ L \geq 2$ 
  then have  $f1: \text{count } (\chi - \{\#L, L\# \} + \{\#L, L\# \}) \ L = \text{count } \chi \ L$ 
    by simp
  then have  $L \in \# \chi - \{\#L\# \}$ 
    by (metis (no-types) add.left-neutral add-diff-cancel-left' count-union diff-diff-add
      diff-single-trivial insert-DiffM mem-Collect-eq multi-member-this not-gr0 set-mset-def)
  then have  $\chi': ? \chi' + \{\#L\# \} + \{\#L\# \} = \chi$ 
    using  $f1$  by (metis diff-diff-add diff-single-eq-union in-diffD)

  have  $\exists \psi'. \text{simplify } \psi \ \psi'$ 
    by (metis (no-types, hide-lams)  $\chi \ \chi'$  add commute factoring-imp-simplify union-assoc)
  then have False using simp by auto
}
```

then show *?thesis* by *arith*

qed

lemma *simplified-no-both*:

assumes *simp*: *simplified* ψ and $\chi: \chi \in \psi$

shows $\neg (L \in \# \chi \wedge \neg L \in \# \chi)$

proof (rule *ccontr*)

assume $\neg \neg (L \in \# \chi \wedge \neg L \in \# \chi)$

then have $L \in \# \chi \wedge \neg L \in \# \chi$ by *metis*

then obtain χ' where $\chi = \chi' + \{\#Pos \ (atm\text{-of } L)\# \} + \{\#Neg \ (atm\text{-of } L)\# \}$

by (metis *Neg-atm-of-iff Pos-atm-of-iff diff-union-swap insert-DiffM2 uminus-Neg uminus-Pos*)

then show *False* using χ *simp* *tautology-deletion* by *fastforce*

qed

lemma *simplified-not-tautology*:

assumes *simplified* $\{\psi\}$

shows $\sim \text{tautology } \psi$

proof (rule *ccontr*)

assume $\sim ?thesis$

then obtain p where $Pos \ p \in \# \psi \wedge Neg \ p \in \# \psi$ using *tautology-decomp* by *metis*

then obtain χ where $\psi = \chi + \{\#Pos \ p\# \} + \{\#Neg \ p\# \}$

by (metis *insert-noteq-member literal.distinct(1) multi-member-split*)

then have $\sim \text{simplified } \{\psi\}$ by (auto intro: *tautology-deletion*)

then show *False* using *assms* by *auto*

qed

lemma *simplified-remove*:

assumes *simplified* $\{\psi\}$

shows *simplified* $\{\psi - \{\#l\# \}\}$

proof (rule *ccontr*)

assume $ns: \neg \text{simplified } \{\psi - \{\#l\# \}\}$

{

assume $\neg l \in \# \psi$


```

then have  $\psi - \{\#l\# \} = \psi$  by simp
then have False using ns assms by auto
}
moreover {
  assume  $l\psi: l \in \# \psi$ 
  have  $A: \bigwedge A. A \in \{\psi - \{\#l\# \} \} \longleftrightarrow A + \{\#l\# \} \in \{\psi\}$  by (auto simp add:  $l\psi$ )
  obtain  $l'$  where  $l': \text{simplify } \{\psi - \{\#l\# \} \} \ l'$  using ns by metis
  then have  $\exists l'. \text{simplify } \{\psi\} \ l'$ 
  proof (induction rule: simplify.induct)
    case (tautology-deletion A P)
    have  $\{\#Neg \ P\# \} + (\{\#Pos \ P\# \} + (A + \{\#l\# \})) \in \{\psi\}$ 
    by (metis (no-types) A add.commute tautology-deletion.hyps union-lcomm)
    then show ?thesis
    by (metis simplify.tautology-deletion[of A +  $\{\#l\# \}$  P  $\{\psi\}$ ] add.commute)
  next
    case (condensation A L)
    have  $A + \{\#L\# \} + \{\#L\# \} + \{\#l\# \} \in \{\psi\}$ 
    using A condensation.hyps by blast
    then have  $\{\#L, L\# \} + (A + \{\#l\# \}) \in \{\psi\}$ 
    by (metis (no-types) union-assoc union-commute)
    then show ?case
    using factoring-imp-simplify by blast
  next
    case (subsumption A B)
    then show ?case by blast
  qed
  then have False using assms(1) by blast
}
ultimately show False by auto
qed

```

```

lemma in-simplified-simplified:
  assumes simp: simplified  $\psi$  and incl:  $\psi' \subseteq \psi$ 
  shows simplified  $\psi'$ 
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  then obtain  $\psi''$  where  $\text{simplify } \psi' \ \psi''$  by metis
  then have  $\exists l'. \text{simplify } \psi \ l'$ 
  proof (induction rule: simplify.induct)
    case (tautology-deletion A P)
    then show ?thesis using simplify.tautology-deletion[of A P  $\psi$ ] incl by blast
  next
    case (condensation A L)
    then show ?case using simplify.condensation[of A L  $\psi$ ] incl by blast
  next
    case (subsumption A B)
    then show ?case using simplify.subsumption[of A  $\psi$  B] incl by auto
  qed
  then show False using assms(1) by blast
qed

```

```

lemma simplified-in:
  assumes simplified  $\psi$ 
  and  $N \in \psi$ 

```

shows *simplified* $\{N\}$
using *assms* **by** (*metis* *Set.set-insert empty-subsetI in-simplified-simplified insert-mono*)

lemma *subsumes-imp-formula*:

assumes $\psi \leq \# \varphi$
shows $\{\psi\} \models_p \varphi$
unfolding *true-clss-cls-def* **apply** *auto*
using *assms true-cls-mono-leD* **by** *blast*

lemma *simplified-imp-distinct-mset-tauto*:

assumes *simp*: *simplified* ψ'
shows *distinct-mset-set* ψ' **and** $\forall \chi \in \psi'. \neg \text{tautology } \chi$

proof –

show $\forall \chi \in \psi'. \neg \text{tautology } \chi$
using *simp* **by** (*auto simp add: simplified-in simplified-not-tautology*)

show *distinct-mset-set* ψ'

proof (*rule ccontr*)

assume $\neg ?thesis$

then obtain χ **where** $\chi \in \psi'$ **and** $\neg \text{distinct-mset } \chi$ **unfolding** *distinct-mset-set-def* **by** *auto*

then obtain L **where** *count* χ $L \geq 2$

unfolding *distinct-mset-def*

by (*meson count-greater-eq-one-iff le-antisym simp simplified-count*)

then show *False* **by** (*metis Suc-1 $\langle \chi \in \psi' \rangle$ not-less-eq-eq simp simplified-count*)

qed

qed

lemma *simplified-no-more-full1-simplified*:

assumes *simplified* ψ
shows $\neg \text{full1 simplify } \psi \psi'$
using *assms* **unfolding** *full1-def* **by** (*meson tranclpD*)

13.5 Resolution and Invariants

inductive *resolution* :: $'v \text{ state} \Rightarrow 'v \text{ state} \Rightarrow \text{bool}$ **where**

full1-simp: *full1 simplify* $N N' \Longrightarrow \text{resolution } (N, \text{already-used}) (N', \text{already-used})$ |

inferring: *inference* $(N, \text{already-used}) (N', \text{already-used}') \Longrightarrow \text{simplified } N$

$\Longrightarrow \text{full simplify } N' N'' \Longrightarrow \text{resolution } (N, \text{already-used}) (N'', \text{already-used}')$

13.5.1 Invariants

lemma *resolution-finite*:

assumes *resolution* $\psi \psi'$ **and** *finite* (*fst* ψ)
shows *finite* (*fst* ψ')
using *assms* **by** (*induct rule: resolution.induct*)
(auto simp add: full1-def full-def rtranclp-simplify-preserves-finite
dest: tranclp-into-rtranclp inference-preserves-finite)

lemma *rtranclp-resolution-finite*:

assumes *resolution*** $\psi \psi'$ **and** *finite* (*fst* ψ)
shows *finite* (*fst* ψ')
using *assms* **by** (*induct rule: rtranclp-induct, auto simp add: resolution-finite*)

lemma *resolution-finite-snd*:

assumes *resolution* $\psi \psi'$ **and** *finite* (*snd* ψ)
shows *finite* (*snd* ψ')

using *assms* **apply** (*induct rule: resolution.induct, auto simp add: inference-preserves-finite-snd*)
using *inference-preserves-finite-snd snd-conv* **by** *metis*

lemma *rtrancpl-resolution-finite-snd*:
assumes *resolution** $\psi \psi'$ and finite (snd ψ)*
shows *finite (snd ψ')*
using *assms* **by** (*induct rule: rtrancpl-induct, auto simp add: resolution-finite-snd*)

lemma *resolution-always-simplified*:
assumes *resolution $\psi \psi'$*
shows *simplified (fst ψ')*
using *assms* **by** (*induct rule: resolution.induct*)
(auto simp add: full1-def full-def)

lemma *trancpl-resolution-always-simplified*:
assumes *trancpl resolution $\psi \psi'$*
shows *simplified (fst ψ')*
using *assms* **by** (*induct rule: trancpl.induct, auto simp add: resolution-always-simplified*)

lemma *resolution-atms-of*:
assumes *resolution $\psi \psi'$ and finite (fst ψ)*
shows *atms-of-ms (fst ψ') \subseteq atms-of-ms (fst ψ)*
using *assms* **apply** (*induct rule: resolution.induct*)
apply (*simp add: rtrancpl-simplify-atms-of-ms trancpl-into-rtrancpl full1-def*)
by (*metis (no-types, lifting) contra-subsetD fst-conv full-def*
inference-preserves-atms-of-ms rtrancpl-simplify-atms-of-ms subsetI)

lemma *rtrancpl-resolution-atms-of*:
assumes *resolution** $\psi \psi'$ and finite (fst ψ)*
shows *atms-of-ms (fst ψ') \subseteq atms-of-ms (fst ψ)*
using *assms* **apply** (*induct rule: rtrancpl-induct*)
using *resolution-atms-of rtrancpl-resolution-finite* **by** *blast+*

lemma *resolution-include*:
assumes *res: resolution $\psi \psi'$ and finite: finite (fst ψ)*
shows *fst $\psi' \subseteq$ simple-clss (atms-of-ms (fst ψ))*

proof –
have *finite': finite (fst ψ')* **using** *local.finite res resolution-finite* **by** *blast*
have *simplified (fst ψ')* **using** *res finite' resolution-always-simplified* **by** *blast*
then have *fst $\psi' \subseteq$ simple-clss (atms-of-ms (fst ψ'))*
using *simplified-in-simple-clss finite' simplified-imp-distinct-mset-tauto[of fst ψ']* **by** *auto*
moreover have *atms-of-ms (fst ψ') \subseteq atms-of-ms (fst ψ)*
using *res finite resolution-atms-of[of $\psi \psi'$]* **by** *auto*
ultimately show *?thesis* **by** (*meson atms-of-ms-finite local.finite order.trans rev-finite-subset*
simple-clss-mono)
qed

lemma *rtrancpl-resolution-include*:
assumes *res: trancpl resolution $\psi \psi'$ and finite: finite (fst ψ)*
shows *fst $\psi' \subseteq$ simple-clss (atms-of-ms (fst ψ))*
using *assms* **apply** (*induct rule: trancpl.induct*)
apply (*simp add: resolution-include*)
by (*meson simple-clss-mono order-class.le-trans resolution-include*
rtrancpl-resolution-atms-of rtrancpl-resolution-finite trancpl-into-rtrancpl)

abbreviation *already-used-all-simple*

$:: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**

already-used-all-simple *already-used* *vars* \equiv

$(\forall (A, B) \in \text{already-used}. \text{simplified } \{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars})$

lemma *already-used-all-simple-vars-incl*:

assumes *vars* \subseteq *vars'*

shows *already-used-all-simple* *a vars* \implies *already-used-all-simple* *a vars'*

using *assms* **by** *fast*

lemma *inference-clause-preserves-already-used-all-simple*:

assumes *inference-clause* *S S'*

and *already-used-all-simple* (*snd S*) *vars*

and *simplified* (*fst S*)

and *atms-of-ms* (*fst S*) \subseteq *vars*

shows *already-used-all-simple* (*snd* (*fst S* \cup $\{\text{fst } S'\}$, *snd S'*)) *vars*

using *assms*

proof (*induct* rule: *inference-clause.induct*)

case (*factoring* *L C N* *already-used*)

then show ?*case* **by** (*simp* add: *simplified-in factoring-imp-simplify*)

next

case (*resolution* *P C N D* *already-used*) **note** *H* = *this*

show ?*case* **apply** *clarify*

proof –

fix *A B v*

assume $(A, B) \in \text{snd } (\text{fst } (N, \text{already-used}))$

$\cup \{\text{fst } (C + D, \text{already-used} \cup \{(\{\#Pos P\# \} + C, \{\#Neg P\# \} + D)\})\},$

$\text{snd } (C + D, \text{already-used} \cup \{(\{\#Pos P\# \} + C, \{\#Neg P\# \} + D)\})\}$

then have $(A, B) \in \text{already-used} \vee (A, B) = (\{\#Pos P\# \} + C, \{\#Neg P\# \} + D)$ **by** *auto*

moreover {

assume $(A, B) \in \text{already-used}$

then have *simplified* $\{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars}$

using *H(4)* **by** *auto*

}

moreover {

assume *eq*: $(A, B) = (\{\#Pos P\# \} + C, \{\#Neg P\# \} + D)$

then have *simplified* $\{A\}$ **using** *simplified-in H(1,5)* **by** *auto*

moreover have *simplified* $\{B\}$ **using** *eq simplified-in H(2,5)* **by** *auto*

moreover have *atms-of* *A* \subseteq *atms-of-ms* *N*

using *eq H(1)*

using *atms-of-atms-of-ms-mono[of A N]* **by** *auto*

moreover have *atms-of* *B* \subseteq *atms-of-ms* *N*

using *eq H(2) atms-of-atms-of-ms-mono[of B N]* **by** *auto*

ultimately have *simplified* $\{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars}$

using *H(6)* **by** *auto*

}

ultimately show *simplified* $\{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars}$

by *fast*

qed

qed

lemma *inference-preserves-already-used-all-simple*:

assumes *inference* *S S'*

and *already-used-all-simple* (*snd S*) *vars*

and *simplified* (*fst S*)

and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
using *assms*
proof (*induct rule: inference.induct*)
case (*inference-step S clause already-used*)
then show ?*case*
using *inference-clause-preserves-already-used-all-simple*[*of S (clause, already-used) vars*]
by *auto*
qed

lemma *already-used-all-simple-inv*:
assumes *resolution S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
shows *already-used-all-simple* (*snd S'*) *vars*
using *assms*
proof (*induct rule: resolution.induct*)
case (*full1-simp N N'*)
then show ?*case* **by** *simp*
next
case (*inferring N already-used N' already-used' N''*)
then show *already-used-all-simple* (*snd (N'', already-used')*) *vars*
using *inference-preserves-already-used-all-simple*[*of (N, already-used)*] **by** *simp*
qed

lemma *rtrancpl-already-used-all-simple-inv*:
assumes *resolution** S S'*
and *already-used-all-simple* (*snd S*) *vars*
and *atms-of-ms* (*fst S*) \subseteq *vars*
and *finite* (*fst S*)
shows *already-used-all-simple* (*snd S'*) *vars*
using *assms*
proof (*induct rule: rtrancpl-induct*)
case *base*
then show ?*case* **by** *simp*
next
case (*step S' S''*) **note** *infstar = this(1)* **and** *IH = this(3)* **and** *res = this(2)* **and**
already = this(4) **and** *atms = this(5)* **and** *finite = this(6)*
have *already-used-all-simple* (*snd S'*) *vars* **using** *IH already atms finite* **by** *simp*
moreover have *atms-of-ms* (*fst S'*) \subseteq *atms-of-ms* (*fst S*)
by (*simp add: infstar local.finite rtrancpl-resolution-atms-of*)
then have *atms-of-ms* (*fst S'*) \subseteq *vars* **using** *atms* **by** *auto*
ultimately show ?*case*
using *already-used-all-simple-inv*[*OF res*] **by** *simp*
qed

lemma *inference-clause-simplified-already-used-subset*:
assumes *inference-clause S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
using *assms* **apply** (*induct rule: inference-clause.induct, auto*)
using *factoring-imp-simplify* **by** *blast*

lemma *inference-simplified-already-used-subset*:
assumes *inference S S'*

and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
using *assms* **apply** (*induct rule: inference.induct*)
by (*metis inference-clause-simplified-already-used-subset snd-conv*)

lemma *resolution-simplified-already-used-subset*:
assumes *resolution S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
using *assms* **apply** (*induct rule: resolution.induct, simp-all add: full1-def*)
apply (*meson tranclpD*)
by (*metis inference-simplified-already-used-subset fst-conv snd-conv*)

lemma *tranclp-resolution-simplified-already-used-subset*:
assumes *tranclp resolution S S'*
and *simplified* (*fst S*)
shows *snd S* \subset *snd S'*
using *assms* **apply** (*induct rule: tranclp.induct*)
using *resolution-simplified-already-used-subset* **apply** *metis*
by (*meson tranclp-resolution-always-simplified resolution-simplified-already-used-subset less-trans*)

abbreviation *already-used-top vars* \equiv *simple-clss vars* \times *simple-clss vars*

lemma *already-used-all-simple-in-already-used-top*:
assumes *already-used-all-simple s vars* **and** *finite vars*
shows *s* \subseteq *already-used-top vars*
proof
fix *x*
assume *x-s: x* \in *s*
obtain *A B* **where** *x: x* = (*A, B*) **by** (*cases x, auto*)
then have *simplified* {*A*} **and** *atms-of A* \subseteq *vars* **using** *assms(1)* *x-s* **by** *fastforce+*
then have *A: A* \in *simple-clss vars*
using *simple-clss-mono*[*of atms-of A vars*] *x* *assms(2)*
simplified-imp-distinct-mset-tauto[*of* {*A*}]
distinct-mset-not-tautology-implies-in-simple-clss **by** *fast*
moreover have *simplified* {*B*} **and** *atms-of B* \subseteq *vars* **using** *assms(1)* *x-s x* **by** *fast+*
then have *B: B* \in *simple-clss vars*
using *simplified-imp-distinct-mset-tauto*[*of* {*B*}]
distinct-mset-not-tautology-implies-in-simple-clss
simple-clss-mono[*of atms-of B vars*] *x* *assms(2)* **by** *fast*
ultimately show *x* \in *simple-clss vars* \times *simple-clss vars*
unfolding *x* **by** *auto*
qed

lemma *already-used-top-finite*:
assumes *finite vars*
shows *finite* (*already-used-top vars*)
using *simple-clss-finite* *assms* **by** *auto*

lemma *already-used-top-increasing*:
assumes *var* \subseteq *var'* **and** *finite var'*
shows *already-used-top var* \subseteq *already-used-top var'*
using *assms* *simple-clss-mono* **by** *auto*

lemma *already-used-all-simple-finite*:
fixes $s :: ('a \text{ literal multiset} \times 'a \text{ literal multiset}) \text{ set}$ **and** $\text{vars} :: 'a \text{ set}$
assumes *already-used-all-simple* $s \text{ vars}$ **and** *finite* vars
shows *finite* s
using *assms* *already-used-all-simple-in-already-used-top*[*OF* *assms*(1)]
rev-finite-subset[*OF* *already-used-top-finite*[*of vars*]] **by** *auto*

abbreviation *card-simple* $\text{vars } \psi \equiv \text{card } (\text{already-used-top } \text{vars} - \psi)$

lemma *resolution-card-simple-decreasing*:

assumes *res*: *resolution* $\psi \psi'$
and *a-u-s*: *already-used-all-simple* $(\text{snd } \psi) \text{ vars}$
and *finite-v*: *finite* vars
and *finite-fst*: *finite* $(\text{fst } \psi)$
and *finite-snd*: *finite* $(\text{snd } \psi)$
and *simp*: *simplified* $(\text{fst } \psi)$
and *atms-of-ms* $(\text{fst } \psi) \subseteq \text{vars}$
shows *card-simple* $\text{vars } (\text{snd } \psi') < \text{card-simple } \text{vars } (\text{snd } \psi)$

proof –

let $?vars = \text{vars}$
let $?top = \text{simple-clss } ?vars \times \text{simple-clss } ?vars$
have 1: *card-simple* $\text{vars } (\text{snd } \psi) = \text{card } ?top - \text{card } (\text{snd } \psi)$
using *card-Diff-subset* *finite-snd* *already-used-all-simple-in-already-used-top*[*OF* *a-u-s*]
finite-v **by** *metis*
have *a-u-s'*: *already-used-all-simple* $(\text{snd } \psi') \text{ vars}$
using *already-used-all-simple-inv* *res* *a-u-s* *assms*(7) **by** *blast*
have *f*: *finite* $(\text{snd } \psi')$ **using** *already-used-all-simple-finite* *a-u-s'* *finite-v* **by** *auto*
have 2: *card-simple* $\text{vars } (\text{snd } \psi') = \text{card } ?top - \text{card } (\text{snd } \psi')$
using *card-Diff-subset*[*OF* *f*] *already-used-all-simple-in-already-used-top*[*OF* *a-u-s'* *finite-v*]
by *auto*
have *card* $(\text{already-used-top } \text{vars}) \geq \text{card } (\text{snd } \psi')$
using *already-used-all-simple-in-already-used-top*[*OF* *a-u-s'* *finite-v*]
card-mono[*of* *already-used-top vars snd* ψ' *]* *already-used-top-finite*[*OF* *finite-v*] **by** *metis*
then show *?thesis*
using *psubset-card-mono*[*OF* *f* *resolution-simplified-already-used-subset*[*OF* *res simp*]]
unfolding 1 2 **by** *linarith*

qed

lemma *trancp-resolution-card-simple-decreasing*:

assumes *trancp* *resolution* $\psi \psi'$ **and** *finite-fst*: *finite* $(\text{fst } \psi)$
and *already-used-all-simple* $(\text{snd } \psi) \text{ vars}$
and *atms-of-ms* $(\text{fst } \psi) \subseteq \text{vars}$
and *finite-v*: *finite* vars
and *finite-snd*: *finite* $(\text{snd } \psi)$
and *simplified* $(\text{fst } \psi)$
shows *card-simple* $\text{vars } (\text{snd } \psi') < \text{card-simple } \text{vars } (\text{snd } \psi)$
using *assms*

proof (*induct rule*: *trancp-induct*)

case (*base* ψ')

then show *?case* **by** (*simp add*: *resolution-card-simple-decreasing*)

next

case (*step* $\psi' \psi''$) **note** $\text{res} = \text{this}(1)$ **and** $\text{res}' = \text{this}(2)$ **and** $\text{a-u-s} = \text{this}(5)$ **and**
 $\text{atms} = \text{this}(6)$ **and** $\text{f-v} = \text{this}(7)$ **and** $\text{f-fst} = \text{this}(4)$ **and** $H = \text{this}$
then have *card-simple* $\text{vars } (\text{snd } \psi') < \text{card-simple } \text{vars } (\text{snd } \psi)$ **by** *auto*

moreover have $a-u-s'$: *already-used-all-simple* ($\text{snd } \psi'$) vars
using *rtracp-already-used-all-simple-inv*[*OF* *tracp-into-rtracp*[*OF* res] $a-u-s$ $\text{atms } f\text{-fst}$] .
have *finite* ($\text{fst } \psi'$)
by (*meson* *finite-fst* res *rtracp-resolution-finite* *tracp-into-rtracp*)
moreover have *finite* ($\text{snd } \psi'$) **using** *already-used-all-simple-finite*[*OF* $a-u-s'$ $f-v$] .
moreover have *simplified* ($\text{fst } \psi'$) **using** res *tracp-resolution-always-simplified* **by** *blast*
moreover have *atms-of-ms* ($\text{fst } \psi'$) $\subseteq \text{vars}$
by (*meson* $\text{atms } f\text{-fst}$ order.trans res *rtracp-resolution-atms-of* *tracp-into-rtracp*)
ultimately show *?case*
using *resolution-card-simple-decreasing*[*OF* res' $a-u-s'$ $f-v$] $f-v$
less-trans[*of* *card-simple* vars ($\text{snd } \psi''$) *card-simple* vars ($\text{snd } \psi'$)
card-simple vars ($\text{snd } \psi$)]
by *blast*
qed

lemma *tracp-resolution-card-simple-decreasing-2*:
assumes *tracp* *resolution* ψ ψ'
and *finite-fst*: *finite* ($\text{fst } \psi$)
and *empty-snd*: $\text{snd } \psi = \{\}$
and *simplified* ($\text{fst } \psi$)
shows *card-simple* (*atms-of-ms* ($\text{fst } \psi$)) ($\text{snd } \psi'$) $<$ *card-simple* (*atms-of-ms* ($\text{fst } \psi$)) ($\text{snd } \psi$)
proof –
let $?vars = (\text{atms-of-ms } (\text{fst } \psi))$
have *already-used-all-simple* ($\text{snd } \psi$) $?vars$ **unfolding** *empty-snd* **by** *auto*
moreover have *atms-of-ms* ($\text{fst } \psi$) $\subseteq ?vars$ **by** *auto*
moreover have *finite-v*: *finite* $?vars$ **using** *finite-fst* **by** *auto*
moreover have *finite-snd*: *finite* ($\text{snd } \psi$) **unfolding** *empty-snd* **by** *auto*
ultimately show *?thesis*
using *assms*(1,2,4) *tracp-resolution-card-simple-decreasing*[*of* ψ ψ'] **by** *presburger*
qed

13.5.2 well-foundedness if the relation

lemma *wf-simplified-resolution*:
assumes $f\text{-vars}$: *finite* vars
shows *wf* $\{(y::'v::\text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$
proof –
{
fix $a b :: 'v::\text{linorder state}$
assume $(b, a) \in \{(y, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$
then have
atms-of-ms ($\text{fst } a$) $\subseteq \text{vars}$ **and**
simp: *simplified* ($\text{fst } a$) **and**
finite ($\text{snd } a$) **and**
finite ($\text{fst } a$) **and**
 $a-u-v$: *already-used-all-simple* ($\text{snd } a$) vars **and**
 res : *resolution* $a b$ **by** *auto*
have *finite* (*already-used-top* vars) **using** $f\text{-vars}$ *already-used-top-finite* **by** *blast*
moreover have *already-used-top* $\text{vars} \subseteq \text{already-used-top } \text{vars}$ **by** *auto*
moreover have $\text{snd } b \subseteq \text{already-used-top } \text{vars}$
using *already-used-all-simple-in-already-used-top*[*of* $\text{snd } b$ vars]
 $a-u-v$ *already-used-all-simple-inv*[*OF* res] $\langle \text{finite } (\text{fst } a) \rangle \langle \text{atms-of-ms } (\text{fst } a) \subseteq \text{vars} \rangle f\text{-vars}$
by *presburger*

moreover have $\text{snd } a \subseteq \text{snd } b$ **using** *resolution-simplified-already-used-subset*[*OF res simp*] .
ultimately have $\text{finite } (\text{already-used-top vars}) \wedge \text{already-used-top vars} \subseteq \text{already-used-top vars}$
 $\wedge \text{snd } b \subseteq \text{already-used-top vars} \wedge \text{snd } a \subseteq \text{snd } b$ **by** *metis*
}
then show *?thesis* **using** *wf-bounded-set*[*of* $\{(y:: 'v:: \text{linorder state}, x).$
 $(\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars}$
 $\wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars})$
 $\wedge \text{resolution } x y\}$ $\lambda\cdot.$ $\text{already-used-top vars snd}$] **by** *auto*
qed

lemma *wf-simplified-resolution'*:

assumes *f-vars: finite vars*
shows *wf* $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \neg \text{simplified } (\text{fst } x)$
 $\wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$
unfolding *wf-def*
apply (*simp add: resolution-always-simplified*)
by (*metis (mono-tags, hide-lams) fst-conv resolution-always-simplified*)

lemma *wf-resolution:*

assumes *f-vars: finite vars*
shows *wf* $(\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x)$
 $\wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$
 $\cup \{(y, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \neg \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x)$
 $\wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\})$ **(is** *wf* **(***?R* \cup *?S***)**)

proof –

have *Domain ?R Int Range ?S = {}* **using** *resolution-always-simplified* **by** *auto blast*
then show *wf* $(?R \cup ?S)$
using *wf-simplified-resolution*[*OF f-vars*] *wf-simplified-resolution'*[*OF f-vars*] *wf-Un*[*of ?R ?S*]
by *fast*

qed

lemma *rtrancp-simplify-already-used-inv:*

assumes *simplify** S S'*
and *already-used-inv (S, N)*
shows *already-used-inv (S', N)*
using *assms* **apply** *induction*
using *simplify-preserves-already-used-inv* **by** *fast+*

lemma *full1-simplify-already-used-inv:*

assumes *full1 simplify S S'*
and *already-used-inv (S, N)*
shows *already-used-inv (S', N)*
using *assms* *trancp-into-rtrancp*[*of simplify S S'*] *rtrancp-simplify-already-used-inv*
unfolding *full1-def* **by** *fast*

lemma *full-simplify-already-used-inv:*

assumes *full simplify S S'*
and *already-used-inv (S, N)*
shows *already-used-inv (S', N)*
using *assms* *rtrancp-simplify-already-used-inv* **unfolding** *full-def* **by** *fast*

lemma *resolution-already-used-inv:*

assumes *resolution S S'*
and *already-used-inv S*
shows *already-used-inv S'*
using *assms*

proof *induction*
 case (*full1-simp* N N' *already-used*)
 then show ?case using *full1-simplify-already-used-inv* by fast
 next
 case (*inferring* N *already-used* N' *already-used'* N'') note $\text{inf} = \text{this}(1)$ and $\text{full} = \text{this}(3)$ and
 $a-u-v = \text{this}(4)$
 then show ?case
 using *inference-preserves-already-used-inv*[*OF inf a-u-v*] *full-simplify-already-used-inv* *full*
 by fast
 qed

lemma *rtranclp-resolution-already-used-inv*:
 assumes *resolution*** S S'
 and *already-used-inv* S
 shows *already-used-inv* S'
 using *assms* **apply** *induction*
 using *resolution-already-used-inv* by fast+

lemma *rtanclp-simplify-preserves-unsat*:
 assumes *simplify*** ψ ψ'
 shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 using *assms* **apply** *induction*
 using *simplify-clause-preserves-sat* by blast+

lemma *full1-simplify-preserves-unsat*:
 assumes *full1 simplify* ψ ψ'
 shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 using *assms* *rtanclp-simplify-preserves-unsat*[*of* ψ ψ'] *tranclp-into-rtranclp*
 unfolding *full1-def* by metis

lemma *full-simplify-preserves-unsat*:
 assumes *full simplify* ψ ψ'
 shows *satisfiable* $\psi' \longrightarrow \text{satisfiable } \psi$
 using *assms* *rtanclp-simplify-preserves-unsat*[*of* ψ ψ'] **unfolding** *full-def* by metis

lemma *resolution-preserves-unsat*:
 assumes *resolution* ψ ψ'
 shows *satisfiable* (*fst* ψ') $\longrightarrow \text{satisfiable } (\text{fst } \psi)$
 using *assms* **apply** (*induct* rule: *resolution.induct*)
 using *full1-simplify-preserves-unsat* **apply** (*metis* *fst-conv*)
 using *full-simplify-preserves-unsat* *simplify-preserves-unsat* by fastforce

lemma *rtranclp-resolution-preserves-unsat*:
 assumes *resolution*** ψ ψ'
 shows *satisfiable* (*fst* ψ') $\longrightarrow \text{satisfiable } (\text{fst } \psi)$
 using *assms* **apply** *induction*
 using *resolution-preserves-unsat* by fast+

lemma *rtranclp-simplify-preserve-partial-tree*:
 assumes *simplify*** N N'
 and *partial-interps* t I N
 shows *partial-interps* t I N'
 using *assms* **apply** (*induction*, *simp*)
 using *simplify-preserve-partial-tree* by metis

```

lemma full1-simplify-preserve-partial-tree:
  assumes full1 simplify N N'
  and partial-interps t I N
  shows partial-interps t I N'
  using assms rtrancpl-simplify-preserve-partial-tree[of N N' t I] trancpl-into-rtrancpl
  unfolding full1-def by fast

lemma full-simplify-preserve-partial-tree:
  assumes full simplify N N'
  and partial-interps t I N
  shows partial-interps t I N'
  using assms rtrancpl-simplify-preserve-partial-tree[of N N' t I] trancpl-into-rtrancpl
  unfolding full-def by fast

lemma resolution-preserve-partial-tree:
  assumes resolution S S'
  and partial-interps t I (fst S)
  shows partial-interps t I (fst S')
  using assms apply induction
  using full1-simplify-preserve-partial-tree fst-conv applymetis
  using full-simplify-preserve-partial-tree inference-preserve-partial-tree by fastforce

lemma rtrancpl-resolution-preserve-partial-tree:
  assumes resolution** S S'
  and partial-interps t I (fst S)
  shows partial-interps t I (fst S')
  using assms apply induction
  using resolution-preserve-partial-tree by fast+
  thm nat-less-induct nat.induct

lemma nat-ge-induct[case-names 0 Suc]:
  assumes P 0
  and  $(\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P m) \implies P (\text{Suc } n))$ 
  shows P n
  using assms apply (induct rule: nat-less-induct)
  by (rename-tac n, case-tac n) auto

lemma wf-always-more-step-False:
  assumes wf R
  shows  $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$ 
  using assms unfolding wf-def by (meson Domain.DomainI assms wfE-min)

lemma finite-finite-mset-element-of-mset[simp]:
  assumes finite N
  shows finite {f  $\varphi$  L |  $\varphi$  L.  $\varphi \in N \wedge L \in \# \varphi \wedge P \varphi L$ }
  using assms
proof (induction N rule: finite-induct)
  case empty
  show ?case by auto
next
  case (insert x N) note finite = this(1) and IH = this(3)
  have  $\{f \varphi L \mid \varphi L. (\varphi = x \vee \varphi \in N) \wedge L \in \# \varphi \wedge P \varphi L\} \subseteq \{f x L \mid L. L \in \# x \wedge P x L\}$ 
   $\cup \{f \varphi L \mid \varphi L. \varphi \in N \wedge L \in \# \varphi \wedge P \varphi L\}$  by auto
  moreover have finite {f x L | L. L  $\in$  # x} by auto
  ultimately show ?case using IH finite-subset by fastforce

```

qed

```

value card
value filter-mset
value {#count  $\varphi$  L | L  $\in$  #  $\varphi$ . 2  $\leq$  count  $\varphi$  L#}
value ( $\lambda\varphi$ . msetsum {#count  $\varphi$  L | L  $\in$  #  $\varphi$ . 2  $\leq$  count  $\varphi$  L#})

```

syntax

```

-comprehension1 '-mset :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b multiset  $\Rightarrow$  'a multiset
  (({#-/. - : setof -#}))

```

translations

```

{#e. x: setof M#} == CONST set-mset (CONST image-mset (%x. e) M)
value {# a. a : setof {#1,1,2::int#}#} = {1,2}

```

definition *sum-count-ge-2* :: 'a multiset set \Rightarrow nat (Ξ) **where**

sum-count-ge-2 \equiv *folding.F* ($\lambda\varphi$. *op* + (msetsum {#count φ L | L \in # φ . 2 \leq count φ L#})) 0

interpretation *sum-count-ge-2*:

folding ($\lambda\varphi$. *op* + (msetsum {#count φ L | L \in # φ . 2 \leq count φ L#})) 0

rewrites

folding.F ($\lambda\varphi$. *op* + (msetsum {#count φ L | L \in # φ . 2 \leq count φ L#})) 0 = *sum-count-ge-2*

proof –

```

show folding ( $\lambda\varphi$ . op + (msetsum (image-mset (count  $\varphi$ ) {# L  $\in$  #  $\varphi$ . 2  $\leq$  count  $\varphi$  L#})))
  by standard auto

```

then interpret *sum-count-ge-2*:

folding ($\lambda\varphi$. *op* + (msetsum {#count φ L | L \in # φ . 2 \leq count φ L#})) 0 .

```

show folding.F ( $\lambda\varphi$ . op + (msetsum (image-mset (count  $\varphi$ ) {# L  $\in$  #  $\varphi$ . 2  $\leq$  count  $\varphi$  L#}))) 0
  = sum-count-ge-2 by (auto simp add: sum-count-ge-2-def)

```

qed

lemma *finite-incl-le-setsum*:

finite (*B*::'a multiset set) \Longrightarrow $A \subseteq B \Longrightarrow \Xi A \leq \Xi B$

proof (*induction arbitrary:A rule: finite-induct*)

case *empty*

then show ?*case* **by** *simp*

next

case (*insert a F*) **note** *finite* = *this*(1) **and** *aF* = *this*(2) **and** *IH* = *this*(3) **and** *AF* = *this*(4)

show ?*case*

proof (*cases a \in A*)

assume $a \notin A$

then have $A \subseteq F$ **using** *AF* **by** *auto*

then show ?*case* **using** *IH*[*of A*] **by** (*simp add: aF local.finite*)

next

assume $aA: a \in A$

then have $A - \{a\} \subseteq F$ **using** *AF* **by** *auto*

then have $\Xi (A - \{a\}) \leq \Xi F$ **using** *IH* **by** *blast*

then show ?*case*

proof –

obtain *nn* :: nat \Rightarrow nat \Rightarrow nat **where**

$\forall x0\ x1. (\exists v2. x0 = x1 + v2) = (x0 = x1 + nn\ x0\ x1)$

by *moura*

then have $\Xi F = \Xi (A - \{a\}) + nn\ (\Xi F)\ (\Xi (A - \{a\}))$

by (*meson $\langle \Xi (A - \{a\}) \leq \Xi F \rangle$ le-iff-add*)

```

    then show ?thesis
    by (metis (no-types) le-iff-add aA aF add.assoc finite.insertI finite-subset
        insert.prem local.finite sum-count-ge-2.insert sum-count-ge-2.remove)
  qed
qed
qed

lemma simplify-finite-measure-decrease:
  simplify N N'  $\implies$  finite N  $\implies$  card N' +  $\Xi$  N' < card N +  $\Xi$  N
proof (induction rule: simplify.induct)
  case (tautology-deletion A P) note an = this(1) and fin = this(2)
  let ?N' = N - {A + {#Pos P#} + {#Neg P#}}
  have card ?N' < card N
  by (meson card-Diff1-less tautology-deletion.hyps tautology-deletion.prem)
  moreover have ?N'  $\subseteq$  N by auto
  then have sum-count-ge-2 ?N'  $\leq$  sum-count-ge-2 N using finite-incl-le-setsum[OF fin] by blast
  ultimately show ?case by linarith
next
  case (condensation A L) note AN = this(1) and fin = this(2)
  let ?C' = A + {#L#}
  let ?C = A + {#L#} + {#L#}
  let ?N' = N - {?C}  $\cup$  {?C'}
  have card ?N'  $\leq$  card N
  using AN by (metis (no-types, lifting) Diff-subset Un-empty-right Un-insert-right card.remove
      card-insert-if card-mono fin finite-Diff order-refl)
  moreover have  $\Xi$  {?C'} <  $\Xi$  {?C}
  proof -
    have mset-decomp:
      {# La  $\in$  # A. (L = La  $\longrightarrow$  La  $\in$  # A)  $\wedge$  (L  $\neq$  La  $\longrightarrow$  2  $\leq$  count A La)#}
      = {# La  $\in$  # A. L  $\neq$  La  $\wedge$  2  $\leq$  count A La#} +
        {# La  $\in$  # A. L = La  $\wedge$  Suc 0  $\leq$  count A L#}
    by (auto simp: multiset-eq-iff ac-simps)
  have mset-decomp2: {# La  $\in$  # A. L  $\neq$  La  $\longrightarrow$  2  $\leq$  count A La#} =
    {# La  $\in$  # A. L  $\neq$  La  $\wedge$  2  $\leq$  count A La#} + replicate-mset (count A L) L
  by (auto simp: multiset-eq-iff)
  show ?thesis
  by (auto simp: mset-decomp mset-decomp2 filter-mset-eq ac-simps)
qed
have  $\Xi$  ?N' <  $\Xi$  N
proof cases
  assume a1: ?C'  $\in$  N
  then show ?thesis
  proof -
    have f2:  $\bigwedge m M.$  insert (m::'a literal multiset) (M - {m}) = M  $\cup$  {}  $\vee$  m  $\notin$  M
    using Un-empty-right insert-Diff by blast
    have f3:  $\bigwedge m M Ma.$  insert (m::'a literal multiset) M - insert m Ma = M - insert m Ma
    by simp
    then have f4:  $\bigwedge M m.$  M - {m::'a literal multiset} = M  $\cup$  {}  $\vee$  m  $\in$  M
    using Diff-insert-absorb Un-empty-right by fastforce
    have f5: insert (A + {#L#} + {#L#}) N = N
    using f3 f2 Un-empty-right condensation.hyps insert-iff by fastforce
    have  $\bigwedge m M.$  insert (m::'a literal multiset) M = M  $\cup$  {}  $\vee$  m  $\notin$  M
    using f3 f2 Un-empty-right add.right-neutral insert-iff by fastforce
    then have  $\Xi$  (N - {A + {#L#} + {#L#}}) <  $\Xi$  N
    using f5 f4 by (metis Un-empty-right  $\Xi$  {A + {#L#}} <  $\Xi$  {A + {#L#} + {#L#}})
  end
end

```

```

    add.right-neutral add-diff-cancel-left' add-gr-0 diff-less fin finite.emptyI not-le
    sum-count-ge-2.empty sum-count-ge-2.insert-remove trans-le-add2)
  then show ?thesis
    using f3 f2 a1 by (metis (no-types) Un-empty-right Un-insert-right condensation.hyps
      insert-iff multi-self-add-other-not-self)
  qed
next
  assume ?C'  $\notin$  N
  have mset-decomp:
    {# La  $\in$  # A. (L = La  $\longrightarrow$  Suc 0  $\leq$  count A La)  $\wedge$  (L  $\neq$  La  $\longrightarrow$  2  $\leq$  count A La) #}
    = {# La  $\in$  # A. L  $\neq$  La  $\wedge$  2  $\leq$  count A La #} +
      {# La  $\in$  # A. L = La  $\wedge$  Suc 0  $\leq$  count A L #}
    by (auto simp: multiset-eq-iff ac-simps)
  have mset-decomp2: {# La  $\in$  # A. L  $\neq$  La  $\longrightarrow$  2  $\leq$  count A La #} =
    {# La  $\in$  # A. L  $\neq$  La  $\wedge$  2  $\leq$  count A La #} + replicate-mset (count A L) L
    by (auto simp: multiset-eq-iff)

  show ?thesis
    using  $\langle \exists \{A + \{ \#L \# \} \} < \Xi \{A + \{ \#L \# \} + \{ \#L \# \} \} \rangle$  condensation.hyps fin
      sum-count-ge-2.remove[of - A + {#L#} + {#L#}]  $\langle ?C' \notin N \rangle$ 
    by (auto simp: mset-decomp mset-decomp2 filter-mset-eq)
  qed
ultimately show ?case by linarith
next
  case (subsumption A B) note AN = this(1) and AB = this(2) and BN = this(3) and fin = this(4)
  have card (N - {B}) < card N using BN by (meson card-Diff1-less subsumption.prem)
  moreover have  $\Xi (N - \{B\}) \leq \Xi N$ 
    by (simp add: Diff-subset finite-incl-le-setsum subsumption.prem)
  ultimately show ?case by linarith
qed

lemma simplify-terminates:
  wf {(N', N). finite N  $\wedge$  simplify N N'}
  using assms apply (rule wfP-if-measure[of finite simplify  $\lambda N$ . card N +  $\Xi N$ ])
  using simplify-finite-measure-decrease by blast

lemma wf-terminates:
  assumes wf r
  shows  $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$ 
proof -
  let ?P =  $\lambda N. (\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r))$ 
  have  $(\forall x. (\forall y. (y, x) \in r \longrightarrow ?P y) \longrightarrow ?P x)$ 
  proof clarify
    fix x
    assume H:  $\forall y. (y, x) \in r \longrightarrow ?P y$ 
    { assume  $\exists y. (y, x) \in r$ 
      then obtain y where  $y: (y, x) \in r$  by blast
      then have ?P y using H by blast
      then have ?P x using y by (meson rtrancl.rtrancl-into-rtrancl)
    }
  moreover {
    assume  $\neg (\exists y. (y, x) \in r)$ 
    then have ?P x by auto
  }

```

```

    }
    ultimately show ?P x by blast
  qed
  moreover have  $(\forall x. (\forall y. (y, x) \in r \longrightarrow ?P y) \longrightarrow ?P x) \longrightarrow \text{All } ?P$ 
    using assms unfolding wf-def by (rule allE)
  ultimately have  $\text{All } ?P$  by blast
  then show ?P N by blast
qed

lemma rtrancl-simplify-terminates:
  assumes fin: finite N
  shows  $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$ 
proof -
  have H:  $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\} = \{(N', N). \text{simplify } N N' \wedge \text{finite } N\}$  by auto
  then have wf: wf  $\{(N', N). \text{simplify } N N' \wedge \text{finite } N\}$ 
    using simplify-terminates by (simp add: H)
  obtain N' where N':  $(N', N) \in \{(b, a). \text{simplify } a b \wedge \text{finite } a\}^*$  and
    more:  $(\forall N''. (N'', N') \notin \{(b, a). \text{simplify } a b \wedge \text{finite } a\})$ 
    using Prop-Resolution.wf-terminates[OF wf, of N] by blast
  have 1:  $\text{simplify}^{**} N N'$ 
    using N' by (induction rule: rtrancl.induct) auto
  then have finite N' using fin rtrancl-simplify-preserves-finite by blast
  then have 2:  $\forall N''. \neg \text{simplify } N' N''$  using more by auto

  show ?thesis using 1 2 by blast
qed

lemma finite-simplified-full1-simp:
  assumes finite N
  shows  $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$ 
  using rtrancl-simplify-terminates[OF assms] unfolding full1-def
  by (metis Nitpick.rtrancl-unfold)

lemma finite-simplified-full-simp:
  assumes finite N
  shows  $\exists N'. \text{full simplify } N N'$ 
  using rtrancl-simplify-terminates[OF assms] unfolding full-def by metis

lemma can-decrease-tree-size-resolution:
  fixes  $\psi :: 'v \text{ state}$  and  $\text{tree} :: 'v \text{ sem-tree}$ 
  assumes finite (fst  $\psi$ ) and already-used-inv  $\psi$ 
  and partial-interps tree I (fst  $\psi$ )
  and simplified (fst  $\psi$ )
  shows  $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' I (\text{fst } \psi')$ 
     $\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0)$ 
  using assms
proof (induct arbitrary: I rule: sem-tree-size)
  case (bigger xs I) note IH = this(1) and finite = this(2) and a-u-i = this(3) and part = this(4)
    and simp = this(5)

    { assume sem-tree-size xs = 0
      then have ?case using part by blast
    }

  moreover {

```

```

assume  $sn0$ : sem-tree-size  $xs > 0$ 
obtain  $ag\ ad\ v$  where  $xs$ :  $xs = Node\ v\ ag\ ad$  using  $sn0$  by (cases  $xs$ , auto)
{
  assume sem-tree-size  $ag = 0 \wedge$  sem-tree-size  $ad = 0$ 
  then have  $ag$ :  $ag = Leaf$  and  $ad$ :  $ad = Leaf$  by (cases  $ag$ , auto, cases  $ad$ , auto)

  then obtain  $\chi\ \chi'$  where
     $\chi$ :  $\neg I \cup \{Pos\ v\} \models \chi$  and
     $tot\chi$ : total-over-m  $(I \cup \{Pos\ v\})\ \{\chi\}$  and
     $\chi\psi$ :  $\chi \in fst\ \psi$  and
     $\chi'$ :  $\neg I \cup \{Neg\ v\} \models \chi'$  and
     $tot\chi'$ : total-over-m  $(I \cup \{Neg\ v\})\ \{\chi'\}$  and  $\chi'\psi$ :  $\chi' \in fst\ \psi$ 
    using part unfolding  $xs$  by auto
  have  $Posv$ :  $Pos\ v \notin \chi$  using  $\chi$  unfolding true-cls-def true-lit-def by auto
  have  $Negv$ :  $Neg\ v \notin \chi'$  using  $\chi'$  unfolding true-cls-def true-lit-def by auto
  {
    assume  $Neg\chi$ :  $\neg Neg\ v \in \# \chi$ 
    then have  $\neg I \models \chi$  using  $\chi\ Posv$  unfolding true-cls-def true-lit-def by auto
    moreover have total-over-m  $I\ \{\chi\}$ 
      using  $Posv\ Neg\chi\ atm\ imp\ pos\ or\ neg\ lit\ tot\chi$  unfolding total-over-m-def total-over-set-def
      by fastforce
    ultimately have partial-interps  $Leaf\ I\ (fst\ \psi)$ 
    and sem-tree-size  $Leaf <$  sem-tree-size  $xs$ 
    and resolution**  $\psi\ \psi$ 
      unfolding  $xs$  by (auto simp add:  $\chi\psi$ )
  }
  moreover {
    assume  $Pos\chi$ :  $\neg Pos\ v \in \# \chi'$ 
    then have  $\neg I \models \chi'$  using  $\chi'\ Posv$  unfolding true-cls-def true-lit-def by auto
    moreover have total-over-m  $I\ \{\chi'\}$ 
      using  $Negv\ Pos\chi\ atm\ imp\ pos\ or\ neg\ lit\ tot\chi'$ 
      unfolding total-over-m-def total-over-set-def by fastforce
    ultimately have partial-interps  $Leaf\ I\ (fst\ \psi)$ 
    and sem-tree-size  $Leaf <$  sem-tree-size  $xs$ 
    and resolution**  $\psi\ \psi$  using  $\chi'\psi\ I\chi$  unfolding  $xs$  by auto
  }
  moreover {
    assume  $neg$ :  $Neg\ v \in \# \chi$  and  $pos$ :  $Pos\ v \in \# \chi'$ 
    have count  $\chi\ (Neg\ v) = 1$ 
      using simplified-count[OF simp  $\chi\psi$ ]  $neg$ 
      by (simp add: dual-order.antisym)
    have count  $\chi'\ (Pos\ v) = 1$ 
      using simplified-count[OF simp  $\chi'\psi$ ]  $pos$ 
      by (simp add: dual-order.antisym)

    obtain  $C$  where  $\chi C$ :  $\chi = C + \{\#Neg\ v\#\}$  and  $negC$ :  $Neg\ v \notin \# C$  and  $posC$ :  $Pos\ v \notin \# C$ 
    by (metis (no-types, lifting) One-nat-def Posv Suc-eq-plus1-left  $\langle count\ \chi\ (Neg\ v) = 1 \rangle$ 
      add-diff-cancel-left' count-diff count-greater-eq-one-iff count-single insert-DiffM
      insert-DiffM2 less-numeral-extra(3) multi-member-skip not-le not-less-eq-eq)

    obtain  $C'$  where
       $\chi C'$ :  $\chi' = C' + \{\#Pos\ v\#\}$  and
       $posC'$ :  $Pos\ v \notin \# C'$  and
       $negC'$ :  $Neg\ v \notin \# C'$ 
      by (metis (no-types, lifting) One-nat-def Negv Suc-eq-plus1-left  $\langle count\ \chi'\ (Pos\ v) = 1 \rangle$ )
  }
}

```


*add-diff-cancel-left' count-diff count-greater-eq-one-iff count-single insert-DiffM
insert-DiffM2 less-numeral-extra(3) multi-member-skip not-le not-less-eq-eq)*

```

have totC: total-over-m I {C}
  using totχ tot-over-m-remove[of I Pos v C] negC posC unfolding χC
  by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
have totC': total-over-m I {C'}
  using totχ' total-over-m-sum tot-over-m-remove[of I Neg v C'] negC' posC'
  unfolding χC' by (metis total-over-m-sum uminus-Neg)
have ¬ I ⊨ C + C'
  using χ χ' χC χC' by auto
then have part-I-ψ''': partial-interps Leaf I (fst ψ ∪ {C + C'})
  using totC totC' (¬ I ⊨ C + C') by (metis Un-insert-right insertI1
    partial-interps.simps(1) total-over-m-sum)
{
  assume ({#Pos v#} + C', {#Neg v#} + C) ∉ snd ψ
  then have inf'': inference ψ (fst ψ ∪ {C + C'}, snd ψ ∪ {(χ', χ)})
    by (metis χ'ψ χC χC' χψ add commute inference-step prod.collapse resolution)
  obtain N' where full: full simplify (fst ψ ∪ {C + C'}) N'
    by (metis finite-simplified-full-simp fst-conv inf'' inference-preserves-finite
      local.finite)
  have resolution ψ (N', snd ψ ∪ {(χ', χ)})
    using resolution.intros(2)[OF - simp full, of snd ψ snd ψ ∪ {(χ', χ)}] inf''
    by (metis surjective-pairing)
  moreover have partial-interps Leaf I N'
    using full-simplify-preserve-partial-tree[OF full part-I-ψ'''] .
  moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
  ultimately have ?case
    by (metis (no-types) prod.sel(1) rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
}
moreover {
  assume a: ({#Pos v#} + C', {#Neg v#} + C) ∈ snd ψ
  then have (∃ χ ∈ fst ψ. (∀ I. total-over-m I {C+C'} → total-over-m I {χ})
    ∧ (∀ I. total-over-m I {χ} → I ⊨ χ → I ⊨ C' + C)) ∨ tautology (C' + C)
  proof -
    obtain p where p: Pos p ∈# ({#Pos v#} + C') ∧ Neg p ∈# ({#Neg v#} + C)
      ∧ ((∃ χ ∈ fst ψ. (∀ I. total-over-m I ({#Pos v#} + C') - {#Pos p#} + ({#Neg v#}
+ C) - {#Neg p#})) → total-over-m I {χ}) ∧ (∀ I. total-over-m I {χ} → I ⊨ χ → I ⊨ ({#Pos
v#} + C') - {#Pos p#} + ({#Neg v#} + C) - {#Neg p#})) ∨ tautology (({#Pos v#} + C') -
{#Pos p#} + ({#Neg v#} + C) - {#Neg p#}))
    using a by (blast intro: allE[OF a-u-i[unfolded subsumes-def Ball-def],
      of ({#Pos v#} + C', {#Neg v#} + C)])
    { assume p ≠ v
      then have Pos p ∈# C' ∧ Neg p ∈# C using p by force
      then have ?thesis by auto
    }
  }
  moreover {
    assume p = v
    then have ?thesis using p by (metis add commute add-diff-cancel-left')
  }
  ultimately show ?thesis by auto
qed
moreover {
  assume ∃ χ ∈ fst ψ. (∀ I. total-over-m I {C+C'} → total-over-m I {χ})
    ∧ (∀ I. total-over-m I {χ} → I ⊨ χ → I ⊨ C' + C)

```

```

then obtain  $\vartheta$  where
   $\vartheta: \vartheta \in \text{fst } \psi$  and
   $\text{tot-}\vartheta\text{-CC}': \forall I. \text{total-over-m } I \{C+C'\} \longrightarrow \text{total-over-m } I \{\vartheta\}$  and
   $\vartheta\text{-inv}: \forall I. \text{total-over-m } I \{\vartheta\} \longrightarrow I \models \vartheta \longrightarrow I \models C' + C$  by blast
have partial-interps Leaf I (fst  $\psi$ )
  using  $\text{tot-}\vartheta\text{-CC}' \vartheta \vartheta\text{-inv totC totC}' \hookrightarrow I \models C + C'$  total-over-m-sum by fastforce
moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
ultimately have ?case by blast
}
moreover {
  assume tautCC': tautology ( $C' + C$ )
  have total-over-m I  $\{C'+C\}$  using totC totC' total-over-m-sum by auto
  then have  $\neg \text{tautology } (C' + C)$ 
    using  $\hookrightarrow I \models C + C'$  unfolding add.commute[of C C'] total-over-m-def
    unfolding tautology-def by auto
  then have False using tautCC' unfolding tautology-def by auto
}
ultimately have ?case by auto
}
ultimately have ?case by auto
}
ultimately have ?case using part by (metis (no-types) sem-tree-size.simps(1))
}
moreover {
  assume size-ag: sem-tree-size ag > 0
  have sem-tree-size ag < sem-tree-size xs unfolding xs by auto
  moreover have partial-interps ag ( $I \cup \{\text{Pos } v\}$ ) (fst  $\psi$ )
  and partad: partial-interps ad ( $I \cup \{\text{Neg } v\}$ ) (fst  $\psi$ )
    using part partial-interps.simps(2) unfolding xs by metis+
  moreover
    have sem-tree-size ag < sem-tree-size xs  $\implies$  finite (fst  $\psi$ )  $\implies$  already-used-inv  $\psi$ 
       $\implies$  partial-interps ag ( $I \cup \{\text{Pos } v\}$ ) (fst  $\psi$ )  $\implies$  simplified (fst  $\psi$ )
       $\implies \exists \text{tree}' \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' (I \cup \{\text{Pos } v\}) (\text{fst } \psi')$ 
       $\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{ag} \vee \text{sem-tree-size } \text{ag} = 0)$ 
    using IH[of ag I  $\cup \{\text{Pos } v\}$ ] by auto
  ultimately obtain  $\psi' :: 'v \text{ state}$  and  $\text{tree}' :: 'v \text{ sem-tree}$  where
    inf: resolution**  $\psi \psi'$ 
    and part: partial-interps  $\text{tree}' (I \cup \{\text{Pos } v\}) (\text{fst } \psi')$ 
    and size: sem-tree-size  $\text{tree}' < \text{sem-tree-size } \text{ag} \vee \text{sem-tree-size } \text{ag} = 0$ 
    using finite part rtranclp.rtrancl-refl a-u-i simp by blast

  have partial-interps ad ( $I \cup \{\text{Neg } v\}$ ) (fst  $\psi$ )
    using rtranclp-resolution-preserve-partial-tree inf partad by fast
  then have partial-interps (Node v  $\text{tree}'$  ad) I (fst  $\psi'$ ) using part by auto
  then have ?case using inf size size-ag part unfolding xs by fastforce
}
moreover {
  assume size-ad: sem-tree-size ad > 0
  have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
  moreover
    have
      partag: partial-interps ag ( $I \cup \{\text{Pos } v\}$ ) (fst  $\psi$ ) and
      partial-interps ad ( $I \cup \{\text{Neg } v\}$ ) (fst  $\psi$ )
      using part partial-interps.simps(2) unfolding xs by metis+
  moreover have sem-tree-size ad < sem-tree-size xs  $\longrightarrow$  finite (fst  $\psi$ )  $\longrightarrow$  already-used-inv  $\psi$ 

```

```

  → ( partial-interps ad (I ∪ {Neg v}) (fst ψ) → simplified (fst ψ)
  → (∃ tree' ψ'. resolution** ψ ψ' ∧ partial-interps tree' (I ∪ {Neg v}) (fst ψ')
    ∧ (sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0)))
  using IH by blast
ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
  inf: resolution** ψ ψ'
  and part: partial-interps tree' (I ∪ {Neg v}) (fst ψ')
  and size: sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0
  using finite part rtranclp.rtrancl-refl a-u-i simp by blast

  have partial-interps ag (I ∪ {Pos v}) (fst ψ')
    using rtranclp-resolution-preserve-partial-tree inf partag by fast
  then have partial-interps (Node v ag tree') I (fst ψ') using part by auto
  then have ?case using inf size size-ad unfolding xs by fastforce
}
ultimately have ?case by auto
}
ultimately show ?case by auto
qed

```

lemma *resolution-completeness-inv*:

```

fixes ψ :: 'v :: linorder state
assumes
  unsat: ¬satisfiable (fst ψ) and
  finite: finite (fst ψ) and
  a-u-v: already-used-inv ψ
shows ∃ ψ'. (resolution** ψ ψ' ∧ {#} ∈ fst ψ')
proof -
  obtain tree where partial-interps tree {} (fst ψ)
    using partial-interps-build-sem-tree-atms assms by metis
  then show ?thesis
    using unsat finite a-u-v
  proof (induct tree arbitrary: ψ rule: sem-tree-size)
    case (bigger tree ψ) note H = this
    {
      fix χ
      assume tree: tree = Leaf
      obtain χ where χ: ¬ {} ⊨ χ and totχ: total-over-m {} {χ} and χψ: χ ∈ fst ψ
        using H unfolding tree by auto
      moreover have {#} = χ
        using H atms-empty-iff-empty totχ
      unfolding true-cls-def total-over-m-def total-over-set-def by fastforce
      moreover have resolution** ψ ψ by auto
      ultimately have ?case by metis
    }
  moreover {
    fix v tree1 tree2
    assume tree: tree = Node v tree1 tree2
    obtain ψ0 where ψ0: resolution** ψ ψ0 and simp: simplified (fst ψ0)
    proof -
      { assume simplified (fst ψ)
        moreover have resolution** ψ ψ by auto
        ultimately have thesis using that by blast
      }
    moreover {

```

```

    assume  $\neg$ simplified (fst  $\psi$ )
    then have  $\exists \psi'. \text{full1 simplify (fst } \psi) \psi'$ 
      by (metis Nitpick.rtranclp-unfold bigger.prem(3) full1-def
          rtranclp-simplify-terminates)
    then obtain  $N$  where  $\text{full1 simplify (fst } \psi) N$  by metis
    then have resolution  $\psi (N, \text{snd } \psi)$ 
      using resolution.intros(1)[of fst  $\psi$   $N$  snd  $\psi$ ] by auto
    moreover have simplified  $N$ 
      using  $\langle \text{full1 simplify (fst } \psi) N \rangle$  unfolding full1-def by blast
    ultimately have ?thesis using that by force
  }
  ultimately show ?thesis by auto
qed

```

```

have  $p$ : partial-interps tree {} (fst  $\psi_0$ )
and  $uns$ : unsatisfiable (fst  $\psi_0$ )
and  $f$ : finite (fst  $\psi_0$ )
and  $a-u-v$ : already-used-inv  $\psi_0$ 
  using  $\psi_0$  bigger.prem(1) rtranclp-resolution-preserve-partial-tree apply blast
  using  $\psi_0$  bigger.prem(2) rtranclp-resolution-preserves-unsat apply blast
  using  $\psi_0$  bigger.prem(3) rtranclp-resolution-finite apply blast
  using rtranclp-resolution-already-used-inv[OF  $\psi_0$  bigger.prem(4)] by blast
obtain tree'  $\psi'$  where
  inf: resolution**  $\psi_0 \psi'$  and
  part': partial-interps tree' {} (fst  $\psi'$ ) and
  decrease:  $\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0$ 
  using can-decrease-tree-size-resolution[OF  $f$   $a-u-v$   $p$  simp] unfolding tautology-def
  by meson
have  $s$ :  $\text{sem-tree-size tree}' < \text{sem-tree-size tree}$  using decrease unfolding tree by auto
have  $fin$ : finite (fst  $\psi'$ )
  using  $f$  inf rtranclp-resolution-finite by blast
have  $unsat$ : unsatisfiable (fst  $\psi'$ )
  using rtranclp-resolution-preserves-unsat inf  $uns$  by metis
have  $a-u-i'$ : already-used-inv  $\psi'$ 
  using  $a-u-v$  inf rtranclp-resolution-already-used-inv[of  $\psi_0 \psi'$ ] by auto
have ?case
  using inf rtranclp-trans[of resolution]  $H(1)$ [OF  $s$  part'  $unsat$  fin  $a-u-i'$ ]  $\psi_0$  by blast
}
ultimately show ?case by (cases tree, auto)
qed
qed

```

lemma resolution-preserves-already-used-inv:

```

  assumes resolution  $S S'$ 
  and already-used-inv  $S$ 
  shows already-used-inv  $S'$ 
  using assms
  apply (induct rule: resolution.induct)
  apply (rule full1-simplify-already-used-inv; simp)
  apply (rule full1-simplify-already-used-inv, simp)
  apply (rule inference-preserves-already-used-inv, simp)
  apply blast
done

```

lemma *rtrancpl-resolution-preserves-already-used-inv*:
assumes *resolution** S S'*
and *already-used-inv S*
shows *already-used-inv S'*
using *assms*
apply (*induct rule: rtrancpl-induct*)
apply *simp*
using *resolution-preserves-already-used-inv* **by** *fast*

lemma *resolution-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *unsat: $\neg \text{satisfiable (fst } \psi)$*
and *finite: finite (fst ψ)*
and *snd $\psi = \{\}$*
shows $\exists \psi'. (\text{resolution** } \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')$
proof –
have *already-used-inv ψ unfolding assms by auto*
then show *?thesis using assms resolution-completeness-inv by blast*
qed

lemma *rtrancpl-preserves-sat*:
assumes *simplify** S S'*
and *satisfiable S*
shows *satisfiable S'*
using *assms* **apply** *induction*
apply *simp*
by (*meson satisfiable-carac satisfiable-def simplify-preserves-un-sat-eq*)

lemma *resolution-preserves-sat*:
assumes *resolution S S'*
and *satisfiable (fst S)*
shows *satisfiable (fst S')*
using *assms* **apply** (*induction rule: resolution.induct*)
using *rtrancpl-preserves-sat trancpl-into-rtrancpl unfolding full1-def apply fastforce*
by (*metis fst-conv full-def inference-preserves-un-sat rtrancpl-preserves-sat satisfiable-carac' satisfiable-def*)

lemma *rtrancpl-resolution-preserves-sat*:
assumes *resolution** S S'*
and *satisfiable (fst S)*
shows *satisfiable (fst S')*
using *assms* **apply** (*induction rule: rtrancpl-induct*)
apply *simp*
using *resolution-preserves-sat* **by** *blast*

lemma *resolution-soundness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *resolution** $\psi \ \psi'$ and $\{\#\} \in \text{fst } \psi'$*
shows *unsatisfiable (fst ψ)*
using *assms* **by** (*meson rtrancpl-resolution-preserves-sat satisfiable-def true-cls-empty true-cls-def*)

lemma *resolution-soundness-and-completeness*:
fixes $\psi :: 'v :: \text{linorder state}$
assumes *finite: finite (fst ψ)*

and *snd*: $snd\ \psi = \{\}$
 shows $(\exists \psi'. (resolution^{**}\ \psi\ \psi' \wedge \{\#\} \in fst\ \psi')) \longleftrightarrow unsatisfiable\ (fst\ \psi)$
 using *assms resolution-completeness resolution-soundness* by *metis*

lemma *simplified-falsity*:
 assumes *simp*: *simplified* ψ
 and $\{\#\} \in \psi$
 shows $\psi = \{\{\#\}\}$
 proof (rule *ccontr*)
 assume *H*: $\neg\ ?thesis$
 then obtain χ where $\chi \in \psi$ and $\chi \neq \{\#\}$ using *assms(2)* by *blast*
 then have $\{\#\} \subsetneq \chi$ by (*simp add: mset-less-empty-nonempty*)
 then have *simplify* $\psi\ (\psi - \{\chi\})$
 using *simplify.subsumption*[*OF assms(2)* $\langle\{\#\} \subsetneq \chi\rangle\ \langle\chi \in \psi\rangle$] by *blast*
 then show *False* using *simp* by *blast*
 qed

lemma *simplify-falsity-in-preserved*:
 assumes *simplify* $\chi s\ \chi s'$
 and $\{\#\} \in \chi s$
 shows $\{\#\} \in \chi s'$
 using *assms*
 by *induction auto*

lemma *rtranclp-simplify-falsity-in-preserved*:
 assumes *simplify*^{**} $\chi s\ \chi s'$
 and $\{\#\} \in \chi s$
 shows $\{\#\} \in \chi s'$
 using *assms*
 by *induction (auto intro: simplify-falsity-in-preserved)*

lemma *resolution-falsity-get-falsity-alone*:
 assumes *finite* (*fst* ψ)
 shows $(\exists \psi'. (resolution^{**}\ \psi\ \psi' \wedge \{\#\} \in fst\ \psi')) \longleftrightarrow (\exists a-u-v. resolution^{**}\ \psi\ (\{\{\#\}\}, a-u-v))$
 (is $?A \longleftrightarrow ?B$)
 proof
 assume $?B$
 then show $?A$ by *auto*
 next
 assume $?A$
 then obtain $\chi s\ a-u-v$ where χs : $resolution^{**}\ \psi\ (\chi s, a-u-v)$ and F : $\{\#\} \in \chi s$ by *auto*
 { assume *simplified* χs
 then have $?B$ using *simplified-falsity*[*OF - F*] χs by *blast*
 }
 moreover {
 assume $\neg\ simplified\ \chi s$
 then obtain $\chi s'$ where *full1 simplify* $\chi s\ \chi s'$
 by (*metis* χs *assms finite-simplified-full1-simp fst-conv rtranclp-resolution-finite*)
 then have $\{\#\} \in \chi s'$
 unfolding *full1-def* by (*meson F rtranclp-simplify-falsity-in-preserved*
trancplp-into-rtranclp)
 then have $?B$
 by (*metis* $\chi s\ \langle full1\ simplify\ \chi s\ \chi s' \rangle\ fst-conv\ full1-simp\ resolution-always-simplified$
rtranclp.rtrancl-into-rtrancl simplified-falsity)
 }

```

}
ultimately show ?B by blast
qed

lemma resolution-soundness-and-completeness':
  fixes  $\psi :: 'v :: \text{linorder state}$ 
  assumes
    finite: finite (fst  $\psi$ ) and
    snd: snd  $\psi = \{\}$ 
  shows  $(\exists a-u-v. (\text{resolution}^{**} \psi (\{\{\#\}\}, a-u-v))) \longleftrightarrow \text{unsatisfiable (fst } \psi)$ 
  using assms resolution-completeness resolution-soundness resolution-falsity-get-falsity-alone
  by metis

end

```

```

theory Partial-Annotated-Clausal-Logic
imports Partial-Clausal-Logic

```

```
begin
```

14 Partial Clausal Logic

We here define marked literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

14.1 Marked Literals

14.1.1 Definition

```

datatype ('v, 'lvl, 'mark) marked-lit =
  is-marked: Marked (lit-of: 'v literal) (level-of: 'lvl) |
  is-proped: Propagated (lit-of: 'v literal) (mark-of: 'mark)

lemma marked-lit-list-induct[case-names nil marked proped]:
  assumes  $P []$  and
   $\bigwedge L l xs. P xs \implies P (\text{Marked } L l \# xs)$  and
   $\bigwedge L m xs. P xs \implies P (\text{Propagated } L m \# xs)$ 
  shows  $P xs$ 
  using assms apply (induction xs, simp)
  by (rename-tac a xs, case-tac a) auto

```

```

lemma is-marked-ex-Marked:
  is-marked  $L \implies \exists K lvl. L = \text{Marked } K lvl$ 
  by (cases L) auto

```

```

type-synonym ('v, 'l, 'm) marked-lits = ('v, 'l, 'm) marked-lit list

```

```

definition lits-of :: ('a, 'b, 'c) marked-lit set  $\Rightarrow$  'a literal set where
  lits-of  $Ls = \text{lit-of } Ls$ 

```

```

abbreviation lits-of-l :: ('a, 'b, 'c) marked-lit list  $\Rightarrow$  'a literal set where
  lits-of-l  $Ls \equiv \text{lits-of (set } Ls)$ 

```

```

lemma lits-of-l-empty[simp]:
  lits-of  $\{\} = \{\}$ 

```

unfolding *lits-of-def* **by** *auto*

lemma *lits-of-insert[simp]*:
 $lits-of (insert L Ls) = insert (lit-of L) (lits-of Ls)$
unfolding *lits-of-def* **by** *auto*

lemma *lits-of-l-append[simp]*:
 $lits-of (l \cup l') = lits-of l \cup lits-of l'$
unfolding *lits-of-def* **by** *auto*

lemma *finite-lits-of-def[simp]*:
 $finite (lits-of-l L)$
unfolding *lits-of-def* **by** *auto*

abbreviation *unmark* **where**
 $unmark \equiv (\lambda a. \{\#lit-of a\# \})$

abbreviation *unmark-s* **where**
 $unmark-s M \equiv unmark \text{ ' } M$

abbreviation *unmark-l* **where**
 $unmark-l M \equiv unmark-s (set M)$

lemma *atms-of-ms-lambda-lit-of-is-atm-of-lit-of[simp]*:
 $atms-of-ms (unmark-l M') = atm-of \text{ ' } lits-of-l M'$
unfolding *atms-of-ms-def lits-of-def* **by** *auto*

lemma *lits-of-l-empty-is-empty[iff]*:
 $lits-of-l M = \{\} \longleftrightarrow M = []$
by (*induct M*) (*auto simp: lits-of-def*)

14.1.2 Entailment

definition *true-annot* :: (*'a*, *'l*, *'m*) *marked-lits* \Rightarrow *'a clause* \Rightarrow *bool* (**infix** \models_a 49) **where**
 $I \models_a C \longleftrightarrow (lits-of-l I) \models C$

definition *true-annots* :: (*'a*, *'l*, *'m*) *marked-lits* \Rightarrow *'a clauses* \Rightarrow *bool* (**infix** \models_{as} 49) **where**
 $I \models_{as} CC \longleftrightarrow (\forall C \in CC. I \models_a C)$

lemma *true-annot-empty-model[simp]*:
 $\neg[] \models_a \psi$
unfolding *true-annot-def true-cls-def* **by** *simp*

lemma *true-annot-empty[simp]*:
 $\neg I \models_a \{\#\}$
unfolding *true-annot-def true-cls-def* **by** *simp*

lemma *empty-true-annots-def[iff]*:
 $[] \models_{as} \psi \longleftrightarrow \psi = \{\}$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-empty[simp]*:
 $I \models_{as} \{\}$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-single-true-annot[iff]*:

$I \models_{as} \{C\} \longleftrightarrow I \models_a C$
unfolding *true-annots-def* **by** *auto*

lemma *true-annot-insert-l[simp]*:
 $M \models_a A \implies L \# M \models_a A$
unfolding *true-annot-def* **by** *auto*

lemma *true-annots-insert-l [simp]*:
 $M \models_{as} A \implies L \# M \models_{as} A$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-union[iff]*:
 $M \models_{as} A \cup B \longleftrightarrow (M \models_{as} A \wedge M \models_{as} B)$
unfolding *true-annots-def* **by** *auto*

lemma *true-annots-insert[iff]*:
 $M \models_{as} \text{insert } a \ A \longleftrightarrow (M \models_a a \wedge M \models_{as} A)$
unfolding *true-annots-def* **by** *auto*

Link between \models_{as} and \models_s :

lemma *true-annots-true-cls*:
 $I \models_{as} CC \longleftrightarrow \text{lits-of-l } I \models_s CC$
unfolding *true-annots-def* *Ball-def* *true-annot-def* *true-clss-def* **by** *auto*

lemma *in-lit-of-true-annot*:
 $a \in \text{lits-of-l } M \longleftrightarrow M \models_a \{\#a\# \}$
unfolding *true-annot-def* *lits-of-def* **by** *auto*

lemma *true-annot-lit-of-notin-skip*:
 $L \# M \models_a A \implies \text{lit-of } L \notin \# A \implies M \models_a A$
unfolding *true-annot-def* *true-cls-def* **by** *auto*

lemma *true-clss-singleton-lit-of-implies-incl*:
 $I \models_s \text{unmark-l } MLs \implies \text{lits-of-l } MLs \subseteq I$
unfolding *true-clss-def* *lits-of-def* **by** *auto*

lemma *true-annot-true-clss-cls*:
 $MLs \models_a \psi \implies \text{set } (\text{map } \text{unmark } MLs) \models_p \psi$
unfolding *true-annot-def* *true-clss-cls-def* *true-cls-def*
by (*auto* *dest: true-clss-singleton-lit-of-implies-incl*)

lemma *true-annots-true-clss-cls*:
 $MLs \models_{as} \psi \implies \text{set } (\text{map } \text{unmark } MLs) \models_{ps} \psi$
by (*auto*
dest: true-clss-singleton-lit-of-implies-incl
simp add: true-clss-def true-annots-def true-annot-def lits-of-def true-cls-def
true-clss-clss-def)

lemma *true-annots-marked-true-cls[iff]*:
 $\text{map } (\lambda M. \text{Marked } M \ a) \ M \models_{as} N \longleftrightarrow \text{set } M \models_s N$

proof –

have *: *lit-of* ‘ $(\lambda M. \text{Marked } M \ a)$ ’ *set* $M = \text{set } M$ **unfolding** *lits-of-def* **by** *force*
show ?thesis **by** (*simp add: true-annots-true-cls * lits-of-def*)

qed

lemma *true-annot-singleton*[*iff*]: $M \models_a \{\#L\# \} \longleftrightarrow L \in \text{ lits-of-}l\ M$
unfolding *true-annot-def lits-of-def* **by** *auto*

lemma *true-annots-true-clss-clss*:
 $A \models_{as} \Psi \implies \text{unmark-}l\ A \models_{ps} \Psi$
unfolding *true-clss-clss-def true-annots-def true-clss-def*
by (*auto*
dest!: *true-clss-singleton-lit-of-implies-incl*
simp add: *lits-of-def true-annot-def true-clss-def*)

lemma *true-annot-commute*:
 $M @ M' \models_a D \longleftrightarrow M' @ M \models_a D$
unfolding *true-annot-def* **by** (*simp add*: *Un-commute*)

lemma *true-annots-commute*:
 $M @ M' \models_{as} D \longleftrightarrow M' @ M \models_{as} D$
unfolding *true-annots-def* **by** (*auto simp add*: *true-annot-commute*)

lemma *true-annot-mono*[*dest*]:
 $\text{set } I \subseteq \text{set } I' \implies I \models_a N \implies I' \models_a N$
using *true-clss-mono-set-mset-l* **unfolding** *true-annot-def lits-of-def*
by (*metis (no-types) Un-commute Un-upper1 image-Un sup.orderE*)

lemma *true-annots-mono*:
 $\text{set } I \subseteq \text{set } I' \implies I \models_{as} N \implies I' \models_{as} N$
unfolding *true-annots-def* **by** *auto*

14.1.3 Defined and undefined literals

definition *defined-lit* :: ('a, 'l, 'm) *marked-lit list* \Rightarrow 'a *literal* \Rightarrow *bool*
where
 $\text{defined-lit } I\ L \longleftrightarrow (\exists l. \text{Marked } L\ l \in \text{set } I) \vee (\exists P. \text{Propagated } L\ P \in \text{set } I)$
 $\vee (\exists l. \text{Marked } (-L)\ l \in \text{set } I) \vee (\exists P. \text{Propagated } (-L)\ P \in \text{set } I)$

abbreviation *undefined-lit* :: ('a, 'l, 'm) *marked-lit list* \Rightarrow 'a *literal* \Rightarrow *bool*
where $\text{undefined-lit } I\ L \equiv \neg \text{defined-lit } I\ L$

lemma *defined-lit-rev*[*simp*]:
 $\text{defined-lit } (\text{rev } M)\ L \longleftrightarrow \text{defined-lit } M\ L$
unfolding *defined-lit-def* **by** *auto*

lemma *atm-imp-marked-or-proped*:
assumes $x \in \text{set } I$
shows
 $(\exists l. \text{Marked } (-\ \text{lit-of } x)\ l \in \text{set } I)$
 $\vee (\exists l. \text{Marked } (\text{lit-of } x)\ l \in \text{set } I)$
 $\vee (\exists l. \text{Propagated } (-\ \text{lit-of } x)\ l \in \text{set } I)$
 $\vee (\exists l. \text{Propagated } (\text{lit-of } x)\ l \in \text{set } I)$
using *assms marked-lit.exhaust-sel* **by** *metis*

lemma *literal-is-lit-of-marked*:
assumes $L = \text{lit-of } x$
shows $(\exists l. x = \text{Marked } L\ l) \vee (\exists l'. x = \text{Propagated } L\ l')$
using *assms* **by** (*cases x*) *auto*

lemma *true-annot-iff-marked-or-true-lit*:
 $\text{defined-lit } I \ L \longleftrightarrow ((\text{lits-of-l } I) \models L \vee (\text{lits-of-l } I) \models \neg L)$
unfolding *defined-lit-def* **by** (*auto simp add: lits-of-def rev-image-eqI*
dest!: literal-is-lit-of-marked)

lemma *consistent-interp* (*lits-of-l I*) $\implies I \models_{as} N \implies \text{satisfiable } N$
by (*simp add: true-annot-true-cl*)

lemma *defined-lit-map*:
 $\text{defined-lit } Ls \ L \longleftrightarrow \text{atm-of } L \in (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ 'set } Ls$
unfolding *defined-lit-def* **apply** (*rule iffI*)
using *image-iff* **apply** *fastforce*
by (*fastforce simp add: atm-of-eq-atm-of dest: atm-imp-marked-or-proped*)

lemma *defined-lit-uminus*[*iff*]:
 $\text{defined-lit } I \ (\neg L) \longleftrightarrow \text{defined-lit } I \ L$
unfolding *defined-lit-def* **by** *auto*

lemma *Marked-Propagated-in-iff-in-lits-of-l*:
 $\text{defined-lit } I \ L \longleftrightarrow (L \in \text{lits-of-l } I \vee \neg L \in \text{lits-of-l } I)$
unfolding *lits-of-def* *defined-lit-def*
by (*auto simp: rev-image-eqI*) (*rename-tac x, case-tac x, auto*)+

lemma *consistent-add-undefined-lit-consistent*[*simp*]:
assumes
consistent-interp (*lits-of-l Ls*) **and**
undefined-lit *Ls L*
shows *consistent-interp* (*insert L (lits-of-l Ls)*)
using *assms* **unfolding** *consistent-interp-def* **by** (*auto simp: Marked-Propagated-in-iff-in-lits-of-l*)

lemma *decided-empty*[*simp*]:
 $\neg \text{defined-lit } [] \ L$
unfolding *defined-lit-def* **by** *simp*

14.2 Backtracking

fun *backtrack-split* :: ('v, 'l, 'm) *marked-lits*
 $\Rightarrow ('v, 'l, 'm) \text{ marked-lits} \times ('v, 'l, 'm) \text{ marked-lits}$ **where**
backtrack-split [] = ([], []) |
backtrack-split (*Propagated L P # mlits*) = *apfst* ((*op #*) (*Propagated L P*)) (*backtrack-split mlits*) |
backtrack-split (*Marked L l # mlits*) = ([], *Marked L l # mlits*)

lemma *backtrack-split-fst-not-marked*: $a \in \text{set } (\text{fst } (\text{backtrack-split } l)) \implies \neg \text{is-marked } a$
by (*induct l rule: marked-lit-list-induct*) *auto*

lemma *backtrack-split-snd-hd-marked*:
 $\text{snd } (\text{backtrack-split } l) \neq [] \implies \text{is-marked } (\text{hd } (\text{snd } (\text{backtrack-split } l)))$
by (*induct l rule: marked-lit-list-induct*) *auto*

lemma *backtrack-split-list-eq*[*simp*]:
 $\text{fst } (\text{backtrack-split } l) @ (\text{snd } (\text{backtrack-split } l)) = l$
by (*induct l rule: marked-lit-list-induct*) *auto*

lemma *backtrack-snd-empty-not-marked*:
 $\text{backtrack-split } M = (M'', []) \implies \forall l \in \text{set } M. \neg \text{is-marked } l$
by (*metis append-Nil2 backtrack-split-fst-not-marked backtrack-split-list-eq snd-conv*)

lemma *backtrack-split-some-is-marked-then-snd-has-hd*:

$\exists l \in \text{set } M. \text{ is-marked } l \implies \exists M' L' M''. \text{ backtrack-split } M = (M'', L' \# M')$
by (metis backtrack-snd-empty-not-marked list.exhaust prod.collapse)

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

lemma *backtrack-split-takeWhile-dropWhile*:

$\text{backtrack-split } M = (\text{takeWhile } (\text{Not } o \text{ is-marked}) M, \text{dropWhile } (\text{Not } o \text{ is-marked}) M)$

proof (induct M)

case Nil **show** ?case **by** simp

next

case (Cons L M) **then show** ?case **by** (cases L) auto

qed

14.3 Decomposition with respect to the marked literals

The pattern *get-all-marked-decomposition* [] = [([]), []] is necessary otherwise, we can call the *hd* function in the other pattern.

fun *get-all-marked-decomposition* :: ('a, 'l, 'm) marked-lits

$\Rightarrow ((\text{'a, 'l, 'm}) \text{ marked-lits} \times (\text{'a, 'l, 'm}) \text{ marked-lits}) \text{ list}$ **where**

get-all-marked-decomposition (Marked L l # Ls) =

(Marked L l # Ls, []) # *get-all-marked-decomposition* Ls |

get-all-marked-decomposition (Propagated L P # Ls) =

(apsnd ((op #) (Propagated L P)) (hd (*get-all-marked-decomposition* Ls)))

tl (*get-all-marked-decomposition* Ls) |

get-all-marked-decomposition [] = [([]), []]

value *get-all-marked-decomposition* [Propagated A5 B5, Marked C4 D4, Propagated A3 B3,
 Propagated A2 B2, Marked C1 D1, Propagated A0 B0]

lemma *get-all-marked-decomposition-never-empty*[iff]:

get-all-marked-decomposition M = [] \longleftrightarrow False

by (induct M, simp) (rename-tac a xs, case-tac a, auto)

lemma *get-all-marked-decomposition-never-empty-sym*[iff]:

[] = *get-all-marked-decomposition* M \longleftrightarrow False

using *get-all-marked-decomposition-never-empty*[of M] **by** presburger

lemma *get-all-marked-decomposition-decomp*:

$\text{hd } (\text{get-all-marked-decomposition } S) = (a, c) \implies S = c @ a$

proof (induct S arbitrary: a c)

case Nil

then show ?case **by** simp

next

case (Cons x A)

then show ?case **by** (cases x; cases hd (*get-all-marked-decomposition* A)) auto

qed

lemma *get-all-marked-decomposition-backtrack-split*:

$\text{backtrack-split } S = (M, M') \longleftrightarrow \text{hd } (\text{get-all-marked-decomposition } S) = (M', M)$

proof (induction S arbitrary: M M')

case Nil

then show ?case **by** auto

```

next
  case (Cons a S)
  then show ?case using backtrack-split-takeWhile-dropWhile by (cases a) force+
qed

lemma get-all-marked-decomposition-nil-backtrack-split-snd-nil:
  get-all-marked-decomposition S = [([], A)]  $\implies$  snd (backtrack-split S) = []
  by (simp add: get-all-marked-decomposition-backtrack-split sndI)

lemma get-all-marked-decomposition-length-1-fst-empty-or-length-1:
  assumes get-all-marked-decomposition M = (a, b) # []
  shows a = []  $\vee$  (length a = 1  $\wedge$  is-marked (hd a)  $\wedge$  hd a  $\in$  set M)
  using assms
proof (induct M arbitrary: a b rule: marked-lit-list-induct)
  case nil then show ?case by simp
next
  case (marked L mark M)
  then show ?case by simp
next
  case (proped L mark M)
  then show ?case by (cases get-all-marked-decomposition M) force+
qed

lemma get-all-marked-decomposition-fst-empty-or-hd-in-M:
  assumes get-all-marked-decomposition M = (a, b) # l
  shows a = []  $\vee$  (is-marked (hd a)  $\wedge$  hd a  $\in$  set M)
  using assms apply (induct M arbitrary: a b rule: marked-lit-list-induct)
  apply auto[2]
  by (metis UnCI backtrack-split-snd-hd-marked get-all-marked-decomposition-backtrack-split
    get-all-marked-decomposition-decomp hd-in-set list.sel(1) set-append snd-conv)

lemma get-all-marked-decomposition-snd-not-marked:
  assumes (a, b)  $\in$  set (get-all-marked-decomposition M)
  and L  $\in$  set b
  shows  $\neg$ is-marked L
  using assms apply (induct M arbitrary: a b rule: marked-lit-list-induct, simp)
  by (rename-tac L' l xs a b, case-tac get-all-marked-decomposition xs; fastforce)+

lemma tl-get-all-marked-decomposition-skip-some:
  assumes x  $\in$  set (tl (get-all-marked-decomposition M1))
  shows x  $\in$  set (tl (get-all-marked-decomposition (M0 @ M1)))
  using assms
  by (induct M0 rule: marked-lit-list-induct)
  (auto simp add: list.set-sel(2))

lemma hd-get-all-marked-decomposition-skip-some:
  assumes (x, y) = hd (get-all-marked-decomposition M1)
  shows (x, y)  $\in$  set (get-all-marked-decomposition (M0 @ Marked K i # M1))
  using assms
proof (induct M0)
  case Nil
  then show ?case by auto
next
  case (Cons L M0)
  then have xy: (x, y)  $\in$  set (get-all-marked-decomposition (M0 @ Marked K i # M1)) by blast

```

```

show ?case
proof (cases L)
  case (Marked l m)
  then show ?thesis using xy by auto
next
case (Propagated l m)
then show ?thesis
  using xy Cons.prem by
  by (cases get-all-marked-decomposition (M0 @ Marked K i # M1))
    (auto dest!: get-all-marked-decomposition-decomp
      arg-cong[of get-all-marked-decomposition - - hd])
qed
qed

lemma get-all-marked-decomposition-snd-union:
  set M =  $\bigcup$  (set 'snd ' set (get-all-marked-decomposition M))  $\cup$  {L | L. is-marked L  $\wedge$  L  $\in$  set M}
  (is ?M M = ?U M  $\cup$  ?Ls M)
proof (induct M arbitrary:)
  case Nil
  then show ?case by simp
next
case (Cons L M)
show ?case
proof (cases L)
  case (Marked a l) note L = this
  then have L  $\in$  ?Ls (L#M) by auto
  moreover have ?U (L#M) = ?U M unfolding L by auto
  moreover have ?M M = ?U M  $\cup$  ?Ls M using Cons.hyps by auto
  ultimately show ?thesis by auto
next
case (Propagated a P)
then show ?thesis using Cons.hyps by (cases (get-all-marked-decomposition M)) auto
qed
qed

lemma in-get-all-marked-decomposition-in-get-all-marked-decomposition-prepend:
  (a, b)  $\in$  set (get-all-marked-decomposition M')  $\implies$ 
   $\exists b'. (a, b' @ b) \in$  set (get-all-marked-decomposition (M @ M'))
  apply (induction M rule: marked-lit-list-induct)
  apply (metis append-Nil)
  apply auto[]
  by (rename-tac L' m xs, case-tac get-all-marked-decomposition (xs @ M')) auto

lemma get-all-marked-decomposition-remove-unmark-ssed-length:
  assumes  $\forall l \in$  set M'.  $\neg$ is-marked l
  shows length (get-all-marked-decomposition (M' @ M''))
    = length (get-all-marked-decomposition M'')
  using assms by (induct M' arbitrary: M'' rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-not-is-marked-length:
  assumes  $\forall l \in$  set M'.  $\neg$ is-marked l
  shows 1 + length (get-all-marked-decomposition (Propagated (-L) P # M))
    = length (get-all-marked-decomposition (M' @ Marked L l # M))
  using assms get-all-marked-decomposition-remove-unmark-ssed-length by fastforce

```

```

lemma get-all-marked-decomposition-last-choice:
  assumes  $tl\ (get\_all\_marked\_decomposition\ (M' @ Marked\ L\ l\ \# M)) \neq []$ 
  and  $\forall l \in set\ M'. \neg is\_marked\ l$ 
  and  $hd\ (tl\ (get\_all\_marked\_decomposition\ (M' @ Marked\ L\ l\ \# M))) = (M0', M0)$ 
  shows  $hd\ (get\_all\_marked\_decomposition\ (Propagated\ (-L)\ P\ \# M)) = (M0', Propagated\ (-L)\ P\ \# M0)$ 
  using assms by (induct  $M'$  rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-except-last-choice-equal:
  assumes  $\forall l \in set\ M'. \neg is\_marked\ l$ 
  shows  $tl\ (get\_all\_marked\_decomposition\ (Propagated\ (-L)\ P\ \# M)) = tl\ (tl\ (get\_all\_marked\_decomposition\ (M' @ Marked\ L\ l\ \# M)))$ 
  using assms by (induct  $M'$  rule: marked-lit-list-induct) auto

lemma get-all-marked-decomposition-hd-hd:
  assumes  $get\_all\_marked\_decomposition\ Ls = (M, C) \# (M0, M0') \# l$ 
  shows  $tl\ M = M0' @ M0 \wedge is\_marked\ (hd\ M)$ 
  using assms
proof (induct  $Ls$  arbitrary:  $M\ C\ M0\ M0'\ l$ )
  case Nil
  then show ?case by simp
next
  case (Cons  $a\ Ls\ M\ C\ M0\ M0'\ l$ ) note  $IH = this(1)$  and  $g = this(2)$ 
  { fix  $L\ level$ 
    assume  $a = Marked\ L\ level$ 
    have  $Ls = M0' @ M0$ 
    using  $g\ a$  by (force intro: get-all-marked-decomposition-decomp)
    then have  $tl\ M = M0' @ M0 \wedge is\_marked\ (hd\ M)$  using  $g\ a$  by auto
  }
  moreover {
    fix  $L\ P$ 
    assume  $a = Propagated\ L\ P$ 
    have  $tl\ M = M0' @ M0 \wedge is\_marked\ (hd\ M)$ 
    using  $IH\ Cons.prems$  unfolding  $a$  by (cases get-all-marked-decomposition  $Ls$ ) auto
  }
  ultimately show ?case by (cases  $a$ ) auto
qed

lemma get-all-marked-decomposition-exists-prepend[dest]:
  assumes  $(a, b) \in set\ (get\_all\_marked\_decomposition\ M)$ 
  shows  $\exists c. M = c @ b @ a$ 
  using assms apply (induct  $M$  rule: marked-lit-list-induct)
  apply simp
  by (rename-tac  $L'\ m\ xs$ , case-tac get-all-marked-decomposition  $xs$ ;
    auto dest!: arg-cong[of get-all-marked-decomposition - - hd]
    get-all-marked-decomposition-decomp)+

lemma get-all-marked-decomposition-incl:
  assumes  $(a, b) \in set\ (get\_all\_marked\_decomposition\ M)$ 
  shows  $set\ b \subseteq set\ M$  and  $set\ a \subseteq set\ M$ 
  using assms get-all-marked-decomposition-exists-prepend by fastforce+
```

```

using assms apply (induct M rule: marked-lit-list-induct)
  apply auto[1]
by (rename-tac L' m xs, case-tac hd (get-all-marked-decomposition xs),
  auto dest!: get-all-marked-decomposition-decomp simp add: list.set-sel(2))+

lemma union-in-get-all-marked-decomposition-is-subset:
  assumes  $(a, b) \in \text{set } (\text{get-all-marked-decomposition } M)$ 
  shows  $\text{set } a \cup \text{set } b \subseteq \text{set } M$ 
  using assms by force

lemma Marked-cons-in-get-all-marked-decomposition-append-Marked-cons:
   $\exists M1\ M2. (\text{Marked } K\ i\ \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (c @ \text{Marked } K\ i\ \# c'))$ 
  apply (induction c rule: marked-lit-list-induct)
  apply auto[2]
  apply (rename-tac L m xs,
  case-tac hd (get-all-marked-decomposition (xs @ Marked K i # c')))
  apply (case-tac get-all-marked-decomposition (xs @ Marked K i # c'))
  by auto

definition all-decomposition-implies :: 'a literal multiset set
 $\Rightarrow ((\text{'a}, \text{'l}, \text{'m}) \text{ marked-lit list} \times (\text{'a}, \text{'l}, \text{'m}) \text{ marked-lit list}) \text{ list} \Rightarrow \text{bool})$  where
all-decomposition-implies N S
 $\longleftrightarrow (\forall (Ls, \text{seen}) \in \text{set } S. \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l seen})$ 

lemma all-decomposition-implies-empty[iff]:
all-decomposition-implies N [] unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-single[iff]:
all-decomposition-implies N [(Ls, seen)]
 $\longleftrightarrow \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l seen}$ 
unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-append[iff]:
all-decomposition-implies N (S @ S')
 $\longleftrightarrow (\text{all-decomposition-implies } N\ S \wedge \text{all-decomposition-implies } N\ S')$ 
unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-cons-pair[iff]:
all-decomposition-implies N ((Ls, seen) # S')
 $\longleftrightarrow (\text{all-decomposition-implies } N [(Ls, \text{seen})] \wedge \text{all-decomposition-implies } N\ S')$ 
unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-cons-single[iff]:
all-decomposition-implies N (l # S')  $\longleftrightarrow$ 
 $(\text{unmark-l } (\text{fst } l) \cup N \models_{ps} \text{unmark-l } (\text{snd } l) \wedge \text{all-decomposition-implies } N\ S')$ 
unfolding all-decomposition-implies-def by auto

lemma all-decomposition-implies-trail-is-implied:
assumes all-decomposition-implies N (get-all-marked-decomposition M)
shows  $N \cup \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } M\}$ 
 $\models_{ps} \text{unmark } ' \bigcup (\text{set } ' \text{snd } ' \text{set } (\text{get-all-marked-decomposition } M))$ 
using assms
proof (induct length (get-all-marked-decomposition M) arbitrary: M)
  case 0

```



```

then show ?case by auto
next
case (Suc n) note IH = this(1) and length = this(2) and decomp = this(3)
consider
  (le1) length (get-all-marked-decomposition M) ≤ 1
  | (gt1) length (get-all-marked-decomposition M) > 1
by arith
then show ?case
proof cases
case le1
then obtain a b where g: get-all-marked-decomposition M = (a, b) # []
  by (cases get-all-marked-decomposition M) auto
moreover {
  assume a = []
  then have ?thesis using Suc.prem1 g by auto
}
moreover {
  assume l: length a = 1 and m: is-marked (hd a) and hd: hd a ∈ set M
  then have unmark (hd a) ∈ {unmark L | L. is-marked L ∧ L ∈ set M} by auto
  then have H: unmark-l a ∪ N ⊆ N ∪ {unmark L | L. is-marked L ∧ L ∈ set M}
    using l by (cases a) auto
  have f1: unmark-l a ∪ N ⊆ps unmark-l b
    using decomp unfolding all-decomposition-implies-def g by simp
  have ?thesis
    apply (rule true-clss-clss-subset) using f1 H g by auto
}
ultimately show ?thesis
  using get-all-marked-decomposition-length-1-fst-empty-or-length-1 by blast
next
case gt1
then obtain Ls0 seen0 M' where
  Ls0: get-all-marked-decomposition M = (Ls0, seen0) # get-all-marked-decomposition M' and
  length': length (get-all-marked-decomposition M') = n and
  M'-in-M: set M' ⊆ set M
  using length by (induct M rule: marked-lit-list-induct) (auto simp: subset-insertI2)
let ?d = ⋃ (set 'snd ' set (get-all-marked-decomposition M'))
let ?unM = {unmark L | L. is-marked L ∧ L ∈ set M}
let ?unM' = {unmark L | L. is-marked L ∧ L ∈ set M'}
{
  assume n = 0
  then have get-all-marked-decomposition M' = [] using length' by auto
  then have ?thesis using Suc.prem1 unfolding all-decomposition-implies-def Ls0 by auto
}
moreover {
  assume n: n > 0
  then obtain Ls1 seen1 l where
    Ls1: get-all-marked-decomposition M' = (Ls1, seen1) # l
    using length' by (induct M' rule: marked-lit-list-induct) auto

  have all-decomposition-implies N (get-all-marked-decomposition M')
    using decomp unfolding Ls0 by auto
  then have N: N ∪ ?unM' ⊆ps unmark-s ?d
    using IH length' by auto
  have l: N ∪ ?unM' ⊆ N ∪ ?unM
    using M'-in-M by auto

```

```

from true-clss-clss-subset[OF this N]
have  $\Psi N: N \cup ?unM \models_{ps} unmark-s \ ?d$  by auto
have is-marked (hd Ls0) and LS: tl Ls0 = seen1 @ Ls1
  using get-all-marked-decomposition-hd-hd[of M] unfolding Ls0 Ls1 by auto

have LSM: seen1 @ Ls1 = M' using get-all-marked-decomposition-decomp[of M'] Ls1 by auto
have M': set M' = ?d  $\cup \{L \mid L. is-marked L \wedge L \in set M'\}$ 
  using get-all-marked-decomposition-snd-union by auto

{
  assume Ls0  $\neq []$ 
  then have hd Ls0  $\in set M$ 
    using get-all-marked-decomposition-fst-empty-or-hd-in-M Ls0 by blast
  then have  $N \cup ?unM \models_p unmark (hd Ls0)$ 
    using  $\langle is-marked (hd Ls0) \rangle$  by (metis (mono-tags, lifting) UnCI mem-Collect-eq true-clss-clss-in)
} note hd-Ls0 = this

have l: unmark ' (?d  $\cup \{L \mid L. is-marked L \wedge L \in set M'\}) = unmark-s ?d \cup ?unM'$ 
  by auto
have  $N \cup ?unM' \models_{ps} unmark ' (?d \cup \{L \mid L. is-marked L \wedge L \in set M'\})$ 
  unfolding l using N by (auto simp: all-in-true-clss-clss)
then have  $t: N \cup ?unM' \models_{ps} unmark-l (tl Ls0)$ 
  using M' unfolding LS LSM by auto
then have  $N \cup ?unM \models_{ps} unmark-l (tl Ls0)$ 
  using M'-in-M true-clss-clss-subset[OF - t, of N  $\cup ?unM$ ] by auto
then have  $N \cup ?unM \models_{ps} unmark-l Ls0$ 
  using hd-Ls0 by (cases Ls0) auto

moreover have  $unmark-l Ls0 \cup N \models_{ps} unmark-l seen0$ 
  using decomp unfolding Ls0 by simp
moreover have  $\bigwedge M Ma. (M::'a literal multiset set) \cup Ma \models_{ps} M$ 
  by (simp add: all-in-true-clss-clss)
ultimately have  $\Psi: N \cup ?unM \models_{ps} unmark-l seen0$ 
  by (meson true-clss-clss-left-right true-clss-clss-union-and true-clss-clss-union-l-r)

moreover have  $unmark ' (set seen0 \cup ?d) = unmark-l seen0 \cup unmark-s ?d$ 
  by auto
ultimately have ?thesis using  $\Psi N$  unfolding Ls0 by simp
}
ultimately show ?thesis by auto
qed
qed

lemma all-decomposition-implies-propagated-lits-are-implied:
  assumes all-decomposition-implies N (get-all-marked-decomposition M)
  shows  $N \cup \{unmark L \mid L. is-marked L \wedge L \in set M\} \models_{ps} unmark-l M$ 
  (is ?I  $\models_{ps} ?A$ )
proof –
  have  $?I \models_{ps} unmark-s \{L \mid L. is-marked L \wedge L \in set M\}$ 
  by (auto intro: all-in-true-clss-clss)
  moreover have  $?I \models_{ps} unmark ' \bigcup (set ' snd ' set (get-all-marked-decomposition M))$ 
  using all-decomposition-implies-trail-is-implied assms by blast
  ultimately have  $N \cup \{unmark m \mid m. is-marked m \wedge m \in set M\}$ 
   $\models_{ps} unmark ' \bigcup (set ' snd ' set (get-all-marked-decomposition M))$ 

```

$\cup \text{unmark } \{ m \mid m. \text{ is-marked } m \wedge m \in \text{set } M \}$
by *blast*
then show *?thesis*
by (*metis* (*no-types*) *get-all-marked-decomposition-snd-union*[*of M*] *image-Un*)
qed

lemma *all-decomposition-implies-insert-single*:
all-decomposition-implies $N \ M \implies \text{all-decomposition-implies } (\text{insert } C \ N) \ M$
unfolding *all-decomposition-implies-def* **by** *auto*

14.4 Negation of Clauses

definition $CNot :: 'v \text{ clause} \Rightarrow 'v \text{ clauses}$ **where**
 $CNot \ \psi = \{ \{ \# - L \# \} \mid L. \ L \in \# \ \psi \}$

lemma *in-CNot-uminus*[*iff*]:
shows $\{ \# L \# \} \in CNot \ \psi \longleftrightarrow -L \in \# \ \psi$
unfolding *CNot-def* **by** *force*

lemma
shows
CNot-singleton[*simp*]: $CNot \ \{ \# L \# \} = \{ \{ \# - L \# \} \}$ **and**
CNot-empty[*simp*]: $CNot \ \{ \# \} = \{ \}$ **and**
CNot-plus[*simp*]: $CNot \ (A + B) = CNot \ A \cup CNot \ B$
unfolding *CNot-def* **by** *auto*

lemma *CNot-eq-empty*[*iff*]:
 $CNot \ D = \{ \} \longleftrightarrow D = \{ \# \}$
unfolding *CNot-def* **by** (*auto simp add: multiset-eqI*)

lemma *in-CNot-implies-uminus*:
assumes $L \in \# \ D$ **and** $M \models_{as} CNot \ D$
shows $M \models_a \{ \# - L \# \}$ **and** $-L \in \text{lits-of-l } M$
using *assms* **by** (*auto simp: true-annot-def true-annot-def CNot-def*)

lemma *CNot-remdups-mset*[*simp*]:
 $CNot \ (\text{remdups-mset } A) = CNot \ A$
unfolding *CNot-def* **by** *auto*

lemma *Ball-CNot-Ball-mset*[*simp*] :
 $(\forall x \in CNot \ D. \ P \ x) \longleftrightarrow (\forall L \in \# \ D. \ P \ \{ \# - L \# \})$
unfolding *CNot-def* **by** *auto*

lemma *consistent-CNot-not*:
assumes *consistent-interp I*
shows $I \models_s CNot \ \varphi \implies \neg I \models \varphi$
using *assms* **unfolding** *consistent-interp-def true-clss-def true-cls-def* **by** *auto*

lemma *total-not-true-cls-true-clss-CNot*:
assumes *total-over-m I* $\{ \varphi \}$ **and** $\neg I \models \varphi$
shows $I \models_s CNot \ \varphi$
using *assms* **unfolding** *total-over-m-def total-over-set-def true-clss-def true-cls-def CNot-def*
apply *clarify*
by (*rename-tac x L, case-tac L*) (*force intro: pos-lit-in-atms-of neg-lit-in-atms-of*)**+**

lemma *total-not-CNot*:

assumes *total-over-m* $I \{\varphi\}$ **and** $\neg I \models_s CNot \varphi$
shows $I \models \varphi$
using *assms total-not-true-cls-true-clss-CNot* **by** *auto*

lemma *atms-of-ms-CNot-atms-of[simp]*:
atms-of-ms (*CNot* C) = *atms-of* C
unfolding *atms-of-ms-def atms-of-def CNot-def* **by** *fastforce*

lemma *true-clss-clss-contradiction-true-clss-cls-false*:
 $C \in D \implies D \models_{ps} CNot C \implies D \models_p \{\#\}$
unfolding *true-clss-clss-def true-clss-cls-def total-over-m-def*
by (*metis Un-commute atms-of-empty atms-of-ms-CNot-atms-of atms-of-ms-insert atms-of-ms-union*
consistent-CNot-not insert-absorb sup-bot.left-neutral true-clss-def)

lemma *true-annots-CNot-all-atms-defined*:
assumes $M \models_{as} CNot T$ **and** $a1: L \in\# T$
shows $atm-of L \in atm-of \text{' lits-of-l } M$
by (*metis assms atm-of-uminus image-eqI in-CNot-implies-uminus(1) true-annot-singleton*)

lemma *true-annots-CNot-all-uminus-atms-defined*:
assumes $M \models_{as} CNot T$ **and** $a1: -L \in\# T$
shows $atm-of L \in atm-of \text{' lits-of-l } M$
by (*metis assms atm-of-uminus image-eqI in-CNot-implies-uminus(1) true-annot-singleton*)

lemma *true-clss-clss-false-left-right*:
assumes $\{\{\#L\#\} \cup B \models_p \{\#\}$
shows $B \models_{ps} CNot \{\#L\#\}$
unfolding *true-clss-clss-def true-clss-cls-def*
proof (*intro allI impI*)
fix I
assume
tot: total-over-m $I (B \cup CNot \{\#L\#\})$ **and**
cons: consistent-interp I **and**
 $I: I \models_s B$
have *total-over-m* $I (\{\{\#L\#\} \cup B)$ **using** *tot* **by** *auto*
then have $\neg I \models_s insert \{\#L\#\} B$
using *assms cons* **unfolding** *true-clss-cls-def* **by** *simp*
then show $I \models_s CNot \{\#L\#\}$
using *tot I* **by** (*cases L*) *auto*
qed

lemma *true-annots-true-cls-def-iff-negation-in-model*:
 $M \models_{as} CNot C \longleftrightarrow (\forall L \in\# C. -L \in lits-of-l M)$
unfolding *CNot-def true-annots-true-cls true-clss-def* **by** *auto*

lemma *true-annot-CNot-diff*:
 $I \models_{as} CNot C \implies I \models_{as} CNot (C - C')$
by (*auto simp: true-annots-true-cls-def-iff-negation-in-model dest: in-diffD*)

lemma *consistent-CNot-not-tautology*:
consistent-interp $M \implies M \models_s CNot D \implies \neg tautology D$
by (*metis atms-of-ms-CNot-atms-of consistent-CNot-not satisfiable-carac' satisfiable-def*
tautology-def total-over-m-def)

lemma *atms-of-ms-CNot-atms-of-ms*: $\text{atms-of-ms } (CNot \ CC) = \text{atms-of-ms } \{CC\}$
by *simp*

lemma *total-over-m-CNot-toal-over-m*[*simp*]:
 $\text{total-over-m } I \ (CNot \ C) = \text{total-over-set } I \ (\text{atms-of } C)$
unfolding *total-over-m-def total-over-set-def* **by** *auto*

The following lemma is very useful when in the goal appears an axioms like $- L = K$: this lemma allows the simplifier to rewrite L.

lemma *uminus-lit-swap*: $-(a::'a \text{ literal}) = i \longleftrightarrow a = -i$
by *auto*

lemma *true-clss-clss-plus-CNot*:
assumes *CC-L*: $A \models_p CC + \{\#L\# \}$
and *CNot-CC*: $A \models_{ps} CNot \ CC$
shows $A \models_p \{\#L\# \}$
unfolding *true-clss-clss-def true-clss-clss-def CNot-def total-over-m-def*
proof (*intro allI impI*)
fix *I*
assume
 $\text{tot: total-over-set } I \ (\text{atms-of-ms } (A \cup \{\{\#L\#\}\}))$ **and**
 $\text{cons: consistent-interp } I$ **and**
 $I: I \models_s A$
let $?I = I \cup \{Pos \ P | P. P \in \text{atms-of } CC \wedge P \notin \text{atm-of } 'I\}$
have *cons'*: $\text{consistent-interp } ?I$
using *cons unfolding consistent-interp-def*
by (*auto simp: uminus-lit-swap atms-of-def rev-image-eqI*)
have *I'*: $?I \models_s A$
using *I true-clss-union-increase* **by** *blast*
have *tot-CNot*: $\text{total-over-m } ?I \ (A \cup CNot \ CC)$
using *tot atms-of-s-def* **by** (*fastforce simp: total-over-m-def total-over-set-def*)

then have *tot-I-A-CC-L*: $\text{total-over-m } ?I \ (A \cup \{CC + \{\#L\#\}\})$
using *tot unfolding total-over-m-def total-over-set-atm-of* **by** *auto*
then have $?I \models CC + \{\#L\# \}$ **using** *CC-L cons' I' tot-CNot unfolding true-clss-clss-def* **by** *blast*
moreover
have $?I \models_s CNot \ CC$ **using** *CNot-CC cons' I' tot-CNot unfolding true-clss-clss-def* **by** *auto*
then have $\neg A \models_p CC$
by (*metis (no-types, lifting) I' atms-of-ms-CNot-atms-of-ms atms-of-ms-union cons'*
 $\text{consistent-CNot-not tot-CNot total-over-m-def true-clss-clss-def}$)
then have $\neg ?I \models CC$ **using** $\langle ?I \models_s CNot \ CC \rangle \text{ cons' consistent-CNot-not}$ **by** *blast*
ultimately have $?I \models \{\#L\# \}$ **by** *blast*
then show $I \models \{\#L\# \}$
by (*metis (no-types, lifting) atms-of-ms-union cons' consistent-CNot-not tot total-not-CNot*
 $\text{total-over-m-def total-over-set-union true-clss-union-increase}$)
qed

lemma *true-annots-CNot-lit-of-notin-skip*:
assumes *LM*: $L \# M \models_{as} CNot \ A$ **and** *LA*: $\text{lit-of } L \notin \# \ A \ -\text{lit-of } L \notin \# \ A$
shows $M \models_{as} CNot \ A$
using *LM unfolding true-annots-def Ball-def*
proof (*intro allI impI*)
fix *l*
assume *H*: $\forall x. x \in CNot \ A \longrightarrow L \# M \models_a x$ **and** *l*: $l \in CNot \ A$
then have $L \# M \models_a l$ **by** *auto*

then show $M \models_a l$ **using** $LA\ l$ **by** (*cases* L) (*auto simp: CNot-def*)
qed

lemma *true-clss-clss-union-false-true-clss-clss-cnot*:

$A \cup \{B\} \models_{ps} \{\{\#\}\} \longleftrightarrow A \models_{ps} CNot\ B$

using *total-not-CNot consistent-CNot-not unfolding total-over-m-def true-clss-clss-def*
by *fastforce*

lemma *true-annot-remove-hd-if-notin-vars*:

assumes $a \# M' \models_a D$ **and** $atm\text{-}of\ (lit\text{-}of\ a) \notin atm\text{-}of\ D$

shows $M' \models_a D$

using *assms true-clss-remove-hd-if-notin-vars unfolding true-annot-def* **by** *auto*

lemma *true-annot-remove-if-notin-vars*:

assumes $M @ M' \models_a D$ **and** $\forall x \in atm\text{-}of\ D. x \notin atm\text{-}of\ ' lits\text{-}of\text{-}l\ M$

shows $M' \models_a D$

using *assms apply (induct M, simp)*

using *true-annot-remove-hd-if-notin-vars* **by** *force+*

lemma *true-annots-remove-if-notin-vars*:

assumes $M @ M' \models_{as} D$ **and** $\forall x \in atm\text{-}of\text{-}ms\ D. x \notin atm\text{-}of\ ' lits\text{-}of\text{-}l\ M$

shows $M' \models_{as} D$ **unfolding** *true-annots-def*

using *assms true-annot-remove-if-notin-vars[of M M']*

unfolding *true-annots-def atm\text{-}of\text{-}ms\text{-}def* **by** *force*

lemma *all-variables-defined-not-imply-cnot*:

assumes

$\forall s \in atm\text{-}of\text{-}ms\ \{B\}. s \in atm\text{-}of\ ' lits\text{-}of\text{-}l\ A$ **and**

$\neg A \models_a B$

shows $A \models_{as} CNot\ B$

unfolding *true-annot-def true-annots-def Ball-def CNot-def true-lit-def*

proof (*clarify, rule ccontr*)

fix L

assume $LB: L \in \# B$ **and** $\neg lits\text{-}of\text{-}l\ A \models_l - L$

then have $atm\text{-}of\ L \in atm\text{-}of\ ' lits\text{-}of\text{-}l\ A$

using *assms(1)* **by** (*simp add: atm\text{-}of\text{-}lit\text{-}in\text{-}atms\text{-}of\ lits\text{-}of\text{-}def*)

then have $L \in lits\text{-}of\text{-}l\ A \vee -L \in lits\text{-}of\text{-}l\ A$

using *atm\text{-}of\text{-}in\text{-}atm\text{-}of\text{-}set\text{-}iff\text{-}in\text{-}set\text{-}or\text{-}uminus\text{-}in\text{-}set* **by** *metis*

then have $L \in lits\text{-}of\text{-}l\ A$ **using** $\langle \neg lits\text{-}of\text{-}l\ A \models_l - L \rangle$ **by** *auto*

then show *False*

using LB *assms(2)* **unfolding** *true-annot-def true-lit-def true-clss-def Bex-def*

by *blast*

qed

lemma *CNot-union-mset[simp]*:

$CNot\ (A \# \cup B) = CNot\ A \cup CNot\ B$

unfolding *CNot-def* **by** *auto*

14.5 Other

abbreviation $no\text{-}dup\ L \equiv distinct\ (map\ (\lambda l. atm\text{-}of\ (lit\text{-}of\ l))\ L)$

lemma *no-dup-rev[simp]*:

$no\text{-}dup\ (rev\ M) \longleftrightarrow no\text{-}dup\ M$

by (*auto simp: rev-map[symmetric]*)

lemma *no-dup-length-eq-card-atm-of-lits-of-l*:
assumes *no-dup M*
shows $\text{length } M = \text{card } (\text{atm-of } \text{' } \text{lits-of-l } M)$
using *assms unfolding lits-of-def by (induct M) (auto simp add: image-image)*

lemma *distinct-consistent-interp*:
 $\text{no-dup } M \implies \text{consistent-interp } (\text{lits-of-l } M)$
proof *(induct M)*
case *Nil*
show *?case by auto*

next
case *(Cons L M)*
then have *a1: consistent-interp (lits-of-l M) by auto*
have *a2: atm-of (lit-of L) \notin ($\lambda l.$ atm-of (lit-of l)) ' set M using Cons.prem by auto*
have *undefined-lit M (lit-of L)*
using *a2 image-iff unfolding defined-lit-def by fastforce*
then show *?case*
using *a1 by simp*

qed

lemma *distinct-get-all-marked-decomposition-no-dup*:
assumes $(a, b) \in \text{set } (\text{get-all-marked-decomposition } M)$
and *no-dup M*
shows *no-dup (a @ b)*
using *assms by force*

lemma *true-annots-lit-of-notin-skip*:
assumes $L \# M \models_{\text{as}} \text{CNot } A$
and $\neg \text{lit-of } L \notin \# A$
and *no-dup (L # M)*
shows $M \models_{\text{as}} \text{CNot } A$

proof –
have $\forall l \in \# A. \neg l \in \text{lits-of-l } (L \# M)$
using *assms(1) in-CNot-implies-uminus(2) by blast*

moreover
have $\text{atm-of } (\text{lit-of } L) \notin \text{atm-of ' } \text{lits-of-l } M$
using *assms(3) unfolding lits-of-def by force*
then have $\neg \text{lit-of } L \notin \text{lits-of-l } M$ **unfolding** *lits-of-def*
by *(metis (no-types) atm-of-uminus imageI)*

ultimately have $\forall l \in \# A. \neg l \in \text{lits-of-l } M$
using *assms(2) by (metis insert-iff list.simps(15) lits-of-insert uminus-of-uminus-id)*
then show *?thesis by (auto simp add: true-annots-def)*

qed

abbreviation *true-annots-mset* (**infix** \models_{asm} 50) **where**
 $I \models_{\text{asm}} C \equiv I \models_{\text{as}} (\text{set-mset } C)$

abbreviation *true-clss-clss-m*:: $'v \text{ clause multiset} \Rightarrow 'v \text{ clause multiset} \Rightarrow \text{bool}$ (**infix** \models_{psm} 50)
where
 $I \models_{\text{psm}} C \equiv \text{set-mset } I \models_{\text{ps}} (\text{set-mset } C)$

Analog of $\llbracket ?N \models_{\text{ps}} ?B; ?A \subseteq ?B \rrbracket \implies ?N \models_{\text{ps}} ?A$

lemma *true-clss-clssm-subsetE*: $N \models_{\text{psm}} B \implies A \subseteq \# B \implies N \models_{\text{psm}} A$
using *set-mset-mono true-clss-clss-subsetE by blast*

abbreviation *true-clss-cls-m* :: 'a clause multiset \Rightarrow 'a clause \Rightarrow bool (**infix** \models_{pm} 50) **where**
 $I \models_{pm} C \equiv \text{set-mset } I \models_p C$

abbreviation *distinct-mset-mset* :: 'a multiset multiset \Rightarrow bool **where**
 $\text{distinct-mset-mset } \Sigma \equiv \text{distinct-mset-set } (\text{set-mset } \Sigma)$

abbreviation *all-decomposition-implies-m* **where**
 $\text{all-decomposition-implies-m } A B \equiv \text{all-decomposition-implies } (\text{set-mset } A) B$

abbreviation *atms-of-mm* :: 'a literal multiset multiset \Rightarrow 'a set **where**
 $\text{atms-of-mm } U \equiv \text{atms-of-ms } (\text{set-mset } U)$

Other definition using *Union-mset*

lemma $\text{atms-of-mm } U \equiv \text{set-mset } (\bigcup \# \text{ image-mset } (\text{image-mset } \text{atm-of}) U)$
unfolding *atms-of-ms-def* **by** (*auto simp: atms-of-def*)

abbreviation *true-clss-m* :: 'a interp \Rightarrow 'a clause multiset \Rightarrow bool (**infix** \models_{sm} 50) **where**
 $I \models_{sm} C \equiv I \models_s \text{set-mset } C$

abbreviation *true-clss-ext-m* (**infix** \models_{sextm} 49) **where**
 $I \models_{sextm} C \equiv I \models_{sext} \text{set-mset } C$

end

theory *CDCL-Abstract-Clause-Representation*

imports *Main Partial-Clausal-Logic*

begin

type-synonym 'v clause = 'v literal multiset

type-synonym 'v clauses = 'v clause multiset

14.6 Abstract Clause Representation

We will abstract the representation of clause and clauses via two locales. We expect our representation to behave like multiset, but the internal representation can be done using list or whatever other representation.

We assume the following:

- there is an equivalent to adding and removing a literal and to taking the union of clauses.

locale *raw-cls* =

fixes

$\text{mset-cls} :: 'cls \Rightarrow 'v \text{ clause}$ **and**

$\text{insert-cls} :: 'v \text{ literal} \Rightarrow 'cls \Rightarrow 'cls$ **and**

$\text{remove-lit} :: 'v \text{ literal} \Rightarrow 'cls \Rightarrow 'cls$

assumes

$\text{insert-cls}[\text{simp}]: \text{mset-cls } (\text{insert-cls } L C) = \text{mset-cls } C + \{\#L\# \}$ **and**

$\text{remove-lit}[\text{simp}]: \text{mset-cls } (\text{remove-lit } L C) = \text{remove1-mset } L (\text{mset-cls } C)$

begin

end

locale *raw-ccls-union* =

fixes

$\text{mset-cls} :: 'cls \Rightarrow 'v \text{ clause}$ **and**

$\text{union-cls} :: 'cls \Rightarrow 'cls \Rightarrow 'cls$ **and**


```

insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls
assumes
insert-ccls[simp]: mset-cls (insert-cls L C) = mset-cls C + {#L#} and
mset-ccls-union-cls[simp]: mset-cls (union-cls C D) = mset-cls C # $\cup$  mset-cls D and
remove-clit[simp]: mset-cls (remove-lit L C) = remove1-mset L (mset-cls C)
begin
end

```

Instantiation of the previous locale, in an unnamed context to avoid polluting with simp rules

```

context
begin
interpretation list-cls: raw-cls mset
  op # remove1
  by unfold-locales (auto simp: union-mset-list ex-mset)

interpretation cls-cls: raw-cls id
   $\lambda L C. C + \{ \#L\# \}$  remove1-mset
  by unfold-locales (auto simp: union-mset-list)

interpretation list-cls: raw-ccls-union mset
  union-mset-list
  op # remove1
  by unfold-locales (auto simp: union-mset-list ex-mset)

interpretation cls-cls: raw-ccls-union id
  op # $\cup$   $\lambda L C. C + \{ \#L\# \}$  remove1-mset
  by unfold-locales (auto simp: union-mset-list)
end

```

Over the abstract clauses, we have the following properties:

- We can insert a clause
- We can take the union (used only in proofs for the definition of *clauses*)
- there is an operator indicating whether the abstract clause is contained or not
- if a concrete clause is contained the abstract clauses, then there is an abstract clause

```

locale raw-clss =
  raw-cls mset-cls insert-cls remove-lit
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls +
fixes
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss
assumes
insert-clss[simp]: mset-clss (insert-clss L C) = mset-clss C + {#mset-cls L#} and
union-clss[simp]: mset-clss (union-clss C D) = mset-clss C + mset-clss D and

```

```

mset-clss-union-clss[simp]: mset-clss (insert-clss C' D) = {#mset-clss C' #} + mset-clss D and
in-clss-mset-clss[dest]: in-clss a C  $\implies$  mset-clss a  $\in$  # mset-clss C and
in-mset-clss-exists-preimage: b  $\in$  # mset-clss C  $\implies$   $\exists$  b'. in-clss b' C  $\wedge$  mset-clss b' = b and
remove-from-clss-mset-clss[simp]:
  mset-clss (remove-from-clss a C) = mset-clss C - {#mset-clss a #} and
in-clss-union-clss[simp]:
  in-clss a (union-clss C D)  $\longleftrightarrow$  in-clss a C  $\vee$  in-clss a D
begin

end

experiment
begin
  fun remove-first where
    remove-first - [] = [] |
    remove-first C (C' # L) = (if mset C = mset C' then L else C' # remove-first C L)

  lemma mset-map-mset-remove-first:
    mset (map mset (remove-first a C)) = remove1-mset (mset a) (mset (map mset C))
    by (induction C) (auto simp: ac-simps remove1-mset-single-add)

  interpretation clss-clss: raw-clss id  $\lambda$  L C. C + {#L #} remove1-mset
    id op + op  $\in$  #  $\lambda$  L C. C + {#L #} remove1-mset
    by unfold-locales (auto simp: ac-simps)

  interpretation list-clss: raw-clss mset
    op # remove1  $\lambda$  L. mset (map mset L) op @  $\lambda$  L C. L  $\in$  set C op #
    remove-first
    by unfold-locales (auto simp: ac-simps union-mset-list mset-map-mset-remove-first ex-mset)
end

end
theory CDCL-WNOT-Measure
imports Main
begin

```

15 Measure

This measure show the termination of the core of CDCL: each step improves the number of literals we know for sure.

This measure can also be seen as the increasing lexicographic order: it is an order on bounded sequences, when each element is bounded. The proof involves a measure like the one defined here (the same?).

definition $\mu_C :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat list} \Rightarrow \text{nat}$ **where**
 $\mu_C s b M \equiv (\sum i=0..<\text{length } M. M!i * b^\wedge (s + i - \text{length } M))$

lemma $\mu_C\text{-nil}$ [simp]:
 $\mu_C s b [] = 0$
unfolding $\mu_C\text{-def}$ **by** auto

lemma $\mu_C\text{-single}$ [simp]:
 $\mu_C s b [L] = L * b^\wedge (s - \text{Suc } 0)$
unfolding $\mu_C\text{-def}$ **by** auto

lemma *set-sum-atLeastLessThan-add*:
 $(\sum i=k..<k+(b::nat). f i) = (\sum i=0..<b. f (k + i))$
by (*induction b*) *auto*

lemma *set-sum-atLeastLessThan-Suc*:
 $(\sum i=1..<Suc j. f i) = (\sum i=0..<j. f (Suc i))$
using *set-sum-atLeastLessThan-add*[*of - 1 j*] **by** *force*

lemma μ_C -*cons*:

$$\mu_C s b (L \# M) = L * b^\wedge (s - 1 - \text{length } M) + \mu_C s b M$$

proof –

$$\text{have } \mu_C s b (L \# M) = (\sum i=0..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$$

unfolding μ_C -*def* **by** *blast*

$$\text{also have } \dots = (\sum i=0..<1. (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M))) \\ + (\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$$

by (*rule setsum-add-nat-ivl[symmetric]*) *simp-all*

$$\text{finally have } \mu_C s b (L \# M) = L * b^\wedge (s - 1 - \text{length } M) \\ + (\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M)))$$

by *auto*

moreover {

$$\text{have } (\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M))) = \\ (\sum i=0..<\text{length } (M). (L\#M)!(Suc i) * b^\wedge (s + (Suc i) - \text{length } (L\#M)))$$

unfolding *length-Cons* *set-sum-atLeastLessThan-Suc* **by** *blast*

$$\text{also have } \dots = (\sum i=0..<\text{length } (M). M!i * b^\wedge (s + i - \text{length } M))$$

by *auto*

$$\text{finally have } (\sum i=1..<\text{length } (L\#M). (L\#M)!i * b^\wedge (s + i - \text{length } (L\#M))) = \mu_C s b M$$

unfolding μ_C -*def* .

}

ultimately show *?thesis* **by** *presburger*

qed

lemma μ_C -*append*:

assumes $s \geq \text{length } (M @ M')$

shows $\mu_C s b (M @ M') = \mu_C (s - \text{length } M') b M + \mu_C s b M'$

proof –

$$\text{have } \mu_C s b (M @ M') = (\sum i=0..<\text{length } (M @ M'). (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M')))$$

unfolding μ_C -*def* **by** *blast*

$$\text{moreover then have } \dots = (\sum i=0..<\text{length } M. (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M'))) \\ + (\sum i=\text{length } M..<\text{length } (M @ M'). (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M')))$$

by (*auto intro! : setsum-add-nat-ivl[symmetric]*)

moreover

$$\text{have } \forall i \in \{0..<\text{length } M\}. (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M')) = M ! i * b^\wedge (s - \text{length } M' \\ + i - \text{length } M)$$

using $\langle s \geq \text{length } (M @ M') \rangle$ **by** (*auto simp add: nth-append ac-simps*)

$$\text{then have } \mu_C (s - \text{length } M') b M = (\sum i=0..<\text{length } M. (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M')))$$

unfolding μ_C -*def* **by** *auto*

$$\text{ultimately have } \mu_C s b (M @ M') = \mu_C (s - \text{length } M') b M$$

$$+ (\sum i=\text{length } M..<\text{length } (M @ M'). (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M')))$$

by *auto*

moreover {

$$\text{have } (\sum i=\text{length } M..<\text{length } (M @ M'). (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M'))) = \\ (\sum i=0..<\text{length } M'. M'!i * b^\wedge (s + i - \text{length } M'))$$

unfolding *length-append* *set-sum-atLeastLessThan-add* **by** *auto*

$$\text{then have } (\sum i=\text{length } M..<\text{length } (M @ M'). (M @ M')!i * b^\wedge (s + i - \text{length } (M @ M'))) = \mu_C s b$$

```

M'
  unfolding  $\mu_C$ -def .
}
ultimately show ?thesis by presburger
qed

```

```

lemma  $\mu_C$ -cons-non-empty-inf:
  assumes  $M$ -ge-1:  $\forall i \in \text{set } M. i \geq 1$  and  $M: M \neq []$ 
  shows  $\mu_C s b M \geq b^\wedge (s - \text{length } M)$ 
  using assms by (cases M) (auto simp: mult-eq-if  $\mu_C$ -cons)

```

Copy of `~~/src/HOL/ex/NatSum.thy` (but generalized to $0 \leq k$)

```

lemma sum-of-powers:  $0 \leq k \implies (k - 1) * (\sum_{i=0..<n} k^\wedge i) = k^\wedge n - (1::\text{nat})$ 
  apply (cases k = 0)
  apply (cases n; simp)
  by (induct n) (auto simp: Nat.nat-distrib)

```

In the degenerated cases, we only have the large inequality holds. In the other cases, the following strict inequality holds:

```

lemma  $\mu_C$ -bounded-non-degenerated:
  fixes b :: nat
  assumes
    b > 0 and
     $M \neq []$  and
    M-le:  $\forall i < \text{length } M. M!i < b$  and
    s  $\geq \text{length } M$ 
  shows  $\mu_C s b M < b^\wedge s$ 
proof -
  consider (b1) b = 1 | (b) b > 1 using <b>0> by (cases b) auto
  then show ?thesis
  proof cases
    case b1
    then have  $\forall i < \text{length } M. M!i = 0$  using M-le by auto
    then have  $\mu_C s b M = 0$  unfolding  $\mu_C$ -def by auto
    then show ?thesis using <b > 0> by auto
  next
    case b
    have  $\forall i \in \{0..<\text{length } M\}. M!i * b^\wedge (s + i - \text{length } M) \leq (b-1) * b^\wedge (s + i - \text{length } M)$ 
      using M-le <b > 1> by auto
    then have  $\mu_C s b M \leq (\sum_{i=0..<\text{length } M} (b-1) * b^\wedge (s + i - \text{length } M))$ 
      using <M ≠ []> <b > 0> unfolding  $\mu_C$ -def by (auto intro: setsum-mono)
    also
    have  $\forall i \in \{0..<\text{length } M\}. (b-1) * b^\wedge (s + i - \text{length } M) = (b-1) * b^\wedge i * b^\wedge (s - \text{length } M)$ 
      by (metis Nat.add-diff-assoc2 add.commute assms(4) mult.assoc power-add)
    then have  $(\sum_{i=0..<\text{length } M} (b-1) * b^\wedge (s + i - \text{length } M))$ 
      =  $(\sum_{i=0..<\text{length } M} (b-1) * b^\wedge i * b^\wedge (s - \text{length } M))$ 
      by (auto simp add: ac-simps)
    also have  $\dots = (\sum_{i=0..<\text{length } M} b^\wedge i) * b^\wedge (s - \text{length } M) * (b-1)$ 
      by (simp add: setsum-left-distrib setsum-right-distrib ac-simps)
    finally have  $\mu_C s b M \leq (\sum_{i=0..<\text{length } M} b^\wedge i) * (b-1) * b^\wedge (s - \text{length } M)$ 
      by (simp add: ac-simps)
  also
  have
    have  $(\sum_{i=0..<\text{length } M} b^\wedge i) * (b-1) = b^\wedge (\text{length } M) - 1$ 
      using sum-of-powers[of b length M] <b > 1>

```

```

    by (auto simp add: ac-simps)
  finally have  $\mu_C s b M \leq (b \wedge (\text{length } M) - 1) * b \wedge (s - \text{length } M)$ 
    by auto
  also have  $\dots < b \wedge (\text{length } M) * b \wedge (s - \text{length } M)$ 
    using  $\langle b > 1 \rangle$  by auto
  also have  $\dots = b \wedge s$ 
    by (metis assms(4) le-add-diff-inverse power-add)
  finally show ?thesis unfolding  $\mu_C$ -def by (auto simp add: ac-simps)
qed
qed

```

In the degenerate case $b = (0::'a)$, the list M is empty (since the list cannot contain any element).

```

lemma  $\mu_C$ -bounded:
  fixes  $b :: \text{nat}$ 
  assumes
     $M\text{-le}: \forall i < \text{length } M. M!i < b$  and
     $s \geq \text{length } M$ 
     $b > 0$ 
  shows  $\mu_C s b M < b \wedge s$ 
proof -
  consider ( $M0$ )  $M = []$  | ( $M$ )  $b > 0$  and  $M \neq []$ 
  using  $M\text{-le}$  by (cases  $b$ , cases  $M$ ) auto
  then show ?thesis
  proof cases
    case  $M0$ 
    then show ?thesis using  $M\text{-le}$   $\langle b > 0 \rangle$  by auto
  next
    case  $M$ 
    show ?thesis using  $\mu_C$ -bounded-non-degenerated[ $OF M$  assms(1,2)] by arith
  qed
qed

```

When $b = 0$, we cannot show that the measure is empty, since $0^0 = 1$.

```

lemma  $\mu_C$ -base-0:
  assumes  $\text{length } M \leq s$ 
  shows  $\mu_C s 0 M \leq M!0$ 
proof -
  {
    assume  $s = \text{length } M$ 
    moreover {
      fix  $n$ 
      have  $(\sum i=0..<n. M!i * (0::\text{nat}) \wedge i) \leq M!0$ 
        apply (induction  $n$  rule: nat-induct)
        by simp (rename-tac  $n$ , case-tac  $n$ , auto)
    }
    ultimately have ?thesis unfolding  $\mu_C$ -def by auto
  }
  moreover
  {
    assume  $\text{length } M < s$ 
    then have  $\mu_C s 0 M = 0$  unfolding  $\mu_C$ -def by auto
    ultimately show ?thesis using assms unfolding  $\mu_C$ -def by linarith
  }
qed

```

```

end
theory CDCL-NOT
imports CDCL-Abstract-Clause-Representation List-More Wellfounded-More CDCL-WNOT-Measure
Partial-Annotated-Clausal-Logic
begin

```

16 NOT's CDCL

16.1 Auxiliary Lemmas and Measure

lemma *no-dup-cannot-not-lit-and-uminus*:
 $no_dup\ M \implies -\ lit_of\ xa = lit_of\ x \implies x \in set\ M \implies xa \notin set\ M$
by (*metis atm-of-uminus distinct-map inj-on-eq-iff uminus-not-id*)

lemma *atms-of-ms-single-atm-of[simp]*:
 $atms_of_ms\ \{unmark\ L\ |\ L.\ P\ L\} = atm_of\ '\{lit_of\ L\ |\ L.\ P\ L\}$
unfolding *atms-of-ms-def* **by** *force*

lemma *atms-of-uminus-lit-atm-of-lit-of*:
 $atms_of\ \{\#\ -lit_of\ x.\ x \in\# A\#\} = atm_of\ '\(lit_of\ '\(set_mset\ A)\)$
unfolding *atms-of-def* **by** (*auto simp add: Fun.image-comp*)

lemma *atms-of-ms-single-image-atm-of-lit-of*:
 $atms_of_ms\ (unmark_s\ A) = atm_of\ '\(lit_of\ '\ A)$
unfolding *atms-of-ms-def* **by** *auto*

16.2 Initial definitions

16.2.1 The state

We define here an abstraction over operation on the state we are manipulating.

```

locale dpLL-state-ops =
  raw-clss mset-clss insert-clss remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  for
    mset-clss:: 'cls  $\Rightarrow$  'v clause and
    insert-clss :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
    remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
    mset-clss:: 'clss  $\Rightarrow$  'v clauses and
    union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
    in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
    insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
    remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss +
  fixes
    trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
    raw-clauses :: 'st  $\Rightarrow$  'clss and
    prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
    tl-trail :: 'st  $\Rightarrow$  'st and
    add-clssNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
    remove-clssNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st
begin

```

notation *insert-clss* (**infix** !++ 50)

notation *in-clss* (**infix** !∈! 50)

notation *union-clss* (**infix** \oplus 50)
notation *insert-clss* (**infix** $!++!$ 50)

abbreviation *clauses_{NOT}* **where**
clauses_{NOT} *S* \equiv *mset-clss* (*raw-clauses* *S*)

end

locale *dpll-state* =

dpll-state-ops *mset-clss* *insert-clss* *remove-lit* — related to each clause

mset-clss *union-clss* *in-clss* *insert-clss* *remove-from-clss* — related to the clauses

trail *raw-clauses* *prepend-trail* *tl-trail* *add-clss_{NOT}* *remove-clss_{NOT}* — related to the state

for

mset-clss :: '*cls* \Rightarrow '*v* clause **and**
insert-clss :: '*v* literal \Rightarrow '*cls* \Rightarrow '*cls* **and**
remove-lit :: '*v* literal \Rightarrow '*cls* \Rightarrow '*cls* **and**
mset-clss :: '*clss* \Rightarrow '*v* clauses **and**
union-clss :: '*clss* \Rightarrow '*clss* \Rightarrow '*clss* **and**
in-clss :: '*cls* \Rightarrow '*clss* \Rightarrow bool **and**
insert-clss :: '*cls* \Rightarrow '*clss* \Rightarrow '*clss* **and**
remove-from-clss :: '*cls* \Rightarrow '*clss* \Rightarrow '*clss* **and**
trail :: '*st* \Rightarrow ('*v*, unit, unit) marked-lits **and**
raw-clauses :: '*st* \Rightarrow '*clss* **and**
prepend-trail :: ('*v*, unit, unit) marked-lit \Rightarrow '*st* \Rightarrow '*st* **and**
tl-trail :: '*st* \Rightarrow '*st* **and**
add-clss_{NOT} :: '*cls* \Rightarrow '*st* \Rightarrow '*st* **and**
remove-clss_{NOT} :: '*cls* \Rightarrow '*st* \Rightarrow '*st* +

assumes

trail-prepend-trail[simp]:
 $\bigwedge st L. \text{undefined-lit } (trail\ st) (lit-of\ L) \implies trail\ (prepend-trail\ L\ st) = L \# trail\ st$
and
tl-trail[simp]: $trail\ (tl-trail\ S) = tl\ (trail\ S)$ **and**
trail-add-clss_{NOT}[simp]: $\bigwedge st C. no-dup\ (trail\ st) \implies trail\ (add-clss_{NOT}\ C\ st) = trail\ st$ **and**
trail-remove-clss_{NOT}[simp]: $\bigwedge st C. trail\ (remove-clss_{NOT}\ C\ st) = trail\ st$ **and**

clauses-prepend-trail[simp]:
 $\bigwedge st L. \text{undefined-lit } (trail\ st) (lit-of\ L) \implies clauses_{NOT}\ (prepend-trail\ L\ st) = clauses_{NOT}\ st$
and
clauses-tl-trail[simp]: $\bigwedge st. clauses_{NOT}\ (tl-trail\ st) = clauses_{NOT}\ st$ **and**
clauses-add-clss_{NOT}[simp]:
 $\bigwedge st C. no-dup\ (trail\ st) \implies clauses_{NOT}\ (add-clss_{NOT}\ C\ st) = \{\#mset-clss\ C\} + clauses_{NOT}\ st$

and

clauses-remove-clss_{NOT}[simp]:
 $\bigwedge st C. clauses_{NOT}\ (remove-clss_{NOT}\ C\ st) = removeAll-mset\ (mset-clss\ C)\ (clauses_{NOT}\ st)$

begin

function *reduce-trail-to_{NOT}* :: '*a* list \Rightarrow '*st* \Rightarrow '*st* **where**

reduce-trail-to_{NOT} *F* *S* =

(if *length* (*trail* *S*) = *length* *F* \vee *trail* *S* = [] then *S* else *reduce-trail-to_{NOT}* *F* (*tl-trail* *S*))

by *fast+*

termination by (*relation measure* ($\lambda(-, S). \text{length } (trail\ S)$)) *auto*

declare *reduce-trail-to_{NOT}.simps[simp del]*

lemma

shows

reduce-trail-to_{NOT}-nil[simp]: $\text{trail } S = [] \implies \text{reduce-trail-to}_{\text{NOT}} F S = S$ **and**
reduce-trail-to_{NOT}-eq-length[simp]: $\text{length } (\text{trail } S) = \text{length } F \implies \text{reduce-trail-to}_{\text{NOT}} F S = S$
by (auto simp: *reduce-trail-to_{NOT}.simps*)

lemma *reduce-trail-to_{NOT}-length-ne*[simp]:

$\text{length } (\text{trail } S) \neq \text{length } F \implies \text{trail } S \neq [] \implies$
 $\text{reduce-trail-to}_{\text{NOT}} F S = \text{reduce-trail-to}_{\text{NOT}} F (\text{tl-trail } S)$
by (auto simp: *reduce-trail-to_{NOT}.simps*)

lemma *trail-reduce-trail-to_{NOT}-length-le*:

assumes $\text{length } F > \text{length } (\text{trail } S)$
shows $\text{trail } (\text{reduce-trail-to}_{\text{NOT}} F S) = []$
using *assms* **by** (*induction* $F S$ *rule*: *reduce-trail-to_{NOT}.induct*)
(simp add: *less-imp-diff-less* *reduce-trail-to_{NOT}.simps*)

lemma *trail-reduce-trail-to_{NOT}-nil*[simp]:

$\text{trail } (\text{reduce-trail-to}_{\text{NOT}} [] S) = []$
by (*induction* $[] S$ *rule*: *reduce-trail-to_{NOT}.induct*)
(simp add: *less-imp-diff-less* *reduce-trail-to_{NOT}.simps*)

lemma *clauses-reduce-trail-to_{NOT}-nil*:

$\text{clauses}_{\text{NOT}} (\text{reduce-trail-to}_{\text{NOT}} [] S) = \text{clauses}_{\text{NOT}} S$
by (*induction* $[] S$ *rule*: *reduce-trail-to_{NOT}.induct*)
(simp add: *less-imp-diff-less* *reduce-trail-to_{NOT}.simps*)

lemma *trail-reduce-trail-to_{NOT}-drop*:

$\text{trail } (\text{reduce-trail-to}_{\text{NOT}} F S) =$
 (if $\text{length } (\text{trail } S) \geq \text{length } F$
 then $\text{drop } (\text{length } (\text{trail } S) - \text{length } F) (\text{trail } S)$
 else $[]$)
apply (*induction* $F S$ *rule*: *reduce-trail-to_{NOT}.induct*)
apply (*rename-tac* $F S$, *case-tac* $\text{trail } S$)
apply auto[]
apply (*rename-tac* list , *case-tac* $\text{Suc } (\text{length } \text{list}) > \text{length } F$)
prefer 2 **apply** simp
apply (*subgoal-tac* $\text{Suc } (\text{length } \text{list}) - \text{length } F = \text{Suc } (\text{length } \text{list} - \text{length } F)$)
apply simp
apply simp
done

lemma *reduce-trail-to_{NOT}-skip-beginning*:

assumes $\text{trail } S = F' @ F$
shows $\text{trail } (\text{reduce-trail-to}_{\text{NOT}} F S) = F$
using *assms* **by** (auto simp: *trail-reduce-trail-to_{NOT}-drop*)

lemma *reduce-trail-to_{NOT}-clauses*[simp]:

$\text{clauses}_{\text{NOT}} (\text{reduce-trail-to}_{\text{NOT}} F S) = \text{clauses}_{\text{NOT}} S$
by (*induction* $F S$ *rule*: *reduce-trail-to_{NOT}.induct*)
(simp add: *less-imp-diff-less* *reduce-trail-to_{NOT}.simps*)

abbreviation *trail-weight* **where**

$\text{trail-weight } S \equiv \text{map } ((\lambda l. 1 + \text{length } l) \circ \text{snd}) (\text{get-all-marked-decomposition } (\text{trail } S))$

definition $state\text{-}eq_{NOT} :: 'st \Rightarrow 'st \Rightarrow bool$ (**infix** ~ 50) **where**
 $S \sim T \longleftrightarrow trail\ S = trail\ T \wedge clauses_{NOT}\ S = clauses_{NOT}\ T$

lemma $state\text{-}eq_{NOT}\text{-}ref[simp]$:
 $S \sim S$
unfolding $state\text{-}eq_{NOT}\text{-}def$ **by** *auto*

lemma $state\text{-}eq_{NOT}\text{-}sym$:
 $S \sim T \longleftrightarrow T \sim S$
unfolding $state\text{-}eq_{NOT}\text{-}def$ **by** *auto*

lemma $state\text{-}eq_{NOT}\text{-}trans$:
 $S \sim T \Longrightarrow T \sim U \Longrightarrow S \sim U$
unfolding $state\text{-}eq_{NOT}\text{-}def$ **by** *auto*

lemma
shows
 $state\text{-}eq_{NOT}\text{-}trail: S \sim T \Longrightarrow trail\ S = trail\ T$ **and**
 $state\text{-}eq_{NOT}\text{-}clauses: S \sim T \Longrightarrow clauses_{NOT}\ S = clauses_{NOT}\ T$
unfolding $state\text{-}eq_{NOT}\text{-}def$ **by** *auto*

lemmas $state\text{-}simp_{NOT}[simp] = state\text{-}eq_{NOT}\text{-}trail\ state\text{-}eq_{NOT}\text{-}clauses$

lemma $trail\text{-}eq\text{-}reduce\text{-}trail\text{-}to_{NOT}\text{-}eq$:
 $trail\ S = trail\ T \Longrightarrow trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ S) = trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ T)$
apply (*induction* $F\ S$ *arbitrary*: T *rule*: $reduce\text{-}trail\text{-}to_{NOT}.induct$)
by (*metis* $tl\text{-}trail\ reduce\text{-}trail\text{-}to_{NOT}\text{-}eq\text{-}length\ reduce\text{-}trail\text{-}to_{NOT}\text{-}length\text{-}ne\ reduce\text{-}trail\text{-}to_{NOT}\text{-}nil$)

lemma $reduce\text{-}trail\text{-}to_{NOT}\text{-}state\text{-}eq_{NOT}\text{-}compatible$:
assumes $ST: S \sim T$
shows $reduce\text{-}trail\text{-}to_{NOT}\ F\ S \sim reduce\text{-}trail\text{-}to_{NOT}\ F\ T$
proof –
have $clauses_{NOT}\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ S) = clauses_{NOT}\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ T)$
using ST **by** *auto*
moreover have $trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ S) = trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ T)$
using $trail\text{-}eq\text{-}reduce\text{-}trail\text{-}to_{NOT}\text{-}eq[of\ S\ T\ F]$ ST **by** *auto*
ultimately show $?thesis$ **by** (*auto* *simp* *del*: $state\text{-}simp_{NOT}\ simp$: $state\text{-}eq_{NOT}\text{-}def$)
qed

lemma $trail\text{-}reduce\text{-}trail\text{-}to_{NOT}\text{-}add\text{-}cls_{NOT}[simp]$:
 $no\text{-}dup\ (trail\ S) \Longrightarrow$
 $trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ (add\text{-}cls_{NOT}\ C\ S)) = trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ S)$
by (*rule* $trail\text{-}eq\text{-}reduce\text{-}trail\text{-}to_{NOT}\text{-}eq$) *simp*

lemma $reduce\text{-}trail\text{-}to_{NOT}\text{-}trail\text{-}tl\text{-}trail\text{-}decomp[simp]$:
 $trail\ S = F' @ Marked\ K\ () \# F \Longrightarrow$
 $trail\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ (tl\text{-}trail\ S)) = F$
apply (*rule* $reduce\text{-}trail\text{-}to_{NOT}\text{-}skip\text{-}beginning[of\ -\ tl\ (F' @ Marked\ K\ () \# [])]$)
by (*cases* F') (*auto* *simp* *add*: $tl\text{-}append\ reduce\text{-}trail\text{-}to_{NOT}\text{-}skip\text{-}beginning$)

lemma $reduce\text{-}trail\text{-}to_{NOT}\text{-}length$:
 $length\ M = length\ M' \Longrightarrow reduce\text{-}trail\text{-}to_{NOT}\ M\ S = reduce\text{-}trail\text{-}to_{NOT}\ M'\ S$
apply (*induction* $M\ S$ *arbitrary*: *rule*: $reduce\text{-}trail\text{-}to_{NOT}.induct$)
by (*simp* *add*: $reduce\text{-}trail\text{-}to_{NOT}.simps$)

end

16.2.2 Definition of the operation

```
locale propagate-ops =  
  dpll-state mset-cls insert-cls remove-lit  
  mset-clss union-clss in-clss insert-clss remove-from-clss  
  trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT  
  for  
    mset-cls:: 'cls  $\Rightarrow$  'v clause and  
    insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and  
    remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and  
    mset-clss:: 'clss  $\Rightarrow$  'v clauses and  
    union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and  
    in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and  
    insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and  
    remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and  
    trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and  
    raw-clauses :: 'st  $\Rightarrow$  'clss and  
    prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and  
    tl-trail :: 'st  $\Rightarrow$  'st and  
    add-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and  
    remove-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st +  
  fixes  
    propagate-cond :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  bool  
begin  
inductive propagateNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where  
  propagateNOT[intro]:  $C + \{\#L\} \in \# \text{ clauses}_{NOT} S \Longrightarrow \text{trail } S \models_{as} CNot C$   
     $\Longrightarrow \text{undefined-lit } (\text{trail } S) L$   
     $\Longrightarrow \text{propagate-cond } (\text{Propagated } L ()) S$   
     $\Longrightarrow T \sim \text{prepend-trail } (\text{Propagated } L ()) S$   
     $\Longrightarrow \text{propagate}_{NOT} S T$   
inductive-cases propagateNOTE[elim]: propagateNOT S T  
end
```

```
locale decide-ops =  
  dpll-state mset-cls insert-cls remove-lit  
  mset-clss union-clss in-clss insert-clss remove-from-clss  
  trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT  
  for  
    mset-cls:: 'cls  $\Rightarrow$  'v clause and  
    insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and  
    remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and  
    mset-clss:: 'clss  $\Rightarrow$  'v clauses and  
    union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and  
    in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and  
    insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and  
    remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and  
    trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and  
    raw-clauses :: 'st  $\Rightarrow$  'clss and  
    prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and  
    tl-trail :: 'st  $\Rightarrow$  'st and  
    add-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and  
    remove-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st  
begin
```

inductive $decide_{NOT} :: 'st \Rightarrow 'st \Rightarrow bool$ **where**
 $decide_{NOT}[intro]:$ $undefined\text{-}lit\ (trail\ S)\ L \Longrightarrow atm\text{-}of\ L \in atms\text{-}of\text{-}mm\ (clauses_{NOT}\ S)$
 $\Longrightarrow T \sim prepend\text{-}trail\ (Marked\ L\ ())\ S$
 $\Longrightarrow decide_{NOT}\ S\ T$

inductive-cases $decide_{NOT}E[elim]: decide_{NOT}\ S\ S'$
end

locale $backjumping\text{-}ops =$
 $dpll\text{-}state\ mset\text{-}cls\ insert\text{-}cls\ remove\text{-}lit$
 $mset\text{-}clss\ union\text{-}clss\ in\text{-}clss\ insert\text{-}clss\ remove\text{-}from\text{-}clss$
 $trail\ raw\text{-}clauses\ prepend\text{-}trail\ tl\text{-}trail\ add\text{-}cls_{NOT}\ remove\text{-}cls_{NOT}$
for
 $mset\text{-}cls:: 'cls \Rightarrow 'v\ clause$ **and**
 $insert\text{-}cls :: 'v\ literal \Rightarrow 'cls \Rightarrow 'cls$ **and**
 $remove\text{-}lit :: 'v\ literal \Rightarrow 'cls \Rightarrow 'cls$ **and**
 $mset\text{-}clss:: 'clss \Rightarrow 'v\ clauses$ **and**
 $union\text{-}clss :: 'clss \Rightarrow 'clss \Rightarrow 'clss$ **and**
 $in\text{-}clss :: 'cls \Rightarrow 'clss \Rightarrow bool$ **and**
 $insert\text{-}clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss$ **and**
 $remove\text{-}from\text{-}clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss$ **and**
 $trail :: 'st \Rightarrow ('v, unit, unit)\ marked\text{-}lits$ **and**
 $raw\text{-}clauses :: 'st \Rightarrow 'clss$ **and**
 $prepend\text{-}trail :: ('v, unit, unit)\ marked\text{-}lit \Rightarrow 'st \Rightarrow 'st$ **and**
 $tl\text{-}trail :: 'st \Rightarrow 'st$ **and**
 $add\text{-}cls_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st$ **and**
 $remove\text{-}cls_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st +$
fixes
 $backjump\text{-}conds :: 'v\ clause \Rightarrow 'v\ clause \Rightarrow 'v\ literal \Rightarrow 'st \Rightarrow 'st \Rightarrow bool$
begin

inductive $backjump$ **where**
 $trail\ S = F' @ Marked\ K\ () \# F$
 $\Longrightarrow T \sim prepend\text{-}trail\ (Propagated\ L\ ())\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ S)$
 $\Longrightarrow C \in \# clauses_{NOT}\ S$
 $\Longrightarrow trail\ S \models_{as}\ CNot\ C$
 $\Longrightarrow undefined\text{-}lit\ F\ L$
 $\Longrightarrow atm\text{-}of\ L \in atms\text{-}of\text{-}mm\ (clauses_{NOT}\ S) \cup atm\text{-}of\ ' (lits\text{-}of\text{-}l\ (trail\ S))$
 $\Longrightarrow clauses_{NOT}\ S \models_{pm}\ C' + \{\#L\# \}$
 $\Longrightarrow F \models_{as}\ CNot\ C'$
 $\Longrightarrow backjump\text{-}conds\ C\ C'\ L\ S\ T$
 $\Longrightarrow backjump\ S\ T$

inductive-cases $backjumpE: backjump\ S\ T$

The condition $atm\text{-}of\ L \in atms\text{-}of\text{-}mm\ (clauses_{NOT}\ S) \cup atm\text{-}of\ ' (lits\text{-}of\text{-}l\ (trail\ S))$ is not implied by the condition $clauses_{NOT}\ S \models_{pm}\ C' + \{\#L\# \}$ (no negation).

end

16.3 DPLL with backjumping

locale $dpll\text{-}with\text{-}backjumping\text{-}ops =$
 $propagate\text{-}ops\ mset\text{-}cls\ insert\text{-}cls\ remove\text{-}lit$
 $mset\text{-}clss\ union\text{-}clss\ in\text{-}clss\ insert\text{-}clss\ remove\text{-}from\text{-}clss$
 $trail\ raw\text{-}clauses\ prepend\text{-}trail\ tl\text{-}trail\ add\text{-}cls_{NOT}\ remove\text{-}cls_{NOT}\ propagate\text{-}conds +$
 $decide\text{-}ops\ mset\text{-}cls\ insert\text{-}cls\ remove\text{-}lit$

```

mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-clssNOT remove-clssNOT +
backjumping-ops mset-clss insert-clss remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-clssNOT remove-clssNOT backjump-conds
for
  mset-clss :: 'cls ⇒ 'v clause and
  insert-clss :: 'v literal ⇒ 'cls ⇒ 'cls and
  remove-lit :: 'v literal ⇒ 'cls ⇒ 'cls and
  mset-clss :: 'clss ⇒ 'v clauses and
  union-clss :: 'clss ⇒ 'clss ⇒ 'clss and
  in-clss :: 'cls ⇒ 'clss ⇒ bool and
  insert-clss :: 'cls ⇒ 'clss ⇒ 'clss and
  remove-from-clss :: 'cls ⇒ 'clss ⇒ 'clss and
  trail :: 'st ⇒ ('v, unit, unit) marked-lits and
  raw-clauses :: 'st ⇒ 'clss and
  prepend-trail :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ 'st and
  tl-trail :: 'st ⇒ 'st and
  add-clssNOT :: 'cls ⇒ 'st ⇒ 'st and
  remove-clssNOT :: 'cls ⇒ 'st ⇒ 'st and
  inv :: 'st ⇒ bool and
  backjump-conds :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ 'st ⇒ bool and
  propagate-conds :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ bool +
assumes
  bj-can-jump:
  ∧ S C F' K F L.
  inv S ⇒
  no-dup (trail S) ⇒
  trail S = F' @ Marked K () # F ⇒
  C ∈ # clausesNOT S ⇒
  trail S ⊨as CNot C ⇒
  undefined-lit F L ⇒
  atm-of L ∈ atms-of-mm (clausesNOT S) ∪ atm-of ' (lits-of-l (F' @ Marked K () # F)) ⇒
  clausesNOT S ⊨pm C' + {#L#} ⇒
  F ⊨as CNot C' ⇒
  ¬no-step backjump S
begin

```

We cannot add a like condition $atms\text{-}of\ C' \subseteq atms\text{-}of\ ms\ N$ because to ensure that we can backjump even if the last decision variable has disappeared.

The part of the condition $atm\text{-}of\ L \in atm\text{-}of\ ' lits\text{-}of\ l\ (F' @ Marked\ K\ () \# F)$ is important, otherwise you are not sure that you can backtrack.

16.3.1 Definition

We define `dpll` with backjumping:

```

inductive dpll-bj :: 'st ⇒ 'st ⇒ bool for S :: 'st where
  bj-decideNOT: decideNOT S S' ⇒ dpll-bj S S' |
  bj-propagateNOT: propagateNOT S S' ⇒ dpll-bj S S' |
  bj-backjump: backjump S S' ⇒ dpll-bj S S'

```

lemmas `dpll-bj-induct` = `dpll-bj.induct[split-format(complete)]`

thm `dpll-bj-induct[OF dpll-with-backjumping-ops-axioms]`

lemma `dpll-bj-all-induct[consumes 2, case-names decideNOT propagateNOT backjump]:`

fixes $S\ T :: 'st$
assumes
 $dpll\text{-}bj\ S\ T$ **and**
 $inv\ S$
 $\wedge L\ T. \text{undefined-lit}\ (trail\ S)\ L \implies atm\text{-}of\ L \in atm\text{-}of\text{-}mm\ (clauses_{NOT}\ S)$
 $\implies T \sim \text{prepend-trail}\ (Marked\ L\ ())\ S$
 $\implies P\ S\ T$ **and**
 $\wedge C\ L\ T. C + \{\#L\# \} \in \# \ clauses_{NOT}\ S \implies trail\ S \models_{as} CNot\ C \implies \text{undefined-lit}\ (trail\ S)\ L$
 $\implies T \sim \text{prepend-trail}\ (Propagated\ L\ ())\ S$
 $\implies P\ S\ T$ **and**
 $\wedge C\ F'\ K\ F\ L\ C'\ T. C \in \# \ clauses_{NOT}\ S \implies F' @ Marked\ K\ () \# F \models_{as} CNot\ C$
 $\implies trail\ S = F' @ Marked\ K\ () \# F$
 $\implies \text{undefined-lit}\ F\ L$
 $\implies atm\text{-}of\ L \in atm\text{-}of\text{-}mm\ (clauses_{NOT}\ S) \cup atm\text{-}of\ ' (lits\text{-}of\text{-}l\ (F' @ Marked\ K\ () \# F))$
 $\implies clauses_{NOT}\ S \models_{pm} C' + \{\#L\# \}$
 $\implies F \models_{as} CNot\ C'$
 $\implies T \sim \text{prepend-trail}\ (Propagated\ L\ ())\ (reduce\text{-}trail\text{-}to_{NOT}\ F\ S)$
 $\implies P\ S\ T$
shows $P\ S\ T$
apply (*induct* T *rule*: $dpll\text{-}bj\text{-}induct[OF\ local.dpll\text{-}with\text{-}backjumping\text{-}ops\text{-}axioms]$)
apply (*rule* $assms(1)$)
using $assms(3)$ **apply** *blast*
apply (*elim* $propagate_{NOT}E$) **using** $assms(4)$ **apply** *blast*
apply (*elim* $backjumpE$) **using** $assms(5)$ $\langle inv\ S \rangle$ **by** *simp*

16.3.2 Basic properties

First, some better suited induction principle lemma $dpll\text{-}bj\text{-}clauses$:

assumes $dpll\text{-}bj\ S\ T$ **and** $inv\ S$
shows $clauses_{NOT}\ S = clauses_{NOT}\ T$
using $assms$ **by** (*induction rule*: $dpll\text{-}bj\text{-}all\text{-}induct$) *auto*

No duplicates in the trail lemma $dpll\text{-}bj\text{-}no\text{-}dup$:

assumes $dpll\text{-}bj\ S\ T$ **and** $inv\ S$
and $no\text{-}dup\ (trail\ S)$
shows $no\text{-}dup\ (trail\ T)$
using $assms$ **by** (*induction rule*: $dpll\text{-}bj\text{-}all\text{-}induct$)
(auto simp add: defined-lit-map reduce-trail-to_{NOT}-skip-beginning)

Valuations lemma $dpll\text{-}bj\text{-}sat\text{-}iff$:

assumes $dpll\text{-}bj\ S\ T$ **and** $inv\ S$
shows $I \models_{sm} clauses_{NOT}\ S \longleftrightarrow I \models_{sm} clauses_{NOT}\ T$
using $assms$ **by** (*induction rule*: $dpll\text{-}bj\text{-}all\text{-}induct$) *auto*

Clauses lemma $dpll\text{-}bj\text{-}atms\text{-}of\text{-}ms\text{-}clauses\text{-}inv$:

assumes
 $dpll\text{-}bj\ S\ T$ **and**
 $inv\ S$
shows $atms\text{-}of\text{-}mm\ (clauses_{NOT}\ S) = atm\text{-}of\text{-}mm\ (clauses_{NOT}\ T)$
using $assms$ **by** (*induction rule*: $dpll\text{-}bj\text{-}all\text{-}induct$) *auto*

lemma $dpll\text{-}bj\text{-}atms\text{-}in\text{-}trail$:

assumes
 $dpll\text{-}bj\ S\ T$ **and**
 $inv\ S$ **and**

$atm\text{-}of \text{ ' } (lits\text{-}of\text{-}l (trail\ S)) \subseteq atm\text{-}of\text{-}mm (clauses_{NOT} S)$
shows $atm\text{-}of \text{ ' } (lits\text{-}of\text{-}l (trail\ T)) \subseteq atm\text{-}of\text{-}mm (clauses_{NOT} S)$
using *assms* **by** (*induction rule: dpll-bj-all-induct*)
(auto simp: in-plus-implies-atm-of-on-atms-of-ms reduce-trail-to_{NOT}-skip-beginning)

lemma *dpll-bj-atms-in-trail-in-set:*

assumes *dpll-bj S T* **and**
inv S **and**
 $atms\text{-}of\text{-}mm (clauses_{NOT} S) \subseteq A$ **and**
 $atm\text{-}of \text{ ' } (lits\text{-}of\text{-}l (trail\ S)) \subseteq A$
shows $atm\text{-}of \text{ ' } (lits\text{-}of\text{-}l (trail\ T)) \subseteq A$
using *assms* **by** (*induction rule: dpll-bj-all-induct*)
(auto simp: in-plus-implies-atm-of-on-atms-of-ms)

lemma *dpll-bj-all-decomposition-implies-inv:*

assumes
dpll-bj S T **and**
inv: inv S **and**
decomp: all-decomposition-implies-m (clauses_{NOT} S) (get-all-marked-decomposition (trail S))
shows *all-decomposition-implies-m (clauses_{NOT} T) (get-all-marked-decomposition (trail T))*
using *assms*(1,2)

proof (*induction rule: dpll-bj-all-induct*)

case *decide_{NOT}*

then show *?case* **using** *decomp* **by** *auto*

next

case (*propagate_{NOT} C L T*) **note** *propa = this(1)* **and** *undef = this(3)* **and** *T = this(4)*

let *?M' = trail (prepend-trail (Propagated L ()) S)*

let *?N = clauses_{NOT} S*

obtain *a y l* **where** *ay: get-all-marked-decomposition ?M' = (a, y) # l*

by (*cases get-all-marked-decomposition ?M'*) *fastforce+*

then have *M': ?M' = y @ a* **using** *get-all-marked-decomposition-decomp[of ?M']* **by** *auto*

have *M: get-all-marked-decomposition (trail S) = (a, tl y) # l*

using *ay undef* **by** (*cases get-all-marked-decomposition (trail S)*) *auto*

have *y₀: y = (Propagated L ()) # (tl y)*

using *ay undef* **by** (*auto simp add: M*)

from *arg-cong[OF this, of set]* **have** *y[simp]: set y = insert (Propagated L ()) (set (tl y))*

by *simp*

have *tr-S: trail S = tl y @ a*

using *arg-cong[OF M', of tl] y₀ M get-all-marked-decomposition-decomp* **by** *force*

have *a-Un-N-M: unmark-l a ∪ set-mset ?N ⊨_{ps} unmark-l (tl y)*

using *decomp ay unfolding all-decomposition-implies-def* **by** (*simp add: M*)**+**

moreover have *unmark-l a ∪ set-mset ?N ⊨_p {#L#}* (**is** *?I ⊨_p -*)

proof (*rule true-clss-clss-plus-CNot*)

show *?I ⊨_p C + {#L#}*

using *propa propagate_{NOT}.prems* **by** (*auto dest!: true-clss-clss-in-imp-true-clss-clss*)

next

have *unmark-l ?M' ⊨_{ps} CNot C*

using (*trail S ⊨_{as} CNot C*) *undef* **by** (*auto simp add: true-annots-true-clss-clss*)

have *a1: unmark-l a ∪ unmark-l (tl y) ⊨_{ps} CNot C*

using *propagate_{NOT}.hyps(2) tr-S true-annots-true-clss-clss*

by (*force simp add: image-Un sup-commute*)

then have *unmark-l a ∪ set-mset (clauses_{NOT} S) ⊨_{ps} unmark-l a ∪ unmark-l (tl y)*

using *a-Un-N-M true-clss-clss-def* **by** *blast*

then show *unmark-l a ∪ set-mset (clauses_{NOT} S) ⊨_{ps} CNot C*

```

    using a1 by (meson true-clss-clss-left-right true-clss-clss-union-and
      true-clss-clss-union-l-r)
  qed
ultimately have unmark-l a  $\cup$  set-mset ?N  $\models_{ps}$  unmark-l ?M'
  unfolding M' by (auto simp add: all-in-true-clss-clss image-Un)
then show ?case
  using decomp T M undef unfolding ay all-decomposition-implies-def by (auto simp add: ay)
next
case (backjump C F' K F L D T) note confl = this(2) and tr = this(3) and undef = this(4)
  and L = this(5) and N-C = this(6) and vars-D = this(5) and T = this(8)
have decomp: all-decomposition-implies-m (clausesNOT S) (get-all-marked-decomposition F)
  using decomp unfolding tr all-decomposition-implies-def
  by (metis (no-types, lifting) get-all-marked-decomposition.simps(1)
    get-all-marked-decomposition-never-empty hd-Cons-tl insert-iff list.sel(3) list.set(2)
    tl-get-all-marked-decomposition-skip-some)

obtain a b li where F: get-all-marked-decomposition F = (a, b) # li
  by (cases get-all-marked-decomposition F) auto
have F = b @ a
  using get-all-marked-decomposition-decomp[of F a b] F by auto
have a-N-b: unmark-l a  $\cup$  set-mset (clausesNOT S)  $\models_{ps}$  unmark-l b
  using decomp unfolding all-decomposition-implies-def by (auto simp add: F)

have F-D: unmark-l F  $\models_{ps}$  CNot D
  using  $\langle F \models_{as} CNot D \rangle$  by (simp add: true-annots-true-clss-clss)
then have unmark-l a  $\cup$  unmark-l b  $\models_{ps}$  CNot D
  unfolding  $\langle F = b @ a \rangle$  by (simp add: image-Un sup.commute)
have a-N-CNot-D: unmark-l a  $\cup$  set-mset (clausesNOT S)  $\models_{ps}$  CNot D  $\cup$  unmark-l b
  apply (rule true-clss-clss-left-right)
  using a-N-b F-D unfolding  $\langle F = b @ a \rangle$  by (auto simp add: image-Un ac-simps)

have a-N-D-L: unmark-l a  $\cup$  set-mset (clausesNOT S)  $\models_p$  D+{#L#}
  by (simp add: N-C)
have unmark-l a  $\cup$  set-mset (clausesNOT S)  $\models_p$  {#L#}
  using a-N-D-L a-N-CNot-D by (blast intro: true-clss-clss-plus-CNot)
then show ?case
  using decomp T tr undef unfolding all-decomposition-implies-def by (auto simp add: F)
qed

```

16.3.3 Termination

Using a proper measure lemma length-get-all-marked-decomposition-append-Marked:

```

length (get-all-marked-decomposition (F' @ Marked K () # F)) =
  length (get-all-marked-decomposition F')
  + length (get-all-marked-decomposition (Marked K () # F))
  - 1
by (induction F' rule: marked-lit-list-induct) auto

```

lemma take-length-get-all-marked-decomposition-marked-sandwich:

```

take (length (get-all-marked-decomposition F))
  (map (f o snd) (rev (get-all-marked-decomposition (F' @ Marked K () # F))))
=
  map (f o snd) (rev (get-all-marked-decomposition F))

```

proof (induction F' rule: marked-lit-list-induct)

```

case nil

```

```

then show ?case by auto
next
case (marked K)
then show ?case by (simp add: length-get-all-marked-decomposition-append-Marked)
next
case (proped L m F') note IH = this(1)
obtain a b l where F': get-all-marked-decomposition (F' @ Marked K () # F) = (a, b) # l
  by (cases get-all-marked-decomposition (F' @ Marked K () # F)) auto
have length (get-all-marked-decomposition F) - length l = 0
  using length-get-all-marked-decomposition-append-Marked[of F' K F]
  unfolding F' by (cases get-all-marked-decomposition F') auto
then show ?case
  using IH by (simp add: F')
qed

```

lemma *length-get-all-marked-decomposition-length:*
 $\text{length (get-all-marked-decomposition } M) \leq 1 + \text{length } M$
by (induction M rule: marked-lit-list-induct) auto

lemma *length-in-get-all-marked-decomposition-bounded:*
assumes $i : i \in \text{set (trail-weight } S)$
shows $i \leq \text{Suc (length (trail } S))$
proof –
obtain a b **where**
 $(a, b) \in \text{set (get-all-marked-decomposition (trail } S))$ **and**
 $ib : i = \text{Suc (length } b)$
using i **by** auto
then obtain c **where** $\text{trail } S = c @ b @ a$
using get-all-marked-decomposition-exists-prepend' **by** metis
from arg-cong[OF this, of length] **show** ?thesis **using** i ib **by** auto
qed

Well-foundedness The bounds are the following:

- $1 + \text{card (atms-of-ms } A)$: $\text{card (atms-of-ms } A)$ is an upper bound on the length of the list. As *get-all-marked-decomposition* appends an possibly empty couple at the end, adding one is needed.
- $2 + \text{card (atms-of-ms } A)$: $\text{card (atms-of-ms } A)$ is an upper bound on the number of elements, where adding one is necessary for the same reason as for the bound on the list, and one is needed to have a strict bound.

abbreviation *unassigned-lit* :: $'b \text{ literal multiset set} \Rightarrow 'a \text{ list} \Rightarrow \text{nat}$ **where**
 $\text{unassigned-lit } N M \equiv \text{card (atms-of-ms } N) - \text{length } M$

lemma *dpll-bj-trail-mes-increasing-prop:*
fixes $M :: ('v, \text{unit}, \text{unit}) \text{ marked-lits}$ **and** $N :: 'v \text{ clauses}$
assumes
 $\text{dpll-bj } S T$ **and**
 $\text{inv } S$ **and**
 $NA : \text{atms-of-mm (clauses}_{NOT} S) \subseteq \text{atms-of-ms } A$ **and**
 $MA : \text{atm-of ' lits-of-l (trail } S) \subseteq \text{atms-of-ms } A$ **and**
 $n\text{-d : no-dup (trail } S)$ **and**
 $\text{finite : finite } A$
shows $\mu_C (1 + \text{card (atms-of-ms } A)) (2 + \text{card (atms-of-ms } A)) (\text{trail-weight } T)$

$> \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S)$
using *assms*(1,2)
proof (*induction rule: dpll-bj-all-induct*)
case (*propagate*_{NOT} *C L*) **note** *CLN* = *this*(1) **and** *MC* = *this*(2) **and** *undef-L* = *this*(3) **and** *T* = *this*(4)
have *incl*: *atm-of* ‘*lits-of-l* (*Propagated L* () # *trail S*) \subseteq *atms-of-ms A*
using *propagate*_{NOT} *dpll-bj-atms-in-trail-in-set bj-propagate*_{NOT} *NA MA CLN*
by (*auto simp: in-plus-implies-atm-of-on-atms-of-ms*)

have *no-dup*: *no-dup* (*Propagated L* () # *trail S*)
using *defined-lit-map n-d undef-L* **by** *auto*
obtain *a b l* **where** *M*: *get-all-marked-decomposition* (*trail S*) = (*a*, *b*) # *l*
by (*cases get-all-marked-decomposition* (*trail S*)) *auto*
have *b-le-M*: *length b* \leq *length* (*trail S*)
using *get-all-marked-decomposition-decomp*[*of trail S*] **by** (*simp add: M*)
have *finite* (*atms-of-ms A*) **using** *finite* **by** *simp*

then have *length* (*Propagated L* () # *trail S*) \leq *card* (*atms-of-ms A*)
using *incl finite unfolding no-dup-length-eq-card-atm-of-lits-of-l*[*OF no-dup*]
by (*simp add: card-mono*)
then have *latm*: *unassigned-lit A b* = *Suc* (*unassigned-lit A* (*Propagated L d* # *b*))
using *b-le-M* **by** *auto*
then show ?*case* **using** *T undef-L* **by** (*auto simp: latm M μ_C -cons*)
next
case (*decide*_{NOT} *L*) **note** *undef-L* = *this*(1) **and** *MC* = *this*(2) **and** *T* = *this*(3)
have *incl*: *atm-of* ‘*lits-of-l* (*Marked L* () # (*trail S*)) \subseteq *atms-of-ms A*
using *dpll-bj-atms-in-trail-in-set bj-decide*_{NOT} *decide*_{NOT}.*decide*_{NOT}[*OF decide*_{NOT}.*hyps*] *NA MA MC*
by *auto*

have *no-dup*: *no-dup* (*Marked L* () # (*trail S*))
using *defined-lit-map n-d undef-L* **by** *auto*
obtain *a b l* **where** *M*: *get-all-marked-decomposition* (*trail S*) = (*a*, *b*) # *l*
by (*cases get-all-marked-decomposition* (*trail S*)) *auto*

then have *length* (*Marked L* () # (*trail S*)) \leq *card* (*atms-of-ms A*)
using *incl finite unfolding no-dup-length-eq-card-atm-of-lits-of-l*[*OF no-dup*]
by (*simp add: card-mono*)
show ?*case* **using** *T undef-L* **by** (*simp add: μ_C -cons*)
next
case (*backjump C F' K F L C' T*) **note** *undef-L* = *this*(4) **and** *MC* = *this*(1) **and** *tr-S* = *this*(3)
and
L = *this*(5) **and** *T* = *this*(8)
have *incl*: *atm-of* ‘*lits-of-l* (*Propagated L* () # *F*) \subseteq *atms-of-ms A*
using *dpll-bj-atms-in-trail-in-set NA MA L* **by** (*auto simp: tr-S*)

have *no-dup*: *no-dup* (*Propagated L* () # *F*)
using *defined-lit-map n-d undef-L tr-S* **by** *auto*
obtain *a b l* **where** *M*: *get-all-marked-decomposition* (*trail S*) = (*a*, *b*) # *l*
by (*cases get-all-marked-decomposition* (*trail S*)) *auto*
have *b-le-M*: *length b* \leq *length* (*trail S*)
using *get-all-marked-decomposition-decomp*[*of trail S*] **by** (*simp add: M*)
have *fin-atms-A*: *finite* (*atms-of-ms A*) **using** *finite* **by** *simp*

then have *F-le-A*: *length* (*Propagated L* () # *F*) \leq *card* (*atms-of-ms A*)

```

    using incl finite unfolding no-dup-length-eq-card-atm-of-lits-of-l[OF no-dup]
    by (simp add: card-mono)
  have tr-S-le-A: length (trail S) ≤ (card (atms-of-ms A))
    using n-d MA by (metis fin-atms-A card-mono no-dup-length-eq-card-atm-of-lits-of-l)
  obtain a b l where F: get-all-marked-decomposition F = (a, b) # l
    by (cases get-all-marked-decomposition F) auto
  then have F = b @ a
    using get-all-marked-decomposition-decomp[of Propagated L () # F a
      Propagated L () # b] by simp
  then have latm: unassigned-lit A b = Suc (unassigned-lit A (Propagated L () # b))
    using F-le-A by simp
  obtain rem where
    rem: map (λa. Suc (length (snd a))) (rev (get-all-marked-decomposition (F' @ Marked K () # F)))
    = map (λa. Suc (length (snd a))) (rev (get-all-marked-decomposition F)) @ rem
    using take-length-get-all-marked-decomposition-marked-sandwich[of F λa. Suc (length a) F' K]
    unfolding o-def by (metis append-take-drop-id)
  then have rem: map (λa. Suc (length (snd a)))
    (get-all-marked-decomposition (F' @ Marked K () # F))
    = rev rem @ map (λa. Suc (length (snd a))) ((get-all-marked-decomposition F))
    by (simp add: rev-map[symmetric] rev-swap)
  have length (rev rem @ map (λa. Suc (length (snd a))) (get-all-marked-decomposition F))
    ≤ Suc (card (atms-of-ms A))
    using arg-cong[OF rem, of length] tr-S-le-A
    length-get-all-marked-decomposition-length[of F' @ Marked K () # F] tr-S by auto
  moreover
  { fix i :: nat and xs :: 'a list
    have i < length xs ⟹ length xs - Suc i < length xs
      by auto
    then have H: i < length xs ⟹ rev xs ! i ∈ set xs
      using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
    } note H = this
  have ∀ i < length rem. rev rem ! i < card (atms-of-ms A) + 2
    using tr-S-le-A length-in-get-all-marked-decomposition-bounded[of - S] unfolding tr-S
    by (force simp add: o-def rem dest!: H intro: length-get-all-marked-decomposition-length)
  ultimately show ?case
    using μC-bounded[of rev rem card (atms-of-ms A)+2 unassigned-lit A l] T undef-L
    by (simp add: rem μC-append μC-cons F tr-S)
qed

```

lemma *dpll-bj-trail-mes-decreasing-prop:*

assumes *dpll*: *dpll-bj S T* **and** *inv*: *inv S* **and**
N-A: *atms-of-mm (clauses_{NOT} S) ⊆ atms-of-ms A* **and**
M-A: *atm-of ' lits-of-l (trail S) ⊆ atms-of-ms A* **and**
nd: *no-dup (trail S)* **and**
fin-A: *finite A*

shows $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } T)$
 $< (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } S)$

proof –

let *?b* = $2 + \text{card } (\text{atms-of-ms } A)$
let *?s* = $1 + \text{card } (\text{atms-of-ms } A)$
let *?μ* = $\mu_C \text{ ?s ?b}$
have *M'-A*: *atm-of ' lits-of-l (trail T) ⊆ atms-of-ms A*
by (*meson M-A N-A dpll dpll-bj-atms-in-trail-in-set inv*)

```

have nd': no-dup (trail T)
  using ⟨dpll-bj S T⟩ dpll-bj-no-dup nd inv by blast
{ fix i :: nat and xs :: 'a list
  have i < length xs  $\implies$  length xs - Suc i < length xs
    by auto
  then have H: i < length xs  $\implies$  xs ! i  $\in$  set xs
    using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
} note H = this

have l-M-A: length (trail S)  $\leq$  card (atms-of-ms A)
  by (simp add: fin-A M-A card-mono no-dup-length-eq-card-atm-of-lits-of-l nd)
have l-M'-A: length (trail T)  $\leq$  card (atms-of-ms A)
  by (simp add: fin-A M'-A card-mono no-dup-length-eq-card-atm-of-lits-of-l nd')
have l-trail-weight-M: length (trail-weight T)  $\leq$  1 + card (atms-of-ms A)
  using l-M'-A length-get-all-marked-decomposition-length[of trail T] by auto
have bounded-M:  $\forall i < \text{length } (\text{trail-weight } T). (\text{trail-weight } T)! i < \text{card } (\text{atms-of-ms } A) + 2$ 
  using length-in-get-all-marked-decomposition-bounded[of - T] l-M'-A
  by (metis (no-types, lifting) H Nat.le-trans add-2-eq-Suc' not-le not-less-eq-eq)

from dpll-bj-trail-mes-increasing-prop[OF dpll inv N-A M-A nd fin-A]
have  $\mu_C \text{ ?s ?b } (\text{trail-weight } S) < \mu_C \text{ ?s ?b } (\text{trail-weight } T)$  by simp
moreover from  $\mu_C$ -bounded[OF bounded-M l-trail-weight-M]
  have  $\mu_C \text{ ?s ?b } (\text{trail-weight } T) \leq \text{?b} \wedge \text{?s}$  by auto
ultimately show ?thesis by linarith
qed

lemma wf-dpll-bj:
  assumes fin: finite A
  shows wf {(T, S). dpll-bj S T
     $\wedge$  atms-of-mm (clausesNOT S)  $\subseteq$  atms-of-ms A  $\wedge$  atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-ms A
     $\wedge$  no-dup (trail S)  $\wedge$  inv S}
  (is wf ?A)
proof (rule wf-bounded-measure[of -
   $\lambda \cdot. (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$ 
   $\lambda S. \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } S)]$ )
  fix a b :: 'st
  let ?b = 2 + card (atms-of-ms A)
  let ?s = 1 + card (atms-of-ms A)
  let ? $\mu$  =  $\mu_C \text{ ?s ?b}$ 
  assume ab: (b, a)  $\in$  ?A

  have fin-A: finite (atms-of-ms A)
    using fin by auto
  have
    dpll-bj: dpll-bj a b and
    N-A: atms-of-mm (clausesNOT a)  $\subseteq$  atms-of-ms A and
    M-A: atm-of ' lits-of-l (trail a)  $\subseteq$  atms-of-ms A and
    nd: no-dup (trail a) and
    inv: inv a
    using ab by auto

  have M'-A: atm-of ' lits-of-l (trail b)  $\subseteq$  atms-of-ms A
    by (meson M-A N-A ⟨dpll-bj a b⟩ dpll-bj-atms-in-trail-in-set inv)
  have nd': no-dup (trail b)
    using ⟨dpll-bj a b⟩ dpll-bj-no-dup nd inv by blast

```

```

{ fix i :: nat and xs :: 'a list
  have i < length xs  $\implies$  length xs - Suc i < length xs
    by auto
  then have H: i < length xs  $\implies$  xs ! i  $\in$  set xs
    using rev-nth[of i xs] unfolding in-set-conv-nth by (force simp add: in-set-conv-nth)
} note H = this

have l-M-A: length (trail a)  $\leq$  card (atms-of-ms A)
  by (simp add: fin-A M-A card-mono no-dup-length-eq-card-atm-of-lits-of-l nd)
have l-M'-A: length (trail b)  $\leq$  card (atms-of-ms A)
  by (simp add: fin-A M'-A card-mono no-dup-length-eq-card-atm-of-lits-of-l nd')
have l-trail-weight-M: length (trail-weight b)  $\leq$  1 + card (atms-of-ms A)
  using l-M'-A length-get-all-marked-decomposition-length[of trail b] by auto
have bounded-M:  $\forall i < \text{length } (\text{trail-weight } b). (\text{trail-weight } b) ! i < \text{card } (\text{atms-of-ms } A) + 2$ 
  using length-in-get-all-marked-decomposition-bounded[of - b] l-M'-A
  by (metis (no-types, lifting) Nat.le-trans One-nat-def Suc-1 add.right-neutral add-Suc-right
    le-imp-less-Suc less-eq-Suc-le nth-mem)

from dpll-bj-trail-mes-increasing-prop[OF dpll-bj inv N-A M-A nd fin]
have  $\mu_C \text{ ?s ?b } (\text{trail-weight } a) < \mu_C \text{ ?s ?b } (\text{trail-weight } b)$  by simp
moreover from  $\mu_C$ -bounded[OF bounded-M l-trail-weight-M]
  have  $\mu_C \text{ ?s ?b } (\text{trail-weight } b) \leq \text{?b} \wedge \text{?s}$  by auto
ultimately show  $\text{?b} \wedge \text{?s} \leq \text{?b} \wedge \text{?s} \wedge$ 
   $\mu_C \text{ ?s ?b } (\text{trail-weight } b) \leq \text{?b} \wedge \text{?s} \wedge$ 
   $\mu_C \text{ ?s ?b } (\text{trail-weight } a) < \mu_C \text{ ?s ?b } (\text{trail-weight } b)$ 
  by blast
qed

```

16.3.4 Normal Forms

We prove that given a normal form of DPLL, with some invariants, the either N is satisfiable and the built valuation M is a model; or N is unsatisfiable.

Idea of the proof: We have to prove that *satisfiable* N , $\neg M \models_{as} N$ and there is no remaining step is incompatible.

1. The *decide* rules tells us that every variable in N has a value.
2. $\neg M \models_{as} N$ tells us that there is conflict.
3. There is at least one decision in the trail (otherwise, M is a model of N).
4. Now if we build the clause with all the decision literals of the trail, we can apply the *backjump* rule.

The assumption are saying that we have a finite upper bound A for the literals, that we cannot do any step *no-step* $dpll\text{-}bj$ S

theorem *dpll-backjump-final-state*:

```

fixes A :: 'v literal multiset set and S T :: 'st
assumes
  atms-of-mm (clausesNOT S)  $\subseteq$  atms-of-ms A and
  atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-ms A and
  no-dup (trail S) and
  finite A and
  inv: inv S and

```

n-s: no-step dpll-bj *S* **and**
decomp: all-decomposition-implies-m (*clauses*_{NOT} *S*) (*get-all-marked-decomposition* (*trail S*))
shows unsatisfiable (*set-mset* (*clauses*_{NOT} *S*))
 \vee (*trail S* \models_{asm} *clauses*_{NOT} *S* \wedge satisfiable (*set-mset* (*clauses*_{NOT} *S*)))
proof –
let ?*N* = *set-mset* (*clauses*_{NOT} *S*)
let ?*M* = *trail S*
consider
 (*sat*) satisfiable ?*N* **and** ?*M* \models_{as} ?*N*
 | (*sat'*) satisfiable ?*N* **and** \neg ?*M* \models_{as} ?*N*
 | (*unsat*) unsatisfiable ?*N*
by *auto*
then show ?*thesis*
proof *cases*
 case *sat'* **note** *sat* = *this*(1) **and** *M* = *this*(2)
 obtain *C* **where** *C* \in ?*N* **and** \neg ?*M* \models_a *C* **using** *M* **unfolding** *true-annots-def* **by** *auto*
 obtain *I* :: '*v* literal set **where**
 I \models_s ?*N* **and**
 cons: consistent-interp *I* **and**
 tot: total-over-m *I* ?*N* **and**
 atm-I-N: *atm-of* '*I* \subseteq *atms-of-ms* ?*N*
 using *sat* **unfolding** *satisfiable-def-min* **by** *auto*
 let ?*I* = *I* \cup {*P* | *P*. *P* \in *lits-of-l* ?*M* \wedge *atm-of* *P* \notin *atm-of* '*I*}
 let ?*O* = {*unmark L* | *L*. *is-marked* *L* \wedge *L* \in *set* ?*M* \wedge *atm-of* (*lit-of* *L*) \notin *atms-of-ms* ?*N*}
 have *cons-I'*: consistent-interp ?*I*
 using *cons* **using** (*no-dup* ?*M*) **unfolding** *consistent-interp-def*
 by (*auto simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def*
 dest!: *no-dup-cannot-not-lit-and-uminus*)
 have *tot-I'*: total-over-m ?*I* (?*N* \cup *unmark-l* ?*M*)
 using *tot atm-I-N* **unfolding** *total-over-m-def total-over-set-def*
 by (*fastforce simp: image-iff lits-of-def*)
 have {*P* | *P*. *P* \in *lits-of-l* ?*M* \wedge *atm-of* *P* \notin *atm-of* '*I*} \models_s ?*O*
 using (*I* \models_s ?*N*) *atm-I-N* **by** (*auto simp add: atm-of-eq-atm-of true-clss-def lits-of-def*)
 then have *I'-N*: ?*I* \models_s ?*N* \cup ?*O*
 using (*I* \models_s ?*N*) *true-clss-union-increase* **by** *force*
 have *tot'*: total-over-m ?*I* (?*N* \cup ?*O*)
 using *atm-I-N tot* **unfolding** *total-over-m-def total-over-set-def*
 by (*force simp: lits-of-def dest!*: *is-marked-ex-Marked*)

 have *atms-N-M*: *atms-of-ms* ?*N* \subseteq *atm-of* '*lits-of-l* ?*M*
 proof (*rule ccontr*)
 assume \neg ?*thesis*
 then obtain *l* :: '*v* **where**
 l-N: *l* \in *atms-of-ms* ?*N* **and**
 l-M: *l* \notin *atm-of* '*lits-of-l* ?*M*
 by *auto*
 have *undefined-lit* ?*M* (*Pos l*)
 using *l-M* **by** (*metis Marked-Propagated-in-iff-in-lits-of-l*
 atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set literal.sel(1))
 from *bj-decide*_{NOT}[*OF decide*_{NOT}[*OF this*]] **show** *False*
 using *l-N n-s* **by** (*metis literal.sel*(1) *state-eq*_{NOT}-*ref*)
 qed
 have ?*M* \models_{as} *CNot C*
 apply (*rule all-variables-defined-not-imply-cnot*)
 using (*C* \in *set-mset* (*clauses*_{NOT} *S*)) (\neg *trail S* \models_a *C*)

```

  atms-N-M by (auto dest: atms-of-atms-of-ms-mono)
have  $\exists l \in \text{set } ?M. \text{is-marked } l$ 
proof (rule ccontr)
  let  $?O = \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } ?M \wedge \text{atm-of } (\text{lit-of } L) \notin \text{atms-of-ms } ?N\}$ 
  have  $\vartheta[\text{iff}]: \bigwedge I. \text{total-over-m } I \ (\ ?N \cup ?O \cup \text{unmark-l } ?M)$ 
     $\longleftrightarrow \text{total-over-m } I \ (\ ?N \cup \text{unmark-l } ?M)$ 
  unfolding total-over-set-def total-over-m-def atms-of-ms-def by blast
  assume  $\neg ?thesis$ 
  then have [simp]:  $\{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } ?M\}$ 
     $= \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } ?M \wedge \text{atm-of } (\text{lit-of } L) \notin \text{atms-of-ms } ?N\}$ 
  by auto
  then have  $?N \cup ?O \models_{ps} \text{unmark-l } ?M$ 
    using all-decomposition-implies-propagated-lits-are-implied[OF decomp] by auto

  then have  $?I \models_s \text{unmark-l } ?M$ 
    using cons-I' I'-N tot-I'  $\langle ?I \models_s ?N \cup ?O \rangle$  unfolding  $\vartheta$  true-clss-clss-def by blast
  then have lits-of-l  $?M \subseteq ?I$ 
    unfolding true-clss-def lits-of-def by auto
  then have  $?M \models_{as} ?N$ 
    using I'-N  $\langle C \in ?N \rangle \langle \neg ?M \models_a C \rangle$  cons-I' atms-N-M
    by (meson  $\langle \text{trail } S \models_{as} C \text{Not } C \rangle$  consistent-CNot-not rev-subsetD sup-ge1 true-annot-def
      true-annots-def true-clss-mono-set-mset-l true-clss-def)
  then show False using M by fast
qed
from List.split-list-first-propE[OF this] obtain  $K :: 'v \text{ literal and}$ 
 $F F' :: ('v, \text{unit}, \text{unit}) \text{ marked-lit list where}$ 
 $M-K: ?M = F' @ \text{Marked } K () \# F \text{ and}$ 
 $nm: \forall f \in \text{set } F'. \neg \text{is-marked } f$ 
  unfolding is-marked-def by (metis (full-types) old.unit.exhaust)
let  $?K = \text{Marked } K () :: ('v, \text{unit}, \text{unit}) \text{ marked-lit}$ 
have  $?K \in \text{set } ?M$ 
  unfolding M-K by auto
let  $?C = \text{image-mset lit-of } \{\#L \in \#mset ?M. \text{is-marked } L \wedge L \neq ?K\# \} :: 'v \text{ literal multiset}$ 
let  $?C' = \text{set-mset } (\text{image-mset } (\lambda L. 'v \text{ literal. } \{\#L\# \}) (?C + \text{unmark } ?K))$ 
have  $?N \cup \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } ?M\} \models_{ps} \text{unmark-l } ?M$ 
  using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
moreover have  $C': ?C' = \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } ?M\}$ 
  unfolding M-K by standard force+
ultimately have N-C-M:  $?N \cup ?C' \models_{ps} \text{unmark-l } ?M$ 
  by auto
have N-M-False:  $?N \cup (\lambda L. \text{unmark } L) \text{ ` } (\text{set } ?M) \models_{ps} \{\{\#\}\}$ 
  using M  $\langle ?M \models_{as} C \text{Not } C \rangle \langle C \in ?N \rangle$  unfolding true-clss-clss-def true-annots-def Ball-def
  true-annot-def by (metis consistent-CNot-not sup.orderE sup-commute true-clss-def
    true-clss-singleton-lit-of-implies-incl true-clss-union true-clss-union-increase)

have undefined-lit F K using  $\langle \text{no-dup } ?M \rangle$  unfolding M-K by (simp add: defined-lit-map)
moreover
  have  $?N \cup ?C' \models_{ps} \{\{\#\}\}$ 
  proof -
    have A:  $?N \cup ?C' \cup \text{unmark-l } ?M = ?N \cup \text{unmark-l } ?M$ 
      unfolding M-K by auto
    show ?thesis
      using true-clss-clss-left-right[OF N-C-M, of  $\{\{\#\}\}$ ] N-M-False unfolding A by auto
  qed
have  $?N \models_p \text{image-mset uminus } ?C + \{\# - K\# \}$ 

```

```

unfolding true-clss-cls-def true-clss-clss-def total-over-m-def
proof (intro allI impI)
  fix I
  assume
    tot: total-over-set I (atms-of-ms (?N ∪ {image-mset uminus ?C + {#- K#}})) and
    cons: consistent-interp I and
    I ⊨s ?N
  have (K ∈ I ∧ -K ∉ I) ∨ (-K ∈ I ∧ K ∉ I)
    using cons tot unfolding consistent-interp-def by (cases K) auto
  have {a ∈ set (trail S). is-marked a ∧ a ≠ Marked K ()} =
    set (trail S) ∩ {L. is-marked L ∧ L ≠ Marked K ()}
  by auto
  then have tot': total-over-set I
    (atm-of 'lit-of ' (set ?M ∩ {L. is-marked L ∧ L ≠ Marked K ()}))
    using tot by (auto simp add: atms-of-uminus-lit-atm-of-lit-of)
  { fix x :: ('v, unit, unit) marked-lit
    assume
      a3: lit-of x ∉ I and
      a1: x ∈ set ?M and
      a4: is-marked x and
      a5: x ≠ Marked K ()
    then have Pos (atm-of (lit-of x)) ∈ I ∨ Neg (atm-of (lit-of x)) ∈ I
      using a5 a4 tot' a1 unfolding total-over-set-def atms-of-s-def by blast
    moreover have f6: Neg (atm-of (lit-of x)) = - Pos (atm-of (lit-of x))
      by simp
    ultimately have - lit-of x ∈ I
      using f6 a3 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
        literal.sel(1))
    } note H = this

  have ¬I ⊨s ?C'
    using ⟨?N ∪ ?C' ⊨ps {{#}}⟩ tot cons ⟨I ⊨s ?N⟩
    unfolding true-clss-clss-def total-over-m-def
    by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
  then show I ⊨ image-mset uminus ?C + {#- K#}
    unfolding true-clss-def true-cls-def using ⟨(K ∈ I ∧ -K ∉ I) ∨ (-K ∈ I ∧ K ∉ I)⟩
    by (auto dest!: H)
  qed
moreover have F ⊨as CNot (image-mset uminus ?C)
  using nm unfolding true-annots-def CNot-def M-K by (auto simp add: lits-of-def)
ultimately have False
  using bj-can-jump[of S F' K F C -K
    image-mset uminus (image-mset lit-of {# L :# mset ?M. is-marked L ∧ L ≠ Marked K ()#})]
    ⟨C ∈ ?N⟩ n-s ⟨?M ⊨as CNot C⟩ bj-backjump inv ⟨no-dup (trail S)⟩ unfolding M-K by auto
  then show ?thesis by fast
qed auto
qed

end

locale dpll-with-backjumping =
  dpll-with-backjumping-ops mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT inv backjump-conds
  propagate-conds

```

```

for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses :: 'st  $\Rightarrow$  'clss and
  prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  inv :: 'st  $\Rightarrow$  bool and
  backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
  propagate-conds :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  bool
+
assumes dpll-bj-inv:  $\bigwedge S T. \text{dpll-bj } S T \implies \text{inv } S \implies \text{inv } T$ 
begin

lemma rtrancpl-dpll-bj-inv:
  assumes dpll-bj**  $S T$  and inv  $S$ 
  shows inv  $T$ 
  using assms by (induction rule: rtrancpl-induct)
  (auto simp add: dpll-bj-no-dup intro: dpll-bj-inv)

lemma rtrancpl-dpll-bj-no-dup:
  assumes dpll-bj**  $S T$  and inv  $S$ 
  and no-dup (trail  $S$ )
  shows no-dup (trail  $T$ )
  using assms by (induction rule: rtrancpl-induct)
  (auto simp add: dpll-bj-no-dup dest: rtrancpl-dpll-bj-inv dpll-bj-inv)

lemma rtrancpl-dpll-bj-atms-of-ms-clauses-inv:
  assumes
    dpll-bj**  $S T$  and inv  $S$ 
  shows atms-of-mm (clausesNOT  $S$ ) = atms-of-mm (clausesNOT  $T$ )
  using assms by (induction rule: rtrancpl-induct)
  (auto dest: rtrancpl-dpll-bj-inv dpll-bj-atms-of-ms-clauses-inv)

lemma rtrancpl-dpll-bj-atms-in-trail:
  assumes
    dpll-bj**  $S T$  and
    inv  $S$  and
    atm-of ' (lits-of-l (trail  $S$ ))  $\subseteq$  atms-of-mm (clausesNOT  $S$ )
  shows atm-of ' (lits-of-l (trail  $T$ ))  $\subseteq$  atms-of-mm (clausesNOT  $T$ )
  using assms apply (induction rule: rtrancpl-induct)
  using dpll-bj-atms-in-trail dpll-bj-atms-of-ms-clauses-inv rtrancpl-dpll-bj-inv by auto

lemma rtrancpl-dpll-bj-sat-iff:
  assumes dpll-bj**  $S T$  and inv  $S$ 
  shows  $I \models_{sm} \text{clauses}_{NOT} S \longleftrightarrow I \models_{sm} \text{clauses}_{NOT} T$ 
  using assms by (induction rule: rtrancpl-induct)

```


(*auto dest!*: *dpll-bj-sat-iff simp: rtranclp-dpll-bj-inv*)

lemma *rtranclp-dpll-bj-atms-in-trail-in-set*:

assumes

*dpll-bj** S T* **and**

inv S

atms-of-mm (clauses_{NOT} S) ⊆ A **and**

atm-of ' (lits-of-l (trail S)) ⊆ A

shows *atm-of ' (lits-of-l (trail T)) ⊆ A*

using *assms* **by** (*induction rule: rtranclp-induct*)

(*auto dest: rtranclp-dpll-bj-inv*

simp: dpll-bj-atms-in-trail-in-set rtranclp-dpll-bj-atms-of-ms-clauses-inv rtranclp-dpll-bj-inv)

lemma *rtranclp-dpll-bj-all-decomposition-implies-inv*:

assumes

*dpll-bj** S T* **and**

inv S

all-decomposition-implies-m (clauses_{NOT} S) (get-all-marked-decomposition (trail S))

shows *all-decomposition-implies-m (clauses_{NOT} T) (get-all-marked-decomposition (trail T))*

using *assms* **by** (*induction rule: rtranclp-induct*)

(*auto intro: dpll-bj-all-decomposition-implies-inv simp: rtranclp-dpll-bj-inv*)

lemma *rtranclp-dpll-bj-inv-incl-dpll-bj-inv-trancl*:

{(*T, S*). *dpll-bj⁺⁺ S T*

\wedge *atms-of-mm (clauses_{NOT} S) ⊆ atms-of-ms A* \wedge *atm-of ' lits-of-l (trail S) ⊆ atms-of-ms A*

\wedge *no-dup (trail S) ∧ inv S*}

\subseteq {(*T, S*). *dpll-bj S T* \wedge *atms-of-mm (clauses_{NOT} S) ⊆ atms-of-ms A*

\wedge *atm-of ' lits-of-l (trail S) ⊆ atms-of-ms A* \wedge *no-dup (trail S) ∧ inv S*}⁺

(*is ?A ⊆ ?B⁺*)

proof *standard*

fix *x*

assume *x-A: x ∈ ?A*

obtain *S T::'st* **where**

x[simp]: x = (T, S) **by** (*cases x*) *auto*

have

dpll-bj⁺⁺ S T **and**

atms-of-mm (clauses_{NOT} S) ⊆ atms-of-ms A **and**

atm-of ' lits-of-l (trail S) ⊆ atms-of-ms A **and**

no-dup (trail S) **and**

inv S

using *x-A* **by** *auto*

then show *x ∈ ?B⁺* **unfolding** *x*

proof (*induction rule: tranclp-induct*)

case *base*

then show *?case* **by** *auto*

next

case (*step T U*) **note** *step = this(1)* **and** *ST = this(2)* **and** *IH = this(3)[OF this(4-7)]*

and *N-A = this(4)* **and** *M-A = this(5)* **and** *nd = this(6)* **and** *inv = this(7)*

have [*simp*]: *atms-of-mm (clauses_{NOT} S) = atms-of-mm (clauses_{NOT} T)*

using *step rtranclp-dpll-bj-atms-of-ms-clauses-inv tranclp-into-rtranclp inv* **by** *fastforce*

have *no-dup (trail T)*

using *local.step nd rtranclp-dpll-bj-no-dup tranclp-into-rtranclp inv* **by** *fastforce*

moreover have *atm-of ' (lits-of-l (trail T)) ⊆ atms-of-ms A*

by (*metis inv M-A N-A local.step rtranclp-dpll-bj-atms-in-trail-in-set*)

$\text{trancplp-into-rtrancplp})$
moreover have $\text{inv } T$
using $\text{inv local.step rtrancplp-dpll-bj-inv trancplp-into-rtrancplp}$ **by** fastforce
ultimately have $(U, T) \in ?B$ **using** $ST \text{ } N\text{-}A \text{ } M\text{-}A \text{ } \text{inv}$ **by** auto
then show $?case$ **using** IH **by** $(\text{rule trancpl-into-trancpl2})$
qed
qed

lemma $\text{wf-trancplp-dpll-bj}$:
assumes $\text{fin: finite } A$
shows $\text{wf } \{(T, S). \text{dpll-bj}^{++} S T$
 $\wedge \text{atms-of-mm } (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms } A \wedge \text{atm-of ' lits-of-l } (\text{trail } S) \subseteq \text{atms-of-ms } A$
 $\wedge \text{no-dup } (\text{trail } S) \wedge \text{inv } S\}$
using $\text{wf-trancpl}[OF \text{ wf-dpll-bj}[OF \text{ fin}]] \text{ rtrancplp-dpll-bj-inv-incl-dpll-bj-inv-trancpl}$
by (rule wf-subset)

lemma $\text{dpll-bj-sat-ext-iff}$:
 $\text{dpll-bj } S T \implies \text{inv } S \implies I \models_{\text{sextm}} \text{clauses}_{NOT} S \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{NOT} T$
by $(\text{simp add: dpll-bj-clauses})$

lemma $\text{rtrancplp-dpll-bj-sat-ext-iff}$:
 $\text{dpll-bj}^{**} S T \implies \text{inv } S \implies I \models_{\text{sextm}} \text{clauses}_{NOT} S \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{NOT} T$
by $(\text{induction rule: rtrancplp-induct}) (\text{simp-all add: rtrancplp-dpll-bj-inv dpll-bj-sat-ext-iff})$

theorem $\text{full-dpll-backjump-final-state}$:
fixes $A :: 'v \text{ literal multiset set}$ **and** $S T :: 'st$
assumes
 $\text{full: full dpll-bj } S T$ **and**
 $\text{atms-S: atms-of-mm } (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms } A$ **and**
 $\text{atms-trail: atm-of ' lits-of-l } (\text{trail } S) \subseteq \text{atms-of-ms } A$ **and**
 $\text{n-d: no-dup } (\text{trail } S)$ **and**
 $\text{finite } A$ **and**
 $\text{inv: inv } S$ **and**
 $\text{decomp: all-decomposition-implies-m } (\text{clauses}_{NOT} S) (\text{get-all-marked-decomposition } (\text{trail } S))$
shows $\text{unsatisfiable } (\text{set-mset } (\text{clauses}_{NOT} S))$
 $\vee (\text{trail } T \models_{\text{asm}} \text{clauses}_{NOT} S \wedge \text{satisfiable } (\text{set-mset } (\text{clauses}_{NOT} S)))$

proof –
have $\text{st: dpll-bj}^{**} S T$ **and** $\text{no-step dpll-bj } T$
using $\text{full unfolding full-def}$ **by** fast+
moreover have $\text{atms-of-mm } (\text{clauses}_{NOT} T) \subseteq \text{atms-of-ms } A$
using $\text{atms-S inv rtrancplp-dpll-bj-atms-of-ms-clauses-inv st}$ **by** blast
moreover have $\text{atm-of ' lits-of-l } (\text{trail } T) \subseteq \text{atms-of-ms } A$
using $\text{atms-S atms-trail inv rtrancplp-dpll-bj-atms-in-trail-in-set st}$ **by** auto
moreover have $\text{no-dup } (\text{trail } T)$
using $\text{n-d inv rtrancplp-dpll-bj-no-dup st}$ **by** blast
moreover have $\text{inv: inv } T$
using $\text{inv rtrancplp-dpll-bj-inv st}$ **by** blast
moreover
have $\text{decomp: all-decomposition-implies-m } (\text{clauses}_{NOT} T) (\text{get-all-marked-decomposition } (\text{trail } T))$
using $\langle \text{inv } S \rangle \text{ decomp rtrancplp-dpll-bj-all-decomposition-implies-inv st}$ **by** blast
ultimately have $\text{unsatisfiable } (\text{set-mset } (\text{clauses}_{NOT} T))$
 $\vee (\text{trail } T \models_{\text{asm}} \text{clauses}_{NOT} T \wedge \text{satisfiable } (\text{set-mset } (\text{clauses}_{NOT} T)))$
using $\langle \text{finite } A \rangle \text{ dpll-backjump-final-state}$ **by** force
then show $?thesis$
by $(\text{meson } \langle \text{inv } S \rangle \text{ rtrancplp-dpll-bj-sat-iff satisfiable-carac st true-annots-true-cls})$

qed

corollary *full-dpll-backjump-final-state-from-init-state:*

fixes $A :: 'v$ literal multiset set **and** $S\ T :: 'st$

assumes

full: *full dpll-bj* $S\ T$ **and**

trail $S = []$ **and**

*clauses*_{NOT} $S = N$ **and**

inv S

shows $\text{unsatisfiable } (\text{set-mset } N) \vee (\text{trail } T \models_{\text{asm}} N \wedge \text{satisfiable } (\text{set-mset } N))$

using *assms full-dpll-backjump-final-state*[*of* $S\ T$ *set-mset* N] **by** *auto*

lemma *trancpl-dpll-bj-trail-mes-decreasing-prop:*

assumes *dpll*: *dpll-bj*⁺⁺ $S\ T$ **and** *inv*: *inv* S **and**

$N\text{-}A$: *atms-of-mm* (*clauses*_{NOT} S) \subseteq *atms-of-ms* A **and**

$M\text{-}A$: *atm-of* ' *lits-of-l* (*trail* S) \subseteq *atms-of-ms* A **and**

$n\text{-}d$: *no-dup* (*trail* S) **and**

fin-A: *finite* A

shows $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$

$- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } T)$

$< (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$

$- \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } S)$

using *dpll*

proof (*induction*)

case *base*

then show *?case*

using $N\text{-}A\ M\text{-}A\ n\text{-}d$ *dpll-bj-trail-mes-decreasing-prop fin-A inv* **by** *blast*

next

case (*step* $T\ U$) **note** $st = \text{this}(1)$ **and** *dpll* = *this*(2) **and** *IH* = *this*(3)

have *atms-of-mm* (*clauses*_{NOT} S) = *atms-of-mm* (*clauses*_{NOT} T)

using *rtrancpl-dpll-bj-atms-of-ms-clauses-inv* **by** (*metis dpll-bj-clauses dpll-bj-inv inv st trancplD*)

then have $N\text{-}A'$: *atms-of-mm* (*clauses*_{NOT} T) \subseteq *atms-of-ms* A

using $N\text{-}A$ **by** *auto*

moreover have $M\text{-}A'$: *atm-of* ' *lits-of-l* (*trail* T) \subseteq *atms-of-ms* A

by (*meson M-A N-A inv rtrancpl-dpll-bj-atms-in-trail-in-set st dpll trancpl.r-into-trancpl trancpl-into-rtrancpl trancpl-trans*)

moreover have nd : *no-dup* (*trail* T)

by (*metis inv n-d rtrancpl-dpll-bj-no-dup st trancpl-into-rtrancpl*)

moreover have *inv* T

by (*meson dpll dpll-bj-inv inv rtrancpl-dpll-bj-inv st trancpl-into-rtrancpl*)

ultimately show *?case*

using *IH dpll-bj-trail-mes-decreasing-prop*[*of* $T\ U\ A$] *dpll fin-A* **by** *linarith*

qed

end

16.4 CDCL

16.4.1 Learn and Forget

locale *learn-ops* =

dpll-state mset-cls insert-cls remove-lit

mset-clss union-clss in-clss insert-clss remove-from-clss

*trail raw-clauses prepend-trail tl-trail add-clss*_{NOT} *remove-clss*_{NOT}

for

```

mset-cls:: 'cls  $\Rightarrow$  'v clause and
insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
mset-clss:: 'clss  $\Rightarrow$  'v clauses and
union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
raw-clauses :: 'st  $\Rightarrow$  'clss and
prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
tl-trail :: 'st  $\Rightarrow$  'st and
add-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
remove-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st +
fixes
  learn-cond :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool
begin
inductive learn :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  learnNOT-rule: clausesNOT S  $\models_{pm}$  mset-cl C  $\Rightarrow$ 
    atms-of (mset-cl C)  $\subseteq$  atms-of-mm (clausesNOT S)  $\cup$  atm-of ' (lits-of-l (trail S))  $\Rightarrow$ 
    learn-cond C S  $\Rightarrow$ 
    T  $\sim$  add-clNOT C S  $\Rightarrow$ 
    learn S T
inductive-cases learnNOTE: learn S T

lemma learn- $\mu_C$ -stable:
  assumes learn S T and no-dup (trail S)
  shows  $\mu_C$  A B (trail-weight S) =  $\mu_C$  A B (trail-weight T)
  using assms by (auto elim: learnNOTE)
end

locale forget-ops =
  dpll-state mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses :: 'st  $\Rightarrow$  'clss and
  prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st +
fixes
  forget-cond :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool
begin
inductive forgetNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  forgetNOT:

```

```

removeAll-mset (mset-cls C)(clausesNOT S)  $\models_{pm}$  mset-cls C  $\implies$ 
forget-cond C S  $\implies$ 
C ! $\in$ ! raw-clauses S  $\implies$ 
T  $\sim$  remove-clsNOT C S  $\implies$ 
forgetNOT S T
inductive-cases forgetNOTE: forgetNOT S T

```

```

lemma forget- $\mu_C$ -stable:
  assumes forgetNOT S T
  shows  $\mu_C$  A B (trail-weight S) =  $\mu_C$  A B (trail-weight T)
  using assms by (auto elim!: forgetNOTE)
end

```

```

locale learn-and-forgetNOT =
  learn-ops mset-cls insert-cls remove-lit
    mset-clss union-clss in-clss insert-clss remove-from-clss
    trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT learn-cond +
  forget-ops mset-cls insert-cls remove-lit
    mset-clss union-clss in-clss insert-clss remove-from-clss
    trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT forget-cond
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses :: 'st  $\Rightarrow$  'clss and
  prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  learn-cond forget-cond :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool
begin
inductive learn-and-forgetNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool
where
  lf-learn: learn S T  $\implies$  learn-and-forgetNOT S T |
  lf-forget: forgetNOT S T  $\implies$  learn-and-forgetNOT S T
end

```

16.4.2 Definition of CDCL

```

locale conflict-driven-clause-learning-ops =
  dpll-with-backjumping-ops mset-cls insert-cls remove-lit
    mset-clss union-clss in-clss insert-clss remove-from-clss
    trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT
    inv backjump-conds propagate-conds +
  learn-and-forgetNOT mset-cls insert-cls remove-lit
    mset-clss union-clss in-clss insert-clss remove-from-clss
    trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT learn-cond
    forget-cond
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and

```

$insert_cls :: 'v \text{ literal} \Rightarrow 'cls \Rightarrow 'cls \text{ and}$
 $remove_lit :: 'v \text{ literal} \Rightarrow 'cls \Rightarrow 'cls \text{ and}$
 $mset_cls :: 'cls \Rightarrow 'v \text{ clauses and}$
 $union_cls :: 'cls \Rightarrow 'cls \Rightarrow 'cls \text{ and}$
 $in_cls :: 'cls \Rightarrow 'cls \Rightarrow \text{bool and}$
 $insert_cls :: 'cls \Rightarrow 'cls \Rightarrow 'cls \text{ and}$
 $remove_from_cls :: 'cls \Rightarrow 'cls \Rightarrow 'cls \text{ and}$
 $trail :: 'st \Rightarrow ('v, \text{unit}, \text{unit}) \text{ marked-lits and}$
 $raw_clauses :: 'st \Rightarrow 'cls \text{ and}$
 $prepend_trail :: ('v, \text{unit}, \text{unit}) \text{ marked-lit} \Rightarrow 'st \Rightarrow 'st \text{ and}$
 $tl_trail :: 'st \Rightarrow 'st \text{ and}$
 $add_cls_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st \text{ and}$
 $remove_cls_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st \text{ and}$
 $inv :: 'st \Rightarrow \text{bool and}$
 $backjump_conds :: 'v \text{ clause} \Rightarrow 'v \text{ clause} \Rightarrow 'v \text{ literal} \Rightarrow 'st \Rightarrow 'st \Rightarrow \text{bool and}$
 $propagate_conds :: ('v, \text{unit}, \text{unit}) \text{ marked-lit} \Rightarrow 'st \Rightarrow \text{bool and}$
 $learn_cond \text{ forget_cond} :: 'cls \Rightarrow 'st \Rightarrow \text{bool}$

begin

inductive $cdcl_{NOT} :: 'st \Rightarrow 'st \Rightarrow \text{bool}$ **for** $S :: 'st$ **where**

$c_dpll_bj: dpll_bj \ S \ S' \Longrightarrow cdcl_{NOT} \ S \ S' \mid$

$c_learn: learn \ S \ S' \Longrightarrow cdcl_{NOT} \ S \ S' \mid$

$c_forget_{NOT}: forget_{NOT} \ S \ S' \Longrightarrow cdcl_{NOT} \ S \ S'$

lemma $cdcl_{NOT}\text{-all-induct}[consumes \ 1, \text{case-names } dpll_bj \ learn \ forget_{NOT}]$:

fixes $S \ T :: 'st$

assumes $cdcl_{NOT} \ S \ T$ **and**

$dpll: \bigwedge T. dpll_bj \ S \ T \Longrightarrow P \ S \ T$ **and**

learning:

$\bigwedge C \ T. clauses_{NOT} \ S \models_{pm} mset_cls \ C \Longrightarrow$

$atms_of \ (mset_cls \ C) \subseteq atms_of_mm \ (clauses_{NOT} \ S) \cup atm_of \ ' \ (lits_of_l \ (trail \ S)) \Longrightarrow$

$T \sim add_cls_{NOT} \ C \ S \Longrightarrow$

$P \ S \ T$ **and**

forgetting: $\bigwedge C \ T. removeAll_mset \ (mset_cls \ C) \ (clauses_{NOT} \ S) \models_{pm} mset_cls \ C \Longrightarrow$

$C \ !\in! \ raw_clauses \ S \Longrightarrow$

$T \sim remove_cls_{NOT} \ C \ S \Longrightarrow$

$P \ S \ T$

shows $P \ S \ T$

using $assms(1)$ **by** (*induction rule:* $cdcl_{NOT}.induct$)

(*auto intro:* $assms(2, 3, 4)$ *elim!:* $learn_{NOT}E \ forget_{NOT}E$)**+**

lemma $cdcl_{NOT}\text{-no-dup}$:

assumes

$cdcl_{NOT} \ S \ T$ **and**

$inv \ S$ **and**

$no_dup \ (trail \ S)$

shows $no_dup \ (trail \ T)$

using $assms$ **by** (*induction rule:* $cdcl_{NOT}\text{-all-induct}$) (*auto intro:* $dpll_bj\text{-no-dup}$)

Consistency of the trail **lemma** $cdcl_{NOT}\text{-consistent}$:

assumes

$cdcl_{NOT} \ S \ T$ **and**

$inv \ S$ **and**

$no_dup \ (trail \ S)$

shows $consistent_interp \ (lits_of_l \ (trail \ T))$

using *cdcl_{NOT}-no-dup*[*OF assms*] *distinct-consistent-interp* **by** *fast*

The subtle problem here is that tautologies can be removed, meaning that some variable can disappear of the problem. It is also possible that some variable of the trail are not in the clauses anymore.

lemma *cdcl_{NOT}-atms-of-ms-clauses-decreasing*:

assumes *cdcl_{NOT} S T and inv S and no-dup (trail S)*
shows *atms-of-mm (clauses_{NOT} T) \subseteq atms-of-mm (clauses_{NOT} S) \cup atm-of ' (lits-of-l (trail S))*
using *assms* **by** (*induction rule: cdcl_{NOT}-all-induct*)
(auto dest!: dpll-bj-atms-of-ms-clauses-inv set-mp simp add: atms-of-ms-def Union-eq)

lemma *cdcl_{NOT}-atms-in-trail*:

assumes *cdcl_{NOT} S T and inv S and no-dup (trail S)*
and *atm-of ' (lits-of-l (trail S)) \subseteq atms-of-mm (clauses_{NOT} S)*
shows *atm-of ' (lits-of-l (trail T)) \subseteq atms-of-mm (clauses_{NOT} S)*
using *assms* **by** (*induction rule: cdcl_{NOT}-all-induct*) (*auto simp add: dpll-bj-atms-in-trail*)

lemma *cdcl_{NOT}-atms-in-trail-in-set*:

assumes
cdcl_{NOT} S T and inv S and no-dup (trail S) and
atms-of-mm (clauses_{NOT} S) \subseteq A and
atm-of ' (lits-of-l (trail S)) \subseteq A
shows *atm-of ' (lits-of-l (trail T)) \subseteq A*
using *assms*
by (*induction rule: cdcl_{NOT}-all-induct*)
(simp-all add: dpll-bj-atms-in-trail-in-set dpll-bj-atms-of-ms-clauses-inv)

lemma *cdcl_{NOT}-all-decomposition-implies*:

assumes *cdcl_{NOT} S T and inv S and n-d[simp]: no-dup (trail S) and*
all-decomposition-implies-m (clauses_{NOT} S) (get-all-marked-decomposition (trail S))
shows
all-decomposition-implies-m (clauses_{NOT} T) (get-all-marked-decomposition (trail T))
using *assms(1,2,4)*

proof (*induction rule: cdcl_{NOT}-all-induct*)

case *dpll-bj*

then show *?case*

using *dpll-bj-all-decomposition-implies-inv n-d by blast*

next

case *learn*

then show *?case* **by** (*auto simp add: all-decomposition-implies-def*)

next

case (*forget_{NOT} C T*) **note** *cls-C = this(1) and C = this(2) and T = this(3) and inv = this(4)*

and

decomp = this(5)

show *?case*

unfolding *all-decomposition-implies-def Ball-def*

proof (*intro allI, clarify*)

fix *a b*

assume *(a, b) \in set (get-all-marked-decomposition (trail T))*

then have *unmark-l a \cup set-mset (clauses_{NOT} S) \models_{ps} unmark-l b*

using *decomp T* **by** (*auto simp add: all-decomposition-implies-def*)

moreover

have *a1:mset-cls C \in set-mset (clauses_{NOT} S)*

using *C* **by** *blast*

have *clauses_{NOT} T = clauses_{NOT} (remove-cls_{NOT} C S)*

```

    using T state-eqNOT-clauses by blast
  then have set-mset (clausesNOT T)  $\models_{ps}$  set-mset (clausesNOT S)
    using a1 by (metis (no-types) clauses-remove-clsNOT cls-C insert-Diff order-refl
      set-mset-minus-replicate-mset(1) true-clss-clss-def true-clss-clss-insert)
  ultimately show unmark-l a  $\cup$  set-mset (clausesNOT T)
     $\models_{ps}$  unmark-l b
    using true-clss-clss-generalise-true-clss-clss by blast
qed
qed

Extension of models lemma cdclNOT-bj-sat-ext-iff:
  assumes cdclNOT S T and inv S and n-d: no-dup (trail S)
  shows  $I \models_{sextm} \text{clauses}_{NOT} S \longleftrightarrow I \models_{sextm} \text{clauses}_{NOT} T$ 
  using assms
proof (induction rule:cdclNOT-all-induct)
  case dpll-bj
  then show ?case by (simp add: dpll-bj-clauses)
next
  case (learn C T) note T = this(3)
  { fix J
    assume
      I  $\models_{sextm} \text{clauses}_{NOT} S$  and
      I  $\subseteq J$  and
      tot: total-over-m J (set-mset ({#mset-cls C#} + clausesNOT S)) and
      cons: consistent-interp J
    then have J  $\models_{sm} \text{clauses}_{NOT} S$  unfolding true-clss-ext-def by auto

    moreover
      with (clausesNOT S)  $\models_{pm}$  mset-cls C have J  $\models$  mset-cls C
      using tot cons unfolding true-clss-clss-def by auto
    ultimately have J  $\models_{sm} \{ \#mset-cls C \# \} + \text{clauses}_{NOT} S$  by auto
  }
  then have H: I  $\models_{sextm} (\text{clauses}_{NOT} S) \implies I \models_{sext} \text{insert} (mset-cls C) (\text{set-mset} (\text{clauses}_{NOT} S))$ 
    unfolding true-clss-ext-def by auto
  show ?case
    apply standard
    using T n-d apply (auto simp add: H)[]
    using T n-d apply simp
    by (metis Diff-insert-absorb insert-subset subsetI subset-antisym
      true-clss-ext-decrease-right-remove-r)
next
  case (forgetNOT C T) note cls-C = this(1) and T = this(3)
  { fix J
    assume
      I  $\models_{sext} \text{set-mset} (\text{clauses}_{NOT} S) - \{mset-cls C\}$  and
      I  $\subseteq J$  and
      tot: total-over-m J (set-mset (clausesNOT S)) and
      cons: consistent-interp J
    then have J  $\models_s \text{set-mset} (\text{clauses}_{NOT} S) - \{mset-cls C\}$ 
      unfolding true-clss-ext-def by (meson Diff-subset total-over-m-subset)

    moreover
      with cls-C have J  $\models$  mset-cls C
      using tot cons unfolding true-clss-clss-def
      by (metis Un-commute forgetNOT.hyps(2) in-clss-mset-clss insert-Diff insert-is-Un order-refl)
  }

```



```

      set-mset-minus-replicate-mset(1))
    ultimately have  $J \models_{sm} (\text{clauses}_{NOT} S)$  by (metis insert-Diff-single true-clss-insert)
  }
  then have  $H: I \models_{sext} \text{set-mset} (\text{clauses}_{NOT} S) - \{\text{mset-clss } C\} \implies I \models_{sextm} (\text{clauses}_{NOT} S)$ 
    unfolding true-clss-ext-def by blast
  show ?case using  $T$  by (auto simp: true-clss-ext-decrease-right-remove-r  $H$ )
qed

```

end — end of *conflict-driven-clause-learning-ops*

16.5 CDCL with invariant

```

locale conflict-driven-clause-learning =
  conflict-driven-clause-learning-ops +
  assumes  $\text{cdcl}_{NOT}\text{-inv}: \bigwedge S T. \text{cdcl}_{NOT} S T \implies \text{inv } S \implies \text{inv } T$ 
begin
sublocale dp11-with-backjumping
  apply unfold-locales
  using  $\text{cdcl}_{NOT}.\text{simps}$   $\text{cdcl}_{NOT}\text{-inv}$  by auto

lemma rtrancpl-cdclNOT-inv:
   $\text{cdcl}_{NOT}^{**} S T \implies \text{inv } S \implies \text{inv } T$ 
  by (induction rule: rtrancpl-induct) (auto simp add:  $\text{cdcl}_{NOT}\text{-inv}$ )

lemma rtrancpl-cdclNOT-no-dup:
  assumes  $\text{cdcl}_{NOT}^{**} S T$  and  $\text{inv } S$ 
  and no-dup (trail  $S$ )
  shows no-dup (trail  $T$ )
  using assms by (induction rule: rtrancpl-induct) (auto intro:  $\text{cdcl}_{NOT}\text{-no-dup}$  rtrancpl-cdclNOT-inv)

lemma rtrancpl-cdclNOT-trail-clauses-bound:
  assumes
     $\text{cdcl}: \text{cdcl}_{NOT}^{**} S T$  and
     $\text{inv}: \text{inv } S$  and
     $n\text{-d}: \text{no-dup} (\text{trail } S)$  and
     $\text{atms-clauses-}S: \text{atms-of-mm} (\text{clauses}_{NOT} S) \subseteq A$  and
     $\text{atms-trail-}S: \text{atm-of } (\text{lits-of-l } (\text{trail } S)) \subseteq A$ 
  shows  $\text{atm-of } (\text{lits-of-l } (\text{trail } T)) \subseteq A \wedge \text{atms-of-mm} (\text{clauses}_{NOT} T) \subseteq A$ 
  using  $\text{cdcl}$ 
proof (induction rule: rtrancpl-induct)
  case base
  then show ?case using  $\text{atms-clauses-}S$   $\text{atms-trail-}S$  by simp
next
  case (step  $T U$ ) note  $st = \text{this}(1)$  and  $\text{cdcl}_{NOT} = \text{this}(2)$  and  $IH = \text{this}(3)$ 
  have  $\text{inv } T$  using  $\text{inv } st$  rtrancpl-cdclNOT-inv by blast
  have no-dup (trail  $T$ )
    using rtrancpl-cdclNOT-no-dup[of  $S T$ ]  $st$   $\text{cdcl}_{NOT}$   $\text{inv } n\text{-d}$  by blast
  then have  $\text{atms-of-mm} (\text{clauses}_{NOT} U) \subseteq A$ 
    using  $\text{cdcl}_{NOT}\text{-atms-of-ms-clauses-decreasing}$ [OF  $\text{cdcl}_{NOT}$ ]  $IH$   $n\text{-d}$   $\langle \text{inv } T \rangle$  by fast
  moreover
    have  $\text{atm-of } (\text{lits-of-l } (\text{trail } U)) \subseteq A$ 
      using  $\text{cdcl}_{NOT}\text{-atms-in-trail-in-set}$ [OF  $\text{cdcl}_{NOT}$ , of  $A$ ]  $\langle \text{no-dup } (\text{trail } T) \rangle$ 
      by (meson  $\text{atms-trail-}S$   $\text{atms-clauses-}S$   $IH$   $\langle \text{inv } T \rangle$   $\text{cdcl}_{NOT}$ )
    ultimately show ?case by fast
qed

```

lemma *rtranclp-cdcl_{NOT}-all-decomposition-implies*:

assumes *cdcl_{NOT}** S T and inv S and no-dup (trail S) and*
all-decomposition-implies-m (clauses_{NOT} S) (get-all-marked-decomposition (trail S))
shows
all-decomposition-implies-m (clauses_{NOT} T) (get-all-marked-decomposition (trail T))
using *assms by (induction)*
(auto intro: rtranclp-cdcl_{NOT}-inv cdcl_{NOT}-all-decomposition-implies rtranclp-cdcl_{NOT}-no-dup)

lemma *rtranclp-cdcl_{NOT}-bj-sat-ext-iff*:

assumes *cdcl_{NOT}** S T and inv S and no-dup (trail S)*
shows *I|=sextm clauses_{NOT} S \longleftrightarrow I|=sextm clauses_{NOT} T*
using *assms apply (induction rule: rtranclp-induct)*
using *cdcl_{NOT}-bj-sat-ext-iff by (auto intro: rtranclp-cdcl_{NOT}-inv rtranclp-cdcl_{NOT}-no-dup)*

definition *cdcl_{NOT}-NOT-all-inv where*

cdcl_{NOT}-NOT-all-inv A S \longleftrightarrow (finite A \wedge inv S \wedge atms-of-mm (clauses_{NOT} S) \subseteq atms-of-ms A
 \wedge atm-of ' lits-of-l (trail S) \subseteq atms-of-ms A \wedge no-dup (trail S))

lemma *cdcl_{NOT}-NOT-all-inv*:

assumes *cdcl_{NOT}** S T and cdcl_{NOT}-NOT-all-inv A S*
shows *cdcl_{NOT}-NOT-all-inv A T*
using *assms unfolding cdcl_{NOT}-NOT-all-inv-def*
by *(simp add: rtranclp-cdcl_{NOT}-inv rtranclp-cdcl_{NOT}-no-dup rtranclp-cdcl_{NOT}-trail-clauses-bound)*

abbreviation *learn-or-forget where*

learn-or-forget S T \equiv learn S T \vee forget_{NOT} S T

lemma *rtranclp-learn-or-forget-cdcl_{NOT}*:

*learn-or-forget** S T \implies cdcl_{NOT}** S T*
using *rtranclp-mono[of learn-or-forget cdcl_{NOT}] by (blast intro: cdcl_{NOT}.c-learn cdcl_{NOT}.c-forget_{NOT})*

lemma *learn-or-forget-dpll- μ_C* :

assumes
*l-f: learn-or-forget** S T and*
dpll: dpll-bj T U and
inv: cdcl_{NOT}-NOT-all-inv A S
shows *(2+card (atms-of-ms A)) \wedge (1+card (atms-of-ms A))*
 $- \mu_C$ (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight U)
< (2+card (atms-of-ms A)) \wedge (1+card (atms-of-ms A))
 $- \mu_C$ (1+card (atms-of-ms A)) (2+card (atms-of-ms A)) (trail-weight S)
(is ? μ U < ? μ S)

proof –

have *? μ S = ? μ T*
using *l-f*
proof *(induction)*
case *base*
then show *?case by simp*
next
case *(step T U)*
moreover then have *no-dup (trail T)*
using *rtranclp-cdcl_{NOT}-no-dup[of S T] cdcl_{NOT}-NOT-all-inv-def inv*
rtranclp-learn-or-forget-cdcl_{NOT} by auto
ultimately show *?case*
using *forget- μ_C -stable learn- μ_C -stable inv unfolding cdcl_{NOT}-NOT-all-inv-def by presburger*
qed

```

moreover have  $cdcl_{NOT-NOT-all-inv} A T$ 
  using  $rtrancplp-learn-or-forget-cdcl_{NOT} \quad cdcl_{NOT-NOT-all-inv} \quad l-f \quad inv$  by  $blast$ 
ultimately show  $?thesis$ 
  using  $dpll-bj-trail-mes-decreasing-prop[of \ T \ U \ A, \ OF \ dpll] \quad finite$ 
  unfolding  $cdcl_{NOT-NOT-all-inv-def}$  by  $presburger$ 
qed

lemma  $infinite-cdcl_{NOT-exists-learn-and-forget-infinite-chain}$ :
assumes
   $\bigwedge i. \quad cdcl_{NOT} (f \ i) (f (Suc \ i))$  and
   $inv: \quad cdcl_{NOT-NOT-all-inv} A (f \ 0)$ 
shows  $\exists j. \forall i \geq j. \quad learn-or-forget (f \ i) (f (Suc \ i))$ 
using  $assms$ 
proof ( $induction \ (2 + card \ (atms-of-ms \ A)) \wedge (1 + card \ (atms-of-ms \ A))$ 
   $- \mu_C \ (1 + card \ (atms-of-ms \ A)) \ (2 + card \ (atms-of-ms \ A)) \ (trail-weight \ (f \ 0))$ 
   $arbitrary: f$ 
   $rule: nat-less-induct-case$ )
case  $(Suc \ n)$  note  $IH = this(1)$  and  $\mu = this(2)$  and  $cdcl_{NOT} = this(3)$  and  $inv = this(4)$ 
consider
   $(dpll-end) \exists j. \forall i \geq j. \quad learn-or-forget (f \ i) (f (Suc \ i))$ 
   $| \ (dpll-more) \neg(\exists j. \forall i \geq j. \quad learn-or-forget (f \ i) (f (Suc \ i)))$ 
by  $blast$ 
then show  $?case$ 
proof  $cases$ 
  case  $dpll-end$ 
  then show  $?thesis$  by  $auto$ 
next
  case  $dpll-more$ 
  then have  $j: \exists i. \neg \quad learn (f \ i) (f (Suc \ i)) \wedge \neg forget_{NOT} (f \ i) (f (Suc \ i))$ 
  by  $blast$ 
obtain  $i$  where
   $\neg learn (f \ i) (f (Suc \ i)) \wedge \neg forget_{NOT} (f \ i) (f (Suc \ i))$  and
   $\forall k < i. \quad learn-or-forget (f \ k) (f (Suc \ k))$ 
proof  $-$ 
  obtain  $i_0$  where  $\neg \quad learn (f \ i_0) (f (Suc \ i_0)) \wedge \neg forget_{NOT} (f \ i_0) (f (Suc \ i_0))$ 
  using  $j$  by  $auto$ 
  then have  $\{i. i \leq i_0 \wedge \neg \quad learn (f \ i) (f (Suc \ i)) \wedge \neg forget_{NOT} (f \ i) (f (Suc \ i))\} \neq \{\}$ 
  by  $auto$ 
  let  $?I = \{i. i \leq i_0 \wedge \neg \quad learn (f \ i) (f (Suc \ i)) \wedge \neg forget_{NOT} (f \ i) (f (Suc \ i))\}$ 
  let  $?i = Min \ ?I$ 
  have  $finite \ ?I$ 
  by  $auto$ 
  have  $\neg \quad learn (f \ ?i) (f (Suc \ ?i)) \wedge \neg forget_{NOT} (f \ ?i) (f (Suc \ ?i))$ 
  using  $Min-in[OF \ (finite \ ?I) \ ( ?I \neq \{\})]$  by  $auto$ 
  moreover have  $\forall k < ?i. \quad learn-or-forget (f \ k) (f (Suc \ k))$ 
  using  $Min.coboundedI[of \ \{i. i \leq i_0 \wedge \neg \quad learn (f \ i) (f (Suc \ i)) \wedge \neg forget_{NOT} (f \ i) (f (Suc \ i))\}, \ simplified]$ 
  by ( $meson \ (\neg \quad learn (f \ i_0) (f (Suc \ i_0)) \wedge \neg forget_{NOT} (f \ i_0) (f (Suc \ i_0))) \lessimp-le$ 
   $dual-order.trans \ not-le$ )
  ultimately show  $?thesis$  using  $that$  by  $blast$ 
qed
def  $g \equiv \lambda n. f \ (n + Suc \ i)$ 
have  $dpll-bj (f \ i) (g \ 0)$ 
  using  $(\neg \quad learn (f \ i) (f (Suc \ i)) \wedge \neg forget_{NOT} (f \ i) (f (Suc \ i))) \quad cdcl_{NOT} \quad cdcl_{NOT}.cases$ 
   $g-def$  by  $auto$ 

```

```

{
  fix j
  assume  $j \leq i$ 
  then have learn-or-forget** (f 0) (f j)
    apply (induction j)
    apply simp
    by (metis (no-types, lifting) Suc-leD Suc-le-lessD rtranclp.simps
       $\langle \forall k < i. \text{learn } (f k) (f (Suc k)) \vee \text{forget}_{NOT} (f k) (f (Suc k)) \rangle$ )
}
then have learn-or-forget** (f 0) (f i) by blast
then have  $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$ 
  -  $\mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } (g 0))$ 
  <  $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$ 
  -  $\mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } (f 0))$ 
using learn-or-forget-dpll- $\mu_C[\text{of } f 0 f i g 0 A]$  inv  $\langle \text{dpll-bj } (f i) (g 0) \rangle$ 
unfolding cdclNOT-NOT-all-inv-def by linarith

moreover have cdclNOT-i: cdclNOT** (f 0) (g 0)
  using rtranclp-learn-or-forget-cdclNOT[of f 0 f i]  $\langle \text{learn-or-forget** } (f 0) (f i) \rangle$ 
  cdclNOT[of i] unfolding g-def by auto
moreover have  $\bigwedge i. \text{cdcl}_{NOT} (g i) (g (Suc i))$ 
  using cdclNOT g-def by auto
moreover have cdclNOT-NOT-all-inv A (g 0)
  using inv cdclNOT-i rtranclp-cdclNOT-trail-clauses-bound g-def cdclNOT-NOT-all-inv by auto
ultimately obtain j where  $j: \bigwedge i. i \geq j \implies \text{learn-or-forget } (g i) (g (Suc i))$ 
  using IH unfolding  $\mu[\text{symmetric}]$  by presburger
show ?thesis
proof
  {
    fix k
    assume  $k \geq j + \text{Suc } i$ 
    then have learn-or-forget (f k) (f (Suc k))
      using j[of k-Suc i] unfolding g-def by auto
  }
  then show  $\forall k \geq j + \text{Suc } i. \text{learn-or-forget } (f k) (f (Suc k))$ 
    by auto
qed
qed
next
case 0 note H = this(1) and cdclNOT = this(2) and inv = this(3)
show ?case
proof (rule ccontr)
  assume  $\neg ?case$ 
  then have  $j: \exists i. \neg \text{learn } (f i) (f (Suc i)) \wedge \neg \text{forget}_{NOT} (f i) (f (Suc i))$ 
    by blast
  obtain i where
     $\neg \text{learn } (f i) (f (Suc i)) \wedge \neg \text{forget}_{NOT} (f i) (f (Suc i))$  and
     $\forall k < i. \text{learn-or-forget } (f k) (f (Suc k))$ 
  proof -
    obtain  $i_0$  where  $\neg \text{learn } (f i_0) (f (Suc i_0)) \wedge \neg \text{forget}_{NOT} (f i_0) (f (Suc i_0))$ 
      using j by auto
    then have  $\{i. i \leq i_0 \wedge \neg \text{learn } (f i) (f (Suc i)) \wedge \neg \text{forget}_{NOT} (f i) (f (Suc i))\} \neq \{\}$ 
      by auto
    let ?I =  $\{i. i \leq i_0 \wedge \neg \text{learn } (f i) (f (Suc i)) \wedge \neg \text{forget}_{NOT} (f i) (f (Suc i))\}$ 
    let ?i = Min ?I
  end

```

```

have finite ?I
  by auto
have  $\neg \text{learn } (f \text{ ?}i) (f (\text{Suc } ?i)) \wedge \neg \text{forget}_{NOT} (f \text{ ?}i) (f (\text{Suc } ?i))$ 
  using Min-in[OF  $\langle \text{finite } ?I \rangle \langle ?I \neq \{\} \rangle$ ] by auto
moreover have  $\forall k < ?i. \text{learn-or-forget } (f k) (f (\text{Suc } k))$ 
  using Min.coboundedI[of  $\{i. i \leq i_0 \wedge \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i))\}$ , simplified]
  by (meson  $\langle \neg \text{learn } (f i_0) (f (\text{Suc } i_0)) \wedge \neg \text{forget}_{NOT} (f i_0) (f (\text{Suc } i_0)) \rangle$  less-imp-le
    dual-order.trans not-le)
ultimately show ?thesis using that by blast
qed
have dpll-bj  $(f i) (f (\text{Suc } i))$ 
  using  $\langle \neg \text{learn } (f i) (f (\text{Suc } i)) \wedge \neg \text{forget}_{NOT} (f i) (f (\text{Suc } i)) \rangle$  cdclNOT cdclNOT.cases
  by blast
{
  fix j
  assume  $j \leq i$ 
  then have learn-or-forget**  $(f 0) (f j)$ 
    apply (induction j)
    apply simp
    by (metis (no-types, lifting) Suc-leD Suc-le-lessD rtranclp.simps
       $\langle \forall k < i. \text{learn } (f k) (f (\text{Suc } k)) \vee \text{forget}_{NOT} (f k) (f (\text{Suc } k)) \rangle$ )
  }
then have learn-or-forget**  $(f 0) (f i)$  by blast

then show False
  using learn-or-forget-dpll- $\mu_C$ [of  $f 0 f i f (\text{Suc } i) A$ ] inv 0
   $\langle \text{dpll-bj } (f i) (f (\text{Suc } i)) \rangle$  unfolding cdclNOT-NOT-all-inv-def by linarith
qed
qed

lemma wf-cdclNOT-no-learn-and-forget-infinite-chain:
  assumes
    no-infinite-lf:  $\bigwedge f j. \neg (\forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i)))$ 
  shows wf  $\{(T, S). \text{cdcl}_{NOT} S T \wedge \text{cdcl}_{NOT}\text{-NOT-all-inv } A S\}$  (is wf  $\{(T, S). \text{cdcl}_{NOT} S T \wedge ?\text{inv } S\}$ )
  unfolding wf-iff-no-infinite-down-chain
proof (rule ccontr)
  assume  $\neg \neg (\exists f. \forall i. (f (\text{Suc } i), f i) \in \{(T, S). \text{cdcl}_{NOT} S T \wedge ?\text{inv } S\})$ 
  then obtain f where
     $\forall i. \text{cdcl}_{NOT} (f i) (f (\text{Suc } i)) \wedge ?\text{inv } (f i)$ 
  by fast
  then have  $\exists j. \forall i \geq j. \text{learn-or-forget } (f i) (f (\text{Suc } i))$ 
    using infinite-cdclNOT-exists-learn-and-forget-infinite-chain[of f] by meson
  then show False using no-infinite-lf by blast
qed

lemma inv-and-tranclp-cdclNOT-tranclp-cdclNOT-and-inv:
   $\text{cdcl}_{NOT}^{++} S T \wedge \text{cdcl}_{NOT}\text{-NOT-all-inv } A S \longleftrightarrow (\lambda S T. \text{cdcl}_{NOT} S T \wedge \text{cdcl}_{NOT}\text{-NOT-all-inv } A S)^{++} S T$ 
  (is  $?A \wedge ?I \longleftrightarrow ?B$ )
proof
  assume  $?A \wedge ?I$ 
  then have  $?A$  and  $?I$  by blast+
  then show  $?B$ 

```

apply induction
 apply (simp add: tranclp.r-into-trancl)
 by (subst tranclp.simps) (auto intro: cdcl_{NOT}-NOT-all-inv tranclp-into-rtranclp)
 next
 assume ?B
 then have ?A by induction auto
 moreover have ?I using ⟨?B⟩ tranclpD by fastforce
 ultimately show ?A ∧ ?I by blast
 qed

lemma wf-tranclp-cdcl_{NOT}-no-learn-and-forget-infinite-chain:
 assumes
 no-infinite-lf: $\bigwedge f j. \neg (\forall i \geq j. \text{learn-or-forget } (f \ i) \ (f \ (\text{Suc } i)))$
 shows wf $\{(T, S). \text{cdcl}_{\text{NOT}}^{++} S \ T \wedge \text{cdcl}_{\text{NOT}}\text{-NOT-all-inv } A \ S\}$
 using wf-trancl[OF wf-cdcl_{NOT}-no-learn-and-forget-infinite-chain[OF no-infinite-lf]]
 apply (rule wf-subset)
 by (auto simp: trancl-set-tranclp inv-and-tranclp-cdcl_{NOT}-tranclp-cdcl_{NOT}-and-inv)

lemma cdcl_{NOT}-final-state:
 assumes
 n-s: no-step cdcl_{NOT} S and
 inv: cdcl_{NOT}-NOT-all-inv A S and
 decomp: all-decomposition-implies-m (clauses_{NOT} S) (get-all-marked-decomposition (trail S))
 shows unsatisfiable (set-mset (clauses_{NOT} S))
 $\vee (\text{trail } S \models_{\text{asm}} \text{clauses}_{\text{NOT}} S \wedge \text{satisfiable } (\text{set-mset } (\text{clauses}_{\text{NOT}} S)))$
 proof –
 have n-s': no-step dpll-bj S
 using n-s by (auto simp: cdcl_{NOT}.simps)
 show ?thesis
 apply (rule dpll-backjump-final-state[of S A])
 using inv decomp n-s' unfolding cdcl_{NOT}-NOT-all-inv-def by auto
 qed

lemma full-cdcl_{NOT}-final-state:
 assumes
 full: full cdcl_{NOT} S T and
 inv: cdcl_{NOT}-NOT-all-inv A S and
 n-d: no-dup (trail S) and
 decomp: all-decomposition-implies-m (clauses_{NOT} S) (get-all-marked-decomposition (trail S))
 shows unsatisfiable (set-mset (clauses_{NOT} T))
 $\vee (\text{trail } T \models_{\text{asm}} \text{clauses}_{\text{NOT}} T \wedge \text{satisfiable } (\text{set-mset } (\text{clauses}_{\text{NOT}} T)))$
 proof –
 have st: cdcl_{NOT}** S T and n-s: no-step cdcl_{NOT} T
 using full unfolding full-def by blast+
 have n-s': cdcl_{NOT}-NOT-all-inv A T
 using cdcl_{NOT}-NOT-all-inv inv st by blast
 moreover have all-decomposition-implies-m (clauses_{NOT} T) (get-all-marked-decomposition (trail T))
 using cdcl_{NOT}-NOT-all-inv-def decomp inv rtranclp-cdcl_{NOT}-all-decomposition-implies st by auto
 ultimately show ?thesis
 using cdcl_{NOT}-final-state n-s by blast
 qed

end — end of conflict-driven-clause-learning

16.6 Termination

16.6.1 Restricting learn and forget

locale *conflict-driven-clause-learning-learning-before-backjump-only-distinct-learnt* =
dpll-state mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT} +
conflict-driven-clause-learning mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT}
inv backjump-conds propagate-conds
 $\lambda C S. \text{distinct-mset } (mset\text{-cls } C) \wedge \neg \text{tautology } (mset\text{-cls } C) \wedge \text{learn-restrictions } C S \wedge$
 $(\exists F K d F' C' L. \text{trail } S = F' @ \text{Marked } K () \# F \wedge mset\text{-cls } C = C' + \{\#L\# \} \wedge F \models_{as} CNot$
 C'
 $\wedge C' + \{\#L\# \} \notin \# \text{clauses}_{NOT} S)$
 $\lambda C S. \neg (\exists F' F K d L. \text{trail } S = F' @ \text{Marked } K () \# F \wedge F \models_{as} CNot (\text{remove1-mset } L (mset\text{-cls } C)))$
 $\wedge \text{forget-restrictions } C S$
for
mset-cls :: *'cls* \Rightarrow *'v clause* **and**
insert-cls :: *'v literal* \Rightarrow *'cls* \Rightarrow *'cls* **and**
remove-lit :: *'v literal* \Rightarrow *'cls* \Rightarrow *'cls* **and**
mset-clss :: *'clss* \Rightarrow *'v clauses* **and**
union-clss :: *'clss* \Rightarrow *'clss* \Rightarrow *'clss* **and**
in-clss :: *'cls* \Rightarrow *'clss* \Rightarrow *bool* **and**
insert-clss :: *'cls* \Rightarrow *'clss* \Rightarrow *'clss* **and**
remove-from-clss :: *'cls* \Rightarrow *'clss* \Rightarrow *'clss* **and**
trail :: *'st* \Rightarrow (*'v, unit, unit*) *marked-lits* **and**
raw-clauses :: *'st* \Rightarrow *'clss* **and**
prepend-trail :: (*'v, unit, unit*) *marked-lit* \Rightarrow *'st* \Rightarrow *'st* **and**
tl-trail :: *'st* \Rightarrow *'st* **and**
add-cls_{NOT} :: *'cls* \Rightarrow *'st* \Rightarrow *'st* **and**
remove-cls_{NOT} :: *'cls* \Rightarrow *'st* \Rightarrow *'st* **and**
inv :: *'st* \Rightarrow *bool* **and**
backjump-conds :: *'v clause* \Rightarrow *'v clause* \Rightarrow *'v literal* \Rightarrow *'st* \Rightarrow *'st* \Rightarrow *bool* **and**
propagate-conds :: (*'v, unit, unit*) *marked-lit* \Rightarrow *'st* \Rightarrow *bool* **and**
learn-restrictions forget-restrictions :: *'cls* \Rightarrow *'st* \Rightarrow *bool*

begin

lemma *cdcl_{NOT}-learn-all-induct*[*consumes 1, case-names dpll-bj learn forget_{NOT}*]:

fixes *S T* :: *'st*

assumes *cdcl_{NOT} S T* **and**

dpll: $\bigwedge T. \text{dpll-bj } S T \Rightarrow P S T$ **and**

learning:

$\bigwedge C F K F' C' L T. \text{clauses}_{NOT} S \models_{pm} mset\text{-cls } C \Rightarrow$
 $\text{atms-of } (mset\text{-cls } C) \subseteq \text{atms-of-mm } (\text{clauses}_{NOT} S) \cup \text{atm-of ' (lits-of-l (trail } S)) \Rightarrow$
 $\text{distinct-mset } (mset\text{-cls } C) \Rightarrow$
 $\neg \text{tautology } (mset\text{-cls } C) \Rightarrow$
 $\text{learn-restrictions } C S \Rightarrow$
 $\text{trail } S = F' @ \text{Marked } K () \# F \Rightarrow$
 $mset\text{-cls } C = C' + \{\#L\# \} \Rightarrow$
 $F \models_{as} CNot C' \Rightarrow$
 $C' + \{\#L\# \} \notin \# \text{clauses}_{NOT} S \Rightarrow$
 $T \sim \text{add-cls}_{NOT} C S \Rightarrow$
 $P S T$ **and**

forgetting: $\bigwedge C T. \text{removeAll-mset } (\text{mset-cls } C) (\text{clauses}_{NOT} S) \models_{pm} \text{mset-cls } C \implies$
 $C \notin \text{raw-clauses } S \implies$
 $\neg(\exists F' F K L. \text{trail } S = F' @ \text{Marked } K () \# F \wedge F \models_{as} CNot (\text{mset-cls } C - \{\#L\# \})) \implies$
 $T \sim \text{remove-cl}_{NOT} C S \implies$
forget-restrictions $C S \implies$
 $P S T$
shows $P S T$
using *assms*(1)
apply (*induction rule*: *cdcl_{NOT}.induct*)
apply (*auto dest*: *assms*(2) *simp add*: *learn-ops-axioms*)[]
apply (*auto elim*!: *learn-ops.learn.cases*[*OF learn-ops-axioms*] *dest*: *assms*(3))[]
apply (*auto elim*!: *forget-ops.forget_{NOT}.cases*[*OF forget-ops-axioms*] *dest*!: *assms*(4))
done

lemma *rtranclp-cdcl_{NOT}-inv*:
 $\text{cdcl}_{NOT}^{**} S T \implies \text{inv } S \implies \text{inv } T$
apply (*induction rule*: *rtranclp-induct*)
apply *simp*
using *cdcl_{NOT}-inv unfolding conflict-driven-clause-learning-def*
conflict-driven-clause-learning-axioms-def **by** *blast*

lemma *learn-always-simple-clauses*:
assumes
 $\text{learn: learn } S T$ **and**
 $n\text{-d: no-dup } (\text{trail } S)$
shows $\text{set-mset } (\text{clauses}_{NOT} T - \text{clauses}_{NOT} S)$
 $\subseteq \text{simple-clss } (\text{atms-of-mm } (\text{clauses}_{NOT} S) \cup \text{atm-of 'lits-of-l } (\text{trail } S))$
proof
fix C **assume** $C: C \in \text{set-mset } (\text{clauses}_{NOT} T - \text{clauses}_{NOT} S)$
have $\text{distinct-mset } C \neg \text{tautology } C$ **using** $\text{learn } C n\text{-d}$ **by** (*elim learn_{NOT}E*; *auto*)+
then have $C \in \text{simple-clss } (\text{atms-of } C)$
using *distinct-mset-not-tautology-implies-in-simple-clss* **by** *blast*
moreover have $\text{atms-of } C \subseteq \text{atms-of-mm } (\text{clauses}_{NOT} S) \cup \text{atm-of 'lits-of-l } (\text{trail } S)$
using $\text{learn } C n\text{-d}$ **by** (*elim learn_{NOT}E*) (*auto simp: atms-of-ms-def atms-of-def image-Un*
 $\text{true-annots-CNot-all-atms-defined}$)
moreover have $\text{finite } (\text{atms-of-mm } (\text{clauses}_{NOT} S) \cup \text{atm-of 'lits-of-l } (\text{trail } S))$
by *auto*
ultimately show $C \in \text{simple-clss } (\text{atms-of-mm } (\text{clauses}_{NOT} S) \cup \text{atm-of 'lits-of-l } (\text{trail } S))$
using *simple-clss-mono* **by** (*metis (no-types) insert-subset mk-disjoint-insert*)
qed

definition *conflicting-bj-clss* $S \equiv$
 $\{C + \{\#L\# \} \mid C L. C + \{\#L\# \} \in \# \text{clauses}_{NOT} S \wedge \text{distinct-mset } (C + \{\#L\# \})$
 $\wedge \neg \text{tautology } (C + \{\#L\# \})$
 $\wedge (\exists F' K F. \text{trail } S = F' @ \text{Marked } K () \# F \wedge F \models_{as} CNot C)\}$

lemma *conflicting-bj-clss-remove-cl_{NOT}[simp]*:
 $\text{conflicting-bj-clss } (\text{remove-cl}_{NOT} C S) = \text{conflicting-bj-clss } S - \{\text{mset-cls } C\}$
unfolding *conflicting-bj-clss-def* **by** *fastforce*

lemma *conflicting-bj-clss-remove-cl_{NOT}'[simp]*:
 $T \sim \text{remove-cl}_{NOT} C S \implies \text{conflicting-bj-clss } T = \text{conflicting-bj-clss } S - \{\text{mset-cls } C\}$
unfolding *conflicting-bj-clss-def* **by** *fastforce*

lemma *conflicting-bj-clss-add-cl_{NOT}-state-eq*:

assumes

$T: T \sim \text{add-cl}_{\text{NOT}} C' S$ **and**

$n\text{-d}: \text{no-dup } (\text{trail } S)$

shows $\text{conflicting-bj-clss } T$

$= \text{conflicting-bj-clss } S$

$\cup (\text{if } \exists C L. \text{mset-cl } C' = C + \{\#L\} \wedge \text{distinct-mset } (C + \{\#L\}) \wedge \neg \text{tautology } (C + \{\#L\})$

$\wedge (\exists F' K d F. \text{trail } S = F' @ \text{Marked } K () \# F \wedge F \models_{\text{as}} \text{CNot } C)$

$\text{then } \{\text{mset-cl } C'\} \text{ else } \{\})$

proof –

def $P \equiv \lambda C L T. \text{distinct-mset } (C + \{\#L\}) \wedge \neg \text{tautology } (C + \{\#L\}) \wedge$

$(\exists F' K F. \text{trail } T = F' @ \text{Marked } K () \# F \wedge F \models_{\text{as}} \text{CNot } C)$

have $\text{conf}: \bigwedge T. \text{conflicting-bj-clss } T = \{C + \{\#L\} \mid C L. C + \{\#L\} \in \# \text{clauses}_{\text{NOT}} T \wedge P C L T\}$

unfolding $\text{conflicting-bj-clss-def } P\text{-def}$ **by** *auto*

have $P\text{-}S\text{-}T: \bigwedge C L. P C L T = P C L S$

using T $n\text{-d}$ **unfolding** $P\text{-def}$ **by** *auto*

have $P: \text{conflicting-bj-clss } T = \{C + \{\#L\} \mid C L. C + \{\#L\} \in \# \text{clauses}_{\text{NOT}} S \wedge P C L T\} \cup$
 $\{C + \{\#L\} \mid C L. C + \{\#L\} \in \# \{\text{mset-cl } C'\} \wedge P C L T\}$

using T $n\text{-d}$ **unfolding** conf **by** *auto*

moreover **have** $\{C + \{\#L\} \mid C L. C + \{\#L\} \in \# \text{clauses}_{\text{NOT}} S \wedge P C L T\} = \text{conflicting-bj-clss } S$

using T $n\text{-d}$ **unfolding** $P\text{-def}$ $\text{conflicting-bj-clss-def}$ **by** *auto*

moreover **have** $\{C + \{\#L\} \mid C L. C + \{\#L\} \in \# \{\text{mset-cl } C'\} \wedge P C L T\} =$

$(\text{if } \exists C L. \text{mset-cl } C' = C + \{\#L\} \wedge P C L S \text{ then } \{\text{mset-cl } C'\} \text{ else } \{\})$

using $n\text{-d } T$ **by** (*force simp: P-S-T*)

ultimately show *?thesis* **unfolding** $P\text{-def}$ **by** *presburger*

qed

lemma $\text{conflicting-bj-clss-add-cl}_{\text{NOT}}:$

$\text{no-dup } (\text{trail } S) \implies$

$\text{conflicting-bj-clss } (\text{add-cl}_{\text{NOT}} C' S)$

$= \text{conflicting-bj-clss } S$

$\cup (\text{if } \exists C L. \text{mset-cl } C' = C + \{\#L\} \wedge \text{distinct-mset } (C + \{\#L\}) \wedge \neg \text{tautology } (C + \{\#L\})$

$\wedge (\exists F' K d F. \text{trail } S = F' @ \text{Marked } K () \# F \wedge F \models_{\text{as}} \text{CNot } C)$

$\text{then } \{\text{mset-cl } C'\} \text{ else } \{\})$

using $\text{conflicting-bj-clss-add-cl}_{\text{NOT}}\text{-state-eq}$ **by** *auto*

lemma $\text{conflicting-bj-clss-incl-clauses}:$

$\text{conflicting-bj-clss } S \subseteq \text{set-mset } (\text{clauses}_{\text{NOT}} S)$

unfolding $\text{conflicting-bj-clss-def}$ **by** *auto*

lemma $\text{finite-conflicting-bj-clss}[simp]:$

$\text{finite } (\text{conflicting-bj-clss } S)$

using $\text{conflicting-bj-clss-incl-clauses}[of S]$ rev-finite-subset **by** *blast*

lemma $\text{learn-conflicting-increasing}:$

$\text{no-dup } (\text{trail } S) \implies \text{learn } S T \implies \text{conflicting-bj-clss } S \subseteq \text{conflicting-bj-clss } T$

apply (*elim learn_{NOT}E*)

by (*subst conflicting-bj-clss-add-cl_{NOT}-state-eq[of T]*) *auto*

abbreviation $\text{conflicting-bj-clss-yet } b S \equiv$

$3 \wedge b - \text{card } (\text{conflicting-bj-clss } S)$

abbreviation $\mu_L :: \text{nat} \Rightarrow 'st \Rightarrow \text{nat} \times \text{nat}$ **where**

$\mu_L b S \equiv (\text{conflicting-bj-clss-yet } b S, \text{card } (\text{set-mset } (\text{clauses}_{\text{NOT}} S)))$

lemma *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched*:

assumes *forget_{NOT} S T*
shows *conflicting-bj-clss S = conflicting-bj-clss T*
using *assms apply (elim forget_{NOT}E)*
apply *auto*
unfolding *conflicting-bj-clss-def*
apply *clarify*
using *diff-union-cancelR by (metis diff-union-cancelR)*

lemma *forget- μ_L -decrease*:

assumes *forget_{NOT}: forget_{NOT} S T*
shows $(\mu_L \ b \ T, \mu_L \ b \ S) \in \text{less-than} \ <*\text{lex}*\> \text{less-than}$

proof –

have *card (set-mset (clauses_{NOT} S)) > 0*
using *forget_{NOT} by (elim forget_{NOT}E) (auto simp: size-mset-removeAll-mset-le-iff card-gt-0-iff)*
then have *card (set-mset (clauses_{NOT} T)) < card (set-mset (clauses_{NOT} S))*
using *forget_{NOT} by (elim forget_{NOT}E) (auto simp: size-mset-removeAll-mset-le-iff)*
then show *?thesis*
unfolding *do-not-forget-before-backtrack-rule-clause-learned-clause-untouched[OF forget_{NOT}]*
by *auto*

qed

lemma *set-condition-or-split*:

$\{a. (a = b \vee Q \ a) \wedge S \ a\} = (\text{if } S \ b \text{ then } \{b\} \text{ else } \{\}) \cup \{a. Q \ a \wedge S \ a\}$
by *auto*

lemma *set-insert-neq*:

$A \neq \text{insert } a \ A \longleftrightarrow a \notin A$
by *auto*

lemma *learn- μ_L -decrease*:

assumes *learnST: learn S T and n-d: no-dup (trail S) and*
A: atms-of-mm (clauses_{NOT} S) \cup atm-of ‘ lits-of-l (trail S) \subseteq A and
fin-A: finite A
shows $(\mu_L \ (\text{card } A) \ T, \mu_L \ (\text{card } A) \ S) \in \text{less-than} \ <*\text{lex}*\> \text{less-than}$

proof –

have *[simp]: (atms-of-mm (clauses_{NOT} T) \cup atm-of ‘ lits-of-l (trail T))*
 $= (\text{atms-of-mm (clauses_{NOT} S) \cup atm-of ‘ lits-of-l (trail S)})$
using *learnST n-d by (elim learn_{NOT}E) auto*

then have *card (atms-of-mm (clauses_{NOT} T) \cup atm-of ‘ lits-of-l (trail T))*
 $= \text{card (atms-of-mm (clauses_{NOT} S) \cup atm-of ‘ lits-of-l (trail S))}$
by *(auto intro!: card-mono)*

then have $3: (3::\text{nat}) \wedge \text{card (atms-of-mm (clauses_{NOT} T) \cup atm-of ‘ lits-of-l (trail T))}$
 $= 3 \wedge \text{card (atms-of-mm (clauses_{NOT} S) \cup atm-of ‘ lits-of-l (trail S))}$
by *(auto intro: power-mono)*

moreover have *conflicting-bj-clss S \subseteq conflicting-bj-clss T*
using *learnST n-d by (simp add: learn-conflicting-increasing)*

moreover have *conflicting-bj-clss S \neq conflicting-bj-clss T*
using *learnST*

proof *(elim learn_{NOT}E, goal-cases)*

case *(1 C) note* *clss-S = this(1) and atms-C = this(2) and inv = this(3) and T = this(4)*
then obtain *F K F' C' L where*

tr-S: trail S = F' @ Marked K () # F and

C : *mset-cl*s $C = C' + \{\#L\# \}$ **and**
 F : $F \models_{as} C \text{Not } C'$ **and**
 $C-S$: $C' + \{\#L\# \} \notin \# \text{ clauses}_{NOT} S$
by *blast*
moreover have *distinct-mset* (*mset-cl*s C) \neg *tautology* (*mset-cl*s C) **using** *inv* **by** *blast*+
ultimately have $C' + \{\#L\# \} \in \text{conflicting-bj-clss } T$
using T *n-d unfolding* *conflicting-bj-clss-def* **by** *fastforce*
moreover have $C' + \{\#L\# \} \notin \text{conflicting-bj-clss } S$
using $C-S$ *unfolding* *conflicting-bj-clss-def* **by** *auto*
ultimately show *?case* **by** *blast*
qed
moreover have *fin-T*: *finite* (*conflicting-bj-clss* T)
using *learnST* **by** *induction* (*auto simp add: conflicting-bj-clss-add-clss_{NOT}*)
ultimately have *card* (*conflicting-bj-clss* T) \geq *card* (*conflicting-bj-clss* S)
using *card-mono* **by** *blast*

moreover
have *fin'*: *finite* (*atms-of-mm* (*clauses_{NOT}* T) \cup *atm-of* ' *lits-of-l* (*trail* T))
by *auto*
have 1 : *atms-of-ms* (*conflicting-bj-clss* T) \subseteq *atms-of-mm* (*clauses_{NOT}* T)
unfolding *conflicting-bj-clss-def* *atms-of-ms-def* **by** *auto*
have 2 : $\bigwedge x. x \in \text{conflicting-bj-clss } T \implies \neg \text{tautology } x \wedge \text{distinct-mset } x$
unfolding *conflicting-bj-clss-def* **by** *auto*
have T : *conflicting-bj-clss* T
 \subseteq *simple-clss* (*atms-of-mm* (*clauses_{NOT}* T) \cup *atm-of* ' *lits-of-l* (*trail* T))
by *standard* (*meson* $1 \ 2 \ fin'$ ' *finite* (*conflicting-bj-clss* T) ' *simple-clss-mono*
distinct-mset-set-def *simplified-in-simple-clss* *subsetCE* *sup.coboundedI1*)
moreover
then have $\#$: $3 \wedge \text{card} (\text{atms-of-mm} (\text{clauses}_{NOT} T) \cup \text{atm-of ' lits-of-l (trail } T))$
 $\geq \text{card} (\text{conflicting-bj-clss } T)$
by (*meson* *Nat.le-trans* *simple-clss-card* *simple-clss-finite* *card-mono* *fin'*)
have *atms-of-mm* (*clauses_{NOT}* T) \cup *atm-of* ' *lits-of-l* (*trail* T) $\subseteq A$
using *learn_{NOT}E*[*OF* *learnST*] A **by** *simp*
then have $3 \wedge (\text{card } A) \geq \text{card} (\text{conflicting-bj-clss } T)$
using $\# \ fin-A$ **by** (*meson* *simple-clss-card* *simple-clss-finite*
simple-clss-mono *calculation*(2) *card-mono* *dual-order.trans*)
ultimately show *?thesis*
using *psubset-card-mono*[*OF* *fin-T*]
unfolding *less-than-iff* *lex-prod-def* **by** *clarify*
(*meson* ' *conflicting-bj-clss* $S \neq \text{conflicting-bj-clss } T$ '
' *conflicting-bj-clss* $S \subseteq \text{conflicting-bj-clss } T$ '
diff-less-mono2 *le-less-trans* *not-le* *psubsetI*)
qed

We have to assume the following:

- *inv* S : the invariant holds in the initial state.
- A is a (*finite* *finite* A) superset of the literals in the trail *atm-of* ' *lits-of-l* (*trail* S) \subseteq *atms-of-ms* A and in the clauses *atms-of-mm* (*clauses_{NOT}* S) \subseteq *atms-of-ms* A . This can be the set of all the literals in the starting set of clauses.
- *no-dup* (*trail* S): no duplicate in the trail. This is invariant along the path.

definition μ_{CDCL} **where**

$\mu_{CDCL} A T \equiv ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
 $\quad - \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } T),$
 $\quad \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T, \text{card } (\text{set-mset } (\text{clauses}_{NOT} T)))$

lemma *cdcl_{NOT}-decreasing-measure*:

assumes

cdcl_{NOT} S T **and**

inv: inv S **and**

atm-clss: atms-of-mm (clauses_{NOT} S) \subseteq atms-of-ms A **and**

atm-lits: atm-of ' lits-of-l (trail S) \subseteq atms-of-ms A **and**

n-d: no-dup (trail S) **and**

fin-A: finite A

shows $(\mu_{CDCL} A T, \mu_{CDCL} A S)$

$\in \text{less-than } <*lex*> (\text{less-than } <*lex*> \text{less-than})$

using *assms(1)*

proof *induction*

case *(c-dpll-bj T)*

from *dpll-bj-trail-mes-decreasing-prop*[*OF this(1) inv atm-clss atm-lits n-d fin-A*]

show *?case unfolding μ_{CDCL} -def*

by *(meson in-lex-prod less-than-iff)*

next

case *(c-learn T)* **note** *learn = this(1)*

then have *S: trail S = trail T*

using *inv atm-clss atm-lits n-d fin-A*

by *(elim learn_{NOT}E) auto*

show *?case*

using *learn- μ_L -decrease*[*OF learn n-d, of atms-of-ms A*] *atm-clss atm-lits fin-A n-d*

unfolding *S μ_{CDCL} -def* **by** *auto*

next

case *(c-forget_{NOT} T)* **note** *forget_{NOT} = this(1)*

have *trail S = trail T* **using** *forget_{NOT}* **by** *induction auto*

then show *?case*

using *forget- μ_L -decrease*[*OF forget_{NOT}*] **unfolding** *μ_{CDCL} -def* **by** *auto*

qed

lemma *wf-cdcl_{NOT}-restricted-learning*:

assumes *finite A*

shows *wf {(T, S).*

(atms-of-mm (clauses_{NOT} S) \subseteq atms-of-ms A \wedge atm-of ' lits-of-l (trail S) \subseteq atms-of-ms A

\wedge no-dup (trail S)

\wedge inv S)

\wedge cdcl_{NOT} S T }

by *(rule wf-wf-if-measure'[of less-than <*lex*> (less-than <*lex*> less-than)])*

(auto intro: cdcl_{NOT}-decreasing-measure[OF - - - - assms])

definition $\mu_C' :: 'v \text{ literal multiset set} \Rightarrow 'st \Rightarrow \text{nat}$ **where**

$\mu_C' A T \equiv \mu_C (1 + \text{card } (\text{atms-of-ms } A)) (2 + \text{card } (\text{atms-of-ms } A)) (\text{trail-weight } T)$

definition $\mu_{CDCL}' :: 'v \text{ literal multiset set} \Rightarrow 'st \Rightarrow \text{nat}$ **where**

$\mu_{CDCL}' A T \equiv$

$((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T) * (1 + 3^{\text{card } (\text{atms-of-ms } A)}) * 2$

$+ \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T * 2$

$+ \text{card } (\text{set-mset } (\text{clauses}_{NOT} T))$

lemma *cdcl_{NOT}-decreasing-measure'*:

assumes
cdcl_{NOT} S T **and**
inv: inv S **and**
atms-clss: atms-of-mm (clauses_{NOT} S) ⊆ atms-of-ms A **and**
atms-trail: atm-of ' lits-of-l (trail S) ⊆ atms-of-ms A **and**
n-d: no-dup (trail S) **and**
fin-A: finite A
shows $\mu_{CDCL}' A T < \mu_{CDCL}' A S$
using *assms(1)*
proof (*induction rule: cdcl_{NOT}-learn-all-induct*)
case (*dpll-bj T*)
then have $(2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T$
 $< (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A S$
using *dpll-bj-trail-mes-decreasing-prop fin-A inv n-d atms-clss atms-trail*
unfolding μ_C' -*def* **by** *blast*
then have *XX*: $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T) + 1$
 $\leq (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A S$
by *auto*
from *mult-le-mono1[OF this, of (1 + 3 ^ card (atms-of-ms A))]*
have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T) *$
 $(1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) + (1 + 3 \wedge \text{card } (\text{atms-of-ms } A))$
 $\leq ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A S)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A))$
unfolding *Nat.add-mult-distrib*
by *presburger*
moreover
have *cl-T-S*: $\text{clauses}_{NOT} T = \text{clauses}_{NOT} S$
using *dpll-bj.hyps inv dpll-bj-clauses* **by** *auto*
have *conflicting-bj-clss-yet* $(\text{card } (\text{atms-of-ms } A)) S < 1 + 3 \wedge \text{card } (\text{atms-of-ms } A)$
by *simp*
ultimately have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) + \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T$
 $< ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A S) * (1 + 3 \wedge \text{card } (\text{atms-of-ms } A))$
by *linarith*
then have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A))$
 $+ \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T$
 $< ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A S)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A))$
 $+ \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) S$
by *linarith*
then have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A T)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
 $+ \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) T * 2$
 $< ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A S)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
 $+ \text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) S * 2$
by *linarith*
then show *?case* **unfolding** μ_{CDCL}' -*def cl-T-S* **by** *presburger*
next
case (*learn C F' K F C' L T*) **note** *clss-S-C = this(1)* **and** *atms-C = this(2)* **and** *dist = this(3)*
and *tauto = this(4)* **and** *learn-restr = this(5)* **and** *tr-S = this(6)* **and** *C' = this(7)* **and**
F-C = this(8) **and** *C-new = this(9)* **and** *T = this(10)*
have *insert (mset-cls C) (conflicting-bj-clss S) ⊆ simple-clss (atms-of-ms A)*

```

proof –
  have mset-cls  $C \in \text{simple-clss} (\text{atms-of-ms } A)$ 
    using  $C'$ 
    by (metis (no-types, hide-lams) Un-subset-iff simple-clss-mono
      contra-subsetD dist distinct-mset-not-tautology-implies-in-simple-clss
      dual-order.trans atms-C atms-clss atms-trail tauto)
  moreover have conflicting-bj-clss  $S \subseteq \text{simple-clss} (\text{atms-of-ms } A)$ 
    proof
      fix  $x :: 'v$  literal multiset
      assume  $x \in \text{conflicting-bj-clss } S$ 
      then have  $x \in \# \text{clauses}_{NOT} S \wedge \text{distinct-mset } x \wedge \neg \text{tautology } x$ 
        unfolding conflicting-bj-clss-def by blast
      then show  $x \in \text{simple-clss} (\text{atms-of-ms } A)$ 
        by (meson atms-clss atms-of-atms-of-ms-mono atms-of-ms-finite simple-clss-mono
          distinct-mset-not-tautology-implies-in-simple-clss fin-A finite-subset
          set-rev-mp)
      qed
    ultimately show ?thesis
      by auto
    qed
  then have card (insert (mset-cls  $C$ ) (conflicting-bj-clss  $S$ ))  $\leq 3 \wedge (\text{card } (\text{atms-of-ms } A))$ 
    by (meson Nat.le-trans atms-of-ms-finite simple-clss-card simple-clss-finite
      card-mono fin-A)
  moreover have [simp]: card (insert (mset-cls  $C$ ) (conflicting-bj-clss  $S$ ))
    = Suc (card ((conflicting-bj-clss  $S$ )))
    by (metis (no-types)  $C'$  C-new card-insert-if conflicting-bj-clss-incl-clauses contra-subsetD
      finite-conflicting-bj-clss)
  moreover have [simp]: conflicting-bj-clss (add-clsNOT  $C$   $S$ ) = conflicting-bj-clss  $S \cup \{\text{mset-cls } C\}$ 
    using dist tauto F-C by (subst conflicting-bj-clss-add-clsNOT[OF n-d]) (force [simp]:  $C' \text{ tr-} S \text{ n-d}$ )
  ultimately have [simp]: conflicting-bj-clss-yet (card (atms-of-ms  $A$ ))  $S$ 
    = Suc (conflicting-bj-clss-yet (card (atms-of-ms  $A$ )) (add-clsNOT  $C$   $S$ ))
    by simp
  have 1: clausesNOT  $T = \text{clauses}_{NOT} (\text{add-cls}_{NOT} C S)$  using  $T$  by auto
  have 2: conflicting-bj-clss-yet (card (atms-of-ms  $A$ ))  $T$ 
    = conflicting-bj-clss-yet (card (atms-of-ms  $A$ )) (add-clsNOT  $C$   $S$ )
    using  $T$  unfolding conflicting-bj-clss-def by auto
  have 3:  $\mu_{C'} A T = \mu_{C'} A (\text{add-cls}_{NOT} C S)$ 
    using  $T$  unfolding  $\mu_{C'}$ -def by auto
  have  $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_{C'} A (\text{add-cls}_{NOT} C S))$ 
    *  $(1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$ 
    =  $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_{C'} A S)$ 
    *  $(1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$ 
    using n-d unfolding  $\mu_{C'}$ -def by auto
  moreover
    have conflicting-bj-clss-yet (card (atms-of-ms  $A$ )) (add-clsNOT  $C$   $S$ )
      * 2
      + card (set-mset (clausesNOT (add-clsNOT  $C$   $S$ )))
      < conflicting-bj-clss-yet (card (atms-of-ms  $A$ ))  $S * 2$ 
      + card (set-mset (clausesNOT  $S$ ))
      by (simp add:  $C'$  C-new n-d)
    ultimately show ?case unfolding  $\mu_{CDCL'}$ -def 1 2 3 by presburger
  next
  case (forgetNOT  $C$   $T$ ) note  $T = \text{this}(4)$ 
  have [simp]:  $\mu_{C'} A (\text{remove-cls}_{NOT} C S) = \mu_{C'} A S$ 
    unfolding  $\mu_{C'}$ -def by auto

```

have $\text{forget}_{NOT} S T$
apply ($\text{rule } \text{forget}_{NOT}.\text{intros}$) **using** forget_{NOT} **by** auto
then have $\text{conflicting-bj-clss } T = \text{conflicting-bj-clss } S$
using $\text{do-not-forget-before-backtrack-rule-clause-learned-clause-untouched}$ **by** blast
moreover have $\text{card } (\text{set-mset } (\text{clauses}_{NOT} T)) < \text{card } (\text{set-mset } (\text{clauses}_{NOT} S))$
by ($\text{metis } T \text{ card-Diff1-less clauses-remove-clss}_{NOT} \text{ finite-set-mset forget}_{NOT}.\text{hyps}(2)$
 $\text{in-clss-mset-clss order-refl set-mset-minus-replicate-mset}(1) \text{ state-eq}_{NOT}\text{-clauses}$)
ultimately show $?case \text{ unfolding } \mu_{CDCL}'\text{-def}$
using $T \langle \mu_C' A (\text{remove-clss}_{NOT} C S) = \mu_C' A S \rangle$ **by** ($\text{metis } (\text{no-types}) \text{ add-le-cancel-left}$
 $\mu_C'\text{-def not-le state-eq}_{NOT}\text{-trail}$)
qed

lemma $\text{cdcl}_{NOT}\text{-clauses-bound}$:

assumes
 $\text{cdcl}_{NOT} S T$ **and**
 $\text{inv } S$ **and**
 $\text{atms-of-mm } (\text{clauses}_{NOT} S) \subseteq A$ **and**
 $\text{atm-of } (\text{lits-of-l } (\text{trail } S)) \subseteq A$ **and**
 $n\text{-d: no-dup } (\text{trail } S)$ **and**
 $\text{fin-A}[\text{simp}]: \text{finite } A$
shows $\text{set-mset } (\text{clauses}_{NOT} T) \subseteq \text{set-mset } (\text{clauses}_{NOT} S) \cup \text{simple-clss } A$
using assms
proof ($\text{induction rule: cdcl}_{NOT}\text{-learn-all-induct}$)
case dpll-bj
then show $?case$ **using** dpll-bj-clauses **by** simp
next
case forget_{NOT}
then show $?case$ **using** $\text{clauses-remove-clss}_{NOT} \text{ unfolding state-eq}_{NOT}\text{-def}$ **by** auto
next
case ($\text{learn } C F K d F' C' L$) **note** $\text{atms-}C = \text{this}(2)$ **and** $\text{dist} = \text{this}(3)$ **and** $\text{tauto} = \text{this}(4)$ **and**
 $T = \text{this}(10)$ **and** $\text{atms-clss-}S = \text{this}(12)$ **and** $\text{atms-trail-}S = \text{this}(13)$
have $\text{atms-of } (\text{mset-clss } C) \subseteq A$
using $\text{atms-}C \text{ atms-clss-}S \text{ atms-trail-}S$ **by** fast
then have $\text{simple-clss } (\text{atms-of } (\text{mset-clss } C)) \subseteq \text{simple-clss } A$
by ($\text{simp add: simple-clss-mono}$)
then have $\text{mset-clss } C \in \text{simple-clss } A$
using finite dist tauto **by** ($\text{auto dest: distinct-mset-not-tautology-implies-in-simple-clss}$)
then show $?case$ **using** $T n\text{-d}$ **by** auto
qed

lemma $\text{rtranclp-cdcl}_{NOT}\text{-clauses-bound}$:

assumes
 $\text{cdcl}_{NOT}^{**} S T$ **and**
 $\text{inv } S$ **and**
 $\text{atms-of-mm } (\text{clauses}_{NOT} S) \subseteq A$ **and**
 $\text{atm-of } (\text{lits-of-l } (\text{trail } S)) \subseteq A$ **and**
 $n\text{-d: no-dup } (\text{trail } S)$ **and**
 $\text{finite: finite } A$
shows $\text{set-mset } (\text{clauses}_{NOT} T) \subseteq \text{set-mset } (\text{clauses}_{NOT} S) \cup \text{simple-clss } A$
using $\text{assms}(1-5)$
proof induction
case base
then show $?case$ **by** simp
next
case ($\text{step } T U$) **note** $st = \text{this}(1)$ **and** $\text{cdcl}_{NOT} = \text{this}(2)$ **and** $IH = \text{this}(3)[\text{OF } \text{this}(4-7)]$ **and**

$inv = this(4)$ **and** $atms-clss-S = this(5)$ **and** $atms-trail-S = this(6)$ **and** $finite-clss-S = this(7)$
have $inv\ T$
using $rtrancplp-cdcl_{NOT}-inv\ st\ inv$ **by** $blast$
moreover have $atms-of-mm\ (clauses_{NOT}\ T) \subseteq A$ **and** $atm-of\ 'lits-of-l\ (trail\ T) \subseteq A$
using $rtrancplp-cdcl_{NOT}-trail-clauses-bound[OF\ st]\ inv\ atms-clss-S\ atms-trail-S\ n-d$ **by** $auto$
moreover have $no-dup\ (trail\ T)$
using $rtrancplp-cdcl_{NOT}-no-dup[OF\ st\ \langle inv\ S \rangle\ n-d]$ **by** $simp$
ultimately have $set-mset\ (clauses_{NOT}\ U) \subseteq set-mset\ (clauses_{NOT}\ T) \cup simple-clss\ A$
using $cdcl_{NOT}\ finite\ n-d$ **by** $(auto\ simp: cdcl_{NOT}-clauses-bound)$
then show $?case$ **using** IH **by** $auto$
qed

lemma $rtrancplp-cdcl_{NOT}-card-clauses-bound$:

assumes
 $cdcl_{NOT}^{**}\ S\ T$ **and**
 $inv\ S$ **and**
 $atms-of-mm\ (clauses_{NOT}\ S) \subseteq A$ **and**
 $atm-of\ '(lits-of-l\ (trail\ S)) \subseteq A$ **and**
 $n-d: no-dup\ (trail\ S)$ **and**
 $finite: finite\ A$
shows $card\ (set-mset\ (clauses_{NOT}\ T)) \leq card\ (set-mset\ (clauses_{NOT}\ S)) + 3 \wedge (card\ A)$
using $rtrancplp-cdcl_{NOT}-clauses-bound[OF\ assms]\ finite$ **by** $(meson\ Nat.le-trans\ simple-clss-card\ simple-clss-finite\ card-Un-le\ card-mono\ finite-UnI\ finite-set-mset\ nat-add-left-cancel-le)$

lemma $rtrancplp-cdcl_{NOT}-card-clauses-bound'$:

assumes
 $cdcl_{NOT}^{**}\ S\ T$ **and**
 $inv\ S$ **and**
 $atms-of-mm\ (clauses_{NOT}\ S) \subseteq A$ **and**
 $atm-of\ '(lits-of-l\ (trail\ S)) \subseteq A$ **and**
 $n-d: no-dup\ (trail\ S)$ **and**
 $finite: finite\ A$
shows $card\ \{C \mid C. C \in \# clauses_{NOT}\ T \wedge (tautology\ C \vee \neg distinct-mset\ C)\}$
 $\leq card\ \{C \mid C. C \in \# clauses_{NOT}\ S \wedge (tautology\ C \vee \neg distinct-mset\ C)\} + 3 \wedge (card\ A)$
 $(is\ card\ ?T \leq card\ ?S + -)$
using $rtrancplp-cdcl_{NOT}-clauses-bound[OF\ assms]\ finite$
proof $-$
have $?T \subseteq ?S \cup simple-clss\ A$
using $rtrancplp-cdcl_{NOT}-clauses-bound[OF\ assms]$ **by** $force$
then have $card\ ?T \leq card\ (?S \cup simple-clss\ A)$
using $finite$ **by** $(simp\ add: assms(5)\ simple-clss-finite\ card-mono)$
then show $?thesis$
by $(meson\ le-trans\ simple-clss-card\ card-Un-le\ local.finite\ nat-add-left-cancel-le)$
qed

lemma $rtrancplp-cdcl_{NOT}-card-simple-clauses-bound$:

assumes
 $cdcl_{NOT}^{**}\ S\ T$ **and**
 $inv\ S$ **and**
 $NA: atms-of-mm\ (clauses_{NOT}\ S) \subseteq A$ **and**
 $MA: atm-of\ '(lits-of-l\ (trail\ S)) \subseteq A$ **and**
 $n-d: no-dup\ (trail\ S)$ **and**
 $finite: finite\ A$
shows $card\ (set-mset\ (clauses_{NOT}\ T))$

$\leq \text{card } \{C. C \in \# \text{ clauses}_{NOT} S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge (\text{card } A)$
 (is card ?T ≤ card ?S + -)
 using rtrancpl-cdcl_{NOT}-clauses-bound[OF assms] finite
proof –
 have $\bigwedge x. x \in \# \text{ clauses}_{NOT} T \implies \neg \text{tautology } x \implies \text{distinct-mset } x \implies x \in \text{simple-clss } A$
 using rtrancpl-cdcl_{NOT}-clauses-bound[OF assms] by (metis (no-types, hide-lams) Un-iff NA
 atms-of-atms-of-ms-mono simple-clss-mono contra-subsetD subset-trans
 distinct-mset-not-tautology-implies-in-simple-clss)
 then have $\text{set-mset } (\text{clauses}_{NOT} T) \subseteq ?S \cup \text{simple-clss } A$
 using rtrancpl-cdcl_{NOT}-clauses-bound[OF assms] by auto
 then have $\text{card}(\text{set-mset } (\text{clauses}_{NOT} T)) \leq \text{card } (?S \cup \text{simple-clss } A)$
 using finite by (simp add: assms(5) simple-clss-finite card-mono)
 then show ?thesis
 by (meson le-trans simple-clss-card card-Un-le local.finite nat-add-left-cancel-le)
qed

definition $\mu_{CDCL}'\text{-bound} :: 'v \text{ literal multiset set} \Rightarrow 'st \Rightarrow \text{nat}$ **where**
 $\mu_{CDCL}'\text{-bound } A \ S =$
 $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))) * (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
 $+ 2 * 3 \wedge (\text{card } (\text{atms-of-ms } A))$
 $+ \text{card } \{C. C \in \# \text{ clauses}_{NOT} S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge (\text{card } (\text{atms-of-ms } A))$

lemma $\mu_{CDCL}'\text{-bound-reduce-trail-to}_{NOT}[\text{simp}]$:
 $\mu_{CDCL}'\text{-bound } A \ (\text{reduce-trail-to}_{NOT} M \ S) = \mu_{CDCL}'\text{-bound } A \ S$
unfolding $\mu_{CDCL}'\text{-bound-def}$ **by** auto

lemma $\text{rtrancpl-cdcl}_{NOT}\text{-}\mu_{CDCL}'\text{-bound-reduce-trail-to}_{NOT}$:
assumes
 $\text{cdcl}_{NOT}^{**} \ S \ T$ **and**
 $\text{inv } S$ **and**
 $\text{atms-of-mm } (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms } A$ **and**
 $\text{atm-of } '(\text{lits-of-l } (\text{trail } S)) \subseteq \text{atms-of-ms } A$ **and**
 $n\text{-d: no-dup } (\text{trail } S)$ **and**
 $\text{finite: finite } (\text{atms-of-ms } A)$ **and**
 $U: U \sim \text{reduce-trail-to}_{NOT} M \ T$
shows $\mu_{CDCL}' A \ U \leq \mu_{CDCL}'\text{-bound } A \ S$

proof –
 have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A \ U)$
 $\leq (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))$
by auto
 then have $((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) - \mu_C' A \ U)$
 $* (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
 $\leq (2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A)) * (1 + 3 \wedge \text{card } (\text{atms-of-ms } A)) * 2$
using mult-le-mono1 **by** blast
moreover
 have $\text{conflicting-bj-clss-yet } (\text{card } (\text{atms-of-ms } A)) \ T * 2 \leq 2 * 3 \wedge \text{card } (\text{atms-of-ms } A)$
by linarith
moreover have $\text{card } (\text{set-mset } (\text{clauses}_{NOT} U))$
 $\leq \text{card } \{C. C \in \# \text{ clauses}_{NOT} S \wedge (\text{tautology } C \vee \neg \text{distinct-mset } C)\} + 3 \wedge \text{card } (\text{atms-of-ms } A)$
using rtrancpl-cdcl_{NOT}-card-simple-clauses-bound[OF assms(1–6)] U **by** auto
ultimately show ?thesis
unfolding $\mu_{CDCL}'\text{-def}$ $\mu_{CDCL}'\text{-bound-def}$ **by** linarith
qed

lemma $\text{rtrancpl-cdcl}_{NOT}\text{-}\mu_{CDCL}'\text{-bound}$:

assumes
 $cdcl_{NOT}^{**} S T$ **and**
 $inv S$ **and**
 $atms-of-mm (clauses_{NOT} S) \subseteq atms-of-ms A$ **and**
 $atm-of (lits-of-l (trail S)) \subseteq atms-of-ms A$ **and**
 $n-d: no-dup (trail S)$ **and**
 $finite: finite (atms-of-ms A)$
shows $\mu_{CDCL}' A T \leq \mu_{CDCL}'\text{-bound } A S$
proof –
have $\mu_{CDCL}' A (reduce-trail-to_{NOT} (trail T) T) = \mu_{CDCL}' A T$
unfolding $\mu_{CDCL}'\text{-def } \mu_C'\text{-def } conflicting-bj-clss\text{-def}$ **by** *auto*
then show *?thesis* **using** $rtrancpl-cdcl_{NOT}\text{-}\mu_{CDCL}'\text{-bound-reduce-trail-to}_{NOT}[OF\ assms, of - trail T]$
 $state-eq_{NOT}\text{-ref}$ **by** *fastforce*
qed

lemma $rtrancpl\text{-}\mu_{CDCL}'\text{-bound-decreasing}$:

assumes
 $cdcl_{NOT}^{**} S T$ **and**
 $inv S$ **and**
 $atms-of-mm (clauses_{NOT} S) \subseteq atms-of-ms A$ **and**
 $atm-of (lits-of-l (trail S)) \subseteq atms-of-ms A$ **and**
 $n-d: no-dup (trail S)$ **and**
 $finite[simp]: finite (atms-of-ms A)$
shows $\mu_{CDCL}'\text{-bound } A T \leq \mu_{CDCL}'\text{-bound } A S$
proof –
have $\{C. C \in \# clauses_{NOT} T \wedge (tautology C \vee \neg distinct\text{-mset } C)\}$
 $\subseteq \{C. C \in \# clauses_{NOT} S \wedge (tautology C \vee \neg distinct\text{-mset } C)\}$ **(is** $?T \subseteq ?S$ **)**
proof (*rule Set.subsetI*)
fix C **assume** $C \in ?T$
then have $C\text{-}T: C \in \# clauses_{NOT} T$ **and** $t\text{-}d: tautology C \vee \neg distinct\text{-mset } C$
by *auto*
then have $C \notin simple\text{-clss } (atms-of-ms A)$
by (*auto dest: simple-clssE*)
then show $C \in ?S$
using $C\text{-}T$ $rtrancpl\text{-}cdcl_{NOT}\text{-}clauses\text{-bound}[OF\ assms]$ $t\text{-}d$ **by** *force*
qed
then have $card \{C. C \in \# clauses_{NOT} T \wedge (tautology C \vee \neg distinct\text{-mset } C)\} \leq$
 $card \{C. C \in \# clauses_{NOT} S \wedge (tautology C \vee \neg distinct\text{-mset } C)\}$
by (*simp add: card-mono*)
then show *?thesis*
unfolding $\mu_{CDCL}'\text{-bound-def}$ **by** *auto*
qed

end — end of *conflict-driven-clause-learning-learning-before-backjump-only-distinct-learnt*

16.7 CDCL with restarts

16.7.1 Definition

locale *restart-ops* =
fixes
 $cdcl_{NOT} :: 'st \Rightarrow 'st \Rightarrow bool$ **and**
 $restart :: 'st \Rightarrow 'st \Rightarrow bool$
begin
inductive $cdcl_{NOT}\text{-raw-restart} :: 'st \Rightarrow 'st \Rightarrow bool$ **where**
 $cdcl_{NOT} S T \Longrightarrow cdcl_{NOT}\text{-raw-restart } S T$ |

restart S T \implies *cdcl_{NOT}-raw-restart S T*

end

locale *conflict-driven-clause-learning-with-restarts* =
conflict-driven-clause-learning mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT}
inv backjump-conds propagate-conds learn-cond forget-cond
for
mset-cls :: 'cls \Rightarrow 'v clause **and**
insert-cls :: 'v literal \Rightarrow 'cls \Rightarrow 'cls **and**
remove-lit :: 'v literal \Rightarrow 'cls \Rightarrow 'cls **and**
mset-clss :: 'clss \Rightarrow 'v clauses **and**
union-clss :: 'clss \Rightarrow 'clss \Rightarrow 'clss **and**
in-clss :: 'cls \Rightarrow 'clss \Rightarrow bool **and**
insert-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss **and**
remove-from-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss **and**
trail :: 'st \Rightarrow ('v, unit, unit) marked-lits **and**
raw-clauses :: 'st \Rightarrow 'clss **and**
prepend-trail :: ('v, unit, unit) marked-lit \Rightarrow 'st \Rightarrow 'st **and**
tl-trail :: 'st \Rightarrow 'st **and**
add-cls_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st **and**
remove-cls_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st **and**
inv :: 'st \Rightarrow bool **and**
backjump-conds :: 'v clause \Rightarrow 'v clause \Rightarrow 'v literal \Rightarrow 'st \Rightarrow 'st \Rightarrow bool **and**
propagate-conds :: ('v, unit, unit) marked-lit \Rightarrow 'st \Rightarrow bool **and**
learn-cond forget-cond :: 'cls \Rightarrow 'st \Rightarrow bool

begin

lemma *cdcl_{NOT}-iff-cdcl_{NOT}-raw-restart-no-restarts*:

cdcl_{NOT} S T \longleftrightarrow *restart-ops.cdcl_{NOT}-raw-restart cdcl_{NOT} (λ - . False) S T*
(is ?C S T \longleftrightarrow ?R S T)

proof

fix S T

assume ?C S T

then show ?R S T **by** (simp add: restart-ops.cdcl_{NOT}-raw-restart.intros(1))

next

fix S T

assume ?R S T

then show ?C S T

apply (cases rule: restart-ops.cdcl_{NOT}-raw-restart.cases)

using ⟨?R S T⟩ **by** fast+

qed

lemma *cdcl_{NOT}-cdcl_{NOT}-raw-restart*:

cdcl_{NOT} S T \implies *restart-ops.cdcl_{NOT}-raw-restart cdcl_{NOT} restart S T*

by (simp add: restart-ops.cdcl_{NOT}-raw-restart.intros(1))

end

16.7.2 Increasing restarts

To add restarts we need some assumptions on the predicate (called *cdcl_{NOT}* here):

- a function *f* that is strictly monotonic. The first step is actually only used as a restart to

clean the state (e.g. to ensure that the trail is empty). Then we assume that $(1::'a) \leq f$ n for $(1::'a) \leq n$: it means that between two consecutive restarts, at least one step will be done. This is necessary to avoid sequence. like: full – restart – full – ...

- a measure μ : it should decrease under the assumptions *bound-inv*, whenever a *cdcl_{NOT}* or a *restart* is done. A parameter is given to μ : for conflict- driven clause learning, it is an upper-bound of the clauses. We are assuming that such a bound can be found after a restart whenever the invariant holds.
- we also assume that the measure decrease after any *cdcl_{NOT}* step.
- an invariant on the states *cdcl_{NOT}-inv* that also holds after restarts.
- it is *not required* that the measure decrease with respect to restarts, but the measure has to be bound by some function μ -bound taking the same parameter as μ and the initial state of the considered *cdcl_{NOT}* chain.

```

locale cdclNOT-increasing-restarts-ops =
  restart-ops cdclNOT restart for
    restart :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
    cdclNOT :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool +
fixes
  f :: nat  $\Rightarrow$  nat and
  bound-inv :: 'bound  $\Rightarrow$  'st  $\Rightarrow$  bool and
   $\mu$  :: 'bound  $\Rightarrow$  'st  $\Rightarrow$  nat and
  cdclNOT-inv :: 'st  $\Rightarrow$  bool and
   $\mu$ -bound :: 'bound  $\Rightarrow$  'st  $\Rightarrow$  nat
assumes
  f: unbounded f and
  f-ge-1:  $\bigwedge n. n \geq 1 \implies f\ n \neq 0$  and
  bound-inv:  $\bigwedge A\ S\ T. \text{cdcl}_{NOT}\text{-inv}\ S \implies \text{bound-inv}\ A\ S \implies \text{cdcl}_{NOT}\ S\ T \implies \text{bound-inv}\ A\ T$  and
  cdclNOT-measure:  $\bigwedge A\ S\ T. \text{cdcl}_{NOT}\text{-inv}\ S \implies \text{bound-inv}\ A\ S \implies \text{cdcl}_{NOT}\ S\ T \implies \mu\ A\ T < \mu$ 
A S and
  measure-bound2:  $\bigwedge A\ T\ U. \text{cdcl}_{NOT}\text{-inv}\ T \implies \text{bound-inv}\ A\ T \implies \text{cdcl}_{NOT}^{**}\ T\ U$ 
     $\implies \mu\ A\ U \leq \mu\text{-bound}\ A\ T$  and
  measure-bound4:  $\bigwedge A\ T\ U. \text{cdcl}_{NOT}\text{-inv}\ T \implies \text{bound-inv}\ A\ T \implies \text{cdcl}_{NOT}^{**}\ T\ U$ 
     $\implies \mu\text{-bound}\ A\ U \leq \mu\text{-bound}\ A\ T$  and
  cdclNOT-restart-inv:  $\bigwedge A\ U\ V. \text{cdcl}_{NOT}\text{-inv}\ U \implies \text{restart}\ U\ V \implies \text{bound-inv}\ A\ U \implies \text{bound-inv}$ 
A V
and
  exists-bound:  $\bigwedge R\ S. \text{cdcl}_{NOT}\text{-inv}\ R \implies \text{restart}\ R\ S \implies \exists A. \text{bound-inv}\ A\ S$  and
  cdclNOT-inv:  $\bigwedge S\ T. \text{cdcl}_{NOT}\text{-inv}\ S \implies \text{cdcl}_{NOT}\ S\ T \implies \text{cdcl}_{NOT}\text{-inv}\ T$  and
  cdclNOT-inv-restart:  $\bigwedge S\ T. \text{cdcl}_{NOT}\text{-inv}\ S \implies \text{restart}\ S\ T \implies \text{cdcl}_{NOT}\text{-inv}\ T$ 
begin

```

lemma *cdcl_{NOT}-cdcl_{NOT}-inv*:

assumes

$(\text{cdcl}_{NOT} \rightsquigarrow n)\ S\ T$ **and**

cdcl_{NOT}-inv S

shows *cdcl_{NOT}-inv* T

using *assms* **by** (*induction* n arbitrary: T) (*auto intro: bound-inv cdcl_{NOT}-inv*)

lemma *cdcl_{NOT}-bound-inv*:

assumes

$(\text{cdcl}_{NOT} \rightsquigarrow n)\ S\ T$ **and**

```

    cdclNOT-inv S
    bound-inv A S
shows bound-inv A T
using assms by (induction n arbitrary: T) (auto intro:bound-inv cdclNOT-cdclNOT-inv)

lemma rtrancp-cdclNOT-cdclNOT-inv:
assumes
    cdclNOT** S T and
    cdclNOT-inv S
shows cdclNOT-inv T
using assms by induction (auto intro: cdclNOT-inv)

lemma rtrancp-cdclNOT-bound-inv:
assumes
    cdclNOT** S T and
    bound-inv A S and
    cdclNOT-inv S
shows bound-inv A T
using assms by induction (auto intro:bound-inv rtrancp-cdclNOT-cdclNOT-inv)

lemma cdclNOT-comp-n-le:
assumes
    (cdclNOT  $\sim$  (Suc n)) S T and
    bound-inv A S
    cdclNOT-inv S
shows  $\mu$  A T <  $\mu$  A S - n
using assms
proof (induction n arbitrary: T)
  case 0
    then show ?case using cdclNOT-measure by auto
  next
    case (Suc n) note IH = this(1)[OF - this(3) this(4)] and S-T = this(2) and b-inv = this(3) and
    c-inv = this(4)
    obtain U :: 'st where S-U: (cdclNOT  $\sim$  (Suc n)) S U and U-T: cdclNOT U T using S-T by auto
    then have  $\mu$  A U <  $\mu$  A S - n using IH[of U] by simp
    moreover
      have bound-inv A U
      using S-U b-inv cdclNOT-bound-inv c-inv by blast
      then have  $\mu$  A T <  $\mu$  A U using cdclNOT-measure[OF - - U-T] S-U c-inv cdclNOT-cdclNOT-inv
    by auto
    ultimately show ?case by linarith
  qed

lemma wf-cdclNOT:
  wf {(T, S). cdclNOT S T  $\wedge$  cdclNOT-inv S  $\wedge$  bound-inv A S} (is wf ?A)
apply (rule wfP-if-measure2[of - -  $\mu$  A])
using cdclNOT-comp-n-le[of 0 - A] by auto

lemma rtrancp-cdclNOT-measure:
assumes
    cdclNOT** S T and
    bound-inv A S and
    cdclNOT-inv S
shows  $\mu$  A T  $\leq$   $\mu$  A S
using assms

```

proof (*induction rule: rtrancpl-induct*)
case *base*
then show ?*case* **by** *auto*
next
case (*step T U*) **note** $IH = \text{this}(3)[OF \text{ this}(4) \text{ this}(5)]$ **and** $st = \text{this}(1)$ **and** $cdcl_{NOT} = \text{this}(2)$
and
 $b\text{-inv} = \text{this}(4)$ **and** $c\text{-inv} = \text{this}(5)$
have $\text{bound-inv } A \ T$
by (*meson cdcl_{NOT}-bound-inv rtrancpl-imp-relpowp st step.premis*)
moreover have $cdcl_{NOT}\text{-inv } T$
using $c\text{-inv rtrancpl-cdcl}_{NOT}\text{-cdcl}_{NOT}\text{-inv st}$ **by** *blast*
ultimately have $\mu \ A \ U < \mu \ A \ T$ **using** $cdcl_{NOT}\text{-measure}[OF \ - \ - \ cdcl_{NOT}]$ **by** *auto*
then show ?*case* **using** IH **by** *linarith*
qed

lemma $cdcl_{NOT}\text{-comp-bounded}$:

assumes
 $\text{bound-inv } A \ S$ **and** $cdcl_{NOT}\text{-inv } S$ **and** $m \geq 1 + \mu \ A \ S$
shows $\neg(cdcl_{NOT} \rightsquigarrow^m) \ S \ T$
using *assms cdcl_{NOT}-comp-n-le[of m-1 S T A]* **by** *fastforce*

- $f \ n < m$ ensures that at least one step has been done.

inductive $cdcl_{NOT}\text{-restart}$ **where**

restart-step: $(cdcl_{NOT} \rightsquigarrow^m) \ S \ T \implies m \geq f \ n \implies \text{restart } T \ U$
 $\implies cdcl_{NOT}\text{-restart } (S, n) \ (U, \text{Suc } n) \mid$
restart-full: $\text{full1 } cdcl_{NOT} \ S \ T \implies cdcl_{NOT}\text{-restart } (S, n) \ (T, \text{Suc } n)$

lemmas $cdcl_{NOT}\text{-with-restart-induct} = cdcl_{NOT}\text{-restart.induct}[split\text{-format}(\text{complete}),$
 $OF \ cdcl_{NOT}\text{-increasing-restarts-ops-axioms}]$

lemma $cdcl_{NOT}\text{-restart-cdcl}_{NOT}\text{-raw-restart}$:

$cdcl_{NOT}\text{-restart } S \ T \implies cdcl_{NOT}\text{-raw-restart}^{**} (fst \ S) (fst \ T)$

proof (*induction rule: cdcl_{NOT}-restart.induct*)

case (*restart-step m S T n U*)
then have $cdcl_{NOT}^{**} \ S \ T$ **by** (*meson relpowp-imp-rtrancpl*)
then have $cdcl_{NOT}\text{-raw-restart}^{**} \ S \ T$ **using** $cdcl_{NOT}\text{-raw-restart.intros}(1)$
 $\text{rtrancpl-mono}[of \ cdcl_{NOT} \ cdcl_{NOT}\text{-raw-restart}]$ **by** *blast*
moreover have $cdcl_{NOT}\text{-raw-restart } T \ U$
using $\langle \text{restart } T \ U \rangle \ cdcl_{NOT}\text{-raw-restart.intros}(2)$ **by** *blast*
ultimately show ?*case* **by** *auto*

next

case (*restart-full S T*)
then have $cdcl_{NOT}^{**} \ S \ T$ **unfolding** *full1-def* **by** *auto*
then show ?*case* **using** $cdcl_{NOT}\text{-raw-restart.intros}(1)$
 $\text{rtrancpl-mono}[of \ cdcl_{NOT} \ cdcl_{NOT}\text{-raw-restart}]$ **by** *auto*
qed

lemma $cdcl_{NOT}\text{-with-restart-bound-inv}$:

assumes
 $cdcl_{NOT}\text{-restart } S \ T$ **and**
 $\text{bound-inv } A \ (fst \ S)$ **and**
 $cdcl_{NOT}\text{-inv } (fst \ S)$
shows $\text{bound-inv } A \ (fst \ T)$
using *assms apply (induction rule: cdcl_{NOT}-restart.induct)*

prefer 2 apply (*metis rtrancpl-unfold fstI full1-def rtrancpl-cdcl_{NOT}-bound-inv*)
by (*metis cdcl_{NOT}-bound-inv cdcl_{NOT}-cdcl_{NOT}-inv cdcl_{NOT}-restart-inv fst-conv*)

lemma *cdcl_{NOT}-with-restart-cdcl_{NOT}-inv*:

assumes
cdcl_{NOT}-restart S T and
cdcl_{NOT}-inv (fst S)
shows *cdcl_{NOT}-inv (fst T)*
using *assms apply induction*
apply (*metis cdcl_{NOT}-cdcl_{NOT}-inv cdcl_{NOT}-inv-restart fst-conv*)
apply (*metis fstI full-def full-unfold rtrancpl-cdcl_{NOT}-cdcl_{NOT}-inv*)
done

lemma *rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv*:

assumes
*cdcl_{NOT}-restart** S T and*
cdcl_{NOT}-inv (fst S)
shows *cdcl_{NOT}-inv (fst T)*
using *assms by induction (auto intro: cdcl_{NOT}-with-restart-cdcl_{NOT}-inv)*

lemma *rtrancpl-cdcl_{NOT}-with-restart-bound-inv*:

assumes
*cdcl_{NOT}-restart** S T and*
cdcl_{NOT}-inv (fst S) and
bound-inv A (fst S)
shows *bound-inv A (fst T)*
using *assms apply induction*
apply (*simp add: cdcl_{NOT}-cdcl_{NOT}-inv cdcl_{NOT}-with-restart-bound-inv*)
using *cdcl_{NOT}-with-restart-bound-inv rtrancpl-cdcl_{NOT}-with-restart-cdcl_{NOT}-inv by blast*

lemma *cdcl_{NOT}-with-restart-increasing-number*:

cdcl_{NOT}-restart S T \implies snd T = 1 + snd S
by (*induction rule: cdcl_{NOT}-restart.induct*) *auto*
end

locale *cdcl_{NOT}-increasing-restarts =*

cdcl_{NOT}-increasing-restarts-ops restart cdcl_{NOT} f bound-inv μ cdcl_{NOT}-inv μ -bound +
dpll-state mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-cl_{NOT} remove-cl_{NOT}

for

mset-cls:: 'cls \Rightarrow 'v clause and
insert-cls :: 'v literal \Rightarrow 'cls \Rightarrow 'cls and
remove-lit :: 'v literal \Rightarrow 'cls \Rightarrow 'cls and
mset-clss:: 'clss \Rightarrow 'v clauses and
union-clss :: 'clss \Rightarrow 'clss \Rightarrow 'clss and
in-clss :: 'cls \Rightarrow 'clss \Rightarrow bool and
insert-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss and
remove-from-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss and
trail :: 'st \Rightarrow ('v, unit, unit) marked-lits and
raw-clauses :: 'st \Rightarrow 'clss and
prepend-trail :: ('v, unit, unit) marked-lit \Rightarrow 'st \Rightarrow 'st and
tl-trail :: 'st \Rightarrow 'st and
add-cl_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st and
remove-cl_{NOT} :: 'cls \Rightarrow 'st \Rightarrow 'st and

```

f :: nat ⇒ nat and
restart :: 'st ⇒ 'st ⇒ bool and
bound-inv :: 'bound ⇒ 'st ⇒ bool and
μ :: 'bound ⇒ 'st ⇒ nat and
cdclNOT :: 'st ⇒ 'st ⇒ bool and
cdclNOT-inv :: 'st ⇒ bool and
μ-bound :: 'bound ⇒ 'st ⇒ nat +
assumes
  measure-bound:  $\bigwedge A\ T\ V\ n.\ cdcl_{NOT}\text{-inv}\ T \implies bound\text{-inv}\ A\ T$ 
     $\implies cdcl_{NOT}\text{-restart}\ (T, n)\ (V, Suc\ n) \implies \mu\ A\ V \leq \mu\text{-bound}\ A\ T$  and
  cdclNOT-raw-restart-μ-bound:
    cdclNOT-restart (T, a) (V, b)  $\implies cdcl_{NOT}\text{-inv}\ T \implies bound\text{-inv}\ A\ T$ 
     $\implies \mu\text{-bound}\ A\ V \leq \mu\text{-bound}\ A\ T$ 
begin

lemma rtrancp-cdclNOT-raw-restart-μ-bound:
  cdclNOT-restart** (T, a) (V, b)  $\implies cdcl_{NOT}\text{-inv}\ T \implies bound\text{-inv}\ A\ T$ 
     $\implies \mu\text{-bound}\ A\ V \leq \mu\text{-bound}\ A\ T$ 
apply (induction rule: rtrancp-induct2)
apply simp
by (metis cdclNOT-raw-restart-μ-bound dual-order.trans fst-conv
  rtrancp-cdclNOT-with-restart-bound-inv rtrancp-cdclNOT-with-restart-cdclNOT-inv)

lemma cdclNOT-raw-restart-measure-bound:
  cdclNOT-restart (T, a) (V, b)  $\implies cdcl_{NOT}\text{-inv}\ T \implies bound\text{-inv}\ A\ T$ 
     $\implies \mu\ A\ V \leq \mu\text{-bound}\ A\ T$ 
apply (cases rule: cdclNOT-restart.cases)
apply simp
using measure-bound relpowp-imp-rtrancp apply fastforce
by (metis full-def full-unfold measure-bound2 prod.inject)

lemma rtrancp-cdclNOT-raw-restart-measure-bound:
  cdclNOT-restart** (T, a) (V, b)  $\implies cdcl_{NOT}\text{-inv}\ T \implies bound\text{-inv}\ A\ T$ 
     $\implies \mu\ A\ V \leq \mu\text{-bound}\ A\ T$ 
apply (induction rule: rtrancp-induct2)
apply (simp add: measure-bound2)
by (metis dual-order.trans fst-conv measure-bound2 r-into-rtrancp rtrancp.rtrancp-refl
  rtrancp-cdclNOT-with-restart-bound-inv rtrancp-cdclNOT-with-restart-cdclNOT-inv
  rtrancp-cdclNOT-raw-restart-μ-bound)

lemma wf-cdclNOT-restart:
  wf {(T, S). cdclNOT-restart S T ∧ cdclNOT-inv (fst S)} (is wf ?A)
proof (rule ccontr)
assume  $\neg ?thesis$ 
then obtain g where
  g:  $\bigwedge i.\ cdcl_{NOT}\text{-restart}\ (g\ i)\ (g\ (Suc\ i))$  and
  cdclNOT-inv-g:  $\bigwedge i.\ cdcl_{NOT}\text{-inv}\ (fst\ (g\ i))$ 
unfolding wf-iff-no-infinite-down-chain by fast

have snd-g:  $\bigwedge i.\ snd\ (g\ i) = i + snd\ (g\ 0)$ 
apply (induct-tac i)
apply simp
by (metis Suc-eq-plus1-left add.commute add.left-commute
  cdclNOT-with-restart-increasing-number g)
then have snd-g-0:  $\bigwedge i.\ i > 0 \implies snd\ (g\ i) = i + snd\ (g\ 0)$ 

```



```

by blast
have unbounded-f-g: unbounded ( $\lambda i. f \text{ (snd (g i))}$ )
using f unfolding bounded-def by (metis add.commute f less-or-eq-imp-le snd-g
not-bounded-nat-exists-larger not-le le-iff-add)

{ fix i
  have H:  $\bigwedge T \text{ Ta } m. (\text{cdcl}_{NOT} \rightsquigarrow m) T \text{ Ta} \implies \text{no-step } \text{cdcl}_{NOT} T \implies m = 0$ 
    apply (case-tac m) by simp (meson relpowp-E2)
  have  $\exists T m. (\text{cdcl}_{NOT} \rightsquigarrow m) (\text{fst (g i)}) T \wedge m \geq f \text{ (snd (g i))}$ 
    using g[of i] apply (cases rule: cdclNOT-restart.cases)
    apply auto[]
    using g[of Suc i] f-ge-1 apply (cases rule: cdclNOT-restart.cases)
    apply (auto simp add: full1-def full-def dest: H dest: tranclpD)
    using H Suc-leI leD by blast
} note H = this
obtain A where bound-inv A (fst (g 1))
using g[of 0] cdclNOT-inv-g[of 0] apply (cases rule: cdclNOT-restart.cases)
apply (metis One-nat-def cdclNOT-inv exists-bound fst-conv relpowp-imp-rtranclp
rtranclp-induct)
using H[of 1] unfolding full1-def by (metis One-nat-def Suc-eq-plus1 diff-is-0-eq' diff-zero
f-ge-1 fst-conv le-add2 relpowp-E2 snd-conv)
let ?j =  $\mu\text{-bound } A \text{ (fst (g 1))} + 1$ 
obtain j where
j:  $f \text{ (snd (g j))} > ?j$  and  $j > 1$ 
using unbounded-f-g not-bounded-nat-exists-larger by blast
{
  fix i j
  have cdclNOT-with-restart:  $j \geq i \implies \text{cdcl}_{NOT}\text{-restart}^{**} (g i) (g j)$ 
    apply (induction j)
    apply simp
    by (metis g le-Suc-eq rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
} note cdclNOT-restart = this
have cdclNOT-inv (fst (g (Suc 0)))
by (simp add: cdclNOT-inv-g)
have cdclNOT-restart** (fst (g 1), snd (g 1)) (fst (g j), snd (g j))
using <j> 1 by (simp add: cdclNOT-restart)
have  $\mu A \text{ (fst (g j))} \leq \mu\text{-bound } A \text{ (fst (g 1))}$ 
apply (rule rtranclp-cdclNOT-raw-restart-measure-bound)
using <cdclNOT-restart** (fst (g 1), snd (g 1)) (fst (g j), snd (g j))> apply blast
apply (simp add: cdclNOT-inv-g)
using <bound-inv A (fst (g 1))> apply simp
done
then have  $\mu A \text{ (fst (g j))} \leq ?j$ 
by auto
have inv: bound-inv A (fst (g j))
using <bound-inv A (fst (g 1))> <cdclNOT-inv (fst (g (Suc 0)))>
<cdclNOT-restart** (fst (g 1), snd (g 1)) (fst (g j), snd (g j))>
rtranclp-cdclNOT-with-restart-bound-inv by auto
obtain T m where
cdclNOT-m:  $(\text{cdcl}_{NOT} \rightsquigarrow m) (\text{fst (g j)}) T$  and
f-m:  $f \text{ (snd (g j))} \leq m$ 
using H[of j] by blast
have ?j < m
using f-m j Nat.le-trans by linarith

```

then show *False*
using $\langle \mu \ A \ (fst \ (g \ j)) \leq \mu\text{-bound} \ A \ (fst \ (g \ 1)) \rangle$
 $cdcl_{NOT}\text{-comp-bounded}[OF \ inv \ cdcl_{NOT}\text{-inv-g}, \ of \] \ cdcl_{NOT}\text{-inv-g} \ cdcl_{NOT}\text{-m}$
 $\langle ?j < m \rangle$ **by** *auto*
qed

lemma *cdcl_{NOT}-restart-steps-bigger-than-bound*:
assumes
 $cdcl_{NOT}\text{-restart} \ S \ T$ **and**
 $bound\text{-inv} \ A \ (fst \ S)$ **and**
 $cdcl_{NOT}\text{-inv} \ (fst \ S)$ **and**
 $f \ (snd \ S) > \mu\text{-bound} \ A \ (fst \ S)$
shows $full1 \ cdcl_{NOT} \ (fst \ S) \ (fst \ T)$
using *assms*
proof (*induction rule: cdcl_{NOT}-restart.induct*)
case *restart-full*
then show *?case* **by** *auto*
next
case (*restart-step* $m \ S \ T \ n \ U$) **note** $st = this(1)$ **and** $f = this(2)$ **and** $bound\text{-inv} = this(4)$ **and**
 $cdcl_{NOT}\text{-inv} = this(5)$ **and** $\mu = this(6)$
then obtain m' **where** $m: m = Suc \ m'$ **by** (*cases* m) *auto*
have $\mu \ A \ S - m' = 0$
using $f \ bound\text{-inv} \ cdcl_{NOT}\text{-inv} \ \mu \ m \ rtranclp\text{-}cdcl_{NOT}\text{-raw-restart-measure-bound}$ **by** *fastforce*
then have *False* **using** $cdcl_{NOT}\text{-comp-n-le}[of \ m' \ S \ T \ A] \ restart\text{-step} \ unfolding \ m$ **by** *simp*
then show *?case* **by** *fast*
qed

lemma *rtranclp-cdcl_{NOT}-with-inv-inv-rtranclp-cdcl_{NOT}*:
assumes
 $inv: cdcl_{NOT}\text{-inv} \ S$ **and**
 $binv: bound\text{-inv} \ A \ S$
shows $(\lambda S \ T. \ cdcl_{NOT} \ S \ T \wedge \ cdcl_{NOT}\text{-inv} \ S \wedge \ bound\text{-inv} \ A \ S)^{**} \ S \ T \longleftrightarrow cdcl_{NOT}^{**} \ S \ T$
(is $?A^{**} \ S \ T \longleftrightarrow ?B^{**} \ S \ T$ **)**
apply (*rule iffI*)
using $rtranclp\text{-mono}[of \ ?A \ ?B]$ **apply** *blast*
apply (*induction rule: rtranclp-induct*)
using $inv \ binv$ **apply** *simp*
by (*metis* (*mono-tags*, *lifting*) $binv \ inv \ rtranclp.simps \ rtranclp\text{-}cdcl_{NOT}\text{-bound-inv} \ rtranclp\text{-}cdcl_{NOT}\text{-cdcl}_{NOT}\text{-inv}$)

lemma *no-step-cdcl_{NOT}-restart-no-step-cdcl_{NOT}*:
assumes
 $n\text{-s}: no\text{-step} \ cdcl_{NOT}\text{-restart} \ S$ **and**
 $inv: cdcl_{NOT}\text{-inv} \ (fst \ S)$ **and**
 $binv: bound\text{-inv} \ A \ (fst \ S)$
shows $no\text{-step} \ cdcl_{NOT} \ (fst \ S)$
proof (*rule ccontr*)
assume $\neg \ ?thesis$
then obtain T **where** $T: cdcl_{NOT} \ (fst \ S) \ T$
by *blast*
then obtain U **where** $U: full \ (\lambda S \ T. \ cdcl_{NOT} \ S \ T \wedge \ cdcl_{NOT}\text{-inv} \ S \wedge \ bound\text{-inv} \ A \ S) \ T \ U$
using $wf\text{-exists-normal-form-full}[OF \ wf\text{-}cdcl_{NOT}, \ of \ A \ T]$ **by** *auto*
moreover have $inv\text{-}T: cdcl_{NOT}\text{-inv} \ T$
using $\langle cdcl_{NOT} \ (fst \ S) \ T \rangle \ cdcl_{NOT}\text{-inv} \ inv$ **by** *blast*
moreover have $b\text{-inv}\text{-}T: bound\text{-inv} \ A \ T$

```

    using  $\langle \text{cdcl}_{NOT} \text{ (fst } S) \text{ } T \rangle$  binv bound-inv inv by blast
ultimately have full  $\text{cdcl}_{NOT} \text{ } T \text{ } U$ 
    using rtrancpl-cdclNOT-with-inv-inv-rtrancpl-cdclNOT rtrancpl-cdclNOT-bound-inv
    rtrancpl-cdclNOT-cdclNOT-inv unfolding full-def by blast
then have full1  $\text{cdcl}_{NOT} \text{ (fst } S) \text{ } U$ 
    using  $T$  full-full1 by metis
then show False by (metis n-s prod.collapse restart-full)
qed

end

```

16.8 Merging backjump and learning

```

locale cdclNOT-merge-bj-learn-ops =
  decide-ops mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT +
  forget-ops mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT forget-cond +
  propagate-ops mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT propagate-conds
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls:: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lits:: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss:: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss:: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss:: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss:: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trails:: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses:: 'st  $\Rightarrow$  'clss and
  prepend-trails:: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trails:: 'st  $\Rightarrow$  'st and
  add-clNOTs:: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clNOTs:: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  propagate-condss:: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  bool and
  forget-conds:: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool +
fixes backjump-l-conds:: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool
begin
inductive backjump-l where
  backjump-l: trail  $S = F' @ \text{Marked } K \text{ } () \# F$ 
 $\Rightarrow$  no-dup (trail  $S$ )
 $\Rightarrow T \sim \text{prepend-trail } (\text{Propagated } L \text{ } ()) \text{ } (\text{reduce-trail-to}_{NOT} F \text{ } (\text{add-cl}_{NOT} C'' S))$ 
 $\Rightarrow C \in \# \text{ clauses}_{NOT} S$ 
 $\Rightarrow \text{trail } S \models_{as} C \text{Not } C$ 
 $\Rightarrow \text{undefined-lit } F L$ 
 $\Rightarrow \text{atm-of } L \in \text{atms-of-mm } (\text{clauses}_{NOT} S) \cup \text{atm-of } ' \text{ } (\text{lits-of-l } (\text{trail } S))$ 
 $\Rightarrow \text{clauses}_{NOT} S \models_{pm} C' + \{\#L\}$ 
 $\Rightarrow \text{mset-cl } C'' = C' + \{\#L\}$ 
 $\Rightarrow F \models_{as} C \text{Not } C'$ 
 $\Rightarrow \text{backjump-l-cond } C C' L S T$ 
 $\Rightarrow \text{backjump-l } S T$ 

```

Avoid (meaningless) simplification:

```
declare reduce-trail-toNOT-length-ne[simp del] Set.Un-iff[simp del] Set.insert-iff[simp del]
inductive-cases backjump-LE: backjump-l S T
thm backjump-LE
declare reduce-trail-toNOT-length-ne[simp] Set.Un-iff[simp] Set.insert-iff[simp]
```

```
inductive cdclNOT-merged-bj-learn :: 'st ⇒ 'st ⇒ bool for S :: 'st where
  cdclNOT-merged-bj-learn-decideNOT: decideNOT S S' ⇒ cdclNOT-merged-bj-learn S S' |
  cdclNOT-merged-bj-learn-propagateNOT: propagateNOT S S' ⇒ cdclNOT-merged-bj-learn S S' |
  cdclNOT-merged-bj-learn-backjump-l: backjump-l S S' ⇒ cdclNOT-merged-bj-learn S S' |
  cdclNOT-merged-bj-learn-forgetNOT: forgetNOT S S' ⇒ cdclNOT-merged-bj-learn S S'
```

```
lemma cdclNOT-merged-bj-learn-no-dup-inv:
  cdclNOT-merged-bj-learn S T ⇒ no-dup (trail S) ⇒ no-dup (trail T)
apply (induction rule: cdclNOT-merged-bj-learn.induct)
  using defined-lit-map apply fastforce
  using defined-lit-map apply fastforce
  apply (force simp: defined-lit-map elim!: backjump-LE)[]
using forgetNOT.simps apply auto[1]
done
end
```

```
locale cdclNOT-merge-bj-learn-proxy =
  cdclNOT-merge-bj-learn-ops mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clsNOT remove-clsNOT propagate-conds
  forget-cond
  λC C' L' S T. backjump-l-cond C C' L' S T
  ∧ distinct-mset (C' + {#L'#}) ∧ ¬tautology (C' + {#L'#})
for
  mset-cls:: 'cls ⇒ 'v clause and
  insert-cls :: 'v literal ⇒ 'cls ⇒ 'cls and
  remove-lit :: 'v literal ⇒ 'cls ⇒ 'cls and
  mset-clss:: 'clss ⇒ 'v clauses and
  union-clss :: 'clss ⇒ 'clss ⇒ 'clss and
  in-clss :: 'cls ⇒ 'clss ⇒ bool and
  insert-clss :: 'cls ⇒ 'clss ⇒ 'clss and
  remove-from-clss :: 'cls ⇒ 'clss ⇒ 'clss and
  trail :: 'st ⇒ ('v, unit, unit) marked-lits and
  raw-clauses :: 'st ⇒ 'clss and
  prepend-trail :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ 'st and
  tl-trail :: 'st ⇒ 'st and
  add-clsNOT :: 'cls ⇒ 'st ⇒ 'st and
  remove-clsNOT :: 'cls ⇒ 'st ⇒ 'st and
  propagate-conds :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ bool and
  forget-cond :: 'cls ⇒ 'st ⇒ bool and
  backjump-l-cond :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ 'st ⇒ bool +
fixes
  inv :: 'st ⇒ bool
assumes
  bj-merge-can-jump:
  ∧S C F' K F L.
  inv S
  ⇒ trail S = F' @ Marked K () # F
  ⇒ C ∈# clausesNOT S
```

```

 $\Rightarrow \text{trail } S \models_{as} CNot \ C$ 
 $\Rightarrow \text{undefined-lit } F \ L$ 
 $\Rightarrow \text{atm-of } L \in \text{atms-of-mm } (\text{clauses}_{NOT} \ S) \cup \text{atm-of } ' \ (\text{lits-of-l } (F' @ \text{Marked } K \ () \ # \ F))$ 
 $\Rightarrow \text{clauses}_{NOT} \ S \models_{pm} C' + \{\#L\#\}$ 
 $\Rightarrow F \models_{as} CNot \ C'$ 
 $\Rightarrow \neg \text{no-step backjump-l } S \ \text{and}$ 
 $\text{cdcl-merged-inv: } \bigwedge S \ T. \ \text{cdcl}_{NOT}\text{-merged-bj-learn } S \ T \Rightarrow \text{inv } S \Rightarrow \text{inv } T$ 
begin

abbreviation backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool
where
backjump-conds  $\equiv \lambda C \ C' \ L' \ S \ T. \ \text{distinct-mset } (C' + \{\#L'\#\}) \wedge \neg \text{tautology } (C' + \{\#L'\#\})$ 

Without additional knowledge on backjump-l-cond, it is impossible to have the same invariant.

sublocale dpll-with-backjumping-ops mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT} inv
backjump-conds propagate-conds
proof (unfold-locales, goal-cases)
case 1
{ fix S S'
assume bj: backjump-l S S' and no-dup (trail S)
then obtain F' K F L C' C D where
S': S'  $\sim$  prepend-trail (Propagated L ()) (reduce-trail-to_{NOT} F (add-cls_{NOT} D S))
and
tr-S: trail S = F' @ Marked K () # F and
C: C  $\in$  # clauses_{NOT} S and
tr-S-C: trail S  $\models_{as}$  CNot C and
undef-L: undefined-lit F L and
atm-L:
atm-of L  $\in$  insert (atm-of K) (atms-of-mm (clauses_{NOT} S)  $\cup$  atm-of ' (lits-of-l F'  $\cup$  lits-of-l F))
and
cls-S-C': clauses_{NOT} S  $\models_{pm}$  C' + {\#L\#} and
F-C': F  $\models_{as}$  CNot C' and
dist: distinct-mset (C' + {\#L\#}) and
not-tauto:  $\neg$  tautology (C' + {\#L\#}) and
cond: backjump-l-cond C C' L S S'
mset-cls D = C' + {\#L\#}
by (elim backjump-lE) metis
interpret backjumping-ops mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-cls_{NOT} remove-cls_{NOT}
backjump-conds
by unfold-locales
have  $\exists T. \ \text{backjump } S \ T$ 
apply rule
apply (rule backjump.intros)
using tr-S apply simp
apply (rule state-eq_{NOT}-ref)
using C apply simp
using tr-S-C apply simp
using undef-L apply simp
using atm-L tr-S apply simp
using cls-S-C' apply simp
using F-C' apply simp

```

```

    using dist not-tauto cond apply simp
  done
} note H = this(1)
then show ?case using 1 bj-merge-can-jump by meson
qed

end

locale cdclNOT-merge-bj-learn-proxy2 =
  cdclNOT-merge-bj-learn-proxy mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT
  propagate-conds forget-cond backjump-l-cond inv
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses :: 'st  $\Rightarrow$  'clss and
  prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  propagate-conds :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  bool and
  forget-cond :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool and
  backjump-l-cond :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
  inv :: 'st  $\Rightarrow$  bool
begin

sublocale conflict-driven-clause-learning-ops mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT
  inv backjump-conds propagate-conds
   $\lambda C \cdot$  distinct-mset (mset-cls C)  $\wedge$   $\neg$ tautology (mset-cls C)
  forget-cond
by unfold-locales
end

locale cdclNOT-merge-bj-learn =
  cdclNOT-merge-bj-learn-proxy2 mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT
  propagate-conds forget-cond backjump-l-cond inv
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and

```

```

insert-clss :: 'cls ⇒ 'clss ⇒ 'clss and
remove-from-clss :: 'cls ⇒ 'clss ⇒ 'clss and
trail :: 'st ⇒ ('v, unit, unit) marked-lits and
raw-clauses :: 'st ⇒ 'clss and
prepend-trail :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ 'st and
tl-trail :: 'st ⇒ 'st and
add-clssNOT :: 'cls ⇒ 'st ⇒ 'st and
remove-clssNOT :: 'cls ⇒ 'st ⇒ 'st and
backjump-l-cond :: 'v clause ⇒ 'v clause ⇒ 'v literal ⇒ 'st ⇒ 'st ⇒ bool and
propagate-conds :: ('v, unit, unit) marked-lit ⇒ 'st ⇒ bool and
forget-cond :: 'cls ⇒ 'st ⇒ bool and
inv :: 'st ⇒ bool +
assumes
  dpll-merge-bj-inv:  $\bigwedge S T. \text{dpll-bj } S T \implies \text{inv } S \implies \text{inv } T$  and
  learn-inv:  $\bigwedge S T. \text{learn } S T \implies \text{inv } S \implies \text{inv } T$ 
begin

sublocale
  conflict-driven-clause-learning mset-clss insert-clss remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  trail raw-clauses prepend-trail tl-trail add-clssNOT remove-clssNOT
  inv backjump-conds propagate-conds
   $\lambda C -. \text{distinct-mset (mset-clss } C) \wedge \neg \text{tautology (mset-clss } C)$ 
  forget-cond
apply unfold-locales
using cdclNOT-merged-bj-learn-forgetNOT cdcl-merged-inv learn-inv
by (auto simp add: cdclNOT.simps dpll-merge-bj-inv)

lemma backjump-l-learn-backjump:
  assumes bt: backjump-l  $S T$  and inv: inv  $S$  and n-d: no-dup (trail  $S$ )
  shows  $\exists C' L D. \text{learn } S (\text{add-clss}_{\text{NOT}} D S)$ 
     $\wedge \text{mset-clss } D = (C' + \{\#L\# \})$ 
     $\wedge \text{backjump (add-clss}_{\text{NOT}} D S) T$ 
     $\wedge \text{atms-of } (C' + \{\#L\# \}) \subseteq \text{atms-of-mm (clauses}_{\text{NOT}} S) \cup \text{atm-of ' (lits-of-l (trail } S))$ 
proof -
  obtain  $C F' K F L l C' D$  where
    tr-S: trail  $S = F' @ \text{Marked } K () \# F$  and
    T:  $T \sim \text{prepend-trail (Propagated } L l) (\text{reduce-trail-to}_{\text{NOT}} F (\text{add-clss}_{\text{NOT}} D S))$  and
    C-clss-S:  $C \in \# \text{clauses}_{\text{NOT}} S$  and
    tr-S-CNot-C: trail  $S \models_{\text{as}} C\text{Not } C$  and
    undef: undefined-lit  $F L$  and
    atm-L: atm-of  $L \in \text{atms-of-mm (clauses}_{\text{NOT}} S) \cup \text{atm-of ' (lits-of-l (trail } S))$  and
    clss-C:  $\text{clauses}_{\text{NOT}} S \models_{\text{pm}} \text{mset-clss } D$  and
    D:  $\text{mset-clss } D = C' + \{\#L\# \}$ 
     $F \models_{\text{as}} C\text{Not } C'$  and
    distinct: distinct-mset (mset-clss  $D$ ) and
    not-tauto:  $\neg \text{tautology (mset-clss } D)$ 
  using bt inv by (elim backjump-lE) simp
  have atm-C': atm-of  $C' \subseteq \text{atm-of ' (lits-of-l } F)$ 
    by (metis D(2) atms-of-def image-subsetI true-annots-CNot-all-atms-defined)
  then have atm-of  $(C' + \{\#L\# \}) \subseteq \text{atms-of-mm (clauses}_{\text{NOT}} S) \cup \text{atm-of ' (lits-of-l (trail } S))$ 
    using atm-L tr-S by auto
  moreover have learn: learn  $S (\text{add-clss}_{\text{NOT}} D S)$ 
    apply (rule learn.intros)
    apply (rule clss-C)

```

using *atms-C' atm-L D* apply (*fastforce simp add: tr-S in-plus-implies-atm-of-on-atms-of-ms*)
 apply *standard*
 apply (*rule distinct*)
 apply (*rule not-tauto*)
 apply *simp*
 done
 moreover have *bj: backjump (add-cl_{NOT} D S) T*
 apply (*rule backjump.intros*)
 using $\langle F \models_{as} CNot\ C' \rangle$ *C-cl_S tr-S-CNot-C undef T distinct not-tauto n-d D*
 by (*auto simp: tr-S state-eq_{NOT}-def simp del: state-simp_{NOT}*)
 ultimately show *?thesis* using *D* by *blast*
 qed

lemma *cdcl_{NOT}-merged-bj-learn-is-tranclp-cdcl_{NOT}:*
cdcl_{NOT}-merged-bj-learn S T \implies inv S \implies no-dup (trail S) \implies cdcl_{NOT}⁺⁺ S T
proof (*induction rule: cdcl_{NOT}-merged-bj-learn.induct*)
 case (*cdcl_{NOT}-merged-bj-learn-decide_{NOT} T*)
 then have *cdcl_{NOT} S T*
 using *bj-decide_{NOT} cdcl_{NOT}.sims* by *fastforce*
 then show *?case* by *auto*
 next
 case (*cdcl_{NOT}-merged-bj-learn-propagate_{NOT} T*)
 then have *cdcl_{NOT} S T*
 using *bj-propagate_{NOT} cdcl_{NOT}.sims* by *fastforce*
 then show *?case* by *auto*
 next
 case (*cdcl_{NOT}-merged-bj-learn-forget_{NOT} T*)
 then have *cdcl_{NOT} S T*
 using *c-forget_{NOT}* by *blast*
 then show *?case* by *auto*
 next
 case (*cdcl_{NOT}-merged-bj-learn-backjump-l T*) **note** *bt = this(1) and inv = this(2) and*
n-d = this(3)
obtain *C' :: 'v literal multiset and L :: 'v literal and D :: 'cls where*
f3: learn S (add-cl_{NOT} D S) \wedge
backjump (add-cl_{NOT} D S) T \wedge
atms-of (C' + {#L#}) \subseteq atms-of-mm (clauses_{NOT} S) \cup atm-of ' lits-of-l (trail S) and
D: mset-cl_S D = C' + {#L#}
 using *n-d backjump-l-learn-backjump[OF bt inv]* by *blast*
 then have *f4: cdcl_{NOT} S (add-cl_{NOT} D S)*
 using *n-d c-learn* by *blast*
 have *cdcl_{NOT} (add-cl_{NOT} D S) T*
 using *f3 n-d bj-backjump c-dpll-bj* by *blast*
 then show *?case*
 using *f4* by (*meson tranclp.r-into-trancl tranclp.trancl-into-trancl*)
 qed

lemma *rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}-and-inv:*
*cdcl_{NOT}-merged-bj-learn** S T \implies inv S \implies no-dup (trail S) \implies cdcl_{NOT}** S T \wedge inv T*
proof (*induction rule: rtranclp-induct*)
 case *base*
 then show *?case* by *auto*
 next
 case (*step T U*) **note** *st = this(1) and cdcl_{NOT} = this(2) and IH = this(3)[OF this(4-)] and*
inv = this(4) and n-d = this(5)

have $cdcl_{NOT}^{**} T U$
using $cdcl_{NOT}$ -merged-bj-learn-is-tranclp- $cdcl_{NOT}[OF\ cdcl_{NOT}] IH$
 $rtranclp$ - $cdcl_{NOT}$ -no-dup $inv\ n-d$ **by** *auto*
then have $cdcl_{NOT}^{**} S U$ **using** IH **by** *fastforce*
moreover have $inv\ U$ **using** $n-d\ IH\ \langle cdcl_{NOT}^{**} T U \rangle\ rtranclp$ - $cdcl_{NOT}$ - inv **by** *blast*
ultimately show $?case$ **using** st **by** *fast*
qed

lemma $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$:
 $cdcl_{NOT}$ -merged-bj-learn $^{**} S T \implies inv\ S \implies no\text{-}dup\ (trail\ S) \implies cdcl_{NOT}^{**} S T$
using $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$ -and- inv **by** *blast*

lemma $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn- inv :
 $cdcl_{NOT}$ -merged-bj-learn $^{**} S T \implies inv\ S \implies no\text{-}dup\ (trail\ S) \implies inv\ T$
using $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$ -and- inv **by** *blast*

definition $\mu_C' :: 'v\ literal\ multiset\ set \Rightarrow 'st \Rightarrow nat$ **where**
 $\mu_C' A\ T \equiv \mu_C\ (1 + card\ (atms\text{-}of\text{-}ms\ A))\ (2 + card\ (atms\text{-}of\text{-}ms\ A))\ (trail\text{-}weight\ T)$

definition μ_{CDCL}' -merged $:: 'v\ literal\ multiset\ set \Rightarrow 'st \Rightarrow nat$ **where**
 μ_{CDCL}' -merged $A\ T \equiv$
 $((2 + card\ (atms\text{-}of\text{-}ms\ A)) \wedge (1 + card\ (atms\text{-}of\text{-}ms\ A)) - \mu_C' A\ T) * 2 + card\ (set\text{-}mset\ (clauses_{NOT}\ T))$

lemma $cdcl_{NOT}$ -decreasing-measure':
assumes
 $cdcl_{NOT}$ -merged-bj-learn $S\ T$ **and**
 inv : $inv\ S$ **and**
 $atm\text{-}clss$: $atms\text{-}of\text{-}mm\ (clauses_{NOT}\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $atm\text{-}trail$: $atm\text{-}of\ ' lits\text{-}of\text{-}l\ (trail\ S) \subseteq atms\text{-}of\text{-}ms\ A$ **and**
 $n\text{-}d$: $no\text{-}dup\ (trail\ S)$ **and**
 $fin\text{-}A$: $finite\ A$
shows μ_{CDCL}' -merged $A\ T < \mu_{CDCL}'$ -merged $A\ S$
using *assms(1)*

proof *induction*
case ($cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}\ T$)
have $clauses_{NOT}\ S = clauses_{NOT}\ T$
using $cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}.hyps$ **by** *auto*
moreover have
 $(2 + card\ (atms\text{-}of\text{-}ms\ A)) \wedge (1 + card\ (atms\text{-}of\text{-}ms\ A))$
 $- \mu_C\ (1 + card\ (atms\text{-}of\text{-}ms\ A))\ (2 + card\ (atms\text{-}of\text{-}ms\ A))\ (trail\text{-}weight\ T)$
 $< (2 + card\ (atms\text{-}of\text{-}ms\ A)) \wedge (1 + card\ (atms\text{-}of\text{-}ms\ A))$
 $- \mu_C\ (1 + card\ (atms\text{-}of\text{-}ms\ A))\ (2 + card\ (atms\text{-}of\text{-}ms\ A))\ (trail\text{-}weight\ S)$
apply (*rule dpll-bj-trail-mes-decreasing-prop*)
using $cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}\ fin\text{-}A\ atm\text{-}clss\ atm\text{-}trail\ n\text{-}d\ inv$
by (*simp-all add: bj-decide $_{NOT}\ cdcl_{NOT}$ -merged-bj-learn-decide $_{NOT}.hyps$*)
ultimately show $?case$
unfolding μ_{CDCL}' -merged-def μ_C' -def **by** *simp*

next
case ($cdcl_{NOT}$ -merged-bj-learn-propagate $_{NOT}\ T$)
have $clauses_{NOT}\ S = clauses_{NOT}\ T$
using $cdcl_{NOT}$ -merged-bj-learn-propagate $_{NOT}.hyps$
by (*simp add: bj-propagate $_{NOT}\ inv\ dpll$ -bj-clauses*)
moreover have
 $(2 + card\ (atms\text{-}of\text{-}ms\ A)) \wedge (1 + card\ (atms\text{-}of\text{-}ms\ A))$

$\begin{aligned} & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T) \\ & < (2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S) \end{aligned}$
apply (rule *dp11-bj-trail-mes-decreasing-prop*)
using *inv n-d atm-clss atm-trail fin-A* **by** (*simp-all add: bj-propagate_{NOT}*
cdcl_{NOT}-merged-bj-learn-propagate_{NOT}.hyps)
ultimately show ?*case*
unfolding μ_{CDCL}' -merged-def μ_C' -def **by** *simp*
next
case (*cdcl_{NOT}-merged-bj-learn-forget_{NOT} T*)
have *card (set-mset (clauses_{NOT} T)) < card (set-mset (clauses_{NOT} S))*
using *<forget_{NOT} S T>* **by** (*metis card-Diff1-less clauses-remove-cls_{NOT} finite-set-mset*
forget_{NOT}.cases in-clss-mset-clss linear set-mset-minus-replicate-mset(1) state-eq_{NOT}-def)
moreover
have *trail S = trail T*
using *<forget_{NOT} S T>* **by** (*auto elim: forget_{NOT}E*)
then have
 $\begin{aligned} & (2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T) \\ & = (2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S) \end{aligned}$
by *auto*
ultimately show ?*case*
unfolding μ_{CDCL}' -merged-def μ_C' -def **by** *simp*
next
case (*cdcl_{NOT}-merged-bj-learn-backjump-l T*) **note** *bj-l = this(1)*
obtain *C' L D* **where**
learn: learn S (add-cls_{NOT} D S) and
bj: backjump (add-cls_{NOT} D S) T and
atms-C: atms-of (C' + {#L#}) \subseteq atms-of-mm (clauses_{NOT} S) \cup atm-of ' (lits-of-l (trail S)) and
D: mset-cls D = C' + {#L#}
using *bj-l inv backjump-l-learn-backjump n-d atm-clss atm-trail* **by** *meson*
have *card-T-S: card (set-mset (clauses_{NOT} T)) \leq 1 + card (set-mset (clauses_{NOT} S))*
using *bj-l inv* **by** (*force elim!: backjump-lE simp: card-insert-if*)
have
 $\begin{aligned} & ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T)) \\ & < ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) \\ & (\text{trail-weight } (\text{add-cls}_{NOT} D S))) \end{aligned}$
apply (rule *dp11-bj-trail-mes-decreasing-prop*)
using *bj bj-backjump* **apply** *blast*
using *cdcl_{NOT}.c-learn cdcl_{NOT}-inv inv learn* **apply** *blast*
using *atms-C atm-clss atm-trail D* **apply** (*simp add: n-d*) **apply** *fast*
using *atm-trail n-d* **apply** *simp*
apply (*simp add: n-d*)
using *fin-A* **apply** *simp*
done
then have $\begin{aligned} & ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } T)) \\ & < ((2 + \text{card} (\text{atms-of-ms } A)) \wedge (1 + \text{card} (\text{atms-of-ms } A)) \\ & - \mu_C (1 + \text{card} (\text{atms-of-ms } A)) (2 + \text{card} (\text{atms-of-ms } A)) (\text{trail-weight } S)) \end{aligned}$
using *n-d* **by** *auto*
then show ?*case*
using *card-T-S* **unfolding** μ_{CDCL}' -merged-def μ_C' -def **by** *linarith*

qed

lemma *wf-cdcl_{NOT}-merged-bj-learn*:

assumes

fin-A: *finite A*

shows *wf* $\{(T, S).$

$(inv\ S \wedge atms-of-mm\ (clauses_{NOT}\ S) \subseteq atms-of-ms\ A \wedge atm-of\ 'lits-of-l\ (trail\ S) \subseteq atms-of-ms\ A$
 $\wedge no-dup\ (trail\ S))$

$\wedge cdcl_{NOT}\text{-merged-bj-learn}\ S\ T\}$

apply (*rule* *wfP-if-measure*[*of* - - μ_{CDCL}' -merged *A*])

using *cdcl_{NOT}-decreasing-measure'* *fin-A* **by** *simp*

lemma *tranclp-cdcl_{NOT}-cdcl_{NOT}-tranclp*:

assumes

cdcl_{NOT}-merged-bj-learn⁺⁺ *S T* **and**

inv: *inv S* **and**

atm-clss: *atms-of-mm* (*clauses_{NOT} S*) \subseteq *atms-of-ms A* **and**

atm-trail: *atm-of* ' *lits-of-l* (*trail S*) \subseteq *atms-of-ms A* **and**

n-d: *no-dup* (*trail S*) **and**

fin-A[*simp*]: *finite A*

shows $(T, S) \in \{(T, S).$

$(inv\ S \wedge atms-of-mm\ (clauses_{NOT}\ S) \subseteq atms-of-ms\ A \wedge atm-of\ 'lits-of-l\ (trail\ S) \subseteq atms-of-ms\ A$
 $\wedge no-dup\ (trail\ S))$

$\wedge cdcl_{NOT}\text{-merged-bj-learn}\ S\ T\}^+ \text{ (is - } \in ?P^+)$

using *assms*(1)

proof (*induction rule*: *tranclp-induct*)

case *base*

then show *?case* **using** *n-d atm-clss atm-trail inv* **by** *auto*

next

case (*step T U*) **note** *st* = *this*(1) **and** *cdcl_{NOT}* = *this*(2) **and** *IH* = *this*(3)

have *cdcl_{NOT}*^{**} *S T*

apply (*rule* *rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}*)

using *st cdcl_{NOT} inv n-d atm-clss atm-trail inv* **by** *auto*

have *inv T*

apply (*rule* *rtranclp-cdcl_{NOT}-merged-bj-learn-inv*)

using *inv st cdcl_{NOT} n-d atm-clss atm-trail inv* **by** *auto*

moreover have *atms-of-mm* (*clauses_{NOT} T*) \subseteq *atms-of-ms A*

using *rtranclp-cdcl_{NOT}-trail-clauses-bound*[*OF* $\langle cdcl_{NOT}^{**}\ S\ T \rangle$ *inv n-d atm-clss atm-trail*]
by *fast*

moreover have *atm-of* ' (*lits-of-l* (*trail T*)) \subseteq *atms-of-ms A*

using *rtranclp-cdcl_{NOT}-trail-clauses-bound*[*OF* $\langle cdcl_{NOT}^{**}\ S\ T \rangle$ *inv n-d atm-clss atm-trail*]
by *fast*

moreover have *no-dup* (*trail T*)

using *rtranclp-cdcl_{NOT}-no-dup*[*OF* $\langle cdcl_{NOT}^{**}\ S\ T \rangle$ *inv n-d*] **by** *fast*

ultimately have $(U, T) \in ?P$

using *cdcl_{NOT}* **by** *auto*

then show *?case* **using** *IH* **by** (*simp add*: *trancl-into-trancl2*)

qed

lemma *wf-tranclp-cdcl_{NOT}-merged-bj-learn*:

assumes *finite A*

shows *wf* $\{(T, S).$

$(inv\ S \wedge atms-of-mm\ (clauses_{NOT}\ S) \subseteq atms-of-ms\ A \wedge atm-of\ 'lits-of-l\ (trail\ S) \subseteq atms-of-ms\ A$
 $\wedge no-dup\ (trail\ S))$

$\wedge cdcl_{NOT}\text{-merged-bj-learn}^{++}\ S\ T\}$

```

apply (rule wf-subset)
apply (rule wf-trancl[OF wf-cdclNOT-merged-bj-learn])
using assms apply simp
using tranclp-cdclNOT-cdclNOT-tranclp[OF - - - - ⟨finite A⟩] by auto

```

```

lemma backjump-no-step-backjump-l:
  backjump S T  $\implies$  inv S  $\implies$   $\neg$ no-step backjump-l S
apply (elim backjumpE)
apply (rule bj-merge-can-jump)
  apply auto[7]
by blast

```

```

lemma cdclNOT-merged-bj-learn-final-state:
  fixes A :: 'v literal multiset set and S T :: 'st
  assumes
    n-s: no-step cdclNOT-merged-bj-learn S and
    atms-S: atms-of-mm (clausesNOT S)  $\subseteq$  atms-of-ms A and
    atms-trail: atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-ms A and
    n-d: no-dup (trail S) and
    finite A and
    inv: inv S and
    decomp: all-decomposition-implies-m (clausesNOT S) (get-all-marked-decomposition (trail S))
  shows unsatisfiable (set-mset (clausesNOT S))
     $\vee$  (trail S  $\models$ asm clausesNOT S  $\wedge$  satisfiable (set-mset (clausesNOT S)))

```

```

proof -
  let ?N = set-mset (clausesNOT S)
  let ?M = trail S
  consider
    (sat) satisfiable ?N and ?M  $\models$ as ?N
  | (sat') satisfiable ?N and  $\neg$  ?M  $\models$ as ?N
  | (unsat) unsatisfiable ?N
  by auto
  then show ?thesis
  proof cases
    case sat' note sat = this(1) and M = this(2)
    obtain C where C  $\in$  ?N and  $\neg$ ?M  $\models$ a C using M unfolding true-annots-def by auto
    obtain I :: 'v literal set where
      I  $\models$ s ?N and
      cons: consistent-interp I and
      tot: total-over-m I ?N and
      atm-I-N: atm-of ' I  $\subseteq$  atms-of-ms ?N
    using sat unfolding satisfiable-def-min by auto
    let ?I = I  $\cup$  {P | P. P  $\in$  lits-of-l ?M  $\wedge$  atm-of P  $\notin$  atm-of ' I}
    let ?O = {unmark L | L. is-marked L  $\wedge$  L  $\in$  set ?M  $\wedge$  atm-of (lit-of L)  $\notin$  atms-of-ms ?N}
    have cons-I': consistent-interp ?I
      using cons using ⟨no-dup ?M⟩ unfolding consistent-interp-def
      by (auto simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def
        dest!: no-dup-cannot-not-lit-and-uminus)
    have tot-I': total-over-m ?I (?N  $\cup$  unmark-l ?M)
      using tot atms-of-s-def unfolding total-over-m-def total-over-set-def
      by (fastforce simp: image-iff)
    have {P | P. P  $\in$  lits-of-l ?M  $\wedge$  atm-of P  $\notin$  atm-of ' I}  $\models$ s ?O
      using ⟨I  $\models$ s ?N⟩ atm-I-N by (auto simp add: atm-of-eq-atm-of true-clss-def lits-of-def)
    then have I'-N: ?I  $\models$ s ?N  $\cup$  ?O
      using ⟨I  $\models$ s ?N⟩ true-clss-union-increase by force

```

```

have tot': total-over-m ?I (?N ∪ ?O)
  using atm-I-N tot unfolding total-over-m-def total-over-set-def
  by (force simp: image-iff lits-of-def dest!: is-marked-ex-Marked)

have atms-N-M: atms-of-ms ?N ⊆ atm-of ' lits-of-l ?M
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain l :: 'v where
    l-N: l ∈ atms-of-ms ?N and
    l-M: l ∉ atm-of ' lits-of-l ?M
  by auto
have undefined-lit ?M (Pos l)
  using l-M by (metis Marked-Propagated-in-iff-in-lits-of-l
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set literal.sel(1))
have decideNOT S (prepend-trail (Marked (Pos l) ()) S)
  by (metis ⟨undefined-lit ?M (Pos l)⟩ decideNOT.intros l-N literal.sel(1)
    state-eqNOT-ref)
then show False
  using cdclNOT-merged-bj-learn-decideNOT n-s by blast
qed

have ?M ⊨as CNot C
apply (rule all-variables-defined-not-imply-cnot)
  using atms-N-M ⟨C ∈ ?N⟩ ⟨¬ ?M ⊨a C⟩ atms-of-atms-of-ms-mono[OF ⟨C ∈ ?N⟩]
  by (auto dest: atms-of-atms-of-ms-mono)
have ∃ l ∈ set ?M. is-marked l
proof (rule ccontr)
  let ?O = {unmark L | L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
  have ∅[iff]: ∧ I. total-over-m I (?N ∪ ?O ∪ unmark-l ?M)
    ⟷ total-over-m I (?N ∪ unmark-l ?M)
  unfolding total-over-set-def total-over-m-def atms-of-ms-def by blast
  assume ¬ ?thesis
  then have [simp]: {unmark L | L. is-marked L ∧ L ∈ set ?M}
    = {unmark L | L. is-marked L ∧ L ∈ set ?M ∧ atm-of (lit-of L) ∉ atms-of-ms ?N}
  by auto
  then have ?N ∪ ?O ⊨ps unmark-l ?M
  using all-decomposition-implies-propagated-lits-are-implied[OF decomp] by auto

  then have ?I ⊨s unmark-l ?M
  using cons-I' I'-N tot-I' ⟨?I ⊨s ?N ∪ ?O⟩ unfolding ∅ true-clss-clss-def by blast
  then have lits-of-l ?M ⊆ ?I
  unfolding true-clss-def lits-of-def by auto
  then have ?M ⊨as ?N
  using I'-N ⟨C ∈ ?N⟩ ⟨¬ ?M ⊨a C⟩ cons-I' atms-N-M
  by (meson ⟨trail S ⊨as CNot C⟩ consistent-CNot-not rev-subsetD sup-ge1 true-annot-def
    true-annots-def true-cls-mono-set-mset-l true-clss-def)
  then show False using M by fast
qed

from List.split-list-first-propE[OF this] obtain K :: 'v literal and d :: unit and
  F F' :: ('v, unit, unit) marked-lit list where
  M-K: ?M = F' @ Marked K () # F and
  nm: ∀ f ∈ set F'. ¬ is-marked f
  unfolding is-marked-def by (metis (full-types) old.unit.exhaust)
let ?K = Marked K () :: ('v, unit, unit) marked-lit
have ?K ∈ set ?M

```

```

  unfolding M-K by auto
let ?C = image-mset lit-of {#L∈#mset ?M. is-marked L ∧ L≠?K#} :: 'v literal multiset
let ?C' = set-mset (image-mset (λL::'v literal. {#L#}) (?C + unmark ?K))
have ?N ∪ {unmark L | L. is-marked L ∧ L ∈ set ?M} ⊨ps unmark-l ?M
  using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
moreover have C': ?C' = {unmark L | L. is-marked L ∧ L ∈ set ?M}
  unfolding M-K apply standard
  apply force
  using IntI by auto
ultimately have N-C-M: ?N ∪ ?C' ⊨ps unmark-l ?M
  by auto
have N-M-False: ?N ∪ (λL. unmark L) ' (set ?M) ⊨ps {{#}}
  using M ⟨?M ⊨as CNot C⟩ ⟨C∈?N⟩ unfolding true-clss-clss-def true-annot-def Ball-def
  true-annot-def by (metis consistent-CNot-not sup.orderE sup-commute true-clss-def
    true-clss-singleton-lit-of-implies-incl true-clss-union true-clss-union-increase)

have undefined-lit F K using ⟨no-dup ?M⟩ unfolding M-K by (simp add: defined-lit-map)
moreover
  have ?N ∪ ?C' ⊨ps {{#}}
  proof -
    have A: ?N ∪ ?C' ∪ unmark-l ?M = ?N ∪ unmark-l ?M
      unfolding M-K by auto
    show ?thesis
      using true-clss-clss-left-right[OF N-C-M, of {{#}}] N-M-False unfolding A by auto
  qed
have ?N ⊨p image-mset uminus ?C + {#-K#}
  unfolding true-clss-clss-def true-clss-clss-def total-over-m-def
  proof (intro allI impI)
    fix I
    assume
      tot: total-over-set I (atms-of-ms (?N ∪ {image-mset uminus ?C + {#-K#}})) and
      cons: consistent-interp I and
      I ⊨s ?N
    have (K ∈ I ∧ -K ∉ I) ∨ (-K ∈ I ∧ K ∉ I)
      using cons tot unfolding consistent-interp-def by (cases K) auto
    have {a ∈ set (trail S). is-marked a ∧ a ≠ Marked K ()} =
      set (trail S) ∩ {L. is-marked L ∧ L ≠ Marked K ()}
      by auto
    then have tot': total-over-set I
      (atm-of ' lit-of ' (set ?M ∩ {L. is-marked L ∧ L ≠ Marked K ()}))
      using tot by (auto simp add: atms-of-uminus-lit-atm-of-lit-of)
    { fix x :: ('v, unit, unit) marked-lit
      assume
        a3: lit-of x ∉ I and
        a1: x ∈ set ?M and
        a4: is-marked x and
        a5: x ≠ Marked K ()
      then have Pos (atm-of (lit-of x)) ∈ I ∨ Neg (atm-of (lit-of x)) ∈ I
        using a5 a4 tot' a1 unfolding total-over-set-def atms-of-s-def by blast
      moreover have f6: Neg (atm-of (lit-of x)) = - Pos (atm-of (lit-of x))
        by simp
      ultimately have - lit-of x ∈ I
        using f6 a3 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
          literal.sel(1))
    } note H = this
  }

```

```

have  $\neg I \models_s ?C'$ 
  using  $\langle ?N \cup ?C' \models_{ps} \{\{\#\}\} \rangle$  tot cons  $\langle I \models_s ?N \rangle$ 
  unfolding true-clss-clss-def total-over-m-def
  by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
then show  $I \models$  image-mset uminus  $?C + \{\# - K\# \}$ 
  unfolding true-clss-def true-cl-def Bex-def
  using  $\langle (K \in I \wedge -K \notin I) \vee (-K \in I \wedge K \notin I) \rangle$ 
  by (auto dest!: H)
qed
moreover have  $F \models_{as} CNot$  (image-mset uminus  $?C$ )
  using nm unfolding true-annots-def CNot-def M-K by (auto simp add: lits-of-def)
ultimately have False
  using bj-merge-can-jump[of S F' K F C -K
    image-mset uminus (image-mset lit-of  $\{\# L : \#$  mset  $?M$ . is-marked  $L \wedge L \neq$  Marked  $K ()\#\}$ )
     $\langle C \in ?N \rangle$  n-s  $\langle ?M \models_{as} CNot$  C  $\rangle$  bj-backjump inv unfolding M-K
  by (auto simp: cdclNOT-merged-bj-learn.simps)
then show ?thesis by fast
qed auto
qed

lemma full-cdclNOT-merged-bj-learn-final-state:
  fixes A :: 'v literal multiset set and S T :: 'st
  assumes
    full: full cdclNOT-merged-bj-learn S T and
    atms-S: atms-of-mm (clausesNOT S)  $\subseteq$  atms-of-ms A and
    atms-trail: atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-ms A and
    n-d: no-dup (trail S) and
    finite A and
    inv: inv S and
    decomp: all-decomposition-implies-m (clausesNOT S) (get-all-marked-decomposition (trail S))
  shows unsatisfiable (set-mset (clausesNOT T))
     $\vee$  (trail T  $\models_{asm}$  clausesNOT T  $\wedge$  satisfiable (set-mset (clausesNOT T)))
proof -
  have st: cdclNOT-merged-bj-learn** S T and n-s: no-step cdclNOT-merged-bj-learn T
    using full unfolding full-def by blast+
  then have st: cdclNOT** S T
    using inv rtranclp-cdclNOT-merged-bj-learn-is-rtranclp-cdclNOT-and-inv n-d by auto
  have atms-of-mm (clausesNOT T)  $\subseteq$  atms-of-ms A and atm-of ' lits-of-l (trail T)  $\subseteq$  atms-of-ms A
    using rtranclp-cdclNOT-trail-clauses-bound[OF st inv n-d atms-S atms-trail] by blast+
  moreover have no-dup (trail T)
    using rtranclp-cdclNOT-no-dup inv n-d st by blast
  moreover have inv T
    using rtranclp-cdclNOT-inv inv st by blast
  moreover have all-decomposition-implies-m (clausesNOT T) (get-all-marked-decomposition (trail T))
    using rtranclp-cdclNOT-all-decomposition-implies inv st decomp n-d by blast
  ultimately show ?thesis
    using cdclNOT-merged-bj-learn-final-state[of T A]  $\langle$ finite A  $\rangle$  n-s by fast
qed
end

```

16.8.1 Instantiations

locale cdcl_{NOT}-with-backtrack-and-restarts =
 conflict-driven-clause-learning-learning-before-backjump-only-distinct-learn_t

```

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
trail raw-clauses prepend-trail tl-trail add-clNOT remove-clNOT
inv backjump-conds propagate-conds learn-restrictions forget-restrictions
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses :: 'st  $\Rightarrow$  'clss and
  prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  inv :: 'st  $\Rightarrow$  bool and
  backjump-conds :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool and
  propagate-conds :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  bool and
  learn-restrictions forget-restrictions :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool
+
fixes f :: nat  $\Rightarrow$  nat
assumes
  unbounded: unbounded f and f-ge-1:  $\bigwedge n. n \geq 1 \Rightarrow f\ n \geq 1$  and
  inv-restart:  $\bigwedge S\ T. inv\ S \Rightarrow T \sim reduce\_trail\_to_{NOT} ([::'a\ list)\ S \Rightarrow inv\ T$ 
begin

lemma bound-inv-inv:
  assumes
    inv S and
    n-d: no-dup (trail S) and
    atms-clss-S-A: atms-of-mm (clausesNOT S)  $\subseteq$  atms-of-ms A and
    atms-trail-S-A: atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-ms A and
    finite A and
    cdclNOT: cdclNOT S T
  shows
    atms-of-mm (clausesNOT T)  $\subseteq$  atms-of-ms A and
    atm-of ' lits-of-l (trail T)  $\subseteq$  atms-of-ms A and
    finite A
proof -
  have cdclNOT S T
  using <inv S> cdclNOT by linarith
  then have atms-of-mm (clausesNOT T)  $\subseteq$  atms-of-mm (clausesNOT S)  $\cup$  atm-of ' lits-of-l (trail S)
  using <inv S>
  by (meson conflict-driven-clause-learning-ops.cdclNOT-atms-of-ms-clauses-decreasing
      conflict-driven-clause-learning-ops-axioms n-d)
  then show atms-of-mm (clausesNOT T)  $\subseteq$  atms-of-ms A
  using atms-clss-S-A atms-trail-S-A by blast
next
  show atm-of ' lits-of-l (trail T)  $\subseteq$  atms-of-ms A
  by (meson <inv S> atms-clss-S-A atms-trail-S-A cdclNOT cdclNOT-atms-in-trail-in-set n-d)
next

```


show *finite A*
using $\langle \text{finite } A \rangle$ **by** *simp*
qed

sublocale *cdcl_{NOT}-increasing-restarts-ops* $\lambda S T. T \sim \text{reduce-trail-to}_{NOT} ([::'a \text{ list}) S \text{ cdcl}_{NOT} f$
 $\lambda A S. \text{atms-of-mm} (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms } A \wedge \text{atm-of } ' \text{ lits-of-l } (\text{trail } S) \subseteq \text{atms-of-ms } A \wedge$
finite A
 $\mu_{CDCL}' \lambda S. \text{inv } S \wedge \text{no-dup } (\text{trail } S)$
 $\mu_{CDCL}'\text{-bound}$
apply *unfold-locales*
apply (*simp add: unbounded*)
using *f-ge-1* **apply** *force*
using *bound-inv-inv* **apply** *meson*
apply (*rule cdcl_{NOT}-decreasing-measure'; simp*)
apply (*rule rtrancpl-cdcl_{NOT}- μ_{CDCL}' -bound; simp*)
apply (*rule rtrancpl- μ_{CDCL}' -bound-decreasing; simp*)
apply *auto*[]
apply *auto*[]
using *cdcl_{NOT}-inv cdcl_{NOT}-no-dup* **apply** *blast*
using *inv-restart* **apply** *auto*[]
done

lemma *cdcl_{NOT}-with-restart- μ_{CDCL}' -le- μ_{CDCL}' -bound:*

assumes

cdcl_{NOT}: cdcl_{NOT}-restart (T, a) (V, b) and

cdcl_{NOT}-inv:

inv T

no-dup (trail T) and

bound-inv:

atms-of-mm (clauses_{NOT} T) \subseteq atms-of-ms A

atm-of ' lits-of-l (trail T) \subseteq atms-of-ms A

finite A

shows $\mu_{CDCL}' A V \leq \mu_{CDCL}'\text{-bound } A T$

using *cdcl_{NOT}-inv bound-inv*

proof (*induction rule: cdcl_{NOT}-with-restart-induct[OF cdcl_{NOT}]*)

case (*1 m S T n U*) **note** *U = this(3)*

show *?case*

apply (*rule rtrancpl-cdcl_{NOT}- μ_{CDCL}' -bound-reduce-trail-to_{NOT}[of S T]*)

using $\langle (\text{cdcl}_{NOT} \rightsquigarrow m) S T \rangle$ **apply** (*fastforce dest!: relpowp-imp-rtrancpl*)

using *1* **by** *auto*

next

case (*2 S T n*) **note** *full = this(2)*

show *?case*

apply (*rule rtrancpl-cdcl_{NOT}- μ_{CDCL}' -bound*)

using *full 2 unfolding full1-def* **by** *force+*

qed

lemma *cdcl_{NOT}-with-restart- μ_{CDCL}' -bound-le- μ_{CDCL}' -bound:*

assumes

cdcl_{NOT}: cdcl_{NOT}-restart (T, a) (V, b) and

cdcl_{NOT}-inv:

inv T

no-dup (trail T) and

bound-inv:

atms-of-mm (clauses_{NOT} T) \subseteq atms-of-ms A

```

    atm-of ' lits-of-l (trail T)  $\subseteq$  atms-of-ms A
    finite A
  shows  $\mu_{CDCL}'$ -bound A V  $\leq$   $\mu_{CDCL}'$ -bound A T
  using cdclNOT-inv bound-inv
proof (induction rule: cdclNOT-with-restart-induct[OF cdclNOT])
  case (1 m S T n U) note U = this(3)
  have  $\mu_{CDCL}'$ -bound A T  $\leq$   $\mu_{CDCL}'$ -bound A S
  apply (rule rtrancp- $\mu_{CDCL}'$ -bound-decreasing)
  using  $\langle (cdcl_{NOT} \rightsquigarrow m) S T \rangle$  apply (fastforce dest: relpowp-imp-rtrancp)
  using 1 by auto
  then show ?case using U unfolding  $\mu_{CDCL}'$ -bound-def by auto
next
  case (2 S T n) note full = this(2)
  show ?case
  apply (rule rtrancp- $\mu_{CDCL}'$ -bound-decreasing)
  using full 2 unfolding full1-def by force+
qed

sublocale cdclNOT-increasing-restarts - - - - -
  f
   $\lambda S T. T \sim \text{reduce-trail-to}_{NOT} ([::'a \text{ list}) S$ 
   $\lambda A S. \text{atms-of-mm} (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms} A$ 
   $\wedge \text{atm-of ' lits-of-l (trail S)} \subseteq \text{atms-of-ms} A \wedge \text{finite} A$ 
   $\mu_{CDCL}'$  cdclNOT
   $\lambda S. \text{inv} S \wedge \text{no-dup (trail S)}$ 
   $\mu_{CDCL}'$ -bound
  apply unfold-locales
  using cdclNOT-with-restart- $\mu_{CDCL}'$ -le- $\mu_{CDCL}'$ -bound apply simp
  using cdclNOT-with-restart- $\mu_{CDCL}'$ -bound-le- $\mu_{CDCL}'$ -bound apply simp
  done

lemma cdclNOT-restart-all-decomposition-implies:
  assumes cdclNOT-restart S T and
    inv (fst S) and
    no-dup (trail (fst S))
  all-decomposition-implies-m (clausesNOT (fst S)) (get-all-marked-decomposition (trail (fst S)))
  shows
    all-decomposition-implies-m (clausesNOT (fst T)) (get-all-marked-decomposition (trail (fst T)))
  using assms apply (induction)
  using rtrancp-cdclNOT-all-decomposition-implies by (auto dest!: trancp-into-rtrancp
    simp: full1-def)

lemma rtrancp-cdclNOT-restart-all-decomposition-implies:
  assumes cdclNOT-restart** S T and
    inv: inv (fst S) and
    n-d: no-dup (trail (fst S)) and
    decomp:
      all-decomposition-implies-m (clausesNOT (fst S)) (get-all-marked-decomposition (trail (fst S)))
  shows
    all-decomposition-implies-m (clausesNOT (fst T)) (get-all-marked-decomposition (trail (fst T)))
  using assms(1)
proof (induction rule: rtrancp-induct)
  case base
  then show ?case using decomp by simp
next

```

case (*step* $T\ u$) **note** $st = \text{this}(1)$ **and** $r = \text{this}(2)$ **and** $IH = \text{this}(3)$
have $inv\ (fst\ T)$
 using $rtrancpl-cdcl_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}[OF\ st]\ inv\ n\text{-d}$ **by** *blast*
moreover **have** $no\text{-dup}\ (trail\ (fst\ T))$
 using $rtrancpl-cdcl_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}[OF\ st]\ inv\ n\text{-d}$ **by** *blast*
ultimately **show** $?case$
 using $cdcl_{NOT}\text{-restart-all-decomposition-implies}\ r\ IH\ n\text{-d}$ **by** *fast*
qed

lemma $cdcl_{NOT}\text{-restart-sat-ext-iff}$:

assumes
 $st: cdcl_{NOT}\text{-restart}\ S\ T$ **and**
 $n\text{-d}: no\text{-dup}\ (trail\ (fst\ S))$ **and**
 $inv: inv\ (fst\ S)$
shows $I \models_{sextm\ clauses_{NOT}} (fst\ S) \longleftrightarrow I \models_{sextm\ clauses_{NOT}} (fst\ T)$
using *assms*
proof (*induction*)
case (*restart-step* $m\ S\ T\ n\ U$)
then **show** $?case$
 using $rtrancpl-cdcl_{NOT}\text{-bj-sat-ext-iff}\ n\text{-d}$ **by** (*fastforce dest!: relpowp-imp-rtrancpl*)
next
case *restart-full*
then **show** $?case$ **using** $rtrancpl-cdcl_{NOT}\text{-bj-sat-ext-iff}$ **unfolding** *full1-def*
 by (*fastforce dest!: trancpl-into-rtrancpl*)
qed

lemma $rtrancpl-cdcl_{NOT}\text{-restart-sat-ext-iff}$:

assumes
 $st: cdcl_{NOT}\text{-restart}^{**}\ S\ T$ **and**
 $n\text{-d}: no\text{-dup}\ (trail\ (fst\ S))$ **and**
 $inv: inv\ (fst\ S)$
shows $I \models_{sextm\ clauses_{NOT}} (fst\ S) \longleftrightarrow I \models_{sextm\ clauses_{NOT}} (fst\ T)$
using st
proof (*induction*)
case *base*
then **show** $?case$ **by** *simp*
next
case (*step* $T\ U$) **note** $st = \text{this}(1)$ **and** $r = \text{this}(2)$ **and** $IH = \text{this}(3)$
have $inv\ (fst\ T)$
 using $rtrancpl-cdcl_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}[OF\ st]\ inv\ n\text{-d}$ **by** *blast+*
moreover **have** $no\text{-dup}\ (trail\ (fst\ T))$
 using $rtrancpl-cdcl_{NOT}\text{-with-restart-cdcl}_{NOT}\text{-inv}\ rtrancpl-cdcl_{NOT}\text{-no-dup}\ st\ inv\ n\text{-d}$ **by** *blast*
ultimately **show** $?case$
 using $cdcl_{NOT}\text{-restart-sat-ext-iff}[OF\ r]\ IH$ **by** *blast*
qed

theorem $full-cdcl_{NOT}\text{-restart-backjump-final-state}$:

fixes $A :: 'v\ literal\ multiset\ set$ **and** $S\ T :: 'st$
assumes
 $full: full\ cdcl_{NOT}\text{-restart}\ (S, n)\ (T, m)$ **and**
 $atms\text{-}S: atms\text{-of}\text{-}mm\ (clauses_{NOT}\ S) \subseteq atms\text{-of}\text{-}ms\ A$ **and**
 $atms\text{-}trail: atm\text{-of}\ 'lits\text{-of}\text{-}l\ (trail\ S) \subseteq atms\text{-of}\text{-}ms\ A$ **and**
 $n\text{-d}: no\text{-dup}\ (trail\ S)$ **and**
 $fin\text{-}A[simp]: finite\ A$ **and**
 $inv: inv\ S$ **and**

decomp: *all-decomposition-implies-m* (*clauses*_{NOT} *S*) (*get-all-marked-decomposition* (*trail* *S*))
shows *unsatisfiable* (*set-mset* (*clauses*_{NOT} *S*))
 \vee (*lits-of-l* (*trail* *T*) \models *sextm* *clauses*_{NOT} *S* \wedge *satisfiable* (*set-mset* (*clauses*_{NOT} *S*)))
proof –
have *st*: *cdcl*_{NOT}-*restart*** (*S*, *n*) (*T*, *m*) **and**
n-s: *no-step cdcl*_{NOT}-*restart* (*T*, *m*)
using *full unfolding full-def* **by** *fast*+
have *binv-T*: *atms-of-mm* (*clauses*_{NOT} *T*) \subseteq *atms-of-ms* *A*
atm-of ‘*lits-of-l* (*trail* *T*) \subseteq *atms-of-ms* *A*
using *rtrancp-cdcl*_{NOT}-*with-restart-bound-inv*[*OF st*, *of A*] *inv n-d atms-S atms-trail*
by *auto*
moreover **have** *inv-T*: *no-dup* (*trail* *T*) *inv T*
using *rtrancp-cdcl*_{NOT}-*with-restart-cdcl*_{NOT}-*inv*[*OF st*] *inv n-d* **by** *auto*
moreover **have** *all-decomposition-implies-m* (*clauses*_{NOT} *T*) (*get-all-marked-decomposition* (*trail* *T*))
using *rtrancp-cdcl*_{NOT}-*restart-all-decomposition-implies*[*OF st*] *inv n-d*
decomp **by** *auto*
ultimately **have** *T*: *unsatisfiable* (*set-mset* (*clauses*_{NOT} *T*))
 \vee (*trail* *T* \models *asm* *clauses*_{NOT} *T* \wedge *satisfiable* (*set-mset* (*clauses*_{NOT} *T*)))
using *no-step-cdcl*_{NOT}-*restart-no-step-cdcl*_{NOT}[*of* (*T*, *m*) *A*] *n-s*
*cdcl*_{NOT}-*final-state*[*of T A*] **unfolding** *cdcl*_{NOT}-*NOT-all-inv-def* **by** *auto*
have *eq-sat-S-T*: $\bigwedge I. I \models$ *sextm* *clauses*_{NOT} *S* \longleftrightarrow $I \models$ *sextm* *clauses*_{NOT} *T*
using *rtrancp-cdcl*_{NOT}-*restart-sat-ext-iff*[*OF st*] *inv n-d atms-S*
atms-trail **by** *auto*
have *cons-T*: *consistent-interp* (*lits-of-l* (*trail* *T*))
using *inv-T*(1) *distinct-consistent-interp* **by** *blast*
consider
(*unsat*) *unsatisfiable* (*set-mset* (*clauses*_{NOT} *T*))
| (*sat*) *trail* *T* \models *asm* *clauses*_{NOT} *T* **and** *satisfiable* (*set-mset* (*clauses*_{NOT} *T*))
using *T* **by** *blast*
then show *?thesis*
proof *cases*
case *unsat*
then have *unsatisfiable* (*set-mset* (*clauses*_{NOT} *S*))
using *eq-sat-S-T* *consistent-true-clss-ext-satisfiable* *true-clss-imp-true-clss-ext*
unfolding *satisfiable-def* **by** *blast*
then show *?thesis* **by** *fast*
next
case *sat*
then have *lits-of-l* (*trail* *T*) \models *sextm* *clauses*_{NOT} *S*
using *rtrancp-cdcl*_{NOT}-*restart-sat-ext-iff*[*OF st*] *inv n-d atms-S*
atms-trail **by** (*auto simp: true-clss-imp-true-clss-ext true-annots-true-clss*)
moreover then have *satisfiable* (*set-mset* (*clauses*_{NOT} *S*))
using *cons-T* *consistent-true-clss-ext-satisfiable* **by** *blast*
ultimately show *?thesis* **by** *blast*
qed
qed
end — end of *cdcl*_{NOT}-*with-backtrack-and-restarts* locale

The restart does only reset the trail, contrary to Weidenbach’s version. But there is a forget rule.

locale *cdcl*_{NOT}-*merge-bj-learn-with-backtrack-restarts* =
*cdcl*_{NOT}-*merge-bj-learn* *mset-cls insert-cls remove-lit*
mset-clss union-clss in-clss insert-clss remove-from-clss
*trail raw-clauses prepend-trail tl-trail add-clss*_{NOT} *remove-clss*_{NOT}
 $\lambda C\ C'\ L'\ S\ T. \text{distinct-mset}\ (C' + \{\#L'\#\}) \wedge \text{backjump-l-cond}\ C\ C'\ L'\ S\ T$

```

  propagate-conds forget-conds inv
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  trail :: 'st  $\Rightarrow$  ('v, unit, unit) marked-lits and
  raw-clauses :: 'st  $\Rightarrow$  'clss and
  prepend-trail :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-clsNOT :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  propagate-conds :: ('v, unit, unit) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  bool and
  inv :: 'st  $\Rightarrow$  bool and
  forget-conds :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  bool and
  backjump-l-cond :: 'v clause  $\Rightarrow$  'v clause  $\Rightarrow$  'v literal  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  bool
+
fixes f :: nat  $\Rightarrow$  nat
assumes
  unbounded: unbounded f and f-ge-1:  $\bigwedge n. n \geq 1 \Rightarrow f\ n \geq 1$  and
  inv-restart:  $\bigwedge S\ T. inv\ S \Rightarrow T \sim \text{reduce-trail-to}_{NOT} \ \Box\ S \Rightarrow inv\ T$ 
begin

definition not-simplified-cls  $A = \{\#C \in \# A. \text{tautology } C \vee \neg \text{distinct-mset } C\}$ 

lemma simple-clss-or-not-simplified-cls:
  assumes atms-of-mm (clausesNOT S)  $\subseteq$  atms-of-ms A and
     $x \in \# \text{clauses}_{NOT} S$  and finite A
  shows  $x \in \text{simple-clss} (\text{atms-of-ms } A) \vee x \in \# \text{not-simplified-cls} (\text{clauses}_{NOT} S)$ 
proof –
  consider
    (simpl)  $\neg \text{tautology } x$  and distinct-mset x
  | (n-simp)  $\text{tautology } x \vee \neg \text{distinct-mset } x$ 
  by auto
  then show ?thesis
  proof cases
  case simpl
  then have  $x \in \text{simple-clss} (\text{atms-of-ms } A)$ 
    by (meson assms atms-of-atms-of-ms-mono atms-of-ms-finite simple-clss-mono
      distinct-mset-not-tautology-implies-in-simple-clss finite-subset
      subsetCE)
  then show ?thesis by blast
  next
  case n-simp
  then have  $x \in \# \text{not-simplified-cls} (\text{clauses}_{NOT} S)$ 
    using  $\langle x \in \# \text{clauses}_{NOT} S \rangle$  unfolding not-simplified-cls-def by auto
  then show ?thesis by blast
qed
qed

```

lemma cdcl_{NOT}-merged-bj-learn-clauses-bound:

```

assumes
   $cdcl_{NOT}$ -merged-bj-learn  $S$   $T$  and
   $inv$ :  $inv$   $S$  and
   $atms$ - $clss$ :  $atms$ -of- $mm$  ( $clauses_{NOT}$   $S$ )  $\subseteq$   $atms$ -of- $ms$   $A$  and
   $atms$ - $trail$ :  $atm$ -of ( $lits$ -of- $l$  ( $trail$   $S$ ))  $\subseteq$   $atms$ -of- $ms$   $A$  and
   $n$ - $d$ :  $no$ - $dup$  ( $trail$   $S$ ) and
   $fin$ - $A$ [ $simp$ ]:  $finite$   $A$ 
shows  $set$ - $mset$  ( $clauses_{NOT}$   $T$ )  $\subseteq$   $set$ - $mset$  ( $not$ - $simplified$ - $cls$  ( $clauses_{NOT}$   $S$ ))
   $\cup$   $simple$ - $clss$  ( $atms$ -of- $ms$   $A$ )
using  $assms$ 
proof ( $induction$  rule:  $cdcl_{NOT}$ -merged-bj-learn. $induct$ )
  case  $cdcl_{NOT}$ -merged-bj-learn- $decide_{NOT}$ 
  then show ? $case$  using  $dpll$ -bj- $clauses$  by ( $force$   $dest$ !:  $simple$ - $clss$ -or- $not$ - $simplified$ - $cls$ )
next
  case  $cdcl_{NOT}$ -merged-bj-learn- $propagate_{NOT}$ 
  then show ? $case$  using  $dpll$ -bj- $clauses$  by ( $force$   $dest$ !:  $simple$ - $clss$ -or- $not$ - $simplified$ - $cls$ )
next
  case  $cdcl_{NOT}$ -merged-bj-learn- $forget_{NOT}$ 
  then show ? $case$  using  $clauses$ - $remove$ - $cls_{NOT}$  unfolding  $state$ - $eq_{NOT}$ - $def$ 
    by ( $force$   $elim$ !:  $forget_{NOT}$   $E$   $dest$ :  $simple$ - $clss$ -or- $not$ - $simplified$ - $cls$ )
next
  case ( $cdcl_{NOT}$ -merged-bj-learn- $backjump$ - $l$   $T$ ) note  $bj = this(1)$  and  $inv = this(2)$  and
     $atms$ - $clss = this(3)$  and  $atms$ - $trail = this(4)$  and  $n$ - $d = this(5)$ 

  have  $cdcl_{NOT}^{**}$   $S$   $T$ 
    apply (rule  $rtranclp$ - $cdcl_{NOT}$ -merged-bj-learn-is- $rtranclp$ - $cdcl_{NOT}$ )
    using  $\langle backjump$ - $l$   $S$   $T \rangle$   $inv$   $cdcl_{NOT}$ -merged-bj-learn. $simps$   $n$ - $d$  by  $blast+$ 
  have  $atm$ -of ( $lits$ -of- $l$  ( $trail$   $T$ ))  $\subseteq$   $atms$ -of- $ms$   $A$ 
    using  $rtranclp$ - $cdcl_{NOT}$ - $trail$ - $clauses$ - $bound$ [ $OF$   $\langle cdcl_{NOT}^{**}$   $S$   $T \rangle$ ]  $inv$   $atms$ - $trail$   $atms$ - $clss$ 
     $n$ - $d$  by  $auto$ 
  have  $atms$ -of- $mm$  ( $clauses_{NOT}$   $T$ )  $\subseteq$   $atms$ -of- $ms$   $A$ 
    using  $rtranclp$ - $cdcl_{NOT}$ - $trail$ - $clauses$ - $bound$ [ $OF$   $\langle cdcl_{NOT}^{**}$   $S$   $T \rangle$ ]  $inv$   $n$ - $d$   $atms$ - $clss$   $atms$ - $trail$ ]
    by  $fast$ 
  moreover have  $no$ - $dup$  ( $trail$   $T$ )
    using  $rtranclp$ - $cdcl_{NOT}$ - $no$ - $dup$ [ $OF$   $\langle cdcl_{NOT}^{**}$   $S$   $T \rangle$ ]  $inv$   $n$ - $d$ ] by  $fast$ 

  obtain  $F' K F L l C' C D$  where
     $tr$ - $S$ :  $trail$   $S = F' @ Marked$   $K$  ()  $\# F$  and
     $T$ :  $T \sim prepend$ - $trail$  ( $Propagated$   $L$   $l$ ) ( $reduce$ - $trail$ - $to_{NOT}$   $F$  ( $add$ - $cls_{NOT}$   $D$   $S$ )) and
     $C \in \# clauses_{NOT}$   $S$  and
     $trail$   $S \models_{as} CNot$   $C$  and
     $undef$ :  $undefined$ - $lit$   $F$   $L$  and
     $clauses_{NOT}$   $S \models_{pm} C' + \{\#L\# \}$  and
     $F \models_{as} CNot$   $C'$  and
     $D$ :  $mset$ - $cls$   $D = C' + \{\#L\# \}$  and
     $dist$ :  $distinct$ - $mset$  ( $C' + \{\#L\# \}$ ) and
     $tauto$ :  $\neg$   $tautology$  ( $C' + \{\#L\# \}$ ) and
     $backjump$ - $l$ - $cond$   $C$   $C'$   $L$   $S$   $T$ 
    using  $\langle backjump$ - $l$   $S$   $T \rangle$  apply ( $elim$   $backjump$ - $lE$ ) by  $auto$ 

  have  $atms$ -of  $C' \subseteq atm$ -of ( $lits$ -of- $l$   $F$ )
    using  $\langle F \models_{as} CNot$   $C' \rangle$  by ( $simp$   $add$ :  $atm$ -of-in- $atm$ -of- $set$ -iff-in- $set$ -or- $u$ -minus-in- $set$ 
       $atms$ -of- $def$   $image$ - $subset$ -iff-in- $CNot$ -implies- $u$ -minus(2))
  then have  $atms$ -of ( $C' + \{\#L\# \}$ )  $\subseteq$   $atms$ -of- $ms$   $A$ 
    using  $T$   $\langle atm$ -of ( $lits$ -of- $l$  ( $trail$   $T$ ))  $\subseteq$   $atms$ -of- $ms$   $A \rangle$   $tr$ - $S$   $undef$   $n$ - $d$  by  $auto$ 

```

then have $\text{simple-clss} (\text{atms-of} (C' + \{\#L\# \})) \subseteq \text{simple-clss} (\text{atms-of-ms } A)$
apply – **by** (*rule simple-clss-mono*) (*simp-all*)
then have $C' + \{\#L\# \} \in \text{simple-clss} (\text{atms-of-ms } A)$
using *distinct-mset-not-tautology-implies-in-simple-clss*[*OF dist tauto*]
by *auto*
then show ?*case*
using *T inv atms-clss undef tr-S n-d D* **by** (*force dest!*: *simple-clss-or-not-simplified-cls*)
qed

lemma *cdcl_{NOT}-merged-bj-learn-not-simplified-decreasing*:
assumes *cdcl_{NOT}-merged-bj-learn S T*
shows $(\text{not-simplified-cls} (\text{clauses}_{\text{NOT}} T)) \subseteq \# (\text{not-simplified-cls} (\text{clauses}_{\text{NOT}} S))$
using *assms* **apply** *induction*
prefer 4
unfolding *not-simplified-cls-def* **apply** (*auto elim!*: *backjump-lE forget_{NOT}E*)[3]
by (*elim backjump-lE*) *auto*

lemma *rtranclp-cdcl_{NOT}-merged-bj-learn-not-simplified-decreasing*:
assumes *cdcl_{NOT}-merged-bj-learn** S T*
shows $(\text{not-simplified-cls} (\text{clauses}_{\text{NOT}} T)) \subseteq \# (\text{not-simplified-cls} (\text{clauses}_{\text{NOT}} S))$
using *assms* **apply** *induction*
apply *simp*
by (*drule cdcl_{NOT}-merged-bj-learn-not-simplified-decreasing*) *auto*

lemma *rtranclp-cdcl_{NOT}-merged-bj-learn-clauses-bound*:
assumes
*cdcl_{NOT}-merged-bj-learn** S T and*
inv S and
atms-of-mm (clauses_{NOT} S) \subseteq atms-of-ms A and
atm-of ' (lits-of-l (trail S)) \subseteq atms-of-ms A and
n-d: no-dup (trail S) and
finite[simp]: finite A
shows $\text{set-mset} (\text{clauses}_{\text{NOT}} T) \subseteq \text{set-mset} (\text{not-simplified-cls} (\text{clauses}_{\text{NOT}} S))$
 $\cup \text{simple-clss} (\text{atms-of-ms } A)$
using *assms*(1–5)

proof *induction*

case *base*

then show ?*case* **by** (*auto dest!*: *simple-clss-or-not-simplified-cls*)

next

case (*step T U*) **note** *st = this(1)* **and** *cdcl_{NOT} = this(2)* **and** *IH = this(3)[OF this(4–7)]* **and**
inv = this(4) **and** *atms-clss-S = this(5)* **and** *atms-trail-S = this(6)* **and** *finite-cls-S = this(7)*

have *st'*: *cdcl_{NOT}** S T*

using *inv rtranclp-cdcl_{NOT}-merged-bj-learn-is-rtranclp-cdcl_{NOT}-and-inv st n-d* **by** *blast*

have *inv T*

using *inv rtranclp-cdcl_{NOT}-merged-bj-learn-inv st n-d* **by** *blast*

moreover

have *atms-of-mm (clauses_{NOT} T) \subseteq atms-of-ms A and*

atm-of ' lits-of-l (trail T) \subseteq atms-of-ms A

using *rtranclp-cdcl_{NOT}-trail-clauses-bound*[*OF st'*] *inv atms-clss-S atms-trail-S n-d*

by *blast+*

moreover moreover have *no-dup (trail T)*

using *rtranclp-cdcl_{NOT}-no-dup*[*OF 'cdcl_{NOT}** S T' inv n-d*] **by** *fast*

ultimately have *set-mset (clauses_{NOT} U)*

$\subseteq \text{set-mset} (\text{not-simplified-cls} (\text{clauses}_{\text{NOT}} T)) \cup \text{simple-clss} (\text{atms-of-ms } A)$

using *cdcl_{NOT} finite cdcl_{NOT}-merged-bj-learn-clauses-bound*

by (auto intro!: cdcl_{NOT}-merged-bj-learn-clauses-bound)
moreover have set-mset (not-simplified-cls (clauses_{NOT} T))
 ⊆ set-mset (not-simplified-cls (clauses_{NOT} S))
 using rtrancp-cdcl_{NOT}-merged-bj-learn-not-simplified-decreasing[OF st] by auto
ultimately show ?case using IH inv atms-clss-S
 by (auto dest!: simple-clss-or-not-simplified-cls)
qed

abbreviation μ_{CDCL}' -bound **where**
 μ_{CDCL}' -bound A T $\equiv ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))) * 2$
 + card (set-mset (not-simplified-cls (clauses_{NOT} T)))
 + 3 \wedge card (atms-of-ms A)

lemma rtrancp-cdcl_{NOT}-merged-bj-learn-clauses-bound-card:

assumes
 cdcl_{NOT}-merged-bj-learn** S T **and**
 inv S **and**
 atms-of-mm (clauses_{NOT} S) ⊆ atms-of-ms A **and**
 atm-of ' (lits-of-l (trail S)) ⊆ atms-of-ms A **and**
 n-d: no-dup (trail S) **and**
 finite: finite A
shows μ_{CDCL}' -merged A T ≤ μ_{CDCL}' -bound A S
proof –
have set-mset (clauses_{NOT} T) ⊆ set-mset (not-simplified-cls (clauses_{NOT} S))
 ∪ simple-clss (atms-of-ms A)
 using rtrancp-cdcl_{NOT}-merged-bj-learn-clauses-bound[OF assms] .
moreover have card (set-mset (not-simplified-cls (clauses_{NOT} S)))
 ∪ simple-clss (atms-of-ms A))
 ≤ card (set-mset (not-simplified-cls (clauses_{NOT} S))) + 3 \wedge card (atms-of-ms A)
by (meson Nat.le-trans atms-of-ms-finite simple-clss-card card-Un-le finite
 nat-add-left-cancel-le)
ultimately have card (set-mset (clauses_{NOT} T))
 ≤ card (set-mset (not-simplified-cls (clauses_{NOT} S))) + 3 \wedge card (atms-of-ms A)
by (meson Nat.le-trans atms-of-ms-finite simple-clss-finite card-mono
 finite-UnI finite-set-mset local.finite)
moreover have ((2 + card (atms-of-ms A)) \wedge (1 + card (atms-of-ms A)) – μ_C' A T) * 2
 ≤ (2 + card (atms-of-ms A)) \wedge (1 + card (atms-of-ms A)) * 2
by auto
ultimately show ?thesis **unfolding** μ_{CDCL}' -merged-def **by** auto
qed

sublocale cdcl_{NOT}-increasing-restarts-ops $\lambda S T. T \sim \text{reduce-trail-to}_{NOT} ([::'a \text{ list}]) S$
 cdcl_{NOT}-merged-bj-learn f
 $\lambda A S. \text{atms-of-mm } (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms } A$
 $\wedge \text{atm-of ' } (\text{lits-of-l } (\text{trail } S)) \subseteq \text{atms-of-ms } A \wedge \text{finite } A$
 μ_{CDCL}' -merged
 $\lambda S. \text{inv } S \wedge \text{no-dup } (\text{trail } S)$
 μ_{CDCL}' -bound
apply unfold-locales
 using unbounded **apply** simp
 using f-ge-1 **apply** force
apply (blast dest!: cdcl_{NOT}-merged-bj-learn-is-trancp-cdcl_{NOT} trancp-into-rtrancp
 rtrancp-cdcl_{NOT}-trail-clauses-bound)
apply (simp add: cdcl_{NOT}-decreasing-measure)
using rtrancp-cdcl_{NOT}-merged-bj-learn-clauses-bound-card **apply** blast


```

    apply (drule rtrancpl-cdclNOT-merged-bj-learn-not-simplified-decreasing)
    apply (auto dest!: simp: card-mono set-mset-mono ) []
  apply simp
  apply auto []
  using cdclNOT-merged-bj-learn-no-dup-inv cdcl-merged-inv apply blast
  apply (auto simp: inv-restart) []
done

```

lemma $cdcl_{NOT}\text{-restart-}\mu_{CDCL}'\text{-merged-le-}\mu_{CDCL}'\text{-bound}$:

```

assumes
  cdclNOT-restart  $T\ V$ 
  inv (fst  $T$ ) and
  no-dup (trail (fst  $T$ )) and
  atms-of-mm (clausesNOT (fst  $T$ ))  $\subseteq$  atms-of-ms  $A$  and
  atm-of ' lits-of-l (trail (fst  $T$ ))  $\subseteq$  atms-of-ms  $A$  and
  finite  $A$ 
shows  $\mu_{CDCL}'\text{-merged}\ A\ (fst\ V) \leq \mu_{CDCL}'\text{-bound}\ A\ (fst\ T)$ 
using assms
proof induction
  case (restart-full  $S\ T\ n$ )
  show ?case
    unfolding fst-conv
    apply (rule rtrancpl-cdclNOT-merged-bj-learn-clauses-bound-card)
    using restart-full unfolding full1-def by (force dest!: trancpl-into-rtrancpl)+
next
  case (restart-step  $m\ S\ T\ n\ U$ ) note st = this(1) and  $U = \text{this}(3)$  and inv = this(4) and
    n-d = this(5) and atms-clss = this(6) and atms-trail = this(7) and finite = this(8)
  then have st':  $cdcl_{NOT}\text{-merged-bj-learn}^{**}\ S\ T$ 
    by (blast dest: relpowp-imp-rtrancpl)
  then have st'':  $cdcl_{NOT}^{**}\ S\ T$ 
    using inv n-d apply – by (rule rtrancpl-cdclNOT-merged-bj-learn-is-rtrancpl-cdclNOT) auto
  have inv  $T$ 
    apply (rule rtrancpl-cdclNOT-merged-bj-learn-inv)
    using inv st' n-d by auto
  then have inv  $U$ 
    using  $U$  by (auto simp: inv-restart)
  have atms-of-mm (clausesNOT  $T$ )  $\subseteq$  atms-of-ms  $A$ 
    using rtrancpl-cdclNOT-trail-clauses-bound[OF st''] inv atms-clss atms-trail n-d
    by simp
  then have atms-of-mm (clausesNOT  $U$ )  $\subseteq$  atms-of-ms  $A$ 
    using  $U$  by simp
  have not-simplified-cls (clausesNOT  $U$ )  $\subseteq\#$  not-simplified-cls (clausesNOT  $T$ )
    using  $\langle U \sim \text{reduce-trail-to}_{NOT} []\ T \rangle$  by auto
  moreover have not-simplified-cls (clausesNOT  $T$ )  $\subseteq\#$  not-simplified-cls (clausesNOT  $S$ )
    apply (rule rtrancpl-cdclNOT-merged-bj-learn-not-simplified-decreasing)
    using  $\langle (cdcl_{NOT}\text{-merged-bj-learn} \sim m)\ S\ T \rangle$  by (auto dest!: relpowp-imp-rtrancpl)
  ultimately have  $U\text{-S: not-simplified-cls (clauses}_{NOT}\ U) \subseteq\# \text{not-simplified-cls (clauses}_{NOT}\ S)$ 
    by auto

  have (set-mset (clausesNOT  $U$ ))
     $\subseteq$  set-mset (not-simplified-cls (clausesNOT  $U$ ))  $\cup$  simple-clss (atms-of-ms  $A$ )
  apply (rule rtrancpl-cdclNOT-merged-bj-learn-clauses-bound)
  apply simp
  using  $\langle inv\ U \rangle$  apply simp
  using  $\langle \text{atms-of-mm (clauses}_{NOT}\ U) \subseteq \text{atms-of-ms } A \rangle$  apply simp

```

```

    using U apply simp
    using U apply simp
    using finite apply simp
    done
  then have f1: card (set-mset (clausesNOT U)) ≤ card (set-mset (not-simplified-cls (clausesNOT U))
    ∪ simple-clss (atms-of-ms A))
    by (simp add: simple-clss-finite card-mono local.finite)

  moreover have set-mset (not-simplified-cls (clausesNOT U)) ∪ simple-clss (atms-of-ms A)
    ⊆ set-mset (not-simplified-cls (clausesNOT S)) ∪ simple-clss (atms-of-ms A)
    using U-S by auto
  then have f2:
    card (set-mset (not-simplified-cls (clausesNOT U)) ∪ simple-clss (atms-of-ms A))
      ≤ card (set-mset (not-simplified-cls (clausesNOT S)) ∪ simple-clss (atms-of-ms A))
    by (simp add: simple-clss-finite card-mono local.finite)

  moreover have card (set-mset (not-simplified-cls (clausesNOT S))
    ∪ simple-clss (atms-of-ms A))
    ≤ card (set-mset (not-simplified-cls (clausesNOT S))) + card (simple-clss (atms-of-ms A))
    using card-Un-le by blast
  moreover have card (simple-clss (atms-of-ms A)) ≤ 3 ^ card (atms-of-ms A)
    using atms-of-ms-finite simple-clss-card local.finite by blast
  ultimately have card (set-mset (clausesNOT U))
    ≤ card (set-mset (not-simplified-cls (clausesNOT S))) + 3 ^ card (atms-of-ms A)
    by linarith
  then show ?case unfolding  $\mu_{CDCL}'$ -merged-def by auto
qed

```

lemma $cdcl_{NOT}$ -restart- μ_{CDCL}' -bound-le- μ_{CDCL}' -bound:

```

  assumes
    cdclNOT-restart T V and
    no-dup (trail (fst T)) and
    inv (fst T) and
    fin: finite A
  shows  $\mu_{CDCL}'$ -bound A (fst V) ≤  $\mu_{CDCL}'$ -bound A (fst T)
  using assms(1-3)
proof induction
  case (restart-full S T n)
  have not-simplified-cls (clausesNOT T) ⊆# not-simplified-cls (clausesNOT S)
    apply (rule rtrancp-cdclNOT-merged-bj-learn-not-simplified-decreasing)
    using ⟨full1 cdclNOT-merged-bj-learn S T⟩ unfolding full1-def
    by (auto dest: trancp-into-rtrancp)
  then show ?case by (auto simp: card-mono set-mset-mono)
next
  case (restart-step m S T n U) note st = this(1) and U = this(3) and n-d = this(4) and
    inv = this(5)
  then have st': cdclNOT-merged-bj-learn** S T
    by (blast dest: relpowp-imp-rtrancp)
  then have st'': cdclNOT** S T
    using inv n-d apply - by (rule rtrancp-cdclNOT-merged-bj-learn-is-rtrancp-cdclNOT) auto
  have inv T
    apply (rule rtrancp-cdclNOT-merged-bj-learn-inv)
    using inv st' n-d by auto
  then have inv U
    using U by (auto simp: inv-restart)

```

have *not-simplified-cl*s (*clauses*_{NOT} *U*) $\subseteq\#$ *not-simplified-cl*s (*clauses*_{NOT} *T*)
using $\langle U \sim \text{reduce-trail-to}_{NOT} [] T \rangle$ **by** *auto*
moreover have *not-simplified-cl*s (*clauses*_{NOT} *T*) $\subseteq\#$ *not-simplified-cl*s (*clauses*_{NOT} *S*)
apply (*rule* *rtranclp-cdcl*_{NOT}-merged-bj-learn-not-simplified-decreasing)
using $\langle (\text{cdcl}_{NOT}\text{-merged-bj-learn} \hat{\sim} m) S T \rangle$ **by** (*auto* *dest!*: *relpowp-imp-rtranclp*)
ultimately have *U-S*: *not-simplified-cl*s (*clauses*_{NOT} *U*) $\subseteq\#$ *not-simplified-cl*s (*clauses*_{NOT} *S*)
by *auto*
then show ?*case* **by** (*auto* *simp*: *card-mono set-mset-mono*)
qed

sublocale *cdcl*_{NOT}-increasing-restarts - - - - - *f*
 $\lambda S T. T \sim \text{reduce-trail-to}_{NOT} ([]::'a \text{ list}) S$
 $\lambda A S. \text{atms-of-mm} (\text{clauses}_{NOT} S) \subseteq \text{atms-of-ms } A$
 $\wedge \text{atm-of ' lits-of-l } (\text{trail } S) \subseteq \text{atms-of-ms } A \wedge \text{finite } A$
 $\mu_{CDCL}'\text{-merged } \text{cdcl}_{NOT}\text{-merged-bj-learn}$
 $\lambda S. \text{inv } S \wedge \text{no-dup } (\text{trail } S)$
 $\lambda A T. ((2 + \text{card } (\text{atms-of-ms } A)) \wedge (1 + \text{card } (\text{atms-of-ms } A))) * 2$
 $+ \text{card } (\text{set-mset } (\text{not-simplified-cl}(\text{clauses}_{NOT} T)))$
 $+ 3 \wedge \text{card } (\text{atms-of-ms } A)$
apply *unfold-locales*
using *cdcl*_{NOT}-restart- μ_{CDCL}' -merged-le- μ_{CDCL}' -bound **apply** *force*
using *cdcl*_{NOT}-restart- μ_{CDCL}' -bound-le- μ_{CDCL}' -bound **by** *fastforce*

lemma *cdcl*_{NOT}-restart-eq-sat-iff:
assumes
 $\text{cdcl}_{NOT}\text{-restart } S T$ **and**
 $\text{no-dup } (\text{trail } (\text{fst } S))$
 $\text{inv } (\text{fst } S)$
shows $I \models_{\text{sextm}} \text{clauses}_{NOT} (\text{fst } S) \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{NOT} (\text{fst } T)$
using *assms*
proof (*induction rule*: *cdcl*_{NOT}-restart.induct)
case (*restart-full* *S T n*)
then have *cdcl*_{NOT}-merged-bj-learn** *S T*
by (*simp* *add*: *tranclp-into-rtranclp full1-def*)
then show ?*case*
using *rtranclp-cdcl*_{NOT}-bj-sat-ext-iff *restart-full.prem*s(1,2)
*rtranclp-cdcl*_{NOT}-merged-bj-learn-is-rtranclp-*cdcl*_{NOT} **by** *auto*
next
case (*restart-step* *m S T n U*)
then have *cdcl*_{NOT}-merged-bj-learn** *S T*
by (*auto* *simp*: *tranclp-into-rtranclp full1-def* *dest!*: *relpowp-imp-rtranclp*)
then have $I \models_{\text{sextm}} \text{clauses}_{NOT} S \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{NOT} T$
using *rtranclp-cdcl*_{NOT}-bj-sat-ext-iff *restart-step.prem*s(1,2)
*rtranclp-cdcl*_{NOT}-merged-bj-learn-is-rtranclp-*cdcl*_{NOT} **by** *auto*
moreover have $I \models_{\text{sextm}} \text{clauses}_{NOT} T \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{NOT} U$
using *restart-step.hyps*(3) **by** *auto*
ultimately show ?*case* **by** *auto*
qed

lemma *rtranclp-cdcl*_{NOT}-restart-eq-sat-iff:
assumes
 $\text{cdcl}_{NOT}\text{-restart}^{**} S T$ **and**
 $\text{inv: inv } (\text{fst } S)$ **and** *n-d*: $\text{no-dup}(\text{trail } (\text{fst } S))$
shows $I \models_{\text{sextm}} \text{clauses}_{NOT} (\text{fst } S) \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{NOT} (\text{fst } T)$

```

using assms(1)
proof (induction rule: rtrancpl-induct)
  case base
  then show ?case by simp
next
  case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)
  have inv (fst T) and no-dup (trail (fst T))
    using rtrancpl-cdclNOT-with-restart-cdclNOT-inv using st inv n-d by blast+
  then have  $I \models_{\text{sextm}} \text{clauses}_{\text{NOT}}(\text{fst } T) \longleftrightarrow I \models_{\text{sextm}} \text{clauses}_{\text{NOT}}(\text{fst } U)$ 
    using cdclNOT-restart-eq-sat-iff cdcl by blast
  then show ?case using IH by blast
qed

lemma cdclNOT-restart-all-decomposition-implies-m:
  assumes
    cdclNOT-restart S T and
    inv: inv (fst S) and n-d: no-dup(trail (fst S)) and
    all-decomposition-implies-m (clausesNOT (fst S))
    (get-all-marked-decomposition (trail (fst S)))
  shows all-decomposition-implies-m (clausesNOT (fst T))
    (get-all-marked-decomposition (trail (fst T)))
  using assms
proof (induction)
  case (restart-full S T n) note full = this(1) and inv = this(2) and n-d = this(3) and
    decomp = this(4)
  have st: cdclNOT-merged-bj-learn** S T and
    n-s: no-step cdclNOT-merged-bj-learn T
    using full unfolding full1-def by (fast dest: trancpl-into-rtrancpl)+
  have st': cdclNOT** S T
    using inv rtrancpl-cdclNOT-merged-bj-learn-is-rtrancpl-cdclNOT-and-inv st n-d by auto
  have inv T
    using rtrancpl-cdclNOT-cdclNOT-inv[OF st] inv n-d by auto
  then show ?case
    using rtrancpl-cdclNOT-all-decomposition-implies[OF - - n-d decomp] st' inv by auto
next
  case (restart-step m S T n U) note st = this(1) and U = this(3) and inv = this(4) and
    n-d = this(5) and decomp = this(6)
  show ?case using U by auto
qed

lemma rtrancpl-cdclNOT-restart-all-decomposition-implies-m:
  assumes
    cdclNOT-restart** S T and
    inv: inv (fst S) and n-d: no-dup(trail (fst S)) and
    decomp: all-decomposition-implies-m (clausesNOT (fst S))
    (get-all-marked-decomposition (trail (fst S)))
  shows all-decomposition-implies-m (clausesNOT (fst T))
    (get-all-marked-decomposition (trail (fst T)))
  using assms
proof (induction)
  case base
  then show ?case using decomp by simp
next
  case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)[OF this(4-)] and
    inv = this(4) and n-d = this(5) and decomp = this(6)

```

have inv (fst T) **and** $no-dup$ ($trail$ (fst T))
using $rtrancplp-cdcl_{NOT}$ -with-restart- $cdcl_{NOT}$ - inv **using** st inv $n-d$ **by** $blast+$
then show $?case$
using $cdcl_{NOT}$ -restart-all-decomposition-implies- $m[OF\ cdcl]$ IH **by** $auto$
qed

lemma $full-cdcl_{NOT}$ -restart-normal-form:

assumes

$full$: $full\ cdcl_{NOT}$ -restart S T **and**
 inv : inv (fst S) **and** $n-d$: $no-dup$ ($trail$ (fst S)) **and**
 $decomp$: $all-decomposition-implies-m$ ($clauses_{NOT}$ (fst S))
 $(get-all-marked-decomposition$ ($trail$ (fst S))) **and**
 $atms-cls$: $atms-of-mm$ ($clauses_{NOT}$ (fst S)) \subseteq $atms-of-ms$ A **and**
 $atms-trail$: $atm-of$ ' $lits-of-l$ ($trail$ (fst S)) \subseteq $atms-of-ms$ A **and**
 fin : $finite$ A

shows $unsatisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst S)))

\vee $lits-of-l$ ($trail$ (fst T)) \models_{sextm} $clauses_{NOT}$ (fst S) \wedge $satisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst S)))

proof –

have $inv-T$: inv (fst T) **and** $n-d-T$: $no-dup$ ($trail$ (fst T))

using $rtrancplp-cdcl_{NOT}$ -with-restart- $cdcl_{NOT}$ - inv **using** $full$ inv $n-d$ **unfolding** $full-def$ **by** $blast+$

moreover have

$atms-cls-T$: $atms-of-mm$ ($clauses_{NOT}$ (fst T)) \subseteq $atms-of-ms$ A **and**

$atms-trail-T$: $atm-of$ ' $lits-of-l$ ($trail$ (fst T)) \subseteq $atms-of-ms$ A

using $rtrancplp-cdcl_{NOT}$ -with-restart-bound- $inv[of\ S\ T\ A]$ $full$ $atms-cls$ $atms-trail$ fin inv $n-d$

unfolding $full-def$ **by** $blast+$

ultimately have $no-step\ cdcl_{NOT}$ -merged- bj -learn (fst T)

apply –

apply ($rule\ no-step-cdcl_{NOT}$ -restart- $no-step-cdcl_{NOT}[of\ -\ A]$)

using $full$ **unfolding** $full-def$ **apply** $simp$

apply $simp$

using fin **apply** $simp$

done

moreover have $all-decomposition-implies-m$ ($clauses_{NOT}$ (fst T))

$(get-all-marked-decomposition$ ($trail$ (fst T)))

using $rtrancplp-cdcl_{NOT}$ -restart-all-decomposition-implies- $m[of\ S\ T]$ inv $n-d$ $decomp$

$full$ **unfolding** $full-def$ **by** $auto$

ultimately have $unsatisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst T)))

\vee $trail$ (fst T) \models_{asm} $clauses_{NOT}$ (fst T) \wedge $satisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst T)))

apply –

apply ($rule\ cdcl_{NOT}$ -merged- bj -learn-final-state)

using $atms-cls-T$ $atms-trail-T$ fin $n-d-T$ fin $inv-T$ **by** $blast+$

then consider

$(unsat)\ unsatisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst T)))

$|$ $(sat)\ trail$ (fst T) \models_{asm} $clauses_{NOT}$ (fst T) **and** $satisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst T)))

by $auto$

then show $unsatisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst S)))

\vee $lits-of-l$ ($trail$ (fst T)) \models_{sextm} $clauses_{NOT}$ (fst S) \wedge $satisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst S)))

proof cases

case $unsat$

then have $unsatisfiable$ ($set-mset$ ($clauses_{NOT}$ (fst S)))

unfolding $satisfiable-def$ **apply** $auto$

using $rtrancplp-cdcl_{NOT}$ -restart- eq -sat- $iff[of\ S\ T]$ $full$ inv $n-d$

$consistent-true-clss-ext-satisfiable$ $true-clss-imp-true-cls-ext$

unfolding $satisfiable-def$ $full-def$ **by** $blast$

then show $?thesis$ **by** $blast$

```

next
  case sat
  then have lits-of-l (trail (fst T))  $\models_{\text{sextm}}$  clausesNOT (fst T)
    using true-clss-imp-true-cls-ext by (auto simp: true-annots-true-cls)
  then have lits-of-l (trail (fst T))  $\models_{\text{sextm}}$  clausesNOT (fst S)
    using rtrancpl-cdclNOT-restart-eq-sat-iff[of S T] full inv n-d unfolding full-def by blast
  moreover then have satisfiable (set-mset (clausesNOT (fst S)))
    using consistent-true-clss-ext-satisfiable distinct-consistent-interp n-d-T by fast
  ultimately show ?thesis by fast
qed
qed

```

corollary *full-cdcl_{NOT}-restart-normal-form-init-state*:

```

assumes
  init-state: trail S = [] clausesNOT S = N and
  full: full cdclNOT-restart (S, 0) T and
  inv: inv S
shows unsatisfiable (set-mset N)
   $\vee$  lits-of-l (trail (fst T))  $\models_{\text{sextm}}$  N  $\wedge$  satisfiable (set-mset N)
using full-cdclNOT-restart-normal-form[of (S, 0) T] assms by auto

```

end

end

theory DPLL-NOT

imports CDCL-NOT

begin

17 DPLL as an instance of NOT

17.1 DPLL with simple backtrack

We are using a concrete couple instead of an abstract state.

locale *dpll-with-backtrack*

begin

```

inductive backtrack :: ('v, unit, unit) marked-lit list  $\times$  'v clauses
 $\Rightarrow$  ('v, unit, unit) marked-lit list  $\times$  'v clauses  $\Rightarrow$  bool where
backtrack-split (fst S) = (M', L # M)  $\Longrightarrow$  is-marked L  $\Longrightarrow$  D  $\in$  # snd S
 $\Longrightarrow$  fst S  $\models_{\text{as}}$  CNot D  $\Longrightarrow$  backtrack S (Propagated (- (lit-of L)) () # M, snd S)

```

inductive-cases *backtrackE*[elim]: *backtrack* (M, N) (M', N')

lemma *backtrack-is-backjump*:

fixes M M' :: ('v, unit, unit) marked-lit list

assumes

backtrack: *backtrack* (M, N) (M', N') **and**

no-dup: (*no-dup* \circ *fst*) (M, N) **and**

decomp: *all-decomposition-implies-m* N (*get-all-marked-decomposition* M)

shows

$\exists C F' K F L l C'.$

$M = F' @ \text{Marked } K () \# F \wedge$

$M' = \text{Propagated } L l \# F \wedge N = N' \wedge C \in \# N \wedge F' @ \text{Marked } K d \# F \models_{\text{as}} \text{CNot } C \wedge$

$\text{undefined-lit } F L \wedge \text{atm-of } L \in \text{atms-of-mm } N \cup \text{atm-of } ' \text{lits-of-l } (F' @ \text{Marked } K d \# F) \wedge$

$N \models_{\text{pm}} C' + \{\#L\# \} \wedge F \models_{\text{as}} \text{CNot } C'$

proof –

let ?S = (M, N)

```

let ?T = (M', N')
obtain F F' P L D where
  b-sp: backtrack-split M = (F', L # F) and
  is-marked L and
  D ∈# snd ?S and
  M ⊨as CNot D and
  bt: backtrack ?S (Propagated (− (lit-of L)) P # F, N) and
  M': M' = Propagated (− (lit-of L)) P # F and
  [simp]: N' = N
using backtrackE[OF backtrack] by (metis backtrack fstI sndI)
let ?K = lit-of L
let ?C = image-mset lit-of {#K ∈# mset M. is-marked K ∧ K ≠ L#} :: 'v literal multiset
let ?C' = set-mset (image-mset single (?C + {#?K#}))
obtain K where L: L = Marked K () using ⟨is-marked L⟩ by (cases L) auto

have M: M = F' @ Marked K () # F
  using b-sp by (metis L backtrack-split-list-eq fst-conv snd-conv)
moreover have F' @ Marked K () # F ⊨as CNot D
  using ⟨M ⊨as CNot D⟩ unfolding M .
moreover have undefined-lit F (−?K)
  using no-dup unfolding M L by (simp add: defined-lit-map)
moreover have atm-of (−K) ∈ atms-of-mm N ∪ atm-of ' lits-of-l (F' @ Marked K d # F)
  by auto
moreover
  have set-mset N ∪ ?C' ⊨ps {{#}}
  proof −
    have A: set-mset N ∪ ?C' ∪ unmark-l M =
      set-mset N ∪ unmark-l M
    unfolding M L by auto
    have set-mset N ∪ {{#lit-of L#} | L. is-marked L ∧ L ∈ set M}
      ⊨ps unmark-l M
    using all-decomposition-implies-propagated-lits-are-implied[OF decomp] .
    moreover have C': ?C' = {{#lit-of L#} | L. is-marked L ∧ L ∈ set M}
    unfolding M L apply standard
    apply force
    using IntI by auto
    ultimately have N-C-M: set-mset N ∪ ?C' ⊨ps unmark-l M
    by auto
    have set-mset N ∪ (λL. {#lit-of L#}) ' (set M) ⊨ps {{#}}
    unfolding true-clss-clss-def
    proof (intro allI impI, goal-cases)
      case (1 I) note tot = this(1) and cons = this(2) and I-N-M = this(3)
      have I ⊨ D
        using I-N-M ⟨D ∈# snd ?S⟩ unfolding true-clss-def by auto
      moreover have I ⊨s CNot D
        using ⟨M ⊨as CNot D⟩ unfolding M by (metis 1(3) ⟨M ⊨as CNot D⟩
          true-annots-true-clss true-clss-mono-set-mset-l true-clss-def
          true-clss-singleton-lit-of-implies-incl true-clss-union)
      ultimately show ?case using cons consistent-CNot-not by blast
    qed
  then show ?thesis
    using true-clss-clss-left-right[OF N-C-M, of {{#}}] unfolding A by auto
  qed
have N ⊨pm image-mset uminus ?C + {#−?K#}
  unfolding true-clss-clss-def true-clss-clss-def total-over-m-def

```

```

proof (intro allI impI)
  fix I
  assume
    tot: total-over-set I (atms-of-ms (set-mset N  $\cup$  {image-mset uminus ?C + {#- ?K#}})) and
    cons: consistent-interp I and
    I  $\models_{sm}$  N
  have (K  $\in$  I  $\wedge$   $\neg$ K  $\notin$  I)  $\vee$  ( $\neg$ K  $\in$  I  $\wedge$  K  $\notin$  I)
    using cons tot unfolding consistent-interp-def L by (cases K) auto
  have {a  $\in$  set M. is-marked a  $\wedge$  a  $\neq$  Marked K ()} =
    set M  $\cap$  {L. is-marked L  $\wedge$  L  $\neq$  Marked K ()}
    by auto
  then have
    tI: total-over-set I (atm-of 'lit-of ' (set M  $\cap$  {L. is-marked L  $\wedge$  L  $\neq$  Marked K d}))
    using tot by (auto simp add: L atms-of-uminus-lit-atm-of-lit-of)

  then have H:  $\bigwedge x.$ 
    lit-of x  $\notin$  I  $\implies$  x  $\in$  set M  $\implies$  is-marked x
     $\implies$  x  $\neq$  Marked K d  $\implies$   $\neg$ lit-of x  $\in$  I
  proof -
    fix x :: ('v, unit, unit) marked-lit
    assume a1: x  $\neq$  Marked K d
    assume a2: is-marked x
    assume a3: x  $\in$  set M
    assume a4: lit-of x  $\notin$  I
    have atm-of (lit-of x)  $\in$  atm-of 'lit-of '
      (set M  $\cap$  {m. is-marked m  $\wedge$  m  $\neq$  Marked K d})
      using a3 a2 a1 by blast
    then have Pos (atm-of (lit-of x))  $\in$  I  $\vee$  Neg (atm-of (lit-of x))  $\in$  I
      using tI unfolding total-over-set-def by blast
    then show  $\neg$  lit-of x  $\in$  I
      using a4 by (metis (no-types) atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
        literal.sel(1,2))
  qed
  have  $\neg$ I  $\models_s$  ?C'
    using (set-mset N  $\cup$  ?C'  $\models_{ps}$  {{#}}) tot cons (I  $\models_{sm}$  N)
    unfolding true-clss-clss-def total-over-m-def
    by (simp add: atms-of-uminus-lit-atm-of-lit-of atms-of-ms-single-image-atm-of-lit-of)
  then show I  $\models$  image-mset uminus ?C + {#- lit-of L#}
    unfolding true-clss-def true-clss-def
    using (K  $\in$  I  $\wedge$   $\neg$ K  $\notin$  I)  $\vee$  ( $\neg$ K  $\in$  I  $\wedge$  K  $\notin$  I)
    unfolding L by (auto dest!: H)
  qed
moreover
  have set F'  $\cap$  {K. is-marked K  $\wedge$  K  $\neq$  L} = {}
    using backtrack-split-fst-not-marked[of - M] b-sp by auto
  then have F  $\models_{as}$  CNot (image-mset uminus ?C)
    unfolding M CNot-def true-annots-def by (auto simp add: L lits-of-def)
  ultimately show ?thesis
    using M' (D  $\in$  # snd ?S) L by force
qed

lemma backtrack-is-backjump':
  fixes M M' :: ('v, unit, unit) marked-lit list
  assumes
    backtrack: backtrack S T and

```


no-dup: (*no-dup* \circ *fst*) *S* **and**
decomp: *all-decomposition-implies-m* (*snd* *S*) (*get-all-marked-decomposition* (*fst* *S*))
shows
 $\exists C F' K F L l C'.$
 $fst\ S = F' @ \text{Marked } K\ () \# F \wedge$
 $T = (\text{Propagated } L\ l \# F, snd\ S) \wedge C \in \# \text{ } snd\ S \wedge fst\ S \models_{as} CNot\ C$
 $\wedge \text{undefined-lit } F\ L \wedge atm\text{-of } L \in atm\text{-of-mm } (snd\ S) \cup atm\text{-of } ' \text{ lits-of-l } (fst\ S) \wedge$
 $snd\ S \models_{pm} C' + \{\#L\# \} \wedge F \models_{as} CNot\ C'$
apply (*cases* *S*, *cases* *T*)
using *backtrack-is-backjump*[*of* *fst* *S* *snd* *S* *fst* *T* *snd* *T*] *assms* **by** *fastforce*

sublocale *dpll-state*

id $\lambda L\ C. C + \{\#L\# \}$ *remove1-mset*
id $op + op \in \# \lambda L\ C. C + \{\#L\# \}$ *remove1-mset*
fst *snd* $\lambda L\ (M, N). (L \# M, N) \lambda(M, N). (tl\ M, N)$
 $\lambda C\ (M, N). (M, \{\#C\# \} + N) \lambda C\ (M, N). (M, \text{removeAll-mset } C\ N)$
by *unfold-locales* (*auto simp: ac-simps*)

sublocale *backjumping-ops*

id $\lambda L\ C. C + \{\#L\# \}$ *remove1-mset*
id $op + op \in \# \lambda L\ C. C + \{\#L\# \}$ *remove1-mset*
fst *snd* $\lambda L\ (M, N). (L \# M, N) \lambda(M, N). (tl\ M, N)$
 $\lambda C\ (M, N). (M, \{\#C\# \} + N) \lambda C\ (M, N). (M, \text{removeAll-mset } C\ N) \lambda - - S\ T. \text{backtrack } S\ T$
by *unfold-locales*

lemma *reduce-trail-to_{NOT}-snd*:

snd (*reduce-trail-to_{NOT}* *F* *S*) = *snd* *S*
apply (*induction* *F* *S* *rule: reduce-trail-to_{NOT}.induct*)
by (*cases* *S*, *rename-tac* *F* *Sa*, *case-tac* *Sa*)
(simp add: less-imp-diff-less reduce-trail-to_{NOT}.simps)

lemma *reduce-trail-to_{NOT}*:

reduce-trail-to_{NOT} *F* *S* =
(if *length* (*fst* *S*) \geq *length* *F*
then *drop* (*length* (*fst* *S*) - *length* *F*) (*fst* *S*)
else [],
snd *S*) (*is* *?R* = *?C*)

proof –

have *?R* = (*fst* *?R*, *snd* *?R*)
by *auto*
also have (*fst* *?R*, *snd* *?R*) = *?C*
by (*auto simp: trail-reduce-trail-to_{NOT}-drop reduce-trail-to_{NOT}-snd*)
finally show *?thesis* .

qed

lemma *backtrack-is-backjump''*:

fixes *M* *M'* :: ('*v*, *unit*, *unit*) *marked-lit* *list*
assumes
backtrack: *backtrack* *S* *T* **and**
no-dup: (*no-dup* \circ *fst*) *S* **and**
decomp: *all-decomposition-implies-m* (*snd* *S*) (*get-all-marked-decomposition* (*fst* *S*))
shows *backjump* *S* *T*

proof –

obtain *C* *F'* *K* *F* *L* *l* *C'* **where**
 $1: fst\ S = F' @ \text{Marked } K\ () \# F$ **and**

2: $T = (\text{Propagated } L \text{ l } \# F, \text{snd } S)$ **and**
 3: $C \in \# \text{snd } S$ **and**
 4: $\text{fst } S \models_{\text{as}} \text{CNot } C$ **and**
 5: $\text{undefined-lit } F L$ **and**
 6: $\text{atm-of } L \in \text{atms-of-mm } (\text{snd } S) \cup \text{atm-of ' lits-of-l } (\text{fst } S)$ **and**
 7: $\text{snd } S \models_{\text{pm}} C' + \{\#L\# \}$ **and**
 8: $F \models_{\text{as}} \text{CNot } C'$
using *backtrack-is-backjump'*[*OF assms*] **by force**
show *?thesis*
apply (*cases S*)
using *backjump.intros*[*OF 1 - - 4 5 - - 8, of T*] 2 *backtrack 1 5 3 6 7*
by (*auto simp: state-eq_{NOT}-def trail-reduce-trail-to_{NOT}-drop*
reduce-trail-to_{NOT} simp del: state-simp_{NOT})
qed

lemma *can-do-bt-step*:
assumes
 $M: \text{fst } S = F' @ \text{Marked } K d \# F$ **and**
 $C \in \# \text{snd } S$ **and**
 $C: \text{fst } S \models_{\text{as}} \text{CNot } C$
shows $\neg \text{no-step backtrack } S$
proof -
obtain $L G' G$ **where**
 $\text{backtrack-split } (\text{fst } S) = (G', L \# G)$
unfolding M **by** (*induction F' rule: marked-lit-list-induct*) *auto*
moreover then have *is-marked L*
by (*metis backtrack-split-snd-hd-marked list.distinct(1) list.sel(1) snd-conv*)
ultimately show *?thesis*
using *backtrack.intros*[*of S G' L G C*] $\langle C \in \# \text{snd } S \rangle C$ **unfolding** M **by** *auto*
qed

end

sublocale *dpll-with-backtrack* \subseteq *dpll-with-backjumping-ops*
id $\lambda L C. C + \{\#L\# \}$ *remove1-mset*
id $op + op \in \# \lambda L C. C + \{\#L\# \}$ *remove1-mset*
fst $\text{snd } \lambda L (M, N). (L \# M, N)$
 $\lambda(M, N). (\text{tl } M, N) \lambda C (M, N). (M, \{\#C\# \} + N) \lambda C (M, N). (M, \text{removeAll-mset } C N)$
 $\lambda(M, N). \text{no-dup } M \wedge \text{all-decomposition-implies-m } N$ (*get-all-marked-decomposition M*)
 $\lambda - - S T. \text{backtrack } S T$
 $\lambda - -. \text{True}$
apply *unfold-locales*
by (*metis (mono-tags, lifting) case-prod-beta comp-def dpll-with-backtrack.backtrack-is-backjump''*
dpll-with-backtrack.can-do-bt-step id-apply)

sublocale *dpll-with-backtrack* \subseteq *dpll-with-backjumping*
id $\lambda L C. C + \{\#L\# \}$ *remove1-mset*
id $op + op \in \# \lambda L C. C + \{\#L\# \}$ *remove1-mset*
fst $\text{snd } \lambda L (M, N). (L \# M, N)$
 $\lambda(M, N). (\text{tl } M, N) \lambda C (M, N). (M, \{\#C\# \} + N) \lambda C (M, N). (M, \text{removeAll-mset } C N)$
 $\lambda(M, N). \text{no-dup } M \wedge \text{all-decomposition-implies-m } N$ (*get-all-marked-decomposition M*)
 $\lambda - - S T. \text{backtrack } S T$
 $\lambda - -. \text{True}$
apply *unfold-locales*
using *dpll-bj-no-dup dpll-bj-all-decomposition-implies-inv* **apply** *fastforce*

```

done

context dpll-with-backtrack
begin
term learn
end

context dpll-with-backtrack
begin
lemma wf-tranclp-dpll-inital-state:
  assumes fin: finite A
  shows wf {((M':('v, unit, unit) marked-lits, N':('v clauses), ([], N))|M' N' N.
    dpll-bj++ ([], N) (M', N') ∧ atms-of-mm N ⊆ atms-of-ms A}
  using wf-tranclp-dpll-bj[OF assms(1)] by (rule wf-subset) auto

corollary full-dpll-final-state-conclusive:
  fixes M M' :: ('v, unit, unit) marked-lit list
  assumes
    full: full dpll-bj ([], N) (M', N')
  shows unsatisfiable (set-mset N) ∨ (M' ⊨asm N ∧ satisfiable (set-mset N))
  using assms full-dpll-backjump-final-state[of ([],N) (M', N') set-mset N] by auto

corollary full-dpll-normal-form-from-init-state:
  fixes M M' :: ('v, unit, unit) marked-lit list
  assumes
    full: full dpll-bj ([], N) (M', N')
  shows M' ⊨asm N ⟷ satisfiable (set-mset N)
proof -
  have no-dup M'
  using rtranclp-dpll-bj-no-dup[of ([], N) (M', N')]
  full unfolding full-def by auto
  then have M' ⊨asm N ⟹ satisfiable (set-mset N)
  using distinct-consistent-interp satisfiable-carac' true-annots-true-cls by blast
  then show ?thesis
  using full-dpll-final-state-conclusive[OF full] by auto
qed

interpretation conflict-driven-clause-learning-ops
  id λL C. C + {#L#} remove1-mset
  id op + op ∈# λL C. C + {#L#} remove1-mset
  fst snd λL (M, N). (L # M, N)
  λ(M, N). (tl M, N) λC (M, N). (M, {#C#} + N) λC (M, N). (M, removeAll-mset C N)
  λ(M, N). no-dup M ∧ all-decomposition-implies-m N (get-all-marked-decomposition M)
  λ- - S T. backtrack S T
  λ- -. True λ- -. False λ- -. False
  by unfold-locales

interpretation conflict-driven-clause-learning
  id λL C. C + {#L#} remove1-mset
  id op + op ∈# λL C. C + {#L#} remove1-mset
  fst snd λL (M, N). (L # M, N)
  λ(M, N). (tl M, N) λC (M, N). (M, {#C#} + N) λC (M, N). (M, removeAll-mset C N)
  λ(M, N). no-dup M ∧ all-decomposition-implies-m N (get-all-marked-decomposition M)
  λ- - S T. backtrack S T

```

```

λ- -. True λ- -. False λ- -. False
apply unfold-locales
using cdclNOT-all-decomposition-implies cdclNOT-no-dup by fastforce

```

```

lemma cdclNOT-is-dpll:
  cdclNOT S T  $\longleftrightarrow$  dpll-bj S T
  by (auto simp: cdclNOT.simps learn.simps forgetNOT.simps)

```

Another proof of termination:

```

lemma wf {(T, S). dpll-bj S T  $\wedge$  cdclNOT-NOT-all-inv A S}
  unfolding cdclNOT-is-dpll[symmetric]
  by (rule wf-cdclNOT-no-learn-and-forget-infinite-chain)
  (auto simp: learn.simps forgetNOT.simps)
end

```

17.2 Adding restarts

This was mainly a test whether it was possible to instantiate the assumption of the locale.

```

locale dpll-withbacktrack-and-restarts =
  dpll-with-backtrack +
  fixes f :: nat  $\Rightarrow$  nat
  assumes unbounded: unbounded f and f-ge-1:  $\bigwedge n. n \geq 1 \Rightarrow f\ n \geq 1$ 
begin
  sublocale cdclNOT-increasing-restarts
    id λL C. C + {#L#} remove1-mset
    id op + op ∈ # λL C. C + {#L#} remove1-mset
  fst snd λL (M, N). (L # M, N) λ(M, N). (tl M, N)
  λC (M, N). (M, {#C#} + N) λC (M, N). (M, removeAll-mset C N) f λ(-, N) S. S = ([], N)
  λA (M, N). atms-of-mm N  $\subseteq$  atms-of-ms A  $\wedge$  atm-of ' lits-of-l M  $\subseteq$  atms-of-ms A  $\wedge$  finite A
   $\wedge$  all-decomposition-implies-m N (get-all-marked-decomposition M)
  λA T. ( $2 + \text{card } (\text{atms-of-ms } A)$ )  $\wedge$  ( $1 + \text{card } (\text{atms-of-ms } A)$ )
    -  $\mu_C$  ( $1 + \text{card } (\text{atms-of-ms } A)$ ) ( $2 + \text{card } (\text{atms-of-ms } A)$ ) (trail-weight T) dpll-bj
  λ(M, N). no-dup M  $\wedge$  all-decomposition-implies-m N (get-all-marked-decomposition M)
  λA -. ( $2 + \text{card } (\text{atms-of-ms } A)$ )  $\wedge$  ( $1 + \text{card } (\text{atms-of-ms } A)$ )
  apply unfold-locales
    apply (rule unbounded)
    using f-ge-1 apply fastforce
    apply (smt dpll-bj-all-decomposition-implies-inv dpll-bj-atms-in-trail-in-set
      dpll-bj-clauses id-apply prod.case-eq-if)
    apply (rule dpll-bj-trail-mes-decreasing-prop; auto)
    apply (rename-tac A T U, case-tac T, simp)
    apply (rename-tac A T U, case-tac U, simp)
    using dpll-bj-clauses dpll-bj-all-decomposition-implies-inv dpll-bj-no-dup by fastforce +
end

end
theory DPLL-W
imports Main Partial-Clausal-Logic Partial-Annotated-Clausal-Logic List-More Wellfounded-More
  DPLL-NOT
begin

```

18 DPLL

18.1 Rules

type-synonym $'a \text{ dpll}_W\text{-marked-lit} = ('a, \text{unit}, \text{unit}) \text{ marked-lit}$
type-synonym $'a \text{ dpll}_W\text{-marked-lits} = ('a, \text{unit}, \text{unit}) \text{ marked-lits}$
type-synonym $'v \text{ dpll}_W\text{-state} = 'v \text{ dpll}_W\text{-marked-lits} \times 'v \text{ clauses}$

abbreviation $\text{trail} :: 'v \text{ dpll}_W\text{-state} \Rightarrow 'v \text{ dpll}_W\text{-marked-lits}$ **where**
 $\text{trail} \equiv \text{fst}$
abbreviation $\text{clauses} :: 'v \text{ dpll}_W\text{-state} \Rightarrow 'v \text{ clauses}$ **where**
 $\text{clauses} \equiv \text{snd}$

The definition of DPLL is given in figure 2.13 page 70 of CW.

inductive $\text{dpll}_W :: 'v \text{ dpll}_W\text{-state} \Rightarrow 'v \text{ dpll}_W\text{-state} \Rightarrow \text{bool}$ **where**
 $\text{propagate: } C + \{\#L\# \} \in \# \text{ clauses } S \Longrightarrow \text{trail } S \models_{\text{as}} C \text{Not } C \Longrightarrow \text{undefined-lit } (\text{trail } S) \ L$
 $\Longrightarrow \text{dpll}_W \ S \ (\text{Propagated } L \ () \ \# \ \text{trail } S, \text{ clauses } S) \mid$
 $\text{decided: } \text{undefined-lit } (\text{trail } S) \ L \Longrightarrow \text{atm-of } L \in \text{atms-of-mm } (\text{clauses } S)$
 $\Longrightarrow \text{dpll}_W \ S \ (\text{Marked } L \ () \ \# \ \text{trail } S, \text{ clauses } S) \mid$
 $\text{backtrack: } \text{backtrack-split } (\text{trail } S) = (M', L \# M) \Longrightarrow \text{is-marked } L \Longrightarrow D \in \# \text{ clauses } S$
 $\Longrightarrow \text{trail } S \models_{\text{as}} C \text{Not } D \Longrightarrow \text{dpll}_W \ S \ (\text{Propagated } (- \ (\text{lit-of } L)) \ () \ \# \ M, \text{ clauses } S)$

18.2 Invariants

lemma $\text{dpll}_W\text{-distinct-inv:}$
assumes $\text{dpll}_W \ S \ S'$
and $\text{no-dup } (\text{trail } S)$
shows $\text{no-dup } (\text{trail } S')$
using assms
proof ($\text{induct rule: } \text{dpll}_W.\text{induct}$)
case ($\text{decided } L \ S$)
then show $?case$ **using** $\text{defined-lit-map by force}$
next
case ($\text{propagate } C \ L \ S$)
then show $?case$ **using** $\text{defined-lit-map by force}$
next
case ($\text{backtrack } S \ M' \ L \ M \ D$) **note** $\text{extracted} = \text{this}(1)$ **and** $\text{no-dup} = \text{this}(5)$
show $?case$
using $\text{no-dup backtrack-split-list-eq[of trail } S, \text{ symmetric}]$ **unfolding** extracted by auto
qed

lemma $\text{dpll}_W\text{-consistent-interp-inv:}$
assumes $\text{dpll}_W \ S \ S'$
and $\text{consistent-interp } (\text{lits-of-l } (\text{trail } S))$
and $\text{no-dup } (\text{trail } S)$
shows $\text{consistent-interp } (\text{lits-of-l } (\text{trail } S'))$
using assms
proof ($\text{induct rule: } \text{dpll}_W.\text{induct}$)
case ($\text{backtrack } S \ M' \ L \ M \ D$) **note** $\text{extracted} = \text{this}(1)$ **and** $\text{marked} = \text{this}(2)$ **and** $D = \text{this}(4)$ **and**
 $\text{cons} = \text{this}(5)$ **and** $\text{no-dup} = \text{this}(6)$
have $\text{no-dup}': \text{no-dup } M$
by ($\text{metis } (\text{no-types}) \text{backtrack-split-list-eq distinct.simps}(2) \text{distinct-append extracted}$
 $\text{list.simps}(9) \text{map-append no-dup snd-conv}$)
then have $\text{insert } (\text{lit-of } L) \ (\text{lits-of-l } M) \subseteq \text{lits-of-l } (\text{trail } S)$
using $\text{backtrack-split-list-eq[of trail } S, \text{ symmetric}]$ **unfolding** extracted by auto
then have $\text{cons: consistent-interp } (\text{insert } (\text{lit-of } L) \ (\text{lits-of-l } M))$

```

    using consistent-interp-subset cons by blast
  moreover
    have lit-of L  $\notin$  lits-of-l M
      using no-dup backtrack-split-list-eq[of trail S, symmetric] extracted
      unfolding lits-of-def by force
  moreover
    have atm-of ( $\neg$ lit-of L)  $\notin$  ( $\lambda m.$  atm-of (lit-of m)) ‘ set M
      using no-dup backtrack-split-list-eq[of trail S, symmetric] unfolding extracted by force
    then have  $\neg$ lit-of L  $\notin$  lits-of-l M
      unfolding lits-of-def by force
  ultimately show ?case by simp
qed (auto intro: consistent-add-undefined-lit-consistent)

```

```

lemma dpllW-vars-in-snd-inv:
  assumes dpllW S S'
  and atm-of ‘ (lits-of-l (trail S))  $\subseteq$  atms-of-mm (clauses S)
  shows atm-of ‘ (lits-of-l (trail S'))  $\subseteq$  atms-of-mm (clauses S')
  using assms

```

```

proof (induct rule: dpllW.induct)
  case (backtrack S M' L M D)
  then have atm-of (lit-of L)  $\in$  atms-of-mm (clauses S)
    using backtrack-split-list-eq[of trail S, symmetric] by auto
  moreover
    have atm-of ‘ lits-of-l (trail S)  $\subseteq$  atms-of-mm (clauses S)
      using backtrack(5) by simp
    then have  $\bigwedge xb. xb \in \text{set } M \implies \text{atm-of (lit-of } xb) \in \text{atms-of-mm (clauses S)}$ 
      using backtrack-split-list-eq[symmetric, of trail S] backtrack.hyps(1)
      unfolding lits-of-def by auto
    ultimately show ?case by (auto simp: lits-of-def)
qed (auto simp: in-plus-implies-atm-of-on-atms-of-ms)

```

```

lemma atms-of-ms-lit-of-atms-of: atms-of-ms (( $\lambda a.$  { $\#$ lit-of a $\#$ }) ‘ c) = atm-of ‘ lit-of ‘ c
  unfolding atms-of-ms-def using image-iff by force

```

Lemma theorem 2.8.2 page 71 of CW

```

lemma dpllW-propagate-is-conclusion:
  assumes dpllW S S'
  and all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  and atm-of ‘ lits-of-l (trail S)  $\subseteq$  atms-of-mm (clauses S)
  shows all-decomposition-implies-m (clauses S') (get-all-marked-decomposition (trail S'))
  using assms
proof (induct rule: dpllW.induct)
  case (decided L S)
  then show ?case unfolding all-decomposition-implies-def by simp
next
  case (propagate C L S) note inS = this(1) and cnot = this(2) and IH = this(4) and undef =
    this(3) and atms-incl = this(5)
  let ?I = set (map ( $\lambda a.$  { $\#$ lit-of a $\#$ }) (trail S))  $\cup$  set-mset (clauses S)
  have ?I  $\models_p$  C + { $\#$ L $\#$ } by (auto simp add: inS)
  moreover have ?I  $\models_{ps}$  CNot C using true-annots-true-clss-cls cnot by fastforce
  ultimately have ?I  $\models_p$  { $\#$ L $\#$ } using true-clss-cls-plus-CNot[of ?I C L] inS by blast
  {
    assume get-all-marked-decomposition (trail S) = []
    then have ?case by blast
  }
}

```

```

moreover {
  assume  $n$ : get-all-marked-decomposition (trail  $S$ )  $\neq []$ 
  have  $1$ :  $\bigwedge a\ b. (a, b) \in \text{set } (\text{tl } (\text{get-all-marked-decomposition } (\text{trail } S)))$ 
     $\implies (\text{unmark-l } a \cup \text{set-mset } (\text{clauses } S)) \models_{ps} \text{unmark-l } b$ 
    using IH unfolding all-decomposition-implies-def by (fastforce simp add: list.set-sel(2) n)
  moreover have  $2$ :  $\bigwedge a\ c. \text{hd } (\text{get-all-marked-decomposition } (\text{trail } S)) = (a, c)$ 
     $\implies (\text{unmark-l } a \cup \text{set-mset } (\text{clauses } S)) \models_{ps} (\text{unmark-l } c)$ 
    by (metis IH all-decomposition-implies-cons-pair all-decomposition-implies-single list.collapse n)
  moreover have  $3$ :  $\bigwedge a\ c. \text{hd } (\text{get-all-marked-decomposition } (\text{trail } S)) = (a, c)$ 
     $\implies (\text{unmark-l } a \cup \text{set-mset } (\text{clauses } S)) \models_p \{\#L\#$ 
  proof –
    fix  $a\ c$ 
    assume  $h$ :  $\text{hd } (\text{get-all-marked-decomposition } (\text{trail } S)) = (a, c)$ 
    have  $h'$ :  $\text{trail } S = c @ a$  using get-all-marked-decomposition-decomp  $h$  by blast
    have  $I$ :  $\text{set } (\text{map } (\lambda a. \{\#lit\text{-of } a\#\})\ a) \cup \text{set-mset } (\text{clauses } S)$ 
       $\cup \text{unmark-l } c \models_{ps} \text{CNot } C$ 
    using  $\langle ?I \models_{ps} \text{CNot } C \rangle$  unfolding  $h'$  by (simp add: Un-commute Un-left-commute)
    have
       $\text{atms-of-ms } (\text{CNot } C) \subseteq \text{atms-of-ms } (\text{set } (\text{map } (\lambda a. \{\#lit\text{-of } a\#\})\ a) \cup \text{set-mset } (\text{clauses } S))$ 
      and
       $\text{atms-of-ms } (\text{unmark-l } c) \subseteq \text{atms-of-ms } (\text{set } (\text{map } (\lambda a. \{\#lit\text{-of } a\#\})\ a) \cup \text{set-mset } (\text{clauses } S))$ 
    apply (metis CNot-plus Un-subset-iff atms-of-atms-of-ms-mono atms-of-ms-CNot-atms-of atms-of-ms-union inS sup.coboundedI2)
    using inS atms-of-atms-of-ms-mono atms-incl by (fastforce simp: h')

    then have  $\text{unmark-l } a \cup \text{set-mset } (\text{clauses } S) \models_{ps} \text{CNot } C$ 
    using true-clss-clss-left-right[OF - I] h 2 by auto
    then show  $\text{unmark-l } a \cup \text{set-mset } (\text{clauses } S) \models_p \{\#L\#$ 
    by (metis (no-types) Un-insert-right inS insertI1 mk-disjoint-insert inS true-clss-clss-in true-clss-clss-plus-CNot)
    qed
  ultimately have  $?case$ 
    by (cases hd (get-all-marked-decomposition (trail S)))
    (auto simp: all-decomposition-implies-def)
}
ultimately show  $?case$  by auto
next
case (backtrack  $S\ M'\ L\ M\ D$ ) note  $\text{extracted} = \text{this}(1)$  and  $\text{marked} = \text{this}(2)$  and  $D = \text{this}(3)$  and
 $\text{cnot} = \text{this}(4)$  and  $\text{cons} = \text{this}(4)$  and  $IH = \text{this}(5)$  and  $\text{atms-incl} = \text{this}(6)$ 
have  $S$ :  $\text{trail } S = M' @ L \# M$ 
using backtrack-split-list-eq[of trail S] unfolding  $\text{extracted}$  by auto
have  $M'$ :  $\forall l \in \text{set } M'. \neg \text{is-marked } l$ 
using  $\text{extracted}$  backtrack-split-fst-not-marked[of - trail S] by simp
have  $n$ : get-all-marked-decomposition (trail  $S$ )  $\neq []$  by auto
then have all-decomposition-implies-m (clauses  $S$ )  $((L \# M, M')$ 
   $\# \text{tl } (\text{get-all-marked-decomposition } (\text{trail } S)))$ 
by (metis (no-types) IH extracted get-all-marked-decomposition-backtrack-split list.exhaust-sel)
then have  $1$ :  $\text{unmark-l } (L \# M) \cup \text{set-mset } (\text{clauses } S) \models_{ps} (\lambda a. \{\#lit\text{-of } a\#\})\ \text{'set } M'$ 
by simp
moreover
have  $\text{unmark-l } (L \# M) \cup \text{unmark-l } M' \models_{ps} \text{CNot } D$ 
by (metis (mono-tags, lifting) S Un-commute cons image-Un set-append true-annots-true-clss-clss)

```

```

then have 2: unmark-l ( $L \# M$ )  $\cup$  set-mset (clauses  $S$ )  $\cup$  unmark-l  $M'$ 
   $\models_{ps}$  CNot  $D$ 
by (metis (no-types, lifting) Un-assoc Un-left-commute true-clss-clss-union-l-r)
ultimately
have set (map ( $\lambda a. \{\#lit\text{-of } a\# \}$ ) ( $L \# M$ ))  $\cup$  set-mset (clauses  $S$ )  $\models_{ps}$  CNot  $D$ 
  using true-clss-clss-left-right by fastforce
then have set (map ( $\lambda a. \{\#lit\text{-of } a\# \}$ ) ( $L \# M$ ))  $\cup$  set-mset (clauses  $S$ )  $\models_p$   $\{\#\}$ 
  by (metis (mono-tags, lifting)  $D$  Un-def mem-Collect-eq
    true-clss-clss-contradiction-true-clss-clss-false)
then have  $IL$ : unmark-l  $M \cup$  set-mset (clauses  $S$ )  $\models_p$   $\{\#-lit\text{-of } L\#\}$ 
  using true-clss-clss-false-left-right by auto
show ?case unfolding  $S$  all-decomposition-implies-def
proof
  fix  $x$  P level
  assume  $x$ :  $x \in$  set (get-all-marked-decomposition
    (fst (Propagated ( $- lit\text{-of } L$ )  $P \# M$ , clauses  $S$ )))
  let ? $M'$  = Propagated ( $- lit\text{-of } L$ )  $P \# M$ 
  let ? $hd$  = hd (get-all-marked-decomposition ? $M'$ )
  let ? $tl$  = tl (get-all-marked-decomposition ? $M'$ )
  have  $x = ?hd \vee x \in$  set ? $tl$ 
    using  $x$ 
    by (cases get-all-marked-decomposition ? $M'$ )
      auto
  moreover {
    assume  $x'$ :  $x \in$  set ? $tl$ 
    have  $L'$ : Marked (lit-of  $L$ ) () =  $L$  using marked by (cases  $L$ , auto)
    have  $x \in$  set (get-all-marked-decomposition ( $M' @ L \# M$ ))
      using  $x'$  get-all-marked-decomposition-except-last-choice-equal[of  $M' lit\text{-of } L P M$ ]
       $L'$  by (metis (no-types)  $M'$  list.set-sel(2) tl-Nil)
    then have case  $x$  of ( $Ls$ , seen)  $\Rightarrow$  unmark-l  $Ls \cup$  set-mset (clauses  $S$ )
       $\models_{ps}$  unmark-l seen
      using marked IH by (cases  $L$ ) (auto simp add: S all-decomposition-implies-def)
  }
  moreover {
    assume  $x'$ :  $x = ?hd$ 
    have  $tl$ : tl (get-all-marked-decomposition ( $M' @ L \# M$ ))  $\neq []$ 
    proof -
      have  $f1$ :  $\bigwedge ms. \text{length} (\text{get-all-marked-decomposition} (M' @ ms))$ 
        =  $\text{length} (\text{get-all-marked-decomposition } ms)$ 
      by (simp add: M' get-all-marked-decomposition-remove-unmark-ssed-length)
      have  $Suc (\text{length} (\text{get-all-marked-decomposition } M)) \neq Suc 0$ 
      by blast
      then show ?thesis
        using  $f1$  marked by (metis (no-types) get-all-marked-decomposition.simps(1) length-tl
          list.sel(3) list.size(3) marked-lit.collapse(1))
    qed
  }
  obtain  $M0' M0$  where
     $L0$ : hd (tl (get-all-marked-decomposition ( $M' @ L \# M$ ))) = ( $M0, M0'$ )
    by (cases hd (tl (get-all-marked-decomposition ( $M' @ L \# M$ ))))
  have  $x''$ :  $x = (M0, \text{Propagated} (-lit\text{-of } L) P \# M0')$ 
    unfolding  $x'$  using get-all-marked-decomposition-last-choice  $tl M' L0$ 
    by (metis marked marked-lit.collapse(1))
  obtain  $l\text{-get-all-marked-decomposition}$  where
    get-all-marked-decomposition (trail  $S$ ) = ( $L \# M, M'$ )  $\#$  ( $M0, M0'$ )  $\#$ 
    l-get-all-marked-decomposition

```


using *get-all-marked-decomposition-backtrack-split* **extracted by** (*metis* (*no-types*) *L0 S*
hd-Cons-tl n tl)
then have $M = M0' @ M0$ **using** *get-all-marked-decomposition-hd-hd* **by** *fastforce*
then have IL' : *unmark-l* $M0 \cup \text{set-mset}$ (*clauses S*)
 $\cup \text{unmark-l } M0' \models_{ps} \{\{\# - \text{lit-of } L\#\}\}$
using IL **by** (*simp add: Un-commute Un-left-commute image-Un*)
moreover have H : *unmark-l* $M0 \cup \text{set-mset}$ (*clauses S*)
 $\models_{ps} \text{unmark-l } M0'$
using $IH x''$ **unfolding** *all-decomposition-implies-def* **by** (*metis* (*no-types, lifting*) *L0 S*
list.set-sel(1) list.set-sel(2) old.prod.case tl tl-Nil)
ultimately have *case x of (Ls, seen) \Rightarrow unmark-l Ls $\cup \text{set-mset}$* (*clauses S*)
 $\models_{ps} \text{unmark-l seen}$
using *true-clss-clss-left-right* **unfolding** x'' **by** *auto*
}
ultimately show *case x of (Ls, seen) \Rightarrow*
unmark-l Ls $\cup \text{set-mset}$ (snd (?M', clauses S))
 $\models_{ps} \text{unmark-l seen}$
unfolding *snd-conv* **by** *blast*
qed
qed

Lemma theorem 2.8.3 page 72 of CW

theorem *dpll_W-propagate-is-conclusion-of-decided*:
assumes *dpll_W S S'*
and *all-decomposition-implies-m* (*clauses S*) (*get-all-marked-decomposition* (*trail S*))
and *atm-of ' lits-of-l* (*trail S*) \subseteq *atms-of-mm* (*clauses S*)
shows *set-mset* (*clauses S'*) $\cup \{\{\#\text{lit-of } L\#\} \mid L. \text{is-marked } L \wedge L \in \text{set} (\text{trail } S')\}$
 $\models_{ps} (\lambda a. \{\#\text{lit-of } a\#\}) ' \bigcup (\text{set ' snd ' set } (\text{get-all-marked-decomposition } (\text{trail } S')))$
using *all-decomposition-implies-trail-is-implied*[*OF dpll_W-propagate-is-conclusion*[*OF assms*]] .

Lemma theorem 2.8.4 page 72 of CW

lemma *only-propagated-vars-unsat*:
assumes *marked*: $\forall x \in \text{set } M. \neg \text{is-marked } x$
and DN : $D \in N$ **and** D : $M \models_{as} CNot D$
and *inv*: *all-decomposition-implies* N (*get-all-marked-decomposition* M)
and *atm-incl*: *atm-of ' lits-of-l* $M \subseteq \text{atms-of-ms } N$
shows *unsatisfiable* N
proof (*rule ccontr*)
assume $\neg \text{unsatisfiable } N$
then obtain I **where**
 I : $I \models_s N$ **and**
cons: *consistent-interp* I **and**
tot: *total-over-m* $I N$
unfolding *satisfiable-def* **by** *auto*
then have $I-D$: $I \models D$
using DN **unfolding** *true-clss-def* **by** *auto*

have $l0$: $\{\{\#\text{lit-of } L\#\} \mid L. \text{is-marked } L \wedge L \in \text{set } M\} = \{\}$ **using** *marked* **by** *auto*
have *atms-of-ms* ($N \cup \text{unmark-l } M$) = *atms-of-ms* N
using *atm-incl* **unfolding** *atms-of-ms-def lits-of-def* **by** *auto*

then have *total-over-m* I ($N \cup (\lambda a. \{\#\text{lit-of } a\#\}) ' (\text{set } M)$)
using *tot* **unfolding** *total-over-m-def* **by** *auto*
then have $I \models_s (\lambda a. \{\#\text{lit-of } a\#\}) ' (\text{set } M)$
using *all-decomposition-implies-propagated-lits-are-implied*[*OF inv*] *cons I*

```

    unfolding true-clss-clss-def l0 by auto
  then have IM:  $I \models_s \text{unmark-l } M$  by auto
  {
    fix K
    assume  $K \in \# D$ 
    then have  $-K \in \text{lits-of-l } M$ 
      by (auto split: if-split-asm
        intro: allE[OF D[unfolded true-annots-def Ball-def], of  $\{\#-K\}$ ])
    then have  $-K \in I$  using IM true-clss-singleton-lit-of-implies-incl by fastforce
  }
  then have  $\neg I \models D$  using cons unfolding true-clss-def consistent-interp-def by auto
  then show False using I-D by blast
qed

```

lemma *dpll_W-same-clauses*:

assumes *dpll_W S S'*

shows *clauses S = clauses S'*

using *assms* **by** (*induct rule: dpll_W.induct, auto*)

lemma *rtranclp-dpll_W-inv*:

assumes *rtranclp dpll_W S S'*

and *inv: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))*

and *atm-incl: atm-of ' lits-of-l (trail S) \subseteq atms-of-mm (clauses S)*

and *consistent-interp (lits-of-l (trail S))*

and *no-dup (trail S)*

shows *all-decomposition-implies-m (clauses S') (get-all-marked-decomposition (trail S'))*

and *atm-of ' lits-of-l (trail S') \subseteq atms-of-mm (clauses S')*

and *clauses S = clauses S'*

and *consistent-interp (lits-of-l (trail S'))*

and *no-dup (trail S')*

using *assms*

proof (*induct rule: rtranclp-induct*)

case *base*

show

all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S)) and

atm-of ' lits-of-l (trail S) \subseteq atms-of-mm (clauses S) and

clauses S = clauses S and

consistent-interp (lits-of-l (trail S)) and

no-dup (trail S) using assms by auto

next

case (*step S' S''*) **note** *dpll_WStar = this(1) and IH = this(3,4,5,6,7) and*

dpll_W = this(2)

moreover

assume

inv: all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S)) and

atm-incl: atm-of ' lits-of-l (trail S) \subseteq atms-of-mm (clauses S) and

cons: consistent-interp (lits-of-l (trail S)) and

no-dup (trail S)

ultimately have *decomp: all-decomposition-implies-m (clauses S')*

(get-all-marked-decomposition (trail S')) and

atm-incl': atm-of ' lits-of-l (trail S') \subseteq atms-of-mm (clauses S') and

snd: clauses S = clauses S' and

cons': consistent-interp (lits-of-l (trail S')) and

no-dup': no-dup (trail S') by blast+

show *clauses S = clauses S'' using dpll_W-same-clauses[OF dpll_W] snd by metis*

```

show all-decomposition-implies-m (clauses S'') (get-all-marked-decomposition (trail S''))
  using dpllW-propagate-is-conclusion[OF dpllW] decomp atm-incl' by auto
show atm-of ' lits-of-l (trail S'')  $\subseteq$  atms-of-mm (clauses S'')
  using dpllW-vars-in-snd-inv[OF dpllW] atm-incl atm-incl' by auto
show no-dup (trail S'') using dpllW-distinct-inv[OF dpllW] no-dup' dpllW by auto
show consistent-interp (lits-of-l (trail S''))
  using cons' no-dup' dpllW-consistent-interp-inv[OF dpllW] by auto
qed

```

```

definition dpllW-all-inv S  $\equiv$ 
  (all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
   $\wedge$  atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-mm (clauses S)
   $\wedge$  consistent-interp (lits-of-l (trail S))
   $\wedge$  no-dup (trail S))

```

```

lemma dpllW-all-inv-dest[dest]:
  assumes dpllW-all-inv S
  shows all-decomposition-implies-m (clauses S) (get-all-marked-decomposition (trail S))
  and atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-mm (clauses S)
  and consistent-interp (lits-of-l (trail S))  $\wedge$  no-dup (trail S)
  using assms unfolding dpllW-all-inv-def lits-of-def by auto

```

```

lemma rtranclp-dpllW-all-inv:
  assumes rtranclp dpllW S S'
  and dpllW-all-inv S
  shows dpllW-all-inv S'
  using assms rtranclp-dpllW-inv[OF assms(1)] unfolding dpllW-all-inv-def lits-of-def by blast

```

```

lemma dpllW-all-inv:
  assumes dpllW S S'
  and dpllW-all-inv S
  shows dpllW-all-inv S'
  using assms rtranclp-dpllW-all-inv by blast

```

```

lemma rtranclp-dpllW-inv-starting-from-0:
  assumes rtranclp dpllW S S'
  and inv: trail S = []
  shows dpllW-all-inv S'
proof –
  have dpllW-all-inv S
    using assms unfolding all-decomposition-implies-def dpllW-all-inv-def by auto
  then show ?thesis using rtranclp-dpllW-all-inv[OF assms(1)] by blast
qed

```

```

lemma dpllW-can-do-step:
  assumes consistent-interp (set M)
  and distinct M
  and atm-of ' (set M)  $\subseteq$  atms-of-mm N
  shows rtranclp dpllW ([], N) (map ( $\lambda M. \text{Marked } M$  ()) M, N)
  using assms
proof (induct M)
  case Nil
  then show ?case by auto
next

```

```

case (Cons L M)
then have undefined-lit (map (λM. Marked M ()) M) L
  unfolding defined-lit-def consistent-interp-def by auto
moreover have atm-of L ∈ atms-of-mm N using Cons.premis(3) by auto
ultimately have dpllW (map (λM. Marked M ()) M, N) (map (λM. Marked M ()) (L # M), N)
  using dpllW.decided by auto
moreover have consistent-interp (set M) and distinct M and atm-of ‘ set M ⊆ atms-of-mm N
  using Cons.premis unfolding consistent-interp-def by auto
ultimately show ?case using Cons.hyps by auto
qed

```

definition *conclusive-dpll_W-state* ($S :: 'v \text{ dpll}_W\text{-state}$) \longleftrightarrow
 $(\text{trail } S \models_{\text{asm}} \text{clauses } S \vee ((\forall L \in \text{set } (\text{trail } S). \neg \text{is-marked } L)$
 $\wedge (\exists C \in \# \text{ clauses } S. \text{trail } S \models_{\text{as}} \text{CNot } C)))$

lemma *dpll_W-strong-completeness*:

```

assumes set M ⊨sm N
and consistent-interp (set M)
and distinct M
and atm-of ‘ (set M) ⊆ atms-of-mm N
shows dpllW** ([], N) (map (λM. Marked M ()) M, N)
and conclusive-dpllW-state (map (λM. Marked M ()) M, N)
proof -
show rtrancp dpllW ([], N) (map (λM. Marked M ()) M, N) using dpllW-can-do-step assms by auto
have map (λM. Marked M ()) M ⊨asm N using assms(1) true-annots-marked-true-cl by auto
then show conclusive-dpllW-state (map (λM. Marked M ()) M, N)
  unfolding conclusive-dpllW-state-def by auto
qed

```

lemma *dpll_W-sound*:

```

assumes
  rtrancp dpllW ([], N) (M, N) and
  ∀ S. ¬dpllW (M, N) S
shows M ⊨asm N ⟷ satisfiable (set-mset N) (is ?A ⟷ ?B)
proof
let ?M' = lits-of-l M
assume ?A
then have ?M' ⊨sm N by (simp add: true-annots-true-cl)
moreover have consistent-interp ?M'
  using rtrancp-dpllW-inv-starting-from-0[OF assms(1)] by auto
ultimately show ?B by auto
next
assume ?B
show ?A
proof (rule ccontr)
assume n: ¬ ?A
have (∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of-mm N) ∨ (∃ D ∈ # N. M ⊨as CNot D)
proof -
obtain D :: 'a clause where D: D ∈ # N and ¬ M ⊨a D
  using n unfolding true-annots-def Ball-def by auto
then have (∃ L. undefined-lit M L ∧ atm-of L ∈ atms-of D) ∨ M ⊨as CNot D
  unfolding true-annots-def Ball-def CNot-def true-annot-def
  using atm-of-lit-in-atms-of true-annot-iff-marked-or-true-lit true-cl-def by blast

```

```

    then show ?thesis
    by (metis Bex-def D atms-of-atms-of-ms-mono rev-subsetD)
qed
moreover {
  assume  $\exists L. \text{undefined-lit } M \ L \wedge \text{atm-of } L \in \text{atms-of-mm } N$ 
  then have False using assms(2) decided by fastforce
}
moreover {
  assume  $\exists D \in \#N. M \models_{as} CNot \ D$ 
  then obtain D where DN:  $D \in \# \ N$  and MD:  $M \models_{as} CNot \ D$  by auto
  {
    assume  $\forall l \in \text{set } M. \neg \text{is-marked } l$ 
    moreover have dpllW-all-inv ([], N)
    using assms unfolding all-decomposition-implies-def dpllW-all-inv-def by auto
    ultimately have unsatisfiable (set-mset N)
    using only-propagated-vars-unsat[of M D set-mset N] DN MD
    rtranclp-dpllW-all-inv[OF assms(1)] by force
    then have False using <?B> by blast
  }
  moreover {
    assume  $l: \exists l \in \text{set } M. \text{is-marked } l$ 
    then have False
    using backtrack[of (M, N) - - - D] DN MD assms(2)
    backtrack-split-some-is-marked-then-snd-has-hd[OF l]
    by (metis backtrack-split-snd-hd-marked fst-conv list.distinct(1) list.sel(1) snd-conv)
  }
  ultimately have False by blast
}
ultimately show False by blast
qed
qed

```

18.3 Termination

definition $dpll_W\text{-mes } M \ n =$
 $\text{map } (\lambda l. \text{if is-marked } l \text{ then } 2 \text{ else } (1::nat)) (\text{rev } M) \ @ \ \text{replicate } (n - \text{length } M) \ 3$

lemma $\text{length-dpll}_W\text{-mes}$:
assumes $\text{length } M \leq n$
shows $\text{length } (dpll_W\text{-mes } M \ n) = n$
using *assms* **unfolding** $dpll_W\text{-mes-def}$ **by** *auto*

lemma $\text{distinctcard-atm-of-lit-of-eq-length}$:
assumes $\text{no-dup } S$
shows $\text{card } (\text{atm-of } \text{'lits-of-l } S) = \text{length } S$
using *assms* **by** (*induct* S) (*auto simp add: image-image lits-of-def*)

lemma $dpll_W\text{-card-decrease}$:
assumes $dpll: dpll_W \ S \ S' \text{ and } \text{length } (\text{trail } S') \leq \text{card vars}$
and $\text{length } (\text{trail } S) \leq \text{card vars}$
shows $(dpll_W\text{-mes } (\text{trail } S') \ (\text{card vars}), dpll_W\text{-mes } (\text{trail } S) \ (\text{card vars}))$
 $\in \text{lexn } \{(a, b). a < b\} \ (\text{card vars})$
using *assms*
proof (*induct rule: dpll_W.induct*)
case (*propagate* $C \ L \ S$)
have $m: \text{map } (\lambda l. \text{if is-marked } l \text{ then } 2 \text{ else } 1) (\text{rev } (\text{trail } S))$

```

    @ replicate (card vars - length (trail S)) 3
  = map (λl. if is-marked l then 2 else 1) (rev (trail S)) @ 3
    # replicate (card vars - Suc (length (trail S))) 3
  using propagate.premis[simplified] using Suc-diff-le by fastforce
then show ?case
  using propagate.premis(1) unfolding dpllW-mes-def by (fastforce simp add: lexn-conv assms(2))
next
case (decided S L)
have m: map (λl. if is-marked l then 2 else 1) (rev (trail S))
  @ replicate (card vars - length (trail S)) 3
  = map (λl. if is-marked l then 2 else 1) (rev (trail S)) @ 3
  # replicate (card vars - Suc (length (trail S))) 3
  using decided.premis[simplified] using Suc-diff-le by fastforce
then show ?case
  using decided.premis unfolding dpllW-mes-def by (force simp add: lexn-conv assms(2))
next
case (backtrack S M' L M D)
have L: is-marked L using backtrack.hyps(2) by auto
have S: trail S = M' @ L # M
  using backtrack.hyps(1) backtrack-split-list-eq[of trail S] by auto
show ?case
  using backtrack.premis L unfolding dpllW-mes-def S by (fastforce simp add: lexn-conv assms(2))
qed

```

Proposition theorem 2.8.7 page 73 of CW

lemma dpll_W-card-decrease':

```

  assumes dpll: dpllW S S'
  and atm-incl: atm-of ' lits-of-l (trail S) ⊆ atms-of-mm (clauses S)
  and no-dup: no-dup (trail S)
  shows (dpllW-mes (trail S') (card (atms-of-mm (clauses S'))),
        dpllW-mes (trail S) (card (atms-of-mm (clauses S)))) ∈ lex {(a, b). a < b}

```

proof –

```

  have finite (atms-of-mm (clauses S)) unfolding atms-of-ms-def by auto
  then have 1: length (trail S) ≤ card (atms-of-mm (clauses S))
    using distinctcard-atm-of-lit-of-eq-length[OF no-dup] atm-incl card-mono by metis

```

moreover

```

  have no-dup': no-dup (trail S') using dpll dpllW-distinct-inv no-dup by blast
  have SS': clauses S' = clauses S using dpll by (auto dest!: dpllW-same-clauses)
  have atm-incl': atm-of ' lits-of-l (trail S') ⊆ atms-of-mm (clauses S')
    using atm-incl dpll dpllW-vars-in-snd-inv[OF dpll] by force
  have finite (atms-of-mm (clauses S'))
    unfolding atms-of-ms-def by auto
  then have 2: length (trail S') ≤ card (atms-of-mm (clauses S'))
    using distinctcard-atm-of-lit-of-eq-length[OF no-dup'] atm-incl' card-mono SS' by metis

```

```

ultimately have (dpllW-mes (trail S') (card (atms-of-mm (clauses S'))),
  dpllW-mes (trail S) (card (atms-of-mm (clauses S))))
  ∈ lexn {(a, b). a < b} (card (atms-of-mm (clauses S)))
  using dpllW-card-decrease[OF assms(1), of atms-of-mm (clauses S)] by blast
then have (dpllW-mes (trail S') (card (atms-of-mm (clauses S'))),
  dpllW-mes (trail S) (card (atms-of-mm (clauses S)))) ∈ lex {(a, b). a < b}
  unfolding lex-def by auto
then show (dpllW-mes (trail S') (card (atms-of-mm (clauses S'))),
  dpllW-mes (trail S) (card (atms-of-mm (clauses S)))) ∈ lex {(a, b). a < b}

```

```

    using dpllW-same-clauses[OF assms(1)] by auto
qed

lemma wf-lexn: wf (lexn {(a, b). (a::nat) < b} (card (atms-of-mm (clauses S))))
proof -
  have m: {(a, b). a < b} = measure id by auto
  show ?thesis apply (rule wf-lexn) unfolding m by auto
qed

lemma dpllW-wf:
  wf {(S', S). dpllW-all-inv S ∧ dpllW S S'}
  apply (rule wf-wf-if-measure'[OF wf-lex-less, of - -
    λS. dpllW-mes (trail S) (card (atms-of-mm (clauses S)))])
  using dpllW-card-decrease' by fast

lemma dpllW-trancp-star-commute:
  {(S', S). dpllW-all-inv S ∧ dpllW S S'}+ = {(S', S). dpllW-all-inv S ∧ trancp dpllW S S'}
  (is ?A = ?B)
proof
  { fix S S'
    assume (S, S') ∈ ?A
    then have (S, S') ∈ ?B
      by (induct rule: trancp.induct, auto)
  }
  then show ?A ⊆ ?B by blast
  { fix S S'
    assume (S, S') ∈ ?B
    then have dpllW++ S' S and dpllW-all-inv S' by auto
    then have (S, S') ∈ ?A
      proof (induct rule: trancp.induct)
        case r-into-trancp
        then show ?case by (simp-all add: r-into-trancp')
      next
        case (trancp-into-trancp S S' S'')
        then have (S', S) ∈ {a. case a of (S', S) ⇒ dpllW-all-inv S ∧ dpllW S S'}+ by blast
        moreover have dpllW-all-inv S'
          using rtrancp-dpllW-all-inv[OF trancp-into-rtrancp[OF trancp-into-trancp.hyps(1)]]
          trancp-into-trancp.prem by auto
        ultimately have (S'', S') ∈ {(pa, p). dpllW-all-inv p ∧ dpllW p pa}+
          using (dpllW-all-inv S') trancp-into-trancp.hyps(3) by blast
        then show ?case
          using (S', S) ∈ {a. case a of (S', S) ⇒ dpllW-all-inv S ∧ dpllW S S'}+ by auto
      qed
  }
  then show ?B ⊆ ?A by blast
qed

lemma dpllW-wf-trancp: wf {(S', S). dpllW-all-inv S ∧ dpllW++ S S'}
  unfolding dpllW-trancp-star-commute[symmetric] by (simp add: dpllW-wf wf-trancp)

lemma dpllW-wf-plus:
  shows wf {(S', ([], N)) | S'. dpllW++ ([], N) S'} (is wf ?P)
  apply (rule wf-subset[OF dpllW-wf-trancp, of ?P])
  using assms unfolding dpllW-all-inv-def by auto

```

18.4 Final States

lemma *dpll_W-no-more-step-is-a-conclusive-state*:

assumes $\forall S'. \neg \text{dpll}_W S S'$

shows *conclusive-dpll_W-state* S

proof –

have *vars*: $\forall s \in \text{atms-of-mm} (\text{clauses } S). s \in \text{atm-of } \text{'lits-of-l'} (\text{trail } S)$

proof (*rule ccontr*)

assume $\neg (\forall s \in \text{atms-of-mm} (\text{clauses } S). s \in \text{atm-of } \text{'lits-of-l'} (\text{trail } S))$

then obtain L **where**

$L\text{-in-atms}$: $L \in \text{atms-of-mm} (\text{clauses } S)$ **and**

$L\text{-notin-trail}$: $L \notin \text{atm-of } \text{'lits-of-l'} (\text{trail } S)$ **by** *metis*

obtain L' **where** L' : $\text{atm-of } L' = L$ **by** (*meson literal.sel(2)*)

then have *undefined-lit* ($\text{trail } S$) L'

unfolding *Marked-Propagated-in-iff-in-lits-of-l* **by** (*metis L-notin-trail atm-of-uminus imageI*)

then show *False* **using** *dpll_W.decided assms(1)* $L\text{-in-atms } L'$ **by** *blast*

qed

show *?thesis*

proof (*rule ccontr*)

assume *not-final*: $\neg ?thesis$

then have

$\neg \text{trail } S \models_{\text{asm}} \text{clauses } S$ **and**

$(\exists L \in \text{set } (\text{trail } S). \text{is-marked } L) \vee (\forall C \in \# \text{clauses } S. \neg \text{trail } S \models_{\text{as}} C \text{Not } C)$

unfolding *conclusive-dpll_W-state-def* **by** *auto*

moreover {

assume $\exists L \in \text{set } (\text{trail } S). \text{is-marked } L$

then obtain $L M' M$ **where** L : *backtrack-split* ($\text{trail } S$) = $(M', L \# M)$

using *backtrack-split-some-is-marked-then-snd-has-hd* **by** *blast*

obtain D **where** $D \in \# \text{clauses } S$ **and** $\neg \text{trail } S \models_a D$

using $\langle \neg \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$ **unfolding** *true-annots-def* **by** *auto*

then have $\forall s \in \text{atms-of-ms } \{D\}. s \in \text{atm-of } \text{'lits-of-l'} (\text{trail } S)$

using *vars* **unfolding** *atms-of-ms-def* **by** *auto*

then have $\text{trail } S \models_{\text{as}} C \text{Not } D$

using *all-variables-defined-not-imply-cnot[of D]* $\langle \neg \text{trail } S \models_a D \rangle$ **by** *auto*

moreover have *is-marked* L

using L **by** (*metis backtrack-split-snd-hd-marked list.distinct(1) list.sel(1) snd-conv*)

ultimately have *False*

using *assms(1)* *dpll_W.backtrack* $L \langle D \in \# \text{clauses } S \rangle \langle \text{trail } S \models_{\text{as}} C \text{Not } D \rangle$ **by** *blast*

}

moreover {

assume *tr*: $\forall C \in \# \text{clauses } S. \neg \text{trail } S \models_{\text{as}} C \text{Not } C$

obtain C **where** $C\text{-in-cl}$: $C \in \# \text{clauses } S$ **and** $\text{tr}C$: $\neg \text{trail } S \models_a C$

using $\langle \neg \text{trail } S \models_{\text{asm}} \text{clauses } S \rangle$ **unfolding** *true-annots-def* **by** *auto*

have $\forall s \in \text{atms-of-ms } \{C\}. s \in \text{atm-of } \text{'lits-of-l'} (\text{trail } S)$

using *vars* $\langle C \in \# \text{clauses } S \rangle$ **unfolding** *atms-of-ms-def* **by** *auto*

then have $\text{trail } S \models_{\text{as}} C \text{Not } C$

by (*meson C-in-cl tr trC all-variables-defined-not-imply-cnot*)

then have *False* **using** *tr C-in-cl* **by** *auto*

}

ultimately show *False* **by** *blast*

qed

qed

lemma *dpll_W-conclusive-state-correct*:

assumes *dpll_W*** ($[], N$) (M, N) **and** *conclusive-dpll_W-state* (M, N)

shows $M \models_{\text{asm}} N \longleftrightarrow \text{satisfiable } (\text{set-mset } N)$ (**is** $?A \longleftrightarrow ?B$)


```

proof
  let ?M' = lits-of-l M
  assume ?A
  then have ?M'  $\models_{sm}$  N by (simp add: true-annots-true-cls)
  moreover have consistent-interp ?M'
    using rtranclp-dpllW-inv-starting-from-0[OF assms(1)] by auto
  ultimately show ?B by auto
next
  assume ?B
  show ?A
  proof (rule ccontr)
    assume n:  $\neg$  ?A
    have no-mark:  $\forall L \in \text{set } M. \neg \text{is-marked } L \ \exists C \in \# N. M \models_{as} C \text{Not } C$ 
      using n assms(2) unfolding conclusive-dpllW-state-def by auto
    moreover obtain D where DN:  $D \in \# N$  and MD:  $M \models_{as} C \text{Not } D$  using no-mark by auto
    ultimately have unsatisfiable (set-mset N)
      using only-propagated-vars-unsat rtranclp-dpllW-all-inv[OF assms(1)]
      unfolding dpllW-all-inv-def by force
    then show False using <?B> by blast
  qed
qed

```

18.5 Link with NOT's DPLL

interpretation *dpll_W-NOT*: *dpll-with-backtrack* .

```

declare dpllW-NOT.state-simpNOT[simp del]
lemma state-eqNOT-iff-eq[iff, simp]: dpllW-NOT.state-eqNOT S T  $\longleftrightarrow$  S = T
  unfolding dpllW-NOT.state-eqNOT-def by (cases S, cases T) auto
lemma dpllW-dpllW-bj:
  assumes inv: dpllW-all-inv S and dpll: dpllW S T
  shows dpllW-NOT.dpll-bj S T
  using dpll inv
  apply (induction rule: dpllW.induct)
    apply (rule dpllW-NOT.bj-propagateNOT)
    apply (rule dpllW-NOT.propagateNOT.propagateNOT; simp?)
    apply fastforce
    apply (rule dpllW-NOT.bj-decideNOT)
    apply (rule dpllW-NOT.decideNOT.decideNOT; simp?)
    apply fastforce
    apply (frule dpllW-NOT.backtrack.intros[of - - - -], simp-all)
    apply (rule dpllW-NOT.dpll-bj.bj-backjump)
    apply (rule dpllW-NOT.backtrack-is-backjump'',
      simp-all add: dpllW-all-inv-def)
  done

lemma dpllW-bj-dpll:
  assumes inv: dpllW-all-inv S and dpll: dpllW-NOT.dpll-bj S T
  shows dpllW S T
  using dpll
  apply (induction rule: dpllW-NOT.dpll-bj.induct)
    apply (elim dpllW-NOT.decideNOTE, cases S)
    apply (frule decided; simp)

  apply (elim dpllW-NOT.propagateNOTE, cases S)
  apply (auto intro!: propagate[of - - (-, -), simplified])[]

```

```

apply (elim dpllW-NOT.backjumpE, cases S)
by (simp add: dpllW.simps dpll-with-backtrack.backtrack.simps)

lemma rtrancp-dpllW-rtrancp-dpllW-NOT:
  assumes dpllW** S T and dpllW-all-inv S
  shows dpllW-NOT.dpll-bj** S T
  using assms apply (induction)
  apply simp
  by (auto intro: rtrancp-dpllW-all-inv dpllW-dpllW-bj rtrancp.rtrancp-into-rtrancp)

lemma rtrancp-dpll-rtrancp-dpllW:
  assumes dpllW-NOT.dpll-bj** S T and dpllW-all-inv S
  shows dpllW** S T
  using assms apply (induction)
  apply simp
  by (auto intro: dpllW-bj-dpll rtrancp.rtrancp-into-rtrancp rtrancp-dpllW-all-inv)

lemma dpll-conclusive-state-correctness:
  assumes dpllW-NOT.dpll-bj** ([], N) (M, N) and conclusive-dpllW-state (M, N)
  shows M ⊨asm N ↔ satisfiable (set-mset N)
proof –
  have dpllW-all-inv ([], N)
  unfolding dpllW-all-inv-def by auto
  show ?thesis
  apply (rule dpllW-conclusive-state-correct)
  apply (simp add: ⟨dpllW-all-inv ([], N)⟩ assms(1) rtrancp-dpll-rtrancp-dpllW)
  using assms(2) by simp
qed

end
theory CDCL-W-Level
imports Partial-Annotated-Clausal-Logic
begin

```

18.5.1 Level of literals and clauses

Getting the level of a variable, implies that the list has to be reversed. Here is the function after reversing.

```

fun get-rev-level :: ('v, nat, 'a) marked-lits ⇒ nat ⇒ 'v literal ⇒ nat where
  get-rev-level [] - = 0 |
  get-rev-level (Marked l level # Ls) n L =
    (if atm-of l = atm-of L then level else get-rev-level Ls level L) |
  get-rev-level (Propagated l - # Ls) n L =
    (if atm-of l = atm-of L then n else get-rev-level Ls n L)

```

abbreviation *get-level M L* ≡ *get-rev-level (rev M) 0 L*

```

lemma get-rev-level-uminus[simp]: get-rev-level M n(-L) = get-rev-level M n L
by (induct arbitrary: n rule: get-rev-level.induct) auto

```

```

lemma atm-of-notin-get-rev-level-eq-0:
  assumes atm-of L ∉ atm-of ' lits-of-l M
  shows get-rev-level M n L = 0
  using assms by (induct M arbitrary: n rule: marked-lit-list-induct) auto

```

lemma *get-rev-level-ge-0-atm-of-in*:
assumes *get-rev-level* $M\ n\ L > n$
shows *atm-of* $L \in \text{atm-of } ' \text{ lits-of-l } M$
using *assms* **by** (*induct* M *arbitrary*: n *rule*: *marked-lit-list-induct*)
(fastforce simp: atm-of-notin-get-rev-level-eq-0)+

In *get-rev-level* (resp. *get-level*), the beginning (resp. the end) can be skipped if the literal is not in the beginning (resp. the end).

lemma *get-rev-level-skip[simp]*:
assumes *atm-of* $L \notin \text{atm-of } ' \text{ lits-of-l } M$
shows *get-rev-level* $(M @ \text{Marked } K\ i \# M')\ n\ L = \text{get-rev-level } (\text{Marked } K\ i \# M')\ i\ L$
using *assms* **by** (*induct* M *arbitrary*: $n\ i$ *rule*: *marked-lit-list-induct*) *auto*

lemma *get-rev-level-notin-end[simp]*:
assumes *atm-of* $L \notin \text{atm-of } ' \text{ lits-of-l } M'$
shows *get-rev-level* $(M @ M')\ n\ L = \text{get-rev-level } M\ n\ L$
using *assms* **by** (*induct* M *arbitrary*: n *rule*: *marked-lit-list-induct*)
(auto simp: atm-of-notin-get-rev-level-eq-0)

If the literal is at the beginning, then the end can be skipped

lemma *get-rev-level-skip-end[simp]*:
assumes *atm-of* $L \in \text{atm-of } ' \text{ lits-of-l } M$
shows *get-rev-level* $(M @ M')\ n\ L = \text{get-rev-level } M\ n\ L$
using *assms* **by** (*induct* *arbitrary*: n *rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-skip-beginning*:
assumes *atm-of* $L' \neq \text{atm-of } (\text{lit-of } K)$
shows *get-level* $(K \# M)\ L' = \text{get-level } M\ L'$
using *assms* **by** *auto*

lemma *get-level-skip-beginning-not-marked-rev*:
assumes *atm-of* $L \notin \text{atm-of } ' \text{ lit-of } '(\text{set } S)$
and $\forall s \in \text{set } S. \neg \text{is-marked } s$
shows *get-level* $(M @ \text{rev } S)\ L = \text{get-level } M\ L$
using *assms* **by** (*induction* S *rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-skip-beginning-not-marked[simp]*:
assumes *atm-of* $L \notin \text{atm-of } ' \text{ lit-of } '(\text{set } S)$
and $\forall s \in \text{set } S. \neg \text{is-marked } s$
shows *get-level* $(M @ S)\ L = \text{get-level } M\ L$
using *get-level-skip-beginning-not-marked-rev*[*of* $L\ \text{rev } S\ M$] *assms* **by** *auto*

lemma *get-rev-level-skip-beginning-not-marked[simp]*:
assumes *atm-of* $L \notin \text{atm-of } ' \text{ lit-of } '(\text{set } S)$
and $\forall s \in \text{set } S. \neg \text{is-marked } s$
shows *get-rev-level* $(\text{rev } S @ \text{rev } M)\ 0\ L = \text{get-level } M\ L$
using *get-level-skip-beginning-not-marked-rev*[*of* $L\ \text{rev } S\ M$] *assms* **by** *auto*

lemma *get-level-skip-in-all-not-marked*:
fixes $M :: ('a, \text{nat}, 'b) \text{ marked-lit list}$ **and** $L :: 'a \text{ literal}$
assumes $\forall m \in \text{set } M. \neg \text{is-marked } m$
and *atm-of* $L \in \text{atm-of } ' \text{ lit-of } '(\text{set } M)$
shows *get-rev-level* $M\ n\ L = n$
using *assms* **by** (*induction* M *rule*: *marked-lit-list-induct*) *auto*

lemma *get-level-skip-all-not-marked*[simp]:
 fixes M
 defines $M' \equiv \text{rev } M$
 assumes $\forall m \in \text{set } M. \neg \text{is-marked } m$
 shows $\text{get-level } M \ L = 0$
proof –
 have $M: M = \text{rev } M'$
 unfolding $M'\text{-def}$ **by** *auto*
 show ?thesis
 using *assms* **unfolding** M **by** (*induction* M' *rule: marked-lit-list-induct*) *auto*
qed

abbreviation $M\text{Max } M \equiv \text{Max } (\text{set-mset } M)$

the $\{\#0::'a\# \}$ is there to ensures that the set is not empty.

definition *get-maximum-level* :: $('a, \text{nat}, 'b) \text{ marked-lit list} \Rightarrow 'a \text{ literal multiset} \Rightarrow \text{nat}$
where
get-maximum-level $M \ D = M\text{Max } (\{\#0\# \} + \text{image-mset } (\text{get-level } M) \ D)$

lemma *get-maximum-level-ge-get-level*:
 $L \in \# \ D \Longrightarrow \text{get-maximum-level } M \ D \geq \text{get-level } M \ L$
unfolding *get-maximum-level-def* **by** *auto*

lemma *get-maximum-level-empty*[simp]:
 $\text{get-maximum-level } M \ \{\#\} = 0$
unfolding *get-maximum-level-def* **by** *auto*

lemma *get-maximum-level-exists-lit-of-max-level*:
 $D \neq \{\#\} \Longrightarrow \exists L \in \# \ D. \text{get-level } M \ L = \text{get-maximum-level } M \ D$
unfolding *get-maximum-level-def*
apply (*induct* D)
apply *simp*
by (*rename-tac* $D \ x$, *case-tac* $D = \{\#\}$) (*auto simp add: max-def*)

lemma *get-maximum-level-empty-list*[simp]:
 $\text{get-maximum-level } [] \ D = 0$
unfolding *get-maximum-level-def* **by** (*simp add: image-constant-conv*)

lemma *get-maximum-level-single*[simp]:
 $\text{get-maximum-level } M \ \{\#L\# \} = \text{get-level } M \ L$
unfolding *get-maximum-level-def* **by** *simp*

lemma *get-maximum-level-plus*:
 $\text{get-maximum-level } M \ (D + D') = \max (\text{get-maximum-level } M \ D) (\text{get-maximum-level } M \ D')$
by (*induct* D) (*auto simp add: get-maximum-level-def*)

lemma *get-maximum-level-exists-lit*:
 assumes $n: n > 0$
 and $\text{max}: \text{get-maximum-level } M \ D = n$
 shows $\exists L \in \# \ D. \text{get-level } M \ L = n$
proof –
 have $f: \text{finite } (\text{insert } 0 ((\lambda L. \text{get-level } M \ L) \text{ ` } \text{set-mset } D))$ **by** *auto*
 then have $n \in ((\lambda L. \text{get-level } M \ L) \text{ ` } \text{set-mset } D)$
 using $n \ \text{max}$ *Max-in*[*OF* f] **unfolding** *get-maximum-level-def* **by** *simp*

then show $\exists L \in \# D. \text{get-level } M \ L = n$ **by** *auto*
qed

lemma *get-maximum-level-skip-first*[simp]:

assumes *atm-of* $L \notin \text{atms-of } D$

shows *get-maximum-level* (*Propagated* $L \ C \ \# \ M$) $D = \text{get-maximum-level } M \ D$

using *assms* **unfolding** *get-maximum-level-def* *atms-of-def*

atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set

by (*smt* *atm-of-in-atm-of-set-in-uminus* *get-level-skip-beginning* *image-iff* *marked-lit.sel*(2)
multiset.map-cong0)

lemma *get-maximum-level-skip-beginning*:

assumes $DH: \text{atms-of } D \subseteq \text{atm-of 'lits-of-l } H$

shows *get-maximum-level* ($c \ @ \ \text{Marked } Kh \ i \ \# \ H$) $D = \text{get-maximum-level } H \ D$

proof –

have (*get-rev-level* (*rev* $H \ @ \ \text{Marked } Kh \ i \ \# \ \text{rev } c$) 0) ‘ *set-mset* D

$= (\text{get-rev-level } (\text{rev } H) \ 0) \ \text{‘ set-mset } D$

using DH **unfolding** *atms-of-def*

by (*metis* (*no-types*, *lifting*) *get-rev-level-skip-end* *image-cong* *image-subset-iff* *set-rev*)

then show *?thesis* **using** DH **unfolding** *get-maximum-level-def* **by** *auto*

qed

lemma *get-maximum-level-D-single-propagated*:

get-maximum-level [*Propagated* $x21 \ x22$] $D = 0$

proof –

have $A: \text{insert } 0 \ ((\lambda L. \ 0) \ \text{‘ } (\text{set-mset } D \cap \{L. \ \text{atm-of } x21 = \text{atm-of } L\})$

$\cup (\lambda L. \ 0) \ \text{‘ } (\text{set-mset } D \cap \{L. \ \text{atm-of } x21 \neq \text{atm-of } L\})) = \{0\}$

by *auto*

show *?thesis* **unfolding** *get-maximum-level-def* **by** (*simp* *add: A*)

qed

lemma *get-maximum-level-skip-notin*:

assumes $D: \forall L \in \# D. \text{atm-of } L \in \text{atm-of 'lits-of-l } M$

shows *get-maximum-level* $M \ D = \text{get-maximum-level } (\text{Propagated } x21 \ x22 \ \# \ M) \ D$

proof –

have $A: (\text{get-rev-level } (\text{rev } M \ @ \ [\text{Propagated } x21 \ x22]) \ 0) \ \text{‘ set-mset } D$

$= (\text{get-rev-level } (\text{rev } M) \ 0) \ \text{‘ set-mset } D$

using D **by** (*auto* *intro!*: *image-cong* *simp* *add: lits-of-def*)

show *?thesis* **unfolding** *get-maximum-level-def* **by** (*auto* *simp: A*)

qed

lemma *get-maximum-level-skip-un-marked-not-present*:

assumes $\forall L \in \# D. \text{atm-of } L \in \text{atm-of 'lits-of-l } aa$ **and**

$\forall m \in \text{set } M. \neg \text{is-marked } m$

shows *get-maximum-level* $aa \ D = \text{get-maximum-level } (M \ @ \ aa) \ D$

using *assms* **by** (*induction* M *rule: marked-lit-list-induct*)

(*auto* *intro!*: *get-maximum-level-skip-notin*[*of* $D - @ \ aa$] *simp* *add: image-Un*)

lemma *get-maximum-level-union-mset*:

get-maximum-level $M \ (A \ \# \cup \ B) = \text{get-maximum-level } M \ (A + B)$

unfolding *get-maximum-level-def* **by** (*auto* *simp: image-Un*)

fun *get-maximum-possible-level*:: (*'b*, *nat*, *'c*) *marked-lit list* \Rightarrow *nat* **where**

get-maximum-possible-level $[] = 0$ |

get-maximum-possible-level (*Marked* $K \ i \ \# \ l$) = $\max \ i \ (\text{get-maximum-possible-level } l) \ |$

get-maximum-possible-level (*Propagated* - - # *l*) = *get-maximum-possible-level l*

lemma *get-maximum-possible-level-append*[*simp*]:
get-maximum-possible-level (*M*@*M'*)
 = *max* (*get-maximum-possible-level M*) (*get-maximum-possible-level M'*)
by (*induct M rule: marked-lit-list-induct*) *auto*

lemma *get-maximum-possible-level-rev*[*simp*]:
get-maximum-possible-level (*rev M*) = *get-maximum-possible-level M*
by (*induct M rule: marked-lit-list-induct*) *auto*

lemma *get-maximum-possible-level-ge-get-rev-level*:
max (*get-maximum-possible-level M*) *i* ≥ *get-rev-level M i L*
by (*induct M arbitrary: i rule: marked-lit-list-induct*) (*auto simp add: le-max-iff-disj*)

lemma *get-maximum-possible-level-ge-get-level*[*simp*]:
get-maximum-possible-level M ≥ *get-level M L*
using *get-maximum-possible-level-ge-get-rev-level*[*of rev - 0*] **by** *auto*

lemma *get-maximum-possible-level-ge-get-maximum-level*[*simp*]:
get-maximum-possible-level M ≥ *get-maximum-level M D*
using *get-maximum-level-exists-lit-of-max-level* **unfolding** *Bex-def*
by (*metis get-maximum-level-empty get-maximum-possible-level-ge-get-level le0*)

fun *get-all-mark-of-propagated* **where**
get-all-mark-of-propagated [] = [] |
get-all-mark-of-propagated (*Marked* - - # *L*) = *get-all-mark-of-propagated L* |
get-all-mark-of-propagated (*Propagated* - mark # *L*) = *mark # get-all-mark-of-propagated L*

lemma *get-all-mark-of-propagated-append*[*simp*]:
get-all-mark-of-propagated (*A* @ *B*) = *get-all-mark-of-propagated A* @ *get-all-mark-of-propagated B*
by (*induct A rule: marked-lit-list-induct*) *auto*

18.5.2 Properties about the levels

fun *get-all-levels-of-marked* :: ('b, 'a, 'c) *marked-lit list* ⇒ 'a *list* **where**
get-all-levels-of-marked [] = [] |
get-all-levels-of-marked (*Marked l level # Ls*) = *level # get-all-levels-of-marked Ls* |
get-all-levels-of-marked (*Propagated* - - # *Ls*) = *get-all-levels-of-marked Ls*

lemma *get-all-levels-of-marked-nil-iff-not-is-marked*:
get-all-levels-of-marked xs = [] ⇔ (∀ *x* ∈ *set xs*. ¬*is-marked x*)
using *assms* **by** (*induction xs rule: marked-lit-list-induct*) *auto*

lemma *get-all-levels-of-marked-cons*:
get-all-levels-of-marked (*a # b*) =
 (*if is-marked a then [level-of a] else []*) @ *get-all-levels-of-marked b*
by (*cases a*) *simp-all*

lemma *get-all-levels-of-marked-append*[*simp*]:
get-all-levels-of-marked (*a* @ *b*) = *get-all-levels-of-marked a* @ *get-all-levels-of-marked b*
by (*induct a*) (*simp-all add: get-all-levels-of-marked-cons*)

lemma *in-get-all-levels-of-marked-iff-decomp*:
i ∈ *set (get-all-levels-of-marked M)* ⇔ (∃ *c K c'*. *M* = *c* @ *Marked K i # c'*) (**is** ?*A* ⇔ ?*B*)
proof

```

assume ?B
then show ?A by auto
next
assume ?A
then show ?B
  apply (induction M rule: marked-lit-list-induct)
    apply auto[]
    apply (metis append-Cons append-Nil get-all-levels-of-marked.simps(2) set-ConsD)
    by (metis append-Cons get-all-levels-of-marked.simps(3))
qed

```

lemma *get-rev-level-less-max-get-all-levels-of-marked*:
 $\text{get-rev-level } M \ n \ L \leq \text{Max } (\text{set } (n \ \# \ \text{get-all-levels-of-marked } M))$
by (*induct M arbitrary: n rule: get-all-levels-of-marked.induct*)
(simp-all add: max.coboundedI2)

lemma *get-rev-level-ge-min-get-all-levels-of-marked*:
assumes $\text{atm-of } L \in \text{atm-of ' lits-of-l } M$
shows $\text{get-rev-level } M \ n \ L \geq \text{Min } (\text{set } (n \ \# \ \text{get-all-levels-of-marked } M))$
using *assms* **by** (*induct M arbitrary: n rule: get-all-levels-of-marked.induct*)
(auto simp add: min-le-iff-disj)

lemma *get-all-levels-of-marked-rev-eq-rev-get-all-levels-of-marked[simp]*:
 $\text{get-all-levels-of-marked } (\text{rev } M) = \text{rev } (\text{get-all-levels-of-marked } M)$
by (*induct M rule: get-all-levels-of-marked.induct*)
(simp-all add: max.coboundedI2)

lemma *get-maximum-possible-level-max-get-all-levels-of-marked*:
 $\text{get-maximum-possible-level } M = \text{Max } (\text{insert } 0 \ (\text{set } (\text{get-all-levels-of-marked } M)))$
by (*induct M rule: marked-lit-list-induct*) *(auto simp: insert-commute)*

lemma *get-rev-level-in-levels-of-marked*:
 $\text{get-rev-level } M \ n \ L \in \{0, n\} \cup \text{set } (\text{get-all-levels-of-marked } M)$
by (*induction M arbitrary: n rule: marked-lit-list-induct*) *(force simp add: atm-of-eq-atm-of)+*

lemma *get-rev-level-in-atms-in-levels-of-marked*:
 $\text{atm-of } L \in \text{atm-of ' (lits-of-l } M) \implies$
 $\text{get-rev-level } M \ n \ L \in \{n\} \cup \text{set } (\text{get-all-levels-of-marked } M)$
by (*induction M arbitrary: n rule: marked-lit-list-induct*) *(auto simp add: atm-of-eq-atm-of)*

lemma *get-all-levels-of-marked-no-marked*:
 $(\forall l \in \text{set } Ls. \neg \text{is-marked } l) \longleftrightarrow \text{get-all-levels-of-marked } Ls = []$
by (*induction Ls*) *(auto simp add: get-all-levels-of-marked-cons)*

lemma *get-level-in-levels-of-marked*:
 $\text{get-level } M \ L \in \{0\} \cup \text{set } (\text{get-all-levels-of-marked } M)$
using *get-rev-level-in-levels-of-marked[of rev M 0 L]* **by** *auto*

The zero is here to avoid empty-list issues with *last*:

lemma *get-level-get-rev-level-get-all-levels-of-marked*:
assumes $\text{atm-of } L \notin \text{atm-of ' (lits-of-l } M)$
shows
 $\text{get-level } (K \ @ \ M) \ L = \text{get-rev-level } (\text{rev } K) \ (\text{last } (0 \ \# \ \text{get-all-levels-of-marked } (\text{rev } M))) \ L$
using *assms*
proof (*induct M arbitrary: K*)

```

case Nil
then show ?case by auto
next
case (Cons a M)
then have H:  $\bigwedge K. \text{get-level } (K @ M) L$ 
  =  $\text{get-rev-level } (\text{rev } K) (\text{last } (0 \# \text{get-all-levels-of-marked } (\text{rev } M))) L$ 
  by auto
have  $\text{get-level } ((K @ [a]) @ M) L$ 
  =  $\text{get-rev-level } (a \# \text{rev } K) (\text{last } (0 \# \text{get-all-levels-of-marked } (\text{rev } M))) L$ 
  using H[of K @ [a]] by simp
then show ?case using Cons(2) by (cases a) auto
qed

lemma get-rev-level-can-skip-correctly-ordered:
  assumes
    no-dup M and
    atm-of L  $\notin$  atm-of ' (lits-of-l M) and
     $\text{get-all-levels-of-marked } M = \text{rev } [\text{Suc } 0..<\text{Suc } (\text{length } (\text{get-all-levels-of-marked } M))]$ 
  shows  $\text{get-rev-level } (\text{rev } M @ K) 0 L = \text{get-rev-level } K (\text{length } (\text{get-all-levels-of-marked } M)) L$ 
  using assms
proof (induct M arbitrary: K rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L' i M K)
  then have
    i:  $i = \text{Suc } (\text{length } (\text{get-all-levels-of-marked } M))$  and
     $\text{get-all-levels-of-marked } M = \text{rev } [\text{Suc } 0..<\text{Suc } (\text{length } (\text{get-all-levels-of-marked } M))]$ 
    by auto
  then have  $\text{get-rev-level } (\text{rev } M @ (\text{Marked } L' i \# K)) 0 L$ 
    =  $\text{get-rev-level } (\text{Marked } L' i \# K) (\text{length } (\text{get-all-levels-of-marked } M)) L$ 
    using marked by auto
  then show ?case using marked unfolding i by auto
next
  case (proped L' D M K)
  then have  $\text{get-all-levels-of-marked } M = \text{rev } [\text{Suc } 0..<\text{Suc } (\text{length } (\text{get-all-levels-of-marked } M))]$ 
    by auto
  then have  $\text{get-rev-level } (\text{rev } M @ (\text{Propagated } L' D \# K)) 0 L$ 
    =  $\text{get-rev-level } (\text{Propagated } L' D \# K) (\text{length } (\text{get-all-levels-of-marked } M)) L$ 
    using proped by auto
  then show ?case using proped by auto
qed

lemma get-level-skip-beginning-hd-get-all-levels-of-marked:
  assumes atm-of L  $\notin$  atm-of ' lits-of-l S and  $\text{get-all-levels-of-marked } S \neq []$ 
  shows  $\text{get-level } (M @ S) L = \text{get-rev-level } (\text{rev } M) (\text{hd } (\text{get-all-levels-of-marked } S)) L$ 
  using assms
proof (induction S arbitrary: M rule: marked-lit-list-induct)
  case nil
  then show ?case by (auto simp add: lits-of-def)
next
  case (marked K m)
  note notin = this(2)
  then show ?case by (auto simp add: lits-of-def)
next
  case (proped L l)
  note IH = this(1) and L = this(2) and neq = this(3)

```



```

  show ?case using IH[of M@[Propagated L l]] L neq by (auto simp add: atm-of-eq-atm-of)
qed

```

```

end

```

```

theory CDCL-W

```

```

imports CDCL-Abstract-Clause-Representation List-More CDCL-W-Level Wellfounded-More

```

```

begin

```

19 Weidenbach's CDCL

```

declare upt.simps(2)[simp del]

```

19.1 The State

We will abstract the representation of clause and clauses via two locales. We expect our representation to behave like multiset, but the internal representation can be done using list or whatever other representation.

```

locale stateW-ops =
  raw-clss mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss
  +
  raw-ccls-union mset-ccls union-ccls insert-ccls remove-clit
for
  — Clause
  mset-cls:: 'cls ⇒ 'v clause and
  insert-cls :: 'v literal ⇒ 'cls ⇒ 'cls and
  remove-lit :: 'v literal ⇒ 'cls ⇒ 'cls and

  — Multiset of Clauses
  mset-clss:: 'clss ⇒ 'v clauses and
  union-clss :: 'clss ⇒ 'clss ⇒ 'clss and
  in-clss :: 'cls ⇒ 'clss ⇒ bool and
  insert-clss :: 'cls ⇒ 'clss ⇒ 'clss and
  remove-from-clss :: 'cls ⇒ 'clss ⇒ 'clss and

  mset-ccls:: 'ccls ⇒ 'v clause and
  union-ccls :: 'ccls ⇒ 'ccls ⇒ 'ccls and
  insert-ccls :: 'v literal ⇒ 'ccls ⇒ 'ccls and
  remove-clit :: 'v literal ⇒ 'ccls ⇒ 'ccls
  +
fixes
  ccls-of-cls :: 'cls ⇒ 'ccls and
  cls-of-ccls :: 'ccls ⇒ 'cls and

  trail :: 'st ⇒ ('v, nat, 'v clause) marked-lits and
  hd-raw-trail :: 'st ⇒ ('v, nat, 'cls) marked-lit and
  raw-init-clss :: 'st ⇒ 'clss and
  raw-learned-clss :: 'st ⇒ 'clss and
  backtrack-lvl :: 'st ⇒ nat and
  raw-conflicting :: 'st ⇒ 'ccls option and

  cons-trail :: ('v, nat, 'cls) marked-lit ⇒ 'st ⇒ 'st and
  tl-trail :: 'st ⇒ 'st and

```

```

add-init-cls :: 'cls ⇒ 'st ⇒ 'st and
add-learned-cls :: 'cls ⇒ 'st ⇒ 'st and
remove-cls :: 'cls ⇒ 'st ⇒ 'st and
update-backtrack-lvl :: nat ⇒ 'st ⇒ 'st and
update-conflicting :: 'ccls option ⇒ 'st ⇒ 'st and

init-state :: 'clss ⇒ 'st and
restart-state :: 'st ⇒ 'st
assumes
  mset-ccls-ccls-of-cls[simp]:
    mset-ccls (ccls-of-cls C) = mset-cls C and
  mset-cls-cls-of-ccls[simp]:
    mset-cls (cls-of-ccls D) = mset-ccls D and
  ex-mset-cls: ∃ a. mset-cls a = E
begin
fun mmset-of-mlit :: ('a, 'b, 'cls) marked-lit ⇒ ('a, 'b, 'v clause) marked-lit
  where
mmset-of-mlit (Propagated L C) = Propagated L (mset-cls C) |
mmset-of-mlit (Marked L i) = Marked L i

lemma lit-of-mmset-of-mlit[simp]:
  lit-of (mmset-of-mlit a) = lit-of a
by (cases a) auto

lemma lit-of-mmset-of-mlit-set-lit-of-l[simp]:
  lit-of ' mmset-of-mlit ' set M' = lits-of-l M'
by (induction M') auto

lemma map-mmset-of-mlit-true-annotates-true-cls[simp]:
  map mmset-of-mlit M' ⊨as C ⟷ M' ⊨as C
by (simp add: true-annotates-true-cls lits-of-def)

abbreviation init-clss ≡ λS. mset-clss (raw-init-clss S)
abbreviation learned-clss ≡ λS. mset-clss (raw-learned-clss S)
abbreviation conflicting ≡ λS. map-option mset-ccls (raw-conflicting S)

notation insert-cls (infix !++ 50)

notation in-clss (infix !∈! 50)
notation union-clss (infix ⊕ 50)
notation insert-clss (infix !++! 50)

notation union-ccls (infix !∪ 50)

definition raw-clauses :: 'st ⇒ 'clss where
raw-clauses S = union-clss (raw-init-clss S) (raw-learned-clss S)

abbreviation clauses :: 'st ⇒ 'v clauses where
clauses S ≡ mset-clss (raw-clauses S)

end

locale stateW =
  stateW-ops
  — functions for clauses:

```

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss

— functions for the conflicting clause:
mset-ccls union-ccls insert-ccls remove-clit

— Conversion between conflicting and non-conflicting
ccls-of-cls cls-of-ccls

— functions for the state:

— access functions:

trail hd-raw-trail raw-init-clss raw-learned-clss backtrack-lvl raw-conflicting

— changing state:

cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
update-conflicting

— get state:

init-state

restart-state

for

mset-cls:: 'cls \Rightarrow 'v clause and
insert-cls :: 'v literal \Rightarrow 'cls \Rightarrow 'cls and
remove-lit :: 'v literal \Rightarrow 'cls \Rightarrow 'cls and

mset-clss:: 'clss \Rightarrow 'v clauses and
union-clss :: 'clss \Rightarrow 'clss \Rightarrow 'clss and
in-clss :: 'cls \Rightarrow 'clss \Rightarrow bool and
insert-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss and
remove-from-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss and

mset-ccls:: 'ccls \Rightarrow 'v clause and
union-ccls :: 'ccls \Rightarrow 'ccls \Rightarrow 'ccls and
insert-ccls :: 'v literal \Rightarrow 'ccls \Rightarrow 'ccls and
remove-clit :: 'v literal \Rightarrow 'ccls \Rightarrow 'ccls and

ccls-of-cls :: 'cls \Rightarrow 'ccls and
cls-of-ccls :: 'ccls \Rightarrow 'cls and

trail :: 'st \Rightarrow ('v, nat, 'v clause) marked-lits and
hd-raw-trail :: 'st \Rightarrow ('v, nat, 'cls) marked-lit and
raw-init-clss :: 'st \Rightarrow 'clss and
raw-learned-clss :: 'st \Rightarrow 'clss and
backtrack-lvl :: 'st \Rightarrow nat and
raw-conflicting :: 'st \Rightarrow 'ccls option and

cons-trail :: ('v, nat, 'cls) marked-lit \Rightarrow 'st \Rightarrow 'st and
tl-trail :: 'st \Rightarrow 'st and
add-init-cls :: 'cls \Rightarrow 'st \Rightarrow 'st and
add-learned-cls :: 'cls \Rightarrow 'st \Rightarrow 'st and
remove-cls :: 'cls \Rightarrow 'st \Rightarrow 'st and
update-backtrack-lvl :: nat \Rightarrow 'st \Rightarrow 'st and
update-conflicting :: 'ccls option \Rightarrow 'st \Rightarrow 'st and

init-state :: 'clss \Rightarrow 'st and
restart-state :: 'st \Rightarrow 'st +

assumes

hd-raw-trail: $\text{trail } S \neq [] \implies \text{mmset-of-mlit } (\text{hd-raw-trail } S) = \text{hd } (\text{trail } S) \text{ and}$

trail-cons-trail[simp]:

$\bigwedge L \text{ st. undefined-lit } (\text{trail } st) (\text{lit-of } L) \implies$

$\text{trail } (\text{cons-trail } L \text{ st}) = \text{mmset-of-mlit } L \# \text{ trail } st \text{ and}$

trail-tl-trail[simp]: $\bigwedge st. \text{trail } (\text{tl-trail } st) = \text{tl } (\text{trail } st) \text{ and}$

trail-add-init-cls[simp]:

$\bigwedge st \ C. \text{no-dup } (\text{trail } st) \implies \text{trail } (\text{add-init-cls } C \text{ st}) = \text{trail } st \text{ and}$

trail-add-learned-cls[simp]:

$\bigwedge C \text{ st. no-dup } (\text{trail } st) \implies \text{trail } (\text{add-learned-cls } C \text{ st}) = \text{trail } st \text{ and}$

trail-remove-cls[simp]:

$\bigwedge C \text{ st. trail } (\text{remove-cls } C \text{ st}) = \text{trail } st \text{ and}$

trail-update-backtrack-lvl[simp]: $\bigwedge st \ C. \text{trail } (\text{update-backtrack-lvl } C \text{ st}) = \text{trail } st \text{ and}$

trail-update-conflicting[simp]: $\bigwedge C \text{ st. trail } (\text{update-conflicting } C \text{ st}) = \text{trail } st \text{ and}$

init-clss-cons-trail[simp]:

$\bigwedge M \text{ st. undefined-lit } (\text{trail } st) (\text{lit-of } M) \implies$

$\text{init-clss } (\text{cons-trail } M \text{ st}) = \text{init-clss } st$

and

init-clss-tl-trail[simp]:

$\bigwedge st. \text{init-clss } (\text{tl-trail } st) = \text{init-clss } st \text{ and}$

init-clss-add-init-cls[simp]:

$\bigwedge st \ C. \text{no-dup } (\text{trail } st) \implies \text{init-clss } (\text{add-init-cls } C \text{ st}) = \{\# \text{mset-cls } C \# \} + \text{init-clss } st$

and

init-clss-add-learned-cls[simp]:

$\bigwedge C \text{ st. no-dup } (\text{trail } st) \implies \text{init-clss } (\text{add-learned-cls } C \text{ st}) = \text{init-clss } st \text{ and}$

init-clss-remove-cls[simp]:

$\bigwedge C \text{ st. init-clss } (\text{remove-cls } C \text{ st}) = \text{removeAll-mset } (\text{mset-cls } C) (\text{init-clss } st) \text{ and}$

init-clss-update-backtrack-lvl[simp]:

$\bigwedge st \ C. \text{init-clss } (\text{update-backtrack-lvl } C \text{ st}) = \text{init-clss } st \text{ and}$

init-clss-update-conflicting[simp]:

$\bigwedge C \text{ st. init-clss } (\text{update-conflicting } C \text{ st}) = \text{init-clss } st \text{ and}$

learned-clss-cons-trail[simp]:

$\bigwedge M \text{ st. undefined-lit } (\text{trail } st) (\text{lit-of } M) \implies$

$\text{learned-clss } (\text{cons-trail } M \text{ st}) = \text{learned-clss } st \text{ and}$

learned-clss-tl-trail[simp]:

$\bigwedge st. \text{learned-clss } (\text{tl-trail } st) = \text{learned-clss } st \text{ and}$

learned-clss-add-init-cls[simp]:

$\bigwedge st \ C. \text{no-dup } (\text{trail } st) \implies \text{learned-clss } (\text{add-init-cls } C \text{ st}) = \text{learned-clss } st \text{ and}$

learned-clss-add-learned-cls[simp]:

$\bigwedge C \text{ st. no-dup } (\text{trail } st) \implies$

$\text{learned-clss } (\text{add-learned-cls } C \text{ st}) = \{\# \text{mset-cls } C \# \} + \text{learned-clss } st \text{ and}$

learned-clss-remove-cls[simp]:

$\bigwedge C \text{ st. learned-clss } (\text{remove-cls } C \text{ st}) = \text{removeAll-mset } (\text{mset-cls } C) (\text{learned-clss } st) \text{ and}$

learned-clss-update-backtrack-lvl[simp]:

$\bigwedge st \ C. \text{learned-clss } (\text{update-backtrack-lvl } C \text{ st}) = \text{learned-clss } st \text{ and}$

learned-clss-update-conflicting[simp]:

$\bigwedge C \text{ st. learned-clss } (\text{update-conflicting } C \text{ st}) = \text{learned-clss } st \text{ and}$

backtrack-lvl-cons-trail[simp]:

$\bigwedge M \text{ st. undefined-lit } (\text{trail } st) (\text{lit-of } M) \implies$

$\text{backtrack-lvl } (\text{cons-trail } M \text{ st}) = \text{backtrack-lvl } st \text{ and}$

backtrack-lvl-tl-trail[simp]:

$\bigwedge st. \text{backtrack-lvl } (\text{tl-trail } st) = \text{backtrack-lvl } st \text{ and}$

backtrack-lvl-add-init-cls[simp]:

$\bigwedge st\ C. no_dup\ (trail\ st) \implies backtrack_lvl\ (add_init_cls\ C\ st) = backtrack_lvl\ st$ **and**
backtrack-lvl-add-learned-cls[simp]:

$\bigwedge C\ st. no_dup\ (trail\ st) \implies backtrack_lvl\ (add_learned_cls\ C\ st) = backtrack_lvl\ st$ **and**
backtrack-lvl-remove-cls[simp]:

$\bigwedge C\ st. backtrack_lvl\ (remove_cls\ C\ st) = backtrack_lvl\ st$ **and**
backtrack-lvl-update-backtrack-lvl[simp]:

$\bigwedge st\ k. backtrack_lvl\ (update_backtrack_lvl\ k\ st) = k$ **and**
backtrack-lvl-update-conflicting[simp]:

$\bigwedge C\ st. backtrack_lvl\ (update_conflicting\ C\ st) = backtrack_lvl\ st$ **and**

conflicting-cons-trail[simp]:

$\bigwedge M\ st. undefined_lit\ (trail\ st)\ (lit_of\ M) \implies$
 $conflicting\ (cons_trail\ M\ st) = conflicting\ st$ **and**

conflicting-tl-trail[simp]:

$\bigwedge st. conflicting\ (tl_trail\ st) = conflicting\ st$ **and**

conflicting-add-init-cls[simp]:

$\bigwedge st\ C. no_dup\ (trail\ st) \implies conflicting\ (add_init_cls\ C\ st) = conflicting\ st$ **and**
conflicting-add-learned-cls[simp]:

$\bigwedge C\ st. no_dup\ (trail\ st) \implies conflicting\ (add_learned_cls\ C\ st) = conflicting\ st$
and

conflicting-remove-cls[simp]:

$\bigwedge C\ st. conflicting\ (remove_cls\ C\ st) = conflicting\ st$ **and**

conflicting-update-backtrack-lvl[simp]:

$\bigwedge st\ C. conflicting\ (update_backtrack_lvl\ C\ st) = conflicting\ st$ **and**

conflicting-update-conflicting[simp]:

$\bigwedge C\ st. raw_conflicting\ (update_conflicting\ C\ st) = C$ **and**

init-state-trail[simp]: $\bigwedge N. trail\ (init_state\ N) = []$ **and**

init-state-clss[simp]: $\bigwedge N. (init_clss\ (init_state\ N)) = mset_clss\ N$ **and**

init-state-learned-clss[simp]: $\bigwedge N. learned_clss\ (init_state\ N) = \{\#\}$ **and**

init-state-backtrack-lvl[simp]: $\bigwedge N. backtrack_lvl\ (init_state\ N) = 0$ **and**

init-state-conflicting[simp]: $\bigwedge N. conflicting\ (init_state\ N) = None$ **and**

trail-restart-state[simp]: $trail\ (restart_state\ S) = []$ **and**

init-clss-restart-state[simp]: $init_clss\ (restart_state\ S) = init_clss\ S$ **and**

learned-clss-restart-state[intro]:

$learned_clss\ (restart_state\ S) \subseteq\# learned_clss\ S$ **and**

backtrack-lvl-restart-state[simp]: $backtrack_lvl\ (restart_state\ S) = 0$ **and**

conflicting-restart-state[simp]: $conflicting\ (restart_state\ S) = None$

begin

lemma

shows

clauses-cons-trail[simp]:

$undefined_lit\ (trail\ S)\ (lit_of\ M) \implies clauses\ (cons_trail\ M\ S) = clauses\ S$ **and**

clss-tl-trail[simp]: $clauses\ (tl_trail\ S) = clauses\ S$ **and**

clauses-add-learned-cls-unfolded:

$no_dup\ (trail\ S) \implies clauses\ (add_learned_cls\ U\ S) =$
 $\{\#mset_cls\ U\#\} + learned_clss\ S + init_clss\ S$

and

clauses-add-init-cls[simp]:

$no_dup\ (trail\ S) \implies$

$clauses\ (add_init_cls\ N\ S) = \{\#mset_cls\ N\#\} + init_clss\ S + learned_clss\ S$ **and**

clauses-update-backtrack-lvl[simp]: *clauses* (*update-backtrack-lvl* *k* *S*) = *clauses* *S* **and**
clauses-update-conflicting[simp]: *clauses* (*update-conflicting* *D* *S*) = *clauses* *S* **and**
clauses-remove-cls[simp]:
clauses (*remove-cls* *C* *S*) = *removeAll-mset* (*mset-cls* *C*) (*clauses* *S*) **and**
clauses-add-learned-cls[simp]:
no-dup (*trail* *S*) \implies *clauses* (*add-learned-cls* *C* *S*) = {#*mset-cls* *C* #} + *clauses* *S* **and**
clauses-restart[simp]: *clauses* (*restart-state* *S*) \subseteq # *clauses* *S* **and**
clauses-init-state[simp]: $\bigwedge N.$ *clauses* (*init-state* *N*) = *mset-clss* *N*
prefer 9 using *raw-clauses-def* *learned-clss-restart-state* **apply** *fastforce*
by (*auto simp: ac-simps replicate-mset-plus raw-clauses-def intro: multiset-eqI*)

abbreviation *state* :: '*st* \Rightarrow ('*v*, *nat*, '*v* clause) marked-lit list \times '*v* clauses \times '*v* clauses
 \times *nat* \times '*v* clause option **where**
state *S* \equiv (*trail* *S*, *init-clss* *S*, *learned-clss* *S*, *backtrack-lvl* *S*, *conflicting* *S*)

abbreviation *incr-lvl* :: '*st* \Rightarrow '*st* **where**
incr-lvl *S* \equiv *update-backtrack-lvl* (*backtrack-lvl* *S* + 1) *S*

definition *state-eq* :: '*st* \Rightarrow '*st* \Rightarrow *bool* (**infix** \sim 50) **where**
S \sim *T* \longleftrightarrow *state* *S* = *state* *T*

lemma *state-eq-ref*[*simp*, *intro*]:
S \sim *S*
unfolding *state-eq-def* **by** *auto*

lemma *state-eq-sym*:
S \sim *T* \longleftrightarrow *T* \sim *S*
unfolding *state-eq-def* **by** *auto*

lemma *state-eq-trans*:
S \sim *T* \implies *T* \sim *U* \implies *S* \sim *U*
unfolding *state-eq-def* **by** *auto*

lemma
shows
state-eq-trail: *S* \sim *T* \implies *trail* *S* = *trail* *T* **and**
state-eq-init-clss: *S* \sim *T* \implies *init-clss* *S* = *init-clss* *T* **and**
state-eq-learned-clss: *S* \sim *T* \implies *learned-clss* *S* = *learned-clss* *T* **and**
state-eq-backtrack-lvl: *S* \sim *T* \implies *backtrack-lvl* *S* = *backtrack-lvl* *T* **and**
state-eq-conflicting: *S* \sim *T* \implies *conflicting* *S* = *conflicting* *T* **and**
state-eq-clauses: *S* \sim *T* \implies *clauses* *S* = *clauses* *T* **and**
state-eq-undefined-lit: *S* \sim *T* \implies *undefined-lit* (*trail* *S*) *L* = *undefined-lit* (*trail* *T*) *L*
unfolding *state-eq-def* *raw-clauses-def* **by** *auto*

lemma *state-eq-raw-conflicting-None*:
S \sim *T* \implies *conflicting* *T* = *None* \implies *raw-conflicting* *S* = *None*
unfolding *state-eq-def* *raw-clauses-def* **by** *auto*

lemmas *state-simp*[*simp*] = *state-eq-trail* *state-eq-init-clss* *state-eq-learned-clss*
state-eq-backtrack-lvl *state-eq-conflicting* *state-eq-clauses* *state-eq-undefined-lit*
state-eq-raw-conflicting-None

lemma *atms-of-ms-learned-clss-restart-state-in-atms-of-ms-learned-clssI*[*intro*]:
 $x \in \text{atms-of-mm } (\text{learned-clss } (\text{restart-state } S)) \implies x \in \text{atms-of-mm } (\text{learned-clss } S)$
by (*meson atms-of-ms-mono learned-clss-restart-state set-mset-mono subsetCE*)

function *reduce-trail-to* :: 'a list \Rightarrow 'st \Rightarrow 'st **where**
reduce-trail-to *F S* =
 (if *length* (*trail S*) = *length F* \vee *trail S* = [] then *S* else *reduce-trail-to F (tl-trail S)*)
by *fast+*
termination
by (*relation measure* ($\lambda(-, S). \text{length } (\text{trail } S)$)) *simp-all*

declare *reduce-trail-to.simps*[*simp del*]

lemma
shows
reduce-trail-to-nil[*simp*]: *trail S* = [] \implies *reduce-trail-to F S* = *S* **and**
reduce-trail-to-eq-length[*simp*]: *length* (*trail S*) = *length F* \implies *reduce-trail-to F S* = *S*
by (*auto simp: reduce-trail-to.simps*)

lemma *reduce-trail-to-length-ne*:
length (*trail S*) \neq *length F* \implies *trail S* \neq [] \implies
reduce-trail-to F S = *reduce-trail-to F (tl-trail S)*
by (*auto simp: reduce-trail-to.simps*)

lemma *trail-reduce-trail-to-length-le*:
assumes *length F* > *length* (*trail S*)
shows *trail* (*reduce-trail-to F S*) = []
using *assms* **apply** (*induction F S* rule: *reduce-trail-to.induct*)
by (*metis* (*no-types*, *hide-lams*) *length-tl less-imp-diff-less less-irrefl trail-tl-trail*
reduce-trail-to.simps)

lemma *trail-reduce-trail-to-nil*[*simp*]:
trail (*reduce-trail-to* [] *S*) = []
apply (*induction* []::('v, nat, 'v clause) *marked-lits S* rule: *reduce-trail-to.induct*)
by (*metis* *length-0-conv reduce-trail-to-length-ne reduce-trail-to-nil*)

lemma *clauses-reduce-trail-to-nil*:
clauses (*reduce-trail-to* [] *S*) = *clauses S*
proof (*induction* [] *S* rule: *reduce-trail-to.induct*)
case (1 *Sa*)
then have *clauses* (*reduce-trail-to* ([]::'a list) (*tl-trail Sa*)) = *clauses* (*tl-trail Sa*)
 \vee *trail Sa* = []
by *fastforce*
then show *clauses* (*reduce-trail-to* ([]::'a list) *Sa*) = *clauses Sa*
by (*metis* (*no-types*) *length-0-conv reduce-trail-to-eq-length clss-tl-trail*
reduce-trail-to-length-ne)
qed

lemma *reduce-trail-to-skip-beginning*:
assumes *trail S* = *F' @ F*
shows *trail* (*reduce-trail-to F S*) = *F*
using *assms* **by** (*induction F'* arbitrary: *S*) (*auto simp: reduce-trail-to-length-ne*)

lemma *clauses-reduce-trail-to*[*simp*]:
clauses (*reduce-trail-to F S*) = *clauses S*
apply (*induction F S* rule: *reduce-trail-to.induct*)
by (*metis* *clss-tl-trail reduce-trail-to.simps*)

lemma *conflicting-update-trail*[simp]:
conflicting (reduce-trail-to F S) = *conflicting* S
apply (induction F S rule: reduce-trail-to.induct)
by (metis *conflicting-tl-trail* reduce-trail-to.simps)

lemma *backtrack-lvl-update-trail*[simp]:
backtrack-lvl (reduce-trail-to F S) = *backtrack-lvl* S
apply (induction F S rule: reduce-trail-to.induct)
by (metis *backtrack-lvl-tl-trail* reduce-trail-to.simps)

lemma *init-clss-update-trail*[simp]:
init-clss (reduce-trail-to F S) = *init-clss* S
apply (induction F S rule: reduce-trail-to.induct)
by (metis *init-clss-tl-trail* reduce-trail-to.simps)

lemma *learned-clss-update-trail*[simp]:
learned-clss (reduce-trail-to F S) = *learned-clss* S
apply (induction F S rule: reduce-trail-to.induct)
by (metis *learned-clss-tl-trail* reduce-trail-to.simps)

lemma *raw-conflicting-reduce-trail-to*[simp]:
raw-conflicting (reduce-trail-to F S) = None \longleftrightarrow *raw-conflicting* S = None
apply (induction F S rule: reduce-trail-to.induct)
by (metis *conflicting-update-trail* map-option-is-None)

lemma *trail-eq-reduce-trail-to-eq*:
trail S = *trail* T \implies *trail* (reduce-trail-to F S) = *trail* (reduce-trail-to F T)
apply (induction F S arbitrary: T rule: reduce-trail-to.induct)
by (metis *trail-tl-trail* reduce-trail-to.simps)

lemma *reduce-trail-to-state-eq_{NOT}-compatible*:
assumes ST : $S \sim T$
shows reduce-trail-to F $S \sim$ reduce-trail-to F T
proof –
have *trail* (reduce-trail-to F S) = *trail* (reduce-trail-to F T)
using *trail-eq-reduce-trail-to-eq*[of S T F] ST **by** auto
then show ?thesis **using** ST **by** (auto simp del: state-simp simp: state-eq-def)
qed

lemma *reduce-trail-to-trail-tl-trail-decomp*[simp]:
trail S = $F' @ \text{Marked } K \ d \ \# \ F \implies$ (*trail* (reduce-trail-to F S)) = F
apply (rule reduce-trail-to-skip-beginning[of - $F' @ \text{Marked } K \ d \ \# \ []$])
by (cases F') (auto simp add:tl-append reduce-trail-to-skip-beginning)

lemma *reduce-trail-to-add-learned-cls*[simp]:
no-dup (*trail* S) \implies
trail (reduce-trail-to F (add-learned-cls C S)) = *trail* (reduce-trail-to F S)
by (rule *trail-eq-reduce-trail-to-eq*) auto

lemma *reduce-trail-to-add-init-cls*[simp]:
no-dup (*trail* S) \implies
trail (reduce-trail-to F (add-init-cls C S)) = *trail* (reduce-trail-to F S)
by (rule *trail-eq-reduce-trail-to-eq*) auto

lemma *reduce-trail-to-remove-learned-cls*[simp]:
 $\text{trail } (\text{reduce-trail-to } F \text{ (remove-cls } C \text{ } S)) = \text{trail } (\text{reduce-trail-to } F \text{ } S)$
by (rule *trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-update-conflicting*[simp]:
 $\text{trail } (\text{reduce-trail-to } F \text{ (update-conflicting } C \text{ } S)) = \text{trail } (\text{reduce-trail-to } F \text{ } S)$
by (rule *trail-eq-reduce-trail-to-eq*) *auto*

lemma *reduce-trail-to-update-backtrack-lvl*[simp]:
 $\text{trail } (\text{reduce-trail-to } F \text{ (update-backtrack-lvl } C \text{ } S)) = \text{trail } (\text{reduce-trail-to } F \text{ } S)$
by (rule *trail-eq-reduce-trail-to-eq*) *auto*

lemma *in-get-all-marked-decomposition-marked-or-empty*:
assumes $(a, b) \in \text{set } (\text{get-all-marked-decomposition } M)$
shows $a = [] \vee (\text{is-marked } (\text{hd } a))$
using *assms*
proof (*induct M arbitrary: a b*)
case *Nil* **then show** ?*case* **by** *simp*
next
case (*Cons m M*)
show ?*case*
proof (*cases m*)
case (*Marked l mark*)
then show ?*thesis* **using** *Cons* **by** *auto*
next
case (*Propagated l mark*)
then show ?*thesis* **using** *Cons* **by** (*cases get-all-marked-decomposition M*) *force+*
qed
qed

lemma *reduce-trail-to-length*:
 $\text{length } M = \text{length } M' \implies \text{reduce-trail-to } M \text{ } S = \text{reduce-trail-to } M' \text{ } S$
apply (*induction M S arbitrary: rule: reduce-trail-to.induct*)
by (*simp add: reduce-trail-to.simps*)

lemma *trail-reduce-trail-to-drop*:
 $\text{trail } (\text{reduce-trail-to } F \text{ } S) =$
 $(\text{if } \text{length } (\text{trail } S) \geq \text{length } F$
 $\text{then drop } (\text{length } (\text{trail } S) - \text{length } F) (\text{trail } S)$
 $\text{else } [])$
apply (*induction F S rule: reduce-trail-to.induct*)
apply (*rename-tac F S, case-tac trail S*)
apply *auto*
apply (*rename-tac list, case-tac Suc (length list) > length F*)
prefer 2 **apply** (*metis diff-is-0-eq drop-Cons' length-Cons nat-le-linear nat-less-le*
 $\text{reduce-trail-to-eq-length trail-reduce-trail-to-length-le}$)
apply (*subgoal-tac Suc (length list) - length F = Suc (length list - length F)*)
by (*auto simp add: reduce-trail-to-length-ne*)

lemma *in-get-all-marked-decomposition-trail-update-trail*[simp]:
assumes $H: (L \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$
shows $\text{trail } (\text{reduce-trail-to } M1 \text{ } S) = M1$
proof –
obtain *K mark* **where**
 $L: L = \text{Marked } K \text{ mark}$

```

    using H by (cases L) (auto dest!: in-get-all-marked-decomposition-marked-or-empty)
  obtain c where
    tr-S: trail S = c @ M2 @ L # M1
    using H by auto
  show ?thesis
    by (rule reduce-trail-to-trail-tl-trail-decomp[of - c @ M2 K mark])
      (auto simp: tr-S L)
qed

```

```

lemma raw-conflicting-cons-trail[simp]:
  assumes undefined-lit (trail S) (lit-of L)
  shows
    raw-conflicting (cons-trail L S) = None  $\longleftrightarrow$  raw-conflicting S = None
  using assms conflicting-cons-trail[of S L] map-option-is-None by fastforce+

```

```

lemma raw-conflicting-add-init-cls[simp]:
  no-dup (trail S)  $\implies$ 
    raw-conflicting (add-init-cls C S) = None  $\longleftrightarrow$  raw-conflicting S = None
  using map-option-is-None conflicting-add-init-cls[of S C] by fastforce+

```

```

lemma raw-conflicting-add-learned-cls[simp]:
  no-dup (trail S)  $\implies$ 
    raw-conflicting (add-learned-cls C S) = None  $\longleftrightarrow$  raw-conflicting S = None
  using map-option-is-None conflicting-add-learned-cls[of S C] by fastforce+

```

```

lemma raw-conflicting-update-backtrack-lvl[simp]:
  raw-conflicting (update-backtrack-lvl k S) = None  $\longleftrightarrow$  raw-conflicting S = None
  using map-option-is-None conflicting-update-backtrack-lvl[of k S] by fastforce+

```

end — end of *state_W* locale

19.2 CDCL Rules

Because of the strategy we will later use, we distinguish propagate, conflict from the other rules

```

locale conflict-driven-clause-learningW =
  stateW
  — functions for clauses:
  mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss

  — functions for the conflicting clause:
  mset-ccls union-ccls insert-ccls remove-clit

  — conversion
  ccls-of-cls cls-of-ccls

  — functions for the state:
  — access functions:
  trail hd-raw-trail raw-init-clss raw-learned-clss backtrack-lvl raw-conflicting
  — changing state:
  cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
  update-conflicting

  — get state:
  init-state

```

```

  restart-state
for
  mset-cls:: 'cls  $\Rightarrow$  'v clause and
  insert-cls :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and
  remove-lit :: 'v literal  $\Rightarrow$  'cls  $\Rightarrow$  'cls and

  mset-clss:: 'clss  $\Rightarrow$  'v clauses and
  union-clss :: 'clss  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  in-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  bool and
  insert-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and
  remove-from-clss :: 'cls  $\Rightarrow$  'clss  $\Rightarrow$  'clss and

  mset-ccls:: 'ccls  $\Rightarrow$  'v clause and
  union-ccls :: 'ccls  $\Rightarrow$  'ccls  $\Rightarrow$  'ccls and
  insert-ccls :: 'v literal  $\Rightarrow$  'ccls  $\Rightarrow$  'ccls and
  remove-clit :: 'v literal  $\Rightarrow$  'ccls  $\Rightarrow$  'ccls and

  ccls-of-cls :: 'cls  $\Rightarrow$  'ccls and
  cls-of-ccls :: 'ccls  $\Rightarrow$  'cls and

  trail :: 'st  $\Rightarrow$  ('v, nat, 'v clause) marked-lits and
  hd-raw-trail :: 'st  $\Rightarrow$  ('v, nat, 'cls) marked-lit and
  raw-init-clss :: 'st  $\Rightarrow$  'clss and
  raw-learned-clss :: 'st  $\Rightarrow$  'clss and
  backtrack-lvl :: 'st  $\Rightarrow$  nat and
  raw-conflicting :: 'st  $\Rightarrow$  'ccls option and

  cons-trail :: ('v, nat, 'cls) marked-lit  $\Rightarrow$  'st  $\Rightarrow$  'st and
  tl-trail :: 'st  $\Rightarrow$  'st and
  add-init-cls :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  add-learned-cls :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  remove-cls :: 'cls  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-backtrack-lvl :: nat  $\Rightarrow$  'st  $\Rightarrow$  'st and
  update-conflicting :: 'ccls option  $\Rightarrow$  'st  $\Rightarrow$  'st and

  init-state :: 'clss  $\Rightarrow$  'st and
  restart-state :: 'st  $\Rightarrow$  'st
begin

inductive propagate :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for S :: 'st where
propagate-rule: conflicting S = None  $\Rightarrow$ 
  E ! $\in$ ! raw-clauses S  $\Rightarrow$ 
  L  $\in$  # mset-cls E  $\Rightarrow$ 
  trail S  $\models_{as}$  CNot (mset-cls (remove-lit L E))  $\Rightarrow$ 
  undefined-lit (trail S) L  $\Rightarrow$ 
  T  $\sim$  cons-trail (Propagated L E) S  $\Rightarrow$ 
  propagate S T

inductive-cases propagateE: propagate S T

inductive conflict :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool for S :: 'st where
conflict-rule:
  conflicting S = None  $\Rightarrow$ 
  D ! $\in$ ! raw-clauses S  $\Rightarrow$ 
  trail S  $\models_{as}$  CNot (mset-cls D)  $\Rightarrow$ 

```

$T \sim \text{update-conflicting } (\text{Some } (\text{ccls-of-cls } D)) \text{ } S \implies$
 $\text{conflict } S \text{ } T$

inductive-cases *conflictE*: *conflict* *S* *T*

inductive *backtrack* :: 'st \Rightarrow 'st \Rightarrow bool **for** *S* :: 'st **where**

backtrack-rule:

raw-conflicting *S* = *Some* *D* \implies
 $L \in \# \text{ mset-ccls } D \implies$
 $(\text{Marked } K \ (i+1) \ \# \ M1, \ M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S)) \implies$
 $\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \implies$
 $\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{mset-ccls } D) \implies$
 $\text{get-maximum-level } (\text{trail } S) \ (\text{mset-ccls } (\text{remove-clit } L \ D)) \equiv i \implies$
 $T \sim \text{cons-trail } (\text{Propagated } L \ (\text{cls-of-ccls } D))$
 $(\text{reduce-trail-to } M1$
 $(\text{add-learned-cls } (\text{cls-of-ccls } D)$
 $(\text{update-backtrack-lvl } i$
 $(\text{update-conflicting } \text{None } S)))) \implies$
backtrack *S* *T*

inductive-cases *backtrackE*: *backtrack* *S* *T*

thm *backtrackE*

inductive *decide* :: 'st \Rightarrow 'st \Rightarrow bool **for** *S* :: 'st **where**

decide-rule:

conflicting *S* = *None* \implies
 $\text{undefined-lit } (\text{trail } S) \ L \implies$
 $\text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S) \implies$
 $T \sim \text{cons-trail } (\text{Marked } L \ (\text{backtrack-lvl } S + 1)) \ (\text{incr-lvl } S) \implies$
decide *S* *T*

inductive-cases *decideE*: *decide* *S* *T*

inductive *skip* :: 'st \Rightarrow 'st \Rightarrow bool **for** *S* :: 'st **where**

skip-rule:

trail *S* = *Propagated* *L* *C'* $\#$ *M* \implies
raw-conflicting *S* = *Some* *E* \implies
 $-L \notin \# \text{ mset-ccls } E \implies$
 $\text{mset-ccls } E \neq \{\#\} \implies$
 $T \sim \text{tl-trail } S \implies$
skip *S* *T*

inductive-cases *skipE*: *skip* *S* *T*

get-maximum-level (*Propagated* *L* (*C* + $\{\#L\# \}$) $\#$ *M*) *D* = *k* \vee *k* = 0 (that was in a previous version of the book) is equivalent to *get-maximum-level* (*Propagated* *L* (*C* + $\{\#L\# \}$) $\#$ *M*) *D* = *k*, when the structural invariants holds.

inductive *resolve* :: 'st \Rightarrow 'st \Rightarrow bool **for** *S* :: 'st **where**

resolve-rule: *trail* *S* $\neq [] \implies$

hd-raw-trail *S* = *Propagated* *L* *E* \implies

$L \in \# \text{ mset-cls } E \implies$

raw-conflicting *S* = *Some* *D'* \implies

$-L \in \# \text{ mset-ccls } D' \implies$

get-maximum-level (*trail* *S*) (*mset-ccls* (*remove-clit* ($-L$) *D'*)) = *backtrack-lvl* *S* \implies

$T \sim \text{update-conflicting } (\text{Some } (\text{union-ccls } (\text{remove-clit } (-L) \ D') \ (\text{ccls-of-cls } (\text{remove-lit } L \ E))))$

$(tl\text{-}trail\ S) \Longrightarrow$
 $resolve\ S\ T$

inductive-cases $resolveE$: $resolve\ S\ T$

inductive $restart :: 'st \Rightarrow 'st \Rightarrow bool$ **for** $S :: 'st$ **where**
 $restart$: $state\ S = (M, N, U, k, None) \Longrightarrow \neg M \models_{asm} clauses\ S$
 $\Longrightarrow T \sim restart\text{-}state\ S$
 $\Longrightarrow restart\ S\ T$

inductive-cases $restartE$: $restart\ S\ T$

We add the condition $C \notin \# init\text{-}clss\ S$, to maintain consistency even without the strategy.

inductive $forget :: 'st \Rightarrow 'st \Rightarrow bool$ **where**

$forget\text{-}rule$:

$conflicting\ S = None \Longrightarrow$
 $C !\in raw\text{-}learned\text{-}clss\ S \Longrightarrow$
 $\neg(trail\ S) \models_{asm} clauses\ S \Longrightarrow$
 $mset\text{-}cls\ C \notin set\ (get\text{-}all\text{-}mark\text{-}of\text{-}propagated\ (trail\ S)) \Longrightarrow$
 $mset\text{-}cls\ C \notin \# init\text{-}clss\ S \Longrightarrow$
 $T \sim remove\text{-}cls\ C\ S \Longrightarrow$
 $forget\ S\ T$

inductive-cases $forgetE$: $forget\ S\ T$

inductive $cdcl_W\text{-}rf :: 'st \Rightarrow 'st \Rightarrow bool$ **for** $S :: 'st$ **where**

$restart$: $restart\ S\ T \Longrightarrow cdcl_W\text{-}rf\ S\ T$ |
 $forget$: $forget\ S\ T \Longrightarrow cdcl_W\text{-}rf\ S\ T$

inductive $cdcl_W\text{-}bj :: 'st \Rightarrow 'st \Rightarrow bool$ **where**

$skip$: $skip\ S\ S' \Longrightarrow cdcl_W\text{-}bj\ S\ S'$ |
 $resolve$: $resolve\ S\ S' \Longrightarrow cdcl_W\text{-}bj\ S\ S'$ |
 $backtrack$: $backtrack\ S\ S' \Longrightarrow cdcl_W\text{-}bj\ S\ S'$

inductive-cases $cdcl_W\text{-}bjE$: $cdcl_W\text{-}bj\ S\ T$

inductive $cdcl_W\text{-}o :: 'st \Rightarrow 'st \Rightarrow bool$ **for** $S :: 'st$ **where**

$decide$: $decide\ S\ S' \Longrightarrow cdcl_W\text{-}o\ S\ S'$ |
 bj : $cdcl_W\text{-}bj\ S\ S' \Longrightarrow cdcl_W\text{-}o\ S\ S'$

inductive $cdcl_W :: 'st \Rightarrow 'st \Rightarrow bool$ **for** $S :: 'st$ **where**

$propagate$: $propagate\ S\ S' \Longrightarrow cdcl_W\ S\ S'$ |
 $conflict$: $conflict\ S\ S' \Longrightarrow cdcl_W\ S\ S'$ |
 $other$: $cdcl_W\text{-}o\ S\ S' \Longrightarrow cdcl_W\ S\ S'$ |
 rf : $cdcl_W\text{-}rf\ S\ S' \Longrightarrow cdcl_W\ S\ S'$

lemma $rtranclp\text{-}propagate\text{-}is\text{-}rtranclp\text{-}cdcl_W$:

$propagate^{**}\ S\ S' \Longrightarrow cdcl_W^{**}\ S\ S'$
apply ($induction\ rule$: $rtranclp\text{-}induct$)
apply $simp$
apply ($frule\ propagate$)
using $rtranclp\text{-}trans[of\ cdcl_W]$ **by** $blast$

lemma $cdcl_W\text{-}all\text{-}rules\text{-}induct[consumes\ 1, case\text{-}names\ propagate\ conflict\ forget\ restart\ decide\ skip\ resolve\ backtrack]$:

```

fixes  $S :: 'st$ 
assumes
   $cdcl_W: cdcl_W\ S\ S' \text{ and}$ 
   $propagate: \bigwedge T. propagate\ S\ T \implies P\ S\ T \text{ and}$ 
   $conflict: \bigwedge T. conflict\ S\ T \implies P\ S\ T \text{ and}$ 
   $forget: \bigwedge T. forget\ S\ T \implies P\ S\ T \text{ and}$ 
   $restart: \bigwedge T. restart\ S\ T \implies P\ S\ T \text{ and}$ 
   $decide: \bigwedge T. decide\ S\ T \implies P\ S\ T \text{ and}$ 
   $skip: \bigwedge T. skip\ S\ T \implies P\ S\ T \text{ and}$ 
   $resolve: \bigwedge T. resolve\ S\ T \implies P\ S\ T \text{ and}$ 
   $backtrack: \bigwedge T. backtrack\ S\ T \implies P\ S\ T$ 
shows  $P\ S\ S'$ 
using  $assms(1)$ 
proof ( $induct\ S'$  rule:  $cdcl_W.induct$ )
  case ( $propagate\ S'$ ) note  $propagate = this(1)$ 
  then show  $?case$  using  $assms(2)$  by  $auto$ 
next
  case ( $conflict\ S'$ )
  then show  $?case$  using  $assms(3)$  by  $auto$ 
next
  case ( $other\ S'$ )
  then show  $?case$ 
    proof ( $induct\ rule: cdcl_W-o.induct$ )
      case ( $decide\ U$ )
      then show  $?case$  using  $assms(6)$  by  $auto$ 
    next
      case ( $bj\ S'$ )
      then show  $?case$  using  $assms(7-9)$  by ( $induction\ rule: cdcl_W-bj.induct$ )  $auto$ 
    qed
  next
  case ( $rf\ S'$ )
  then show  $?case$ 
    by ( $induct\ rule: cdcl_W-rf.induct$ ) ( $fast\ dest: forget\ restart$ ) $+$ 
  qed

lemma  $cdcl_W-all-induct[consumes\ 1, case-names\ propagate\ conflict\ forget\ restart\ decide\ skip$ 
   $resolve\ backtrack]:$ 
fixes  $S :: 'st$ 
assumes
   $cdcl_W: cdcl_W\ S\ S' \text{ and}$ 
   $propagateH: \bigwedge C\ L\ T. conflicting\ S = None \implies$ 
     $C !\in! raw-clauses\ S \implies$ 
     $L \in \# mset-cls\ C \implies$ 
     $trail\ S \models_{as} CNot\ (remove1-mset\ L\ (mset-cls\ C)) \implies$ 
     $undefined-lit\ (trail\ S)\ L \implies$ 
     $T \sim cons-trail\ (Propagated\ L\ C)\ S \implies$ 
     $P\ S\ T \text{ and}$ 
   $conflictH: \bigwedge D\ T. conflicting\ S = None \implies$ 
     $D !\in! raw-clauses\ S \implies$ 
     $trail\ S \models_{as} CNot\ (mset-cls\ D) \implies$ 
     $T \sim update-conflicting\ (Some\ (ccls-of-cls\ D))\ S \implies$ 
     $P\ S\ T \text{ and}$ 
   $forgetH: \bigwedge C\ U\ T. conflicting\ S = None \implies$ 
     $C !\in! raw-learned-clss\ S \implies$ 
     $\neg(trail\ S) \models_{asm}\ clauses\ S \implies$ 

```

$mset-cls\ C \notin set\ (get-all-mark-of-propagated\ (trail\ S)) \implies$
 $mset-cls\ C \notin \# init-clss\ S \implies$
 $T \sim remove-cls\ C\ S \implies$
P S T and
restartH: $\bigwedge T. \neg trail\ S \models_{asm} clauses\ S \implies$
 $conflicting\ S = None \implies$
 $T \sim restart-state\ S \implies$
P S T and
decideH: $\bigwedge L\ T. conflicting\ S = None \implies$
 $undefined-lit\ (trail\ S)\ L \implies$
 $atm-of\ L \in atms-of-mm\ (init-clss\ S) \implies$
 $T \sim cons-trail\ (Marked\ L\ (backtrack-lvl\ S + 1))\ (incr-lvl\ S) \implies$
P S T and
skipH: $\bigwedge L\ C'\ M\ E\ T.$
 $trail\ S = Propagated\ L\ C'\ \#\ M \implies$
 $raw-conflicting\ S = Some\ E \implies$
 $-L \notin \# mset-ccls\ E \implies mset-ccls\ E \neq \{\#\} \implies$
 $T \sim tl-trail\ S \implies$
P S T and
resolveH: $\bigwedge L\ E\ M\ D\ T.$
 $trail\ S = Propagated\ L\ (mset-cls\ E)\ \#\ M \implies$
 $L \in \# mset-cls\ E \implies$
 $hd-raw-trail\ S = Propagated\ L\ E \implies$
 $raw-conflicting\ S = Some\ D \implies$
 $-L \in \# mset-ccls\ D \implies$
 $get-maximum-level\ (trail\ S)\ (mset-ccls\ (remove-clit\ (-L)\ D)) = backtrack-lvl\ S \implies$
 $T \sim update-conflicting$
 $(Some\ (union-ccls\ (remove-clit\ (-L)\ D)\ (ccls-of-cls\ (remove-lit\ L\ E))))\ (tl-trail\ S) \implies$
P S T and
backtrackH: $\bigwedge L\ D\ K\ i\ M1\ M2\ T.$
 $raw-conflicting\ S = Some\ D \implies$
 $L \in \# mset-ccls\ D \implies$
 $(Marked\ K\ (i+1)\ \#\ M1,\ M2) \in set\ (get-all-marked-decomposition\ (trail\ S)) \implies$
 $get-level\ (trail\ S)\ L = backtrack-lvl\ S \implies$
 $get-level\ (trail\ S)\ L = get-maximum-level\ (trail\ S)\ (mset-ccls\ D) \implies$
 $get-maximum-level\ (trail\ S)\ (remove1-mset\ L\ (mset-ccls\ D)) \equiv i \implies$
 $T \sim cons-trail\ (Propagated\ L\ (cls-of-ccls\ D))$
 $(reduce-trail-to\ M1$
 $(add-learned-cls\ (cls-of-ccls\ D)$
 $(update-backtrack-lvl\ i$
 $(update-conflicting\ None\ S)))) \implies$
P S T
shows $P\ S\ S'$
using $cdcl_W$
proof (*induct* $S\ S'$ *rule*: $cdcl_W$ -all-rules-induct)
case (*propagate* S')
then show ?case
by (*auto elim!*: *propagateE intro!*: *propagateH*)
next
case (*conflict* S')
then show ?case
by (*auto elim!*: *conflictE intro!*: *conflictH*)
next
case (*restart* S')
then show ?case

```

    by (auto elim!: restartE intro!: restartH)
next
case (decide T)
then show ?case
  by (auto elim!: decideE intro!: decideH)
next
case (backtrack S')
then show ?case by (auto elim!: backtrackE intro!: backtrackH
  simp del: state-simp simp add: state-eq-def)
next
case (forget S')
then show ?case by (auto elim!: forgetE intro!: forgetH)
next
case (skip S')
then show ?case by (auto elim!: skipE intro!: skipH)
next
case (resolve S')
then show ?case
  using hd-raw-trail[of S] by (cases trail S) (auto elim!: resolveE intro!: resolveH)
qed

```

lemma *cdcl_W-o-induct*[consumes 1, case-names decide skip resolve backtrack]:

fixes $S :: 'st$

assumes $cdcl_W$: $cdcl_W\text{-o } S \text{ } T$ **and**

$decideH$: $\bigwedge L \ T. \text{ conflicting } S = \text{None} \implies \text{undefined-lit } (\text{trail } S) \ L$
 $\implies \text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S)$
 $\implies T \sim \text{cons-trail } (\text{Marked } L \ (\text{backtrack-lvl } S + 1)) \ (\text{incr-lvl } S)$
 $\implies P \ S \ T$ **and**

$skipH$: $\bigwedge L \ C' \ M \ E \ T.$

$\text{trail } S = \text{Propagated } L \ C' \ \# \ M \implies$
 $\text{raw-conflicting } S = \text{Some } E \implies$
 $-L \notin \# \text{ mset-ccls } E \implies \text{mset-ccls } E \neq \{\#\} \implies$
 $T \sim \text{tl-trail } S \implies$
 $P \ S \ T$ **and**

$resolveH$: $\bigwedge L \ E \ M \ D \ T.$

$\text{trail } S = \text{Propagated } L \ (\text{mset-clss } E) \ \# \ M \implies$
 $L \in \# \text{ mset-clss } E \implies$
 $\text{hd-raw-trail } S = \text{Propagated } L \ E \implies$
 $\text{raw-conflicting } S = \text{Some } D \implies$
 $-L \in \# \text{ mset-ccls } D \implies$
 $\text{get-maximum-level } (\text{trail } S) \ (\text{mset-ccls } (\text{remove-clit } (-L) \ D)) = \text{backtrack-lvl } S \implies$
 $T \sim \text{update-conflicting}$
 $(\text{Some } (\text{union-ccls } (\text{remove-clit } (-L) \ D) \ (\text{ccls-of-clss } (\text{remove-lit } L \ E)))) \ (\text{tl-trail } S) \implies$
 $P \ S \ T$ **and**

$backtrackH$: $\bigwedge L \ D \ K \ i \ M1 \ M2 \ T.$

$\text{raw-conflicting } S = \text{Some } D \implies$
 $L \in \# \text{ mset-ccls } D \implies$
 $(\text{Marked } K \ (i+1) \ \# \ M1, \ M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S)) \implies$
 $\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \implies$
 $\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{mset-ccls } D) \implies$
 $\text{get-maximum-level } (\text{trail } S) \ (\text{remove1-mset } L \ (\text{mset-ccls } D)) \equiv i \implies$
 $T \sim \text{cons-trail } (\text{Propagated } L \ (\text{cls-of-ccls } D))$
 $(\text{reduce-trail-to } M1$
 $(\text{add-learned-clss } (\text{cls-of-ccls } D)$
 $(\text{update-backtrack-lvl } i$


```

      (update-conflicting None S))))  $\impl$ 
    P S T
shows P S T
using cdclW-o apply (induct T rule: cdclW-o.induct)
  using assms(2) apply (auto elim: decideE)[1]
apply (elim cdclW-bjE skipE resolveE backtrackE)
  apply (frule skipH; simp)
  using hd-raw-trail[of S] apply (cases trail S; auto elim!: resolveE intro!: resolveH)
apply (frule backtrackH; simp-all del: state-simp add: state-eq-def)
done

thm cdclW-o.induct
lemma cdclW-o-all-rules-induct[consumes 1, case-names decide backtrack skip resolve]:
  fixes S T :: 'st
  assumes
    cdclW-o S T and
     $\bigwedge T. \text{decide } S \ T \implies P \ S \ T$  and
     $\bigwedge T. \text{backtrack } S \ T \implies P \ S \ T$  and
     $\bigwedge T. \text{skip } S \ T \implies P \ S \ T$  and
     $\bigwedge T. \text{resolve } S \ T \implies P \ S \ T$ 
  shows P S T
  using assms by (induct T rule: cdclW-o.induct) (auto simp: cdclW-bj.simps)

lemma cdclW-o-rule-cases[consumes 1, case-names decide backtrack skip resolve]:
  fixes S T :: 'st
  assumes
    cdclW-o S T and
    decide S T  $\implies$  P and
    backtrack S T  $\implies$  P and
    skip S T  $\implies$  P and
    resolve S T  $\implies$  P
  shows P
  using assms by (auto simp: cdclW-o.simps cdclW-bj.simps)

```

19.3 Invariants

19.3.1 Properties of the trail

We here establish that: * the marks are exactly 1..k where k is the level * the consistency of the trail * the fact that there is no duplicate in the trail.

lemma backtrack-lit-skipped:

```

assumes
  L: get-level (trail S) L = backtrack-lvl S and
  M1: (Marked K (i + 1) # M1, M2)  $\in$  set (get-all-marked-decomposition (trail S)) and
  no-dup: no-dup (trail S) and
  bt-l: backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
  order: get-all-levels-of-marked (trail S)
    = rev [1.. $(1 + \text{length (get-all-levels-of-marked (trail S))})$ ]
shows atm-of L  $\notin$  atm-of ' lits-of-l M1
proof (rule ccontr)
  let ?M = trail S
  assume L-in-M1:  $\neg \text{atm-of } L \notin \text{atm-of ' lits-of-l } M1$ 
  obtain c where
    Mc: trail S = c @ M2 @ Marked K (i + 1) # M1
  using M1 by blast

```

have *atm-of* $L \notin \text{atm-of } \text{' lits-of-l } c$
using *L-in-M1 no-dup unfolding* Mc *lits-of-def* **by** *force*
have *g-M-eq-g-M1*: *get-level* $?M L = \text{get-level } M1 L$
using *L-in-M1 unfolding* Mc **by** *auto*
have *g*: *get-all-levels-of-marked* $M1 = \text{rev } [1..< \text{Suc } i]$
using *order unfolding* Mc **by** (*auto simp del: upt-simps simp: rev-swap[symmetric]*
dest: append-cons-eq-upt-length-i)
then have *Max* (*set* ($0 \# \text{get-all-levels-of-marked } (\text{rev } M1))) < \text{Suc } i$ **by** *auto*
then have *get-level* $M1 L < \text{Suc } i$
using *get-rev-level-less-max-get-all-levels-of-marked*[*of* $\text{rev } M1$ $0 L$] **by** *linarith*
moreover have $\text{Suc } i \leq \text{backtrack-lvl } S$ **using** *bt-l* **by** (*simp add: Mc g*)
ultimately show *False* **using** *L g-M-eq-g-M1* **by** *auto*
qed

lemma *cdcl_W-distinctinv-1*:

assumes
cdcl_W $S S'$ **and**
no-dup (*trail* S) **and**
backtrack-lvl $S = \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))$ **and**
get-all-levels-of-marked (*trail* S) = $\text{rev } [1..< 1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))]$
shows *no-dup* (*trail* S')
using *assms*
proof (*induct rule: cdcl_W-all-induct*)
case (*backtrack* $L D K i M1 M2 T$) **note** *decomp* = *this*(3) **and** $L = \text{this}(4)$ **and** $T = \text{this}(7)$ **and**
 $n-d = \text{this}(8)$
obtain c **where** Mc : *trail* $S = c @ M2 @ \text{Marked } K (i + 1) \# M1$
using *decomp* **by** *auto*
have *no-dup* ($M2 @ \text{Marked } K (i + 1) \# M1$)
using Mc $n-d$ **by** *fastforce*
moreover have *atm-of* $L \notin (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{' set } M1$
using *backtrack-lit-skipped*[*of* $S L K i M1 M2$] L *decomp backtrack.premis*
by (*fastforce simp: lits-of-def*)
moreover then have *undefined-lit* $M1 L$
by (*simp add: defined-lit-map*)
ultimately show *?case* **using** *decomp T n-d* **by** *simp*
qed (*auto simp: defined-lit-map*)

lemma *cdcl_W-consistent-inv-2*:

assumes
cdcl_W $S S'$ **and**
no-dup (*trail* S) **and**
backtrack-lvl $S = \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))$ **and**
get-all-levels-of-marked (*trail* S) = $\text{rev } [1..< 1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))]$
shows *consistent-interp* (*lits-of-l* (*trail* S'))
using *cdcl_W-distinctinv-1* [*OF* *assms*] *distinct-consistent-interp* **by** *fast*

lemma *cdcl_W-o-bt*:

assumes
cdcl_W-o $S S'$ **and**
backtrack-lvl $S = \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))$ **and**
get-all-levels-of-marked (*trail* S) =
 $\text{rev } ([1..< (1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S)))]$ **and**
 $n-d[\text{simp}]: \text{no-dup } (\text{trail } S)$
shows *backtrack-lvl* $S' = \text{length } (\text{get-all-levels-of-marked } (\text{trail } S'))$
using *assms*

proof (*induct rule: cdcl_W-o-induct*)
case (*backtrack L D K i M1 M2 T*) **note** *decomp = this(3)* **and** *T = this(7)* **and** *level = this(9)*
have [*simp*]: *trail (reduce-trail-to M1 S) = M1*
using *decomp* **by** *auto*
obtain *c* **where** *M: trail S = c @ M2 @ Marked K (i + 1) # M1* **using** *decomp* **by** *auto*
have *rev (get-all-levels-of-marked (trail S))*
 $= [1..<1 + (\text{length } (\text{get-all-levels-of-marked } (\text{trail } S)))]$
using *level* **by** (*auto simp: rev-swap[symmetric]*)
moreover **have** *atm-of L ∉ (λl. atm-of (lit-of l))* ‘*set M1*
using *backtrack-lit-skipped[of S L K i M1 M2]* *backtrack(4,8,9) decomp*
by (*fastforce simp add: lits-of-def*)
moreover **then** **have** *undefined-lit M1 L*
by (*simp add: defined-lit-map*)
moreover **then** **have** *no-dup (trail T)*
using *T decomp n-d* **by** (*auto simp: defined-lit-map M*)
ultimately **show** *?case*
using *T n-d unfolding M* **by** (*auto dest!: append-cons-eq-upt-length simp del: upt-simps*)
qed *auto*

lemma *cdcl_W-rf-bt*:
assumes
cdcl_W-rf S S' and
backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
get-all-levels-of-marked (trail S) = rev [1..<(1+length (get-all-levels-of-marked (trail S)))]
shows *backtrack-lvl S' = length (get-all-levels-of-marked (trail S'))*
using *assms* **by** (*induct rule: cdcl_W-rf.induct*) (*auto elim: restartE forgetE*)

lemma *cdcl_W-bt*:
assumes
cdcl_W S S' and
backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
get-all-levels-of-marked (trail S)
 $= \text{rev } ([1..<(1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S)))])$ **and**
no-dup (trail S)
shows *backtrack-lvl S' = length (get-all-levels-of-marked (trail S'))*
using *assms* **by** (*induct rule: cdcl_W.induct*) (*auto simp add: cdcl_W-o-bt cdcl_W-rf-bt*
elim: conflictE propagateE)

lemma *cdcl_W-bt-level'*:
assumes
cdcl_W S S' and
backtrack-lvl S = length (get-all-levels-of-marked (trail S)) and
get-all-levels-of-marked (trail S)
 $= \text{rev } ([1..<(1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S)))])$ **and**
n-d: no-dup (trail S)
shows *get-all-levels-of-marked (trail S')*
 $= \text{rev } [1..<1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S'))]$
using *assms*

proof (*induct rule: cdcl_W-all-induct*)
case (*decide L T*) **note** *undef = this(2)* **and** *T = this(4)*
let *?k = backtrack-lvl S*
let *?M = trail S*
let *?M' = Marked L (?k + 1) # trail S*
have *H: get-all-levels-of-marked ?M = rev [Suc 0..<1+length (get-all-levels-of-marked ?M)]*
using *decide.premis* **by** *simp*

```

have k: ?k = length (get-all-levels-of-marked ?M)
  using decide.premis by auto
have get-all-levels-of-marked ?M' = Suc ?k # get-all-levels-of-marked ?M by simp
then have get-all-levels-of-marked ?M' = Suc ?k #
  rev [Suc 0..<1+length (get-all-levels-of-marked ?M)]
  using H by auto
moreover have ... = rev [Suc 0..< Suc (1+length (get-all-levels-of-marked ?M))]
  unfolding k by simp
finally show ?case using T undef by (auto simp add: defined-lit-map)
next
case (backtrack L D K i M1 M2 T) note decomp = this(3) and confli = this(1) and T = this(7)
and
  all-marked = this(9) and bt-lvl = this(8)
have atm-of L ∉ atm-of ' lits-of-l M1
  using backtrack-lit-skipped[of S L K i M1 M2] backtrack(4,8-10) decomp
  by (fastforce simp add: lits-of-def)
moreover then have undefined-lit M1 L
  by (auto simp: defined-lit-map lits-of-def)
then have [simp]: trail T = Propagated L (mset-ccls D) # M1
  using T decomp n-d by auto
obtain c where M: trail S = c @ M2 @ Marked K (i + 1) # M1 using decomp by auto
have get-all-levels-of-marked (rev (trail S))
  = [Suc 0..<2+length (get-all-levels-of-marked c) + (length (get-all-levels-of-marked M2)
    + length (get-all-levels-of-marked M1))]
  using all-marked bt-lvl unfolding M by (auto simp: rev-swap[symmetric] simp del: upt-simps)
then show ?case
  using T by (auto simp: rev-swap M simp del: upt-simps dest!: append-cons-eq-upt(1))
qed auto

```

We write $1 + \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))$ instead of $\text{backtrack-lvl } S$ to avoid non termination of rewriting.

definition $\text{cdcl}_W\text{-}M\text{-level-inv} :: 'st \Rightarrow \text{bool}$ **where**
 $\text{cdcl}_W\text{-}M\text{-level-inv } S \longleftrightarrow$
 $\text{consistent-interp } (\text{lits-of-l } (\text{trail } S))$
 $\wedge \text{no-dup } (\text{trail } S)$
 $\wedge \text{backtrack-lvl } S = \text{length } (\text{get-all-levels-of-marked } (\text{trail } S))$
 $\wedge \text{get-all-levels-of-marked } (\text{trail } S)$
 $= \text{rev } [1..<1+\text{length } (\text{get-all-levels-of-marked } (\text{trail } S))]$

lemma $\text{cdcl}_W\text{-}M\text{-level-inv-decomp}$:
assumes $\text{cdcl}_W\text{-}M\text{-level-inv } S$
shows
 $\text{consistent-interp } (\text{lits-of-l } (\text{trail } S))$ **and**
 $\text{no-dup } (\text{trail } S)$
using *assms* **unfolding** $\text{cdcl}_W\text{-}M\text{-level-inv-def}$ **by** *fastforce+*

lemma $\text{cdcl}_W\text{-consistent-inv}$:
fixes $S S' :: 'st$
assumes
 $\text{cdcl}_W S S'$ **and**
 $\text{cdcl}_W\text{-}M\text{-level-inv } S$
shows $\text{cdcl}_W\text{-}M\text{-level-inv } S'$
using *assms* $\text{cdcl}_W\text{-consistent-inv-2}$ $\text{cdcl}_W\text{-distinctinv-1}$ $\text{cdcl}_W\text{-bt}$ $\text{cdcl}_W\text{-bt-level'}$
unfolding $\text{cdcl}_W\text{-}M\text{-level-inv-def}$ **by** *meson+*

```

lemma rtracnp-cdclW-consistent-inv:
  assumes
    cdclW** S S' and
    cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms by (induct rule: rtracnp-induct) (auto intro: cdclW-consistent-inv)

lemma tracnp-cdclW-consistent-inv:
  assumes
    cdclW++ S S' and
    cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms by (induct rule: tracnp-induct)
  (auto intro: cdclW-consistent-inv)

lemma cdclW-M-level-inv-S0-cdclW[simp]:
  cdclW-M-level-inv (init-state N)
  unfolding cdclW-M-level-inv-def by auto

lemma cdclW-M-level-inv-get-level-le-backtrack-lvl:
  assumes inv: cdclW-M-level-inv S
  shows get-level (trail S) L ≤ backtrack-lvl S
proof –
  have get-all-levels-of-marked (trail S) = rev [1..1 + backtrack-lvl S]
    using inv unfolding cdclW-M-level-inv-def by auto
  then show ?thesis
    using get-rev-level-less-max-get-all-levels-of-marked[of rev (trail S) 0 L]
    by (auto simp: Max-n-upt)
qed

lemma backtrack-ex-decomp:
  assumes
    M-l: cdclW-M-level-inv S and
    i-S: i < backtrack-lvl S
  shows  $\exists K\ M1\ M2. (\text{Marked } K\ (i + 1) \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ 
proof –
  let ?M = trail S
  have
    g: get-all-levels-of-marked (trail S) = rev [Suc 0..Suc (backtrack-lvl S)]
    using M-l unfolding cdclW-M-level-inv-def by simp-all
  then have  $i+1 \in \text{set } (\text{get-all-levels-of-marked } (\text{trail } S))$ 
    using i-S by auto

  then obtain c K c' where tr-S: trail S = c @ Marked K (i + 1) # c'
    using in-get-all-levels-of-marked-iff-decomp[of i+1 trail S] by auto

  obtain M1 M2 where  $(\text{Marked } K\ (i + 1) \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ 
    using Marked-cons-in-get-all-marked-decomposition-append-Marked-cons unfolding tr-S by fast
  then show ?thesis by blast
qed

```

19.3.2 Better-Suited Induction Principle

We generalise the induction principle defined previously: the induction case for *backtrack* now includes the assumption that *undefined-lit M1 L*. This helps the simplifier and thus the automa-

tion.

lemma *backtrack-induction-lev*[consumes 1, case-names *M-devel-inv backtrack*]:

assumes

bt: *backtrack S T* **and**

inv: *cdcl_W-M-level-inv S* **and**

backtrackH: $\bigwedge K i M1 M2 L D T.$

raw-conflicting S = *Some D* \implies

$L \in \# \text{ mset-clcs } D \implies$

$(\text{Marked } K (\text{Suc } i) \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S)) \implies$

get-level (*trail S*) *L* = *backtrack-lvl S* \implies

get-level (*trail S*) *L* = *get-maximum-level* (*trail S*) (*mset-clcs D*) \implies

get-maximum-level (*trail S*) (*remove1-mset L (mset-clcs D)*) $\equiv i \implies$

undefined-lit M1 L \implies

$T \sim \text{cons-trail } (\text{Propagated } L (\text{cls-of-clcs } D))$

$(\text{reduce-trail-to } M1$

$(\text{add-learned-clcs } (\text{cls-of-clcs } D)$

$(\text{update-backtrack-lvl } i$

$(\text{update-conflicting } \text{None } S)))) \implies$

P S T

shows *P S T*

proof –

obtain *K i M1 M2 L D* **where**

decomp: $(\text{Marked } K (\text{Suc } i) \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ **and**

L: *get-level* (*trail S*) *L* = *backtrack-lvl S* **and**

confl: *raw-conflicting S* = *Some D* **and**

LD: $L \in \# \text{ mset-clcs } D$ **and**

lev-L: *get-level* (*trail S*) *L* = *get-maximum-level* (*trail S*) (*mset-clcs D*) **and**

lev-D: *get-maximum-level* (*trail S*) (*remove1-mset L (mset-clcs D)*) $\equiv i$ **and**

T: $T \sim \text{cons-trail } (\text{Propagated } L (\text{cls-of-clcs } D))$

$(\text{reduce-trail-to } M1$

$(\text{add-learned-clcs } (\text{cls-of-clcs } D)$

$(\text{update-backtrack-lvl } i$

$(\text{update-conflicting } \text{None } S))))$

using *bt* **by** (*elim backtrackE*) *metis*

have *atm-of L* \notin *atm-of ' lits-of-l M1*

using *backtrack-lit-skipped*[*of S L K i M1 M2*] *L decomp bt confl lev-L lev-D inv*

unfolding *cdcl_W-M-level-inv-def* **by** *force*

then have *undefined-lit M1 L*

by (*auto simp: defined-lit-map lits-of-def*)

then show *?thesis*

using *backtrackH*[*OF confl LD decomp L lev-L lev-D - T*] **by** *simp*

qed

lemmas *backtrack-induction-lev2* = *backtrack-induction-lev*[consumes 2, case-names *backtrack*]

lemma *cdcl_W-all-induct-lev-full*:

fixes *S* :: 'st

assumes

cdcl_W: *cdcl_W S S'* **and**

inv[*simp*]: *cdcl_W-M-level-inv S* **and**

propagateH: $\bigwedge C L T. \text{conflicting } S = \text{None} \implies$

$C \notin \text{raw-clauses } S \implies$

$L \in \# \text{ mset-clcs } C \implies$

trail S $\models \text{as } C \text{Not } (\text{remove1-mset } L (\text{mset-clcs } C)) \implies$

$undefined\text{-}lit\ (trail\ S)\ L \implies$
 $T \sim cons\text{-}trail\ (Propagated\ L\ C)\ S \implies$
 $P\ S\ T\ \text{and}$
 $conflictH: \bigwedge D\ T. \ conflict\ S = None \implies$
 $D \in! raw\text{-}clauses\ S \implies$
 $trail\ S \models_{as}\ CNot\ (mset\text{-}cls\ D) \implies$
 $T \sim update\text{-}conflicting\ (Some\ (ccls\text{-}of\text{-}cls\ D))\ S \implies$
 $P\ S\ T\ \text{and}$
 $forgetH: \bigwedge C\ T. \ conflict\ S = None \implies$
 $C \in! raw\text{-}learned\text{-}clss\ S \implies$
 $\neg(trail\ S) \models_{asm}\ clauses\ S \implies$
 $mset\text{-}cls\ C \notin set\ (get\text{-}all\text{-}mark\text{-}of\text{-}propagated\ (trail\ S)) \implies$
 $mset\text{-}cls\ C \notin \# init\text{-}clss\ S \implies$
 $T \sim remove\text{-}cls\ C\ S \implies$
 $P\ S\ T\ \text{and}$
 $restartH: \bigwedge T. \neg trail\ S \models_{asm}\ clauses\ S \implies$
 $conflicting\ S = None \implies$
 $T \sim restart\text{-}state\ S \implies$
 $P\ S\ T\ \text{and}$
 $decideH: \bigwedge L\ T. \ conflict\ S = None \implies$
 $undefined\text{-}lit\ (trail\ S)\ L \implies$
 $atm\text{-}of\ L \in atm\text{-}of\text{-}mm\ (init\text{-}clss\ S) \implies$
 $T \sim cons\text{-}trail\ (Marked\ L\ (backtrack\text{-}lvl\ S + 1))\ (incr\text{-}lvl\ S) \implies$
 $P\ S\ T\ \text{and}$
 $skipH: \bigwedge L\ C'\ M\ E\ T.$
 $trail\ S = Propagated\ L\ C'\ \# M \implies$
 $raw\text{-}conflicting\ S = Some\ E \implies$
 $-L \notin \# mset\text{-}ccls\ E \implies mset\text{-}ccls\ E \neq \{\#\} \implies$
 $T \sim tl\text{-}trail\ S \implies$
 $P\ S\ T\ \text{and}$
 $resolveH: \bigwedge L\ E\ M\ D\ T.$
 $trail\ S = Propagated\ L\ (mset\text{-}cls\ E)\ \# M \implies$
 $L \in \# mset\text{-}cls\ E \implies$
 $hd\text{-}raw\text{-}trail\ S = Propagated\ L\ E \implies$
 $raw\text{-}conflicting\ S = Some\ D \implies$
 $-L \in \# mset\text{-}ccls\ D \implies$
 $get\text{-}maximum\text{-}level\ (trail\ S)\ (mset\text{-}ccls\ (remove\text{-}clit\ (-L)\ D)) = backtrack\text{-}lvl\ S \implies$
 $T \sim update\text{-}conflicting$
 $(Some\ (union\text{-}ccls\ (remove\text{-}clit\ (-L)\ D)\ (ccls\text{-}of\text{-}cls\ (remove\text{-}lit\ L\ E))))\ (tl\text{-}trail\ S) \implies$
 $P\ S\ T\ \text{and}$
 $backtrackH: \bigwedge K\ i\ M1\ M2\ L\ D\ T.$
 $raw\text{-}conflicting\ S = Some\ D \implies$
 $L \in \# mset\text{-}ccls\ D \implies$
 $(Marked\ K\ (Suc\ i)\ \# M1, M2) \in set\ (get\text{-}all\text{-}marked\text{-}decomposition\ (trail\ S)) \implies$
 $get\text{-}level\ (trail\ S)\ L = backtrack\text{-}lvl\ S \implies$
 $get\text{-}level\ (trail\ S)\ L = get\text{-}maximum\text{-}level\ (trail\ S)\ (mset\text{-}ccls\ D) \implies$
 $get\text{-}maximum\text{-}level\ (trail\ S)\ (remove1\text{-}mset\ L\ (mset\text{-}ccls\ D)) \equiv i \implies$
 $undefined\text{-}lit\ M1\ L \implies$
 $T \sim cons\text{-}trail\ (Propagated\ L\ (cls\text{-}of\text{-}ccls\ D))$
 $(reduce\text{-}trail\text{-}to\ M1$
 $(add\text{-}learned\text{-}cls\ (cls\text{-}of\text{-}ccls\ D)$
 $(update\text{-}backtrack\text{-}lvl\ i$
 $(update\text{-}conflicting\ None\ S)))) \implies$
 $P\ S\ T$
shows $P\ S\ S'$

```

using cdclW
proof (induct S' rule: cdclW-all-rules-induct)
  case (propagate S')
  then show ?case
    by (auto elim!: propagateE intro!: propagateH)
next
  case (conflict S')
  then show ?case
    by (auto elim!: conflictE intro!: conflictH)
next
  case (restart S')
  then show ?case
    by (auto elim!: restartE intro!: restartH)
next
  case (decide T)
  then show ?case
    by (auto elim!: decideE intro!: decideH)
next
  case (backtrack S')
  then show ?case
    apply (induction rule: backtrack-induction-lev)
    apply (rule inv)
    by (rule backtrackH;
        fastforce simp del: state-simp simp add: state-eq-def dest!: HOL.meta-eq-to-obj-eq)
next
  case (forget S')
  then show ?case by (auto elim!: forgetE intro!: forgetH)
next
  case (skip S')
  then show ?case by (auto elim!: skipE intro!: skipH)
next
  case (resolve S')
  then show ?case
    using hd-raw-trail[of S] by (cases trail S) (auto elim!: resolveE intro!: resolveH)
qed

lemmas cdclW-all-induct-lev2 = cdclW-all-induct-lev-full[consumes 2, case-names propagate conflict
forget restart decide skip resolve backtrack]

lemmas cdclW-all-induct-lev = cdclW-all-induct-lev-full[consumes 1, case-names lev-inv propagate
conflict forget restart decide skip resolve backtrack]

thm cdclW-o-induct
lemma cdclW-o-induct-lev[consumes 1, case-names M-lev decide skip resolve backtrack]:
  fixes S :: 'st
  assumes
    cdclW: cdclW-o S T and
    inv[simp]: cdclW-M-level-inv S and
    decideH:  $\bigwedge L$  T. conflicting S = None  $\implies$ 
      undefined-lit (trail S) L  $\implies$ 
      atm-of L  $\in$  atms-of-mm (init-clss S)  $\implies$ 
      T  $\sim$  cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S)  $\implies$ 
      P S T and
    skipH:  $\bigwedge L$  C' M E T.
      trail S = Propagated L C' # M  $\implies$ 

```



```

raw-conflicting S = Some E  $\implies$ 
-L  $\notin$  # mset-ccls E  $\implies$  mset-ccls E  $\neq$  {#}  $\implies$ 
T  $\sim$  tl-trail S  $\implies$ 
P S T and
resolveH:  $\bigwedge$  L E M D T.
trail S = Propagated L (mset-ccls E) # M  $\implies$ 
L  $\in$  # mset-ccls E  $\implies$ 
hd-raw-trail S = Propagated L E  $\implies$ 
raw-conflicting S = Some D  $\implies$ 
-L  $\in$  # mset-ccls D  $\implies$ 
get-maximum-level (trail S) (mset-ccls (remove-clit (-L) D)) = backtrack-lvl S  $\implies$ 
T  $\sim$  update-conflicting
(Some (union-ccls (remove-clit (-L) D) (ccls-of-ccls (remove-lit L E)))) (tl-trail S)  $\implies$ 
P S T and
backtrackH:  $\bigwedge$  K i M1 M2 L D T.
raw-conflicting S = Some D  $\implies$ 
L  $\in$  # mset-ccls D  $\implies$ 
(Marked K (Suc i) # M1, M2)  $\in$  set (get-all-marked-decomposition (trail S))  $\implies$ 
get-level (trail S) L = backtrack-lvl S  $\implies$ 
get-level (trail S) L = get-maximum-level (trail S) (mset-ccls D)  $\implies$ 
get-maximum-level (trail S) (remove1-mset L (mset-ccls D))  $\equiv$  i  $\implies$ 
undefined-lit M1 L  $\implies$ 
T  $\sim$  cons-trail (Propagated L (cls-of-ccls D))
(reduce-trail-to M1
(add-learned-cls (cls-of-ccls D)
(update-backtrack-lvl i
(update-conflicting None S))))  $\implies$ 
P S T
shows P S T
using cdclW
proof (induct S T rule: cdclW-o-all-rules-induct)
case (decide T)
then show ?case
by (auto elim!: decideE intro!: decideH)
next
case (backtrack S')
then show ?case
apply (induction rule: backtrack-induction-lev)
apply (rule inv)
by (rule backtrackH;
fastforce simp del: state-simp simp add: state-eq-def dest!: HOL.meta-eq-to-obj-eq)
next
case (skip S')
then show ?case by (auto elim!: skipE intro!: skipH)
next
case (resolve S')
then show ?case
using hd-raw-trail[of S] by (cases trail S) (auto elim!: resolveE intro!: resolveH)
qed

lemmas cdclW-o-induct-lev2 = cdclW-o-induct-lev[consumes 2, case-names decide skip resolve backtrack]

```

19.3.3 Compatibility with $op \sim$

lemma propagate-state-eq-compatible:

```

assumes
  propa: propagate  $S$   $T$  and
  SS':  $S \sim S'$  and
  TT':  $T \sim T'$ 
shows propagate  $S'$   $T'$ 
proof –
  obtain  $C$   $L$  where
    conf: conflicting  $S = \text{None}$  and
    C:  $C \notin \text{raw-clauses } S$  and
    L:  $L \in \# \text{mset-cls } C$  and
    tr: trail  $S \models_{\text{as}} \text{CNot } (\text{remove1-mset } L (\text{mset-cls } C))$  and
    undef: undefined-lit (trail  $S$ )  $L$  and
    T:  $T \sim \text{cons-trail } (\text{Propagated } L \ C) \ S$ 
  using propa by (elim propagateE) auto

  obtain  $C'$  where
    CC': mset-cls  $C' = \text{mset-cls } C$  and
    C':  $C' \notin \text{raw-clauses } S'$ 
  using SS'  $C$ 
  in-mset-clss-exists-preimage[of mset-cls  $C$  raw-learned-clss  $S$ ]
  in-mset-clss-exists-preimage[of mset-cls  $C$  raw-init-clss  $S'$ ]
  apply –
  apply (frule in-clss-mset-clss)
  by (auto simp: state-eq-def raw-clauses-def simp del: state-simp dest: in-clss-mset-clss)

  show ?thesis
  apply (rule propagate-rule[of -  $C'$ ])
  using state-eq-sym[of  $S$   $S'$ ] SS' conf  $C'$  CC'  $L$  tr undef TT'  $T$ 
  by (auto simp: state-eq-def simp del: state-simp)
qed

lemma conflict-state-eq-compatible:
assumes
  confl: conflict  $S$   $T$  and
  TT':  $T \sim T'$  and
  SS':  $S \sim S'$ 
shows conflict  $S'$   $T'$ 
proof –
  obtain  $D$  where
    conf: conflicting  $S = \text{None}$  and
    D:  $D \notin \text{raw-clauses } S$  and
    tr: trail  $S \models_{\text{as}} \text{CNot } (\text{mset-cls } D)$  and
    T:  $T \sim \text{update-conflicting } (\text{Some } (\text{ccls-of-cls } D)) \ S$ 
  using confl by (elim conflictE) auto

  obtain  $D'$  where
    DD': mset-cls  $D' = \text{mset-cls } D$  and
    D':  $D' \notin \text{raw-clauses } S'$ 
  using  $D$  SS' in-mset-clss-exists-preimage by fastforce

  show ?thesis
  apply (rule conflict-rule[of -  $D'$ ])
  using state-eq-sym[of  $S$   $S'$ ] SS' conf  $D'$  DD' tr TT'  $T$ 
  by (auto simp: state-eq-def simp del: state-simp)
qed

```

lemma *backtrack-levE*[consumes 2]:
backtrack S S' \implies cdcl_W-M-level-inv S \implies
($\bigwedge K i M1 M2 L D$.
raw-conflicting S = Some D \implies
L $\in \#$ mset-ccls D \implies
(Marked K (Suc i) $\#$ M1, M2) \in set (get-all-marked-decomposition (trail S)) \implies
get-level (trail S) L = backtrack-lvl S \implies
get-level (trail S) L = get-maximum-level (trail S) (mset-ccls D) \implies
get-maximum-level (trail S) (remove1-mset L (mset-ccls D)) \equiv i \implies
undefined-lit M1 L \implies
S' \sim cons-trail (Propagated L (cls-of-ccls D))
(reduce-trail-to M1
(add-learned-cls (cls-of-ccls D)
(update-backtrack-lvl i
(update-conflicting None S)))) \implies P) \implies
P
using *assms* **by** (*induction rule: backtrack-induction-lev2*) *metis*
thm *allI*

lemma *backtrack-state-eq-compatible*:
assumes
bt: backtrack S T and
SS': S \sim S' and
TT': T \sim T' and
inv: cdcl_W-M-level-inv S
shows *backtrack S' T'*
proof –
obtain *D L K i M1 M2 where*
conf: raw-conflicting S = Some D and
L: L $\in \#$ mset-ccls D and
decomp: (Marked K (Suc i) $\#$ M1, M2) \in set (get-all-marked-decomposition (trail S)) and
lev: get-level (trail S) L = backtrack-lvl S and
max: get-level (trail S) L = get-maximum-level (trail S) (mset-ccls D) and
max-D: get-maximum-level (trail S) (remove1-mset L (mset-ccls D)) \equiv i and
undef: undefined-lit M1 L and
T: T \sim cons-trail (Propagated L (cls-of-ccls D))
(reduce-trail-to M1
(add-learned-cls (cls-of-ccls D)
(update-backtrack-lvl i
(update-conflicting None S))))
using *bt inv* **by** (*elim backtrack-levE*) *metis*
obtain *D' where*
D': raw-conflicting S' = Some D'
using *SS' conf* **by** (*cases raw-conflicting S'*) *auto*
have [*simp*]: *mset-ccls D = mset-ccls D'*
using *SS' D' conf* **by** (*auto simp: state-eq-def simp del: state-simp*)[]
have *T': T' \sim cons-trail (Propagated L (cls-of-ccls D'))*
(reduce-trail-to M1 (add-learned-cls (cls-of-ccls D')
(update-backtrack-lvl i (update-conflicting None S'))))
using *TT' unfolding state-eq-def*
using *decomp undef inv SS' T* **by** (*auto simp add: cdcl_W-M-level-inv-def*)
show *?thesis*

```

apply (rule backtrack-rule[of - D'])
  apply (rule D')
  using state-eq-sym[of S S'] TT' SS' D' conf L decomp lev max max-D undef T
  apply (auto simp: state-eq-def simp del: state-simp)[]
  using decomp SS' lev SS' max-D max T' by (auto simp: state-eq-def simp del: state-simp)
qed

```

lemma *decide-state-eq-compatible:*

```

assumes
  decide S T and
  S ~ S' and
  T ~ T'
shows decide S' T'
using assms apply (elim decideE)
by (rule decide-rule) (auto simp: state-eq-def raw-clauses-def simp del: state-simp)

```

lemma *skip-state-eq-compatible:*

```

assumes
  skip: skip S T and
  SS': S ~ S' and
  TT': T ~ T'
shows skip S' T'
proof -
  obtain L C' M E where
    tr: trail S = Propagated L C' # M and
    raw: raw-conflicting S = Some E and
    L: -L ∉ # mset-ccls E and
    E: mset-ccls E ≠ {#} and
    T: T ~ tl-trail S
  using skip by (elim skipE) simp
  obtain E' where E': raw-conflicting S' = Some E'
  using SS' raw by (cases raw-conflicting S') (auto simp: state-eq-def simp del: state-simp)
  show ?thesis
  apply (rule skip-rule)
  using tr raw L E T SS' apply (auto simp: simp del: )[]
  using E' apply simp
  using E' SS' L raw E apply (auto simp: state-eq-def simp del: state-simp)[2]
  using T TT' SS' by (auto simp: state-eq-def simp del: state-simp)
qed

```

lemma *resolve-state-eq-compatible:*

```

assumes
  res: resolve S T and
  TT': T ~ T' and
  SS': S ~ S'
shows resolve S' T'
proof -
  obtain E D L where
    tr: trail S ≠ [] and
    hd: hd-raw-trail S = Propagated L E and
    L: L ∈ # mset-ccls E and
    raw: raw-conflicting S = Some D and
    LD: -L ∈ # mset-ccls D and
    i: get-maximum-level (trail S) (mset-ccls (remove-clit (-L) D)) = backtrack-lvl S and

```

```

  T: T ~ update-conflicting (Some (union-ccls (remove-clit (-L) D)
    (ccls-of-cls (remove-lit L E)))) (tl-trail S)
using assms by (elim resolveE) simp

obtain E' where
  E': hd-raw-trail S' = Propagated L E'
  using SS' hd by (metis (trail S ≠ []) hd-raw-trail is-proped-def marked-lit.disc(3)
    marked-lit.inject(2) mset-of-mlit.elims state-eq-trail)
have [simp]: mset-cls E = mset-cls E'
  using hd-raw-trail[of S] tr hd-raw-trail[of S'] tr SS' hd E'
  by (metis marked-lit.inject(2) mset-of-mlit.simps(1) state-eq-trail)
obtain D' where
  D': raw-conflicting S' = Some D'
  using SS' raw by fastforce
have [simp]: mset-ccls D = mset-ccls D'
  using D' SS' raw state-simp(5) by fastforce
have T'T: T' ~ T
  using TT' state-eq-sym by auto
show ?thesis
  apply (rule resolve-rule)
    using tr SS' apply simp
    using E' apply simp
    using L apply simp
    using D' apply simp
    using D' SS' raw LD apply (auto simp add: state-eq-def simp del: state-simp)[]
    using D' SS' raw LD apply (auto simp add: state-eq-def simp del: state-simp)[]
    using raw SS' i apply (auto simp add: state-eq-def simp del: state-simp)[]
    using T T'T SS' by (auto simp: state-eq-def simp del: state-simp )
qed

lemma forget-state-eq-compatible:
  assumes
    forget: forget S T and
    SS': S ~ S' and
    TT': T ~ T'
  shows forget S' T'
proof -
  obtain C where
    conf: conflicting S = None and
    C !∈! raw-learned-clss S and
    tr: ¬(trail S) ⊨asm clauses S and
    C1: mset-cls C ∉ set (get-all-mark-of-propagated (trail S)) and
    C2: mset-cls C ∉# init-clss S and
    T: T ~ remove-cls C S
  using forget by (elim forgetE) simp

  obtain C' where
    C': C' !∈! raw-learned-clss S' and
    [simp]: mset-cls C' = mset-cls C
  using (C !∈! raw-learned-clss S) SS' in-mset-clss-exists-preimage by fastforce
show ?thesis
  apply (rule forget-rule)
    using SS' conf apply simp
    using C' apply simp
    using SS' tr apply simp

```

```

    using SS' C1 apply simp
    using SS' C2 apply simp
    using T TT' SS' by (auto simp: state-eq-def simp del: state-simp)
qed

```

lemma *cdcl_W-state-eq-compatible*:

```

assumes
  cdclW S T and ¬restart S T and
  S ~ S'
  T ~ T' and
  cdclW-M-level-inv S
shows cdclW S' T'
using assms by (meson backtrack backtrack-state-eq-compatible bj cdclW.simps cdclW-o-rule-cases
  cdclW-rf.cases conflict-state-eq-compatible decide decide-state-eq-compatible forget
  forget-state-eq-compatible propagate-state-eq-compatible resolve resolve-state-eq-compatible
  skip skip-state-eq-compatible state-eq-ref)

```

lemma *cdcl_W-bj-state-eq-compatible*:

```

assumes
  cdclW-bj S T and cdclW-M-level-inv S
  T ~ T'
shows cdclW-bj S T'
using assms by (meson backtrack backtrack-state-eq-compatible cdclW-bjE resolve
  resolve-state-eq-compatible skip skip-state-eq-compatible state-eq-ref)

```

lemma *trancpl-cdcl_W-bj-state-eq-compatible*:

```

assumes
  cdclW-bj++ S T and inv: cdclW-M-level-inv S and
  S ~ S' and
  T ~ T'
shows cdclW-bj++ S' T'
using assms
proof (induction arbitrary: S' T')
  case base
  then show ?case
    unfolding trancpl-unfold-end by (meson backtrack-state-eq-compatible cdclW-bj.simps
      resolve-state-eq-compatible rtrancpl-unfold skip-state-eq-compatible)
next
  case (step T U) note IH = this(3)[OF this(4-5)]
  have cdclW++ S T
    using trancpl-mono[of cdclW-bj cdclW] step.hyps(1) cdclW.other cdclW-o.bj by blast
  then have cdclW-M-level-inv T
    using inv trancpl-cdclW-consistent-inv by blast
  then have cdclW-bj++ T T'
    using ⟨U ~ T'⟩ cdclW-bj-state-eq-compatible[of T U] ⟨cdclW-bj T U⟩ by auto
  then show ?case
    using IH[of T] by auto
qed

```

19.3.4 Conservation of some Properties

lemma *cdcl_W-o-no-more-init-clss*:

```

assumes
  cdclW-o S S' and
  inv: cdclW-M-level-inv S
shows init-clss S = init-clss S'

```

using *assms* **by** (*induct rule*: *cdcl_W-o-induct-lev2*) (*auto simp*: *inv cdcl_W-M-level-inv-decomp*)

lemma *trancpl-cdcl_W-o-no-more-init-clss*:

assumes

cdcl_W-o⁺⁺ S S' **and**

inv: *cdcl_W-M-level-inv S*

shows *init-clss S = init-clss S'*

using *assms* **apply** (*induct rule*: *trancpl.induct*)

by (*auto dest*: *cdcl_W-o-no-more-init-clss*

dest!: *trancpl-cdcl_W-consistent-inv dest*: *trancpl-mono-explicit*[*of cdcl_W-o - - cdcl_W*]

simp: *other*)

lemma *rtrancpl-cdcl_W-o-no-more-init-clss*:

assumes

*cdcl_W-o^{**} S S'* **and**

inv: *cdcl_W-M-level-inv S*

shows *init-clss S = init-clss S'*

using *assms* **unfolding** *rtrancpl-unfold* **by** (*auto intro*: *trancpl-cdcl_W-o-no-more-init-clss*)

lemma *cdcl_W-init-clss*:

assumes

cdcl_W S T **and**

inv: *cdcl_W-M-level-inv S*

shows *init-clss S = init-clss T*

using *assms* **by** (*induct rule*: *cdcl_W-all-induct-lev2*)

(*auto simp*: *inv cdcl_W-M-level-inv-decomp not-in-iff*)

lemma *rtrancpl-cdcl_W-init-clss*:

*cdcl_W^{**} S T \implies cdcl_W-M-level-inv S \implies init-clss S = init-clss T*

by (*induct rule*: *rtrancpl-induct*) (*auto dest*: *cdcl_W-init-clss rtrancpl-cdcl_W-consistent-inv*)

lemma *trancpl-cdcl_W-init-clss*:

cdcl_W⁺⁺ S T \implies cdcl_W-M-level-inv S \implies init-clss S = init-clss T

using *rtrancpl-cdcl_W-init-clss*[*of S T*] **unfolding** *rtrancpl-unfold* **by** *auto*

19.3.5 Learned Clause

This invariant shows that:

- the learned clauses are entailed by the initial set of clauses.
- the conflicting clause is entailed by the initial set of clauses.
- the marks are entailed by the clauses. A more precise version would be to show that either these marked are learned or are in the set of clauses

definition *cdcl_W-learned-clause* (*S*:: '*st*') \longleftrightarrow

(*init-clss S \models_{psm} learned-clss S*

$\wedge (\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{init-clss } S \models_{pm} T)$

$\wedge \text{set } (\text{get-all-mark-of-propagated } (\text{trail } S)) \subseteq \text{set-mset } (\text{clauses } S))$

lemma *cdcl_W-learned-clause-S0-cdcl_W*[*simp*]:

cdcl_W-learned-clause (*init-state N*)

unfolding *cdcl_W-learned-clause-def* **by** *auto*

```

lemma cdclW-learned-clss:
  assumes
    cdclW S S' and
    learned: cdclW-learned-clause S and
    lev-inv: cdclW-M-level-inv S
  shows cdclW-learned-clause S'
  using assms(1) lev-inv learned
proof (induct rule: cdclW-all-induct-lev2)
  case (backtrack K i M1 M2 L D T) note decomp = this(3) and confl = this(1) and undef = this(7)
  and T = this(8)
  show ?case
    using decomp confl learned undef T unfolding cdclW-learned-clause-def
    by (auto dest!: get-all-marked-decomposition-exists-prepend
      simp: raw-clauses-def lev-inv cdclW-M-level-inv-decomp dest: true-clss-clss-left-right)
  next
  case (resolve L C M D) note trail = this(1) and CL = this(2) and confl = this(4) and DL = this(5)
  and lvl = this(6) and T = this(7)
  moreover
    have init-clss S  $\models_{psm}$  learned-clss S
    using learned trail unfolding cdclW-learned-clause-def raw-clauses-def by auto
    then have init-clss S  $\models_{pm}$  mset-cls C + {#L#}
    using trail learned unfolding cdclW-learned-clause-def raw-clauses-def
    by (auto dest: true-clss-clss-in-imp-true-clss-clss)
    moreover have remove1-mset (- L) (mset-ccls D) + {#- L#} = mset-ccls D
    using DL by (auto simp: multiset-eq-iff)
    moreover have remove1-mset L (mset-cls C) + {#L#} = mset-cls C
    using CL by (auto simp: multiset-eq-iff)
    ultimately show ?case
    using learned T
    by (auto dest: mk-disjoint-insert
      simp add: cdclW-learned-clause-def raw-clauses-def
      intro!: true-clss-clss-union-mset-true-clss-clss-or-not-true-clss-clss-or[of - - L])
  next
  case (restart T)
  then show ?case
    using learned learned-clss-restart-state[of T]
    by (auto
      simp: raw-clauses-def state-eq-def cdclW-learned-clause-def
      simp del: state-simp
      dest: true-clss-clssm-subsetE)
  next
  case propagate
  then show ?case using learned by (auto simp: cdclW-learned-clause-def)
  next
  case conflict
  then show ?case using learned
    by (fastforce simp: cdclW-learned-clause-def raw-clauses-def
      true-clss-clss-in-imp-true-clss-clss)
  next
  case (forget U)
  then show ?case using learned
    by (auto simp: cdclW-learned-clause-def raw-clauses-def split: if-split-asm)
qed (auto simp: cdclW-learned-clause-def raw-clauses-def)

```


lemma *rtrancp-cdcl_W-learned-clss*:
assumes
 $cdcl_W^{**} S S'$ **and**
 $cdcl_W\text{-}M\text{-level-inv } S$
 $cdcl_W\text{-}learned\text{-}clause S$
shows $cdcl_W\text{-}learned\text{-}clause S'$
using *assms* **by induction** (*auto dest: cdcl_W-learned-clss intro: rtrancp-cdcl_W-consistent-inv*)

19.3.6 No alien atom in the state

This invariant means that all the literals are in the set of clauses.

definition *no-strange-atm* $S' \longleftrightarrow$ (
 $(\forall T. \text{conflicting } S' = \text{Some } T \longrightarrow \text{atms-of } T \subseteq \text{atms-of-mm } (\text{init-clss } S'))$
 $\wedge (\forall L \text{ mark. } \text{Propagated } L \text{ mark} \in \text{set } (\text{trail } S') \longrightarrow \text{atms-of } (\text{mark}) \subseteq \text{atms-of-mm } (\text{init-clss } S'))$
 $\wedge \text{atms-of-mm } (\text{learned-clss } S') \subseteq \text{atms-of-mm } (\text{init-clss } S')$
 $\wedge \text{atm-of } ' (\text{lits-of-l } (\text{trail } S')) \subseteq \text{atms-of-mm } (\text{init-clss } S')$)

lemma *no-strange-atm-decomp*:
assumes *no-strange-atm* S
shows $\text{conflicting } S = \text{Some } T \implies \text{atms-of } T \subseteq \text{atms-of-mm } (\text{init-clss } S)$
and $(\forall L \text{ mark. } \text{Propagated } L \text{ mark} \in \text{set } (\text{trail } S) \longrightarrow \text{atms-of } (\text{mark}) \subseteq \text{atms-of-mm } (\text{init-clss } S))$
and $\text{atms-of-mm } (\text{learned-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S)$
and $\text{atm-of } ' (\text{lits-of-l } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S)$
using *assms* **unfolding** *no-strange-atm-def* **by** *blast+*

lemma *no-strange-atm-S0* [*simp*]: *no-strange-atm* (*init-state* N)
unfolding *no-strange-atm-def* **by** *auto*

lemma *in-atms-of-implies-atm-of-on-atms-of-ms*:
 $C + \{\#L\# \} \in \# A \implies x \in \text{atms-of } C \implies x \in \text{atms-of-mm } A$
using *multi-member-split* **by** *fastforce*

lemma *propagate-no-strange-atm-inv*:
assumes
 $\text{propagate } S T$ **and**
 $\text{alien: no-strange-atm } S$
shows *no-strange-atm* T
using *assms*(1)
proof (*induction*)
case (*propagate-rule* $C L T$) **note** $\text{confl} = \text{this}(1)$ **and** $C = \text{this}(2)$ **and** $C\text{-}L = \text{this}(3)$ **and**
 $\text{tr} = \text{this}(4)$ **and** $\text{undef} = \text{this}(5)$ **and** $T = \text{this}(6)$
have *atm-CL*: $\text{atms-of } (\text{mset-clss } C) \subseteq \text{atms-of-mm } (\text{init-clss } S)$
using C *alien* **unfolding** *no-strange-atm-def*
by (*auto simp: raw-clauses-def atms-of-ms-def dest!: in-clss-mset-clss*)
show ?*case*
unfolding *no-strange-atm-def*
proof (*intro conjI allI impI, goal-cases*)
case 1
then show ?*case*
using $\text{confl } T \text{ undef}$ **by** *auto*
next
case (2 $L' \text{ mark}'$)
then show ?*case*

```

using C-L T alien undef atm-CL

unfolding no-strange-atm-def raw-clauses-def apply auto by blast
next
case (3)
show ?case using T alien undef unfolding no-strange-atm-def by auto
next
case (4)
show ?case
using T alien undef C-L atm-CL unfolding no-strange-atm-def by (auto simp: atms-of-def)
qed
qed

lemma in-atms-of-remove1-mset-in-atms-of:
   $x \in \text{atms-of } (\text{remove1-mset } L \ C) \implies x \in \text{atms-of } C$ 
using in-diffD unfolding atms-of-def by fastforce

lemma cdclW-no-strange-atm-explicit:
assumes
  cdclW S S' and
  lev: cdclW-M-level-inv S and
  conf:  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{atms-of } T \subseteq \text{atms-of-mm } (\text{init-clss } S)$  and
  marked:  $\forall L \text{ mark. } \text{Propagated } L \text{ mark} \in \text{set } (\text{trail } S)$ 
     $\longrightarrow \text{atms-of mark} \subseteq \text{atms-of-mm } (\text{init-clss } S)$  and
  learned:  $\text{atms-of-mm } (\text{learned-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S)$  and
  trail:  $\text{atm-of } '(\text{lits-of-l } (\text{trail } S)) \subseteq \text{atms-of-mm } (\text{init-clss } S)$ 
shows
  ( $\forall T. \text{conflicting } S' = \text{Some } T \longrightarrow \text{atms-of } T \subseteq \text{atms-of-mm } (\text{init-clss } S')$ )  $\wedge$ 
  ( $\forall L \text{ mark. } \text{Propagated } L \text{ mark} \in \text{set } (\text{trail } S')$ 
     $\longrightarrow \text{atms-of } (\text{mark}) \subseteq \text{atms-of-mm } (\text{init-clss } S')$ )  $\wedge$ 
   $\text{atms-of-mm } (\text{learned-clss } S') \subseteq \text{atms-of-mm } (\text{init-clss } S') \wedge$ 
   $\text{atm-of } '(\text{lits-of-l } (\text{trail } S')) \subseteq \text{atms-of-mm } (\text{init-clss } S')$ 
  (is ?C S'  $\wedge$  ?M S'  $\wedge$  ?U S'  $\wedge$  ?V S')
using assms(1,2)
proof (induct rule: cdclW-all-induct-lev2)
  case (propagate C L T) note confl = this(1) and C-L = this(2) and tr = this(3) and undef =
  this(4)
  and T = this(5)
  show ?case
    using propagate-rule[OF propagate.hyps(1-3) - propagate.hyps(5,6), simplified]
    propagate.hyps(4) propagate-no-strange-atm-inv[of S T]
    conf marked learned trail unfolding no-strange-atm-def by presburger
next
case (decide L)
then show ?case using learned marked conf trail unfolding raw-clauses-def by auto
next
case (skip L C M D)
then show ?case using learned marked conf trail by auto
next
case (conflict D T) note D-S = this(2) and T = this(4)
have D:  $\text{atm-of } ' \text{set-mset } (\text{mset-clss } D) \subseteq \bigcup (\text{atms-of } '(\text{set-mset } (\text{clauses } S)))$ 
  using D-S by (auto simp add: atms-of-def atms-of-ms-def)
moreover {
  fix xa :: 'v literal
  assume a1:  $\text{atm-of } ' \text{set-mset } (\text{mset-clss } D) \subseteq (\bigcup x \in \text{set-mset } (\text{init-clss } S). \text{atms-of } x)$ 

```

```

     $\cup (\bigcup_{x \in \text{set-mset } (\text{learned-clss } S)}. \text{atms-of } x)$ 
assume  $a2$ :
     $(\bigcup_{x \in \text{set-mset } (\text{learned-clss } S)}. \text{atms-of } x) \subseteq (\bigcup_{x \in \text{set-mset } (\text{init-clss } S)}. \text{atms-of } x)$ 
assume  $xa \in \# \text{ mset-clss } D$ 
then have  $\text{atm-of } xa \in \text{UNION } (\text{set-mset } (\text{init-clss } S)) \text{ atms-of}$ 
    using  $a2 \ a1$  by  $(\text{metis } (\text{no-types}) \text{ Un-iff atm-of-lit-in-atms-of atms-of-def subset-Un-eq})$ 
then have  $\exists m \in \text{set-mset } (\text{init-clss } S). \text{atm-of } xa \in \text{atms-of } m$ 
    by  $\text{blast}$ 
} note  $H = \text{this}$ 
ultimately show  $?case$  using  $\text{conflict.premis } T \text{ learned marked conf trail}$ 
    unfolding  $\text{atms-of-def atms-of-ms-def raw-clauses-def}$ 
    by  $(\text{auto simp add: } H)$ 
next
case  $(\text{restart } T)$ 
then show  $?case$  using  $\text{learned marked conf trail by auto}$ 
next
case  $(\text{forget } C \ T)$  note  $\text{confl} = \text{this}(1)$  and  $C = \text{this}(4)$  and  $C\text{-le} = \text{this}(5)$  and
     $T = \text{this}(6)$ 
have  $H: \bigwedge L \text{ mark. Propagated } L \text{ mark} \in \text{set } (\text{trail } S) \implies \text{atms-of mark} \subseteq \text{atms-of-mm } (\text{init-clss } S)$ 
    using  $\text{marked by simp}$ 
show  $?case$  unfolding  $\text{raw-clauses-def apply } (\text{intro conjI})$ 
    using  $\text{conf confl } T \text{ trail } C$  unfolding  $\text{raw-clauses-def apply } (\text{auto dest!: } H)[]$ 
    using  $T \text{ trail } C \text{ C-le}$  apply  $(\text{auto dest!: } H)[]$ 
    using  $T \text{ learned } C\text{-le atms-of-ms-remove-subset}[of \text{ set-mset } (\text{learned-clss } S)]$  apply  $\text{auto}[]$ 
    using  $T \text{ trail } C\text{-le}$  apply  $(\text{auto simp: raw-clauses-def lits-of-def})[]$ 
done
next
case  $(\text{backtrack } K \ i \ M1 \ M2 \ L \ D \ T)$  note  $\text{confl} = \text{this}(1)$  and  $LD = \text{this}(2)$  and  $\text{decomp} = \text{this}(3)$ 
and
     $\text{undef} = \text{this}(7)$  and  $T = \text{this}(8)$ 
have  $?C \ T$ 
    using  $\text{conf } T \text{ decomp undef lev by } (\text{auto simp: cdcl}_W\text{-M-level-inv-decomp})$ 
moreover have  $\text{set } M1 \subseteq \text{set } (\text{trail } S)$ 
    using  $\text{decomp by auto}$ 
then have  $M: ?M \ T$ 
    using  $\text{marked conf undef confl } T \text{ decomp lev}$ 
    by  $(\text{auto simp: image-subset-iff raw-clauses-def cdcl}_W\text{-M-level-inv-decomp})$ 
moreover have  $?U \ T$ 
    using  $\text{learned decomp conf confl } T \text{ undef lev unfolding raw-clauses-def}$ 
    by  $(\text{auto simp: cdcl}_W\text{-M-level-inv-decomp})$ 
moreover have  $?V \ T$ 
    using  $M \text{ conf confl trail } T \text{ undef decomp lev } LD$ 
    by  $(\text{auto simp: cdcl}_W\text{-M-level-inv-decomp atms-of-def}$ 
         $\text{dest!: get-all-marked-decomposition-exists-prepend})$ 
ultimately show  $?case$  by  $\text{blast}$ 
next
case  $(\text{resolve } L \ C \ M \ D \ T)$  note  $\text{trail-S} = \text{this}(1)$  and  $\text{confl} = \text{this}(4)$  and  $T = \text{this}(7)$ 
let  $?T = \text{update-conflicting } (\text{Some } ((\text{remove-clit } (-L) \ D) \ !\cup \text{ ccls-of-clss } ((\text{remove-lit } L \ C))))$ 
     $(\text{tl-trail } S)$ 
have  $?C \ ?T$ 
    using  $\text{confl trail-S conf marked by } (\text{auto dest!: in-atms-of-remove1-mset-in-atms-of})$ 
moreover have  $?M \ ?T$ 
    using  $\text{confl trail-S conf marked by auto}$ 
moreover have  $?U \ ?T$ 
    using  $\text{trail learned by auto}$ 

```

moreover have ?V ?T
 using confl trail-S trail by auto
 ultimately show ?case using T by simp
 qed

lemma *cdcl_W-no-strange-atm-inv*:
 assumes *cdcl_W S S'* and *no-strange-atm S* and *cdcl_W-M-level-inv S*
 shows *no-strange-atm S'*
 using *cdcl_W-no-strange-atm-explicit*[*OF assms(1)*] *assms(2,3)* **unfolding** *no-strange-atm-def* **by** *fast*

lemma *rtrancpl-cdcl_W-no-strange-atm-inv*:
 assumes *cdcl_W** S S'* and *no-strange-atm S* and *cdcl_W-M-level-inv S*
 shows *no-strange-atm S'*
 using *assms* **by** *induction (auto intro: cdcl_W-no-strange-atm-inv rtrancpl-cdcl_W-consistent-inv)*

19.3.7 No duplicates all around

This invariant shows that there is no duplicate (no literal appearing twice in the formula). The last part could be proven using the previous invariant moreover.

definition *distinct-cdcl_W-state (S::'st)*
 $\longleftrightarrow ((\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{distinct-mset } T)$
 $\wedge \text{distinct-mset-mset (learned-clss } S)$
 $\wedge \text{distinct-mset-mset (init-clss } S)$
 $\wedge (\forall L \text{ mark. (Propagated } L \text{ mark} \in \text{set (trail } S) \longrightarrow \text{distinct-mset (mark)})))$

lemma *distinct-cdcl_W-state-decomp*:
 assumes *distinct-cdcl_W-state (S::'st)*
 shows $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{distinct-mset } T$
 and *distinct-mset-mset (learned-clss S)*
 and *distinct-mset-mset (init-clss S)*
 and $\forall L \text{ mark. (Propagated } L \text{ mark} \in \text{set (trail } S) \longrightarrow \text{distinct-mset (mark)})$
 using *assms* **unfolding** *distinct-cdcl_W-state-def* **by** *blast+*

lemma *distinct-cdcl_W-state-decomp-2*:
 assumes *distinct-cdcl_W-state (S::'st)*
 shows *conflicting S = Some T \implies distinct-mset T*
 using *assms* **unfolding** *distinct-cdcl_W-state-def* **by** *auto*

lemma *distinct-cdcl_W-state-S0-cdcl_W[simp]*:
distinct-mset-mset (mset-clss N) \implies distinct-cdcl_W-state (init-state N)
unfolding *distinct-cdcl_W-state-def* **by** *auto*

lemma *distinct-cdcl_W-state-inv*:
 assumes
 cdcl_W S S' and
 lev-inv: cdcl_W-M-level-inv S and
 distinct-cdcl_W-state S
 shows *distinct-cdcl_W-state S'*
 using *assms(1,2,2,3)*
proof (*induct rule: cdcl_W-all-induct-lev2*)
 case (*backtrack L D K i M1 M2*)
 then show ?case
 using *lev-inv* **unfolding** *distinct-cdcl_W-state-def*
 by (*auto dest: get-all-marked-decomposition-incl simp: cdcl_W-M-level-inv-decomp*)
next

```

case restart
then show ?case
  unfolding distinct-cdclW-state-def distinct-mset-set-def raw-clauses-def
  using learned-clss-restart-state[of S] by auto
next
case resolve
then show ?case
  by (auto simp add: distinct-cdclW-state-def distinct-mset-set-def raw-clauses-def
    distinct-mset-single-add
    intro!: distinct-mset-union-mset)
qed (auto simp: distinct-cdclW-state-def distinct-mset-set-def raw-clauses-def
  dest!: in-clss-mset-clss in-diffD)

```

lemma rtranclp-distinct-cdcl_W-state-inv:

```

assumes
  cdclW** S S' and
  cdclW-M-level-inv S and
  distinct-cdclW-state S
shows distinct-cdclW-state S'
using assms apply (induct rule: rtranclp-induct)
using distinct-cdclW-state-inv rtranclp-cdclW-consistent-inv by blast+

```

19.3.8 Conflicts and co

This invariant shows that each mark contains a contradiction only related to the previously defined variable.

abbreviation every-mark-is-a-conflict :: 'st \Rightarrow bool **where**

```

every-mark-is-a-conflict S  $\equiv$ 
 $\forall L$  mark a b. a @ Propagated L mark # b = (trail S)
 $\longrightarrow$  (b  $\models_{as}$  CNot ( mark - {#L#}))  $\wedge$  L  $\in$  # mark)

```

definition cdcl_W-conflicting S \equiv

```

( $\forall T$ . conflicting S = Some T  $\longrightarrow$  trail S  $\models_{as}$  CNot T)
 $\wedge$  every-mark-is-a-conflict S

```

lemma backtrack-atms-of-D-in-M1:

fixes M1 :: ('v, nat, 'v clause) marked-lits

assumes

```

  inv: cdclW-M-level-inv S and
  undef: undefined-lit M1 L and
  i: get-maximum-level (trail S) (mset-ccls (remove-clit L D))  $\equiv$  i and
  decomp: (Marked K (Suc i) # M1, M2)
     $\in$  set (get-all-marked-decomposition (trail S)) and
  S-lvl: backtrack-lvl S = get-maximum-level (trail S) (mset-ccls D) and
  S-conf: raw-conflicting S = Some D and
  undef: undefined-lit M1 L and
  T: T  $\sim$  cons-trail (Propagated L (cls-of-ccls D))
    (reduce-trail-to M1
      (add-learned-cls (cls-of-ccls D)
        (update-backtrack-lvl i
          (update-conflicting None S)))) and

```

```

  conf:  $\forall T$ . conflicting S = Some T  $\longrightarrow$  trail S  $\models_{as}$  CNot T

```

shows atms-of (mset-ccls (remove-clit L D)) \subseteq atm-of ' lits-of-l (tl (trail T))

proof (rule ccontr)

let ?k = get-maximum-level (trail S) (mset-ccls D)

```

let ?D = mset-ccls D
let ?D' = mset-ccls (remove-clit L D)
have trail S  $\models$ as CNot ?D using confl S-confl by auto
then have vars-of-D: atms-of ?D  $\subseteq$  atm-of ' lits-of-l (trail S) unfolding atms-of-def
  by (meson image-subsetI true-annots-CNot-all-atms-defined)

obtain M0 where M: trail S = M0 @ M2 @ Marked K (Suc i) # M1
  using decomp by auto

have max: ?k = length (get-all-levels-of-marked (M0 @ M2 @ Marked K (Suc i) # M1))
  using inv unfolding cdclW-M-level-inv-def S-lvl M by simp
assume a:  $\neg$  ?thesis
then obtain L' where
  L': L'  $\in$  atms-of ?D' and
  L'-notin-M1: L'  $\notin$  atm-of ' lits-of-l M1
  using T undef decomp inv by (auto simp: cdclW-M-level-inv-decomp)
then have L'-in: L'  $\in$  atm-of ' lits-of-l (M0 @ M2 @ Marked K (i + 1) # [])
  using vars-of-D unfolding M by (auto dest: in-atms-of-remove1-mset-in-atms-of)
then obtain L'' where
  L''  $\in$  # ?D' and
  L'': L' = atm-of L''
  using L' L'-notin-M1 unfolding atms-of-def by auto
have lev-L'':
  get-level (trail S) L'' = get-rev-level (Marked K (Suc i) # rev M2 @ rev M0) (Suc i) L''
  using L'-notin-M1 L'' M by (auto simp del: get-rev-level.simps)
have get-all-levels-of-marked (trail S) = rev [1..1+?k]
  using inv S-lvl unfolding cdclW-M-level-inv-def by auto
then have get-all-levels-of-marked (M0 @ M2) = rev [Suc (Suc i)..Suc ?k]
  unfolding M by (auto simp: rev-swap[symmetric] dest!: append-cons-eq-upt-length-i-end)

then have M: get-all-levels-of-marked M0 @ get-all-levels-of-marked M2
  = rev [Suc (Suc i)..Suc (length (get-all-levels-of-marked (M0 @ M2 @ Marked K (Suc i) # M1)))]
  unfolding max unfolding M by simp

have get-rev-level (Marked K (Suc i) # rev (M0 @ M2)) (Suc i) L''
   $\geq$  Min (set ((Suc i) # get-all-levels-of-marked (Marked K (Suc i) # rev (M0 @ M2))))
  using get-rev-level-ge-min-get-all-levels-of-marked[of L''
    rev (M0 @ M2 @ [Marked K (Suc i)]) Suc i] L'-in
  unfolding L'' by (fastforce simp add: lits-of-def)
also have Min (set ((Suc i) # get-all-levels-of-marked (Marked K (Suc i) # rev (M0 @ M2))))
  = Min (set ((Suc i) # get-all-levels-of-marked (rev (M0 @ M2)))) by auto
also have ... = Min (set ((Suc i) # get-all-levels-of-marked M0 @ get-all-levels-of-marked M2))
  by (simp add: Un-commute)
also have ... = Min (set ((Suc i) # [Suc (Suc i)..2 + length (get-all-levels-of-marked M0)
  + (length (get-all-levels-of-marked M2) + length (get-all-levels-of-marked M1))]))
  unfolding M by (auto simp add: Un-commute)
also have ... = Suc i by (auto intro: Min-eqI)
finally have get-rev-level (Marked K (Suc i) # rev (M0 @ M2)) (Suc i) L''  $\geq$  Suc i .
then have get-level (trail S) L''  $\geq$  i + 1
  using lev-L'' by simp
then have get-maximum-level (trail S) ?D'  $\geq$  i + 1
  using get-maximum-level-ge-get-level[OF 'L''  $\in$  # ?D', of trail S] by auto
then show False using i by auto
qed

```

lemma *distinct-atms-of-incl-not-in-other:*

assumes

a1: no-dup (M @ M') and

a2: atms-of D ⊆ atm-of ' lits-of-l M' and

a3: x ∈ atms-of D

shows *x ∉ atm-of ' lits-of-l M*

proof –

have *ff1: ∧ l ms. undefined-lit ms l ∨ atm-of l*

∈ set (map (λm. atm-of (lit-of (m::('a, 'b, 'c) marked-lit))) ms)

by *(simp add: defined-lit-map)*

have *ff2: ∧ a. a ∉ atms-of D ∨ a ∈ atm-of ' lits-of-l M'*

using *a2 by (meson subsetCE)*

have *ff3: ∧ a. a ∉ set (map (λm. atm-of (lit-of m)) M')*

∨ a ∉ set (map (λm. atm-of (lit-of m)) M)

using *a1 by (metis (lifting) IntI distinct-append empty-iff map-append)*

have *∀ L a f. ∃ l. ((a::'a) ∉ f ' L ∨ (l::'a literal) ∈ L) ∧ (a ∉ f ' L ∨ f l = a)*

by *blast*

then show *x ∉ atm-of ' lits-of-l M*

using *ff3 ff2 ff1 a3 by (metis (no-types) Marked-Propagated-in-iff-in-lits-of-l)*

qed

lemma *cdcl_W-propagate-is-conclusion:*

assumes

cdcl_W S S' and

inv: cdcl_W-M-level-inv S and

decomp: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S)) and

learned: cdcl_W-learned-clause S and

confl: ∀ T. conflicting S = Some T ⟶ trail S ⊨_{as} CNot T and

alien: no-strange-atm S

shows *all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))*

using *assms(1,2)*

proof *(induct rule: cdcl_W-all-induct-lev2)*

case *restart*

then show *?case by auto*

next

case *forget*

then show *?case using decomp by auto*

next

case *conflict*

then show *?case using decomp by auto*

next

case *(resolve L C M D) note tr = this(1) and T = this(7)*

let *?decomp = get-all-marked-decomposition M*

have *M: set ?decomp = insert (hd ?decomp) (set (tl ?decomp))*

by *(cases ?decomp) auto*

show *?case*

using *decomp tr T unfolding all-decomposition-implies-def*

by *(cases hd (get-all-marked-decomposition M))*

(auto simp: M)

next

case *(skip L C' M D) note tr = this(1) and T = this(5)*

have *M: set (get-all-marked-decomposition M)*

= insert (hd (get-all-marked-decomposition M)) (set (tl (get-all-marked-decomposition M)))

by *(cases get-all-marked-decomposition M) auto*

show *?case*

```

    using decomp tr T unfolding all-decomposition-implies-def
    by (cases hd (get-all-marked-decomposition M))
      (auto simp add: M)
next
case decide note S = this(1) and undef = this(2) and T = this(4)
show ?case using decomp T undef unfolding S all-decomposition-implies-def by auto
next
case (propagate C L T) note propa = this(2) and L = this(3) and undef = this(5) and T = this(6)
obtain a y where ay: hd (get-all-marked-decomposition (trail S)) = (a, y)
  by (cases hd (get-all-marked-decomposition (trail S)))
then have M: trail S = y @ a using get-all-marked-decomposition-decomp by blast
have M': set (get-all-marked-decomposition (trail S))
  = insert (a, y) (set (tl (get-all-marked-decomposition (trail S))))
  using ay by (cases get-all-marked-decomposition (trail S)) auto
have unmark-l a ∪ set-mset (init-clss S) ⊨ps unmark-l y
  using decomp ay unfolding all-decomposition-implies-def
  by (cases get-all-marked-decomposition (trail S)) fastforce+
then have a-Un-N-M: unmark-l a ∪ set-mset (init-clss S)
  ⊨ps unmark-l (trail S)
  unfolding M by (auto simp add: all-in-true-clss-clss image-Un)

have unmark-l a ∪ set-mset (init-clss S) ⊨p {#L#} (is ?I ⊨p -)
proof (rule true-clss-clss-plus-CNot)
  show ?I ⊨p remove1-mset L (mset-clss C) + {#L#}
  apply (rule true-clss-clss-in-imp-true-clss-clss[of -
    set-mset (init-clss S) ∪ set-mset (learned-clss S)])
  using learned propa L by (auto simp: raw-clauses-def cdclW-learned-clause-def
    true-annot-CNot-diff)
next
have unmark-l (trail S) ⊨ps CNot (remove1-mset L (mset-clss C))
  using ⟨(trail S) ⊨as CNot (remove1-mset L (mset-clss C))⟩ true-annots-true-clss-clss
  by blast
then show ?I ⊨ps CNot (remove1-mset L (mset-clss C))
  using a-Un-N-M true-clss-clss-left-right true-clss-clss-union-l-r by blast
qed
moreover have ∧aa b.
  ∀ (Ls, seen) ∈ set (get-all-marked-decomposition (y @ a)).
    unmark-l Ls ∪ set-mset (init-clss S) ⊨ps unmark-l seen
  ⇒ (aa, b) ∈ set (tl (get-all-marked-decomposition (y @ a)))
  ⇒ unmark-l aa ∪ set-mset (init-clss S) ⊨ps unmark-l b
  by (metis (no-types, lifting) case-prod-conv get-all-marked-decomposition-never-empty-sym
    list.collapse list.set-intros(2))

ultimately show ?case
  using decomp T undef unfolding ay all-decomposition-implies-def
  using M ⟨unmark-l a ∪ set-mset (init-clss S) ⊨ps unmark-l y⟩
  ay by auto
next
case (backtrack K i M1 M2 L D T) note conf = this(1) and LD = this(2) and decomp' = this(3)
and
  lev-L = this(4) and undef = this(7) and T = this(8)
let ?D = mset-ccls D
let ?D' = mset-ccls (remove-clit L D)
have ∀ l ∈ set M2. ¬is-marked l
  using get-all-marked-decomposition-snd-not-marked decomp' by blast

```



```

obtain  $M0$  where  $M$ :  $trail\ S = M0 \ @\ M2 \ @\ Marked\ K\ (i + 1) \ \# \ M1$ 
using  $decomp'$  by  $auto$ 
show  $?case\ unfolding\ all-decomposition-implies-def$ 
proof
  fix  $x$ 
  assume  $x \in set\ (get-all-marked-decomposition\ (trail\ T))$ 
  then have  $x$ :  $x \in set\ (get-all-marked-decomposition\ (Propagated\ L\ ?D \ \# \ M1))$ 
    using  $T\ decomp'$  undef  $inv$  by  $(simp\ add: \ cdcl_W\text{-}M\text{-level-inv-decomp})$ 
  let  $?m = get-all-marked-decomposition\ (Propagated\ L\ ?D \ \# \ M1)$ 
  let  $?hd = hd\ ?m$ 
  let  $?tl = tl\ ?m$ 
  consider
     $(hd)\ x = ?hd$ 
     $| (tl)\ x \in set\ ?tl$ 
  using  $x$  by  $(cases\ ?m)\ auto$ 
then show  $case\ x\ of\ (Ls,\ seen) \Rightarrow unmark-l\ Ls \cup set-mset\ (init-clss\ T)$ 
   $\models_{ps}\ unmark-l\ seen$ 
proof  $cases$ 
  case  $tl$ 
    then have  $x \in set\ (get-all-marked-decomposition\ (trail\ S))$ 
    using  $tl\ get-all-marked-decomposition-skip-some[of\ x]$  by  $(simp\ add: \ list.set-sel(2)\ M)$ 
    then show  $?thesis$ 
    using  $decomp\ learned\ decomp\ confl\ alien\ inv\ T\ undef\ M$ 
    unfolding  $all-decomposition-implies-def\ cdcl_W\text{-}M\text{-level-inv-def}$ 
    by  $auto$ 
  next
  case  $hd$ 
    obtain  $M1'\ M1''$  where  $M1$ :  $hd\ (get-all-marked-decomposition\ M1) = (M1',\ M1'')$ 
    by  $(cases\ hd\ (get-all-marked-decomposition\ M1))$ 
    then have  $x'$ :  $x = (M1',\ Propagated\ L\ ?D \ \# \ M1'')$ 
    using  $\langle x = ?hd \rangle$  by  $auto$ 
    have  $(M1',\ M1'') \in set\ (get-all-marked-decomposition\ (trail\ S))$ 
    using  $M1[symmetric]\ hd\ get-all-marked-decomposition-skip-some[OF\ M1[symmetric],$ 
       $of\ M0 \ @\ M2 - i + 1]$  unfolding  $M$  by  $fastforce$ 
    then have  $1$ :  $unmark-l\ M1' \cup set-mset\ (init-clss\ S) \models_{ps}\ unmark-l\ M1''$ 
    using  $decomp\ unfolding\ all-decomposition-implies-def$  by  $auto$ 

  moreover
    have  $vars-of\ D$ :  $atms-of\ ?D' \subseteq atm-of\ \text{'}\ lits-of-l\ M1$ 
    using  $backtrack-atms-of-D-in-M1[of\ S\ M1\ L\ D\ i\ K\ M2\ T]$   $backtrack.hyps\ inv\ conf\ confl$ 
    by  $(auto\ simp: \ cdcl_W\text{-}M\text{-level-inv-decomp})$ 
    have  $no-dup\ (trail\ S)$  using  $inv$  by  $(auto\ simp: \ cdcl_W\text{-}M\text{-level-inv-decomp})$ 
    then have  $vars-in-M1$ :
       $\forall x \in atms-of\ ?D'.\ x \notin atm-of\ \text{'}\ lits-of-l\ (M0 \ @\ M2 \ @\ Marked\ K\ (i + 1) \ \# \ [])$ 
    using  $vars-of\ D\ distinct-atms-of-incl-not-in-other[of$ 
       $M0 \ @\ M2 \ @\ Marked\ K\ (i + 1) \ \# \ []\ M1]$  unfolding  $M$  by  $auto$ 
    have  $trail\ S \models_{as}\ CNot\ (remove1-mset\ L\ (mset-ccls\ D))$ 
    using  $conf\ confl\ LD$  unfolding  $M\ true-annots-true-clss-def-iff-negation-in-model$ 
    by  $(auto\ dest!:\ Multiset.in-diffD)$ 
    then have  $M1 \models_{as}\ CNot\ ?D'$ 
    using  $vars-in-M1\ true-annots-remove-if-notin-vars[of\ M0 \ @\ M2 \ @\ Marked\ K\ (i + 1) \ \# \ []$ 
       $M1\ CNot\ ?D']\ conf\ confl$  unfolding  $M\ lits-of-def$  by  $simp$ 
    have  $M1 = M1'' \ @\ M1'$  by  $(simp\ add: \ M1\ get-all-marked-decomposition-decomp)$ 
    have  $TT$ :  $unmark-l\ M1' \cup set-mset\ (init-clss\ S) \models_{ps}\ CNot\ ?D'$ 
    using  $true-annots-true-clss-clss[OF\ \langle M1 \models_{as}\ CNot\ ?D' \rangle]\ true-clss-clss-left-right[OF\ 1]$ 

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    unfolding (M1 = M1'' @ M1') by (auto simp add: inf-sup-aci(5,7))
  have init-clss S  $\models_{pm}$  ?D' + {#L#}
    using conf learned confL LD unfolding cdclW-learned-clause-def by auto
  then have T': unmark-l M1'  $\cup$  set-mset (init-clss S)  $\models_p$  ?D' + {#L#} by auto
  have atms-of (?D' + {#L#})  $\subseteq$  atms-of-mm (clauses S)
    using alien conf LD unfolding no-strange-atm-def raw-clauses-def by auto
  then have unmark-l M1'  $\cup$  set-mset (init-clss S)  $\models_p$  {#L#}
    using true-clss-clss-plus-CNot[OF T' TT] by auto

  ultimately show ?thesis
    using T' T decomp' undef inv unfolding x' by (simp add: cdclW-M-level-inv-decomp)
qed
qed
qed

lemma cdclW-propagate-is-false:
  assumes
    cdclW S S' and
    lev: cdclW-M-level-inv S and
    learned: cdclW-learned-clause S and
    decomp: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S)) and
    confl:  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{as} \text{CNot } T$  and
    alien: no-strange-atm S and
    mark-confL: every-mark-is-a-conflict S
  shows every-mark-is-a-conflict S'
  using assms(1,2)
proof (induct rule: cdclW-all-induct-lev2)
  case (propagate C L T) note LC = this(3) and confl = this(4) and undef = this(5) and T =
  this(6)
  show ?case
  proof (intro allI impI)
    fix L' mark a b
    assume a @ Propagated L' mark # b = trail T
    then consider
      (hd) a = [] and L = L' and mark = mset-clss C and b = trail S
      | (tl) tl a @ Propagated L' mark # b = trail S
    using T undef by (cases a) fastforce+
    then show b  $\models_{as}$  CNot (mark - {#L'#})  $\wedge$  L'  $\in$  # mark
      using mark-confL confl LC by cases auto
  qed
next
  case (decide L) note undef[simp] = this(2) and T = this(4)
  have  $\bigwedge a \text{ La mark } b. a @ \text{Propagated La mark \# } b = \text{Marked L (backtrack-lvl S+1) \# trail S}$ 
 $\implies \text{tl } a @ \text{Propagated La mark \# } b = \text{trail S}$  by (case-tac a) auto
  then show ?case using mark-confL T unfolding decide.hyps(1) by fastforce
next
  case (skip L C' M D T) note tr = this(1) and T = this(5)
  show ?case
  proof (intro allI impI)
    fix L' mark a b
    assume a @ Propagated L' mark # b = trail T
    then have a @ Propagated L' mark # b = M using tr T by simp
    then have (Propagated L C' # a) @ Propagated L' mark # b = Propagated L C' # M by auto
    moreover have  $\forall \text{La mark } a b. a @ \text{Propagated La mark \# } b = \text{Propagated L C' \# M}$ 
 $\longrightarrow b \models_{as} \text{CNot (mark - \{ \#La\# \})} \wedge \text{La} \in \# \text{ mark}$ 

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    using mark-confl unfolding skip.hyps(1) by simp
    ultimately show  $b \models_{as} CNot (mark - \{\#L'\# \}) \wedge L' \in \# \text{ mark}$  by blast
  qed
next
case (conflict D)
then show ?case using mark-confl by simp
next
case (resolve L C M D T) note tr-S = this(1) and T = this(7)
show ?case unfolding resolve.hyps(1)
proof (intro allI impI)
  fix L' mark a b
  assume a @ Propagated L' mark # b = trail T
  then have (Propagated L (mset-cls (L !++ C)) # a) @ Propagated L' mark # b
    = Propagated L (mset-cls (L !++ C)) # M
  using T tr-S by auto
  then show  $b \models_{as} CNot (mark - \{\#L'\# \}) \wedge L' \in \# \text{ mark}$ 
  using mark-confl unfolding tr-S by (metis Cons-eq-appendI list.sel(3))
qed
next
case restart
then show ?case by auto
next
case forget
then show ?case using mark-confl by auto
next
case (backtrack K i M1 M2 L D T) note conf = this(1) and LD = this(2) and decomp = this(3)
and
  undef = this(7) and T = this(8)
have  $\forall l \in \text{set } M2. \neg \text{is-marked } l$ 
using get-all-marked-decomposition-snd-not-marked decomp by blast
obtain M0 where M: trail S = M0 @ M2 @ Marked K (i + 1) # M1
using decomp by auto
have [simp]: trail (reduce-trail-to M1 (add-learned-cls (cls-of-ccls (insert-ccls L D))
  (update-backtrack-lvl i (update-conflicting None S)))) = M1
using decomp lev by (auto simp: cdclW-M-level-inv-decomp)
let ?D = mset-ccls D
let ?D' = mset-ccls (remove-clit L D)
show ?case
proof (intro allI impI)
  fix La :: 'v literal and mark :: 'v literal multiset and
    a b :: ('v, nat, 'v literal multiset) marked-lit list
  assume a @ Propagated La mark # b = trail T
  then consider
    (hd-tr) a = [] and
      (Propagated La mark :: ('v, nat, 'v literal multiset) marked-lit)
      = Propagated L ?D and
      b = M1
    | (tl-tr) tl a @ Propagated La mark # b = M1
  using M T decomp undef lev by (cases a) (auto simp: cdclW-M-level-inv-def)
  then show  $b \models_{as} CNot (mark - \{\#La\# \}) \wedge La \in \# \text{ mark}$ 
  proof cases
    case hd-tr note A = this(1) and P = this(2) and b = this(3)
    have trail S  $\models_{as} CNot ?D$  using conf confl by auto
    then have vars-of-D: atms-of ?D  $\subseteq$  atm-of ' lits-of-l (trail S)
    unfolding atms-of-def

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    by (meson image-subsetI true-annots-CNot-all-atms-defined)
  have vars-of-D: atms-of ?D'  $\subseteq$  atm-of ' lits-of-l M1
    using backtrack-atms-of-D-in-M1[of S M1 L D i K M2 T] T backtrack lev confl
    by (auto simp: cdclW-M-level-inv-decomp)
  have no-dup (trail S) using lev by (auto simp: cdclW-M-level-inv-decomp)
  then have  $\forall x \in \text{atms-of } ?D'. x \notin \text{atm-of ' lits-of-l } (M0 @ M2 @ \text{Marked } K (i + 1) \# [])$ 
    using vars-of-D distinct-atms-of-incl-not-in-other[of
      M0 @ M2 @ Marked K (i + 1)  $\# []$  M1] unfolding M by auto
  then have M1  $\models_{as}$  CNot ?D'
    using true-annots-remove-if-notin-vars[of M0 @ M2 @ Marked K (i + 1)  $\# []$ 
      M1 CNot ?D']  $\langle$ trail S  $\models_{as}$  CNot ?D $\rangle$  unfolding M lits-of-def
    by (simp add: true-annot-CNot-diff)
  then show b  $\models_{as}$  CNot (mark - {#La#})  $\wedge$  La  $\in \#$  mark
    using P LD b by auto
next
case tl-tr
then obtain c' where c' @ Propagated La mark  $\#$  b = trail S
  unfolding M by auto
then show b  $\models_{as}$  CNot (mark - {#La#})  $\wedge$  La  $\in \#$  mark
  using mark-confl by auto
qed
qed
qed

lemma cdclW-conflicting-is-false:
  assumes
    cdclW S S' and
    M-lev: cdclW-M-level-inv S and
    confl-inv:  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{as} \text{CNot } T$  and
    marked-confl:  $\forall L \text{ mark } a \ b. a @ \text{Propagated } L \text{ mark } \# b = (\text{trail } S) \longrightarrow (b \models_{as} \text{CNot } (\text{mark} - \{ \#L\ \}) \wedge L \in \# \text{ mark})$  and
    dist: distinct-cdclW-state S
  shows  $\forall T. \text{conflicting } S' = \text{Some } T \longrightarrow \text{trail } S' \models_{as} \text{CNot } T$ 
  using assms(1,2)
proof (induct rule: cdclW-all-induct-lev2)
case (skip L C' M D T) note tr-S = this(1) and confl = this(2) and L-D = this(3) and T = this(5)
let ?D = mset-ccls D
have D: Propagated L C'  $\#$  M  $\models_{as}$  CNot (mset-ccls D) using assms skip by auto
moreover
have L  $\notin \#$  ?D
proof (rule ccontr)
assume  $\neg$  ?thesis
then have - L  $\in$  lits-of-l M
  using in-CNot-implies-uminus(2)[of L ?D Propagated L C'  $\#$  M]
   $\langle$ Propagated L C'  $\#$  M  $\models_{as}$  CNot ?D $\rangle$  by simp
then show False
  by (metis (no-types, hide-lams) M-lev cdclW-M-level-inv-decomp(1) consistent-interp-def
    image-insert insert-iff list.set(2) lits-of-def marked-lit.sel(2) tr-S)
qed
ultimately show ?case
  using tr-S confl L-D T unfolding cdclW-M-level-inv-def
  by (auto intro: true-annots-CNot-lit-of-notin-skip)
next
case (resolve L C M D T) note tr = this(1) and LC = this(2) and confl = this(4) and LD =

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this(5)
and T = this(7)
let ?C = remove1-mset L (mset-cls C)
let ?D = remove1-mset (-L) (mset-ccls D)
show ?case
proof (intro allI impI)
  fix T'
  have tl (trail S)  $\models_{as}$  CNot ?C using tr marked-confl by fastforce
  moreover
    have distinct-mset (?D + {#- L#}) using confl dist LD
      unfolding distinct-cdclW-state-def by auto
    then have -L  $\notin$  # ?D unfolding distinct-mset-def
      by (meson <distinct-mset (?D + {#- L#})> distinct-mset-single-add)
    have M  $\models_{as}$  CNot ?D
    proof -
      have Propagated L (?C + {#L#}) # M  $\models_{as}$  CNot ?D  $\cup$  CNot {#- L#}
        using confl tr confl-inv LC by (metis CNot-plus LD insert-DiffM2 option.simps(9))
      then show ?thesis
        using M-lev <- L  $\notin$  # ?D tr true-annots-lit-of-notin-skip
        unfolding cdclW-M-level-inv-def by force
    qed
  moreover assume conflicting T = Some T'
  ultimately
    show trail T  $\models_{as}$  CNot T'
    using tr T by auto
  qed
qed (auto simp: M-lev cdclW-M-level-inv-decomp)

```

lemma *cdcl_W-conflicting-decomp*:

```

assumes cdclW-conflicting S
shows  $\forall T. \text{conflicting } S = \text{Some } T \longrightarrow \text{trail } S \models_{as} \text{CNot } T$ 
and  $\forall L \text{ mark } a \ b. a @ \text{Propagated } L \text{ mark } \# \ b = (\text{trail } S)$ 
 $\longrightarrow (b \models_{as} \text{CNot } (\text{mark} - \{ \#L\ \}) \wedge L \in \# \text{ mark})$ 
using assms unfolding cdclW-conflicting-def by blast+

```

lemma *cdcl_W-conflicting-decomp2*:

```

assumes cdclW-conflicting S and conflicting S = Some T
shows trail S  $\models_{as}$  CNot T
using assms unfolding cdclW-conflicting-def by blast+

```

lemma *cdcl_W-conflicting-S0-cdcl_W[simp]*:

```

cdclW-conflicting (init-state N)
unfolding cdclW-conflicting-def by auto

```

19.3.9 Putting all the invariants together

lemma *cdcl_W-all-inv*:

```

assumes
  cdclW: cdclW S S' and
  1: all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S)) and
  2: cdclW-learned-clause S and
  4: cdclW-M-level-inv S and
  5: no-strange-atm S and
  7: distinct-cdclW-state S and
  8: cdclW-conflicting S
shows

```

all-decomposition-implies-m (*init-clss* S') (*get-all-marked-decomposition* (*trail* S')) **and**
cdcl_W-learned-clause S' **and**
cdcl_W-M-level-inv S' **and**
no-strange-atm S' **and**
distinct-cdcl_W-state S' **and**
cdcl_W-conflicting S'
proof –
show $S1$: *all-decomposition-implies-m* (*init-clss* S') (*get-all-marked-decomposition* (*trail* S'))
using *cdcl_W-propagate-is-conclusion*[*OF* *cdcl_W* 4 1 2 - 5] 8 **unfolding** *cdcl_W-conflicting-def*
by *blast*
show $S2$: *cdcl_W-learned-clause* S' **using** *cdcl_W-learned-clss*[*OF* *cdcl_W* 2 4] .
show $S4$: *cdcl_W-M-level-inv* S' **using** *cdcl_W-consistent-inv*[*OF* *cdcl_W* 4] .
show $S5$: *no-strange-atm* S' **using** *cdcl_W-no-strange-atm-inv*[*OF* *cdcl_W* 5 4] .
show $S7$: *distinct-cdcl_W-state* S' **using** *distinct-cdcl_W-state-inv*[*OF* *cdcl_W* 4 7] .
show $S8$: *cdcl_W-conflicting* S'
using *cdcl_W-conflicting-is-false*[*OF* *cdcl_W* 4 - - 7] 8 *cdcl_W-propagate-is-false*[*OF* *cdcl_W* 4 2 1 -
5]
unfolding *cdcl_W-conflicting-def* **by** *fast*
qed

lemma *rtranclp-cdcl_W-all-inv*:

assumes

cdcl_W: *rtranclp* *cdcl_W* S S' **and**

1: *all-decomposition-implies-m* (*init-clss* S) (*get-all-marked-decomposition* (*trail* S)) **and**

2: *cdcl_W-learned-clause* S **and**

4: *cdcl_W-M-level-inv* S **and**

5: *no-strange-atm* S **and**

7: *distinct-cdcl_W-state* S **and**

8: *cdcl_W-conflicting* S

shows

all-decomposition-implies-m (*init-clss* S') (*get-all-marked-decomposition* (*trail* S')) **and**

cdcl_W-learned-clause S' **and**

cdcl_W-M-level-inv S' **and**

no-strange-atm S' **and**

distinct-cdcl_W-state S' **and**

cdcl_W-conflicting S'

using *assms*

proof (*induct rule*: *rtranclp-induct*)

case *base*

case 1 **then** **show** ?*case* **by** *blast*

case 2 **then** **show** ?*case* **by** *blast*

case 3 **then** **show** ?*case* **by** *blast*

case 4 **then** **show** ?*case* **by** *blast*

case 5 **then** **show** ?*case* **by** *blast*

case 6 **then** **show** ?*case* **by** *blast*

next

case (*step* S' S'') **note** $H = \text{this}$

case 1 **with** $H(3-7)$ [*OF* *this*(1-6)] **show** ?*case* **using** *cdcl_W-all-inv*[*OF* $H(2)$]

H **by** *presburger*

case 2 **with** $H(3-7)$ [*OF* *this*(1-6)] **show** ?*case* **using** *cdcl_W-all-inv*[*OF* $H(2)$]

H **by** *presburger*

case 3 **with** $H(3-7)$ [*OF* *this*(1-6)] **show** ?*case* **using** *cdcl_W-all-inv*[*OF* $H(2)$]

H **by** *presburger*

case 4 **with** $H(3-7)$ [*OF* *this*(1-6)] **show** ?*case* **using** *cdcl_W-all-inv*[*OF* $H(2)$]

H **by** *presburger*

case 5 with $H(3-7)[OF \text{ this}(1-6)]$ **show** $?case$ **using** $cdcl_W\text{-all-inv}[OF H(2)]$
 H **by** *presburger*
case 6 with $H(3-7)[OF \text{ this}(1-6)]$ **show** $?case$ **using** $cdcl_W\text{-all-inv}[OF H(2)]$
 H **by** *presburger*
qed

lemma *all-invariant-S0-cdcl_W*:

assumes *distinct-mset-mset* (*mset-clss* N)

shows

$all\text{-decomposition-implies-}m \text{ (init-clss (init-state } N))$
 $(get\text{-all-marked-decomposition (trail (init-state } N)))$ **and**
 $cdcl_W\text{-learned-clause (init-state } N)$ **and**
 $\forall T. \text{ conflicting (init-state } N) = \text{Some } T \longrightarrow (trail (init-state } N)) \models_{as} CNot \ T$ **and**
 $no\text{-strange-atm (init-state } N)$ **and**
 $consistent\text{-interp (lits-of-l (trail (init-state } N)))$ **and**
 $\forall L \text{ mark } a \ b. a \ @ \ \text{Propagated } L \text{ mark } \# \ b = \text{trail (init-state } N) \longrightarrow$
 $(b \models_{as} CNot \ (\text{mark} - \{\#L\}) \wedge L \in \# \text{ mark})$ **and**
 $distinct\text{-cdcl}_W\text{-state (init-state } N)$

using *assms* **by** *auto*

lemma *cdcl_W-only-propagated-vars-unsat*:

assumes

$marked: \forall x \in \text{set } M. \neg \text{is-marked } x$ **and**
 $DN: D \in \# \text{ clauses } S$ **and**
 $D: M \models_{as} CNot \ D$ **and**
 $inv: all\text{-decomposition-implies-}m \ N \ (get\text{-all-marked-decomposition } M)$ **and**
 $state: \text{state } S = (M, N, U, k, C)$ **and**
 $learned\text{-cl}: cdcl_W\text{-learned-clause } S$ **and**
 $atm\text{-incl}: no\text{-strange-atm } S$

shows *unsatisfiable* (*set-mset* N)

proof (*rule ccontr*)

assume $\neg \text{unsatisfiable (set-mset } N)$

then obtain I **where**

$I: I \models_s \text{set-mset } N$ **and**
 $cons: consistent\text{-interp } I$ **and**
 $tot: total\text{-over-}m \ I \ (\text{set-mset } N)$
unfolding *satisfiable-def* **by** *auto*

have $atms\text{-of-}mm \ N \cup atms\text{-of-}mm \ U = atms\text{-of-}mm \ N$

using $atm\text{-incl}$ *state* **unfolding** $total\text{-over-}m\text{-def}$ $no\text{-strange-atm}\text{-def}$
by (*auto simp add: raw-clauses-def*)

then have $total\text{-over-}m \ I \ (\text{set-mset } N)$ **using** tot **unfolding** $total\text{-over-}m\text{-def}$ **by** *auto*

moreover then have $total\text{-over-}m \ I \ (\text{set-mset (learned-clss } S))$

using $atm\text{-incl}$ *state* **unfolding** $no\text{-strange-atm}\text{-def}$ $total\text{-over-}m\text{-def}$ $total\text{-over-set}\text{-def}$
by *auto*

moreover have $N \models_{psm} U$ **using** $learned\text{-cl}$ *state* **unfolding** $cdcl_W\text{-learned-clause}\text{-def}$ **by** *auto*

ultimately have $I \models D$

using $I \ DN$ *cons* *state* **unfolding** $true\text{-clss-clss}\text{-def}$ $true\text{-clss}\text{-def}$ $Ball\text{-def}$
by (*auto simp add: raw-clauses-def*)

have $l0: \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } M\} = \{\}$ **using** *marked* **by** *auto*

have $atms\text{-of-}ms \ (\text{set-mset } N \cup \text{unmark-l } M) = atms\text{-of-}mm \ N$

using $atm\text{-incl}$ *state* **unfolding** $no\text{-strange-atm}\text{-def}$ **by** *auto*

then have $total\text{-over-}m \ I \ (\text{set-mset } N \cup \text{unmark-l } M)$

using tot **unfolding** $total\text{-over-}m\text{-def}$ **by** *auto*

```

then have  $I \models_s \text{unmark-l } M$ 
  using all-decomposition-implies-propagated-lits-are-implied[OF inv] cons I
  unfolding true-clss-clss-def l0 by auto
then have  $IM: I \models_s \text{unmark-l } M$  by auto
{
  fix  $K$ 
  assume  $K \in \# D$ 
  then have  $-K \in \text{lits-of-l } M$ 
    using D unfolding true-annots-def Ball-def CNot-def true-annot-def true-clss-def true-lit-def
    Bex-def by force
    then have  $-K \in I$  using  $IM$  true-clss-singleton-lit-of-implies-incl lits-of-def by fastforce }
  then have  $\neg I \models D$  using cons unfolding true-clss-def true-lit-def consistent-interp-def by auto
  then show False using I-D by blast
}
qed

```

We have actually a much stronger theorem, namely *all-decomposition-implies ?N (get-all-marked-decomposition ?M) \implies ?N $\cup \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } ?M\} \models_{ps} \text{unmark-l } ?M$* , that show that the only choices we made are marked in the formula

```

lemma
  assumes all-decomposition-implies-m N (get-all-marked-decomposition M)
  and  $\forall m \in \text{set } M. \neg \text{is-marked } m$ 
  shows set-mset N  $\models_{ps} \text{unmark-l } M$ 
proof -
  have  $T: \{\text{unmark } L \mid L. \text{is-marked } L \wedge L \in \text{set } M\} = \{\}$  using assms(2) by auto
  then show ?thesis
    using all-decomposition-implies-propagated-lits-are-implied[OF assms(1)] unfolding T by simp
qed

```

lemma *conflict-with-false-implies-unsat:*

```

  assumes
    cdclW: cdclW S S' and
    lev: cdclW-M-level-inv S and
    [simp]: conflicting S' = Some {#} and
    learned: cdclW-learned-clause S
  shows unsatisfiable (set-mset (init-clss S))
  using assms
proof -
  have cdclW-learned-clause S' using cdclW-learned-clss cdclW learned lev by auto
  then have init-clss S'  $\models_{pm} \{\#\}$  using assms(3) unfolding cdclW-learned-clause-def by auto
  then have init-clss S  $\models_{pm} \{\#\}$ 
    using cdclW-init-clss[OF assms(1) lev] by auto
  then show ?thesis unfolding satisfiable-def true-clss-clss-def by auto
qed

```

lemma *conflict-with-false-implies-terminated:*

```

  assumes cdclW S S'
  and conflicting S = Some {#}
  shows False
  using assms by (induct rule: cdclW-all-induct) auto

```

19.3.10 No tautology is learned

This is a simple consequence of all we have shown previously. It is not strictly necessary, but helps finding a better bound on the number of learned clauses.

lemma *learned-clss-are-not-tautologies*:
assumes
cdcl_W S S' and
lev: cdcl_W-M-level-inv S and
conflicting: cdcl_W-conflicting S and
no-tauto: $\forall s \in \# \text{ learned-clss } S. \neg \text{tautology } s$
shows $\forall s \in \# \text{ learned-clss } S'. \neg \text{tautology } s$
using *assms*
proof (*induct rule: cdcl_W-all-induct-lev2*)
case (*backtrack K i M1 M2 L D T*) **note** *confl = this(1)*
have *consistent-interp (lits-of-l (trail S))* **using** *lev* **by** (*auto simp: cdcl_W-M-level-inv-decomp*)
moreover
have *trail S \models_{as} CNot (mset-ccls D)*
using *conflicting confl unfolding cdcl_W-conflicting-def* **by** *auto*
then have *lits-of-l (trail S) \models_s CNot (mset-ccls D)*
using *true-annots-true-cls* **by** *blast*
ultimately have $\neg \text{tautology (mset-ccls D)}$ **using** *consistent-CNot-not-tautology* **by** *blast*
then show *?case* **using** *backtrack no-tauto lev*
by (*auto simp: cdcl_W-M-level-inv-decomp split: if-split-asm*)
next
case *restart*
then show *?case* **using** *learned-clss-restart-state state-eq-learned-clss no-tauto*
by (*metis (no-types, lifting) set-mset-mono subsetCE*)
qed (*auto dest!: in-diffD*)

definition *final-cdcl_W-state* (*S:: 'st*)
 $\longleftrightarrow (\text{trail } S \models_{asm} \text{init-clss } S$
 $\vee ((\forall L \in \text{set } (\text{trail } S). \neg \text{is-marked } L) \wedge$
 $(\exists C \in \# \text{ init-clss } S. \text{trail } S \models_{as} \text{CNot } C)))$

definition *termination-cdcl_W-state* (*S:: 'st*)
 $\longleftrightarrow (\text{trail } S \models_{asm} \text{init-clss } S$
 $\vee ((\forall L \in \text{atms-of-mm } (\text{init-clss } S). L \in \text{atm-of ' lits-of-l } (\text{trail } S))$
 $\wedge (\exists C \in \# \text{ init-clss } S. \text{trail } S \models_{as} \text{CNot } C)))$

19.4 CDCL Strong Completeness

fun *mapi* :: (*'a* \Rightarrow *nat* \Rightarrow *'b*) \Rightarrow *nat* \Rightarrow *'a list* \Rightarrow *'b list* **where**
mapi - - [] = [] |
mapi *f n* (*x* # *xs*) = *f x n* # *mapi f (n - 1) xs*

lemma *mark-not-in-set-mapi[simp]*: $L \notin \text{set } M \Longrightarrow \text{Marked } L \ k \notin \text{set } (\text{mapi } \text{Marked } i \ M)$
by (*induct M arbitrary: i*) *auto*

lemma *propagated-not-in-set-mapi[simp]*: $L \notin \text{set } M \Longrightarrow \text{Propagated } L \ k \notin \text{set } (\text{mapi } \text{Marked } i \ M)$
by (*induct M arbitrary: i*) *auto*

lemma *image-set-mapi*:
 $f \text{ ' set } (\text{mapi } g \ i \ M) = \text{set } (\text{mapi } (\lambda x \ i. f \ (g \ x \ i)) \ i \ M)$
by (*induction M arbitrary: i*) *auto*

lemma *mapi-map-convert*:
 $\forall x \ i \ j. f \ x \ i = f \ x \ j \Longrightarrow \text{mapi } f \ i \ M = \text{map } (\lambda x. f \ x \ 0) \ M$
by (*induction M arbitrary: i*) *auto*

lemma *defined-lit-mapi*: $\text{defined-lit } (\text{mapi } \text{Marked } i \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{atm-of ' set } M$

by (induction M) (auto simp: defined-lit-map image-set-mapi mapi-map-convert)

lemma *cdcl_W-can-do-step*:

assumes
consistent-interp (set M) and
distinct M and
atm-of ‘ (set M) \subseteq *atms-of-mm* (mset-clss N)
shows $\exists S. \text{rtrancp } \text{cdcl}_W \text{ (init-state N) } S$
 $\wedge \text{state } S = (\text{mapi Marked (length M) } M, \text{mset-clss N, } \{\#\}, \text{length M, None})$
using *assms*

proof (induct M)
case Nil
then show ?case **apply** – **by** (rule *exI*[of - init-state N]) *auto*

next
case (Cons L M) **note** *IH* = *this*(1)
have *consistent-interp* (set M) and *distinct* M and *atm-of* ‘ set M \subseteq *atms-of-mm* (mset-clss N)
using *Cons.prem*s(1–3) **unfolding** *consistent-interp-def* **by** *auto*
then obtain S **where**
st: *cdcl_W*** (init-state N) S and
S: state S = (mapi Marked (length M) M, mset-clss N, {#}, length M, None)
using *IH* **by** *blast*
let ?S₀ = *incr-lvl* (cons-trail (Marked L (length M + 1)) S)
have *undefined-lit* (mapi Marked (length M) M) L
using *Cons.prem*s(1,2) **unfolding** *defined-lit-def* *consistent-interp-def* **by** *fastforce*
moreover have *init-clss* S = mset-clss N
using S **by** *blast*
moreover have *atm-of* L \in *atms-of-mm* (mset-clss N) **using** *Cons.prem*s(3) **by** *auto*
moreover have *undef*: *undefined-lit* (trail S) L
using S \langle distinct (L#M) \rangle *calculation*(1) **by** (auto simp: *defined-lit-mapi* *defined-lit-map*)
ultimately have *cdcl_W* S ?S₀
using *cdcl_W.other*[OF *cdcl_W-o.decide*[OF *decide-rule*[of S L ?S₀]]] S
by (auto simp: *state-eq-def* *simp del*: *state-simp*)
then have *cdcl_W*** (init-state N) ?S₀
using *st* **by** *auto*
then show ?case
using S *undef* **by** (auto *intro!*: *exI*[of - ?S₀] *del*: *simp del*:)

qed

lemma *cdcl_W-strong-completeness*:

assumes
MN: set M \models_{sm} mset-clss N and
cons: *consistent-interp* (set M) and
dist: *distinct* M and
atm: *atm-of* ‘ (set M) \subseteq *atms-of-mm* (mset-clss N)
obtains S **where**
state S = (mapi Marked (length M) M, mset-clss N, {#}, length M, None) and
rtrancp *cdcl_W* (init-state N) S and
final-cdcl_W-state S

proof –
obtain S **where**
st: *rtrancp* *cdcl_W* (init-state N) S and
S: state S = (mapi Marked (length M) M, mset-clss N, {#}, length M, None)
using *cdcl_W-can-do-step*[OF *cons* *dist* *atm*] **by** *auto*
have *lits-of-l* (mapi Marked (length M) M) = set M
by (induct M, *auto*)

```

then have mapi Marked (length M) M  $\models_{asm}$  mset-cls N using MN true-annots-true-cls by metis
then have final-cdclW-state S
  using S unfolding final-cdclW-state-def by auto
then show ?thesis using that st S by blast
qed

```

19.5 Higher level strategy

The rules described previously do not lead to a conclusive state. We have to add a strategy.

19.5.1 Definition

```

lemma tranclp-conflict:
  tranclp conflict S S'  $\implies$  conflict S S'
  apply (induct rule: tranclp.induct)
  apply simp
  by (metis conflictE conflicting-update-conflicting option.distinct(1) option.simps(8,9)
      state-eq-conflicting)

lemma tranclp-conflict-iff[iff]:
  full1 conflict S S'  $\longleftrightarrow$  conflict S S'
proof -
  have tranclp conflict S S'  $\implies$  conflict S S' by (meson tranclp-conflict rtranclpD)
  then show ?thesis unfolding full1-def
  by (metis conflict.simps conflicting-update-conflicting option.distinct(1) option.simps(9)
      state-eq-conflicting tranclp.intros(1))
qed

```

```

inductive cdclW-cp :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  conflict'[intro]: conflict S S'  $\implies$  cdclW-cp S S' |
  propagate': propagate S S'  $\implies$  cdclW-cp S S'

```

```

lemma rtranclp-cdclW-cp-rtranclp-cdclW:
  cdclW-cp** S T  $\implies$  cdclW** S T
  by (induction rule: rtranclp-induct) (auto simp: cdclW-cp.simps dest: cdclW.intros)

```

```

lemma cdclW-cp-state-eq-compatible:
  assumes
    cdclW-cp S T and
    S  $\sim$  S' and
    T  $\sim$  T'
  shows cdclW-cp S' T'
  using assms
  apply (induction)
  using conflict-state-eq-compatible apply auto[1]
  using propagate' propagate-state-eq-compatible by auto

```

```

lemma tranclp-cdclW-cp-state-eq-compatible:
  assumes
    cdclW-cp** S T and
    S  $\sim$  S' and
    T  $\sim$  T'
  shows cdclW-cp** S' T'
  using assms
proof induction

```

```

case base
then show ?case
  using cdclW-cp-state-eq-compatible by blast
next
case (step U V)
obtain ss :: 'st where
  cdclW-cp S ss  $\wedge$  cdclW-cp** ss U
  by (metis (no-types) step(1) tranclpD)
then show ?case
  by (meson cdclW-cp-state-eq-compatible rtranclp.rtrancl-into-rtrancl rtranclp-into-tranclp2
    state-eq-ref step(2) step(4) step(5))
qed

```

```

lemma option-full-cdclW-cp:
  conflicting S  $\neq$  None  $\implies$  full cdclW-cp S S
  unfolding full-def rtranclp-unfold tranclp-unfold
  by (auto simp add: cdclW-cp.simps elim: conflictE propagateE)

```

```

lemma skip-unique:
  skip S T  $\implies$  skip S T'  $\implies$  T  $\sim$  T'
  by (fastforce simp: state-eq-def simp del: state-simp elim: skipE)

```

```

lemma resolve-unique:
  resolve S T  $\implies$  resolve S T'  $\implies$  T  $\sim$  T'
  by (fastforce simp: state-eq-def simp del: state-simp elim: resolveE)

```

```

lemma cdclW-cp-no-more-clauses:
  assumes cdclW-cp S S'
  shows clauses S = clauses S'
  using assms by (induct rule: cdclW-cp.induct) (auto elim!: conflictE propagateE)

```

```

lemma tranclp-cdclW-cp-no-more-clauses:
  assumes cdclW-cp++ S S'
  shows clauses S = clauses S'
  using assms by (induct rule: tranclp.induct) (auto dest: cdclW-cp-no-more-clauses)

```

```

lemma rtranclp-cdclW-cp-no-more-clauses:
  assumes cdclW-cp** S S'
  shows clauses S = clauses S'
  using assms by (induct rule: rtranclp-induct) (fastforce dest: cdclW-cp-no-more-clauses)+

```

```

lemma no-conflict-after-conflict:
  conflict S T  $\implies$   $\neg$ conflict T U
  by (metis None-eq-map-option-iff conflictE conflicting-update-conflicting option.distinct(1)
    state-simp(5))

```

```

lemma no-propagate-after-conflict:
  conflict S T  $\implies$   $\neg$ propagate T U
  by (metis conflictE conflicting-update-conflicting map-option-is-None option.distinct(1)
    propagate.cases state-eq-conflicting)

```

```

lemma tranclp-cdclW-cp-propagate-with-conflict-or-not:
  assumes cdclW-cp++ S U
  shows (propagate++ S U  $\wedge$  conflicting U = None)
     $\vee$  ( $\exists$  T D. propagate** S T  $\wedge$  conflict T U  $\wedge$  conflicting U = Some D)

```

proof –

have $\text{propagate}^{++} S U \vee (\exists T. \text{propagate}^{**} S T \wedge \text{conflict} T U)$
using *assms* **by** *induction*
(force simp: cdcl_W-cp.simps tranclp-into-rtranclp dest: no-conflict-after-conflict
no-propagate-after-conflict)+
moreover
have $\text{propagate}^{++} S U \implies \text{conflicting} U = \text{None}$
unfolding *tranclp-unfold-end* **by** *(auto elim!: propagateE)*
moreover
have $\bigwedge T. \text{conflict} T U \implies \exists D. \text{conflicting} U = \text{Some } D$
by *(auto elim!: conflictE simp: state-eq-def simp del: state-simp)*
ultimately show *?thesis* **by** *meson*

qed

lemma *cdcl_W-cp-conflicting-not-empty[simp]: conflicting S = Some D $\implies \neg \text{cdcl}_W\text{-cp } S S'$*

proof

assume *cdcl_W-cp S S'* **and** *conflicting S = Some D*
then show *False* **by** *(induct rule: cdcl_W-cp.induct)*
(auto elim: conflictE propagateE simp: state-eq-def simp del: state-simp)

qed

lemma *no-step-cdcl_W-cp-no-conflict-no-propagate:*

assumes *no-step cdcl_W-cp S*
shows *no-step conflict S and no-step propagate S*
using *assms conflict'* **apply** *blast*
by *(meson assms conflict' propagate')*

CDCL with the reasonable strategy: we fully propagate the conflict and propagate, then we apply any other possible rule *cdcl_W-o S S'* and re-apply conflict and propagate *full cdcl_W-cp S' S''*

inductive *cdcl_W-stgy* :: *'st \Rightarrow 'st \Rightarrow bool* **for** *S :: 'st* **where**

conflict': full1 cdcl_W-cp S S' \implies cdcl_W-stgy S S' |
other': cdcl_W-o S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S'' \implies cdcl_W-stgy S S''

19.5.2 Invariants

These are the same invariants as before, but lifted

lemma *cdcl_W-cp-learned-clause-inv:*

assumes *cdcl_W-cp S S'*
shows *learned-clss S = learned-clss S'*
using *assms* **by** *(induct rule: cdcl_W-cp.induct) (fastforce elim: conflictE propagateE)+*

lemma *rtranclp-cdcl_W-cp-learned-clause-inv:*

assumes *cdcl_W-cp^{**} S S'*
shows *learned-clss S = learned-clss S'*
using *assms* **by** *(induct rule: rtranclp-induct) (fastforce dest: cdcl_W-cp-learned-clause-inv)+*

lemma *tranclp-cdcl_W-cp-learned-clause-inv:*

assumes *cdcl_W-cp⁺⁺ S S'*
shows *learned-clss S = learned-clss S'*
using *assms* **by** *(simp add: rtranclp-cdcl_W-cp-learned-clause-inv tranclp-into-rtranclp)*

lemma *cdcl_W-cp-backtrack-lvl:*

assumes *cdcl_W-cp S S'*
shows *backtrack-lvl S = backtrack-lvl S'*

```

using assms by (induct rule: cdclW-cp.induct) (fastforce elim: conflictE propagateE)+

lemma rtrancpl-cdclW-cp-backtrack-lvl:
  assumes cdclW-cp** S S'
  shows backtrack-lvl S = backtrack-lvl S'
  using assms by (induct rule: rtrancpl-induct) (fastforce dest: cdclW-cp-backtrack-lvl)+

lemma cdclW-cp-consistent-inv:
  assumes cdclW-cp S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms
proof (induct rule: cdclW-cp.induct)
  case (conflict')
  then show ?case using cdclW-consistent-inv cdclW.conflict by blast
next
  case (propagate' S S')
  have cdclW S S'
    using propagate'.hyps(1) propagate by blast
  then show cdclW-M-level-inv S'
    using propagate'.prems(1) cdclW-consistent-inv propagate by blast
qed

lemma full1-cdclW-cp-consistent-inv:
  assumes full1 cdclW-cp S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms unfolding full1-def
  by (metis rtrancpl-cdclW-cp-rtrancpl-cdclW rtrancpl-unfold trancpl-cdclW-consistent-inv)

lemma rtrancpl-cdclW-cp-consistent-inv:
  assumes rtrancpl cdclW-cp S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms unfolding full1-def
  by (induction rule: rtrancpl-induct) (blast intro: cdclW-cp-consistent-inv)+

lemma cdclW-stgy-consistent-inv:
  assumes cdclW-stgy S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms apply (induct rule: cdclW-stgy.induct)
  unfolding full-unfold by (blast intro: cdclW-consistent-inv full1-cdclW-cp-consistent-inv
    cdclW.other)+

lemma rtrancpl-cdclW-stgy-consistent-inv:
  assumes cdclW-stgy** S S'
  and cdclW-M-level-inv S
  shows cdclW-M-level-inv S'
  using assms by induction (auto dest!: cdclW-stgy-consistent-inv)

lemma cdclW-cp-no-more-init-clss:
  assumes cdclW-cp S S'
  shows init-clss S = init-clss S'
  using assms by (induct rule: cdclW-cp.induct) (auto elim: conflictE propagateE)

```

lemma *trancpl-cdcl_W-cp-no-more-init-clss*:
assumes *cdcl_W-cp⁺⁺ S S'*
shows *init-clss S = init-clss S'*
using *assms* **by** (*induct rule: trancpl.induct*) (*auto dest: cdcl_W-cp-no-more-init-clss*)

lemma *cdcl_W-stgy-no-more-init-clss*:
assumes *cdcl_W-stgy S S' and cdcl_W-M-level-inv S*
shows *init-clss S = init-clss S'*
using *assms*
apply (*induct rule: cdcl_W-stgy.induct*)
unfolding *full1-def full-def* **apply** (*blast dest: trancpl-cdcl_W-cp-no-more-init-clss*
trancpl-cdcl_W-o-no-more-init-clss)
by (*metis cdcl_W-o-no-more-init-clss rtrancpl-unfold trancpl-cdcl_W-cp-no-more-init-clss*)

lemma *rtrancpl-cdcl_W-stgy-no-more-init-clss*:
assumes *cdcl_W-stgy^{**} S S' and cdcl_W-M-level-inv S*
shows *init-clss S = init-clss S'*
using *assms*
apply (*induct rule: rtrancpl-induct, simp*)
using *cdcl_W-stgy-no-more-init-clss* **by** (*simp add: rtrancpl-cdcl_W-stgy-consistent-inv*)

lemma *cdcl_W-cp-dropWhile-trail'*:
assumes *cdcl_W-cp S S'*
obtains *M where trail S' = M @ trail S and (∀ l ∈ set M. ¬is-marked l)*
using *assms* **by** *induction (fastforce elim: conflictE propagateE)+*

lemma *rtrancpl-cdcl_W-cp-dropWhile-trail'*:
assumes *cdcl_W-cp^{**} S S'*
obtains *M :: ('v, nat, 'v clause) marked-lit list where*
trail S' = M @ trail S and ∀ l ∈ set M. ¬is-marked l
using *assms* **by** *induction (fastforce dest!: cdcl_W-cp-dropWhile-trail')+*

lemma *cdcl_W-cp-dropWhile-trail*:
assumes *cdcl_W-cp S S'*
shows *∃ M. trail S' = M @ trail S ∧ (∀ l ∈ set M. ¬is-marked l)*
using *assms* **by** *induction (fastforce elim: conflictE propagateE)+*

lemma *rtrancpl-cdcl_W-cp-dropWhile-trail*:
assumes *cdcl_W-cp^{**} S S'*
shows *∃ M. trail S' = M @ trail S ∧ (∀ l ∈ set M. ¬is-marked l)*
using *assms* **by** *induction (fastforce dest: cdcl_W-cp-dropWhile-trail)+*

This theorem can be seen as a termination theorem for *cdcl_W-cp*.

lemma *length-model-le-vars*:
assumes
no-strange-atm S and
no-d: no-dup (trail S) and
finite (atms-of-mm (init-clss S))
shows *length (trail S) ≤ card (atms-of-mm (init-clss S))*

proof –
obtain *M N U k D where S: state S = (M, N, U, k, D)* **by** (*cases state S, auto*)
have *finite (atm-of ' lits-of-l (trail S))*
using *assms(1,3)* **unfolding** *S* **by** (*auto simp add: finite-subset*)
have *length (trail S) = card (atm-of ' lits-of-l (trail S))*

```

    using no-dup-length-eq-card-atm-of-lits-of-l no-d by blast
  then show ?thesis using assms(1) unfolding no-strange-atm-def
  by (auto simp add: assms(3) card-mono)
qed

```

lemma *cdcl_W-cp-decreasing-measure:*

```

  assumes
    cdclW: cdclW-cp S T and
    M-lev: cdclW-M-level-inv S and
    alien: no-strange-atm S
  shows (λS. card (atms-of-mm (init-clss S)) - length (trail S)
    + (if conflicting S = None then 1 else 0)) S
    > (λS. card (atms-of-mm (init-clss S)) - length (trail S)
    + (if conflicting S = None then 1 else 0)) T
  using assms
proof -
  have length (trail T) ≤ card (atms-of-mm (init-clss T))
  apply (rule length-model-le-vars)
    using cdclW-no-strange-atm-inv alien M-lev apply (meson cdclW cdclW.simps cdclW-cp.cases)
    using M-lev cdclW cdclW-cp-consistent-inv cdclW-M-level-inv-def apply blast
    using cdclW by (auto simp: cdclW-cp.simps)
  with assms
  show ?thesis by induction (auto elim!: conflictE propagateE
    simp del: state-simp simp: state-eq-def)+
qed

```

lemma *cdcl_W-cp-wf:* wf {(*b,a*). (cdcl_W-M-level-inv *a* ∧ no-strange-atm *a*)
 ∧ cdcl_W-cp *a b*}

```

  apply (rule wf-wf-if-measure'[of less-than - -
    (λS. card (atms-of-mm (init-clss S)) - length (trail S)
    + (if conflicting S = None then 1 else 0))])
  apply simp
  using cdclW-cp-decreasing-measure unfolding less-than-iff by blast

```

lemma *rtranclp-cdcl_W-all-struct-inv-cdcl_W-cp-iff-rtranclp-cdcl_W-cp:*

```

  assumes
    lev: cdclW-M-level-inv S and
    alien: no-strange-atm S
  shows (λa b. (cdclW-M-level-inv a ∧ no-strange-atm a) ∧ cdclW-cp a b)** S T
    ↔ cdclW-cp** S T
  (is ?I S T ↔ ?C S T)
proof
  assume
    ?I S T
  then show ?C S T by induction auto
next
  assume
    ?C S T
  then show ?I S T
  proof induction
    case base
    then show ?case by simp
  next
    case (step T U) note st = this(1) and cp = this(2) and IH = this(3)
    have cdclW** S T

```



```

    by (metis rtrancpl-unfold cdclW-cp-conflicting-not-empty cp st
      rtrancpl-propagate-is-rtrancpl-cdclW rtrancpl-cdclW-cp-propagate-with-conflict-or-not)
  then have
    cdclW-M-level-inv T and
    no-strange-atm T
    using ⟨cdclW** S T⟩ apply (simp add: assms(1) rtrancpl-cdclW-consistent-inv)
    using ⟨cdclW** S T⟩ alien rtrancpl-cdclW-no-strange-atm-inv lev by blast
  then have (λa b. (cdclW-M-level-inv a ∧ no-strange-atm a)
    ∧ cdclW-cp a b)** T U
    using cp by auto
  then show ?case using IH by auto
qed
qed

lemma cdclW-cp-normalized-element:
  assumes
    lev: cdclW-M-level-inv S and
    no-strange-atm S
  obtains T where full cdclW-cp S T
proof -
  let ?inv = λa. (cdclW-M-level-inv a ∧ no-strange-atm a)
  obtain T where T: full (λa b. ?inv a ∧ cdclW-cp a b) S T
  using cdclW-cp-wf wf-exists-normal-form[of λa b. ?inv a ∧ cdclW-cp a b]
  unfolding full-def by blast
  then have cdclW-cp** S T
    using rtrancpl-cdclW-all-struct-inv-cdclW-cp-iff-rtrancpl-cdclW-cp assms unfolding full-def
    by blast
  moreover
  then have cdclW** S T
    using rtrancpl-cdclW-cp-rtrancpl-cdclW by blast
  then have
    cdclW-M-level-inv T and
    no-strange-atm T
    using ⟨cdclW** S T⟩ apply (simp add: assms(1) rtrancpl-cdclW-consistent-inv)
    using ⟨cdclW** S T⟩ assms(2) rtrancpl-cdclW-no-strange-atm-inv lev by blast
  then have no-step cdclW-cp T
    using T unfolding full-def by auto
  ultimately show thesis using that unfolding full-def by blast
qed

lemma always-exists-full-cdclW-cp-step:
  assumes no-strange-atm S
  shows ∃ S''. full cdclW-cp S S''
  using assms
proof (induct card (atms-of-mm (init-clss S) - atm-of 'lits-of-l (trail S)) arbitrary: S)
  case 0 note card = this(1) and alien = this(2)
  then have atm: atms-of-mm (init-clss S) = atm-of 'lits-of-l (trail S)
    unfolding no-strange-atm-def by auto
  { assume a: ∃ S'. conflict S S'
    then obtain S' where S': conflict S S' by metis
    then have ∀ S''. ¬cdclW-cp S' S''
      by (auto simp: cdclW-cp.simps elim!: conflictE propagateE
        simp del: state-simp simp: state-eq-def)
    then have ?case using a S' cdclW-cp.conflict' unfolding full-def by blast
  }
}

```

```

moreover {
  assume  $a: \exists S'. \text{propagate } S S'$ 
  then obtain  $S'$  where  $\text{propagate } S S'$  by  $\text{blast}$ 
  then obtain  $E L$  where
     $S: \text{conflicting } S = \text{None}$  and
     $E: E \notin \text{raw-clauses } S$  and
     $LE: L \in \# \text{ mset-cls } E$  and
     $tr: \text{trail } S \models_{as} CNot (\text{mset-cls } (\text{remove-lit } L E))$  and
     $undef: \text{undefined-lit } (\text{trail } S) L$  and
     $S': S' \sim \text{cons-trail } (\text{Propagated } L E) S$ 
    by  $(\text{elim propagateE}) \text{ simp}$ 
  have  $\text{atms-of-mm } (\text{learned-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S)$ 
    using  $\text{alien } S$  unfolding  $\text{no-strange-atm-def}$  by  $\text{auto}$ 
  then have  $\text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S)$ 
    using  $E LE S undef$  unfolding  $\text{raw-clauses-def}$  by  $(\text{force simp: in-implies-atm-of-on-atms-of-ms})$ 
  then have  $\text{False}$  using  $undef S$  unfolding  $\text{atm unfolding lits-of-def}$ 
    by  $(\text{auto simp add: defined-lit-map})$ 
}
ultimately show  $?case$  unfolding  $\text{full-def}$  by  $(\text{metis cdcl}_W\text{-cp.cases rtranclp.rtrancl-refl})$ 
next
case  $(\text{Suc } n)$  note  $IH = \text{this}(1)$  and  $\text{card} = \text{this}(2)$  and  $\text{alien} = \text{this}(3)$ 
{ assume  $a: \exists S'. \text{conflict } S S'$ 
  then obtain  $S'$  where  $S': \text{conflict } S S'$  by  $\text{metis}$ 
  then have  $\forall S''. \neg \text{cdcl}_W\text{-cp } S' S''$ 
    by  $(\text{auto simp: cdcl}_W\text{-cp.simps elim!: conflictE propagateE}$ 
       $\text{simp del: state-simp simp: state-eq-def})$ 
  then have  $?case$  unfolding  $\text{full-def Ex-def}$  using  $S' \text{cdcl}_W\text{-cp.conflict'}$  by  $\text{blast}$ 
}
moreover {
  assume  $a: \exists S'. \text{propagate } S S'$ 
  then obtain  $S'$  where  $\text{propagate: propagate } S S'$  by  $\text{blast}$ 
  then obtain  $E L$  where
     $S: \text{conflicting } S = \text{None}$  and
     $E: E \notin \text{raw-clauses } S$  and
     $LE: L \in \# \text{ mset-cls } E$  and
     $tr: \text{trail } S \models_{as} CNot (\text{mset-cls } (\text{remove-lit } L E))$  and
     $undef: \text{undefined-lit } (\text{trail } S) L$  and
     $S': S' \sim \text{cons-trail } (\text{Propagated } L E) S$ 
    by  $(\text{elim propagateE}) \text{ simp}$ 
  then have  $\text{atm-of } L \notin \text{atm-of ' lits-of-l } (\text{trail } S)$ 
    unfolding  $\text{lits-of-def}$  by  $(\text{auto simp add: defined-lit-map})$ 
  moreover
    have  $\text{no-strange-atm } S'$  using  $\text{alien propagate propagate-no-strange-atm-inv}$  by  $\text{blast}$ 
    then have  $\text{atm-of } L \in \text{atms-of-mm } (\text{init-clss } S)$ 
      using  $S' LE E undef$  unfolding  $\text{no-strange-atm-def}$ 
      by  $(\text{auto simp: raw-clauses-def in-implies-atm-of-on-atms-of-ms})$ 
    then have  $\bigwedge A. \{\text{atm-of } L\} \subseteq \text{atms-of-mm } (\text{init-clss } S) - A \vee \text{atm-of } L \in A$  by  $\text{force}$ 
  moreover have  $\text{Suc } n - \text{card } \{\text{atm-of } L\} = n$  by  $\text{simp}$ 
  moreover have  $\text{card } (\text{atms-of-mm } (\text{init-clss } S) - \text{atm-of ' lits-of-l } (\text{trail } S)) = \text{Suc } n$ 
    using  $\text{card } S S' \text{by simp}$ 
  ultimately
    have  $\text{card } (\text{atms-of-mm } (\text{init-clss } S) - \text{atm-of ' insert } L (\text{lits-of-l } (\text{trail } S))) = n$ 
      by  $(\text{metis (no-types) Diff-insert card-Diff-subset finite.emptyI finite.insertI image-insert})$ 
    then have  $n = \text{card } (\text{atms-of-mm } (\text{init-clss } S') - \text{atm-of ' lits-of-l } (\text{trail } S'))$ 
      using  $\text{card } S S' undef \text{by simp}$ 

```

```

then have a1: Ex (full cdclW-cp S') using IH ⟨no-strange-atm S'⟩ by blast
have ?case
proof -
  obtain S'' :: 'st where
    ff1: cdclW-cp** S' S'' ∧ no-step cdclW-cp S''
    using a1 unfolding full-def by blast
  have cdclW-cp** S S''
    using ff1 cdclW-cp.intros(2)[OF propagate]
    by (metis (no-types) converse-rtranclp-into-rtranclp)
  then have ∃ S''. cdclW-cp** S S'' ∧ (∀ S'''. ¬ cdclW-cp S'' S''')
    using ff1 by blast
  then show ?thesis unfolding full-def
    by meson
qed
}
ultimately show ?case unfolding full-def by (metis cdclW-cp.cases rtranclp.rtrancl-refl)
qed

```

19.5.3 Literal of highest level in conflicting clauses

One important property of the $cdcl_W$ with strategy is that, whenever a conflict takes place, there is at least a literal of level k involved (except if we have derived the false clause). The reason is that we apply conflicts before a decision is taken.

abbreviation $no_clause_is_false :: 'st \Rightarrow bool$ **where**

$no_clause_is_false \equiv$

$\lambda S. (conflicting\ S = None \longrightarrow (\forall D \in \# \text{ clauses } S. \neg trail\ S \models_{as} CNot\ D))$

abbreviation $conflict_is_false_with_level :: 'st \Rightarrow bool$ **where**

$conflict_is_false_with_level\ S \equiv \forall D. conflicting\ S = Some\ D \longrightarrow D \neq \{\#\}$

$\longrightarrow (\exists L \in \# D. get_level\ (trail\ S)\ L = backtrack_lvl\ S)$

lemma $not_conflict_not_any_negated_init_clss$:

assumes $\forall S'. \neg conflict\ S\ S'$

shows $no_clause_is_false\ S$

proof (clarify)

fix D

assume $D \in \# local.clauses\ S$ **and** $raw_conflicting\ S = None$ **and** $trail\ S \models_{as} CNot\ D$

moreover then obtain D' **where**

$mset_cls\ D' = D$ **and**

$D' \not\in \# raw_clauses\ S$

using $in_mset_clss_exists_preimage$ **unfolding** $raw_clauses_def$ **by** $blast$

ultimately show $False$

using $conflict_rule[of\ S\ D'\ update_conflicting\ (Some\ (ccls_of_cls\ D'))\ S]$ $assms$

by $auto$

qed

lemma $full_cdcl_W_cp_not_any_negated_init_clss$:

assumes $full\ cdcl_W_cp\ S\ S'$

shows $no_clause_is_false\ S'$

using $assms\ not_conflict_not_any_negated_init_clss$ **unfolding** $full_def$ **by** $auto$

lemma $full1_cdcl_W_cp_not_any_negated_init_clss$:

assumes $full1\ cdcl_W_cp\ S\ S'$

shows $no_clause_is_false\ S'$

using $assms\ not_conflict_not_any_negated_init_clss$ **unfolding** $full1_def$ **by** $auto$

```

lemma cdclW-stgy-not-non-negated-init-clss:
  assumes cdclW-stgy  $S$   $S'$ 
  shows no-clause-is-false  $S'$ 
  using assms apply (induct rule: cdclW-stgy.induct)
  using full1-cdclW-cp-not-any-negated-init-clss full-cdclW-cp-not-any-negated-init-clss by metis+

lemma rtrancp-cdclW-stgy-not-non-negated-init-clss:
  assumes cdclW-stgy**  $S$   $S'$  and no-clause-is-false  $S$ 
  shows no-clause-is-false  $S'$ 
  using assms by (induct rule: rtrancp-induct) (auto simp: cdclW-stgy-not-non-negated-init-clss)

lemma cdclW-stgy-conflict-ex-lit-of-max-level:
  assumes cdclW-cp  $S$   $S'$ 
  and no-clause-is-false  $S$ 
  and cdclW-M-level-inv  $S$ 
  shows conflict-is-false-with-level  $S'$ 
  using assms
proof (induct rule: cdclW-cp.induct)
  case conflict'
  then show ?case by (auto elim: conflictE)
next
  case propagate'
  then show ?case by (auto elim: propagateE)
qed

lemma no-chained-conflict:
  assumes conflict  $S$   $S'$ 
  and conflict  $S'$   $S''$ 
  shows False
  using assms unfolding conflict.simps
  by (metis conflicting-update-conflicting option.distinct(1) option.simps(9) state-eq-conflicting)

lemma rtrancp-cdclW-cp-propa-or-propa-confl:
  assumes cdclW-cp**  $S$   $U$ 
  shows propagate**  $S$   $U \vee (\exists T. \text{propagate** } S \ T \wedge \text{conflict } T \ U)$ 
  using assms
proof induction
  case base
  then show ?case by auto
next
  case (step  $U \ V$ ) note  $SU = \text{this}(1)$  and  $UV = \text{this}(2)$  and  $IH = \text{this}(3)$ 
  consider (confl)  $T$  where propagate**  $S$   $T$  and conflict  $T$   $U$ 
  | (propa) propagate**  $S$   $U$  using  $IH$  by auto
  then show ?case
  proof cases
  case confl
  then have False using  $UV$  by (auto elim: conflictE)
  then show ?thesis by fast
next
  case propa
  also have conflict  $U \ V \vee \text{propagate } U \ V$  using  $UV$  by (auto simp add: cdclW-cp.simps)
  ultimately show ?thesis by force
qed
qed

```

```

lemma rtranclp-cdclW-co-conflict-ex-lit-of-max-level:
  assumes full: full cdclW-cp S U
  and cls-f: no-clause-is-false S
  and conflict-is-false-with-level S
  and lev: cdclW-M-level-inv S
  shows conflict-is-false-with-level U
proof (intro allI impI)
  fix D
  assume
    confl: conflicting U = Some D and
    D: D ≠ {#}
  consider (CT) conflicting S = None | (SD) D' where conflicting S = Some D'
  by (cases conflicting S) auto
  then show  $\exists L \in \#D. \text{get-level}(\text{trail } U) L = \text{backtrack-lvl } U$ 
  proof cases
    case SD
    then have S = U
    by (metis (no-types) assms(1) cdclW-cp-conflicting-not-empty full-def rtranclpD tranclpD)
    then show ?thesis using assms(3) confl D by blast-
  next
    case CT
    have init-clss U = init-clss S and learned-clss U = learned-clss S
    using full unfolding full-def
    apply (metis (no-types) rtranclpD tranclp-cdclW-cp-no-more-init-clss)
    by (metis (mono-tags, lifting) full full-def rtranclp-cdclW-cp-learned-clause-inv)
    obtain T where propagate** S T and TU: conflict T U
    proof –
      have f5: U ≠ S
      using confl CT by force
      then have cdclW-cp++ S U
      by (metis full full-def rtranclpD)
      have  $\bigwedge p \text{ pa. } \neg \text{propagate } p \text{ pa} \vee \text{conflicting pa} =$ 
        (None::'v clause option)
      by (auto elim: propagateE)
      then show ?thesis
      using f5 that tranclp-cdclW-cp-propagate-with-conflict-or-not[OF (cdclW-cp++ S U)]
      full confl CT unfolding full-def by auto
    qed
    obtain D' where
      raw-conflicting T = None and
      D': D' !∈! raw-clauses T and
      tr: trail T  $\models_{\text{as}} \text{CNot } (\text{mset-cls } D')$  and
      U: U ~ update-conflicting (Some (ccls-of-cls D')) T
      using TU by (auto elim!: conflictE)
    have init-clss T = init-clss S and learned-clss T = learned-clss S
    using U (init-clss U = init-clss S) (learned-clss U = learned-clss S) by auto
    then have D ∈# clauses S
    using confl U D' by (auto simp: raw-clauses-def)
    then have  $\neg \text{trail } S \models_{\text{as}} \text{CNot } D$ 
    using cls-f CT by simp

  moreover
    obtain M where tr-U: trail U = M @ trail S and nm:  $\forall m \in \text{set } M. \neg \text{is-marked } m$ 
    by (metis (mono-tags, lifting) assms(1) full-def rtranclp-cdclW-cp-dropWhile-trail)

```

```

have trail U  $\models_{as}$  CNot D
  using tr confl U by (auto elim!: conflictE)
ultimately obtain L where L  $\in \#$  D and  $-L \in \text{lits-of-l } M$ 
  unfolding tr-U CNot-def true-annot-def Ball-def true-annot-def true-cl-def by force

moreover have inv-U: cdclW-M-level-inv U
  by (metis cdclW-stgy.conflict' cdclW-stgy-consistent-inv full full-unfold lev)
moreover
  have backtrack-lvl U = backtrack-lvl S
    using full unfolding full-def by (auto dest: rtranclp-cdclW-cp-backtrack-lvl)

moreover
  have no-dup (trail U)
    using inv-U unfolding cdclW-M-level-inv-def by auto
  { fix x :: ('v, nat, 'v clause) marked-lit and
    xb :: ('v, nat, 'v clause) marked-lit
    assume a1: atm-of L = atm-of (lit-of xb)
    moreover assume a2:  $-L = \text{lit-of } x$ 
    moreover assume a3: ( $\lambda l.$  atm-of (lit-of l)) 'set M
       $\cap (\lambda l.$  atm-of (lit-of l)) 'set (trail S) = {}
    moreover assume a4:  $x \in \text{set } M$ 
    moreover assume a5:  $xb \in \text{set } (\text{trail } S)$ 
    moreover have atm-of ( $-L$ ) = atm-of L
      by auto
    ultimately have False
      by auto
  }
  then have LS: atm-of L  $\notin$  atm-of 'lits-of-l (trail S)
    using  $\langle -L \in \text{lits-of-l } M \rangle \langle \text{no-dup } (\text{trail } U) \rangle$  unfolding tr-U lits-of-def by auto
ultimately have get-level (trail U) L = backtrack-lvl U
proof (cases get-all-levels-of-marked (trail S)  $\neq []$ , goal-cases)
  case 2 note LD = this(1) and LM = this(2) and inv-U = this(3) and US = this(4) and
    LS = this(5) and ne = this(6)
  have backtrack-lvl S = 0
    using lev ne unfolding cdclW-M-level-inv-def by auto
  moreover have get-rev-level (rev M) 0 L = 0
    using nm by auto
  ultimately show ?thesis using LS ne US unfolding tr-U
    by (simp add: get-all-levels-of-marked-nil-iff-not-is-marked lits-of-def)
next
  case 1 note LD = this(1) and LM = this(2) and inv-U = this(3) and US = this(4) and
    LS = this(5) and ne = this(6)

  have hd (get-all-levels-of-marked (trail S)) = backtrack-lvl S
    using ne lev unfolding cdclW-M-level-inv-def
    by (cases get-all-levels-of-marked (trail S)) auto
  moreover have atm-of L  $\in$  atm-of 'lits-of-l M
    using  $\langle -L \in \text{lits-of-l } M \rangle$  by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
      lits-of-def)
  ultimately show ?thesis
    using nm ne get-level-skip-beginning-hd-get-all-levels-of-marked[OF LS, of M]
      get-level-skip-in-all-not-marked[of rev M L backtrack-lvl S]
      unfolding lits-of-def US tr-U
      by auto
qed

```

then show $\exists L \in \# D. \text{get-level}(\text{trail } U) L = \text{backtrack-lvl } U$
using $\langle L \in \# D \rangle$ **by** *blast*
qed
qed

19.5.4 Literal of highest level in marked literals

definition *mark-is-false-with-level* :: 'st \Rightarrow bool **where**

mark-is-false-with-level $S' \equiv$

$\forall D M1 M2 L. M1 @ \text{Propagated } L D \# M2 = \text{trail } S' \longrightarrow D - \{\#L\# \} \neq \{\#\}$
 $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level}(\text{trail } S') L = \text{get-maximum-possible-level } M1)$

definition *no-more-propagation-to-do*:: 'st \Rightarrow bool **where**

no-more-propagation-to-do $S \equiv$

$\forall D M M' L. D + \{\#L\# \} \in \# \text{ clauses } S \longrightarrow \text{trail } S = M' @ M \longrightarrow M \models_{as} CNot D$
 $\longrightarrow \text{undefined-lit } M L \longrightarrow \text{get-maximum-possible-level } M < \text{backtrack-lvl } S$
 $\longrightarrow (\exists L. L \in \# D \wedge \text{get-level}(\text{trail } S) L = \text{get-maximum-possible-level } M)$

lemma *propagate-no-more-propagation-to-do*:

assumes *propagate*: *propagate* $S S'$
and H : *no-more-propagation-to-do* S
and *lev-inv*: *cdcl_W-M-level-inv* S
shows *no-more-propagation-to-do* S'
using *assms*

proof –

obtain $E L$ **where**

S : *conflicting* $S = None$ **and**
 E : $E ! \in !$ *raw-clauses* S **and**
 LE : $L \in \# \text{ mset-cls } E$ **and**
 tr : $\text{trail } S \models_{as} CNot (\text{mset-cls} (\text{remove-lit } L E))$ **and**
 $undefL$: *undefined-lit* $(\text{trail } S) L$ **and**
 S' : $S' \sim \text{cons-trail} (\text{Propagated } L E) S$
using *propagate* **by** $(\text{elim } \text{propagate } E) \text{ simp}$

let $?M' = \text{Propagated } L (\text{mset-cls } E) \# \text{trail } S$

show *?thesis unfolding no-more-propagation-to-do-def*

proof (intro allI impI)

fix $D M1 M2 L'$

assume

$D-L$: $D + \{\#L'\# \} \in \# \text{ clauses } S'$ **and**
 $\text{trail } S' = M2 @ M1$ **and**
 get-max : $\text{get-maximum-possible-level } M1 < \text{backtrack-lvl } S'$ **and**
 $M1 \models_{as} CNot D$ **and**
 $undef$: *undefined-lit* $M1 L'$

have $tl M2 @ M1 = \text{trail } S \vee (M2 = [] \wedge M1 = \text{Propagated } L (\text{mset-cls } E) \# \text{trail } S)$

using $\langle \text{trail } S' = M2 @ M1 \rangle S' S \text{ undefL lev-inv}$

by $(\text{cases } M2) (\text{auto simp:cdcl}_W\text{-M-level-inv-decomp})$

moreover {

assume $tl M2 @ M1 = \text{trail } S$

moreover **have** $D + \{\#L'\# \} \in \# \text{ clauses } S$

using $D-L S S' \text{ undefL unfolding raw-clauses-def}$ **by** *auto*

moreover **have** $\text{get-maximum-possible-level } M1 < \text{backtrack-lvl } S$

using $\text{get-max } S S' \text{ undefL}$ **by** *auto*

ultimately obtain L' **where** $L' \in \# D$ **and**

$\text{get-level}(\text{trail } S) L' = \text{get-maximum-possible-level } M1$

using $H \langle M1 \models_{as} CNot D \rangle \text{ undef unfolding no-more-propagation-to-do-def}$ **by** *metis*

moreover

```

{ have cdclW-M-level-inv S'
  using cdclW-consistent-inv lev-inv cdclW.propagate[OF propagate] by blast
  then have no-dup ?M' using S' undefL unfolding cdclW-M-level-inv-def by auto
  moreover
    have atm-of L' ∈ atm-of ' (lits-of-l M1)
      using ⟨L' ∈# D⟩ ⟨M1 ⊨as CNot D⟩ by (metis atm-of-uminus image-eqI
        in-CNot-implies-uminus(2))
    then have atm-of L' ∈ atm-of ' (lits-of-l (trail S))
      using ⟨tl M2 @ M1 = trail S⟩[symmetric] S undefL by auto
    ultimately have atm-of L ≠ atm-of L' unfolding lits-of-def by auto
  }
  ultimately have ∃ L' ∈# D. get-level (trail S') L' = get-maximum-possible-level M1
    using S S' undefL by auto
}
moreover {
  assume M2 = [] and M1: M1 = Propagated L (mset-cls E) # trail S
  have cdclW-M-level-inv S'
    using cdclW-consistent-inv[OF - lev-inv] cdclW.propagate[OF propagate] by blast
  then have get-all-levels-of-marked (trail S') = rev [Suc 0..<(Suc 0+backtrack-lvl S)]
    using S' undefL unfolding cdclW-M-level-inv-def by auto
  then have get-maximum-possible-level M1 = backtrack-lvl S'
    using get-maximum-possible-level-max-get-all-levels-of-marked[of M1] S' M1 undefL
    by (auto intro: Max-eqI)
  then have False using get-max by auto
}
ultimately show ∃ L. L ∈# D ∧ get-level (trail S') L = get-maximum-possible-level M1
  by fast
qed
qed

```

lemma *conflict-no-more-propagation-to-do*:

```

assumes
  conflict: conflict S S' and
  H: no-more-propagation-to-do S and
  M: cdclW-M-level-inv S
shows no-more-propagation-to-do S'
using assms unfolding no-more-propagation-to-do-def by (force elim!: conflictE)

```

lemma *cdcl_W-cp-no-more-propagation-to-do*:

```

assumes
  conflict: cdclW-cp S S' and
  H: no-more-propagation-to-do S and
  M: cdclW-M-level-inv S
shows no-more-propagation-to-do S'
using assms
proof (induct rule: cdclW-cp.induct)
case (conflict' S S')
then show ?case using conflict-no-more-propagation-to-do[of S S'] by blast
next
case (propagate' S S') note S = this
show 1: no-more-propagation-to-do S'
  using propagate-no-more-propagation-to-do[of S S'] S by blast
qed

```

lemma *cdcl_W-then-exists-cdcl_W-stgy-step*:

assumes
o: *cdcl_W-o* *S S'* **and**
alien: *no-strange-atm* *S* **and**
lev: *cdcl_W-M-level-inv* *S*
shows $\exists S'. \text{cdcl}_W\text{-stgy } S S'$
proof –
obtain *S''* **where** *full cdcl_W-cp* *S' S''*
using *always-exists-full-cdcl_W-cp-step alien cdcl_W-no-strange-atm-inv cdcl_W-o-no-more-init-clss*
o other lev **by** (*meson cdcl_W-consistent-inv*)
then show *?thesis*
using *assms* **by** (*metis always-exists-full-cdcl_W-cp-step cdcl_W-stgy.conflict' full-unfold other'*)
qed

lemma *backtrack-no-decomp*:

assumes
S: *raw-conflicting* *S* = *Some E* **and**
LE: *L* $\in \#$ *mset-ccls E* **and**
L: *get-level* (*trail S*) *L* = *backtrack-lvl S* **and**
D: *get-maximum-level* (*trail S*) (*remove1-mset L (mset-ccls E)*) < *backtrack-lvl S* **and**
bt: *backtrack-lvl S* = *get-maximum-level* (*trail S*) (*mset-ccls E*) **and**
M-L: *cdcl_W-M-level-inv* *S*
shows $\exists S'. \text{cdcl}_W\text{-o } S S'$
proof –
have *L-D*: *get-level* (*trail S*) *L* = *get-maximum-level* (*trail S*) (*mset-ccls E*)
using *L D bt* **by** (*simp add: get-maximum-level-plus*)
let *?i* = *get-maximum-level* (*trail S*) (*remove1-mset L (mset-ccls E)*)
obtain *K M1 M2* **where**
K: (*Marked K* (*?i* + 1) $\#$ *M1, M2*) \in *set (get-all-marked-decomposition (trail S))*
using *backtrack-ex-decomp[OF M-L, of ?i] D S* **by** *auto*
show *?thesis* **using** *backtrack-rule[OF S LE K L] bt L bj cdcl_W-bj.simps* **by** *auto*
qed

lemma *cdcl_W-stgy-final-state-conclusive*:

assumes
termi: $\forall S'. \neg \text{cdcl}_W\text{-stgy } S S'$ **and**
decomp: *all-decomposition-implies-m* (*init-clss S*) (*get-all-marked-decomposition (trail S)*) **and**
learned: *cdcl_W-learned-clause* *S* **and**
level-inv: *cdcl_W-M-level-inv* *S* **and**
alien: *no-strange-atm* *S* **and**
no-dup: *distinct-cdcl_W-state* *S* **and**
confl: *cdcl_W-conflicting* *S* **and**
confl-k: *conflict-is-false-with-level* *S*
shows (*conflicting S* = *Some {#}* \wedge *unsatisfiable (set-mset (init-clss S))*)
 \vee (*conflicting S* = *None* \wedge *trail S* $\models_{\text{as}} \text{set-mset (init-clss S)}$)
proof –
let *?M* = *trail S*
let *?N* = *init-clss S*
let *?k* = *backtrack-lvl S*
let *?U* = *learned-clss S*
consider
(None) *raw-conflicting S* = *None*
| (*Some-Empty*) *E* **where** *raw-conflicting S* = *Some E* **and** *mset-ccls E* = *{#}*
| (*Some*) *E'* **where** *raw-conflicting S* = *Some E'* **and**
conflicting S = *Some (mset-ccls E')* **and** *mset-ccls E' \neq {#}*
by (*cases conflicting S, simp*) *auto*

```

then show ?thesis
proof cases
  case (Some-Empty E)
  then have conflicting  $S = \text{Some } \{\#\}$  by auto
  then have unsatisfiable (set-mset (init-clss S))
    using assms(3) unfolding cdclW-learned-clause-def true-clss-cls-def
    by (metis (no-types, lifting) Un-insert-right atms-of-empty satisfiable-def
        sup-bot.right-neutral total-over-m-insert total-over-set-empty true-clss-empty)
  then show ?thesis using Some-Empty by auto
next
case None
{ assume  $\neg ?M \models_{asm} ?N$ 
  have atm-of ' (lits-of-l ?M) = atms-of-mm ?N (is ?A = ?B)
  proof
    show ?A  $\subseteq$  ?B using alien unfolding no-strange-atm-def by auto
    show ?B  $\subseteq$  ?A
    proof (rule ccontr)
      assume  $\neg ?B \subseteq ?A$ 
      then obtain l where  $l \in ?B$  and  $l \notin ?A$  by auto
      then have undefined-lit ?M (Pos l)
        using  $l \notin ?A$  unfolding lits-of-def by (auto simp add: defined-lit-map)
      moreover have conflicting  $S = \text{None}$ 
        using None by auto
      ultimately have  $\exists S'. \text{cdcl}_W\text{-o } S S'$ 
        using cdclW-o.decide decide-rule  $l \in ?B$  no-strange-atm-def
        by (metis literal.sel(1) state-eq-def)
      then show False
        using termi cdclW-then-exists-cdclW-stgy-step[OF - alien] level-inv by blast
    qed
  qed
  obtain D where  $\neg ?M \models_a D$  and  $D \in \# ?N$ 
    using  $\langle \neg ?M \models_{asm} ?N \rangle$  unfolding lits-of-def true-annots-def Ball-def by auto
  have atms-of  $D \subseteq \text{atm-of ' (lits-of-l ?M)}$ 
    using  $\langle D \in \# ?N \rangle$  unfolding  $\langle \text{atm-of ' (lits-of-l ?M) = atms-of-mm ?N} \rangle$  atms-of-ms-def
    by (auto simp add: atms-of-def)
  then have a1: atm-of ' set-mset  $D \subseteq \text{atm-of ' lits-of-l (trail S)}$ 
    by (auto simp add: atms-of-def lits-of-def)
  have total-over-m (lits-of-l ?M) {D}
    using  $\langle \text{atms-of } D \subseteq \text{atm-of ' (lits-of-l ?M)} \rangle$ 
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set by (fastforce simp: total-over-set-def)
  then have ?M  $\models_{as} \text{CNot } D$ 
    using total-not-true-clss-true-clss-CNot  $\langle \neg \text{trail } S \models_a D \rangle$  true-annot-def
    true-annots-true-clss by fastforce
  then have False
  proof -
    obtain S' where
      f2: full cdclW-cp S S'
      by (meson alien always-exists-full-cdclW-cp-step level-inv)
    then have S' = S
      using cdclW-stgy.conflict'[of S] by (metis (no-types) full-unfold termi)
    then show ?thesis
      using f2  $\langle D \in \# \text{init-clss } S \rangle$  None  $\langle \text{trail } S \models_{as} \text{CNot } D \rangle$ 
      raw-clauses-def full-cdclW-cp-not-any-negated-init-clss by auto
  qed
}

```

```

then have ?M  $\models_{asm}$  ?N by blast
then show ?thesis
  using None by auto
next
case (Some E') note raw-conf = this(1) and LD = this(2) and nempty = this(3)
then obtain L D where
  E'[simp]: mset-ccls E' = D + {#L#} and
  lev-L: get-level ?M L = ?k
  by (metis (mono-tags) confl-k insert-DiffM2)
let ?D = D + {#L#}
have ?D  $\neq$  {#} by auto
have ?M  $\models_{as}$  CNot ?D using confl LD unfolding cdclW-conflicting-def by auto
then have ?M  $\neq$  [] unfolding true-annots-def Ball-def true-annot-def true-cls-def by force
have M: ?M = hd ?M # tl ?M using ⟨?M  $\neq$  []⟩ list.collapse by fastforce
have g-a-l: get-all-levels-of-marked ?M = rev [1.. $1 + ?k$ ]
  using level-inv lev-L M unfolding cdclW-M-level-inv-def by auto
have g-k: get-maximum-level (trail S) D  $\leq$  ?k
  using get-maximum-possible-level-ge-get-maximum-level[of ?M]
  get-maximum-possible-level-max-get-all-levels-of-marked[of ?M]
  by (auto simp add: Max-n-upt g-a-l)
{
  assume marked: is-marked (hd ?M)
  then obtain k' where k': k' + 1 = ?k
    using level-inv M unfolding cdclW-M-level-inv-def
    by (cases hd (trail S); cases trail S) auto
  obtain L' l' where L': hd ?M = Marked L' l' using marked by (cases hd ?M) auto
  have marked-hd-tl: get-all-levels-of-marked (hd (trail S) # tl (trail S))
    = rev [1.. $1 + \text{length (get-all-levels-of-marked ?M)}$ ]
    using level-inv lev-L M unfolding cdclW-M-level-inv-def M[symmetric]
    by blast
  then have l'-tl: l' # get-all-levels-of-marked (tl ?M)
    = rev [1.. $1 + \text{length (get-all-levels-of-marked ?M)}$ ] unfolding L' by simp
  moreover have ... = length (get-all-levels-of-marked ?M)
    # rev [1.. $\text{length (get-all-levels-of-marked ?M)}$ ]
    using M Suc-le-mono calculation by (fastforce simp add: upt.simps(2))
  finally have
    l'-cons: l' # get-all-levels-of-marked (tl (trail S)) =
      length (get-all-levels-of-marked (trail S))
      # rev [1.. $\text{length (get-all-levels-of-marked (trail S))}$ ] and
    l' = ?k and
    g-r: get-all-levels-of-marked (tl (trail S))
      = rev [1.. $\text{length (get-all-levels-of-marked (trail S))}$ ]
    using level-inv lev-L M unfolding cdclW-M-level-inv-def by auto

  have *:  $\bigwedge \text{list. no-dup list} \implies$ 
    -  $L \in \text{lits-of-l list} \implies \text{atm-of } L \in \text{atm-of 'lits-of-l list}$ 
    by (metis atm-of-uminus imageI)
  have L'-L: L' = -L
  proof (rule ccontr)
    assume  $\neg$  ?thesis
    moreover have -L  $\in$  lits-of-l ?M using confl LD unfolding cdclW-conflicting-def by auto
    ultimately have get-level (hd (trail S) # tl (trail S)) L = get-level (tl ?M) L
      using cdclW-M-level-inv-decomp(1)[OF level-inv] L' M atm-of-eq-atm-of
      unfolding lits-of-def consistent-interp-def
      by (metis (mono-tags, hide-lams) marked-lit.sel(1) get-level-skip-beginning image-eqI)
  }

```

```

    list.set-intros(1))
  moreover
    have length (get-all-levels-of-marked (trail S)) = ?k
      using level-inv unfolding cdclW-M-level-inv-def by auto
    then have Max (set (0#get-all-levels-of-marked (tl (trail S)))) = ?k - 1
      unfolding g-r by (auto simp add: Max-n-upt)
    then have get-level (tl ?M) L < ?k
      using get-maximum-possible-level-ge-get-level[of tl ?M L]
      by (metis One-nat-def add.right-neutral add-Suc-right diff-add-inverse2
        get-maximum-possible-level-max-get-all-levels-of-marked k' le-imp-less-Suc
        list.simps(15))
    finally show False using lev-L M by auto
  qed
have L: hd ?M = Marked (-L) ?k using ⟨l' = ?k⟩ L'-L L' by auto

have get-maximum-level (trail S) D < ?k
  proof (rule ccontr)
    assume ¬ ?thesis
    then have get-maximum-level (trail S) D = ?k using M g-k unfolding L by auto
    then obtain L'' where L'' ∈# D and L-k: get-level ?M L'' = ?k
      using get-maximum-level-exists-lit[of ?k ?M D] unfolding k'[symmetric] by auto
    have L ≠ L'' using no-dup ⟨L'' ∈# D⟩
      unfolding distinct-cdclW-state-def LD
      by (metis E' add.right-neutral add-diff-cancel-right'
        distinct-mem-diff-mset union-commute union-single-eq-member)
    have L'' = -L
      proof (rule ccontr)
        assume ¬ ?thesis
        then have get-level ?M L'' = get-level (tl ?M) L''
          using M ⟨L ≠ L''⟩ get-level-skip-beginning[of L'' hd ?M tl ?M] unfolding L
          by (auto simp: atm-of-eq-atm-of)
        then show False
          by (metis L-k Max-n-upt One-nat-def Suc-n-not-le-n ⟨l' = backtrack-lvl S⟩
            add-Suc-right add-implies-diff g-r
            get-all-levels-of-marked-rev-eq-rev-get-all-levels-of-marked list.set(2)
            get-rev-level-less-max-get-all-levels-of-marked k' l'-cons list.sel(1)
            rev-rev-ident semiring-normalization-rules(6) set-upt)
      qed
    then have taut: tautology (D + {#L#})
      using ⟨L'' ∈# D⟩ by (metis add.commute mset-leD mset-le-add-left multi-member-this
        tautology-minus)
    have consistent-interp (lits-of-l ?M)
      using level-inv unfolding cdclW-M-level-inv-def by auto
    then have ¬?M ⊨as CNot ?D
      using taut by (metis ⟨L'' = -L⟩ ⟨L'' ∈# D⟩ add.commute consistent-interp-def
        diff-union-cancelR in-CNot-implies-uminus(2) in-diffD multi-member-this)
    moreover have ?M ⊨as CNot ?D
      using confl no-dup LD unfolding cdclW-conflicting-def by auto
    ultimately show False by blast
  qed note H = this
have get-maximum-level (trail S) D < get-maximum-level (trail S) (D + {#L#})
  using H by (auto simp: get-maximum-level-plus lev-L max-def)
moreover have backtrack-lvl S = get-maximum-level (trail S) (D + {#L#})
  using H by (auto simp: get-maximum-level-plus lev-L max-def)
ultimately have False

```

```

    using backtrack-no-decomp[OF raw-conf - lev-L] level-inv termi
    cdclW-then-exists-cdclW-stgy-step[of S] alien unfolding E'
    by (auto simp add: lev-L max-def)
  } note not-is-marked = this

moreover {
  let ?D = D + {#L#}
  have ?D ≠ {#} by auto
  have ?M ⊨as CNot ?D using confl LD unfolding cdclW-conflicting-def by auto
  then have ?M ≠ [] unfolding true-annots-def Ball-def true-annot-def true-cls-def by force
  assume nm: ¬is-marked (hd ?M)
  then obtain L' C where L'C: hd-raw-trail S = Propagated L' C
    by (metis ⟨trail S ≠ []⟩ hd-raw-trail is-marked-def mset-of-mlit.elims)
  then have hd ?M = Propagated L' (mset-cls C)
    using ⟨trail S ≠ []⟩ hd-raw-trail mset-of-mlit.simps(1) by fastforce
  then have M: ?M = Propagated L' (mset-cls C) # tl ?M
    using ⟨?M ≠ []⟩ list.collapse by fastforce
  then obtain C' where C': mset-cls C = C' + {#L'#}
    using confl unfolding cdclW-conflicting-def by (metis append-Nil diff-single-eq-union)
  { assume -L' ∈# ?D
    then have Ex (skip S)
      using skip-rule[OF M raw-conf] unfolding E' by auto
    then have False
      using cdclW-then-exists-cdclW-stgy-step[of S] alien level-inv termi
      by (auto dest: cdclW-o.intros cdclW-bj.intros)
  }
  moreover {
    assume L'D: -L' ∈# ?D
    then obtain D' where D': ?D = D' + {#-L'#} by (metis insert-DiffM2)
    have g-r: get-all-levels-of-marked (Propagated L' (mset-cls C) # tl (trail S))
      = rev [Suc 0..W-M-level-inv-def by auto
    have Max (insert 0
      (set (get-all-levels-of-marked (Propagated L' (mset-cls C) # tl (trail S))))) = ?k
      using level-inv M unfolding g-r cdclW-M-level-inv-def set-rev
      by (auto simp add: Max-n-upt)
    then have get-maximum-level (trail S) D' ≤ ?k
      using get-maximum-possible-level-ge-get-maximum-level[of
        Propagated L' (mset-cls C) # tl ?M] M
      unfolding get-maximum-possible-level-max-get-all-levels-of-marked by auto
    then have get-maximum-level (trail S) D' = ?k
      ∨ get-maximum-level (trail S) D' < ?k
      using le-neq-implies-less by blast
    moreover {
      assume g-D'-k: get-maximum-level (trail S) D' = ?k
      then have f1: get-maximum-level (trail S) D' = backtrack-lvl S
        using M by auto
      then have Ex (cdclW-o S)
        using f1 resolve-rule[of S L' C , OF ⟨trail S ≠ []⟩ - - raw-conf] raw-conf g-D'-k
        L'C L'D unfolding C' D' E'
        by (fastforce simp add: D' intro: cdclW-o.intros cdclW-bj.intros)
      then have False
        by (meson alien cdclW-then-exists-cdclW-stgy-step termi level-inv)
    }
  }
  moreover {

```

```

assume  $a1$ : get-maximum-level (trail  $S$ )  $D' < ?k$ 
then have  $f3$ : get-maximum-level (trail  $S$ )  $D' < \text{get-level } (\text{trail } S) (-L')$ 
  using  $a1$  lev-L by (metis  $D'$  get-maximum-level-ge-get-level insert-noteq-member not-less)
moreover have backtrack-lvl  $S = \text{get-level } (\text{trail } S) L'$ 
  apply (subst  $M$ )
  unfolding rev.simps
  apply (subst get-rev-level-can-skip-correctly-ordered)
  using level-inv unfolding cdclW-M-level-inv-def
  apply (subst (asm) (2) M) apply (simp add: cdclW-M-level-inv-decomp)
  using level-inv unfolding cdclW-M-level-inv-def
  apply (subst (asm) (2) M) apply (auto simp: cdclW-M-level-inv-decomp lits-of-def)[]
  using level-inv unfolding cdclW-M-level-inv-def
  apply (subst (asm) (4) M) apply (auto simp add: cdclW-M-level-inv-decomp)[]
  using level-inv unfolding cdclW-M-level-inv-def
  apply (subst (asm) (4) M) by (auto simp add: cdclW-M-level-inv-decomp)[]
moreover
  then have get-level (trail  $S$ )  $L' = \text{get-maximum-level } (\text{trail } S) (D' + \{\# - L'\# \})$ 
    using  $a1$  by (auto simp add: get-maximum-level-plus max-def)
  ultimately have False
    using  $M$  backtrack-no-decomp[of  $S - -L'$ , OF raw-conf]
    cdclW-then-exists-cdclW-stgy-step L'D level-inv termi alien
    unfolding  $D' E'$  by auto
  }
ultimately have False by blast
}
ultimately have False by blast
}
ultimately show ?thesis by blast
qed
qed

```

```

lemma cdclW-cp-tranclp-cdclW:
  cdclW-cp  $S S' \implies \text{cdcl}_W^{++} S S'$ 
  apply (induct rule: cdclW-cp.induct)
  by (meson cdclW.conflict cdclW.propagate tranclp.r-into-trancl tranclp.trancl-into-trancl) $+$ 

```

```

lemma tranclp-cdclW-cp-tranclp-cdclW:
  cdclW-cp $^{++} S S' \implies \text{cdcl}_W^{++} S S'$ 
  apply (induct rule: tranclp.induct)
  apply (simp add: cdclW-cp-tranclp-cdclW)
  by (meson cdclW-cp-tranclp-cdclW tranclp-trans)

```

```

lemma cdclW-stgy-tranclp-cdclW:
  cdclW-stgy  $S S' \implies \text{cdcl}_W^{++} S S'$ 
proof (induct rule: cdclW-stgy.induct)
  case conflict'
  then show ?case
    unfolding full1-def by (simp add: tranclp-cdclW-cp-tranclp-cdclW)
next
  case (other' S' S'')
  then have  $S' = S'' \vee \text{cdcl}_W\text{-cp}^{++} S' S''$ 
    by (simp add: rtranclp-unfold full-def)
  then show ?case
    using other' by (meson cdclW.other tranclp.r-into-trancl)

```

trancpl-cdcl_W-cp-trancpl-cdcl_W trancpl-trans)
qed

lemma *trancpl-cdcl_W-stgy-trancpl-cdcl_W:*
cdcl_W-stgy⁺⁺ S S' \implies cdcl_W⁺⁺ S S'
apply (*induct rule: trancpl.induct*)
using *cdcl_W-stgy-trancpl-cdcl_W apply blast*
by (*meson cdcl_W-stgy-trancpl-cdcl_W trancpl-trans*)

lemma *rtrancpl-cdcl_W-stgy-rtrancpl-cdcl_W:*
*cdcl_W-stgy^{**} S S' \implies cdcl_W^{**} S S'*
using *rtrancpl-unfold[of cdcl_W-stgy S S'] trancpl-cdcl_W-stgy-trancpl-cdcl_W[of S S'] by auto*

lemma *not-empty-get-maximum-level-exists-lit:*
assumes *n: D \neq {#}*
and *max: get-maximum-level M D = n*
shows $\exists L \in \#D. \text{get-level } M L = n$

proof –
have *f: finite (insert 0 (($\lambda L. \text{get-level } M L$) 'set-mset D))* **by** *auto*
then have *n \in (($\lambda L. \text{get-level } M L$) 'set-mset D)*
using *n max get-maximum-level-exists-lit-of-max-level image-iff*
unfolding *get-maximum-level-def* **by** *force*
then show $\exists L \in \#D. \text{get-level } M L = n$ **by** *auto*
qed

lemma *cdcl_W-o-conflict-is-false-with-level-inv:*

assumes
cdcl_W-o S S' and
lev: cdcl_W-M-level-inv S and
confl-inv: conflict-is-false-with-level S and
n-d: distinct-cdcl_W-state S and
conflicting: cdcl_W-conflicting S
shows *conflict-is-false-with-level S'*
using *assms(1,2)*

proof (*induct rule: cdcl_W-o-induct-lev2*)

case (*resolve L C M D T*) **note** *tr-S = this(1) and confl = this(4) and LD = this(5) and T = this(7)*

have *uL-not-D: $-L \notin \# \text{remove1-mset } (-L) (\text{mset-ccls } D)$*
using *n-d confl unfolding distinct-cdcl_W-state-def distinct-mset-def*
by (*metis distinct-cdcl_W-state-def distinct-mem-diff-mset multi-member-last n-d option.simps(9)*)

moreover have *L-not-D: $L \notin \# \text{remove1-mset } (-L) (\text{mset-ccls } D)$*

proof (*rule ccontr*)

assume $\neg ?thesis$

then have *L $\in \# \text{mset-ccls } D$*

by (*auto simp: in-remove1-mset-neq*)

moreover have *Propagated L (mset-clc C) $\# M \models_{as} CNot (\text{mset-ccls } D)$*

using *conflicting confl tr-S unfolding cdcl_W-conflicting-def* **by** *auto*

ultimately have $-L \in \text{lits-of-l } (\text{Propagated } L (\text{mset-clc } C) \# M)$

using *in-CNot-implies-uminus(2)* **by** *blast*

moreover have *no-dup (Propagated L (mset-clc C) $\# M$)*

using *lev tr-S unfolding cdcl_W-M-level-inv-def* **by** *auto*

ultimately show *False* **unfolding** *lits-of-def* **by** (*metis consistent-interp-def image-eqI*

list.set-intros(1) lits-of-def marked-lit.sel(2) distinct-consistent-interp)

qed

ultimately

have $g\text{-}D$: $\text{get-maximum-level } (\text{Propagated } L \text{ (mset-cls } C) \# M) \text{ (remove1-mset } (-L) \text{ (mset-ccls } D))$
 $= \text{get-maximum-level } M \text{ (remove1-mset } (-L) \text{ (mset-ccls } D))$

proof –

have $\forall a f L. ((a::'v) \in f \text{ ' } L) = (\exists l. (l::'v \text{ literal}) \in L \wedge a = f l)$
by *blast*

then show *?thesis*
using $\text{get-maximum-level-skip-first}[\text{of } L \text{ remove1-mset } (-L) \text{ (mset-ccls } D) \text{ mset-cls } C M]$
unfolding *atms-of-def*
by $(\text{metis (no-types) uL-not-D L-not-D atm-of-eq-atm-of})$

qed

have $\text{lev-L}[\text{simp}]: \text{get-level } M L = 0$
apply $(\text{rule atm-of-notin-get-rev-level-eq-0})$
using *lev unfolding cdcl_W-M-level-inv-def tr-S* by $(\text{auto simp: lits-of-def})$

have D : $\text{get-maximum-level } M \text{ (remove1-mset } (-L) \text{ (mset-ccls } D)) = \text{backtrack-lvl } S$
using $\text{resolve.hyps}(6) \text{ LD unfolding tr-S}$ by $(\text{auto simp: get-maximum-level-plus max-def g-D})$

have $\text{get-all-levels-of-marked } M = \text{rev } [\text{Suc } 0..<\text{Suc } (\text{backtrack-lvl } S)]$
using *lev unfolding tr-S cdcl_W-M-level-inv-def* by *auto*

then have $\text{get-maximum-level } M \text{ (remove1-mset } L \text{ (mset-cls } C)) \leq \text{backtrack-lvl } S$
using $\text{get-maximum-possible-level-ge-get-maximum-level}[\text{of } M]$
 $\text{get-maximum-possible-level-max-get-all-levels-of-marked}[\text{of } M]$ by $(\text{auto simp: Max-n-upt})$

then have
 $\text{get-maximum-level } M \text{ (remove1-mset } (-L) \text{ (mset-ccls } D) \# \cup \text{remove1-mset } L \text{ (mset-cls } C)) =$
 $\text{backtrack-lvl } S$
by $(\text{auto simp: get-maximum-level-union-mset get-maximum-level-plus max-def } D)$

then show *?case*
using $\text{tr-S not-empty-get-maximum-level-exists-lit}[\text{of}$
 $\text{remove1-mset } (-L) \text{ (mset-ccls } D) \# \cup \text{remove1-mset } L \text{ (mset-cls } C) M] T$
by *auto*

next

case $(\text{skip } L C' M D T)$ note $\text{tr-S} = \text{this}(1)$ and $D = \text{this}(2)$ and $T = \text{this}(5)$

then obtain La where
 $La \in \# \text{ mset-ccls } D$ and
 $\text{get-level } (\text{Propagated } L C' \# M) La = \text{backtrack-lvl } S$
using *skip confl-inv* by *auto*

moreover

have $\text{atm-of } La \neq \text{atm-of } L$
proof (rule ccontr)
assume $\neg \text{?thesis}$
then have La : $La = L$ using $\langle La \in \# \text{ mset-ccls } D \rangle \langle -L \notin \# \text{ mset-ccls } D \rangle$
by $(\text{auto simp add: atm-of-eq-atm-of})$

have $\text{Propagated } L C' \# M \models_{\text{as}} C\text{Not } (\text{mset-ccls } D)$
using *conflicting tr-S D unfolding cdcl_W-conflicting-def* by *auto*

then have $-L \in \text{lits-of-l } M$
using $\langle La \in \# \text{ mset-ccls } D \rangle \text{ in-}C\text{Not-implies-uminus}(2)[\text{of } L \text{ mset-ccls } D$
 $\text{Propagated } L C' \# M]$ unfolding La
by *auto*

then show *False* using *lev tr-S unfolding cdcl_W-M-level-inv-def consistent-interp-def* by *auto*

qed

then have $\text{get-level } (\text{Propagated } L C' \# M) La = \text{get-level } M La$ by *auto*

ultimately show *?case* using $D \text{ tr-S } T$ by *auto*

next

case *backtrack*

then show *?case*

by (auto split: if-split-asm simp: cdcl_W-M-level-inv-decomp lev)
qed auto

19.5.5 Strong completeness

lemma *cdcl_W-cp-propagate-confl*:

assumes *cdcl_W-cp* *S T*

shows *propagate** S T* $\vee (\exists S'. \text{propagate** } S S' \wedge \text{conflict } S' T)$

using *assms* **by** *induction blast+*

lemma *rtrancpl-cdcl_W-cp-propagate-confl*:

assumes *cdcl_W-cp** S T*

shows *propagate** S T* $\vee (\exists S'. \text{propagate** } S S' \wedge \text{conflict } S' T)$

by (*simp add: assms rtrancpl-cdcl_W-cp-propa-or-propa-confl*)

lemma *propagate-high-levelE*:

assumes *propagate S T*

obtains *M' N' U k L C* **where**

state S = (*M', N', U, k, None*) **and**

state T = (*Propagated L (C + {#L#}) # M', N', U, k, None*) **and**

C + {#L#} ∈ # local.clauses S **and**

M' ⊨_{as} CNot C **and**

undefined-lit (trail S) L

proof –

obtain *E L* **where**

conf: conflicting S = *None* **and**

E: E !∈! raw-clauses S **and**

LE: L ∈ # mset-cls E **and**

tr: trail S ⊨_{as} CNot (mset-cls (remove-lit L E)) **and**

undef: undefined-lit (trail S) L **and**

T: T ~ cons-trail (Propagated L E) S

using *assms* **by** (*elim propagateE*) *simp*

obtain *M N U k* **where**

S: state S = (*M, N, U, k, None*)

using *conf* **by** *auto*

show *thesis*

using *that[of M N U k L remove1-mset L (mset-cls E)] S T LE E tr undef*

by *auto*

qed

lemma *cdcl_W-cp-propagate-completeness*:

assumes *MN: set M ⊨_s set-mset N* **and**

cons: consistent-interp (set M) **and**

tot: total-over-m (set M) (set-mset N) **and**

lits-of-l (trail S) ⊆ set M **and**

init-clss S = *N* **and**

*propagate** S S'* **and**

learned-clss S = {#}

shows *length (trail S) ≤ length (trail S') ∧ lits-of-l (trail S') ⊆ set M*

using *assms(6,4,5,7)*

proof (*induction rule: rtrancpl-induct*)

case *base*

then show *?case* **by** *auto*

next

case (*step Y Z*)

note *st* = *this(1)* **and** *propa* = *this(2)* **and** *IH* = *this(3)* **and** *lits'* = *this(4)* **and** *NS* = *this(5)* **and**

$learned = this(6)$
then have $len: length\ (trail\ S) \leq length\ (trail\ Y)$ **and** $LM: lits-of-l\ (trail\ Y) \subseteq set\ M$
by *blast+*

obtain $M' N' U k C L$ **where**
 $Y: state\ Y = (M', N', U, k, None)$ **and**
 $Z: state\ Z = (Propagated\ L\ (C + \{\#L\# \}) \# M', N', U, k, None)$ **and**
 $C: C + \{\#L\# \} \in \# clauses\ Y$ **and**
 $M'-C: M' \models_{as} CNot\ C$ **and**
 $undefined-lit\ (trail\ Y)\ L$
using *propa* **by** (*auto elim: propagate-high-levelE*)
have $init-clss\ S = init-clss\ Y$
using *st* **by** *induction* (*auto elim: propagateE*)
then have $[simp]: N' = N$ **using** *NS Y Z* **by** *simp*
have $learned-clss\ Y = \{\#\}$
using *st* **learned** **by** *induction* (*auto elim: propagateE*)
then have $[simp]: U = \{\#\}$ **using** *Y* **by** *auto*
have $set\ M \models_s CNot\ C$
using $M'-C\ LM\ Y$ **unfolding** *true-annots-def Ball-def true-annot-def true-clss-def true-cl-def*
by *force*
moreover
have $set\ M \models C + \{\#L\# \}$
using $MN\ C\ learned\ Y\ NS$ $\langle init-clss\ S = init-clss\ Y \rangle \langle learned-clss\ Y = \{\#\} \rangle$
unfolding *true-clss-def raw-clauses-def* **by** *fastforce*
ultimately have $L \in set\ M$ **by** (*simp add: cons consistent-CNot-not*)
then show *?case* **using** $LM\ len\ Y\ Z$ **by** *auto*
qed

lemma
assumes *propagate** S X*
shows
 $rtranclp-propagate-init-clss: init-clss\ X = init-clss\ S$ **and**
 $rtranclp-propagate-learned-clss: learned-clss\ X = learned-clss\ S$
using *assms* **by** (*induction rule: rtranclp-induct*) (*auto elim: propagateE*)

lemma *completeness-is-a-full1-propagation:*
fixes $S :: 'st$ **and** $M :: 'v\ literal\ list$
assumes $MN: set\ M \models_s set-mset\ N$
and $cons: consistent-interp\ (set\ M)$
and $tot: total-over-m\ (set\ M)\ (set-mset\ N)$
and $alien: no-strange-atm\ S$
and $learned: learned-clss\ S = \{\#\}$
and $clsS[simp]: init-clss\ S = N$
and $lits: lits-of-l\ (trail\ S) \subseteq set\ M$
shows $\exists S'. propagate^{**}\ S\ S' \wedge full\ cdcl_W-cp\ S\ S'$
proof –
obtain S' **where** $full: full\ cdcl_W-cp\ S\ S'$
using *always-exists-full-cdcl_W-cp-step alien* **by** *blast*
then consider (*propa*) $propagate^{**}\ S\ S'$
 $| (confl) \exists X. propagate^{**}\ S\ X \wedge conflict\ X\ S'$
using $rtranclp-cdcl_W-cp-propagate-confl$ **unfolding** *full-def* **by** *blast*
then show *?thesis*
proof *cases*
case *propa* **then show** *?thesis* **using** *full* **by** *blast*
next

```

case confl
then obtain  $X$  where
   $X$ : propagate**  $S$   $X$  and
   $Xconf$ : conflict  $X$   $S'$ 
by blast
have  $clsX$ : init-clss  $X$  = init-clss  $S$ 
  using  $X$  by (blast dest: rtrancpl-propagate-init-clss)
have  $learnedX$ : learned-clss  $X$  =  $\{\#\}$ 
  using  $X$  learned by (auto dest: rtrancpl-propagate-learned-clss)
obtain  $E$  where
   $E$ :  $E \in \#$  init-clss  $X$  + learned-clss  $X$  and
   $Not-E$ : trail  $X \models_{as} CNot$   $E$ 
  using  $Xconf$  by (auto simp add: raw-clauses-def elim!: conflictE)
have lits-of-l (trail  $X$ )  $\subseteq$  set  $M$ 
  using cdclW-cp-propagate-completeness[OF assms(1-3) lits - X learned] learned by auto
then have  $MNE$ : set  $M \models_s CNot$   $E$ 
  using  $Not-E$ 
  by (fastforce simp add: true-annots-def true-annot-def true-clss-def true-cl-def)
have  $\neg$  set  $M \models_s$  set-mset  $N$ 
  using  $E$  consistent-CNot-not[OF cons MNE]
  unfolding  $learnedX$  true-clss-def unfolding  $clsX$   $clsS$  by auto
then show ?thesis using  $MN$  by blast
qed
qed

```

See also *cdcl_W-cp*^{**} $?S ?S' \implies \exists M. \text{trail } ?S' = M @ \text{trail } ?S \wedge (\forall l \in \text{set } M. \neg \text{is-marked } l)$

lemma *rtrancpl-propagate-is-trail-append*:
propagate^{**} S $T \implies \exists c. \text{trail } T = c @ \text{trail } S$
by (*induction rule: rtrancpl-induct*) (*auto elim: propagateE*)

lemma *rtrancpl-propagate-is-update-trail*:
propagate^{**} S $T \implies \text{cdcl}_W\text{-}M\text{-level-inv } S \implies$
init-clss S = *init-clss* $T \wedge$ *learned-clss* S = *learned-clss* $T \wedge$ *backtrack-lvl* S = *backtrack-lvl* T
 \wedge *conflicting* S = *conflicting* T

proof (*induction rule: rtrancpl-induct*)

case *base*
then show *?case* **unfolding** *state-eq-def* **by** (*auto simp: cdcl_W-M-level-inv-decomp*)
next

case (*step* T U) **note** $IH = \text{this}(3)[\text{OF } \text{this}(4)]$
moreover have *cdcl_W-M-level-inv* U
using *rtrancpl-cdcl_W-consistent-inv* $\langle \text{propagate}^{**} S T \rangle \langle \text{propagate } T U \rangle$
rtrancpl-mono[*of propagate cdcl_W*] *cdcl_W-cp-consistent-inv* *propagate'*
rtrancpl-propagate-is-rtrancpl-cdcl_W *step.prem*s **by** *blast*
then have *no-dup* (*trail* U) **unfolding** *cdcl_W-M-level-inv-def* **by** *auto*
ultimately show *?case* **using** $\langle \text{propagate } T U \rangle$ **unfolding** *state-eq-def*
by (*fastforce simp: elim: propagateE*)

qed

lemma *cdcl_W-stgy-strong-completeness-n*:

assumes

MN : *set* $M \models_s$ *set-mset* (*mset-clss* N) **and**
 $cons$: *consistent-interp* (*set* M) **and**
 tot : *total-over-m* (*set* M) (*set-mset* (*mset-clss* N)) **and**
 $atm\text{-}incl$: *atm-of* ' (*set* M) \subseteq *atms-of-mm* (*mset-clss* N) **and**
 $distM$: *distinct* M **and**

$length: n \leq length\ M$
shows
 $\exists M' k S. length\ M' \geq n \wedge$
 $lits-of-l\ M' \subseteq set\ M \wedge$
 $no-dup\ M' \wedge$
 $state\ S = (M', mset-clss\ N, \{\#\}, k, None) \wedge$
 $cdcl_W-stgy^{**}\ (init-state\ N)\ S$
using $length$
proof ($induction\ n$)
case 0
have $state\ (init-state\ N) = ([], mset-clss\ N, \{\#\}, 0, None)$
by ($auto\ simp: state-eq-def\ simp\ del: state-simp$)
moreover have
 $0 \leq length\ []$ **and**
 $lits-of-l\ [] \subseteq set\ M$ **and**
 $cdcl_W-stgy^{**}\ (init-state\ N)\ (init-state\ N)$
and $no-dup\ []$
by ($auto\ simp: state-eq-def\ simp\ del: state-simp$)
ultimately show $?case$ **using** $state-eq-sym$ **by** $blast$
next
case ($Suc\ n$) **note** $IH = this(1)$ **and** $n = this(2)$
then obtain $M' k S$ **where**
 $l-M': length\ M' \geq n$ **and**
 $M': lits-of-l\ M' \subseteq set\ M$ **and**
 $n-d[simp]: no-dup\ M'$ **and**
 $S: state\ S = (M', mset-clss\ N, \{\#\}, k, None)$ **and**
 $st: cdcl_W-stgy^{**}\ (init-state\ N)\ S$
by $auto$
have
 $M: cdcl_W-M-level-inv\ S$ **and**
 $alien: no-strange-atm\ S$
using $cdcl_W-M-level-inv-S0-cdcl_W\ rtranclp-cdcl_W-stgy-consistent-inv\ st$ **apply** $blast$
using $cdcl_W-M-level-inv-S0-cdcl_W\ no-strange-atm-S0\ rtranclp-cdcl_W-no-strange-atm-inv$
 $rtranclp-cdcl_W-stgy-rtranclp-cdcl_W\ st$ **by** $blast$

{ assume $no-step: \neg no-step\ propagate\ S$
obtain S' **where** $S': propagate^{**}\ S\ S'$ **and** $full: full\ cdcl_W-cp\ S\ S'$
using $completeness-is-a-full1-propagation[OF\ assms(1-3),\ of\ S]\ alien\ M'\ S$
by ($auto\ simp: comp-def$)
have $lev: cdcl_W-M-level-inv\ S'$
using $M\ S'\ rtranclp-cdcl_W-consistent-inv\ rtranclp-propagate-is-rtranclp-cdcl_W$ **by** $blast$
then have $n-d'[simp]: no-dup\ (trail\ S')$
unfolding $cdcl_W-M-level-inv-def$ **by** $auto$
have $length\ (trail\ S) \leq length\ (trail\ S') \wedge lits-of-l\ (trail\ S') \subseteq set\ M$
using $S'\ full\ cdcl_W-cp-propagate-completeness[OF\ assms(1-3),\ of\ S]\ M'\ S$
by ($auto\ simp: comp-def$)
moreover
have $full: full1\ cdcl_W-cp\ S\ S'$
using $full\ no-step\ no-step-cdcl_W-cp-no-conflict-no-propagate(2)$ **unfolding** $full1-def\ full-def$
 $rtranclp-unfold$ **by** $blast$
then have $cdcl_W-stgy\ S\ S'$ **by** ($simp\ add: cdcl_W-stgy.conflict'$)
moreover
have $propa: propagate^{++}\ S\ S'$ **using** $S'\ full$ **unfolding** $full1-def$ **by** ($metis\ rtranclpD\ tranclpD$)
have $trail\ S = M'$
using S **by** ($auto\ simp: comp-def\ rev-map$)

```

with propa have length (trail S') > n
  using l-M' propa by (induction rule: tranclp.induct) (auto elim: propagateE)
moreover
  have stS': cdclW-stgy** (init-state N) S'
    using st cdclW-stgy.conflict'[OF full] by auto
  then have init-clss S' = mset-clss N
    using stS' rtranclp-cdclW-stgy-no-more-init-clss by fastforce
moreover
  have
    [simp]: learned-clss S' = {#} and
    [simp]: init-clss S' = init-clss S and
    [simp]: conflicting S' = None
    using tranclp-into-rtranclp[OF ⟨propagate++ S S'⟩] S
      rtranclp-propagate-is-update-trail[of S S'] S M unfolding state-eq-def
    by (auto simp: comp-def)
  have S-S': state S' = (trail S', mset-clss N, {#}, backtrack-lvl S', None)
    using S by auto
  have cdclW-stgy** (init-state N) S'
    apply (rule rtranclp.rtrancl-into-rtrancl)
    using st apply simp
    using ⟨cdclW-stgy S S'⟩ by simp
ultimately have ?case
  apply -
  apply (rule exI[of - trail S'], rule exI[of - backtrack-lvl S'], rule exI[of - S'])
  using S-S' by (auto simp: state-eq-def simp del: state-simp)
}
moreover {
  assume no-step: no-step propagate S
  have ?case
    proof (cases length M' ≥ Suc n)
    case True
      then show ?thesis using l-M' M' st M alien S n-d by blast
    next
    case False
      then have n': length M' = n using l-M' by auto
      have no-conflict: no-step conflict S
        proof -
          { fix D
            assume D ∈ # mset-clss N and M' ⊨as CNot D
            then have set M ⊨ D using MN unfolding true-clss-def by auto
            moreover have set M ⊨s CNot D
              using ⟨M' ⊨as CNot D⟩ M'
              by (metis le-iff-sup true-annots-true-clss true-clss-union-increase)
            ultimately have False using cons consistent-CNot-not by blast
          }
          then show ?thesis
            using S by (auto simp: true-clss-def comp-def rev-map
              raw-clauses-def dest!: in-clss-mset-clss elim!: conflictE)
        qed
      have lenM: length M = card (set M) using distM by (induction M) auto
      have no-dup M' using S M unfolding cdclW-M-level-inv-def by auto
      then have card (lits-of-l M') = length M'
        by (induction M') (auto simp add: lits-of-def card-insert-if)
      then have lits-of-l M' ⊂ set M
        using n M' n' lenM by auto

```

then obtain m where $m: m \in \text{set } M$ and $\text{undef-}m: m \notin \text{lits-of-}l \ M'$ by auto
moreover have $\text{undef}: \text{undefined-lit } M' \ m$
using $M' \text{ Marked-Propagated-in-iff-in-lits-of-}l \ \text{calculation}(1,2) \ \text{cons}$
 $\text{consistent-interp-def}$ by $(\text{metis } (\text{no-types, lifting}) \ \text{subset-eq})$
moreover have $\text{atm-of } m \in \text{atms-of-mm } (\text{init-clss } S)$
using atm-incl calculation S by auto
ultimately
have $\text{dec}: \text{decide } S \ (\text{cons-trail } (\text{Marked } m \ (k+1)) \ (\text{incr-lvl } S))$
using $\text{decide-rule}[of \ S -$
 $\text{cons-trail } (\text{Marked } m \ (k + 1)) \ (\text{incr-lvl } S)] \ S$
by auto
let $?S' = \text{cons-trail } (\text{Marked } m \ (k+1)) \ (\text{incr-lvl } S)$
have $\text{lits-of-}l \ (\text{trail } ?S') \subseteq \text{set } M$ using $m \ M' \ S \ \text{undef}$ by auto
moreover have $\text{no-strange-atm } ?S'$
using $\text{alien dec } M$ by $(\text{meson } \text{cdcl}_W\text{-no-strange-atm-inv decide other})$
ultimately obtain S'' where $S'': \text{propagate}^{} \ ?S' \ S''$ and $\text{full}: \text{full } \text{cdcl}_W\text{-cp } ?S' \ S''$**
using $\text{completeness-is-a-full1-propagation}[OF \ \text{assms}(1-3), \ \text{of } ?S'] \ S \ \text{undef}$
by auto
have $\text{cdcl}_W\text{-M-level-inv } ?S'$
using $M \ \text{dec } \text{rtranclp-mono}[of \ \text{decide } \text{cdcl}_W]$ by $(\text{meson } \text{cdcl}_W\text{-consistent-inv decide other})$
then have $\text{lev}'': \text{cdcl}_W\text{-M-level-inv } S''$
using $S'' \ \text{rtranclp-cdcl}_W\text{-consistent-inv } \text{rtranclp-propagate-is-rtranclp-cdcl}_W$ by blast
then have $\text{n-d}'': \text{no-dup } (\text{trail } S'')$
unfolding $\text{cdcl}_W\text{-M-level-inv-def}$ by auto
have $\text{length } (\text{trail } ?S') \leq \text{length } (\text{trail } S'') \wedge \text{lits-of-}l \ (\text{trail } S'') \subseteq \text{set } M$
using $S'' \ \text{full } \text{cdcl}_W\text{-cp-propagate-completeness}[OF \ \text{assms}(1-3), \ \text{of } ?S' \ S''] \ m \ M' \ S \ \text{undef}$
by simp
then have $\text{Suc } n \leq \text{length } (\text{trail } S'') \wedge \text{lits-of-}l \ (\text{trail } S'') \subseteq \text{set } M$
using $\text{l-M}' \ S \ \text{undef}$ by auto
moreover
have $\text{cdcl}_W\text{-M-level-inv } (\text{cons-trail } (\text{Marked } m \ (\text{Suc } (\text{backtrack-lvl } S))))$
 $(\text{update-backtrack-lvl } (\text{Suc } (\text{backtrack-lvl } S)) \ S))$
using $S \ \langle \text{cdcl}_W\text{-M-level-inv } (\text{cons-trail } (\text{Marked } m \ (k + 1)) \ (\text{incr-lvl } S)) \rangle$ by auto
then have S'' :
 $\text{state } S'' = (\text{trail } S'', \text{mset-clss } N, \{\#\}, \text{backtrack-lvl } S'', \text{None})$
using $\text{rtranclp-propagate-is-update-trail}[OF \ S''] \ S \ \text{undef } \text{n-d}'' \ \text{lev}''$
by auto
then have $\text{cdcl}_W\text{-stgy}^{} \ (\text{init-state } N) \ S''$**
using $\text{cdcl}_W\text{-stgy.intros}(2)[OF \ \text{decide}[OF \ \text{dec}] - \text{full}] \ \text{no-step no-confl } st$
by $(\text{auto simp: } \text{cdcl}_W\text{-cp.simps})$
ultimately show $?thesis$ using $S'' \ \text{n-d}''$ by blast
qed
}
ultimately show $?case$ by blast
qed

lemma $\text{cdcl}_W\text{-stgy-strong-completeness}$:

assumes

$MN: \text{set } M \models_s \text{set-mset } (\text{mset-clss } N)$ **and**

$\text{cons}: \text{consistent-interp } (\text{set } M)$ **and**

$\text{tot}: \text{total-over-}m \ (\text{set } M) \ (\text{set-mset } (\text{mset-clss } N))$ **and**

$\text{atm-incl}: \text{atm-of } ' \ (\text{set } M) \subseteq \text{atms-of-mm } (\text{mset-clss } N)$ **and**

$\text{distM}: \text{distinct } M$

shows

$\exists M' \ k \ S.$

```

    lits-of-l M' = set M ∧
    state S = (M', mset-clss N, {#}, k, None) ∧
    cdclW-stgy** (init-state N) S ∧
    final-cdclW-state S
  proof -
    from cdclW-stgy-strong-completeness-n[OF assms, of length M]
  obtain M' k T where
    l: length M ≤ length M' and
    M'-M: lits-of-l M' ⊆ set M and
    no-dup: no-dup M' and
    T: state T = (M', mset-clss N, {#}, k, None) and
    st: cdclW-stgy** (init-state N) T
    by auto
  have card (set M) = length M using distM by (simp add: distinct-card)
  moreover
    have cdclW-M-level-inv T
      using rtrancp-cdclW-stgy-consistent-inv[OF st] T by auto
    then have card (set ((map (λl. atm-of (lit-of l)) M'))) = length M'
      using distinct-card no-dup by fastforce
  moreover have card (lits-of-l M') = card (set ((map (λl. atm-of (lit-of l)) M')))
    using no-dup unfolding lits-of-def apply (induction M') by (auto simp add: card-insert-if)
  ultimately have card (set M) ≤ card (lits-of-l M') using l unfolding lits-of-def by auto
  then have set M = lits-of-l M'
    using M'-M card-seteq by blast
  moreover
    then have M' ⊨asm mset-clss N
      using MN unfolding true-annots-def Ball-def true-annot-def true-clss-def by auto
    then have final-cdclW-state T
      using T no-dup unfolding final-cdclW-state-def by auto
    ultimately show ?thesis using st T by blast
qed

```

19.5.6 No conflict with only variables of level less than backtrack level

This invariant is stronger than the previous argument in the sense that it is a property about all possible conflicts.

definition *no-smaller-conf* (S::'st) ≡
 (∀ M K i M' D. M' @ Marked K i # M = trail S ⟶ D ∈ # clauses S
 ⟶ ¬M ⊨_{as} CNot D)

lemma *no-smaller-conf-init-sate*[simp]:
no-smaller-conf (init-state N) **unfolding** *no-smaller-conf-def* **by** auto

lemma *cdcl_W-o-no-smaller-conf-inv*:
 fixes S S' :: 'st
 assumes
 cdcl_W-o S S' and
 lev: cdcl_W-M-level-inv S and
 max-lev: conflict-is-false-with-level S and
 smaller: no-smaller-conf S and
 no-f: no-clause-is-false S
 shows no-smaller-conf S'
 using assms(1,2) unfolding no-smaller-conf-def
proof (induct rule: cdcl_W-o-induct-lev2)
 case (decide L T) **note** conf = this(1) and undef = this(2) and T = this(4)

```

have [simp]: clauses T = clauses S
  using T undef by auto
show ?case
proof (intro allI impI)
  fix M'' K i M' Da
  assume M'' @ Marked K i # M' = trail T
  and D: Da ∈# local.clauses T
  then have tl M'' @ Marked K i # M' = trail S
    ∨ (M'' = [] ∧ Marked K i # M' = Marked L (backtrack-lvl S + 1) # trail S)
    using T undef by (cases M'') auto
  moreover {
    assume tl M'' @ Marked K i # M' = trail S
    then have ¬M' ⊨as CNot Da
      using D T undef no-f confl smaller unfolding no-smaller-confl-def smaller by fastforce
  }
  moreover {
    assume Marked K i # M' = Marked L (backtrack-lvl S + 1) # trail S
    then have ¬M' ⊨as CNot Da using no-f D confl T by auto
  }
  ultimately show ¬M' ⊨as CNot Da by fast
qed
next
case resolve
then show ?case using smaller no-f max-lev unfolding no-smaller-confl-def by auto
next
case skip
then show ?case using smaller no-f max-lev unfolding no-smaller-confl-def by auto
next
case (backtrack K i M1 M2 L D T) note confl = this(1) and LD = this(2) and decomp = this(3)
and
  undef = this(7) and T = this(8)
obtain c where M: trail S = c @ M2 @ Marked K (i+1) # M1
  using decomp by auto

show ?case
proof (intro allI impI)
  fix M ia K' M' Da

  assume M' @ Marked K' ia # M = trail T
  then have tl M' @ Marked K' ia # M = M1
    using T decomp undef lev by (cases M') (auto simp: cdclW-M-level-inv-decomp)
  let ?S' = (cons-trail (Propagated L (cls-of-ccls D))
    (reduce-trail-to M1 (add-learned-cls (cls-of-ccls D))
      (update-backtrack-lvl i (update-conflicting None S))))))
  assume D: Da ∈# clauses T
  moreover{
    assume Da ∈# clauses S
    then have ¬M ⊨as CNot Da using (tl M' @ Marked K' ia # M = M1) M confl undef smaller
      unfolding no-smaller-confl-def by auto
  }
  moreover {
    assume Da: Da = mset-ccls D
    have ¬M ⊨as CNot Da
    proof (rule ccontr)
      assume ¬?thesis

```



```

then have  $-L \in \text{lits-of-}l\ M$ 
  using LD unfolding Da by (simp add: in-CNot-implies-uminus(2))
then have  $-L \in \text{lits-of-}l\ (\text{Propagated } L\ (\text{mset-ccls } D)\ \# M1)$ 
  using UnI2  $\langle \text{tl } M' @ \text{Marked } K' \text{ ia } \# M = M1 \rangle$ 
  by auto
moreover
  have backtrack  $S\ ?S'$ 
    using backtrack-rule[of S] backtrack.hyps
    by (force simp: state-eq-def simp del: state-simp)
  then have cdclW-M-level-inv  $?S'$ 
    using cdclW-consistent-inv[OF - lev] other[OF bj] by (auto intro: cdclW-bj.intros)
  then have no-dup  $(\text{Propagated } L\ (\text{mset-ccls } D)\ \# M1)$ 
    using decomp undef lev unfolding cdclW-M-level-inv-def by auto
  ultimately show False
    using undef by (auto simp: Marked-Propagated-in-iff-in-lits-of-l)
qed
}
ultimately show  $\neg M \models_{as} CNot\ Da$ 
  using T undef decomp lev unfolding cdclW-M-level-inv-def by fastforce
qed
qed

```

lemma *conflict-no-smaller-conflict-inv*:

```

assumes conflict  $S\ S'$ 
and no-smaller-conflict  $S$ 
shows no-smaller-conflict  $S'$ 
using assms unfolding no-smaller-conflict-def by (fastforce elim: conflictE)

```

lemma *propagate-no-smaller-conflict-inv*:

```

assumes propagate: propagate  $S\ S'$ 
and n-l: no-smaller-conflict  $S$ 
shows no-smaller-conflict  $S'$ 
unfolding no-smaller-conflict-def
proof (intro allI impI)
  fix  $M' K i M'' D$ 
  assume  $M': M'' @ \text{Marked } K\ i\ \# M' = \text{trail } S'$ 
  and  $D \in \# \text{clauses } S'$ 
  obtain  $M\ N\ U\ k\ C\ L$  where
     $S$ : state  $S = (M, N, U, k, None)$  and
     $S'$ : state  $S' = (\text{Propagated } L\ (C + \{\#L\})\ \# M, N, U, k, None)$  and
     $C + \{\#L\} \in \# \text{clauses } S$  and
     $M \models_{as} CNot\ C$  and
    undefined-lit  $M\ L$ 
  using propagate by (auto elim: propagate-high-levelE)
  have  $\text{tl } M'' @ \text{Marked } K\ i\ \# M' = \text{trail } S$  using  $M' S S'$ 
  by (metis Pair-inject list.inject list.sel(3) marked-lit.distinct(1) self-append-conv2
    tl-append2)
  then have  $\neg M' \models_{as} CNot\ D$ 
    using  $\langle D \in \# \text{clauses } S' \rangle$  n-l  $S\ S'$  raw-clauses-def unfolding no-smaller-conflict-def by auto
  then show  $\neg M' \models_{as} CNot\ D$  by auto
qed

```

lemma *cdcl_W-cp-no-smaller-conflict-inv*:

```

assumes propagate: cdclW-cp  $S\ S'$ 
and n-l: no-smaller-conflict  $S$ 

```

```

  shows no-smaller-confl  $S'$ 
  using assms
proof (induct rule: cdclW-cp.induct)
  case (conflict'  $S S'$ )
  then show ?case using conflict-no-smaller-confl-inv[of  $S S'$ ] by blast
next
  case (propagate'  $S S'$ )
  then show ?case using propagate-no-smaller-confl-inv[of  $S S'$ ] by fastforce
qed

```

```

lemma rtrancp-cdclW-cp-no-smaller-confl-inv:
  assumes propagate: cdclW-cp*  $S S'$ 
  and n-l: no-smaller-confl  $S$ 
  shows no-smaller-confl  $S'$ 
  using assms
proof (induct rule: rtrancp-induct)
  case base
  then show ?case by simp
next
  case (step  $S' S''$ )
  then show ?case using cdclW-cp-no-smaller-confl-inv[of  $S' S''$ ] by fast
qed

```

```

lemma trancp-cdclW-cp-no-smaller-confl-inv:
  assumes propagate: cdclW-cp++  $S S'$ 
  and n-l: no-smaller-confl  $S$ 
  shows no-smaller-confl  $S'$ 
  using assms
proof (induct rule: trancp.induct)
  case (r-into-tranc  $S S'$ )
  then show ?case using cdclW-cp-no-smaller-confl-inv[of  $S S'$ ] by blast
next
  case (tranc-into-tranc  $S S' S''$ )
  then show ?case using cdclW-cp-no-smaller-confl-inv[of  $S' S''$ ] by fast
qed

```

```

lemma full-cdclW-cp-no-smaller-confl-inv:
  assumes full cdclW-cp  $S S'$ 
  and n-l: no-smaller-confl  $S$ 
  shows no-smaller-confl  $S'$ 
  using assms unfolding full-def
  using rtrancp-cdclW-cp-no-smaller-confl-inv[of  $S S'$ ] by blast

```

```

lemma full1-cdclW-cp-no-smaller-confl-inv:
  assumes full1 cdclW-cp  $S S'$ 
  and n-l: no-smaller-confl  $S$ 
  shows no-smaller-confl  $S'$ 
  using assms unfolding full1-def
  using trancp-cdclW-cp-no-smaller-confl-inv[of  $S S'$ ] by blast

```

```

lemma cdclW-stgy-no-smaller-confl-inv:
  assumes cdclW-stgy  $S S'$ 
  and n-l: no-smaller-confl  $S$ 
  and conflict-is-false-with-level  $S$ 
  and cdclW-M-level-inv  $S$ 

```

```

shows no-smaller-confl  $S'$ 
using assms
proof (induct rule: cdclW-stgy.induct)
  case (conflict'  $S'$ )
  then show ?case using full1-cdclW-cp-no-smaller-confl-inv[of  $S S'$ ] by blast
next
  case (other'  $S' S''$ )
  have no-smaller-confl  $S'$ 
    using cdclW-o-no-smaller-confl-inv[OF other'.hyps(1) other'.prems(3,2,1)]
    not-conflict-not-any-negated-init-clss other'.hyps(2) cdclW-cp.simps by auto
  then show ?case using full-cdclW-cp-no-smaller-confl-inv[of  $S' S''$ ] other'.hyps by blast
qed

```

lemma *is-conflicting-exists-conflict*:

```

assumes  $\neg(\forall D \in \#init-clss S' + learned-clss S'. \neg trail S' \models_{as} CNot D)$ 
and conflicting  $S' = None$ 
shows  $\exists S''. conflict S' S''$ 
using assms raw-clauses-def not-conflict-not-any-negated-init-clss by fastforce

```

lemma *cdcl_W-o-conflict-is-no-clause-is-false*:

```

fixes  $S S' :: 'st$ 
assumes
  cdclW-o  $S S'$  and
  lev: cdclW-M-level-inv  $S$  and
  max-lev: conflict-is-false-with-level  $S$  and
  no-f: no-clause-is-false  $S$  and
  no-l: no-smaller-confl  $S$ 
shows no-clause-is-false  $S'$ 
   $\vee (conflicting S' = None$ 
     $\longrightarrow (\forall D \in \# clauses S'. trail S' \models_{as} CNot D$ 
       $\longrightarrow (\exists L. L \in \# D \wedge get-level (trail S') L = backtrack-lvl S')))$ 
  using assms(1,2)
proof (induct rule: cdclW-o-induct-lev2)
  case (decide  $L T$ ) note  $S = this(1)$  and undef = this(2) and  $T = this(4)$ 
  show ?case
    proof (rule HOL.disjI2, clarify)
      fix  $D$ 
      assume  $D: D \in \# clauses T$  and  $M-D: trail T \models_{as} CNot D$ 
      let ? $M = trail S$ 
      let ? $M' = trail T$ 
      let ? $k = backtrack-lvl S$ 
      have  $\neg ?M \models_{as} CNot D$ 
        using no-f  $D S T undef$  by auto
      have  $-L \in \# D$ 
      proof (rule ccontr)
        assume  $\neg ?thesis$ 
        have ? $M \models_{as} CNot D$ 
        unfolding true-annots-def Ball-def true-annot-def CNot-def true-cls-def
        proof (intro allI impI)
          fix  $x$ 
          assume  $x: x \in \{\{\#- L\# \} \mid L. L \in \# D\}$ 

          then obtain  $L'$  where  $L': x = \{\#-L'\# \} L' \in \# D$  by auto
          obtain  $L''$  where  $L'' \in \# x$  and lits-of-l (Marked  $L$  (? $k + 1$ )  $\#$  ? $M$ )  $\models_l L''$ 
            using  $M-D x T undef$  unfolding true-annots-def Ball-def true-annot-def CNot-def

```

```

      true-cls-def Bex-def by auto
    show  $\exists L \in \# x. \text{ lits-of-l } ?M \models_l L$  unfolding Bex-def
      using  $L'(1) \ L'(2) \langle \neg L \notin \# D \rangle \langle L'' \in \# x \rangle$ 
       $\langle \text{ lits-of-l } (\text{Marked } L \ (\text{backtrack-lvl } S + 1) \ \# \ \text{trail } S) \models_l L'' \rangle$  by auto
    qed
    then show False using  $\langle \neg ?M \models_{as} CNot \ D \rangle$  by auto
  qed
  have atm-of  $L \notin \text{ atm-of } ' (\text{ lits-of-l } ?M)$ 
    using undef defined-lit-map unfolding lits-of-def by fastforce
  then have get-level  $(\text{Marked } L \ ( ?k + 1) \ \# \ ?M) \ (-L) = ?k + 1$  by simp
  then show  $\exists La. La \in \# D \wedge \text{ get-level } ?M' \ La = \text{ backtrack-lvl } T$ 
    using  $\langle \neg L \in \# D \rangle \ T \ \text{undef}$  by auto
  qed
next
case resolve
then show ?case by auto
next
case skip
then show ?case by auto
next
case (backtrack  $K \ i \ M1 \ M2 \ L \ D \ T$ ) note decomp = this(3) and undef = this(7) and  $T = \text{this}(8)$ 
show ?case
proof (rule HOL.disjI2, clarify)
  fix Da
  assume Da:  $Da \in \# \text{ clauses } T$ 
  and M-D:  $\text{trail } T \models_{as} CNot \ Da$ 
  obtain c where  $M: \text{trail } S = c @ M2 @ \text{Marked } K \ (i + 1) \ \# \ M1$ 
    using decomp by auto
  have tr-T:  $\text{trail } T = \text{Propagated } L \ (\text{mset-ccls } D) \ \# \ M1$ 
    using  $T \ \text{decomp} \ \text{undef} \ \text{lev}$  by (auto simp: cdclW-M-level-inv-decomp)
  have backtrack  $S \ T$ 
    using backtrack-rule[of  $S$ ] backtrack.hyps  $T$ 
    by (force simp del: state-simp simp: state-eq-def)
  then have lev':  $\text{cdcl}_W\text{-M-level-inv } T$ 
    using  $\text{cdcl}_W\text{-consistent-inv } \text{lev} \ \text{other } \text{cdcl}_W\text{-bj.backtrack } \text{cdcl}_W\text{-o.bj}$  by blast
  then have  $\neg L \notin \text{ lits-of-l } M1$ 
    using  $\text{lev } \text{cdcl}_W\text{-M-level-inv-def } \text{Marked-Propagated-in-iff-in-lits-of-l} \ \text{undef}$  by blast
  { assume  $Da \in \# \text{ clauses } S$ 
    then have  $\neg M1 \models_{as} CNot \ Da$  using no-l  $M$  unfolding no-smaller-confl-def by auto
  }
  moreover {
    assume  $Da: Da = \text{mset-ccls } D$ 
    have  $\neg M1 \models_{as} CNot \ Da$  using  $\langle \neg L \notin \text{ lits-of-l } M1 \rangle$  unfolding  $Da$ 
      using backtrack.hyps(2) in-CNot-implies-uminus(2) by auto
  }
  ultimately have  $\neg M1 \models_{as} CNot \ Da$ 
    using  $Da \ T \ \text{undef} \ \text{decomp} \ \text{lev}$  by (fastforce simp:  $\text{cdcl}_W\text{-M-level-inv-decomp}$ )
  then have  $\neg L \in \# Da$ 
    using  $M\text{-D} \ \langle \neg L \notin \text{ lits-of-l } M1 \rangle \ T$  unfolding tr-T true-annots-true-cls true-clss-def
    by (auto simp: uminus-lit-swap)
  have g-M1:  $\text{get-all-levels-of-marked } M1 = \text{rev } [1..<i+1]$ 
    using  $\text{lev} \ \text{lev}' \ T \ \text{decomp} \ \text{undef}$  unfolding  $\text{cdcl}_W\text{-M-level-inv-def}$  by auto
  have no-dup  $(\text{Propagated } L \ (\text{mset-ccls } D) \ \# \ M1)$ 
    using  $\text{lev} \ \text{lev}' \ T \ \text{decomp} \ \text{undef}$  unfolding  $\text{cdcl}_W\text{-M-level-inv-def}$  by auto
  then have  $L: \text{atm-of } L \notin \text{ atm-of } ' \text{ lits-of-l } M1$  unfolding lits-of-def by auto

```

```

have get-level (Propagated L (mset-ccls D) # M1) (-L) = i
  using get-level-get-rev-level-get-all-levels-of-marked[OF L,
    of [Propagated L (mset-ccls D)]]
  by (simp add: g-M1 split: if-splits)
then show  $\exists La. La \in \# Da \wedge \text{get-level } (\text{trail } T) La = \text{backtrack-lvl } T$ 
  using  $\neg L \in \# Da \rightarrow T \text{ decomp undef lev}$  by (auto simp: cdclW-M-level-inv-def)
qed
qed

lemma full1-cdclW-cp-exists-conflict-decompose:
assumes
  confl:  $\exists D \in \# \text{clauses } S. \text{trail } S \models_{as} CNot D$  and
  full: full cdclW-cp S U and
  no-confl: conflicting S = None and
  lev: cdclW-M-level-inv S
shows  $\exists T. \text{propagate}^{**} S T \wedge \text{conflict } T U$ 
proof -
consider (propa) propagate** S U
  | (confl) T where propagate** S T and conflict T U
  using full unfolding full-def by (blast dest: rtranclp-cdclW-cp-propa-or-propa-confl)
then show ?thesis
proof cases
  case confl
  then show ?thesis by blast
next
  case propa
  then have conflicting U = None and
    [simp]: learned-clss U = learned-clss S and
    [simp]: init-clss U = init-clss S
  using no-confl rtranclp-propagate-is-update-trail lev by auto
moreover
  obtain D where D: D ∈ #clauses U and
    trS: trail S  $\models_{as} CNot D$ 
  using confl raw-clauses-def by auto
  obtain M where M: trail U = M @ trail S
  using full rtranclp-cdclW-cp-dropWhile-trail unfolding full-def by meson
  have tr-U: trail U  $\models_{as} CNot D$ 
  apply (rule true-annots-mono)
  using trS unfolding M by simp-all
  have  $\exists V. \text{conflict } U V$ 
  using  $\langle \text{conflicting } U = None \rangle D$  raw-clauses-def not-conflict-not-any-negated-init-clss tr-U
  by meson
  then have False using full cdclW-cp.conflict' unfolding full-def by blast
  then show ?thesis by fast
qed
qed

lemma full1-cdclW-cp-exists-conflict-full1-decompose:
assumes
  confl:  $\exists D \in \# \text{clauses } S. \text{trail } S \models_{as} CNot D$  and
  full: full cdclW-cp S U and
  no-confl: conflicting S = None and
  lev: cdclW-M-level-inv S
shows  $\exists T D. \text{propagate}^{**} S T \wedge \text{conflict } T U$ 
   $\wedge \text{trail } T \models_{as} CNot D \wedge \text{conflicting } U = \text{Some } D \wedge D \in \# \text{clauses } S$ 

```

proof –

obtain T **where** propa : $\text{propagate}^{**} S T$ **and** conf : $\text{conflict} T U$
using $\text{full1-cdcl}_W\text{-cp-exists-conflict-decompose}[OF \text{ assms}]$ **by** blast
have p : $\text{learned-clss } T = \text{learned-clss } S \text{ init-clss } T = \text{init-clss } S$
using $\text{propa lev rtrancpl-propagate-is-update-trail}$ **by** auto
have c : $\text{learned-clss } U = \text{learned-clss } T \text{ init-clss } U = \text{init-clss } T$
using conf **by** $(\text{auto elim: conflictE})$
obtain D **where** $\text{trail } T \models_{as} CNot D \wedge \text{conflicting } U = \text{Some } D \wedge D \in \# \text{ clauses } S$
using $\text{conf } p c$ **by** $(\text{fastforce simp: raw-clauses-def elim!: conflictE})$
then show $?thesis$
using propa conf **by** blast
qed

lemma $\text{cdcl}_W\text{-stgy-no-smaller-conf}$:

assumes
 $\text{cdcl}_W\text{-stgy } S S'$ **and**
 $n\text{-l: no-smaller-conf } S$ **and**
 $\text{conflict-is-false-with-level } S$ **and**
 $\text{cdcl}_W\text{-M-level-inv } S$ **and**
 $\text{no-clause-is-false } S$ **and**
 $\text{distinct-cdcl}_W\text{-state } S$ **and**
 $\text{cdcl}_W\text{-conflicting } S$

shows $\text{no-smaller-conf } S'$

using assms

proof ($\text{induct rule: cdcl}_W\text{-stgy.induct}$)

case $(\text{conflict}' S')$

show $\text{no-smaller-conf } S'$

using $\text{conflict}'.\text{hyps conflict'.prems}(1)$ $\text{full1-cdcl}_W\text{-cp-no-smaller-conf-inv}$ **by** blast

next

case $(\text{other}' S' S'')$

have lev' : $\text{cdcl}_W\text{-M-level-inv } S'$

using $\text{cdcl}_W\text{-consistent-inv other other'.hyps}(1)$ $\text{other'.prems}(3)$ **by** blast

show $\text{no-smaller-conf } S''$

using $\text{cdcl}_W\text{-stgy-no-smaller-conf-inv}[OF \text{ cdcl}_W\text{-stgy.other}'[OF \text{ other'.hyps}(1-3)]]$
 $\text{other'.prems}(1-3)$ **by** blast

qed

lemma $\text{cdcl}_W\text{-stgy-ex-lit-of-max-level}$:

assumes

$\text{cdcl}_W\text{-stgy } S S'$ **and**
 $n\text{-l: no-smaller-conf } S$ **and**
 $\text{conflict-is-false-with-level } S$ **and**
 $\text{cdcl}_W\text{-M-level-inv } S$ **and**
 $\text{no-clause-is-false } S$ **and**
 $\text{distinct-cdcl}_W\text{-state } S$ **and**
 $\text{cdcl}_W\text{-conflicting } S$

shows $\text{conflict-is-false-with-level } S'$

using assms

proof ($\text{induct rule: cdcl}_W\text{-stgy.induct}$)

case $(\text{conflict}' S')$

have $\text{no-smaller-conf } S'$

using $\text{conflict}'.\text{hyps conflict'.prems}(1)$ $\text{full1-cdcl}_W\text{-cp-no-smaller-conf-inv}$ **by** blast

moreover have $\text{conflict-is-false-with-level } S'$

using $\text{conflict}'.\text{hyps conflict'.prems}(2-4)$
 $\text{rtrancpl-cdcl}_W\text{-co-conflict-ex-lit-of-max-level}[of S S']$

```

    unfolding full-def full1-def rtrancpl-unfold by presburger
  then show ?case by blast
next
case (other' S' S'')
have lev': cdclW-M-level-inv S'
  using cdclW-consistent-inv other other'.hyps(1) other'.prems(3) by blast
moreover
  have no-clause-is-false S'
     $\vee$  (conflicting S' = None  $\longrightarrow$  ( $\forall D \in \# \text{clauses } S'. \text{trail } S' \models_{\text{as}} \text{CNot } D$ 
       $\longrightarrow$  ( $\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{backtrack-lvl } S'$ )))
    using cdclW-o-conflict-is-no-clause-is-false[of S S'] other'.hyps(1) other'.prems(1-4) by fast
moreover {
  assume no-clause-is-false S'
  {
    assume conflicting S' = None
    then have conflict-is-false-with-level S' by auto
    moreover have full cdclW-cp S' S''
      by (metis (no-types) other'.hyps(3))
    ultimately have conflict-is-false-with-level S''
      using rtrancpl-cdclW-co-conflict-ex-lit-of-max-level[of S' S''] lev' <no-clause-is-false S'>
      by blast
  }
  moreover
  {
    assume c: conflicting S'  $\neq$  None
    have conflicting S  $\neq$  None using other'.hyps(1) c
      by (induct rule: cdclW-o-induct) auto
    then have conflict-is-false-with-level S'
      using cdclW-o-conflict-is-false-with-level-inv[OF other'.hyps(1)]
      other'.prems(3,5,6,2) by blast
    moreover have cdclW-cp** S' S'' using other'.hyps(3) unfolding full-def by auto
    then have S' = S'' using c
      by (induct rule: rtrancpl-induct)
      (fastforce intro: option.exhaust)+
    ultimately have conflict-is-false-with-level S'' by auto
  }
  ultimately have conflict-is-false-with-level S'' by blast
}
moreover {
  assume
    confl: conflicting S' = None and
    D-L:  $\forall D \in \# \text{clauses } S'. \text{trail } S' \models_{\text{as}} \text{CNot } D$ 
     $\longrightarrow$  ( $\exists L. L \in \# D \wedge \text{get-level } (\text{trail } S') L = \text{backtrack-lvl } S'$ )
  { assume  $\forall D \in \# \text{clauses } S'. \neg \text{trail } S' \models_{\text{as}} \text{CNot } D$ 
    then have no-clause-is-false S' using confl by simp
    then have conflict-is-false-with-level S'' using calculation(3) by presburger
  }
  moreover {
    assume  $\neg(\forall D \in \# \text{clauses } S'. \neg \text{trail } S' \models_{\text{as}} \text{CNot } D)$ 
    then obtain T D where
      propagate** S' T and
      conflict T S'' and
      D:  $D \in \# \text{clauses } S'$  and
      trail S''  $\models_{\text{as}} \text{CNot } D$  and
      conflicting S'' = Some D
  }
}

```

```

using full1-cdclW-cp-exists-conflict-full1-decompose[OF - - conf]
other'(3) lev' by (metis (mono-tags, lifting) conflictE state-eq-trail
  trail-update-conflicting)
obtain M where M: trail S'' = M @ trail S' and nm:  $\forall m \in \text{set } M. \neg \text{is-marked } m$ 
using rtrancp-cdclW-cp-dropWhile-trail other'(3) unfolding full-def by meson
have btS: backtrack-lvl S'' = backtrack-lvl S'
using other'.hypos(3) unfolding full-def by (metis rtrancp-cdclW-cp-backtrack-lvl)
have inv: cdclW-M-level-inv S''
by (metis (no-types) cdclW-stgy.conflict' cdclW-stgy-consistent-inv full-unfold lev'
  other'.hypos(3))
then have nd: no-dup (trail S'')
by (metis (no-types) cdclW-M-level-inv-decomp(2))
have conflict-is-false-with-level S''
proof cases
assume trail S'  $\models_{\text{as}} \text{CNot } D$ 
moreover then obtain L where
  L  $\in \# D$  and
  lev-L: get-level (trail S') L = backtrack-lvl S'
using D-L D by blast
moreover
have LS':  $-L \in \text{lits-of-l (trail } S')$ 
using  $\langle \text{trail } S' \models_{\text{as}} \text{CNot } D \rangle \langle L \in \# D \rangle$  in-CNot-implies-uminus(2) by blast
{ fix x :: ('v, nat, 'v clause) marked-lit and
  xb :: ('v, nat, 'v clause) marked-lit
assume a1: x  $\in \text{set (trail } S')$  and
  a2: xb  $\in \text{set } M$  and
  a3:  $(\lambda l. \text{atm-of (lit-of } l)) \text{ 'set } M \cap (\lambda l. \text{atm-of (lit-of } l)) \text{ 'set (trail } S') = \{\}}$  and
  a4:  $-L = \text{lit-of } x$  and
  a5: atm-of L = atm-of (lit-of xb)
moreover have atm-of (lit-of x) = atm-of L
using a4 by (metis (no-types) atm-of-uminus)
ultimately have False
using a5 a3 a2 a1 by auto
}
then have atm-of L  $\notin \text{atm-of 'lits-of-l } M$ 
using nd LS' unfolding M by (auto simp add: lits-of-def)
then have get-level (trail S'') L = get-level (trail S') L
unfolding M by (simp add: lits-of-def)
ultimately show ?thesis using btS  $\langle \text{conflicting } S'' = \text{Some } D \rangle$  by auto
next
assume  $\neg \text{trail } S' \models_{\text{as}} \text{CNot } D$ 
then obtain L where L  $\in \# D$  and LM:  $-L \in \text{lits-of-l } M$ 
using  $\langle \text{trail } S'' \models_{\text{as}} \text{CNot } D \rangle$  unfolding M
by (auto simp add: true-cls-def M true-annots-def true-annot-def
  split: if-split-asm)
{ fix x :: ('v, nat, 'v clause) marked-lit and
  xb :: ('v, nat, 'v clause) marked-lit
assume a1: xb  $\in \text{set (trail } S')$  and
  a2: x  $\in \text{set } M$  and
  a3: atm-of L = atm-of (lit-of xb) and
  a4:  $-L = \text{lit-of } x$  and
  a5:  $(\lambda l. \text{atm-of (lit-of } l)) \text{ 'set } M \cap (\lambda l. \text{atm-of (lit-of } l)) \text{ 'set (trail } S') = \{\}}$ 
moreover have atm-of (lit-of xb) = atm-of ( $-L$ )

```



```

    using a3 by simp
    ultimately have False
    by auto }
then have  $LS'$ :  $\text{atm-of } L \notin \text{atm-of ' lits-of-l (trail } S')$ 
    using nd  $\langle L \in \# D \rangle$  LM unfolding M by (auto simp add: lits-of-def)
show ?thesis
proof cases
  assume ne:  $\text{get-all-levels-of-marked (trail } S') = []$ 
  have backtrack-lvl  $S'' = 0$ 
    using inv ne nm unfolding  $\text{cdcl}_W$ -M-level-inv-def M
    by (simp add: get-all-levels-of-marked-nil-iff-not-is-marked)
  moreover
  have a1:  $\text{get-level } M L = 0$ 
    using nm by auto
  then have  $\text{get-level (M @ trail } S') L = 0$ 
    by (metis  $LS'$  get-all-levels-of-marked-nil-iff-not-is-marked
      get-level-skip-beginning-not-marked lits-of-def ne)
  ultimately show ?thesis using  $\langle \text{conflicting } S'' = \text{Some } D \rangle \langle L \in \# D \rangle$  unfolding M
    by auto
next
  assume ne:  $\text{get-all-levels-of-marked (trail } S') \neq []$ 
  have hd ( $\text{get-all-levels-of-marked (trail } S')$ ) = backtrack-lvl  $S'$ 
    using ne lev' M nm unfolding  $\text{cdcl}_W$ -M-level-inv-def
    by (cases  $\text{get-all-levels-of-marked (trail } S')$ )
    (simp-all add: get-all-levels-of-marked-nil-iff-not-is-marked[symmetric])
  moreover have  $\text{atm-of } L \in \text{atm-of ' lits-of-l } M$ 
    using  $\langle -L \in \text{lits-of-l } M \rangle$ 
    by (simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set lits-of-def)
  ultimately show ?thesis
    using nm ne  $\langle L \in \# D \rangle \langle \text{conflicting } S'' = \text{Some } D \rangle$ 
      get-level-skip-beginning-hd-get-all-levels-of-marked[OF  $LS'$ , of M]
      get-level-skip-in-all-not-marked[of rev M L backtrack-lvl  $S'$ ]
    unfolding lits-of-def btS M
    by auto
qed
qed
}
ultimately have  $\text{conflict-is-false-with-level } S''$  by blast
}
moreover
{
  assume conflicting  $S' \neq \text{None}$ 
  have no-clause-is-false  $S'$  using  $\langle \text{conflicting } S' \neq \text{None} \rangle$  by auto
  then have  $\text{conflict-is-false-with-level } S''$  using calculation(3) by presburger
}
ultimately show ?case by fast
qed

```

lemma rtrancp-cdcl_W -stgy-no-smaller-confl-inv:

```

assumes
   $\text{cdcl}_W$ -stgy**  $S S'$  and
  n-l: no-smaller-confl  $S$  and
  cls-false:  $\text{conflict-is-false-with-level } S$  and
  lev:  $\text{cdcl}_W$ -M-level-inv  $S$  and
  no-f: no-clause-is-false  $S$  and

```

dist: *distinct-cdcl_W-state S* and
conflicting: *cdcl_W-conflicting S* and
decomp: *all-decomposition-implies-m (init-clss S) (get-all-marked-decomposition (trail S))* and
learned: *cdcl_W-learned-clause S* and
alien: *no-strange-atm S*
shows *no-smaller-conf S' \wedge conflict-is-false-with-level S'*
using *assms(1)*
proof (*induct rule: rtranclp-induct*)
case *base*
then show *?case using n-l cls-false by auto*
next
case (*step S' S''*) **note** *st = this(1)* **and** *cdcl = this(2)* **and** *IH = this(3)*
have *no-smaller-conf S' and conflict-is-false-with-level S'*
using *IH by blast+*
moreover have *cdcl_W-M-level-inv S'*
using *st lev rtranclp-cdcl_W-stgy-rtranclp-cdcl_W*
by (*blast intro: rtranclp-cdcl_W-consistent-inv*)
moreover have *no-clause-is-false S'*
using *st no-f rtranclp-cdcl_W-stgy-not-non-negated-init-clss by presburger*
moreover have *distinct-cdcl_W-state S'*
using *rtanclp-distinct-cdcl_W-state-inv[of S S'] lev rtranclp-cdcl_W-stgy-rtranclp-cdcl_W[OF st]*
dist by auto
moreover have *cdcl_W-conflicting S'*
using *rtranclp-cdcl_W-all-inv(6)[of S S'] st alien conflicting decomp dist learned lev*
rtranclp-cdcl_W-stgy-rtranclp-cdcl_W by blast
ultimately show *?case*
using *cdcl_W-stgy-no-smaller-conf[OF cdcl] cdcl_W-stgy-ex-lit-of-max-level[OF cdcl] by fast*
qed

19.5.7 Final States are Conclusive

lemma *full-cdcl_W-stgy-final-state-conclusive-non-false*:
fixes *S' :: 'st*
assumes *full: full cdcl_W-stgy (init-state N) S'*
and *no-d: distinct-mset-mset (mset-clss N)*
and *no-empty: $\forall D \in \#mset-clss N. D \neq \{\#\}$*
shows (*conflicting S' = Some $\{\#\}$ \wedge unsatisfiable (set-mset (init-clss S'))*)
 \vee (*conflicting S' = None \wedge trail S' \models_{asm} init-clss S'*)
proof –
let *?S = init-state N*
have
termi: $\forall S''. \neg cdcl_W-stgy S' S''$ and
*step: cdcl_W-stgy** ?S S' using full unfolding full-def by auto*
moreover have
learned: cdcl_W-learned-clause S' and
level-inv: cdcl_W-M-level-inv S' and
alien: no-strange-atm S' and
no-dup: distinct-cdcl_W-state S' and
conf: cdcl_W-conflicting S' and
decomp: all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))
using *no-d tranclp-cdcl_W-stgy-tranclp-cdcl_W[of ?S S'] step rtranclp-cdcl_W-all-inv(1-6)[of ?S S']*
unfolding *rtranclp-unfold by auto*
moreover
have $\forall D \in \#mset-clss N. \neg [] \models_{as} CNot D$ **using** *no-empty by auto*
then have *conf-k: conflict-is-false-with-level S'*
using *rtranclp-cdcl_W-stgy-no-smaller-conf-inv[OF step] no-d by auto*

show ?thesis
 using *cdcl_W-stgy-final-state-conclusive*[*OF termi decomp learned level-inv alien no-dup confl*
conf1-k] .
qed

lemma *conflict-is-full1-cdcl_W-cp*:
 assumes *cp*: *conflict S S'*
 shows *full1 cdcl_W-cp S S'*
proof –
 have *cdcl_W-cp S S'* **and** *conflicting S' ≠ None*
 using *cp cdcl_W-cp.intros* **by** (*auto elim!*: *conflictE simp: state-eq-def simp del: state-simp*)
 then have *cdcl_W-cp⁺⁺ S S'* **by** *blast*
 moreover have *no-step cdcl_W-cp S'*
 using *⟨conflicting S' ≠ None⟩* **by** (*metis cdcl_W-cp-conflicting-not-empty*
option.exhaust)
 ultimately show *full1 cdcl_W-cp S S'* **unfolding** *full1-def* **by** *blast+*
qed

lemma *cdcl_W-cp-fst-empty-conflicting-false*:
 assumes
 cdcl_W-cp S S' **and**
 trail S = [] **and**
 conflicting S ≠ None
 shows *False*
 using *assms* **by** (*induct rule: cdcl_W-cp.induct*) (*auto elim: propagateE conflictE*)

lemma *cdcl_W-o-fst-empty-conflicting-false*:
 assumes *cdcl_W-o S S'*
 and *trail S = []*
 and *conflicting S ≠ None*
 shows *False*
 using *assms* **by** (*induct rule: cdcl_W-o.induct*) *auto*

lemma *cdcl_W-stgy-fst-empty-conflicting-false*:
 assumes *cdcl_W-stgy S S'*
 and *trail S = []*
 and *conflicting S ≠ None*
 shows *False*
 using *assms* **apply** (*induct rule: cdcl_W-stgy.induct*)
 using *tranc1pD cdcl_W-cp-fst-empty-conflicting-false* **unfolding** *full1-def* **apply** *metis*
 using *cdcl_W-o-fst-empty-conflicting-false* **by** *blast*
thm *cdcl_W-cp.induct*[*split-format(complete)*]

lemma *cdcl_W-cp-conflicting-is-false*:
cdcl_W-cp S S' ⇒ conflicting S = Some {#} ⇒ False
by (*induction rule: cdcl_W-cp.induct*) (*auto elim: propagateE conflictE*)

lemma *rtranc1p-cdcl_W-cp-conflicting-is-false*:
cdcl_W-cp⁺⁺ S S' ⇒ conflicting S = Some {#} ⇒ False
apply (*induction rule: tranc1p.induct*)
by (*auto dest: cdcl_W-cp-conflicting-is-false*)

lemma *cdcl_W-o-conflicting-is-false*:
cdcl_W-o S S' ⇒ conflicting S = Some {#} ⇒ False

```

by (induction rule: cdclW-o-induct) auto

lemma cdclW-stgy-conflicting-is-false:
  cdclW-stgy  $S S' \implies$  conflicting  $S = \text{Some } \{\#\} \implies \text{False}$ 
  apply (induction rule: cdclW-stgy.induct)
  unfolding full1-def apply (metis (no-types) cdclW-cp-conflicting-not-empty tranclpD)
  unfolding full-def by (metis conflict-with-false-implies-terminated other)

lemma rtranclp-cdclW-stgy-conflicting-is-false:
  cdclW-stgy**  $S S' \implies$  conflicting  $S = \text{Some } \{\#\} \implies S' = S$ 
  apply (induction rule: rtranclp-induct)
  apply simp
  using cdclW-stgy-conflicting-is-false by blast

lemma full-cdclW-init-clss-with-false-normal-form:
  assumes
     $\forall m \in \text{set } M. \neg \text{is-marked } m$  and
     $E = \text{Some } D$  and
    state  $S = (M, N, U, 0, E)$ 
  full cdclW-stgy  $S S'$  and
  all-decomposition-implies-m (init-clss  $S$ ) (get-all-marked-decomposition (trail  $S$ ))
  cdclW-learned-clause  $S$ 
  cdclW-M-level-inv  $S$ 
  no-strange-atm  $S$ 
  distinct-cdclW-state  $S$ 
  cdclW-conflicting  $S$ 
  shows  $\exists M''. \text{state } S' = (M'', N, U, 0, \text{Some } \{\#\})$ 
  using assms(10,9,8,7,6,5,4,3,2,1)
proof (induction  $M$  arbitrary:  $E D S$ )
  case Nil
  then show ?case
    using rtranclp-cdclW-stgy-conflicting-is-false unfolding full-def cdclW-conflicting-def
    by fastforce
next
  case (Cons  $L M$ ) note  $IH = \text{this}(1)$  and  $\text{full} = \text{this}(8)$  and  $E = \text{this}(10)$  and  $\text{inv} = \text{this}(2-7)$  and
     $S = \text{this}(9)$  and  $\text{nm} = \text{this}(11)$ 
  obtain  $K p$  where  $K: L = \text{Propagated } K p$ 
  using  $\text{nm}$  by (cases  $L$ ) auto
  have every-mark-is-a-conflict  $S$  using  $\text{inv}$  unfolding cdclW-conflicting-def by auto
  then have  $MpK: M \models_{\text{as}} \text{CNot } (p - \{\#K\# \})$  and  $Kp: K \in \# p$ 
  using  $S$  unfolding  $K$  by fastforce+
  then have  $p: p = (p - \{\#K\# \}) + \{\#K\# \}$ 
  by (auto simp add: multiset-eq-iff)
  then have  $K': L = \text{Propagated } K ((p - \{\#K\# \}) + \{\#K\# \})$ 
  using  $K$  by auto
  obtain  $p'$  where
     $p': \text{hd-raw-trail } S = \text{Propagated } K p'$  and
     $pp': \text{mset-cls } p' = p$ 
  using  $\text{hd-raw-trail}[of S] S K$  by (cases  $\text{hd-raw-trail } S$ ) auto
  obtain  $\text{raw-}D$  where
     $\text{raw-}D: \text{raw-conflicting } S = \text{Some raw-}D$ 
  using  $S E$  by (cases  $\text{raw-conflicting } S$ ) auto
  then have  $\text{raw-}DD: \text{mset-ccls raw-}D = D$ 
  using  $S E$  by auto
  consider  $(D) D = \{\#\} \mid (D') D \neq \{\#\}$  by blast

```

```

then show ?case
proof cases
  case D
  then show ?thesis
    using full rtrancpl-cdclW-stgy-conflicting-is-false S unfolding full-def E D by auto
next
  case D'
  then have no-p: no-step propagate S and no-c: no-step conflict S
    using S E by (auto elim: propagateE conflictE)
  then have no-step cdclW-cp S by (auto simp: cdclW-cp.simps)
  have res-skip:  $\exists T. (resolve\ S\ T \wedge no\text{-}step\ skip\ S \wedge full\ cdcl_W\text{-}cp\ T\ T) \vee (skip\ S\ T \wedge no\text{-}step\ resolve\ S \wedge full\ cdcl_W\text{-}cp\ T\ T)$ 
  proof cases
    assume  $\neg lit\text{-}of\ L \notin \# D$ 
    then obtain T where sk: skip S T
      using S D' K skip-rule unfolding E by fastforce
    then have res: no-step resolve S
      using  $\langle \neg lit\text{-}of\ L \notin \# D \rangle S\ D'\ K\ hd\text{-}raw\text{-}trail[of\ S]$  unfolding E
      by (auto elim!: skipE resolveE)
    have full cdclW-cp T T
      using sk by (auto intro!: option-full-cdclW-cp elim: skipE)
    then show ?thesis
      using sk res by blast
  next
    assume LD:  $\neg \neg lit\text{-}of\ L \notin \# D$ 
    then have D: Some D = Some ((D - { $\#lit\text{-}of\ L\ \#$ }) + { $\#lit\text{-}of\ L\ \#$ })
      by (auto simp add: multiset-eq-iff)

    have  $\bigwedge L. get\text{-}level\ M\ L = 0$ 
      by (simp add: nm)
    then have get-maximum-level (Propagated K (p - { $\#K\ \#$ } + { $\#K\ \#$ })  $\# M$ ) (D - { $\#lit\text{-}of\ L\ \#$ }) = 0
      using LD get-maximum-level-exists-lit-of-max-level
    proof -
      obtain L' where get-level (L $\#M$ ) L' = get-maximum-level (L $\#M$ ) D
        using LD get-maximum-level-exists-lit-of-max-level[of D L $\#M$ ] by fastforce
      then show ?thesis by (metis (mono-tags) K' get-level-skip-all-not-marked
        get-maximum-level-exists-lit nm not-gr0)
    qed
    then obtain T where sk: resolve S T
      using resolve-rule[of S K p' raw-D] S p'  $\langle K \in \# p \rangle raw\text{-}D\ LD$ 
      unfolding K' D E pp' raw-DD by auto
    then have res: no-step skip S
      using LD S D' K hd-raw-trail[of S] unfolding E
      by (auto elim!: skipE resolveE)
    have full cdclW-cp T T
      using sk by (auto simp: option-full-cdclW-cp elim: resolveE)
    then show ?thesis
      using sk res by blast
  qed
  then have step-s:  $\exists T. cdcl_W\text{-}stgy\ S\ T$ 
    using (no-step cdclW-cp S) other' by (meson bj resolve skip)
  have get-all-marked-decomposition (L  $\# M$ ) = [( $\square$ ), L $\#M$ ]
    using nm unfolding K apply (induction M rule: marked-lit-list-induct, simp)
    by (rename-tac L l xs, case-tac hd (get-all-marked-decomposition xs), auto)+

```

```

then have no-b: no-step backtrack S
  using nm S by (auto elim: backtrackE)
have no-d: no-step decide S
  using S E by (auto elim: decideE)

have full-S-S: full cdclW-cp S S
  using S E by (auto simp add: option-full-cdclW-cp)
then have no-f: no-step (full1 cdclW-cp) S
  unfolding full-def full1-def rtrancpl-unfold by (meson trancplD)
obtain T where
  s: cdclW-stgy S T and st: cdclW-stgy** T S'
  using full step-s full unfolding full-def by (metis rtrancpl-unfold trancplD)
have resolve S T ∨ skip S T
  using s no-b no-d res-skip full-S-S cdclW-cp-state-eq-compatible resolve-unique
  skip-unique unfolding cdclW-stgy.simps cdclW-o.simps full-unfold
  full1-def by (blast dest!: trancplD elim!: cdclW-bj.cases)+
then obtain D' where T: state T = (M, N, U, 0, Some D')
  using S E by (auto elim!: skipE resolveE simp: state-eq-def simp del: state-simp)

have st-c: cdclW** S T
  using E T rtrancpl-cdclW-stgy-rtrancpl-cdclW s by blast
have cdclW-conflicting T
  using rtrancpl-cdclW-all-inv(6)[OF st-c inv(6,5,4,3,2,1)] .
show ?thesis
  apply (rule IH[of T])
    using rtrancpl-cdclW-all-inv(6)[OF st-c inv(6,5,4,3,2,1)] apply blast
    using rtrancpl-cdclW-all-inv(5)[OF st-c inv(6,5,4,3,2,1)] apply blast
    using rtrancpl-cdclW-all-inv(4)[OF st-c inv(6,5,4,3,2,1)] apply blast
    using rtrancpl-cdclW-all-inv(3)[OF st-c inv(6,5,4,3,2,1)] apply blast
    using rtrancpl-cdclW-all-inv(2)[OF st-c inv(6,5,4,3,2,1)] apply blast
    using rtrancpl-cdclW-all-inv(1)[OF st-c inv(6,5,4,3,2,1)] apply blast
  apply (metis full-def st full)
  using T E apply blast
  apply auto[]
  using nm by simp
qed
qed

lemma full-cdclW-stgy-final-state-conclusive-is-one-false:
  fixes S' :: 'st
  assumes full: full cdclW-stgy (init-state N) S'
  and no-d: distinct-mset-mset (mset-clss N)
  and empty: {#} ∈# (mset-clss N)
  shows conflicting S' = Some {#} ∧ unsatisfiable (set-mset (init-clss S'))
proof -
  let ?S = init-state N
  have cdclW-stgy** ?S S' and no-step cdclW-stgy S' using full unfolding full-def by auto
  then have plus-or-eq: cdclW-stgy++ ?S S' ∨ S' = ?S unfolding rtrancpl-unfold by auto
  have ∃ S''. conflict ?S S''
    using empty not-conflict-not-any-negated-init-clss[of init-state N] by auto
  then have cdclW-stgy: ∃ S'. cdclW-stgy ?S S'
    using cdclW-cp.conflict'[of ?S] conflict-is-full1-cdclW-cp cdclW-stgy.intros(1) by metis
  have S' ≠ ?S using (no-step cdclW-stgy S') cdclW-stgy by blast

```

```

then obtain St:: 'st where St: cdclW-stgy ?S St and cdclW-stgy** St S'
  using plus-or-eq by (metis (no-types) ⟨cdclW-stgy** ?S S'⟩ converse-rtranclpE)
have st: cdclW** ?S St
  by (simp add: rtranclp-unfold ⟨cdclW-stgy ?S St⟩ cdclW-stgy-tranclp-cdclW)

have ∃ T. conflict ?S T
  using empty not-conflict-not-any-negated-init-clss[of ?S] by force
then have fullSt: full1 cdclW-cp ?S St
  using St unfolding cdclW-stgy.simps by blast
then have bt: backtrack-lvl St = (0::nat)
  using rtranclp-cdclW-cp-backtrack-lvl unfolding full1-def
  by (fastforce dest!: tranclp-into-rtranclp)
have cls-St: init-clss St = mset-clss N
  using fullSt cdclW-stgy-no-more-init-clss[OF St] by auto
have conflicting St ≠ None
  proof (rule ccontr)
    assume conf: ¬ ?thesis
    obtain E where
      ES: E !∈! raw-init-clss St and
      E: mset-cls E = {#}
    using empty cls-St by (metis in-mset-clss-exists-preimage)
    then have ∃ T. conflict St T
      using empty cls-St conflict-rule[of St E] ES conf unfolding E
      by (auto simp: raw-clauses-def dest: in-mset-clss-exists-preimage)
    then show False using fullSt unfolding full1-def by blast
  qed

have 1: ∀ m∈set (trail St). ¬ is-marked m
  using fullSt unfolding full1-def by (auto dest!: tranclp-into-rtranclp
    rtranclp-cdclW-cp-dropWhile-trail)
have 2: full cdclW-stgy St S'
  using ⟨cdclW-stgy** St S'⟩ ⟨no-step cdclW-stgy S'⟩ bt unfolding full-def by auto
have 3: all-decomposition-implies-m
  (init-clss St)
  (get-all-marked-decomposition
   (trail St))
  using rtranclp-cdclW-all-inv(1)[OF st] no-d bt by simp
have 4: cdclW-learned-clause St
  using rtranclp-cdclW-all-inv(2)[OF st] no-d bt by simp
have 5: cdclW-M-level-inv St
  using rtranclp-cdclW-all-inv(3)[OF st] no-d bt by simp
have 6: no-strange-atm St
  using rtranclp-cdclW-all-inv(4)[OF st] no-d bt by simp
have 7: distinct-cdclW-state St
  using rtranclp-cdclW-all-inv(5)[OF st] no-d bt by simp
have 8: cdclW-conflicting St
  using rtranclp-cdclW-all-inv(6)[OF st] no-d bt by simp
have init-clss S' = init-clss St and conflicting S' = Some {#}
  using ⟨conflicting St ≠ None⟩ full-cdclW-init-clss-with-false-normal-form[OF 1, of - - St]
  2 3 4 5 6 7 8 St apply (metis ⟨cdclW-stgy** St S'⟩ rtranclp-cdclW-stgy-no-more-init-clss)
  using ⟨conflicting St ≠ None⟩ full-cdclW-init-clss-with-false-normal-form[OF 1, of - - St - -
    S'] 2 3 4 5 6 7 8 by (metis bt option.exhaust prod.inject)

moreover have init-clss S' = mset-clss N

```

```

    using ⟨cdclW-stgy** (init-state N) S'⟩ rtranclp-cdclW-stgy-no-more-init-clss by fastforce
  moreover have unsatisfiable (set-mset (mset-clss N))
    by (meson empty satisfiable-def true-clss-empty true-clss-def)
  ultimately show ?thesis by auto
qed

```

lemma *full-cdcl_W-stgy-final-state-conclusive*:

```

  fixes S' :: 'st
  assumes full: full cdclW-stgy (init-state N) S' and no-d: distinct-mset-mset (mset-clss N)
  shows (conflicting S' = Some {#} ∧ unsatisfiable (set-mset (init-clss S')))
    ∨ (conflicting S' = None ∧ trail S' ⊨asm init-clss S')
  using assms full-cdclW-stgy-final-state-conclusive-is-one-false
  full-cdclW-stgy-final-state-conclusive-non-false by blast

```

lemma *full-cdcl_W-stgy-final-state-conclusive-from-init-state*:

```

  fixes S' :: 'st
  assumes full: full cdclW-stgy (init-state N) S'
  and no-d: distinct-mset-mset (mset-clss N)
  shows (conflicting S' = Some {#} ∧ unsatisfiable (set-mset (mset-clss N)))
    ∨ (conflicting S' = None ∧ trail S' ⊨asm (mset-clss N) ∧ satisfiable (set-mset (mset-clss N)))

```

proof –

```

  have N: init-clss S' = (mset-clss N)
    using full unfolding full-def by (auto dest: rtranclp-cdclW-stgy-no-more-init-clss)
  consider

```

```

    (confl) conflicting S' = Some {#} and unsatisfiable (set-mset (init-clss S'))
  | (sat) conflicting S' = None and trail S' ⊨asm init-clss S'
  using full-cdclW-stgy-final-state-conclusive[OF assms] by auto

```

then show ?thesis

proof cases

case confl

then show ?thesis by (auto simp: N)

next

case sat

have cdcl_W-M-level-inv (init-state N) by auto

then have cdcl_W-M-level-inv S'

using full rtranclp-cdcl_W-stgy-consistent-inv unfolding full-def by blast

then have consistent-interp (lits-of-l (trail S')) unfolding cdcl_W-M-level-inv-def by blast

moreover have lits-of-l (trail S') ⊨_s set-mset (init-clss S')

using sat(2) by (auto simp add: true-annots-def true-annot-def true-clss-def)

ultimately have satisfiable (set-mset (init-clss S')) by simp

then show ?thesis using sat unfolding N by blast

qed

qed

end

end

theory *CDCL-W-Termination*

imports *CDCL-W*

begin

context *conflict-driven-clause-learning_W*

begin

19.6 Termination

The condition that no learned clause is a tautology is overkill (in the sense that the no-duplicate condition is enough), but we can reuse *simple-clss*.

The invariant contains all the structural invariants that holds,

definition *cdcl_W-all-struct-inv* **where**

cdcl_W-all-struct-inv $S \longleftrightarrow$
 $\text{no-strange-atm } S \wedge$
 $\text{cdcl}_W\text{-M-level-inv } S \wedge$
 $(\forall s \in \# \text{ learned-clss } S. \neg \text{tautology } s) \wedge$
 $\text{distinct-cdcl}_W\text{-state } S \wedge$
 $\text{cdcl}_W\text{-conflicting } S \wedge$
 $\text{all-decomposition-implies-m } (\text{init-clss } S) (\text{get-all-marked-decomposition } (\text{trail } S)) \wedge$
 $\text{cdcl}_W\text{-learned-clause } S$

lemma *cdcl_W-all-struct-inv-inv*:

assumes *cdcl_W S S'* **and** *cdcl_W-all-struct-inv S*

shows *cdcl_W-all-struct-inv S'*

unfolding *cdcl_W-all-struct-inv-def*

proof (*intro HOL.conjI*)

show *no-strange-atm S'*

using *cdcl_W-all-inv[OF assms(1)] assms(2)* **unfolding** *cdcl_W-all-struct-inv-def* **by** *auto*

show *cdcl_W-M-level-inv S'*

using *cdcl_W-all-inv[OF assms(1)] assms(2)* **unfolding** *cdcl_W-all-struct-inv-def* **by** *fast*

show *distinct-cdcl_W-state S'*

using *cdcl_W-all-inv[OF assms(1)] assms(2)* **unfolding** *cdcl_W-all-struct-inv-def* **by** *fast*

show *cdcl_W-conflicting S'*

using *cdcl_W-all-inv[OF assms(1)] assms(2)* **unfolding** *cdcl_W-all-struct-inv-def* **by** *fast*

show *all-decomposition-implies-m (init-clss S') (get-all-marked-decomposition (trail S'))*

using *cdcl_W-all-inv[OF assms(1)] assms(2)* **unfolding** *cdcl_W-all-struct-inv-def* **by** *fast*

show *cdcl_W-learned-clause S'*

using *cdcl_W-all-inv[OF assms(1)] assms(2)* **unfolding** *cdcl_W-all-struct-inv-def* **by** *fast*

show $\forall s \in \# \text{learned-clss } S'. \neg \text{tautology } s$

using *assms(1)[THEN learned-clss-are-not-tautologies] assms(2)*

unfolding *cdcl_W-all-struct-inv-def* **by** *fast*

qed

lemma *rtrancpl-cdcl_W-all-struct-inv-inv*:

assumes *cdcl_W** S S'* **and** *cdcl_W-all-struct-inv S*

shows *cdcl_W-all-struct-inv S'*

using *assms* **by** *induction (auto intro: cdcl_W-all-struct-inv-inv)*

lemma *cdcl_W-stgy-cdcl_W-all-struct-inv*:

cdcl_W-stgy S T \implies cdcl_W-all-struct-inv S \implies cdcl_W-all-struct-inv T

by (*meson cdcl_W-stgy-trancpl-cdcl_W rtrancpl-cdcl_W-all-struct-inv-inv rtrancpl-unfold*)

lemma *rtrancpl-cdcl_W-stgy-cdcl_W-all-struct-inv*:

*cdcl_W-stgy** S T \implies cdcl_W-all-struct-inv S \implies cdcl_W-all-struct-inv T*

by (*induction rule: rtrancpl-induct*) (*auto intro: cdcl_W-stgy-cdcl_W-all-struct-inv*)

19.7 No Relearning of a clause

lemma *cdcl_W-o-new-clause-learned-is-backtrack-step*:

assumes *learned: D \in # learned-clss T* **and**

new: $D \notin \# \text{ learned-clss } S$ **and**
cdcl_W: *cdcl_W-o* $S \ T$ **and**
lev: *cdcl_W-M-level-inv* S
shows *backtrack* $S \ T \wedge \text{conflicting } S = \text{Some } D$
using *cdcl_W* *lev* *learned new*
proof (*induction rule*: *cdcl_W-o-induct-lev2*)
case (*backtrack* $K \ i \ M1 \ M2 \ L \ C \ T$) **note** *decomp* = *this*(3) **and** *undef* = *this*(6) **and** *andef* = *this*(7)
and
 $T = \text{this}(8)$ **and** $D-T = \text{this}(9)$ **and** $D-S = \text{this}(10)$
then have $D = \text{mset-ccls } C$
using *not-gr0 lev* **by** (*auto simp*: *cdcl_W-M-level-inv-decomp*)
then show ?*case*
using T *backtrack.hyps*(1–5) *backtrack.intros*[*OF backtrack.hyps*(1,2)] *backtrack.hyps*(3–6)
by *auto*
qed *auto*

lemma *cdcl_W-cp-new-clause-learned-has-backtrack-step*:
assumes *learned*: $D \in \# \text{ learned-clss } T$ **and**
new: $D \notin \# \text{ learned-clss } S$ **and**
cdcl_W: *cdcl_W-stgy* $S \ T$ **and**
lev: *cdcl_W-M-level-inv* S
shows $\exists S'. \text{backtrack } S \ S' \wedge \text{cdcl}_W\text{-stgy}^{**} S' \ T \wedge \text{conflicting } S = \text{Some } D$
using *cdcl_W* *learned new*
proof (*induction rule*: *cdcl_W-stgy.induct*)
case (*conflict'* S')
then show ?*case*
unfolding *full1-def* **by** (*metis* (*mono-tags*, *lifting*) *rtranclp-cdcl_W-cp-learned-clause-inv*
trancplp-into-rtranclp)
next
case (*other'* $S' \ S''$)
then have $D \in \# \text{ learned-clss } S'$
unfolding *full-def* **by** (*auto dest*: *rtranclp-cdcl_W-cp-learned-clause-inv*)
then show ?*case*
using *cdcl_W-o-new-clause-learned-is-backtrack-step*[*OF* - $\langle D \notin \# \text{ learned-clss } S \rangle \langle \text{cdcl}_W\text{-o } S \ S' \rangle$]
 $\langle \text{full cdcl}_W\text{-cp } S' \ S'' \rangle$ *lev* **by** (*metis* *cdcl_W-stgy.conflict'* *full-unfold r-into-rtranclp*
rtranclp.rtrancl-refl)
qed

lemma *rtranclp-cdcl_W-cp-new-clause-learned-has-backtrack-step*:
assumes *learned*: $D \in \# \text{ learned-clss } T$ **and**
new: $D \notin \# \text{ learned-clss } S$ **and**
cdcl_W: *cdcl_W-stgy*^{**} $S \ T$ **and**
lev: *cdcl_W-M-level-inv* S
shows $\exists S' \ S''. \text{cdcl}_W\text{-stgy}^{**} S \ S' \wedge \text{backtrack } S' \ S'' \wedge \text{conflicting } S' = \text{Some } D \wedge$
 $\text{cdcl}_W\text{-stgy}^{**} S'' \ T$
using *cdcl_W* *learned new*
proof (*induction rule*: *rtranclp-induct*)
case *base*
then show ?*case* **by** *blast*
next
case (*step* $T \ U$) **note** $st = \text{this}(1)$ **and** $o = \text{this}(2)$ **and** $IH = \text{this}(3)$ **and**
 $D-U = \text{this}(4)$ **and** $D-S = \text{this}(5)$
show ?*case*
proof (*cases* $D \in \# \text{ learned-clss } T$)
case *True*

```

then obtain  $S' S''$  where
   $st'$ :  $cdcl_W\text{-stgy}^{**} S S'$  and
   $bt$ :  $backtrack S' S''$  and
   $confl$ :  $conflicting S' = Some D$  and
   $st''$ :  $cdcl_W\text{-stgy}^{**} S'' T$ 
  using  $IH D\text{-}S$  by  $metis$ 
have  $cdcl_W\text{-stgy}^{++} S'' U$ 
  using  $st'' o$  by  $force$ 
then show  $?thesis$ 
  by ( $meson bt confl rtrancpl\text{-}unfold st'$ )
next
case  $False$ 
have  $cdcl_W\text{-}M\text{-level}\text{-}inv T$ 
  using  $lev rtrancpl\text{-}cdcl_W\text{-stgy}\text{-consistent}\text{-}inv st$  by  $blast$ 
then obtain  $S'$  where
   $bt$ :  $backtrack T S'$  and
   $st'$ :  $cdcl_W\text{-stgy}^{**} S' U$  and
   $confl$ :  $conflicting T = Some D$ 
  using  $cdcl_W\text{-cp}\text{-new}\text{-clause}\text{-learned}\text{-has}\text{-backtrack}\text{-step}[OF D\text{-}U False o]$ 
  by  $metis$ 
then have  $cdcl_W\text{-stgy}^{**} S T$  and
   $backtrack T S'$  and
   $conflicting T = Some D$  and
   $cdcl_W\text{-stgy}^{**} S' U$ 
  using  $o st$  by  $auto$ 
then show  $?thesis$  by  $blast$ 
qed
qed

```

lemma $propagate\text{-no}\text{-more}\text{-Marked}\text{-lit}$:
assumes $propagate S S'$
shows $Marked K i \in set (trail S) \longleftrightarrow Marked K i \in set (trail S')$
using $assms$ **by** ($auto elim: propagateE$)

lemma $conflict\text{-no}\text{-more}\text{-Marked}\text{-lit}$:
assumes $conflict S S'$
shows $Marked K i \in set (trail S) \longleftrightarrow Marked K i \in set (trail S')$
using $assms$ **by** ($auto elim: conflictE$)

lemma $cdcl_W\text{-cp}\text{-no}\text{-more}\text{-Marked}\text{-lit}$:
assumes $cdcl_W\text{-cp} S S'$
shows $Marked K i \in set (trail S) \longleftrightarrow Marked K i \in set (trail S')$
using $assms$ **apply** ($induct rule: cdcl_W\text{-cp.induct}$)
using $conflict\text{-no}\text{-more}\text{-Marked}\text{-lit} propagate\text{-no}\text{-more}\text{-Marked}\text{-lit}$ **by** $auto$

lemma $rtrancpl\text{-}cdcl_W\text{-cp}\text{-no}\text{-more}\text{-Marked}\text{-lit}$:
assumes $cdcl_W\text{-cp}^{**} S S'$
shows $Marked K i \in set (trail S) \longleftrightarrow Marked K i \in set (trail S')$
using $assms$ **apply** ($induct rule: rtrancpl\text{-}induct$)
using $cdcl_W\text{-cp}\text{-no}\text{-more}\text{-Marked}\text{-lit}$ **by** $blast+$

lemma $cdcl_W\text{-o}\text{-no}\text{-more}\text{-Marked}\text{-lit}$:
assumes $cdcl_W\text{-o} S S'$ **and** $lev: cdcl_W\text{-}M\text{-level}\text{-}inv S$ **and** $\neg decide S S'$
shows $Marked K i \in set (trail S') \longrightarrow Marked K i \in set (trail S)$
using $assms$

proof (*induct rule: cdcl_W-o-induct-lev2*)
case *backtrack* **note** *decomp = this(3)* **and** *undef = this(7)* **and** *T = this(8)*
then show ?*case* **using** *lev* **by** (*auto simp: cdcl_W-M-level-inv-decomp*)
next
case (*decide L T*)
then show ?*case* **using** *decide-rule[OF decide.hyps]* **by** *blast*
qed *auto*

lemma *cdcl_W-new-marked-at-beginning-is-decide:*
assumes *cdcl_W-stgy S S'* **and**
lev: cdcl_W-M-level-inv S **and**
trail S' = M' @ Marked L i # M **and**
trail S = M
shows $\exists T. \text{decide } S \ T \wedge \text{no-step } \text{cdcl}_W\text{-cp } S$
using *assms*

proof (*induct rule: cdcl_W-stgy.induct*)
case (*conflict' S'*) **note** *st = this(1)* **and** *no-dup = this(2)* **and** *S' = this(3)* **and** *S = this(4)*
have *cdcl_W-M-level-inv S'*
using *full1-cdcl_W-cp-consistent-inv no-dup st* **by** *blast*
then have *Marked L i ∈ set (trail S')* **and** *Marked L i ∉ set (trail S)*
using *no-dup unfolding S S' cdcl_W-M-level-inv-def* **by** (*auto simp add: rev-image-eqI*)
then have *False*
using *st rtranclp-cdcl_W-cp-no-more-Marked-lit[of S S']*
unfolding *full1-def rtranclp-unfold* **by** *blast*
then show ?*case* **by** *fast*

next
case (*other' T U*) **note** *o = this(1)* **and** *ns = this(2)* **and** *st = this(3)* **and** *no-dup = this(4)* **and**
S' = this(5) **and** *S = this(6)*
have *cdcl_W-M-level-inv U*
by (*metis (full-types) lev cdcl_W.simps cdcl_W-consistent-inv full-def o*
other'.hyps(3) rtranclp-cdcl_W-cp-consistent-inv)
then have *Marked L i ∈ set (trail U)* **and** *Marked L i ∉ set (trail S)*
using *no-dup unfolding S S' cdcl_W-M-level-inv-def* **by** (*auto simp add: rev-image-eqI*)
then have *Marked L i ∈ set (trail T)*
using *st rtranclp-cdcl_W-cp-no-more-Marked-lit* **unfolding** *full-def* **by** *blast*
then show ?*case*
using *cdcl_W-o-no-more-Marked-lit[OF o] ⟨Marked L i ∉ set (trail S)⟩ ns lev* **by** *meson*
qed

lemma *cdcl_W-o-is-decide:*
assumes *cdcl_W-o S T* **and** *lev: cdcl_W-M-level-inv S*
trail T = drop (length M₀) M' @ Marked L i # H @ M **and**
 $\neg (\exists M'. \text{trail } S = M' @ \text{Marked } L \ i \ # \ H @ M)$
shows *decide S T*
using *assms*

proof (*induction rule: cdcl_W-o-induct-lev2*)
case (*backtrack K i M1 M2 L D T*)
then obtain *c* **where** *trail S = c @ M2 @ Marked K (Suc i) # M1*
by *auto*
show ?*case*
using *backtrack lev*
apply (*cases drop (length M₀) M'*)
apply (*auto simp: cdcl_W-M-level-inv-decomp*)
using $\langle \text{trail } S = c @ M2 @ \text{Marked } K \ (Suc \ i) \ # \ M1 \rangle$
by (*auto simp: cdcl_W-M-level-inv-decomp*)

```

next
  case decide
  show ?case using decide-rule[of S] decide(1-4) by auto
qed auto

lemma rtrancpl-cdclW-new-marked-at-beginning-is-decide:
  assumes cdclW-stgy** R U and
  trail U = M' @ Marked L i # H @ M and
  trail R = M and
  cdclW-M-level-inv R
  shows
     $\exists S T T'. \text{cdcl}_W\text{-stgy}^{**} R S \wedge \text{decide } S T \wedge \text{cdcl}_W\text{-stgy}^{**} T U \wedge \text{cdcl}_W\text{-stgy}^{**} S U \wedge$ 
    no-step cdclW-cp S  $\wedge$  trail T = Marked L i # H @ M  $\wedge$  trail S = H @ M  $\wedge$  cdclW-stgy S T'  $\wedge$ 
    cdclW-stgy** T' U
  using assms
proof (induct arbitrary: M H M' i rule: rtrancpl-induct)
  case base
  then show ?case by auto
next
  case (step T U) note st = this(1) and IH = this(3) and s = this(2) and
    U = this(4) and S = this(5) and lev = this(6)
  show ?case
  proof (cases  $\exists M'. \text{trail } T = M' @ \text{Marked } L i \# H @ M$ )
    case False
    with s show ?thesis using U s st S
  proof induction
    case (conflict' W) note cp = this(1) and nd = this(2) and W = this(3)
    then obtain M0 where trail W = M0 @ trail T and nmarked:  $\forall l \in \text{set } M_0. \neg \text{is-marked } l$ 
      using rtrancpl-cdclW-cp-dropWhile-trail unfolding full1-def rtrancpl-unfold by meson
    then have MV:  $M' @ \text{Marked } L i \# H @ M = M_0 @ \text{trail } T$  unfolding W by simp
    then have V: trail T = drop (length M0) (M' @ Marked L i # H @ M)
      by auto
    have takeWhile (Not o is-marked) M' = M0 @ takeWhile (Not o is-marked) (trail T)
      using arg-cong[OF MV, of takeWhile (Not o is-marked)] nmarked
      by (simp add: takeWhile-tail)
    from arg-cong[OF this, of length] have length M0 ≤ length M'
      unfolding length-append by (metis (no-types, lifting) Nat.le-trans le-add1
        length-takeWhile-le)
    then have False using nd V by auto
    then show ?case by fast
  next
    case (other' T' U) note o = this(1) and ns = this(2) and cp = this(3) and nd = this(4)
      and U = this(5) and st = this(6)
    obtain M0 where trail U = M0 @ trail T' and nmarked:  $\forall l \in \text{set } M_0. \neg \text{is-marked } l$ 
      using rtrancpl-cdclW-cp-dropWhile-trail cp unfolding full-def by meson
    then have MV:  $M' @ \text{Marked } L i \# H @ M = M_0 @ \text{trail } T'$  unfolding U by simp
    then have V: trail T' = drop (length M0) (M' @ Marked L i # H @ M)
      by auto
    have takeWhile (Not o is-marked) M' = M0 @ takeWhile (Not o is-marked) (trail T')
      using arg-cong[OF MV, of takeWhile (Not o is-marked)] nmarked
      by (simp add: takeWhile-tail)
    from arg-cong[OF this, of length] have length M0 ≤ length M'
      unfolding length-append by (metis (no-types, lifting) Nat.le-trans le-add1
        length-takeWhile-le)
    then have tr-T': trail T' = drop (length M0) M' @ Marked L i # H @ M using V by auto
  end
end

```

```

then have  $LT'$ : Marked  $L\ i \in \text{set } (\text{trail } T')$  by auto
moreover
  have  $\text{cdcl}_W\text{-}M\text{-level-inv } T$ 
    using  $\text{lev } r\text{trancp}\text{-}\text{cdcl}_W\text{-stgy-consistent-inv } \text{step.hyps}(1)$  by blast
  then have  $\text{decide } T\ T'$  using  $\text{ond } \text{tr-}T'\ \text{cdcl}_W\text{-o-is-decide}$  by metis
ultimately have  $\text{decide } T\ T'$  using  $\text{cdcl}_W\text{-o-no-more-Marked-lit}[OF\ o]$  by blast
then have 1:  $\text{cdcl}_W\text{-stgy}^{**}\ R\ T$  and 2:  $\text{decide } T\ T'$  and 3:  $\text{cdcl}_W\text{-stgy}^{**}\ T'\ U$ 
  using  $\text{st } \text{other'}.prems(4)$ 
  by ( $\text{metis } \text{cdcl}_W\text{-stgy.conflict' } \text{cp full-unfold } r\text{-into-rtrancp } r\text{trancp}.r\text{trancp-refl}$ )+
have [ $\text{simp}$ ]:  $\text{drop } (\text{length } M_0)\ M' = []$ 
  using  $\langle \text{decide } T\ T' \rangle \langle \text{Marked } L\ i \in \text{set } (\text{trail } T') \rangle\ \text{nd } \text{tr-}T'$ 
  by ( $\text{auto simp add: Cons-eq-append-conv elim: decideE}$ )
have  $T'$ :  $\text{drop } (\text{length } M_0)\ M' @ \text{Marked } L\ i \# H @ M = \text{Marked } L\ i \# \text{trail } T$ 
  using  $\langle \text{decide } T\ T' \rangle \langle \text{Marked } L\ i \in \text{set } (\text{trail } T') \rangle\ \text{nd } \text{tr-}T'$ 
  by ( $\text{auto elim: decideE}$ )
have  $\text{trail } T' = \text{Marked } L\ i \# \text{trail } T$ 
  using  $\langle \text{decide } T\ T' \rangle \langle \text{Marked } L\ i \in \text{set } (\text{trail } T') \rangle\ \text{tr-}T'$ 
  by ( $\text{auto elim: decideE}$ )
then have 5:  $\text{trail } T' = \text{Marked } L\ i \# H @ M$ 
  using  $\text{append.simps}(1)\ \text{list.sel}(3)\ \text{local.other'}(5)\ \text{tl-append2}$  by ( $\text{simp add: tr-}T'$ )
have 6:  $\text{trail } T = H @ M$ 
  by ( $\text{metis } (\text{no-types})\ \langle \text{trail } T' = \text{Marked } L\ i \# \text{trail } T \rangle$ 
     $\langle \text{trail } T' = \text{drop } (\text{length } M_0)\ M' @ \text{Marked } L\ i \# H @ M \rangle\ \text{append-Nil list.sel}(3)\ \text{nd}$ 
     $\text{tl-append2}$ )
have 7:  $\text{cdcl}_W\text{-stgy}^{**}\ T\ U$  using  $\text{other'}.prems(4)\ \text{st}$  by auto
have 8:  $\text{cdcl}_W\text{-stgy}\ T\ U\ \text{cdcl}_W\text{-stgy}^{**}\ U\ U$ 
  using  $\text{cdcl}_W\text{-stgy.other'}[OF\ \text{other'}(1-3)]$  by  $\text{simp-all}$ 
show ?case apply (rule  $\text{exI}[of\ -\ T]$ , rule  $\text{exI}[of\ -\ T]$ , rule  $\text{exI}[of\ -\ U]$ )
  using  $\text{ns } 1\ 2\ 3\ 5\ 6\ 7\ 8$  by fast
qed
next
case True
then obtain  $M'$  where  $T$ :  $\text{trail } T = M' @ \text{Marked } L\ i \# H @ M$  by metis
from  $IH[OF\ \text{this } S\ \text{lev}]$  obtain  $S'\ S''\ S'''$  where
  1:  $\text{cdcl}_W\text{-stgy}^{**}\ R\ S'$  and
  2:  $\text{decide } S'\ S''$  and
  3:  $\text{cdcl}_W\text{-stgy}^{**}\ S''\ T$  and
  4:  $\text{no-step } \text{cdcl}_W\text{-cp } S'$  and
  6:  $\text{trail } S'' = \text{Marked } L\ i \# H @ M$  and
  7:  $\text{trail } S' = H @ M$  and
  8:  $\text{cdcl}_W\text{-stgy}^{**}\ S'\ T$  and
  9:  $\text{cdcl}_W\text{-stgy}\ S'\ S'''$  and
  10:  $\text{cdcl}_W\text{-stgy}^{**}\ S'''\ T$ 
  by blast
have  $\text{cdcl}_W\text{-stgy}^{**}\ S''\ U$  using  $s\ \langle \text{cdcl}_W\text{-stgy}^{**}\ S''\ T \rangle$  by auto
moreover have  $\text{cdcl}_W\text{-stgy}^{**}\ S'\ U$  using  $8\ s$  by auto
moreover have  $\text{cdcl}_W\text{-stgy}^{**}\ S'''\ U$  using  $10\ s$  by auto
ultimately show ?thesis apply – apply (rule  $\text{exI}[of\ -\ S]$ , rule  $\text{exI}[of\ -\ S']$ )
  using  $1\ 2\ 4\ 6\ 7\ 8\ 9$  by blast
qed
qed

```

lemma $r\text{trancp}\text{-}\text{cdcl}_W\text{-new-marked-at-beginning-is-decide'}$:

```

assumes  $\text{cdcl}_W\text{-stgy}^{**}\ R\ U$  and
 $\text{trail } U = M' @ \text{Marked } L\ i \# H @ M$  and

```

trail $R = M$ **and**
cdcl_W-M-level-inv R
shows $\exists y y'. \text{cdcl}_W\text{-stgy}^{**} R y \wedge \text{cdcl}_W\text{-stgy } y y' \wedge \neg (\exists c. \text{trail } y = c @ \text{Marked } L i \# H @ M)$
 $\wedge (\lambda a b. \text{cdcl}_W\text{-stgy } a b \wedge (\exists c. \text{trail } a = c @ \text{Marked } L i \# H @ M))^{**} y' U$
proof –
fix T'
obtain $S' T T'$ **where**
st: $\text{cdcl}_W\text{-stgy}^{**} R S'$ **and**
decide $S' T$ **and**
TU: $\text{cdcl}_W\text{-stgy}^{**} T U$ **and**
no-step $\text{cdcl}_W\text{-cp } S'$ **and**
trT: $\text{trail } T = \text{Marked } L i \# H @ M$ **and**
trS': $\text{trail } S' = H @ M$ **and**
S'U: $\text{cdcl}_W\text{-stgy}^{**} S' U$ **and**
S'T': $\text{cdcl}_W\text{-stgy } S' T'$ **and**
T'U: $\text{cdcl}_W\text{-stgy}^{**} T' U$
using *rtranclp-cdcl_W-new-marked-at-beginning-is-decide*[*OF assms*] **by** *blast*
have $n: \neg (\exists c. \text{trail } S' = c @ \text{Marked } L i \# H @ M)$ **using** *trS'* **by** *auto*
show *?thesis*
using *rtranclp-trans*[*OF st*] *rtranclp-exists-last-with-prop*[*of cdcl_W-stgy S' T' -*
 $\lambda a -. \neg (\exists c. \text{trail } a = c @ \text{Marked } L i \# H @ M), \text{OF } S'T' T'U n]$
by *meson*
qed

lemma *beginning-not-marked-invert*:
assumes $A: M @ A = M' @ \text{Marked } K i \# H$ **and**
nm: $\forall m \in \text{set } M. \neg \text{is-marked } m$
shows $\exists M. A = M @ \text{Marked } K i \# H$
proof –
have $A = \text{drop } (\text{length } M) (M' @ \text{Marked } K i \# H)$
using *arg-cong*[*OF A, of drop (length M)*] **by** *auto*
moreover have $\text{drop } (\text{length } M) (M' @ \text{Marked } K i \# H) = \text{drop } (\text{length } M) M' @ \text{Marked } K i \# H$
using *nm* **by** (*metis* (*no-types, lifting*) *A drop-Cons' drop-append marked-lit.disc(1) not-gr0*
 $\text{nth-append nth-append-length nth-mem zero-less-diff}$)
finally show *?thesis* **by** *fast*
qed

lemma *cdcl_W-stgy-trail-has-new-marked-is-decide-step*:
assumes $\text{cdcl}_W\text{-stgy } S T$
 $\neg (\exists c. \text{trail } S = c @ \text{Marked } L i \# H @ M)$ **and**
 $(\lambda a b. \text{cdcl}_W\text{-stgy } a b \wedge (\exists c. \text{trail } a = c @ \text{Marked } L i \# H @ M))^{**} T U$ **and**
 $\exists M'. \text{trail } U = M' @ \text{Marked } L i \# H @ M$ **and**
lev: $\text{cdcl}_W\text{-M-level-inv } S$
shows $\exists S'. \text{decide } S S' \wedge \text{full } \text{cdcl}_W\text{-cp } S' T \wedge \text{no-step } \text{cdcl}_W\text{-cp } S$
using *assms(3,1,2,4,5)*
proof *induction*
case (*step* $T U$)
then show *?case* **by** *fastforce*
next
case *base*
then show *?case*
proof (*induction rule: cdcl_W-stgy.induct*)
case (*conflict' T*) **note** $cp = \text{this}(1)$ **and** $nd = \text{this}(2)$ **and** $M' = \text{this}(3)$ **and** $\text{no-dup} = \text{this}(3)$
then obtain M' **where** $M': \text{trail } T = M' @ \text{Marked } L i \# H @ M$ **by** *metis*
obtain M'' **where** $M'': \text{trail } T = M'' @ \text{trail } S$ **and** $\text{nm}: \forall m \in \text{set } M''. \neg \text{is-marked } m$

```

    using cp unfolding full1-def
    by (metis rtrancpl-cdclW-cp-dropWhile-trail' trancpl-into-rtrancpl)
have False
    using beginning-not-marked-invert[of M'' trail S M' L i H @ M] M' nm nd unfolding M''
    by fast
then show ?case by fast
next
case (other' T U') note o = this(1) and ns = this(2) and cp = this(3) and nd = this(4)
    and trU' = this(5)
have cdclW-cp** T U' using cp unfolding full-def by blast
from rtrancpl-cdclW-cp-dropWhile-trail[OF this]
have  $\exists M'. \text{trail } T = M' @ \text{Marked } L \ i \ \# \ H @ M$ 
    using trU' beginning-not-marked-invert[of - trail T - L i H @ M] by metis
then obtain M' where M': trail T = M' @ Marked L i # H @ M
    by auto
with o lev nd cp ns
show ?case
proof (induction rule: cdclW-o-induct-lev2)
case (decide L) note dec = this(1) and cp = this(5) and ns = this(4)
then have decide S (cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S))
    using decide.hyps decide.intros[of S] by force
then show ?case using cp decide.premis by (meson decide-state-eq-compatible ns state-eq-ref
    state-eq-sym)
next
case (backtrack K j M1 M2 L' D T) note decomp = this(3) and undef = this(7) and
    T = this(8) and trT = this(12)
obtain MS3 where MS3: trail S = MS3 @ M2 @ Marked K (Suc j) # M1
    using get-all-marked-decomposition-exists-prepend[OF decomp] by metis
have tl (M' @ Marked L i # H @ M) = tl M' @ Marked L i # H @ M
    using lev trT T lev undef decomp by (cases M') (auto simp: cdclW-M-level-inv-decomp)
then have M'': M1 = tl M' @ Marked L i # H @ M
    using arg-cong[OF trT[simplified], of tl] T decomp undef lev
    by (simp add: cdclW-M-level-inv-decomp)
have False using nd MS3 T undef decomp unfolding M'' by auto
then show ?case by fast
qed auto
qed
qed

```

lemma *rtrancpl-cdcl_W-stgy-with-trail-end-has-trail-end:*

```

assumes (λa b. cdclW-stgy a b ∧ (∃ c. trail a = c @ Marked L i # H @ M))** T U and
    ∃ M'. trail U = M' @ Marked L i # H @ M
shows ∃ M'. trail T = M' @ Marked L i # H @ M
using assms by (induction rule: rtrancpl-induct) auto

```

lemma *remove1-mset-eq-remove1-mset-same:*

```

remove1-mset L D = remove1-mset L' D  $\implies$  L ∈# D  $\implies$  L = L'
by (metis diff-single-trivial insert-DiffM multi-drop-mem-not-eq single-eq-single
    union-right-cancel)

```

lemma *cdcl_W-o-cannot-learn:*

```

assumes
    cdclW-o y z and
    lev: cdclW-M-level-inv y and
    trM: trail y = c @ Marked Kh i # H and

```


DL: $D \notin \# \text{ learned-clss } y$ and
 LD: $L \in \# D$ and
 DH: $\text{atms-of } (\text{remove1-mset } L \ D) \subseteq \text{atm-of 'lits-of-l } H$ and
 LH: $\text{atm-of } L \notin \text{atm-of 'lits-of-l } H$ and
 learned: $\forall T. \text{ conflicting } y = \text{Some } T \longrightarrow \text{trail } y \models_{\text{as}} \text{CNot } T$ and
 z: $\text{trail } z = c' @ \text{Marked } Kh \ i \ \# \ H$
 shows $D \notin \# \text{ learned-clss } z$
 using $\text{assms}(1-2) \text{ trM } DL \ DH \ LH \text{ learned } z$
proof (induction rule: $\text{cdcl}_W\text{-o-induct-lev2}$)
 case (backtrack $K \ j \ M1 \ M2 \ L' \ D' \ T$) **note** $\text{confl} = \text{this}(1)$ and $LD' = \text{this}(2)$ and $\text{decomp} = \text{this}(3)$
 and $\text{levL} = \text{this}(4)$ and $\text{levD} = \text{this}(5)$ and $j = \text{this}(6)$ and $\text{undef} = \text{this}(7)$ and $T = \text{this}(8)$ and
 $z = \text{this}(14)$

 obtain $M3$ where $M3: \text{trail } y = M3 @ M2 @ \text{Marked } K \ (\text{Suc } j) \ \# \ M1$
 using decomp $\text{get-all-marked-decomposition-exists-prepend}$ **by** metis
 have $M: \text{trail } y = c @ \text{Marked } Kh \ i \ \# \ H$ using trM **by** simp
 have $H: \text{get-all-levels-of-marked } (\text{trail } y) = \text{rev } [1..<1 + \text{backtrack-lvl } y]$
 using lev **unfolding** $\text{cdcl}_W\text{-M-level-inv-def}$ **by** auto
 have $c' @ \text{Marked } Kh \ i \ \# \ H = \text{Propagated } L' \ (\text{mset-ccls } D') \ \# \ \text{trail } (\text{reduce-trail-to } M1 \ y)$
 using $z \ \text{decomp} \ \text{undef } T \ \text{lev}$ **by** (force $\text{simp: cdcl}_W\text{-M-level-inv-def}$)
 then obtain d where $d: M1 = d @ \text{Marked } Kh \ i \ \# \ H$
by (metis (no-types) decomp $\text{in-get-all-marked-decomposition-trail-update-trail list.inject}$
 $\text{list.sel}(3) \ \text{marked-lit.distinct}(1) \ \text{self-append-conv2 tl-append2}$)
 have $i \in \text{set } (\text{get-all-levels-of-marked } (M3 @ M2 @ \text{Marked } K \ (\text{Suc } j) \ \# \ d @ \text{Marked } Kh \ i \ \# \ H))$
by auto
 then have $i > 0$ **unfolding** $H[\text{unfolded } M3 \ d]$ **by** auto
 show ?case
proof
 assume $D \in \# \text{ learned-clss } T$
 then have $DLD': D = \text{mset-ccls } D'$
 using $DL \ T \ \text{neq0-conv} \ \text{undef} \ \text{decomp} \ \text{lev}$ **by** (fastforce $\text{simp: cdcl}_W\text{-M-level-inv-def}$)
 have $L\text{-cKh}: \text{atm-of } L \in \text{atm-of 'lits-of-l } (c @ [\text{Marked } Kh \ i])$
 using $LH \ \text{learned } M \ DLD'[\text{symmetric}] \ \text{confl } LD' \ LD$

 apply (auto $\text{simp add: image-iff dest!: in-CNot-implies-uminus}$)
 apply ($\text{metis atm-of-uminus}$) + **done**
 have $\text{get-all-levels-of-marked } (M3 @ M2 @ \text{Marked } K \ (j + 1) \ \# \ M1)$
 $= \text{rev } [1..<1 + \text{backtrack-lvl } y]$
 using lev **unfolding** $\text{cdcl}_W\text{-M-level-inv-def } M3$ **by** auto
 from $\text{arg-cong}[OF \ \text{this}, \text{ of } \lambda a. (\text{Suc } j) \in \text{set } a]$ **have** $\text{backtrack-lvl } y \geq j$ **by** auto

 have $DD'[\text{simp}]: \text{remove1-mset } L \ D = \text{mset-ccls } D' - \{\#L'\#\}$
proof (rule ccontr)
 assume $DD': \neg ?thesis$
 then have $L' \in \# \text{remove1-mset } L \ D$ using $DLD' \ LD$ **by** ($\text{metis } LD' \ \text{in-remove1-mset-neq}$)
 then have $\text{get-level } (\text{trail } y) \ L' \leq \text{get-maximum-level } (\text{trail } y) \ (\text{remove1-mset } L \ D)$
 using $\text{get-maximum-level-ge-get-level}$ **by** blast
moreover {
 have $\text{get-maximum-level } (\text{trail } y) \ (\text{remove1-mset } L \ D) =$
 $\text{get-maximum-level } H \ (\text{remove1-mset } L \ D)$
 using DH **unfolding** M **by** ($\text{simp add: get-maximum-level-skip-beginning}$)
moreover
 have $\text{get-all-levels-of-marked } (\text{trail } y) = \text{rev } [1..<1 + \text{backtrack-lvl } y]$
 using lev **unfolding** $\text{cdcl}_W\text{-M-level-inv-def}$ **by** auto
 then have $\text{get-all-levels-of-marked } H = \text{rev } [1..< \ i]$

```

    unfolding M by (auto dest: append-cons-eq-upt-length-i
      simp add: rev-swap[symmetric])
  then have get-maximum-possible-level H < i
    using get-maximum-possible-level-max-get-all-levels-of-marked[of H] ⟨i > 0⟩ by auto
  ultimately have get-maximum-level (trail y) (remove1-mset L D) < i
    by (metis (full-types) dual-order.strict-trans nat-neq-iff not-le
      get-maximum-possible-level-ge-get-maximum-level) }
moreover
  have L ∈# remove1-mset L' (mset-ccls D')
    using DLD'[symmetric] DD' LD by (metis in-remove1-mset-neq)
  then have get-maximum-level (trail y) (remove1-mset L' (mset-ccls D')) ≥
    get-level (trail y) L
    using get-maximum-level-ge-get-level by blast
moreover {
  have get-all-levels-of-marked (c @ [Marked Kh i]) = rev [i..< backtrack-lvl y+1]
    using append-cons-eq-upt-length-i-end[of rev (get-all-levels-of-marked H) i
      rev (get-all-levels-of-marked c) Suc 0 Suc (backtrack-lvl y)] H
  unfolding M apply (auto simp add: rev-swap[symmetric])
    by (metis (no-types, hide-lams) Nil-is-append-conv Suc-le-eq less-Suc-eq list.sel(1)
      rev.simps(2) rev-rev-ident upt-Suc upt-rec)
  have get-level (trail y) L = get-level (c @ [Marked Kh i]) L
    using L-cKh LH unfolding M by simp
  have get-level (c @ [Marked Kh i]) L ≥ i
    using L-cKh levL
      ⟨get-all-levels-of-marked (c @ [Marked Kh i]) = rev [i..<backtrack-lvl y + 1]⟩
      calculation(1,2) by auto
  then have get-level (trail y) L ≥ i
    using M ⟨get-level (trail y) L = get-level (c @ [Marked Kh i]) L⟩ by auto }
moreover
  have get-maximum-level (trail y) (remove1-mset L' (mset-ccls D'))
    < get-level (trail y) L
    using ⟨j ≤ backtrack-lvl y⟩ levL j calculation(1-4) by linarith
  ultimately show False using backtrack.hyps(4) by linarith
qed
then have LL': L = L'
  using LD LD' remove1-mset-eq-remove1-mset-same unfolding DLD'[symmetric] by fast
have nd: no-dup (trail y) using lev unfolding cdclW-M-level-inv-def by auto

{ assume D: remove1-mset L (mset-ccls D') = {#}
  then have j: j = 0 using levD j by (simp add: LL')
  have ∀ m ∈ set M1. ¬is-marked m
    using H unfolding M3 j
    by (auto simp add: rev-swap[symmetric] get-all-levels-of-marked-no-marked
      dest!: append-cons-eq-upt-length-i)
  then have False using d by auto
}
moreover {
  assume D[simp]: remove1-mset L (mset-ccls D') ≠ {#}
  have i ≤ j
    using H unfolding M3 d by (auto simp add: rev-swap[symmetric]
      dest: upt-decomp-lt)
  have j > 0 apply (rule ccontr)
    using H ⟨i > 0⟩ unfolding M3 d
    by (auto simp add: rev-swap[symmetric] dest!: upt-decomp-lt)
  obtain L'' where

```

```

    L'' ∈ # remove1-mset L (mset-ccls D') and
    L''D': get-level (trail y) L'' = get-maximum-level (trail y)
      (remove1-mset L (mset-ccls D'))
    using get-maximum-level-exists-lit-of-max-level[OF D, of trail y] by auto
  have L''M: atm-of L'' ∈ atm-of ' lits-of-l (trail y)
    using get-rev-level-ge-0-atm-of-in[of 0 rev (trail y) L''] ⟨j>0⟩ levD L''D'
      ⟨j ≤ backtrack-lvl y⟩ levL by (simp add: LL' j)
  then have L'' ∈ lits-of-l (Marked Kh i # d)
  proof -
    {
      assume L''H: atm-of L'' ∈ atm-of ' lits-of-l H
      have get-all-levels-of-marked H = rev [1..i]
        using H unfolding M
        by (auto simp add: rev-swap[symmetric] dest!: append-cons-eq-upt-length-i)
      moreover have get-level (trail y) L'' = get-level H L''
        using L''H unfolding M by simp
      ultimately have False
        using levD ⟨j>0⟩ get-rev-level-in-levels-of-marked[of rev H 0 L''] ⟨i ≤ j⟩
        unfolding L''D'[symmetric] nd
        by (metis L''D' LL' Max-n-upt Nat.le-trans One-nat-def Suc-pred ⟨0 < i⟩
          get-all-levels-of-marked-rev-eq-rev-get-all-levels-of-marked
          get-rev-level-less-max-get-all-levels-of-marked j lessI list.simps(15)
          not-less rev-rev-ident set-upt)
    }
  moreover
    have atm-of L'' ∈ atm-of ' lits-of-l H
      using DD' DH ⟨L'' ∈ # remove1-mset L (mset-ccls D')⟩ atm-of-lit-in-atms-of LL' LD
      LD' by fastforce
    ultimately show ?thesis
      using DD' DH ⟨L'' ∈ # remove1-mset L (mset-ccls D')⟩ atm-of-lit-in-atms-of
      by auto
  qed
  moreover
    have atm-of L'' ∈ atms-of ( remove1-mset L (mset-ccls D'))
      using ⟨L'' ∈ # remove1-mset L (mset-ccls D')⟩ by (auto simp: atms-of-def)

    then have atm-of L'' ∈ atm-of ' lits-of-l H
      using DH unfolding DD' unfolding LL' by blast
    ultimately have False
      using nd unfolding M3 d LL' by (auto simp: lits-of-def)
  }
  ultimately show False by blast
qed
qed auto

```

lemma *cdcl_W-stgy-with-trail-end-has-not-been-learned:*

assumes

cdcl_W-stgy y z **and**

cdcl_W-M-level-inv y **and**

trail y = c @ Marked Kh i # H **and**

D ∉ # learned-clss y **and**

LD: L ∈ # D **and**

DH: atms-of (remove1-mset L D) ⊆ atm-of ' lits-of-l H **and**

LH: atm-of L ∉ atm-of ' lits-of-l H **and**

$\forall T. \text{conflicting } y = \text{Some } T \longrightarrow \text{trail } y \models_{\text{as}} \text{CNot } T$ **and**

```

    trail z = c' @ Marked Kh i # H
  shows D ∉# learned-clss z
  using assms
proof induction
  case conflict'
  then show ?case
    unfolding full1-def using rtranclp-cdclW-cp-learned-clause-inv by auto
next
  case (other' T U) note o = this(1) and cp = this(3) and lev = this(4) and trY = this(5) and
    notin = this(6) and LD = this(7) and DH = this(8) and LH = this(9) and confl = this(10) and
    trU = this(11)
  obtain c' where c': trail T = c' @ Marked Kh i # H
  using cp beginning-not-marked-invert[of - trail T c' Kh i H]
    rtranclp-cdclW-cp-dropWhile-trail[of T U] unfolding trU full-def by fastforce
  show ?case
    using cdclW-o-cannot-learn[OF o lev trY notin LD DH LH confl c']
      rtranclp-cdclW-cp-learned-clause-inv cp unfolding full-def by auto
qed

```

lemma *rtranclp-cdcl_W-stgy-with-trail-end-has-not-been-learned*:

```

  assumes
    (λa b. cdclW-stgy a b ∧ (∃ c. trail a = c @ Marked K i # H @ []))** S z and
    cdclW-all-struct-inv S and
    trail S = c @ Marked K i # H and
    D ∉# learned-clss S and
    LD: L ∈# D and
    DH: atm-of (remove1-mset L D) ⊆ atm-of ' lits-of-l H and
    LH: atm-of L ∉ atm-of ' lits-of-l H and
    ∃ c'. trail z = c' @ Marked K i # H
  shows D ∉# learned-clss z
  using assms(1-4,8)
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by auto[1]
next
  case (step T U) note st = this(1) and s = this(2) and IH = this(3)[OF this(4-6)]
    and lev = this(4) and trS = this(5) and DL-S = this(6) and trU = this(7)
  obtain c where c: trail T = c @ Marked K i # H using s by auto
  obtain c' where c': trail U = c' @ Marked K i # H using trU by blast
  have cdclW** S T
  proof -
    have ∀ p pa. ∃ s sa. ∀ sb sc sd se. (¬ p** (sb::'st) sc ∨ p s sa ∨ pa** sb sc)
      ∧ (¬ pa s sa ∨ ¬ p** sd se ∨ pa** sd se)
    by (metis (no-types) mono-rtranclp)
    then have cdclW-stgy** S T
    using st by blast
    then show ?thesis
      using rtranclp-cdclW-stgy-rtranclp-cdclW by blast
  qed
  then have lev': cdclW-all-struct-inv T
  using rtranclp-cdclW-all-struct-inv-inv[of S T] lev by auto
  then have confl': ∀ Ta. conflicting T = Some Ta ⟶ trail T ⊨as CNot Ta
  unfolding cdclW-all-struct-inv-def cdclW-conflicting-def by blast
  show ?case
    apply (rule cdclW-stgy-with-trail-end-has-not-been-learned[OF - - c - LD DH LH confl' c'])

```

```

    using  $s$  lev' IH  $c$  unfolding  $cdcl_W$ -all-struct-inv-def by blast+
qed

lemma  $cdcl_W$ -stgy-new-learned-clause:
  assumes  $cdcl_W$ -stgy  $S$   $T$  and
    lev:  $cdcl_W$ -M-level-inv  $S$  and
     $E \notin \#$  learned-clss  $S$  and
     $E \in \#$  learned-clss  $T$ 
  shows  $\exists S'. \text{backtrack } S S' \wedge \text{conflicting } S = \text{Some } E \wedge \text{full } cdcl_W\text{-cp } S' T$ 
  using assms
proof induction
  case conflict'
  then show ?case unfolding full1-def by (auto dest: tranclp-cdcl_W-cp-learned-clause-inv)
next
  case (other'  $T$   $U$ ) note  $o = \text{this}(1)$  and  $cp = \text{this}(3)$  and  $\text{not-yet} = \text{this}(5)$  and  $\text{learned} = \text{this}(6)$ 
  have  $E \in \#$  learned-clss  $T$ 
    using learned  $cp$  rtranclp-cdcl_W-cp-learned-clause-inv unfolding full-def by auto
  then have backtrack  $S$   $T$  and conflicting  $S = \text{Some } E$ 
    using  $cdcl_W$ -o-new-clause-learned-is-backtrack-step[ $OF$  -  $\text{not-yet } o$ ] lev by blast+
  then show ?case using  $cp$  by blast
qed

lemma  $cdcl_W$ -stgy-no-relearned-clause:
  assumes
    invR:  $cdcl_W$ -all-struct-inv  $R$  and
    st':  $cdcl_W$ -stgy**  $R$   $S$  and
    bt: backtrack  $S$   $T$  and
    confl: raw-conflicting  $S = \text{Some } E$  and
    already-learned:  $mset\text{-ccls } E \in \#$  clauses  $S$  and
     $R$ : trail  $R = []$ 
  shows False
proof -
  have M-lev:  $cdcl_W$ -M-level-inv  $R$ 
    using invR unfolding  $cdcl_W$ -all-struct-inv-def by auto
  have  $cdcl_W$ -M-level-inv  $S$ 
    using M-lev assms(2) rtranclp-cdcl_W-stgy-consistent-inv by blast
  with bt obtain  $L$   $M1$   $M2\text{-loc } K$   $i$  where
     $T$ :  $T \sim \text{cons-trail } (\text{Propagated } L \text{ (cls-of-ccls } E))$ 
    (reduce-trail-to  $M1$  (add-learned-cls (cls-of-ccls  $E$ )
      (update-backtrack-lvl  $i$  (update-conflicting None  $S$ ))))
    and
    decomp: (Marked  $K$  (Suc  $i$ )  $\#$   $M1$ ,  $M2\text{-loc}$ )  $\in$ 
      set (get-all-marked-decomposition (trail  $S$ )) and
    LD:  $L \in \#$  mset-ccls  $E$  and
    k: get-level (trail  $S$ )  $L = \text{backtrack-lvl } S$  and
    level: get-level (trail  $S$ )  $L = \text{get-maximum-level } (\text{trail } S) \text{ (mset-ccls } E)$  and
    confl-S: raw-conflicting  $S = \text{Some } E$  and
    i:  $i = \text{get-maximum-level } (\text{trail } S) \text{ (remove1-mset } L \text{ (mset-ccls } E))$  and
    undef: undefined-lit  $M1$   $L$ 
    using confl by (induction rule: backtrack-induction-lev2) fastforce
  obtain  $M2$  where
     $M$ : trail  $S = M2 @ \text{Marked } K \text{ (Suc } i) \# M1$ 
    using get-all-marked-decomposition-exists-prepend[ $OF$  decomp] unfolding  $i$  by (metis append-assoc)
  let ? $E$  = mset-ccls  $E$ 
  let ? $E'$  = remove1-mset  $L$  ? $E$ 

```

```

have invS: cdclW-all-struct-inv S
  using invR rtrancp-cdclW-all-struct-inv-inv rtrancp-cdclW-stgy-rtrancp-cdclW st' by blast
then have conf: cdclW-conflicting S unfolding cdclW-all-struct-inv-def by blast
then have trail S  $\models_{as}$  CNot ?E unfolding cdclW-conflicting-def confl-S by auto
then have MD: trail S  $\models_{as}$  CNot ?E by auto
then have MD': trail S  $\models_{as}$  CNot ?E' using true-annot-CNot-diff by blast
have lev': cdclW-M-level-inv S using invS unfolding cdclW-all-struct-inv-def by blast

have get-lvls-M: get-all-levels-of-marked (trail S) = rev [1..<Suc (backtrack-lvl S)]
  using lev' unfolding cdclW-M-level-inv-def by auto

have lev: cdclW-M-level-inv R using invR unfolding cdclW-all-struct-inv-def by blast
then have vars-of-D: atms-of ?E'  $\subseteq$  atm-of ' lits-of-l M1
  using backtrack-atms-of-D-in-M1[OF lev' undef - decomp - - T] confl-S conf T decomp k level
  lev' i undef unfolding cdclW-conflicting-def by (auto simp: cdclW-M-level-inv-decomp)
have no-dup (trail S) using lev' by (auto simp: cdclW-M-level-inv-decomp)
have vars-in-M1:
   $\forall x \in \text{atms-of } ?E'. x \notin \text{atm-of ' lits-of-l } (M2 @ [\text{Marked } K (i + 1)])$ 
  unfolding Set.Ball-def apply (intro impI allI)
  apply (rule vars-of-D distinct-atms-of-incl-not-in-other[of
    M2 @ Marked K (i + 1) # [] M1 ?E'])
  using (no-dup (trail S)) M vars-of-D by simp-all
have M1-D: M1  $\models_{as}$  CNot ?E'
  using vars-in-M1 true-annot-remove-if-notin-vars[of M2 @ Marked K (i + 1) # [] M1 CNot ?E']
  MD' M by simp

have get-lvls-M: get-all-levels-of-marked (trail S) = rev [1..<Suc (backtrack-lvl S)]
  using lev' unfolding cdclW-M-level-inv-def by auto
then have backtrack-lvl S > 0 unfolding M by (auto split: if-split-asm simp add: upt.simps(2))

obtain M1' K' Ls where
  M': trail S = Ls @ Marked K' (backtrack-lvl S) # M1' and
  Ls:  $\forall l \in \text{set } Ls. \neg \text{is-marked } l$  and
  set M1  $\subseteq$  set M1'
proof -
  let ?Ls = takeWhile (Not o is-marked) (trail S)
  have MLs: trail S = ?Ls @ dropWhile (Not o is-marked) (trail S)
    by auto
  have dropWhile (Not o is-marked) (trail S)  $\neq$  [] unfolding M by auto
  moreover
    from hd-dropWhile[OF this] have is-marked(hd (dropWhile (Not o is-marked) (trail S)))
      by simp
  ultimately
    obtain K' K'k where
      K'k: dropWhile (Not o is-marked) (trail S)
        = Marked K' K'k # tl (dropWhile (Not o is-marked) (trail S))
      by (cases dropWhile (Not o is-marked) (trail S);
        cases hd (dropWhile (Not o is-marked) (trail S)))
        simp-all
  moreover have  $\forall l \in \text{set } ?Ls. \neg \text{is-marked } l$  using set-takeWhileD by force
  moreover
    have get-all-levels-of-marked (trail S)
      = K'k # get-all-levels-of-marked(tl (dropWhile (Not o is-marked) (trail S)))
      apply (subst MLs, subst K'k)
      using calculation(2) by (auto simp add: get-all-levels-of-marked-no-marked)

```

```

    then have  $K'k = \text{backtrack-lvl } S$ 
    using  $\text{calculation}(2)$  by (auto split: if-split-asm simp add: get-lvs-M upt.simps(2))
  moreover have  $\text{set } M1 \subseteq \text{set } (\text{tl } (\text{dropWhile } (\text{Not } o \text{ is-marked}) (\text{trail } S)))$ 
    unfolding  $M$  by (induction  $M2$ ) auto
  ultimately show  $?thesis$  using that  $MLs$  by metis
qed

have  $\text{get-lvs-M}: \text{get-all-levels-of-marked } (\text{trail } S) = \text{rev } [1..<\text{Suc } (\text{backtrack-lvl } S)]$ 
  using  $\text{lev' unfolding cdcl}_W\text{-M-level-inv-def}$  by auto
then have  $\text{backtrack-lvl } S > 0$  unfolding  $M$  by (auto split: if-split-asm simp add: upt.simps(2) i)

have  $M1'-D: M1' \models_{as} CNot ?E'$  using  $M1-D \langle \text{set } M1 \subseteq \text{set } M1' \rangle$  by (auto intro: true-annots-mono)
have  $-L \in \text{lits-of-l } (\text{trail } S)$  using  $\text{conf confl-S LD unfolding cdcl}_W\text{-conflicting-def}$ 
  by (auto simp: in-CNot-implies-uminus)
have  $\text{lvs-M1'}: \text{get-all-levels-of-marked } M1' = \text{rev } [1..<\text{backtrack-lvl } S]$ 
  using  $\text{get-lvs-M Ls}$  by (auto simp add: get-all-levels-of-marked-no-marked  $M'$  upt.simps(2)
    split: if-split-asm)
have  $L\text{-notin}: \text{atm-of } L \in \text{atm-of } \langle \text{lits-of-l } Ls \vee \text{atm-of } L = \text{atm-of } K' \rangle$ 
  proof (rule ccontr)
    assume  $\neg ?thesis$ 
    then have  $\text{atm-of } L \notin \text{atm-of } \langle \text{lits-of-l } (\text{Marked } K' (\text{backtrack-lvl } S) \# \text{rev } Ls) \rangle$  by simp
    then have  $\text{get-level } (\text{trail } S) L = \text{get-level } M1' L$ 
      unfolding  $M'$  by auto
    then show  $\text{False}$  using  $\text{get-level-in-levels-of-marked}[of M1' L] \langle \text{backtrack-lvl } S > 0 \rangle$ 
      unfolding  $k \text{ lvs-M1'}$  by auto
  qed
obtain  $Y Z$  where
   $RY: \text{cdcl}_W\text{-stgy}^{**} R Y$  and
   $YZ: \text{cdcl}_W\text{-stgy } Y Z$  and
   $nt: \neg (\exists c. \text{trail } Y = c @ \text{Marked } K' (\text{backtrack-lvl } S) \# M1' @ [])$  and
   $Z: (\lambda a b. \text{cdcl}_W\text{-stgy } a b \wedge (\exists c. \text{trail } a = c @ \text{Marked } K' (\text{backtrack-lvl } S) \# M1' @ []))^{**} Z S$ 
  using  $\text{rtranclp-cdcl}_W\text{-new-marked-at-beginning-is-decide}'[OF st' - lev, of Ls K'$ 
     $\text{backtrack-lvl } S M1' []]$  unfolding  $R M'$  by auto
have  $[simp]: \text{cdcl}_W\text{-M-level-inv } Y$ 
  using  $RY \text{ lev rtranclp-cdcl}_W\text{-stgy-consistent-inv}$  by blast
obtain  $M'$  where  $\text{trZ}: \text{trail } Z = M' @ \text{Marked } K' (\text{backtrack-lvl } S) \# M1'$ 
  using  $\text{rtranclp-cdcl}_W\text{-stgy-with-trail-end-has-trail-end}[OF Z] M'$  by auto
have  $\text{no-dup } (\text{trail } Y)$ 
  using  $RY \text{ lev rtranclp-cdcl}_W\text{-stgy-consistent-inv}$  unfolding  $\text{cdcl}_W\text{-M-level-inv-def}$  by blast
then obtain  $Y'$  where
   $\text{dec}: \text{decide } Y Y'$  and
   $Y'Z: \text{full cdcl}_W\text{-cp } Y' Z$  and
   $\text{no-step cdcl}_W\text{-cp } Y$ 
  using  $\text{cdcl}_W\text{-stgy-trail-has-new-marked-is-decide-step}[OF YZ nt Z] M'$  by auto
have  $\text{trY}: \text{trail } Y = M1'$ 
  proof -
    obtain  $M'$  where  $M: \text{trail } Z = M' @ \text{Marked } K' (\text{backtrack-lvl } S) \# M1'$ 
      using  $\text{rtranclp-cdcl}_W\text{-stgy-with-trail-end-has-trail-end}[OF Z] M'$  by auto
    obtain  $M''$  where  $M'': \text{trail } Z = M'' @ \text{trail } Y'$  and  $\forall m \in \text{set } M''. \neg \text{is-marked } m$ 
      using  $Y'Z \text{ rtranclp-cdcl}_W\text{-cp-dropWhile-trail'}$  unfolding  $\text{full-def}$  by blast
    obtain  $M'''$  where  $\text{trail } Y' = M''' @ \text{Marked } K' (\text{backtrack-lvl } S) \# M1'$ 
      using  $M''$  unfolding  $M$ 
      by (metis (no-types, lifting)  $\langle \forall m \in \text{set } M''. \neg \text{is-marked } m \rangle$  beginning-not-marked-invert)
    then show  $?thesis$  using  $\text{dec nt}$  by (induction  $M'''$ ) (auto elim: decideE)
  qed

```

```

have Y-CT: conflicting Y = None using ⟨decide Y Y'⟩ by (auto elim: decideE)
have cdclW** R Y by (simp add: RY rtrancp-cdclW-stgy-rtrancp-cdclW)
then have init-clss Y = init-clss R using rtrancp-cdclW-init-clss[of R Y] M-lev by auto
{ assume DL: mset-ccls E ∈ # clauses Y
  have atm-of L ∉ atm-of ' lits-of-l M1
    apply (rule backtrack-lit-skipped[of S])
    using decomp i k lev' unfolding cdclW-M-level-inv-def by auto
  then have LM1: undefined-lit M1 L
    by (metis Marked-Propagated-in-iff-in-lits-of-l atm-of-uminus image-eqI)
  have L-trY: undefined-lit (trail Y) L
    using L-notin ⟨no-dup (trail S)⟩ unfolding defined-lit-map trY M'
    by (auto simp add: image-iff lits-of-def)
  obtain E' where
    E': E' !∈ raw-clauses Y and
    EE': mset-ccls E' = mset-ccls E
    using DL in-mset-clss-exists-preimage by blast
  have Ex (propagate Y)
    using propagate-rule[of Y E' L] DL M1'-D L-trY Y-CT trY LD E'
    by (auto simp: EE')
  then have False using ⟨no-step cdclW-cp Y⟩ propagate' by blast
}
moreover {
  assume DL: mset-ccls E ∉ # clauses Y
  have lY-lZ: learned-clss Y = learned-clss Z
    using dec Y'Z rtrancp-cdclW-cp-learned-clause-inv[of Y' Z] unfolding full-def
    by (auto elim: decideE)
  have invZ: cdclW-all-struct-inv Z
    by (meson RY YZ invR r-into-rtrancp rtrancp-cdclW-all-struct-inv-inv
        rtrancp-cdclW-stgy-rtrancp-cdclW)
  have n: mset-ccls E ∉ # learned-clss Z
    using DL lY-lZ YZ unfolding raw-clauses-def by auto
  have ?E ∉ # learned-clss S
    apply (rule rtrancp-cdclW-stgy-with-trail-end-has-not-been-learned[OF Z invZ trZ])
    apply (simp add: n)
    using LD apply simp
    apply (metis (no-types, lifting) ⟨set M1 ⊆ set M1'⟩ image-mono order-trans
        vars-of-D lits-of-def)
    using L-notin ⟨no-dup (trail S)⟩ unfolding M' by (auto simp add: image-iff lits-of-def)
  then have False
    using already-learned DL confl st' M-lev rtrancp-cdclW-stgy-no-more-init-clss[of R S]
    unfolding M'
    by (simp add: ⟨init-clss Y = init-clss R⟩ raw-clauses-def confl-S
        rtrancp-cdclW-stgy-no-more-init-clss)
}
ultimately show False by blast
qed

```

lemma *rtrancp-cdcl_W-stgy-distinct-mset-clauses:*

```

assumes
  invR: cdclW-all-struct-inv R and
  st: cdclW-stgy** R S and
  dist: distinct-mset (clauses R) and
  R: trail R = []
shows distinct-mset (clauses S)
using st

```



```

proof (induction)
  case base
  then show ?case using dist by simp
next
  case (step S T) note st = this(1) and s = this(2) and IH = this(3)
  from s show ?case
    proof (cases rule: cdclW-stgy.cases)
      case conflict'
      then show ?thesis
        using IH unfolding full1-def by (auto dest: tranclp-cdclW-cp-no-more-clauses)
    next
      case (other' S') note o = this(1) and full = this(3)
      have [simp]: clauses T = clauses S'
        using full unfolding full-def by (auto dest: rtranclp-cdclW-cp-no-more-clauses)
      show ?thesis
        using o IH
        proof (cases rule: cdclW-o-rule-cases)
          case backtrack
          moreover
            have cdclW-all-struct-inv S
              using invR rtranclp-cdclW-stgy-cdclW-all-struct-inv st by blast
            then have cdclW-M-level-inv S
              unfolding cdclW-all-struct-inv-def by auto
          ultimately obtain E where
            conflicting S = Some E and
            cls-S': clauses S' = {#E#} + clauses S
            using <cdclW-M-level-inv S>
            by (induction rule: backtrack-induction-lev2) (auto simp: cdclW-M-level-inv-decomp)
          then have E  $\notin$  # clauses S
            using cdclW-stgy-no-relearned-clause R invR local.backtrack st by blast
          then show ?thesis using IH by (simp add: distinct-mset-add-single cls-S')
        qed (auto elim: decideE skipE resolveE)
      qed
    qed

```

```

lemma cdclW-stgy-distinct-mset-clauses:
  assumes
    st: cdclW-stgy** (init-state N) S and
    no-duplicate-clause: distinct-mset (mset-cls N) and
    no-duplicate-in-clause: distinct-mset-mset (mset-cls N)
  shows distinct-mset (clauses S)
  using rtranclp-cdclW-stgy-distinct-mset-clauses[OF - st] assms
  by (auto simp: cdclW-all-struct-inv-def distinct-cdclW-state-def)

```

19.8 Decrease of a measure

```

fun cdclW-measure where
  cdclW-measure S =
    [( $\beta::nat$ )  $\wedge$  (card (atms-of-mm (init-cls S))) - card (set-mset (learned-cls S))],
    if conflicting S = None then 1 else 0,
    if conflicting S = None then card (atms-of-mm (init-cls S)) - length (trail S)
    else length (trail S)
  ]

```

```

lemma length-model-le-vars-all-inv:
  assumes cdclW-all-struct-inv S

```

```

shows  $\text{length } (\text{trail } S) \leq \text{card } (\text{atms-of-mm } (\text{init-clss } S))$ 
using assms length-model-le-vars[of S] unfolding cdclW-all-struct-inv-def
by (auto simp: cdclW-M-level-inv-decomp)
end

context conflict-driven-clause-learningW
begin

lemma learned-clss-less-upper-bound:
  fixes S :: 'st
  assumes
    distinct-cdclW-state S and
     $\forall s \in \# \text{learned-clss } S. \neg \text{tautology } s$ 
  shows  $\text{card}(\text{set-mset } (\text{learned-clss } S)) \leq 3 \wedge \text{card } (\text{atms-of-mm } (\text{learned-clss } S))$ 
proof –
  have  $\text{set-mset } (\text{learned-clss } S) \subseteq \text{simple-clss } (\text{atms-of-mm } (\text{learned-clss } S))$ 
  apply (rule simplified-in-simple-clss)
  using assms unfolding distinct-cdclW-state-def by auto
  then have  $\text{card}(\text{set-mset } (\text{learned-clss } S))$ 
     $\leq \text{card } (\text{simple-clss } (\text{atms-of-mm } (\text{learned-clss } S)))$ 
  by (simp add: simple-clss-finite card-mono)
  then show ?thesis
  by (meson atms-of-ms-finite simple-clss-card finite-set-mset order-trans)
qed

lemma lexn3[intro!, simp]:
   $a < a' \vee (a = a' \wedge b < b') \vee (a = a' \wedge b = b' \wedge c < c')$ 
   $\implies ([a::\text{nat}, b, c], [a', b', c']) \in \text{lexn } \{(x, y). x < y\} \ 3$ 
apply auto
unfolding lexn-conv apply fastforce
unfolding lexn-conv apply auto
apply (metis append.simps(1) append.simps(2)) +
done

lemma cdclW-measure-decreasing:
  fixes S :: 'st
  assumes
    cdclW S S' and
    no-restart:
       $\neg(\text{learned-clss } S \subseteq \# \text{learned-clss } S' \wedge [] = \text{trail } S' \wedge \text{conflicting } S' = \text{None})$ 
    and
    no-forget:  $\text{learned-clss } S \subseteq \# \text{learned-clss } S'$  and
    no-relearn:  $\bigwedge S'. \text{backtrack } S S' \implies \forall T. \text{conflicting } S = \text{Some } T \longrightarrow T \notin \# \text{learned-clss } S$ 
    and
    alien: no-strange-atm S and
    M-level: cdclW-M-level-inv S and
    no-taut:  $\forall s \in \# \text{learned-clss } S. \neg \text{tautology } s$  and
    no-dup: distinct-cdclW-state S and
    conf: cdclW-conflicting S
  shows  $(\text{cdcl}_W\text{-measure } S', \text{cdcl}_W\text{-measure } S) \in \text{lexn } \{(a, b). a < b\} \ 3$ 
using assms(1) M-level assms(2,3)
proof (induct rule: cdclW-all-induct-lev2)
  case (propagate C L) note conf = this(1) and undef = this(5) and T = this(6)
  have propa: propagate S (cons-trail (Propagated L C) S)
  using propagate-rule[OF propagate.hyps(1,2)] propagate.hyps by auto

```

```

then have no-dup': no-dup (Propagated L (mset-cls C) # trail S)
  using M-level cdclW-M-level-inv-decomp(2) undef defined-lit-map by auto

let ?N = init-clss S
have no-strange-atm (cons-trail (Propagated L C) S)
  using alien cdclW.propagate cdclW-no-strange-atm-inv propa M-level by blast
then have atm-of ' lits-of-l (Propagated L (mset-cls C) # trail S)
  ⊆ atms-of-mm (init-clss S)
  using undef unfolding no-strange-atm-def by auto
then have card (atm-of ' lits-of-l (Propagated L (mset-cls C) # trail S))
  ≤ card (atms-of-mm (init-clss S))
  by (meson atms-of-ms-finite card-mono finite-set-mset)
then have length (Propagated L (mset-cls C) # trail S) ≤ card (atms-of-mm ?N)
  using no-dup-length-eq-card-atm-of-lits-of-l no-dup' by fastforce
then have H: card (atms-of-mm (init-clss S)) − length (trail S)
  = Suc (card (atms-of-mm (init-clss S)) − Suc (length (trail S)))
  by simp
show ?case using conf T undef by (auto simp: H)
next
case (decide L) note conf = this(1) and undef = this(2) and T = this(4)
moreover
  have dec: decide S (cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S))
    using decide-rule decide.hyps by force
  then have cdclW:cdclW S (cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S))
    using cdclW.simps cdclW-o.intros by blast
moreover
  have lev: cdclW-M-level-inv (cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S))
    using cdclW M-level cdclW-consistent-inv[OF cdclW] by auto
  then have no-dup: no-dup (Marked L (backtrack-lvl S + 1) # trail S)
    using undef unfolding cdclW-M-level-inv-def by auto
  have no-strange-atm (cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S))
    using M-level alien calculation(4) cdclW-no-strange-atm-inv by blast
  then have length (Marked L ((backtrack-lvl S) + 1) # (trail S))
    ≤ card (atms-of-mm (init-clss S))
    using no-dup undef
    length-model-le-vars[of cons-trail (Marked L (backtrack-lvl S + 1)) (incr-lvl S)]
    by fastforce
  ultimately show ?case using conf by auto
next
case (skip L C' M D) note tr = this(1) and conf = this(2) and T = this(5)
show ?case using conf T by (simp add: tr)
next
case conflict
then show ?case by simp
next
case resolve
then show ?case using finite by simp
next
case (backtrack K i M1 M2 L D T) note conf = this(1) and decomp = this(3) and undef = this(7)
and
  T = this(8) and lev = this(9)
let ?S' = T
have bt: backtrack S ?S'
  using backtrack.hyps backtrack.intros[of S D L K i] by auto
have mset-ccls D ∉ learned-clss S

```

```

    using no-relearn conf bt by auto
  then have card-T:
    card (set-mset ({#mset-ccls D#} + learned-clss S)) = Suc (card (set-mset (learned-clss S)))
    by simp
  have distinct-cdclW-state ?S'
    using bt M-level distinct-cdclW-state-inv no-dup other cdclW-o.intros cdclW-bj.intros by blast
  moreover have  $\forall s \in \# \text{learned-clss } ?S'. \neg \text{tautology } s$ 
    using learned-clss-are-not-tautologies[OF cdclW.other[OF cdclW-o.bj[OF
      cdclW-bj.backtrack[OF bt]]]] M-level no-taut confl by auto
  ultimately have card (set-mset (learned-clss T))  $\leq 3 \wedge$  card (atms-of-mm (learned-clss T))
    by (auto simp: learned-clss-less-upper-bound)
  then have H: card (set-mset ({#mset-ccls D#} + learned-clss S))
     $\leq 3 \wedge$  card (atms-of-mm ({#mset-ccls D#} + learned-clss S))
    using T undef decomp M-level by (simp add: cdclW-M-level-inv-decomp)
  moreover
    have atms-of-mm ({#mset-ccls D#} + learned-clss S)  $\subseteq$  atms-of-mm (init-clss S)
      using alien conf unfolding no-strange-atm-def by auto
    then have card-f: card (atms-of-mm ({#mset-ccls D#} + learned-clss S))
       $\leq$  card (atms-of-mm (init-clss S))
      by (meson atms-of-ms-finite card-mono finite-set-mset)
    then have (3::nat)  $\wedge$  card (atms-of-mm ({#mset-ccls D#} + learned-clss S))
       $\leq 3 \wedge$  card (atms-of-mm (init-clss S)) by simp
  ultimately have (3::nat)  $\wedge$  card (atms-of-mm (init-clss S))
     $\geq$  card (set-mset ({#mset-ccls D#} + learned-clss S))
    using le-trans by blast
  then show ?case using decomp undef diff-less-mono2 card-T T M-level
    by (auto simp: cdclW-M-level-inv-decomp)
next
  case restart
  then show ?case using alien by (auto simp: state-eq-def simp del: state-simp)
next
  case (forget C T) note no-forget = this(8)
  then have mset-cls C  $\in \#$  learned-clss S and mset-cls C  $\notin \#$  learned-clss T
    using forget.hyps by auto
  then show ?case using no-forget by (auto simp add: mset-leD)
qed

lemma propagate-measure-decreasing:
  fixes S :: 'st
  assumes propagate S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S)  $\in \text{lexn } \{(a, b). a < b\} \ 3$ 
  apply (rule cdclW-measure-decreasing)
  using assms(1) propagate apply blast
    using assms(1) apply (auto simp add: propagate.simps)[3]
    using assms(2) apply (auto simp add: cdclW-all-struct-inv-def)
  done

lemma conflict-measure-decreasing:
  fixes S :: 'st
  assumes conflict S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S)  $\in \text{lexn } \{(a, b). a < b\} \ 3$ 
  apply (rule cdclW-measure-decreasing)
  using assms(1) conflict apply blast
    using assms(1) apply (auto simp: state-eq-def simp del: state-simp elim!: conflictE)[3]
    using assms(2) apply (auto simp add: cdclW-all-struct-inv-def elim: conflictE)

```

```

done

lemma decide-measure-decreasing:
  fixes S :: 'st
  assumes decide S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lern {(a, b). a < b} 3
  apply (rule cdclW-measure-decreasing)
  using assms(1) decide other apply blast
    using assms(1) apply (auto simp: state-eq-def simp del: state-simp elim!: decideE)[3]
    using assms(2) apply (auto simp add: cdclW-all-struct-inv-def elim: decideE)
  done

lemma trans-le:
  trans {(a, (b::nat)). a < b}
  unfolding trans-def by auto

lemma cdclW-cp-measure-decreasing:
  fixes S :: 'st
  assumes cdclW-cp S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lern {(a, b). a < b} 3
  using assms
proof induction
  case conflict'
  then show ?case using conflict-measure-decreasing by blast
next
  case propagate'
  then show ?case using propagate-measure-decreasing by blast
qed

lemma tranclp-cdclW-cp-measure-decreasing:
  fixes S :: 'st
  assumes cdclW-cp++ S S' and cdclW-all-struct-inv S
  shows (cdclW-measure S', cdclW-measure S) ∈ lern {(a, b). a < b} 3
  using assms
proof induction
  case base
  then show ?case using cdclW-cp-measure-decreasing by blast
next
  case (step T U) note st = this(1) and step = this(2) and IH = this(3) and inv = this(4)
  then have (cdclW-measure T, cdclW-measure S) ∈ lern {a. case a of (a, b) ⇒ a < b} 3 by blast

  moreover have (cdclW-measure U, cdclW-measure T) ∈ lern {a. case a of (a, b) ⇒ a < b} 3
    using cdclW-cp-measure-decreasing[OF step] rtranclp-cdclW-all-struct-inv-inv inv
    tranclp-cdclW-cp-tranclp-cdclW[OF st]
    unfolding trans-def rtranclp-unfold
    by blast
  ultimately show ?case using lern-transI[OF trans-le] unfolding trans-def by blast
qed

lemma cdclW-stgy-step-decreasing:
  fixes R S T :: 'st
  assumes cdclW-stgy S T and
  cdclW-stgy** R S
  trail R = [] and
  cdclW-all-struct-inv R

```

```

shows (cdclW-measure T, cdclW-measure S) ∈ lexn {(a, b). a < b} 3
proof -
  have cdclW-all-struct-inv S
    using assms
    by (metis rtrancp-unfold rtrancp-cdclW-all-struct-inv-inv trancp-cdclW-stgy-trancp-cdclW)
with assms show ?thesis
proof induction
  case (conflict' V) note cp = this(1) and inv = this(5)
  show ?case
    using trancp-cdclW-cp-measure-decreasing[OF HOL.conjunct1[OF cp[unfolded full1-def]] inv]
    .
next
  case (other' T U) note st = this(1) and H = this(4,5,6,7) and cp = this(3)
  have cdclW-all-struct-inv T
    using cdclW-all-struct-inv-inv other other'.hyps(1) other'.prems(4) by blast
  from trancp-cdclW-cp-measure-decreasing[OF - this]
  have le-or-eq: (cdclW-measure U, cdclW-measure T) ∈ lexn {a. case a of (a, b) ⇒ a < b} 3 ∨
    cdclW-measure U = cdclW-measure T
    using cp unfolding full-def rtrancp-unfold by blast
  moreover
    have cdclW-M-level-inv S
      using cdclW-all-struct-inv-def other'.prems(4) by blast
    with st have (cdclW-measure T, cdclW-measure S) ∈ lexn {a. case a of (a, b) ⇒ a < b} 3
  proof (induction rule:cdclW-o-induct-lev2)
    case (decide T)
    then show ?case using decide-measure-decreasing H decide.intros[OF decide.hyps] by blast
  next
    case (backtrack K i M1 M2 L D T) note conf = this(1) and decomp = this(3) and
      undef = this(7) and T = this(8)
    have bt: backtrack S T
      apply (rule backtrack-rule)
      using backtrack.hyps by auto
    then have no-relearn: ∀ T. conflicting S = Some T ⟶ T ∉ learned-clss S
      using cdclW-stgy-no-relearned-clause[of R S T] H conf
      unfolding cdclW-all-struct-inv-def raw-clauses-def by auto
    have inv: cdclW-all-struct-inv S
      using ⟨cdclW-all-struct-inv S⟩ by blast
    show ?case
      apply (rule cdclW-measure-decreasing)
      using bt cdclW-bj.backtrack cdclW-o.bj other apply simp
      using bt T undef decomp inv unfolding cdclW-all-struct-inv-def
        cdclW-M-level-inv-def apply auto[]
      using bt T undef decomp inv unfolding cdclW-all-struct-inv-def
        cdclW-M-level-inv-def apply auto[]
      using bt no-relearn apply auto[]
      using inv unfolding cdclW-all-struct-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def apply simp
      using inv unfolding cdclW-all-struct-inv-def by simp
  next
    case skip
    then show ?case by force
  next
    case resolve

```

```

      then show ?case by force
    qed
  ultimately show ?case
  by (metis lexn-transI transD trans-le)
qed
qed

```

```

lemma tranclp-cdclW-stgy-decreasing:
  fixes R S T :: 'st
  assumes cdclW-stgy++ R S
  trail R = [] and
  cdclW-all-struct-inv R
  shows (cdclW-measure S, cdclW-measure R) ∈ lexn {(a, b). a < b} 3
  using assms
  apply induction
  using cdclW-stgy-step-decreasing[of R - R] apply blast
  using cdclW-stgy-step-decreasing[of - - R] tranclp-into-rtranclp[of cdclW-stgy R]
  lexn-transI[OF trans-le, of 3] unfolding trans-def by blast

```

```

lemma tranclp-cdclW-stgy-S0-decreasing:
  fixes R S T :: 'st
  assumes
    pl: cdclW-stgy++ (init-state N) S and
    no-dup: distinct-mset-mset (mset-cls N)
  shows (cdclW-measure S, cdclW-measure (init-state N)) ∈ lexn {(a, b). a < b} 3
proof -
  have cdclW-all-struct-inv (init-state N)
  using no-dup unfolding cdclW-all-struct-inv-def by auto
  then show ?thesis using pl tranclp-cdclW-stgy-decreasing init-state-trail by blast
qed

```

```

lemma wf-tranclp-cdclW-stgy:
  wf {(S::'st, init-state N)|
    S N. distinct-mset-mset (mset-cls N) ∧ cdclW-stgy++ (init-state N) S}
  apply (rule wf-wf-if-measure'-notation2[of lexn {(a, b). a < b} 3 - - cdclW-measure])
  apply (simp add: wf wf-lexn)
  using tranclp-cdclW-stgy-S0-decreasing by blast

```

```

lemma cdclW-cp-wf-all-inv:
  wf {(S', S). cdclW-all-struct-inv S ∧ cdclW-cp S S'}
  (is wf ?R)
proof (rule wf-bounded-measure[of -
  λS. card (atms-of-mm (init-cls S))+1
  λS. length (trail S) + (if conflicting S = None then 0 else 1)], goal-cases)
  case (1 S S')
  then have cdclW-all-struct-inv S and cdclW-cp S S' by auto
  moreover then have cdclW-all-struct-inv S'
  using cdclW-cp.simps cdclW-all-struct-inv-inv conflict cdclW.intros cdclW-all-struct-inv-inv
  by blast+
  ultimately show ?case
  by (auto simp: cdclW-cp.simps state-eq-def simp del: state-simp elim!: conflictE propagateE
    dest: length-model-le-vars-all-inv)
qed

```

end

```

end
theory DPLL-CDCL-W-Implementation
imports Partial-Annotated-Clausal-Logic
begin

```

20 Simple Implementation of the DPLL and CDCL

20.1 Common Rules

20.1.1 Propagation

The following theorem holds:

```

lemma lits-of-l-unfold[iff]:
  ( $\forall c \in \text{set } C. -c \in \text{lits-of-l } Ms \longleftrightarrow Ms \models_{\text{as}} C\text{Not } (\text{mset } C)$ )
  unfolding true-annot-def Ball-def true-annot-def CNot-def by auto

```

The right-hand version is written at a high-level, but only the left-hand side is executable.

```

definition is-unit-clause :: 'a literal list  $\Rightarrow$  ('a, 'b, 'c) marked-lit list  $\Rightarrow$  'a literal option
where
  is-unit-clause l M =
    (case List.filter ( $\lambda a. \text{atm-of } a \notin \text{atm-of ' lits-of-l } M$ ) l of
      a # []  $\Rightarrow$  if  $M \models_{\text{as}} C\text{Not } (\text{mset } l - \{\#a\# \})$  then Some a else None
    | -  $\Rightarrow$  None)

```

```

definition is-unit-clause-code :: 'a literal list  $\Rightarrow$  ('a, 'b, 'c) marked-lit list
 $\Rightarrow$  'a literal option where
  is-unit-clause-code l M =
    (case List.filter ( $\lambda a. \text{atm-of } a \notin \text{atm-of ' lits-of-l } M$ ) l of
      a # []  $\Rightarrow$  if ( $\forall c \in \text{set } (\text{remove1 } a \text{ l}). -c \in \text{lits-of-l } M$ ) then Some a else None
    | -  $\Rightarrow$  None)

```

```

lemma is-unit-clause-is-unit-clause-code[code]:
  is-unit-clause l M = is-unit-clause-code l M
proof -
  have 1:  $\bigwedge a. (\forall c \in \text{set } (\text{remove1 } a \text{ l}). -c \in \text{lits-of-l } M) \longleftrightarrow M \models_{\text{as}} C\text{Not } (\text{mset } l - \{\#a\# \})$ 
    using lits-of-l-unfold[of remove1 - l, of - M] by simp
  thus ?thesis
    unfolding is-unit-clause-code-def is-unit-clause-def 1 by blast
qed

```

```

lemma is-unit-clause-some-undef:
  assumes is-unit-clause l M = Some a
  shows undefined-lit M a
proof -
  have (case [a  $\leftarrow$  l . atm-of a  $\notin$  atm-of ' lits-of-l M] of []  $\Rightarrow$  None
    | [a]  $\Rightarrow$  if  $M \models_{\text{as}} C\text{Not } (\text{mset } l - \{\#a\# \})$  then Some a else None
    | a # ab # xa  $\Rightarrow$  Map.empty xa) = Some a
    using assms unfolding is-unit-clause-def .
  hence a  $\in \text{set } [a \leftarrow l . \text{atm-of } a \notin \text{atm-of ' lits-of-l } M]$ 
  apply (cases [a  $\leftarrow$  l . atm-of a  $\notin$  atm-of ' lits-of-l M])
  apply simp
  apply (rename-tac aa list; case-tac list) by (auto split: if-split-asm)
  hence atm-of a  $\notin$  atm-of ' lits-of-l M by auto
  thus ?thesis

```


by (simp add: Marked-Propagated-in-iff-in-lits-of-l
 atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
 qed

lemma *is-unit-clause-some-CNot*: *is-unit-clause* l $M = \text{Some } a \implies M \models_{as} \text{CNot } (\text{mset } l - \{\#a\# \})$
unfolding *is-unit-clause-def*

proof –

assume (case [$a \leftarrow l$. atm-of $a \notin \text{atm-of } 'lits\text{-of-}l$ M] of [] \Rightarrow None
 | [a] \Rightarrow if $M \models_{as} \text{CNot } (\text{mset } l - \{\#a\# \})$ then $\text{Some } a$ else None
 | $a \# ab \# xa \Rightarrow \text{Map.empty } xa = \text{Some } a$)

thus ?thesis

apply (cases [$a \leftarrow l$. atm-of $a \notin \text{atm-of } 'lits\text{-of-}l$ M], simp)

apply simp

apply (rename-tac aa list, case-tac list) **by** (auto split: if-split-asm)

qed

lemma *is-unit-clause-some-in*: *is-unit-clause* l $M = \text{Some } a \implies a \in \text{set } l$
unfolding *is-unit-clause-def*

proof –

assume (case [$a \leftarrow l$. atm-of $a \notin \text{atm-of } 'lits\text{-of-}l$ M] of [] \Rightarrow None
 | [a] \Rightarrow if $M \models_{as} \text{CNot } (\text{mset } l - \{\#a\# \})$ then $\text{Some } a$ else None
 | $a \# ab \# xa \Rightarrow \text{Map.empty } xa = \text{Some } a$)

thus $a \in \text{set } l$

by (cases [$a \leftarrow l$. atm-of $a \notin \text{atm-of } 'lits\text{-of-}l$ M])

(fastforce dest: filter-eq-ConsD split: if-split-asm split: list.splits)+

qed

lemma *is-unit-clause-nil[simp]*: *is-unit-clause* [] $M = \text{None}$

unfolding *is-unit-clause-def* **by** auto

20.1.2 Unit propagation for all clauses

Finding the first clause to propagate

fun *find-first-unit-clause* :: 'a literal list list \Rightarrow ('a, 'b, 'c) marked-lit list
 \Rightarrow ('a literal \times 'a literal list) option **where**

find-first-unit-clause ($a \# l$) $M =$

(case *is-unit-clause* a M of

None \Rightarrow *find-first-unit-clause* l M

| $\text{Some } L \Rightarrow \text{Some } (L, a)$) |

find-first-unit-clause [] - = None

lemma *find-first-unit-clause-some*:

find-first-unit-clause l $M = \text{Some } (a, c)$

$\implies c \in \text{set } l \wedge M \models_{as} \text{CNot } (\text{mset } c - \{\#a\# \}) \wedge \text{undefined-lit } M a \wedge a \in \text{set } c$

apply (induction l)

apply simp

by (auto split: option.splits dest: *is-unit-clause-some-in is-unit-clause-some-CNot*
is-unit-clause-some-undef)

lemma *propagate-is-unit-clause-not-None*:

assumes *dist*: distinct c **and**

M : $M \models_{as} \text{CNot } (\text{mset } c - \{\#a\# \})$ **and**

undef: *undefined-lit* $M a$ **and**

ac: $a \in \text{set } c$

shows *is-unit-clause* c $M \neq \text{None}$

```

proof –
  have  $[a \leftarrow c . \text{atm-of } a \notin \text{atm-of } ' \text{ lits-of-} l \text{ } M] = [a]$ 
  using assms
  proof (induction c)
    case Nil thus ?case by simp
  next
    case (Cons ac c)
    show ?case
      proof (cases a = ac)
        case True
        thus ?thesis using Cons
        by (auto simp del: lits-of-l-unfold
          simp add: lits-of-l-unfold[symmetric] Marked-Propagated-in-iff-in-lits-of-l
          atm-of-eq-atm-of atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
      next
        case False
        hence  $T: \text{mset } c + \{\#ac\} - \{\#a\} = \text{mset } c - \{\#a\} + \{\#ac\}$ 
        by (auto simp add: multiset-eq-iff)
        show ?thesis using False Cons
        by (auto simp add: T atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)
      qed
    qed
  thus ?thesis
  using M unfolding is-unit-clause-def by auto
qed

```

lemma *find-first-unit-clause-none*:

$\text{distinct } c \implies c \in \text{set } l \implies M \models_{as} CNot (\text{mset } c - \{\#a\}) \implies \text{undefined-lit } M \ a \implies a \in \text{set } c$

$\implies \text{find-first-unit-clause } l \ M \neq \text{None}$

by (*induction l*)

(*auto split: option.split simp add: propagate-is-unit-clause-not-None*)

20.1.3 Decide

fun *find-first-unused-var* :: '*a* literal list list \Rightarrow '*a* literal set \Rightarrow '*a* literal option **where**

find-first-unused-var (*a* # *l*) *M* =

(*case List.find* ($\lambda \text{lit. lit} \notin M \wedge \neg \text{lit} \notin M$) *a* of

None \Rightarrow *find-first-unused-var l M*

 | *Some a* \Rightarrow *Some a* |

find-first-unused-var [] - = *None*

lemma *find-none[iff]*:

$List.find (\lambda \text{lit. lit} \notin M \wedge \neg \text{lit} \notin M) \ a = \text{None} \iff \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' \ M$

apply (*induct a*)

using *atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*

by (*force simp add: atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*) +

lemma *find-some*: $List.find (\lambda \text{lit. lit} \notin M \wedge \neg \text{lit} \notin M) \ a = \text{Some } b \implies b \in \text{set } a \wedge b \notin M \wedge \neg b \notin M$

unfolding *find-Some-iff* **by** (*metis nth-mem*)

lemma *find-first-unused-var-None[iff]*:

$\text{find-first-unused-var } l \ M = \text{None} \iff (\forall a \in \text{set } l. \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' \ M)$

by (*induct l*)

(*auto split: option.splits dest!: find-some*

simp add: image-subset-iff atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set)

lemma *find-first-unused-var-Some-not-all-incl*:
assumes *find-first-unused-var l M = Some c*
shows $\neg(\forall a \in \text{set } l. \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' M)$
proof –
have *find-first-unused-var l M \neq None*
using *assms* **by** (*cases find-first-unused-var l M*) *auto*
thus $\neg(\forall a \in \text{set } l. \text{atm-of } ' \text{ set } a \subseteq \text{atm-of } ' M)$ **by** *auto*
qed

lemma *find-first-unused-var-Some*:
find-first-unused-var l M = Some a \implies ($\exists m \in \text{set } l. a \in \text{set } m \wedge a \notin M \wedge -a \notin M$)
by (*induct l*) (*auto split: option.splits dest: find-some*)

lemma *find-first-unused-var-undefined*:
find-first-unused-var l (lits-of-l Ms) = Some a \implies undefined-lit Ms a
using *find-first-unused-var-Some[of l lits-of-l Ms a] Marked-Propagated-in-iff-in-lits-of-l*
by *blast*

end
theory *DPLL-W-Implementation*
imports *DPLL-CDCL-W-Implementation DPLL-W $\sim\sim$ /src/HOL/Library/Code-Target-Numeral*
begin

20.2 Simple Implementation of DPLL

20.2.1 Combining the propagate and decide: a DPLL step

definition *DPLL-step* :: *int dpll_W-marked-lits \times int literal list list*
 \Rightarrow *int dpll_W-marked-lits \times int literal list list* **where**
DPLL-step = ($\lambda(Ms, N).$
 (*case find-first-unit-clause N Ms of*
Some (L, -) \Rightarrow (Propagated L () # Ms, N)
 | *- \Rightarrow*
if $\exists C \in \text{set } N. (\forall c \in \text{set } C. -c \in \text{lits-of-l Ms})$
then
(case backtrack-split Ms of
(-, L # M) \Rightarrow (Propagated (- (lit-of L)) () # M, N)
 | *(-, -) \Rightarrow (Ms, N)*
)
else
(case find-first-unused-var N (lits-of-l Ms) of
Some a \Rightarrow (Marked a () # Ms, N)
 | *None \Rightarrow (Ms, N))))*

Example of propagation:

value *DPLL-step* ([*Marked (Neg 1) ()*], [*Pos (1::int), Neg 2*]))

We define the conversion function between the states as defined in *Prop-DPLL* (with multisets) and here (with lists).

abbreviation *toS* \equiv $\lambda(Ms::(\text{int}, \text{unit}, \text{unit}) \text{ marked-lit list})$
 $(N:: \text{int literal list list}). (Ms, \text{mset } (\text{map mset } N))$
abbreviation *toS'* \equiv $\lambda(Ms::(\text{int}, \text{unit}, \text{unit}) \text{ marked-lit list},$
 $N:: \text{int literal list list}). (Ms, \text{mset } (\text{map mset } N))$

Proof of correctness of *DPLL-step*

```

lemma DPLL-step-is-a-dpllW-step:
  assumes step:  $(Ms', N') = \text{DPLL-step } (Ms, N)$ 
  and neq:  $(Ms, N) \neq (Ms', N')$ 
  shows dpllW (toS Ms N) (toS Ms' N')
proof -
  let ?S = (Ms, mset (map mset N))
  { fix L E
    assume unit: find-first-unit-clause N Ms = Some (L, E)
    hence Ms'N:  $(Ms', N') = (\text{Propagated } L \ () \ \# \ Ms, N)$ 
      using step unfolding DPLL-step-def by auto
    obtain C where
      C:  $C \in \text{set } N$  and
      Ms:  $Ms \models_{\text{as}} \text{CNot } (\text{mset } C - \{\#L\# \})$  and
      undef: undefined-lit Ms L and
      L  $\in \text{set } C$  using find-first-unit-clause-some[OF unit] by metis
    have dpllW (Ms, mset (map mset N))
      (Propagated L () # fst (Ms, mset (map mset N)), snd (Ms, mset (map mset N)))
      apply (rule dpllW.propagate)
      using Ms undef C  $\langle L \in \text{set } C \rangle$  by (auto simp add: C)
    hence ?thesis using Ms'N by auto
  }
  moreover
  { assume unit: find-first-unit-clause N Ms = None
    assume exC:  $\exists C \in \text{set } N. Ms \models_{\text{as}} \text{CNot } (\text{mset } C)$ 
    then obtain C where C:  $C \in \text{set } N$  and Ms:  $Ms \models_{\text{as}} \text{CNot } (\text{mset } C)$  by auto
    then obtain L M M' where bt: backtrack-split Ms = (M', L # M)
      using step exC neq unfolding DPLL-step-def prod.case unit
      by (cases backtrack-split Ms, rename-tac b, case-tac b) auto
    hence is-marked L using backtrack-split-snd-hd-marked[of Ms] by auto
    have 1: dpllW (Ms, mset (map mset N))
      (Propagated ( $-\text{lit-of } L$ ) () # M, snd (Ms, mset (map mset N)))
      apply (rule dpllW.backtrack[OF -  $\langle \text{is-marked } L \rangle$ , of ])
      using C Ms bt by auto
    moreover have  $(Ms', N') = (\text{Propagated } (-\text{lit-of } L) () \ \# \ M, N)$ 
      using step exC unfolding DPLL-step-def bt prod.case unit by auto
    ultimately have ?thesis by auto
  }
  moreover
  { assume unit: find-first-unit-clause N Ms = None
    assume exC:  $\neg (\exists C \in \text{set } N. Ms \models_{\text{as}} \text{CNot } (\text{mset } C))$ 
    obtain L where unused: find-first-unused-var N (lits-of-l Ms) = Some L
      using step exC neq unfolding DPLL-step-def prod.case unit
      by (cases find-first-unused-var N (lits-of-l Ms)) auto
    have dpllW (Ms, mset (map mset N))
      (Marked L () # fst (Ms, mset (map mset N)), snd (Ms, mset (map mset N)))
      apply (rule dpllW.decided[of ?S L])
      using find-first-unused-var-Some[OF unused]
      by (auto simp add: Marked-Propagated-in-iff-in-lits-of-l atms-of-ms-def)
    moreover have  $(Ms', N') = (\text{Marked } L \ () \ \# \ Ms, N)$ 
      using step exC unfolding DPLL-step-def unused prod.case unit by auto
    ultimately have ?thesis by auto
  }
  ultimately show ?thesis by (cases find-first-unit-clause N Ms) auto
qed

```

```

lemma DPLL-step-stuck-final-state:
  assumes step:  $(Ms, N) = \text{DPLL-step } (Ms, N)$ 
  shows conclusive-dpllW-state (toS Ms N)
proof -
  have unit: find-first-unit-clause N Ms = None
    using step unfolding DPLL-step-def by (auto split:option.splits)

  { assume n:  $\exists C \in \text{set } N. Ms \models_{as} CNot (mset C)$ 
    hence Ms:  $(Ms, N) = (\text{case } \text{backtrack-split } Ms \text{ of } (x, []) \Rightarrow (Ms, N) \mid (x, L \# M) \Rightarrow (\text{Propagated } (- \text{lit-of } L) () \# M, N))$ 
      using step unfolding DPLL-step-def by (simp add:unit)

  have snd (backtrack-split Ms) = []
  proof (cases backtrack-split Ms, cases snd (backtrack-split Ms))
    fix a b
    assume backtrack-split Ms = (a, b) and snd (backtrack-split Ms) = []
    thus snd (backtrack-split Ms) = [] by blast
  next
    fix a b aa list
    assume
      bt: backtrack-split Ms = (a, b) and
      bt': snd (backtrack-split Ms) = aa # list
    hence Ms: Ms = Propagated ( $- \text{lit-of } aa$ ) () # list using Ms by auto
    have is-marked aa using backtrack-split-snd-hd-marked[of Ms] bt bt' by auto
    moreover have fst (backtrack-split Ms) @ aa # list = Ms
      using backtrack-split-list-eq[of Ms] bt' by auto
    ultimately have False unfolding Ms by auto
    thus snd (backtrack-split Ms) = [] by blast
  qed

  hence ?thesis
    using n backtrack-snd-empty-not-marked[of Ms] unfolding conclusive-dpllW-state-def
    by (cases backtrack-split Ms) auto
}
moreover {
  assume n:  $\neg (\exists C \in \text{set } N. Ms \models_{as} CNot (mset C))$ 
  hence find-first-unused-var N (lits-of-l Ms) = None
    using step unfolding DPLL-step-def by (simp add: unit split: option.splits)
  hence a:  $\forall a \in \text{set } N. \text{atm-of 'set } a \subseteq \text{atm-of ' (lits-of-l } Ms)$  by auto
  have fst (toS Ms N)  $\models_{asm} \text{snd } (toS \text{ } Ms \text{ } N)$  unfolding true-annots-def CNot-def Ball-def
  proof clarify
    fix x
    assume x:  $x \in \text{set-mset } (\text{clauses } (toS \text{ } Ms \text{ } N))$ 
    hence  $\neg Ms \models_{as} CNot \ x$  using n unfolding true-annots-def CNot-def Ball-def by auto
    moreover have total-over-m (lits-of-l Ms) {x}
      using a x image-iff in-mono atms-of-s-def
      unfolding total-over-m-def total-over-set-def lits-of-def by fastforce
    ultimately show fst (toS Ms N)  $\models_a x$ 
      using total-not-CNot[of lits-of-l Ms x] by (simp add: true-annot-def true-annots-true-cl)
    qed
  hence ?thesis unfolding conclusive-dpllW-state-def by blast
}
ultimately show ?thesis by blast
qed

```

20.2.2 Adding invariants

Invariant tested in the function `function DPLL-ci :: int dpllW-marked-lits ⇒ int literal list list`

```
⇒ int dpllW-marked-lits × int literal list list where
DPLL-ci Ms N =
  (if ¬dpllW-all-inv (Ms, mset (map mset N))
   then (Ms, N)
   else
     let (Ms', N') = DPLL-step (Ms, N) in
     if (Ms', N') = (Ms, N) then (Ms, N) else DPLL-ci Ms' N)
by fast+
termination
proof (relation {(S', S). (toS' S', toS' S) ∈ {(S', S). dpllW-all-inv S ∧ dpllW S S'}})
  show wf {(S', S).(toS' S', toS' S) ∈ {(S', S). dpllW-all-inv S ∧ dpllW S S'}}
    using wf-if-measure-f[OF dpllW-wf, of toS'] by auto
next
  fix Ms :: int dpllW-marked-lits and N x xa y
  assume ¬¬ dpllW-all-inv (toS Ms N)
  and step: x = DPLL-step (Ms, N)
  and x: (xa, y) = x
  and (xa, y) ≠ (Ms, N)
  thus ((xa, N), Ms, N) ∈ {(S', S). (toS' S', toS' S) ∈ {(S', S). dpllW-all-inv S ∧ dpllW S S'}}
    using DPLL-step-is-a-dpllW-step dpllW-same-clauses split-conv by fastforce
qed
```

No invariant tested `function (domintros) DPLL-part :: int dpllW-marked-lits ⇒ int literal list list`

```
⇒
  int dpllW-marked-lits × int literal list list where
DPLL-part Ms N =
  (let (Ms', N') = DPLL-step (Ms, N) in
   if (Ms', N') = (Ms, N) then (Ms, N) else DPLL-part Ms' N)
by fast+
```

lemma `snd-DPLL-step[simp]:`

```
snd (DPLL-step (Ms, N)) = N
unfolding DPLL-step-def by (auto split: if-split option.splits prod.splits list.splits)
```

lemma `dpllW-all-inv-implieS-2-eq3-and-dom:`

```
assumes dpllW-all-inv (Ms, mset (map mset N))
shows DPLL-ci Ms N = DPLL-part Ms N ∧ DPLL-part-dom (Ms, N)
using assms
proof (induct rule: DPLL-ci.induct)
  case (1 Ms N)
  have snd (DPLL-step (Ms, N)) = N by auto
  then obtain Ms' where Ms': DPLL-step (Ms, N) = (Ms', N) by (cases DPLL-step (Ms, N)) auto
  have inv': dpllW-all-inv (toS Ms' N) by (metis (mono-tags) 1.prem DPLL-step-is-a-dpllW-step
    Ms' dpllW-all-inv old.prod.inject)
  { assume (Ms', N) ≠ (Ms, N)
    hence DPLL-ci Ms' N = DPLL-part Ms' N ∧ DPLL-part-dom (Ms', N) using 1(1)[of - Ms' N]
  }
  Ms'
  1(2) inv' by auto
  hence DPLL-part-dom (Ms, N) using DPLL-part.domintros Ms' by fastforce
  moreover have DPLL-ci Ms N = DPLL-part Ms N using 1.prem DPLL-part.psimps Ms'
    ⟨DPLL-ci Ms' N = DPLL-part Ms' N ∧ DPLL-part-dom (Ms', N)⟩ ⟨DPLL-part-dom (Ms, N)⟩ by
  auto
```

```

    ultimately have ?case by blast
  }
  moreover {
    assume (Ms', N) = (Ms, N)
    hence ?case using DPLL-part.domintros DPLL-part.psimps Ms' by fastforce
  }
  ultimately show ?case by blast
qed

lemma DPLL-ci-dpllW-rtrancpl:
  assumes DPLL-ci Ms N = (Ms', N')
  shows dpllW** (toS Ms N) (toS Ms' N)
  using assms
proof (induct Ms N arbitrary: Ms' N' rule: DPLL-ci.induct)
  case (1 Ms N Ms' N') note IH = this(1) and step = this(2)
  obtain S1 S2 where S: (S1, S2) = DPLL-step (Ms, N) by (cases DPLL-step (Ms, N)) auto

  { assume ¬dpllW-all-inv (toS Ms N)
    hence (Ms, N) = (Ms', N) using step by auto
    hence ?case by auto
  }
  moreover
  { assume dpllW-all-inv (toS Ms N)
    and (S1, S2) = (Ms, N)
    hence ?case using S step by auto
  }
  moreover
  { assume dpllW-all-inv (toS Ms N)
    and (S1, S2) ≠ (Ms, N)
    moreover obtain S1' S2' where DPLL-ci S1 N = (S1', S2') by (cases DPLL-ci S1 N) auto
    moreover have DPLL-ci Ms N = DPLL-ci S1 N using DPLL-ci.simps[of Ms N] calculation
    proof -
      have (case (S1, S2) of (ms, lss) ⇒
        if (ms, lss) = (Ms, N) then (Ms, N) else DPLL-ci ms N) = DPLL-ci Ms N
        using S DPLL-ci.simps[of Ms N] calculation by presburger
      hence (if (S1, S2) = (Ms, N) then (Ms, N) else DPLL-ci S1 N) = DPLL-ci Ms N
        by fastforce
      thus ?thesis
        using calculation(2) by presburger
    qed
    ultimately have dpllW** (toS S1' N) (toS Ms' N) using IH[of (S1, S2) S1 S2] S step by simp

    moreover have dpllW (toS Ms N) (toS S1 N)
      by (metis DPLL-step-is-a-dpllW-step S ⟨(S1, S2) ≠ (Ms, N)⟩ prod.sel(2) snd-DPLL-step)
    ultimately have ?case by (metis (mono-tags, hide-lams) IH S ⟨(S1, S2) ≠ (Ms, N)⟩
      ⟨DPLL-ci Ms N = DPLL-ci S1 N⟩ ⟨dpllW-all-inv (toS Ms N)⟩ converse-rtrancpl-into-rtrancpl
      local.step)
  }
  ultimately show ?case by blast
qed

lemma dpllW-all-inv-dpllW-trancpl-irrefl:
  assumes dpllW-all-inv (Ms, N)
  and dpllW++ (Ms, N) (Ms, N)
  shows False

```

```

proof –
  have 1:  $wf \{(S', S). dpll_W\text{-all-inv } S \wedge dpll_W^{++} S S'\}$  using  $dpll_W\text{-wf-tranclp}$  by auto
  have  $((Ms, N), (Ms, N)) \in \{(S', S). dpll_W\text{-all-inv } S \wedge dpll_W^{++} S S'\}$  using assms by auto
  thus False using  $wf\text{-not-refl}[OF\ 1]$  by blast
qed

lemma DPLL-ci-final-state:
  assumes step:  $DPLL\text{-ci } Ms\ N = (Ms, N)$ 
  and inv:  $dpll_W\text{-all-inv } (toS\ Ms\ N)$ 
  shows conclusive-dpll_W-state  $(toS\ Ms\ N)$ 
proof –
  have st:  $dpll_W^{**} (toS\ Ms\ N) (toS\ Ms\ N)$  using  $DPLL\text{-ci-dpll_W-rtranclp}[OF\ step]$  .
  have  $DPLL\text{-step } (Ms, N) = (Ms, N)$ 
  proof (rule ccontr)
    obtain  $Ms'\ N'$  where  $Ms'\ N'$ :  $(Ms', N') = DPLL\text{-step } (Ms, N)$ 
    by (cases DPLL-step (Ms, N)) auto
    assume  $\neg ?thesis$ 
    hence  $DPLL\text{-ci } Ms'\ N = (Ms, N)$  using step inv st Ms'N[symmetric] by fastforce
    hence  $dpll_W^{++} (toS\ Ms\ N) (toS\ Ms\ N)$ 
    by (metis DPLL-ci-dpll_W-rtranclp DPLL-step-is-a-dpll_W-step Ms'N  $\langle DPLL\text{-step } (Ms, N) \neq (Ms, N) \rangle$ )
    prod.sel(2) rtranclp-into-tranclp2 snd-DPLL-step
    thus False using  $dpll_W\text{-all-inv-dpll_W-tranclp-irrefl inv}$  by auto
  qed
  thus ?thesis using  $DPLL\text{-step-stuck-final-state}[of\ Ms\ N]$  by simp
qed

lemma DPLL-step-obtains:
  obtains  $Ms'$  where  $(Ms', N) = DPLL\text{-step } (Ms, N)$ 
  unfolding  $DPLL\text{-step-def}$  by (metis (no-types, lifting) DPLL-step-def prod.collapse snd-DPLL-step)

lemma DPLL-ci-obtains:
  obtains  $Ms'$  where  $(Ms', N) = DPLL\text{-ci } Ms\ N$ 
proof (induct rule: DPLL-ci.induct)
  case (1  $Ms\ N$ ) note  $IH = this(1)$  and  $that = this(2)$ 
  obtain  $S$  where  $SN$ :  $(S, N) = DPLL\text{-step } (Ms, N)$  using  $DPLL\text{-step-obtains}$  by metis
  { assume  $\neg dpll_W\text{-all-inv } (toS\ Ms\ N)$ 
    hence ?case using that by auto
  }
  moreover {
    assume  $n$ :  $(S, N) \neq (Ms, N)$ 
    and inv:  $dpll_W\text{-all-inv } (toS\ Ms\ N)$ 
    have  $\exists ms. DPLL\text{-step } (Ms, N) = (ms, N)$ 
    by (metis  $\langle \bigwedge thesisa. (\bigwedge S. (S, N) = DPLL\text{-step } (Ms, N) \implies thesisa) \implies thesisa \rangle$ )
    hence ?thesis
    using IH that by fastforce
  }
  moreover {
    assume  $n$ :  $(S, N) = (Ms, N)$ 
    hence ?case using SN that by fastforce
  }
  ultimately show ?case by blast
qed

```



```

lemma DPLL-ci-no-more-step:
  assumes step: DPLL-ci Ms N = (Ms', N')
  shows DPLL-ci Ms' N' = (Ms', N')
  using assms
proof (induct arbitrary: Ms' N' rule: DPLL-ci.induct)
  case (1 Ms N Ms' N') note IH = this(1) and step = this(2)
  obtain S1 where S: (S1, N) = DPLL-step (Ms, N) using DPLL-step-obtains by auto
  { assume  $\neg \text{dpll}_W\text{-all-inv}$  (toS Ms N)
    hence ?case using step by auto
  }
  moreover {
    assume  $\text{dpll}_W\text{-all-inv}$  (toS Ms N)
    and (S1, N) = (Ms, N)
    hence ?case using S step by auto
  }
  moreover
  { assume inv:  $\text{dpll}_W\text{-all-inv}$  (toS Ms N)
    assume n: (S1, N)  $\neq$  (Ms, N)
    obtain S1' where SS: (S1', N) = DPLL-ci S1 N using DPLL-ci-obtains by blast
    moreover have DPLL-ci Ms N = DPLL-ci S1 N
    proof -
      have (case (S1, N) of (ms, lss)  $\Rightarrow$  if (ms, lss) = (Ms, N) then (Ms, N) else DPLL-ci ms N)
        = DPLL-ci Ms N
      using S DPLL-ci.simps[of Ms N] calculation inv by presburger
      hence (if (S1, N) = (Ms, N) then (Ms, N) else DPLL-ci S1 N) = DPLL-ci Ms N
      by fastforce
      thus ?thesis
      using calculation n by presburger
    qed
    moreover
      have DPLL-ci S1' N = (S1', N) using step IH[OF - - S n SS[symmetric]] inv by blast
      ultimately have ?case using step by fastforce
  }
  ultimately show ?case by blast
qed

```

```

lemma DPLL-part-dpllW-all-inv-final:
  fixes M Ms':: (int, unit, unit) marked-lit list and
    N :: int literal list list
  assumes inv:  $\text{dpll}_W\text{-all-inv}$  (Ms, mset (map mset N))
  and MsN: DPLL-part Ms N = (Ms', N)
  shows conclusive-dpllW-state (toS Ms' N)  $\wedge$   $\text{dpll}_W^{**}$  (toS Ms N) (toS Ms' N)
proof -
  have 2: DPLL-ci Ms N = DPLL-part Ms N using inv dpllW-all-inv-implieS-2-eq3-and-dom by blast
  hence star:  $\text{dpll}_W^{**}$  (toS Ms N) (toS Ms' N) unfolding MsN using DPLL-ci-dpllW-rtranclp by blast
  hence inv':  $\text{dpll}_W\text{-all-inv}$  (toS Ms' N) using inv rtranclp-dpllW-all-inv by blast
  show ?thesis using star DPLL-ci-final-state[OF DPLL-ci-no-more-step inv'] 2 unfolding MsN by blast
qed

```

Embedding the invariant into the type

Defining the type `typedef dpllW-state =`

```

    {(M::(int, unit, unit) marked-lit list, N::int literal list list).
      dpllW-all-inv (toS M N)}
  morphisms rough-state-of state-of
proof
  show ([],[]) ∈ {(M, N). dpllW-all-inv (toS M N)} by (auto simp add: dpllW-all-inv-def)
qed

lemma
  DPLL-part-dom ([], N)
  using assms dpllW-all-inv-implicS-2-eq3-and-dom[of [] N] by (simp add: dpllW-all-inv-def)

Some type classes instantiation dpllW-state :: equal
begin
definition equal-dpllW-state :: dpllW-state ⇒ dpllW-state ⇒ bool where
  equal-dpllW-state S S' = (rough-state-of S = rough-state-of S')
instance
  by standard (simp add: rough-state-of-inject equal-dpllW-state-def)
end

DPLL definition DPLL-step' :: dpllW-state ⇒ dpllW-state where
  DPLL-step' S = state-of (DPLL-step (rough-state-of S))

declare rough-state-of-inverse[simp]

lemma DPLL-step-dpllW-conc-inv:
  DPLL-step (rough-state-of S) ∈ {(M, N). dpllW-all-inv (toS M N)}
  by (smt DPLL-ci.simps DPLL-ci-dpllW-rtrancpl case-prodE case-prodI2 rough-state-of
    mem-Collect-eq old.prod.case prod.sel(2) rtrancpl-dpllW-all-inv snd-DPLL-step)

lemma rough-state-of-DPLL-step'-DPLL-step[simp]:
  rough-state-of (DPLL-step' S) = DPLL-step (rough-state-of S)
  using DPLL-step-dpllW-conc-inv DPLL-step'-def state-of-inverse by auto

function DPLL-tot:: dpllW-state ⇒ dpllW-state where
  DPLL-tot S =
    (let S' = DPLL-step' S in
     if S' = S then S else DPLL-tot S')
  by fast+

termination
proof (relation {(T', T).
  (rough-state-of T', rough-state-of T)
  ∈ {(S', S). (toS' S', toS' S)
    ∈ {(S', S). dpllW-all-inv S ∧ dpllW S S'}}})
  show wf {(b, a).
    (rough-state-of b, rough-state-of a)
    ∈ {(b, a). (toS' b, toS' a)
      ∈ {(b, a). dpllW-all-inv a ∧ dpllW a b}}})
    using wf-if-measure-f[OF wf-if-measure-f[OF dpllW-wf, of toS'], of rough-state-of] .
next
fix S x
assume x: x = DPLL-step' S
and x ≠ S
have dpllW-all-inv (case rough-state-of S of (Ms, N) ⇒ (Ms, mset (map mset N)))
  by (metis (no-types, lifting) case-prodE mem-Collect-eq old.prod.case rough-state-of)
moreover have dpllW (case rough-state-of S of (Ms, N) ⇒ (Ms, mset (map mset N)))

```

(case rough-state-of (DPLL-step' S) of (Ms, N) \Rightarrow (Ms, mset (map mset N)))

proof –

obtain Ms N **where** Ms: (Ms, N) = rough-state-of S **by** (cases rough-state-of S) *auto*

have dpll_W-all-inv (toS' (Ms, N)) **using** calculation **unfolding** Ms **by** blast

moreover obtain Ms' N' **where** Ms': (Ms', N') = rough-state-of (DPLL-step' S)

by (cases rough-state-of (DPLL-step' S)) *auto*

ultimately have dpll_W-all-inv (toS' (Ms', N')) **unfolding** Ms'

by (metis (no-types, lifting) case-prod-unfold mem-Collect-eq rough-state-of)

have dpll_W (toS Ms N) (toS Ms' N')

apply (rule DPLL-step-is-a-dpll_W-step[of Ms' N' Ms N])

unfolding Ms Ms' **using** $\langle x \neq S \rangle$ rough-state-of-inject x **by** fastforce+

thus ?thesis **unfolding** Ms[symmetric] Ms'[symmetric] **by** *auto*

qed

ultimately show (x, S) $\in \{(T', T). (rough-state-of T', rough-state-of T)$
 $\in \{(S', S). (toS' S', toS' S) \in \{(S', S). dpll_W\text{-all-inv } S \wedge dpll_W S S'\}\}\}$

by (auto simp add: x)

qed

lemma [code]:
DPLL-tot S =
(let S' = DPLL-step' S in
if S' = S then S else DPLL-tot S') **by** *auto*

lemma DPLL-tot-DPLL-step-DPLL-tot[simp]: DPLL-tot (DPLL-step' S) = DPLL-tot S

apply (cases DPLL-step' S = S)

apply *simp*

unfolding DPLL-tot.simps[of S] **by** (simp del: DPLL-tot.simps)

lemma DOPLL-step'-DPLL-tot[simp]:
DPLL-step' (DPLL-tot S) = DPLL-tot S

by (rule DPLL-tot.induct[of $\lambda S. DPLL-step' (DPLL-tot S) = DPLL-tot S S$])

(metis (full-types) DPLL-tot.simps)

lemma DPLL-tot-final-state:
assumes DPLL-tot S = S

shows conclusive-dpll_W-state (toS' (rough-state-of S))

proof –

have DPLL-step' S = S **using** assms[symmetric] DOPLL-step'-DPLL-tot **by** metis

hence DPLL-step (rough-state-of S) = (rough-state-of S)

unfolding DPLL-step'-def **using** DPLL-step-dpll_W-conc-inv rough-state-of-inverse

by (metis rough-state-of-DPLL-step'-DPLL-step)

thus ?thesis

by (metis (mono-tags, lifting) DPLL-step-stuck-final-state old.prod.exhaust split-conv)

qed

lemma DPLL-tot-star:
assumes rough-state-of (DPLL-tot S) = S'

shows dpll_W** (toS' (rough-state-of S)) (toS' S')

using assms

proof (induction arbitrary: S' rule: DPLL-tot.induct)

case (1 S S')

let ?x = DPLL-step' S

```

{ assume ?x = S
  then have ?case using 1(2) by simp
}
moreover {
  assume S: ?x ≠ S
  have ?case
    apply (cases DPLL-step' S = S)
      using S apply blast
    by (smt 1.IH 1.prem DPLL-step-is-a-dpllW-step DPLL-tot.simps case-prodE2
        rough-state-of-DPLL-step'-DPLL-step rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl
        rtranclp-idemp split-conv)
}
ultimately show ?case by auto
qed

```

lemma *rough-state-of-rough-state-of-nil[simp]*:
rough-state-of (state-of ([], N)) = ([], N)
apply (rule *DPLL-W-Implementation.dpll_W-state.state-of-inverse*)
unfolding *dpll_W-all-inv-def* **by** *auto*

Theorem of correctness

lemma *DPLL-tot-correct*:
assumes *rough-state-of (DPLL-tot (state-of ([], N))) = (M, N')*
and *(M', N'') = toS' (M, N')*
shows *M' ⊨_{asm} N'' ↔ satisfiable (set-mset N'')*
proof –
 have *dpll_W** (toS' ([], N)) (toS' (M, N')) using DPLL-tot-star[OF assms(1)] by auto*
 moreover have *conclusive-dpll_W-state (toS' (M, N'))*
 using *DPLL-tot-final-state by (metis (mono-tags, lifting) DOPLL-step'-DPLL-tot DPLL-tot.simps*
 assms(1))
 ultimately show ?thesis **using** *dpll_W-conclusive-state-correct by (smt DPLL-ci.simps*
 DPLL-ci-dpll_W-rtranclp assms(2) dpll_W-all-inv-def prod.case prod.sel(1) prod.sel(2)
 rtranclp-dpll_W-inv(3) rtranclp-dpll_W-inv-starting-from-0)
qed

20.2.3 Code export

A conversion to *DPLL-W-Implementation.dpll_W-state* **definition** *Con :: (int, unit, unit) marked-lit list × int literal list list*

⇒ dpll_W-state where

Con xs = state-of (if dpll_W-all-inv (toS (fst xs) (snd xs)) then xs else ([], []))

lemma [*code abstype*]:

Con (rough-state-of S) = S

using *rough-state-of[of S]* **unfolding** *Con-def* **by** *auto*

declare *rough-state-of-DPLL-step'-DPLL-step*[*code abstract*]

lemma *Con-DPLL-step-rough-state-of-state-of[simp]*:

Con (DPLL-step (rough-state-of s)) = state-of (DPLL-step (rough-state-of s))

unfolding *Con-def* **by** (metis (mono-tags, lifting) *DPLL-step-dpll_W-conc-inv mem-Collect-eq*
prod.case-eq-if)

A slightly different version of *DPLL-tot* where the returned boolean indicates the result.

definition *DPLL-tot-rep* **where**

DPLL-tot-rep S =

(let (M, N) = (rough-state-of (DPLL-tot S)) in (∀ A ∈ set N. (∃ a ∈ set A. a ∈ lits-of-l (M)), M))

One version of the generated SML code is here, but not included in the generated document.
The only differences are:

- export 'a literal from the SML Module *Clausal-Logic*;
- export the constructor *Con* from *DPLL-W-Implementation*;
- export the *int* constructor from *Arith*.

All these allows to test on the code on some examples.

end

theory *CDCL-W-Implementation*

imports *DPLL-CDCL-W-Implementation CDCL-W-Termination*

begin

notation *image-mset* (**infixr** '# 90)

type-synonym 'a *cdcl_W-mark* = 'a literal list

type-synonym *cdcl_W-marked-level* = nat

type-synonym 'v *cdcl_W-marked-lit* = ('v, *cdcl_W-marked-level*, 'v *cdcl_W-mark*) *marked-lit*

type-synonym 'v *cdcl_W-marked-lits* = ('v, *cdcl_W-marked-level*, 'v *cdcl_W-mark*) *marked-lits*

type-synonym 'v *cdcl_W-state* =

'v *cdcl_W-marked-lits* × 'v literal list list × 'v literal list list × nat ×

'v literal list option

abbreviation *raw-trail* :: 'a × 'b × 'c × 'd × 'e ⇒ 'a **where**

raw-trail ≡ (λ(M, -). M)

abbreviation *raw-cons-trail* :: 'a ⇒ 'a list × 'b × 'c × 'd × 'e ⇒ 'a list × 'b × 'c × 'd × 'e

where

raw-cons-trail ≡ (λL (M, S). (L#M, S))

abbreviation *raw-tl-trail* :: 'a list × 'b × 'c × 'd × 'e ⇒ 'a list × 'b × 'c × 'd × 'e **where**

raw-tl-trail ≡ (λ(M, S). (tl M, S))

abbreviation *raw-init-clss* :: 'a × 'b × 'c × 'd × 'e ⇒ 'b **where**

raw-init-clss ≡ λ(M, N, -). N

abbreviation *raw-learned-clss* :: 'a × 'b × 'c × 'd × 'e ⇒ 'c **where**

raw-learned-clss ≡ λ(M, N, U, -). U

abbreviation *raw-backtrack-lvl* :: 'a × 'b × 'c × 'd × 'e ⇒ 'd **where**

raw-backtrack-lvl ≡ λ(M, N, U, k, -). k

abbreviation *raw-update-backtrack-lvl* :: 'd ⇒ 'a × 'b × 'c × 'd × 'e ⇒ 'a × 'b × 'c × 'd × 'e

where

raw-update-backtrack-lvl ≡ λk (M, N, U, -, S). (M, N, U, k, S)

abbreviation *raw-conflicting* :: 'a × 'b × 'c × 'd × 'e ⇒ 'e **where**

raw-conflicting ≡ λ(M, N, U, k, D). D

abbreviation *raw-update-conflicting* :: 'e ⇒ 'a × 'b × 'c × 'd × 'e ⇒ 'a × 'b × 'c × 'd × 'e

where
 $raw_update_conflicting \equiv \lambda S \ (M, N, U, k, -). \ (M, N, U, k, S)$

abbreviation *raw-add-learned-cl*s **where**
 $raw_add_learned_cls \equiv \lambda C \ (M, N, U, S). \ (M, N, \{\#C\# \} + U, S)$

abbreviation *raw-remove-cl*s **where**
 $raw_remove_cls \equiv \lambda C \ (M, N, U, S). \ (M, removeAll_mset \ C \ N, removeAll_mset \ C \ U, S)$

type-synonym $'v \ cdcl_W\text{-}state\text{-}inv\text{-}st = ('v, nat, 'v \ literal \ list) \ marked\text{-}lit \ list \times 'v \ literal \ list \ list \times 'v \ literal \ list \ list \times nat \times 'v \ literal \ list \ option$

abbreviation $raw\text{-}S0\text{-}cdcl_W \ N \equiv (([], N, [], 0, None):: 'v \ cdcl_W\text{-}state\text{-}inv\text{-}st)$

fun $mmset\text{-}of\text{-}mlit' :: ('v, nat, 'v \ literal \ list) \ marked\text{-}lit \Rightarrow ('v, nat, 'v \ clause) \ marked\text{-}lit$
where
 $mmset\text{-}of\text{-}mlit' \ (Propagated \ L \ C) = Propagated \ L \ (mset \ C) \mid$
 $mmset\text{-}of\text{-}mlit' \ (Marked \ L \ i) = Marked \ L \ i$

lemma $lit\text{-}of\text{-}mmset\text{-}of\text{-}mlit'[simp]:$
 $lit\text{-}of \ (mmset\text{-}of\text{-}mlit' \ xa) = lit\text{-}of \ xa$
by $(induction \ xa) \ auto$

abbreviation *trail* **where**
 $trail \ S \equiv map \ mmset\text{-}of\text{-}mlit' \ (raw\text{-}trail \ S)$

abbreviation *clauses-of-l* **where**
 $clauses\text{-}of\text{-}l \equiv \lambda L. \ mset \ (map \ mset \ L)$

global-interpretation $state_W\text{-}ops$
 $mset::'v \ literal \ list \Rightarrow 'v \ clause$
 $op \ \# \ remove1$

$clauses\text{-}of\text{-}l \ op \ @ \ \lambda L \ C. \ L \in \ set \ C \ op \ \# \ \lambda C. \ remove1\text{-}cond \ (\lambda L. \ mset \ L = mset \ C)$

$mset \ \lambda xs \ ys. \ case\text{-}prod \ append \ (fold \ (\lambda x \ (ys, zs). \ (remove1 \ x \ ys, x \ \# \ zs)) \ xs \ (ys, []))$
 $op \ \# \ remove1$

$id \ id$

$\lambda(M, -). \ map \ mmset\text{-}of\text{-}mlit' \ M \ \lambda(M, -). \ hd \ M$
 $\lambda(-, N, -). \ N$
 $\lambda(-, -, U, -). \ U$
 $\lambda(-, -, -, k, -). \ k$
 $\lambda(-, -, -, -, C). \ C$

$\lambda L \ (M, S). \ (L \ \# \ M, S)$
 $\lambda(M, S). \ (tl \ M, S)$
 $\lambda C \ (M, N, S). \ (M, C \ \# \ N, S)$
 $\lambda C \ (M, N, U, S). \ (M, N, C \ \# \ U, S)$
 $\lambda C \ (M, N, U, S). \ (M, filter \ (\lambda L. \ mset \ L \neq mset \ C) \ N, filter \ (\lambda L. \ mset \ L \neq mset \ C) \ U, S)$
 $\lambda(k::nat) \ (M, N, U, -, D). \ (M, N, U, k, D)$
 $\lambda D \ (M, N, U, k, -). \ (M, N, U, k, D)$
 $\lambda N. \ ([], N, [], 0, None)$
 $\lambda(-, N, U, -). \ ([], N, U, 0, None)$

apply *unfold-locales* **by** (*auto simp: hd-map comp-def map-tl ac-simps union-mset-list mset-map-mset-remove1-cond ex-mset*)

lemma *mmset-of-mlit'-mmset-of-mlit*: *mmset-of-mlit' l = mmset-of-mlit l*
apply (*induct l*)
apply *auto*
done

lemma *clauses-of-l-filter-removeAll*:
clauses-of-l [L ← a . mset L ≠ mset C] = mset (removeAll (mset C) (map mset a))
by (*induct a*) *auto*

interpretation *state_W*
mset::'v literal list ⇒ 'v clause
op # remove1

clauses-of-l op @ λL C. L ∈ set C op # λC. remove1-cond (λL. mset L = mset C)

mset λxs ys. case-prod append (fold (λx (ys, zs). (remove1 x ys, x # zs)) xs (ys, []))
op # remove1

id id

λ(M, -). map mmset-of-mlit' M λ(M, -). hd M
λ(-, N, -). N
λ(-, -, U, -). U
λ(-, -, -, k, -). k
λ(-, -, -, -, C). C

λL (M, S). (L # M, S)
λ(M, S). (tl M, S)
λC (M, N, S). (M, C # N, S)
λC (M, N, U, S). (M, N, C # U, S)
λC (M, N, U, S). (M, filter (λL. mset L ≠ mset C) N, filter (λL. mset L ≠ mset C) U, S)
λ(k::nat) (M, N, U, -, D). (M, N, U, k, D)
λD (M, N, U, k, -). (M, N, U, k, D)
λN. ([], N, [], 0, None)
λ(-, N, U, -). ([], N, U, 0, None)
apply *unfold-locales*
apply (*rename-tac S, case-tac S*)
by (*auto simp: hd-map comp-def map-tl ac-simps clauses-of-l-filter-removeAll mmset-of-mlit'-mmset-of-mlit*)

global-interpretation *conflict-driven-clause-learning_W*
mset::'v literal list ⇒ 'v clause
op # remove1

clauses-of-l op @ λL C. L ∈ set C op # λC. remove1-cond (λL. mset L = mset C)

mset λxs ys. case-prod append (fold (λx (ys, zs). (remove1 x ys, x # zs)) xs (ys, []))
op # remove1

id id

λ(M, -). map mmset-of-mlit' M λ(M, -). hd M

```

λ(-, N, -). N
λ(-, -, U, -). U
λ(-, -, -, k, -). k
λ(-, -, -, -, C). C

λL (M, S). (L # M, S)
λ(M, S). (tl M, S)
λC (M, N, S). (M, C # N, S)
λC (M, N, U, S). (M, N, C # U, S)
λC (M, N, U, S). (M, filter (λL. mset L ≠ mset C) N, filter (λL. mset L ≠ mset C) U, S)
λ(k::nat) (M, N, U, -, D). (M, N, U, k, D)
λD (M, N, U, k, -). (M, N, U, k, D)
λN. ([], N, [], 0, None)
λ(-, N, U, -). ([], N, U, 0, None)
by intro-locales

```

```

declare state-simp[simp del] raw-clauses-def[simp] state-eq-def[simp]
notation state-eq (infix ~ 50)
term reduce-trail-to

```

```

lemma reduce-trail-to-map[simp]:
  reduce-trail-to (map f M1) = reduce-trail-to M1
by (rule ext) (auto intro: reduce-trail-to-length)

```

20.3 CDCL Implementation

20.3.1 Definition of the rules

```

Types lemma true-clss-remdups[simp]:
   $I \models_s (mset \circ remdups) \text{ ' } N \longleftrightarrow I \models_s mset \text{ ' } N$ 
by (simp add: true-clss-def)

```

```

lemma satisfiable-mset-remdups[simp]:
  satisfiable ((mset ∘ remdups) ' N)  $\longleftrightarrow$  satisfiable (mset ' N)
unfolding satisfiable-carac[symmetric] by simp

```

We need some functions to convert between our abstract state *nat cdcl_W-state* and the concrete state *'v cdcl_W-state-inv-st*.

```

abbreviation convertC :: 'a list option  $\Rightarrow$  'a multiset option where
  convertC  $\equiv$  map-option mset

```

```

lemma convert-Propagated[elim!]:
  mmset-of-mlit z = Propagated L C  $\implies (\exists C'. z = \text{Propagated } L \ C' \wedge C = mset \ C')$ 
by (cases z) auto

```

```

lemma get-rev-level-map-convert:
  get-rev-level (map mmset-of-mlit M) n x = get-rev-level M n x
by (induction M arbitrary; n rule: marked-lit-list-induct) auto

```

```

lemma get-level-map-convert[simp]:
  get-level (map mmset-of-mlit M) = get-level M
using get-rev-level-map-convert[of rev M] by (simp add: rev-map)

```

```

lemma get-rev-level-map-mmsetof-mlit[simp]:
  get-rev-level (map mmset-of-mlit M) = get-rev-level M
by (induction M rule: marked-lit-list-induct) (auto intro!: ext)

```



```

lemma get-level-map-mmsetof-mlit[simp]:
  get-level (map mmset-of-mlit M) = get-level M
  using get-rev-level-map-mmsetof-mlit[of rev M] unfolding rev-map by simp

lemma get-maximum-level-map-convert[simp]:
  get-maximum-level (map mmset-of-mlit' M) D = get-maximum-level M D
  by (induction D) (auto simp add: get-maximum-level-plus)

lemma get-all-levels-of-marked-map-convert[simp]:
  get-all-levels-of-marked (map mmset-of-mlit' M) = (get-all-levels-of-marked M)
  by (induction M rule: marked-lit-list-induct) auto

lemma reduce-trail-to-empty-trail[simp]:
  reduce-trail-to F ([], aa, ab, ac, b) = ([], aa, ab, ac, b)
  using reduce-trail-to.simps by auto

lemma raw-trail-reduce-trail-to-length-le:
  assumes length F > length (raw-trail S)
  shows raw-trail (reduce-trail-to F S) = []
  using assms trail-reduce-trail-to-length-le[of S F]
  by (cases S, cases reduce-trail-to F S) auto

lemma reduce-trail-to:
  reduce-trail-to F S =
    ((if length (raw-trail S) ≥ length F
      then drop (length (raw-trail S) - length F) (raw-trail S)
      else []), raw-init-clss S, raw-learned-clss S, raw-backtrack-lvl S, raw-conflicting S)
    (is ?S = -)
proof (induction F S rule: reduce-trail-to.induct)
  case (1 F S) note IH = this
  show ?case
    proof (cases raw-trail S)
    case Nil
    then show ?thesis using IH by (cases S) auto
    next
    case (Cons L M)
    then show ?thesis
      apply (cases Suc (length M) > length F)
      prefer 2 using IH reduce-trail-to-length-ne[of S F] apply (cases S) apply auto[]
      apply (subgoal-tac Suc (length M) - length F = Suc (length M - length F))
      using reduce-trail-to-length-ne[of S F] IH by (cases S) (auto simp add:)
    qed
  qed

Definition an abstract type

typedef 'v cdclW-state-inv = {S::'v cdclW-state-inv-st. cdclW-all-struct-inv S}
morphisms rough-state-of state-of
proof
  show ([], [], [], 0, None) ∈ {S. cdclW-all-struct-inv S}
  by (auto simp add: cdclW-all-struct-inv-def)
qed

instantiation cdclW-state-inv :: (type) equal
begin

```

definition *equal-cdcl_W-state-inv* :: 'v cdcl_W-state-inv \Rightarrow 'v cdcl_W-state-inv \Rightarrow bool **where**
equal-cdcl_W-state-inv *S S'* = (*rough-state-of* *S* = *rough-state-of* *S'*)

instance

by *standard* (*simp add: rough-state-of-inject equal-cdcl_W-state-inv-def*)
end

lemma *lits-of-map-convert[simp]*: *lits-of-l* (*map mmset-of-mlit'* *M*) = *lits-of-l* *M*
by (*induction M rule: marked-lit-list-induct*) *simp-all*

lemma *undefined-lit-map-convert[iff]*:
undefined-lit (*map mmset-of-mlit'* *M*) *L* \longleftrightarrow *undefined-lit* *M L*
by (*auto simp add: defined-lit-map image-image mmset-of-mlit'-mmset-of-mlit*)

lemma *true-annot-map-convert[simp]*: *map mmset-of-mlit'* *M* $\models_a N \longleftrightarrow M \models_a N$
by (*induction M rule: marked-lit-list-induct*) (*simp-all add: true-annot-def mmset-of-mlit'-mmset-of-mlit lits-of-def*)

lemma *true-annots-map-convert[simp]*: *map mmset-of-mlit'* *M* $\models_{as} N \longleftrightarrow M \models_{as} N$
unfolding *true-annots-def* **by** *auto*

lemmas *propagateE*

lemma *find-first-unit-clause-some-is-propagate*:
assumes *H*: *find-first-unit-clause* (*N @ U*) *M* = *Some (L, C)*
shows *propagate* (*M, N, U, k, None*) (*Propagated L C # M, N, U, k, None*)
using *assms*
by (*auto dest!: find-first-unit-clause-some intro!: propagate-rule*)

20.3.2 The Transitions

Propagate definition *do-propagate-step* **where**

do-propagate-step *S* =
(*case S of*
(*M, N, U, k, None*) \Rightarrow
(*case find-first-unit-clause* (*N @ U*) *M of*
Some (L, C) \Rightarrow (*Propagated L C # M, N, U, k, None*)
| *None* \Rightarrow (*M, N, U, k, None*))
| *S* \Rightarrow *S*)

lemma *do-propagate-step*:
do-propagate-step *S* $\neq S \implies$ *propagate S* (*do-propagate-step S*)
apply (*cases S, cases conflicting S*)
using *find-first-unit-clause-some-is-propagate* [*of raw-init-clss S raw-learned-clss S*]
by (*auto simp add: do-propagate-step-def split: option.splits*)

lemma *do-propagate-step-option[simp]*:
conflicting S \neq *None* \implies *do-propagate-step S* = *S*
unfolding *do-propagate-step-def* **by** (*cases S, cases conflicting S*) *auto*
thm *prod-cases*

lemma *do-propagate-step-no-step*:
assumes *dist*: $\forall c \in \text{set } (\text{raw-clauses } S). \text{distinct } c$ **and**
prop-step: *do-propagate-step S* = *S*
shows *no-step propagate S*
proof (*standard, standard*)
fix *T*
assume *propagate S T*

then obtain $C\ L$ **where**
 $toSS$: *conflicting* $S = None$ **and**
 C : $C \in \text{set } (raw\text{-}clauses\ S)$ **and**
 L : $L \in \text{set } C$ **and**
 MC : $raw\text{-}trail\ S \models_{as} CNot\ (mset\ (remove1\ L\ C))$ **and**
 T : $T \sim raw\text{-}cons\text{-}trail\ (Propagated\ L\ C)\ S$ **and**
 $undef$: *undefined-lit* $(raw\text{-}trail\ S)\ L$
apply $(cases\ S\ rule: prod\text{-}cases5)$
by $(elim\ propagateE)\ simp$
let $?M = raw\text{-}trail\ S$
let $?N = raw\text{-}init\text{-}clss\ S$
let $?U = raw\text{-}learned\text{-}clss\ S$
let $?k = raw\text{-}backtrack\text{-}lvl\ S$
let $?D = None$
have S : $S = (?M, ?N, ?U, ?k, ?D)$
using $toSS$ **by** $(cases\ S, cases\ conflicting\ S)\ simp\text{-}all$

have $find\text{-}first\text{-}unit\text{-}clause\ (?N\ @\ ?U)\ ?M \neq None$
apply $(rule\ dist\ find\text{-}first\text{-}unit\text{-}clause\ none[of\ C\ ?N\ @\ ?U\ ?M\ L,\ OF\ -])$
using $C\ dist$ **apply** $auto[]$
using C **apply** $auto[1]$
using MC **apply** $auto[1]$
using $undef$ **apply** $auto[1]$
using L **by** $auto$
then show $False$ **using** $prop\text{-}step\ S$ **unfolding** $do\text{-}propagate\text{-}step\text{-}def$ **by** $(cases\ S)\ auto$
qed

Conflict fun $find\text{-}conflict$ **where**

$find\text{-}conflict\ M\ [] = None$ |
 $find\text{-}conflict\ M\ (N\ \# \ Ns) = (if\ (\forall\ c \in \text{set } Ns.\ \neg c \in \text{ lits-of-l } M)\ \text{then } Some\ N\ \text{else } find\text{-}conflict\ M\ Ns)$

lemma $find\text{-}conflict\text{-}Some$:

$find\text{-}conflict\ M\ Ns = Some\ N \implies N \in \text{set } Ns \wedge M \models_{as} CNot\ (mset\ N)$
by $(induction\ Ns\ rule: find\text{-}conflict.induct)$
 $(auto\ split: if\text{-}split\text{-}asm)$

lemma $find\text{-}conflict\text{-}None$:

$find\text{-}conflict\ M\ Ns = None \iff (\forall\ N \in \text{set } Ns.\ \neg M \models_{as} CNot\ (mset\ N))$
by $(induction\ Ns)\ auto$

lemma $find\text{-}conflict\text{-}None\text{-}no\text{-}confl$:

$find\text{-}conflict\ M\ (N@U) = None \iff no\text{-}step\ conflict\ (M, N, U, k, None)$
by $(auto\ simp\ add: find\text{-}conflict\text{-}None\ conflict.simps)$

definition $do\text{-}conflict\text{-}step$ **where**

$do\text{-}conflict\text{-}step\ S =$
 $(case\ S\ of$
 $(M, N, U, k, None) \Rightarrow$
 $(case\ find\text{-}conflict\ M\ (N\ @\ U)\ of$
 $Some\ a \Rightarrow (M, N, U, k, Some\ a)$
 $| None \Rightarrow (M, N, U, k, None))$
 $| S \Rightarrow S)$

lemma $do\text{-}conflict\text{-}step$:

$do\text{-}conflict\text{-}step\ S \neq S \implies conflict\ S\ (do\text{-}conflict\text{-}step\ S)$

```

apply (cases  $S$ , cases conflicting  $S$ )
unfolding conflict.simps do-conflict-step-def
by (auto dest!: find-conflict-Some split: option.splits simp: state-eq-def)

lemma do-conflict-step-no-step:
  do-conflict-step  $S = S \implies$  no-step conflict  $S$ 
apply (cases  $S$ , cases conflicting  $S$ )
unfolding do-conflict-step-def
using find-conflict-None-no-confl[of raw-trail  $S$  raw-init-clss  $S$  raw-learned-clss  $S$ 
  raw-backtrack-lvl  $S$ ]
by (auto split: option.split elim: conflictE)

lemma do-conflict-step-option[simp]:
  conflicting  $S \neq \text{None} \implies$  do-conflict-step  $S = S$ 
unfolding do-conflict-step-def by (cases  $S$ , cases conflicting  $S$ ) auto

lemma do-conflict-step-conflicting[dest]:
  do-conflict-step  $S \neq S \implies$  conflicting (do-conflict-step  $S$ )  $\neq \text{None}$ 
unfolding do-conflict-step-def by (cases  $S$ , cases conflicting  $S$ ) (auto split: option.splits)

definition do-cp-step where
  do-cp-step  $S =$ 
    (do-propagate-step o do-conflict-step)  $S$ 

lemma cp-step-is-cdclW-cp:
  assumes  $H$ : do-cp-step  $S \neq S$ 
  shows cdclW-cp  $S$  (do-cp-step  $S$ )
proof –
  show ?thesis
proof (cases do-conflict-step  $S \neq S$ )
  case True
  then have do-propagate-step (do-conflict-step  $S$ ) = do-conflict-step  $S$ 
  by auto
  then show ?thesis
  by (auto simp add: do-conflict-step do-conflict-step-conflicting do-cp-step-def True)
next
  case False
  then have confl[simp]: do-conflict-step  $S = S$  by simp
  show ?thesis
  proof (cases do-propagate-step  $S = S$ )
  case True
  then show ?thesis
  using  $H$  by (simp add: do-cp-step-def)
  next
  case False
  let ? $S = S$ 
  let ? $T =$  (do-propagate-step  $S$ )
  let ? $U =$  (do-conflict-step (do-propagate-step  $S$ ))
  have propa: propagate  $S$  ? $T$  using False do-propagate-step by blast
  moreover have ns: no-step conflict  $S$  using confl do-conflict-step-no-step by blast
  ultimately show ?thesis
  using cdclW-cp.intros(2)[of ? $S$  ? $T$ ] confl unfolding do-cp-step-def by auto
qed
qed
qed

```

lemma *do-cp-step-eq-no-prop-no-conf*:
do-cp-step $S = S \implies \text{do-conflict-step } S = S \wedge \text{do-propagate-step } S = S$
by (*cases* S , *cases raw-conflicting* S)
(auto simp add: do-conflict-step-def do-propagate-step-def do-cp-step-def split: option.splits)

lemma *no-cdcl_W-cp-iff-no-propagate-no-conflict*:
no-step cdcl_W-cp $S \longleftrightarrow \text{no-step propagate } S \wedge \text{no-step conflict } S$
by (*auto simp: cdcl_W-cp.simps*)

lemma *do-cp-step-eq-no-step*:
assumes
 $H: \text{do-cp-step } S = S$ **and**
 $\forall c \in \text{set } (\text{raw-init-clss } S @ \text{raw-learned-clss } S). \text{distinct } c$
shows *no-step cdcl_W-cp* S
unfolding *no-cdcl_W-cp-iff-no-propagate-no-conflict*
using *assms* **apply** (*cases* S , *cases conflicting* S)
using *do-propagate-step-no-step*[*of* S]
by (*auto dest!: do-cp-step-eq-no-prop-no-conf[simplified] do-conflict-step-no-step split: option.splits*)

lemma *cdcl_W-cp-cdcl_W-st*: *cdcl_W-cp* $S S' \implies \text{cdcl}_W^{**} S S'$
by (*simp add: cdcl_W-cp-tranclp-cdcl_W tranclp-into-rtranclp*)

lemma *cdcl_W-all-struct-inv-rough-state*[*simp*]: *cdcl_W-all-struct-inv* (*rough-state-of* S)
using *rough-state-of* **by** *auto*

lemma [*simp*]: *cdcl_W-all-struct-inv* $S \implies \text{rough-state-of } (\text{state-of } S) = S$
by (*simp add: state-of-inverse*)

lemma *rough-state-of-state-of-do-cp-step*[*simp*]:
 $\text{rough-state-of } (\text{state-of } (\text{do-cp-step } (\text{rough-state-of } S))) = \text{do-cp-step } (\text{rough-state-of } S)$
proof –
have *cdcl_W-all-struct-inv* (*do-cp-step* (*rough-state-of* S))
apply (*cases do-cp-step* (*rough-state-of* S) = (*rough-state-of* S))
apply *simp*
using *cp-step-is-cdcl_W-cp*[*of* *rough-state-of* S] *cdcl_W-all-struct-inv-rough-state*[*of* S]
cdcl_W-cp-cdcl_W-st rtranclp-cdcl_W-all-struct-inv-inv **by** *blast*
then show *?thesis* **by** *auto*
qed

Skip fun *do-skip-step* :: '*v* *cdcl_W-state-inv-st* \Rightarrow '*v* *cdcl_W-state-inv-st* **where**
do-skip-step (*Propagated* $L C \# Ls, N, U, k, \text{Some } D$) =
 (*if* $-L \notin \text{set } D \wedge D \neq []$
 then ($Ls, N, U, k, \text{Some } D$)
 else (*Propagated* $L C \# Ls, N, U, k, \text{Some } D$)) |
do-skip-step $S = S$

lemma *do-skip-step*:
do-skip-step $S \neq S \implies \text{skip } S$ (*do-skip-step* S)
apply (*induction* S *rule: do-skip-step.induct*)
by (*auto simp add: skip.simps*)

lemma *do-skip-step-no*:
do-skip-step $S = S \implies \text{no-step skip } S$

by (induction S rule: do-skip-step.induct)
(auto simp add: other split: if-split-asm elim!: skipE)

lemma do-skip-step-trail-is-None[iff]:
do-skip-step S = (a, b, c, d, None) \longleftrightarrow S = (a, b, c, d, None)
by (cases S rule: do-skip-step.cases) auto

Resolve fun maximum-level-code:: 'a literal list \Rightarrow ('a, nat, 'a literal list) marked-lit list \Rightarrow nat
where
maximum-level-code [] = 0 |
maximum-level-code (L # Ls) M = max (get-level M L) (maximum-level-code Ls M)

lemma maximum-level-code-eq-get-maximum-level[code, simp]:
maximum-level-code D M = get-maximum-level M (mset D)
by (induction D) (auto simp add: get-maximum-level-plus)

fun do-resolve-step :: 'v cdcl_W-state-inv-st \Rightarrow 'v cdcl_W-state-inv-st **where**
do-resolve-step (Propagated L C # Ls, N, U, k, Some D) =
(if $\neg L \in \text{set } D \wedge \text{maximum-level-code (remove1 } (\neg L) D) (\text{Propagated } L \text{ } C \text{ } \# \text{ } Ls) = k$
then (Ls, N, U, k, Some (remdups (remove1 L C @ remove1 ($\neg L$) D)))
else (Propagated L C # Ls, N, U, k, Some D)) |
do-resolve-step S = S

lemma do-resolve-step:
cdcl_W-all-struct-inv S \implies do-resolve-step S \neq S
 \implies resolve S (do-resolve-step S)

proof (induction S rule: do-resolve-step.induct)

case (1 L C M N U k D)

then have

LD: $\neg L \in \text{set } D$ and

M: maximum-level-code (remove1 ($\neg L$) D) (Propagated L C # M) = k

by (cases mset D - {# $\neg L$ #} = {#}#),

auto dest!: get-maximum-level-exists-lit-of-max-level[of - Propagated L C # M]
split: if-split-asm)+

have every-mark-is-a-conflict (Propagated L C # M, N, U, k, Some D)

using 1(1) **unfolding** cdcl_W-all-struct-inv-def cdcl_W-conflicting-def **by** fast

then have LC: $L \in \text{set } C$ **by** fastforce

then obtain C' where C: mset C = C' + {#L#}

by (metis add.commute in-multiset-in-set insert-DiffM)

obtain D' where D: mset D = D' + {# $\neg L$ #}

using $\langle \neg L \in \text{set } D \rangle$ **by** (metis add.commute in-multiset-in-set insert-DiffM)

have D'L: D' + {# $\neg L$ #} - {# $\neg L$ #} = D' **by** (auto simp add: multiset-eq-iff)

have CL: mset C - {#L#} + {#L#} = mset C **using** $\langle L \in \text{set } C \rangle$ **by** (auto simp add: multiset-eq-iff)

have max: get-maximum-level (Propagated L (C' + {#L#}) # map mmset-of-mlit' M) D' = k

using M[simplified] **unfolding** maximum-level-code-eq-get-maximum-level C[symmetric] CL

by (metis D D'L get-maximum-level-map-convert list.simps(9) mmset-of-mlit'.simps(1))

have distinct-mset (mset C) and distinct-mset (mset D)

using $\langle \text{cdcl}_W\text{-all-struct-inv (Propagated L C \# M, N, U, k, Some D)} \rangle$

unfolding cdcl_W-all-struct-inv-def distinct-cdcl_W-state-def

by auto

then have conf: (mset C - {#L#}) # \cup (mset D - {# $\neg L$ #}) =

remdups-mset (mset C - {#L#} + (mset D - {# $\neg L$ #}))

by (auto simp: distinct-mset-remdups-union-mset)

show ?case

apply (*rule resolve-rule*)
using *LC LD max M conf C D* **by** (*auto simp: subset-mset.sup commute*)
qed *auto*

lemma *do-resolve-step-no*:
do-resolve-step S = S \implies no-step resolve S
apply (*cases S; cases (raw-trail S); cases raw-conflicting S*)
by (*auto*
elim!: resolveE split: if-split-asm
dest!: union-single-eq-member
simp del: in-multiset-in-set get-maximum-level-map-convert
simp: get-maximum-level-map-convert[symmetric] do-resolve-step)

lemma *rough-state-of-state-of-resolve[simp]*:
cdcl_W-all-struct-inv S \implies rough-state-of (state-of (do-resolve-step S)) = do-resolve-step S
apply (*rule state-of-inverse*)
apply (*cases do-resolve-step S = S*)
apply *simp*
by (*blast dest: other resolve bj do-resolve-step cdcl_W-all-struct-inv-inv*)

lemma *do-resolve-step-trail-is-None[iff]*:
do-resolve-step S = (a, b, c, d, None) \longleftrightarrow S = (a, b, c, d, None)
by (*cases S rule: do-resolve-step.cases*) *auto*

Backjumping **fun** *find-level-decomp* **where**

find-level-decomp M [] D k = None |
find-level-decomp M (L # Ls) D k =
(case (get-level M L, maximum-level-code (D @ Ls) M) of
(i, j) \Rightarrow if $i = k \wedge j < i$ then Some (L, j) else find-level-decomp M Ls (L # D) k
)

lemma *find-level-decomp-some*:
assumes *find-level-decomp M Ls D k = Some (L, j)*
shows $L \in \text{set } Ls \wedge \text{get-maximum-level } M (\text{mset } (\text{remove1 } L (Ls @ D))) = j \wedge \text{get-level } M L = k$
using *assms*
proof (*induction Ls arbitrary: D*)
case *Nil*
then show *?case* **by** *simp*
next
case (*Cons L' Ls*) **note** *IH = this(1)* **and** *H = this(2)*

def *find* \equiv (*if get-level M L' \neq k $\vee \neg$ get-maximum-level M (mset D + mset Ls) < get-level M L'*
then find-level-decomp M Ls (L' # D) k
else Some (L', get-maximum-level M (mset D + mset Ls)))
have *a1*: $\bigwedge D. \text{find-level-decomp } M Ls D k = \text{Some } (L, j) \implies$
 $L \in \text{set } Ls \wedge \text{get-maximum-level } M (\text{mset } Ls + \text{mset } D - \{\#L\}) = j \wedge \text{get-level } M L = k$
using *IH* **by** *simp*
have *a2*: *find = Some (L, j)*
using *H* **unfolding** *find-def* **by** (*auto split: if-split-asm*)
{ assume *Some (L', get-maximum-level M (mset D + mset Ls)) \neq find*
then have *f3*: $L \in \text{set } Ls$ **and** $\text{get-maximum-level } M (\text{mset } Ls + \text{mset } (L' \# D) - \{\#L\}) = j$
using *a1 IH a2* **unfolding** *find-def* **by** *meson+*
moreover then have $\text{mset } Ls + \text{mset } D - \{\#L\} + \{\#L'\} = \{\#L'\} + \text{mset } D + (\text{mset } Ls - \{\#L\})$
by (*auto simp: ac-simps multiset-eq-iff Suc-leI*)

ultimately have f_4 : *get-maximum-level* M ($mset\ Ls + mset\ D - \{\#L\# \} + \{\#L'\#\}$) = j
by (*metis add.commute diff-union-single-conv in-multiset-in-set mset.simps(2)*)
} note $f_4 = this$
have $\{\#L'\#\} + (mset\ Ls + mset\ D) = mset\ Ls + (mset\ D + \{\#L'\#\})$
by (*auto simp: ac-simps*)
then have
 $(L = L' \longrightarrow \text{get-maximum-level } M\ (mset\ Ls + mset\ D) = j \wedge \text{get-level } M\ L' = k)$ **and**
 $(L \neq L' \longrightarrow L \in \text{set } Ls \wedge \text{get-maximum-level } M\ (mset\ Ls + mset\ D - \{\#L\#\} + \{\#L'\#\}) = j \wedge \text{get-level } M\ L = k)$
using $f_4\ a2\ a1[of\ L'\ \# \ D]$ **unfolding** *find-def* **by** (*metis (no-types) add-diff-cancel-left' mset.simps(2) option.inject prod.inject union-commute*) +
then show ?case **by** *simp*
qed

lemma *find-level-decomp-none*:

assumes *find-level-decomp* $M\ Ls\ E\ k = None$ **and** $mset\ (L\#D) = mset\ (Ls\ @\ E)$
shows $\neg(L \in \text{set } Ls \wedge \text{get-maximum-level } M\ (mset\ D) < k \wedge k = \text{get-level } M\ L)$
using *assms*
proof (*induction Ls arbitrary: E L D*)
case *Nil*
then show ?case **by** *simp*
next
case (*Cons* $L'\ Ls$) **note** $IH = this(1)$ **and** *find-none* = *this(2)* **and** $LD = this(3)$
have $mset\ D + \{\#L'\#\} = mset\ E + (mset\ Ls + \{\#L'\#\}) \implies mset\ D = mset\ E + mset\ Ls$
by (*metis add-right-imp-eq union-assoc*)
then show ?case
using *find-none IH[of L' # E L D] LD* **by** (*auto simp add: ac-simps split: if-split-asm*)
qed

fun *bt-cut* **where**

$bt-cut\ i\ (Propagated\ -\ -\ \# \ Ls) = bt-cut\ i\ Ls \mid$
 $bt-cut\ i\ (Marked\ K\ k\ \# \ Ls) = (if\ k = Suc\ i\ then\ Some\ (Marked\ K\ k\ \# \ Ls)\ else\ bt-cut\ i\ Ls) \mid$
 $bt-cut\ i\ [] = None$

lemma *bt-cut-some-decomp*:

$bt-cut\ i\ M = Some\ M' \implies \exists K\ M2\ M1. M = M2\ @\ M' \wedge M' = Marked\ K\ (i+1)\ \# \ M1$
by (*induction i M rule: bt-cut.induct*) (*auto split: if-split-asm*)

lemma *bt-cut-not-none*: $M = M2\ @\ Marked\ K\ (Suc\ i)\ \# \ M' \implies bt-cut\ i\ M \neq None$

by (*induction M2 arbitrary: M rule: marked-lit-list-induct*) *auto*

lemma *get-all-marked-decomposition-ex*:

$\exists N. (Marked\ K\ (Suc\ i)\ \# \ M', N) \in \text{set } (get-all-marked-decomposition\ (M2@Marked\ K\ (Suc\ i)\ \# \ M'))$
apply (*induction M2 rule: marked-lit-list-induct*)
apply *auto[2]*
by (*rename-tac L m xs, case-tac get-all-marked-decomposition (xs @ Marked K (Suc i) # M')*)
auto

lemma *bt-cut-in-get-all-marked-decomposition*:

$bt-cut\ i\ M = Some\ M' \implies \exists M2. (M', M2) \in \text{set } (get-all-marked-decomposition\ M)$
by (*auto dest!: bt-cut-some-decomp simp add: get-all-marked-decomposition-ex*)

fun *do-backtrack-step* **where**

do-backtrack-step $(M, N, U, k, Some\ D) =$


```

(case find-level-decomp M D [] k of
  None ⇒ (M, N, U, k, Some D)
| Some (L, j) ⇒
  (case bt-cut j M of
    Some (Marked - - # Ls) ⇒ (Propagated L D # Ls, N, D # U, j, None)
  | - ⇒ (M, N, U, k, Some D))
) |
do-backtrack-step S = S

lemma get-all-marked-decomposition-map-convert:
  (get-all-marked-decomposition (map mmset-of-mlit' M)) =
    map (λ(a, b). (map mmset-of-mlit' a, map mmset-of-mlit' b)) (get-all-marked-decomposition M)
  apply (induction M rule: marked-lit-list-induct)
  apply simp
  by (rename-tac L l xs, case-tac get-all-marked-decomposition xs; auto)+

lemma do-backtrack-step:
  assumes
    db: do-backtrack-step S ≠ S and
    inv: cdclW-all-struct-inv S
  shows backtrack S (do-backtrack-step S)
  proof (cases S, cases raw-conflicting S, goal-cases)
    case (1 M N U k E)
    then show ?case using db by auto
  next
    case (2 M N U k E C) note S = this(1) and confl = this(2)
    have E: E = Some C using S confl by auto

    obtain L j where fd: find-level-decomp M C [] k = Some (L, j)
      using db unfolding S E by (cases C) (auto split: if-split-asm option.splits)
    have
      L ∈ set C and
      j: get-maximum-level M (mset (remove1 L C)) = j and
      levL: get-level M L = k
      using find-level-decomp-some[OF fd] by auto
    obtain C' where C: mset C = mset C' + {#L#}
      using ⟨L ∈ set C⟩ by (metis add.commute ex-mset in-multiset-in-set insert-DiffM)
    obtain M2 where M2: bt-cut j M = Some M2
      using db fd unfolding S E by (auto split: option.splits)
    obtain M1 K where M1: M2 = Marked K (Suc j) # M1
      using bt-cut-some-decomp[OF M2] by (cases M2) auto
    obtain c where c: M = c @ Marked K (Suc j) # M1
      using bt-cut-in-get-all-marked-decomposition[OF M2]
      unfolding M1 by fastforce
    have get-all-levels-of-marked (map mmset-of-mlit' M) = rev [1..Suc k]
      using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def S by auto
    from arg-cong[OF this, of λa. Suc j ∈ set a] have j ≤ k unfolding c by auto
    have max-l-j: maximum-level-code C' M = j
      using db fd M2 C unfolding S E by (auto
        split: option.splits list.splits marked-lit.splits
        dest!: find-level-decomp-some)[1]
    have get-maximum-level M (mset C) ≥ k
      using ⟨L ∈ set C⟩ levL get-maximum-level-ge-get-level by (metis set-mset-mset)
    moreover have get-maximum-level M (mset C) ≤ k
      using get-maximum-level-exists-lit-of-max-level[of mset C M] inv

```

```

    cdclW-M-level-inv-get-level-le-backtrack-lvl[of S]
  unfolding C cdclW-all-struct-inv-def S by (auto dest: sym[of get-level - -])
ultimately have get-maximum-level M (mset C) = k by auto

obtain M2 where M2: (M2, M2) ∈ set (get-all-marked-decomposition M)
  using bt-cut-in-get-all-marked-decomposition[OF M2] by metis
have decomp:
  (Marked K (Suc (get-maximum-level M (remove1-mset L (mset C)))) # (map mmset-of-mlit' M1),
   (map mmset-of-mlit' M2)) ∈
  set (get-all-marked-decomposition (map mmset-of-mlit' M))
  using imageI[of - - λ(a, b). (map mmset-of-mlit' a, map mmset-of-mlit' b), OF M2] j
  unfolding S E M1 by (auto simp add: get-all-marked-decomposition-map-convert)
have red: (reduce-trail-to (map mmset-of-mlit' M1)
  (M, N, C # U, get-maximum-level M (remove1-mset L (mset C)), None))
  = (M1, N, C # U, get-maximum-level M (remove1-mset L (mset C)), None)
  using M2 M1 by (auto simp: reduce-trail-to)
show ?case
  apply (rule backtrack-rule)
  using M2 fd confl ⟨L ∈ set C⟩ j decomp levL ⟨get-maximum-level M (mset C) = k⟩
  unfolding S E M1 apply (auto simp: mset-map)[6]
  unfolding CDCL-W-Implementation.state-eq-def
  using M2 fd confl ⟨L ∈ set C⟩ j decomp levL ⟨get-maximum-level M (mset C) = k⟩ red
  unfolding S E M1
  by auto
qed

```

lemma map-eq-list-length:
 map f L = L' \implies length L = length L'
 by auto

lemma map-mmset-of-mlit-eq-cons:
 assumes map mmset-of-mlit' M = a @ c
 obtains a' c' where
 M = a' @ c' and
 a = map mmset-of-mlit' a' and
 c = map mmset-of-mlit' c'
 using that[of take (length a) M drop (length a) M]
 assms by (metis append-eq-conv-conj append-take-drop-id drop-map take-map)

lemma do-backtrack-step-no:

assumes
 db: do-backtrack-step S = S and
 inv: cdcl_W-all-struct-inv S

shows no-step backtrack S

proof (rule ccontr, cases S, cases conflicting S, goal-cases)

case 1

then show ?case using db by (auto split: option.splits elim: backtrackE)

next

case (2 M N U k E C) note bt = this(1) and S = this(2) and confl = this(3)

obtain K j M1 M2 L D where

CE: raw-conflicting S = Some D and

LD: L ∈ # mset D and

decomp: (Marked K (Suc j) # M1, M2) ∈ set (get-all-marked-decomposition (trail S)) and

levL: get-level (raw-trail S) L = raw-backtrack-lvl S and

k: get-level (raw-trail S) L = get-maximum-level (raw-trail S) (mset D) and

```

j: get-maximum-level (raw-trail S) (remove1-mset L (mset D))  $\equiv$  j and
undef: undefined-lit M1 L
using bt apply clarsimp
apply (elim backtrack-levE)
  using inv unfolding cdclW-all-struct-inv-def apply fast
apply (cases S)
by (auto simp add: get-all-marked-decomposition-map-convert)

obtain c where c: trail S = c @ M2 @ Marked K (Suc j) # M1
  using decomp by blast
have get-all-levels-of-marked (trail S) = rev [1.. $\text{Suc } k$ ]
  using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def S by auto
from arg-cong[OF this, of  $\lambda a. \text{Suc } j \in \text{set } a$ ] have k > j
  unfolding c by (auto simp: get-all-marked-decomposition-map-convert)
have [simp]: L  $\in$  set D
  using LD by auto
have CD: C = mset D
  using CE confl by auto
obtain D' where
  E: E = Some D and
  DD': mset D = {#L#} + mset D'
  using that[of remove1 L D]
  using S CE confl LD by (auto simp add: insert-DiffM)
have find-level-decomp M D [] k  $\neq$  None
  apply rule
  apply (drule find-level-decomp-none[of - - - L D'])
  using DD'  $\langle k > j \rangle$  mset-eq-setD S levL unfolding k[symmetric] j[symmetric]
  by (auto simp: ac-simps)
then obtain L' j' where fd-some: find-level-decomp M D [] k = Some (L', j')
  by (cases find-level-decomp M D [] k) auto
have L': L' = L
  proof (rule ccontr)
    assume  $\neg$  ?thesis
    then have L'  $\in$  # mset (remove1 L D)
      by (metis fd-some find-level-decomp-some in-set-remove1 set-mset-mset)
    then have get-level M L'  $\leq$  get-maximum-level M (mset (remove1 L D))
      using get-maximum-level-ge-get-level by blast
    then show False using  $\langle k > j \rangle$  j find-level-decomp-some[OF fd-some] S DD' by auto
  qed
then have j': j' = j using find-level-decomp-some[OF fd-some] j S DD' by auto

obtain c' M1' where cM: M = c' @ Marked K (Suc j) # M1'
  apply (rule map-mmset-of-mlit-eq-cons[of M c @ M2 Marked K (Suc j) # M1])
  using c S apply simp
  apply (rule map-mmset-of-mlit-eq-cons[of - [Marked K (Suc j)] M1])
  apply auto[]
  apply (rename-tac a b' aa b, case-tac aa)
  apply auto[]
  apply (rename-tac a b' aa b, case-tac aa)
  by auto
have btc-none: bt-cut j M  $\neq$  None
  apply (rule bt-cut-not-none[of M ])
  using cM by simp
show ?case using db unfolding S E
  by (auto split: option.splits list.splits marked-lit.splits)

```

```

    simp add: fd-some L' j' btc-none
    dest: bt-cut-some-decomp)
qed

lemma rough-state-of-state-of-backtrack[simp]:
  assumes inv: cdclW-all-struct-inv S
  shows rough-state-of (state-of (do-backtrack-step S)) = do-backtrack-step S
proof (rule state-of-inverse)
  have f2: backtrack S (do-backtrack-step S) ∨ do-backtrack-step S = S
    using do-backtrack-step inv by blast
  have  $\bigwedge p. \neg \text{cdcl}_W\text{-o } S \ p \vee \text{cdcl}_W\text{-all-struct-inv } p$ 
    using inv cdclW-all-struct-inv-inv other by blast
  then have do-backtrack-step S = S ∨ cdclW-all-struct-inv (do-backtrack-step S)
    using f2 inv cdclW-o.intros cdclW-bj.intros by blast
  then show do-backtrack-step S ∈ {S. cdclW-all-struct-inv S}
    using inv by fastforce
qed

```

Decide fun do-decide-step where
do-decide-step (M, N, U, k, None) =
(case find-first-unused-var N (lits-of-l M) of
None ⇒ (M, N, U, k, None)
| Some L ⇒ (Marked L (Suc k) # M, N, U, k+1, None)) |
do-decide-step S = S

```

lemma do-decide-step:
  fixes S :: 'v cdclW-state-inv-st
  assumes do-decide-step S ≠ S
  shows decide S (do-decide-step S)
  using assms
  apply (cases S, cases conflicting S)
  defer
  apply (auto split: option.splits simp add: decide.simps Marked-Propagated-in-iff-in-lits-of-l
    dest: find-first-unused-var-undefined find-first-unused-var-Some
    intro:)[1]
proof -
  fix a :: ('v, nat, 'v literal list) marked-lit list and
    b :: 'v literal list list and c :: 'v literal list list and
    d :: nat and e :: 'v literal list option
  {
    fix a :: ('v, nat, 'v literal list) marked-lit list and
      b :: 'v literal list list and c :: 'v literal list list and
      d :: nat and x2 :: 'v literal and m :: 'v literal list
    assume a1: m ∈ set b
    assume x2 ∈ set m
    then have f2: atm-of x2 ∈ atms-of (mset m)
      by simp
    have  $\bigwedge f. (f \ m :: 'v \text{ clause}) \in f \ ' \text{ set } b$ 
      using a1 by blast
    then have  $\bigwedge f. (\text{atms-of } (f \ m) :: 'v \text{ set}) \subseteq \text{atms-of-ms } (f \ ' \text{ set } b)$ 
      by simp
    then have  $\bigwedge n \ f. (n :: 'v) \in \text{atms-of-ms } (f \ ' \text{ set } b) \vee n \notin \text{atms-of } (f \ m)$ 
      by (meson contra-subsetD)
    then have atm-of x2 ∈ atms-of-ms (mset ' set b)
      using f2 by blast
  }

```

```

} note  $H = this$ 
{
  fix  $m :: 'v$  literal list and  $x2$ 
  have  $m \in set\ b \implies x2 \in set\ m \implies x2 \notin lits-of-l\ a \implies \neg x2 \notin lits-of-l\ a \implies$ 
     $\exists aa \in set\ b. \neg atm-of\ 'set\ aa \subseteq atm-of\ 'lits-of-l\ a$ 
    by (meson atm-of-in-atm-of-set-in-uminus contra-subsetD rev-image-eqI)
} note  $H' = this$ 

assume do-decide-step  $S \neq S$  and
   $S = (a, b, c, d, e)$  and
  conflicting  $S = None$ 
then show decide  $S$  (do-decide-step  $S$ )
using  $H\ H'$  by (auto split: option.splits simp: lits-of-def decide.simps
  Marked-Propagated-in-iff-in-lits-of-l
  dest!: find-first-unused-var-Some)
qed

lemma mmset-of-mlit'-eq-Marked[iff]:  $mmset-of-mlit'\ z = Marked\ x\ k \longleftrightarrow z = Marked\ x\ k$ 
by (cases z) auto

lemma do-decide-step-no:
  do-decide-step  $S = S \implies no-step\ decide\ S$ 
apply (cases S, cases conflicting S)

apply (auto simp: atms-of-ms-mset-unfold Marked-Propagated-in-iff-in-lits-of-l lits-of-def
  dest!: atm-of-in-atm-of-set-in-uminus
  elim!: decideE
  split: option.splits) +
using atm-of-eq-atm-of by blast

lemma rough-state-of-state-of-do-decide-step[simp]:
   $cdcl_W-all-struct-inv\ S \implies rough-state-of\ (state-of\ (do-decide-step\ S)) = do-decide-step\ S$ 
proof (subst state-of-inverse, goal-cases)
  case 1
  then show ?case
    by (cases do-decide-step S = S)
    (auto dest: do-decide-step decide other intro: cdcl_W-all-struct-inv-inv)
qed simp

lemma rough-state-of-state-of-do-skip-step[simp]:
   $cdcl_W-all-struct-inv\ S \implies rough-state-of\ (state-of\ (do-skip-step\ S)) = do-skip-step\ S$ 
apply (subst state-of-inverse, cases do-skip-step S = S)
apply simp
by (blast dest: other skip bj do-skip-step cdcl_W-all-struct-inv-inv) +

```

20.3.3 Code generation

Type definition There are two invariants: one while applying conflict and propagate and one for the other rules

declare *rough-state-of-inverse[simp add]*

definition *Con* **where**

Con xs = state-of (if cdcl_W-all-struct-inv xs then xs else ([], [], [], 0, None))

lemma [*code abstype*]:

Con (rough-state-of S) = S

```

using rough-state-of[of S] unfolding Con-def by simp

definition do-cp-step' where
do-cp-step' S = state-of (do-cp-step (rough-state-of S))

typedef 'v cdclW-state-inv-from-init-state = {S::'v cdclW-state-inv-st. cdclW-all-struct-inv S
  ∧ cdclW-stgy** (raw-S0-cdclW (raw-init-clss S)) S}
morphisms rough-state-from-init-state-of state-from-init-state-of
proof
  show ([], [], [], 0, None) ∈ {S. cdclW-all-struct-inv S
    ∧ cdclW-stgy** (raw-S0-cdclW (raw-init-clss S)) S}
  by (auto simp add: cdclW-all-struct-inv-def)
qed

instantiation cdclW-state-inv-from-init-state :: (type) equal
begin
definition equal-cdclW-state-inv-from-init-state :: 'v cdclW-state-inv-from-init-state ⇒
  'v cdclW-state-inv-from-init-state ⇒ bool where
  equal-cdclW-state-inv-from-init-state S S' ⇔
    (rough-state-from-init-state-of S = rough-state-from-init-state-of S')
instance
  by standard (simp add: rough-state-from-init-state-of-inject
    equal-cdclW-state-inv-from-init-state-def)
end

definition ConI where
  ConI S = state-from-init-state-of (if cdclW-all-struct-inv S
    ∧ cdclW-stgy** (raw-S0-cdclW (raw-init-clss S)) S then S else ([], [], [], 0, None))

lemma [code abstype]:
  ConI (rough-state-from-init-state-of S) = S
  using rough-state-from-init-state-of[of S] unfolding ConI-def
  by (simp add: rough-state-from-init-state-of-inverse)

definition id-of-I-to:: 'v cdclW-state-inv-from-init-state ⇒ 'v cdclW-state-inv where
  id-of-I-to S = state-of (rough-state-from-init-state-of S)

lemma [code abstract]:
  rough-state-of (id-of-I-to S) = rough-state-from-init-state-of S
  unfolding id-of-I-to-def using rough-state-from-init-state-of[of S] by auto

Conflict and Propagate function do-full1-cp-step :: 'v cdclW-state-inv ⇒ 'v cdclW-state-inv
where
  do-full1-cp-step S =
    (let S' = do-cp-step' S in
      if S = S' then S else do-full1-cp-step S')
by auto
termination
proof (relation {(T', T). (rough-state-of T', rough-state-of T) ∈ {(S', S).
  (S', S) ∈ {(S', S). cdclW-all-struct-inv S ∧ cdclW-cp S S'}}, goal-cases)
  case 1
  show ?case
    using wf-if-measure-f[OF wf-if-measure-f[OF cdclW-cp-wf-all-inv, of ], of rough-state-of] .
next
  case (2 S' S)

```

then show ?case
 unfolding do-cp-step'-def
 apply simp
 by (metis cp-step-is-cdcl_W-cp rough-state-of-inverse)
qed

lemma do-full1-cp-step-fix-point-of-do-full1-cp-step:
 do-cp-step(rough-state-of (do-full1-cp-step S)) = rough-state-of (do-full1-cp-step S)
by (rule do-full1-cp-step.induct[of $\lambda S. \text{do-cp-step(rough-state-of (do-full1-cp-step S))}$
 = rough-state-of (do-full1-cp-step S)])
 (metis (full-types) do-full1-cp-step.elims rough-state-of-state-of-do-cp-step do-cp-step'-def)

lemma in-clauses-rough-state-of-is-distinct:
 $c \in \text{set (raw-init-clss (rough-state-of } S) @ \text{raw-learned-clss (rough-state-of } S))} \implies \text{distinct } c$
apply (cases rough-state-of S)
using rough-state-of[of S] **by** (auto simp add: distinct-mset-set-distinct cdcl_W-all-struct-inv-def
 distinct-cdcl_W-state-def)

lemma do-full1-cp-step-full:
 full cdcl_W-cp (rough-state-of S)
 (rough-state-of (do-full1-cp-step S))
unfolding full-def

proof (rule conjI, induction S rule: do-full1-cp-step.induct)

case (1 S)

then have f1:

cdcl_W-cp** ((do-cp-step (rough-state-of S))) (
 (rough-state-of (do-full1-cp-step (state-of (do-cp-step (rough-state-of S))))))
 \vee state-of (do-cp-step (rough-state-of S)) = S

using rough-state-of-state-of-do-cp-step[of S] **unfolding** do-cp-step'-def **by** fastforce

have f2: $\wedge c. (\text{if } c = \text{state-of (do-cp-step (rough-state-of } c))$
 then c else do-full1-cp-step (state-of (do-cp-step (rough-state-of c))))
 = do-full1-cp-step c

by (metis (full-types) do-cp-step'-def do-full1-cp-step.simps)

have f3: $\neg \text{cdcl}_W\text{-cp (rough-state-of } S) (\text{do-cp-step (rough-state-of } S))$

$\vee \text{state-of (do-cp-step (rough-state-of } S)) = S$

$\vee \text{cdcl}_W\text{-cp}^{++} (\text{rough-state-of } S)$

(rough-state-of (do-full1-cp-step (state-of (do-cp-step (rough-state-of S))))))

using f1 **by** (meson rtranclp-into-tranclp2)

{ assume do-full1-cp-step S \neq S

then have do-cp-step (rough-state-of S) = rough-state-of S

$\longrightarrow \text{cdcl}_W\text{-cp}^{**} (\text{rough-state-of } S) (\text{rough-state-of (do-full1-cp-step } S))$

$\vee \text{do-cp-step (rough-state-of } S) \neq \text{rough-state-of } S$

$\wedge \text{state-of (do-cp-step (rough-state-of } S)) \neq S$

using f2 f1 **by** (metis (no-types))

then have do-cp-step (rough-state-of S) \neq rough-state-of S

$\wedge \text{state-of (do-cp-step (rough-state-of } S)) \neq S$

$\vee \text{cdcl}_W\text{-cp}^{**} (\text{rough-state-of } S) (\text{rough-state-of (do-full1-cp-step } S))$

by (metis rough-state-of-state-of-do-cp-step)

then have cdcl_W-cp** (rough-state-of S) (rough-state-of (do-full1-cp-step S))

using f3 f2 **by** (metis (no-types) cp-step-is-cdcl_W-cp tranclp-into-rtranclp) }

then show ?case

by fastforce

next

show no-step cdcl_W-cp (rough-state-of (do-full1-cp-step S))

apply (rule do-cp-step-eq-no-step[OF do-full1-cp-step-fix-point-of-do-full1-cp-step[of S]])

using *in-clauses-rough-state-of-is-distinct* **unfolding** *do-cp-step'-def* **by** *blast*
qed

lemma [*code abstract*]:
rough-state-of (*do-cp-step'* *S*) = *do-cp-step* (*rough-state-of* *S*)
unfolding *do-cp-step'-def* **by** *auto*

The other rules **fun** *do-other-step* **where**

do-other-step *S* =
 (let *T* = *do-skip-step* *S* in
 if *T* ≠ *S*
 then *T*
 else
 (let *U* = *do-resolve-step* *T* in
 if *U* ≠ *T*
 then *U* else
 (let *V* = *do-backtrack-step* *U* in
 if *V* ≠ *U* then *V* else *do-decide-step* *V*)))

lemma *do-other-step*:
assumes *inv*: *cdcl_W-all-struct-inv* *S* **and**
st: *do-other-step* *S* ≠ *S*
shows *cdcl_W-o* *S* (*do-other-step* *S*)
using *st inv* **by** (*auto split: if-split-asm*
simp add: Let-def
intro: do-skip-step do-resolve-step do-backtrack-step do-decide-step
cdcl_W-o.intros cdcl_W-bj.intros)

lemma *do-other-step-no*:
assumes *inv*: *cdcl_W-all-struct-inv* *S* **and**
st: *do-other-step* *S* = *S*
shows *no-step cdcl_W-o* *S*
using *st inv* **by** (*auto split: if-split-asm elim: cdcl_W-bjE*
simp add: Let-def cdcl_W-bj.simps elim!: cdcl_W-o.cases
dest!: do-skip-step-no do-resolve-step-no do-backtrack-step-no do-decide-step-no)

lemma *rough-state-of-state-of-do-other-step*[*simp*]:
rough-state-of (*state-of* (*do-other-step* (*rough-state-of* *S*))) = *do-other-step* (*rough-state-of* *S*)

proof (*cases do-other-step (rough-state-of S) = rough-state-of S*)

case *True*

then show *?thesis* **by** *simp*

next

case *False*

have *cdcl_W-o* (*rough-state-of* *S*) (*do-other-step* (*rough-state-of* *S*))

by (*metis False cdcl_W-all-struct-inv-rough-state do-other-step[of rough-state-of S]*)

then have *cdcl_W-all-struct-inv* (*do-other-step* (*rough-state-of* *S*))

using *cdcl_W-all-struct-inv-inv cdcl_W-all-struct-inv-rough-state other* **by** *blast*

then show *?thesis*

by (*simp add: CollectI state-of-inverse*)

qed

definition *do-other-step'* **where**

do-other-step' *S* =

state-of (*do-other-step* (*rough-state-of* *S*))

lemma *rough-state-of-do-other-step'*[code abstract]:
rough-state-of (do-other-step' S) = do-other-step (rough-state-of S)
apply (cases do-other-step (rough-state-of S) = rough-state-of S)
unfolding do-other-step'-def **apply** simp
using do-other-step[of rough-state-of S] **by** (auto intro: cdcl_W-all-struct-inv-inv
cdcl_W-all-struct-inv-rough-state other state-of-inverse)

definition do-cdcl_W-stgy-step **where**

do-cdcl_W-stgy-step S =
(let T = do-full1-cp-step S in
if $T \neq S$
then T
else
(let U = (do-other-step' T) in
(do-full1-cp-step U)))

definition do-cdcl_W-stgy-step' **where**

do-cdcl_W-stgy-step' S = state-from-init-state-of (rough-state-of (do-cdcl_W-stgy-step (id-of-I-to S)))

lemma toS-do-full1-cp-step-not-eq: do-full1-cp-step $S \neq S \implies$
rough-state-of $S \neq$ rough-state-of (do-full1-cp-step S)

proof –

assume a1: do-full1-cp-step $S \neq S$
then have $S \neq$ do-cp-step' S
by fastforce
then show ?thesis
by (metis (no-types) do-cp-step'-def do-full1-cp-step-fix-point-of-do-full1-cp-step
rough-state-of-inverse)

qed

do-full1-cp-step should not be unfolded anymore:

declare do-full1-cp-step.simps[simp del]

Correction of the transformation **lemma** do-cdcl_W-stgy-step:

assumes do-cdcl_W-stgy-step $S \neq S$
shows cdcl_W-stgy (rough-state-of S) (rough-state-of (do-cdcl_W-stgy-step S))
proof (cases do-full1-cp-step $S = S$)
case False
then show ?thesis
using assms do-full1-cp-step-full[of S] **unfolding** full-unfold do-cdcl_W-stgy-step-def
by (auto intro!: cdcl_W-stgy.intros dest: toS-do-full1-cp-step-not-eq)
next
case True
have cdcl_W-o (rough-state-of S) (rough-state-of (do-other-step' S))
by (smt True assms cdcl_W-all-struct-inv-rough-state do-cdcl_W-stgy-step-def do-other-step
rough-state-of-do-other-step' rough-state-of-inverse)
moreover
have
np: no-step propagate (rough-state-of S) **and**
nc: no-step conflict (rough-state-of S)
apply (metis True cdcl_W-cp.simps do-cp-step-eq-no-step
do-full1-cp-step-fix-point-of-do-full1-cp-step in-clauses-rough-state-of-is-distinct)
by (metis True do-conflict-step-no-step do-cp-step-eq-no-prop-no-confl
do-full1-cp-step-fix-point-of-do-full1-cp-step)
then have no-step cdcl_W-cp (rough-state-of S)

```

    by (simp add: cdclW-cp.simps)
  moreover have full cdclW-cp (rough-state-of (do-other-step' S))
    (rough-state-of (do-full1-cp-step (do-other-step' S)))
    using do-full1-cp-step-full by auto
  ultimately show ?thesis
    using assms True unfolding do-cdclW-stgy-step-def
    by (auto intro!: cdclW-stgy.other' dest: toS-do-full1-cp-step-not-eq)
qed

```

lemma *do-skip-step-trail-changed-or-conflict:*

```

  assumes d: do-other-step S ≠ S
  and inv: cdclW-all-struct-inv S
  shows trail S ≠ trail (do-other-step S)

```

proof –

```

  have M:  $\bigwedge M K M1 c. M = c @ K \# M1 \implies \text{Suc } (\text{length } M1) \leq \text{length } M$ 
    by auto

```

```

  have cdclW-M-level-inv S

```

```

    using inv unfolding cdclW-all-struct-inv-def by auto

```

```

  have cdclW-o S (do-other-step S) using do-other-step[OF inv d] .

```

```

  then show ?thesis

```

```

    using ⟨cdclW-M-level-inv S⟩

```

```

  proof (induction do-other-step S rule: cdclW-o-induct-lev2)

```

```

    case decide

```

```

    then show ?thesis

```

```

      apply (cases S)

```

```

      apply (auto dest!: find-first-unused-var-Some
        simp: split. option.splits)

```

```

      by (meson atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set contra-subsetD)

```

```

    next

```

```

    case (skip)

```

```

    then show ?case

```

```

      by (cases S; cases do-other-step S) force

```

```

    next

```

```

    case (resolve)

```

```

    then show ?case

```

```

      by (cases S, cases do-other-step S) force

```

```

    next

```

```

      case (backtrack K i M1 M2 L D) note decomp = this(1) and confl-S = this(3) and undef =
this(6)

```

```

      and U = this(7)

```

```

    then show ?case

```

```

      apply (cases do-other-step S)

```

```

      apply (auto split: if-split-asm simp: Let-def)

```

```

        apply (cases S rule: do-skip-step.cases; auto split: if-split-asm)

```

```

        apply (cases S rule: do-skip-step.cases; auto split: if-split-asm)

```

```

      apply (cases S rule: do-backtrack-step.cases;

```

```

        auto split: if-split-asm option.splits list.splits marked-lit.splits

```

```

        dest!: bt-cut-some-decomp simp: Let-def)

```

```

      using d apply (cases S rule: do-decide-step.cases; auto split: option.splits)[]

```

```

    done

```

```

  qed

```

qed

lemma *do-full1-cp-step-induct:*

$(\bigwedge S. (S \neq \text{do-cp-step}' S \implies P (\text{do-cp-step}' S)) \implies P S) \implies P a0$
using *do-full1-cp-step.induct* **by** *metis*

lemma *do-cp-step-neq-trail-increase*:

$\exists c. \text{raw-trail } (\text{do-cp-step } S) = c @ \text{raw-trail } S \wedge (\forall m \in \text{set } c. \neg \text{is-marked } m)$
by (*cases S, cases raw-conflicting S*)
(auto simp add: do-cp-step-def do-conflict-step-def do-propagate-step-def split: option.splits)

lemma *do-full1-cp-step-neq-trail-increase*:

$\exists c. \text{raw-trail } (\text{rough-state-of } (\text{do-full1-cp-step } S)) = c @ \text{raw-trail } (\text{rough-state-of } S)$
 $\wedge (\forall m \in \text{set } c. \neg \text{is-marked } m)$
apply (*induction rule: do-full1-cp-step-induct*)
apply (*rename-tac S, case-tac do-cp-step' S = S*)
apply (*simp add: do-full1-cp-step.simps*)
by (*smt Un-iff append-assoc do-cp-step'-def do-cp-step-neq-trail-increase do-full1-cp-step.simps*
rough-state-of-state-of-do-cp-step set-append)

lemma *do-cp-step-conflicting*:

conflicting (*rough-state-of S*) $\neq \text{None} \implies \text{do-cp-step}' S = S$
unfolding *do-cp-step'-def do-cp-step-def* **by** *simp*

lemma *do-full1-cp-step-conflicting*:

conflicting (*rough-state-of S*) $\neq \text{None} \implies \text{do-full1-cp-step } S = S$
unfolding *do-cp-step'-def do-cp-step-def*
apply (*induction rule: do-full1-cp-step-induct*)
by (*rename-tac S, case-tac S \neq do-cp-step' S*)
(auto simp add: do-full1-cp-step.simps do-cp-step-conflicting)

lemma *do-decide-step-not-conflicting-one-more-decide*:

assumes
conflicting S = None **and**
do-decide-step S \neq S
shows *Suc (length (filter is-marked (raw-trail S)))*
 $= \text{length } (\text{filter is-marked } (\text{raw-trail } (\text{do-decide-step } S)))$
using *assms unfolding do-other-step'-def*
by (*cases S*) (*force simp: Let-def split: if-split-asm option.splits*
dest!: find-first-unused-var-Some-not-all-incl)

lemma *do-decide-step-not-conflicting-one-more-decide-bt*:

assumes *conflicting S \neq None* **and**
do-decide-step S \neq S
shows *length (filter is-marked (raw-trail S)) <*
 $\text{length } (\text{filter is-marked } (\text{raw-trail } (\text{do-decide-step } S)))$
using *assms unfolding do-other-step'-def* **by** (*cases S, cases conflicting S*)
(auto simp add: Let-def split: if-split-asm option.splits)

lemma *do-other-step-not-conflicting-one-more-decide-bt*:

assumes
conflicting (rough-state-of S) \neq None **and**
conflicting (rough-state-of (do-other-step' S)) = None **and**
do-other-step' S \neq S
shows *length (filter is-marked (raw-trail (rough-state-of S)))*
 $> \text{length } (\text{filter is-marked } (\text{raw-trail } (\text{rough-state-of } (\text{do-other-step}' S))))$
proof (*cases S, goal-cases*)
case (*1 y*) **note** *S = this(1)* **and** *inv = this(2)*

```

obtain  $M\ N\ U\ k\ E$  where  $y: y = (M, N, U, k, \text{Some } E)$ 
  using  $\text{assms}(1)\ S\ \text{inv}$  by ( $\text{cases } y, \text{cases conflicting } y$ )  $\text{auto}$ 
have  $M$ :  $\text{rough-state-of } (\text{state-of } (M, N, U, k, \text{Some } E)) = (M, N, U, k, \text{Some } E)$ 
  using  $\text{inv } y$  by ( $\text{auto simp add: state-of-inverse}$ )
have  $bt$ :  $\text{do-other-step}' S = \text{state-of } (\text{do-backtrack-step } (\text{rough-state-of } S))$ 
proof ( $\text{cases rough-state-of } S\ \text{rule: do-decide-step.cases}$ )
  case 1
  then show  $?thesis$ 
    using  $\text{assms}(1,2)$  by  $\text{auto}[]$ 
next
  case ( $2\ v\ vb\ vd\ vf\ vh$ )
  have  $f3$ :  $\bigwedge c. (\text{if } \text{do-skip-step } (\text{rough-state-of } c) \neq \text{rough-state-of } c$ 
    then  $\text{do-skip-step } (\text{rough-state-of } c)$ 
    else if  $\text{do-resolve-step } (\text{do-skip-step } (\text{rough-state-of } c)) \neq \text{do-skip-step } (\text{rough-state-of } c)$ 
      then  $\text{do-resolve-step } (\text{do-skip-step } (\text{rough-state-of } c))$ 
      else if  $\text{do-backtrack-step } (\text{do-resolve-step } (\text{do-skip-step } (\text{rough-state-of } c)))$ 
         $\neq \text{do-resolve-step } (\text{do-skip-step } (\text{rough-state-of } c))$ 
        then  $\text{do-backtrack-step } (\text{do-resolve-step } (\text{do-skip-step } (\text{rough-state-of } c)))$ 
        else  $\text{do-decide-step } (\text{do-backtrack-step } (\text{do-resolve-step } (\text{do-skip-step } (\text{rough-state-of } c))))$ 
       $= \text{rough-state-of } (\text{do-other-step}' c)$ 
    by ( $\text{simp add: rough-state-of-do-other-step}'$ )
  have
    ( $\text{raw-trail } (\text{rough-state-of } (\text{do-other-step}' S)),$ 
     $\text{raw-init-clss } (\text{rough-state-of } (\text{do-other-step}' S)),$ 
     $\text{raw-learned-clss } (\text{rough-state-of } (\text{do-other-step}' S)),$ 
     $\text{raw-backtrack-lvl } (\text{rough-state-of } (\text{do-other-step}' S)), \text{None}$ )
     $= \text{rough-state-of } (\text{do-other-step}' S)$ 
  using  $\text{assms}(2)$  by ( $\text{cases do-other-step}' S$ )  $\text{auto}$ 
  then show  $?thesis$ 
    using  $f3\ 2$  by ( $\text{metis (no-types) do-decide-step.simps}(2)\ \text{do-resolve-step-trail-is-None}$ 
       $\text{do-skip-step-trail-is-None rough-state-of-inverse}$ )
  qed
show  $?case$ 
  using  $\text{assms}(2)\ S$  unfolding  $bt\ y\ \text{inv}$ 
  apply  $\text{simp}$ 
  by ( $\text{auto simp add: } M\ bt\text{-cut-not-none}$ 
     $\text{split: option.splits}$ 
     $\text{dest!: bt-cut-some-decomp}$ )
qed

lemma  $\text{do-other-step-not-conflicting-one-more-decide}$ :
  assumes  $\text{conflicting } (\text{rough-state-of } S) = \text{None}$  and
   $\text{do-other-step}' S \neq S$ 
  shows  $1 + \text{length } (\text{filter is-marked } (\text{raw-trail } (\text{rough-state-of } S)))$ 
     $= \text{length } (\text{filter is-marked } (\text{raw-trail } (\text{rough-state-of } (\text{do-other-step}' S))))$ 
proof ( $\text{cases } S, \text{goal-cases}$ )
  case ( $1\ y$ ) note  $S = \text{this}(1)$  and  $\text{inv} = \text{this}(2)$ 
  obtain  $M\ N\ U\ k$  where  $y: y = (M, N, U, k, \text{None})$  using  $\text{assms}(1)\ S\ \text{inv}$  by ( $\text{cases } y$ )  $\text{auto}$ 
  have  $M$ :  $\text{rough-state-of } (\text{state-of } (M, N, U, k, \text{None})) = (M, N, U, k, \text{None})$ 
    using  $\text{inv } y$  by ( $\text{auto simp add: state-of-inverse}$ )
  have  $\text{state-of } (\text{do-decide-step } (M, N, U, k, \text{None})) \neq \text{state-of } (M, N, U, k, \text{None})$ 
    using  $\text{assms}(2)$  unfolding  $\text{do-other-step}'\text{-def } y\ \text{inv } S$  by ( $\text{auto simp add: } M$ )
  then have  $f4$ :  $\text{do-skip-step } (\text{rough-state-of } S) = \text{rough-state-of } S$ 
    unfolding  $S\ M\ y$  by ( $\text{metis (full-types) do-skip-step.simps}(4)$ )

```

have *f5*: *do-resolve-step* (*rough-state-of S*) = *rough-state-of S*
unfolding *S M y* **by** (*metis* (*no-types*) *do-resolve-step.simps*(4))
have *f6*: *do-backtrack-step* (*rough-state-of S*) = *rough-state-of S*
unfolding *S M y* **by** (*metis* (*no-types*) *do-backtrack-step.simps*(2))
have *do-other-step* (*rough-state-of S*) \neq *rough-state-of S*
using *assms*(2) **unfolding** *S M y do-other-step'-def* **by** (*metis* (*no-types*))
then show ?*case*
using *f6 f5 f4* **by** (*simp add: assms*(1) *do-decide-step-not-conflicting-one-more-decide*
do-other-step'-def)
qed

lemma *rough-state-of-state-of-do-skip-step-rough-state-of[simp]*:
rough-state-of (*state-of* (*do-skip-step* (*rough-state-of S*))) = *do-skip-step* (*rough-state-of S*)
by (*smt do-other-step.simps rough-state-of-inverse rough-state-of-state-of-do-other-step*)

lemma *conflicting-do-resolve-step-iff[iff]*:
conflicting (*do-resolve-step S*) = *None* \longleftrightarrow *conflicting S* = *None*
by (*cases S rule: do-resolve-step.cases*)
(auto simp add: Let-def split: option.splits)

lemma *conflicting-do-skip-step-iff[iff]*:
conflicting (*do-skip-step S*) = *None* \longleftrightarrow *conflicting S* = *None*
by (*cases S rule: do-skip-step.cases*)
(auto simp add: Let-def split: option.splits)

lemma *conflicting-do-decide-step-iff[iff]*:
conflicting (*do-decide-step S*) = *None* \longleftrightarrow *conflicting S* = *None*
by (*cases S rule: do-decide-step.cases*)
(auto simp add: Let-def split: option.splits)

lemma *conflicting-do-backtrack-step-imp[simp]*:
do-backtrack-step S \neq *S* \implies *conflicting* (*do-backtrack-step S*) = *None*
by (*cases S rule: do-backtrack-step.cases*)
(auto simp add: Let-def split: list.splits option.splits marked-lit.splits)

lemma *do-skip-step-eq-iff-trail-eq*:
do-skip-step S = *S* \longleftrightarrow *trail* (*do-skip-step S*) = *trail S*
by (*cases S rule: do-skip-step.cases*) *auto*

lemma *do-decide-step-eq-iff-trail-eq*:
do-decide-step S = *S* \longleftrightarrow *trail* (*do-decide-step S*) = *trail S*
by (*cases S rule: do-decide-step.cases*) (*auto split: option.split*)

lemma *do-backtrack-step-eq-iff-trail-eq*:
do-backtrack-step S = *S* \longleftrightarrow *raw-trail* (*do-backtrack-step S*) = *raw-trail S*
by (*cases S rule: do-backtrack-step.cases*)
(auto split: option.split list.splits marked-lit.splits
dest!: bt-cut-in-get-all-marked-decomposition)

lemma *do-resolve-step-eq-iff-trail-eq*:
do-resolve-step S = *S* \longleftrightarrow *trail* (*do-resolve-step S*) = *trail S*
by (*cases S rule: do-resolve-step.cases*) *auto*

lemma *do-other-step-eq-iff-trail-eq*:
do-other-step S = *S* \longleftrightarrow *raw-trail* (*do-other-step S*) = *raw-trail S*

apply

```
(auto simp add: Let-def do-skip-step-eq-iff-trail-eq
  do-decide-step-eq-iff-trail-eq do-backtrack-step-eq-iff-trail-eq
  do-resolve-step-eq-iff-trail-eq
)
```

```
apply (simp add: do-resolve-step-eq-iff-trail-eq[symmetric]
  do-skip-step-eq-iff-trail-eq[symmetric])
```

```
apply (simp add: do-skip-step-eq-iff-trail-eq[symmetric]
  do-decide-step-eq-iff-trail-eq do-backtrack-step-eq-iff-trail-eq[symmetric]
  do-resolve-step-eq-iff-trail-eq[symmetric]
)
```

done

lemma *do-full1-cp-step-do-other-step'-normal-form[dest!]*:

assumes *H*: *do-full1-cp-step* (*do-other-step'* *S*) = *S*

shows *do-other-step'* *S* = *S* \wedge *do-full1-cp-step* *S* = *S*

proof –

let *?T* = *do-other-step'* *S*

```
{ assume confl: conflicting (rough-state-of ?T)  $\neq$  None
  then have tr: trail (rough-state-of (do-full1-cp-step ?T)) = trail (rough-state-of ?T)
    using do-full1-cp-step-conflicting by fastforce
```

```
have raw-trail (rough-state-of (do-full1-cp-step (do-other-step' S))) =
  raw-trail (rough-state-of S)
```

```
  using arg-cong[OF H, of  $\lambda S.$  raw-trail (rough-state-of S)] .
```

```
then have raw-trail (rough-state-of (do-other-step' S)) = raw-trail (rough-state-of S)
  using confl by (auto simp add: do-full1-cp-step-conflicting)
```

```
then have do-other-step' S = S
```

```
  by (simp add: do-other-step-eq-iff-trail-eq[symmetric] do-other-step'-def
    del: do-other-step.simps)
```

```
}
```

moreover {

```
  assume eq[simp]: do-other-step' S = S
```

```
  obtain c where c: raw-trail (rough-state-of (do-full1-cp-step S)) =
    c @ raw-trail (rough-state-of S)
```

```
  using do-full1-cp-step-neq-trail-increase by auto
```

```
moreover have raw-trail (rough-state-of (do-full1-cp-step S)) = raw-trail (rough-state-of S)
  using arg-cong[OF H, of  $\lambda S.$  raw-trail (rough-state-of S)] by simp
```

```
finally have c = [] by blast
```

```
then have do-full1-cp-step S = S using assms by auto
```

```
}
```

moreover {

```
  assume confl: conflicting (rough-state-of ?T) = None and neq: do-other-step' S  $\neq$  S
```

```
  obtain c where
```

```
    c: raw-trail (rough-state-of (do-full1-cp-step ?T)) = c @ raw-trail (rough-state-of ?T) and
    nm:  $\forall m \in \text{set } c. \neg \text{is-marked } m$ 
```

```
  using do-full1-cp-step-neq-trail-increase by auto
```

```
have length (filter is-marked (raw-trail (rough-state-of (do-full1-cp-step ?T))))
  = length (filter is-marked (raw-trail (rough-state-of ?T))))
```

```
  using nm unfolding c by force
```

```
moreover have length (filter is-marked (raw-trail (rough-state-of S)))
   $\neq$  length (filter is-marked (raw-trail (rough-state-of ?T))))
```

```
  using do-other-step-not-conflicting-one-more-decide[OF - neq]
```

```
  do-other-step-not-conflicting-one-more-decide-bt[of S, OF - confl neq]
```

```

    by linarith
  finally have False unfolding H by blast
}
ultimately show ?thesis by blast
qed

```

lemma *do-cdcl_W-stgy-step-no*:

assumes *S*: *do-cdcl_W-stgy-step S = S*
 shows *no-step cdcl_W-stgy (rough-state-of S)*

proof –

```

{
  fix S'
  assume full1 cdclW-cp (rough-state-of S) S'
  then have False
    using do-full1-cp-step-full[of S] unfolding full-def S rtranclp-unfold full1-def
    by (smt assms do-cdclW-stgy-step-def tranclpD)
}
moreover {
  fix S' S''
  assume cdclW-o (rough-state-of S) S' and
    no-step propagate (rough-state-of S) and
    no-step conflict (rough-state-of S) and
    full cdclW-cp S' S''
  then have False
    using assms unfolding do-cdclW-stgy-step-def
    by (smt cdclW-all-struct-inv-rough-state do-full1-cp-step-do-other-step'-normal-form
      do-other-step-no rough-state-of-do-other-step')
}
ultimately show ?thesis using assms by (force simp: cdclW-cp.simps cdclW-stgy.simps)
qed

```

lemma *toS-rough-state-of-state-of-rough-state-from-init-state-of[simp]*:

rough-state-of (state-of (rough-state-from-init-state-of S))
 = *rough-state-from-init-state-of S*
 using *rough-state-from-init-state-of[of S]* by (auto simp add: *state-of-inverse*)

lemma *cdcl_W-cp-is-rtranclp-cdcl_W*: *cdcl_W-cp S T \implies cdcl_W^{**} S T*

apply (induction rule: *cdcl_W-cp.induct*)
 using *conflict apply blast*
 using *propagate by blast*

lemma *rtranclp-cdcl_W-cp-is-rtranclp-cdcl_W*: *cdcl_W-cp^{**} S T \implies cdcl_W^{**} S T*

apply (induction rule: *rtranclp-induct*)
 apply *simp*
 by (fastforce dest!: *cdcl_W-cp-is-rtranclp-cdcl_W*)

lemma *cdcl_W-stgy-is-rtranclp-cdcl_W*:

*cdcl_W-stgy S T \implies cdcl_W^{**} S T*
 apply (induction rule: *cdcl_W-stgy.induct*)
 using *cdcl_W-stgy.conflict' rtranclp-cdcl_W-stgy-rtranclp-cdcl_W* apply *blast*
 unfolding *full-def* by (fastforce dest!: *other rtranclp-cdcl_W-cp-is-rtranclp-cdcl_W*)

lemma *cdcl_W-stgy-init-clss*: *cdcl_W-stgy S T \implies cdcl_W-M-level-inv S \implies init-clss S = init-clss T*

using *rtranclp-cdcl_W-init-clss cdcl_W-stgy-is-rtranclp-cdcl_W* by *fast*

lemma *clauses-toS-rough-state-of-do-cdcl_W-stgy-step[simp]*:
 $\text{init-clss } (\text{rough-state-of } (\text{do-cdcl}_W\text{-stgy-step } (\text{state-of } (\text{rough-state-from-init-state-of } S))))$
 $= \text{init-clss } (\text{rough-state-from-init-state-of } S) \text{ (is - = init-clss ?S)}$
proof (*cases* $\text{do-cdcl}_W\text{-stgy-step } (\text{state-of } ?S) = \text{state-of } ?S$)
 case *True*
 then show *?thesis* **by** *simp*
next
 case *False*
 have $\bigwedge c. \text{cdcl}_W\text{-M-level-inv } (\text{rough-state-of } c)$
 using *cdcl_W-all-struct-inv-def cdcl_W-all-struct-inv-rough-state* **by** *blast*
 then have $\bigwedge c. \text{init-clss } (\text{rough-state-of } c) = \text{init-clss } (\text{rough-state-of } (\text{do-cdcl}_W\text{-stgy-step } c))$
 $\vee \text{do-cdcl}_W\text{-stgy-step } c = c$
 using *cdcl_W-stgy-no-more-init-clss do-cdcl_W-stgy-step* **by** *blast*
 then show *?thesis*
 using *False* **by** *force*
qed

lemma *raw-init-clss-do-cp-step[simp]*:
 $\text{raw-init-clss } (\text{do-cp-step } S) = \text{raw-init-clss } S$
by (*cases* *S*) (*auto simp: do-cp-step-def do-propagate-step-def do-conflict-step-def*
split: option.splits)
lemma *raw-init-clss-do-cp-step'[simp]*:
 $\text{raw-init-clss } (\text{rough-state-of } (\text{do-cp-step}' S)) = \text{raw-init-clss } (\text{rough-state-of } S)$
by (*simp add: do-cp-step'-def*)

lemma *raw-init-clss-rough-state-of-do-full1-cp-step[simp]*:
 $\text{raw-init-clss } (\text{rough-state-of } (\text{do-full1-cp-step } S))$
 $= \text{raw-init-clss } (\text{rough-state-of } S)$
apply (*rule do-full1-cp-step.induct[of λS.*
 $\text{raw-init-clss } (\text{rough-state-of } (\text{do-full1-cp-step } S))$
 $= \text{raw-init-clss } (\text{rough-state-of } S)]$)
by (*metis (mono-tags, lifting) do-full1-cp-step.simps raw-init-clss-do-cp-step'*)

lemma *raw-init-clss-do-skip-def[simp]*:
 $\text{raw-init-clss } (\text{do-skip-step } S) = \text{raw-init-clss } S$
by (*cases* *S* *rule: do-skip-step.cases*) (*auto simp: do-other-step'-def Let-def*
split: option.splits)

lemma *raw-init-clss-do-resolve-def[simp]*:
 $\text{raw-init-clss } (\text{do-resolve-step } S) = \text{raw-init-clss } S$
by (*cases* *S* *rule: do-resolve-step.cases*) (*auto simp: do-other-step'-def Let-def*
split: option.splits)

lemma *raw-init-clss-do-backtrack-def[simp]*:
 $\text{raw-init-clss } (\text{do-backtrack-step } S) = \text{raw-init-clss } S$
by (*cases* *S* *rule: do-backtrack-step.cases*) (*auto simp: do-other-step'-def Let-def*
split: option.splits list.splits marked-lit.splits)

lemma *raw-init-clss-do-decide-def[simp]*:
 $\text{raw-init-clss } (\text{do-decide-step } S) = \text{raw-init-clss } S$
by (*cases* *S* *rule: do-decide-step.cases*) (*auto simp: do-other-step'-def Let-def*
split: option.splits)

lemma *raw-init-clss-rough-state-of-do-other-step'[simp]*:


```

raw-init-clss (rough-state-of (do-other-step' S))
= raw-init-clss (rough-state-of S)
by (cases S) (auto simp: do-other-step'-def Let-def do-skip-step.cases
split: option.splits)

```

lemma [simp]:

```

raw-init-clss (rough-state-of (do-cdclW-stgy-step (state-of (rough-state-from-init-state-of S))))
=
raw-init-clss (rough-state-from-init-state-of S)
unfolding do-cdclW-stgy-step-def by (auto simp: Let-def)

```

lemma rough-state-from-init-state-of-do-cdcl_W-stgy-step'[code abstract]:

```

rough-state-from-init-state-of (do-cdclW-stgy-step' S) =
rough-state-of (do-cdclW-stgy-step (id-of-I-to S))

```

proof –

```

let ?S = (rough-state-from-init-state-of S)
have cdclW-stgy** (raw-S0-cdclW (raw-init-clss (rough-state-from-init-state-of S)))
  (rough-state-from-init-state-of S)
  using rough-state-from-init-state-of[of S] by auto
moreover have cdclW-stgy**
  (rough-state-from-init-state-of S)
  (rough-state-of (do-cdclW-stgy-step
    (state-of (rough-state-from-init-state-of S))))
  using do-cdclW-stgy-step[of state-of ?S]
  by (cases do-cdclW-stgy-step (state-of ?S) = state-of ?S) auto
ultimately show ?thesis
unfolding do-cdclW-stgy-step'-def id-of-I-to-def
by (auto intro: state-from-init-state-of-inverse)

```

qed

All rules together **function** do-all-cdcl_W-stgy **where**

```

do-all-cdclW-stgy S =
  (let T = do-cdclW-stgy-step' S in
  if T = S then S else do-all-cdclW-stgy T)

```

by fast+

termination

proof (relation {(T, S).

```

  (cdclW-measure (rough-state-from-init-state-of T),
  cdclW-measure (rough-state-from-init-state-of S))
  ∈ lern {(a, b). a < b} 3}, goal-cases)

```

case 1

```

show ?case by (rule wf-if-measure-f) (auto intro!: wf-lern wf-less)

```

next

```

case (2 S T) note T = this(1) and ST = this(2)
let ?S = rough-state-from-init-state-of S
have S: cdclW-stgy** (raw-S0-cdclW (raw-init-clss ?S)) ?S
  using rough-state-from-init-state-of[of S] by auto
moreover have cdclW-stgy (rough-state-from-init-state-of S)
  (rough-state-from-init-state-of T)

```

proof –

```

have ∧c. rough-state-of (state-of (rough-state-from-init-state-of c)) =
  rough-state-from-init-state-of c
  using rough-state-from-init-state-of by force
then have do-cdclW-stgy-step (state-of (rough-state-from-init-state-of S))

```

```

    ≠ state-of (rough-state-from-init-state-of S)
    using ST T rough-state-from-init-state-of-inverse
    unfolding id-of-I-to-def do-cdclW-stgy-step'-def
    by fastforce
  from do-cdclW-stgy-step[OF this] show ?thesis
    by (simp add: T id-of-I-to-def rough-state-from-init-state-of-do-cdclW-stgy-step')
qed
moreover
  have cdclW-all-struct-inv (rough-state-from-init-state-of S)
    using rough-state-from-init-state-of[of S] by auto
  then have cdclW-all-struct-inv (raw-S0-cdclW (raw-init-clss (rough-state-from-init-state-of S)))
    by (cases rough-state-from-init-state-of S)
      (auto simp add: cdclW-all-struct-inv-def distinct-cdclW-state-def)
  ultimately show ?case
    by (auto intro!: cdclW-stgy-step-decreasing[of - - raw-S0-cdclW (raw-init-clss ?S)]
      simp del: cdclW-measure.simps)
qed

thm do-all-cdclW-stgy.induct
lemma do-all-cdclW-stgy.induct:
  (⋀S. (do-cdclW-stgy-step' S ≠ S ⇒ P (do-cdclW-stgy-step' S)) ⇒ P S) ⇒ P a0
  using do-all-cdclW-stgy.induct by metis

lemma [simp]: raw-init-clss (rough-state-from-init-state-of (do-all-cdclW-stgy S)) =
  raw-init-clss (rough-state-from-init-state-of S)
  apply (induction rule: do-all-cdclW-stgy.induct)
  by (smt do-all-cdclW-stgy.simps do-cdclW-stgy-step-def id-of-I-to-def
    raw-init-clss-rough-state-of-do-full1-cp-step raw-init-clss-rough-state-of-do-other-step'
    rough-state-from-init-state-of-do-cdclW-stgy-step'
    toS-rough-state-of-state-of-rough-state-from-init-state-of)

lemma no-step-cdclW-stgy-cdclW-all:
  fixes S :: 'a cdclW-state-inv-from-init-state
  shows no-step cdclW-stgy (rough-state-from-init-state-of (do-all-cdclW-stgy S))
  apply (induction S rule: do-all-cdclW-stgy.induct)
  apply (rename-tac S, case-tac do-cdclW-stgy-step' S ≠ S)
proof -
  fix Sa :: 'a cdclW-state-inv-from-init-state
  assume a1: ¬ do-cdclW-stgy-step' Sa ≠ Sa
  { fix pp
    have (if True then Sa else do-all-cdclW-stgy Sa) = do-all-cdclW-stgy Sa
      using a1 by auto
    then have ¬ cdclW-stgy (rough-state-from-init-state-of (do-all-cdclW-stgy Sa)) pp
      using a1 by (smt do-cdclW-stgy-step-no id-of-I-to-def
        rough-state-from-init-state-of-do-cdclW-stgy-step' rough-state-of-inverse) }
  then show no-step cdclW-stgy (rough-state-from-init-state-of (do-all-cdclW-stgy Sa))
    by fastforce
next
  fix Sa :: 'a cdclW-state-inv-from-init-state
  assume a1: do-cdclW-stgy-step' Sa ≠ Sa
    ⇒ no-step cdclW-stgy (rough-state-from-init-state-of
      (do-all-cdclW-stgy (do-cdclW-stgy-step' Sa)))
  assume a2: do-cdclW-stgy-step' Sa ≠ Sa
  have do-all-cdclW-stgy Sa = do-all-cdclW-stgy (do-cdclW-stgy-step' Sa)
    by (metis (full-types) do-all-cdclW-stgy.simps)

```

```

then show no-step cdclW-stgy (rough-state-from-init-state-of (do-all-cdclW-stgy Sa))
using a2 a1 by presburger
qed

lemma do-all-cdclW-stgy-is-rtrancp-cdclW-stgy:
  cdclW-stgy** (rough-state-from-init-state-of S)
  (rough-state-from-init-state-of (do-all-cdclW-stgy S))
proof (induction S rule: do-all-cdclW-stgy-induct)
case (1 S) note IH = this(1)
show ?case
proof (cases do-cdclW-stgy-step' S = S)
case True
then show ?thesis by simp
next
case False
have f2: do-cdclW-stgy-step (id-of-I-to S) = id-of-I-to S  $\longrightarrow$ 
  rough-state-from-init-state-of (do-cdclW-stgy-step' S)
  = rough-state-of (state-of (rough-state-from-init-state-of S))
  unfolding rough-state-from-init-state-of-do-cdclW-stgy-step'
  id-of-I-to-def by presburger
have f3: do-all-cdclW-stgy S = do-all-cdclW-stgy (do-cdclW-stgy-step' S)
  by (metis (full-types) do-all-cdclW-stgy.simps)
have cdclW-stgy (rough-state-from-init-state-of S)
  (rough-state-from-init-state-of (do-cdclW-stgy-step' S))
  = cdclW-stgy (rough-state-of (id-of-I-to S))
  (rough-state-of (do-cdclW-stgy-step (id-of-I-to S)))
  unfolding id-of-I-to-def rough-state-from-init-state-of-do-cdclW-stgy-step'
  toS-rough-state-of-state-of-rough-state-from-init-state-of by presburger
then show ?thesis
using f3 f2 IH do-cdclW-stgy-step
by (smt False toS-rough-state-of-state-of-rough-state-from-init-state-of trancp.intros(1)
  trancp-into-rtrancp transitive-closurep-trans'(2))
qed
qed

```

Final theorem:

```

lemma consistent-interp-mmset-of-mlit[simp]:
  consistent-interp (lit-of ' mmset-of-mlit' ' set M')  $\longleftrightarrow$ 
  consistent-interp (lit-of ' set M')
by (auto simp: image-image)

```

lemma DPLL-tot-correct:

```

assumes
  r: rough-state-from-init-state-of (do-all-cdclW-stgy (state-from-init-state-of
    ([], map remdups N, [], 0, None)))) = S and
  S: (M', N', U', k, E) = S
shows (E  $\neq$  Some []  $\wedge$  satisfiable (set (map mset N)))
   $\vee$  (E = Some []  $\wedge$  unsatisfiable (set (map mset N)))
proof -
let ?N = map remdups N
have inv: cdclW-all-struct-inv ([], map remdups N, [], 0, None)
  unfolding cdclW-all-struct-inv-def distinct-cdclW-state-def distinct-mset-set-def by auto
then have S0: rough-state-of (state-of ([], map remdups N, [], 0, None))
  = ([], map remdups N, [], 0, None) by simp
have 1: full cdclW-stgy ([], ?N, [], 0, None) S

```

```

unfolding full-def apply rule
  using do-all-cdclW-stgy-is-rtrancpl-cdclW-stgy[of
    state-from-init-state-of ( $\square$ , map remdups N,  $\square$ , 0, None)] inv
  by (auto simp del: do-all-cdclW-stgy.simps simp: state-from-init-state-of-inverse
    r[symmetric] no-step-cdclW-stgy-cdclW-all) +
moreover have 2: finite (set (map mset ?N)) by auto
moreover have 3: distinct-mset-set (set (map mset ?N))
  unfolding distinct-mset-set-def by auto
moreover
  have cdclW-all-struct-inv S
    by (metis (no-types) cdclW-all-struct-inv-rough-state r
      toS-rough-state-of-state-of-rough-state-from-init-state-of)
  then have cons: consistent-interp (lits-of-l M')
    unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def S[symmetric]
    by (auto simp: lits-of-def)
moreover
  have [simp]:
    rough-state-from-init-state-of (state-from-init-state-of (raw-S0-cdclW (map remdups N)))
    = raw-S0-cdclW (map remdups N)
  apply (rule cdclW-state-inv-from-init-state.state-from-init-state-of-inverse)
  using 3 by (auto simp: cdclW-all-struct-inv-def distinct-cdclW-state-def
    image-image comp-def)
  have raw-init-clss ( $\square$ , ?N,  $\square$ , 0, None) = raw-init-clss S
    using arg-cong[OF r, of raw-init-clss] unfolding S[symmetric]
    by (simp del: do-all-cdclW-stgy.simps)
  then have N': N' = map remdups N
    using S[symmetric] by auto

have conflicting S = Some {#}  $\wedge$  unsatisfiable (set-mset (init-clss S))  $\vee$ 
  conflicting S = None  $\wedge$  (case S of (M, uu-)  $\Rightarrow$  map mmset-of-mlit' M)  $\models_{asm}$  init-clss S
apply (rule full-cdclW-stgy-final-state-conclusive)
  using 1 apply simp
  using 2 apply simp
  using 3 by simp
then have (E  $\neq$  Some  $\square$   $\wedge$  satisfiable (set (map mset ?N)))
   $\vee$  (E = Some  $\square$   $\wedge$  unsatisfiable (set (map mset ?N)))
  using cons unfolding S[symmetric] N' apply (auto simp: comp-def)
  by (simp add: true-annots-true-clss)
then show ?thesis by auto
qed

```

The Code The SML code is skipped in the documentation, but stays to ensure that some version of the exported code is working. The only difference between the generated code and the one used here is the export of the constructor `ConI`.

```

end
theory CDCL-W-Merge
imports CDCL-W-Termination
begin

```

21 Link between Weidenbach's and NOT's CDCL

21.1 Inclusion of the states

```

context conflict-driven-clause-learningW

```

```

begin
declare  $cdcl_W.intros[intro]$   $cdcl_W-bj.intros[intro]$   $cdcl_W-o.intros[intro]$ 

lemma backtrack-no-cdclW-bj:
  assumes  $cdcl$ :  $cdcl_W-bj$   $T$   $U$  and  $inv$ :  $cdcl_W-M-level-inv$   $V$ 
  shows  $\neg backtrack$   $V$   $T$ 
  using  $cdcl$   $inv$ 
  apply (induction rule:  $cdcl_W-bj.induct$ )
  apply (elim skipE, force elim!: backtrack-levE[ $OF$  -  $inv$ ] simp:  $cdcl_W-M-level-inv-def$ )
  apply (elim resolveE, force elim!: backtrack-levE[ $OF$  -  $inv$ ] simp:  $cdcl_W-M-level-inv-def$ )
  apply standard
  apply (elim backtrack-levE[ $OF$  -  $inv$ ], elim backtrackE)
  apply (force simp del: state-simp simp add: state-eq-def  $cdcl_W-M-level-inv-decomp$ )
done

inductive skip-or-resolve :: ' $st \Rightarrow 'st \Rightarrow bool$  where
  s-or-r-skip[ $intro$ ]: skip  $S$   $T \implies skip-or-resolve$   $S$   $T$  |
  s-or-r-resolve[ $intro$ ]: resolve  $S$   $T \implies skip-or-resolve$   $S$   $T$ 

lemma rtrancp-cdclW-bj-skip-or-resolve-backtrack:
  assumes  $cdcl_W-bj^{**}$   $S$   $U$  and  $inv$ :  $cdcl_W-M-level-inv$   $S$ 
  shows  $skip-or-resolve^{**}$   $S$   $U \vee (\exists T. skip-or-resolve^{**}$   $S$   $T \wedge backtrack$   $T$   $U)$ 
  using  $assms$ 
proof (induction)
  case base
  then show ?case by simp
next
  case (step  $U$   $V$ ) note  $st = this(1)$  and  $bj = this(2)$  and  $IH = this(3)[OF\ this(4)]$ 
  consider
    ( $SU$ )  $S = U$ 
  | ( $SUp$ )  $cdcl_W-bj^{++}$   $S$   $U$ 
  using  $st$  unfolding rtrancp-unfold by blast
  then show ?case
  proof cases
    case  $SUp$ 
    have  $\bigwedge T. skip-or-resolve^{**}$   $S$   $T \implies cdcl_W^{**}$   $S$   $T$ 
    using mono-rtrancp[of skip-or-resolve  $cdcl_W$ ]
    by (blast intro: skip-or-resolve.cases)
    then have  $skip-or-resolve^{**}$   $S$   $U$ 
    using  $bj$   $IH$   $inv$  backtrack-no-cdclW-bj rtrancp-cdclW-consistent-inv[ $OF$  -  $inv$ ] by meson
    then show ?thesis
    using  $bj$  by (auto simp:  $cdcl_W-bj.simps$  dest!: skip-or-resolve.intros)
  next
    case  $SU$ 
    then show ?thesis
    using  $bj$  by (auto simp:  $cdcl_W-bj.simps$  dest!: skip-or-resolve.intros)
  qed
qed

lemma rtrancp-skip-or-resolve-rtrancp-cdclW:
   $skip-or-resolve^{**}$   $S$   $T \implies cdcl_W^{**}$   $S$   $T$ 
  by (induction rule: rtrancp-induct)
  (auto dest!:  $cdcl_W-bj.intros$   $cdcl_W.intros$   $cdcl_W-o.intros$  simp: skip-or-resolve.simps)

```

definition *backjump-l-cond* :: 'v clause \Rightarrow 'v clause \Rightarrow 'v literal \Rightarrow 'st \Rightarrow 'st \Rightarrow bool **where**
backjump-l-cond $\equiv \lambda C\ C'\ L'\ S\ T.$ True

definition *inv_{NOT}* :: 'st \Rightarrow bool **where**
inv_{NOT} $\equiv \lambda S.$ no-dup (trail S)

declare *inv_{NOT}-def*[simp]
end

context *conflict-driven-clause-learning_W*
begin

21.2 More lemmas conflict-propagate and backjumping

21.2.1 Termination

lemma *cdcl_W-cp-normalized-element-all-inv*:
assumes *inv*: *cdcl_W-all-struct-inv* S
obtains T **where** full *cdcl_W-cp* S T
using *assms cdcl_W-cp-normalized-element* **unfolding** *cdcl_W-all-struct-inv-def* **by** blast
thm *backtrackE*

lemma *cdcl_W-bj-measure*:
assumes *cdcl_W-bj* S T **and** *cdcl_W-M-level-inv* S
shows length (trail S) + (if conflicting S = None then 0 else 1)
> length (trail T) + (if conflicting T = None then 0 else 1)
using *assms* **by** (induction rule: *cdcl_W-bj.induct*)
(force dest:arg-cong[of - - length])
intro: *get-all-marked-decomposition-exists-prepend*
elim!: *backtrack-levE skipE resolveE*
simp: *cdcl_W-M-level-inv-def*+

lemma *wf-cdcl_W-bj*:
wf {(b,a). *cdcl_W-bj* a b \wedge *cdcl_W-M-level-inv* a}
apply (rule *wf-if-measure*[of λ -. True
- $\lambda T.$ length (trail T) + (if conflicting T = None then 0 else 1), *simplified*])
using *cdcl_W-bj-measure* **by** simp

lemma *cdcl_W-bj-exists-normal-form*:
assumes *lev*: *cdcl_W-M-level-inv* S
shows $\exists T.$ full *cdcl_W-bj* S T
proof –
obtain T **where** T: full ($\lambda a\ b.$ *cdcl_W-bj* a b \wedge *cdcl_W-M-level-inv* a) S T
using *wf-exists-normal-form-full*[OF *wf-cdcl_W-bj*] **by** auto
then have *cdcl_W-bj*** S T
by (auto dest: *rtranclp-and-rtranclp-left simp: full-def*)
moreover
then have *cdcl_W*** S T
using *mono-rtranclp*[of *cdcl_W-bj cdcl_W*] **by** blast
then have *cdcl_W-M-level-inv* T
using *rtranclp-cdcl_W-consistent-inv* *lev* **by** auto
ultimately show ?thesis **using** T **unfolding** *full-def* **by** auto
qed

lemma *rtranclp-skip-state-decomp*:
assumes *skip*** S T **and** no-dup (trail S)
shows

$\exists M. \text{trail } S = M @ \text{trail } T \wedge (\forall m \in \text{set } M. \neg \text{is-marked } m)$
 $\text{init-clss } S = \text{init-clss } T$
 $\text{learned-clss } S = \text{learned-clss } T$
 $\text{backtrack-lvl } S = \text{backtrack-lvl } T$
 $\text{conflicting } S = \text{conflicting } T$
using *assms* **by** (*induction rule: rtrancpl-induct*)
(auto simp del: state-simp simp: state-eq-def elim!: skipE)

21.2.2 More backjumping

Backjumping after skipping or jump directly lemma *rtrancpl-skip-backtrack-backtrack:*

assumes
 $\text{skip}^{**} S T$ **and**
 $\text{backtrack } T W$ **and**
 $\text{cdcl}_W\text{-all-struct-inv } S$
shows $\text{backtrack } S W$
using *assms*
proof *induction*
case *base*
then show *?case* **by** *simp*
next
case (*step* $T V$) **note** $st = \text{this}(1)$ **and** $\text{skip} = \text{this}(2)$ **and** $IH = \text{this}(3)$ **and** $bt = \text{this}(4)$ **and**
 $\text{inv} = \text{this}(5)$
have $\text{skip}^{**} S V$
using st skip **by** *auto*
then have $\text{cdcl}_W\text{-all-struct-inv } V$
using *rtrancpl-mono*[*of skip cdcl_W*] *assms*(3) *rtrancpl-cdcl_W-all-struct-inv-inv mono-rtrancpl*
by (*auto dest!: bj other cdcl_W-bj.skip*)
then have $\text{cdcl}_W\text{-M-level-inv } V$
unfolding $\text{cdcl}_W\text{-all-struct-inv-def}$ **by** *auto*
then obtain $K i M1 M2 L D$ **where**
 $\text{conf: raw-conflicting } V = \text{Some } D$ **and**
 $LD: L \in \# \text{mset-ccls } D$ **and**
 $\text{decomp: } (\text{Marked } K (\text{Suc } i) \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } V))$ **and**
 $\text{lev-L: get-level } (\text{trail } V) L = \text{backtrack-lvl } V$ **and**
 $\text{max: get-level } (\text{trail } V) L = \text{get-maximum-level } (\text{trail } V) (\text{mset-ccls } D)$ **and**
 $\text{max-D: get-maximum-level } (\text{trail } V) (\text{remove1-mset } L (\text{mset-ccls } D)) \equiv i$ **and**
 $\text{undef: undefined-lit } M1 L$ **and**
 $W: W \sim \text{cons-trail } (\text{Propagated } L (\text{cls-of-ccls } D))$
 $(\text{reduce-trail-to } M1$
 $(\text{add-learned-cls } (\text{cls-of-ccls } D)$
 $(\text{update-backtrack-lvl } i$
 $(\text{update-conflicting } \text{None } V))))$
using bt inv **by** (*elim backtrack-levE*) *metis*+
obtain $L' C' M E$ **where**
 $\text{tr: trail } T = \text{Propagated } L' C' \# M$ **and**
 $\text{raw: raw-conflicting } T = \text{Some } E$ **and**
 $LE: -L' \notin \# \text{mset-ccls } E$ **and**
 $E: \text{mset-ccls } E \neq \{\#\}$ **and**
 $V: V \sim \text{tl-trail } T$
using skip **by** (*elim skipE*) *metis*
let $?M = \text{Propagated } L' C' \# \text{trail } V$
have $\text{tr-M: trail } T = ?M$
using $\text{tr } V$ **by** *auto*
have $MT: M = \text{tl } (\text{trail } T)$ **and** $MV: M = \text{trail } V$
using $\text{tr } V$ **by** *auto*

```

have DE[simp]: mset-ccls D = mset-ccls E
  using V conf raw by (auto simp add: state-eq-def simp del: state-simp)
have cdclW** S T using bj cdclW-bj.skip mono-rtrancp[of skip cdclW S T] other st by meson
then have inv': cdclW-all-struct-inv T
  using rtrancp-cdclW-all-struct-inv-inv inv by blast
have M-lev: cdclW-M-level-inv T using inv' unfolding cdclW-all-struct-inv-def by auto
then have n-d': no-dup ?M
  using tr-M unfolding cdclW-M-level-inv-def by auto
let ?k = backtrack-lvl T
have [simp]:
  backtrack-lvl V = ?k
  using V by simp
have ?k > 0
  using decomp M-lev V tr unfolding cdclW-M-level-inv-def by auto
then have atm-of L ∈ atm-of ' lits-of-l (trail V)
  using lev-L get-rev-level-ge-0-atm-of-in[of 0 rev (trail V) L] by auto
then have L-L': atm-of L ≠ atm-of L'
  using n-d' unfolding lits-of-def by auto
have L'-M: atm-of L' ∉ atm-of ' lits-of-l (trail V)
  using n-d' unfolding lits-of-def by auto
have ?M ⊨as CNot (mset-ccls D)
  using inv' raw unfolding cdclW-conflicting-def cdclW-all-struct-inv-def tr-M by auto
then have L' ∉ # mset-ccls (remove-clit L D)
  using L-L' L'-M ⟨Propagated L' C' # trail V ⊨as CNot (mset-ccls D)⟩
  unfolding true-annots-true-cls true-clss-def
  by (auto simp: uminus-lit-swap atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set dest!: in-diffD)
have [simp]: trail (reduce-trail-to M1 T) = M1
  using decomp undef tr W V by auto
have skip** S V
  using st skip by auto
have no-dup (trail S)
  using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
then have [simp]: init-clss S = init-clss V and [simp]: learned-clss S = learned-clss V
  using rtrancp-skip-state-decomp[OF ⟨skip** S V⟩] V
  by (auto simp del: state-simp simp: state-eq-def)
then have
  W-S: W ∼ cons-trail (Propagated L (cls-of-ccls E)) (reduce-trail-to M1
    (add-learned-cls (cls-of-ccls E) (update-backtrack-lvl i (update-conflicting None T))))
  using W V undef M-lev decomp tr
  by (auto simp del: state-simp simp: state-eq-def cdclW-M-level-inv-def)

obtain M2' where
  decomp': (Marked K (i+1) # M1, M2') ∈ set (get-all-marked-decomposition (trail T))
  using decomp V unfolding tr-M by (cases hd (get-all-marked-decomposition (trail V)),
    cases get-all-marked-decomposition (trail V)) auto
moreover
  from L-L' have get-level ?M L = ?k
    using lev-L V by (auto split: if-split-asm)
moreover
  have atm-of L' ∉ atms-of (mset-ccls D)
    by (metis DE LE L-L' ⟨L' ∉ # mset-ccls (remove-clit L D)⟩ in-remove1-mset-neq remove-clit
      atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set atms-of-def)
  then have get-level ?M L = get-maximum-level ?M (mset-ccls D)
    using calculation(2) lev-L max by auto
moreover

```



```

have atm-of  $L' \notin$  atms-of (mset-ccls (remove-clit  $L$   $D$ ))
  by (metis DE LE  $\langle L' \notin \#$  mset-ccls (remove-clit  $L$   $D$ ) $\rangle$ 
    atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set atms-of-def in-remove1-mset-neq remove-clit
    in-atms-of-remove1-mset-in-atms-of)
have  $i =$  get-maximum-level ? $M$  (mset-ccls (remove-clit  $L$   $D$ ))
  using max- $D$   $\langle$ atm-of  $L' \notin$  atms-of (mset-ccls (remove-clit  $L$   $D$ ) $\rangle$  by auto

ultimately have backtrack  $T$   $W$ 
apply –
apply (rule backtrack-rule[of  $T$  -  $L$   $K$   $i$   $M1$   $M2'$   $W$ ,  $OF$  raw])
unfolding tr- $M$ [symmetric]
  using  $LD$  apply simp
  apply simp
  apply simp
  apply simp
  apply auto[]
using  $W$ - $S$  by auto
then show ?thesis using  $IH$  inv by blast
qed

```

lemma fst-get-all-marked-decomposition-prepend-not-marked:

```

assumes  $\forall m \in \text{set } MS. \neg \text{is-marked } m$ 
shows set (map fst (get-all-marked-decomposition  $M$ ))
  = set (map fst (get-all-marked-decomposition ( $MS$  @  $M$ )))
using assms apply (induction  $MS$  rule: marked-lit-list-induct)
apply auto[2]
by (rename-tac  $L$   $m$   $xs$ ; case-tac get-all-marked-decomposition ( $xs$  @  $M$ )) simp-all

```

See also $\llbracket \text{skip}^{**} ?S ?T; \text{backtrack} ?T ?W; \text{cdcl}_W\text{-all-struct-inv} ?S \rrbracket \implies \text{backtrack} ?S ?W$

lemma rtrancpl-skip-backtrack-backtrack-end:

```

assumes
  skip: skip**  $S$   $T$  and
  bt: backtrack  $S$   $W$  and
  inv: cdcl $W$ -all-struct-inv  $S$ 
shows backtrack  $T$   $W$ 
using assms
proof –
have  $M$ -lev: cdcl $W$ - $M$ -level-inv  $S$ 
  using bt inv unfolding cdcl $W$ -all-struct-inv-def by (auto elim!: backtrack-levE)
then obtain  $K$   $i$   $M1$   $M2$   $L$   $D$  where
  raw- $S$ : raw-conflicting  $S = \text{Some } D$  and
   $LD$ :  $L \in \#$  mset-ccls  $D$  and
  decomp: (Marked  $K$  (Suc  $i$ ) #  $M1$ ,  $M2$ )  $\in$  set (get-all-marked-decomposition (trail  $S$ )) and
  lev-l: get-level (trail  $S$ )  $L =$  backtrack-lvl  $S$  and
  lev-l- $D$ : get-level (trail  $S$ )  $L =$  get-maximum-level (trail  $S$ ) (mset-ccls  $D$ ) and
   $i$ : get-maximum-level (trail  $S$ ) (remove1-mset  $L$  (mset-ccls  $D$ ))  $\equiv i$  and
  undef: undefined-lit  $M1$   $L$  and
   $W$ :  $W \sim$  cons-trail (Propagated  $L$  (cls-of-ccls  $D$ ))
    (reduce-trail-to  $M1$ 
      (add-learned-cls (cls-of-ccls  $D$ )
        (update-backtrack-lvl  $i$ 
          (update-conflicting None  $S$ ))))

using bt by (elim backtrack-levE)
(simp-all add: cdcl $W$ - $M$ -level-inv-decomp state-eq-def del: state-simp)

```

```

let ?D = remove1-mset L (mset-ccls D)

have [simp]: no-dup (trail S)
  using M-lev by (auto simp: cdclW-M-level-inv-decomp)
have cdclW-all-struct-inv T
  using mono-rtrancpl[of skip cdclW] by (smt bj cdclW-bj.skip inv local.skip other
    rtrancpl-cdclW-all-struct-inv-inv)
then have [simp]: no-dup (trail T)
  unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto

obtain MS MT where M: trail S = MS @ MT and MT: MT = trail T and nm: ∀ m ∈ set MS.
  ¬is-marked m
  using rtrancpl-skip-state-decomp(1)[OF skip] raw-S M-lev by auto
have T: state T = (MT, init-clss S, learned-clss S, backtrack-lvl S, Some (mset-ccls D))
  using MT rtrancpl-skip-state-decomp[of S T] skip raw-S
  by (auto simp del: state-simp simp: state-eq-def)

have cdclW-all-struct-inv T
  apply (rule rtrancpl-cdclW-all-struct-inv-inv[OF - inv])
  using bj cdclW-bj.skip local.skip other rtrancpl-mono[of skip cdclW] by blast
then have MT ⊨as CNot (mset-ccls D)
  unfolding cdclW-all-struct-inv-def cdclW-conflicting-def using T by blast
then have ∀ L ∈ #mset-ccls D. atm-of L ∈ atm-of ' lits-of-l MT
  by (meson atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set
    true-annots-true-clss-def-iff-negation-in-model)
moreover have no-dup (trail S)
  using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
ultimately have ∀ L ∈ #mset-ccls D. atm-of L ∉ atm-of ' lits-of-l MS
  unfolding M unfolding lits-of-def by auto
then have H: ∧ L. L ∈ #mset-ccls D ⇒ get-level (trail S) L = get-level MT L
  unfolding M by (fastforce simp: lits-of-def)
have [simp]: get-maximum-level (trail S) (mset-ccls D) = get-maximum-level MT (mset-ccls D)
  using ⟨MT ⊨as CNot (mset-ccls D)⟩ M nm by (metis true-annots-CNot-all-atms-defined
    get-maximum-level-skip-un-marked-not-present)

have lev-l': get-level MT L = backtrack-lvl S
  using lev-l LD by (auto simp: H)
have [simp]: trail (reduce-trail-to M1 T) = M1
  using T decomp M nm by (smt MT append-assoc beginning-not-marked-invert
    get-all-marked-decomposition-exists-prepend reduce-trail-to-trail-tl-trail-decomp)
have W: W ∼ cons-trail (Propagated L (cls-of-ccls D)) (reduce-trail-to M1
  (add-learned-clss (cls-of-ccls D) (update-backtrack-lvl i (update-conflicting None T))))
  using W T i decomp undef by (auto simp del: state-simp simp: state-eq-def)
have lev-l-D': get-level MT L = get-maximum-level MT (mset-ccls D)
  using lev-l-D LD by (auto simp: H)
have [simp]: get-maximum-level (trail S) ?D = get-maximum-level MT ?D
  by (smt H get-maximum-level-exists-lit get-maximum-level-ge-get-level in-diffD le-antisym
    not-gr0 not-less)
then have i': i = get-maximum-level MT ?D
  using i by auto
have Marked K (i + 1) # M1 ∈ set (map fst (get-all-marked-decomposition (trail S)))
  using Set.imageI[OF decomp, of fst] by auto
then have Marked K (i + 1) # M1 ∈ set (map fst (get-all-marked-decomposition MT))
  using fst-get-all-marked-decomposition-prepend-not-marked[OF nm] unfolding M by auto
then obtain M2' where decomp': (Marked K (i+1) # M1, M2') ∈ set (get-all-marked-decomposition

```

```

M_T)
  by auto
then show backtrack T W
  using T decomp' lev-l' lev-l-D' i' W LD undef
  by (force intro!: backtrack.intros simp del: state-simp simp: state-eq-def)
qed

lemma cdcl_W-bj-decomp-resolve-skip-and-bj:
  assumes cdcl_W-bj** S T and inv: cdcl_W-M-level-inv S
  shows (skip-or-resolve** S T
    ∨ (∃ U. skip-or-resolve** S U ∧ backtrack U T))
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step T U) note st = this(1) and bj = this(2) and IH = this(3)
  have IH: skip-or-resolve** S T
  proof -
    { assume (∃ U. skip-or-resolve** S U ∧ backtrack U T)
      then obtain V where
        bt: backtrack V T and
        skip-or-resolve** S V
      by blast
      have cdcl_W** S V
      using ⟨skip-or-resolve** S V⟩ rtranclp-skip-or-resolve-rtranclp-cdcl_W by blast
      then have cdcl_W-M-level-inv V and cdcl_W-M-level-inv S
      using rtranclp-cdcl_W-consistent-inv inv by blast+
      with bj bt have False using backtrack-no-cdcl_W-bj by simp
    }
    then show ?thesis using IH inv by blast
  qed
show ?case
  using bj
proof (cases rule: cdcl_W-bj.cases)
  case backtrack
  then show ?thesis using IH by blast
qed (metis (no-types, lifting) IH rtranclp.simps skip-or-resolve.simps)+
qed

```

```

lemma resolve-skip-deterministic:
  resolve S T ⟹ skip S U ⟹ False
  by (auto elim!: skipE resolveE dest: hd-raw-trail)

```

```

lemma backtrack-unique:
  assumes
    bt-T: backtrack S T and
    bt-U: backtrack S U and
    inv: cdcl_W-all-struct-inv S
  shows T ~ U
proof -
  have lev: cdcl_W-M-level-inv S
  using inv unfolding cdcl_W-all-struct-inv-def by auto
  then obtain K i M1 M2 L D where
    raw-S: raw-conflicting S = Some D and

```

LD: $L \in \# \text{ mset-ccls } D$ **and**
decomp: $(\text{Marked } K \text{ (Suc } i) \# M1, M2) \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ **and**
lev-l: $\text{get-level } (\text{trail } S) L = \text{backtrack-lvl } S$ **and**
lev-l-D: $\text{get-level } (\text{trail } S) L = \text{get-maximum-level } (\text{trail } S) (\text{mset-ccls } D)$ **and**
i: $\text{get-maximum-level } (\text{trail } S) (\text{remove1-mset } L (\text{mset-ccls } D)) \equiv i$ **and**
undef: $\text{undefined-lit } M1 L$ **and**
T: $T \sim \text{cons-trail } (\text{Propagated } L (\text{cls-of-ccls } D))$
 $(\text{reduce-trail-to } M1$
 $(\text{add-learned-cls } (\text{cls-of-ccls } D)$
 $(\text{update-backtrack-lvl } i$
 $(\text{update-conflicting } \text{None } S))))$
using *bt-T* **by** $(\text{elim backtrack-levE}) (\text{force simp: cdcl}_W\text{-M-level-inv-def})+$

obtain $K' i' M1' M2' L' D'$ **where**
raw-S': $\text{raw-conflicting } S = \text{Some } D'$ **and**
LD': $L' \in \# \text{ mset-ccls } D'$ **and**
decomp': $(\text{Marked } K' (\text{Suc } i') \# M1', M2') \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ **and**
lev-l: $\text{get-level } (\text{trail } S) L' = \text{backtrack-lvl } S$ **and**
lev-l-D: $\text{get-level } (\text{trail } S) L' = \text{get-maximum-level } (\text{trail } S) (\text{mset-ccls } D')$ **and**
i': $\text{get-maximum-level } (\text{trail } S) (\text{remove1-mset } L' (\text{mset-ccls } D')) \equiv i'$ **and**
undef': $\text{undefined-lit } M1' L'$ **and**
U: $U \sim \text{cons-trail } (\text{Propagated } L' (\text{cls-of-ccls } D'))$
 $(\text{reduce-trail-to } M1'$
 $(\text{add-learned-cls } (\text{cls-of-ccls } D')$
 $(\text{update-backtrack-lvl } i'$
 $(\text{update-conflicting } \text{None } S))))$
using *bt-U lev* **by** $(\text{elim backtrack-levE}) (\text{force simp: cdcl}_W\text{-M-level-inv-def})+$

obtain c **where** $M: \text{trail } S = c @ M2 @ \text{Marked } K (i + 1) \# M1$
using *decomp* **by** *auto*
obtain c' **where** $M': \text{trail } S = c' @ M2' @ \text{Marked } K' (i' + 1) \# M1'$
using *decomp'* **by** *auto*
have *marked*: $\text{get-all-levels-of-marked } (\text{trail } S) = \text{rev } [1..<1+\text{backtrack-lvl } S]$
using *inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*
then have $i < \text{backtrack-lvl } S$
unfolding *M* **by** $(\text{force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set]})$

have [*simp*]: $L' = L$
proof (*rule ccontr*)
assume $\neg ?thesis$
then have $L' \in \# \text{ remove1-mset } L (\text{mset-ccls } D)$
using *raw-S raw-S' LD LD'* **by** $(\text{simp add: in-remove1-mset-neq})$
then have $\text{get-maximum-level } (\text{trail } S) (\text{remove1-mset } L (\text{mset-ccls } D)) \geq \text{backtrack-lvl } S$
using $\langle \text{get-level } (\text{trail } S) L' = \text{backtrack-lvl } S \rangle \text{ get-maximum-level-ge-get-level}$
by *metis*
then show *False* **using** $i' i \langle i < \text{backtrack-lvl } S \rangle$ **by** *auto*
qed

then have [*simp*]: $\text{mset-ccls } D' = \text{mset-ccls } D$
using *raw-S raw-S'* **by** *auto*
have [*simp*]: $i' = i$
using $i i'$ **by** *auto*

Automation in a step later...

have $H: \bigwedge a A B. \text{insert } a A = B \implies a : B$
by *blast*

have *get-all-levels-of-marked* ($c @ M2$) = *rev* [$i+2..<1+backtrack-lvl\ S$] **and**
get-all-levels-of-marked ($c' @ M2'$) = *rev* [$i+2..<1+backtrack-lvl\ S$]
using *marked unfolding* M
using *marked unfolding* M'
unfolding *rev-swap[symmetric]* **by** (*auto dest: append-cons-eq-upt-length-i-end*)
from *arg-cong[OF this(1), of set]* *arg-cong[OF this(2), of set]*
have
dropWhile ($\lambda L. \neg is-marked\ L \vee level-of\ L \neq Suc\ i$) ($c @ M2$) = [] **and**
dropWhile ($\lambda L. \neg is-marked\ L \vee level-of\ L \neq Suc\ i$) ($c' @ M2'$) = []
unfolding *dropWhile-eq-Nil-conv Ball-def*
by (*intro allI; rename-tac x; case-tac x; auto dest!: H simp add: in-set-conv-decomp*) +

then have [*simp*]: $M1' = M1$
using *arg-cong[OF M, of dropWhile* ($\lambda L. \neg is-marked\ L \vee level-of\ L \neq Suc\ i$)]
unfolding M' **by** *auto*
show ?thesis **using** $T\ U\ undef\ inv\ decomp$ **by** (*auto simp del: state-simp simp: state-eq-def*
cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-decomp)
qed

lemma *if-can-apply-backtrack-no-more-resolve:*
assumes
*skip: skip** S U and*
bt: backtrack S T and
inv: cdcl_W-all-struct-inv S
shows $\neg resolve\ U\ V$
proof (*rule ccontr*)
assume *resolve: $\neg \neg resolve\ U\ V$*

obtain $L\ E\ D$ **where**
U: trail U \neq [] and
tr-U: hd-raw-trail U = Propagated L E and
LE: $L \in \# mset-cls\ E$ and
raw-U: raw-conflicting U = Some D and
LD: $-L \in \# mset-ccls\ D$ and
get-maximum-level (trail U) (mset-ccls (remove-clit (-L) D)) = backtrack-lvl U and
V: $V \sim update-conflicting (Some (union-ccls (remove-clit (-L) D)$
(ccls-of-cls (remove-lit L E))))
(tl-trail U)
using *resolve* **by** (*auto elim!: resolveE*)
have *cdcl_W-all-struct-inv U*
using *mono-rtrancp[of skip cdcl_W]* **by** (*meson bj cdcl_W-bj.skip inv local.skip other*
rtrancp-cdcl_W-all-struct-inv-inv)
then have [*iff*]: *no-dup (trail S) cdcl_W-M-level-inv S* **and** [*iff*]: *no-dup (trail U)*
using *inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *blast+*
then have
S: init-clss U = init-clss S
learned-clss U = learned-clss S
backtrack-lvl U = backtrack-lvl S
conflicting S = Some (mset-ccls D)
using *rtrancp-skip-state-decomp[OF skip] U raw-U*
by (*auto simp del: state-simp simp: state-eq-def*)
obtain M_0 **where**
tr-S: trail S = $M_0 @ trail\ U$ and
nm: $\forall m \in set\ M_0. \neg is-marked\ m$
using *rtrancp-skip-state-decomp[OF skip]* **by** *blast*

obtain $K' i' M1' M2' L' D'$ **where**
raw-S': *raw-conflicting* $S = \text{Some } D'$ **and**
LD': $L' \in \# \text{ mset-ccls } D'$ **and**
decomp': $(\text{Marked } K' (\text{Suc } i') \# M1', M2') \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ **and**
lev-l: *get-level* $(\text{trail } S) L' = \text{backtrack-lvl } S$ **and**
lev-l-D: *get-level* $(\text{trail } S) L' = \text{get-maximum-level } (\text{trail } S) (\text{mset-ccls } D')$ **and**
i': *get-maximum-level* $(\text{trail } S) (\text{remove1-mset } L' (\text{mset-ccls } D')) \equiv i'$ **and**
undef': *undefined-lit* $M1' L'$ **and**
R: $T \sim \text{cons-trail } (\text{Propagated } L' (\text{cls-of-ccls } D'))$
 $(\text{reduce-trail-to } M1'$
 $(\text{add-learned-cls } (\text{cls-of-ccls } D'))$
 $(\text{update-backtrack-lvl } i'$
 $(\text{update-conflicting } \text{None } S)))$
using *bt* **by** $(\text{elim backtrack-levE}) (\text{fastforce simp: } S \text{ state-eq-def simp del:state-simp}) +$
obtain c **where** $M: \text{trail } S = c @ M2' @ \text{Marked } K' (i' + 1) \# M1'$
using *get-all-marked-decomposition-exists-prepend*[*OF decomp'*] **by** *auto*
have *marked*: *get-all-levels-of-marked* $(\text{trail } S) = \text{rev } [1..<1+\text{backtrack-lvl } S]$
using *inv unfolding* *cdcl_W-all-struct-inv-def* *cdcl_W-M-level-inv-def* **by** *auto*
then have $i' < \text{backtrack-lvl } S$
unfolding M **by** $(\text{force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set]})$

have $U: \text{trail } U = \text{Propagated } L (\text{mset-cls } E) \# \text{trail } V$
using *tr-S hd-raw-trail*[*OF U*] $U S V \text{tr-}U$ **by** $(\text{auto simp: lits-of-def})$
have $DD'[simp]: \text{mset-ccls } D' = \text{mset-ccls } D$
using *raw-U raw-S' S* **by** *auto*
have $[simp]: L' = -L$
proof *(rule ccontr)*
assume $\neg ?thesis$
then have $-L \in \# \text{ remove1-mset } L' (\text{mset-ccls } D')$
using $DD' LD' LD$ **by** $(\text{simp add: in-remove1-mset-neq})$
moreover
have $M': \text{trail } S = M_0 @ \text{Propagated } L (\text{mset-cls } E) \# \text{trail } V$
using *tr-S unfolding* U **by** *auto*
have *no-dup* $(\text{trail } S)$
using *inv U unfolding* *cdcl_W-all-struct-inv-def* *cdcl_W-M-level-inv-def* **by** *auto*
then have *atm-L-notin-M*: *atm-of* $L \notin \text{atm-of } ' (\text{lits-of-l } (\text{trail } V))$
using $M' U S$ **by** $(\text{auto simp: lits-of-def})$
have *get-all-levels-of-marked* $(\text{trail } S) = \text{rev } [1..<1+\text{backtrack-lvl } S]$
using *inv U unfolding* *cdcl_W-all-struct-inv-def* *cdcl_W-M-level-inv-def* **by** *auto*
then have *get-all-levels-of-marked* $(\text{trail } U) = \text{rev } [1..<1+\text{backtrack-lvl } S]$
using *nm M' U* **by** $(\text{simp add: get-all-levels-of-marked-no-marked})$
then have *get-lev-L*:
 $\text{get-level}(\text{Propagated } L (\text{mset-cls } E) \# \text{trail } V) L = \text{backtrack-lvl } S$
using *get-level-get-rev-level-get-all-levels-of-marked*[*OF atm-L-notin-M*,
of $[\text{Propagated } L (\text{mset-cls } E)]] U$ **by** *auto*
have *atm-of* $L \notin \text{atm-of } ' (\text{lits-of-l } (\text{rev } M_0))$
using $\langle \text{no-dup } (\text{trail } S) \rangle M'$ **by** $(\text{auto simp: lits-of-def})$
then have *get-level* $(\text{trail } S) L = \text{backtrack-lvl } S$
by $(\text{metis } M' \text{get-lev-L get-rev-level-notin-end rev-append})$
ultimately
have *get-maximum-level* $(\text{trail } S) (\text{remove1-mset } L' (\text{mset-ccls } D')) \geq \text{backtrack-lvl } S$
by $(\text{metis get-maximum-level-ge-get-level get-rev-level-uminus})$
then show *False*
using $\langle i' < \text{backtrack-lvl } S \rangle i'$ **by** *auto*

```

qed
have cdclW** S U
  using bj cdclW-bj.skip local.skip mono-rtrancpl[of skip cdclW S U] other by meson
then have cdclW-all-struct-inv U
  using inv rtrancpl-cdclW-all-struct-inv-inv by blast
then have Propagated L (mset-cls E) # trail V  $\models$ as CNot (mset-ccls D')
  using cdclW-all-struct-inv-def cdclW-conflicting-def raw-U U by auto
then have  $\forall L' \in \#$  (remove1-mset L' (mset-ccls D')) . atm-of L'  $\in$  atm-of ' lits-of-l (Propagated L
(mset-cls E) # trail U)
  using U atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-CNot-implies-uminus(2)
  by (fastforce dest: in-diffD)
then have get-maximum-level (trail S) (remove1-mset L' (mset-ccls D')) = backtrack-lvl S
  using get-maximum-level-skip-un-marked-not-present[of remove1-mset L' (mset-ccls D')
    trail U M0] tr-S nm U
  ⟨get-maximum-level (trail U) (mset-ccls (remove-clit (- L) D)) = backtrack-lvl U⟩
  by (auto simp: S)
then show False
  using i' ⟨i' < backtrack-lvl S⟩ by auto
qed

```

lemma *if-can-apply-resolve-no-more-backtrack:*

```

assumes
  skip: skip** S U and
  resolve: resolve S T and
  inv: cdclW-all-struct-inv S
shows  $\neg$ backtrack U V
using assms
by (meson if-can-apply-backtrack-no-more-resolve rtrancpl.rtrancpl-refl
  rtrancpl-skip-backtrack-backtrack)

```

lemma *if-can-apply-backtrack-skip-or-resolve-is-skip:*

```

assumes
  bt: backtrack S T and
  skip: skip-or-resolve** S U and
  inv: cdclW-all-struct-inv S
shows skip** S U
using assms(2,3,1)
by induction (simp-all add: if-can-apply-backtrack-no-more-resolve skip-or-resolve.simps)

```

lemma *cdcl_W-bj-bj-decomp:*

```

assumes cdclW-bj** S W and cdclW-all-struct-inv S
shows
  (∃ T U V. (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S T
    ∧ (λT U. resolve T U ∧ no-step backtrack T) T U
    ∧ skip** U V ∧ backtrack V W)
  ∨ (∃ T U. (λS T. skip-or-resolve S T ∧ no-step backtrack S)** S T
    ∧ (λT U. resolve T U ∧ no-step backtrack T) T U ∧ skip** U W)
  ∨ (∃ T. skip** S T ∧ backtrack T W)
  ∨ skip** S W (is ?RB S W ∨ ?R S W ∨ ?SB S W ∨ ?S S W)

```

using assms

proof *induction*

case *base*

then show ?case **by** *simp*

next

case (step W X) **note** st = this(1) **and** bj = this(2) **and** IH = this(3)[OF this(4)] **and** inv = this(4)

```

have  $\neg ?RB\ S\ W$  and  $\neg ?SB\ S\ W$ 
proof (clarify, goal-cases)
  case (1  $T\ U\ V$ )
  have skip-or-resolve**  $S\ T$ 
    using 1(1) by (auto dest!: rtrancpl-and-rtrancpl-left)
  then show False
    by (metis (no-types, lifting) 1(2) 1(4) 1(5) backtrack-no-cdclW-bj
      cdclW-all-struct-inv-def cdclW-all-struct-inv-inv cdclW-o.bj local.bj other
      resolve rtrancpl-cdclW-all-struct-inv-inv rtrancpl-skip-backtrack-backtrack
      rtrancpl-skip-or-resolve-rtrancpl-cdclW step.prem)
  next
  case 2
  then show ?case by (meson assms(2) cdclW-all-struct-inv-def backtrack-no-cdclW-bj
    local.bj rtrancpl-skip-backtrack-backtrack)
qed
then have IH:  $?R\ S\ W \vee ?S\ S\ W$  using IH by blast

have cdclW**  $S\ W$  using mono-rtrancpl[of cdclW-bj cdclW] st by blast
then have inv-W: cdclW-all-struct-inv  $W$  by (simp add: rtrancpl-cdclW-all-struct-inv-inv
  step.prem)
consider
  (BT)  $X'$  where backtrack  $W\ X'$ 
| (skip) no-step backtrack  $W$  and skip  $W\ X$ 
| (resolve) no-step backtrack  $W$  and resolve  $W\ X$ 
using bj cdclW-bj.cases by meson
then show ?case
proof cases
  case (BT  $X'$ )
  then consider
    (bt) backtrack  $W\ X$ 
  | (sk) skip  $W\ X$ 
  using bj if-can-apply-backtrack-no-more-resolve[of  $W\ W\ X'\ X$ ] inv-W cdclW-bj.cases by fast
  then show ?thesis
  proof cases
    case bt
    then show ?thesis using IH by auto
  next
    case sk
    then show ?thesis using IH by (meson rtrancpl-trans r-into-rtrancpl)
  qed
next
case skip
then show ?thesis using IH by (meson rtrancpl.rtrancpl-into-rtrancpl)
next
case resolve note no-bt = this(1) and res = this(2)
consider
  (RS)  $T\ U$  where
    ( $\lambda S\ T.$  skip-or-resolve  $S\ T \wedge$  no-step backtrack  $S$ )**  $S\ T$  and
    resolve  $T\ U$  and
    no-step backtrack  $T$  and
    skip**  $U\ W$ 
  | (S) skip**  $S\ W$ 
  using IH by auto
then show ?thesis

```



```

proof cases
  case ( $RS\ T\ U$ )
  have  $cdcl_W^{**}\ S\ T$ 
    using  $RS(1)\ cdcl_W\text{-bj.resolve}\ cdcl_W\text{-o.bj}\ other\ skip$ 
     $mono\text{-rtrancpl}[of\ (\lambda S\ T.\ skip\text{-or}\text{-resolve}\ S\ T\ \wedge\ no\text{-step}\ backtrack\ S)\ cdcl_W\ S\ T]$ 
    by ( $meson\ skip\text{-or}\text{-resolve.cases}$ )
  then have  $cdcl_W\text{-all-struct-inv}\ U$ 
    by ( $meson\ RS(2)\ cdcl_W\text{-all-struct-inv-inv}\ cdcl_W\text{-bj.resolve}\ cdcl_W\text{-o.bj}\ other$ 
       $rtrancpl\text{-}cdcl_W\text{-all-struct-inv-inv}\ step.premis$ )
  { fix  $U'$ 
    assume  $skip^{**}\ U\ U'$  and  $skip^{**}\ U'\ W$ 
    have  $cdcl_W\text{-all-struct-inv}\ U'$ 
      using  $\langle cdcl_W\text{-all-struct-inv}\ U \rangle \langle skip^{**}\ U\ U' \rangle rtrancpl\text{-}cdcl_W\text{-all-struct-inv-inv}$ 
       $cdcl_W\text{-o.bj}\ rtrancpl\text{-}mono[of\ skip\ cdcl_W]\ other\ skip$  by blast
    then have  $no\text{-step}\ backtrack\ U'$ 
      using  $if\text{-can}\text{-apply}\text{-backtrack}\text{-no}\text{-more}\text{-resolve}[OF\ \langle skip^{**}\ U'\ W \rangle]\ res$  by blast
  }
  with  $\langle skip^{**}\ U\ W \rangle$ 
  have  $(\lambda S\ T.\ skip\text{-or}\text{-resolve}\ S\ T\ \wedge\ no\text{-step}\ backtrack\ S)^{**}\ U\ W$ 
  proof induction
    case base
    then show  $?case$  by simp
  next
  case ( $step\ V\ W$ ) note  $st = this(1)$  and  $skip = this(2)$  and  $IH = this(3)$  and  $H = this(4)$ 
  have  $\bigwedge U'. skip^{**}\ U'\ V \implies skip^{**}\ U'\ W$ 
    using  $skip$  by auto
  then have  $(\lambda S\ T.\ skip\text{-or}\text{-resolve}\ S\ T\ \wedge\ no\text{-step}\ backtrack\ S)^{**}\ U\ V$ 
    using  $IH\ H$  by blast
  moreover have  $(\lambda S\ T.\ skip\text{-or}\text{-resolve}\ S\ T\ \wedge\ no\text{-step}\ backtrack\ S)^{**}\ V\ W$ 
    by ( $simp\ add:\ local.skip\ r\text{-into}\text{-rtrancpl}\ st\ step.premis\ skip\text{-or}\text{-resolve.intros}$ )
  ultimately show  $?case$  by simp
  qed
then show  $?thesis$ 
  proof –
  have  $f1: \forall p\ pa\ pb\ pc.\ \neg p\ (pa)\ pb \vee \neg p^{**}\ pb\ pc \vee p^{**}\ pa\ pc$ 
    by ( $meson\ converse\text{-}rtrancpl\text{-into}\text{-}rtrancpl$ )
  have  $skip\text{-or}\text{-resolve}\ T\ U\ \wedge\ no\text{-step}\ backtrack\ T$ 
    using  $RS(2)\ RS(3)$  by force
  then have  $(\lambda p\ pa.\ skip\text{-or}\text{-resolve}\ p\ pa\ \wedge\ no\text{-step}\ backtrack\ p)^{**}\ T\ W$ 
  proof –
  have  $(\exists vr19\ vr16\ vr17\ vr18.\ vr19\ (vr16::'st)\ vr17\ \wedge\ vr19^{**}\ vr17\ vr18$ 
     $\wedge\ \neg vr19^{**}\ vr16\ vr18)$ 
     $\vee\ \neg (skip\text{-or}\text{-resolve}\ T\ U\ \wedge\ no\text{-step}\ backtrack\ T)$ 
     $\vee\ \neg (\lambda uu\ uua.\ skip\text{-or}\text{-resolve}\ uu\ uua\ \wedge\ no\text{-step}\ backtrack\ uu)^{**}\ U\ W$ 
     $\vee\ (\lambda uu\ uua.\ skip\text{-or}\text{-resolve}\ uu\ uua\ \wedge\ no\text{-step}\ backtrack\ uu)^{**}\ T\ W$ 
    by force
  then show  $?thesis$ 
    by ( $metis\ (no\text{-types})\ \langle (\lambda S\ T.\ skip\text{-or}\text{-resolve}\ S\ T\ \wedge\ no\text{-step}\ backtrack\ S)^{**}\ U\ W \rangle$ 
       $\langle skip\text{-or}\text{-resolve}\ T\ U\ \wedge\ no\text{-step}\ backtrack\ T \rangle f1$ )
  qed
  then have  $(\lambda p\ pa.\ skip\text{-or}\text{-resolve}\ p\ pa\ \wedge\ no\text{-step}\ backtrack\ p)^{**}\ S\ W$ 
    using  $RS(1)$  by force
  then show  $?thesis$ 
    using  $no\text{-bt}\ res$  by blast

```

```

    qed
  next
  case  $S$ 
  { fix  $U'$ 
    assume  $skip^{**} S U'$  and  $skip^{**} U' W$ 
    then have  $cdcl_W^{**} S U'$ 
      using  $mono-rtrancp[of skip cdcl_W S U']$  by (simp add:  $cdcl_W-o.bj$  other skip)
    then have  $cdcl_W-all-struct-inv U'$ 
      by (metis (no-types, hide-lams)  $\langle cdcl_W-all-struct-inv S \rangle$ 
           $rtrancp-cdcl_W-all-struct-inv-inv$ )
    then have  $no-step backtrack U'$ 
      using  $if-can-apply-backtrack-no-more-resolve[OF \langle skip^{**} U' W \rangle]$  res by blast
  }
  with  $S$ 
  have  $(\lambda S T. skip-or-resolve S T \wedge no-step backtrack S)^{**} S W$ 
  proof induction
    case base
    then show ?case by simp
  next
    case (step  $V W$ ) note  $st = this(1)$  and  $skip = this(2)$  and  $IH = this(3)$  and  $H = this(4)$ 
    have  $\bigwedge U'. skip^{**} U' V \implies skip^{**} U' W$ 
      using  $skip$  by auto
    then have  $(\lambda S T. skip-or-resolve S T \wedge no-step backtrack S)^{**} S V$ 
      using  $IH H$  by blast
    moreover have  $(\lambda S T. skip-or-resolve S T \wedge no-step backtrack S)^{**} V W$ 
      by (simp add: local.skip r-into-rtrancp st step.prem skip-or-resolve.intros)
    ultimately show ?case by simp
  qed
  then show ?thesis using res no-bt by blast
qed
qed
qed

```

The case distinction is needed, since $T \sim V$ does not imply that $R^{**} T V$.

lemma $cdcl_W-bj-strongly-confluent$:

```

  assumes
     $cdcl_W-bj^{**} S V$  and
     $cdcl_W-bj^{**} S T$  and
     $n-s: no-step cdcl_W-bj V$  and
     $inv: cdcl_W-all-struct-inv S$ 
  shows  $T \sim V \vee cdcl_W-bj^{**} T V$ 
  using  $assms(2)$ 
proof induction
  case base
  then show ?case by (simp add:  $assms(1)$ )
next
  case (step  $T U$ ) note  $st = this(1)$  and  $s-o-r = this(2)$  and  $IH = this(3)$ 
  have  $cdcl_W^{**} S T$ 
    using  $st mono-rtrancp[of cdcl_W-bj cdcl_W]$  other by blast
  then have  $lev-T: cdcl_W-M-level-inv T$ 
    using  $inv rtrancp-cdcl_W-consistent-inv[of S T]$ 
    unfolding  $cdcl_W-all-struct-inv-def$  by auto
  consider

```

```

  (TV)  $T \sim V$ 
| (bj-TV)  $cdcl_W\text{-bj}^{**} T V$ 
using IH by blast
then show ?case
proof cases
  case TV
  have no-step cdclW-bj T
    using  $\langle cdcl_W\text{-M-level-inv } T \rangle$  n-s cdclW-bj-state-eq-compatible[of T - V] TV
    by (meson backtrack-state-eq-compatible cdclW-bj.simps resolve-state-eq-compatible
      skip-state-eq-compatible state-eq-ref)
  then show ?thesis
    using s-o-r by auto
next
  case bj-TV
  then obtain U' where
    T-U': cdclW-bj T U' and
    cdclW-bj** U' V
    using IH n-s s-o-r by (metis rtranclp-unfold tranclpD)
  have  $cdcl_W^{**} S T$ 
    by (metis (no-types, hide-lams) bj mono-rtranclp[of cdclW-bj cdclW] other st)
  then have inv-T: cdclW-all-struct-inv T
    by (metis (no-types, hide-lams) inv rtranclp-cdclW-all-struct-inv-inv)

have lev-U: cdclW-M-level-inv U
  using s-o-r cdclW-consistent-inv lev-T other by blast
show ?thesis
  using s-o-r
  proof cases
    case backtrack
    then obtain V0 where skip** T V0 and backtrack V0 V
      using IH if-can-apply-backtrack-skip-or-resolve-is-skip[OF backtrack - inv-T]
      cdclW-bj-decomp-resolve-skip-and-bj
      by (meson bj-TV cdclW-bj.backtrack inv-T lev-T n-s
        rtranclp-skip-backtrack-backtrack-end)
    then have  $cdcl_W\text{-bj}^{**} T V0$  and  $cdcl_W\text{-bj } V0 V$ 
      using rtranclp-mono[of skip cdclW-bj] by blast+
    then show ?thesis
      using  $\langle backtrack V0 V \rangle$   $\langle skip^{**} T V0 \rangle$  backtrack-unique inv-T local.backtrack
      rtranclp-skip-backtrack-backtrack by auto
    next
    case resolve
    then have  $U \sim U'$ 
      by (meson T-U' cdclW-bj.simps if-can-apply-backtrack-no-more-resolve inv-T
        resolve-skip-deterministic resolve-unique rtranclp.rtrancl-refl)
    then show ?thesis
      using  $\langle cdcl_W\text{-bj}^{**} U' V \rangle$  unfolding rtranclp-unfold
      by (meson T-U' bj cdclW-consistent-inv lev-T other state-eq-ref state-eq-sym
        tranclp-cdclW-bj-state-eq-compatible)
    next
    case skip
    consider
      (sk) skip T U'
    | (bt) backtrack T U'
      using T-U' by (meson cdclW-bj.cases local.skip resolve-skip-deterministic)
    then show ?thesis

```

```

proof cases
  case sk
  then show ?thesis
    using  $\langle \text{cdcl}_W\text{-bj}^{**} \ U' \ V \rangle$  unfolding rtrancpl-unfold
    by (meson  $T\text{-}U'$  bj cdclW-all-inv(3) cdclW-all-struct-inv-def inv-T local.skip other
      trancpl-cdclW-bj-state-eq-compatible skip-unique state-eq-ref)
  next
  case bt
  have skip++ T U
    using local.skip by blast
  have cdclW-bj U U'
    by (meson  $\langle \text{skip}^{++} \ T \ U \rangle$  backtrack bt inv-T rtrancpl-skip-backtrack-backtrack-end
      trancpl-into-rtrancpl)
  then have cdclW-bj++ U V
    using  $\langle \text{cdcl}_W\text{-bj}^{**} \ U' \ V \rangle$  by auto
  then show ?thesis
    by (meson trancpl-into-rtrancpl)
  qed
qed
qed
qed

```

lemma *cdcl_W-bj-unique-normal-form*:

assumes
ST: *cdcl_W-bj*^{**} *S* *T* **and** *SU*: *cdcl_W-bj*^{**} *S* *U* **and**
n-s-U: *no-step* *cdcl_W-bj* *U* **and**
n-s-T: *no-step* *cdcl_W-bj* *T* **and**
inv: *cdcl_W-all-struct-inv* *S*
shows $T \sim U$

proof –

have $T \sim U \vee \text{cdcl}_W\text{-bj}^{**} \ T \ U$
using *ST* *SU* *cdcl_W-bj-strongly-confluent* *inv* *n-s-U* **by** *blast*
then show ?thesis
by (*metis* (*no-types*) *n-s-T* *rtrancpl-unfold* *state-eq-ref* *trancpl-unfold-begin*)

qed

lemma *full-cdcl_W-bj-unique-normal-form*:

assumes *full* *cdcl_W-bj* *S* *T* **and** *full* *cdcl_W-bj* *S* *U* **and**
inv: *cdcl_W-all-struct-inv* *S*
shows $T \sim U$
using *cdcl_W-bj-unique-normal-form* *assms* **unfolding** *full-def* **by** *blast*

21.3 CDCL FW

inductive *cdcl_W-merge-restart* :: $'st \Rightarrow 'st \Rightarrow \text{bool}$ **where**

fw-r-propagate: *propagate* *S* *S'* \Longrightarrow *cdcl_W-merge-restart* *S* *S'* |

fw-r-conflict: *conflict* *S* *T* \Longrightarrow *full* *cdcl_W-bj* *T* *U* \Longrightarrow *cdcl_W-merge-restart* *S* *U* |

fw-r-decide: *decide* *S* *S'* \Longrightarrow *cdcl_W-merge-restart* *S* *S'* |

fw-r-rf: *cdcl_W-rf* *S* *S'* \Longrightarrow *cdcl_W-merge-restart* *S* *S'*

lemma *rtrancpl-cdcl_W-bj-rtrancpl-cdcl_W*:

cdcl_W-bj^{**} *S* *T* \Longrightarrow *cdcl_W*^{**} *S* *T*
using *mono-rtrancpl*[*of* *cdcl_W-bj* *cdcl_W*] **by** *blast*

lemma *cdcl_W-merge-restart-cdcl_W*:

```

assumes cdclW-merge-restart S T
shows cdclW** S T
using assms
proof induction
  case (fw-r-conflict S T U) note confl = this(1) and bj = this(2)
  have cdclW S T using confl by (simp add: cdclW.intros r-into-rtrancpl)
  moreover
    have cdclW-bj** T U using bj unfolding full-def by auto
    then have cdclW** T U using rtrancpl-cdclW-bj-rtrancpl-cdclW by blast
    ultimately show ?case by auto
qed (simp-all add: cdclW-o.intros cdclW.intros r-into-rtrancpl)

lemma cdclW-merge-restart-conflicting-true-or-no-step:
  assumes cdclW-merge-restart S T
  shows conflicting T = None  $\vee$  no-step cdclW T
  using assms
proof induction
  case (fw-r-conflict S T U) note confl = this(1) and n-s = this(2)
  { fix D V
    assume cdclW U V and conflicting U = Some D
    then have False
      using n-s unfolding full-def
      by (induction rule: cdclW-all-rules-induct)
      (auto dest!: cdclW-bj.intros elim: decideE propagateE conflictE forgetE restartE)
    }
  then show ?case by (cases conflicting U) fastforce+
qed (auto simp add: cdclW-rf.simps elim: propagateE decideE restartE forgetE)

inductive cdclW-merge :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  fw-propagate: propagate S S'  $\Longrightarrow$  cdclW-merge S S' |
  fw-conflict: conflict S T  $\Longrightarrow$  full cdclW-bj T U  $\Longrightarrow$  cdclW-merge S U |
  fw-decide: decide S S'  $\Longrightarrow$  cdclW-merge S S' |
  fw-forget: forget S S'  $\Longrightarrow$  cdclW-merge S S'

lemma cdclW-merge-cdclW-merge-restart:
  cdclW-merge S T  $\Longrightarrow$  cdclW-merge-restart S T
  by (meson cdclW-merge.cases cdclW-merge-restart.simps forget)

lemma rtrancpl-cdclW-merge-trancpl-cdclW-merge-restart:
  cdclW-merge** S T  $\Longrightarrow$  cdclW-merge-restart** S T
  using rtrancpl-mono[of cdclW-merge cdclW-merge-restart] cdclW-merge-cdclW-merge-restart by blast

lemma cdclW-merge-rtrancpl-cdclW:
  cdclW-merge S T  $\Longrightarrow$  cdclW** S T
  using cdclW-merge-cdclW-merge-restart cdclW-merge-restart-cdclW by blast

lemma rtrancpl-cdclW-merge-rtrancpl-cdclW:
  cdclW-merge** S T  $\Longrightarrow$  cdclW** S T
  using rtrancpl-mono[of cdclW-merge cdclW**] cdclW-merge-rtrancpl-cdclW by auto

lemmas rulesE =
  skipE resolveE backtrackE propagateE conflictE decideE restartE forgetE

lemma cdclW-all-struct-inv-trancpl-cdclW-merge-trancpl-cdclW-merge-cdclW-all-struct-inv:
  assumes

```

```

    inv: cdclW-all-struct-inv b
    cdclW-merge++ b a
  shows (λS T. cdclW-all-struct-inv S ∧ cdclW-merge S T)++ b a
  using assms(2)
proof induction
  case base
  then show ?case using inv by auto
next
  case (step c d) note st = this(1) and fw = this(2) and IH = this(3)
  have cdclW-all-struct-inv c
    using tranclp-into-rtranclp[OF st] cdclW-merge-rtranclp-cdclW
    assms(1) rtranclp-cdclW-all-struct-inv-inv rtranclp-mono[of cdclW-merge cdclW**] by fastforce
  then have (λS T. cdclW-all-struct-inv S ∧ cdclW-merge S T)++ c d
    using fw by auto
  then show ?case using IH by auto
qed

lemma backtrack-is-full1-cdclW-bj:
  assumes bt: backtrack S T and inv: cdclW-M-level-inv S
  shows full1 cdclW-bj S T
  using bt inv backtrack-no-cdclW-bj unfolding full1-def by blast

lemma rtrancl-cdclW-conflicting-true-cdclW-merge-restart:
  assumes cdclW** S V and inv: cdclW-M-level-inv S and conflicting S = None
  shows (cdclW-merge-restart** S V ∧ conflicting V = None)
    ∨ (∃ T U. cdclW-merge-restart** S T ∧ conflicting V ≠ None ∧ conflict T U ∧ cdclW-bj** U V)
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step U V) note st = this(1) and cdclW = this(2) and IH = this(3)[OF this(4-)] and
    confl[simp] = this(5) and inv = this(4)
  from cdclW
  show ?case
  proof (cases)
    case propagate
    moreover then have conflicting U = None and conflicting V = None
      by (auto elim: propagateE)
    ultimately show ?thesis using IH cdclW-merge-restart.fw-r-propagate[of U V] by auto
  next
    case conflict
    moreover then have conflicting U = None and conflicting V ≠ None
      by (auto elim!: conflictE simp del: state-simp simp: state-eq-def)
    ultimately show ?thesis using IH by auto
  next
    case other
    then show ?thesis
    proof cases
      case decide
      then show ?thesis using IH cdclW-merge-restart.fw-r-decide[of U V] by (auto elim: decideE)
    next
      case bj
      moreover {
        assume skip-or-resolve U V

```

```

have f1: cdclW-bj++ U V
  by (simp add: local.bj tranclp.r-into-trancl)
obtain T T' :: 'st where
  f2: cdclW-merge-restart** S U
    ∨ cdclW-merge-restart** S T ∧ conflicting U ≠ None
    ∧ conflict T T' ∧ cdclW-bj** T' U
  using IH confl by blast
have conflicting V ≠ None ∧ conflicting U ≠ None
  using ⟨skip-or-resolve U V⟩
  by (auto simp: skip-or-resolve.simps state-eq-def elim!: skipE resolveE
      simp del: state-simp)
then have ?thesis
  by (metis (full-types) IH f1 rtranclp-trans tranclp-into-rtranclp)
}
moreover {
  assume backtrack U V
  then have conflicting U ≠ None by (auto elim: backtrackE)
  then obtain T T' where
    cdclW-merge-restart** S T and
    conflicting U ≠ None and
    conflict T T' and
    cdclW-bj** T' U
  using IH confl by meson
  have invU: cdclW-M-level-inv U
    using inv rtranclp-cdclW-consistent-inv step.hyps(1) by blast
  then have conflicting V = None
    using ⟨backtrack U V⟩ inv by (auto elim: backtrack-levE
        simp: cdclW-M-level-inv-decomp)
  have full cdclW-bj T' V
    apply (rule rtranclp-fullI[of cdclW-bj T' U V])
    using ⟨cdclW-bj** T' U⟩ apply fast
    using ⟨backtrack U V⟩ backtrack-is-full1-cdclW-bj invU unfolding full1-def full-def
    by blast
  then have ?thesis
    using cdclW-merge-restart.fw-r-conflict[of T T' V] ⟨conflict T T'⟩
    ⟨cdclW-merge-restart** S T⟩ ⟨conflicting V = None⟩ by auto
}
ultimately show ?thesis by (auto simp: cdclW-bj.simps)
qed
next
case rf
moreover then have conflicting U = None and conflicting V = None
  by (auto simp: cdclW-rf.simps elim: restartE forgetE)
ultimately show ?thesis using IH cdclW-merge-restart.fw-r-rf[of U V] by auto
qed
qed

lemma no-step-cdclW-no-step-cdclW-merge-restart: no-step cdclW S ⇒ no-step cdclW-merge-restart S
  by (auto simp: cdclW.simps cdclW-merge-restart.simps cdclW-o.simps cdclW-bj.simps)

lemma no-step-cdclW-merge-restart-no-step-cdclW:
  assumes
    conflicting S = None and
    cdclW-M-level-inv S and

```

```

    no-step cdclW-merge-restart S
  shows no-step cdclW S
proof -
  { fix S'
    assume conflict S S'
    then have cdclW S S' using cdclW.conflict by auto
    then have cdclW-M-level-inv S'
      using assms(2) cdclW-consistent-inv by blast
    then obtain S'' where full cdclW-bj S' S''
      using cdclW-bj-exists-normal-form[of S'] by auto
    then have False
      using ⟨conflict S S'⟩ assms(3) fw-r-conflict by blast
  }
  then show ?thesis
    using assms unfolding cdclW.simps cdclW-merge-restart.simps cdclW-o.simps cdclW-bj.simps
    by (auto elim: skipE resolveE backtrackE conflictE decideE restartE)
qed

```

```

lemma cdclW-merge-restart-no-step-cdclW-bj:
  assumes
    cdclW-merge-restart S T
  shows no-step cdclW-bj T
  using assms
  by (induction rule: cdclW-merge-restart.induct)
    (force simp: cdclW-bj.simps cdclW-rf.simps cdclW-merge-restart.simps full-def
      elim!: rulesE)+

```

```

lemma rtrancpl-cdclW-merge-restart-no-step-cdclW-bj:
  assumes
    cdclW-merge-restart** S T and
    conflicting S = None
  shows no-step cdclW-bj T
  using assms unfolding rtrancpl-unfold
  apply (elim disjE)
  apply (force simp: cdclW-bj.simps cdclW-rf.simps elim!: rulesE)
  by (auto simp: trancpl-unfold-end simp: cdclW-merge-restart-no-step-cdclW-bj)

```

If *conflicting S* \neq *None*, we cannot say anything.

Remark that this theorem does not say anything about well-foundedness: even if you know that one relation is well-founded, it only states that the normal forms are shared.

```

lemma conflicting-true-full-cdclW-iff-full-cdclW-merge:
  assumes confl: conflicting S = None and lev: cdclW-M-level-inv S
  shows full cdclW S V  $\longleftrightarrow$  full cdclW-merge-restart S V
proof
  assume full: full cdclW-merge-restart S V
  then have st: cdclW** S V
    using rtrancpl-mono[of cdclW-merge-restart cdclW**] cdclW-merge-restart-cdclW
    unfolding full-def by auto

```

```

  have n-s: no-step cdclW-merge-restart V
    using full unfolding full-def by auto
  have n-s-bj: no-step cdclW-bj V
    using rtrancpl-cdclW-merge-restart-no-step-cdclW-bj confl full unfolding full-def by auto
  have  $\bigwedge S'. \text{conflict } V S' \implies \text{cdcl}_W\text{-M-level-inv } S'$ 
    using cdclW.conflict cdclW-consistent-inv lev rtrancpl-cdclW-consistent-inv st by blast

```


then have $\bigwedge S'. \text{conflict } V S' \implies \text{False}$
 using *n-s n-s-bj cdcl_W-bj-exists-normal-form cdcl_W-merge-restart.simps* by *meson*
 then have *n-s-cdcl_W: no-step cdcl_W V*
 using *n-s n-s-bj* by (*auto simp: cdcl_W.simps cdcl_W-o.simps cdcl_W-merge-restart.simps*)
 then show *full cdcl_W S V* using *st unfolding full-def* by *auto*
 next
 assume *full: full cdcl_W S V*
 have *no-step cdcl_W-merge-restart V*
 using *full no-step-cdcl_W-no-step-cdcl_W-merge-restart* unfolding *full-def* by *blast*
 moreover
 consider
 (fw) *cdcl_W-merge-restart** S V* and *conflicting V = None*
 | (bj) *T U* where
 *cdcl_W-merge-restart** S T* and
 conflicting V ≠ None and
 conflict T U and
 *cdcl_W-bj** U V*
 using *full rtrancl-cdcl_W-conflicting-true-cdcl_W-merge-restart confl lev* unfolding *full-def*
 by *meson*
 then have *cdcl_W-merge-restart** S V*
 proof cases
 case fw
 then show *?thesis* by *fast*
 next
 case (bj *T U*)
 have *no-step cdcl_W-bj V*
 using *full unfolding full-def* by (*meson cdcl_W-o.bj other*)
 then have *full cdcl_W-bj U V*
 using $\langle \text{cdcl}_{\text{W}}\text{-bj}^{**} U V \rangle$ unfolding *full-def* by *auto*
 then have *cdcl_W-merge-restart T V*
 using $\langle \text{conflict } T U \rangle$ *cdcl_W-merge-restart.fw-r-conflict* by *blast*
 then show *?thesis* using $\langle \text{cdcl}_{\text{W}}\text{-merge-restart}^{**} S T \rangle$ by *auto*
 qed
 ultimately show *full cdcl_W-merge-restart S V* unfolding *full-def* by *fast*
 qed

lemma *init-state-true-full-cdcl_W-iff-full-cdcl_W-merge:*
 shows *full cdcl_W (init-state N) V* \longleftrightarrow *full cdcl_W-merge-restart (init-state N) V*
 by (*rule conflicting-true-full-cdcl_W-iff-full-cdcl_W-merge*) *auto*

21.4 FW with strategy

21.4.1 The intermediate step

inductive *cdcl_W-s' :: 'st \Rightarrow 'st \Rightarrow bool* where
conflict': full1 cdcl_W-cp S S' \implies cdcl_W-s' S S' |
decide': decide S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S'' \implies cdcl_W-s' S S'' |
bj': full1 cdcl_W-bj S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S'' \implies cdcl_W-s' S S''

inductive-cases *cdcl_W-s'E: cdcl_W-s' S T*

lemma *rtranclp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy:*
*cdcl_W-bj** S S' \implies full cdcl_W-cp S' S'' \implies cdcl_W-stgy** S S''*
 proof (*induction rule: converse-rtranclp-induct*)
 case *base*
 then show *?case* by (*metis cdcl_W-stgy.conflict' full-unfold rtranclp.simps*)

```

next
case (step T U) note st = this(2) and bj = this(1) and IH = this(3)[OF this(4)]
have no-step cdclW-cp T
  using bj by (auto simp add: cdclW-bj.simps cdclW-cp.simps elim!: rulesE)
consider
  (U) U = S'
  | (U') U' where cdclW-bj U U' and cdclW-bj** U' S'
  using st by (metis converse-rtranclpE)
then show ?case
proof cases
case U
  then show ?thesis
  using (no-step cdclW-cp T) cdclW-o.bj local.bj other' step.prem by (meson r-into-rtranclp)
next
case U' note U' = this(1)
have no-step cdclW-cp U
  using U' by (fastforce simp: cdclW-cp.simps cdclW-bj.simps elim: rulesE)
then have full cdclW-cp U U
  by (simp add: full-unfold)
then have cdclW-stgy T U
  using (no-step cdclW-cp T) cdclW-stgy.simps local.bj cdclW-o.bj by meson
then show ?thesis using IH by auto
qed
qed

```

```

lemma cdclW-s'-is-rtranclp-cdclW-stgy:
  cdclW-s' S T  $\implies$  cdclW-stgy** S T
  apply (induction rule: cdclW-s'.induct)
  apply (auto intro: cdclW-stgy.intros)[]
  apply (meson decide other' r-into-rtranclp)
  by (metis full1-def rtranclp-cdclW-bj-full1-cdclp-cdclW-stgy tranclp-into-rtranclp)

```

```

lemma cdclW-cp-cdclW-bj-bissimulation:
  assumes
    full cdclW-cp T U and
    cdclW-bj** T T' and
    cdclW-all-struct-inv T and
    no-step cdclW-bj T'
  shows full cdclW-cp T' U
     $\vee$  ( $\exists U' U''$ . full cdclW-cp T' U''  $\wedge$  full1 cdclW-bj U U'  $\wedge$  full cdclW-cp U' U''
       $\wedge$  cdclW-s** U U'')
  using assms(2,1,3,4)
proof (induction rule: rtranclp-induct)
case base
  then show ?case by blast
next
case (step T' T'') note st = this(1) and bj = this(2) and IH = this(3)[OF this(4,5)] and
  full = this(4) and inv = this(5)
have cdclW-bj** T T''
  using local.bj st by auto
then have cdclW** T T''
  using rtranclp-cdclW-bj-rtranclp-cdclW by blast
then have inv-T'': cdclW-all-struct-inv T''
  using inv rtranclp-cdclW-all-struct-inv-inv by blast
have cdclW-bj++ T T''

```

```

    using local.bj st by auto
have full1 cdclW-bj T T''
  by (metis ⟨cdclW-bj++ T T'⟩ full1-def step.premis(3))
then have T = U
proof -
  obtain Z where cdclW-bj T Z
    using ⟨cdclW-bj++ T T'⟩ by (blast dest: tranclpD)
  { assume cdclW-cp++ T U
    then obtain Z' where cdclW-cp T Z'
      by (meson tranclpD)
    then have False
      using ⟨cdclW-bj T Z⟩ by (fastforce simp: cdclW-bj.simps cdclW-cp.simps
        elim: rulesE)
  }
  then show ?thesis
    using full unfolding full-def rtranclp-unfold by blast
qed
obtain U'' where full cdclW-cp T'' U''
  using cdclW-cp-normalized-element-all-inv inv-T'' by blast
moreover then have cdclW-stgy** U U''
  by (metis ⟨T = U⟩ ⟨cdclW-bj++ T T'⟩ rtranclp-cdclW-bj-full1-cdclp-cdclW-stgy rtranclp-unfold)
moreover have cdclW-s** U U''
proof -
  obtain ss :: 'st ⇒ 'st where
    f1: ∀ x2. (∃ v3. cdclW-cp x2 v3) = cdclW-cp x2 (ss x2)
    by moura
  have ¬ cdclW-cp U (ss U)
    by (meson full full-def)
  then show ?thesis
    using f1 by (metis (no-types) ⟨T = U⟩ ⟨full1 cdclW-bj T T'⟩ bj' calculation(1)
      r-into-rtranclp)
qed
ultimately show ?case
  using ⟨full1 cdclW-bj T T'⟩ ⟨full cdclW-cp T'' U''⟩ unfolding ⟨T = U⟩ by blast
qed

```

lemma *cdcl_W-cp-cdcl_W-bj-bissimulation'*:

```

assumes
  full cdclW-cp T U and
  cdclW-bj** T T' and
  cdclW-all-struct-inv T and
  no-step cdclW-bj T'
shows full cdclW-cp T' U
  ∨ (∃ U'. full1 cdclW-bj U U' ∧ (∀ U''. full cdclW-cp U' U'' ⟶ full cdclW-cp T' U''
    ∧ cdclW-s** U U'))
using assms(2,1,3,4)
proof (induction rule: rtranclp-induct)
  case base
  then show ?case by blast
next
  case (step T' T'') note st = this(1) and bj = this(2) and IH = this(3)[OF this(4,5)] and
    full = this(4) and inv = this(5)
  have cdclW** T T''
    by (metis local.bj rtranclp.simps rtranclp-cdclW-bj-rtranclp-cdclW st)
  then have inv-T'': cdclW-all-struct-inv T''

```

```

    using inv rtrancp-cdclW-all-struct-inv-inv by blast
have cdclW-bj++ T T''
    using local.bj st by auto
have full1 cdclW-bj T T''
    by (metis ⟨cdclW-bj++ T T'⟩ full1-def step.prem(3))
then have T = U
proof -
    obtain Z where cdclW-bj T Z
    using ⟨cdclW-bj++ T T'⟩ by (blast dest: trancpD)
    { assume cdclW-cp++ T U
    then obtain Z' where cdclW-cp T Z'
    by (meson trancpD)
    then have False
    using ⟨cdclW-bj T Z⟩ by (fastforce simp: cdclW-bj.simps cdclW-cp.simps elim: rulesE)
    }
    then show ?thesis
    using full unfolding full-def rtrancp-unfold by blast
qed
{ fix U''
assume full cdclW-cp T'' U''
moreover then have cdclW-stgy** U U''
    by (metis ⟨T = U⟩ ⟨cdclW-bj++ T T'⟩ rtrancp-cdclW-bj-full1-cdclp-cdclW-stgy rtrancp-unfold)
moreover have cdclW-s*** U U''
proof -
    obtain ss :: 'st ⇒ 'st where
        f1: ∀ x2. (∃ v3. cdclW-cp x2 v3) = cdclW-cp x2 (ss x2)
    by moura
    have ¬ cdclW-cp U (ss U)
    by (meson assms(1) full-def)
    then show ?thesis
    using f1 by (metis (no-types) ⟨T = U⟩ ⟨full1 cdclW-bj T T'⟩ bj' calculation(1)
        r-into-rtrancp)
qed
ultimately have full1 cdclW-bj U T'' and cdclW-s*** T'' U''
    using ⟨full1 cdclW-bj T T'⟩ ⟨full cdclW-cp T'' U''⟩ unfolding ⟨T = U⟩
    apply blast
    by (metis ⟨full cdclW-cp T'' U''⟩ cdclW-s'.simps full-unfold rtrancp.simps)
}
then show ?case
    using ⟨full1 cdclW-bj T T'⟩ full bj' unfolding ⟨T = U⟩ full-def by (metis r-into-rtrancp)
qed

```

lemma *cdcl_W-stgy-cdcl_W-s'-connected:*

```

    assumes cdclW-stgy S U and cdclW-all-struct-inv S
    shows cdclW-s' S U
    ∨ (∃ U'. full1 cdclW-bj U U' ∧ (∀ U''. full cdclW-cp U' U'' ⟶ cdclW-s' S U''))
    using assms
proof (induction rule: cdclW-stgy.induct)
case (conflict' T)
then have cdclW-s' S T
    using cdclW-s'.conflict' by blast
then show ?case
    by blast

```

next

```

case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)

```

```

show ?case
  using o
  proof cases
    case decide
    then show ?thesis using cdclW-s'.simps full n-s by blast
  next
  case bj
  have inv-T: cdclW-all-struct-inv T
    using cdclW-all-struct-inv-inv o other other'.prems by blast
  consider
    (cp) full cdclW-cp T U and no-step cdclW-bj T
  | (fbj) T' where full1 cdclW-bj T T'
  apply (cases no-step cdclW-bj T)
    using full apply blast
  using cdclW-bj-exists-normal-form[of T] inv-T unfolding cdclW-all-struct-inv-def
  by (metis full-unfold)
  then show ?thesis
  proof cases
    case cp
    then show ?thesis
    proof -
      obtain ss :: 'st ⇒ 'st where
        f1: ∀ s sa sb. (¬ full1 cdclW-bj s sa ∨ cdclW-cp s (ss s) ∨ ¬ full cdclW-cp sa sb)
          ∨ cdclW-s' s sb
      using bj' by moura
      have full1 cdclW-bj S T
        by (simp add: cp(2) full1-def local.bj tranclp.r-into-trancl)
      then show ?thesis
        using f1 full n-s by blast
    qed
  next
  case (fbj U')
  then have full1 cdclW-bj S U'
    using bj unfolding full1-def by auto
  moreover have no-step cdclW-cp S
    using n-s by blast
  moreover have T = U
    using full fbj unfolding full1-def full-def rtranclp-unfold
    by (force dest!: tranclpD simp:cdclW-bj.simps elim: rulesE)
  ultimately show ?thesis using cdclW-s'.bj'[of S U'] using fbj by blast
  qed
qed
qed

lemma cdclW-stgy-cdclW-s'-connected':
  assumes cdclW-stgy S U and cdclW-all-struct-inv S
  shows cdclW-s' S U
    ∨ (∃ U' U''. cdclW-s' S U'' ∧ full1 cdclW-bj U U' ∧ full cdclW-cp U' U'')
  using assms
proof (induction rule: cdclW-stgy.induct)
  case (conflict' T)
  then have cdclW-s' S T
    using cdclW-s'.conflict' by blast
  then show ?case
    by blast

```

```

next
case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)
show ?case
  using o
  proof cases
    case decide
      then show ?thesis using cdclW-s'.simps full n-s by blast
  next
  case bj
    have cdclW-all-struct-inv T
      using cdclW-all-struct-inv-inv o other other'.prems by blast
    then obtain T' where T': full cdclW-bj T T'
      using cdclW-bj-exists-normal-form unfolding full-def cdclW-all-struct-inv-def by metis
    then have full cdclW-bj S T'
      proof -
        have f1: cdclW-bj** T T' ∧ no-step cdclW-bj T'
          by (metis (no-types) T' full-def)
        then have cdclW-bj** S T'
          by (meson converse-rtranclp-into-rtranclp local.bj)
        then show ?thesis
          using f1 by (simp add: full-def)
      qed
    have cdclW-bj** T T'
      using T' unfolding full-def by simp
    have cdclW-all-struct-inv T
      using cdclW-all-struct-inv-inv o other other'.prems by blast
    then consider
      (T'U) full cdclW-cp T' U
    | (U) U' U'' where
      full cdclW-cp T' U'' and
      full1 cdclW-bj U U' and
      full cdclW-cp U' U'' and
      cdclW-s'** U U''
      using cdclW-cp-cdclW-bj-bissimulation[OF full ⟨cdclW-bj** T T'⟩] T' unfolding full-def
      by blast
    then show ?thesis by (metis T' cdclW-s'.simps full-full1 local.bj n-s)
  qed
qed

```

lemma *cdcl_W-stgy-cdcl_W-s'-no-step:*
 assumes *cdcl_W-stgy S U* and *cdcl_W-all-struct-inv S* and *no-step cdcl_W-bj U*
 shows *cdcl_W-s' S U*
 using *cdcl_W-stgy-cdcl_W-s'-connected*[OF *assms(1,2)*] *assms(3)*
 by (metis (no-types, lifting) *full1-def tranclpD*)

lemma *rtranclp-cdcl_W-stgy-connected-to-rtranclp-cdcl_W-s':*
 assumes *cdcl_W-stgy** S U* and *inv: cdcl_W-M-level-inv S*
 shows *cdcl_W-s'** S U* ∨ (∃ T. *cdcl_W-s'** S T* ∧ *cdcl_W-bj++ T U* ∧ *conflicting U ≠ None*)
 using *assms(1)*
proof *induction*
 case *base*
 then show ?case by *simp*
next
 case (step T V) note *st = this(1)* and *o = this(2)* and *IH = this(3)*
 from *o* show ?case

```

proof cases
  case conflict'
  then have  $f2: cdcl_W-s' T V$ 
    using  $cdcl_W-s'.conflict'$  by blast
  obtain  $ss :: 'st$  where
     $f3: S = T \vee cdcl_W-stgy^{**} S ss \wedge cdcl_W-stgy ss T$ 
    by (metis (full-types) rtranclp.simps st)
  obtain  $ssa :: 'st$  where
     $ssa: cdcl_W-cp T ssa$ 
    using conflict' by (metis (no-types) full1-def trancplD)
  have  $\forall s. \neg full\ cdcl_W-cp\ s\ T$ 
    by (meson ssa full-def)
  then have  $S = T$ 
    by (metis (full-types)  $f3\ ssa\ cdcl_W-stgy.cases\ full1-def$ )
  then show ?thesis
    using  $f2$  by blast
next
  case (other' U) note  $o = this(1)$  and  $n-s = this(2)$  and  $full = this(3)$ 
  then show ?thesis
    using  $o$ 
  proof (cases rule: cdcl_W-o-rule-cases)
    case decide
    then have  $cdcl_W-s'^{**} S T$ 
      using IH by (auto elim: rulesE)
    then show ?thesis
      by (meson decide decide' full n-s rtranclp.rtrancl-into-rtrancl)
  next
  case backtrack
  consider
    ( $s'$ )  $cdcl_W-s'^{**} S T$ 
    | ( $bj$ )  $S'$  where  $cdcl_W-s'^{**} S S'$  and  $cdcl_W-bj^{++} S' T$  and conflicting  $T \neq None$ 
    using IH by blast
  then show ?thesis
  proof cases
    case  $s'$ 
    moreover
      have  $cdcl_W-M-level-inv\ T$ 
        using inv local.step(1) rtranclp-cdcl_W-stgy-consistent-inv by auto
      then have  $full1\ cdcl_W-bj\ T\ U$ 
        using backtrack-is-full1-cdcl_W-bj backtrack by blast
      then have  $cdcl_W-s' T V$ 
        using  $full\ bj'\ n-s$  by blast
      ultimately show ?thesis by auto
    next
    case ( $bj\ S'$ ) note  $S-S' = this(1)$  and  $bj-T = this(2)$ 
    have  $no-step\ cdcl_W-cp\ S'$ 
      using  $bj-T$  by (fastforce simp: cdcl_W-cp.simps cdcl_W-bj.simps dest!: trancplD
        elim: rulesE)
    moreover
      have  $cdcl_W-M-level-inv\ T$ 
        using inv local.step(1) rtranclp-cdcl_W-stgy-consistent-inv by auto
      then have  $full1\ cdcl_W-bj\ T\ U$ 
        using backtrack-is-full1-cdcl_W-bj backtrack by blast
      then have  $full1\ cdcl_W-bj\ S'\ U$ 
        using  $bj-T$  unfolding full1-def by fastforce

```

```

    ultimately have  $cdcl_W-s' S' V$  using full by (simp add: bj')
    then show ?thesis using  $S-S'$  by auto
qed
next
case skip
then have [simp]:  $U = V$ 
  using full converse-rtranclpE unfolding full-def by (fastforce elim: rulesE)
then have  $confl-V$ : conflicting  $V \neq None$ 
  using skip by (auto elim!: rulesE simp del: state-simp simp: state-eq-def)
consider
  (s')  $cdcl_W-s'^{**} S T$ 
  | (bj)  $S'$  where  $cdcl_W-s'^{**} S S'$  and  $cdcl_W-bj^{++} S' T$  and conflicting  $T \neq None$ 
  using IH by blast
then show ?thesis
proof cases
case s'
show ?thesis using  $s'$   $confl-V$  skip by force
next
case (bj S') note  $S-S' = this(1)$  and  $bj-T = this(2)$ 
have  $cdcl_W-bj^{++} S' V$ 
  using skip  $bj-T$  by (metis  $\langle U = V \rangle cdcl_W-bj.skip tranclp.simps$ )
then show ?thesis using  $S-S'$   $confl-V$  by auto
qed
next
case resolve
then have [simp]:  $U = V$ 
  using full unfolding full-def rtranclp-unfold
  by (auto elim!: rulesE dest!: tranclpD
    simp del: state-simp simp: state-eq-def  $cdcl_W-cp.simps$ )
have  $confl-V$ : conflicting  $V \neq None$ 
  using resolve by (auto elim!: rulesE simp del: state-simp simp: state-eq-def)

consider
  (s')  $cdcl_W-s'^{**} S T$ 
  | (bj)  $S'$  where  $cdcl_W-s'^{**} S S'$  and  $cdcl_W-bj^{++} S' T$  and conflicting  $T \neq None$ 
  using IH by blast
then show ?thesis
proof cases
case s'
have  $cdcl_W-bj^{++} T V$ 
  using resolve by force
then show ?thesis using  $s'$   $confl-V$  by auto
next
case (bj S') note  $S-S' = this(1)$  and  $bj-T = this(2)$ 
have  $cdcl_W-bj^{++} S' V$ 
  using resolve  $bj-T$  by (metis  $\langle U = V \rangle cdcl_W-bj.resolve tranclp.simps$ )
then show ?thesis using  $confl-V$   $S-S'$  by auto
qed
qed
qed
qed

```

lemma $n\text{-step-}cdcl_W\text{-stgy-iff-no-step-}cdcl_W\text{-cl-}cdcl_W\text{-o}$:

assumes inv : $cdcl_W\text{-all-struct-inv } S$

shows $no\text{-step } cdcl_W-s' S \longleftrightarrow no\text{-step } cdcl_W-cp S \wedge no\text{-step } cdcl_W-o S$ (is ? $S' S \longleftrightarrow ?C S \wedge ?O S$)


```

proof
  assume ?C S ∧ ?O S
  then show ?S' S
    by (auto simp: cdclW-s'.simps full1-def tranclp-unfold-begin)
next
  assume n-s: ?S' S
  have ?C S
  proof (rule ccontr)
    assume ¬ ?thesis
    then obtain S' where cdclW-cp S S'
    by auto
    then obtain T where full1 cdclW-cp S T
    using cdclW-cp-normalized-element-all-inv inv by (metis (no-types, lifting) full-unfold)
    then show False using n-s cdclW-s'.conflict' by blast
  qed
moreover have ?O S
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain S' where cdclW-o S S'
  by auto
  then obtain T where full1 cdclW-cp S' T
  using cdclW-cp-normalized-element-all-inv inv
  by (meson cdclW-all-struct-inv-def n-s
    cdclW-stgy-cdclW-s'-connected' cdclW-then-exists-cdclW-stgy-step )
  then show False using n-s by (meson (cdclW-o S S') cdclW-all-struct-inv-def
    cdclW-stgy-cdclW-s'-connected' cdclW-then-exists-cdclW-stgy-step inv)
qed
ultimately show ?C S ∧ ?O S by auto
qed

lemma cdclW-s'-tranclp-cdclW:
  cdclW-s' S S' ⇒ cdclW++ S S'
proof (induct rule: cdclW-s'.induct)
  case conflict'
  then show ?case
    by (simp add: full1-def tranclp-cdclW-cp-tranclp-cdclW)
next
  case decide'
  then show ?case
    using cdclW-stgy.simps cdclW-stgy-tranclp-cdclW by (meson cdclW-o.simps)
next
  case (bj' Sa S'a S'') note a2 = this(1) and a1 = this(2) and n-s = this(3)
  obtain ss :: 'st ⇒ 'st ⇒ ('st ⇒ 'st ⇒ bool) ⇒ 'st where
    ∀ x0 x1 x2. (∃ v3. x2 x1 v3 ∧ x2** v3 x0) = (x2 x1 (ss x0 x1 x2) ∧ x2** (ss x0 x1 x2) x0)
    by moura
  then have f3: ∀ p s sa. ¬ p++ s sa ∨ p s (ss sa s p) ∧ p** (ss sa s p) sa
    by (metis (full-types) tranclpD)
  have cdclW-bj++ Sa S'a ∧ no-step cdclW-bj S'a
    using a2 by (simp add: full1-def)
  then have cdclW-bj Sa (ss S'a Sa cdclW-bj) ∧ cdclW-bj** (ss S'a Sa cdclW-bj) S'a
    using f3 by auto
  then show cdclW++ Sa S''
    using a1 n-s by (meson bj other rtranclp-cdclW-bj-full1-cdclp-cdclW-stgy
      rtranclp-cdclW-stgy-rtranclp-cdclW rtranclp-into-tranclp2)
qed

```

```

lemma trancpl-cdclW-s'-trancpl-cdclW:
  cdclW-s'++ S S'  $\implies$  cdclW++ S S'
  apply (induct rule: trancpl.induct)
  using cdclW-s'-trancpl-cdclW apply blast
  by (meson cdclW-s'-trancpl-cdclW trancpl-trans)

lemma rtrancpl-cdclW-s'-rtrancpl-cdclW:
  cdclW-s'** S S'  $\implies$  cdclW** S S'
  using rtrancpl-unfold[of cdclW-s' S S'] trancpl-cdclW-s'-trancpl-cdclW[of S S'] by auto

lemma full-cdclW-stgy-iff-full-cdclW-s':
  assumes inv: cdclW-all-struct-inv S
  shows full cdclW-stgy S T  $\longleftrightarrow$  full cdclW-s' S T (is ?S  $\longleftrightarrow$  ?S')
proof
  assume ?S'
  then have cdclW** S T
    using rtrancpl-cdclW-s'-rtrancpl-cdclW[of S T] unfolding full-def by blast
  then have inv': cdclW-all-struct-inv T
    using rtrancpl-cdclW-all-struct-inv-inv inv by blast
  have cdclW-stgy** S T
    using  $\langle ?S' \rangle$  unfolding full-def
    using cdclW-s'-is-rtrancpl-cdclW-stgy rtrancpl-mono[of cdclW-s' cdclW-stgy**] by auto
  then show ?S
    using  $\langle ?S' \rangle$  inv' cdclW-stgy-cdclW-s'-connected' unfolding full-def by blast
next
  assume ?S
  then have inv-T: cdclW-all-struct-inv T
    by (metis assms full-def rtrancpl-cdclW-all-struct-inv-inv rtrancpl-cdclW-stgy-rtrancpl-cdclW)

consider
  (s') cdclW-s'** S T
  | (st) S' where cdclW-s'** S S' and cdclW-bj++ S' T and conflicting T  $\neq$  None
  using rtrancpl-cdclW-stgy-connected-to-rtrancpl-cdclW-s'[of S T] inv  $\langle ?S \rangle$ 
  unfolding full-def cdclW-all-struct-inv-def
  by blast
then show ?S'
  proof cases
    case s'
    have no-step cdclW-s' T
      using  $\langle \text{full cdcl}_W\text{-stgy } S \ T \rangle$  unfolding full-def
      by (meson cdclW-all-struct-inv-def cdclW-s'E cdclW-stgy.conflict'
        cdclW-then-exists-cdclW-stgy-step inv-T n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)
    then show ?thesis
      using s' unfolding full-def by blast
  next
    case (st S')
    have full cdclW-cp T T
      using option-full-cdclW-cp st(3) by blast
  moreover
    have n-s: no-step cdclW-bj T
      by (metis  $\langle \text{full cdcl}_W\text{-stgy } S \ T \rangle$  bj inv-T cdclW-all-struct-inv-def
        cdclW-then-exists-cdclW-stgy-step full-def)
    then have full1 cdclW-bj S' T
      using st(2) unfolding full1-def by blast

```

```

moreover have no-step cdclW-cp S'
  using st(2) by (fastforce dest!: tranclpD simp: cdclW-cp.simps cdclW-bj.simps
    elim: rulesE)
ultimately have cdclW-s' S' T
  using cdclW-s'.bj'[of S' T T] by blast
then have cdclW-sl** S T
  using st(1) by auto
moreover have no-step cdclW-s' T
  using inv-T ⟨full cdclW-cp T T⟩ ⟨full cdclW-stgy S T⟩ unfolding full-def
  by (metis cdclW-all-struct-inv-def cdclW-then-exists-cdclW-stgy-step
    n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)
ultimately show ?thesis
  unfolding full-def by blast
qed
qed

lemma conflict-step-cdclW-stgy-step:
  assumes
    conflict S T
    cdclW-all-struct-inv S
  shows  $\exists T. \text{cdcl}_W\text{-stgy } S \ T$ 
proof –
  obtain U where full cdclW-cp S U
    using cdclW-cp-normalized-element-all-inv assms by blast
  then have full1 cdclW-cp S U
    by (metis cdclW-cp.conflict' assms(1) full-unfold)
  then show ?thesis using cdclW-stgy.conflict' by blast
qed

lemma decide-step-cdclW-stgy-step:
  assumes
    decide S T
    cdclW-all-struct-inv S
  shows  $\exists T. \text{cdcl}_W\text{-stgy } S \ T$ 
proof –
  obtain U where full cdclW-cp T U
    using cdclW-cp-normalized-element-all-inv by (meson assms(1) assms(2) cdclW-all-struct-inv-inv
      cdclW-cp-normalized-element-all-inv decide other)
  then show ?thesis
    by (metis assms cdclW-cp-normalized-element-all-inv cdclW-stgy.conflict' decide full-unfold
      other')
qed

lemma rtranclp-cdclW-cp-conflicting-Some:
  cdclW-cp** S T  $\implies$  conflicting S = Some D  $\implies$  S = T
  using rtranclpD tranclpD by fastforce

inductive cdclW-merge-cp :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  conflict'[intro]: conflict S T  $\implies$  full cdclW-bj T U  $\implies$  cdclW-merge-cp S U |
  propagate'[intro]: propagate++ S S'  $\implies$  cdclW-merge-cp S S'

lemma cdclW-merge-restart-cases[consumes 1, case-names conflict propagate]:
  assumes
    cdclW-merge-cp S U and
     $\bigwedge T. \text{conflict } S \ T \implies \text{full } \text{cdcl}_W\text{-bj } T \ U \implies P$  and

```

$\text{propagate}^{++} S U \implies P$
shows P
using *assms unfolding cdcl_W-merge-cp.simps* **by** *auto*

lemma *cdcl_W-merge-cp-tranclp-cdcl_W-merge:*
 $\text{cdcl}_W\text{-merge-cp } S T \implies \text{cdcl}_W\text{-merge}^{++} S T$
apply (*induction rule: cdcl_W-merge-cp.induct*)
using *cdcl_W-merge.simps* **apply** *auto[1]*
using *tranclp-mono[of propagate cdcl_W-merge] fw-propagate* **by** *blast*

lemma *rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W:*
 $\text{cdcl}_W\text{-merge-cp}^{**} S T \implies \text{cdcl}_W^{**} S T$
apply (*induction rule: rtranclp-induct*)
apply *simp*
unfolding *cdcl_W-merge-cp.simps* **by** (*meson cdcl_W-merge-restart-cdcl_W fw-r-conflict*
rtranclp-propagate-is-rtranclp-cdcl_W rtranclp-trans tranclp-into-rtranclp)

lemma *full1-cdcl_W-bj-no-step-cdcl_W-bj:*
 $\text{full1 } \text{cdcl}_W\text{-bj } S T \implies \text{no-step } \text{cdcl}_W\text{-cp } S$
unfolding *full1-def* **by** (*metis rtranclp-unfold cdcl_W-cp-conflicting-not-empty option.exhaust*
rtranclp-cdcl_W-merge-restart-no-step-cdcl_W-bj tranclpD)

inductive *cdcl_W-s'-without-decide* **where**
conflict'-without-decide[intro]: full1 cdcl_W-cp S S' \implies cdcl_W-s'-without-decide S S' |
bj'-without-decide[intro]: full1 cdcl_W-bj S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S''
 $\implies \text{cdcl}_W\text{-s'-without-decide } S S''$

lemma *rtranclp-cdcl_W-s'-without-decide-rtranclp-cdcl_W:*
 $\text{cdcl}_W\text{-s'-without-decide}^{**} S T \implies \text{cdcl}_W^{**} S T$
apply (*induction rule: rtranclp-induct*)
apply *simp*
by (*meson cdcl_W-s'.simps cdcl_W-s'-tranclp-cdcl_W cdcl_W-s'-without-decide.simps*
rtranclp-tranclp-tranclp tranclp-into-rtranclp)

lemma *rtranclp-cdcl_W-s'-without-decide-rtranclp-cdcl_W-s':*
 $\text{cdcl}_W\text{-s'-without-decide}^{**} S T \implies \text{cdcl}_W\text{-s}'^{**} S T$
proof (*induction rule: rtranclp-induct*)
case *base*
then show *?case* **by** *simp*
next
case (*step y z*) **note** $a2 = \text{this}(2)$ **and** $a1 = \text{this}(3)$
have $\text{cdcl}_W\text{-s}' y z$
using $a2$ **by** (*metis (no-types) bj' cdcl_W-s'.conflict' cdcl_W-s'-without-decide.cases*)
then show $\text{cdcl}_W\text{-s}'^{**} S z$
using $a1$ **by** (*meson r-into-rtranclp rtranclp-trans*)
qed

lemma *rtranclp-cdcl_W-merge-cp-is-rtranclp-cdcl_W-s'-without-decide:*
assumes
 $\text{cdcl}_W\text{-merge-cp}^{**} S V$
 $\text{conflicting } S = \text{None}$
shows
 $(\text{cdcl}_W\text{-s'-without-decide}^{**} S V)$
 $\vee (\exists T. \text{cdcl}_W\text{-s'-without-decide}^{**} S T \wedge \text{propagate}^{++} T V)$
 $\vee (\exists T U. \text{cdcl}_W\text{-s'-without-decide}^{**} S T \wedge \text{full1 } \text{cdcl}_W\text{-bj } T U \wedge \text{propagate}^{**} U V)$

```

using assms
proof (induction rule: rtrancp-induct)
  case base
  then show ?case by simp
next
case (step U V) note st = this(1) and cp = this(2) and IH = this(3)[OF this(4)]
from cp show ?case
  proof (cases rule: cdclW-merge-restart-cases)
    case propagate
    then show ?thesis using IH by (meson rtrancp-trancp-trancp trancp-into-rtrancp)
  next
  case (conflict U') note confl = this(1) and bj = this(2)
  have full1-U-U': full1 cdclW-cp U U'
    by (simp add: conflict-is-full1-cdclW-cp local.conflict(1))
  consider
    (s') cdclW-s'-without-decide** S U
  | (propa) T' where cdclW-s'-without-decide** S T' and propagate++ T' U
  | (bj-prop) T' T'' where
    cdclW-s'-without-decide** S T' and
    full1 cdclW-bj T' T'' and
    propagate** T'' U
  using IH by blast
then show ?thesis
  proof cases
    case s'
    have cdclW-s'-without-decide U U'
      using full1-U-U' conflict'-without-decide by blast
    then have cdclW-s'-without-decide** S U'
      using ⟨cdclW-s'-without-decide** S U⟩ by auto
    moreover have U' = V ∨ full1 cdclW-bj U' V
      using bj by (meson full-unfold)
    ultimately show ?thesis by blast
  next
  case propa note s' = this(1) and T'-U = this(2)
  have full1 cdclW-cp T' U'
    using rtrancp-mono[of propagate cdclW-cp] T'-U cdclW-cp.propagate' full1-U-U'
    rtrancp-full1I[of cdclW-cp T'] by (metis (full-types) predicate2D predicate2I
    trancp-into-rtrancp)
  have cdclW-s'-without-decide** S U'
    using ⟨full1 cdclW-cp T' U'⟩ conflict'-without-decide s' by force
  have full1 cdclW-bj U' V ∨ V = U' using bj unfolding full-unfold by blast
  then show ?thesis
    using ⟨cdclW-s'-without-decide** S U'⟩ by blast
  next
  case bj-prop note s' = this(1) and bj-T' = this(2) and T''-U = this(3)
  have no-step cdclW-cp T'
    using bj-T' full1-cdclW-bj-no-step-cdclW-bj by blast
  moreover have full1 cdclW-cp T'' U'
    using rtrancp-mono[of propagate cdclW-cp] T''-U cdclW-cp.propagate' full1-U-U'
    rtrancp-full1I[of cdclW-cp T''] by blast
  ultimately have cdclW-s'-without-decide T' U'
    using bj'-without-decide[of T' T'' U] bj-T' by (simp add: full-unfold)
  then have cdclW-s'-without-decide** S U'
    using s' rtrancp.intros(2)[of - S T' U] by blast
  then show ?thesis

```

```

      using local.bj unfolding full-unfold by blast
    qed
  qed
qed

lemma rtrancp-cdclW-s'-without-decide-is-rtrancp-cdclW-merge-cp:
  assumes
    cdclW-s'-without-decide** S V and
    confl: conflicting S = None
  shows
    (cdclW-merge-cp** S V ∧ conflicting V = None)
    ∨ (cdclW-merge-cp** S V ∧ conflicting V ≠ None ∧ no-step cdclW-cp V ∧ no-step cdclW-bj V)
    ∨ (∃ T. cdclW-merge-cp** S T ∧ conflict T V)
  using assms(1)
proof (induction)
  case base
  then show ?case using confl by auto
next
  case (step U V) note st = this(1) and s = this(2) and IH = this(3)
  from s show ?case
  proof (cases rule: cdclW-s'-without-decide.cases)
    case conflict'-without-decide
    then have rt: cdclW-cp++ U V unfolding full1-def by fast
    then have conflicting U = None
      using trancp-cdclW-cp-propagate-with-conflict-or-not[of U V]
      conflict by (auto dest!: trancpD simp: rtrancp-unfold elim: rulesE)
    then have cdclW-merge-cp** S U using IH by (auto elim: rulesE
      simp del: state-simp simp: state-eq-def)
    consider
      (propa) propagate++ U V
      | (confl') conflict U V
      | (propa-confl') U' where propagate++ U U' conflict U' V
    using trancp-cdclW-cp-propagate-with-conflict-or-not[OF rt] unfolding rtrancp-unfold
    by fastforce
  then show ?thesis
  proof cases
    case propa
    then have cdclW-merge-cp U V
      by auto
    moreover have conflicting V = None
      using propa unfolding trancp-unfold-end by (auto elim: rulesE)
    ultimately show ?thesis using ⟨cdclW-merge-cp** S U⟩ by (auto elim!: rulesE
      simp del: state-simp simp: state-eq-def)
  next
    case confl'
    then show ?thesis using ⟨cdclW-merge-cp** S U⟩ by auto
  next
    case propa-confl' note propa = this(1) and confl' = this(2)
    then have cdclW-merge-cp U U' by auto
    then have cdclW-merge-cp** S U' using ⟨cdclW-merge-cp** S U⟩ by auto
    then show ?thesis using ⟨cdclW-merge-cp** S U⟩ confl' by auto
  qed
next
  case (bj'-without-decide U') note full-bj = this(1) and cp = this(3)
  then have conflicting U ≠ None

```

```

    using full-bj unfolding full1-def by (fastforce dest!: tranclpD simp: cdclW-bj.simps
      elim: rulesE)
  with IH obtain T where
    S-T: cdclW-merge-cp** S T and T-U: conflict T U
    using full-bj unfolding full1-def by (blast dest: tranclpD)
  then have cdclW-merge-cp T U'
    using cdclW-merge-cp.conflict'[of T U U'] full-bj by (simp add: full-unfold)
  then have S-U': cdclW-merge-cp** S U' using S-T by auto
  consider
    (n-s) U' = V
    | (propa) propagate++ U' V
    | (confl') conflict U' V
    | (propa-confl') U'' where propagate++ U' U'' conflict U'' V
  using tranclp-cdclW-cp-propagate-with-conflict-or-not cp
  unfolding rtranclp-unfold full-def by metis
  then show ?thesis
  proof cases
    case propa
    then have cdclW-merge-cp U' V by auto
    moreover have conflicting V = None
      using propa unfolding tranclp-unfold-end by (auto elim: rulesE)
    ultimately show ?thesis using S-U' by (auto elim: rulesE
      simp del: state-simp simp: state-eq-def)
  next
    case confl'
    then show ?thesis using S-U' by auto
  next
    case propa-confl' note propa = this(1) and confl = this(2)
    have cdclW-merge-cp U' U'' using propa by auto
    then show ?thesis using S-U' confl by (meson rtranclp.rtrancl-into-rtrancl)
  next
    case n-s
    then show ?thesis
      using S-U' apply (cases conflicting V = None)
      using full-bj apply simp
      by (metis cp full-def full-unfold full-bj)
  qed
qed
qed

```

lemma *no-step-cdcl_W-s'-no-ste-cdcl_W-merge-cp:*
assumes
 cdcl_W-all-struct-inv S
 conflicting S = None
 no-step cdcl_W-s' S
shows no-step cdcl_W-merge-cp S
using *assms* **apply** (auto simp: cdcl_W-s'.simps cdcl_W-merge-cp.simps)
using conflict-is-full1-cdcl_W-cp **apply** blast
using cdcl_W-cp-normalized-element-all-inv cdcl_W-cp.propagate' **by** (metis cdcl_W-cp.propagate'
 full-unfold tranclpD)

The *no-step decide S* is needed, since *cdcl_W-merge-cp* is *cdcl_W-s'* without *decide*.

lemma *conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide:*
assumes
 confl: conflicting S = None **and**

inv: *cdcl_W-M-level-inv S* and
n-s: *no-step cdcl_W-merge-cp S*
shows *no-step cdcl_W-s'-without-decide S*
proof (*rule ccontr*)
assume \neg *no-step cdcl_W-s'-without-decide S*
then obtain *T* **where**
cdcl_W: *cdcl_W-s'-without-decide S T*
by *auto*
then have *inv-T*: *cdcl_W-M-level-inv T*
using *rtrancp-cdcl_W-s'-without-decide-rtrancp-cdcl_W[of S T]*
rtrancp-cdcl_W-consistent-inv inv **by** *blast*
from *cdcl_W* **show** *False*
proof *cases*
case *conflict'-without-decide*
have *no-step propagate S*
using *n-s* **by** *blast*
then have *conflict S T*
using *local.conflict' trancp-cdcl_W-cp-propagate-with-conflict-or-not[of S T]*
local.conflict'-without-decide **unfolding** *full1-def rtrancp-unfold*
by (*metis trancp-unfold-begin*)
moreover
then obtain *T'* **where** *full cdcl_W-bj T T'*
using *cdcl_W-bj-exists-normal-form inv-T* **by** *blast*
ultimately show *False* **using** *cdcl_W-merge-cp.conflict' n-s* **by** *meson*
next
case (*bj'-without-decide S'*)
then show *?thesis*
using *confl unfolding full1-def* **by** (*fastforce simp: cdcl_W-bj.simps dest: trancpD*
elim: rulesE)
qed
qed

lemma *conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp*:
assumes
inv: *cdcl_W-all-struct-inv S* and
n-s: *no-step cdcl_W-s'-without-decide S*
shows *no-step cdcl_W-merge-cp S*
proof (*rule ccontr*)
assume \neg *?thesis*
then obtain *T* **where** *cdcl_W-merge-cp S T*
by *auto*
then show *False*
proof *cases*
case (*conflict' S'*)
then show *False* **using** *n-s conflict'-without-decide conflict-is-full1-cdcl_W-cp* **by** *blast*
next
case *propagate'*
moreover
have *cdcl_W-all-struct-inv T*
using *inv* **by** (*meson local.propagate' rtrancp-cdcl_W-all-struct-inv-inv*
rtrancp-propagate-is-rtrancp-cdcl_W trancp-into-rtrancp)
then obtain *U* **where** *full cdcl_W-cp T U*
using *cdcl_W-cp-normalized-element-all-inv* **by** *auto*
ultimately have *full1 cdcl_W-cp S U*
using *trancp-full-full1I[of cdcl_W-cp S T U]* *cdcl_W-cp.propagate'*

$\text{trancpl-mono[of propagate cdcl}_W\text{-cp] by blast}$
then show $\text{False using conflict'-without-decide n-s by blast}$
qed
qed

lemma $\text{no-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp:}$
 $\text{no-step cdcl}_W\text{-merge-cp } S \implies \text{cdcl}_W\text{-M-level-inv } S \implies \text{no-step cdcl}_W\text{-cp } S$
using $\text{cdcl}_W\text{-bj-exists-normal-form cdcl}_W\text{-consistent-inv[OF cdcl}_W\text{.conflict, of } S]$
by $(\text{metis cdcl}_W\text{-cp.cases cdcl}_W\text{-merge-cp.simps trancpl.intros(1)})$

lemma $\text{conflicting-not-true-rtrancpl-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-bj:}$
assumes
 $\text{conflicting } S = \text{None and}$
 $\text{cdcl}_W\text{-merge-cp}^{**} S T$
shows $\text{no-step cdcl}_W\text{-bj } T$
using $\text{assms(2,1) by (induction)}$
 $(\text{fastforce simp: cdcl}_W\text{-merge-cp.simps full-def trancpl-unfold-end cdcl}_W\text{-bj.simps}$
 $\text{elim: rulesE})+$

lemma $\text{conflicting-true-full-cdcl}_W\text{-merge-cp-iff-full-cdcl}_W\text{-s'-without-decode:}$
assumes
 $\text{confl: conflicting } S = \text{None and}$
 $\text{inv: cdcl}_W\text{-all-struct-inv } S$
shows
 $\text{full cdcl}_W\text{-merge-cp } S V \longleftrightarrow \text{full cdcl}_W\text{-s'-without-decode } S V \text{ (is ?fw } \longleftrightarrow ?s')$

proof

assume $?fw$
then have $\text{st: cdcl}_W\text{-merge-cp}^{**} S V$ **and** $\text{n-s: no-step cdcl}_W\text{-merge-cp } V$
unfolding $\text{full-def by blast+}$
have $\text{inv-V: cdcl}_W\text{-all-struct-inv } V$
using $\text{rtrancpl-cdcl}_W\text{-merge-cp-rtrancpl-cdcl}_W\text{[of } S V] \langle ?fw \rangle$ **unfolding** full-def
by $(\text{simp add: inv rtrancpl-cdcl}_W\text{-all-struct-inv-inv})$
consider
 $(s') \text{ cdcl}_W\text{-s'-without-decode}^{**} S V$
 $| (\text{propa}) T \text{ where } \text{cdcl}_W\text{-s'-without-decode}^{**} S T \text{ and } \text{propagate}^{++} T V$
 $| (\text{bj}) T U \text{ where } \text{cdcl}_W\text{-s'-without-decode}^{**} S T \text{ and full1 cdcl}_W\text{-bj } T U \text{ and } \text{propagate}^{**} U V$
using $\text{rtrancpl-cdcl}_W\text{-merge-cp-is-rtrancpl-cdcl}_W\text{-s'-without-decode confl st n-s by metis}$
then have $\text{cdcl}_W\text{-s'-without-decode}^{**} S V$

proof cases

case s'
then show $?thesis$.

next

case propa **note** $s' = \text{this(1)}$ **and** $\text{propa} = \text{this(2)}$
have $\text{no-step cdcl}_W\text{-cp } V$
using $\text{no-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp n-s inv-V}$
unfolding $\text{cdcl}_W\text{-all-struct-inv-def by blast}$
then have $\text{full1 cdcl}_W\text{-cp } T V$
using $\text{propa trancpl-mono[of propagate cdcl}_W\text{-cp] cdcl}_W\text{-cp.propagate' unfolding full1-def}$
by blast
then have $\text{cdcl}_W\text{-s'-without-decode } T V$
using $\text{conflict'-without-decide by blast}$
then show $?thesis$ **using** s' **by** auto

next

case bj **note** $s' = \text{this(1)}$ **and** $\text{bj} = \text{this(2)}$ **and** $\text{propa} = \text{this(3)}$
have $\text{no-step cdcl}_W\text{-cp } V$

```

    using no-step-cdclW-merge-cp-no-step-cdclW-cp n-s inv-V
    unfolding cdclW-all-struct-inv-def by blast
then have full cdclW-cp U V
    using propa rtranclp-mono[of propagate cdclW-cp] cdclW-cp.propagate' unfolding full-def
    by blast
moreover have no-step cdclW-cp T
    using bj unfolding full1-def by (fastforce dest!: tranclpD simp:cdclW-bj.simps elim: rulesE)
ultimately have cdclW-s'-without-decide T V
    using bj'-without-decide[of T U V] bj by blast
then show ?thesis using s' by auto
qed
moreover have no-step cdclW-s'-without-decide V
proof (cases conflicting V = None)
case False
{ fix ss :: 'st
  have ff1:  $\forall s \text{ sa. } \neg \text{cdcl}_W\text{-s}' s \text{ sa} \vee \text{full1 cdcl}_W\text{-cp s sa}$ 
     $\vee (\exists sb. \text{decide s sb} \wedge \text{no-step cdcl}_W\text{-cp s} \wedge \text{full cdcl}_W\text{-cp sb sa})$ 
     $\vee (\exists sb. \text{full1 cdcl}_W\text{-bj s sb} \wedge \text{no-step cdcl}_W\text{-cp s} \wedge \text{full cdcl}_W\text{-cp sb sa})$ 
    by (metis cdclW-s'.cases)
  have ff2:  $(\forall p \text{ s sa. } \neg \text{full1 p (s::'st) sa} \vee p^{++} \text{ s sa} \wedge \text{no-step p sa})$ 
     $\wedge (\forall p \text{ s sa. } (\neg p^{++} (s::'st) sa \vee (\exists s. p \text{ sa s})) \vee \text{full1 p s sa})$ 
    by (meson full1-def)
  obtain ssa :: ('st  $\Rightarrow$  'st  $\Rightarrow$  bool)  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  'st where
    ff3:  $\forall p \text{ s sa. } \neg p^{++} \text{ s sa} \vee p \text{ s (ssa p s sa)} \wedge p^{**} (ssa p s sa) \text{ sa}$ 
    by (metis (no-types) tranclpD)
  then have a3:  $\neg \text{cdcl}_W\text{-cp}^{++} V \text{ ss}$ 
    using False by (metis option-full-cdclW-cp full-def)
  have  $\bigwedge s. \neg \text{cdcl}_W\text{-bj}^{++} V s$ 
    using ff3 False by (metis confl st
      conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj)
  then have  $\neg \text{cdcl}_W\text{-s}'\text{-without-decide } V \text{ ss}$ 
    using ff1 a3 ff2 by (metis cdclW-s'-without-decide.cases)
}
then show ?thesis
  by fastforce
next
case True
then show ?thesis
  using conflicting-true-no-step-cdclW-merge-cp-no-step-s'-without-decide n-s inv-V
  unfolding cdclW-all-struct-inv-def by simp
qed
ultimately show ?s' unfolding full-def by blast
next
assume s': ?s'
then have st: cdclW-s'-without-decide** S V and n-s: no-step cdclW-s'-without-decide V
  unfolding full-def by auto
then have cdclW** S V
  using rtranclp-cdclW-s'-without-decide-rtranclp-cdclW st by blast
then have inv-V: cdclW-all-struct-inv V using inv rtranclp-cdclW-all-struct-inv-inv by blast
then have n-s-cp-V: no-step cdclW-cp V
  using cdclW-cp-normalized-element-all-inv[of V] full-fullI[of cdclW-cp V] n-s
  conflict'-without-decide conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp
  no-step-cdclW-merge-cp-no-step-cdclW-cp
  unfolding cdclW-all-struct-inv-def by presburger
have n-s-bj: no-step cdclW-bj V

```

```

proof (rule ccontr)
  assume  $\neg$  ?thesis
  then obtain  $W$  where  $W$ :  $cdcl_W$ -bj  $V$   $W$  by blast
  have  $cdcl_W$ -all-struct-inv  $W$ 
    using  $W$   $cdcl_W$ .simps  $cdcl_W$ -all-struct-inv-inv inv- $V$  by blast
  then obtain  $W'$  where full1  $cdcl_W$ -bj  $V$   $W'$ 
    using  $cdcl_W$ -bj-exists-normal-form[of  $W$ ] full-fullI[of  $cdcl_W$ -bj  $V$   $W$ ]  $W$ 
    unfolding  $cdcl_W$ -all-struct-inv-def
    by blast
  moreover
    then have  $cdcl_W^{++}$   $V$   $W'$ 
      using tranclp-mono[of  $cdcl_W$ -bj  $cdcl_W$ ]  $cdcl_W$ .other  $cdcl_W$ -o.bj unfolding full1-def by blast
    then have  $cdcl_W$ -all-struct-inv  $W'$ 
      by (meson inv- $V$  rtranclp- $cdcl_W$ -all-struct-inv-inv tranclp-into-rtranclp)
    then obtain  $X$  where full  $cdcl_W$ -cp  $W'$   $X$ 
      using  $cdcl_W$ -cp-normalized-element-all-inv by blast
    ultimately show False
      using bj'-without-decide n-s-cp- $V$  n-s by blast
  qed
from  $s'$  consider
  ( $cp$ -true)  $cdcl_W$ -merge-cp**  $S$   $V$  and conflicting  $V = None$ 
| ( $cp$ -false)  $cdcl_W$ -merge-cp**  $S$   $V$  and conflicting  $V \neq None$  and no-step  $cdcl_W$ -cp  $V$  and
  no-step  $cdcl_W$ -bj  $V$ 
| ( $cp$ -confl)  $T$  where  $cdcl_W$ -merge-cp**  $S$   $T$  conflict  $T$   $V$ 
using rtranclp- $cdcl_W$ -s'-without-decide-is-rtranclp- $cdcl_W$ -merge-cp[of  $S$   $V$ ] confl
unfolding full-def by meson
then have  $cdcl_W$ -merge-cp**  $S$   $V$ 
proof cases
  case  $cp$ -confl note  $S$ - $T = this(1)$  and  $conf$ - $V = this(2)$ 
  have full  $cdcl_W$ -bj  $V$   $V$ 
    using  $conf$ - $V$  n-s-bj unfolding full-def by fast
  then have  $cdcl_W$ -merge-cp  $T$   $V$ 
    using  $cdcl_W$ -merge-cp.conflict'  $conf$ - $V$  by auto
  then show ?thesis using  $S$ - $T$  by auto
qed fast+
moreover
  then have  $cdcl_W^{**}$   $S$   $V$  using rtranclp- $cdcl_W$ -merge-cp-rtranclp- $cdcl_W$  by blast
  then have  $cdcl_W$ -all-struct-inv  $V$ 
    using inv rtranclp- $cdcl_W$ -all-struct-inv-inv by blast
  then have no-step  $cdcl_W$ -merge-cp  $V$ 
    using conflicting-true-no-step-s'-without-decide-no-step- $cdcl_W$ -merge-cp  $s'$ 
    unfolding full-def by blast
  ultimately show ?fw unfolding full-def by auto
qed

lemma conflicting-true-full1- $cdcl_W$ -merge-cp-iff-full1- $cdcl_W$ -s'-without-decode:
assumes
  confl: conflicting  $S = None$  and
  inv:  $cdcl_W$ -all-struct-inv  $S$ 
shows
  full1  $cdcl_W$ -merge-cp  $S$   $V \longleftrightarrow$  full1  $cdcl_W$ -s'-without-decode  $S$   $V$ 
proof –
  have full  $cdcl_W$ -merge-cp  $S$   $V =$  full  $cdcl_W$ -s'-without-decode  $S$   $V$ 
    using confl conflicting-true-full- $cdcl_W$ -merge-cp-iff-full- $cdcl_W$ -s'-without-decode inv
    by simp

```

then show *?thesis unfolding full-unfold full1-def tranclp-unfold-begin* **by** *blast*
qed

lemma *conflicting-true-full1-cdcl_W-merge-cp-imp-full1-cdcl_W-s'-without-decode:*

assumes

fw: full1 cdcl_W-merge-cp S V **and**

inv: cdcl_W-all-struct-inv S

shows

full1 cdcl_W-s'-without-decode S V

proof *–*

have *conflicting S = None*

using *fw unfolding full1-def* **by** *(auto dest!: tranclpD simp: cdcl_W-merge-cp.simps elim: rulesE)*

then show *?thesis*

using *conflicting-true-full1-cdcl_W-merge-cp-iff-full1-cdcl_W-s'-without-decode fw inv* **by** *simp*

qed

inductive *cdcl_W-merge-stgy* **where**

fw-s-cp[intro]: full1 cdcl_W-merge-cp S T \implies cdcl_W-merge-stgy S T |

fw-s-decide[intro]: decide S T \implies no-step cdcl_W-merge-cp S \implies full cdcl_W-merge-cp T U
 \implies *cdcl_W-merge-stgy S U*

lemma *cdcl_W-merge-stgy-tranclp-cdcl_W-merge:*

assumes *fw: cdcl_W-merge-stgy S T*

shows *cdcl_W-merge⁺⁺ S T*

proof *–*

{ fix *S T*

assume *full1 cdcl_W-merge-cp S T*

then have *cdcl_W-merge⁺⁺ S T*

using *tranclp-mono[of cdcl_W-merge-cp cdcl_W-merge⁺⁺] cdcl_W-merge-cp-tranclp-cdcl_W-merge*

unfolding *full1-def*

by *auto*

} note *full1-cdcl_W-merge-cp-cdcl_W-merge = this*

show *?thesis*

using *fw*

apply *(induction rule: cdcl_W-merge-stgy.induct)*

using *full1-cdcl_W-merge-cp-cdcl_W-merge* **apply** *simp*

unfolding *full-unfold* **by** *(auto dest!: full1-cdcl_W-merge-cp-cdcl_W-merge fw-decide)*

qed

lemma *rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-merge:*

assumes *fw: cdcl_W-merge-stgy^{**} S T*

shows *cdcl_W-merge^{**} S T*

using *fw cdcl_W-merge-stgy-tranclp-cdcl_W-merge rtranclp-mono[of cdcl_W-merge-stgy cdcl_W-merge⁺⁺]*

unfolding *tranclp-rtranclp-rtranclp* **by** *blast*

lemma *cdcl_W-merge-stgy-rtranclp-cdcl_W:*

*cdcl_W-merge-stgy S T \implies cdcl_W^{**} S T*

apply *(induction rule: cdcl_W-merge-stgy.induct)*

using *rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W* **unfolding** *full1-def*

apply *(simp add: tranclp-into-rtranclp)*

using *rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W cdcl_W-o.decide cdcl_W-other* **unfolding** *full-def*

by *(meson r-into-rtranclp rtranclp-trans)*

lemma *rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W:*

*cdcl_W-merge-stgy^{**} S T \implies cdcl_W^{**} S T*

using *rtrancpl-mono*[of *cdcl_W-merge-stgy cdcl_W***] *cdcl_W-merge-stgy-rtrancpl-cdcl_W* **by** *auto*

lemma *cdcl_W-merge-stgy-cases*[*consumes 1, case-names fw-s-cp fw-s-decide*]:
assumes
cdcl_W-merge-stgy S U
full1 cdcl_W-merge-cp S U \implies P
 $\bigwedge T. \text{decide } S \ T \implies \text{no-step } cdcl_W\text{-merge-cp } S \implies \text{full } cdcl_W\text{-merge-cp } T \ U \implies P$
shows *P*
using *assms* **by** (*auto simp: cdcl_W-merge-stgy.simps*)

inductive *cdcl_W-s'-w* :: '*st \Rightarrow 'st \Rightarrow bool* **where**
conflict': full1 cdcl_W-s'-without-decide S S' \implies cdcl_W-s'-w S S' |
decide': decide S S' \implies no-step cdcl_W-s'-without-decide S \implies full cdcl_W-s'-without-decide S' S''
 $\implies cdcl_W\text{-s'-w } S \ S''$

lemma *cdcl_W-s'-w-rtrancpl-cdcl_W*:
*cdcl_W-s'-w S T \implies cdcl_W** S T*
apply (*induction rule: cdcl_W-s'-w.induct*)
using *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W unfolding full1-def*
apply (*simp add: trancpl-into-rtrancpl*)
using *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W unfolding full-def*
by (*meson decide other rtrancpl-into-trancpl2 trancpl-into-rtrancpl*)

lemma *rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W*:
*cdcl_W-s'-w** S T \implies cdcl_W** S T*
using *rtrancpl-mono*[of *cdcl_W-s'-w cdcl_W***] *cdcl_W-s'-w-rtrancpl-cdcl_W* **by** *auto*

lemma *no-step-cdcl_W-cp-no-step-cdcl_W-s'-without-decide*:
assumes *no-step cdcl_W-cp S and conflicting S = None and inv: cdcl_W-M-level-inv S*
shows *no-step cdcl_W-s'-without-decide S*
by (*metis assms cdcl_W-cp.conflict' cdcl_W-cp.propagate' cdcl_W-merge-restart-cases trancplD*
conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide)

lemma *no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart*:
assumes *no-step cdcl_W-cp S and conflicting S = None*
shows *no-step cdcl_W-merge-cp S*
by (*metis assms(1) cdcl_W-cp.conflict' cdcl_W-cp.propagate' cdcl_W-merge-restart-cases trancplD*)

lemma *after-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*:
assumes *cdcl_W-s'-without-decide S T*
shows *no-step cdcl_W-cp T*
using *assms* **by** (*induction rule: cdcl_W-s'-without-decide.induct*) (*auto simp: full1-def full-def*)

lemma *no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*:
cdcl_W-all-struct-inv S \implies no-step cdcl_W-s'-without-decide S \implies no-step cdcl_W-cp S
by (*simp add: conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp*
no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp cdcl_W-all-struct-inv-def)

lemma *after-cdcl_W-s'-w-no-step-cdcl_W-cp*:
assumes *cdcl_W-s'-w S T and cdcl_W-all-struct-inv S*
shows *no-step cdcl_W-cp T*
using *assms*
proof (*induction rule: cdcl_W-s'-w.induct*)
case *conflict'*
then show *?case*
by (*auto simp: full1-def trancpl-unfold-end after-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*)

next
case (*decide'* S T U)
moreover
then have $cdcl_W^{**} S U$
using $rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W[of\ T\ U]\ cdcl_W.other[of\ S\ T]$
 $cdcl_W-o.decide$ **unfolding** *full-def* **by** *auto*
then have $cdcl_W-all-struct-inv\ U$
using $decide'.prems\ rtrancpl-cdcl_W-all-struct-inv-inv$ **by** *blast*
ultimately show *?case*
using $no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-cp$ **unfolding** *full-def* **by** *blast*
qed

lemma $rtrancpl-cdcl_W-s'-w-no-step-cdcl_W-cp-or-eq$:
assumes $cdcl_W-s'-w^{**} S\ T$ **and** $cdcl_W-all-struct-inv\ S$
shows $S = T \vee no-step\ cdcl_W-cp\ T$
using *assms*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show *?case* **by** *simp*
next
case (*step* $T\ U$)
moreover have $cdcl_W-all-struct-inv\ T$
using $rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W[of\ S\ U]\ assms(2)\ rtrancpl-cdcl_W-all-struct-inv-inv$
 $rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W\ step.hyps(1)$ **by** *blast*
ultimately show *?case* **using** $after-cdcl_W-s'-w-no-step-cdcl_W-cp$ **by** *fast*
qed

lemma $rtrancpl-cdcl_W-merge-stgy'-no-step-cdcl_W-cp-or-eq$:
assumes $cdcl_W-merge-stgy^{**} S\ T$ **and** $inv: cdcl_W-all-struct-inv\ S$
shows $S = T \vee no-step\ cdcl_W-cp\ T$
using *assms*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show *?case* **by** *simp*
next
case (*step* $T\ U$)
moreover have $cdcl_W-all-struct-inv\ T$
using $rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W[of\ S\ U]\ assms(2)\ rtrancpl-cdcl_W-all-struct-inv-inv$
 $rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W\ step.hyps(1)$
by (*meson* $rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W$)
ultimately show *?case*
using $after-cdcl_W-s'-w-no-step-cdcl_W-cp\ inv$ **unfolding** $cdcl_W-all-struct-inv-def$
by (*metis* $cdcl_W-all-struct-inv-def\ cdcl_W-merge-stgy.simps\ full1-def\ full-def$
 $no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp\ rtrancpl-cdcl_W-all-struct-inv-inv$
 $rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W\ trancpl.intros(1)\ trancpl-into-rtrancpl$)
qed

lemma $no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-bj$:
assumes $no-step\ cdcl_W-s'-without-decide\ S$ **and** $inv: cdcl_W-all-struct-inv\ S$
shows $no-step\ cdcl_W-bj\ S$
proof (*rule ccontr*)
assume $\neg ?thesis$
then obtain T **where** $S-T: cdcl_W-bj\ S\ T$
by *auto*
have $cdcl_W-all-struct-inv\ T$

using $S \text{-} T \text{ cdcl}_W \text{-all-struct-inv-inv inv other}$ by *blast*
 then obtain T' where $\text{full1 cdcl}_W \text{-bj } S \ T'$
 using $\text{cdcl}_W \text{-bj-exists-normal-form}[of \ T] \ \text{full-fullI } S \text{-} T$ unfolding $\text{cdcl}_W \text{-all-struct-inv-def}$
 by *metis*
 moreover
 then have $\text{cdcl}_W^{**} \ S \ T'$
 using $\text{rtranclp-mono}[of \ \text{cdcl}_W \text{-bj } \text{cdcl}_W] \ \text{cdcl}_W \text{.other } \text{cdcl}_W \text{-o.bj } \text{tranclp-into-rtranclp}[of \ \text{cdcl}_W \text{-bj}]$
 unfolding full1-def by *blast*
 then have $\text{cdcl}_W \text{-all-struct-inv } T'$
 using $\text{inv } \text{rtranclp-cdcl}_W \text{-all-struct-inv-inv}$ by *blast*
 then obtain U where $\text{full cdcl}_W \text{-cp } T' \ U$
 using $\text{cdcl}_W \text{-cp-normalized-element-all-inv}$ by *blast*
 moreover have $\text{no-step cdcl}_W \text{-cp } S$
 using $S \text{-} T$ by (*auto simp: cdcl}_W \text{-bj.simps elim: rulesE*)
 ultimately show *False*
 using $\text{assms cdcl}_W \text{-s'-without-decide.intros}(2)[of \ S \ T' \ U]$ by *fast*
 qed

lemma $\text{cdcl}_W \text{-s'-w-no-step-cdcl}_W \text{-bj}$:
 assumes $\text{cdcl}_W \text{-s'-w } S \ T$ and $\text{cdcl}_W \text{-all-struct-inv } S$
 shows $\text{no-step cdcl}_W \text{-bj } T$
 using *assms* apply *induction*
 using $\text{rtranclp-cdcl}_W \text{-s'-without-decide-rtranclp-cdcl}_W \ \text{rtranclp-cdcl}_W \text{-all-struct-inv-inv}$
 $\text{no-step-cdcl}_W \text{-s'-without-decide-no-step-cdcl}_W \text{-bj}$ unfolding full1-def
 apply (*meson tranclp-into-rtranclp*)
 using $\text{rtranclp-cdcl}_W \text{-s'-without-decide-rtranclp-cdcl}_W \ \text{rtranclp-cdcl}_W \text{-all-struct-inv-inv}$
 $\text{no-step-cdcl}_W \text{-s'-without-decide-no-step-cdcl}_W \text{-bj}$ unfolding full-def
 by (*meson cdcl}_W \text{-merge-restart-cdcl}_W \ \text{fw-r-decide}*)

lemma $\text{rtranclp-cdcl}_W \text{-s'-w-no-step-cdcl}_W \text{-bj-or-eq}$:
 assumes $\text{cdcl}_W \text{-s'-w}^{**} \ S \ T$ and $\text{cdcl}_W \text{-all-struct-inv } S$
 shows $S = T \vee \text{no-step cdcl}_W \text{-bj } T$
 using *assms* apply *induction*
 apply *simp*
 using $\text{rtranclp-cdcl}_W \text{-s'-w-rtranclp-cdcl}_W \ \text{rtranclp-cdcl}_W \text{-all-struct-inv-inv}$
 $\text{cdcl}_W \text{-s'-w-no-step-cdcl}_W \text{-bj}$ by *meson*

lemma $\text{rtranclp-cdcl}_W \text{-s'-no-step-cdcl}_W \text{-s'-without-decide-decomp-into-cdcl}_W \text{-merge}$:
 assumes
 $\text{cdcl}_W \text{-s'}^{**} \ R \ V$ and
 $\text{conflicting } R = \text{None}$ and
 $\text{inv: cdcl}_W \text{-all-struct-inv } R$
 shows $(\text{cdcl}_W \text{-merge-stgy}^{**} \ R \ V \wedge \text{conflicting } V = \text{None})$
 $\vee (\text{cdcl}_W \text{-merge-stgy}^{**} \ R \ V \wedge \text{conflicting } V \neq \text{None} \wedge \text{no-step cdcl}_W \text{-bj } V)$
 $\vee (\exists \ S \ T \ U. \text{cdcl}_W \text{-merge-stgy}^{**} \ R \ S \wedge \text{no-step cdcl}_W \text{-merge-cp } S \wedge \text{decide } S \ T$
 $\wedge \text{cdcl}_W \text{-merge-cp}^{**} \ T \ U \wedge \text{conflict } U \ V)$
 $\vee (\exists \ S \ T. \text{cdcl}_W \text{-merge-stgy}^{**} \ R \ S \wedge \text{no-step cdcl}_W \text{-merge-cp } S \wedge \text{decide } S \ T$
 $\wedge \text{cdcl}_W \text{-merge-cp}^{**} \ T \ V$
 $\wedge \text{conflicting } V = \text{None})$
 $\vee (\text{cdcl}_W \text{-merge-cp}^{**} \ R \ V \wedge \text{conflicting } V = \text{None})$
 $\vee (\exists \ U. \text{cdcl}_W \text{-merge-cp}^{**} \ R \ U \wedge \text{conflict } U \ V)$
 using *assms*(1,2)
proof *induction*
 case *base*
 then show *?case* by *simp*

```

next
case (step V W) note st = this(1) and s' = this(2) and IH = this(3)[OF this(4)] and
  n-s-R = this(4)
from s'
show ?case
proof cases
  case conflict'
  consider
    (s') cdclW-merge-stgy** R V
  | (dec-confl) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V
  | (cp-confl) U where cdclW-merge-cp** R U and conflict U V
  using IH by meson
then show ?thesis
proof cases
next
  case s'
  then have R = V
  by (metis full1-def inv local.conflict' tranclp-unfold-begin
    rtranclp-cdclW-merge-stgy'-no-step-cdclW-cp-or-eq)
  consider
    (V-W) V = W
  | (propa) propagate++ V W and conflicting W = None
  | (propa-confl) V' where propagate** V V' and conflict V' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
  case V-W
  then show ?thesis using ⟨R = V⟩ n-s-R by simp
next
  case propa
  then show ?thesis using ⟨R = V⟩ by auto
next
  case propa-confl
  moreover
    then have cdclW-merge-cp** V V'
    by (metis rtranclp-unfold cdclW-merge-cp.propagate' r-into-rtranclp)
  ultimately show ?thesis using s' ⟨R = V⟩ by blast
qed
next
case dec-confl note - = this(5)
then have False using conflict' unfolding full1-def by (auto dest!: tranclpD elim: rulesE)
then show ?thesis by fast
next
case dec note T-V = this(4)
consider
  (propa) propagate++ V W and conflicting W = None
  | (propa-confl) V' where propagate** V V' and conflict V' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full1-def by meson
then show ?thesis

```



```

proof cases
  case propa
  then show ?thesis
    by (meson T-V cdclW-merge-cp.propagate' dec rtranclp.rtrancl-into-rtrancl)
next
  case propa-conf1
  then have cdclW-merge-cp** T V'
    using T-V by (metis rtranclp-unfold cdclW-merge-cp.propagate' rtranclp.simps)
  then show ?thesis using dec propa-conf1(2) by metis
qed
next
case cp
consider
  (propa) propagate++ V W and conflicting W = None
  | (propa-conf1) V' where propagate** V V' and conflict V' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full1-def by meson
then show ?thesis
  proof cases
    case propa
    then show ?thesis by (meson cdclW-merge-cp.propagate' cp
      rtranclp.rtrancl-into-rtrancl)
    next
    case propa-conf1
    then show ?thesis
      using propa-conf1(2) cp
      by (metis (full-types) cdclW-merge-cp.propagate' rtranclp.rtrancl-into-rtrancl
        rtranclp-unfold)
    qed
  next
  case cp-conf1
  then show ?thesis using conflict' unfolding full1-def by (fastforce dest!: tranclpD
    elim!: rulesE)
  qed
next
case (decide' V')
then have conf-V: conflicting V = None
  by (auto elim: rulesE)
consider
  (s') cdclW-merge-stgy** R V
  | (dec-conf1) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V
  | (cp-conf1) U where cdclW-merge-cp** R U and conflict U V
  using IH by meson
then show ?thesis
  proof cases
    case s'
    have conf1-V': conflicting V' = None using decide'(1) by (auto elim: rulesE)
    have full: full1 cdclW-cp V' W  $\vee$  (V' = W  $\wedge$  no-step cdclW-cp W)
      using decide'(3) unfolding full-unfold by blast
    consider
      (V'-W) V' = W

```

```

| (propa) propagate++ V' W and conflicting W = None
| (propa-conf) V'' where propagate** V' V'' and conflict V'' W
using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] decide'
⟨full1 cdclW-cp V' W ∨ V' = W ∧ no-step cdclW-cp W⟩ unfolding full1-def
by (metis tranclp-cdclW-cp-propagate-with-conflict-or-not)
then show ?thesis
proof cases
  case V'-W
  then show ?thesis
    using confl-V' local.decide'(1,2) s' conf-V
    no-step-cdclW-cp-no-step-cdclW-merge-restart[of V]
    by auto
  next
  case propa
  then show ?thesis using local.decide'(1,2) s' by (metis cdclW-merge-cp.simps conf-V
    no-step-cdclW-cp-no-step-cdclW-merge-restart r-into-rtranclp)
  next
  case propa-conf
  then have cdclW-merge-cp** V' V''
    by (metis rtranclp-unfold cdclW-merge-cp.propagate' r-into-rtranclp)
  then show ?thesis
    using local.decide'(1,2) propa-conf(2) s' conf-V
    no-step-cdclW-cp-no-step-cdclW-merge-restart
    by metis
  qed
next
case (dec) note s' = this(1) and dec = this(2) and cp = this(3) and ns-cp-T = this(4)
have full cdclW-merge-cp T V
  unfolding full-def by (simp add: conf-V local.decide'(2)
    no-step-cdclW-cp-no-step-cdclW-merge-restart ns-cp-T)
moreover have no-step cdclW-merge-cp V
  by (simp add: conf-V local.decide'(2) no-step-cdclW-cp-no-step-cdclW-merge-restart)
moreover have no-step cdclW-merge-cp S
  by (metis dec)
ultimately have cdclW-merge-stgy S V
  using cp by blast
then have cdclW-merge-stgy** R V using s' by auto
consider
  (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-conf) V'' where propagate** V' V'' and conflict V'' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] decide'
  unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
  case V'-W
  moreover have conflicting V' = None
    using decide'(1) by (auto elim: rulesE)
  ultimately show ?thesis
    using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩ by blast
  next
  case propa
  moreover then have cdclW-merge-cp V' W
    by auto
  ultimately show ?thesis

```

```

    using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩
    by (meson r-into-rtrancpl)
next
case propa-confl
moreover then have cdclW-merge-cp** V' V''
  by (metis cdclW-merge-cp.propagate' rtrancpl-unfold trancpl-unfold-end)
ultimately show ?thesis using ⟨cdclW-merge-stgy** R V⟩ decide'
  ⟨no-step cdclW-merge-cp V⟩ by (meson r-into-rtrancpl)
qed
next
case cp
have no-step cdclW-merge-cp V
  using conf-V local.decide'(2) no-step-cdclW-cp-no-step-cdclW-merge-restart by auto
then have full cdclW-merge-cp R V
  unfolding full-def using cp by fast
then have cdclW-merge-stgy** R V
  unfolding full-unfold by auto
have full1 cdclW-cp V' W ∨ (V' = W ∧ no-step cdclW-cp W)
  using decide'(3) unfolding full-unfold by blast

consider
  (V'-W) V' = W
| (propa) propagate++ V' W and conflicting W = None
| (propa-confl) V'' where propagate** V' V'' and conflict V'' W
using trancpl-cdclW-cp-propagate-with-conflict-or-not[of V' W] decide'
unfolding full-unfold full1-def by meson
then show ?thesis

proof cases
case V'-W
moreover have conflicting V' = None
  using decide'(1) by (auto elim: rulesE)
ultimately show ?thesis
  using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩ by blast
next
case propa
moreover then have cdclW-merge-cp V' W
  by auto
ultimately show ?thesis using ⟨cdclW-merge-stgy** R V⟩ decide'
  ⟨no-step cdclW-merge-cp V⟩ by (meson r-into-rtrancpl)
next
case propa-confl
moreover then have cdclW-merge-cp** V' V''
  by (metis cdclW-merge-cp.propagate' rtrancpl-unfold trancpl-unfold-end)
ultimately show ?thesis using ⟨cdclW-merge-stgy** R V⟩ decide'
  ⟨no-step cdclW-merge-cp V⟩ by (meson r-into-rtrancpl)
qed
next
case (dec-confl)
show ?thesis using conf-V dec-confl(5) by (auto elim!: rulesE
  simp del: state-simp simp: state-eq-def)
next
case cp-confl
then show ?thesis using decide' apply — by (intro HOL.disjI2) (fastforce elim: rulesE
  simp del: state-simp simp: state-eq-def)

```

```

qed
next
case (bj' V')
then have ¬no-step cdclW-bj V
  by (auto dest: tranclpD simp: full1-def)
then consider
  (s') cdclW-merge-stgy** R V and conflicting V = None
  | (dec-conf) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V and conflicting V = None
  | (cp-conf) U where cdclW-merge-cp** R U and conflict U V
using IH by meson
then show ?thesis
proof cases
case s' note - = this(2)
then have False
  using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdclW-bj.simps
    elim: rulesE)
then show ?thesis by fast
next
case dec note - = this(5)
then have False
  using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdclW-bj.simps
    elim: rulesE)
then show ?thesis by fast
next
case dec-conf
then have cdclW-merge-cp U V'
  using bj' cdclW-merge-cp.intros(1)[of U V V'] by (simp add: full-unfold)
then have cdclW-merge-cp** T V'
  using dec-conf(4) by simp
consider
  (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-conf) V'' where propagate** V' V'' and conflict V'' W
using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] bj'(3)
unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
case V'-W
then have no-step cdclW-cp V'
  using bj'(3) unfolding full-def by auto
then have no-step cdclW-merge-cp V'
  by (metis cdclW-cp.propagate' cdclW-merge-cp.cases tranclpD
    no-step-cdclW-cp-no-conflict-no-propagate(1) )
then have full1 cdclW-merge-cp T V'
  unfolding full1-def using ⟨cdclW-merge-cp U V'⟩ dec-conf(4) by auto
then have full cdclW-merge-cp T V'
  by (simp add: full-unfold)
then have cdclW-merge-stgy S V'
  using dec-conf(3) cdclW-merge-stgy.fw-s-decide ⟨no-step cdclW-merge-cp S⟩ by blast
then have cdclW-merge-stgy** R V'
  using ⟨cdclW-merge-stgy** R S⟩ by auto

```

```

show ?thesis
proof cases
  assume conflicting W = None
  then show ?thesis using ⟨cdclW-merge-stgy** R V'⟩ ⟨V' = W⟩ by auto
next
  assume conflicting W ≠ None
  then show ?thesis
    using ⟨cdclW-merge-stgy** R V'⟩ ⟨V' = W⟩ by (metis ⟨cdclW-merge-cp U V'⟩
      conflictE conflicting-not-true-rtrancp-cdclW-merge-cp-no-step-cdclW-bj
      dec-confl(5) map-option-is-None r-into-rtrancp)
  qed
next
  case propa
  moreover then have cdclW-merge-cp V' W
  by auto
  ultimately show ?thesis using decide' by (meson ⟨cdclW-merge-cp** T V'⟩ dec-confl(1-3)
    rtrancp.rtrancp-into-rtrancp)
next
  case propa-confl
  moreover then have cdclW-merge-cp** V' V''
  by (metis cdclW-merge-cp.propagate' rtrancp-unfold trancp-unfold-end)
  ultimately show ?thesis by (meson ⟨cdclW-merge-cp** T V'⟩ dec-confl(1-3) rtrancp-trans)
  qed
next
  case cp note - = this(2)
  then show ?thesis using bj'(1) ⟨¬ no-step cdclW-bj V'⟩
    conflicting-not-true-rtrancp-cdclW-merge-cp-no-step-cdclW-bj by auto
next
  case cp-confl
  then have cdclW-merge-cp U V' by (simp add: cdclW-merge-cp.conflict' full-unfold
    local.bj'(1))
  consider
    (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
  using trancp-cdclW-cp-propagate-with-conflict-or-not[of V' W] bj'
  unfolding full-unfold full1-def by meson
  then show ?thesis

proof cases
  case V'-W
  show ?thesis
  proof cases
    assume conflicting V' = None
    then show ?thesis
      using V'-W ⟨cdclW-merge-cp U V'⟩ cp-confl(1) by force
  next
    assume confl: conflicting V' ≠ None
    then have no-step cdclW-merge-stgy V'
      by (fastforce simp: cdclW-merge-stgy.simps full1-def full-def
        cdclW-merge-cp.simps dest!: trancpD elim: rulesE)
    have no-step cdclW-merge-cp V'
      using confl by (auto simp: full1-def full-def cdclW-merge-cp.simps
        dest!: trancpD elim: rulesE)
    moreover have cdclW-merge-cp U W

```

```

    using  $V'-W \langle cdcl_W\text{-merge-cp } U \ V' \rangle$  by blast
  ultimately have  $full1 \ cdcl_W\text{-merge-cp } R \ V'$ 
    using  $cp\text{-confl}(1) \ V'-W$  unfolding  $full1\text{-def}$  by auto
  then have  $cdcl_W\text{-merge-stgy } R \ V'$ 
    by auto
  moreover have  $no\text{-step } cdcl_W\text{-merge-stgy } V'$ 
    using  $confl \langle no\text{-step } cdcl_W\text{-merge-cp } V' \rangle$  by (auto simp:  $cdcl_W\text{-merge-stgy.simps}$ 
       $full1\text{-def}$   $dest!$ :  $tranclpD$   $elim$ :  $rulesE$ )
  ultimately have  $cdcl_W\text{-merge-stgy}^{**} R \ V'$  by auto
  { fix  $ss :: 'st$ 
    have  $cdcl_W\text{-merge-cp } U \ W$ 
      using  $V'-W \langle cdcl_W\text{-merge-cp } U \ V' \rangle$  by blast
    then have  $\neg cdcl_W\text{-bj } W \ ss$ 
      by (meson  $conflicting\text{-not-true-rtranclp-cdcl_W\text{-merge-cp-no-step-cdcl_W-bj}$ 
         $cp\text{-confl}(1) \ rtranclp.rtrancl\text{-into-rtrancl}$   $step.prem$ s)
    then have  $cdcl_W\text{-merge-stgy}^{**} R \ W \wedge conflicting \ W = None \vee$ 
       $cdcl_W\text{-merge-stgy}^{**} R \ W \wedge \neg cdcl_W\text{-bj } W \ ss$ 
      using  $V'-W \langle cdcl_W\text{-merge-stgy}^{**} R \ V' \rangle$  by presburger }
  then show  $?thesis$ 
    by presburger
qed
next
case  $propa$ 
moreover then have  $cdcl_W\text{-merge-cp } V' \ W$ 
  by auto
ultimately show  $?thesis$  using  $\langle cdcl_W\text{-merge-cp } U \ V' \rangle \ cp\text{-confl}(1)$  by force
next
case  $propa\text{-confl}$ 
moreover then have  $cdcl_W\text{-merge-cp}^{**} V' \ V''$ 
  by (metis  $cdcl_W\text{-merge-cp.propagate}' \ rtranclp\text{-unfold } tranclp\text{-unfold-end}$ )
ultimately show  $?thesis$ 
  using  $\langle cdcl_W\text{-merge-cp } U \ V' \rangle \ cp\text{-confl}(1)$  by (metis  $rtranclp.rtrancl\text{-into-rtrancl}$ 
     $rtranclp\text{-trans}$ )
qed
qed
qed
qed

```

lemma $decide\text{-rtranclp-cdcl}_W\text{-s}'\text{-rtranclp-cdcl}_W\text{-s}'$:

assumes

dec : $decide \ S \ T$ **and**

$cdcl_W\text{-s}'^{**} \ T \ U$ **and**

$n\text{-s-S}$: $no\text{-step } cdcl_W\text{-cp } S$ **and**

$no\text{-step } cdcl_W\text{-cp } U$

shows $cdcl_W\text{-s}'^{**} \ S \ U$

using $assms(2,4)$

proof *induction*

case $(step \ U \ V)$ **note** $st = this(1)$ **and** $s' = this(2)$ **and** $IH = this(3)$ **and** $n\text{-s} = this(4)$

consider

$(TU) \ T = U$

| $(s'\text{-st}) \ T'$ **where** $cdcl_W\text{-s}' \ T \ T'$ **and** $cdcl_W\text{-s}'^{**} \ T' \ U$

using $st[unfolding \ rtranclp\text{-unfold}]$ **by** (auto $dest!$: $tranclpD$)

then show $?case$

proof *cases*

case TU

```

then show ?thesis
proof -
  assume a1:  $T = U$ 
  then have f2:  $cdcl_W-s' T V$ 
    using  $s'$  by force
  obtain ss :: ' $st$  where
    ss:  $cdcl_W-s'^{**} S T \vee cdcl_W-cp T ss$ 
    using a1 step.IH by blast-
  obtain ssa :: ' $st \Rightarrow 'st$  where
    f3:  $\forall s sa sb. (\neg decide s sa \vee cdcl_W-cp s (ssa s) \vee \neg full cdcl_W-cp sa sb) \vee cdcl_W-s' s sb$ 
    using  $cdcl_W-s'.decide'$  by moura
  have  $\forall s sa. \neg cdcl_W-s' s sa \vee full1 cdcl_W-cp s sa \vee (\exists sb. decide s sb \wedge no-step cdcl_W-cp s \wedge full cdcl_W-cp sb sa) \vee (\exists sb. full1 cdcl_W-bj s sb \wedge no-step cdcl_W-cp s \wedge full cdcl_W-cp sb sa)$ 
    by (metis  $cdcl_W-s'E$ )
  then have  $\exists s. cdcl_W-s'^{**} S s \wedge cdcl_W-s' s V$ 
    using f3 ss f2 by (metis dec full1-is-full n-s-S rtranclp-unfold)
  then show ?thesis
    by force
qed
next
case ( $s'-st T'$ ) note  $s'-T' = this(1)$  and  $st = this(2)$ 
have  $cdcl_W-s'^{**} S T'$ 
  using  $s'-T'$ 
proof cases
  case conflict'
  then have  $cdcl_W-s' S T'$ 
    using dec  $cdcl_W-s'.decide' n-s-S$  by (simp add: full-unfold)
  then show ?thesis
    using st by auto
next
  case ( $decide' T''$ )
  then have  $cdcl_W-s' S T$ 
    using dec  $cdcl_W-s'.decide' n-s-S$  by (simp add: full-unfold)
  then show ?thesis using  $decide' s'-T'$  by auto
next
  case bj'
  then have False
    using dec unfolding full1-def by (fastforce dest!: tranclpD simp:  $cdcl_W-bj.simps$  elim: rulesE)
  then show ?thesis by fast
qed
then show ?thesis using  $s' st$  by auto
qed
next
case base
then have  $full cdcl_W-cp T T$ 
  by (simp add: full-unfold)
then show ?case
  using  $cdcl_W-s'.simps$  dec n-s-S by auto
qed

lemma  $rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-s'$ :
  assumes

```

```

    cdclW-merge-stgy** R V and
    inv: cdclW-all-struct-inv R
  shows cdclW-s'** R V
  using assms(1)
proof induction
  case base
  then show ?case by simp
next
  case (step S T) note st = this(1) and fw = this(2) and IH = this(3)
  have cdclW-all-struct-inv S
    using inv rtranclp-cdclW-all-struct-inv-inv rtranclp-cdclW-merge-stgy-rtranclp-cdclW st by blast
  from fw show ?case
  proof (cases rule: cdclW-merge-stgy-cases)
    case fw-s-cp
    have  $\bigwedge s. \neg \text{full } \text{cdcl}_W\text{-merge-cp } s \ S$ 
      using fw-s-cp unfolding full-def full1-def by (metis tranclp-unfold-begin)
    then have S = R
      using fw-s-cp unfolding full1-def by (metis cdclW-cp.conflict' cdclW-cp.propagate'
        cdclW-merge-cp.cases tranclp-unfold-begin inv st
        rtranclp-cdclW-merge-stgy'-no-step-cdclW-cp-or-eq)
    then have full1 cdclW-s'-without-decide R T
      using inv local.fw-s-cp
      by (blast intro: conflicting-true-full1-cdclW-merge-cp-imp-full1-cdclW-s'-without-decode)
    then show ?thesis unfolding full1-def
      by (metis (no-types) rtranclp-cdclW-s'-without-decide-rtranclp-cdclW-s' rtranclp-unfold)
  next
    case (fw-s-decide S') note dec = this(1) and n-S = this(2) and full = this(3)
    moreover then have conflicting S' = None
      by (auto elim: rulesE)
    ultimately have full cdclW-s'-without-decide S' T
      by (meson  $\langle \text{cdcl}_W\text{-all-struct-inv } S \rangle$  cdclW-merge-restart-cdclW fw-r-decide
        rtranclp-cdclW-all-struct-inv-inv
        conflicting-true-full-cdclW-merge-cp-iff-full-cdclW-s'-without-decode)
    then have a1: cdclW-s'** S' T
      unfolding full-def by (metis (full-types) rtranclp-cdclW-s'-without-decide-rtranclp-cdclW-s')
    have cdclW-merge-stgy** S T
      using fw by blast
    then have cdclW-s'** S T
      using decide-rtranclp-cdclW-s'-rtranclp-cdclW-s' a1 by (metis  $\langle \text{cdcl}_W\text{-all-struct-inv } S \rangle$  dec
        n-S no-step-cdclW-merge-cp-no-step-cdclW-cp cdclW-all-struct-inv-def
        rtranclp-cdclW-merge-stgy'-no-step-cdclW-cp-or-eq)
    then show ?thesis using IH by auto
  qed
qed

```

lemma *rtranclp-cdcl_W-merge-stgy-distinct-mset-clauses:*
assumes *invR: cdcl_W-all-struct-inv R and*
*st: cdcl_W-merge-stgy^{**} R S and*
dist: distinct-mset (clauses R) and
R: trail R = []
shows *distinct-mset (clauses S)*
using *rtranclp-cdcl_W-stgy-distinct-mset-clauses[OF invR - dist R]*
*invR st rtranclp-mono[of cdcl_W-s' cdcl_W-stgy^{**}] cdcl_W-s'-is-rtranclp-cdcl_W-stgy*
by *(auto dest!: cdcl_W-s'-is-rtranclp-cdcl_W-stgy rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-s')*


```

lemma no-step-cdclW-s'-no-step-cdclW-merge-stgy:
  assumes
    inv: cdclW-all-struct-inv R and s': no-step cdclW-s' R
  shows no-step cdclW-merge-stgy R
proof –
  { fix ss :: 'st
    obtain ssa :: 'st  $\Rightarrow$  'st  $\Rightarrow$  'st where
      ff1:  $\bigwedge s$  sa.  $\neg$  cdclW-merge-stgy s sa  $\vee$  full1 cdclW-merge-cp s sa  $\vee$  decide s (ssa s sa)
      using cdclW-merge-stgy.cases by moura
    obtain ssb :: ('st  $\Rightarrow$  'st  $\Rightarrow$  bool)  $\Rightarrow$  'st  $\Rightarrow$  'st  $\Rightarrow$  'st where
      ff2:  $\bigwedge p$  s sa.  $\neg$  p++ s sa  $\vee$  p s (ssb p s sa)
      by (meson tranclp-unfold-begin)
    obtain ssc :: 'st  $\Rightarrow$  'st where
      ff3:  $\bigwedge s$  sa sb. ( $\neg$  cdclW-all-struct-inv s  $\vee$   $\neg$  cdclW-cp s sa  $\vee$  cdclW-s' s (ssc s))
       $\wedge$  ( $\neg$  cdclW-all-struct-inv s  $\vee$   $\neg$  cdclW-o s sb  $\vee$  cdclW-s' s (ssc s))
      using n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o by moura
    then have ff4:  $\bigwedge s$ .  $\neg$  cdclW-o R s
      using s' inv by blast
    have ff5:  $\bigwedge s$ .  $\neg$  cdclW-cp++ R s
      using ff3 ff2 s' by (metis inv)
    have  $\bigwedge s$ .  $\neg$  cdclW-bj++ R s
      using ff4 ff2 by (metis bj)
    then have  $\bigwedge s$ .  $\neg$  cdclW-s'-without-decide R s
      using ff5 by (simp add: cdclW-s'-without-decide.simps full1-def)
    then have  $\neg$  cdclW-s'-without-decide++ R ss
      using ff2 by blast
    then have  $\neg$  full1 cdclW-s'-without-decide R ss
      by (simp add: full1-def)
    then have  $\neg$  cdclW-merge-stgy R ss
      using ff4 ff1 conflicting-true-full1-cdclW-merge-cp-imp-full1-cdclW-s'-without-decode inv
      by blast }
    then show ?thesis
      by fastforce
  }
qed
end

```

We will discharge the assumption later.

```

locale conflict-driven-clause-learningW-termination =
  conflict-driven-clause-learningW +
  assumes wf-cdclW-merge-inv: wf {(T, S). cdclW-all-struct-inv S  $\wedge$  cdclW-merge S T}
begin

```

```

lemma wf-tranclp-cdclW-merge: wf {(T, S). cdclW-all-struct-inv S  $\wedge$  cdclW-merge++ S T}
  using wf-trancl[OF wf-cdclW-merge-inv]
  apply (rule wf-subset)
  by (auto simp: trancl-set-tranclp
    cdclW-all-struct-inv-tranclp-cdclW-merge-tranclp-cdclW-merge-cdclW-all-struct-inv)

```

```

lemma wf-cdclW-merge-cp:
  wf{(T, S). cdclW-all-struct-inv S  $\wedge$  cdclW-merge-cp S T}
  using wf-tranclp-cdclW-merge by (rule wf-subset) (auto simp: cdclW-merge-cp-tranclp-cdclW-merge)

```

```

lemma wf-cdclW-merge-stgy:
  wf{(T, S). cdclW-all-struct-inv S  $\wedge$  cdclW-merge-stgy S T}
  using wf-tranclp-cdclW-merge by (rule wf-subset)

```

(*auto simp add: cdcl_W-merge-stgy-tranclp-cdcl_W-merge*)

lemma *cdcl_W-merge-cp-obtain-normal-form:*

assumes *inv: cdcl_W-all-struct-inv R*

obtains *S* **where** *full cdcl_W-merge-cp R S*

proof –

obtain *S* **where** *full (λS T. cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T) R S*

using *wf-exists-normal-form-full[OF wf-cdcl_W-merge-cp]* **by** *blast*

then have

*st: (λS T. cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T)** R S* **and**

n-s: no-step (λS T. cdcl_W-all-struct-inv S ∧ cdcl_W-merge-cp S T) S

unfolding *full-def* **by** *blast+*

have *cdcl_W-merge-cp** R S*

using *st* **by** *induction auto*

moreover

have *cdcl_W-all-struct-inv S*

using *st inv*

apply (*induction rule: rtranclp-induct*)

apply *simp*

by (*meson r-into-rtranclp rtranclp-cdcl_W-all-struct-inv-inv*

rtranclp-cdcl_W-merge-cp-rtranclp-cdcl_W)

then have *no-step cdcl_W-merge-cp S*

using *n-s* **by** *auto*

ultimately show *?thesis*

using *that unfolding full-def* **by** *blast*

qed

lemma *no-step-cdcl_W-merge-stgy-no-step-cdcl_W-s':*

assumes

inv: cdcl_W-all-struct-inv R **and**

confl: conflicting R = None **and**

n-s: no-step cdcl_W-merge-stgy R

shows *no-step cdcl_W-s' R*

proof (*rule ccontr*)

assume $\neg ?thesis$

then obtain *S* **where** *cdcl_W-s' R S* **by** *auto*

then show *False*

proof *cases*

case *conflict'*

then obtain *S'* **where** *full1 cdcl_W-merge-cp R S'*

proof –

obtain *R' :: 'e* **where**

cdcl_W-merge-cp R R'

using *inv* **unfolding** *cdcl_W-all-struct-inv-def* **by** (*meson confl*

cdcl_W-s'-without-decide.simps conflict'

conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide)

then show *?thesis*

using *that* **by** (*metis cdcl_W-merge-cp-obtain-normal-form full-unfold inv*)

qed

then show *False* **using** *n-s* **by** *blast*

next

case (*decide' R'*)

then have *cdcl_W-all-struct-inv R'*

using *inv cdcl_W-all-struct-inv-inv cdcl_W.other cdcl_W-o.decide* **by** *meson*

then obtain *R''* **where** *full cdcl_W-merge-cp R' R''*

```

    using cdclW-merge-cp-obtain-normal-form by blast
  moreover have no-step cdclW-merge-cp R
    by (simp add: confl local.decide'(2) no-step-cdclW-cp-no-step-cdclW-merge-restart)
  ultimately show False using n-s cdclW-merge-stgy.intros local.decide'(1) by blast
next
case (bj' R')
then show False
  using confl no-step-cdclW-cp-no-step-cdclW-s'-without-decide inv
  unfolding cdclW-all-struct-inv-def by auto
qed
qed

lemma rtranclp-cdclW-merge-cp-no-step-cdclW-bj:
  assumes conflicting R = None and cdclW-merge-cp** R S
  shows no-step cdclW-bj S
  using assms conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj by auto

lemma rtranclp-cdclW-merge-stgy-no-step-cdclW-bj:
  assumes confl: conflicting R = None and cdclW-merge-stgy** R S
  shows no-step cdclW-bj S
  using assms(2)
proof induction
case base
then show ?case
  using confl by (auto simp: cdclW-bj.simps elim: rulesE)
next
case (step S T) note st = this(1) and fw = this(2) and IH = this(3)
have confl-S: conflicting S = None
  using fw apply cases
  by (auto simp: full1-def cdclW-merge-cp.simps dest!: tranclpD elim: rulesE)
from fw show ?case
proof cases
case fw-s-cp
then show ?thesis
  using rtranclp-cdclW-merge-cp-no-step-cdclW-bj confl-S
  by (simp add: full1-def tranclp-into-rtranclp)
next
case (fw-s-decide S')
moreover then have conflicting S' = None by (auto elim: rulesE)
ultimately show ?thesis
  using conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj
  unfolding full-def by meson
qed
qed

end

end
theory CDCL-W-Restart
imports CDCL-W-Merge
begin

```

21.5 Adding Restarts

```

locale cdclW-restart =
  conflict-driven-clause-learningW

```

— functions for clauses:
mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss

— functions for the conflicting clause:
mset-ccls union-ccls insert-ccls remove-clit

— conversion
ccls-of-cls cls-of-ccls

— functions for the state:
— access functions:
trail hd-raw-trail raw-init-clss raw-learned-clss backtrack-lvl raw-conflicting
— changing state:
cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
update-conflicting

— get state:
init-state
restart-state

for
mset-cls:: 'cls \Rightarrow 'v clause and
insert-cls :: 'v literal \Rightarrow 'cls \Rightarrow 'cls and
remove-lit :: 'v literal \Rightarrow 'cls \Rightarrow 'cls and

mset-clss:: 'clss \Rightarrow 'v clauses and
union-clss :: 'clss \Rightarrow 'clss \Rightarrow 'clss and
in-clss :: 'cls \Rightarrow 'clss \Rightarrow bool and
insert-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss and
remove-from-clss :: 'cls \Rightarrow 'clss \Rightarrow 'clss and

mset-ccls:: 'ccls \Rightarrow 'v clause and
union-ccls :: 'ccls \Rightarrow 'ccls \Rightarrow 'ccls and
insert-ccls :: 'v literal \Rightarrow 'ccls \Rightarrow 'ccls and
remove-clit :: 'v literal \Rightarrow 'ccls \Rightarrow 'ccls and

ccls-of-cls :: 'cls \Rightarrow 'ccls and
cls-of-ccls :: 'ccls \Rightarrow 'cls and

trail :: 'st \Rightarrow ('v, nat, 'v clause) marked-lits and
hd-raw-trail :: 'st \Rightarrow ('v, nat, 'cls) marked-lit and
raw-init-clss :: 'st \Rightarrow 'clss and
raw-learned-clss :: 'st \Rightarrow 'clss and
backtrack-lvl :: 'st \Rightarrow nat and
raw-conflicting :: 'st \Rightarrow 'ccls option and

cons-trail :: ('v, nat, 'cls) marked-lit \Rightarrow 'st \Rightarrow 'st and
tl-trail :: 'st \Rightarrow 'st and
add-init-cls :: 'cls \Rightarrow 'st \Rightarrow 'st and
add-learned-cls :: 'cls \Rightarrow 'st \Rightarrow 'st and
remove-cls :: 'cls \Rightarrow 'st \Rightarrow 'st and
update-backtrack-lvl :: nat \Rightarrow 'st \Rightarrow 'st and
update-conflicting :: 'ccls option \Rightarrow 'st \Rightarrow 'st and

init-state :: 'clss \Rightarrow 'st and

```

  restart-state :: 'st  $\Rightarrow$  'st +
  fixes f :: nat  $\Rightarrow$  nat
  assumes f: unbounded f
begin

```

The condition of the differences of cardinality has to be strict. Otherwise, you could be in a strange state, where nothing remains to do, but a restart is done. See the proof of well-foundedness.

inductive *cdcl_W-merge-with-restart* **where**

restart-step:

```

  (cdclW-merge-stgy  $\sim$  (card (set-mset (learned-clss T)) - card (set-mset (learned-clss S)))) S T
 $\implies$  card (set-mset (learned-clss T)) - card (set-mset (learned-clss S)) > f n
 $\implies$  restart T U  $\implies$  cdclW-merge-with-restart (S, n) (U, Suc n) |

```

restart-full: full1 cdcl_W-merge-stgy S T \implies cdcl_W-merge-with-restart (S, n) (T, Suc n)

lemma *cdcl_W-merge-with-restart* S T \implies cdcl_W-merge-restart** (fst S) (fst T)

by (induction rule: *cdcl_W-merge-with-restart.induct*)

```

  (auto dest!: relpowp-imp-rtranclp cdclW-merge-stgy-tranclp-cdclW-merge tranclp-into-rtranclp
    rtranclp-cdclW-merge-stgy-rtranclp-cdclW-merge rtranclp-cdclW-merge-tranclp-cdclW-merge-restart
    fw-r-rf cdclW-rf.restart
  simp: full1-def)

```

lemma *cdcl_W-merge-with-restart-rtranclp-cdcl_W*:

cdcl_W-merge-with-restart S T \implies cdcl_W** (fst S) (fst T)

by (induction rule: *cdcl_W-merge-with-restart.induct*)

```

  (auto dest!: relpowp-imp-rtranclp rtranclp-cdclW-merge-stgy-rtranclp-cdclW cdclW.rf
    cdclW-rf.restart tranclp-into-rtranclp simp: full1-def)

```

lemma *cdcl_W-merge-with-restart-increasing-number*:

cdcl_W-merge-with-restart S T \implies snd T = 1 + snd S

by (induction rule: *cdcl_W-merge-with-restart.induct*) auto

lemma full1 cdcl_W-merge-stgy S T \implies cdcl_W-merge-with-restart (S, n) (T, Suc n)

using restart-full **by** blast

lemma *cdcl_W-all-struct-inv-learned-clss-bound*:

assumes inv: *cdcl_W-all-struct-inv* S

shows set-mset (learned-clss S) \subseteq simple-clss (atms-of-mm (init-clss S))

proof

fix C

assume C: C \in set-mset (learned-clss S)

have distinct-mset C

using C inv **unfolding** *cdcl_W-all-struct-inv-def* *distinct-cdcl_W-state-def* *distinct-mset-set-def*

by auto

moreover **have** \neg tautology C

using C inv **unfolding** *cdcl_W-all-struct-inv-def* *cdcl_W-learned-clause-def* **by** auto

moreover

have atms-of C \subseteq atms-of-mm (learned-clss S)

using C **by** auto

then **have** atms-of C \subseteq atms-of-mm (init-clss S)

using inv **unfolding** *cdcl_W-all-struct-inv-def* *no-strange-atm-def* **by** force

moreover **have** finite (atms-of-mm (init-clss S))

using inv **unfolding** *cdcl_W-all-struct-inv-def* **by** auto

ultimately **show** C \in simple-clss (atms-of-mm (init-clss S))

using distinct-mset-not-tautology-implies-in-simple-clss simple-clss-mono

by blast
qed

lemma *cdcl_W-merge-with-restart-init-clss*:

cdcl_W-merge-with-restart S T \implies *cdcl_W-M-level-inv (fst S)* \implies
init-clss (fst S) = init-clss (fst T)

using *cdcl_W-merge-with-restart-rtrancpl-cdcl_W rtrancpl-cdcl_W-init-clss* by blast

lemma

wf {(T, S). cdcl_W-all-struct-inv (fst S) \wedge cdcl_W-merge-with-restart S T}

proof (rule ccontr)

assume \neg ?thesis

then obtain *g* where

g: $\bigwedge i. \text{cdcl}_W\text{-merge-with-restart } (g\ i) (g\ (\text{Suc } i))$ and

inv: $\bigwedge i. \text{cdcl}_W\text{-all-struct-inv } (\text{fst } (g\ i))$

unfolding *wf-iff-no-infinite-down-chain* by fast

{ fix *i*

have *init-clss (fst (g i)) = init-clss (fst (g 0))*

apply (induction *i*)

apply simp

using *g inv* unfolding *cdcl_W-all-struct-inv-def* by (metis *cdcl_W-merge-with-restart-init-clss*)

} note *init-g = this*

let ?S = *g 0*

have *finite (atms-of-mm (init-clss (fst ?S)))*

using *inv* unfolding *cdcl_W-all-struct-inv-def* by auto

have *snd-g*: $\bigwedge i. \text{snd } (g\ i) = i + \text{snd } (g\ 0)$

apply (induct-tac *i*)

apply simp

by (metis *Suc-eq-plus1-left add-Suc cdcl_W-merge-with-restart-increasing-number g*)

then have *snd-g-0*: $\bigwedge i. i > 0 \implies \text{snd } (g\ i) = i + \text{snd } (g\ 0)$

by blast

have *unbounded-f-g*: *unbounded* ($\lambda i. f\ (\text{snd } (g\ i))$)

using *f* unfolding *bounded-def* by (metis *add.commute f less-or-eq-imp-le snd-g not-bounded-nat-exists-larger not-le le-iff-add*)

obtain *k* where

f-g-k: $f\ (\text{snd } (g\ k)) > \text{card } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } (\text{fst } ?S))))$ and

$k > \text{card } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } (\text{fst } ?S))))$

using *not-bounded-nat-exists-larger[OF unbounded-f-g]* by blast

The following does not hold anymore with the non-strict version of cardinality in the definition.

{ fix *i*

assume *no-step cdcl_W-merge-stgy (fst (g i))*

with *g[of i]*

have *False*

proof (induction rule: *cdcl_W-merge-with-restart.induct*)

case (*restart-step T S n*) note *H = this(1)* and *c = this(2)* and *n-s = this(4)*

obtain *S'* where *cdcl_W-merge-stgy S S'*

using *H c* by (metis *gr-implies-not0 relpowp-E2*)

then show *False* using *n-s* by auto

next

case (*restart-full S T*)

then show *False* unfolding *full1-def* by (auto dest: *trancplD*)

qed

} note *H = this*

obtain m T **where**
 m : $m = \text{card} (\text{set-mset} (\text{learned-clss } T)) - \text{card} (\text{set-mset} (\text{learned-clss } (\text{fst } (g \ k))))$ **and**
 $m > f (\text{snd } (g \ k))$ **and**
 $\text{restart } T (\text{fst } (g \ (k+1)))$ **and**
 $\text{cdcl}_W\text{-merge-stgy}$: $(\text{cdcl}_W\text{-merge-stgy} \rightsquigarrow m) (\text{fst } (g \ k)) \ T$
using $g[\text{of } k] \ H[\text{of } \text{Suc } k]$ **by** $(\text{force simp: cdcl}_W\text{-merge-with-restart.simps full1-def})$
have $\text{cdcl}_W\text{-merge-stgy}^{**} (\text{fst } (g \ k)) \ T$
using $\text{cdcl}_W\text{-merge-stgy relpowp-imp-rtranclp}$ **by** metis
then have $\text{cdcl}_W\text{-all-struct-inv } T$
using $\text{inv}[\text{of } k] \ \text{rtranclp-cdcl}_W\text{-all-struct-inv-inv rtranclp-cdcl}_W\text{-merge-stgy-rtranclp-cdcl}_W$
by blast
moreover have $\text{card} (\text{set-mset} (\text{learned-clss } T)) - \text{card} (\text{set-mset} (\text{learned-clss } (\text{fst } (g \ k))))$
 $> \text{card} (\text{simple-clss} (\text{atms-of-mm} (\text{init-clss } (\text{fst } ?S))))$
unfolding $m[\text{symmetric}]$ **using** $\langle m > f (\text{snd } (g \ k)) \rangle \ f\text{-}g\text{-}k$ **by** linarith
then have $\text{card} (\text{set-mset} (\text{learned-clss } T))$
 $> \text{card} (\text{simple-clss} (\text{atms-of-mm} (\text{init-clss } (\text{fst } ?S))))$
by linarith
moreover
have $\text{init-clss } (\text{fst } (g \ k)) = \text{init-clss } T$
using $\langle \text{cdcl}_W\text{-merge-stgy}^{**} (\text{fst } (g \ k)) \ T \rangle \ \text{rtranclp-cdcl}_W\text{-merge-stgy-rtranclp-cdcl}_W$
 $\text{rtranclp-cdcl}_W\text{-init-clss inv}$ **unfolding** $\text{cdcl}_W\text{-all-struct-inv-def}$ **by** blast
then have $\text{init-clss } (\text{fst } ?S) = \text{init-clss } T$
using $\text{init-g}[\text{of } k]$ **by** auto
ultimately show False
using $\text{cdcl}_W\text{-all-struct-inv-learned-clss-bound}$
by $(\text{simp add: } \langle \text{finite} (\text{atms-of-mm} (\text{init-clss } (\text{fst } (g \ 0)))) \rangle \ \text{simple-clss-finite}$
 $\text{card-mono leD})$
qed

lemma $\text{cdcl}_W\text{-merge-with-restart-distinct-mset-clauses}$:
assumes $\text{invR: cdcl}_W\text{-all-struct-inv } (\text{fst } R)$ **and**
 $\text{st: cdcl}_W\text{-merge-with-restart } R \ S$ **and**
 $\text{dist: distinct-mset } (\text{clauses } (\text{fst } R))$ **and**
 $R: \text{trail } (\text{fst } R) = []$
shows $\text{distinct-mset } (\text{clauses } (\text{fst } S))$
using $\text{assms}(2,1,3,4)$
proof (induction)
case $(\text{restart-full } S \ T)$
then show $?case$ **using** $\text{rtranclp-cdcl}_W\text{-merge-stgy-distinct-mset-clauses}[\text{of } S \ T]$ **unfolding** full1-def
by $(\text{auto dest: tranclp-into-rtranclp})$
next
case $(\text{restart-step } T \ S \ n \ U)$
then have $\text{distinct-mset } (\text{clauses } T)$
using $\text{rtranclp-cdcl}_W\text{-merge-stgy-distinct-mset-clauses}[\text{of } S \ T]$ **unfolding** full1-def
by $(\text{auto dest: relpowp-imp-rtranclp})$
then show $?case$ **using** $\langle \text{restart } T \ U \rangle$ **by** $(\text{metis clauses-restart distinct-mset-union fstI}$
 $\text{mset-le-exists-conv restart.cases state-eq-clauses})$
qed

inductive $\text{cdcl}_W\text{-with-restart}$ **where**
 restart-step :
 $(\text{cdcl}_W\text{-stgy} \rightsquigarrow (\text{card} (\text{set-mset} (\text{learned-clss } T)) - \text{card} (\text{set-mset} (\text{learned-clss } S)))) \ S \ T \implies$
 $\text{card} (\text{set-mset} (\text{learned-clss } T)) - \text{card} (\text{set-mset} (\text{learned-clss } S)) > f \ n \implies$
 $\text{restart } T \ U \implies$
 $\text{cdcl}_W\text{-with-restart } (S, n) \ (U, \text{Suc } n) \mid$

restart-full: $\text{full1 } \text{cdcl}_W\text{-stgy } S \ T \implies \text{cdcl}_W\text{-with-restart } (S, n) \ (T, \text{Suc } n)$

lemma *cdcl_W-with-restart-rtrancp-cdcl_W*:

cdcl_W-with-restart $S \ T \implies \text{cdcl}_W^{**} (\text{fst } S) (\text{fst } T)$

apply (*induction rule*: *cdcl_W-with-restart.induct*)

by (*auto dest!*: *relpoup-imp-rtrancp trancp-into-rtrancp fw-r-rf*

cdcl_W-rf.restart rtrancp-cdcl_W-stgy-rtrancp-cdcl_W cdcl_W-merge-restart-cdcl_W

simp: *full1-def*)

lemma *cdcl_W-with-restart-increasing-number*:

cdcl_W-with-restart $S \ T \implies \text{snd } T = 1 + \text{snd } S$

by (*induction rule*: *cdcl_W-with-restart.induct*) *auto*

lemma *full1 cdcl_W-stgy S T \implies cdcl_W-with-restart (S, n) (T, Suc n)*

using *restart-full* **by** *blast*

lemma *cdcl_W-with-restart-init-clss*:

cdcl_W-with-restart $S \ T \implies \text{cdcl}_W\text{-M-level-inv } (\text{fst } S) \implies \text{init-clss } (\text{fst } S) = \text{init-clss } (\text{fst } T)$

using *cdcl_W-with-restart-rtrancp-cdcl_W rtrancp-cdcl_W-init-clss* **by** *blast*

lemma

wf $\{(T, S). \text{cdcl}_W\text{-all-struct-inv } (\text{fst } S) \wedge \text{cdcl}_W\text{-with-restart } S \ T\}$

proof (*rule ccontr*)

assume $\neg ?thesis$

then obtain *g* **where**

g: $\bigwedge i. \text{cdcl}_W\text{-with-restart } (g \ i) \ (g \ (\text{Suc } i))$ **and**

inv: $\bigwedge i. \text{cdcl}_W\text{-all-struct-inv } (\text{fst } (g \ i))$

unfolding *wf-iff-no-infinite-down-chain* **by** *fast*

{ fix *i*

have *init-clss* $(\text{fst } (g \ i)) = \text{init-clss } (\text{fst } (g \ 0))$

apply (*induction i*)

apply *simp*

using *g inv unfolding cdcl_W-all-struct-inv-def* **by** (*metis cdcl_W-with-restart-init-clss*)

} note *init-g = this*

let $?S = g \ 0$

have *finite* (*atms-of-mm* (*init-clss* ($\text{fst } ?S$))))

using *inv unfolding cdcl_W-all-struct-inv-def* **by** *auto*

have *snd-g*: $\bigwedge i. \text{snd } (g \ i) = i + \text{snd } (g \ 0)$

apply (*induct-tac i*)

apply *simp*

by (*metis Suc-eq-plus1-left add-Suc cdcl_W-with-restart-increasing-number g*)

then have *snd-g-0*: $\bigwedge i. i > 0 \implies \text{snd } (g \ i) = i + \text{snd } (g \ 0)$

by *blast*

have *unbounded-f-g*: *unbounded* $(\lambda i. f \ (\text{snd } (g \ i)))$

using *f unfolding bounded-def* **by** (*metis add.commute f less-or-eq-imp-le snd-g not-bounded-nat-exists-larger not-le le-iff-add*)

obtain *k* **where**

f-g-k: $f \ (\text{snd } (g \ k)) > \text{card } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } (\text{fst } ?S))))$ **and**

$k > \text{card } (\text{simple-clss } (\text{atms-of-mm } (\text{init-clss } (\text{fst } ?S))))$

using *not-bounded-nat-exists-larger*[*OF unbounded-f-g*] **by** *blast*

The following does not hold anymore with the non-strict version of cardinality in the definition.

{ fix *i*

assume *no-step cdcl_W-stgy* ($\text{fst } (g \ i)$)


```

with g[of i]
have False
proof (induction rule: cdclW-with-restart.induct)
  case (restart-step T S n) note H = this(1) and c = this(2) and n-s = this(4)
  obtain S' where cdclW-stgy S S'
  using H c by (metis gr-implies-not0 relpoup-E2)
  then show False using n-s by auto
next
  case (restart-full S T)
  then show False unfolding full1-def by (auto dest: tranclpD)
qed
} note H = this
obtain m T where
  m: m = card (set-mset (learned-clss T)) - card (set-mset (learned-clss (fst (g k)))) and
  m > f (snd (g k)) and
  restart T (fst (g (k+1))) and
  cdclW-merge-stgy: (cdclW-stgy  $\sim$  m) (fst (g k)) T
  using g[of k] H[of Suc k] by (force simp: cdclW-with-restart.simps full1-def)
have cdclW-stgy** (fst (g k)) T
  using cdclW-merge-stgy relpoup-imp-rtranclp by metis
then have cdclW-all-struct-inv T
  using inv[of k] rtranclp-cdclW-all-struct-inv-inv rtranclp-cdclW-stgy-rtranclp-cdclW by blast
moreover have card (set-mset (learned-clss T)) - card (set-mset (learned-clss (fst (g k))))
  > card (simple-clss (atms-of-mm (init-clss (fst ?S))))
  unfolding m[symmetric] using m > f (snd (g k)) f-g-k by linarith
then have card (set-mset (learned-clss T))
  > card (simple-clss (atms-of-mm (init-clss (fst ?S))))
  by linarith
moreover
  have init-clss (fst (g k)) = init-clss T
  using cdclW-stgy** (fst (g k)) T rtranclp-cdclW-stgy-rtranclp-cdclW rtranclp-cdclW-init-clss
  inv unfolding cdclW-all-struct-inv-def
  by blast
  then have init-clss (fst ?S) = init-clss T
  using init-g[of k] by auto
ultimately show False
  using cdclW-all-struct-inv-learned-clss-bound
  by (simp add: finite (atms-of-mm (init-clss (fst (g 0)))) simple-clss-finite
    card-mono leD)
qed

```

```

lemma cdclW-with-restart-distinct-mset-clauses:
  assumes invR: cdclW-all-struct-inv (fst R) and
  st: cdclW-with-restart R S and
  dist: distinct-mset (clauses (fst R)) and
  R: trail (fst R) = []
  shows distinct-mset (clauses (fst S))
  using assms(2,1,3,4)
proof (induction)
  case (restart-full S T)
  then show ?case using rtranclp-cdclW-stgy-distinct-mset-clauses[of S T] unfolding full1-def
    by (auto dest: tranclp-into-rtranclp)
next
  case (restart-step T S n U)
  then have distinct-mset (clauses T) using rtranclp-cdclW-stgy-distinct-mset-clauses[of S T]

```

```

    unfolding full1-def by (auto dest: relpowp-imp-rtrancp)
  then show ?case using (restart T U) by (metis clauses-restart distinct-mset-union fstI
    mset-le-exists-conv restart.cases state-eq-clauses)
qed
end

locale luby-sequence =
  fixes ur :: nat
  assumes ur > 0
begin

lemma exists-luby-decomp:
  fixes i :: nat
  shows  $\exists k::nat. (2^{k-1} \leq i \wedge i < 2^k - 1) \vee i = 2^k - 1$ 
proof (induction i)
  case 0
  then show ?case
    by (rule exI[of - 0], simp)
next
  case (Suc n)
  then obtain k where  $2^{k-1} \leq n \wedge n < 2^k - 1 \vee n = 2^k - 1$ 
    by blast
  then consider
    (st-interv)  $2^{k-1} \leq n$  and  $n \leq 2^k - 2$ 
  | (end-interv)  $2^{k-1} \leq n$  and  $n = 2^k - 2$ 
  | (pow2)  $n = 2^k - 1$ 
  by linarith
  then show ?case
  proof cases
    case st-interv
    then show ?thesis apply - apply (rule exI[of - k])
      by (metis (no-types, lifting) One-nat-def Suc-diff-Suc Suc-lessI
         $(2^{k-1} \leq n \wedge n < 2^k - 1 \vee n = 2^k - 1)$  diff-self-eq-0
        dual-order.trans le-SucI le-imp-less-Suc numeral-2-eq-2 one-le-numeral
        one-le-power zero-less-numeral zero-less-power)
    case end-interv
    then show ?thesis apply - apply (rule exI[of - k]) by auto
  next
    case pow2
    then show ?thesis apply - apply (rule exI[of - k+1]) by auto
  qed
qed

```

Luby sequences are defined by:

- $2^k - 1$, if $i = (2::'a)^k - (1::'a)$
- $\text{luby-sequence-core } (i - 2^{k-1} + 1)$, if $(2::'a)^{k-1} \leq i$ and $i \leq (2::'a)^k - (1::'a)$

Then the sequence is then scaled by a constant unit run (called *ur* here), strictly positive.

```

function luby-sequence-core :: nat  $\Rightarrow$  nat where
  luby-sequence-core i =
    (if  $\exists k. i = 2^k - 1$ 
    then  $2^{ur \cdot k} - 1$ 
    else  $2^{ur \cdot k} - 1$ )

```

```

    else luby-sequence-core (i - 2^((SOME k. 2^(k-1) ≤ i ∧ i < 2^k - 1) - 1) + 1))
  by auto
termination
proof (relation less-than, goal-cases)
  case 1
  then show ?case by auto
next
case (2 i)
let ?k = (SOME k. 2^(k - 1) ≤ i ∧ i < 2^k - 1)
have 2^(?k - 1) ≤ i ∧ i < 2^?k - 1
  apply (rule someI-ex)
  using 2 exists-luby-decomp by blast
then show ?case

proof -
  have ∀ n na. ¬ (1::nat) ≤ n ∨ 1 ≤ n^na
    by (meson one-le-power)
  then have f1: (1::nat) ≤ 2^(?k - 1)
    using one-le-numeral by blast
  have f2: i - 2^(?k - 1) + 2^(?k - 1) = i
    using (2^(?k - 1) ≤ i ∧ i < 2^?k - 1) le-add-diff-inverse2 by blast
  have f3: 2^?k - 1 ≠ Suc 0
    using f1 (2^(?k - 1) ≤ i ∧ i < 2^?k - 1) by linarith
  have 2^?k - (1::nat) ≠ 0
    using (2^(?k - 1) ≤ i ∧ i < 2^?k - 1) gr-implies-not0 by blast
  then have f4: 2^?k ≠ (1::nat)
    by linarith
  have f5: ∀ n na. if na = 0 then (n::nat)^na = 1 else n^na = n * n^(na - 1)
    by (simp add: power-eq-if)
  then have ?k ≠ 0
    using f4 by meson
  then have 2^(?k - 1) ≠ Suc 0
    using f5 f3 by presburger
  then have Suc 0 < 2^(?k - 1)
    using f1 by linarith
  then show ?thesis
    using f2 less-than-iff by presburger
qed
qed

function natlog2 :: nat ⇒ nat where
natlog2 n = (if n = 0 then 0 else 1 + natlog2 (n div 2))
  using not0-implies-Suc by auto
termination by (relation measure (λn. n)) auto

declare natlog2.simps[simp del]

declare luby-sequence-core.simps[simp del]

lemma two-pover-n-eq-two-power-n'-eq:
  assumes H: (2::nat)^(k::nat) - 1 = 2^k' - 1
  shows k' = k
proof -
  have (2::nat)^(k::nat) = 2^k'
    using H by (metis One-nat-def Suc-pred zero-less-numeral zero-less-power)

```

then show *?thesis* by *simp*
qed

lemma *luby-sequence-core-two-power-minus-one:*

luby-sequence-core $(2^k - 1) = 2^{(k-1)}$ (is *?L* = *?K*)

proof –

have *decomp*: $\exists ka. 2^k - 1 = 2^{ka} - 1$

by *auto*

have *?L* = $2^{((SOME\ k'. (2::nat)^k - 1 = 2^{k'} - 1) - 1)}$

apply (*subst luby-sequence-core.simps, subst decomp*)

by *simp*

moreover have $(SOME\ k'. (2::nat)^k - 1 = 2^{k'} - 1) = k$

apply (*rule some-equality*)

apply *simp*

using *two-pover-n-eq-two-power-n'-eq* by *blast*

ultimately show *?thesis* by *presburger*

qed

lemma *different-luby-decomposition-false:*

assumes

H: $2^k - (k - Suc\ 0) \leq i$ and

k': $i < 2^{k'} - Suc\ 0$ and

k-k': $k > k'$

shows *False*

proof –

have $2^{k'} - Suc\ 0 < 2^k - (k - Suc\ 0)$

using *k-k' less-eq-Suc-le* by *auto*

then show *?thesis*

using *H k'* by *linarith*

qed

lemma *luby-sequence-core-not-two-power-minus-one:*

assumes

k-i: $2^k - 1 \leq i$ and

i-k: $i < 2^k - 1$

shows *luby-sequence-core* $i = luby-sequence-core\ (i - 2^k - 1) + 1$

proof –

have *H*: $\neg (\exists ka. i = 2^{ka} - 1)$

proof (*rule ccontr*)

assume $\neg ?thesis$

then obtain *k'::nat* where *k'*: $i = 2^{k'} - 1$ by *blast*

have $(2::nat)^{k'} - 1 < 2^k - 1$

using *i-k unfolding k'*.

then have $(2::nat)^{k'} < 2^k$

by *linarith*

then have $k' < k$

by *simp*

have $2^k - 1 \leq 2^{k'} - (1::nat)$

using *k-i unfolding k'*.

then have $(2::nat)^k - 1 < 2^{k'}$

by (*metis Suc-diff-1 not-le not-less-eq zero-less-numeral zero-less-power*)

then have $k-1 < k'$

by *simp*

show *False* using $\langle k' < k \rangle \langle k-1 < k' \rangle$ by *linarith*

```

    qed
  have  $\bigwedge k k'. 2^{\wedge (k - \text{Suc } 0)} \leq i \implies i < 2^{\wedge k - \text{Suc } 0} \implies 2^{\wedge (k' - \text{Suc } 0)} \leq i \implies i < 2^{\wedge k' - \text{Suc } 0} \implies k = k'$ 
    by (meson different-luby-decomposition-false linorder-neqE-nat)
  then have k: (SOME k.  $2^{\wedge (k - \text{Suc } 0)} \leq i \wedge i < 2^{\wedge k - \text{Suc } 0}$ ) = k
    using k-i i-k by auto
  show ?thesis
    apply (subst luby-sequence-core.simps[of i], subst H)
    by (simp add: k)
qed

```

lemma *unbounded-luby-sequence-core: unbounded luby-sequence-core unfolding bounded-def*

proof

```

  assume  $\exists b. \forall n. \text{luby-sequence-core } n \leq b$ 
  then obtain b where b:  $\bigwedge n. \text{luby-sequence-core } n \leq b$ 
    by metis
  have luby-sequence-core ( $2^{\wedge (b+1)} - 1$ ) =  $2^{\wedge b}$ 
    using luby-sequence-core-two-power-minus-one[of b+1] by simp
  moreover have ( $2::\text{nat}$ ) $^{\wedge b} > b$ 
    by (induction b) auto
  ultimately show False using b[of  $2^{\wedge (b+1)} - 1$ ] by linarith
qed

```

abbreviation *luby-sequence :: nat \Rightarrow nat where*

*luby-sequence n \equiv ur * luby-sequence-core n*

lemma *bounded-luby-sequence: unbounded luby-sequence using bounded-const-product[of ur] luby-sequence-axioms luby-sequence-def unbounded-luby-sequence-core by blast*

lemma *luby-sequence-core-0: luby-sequence-core 0 = 1*

proof –

```

  have 0: ( $0::\text{nat}$ ) =  $2^{\wedge 0} - 1$ 
    by auto
  show ?thesis
    by (subst 0, subst luby-sequence-core-two-power-minus-one) simp
qed

```

lemma *luby-sequence-core n ≥ 1*

proof (induction n rule: nat-less-induct-case)

case 0

then show ?case by (simp add: luby-sequence-core-0)

next

case (Suc n) note IH = this

consider

```

  (interv) k where  $2^{\wedge (k - 1)} \leq \text{Suc } n$  and  $\text{Suc } n < 2^{\wedge k} - 1$ 
  | (pow2) k where  $\text{Suc } n = 2^{\wedge k} - \text{Suc } 0$ 
  using exists-luby-decomp[of Suc n] by auto

```

then show ?case

proof cases

case pow2

show ?thesis

```

    using luby-sequence-core-two-power-minus-one pow2 by auto
next
case interv
have n: Suc n - 2 ^ (k - 1) + 1 < Suc n
by (metis Suc-1 Suc-eq-plus1 add.commute add-diff-cancel-left' add-less-mono1 gr0I
    interv(1) interv(2) le-add-diff-inverse2 less-Suc-eq not-le power-0 power-one-right
    power-strict-increasing-iff)
show ?thesis
apply (subst luby-sequence-core-not-two-power-minus-one[OF interv])
using IH n by auto
qed
qed
end

locale luby-sequence-restart =
  luby-sequence ur +
  conflict-driven-clause-learningW — functions for clauses:
  mset-cls insert-cls remove-lit
  mset-clss union-clss in-clss insert-clss remove-from-clss

  — functions for the conflicting clause:
  mset-ccls union-ccls insert-ccls remove-clit

  — conversion
  ccls-of-cls cls-of-ccls

  — functions for the state:
  — access functions:
  trail hd-raw-trail raw-init-clss raw-learned-clss backtrack-lvl raw-conflicting
  — changing state:
  cons-trail tl-trail add-init-cls add-learned-cls remove-cls update-backtrack-lvl
  update-conflicting

  — get state:
  init-state
  restart-state
for
  ur :: nat and
  mset-cls :: 'cls ⇒ 'v clause and
  insert-cls :: 'v literal ⇒ 'cls ⇒ 'cls and
  remove-lit :: 'v literal ⇒ 'cls ⇒ 'cls and

  mset-clss :: 'clss ⇒ 'v clauses and
  union-clss :: 'clss ⇒ 'clss ⇒ 'clss and
  in-clss :: 'cls ⇒ 'clss ⇒ bool and
  insert-clss :: 'cls ⇒ 'clss ⇒ 'clss and
  remove-from-clss :: 'cls ⇒ 'clss ⇒ 'clss and

  mset-ccls :: 'ccls ⇒ 'v clause and
  union-ccls :: 'ccls ⇒ 'ccls ⇒ 'ccls and
  insert-ccls :: 'v literal ⇒ 'ccls ⇒ 'ccls and
  remove-clit :: 'v literal ⇒ 'ccls ⇒ 'ccls and

  ccls-of-cls :: 'cls ⇒ 'ccls and
  cls-of-ccls :: 'ccls ⇒ 'cls and

```

```

trail :: 'st ⇒ ('v, nat, 'v clause) marked-lits and
hd-raw-trail :: 'st ⇒ ('v, nat, 'cls) marked-lit and
raw-init-clss :: 'st ⇒ 'clss and
raw-learned-clss :: 'st ⇒ 'clss and
backtrack-lvl :: 'st ⇒ nat and
raw-conflicting :: 'st ⇒ 'ccls option and

cons-trail :: ('v, nat, 'cls) marked-lit ⇒ 'st ⇒ 'st and
tl-trail :: 'st ⇒ 'st and
add-init-clss :: 'cls ⇒ 'st ⇒ 'st and
add-learned-clss :: 'cls ⇒ 'st ⇒ 'st and
remove-clss :: 'cls ⇒ 'st ⇒ 'st and
update-backtrack-lvl :: nat ⇒ 'st ⇒ 'st and
update-conflicting :: 'ccls option ⇒ 'st ⇒ 'st and

init-state :: 'clss ⇒ 'st and
restart-state :: 'st ⇒ 'st
begin

sublocale cdclW-restart - - - - - luby-sequence
  apply unfold-locales
  using bounded-luby-sequence by blast

end
end
theory CDCL-WNOT
imports CDCL-NOT CDCL-W-Termination CDCL-W-Merge
begin

```

22 Link between Weidenbach's and NOT's CDCL

22.1 Inclusion of the states

```

declare upt.simps(2)[simp del]

fun convert-marked-lit-from-W where
  convert-marked-lit-from-W (Propagated L -) = Propagated L () |
  convert-marked-lit-from-W (Marked L -) = Marked L ()

abbreviation convert-trail-from-W ::
  ('v, 'lvl, 'a) marked-lit list
  ⇒ ('v, unit, unit) marked-lit list where
  convert-trail-from-W ≡ map convert-marked-lit-from-W

lemma lits-of-l-convert-trail-from-W[simp]:
  lits-of-l (convert-trail-from-W M) = lits-of-l M
  by (induction rule: marked-lit-list-induct) simp-all

lemma lit-of-convert-trail-from-W[simp]:
  lit-of (convert-marked-lit-from-W L) = lit-of L
  by (cases L) auto

lemma no-dup-convert-from-W[simp]:
  no-dup (convert-trail-from-W M) ⟷ no-dup M

```

by (*auto simp: comp-def*)

lemma *convert-trail-from-W-true-annots*[*simp*]:
 $\text{convert-trail-from-}W\ M \models_{as} C \longleftrightarrow M \models_{as} C$
by (*auto simp: true-annots-true-cls image-image lits-of-def*)

lemma *defined-lit-convert-trail-from-W*[*simp*]:
 $\text{defined-lit } (\text{convert-trail-from-}W\ S)\ L \longleftrightarrow \text{defined-lit } S\ L$
by (*auto simp: defined-lit-map image-comp*)

The values 0 and $\{\#\}$ are dummy values.

consts *dummy-cls* :: 'cls
fun *convert-marked-lit-from-NOT*
 $:: ('a, 'e, 'b) \text{marked-lit} \Rightarrow ('a, \text{nat}, 'cls) \text{marked-lit} \text{ where}$
 $\text{convert-marked-lit-from-NOT } (\text{Propagated } L\ -) = \text{Propagated } L\ \text{dummy-cls} \mid$
 $\text{convert-marked-lit-from-NOT } (\text{Marked } L\ -) = \text{Marked } L\ 0$

abbreviation *convert-trail-from-NOT* **where**
 $\text{convert-trail-from-NOT} \equiv \text{map } \text{convert-marked-lit-from-NOT}$

lemma *undefined-lit-convert-trail-from-NOT*[*simp*]:
 $\text{undefined-lit } (\text{convert-trail-from-NOT } F)\ L \longleftrightarrow \text{undefined-lit } F\ L$
by (*induction F rule: marked-lit-list-induct*) (*auto simp: defined-lit-map*)

lemma *lits-of-l-convert-trail-from-NOT*:
 $\text{lits-of-l } (\text{convert-trail-from-NOT } F) = \text{lits-of-l } F$
by (*induction F rule: marked-lit-list-induct*) *auto*

lemma *convert-trail-from-W-from-NOT*[*simp*]:
 $\text{convert-trail-from-}W\ (\text{convert-trail-from-NOT } M) = M$
by (*induction rule: marked-lit-list-induct*) *auto*

lemma *convert-trail-from-W-convert-lit-from-NOT*[*simp*]:
 $\text{convert-marked-lit-from-}W\ (\text{convert-marked-lit-from-NOT } L) = L$
by (*cases L*) *auto*

abbreviation *trail_{NOT}* **where**
 $\text{trail}_{NOT}\ S \equiv \text{convert-trail-from-}W\ (\text{fst } S)$

lemma *undefined-lit-convert-trail-from-W*[*iff*]:
 $\text{undefined-lit } (\text{convert-trail-from-}W\ M)\ L \longleftrightarrow \text{undefined-lit } M\ L$
by (*auto simp: defined-lit-map image-comp*)

lemma *lit-of-convert-marked-lit-from-NOT*[*iff*]:
 $\text{lit-of } (\text{convert-marked-lit-from-NOT } L) = \text{lit-of } L$
by (*cases L*) *auto*

sublocale $\text{state}_W \subseteq \text{dpll-state-ops}$
 $\text{mset-cls insert-cls remove-lit}$
 $\text{mset-clss union-clss in-clss insert-clss remove-from-clss}$
 $\lambda S. \text{convert-trail-from-}W\ (\text{trail } S)$
 raw-clauses
 $\lambda L\ S. \text{cons-trail } (\text{convert-marked-lit-from-NOT } L)\ S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C\ S. \text{add-learned-cls } C\ S$

$\lambda C S. \text{remove-cls } C S$
by *unfold-locales*

context *state_W*

begin

lemma *convert-marked-lit-from-W-convert-marked-lit-from-NOT[simp]:*
convert-marked-lit-from-W (mset-of-mlit (convert-marked-lit-from-NOT L)) = L
by (*cases L*) *auto*
end

sublocale *state_W ⊆ dpll-state*

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
 $\lambda S. \text{convert-trail-from-W } (\text{trail } S)$
raw-clauses
 $\lambda L S. \text{cons-trail } (\text{convert-marked-lit-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S$
by *unfold-locales (auto simp: map-tl o-def)*

context *state_W*

begin

declare *state-simp_{NOT}[simp del]*

end

sublocale *conflict-driven-clause-learning_W ⊆ cdcl_{NOT}-merge-bj-learn-ops*

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
 $\lambda S. \text{convert-trail-from-W } (\text{trail } S)$
raw-clauses
 $\lambda L S. \text{cons-trail } (\text{convert-marked-lit-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S$
 $\lambda - . \text{True}$
 $\lambda - S. \text{raw-conflicting } S = \text{None}$
 $\lambda C C' L' S T. \text{backjump-l-cond } C C' L' S T$
 $\wedge \text{distinct-mset } (C' + \{\#L'\#\}) \wedge \neg \text{tautology } (C' + \{\#L'\#\})$
by *unfold-locales*

thm *cdcl_{NOT}-merge-bj-learn-proxy.axioms*

sublocale *conflict-driven-clause-learning_W ⊆ cdcl_{NOT}-merge-bj-learn-proxy*

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
 $\lambda S. \text{convert-trail-from-W } (\text{trail } S)$
raw-clauses
 $\lambda L S. \text{cons-trail } (\text{convert-marked-lit-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S$

```

λ- -. True
λ- S. raw-conflicting S = None
backjump-l-cond
invNOT
proof (unfold-locales, goal-cases)
  case 2
  then show ?case using cdclNOT-merged-bj-learn-no-dup-inv by (auto simp: comp-def)
next
case (1 C' S C F' K F L)
moreover
  let ?C' = remdups-mset C'
  have L ∉ # C'
    using ⟨F ⊨as CNot C'⟩ ⟨undefined-lit F L⟩ Marked-Propagated-in-iff-in-lits-of-l
    in-CNot-implies-uminus(2) by fast
  then have distinct-mset (?C' + {#L#})
    by (simp add: distinct-mset-single-add)
moreover
  have no-dup F
    using ⟨invNOT S⟩ ⟨convert-trail-from-W (trail S) = F' @ Marked K () # F⟩
    unfolding invNOT-def
    by (smt comp-apply distinct.simps(2) distinct-append list.simps(9) map-append
        no-dup-convert-from-W)
  then have consistent-interp (lits-of-l F)
    using distinct-consistent-interp by blast
  then have ¬ tautology (C')
    using ⟨F ⊨as CNot C'⟩ consistent-CNot-not-tautology true-annots-true-cls by blast
  then have ¬ tautology (?C' + {#L#})
    using ⟨F ⊨as CNot C'⟩ ⟨undefined-lit F L⟩ by (metis CNot-remdups-mset
        Marked-Propagated-in-iff-in-lits-of-l add.commute in-CNot-uminus tautology-add-single
        tautology-remdups-mset true-annot-singleton true-annots-def)
show ?case
proof -
  have f2: no-dup (convert-trail-from-W (trail S))
    using ⟨invNOT S⟩ unfolding invNOT-def by (simp add: o-def)
  have f3: atm-of L ∈ atms-of-mm (clauses S)
    ∪ atm-of ' lits-of-l (convert-trail-from-W (trail S))
    using ⟨convert-trail-from-W (trail S) = F' @ Marked K () # F⟩
    ⟨atm-of L ∈ atms-of-mm (clauses S) ∪ atm-of ' lits-of-l (F' @ Marked K () # F)⟩ by auto
  have f4: clauses S ⊨pm remdups-mset C' + {#L#}
    by (metis (no-types) ⟨L ∉ # C'⟩ ⟨clauses S ⊨pm C' + {#L#}⟩ remdups-mset-singleton-sum(2)
        true-clss-cls-remdups-mset union-commute)
  have F ⊨as CNot (remdups-mset C')
    by (simp add: ⟨F ⊨as CNot C'⟩)
  obtain D where D: mset-cls D = remdups-mset C' + {#L#}
    using ex-mset-cls by blast
  have Ex (backjump-l S)
    apply standard
    apply (rule backjump-l.intros[OF - f2, of - - -])
    using f4 f3 f2 ⟨¬ tautology (remdups-mset C' + {#L#})⟩
    calculation(2-5,9) ⟨F ⊨as CNot (remdups-mset C')⟩
    state-eqNOT-ref D unfolding backjump-l-cond-def by blast+
  then show ?thesis
    by blast
qed
qed

```

```

sublocale conflict-driven-clause-learningW ⊆ cdclNOT-merge-bj-learn-proxy2 - - - - -
  λS. convert-trail-from-W (trail S)
  raw-clauses
  λL S. cons-trail (convert-marked-lit-from-NOT L) S
  λS. tl-trail S
  λC S. add-learned-cls C S
  λC S. remove-cls C S
  λ- -. True
  λ- S. raw-conflicting S = None backjump-l-cond invNOT
  by unfold-locales

sublocale conflict-driven-clause-learningW ⊆ cdclNOT-merge-bj-learn - - - - -
  λS. convert-trail-from-W (trail S)
  raw-clauses
  λL S. cons-trail (convert-marked-lit-from-NOT L) S
  λS. tl-trail S
  λC S. add-learned-cls C S
  λC S. remove-cls C S
  backjump-l-cond
  λ- -. True
  λ- S. raw-conflicting S = None invNOT
  apply unfold-locales
  using dpll-bj-no-dup apply (simp add: comp-def)
  using cdclNOT.simps cdclNOT-no-dup no-dup-convert-from-W unfolding invNOT-def by blast

context conflict-driven-clause-learningW
begin

```

Notations are lost while proving locale inclusion:

notation *state-eq*_{NOT} (**infix** \sim_{NOT} 50)

22.2 Additional Lemmas between NOT and W states

lemma *trail*_W-eq-reduce-trail-to_{NOT}-eq:
 $trail\ S = trail\ T \implies trail\ (reduce-trail-to_{NOT}\ F\ S) = trail\ (reduce-trail-to_{NOT}\ F\ T)$
proof (*induction F S arbitrary: T rule: reduce-trail-to_{NOT}.induct*)
case (1 *F S T*) **note** *IH* = *this*(1) **and** *tr* = *this*(2)
then have $\square = convert-trail-from-W\ (trail\ S)$
 $\vee length\ F = length\ (convert-trail-from-W\ (trail\ S))$
 $\vee trail\ (reduce-trail-to_{NOT}\ F\ (tl-trail\ S)) = trail\ (reduce-trail-to_{NOT}\ F\ (tl-trail\ T))$
using *IH* **by** (*metis* (*no-types*) *trail-tl-trail*)
then show $trail\ (reduce-trail-to_{NOT}\ F\ S) = trail\ (reduce-trail-to_{NOT}\ F\ T)$
using *tr* **by** (*metis* (*no-types*) *reduce-trail-to_{NOT}.elim*)
qed

lemma *trail-reduce-trail-to_{NOT}-add-learned-cls*:
 $no-dup\ (trail\ S) \implies$
 $trail\ (reduce-trail-to_{NOT}\ M\ (add-learned-cls\ D\ S)) = trail\ (reduce-trail-to_{NOT}\ M\ S)$
by (*rule* *trail*_W-eq-reduce-trail-to_{NOT}-eq) *simp*

lemma *reduce-trail-to_{NOT}-reduce-trail-convert*:
 $reduce-trail-to_{NOT}\ C\ S = reduce-trail-to\ (convert-trail-from-NOT\ C)\ S$
apply (*induction C S rule: reduce-trail-to_{NOT}.induct*)
apply (*subst reduce-trail-to_{NOT}.simps, subst reduce-trail-to.simps*)
by *auto*

```

lemma reduce-trail-to-map[simp]:
  reduce-trail-to (map f M) S = reduce-trail-to M S
  by (rule reduce-trail-to-length) simp

lemma reduce-trail-toNOT-map[simp]:
  reduce-trail-toNOT (map f M) S = reduce-trail-toNOT M S
  by (rule reduce-trail-toNOT-length) simp

lemma skip-or-resolve-state-change:
  assumes skip-or-resolve** S T
  shows
     $\exists M. \text{trail } S = M @ \text{trail } T \wedge (\forall m \in \text{set } M. \neg \text{is-marked } m)$ 
    clauses S = clauses T
    backtrack-lvl S = backtrack-lvl T
  using assms
proof (induction rule: rtrancpl-induct)
  case base
  case 1 show ?case by simp
  case 2 show ?case by simp
  case 3 show ?case by simp
next
  case (step T U) note st = this(1) and s-o-r = this(2) and IH = this(3) and IH' = this(3-5)

  case 2 show ?case using IH' s-o-r by (auto elim!: rulesE simp: skip-or-resolve.simps)
  case 3 show ?case using IH' s-o-r by (auto elim!: rulesE simp: skip-or-resolve.simps)
  case 1 show ?case
    using s-o-r
    proof cases
      case s-or-r-skip
      then show ?thesis using IH by (auto elim!: rulesE simp: skip-or-resolve.simps)
    next
      case s-or-r-resolve
      then show ?thesis
        using IH by (cases trail T) (auto elim!: rulesE simp: skip-or-resolve.simps dest!:
          hd-raw-trail)
    qed
  qed

```

22.3 More lemmas conflict-propagate and backjumping

22.4 CDCL FW

```

lemma cdclW-merge-is-cdclNOT-merged-bj-learn:
  assumes
    inv: cdclW-all-struct-inv S and
    cdclW:cdclW-merge S T
  shows cdclNOT-merged-bj-learn S T
     $\vee (\text{no-step } \text{cdcl}_W\text{-merge } T \wedge \text{conflicting } T \neq \text{None})$ 
  using cdclW inv
proof induction
  case (fw-propagate S T) note propa = this(1)
  then obtain M N U k L C where
    H: state S = (M, N, U, k, None) and
    CL: C + {#L#} ∈# clauses S and
    M-C: M ⊨as CNot C and

```

```

    undef: undefined-lit (trail S) L and
    T: state T = (Propagated L (C + {#L#})) # M, N, U, k, None)
    by (auto elim: propagate-high-levelE)
  have propagateNOT S T
    using H CL T undef M-C by (auto simp: state-eqNOT-def state-eq-def raw-clauses-def
      simp del: state-simp)
  then show ?case
    using cdclNOT-merged-bj-learn.intros(2) by blast
next
case (fw-decide S T) note dec = this(1) and inv = this(2)
then obtain L where
  undef-L: undefined-lit (trail S) L and
  atm-L: atm-of L ∈ atms-of-mm (init-clss S) and
  T: T ∼ cons-trail (Marked L (Suc (backtrack-lvl S)))
    (update-backtrack-lvl (Suc (backtrack-lvl S)) S)
  by (auto elim: decideE)
have decideNOT S T
  apply (rule decideNOT.decideNOT)
  using undef-L apply simp
  using atm-L inv unfolding cdclW-all-struct-inv-def no-strange-atm-def raw-clauses-def
  apply auto[]
  using T undef-L unfolding state-eq-def state-eqNOT-def by (auto simp: raw-clauses-def)
then show ?case using cdclNOT-merged-bj-learn-decideNOT by blast
next
case (fw-forget S T) note rf = this(1) and inv = this(2)
then obtain C where
  S: conflicting S = None and
  C-le: C !∈! raw-learned-clss S and
  ¬(trail S) ⊨asm clauses S and
  mset-cls C ∉ set (get-all-mark-of-propagated (trail S)) and
  C-init: mset-cls C ∉# init-clss S and
  T: T ∼ remove-cls C S
  by (auto elim: forgetE)
have init-clss S ⊨pm mset-cls C
  using inv C-le unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def raw-clauses-def
  by (meson in-clss-mset-clss true-clss-clss-in-imp-true-clss-clss)
then have S-C: removeAll-mset (mset-cls C) (clauses S) ⊨pm mset-cls C
  using C-init C-le unfolding raw-clauses-def by (auto simp add: Un-Diff ac-simps)
have forgetNOT S T
  apply (rule forgetNOT.forgetNOT)
  using S-C apply blast
  using S apply simp
  using C-init C-le apply (simp add: raw-clauses-def)
  using T C-le C-init by (auto
    simp: state-eq-def Un-Diff state-eqNOT-def raw-clauses-def ac-simps
    simp del: state-simp)
then show ?case using cdclNOT-merged-bj-learn-forgetNOT by blast
next
case (fw-conflict S T U) note confl = this(1) and bj = this(2) and inv = this(3)
obtain CS CT where
  confl-T: raw-conflicting T = Some CT and
  CT: mset-cls CT = mset-cls CS and
  CS: CS !∈! raw-clauses S and
  tr-S-CS: trail S ⊨as CNot (mset-cls CS)
  using confl by (elim conflictE) (auto simp del: state-simp simp: state-eq-def)

```

```

have cdclW-all-struct-inv T
  using cdclW.simps cdclW-all-struct-inv-inv confl inv by blast
then have cdclW-M-level-inv T
  unfolding cdclW-all-struct-inv-def by auto
then consider
  (no-bt) skip-or-resolve** T U
| (bt) T' where skip-or-resolve** T T' and backtrack T' U
  using bj rtrancpl-cdclW-bj-skip-or-resolve-backtrack unfolding full-def by meson
then show ?case
proof cases
case no-bt
  then have conflicting U ≠ None
    using confl by (induction rule: rtrancpl-induct)
    (auto simp del: state-simp simp: skip-or-resolve.simps state-eq-def elim!: rulesE)
  moreover then have no-step cdclW-merge U
    by (auto simp: cdclW-merge.simps elim: rulesE)
  ultimately show ?thesis by blast
next
case bt note s-or-r = this(1) and bt = this(2)
have cdclW** T T'
  using s-or-r mono-rtrancpl[of skip-or-resolve cdclW] rtrancpl-skip-or-resolve-rtrancpl-cdclW
  by blast
then have cdclW-M-level-inv T'
  using rtrancpl-cdclW-consistent-inv ⟨cdclW-M-level-inv T'⟩ by blast
then obtain M1 M2 i D L K where
  confl-T': raw-conflicting T' = Some D and
  LD: L ∈ # mset-ccls D and
  M1-M2: (Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition (trail T')) and
  get-level (trail T') L = backtrack-lvl T' and
  get-level (trail T') L = get-maximum-level (trail T') (mset-ccls D) and
  get-maximum-level (trail T') (mset-ccls (remove-clit L D)) = i and
  undef-L: undefined-lit M1 L and
  U: U ∼ cons-trail (Propagated L (cls-of-ccls D))
  (reduce-trail-to M1
    (add-learned-cls (cls-of-ccls D)
      (update-backtrack-lvl i
        (update-conflicting None T')))))
  using bt by (auto elim: backtrack-levE)
have [simp]: clauses S = clauses T
  using confl by (auto elim: rulesE)
have [simp]: clauses T = clauses T'
  using s-or-r
proof (induction)
case base
  then show ?case by simp
next
case (step U V) note st = this(1) and s-o-r = this(2) and IH = this(3)
  have clauses U = clauses V
    using s-o-r by (auto simp: skip-or-resolve.simps elim: rulesE)
  then show ?case using IH by auto
qed
have inv-T: cdclW-all-struct-inv T
  by (meson cdclW-cp.simps confl inv r-into-rtrancpl rtrancpl-cdclW-all-struct-inv-inv
    rtrancpl-cdclW-cp-rtrancpl-cdclW)
have cdclW** T T'

```

```

    using rtrancpl-skip-or-resolve-rtrancpl-cdclW s-or-r by blast
have inv-T': cdclW-all-struct-inv T'
    using ⟨cdclW** T T'⟩ inv-T rtrancpl-cdclW-all-struct-inv-inv by blast
have inv-U: cdclW-all-struct-inv U
    using cdclW-merge-restart-cdclW confl fw-r-conflict inv local.bj
    rtrancpl-cdclW-all-struct-inv-inv by blast

have [simp]: init-clss S = init-clss T'
    using ⟨cdclW** T T'⟩ cdclW-init-clss confl cdclW-all-struct-inv-def conflict inv
    by (metis ⟨cdclW-M-level-inv T⟩ rtrancpl-cdclW-init-clss)
then have atm-L: atm-of L ∈ atms-of-mm (clauses S)
    using inv-T' confl-T' LD unfolding cdclW-all-struct-inv-def no-strange-atm-def
    raw-clauses-def
    by (simp add: atms-of-def image-subset-iff)
obtain M where tr-T: trail T = M @ trail T'
    using s-or-r skip-or-resolve-state-change by meson
obtain M' where
    tr-T': trail T' = M' @ Marked K (i+1) # tl (trail U) and
    tr-U: trail U = Propagated L (mset-ccls D) # tl (trail U)
    using U M1-M2 undef-L inv-T' unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
    by fastforce
def M'' ≡ M @ M'
have tr-T: trail S = M'' @ Marked K (i+1) # tl (trail U)
    using tr-T tr-T' confl unfolding M''-def by (auto elim: rulesE)
have init-clss T' + learned-clss S ⊨pm mset-ccls D
    using inv-T' confl-T' unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def
    raw-clauses-def by simp
have reduce-trail-to (convert-trail-from-NOT (convert-trail-from-W M1)) S =
    reduce-trail-to M1 S
    by (rule reduce-trail-to-length) simp
moreover have trail (reduce-trail-to M1 S) = M1
    apply (rule reduce-trail-to-skip-beginning[of - M @ - @ M2 @ [Marked K (Suc i)]])
    using confl M1-M2 ⟨trail T = M @ trail T'⟩
    apply (auto dest!: get-all-marked-decomposition-exists-prepend
        elim!: conflictE)
    by (rule sym) auto
ultimately have [simp]: trail (reduce-trail-toNOT M1 S) = M1
    using M1-M2 confl by (subst reduce-trail-toNOT-reduce-trail-convert)
    (auto simp: comp-def elim: rulesE)
have every-mark-is-a-conflict U
    using inv-U unfolding cdclW-all-struct-inv-def cdclW-conflicting-def by simp
then have U-D: tl (trail U) ⊨as CNot (remove1-mset L (mset-ccls D))
    by (metis append-self-conv2 tr-U)
thm backjump-l[of - - - - L cls-of-ccls D - remove1-mset L (mset-ccls D)]
have backjump-l S U
    apply (rule backjump-l[of - - - - L cls-of-ccls D - remove1-mset L (mset-ccls D)])
    using tr-T apply simp
    using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
    apply (simp add: comp-def)
    using U M1-M2 confl undef-L M1-M2 inv-T' inv undef-L unfolding cdclW-all-struct-inv-def
    cdclW-M-level-inv-def apply (auto simp: state-eqNOT-def
        trail-reduce-trail-toNOT-add-learned-cls)[]
    using CS apply auto[]
    using tr-S-CS apply simp

```

using U *undef-L M1-M2 inv-T' inv* **unfolding** *cdcl_W-all-struct-inv-def*
cdcl_W-M-level-inv-def **apply** *auto[]*
using *undef-L atm-L* **apply** (*simp add: trail-reduce-trail-to_{NOT}-add-learned-cls*)
using (*init-clss T' + learned-clss S \models_{pm} mset-clls D*) *LD* **unfolding** *raw-clauses-def*
apply *simp*
using *LD* **apply** *simp*
apply (*metis U-D convert-trail-from-W-true-annots*)
using *inv-T' inv-U U confl-T' undef-L M1-M2 LD* **unfolding** *cdcl_W-all-struct-inv-def*
distinct-cdcl_W-state-def **by** (*simp add: cdcl_W-M-level-inv-decomp backjump-l-cond-def*)
then show *?thesis* **using** *cdcl_{NOT}-merged-bj-learn-backjump-l* **by** *fast*
qed
qed

abbreviation *cdcl_{NOT}-restart* **where**

cdcl_{NOT}-restart \equiv *restart-ops.cdcl_{NOT}-raw-restart cdcl_{NOT} restart*

lemma *cdcl_W-merge-restart-is-cdcl_{NOT}-merged-bj-learn-restart-no-step*:

assumes

inv: cdcl_W-all-struct-inv S **and**

cdcl_W: cdcl_W-merge-restart S T

shows *cdcl_{NOT}-restart** S T \vee (no-step cdcl_W-merge T \wedge conflicting T \neq None)*

proof –

consider

(*fw*) *cdcl_W-merge S T*

| (*fw-r*) *restart S T*

using *cdcl_W* **by** (*meson cdcl_W-merge-restart.simps cdcl_W-rf.cases fw-conflict fw-decide fw-forget*
fw-propagate)

then show *?thesis*

proof *cases*

case *fw*

then have *IH: cdcl_{NOT}-merged-bj-learn S T \vee (no-step cdcl_W-merge T \wedge conflicting T \neq None)*

using *inv cdcl_W-merge-is-cdcl_{NOT}-merged-bj-learn* **by** *blast*

have *invS: inv_{NOT} S*

using *inv* **unfolding** *cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*

have *ff2: cdcl_{NOT}⁺⁺ S T \longrightarrow cdcl_{NOT}^{**} S T*

by (*meson tranclp-into-rtranclp*)

have *ff3: no-dup (convert-trail-from-W (trail S))*

using *invS* **by** (*simp add: comp-def*)

have *cdcl_{NOT} \leq cdcl_{NOT}-restart*

by (*auto simp: restart-ops.cdcl_{NOT}-raw-restart.simps*)

then show *?thesis*

using *ff3 ff2 IH cdcl_{NOT}-merged-bj-learn-is-tranclp-cdcl_{NOT}*

rtranclp-mono[of cdcl_{NOT} cdcl_{NOT}-restart] invS predicate2D **by** *blast*

next

case *fw-r*

then show *?thesis* **by** (*blast intro: restart-ops.cdcl_{NOT}-raw-restart.intros*)

qed

qed

abbreviation $\mu_{FW} :: 'st \Rightarrow nat$ **where**

$\mu_{FW} S \equiv$ (*if no-step cdcl_W-merge S then 0 else $1 + \mu_{CDCL}$ -merged (set-mset (init-clss S)) S*)

lemma *cdcl_W-merge- μ_{FW} -decreasing*:

assumes

inv: cdcl_W-all-struct-inv S **and**


```

  fw: cdclW-merge S T
shows  $\mu_{FW} T < \mu_{FW} S$ 
proof -
  let ?A = init-clss S
  have atm-clauses: atms-of-mm (clauses S)  $\subseteq$  atms-of-mm ?A
    using inv unfolding cdclW-all-struct-inv-def no-strange-atm-def raw-clauses-def by auto
  have atm-trail: atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-mm ?A
    using inv unfolding cdclW-all-struct-inv-def no-strange-atm-def raw-clauses-def by auto
  have n-d: no-dup (trail S)
    using inv unfolding cdclW-all-struct-inv-def by (auto simp: cdclW-M-level-inv-decomp)
  have [simp]:  $\neg$  no-step cdclW-merge S
    using fw by auto
  have [simp]: init-clss S = init-clss T
    using cdclW-merge-restart-cdclW[of S T] inv rtranclp-cdclW-init-clss
    unfolding cdclW-all-struct-inv-def
    by (meson cdclW-merge.simps cdclW-merge-restart.simps cdclW-rf.simps fw)
  consider
    (merged) cdclNOT-merged-bj-learn S T
  | (n-s) no-step cdclW-merge T
    using cdclW-merge-is-cdclNOT-merged-bj-learn inv fw by blast
  then show ?thesis
  proof cases
    case merged
    then show ?thesis
      using cdclNOT-decreasing-measure'[OF - - atm-clauses, of T] atm-trail n-d
      by (auto split: if-split simp: comp-def image-image lits-of-def)
    next
    case n-s
    then show ?thesis by simp
  qed
qed

lemma wf-cdclW-merge: wf {(T, S). cdclW-all-struct-inv S  $\wedge$  cdclW-merge S T}
  apply (rule wfP-if-measure[of - -  $\mu_{FW}$ ])
  using cdclW-merge- $\mu_{FW}$ -decreasing by blast

sublocale conflict-driven-clause-learningW-termination
  by unfold-locales (simp add: wf-cdclW-merge)

lemma full-cdclW-s'-full-cdclW-merge-restart:
  assumes
    conflicting R = None and
    inv: cdclW-all-struct-inv R
  shows full cdclW-s' R V  $\longleftrightarrow$  full cdclW-merge-stgy R V (is ?s'  $\longleftrightarrow$  ?fw)
proof
  assume ?s'
  then have cdclW-s*** R V unfolding full-def by blast
  have cdclW-all-struct-inv V
    using  $\langle$ cdclW-s*** R V $\rangle$  inv rtranclp-cdclW-all-struct-inv-inv rtranclp-cdclW-s'-rtranclp-cdclW
    by blast
  then have n-s: no-step cdclW-merge-stgy V
    using no-step-cdclW-s'-no-step-cdclW-merge-stgy by (meson  $\langle$ full cdclW-s' R V $\rangle$  full-def)
  have n-s-bj: no-step cdclW-bj V
    by (metis  $\langle$ cdclW-all-struct-inv V $\rangle$   $\langle$ full cdclW-s' R V $\rangle$  bj full-def
      n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)

```

```

have n-s-cp: no-step cdclW-merge-cp V
proof -
  { fix ss :: 'st
    obtain ssa :: 'st  $\Rightarrow$  'st where
      ff1:  $\forall s. \neg \text{cdcl}_W\text{-all-struct-inv } s \vee \text{cdcl}_W\text{-s'-without-decide } s \text{ (ssa } s)$ 
         $\vee \text{no-step cdcl}_W\text{-merge-cp } s$ 
      using conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp by moura
    have ( $\forall p \ s \ sa. \neg \text{full } p \ (s::'st) \ sa \vee p^{**} \ s \ sa \wedge \text{no-step } p \ sa$ ) and
      ( $\forall p \ s \ sa. (\neg p^{**} \ (s::'st) \ sa \vee (\exists s. p \ sa \ s)) \vee \text{full } p \ s \ sa$ )
      by (meson full-def)+
    then have  $\neg \text{cdcl}_W\text{-merge-cp } V \ ss$ 
      using ff1 by (metis (no-types)  $\langle \text{cdcl}_W\text{-all-struct-inv } V \rangle \langle \text{full cdcl}_W\text{-s'} \ R \ V \rangle \text{cdcl}_W\text{-s'.sims}$ 
         $\text{cdcl}_W\text{-s'-without-decide.cases}$ ) }
    then show ?thesis
      by blast
  qed
consider
  (fw-no-confl) cdclW-merge-stgy** R V and conflicting V = None
| (fw-confl) cdclW-merge-stgy** R V and conflicting V  $\neq$  None and no-step cdclW-bj V
| (fw-dec-confl) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
  decide S T and cdclW-merge-cp** T U and conflict U V
| (fw-dec-no-confl) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
  decide S T and cdclW-merge-cp** T V and conflicting V = None
| (cp-no-confl) cdclW-merge-cp** R V and conflicting V = None
| (cp-confl) U where cdclW-merge-cp** R U and conflict U V
using rtranclp-cdclW-s'-no-step-cdclW-s'-without-decide-decomp-into-cdclW-merge[OF
   $\langle \text{cdcl}_W\text{-s'}^{**} \ R \ V \rangle \text{assms}]$  by auto
then show ?fw
proof cases
  case fw-no-confl
  then show ?thesis using n-s unfolding full-def by blast
next
  case fw-confl
  then show ?thesis using n-s unfolding full-def by blast
next
  case fw-dec-confl
  have cdclW-merge-cp U V
  using n-s-bj by (metis cdclW-merge-cp.sims full-unfold fw-dec-confl(5))
  then have full1 cdclW-merge-cp T V
  unfolding full1-def by (metis fw-dec-confl(4) n-s-cp tranclp-unfold-end)
  then have cdclW-merge-stgy S V using  $\langle \text{decide } S \ T \rangle \langle \text{no-step cdcl}_W\text{-merge-cp } S \rangle$  by auto
  then show ?thesis using n-s  $\langle \text{cdcl}_W\text{-merge-stgy}^{**} \ R \ S \rangle$  unfolding full-def by auto
next
  case fw-dec-no-confl
  then have full cdclW-merge-cp T V
  using n-s-cp unfolding full-def by blast
  then have cdclW-merge-stgy S V using  $\langle \text{decide } S \ T \rangle \langle \text{no-step cdcl}_W\text{-merge-cp } S \rangle$  by auto
  then show ?thesis using n-s  $\langle \text{cdcl}_W\text{-merge-stgy}^{**} \ R \ S \rangle$  unfolding full-def by auto
next
  case cp-no-confl
  then have full cdclW-merge-cp R V
  by (simp add: full-def n-s-cp)
  then have R = V  $\vee \text{cdcl}_W\text{-merge-stgy}^{++} \ R \ V$ 
  using fw-s-cp unfolding full-unfold fw-s-cp
  by (metis (no-types) rtranclp-unfold tranclp-unfold-end)

```

```

    then show ?thesis
      by (simp add: full-def n-s rtrancp-unfold)
  next
    case cp-confl
    have full cdclW-bj V V
      using n-s-bj unfolding full-def by blast
    then have full1 cdclW-merge-cp R V
      unfolding full1-def by (meson cdclW-merge-cp.conflict' cp-confl(1,2) n-s-cp
        rtrancp-into-trancp1)
    then show ?thesis using n-s unfolding full-def by auto
  qed
next
assume ?fw
then have cdclW** R V using rtrancp-mono[of cdclW-merge-stgy cdclW**]
  cdclW-merge-stgy-rtrancp-cdclW unfolding full-def by auto
then have inv': cdclW-all-struct-inv V using inv rtrancp-cdclW-all-struct-inv-inv by blast
have cdclW-s'** R V
  using ⟨?fw⟩ by (simp add: full-def inv rtrancp-cdclW-merge-stgy-rtrancp-cdclW-s')
moreover have no-step cdclW-s' V
proof cases
  assume conflicting V = None
  then show ?thesis
    by (metis inv' ⟨full cdclW-merge-stgy R V⟩ full-def
      no-step-cdclW-merge-stgy-no-step-cdclW-s')
next
  assume confl-V: conflicting V ≠ None
  then have no-step cdclW-bj V
    using rtrancp-cdclW-merge-stgy-no-step-cdclW-bj by (meson ⟨full cdclW-merge-stgy R V⟩
      assms(1) full-def)
  then show ?thesis using confl-V by (fastforce simp: cdclW-s'.simps full1-def cdclW-cp.simps
    dest!: trancpD elim: rulesE)
qed
ultimately show ?s' unfolding full-def by blast
qed

lemma full-cdclW-stgy-full-cdclW-merge:
  assumes
    conflicting R = None and
    inv: cdclW-all-struct-inv R
  shows full cdclW-stgy R V  $\longleftrightarrow$  full cdclW-merge-stgy R V
  by (simp add: assms(1) full-cdclW-s'-full-cdclW-merge-restart full-cdclW-stgy-iff-full-cdclW-s'
    inv)

lemma full-cdclW-merge-stgy-final-state-conclusive':
  fixes S' :: 'st
  assumes full: full cdclW-merge-stgy (init-state N) S'
  and no-d: distinct-mset-mset (mset-cls N)
  shows (conflicting S' = Some {#}  $\wedge$  unsatisfiable (set-mset (mset-cls N)))
     $\vee$  (conflicting S' = None  $\wedge$  trail S'  $\models$  asm mset-cls N  $\wedge$  satisfiable (set-mset (mset-cls N)))
proof -
  have cdclW-all-struct-inv (init-state N)
    using no-d unfolding cdclW-all-struct-inv-def by auto
  moreover have conflicting (init-state N) = None
    by auto
  ultimately show ?thesis

```

```

    using full full-cdclW-stgy-final-state-conclusive-from-init-state
    full-cdclW-stgy-full-cdclW-merge no-d by presburger
qed
end

end
theory CDCL-W-Incremental
imports CDCL-W-Termination
begin

```

23 Incremental SAT solving

```

context conflict-driven-clause-learningW
begin

```

This invariant holds all the invariant related to the strategy. See the structural invariant in *cdcl_W-all-struct-inv*

definition *cdcl_W-stgy-invariant* **where**
cdcl_W-stgy-invariant $S \longleftrightarrow$
 $\text{conflict-is-false-with-level } S$
 $\wedge \text{no-clause-is-false } S$
 $\wedge \text{no-smaller-confl } S$
 $\wedge \text{no-clause-is-false } S$

lemma *cdcl_W-stgy-cdcl_W-stgy-invariant*:

```

assumes
  cdclW: cdclW-stgy  $S$   $T$  and
  inv-s: cdclW-stgy-invariant  $S$  and
  inv: cdclW-all-struct-inv  $S$ 
shows
  cdclW-stgy-invariant  $T$ 
unfolding cdclW-stgy-invariant-def cdclW-all-struct-inv-def apply (intro conjI)
  apply (rule cdclW-stgy-ex-lit-of-max-level[of  $S$ ])
  using assms unfolding cdclW-stgy-invariant-def cdclW-all-struct-inv-def apply auto[7]
  using cdclW cdclW-stgy-not-non-negated-init-clss apply simp
apply (rule cdclW-stgy-no-smaller-confl-inv)
  using assms unfolding cdclW-stgy-invariant-def cdclW-all-struct-inv-def apply auto[4]
using cdclW cdclW-stgy-not-non-negated-init-clss by auto

```

lemma *rtrancpl-cdcl_W-stgy-cdcl_W-stgy-invariant*:

```

assumes
  cdclW: cdclW-stgy**  $S$   $T$  and
  inv-s: cdclW-stgy-invariant  $S$  and
  inv: cdclW-all-struct-inv  $S$ 
shows
  cdclW-stgy-invariant  $T$ 
using assms apply (induction)
  apply simp
using cdclW-stgy-cdclW-stgy-invariant rtrancpl-cdclW-all-struct-inv-inv
rtrancpl-cdclW-stgy-rtrancpl-cdclW by blast

```

abbreviation *decr-bt-lvl* **where**

decr-bt-lvl $S \equiv \text{update-backtrack-lvl} (\text{backtrack-lvl } S - 1) S$

When we add a new clause, we reduce the trail until we get to the first literal included in C .

Then we can mark the conflict.

```
fun cut-trail-wrt-clause where
  cut-trail-wrt-clause C [] S = S |
  cut-trail-wrt-clause C (Marked L - # M) S =
    (if -L ∈# C then S
     else cut-trail-wrt-clause C M (decr-bt-lvl (tl-trail S))) |
  cut-trail-wrt-clause C (Propagated L - # M) S =
    (if -L ∈# C then S
     else cut-trail-wrt-clause C M (tl-trail S))
```

```
definition add-new-clause-and-update :: 'ccls ⇒ 'st ⇒ 'st where
  add-new-clause-and-update C S =
    (if trail S ⊨as CNot (mset-ccls C)
     then update-conflicting (Some C) (add-init-cls (cls-of-ccls C)
      (cut-trail-wrt-clause (mset-ccls C) (trail S) S))
     else add-init-cls (cls-of-ccls C) S)
```

thm cut-trail-wrt-clause.induct

```
lemma init-clss-cut-trail-wrt-clause[simp]:
  init-clss (cut-trail-wrt-clause C M S) = init-clss S
by (induction rule: cut-trail-wrt-clause.induct) auto
```

```
lemma learned-clss-cut-trail-wrt-clause[simp]:
  learned-clss (cut-trail-wrt-clause C M S) = learned-clss S
by (induction rule: cut-trail-wrt-clause.induct) auto
```

```
lemma conflicting-clss-cut-trail-wrt-clause[simp]:
  conflicting (cut-trail-wrt-clause C M S) = conflicting S
by (induction rule: cut-trail-wrt-clause.induct) auto
```

lemma trail-cut-trail-wrt-clause:

```
  ∃ M. trail S = M @ trail (cut-trail-wrt-clause C (trail S) S)
proof (induction trail S arbitrary: S rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L l M) note IH = this(1)[of decr-bt-lvl (tl-trail S)] and M = this(2)[symmetric]
  then show ?case using Cons-eq-appendI by fastforce+
next
  case (proped L l M) note IH = this(1)[of tl-trail S] and M = this(2)[symmetric]
  then show ?case using Cons-eq-appendI by fastforce+
qed
```

```
lemma n-dup-no-dup-trail-cut-trail-wrt-clause[simp]:
  assumes n-d: no-dup (trail T)
  shows no-dup (trail (cut-trail-wrt-clause C (trail T) T))
proof -
  obtain M where
    M: trail T = M @ trail (cut-trail-wrt-clause C (trail T) T)
    using trail-cut-trail-wrt-clause[of T C] by auto
  show ?thesis
    using n-d unfolding arg-cong[OF M, of no-dup] by auto
qed
```

lemma cut-trail-wrt-clause-backtrack-lvl-length-marked:

```

assumes
  backtrack-lvl  $T = \text{length } (\text{get-all-levels-of-marked } (\text{trail } T))$ 
shows
  backtrack-lvl  $(\text{cut-trail-wrt-clause } C (\text{trail } T) T) =$ 
     $\text{length } (\text{get-all-levels-of-marked } (\text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) T)))$ 
using assms
proof (induction trail T arbitrary:T rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L l M) note  $IH = \text{this}(1)[\text{of } \text{decr-bt-lvl } (\text{tl-trail } T)]$  and  $M = \text{this}(2)[\text{symmetric}]$ 
    and  $bt = \text{this}(3)$ 
  then show ?case by auto
next
  case (proped L l M) note  $IH = \text{this}(1)[\text{of } \text{tl-trail } T]$  and  $M = \text{this}(2)[\text{symmetric}]$  and  $bt = \text{this}(3)$ 
  then show ?case by auto
qed

lemma cut-trail-wrt-clause-get-all-levels-of-marked:
assumes  $\text{get-all-levels-of-marked } (\text{trail } T) = \text{rev } [\text{Suc } 0..<$ 
   $\text{Suc } (\text{length } (\text{get-all-levels-of-marked } (\text{trail } T)))]$ 
shows
   $\text{get-all-levels-of-marked } (\text{trail } ((\text{cut-trail-wrt-clause } C (\text{trail } T) T))) = \text{rev } [\text{Suc } 0..<$ 
   $\text{Suc } (\text{length } (\text{get-all-levels-of-marked } (\text{trail } ((\text{cut-trail-wrt-clause } C (\text{trail } T) T)))]$ 
using assms
proof (induction trail T arbitrary:T rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L l M) note  $IH = \text{this}(1)[\text{of } \text{decr-bt-lvl } (\text{tl-trail } T)]$  and  $M = \text{this}(2)[\text{symmetric}]$ 
    and  $bt = \text{this}(3)$ 
  then show ?case by (cases count C L = 0) auto
next
  case (proped L l M) note  $IH = \text{this}(1)[\text{of } \text{tl-trail } T]$  and  $M = \text{this}(2)[\text{symmetric}]$  and  $bt = \text{this}(3)$ 
  then show ?case by (cases count C L = 0) auto
qed

lemma cut-trail-wrt-clause-CNot-trail:
assumes  $\text{trail } T \models_{\text{as}} \text{CNot } C$ 
shows
   $(\text{trail } ((\text{cut-trail-wrt-clause } C (\text{trail } T) T))) \models_{\text{as}} \text{CNot } C$ 
using assms
proof (induction trail T arbitrary:T rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
  case (marked L l M) note  $IH = \text{this}(1)[\text{of } \text{decr-bt-lvl } (\text{tl-trail } T)]$  and  $M = \text{this}(2)[\text{symmetric}]$ 
    and  $bt = \text{this}(3)$ 
show ?case
  proof (cases count C (-L) = 0)
    case False
    then show ?thesis
    using  $IH M bt$  by (auto simp: true-annots-true-cl)
  next
  case True

```

```

obtain  $mma :: 'v \text{ literal multiset where}$ 
   $f6: (mma \in \{\{\#- l\# \mid l. l \in \# C\} \longrightarrow M \models_a mma) \longrightarrow M \models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ 
  using true-annots-def by blast
have  $mma \in \{\{\#- l\# \mid l. l \in \# C\} \longrightarrow \text{trail } T \models_a mma$ 
  using CNot-def  $M \text{ } bt$  by (metis (no-types) true-annots-def)
then have  $M \models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ 
  using  $f6 \text{ } True \text{ } M \text{ } bt$  by (force simp: count-eq-zero-iff)
then show ?thesis
  using  $IH \text{ } true\text{-annots}\text{-true}\text{-cls } M$  by (auto simp: CNot-def)
qed
next
case (proped  $L \text{ } l \text{ } M$ ) note  $IH = \text{this}(1)[\text{of } tl\text{-trail } T]$  and  $M = \text{this}(2)[\text{symmetric}]$  and  $bt = \text{this}(3)$ 
show ?case
proof (cases count C (-L) = 0)
  case False
  then show ?thesis
  using  $IH \text{ } M \text{ } bt$  by (auto simp: true-annots-true-cls)
next
case True
obtain  $mma :: 'v \text{ literal multiset where}$ 
   $f6: (mma \in \{\{\#- l\# \mid l. l \in \# C\} \longrightarrow M \models_a mma) \longrightarrow M \models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ 
  using true-annots-def by blast
have  $mma \in \{\{\#- l\# \mid l. l \in \# C\} \longrightarrow \text{trail } T \models_a mma$ 
  using CNot-def  $M \text{ } bt$  by (metis (no-types) true-annots-def)
then have  $M \models_{as} \{\{\#- l\# \mid l. l \in \# C\}$ 
  using  $f6 \text{ } True \text{ } M \text{ } bt$  by (force simp: count-eq-zero-iff)
then show ?thesis
  using  $IH \text{ } true\text{-annots}\text{-true}\text{-cls } M$  by (auto simp: CNot-def)
qed
qed

lemma cut-trail-wrt-clause-hd-trail-in-or-empty-trail:
   $((\forall L \in \# C. -L \notin \text{lits-of-} l (\text{trail } T)) \wedge \text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) \text{ } T) = [])$ 
   $\vee (-\text{lit-of } (\text{hd } (\text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) \text{ } T)))) \in \# C$ 
   $\wedge \text{length } (\text{trail } (\text{cut-trail-wrt-clause } C (\text{trail } T) \text{ } T)) \geq 1)$ 
using assms
proof (induction trail T arbitrary: T rule: marked-lit-list-induct)
  case nil
  then show ?case by simp
next
case (marked  $L \text{ } l \text{ } M$ ) note  $IH = \text{this}(1)[\text{of } \text{decr}\text{-}bt\text{-}lvl (tl\text{-trail } T)]$  and  $M = \text{this}(2)[\text{symmetric}]$ 
then show ?case by simp force
next
case (proped  $L \text{ } l \text{ } M$ ) note  $IH = \text{this}(1)[\text{of } tl\text{-trail } T]$  and  $M = \text{this}(2)[\text{symmetric}]$ 
then show ?case by simp force
qed

```

We can fully run *cdcl_W*-s or add a clause. Remark that we use *cdcl_W*-s to avoid an explicit *skip*, *resolve*, and *backtrack* normalisation to get rid of the conflict *C* if possible.

inductive *incremental-cdcl_W* :: '*st* \Rightarrow '*st* \Rightarrow *bool* **for** *S* **where**

add-conf:

$\text{trail } S \models_{asm} \text{init-clss } S \Longrightarrow \text{distinct-mset } (\text{mset-ccls } C) \Longrightarrow \text{conflicting } S = \text{None} \Longrightarrow$

$\text{trail } S \models_{as} \text{CNot } (\text{mset-ccls } C) \Longrightarrow$

full cdcl_W-stgy

(*update-conflicting* (*Some* *C*))

$(\text{add-init-cls } (\text{cls-of-ccls } C) (\text{cut-trail-wrt-clause } (\text{mset-ccls } C) (\text{trail } S) S))) T \implies$
 $\text{incremental-cdcl}_W S T \mid$
add-no-conf:
 $\text{trail } S \models_{\text{asm}} \text{init-clss } S \implies \text{distinct-mset } (\text{mset-ccls } C) \implies \text{conflicting } S = \text{None} \implies$
 $\neg \text{trail } S \models_{\text{as}} \text{CNot } (\text{mset-ccls } C) \implies$
 $\text{full cdcl}_W\text{-stgy } (\text{add-init-cls } (\text{cls-of-ccls } C) S) T \implies$
 $\text{incremental-cdcl}_W S T$

lemma *cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv:*

assumes

inv-T: *cdcl_W-all-struct-inv* *T* **and**
tr-T-N[simp]: *trail T* \models_{asm} *N* **and**
tr-C[simp]: *trail T* \models_{as} *CNot* (*mset-ccls C*) **and**
[simp]: *distinct-mset* (*mset-ccls C*)

shows *cdcl_W-all-struct-inv* (*add-new-clause-and-update C T*) (**is** *cdcl_W-all-struct-inv* ?*T'*)

proof –

let ?*T* = *update-conflicting* (*Some C*)

(*add-init-cls* (*cls-of-ccls C*) (*cut-trail-wrt-clause* (*mset-ccls C*) (*trail T*) *T*))

obtain *M* **where**

M: *trail T* = *M* @ *trail* (*cut-trail-wrt-clause* (*mset-ccls C*) (*trail T*) *T*)

using *trail-cut-trail-wrt-clause*[*of T mset-ccls C*] **by** *blast*

have *H[dest]*: $\bigwedge x. x \in \text{lits-of-l } (\text{trail } (\text{cut-trail-wrt-clause } (\text{mset-ccls } C) (\text{trail } T) T)) \implies$
 $x \in \text{lits-of-l } (\text{trail } T)$

using *inv-T arg-cong*[*OF M, of lits-of-l*] **by** *auto*

have *H'[dest]*: $\bigwedge x. x \in \text{set } (\text{trail } (\text{cut-trail-wrt-clause } (\text{mset-ccls } C) (\text{trail } T) T)) \implies$
 $x \in \text{set } (\text{trail } T)$

using *inv-T arg-cong*[*OF M, of set*] **by** *auto*

have *H-proped*: $\bigwedge x. x \in \text{set } (\text{get-all-mark-of-propagated } (\text{trail } (\text{cut-trail-wrt-clause } (\text{mset-ccls } C) (\text{trail } T) T))) \implies$
 $x \in \text{set } (\text{get-all-mark-of-propagated } (\text{trail } T))$

using *inv-T arg-cong*[*OF M, of get-all-mark-of-propagated*] **by** *auto*

have [*simp*]: *no-strange-atm* ?*T*

using *inv-T unfolding cdcl_W-all-struct-inv-def no-strange-atm-def add-new-clause-and-update-def*
cdcl_W-M-level-inv-def **by** (*auto* 20 1)

have *M-leve*: *cdcl_W-M-level-inv* *T*

using *inv-T unfolding cdcl_W-all-struct-inv-def* **by** *blast*

then have *no-dup* (*M* @ *trail* (*cut-trail-wrt-clause* (*mset-ccls C*) (*trail T*) *T*))

unfolding *cdcl_W-M-level-inv-def* **unfolding** *M[symmetric]* **by** *auto*

then have [*simp*]: *no-dup* (*trail* (*cut-trail-wrt-clause* (*mset-ccls C*) (*trail T*) *T*))
by *auto*

have *consistent-interp* (*lits-of-l* (*M* @ *trail* (*cut-trail-wrt-clause* (*mset-ccls C*) (*trail T*) *T*)))

using *M-leve unfolding cdcl_W-M-level-inv-def* **unfolding** *M[symmetric]* **by** *auto*

then have [*simp*]: *consistent-interp* (*lits-of-l* (*trail* (*cut-trail-wrt-clause* (*mset-ccls C*)
(*trail T*) *T*)))

unfolding *consistent-interp-def* **by** *auto*

have [*simp*]: *cdcl_W-M-level-inv* ?*T*

using *M-leve cut-trail-wrt-clause-get-all-levels-of-marked*[*of T mset-ccls C*]

unfolding *cdcl_W-M-level-inv-def* **by** (*auto dest: H H'*)

simp: *M-leve cdcl_W-M-level-inv-def cut-trail-wrt-clause-backtrack-lvl-length-marked*

have [*simp*]: $\bigwedge s. s \in \# \text{ learned-clss } T \implies \neg \text{tautology } s$

using *inv-T unfolding cdcl_W-all-struct-inv-def* **by** *auto*


```

have distinct-cdclW-state T
  using inv-T unfolding cdclW-all-struct-inv-def by auto
then have [simp]: distinct-cdclW-state ?T
  unfolding distinct-cdclW-state-def by auto

have cdclW-conflicting T
  using inv-T unfolding cdclW-all-struct-inv-def by auto
have trail ?T  $\models_{as}$  CNot (mset-ccls C)
  by (simp add: cut-trail-wrt-clause-CNot-trail)
then have [simp]: cdclW-conflicting ?T
  unfolding cdclW-conflicting-def apply simp
  by (metis M  $\langle$ cdclW-conflicting T $\rangle$  append-assoc cdclW-conflicting-decomp(2))

have
  decomp-T: all-decomposition-implies-m (init-clss T) (get-all-marked-decomposition (trail T))
  using inv-T unfolding cdclW-all-struct-inv-def by auto
have all-decomposition-implies-m (init-clss ?T)
  (get-all-marked-decomposition (trail ?T))
  unfolding all-decomposition-implies-def
proof clarify
  fix a b
  assume (a, b)  $\in$  set (get-all-marked-decomposition (trail ?T))
  from in-get-all-marked-decomposition-in-get-all-marked-decomposition-prepend[OF this, of M]
  obtain b' where
    (a, b' @ b)  $\in$  set (get-all-marked-decomposition (trail T))
    using M by auto
  then have unmark-l a  $\cup$  set-mset (init-clss T)  $\models_{ps}$  unmark-l (b' @ b)
    using decomp-T unfolding all-decomposition-implies-def by fastforce
  then have unmark-l a  $\cup$  set-mset (init-clss ?T)  $\models_{ps}$  unmark-l (b @ b')
    by (simp add: Un-commute)
  then show unmark-l a  $\cup$  set-mset (init-clss ?T)  $\models_{ps}$  unmark-l b
    by (auto simp: image-Un)
qed

have [simp]: cdclW-learned-clause ?T
  using inv-T unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def
  by (auto dest!: H-proped simp: raw-clauses-def)
show ?thesis
  using  $\langle$ all-decomposition-implies-m (init-clss ?T)
    (get-all-marked-decomposition (trail ?T)) $\rangle$ 
  unfolding cdclW-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
qed

lemma cdclW-all-struct-inv-add-new-clause-and-update-cdclW-stgy-inv:
assumes
  inv-s: cdclW-stgy-invariant T and
  inv: cdclW-all-struct-inv T and
  tr-T-N[simp]: trail T  $\models_{asm}$  N and
  tr-C[simp]: trail T  $\models_{as}$  CNot (mset-ccls C) and
  [simp]: distinct-mset (mset-ccls C)
shows cdclW-stgy-invariant (add-new-clause-and-update C T)
  (is cdclW-stgy-invariant ?T')
proof -
  have cdclW-all-struct-inv ?T'

```

using *cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv* *assms* **by** *blast*
then have
no-dup-cut-T[simp]: no-dup (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)) **and**
n-d[simp]: no-dup (trail T)
using *cdcl_W-M-level-inv-decomp(2)* *cdcl_W-all-struct-inv-def* *inv*
n-dup-no-dup-trail-cut-trail-wrt-clause **by** *blast+*
then have *trail (add-new-clause-and-update C T) \models as CNot (mset-ccls C)*
by (*simp add: add-new-clause-and-update-def cut-trail-wrt-clause-CNot-trail*
cdcl_W-M-level-inv-def cdcl_W-all-struct-inv-def)
obtain *MT* **where**
MT: trail T = MT @ trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)
using *trail-cut-trail-wrt-clause* **by** *blast*
consider
*(false) $\forall L \in \#mset-ccls C. - L \notin lits-of-l (trail T)$ **and***
trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T) = []
| *(not-false)*
- *lit-of (hd (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T))) $\in \#(mset-ccls C)$ **and***
1 \leq length (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T))
using *cut-trail-wrt-clause-hd-trail-in-or-empty-trail[of mset-ccls C T]* **by** *auto*
then show *?thesis*
proof *cases*
case *false* **note** *C = this(1)* **and** *empty-tr = this(2)*
then have *[simp]: mset-ccls C = {#}*
by (*simp add: in-CNot-implies-uminus(2) multiset-eqI*)
show *?thesis*
using *empty-tr unfolding cdcl_W-stgy-invariant-def no-smaller-conflict-def*
cdcl_W-all-struct-inv-def **by** (*auto simp: add-new-clause-and-update-def*)
next
case *not-false* **note** *C = this(1)* **and** *l = this(2)*
let *?L = - lit-of (hd (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)))*
have *get-all-levels-of-marked (trail (add-new-clause-and-update C T)) =*
rev [1.. \leq length (get-all-levels-of-marked (trail (add-new-clause-and-update C T)))]
using *\langle cdcl_W-all-struct-inv ?T \rangle unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def*
by *blast*
moreover
have *backtrack-lvl (cut-trail-wrt-clause (mset-ccls C) (trail T) T) =*
length (get-all-levels-of-marked (trail (add-new-clause-and-update C T)))
using *\langle cdcl_W-all-struct-inv ?T \rangle unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def*
by (*auto simp: add-new-clause-and-update-def*)
moreover
have *no-dup (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T))*
using *\langle cdcl_W-all-struct-inv ?T \rangle unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def*
by (*auto simp: add-new-clause-and-update-def*)
then have *atm-of ?L \notin atm-of 'lits-of-l*
(tl (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)))
by (*cases trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)*
(auto simp: lits-of-def))
ultimately have *L: get-level (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)) (-?L)*
= length (get-all-levels-of-marked (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)))
using *get-level-get-rev-level-get-all-levels-of-marked[OF*
 \langle atm-of ?L \notin atm-of 'lits-of-l (tl (trail (cut-trail-wrt-clause (mset-ccls C)
(trail T) T))),
of [hd (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T))]]

```

apply (cases trail (add-init-cls (cls-of-ccls C)
  (cut-trail-wrt-clause (mset-ccls C) (trail T) T));
  cases hd (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T)))
using l by (auto split: if-split-asm
  simp: rev-swap[symmetric] add-new-clause-and-update-def)

have L': length (get-all-levels-of-marked (trail (cut-trail-wrt-clause (mset-ccls C)
  (trail T) T)))
  = backtrack-lvl (cut-trail-wrt-clause (mset-ccls C) (trail T) T)
using ⟨cdclW-all-struct-inv ?T'⟩ unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
by (auto simp: add-new-clause-and-update-def)

have [simp]: no-smaller-confl (update-conflicting (Some C)
  (add-init-cls (cls-of-ccls C) (cut-trail-wrt-clause (mset-ccls C) (trail T) T)))
unfolding no-smaller-confl-def
proof (clarify, goal-cases)
  case (1 M K i M' D)
  then consider
    (DC) D = mset-ccls C
    | (D-T) D ∈ # clauses T
  by (auto simp: raw-clauses-def split: if-split-asm)
then show False
proof cases
  case D-T
  have no-smaller-confl T
    using inv-s unfolding cdclW-stgy-invariant-def by auto
  have (MT @ M') @ Marked K i # M = trail T
    using MT 1(1) by auto
  thus False using D-T ⟨no-smaller-confl T⟩ 1(3) unfolding no-smaller-confl-def by blast
next
  case DC note -[simp] = this
  then have atm-of (−?L) ∈ atm-of ' (lits-of-l M)
    using 1(3) C in-CNot-implies-uminus(2) by blast
  moreover
    have lit-of (hd (M' @ Marked K i # [])) = −?L
      using l 1(1)[symmetric] inv
      by (cases trail (add-init-cls (cls-of-ccls C)
        (cut-trail-wrt-clause (mset-ccls C) (trail T) T)))
        (auto dest!: arg-cong[of - # - - hd] simp: hd-append cdclW-all-struct-inv-def
          cdclW-M-level-inv-def)
    from arg-cong[OF this, of atm-of]
    have atm-of (−?L) ∈ atm-of ' (lits-of-l (M' @ Marked K i # []))
      by (cases (M' @ Marked K i # [])) auto
    moreover have no-dup (trail (cut-trail-wrt-clause (mset-ccls C) (trail T) T))
      using ⟨cdclW-all-struct-inv ?T'⟩ unfolding cdclW-all-struct-inv-def
        cdclW-M-level-inv-def by (auto simp: add-new-clause-and-update-def)
    ultimately show False
      unfolding 1(1)[symmetric, simplified] by (auto simp: lits-of-def)
  qed
qed
show ?thesis using L L' C
  unfolding cdclW-stgy-invariant-def
  unfolding cdclW-all-struct-inv-def by (auto simp: add-new-clause-and-update-def)
qed
qed

```

lemma *full-cdcl_W-stgy-inv-normal-form*:

assumes

full: *full cdcl_W-stgy S T* **and**

inv-s: *cdcl_W-stgy-invariant S* **and**

inv: *cdcl_W-all-struct-inv S*

shows *conflicting T = Some {#} \wedge unsatisfiable (set-mset (init-clss S))*

\vee *conflicting T = None \wedge trail T \models_{asm} init-clss S \wedge satisfiable (set-mset (init-clss S))*

proof –

have *no-step cdcl_W-stgy T*

using *full unfolding full-def by blast*

moreover have *cdcl_W-all-struct-inv T* **and** *inv-s: cdcl_W-stgy-invariant T*

apply (*metis rtranclp-cdcl_W-stgy-rtranclp-cdcl_W full full-def inv*
rtranclp-cdcl_W-all-struct-inv-inv)

by (*metis full full-def inv inv-s rtranclp-cdcl_W-stgy-cdcl_W-stgy-invariant*)

ultimately have *conflicting T = Some {#} \wedge unsatisfiable (set-mset (init-clss T))*

\vee *conflicting T = None \wedge trail T \models_{asm} init-clss T*

using *cdcl_W-stgy-final-state-conclusive[of T] full*

unfolding *cdcl_W-all-struct-inv-def cdcl_W-stgy-invariant-def full-def by fast*

moreover have *consistent-interp (lits-of-l (trail T))*

using \langle *cdcl_W-all-struct-inv T* \rangle **unfolding** *cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def*
by *auto*

moreover have *init-clss S = init-clss T*

using *inv unfolding cdcl_W-all-struct-inv-def*

by (*metis rtranclp-cdcl_W-stgy-no-more-init-clss full full-def*)

ultimately show *?thesis*

by (*metis satisfiable-carac' true-annot-def true-annots-def true-clss-def*)

qed

lemma *incremental-cdcl_W-inv*:

assumes

inc: *incremental-cdcl_W S T* **and**

inv: *cdcl_W-all-struct-inv S* **and**

s-inv: *cdcl_W-stgy-invariant S*

shows

cdcl_W-all-struct-inv T **and**

cdcl_W-stgy-invariant T

using *inc*

proof (*induction*)

case (*add-confl C T*)

let *?T = (update-conflicting (Some C) (add-init-cls (cls-of-ccls C)*
(cut-trail-wrt-clause (mset-ccls C) (trail S) S)))

have *cdcl_W-all-struct-inv ?T* **and** *inv-s-T: cdcl_W-stgy-invariant ?T*

using *add-confl.hyps(1,2,4) add-new-clause-and-update-def*

cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv inv **apply** *auto[1]*

using *add-confl.hyps(1,2,4) add-new-clause-and-update-def*

cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-stgy-inv inv s-inv **by** *auto*

case 1 show *?case*

by (*metis add-confl.hyps(1,2,4,5) add-new-clause-and-update-def*

cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv

rtranclp-cdcl_W-all-struct-inv-inv rtranclp-cdcl_W-stgy-rtranclp-cdcl_W full-def inv)

case 2 show *?case*

by (*metis inv-s-T add-confl.hyps(1,2,4,5) add-new-clause-and-update-def*

cdcl_W-all-struct-inv-add-new-clause-and-update-cdcl_W-all-struct-inv full-def inv)

```

      rtrancpl-cdclW-stgy-cdclW-stgy-invariant)
next
case (add-no-confl C T)
case 1
have cdclW-all-struct-inv (add-init-cls (cls-of-ccls C) S)
  using inv ⟨distinct-mset (mset-ccls C)⟩ unfolding cdclW-all-struct-inv-def no-strange-atm-def
  cdclW-M-level-inv-def distinct-cdclW-state-def cdclW-conflicting-def cdclW-learned-clause-def
  by (auto 9 1 simp: all-decomposition-implies-insert-single raw-clauses-def)

then show ?case
  using add-no-confl(5) unfolding full-def by (auto intro: rtrancpl-cdclW-stgy-cdclW-all-struct-inv)
case 2
have nc: ∀ M. (∃ K i M'. trail S = M' @ Marked K i # M) ⟶ ¬ M ⊨as CNot (mset-ccls C)
  using ⟨¬ trail S ⊨as CNot (mset-ccls C)⟩
  by (auto simp: true-annots-true-cls-def-iff-negation-in-model)

have cdclW-stgy-invariant (add-init-cls (cls-of-ccls C) S)
  using s-inv ⟨¬ trail S ⊨as CNot (mset-ccls C)⟩ inv unfolding cdclW-stgy-invariant-def
  no-smaller-confl-def eq-commute[of - trail -] cdclW-M-level-inv-def cdclW-all-struct-inv-def
  by (auto simp: raw-clauses-def nc)
then show ?case
  by (metis ⟨cdclW-all-struct-inv (add-init-cls (cls-of-ccls C) S)⟩ add-no-confl.hyps(5) full-def
    rtrancpl-cdclW-stgy-cdclW-stgy-invariant)
qed

lemma rtrancpl-incremental-cdclW-inv:
  assumes
    inc: incremental-cdclW** S T and
    inv: cdclW-all-struct-inv S and
    s-inv: cdclW-stgy-invariant S
  shows
    cdclW-all-struct-inv T and
    cdclW-stgy-invariant T
  using inc apply induction
  using inv apply simp
  using s-inv apply simp
  using incremental-cdclW-inv by blast+

lemma incremental-conclusive-state:
  assumes
    inc: incremental-cdclW S T and
    inv: cdclW-all-struct-inv S and
    s-inv: cdclW-stgy-invariant S
  shows conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-cls T))
    ∨ conflicting T = None ∧ trail T ⊨asm init-cls T ∧ satisfiable (set-mset (init-cls T))
  using inc
proof induction
print-cases
case (add-confl C T) note tr = this(1) and dist = this(2) and conf = this(3) and C = this(4) and
full = this(5)

have full cdclW-stgy T T
  using full unfolding full-def by auto
then show ?case
  using full C conf dist tr

```

```

    by (metis full-cdclW-stgy-inv-normal-form incremental-cdclW.simps incremental-cdclW-inv(1)
        incremental-cdclW-inv(2) inv s-inv)
next
case (add-no-conf C T) note tr = this(1) and dist = this(2) and conf = this(3) and C = this(4)
    and full = this(5)

have full cdclW-stgy T T
  using full unfolding full-def by auto
then show ?case
  by (meson C conf dist full full-cdclW-stgy-inv-normal-form incremental-cdclW.add-no-conf
      incremental-cdclW-inv(1) incremental-cdclW-inv(2) inv s-inv tr)
qed

lemma tranclp-incremental-correct:
  assumes
    inc: incremental-cdclW++ S T and
    inv: cdclW-all-struct-inv S and
    s-inv: cdclW-stgy-invariant S
  shows conflicting T = Some {#} ∧ unsatisfiable (set-mset (init-clss T))
    ∨ conflicting T = None ∧ trail T ⊨asm init-clss T ∧ satisfiable (set-mset (init-clss T))
  using inc apply induction
  using assms incremental-conclusive-state apply blast
  by (meson incremental-conclusive-state inv rtranclp-incremental-cdclW-inv s-inv
      tranclp-into-rtranclp)

end

end

```

24 2-Watched-Literal

```

theory CDCL-Two-Watched-Literals
imports CDCL-WNOT
begin

```

We will directly on the two-watched literals datastructure with lists: it could be also seen as a state over some abstract clause representation we would later refine as lists. However, as we need a way to select element from a clause, working on lists is better.

24.1 Datastructure and Access Functions

Only the 2-watched literals have to be verified here: the backtrack level and the trail that appear in the state are not related to the 2-watched algorithm.

```

datatype 'v twl-clause =
  TWL-Clause (watched: 'v literal list) (unwatched: 'v literal list)

datatype 'v twl-state =
  TWL-State (raw-trail: ('v, nat, 'v twl-clause) marked-lit list)
    (raw-init-clss: 'v twl-clause list)
    (raw-learned-clss: 'v twl-clause list) (backtrack-lvl: nat)
    (raw-conflicting: 'v literal list option)

fun mmset-of-mlit' :: ('v, nat, 'v twl-clause) marked-lit ⇒ ('v, nat, 'v clause) marked-lit
  where

```

$mmset\text{-}of\text{-}mlit' (Propagated\ L\ C) = Propagated\ L\ (mset\ (watched\ C\ @\ unwatched\ C)) \mid$
 $mmset\text{-}of\text{-}mlit' (Marked\ L\ i) = Marked\ L\ i$

lemma *lit-of-mmset-of-mlit'*[simp]: *lit-of* (*mmset-of-mlit'* *x*) = *lit-of* *x*
by (*cases* *x*) *auto*

lemma *lits-of-mmset-of-mlit'*[simp]: *lits-of* (*mmset-of-mlit'* ‘ *S*) = *lits-of* *S*
by (*auto simp: lits-of-def image-image*)

abbreviation *trail* **where**
trail *S* \equiv *map* *mmset-of-mlit'* (*raw-trail* *S*)

abbreviation *clauses-of-l* **where**
clauses-of-l \equiv $\lambda L. mset\ (map\ mset\ L)$

definition *raw-clause* :: ‘*v* *twl-clause* \Rightarrow ‘*v* *literal list* **where**
raw-clause *C* \equiv *watched* *C* @ *unwatched* *C*

abbreviation *raw-clss* :: ‘*v* *twl-state* \Rightarrow ‘*v* *clauses* **where**
raw-clss *S* \equiv *clauses-of-l* (*map* *raw-clause* (*raw-init-clss* *S* @ *raw-learned-clss* *S*))

interpretation *raw-cl*
 $\lambda C. mset\ (raw-clause\ C)$
 $\lambda L\ C. TWL\text{-}Clause\ (watched\ C)\ (L\ \# \ unwatched\ C)$
 $\lambda L\ C. TWL\text{-}Clause\ []\ (remove1\ L\ (raw-clause\ C))$
apply (*unfold-locales*)
by (*auto simp:hd-map comp-def map-tl ac-simps*
mset-map-mset-remove1-cond ex-mset raw-clause-def
simp del:)

lemma XXX:
 $mset\ (map\ (\lambda x. mset\ (unwatched\ x) + mset\ (watched\ x))$
 $(remove1\text{-}cond\ (\lambda D. mset\ (raw-clause\ D) = mset\ (raw-clause\ a))\ Cs)) =$
 $remove1\text{-}mset\ (mset\ (raw-clause\ a))\ (mset\ (map\ (\lambda x. mset\ (raw-clause\ x))\ Cs))$
apply (*induction* *Cs*)
apply *simp*
by (*auto simp: ac-simps remove1-mset-single-add raw-clause-def*)

interpretation *raw-clss*
 $\lambda C. mset\ (raw-clause\ C)$
 $\lambda L\ C. TWL\text{-}Clause\ (watched\ C)\ (L\ \# \ unwatched\ C)$
 $\lambda L\ C. TWL\text{-}Clause\ []\ (remove1\ L\ (raw-clause\ C))$
 $\lambda C. clauses\text{-}of\text{-}l\ (map\ raw-clause\ C)\ op\ @$
 $\lambda L\ C. L \in set\ C\ op\ \# \ \lambda C. remove1\text{-}cond\ (\lambda D. mset\ (raw-clause\ D) = mset\ (raw-clause\ C))$
apply (*unfold-locales*)
using XXX **by** (*auto simp:hd-map comp-def map-tl ac-simps raw-clause-def*
union-mset-list mset-map-mset-remove1-cond ex-mset
simp del:)

lemma *ex-mset-unwatched-watched*:
 $\exists a. mset\ (unwatched\ a) + mset\ (watched\ a) = E$
proof –
obtain *e* **where** *mset* *e* = *E*
using *ex-mset* **by** *blast*

then have $mset \ (unwatched \ (TWL-Clause \ [] \ e)) + mset \ (watched \ (TWL-Clause \ [] \ e)) = E$
by *auto*
then show *?thesis* **by** *fast*
qed

thm *CDCL-Two-Watched-Literals.raw-cls-axioms*

interpretation *twl: state_W-ops*

$\lambda C. mset \ (raw-clause \ C)$

$\lambda L \ C. TWL-Clause \ (watched \ C) \ (L \ \# \ unwatched \ C)$

$\lambda L \ C. TWL-Clause \ [] \ (remove1 \ L \ (raw-clause \ C))$

$\lambda C. clauses-of-l \ (map \ raw-clause \ C) \ op \ @$

$\lambda L \ C. L \in set \ C \ op \ \# \ \lambda C. remove1-cond \ (\lambda D. mset \ (raw-clause \ D) = mset \ (raw-clause \ C))$

$mset \ \lambda xs \ ys. case-prod \ append \ (fold \ (\lambda x \ (ys, zs). (remove1 \ x \ ys, x \ \# \ zs)) \ xs \ (ys, []))$

$op \ \# \ remove1$

$raw-clause \ \lambda C. TWL-Clause \ [] \ C$

$trail \ \lambda S. hd \ (raw-trail \ S)$

$raw-init-clss \ raw-learned-clss \ backtrack-lvl \ raw-conflicting$

apply *unfold-locales* **apply** (*auto simp: hd-map comp-def map-tl ac-simps raw-clause-def*
union-mset-list mset-map-mset-remove1-cond ex-mset-unwatched-watched)

done

declare *CDCL-Two-Watched-Literals.twl.mset-ccls-ccls-of-cls[simp del]*

lemma *mmset-of-mlit'-mmset-of-mlit[simp]:*

$twl.mmset-of-mlit \ L = mmset-of-mlit' \ L$

by (*metis mmset-of-mlit'.simps(1) mmset-of-mlit'.simps(2) twl.mmset-of-mlit.elims raw-clause-def*)

definition

$candidates-propagate :: 'v \ twl-state \Rightarrow ('v \ literal \times 'v \ twl-clause) \ set$

where

$candidates-propagate \ S =$

$\{(L, C) \mid L \ C.$

$C \in set \ (twl.raw-clauses \ S) \ \wedge$

$set \ (watched \ C) - (uminus \ ' \ lits-of-l \ (trail \ S)) = \{L\} \ \wedge$

$undefined-lit \ (trail \ S) \ L\}$

definition $candidates-conflict :: 'v \ twl-state \Rightarrow 'v \ twl-clause \ set$ **where**

$candidates-conflict \ S =$

$\{C. C \in set \ (twl.raw-clauses \ S) \ \wedge$

$set \ (watched \ C) \subseteq uminus \ ' \ lits-of-l \ (trail \ S)\}$

primrec (*nonexhaustive*) $index :: 'a \ list \Rightarrow 'a \Rightarrow nat$ **where**

$index \ (a \ \# \ l) \ c = (if \ a = c \ then \ 0 \ else \ 1 + index \ l \ c)$

lemma *index-nth:*

$a \in set \ l \Longrightarrow l \ ! \ (index \ l \ a) = a$

by (*induction l*) *auto*

24.2 Invariants

We need the following property about updates: if there is a literal L with $- \ L$ in the trail, and L is not watched, then it stays unwatched; i.e., while updating with *rewatch* it does not get

swap with a watched literal L' such that $-L'$ is in the trail.

```
primrec watched-decided-most-recently :: ('v, 'wl, 'mark) marked-lit list  $\Rightarrow$ 
  'v twl-clause  $\Rightarrow$  bool
where
  watched-decided-most-recently M (TWL-Clause W UW)  $\longleftrightarrow$ 
    ( $\forall L' \in \text{set } W. \forall L \in \text{set } UW.
      -L' \in \text{lits-of-l } M \longrightarrow -L \in \text{lits-of-l } M \longrightarrow L \notin \# \text{ mset } W \longrightarrow
      \text{index (map lit-of } M) (-L') \leq \text{index (map lit-of } M) (-L)$ )
```

Here are the invariant strictly related to the 2-WL data structure.

```
primrec wf-tw-cls :: ('v, 'wl, 'mark) marked-lit list  $\Rightarrow$  'v twl-clause  $\Rightarrow$  bool where
  wf-tw-cls M (TWL-Clause W UW)  $\longleftrightarrow$ 
    distinct W  $\wedge$  length W  $\leq 2 \wedge$  (length W  $< 2 \longrightarrow \text{set } UW \subseteq \text{set } W$ )  $\wedge$ 
    ( $\forall L \in \text{set } W. -L \in \text{lits-of-l } M \longrightarrow (\forall L' \in \text{set } UW. L' \notin \text{set } W \longrightarrow -L' \in \text{lits-of-l } M)$ )  $\wedge$ 
    watched-decided-most-recently M (TWL-Clause W UW)
```

```
lemma size-mset-2: size x1 = 2  $\longleftrightarrow$  ( $\exists a b. x1 = \{\#a, b\}$ )
apply (cases x1)
apply simp
by (metis (no-types, hide-lams) Suc-eq-plus1 one-add-one size-1-singleton-mset
  size-Diff-singleton size-Suc-Diff1 size-single union-single-eq-diff union-single-eq-member)
```

```
lemma distinct-mset-size-2: distinct-mset  $\{\#a, b\} \longleftrightarrow a \neq b$ 
unfolding distinct-mset-def by auto
```

```
lemma wf-tw-cls-annotation-independant:
  assumes M: map lit-of M = map lit-of M'
  shows wf-tw-cls M (TWL-Clause W UW)  $\longleftrightarrow$  wf-tw-cls M' (TWL-Clause W UW)
proof -
  have lits-of-l M = lits-of-l M'
    using arg-cong[OF M, of set] by (simp add: lits-of-def)
  then show ?thesis
    by (simp add: lits-of-def M)
qed
```

```
lemma wf-tw-cls-wf-tw-cls-tl:
  assumes wf: wf-tw-cls M C and n-d: no-dup M
  shows wf-tw-cls (tl M) C
proof (cases M)
  case Nil
  then show ?thesis using wf
    by (cases C) (simp add: wf-tw-cls.simps[of tl -])
next
  case (Cons l M') note M = this(1)
  obtain W UW where C: C = TWL-Clause W UW
    by (cases C)
  { fix L L'
    assume
      LW: L  $\in \text{set } W$  and
      LM:  $-L \in \text{lits-of-l } M'$  and
      L'UW: L'  $\in \text{set } UW$  and
      L'notinW: L'  $\notin \text{set } W$ 
    then have
      L'M:  $-L' \in \text{lits-of-l } M$ 
      using wf by (auto simp: C M)
```

```

have watched-decided-most-recently M C
  using wf by (auto simp: C)
then have
  index (map lit-of M) (-L) ≤ index (map lit-of M) (-L')
  using LM L'M L'UW LW ⟨L'∉ set W⟩ C M unfolding lits-of-def
  by (fastforce simp: lits-of-def)
then have - L' ∈ lits-of-l M'
  using ⟨L'∉ set W⟩ LW L'M by (auto simp: C M split: if-split-asm)
}
moreover
{
  fix L' L
  assume
    L' ∈ set W and
    L ∈ set UW and
    L'M: - L' ∈ lits-of-l M' and
    - L ∈ lits-of-l M' and
    L ∉ set W
  moreover
    have lit-of l ≠ - L'
    using n-d unfolding M
      by (metis (no-types) L'M M Marked-Propagated-in-iff-in-lits-of-l defined-lit-map
        distinct.simps(2) list.simps(9) set-map)
  moreover have watched-decided-most-recently M C
    using wf by (auto simp: C)
  ultimately have index (map lit-of M') (- L') ≤ index (map lit-of M') (- L)
    by (fastforce simp: M C split: if-split-asm)
}
moreover have distinct W and length W ≤ 2 and (length W < 2 ⟶ set UW ⊆ set W)
  using wf by (auto simp: C M)
ultimately show ?thesis by (auto simp add: M C)
qed

```

definition *wf-twl-state* :: 'v twl-state \Rightarrow bool **where**
wf-twl-state S $\longleftrightarrow (\forall C \in \text{set } (\text{twl.raw-clauses } S). \text{wf-twl-cl } (\text{trail } S) C) \wedge \text{no-dup } (\text{trail } S)$

lemma *wf-candidates-propagate-sound*:

assumes wf: *wf-twl-state* S **and**
 cand: (L, C) ∈ *candidates-propagate* S
shows trail S \models_{as} CNot (mset (removeAll L (raw-clause C))) \wedge undefined-lit (trail S) L
 (is ?Not \wedge ?undef)

proof

def M \equiv trail S
def N \equiv raw-init-clss S
def U \equiv raw-learned-clss S

note MNU-defs [simp] = M-def N-def U-def

have cw:

C ∈ set (N @ U)
 set (watched C) - uminus ' lits-of-l M = {L}
 undefined-lit M L
using cand **unfolding** candidates-propagate-def MNU-defs twl.raw-clauses-def **by** auto

obtain W UW **where** cw-eq: C = TWL-Clause W UW

```

by (cases C)

have l-w:  $L \in \text{set } W$ 
  using cw(2) cw-eq by auto

have wf-c: wf-twl-cl M C
  using wf cw(1) unfolding wf-twl-state-def by (simp add: twl.raw-clauses-def)

have w-nw:
  distinct W
  length W < 2  $\implies \text{set } UW \subseteq \text{set } W$ 
   $\bigwedge L L'. L \in \text{set } W \implies -L \in \text{lits-of-l } M \implies L' \in \text{set } UW \implies L' \notin \text{set } W \implies -L' \in \text{lits-of-l } M$ 
  using wf-c unfolding cw-eq by (auto simp: image-image)

have  $\forall L' \in \text{set } (\text{raw-clause } C) - \{L\}. -L' \in \text{lits-of-l } M$ 
proof (cases length W < 2)
  case True
  moreover have size W  $\neq 0$ 
    using cw(2) cw-eq by auto
  ultimately have size W = 1
    by linarith
  then have w:  $W = [L]$ 
    using l-w by (auto simp: length-list-Suc-0)
  from True have set UW  $\subseteq \text{set } W$ 
    using w-nw(2) by blast
  then show ?thesis
    using w cw(1) cw-eq by (auto simp: raw-clause-def)
next
  case sz2: False
  show ?thesis
  proof
    fix L'
    assume l':  $L' \in \text{set } (\text{raw-clause } C) - \{L\}$ 
    have ex-la:  $\exists La. La \neq L \wedge La \in \text{set } W$ 
    proof (cases W)
      case w: Nil
      thus ?thesis
        using l-w by auto
    next
      case lb: (Cons Lb W')
      show ?thesis
      proof (cases W')
        case Nil
        thus ?thesis
          using lb sz2 by simp
      next
        case lc: (Cons Lc W'')
        thus ?thesis
          by (metis distinct-length-2-or-more lb list.set-intros(1) list.set-intros(2) w-nw(1))
      qed
    qed
  then obtain La where la:  $La \neq L \wedge La \in \text{set } W$ 
    by blast
  then have La  $\in \text{uminus } \text{'lits-of-l } M$ 
    using cw(2)[unfolded cw-eq, simplified, folded M-def]  $\langle La \in \text{set } W \rangle \langle La \neq L \rangle$  by auto

```

```

then have nla:  $-La \in \text{lits-of-}l\ M$ 
  by (auto simp: image-image)
then show  $-L' \in \text{lits-of-}l\ M$ 

proof -
  have f1:  $L' \in \text{set (raw-clause } C)$ 
    using l' by blast
  have f2:  $L' \notin \{L\}$ 
    using l' by fastforce
  have  $\bigwedge l\ L. -(l::'a\ \text{literal}) \in L \vee l \notin \text{uminus } 'L$ 
    by force
  then show ?thesis
    using cw(1) cw-eq w-nw(3) raw-clause-def by (metis DiffI Un-iff cw(2) f1 f2 la(2) nla
      set-append twl-clause.sel(1) twl-clause.sel(2))
qed
qed
qed
then show ?Not
  unfolding true-annots-def by (auto simp: image-image Ball-def CNot-def)

show ?undef
  using cw(3) M-def by blast
qed

lemma wf-candidates-propagate-complete:
  assumes wf: wf-twl-state S and
    c-mem:  $C \in \text{set (twl.raw-clauses } S)$  and
    l-mem:  $L \in \text{set (raw-clause } C)$  and
    unsat:  $\text{trail } S \models_{\text{as}} \text{CNot (mset-set (set (raw-clause } C) - \{L\}))}$  and
    undef: undefined-lit (trail S) L
  shows  $(L, C) \in \text{candidates-propagate } S$ 
proof -
  def M  $\equiv \text{trail } S$ 
  def N  $\equiv \text{raw-init-clss } S$ 
  def U  $\equiv \text{raw-learned-clss } S$ 

  note MNU-defs [simp] = M-def N-def U-def

  obtain W UW where cw-eq:  $C = \text{TWL-Clause } W\ UW$ 
    by (cases C, blast)

  have wf-c: wf-twl-clss M C
    using wf c-mem unfolding wf-twl-state-def by simp

  have w-nw:
    distinct W
    length W < 2  $\implies \text{set } UW \subseteq \text{set } W$ 
     $\bigwedge L\ L'. L \in \text{set } W \implies -L \in \text{lits-of-}l\ M \implies L' \in \text{set } UW \implies L' \notin \text{set } W \implies -L' \in \text{lits-of-}l\ M$ 
    using wf-c unfolding cw-eq by (auto simp: image-image)

  have unit-set:  $\text{set } W - (\text{uminus } ' \text{lits-of-}l\ M) = \{L\}$  (is ?W = ?L)
proof
  show ?W  $\subseteq \{L\}$ 
  proof
    fix L'

```

```

assume  $l': L' \in ?W$ 
hence  $l'\text{-mem-w}: L' \in \text{set } W$ 
  by (simp add: in-diffD)
have  $L' \notin \text{uminus ' lits-of-l } M$ 
  using  $l'$  by blast
then have  $\neg M \models_a \{\# - L' \#\}$ 
  by (auto simp: lits-of-def uminus-lit-swap image-image)
moreover have  $L' \in \text{set } ( \text{raw-clause } C )$ 
  using c-mem cw-eq l'-mem-w by (auto simp: raw-clause-def)
ultimately have  $L' = L$ 
  using unsat[unfolded CNot-def true-annots-def, simplified]
  unfolding M-def by fastforce
then show  $L' \in \{L\}$ 
  by simp
qed
next
show  $\{L\} \subseteq ?W$ 
proof clarify
  have  $L \in \text{set } W$ 
  proof (cases W)
    case Nil
    thus ?thesis
    using w-nw(2) cw-eq l-mem by (auto simp: raw-clause-def)
  next
    case (Cons La W')
    thus ?thesis
    proof (cases La = L)
      case True
      thus ?thesis
      using Cons by simp
    next
      case False
      have  $-La \in \text{lits-of-l } M$ 
      using False Cons cw-eq unsat[unfolded CNot-def true-annots-def, simplified]
      by (fastforce simp: raw-clause-def)
      then show ?thesis
      using Cons cw-eq l-mem undef w-nw(3)
      by (auto simp: Marked-Propagated-in-iff-in-lits-of-l raw-clause-def)
    qed
  qed
moreover have  $L \notin \# \text{ mset-set } (\text{uminus ' lits-of-l } M)$ 
  using undef by (auto simp: Marked-Propagated-in-iff-in-lits-of-l image-image)
ultimately show  $L \in ?W$ 
  by simp
qed
qed

show ?thesis
unfolding candidates-propagate-def using unit-set undef c-mem unfolding cw-eq M-def
by (auto simp: image-image cw-eq intro!: exI[of - C])
qed

lemma wf-candidates-conflict-sound:
assumes wf: wf-twl-state S and
cand:  $C \in \text{candidates-conflict } S$ 

```

shows $trail\ S \models_{as} CNot\ (mset\ (raw_clause\ C)) \wedge C \in set\ (twl.raw_clauses\ S)$

proof

def $M \equiv trail\ S$

def $N \equiv raw_init_clss\ S$

def $U \equiv raw_learned_clss\ S$

note $MNU_defs\ [simp] = M_def\ N_def\ U_def$

have cw :

$C \in set\ (N\ @\ U)$

$set\ (watched\ C) \subseteq uminus\ ' lits_of_l\ (trail\ S)$

using $cand[unfolding\ candidates_conflict_def,\ simplified]$ **unfolding** $twl.raw_clauses_def$ **by** $auto$

obtain $W\ UW$ **where** $cw_eq: C = TWL_Clause\ W\ UW$

by $(cases\ C,\ blast)$

have $wf_c: wf_twl_cls\ M\ C$

using $wf\ cw(1)$ **unfolding** $wf_twl_state_def$ **by** $(simp\ add: comp_def\ twl.raw_clauses_def)$

have w_nw :

$distinct\ W$

$length\ W < 2 \implies set\ UW \subseteq set\ W$

$\bigwedge L\ L'. L \in set\ W \implies -L \in lits_of_l\ M \implies L' \in set\ UW \implies L' \notin set\ W \implies -L' \in lits_of_l\ M$

using wf_c **unfolding** cw_eq **by** $(auto\ simp: image_image)$

have $\forall L \in set\ (raw_clause\ C). -L \in lits_of_l\ M$

proof $(cases\ W)$

case Nil

then have $raw_clause\ C = []$

using $cw(1)\ cw_eq\ w_nw(2)$ **by** $(auto\ simp: raw_clause_def)$

then show $?thesis$

by $simp$

next

case $(Cons\ La\ W')$ **note** $W' = this(1)$

show $?thesis$

proof

fix L

assume $l: L \in set\ (raw_clause\ C)$

show $-L \in lits_of_l\ M$

proof $(cases\ L \in set\ W)$

case $True$

thus $?thesis$

using $cw(2)\ cw_eq$ **by** $fastforce$

next

case $False$

thus $?thesis$

by $(metis\ (no_types,\ hide_lams)\ M_def\ UnE\ W'\ contra_subsetD\ cw(2)\ cw_eq\ imageE$

$insertI1\ l\ list.set(2)\ set_append\ twl_clause.sel(1)\ twl_clause.sel(2)$

$uminus_of_uminus_id\ w_nw(3)\ raw_clause_def)$

qed

qed

qed

then show $trail\ S \models_{as} CNot\ (mset\ (raw_clause\ C))$

unfolding $CNot_def\ true_annots_def$ **by** $auto$

```

show  $C \in \text{set } (twl.\text{raw-clauses } S)$ 
  using  $cw$  unfolding  $twl.\text{raw-clauses-def}$  by  $auto$ 
qed

lemma  $wf\text{-candidates-conflict-complete}$ :
  assumes  $wf$ :  $wf\text{-twl-state } S$  and
     $c\text{-mem}$ :  $C \in \text{set } (twl.\text{raw-clauses } S)$  and
     $unsat$ :  $\text{trail } S \models_{as} CNot (mset (raw\text{-clause } C))$ 
  shows  $C \in \text{candidates-conflict } S$ 
proof –
  def  $M \equiv \text{trail } S$ 
  def  $N \equiv twl.\text{init-clss } S$ 
  def  $U \equiv twl.\text{learned-clss } S$ 

  note  $MNU\text{-defs } [simp] = M\text{-def } N\text{-def } U\text{-def}$ 

  obtain  $W UW$  where  $cw\text{-eq}$ :  $C = TWL\text{-Clause } W UW$ 
    by ( $\text{cases } C, \text{blast}$ )

  have  $wf\text{-c}$ :  $wf\text{-twl-clss } M C$ 
    using  $wf\text{-c-mem}$  unfolding  $wf\text{-twl-state-def}$  by  $simp$ 

  have  $w\text{-nw}$ :
     $\text{distinct } W$ 
     $\text{length } W < 2 \implies \text{set } UW \subseteq \text{set } W$ 
     $\bigwedge L L'. L \in \text{set } W \implies \neg L \in \text{lits-of-l } M \implies L' \in \text{set } UW \implies L' \notin \text{set } W \implies \neg L' \in \text{lits-of-l } M$ 
    using  $wf\text{-c}$  unfolding  $cw\text{-eq}$  by ( $auto\ simp: \text{image-image}$ )

  have  $\bigwedge L. L \in \text{set } (raw\text{-clause } C) \implies \neg L \in \text{lits-of-l } M$ 
    unfolding  $M\text{-def}$  using  $unsat[\text{unfolded } CNot\text{-def } true\text{-annots-def}, \text{simplified}]$  by  $auto$ 
  then have  $\text{set } (raw\text{-clause } C) \subseteq \text{uminus ' lits-of-l } M$ 
    by ( $\text{metis imageI subsetI uminus-of-uminus-id}$ )
  then have  $\text{set } W \subseteq \text{uminus ' lits-of-l } M$ 
    using  $cw\text{-eq}$  by ( $auto\ simp: raw\text{-clause-def}$ )
  then have  $\text{subset: set } W \subseteq \text{uminus ' lits-of-l } M$ 
    by ( $\text{simp add: } w\text{-nw}(1)$ )

  have  $W = \text{watched } C$ 
    using  $cw\text{-eq}$   $twl\text{-clause.sel}(1)$  by  $simp$ 
  then show  $?thesis$ 
    using  $MNU\text{-defs } c\text{-mem}$   $\text{subset candidates-conflict-def}$  by  $\text{blast}$ 
qed

typedef  $'v\text{-}wf\text{-twl} = \{S :: 'v\text{-}twl\text{-state}. wf\text{-twl-state } S\}$ 
morphisms  $\text{rough-state-of-twl } twl\text{-of-rough-state}$ 
proof –
  have  $TWL\text{-State } ([::('v, nat, 'v\text{-}twl\text{-clause}) \text{marked-lits})$ 
     $\square \square 0 \text{None} \in \{S :: 'v\text{-}twl\text{-state}. wf\text{-twl-state } S\}$ 
    by ( $auto\ simp: wf\text{-twl-state-def } twl.\text{raw-clauses-def}$ )
  then show  $?thesis$  by  $auto$ 
qed

lemma [ $\text{code abstype}$ ]:
   $twl\text{-of-rough-state } (\text{rough-state-of-twl } S) = S$ 
  by ( $\text{fact } CDCL\text{-Two-Watched-Literals}.wf\text{-twl.rough-state-of-twl-inverse}$ )

```

lemma *wf-twl-state-rough-state-of-twl[simp]*: *wf-twl-state (rough-state-of-twl S)*
using *rough-state-of-twl* **by** *auto*

abbreviation *candidates-conflict-twl* :: '*v* *wf-twl* \Rightarrow '*v* *twl-clause* *set* **where**
candidates-conflict-twl S \equiv *candidates-conflict (rough-state-of-twl S)*

abbreviation *candidates-propagate-twl* :: '*v* *wf-twl* \Rightarrow ('*v* *literal* \times '*v* *twl-clause*) *set* **where**
candidates-propagate-twl S \equiv *candidates-propagate (rough-state-of-twl S)*

abbreviation *raw-trail-twl* :: '*a* *wf-twl* \Rightarrow ('*a*, *nat*, '*a* *twl-clause*) *marked-lit list* **where**
raw-trail-twl S \equiv *raw-trail (rough-state-of-twl S)*

abbreviation *trail-twl* :: '*a* *wf-twl* \Rightarrow ('*a*, *nat*, '*a* *literal multiset*) *marked-lit list* **where**
trail-twl S \equiv *trail (rough-state-of-twl S)*

abbreviation *raw-clauses-twl* :: '*a* *wf-twl* \Rightarrow '*a* *twl-clause list* **where**
raw-clauses-twl S \equiv *twl.raw-clauses (rough-state-of-twl S)*

abbreviation *raw-init-clss-twl* :: '*a* *wf-twl* \Rightarrow '*a* *twl-clause list* **where**
raw-init-clss-twl S \equiv *raw-init-clss (rough-state-of-twl S)*

abbreviation *raw-learned-clss-twl* :: '*a* *wf-twl* \Rightarrow '*a* *twl-clause list* **where**
raw-learned-clss-twl S \equiv *raw-learned-clss (rough-state-of-twl S)*

abbreviation *backtrack-lvl-twl* **where**
backtrack-lvl-twl S \equiv *backtrack-lvl (rough-state-of-twl S)*

abbreviation *raw-conflicting-twl* **where**
raw-conflicting-twl S \equiv *raw-conflicting (rough-state-of-twl S)*

lemma *wf-candidates-twl-conflict-complete*:
assumes
c-mem: *C* \in *set (raw-clauses-twl S)* **and**
unsat: *trail-twl S* \models_{as} *CNot (mset (raw-clause C))*
shows *C* \in *candidates-conflict-twl S*
using *c-mem unsat wf-candidates-conflict-complete wf-twl-state-rough-state-of-twl* **by** *blast*

abbreviation *update-backtrack-lvl* **where**
update-backtrack-lvl k S \equiv
TWL-State (raw-trail S) (raw-init-clss S) (raw-learned-clss S) k (raw-conflicting S)

abbreviation *update-conflicting* **where**
update-conflicting C S \equiv
TWL-State (raw-trail S) (raw-init-clss S) (raw-learned-clss S) (backtrack-lvl S) C

24.3 Abstract 2-WL

definition *tl-trail* **where**
tl-trail S =
TWL-State (tl (raw-trail S)) (raw-init-clss S) (raw-learned-clss S) (backtrack-lvl S)
(raw-conflicting S)

locale *abstract-twl* =
fixes
watch :: '*v* *twl-state* \Rightarrow '*v* *literal list* \Rightarrow '*v* *twl-clause* **and**

$\text{rewatch} :: 'v \text{ literal} \Rightarrow 'v \text{ twl-state} \Rightarrow$
 $'v \text{ twl-clause} \Rightarrow 'v \text{ twl-clause}$ **and**
 $\text{restart-learned} :: 'v \text{ twl-state} \Rightarrow 'v \text{ twl-clause list}$
assumes
 $\text{clause-watch: no-dup (trail S)} \implies \text{mset (raw-clause (watch S C))} = \text{mset C}$ **and**
 $\text{wf-watch: no-dup (trail S)} \implies \text{wf-tw-clcls (trail S) (watch S C)}$ **and**
 $\text{clause-rewatch: mset (raw-clause (rewatch L' S C'))} = \text{mset (raw-clause C')}$ **and**
 wf-rewatch:
 $\text{no-dup (trail S)} \implies \text{undefined-lit (trail S) (lit-of L)} \implies \text{wf-tw-clcls (trail S) C'} \implies$
 $\text{wf-tw-clcls (L \# trail S) (rewatch (lit-of L) S C')}$
and
 $\text{restart-learned: mset (restart-learned S)} \subseteq \# \text{mset (raw-learned-clss S)}$ — We need *mset* and not *set*
 to take care of duplicates.
begin

definition

$\text{cons-trail} :: ('v, \text{nat}, 'v \text{ twl-clause}) \text{ marked-lit} \Rightarrow 'v \text{ twl-state} \Rightarrow 'v \text{ twl-state}$
where
 $\text{cons-trail L S} =$
 $\text{TWL-State (L \# raw-trail S) (map (rewatch (lit-of L) S) (raw-init-clss S))}$
 $(\text{map (rewatch (lit-of L) S) (raw-learned-clss S)}) (\text{backtrack-lvl S}) (\text{raw-conflicting S})$

definition

$\text{add-init-clcs} :: 'v \text{ literal list} \Rightarrow 'v \text{ twl-state} \Rightarrow 'v \text{ twl-state}$
where
 $\text{add-init-clcs C S} =$
 $\text{TWL-State (raw-trail S) (watch S C \# raw-init-clss S) (raw-learned-clss S) (backtrack-lvl S)}$
 $(\text{raw-conflicting S})$

definition

$\text{add-learned-clcs} :: 'v \text{ literal list} \Rightarrow 'v \text{ twl-state} \Rightarrow 'v \text{ twl-state}$
where
 $\text{add-learned-clcs C S} =$
 $\text{TWL-State (raw-trail S) (raw-init-clss S) (watch S C \# raw-learned-clss S) (backtrack-lvl S)}$
 $(\text{raw-conflicting S})$

definition

$\text{remove-clcs} :: 'v \text{ literal list} \Rightarrow 'v \text{ twl-state} \Rightarrow 'v \text{ twl-state}$
where
 $\text{remove-clcs C S} =$
 $\text{TWL-State (raw-trail S)}$
 $(\text{removeAll-cond } (\lambda D. \text{mset (raw-clause D)} = \text{mset C}) (\text{raw-init-clss S}))$
 $(\text{removeAll-cond } (\lambda D. \text{mset (raw-clause D)} = \text{mset C}) (\text{raw-learned-clss S}))$
 (backtrack-lvl S)
 $(\text{raw-conflicting S})$

definition $\text{init-state} :: 'v \text{ literal list list} \Rightarrow 'v \text{ twl-state}$ **where**

$\text{init-state N} = \text{fold add-init-clcs N (TWL-State [] [] 0 None)}$

lemma *unchanged-fold-add-init-clcs:*

$\text{raw-trail (fold add-init-clcs Cs (TWL-State M N U k C))} = M$
 $\text{raw-learned-clss (fold add-init-clcs Cs (TWL-State M N U k C))} = U$
 $\text{backtrack-lvl (fold add-init-clcs Cs (TWL-State M N U k C))} = k$
 $\text{raw-conflicting (fold add-init-clcs Cs (TWL-State M N U k C))} = C$
by (*induct Cs arbitrary: N*) (*auto simp: add-init-clcs-def*)

lemma *unchanged-init-state*[simp]:
raw-trail (*init-state* *N*) = []
raw-learned-clss (*init-state* *N*) = []
backtrack-lvl (*init-state* *N*) = 0
raw-conflicting (*init-state* *N*) = None
unfolding *init-state-def* **by** (*rule unchanged-fold-add-init-clss*)+

lemma *clauses-init-fold-add-init*:
no-dup *M* \implies
twl.init-clss (*fold add-init-clss* *Cs* (*TWL-State* *M N U k C*)) =
clauses-of-l *Cs* + *clauses-of-l* (*map raw-clause* *N*)
by (*induct Cs arbitrary: N*) (*auto simp: add-init-clss-def clause-watch comp-def ac-simps*)

lemma *init-clss-init-state*[simp]: *twl.init-clss* (*init-state* *N*) = *clauses-of-l* *N*
unfolding *init-state-def* **by** (*subst clauses-init-fold-add-init*) *simp-all*

definition *restart'* **where**
restart' *S* = *TWL-State* [] (*raw-init-clss* *S*) (*restart-learned* *S*) 0 None

end

24.4 Instantiation of the previous locale

definition *watch-nat* :: '*v twl-state* \Rightarrow '*v literal list* \Rightarrow '*v twl-clause* **where**
watch-nat *S C* =
(*let*
C' = *remdups* *C*;
neg-not-assigned = *filter* ($\lambda L. -L \notin \text{ lits-of-l } (\text{raw-trail } S)$) *C'*;
neg-assigned-sorted-by-trail = *filter* ($\lambda L. L \in \text{ set } C$) (*map* ($\lambda L. -\text{lit-of } L$) (*raw-trail* *S*));
W = *take* 2 (*neg-not-assigned* @ *neg-assigned-sorted-by-trail*);
UW = *foldr remove1* *W C*
in TWL-Clause *W UW*)

lemma *list-cases2*:
fixes *l* :: '*a list*
assumes
l = [] \implies *P* **and**
 $\bigwedge x. l = [x] \implies P$ **and**
 $\bigwedge x y xs. l = x \# y \# xs \implies P$
shows *P*
by (*metis assms list.collapse*)

lemma *filter-in-list-prop-verifiedD*:
assumes [*L* \leftarrow *P* . *Q* *L*] = *l*
shows $\forall x \in \text{ set } l. x \in \text{ set } P \wedge Q x$
using *assms* **by** *auto*

lemma *no-dup-filter-diff*:
assumes *n-d: no-dup* *M* **and** *H*: [*L* \leftarrow *map* ($\lambda L. - \text{ lit-of } L$) *M*. *L* $\in \text{ set } C$] = *l*
shows *distinct* *l*
unfolding *H*[*symmetric*]
apply (*rule distinct-filter*)
using *n-d* **by** (*induction M*) *auto*

lemma *watch-nat-lists-disjointD*:

assumes

$l: [L \leftarrow \text{remdups } C. - L \notin \text{lits-of-l (raw-trail } S)] = l$ **and**
 $l': [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{raw-trail } S) . L \in \text{set } C] = l'$

shows $\forall x \in \text{set } l. \forall y \in \text{set } l'. x \neq y$

by (auto simp: $l[\text{symmetric}]$ $l'[\text{symmetric}]$ lits-of-def image-image)

lemma watch-nat-list-cases-witness[consumes 2, case-names nil-nil nil-single nil-other single-nil single-other other]:

fixes

$C :: 'v$ literal list **and**

$S :: 'v$ twl-state

defines

$xs \equiv [L \leftarrow \text{remdups } C. - L \notin \text{lits-of-l (raw-trail } S)]$ **and**

$ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{raw-trail } S) . L \in \text{set } C]$

assumes

$n\text{-d}$: no-dup (raw-trail S) **and**

nil-nil : $xs = [] \implies ys = [] \implies P$ **and**

nil-single :

$\bigwedge a. xs = [] \implies ys = [a] \implies a \in \text{set } C \implies P$ **and**

nil-other : $\bigwedge a \ b \ ys'. xs = [] \implies ys = a \# b \# ys' \implies a \neq b \implies P$ **and**

single-nil : $\bigwedge a. xs = [a] \implies ys = [] \implies P$ **and**

single-other : $\bigwedge a \ b \ ys'. xs = [a] \implies ys = b \# ys' \implies a \neq b \implies P$ **and**

other : $\bigwedge a \ b \ xs'. xs = a \# b \# xs' \implies a \neq b \implies P$

shows P

proof –

note $xs\text{-def}[simp]$ **and** $ys\text{-def}[simp]$

have dist : $\bigwedge P. \text{distinct } [L \leftarrow \text{remdups } C . P \ L]$

by auto

then have H : $\bigwedge a \ b \ P \ xs. [L \leftarrow \text{remdups } C . P \ L] = a \# b \# xs \implies a \neq b$

by (metis distinct-length-2-or-more)

show ?thesis

apply (cases $[L \leftarrow \text{remdups } C. - L \notin \text{lits-of-l (raw-trail } S)]$

rule: list-cases2;

cases $[L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{raw-trail } S) . L \in \text{set } C]$ rule: list-cases2)

using nil-nil **apply** simp

using nil-single **apply** (force dest: filter-in-list-prop-verifiedD)

using nil-other no-dup-filter-diff[OF $n\text{-d}$, of C]

apply fastforce

using single-nil **apply** simp

using single-other $xs\text{-def}$ $ys\text{-def}$ **apply** (metis list.set-intros(1) watch-nat-lists-disjointD)

using single-other **unfolding** $xs\text{-def}$ $ys\text{-def}$ **apply** (metis list.set-intros(1)

watch-nat-lists-disjointD)

using other $xs\text{-def}$ $ys\text{-def}$ **by** (metis H)+

qed

lemma watch-nat-list-cases [consumes 1, case-names nil-nil nil-single nil-other single-nil single-other other]:

fixes

$C :: 'v$ literal list **and**

$S :: 'v$ twl-state

defines

$xs \equiv [L \leftarrow \text{remdups } C . - L \notin \text{lits-of-l (raw-trail } S)]$ **and**

$ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) (\text{raw-trail } S) . L \in \text{set } C]$

assumes

$n\text{-d}$: no-dup (raw-trail S) **and**

nil-nil: $xs = [] \implies ys = [] \implies P$ **and**
nil-single:
 $\bigwedge a. xs = [] \implies ys = [a] \implies a \in \text{set } C \implies P$ **and**
nil-other: $\bigwedge a \ b \ ys'. xs = [] \implies ys = a \# b \# ys' \implies a \neq b \implies P$ **and**
single-nil: $\bigwedge a. xs = [a] \implies ys = [] \implies P$ **and**
single-other: $\bigwedge a \ b \ ys'. xs = [a] \implies ys = b \# ys' \implies a \neq b \implies P$ **and**
other: $\bigwedge a \ b \ xs'. xs = a \# b \# xs' \implies a \neq b \implies P$
shows P
using *watch-nat-list-cases-witness*[*OF* $n-d$, *of* $C \ P$]
nil-nil nil-single nil-other single-nil single-other other
unfolding *xs-def*[*symmetric*] *ys-def*[*symmetric*] **by** *auto*

lemma *watch-nat-lists-set-union-witness*:
fixes
 $C :: 'v \text{ literal list}$ **and**
 $S :: 'v \text{ twl-state}$
defines
 $xs \equiv [L \leftarrow \text{remdups } C. - L \notin \text{lits-of-l (raw-trail } S)]$ **and**
 $ys \equiv [L \leftarrow \text{map } (\lambda L. - \text{lit-of } L) \text{ (raw-trail } S) . L \in \text{set } C]$
assumes $n-d$: *no-dup* (raw-trail S)
shows $\text{set } C = \text{set } xs \cup \text{set } ys$
using $n-d$ **unfolding** *xs-def* *ys-def* **by** (*auto simp: lits-of-def comp-def uminus-lit-swap*)

lemma *mset-intersection-inclusion*: $A + (B - A) = B \longleftrightarrow A \subseteq \# B$
apply (*rule iffI*)
apply (*metis mset-le-add-left*)
by (*auto simp: ac-simps multiset-eq-iff subseteq-mset-def*)

lemma *clause-watch-nat*:
assumes *no-dup* (raw-trail S)
shows $\text{mset (raw-clause (watch-nat } S \ C))} = \text{mset } C$
using *assms*
apply (*cases rule: watch-nat-list-cases*[*OF* *assms*(1), *of* C])
by (*auto dest: filter-in-list-prop-verifiedD simp: watch-nat-def multiset-eq-iff raw-clause-def*)

lemma *index-uminus-index-map-uminus*:
 $-a \in \text{set } L \implies \text{index } L \ (-a) = \text{index (map uminus } L) \ (a::'a \text{ literal})$
by (*induction* L) *auto*

lemma *index-filter*:
 $a \in \text{set } L \implies b \in \text{set } L \implies P \ a \implies P \ b \implies$
 $\text{index } L \ a \leq \text{index } L \ b \longleftrightarrow \text{index (filter } P \ L) \ a \leq \text{index (filter } P \ L) \ b$
by (*induction* L) *auto*

lemma *foldr-remove1-W-Nil*[*simp*]: $\text{foldr remove1 } W \ [] = []$
by (*induct* W) *auto*

lemma *image-lit-of-mmset-of-mlit'*[*simp*]:
 $\text{lit-of ' mmset-of-mlit' ' } A = \text{lit-of ' } A$
by (*auto simp: image-image comp-def*)

lemma *distinct-filter-eq*:
assumes *distinct* xs
shows $[L \leftarrow xs. L = a] = (\text{if } a \in \text{set } xs \text{ then } [a] \text{ else } [])$
using *assms* **by** (*induction* xs) *auto*

```

lemma no-dup-distinct-map-uminus-lit-of:
  no-dup xs  $\implies$  distinct (map ( $\lambda L. - \text{lit-of } L$ ) xs)
  by (induction xs) auto

lemma wf-watch-witness:
  fixes C :: 'v literal list' and
    S :: 'v twl-state'
  defines
    ass: neg-not-assigned  $\equiv$  filter ( $\lambda L. -L \notin \text{lits-of-l (raw-trail } S)$ ) (remdups C) and
    tr: neg-assigned-sorted-by-trail  $\equiv$  filter ( $\lambda L. L \in \text{set } C$ ) (map ( $\lambda L. -\text{lit-of } L$ ) (raw-trail S))
  defines
    W: W  $\equiv$  take 2 (neg-not-assigned @ neg-assigned-sorted-by-trail)
  assumes
    n-d[simp]: no-dup (raw-trail S)
  shows wf-twlc (trail S) (TWL-Clause W (foldr remove1 W C))
  unfolding wf-twlc.simps
proof (intro conjI, goal-cases)
  case 1
  then show ?case using n-d W unfolding ass tr
    apply (cases rule: watch-nat-list-cases-witness[of S C, OF n-d])
    by (auto simp: distinct-mset-add-single)
next
  case 2
  then show ?case unfolding W by simp
next
  case 3
  show ?case using n-d
  proof (cases rule: watch-nat-list-cases-witness[of S C])
    case nil-nil
    then have set C = set []  $\cup$  set []
      using watch-nat-lists-set-union-witness n-d by metis
    then show ?thesis
      by simp
  next
    case (nil-single a)
    moreover have  $\bigwedge x. \text{set } C = \{a\} \implies - a \in \text{lits-of-l (trail } S) \implies x \in \text{set (remove1 a } C) \implies x = a$ 
      using notin-set-remove1 by auto
    ultimately show ?thesis
      using watch-nat-lists-set-union-witness[of S C] 3 by (auto simp: W ass tr comp-def)
  next
    case nil-other
    then show ?thesis
      using 3 by (auto simp: W ass tr)
  next
    case (single-nil a)
    show ?thesis
      using watch-nat-lists-set-union-witness[of S C] 3
      by (fastforce simp add: W ass tr single-nil comp-def distinct-filter-eq no-dup-distinct-map-uminus-lit-of min-def)
  next
    case single-other
    then show ?thesis
      using 3 by (auto simp: W ass tr)

```

```

next
  case other
  then show ?thesis
    using 3 by (auto simp: W ass tr)
qed
next
case 4 note -[simp] = this
show ?case
  using n-d apply (cases rule: watch-nat-list-cases-witness[of S C])
  apply (auto dest: filter-in-list-prop-verifiedD
    simp: W ass tr lits-of-def filter-empty-conv)[4]
  using watch-nat-lists-set-union-witness[of S C]
  by (force dest: filter-in-list-prop-verifiedD simp: W ass tr lits-of-def)+
next
case 5
from n-d show ?case
proof (cases rule: watch-nat-list-cases-witness[of S C])
  case nil-nil
  then show ?thesis by (auto simp: W ass tr)
next
  case nil-single
  then show ?thesis
    using watch-nat-lists-set-union-witness[of S C] tr by (fastforce simp: W ass)
next
  case nil-other
  then show ?thesis
    unfolding watched-decided-most-recently.simps Ball-def
    apply (intro allI impI)
    apply (subst index-uminus-index-map-uminus,
      simp add: index-uminus-index-map-uminus lits-of-def o-def)
    apply (subst index-uminus-index-map-uminus,
      simp add: index-uminus-index-map-uminus lits-of-def o-def)

    apply (subst index-filter[of - -  $\lambda L. L \in \text{set } C$ ])
    by (auto dest: filter-in-list-prop-verifiedD
      simp: uminus-lit-swap lits-of-def o-def W ass tr dest: in-diffD)
next
  case single-nil
  then show ?thesis
    using watch-nat-lists-set-union-witness[of S C] tr by (fastforce simp: W ass)
next
  case single-other
  then show ?thesis
    unfolding watched-decided-most-recently.simps Ball-def
    apply (clarify)
    apply (subst index-uminus-index-map-uminus,
      simp add: index-uminus-index-map-uminus lits-of-def image-image o-def)
    apply (subst index-uminus-index-map-uminus,
      simp add: index-uminus-index-map-uminus lits-of-def o-def)

    apply (subst index-filter[of - -  $\lambda L. L \in \text{set } C$ ])
    by (auto dest: filter-in-list-prop-verifiedD
      simp: W ass tr uminus-lit-swap lits-of-def o-def dest: in-diffD)
next
  case other

```

```

then show ?thesis
  unfolding watched-decided-most-recently.simps
  apply clarify
  apply (subst index-uminus-index-map-uminus,
    simp add: index-uminus-index-map-uminus lits-of-def o-def)[1]
  apply (subst index-uminus-index-map-uminus,
    simp add: index-uminus-index-map-uminus lits-of-def o-def)[1]

  apply (subst index-filter[of - -  $\lambda L. L \in \text{set } C$ ])
  by (auto dest: filter-in-list-prop-verifiedD
    simp: index-uminus-index-map-uminus lits-of-def o-def uminus-lit-swap
    W ass tr)
qed
qed

lemma wf-watch-nat: no-dup (raw-trail S)  $\implies$  wf-twl-cl (trail S) (watch-nat S C)
  using wf-watch-witness[of S C] watch-nat-def by metis

definition
  rewatch-nat ::
    'v literal  $\Rightarrow$  'v twl-state  $\Rightarrow$  'v twl-clause  $\Rightarrow$  'v twl-clause
where
  rewatch-nat L S C =
    (if  $\neg L \in \text{set } (\text{watched } C)$  then
      case filter ( $\lambda L'. L' \notin \text{set } (\text{watched } C) \wedge \neg L' \notin \text{insert } L (\text{lits-of-l } (\text{trail } S))$ )
        (unwatched C) of
        []  $\Rightarrow$  C
      | L' # -  $\Rightarrow$ 
        TWL-Clause (L' # remove1 ( $\neg L$ ) (watched C)) ( $\neg L$  # remove1 L' (unwatched C))
    else
      C)

lemma clause-rewatch-nat:
  fixes UW :: 'v literal list and
    S :: 'v twl-state and
    L :: 'v literal and C :: 'v twl-clause
  shows mset (raw-clause (rewatch-nat L S C)) = mset (raw-clause C)
  using List.set-remove1-subset[of  $\neg L$  watched C]
  apply (cases C)
  by (auto simp: raw-clause-def rewatch-nat-def ac-simps multiset-eq-iff
    split: list.split
    dest: filter-in-list-prop-verifiedD)

lemma filter-sorted-list-of-multiset-Nil:
   $[x \leftarrow \text{sorted-list-of-multiset } M. p \ x] = [] \iff (\forall x \in \# M. \neg p \ x)$ 
  by auto (metis empty-iff filter-set list.set(1) member-filter set-sorted-list-of-multiset)

lemma filter-sorted-list-of-multiset-ConsD:
   $[x \leftarrow \text{sorted-list-of-multiset } M. p \ x] = x \ \# \ xs \implies p \ x$ 
  by (metis filter-set insert-iff list.set(2) member-filter)

lemma mset-minus-single-eq-empty:
   $a - \{\#b\} = \{\#\} \iff a = \{\#b\} \vee a = \{\#\}$ 
  by (metis Multiset.diff-cancel add.right-neutral diff-single-eq-union
    diff-single-trivial zero-diff)

```

```

lemma size-mset-le-2-cases:
  assumes size  $W \leq 2$ 
  shows  $W = \{\#\} \vee (\exists a. W = \{\#a\# \}) \vee (\exists a\ b. W = \{\#a,b\# \})$ 
  by (metis One-nat-def Suc-1 Suc-eq-plus1-left assms linorder-not-less nat-less-le
    not-less-eq-eq le-iff-add size-1-singleton-mset
    size-eq-0-iff-empty size-mset-2)

lemma filter-sorted-list-of-multiset-eqD:
  assumes  $[x \leftarrow \text{sorted-list-of-multiset } A. p\ x] = x \# xs$  (is ?comp = -)
  shows  $x \in \# A$ 
proof -
  have  $x \in \text{set } ?comp$ 
    using assms by simp
  then have  $x \in \text{set } (\text{sorted-list-of-multiset } A)$ 
    by simp
  then show  $x \in \# A$ 
    by simp
qed

lemma clause-rewatch-witness':
  assumes
    wf: wf-twl-cls (trail S) C and
    n-d: no-dup (raw-trail S) and
    undef: undefined-lit (trail S) (lit-of L)
  shows wf-twl-cls (L  $\#$  trail S) (rewatch-nat (lit-of L) S C)
proof (cases - lit-of L  $\in$  set (watched C))
  case False
  then show ?thesis
    apply (cases C)
    using wf n-d undef unfolding rewatch-nat-def
    by (auto simp: uminus-lit-swap Marked-Propagated-in-iff-in-lits-of-l comp-def)
next
  case falsified: True

  let ?unwatched-nonfalsified =
     $[L' \leftarrow \text{unwatched } C. L' \notin \text{set } (\text{watched } C) \wedge - L' \notin \text{insert } (\text{lit-of } L) (\text{lits-of-l } (\text{trail } S))]$ 
  obtain W UW where C: C = TWL-Clause W UW
    by (cases C)

  show ?thesis
proof (cases ?unwatched-nonfalsified)
  case Nil
  show ?thesis
    using falsified Nil
    apply (simp only: wf-twl-cl.simps if-True list.cases C rewatch-nat-def)
    apply (intro conjI)
  proof goal-cases
    case 1
    then show ?case using wf C by simp
  next
    case 2
    then show ?case using wf C by simp
  next
    case 3

```



```

    then show ?case using wf C by simp
next
  case 4
  have  $\bigwedge p l. \text{filter } p (\text{unwatched } C) \neq [] \vee l \notin \text{set } UW \vee \neg p l$ 
    unfolding C by (metis (no-types) filter-empty-conv twl-clause.sel(2))
  then show ?case
    using 4(2) C by auto
next
  case 5
  then show ?case
    using wf by (fastforce simp add: C comp-def uminus-lit-swap)
qed
next
case (Cons L' Ls)
show ?thesis
  unfolding rewatch-nat-def
  using falsified Cons
  apply (simp only: wf-tw-clcls.simps if-True list.cases C)
  apply (intro conjI)
  proof goal-cases
    case 1
    have distinct (watched (TWL-Clause W UW))
      using wf unfolding C by auto
    moreover have  $L' \notin \text{set } (\text{remove1 } (-\text{lit-of } L) (\text{watched } (TWL-Clause W UW)))$ 
      using 1(2) not-gr0 by (fastforce dest: filter-in-list-prop-verifiedD in-diffD)
    ultimately show ?case
      by (auto simp: distinct-mset-single-add)
  next
    case 2
    have f2:  $[l \leftarrow \text{unwatched } (TWL-Clause W UW) . l \notin \text{set } (\text{watched } (TWL-Clause W UW)) \wedge -l \notin \text{insert } (\text{lit-of } L) (\text{lits-of-l } (\text{trail } S))] \neq []$ 
      using 2(2) by simp
    then have  $\neg \text{set } UW \subseteq \text{set } W$ 
      using 2 by (auto simp add: filter-empty-conv)
    then show ?case
      using wf C 2(1) by (auto simp: length-remove1)
  next
    case 3
    have  $W: \text{length } W \leq \text{Suc } 0 \longleftrightarrow \text{length } W = 0 \vee \text{length } W = \text{Suc } 0$ 
      by linarith
    show ?case
      using wf C 3 by (auto simp: length-remove1 W length-list-Suc-0 dest!: subset-singletonD)
  next
    case 4
    have H:  $\forall L \in \text{set } W. -L \in \text{lits-of-l } (\text{trail } S) \longrightarrow (\forall L' \in \text{set } UW. L' \notin \text{set } W \longrightarrow -L' \in \text{lits-of-l } (\text{trail } S))$ 
      using wf by (auto simp: C)
    have  $W: \text{length } W \leq 2$  and  $W-UW: \text{length } W < 2 \longrightarrow \text{set } UW \subseteq \text{set } W$ 
      using wf by (auto simp: C)
    have distinct: distinct W
      using wf by (auto simp: C)
    show ?case
      using 4
      unfolding C watched-decided-most-recently.simps Ball-def twl-clause.sel
      apply (intro allI impI)

```

```

apply (rename-tac xW xUW)
apply (case-tac  $- \text{lit-of } L = xW$ ; case-tac  $xW = xUW$ ; case-tac  $L' = xW$ )
  apply (auto simp: uminus-lit-swap)[2]
  apply (force dest: filter-in-list-prop-verifiedD)
  using H distinct apply (fastforce split: if-split-asm)
  using distinct apply (fastforce split: if-split-asm)
  using distinct apply (fastforce split: if-split-asm)
  apply (force dest: filter-in-list-prop-verifiedD)
  using H by (auto simp: uminus-lit-swap)
next
case 5
have H:  $\forall x. x \in \text{set } W \longrightarrow - x \in \text{lits-of-l } (\text{trail } S) \longrightarrow (\forall x. x \in \text{set } UW \longrightarrow x \notin \text{set } W$ 
   $\longrightarrow - x \in \text{lits-of-l } (\text{trail } S))$ 
  using wf by (auto simp: C)
show ?case
  unfolding C watched-decided-most-recently.simps Ball-def
  proof (intro allI impI conjI, goal-cases)
    case (1 xW x)
    show ?case
      proof (cases - lit-of L = xW)
        case True
        then show ?thesis
          by (cases xW = x) (auto simp: uminus-lit-swap)
      next
      case False note LxW = this
      have f9:  $L' \in \text{set } [l \leftarrow \text{unwatched } C. l \notin \text{set } (\text{watched } (TWL\text{-Clause } W UW))$ 
         $\wedge - l \notin \text{lits-of-l } (L \# \text{trail } S)]$ 
        using 1(2) 5 C by auto
      moreover then have f11:  $- xW \in \text{lits-of-l } (\text{trail } S)$ 
        using 1(3) LxW by (auto simp: uminus-lit-swap)
      moreover then have  $xW \notin \text{set } W$ 
        using f9 1(2) H by (auto simp: C)
      ultimately have False
        using 1 by auto
      then show ?thesis
        by fast
    qed
  qed
qed
qed
qed

```

```

interpretation twl: abstract-tw1 watch-nat rewatch-nat raw-learned-clss
apply unfold-locales
apply (rule clause-watch-nat; simp add: image-image comp-def)
apply (rule wf-watch-nat; simp add: image-image comp-def)
apply (rule clause-rewatch-nat)
apply (rule clause-rewatch-witness'; simp add: image-image comp-def)
apply (simp)
done

```

```

interpretation twl2: abstract-tw1 watch-nat rewatch-nat λ-. []
apply unfold-locales

```

```

apply (rule clause-watch-nat; simp add: image-image comp-def)
apply (rule wf-watch-nat; simp add: image-image comp-def)
apply (rule clause-rewatch-nat)
apply (rule clause-rewatch-witness'; simp add: image-image comp-def)
apply (simp)
done

```

end

25 Invariants for 2 Watched-Literals

```

theory CDCL-Two-Watched-Literals-Invariant
imports CDCL-Two-Watched-Literals DPLL-CDCL-W-Implementation
begin

```

25.1 Interpretation for *conflict-driven-clause-learning_W.cdcl_W*

We define here the 2-WL with the invariant and show the role of the candidates.

```

context abstract-tw1
begin

```

25.1.1 Direct Interpretation

```

lemma mset-map-removeAll-cond:
  mset (map (λx. mset (raw-clause x))
    (removeAll-cond (λD. mset (raw-clause D) = mset (raw-clause C)) N))
  = mset (removeAll (mset (raw-clause C)) (map (λx. mset (raw-clause x)) N))
by (induction N) auto

```

```

lemma mset-raw-init-clss-init-state:
  mset (map (λx. mset (raw-clause x)) (raw-init-clss (init-state (map raw-clause N))))
  = mset (map (λx. mset (raw-clause x)) N)
by (metis (no-types, lifting) init-clss-init-state map-eq-conv map-map o-def)

```

```

interpretation rough-cdcl: stateW
  λC. mset (raw-clause C)

```

```

  λL C. TWL-Clause (watched C) (L # unwatched C)
  λL C. TWL-Clause [] (remove1 L (raw-clause C))
  λC. clauses-of-l (map raw-clause C) op @
  λL C. L ∈ set C op # λC. remove1-cond (λD. mset (raw-clause D) = mset (raw-clause C))

```

```

  mset λxs ys. case-prod append (fold (λx (ys, zs). (remove1 x ys, x # zs)) xs (ys, []))
  op # remove1

```

```

  raw-clause λC. TWL-Clause [] C
  trail λS. hd (raw-trail S)
  raw-init-clss raw-learned-clss backtrack-lvl raw-conflicting
  cons-trail tl-trail λC. add-init-cls (raw-clause C) λC. add-learned-cls (raw-clause C)
  λC. remove-cls (raw-clause C)
  update-backtrack-lvl
  update-conflicting λN. init-state (map raw-clause N) restart'
apply unfold-locales
apply (case-tac raw-trail S)
apply (simp-all add: add-init-cls-def add-learned-cls-def clause-rewatch clause-watch)

```

cons-trail-def remove-cls-def restart'-def tl-trail-def map-tl comp-def
ac-simps mset-map-removeAll-cond mset-raw-init-clss-init-state)

apply (*auto simp: mset-map image-mset-subseteq-mono*[*OF restart-learned*])
done

interpretation *rough-cdcl: conflict-driven-clause-learning_w*
 $\lambda C. \text{mset } (\text{raw-clause } C)$

$\lambda L C. \text{TWL-Clause } (\text{watched } C) (L \# \text{unwatched } C)$
 $\lambda L C. \text{TWL-Clause } [] (\text{remove1 } L (\text{raw-clause } C))$
 $\lambda C. \text{clauses-of-l } (\text{map raw-clause } C) \text{ op } @$
 $\lambda L C. L \in \text{set } C \text{ op } \# \lambda C. \text{remove1-cond } (\lambda D. \text{mset } (\text{raw-clause } D) = \text{mset } (\text{raw-clause } C))$

$\text{mset } \lambda xs \text{ ys. case-prod append } (\text{fold } (\lambda x (ys, zs). (\text{remove1 } x \text{ ys}, x \# zs)) \text{ xs } (ys, []))$
 $\text{op } \# \text{remove1}$

$\lambda C. \text{raw-clause } C \lambda C. \text{TWL-Clause } [] C$
 $\text{trail } \lambda S. \text{hd } (\text{raw-trail } S)$
 $\text{raw-init-clss raw-learned-clss backtrack-lvl raw-conflicting}$
 $\text{cons-trail tl-trail } \lambda C. \text{add-init-cls } (\text{raw-clause } C) \lambda C. \text{add-learned-cls } (\text{raw-clause } C)$
 $\lambda C. \text{remove-cls } (\text{raw-clause } C)$
 $\text{update-backtrack-lvl}$
 $\text{update-conflicting } \lambda N. \text{init-state } (\text{map raw-clause } N) \text{ restart'}$
by *unfold-locales*

declare *local.rough-cdcl.mset-ccls-ccls-of-cls*[*simp del*]

25.1.2 Opaque Type with Invariant

declare *rough-cdcl.state-simp*[*simp del*]

definition *cons-trail-twl* :: (*'v*, *nat*, *'v twl-clause*) *marked-lit* \Rightarrow *'v wf-twl* \Rightarrow *'v wf-twl*
where
 $\text{cons-trail-twl } L S \equiv \text{twl-of-rough-state } (\text{cons-trail } L (\text{rough-state-of-twl } S))$

lemma *wf-twl-state-cons-trail*:

assumes
 $\text{undef: undefined-lit } (\text{trail } S) (\text{lit-of } L) \text{ and}$
 $\text{wf: wf-twl-state } S$
shows $\text{wf-twl-state } (\text{cons-trail } L S)$
using $\text{undef wf wf-rewatch[of } S \text{ mset-of-mlit' } L]$ **unfolding** *wf-twl-state-def Ball-def*
by (*auto simp: cons-trail-def defined-lit-map comp-def image-def twl.raw-clauses-def*)

lemma *rough-state-of-twl-cons-trail*:

$\text{undefined-lit } (\text{trail-twl } S) (\text{lit-of } L) \Rightarrow$
 $\text{rough-state-of-twl } (\text{cons-trail-twl } L S) = \text{cons-trail } L (\text{rough-state-of-twl } S)$
using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-cons-trail*
unfolding *cons-trail-twl-def* **by** *blast*

abbreviation *add-init-cls-twl* **where**

$\text{add-init-cls-twl } C S \equiv \text{twl-of-rough-state } (\text{add-init-cls } C (\text{rough-state-of-twl } S))$

lemma *wf-twl-add-init-cls*: $\text{wf-twl-state } S \Rightarrow \text{wf-twl-state } (\text{add-init-cls } L S)$

unfolding *wf-twl-state-def* **by** (*auto simp: wf-watch add-init-cls-def comp-def twl.raw-clauses-def*
split: if-split-asm)

lemma *rough-state-of-twl-add-init-cls*:

rough-state-of-twl (add-init-cls-twl L S) = add-init-cls L (rough-state-of-twl S)

using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-add-init-cls* **by** blast

abbreviation *add-learned-cls-twl* **where**

add-learned-cls-twl C S \equiv *twl-of-rough-state* (add-learned-cls C (rough-state-of-twl S))

lemma *wf-twl-add-learned-cls*: *wf-twl-state* S \implies *wf-twl-state* (add-learned-cls L S)

unfolding *wf-twl-state-def* **by** (auto simp: wf-watch add-learned-cls-def twl.raw-clauses-def split: if-split-asm)

lemma *rough-state-of-twl-add-learned-cls*:

rough-state-of-twl (add-learned-cls-twl L S) = add-learned-cls L (rough-state-of-twl S)

using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-add-learned-cls* **by** blast

abbreviation *remove-cls-twl* **where**

remove-cls-twl C S \equiv *twl-of-rough-state* (remove-cls C (rough-state-of-twl S))

lemma *set-removeAll-condD*: $x \in \text{set } (\text{removeAll-cond } f \text{ } xs) \implies x \in \text{set } xs$

by (induction xs) (auto split: if-split-asm)

lemma *wf-twl-remove-cls*: *wf-twl-state* S \implies *wf-twl-state* (remove-cls L S)

unfolding *wf-twl-state-def* **by** (auto simp: wf-watch remove-cls-def twl.raw-clauses-def comp-def split: if-split-asm dest: set-removeAll-condD)

lemma *rough-state-of-twl-remove-cls*:

rough-state-of-twl (remove-cls-twl L S) = remove-cls L (rough-state-of-twl S)

using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-remove-cls* **by** blast

abbreviation *init-state-twl* **where**

init-state-twl N \equiv *twl-of-rough-state* (init-state N)

lemma *wf-twl-state-wf-twl-state-fold-add-init-cls*:

assumes *wf-twl-state* S

shows *wf-twl-state* (fold add-init-cls N S)

using *assms* **apply** (induction N arbitrary: S)

apply (auto simp: wf-twl-state-def)[]

by (simp add: wf-twl-add-init-cls)

lemma *wf-twl-state-epsilon-state[simp]*:

wf-twl-state (TWL-State [] [] 0 None)

by (auto simp: wf-twl-state-def twl.raw-clauses-def)

lemma *wf-twl-init-state*: *wf-twl-state* (init-state N)

unfolding *init-state-def* **by** (auto intro!: wf-twl-state-wf-twl-state-fold-add-init-cls)

lemma *rough-state-of-twl-init-state*:

rough-state-of-twl (init-state-twl N) = init-state N

by (simp add: twl-of-rough-state-inverse wf-twl-init-state)

abbreviation *tl-trail-twl* **where**

tl-trail-twl S \equiv *twl-of-rough-state* (tl-trail (rough-state-of-twl S))

lemma *wf-twl-state-tl-trail*: *wf-twl-state* S \implies *wf-twl-state* (tl-trail S)

by (*auto simp add: twl-of-rough-state-inverse wf-twl-init-state wf-twl-cls-wf-twl-cls-tl
tl-trail-def wf-twl-state-def distinct-tl map-tl comp-def twl.raw-clauses-def*)

lemma *rough-state-of-twl-tl-trail:*

rough-state-of-twl (tl-trail-twl S) = tl-trail (rough-state-of-twl S)

using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-tl-trail* **by** *blast*

abbreviation *update-backtrack-lvl-twl where*

update-backtrack-lvl-twl k S \equiv twl-of-rough-state (update-backtrack-lvl k (rough-state-of-twl S))

lemma *wf-twl-state-update-backtrack-lvl:*

wf-twl-state S \implies wf-twl-state (update-backtrack-lvl k S)

unfolding *wf-twl-state-def* **by** (*auto simp: comp-def twl.raw-clauses-def*)

lemma *rough-state-of-twl-update-backtrack-lvl:*

*rough-state-of-twl (update-backtrack-lvl-twl k S) = update-backtrack-lvl k
(rough-state-of-twl S)*

using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-update-backtrack-lvl* **by** *fast*

abbreviation *update-conflicting-twl where*

update-conflicting-twl k S \equiv twl-of-rough-state (update-conflicting k (rough-state-of-twl S))

lemma *wf-twl-state-update-conflicting:*

wf-twl-state S \implies wf-twl-state (update-conflicting k S)

unfolding *wf-twl-state-def* **by** (*auto simp: twl.raw-clauses-def comp-def*)

lemma *rough-state-of-twl-update-conflicting:*

*rough-state-of-twl (update-conflicting-twl k S) = update-conflicting k
(rough-state-of-twl S)*

using *rough-state-of-twl twl-of-rough-state-inverse wf-twl-state-update-conflicting* **by** *fast*

abbreviation *raw-clauses-twl where*

raw-clauses-twl S \equiv twl.raw-clauses (rough-state-of-twl S)

abbreviation *restart-twl where*

restart-twl S \equiv twl-of-rough-state (restart' (rough-state-of-twl S))

lemma *mset-union-mset-setD:*

mset A $\subseteq\#$ mset B \implies set A \subseteq set B

by *auto*

lemma *wf-wf-restart': wf-twl-state S \implies wf-twl-state (restart' S)*

unfolding *restart'-def wf-twl-state-def* **apply** *standard*

apply *clarify*

apply (*rename-tac x*)

apply (*subgoal-tac wf-twl-cls (trail S) x*)

apply (*case-tac x*)

using *restart-learned* **by** (*auto simp: twl.raw-clauses-def comp-def dest: mset-union-mset-setD*)

lemma *rough-state-of-twl-restart-twl:*

rough-state-of-twl (restart-twl S) = restart' (rough-state-of-twl S)

by (*simp add: twl-of-rough-state-inverse wf-wf-restart'*)

sublocale *conflict-driven-clause-learning_w*

$\lambda C. \text{mset (raw-clause C)}$

$\lambda L C. \text{TWL-Clause } (\text{watched } C) (L \# \text{unwatched } C)$
 $\lambda L C. \text{TWL-Clause } [] (\text{remove1 } L (\text{raw-clause } C))$
 $\lambda C. \text{clauses-of-l } (\text{map raw-clause } C) \text{ op } @$
 $\lambda L C. L \in \text{set } C \text{ op } \# \lambda C. \text{remove1-cond } (\lambda D. \text{mset } (\text{raw-clause } D) = \text{mset } (\text{raw-clause } C))$

$\text{mset } \lambda xs \text{ ys. case-prod append } (\text{fold } (\lambda x (ys, zs). (\text{remove1 } x \text{ ys}, x \# zs)) \text{ xs } (ys, []))$
 $\text{op } \# \text{remove1}$

$\lambda C. \text{raw-clause } C \lambda C. \text{TWL-Clause } [] C$
 $\text{trail-twl } \lambda S. \text{hd } (\text{raw-trail-twl } S)$

raw-init-clss-twl

$\text{raw-learned-clss-twl}$

backtrack-lvl-twl

$\text{raw-conflicting-twl}$

cons-trail-twl

tl-trail-twl

$\lambda C. \text{add-init-clt-twl } (\text{raw-clause } C)$

$\lambda C. \text{add-learned-clt-twl } (\text{raw-clause } C)$

$\lambda C. \text{remove-clt-twl } (\text{raw-clause } C)$

$\text{update-backtrack-lvl-twl}$

$\text{update-conflicting-twl}$

$\lambda N. \text{init-state-twl } (\text{map raw-clause } N)$

restart-twl

apply unfold-locales

using $\text{rough-cdcl.hd-raw-trail}$ **apply** blast

apply ($\text{simp-all add: rough-state-of-twl-cons-trail rough-state-of-twl-tl-trail}$
 $\text{rough-state-of-twl-add-init-clt rough-state-of-twl-add-learned-clt}$
 $\text{rough-state-of-twl-remove-clt rough-state-of-twl-update-backtrack-lvl}$
 $\text{rough-state-of-twl-update-conflicting})[7]$

using $\text{rough-cdcl.init-clss-cons-trail rough-cdcl.init-clss-tl-trail}$

$\text{rough-cdcl.init-clss-add-init-clt rough-cdcl.init-clss-remove-clt}$

$\text{rough-cdcl.init-clss-add-learned-clt}$

$\text{rough-cdcl.init-clss-update-backtrack-lvl}$

$\text{rough-cdcl.init-clss-update-conflicting}$

apply ($\text{auto simp add: rough-state-of-twl-cons-trail rough-state-of-twl-tl-trail}$
 $\text{rough-state-of-twl-add-init-clt rough-state-of-twl-add-learned-clt}$
 $\text{rough-state-of-twl-remove-clt rough-state-of-twl-update-backtrack-lvl}$
 $\text{rough-state-of-twl-update-conflicting comp-def})[7]$

using $\text{rough-cdcl.learned-clss-cons-trail rough-cdcl.learned-clss-tl-trail}$

$\text{rough-cdcl.learned-clss-add-init-clt rough-cdcl.learned-clss-remove-clt}$

$\text{rough-cdcl.learned-clss-add-learned-clt}$

$\text{rough-cdcl.learned-clss-update-backtrack-lvl}$

$\text{rough-cdcl.learned-clss-update-conflicting}$

apply ($\text{auto simp add: rough-state-of-twl-cons-trail rough-state-of-twl-tl-trail}$
 $\text{rough-state-of-twl-add-init-clt rough-state-of-twl-add-learned-clt}$
 $\text{rough-state-of-twl-remove-clt rough-state-of-twl-update-backtrack-lvl}$
 $\text{rough-state-of-twl-update-conflicting comp-def})[7]$

apply ($\text{auto simp add: rough-state-of-twl-cons-trail rough-state-of-twl-tl-trail}$
 $\text{rough-state-of-twl-add-init-clt rough-state-of-twl-add-learned-clt}$
 $\text{rough-state-of-twl-remove-clt rough-state-of-twl-update-backtrack-lvl}$
 $\text{rough-state-of-twl-update-conflicting comp-def})[14]$

using $\text{init-clss-init-state}$ **apply** ($\text{auto simp: rough-state-of-twl-init-state})[5]$

using $\text{rough-cdcl.init-clss-restart-state rough-cdcl.learned-clss-restart-state}$

apply ($\text{auto simp: rough-state-of-twl-restart-twl})[5]$

done

declare *local.rough-cdcl.mset-ccls-ccls-of-cl*_[simp del]
abbreviation *state-eq-tw*_l (**infix** \sim TWL 51) **where**
state-eq-tw *S S'* \equiv *rough-cdcl.state-eq* (*rough-state-of-tw* *S*) (*rough-state-of-tw* *S'*)
notation *state-eq* (**infix** \sim 51)
declare *state-simp*_[simp del]

To avoid ambiguities:

no-notation *state-eq-tw*_l (**infix** \sim 51)

inductive *propagate-tw*_l :: '*v wf-tw*_l \Rightarrow '*v wf-tw*_l \Rightarrow bool **where**
propagate-tw-rule: (*L, C*) \in *candidates-propagate-tw* *S* \Longrightarrow
S' \sim *cons-trail-tw*_l (*Propagated L C*) *S* \Longrightarrow
raw-conflicting-tw *S* = None \Longrightarrow
propagate-tw *S S'*

inductive-cases *propagate-twE*: *propagate-tw* *S T*
thm *propagateE*

lemma *distinct-filter-eq-if*:
distinct C \Longrightarrow *length* (*filter* (*op* = *L*) *C*) = (*if* *L* \in *set C* *then* 1 *else* 0)
by (*induction C*) *auto*

lemma *distinct-mset-remove1-All*:
distinct-mset C \Longrightarrow *remove1-mset L C* = *removeAll-mset L C*
by (*auto simp: multiset-eq-iff distinct-mset-count-less-1*)

lemma *propagate-tw-iff-propagate*:
assumes *inv: cdcl_W-all-struct-inv S*
shows *propagate S T* \longleftrightarrow *propagate-tw S T* (**is** *?P* \longleftrightarrow *?T*)

proof

assume *?P*
then obtain *L E* **where**
raw-conflicting-tw *S* = None **and**
CL-Clauses: *E* \in *set* (*twl.raw-clauses S*) **and**
LE: *L* \in *mset* (*raw-clause E*) **and**
tr-CNot: *trail-tw* *S* \models *as CNot* (*remove1-mset L* (*mset* (*raw-clause E*))) **and**
*undef-lot*_[simp]: *undefined-lit* (*trail-tw* *S*) *L* **and**
T \sim *cons-trail-tw*_l (*Propagated L E*) *S*
by (*blast elim: propagateE*)
have *distinct* (*raw-clause E*)
using *inv CL-Clauses unfolding cdcl_W-all-struct-inv-def distinct-mset-set-def*
distinct-cdcl_W-state-def raw-clauses-def **by** *auto*
then have *X*: *remove1-mset L* (*mset* (*raw-clause E*)) = *mset-set* (*set* (*raw-clause E*) - {*L*})
by (*auto simp: multiset-eq-iff raw-clause-def count-mset distinct-filter-eq-if*)
have (*L, E*) \in *candidates-propagate-tw* *S*
apply (*rule wf-candidates-propagate-complete*)
using *rough-state-of-tw* **apply** *auto*
using *CL-Clauses unfolding raw-clauses-def twl.raw-clauses-def*
apply *auto*
using *LE* **apply** *simp*
using *tr-CNot X* **apply** *simp*
using *undef-lot* **apply** *blast*
done
show *?T*


```

apply (rule propagate-twl-rule)
  apply (rule  $\langle (L, E) \in \text{candidates-propagate-twl } S \rangle$ )
  using  $\langle T \sim \text{cons-trail-twl } (\text{Propagated } L \ E) \ S \rangle$ 
  apply (auto simp:  $\langle \text{raw-conflicting-twl } S = \text{None} \rangle \text{ twl.state-eq-def}$ )
done
next
assume ?T
then obtain L C where
  LC:  $(L, C) \in \text{candidates-propagate-twl } S$  and
  T:  $T \sim \text{cons-trail-twl } (\text{Propagated } L \ C) \ S$  and
  confl:  $\text{raw-conflicting-twl } S = \text{None}$ 
  by (auto elim: propagate-twlE)
have
  C'S:  $C \in \text{set } (\text{raw-clauses-twl } S)$  and
  L:  $\text{set } (\text{watched } C) - \text{uminus ' lits-of-l } (\text{trail-twl } S) = \{L\}$  and
  undef:  $\text{undefined-lit } (\text{trail-twl } S) \ L$ 
  using LC unfolding candidates-propagate-def raw-clauses-def by auto
have dist:  $\text{distinct } (\text{raw-clause } C)$ 
  using inv C'S unfolding cdclW-all-struct-inv-def distinct-cdclW-state-def
  distinct-mset-set-def twl.raw-clauses-def by fastforce
then have C-L-L:  $\text{mset-set } (\text{set } (\text{raw-clause } C) - \{L\}) = \text{mset } (\text{raw-clause } C) - \{\#L\# \}$ 
  by (metis distinct-mset-distinct distinct-mset-minus distinct-mset-set-mset-ident mset-remove1
    set-mset-mset set-remove1-eq)

show ?P
apply (rule propagate-rule[of S C L])
  using confl apply auto[]
  using C'S unfolding twl.raw-clauses-def apply (simp add: raw-clauses-def)
  using L unfolding candidates-propagate-def apply (auto simp: raw-clause-def)[]
  using wf-candidates-propagate-sound[OF - LC] rough-state-of-twl dist
  apply (simp add: distinct-mset-remove1-All)
  using undef apply simp
  using T undef by (smt backtrack-lvl-cons-trail confl init-clss-cons-trail
    learned-clss-cons-trail marked-lit.sel(2) raw-conflicting-cons-trail state-eq-def
    trail-cons-trail twl2.mmset-of-mlit.simps(1) twl2.mset-cls-cls-of-ccls)
qed

```

no-notation twl.state-eq-twl (infix \sim TWL 51)

inductive conflict-twl **where**

conflict-twl-rule:

$C \in \text{candidates-conflict-twl } S \implies$
 $S' \sim \text{update-conflicting-twl } (\text{Some } (\text{raw-clause } C)) \ S \implies$
 $\text{raw-conflicting-twl } S = \text{None} \implies$
 $\text{conflict-twl } S \ S'$

inductive-cases conflict-twlE: $\text{conflict-twl } S \ T$

lemma conflict-twl-iff-conflict:

shows $\text{conflict } S \ T \longleftrightarrow \text{conflict-twl } S \ T$ (is ?C \longleftrightarrow ?T)

proof

assume ?C

then obtain D **where**

S: $\text{raw-conflicting-twl } S = \text{None}$ **and**

D: $D \in \text{set } (\text{raw-clauses } S)$ **and**

```

MD: trail-tw1 S  $\models_{as}$  CNot (mset (raw-clause D)) and
T: T  $\sim$  update-conflicting-tw1 (Some (raw-clause D)) S
by (elim conflictE)

have D  $\in$  candidates-conflict-tw1 S
  apply (rule wf-candidates-conflict-complete)
    apply simp
    using D apply (auto simp: raw-clauses-def tw1.raw-clauses-def)[]
  using MD S by auto
moreover have T  $\sim$  tw1-of-rough-state (update-conflicting (Some (raw-clause D))
(rough-state-of-tw1 S))
  using T unfolding rough-cdcl.state-eq-def state-eq-def by auto
ultimately show ?T
  using S by (auto intro: conflict-tw1-rule)
next
assume ?T
then obtain C where
  C: C  $\in$  candidates-conflict-tw1 S and
  T: T  $\sim$  update-conflicting-tw1 (Some (raw-clause C)) S and
  confl: raw-conflicting-tw1 S = None
  by (auto elim: conflict-tw1E)
have
  C  $\in$  set (raw-clauses S)
  using C unfolding candidates-conflict-def raw-clauses-def tw1.raw-clauses-def by auto
moreover have trail-tw1 S  $\models_{as}$  CNot (mset (raw-clause C))
  using wf-candidates-conflict-sound[OF - C] by auto
ultimately show ?C apply -
  apply (rule conflict.conflict-rule[of - C])
  using confl T unfolding rough-cdcl.state-eq-def by (auto simp del: map-map)
qed

inductive cdclW-tw1 :: 'v wf-tw1  $\Rightarrow$  'v wf-tw1  $\Rightarrow$  bool for S :: 'v wf-tw1 where
propagate: propagate-tw1 S S'  $\Longrightarrow$  cdclW-tw1 S S' |
conflict: conflict-tw1 S S'  $\Longrightarrow$  cdclW-tw1 S S' |
other: cdclW-o S S'  $\Longrightarrow$  cdclW-tw1 S S' |
rf: cdclW-rf S S'  $\Longrightarrow$  cdclW-tw1 S S'

lemma cdclW-tw1-iff-cdclW:
  assumes cdclW-all-struct-inv S
  shows cdclW-tw1 S T  $\longleftrightarrow$  cdclW S T
  by (simp add: asms cdclW.simps cdclW-tw1.simps conflict-tw1-iff-conflict
    propagate-tw1-iff-propagate del: map-map)

lemma rtrancp-cdclW-tw1-all-struct-inv-inv:
  assumes cdclW-tw1** S T and cdclW-all-struct-inv S
  shows cdclW-all-struct-inv T
  using asms by (induction rule: rtrancp-induct)
  (simp-all add: cdclW-tw1-iff-cdclW cdclW-all-struct-inv-inv del: map-map)

lemma rtrancp-cdclW-tw1-iff-rtrancp-cdclW:
  assumes cdclW-all-struct-inv S
  shows cdclW-tw1** S T  $\longleftrightarrow$  cdclW** S T (is ?T  $\longleftrightarrow$  ?W)
proof
  assume ?W
  then show ?T

```

```

proof (induction rule: rtrancpl-induct)
  case base
  then show ?case by simp
next
  case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)
  have cdclW-twl T U
    using assms st cdcl rtrancpl-cdclW-all-struct-inv-inv cdclW-twl-iff-cdclW
    by blast
  then show ?case using IH by auto
qed
next
assume ?T
then show ?W
  proof (induction rule: rtrancpl-induct)
    case base
    then show ?case by simp
  next
    case (step T U) note st = this(1) and cdcl = this(2) and IH = this(3)
    have cdclW T U
      using assms st cdcl rtrancpl-cdclW-twl-all-struct-inv-inv cdclW-twl-iff-cdclW
      by blast
    then show ?case using IH by auto
  qed
qed

end

end
theory Prop-Superposition
imports Partial-Clausal-Logic ../lib/Herbrand-Interpretation
begin
sledgehammer-params[verbose]
no-notation Herbrand-Interpretation.true-cls (infix  $\models$  50)
notation Herbrand-Interpretation.true-cls (infix  $\models_h$  50)

no-notation Herbrand-Interpretation.true-clss (infix  $\models_s$  50)
notation Herbrand-Interpretation.true-clss (infix  $\models_{hs}$  50)

lemma herbrand-interp-iff-partial-interp-cls:
   $S \models_h C \longleftrightarrow \{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\} \models C$ 
  unfolding Herbrand-Interpretation.true-cls-def Partial-Clausal-Logic.true-cls-def
  by auto

lemma herbrand-consistent-interp:
  consistent-interp ( $\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\}$ )
  unfolding consistent-interp-def by auto

lemma herbrand-total-over-set:
  total-over-set ( $\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\}$ ) T
  unfolding total-over-set-def by auto

lemma herbrand-total-over-m:
  total-over-m ( $\{Pos\ P|P. P \in S\} \cup \{Neg\ P|P. P \notin S\}$ ) T
  unfolding total-over-m-def by (auto simp add: herbrand-total-over-set)

```

lemma *herbrand-interp-iff-partial-interp-clss*:
 $S \models_{hs} C \iff \{Pos\ P | P. P \in S\} \cup \{Neg\ P | P. P \notin S\} \models_s C$
unfolding *true-clss-def Ball-def herbrand-interp-iff-partial-interp-clss*
Partial-Clausal-Logic.true-clss-def **by** *auto*

definition *clss-lt* :: '*a*::wellorder clauses \Rightarrow '*a* clause \Rightarrow '*a* clauses **where**
clss-lt *N* *C* = $\{D \in N. D \# \subset \# C\}$

notation (*latex output*)
clss-lt ($-\hat{<}^{bsup} - \hat{<}^{esup}$)

locale *selection* =
fixes *S* :: '*a* clause \Rightarrow '*a* clause
assumes
S-selects-subseteq: $\bigwedge C. S\ C \leq \# C$ **and**
S-selects-neg-lits: $\bigwedge C\ L. L \in \# S\ C \implies is_neg\ L$

locale *ground-resolution-with-selection* =
selection *S* **for** *S* :: ('*a* :: wellorder) clause \Rightarrow '*a* clause
begin

context
fixes *N* :: '*a* clause set
begin

We do not create an equivalent of δ , but we directly defined N_C by inlining the definition.

function
production :: '*a* clause \Rightarrow '*a* interp
where
production *C* =
 $\{A. C \in N \wedge C \neq \{\#\} \wedge Max\ (set_mset\ C) = Pos\ A \wedge count\ C\ (Pos\ A) \leq 1$
 $\wedge \neg (\bigcup D \in \{D. D \# \subset \# C\}. production\ D) \models_h C \wedge S\ C = \{\#\}\}$
by *auto*
termination by (*relation* $\{(D, C). D \# \subset \# C\}$) (*auto simp: wf-less-multiset*)

declare *production.simps*[*simp del*]

definition *interp* :: '*a* clause \Rightarrow '*a* interp **where**
interp *C* = $(\bigcup D \in \{D. D \# \subset \# C\}. production\ D)$

lemma *production-unfold*:
 $production\ C = \{A. C \in N \wedge C \neq \{\#\} \wedge Max\ (set_mset\ C) = Pos\ A \wedge count\ C\ (Pos\ A) \leq 1 \wedge \neg$
 $interp\ C \models_h C \wedge S\ C = \{\#\}\}$
unfolding *interp-def* **by** (*rule* *production.simps*)

abbreviation *productive* *A* $\equiv (production\ A \neq \{\})$

abbreviation *produces* :: '*a* clause \Rightarrow '*a* \Rightarrow bool **where**
produces *C* *A* $\equiv production\ C = \{A\}$

lemma *producesD*:
 $produces\ C\ A \implies C \in N \wedge C \neq \{\#\} \wedge Pos\ A = Max\ (set_mset\ C) \wedge count\ C\ (Pos\ A) \leq 1 \wedge \neg$
 $interp\ C \models_h C \wedge S\ C = \{\#\}$
unfolding *production-unfold* **by** *auto*

lemma *produces C A \implies Pos A $\in \#$ C*
by (*simp add: Max-in-lits producesD*)

lemma *interp'-def-in-set:*
interp C = ($\bigcup D \in \{D \in N. D \# \subseteq \# C\}. \text{production } D$)
unfolding *interp-def* **apply** *auto*
unfolding *production-unfold* **apply** *auto*
done

lemma *production-iff-produces:*
produces D A \longleftrightarrow A \in production D
unfolding *production-unfold* **by** *auto*

definition *Interp :: 'a clause \Rightarrow 'a interp where*
Interp C = interp C \cup production C

lemma
assumes *produces C P*
shows *Interp C \models_h C*
unfolding *Interp-def* **assms** **using** *producesD[OF assms]*
by (*metis Max-in-lits Un-insert-right insertI1 pos-literal-in-imp-true-cls*)

definition *INTERP :: 'a interp where*
INTERP = ($\bigcup D \in N. \text{production } D$)

lemma *interp-subseteq-Interp[simp]: interp C \subseteq Interp C*
unfolding *Interp-def* **by** *simp*

lemma *Interp-as-UNION: Interp C = ($\bigcup D \in \{D. D \# \subseteq \# C\}. \text{production } D$)*
unfolding *Interp-def interp-def le-multiset-def* **by** *fast*

lemma *productive-not-empty: productive C \implies C $\neq \{\#\}$*
unfolding *production-unfold* **by** *auto*

lemma *productive-imp-produces-Max-literal: productive C \implies produces C (atm-of (Max (set-mset C)))*
unfolding *production-unfold* **by** (*auto simp del: atm-of-Max-lit*)

lemma *productive-imp-produces-Max-atom: productive C \implies produces C (Max (atms-of C))*
unfolding *atms-of-def Max-atm-of-set-mset-commute[OF productive-not-empty]*
by (*rule productive-imp-produces-Max-literal*)

lemma *produces-imp-Max-literal: produces C A \implies A = atm-of (Max (set-mset C))*
by (*metis Max-singleton insert-not-empty productive-imp-produces-Max-literal*)

lemma *produces-imp-Max-atom: produces C A \implies A = Max (atms-of C)*
by (*metis Max-singleton insert-not-empty productive-imp-produces-Max-atom*)

lemma *produces-imp-Pos-in-lits: produces C A \implies Pos A $\in \#$ C*
by (*auto intro: Max-in-lits dest!: producesD*)

lemma *productive-in-N: productive C \implies C \in N*
unfolding *production-unfold* **by** *auto*

lemma *produces-imp-atms-leq: produces C A \implies B \in atms-of C \implies B \leq A*

by (metis Max-ge finite-atms-of insert-not-empty productive-imp-produces-Max-atom
singleton-inject)

lemma produces-imp-neg-notin-lits: produces C $A \implies \neg \text{Neg } A \in\# C$
by (rule pos-Max-imp-neg-notin) (auto dest: producesD)

lemma less-eq-imp-interp-subseteq-interp: $C \# \subseteq\# D \implies \text{interp } C \subseteq \text{interp } D$
unfolding interp-def by auto (metis multiset-order.order.strict-trans2)

lemma less-eq-imp-interp-subseteq-Interp: $C \# \subseteq\# D \implies \text{interp } C \subseteq \text{Interp } D$
unfolding Interp-def using less-eq-imp-interp-subseteq-interp by blast

lemma less-imp-production-subseteq-interp: $C \# \subset\# D \implies \text{production } C \subseteq \text{interp } D$
unfolding interp-def by fast

lemma less-eq-imp-production-subseteq-Interp: $C \# \subseteq\# D \implies \text{production } C \subseteq \text{Interp } D$
unfolding Interp-def using less-imp-production-subseteq-interp
by (metis multiset-order.le-imp-less-or-eq le-supI1 sup-ge2)

lemma less-imp-Interp-subseteq-interp: $C \# \subset\# D \implies \text{Interp } C \subseteq \text{interp } D$
unfolding Interp-def
by (auto simp: less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp)

lemma less-eq-imp-Interp-subseteq-Interp: $C \# \subseteq\# D \implies \text{Interp } C \subseteq \text{Interp } D$
using less-imp-Interp-subseteq-interp
unfolding Interp-def by (metis multiset-order.le-imp-less-or-eq le-supI2 subset-refl sup-commute)

lemma false-Interp-to-true-interp-imp-less-multiset: $A \notin \text{Interp } C \implies A \in \text{interp } D \implies C \# \subset\# D$
using less-eq-imp-interp-subseteq-Interp multiset-linorder.not-less by blast

lemma false-interp-to-true-interp-imp-less-multiset: $A \notin \text{interp } C \implies A \in \text{interp } D \implies C \# \subset\# D$
using less-eq-imp-interp-subseteq-interp multiset-linorder.not-less by blast

lemma false-Interp-to-true-Interp-imp-less-multiset: $A \notin \text{Interp } C \implies A \in \text{Interp } D \implies C \# \subset\# D$
using less-eq-imp-Interp-subseteq-Interp multiset-linorder.not-less by blast

lemma false-interp-to-true-Interp-imp-le-multiset: $A \notin \text{interp } C \implies A \in \text{Interp } D \implies C \# \subseteq\# D$
using less-imp-Interp-subseteq-interp multiset-linorder.not-less by blast

lemma interp-subseteq-INTERP: $\text{interp } C \subseteq \text{INTERP}$
unfolding interp-def INTERP-def by (auto simp: production-unfold)

lemma production-subseteq-INTERP: $\text{production } C \subseteq \text{INTERP}$
unfolding INTERP-def using production-unfold by blast

lemma Interp-subseteq-INTERP: $\text{Interp } C \subseteq \text{INTERP}$
unfolding Interp-def by (auto intro!: interp-subseteq-INTERP production-subseteq-INTERP)

This lemma corresponds to theorem 2.7.6 page 66 of CW.

lemma produces-imp-in-interp:
assumes $a\text{-in-}c$: $\text{Neg } A \in\# C$ and d : produces D A
shows $A \in \text{interp } C$

proof –

from d have $\text{Max } (\text{set-mset } D) = \text{Pos } A$
using production-unfold by blast

hence $D \# \subset \# \{ \# \text{Neg } A \# \}$
 by (auto intro: Max-pos-neg-less-multiset)
 moreover have $\{ \# \text{Neg } A \# \} \# \subseteq \# C$
 by (rule less-eq-imp-le-multiset) (rule mset-le-single[OF a-in-c])
 ultimately show ?thesis
 using d by (blast dest: less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp)
 qed

lemma neg-notin-Interp-not-produce: $\text{Neg } A \in \# C \implies A \notin \text{Interp } D \implies C \# \subseteq \# D \implies \neg \text{produces } D'' A$

by (auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-Interp)

lemma in-production-imp-produces: $A \in \text{production } C \implies \text{produces } C A$

by (metis insert-absorb productive-imp-produces-Max-atom singleton-insert-inj-eq')

lemma not-produces-imp-notin-production: $\neg \text{produces } C A \implies A \notin \text{production } C$

by (metis in-production-imp-produces)

lemma not-produces-imp-notin-interp: $(\bigwedge D. \neg \text{produces } D A) \implies A \notin \text{interp } C$

unfolding interp-def by (fast intro!: in-production-imp-produces)

The results below corresponds to Lemma 3.4.

Nitpicking: If $D = D'$ and D is productive, $I^D \subseteq I_{D'}$ does not hold.

lemma true-Interp-imp-general:

assumes

c-le-d: $C \# \subseteq \# D$ and

d-lt-d': $D \# \subset \# D'$ and

c-at-d: $\text{Interp } D \models_h C$ and

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

proof (cases $\exists A. \text{Pos } A \in \# C \wedge A \in \text{Interp } D$)

case True

then obtain A where a-in-c: $\text{Pos } A \in \# C$ and a-at-d: $A \in \text{Interp } D$

by blast

from a-at-d have $A \in \text{interp } D'$

using d-lt-d' less-imp-Interp-subseteq-interp by blast

thus ?thesis

using subs a-in-c by (blast dest: contra-subsetD)

next

case False

then obtain A where a-in-c: $\text{Neg } A \in \# C$ and $A \notin \text{Interp } D$

using c-at-d unfolding true-cls-def by blast

hence $\bigwedge D''. \neg \text{produces } D'' A$

using c-le-d neg-notin-Interp-not-produce by simp

thus ?thesis

using a-in-c subs not-produces-imp-notin-production by auto

qed

lemma true-Interp-imp-interp: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{Interp } D \models_h C \implies \text{interp } D' \models_h C$
 using interp-def true-Interp-imp-general by simp

lemma true-Interp-imp-Interp: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{Interp } D \models_h C \implies \text{Interp } D' \models_h C$
 using Interp-as-UNION interp-subseteq-Interp true-Interp-imp-general by simp

lemma true-Interp-imp-INTERP: $C \# \subseteq \# D \implies \text{Interp } D \models_h C \implies \text{INTERP} \models_h C$

using *INTERP-def interp-subseteq-INTERP*
true-Interp-imp-general[*OF - less-multiset-right-total*]
by *simp*

lemma *true-interp-imp-general*:

assumes

c-le-d: $C \# \subseteq \# D$ **and**

d-lt-d': $D \# \subset \# D'$ **and**

c-at-d: $\text{interp } D \models_h C$ **and**

subs: $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

shows $(\bigcup C \in CC. \text{production } C) \models_h C$

proof (*cases* $\exists A. \text{Pos } A \in \# C \wedge A \in \text{interp } D$)

case *True*

then obtain *A* **where** *a-in-c*: $\text{Pos } A \in \# C$ **and** *a-at-d*: $A \in \text{interp } D$

by *blast*

from *a-at-d* **have** $A \in \text{interp } D'$

using *d-lt-d'* *less-eq-imp-interp-subseteq-interp*[*OF multiset-order.less-imp-le*] **by** *blast*

thus *?thesis*

using *subs a-in-c* **by** (*blast dest: contra-subsetD*)

next

case *False*

then obtain *A* **where** *a-in-c*: $\text{Neg } A \in \# C$ **and** $A \notin \text{interp } D$

using *c-at-d* **unfolding** *true-cls-def* **by** *blast*

hence $\bigwedge D''. \neg \text{produces } D'' A$

using *c-le-d* **by** (*auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-interp*)

thus *?thesis*

using *a-in-c subs not-produces-imp-notin-production* **by** *auto*

qed

This lemma corresponds to theorem 2.7.6 page 66 of CW. Here the strict maximality is important

lemma *true-interp-imp-imp-imp*: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$

using *interp-def true-interp-imp-general* **by** *simp*

lemma *true-interp-imp-Interp*: $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$

using *Interp-as-UNION interp-subseteq-Interp*[*of D'*] *true-interp-imp-general* **by** *simp*

lemma *true-interp-imp-INTERP*: $C \# \subseteq \# D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$

using *INTERP-def interp-subseteq-INTERP*

true-interp-imp-general[*OF - less-multiset-right-total*]

by *simp*

lemma *productive-imp-false-imp-imp*: $\text{productive } C \implies \neg \text{interp } C \models_h C$

unfolding *production-unfold* **by** *auto*

This lemma corresponds to theorem 2.7.6 page 66 of CW. Here the strict maximality is important

lemma *cls-gt-double-pos-no-production*:

assumes *D*: $\{\# \text{Pos } P, \text{Pos } P \#\} \# \subset \# C$

shows $\neg \text{produces } C P$

proof –

let $?D = \{\# \text{Pos } P, \text{Pos } P \#\}$

note $D' = D[\text{unfolded less-multiset}_{HO}]$

consider

(*P*) $\text{count } C (\text{Pos } P) \geq 2$

| (*Q*) *Q* **where** $Q > \text{Pos } P$ **and** $Q \in \# C$

using *HOL.spec*[*OF HOL.conjunct2*[*OF D*], *of Pos P*] **by** (*auto split: if-split-asm*)


```

thus ?thesis
proof cases
  case Q
  have  $Q \in \text{set-mset } C$ 
    using  $Q(2)$  by (auto split: if-split-asm)
  then have  $\text{Max } (\text{set-mset } C) > \text{Pos } P$ 
    using  $Q(1)$   $\text{Max-gr-iff}$  by blast
  thus ?thesis
    unfolding production-unfold by auto
next
  case P
  thus ?thesis
    unfolding production-unfold by auto
qed
qed

```

This lemma corresponds to theorem 2.7.6 page 66 of CW.

lemma

```

assumes  $D: C + \{\# \text{Neg } P \# \} \# \subset \# D$ 
shows  $\text{production } D \neq \{P\}$ 
proof -
note  $D' = D[\text{unfolded less-multiset}_{HO}]$ 
consider
  (P)  $\text{Neg } P \in \# D$ 
| (Q)  $Q$  where  $Q > \text{Neg } P$  and  $\text{count } D \ Q > \text{count } (C + \{\# \text{Neg } P \# \}) \ Q$ 
  using  $\text{HOL.spec}[OF \ \text{HOL.conjunct2}[OF \ D], \text{ of } \text{Neg } P] \text{ count-greater-zero-iff}$  by fastforce
thus ?thesis
proof cases
  case Q
  have  $Q \in \text{set-mset } D$ 
    using  $Q(2)$   $\text{gr-implies-not0}$  by fastforce
  then have  $\text{Max } (\text{set-mset } D) > \text{Neg } P$ 
    using  $Q(1)$   $\text{Max-gr-iff}$  by blast
  hence  $\text{Max } (\text{set-mset } D) > \text{Pos } P$ 
    using  $\text{less-trans}[of \ \text{Pos } P \ \text{Neg } P \ \text{Max } (\text{set-mset } D)]$  by auto
  thus ?thesis
    unfolding production-unfold by auto
next
  case P
  hence  $\text{Max } (\text{set-mset } D) > \text{Pos } P$ 
    by (meson  $\text{Max-ge finite-set-mset le-less-trans linorder-not-le pos-less-neg}$ )
  thus ?thesis
    unfolding production-unfold by auto
qed
qed

```

lemma in-interp-is-produced:

```

assumes  $P \in \text{INTERP}$ 
shows  $\exists D. D + \{\# \text{Pos } P \# \} \in N \wedge \text{produces } (D + \{\# \text{Pos } P \# \}) \ P$ 
using assms unfolding INTERP-def UN-iff production-iff-produces Ball-def
by (metis ground-resolution-with-selection.produces-imp-Pos-in-lits insert-DiffM2
  ground-resolution-with-selection-axioms not-produces-imp-notin-production)

```

end

end

abbreviation $MMax\ M \equiv Max\ (set-mset\ M)$

25.2 We can now define the rules of the calculus

inductive *superposition-rules* :: 'a clause \Rightarrow 'a clause \Rightarrow 'a clause \Rightarrow bool **where**
factoring: superposition-rules $(C + \{\#Pos\ P\# \} + \{\#Pos\ P\# \})\ B\ (C + \{\#Pos\ P\# \})\ |\$
superposition-l: superposition-rules $(C_1 + \{\#Pos\ P\# \})\ (C_2 + \{\#Neg\ P\# \})\ (C_1 + C_2)$

inductive *superposition* :: 'a clauses \Rightarrow 'a clauses \Rightarrow bool **where**
superposition: $A \in N \Longrightarrow B \in N \Longrightarrow superposition-rules\ A\ B\ C$
 $\Longrightarrow superposition\ N\ (N \cup \{C\})$

definition *abstract-red* :: 'a::wellorder clause \Rightarrow 'a clauses \Rightarrow bool **where**
abstract-red $C\ N = (clss-lt\ N\ C \models_p C)$

lemma *less-multiset[iff]*: $M < N \longleftrightarrow M \# \subset \# N$
unfolding *less-multiset-def* **by** *auto*

lemma *less-eq-multiset[iff]*: $M \leq N \longleftrightarrow M \# \subseteq \# N$
unfolding *less-eq-multiset-def* **by** *auto*

lemma *herbrand-true-clss-true-clss-clss-herbrand-true-clss:*
assumes
 $AB: A \models_{hs} B$ **and**
 $BC: B \models_p C$
shows $A \models_h C$

proof –

let $?I = \{Pos\ P\ |\ P. P \in A\} \cup \{Neg\ P\ |\ P. P \notin A\}$

have $B: ?I \models_s B$ **using** AB

by (*auto simp add: herbrand-interp-iff-partial-interp-clss*)

have $IH: \bigwedge I. total-over-set\ I\ (atms-of\ C) \Longrightarrow total-over-m\ I\ B \Longrightarrow consistent-interp\ I$
 $\Longrightarrow I \models_s B \Longrightarrow I \models C$ **using** BC

by (*auto simp add: true-clss-clss-def*)

show *?thesis*

unfolding *herbrand-interp-iff-partial-interp-clss*

by (*auto intro: IH[of ?I] simp add: herbrand-total-over-set herbrand-total-over-m herbrand-consistent-interp B*)

qed

lemma *abstract-red-subset-mset-abstract-red:*

assumes

$abstr: abstract-red\ C\ N$ **and**

$c-lt-d: C \# \subseteq \# D$

shows $abstract-red\ D\ N$

proof –

have $\{D \in N. D \# \subset \# C\} \subseteq \{D' \in N. D' \# \subset \# D\}$

using $c-lt-d$ *less-eq-imp-le-multiset* **by** *fastforce*

thus *?thesis*

using *abstr* **unfolding** *abstract-red-def clss-lt-def*

by (*metis (no-types, lifting) c-lt-d subset-mset.diff-add true-clss-clss-mono-r' true-clss-clss-subset*)

qed

lemma *true-clss-cls-extended*:

assumes

$A \models_p B$ **and**

tot: *total-over-m* I (A) **and**

cons: *consistent-interp* I **and**

$I-A: I \models_s A$

shows $I \models B$

proof –

let $?I = I \cup \{Pos\ P \mid P. P \in \text{atms-of } B \wedge P \notin \text{atms-of-s } I\}$

have *consistent-interp* $?I$

using *cons* **unfolding** *consistent-interp-def* *atms-of-s-def* *atms-of-def*

apply (*auto* 1 5 *simp* *add: image-iff*)

by (*metis* *atm-of-uminus* *literal.sel*(1))

moreover **have** *total-over-m* $?I$ ($A \cup \{B\}$)

proof –

obtain $aa :: 'a \text{ set} \Rightarrow 'a \text{ literal set} \Rightarrow 'a$ **where**

$f2: \forall x0\ x1. (\exists v2. v2 \in x0 \wedge Pos\ v2 \notin x1 \wedge Neg\ v2 \notin x1)$

$\longleftrightarrow (aa\ x0\ x1 \in x0 \wedge Pos\ (aa\ x0\ x1) \notin x1 \wedge Neg\ (aa\ x0\ x1) \notin x1)$

by *moura*

have $\forall a. a \notin \text{atms-of-ms } A \vee Pos\ a \in I \vee Neg\ a \in I$

using *tot* **by** (*simp* *add: total-over-m-def* *total-over-set-def*)

hence $aa\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\})\ (I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\})$

$\notin \text{atms-of-ms } A \cup \text{atms-of-ms } \{B\} \vee Pos\ (aa\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\}))$

$(I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\})) \in I$

$\cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$

$\vee Neg\ (aa\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\}))$

$(I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\})) \in I$

$\cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$

by *auto*

hence *total-over-set* $(I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\})\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\})$

using $f2$ **by** (*meson* *total-over-set-def*)

thus *?thesis*

by (*simp* *add: total-over-m-def*)

qed

moreover **have** $?I \models_s A$

using $I-A$ **by** *auto*

ultimately **have** $?I \models B$

using $\langle A \models_p B \rangle$ **unfolding** *true-clss-cls-def* **by** *auto*

thus *?thesis*

oops

lemma

assumes

$CP: \neg \text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#C\# \} + \{\#Neg\ P\# \}$ **and**

$\text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \} \vee \text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#C\# \} + \{\#Neg\ P\# \}$

shows $\text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \}$

oops

locale *ground-ordered-resolution-with-redundancy* =

ground-resolution-with-selection +

fixes *redundant* :: $'a::\text{wellorder clause} \Rightarrow 'a \text{ clauses} \Rightarrow \text{bool}$

assumes

$redundant\text{-}iff\text{-}abstract: redundant\ A\ N \longleftrightarrow abstract\text{-}red\ A\ N$
begin
definition *saturated* :: 'a clauses \Rightarrow bool **where**
 $saturated\ N \longleftrightarrow (\forall A\ B\ C. A \in N \longrightarrow B \in N \longrightarrow \neg redundant\ A\ N \longrightarrow \neg redundant\ B\ N$
 $\longrightarrow superposition\text{-}rules\ A\ B\ C \longrightarrow redundant\ C\ N \vee C \in N)$
lemma
assumes
 $saturated: saturated\ N$ **and**
 $finite: finite\ N$ **and**
 $empty: \{\#\} \notin N$
shows $INTERP\ N \models_{hs} N$
proof (rule ccontr)
let $?N_{\mathcal{I}} = INTERP\ N$
assume $\neg ?thesis$
hence *not-empty*: $\{E \in N. \neg ?N_{\mathcal{I}} \models_h E\} \neq \{\}$
unfolding *true-clss-def Ball-def* **by** *auto*
def $D \equiv Min\ \{E \in N. \neg ?N_{\mathcal{I}} \models_h E\}$
have [*simp*]: $D \in N$
unfolding *D-def*
by (*metis* (*mono-tags*, *lifting*) *Min-in not-empty finite mem-Collect-eq rev-finite-subset subsetI*)
have *not-d-interp*: $\neg ?N_{\mathcal{I}} \models_h D$
unfolding *D-def*
by (*metis* (*mono-tags*, *lifting*) *Min-in finite mem-Collect-eq not-empty rev-finite-subset subsetI*)
have *cls-not-D*: $\bigwedge E. E \in N \implies E \neq D \implies \neg ?N_{\mathcal{I}} \models_h E \implies D \leq E$
using *finite D-def* **by** (*auto simp del: less-eq-multiset*)
obtain $C\ L$ **where** $D: D = C + \{\#L\#$ **and** $LSD: L \in \# S\ D \vee (S\ D = \{\#\} \wedge Max\ (set\text{-}mset\ D)$
 $= L)$
proof (*cases* $S\ D = \{\#\}$)
case *False*
then obtain L **where** $L \in \# S\ D$
using *Max-in-lits* **by** *blast*
moreover
hence $L \in \# D$
using *S-selects-subseteq*[of D] **by** *auto*
hence $D = (D - \{\#L\#$ **and** $\{\#L\#$
by *auto*
ultimately show *?thesis* **using** *that* **by** *blast*
next
let $?L = MMax\ D$
case *True*
moreover
have $?L \in \# D$
by (*metis* (*no-types*, *lifting*) *Max-in-lits* ($D \in N$) *empty*)
hence $D = (D - \{\#?L\#$ **and** $\{\#?L\#$
by *auto*
ultimately show *?thesis* **using** *that* **by** *blast*
qed
have *red*: $\neg redundant\ D\ N$
proof (rule ccontr)
assume *red*[*simplified*]: $\sim\sim redundant\ D\ N$
have $\forall E < D. E \in N \longrightarrow ?N_{\mathcal{I}} \models_h E$
using *cls-not-D not-le* **by** *fastforce*
hence $?N_{\mathcal{I}} \models_{hs} clss\text{-}lt\ N\ D$
unfolding *clss-lt-def true-clss-def Ball-def* **by** *blast*

```

thus False
  using red not-d-interp unfolding abstract-red-def redundant-iff-abstract
  using herbrand-true-clss-true-clss-clss-herbrand-true-clss by fast
qed

consider
  (L) P where L = Pos P and S D = {#} and Max (set-mset D) = Pos P
| (Lneg) P where L = Neg P
  using LSD S-selects-neg-lits[of L D] by (cases L) auto
thus False
proof cases
  case L note P = this(1) and S = this(2) and max = this(3)
  have count D L > 1
  proof (rule ccontr)
    assume  $\sim$  ?thesis
    hence count: count D L = 1
    unfolding D by (auto simp: not-in-iff)
    have  $\neg ?N_{\mathcal{I}} \models_h D$ 
    using not-d-interp true-interp-imp-INTERP ground-resolution-with-selection-axioms
    by blast
    hence produces N D P
    using not-empty empty finite  $\langle D \in N \rangle$  count L
    true-interp-imp-INTERP unfolding production-iff-produces unfolding production-unfold
    by (auto simp add: max not-empty)
    hence INTERP N  $\models_h D$ 
    unfolding D
    by (metis pos-literal-in-imp-true-clss produces-imp-Pos-in-lits
    production-subseteq-INTERP singletonI subsetCE)
    thus False
    using not-d-interp by blast
  qed
then have Pos P  $\in \# C$ 
  by (simp add: P D)
then obtain C' where C':D = C' + {#Pos P#} + {#Pos P#}
  unfolding D by (metis (full-types) P insert-DiffM2)
have sup: superposition-rules D D (D - {#L#})
  unfolding C' L by (auto simp add: superposition-rules.simps)
have C' + {#Pos P#} # $\subset$  C' + {#Pos P#} + {#Pos P#}
  by auto
moreover have  $\neg ?N_{\mathcal{I}} \models_h (D - \{ \#L\# \})$ 
  using not-d-interp unfolding C' L by auto
ultimately have C' + {#Pos P#}  $\notin N$ 
  by (metis (no-types, lifting) C' P add-diff-cancel-right' clss-not-D less-multiset
  multi-self-add-other-not-self not-le)
have D - {#L#} # $\subset$  D
  unfolding C' L by auto
have c'-p-p: C' + {#Pos P#} + {#Pos P#} - {#Pos P#} = C' + {#Pos P#}
  by auto
have redundant (C' + {#Pos P#}) N
  using saturated red sup  $\langle D \in N \rangle \langle C' + \{ \#Pos P\# \} \notin N \rangle$  unfolding saturated-def C' L c'-p-p
  by blast
moreover have C' + {#Pos P#}  $\subseteq$  C' + {#Pos P#} + {#Pos P#}
  by auto
ultimately show False
  using red unfolding C' redundant-iff-abstract by (blast dest:

```

$abstract-red-subset-mset-abstract-red)$
next
case $Lneg$ **note** $L = this(1)$
have $P \in ?N_{\mathcal{I}}$
using $not-d-interp$ **unfolding** D $true-cls-def$ L **by** $(auto\ split: if-split-asm)$
then obtain E **where**
 $DPN: E + \{\#Pos\ P\# \} \in N$ **and**
 $prod: production\ N\ (E + \{\#Pos\ P\# \}) = \{P\}$
using $in-interp-is-produced$ **by** $blast$
have $sup-EC: superposition-rules\ (E + \{\#Pos\ P\# \})\ (C + \{\#Neg\ P\# \})\ (E + C)$
using $superposition-l$ **by** $fast$
hence $superposition\ N\ (N \cup \{E+C\})$
using $DPN\ \langle D \in N \rangle$ **unfolding** $D\ L$ **by** $(auto\ simp\ add: superposition.simps)$
have
 $PMax: Pos\ P = MMax\ (E + \{\#Pos\ P\# \})$ **and**
 $count\ (E + \{\#Pos\ P\# \})\ (Pos\ P) \leq 1$ **and**
 $S\ (E + \{\#Pos\ P\# \}) = \{\#\}$ **and**
 $\neg interp\ N\ (E + \{\#Pos\ P\# \}) \models_h E + \{\#Pos\ P\# \}$
using $prod$ **unfolding** $production-unfold$ **by** $auto$
have $Neg\ P \notin \# E$
using $prod\ produces-imp-neg-notin-lits$ **by** $force$
hence $\bigwedge y. y \in \# (E + \{\#Pos\ P\# \})$
 $\implies count\ (E + \{\#Pos\ P\# \})\ (Neg\ P) < count\ (C + \{\#Neg\ P\# \})\ (Neg\ P)$
using $count-greater-zero-iff$ **by** $fastforce$
moreover have $\bigwedge y. y \in \# (E + \{\#Pos\ P\# \}) \implies y < Neg\ P$
using $PMax$ **by** $(metis\ DPN\ Max-less-iff\ empty\ finite-set-mset\ pos-less-neg\ set-mset-eq-empty-iff)$
moreover have $E + \{\#Pos\ P\# \} \neq C + \{\#Neg\ P\# \}$
using $prod\ produces-imp-neg-notin-lits$ **by** $force$
ultimately have $E + \{\#Pos\ P\# \} \# \subset \# C + \{\#Neg\ P\# \}$
unfolding $less-multiset_{HO}$ **by** $(metis\ count-greater-zero-iff\ less-iff-Suc-add\ zero-less-Suc)$
have $ce-lt-d: C + E \# \subset \# D$
unfolding $D\ L$ **by** $(simp\ add: (\bigwedge y. y \in \# E + \{\#Pos\ P\# \} \implies y < Neg\ P)\ ex-gt-imp-less-multiset)$
have $?N_{\mathcal{I}} \models_h E + \{\#Pos\ P\# \}$
using $\langle P \in ?N_{\mathcal{I}} \rangle$ **by** $blast$
have $?N_{\mathcal{I}} \models_h C+E \vee C+E \notin N$
using $ce-lt-d\ cls-not-D$ **unfolding** $D-def$ **by** $fastforce$
have $Pos\ P \notin \# C+E$
using $D\ \langle P \in ground-resolution-with-selection.INTERP\ S\ N \rangle$
 $\langle count\ (E + \{\#Pos\ P\# \})\ (Pos\ P) \leq 1 \rangle$ $multi-member-skip\ not-d-interp$
by $(auto\ simp: not-in-iff)$
hence $\bigwedge y. y \in \# C+E$
 $\implies count\ (C+E)\ (Pos\ P) < count\ (E + \{\#Pos\ P\# \})\ (Pos\ P)$
using $set-mset-def$ **by** $fastforce$

have $\neg redundant\ (C + E)\ N$
proof $(rule\ ccontr)$
assume $red'[simplified]: \neg ?thesis$
have $abs: clss-lt\ N\ (C + E) \models_p C + E$
using $redundant-iff-abstract\ red'$ **unfolding** $abstract-red-def$ **by** $auto$
have $clss-lt\ N\ (C + E) \models_p E + \{\#Pos\ P\# \} \vee clss-lt\ N\ (C + E) \models_p C + \{\#Neg\ P\# \}$
proof $clarify$
assume $CP: \neg clss-lt\ N\ (C + E) \models_p C + \{\#Neg\ P\# \}$
{ fix I
assume

```

    total-over-m I (clss-lt N (C + E) ∪ {E + {#Pos P#}}) and
    consistent-interp I and
    I ⊨s clss-lt N (C + E)
    hence I ⊨ C + E
      using abs sorry
    moreover have ¬ I ⊨ C + {#Neg P#}
      using CP unfolding true-clss-cls-def
      sorry
    ultimately have I ⊨ E + {#Pos P#} by auto
  }
  then show clss-lt N (C + E) ⊨p E + {#Pos P#}
    unfolding true-clss-cls-def by auto
  qed
  moreover have clss-lt N (C + E) ⊆ clss-lt N (C + {#Neg P#})
    using ce-lt-d mult-less-trans unfolding clss-lt-def D L by force
  ultimately have redundant (C + {#Neg P#}) N ∨ clss-lt N (C + E) ⊨p E + {#Pos P#}
    unfolding redundant-iff-abstract abstract-red-def using true-clss-cls-subset by blast
  show False sorry
  qed
  moreover have ¬ redundant (E + {#Pos P#}) N
    sorry
  ultimately have CEN: C + E ∈ N
    using ⟨D ∈ N⟩ ⟨E + {#Pos P#} ∈ N⟩ saturated sup-EC red unfolding saturated-def D L
    by (metis union-commute)
  have CED: C + E ≠ D
    using D ce-lt-d by auto
  have interp: ¬ INTERP N ⊨h C + E
    sorry
  show False
    using cls-not-D[OF CEN CED interp] ce-lt-d unfolding INTERP-def less-eq-multiset-def by
  auto
  qed
  qed
end

```

lemma *tautology-is-redundant*:

```

  assumes tautology C
  shows abstract-red C N
  using assms unfolding abstract-red-def true-clss-cls-def tautology-def by auto

```

lemma *subsumed-is-redundant*:

```

  assumes AB: A ⊆# B
  and AN: A ∈ N
  shows abstract-red B N

```

proof –

```

  have A ∈ clss-lt N B using AN AB unfolding clss-lt-def
    by (auto dest: less-eq-imp-le-multiset simp add: multiset-order.dual-order.order-iff-strict)
  thus ?thesis
    using AB unfolding abstract-red-def true-clss-cls-def Partial-Clausal-Logic.true-clss-def
    by blast

```

qed

inductive *redundant* :: 'a clause ⇒ 'a clauses ⇒ bool **where**

subsumption: $A \in N \implies A \subseteq_{\#} B \implies \text{redundant } B \ N$

```

lemma redundant-is-redundancy-criterion:
  fixes  $A :: 'a :: \text{wellorder clause}$  and  $N :: 'a :: \text{wellorder clauses}$ 
  assumes redundant  $A\ N$ 
  shows abstract-red  $A\ N$ 
  using assms
proof (induction rule: redundant.induct)
  case (subsumption  $A\ B\ N$ )
  thus ?case
    using subsumed-is-redundant[of  $A\ N\ B$ ] unfolding abstract-red-def clss-lt-def by auto
qed

lemma redundant-mono:
   $\text{redundant } A\ N \implies A \subseteq\# B \implies \text{redundant } B\ N$ 
  apply (induction rule: redundant.induct)
  by (meson subset-mset.less-le-trans subsumption)

locale truc =
  selection  $S$  for  $S :: \text{nat clause} \Rightarrow \text{nat clause}$ 
begin

end

end
theory CDCL-W-Merge
imports CDCL-W-Termination
begin

```

26 Link between Weidenbach's and NOT's CDCL

26.1 Inclusion of the states

```

context conflict-driven-clause-learningW
begin
declare cdclW.intros[intro] cdclW-bj.intros[intro] cdclW-o.intros[intro]

lemma backtrack-no-cdclW-bj:
  assumes cdcl: cdclW-bj  $T\ U$  and inv: cdclW-M-level-inv  $V$ 
  shows  $\neg \text{backtrack } V\ T$ 
  using cdcl inv
  apply (induction rule: cdclW-bj.induct)
    apply (elim skipE, force elim!: backtrack-levE[OF - inv] simp: cdclW-M-level-inv-def)
    apply (elim resolveE, force elim!: backtrack-levE[OF - inv] simp: cdclW-M-level-inv-def)
  apply standard
  apply (elim backtrack-levE[OF - inv], elim backtrackE)
  apply (force simp del: state-simp simp add: state-eq-def cdclW-M-level-inv-decomp)
  done

inductive skip-or-resolve ::  $'st \Rightarrow 'st \Rightarrow \text{bool}$  where
  s-or-r-skip[intro]: skip  $S\ T \implies \text{skip-or-resolve } S\ T$  |
  s-or-r-resolve[intro]: resolve  $S\ T \implies \text{skip-or-resolve } S\ T$ 

lemma rtrancp-cdclW-bj-skip-or-resolve-backtrack:
  assumes cdclW-bj**  $S\ U$  and inv: cdclW-M-level-inv  $S$ 

```



```

shows skip-or-resolve**  $S \ U \vee (\exists T. \text{skip-or-resolve}^{**} S \ T \wedge \text{backtrack } T \ U)$ 
using assms
proof (induction)
  case base
  then show ?case by simp
next
  case (step  $U \ V$ ) note  $st = \text{this}(1)$  and  $bj = \text{this}(2)$  and  $IH = \text{this}(3)[\text{OF } \text{this}(4)]$ 
  consider
    ( $SU$ )  $S = U$ 
  | ( $SUp$ )  $\text{cdcl}_W\text{-bj}^{++} S \ U$ 
  using st unfolding rtrancpl-unfold by blast
  then show ?case
  proof cases
    case  $SUp$ 
    have  $\bigwedge T. \text{skip-or-resolve}^{**} S \ T \implies \text{cdcl}_W^{**} S \ T$ 
    using mono-rtrancpl[of skip-or-resolve cdclW]
    by (blast intro: skip-or-resolve.cases)
    then have  $\text{skip-or-resolve}^{**} S \ U$ 
    using bj IH inv backtrack-no-cdclW-bj rtrancpl-cdclW-consistent-inv[OF - inv] by meson
    then show ?thesis
    using bj by (auto simp: cdclW-bj.simps dest!: skip-or-resolve.intros)
  next
  case  $SU$ 
  then show ?thesis
  using bj by (auto simp: cdclW-bj.simps dest!: skip-or-resolve.intros)
qed
qed

```

lemma *rtrancpl-skip-or-resolve-rtrancpl-cdcl_W*:
 $\text{skip-or-resolve}^{**} S \ T \implies \text{cdcl}_W^{**} S \ T$
by (*induction rule: rtrancpl-induct*)
(auto dest!: cdcl_W-bj.intros cdcl_W.intros cdcl_W-o.intros simp: skip-or-resolve.simps)

definition *backjump-l-cond* :: '*v clause* \Rightarrow '*v clause* \Rightarrow '*v literal* \Rightarrow '*st* \Rightarrow '*st* \Rightarrow *bool* **where**
backjump-l-cond $\equiv \lambda C \ C' \ L' \ S \ T. \text{True}$

definition *inv_{NOT}* :: '*st* \Rightarrow *bool* **where**
inv_{NOT} $\equiv \lambda S. \text{no-dup } (\text{trail } S)$

declare *inv_{NOT}-def*[*simp*]
end

context *conflict-driven-clause-learning_W*
begin

26.2 More lemmas conflict-propagate and backjumping

26.2.1 Termination

lemma *cdcl_W-cp-normalized-element-all-inv*:
assumes *inv: cdcl_W-all-struct-inv S*
obtains *T* **where** *full cdcl_W-cp S T*
using *assms cdcl_W-cp-normalized-element* **unfolding** *cdcl_W-all-struct-inv-def* **by** *blast*
thm *backtrackE*

lemma *cdcl_W-bj-measure*:

```

assumes  $cdcl_W$ -bj  $S$   $T$  and  $cdcl_W$ -M-level-inv  $S$ 
shows  $length\ (trail\ S) + (if\ conflicting\ S = None\ then\ 0\ else\ 1)$ 
   $> length\ (trail\ T) + (if\ conflicting\ T = None\ then\ 0\ else\ 1)$ 
using assms by (induction rule:  $cdcl_W$ -bj.induct)
(force dest:arg-cong[of - - length]
  intro: get-all-marked-decomposition-exists-prepend
  elim!: backtrack-levE skipE resolveE
  simp:  $cdcl_W$ -M-level-inv-def)+

lemma wf-cdcl_W-bj:
  wf {( $b,a$ ).  $cdcl_W$ -bj  $a\ b \wedge cdcl_W$ -M-level-inv  $a$ }
apply (rule wfP-if-measure[of  $\lambda$ -. True
  -  $\lambda T. length\ (trail\ T) + (if\ conflicting\ T = None\ then\ 0\ else\ 1)$ , simplified])
using  $cdcl_W$ -bj-measure by simp

lemma cdcl_W-bj-exists-normal-form:
  assumes lev:  $cdcl_W$ -M-level-inv  $S$ 
  shows  $\exists T. full\ cdcl_W$ -bj  $S\ T$ 
proof -
  obtain  $T$  where  $T$ :  $full\ (\lambda a\ b. cdcl_W$ -bj  $a\ b \wedge cdcl_W$ -M-level-inv  $a)$   $S\ T$ 
  using wf-exists-normal-form-full[OF wf-cdcl_W-bj] by auto
  then have  $cdcl_W$ -bj**  $S\ T$ 
  by (auto dest: rtrancp-and-rtrancp-left simp: full-def)
moreover
  then have  $cdcl_W$ **  $S\ T$ 
  using mono-rtrancp[of  $cdcl_W$ -bj  $cdcl_W$ ] by blast
  then have  $cdcl_W$ -M-level-inv  $T$ 
  using rtrancp-cdcl_W-consistent-inv lev by auto
  ultimately show ?thesis using  $T$  unfolding full-def by auto
qed

lemma rtrancp-skip-state-decomp:
  assumes  $skip$ **  $S\ T$  and no-dup (trail  $S$ )
  shows
     $\exists M. trail\ S = M\ @\ trail\ T \wedge (\forall m \in set\ M. \neg is\_marked\ m)$ 
    init-clss  $S = init-clss\ T$ 
    learned-clss  $S = learned-clss\ T$ 
    backtrack-lvl  $S = backtrack-lvl\ T$ 
    conflicting  $S = conflicting\ T$ 
  using assms by (induction rule: rtrancp-induct)
  (auto simp del: state-simp simp: state-eq-def elim!: skipE)

```

26.2.2 More backjumping

Backjumping after skipping or jump directly **lemma** *rtrancp-skip-backtrack-backtrack*:

```

assumes
   $skip$ **  $S\ T$  and
  backtrack  $T\ W$  and
   $cdcl_W$ -all-struct-inv  $S$ 
shows backtrack  $S\ W$ 
using assms
proof induction
  case base
  then show ?case by simp
next
  case (step  $T\ V$ ) note  $st = this(1)$  and  $skip = this(2)$  and  $IH = this(3)$  and  $bt = this(4)$  and
     $inv = this(5)$ 

```

```

have skip** S V
  using st skip by auto
then have cdclW-all-struct-inv V
  using rtrancp-mono[of skip cdclW] assms(3) rtrancp-cdclW-all-struct-inv-inv mono-rtrancp
  by (auto dest!: bj other cdclW-bj.skip)
then have cdclW-M-level-inv V
  unfolding cdclW-all-struct-inv-def by auto
then obtain K i M1 M2 L D where
  conf: raw-conflicting V = Some D and
  LD: L ∈ # mset-ccls D and
  decomp: (Marked K (Suc i) # M1, M2) ∈ set (get-all-marked-decomposition (trail V)) and
  lev-L: get-level (trail V) L = backtrack-lvl V and
  max: get-level (trail V) L = get-maximum-level (trail V) (mset-ccls D) and
  max-D: get-maximum-level (trail V) (remove1-mset L (mset-ccls D)) ≡ i and
  undef: undefined-lit M1 L and
  W: W ~ cons-trail (Propagated L (cls-of-ccls D))
    (reduce-trail-to M1
      (add-learned-cls (cls-of-ccls D)
        (update-backtrack-lvl i
          (update-conflicting None V))))
using bt inv by (elim backtrack-levE)metis+
obtain L' C' M E where
  tr: trail T = Propagated L' C' # M and
  raw: raw-conflicting T = Some E and
  LE: -L' ∉ # mset-ccls E and
  E: mset-ccls E ≠ {#} and
  V: V ~ tl-trail T
  using skip by (elim skipE)metis
let ?M = Propagated L' C' # trail V
have tr-M: trail T = ?M
  using tr V by auto
have MT: M = tl (trail T) and MV: M = trail V
  using tr V by auto
have DE[simp]: mset-ccls D = mset-ccls E
  using V conf raw by (auto simp add: state-eq-def simp del: state-simp)
have cdclW** S T using bj cdclW-bj.skip mono-rtrancp[of skip cdclW S T] other st by meson
then have inv': cdclW-all-struct-inv T
  using rtrancp-cdclW-all-struct-inv-inv inv by blast
have M-lev: cdclW-M-level-inv T using inv' unfolding cdclW-all-struct-inv-def by auto
then have n-d': no-dup ?M
  using tr-M unfolding cdclW-M-level-inv-def by auto
let ?k = backtrack-lvl T
have [simp]:
  backtrack-lvl V = ?k
  using V by simp
have ?k > 0
  using decomp M-lev V tr unfolding cdclW-M-level-inv-def by auto
then have atm-of L ∈ atm-of ' lits-of-l (trail V)
  using lev-L get-rev-level-ge-0-atm-of-in[of 0 rev (trail V) L] by auto
then have L-L': atm-of L ≠ atm-of L'
  using n-d' unfolding lits-of-def by auto
have L'-M: atm-of L' ∉ atm-of ' lits-of-l (trail V)
  using n-d' unfolding lits-of-def by auto
have ?M ⊨as CNot (mset-ccls D)
  using inv' raw unfolding cdclW-conflicting-def cdclW-all-struct-inv-def tr-M by auto

```

```

then have  $L' \notin \# \text{ mset-ccls } (\text{remove-clit } L \ D)$ 
  using  $L\text{-}L' \ L'\text{-}M \langle \text{Propagated } L' \ C' \ \# \ \text{trail } V \models_{\text{as}} \text{CNot } (\text{mset-ccls } D) \rangle$ 
  unfolding  $\text{true-annots-true-clcs } \text{true-clss-def}$ 
  by ( $\text{auto simp: uminus-lit-swap atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set dest!: in-diffD}$ )
have  $[\text{simp}]: \text{trail } (\text{reduce-trail-to } M1 \ T) = M1$ 
  using  $\text{decomp undef tr } W \ V$  by auto
have  $\text{skip}^{**} \ S \ V$ 
  using  $\text{st skip}$  by auto
have  $\text{no-dup } (\text{trail } S)$ 
  using  $\text{inv unfolding cdcl}_W\text{-all-struct-inv-def cdcl}_W\text{-M-level-inv-def}$  by auto
then have  $[\text{simp}]: \text{init-clss } S = \text{init-clss } V$  and  $[\text{simp}]: \text{learned-clss } S = \text{learned-clss } V$ 
  using  $\text{rtrancp-skip-state-decomp}[OF \ \langle \text{skip}^{**} \ S \ V \rangle] \ V$ 
  by ( $\text{auto simp del: state-simp simp: state-eq-def}$ )
then have
   $W\text{-}S: W \sim \text{cons-trail } (\text{Propagated } L \ (\text{cls-of-ccls } E)) \ (\text{reduce-trail-to } M1$ 
     $(\text{add-learned-clcs } (\text{cls-of-ccls } E) \ (\text{update-backtrack-lvl } i \ (\text{update-conflicting } \text{None } T))))$ 
  using  $W \ V \ \text{undef } M\text{-lev decomp tr}$ 
  by ( $\text{auto simp del: state-simp simp: state-eq-def cdcl}_W\text{-M-level-inv-def}$ )

obtain  $M2'$  where
   $\text{decomp}': (\text{Marked } K \ (i+1) \ \# \ M1, \ M2') \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } T))$ 
  using  $\text{decomp } V$  unfolding  $\text{tr-M}$  by ( $\text{cases hd } (\text{get-all-marked-decomposition } (\text{trail } V)),$ 
     $\text{cases get-all-marked-decomposition } (\text{trail } V))$  auto
moreover
  from  $L\text{-}L'$  have  $\text{get-level } ?M \ L = ?k$ 
    using  $\text{lev-L } V$  by ( $\text{auto split: if-split-asm}$ )
moreover
  have  $\text{atm-of } L' \notin \text{atms-of } (\text{mset-ccls } D)$ 
    by ( $\text{metis DE LE } L\text{-}L' \ \langle L' \notin \# \text{ mset-ccls } (\text{remove-clit } L \ D) \rangle \ \text{in-remove1-mset-neq remove-clit}$ 
       $\text{atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set atms-of-def}$ )
  then have  $\text{get-level } ?M \ L = \text{get-maximum-level } ?M \ (\text{mset-ccls } D)$ 
    using  $\text{calculation}(2) \ \text{lev-L max}$  by auto
moreover
  have  $\text{atm-of } L' \notin \text{atms-of } (\text{mset-ccls } (\text{remove-clit } L \ D))$ 
    by ( $\text{metis DE LE } \langle L' \notin \# \text{ mset-ccls } (\text{remove-clit } L \ D) \rangle$ 
       $\text{atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set atms-of-def in-remove1-mset-neq remove-clit}$ 
       $\text{in-atms-of-remove1-mset-in-atms-of}$ )
  have  $i = \text{get-maximum-level } ?M \ (\text{mset-ccls } (\text{remove-clit } L \ D))$ 
    using  $\text{max-D } \langle \text{atm-of } L' \notin \text{atms-of } (\text{mset-ccls } (\text{remove-clit } L \ D)) \rangle$  by auto

ultimately have  $\text{backtrack } T \ W$ 
  apply -
  apply ( $\text{rule backtrack-rule}[of \ T \ - \ L \ K \ i \ M1 \ M2' \ W, \ OF \ \text{raw}]$ )
  unfolding  $\text{tr-M}[\text{symmetric}]$ 
    using  $LD$  apply  $\text{simp}$ 
    apply  $\text{simp}$ 
    apply  $\text{simp}$ 
    apply  $\text{simp}$ 
    using  $W\text{-}S$  by auto
then show  $?thesis$  using  $IH \ \text{inv}$  by blast
qed

lemma  $\text{fst-get-all-marked-decomposition-prepend-not-marked}$ :
  assumes  $\forall m \in \text{set } MS. \neg \text{is-marked } m$ 

```

```

shows set (map fst (get-all-marked-decomposition M))
  = set (map fst (get-all-marked-decomposition (MS @ M)))
using assms apply (induction MS rule: marked-lit-list-induct)
apply auto[2]
by (rename-tac L m xs; case-tac get-all-marked-decomposition (xs @ M)) simp-all

```

See also $\llbracket \text{skip}^{**} ?S ?T; \text{backtrack} ?T ?W; \text{cdcl}_W\text{-all-struct-inv} ?S \rrbracket \implies \text{backtrack} ?S ?W$

lemma *rtrancpl-skip-backtrack-backtrack-end*:

```

assumes
  skip: skip** S T and
  bt: backtrack S W and
  inv: cdclW-all-struct-inv S
shows backtrack T W
using assms
proof –
  have M-lev: cdclW-M-level-inv S
    using bt inv unfolding cdclW-all-struct-inv-def by (auto elim!: backtrack-levE)
  then obtain K i M1 M2 L D where
    raw-S: raw-conflicting S = Some D and
    LD: L ∈ # mset-ccls D and
    decomp: (Marked K (Suc i) # M1, M2) ∈ set (get-all-marked-decomposition (trail S)) and
    lev-l: get-level (trail S) L = backtrack-lvl S and
    lev-l-D: get-level (trail S) L = get-maximum-level (trail S) (mset-ccls D) and
    i: get-maximum-level (trail S) (remove1-mset L (mset-ccls D)) ≡ i and
    undef: undefined-lit M1 L and
    W: W ∼ cons-trail (Propagated L (cls-of-ccls D))
      (reduce-trail-to M1
        (add-learned-cls (cls-of-ccls D)
          (update-backtrack-lvl i
            (update-conflicting None S))))
    using bt by (elim backtrack-levE)
    (simp-all add: cdclW-M-level-inv-decomp state-eq-def del: state-simp)
  let ?D = remove1-mset L (mset-ccls D)

```

```

have [simp]: no-dup (trail S)
  using M-lev by (auto simp: cdclW-M-level-inv-decomp)
have cdclW-all-struct-inv T
  using mono-rtrancpl[of skip cdclW] by (smt bj cdclW-bj.skip inv local.skip other
    rtrancpl-cdclW-all-struct-inv-inv)
then have [simp]: no-dup (trail T)
  unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto

```

obtain MS M_T **where** M: trail S = MS @ M_T **and** M_T: M_T = trail T **and** nm: ∀ m ∈ set MS.
¬is-marked m

```

  using rtrancpl-skip-state-decomp(1)[OF skip] raw-S M-lev by auto
have T: state T = (MT, init-clss S, learned-clss S, backtrack-lvl S, Some (mset-ccls D))
  using MT rtrancpl-skip-state-decomp[of S T] skip raw-S
  by (auto simp del: state-simp simp: state-eq-def)

```

```

have cdclW-all-struct-inv T
  apply (rule rtrancpl-cdclW-all-struct-inv-inv[OF - inv])
  using bj cdclW-bj.skip local.skip other rtrancpl-mono[of skip cdclW] by blast
then have MT ⊨as CNot (mset-ccls D)
  unfolding cdclW-all-struct-inv-def cdclW-conflicting-def using T by blast

```

then have $\forall L \in \#mset\text{-}ccls\ D. \text{atm-of } L \in \text{atm-of ' lits-of-l } M_T$
by (*meson atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*
true-annots-true-cls-def-iff-negation-in-model)
moreover have *no-dup* (*trail S*)
using *inv unfolding cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*
ultimately have $\forall L \in \#mset\text{-}ccls\ D. \text{atm-of } L \notin \text{atm-of ' lits-of-l } M_S$
unfolding *M unfolding lits-of-def* **by** *auto*
then have $H: \bigwedge L. L \in \#mset\text{-}ccls\ D \implies \text{get-level } (trail\ S)\ L = \text{get-level } M_T\ L$
unfolding *M* **by** (*fastforce simp: lits-of-def*)
have [*simp*]: $\text{get-maximum-level } (trail\ S)\ (mset\text{-}ccls\ D) = \text{get-maximum-level } M_T\ (mset\text{-}ccls\ D)$
using $\langle M_T \models_{as} CNot\ (mset\text{-}ccls\ D) \rangle\ M\ nm$ **by** (*metis true-annots-CNot-all-atms-defined*
get-maximum-level-skip-un-marked-not-present)

have *lev-l'*: $\text{get-level } M_T\ L = \text{backtrack-lvl } S$
using *lev-l LD* **by** (*auto simp: H*)
have [*simp*]: $\text{trail } (\text{reduce-trail-to } M1\ T) = M1$
using *T decomp M nm* **by** (*smt M_T append-assoc beginning-not-marked-invert*
get-all-marked-decomposition-exists-prepend reduce-trail-to-trail-tl-trail-decomp)
have *W*: $W \sim \text{cons-trail } (\text{Propagated } L\ (cls\text{-of-ccls } D))\ (\text{reduce-trail-to } M1$
 $(\text{add-learned-cls } (cls\text{-of-ccls } D)\ (\text{update-backtrack-lvl } i\ (\text{update-conflicting } None\ T))))$
using *W T i decomp undef* **by** (*auto simp del: state-simp simp: state-eq-def*)
have *lev-l-D'*: $\text{get-level } M_T\ L = \text{get-maximum-level } M_T\ (mset\text{-}ccls\ D)$
using *lev-l-D LD* **by** (*auto simp: H*)
have [*simp*]: $\text{get-maximum-level } (trail\ S)\ ?D = \text{get-maximum-level } M_T\ ?D$
by (*smt H get-maximum-level-exists-lit get-maximum-level-ge-get-level in-diffD le-antisym*
not-gr0 not-less)
then have *i'*: $i = \text{get-maximum-level } M_T\ ?D$
using *i* **by** *auto*
have *Marked K* $(i + 1) \# M1 \in \text{set } (\text{map fst } (\text{get-all-marked-decomposition } (trail\ S)))$
using *Set.imageI[OF decomp, of fst]* **by** *auto*
then have *Marked K* $(i + 1) \# M1 \in \text{set } (\text{map fst } (\text{get-all-marked-decomposition } M_T))$
using *fst-get-all-marked-decomposition-prepend-not-marked[OF nm]* **unfolding** *M* **by** *auto*
then obtain *M2'* **where** *decomp'*: $(\text{Marked } K\ (i+1) \# M1, M2') \in \text{set } (\text{get-all-marked-decomposition } M_T)$
by *auto*
then show *backtrack T W*
using *T decomp' lev-l' lev-l-D' i' W LD undef*
by (*force intro!: backtrack.intros simp del: state-simp simp: state-eq-def*)
qed

lemma *cdcl_W-bj-decomp-resolve-skip-and-bj*:
assumes *cdcl_W-bj** S T* **and** *inv: cdcl_W-M-level-inv S*
shows (*skip-or-resolve** S T*
 $\vee (\exists U. \text{skip-or-resolve** } S\ U \wedge \text{backtrack } U\ T))$
using *assms*
proof *induction*
case *base*
then show *?case* **by** *simp*
next
case (*step T U*) **note** *st = this(1)* **and** *bj = this(2)* **and** *IH = this(3)*
have *IH*: *skip-or-resolve** S T*
proof –
{ assume $(\exists U. \text{skip-or-resolve** } S\ U \wedge \text{backtrack } U\ T)$
then obtain *V* **where**
bt: backtrack V T **and**

```

    skip-or-resolve** S V
  by blast
have cdclW** S V
  using (skip-or-resolve** S V) rtrancp-skip-or-resolve-rtrancp-cdclW by blast
then have cdclW-M-level-inv V and cdclW-M-level-inv S
  using rtrancp-cdclW-consistent-inv inv by blast+
with bj bt have False using backtrack-no-cdclW-bj by simp
}
then show ?thesis using IH inv by blast
qed
show ?case
  using bj
  proof (cases rule: cdclW-bj.cases)
    case backtrack
    then show ?thesis using IH by blast
  qed (metis (no-types, lifting) IH rtrancp.simps skip-or-resolve.simps)+
qed

lemma resolve-skip-deterministic:
  resolve S T  $\implies$  skip S U  $\implies$  False
  by (auto elim!: skipE resolveE dest: hd-raw-trail)

lemma backtrack-unique:
  assumes
    bt-T: backtrack S T and
    bt-U: backtrack S U and
    inv: cdclW-all-struct-inv S
  shows T  $\sim$  U
proof -
  have lev: cdclW-M-level-inv S
    using inv unfolding cdclW-all-struct-inv-def by auto
  then obtain K i M1 M2 L D where
    raw-S: raw-conflicting S = Some D and
    LD: L  $\in$  # mset-ccls D and
    decomp: (Marked K (Suc i) # M1, M2)  $\in$  set (get-all-marked-decomposition (trail S)) and
    lev-l: get-level (trail S) L = backtrack-lvl S and
    lev-l-D: get-level (trail S) L = get-maximum-level (trail S) (mset-ccls D) and
    i: get-maximum-level (trail S) (remove1-mset L (mset-ccls D))  $\equiv$  i and
    undef: undefined-lit M1 L and
    T: T  $\sim$  cons-trail (Propagated L (cls-of-ccls D))
    (reduce-trail-to M1
      (add-learned-cls (cls-of-ccls D)
        (update-backtrack-lvl i
          (update-conflicting None S))))
  using bt-T by (elim backtrack-levE) (force simp: cdclW-M-level-inv-def)+

obtain K' i' M1' M2' L' D' where
  raw-S': raw-conflicting S = Some D' and
  LD': L'  $\in$  # mset-ccls D' and
  decomp': (Marked K' (Suc i') # M1', M2')  $\in$  set (get-all-marked-decomposition (trail S)) and
  lev-l': get-level (trail S) L' = backtrack-lvl S and
  lev-l-D': get-level (trail S) L' = get-maximum-level (trail S) (mset-ccls D') and
  i': get-maximum-level (trail S) (remove1-mset L' (mset-ccls D'))  $\equiv$  i' and
  undef': undefined-lit M1' L' and

```

```

  U: U ~ cons-trail (Propagated L' (cls-of-ccls D'))
    (reduce-trail-to M1'
      (add-learned-ccls (cls-of-ccls D')
        (update-backtrack-lvl i'
          (update-conflicting None S))))
  using bt-U lev by (elim backtrack-levE) (force simp: cdclW-M-level-inv-def)+
  obtain c where M: trail S = c @ M2 @ Marked K (i + 1) # M1
  using decomp by auto
  obtain c' where M': trail S = c' @ M2' @ Marked K' (i' + 1) # M1'
  using decomp' by auto
  have marked: get-all-levels-of-marked (trail S) = rev [1..1+backtrack-lvl S]
    using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
  then have i < backtrack-lvl S
    unfolding M by (force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set])

  have [simp]: L' = L
  proof (rule ccontr)
    assume ¬ ?thesis
    then have L' ∈ # remove1-mset L (mset-ccls D)
      using raw-S raw-S' LD LD' by (simp add: in-remove1-mset-neg)
    then have get-maximum-level (trail S) (remove1-mset L (mset-ccls D)) ≥ backtrack-lvl S
      using ⟨get-level (trail S) L' = backtrack-lvl S⟩ get-maximum-level-ge-get-level
      by metis
    then show False using i' i ⟨i < backtrack-lvl S⟩ by auto
  qed
  then have [simp]: mset-ccls D' = mset-ccls D
    using raw-S raw-S' by auto
  have [simp]: i' = i
    using i i' by auto

```

Automation in a step later...

```

  have H:  $\bigwedge a A B. \text{insert } a A = B \implies a : B$ 
    by blast
  have get-all-levels-of-marked (c @ M2) = rev [i+2..1+backtrack-lvl S] and
    get-all-levels-of-marked (c' @ M2') = rev [i+2..1+backtrack-lvl S]
    using marked unfolding M
    using marked unfolding M'
    unfolding rev-swap[symmetric] by (auto dest: append-cons-eq-upt-length-i-end)
  from arg-cong[OF this(1), of set] arg-cong[OF this(2), of set]
  have
    dropWhile ( $\lambda L. \neg \text{is-marked } L \vee \text{level-of } L \neq \text{Suc } i$ ) (c @ M2) = [] and
    dropWhile ( $\lambda L. \neg \text{is-marked } L \vee \text{level-of } L \neq \text{Suc } i$ ) (c' @ M2') = []
    unfolding dropWhile-eq-Nil-conv Ball-def
    by (intro allI; rename-tac x; case-tac x; auto dest!: H simp add: in-set-conv-decomp)+

  then have [simp]: M1' = M1
    using arg-cong[OF M, of dropWhile ( $\lambda L. \neg \text{is-marked } L \vee \text{level-of } L \neq \text{Suc } i$ )]
    unfolding M' by auto
  show ?thesis using T U undef inv decomp by (auto simp del: state-simp simp: state-eq-def
    cdclW-all-struct-inv-def cdclW-M-level-inv-decomp)
  qed

```

lemma *if-can-apply-backtrack-no-more-resolve:*

```

  assumes
    skip: skip** S U and

```


bt: backtrack S T **and**
inv: $cdcl_W$ -all-struct-inv S
shows \neg resolve U V
proof (rule *ccontr*)
assume resolve: $\neg\neg$ resolve U V

obtain L E D **where**
 U : trail $U \neq []$ **and**
 tr - U : hd -raw-trail $U = \text{Propagated } L$ E **and**
 LE : $L \in \#$ mset-cls E **and**
 raw - U : raw-conflicting $U = \text{Some } D$ **and**
 LD : $-L \in \#$ mset-ccls D **and**
 get -maximum-level (trail U) (mset-ccls (remove-clit $(-L)$ D)) = backtrack-lvl U **and**
 V : $V \sim$ update-conflicting (Some (union-ccls (remove-clit $(-L)$ D)
(ccls-of-cls (remove-lit L E))))
(tl -trail U)
using resolve **by** (auto elim!: resolveE)
have $cdcl_W$ -all-struct-inv U
using mono-rtrancp[of skip $cdcl_W$] **by** (meson bj $cdcl_W$ -bj.skip inv local.skip other
rtrancp- $cdcl_W$ -all-struct-inv-inv)
then have [iff]: no-dup (trail S) $cdcl_W$ - M -level-inv S **and** [iff]: no-dup (trail U)
using inv **unfolding** $cdcl_W$ -all-struct-inv-def $cdcl_W$ - M -level-inv-def **by** blast+
then have
 S : init-clss $U = \text{init-clss } S$
learned-clss $U = \text{learned-clss } S$
backtrack-lvl $U = \text{backtrack-lvl } S$
conflicting $S = \text{Some } (\text{mset-ccls } D)$
using rtrancp-skip-state-decomp[OF skip] U raw- U
by (auto simp del: state-simp simp: state-eq-def)
obtain M_0 **where**
 tr - S : trail $S = M_0 @$ trail U **and**
 nm : $\forall m \in \text{set } M_0. \neg$ is-marked m
using rtrancp-skip-state-decomp[OF skip] **by** blast

obtain K' i' $M1'$ $M2'$ L' D' **where**
 raw - S' : raw-conflicting $S = \text{Some } D'$ **and**
 LD' : $L' \in \#$ mset-ccls D' **and**
 $decomp'$: (Marked $K' (\text{Suc } i') \# M1', M2') \in \text{set } (\text{get-all-marked-decomposition } (\text{trail } S))$ **and**
 lev - l : get-level (trail S) $L' = \text{backtrack-lvl } S$ **and**
 lev - l - D : get-level (trail S) $L' = \text{get-maximum-level } (\text{trail } S) (\text{mset-ccls } D')$ **and**
 i' : get-maximum-level (trail S) (remove1-mset $L' (\text{mset-ccls } D')$) $\equiv i'$ **and**
 $undef'$: undefined-lit $M1'$ L' **and**
 R : $T \sim$ cons-trail (Propagated $L' (\text{cls-of-ccls } D')$)
(reduce-trail-to $M1'$
(add-learned-cls (cls-of-ccls D')
(update-backtrack-lvl i'
(update-conflicting None S))))
using bt **by** (elim backtrack-levE) (fastforce simp: S state-eq-def simp del: state-simp)+
obtain c **where** M : trail $S = c @ M2' @ \text{Marked } K' (i' + 1) \# M1'$
using get-all-marked-decomposition-exists-prepend[OF $decomp'$] **by** auto
have marked: get-all-levels-of-marked (trail S) = rev $[1..<1 + \text{backtrack-lvl } S]$
using inv **unfolding** $cdcl_W$ -all-struct-inv-def $cdcl_W$ - M -level-inv-def **by** auto
then have $i' < \text{backtrack-lvl } S$
unfolding M **by** (force simp add: rev-swap[symmetric] dest!: arg-cong[of - - set])

```

have U: trail U = Propagated L (mset-cls E) # trail V
  using tr-S hd-raw-trail[OF U] U S V tr-U by (auto simp: lits-of-def)
have DD'[simp]: mset-ccls D' = mset-ccls D
  using raw-U raw-S' S by auto
have [simp]: L' = -L
proof (rule ccontr)
  assume  $\neg$  ?thesis
  then have  $-L \in \#$  remove1-mset L' (mset-ccls D')
    using DD' LD' LD by (simp add: in-remove1-mset-neq)
  moreover
  have M': trail S = M0 @ Propagated L (mset-cls E) # trail V
    using tr-S unfolding U by auto
  have no-dup (trail S)
    using inv U unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
  then have atm-L-notin-M: atm-of L  $\notin$  atm-of ' (lits-of-l (trail V))
    using M' U S by (auto simp: lits-of-def)
  have get-all-levels-of-marked (trail S) = rev [1.. $1 + \text{backtrack-lvl } S$ ]
    using inv U unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def by auto
  then have get-all-levels-of-marked (trail U) = rev [1.. $1 + \text{backtrack-lvl } S$ ]
    using nm M' U by (simp add: get-all-levels-of-marked-no-marked)
  then have get-lev-L:
    get-level(Propagated L (mset-cls E) # trail V) L = backtrack-lvl S
    using get-level-get-rev-level-get-all-levels-of-marked[OF atm-L-notin-M,
      of [Propagated L (mset-cls E)]] U by auto
  have atm-of L  $\notin$  atm-of ' (lits-of-l (rev M0))
    using  $\langle \text{no-dup } (\text{trail } S) \rangle$  M' by (auto simp: lits-of-def)
  then have get-level (trail S) L = backtrack-lvl S
    by (metis M' get-lev-L get-rev-level-notin-end rev-append)
  ultimately
  have get-maximum-level (trail S) (remove1-mset L' (mset-ccls D'))  $\geq$  backtrack-lvl S
    by (metis get-maximum-level-ge-get-level get-rev-level-uminus)
  then show False
    using  $\langle i' < \text{backtrack-lvl } S \rangle$  i' by auto
qed
have cdclW** S U
  using bj cdclW-bj.skip local.skip mono-rtrancp[of skip cdclW S U] other by meson
then have cdclW-all-struct-inv U
  using inv rtrancp-cdclW-all-struct-inv-inv by blast
then have Propagated L (mset-cls E) # trail V  $\models$  as CNot (mset-ccls D')
  using cdclW-all-struct-inv-def cdclW-conflicting-def raw-U U by auto
then have  $\forall L' \in \#$  (remove1-mset L' (mset-ccls D')) . atm-of L'  $\in$  atm-of ' lits-of-l (Propagated L
(mset-cls E) # trail U)
  using U atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set in-CNot-implies-uminus(2)
  by (fastforce dest: in-diffD)
then have get-maximum-level (trail S) (remove1-mset L' (mset-ccls D')) = backtrack-lvl S
  using get-maximum-level-skip-un-marked-not-present[of remove1-mset L' (mset-ccls D')
    trail U M0] tr-S nm U
   $\langle \text{get-maximum-level } (\text{trail } U) (\text{mset-ccls } (\text{remove-clit } (- L) D)) = \text{backtrack-lvl } U \rangle$ 
  by (auto simp: S)
then show False
  using i'  $\langle i' < \text{backtrack-lvl } S \rangle$  by auto
qed

```

lemma if-can-apply-resolve-no-more-backtrack:
assumes

skip: *skip*** *S U* **and**
resolve: *resolve S T* **and**
inv: *cdcl_W-all-struct-inv S*
shows $\neg \text{backtrack } U V$
using *assms*
by (*meson if-can-apply-backtrack-no-more-resolve rtrancpl.rtrancpl-refl*
rtrancpl-skip-backtrack-backtrack)

lemma *if-can-apply-backtrack-skip-or-resolve-is-skip*:

assumes
bt: *backtrack S T* **and**
skip: *skip-or-resolve*** *S U* **and**
inv: *cdcl_W-all-struct-inv S*
shows *skip*** *S U*
using *assms(2,3,1)*
by *induction (simp-all add: if-can-apply-backtrack-no-more-resolve skip-or-resolve.simps)*

lemma *cdcl_W-bj-bj-decomp*:

assumes *cdcl_W-bj*** *S W* **and** *cdcl_W-all-struct-inv S*
shows
 $(\exists T U V. (\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)** S T$
 $\wedge (\lambda T U. \text{resolve } T U \wedge \text{no-step backtrack } T) T U$
 $\wedge \text{skip** } U V \wedge \text{backtrack } V W)$
 $\vee (\exists T U. (\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)** S T$
 $\wedge (\lambda T U. \text{resolve } T U \wedge \text{no-step backtrack } T) T U \wedge \text{skip** } U W)$
 $\vee (\exists T. \text{skip** } S T \wedge \text{backtrack } T W)$
 $\vee \text{skip** } S W$ (**is** *?RB S W* \vee *?R S W* \vee *?SB S W* \vee *?S S W*)

using *assms*

proof *induction*

case *base*

then show *?case* **by** *simp*

next

case (*step W X*) **note** *st = this(1)* **and** *bj = this(2)* **and** *IH = this(3)[OF this(4)]* **and** *inv = this(4)*

have $\neg ?RB S W$ **and** $\neg ?SB S W$

proof (*clarify, goal-cases*)

case (*1 T U V*)

have *skip-or-resolve*** *S T*

using *1(1)* **by** (*auto dest!: rtrancpl-and-rtrancpl-left*)

then show *False*

by (*metis (no-types, lifting) 1(2) 1(4) 1(5) backtrack-no-cdcl_W-bj*
cdcl_W-all-struct-inv-def cdcl_W-all-struct-inv-inv cdcl_W-o.bj local.bj other
resolve rtrancpl-cdcl_W-all-struct-inv-inv rtrancpl-skip-backtrack-backtrack
rtrancpl-skip-or-resolve-rtrancpl-cdcl_W step.premis)

next

case *2*

then show *?case* **by** (*meson assms(2) cdcl_W-all-struct-inv-def backtrack-no-cdcl_W-bj*
local.bj rtrancpl-skip-backtrack-backtrack)

qed

then have *IH*: *?R S W* \vee *?S S W* **using** *IH* **by** *blast*

have *cdcl_W*** *S W* **using** *mono-rtrancpl[of cdcl_W-bj cdcl_W] st* **by** *blast*

then have *inv-W*: *cdcl_W-all-struct-inv W* **by** (*simp add: rtrancpl-cdcl_W-all-struct-inv-inv*
step.premis)

consider

```

  (BT)  $X'$  where backtrack  $W X'$ 
| (skip) no-step backtrack  $W$  and skip  $W X$ 
| (resolve) no-step backtrack  $W$  and resolve  $W X$ 
using  $bj$   $cdcl_W$ - $bj$ .cases by meson
then show ?case
proof cases
  case (BT  $X'$ )
  then consider
    (bt) backtrack  $W X$ 
  | (sk) skip  $W X$ 
  using  $bj$  if-can-apply-backtrack-no-more-resolve[of  $W W X' X$ ] inv-W  $cdcl_W$ - $bj$ .cases by fast
then show ?thesis
  proof cases
    case bt
    then show ?thesis using IH by auto
  next
    case sk
    then show ?thesis using IH by (meson rtranclp-trans r-into-rtranclp)
  qed
next
  case skip
  then show ?thesis using IH by (meson rtranclp.rtrancl-into-rtrancl)
next
  case resolve note  $no\_bt = this(1)$  and  $res = this(2)$ 
  consider
    (RS)  $T U$  where
      ( $\lambda S T.$  skip-or-resolve  $S T \wedge$  no-step backtrack  $S$ )**  $S T$  and
      resolve  $T U$  and
      no-step backtrack  $T$  and
      skip**  $U W$ 
  | (S) skip**  $S W$ 
  using IH by auto
then show ?thesis
  proof cases
    case (RS  $T U$ )
    have  $cdcl_W$ **  $S T$ 
    using  $RS(1)$   $cdcl_W$ - $bj$ .resolve  $cdcl_W$ - $o$ . $bj$  other skip
      mono-rtranclp[of ( $\lambda S T.$  skip-or-resolve  $S T \wedge$  no-step backtrack  $S$ )  $cdcl_W S T$ ]
    by (meson skip-or-resolve.cases)
    then have  $cdcl_W$ -all-struct-inv  $U$ 
    by (meson  $RS(2)$   $cdcl_W$ -all-struct-inv-inv  $cdcl_W$ - $bj$ .resolve  $cdcl_W$ - $o$ . $bj$  other
      rtranclp-cdcl_W-all-struct-inv-inv step.premis)
    { fix  $U'$ 
      assume skip**  $U U'$  and skip**  $U' W$ 
      have  $cdcl_W$ -all-struct-inv  $U'$ 
      using  $\langle cdcl_W$ -all-struct-inv  $U \rangle \langle skip$ **  $U U' \rangle$  rtranclp-cdcl_W-all-struct-inv-inv
         $cdcl_W$ - $o$ . $bj$  rtranclp-mono[of skip  $cdcl_W$ ] other skip by blast
      then have no-step backtrack  $U'$ 
      using if-can-apply-backtrack-no-more-resolve[OF  $\langle skip$ **  $U' W \rangle$ ] res by blast
    }
  with  $\langle skip$ **  $U W \rangle$ 
  have ( $\lambda S T.$  skip-or-resolve  $S T \wedge$  no-step backtrack  $S$ )**  $U W$ 
  proof induction
    case base
    then show ?case by simp

```

```

next
case (step V W) note st = this(1) and skip = this(2) and IH = this(3) and H = this(4)
have  $\bigwedge U'. \text{skip}^{**} U' V \implies \text{skip}^{**} U' W$ 
  using skip by auto
then have  $(\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)^{**} U V$ 
  using IH H by blast
moreover have  $(\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)^{**} V W$ 

  by (simp add: local.skip r-into-rtrancpl st step.premis skip-or-resolve.intros)
ultimately show ?case by simp
qed
then show ?thesis
proof -
have f1:  $\forall p \text{ pa pb pc. } \neg p \text{ (pa) pb} \vee \neg p^{**} \text{ pb pc} \vee p^{**} \text{ pa pc}$ 
  by (meson converse-rtrancpl-into-rtrancpl)
have skip-or-resolve T U  $\wedge$  no-step backtrack T
  using RS(2) RS(3) by force
then have  $(\lambda p \text{ pa. skip-or-resolve } p \text{ pa} \wedge \text{no-step backtrack } p)^{**} T W$ 
proof -
  have  $(\exists \text{vr19 vr16 vr17 vr18. vr19 (vr16::'st) vr17} \wedge \text{vr19}^{**} \text{vr17 vr18}$ 
     $\wedge \neg \text{vr19}^{**} \text{vr16 vr18})$ 
     $\vee \neg (\text{skip-or-resolve } T U \wedge \text{no-step backtrack } T)$ 
     $\vee \neg (\lambda uu \text{ uua. skip-or-resolve } uu \text{ uua} \wedge \text{no-step backtrack } uu)^{**} U W$ 
     $\vee (\lambda uu \text{ uua. skip-or-resolve } uu \text{ uua} \wedge \text{no-step backtrack } uu)^{**} T W$ 
  by force
  then show ?thesis
    by (metis (no-types)  $\langle \lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S \rangle^{**} U W$ )
       $\langle \text{skip-or-resolve } T U \wedge \text{no-step backtrack } T \rangle f1$ )
qed
then have  $(\lambda p \text{ pa. skip-or-resolve } p \text{ pa} \wedge \text{no-step backtrack } p)^{**} S W$ 
  using RS(1) by force
then show ?thesis
  using no-bt res by blast
qed
next
case S
{ fix U'
  assume skip** S U' and skip** U' W
  then have cdclW** S U'
    using mono-rtrancpl[of skip cdclW S U'] by (simp add: cdclW-o.bj other skip)
  then have cdclW-all-struct-inv U'
    by (metis (no-types, hide-lams)  $\langle \text{cdcl}_W\text{-all-struct-inv } S \rangle$ 
      rtrancpl-cdclW-all-struct-inv-inv)
  then have no-step backtrack U'
    using if-can-apply-backtrack-no-more-resolve[OF  $\langle \text{skip}^{**} U' W \rangle$ ] res by blast
}
with S
have  $(\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S)^{**} S W$ 
proof induction
  case base
  then show ?case by simp
next
case (step V W) note st = this(1) and skip = this(2) and IH = this(3) and H = this(4)
have  $\bigwedge U'. \text{skip}^{**} U' V \implies \text{skip}^{**} U' W$ 
  using skip by auto

```

```

    then have ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ )**  $S V$ 
      using  $IH H$  by blast
    moreover have ( $\lambda S T. \text{skip-or-resolve } S T \wedge \text{no-step backtrack } S$ )**  $V W$ 
      by (simp add: local.skip r-into-rtrancpl st step.premis skip-or-resolve.intros)
    ultimately show ?case by simp
  qed
  then show ?thesis using res no-bt by blast
qed
qed
qed

```

The case distinction is needed, since $T \sim V$ does not imply that $R^{**} T V$.

lemma *cdcl_W-bj-strongly-confluent*:

```

  assumes
    cdclW-bj**  $S V$  and
    cdclW-bj**  $S T$  and
    n-s: no-step cdclW-bj  $V$  and
    inv: cdclW-all-struct-inv  $S$ 
  shows  $T \sim V \vee \text{cdcl}_W\text{-bj}^{**} T V$ 
  using assms(2)
proof induction
  case base
  then show ?case by (simp add: assms(1))
next
  case (step  $T U$ ) note st = this(1) and s-o-r = this(2) and IH = this(3)
  have cdclW**  $S T$ 
    using st mono-rtrancpl[of cdclW-bj cdclW] other by blast
  then have lev-T: cdclW-M-level-inv  $T$ 
    using inv rtrancpl-cdclW-consistent-inv[of  $S T$ ]
    unfolding cdclW-all-struct-inv-def by auto

  consider
    ( $TV$ )  $T \sim V$ 
    | ( $bj-TV$ ) cdclW-bj**  $T V$ 
  using  $IH$  by blast
  then show ?case
  proof cases
    case  $TV$ 
    have no-step cdclW-bj  $T$ 
      using (cdclW-M-level-inv  $T$ ) n-s cdclW-bj-state-eq-compatible[of  $T - V$ ]  $TV$ 
      by (meson backtrack-state-eq-compatible cdclW-bj.simps resolve-state-eq-compatible
        skip-state-eq-compatible state-eq-ref)
    then show ?thesis
      using s-o-r by auto
  next
    case  $bj-TV$ 
    then obtain  $U'$  where
       $T-U'$ : cdclW-bj  $T U'$  and
      cdclW-bj**  $U' V$ 
      using  $IH$  n-s s-o-r by (metis rtrancpl-unfold trancplD)
    have cdclW**  $S T$ 
      by (metis (no-types, hide-lams) bj mono-rtrancpl[of cdclW-bj cdclW] other st)
    then have inv-T: cdclW-all-struct-inv  $T$ 
      by (metis (no-types, hide-lams) inv rtrancpl-cdclW-all-struct-inv-inv)
  end
end

```

```

have lev-U: cdclW-M-level-inv U
  using s-o-r cdclW-consistent-inv lev-T other by blast
show ?thesis
  using s-o-r
  proof cases
    case backtrack
      then obtain V0 where skip** T V0 and backtrack V0 V
        using IH if-can-apply-backtrack-skip-or-resolve-is-skip[OF backtrack - inv-T]
          cdclW-bj-decomp-resolve-skip-and-bj
          by (meson bj-TV cdclW-bj.backtrack inv-T lev-T n-s
            rtrancpl-skip-backtrack-backtrack-end)
      then have cdclW-bj** T V0 and cdclW-bj V0 V
        using rtrancpl-mono[of skip cdclW-bj] by blast+
      then show ?thesis
        using ⟨backtrack V0 V⟩ ⟨skip** T V0⟩ backtrack-unique inv-T local.backtrack
          rtrancpl-skip-backtrack-backtrack by auto
    next
      case resolve
        then have U ~ U'
          by (meson T-U' cdclW-bj.simps if-can-apply-backtrack-no-more-resolve inv-T
            resolve-skip-deterministic resolve-unique rtrancpl.rtrancpl-refl)
        then show ?thesis
          using ⟨cdclW-bj** U' V⟩ unfolding rtrancpl-unfold
          by (meson T-U' bj cdclW-consistent-inv lev-T other state-eq-ref state-eq-sym
            trancpl-cdclW-bj-state-eq-compatible)
    next
      case skip
        consider
          (sk) skip T U'
        | (bt) backtrack T U'
        using T-U' by (meson cdclW-bj.cases local.skip resolve-skip-deterministic)
      then show ?thesis
        proof cases
          case sk
            then show ?thesis
              using ⟨cdclW-bj** U' V⟩ unfolding rtrancpl-unfold
              by (meson T-U' bj cdclW-all-inv(3) cdclW-all-struct-inv-def inv-T local.skip other
                trancpl-cdclW-bj-state-eq-compatible skip-unique state-eq-ref)
          next
            case bt
              have skip++ T U
                using local.skip by blast
              have cdclW-bj U U'
                by (meson ⟨skip++ T U⟩ backtrack bt inv-T rtrancpl-skip-backtrack-backtrack-end
                  trancpl-into-rtrancpl)
              then have cdclW-bj++ U V
                using ⟨cdclW-bj** U' V⟩ by auto
              then show ?thesis
                by (meson trancpl-into-rtrancpl)
        qed
      qed
    qed
  qed
qed

```

lemma *cdcl_W-bj-unique-normal-form*:

assumes

ST: *cdcl_W-bj** S T* **and** *SU*: *cdcl_W-bj** S U* **and**

n-s-U: *no-step cdcl_W-bj U* **and**

n-s-T: *no-step cdcl_W-bj T* **and**

inv: *cdcl_W-all-struct-inv S*

shows *T ~ U*

proof –

have *T ~ U ∨ cdcl_W-bj** T U*

using *ST SU cdcl_W-bj-strongly-confluent inv n-s-U* **by** *blast*

then show *?thesis*

by (*metis (no-types) n-s-T rtranclp-unfold state-eq-ref tranclp-unfold-begin*)

qed

lemma *full-cdcl_W-bj-unique-normal-form*:

assumes *full cdcl_W-bj S T* **and** *full cdcl_W-bj S U* **and**

inv: *cdcl_W-all-struct-inv S*

shows *T ~ U*

using *cdcl_W-bj-unique-normal-form assms unfolding full-def* **by** *blast*

26.3 CDCL FW

inductive *cdcl_W-merge-restart* :: *'st ⇒ 'st ⇒ bool* **where**

fw-r-propagate: *propagate S S' ⇒ cdcl_W-merge-restart S S' |*

fw-r-conflict: *conflict S T ⇒ full cdcl_W-bj T U ⇒ cdcl_W-merge-restart S U |*

fw-r-decide: *decide S S' ⇒ cdcl_W-merge-restart S S' |*

fw-r-rf: *cdcl_W-rf S S' ⇒ cdcl_W-merge-restart S S'*

lemma *rtranclp-cdcl_W-bj-rtranclp-cdcl_W*:

*cdcl_W-bj** S T ⇒ cdcl_W** S T*

using *mono-rtranclp[of cdcl_W-bj cdcl_W]* **by** *blast*

lemma *cdcl_W-merge-restart-cdcl_W*:

assumes *cdcl_W-merge-restart S T*

shows *cdcl_W** S T*

using *assms*

proof *induction*

case (*fw-r-conflict S T U*) **note** *confl = this(1)* **and** *bj = this(2)*

have *cdcl_W S T* **using** *confl* **by** (*simp add: cdcl_W.intros r-into-rtranclp*)

moreover

have *cdcl_W-bj** T U* **using** *bj unfolding full-def* **by** *auto*

then have *cdcl_W** T U* **using** *rtranclp-cdcl_W-bj-rtranclp-cdcl_W* **by** *blast*

ultimately show *?case* **by** *auto*

qed (*simp-all add: cdcl_W-o.intros cdcl_W.intros r-into-rtranclp*)

lemma *cdcl_W-merge-restart-conflicting-true-or-no-step*:

assumes *cdcl_W-merge-restart S T*

shows *conflicting T = None ∨ no-step cdcl_W T*

using *assms*

proof *induction*

case (*fw-r-conflict S T U*) **note** *confl = this(1)* **and** *n-s = this(2)*

{ fix *D V*

assume *cdcl_W U V* **and** *conflicting U = Some D*

then have *False*

using *n-s unfolding full-def*


```

    by (induction rule: cdclW-all-rules-induct)
      (auto dest!: cdclW-bj.intros elim: decideE propagateE conflictE forgetE restartE)
  }
  then show ?case by (cases conflicting U) fastforce+
qed (auto simp add: cdclW-rf.simps elim: propagateE decideE restartE forgetE)

inductive cdclW-merge :: 'st ⇒ 'st ⇒ bool where
  fw-propagate: propagate S S' ⇒ cdclW-merge S S' |
  fw-conflict: conflict S T ⇒ full cdclW-bj T U ⇒ cdclW-merge S U |
  fw-decide: decide S S' ⇒ cdclW-merge S S' |
  fw-forget: forget S S' ⇒ cdclW-merge S S'

lemma cdclW-merge-cdclW-merge-restart:
  cdclW-merge S T ⇒ cdclW-merge-restart S T
  by (meson cdclW-merge.cases cdclW-merge-restart.simps forget)

lemma rtrancpl-cdclW-merge-trancpl-cdclW-merge-restart:
  cdclW-merge** S T ⇒ cdclW-merge-restart** S T
  using rtrancpl-mono[of cdclW-merge cdclW-merge-restart] cdclW-merge-cdclW-merge-restart by blast

lemma cdclW-merge-rtrancpl-cdclW:
  cdclW-merge S T ⇒ cdclW** S T
  using cdclW-merge-cdclW-merge-restart cdclW-merge-restart-cdclW by blast

lemma rtrancpl-cdclW-merge-rtrancpl-cdclW:
  cdclW-merge** S T ⇒ cdclW** S T
  using rtrancpl-mono[of cdclW-merge cdclW**] cdclW-merge-rtrancpl-cdclW by auto

lemmas rulesE =
  skipE resolveE backtrackE propagateE conflictE decideE restartE forgetE

lemma cdclW-all-struct-inv-trancpl-cdclW-merge-trancpl-cdclW-merge-cdclW-all-struct-inv:
  assumes
    inv: cdclW-all-struct-inv b
    cdclW-merge++ b a
  shows (λS T. cdclW-all-struct-inv S ∧ cdclW-merge S T)++ b a
  using assms(2)
proof induction
  case base
  then show ?case using inv by auto
next
  case (step c d) note st = this(1) and fw = this(2) and IH = this(3)
  have cdclW-all-struct-inv c
    using trancpl-into-rtrancpl[OF st] cdclW-merge-rtrancpl-cdclW
    assms(1) rtrancpl-cdclW-all-struct-inv-inv rtrancpl-mono[of cdclW-merge cdclW**] by fastforce
  then have (λS T. cdclW-all-struct-inv S ∧ cdclW-merge S T)++ c d
    using fw by auto
  then show ?case using IH by auto
qed

lemma backtrack-is-full1-cdclW-bj:
  assumes bt: backtrack S T and inv: cdclW-M-level-inv S
  shows full1 cdclW-bj S T
  using bt inv backtrack-no-cdclW-bj unfolding full1-def by blast

```

```

lemma rtranc1-cdclW-conflicting-true-cdclW-merge-restart:
  assumes cdclW** S V and inv: cdclW-M-level-inv S and conflicting S = None
  shows (cdclW-merge-restart** S V  $\wedge$  conflicting V = None)
     $\vee$  ( $\exists T U. \text{cdcl}_W\text{-merge-restart}^{**} S T \wedge \text{conflicting } V \neq \text{None} \wedge \text{conflict } T U \wedge \text{cdcl}_W\text{-bj}^{**} U V$ )
  using assms
proof induction
  case base
  then show ?case by simp
next
  case (step U V) note st = this(1) and cdclW = this(2) and IH = this(3)[OF this(4-)] and
    confl[simp] = this(5) and inv = this(4)
  from cdclW
  show ?case
  proof (cases)
    case propagate
    moreover then have conflicting U = None and conflicting V = None
      by (auto elim: propagateE)
    ultimately show ?thesis using IH cdclW-merge-restart.fw-r-propagate[of U V] by auto
  next
  case conflict
  moreover then have conflicting U = None and conflicting V  $\neq$  None
    by (auto elim!: conflictE simp del: state-simp simp: state-eq-def)
  ultimately show ?thesis using IH by auto
  next
  case other
  then show ?thesis
  proof cases
    case decide
    then show ?thesis using IH cdclW-merge-restart.fw-r-decide[of U V] by (auto elim: decideE)
  next
  case bj
  moreover {
    assume skip-or-resolve U V
    have f1: cdclW-bj++ U V
      by (simp add: local.bj tranc1p.r-into-tranc1)
    obtain T T' :: 'st where
      f2: cdclW-merge-restart** S U
       $\vee$  cdclW-merge-restart** S T  $\wedge$  conflicting U  $\neq$  None
       $\wedge$  conflict T T'  $\wedge$  cdclW-bj** T' U
    using IH confl by blast
    have conflicting V  $\neq$  None  $\wedge$  conflicting U  $\neq$  None
      using  $\langle \text{skip-or-resolve } U V \rangle$ 
    by (auto simp: skip-or-resolve.simps state-eq-def elim!: skipE resolveE
      simp del: state-simp)
    then have ?thesis
      by (metis (full-types) IH f1 rtranc1p-trans tranc1p-into-rtranc1p)
  }
  moreover {
    assume backtrack U V
    then have conflicting U  $\neq$  None by (auto elim: backtrackE)
    then obtain T T' where
      cdclW-merge-restart** S T and
      conflicting U  $\neq$  None and
      conflict T T' and
      cdclW-bj** T' U
  }

```

```

    using IH confl by meson
  have invU: cdclW-M-level-inv U
    using inv rtrancp-cdclW-consistent-inv step.hyps(1) by blast
  then have conflicting V = None
    using ⟨backtrack U V⟩ inv by (auto elim: backtrack-levE
      simp: cdclW-M-level-inv-decomp)
  have full cdclW-bj T' V
    apply (rule rtrancp-fullI[of cdclW-bj T' U V])
      using ⟨cdclW-bj** T' U⟩ apply fast
    using ⟨backtrack U V⟩ backtrack-is-full1-cdclW-bj invU unfolding full1-def full-def
    by blast
  then have ?thesis
    using cdclW-merge-restart.fw-r-conflict[of T T' V] ⟨conflict T T'⟩
    ⟨cdclW-merge-restart** S T⟩ ⟨conflicting V = None⟩ by auto
  }
  ultimately show ?thesis by (auto simp: cdclW-bj.simps)
qed
next
case rf
  moreover then have conflicting U = None and conflicting V = None
    by (auto simp: cdclW-rf.simps elim: restartE forgetE)
  ultimately show ?thesis using IH cdclW-merge-restart.fw-r-rf[of U V] by auto
qed
qed

lemma no-step-cdclW-no-step-cdclW-merge-restart: no-step cdclW S  $\implies$  no-step cdclW-merge-restart S
  by (auto simp: cdclW.simps cdclW-merge-restart.simps cdclW-o.simps cdclW-bj.simps)

lemma no-step-cdclW-merge-restart-no-step-cdclW:
  assumes
    conflicting S = None and
    cdclW-M-level-inv S and
    no-step cdclW-merge-restart S
  shows no-step cdclW S
proof -
  { fix S'
    assume conflict S S'
    then have cdclW S S' using cdclW.conflict by auto
    then have cdclW-M-level-inv S'
      using assms(2) cdclW-consistent-inv by blast
    then obtain S'' where full cdclW-bj S' S''
      using cdclW-bj-exists-normal-form[of S'] by auto
    then have False
      using ⟨conflict S S'⟩ assms(3) fw-r-conflict by blast
  }
  then show ?thesis
    using assms unfolding cdclW.simps cdclW-merge-restart.simps cdclW-o.simps cdclW-bj.simps
    by (auto elim: skipE resolveE backtrackE conflictE decideE restartE)
qed

lemma cdclW-merge-restart-no-step-cdclW-bj:
  assumes
    cdclW-merge-restart S T
  shows no-step cdclW-bj T

```

using *assms*
by (*induction rule: cdcl_W-merge-restart.induct*)
 (*force simp: cdcl_W-bj.simps cdcl_W-rf.simps cdcl_W-merge-restart.simps full-def*
elim!: rulesE)**+**

lemma *rtrancp-cdcl_W-merge-restart-no-step-cdcl_W-bj:*

assumes
*cdcl_W-merge-restart** S T and*
conflicting S = None
shows *no-step cdcl_W-bj T*
using *assms unfolding rtrancp-unfold*
apply (*elim disjE*)
apply (*force simp: cdcl_W-bj.simps cdcl_W-rf.simps elim!: rulesE*)
by (*auto simp: rtrancp-unfold-end simp: cdcl_W-merge-restart-no-step-cdcl_W-bj*)

If *conflicting S* \neq *None*, we cannot say anything.

Remark that this theorem does not say anything about well-foundedness: even if you know that one relation is well-founded, it only states that the normal forms are shared.

lemma *conflicting-true-full-cdcl_W-iff-full-cdcl_W-merge:*

assumes *confl: conflicting S = None and lev: cdcl_W-M-level-inv S*
shows *full cdcl_W S V \longleftrightarrow full cdcl_W-merge-restart S V*

proof

assume *full: full cdcl_W-merge-restart S V*
then have *st: cdcl_W** S V*
using *rtrancp-mono[of cdcl_W-merge-restart cdcl_W**] cdcl_W-merge-restart-cdcl_W*
unfolding *full-def* **by** *auto*

have *n-s: no-step cdcl_W-merge-restart V*

using *full unfolding full-def* **by** *auto*

have *n-s-bj: no-step cdcl_W-bj V*

using *rtrancp-cdcl_W-merge-restart-no-step-cdcl_W-bj confl full unfolding full-def* **by** *auto*

have $\bigwedge S'. \text{conflict } V S' \implies \text{cdcl}_W\text{-M-level-inv } S'$

using *cdcl_W.conflict cdcl_W-consistent-inv lev rtrancp-cdcl_W-consistent-inv st* **by** *blast*

then have $\bigwedge S'. \text{conflict } V S' \implies \text{False}$

using *n-s n-s-bj cdcl_W-bj-exists-normal-form cdcl_W-merge-restart.simps* **by** *meson*

then have *n-s-cdcl_W: no-step cdcl_W V*

using *n-s n-s-bj* **by** (*auto simp: cdcl_W.simps cdcl_W-o.simps cdcl_W-merge-restart.simps*)

then show *full cdcl_W S V* **using** *st unfolding full-def* **by** *auto*

next

assume *full: full cdcl_W S V*

have *no-step cdcl_W-merge-restart V*

using *full no-step-cdcl_W-no-step-cdcl_W-merge-restart unfolding full-def* **by** *blast*

moreover

consider

(*fw*) *cdcl_W-merge-restart** S V and conflicting V = None*

| (*bj*) *T U where*

*cdcl_W-merge-restart** S T and*

conflicting V \neq None and

conflict T U and

*cdcl_W-bj** U V*

using *full rtrancp-cdcl_W-conflicting-true-cdcl_W-merge-restart confl lev unfolding full-def*

by *meson*

then have *cdcl_W-merge-restart** S V*

proof *cases*

case *fw*

```

    then show ?thesis by fast
next
case (bj T U)
have no-step cdclW-bj V
  using full unfolding full-def by (meson cdclW-o.bj other)
then have full cdclW-bj U V
  using ⟨cdclW-bj** U V⟩ unfolding full-def by auto
then have cdclW-merge-restart T V
  using ⟨conflict T U⟩ cdclW-merge-restart.fw-r-conflict by blast
then show ?thesis using ⟨cdclW-merge-restart** S T⟩ by auto
qed
ultimately show full cdclW-merge-restart S V unfolding full-def by fast
qed

```

lemma *init-state-true-full-cdcl_W-iff-full-cdcl_W-merge:*
shows $\text{full cdcl}_W (\text{init-state } N) V \longleftrightarrow \text{full cdcl}_W\text{-merge-restart } (\text{init-state } N) V$
by (rule *conflicting-true-full-cdcl_W-iff-full-cdcl_W-merge*) auto

26.4 FW with strategy

26.4.1 The intermediate step

inductive $\text{cdcl}_W\text{-s}' :: 'st \Rightarrow 'st \Rightarrow \text{bool}$ **where**
conflict': $\text{full1 cdcl}_W\text{-cp } S S' \Longrightarrow \text{cdcl}_W\text{-s}' S S' \mid$
decide': $\text{decide } S S' \Longrightarrow \text{no-step cdcl}_W\text{-cp } S \Longrightarrow \text{full cdcl}_W\text{-cp } S' S'' \Longrightarrow \text{cdcl}_W\text{-s}' S S'' \mid$
bj': $\text{full1 cdcl}_W\text{-bj } S S' \Longrightarrow \text{no-step cdcl}_W\text{-cp } S \Longrightarrow \text{full cdcl}_W\text{-cp } S' S'' \Longrightarrow \text{cdcl}_W\text{-s}' S S''$

inductive-cases $\text{cdcl}_W\text{-s}'E$: $\text{cdcl}_W\text{-s}' S T$

lemma *rtrancp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy:*
 $\text{cdcl}_W\text{-bj}^{**} S S' \Longrightarrow \text{full cdcl}_W\text{-cp } S' S'' \Longrightarrow \text{cdcl}_W\text{-stgy}^{**} S S''$
proof (induction rule: *converse-rtrancp-induct*)
case base
then show ?case **by** (metis *cdcl_W-stgy.conflict'* full-unfold *rtrancp.simps*)
next
case (step T U) **note** $st = \text{this}(2)$ **and** $bj = \text{this}(1)$ **and** $IH = \text{this}(3)[OF \text{this}(4)]$
have no-step $\text{cdcl}_W\text{-cp } T$
using bj **by** (auto simp add: *cdcl_W-bj.simps cdcl_W-cp.simps elim!*: *rulesE*)
consider
 (U) $U = S'$
 | (U') U' **where** $\text{cdcl}_W\text{-bj } U U'$ **and** $\text{cdcl}_W\text{-bj}^{**} U' S'$
using st **by** (metis *converse-rtrancpE*)
then show ?case
proof cases
case U
then show ?thesis
using (no-step $\text{cdcl}_W\text{-cp } T$) $\text{cdcl}_W\text{-o.bj local.bj other' step.prem}$ **by** (meson *r-into-rtrancp*)
next
case U' **note** $U' = \text{this}(1)$
have no-step $\text{cdcl}_W\text{-cp } U$
using U' **by** (fastforce simp: *cdcl_W-cp.simps cdcl_W-bj.simps elim!*: *rulesE*)
then have full $\text{cdcl}_W\text{-cp } U U$
by (simp add: full-unfold)
then have $\text{cdcl}_W\text{-stgy } T U$
using (no-step $\text{cdcl}_W\text{-cp } T$) $\text{cdcl}_W\text{-stgy.simps local.bj cdcl}_W\text{-o.bj}$ **by** meson
then show ?thesis **using** IH **by** auto

qed
qed

lemma $cdcl_W-s'-is-rtrancp-cdcl_W-stgy$:
 $cdcl_W-s' S T \implies cdcl_W-stgy^{**} S T$
apply (*induction rule*: $cdcl_W-s'.induct$)
apply (*auto intro*: $cdcl_W-stgy.intros$)[]
apply (*meson decide other' r-into-rtrancp*)
by (*metis full1-def rtrancp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy trancp-into-rtrancp*)

lemma $cdcl_W-cp-cdcl_W-bj-bissimulation$:

assumes

$full\ cdcl_W-cp\ T\ U$ **and**

$cdcl_W-bj^{**}\ T\ T'$ **and**

$cdcl_W-all-struct-inv\ T$ **and**

$no-step\ cdcl_W-bj\ T'$

shows $full\ cdcl_W-cp\ T'\ U$

$\vee (\exists U' U''. full\ cdcl_W-cp\ T'\ U'' \wedge full1\ cdcl_W-bj\ U\ U' \wedge full\ cdcl_W-cp\ U'\ U''$
 $\wedge cdcl_W-s'^{**}\ U\ U'')$

using $assms(2,1,3,4)$

proof (*induction rule*: $rtrancp-induct$)

case *base*

then show *?case* **by** *blast*

next

case (*step* $T'\ T''$) **note** $st = this(1)$ **and** $bj = this(2)$ **and** $IH = this(3)[OF\ this(4,5)]$ **and**

$full = this(4)$ **and** $inv = this(5)$

have $cdcl_W-bj^{**}\ T\ T''$

using *local.bj st* **by** *auto*

then have $cdcl_W^{**}\ T\ T''$

using $rtrancp-cdcl_W-bj-rtrancp-cdcl_W$ **by** *blast*

then have $inv-T''$: $cdcl_W-all-struct-inv\ T''$

using $inv\ rtrancp-cdcl_W-all-struct-inv-inv$ **by** *blast*

have $cdcl_W-bj^{++}\ T\ T''$

using *local.bj st* **by** *auto*

have $full1\ cdcl_W-bj\ T\ T''$

by (*metis* $\langle cdcl_W-bj^{++}\ T\ T'' \rangle\ full1-def\ step.prem(3)$)

then have $T = U$

proof –

obtain Z **where** $cdcl_W-bj\ T\ Z$

using $\langle cdcl_W-bj^{++}\ T\ T'' \rangle$ **by** (*blast dest*: $trancpD$)

{ **assume** $cdcl_W-cp^{++}\ T\ U$

then obtain Z' **where** $cdcl_W-cp\ T\ Z'$

by (*meson trancpD*)

then have *False*

using $\langle cdcl_W-bj\ T\ Z \rangle$ **by** (*fastforce simp*: $cdcl_W-bj.simps\ cdcl_W-cp.simps$
 $elim$: $rulesE$)

}

then show *?thesis*

using $full\ unfolding\ full-def\ rtrancp-unfold$ **by** *blast*

qed

obtain U'' **where** $full\ cdcl_W-cp\ T''\ U''$

using $cdcl_W-cp-normalized-element-all-inv\ inv-T''$ **by** *blast*

moreover then have $cdcl_W-stgy^{**}\ U\ U''$

by (*metis* $\langle T = U \rangle\ \langle cdcl_W-bj^{++}\ T\ T'' \rangle\ rtrancp-cdcl_W-bj-full1-cdclp-cdcl_W-stgy\ rtrancp-unfold$)

moreover have $cdcl_W-s'^{**}\ U\ U''$

```

proof –
  obtain  $ss :: 'st \Rightarrow 'st$  where
     $f1: \forall x2. (\exists v3. \text{cdcl}_W\text{-cp } x2 \ v3) = \text{cdcl}_W\text{-cp } x2 \ (ss \ x2)$ 
    by moura
  have  $\neg \text{cdcl}_W\text{-cp } U \ (ss \ U)$ 
    by (meson full full-def)
  then show ?thesis
    using  $f1$  by (metis (no-types)  $\langle T = U \rangle \langle full1 \text{ cdcl}_W\text{-bj } T \ T'' \rangle \text{bj}' \text{ calculation}(1)$ 
      r-into-rtrancp)
  qed
ultimately show ?case
  using  $\langle full1 \text{ cdcl}_W\text{-bj } T \ T'' \rangle \langle full \text{ cdcl}_W\text{-cp } T'' \ U'' \rangle$  unfolding  $\langle T = U \rangle$  by blast
qed

lemma cdclW-cp-cdclW-bj-bissimulation':
assumes
  full cdclW-cp T U and
  cdclW-bj** T T' and
  cdclW-all-struct-inv T and
  no-step cdclW-bj T'
shows full cdclW-cp T' U
   $\vee (\exists U'. \text{full1 } \text{cdcl}_W\text{-bj } U \ U' \wedge (\forall U''. \text{full } \text{cdcl}_W\text{-cp } U' \ U'' \longrightarrow \text{full } \text{cdcl}_W\text{-cp } T' \ U''$ 
     $\wedge \text{cdcl}_W\text{-s}^{**} \ U \ U''))$ 
using assms(2,1,3,4)
proof (induction rule: rtrancp-induct)
  case base
  then show ?case by blast
next
  case (step T' T'') note  $st = \text{this}(1)$  and  $\text{bj} = \text{this}(2)$  and  $IH = \text{this}(3)[OF \ \text{this}(4,5)]$  and
     $\text{full} = \text{this}(4)$  and  $\text{inv} = \text{this}(5)$ 
  have  $\text{cdcl}_W^{**} \ T \ T''$ 
    by (metis local.bj rtrancp.simps rtrancp-cdclW-bj-rtrancp-cdclW st)
  then have inv-T'': cdclW-all-struct-inv T''
    using inv rtrancp-cdclW-all-struct-inv-inv by blast
  have  $\text{cdcl}_W\text{-bj}^{++} \ T \ T''$ 
    using local.bj st by auto
  have  $\text{full1 } \text{cdcl}_W\text{-bj } T \ T''$ 
    by (metis  $\langle \text{cdcl}_W\text{-bj}^{++} \ T \ T'' \rangle \text{full1-def step.prem}(3)$ )
  then have  $T = U$ 
  proof –
    obtain  $Z$  where  $\text{cdcl}_W\text{-bj } T \ Z$ 
      using  $\langle \text{cdcl}_W\text{-bj}^{++} \ T \ T'' \rangle$  by (blast dest: trancpD)
    { assume  $\text{cdcl}_W\text{-cp}^{++} \ T \ U$ 
      then obtain  $Z'$  where  $\text{cdcl}_W\text{-cp } T \ Z'$ 
        by (meson trancpD)
      then have False
        using  $\langle \text{cdcl}_W\text{-bj } T \ Z \rangle$  by (fastforce simp: cdclW-bj.simps cdclW-cp.simps elim: rulesE)
    }
  then show ?thesis
    using full unfolding full-def rtrancp-unfold by blast
  qed
{ fix  $U''$ 
  assume  $\text{full } \text{cdcl}_W\text{-cp } T'' \ U''$ 
  moreover then have  $\text{cdcl}_W\text{-stgy}^{**} \ U \ U''$ 
    by (metis  $\langle T = U \rangle \langle \text{cdcl}_W\text{-bj}^{++} \ T \ T'' \rangle \text{rtrancp-cdcl}_W\text{-bj-full1-cdcl}_W\text{-stgy rtrancp-unfold}$ )

```

```

moreover have  $cdcl_W\text{-}s^{t**} U U''$ 
proof –
  obtain  $ss :: 'st \Rightarrow 'st$  where
     $f1: \forall x2. (\exists v3. cdcl_W\text{-}cp\ x2\ v3) = cdcl_W\text{-}cp\ x2\ (ss\ x2)$ 
    by moura
  have  $\neg cdcl_W\text{-}cp\ U\ (ss\ U)$ 
    by (meson assms(1) full-def)
  then show ?thesis
    using  $f1$  by (metis (no-types)  $\langle T = U \rangle \langle full1\ cdcl_W\text{-}bj\ T\ T'' \rangle bj'\ calculation(1)$ 
      r-into-rtrancpl)
  qed
ultimately have  $full1\ cdcl_W\text{-}bj\ U\ T''$  and  $cdcl_W\text{-}s^{t**} T'' U''$ 
  using  $\langle full1\ cdcl_W\text{-}bj\ T\ T'' \rangle \langle full\ cdcl_W\text{-}cp\ T''\ U'' \rangle$  unfolding  $\langle T = U \rangle$ 
  apply blast
  by (metis  $\langle full\ cdcl_W\text{-}cp\ T''\ U'' \rangle cdcl_W\text{-}s'.simps\ full\text{-}unfold\ rtrancpl.simps$ )
}
then show ?case
  using  $\langle full1\ cdcl_W\text{-}bj\ T\ T'' \rangle$  full  $bj'$  unfolding  $\langle T = U \rangle$  full-def by (metis r-into-rtrancpl)
qed

lemma  $cdcl_W\text{-}stgy\text{-}cdcl_W\text{-}s'\text{-}connected$ :
  assumes  $cdcl_W\text{-}stgy\ S\ U$  and  $cdcl_W\text{-}all\text{-}struct\text{-}inv\ S$ 
  shows  $cdcl_W\text{-}s'\ S\ U$ 
   $\vee (\exists U'. full1\ cdcl_W\text{-}bj\ U\ U' \wedge (\forall U''. full\ cdcl_W\text{-}cp\ U'\ U'' \longrightarrow cdcl_W\text{-}s'\ S\ U''))$ 
  using assms
proof (induction rule: cdcl_W-stgy.induct)
  case (conflict' T)
  then have  $cdcl_W\text{-}s'\ S\ T$ 
    using  $cdcl_W\text{-}s'.conflict'$  by blast
  then show ?case
    by blast
next
  case (other' T U) note  $o = this(1)$  and  $n\text{-}s = this(2)$  and  $full = this(3)$  and  $inv = this(4)$ 
  show ?case
    using  $o$ 
  proof cases
    case decide
    then show ?thesis using  $cdcl_W\text{-}s'.simps\ full\ n\text{-}s$  by blast
  next
  case bj
  have  $inv\text{-}T: cdcl_W\text{-}all\text{-}struct\text{-}inv\ T$ 
    using  $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}inv\ o\ other\ other'.prems$  by blast
  consider
    (cp)  $full\ cdcl_W\text{-}cp\ T\ U$  and no-step  $cdcl_W\text{-}bj\ T$ 
    | (fbj)  $T'$  where  $full1\ cdcl_W\text{-}bj\ T\ T'$ 
  apply (cases no-step cdcl_W-bj T)
  using full apply blast
  using  $cdcl_W\text{-}bj\text{-}exists\text{-}normal\text{-}form[of\ T]\ inv\text{-}T$  unfolding  $cdcl_W\text{-}all\text{-}struct\text{-}inv\text{-}def$ 
  by (metis full-unfold)
  then show ?thesis
  proof cases
    case cp
    then show ?thesis
    proof –
      obtain  $ss :: 'st \Rightarrow 'st$  where

```



```

    f1:  $\forall s \text{ sa sb. } (\neg \text{full1 } \text{cdcl}_W\text{-bj } s \text{ sa} \vee \text{cdcl}_W\text{-cp } s \text{ (ss s)} \vee \neg \text{full } \text{cdcl}_W\text{-cp } \text{sa sb})$ 
       $\vee \text{cdcl}_W\text{-s' } s \text{ sb}$ 
    using bj' by moura
  have full1 cdclW-bj S T
    by (simp add: cp(2) full1-def local.bj tranclp.r-into-trancl)
  then show ?thesis
    using f1 full n-s by blast
qed
next
case (fbj U')
then have full1 cdclW-bj S U'
  using bj unfolding full1-def by auto
moreover have no-step cdclW-cp S
  using n-s by blast
moreover have T = U
  using full fbj unfolding full1-def full-def rtranclp-unfold
  by (force dest!: tranclpD simp:cdclW-bj.simps elim: rulesE)
ultimately show ?thesis using cdclW-s'.bj'[of S U'] using fbj by blast
qed
qed
qed

lemma cdclW-stgy-cdclW-s'-connected':
  assumes cdclW-stgy S U and cdclW-all-struct-inv S
  shows cdclW-s' S U
     $\vee (\exists U' U''. \text{cdcl}_W\text{-s' } S \text{ U}'' \wedge \text{full1 } \text{cdcl}_W\text{-bj } U \text{ U}' \wedge \text{full } \text{cdcl}_W\text{-cp } U' \text{ U}'')$ 
  using assms
proof (induction rule: cdclW-stgy.induct)
case (conflict' T)
then have cdclW-s' S T
  using cdclW-s'.conflict' by blast
then show ?case
  by blast
next
case (other' T U) note o = this(1) and n-s = this(2) and full = this(3) and inv = this(4)
show ?case
  using o
proof cases
case decide
  then show ?thesis using cdclW-s'.simps full n-s by blast
next
case bj
  have cdclW-all-struct-inv T
    using cdclW-all-struct-inv-inv o other other'.prems by blast
  then obtain T' where T': full cdclW-bj T T'
    using cdclW-bj-exists-normal-form unfolding full-def cdclW-all-struct-inv-def by metis
  then have full cdclW-bj S T'
    proof -
      have f1: cdclW-bj** T T'  $\wedge$  no-step cdclW-bj T'
        by (metis (no-types) T' full-def)
      then have cdclW-bj** S T'
        by (meson converse-rtranclp-into-rtranclp local.bj)
      then show ?thesis
        using f1 by (simp add: full-def)
    qed
  qed

```

```

have cdclW-bj** T T'
  using T' unfolding full-def by simp
have cdclW-all-struct-inv T
  using cdclW-all-struct-inv-inv o other other'.prems by blast
then consider
  (T'U) full cdclW-cp T' U
| (U) U' U'' where
  full cdclW-cp T' U'' and
  full1 cdclW-bj U U' and
  full cdclW-cp U' U'' and
  cdclW-s*** U U''
  using cdclW-cp-cdclW-bj-bissimulation[OF full ⟨cdclW-bj** T T'⟩] T' unfolding full-def
  by blast
then show ?thesis by (metis T' cdclW-s'.simps full-fullI local.bj n-s)
qed
qed

```

lemma *cdcl_W-stgy-cdcl_W-s'-no-step*:

assumes *cdcl_W-stgy S U* **and** *cdcl_W-all-struct-inv S* **and** *no-step cdcl_W-bj U*

shows *cdcl_W-s' S U*

using *cdcl_W-stgy-cdcl_W-s'-connected*[OF *assms(1,2)*] *assms(3)*

by (*metis (no-types, lifting) full1-def tranclpD*)

lemma *rtranclp-cdcl_W-stgy-connected-to-rtranclp-cdcl_W-s'*:

assumes *cdcl_W-stgy** S U* **and** *inv: cdcl_W-M-level-inv S*

shows *cdcl_W-s'*** S U* $\vee (\exists T. \text{cdcl}_W\text{-s}'^{***} S T \wedge \text{cdcl}_W\text{-bj}^{++} T U \wedge \text{conflicting } U \neq \text{None})$

using *assms(1)*

proof *induction*

case *base*

then show ?case **by** *simp*

next

case (*step T V*) **note** *st = this(1)* **and** *o = this(2)* **and** *IH = this(3)*

from *o* **show** ?case

proof *cases*

case *conflict'*

then have *f2: cdcl_W-s' T V*

using *cdcl_W-s'.conflict'* **by** *blast*

obtain *ss :: 'st* **where**

f3: S = T \vee *cdcl_W-stgy** S ss* \wedge *cdcl_W-stgy ss T*

by (*metis (full-types) rtranclp.simps st*)

obtain *ssa :: 'st* **where**

ssa: cdcl_W-cp T ssa

using *conflict'* **by** (*metis (no-types) full1-def tranclpD*)

have $\forall s. \neg \text{full } \text{cdcl}_W\text{-cp } s \text{ } T$

by (*meson ssa full-def*)

then have *S = T*

by (*metis (full-types) f3 ssa cdcl_W-stgy.cases full1-def*)

then show ?thesis

using *f2* **by** *blast*

next

case (*other' U*) **note** *o = this(1)* **and** *n-s = this(2)* **and** *full = this(3)*

then show ?thesis

using *o*

proof (*cases rule: cdcl_W-o-rule-cases*)

case *decide*

```

then have  $cdcl_W-s'^{**} S T$ 
  using  $IH$  by (auto elim: rulesE)
then show ?thesis
  by (meson decide decide' full n-s rtrancp.rtranc1-into-rtranc1)
next
case backtrack
consider
  ( $s'$ )  $cdcl_W-s'^{**} S T$ 
  | ( $bj$ )  $S'$  where  $cdcl_W-s'^{**} S S'$  and  $cdcl_W-bj^{++} S' T$  and conflicting  $T \neq None$ 
  using  $IH$  by blast
then show ?thesis
proof cases
case  $s'$ 
moreover
  have  $cdcl_W-M\text{-level-inv } T$ 
    using inv local.step(1) rtrancp-cdcl_W-stgy-consistent-inv by auto
  then have full1  $cdcl_W-bj T U$ 
    using backtrack-is-full1-cdcl_W-bj backtrack by blast
  then have  $cdcl_W-s' T V$ 
    using full  $bj'$  n-s by blast
  ultimately show ?thesis by auto
next
case ( $bj S'$ ) note  $S-S' = this(1)$  and  $bj-T = this(2)$ 
have no-step  $cdcl_W-cp S'$ 
  using  $bj-T$  by (fastforce simp:  $cdcl_W-cp.simps$   $cdcl_W-bj.simps$  dest!: trancpD
    elim: rulesE)
moreover
  have  $cdcl_W-M\text{-level-inv } T$ 
    using inv local.step(1) rtrancp-cdcl_W-stgy-consistent-inv by auto
  then have full1  $cdcl_W-bj T U$ 
    using backtrack-is-full1-cdcl_W-bj backtrack by blast
  then have full1  $cdcl_W-bj S' U$ 
    using  $bj-T$  unfolding full1-def by fastforce
  ultimately have  $cdcl_W-s' S' V$  using full by (simp add:  $bj'$ )
  then show ?thesis using  $S-S'$  by auto
qed
next
case skip
then have [simp]:  $U = V$ 
  using full converse-rtrancpE unfolding full-def by (fastforce elim: rulesE)
then have confl-V: conflicting  $V \neq None$ 
  using skip by (auto elim!: rulesE simp del: state-simp simp: state-eq-def)
consider
  ( $s'$ )  $cdcl_W-s'^{**} S T$ 
  | ( $bj$ )  $S'$  where  $cdcl_W-s'^{**} S S'$  and  $cdcl_W-bj^{++} S' T$  and conflicting  $T \neq None$ 
  using  $IH$  by blast
then show ?thesis
proof cases
case  $s'$ 
show ?thesis using  $s'$  confl-V skip by force
next
case ( $bj S'$ ) note  $S-S' = this(1)$  and  $bj-T = this(2)$ 
have  $cdcl_W-bj^{++} S' V$ 
  using skip  $bj-T$  by (metis  $\langle U = V \rangle$   $cdcl_W-bj.skip$  trancp.simps)
then show ?thesis using  $S-S'$  confl-V by auto

```

```

    qed
  next
  case resolve
  then have [simp]:  $U = V$ 
    using full unfolding full-def rtracp-unfold
    by (auto elim!: rulesE dest!: tracpD
      simp del: state-simp simp: state-eq-def cdclW-cp.simps)
  have confl-V: conflicting  $V \neq \text{None}$ 
    using resolve by (auto elim!: rulesE simp del: state-simp simp: state-eq-def)

  consider
    (s') cdclW-s'**  $S$   $T$ 
  | (bj)  $S'$  where cdclW-s'**  $S$   $S'$  and cdclW-bj++  $S'$   $T$  and conflicting  $T \neq \text{None}$ 
  using IH by blast
  then show ?thesis
  proof cases
    case s'
    have cdclW-bj++  $T$   $V$ 
      using resolve by force
    then show ?thesis using s' confl-V by auto
  next
    case (bj  $S'$ ) note  $S-S' = \text{this}(1)$  and  $\text{bj-}T = \text{this}(2)$ 
    have cdclW-bj++  $S'$   $V$ 
      using resolve bj-T by (metis ( $U = V$ ) cdclW-bj.resolve tracp.simps)
    then show ?thesis using confl-V  $S-S'$  by auto
  qed
qed
qed
qed

lemma n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o:
  assumes inv: cdclW-all-struct-inv  $S$ 
  shows no-step cdclW-s'  $S \longleftrightarrow$  no-step cdclW-cp  $S \wedge$  no-step cdclW-o  $S$  (is ?S'  $S \longleftrightarrow ?C$   $S \wedge ?O$   $S$ )
proof
  assume ?C  $S \wedge ?O$   $S$ 
  then show ?S'  $S$ 
    by (auto simp: cdclW-s'.simps full1-def tracp-unfold-begin)
next
  assume n-s: ?S'  $S$ 
  have ?C  $S$ 
  proof (rule ccontr)
    assume  $\neg ?thesis$ 
    then obtain  $S'$  where cdclW-cp  $S$   $S'$ 
      by auto
    then obtain  $T$  where full1 cdclW-cp  $S$   $T$ 
      using cdclW-cp-normalized-element-all-inv inv by (metis (no-types, lifting) full-unfold)
    then show False using n-s cdclW-s'.conflict' by blast
  qed
moreover have ?O  $S$ 
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  then obtain  $S'$  where cdclW-o  $S$   $S'$ 
    by auto
  then obtain  $T$  where full1 cdclW-cp  $S'$   $T$ 
    using cdclW-cp-normalized-element-all-inv inv

```

```

    by (meson cdclW-all-struct-inv-def n-s
        cdclW-stgy-cdclW-s'-connected' cdclW-then-exists-cdclW-stgy-step )
  then show False using n-s by (meson ⟨cdclW-o S S'⟩ cdclW-all-struct-inv-def
    cdclW-stgy-cdclW-s'-connected' cdclW-then-exists-cdclW-stgy-step inv)
qed
ultimately show ?C S ∧ ?O S by auto
qed

lemma cdclW-s'-trancpl-cdclW:
  cdclW-s' S S'  $\implies$  cdclW++ S S'
proof (induct rule: cdclW-s'.induct)
  case conflict'
  then show ?case
    by (simp add: full1-def trancpl-cdclW-cp-trancpl-cdclW)
next
  case decide'
  then show ?case
    using cdclW-stgy.simps cdclW-stgy-trancpl-cdclW by (meson cdclW-o.simps)
next
  case (bj' Sa S'a S'') note a2 = this(1) and a1 = this(2) and n-s = this(3)
  obtain ss :: 'st  $\Rightarrow$  'st  $\Rightarrow$  ('st  $\Rightarrow$  'st  $\Rightarrow$  bool)  $\Rightarrow$  'st where
     $\forall x0\ x1\ x2. (\exists v3. x2\ x1\ v3 \wedge x2^{**}\ v3\ x0) = (x2\ x1\ (ss\ x0\ x1\ x2) \wedge x2^{**}\ (ss\ x0\ x1\ x2)\ x0)$ 
    by moura
  then have f3:  $\forall p\ s\ sa. \neg p^{++}\ s\ sa \vee p\ s\ (ss\ sa\ s\ p) \wedge p^{**}\ (ss\ sa\ s\ p)\ sa$ 
    by (metis (full-types) trancplD)
  have cdclW-bj++ Sa S'a ∧ no-step cdclW-bj S'a
    using a2 by (simp add: full1-def)
  then have cdclW-bj Sa (ss S'a Sa cdclW-bj) ∧ cdclW-bj** (ss S'a Sa cdclW-bj) S'a
    using f3 by auto
  then show cdclW++ Sa S''
    using a1 n-s by (meson bj other rtrancpl-cdclW-bj-full1-cdclp-cdclW-stgy
      rtrancpl-cdclW-stgy-rtrancpl-cdclW rtrancpl-into-trancpl2)
qed

lemma trancpl-cdclW-s'-trancpl-cdclW:
  cdclW-s'++ S S'  $\implies$  cdclW++ S S'
  apply (induct rule: trancpl.induct)
  using cdclW-s'-trancpl-cdclW apply blast
  by (meson cdclW-s'-trancpl-cdclW trancpl-trans)

lemma rtrancpl-cdclW-s'-rtrancpl-cdclW:
  cdclW-s'** S S'  $\implies$  cdclW** S S'
  using rtrancpl-unfold[of cdclW-s' S S'] trancpl-cdclW-s'-trancpl-cdclW[of S S'] by auto

lemma full-cdclW-stgy-iff-full-cdclW-s':
  assumes inv: cdclW-all-struct-inv S
  shows full cdclW-stgy S T  $\longleftrightarrow$  full cdclW-s' S T (is ?S  $\longleftrightarrow$  ?S')
proof
  assume ?S'
  then have cdclW** S T
    using rtrancpl-cdclW-s'-rtrancpl-cdclW[of S T] unfolding full-def by blast
  then have inv': cdclW-all-struct-inv T
    using rtrancpl-cdclW-all-struct-inv-inv inv by blast
  have cdclW-stgy** S T
    using ⟨?S'⟩ unfolding full-def

```

```

    using cdclW-s'-is-rtrancp-cdclW-stgy rtrancp-mono[of cdclW-s' cdclW-stgy**] by auto
  then show ?S
    using ⟨?S'⟩ inv' cdclW-stgy-cdclW-s'-connected' unfolding full-def by blast
next
assume ?S
then have inv-T:cdclW-all-struct-inv T
  by (metis assms full-def rtrancp-cdclW-all-struct-inv-inv rtrancp-cdclW-stgy-rtrancp-cdclW)

consider
  (s') cdclW-s'^** S T
| (st) S' where cdclW-s'^** S S' and cdclW-bj++ S' T and conflicting T ≠ None
using rtrancp-cdclW-stgy-connected-to-rtrancp-cdclW-s'[of S T] inv ⟨?S⟩
unfolding full-def cdclW-all-struct-inv-def
by blast
then show ?S'
proof cases
  case s'
  have no-step cdclW-s' T
    using ⟨full cdclW-stgy S T⟩ unfolding full-def
    by (meson cdclW-all-struct-inv-def cdclW-s'E cdclW-stgy.conflict'
        cdclW-then-exists-cdclW-stgy-step inv-T n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)
  then show ?thesis
    using s' unfolding full-def by blast
next
  case (st S')
  have full cdclW-cp T T
    using option-full-cdclW-cp st(3) by blast
  moreover
    have n-s: no-step cdclW-bj T
      by (metis ⟨full cdclW-stgy S T⟩ bj inv-T cdclW-all-struct-inv-def
          cdclW-then-exists-cdclW-stgy-step full-def)
    then have full1 cdclW-bj S' T
      using st(2) unfolding full1-def by blast
  moreover have no-step cdclW-cp S'
    using st(2) by (fastforce dest!: trancpD simp: cdclW-cp.simps cdclW-bj.simps
        elim: rulesE)
  ultimately have cdclW-s' S' T
    using cdclW-s'.bj'[of S' T T] by blast
  then have cdclW-s'^** S T
    using st(1) by auto
  moreover have no-step cdclW-s' T
    using inv-T ⟨full cdclW-cp T T⟩ ⟨full cdclW-stgy S T⟩ unfolding full-def
    by (metis cdclW-all-struct-inv-def cdclW-then-exists-cdclW-stgy-step
        n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)
  ultimately show ?thesis
    unfolding full-def by blast
qed
qed

lemma conflict-step-cdclW-stgy-step:
  assumes
    conflict S T
    cdclW-all-struct-inv S
  shows ∃ T. cdclW-stgy S T
proof -

```

```

obtain  $U$  where  $\text{full } \text{cdcl}_W\text{-cp } S \ U$ 
  using  $\text{cdcl}_W\text{-cp-normalized-element-all-inv } \text{assms}$  by  $\text{blast}$ 
then have  $\text{full1 } \text{cdcl}_W\text{-cp } S \ U$ 
  by ( $\text{metis } \text{cdcl}_W\text{-cp.conflict' } \text{assms}(1) \ \text{full-unfold}$ )
then show  $?thesis$  using  $\text{cdcl}_W\text{-stgy.conflict'}$  by  $\text{blast}$ 
qed

lemma  $\text{decide-step-cdcl}_W\text{-stgy-step}$ :
  assumes
     $\text{decide } S \ T$ 
     $\text{cdcl}_W\text{-all-struct-inv } S$ 
  shows  $\exists T. \text{cdcl}_W\text{-stgy } S \ T$ 
proof –
  obtain  $U$  where  $\text{full } \text{cdcl}_W\text{-cp } T \ U$ 
    using  $\text{cdcl}_W\text{-cp-normalized-element-all-inv}$  by ( $\text{meson } \text{assms}(1) \ \text{assms}(2) \ \text{cdcl}_W\text{-all-struct-inv-inv}$ 
       $\text{cdcl}_W\text{-cp-normalized-element-all-inv } \text{decide } \text{other}$ )
  then show  $?thesis$ 
    by ( $\text{metis } \text{assms } \text{cdcl}_W\text{-cp-normalized-element-all-inv } \text{cdcl}_W\text{-stgy.conflict' } \text{decide } \text{full-unfold}$ 
       $\text{other'}$ )
qed

lemma  $\text{rtranclp-cdcl}_W\text{-cp-conflicting-Some}$ :
   $\text{cdcl}_W\text{-cp}^{**} \ S \ T \implies \text{conflicting } S = \text{Some } D \implies S = T$ 
  using  $\text{rtranclpD } \text{tranclpD}$  by  $\text{fastforce}$ 

inductive  $\text{cdcl}_W\text{-merge-cp} :: 'st \Rightarrow 'st \Rightarrow \text{bool}$  where
   $\text{conflict' [intro]: } \text{conflict } S \ T \implies \text{full } \text{cdcl}_W\text{-bj } T \ U \implies \text{cdcl}_W\text{-merge-cp } S \ U \mid$ 
   $\text{propagate' [intro]: } \text{propagate}^{++} \ S \ S' \implies \text{cdcl}_W\text{-merge-cp } S \ S'$ 

lemma  $\text{cdcl}_W\text{-merge-restart-cases}$ [ $\text{consumes } 1, \text{case-names } \text{conflict } \text{propagate}$ ]:
  assumes
     $\text{cdcl}_W\text{-merge-cp } S \ U$  and
     $\bigwedge T. \text{conflict } S \ T \implies \text{full } \text{cdcl}_W\text{-bj } T \ U \implies P$  and
     $\text{propagate}^{++} \ S \ U \implies P$ 
  shows  $P$ 
  using  $\text{assms}$  unfolding  $\text{cdcl}_W\text{-merge-cp.simps}$  by  $\text{auto}$ 

lemma  $\text{cdcl}_W\text{-merge-cp-tranclp-cdcl}_W\text{-merge}$ :
   $\text{cdcl}_W\text{-merge-cp } S \ T \implies \text{cdcl}_W\text{-merge}^{++} \ S \ T$ 
  apply ( $\text{induction rule: } \text{cdcl}_W\text{-merge-cp.induct}$ )
  using  $\text{cdcl}_W\text{-merge.simps}$  apply  $\text{auto}[1]$ 
  using  $\text{tranclp-mono}$ [ $\text{of } \text{propagate } \text{cdcl}_W\text{-merge}$ ]  $\text{fw-propagate}$  by  $\text{blast}$ 

lemma  $\text{rtranclp-cdcl}_W\text{-merge-cp-rtranclp-cdcl}_W$ :
   $\text{cdcl}_W\text{-merge-cp}^{**} \ S \ T \implies \text{cdcl}_W^{**} \ S \ T$ 
  apply ( $\text{induction rule: } \text{rtranclp-induct}$ )
  apply  $\text{simp}$ 
  unfolding  $\text{cdcl}_W\text{-merge-cp.simps}$  by ( $\text{meson } \text{cdcl}_W\text{-merge-restart-cdcl}_W \ \text{fw-r-conflict}$ 
     $\text{rtranclp-propagate-is-rtranclp-cdcl}_W \ \text{rtranclp-trans } \text{tranclp-into-rtranclp}$ )

lemma  $\text{full1-cdcl}_W\text{-bj-no-step-cdcl}_W\text{-bj}$ :
   $\text{full1 } \text{cdcl}_W\text{-bj } S \ T \implies \text{no-step } \text{cdcl}_W\text{-cp } S$ 
  unfolding  $\text{full1-def}$  by ( $\text{metis } \text{rtranclp-unfold } \text{cdcl}_W\text{-cp-conflicting-not-empty } \text{option.exhaust}$ 
     $\text{rtranclp-cdcl}_W\text{-merge-restart-no-step-cdcl}_W\text{-bj } \text{tranclpD}$ )

```

inductive *cdcl_W-s'-without-decide* **where**
conflict'-without-decide[intro]: *full1 cdcl_W-cp S S' \implies cdcl_W-s'-without-decide S S' |*
bj'-without-decide[intro]: *full1 cdcl_W-bj S S' \implies no-step cdcl_W-cp S \implies full cdcl_W-cp S' S''*
 \implies *cdcl_W-s'-without-decide S S''*

lemma *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W:*
*cdcl_W-s'-without-decide** S T \implies cdcl_W** S T*
apply (*induction rule: rtrancpl-induct*)
apply *simp*
by (*meson cdcl_W-s'.simps cdcl_W-s'-trancpl-cdcl_W cdcl_W-s'-without-decide.simps*
rtrancpl-trancpl-trancpl trancpl-into-rtrancpl)

lemma *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W-s':*
*cdcl_W-s'-without-decide** S T \implies cdcl_W-s'^{***} S T*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show ?*case* **by** *simp*
next
case (*step y z*) **note** *a2 = this(2)* **and** *a1 = this(3)*
have *cdcl_W-s' y z*
using *a2* **by** (*metis (no-types) bj' cdcl_W-s'.conflict' cdcl_W-s'-without-decide.cases*)
then show *cdcl_W-s'^{***} S z*
using *a1* **by** (*meson r-into-rtrancpl rtrancpl-trans*)
qed

lemma *rtrancpl-cdcl_W-merge-cp-is-rtrancpl-cdcl_W-s'-without-decide:*
assumes
*cdcl_W-merge-cp** S V*
conflicting S = None
shows
*(cdcl_W-s'-without-decide** S V)*
 $\vee (\exists T. \text{cdcl}_W\text{-s'-without-decide}^{**} S T \wedge \text{propagate}^{++} T V)$
 $\vee (\exists T U. \text{cdcl}_W\text{-s'-without-decide}^{**} S T \wedge \text{full1 cdcl}_W\text{-bj } T U \wedge \text{propagate}^{**} U V)$
using *assms*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show ?*case* **by** *simp*
next
case (*step U V*) **note** *st = this(1)* **and** *cp = this(2)* **and** *IH = this(3)[OF this(4)]*
from *cp* **show** ?*case*
proof (*cases rule: cdcl_W-merge-restart-cases*)
case *propagate*
then show ?*thesis* **using** *IH* **by** (*meson rtrancpl-trancpl-trancpl trancpl-into-rtrancpl*)
next
case (*conflict U'*) **note** *confl = this(1)* **and** *bj = this(2)*
have *full1-U-U': full1 cdcl_W-cp U U'*
by (*simp add: conflict-is-full1-cdcl_W-cp local.conflict(1)*)
consider
*(s') cdcl_W-s'-without-decide** S U*
 $|$ (*propa*) *T'* **where** *cdcl_W-s'-without-decide** S T' and propagate⁺⁺ T' U*
 $|$ (*bj-prop*) *T' T'' where*
*cdcl_W-s'-without-decide** S T' and*
full1 cdcl_W-bj T' T'' and
*propagate** T'' U*
using *IH* **by** *blast*


```

then show ?thesis
proof cases
  case s'
  have cdclW-s'-without-decide U U'
  using full1-U-U' conflict'-without-decide by blast
  then have cdclW-s'-without-decide** S U'
  using ⟨cdclW-s'-without-decide** S U⟩ by auto
  moreover have U' = V ∨ full1 cdclW-bj U' V
  using bj by (meson full-unfold)
  ultimately show ?thesis by blast
next
case propa note s' = this(1) and T'-U = this(2)
have full1 cdclW-cp T' U'
  using rtrancp-mono[of propagate cdclW-cp] T'-U cdclW-cp.propagate' full1-U-U'
  rtrancp-full1I[of cdclW-cp T'] by (metis (full-types) predicate2D predicate2I
    trancp-into-rtrancp)
have cdclW-s'-without-decide** S U'
  using ⟨full1 cdclW-cp T' U'⟩ conflict'-without-decide s' by force
have full1 cdclW-bj U' V ∨ V = U' using bj unfolding full-unfold by blast
then show ?thesis
  using ⟨cdclW-s'-without-decide** S U'⟩ by blast
next
case bj-prop note s' = this(1) and bj-T' = this(2) and T''-U = this(3)
have no-step cdclW-cp T'
  using bj-T' full1-cdclW-bj-no-step-cdclW-bj by blast
moreover have full1 cdclW-cp T'' U'
  using rtrancp-mono[of propagate cdclW-cp] T''-U cdclW-cp.propagate' full1-U-U'
  rtrancp-full1I[of cdclW-cp T''] by blast
ultimately have cdclW-s'-without-decide T' U'
  using bj'-without-decide[of T' T'' U] bj-T' by (simp add: full-unfold)
then have cdclW-s'-without-decide** S U'
  using s' rtrancp.intros(2)[of - S T' U] by blast
then show ?thesis
  using local.bj unfolding full-unfold by blast
qed
qed
qed

lemma rtrancp-cdclW-s'-without-decide-is-rtrancp-cdclW-merge-cp:
  assumes
    cdclW-s'-without-decide** S V and
    confl: conflicting S = None
  shows
    (cdclW-merge-cp** S V ∧ conflicting V = None)
    ∨ (cdclW-merge-cp** S V ∧ conflicting V ≠ None ∧ no-step cdclW-cp V ∧ no-step cdclW-bj V)
    ∨ (∃ T. cdclW-merge-cp** S T ∧ conflict T V)
  using assms(1)
proof (induction)
  case base
  then show ?case using confl by auto
next
case (step U V) note st = this(1) and s = this(2) and IH = this(3)
from s show ?case
  proof (cases rule: cdclW-s'-without-decide.cases)
    case conflict'-without-decide

```

then have $rt: cdcl_W\text{-}cp^{++} \ U \ V$ **unfolding** $full1\text{-}def$ **by** $fast$
then have $conflicting \ U = None$
using $trancpl\text{-}cdcl_W\text{-}cp\text{-}propagate\text{-}with\text{-}conflict\text{-}or\text{-}not[of \ U \ V]$
 $conflict$ **by** $(auto \ dest!: \ trancplD \ simp: \ rtrancpl\text{-}unfold \ elim: \ rulesE)$
then have $cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U$ **using** IH **by** $(auto \ elim: \ rulesE \ simp \ del: \ state\text{-}simp \ simp: \ state\text{-}eq\text{-}def)$
consider
 $(propa) \ propagate^{++} \ U \ V$
 $| \ (confl') \ conflict \ U \ V$
 $| \ (propa\text{-}confl') \ U' \ \mathbf{where} \ propagate^{++} \ U \ U' \ conflict \ U' \ V$
using $trancpl\text{-}cdcl_W\text{-}cp\text{-}propagate\text{-}with\text{-}conflict\text{-}or\text{-}not[OF \ rt]$ **unfolding** $rtrancpl\text{-}unfold$
by $fastforce$
then show $?thesis$
proof $cases$
case $propa$
then have $cdcl_W\text{-}merge\text{-}cp \ U \ V$
by $auto$
moreover have $conflicting \ V = None$
using $propa$ **unfolding** $trancpl\text{-}unfold\text{-}end$ **by** $(auto \ elim: \ rulesE)$
ultimately show $?thesis$ **using** $\langle cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U \rangle$ **by** $(auto \ elim!: \ rulesE \ simp \ del: \ state\text{-}simp \ simp: \ state\text{-}eq\text{-}def)$
next
case $confl'$
then show $?thesis$ **using** $\langle cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U \rangle$ **by** $auto$
next
case $propa\text{-}confl'$ **note** $propa = this(1)$ **and** $confl' = this(2)$
then have $cdcl_W\text{-}merge\text{-}cp \ U \ U'$ **by** $auto$
then have $cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U'$ **using** $\langle cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U \rangle$ **by** $auto$
then show $?thesis$ **using** $\langle cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U \rangle \ confl'$ **by** $auto$
qed
next
case $(bj'\text{-}without\text{-}decide \ U')$ **note** $full\text{-}bj = this(1)$ **and** $cp = this(3)$
then have $conflicting \ U \neq None$
using $full\text{-}bj$ **unfolding** $full1\text{-}def$ **by** $(fastforce \ dest!: \ trancplD \ simp: \ cdcl_W\text{-}bj.simps \ elim: \ rulesE)$
with IH **obtain** T **where**
 $S\text{-}T: \ cdcl_W\text{-}merge\text{-}cp^{**} \ S \ T$ **and** $T\text{-}U: \ conflict \ T \ U$
using $full\text{-}bj$ **unfolding** $full1\text{-}def$ **by** $(blast \ dest: \ trancplD)$
then have $cdcl_W\text{-}merge\text{-}cp \ T \ U'$
using $cdcl_W\text{-}merge\text{-}cp.conflict'[of \ T \ U \ U'] \ full\text{-}bj$ **by** $(simp \ add: \ full\text{-}unfold)$
then have $S\text{-}U': \ cdcl_W\text{-}merge\text{-}cp^{**} \ S \ U'$ **using** $S\text{-}T$ **by** $auto$
consider
 $(n\text{-}s) \ U' = V$
 $| \ (propa) \ propagate^{++} \ U' \ V$
 $| \ (confl') \ conflict \ U' \ V$
 $| \ (propa\text{-}confl') \ U'' \ \mathbf{where} \ propagate^{++} \ U' \ U'' \ conflict \ U'' \ V$
using $trancpl\text{-}cdcl_W\text{-}cp\text{-}propagate\text{-}with\text{-}conflict\text{-}or\text{-}not \ cp$
unfolding $rtrancpl\text{-}unfold \ full\text{-}def$ **by** $metis$
then show $?thesis$
proof $cases$
case $propa$
then have $cdcl_W\text{-}merge\text{-}cp \ U' \ V$ **by** $auto$
moreover have $conflicting \ V = None$
using $propa$ **unfolding** $trancpl\text{-}unfold\text{-}end$ **by** $(auto \ elim: \ rulesE)$
ultimately show $?thesis$ **using** $S\text{-}U'$ **by** $(auto \ elim: \ rulesE)$

```

      simp del: state-simp simp: state-eq-def)
next
  case confl'
  then show ?thesis using S-U' by auto
next
  case propa-confl' note propa = this(1) and confl = this(2)
  have cdclW-merge-cp U' U'' using propa by auto
  then show ?thesis using S-U' confl by (meson rtranclp.rtrancl-into-rtrancl)
next
  case n-s
  then show ?thesis
    using S-U' apply (cases conflicting V = None)
    using full-bj apply simp
    by (metis cp full-def full-unfold full-bj)
qed
qed
qed

lemma no-step-cdclW-s'-no-ste-cdclW-merge-cp:
  assumes
    cdclW-all-struct-inv S
    conflicting S = None
    no-step cdclW-s' S
  shows no-step cdclW-merge-cp S
  using assms apply (auto simp: cdclW-s'.simps cdclW-merge-cp.simps)
  using conflict-is-full1-cdclW-cp apply blast
  using cdclW-cp-normalized-element-all-inv cdclW-cp.propagate' by (metis cdclW-cp.propagate'
    full-unfold tranclpD)

```

The *no-step decide S* is needed, since *cdcl_W-merge-cp* is *cdcl_W-s'* without *decide*.

lemma *conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide*:

```

  assumes
    confl: conflicting S = None and
    inv: cdclW-M-level-inv S and
    n-s: no-step cdclW-merge-cp S
  shows no-step cdclW-s'-without-decide S
proof (rule ccontr)
  assume ¬ no-step cdclW-s'-without-decide S
  then obtain T where
    cdclW: cdclW-s'-without-decide S T
    by auto
  then have inv-T: cdclW-M-level-inv T
    using rtranclp-cdclW-s'-without-decide-rtranclp-cdclW[of S T]
    rtranclp-cdclW-consistent-inv inv by blast
  from cdclW show False
  proof cases
    case conflict'-without-decide
    have no-step propagate S
      using n-s by blast
    then have conflict S T
      using local.conflict' tranclp-cdclW-cp-propagate-with-conflict-or-not[of S T]
      local.conflict'-without-decide unfolding full1-def rtranclp-unfold
      by (metis tranclp-unfold-begin)
  moreover
    then obtain T' where full cdclW-bj T T'

```

```

      using cdclW-bj-exists-normal-form inv-T by blast
    ultimately show False using cdclW-merge-cp.conflict' n-s by meson
  next
  case (bj'-without-decide S')
  then show ?thesis
    using confl unfolding full1-def by (fastforce simp: cdclW-bj.simps dest: tranclpD
      elim: rulesE)
  qed
qed

```

lemma *conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp*:

```

  assumes
    inv: cdclW-all-struct-inv S and
    n-s: no-step cdclW-s'-without-decide S
  shows no-step cdclW-merge-cp S
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain T where cdclW-merge-cp S T
    by auto
  then show False
  proof cases
    case (conflict' S')
    then show False using n-s conflict'-without-decide conflict-is-full1-cdclW-cp by blast
  next
  case propagate'
  moreover
    have cdclW-all-struct-inv T
      using inv by (meson local.propagate' rtranclp-cdclW-all-struct-inv-inv
        rtranclp-propagate-is-rtranclp-cdclW tranclp-into-rtranclp)
    then obtain U where full cdclW-cp T U
      using cdclW-cp-normalized-element-all-inv by auto
    ultimately have full1 cdclW-cp S U
      using tranclp-full-full1I[of cdclW-cp S T U] cdclW-cp.propagate'
        tranclp-mono[of propagate cdclW-cp] by blast
    then show False using conflict'-without-decide n-s by blast
  qed
qed

```

lemma *no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp*:

```

  no-step cdclW-merge-cp S  $\implies$  cdclW-M-level-inv S  $\implies$  no-step cdclW-cp S
  using cdclW-bj-exists-normal-form cdclW-consistent-inv[OF cdclW.conflict, of S]
  by (metis cdclW-cp.cases cdclW-merge-cp.simps tranclp.intros(1))

```

lemma *conflicting-not-true-rtranclp-cdcl_W-merge-cp-no-step-cdcl_W-bj*:

```

  assumes
    conflicting S = None and
    cdclW-merge-cp** S T
  shows no-step cdclW-bj T
  using assms(2,1) by (induction)
  (fastforce simp: cdclW-merge-cp.simps full-def tranclp-unfold-end cdclW-bj.simps
    elim: rulesE)+

```

lemma *conflicting-true-full-cdcl_W-merge-cp-iff-full-cdcl_W-s'-without-decode*:

```

  assumes
    confl: conflicting S = None and

```

$inv: cdcl_W\text{-all-struct-inv } S$
shows
 $full\ cdcl_W\text{-merge-cp } S\ V \longleftrightarrow full\ cdcl_W\text{-s'-without-decide } S\ V \text{ (is } ?fw \longleftrightarrow ?s')$
proof
assume $?fw$
then have $st: cdcl_W\text{-merge-cp}^{**} S\ V$ **and** $n\text{-s: no-step } cdcl_W\text{-merge-cp } V$
unfolding $full\text{-def}$ **by** $blast+$
have $inv\text{-}V: cdcl_W\text{-all-struct-inv } V$
using $rtranclp\text{-}cdcl_W\text{-merge-cp-rtranclp-cdcl}_W[of\ S\ V] \text{ } \langle ?fw \rangle$ **unfolding** $full\text{-def}$
by $(simp\ add: inv\ rtranclp\text{-}cdcl_W\text{-all-struct-inv-inv})$
consider
 $(s')\ cdcl_W\text{-s'-without-decide}^{**} S\ V$
 $| (propa)\ T$ **where** $cdcl_W\text{-s'-without-decide}^{**} S\ T$ **and** $propagate^{++}\ T\ V$
 $| (bj)\ T\ U$ **where** $cdcl_W\text{-s'-without-decide}^{**} S\ T$ **and** $full1\ cdcl_W\text{-bj}\ T\ U$ **and** $propagate^{**}\ U\ V$
using $rtranclp\text{-}cdcl_W\text{-merge-cp-is-rtranclp-cdcl}_W\text{-s'-without-decide}\ confl\ st\ n\text{-s}$ **by** $metis$
then have $cdcl_W\text{-s'-without-decide}^{**} S\ V$
proof $cases$
case s'
then show $?thesis$.
next
case $propa$ **note** $s' = this(1)$ **and** $propa = this(2)$
have $no\text{-step } cdcl_W\text{-cp } V$
using $no\text{-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp } n\text{-s}\ inv\text{-}V$
unfolding $cdcl_W\text{-all-struct-inv-def}$ **by** $blast$
then have $full1\ cdcl_W\text{-cp } T\ V$
using $propa\ tranclp\text{-}mono[of\ propagate\ cdcl_W\text{-cp}]\ cdcl_W\text{-cp.propagate'}$ **unfolding** $full1\text{-def}$
by $blast$
then have $cdcl_W\text{-s'-without-decide } T\ V$
using $conflict'\text{-without-decide}$ **by** $blast$
then show $?thesis$ **using** s' **by** $auto$
next
case bj **note** $s' = this(1)$ **and** $bj = this(2)$ **and** $propa = this(3)$
have $no\text{-step } cdcl_W\text{-cp } V$
using $no\text{-step-cdcl}_W\text{-merge-cp-no-step-cdcl}_W\text{-cp } n\text{-s}\ inv\text{-}V$
unfolding $cdcl_W\text{-all-struct-inv-def}$ **by** $blast$
then have $full\ cdcl_W\text{-cp } U\ V$
using $propa\ rtranclp\text{-}mono[of\ propagate\ cdcl_W\text{-cp}]\ cdcl_W\text{-cp.propagate'}$ **unfolding** $full\text{-def}$
by $blast$
moreover have $no\text{-step } cdcl_W\text{-cp } T$
using bj **unfolding** $full1\text{-def}$ **by** $(fastforce\ dest!: tranclpD\ simp:cdcl_W\text{-bj.simps}\ elim: rulesE)$
ultimately have $cdcl_W\text{-s'-without-decide } T\ V$
using $bj'\text{-without-decide}[of\ T\ U\ V]\ bj$ **by** $blast$
then show $?thesis$ **using** s' **by** $auto$
qed
moreover have $no\text{-step } cdcl_W\text{-s'-without-decide } V$
proof $(cases\ conflicting\ V = None)$
case $False$
{ fix $ss :: 'st$
have $ff1: \forall s\ sa. \neg cdcl_W\text{-s'}\ s\ sa \vee full1\ cdcl_W\text{-cp } s\ sa$
 $\vee (\exists sb. decide\ s\ sb \wedge no\text{-step } cdcl_W\text{-cp } s \wedge full\ cdcl_W\text{-cp } sb\ sa)$
 $\vee (\exists sb. full1\ cdcl_W\text{-bj } s\ sb \wedge no\text{-step } cdcl_W\text{-cp } s \wedge full\ cdcl_W\text{-cp } sb\ sa)$
by $(metis\ cdcl_W\text{-s'}.cases)$
have $ff2: (\forall p\ s\ sa. \neg full1\ p\ (s::'st)\ sa \vee p^{++}\ s\ sa \wedge no\text{-step } p\ sa)$
 $\wedge (\forall p\ s\ sa. (\neg p^{++}\ (s::'st)\ sa \vee (\exists s. p\ sa\ s)) \vee full1\ p\ s\ sa)$
by $(meson\ full1\text{-def})$

```

obtain ssa :: ('st ⇒ 'st ⇒ bool) ⇒ 'st ⇒ 'st ⇒ 'st where
  ff3: ∀ p s sa. ¬ p++ s sa ∨ p s (ssa p s sa) ∧ p** (ssa p s sa) sa
  by (metis (no-types) tranclpD)
then have a3: ¬ cdclW-cp++ V ss
  using False by (metis option-full-cdclW-cp full-def)
have ∧s. ¬ cdclW-bj++ V s
  using ff3 False by (metis confl st
    conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj)
then have ¬ cdclW-s'-without-decide V ss
  using ff1 a3 ff2 by (metis cdclW-s'-without-decide.cases)
}
then show ?thesis
  by fastforce
next
  case True
  then show ?thesis
    using conflicting-true-no-step-cdclW-merge-cp-no-step-s'-without-decide n-s inv-V
    unfolding cdclW-all-struct-inv-def by simp
  qed
ultimately show ?s' unfolding full-def by blast
next
assume s': ?s'
then have st: cdclW-s'-without-decide** S V and n-s: no-step cdclW-s'-without-decide V
  unfolding full-def by auto
then have cdclW** S V
  using rtranclp-cdclW-s'-without-decide-rtranclp-cdclW st by blast
then have inv-V: cdclW-all-struct-inv V using inv rtranclp-cdclW-all-struct-inv-inv by blast
then have n-s-cp-V: no-step cdclW-cp V
  using cdclW-cp-normalized-element-all-inv[of V] full-fullI[of cdclW-cp V] n-s
  conflict'-without-decide conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp
  no-step-cdclW-merge-cp-no-step-cdclW-cp
  unfolding cdclW-all-struct-inv-def by presburger
have n-s-bj: no-step cdclW-bj V
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain W where W: cdclW-bj V W by blast
  have cdclW-all-struct-inv W
  using W cdclW.simps cdclW-all-struct-inv-inv inv-V by blast
  then obtain W' where full1 cdclW-bj V W'
  using cdclW-bj-exists-normal-form[of W] full-fullI[of cdclW-bj V W] W
  unfolding cdclW-all-struct-inv-def
  by blast
moreover
  then have cdclW++ V W'
  using tranclp-mono[of cdclW-bj cdclW] cdclW.other cdclW-o.bj unfolding full1-def by blast
  then have cdclW-all-struct-inv W'
  by (meson inv-V rtranclp-cdclW-all-struct-inv-inv tranclp-into-rtranclp)
  then obtain X where full cdclW-cp W' X
  using cdclW-cp-normalized-element-all-inv by blast
  ultimately show False
  using bj'-without-decide n-s-cp-V n-s by blast
qed
from s' consider
  (cp-true) cdclW-merge-cp** S V and conflicting V = None
  | (cp-false) cdclW-merge-cp** S V and conflicting V ≠ None and no-step cdclW-cp V and

```

```

    no-step cdclW-bj V
  | (cp-conf) T where cdclW-merge-cp** S T conflict T V
using rtrancp-cdclW-s'-without-decide-is-rtrancp-cdclW-merge-cp[of S V] confl
unfolding full-def by meson
then have cdclW-merge-cp** S V
proof cases
  case cp-conf note S-T = this(1) and conf-V = this(2)
  have full cdclW-bj V V
    using conf-V n-s-bj unfolding full-def by fast
  then have cdclW-merge-cp T V
    using cdclW-merge-cp.conflict' conf-V by auto
  then show ?thesis using S-T by auto
qed fast+
moreover
  then have cdclW** S V using rtrancp-cdclW-merge-cp-rtrancp-cdclW by blast
  then have cdclW-all-struct-inv V
    using inv rtrancp-cdclW-all-struct-inv-inv by blast
  then have no-step cdclW-merge-cp V
    using conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp s'
    unfolding full-def by blast
  ultimately show ?fw unfolding full-def by auto
qed

```

lemma conflicting-true-full1-cdcl_W-merge-cp-iff-full1-cdcl_W-s'-without-decode:

assumes

conf: conflicting S = None **and**

inv: cdcl_W-all-struct-inv S

shows

full1 cdcl_W-merge-cp S V \longleftrightarrow full1 cdcl_W-s'-without-decode S V

proof –

have full cdcl_W-merge-cp S V = full cdcl_W-s'-without-decode S V

using confl conflicting-true-full-cdcl_W-merge-cp-iff-full-cdcl_W-s'-without-decode inv

by simp

then show ?thesis **unfolding** full-unfold full1-def trancp-unfold-begin **by** blast

qed

lemma conflicting-true-full1-cdcl_W-merge-cp-imp-full1-cdcl_W-s'-without-decode:

assumes

fw: full1 cdcl_W-merge-cp S V **and**

inv: cdcl_W-all-struct-inv S

shows

full1 cdcl_W-s'-without-decode S V

proof –

have conflicting S = None

using fw **unfolding** full1-def **by** (auto dest!: trancpD simp: cdcl_W-merge-cp.simps elim: rulesE)

then show ?thesis

using conflicting-true-full1-cdcl_W-merge-cp-iff-full1-cdcl_W-s'-without-decode fw inv **by** simp

qed

inductive cdcl_W-merge-stgy **where**

fw-s-cp[intro]: full1 cdcl_W-merge-cp S T \implies cdcl_W-merge-stgy S T |

fw-s-decide[intro]: decide S T \implies no-step cdcl_W-merge-cp S \implies full cdcl_W-merge-cp T U

\implies cdcl_W-merge-stgy S U

lemma cdcl_W-merge-stgy-trancp-cdcl_W-merge:

```

assumes fw: cdclW-merge-stgy S T
shows cdclW-merge++ S T
proof -
{ fix S T
  assume full1 cdclW-merge-cp S T
  then have cdclW-merge++ S T
    using tranclp-mono[of cdclW-merge-cp cdclW-merge++] cdclW-merge-cp-tranclp-cdclW-merge
    unfolding full1-def
    by auto
} note full1-cdclW-merge-cp-cdclW-merge = this
show ?thesis
using fw
apply (induction rule: cdclW-merge-stgy.induct)
  using full1-cdclW-merge-cp-cdclW-merge apply simp
unfolding full-unfold by (auto dest!: full1-cdclW-merge-cp-cdclW-merge fw-decide)
qed

```

```

lemma rtranclp-cdclW-merge-stgy-rtranclp-cdclW-merge:
assumes fw: cdclW-merge-stgy** S T
shows cdclW-merge** S T
using fw cdclW-merge-stgy-tranclp-cdclW-merge rtranclp-mono[of cdclW-merge-stgy cdclW-merge++]
unfolding tranclp-rtranclp-rtranclp by blast

```

```

lemma cdclW-merge-stgy-rtranclp-cdclW:
cdclW-merge-stgy S T  $\implies$  cdclW** S T
apply (induction rule: cdclW-merge-stgy.induct)
  using rtranclp-cdclW-merge-cp-rtranclp-cdclW unfolding full1-def
  apply (simp add: tranclp-into-rtranclp)
using rtranclp-cdclW-merge-cp-rtranclp-cdclW cdclW-o.decide cdclW.other unfolding full-def
by (meson r-into-rtranclp rtranclp-trans)

```

```

lemma rtranclp-cdclW-merge-stgy-rtranclp-cdclW:
cdclW-merge-stgy** S T  $\implies$  cdclW** S T
using rtranclp-mono[of cdclW-merge-stgy cdclW**] cdclW-merge-stgy-rtranclp-cdclW by auto

```

```

lemma cdclW-merge-stgy-cases[consumes 1, case-names fw-s-cp fw-s-decide]:
assumes
  cdclW-merge-stgy S U
  full1 cdclW-merge-cp S U  $\implies$  P
   $\bigwedge T. \text{decide } S \ T \implies \text{no-step } \text{cdcl}_W\text{-merge-cp } S \implies \text{full } \text{cdcl}_W\text{-merge-cp } T \ U \implies P$ 
shows P
using assms by (auto simp: cdclW-merge-stgy.simps)

```

```

inductive cdclW-s'-w :: 'st  $\Rightarrow$  'st  $\Rightarrow$  bool where
  conflict': full1 cdclW-s'-without-decide S S'  $\implies$  cdclW-s'-w S S' |
  decide': decide S S'  $\implies$  no-step cdclW-s'-without-decide S  $\implies$  full cdclW-s'-without-decide S' S''
 $\implies$  cdclW-s'-w S S''

```

```

lemma cdclW-s'-w-rtranclp-cdclW:
cdclW-s'-w S T  $\implies$  cdclW** S T
apply (induction rule: cdclW-s'-w.induct)
  using rtranclp-cdclW-s'-without-decide-rtranclp-cdclW unfolding full1-def
  apply (simp add: tranclp-into-rtranclp)
using rtranclp-cdclW-s'-without-decide-rtranclp-cdclW unfolding full-def
by (meson decide other rtranclp-into-tranclp2 tranclp-into-rtranclp)

```


lemma *rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W:*
*cdcl_W-s'-w** S T \implies cdcl_W** S T*
using *rtrancpl-mono*[of *cdcl_W-s'-w cdcl_W***] *cdcl_W-s'-w-rtrancpl-cdcl_W* **by** *auto*

lemma *no-step-cdcl_W-cp-no-step-cdcl_W-s'-without-decide:*
assumes *no-step cdcl_W-cp S and conflicting S = None and inv: cdcl_W-M-level-inv S*
shows *no-step cdcl_W-s'-without-decide S*
by (*metis assms cdcl_W-cp.conflict' cdcl_W-cp.propagate' cdcl_W-merge-restart-cases trancplD*
conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide)

lemma *no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart:*
assumes *no-step cdcl_W-cp S and conflicting S = None*
shows *no-step cdcl_W-merge-cp S*
by (*metis assms(1) cdcl_W-cp.conflict' cdcl_W-cp.propagate' cdcl_W-merge-restart-cases trancplD*)

lemma *after-cdcl_W-s'-without-decide-no-step-cdcl_W-cp:*
assumes *cdcl_W-s'-without-decide S T*
shows *no-step cdcl_W-cp T*
using *assms* **by** (*induction rule: cdcl_W-s'-without-decide.induct*) (*auto simp: full1-def full-def*)

lemma *no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-cp:*
cdcl_W-all-struct-inv S \implies no-step cdcl_W-s'-without-decide S \implies no-step cdcl_W-cp S
by (*simp add: conflicting-true-no-step-s'-without-decide-no-step-cdcl_W-merge-cp*
no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp cdcl_W-all-struct-inv-def)

lemma *after-cdcl_W-s'-w-no-step-cdcl_W-cp:*
assumes *cdcl_W-s'-w S T and cdcl_W-all-struct-inv S*
shows *no-step cdcl_W-cp T*
using *assms*

proof (*induction rule: cdcl_W-s'-w.induct*)
case *conflict'*
then show *?case*
by (*auto simp: full1-def trancpl-unfold-end after-cdcl_W-s'-without-decide-no-step-cdcl_W-cp*)

next
case (*decide' S T U*)
moreover
then have *cdcl_W** S U*
using *rtrancpl-cdcl_W-s'-without-decide-rtrancpl-cdcl_W*[of *T U*] *cdcl_W.other*[of *S T*]
cdcl_W-o.decide **unfolding** *full-def* **by** *auto*
then have *cdcl_W-all-struct-inv U*
using *decide'.prems rtrancpl-cdcl_W-all-struct-inv-inv* **by** *blast*
ultimately show *?case*
using *no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-cp* **unfolding** *full-def* **by** *blast*

qed

lemma *rtrancpl-cdcl_W-s'-w-no-step-cdcl_W-cp-or-eq:*
assumes *cdcl_W-s'-w** S T and cdcl_W-all-struct-inv S*
shows *S = T \vee no-step cdcl_W-cp T*
using *assms*

proof (*induction rule: rtrancpl-induct*)
case *base*
then show *?case* **by** *simp*

next
case (*step T U*)
moreover have *cdcl_W-all-struct-inv T*

using *rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W*[of *S U*] *assms*(2) *rtrancpl-cdcl_W-all-struct-inv-inv*
rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W *step.hyps*(1) **by** *blast*
ultimately show ?*case* **using** *after-cdcl_W-s'-w-no-step-cdcl_W-cp* **by** *fast*
qed

lemma *rtrancpl-cdcl_W-merge-stgy'-no-step-cdcl_W-cp-or-eq*:
assumes *cdcl_W-merge-stgy** S T* **and** *inv: cdcl_W-all-struct-inv S*
shows $S = T \vee \text{no-step } cdcl_W\text{-cp } T$
using *assms*
proof (*induction rule: rtrancpl-induct*)
case *base*
then show ?*case* **by** *simp*
next
case (*step T U*)
moreover have *cdcl_W-all-struct-inv T*
using *rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W*[of *S U*] *assms*(2) *rtrancpl-cdcl_W-all-struct-inv-inv*
rtrancpl-cdcl_W-s'-w-rtrancpl-cdcl_W *step.hyps*(1)
by (*meson rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W*)
ultimately show ?*case*
using *after-cdcl_W-s'-w-no-step-cdcl_W-cp inv* **unfolding** *cdcl_W-all-struct-inv-def*
by (*metis cdcl_W-all-struct-inv-def cdcl_W-merge-stgy.simps full1-def full-def*
no-step-cdcl_W-merge-cp-no-step-cdcl_W-cp rtrancpl-cdcl_W-all-struct-inv-inv
rtrancpl-cdcl_W-merge-stgy-rtrancpl-cdcl_W *trancpl.intros*(1) *trancpl-into-rtrancpl*)
qed

lemma *no-step-cdcl_W-s'-without-decide-no-step-cdcl_W-bj*:
assumes *no-step cdcl_W-s'-without-decide S* **and** *inv: cdcl_W-all-struct-inv S*
shows *no-step cdcl_W-bj S*
proof (*rule ccontr*)
assume \neg ?*thesis*
then obtain *T* **where** *S-T: cdcl_W-bj S T*
by *auto*
have *cdcl_W-all-struct-inv T*
using *S-T cdcl_W-all-struct-inv-inv inv other* **by** *blast*
then obtain *T'* **where** *full1 cdcl_W-bj S T'*
using *cdcl_W-bj-exists-normal-form*[of *T*] *full-full1 S-T* **unfolding** *cdcl_W-all-struct-inv-def*
by *metis*
moreover
then have *cdcl_W** S T'*
using *rtrancpl-mono*[of *cdcl_W-bj cdcl_W*] *cdcl_W.other cdcl_W-o.bj trancpl-into-rtrancpl*[of *cdcl_W-bj*]
unfolding *full1-def* **by** *blast*
then have *cdcl_W-all-struct-inv T'*
using *inv rtrancpl-cdcl_W-all-struct-inv-inv* **by** *blast*
then obtain *U* **where** *full cdcl_W-cp T' U*
using *cdcl_W-cp-normalized-element-all-inv* **by** *blast*
moreover have *no-step cdcl_W-cp S*
using *S-T* **by** (*auto simp: cdcl_W-bj.simps elim: rulesE*)
ultimately show *False*
using *assms cdcl_W-s'-without-decide.intros*(2)[of *S T' U*] **by** *fast*
qed

lemma *cdcl_W-s'-w-no-step-cdcl_W-bj*:
assumes *cdcl_W-s'-w S T* **and** *cdcl_W-all-struct-inv S*
shows *no-step cdcl_W-bj T*
using *assms* **apply** *induction*

```

using rtrancpl-cdclW-s'-without-decide-rtrancpl-cdclW rtrancpl-cdclW-all-struct-inv-inv
no-step-cdclW-s'-without-decide-no-step-cdclW-bj unfolding full1-def
apply (meson trancpl-into-rtrancpl)
using rtrancpl-cdclW-s'-without-decide-rtrancpl-cdclW rtrancpl-cdclW-all-struct-inv-inv
no-step-cdclW-s'-without-decide-no-step-cdclW-bj unfolding full-def
by (meson cdclW-merge-restart-cdclW fw-r-decide)

lemma rtrancpl-cdclW-s'-w-no-step-cdclW-bj-or-eq:
assumes cdclW-s'-w** S T and cdclW-all-struct-inv S
shows S = T ∨ no-step cdclW-bj T
using assms apply induction
apply simp
using rtrancpl-cdclW-s'-w-rtrancpl-cdclW rtrancpl-cdclW-all-struct-inv-inv
cdclW-s'-w-no-step-cdclW-bj by meson

lemma rtrancpl-cdclW-s'-no-step-cdclW-s'-without-decide-decomp-into-cdclW-merge:
assumes
  cdclW-s'/* R V and
  conflicting R = None and
  inv: cdclW-all-struct-inv R
shows (cdclW-merge-stgy** R V ∧ conflicting V = None)
  ∨ (cdclW-merge-stgy** R V ∧ conflicting V ≠ None ∧ no-step cdclW-bj V)
  ∨ (∃ S T U. cdclW-merge-stgy** R S ∧ no-step cdclW-merge-cp S ∧ decide S T
    ∧ cdclW-merge-cp** T U ∧ conflict U V)
  ∨ (∃ S T. cdclW-merge-stgy** R S ∧ no-step cdclW-merge-cp S ∧ decide S T
    ∧ cdclW-merge-cp** T V
    ∧ conflicting V = None)
  ∨ (cdclW-merge-cp** R V ∧ conflicting V = None)
  ∨ (∃ U. cdclW-merge-cp** R U ∧ conflict U V)
using assms(1,2)
proof induction
case base
then show ?case by simp
next
case (step V W) note st = this(1) and s' = this(2) and IH = this(3)[OF this(4)] and
  n-s-R = this(4)
from s'
show ?case
proof cases
case conflict'
consider
  (s') cdclW-merge-stgy** R V
  | (dec-conf) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V
  | (cp-conf) U where cdclW-merge-cp** R U and conflict U V
using IH by meson
then show ?thesis
proof cases
next
case s'
then have R = V
by (metis full1-def inv local.conflict' trancpl-unfold-begin

```

```

    rtrancp-cdclW-merge-stgy'-no-step-cdclW-cp-or-eq)
consider
  (V-W) V = W
  | (propa) propagate++ V W and conflicting W = None
  | (propa-confl) V' where propagate** V V' and conflict V' W
  using trancp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
  case V-W
  then show ?thesis using ⟨R = V⟩ n-s-R by simp
next
  case propa
  then show ?thesis using ⟨R = V⟩ by auto
next
  case propa-confl
  moreover
  then have cdclW-merge-cp** V V'
    by (metis rtrancp-unfold cdclW-merge-cp.propagate' r-into-rtrancp)
  ultimately show ?thesis using s' ⟨R = V⟩ by blast
qed
next
case dec-confl note - = this(5)
then have False using conflict' unfolding full1-def by (auto dest!: trancpD elim: rulesE)
then show ?thesis by fast
next
case dec note T-V = this(4)
consider
  (propa) propagate++ V W and conflicting W = None
  | (propa-confl) V' where propagate** V V' and conflict V' W
  using trancp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full1-def by meson
then show ?thesis
proof cases
  case propa
  then show ?thesis
    by (meson T-V cdclW-merge-cp.propagate' dec rtrancp.rtrancp-into-rtrancp)
next
  case propa-confl
  then have cdclW-merge-cp** T V'
    using T-V by (metis rtrancp-unfold cdclW-merge-cp.propagate' rtrancp.simps)
  then show ?thesis using dec propa-confl(2) by metis
qed
next
case cp
consider
  (propa) propagate++ V W and conflicting W = None
  | (propa-confl) V' where propagate** V V' and conflict V' W
  using trancp-cdclW-cp-propagate-with-conflict-or-not[of V W] conflict'
  unfolding full1-def by meson
then show ?thesis
proof cases
  case propa
  then show ?thesis by (meson cdclW-merge-cp.propagate' cp
    rtrancp.rtrancp-into-rtrancp)

```

```

next
  case propa-conf
  then show ?thesis
    using propa-conf(2) cp
    by (metis (full-types) cdclW-merge-cp.propagate' rtranclp.rtrancl-into-rtrancl
        rtranclp-unfold)
qed
next
  case cp-conf
  then show ?thesis using conflict' unfolding full1-def by (fastforce dest!: tranclpD
    elim!: rulesE)
qed
next
  case (decide' V')
  then have conf-V: conflicting V = None
    by (auto elim: rulesE)
  consider
    (s') cdclW-merge-stgy** R V
  | (dec-conf) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
    decide S T and cdclW-merge-cp** T U and conflict U V
  | (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
    and cdclW-merge-cp** T V and conflicting V = None
  | (cp) cdclW-merge-cp** R V
  | (cp-conf) U where cdclW-merge-cp** R U and conflict U V
  using IH by meson
  then show ?thesis
  proof cases
    case s'
    have conf-V': conflicting V' = None using decide'(1) by (auto elim: rulesE)
    have full: full1 cdclW-cp V' W  $\vee$  (V' = W  $\wedge$  no-step cdclW-cp W)
      using decide'(3) unfolding full-unfold by blast
    consider
      (V'-W) V' = W
    | (propa) propagate** V' W and conflicting W = None
    | (propa-conf) V'' where propagate** V' V'' and conflict V'' W
    using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V W] decide'
      (full1 cdclW-cp V' W  $\vee$  V' = W  $\wedge$  no-step cdclW-cp W) unfolding full1-def
      by (metis tranclp-cdclW-cp-propagate-with-conflict-or-not)
    then show ?thesis
    proof cases
      case V'-W
      then show ?thesis
        using conf-V' local.decide'(1,2) s' conf-V
        no-step-cdclW-cp-no-step-cdclW-merge-restart[of V]
        by auto
    next
      case propa
      then show ?thesis using local.decide'(1,2) s' by (metis cdclW-merge-cp.simps conf-V
        no-step-cdclW-cp-no-step-cdclW-merge-restart r-into-rtranclp)
    next
      case propa-conf
      then have cdclW-merge-cp** V' V''
        by (metis rtranclp-unfold cdclW-merge-cp.propagate' r-into-rtranclp)
      then show ?thesis
        using local.decide'(1,2) propa-conf(2) s' conf-V

```

```

      no-step-cdclW-cp-no-step-cdclW-merge-restart
    by metis
  qed
next
case (dec) note s' = this(1) and dec = this(2) and cp = this(3) and ns-cp-T = this(4)
have full cdclW-merge-cp T V
  unfolding full-def by (simp add: conf-V local.decide'(2)
    no-step-cdclW-cp-no-step-cdclW-merge-restart ns-cp-T)
moreover have no-step cdclW-merge-cp V
  by (simp add: conf-V local.decide'(2) no-step-cdclW-cp-no-step-cdclW-merge-restart)
moreover have no-step cdclW-merge-cp S
  by (metis dec)
ultimately have cdclW-merge-stgy S V
  using cp by blast
then have cdclW-merge-stgy** R V using s' by auto
consider
  (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-confl) V'' where propagate** V' V'' and conflict V'' W
  using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] decide'
  unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
case V'-W
moreover have conflicting V' = None
  using decide'(1) by (auto elim: rulesE)
ultimately show ?thesis
  using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩ by blast
next
case propa
moreover then have cdclW-merge-cp V' W
  by auto
ultimately show ?thesis
  using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩
  by (meson r-into-rtranclp)
next
case propa-confl
moreover then have cdclW-merge-cp** V' V''
  by (metis cdclW-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
ultimately show ?thesis using ⟨cdclW-merge-stgy** R V⟩ decide'
  ⟨no-step cdclW-merge-cp V⟩ by (meson r-into-rtranclp)
qed
next
case cp
have no-step cdclW-merge-cp V
  using conf-V local.decide'(2) no-step-cdclW-cp-no-step-cdclW-merge-restart by auto
then have full cdclW-merge-cp R V
  unfolding full-def using cp by fast
then have cdclW-merge-stgy** R V
  unfolding full-unfold by auto
have full1 cdclW-cp V' W ∨ (V' = W ∧ no-step cdclW-cp W)
  using decide'(3) unfolding full-unfold by blast

consider
  (V'-W) V' = W

```

```

| (propa) propagate++ V' W and conflicting W = None
| (propa-confl) V'' where propagate** V' V'' and conflict V'' W
using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] decide'
unfolding full-unfold full1-def by meson
then show ?thesis

proof cases
case V'-W
moreover have conflicting V' = None
using decide'(1) by (auto elim: rulesE)
ultimately show ?thesis
using ⟨cdclW-merge-stgy** R V⟩ decide' ⟨no-step cdclW-merge-cp V⟩ by blast
next
case propa
moreover then have cdclW-merge-cp V' W
by auto
ultimately show ?thesis using ⟨cdclW-merge-stgy** R V⟩ decide'
⟨no-step cdclW-merge-cp V⟩ by (meson r-into-rtranclp)
next
case propa-confl
moreover then have cdclW-merge-cp** V' V''
by (metis cdclW-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
ultimately show ?thesis using ⟨cdclW-merge-stgy** R V⟩ decide'
⟨no-step cdclW-merge-cp V⟩ by (meson r-into-rtranclp)
qed
next
case (dec-confl)
show ?thesis using conf-V dec-confl(5) by (auto elim!: rulesE
simp del: state-simp simp: state-eq-def)
next
case cp-confl
then show ?thesis using decide' apply - by (intro HOL.disjI2) (fastforce elim: rulesE
simp del: state-simp simp: state-eq-def)
qed
next
case (bj' V')
then have ¬no-step cdclW-bj V
by (auto dest: tranclpD simp: full1-def)
then consider
(s') cdclW-merge-stgy** R V and conflicting V = None
| (dec-confl) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
decide S T and cdclW-merge-cp** T U and conflict U V
| (dec) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and decide S T
and cdclW-merge-cp** T V and conflicting V = None
| (cp) cdclW-merge-cp** R V and conflicting V = None
| (cp-confl) U where cdclW-merge-cp** R U and conflict U V
using IH by meson
then show ?thesis
proof cases
case s' note - = this(2)
then have False
using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdclW-bj.simps
elim: rulesE)
then show ?thesis by fast
next

```

```

case dec note - = this(5)
then have False
  using bj'(1) unfolding full1-def by (force dest!: tranclpD simp: cdclW-bj.simps
    elim: rulesE)
then show ?thesis by fast
next
case dec-confl
then have cdclW-merge-cp U V'
  using bj' cdclW-merge-cp.intros(1)[of U V V'] by (simp add: full-unfold)
then have cdclW-merge-cp** T V'
  using dec-confl(4) by simp
consider
  (V'-W) V' = W
| (propa) propagate++ V' W and conflicting W = None
| (propa-confl) V'' where propagate** V' V'' and conflict V'' W
using tranclp-cdclW-cp-propagate-with-conflict-or-not[of V' W] bj'(3)
unfolding full-unfold full1-def by meson
then show ?thesis
proof cases
case V'-W
then have no-step cdclW-cp V'
  using bj'(3) unfolding full-def by auto
then have no-step cdclW-merge-cp V'
  by (metis cdclW-cp.propagate' cdclW-merge-cp.cases tranclpD
    no-step-cdclW-cp-no-conflict-no-propagate(1) )
then have full1 cdclW-merge-cp T V'
  unfolding full1-def using ⟨cdclW-merge-cp U V'⟩ dec-confl(4) by auto
then have full cdclW-merge-cp T V'
  by (simp add: full-unfold)
then have cdclW-merge-stgy S V'
  using dec-confl(3) cdclW-merge-stgy.fw-s-decide ⟨no-step cdclW-merge-cp S⟩ by blast
then have cdclW-merge-stgy** R V'
  using ⟨cdclW-merge-stgy** R S⟩ by auto
show ?thesis
proof cases
assume conflicting W = None
then show ?thesis using ⟨cdclW-merge-stgy** R V'⟩ ⟨V' = W⟩ by auto
next
assume conflicting W ≠ None
then show ?thesis
  using ⟨cdclW-merge-stgy** R V'⟩ ⟨V' = W⟩ by (metis ⟨cdclW-merge-cp U V'⟩
    conflictE conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj
    dec-confl(5) map-option-is-None r-into-rtranclp)
qed
next
case propa
moreover then have cdclW-merge-cp V' W
  by auto
ultimately show ?thesis using decide' by (meson ⟨cdclW-merge-cp** T V'⟩ dec-confl(1-3)
  rtranclp.rtrancl-into-rtrancl)
next
case propa-confl
moreover then have cdclW-merge-cp** V' V''
  by (metis cdclW-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
ultimately show ?thesis by (meson ⟨cdclW-merge-cp** T V'⟩ dec-confl(1-3) rtranclp-trans)

```



```

qed
next
  case cp note - = this(2)
  then show ?thesis using bj'(1)  $\langle \neg$  no-step cdclW-bj V $\rangle$ 
    conflicting-not-true-rtrancpl-cdclW-merge-cp-no-step-cdclW-bj by auto
next
  case cp-conf
  then have cdclW-merge-cp U V' by (simp add: cdclW-merge-cp.conflict' full-unfold
    local.bj'(1))
  consider
    (V'-W) V' = W
  | (propa) propagate++ V' W and conflicting W = None
  | (propa-conf) V'' where propagate** V' V'' and conflict V'' W
  using trancpl-cdclW-cp-propagate-with-conflict-or-not[of V' W] bj'
  unfolding full-unfold full1-def by meson
  then show ?thesis

proof cases
  case V'-W
  show ?thesis
  proof cases
    assume conflicting V' = None
    then show ?thesis
      using V'-W  $\langle$ cdclW-merge-cp U V' $\rangle$  cp-conf(1) by force
  next
    assume confl: conflicting V'  $\neq$  None
    then have no-step cdclW-merge-stgy V'
      by (fastforce simp: cdclW-merge-stgy.simps full1-def full-def
        cdclW-merge-cp.simps dest!: trancplD elim: rulesE)
    have no-step cdclW-merge-cp V'
      using confl by (auto simp: full1-def full-def cdclW-merge-cp.simps
        dest!: trancplD elim: rulesE)
    moreover have cdclW-merge-cp U W
      using V'-W  $\langle$ cdclW-merge-cp U V' $\rangle$  by blast
    ultimately have full1 cdclW-merge-cp R V'
      using cp-conf(1) V'-W unfolding full1-def by auto
    then have cdclW-merge-stgy R V'
      by auto
    moreover have no-step cdclW-merge-stgy V'
      using confl  $\langle$ no-step cdclW-merge-cp V' $\rangle$  by (auto simp: cdclW-merge-stgy.simps
        full1-def dest!: trancplD elim: rulesE)
    ultimately have cdclW-merge-stgy** R V' by auto
    { fix ss :: 'st
      have cdclW-merge-cp U W
        using V'-W  $\langle$ cdclW-merge-cp U V' $\rangle$  by blast
      then have  $\neg$  cdclW-bj W ss
        by (meson conflicting-not-true-rtrancpl-cdclW-merge-cp-no-step-cdclW-bj
          cp-conf(1) rtrancpl.rtrancpl-into-rtrancpl step.prem)
      then have cdclW-merge-stgy** R W  $\wedge$  conflicting W = None  $\vee$ 
        cdclW-merge-stgy** R W  $\wedge$   $\neg$  cdclW-bj W ss
        using V'-W  $\langle$ cdclW-merge-stgy** R V' $\rangle$  by presburger }
    then show ?thesis
      by presburger
  qed
next

```

```

    case propa
    moreover then have cdclW-merge-cp V' W
      by auto
    ultimately show ?thesis using ⟨cdclW-merge-cp U V'⟩ cp-confl(1) by force
  next
  case propa-confl
  moreover then have cdclW-merge-cp** V' V''
    by (metis cdclW-merge-cp.propagate' rtranclp-unfold tranclp-unfold-end)
  ultimately show ?thesis
    using ⟨cdclW-merge-cp U V'⟩ cp-confl(1) by (metis rtranclp.rtrancl-into-rtrancl
      rtranclp-trans)
  qed
qed
qed
qed

```

lemma *decide-rtranclp-cdcl_W-s'-rtranclp-cdcl_W-s'*:

```

  assumes
    dec: decide S T and
    cdclW-s'** T U and
    n-s-S: no-step cdclW-cp S and
    no-step cdclW-cp U
  shows cdclW-s'** S U
  using assms(2,4)

```

proof *induction*

```

  case (step U V) note st = this(1) and s' = this(2) and IH = this(3) and n-s = this(4)
  consider

```

```

    (TU) T = U
  | (s'-st) T' where cdclW-s' T T' and cdclW-s'** T' U
  using st[unfolded rtranclp-unfold] by (auto dest!: tranclpD)

```

then show ?case

proof *cases*

case TU

then show ?thesis

proof –

assume a1: T = U

then have f2: cdcl_W-s' T V

using s' by force

obtain ss :: 'st where

ss: cdcl_W-s^{'**} S T ∨ cdcl_W-cp T ss

using a1 step.IH by blast–

obtain ssa :: 'st ⇒ 'st where

f3: ∀ s sa sb. (¬ decide s sa ∨ cdcl_W-cp s (ssa s) ∨ ¬ full cdcl_W-cp sa sb) ∨ cdcl_W-s' s sb

using cdcl_W-s'.decide' by moura

have ∀ s sa. ¬ cdcl_W-s' s sa ∨ full1 cdcl_W-cp s sa ∨

(∃ sb. decide s sb ∧ no-step cdcl_W-cp s ∧ full cdcl_W-cp sb sa) ∨

(∃ sb. full1 cdcl_W-bj s sb ∧ no-step cdcl_W-cp s ∧ full cdcl_W-cp sb sa)

by (metis cdcl_W-s'E)

then have ∃ s. cdcl_W-s^{'**} S s ∧ cdcl_W-s' s V

using f3 ss f2 **by** (metis dec full1-is-full n-s-S rtranclp-unfold)

then show ?thesis

by force

qed

next

```

case ( $s'-st\ T'$ ) note  $s'-T' = this(1)$  and  $st = this(2)$ 
have  $cdcl_W-s'^{**}\ S\ T'$ 
  using  $s'-T'$ 
  proof cases
    case conflict'
      then have  $cdcl_W-s'\ S\ T'$ 
        using  $dec\ cdcl_W-s'.decide'\ n-s-S$  by (simp add: full-unfold)
      then show ?thesis
        using  $st$  by auto
    next
      case ( $decide'\ T''$ )
      then have  $cdcl_W-s'\ S\ T$ 
        using  $dec\ cdcl_W-s'.decide'\ n-s-S$  by (simp add: full-unfold)
      then show ?thesis using  $decide'\ s'-T'$  by auto
    next
      case  $bj'$ 
      then have False
        using  $dec\ unfolding\ full1-def$  by (fastforce dest!: tranclpD simp: cdcl_W-bj.simps
          elim: rulesE)
      then show ?thesis by fast
    qed
  then show ?thesis using  $s'\ st$  by auto
qed
next
  case base
  then have  $full\ cdcl_W-cp\ T\ T$ 
    by (simp add: full-unfold)
  then show ?case
    using  $cdcl_W-s'.simps\ dec\ n-s-S$  by auto
qed

lemma  $rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W-s'$ :
  assumes
     $cdcl_W-merge-stgy^{**}\ R\ V$  and
     $inv: cdcl_W-all-struct-inv\ R$ 
  shows  $cdcl_W-s'^{**}\ R\ V$ 
  using assms(1)
proof induction
  case base
  then show ?case by simp
next
  case ( $step\ S\ T$ ) note  $st = this(1)$  and  $fw = this(2)$  and  $IH = this(3)$ 
  have  $cdcl_W-all-struct-inv\ S$ 
    using  $inv\ rtranclp-cdcl_W-all-struct-inv-inv\ rtranclp-cdcl_W-merge-stgy-rtranclp-cdcl_W\ st$  by blast
  from  $fw$  show ?case
    proof (cases rule: cdcl_W-merge-stgy-cases)
      case  $fw-s-cp$ 
      have  $\bigwedge s. \neg\ full\ cdcl_W-merge-cp\ s\ S$ 
        using  $fw-s-cp\ unfolding\ full-def\ full1-def$  by (metis tranclp-unfold-begin)
      then have  $S = R$ 
        using  $fw-s-cp\ unfolding\ full1-def$  by (metis cdcl_W-cp.conflict'\ cdcl_W-cp.propagate'
          cdcl_W-merge-cp.cases\ tranclp-unfold-begin\ inv\ st
          rtranclp-cdcl_W-merge-stgy'-no-step-cdcl_W-cp-or-eq)
      then have  $full1\ cdcl_W-s'-without-decide\ R\ T$ 
        using  $inv\ local.fw-s-cp$ 
    qed

```

```

    by (blast intro: conflicting-true-full1-cdclW-merge-cp-imp-full1-cdclW-s'-without-decode)
  then show ?thesis unfolding full1-def
    by (metis (no-types) rtranclp-cdclW-s'-without-decide-rtranclp-cdclW-s' rtranclp-unfold)
next
case (fw-s-decide S') note dec = this(1) and n-S = this(2) and full = this(3)
moreover then have conflicting S' = None
  by (auto elim: rulesE)
ultimately have full cdclW-s'-without-decide S' T
  by (meson ⟨cdclW-all-struct-inv S⟩ cdclW-merge-restart-cdclW fw-r-decide
    rtranclp-cdclW-all-struct-inv-inv
    conflicting-true-full-cdclW-merge-cp-iff-full-cdclW-s'-without-decode)
then have a1: cdclW-s!* S' T
  unfolding full-def by (metis (full-types) rtranclp-cdclW-s'-without-decide-rtranclp-cdclW-s')
have cdclW-merge-stgy!* S T
  using fw by blast
then have cdclW-s!* S T
  using decide-rtranclp-cdclW-s'-rtranclp-cdclW-s' a1 by (metis ⟨cdclW-all-struct-inv S⟩ dec
    n-S no-step-cdclW-merge-cp-no-step-cdclW-cp cdclW-all-struct-inv-def
    rtranclp-cdclW-merge-stgy'-no-step-cdclW-cp-or-eq)
then show ?thesis using IH by auto
qed
qed

```

lemma *rtranclp-cdcl_W-merge-stgy-distinct-mset-clauses:*

```

assumes invR: cdclW-all-struct-inv R and
st: cdclW-merge-stgy!* R S and
dist: distinct-mset (clauses R) and
R: trail R = []
shows distinct-mset (clauses S)
using rtranclp-cdclW-stgy-distinct-mset-clauses[OF invR - dist R]
invR st rtranclp-mono[of cdclW-s' cdclW-stgy!*] cdclW-s'-is-rtranclp-cdclW-stgy
by (auto dest!: cdclW-s'-is-rtranclp-cdclW-stgy rtranclp-cdclW-merge-stgy-rtranclp-cdclW-s')

```

lemma *no-step-cdcl_W-s'-no-step-cdcl_W-merge-stgy:*

```

assumes
  inv: cdclW-all-struct-inv R and s': no-step cdclW-s' R
shows no-step cdclW-merge-stgy R

```

proof –

```

{ fix ss :: 'st
  obtain ssa :: 'st ⇒ 'st ⇒ 'st where
    ff1: ∧ s sa. ¬ cdclW-merge-stgy s sa ∨ full1 cdclW-merge-cp s sa ∨ decide s (ssa s sa)
    using cdclW-merge-stgy.cases by mouna
  obtain ssb :: ('st ⇒ 'st ⇒ bool) ⇒ 'st ⇒ 'st ⇒ 'st where
    ff2: ∧ p s sa. ¬ p++ s sa ∨ p s (ssb p s sa)
    by (meson tranclp-unfold-begin)
  obtain ssc :: 'st ⇒ 'st where
    ff3: ∧ s sa sb. (¬ cdclW-all-struct-inv s ∨ ¬ cdclW-cp s sa ∨ cdclW-s' s (ssc s))
    ∧ (¬ cdclW-all-struct-inv s ∨ ¬ cdclW-o s sb ∨ cdclW-s' s (ssc s))
    using n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o by mouna
  then have ff4: ∧ s. ¬ cdclW-o R s
    using s' inv by blast
  have ff5: ∧ s. ¬ cdclW-cp++ R s
    using ff3 ff2 s' by (metis inv)
  have ∧ s. ¬ cdclW-bj++ R s
    using ff4 ff2 by (metis bj)
}

```

```

then have  $\bigwedge s. \neg \text{cdcl}_W\text{-}s'\text{-without-decide } R \ s$ 
  using ff5 by (simp add:  $\text{cdcl}_W\text{-}s'\text{-without-decide.simps full1-def}$ )
then have  $\neg \text{cdcl}_W\text{-}s'\text{-without-decide}^{++} R \ ss$ 
  using ff2 by blast
then have  $\neg \text{full1 } \text{cdcl}_W\text{-}s'\text{-without-decide } R \ ss$ 
  by (simp add: full1-def)
then have  $\neg \text{cdcl}_W\text{-merge-stgy } R \ ss$ 
  using ff4 ff1 conflicting-true-full1-cdclW-merge-cp-imp-full1-cdclW-s'-without-decode inv
  by blast }
then show ?thesis
  by fastforce
qed
end

```

We will discharge the assumption later.

```

locale conflict-driven-clause-learningW-termination =
  conflict-driven-clause-learningW +
  assumes wf-cdclW-merge-inv: wf  $\{(T, S). \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge } S \ T\}$ 
begin

```

```

lemma wf-tranclp-cdclW-merge: wf  $\{(T, S). \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge}^{++} S \ T\}$ 
  using wf-trancl[OF wf-cdclW-merge-inv]
  apply (rule wf-subset)
  by (auto simp: trancl-set-tranclp
    cdclW-all-struct-inv-tranclp-cdclW-merge-tranclp-cdclW-merge-cdclW-all-struct-inv)

```

```

lemma wf-cdclW-merge-cp:
  wf  $\{(T, S). \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S \ T\}$ 
  using wf-tranclp-cdclW-merge by (rule wf-subset) (auto simp: cdclW-merge-cp-tranclp-cdclW-merge)

```

```

lemma wf-cdclW-merge-stgy:
  wf  $\{(T, S). \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-stgy } S \ T\}$ 
  using wf-tranclp-cdclW-merge by (rule wf-subset)
  (auto simp add: cdclW-merge-stgy-tranclp-cdclW-merge)

```

```

lemma cdclW-merge-cp-obtain-normal-form:
  assumes inv: cdclW-all-struct-inv R
  obtains S where full cdclW-merge-cp R S

```

proof –

```

  obtain S where full  $(\lambda S \ T. \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S \ T) \ R \ S$ 
    using wf-exists-normal-form-full[OF wf-cdclW-merge-cp] by blast

```

then have

```

  st:  $(\lambda S \ T. \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S \ T)^{**} R \ S$  and
  n-s: no-step  $(\lambda S \ T. \text{cdcl}_W\text{-all-struct-inv } S \wedge \text{cdcl}_W\text{-merge-cp } S \ T) \ S$ 
  unfolding full-def by blast+

```

```

  have cdclW-merge-cp** R S

```

```

  using st by induction auto

```

moreover

```

  have cdclW-all-struct-inv S

```

```

    using st inv

```

```

    apply (induction rule: rtranclp-induct)

```

```

    apply simp

```

```

    by (meson r-into-rtranclp rtranclp-cdclW-all-struct-inv-inv
      rtranclp-cdclW-merge-cp-rtranclp-cdclW)

```

```

  then have no-step cdclW-merge-cp S

```

using $n-s$ by *auto*
 ultimately show $?thesis$
 using *that* unfolding *full-def* by *blast*
 qed

lemma *no-step-cdcl_W-merge-stgy-no-step-cdcl_W-s'*:

assumes

inv: *cdcl_W-all-struct-inv* R **and**

confl: *conflicting* $R = \text{None}$ **and**

n-s: *no-step cdcl_W-merge-stgy* R

shows *no-step cdcl_W-s'* R

proof (*rule ccontr*)

assume $\neg ?thesis$

then obtain S **where** *cdcl_W-s'* $R S$ **by** *auto*

then show *False*

proof *cases*

case *conflict'*

then obtain S' **where** *full1 cdcl_W-merge-cp* $R S'$

proof $-$

obtain $R' :: 'e$ **where**

cdcl_W-merge-cp $R R'$

using *inv* unfolding *cdcl_W-all-struct-inv-def* **by** (*meson confl*

cdcl_W-s'-without-decide.simps conflict'

conflicting-true-no-step-cdcl_W-merge-cp-no-step-s'-without-decide)

then show $?thesis$

using *that* **by** (*metis cdcl_W-merge-cp-obtain-normal-form full-unfold inv*)

qed

then show *False* **using** $n-s$ **by** *blast*

next

case (*decide'* R')

then have *cdcl_W-all-struct-inv* R'

using *inv cdcl_W-all-struct-inv-inv cdcl_W.other cdcl_W-o.decide* **by** *meson*

then obtain R'' **where** *full cdcl_W-merge-cp* $R' R''$

using *cdcl_W-merge-cp-obtain-normal-form* **by** *blast*

moreover have *no-step cdcl_W-merge-cp* R

by (*simp add: confl local.decide'(2) no-step-cdcl_W-cp-no-step-cdcl_W-merge-restart*)

ultimately show *False* **using** $n-s$ *cdcl_W-merge-stgy.intros local.decide'(1)* **by** *blast*

next

case (*bj'* R')

then show *False*

using *confl no-step-cdcl_W-cp-no-step-cdcl_W-s'-without-decide inv*

unfolding *cdcl_W-all-struct-inv-def* **by** *auto*

qed

qed

lemma *rtrancp-cdcl_W-merge-cp-no-step-cdcl_W-bj*:

assumes *conflicting* $R = \text{None}$ **and** *cdcl_W-merge-cp*** $R S$

shows *no-step cdcl_W-bj* S

using *assms conflicting-not-true-rtrancp-cdcl_W-merge-cp-no-step-cdcl_W-bj* **by** *auto*

lemma *rtrancp-cdcl_W-merge-stgy-no-step-cdcl_W-bj*:

assumes *confl: conflicting* $R = \text{None}$ **and** *cdcl_W-merge-stgy*** $R S$

shows *no-step cdcl_W-bj* S

using *assms(2)*

proof *induction*

```

case base
then show ?case
  using confl by (auto simp: cdclW-bj.simps elim: rulesE)
next
case (step S T) note st = this(1) and fw = this(2) and IH = this(3)
have confl-S: conflicting S = None
  using fw apply cases
  by (auto simp: full1-def cdclW-merge-cp.simps dest!: tranclpD elim: rulesE)
from fw show ?case
  proof cases
    case fw-s-cp
    then show ?thesis
      using rtranclp-cdclW-merge-cp-no-step-cdclW-bj confl-S
      by (simp add: full1-def tranclp-into-rtranclp)
  next
  case (fw-s-decide S')
  moreover then have conflicting S' = None by (auto elim: rulesE)
  ultimately show ?thesis
    using conflicting-not-true-rtranclp-cdclW-merge-cp-no-step-cdclW-bj
    unfolding full-def by meson
  qed
qed

end

end

theory CDCL-WNOT
imports CDCL-NOT CDCL-W-Termination CDCL-W-Merge
begin

```

27 Link between Weidenbach's and NOT's CDCL

27.1 Inclusion of the states

```

declare upt.simps(2)[simp del]

```

```

fun convert-marked-lit-from-W where
  convert-marked-lit-from-W (Propagated L -) = Propagated L () |
  convert-marked-lit-from-W (Marked L -) = Marked L ()

```

```

abbreviation convert-trail-from-W ::
  ('v, 'lvl, 'a) marked-lit list
  ⇒ ('v, unit, unit) marked-lit list where
  convert-trail-from-W ≡ map convert-marked-lit-from-W

```

```

lemma lits-of-l-convert-trail-from-W[simp]:
  lits-of-l (convert-trail-from-W M) = lits-of-l M
  by (induction rule: marked-lit-list-induct) simp-all

```

```

lemma lit-of-convert-trail-from-W[simp]:
  lit-of (convert-marked-lit-from-W L) = lit-of L
  by (cases L) auto

```

```

lemma no-dup-convert-from-W[simp]:
  no-dup (convert-trail-from-W M) ⇔ no-dup M

```

by (*auto simp: comp-def*)

lemma *convert-trail-from-W-true-annots*[*simp*]:
 $\text{convert-trail-from-}W\ M \models_{as} C \longleftrightarrow M \models_{as} C$
by (*auto simp: true-annots-true-cls image-image lits-of-def*)

lemma *defined-lit-convert-trail-from-W*[*simp*]:
 $\text{defined-lit } (\text{convert-trail-from-}W\ S)\ L \longleftrightarrow \text{defined-lit } S\ L$
by (*auto simp: defined-lit-map image-comp*)

The values 0 and $\{\#\}$ are dummy values.

consts *dummy-cls* :: 'cls
fun *convert-marked-lit-from-NOT*
 $:: ('a, 'e, 'b) \text{marked-lit} \Rightarrow ('a, \text{nat}, 'cls) \text{marked-lit} \text{ where}$
 $\text{convert-marked-lit-from-NOT } (\text{Propagated } L\ -) = \text{Propagated } L\ \text{dummy-cls} \mid$
 $\text{convert-marked-lit-from-NOT } (\text{Marked } L\ -) = \text{Marked } L\ 0$

abbreviation *convert-trail-from-NOT* **where**
 $\text{convert-trail-from-NOT} \equiv \text{map } \text{convert-marked-lit-from-NOT}$

lemma *undefined-lit-convert-trail-from-NOT*[*simp*]:
 $\text{undefined-lit } (\text{convert-trail-from-NOT } F)\ L \longleftrightarrow \text{undefined-lit } F\ L$
by (*induction F rule: marked-lit-list-induct*) (*auto simp: defined-lit-map*)

lemma *lits-of-l-convert-trail-from-NOT*:
 $\text{lits-of-l } (\text{convert-trail-from-NOT } F) = \text{lits-of-l } F$
by (*induction F rule: marked-lit-list-induct*) *auto*

lemma *convert-trail-from-W-from-NOT*[*simp*]:
 $\text{convert-trail-from-}W\ (\text{convert-trail-from-NOT } M) = M$
by (*induction rule: marked-lit-list-induct*) *auto*

lemma *convert-trail-from-W-convert-lit-from-NOT*[*simp*]:
 $\text{convert-marked-lit-from-}W\ (\text{convert-marked-lit-from-NOT } L) = L$
by (*cases L*) *auto*

abbreviation *trail_{NOT}* **where**
 $\text{trail}_{\text{NOT}}\ S \equiv \text{convert-trail-from-}W\ (\text{fst } S)$

lemma *undefined-lit-convert-trail-from-W*[*iff*]:
 $\text{undefined-lit } (\text{convert-trail-from-}W\ M)\ L \longleftrightarrow \text{undefined-lit } M\ L$
by (*auto simp: defined-lit-map image-comp*)

lemma *lit-of-convert-marked-lit-from-NOT*[*iff*]:
 $\text{lit-of } (\text{convert-marked-lit-from-NOT } L) = \text{lit-of } L$
by (*cases L*) *auto*

sublocale $\text{state}_W \subseteq \text{dpll-state-ops}$
 $\text{mset-cls insert-cls remove-lit}$
 $\text{mset-clss union-clss in-clss insert-clss remove-from-clss}$
 $\lambda S. \text{convert-trail-from-}W\ (\text{trail } S)$
 raw-clauses
 $\lambda L\ S. \text{cons-trail } (\text{convert-marked-lit-from-NOT } L)\ S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C\ S. \text{add-learned-cls } C\ S$

$\lambda C S. \text{remove-cls } C S$
by *unfold-locales*

context *state_W*

begin

lemma *convert-marked-lit-from-W-convert-marked-lit-from-NOT[simp]:*
convert-marked-lit-from-W (mset-of-mlit (convert-marked-lit-from-NOT L)) = L
by (*cases L*) *auto*
end

sublocale *state_W ⊆ dpll-state*

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
 $\lambda S. \text{convert-trail-from-W (trail } S)$
raw-clauses
 $\lambda L S. \text{cons-trail (convert-marked-lit-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S$
by *unfold-locales (auto simp: map-tl o-def)*

context *state_W*

begin

declare *state-simp_{NOT}[simp del]*

end

sublocale *conflict-driven-clause-learning_W ⊆ cdcl_{NOT}-merge-bj-learn-ops*

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
 $\lambda S. \text{convert-trail-from-W (trail } S)$
raw-clauses
 $\lambda L S. \text{cons-trail (convert-marked-lit-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S$
 $\lambda - . \text{True}$
 $\lambda - S. \text{raw-conflicting } S = \text{None}$
 $\lambda C C' L' S T. \text{backjump-l-cond } C C' L' S T$
 $\wedge \text{distinct-mset } (C' + \{\#L'\#\}) \wedge \neg \text{tautology } (C' + \{\#L'\#\})$
by *unfold-locales*

thm *cdcl_{NOT}-merge-bj-learn-proxy.axioms*

sublocale *conflict-driven-clause-learning_W ⊆ cdcl_{NOT}-merge-bj-learn-proxy*

mset-cls insert-cls remove-lit
mset-clss union-clss in-clss insert-clss remove-from-clss
 $\lambda S. \text{convert-trail-from-W (trail } S)$
raw-clauses
 $\lambda L S. \text{cons-trail (convert-marked-lit-from-NOT } L) S$
 $\lambda S. \text{tl-trail } S$
 $\lambda C S. \text{add-learned-cls } C S$
 $\lambda C S. \text{remove-cls } C S$

```

λ- -. True
λ- S. raw-conflicting S = None
backjump-l-cond
invNOT
proof (unfold-locales, goal-cases)
  case 2
  then show ?case using cdclNOT-merged-bj-learn-no-dup-inv by (auto simp: comp-def)
next
case (1 C' S C F' K F L)
moreover
  let ?C' = remdups-mset C'
  have L ∉ # C'
    using ⟨F ⊨as CNot C'⟩ ⟨undefined-lit F L⟩ Marked-Propagated-in-iff-in-lits-of-l
    in-CNot-implies-uminus(2) by fast
  then have distinct-mset (?C' + {#L#})
    by (simp add: distinct-mset-single-add)
moreover
  have no-dup F
    using ⟨invNOT S⟩ ⟨convert-trail-from-W (trail S) = F' @ Marked K () # F⟩
    unfolding invNOT-def
    by (smt comp-apply distinct.simps(2) distinct-append list.simps(9) map-append
        no-dup-convert-from-W)
  then have consistent-interp (lits-of-l F)
    using distinct-consistent-interp by blast
  then have ¬ tautology (C')
    using ⟨F ⊨as CNot C'⟩ consistent-CNot-not-tautology true-annots-true-cls by blast
  then have ¬ tautology (?C' + {#L#})
    using ⟨F ⊨as CNot C'⟩ ⟨undefined-lit F L⟩ by (metis CNot-remdups-mset
        Marked-Propagated-in-iff-in-lits-of-l add.commute in-CNot-uminus tautology-add-single
        tautology-remdups-mset true-annot-singleton true-annots-def)
show ?case
proof -
  have f2: no-dup (convert-trail-from-W (trail S))
    using ⟨invNOT S⟩ unfolding invNOT-def by (simp add: o-def)
  have f3: atm-of L ∈ atms-of-mm (clauses S)
    ∪ atm-of ' lits-of-l (convert-trail-from-W (trail S))
    using ⟨convert-trail-from-W (trail S) = F' @ Marked K () # F⟩
    ⟨atm-of L ∈ atms-of-mm (clauses S) ∪ atm-of ' lits-of-l (F' @ Marked K () # F)⟩ by auto
  have f4: clauses S ⊨pm remdups-mset C' + {#L#}
    by (metis (no-types) ⟨L ∉ # C'⟩ ⟨clauses S ⊨pm C' + {#L#}⟩ remdups-mset-singleton-sum(2)
        true-clss-cls-remdups-mset union-commute)
  have F ⊨as CNot (remdups-mset C')
    by (simp add: ⟨F ⊨as CNot C'⟩)
  obtain D where D: mset-cls D = remdups-mset C' + {#L#}
    using ex-mset-cls by blast
  have Ex (backjump-l S)
    apply standard
    apply (rule backjump-l.intros[OF - f2, of - - -])
    using f4 f3 f2 ⟨¬ tautology (remdups-mset C' + {#L#})⟩
    calculation(2-5,9) ⟨F ⊨as CNot (remdups-mset C')⟩
    state-eqNOT-ref D unfolding backjump-l-cond-def by blast+
  then show ?thesis
    by blast
qed
qed

```

```

sublocale conflict-driven-clause-learningW ⊆ cdclNOT-merge-bj-learn-proxy2 - - - - -
  λS. convert-trail-from-W (trail S)
  raw-clauses
  λL S. cons-trail (convert-marked-lit-from-NOT L) S
  λS. tl-trail S
  λC S. add-learned-cls C S
  λC S. remove-cls C S
  λ- -. True
  λ- S. raw-conflicting S = None backjump-l-cond invNOT
  by unfold-locales

sublocale conflict-driven-clause-learningW ⊆ cdclNOT-merge-bj-learn - - - - -
  λS. convert-trail-from-W (trail S)
  raw-clauses
  λL S. cons-trail (convert-marked-lit-from-NOT L) S
  λS. tl-trail S
  λC S. add-learned-cls C S
  λC S. remove-cls C S
  backjump-l-cond
  λ- -. True
  λ- S. raw-conflicting S = None invNOT
  apply unfold-locales
  using dpll-bj-no-dup apply (simp add: comp-def)
  using cdclNOT.simps cdclNOT-no-dup no-dup-convert-from-W unfolding invNOT-def by blast

context conflict-driven-clause-learningW
begin

```

Notations are lost while proving locale inclusion:

notation *state-eq*_{NOT} (**infix** \sim_{NOT} 50)

27.2 Additional Lemmas between NOT and W states

lemma *trail*_W-eq-reduce-trail-to_{NOT}-eq:
 $trail\ S = trail\ T \implies trail\ (reduce-trail-to_{NOT}\ F\ S) = trail\ (reduce-trail-to_{NOT}\ F\ T)$
proof (*induction F S arbitrary: T rule: reduce-trail-to_{NOT}.induct*)
case (1 *F S T*) **note** *IH* = *this*(1) **and** *tr* = *this*(2)
then have $\square = convert-trail-from-W\ (trail\ S)$
 $\vee length\ F = length\ (convert-trail-from-W\ (trail\ S))$
 $\vee trail\ (reduce-trail-to_{NOT}\ F\ (tl-trail\ S)) = trail\ (reduce-trail-to_{NOT}\ F\ (tl-trail\ T))$
using *IH* **by** (*metis* (*no-types*) *trail-tl-trail*)
then show $trail\ (reduce-trail-to_{NOT}\ F\ S) = trail\ (reduce-trail-to_{NOT}\ F\ T)$
using *tr* **by** (*metis* (*no-types*) *reduce-trail-to_{NOT}.elim*)
qed

lemma *trail-reduce-trail-to_{NOT}-add-learned-cls*:
 $no-dup\ (trail\ S) \implies$
 $trail\ (reduce-trail-to_{NOT}\ M\ (add-learned-cls\ D\ S)) = trail\ (reduce-trail-to_{NOT}\ M\ S)$
by (*rule* *trail*_W-eq-reduce-trail-to_{NOT}-eq) *simp*

lemma *reduce-trail-to_{NOT}-reduce-trail-convert*:
 $reduce-trail-to_{NOT}\ C\ S = reduce-trail-to\ (convert-trail-from-NOT\ C)\ S$
apply (*induction C S rule: reduce-trail-to_{NOT}.induct*)
apply (*subst reduce-trail-to_{NOT}.simps, subst reduce-trail-to.simps*)
by *auto*

```

lemma reduce-trail-to-map[simp]:
  reduce-trail-to (map f M) S = reduce-trail-to M S
  by (rule reduce-trail-to-length) simp

lemma reduce-trail-toNOT-map[simp]:
  reduce-trail-toNOT (map f M) S = reduce-trail-toNOT M S
  by (rule reduce-trail-toNOT-length) simp

lemma skip-or-resolve-state-change:
  assumes skip-or-resolve** S T
  shows
     $\exists M. \text{trail } S = M @ \text{trail } T \wedge (\forall m \in \text{set } M. \neg \text{is-marked } m)$ 
    clauses S = clauses T
    backtrack-lvl S = backtrack-lvl T
  using assms
proof (induction rule: rtrancpl-induct)
  case base
  case 1 show ?case by simp
  case 2 show ?case by simp
  case 3 show ?case by simp
next
  case (step T U) note st = this(1) and s-o-r = this(2) and IH = this(3) and IH' = this(3-5)

  case 2 show ?case using IH' s-o-r by (auto elim!: rulesE simp: skip-or-resolve.simps)
  case 3 show ?case using IH' s-o-r by (auto elim!: rulesE simp: skip-or-resolve.simps)
  case 1 show ?case
    using s-o-r
    proof cases
      case s-or-r-skip
      then show ?thesis using IH by (auto elim!: rulesE simp: skip-or-resolve.simps)
    next
      case s-or-r-resolve
      then show ?thesis
        using IH by (cases trail T) (auto elim!: rulesE simp: skip-or-resolve.simps dest!: hd-raw-trail)
    qed
  qed

```

27.3 More lemmas conflict-propagate and backjumping

27.4 CDCL FW

```

lemma cdclW-merge-is-cdclNOT-merged-bj-learn:
  assumes
    inv: cdclW-all-struct-inv S and
    cdclW:cdclW-merge S T
  shows cdclNOT-merged-bj-learn S T
     $\vee (\text{no-step } \text{cdcl}_W\text{-merge } T \wedge \text{conflicting } T \neq \text{None})$ 
  using cdclW inv
proof induction
  case (fw-propagate S T) note propa = this(1)
  then obtain M N U k L C where
    H: state S = (M, N, U, k, None) and
    CL: C + {#L#} ∈# clauses S and
    M-C: M ⊨as CNot C and

```

```

    undef: undefined-lit (trail S) L and
    T: state T = (Propagated L (C + {#L#})) # M, N, U, k, None)
    by (auto elim: propagate-high-levelE)
  have propagateNOT S T
    using H CL T undef M-C by (auto simp: state-eqNOT-def state-eq-def raw-clauses-def
      simp del: state-simp)
  then show ?case
    using cdclNOT-merged-bj-learn.intros(2) by blast
next
case (fw-decide S T) note dec = this(1) and inv = this(2)
then obtain L where
  undef-L: undefined-lit (trail S) L and
  atm-L: atm-of L ∈ atms-of-mm (init-clss S) and
  T: T ∼ cons-trail (Marked L (Suc (backtrack-lvl S)))
    (update-backtrack-lvl (Suc (backtrack-lvl S)) S)
  by (auto elim: decideE)
have decideNOT S T
  apply (rule decideNOT.decideNOT)
  using undef-L apply simp
  using atm-L inv unfolding cdclW-all-struct-inv-def no-strange-atm-def raw-clauses-def
  apply auto[]
  using T undef-L unfolding state-eq-def state-eqNOT-def by (auto simp: raw-clauses-def)
then show ?case using cdclNOT-merged-bj-learn-decideNOT by blast
next
case (fw-forget S T) note rf = this(1) and inv = this(2)
then obtain C where
  S: conflicting S = None and
  C-le: C !∈! raw-learned-clss S and
  ¬(trail S) ⊨asm clauses S and
  mset-cls C ∉ set (get-all-mark-of-propagated (trail S)) and
  C-init: mset-cls C ∉# init-clss S and
  T: T ∼ remove-cls C S
  by (auto elim: forgetE)
have init-clss S ⊨pm mset-cls C
  using inv C-le unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def raw-clauses-def
  by (meson in-clss-mset-clss true-clss-clss-in-imp-true-clss-clss)
then have S-C: removeAll-mset (mset-cls C) (clauses S) ⊨pm mset-cls C
  using C-init C-le unfolding raw-clauses-def by (auto simp add: Un-Diff ac-simps)
have forgetNOT S T
  apply (rule forgetNOT.forgetNOT)
  using S-C apply blast
  using S apply simp
  using C-init C-le apply (simp add: raw-clauses-def)
  using T C-le C-init by (auto
    simp: state-eq-def Un-Diff state-eqNOT-def raw-clauses-def ac-simps
    simp del: state-simp)
then show ?case using cdclNOT-merged-bj-learn-forgetNOT by blast
next
case (fw-conflict S T U) note confl = this(1) and bj = this(2) and inv = this(3)
obtain CS CT where
  confl-T: raw-conflicting T = Some CT and
  CT: mset-cls CT = mset-cls CS and
  CS: CS !∈! raw-clauses S and
  tr-S-CS: trail S ⊨as CNot (mset-cls CS)
  using confl by (elim conflictE) (auto simp del: state-simp simp: state-eq-def)

```

```

have cdclW-all-struct-inv T
  using cdclW.simps cdclW-all-struct-inv-inv confl inv by blast
then have cdclW-M-level-inv T
  unfolding cdclW-all-struct-inv-def by auto
then consider
  (no-bt) skip-or-resolve** T U
| (bt) T' where skip-or-resolve** T T' and backtrack T' U
  using bj rtrancpl-cdclW-bj-skip-or-resolve-backtrack unfolding full-def by meson
then show ?case
proof cases
case no-bt
  then have conflicting U ≠ None
    using confl by (induction rule: rtrancpl-induct)
    (auto simp del: state-simp simp: skip-or-resolve.simps state-eq-def elim!: rulesE)
  moreover then have no-step cdclW-merge U
    by (auto simp: cdclW-merge.simps elim: rulesE)
  ultimately show ?thesis by blast
next
case bt note s-or-r = this(1) and bt = this(2)
have cdclW** T T'
  using s-or-r mono-rtrancpl[of skip-or-resolve cdclW] rtrancpl-skip-or-resolve-rtrancpl-cdclW
  by blast
then have cdclW-M-level-inv T'
  using rtrancpl-cdclW-consistent-inv ⟨cdclW-M-level-inv T'⟩ by blast
then obtain M1 M2 i D L K where
  confl-T': raw-conflicting T' = Some D and
  LD: L ∈ # mset-ccls D and
  M1-M2: (Marked K (i+1) # M1, M2) ∈ set (get-all-marked-decomposition (trail T')) and
  get-level (trail T') L = backtrack-lvl T' and
  get-level (trail T') L = get-maximum-level (trail T') (mset-ccls D) and
  get-maximum-level (trail T') (mset-ccls (remove-clit L D)) = i and
  undef-L: undefined-lit M1 L and
  U: U ∼ cons-trail (Propagated L (cls-of-ccls D))
  (reduce-trail-to M1
    (add-learned-cls (cls-of-ccls D)
      (update-backtrack-lvl i
        (update-conflicting None T')))))
  using bt by (auto elim: backtrack-levE)
have [simp]: clauses S = clauses T
  using confl by (auto elim: rulesE)
have [simp]: clauses T = clauses T'
  using s-or-r
proof (induction)
case base
  then show ?case by simp
next
case (step U V) note st = this(1) and s-o-r = this(2) and IH = this(3)
  have clauses U = clauses V
    using s-o-r by (auto simp: skip-or-resolve.simps elim: rulesE)
  then show ?case using IH by auto
qed
have inv-T: cdclW-all-struct-inv T
  by (meson cdclW-cp.simps confl inv r-into-rtrancpl rtrancpl-cdclW-all-struct-inv-inv
    rtrancpl-cdclW-cp-rtrancpl-cdclW)
have cdclW** T T'

```

```

    using rtrancpl-skip-or-resolve-rtrancpl-cdclW s-or-r by blast
have inv-T': cdclW-all-struct-inv T'
    using ⟨cdclW** T T'⟩ inv-T rtrancpl-cdclW-all-struct-inv-inv by blast
have inv-U: cdclW-all-struct-inv U
    using cdclW-merge-restart-cdclW confl fw-r-conflict inv local.bj
    rtrancpl-cdclW-all-struct-inv-inv by blast

have [simp]: init-clss S = init-clss T'
    using ⟨cdclW** T T'⟩ cdclW-init-clss confl cdclW-all-struct-inv-def conflict inv
    by (metis ⟨cdclW-M-level-inv T⟩ rtrancpl-cdclW-init-clss)
then have atm-L: atm-of L ∈ atms-of-mm (clauses S)
    using inv-T' confl-T' LD unfolding cdclW-all-struct-inv-def no-strange-atm-def
    raw-clauses-def
    by (simp add: atms-of-def image-subset-iff)
obtain M where tr-T: trail T = M @ trail T'
    using s-or-r skip-or-resolve-state-change by meson
obtain M' where
    tr-T': trail T' = M' @ Marked K (i+1) # tl (trail U) and
    tr-U: trail U = Propagated L (mset-ccls D) # tl (trail U)
    using U M1-M2 undef-L inv-T' unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
    by fastforce
def M'' ≡ M @ M'
have tr-T: trail S = M'' @ Marked K (i+1) # tl (trail U)
    using tr-T tr-T' confl unfolding M''-def by (auto elim: rulesE)
have init-clss T' + learned-clss S ⊨pm mset-ccls D
    using inv-T' confl-T' unfolding cdclW-all-struct-inv-def cdclW-learned-clause-def
    raw-clauses-def by simp
have reduce-trail-to (convert-trail-from-NOT (convert-trail-from-W M1)) S =
    reduce-trail-to M1 S
    by (rule reduce-trail-to-length) simp
moreover have trail (reduce-trail-to M1 S) = M1
    apply (rule reduce-trail-to-skip-beginning[of - M @ - @ M2 @ [Marked K (Suc i)]])
    using confl M1-M2 ⟨trail T = M @ trail T'⟩
    apply (auto dest!: get-all-marked-decomposition-exists-prepend
        elim!: conflictE)
    by (rule sym) auto
ultimately have [simp]: trail (reduce-trail-toNOT M1 S) = M1
    using M1-M2 confl by (subst reduce-trail-toNOT-reduce-trail-convert)
    (auto simp: comp-def elim: rulesE)
have every-mark-is-a-conflict U
    using inv-U unfolding cdclW-all-struct-inv-def cdclW-conflicting-def by simp
then have U-D: tl (trail U) ⊨as CNot (remove1-mset L (mset-ccls D))
    by (metis append-self-conv2 tr-U)
thm backjump-l[of - - - - L cls-of-ccls D - remove1-mset L (mset-ccls D)]
have backjump-l S U
    apply (rule backjump-l[of - - - - L cls-of-ccls D - remove1-mset L (mset-ccls D)])
    using tr-T apply simp
    using inv unfolding cdclW-all-struct-inv-def cdclW-M-level-inv-def
    apply (simp add: comp-def)
    using U M1-M2 confl undef-L M1-M2 inv-T' inv undef-L unfolding cdclW-all-struct-inv-def
    cdclW-M-level-inv-def apply (auto simp: state-eqNOT-def
        trail-reduce-trail-toNOT-add-learned-cls)[]
    using CS apply auto[]
    using tr-S-CS apply simp

```

using U *undef-L M1-M2 inv-T' inv* **unfolding** *cdcl_W-all-struct-inv-def*
cdcl_W-M-level-inv-def **apply** *auto[]*
using *undef-L atm-L* **apply** (*simp add: trail-reduce-trail-to_{NOT}-add-learned-cls*)
using (*init-clss T' + learned-clss S \models_{pm} mset-clls D*) *LD* **unfolding** *raw-clauses-def*
apply *simp*
using *LD* **apply** *simp*
apply (*metis U-D convert-trail-from-W-true-annots*)
using *inv-T' inv-U U confl-T' undef-L M1-M2 LD* **unfolding** *cdcl_W-all-struct-inv-def*
distinct-cdcl_W-state-def **by** (*simp add: cdcl_W-M-level-inv-decomp backjump-l-cond-def*)
then show *?thesis* **using** *cdcl_{NOT}-merged-bj-learn-backjump-l* **by** *fast*
qed
qed

abbreviation *cdcl_{NOT}-restart* **where**

cdcl_{NOT}-restart \equiv *restart-ops.cdcl_{NOT}-raw-restart cdcl_{NOT} restart*

lemma *cdcl_W-merge-restart-is-cdcl_{NOT}-merged-bj-learn-restart-no-step*:

assumes

inv: cdcl_W-all-struct-inv S **and**

cdcl_W:cdcl_W-merge-restart S T

shows *cdcl_{NOT}-restart** S T \vee (no-step cdcl_W-merge T \wedge conflicting T \neq None)*

proof –

consider

(*fw*) *cdcl_W-merge S T*

| (*fw-r*) *restart S T*

using *cdcl_W* **by** (*meson cdcl_W-merge-restart.simps cdcl_W-rf.cases fw-conflict fw-decide fw-forget*
fw-propagate)

then show *?thesis*

proof *cases*

case *fw*

then have *IH: cdcl_{NOT}-merged-bj-learn S T \vee (no-step cdcl_W-merge T \wedge conflicting T \neq None)*

using *inv cdcl_W-merge-is-cdcl_{NOT}-merged-bj-learn* **by** *blast*

have *invS: inv_{NOT} S*

using *inv* **unfolding** *cdcl_W-all-struct-inv-def cdcl_W-M-level-inv-def* **by** *auto*

have *ff2: cdcl_{NOT}⁺⁺ S T \longrightarrow cdcl_{NOT}^{**} S T*

by (*meson tranclp-into-rtranclp*)

have *ff3: no-dup (convert-trail-from-W (trail S))*

using *invS* **by** (*simp add: comp-def*)

have *cdcl_{NOT} \leq cdcl_{NOT}-restart*

by (*auto simp: restart-ops.cdcl_{NOT}-raw-restart.simps*)

then show *?thesis*

using *ff3 ff2 IH cdcl_{NOT}-merged-bj-learn-is-tranclp-cdcl_{NOT}*

rtranclp-mono[of cdcl_{NOT} cdcl_{NOT}-restart] invS predicate2D **by** *blast*

next

case *fw-r*

then show *?thesis* **by** (*blast intro: restart-ops.cdcl_{NOT}-raw-restart.intros*)

qed

qed

abbreviation $\mu_{FW} :: 'st \Rightarrow nat$ **where**

$\mu_{FW} S \equiv$ (*if no-step cdcl_W-merge S then 0 else $1 + \mu_{CDCL}$ -merged (set-mset (init-clss S)) S*)

lemma *cdcl_W-merge- μ_{FW} -decreasing*:

assumes

inv: cdcl_W-all-struct-inv S **and**


```

  fw: cdclW-merge S T
shows  $\mu_{FW} T < \mu_{FW} S$ 
proof -
  let ?A = init-clss S
  have atm-clauses: atms-of-mm (clauses S)  $\subseteq$  atms-of-mm ?A
    using inv unfolding cdclW-all-struct-inv-def no-strange-atm-def raw-clauses-def by auto
  have atm-trail: atm-of ' lits-of-l (trail S)  $\subseteq$  atms-of-mm ?A
    using inv unfolding cdclW-all-struct-inv-def no-strange-atm-def raw-clauses-def by auto
  have n-d: no-dup (trail S)
    using inv unfolding cdclW-all-struct-inv-def by (auto simp: cdclW-M-level-inv-decomp)
  have [simp]:  $\neg$  no-step cdclW-merge S
    using fw by auto
  have [simp]: init-clss S = init-clss T
    using cdclW-merge-restart-cdclW[of S T] inv rtranclp-cdclW-init-clss
    unfolding cdclW-all-struct-inv-def
    by (meson cdclW-merge.simps cdclW-merge-restart.simps cdclW-rf.simps fw)
  consider
    (merged) cdclNOT-merged-bj-learn S T
  | (n-s) no-step cdclW-merge T
    using cdclW-merge-is-cdclNOT-merged-bj-learn inv fw by blast
  then show ?thesis
  proof cases
    case merged
    then show ?thesis
      using cdclNOT-decreasing-measure'[OF - - atm-clauses, of T] atm-trail n-d
      by (auto split: if-split simp: comp-def image-image lits-of-def)
    next
    case n-s
    then show ?thesis by simp
  qed
qed

lemma wf-cdclW-merge: wf {(T, S). cdclW-all-struct-inv S  $\wedge$  cdclW-merge S T}
  apply (rule wfP-if-measure[of - -  $\mu_{FW}$ ])
  using cdclW-merge- $\mu_{FW}$ -decreasing by blast

sublocale conflict-driven-clause-learningW-termination
  by unfold-locales (simp add: wf-cdclW-merge)

lemma full-cdclW-s'-full-cdclW-merge-restart:
  assumes
    conflicting R = None and
    inv: cdclW-all-struct-inv R
  shows full cdclW-s' R V  $\longleftrightarrow$  full cdclW-merge-stgy R V (is ?s'  $\longleftrightarrow$  ?fw)
proof
  assume ?s'
  then have cdclW-s*** R V unfolding full-def by blast
  have cdclW-all-struct-inv V
    using  $\langle$ cdclW-s*** R V $\rangle$  inv rtranclp-cdclW-all-struct-inv-inv rtranclp-cdclW-s'-rtranclp-cdclW
    by blast
  then have n-s: no-step cdclW-merge-stgy V
    using no-step-cdclW-s'-no-step-cdclW-merge-stgy by (meson  $\langle$ full cdclW-s' R V $\rangle$  full-def)
  have n-s-bj: no-step cdclW-bj V
    by (metis  $\langle$ cdclW-all-struct-inv V $\rangle$   $\langle$ full cdclW-s' R V $\rangle$  bj full-def
      n-step-cdclW-stgy-iff-no-step-cdclW-cl-cdclW-o)

```

```

have n-s-cp: no-step cdclW-merge-cp V
proof -
  { fix ss :: 'st
    obtain ssa :: 'st  $\Rightarrow$  'st where
      ff1:  $\forall s. \neg \text{cdcl}_W\text{-all-struct-inv } s \vee \text{cdcl}_W\text{-s'-without-decide } s \text{ (ssa } s)$ 
         $\vee \text{no-step cdcl}_W\text{-merge-cp } s$ 
      using conflicting-true-no-step-s'-without-decide-no-step-cdclW-merge-cp by moura
    have ( $\forall p \ s \ sa. \neg \text{full } p \ (s::'st) \ sa \vee p^{**} \ s \ sa \wedge \text{no-step } p \ sa$ ) and
      ( $\forall p \ s \ sa. (\neg p^{**} \ (s::'st) \ sa \vee (\exists s. p \ sa \ s)) \vee \text{full } p \ s \ sa$ )
      by (meson full-def)+
    then have  $\neg \text{cdcl}_W\text{-merge-cp } V \ ss$ 
      using ff1 by (metis (no-types)  $\langle \text{cdcl}_W\text{-all-struct-inv } V \rangle \langle \text{full cdcl}_W\text{-s'} \ R \ V \rangle \text{cdcl}_W\text{-s'.simps}$ 
         $\text{cdcl}_W\text{-s'-without-decide.cases}$ ) }
    then show ?thesis
      by blast
  qed
consider
  (fw-no-confl) cdclW-merge-stgy** R V and conflicting V = None
| (fw-confl) cdclW-merge-stgy** R V and conflicting V  $\neq$  None and no-step cdclW-bj V
| (fw-dec-confl) S T U where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
  decide S T and cdclW-merge-cp** T U and conflict U V
| (fw-dec-no-confl) S T where cdclW-merge-stgy** R S and no-step cdclW-merge-cp S and
  decide S T and cdclW-merge-cp** T V and conflicting V = None
| (cp-no-confl) cdclW-merge-cp** R V and conflicting V = None
| (cp-confl) U where cdclW-merge-cp** R U and conflict U V
using rtranclp-cdclW-s'-no-step-cdclW-s'-without-decide-decomp-into-cdclW-merge[OF
   $\langle \text{cdcl}_W\text{-s'}^{**} \ R \ V \rangle \text{assms}]$  by auto
then show ?fw
proof cases
  case fw-no-confl
  then show ?thesis using n-s unfolding full-def by blast
next
  case fw-confl
  then show ?thesis using n-s unfolding full-def by blast
next
  case fw-dec-confl
  have cdclW-merge-cp U V
  using n-s-bj by (metis cdclW-merge-cp.simps full-unfold fw-dec-confl(5))
  then have full1 cdclW-merge-cp T V
  unfolding full1-def by (metis fw-dec-confl(4) n-s-cp tranclp-unfold-end)
  then have cdclW-merge-stgy S V using  $\langle \text{decide } S \ T \rangle \langle \text{no-step cdcl}_W\text{-merge-cp } S \rangle$  by auto
  then show ?thesis using n-s  $\langle \text{cdcl}_W\text{-merge-stgy}^{**} \ R \ S \rangle$  unfolding full-def by auto
next
  case fw-dec-no-confl
  then have full cdclW-merge-cp T V
  using n-s-cp unfolding full-def by blast
  then have cdclW-merge-stgy S V using  $\langle \text{decide } S \ T \rangle \langle \text{no-step cdcl}_W\text{-merge-cp } S \rangle$  by auto
  then show ?thesis using n-s  $\langle \text{cdcl}_W\text{-merge-stgy}^{**} \ R \ S \rangle$  unfolding full-def by auto
next
  case cp-no-confl
  then have full cdclW-merge-cp R V
  by (simp add: full-def n-s-cp)
  then have R = V  $\vee \text{cdcl}_W\text{-merge-stgy}^{++} \ R \ V$ 
  using fw-s-cp unfolding full-unfold fw-s-cp
  by (metis (no-types) rtranclp-unfold tranclp-unfold-end)

```

```

    then show ?thesis
      by (simp add: full-def n-s rtrancp-unfold)
  next
    case cp-confl
    have full cdclW-bj V V
      using n-s-bj unfolding full-def by blast
    then have full1 cdclW-merge-cp R V
      unfolding full1-def by (meson cdclW-merge-cp.conflict' cp-confl(1,2) n-s-cp
        rtrancp-into-trancp1)
    then show ?thesis using n-s unfolding full-def by auto
  qed
next
assume ?fw
then have cdclW** R V using rtrancp-mono[of cdclW-merge-stgy cdclW**]
  cdclW-merge-stgy-rtrancp-cdclW unfolding full-def by auto
then have inv': cdclW-all-struct-inv V using inv rtrancp-cdclW-all-struct-inv-inv by blast
have cdclW-s'** R V
  using ⟨?fw⟩ by (simp add: full-def inv rtrancp-cdclW-merge-stgy-rtrancp-cdclW-s')
moreover have no-step cdclW-s' V
proof cases
  assume conflicting V = None
  then show ?thesis
    by (metis inv' ⟨full cdclW-merge-stgy R V⟩ full-def
      no-step-cdclW-merge-stgy-no-step-cdclW-s')
  next
    assume confl-V: conflicting V ≠ None
    then have no-step cdclW-bj V
      using rtrancp-cdclW-merge-stgy-no-step-cdclW-bj by (meson ⟨full cdclW-merge-stgy R V⟩
        assms(1) full-def)
    then show ?thesis using confl-V by (fastforce simp: cdclW-s'.simps full1-def cdclW-cp.simps
      dest!: trancpD elim: rulesE)
  qed
ultimately show ?s' unfolding full-def by blast
qed

lemma full-cdclW-stgy-full-cdclW-merge:
  assumes
    conflicting R = None and
    inv: cdclW-all-struct-inv R
  shows full cdclW-stgy R V  $\longleftrightarrow$  full cdclW-merge-stgy R V
  by (simp add: assms(1) full-cdclW-s'-full-cdclW-merge-restart full-cdclW-stgy-iff-full-cdclW-s'
    inv)

lemma full-cdclW-merge-stgy-final-state-conclusive':
  fixes S' :: 'st
  assumes full: full cdclW-merge-stgy (init-state N) S'
  and no-d: distinct-mset-mset (mset-cls N)
  shows (conflicting S' = Some {#}  $\wedge$  unsatisfiable (set-mset (mset-cls N)))
     $\vee$  (conflicting S' = None  $\wedge$  trail S'  $\models$  asm mset-cls N  $\wedge$  satisfiable (set-mset (mset-cls N)))
proof -
  have cdclW-all-struct-inv (init-state N)
    using no-d unfolding cdclW-all-struct-inv-def by auto
  moreover have conflicting (init-state N) = None
    by auto
  ultimately show ?thesis

```

```

using full full-cdclW-stgy-final-state-conclusive-from-init-state
full-cdclW-stgy-full-cdclW-merge no-d by presburger
qed
end

end
theory Weidenbach-Book
imports
  Prop-Normalisation

  Prop-Resolution

  Prop-Superposition

  CDCL-NOT DPLL-NOT DPLL-W-Implementation CDCL-W-Implementation CDCL-W-Incremental
  CDCL-WNOT

begin

end

```