

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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<b>theory</b>	<i>Prop-Resolution</i>	
<b>imports</b>	<i>Partial-Clausal-Logic List-More Wellfounded-More</i>	
<b>begin</b>		



# Chapter 1

## Resolution-based techniques

This chapter contains the formalisation of resolution and superposition.

### 1.1 Resolution

#### 1.1.1 Simplification Rules

**inductive** *simplify* :: 'v clauses  $\Rightarrow$  'v clauses  $\Rightarrow$  bool **for**  $N ::$  'v clause set **where**

*tautology-deletion*:

$A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \} \in N \implies simplify\ N\ (N - \{A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}\})$

*condensation*:

$A + \{\#L\# \} + \{\#L\# \} \in N \implies simplify\ N\ (N - \{A + \{\#L\# \} + \{\#L\# \}\} \cup \{A + \{\#L\# \}\})$

*subsumption*:

$A \in N \implies A \subset\# B \implies B \in N \implies simplify\ N\ (N - \{B\})$

**lemma** *simplify-preserves-un-sat'*:

**fixes**  $N\ N' ::$  'v clauses

**assumes** *simplify*  $N\ N'$

**and** *total-over-m*  $I\ N$

**shows**  $I \models_s N' \longrightarrow I \models_s N$

**using** *assms*

**proof** (*induct rule: simplify.induct*)

**case** (*tautology-deletion*  $A\ P$ )

**then have**  $I \models A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}$

**by** (*metis total-over-m-def total-over-set-literal-defined true-clss-singleton true-clss-union true-lit-def uminus-Neg union-commute*)

**then show** *?case* **by** (*metis Un-Diff-cancel2 true-clss-singleton true-clss-union*)

**next**

**case** (*condensation*  $A\ P$ )

**then show** *?case* **by** (*metis Diff-insert-absorb Set.set-insert insertE true-clss-union true-clss-def true-clss-singleton true-clss-union*)

**next**

**case** (*subsumption*  $A\ B$ )

**have**  $A \neq B$  **using** *subsumption.hyps(2)* **by** *auto*

**then have**  $I \models_s N - \{B\} \implies I \models A$  **using**  $\langle A \in N \rangle$  **by** (*simp add: true-clss-def*)

**moreover have**  $I \models A \implies I \models B$  **using**  $\langle A \subset\# B \rangle$  **by** *auto*

**ultimately show** *?case* **by** (*metis insert-Diff-single true-clss-insert*)

**qed**

**lemma** *simplify-preserves-un-sat*:

**fixes**  $N\ N' ::$  'v clauses

```

assumes simplify  $N\ N'$ 
and total-over-m  $I\ N$ 
shows  $I \models_s N \longrightarrow I \models_s N'$ 
using assms apply (induct rule: simplify.induct)
using true-clss-def by fastforce+
```

**lemma** *simplify-preserves-un-sat''*:

```

fixes  $N\ N' :: 'v\ clauses$ 
assumes simplify  $N\ N'$ 
and total-over-m  $I\ N'$ 
shows  $I \models_s N \longrightarrow I \models_s N'$ 
using assms apply (induct rule: simplify.induct)
using true-clss-def by fastforce+
```

**lemma** *simplify-preserves-un-sat-eq*:

```

fixes  $N\ N' :: 'v\ clauses$ 
assumes simplify  $N\ N'$ 
and total-over-m  $I\ N$ 
shows  $I \models_s N \longleftrightarrow I \models_s N'$ 
using simplify-preserves-un-sat simplify-preserves-un-sat' assms by blast
```

**lemma** *simplify-preserves-finite*:

```

assumes simplify  $\psi\ \psi'$ 
shows finite  $\psi \longleftrightarrow \text{finite } \psi'$ 
using assms by (induct rule: simplify.induct, auto simp add: remove-def)
```

**lemma** *rtranclp-simplify-preserves-finite*:

```

assumes rtranclp simplify  $\psi\ \psi'$ 
shows finite  $\psi \longleftrightarrow \text{finite } \psi'$ 
using assms by (induct rule: rtranclp-induct) (auto simp add: simplify-preserves-finite)
```

**lemma** *simplify-atms-of-ms*:

```

assumes simplify  $\psi\ \psi'$ 
shows atms-of-ms  $\psi' \subseteq \text{atms-of-ms } \psi$ 
using assms unfolding atms-of-ms-def
proof (induct rule: simplify.induct)
  case (tautology-deletion  $A\ P$ )
  then show ?case by auto
next
  case (condensation  $A\ P$ )
  moreover have  $A + \{\#P\} + \{\#P\} \in \psi \implies \exists x \in \psi. \text{atm-of } P \in \text{atm-of 'set-mset } x$ 
    by (metis Un-iff atms-of-def atms-of-plus atms-of-singleton insert-iff)
  ultimately show ?case by (auto simp add: atms-of-def)
next
  case (subsumption  $A\ P$ )
  then show ?case by auto
qed
```

**lemma** *rtranclp-simplify-atms-of-ms*:

```

assumes rtranclp simplify  $\psi\ \psi'$ 
shows atms-of-ms  $\psi' \subseteq \text{atms-of-ms } \psi$ 
using assms apply (induct rule: rtranclp-induct)
  apply (fastforce intro: simplify-atms-of-ms)
using simplify-atms-of-ms by blast
```

**lemma** *factoring-imp-simplify*:

**assumes**  $\{\#L\# \} + \{\#L\# \} + C \in N$   
**shows**  $\exists N'. \text{ simplify } N N'$   
**proof** –  
**have**  $C + \{\#L\# \} + \{\#L\# \} \in N$  **using** *assms* **by** (*simp add: add.commute union-lcomm*)  
**from** *condensation[OF this]* **show** *?thesis* **by** *blast*  
**qed**

### 1.1.2 Unconstrained Resolution

**type-synonym** *'v uncon-state* = *'v clauses*

**inductive** *uncon-res* :: *'v uncon-state*  $\Rightarrow$  *'v uncon-state*  $\Rightarrow$  *bool* **where**

*resolution*:

$\{\#Pos\ p\# \} + C \in N \implies \{\#Neg\ p\# \} + D \in N \implies (\{\#Pos\ p\# \} + C, \{\#Neg\ p\# \} + D) \notin$   
*already-used*

$\implies \text{uncon-res } (N) (N \cup \{C + D\}) \mid$

*factoring*:  $\{\#L\# \} + \{\#L\# \} + C \in N \implies \text{uncon-res } N (N \cup \{C + \{\#L\# \}\})$

**lemma** *uncon-res-increasing*:

**assumes** *uncon-res* *S S'* **and**  $\psi \in S$

**shows**  $\psi \in S'$

**using** *assms* **by** (*induct rule: uncon-res.induct*) *auto*

**lemma** *rtrancpl-uncon-inference-increasing*:

**assumes** *rtrancpl uncon-res* *S S'* **and**  $\psi \in S$

**shows**  $\psi \in S'$

**using** *assms* **by** (*induct rule: rtrancpl-induct*) (*auto simp add: uncon-res-increasing*)

### Subsumption

**definition** *subsumes* :: *'a literal multiset*  $\Rightarrow$  *'a literal multiset*  $\Rightarrow$  *bool* **where**

*subsumes*  $\chi \chi' \longleftrightarrow$

$(\forall I. \text{total-over-m } I \ \{\chi'\} \longrightarrow \text{total-over-m } I \ \{\chi\})$

$\wedge (\forall I. \text{total-over-m } I \ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models \chi')$

**lemma** *subsumes-refl[simp]*:

*subsumes*  $\chi \chi$

**unfolding** *subsumes-def* **by** *auto*

**lemma** *subsumes-subsumption*:

**assumes** *subsumes* *D*  $\chi$

**and**  $C \subset\# D$  **and**  $\neg \text{tautology } \chi$

**shows** *subsumes* *C*  $\chi$  **unfolding** *subsumes-def*

**using** *assms* *subsumption-total-over-m* *subsumption-chained* **unfolding** *subsumes-def*

**by** (*blast intro!: subset-mset.less-imp-le*)

**lemma** *subsumes-tautology*:

**assumes** *subsumes*  $(C + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}) \chi$

**shows** *tautology*  $\chi$

**using** *assms* **unfolding** *subsumes-def* **by** (*simp add: tautology-def*)

### 1.1.3 Inference Rule

**type-synonym** *'v state* = *'v clauses*  $\times$  (*'v clause*  $\times$  *'v clause*) *set*

**inductive** *inference-clause* :: *'v state*  $\Rightarrow$  *'v clause*  $\times$  (*'v clause*  $\times$  *'v clause*) *set*  $\Rightarrow$  *bool*

(*infix*  $\Rightarrow_{\text{Res}}$  100) **where**

*resolution:*

$\{\#Pos\ p\#\} + C \in N \implies \{\#Neg\ p\#\} + D \in N \implies (\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D) \notin$   
*already-used*  
 $\implies \text{inference-clause } (N, \text{already-used}) (C + D, \text{already-used} \cup \{(\{\#Pos\ p\#\} + C, \{\#Neg\ p\#\} + D)\}) \mid$   
*factoring:*  $\{\#L\#\} + \{\#L\#\} + C \in N \implies \text{inference-clause } (N, \text{already-used}) (C + \{\#L\#\}, \text{already-used})$

**inductive** *inference* :: 'v state  $\Rightarrow$  'v state  $\Rightarrow$  bool **where**  
*inference-step:* *inference-clause* *S* (*clause*, *already-used*)  
 $\implies \text{inference } S (\text{fst } S \cup \{\text{clause}\}, \text{already-used})$

**abbreviation** *already-used-inv*

:: 'a literal multiset set  $\times$  ('a literal multiset  $\times$  'a literal multiset) set  $\Rightarrow$  bool **where**  
*already-used-inv* state  $\equiv$   
 $(\forall (A, B) \in \text{snd state}. \exists p. Pos\ p \in\# A \wedge Neg\ p \in\# B \wedge$   
 $((\exists \chi \in \text{fst state}. \text{subsumes } \chi ((A - \{\#Pos\ p\#\}) + (B - \{\#Neg\ p\#\})))$   
 $\vee \text{tautology } ((A - \{\#Pos\ p\#\}) + (B - \{\#Neg\ p\#\}))))$

**lemma** *inference-clause-preserves-already-used-inv:*

**assumes** *inference-clause* *S S'*  
**and** *already-used-inv* *S*  
**shows** *already-used-inv* (*fst* *S*  $\cup$  {*fst* *S'*}, *snd* *S'*)  
**using** *assms* **apply** (*induct* rule: *inference-clause.induct*)  
**by** *fastforce*+

**lemma** *inference-preserves-already-used-inv:*

**assumes** *inference* *S S'*  
**and** *already-used-inv* *S*  
**shows** *already-used-inv* *S'*  
**using** *assms*

**proof** (*induct* rule: *inference.induct*)

**case** (*inference-step* *S* *clause* *already-used*)

**then show** ?*case*

**using** *inference-clause-preserves-already-used-inv*[of *S* (*clause*, *already-used*)] **by** *simp*

**qed**

**lemma** *rtranclp-inference-preserves-already-used-inv:*

**assumes** *rtranclp inference* *S S'*  
**and** *already-used-inv* *S*  
**shows** *already-used-inv* *S'*  
**using** *assms* **apply** (*induct* rule: *rtranclp-induct*, *simp*)  
**using** *inference-preserves-already-used-inv* **unfolding** *tautology-def* **by** *fast*

**lemma** *subsumes-condensation:*

**assumes** *subsumes* (*C* + {*#L*#} + {*#L*#}) *D*  
**shows** *subsumes* (*C* + {*#L*#}) *D*  
**using** *assms* **unfolding** *subsumes-def* **by** *simp*

**lemma** *simplify-preserves-already-used-inv:*

**assumes** *simplify* *N N'*  
**and** *already-used-inv* (*N*, *already-used*)  
**shows** *already-used-inv* (*N'*, *already-used*)  
**using** *assms*

**proof** (*induct* rule: *simplify.induct*)

**case** (*condensation* *C L*)



```

then show ?case
  using subsumes-condensation by simp fast
next
{
  fix a:: 'a and A :: 'a set and P
  have  $(\exists x \in \text{Set.remove } a \ A. P \ x) \longleftrightarrow (\exists x \in A. x \neq a \wedge P \ x)$  by auto
} note ex-member-remove = this
{
  fix a a0 :: 'v clause and A :: 'v clauses and y
  assume  $a \in A$  and  $a0 \subset\# a$ 
  then have  $(\exists x \in A. \text{subsumes } x \ y) \longleftrightarrow (\text{subsumes } a \ y \vee (\exists x \in A. x \neq a \wedge \text{subsumes } x \ y))$ 
    by auto
} note tt2 = this
case (subsumption A B) note A = this(1) and AB = this(2) and B = this(3) and inv = this(4)
show ?case
proof (standard, standard)
  fix x a b
  assume  $x: x \in \text{snd } (N - \{B\}, \text{already-used})$  and [simp]:  $x = (a, b)$ 
  obtain p where  $p: \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$  and
     $q: (\exists \chi \in N. \text{subsumes } \chi (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\})))$ 
     $\vee \text{tautology } (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\}))$ 
  using inv x by fastforce
  consider (taut)  $\text{tautology } (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\})) \mid$ 
     $(\chi) \chi$  where  $\chi \in N$   $\text{subsumes } \chi (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\}))$ 
     $\neg \text{tautology } (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\}))$ 
  using q by auto
  then show
     $\exists p. \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$ 
     $\wedge ((\exists \chi \in \text{fst } (N - \{B\}, \text{already-used}). \text{subsumes } \chi (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\})))$ 
     $\vee \text{tautology } (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\})))$ 
  proof cases
    case taut
      then show ?thesis using p by auto
  next
    case  $\chi$  note H = this
      show ?thesis using p A AB B subsumes-subsumption[OF - AB H(3)] H(1,2) by auto
  qed
qed
next
case (tautology-deletion C P)
then show ?case apply clarify
proof -
  fix a b
  assume  $C + \{\# \text{Pos } P\} + \{\# \text{Neg } P\} \in N$ 
  assume  $\text{already-used-inv } (N, \text{already-used})$ 
  and  $(a, b) \in \text{snd } (N - \{C + \{\# \text{Pos } P\} + \{\# \text{Neg } P\}\}, \text{already-used})$ 
  then obtain p where
     $\text{Pos } p \in\# a \wedge \text{Neg } p \in\# b \wedge$ 
     $((\exists \chi \in \text{fst } (N \cup \{C + \{\# \text{Pos } P\} + \{\# \text{Neg } P\}\}, \text{already-used}).$ 
     $\text{subsumes } \chi (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\})))$ 
     $\vee \text{tautology } (a - \{\# \text{Pos } p\} + (b - \{\# \text{Neg } p\})))$ 
  by fastforce
  moreover have  $\text{tautology } (C + \{\# \text{Pos } P\} + \{\# \text{Neg } P\})$  by auto
  ultimately show
     $\exists p. \text{Pos } p \in\# a \wedge \text{Neg } p \in\# b$ 
     $\wedge ((\exists \chi \in \text{fst } (N - \{C + \{\# \text{Pos } P\} + \{\# \text{Neg } P\}\}, \text{already-used}).$ 

```

$$\text{subsumes } \chi (a - \{\#Pos\ p\# \} + (b - \{\#Neg\ p\# \}))$$

$$\vee \text{tautology } (a - \{\#Pos\ p\# \} + (b - \{\#Neg\ p\# \}))$$
**by** (*metis* (*no-types*) *Diff-iff* *Un-insert-right* *empty-iff* *fst-conv* *insertE* *subsumes-tautology* *sup-bot.right-neutral*)

qed

qed

**lemma**

*factoring-satisfiable*:  $I \models \{\#L\# \} + \{\#L\# \} + C \longleftrightarrow I \models \{\#L\# \} + C$  **and**

*resolution-satisfiable*:

*consistent-interp*  $I \implies I \models \{\#Pos\ p\# \} + C \implies I \models \{\#Neg\ p\# \} + D \implies I \models C + D$  **and**

*factoring-same-vars*:  $\text{atms-of } (\{\#L\# \} + \{\#L\# \} + C) = \text{atms-of } (\{\#L\# \} + C)$

**unfolding** *true-cls-def* *consistent-interp-def* **by** (*fastforce* *split*: *if-split-asm*) +

**lemma** *inference-increasing*:

**assumes** *inference*  $S\ S'$  **and**  $\psi \in \text{fst } S$

**shows**  $\psi \in \text{fst } S'$

**using** *assms* **by** (*induct* *rule*: *inference.induct*, *auto*)

**lemma** *rtranclp-inference-increasing*:

**assumes** *rtranclp inference*  $S\ S'$  **and**  $\psi \in \text{fst } S$

**shows**  $\psi \in \text{fst } S'$

**using** *assms* **by** (*induct* *rule*: *rtranclp-induct*, *auto* *simp* *add*: *inference-increasing*)

**lemma** *inference-clause-already-used-increasing*:

**assumes** *inference-clause*  $S\ S'$

**shows**  $\text{snd } S \subseteq \text{snd } S'$

**using** *assms* **by** (*induct* *rule*: *inference-clause.induct*, *auto*)

**lemma** *inference-already-used-increasing*:

**assumes** *inference*  $S\ S'$

**shows**  $\text{snd } S \subseteq \text{snd } S'$

**using** *assms* **apply** (*induct* *rule*: *inference.induct*)

**using** *inference-clause-already-used-increasing* **by** *fastforce*

**lemma** *inference-clause-preserves-un-sat*:

**fixes**  $N\ N' :: 'v\ \text{clauses}$

**assumes** *inference-clause*  $T\ T'$

**and** *total-over-m*  $I\ (\text{fst } T)$

**and** *consistent*: *consistent-interp*  $I$

**shows**  $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T \cup \{\text{fst } T'\}$

**using** *assms* **apply** (*induct* *rule*: *inference-clause.induct*)

**unfolding** *consistent-interp-def* *true-clss-def* **by** *auto* *force* +

**lemma** *inference-preserves-un-sat*:

**fixes**  $N\ N' :: 'v\ \text{clauses}$

**assumes** *inference*  $T\ T'$

**and** *total-over-m*  $I\ (\text{fst } T)$

**and** *consistent*: *consistent-interp*  $I$

**shows**  $I \models_s \text{fst } T \longleftrightarrow I \models_s \text{fst } T'$

**using** *assms* **apply** (*induct* *rule*: *inference.induct*)

**using** *inference-clause-preserves-un-sat* **by** *fastforce*

**lemma** *inference-clause-preserves-atms-of-ms*:  
**assumes** *inference-clause*  $S S'$   
**shows**  $\text{atms-of-ms } (\text{fst } (S \cup \{\text{fst } S'\}, \text{snd } S')) \subseteq \text{atms-of-ms } (\text{fst } S)$   
**using** *assms* **apply** (*induct rule: inference-clause.induct*)  
**apply** *auto*  
**apply** (*metis Set.set-insert UnCI atms-of-ms-insert atms-of-plus*)  
**apply** (*metis Set.set-insert UnCI atms-of-ms-insert atms-of-plus*)  
**apply** (*simp add: in-m-in-literals union-assoc*)  
**unfolding** *atms-of-ms-def* **using** *assms* **by** *fastforce*

**lemma** *inference-preserves-atms-of-ms*:  
**fixes**  $N N' :: 'v \text{ clauses}$   
**assumes** *inference*  $T T'$   
**shows**  $\text{atms-of-ms } (\text{fst } T') \subseteq \text{atms-of-ms } (\text{fst } T)$   
**using** *assms* **apply** (*induct rule: inference.induct*)  
**using** *inference-clause-preserves-atms-of-ms* **by** *fastforce*

**lemma** *inference-preserves-total*:  
**fixes**  $N N' :: 'v \text{ clauses}$   
**assumes** *inference*  $(N, \text{already-used}) (N', \text{already-used'})$   
**shows**  $\text{total-over-m } I N \implies \text{total-over-m } I N'$   
**using** *assms* *inference-preserves-atms-of-ms* **unfolding** *total-over-m-def total-over-set-def*  
**by** *fastforce*

**lemma** *rtranclp-inference-preserves-total*:  
**assumes** *rtranclp inference*  $T T'$   
**shows**  $\text{total-over-m } I (\text{fst } T) \implies \text{total-over-m } I (\text{fst } T')$   
**using** *assms* **by** (*induct rule: rtranclp-induct, auto simp add: inference-preserves-total*)

**lemma** *rtranclp-inference-preserves-un-sat*:  
**assumes** *rtranclp inference*  $N N'$   
**and**  $\text{total-over-m } I (\text{fst } N)$   
**and** *consistent: consistent-interp*  $I$   
**shows**  $I \models_s \text{fst } N \longleftrightarrow I \models_s \text{fst } N'$   
**using** *assms* **apply** (*induct rule: rtranclp-induct*)  
**apply** (*simp add: inference-preserves-un-sat*)  
**using** *inference-preserves-un-sat rtranclp-inference-preserves-total* **by** *blast*

**lemma** *inference-preserves-finite*:  
**assumes** *inference*  $\psi \psi'$  **and** *finite*  $(\text{fst } \psi)$   
**shows** *finite*  $(\text{fst } \psi')$   
**using** *assms* **by** (*induct rule: inference.induct, auto simp add: simplify-preserves-finite*)

**lemma** *inference-clause-preserves-finite-snd*:  
**assumes** *inference-clause*  $\psi \psi'$  **and** *finite*  $(\text{snd } \psi)$   
**shows** *finite*  $(\text{snd } \psi')$   
**using** *assms* **by** (*induct rule: inference-clause.induct, auto*)

**lemma** *inference-preserves-finite-snd*:  
**assumes** *inference*  $\psi \psi'$  **and** *finite*  $(\text{snd } \psi)$   
**shows** *finite*  $(\text{snd } \psi')$   
**using** *assms* *inference-clause-preserves-finite-snd* **by** (*induct rule: inference.induct, fastforce*)

```

lemma rtrancplp-inference-preserves-finite:
  assumes rtrancplp inference  $\psi$   $\psi'$  and finite (fst  $\psi$ )
  shows finite (fst  $\psi'$ )
  using assms by (induct rule: rtrancplp-induct)
  (auto simp add: simplify-preserves-finite inference-preserves-finite)

lemma consistent-interp-insert:
  assumes consistent-interp I
  and atm-of P  $\notin$  atm-of ' I
  shows consistent-interp (insert P I)
proof -
  have P: insert P I = I  $\cup$  {P} by auto
  show ?thesis unfolding P
  apply (rule consistent-interp-disjoint)
  using assms by (auto simp: image-iff)
qed

lemma simplify-clause-preserves-sat:
  assumes simp: simplify  $\psi$   $\psi'$ 
  and satisfiable  $\psi'$ 
  shows satisfiable  $\psi$ 
  using assms
proof induction
  case (tautology-deletion A P) note AP = this(1) and sat = this(2)
  let ?A' = A + {#Pos P#} + {#Neg P#}
  let ? $\psi'$  =  $\psi$  - {?A'}
  obtain I where
    I: I  $\models$  s ? $\psi'$  and
    cons: consistent-interp I and
    tot: total-over-m I ? $\psi'$ 
  using sat unfolding satisfiable-def by auto
  { assume Pos P  $\in$  I  $\vee$  Neg P  $\in$  I
    then have I  $\models$  ?A' by auto
    then have I  $\models$  s  $\psi$  using I by (metis insert-Diff tautology-deletion.hyps true-clss-insert)
    then have ?case using cons tot by auto
  }
  moreover {
    assume Pos: Pos P  $\notin$  I and Neg: Neg P  $\notin$  I
    then have consistent-interp (I  $\cup$  {Pos P}) using cons by simp
    moreover have I'A: I  $\cup$  {Pos P}  $\models$  ?A' by auto
    have {Pos P}  $\cup$  I  $\models$  s  $\psi$  - {A + {#Pos P#} + {#Neg P#}}
      using ⟨I  $\models$  s  $\psi$  - {A + {#Pos P#} + {#Neg P#}}⟩ true-clss-union-increase' by blast
    then have I  $\cup$  {Pos P}  $\models$  s  $\psi$ 
      by (metis (no-types) Un-empty-right Un-insert-left Un-insert-right I'A insert-Diff
        sup-bot.left-neutral tautology-deletion.hyps true-clss-insert)
    ultimately have ?case using satisfiable-carac' by blast
  }
  ultimately show ?case by blast
next
  case (condensation A L) note AL = this(1) and sat = this(2)
  have f3: simplify  $\psi$  ( $\psi$  - {A + {#L#} + {#L#}}  $\cup$  {A + {#L#}})
    using AL simplify.condensation by blast
  obtain LL :: 'a literal multiset set  $\Rightarrow$  'a literal set where
    f4: LL ( $\psi$  - {A + {#L#} + {#L#}}  $\cup$  {A + {#L#}})  $\models$  s  $\psi$  - {A + {#L#} + {#L#}}  $\cup$  {A
  + {#L#}}

```

```

     $\wedge$  consistent-interp (LL ( $\psi - \{A + \{\#L\# \} + \{\#L\#\} \cup \{A + \{\#L\#\}\}$ ))
     $\wedge$  total-over-m (LL ( $\psi - \{A + \{\#L\# \} + \{\#L\#\}$ 
       $\cup \{A + \{\#L\#\}\}$ )) ( $\psi - \{A + \{\#L\# \} + \{\#L\#\} \cup \{A + \{\#L\#\}\}$ ))
    using sat by (meson satisfiable-def)
  have f5: insert ( $A + \{\#L\# \} + \{\#L\#\}$ ) ( $\psi - \{A + \{\#L\# \} + \{\#L\#\}$ ) =  $\psi$ 
    using AL by fastforce
  have atms-of ( $A + \{\#L\# \} + \{\#L\#\}$ ) = atms-of ( $\{\#L\# \} + A$ )
    by simp
  then show ?case
    using f5 f4 f3 by (metis (no-types) add.commute satisfiable-def simplify-preserves-un-sat'
      total-over-m-insert total-over-m-union)
next
case (subsumption A B) note A = this(1) and AB = this(2) and B = this(3) and sat = this(4)
let ? $\psi'$  =  $\psi - \{B\}$ 
obtain I where I:  $I \models ?\psi'$  and cons: consistent-interp I and tot: total-over-m I ? $\psi'$ 
  using sat unfolding satisfiable-def by auto
have  $I \models A$  using A I by (metis AB Diff-iff subset-mset.less-irrefl singletonD true-clss-def)
then have  $I \models B$  using AB subset-mset.less-imp-le true-clss-mono-leD by blast
then have  $I \models \psi$  using I by (metis insert-Diff-single true-clss-insert)
then show ?case using cons satisfiable-carac' by blast
qed

```

**lemma** simplify-preserves-unsat:

```

  assumes inference  $\psi \ \psi'$ 
  shows satisfiable (fst  $\psi'$ )  $\longrightarrow$  satisfiable (fst  $\psi$ )
  using assms apply (induct rule: inference.induct)
  using satisfiable-decreasing by (metis fst-conv)+

```

**lemma** inference-preserves-unsat:

```

  assumes inference** S S'
  shows satisfiable (fst S')  $\longrightarrow$  satisfiable (fst S)
  using assms apply (induct rule: rtranclp-induct)
  apply simp-all
  using simplify-preserves-unsat by blast

```

**datatype** 'v sem-tree = Node 'v 'v sem-tree 'v sem-tree | Leaf

**fun** sem-tree-size :: 'v sem-tree  $\Rightarrow$  nat **where**

```

sem-tree-size Leaf = 0 |
sem-tree-size (Node - ag ad) = 1 + sem-tree-size ag + sem-tree-size ad

```

**lemma** sem-tree-size[case-names bigger]:

```

( $\bigwedge xs:: 'v \text{ sem-tree. } (\bigwedge ys:: 'v \text{ sem-tree. } \text{sem-tree-size } ys < \text{sem-tree-size } xs \implies P \ ys) \implies P \ xs$ )
 $\implies P \ xs$ 
by (fact Nat.measure-induct-rule)

```

**fun** partial-interps :: 'v sem-tree  $\Rightarrow$  'v interp  $\Rightarrow$  'v clauses  $\Rightarrow$  bool **where**

```

partial-interps Leaf I  $\psi$  = ( $\exists \chi. \neg I \models \chi \wedge \chi \in \psi \wedge \text{total-over-m } I \ \{\chi\}$ ) |
partial-interps (Node v ag ad) I  $\psi \longleftrightarrow$ 
  (partial-interps ag ( $I \cup \{\text{Pos } v\}$ )  $\psi \wedge \text{partial-interps ad } (I \cup \{\text{Neg } v\}) \ \psi$ )

```

**lemma** simplify-preserve-partial-leaf:

```

simplify N N'  $\implies$  partial-interps Leaf I N  $\implies$  partial-interps Leaf I N'
apply (induct rule: simplify.induct)

```

```

    using union-lcomm apply auto[1]
    apply (simp, metis atms-of-plus total-over-set-union true-cls-union)
apply simp
by (metis atms-of-ms-singleton mset-le-exists-conv subset-mset-def true-cls-mono-leD
    total-over-m-def total-over-m-sum)

```

```

lemma simplify-preserve-partial-tree:
  assumes simplify  $N N'$ 
  and partial-interps  $t I N$ 
  shows partial-interps  $t I N'$ 
  using assms apply (induct  $t$  arbitrary:  $I$ , simp)
  using simplify-preserve-partial-leaf by metis

```

```

lemma inference-preserve-partial-tree:
  assumes inference  $S S'$ 
  and partial-interps  $t I$  (fst  $S$ )
  shows partial-interps  $t I$  (fst  $S'$ )
  using assms apply (induct  $t$  arbitrary:  $I$ , simp-all)
  by (meson inference-increasing)

```

```

lemma rtranclp-inference-preserve-partial-tree:
  assumes rtranclp inference  $N N'$ 
  and partial-interps  $t I$  (fst  $N$ )
  shows partial-interps  $t I$  (fst  $N'$ )
  using assms apply (induct rule: rtranclp-induct, auto)
  using inference-preserve-partial-tree by force

```

```

function build-sem-tree :: 'v :: linorder set  $\Rightarrow$  'v clauses  $\Rightarrow$  'v sem-tree where
  build-sem-tree atms  $\psi$  =
    (if atms = {}  $\vee$   $\neg$  finite atms
     then Leaf
     else Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
      (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ ))
by auto
termination
  apply (relation measure ( $\lambda(A, -). \text{card } A$ ), simp-all)
  apply (metis Min-in card-Diff1-less remove-def)+
done
declare build-sem-tree.induct[case-names tree]

```

```

lemma unsatisfiable-empty[simp]:
   $\neg$ unsatisfiable {}
  unfolding satisfiable-def apply auto
  using consistent-interp-def unfolding total-over-m-def total-over-set-def atms-of-ms-def by blast

```

```

lemma partial-interps-build-sem-tree-atms-general:
  fixes  $\psi :: 'v :: linorder \text{ clauses}$  and  $p :: 'v \text{ literal list}$ 
  assumes unsat: unsatisfiable  $\psi$  and finite  $\psi$  and consistent-interp  $I$ 
  and finite atms
  and atms-of-ms  $\psi$  = atms  $\cup$  atms-of-s  $I$  and atms  $\cap$  atms-of-s  $I$  = {}
  shows partial-interps (build-sem-tree atms  $\psi$ )  $I \psi$ 
  using assms

```

```

proof (induct arbitrary: I rule: build-sem-tree.induct)
  case (1 atms  $\psi$  Ia) note IH1 = this(1) and IH2 = this(2) and unsat = this(3) and finite = this(4)
    and cons = this(5) and f = this(6) and un = this(7) and disj = this(8)
  {
    assume atms: atms = {}
    then have atmsIa: atms-of-ms  $\psi$  = atms-of-s Ia using un by auto
    then have total-over-m Ia  $\psi$  unfolding total-over-m-def atmsIa by auto
    then have  $\chi$ :  $\exists \chi \in \psi. \neg Ia \models \chi$ 
      using unsat cons unfolding true-clss-def satisfiable-def by auto
    then have build-sem-tree atms  $\psi$  = Leaf using atms by auto
    moreover
      have tot:  $\bigwedge \chi. \chi \in \psi \implies \text{total-over-m } Ia \{ \chi \}$ 
      unfolding total-over-m-def total-over-set-def atms-of-ms-def atms-of-s-def
      using atmsIa atms-of-ms-def by fastforce
    have partial-interps Leaf Ia  $\psi$ 
      using  $\chi$  tot by (auto simp add: total-over-m-def total-over-set-def atms-of-ms-def)

    ultimately have ?case by metis
  }
moreover {
  assume atms: atms  $\neq \{\}$ 
  have build-sem-tree atms  $\psi$  = Node (Min atms) (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    using build-sem-tree.simps[of atms  $\psi$ ] f atms by metis

  have consistent-interp (Ia  $\cup \{Pos (Min atms)\}$ ) unfolding consistent-interp-def
    by (metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff
      f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
      uminus-Neg uminus-Pos)
  moreover have atms-of-ms  $\psi$  = Set.remove (Min atms) atms  $\cup$  atms-of-s (Ia  $\cup \{Pos (Min atms)\}$ )
    using Min-in atms f un by fastforce
  moreover have disj': Set.remove (Min atms) atms  $\cap$  atms-of-s (Ia  $\cup \{Pos (Min atms)\}$ ) = {}
    by simp (metis disj disjoint-iff-not-equal member-remove)
  moreover have finite (Set.remove (Min atms) atms) using f by (simp add: remove-def)
  ultimately have subtree1: partial-interps (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    (Ia  $\cup \{Pos (Min atms)\}$ )  $\psi$ 
    using IH1[of Ia  $\cup \{Pos (Min (atms))\}$ ] atms f unsat finite by metis

  have consistent-interp (Ia  $\cup \{Neg (Min atms)\}$ ) unfolding consistent-interp-def
    by (metis Int-iff Min-in Un-iff atm-of-uminus atms cons consistent-interp-def disj empty-iff
      f in-atms-of-s-decomp insert-iff literal.distinct(1) literal.exhaust-sel literal.sel(2)
      uminus-Neg)
  moreover have atms-of-ms  $\psi$  = Set.remove (Min atms) atms  $\cup$  atms-of-s (Ia  $\cup \{Neg (Min atms)\}$ )
    using  $\langle \text{atms-of-ms } \psi = \text{Set.remove } (Min atms) atms \cup \text{atms-of-s } (Ia \cup \{Pos (Min atms)\}) \rangle$  by
    blast

  moreover have disj': Set.remove (Min atms) atms  $\cap$  atms-of-s (Ia  $\cup \{Neg (Min atms)\}$ ) = {}
    using disj by auto
  moreover have finite (Set.remove (Min atms) atms) using f by (simp add: remove-def)
  ultimately have subtree2: partial-interps (build-sem-tree (Set.remove (Min atms) atms)  $\psi$ )
    (Ia  $\cup \{Neg (Min atms)\}$ )  $\psi$ 
    using IH2[of Ia  $\cup \{Neg (Min (atms))\}$ ] atms f unsat finite by metis

  then have ?case
    using IH1 subtree1 subtree2 f local.finite unsat atms by simp
  }

```

ultimately show ?case by metis  
qed

lemma partial-interps-build-sem-tree-atms:

fixes  $\psi :: 'v :: \text{linorder clauses}$  and  $p :: 'v \text{ literal list}$   
 assumes *unsat*: *unsatisfiable*  $\psi$  and *finite*: *finite*  $\psi$   
 shows *partial-interps* (*build-sem-tree* (*atms-of-ms*  $\psi$ )  $\psi$ )  $\{\}$   $\psi$

proof –

have *consistent-interp*  $\{\}$  **unfolding** *consistent-interp-def* **by** *auto*  
 moreover have *atms-of-ms*  $\psi = \text{atms-of-ms } \psi \cup \text{atms-of-s } \{\}$  **unfolding** *atms-of-s-def* **by** *auto*  
 moreover have *atms-of-ms*  $\psi \cap \text{atms-of-s } \{\} = \{\}$  **unfolding** *atms-of-s-def* **by** *auto*  
 moreover have *finite* (*atms-of-ms*  $\psi$ ) **unfolding** *atms-of-ms-def* **using** *finite* **by** *simp*  
 ultimately show *partial-interps* (*build-sem-tree* (*atms-of-ms*  $\psi$ )  $\psi$ )  $\{\}$   $\psi$   
 using *partial-interps-build-sem-tree-atms-general*[*of*  $\psi \{\}$  *atms-of-ms*  $\psi$ ] *assms* **by** *metis*

qed

lemma can-decrease-count:

fixes  $\psi'' :: 'v \text{ clauses} \times ('v \text{ clause} \times 'v \text{ clause} \times 'v) \text{ set}$   
 assumes *count*  $\chi \ L = n$   
 and  $L \in \# \chi$  and  $\chi \in \text{fst } \psi$   
 shows  $\exists \psi' \chi'. \text{inference}^{**} \psi \psi' \wedge \chi' \in \text{fst } \psi' \wedge (\forall L. L \in \# \chi \longleftrightarrow L \in \# \chi')$   
 $\wedge \text{count } \chi' \ L = 1$   
 $\wedge (\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi')$   
 $\wedge (I \models \chi \longleftrightarrow I \models \chi')$   
 $\wedge (\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi'\})$

using *assms*

proof (induct  $n$  arbitrary:  $\chi \psi$ )

case 0

then show ?case by (*simp add: not-in-iff[symmetric]*)

next

case (*Suc*  $n \chi$ )

note  $IH = \text{this}(1)$  and  $\text{count} = \text{this}(2)$  and  $L = \text{this}(3)$  and  $\chi = \text{this}(4)$

{

assume  $n = 0$

then have *inference*<sup>\*\*</sup>  $\psi \psi$

and  $\chi \in \text{fst } \psi$

and  $\forall L. (L \in \# \chi) \longleftrightarrow (L \in \# \chi)$

and  $\text{count } \chi \ L = (1::\text{nat})$

and  $\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi$

by (*auto simp add: count L*  $\chi$ )

then have ?case by *metis*

}

moreover {

assume  $n > 0$

then have  $\exists C. \chi = C + \{\#L, L\# \}$

by (*smt L Suc-eq-plus1-left add.left-commute add-diff-cancel-left' add-diff-cancel-right'*  
*count-greater-zero-iff count-single local.count multi-member-split plus-multiset.rep-eq*)

then obtain  $C$  where  $C: \chi = C + \{\#L, L\# \}$  by *metis*

let  $? \chi' = C + \{\#L\# \}$

let  $? \psi' = (\text{fst } \psi \cup \{? \chi'\}, \text{snd } \psi)$

have  $\varphi: \forall \varphi \in \text{fst } \psi. (\varphi \in \text{fst } \psi \vee \varphi \neq ? \chi') \longleftrightarrow \varphi \in \text{fst } ? \psi'$  **unfolding**  $C$  **by** *auto*

have *inf*: *inference*  $\psi ? \psi'$

using  $C$  *factoring*  $\chi$  *prod.collapse union-commute inference-step* **by** *metis*

moreover have  $\text{count}' : \text{count } ? \chi' \ L = n$  **using**  $C$  *count* **by** *auto*

moreover have  $L \chi' : L \in \# ? \chi'$  **by** *auto*



**moreover have**  $\chi' \psi'$ :  $? \chi' \in \text{fst } ? \psi'$  **by auto**  
**ultimately obtain**  $\psi''$  **and**  $\chi''$   
**where**  
*inference\*\**  $? \psi' \psi''$  **and**  
 $\alpha$ :  $\chi'' \in \text{fst } \psi''$  **and**  
 $\forall La. (La \in \# ? \chi') \longleftrightarrow (La \in \# \chi'')$  **and**  
 $\beta$ :  $\text{count } \chi'' L = (1::\text{nat})$  **and**  
 $\varphi'$ :  $\forall \varphi. \varphi \in \text{fst } ? \psi' \longrightarrow \varphi \in \text{fst } \psi''$  **and**  
 $I\chi$ :  $I \models ? \chi' \longleftrightarrow I \models \chi''$  **and**  
 $\text{tot}$ :  $\forall I'. \text{total-over-m } I' \{? \chi'\} \longrightarrow \text{total-over-m } I' \{\chi''\}$   
**using**  $IH[\text{of } ? \chi' ? \psi'] \text{ count' } L\chi' \chi' \psi'$  **by blast**

**then have** *inference\*\**  $\psi \psi''$   
**and**  $\forall La. (La \in \# \chi) \longleftrightarrow (La \in \# \chi'')$   
**using** *inf unfolding C* **by auto**  
**moreover have**  $\forall \varphi. \varphi \in \text{fst } \psi \longrightarrow \varphi \in \text{fst } \psi''$  **using**  $\varphi \var'$  **by metis**  
**moreover have**  $I \models \chi \longleftrightarrow I \models \chi''$  **using**  $I\chi$  **unfolding** *true-cls-def C* **by auto**  
**moreover have**  $\forall I'. \text{total-over-m } I' \{\chi\} \longrightarrow \text{total-over-m } I' \{\chi''\}$   
**using** *tot unfolding C total-over-m-def* **by auto**  
**ultimately have**  $? \text{case}$  **using**  $\varphi \var' \alpha \beta$  **by metis**

**}**  
**ultimately show**  $? \text{case}$  **by auto**  
**qed**

**lemma** *can-decrease-tree-size*:  
**fixes**  $\psi :: 'v \text{ state}$  **and**  $\text{tree} :: 'v \text{ sem-tree}$   
**assumes** *finite* ( $\text{fst } \psi$ ) **and** *already-used-inv*  $\psi$   
**and** *partial-interps tree I* ( $\text{fst } \psi$ )  
**shows**  $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{inference** } \psi \psi' \wedge \text{partial-interps tree' } I (\text{fst } \psi')$   
 $\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size tree} \vee \text{sem-tree-size tree} = 0)$   
**using** *assms*

**proof** (*induct arbitrary: I rule: sem-tree-size*)  
**case** (*bigger xs I*) **note**  $IH = \text{this}(1)$  **and**  $\text{finite} = \text{this}(2)$  **and**  $\text{a-u-i} = \text{this}(3)$  **and**  $\text{part} = \text{this}(4)$

**{**  
**assume**  $\text{sem-tree-size } xs = 0$   
**then have**  $? \text{case}$  **using** *part* **by blast**  
**}**

**moreover {**  
**assume**  $\text{sn0}: \text{sem-tree-size } xs > 0$   
**obtain**  $ag \text{ ad } v$  **where**  $xs: xs = \text{Node } v \text{ ag ad}$  **using**  $\text{sn0}$  **by** (*cases xs, auto*)  
**{**  
**assume**  $\text{sem-tree-size } ag = 0$  **and**  $\text{sem-tree-size } ad = 0$   
**then have**  $ag: ag = \text{Leaf}$  **and**  $ad: ad = \text{Leaf}$  **by** (*cases ag, auto*) (*cases ad, auto*)

**then obtain**  $\chi \chi'$  **where**  
 $\chi: \neg I \cup \{\text{Pos } v\} \models \chi$  **and**  
 $\text{tot}\chi: \text{total-over-m } (I \cup \{\text{Pos } v\}) \{\chi\}$  **and**  
 $\chi\psi: \chi \in \text{fst } \psi$  **and**  
 $\chi': \neg I \cup \{\text{Neg } v\} \models \chi'$  **and**  
 $\text{tot}\chi': \text{total-over-m } (I \cup \{\text{Neg } v\}) \{\chi'\}$  **and**  
 $\chi' \psi: \chi' \in \text{fst } \psi$   
**using** *part unfolding xs* **by auto**  
**have**  $\text{Pos}v: \text{Pos } v \notin \# \chi$  **using**  $\chi$  **unfolding** *true-cls-def true-lit-def* **by auto**  
**have**  $\text{Neg}v: \text{Neg } v \notin \# \chi'$  **using**  $\chi'$  **unfolding** *true-cls-def true-lit-def* **by auto**

```

{
  assume Negχ: Neg v ∉ # χ
  have ¬ I ⊨ χ using χ Posv unfolding true-cls-def true-lit-def by auto
  moreover have total-over-m I {χ}
    using Posv Negχ atm-imp-pos-or-neg-lit totχ unfolding total-over-m-def total-over-set-def
    by fastforce
  ultimately have partial-interps Leaf I (fst ψ)
  and sem-tree-size Leaf < sem-tree-size xs
  and inference** ψ ψ
    unfolding xs by (auto simp add: χψ)
}
moreover {
  assume Posχ: Pos v ∉ # χ'
  then have Iχ: ¬ I ⊨ χ' using χ' Posv unfolding true-cls-def true-lit-def by auto
  moreover have total-over-m I {χ'}
    using Negv Posχ atm-imp-pos-or-neg-lit totχ' unfolding total-over-m-def total-over-set-def by fastforce
  ultimately have partial-interps Leaf I (fst ψ) and
    sem-tree-size Leaf < sem-tree-size xs and
    inference** ψ ψ
    using χ'ψ Iχ unfolding xs by auto
}
moreover {
  assume neg: Neg v ∈ # χ and pos: Pos v ∈ # χ'
  then obtain ψ' χ2 where inf: rtrnclp inference ψ ψ' and χ2incl: χ2 ∈ fst ψ'
    and χχ2incl: ∀ L. L ∈ # χ ↔ L ∈ # χ2
    and countχ2: count χ2 (Neg v) = 1
    and φ: ∀ φ::'v literal multiset. φ ∈ fst ψ → φ ∈ fst ψ'
    and Iχ: I ⊨ χ ↔ I ⊨ χ2
    and tot-impχ: ∀ I'. total-over-m I' {χ} → total-over-m I' {χ2}
    using can-decrease-count[of χ Neg v count χ (Neg v) ψ I] χψ χ'ψ by auto

  have χ' ∈ fst ψ' by (simp add: χ'ψ φ)
  with pos
  obtain ψ'' χ2' where
    inf': inference** ψ' ψ''
    and χ2'-incl: χ2' ∈ fst ψ''
    and χ'χ2'-incl: ∀ L::'v literal. (L ∈ # χ') = (L ∈ # χ2')
    and countχ2': count χ2' (Pos v) = (1::nat)
    and φ': ∀ φ::'v literal multiset. φ ∈ fst ψ' → φ ∈ fst ψ''
    and Iχ': I ⊨ χ' ↔ I ⊨ χ2'
    and tot-impχ': ∀ I'. total-over-m I' {χ'} → total-over-m I' {χ2'}
    using can-decrease-count[of χ' Pos v count χ' (Pos v) ψ' I] by auto

  obtain C where χ2: χ2 = C + {#Neg v#} and negC: Neg v ∉ # C and posC: Pos v ∉ # C
  proof -
    have ∧m. Suc 0 - count m (Neg v) = count (χ2 - m) (Neg v)
      by (simp add: countχ2)
    then show ?thesis
      using that by (metis (no-types) One-nat-def Posv Suc-inject Suc-pred χχ2-incl
        count-diff count-single insert-DiffM2 mem-Collect-eq multi-member-skip neg
        not-gr0 set-mset-def union-commute)
  qed

  obtain C' where
    χ2': χ2' = C' + {#Pos v#} and

```

```

posC': Pos v  $\notin$  # C' and
negC': Neg v  $\notin$  # C'
proof -
  assume a1:  $\bigwedge C'. \llbracket \chi^{2'} = C' + \{\#Pos\ v\#\}; Pos\ v \notin \# C'; Neg\ v \notin \# C' \rrbracket \implies thesis$ 
  have f2:  $\bigwedge n. (n::nat) - n = 0$ 
    by simp
  have Neg v  $\notin$  #  $\chi^{2'} - \{\#Pos\ v\#\}$ 
    using Negv  $\chi' \chi^{2'}\text{-incl}$  by (auto simp: not-in-iff)
  have count  $\{\#Pos\ v\#\} (Pos\ v) = 1$ 
    by simp
  then show ?thesis
    by (metis  $\chi' \chi^{2'}\text{-incl}$   $\langle Neg\ v \notin \# \chi^{2'} - \{\#Pos\ v\#\} \rangle$  a1 count $\chi^{2'}$  count-diff f2
        insert-DiffM2 less-numeral-extra(3) mem-Collect-eq pos set-mset-def)
qed

have already-used-inv  $\psi'$ 
  using rtranclp-inference-preserves-already-used-inv[of  $\psi\ \psi'$ ] a-u-i inf by blast
then have a-u-i- $\psi''$ : already-used-inv  $\psi''$ 
  using rtranclp-inference-preserves-already-used-inv a-u-i inf' unfolding tautology-def
  by simp

have totC: total-over-m I {C}
  using tot-imp $\chi$  tot $\chi$  tot-over-m-remove[of I Pos v C] negC posC unfolding  $\chi^2$ 
  by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
have totC': total-over-m I {C'}
  using tot-imp $\chi'$  tot $\chi'$  total-over-m-sum tot-over-m-remove[of I Neg v C'] negC' posC'
  unfolding  $\chi^{2'}$  by (metis total-over-m-sum uminus-Neg)
have  $\neg I \models C + C'$ 
  using  $\chi\ I\chi\ \chi' I\chi'$  unfolding  $\chi^2\ \chi^{2'}$  true-cls-def by auto
then have part-I- $\psi'''$ : partial-interps Leaf I (fst  $\psi'' \cup \{C + C'\}$ )
  using totC totC' by simp
  (metis  $\neg I \models C + C'$  atms-of-ms-singleton total-over-m-def total-over-m-sum)
{
  assume ( $\{\#Pos\ v\#\} + C', \{\#Neg\ v\#\} + C) \notin \text{snd}\ \psi''$ 
  then have inf'': inference  $\psi''$  (fst  $\psi'' \cup \{C + C'\}$ , snd  $\psi'' \cup \{(\chi^{2'}, \chi^2)\}$ )
    using add.commute  $\varphi' \chi^{2'}\text{incl}$   $\langle \chi^{2'} \in \text{fst}\ \psi'' \rangle$  unfolding  $\chi^2\ \chi^{2'}$ 
    by (metis prod.collapse inference-step resolution)
  have inference**  $\psi$  (fst  $\psi'' \cup \{C + C'\}$ , snd  $\psi'' \cup \{(\chi^{2'}, \chi^2)\}$ )
    using inf inf' inf'' rtranclp-trans by auto
  moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
  ultimately have ?case using part-I- $\psi'''$  by (metis fst-conv)
}
moreover {
  assume a: ( $\{\#Pos\ v\#\} + C', \{\#Neg\ v\#\} + C) \in \text{snd}\ \psi''$ 
  then have ( $\exists \chi \in \text{fst}\ \psi''. (\forall I. \text{total-over-m}\ I\ \{C+C'\} \longrightarrow \text{total-over-m}\ I\ \{\chi\})$ 
     $\wedge (\forall I. \text{total-over-m}\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C)$ )
     $\vee$  tautology ( $C' + C$ )
  proof -
    obtain p where p: Pos p  $\in$  # ( $\{\#Pos\ v\#\} + C'$ ) and
      n: Neg p  $\in$  # ( $\{\#Neg\ v\#\} + C$ ) and
      decomp: ( $(\exists \chi \in \text{fst}\ \psi''. (\forall I. \text{total-over-m}\ I\ ((\{\#Pos\ v\#\} + C') - \{\#Pos\ p\#\} + ((\{\#Neg\ v\#\} + C) - \{\#Neg\ p\#\}))) \longrightarrow \text{total-over-m}\ I\ \{\chi\})$ 
         $\wedge (\forall I. \text{total-over-m}\ I\ \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models (\{\#Pos\ v\#\} + C') - \{\#Pos\ p\#\} + ((\{\#Neg\ v\#\} + C) - \{\#Neg\ p\#\}))$ )

```

```

    )
    ∨ tautology (({#Pos v#} + C') - {#Pos p#} + (({#Neg v#} + C) - {#Neg p#})))
using a by (blast intro: allE[OF a-u-i-ψ''[unfolding subsumes-def Ball-def],
    of ({#Pos v#} + C', {#Neg v#} + C)])
  { assume p ≠ v
    then have Pos p ∈# C' ∧ Neg p ∈# C using p n by force
    then have ?thesis unfolding Bex-def by auto
  }
moreover {
  assume p = v
  then have ?thesis using decomp by (metis add.commute add-diff-cancel-left')
}
ultimately show ?thesis by auto
qed
moreover {
assume ∃χ ∈ fst ψ''. (∀I. total-over-m I {C+C'} ⟶ total-over-m I {χ})
  ∧ (∀I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ C' + C)
then obtain ∅ where ∅: ∅ ∈ fst ψ'' and
  tot-∅-CC': ∀I. total-over-m I {C+C'} ⟶ total-over-m I {∅} and
  ∅-inv: ∀I. total-over-m I {∅} ⟶ I ⊨ ∅ ⟶ I ⊨ C' + C by blast
have partial-interps Leaf I (fst ψ'')
  using tot-∅-CC' ∅ ∅-inv totC totC' (⊢ I ⊨ C + C') total-over-m-sum by fastforce
moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
ultimately have ?case by (metis inf inf' rtranclp-trans)
}
moreover {
assume tautCC': tautology (C' + C)
have total-over-m I {C'+C} using totC totC' total-over-m-sum by auto
then have ¬tautology (C' + C)
  using (⊢ I ⊨ C + C') unfolding add.commute[of C C'] total-over-m-def
  unfolding tautology-def by auto
then have False using tautCC' unfolding tautology-def by auto
}
ultimately have ?case by auto
}
ultimately have ?case by auto
}
ultimately have ?case using part by (metis (no-types) sem-tree-size.simps(1))
}
moreover {
assume size-ag: sem-tree-size ag > 0
have sem-tree-size ag < sem-tree-size xs unfolding xs by auto
moreover have partial-interps ag (I ∪ {Pos v}) (fst ψ)
  and partad: partial-interps ad (I ∪ {Neg v}) (fst ψ)
  using part partial-interps.simps(2) unfolding xs by metis+
moreover have sem-tree-size ag < sem-tree-size xs ⟶ finite (fst ψ) ⟶ already-used-inv ψ
  ⟶ ( partial-interps ag (I ∪ {Pos v}) (fst ψ) ⟶
    (∃ tree' ψ'. inference** ψ ψ' ∧ partial-interps tree' (I ∪ {Pos v}) (fst ψ')
      ∧ (sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0)))
  using IH by auto
ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
  inf: inference** ψ ψ'
  and part: partial-interps tree' (I ∪ {Pos v}) (fst ψ')
  and size: sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0
  using finite part rtranclp.rtrancl-refl a-u-i by blast

```

```

have partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi'$ )
  using rtranclp-inference-preserve-partial-tree inf partad by metis
then have partial-interps (Node v tree' ad) I (fst  $\psi'$ ) using part by auto
then have ?case using inf size size-ag part unfolding xs by fastforce
}
moreover {
  assume size-ad: sem-tree-size ad > 0
  have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
  moreover have partag: partial-interps ag ( $I \cup \{Pos\ v\}$ ) (fst  $\psi$ ) and
    partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi$ )
    using part partial-interps.simps(2) unfolding xs by metis+
  moreover have sem-tree-size ad < sem-tree-size xs  $\longrightarrow$  finite (fst  $\psi$ )  $\longrightarrow$  already-used-inv  $\psi$ 
     $\longrightarrow$  ( partial-interps ad ( $I \cup \{Neg\ v\}$ ) (fst  $\psi$ )
       $\longrightarrow$  ( $\exists$  tree'  $\psi'$ . inference**  $\psi\ \psi' \wedge$  partial-interps tree' ( $I \cup \{Neg\ v\}$ ) (fst  $\psi'$ )
         $\wedge$  (sem-tree-size tree' < sem-tree-size ad  $\vee$  sem-tree-size ad = 0)))
    using IH by auto
  ultimately obtain  $\psi' :: 'v$  state and tree' :: 'v sem-tree where
    inf: inference**  $\psi\ \psi'$ 
    and part: partial-interps tree' ( $I \cup \{Neg\ v\}$ ) (fst  $\psi'$ )
    and size: sem-tree-size tree' < sem-tree-size ad  $\vee$  sem-tree-size ad = 0
    using finite part rtranclp.rtrancl-refl a-u-i by blast

  have partial-interps ag ( $I \cup \{Pos\ v\}$ ) (fst  $\psi'$ )
    using rtranclp-inference-preserve-partial-tree inf partag by metis
  then have partial-interps (Node v ag tree') I (fst  $\psi'$ ) using part by auto
  then have ?case using inf size size-ad unfolding xs by fastforce
}
ultimately have ?case by auto
}
ultimately show ?case by auto
qed

```

lemma inference-completeness-inv:

```

fixes  $\psi :: 'v :: linorder$  state
assumes
  unsat:  $\neg$ satisfiable (fst  $\psi$ ) and
  finite: finite (fst  $\psi$ ) and
  a-u-v: already-used-inv  $\psi$ 
shows  $\exists \psi'. (inference^{**} \psi\ \psi' \wedge \{\#\} \in \text{fst } \psi')$ 
proof -
  obtain tree where partial-interps tree {} (fst  $\psi$ )
  using partial-interps-build-sem-tree-atms assms by metis
  then show ?thesis
  using unsat finite a-u-v
  proof (induct tree arbitrary:  $\psi$  rule: sem-tree-size)
    case (bigger tree  $\psi$ ) note H = this
    {
      fix  $\chi$ 
      assume tree: tree = Leaf
      obtain  $\chi$  where  $\chi: \neg \{\} \models \chi$  and tot $\chi$ : total-over-m {} { $\chi$ } and  $\chi\psi: \chi \in \text{fst } \psi$ 
      using H unfolding tree by auto
      moreover have { $\#\}$  =  $\chi$ 
      using tot $\chi$  unfolding total-over-m-def total-over-set-def by fastforce
      moreover have inference**  $\psi\ \psi$  by auto
      ultimately have ?case by metis
    }
  qed
}

```

```

moreover {
  fix  $v$   $tree1$   $tree2$ 
  assume  $tree: tree = Node\ v\ tree1\ tree2$ 
  obtain
     $tree'\ \psi'$  where  $inf: inference^{**}\ \psi\ \psi'$  and
     $part': partial\text{-}interp\ tree'\ \{\}$   $(fst\ \psi')$  and
     $decrease: sem\text{-}tree\text{-}size\ tree' < sem\text{-}tree\text{-}size\ tree \vee sem\text{-}tree\text{-}size\ tree = 0$ 
    using  $can\text{-}decrease\text{-}tree\text{-}size[of\ \psi]\ H(2,4,5)$  unfolding  $tautology\text{-}def$  by  $meson$ 
  have  $sem\text{-}tree\text{-}size\ tree' < sem\text{-}tree\text{-}size\ tree$  using  $decrease$  unfolding  $tree$  by  $auto$ 
  moreover have  $finite\ (fst\ \psi')$  using  $rtranclp\text{-}inference\text{-}preserves\text{-}finite\ inf\ H(4)$  by  $metis$ 
  moreover have  $unsatisfiable\ (fst\ \psi')$ 
    using  $inference\text{-}preserves\text{-}unsat\ inf\ bigger.prem\ 2$  by  $blast$ 
  moreover have  $already\text{-}used\text{-}inv\ \psi'$ 
    using  $H(5)\ inf\ rtranclp\text{-}inference\text{-}preserves\text{-}already\text{-}used\text{-}inv[of\ \psi\ \psi']$  by  $auto$ 
  ultimately have  $?case$  using  $inf\ rtranclp\text{-}trans\ part'\ H(1)$  by  $fastforce$ 
}
ultimately show  $?case$  by  $(cases\ tree,\ auto)$ 
qed
qed

```

```

lemma  $inference\text{-}completeness$ :
  fixes  $\psi :: 'v :: linorder\ state$ 
  assumes  $unsat: \neg satisfiable\ (fst\ \psi)$ 
  and  $finite: finite\ (fst\ \psi)$ 
  and  $snd\ \psi = \{\}$ 
  shows  $\exists \psi'. (rtranclp\ inference\ \psi\ \psi' \wedge \{\#\} \in fst\ \psi')$ 
proof –
  have  $already\text{-}used\text{-}inv\ \psi$  unfolding  $assms$  by  $auto$ 
  then show  $?thesis$  using  $assms\ inference\text{-}completeness\text{-}inv$  by  $blast$ 
qed

```

```

lemma  $inference\text{-}soundness$ :
  fixes  $\psi :: 'v :: linorder\ state$ 
  assumes  $rtranclp\ inference\ \psi\ \psi' \text{ and } \{\#\} \in fst\ \psi'$ 
  shows  $unsatisfiable\ (fst\ \psi)$ 
  using  $assms$  by  $(meson\ rtranclp\text{-}inference\text{-}preserves\text{-}un\text{-}sat\ satisfiable\text{-}def\ true\text{-}cls\text{-}empty\ true\text{-}class\text{-}def)$ 

```

```

lemma  $inference\text{-}soundness\text{-}and\text{-}completeness$ :
  fixes  $\psi :: 'v :: linorder\ state$ 
  assumes  $finite: finite\ (fst\ \psi)$ 
  and  $snd\ \psi = \{\}$ 
  shows  $(\exists \psi'. (inference^{**}\ \psi\ \psi' \wedge \{\#\} \in fst\ \psi')) \longleftrightarrow unsatisfiable\ (fst\ \psi)$ 
  using  $assms\ inference\text{-}completeness\ inference\text{-}soundness$  by  $metis$ 

```

#### 1.1.4 Lemma about the simplified state

**abbreviation**  $simplified\ \psi \equiv (no\text{-}step\ simplify\ \psi)$

```

lemma  $simplified\text{-}count$ :
  assumes  $simp: simplified\ \psi$  and  $\chi: \chi \in \psi$ 
  shows  $count\ \chi\ L \leq 1$ 
proof –
  {
    let  $? \chi' = \chi - \{\#L, L\#\}$ 
    assume  $count\ \chi\ L \geq 2$ 

```

```

then have f1: count ( $\chi - \{\#L, L\# \} + \{\#L, L\# \}$ )  $L = \text{count } \chi \ L$ 
  by simp
then have  $L \in \# \chi - \{\#L\# \}$ 
  by (metis (no-types) add.left-neutral add-diff-cancel-left' count-union diff-diff-add
    diff-single-trivial insert-DiffM mem-Collect-eq multi-member-this not-gr0 set-mset-def)
then have  $\chi'$ :  $? \chi' + \{\#L\# \} + \{\#L\# \} = \chi$ 
  using f1 by (metis diff-diff-add diff-single-eq-union in-diffD)

have  $\exists \psi'. \text{simplify } \psi \ \psi'$ 
  by (metis (no-types, hide-lams)  $\chi \ \chi'$  add.commute factoring-imp-simplify union-assoc)
then have False using simp by auto
}
then show ?thesis by arith
qed

lemma simplified-no-both:
  assumes simp: simplified  $\psi$  and  $\chi$ :  $\chi \in \psi$ 
  shows  $\neg (L \in \# \chi \wedge \neg L \in \# \chi)$ 
proof (rule ccontr)
  assume  $\neg \neg (L \in \# \chi \wedge \neg L \in \# \chi)$ 
  then have  $L \in \# \chi \wedge \neg L \in \# \chi$  by metis
  then obtain  $\chi'$  where  $\chi = \chi' + \{\#Pos \ (atm\text{-of } L)\# \} + \{\#Neg \ (atm\text{-of } L)\# \}$ 
    by (metis Neg-atm-of-iff Pos-atm-of-iff diff-union-swap insert-DiffM2 uminus-Neg uminus-Pos)
  then show False using  $\chi$  simp tautology-deletion by fastforce
qed

lemma simplified-not-tautology:
  assumes simplified  $\{\psi\}$ 
  shows  $\sim \text{tautology } \psi$ 
proof (rule ccontr)
  assume  $\sim ?thesis$ 
  then obtain  $p$  where  $Pos \ p \in \# \psi \wedge Neg \ p \in \# \psi$  using tautology-decomp by metis
  then obtain  $\chi$  where  $\psi = \chi + \{\#Pos \ p\# \} + \{\#Neg \ p\# \}$ 
    by (metis insert-noteq-member literal.distinct(1) multi-member-split)
  then have  $\sim \text{simplified } \{\psi\}$  by (auto intro: tautology-deletion)
  then show False using assms by auto
qed

lemma simplified-remove:
  assumes simplified  $\{\psi\}$ 
  shows simplified  $\{\psi - \{\#l\# \}\}$ 
proof (rule ccontr)
  assume ns:  $\neg \text{simplified } \{\psi - \{\#l\# \}\}$ 
  {
    assume  $l \notin \# \psi$ 
    then have  $\psi - \{\#l\# \} = \psi$  by simp
    then have False using ns assms by auto
  }
  moreover {
    assume  $l\psi$ :  $l \in \# \psi$ 
    have  $A: \bigwedge A. A \in \{\psi - \{\#l\# \}\} \longleftrightarrow A + \{\#l\# \} \in \{\psi\}$  by (auto simp add:  $l\psi$ )
    obtain  $l'$  where  $l'$ : simplify  $\{\psi - \{\#l\# \}\}$   $l'$  using ns by metis
    then have  $\exists l'. \text{simplify } \{\psi\} \ l'$ 
    proof (induction rule: simplify.induct)
      case (tautology-deletion  $A \ P$ )
      have  $\{\#Neg \ P\# \} + (\{\#Pos \ P\# \} + (A + \{\#l\# \})) \in \{\psi\}$ 

```

```

    by (metis (no-types) A add.commute tautology-deletion.hyps union-lcomm)
  then show ?thesis
    by (metis simplify.tautology-deletion[of A+{#l#} P {ψ}] add.commute)
next
  case (condensation A L)
  have A + {#L#} + {#L#} + {#l#} ∈ {ψ}
    using A condensation.hyps by blast
  then have {#L, L#} + (A + {#l#}) ∈ {ψ}
    by (metis (no-types) union-assoc union-commute)
  then show ?case
    using factoring-imp-simplify by blast
next
  case (subsumption A B)
  then show ?case by blast
qed
then have False using assms(1) by blast
}
ultimately show False by auto
qed

```

**lemma** *in-simplified-simplified*:

```

  assumes simp: simplified ψ and incl: ψ' ⊆ ψ
  shows simplified ψ'
proof (rule ccontr)
  assume ¬ ?thesis
  then obtain ψ'' where simplify ψ' ψ'' by metis
  then have ∃ l'. simplify ψ l'
    proof (induction rule: simplify.induct)
    case (tautology-deletion A P)
    then show ?thesis using simplify.tautology-deletion[of A P ψ] incl by blast
  next
    case (condensation A L)
    then show ?case using simplify.condensation[of A L ψ] incl by blast
  next
    case (subsumption A B)
    then show ?case using simplify.subsumption[of A ψ B] incl by auto
  qed
  then show False using assms(1) by blast
qed

```

**lemma** *simplified-in*:

```

  assumes simplified ψ
  and N ∈ ψ
  shows simplified {N}
  using assms by (metis Set.set-insert empty-subsetI in-simplified-simplified insert-mono)

```

**lemma** *subsumes-imp-formula*:

```

  assumes ψ ≤# φ
  shows {ψ} ⊨p φ
  unfolding true-clss-clf-def apply auto
  using assms true-clf-mono-leD by blast

```

**lemma** *simplified-imp-distinct-mset-tauto*:

```

  assumes simp: simplified ψ'
  shows distinct-mset-set ψ' and ∀χ ∈ ψ'. ¬tautology χ

```



```

proof –
  show  $\forall \chi \in \psi'. \neg \text{tautology } \chi$ 
    using simp by (auto simp add: simplified-in simplified-not-tautology)

  show distinct-mset-set  $\psi'$ 
    proof (rule ccontr)
      assume  $\neg ?thesis$ 
      then obtain  $\chi$  where  $\chi \in \psi'$  and  $\neg \text{distinct-mset } \chi$  unfolding distinct-mset-set-def by auto
      then obtain  $L$  where  $\text{count } \chi \ L \geq 2$ 
        unfolding distinct-mset-def
        by (meson count-greater-eq-one-iff le-antisym simp simplified-count)
      then show False by (metis Suc-1 ‹ $\chi \in \psi'$ › not-less-eq-eq simp simplified-count)
    qed
qed

lemma simplified-no-more-full1-simplified:
  assumes simplified  $\psi$ 
  shows  $\neg \text{full1 simplify } \psi \ \psi'$ 
  using assms unfolding full1-def by (meson tranclpD)

```

### 1.1.5 Resolution and Invariants

**inductive** *resolution* :: '*v state*  $\Rightarrow$  '*v state*  $\Rightarrow$  *bool* **where**  
*full1-simp*: *full1 simplify*  $N \ N' \Rightarrow \text{resolution } (N, \text{already-used}) \ (N', \text{already-used}) \mid$   
*inferring*: *inference*  $(N, \text{already-used}) \ (N', \text{already-used}') \Rightarrow \text{simplified } N$   
 $\Rightarrow \text{full simplify } N' \ N'' \Rightarrow \text{resolution } (N, \text{already-used}) \ (N'', \text{already-used}')$

#### Invariants

```

lemma resolution-finite:
  assumes resolution  $\psi \ \psi'$  and finite (fst  $\psi$ )
  shows finite (fst  $\psi'$ )
  using assms by (induct rule: resolution.induct)
    (auto simp add: full1-def full-def rtranclp-simplify-preserves-finite
      dest: tranclp-into-rtranclp inference-preserves-finite)

lemma rtranclp-resolution-finite:
  assumes resolution**  $\psi \ \psi'$  and finite (fst  $\psi$ )
  shows finite (fst  $\psi'$ )
  using assms by (induct rule: rtranclp-induct, auto simp add: resolution-finite)

lemma resolution-finite-snd:
  assumes resolution  $\psi \ \psi'$  and finite (snd  $\psi$ )
  shows finite (snd  $\psi'$ )
  using assms apply (induct rule: resolution.induct, auto simp add: inference-preserves-finite-snd)
  using inference-preserves-finite-snd snd-conv by metis

lemma rtranclp-resolution-finite-snd:
  assumes resolution**  $\psi \ \psi'$  and finite (snd  $\psi$ )
  shows finite (snd  $\psi'$ )
  using assms by (induct rule: rtranclp-induct, auto simp add: resolution-finite-snd)

lemma resolution-always-simplified:
  assumes resolution  $\psi \ \psi'$ 
  shows simplified (fst  $\psi'$ )
  using assms by (induct rule: resolution.induct)

```

(*auto simp add: full1-def full-def*)

**lemma** *trancpl-resolution-always-simplified:*

**assumes** *trancpl resolution  $\psi \psi'$*

**shows** *simplified (fst  $\psi'$ )*

**using** *assms by (induct rule: trancpl.induct, auto simp add: resolution-always-simplified)*

**lemma** *resolution-atms-of:*

**assumes** *resolution  $\psi \psi'$  and finite (fst  $\psi$ )*

**shows** *atms-of-ms (fst  $\psi'$ )  $\subseteq$  atms-of-ms (fst  $\psi$ )*

**using** *assms apply (induct rule: resolution.induct)*

**apply**(*simp add: rtrancpl-simplify-atms-of-ms trancpl-into-rtrancpl full1-def*)

**by** (*metis (no-types, lifting) contra-subsetD fst-conv full-def*

*inference-preserves-atms-of-ms rtrancpl-simplify-atms-of-ms subsetI*)

**lemma** *rtrancpl-resolution-atms-of:*

**assumes** *resolution\*\*  $\psi \psi'$  and finite (fst  $\psi$ )*

**shows** *atms-of-ms (fst  $\psi'$ )  $\subseteq$  atms-of-ms (fst  $\psi$ )*

**using** *assms apply (induct rule: rtrancpl-induct)*

**using** *resolution-atms-of rtrancpl-resolution-finite by blast+*

**lemma** *resolution-include:*

**assumes** *res: resolution  $\psi \psi'$  and finite: finite (fst  $\psi$ )*

**shows** *fst  $\psi' \subseteq$  simple-clss (atms-of-ms (fst  $\psi$ ))*

**proof** –

**have** *finite': finite (fst  $\psi'$ ) using local.finite res resolution-finite by blast*

**have** *simplified (fst  $\psi'$ ) using res finite' resolution-always-simplified by blast*

**then have** *fst  $\psi' \subseteq$  simple-clss (atms-of-ms (fst  $\psi'$ ))*

**using** *simplified-in-simple-clss finite' simplified-imp-distinct-mset-tauto[of fst  $\psi'$ ] by auto*

**moreover have** *atms-of-ms (fst  $\psi'$ )  $\subseteq$  atms-of-ms (fst  $\psi$ )*

**using** *res finite resolution-atms-of[of  $\psi \psi'$ ] by auto*

**ultimately show** *?thesis by (meson atms-of-ms-finite local.finite order.trans rev-finite-subset simple-clss-mono)*

**qed**

**lemma** *rtrancpl-resolution-include:*

**assumes** *res: trancpl resolution  $\psi \psi'$  and finite: finite (fst  $\psi$ )*

**shows** *fst  $\psi' \subseteq$  simple-clss (atms-of-ms (fst  $\psi$ ))*

**using** *assms apply (induct rule: trancpl.induct)*

**apply** (*simp add: resolution-include*)

**by** (*meson simple-clss-mono order-class.le-trans resolution-include*

*rtrancpl-resolution-atms-of rtrancpl-resolution-finite trancpl-into-rtrancpl*)

**abbreviation** *already-used-all-simple*

**::** (*'a literal multiset  $\times$  'a literal multiset*) *set  $\Rightarrow$  'a set  $\Rightarrow$  bool* **where**

*already-used-all-simple already-used vars  $\equiv$*

( $\forall (A, B) \in \text{already-used. simplified } \{A\} \wedge \text{simplified } \{B\} \wedge \text{atms-of } A \subseteq \text{vars} \wedge \text{atms-of } B \subseteq \text{vars}$ )

**lemma** *already-used-all-simple-vars-incl:*

**assumes** *vars  $\subseteq$  vars'*

**shows** *already-used-all-simple a vars  $\implies$  already-used-all-simple a vars'*

**using** *assms by fast*

**lemma** *inference-clause-preserves-already-used-all-simple:*

**assumes** *inference-clause S S'*

**and** *already-used-all-simple (snd S) vars*

```

and simplified (fst S)
and atms-of-ms (fst S)  $\subseteq$  vars
shows already-used-all-simple (snd (fst S  $\cup$  {fst S'}, snd S')) vars
using assms
proof (induct rule: inference-clause.induct)
case (factoring L C N already-used)
then show ?case by (simp add: simplified-in factoring-imp-simplify)
next
case (resolution P C N D already-used) note H = this
show ?case apply clarify
proof -
fix A B v
assume (A, B)  $\in$  snd (fst (N, already-used))
 $\cup$  {fst (C + D, already-used  $\cup$  {({#Pos P#} + C, {#Neg P#} + D)}}),
snd (C + D, already-used  $\cup$  {({#Pos P#} + C, {#Neg P#} + D)}))
then have (A, B)  $\in$  already-used  $\vee$  (A, B) = ({#Pos P#} + C, {#Neg P#} + D) by auto
moreover {
assume (A, B)  $\in$  already-used
then have simplified {A}  $\wedge$  simplified {B}  $\wedge$  atms-of A  $\subseteq$  vars  $\wedge$  atms-of B  $\subseteq$  vars
using H(4) by auto
}
moreover {
assume eq: (A, B) = ({#Pos P#} + C, {#Neg P#} + D)
then have simplified {A} using simplified-in H(1,5) by auto
moreover have simplified {B} using eq simplified-in H(2,5) by auto
moreover have atms-of A  $\subseteq$  atms-of-ms N
using eq H(1)
using atms-of-atms-of-ms-mono[of A N] by auto
moreover have atms-of B  $\subseteq$  atms-of-ms N
using eq H(2) atms-of-atms-of-ms-mono[of B N] by auto
ultimately have simplified {A}  $\wedge$  simplified {B}  $\wedge$  atms-of A  $\subseteq$  vars  $\wedge$  atms-of B  $\subseteq$  vars
using H(6) by auto
}
ultimately show simplified {A}  $\wedge$  simplified {B}  $\wedge$  atms-of A  $\subseteq$  vars  $\wedge$  atms-of B  $\subseteq$  vars
by fast
qed
qed

```

```

lemma inference-preserves-already-used-all-simple:
assumes inference S S'
and already-used-all-simple (snd S) vars
and simplified (fst S)
and atms-of-ms (fst S)  $\subseteq$  vars
shows already-used-all-simple (snd S') vars
using assms
proof (induct rule: inference.induct)
case (inference-step S clause already-used)
then show ?case
using inference-clause-preserves-already-used-all-simple[of S (clause, already-used) vars]
by auto
qed

```

```

lemma already-used-all-simple-inv:
assumes resolution S S'
and already-used-all-simple (snd S) vars
and atms-of-ms (fst S)  $\subseteq$  vars

```

```

  shows already-used-all-simple (snd S') vars
  using assms
proof (induct rule: resolution.induct)
  case (full1-simp N N')
  then show ?case by simp
next
  case (inferring N already-used N' already-used' N'')
  then show already-used-all-simple (snd (N'', already-used')) vars
    using inference-preserves-already-used-all-simple[of (N, already-used)] by simp
qed

lemma rtrancplp-already-used-all-simple-inv:
  assumes resolution** S S'
  and already-used-all-simple (snd S) vars
  and atms-of-ms (fst S)  $\subseteq$  vars
  and finite (fst S)
  shows already-used-all-simple (snd S') vars
  using assms
proof (induct rule: rtrancplp-induct)
  case base
  then show ?case by simp
next
  case (step S' S'')
  note infstar = this(1) and IH = this(3) and res = this(2) and
    already = this(4) and atms = this(5) and finite = this(6)
  have already-used-all-simple (snd S') vars using IH already atms finite by simp
  moreover have atms-of-ms (fst S')  $\subseteq$  atms-of-ms (fst S)
    by (simp add: infstar local.finite rtrancplp-resolution-atms-of)
  then have atms-of-ms (fst S')  $\subseteq$  vars using atms by auto
  ultimately show ?case
    using already-used-all-simple-inv[OF res] by simp
qed

lemma inference-clause-simplified-already-used-subset:
  assumes inference-clause S S'
  and simplified (fst S)
  shows snd S  $\subset$  snd S'
  using assms apply (induct rule: inference-clause.induct, auto)
  using factoring-imp-simplify by blast

lemma inference-simplified-already-used-subset:
  assumes inference S S'
  and simplified (fst S)
  shows snd S  $\subset$  snd S'
  using assms apply (induct rule: inference.induct)
  by (metis inference-clause-simplified-already-used-subset snd-conv)

lemma resolution-simplified-already-used-subset:
  assumes resolution S S'
  and simplified (fst S)
  shows snd S  $\subset$  snd S'
  using assms apply (induct rule: resolution.induct, simp-all add: full1-def)
  apply (meson trancplpD)
  by (metis inference-simplified-already-used-subset fst-conv snd-conv)

lemma trancplp-resolution-simplified-already-used-subset:
  assumes trancplp resolution S S'

```

**and** *simplified* (*fst* *S*)  
**shows** *snd* *S*  $\subset$  *snd* *S'*  
**using** *assms* **apply** (*induct* rule: *trancp.induct*)  
**using** *resolution-simplified-already-used-subset* **apply** *metis*  
**by** (*meson* *trancp-resolution-always-simplified* *resolution-simplified-already-used-subset*  
*less-trans*)

**abbreviation** *already-used-top vars*  $\equiv$  *simple-clss vars*  $\times$  *simple-clss vars*

**lemma** *already-used-all-simple-in-already-used-top*:

**assumes** *already-used-all-simple s vars* **and** *finite vars*  
**shows** *s*  $\subseteq$  *already-used-top vars*

**proof**

**fix** *x*  
**assume** *x-s*: *x*  $\in$  *s*  
**obtain** *A B* **where** *x*: *x* = (*A*, *B*) **by** (*cases* *x*, *auto*)  
**then have** *simplified* {*A*} **and** *atms-of* *A*  $\subseteq$  *vars* **using** *assms*(1) *x-s* **by** *fastforce*+  
**then have** *A*: *A*  $\in$  *simple-clss vars*  
**using** *simple-clss-mono*[*of* *atms-of* *A vars*] *x* *assms*(2)  
*simplified-imp-distinct-mset-tauto*[*of* {*A*}]  
*distinct-mset-not-tautology-implies-in-simple-clss* **by** *fast*  
**moreover have** *simplified* {*B*} **and** *atms-of* *B*  $\subseteq$  *vars* **using** *assms*(1) *x-s x* **by** *fast*+  
**then have** *B*: *B*  $\in$  *simple-clss vars*  
**using** *simplified-imp-distinct-mset-tauto*[*of* {*B*}]  
*distinct-mset-not-tautology-implies-in-simple-clss*  
*simple-clss-mono*[*of* *atms-of* *B vars*] *x* *assms*(2) **by** *fast*  
**ultimately show** *x*  $\in$  *simple-clss vars*  $\times$  *simple-clss vars*  
**unfolding** *x* **by** *auto*

**qed**

**lemma** *already-used-top-finite*:

**assumes** *finite vars*  
**shows** *finite* (*already-used-top vars*)  
**using** *simple-clss-finite* *assms* **by** *auto*

**lemma** *already-used-top-increasing*:

**assumes** *var*  $\subseteq$  *var'* **and** *finite var'*  
**shows** *already-used-top var*  $\subseteq$  *already-used-top var'*  
**using** *assms* *simple-clss-mono* **by** *auto*

**lemma** *already-used-all-simple-finite*:

**fixes** *s* :: ('a literal multiset  $\times$  'a literal multiset) set **and** *vars* :: 'a set  
**assumes** *already-used-all-simple s vars* **and** *finite vars*  
**shows** *finite s*  
**using** *assms* *already-used-all-simple-in-already-used-top*[*OF* *assms*(1)]  
*rev-finite-subset*[*OF* *already-used-top-finite*[*of* *vars*]] **by** *auto*

**abbreviation** *card-simple vars*  $\psi \equiv$  *card* (*already-used-top vars*  $- \psi$ )

**lemma** *resolution-card-simple-decreasing*:

**assumes** *res*: *resolution*  $\psi$   $\psi'$   
**and** *a-u-s*: *already-used-all-simple* (*snd*  $\psi$ ) *vars*  
**and** *finite-v*: *finite vars*  
**and** *finite-fst*: *finite* (*fst*  $\psi$ )  
**and** *finite-snd*: *finite* (*snd*  $\psi$ )  
**and** *simp*: *simplified* (*fst*  $\psi$ )

**and**  $\text{atms-of-ms } (fst \ \psi) \subseteq \text{vars}$   
**shows**  $\text{card-simple vars } (snd \ \psi') < \text{card-simple vars } (snd \ \psi)$   
**proof** –  
**let**  $?vars = \text{vars}$   
**let**  $?top = \text{simple-clss } ?vars \times \text{simple-clss } ?vars$   
**have** 1:  $\text{card-simple vars } (snd \ \psi) = \text{card } ?top - \text{card } (snd \ \psi)$   
**using**  $\text{card-Diff-subset finite-snd already-used-all-simple-in-already-used-top}[OF \ a-u-s]$   
 $\text{finite-v}$  **by**  $\text{metis}$   
**have**  $a-u-s'$ :  $\text{already-used-all-simple } (snd \ \psi') \ \text{vars}$   
**using**  $\text{already-used-all-simple-inv res a-u-s assms}(7)$  **by**  $\text{blast}$   
**have**  $f$ :  $\text{finite } (snd \ \psi')$  **using**  $\text{already-used-all-simple-finite a-u-s' finite-v}$  **by**  $\text{auto}$   
**have** 2:  $\text{card-simple vars } (snd \ \psi') = \text{card } ?top - \text{card } (snd \ \psi')$   
**using**  $\text{card-Diff-subset}[OF \ f]$   $\text{already-used-all-simple-in-already-used-top}[OF \ a-u-s' \ \text{finite-v}]$   
**by**  $\text{auto}$   
**have**  $\text{card } (\text{already-used-top vars}) \geq \text{card } (snd \ \psi')$   
**using**  $\text{already-used-all-simple-in-already-used-top}[OF \ a-u-s' \ \text{finite-v}]$   
 $\text{card-mono}[of \ \text{already-used-top vars } snd \ \psi']$   $\text{already-used-top-finite}[OF \ \text{finite-v}]$  **by**  $\text{metis}$   
**then show**  $?thesis$   
**using**  $\text{psubset-card-mono}[OF \ f \ \text{resolution-simplified-already-used-subset}[OF \ res \ \text{simp}]]$   
**unfolding** 1 2 **by**  $\text{linarith}$   
**qed**

**lemma**  $\text{trancpl-resolution-card-simple-decreasing}$ :

**assumes**  $\text{trancpl resolution } \psi \ \psi'$  **and**  $\text{finite-fst: finite } (fst \ \psi)$   
**and**  $\text{already-used-all-simple } (snd \ \psi) \ \text{vars}$   
**and**  $\text{atms-of-ms } (fst \ \psi) \subseteq \text{vars}$   
**and**  $\text{finite-v: finite vars}$   
**and**  $\text{finite-snd: finite } (snd \ \psi)$   
**and**  $\text{simplified } (fst \ \psi)$   
**shows**  $\text{card-simple vars } (snd \ \psi') < \text{card-simple vars } (snd \ \psi)$   
**using**  $\text{assms}$   
**proof** ( $\text{induct rule: trancpl-induct}$ )  
**case** ( $\text{base } \psi'$ )  
**then show**  $?case$  **by** ( $\text{simp add: resolution-card-simple-decreasing}$ )  
**next**  
**case** ( $\text{step } \psi' \ \psi''$ ) **note**  $\text{res} = \text{this}(1)$  **and**  $\text{res}' = \text{this}(2)$  **and**  $a-u-s = \text{this}(5)$  **and**  
 $\text{atms} = \text{this}(6)$  **and**  $f-v = \text{this}(7)$  **and**  $f-fst = \text{this}(4)$  **and**  $H = \text{this}$   
**then have**  $\text{card-simple vars } (snd \ \psi') < \text{card-simple vars } (snd \ \psi)$  **by**  $\text{auto}$   
**moreover have**  $a-u-s'$ :  $\text{already-used-all-simple } (snd \ \psi') \ \text{vars}$   
**using**  $\text{rtrancpl-already-used-all-simple-inv}[OF \ \text{trancpl-into-rtrancpl}[OF \ res] \ a-u-s \ \text{atms } f-fst]$  .  
**have**  $\text{finite } (fst \ \psi')$   
**by** ( $\text{meson finite-fst res rtrancpl-resolution-finite trancpl-into-rtrancpl}$ )  
**moreover have**  $\text{finite } (snd \ \psi')$  **using**  $\text{already-used-all-simple-finite}[OF \ a-u-s' \ f-v]$  .  
**moreover have**  $\text{simplified } (fst \ \psi')$  **using**  $\text{res trancpl-resolution-always-simplified}$  **by**  $\text{blast}$   
**moreover have**  $\text{atms-of-ms } (fst \ \psi') \subseteq \text{vars}$   
**by** ( $\text{meson atms f-fst order.trans res rtrancpl-resolution-atms-of trancpl-into-rtrancpl}$ )  
**ultimately show**  $?case$   
**using**  $\text{resolution-card-simple-decreasing}[OF \ res' \ a-u-s' \ f-v]$   $f-v$   
 $\text{less-trans}[of \ \text{card-simple vars } (snd \ \psi'') \ \text{card-simple vars } (snd \ \psi')]$   
 $\text{card-simple vars } (snd \ \psi)$   
**by**  $\text{blast}$   
**qed**

**lemma**  $\text{trancpl-resolution-card-simple-decreasing-2}$ :

**assumes** *trncpl resolution*  $\psi \psi'$   
**and** *finite-fst*: *finite* (*fst*  $\psi$ )  
**and** *empty-snd*: *snd*  $\psi = \{\}$   
**and** *simplified* (*fst*  $\psi$ )  
**shows** *card-simple* (*atms-of-ms* (*fst*  $\psi$ )) (*snd*  $\psi'$ ) < *card-simple* (*atms-of-ms* (*fst*  $\psi$ )) (*snd*  $\psi$ )  
**proof** –  
**let** *?vars* = *atms-of-ms* (*fst*  $\psi$ )  
**have** *already-used-all-simple* (*snd*  $\psi$ ) *?vars* **unfolding** *empty-snd* **by** *auto*  
**moreover** **have** *atms-of-ms* (*fst*  $\psi$ )  $\subseteq$  *?vars* **by** *auto*  
**moreover** **have** *finite-v*: *finite* *?vars* **using** *finite-fst* **by** *auto*  
**moreover** **have** *finite-snd*: *finite* (*snd*  $\psi$ ) **unfolding** *empty-snd* **by** *auto*  
**ultimately show** *?thesis*  
**using** *assms(1,2,4)* *trncpl-resolution-card-simple-decreasing[of  $\psi \psi'$ ]* **by** *presburger*  
**qed**

## well-foundness if the relation

**lemma** *wf-simplified-resolution*:

**assumes** *f-vars*: *finite vars*  
**shows** *wf*  $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$   
**proof** –  
**{**  
**fix** *a b* :: *'v::linorder state*  
**assume**  $(b, a) \in \{(y, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$   
**then have**  
*atms-of-ms* (*fst* *a*)  $\subseteq$  *vars* **and**  
*simp*: *simplified* (*fst* *a*) **and**  
*finite* (*snd* *a*) **and**  
*finite* (*fst* *a*) **and**  
*a-u-v*: *already-used-all-simple* (*snd* *a*) *vars* **and**  
*res*: *resolution* *a b* **by** *auto*  
**have** *finite* (*already-used-top vars*) **using** *f-vars* *already-used-top-finite* **by** *blast*  
**moreover** **have** *already-used-top vars*  $\subseteq$  *already-used-top vars* **by** *auto*  
**moreover** **have** *snd b*  $\subseteq$  *already-used-top vars*  
**using** *already-used-all-simple-in-already-used-top[of snd b vars]*  
*a-u-v* *already-used-all-simple-inv[OF res]* (*finite* (*fst* *a*)) (*atms-of-ms* (*fst* *a*)  $\subseteq$  *vars*) *f-vars*  
**by** *presburger*  
**moreover** **have** *snd a*  $\subset$  *snd b* **using** *resolution-simplified-already-used-subset[OF res simp]* .  
**ultimately** **have** *finite* (*already-used-top vars*)  $\wedge$  *already-used-top vars*  $\subseteq$  *already-used-top vars*  
 $\wedge$  *snd b*  $\subseteq$  *already-used-top vars*  $\wedge$  *snd a*  $\subset$  *snd b* **by** *metis*  
**}**  
**then show** *?thesis* **using** *wf-bounded-set*[*of*  $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$   $\lambda\cdot$ . *already-used-top vars* *snd*] **by** *auto*  
**qed**

**lemma** *wf-simplified-resolution'*:

**assumes** *f-vars*: *finite vars*  
**shows** *wf*  $\{(y:: 'v:: \text{linorder state}, x). (\text{atms-of-ms } (\text{fst } x) \subseteq \text{vars} \wedge \neg \text{simplified } (\text{fst } x) \wedge \text{finite } (\text{snd } x) \wedge \text{finite } (\text{fst } x) \wedge \text{already-used-all-simple } (\text{snd } x) \text{ vars}) \wedge \text{resolution } x y\}$   
**unfolding** *wf-def*  
**apply** (*simp add*: *resolution-always-simplified*)  
**by** (*metis* (*mono-tags*, *hide-lams*) *fst-conv* *resolution-always-simplified*)

**lemma** *wf-resolution*:

**assumes** *f-vars*: *finite vars*

**shows**  $wf \{ (y: 'v:: linorder\ state, x). (atms-of-ms\ (fst\ x) \subseteq vars \wedge simplified\ (fst\ x) \wedge finite\ (snd\ x) \wedge finite\ (fst\ x) \wedge already-used-all-simple\ (snd\ x)\ vars) \wedge resolution\ x\ y \}$   
 $\cup \{ (y, x). (atms-of-ms\ (fst\ x) \subseteq vars \wedge \neg simplified\ (fst\ x) \wedge finite\ (snd\ x) \wedge finite\ (fst\ x) \wedge already-used-all-simple\ (snd\ x)\ vars) \wedge resolution\ x\ y \}$  **(is**  $wf\ (?R \cup ?S)$ **)**

**proof** –

**have** *Domain*  $?R$  *Int* *Range*  $?S = \{\}$  **using** *resolution-always-simplified* **by** *auto blast*

**then show**  $wf\ (?R \cup ?S)$

**using** *wf-simplified-resolution*[*OF f-vars*] *wf-simplified-resolution'*[*OF f-vars*] *wf-Un*[*of ?R ?S*]  
**by** *fast*

**qed**

**lemma** *rtrancp-simplify-already-used-inv*:

**assumes** *simplify*<sup>\*\*</sup> *S S'*

**and** *already-used-inv* (*S*, *N*)

**shows** *already-used-inv* (*S'*, *N*)

**using** *assms* **apply** *induction*

**using** *simplify-preserves-already-used-inv* **by** *fast+*

**lemma** *full1-simplify-already-used-inv*:

**assumes** *full1 simplify* *S S'*

**and** *already-used-inv* (*S*, *N*)

**shows** *already-used-inv* (*S'*, *N*)

**using** *assms* *trancp-into-rtrancp*[*of simplify S S'*] *rtrancp-simplify-already-used-inv*

**unfolding** *full1-def* **by** *fast*

**lemma** *full-simplify-already-used-inv*:

**assumes** *full simplify* *S S'*

**and** *already-used-inv* (*S*, *N*)

**shows** *already-used-inv* (*S'*, *N*)

**using** *assms* *rtrancp-simplify-already-used-inv* **unfolding** *full-def* **by** *fast*

**lemma** *resolution-already-used-inv*:

**assumes** *resolution* *S S'*

**and** *already-used-inv* *S*

**shows** *already-used-inv* *S'*

**using** *assms*

**proof** *induction*

**case** (*full1-simp* *N N'* *already-used*)

**then show**  $?case$  **using** *full1-simplify-already-used-inv* **by** *fast*

**next**

**case** (*inferring* *N* *already-used* *N'* *already-used'* *N''*) **note**  $inf = this(1)$  **and**  $full = this(3)$  **and**  $a-u-v = this(4)$

**then show**  $?case$

**using** *inference-preserves-already-used-inv*[*OF inf a-u-v*] *full-simplify-already-used-inv* *full*  
**by** *fast*

**qed**

**lemma** *rtrancp-resolution-already-used-inv*:

**assumes** *resolution*<sup>\*\*</sup> *S S'*

**and** *already-used-inv* *S*

**shows** *already-used-inv* *S'*

**using** *assms* **apply** *induction*

**using** *resolution-already-used-inv* **by** *fast+*



**lemma** *rtanclp-simplify-preserves-unsat*:  
**assumes** *simplify*\*\*  $\psi$   $\psi'$   
**shows** *satisfiable*  $\psi' \longrightarrow$  *satisfiable*  $\psi$   
**using** *assms* **apply** *induction*  
**using** *simplify-clause-preserves-sat* **by** *blast+*

**lemma** *full1-simplify-preserves-unsat*:  
**assumes** *full1 simplify*  $\psi$   $\psi'$   
**shows** *satisfiable*  $\psi' \longrightarrow$  *satisfiable*  $\psi$   
**using** *assms* *rtanclp-simplify-preserves-unsat*[*of*  $\psi$   $\psi'$ ] *tranclp-into-rtranclp*  
**unfolding** *full1-def* **by** *metis*

**lemma** *full-simplify-preserves-unsat*:  
**assumes** *full simplify*  $\psi$   $\psi'$   
**shows** *satisfiable*  $\psi' \longrightarrow$  *satisfiable*  $\psi$   
**using** *assms* *rtanclp-simplify-preserves-unsat*[*of*  $\psi$   $\psi'$ ] **unfolding** *full-def* **by** *metis*

**lemma** *resolution-preserves-unsat*:  
**assumes** *resolution*  $\psi$   $\psi'$   
**shows** *satisfiable* (*fst*  $\psi'$ )  $\longrightarrow$  *satisfiable* (*fst*  $\psi$ )  
**using** *assms* **apply** (*induct* *rule*: *resolution.induct*)  
**using** *full1-simplify-preserves-unsat* **apply** (*metis* *fst-conv*)  
**using** *full-simplify-preserves-unsat* *simplify-preserves-unsat* **by** *fastforce*

**lemma** *rtranclp-resolution-preserves-unsat*:  
**assumes** *resolution*\*\*  $\psi$   $\psi'$   
**shows** *satisfiable* (*fst*  $\psi'$ )  $\longrightarrow$  *satisfiable* (*fst*  $\psi$ )  
**using** *assms* **apply** *induction*  
**using** *resolution-preserves-unsat* **by** *fast+*

**lemma** *rtranclp-simplify-preserve-partial-tree*:  
**assumes** *simplify*\*\*  $N$   $N'$   
**and** *partial-interps*  $t$   $I$   $N$   
**shows** *partial-interps*  $t$   $I$   $N'$   
**using** *assms* **apply** (*induction*, *simp*)  
**using** *simplify-preserve-partial-tree* **by** *metis*

**lemma** *full1-simplify-preserve-partial-tree*:  
**assumes** *full1 simplify*  $N$   $N'$   
**and** *partial-interps*  $t$   $I$   $N$   
**shows** *partial-interps*  $t$   $I$   $N'$   
**using** *assms* *rtranclp-simplify-preserve-partial-tree*[*of*  $N$   $N'$   $t$   $I$ ] *tranclp-into-rtranclp*  
**unfolding** *full1-def* **by** *fast*

**lemma** *full-simplify-preserve-partial-tree*:  
**assumes** *full simplify*  $N$   $N'$   
**and** *partial-interps*  $t$   $I$   $N$   
**shows** *partial-interps*  $t$   $I$   $N'$   
**using** *assms* *rtranclp-simplify-preserve-partial-tree*[*of*  $N$   $N'$   $t$   $I$ ] *tranclp-into-rtranclp*  
**unfolding** *full-def* **by** *fast*

**lemma** *resolution-preserve-partial-tree*:  
**assumes** *resolution*  $S$   $S'$   
**and** *partial-interps*  $t$   $I$  (*fst*  $S$ )  
**shows** *partial-interps*  $t$   $I$  (*fst*  $S'$ )  
**using** *assms* **apply** *induction*

**using** *full1-simplify-preserve-partial-tree fst-conv* **apply** *metis*  
**using** *full-simplify-preserve-partial-tree inference-preserve-partial-tree* **by** *fastforce*

**lemma** *rtrancp-resolution-preserve-partial-tree*:  
**assumes** *resolution\*\* S S'*  
**and** *partial-interps t I (fst S)*  
**shows** *partial-interps t I (fst S')*  
**using** *assms* **apply** *induction*  
**using** *resolution-preserve-partial-tree* **by** *fast+*  
**thm** *nat-less-induct nat.induct*

**lemma** *nat-ge-induct[case-names 0 Suc]*:  
**assumes** *P 0*  
**and**  $\bigwedge n. (\bigwedge m. m < \text{Suc } n \implies P m) \implies P (\text{Suc } n)$   
**shows** *P n*  
**using** *assms* **apply** (*induct rule: nat-less-induct*)  
**by** (*rename-tac n, case-tac n*) *auto*

**lemma** *wf-always-more-step-False*:  
**assumes** *wf R*  
**shows**  $(\forall x. \exists z. (z, x) \in R) \implies \text{False}$   
**using** *assms* **unfolding** *wf-def* **by** (*meson Domain.DomainI assms wfE-min*)

**lemma** *finite-finite-mset-element-of-mset[simp]*:  
**assumes** *finite N*  
**shows** *finite {f  $\varphi$  L |  $\varphi$  L.  $\varphi \in N \wedge L \in \# \varphi \wedge P \varphi L$ }*  
**using** *assms*

**proof** (*induction N rule: finite-induct*)  
**case** *empty*  
**show** *?case* **by** *auto*

**next**

**case** (*insert x N*) **note** *finite = this(1)* **and** *IH = this(3)*  
**have**  $\{f \varphi L \mid \varphi L. (\varphi = x \vee \varphi \in N) \wedge L \in \# \varphi \wedge P \varphi L\} \subseteq \{f x L \mid L. L \in \# x \wedge P x L\}$   
 $\cup \{f \varphi L \mid \varphi L. \varphi \in N \wedge L \in \# \varphi \wedge P \varphi L\}$  **by** *auto*  
**moreover** **have** *finite {f x L | L. L  $\in$  # x}* **by** *auto*  
**ultimately show** *?case* **using** *IH finite-subset* **by** *fastforce*

**qed**

**definition** *sum-count-ge-2* :: *'a multiset set  $\Rightarrow$  nat ( $\Xi$ ) where*  
*sum-count-ge-2  $\equiv$  folding.F ( $\lambda \varphi. \text{op} + (\text{msetsum } \{\# \text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\})) 0$*

**interpretation** *sum-count-ge-2*:

*folding ( $\lambda \varphi. \text{op} + (\text{msetsum } \{\# \text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\})) 0$*

**rewrites**

*folding.F ( $\lambda \varphi. \text{op} + (\text{msetsum } \{\# \text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\})) 0 = \text{sum-count-ge-2}$*

**proof** –

**show** *folding ( $\lambda \varphi. \text{op} + (\text{msetsum } (\text{image-mset } (\text{count } \varphi) \{\# L \in \# \varphi. 2 \leq \text{count } \varphi L\}\}))$*   
**by** *standard auto*

**then interpret** *sum-count-ge-2*:

*folding ( $\lambda \varphi. \text{op} + (\text{msetsum } \{\# \text{count } \varphi L \mid L \in \# \varphi. 2 \leq \text{count } \varphi L\}\})) 0$ .*

**show** *folding.F ( $\lambda \varphi. \text{op} + (\text{msetsum } (\text{image-mset } (\text{count } \varphi) \{\# L \in \# \varphi. 2 \leq \text{count } \varphi L\}\})) 0$*   
 $= \text{sum-count-ge-2}$  **by** (*auto simp add: sum-count-ge-2-def*)

**qed**

**lemma** *finite-incl-le-setsum*:

*finite* ( $B :: 'a$  multiset set)  $\implies A \subseteq B \implies \Xi A \leq \Xi B$

**proof** (*induction arbitrary:A rule: finite-induct*)

case *empty*

then show ?case by *simp*

next

case (*insert a F*) **note** *finite = this(1)* and *aF = this(2)* and *IH = this(3)* and *AF = this(4)*

show ?case

**proof** (*cases a ∈ A*)

assume  $a \notin A$

then have  $A \subseteq F$  using *AF* by *auto*

then show ?case using *IH[of A]* by (*simp add: aF local.finite*)

next

assume  $aA: a \in A$

then have  $A - \{a\} \subseteq F$  using *AF* by *auto*

then have  $\Xi (A - \{a\}) \leq \Xi F$  using *IH* by *blast*

then show ?case

**proof** –

**obtain**  $nn :: nat \Rightarrow nat \Rightarrow nat$  **where**

$\forall x0\ x1. (\exists v2. x0 = x1 + v2) = (x0 = x1 + nn\ x0\ x1)$

by *moura*

then have  $\Xi F = \Xi (A - \{a\}) + nn (\Xi F) (\Xi (A - \{a\}))$

by (*meson*  $\langle \Xi (A - \{a\}) \leq \Xi F \rangle$  *le-iff-add*)

then show ?thesis

by (*metis* (*no-types*) *le-iff-add aA aF add.assoc finite.insertI finite-subset insert.premis local.finite sum-count-ge-2.insert sum-count-ge-2.remove*)

qed

qed

qed

**lemma** *simplify-finite-measure-decrease*:

*simplify*  $N\ N' \implies \text{finite } N \implies \text{card } N' + \Xi N' < \text{card } N + \Xi N$

**proof** (*induction rule: simplify.induct*)

case (*tautology-deletion A P*) **note** *an = this(1)* and *fin = this(2)*

let  $?N' = N - \{A + \{\#Pos\ P\# \} + \{\#Neg\ P\# \}\}$

have  $\text{card } ?N' < \text{card } N$

by (*meson card-Diff1-less tautology-deletion.hyps tautology-deletion.premis*)

moreover have  $?N' \subseteq N$  by *auto*

then have  $\text{sum-count-ge-2 } ?N' \leq \text{sum-count-ge-2 } N$  using *finite-incl-le-setsum[OF fin]* by *blast*

ultimately show ?case by *linarith*

next

case (*condensation A L*) **note** *AN = this(1)* and *fin = this(2)*

let  $?C' = A + \{\#L\#\}$

let  $?C = A + \{\#L\#\} + \{\#L\#\}$

let  $?N' = N - \{?C\} \cup \{?C'\}$

have  $\text{card } ?N' \leq \text{card } N$

using *AN* by (*metis* (*no-types, lifting*) *Diff-subset Un-empty-right Un-insert-right card.remove card-insert-if card-mono fin finite-Diff order-refl*)

moreover have  $\Xi \{?C'\} < \Xi \{?C\}$

**proof** –

have *mset-decomp*:

$\{\# L a \in \# A. (L = La \longrightarrow La \in \# A) \wedge (L \neq La \longrightarrow 2 \leq \text{count } A\ La)\#\}$   
 $= \{\# L a \in \# A. L \neq La \wedge 2 \leq \text{count } A\ La\#\} +$   
 $\{\# L a \in \# A. L = La \wedge \text{Suc } 0 \leq \text{count } A\ L\#\}$

by (*auto simp: multiset-eq-iff ac-simps*)

have *mset-decomp2*:  $\{\# L a \in \# A. L \neq La \longrightarrow 2 \leq \text{count } A\ La\#\} =$

```

    {# La ∈# A. L ≠ La ∧ 2 ≤ count A La#} + replicate-mset (count A L) L
  by (auto simp: multiset-eq-iff)
show ?thesis
  by (auto simp: mset-decomp mset-decomp2 filter-mset-eq ac-simps)
qed
have  $\Xi \ ?N' < \Xi \ N$ 
proof cases
  assume a1:  $?C' \in N$ 
  then show ?thesis
    proof -
      have f2:  $\bigwedge m \ M. \text{insert } (m::'a \text{ literal multiset}) (M - \{m\}) = M \cup \{m\} \vee m \notin M$ 
        using Un-empty-right insert-Diff by blast
      have f3:  $\bigwedge m \ M \ Ma. \text{insert } (m::'a \text{ literal multiset}) M - \text{insert } m \ Ma = M - \text{insert } m \ Ma$ 
        by simp
      then have f4:  $\bigwedge M \ m. M - \{m::'a \text{ literal multiset}\} = M \cup \{m\} \vee m \in M$ 
        using Diff-insert-absorb Un-empty-right by fastforce
      have f5:  $\text{insert } (A + \{\#L\# \} + \{\#L\# \}) N = N$ 
        using f3 f2 Un-empty-right condensation.hyps insert-iff by fastforce
      have  $\bigwedge m \ M. \text{insert } (m::'a \text{ literal multiset}) M = M \cup \{m\} \vee m \notin M$ 
        using f3 f2 Un-empty-right add.right-neutral insert-iff by fastforce
      then have  $\Xi \ (N - \{A + \{\#L\# \} + \{\#L\# \}\}) < \Xi \ N$ 
        using f5 f4 by (metis Un-empty-right  $\langle \Xi \ \{A + \{\#L\# \}\} < \Xi \ \{A + \{\#L\# \} + \{\#L\# \}\} \rangle$ 
          add.right-neutral add-diff-cancel-left' add-gr-0 diff-less fin finite.emptyI not-le
          sum-count-ge-2.empty sum-count-ge-2.insert-remove trans-le-add2)
      then show ?thesis
        using f3 f2 a1 by (metis (no-types) Un-empty-right Un-insert-right condensation.hyps
          insert-iff multi-self-add-other-not-self)
    qed
  next
    assume  $?C' \notin N$ 
    have mset-decomp:
       $\{\# La \in \# A. (L = La \longrightarrow \text{Suc } 0 \leq \text{count } A \ La) \wedge (L \neq La \longrightarrow 2 \leq \text{count } A \ La)\#\}$ 
      =  $\{\# La \in \# A. L \neq La \wedge 2 \leq \text{count } A \ La\# \} +$ 
       $\{\# La \in \# A. L = La \wedge \text{Suc } 0 \leq \text{count } A \ L\#\}$ 
      by (auto simp: multiset-eq-iff ac-simps)
    have mset-decomp2:  $\{\# La \in \# A. L \neq La \longrightarrow 2 \leq \text{count } A \ La\# \} =$ 
       $\{\# La \in \# A. L \neq La \wedge 2 \leq \text{count } A \ La\# \} + \text{replicate-mset } (\text{count } A \ L) \ L$ 
      by (auto simp: multiset-eq-iff)

    show ?thesis
      using  $\langle \Xi \ \{A + \{\#L\# \}\} < \Xi \ \{A + \{\#L\# \} + \{\#L\# \}\} \rangle$  condensation.hyps fin
      sum-count-ge-2.remove[of - A +  $\{\#L\# \} + \{\#L\# \}$ ]  $\langle ?C' \notin N \rangle$ 
      by (auto simp: mset-decomp mset-decomp2 filter-mset-eq)
    qed
  ultimately show ?case by linarith
next
case (subsumption A B) note AN = this(1) and AB = this(2) and BN = this(3) and fin = this(4)
have card  $(N - \{B\}) < \text{card } N$  using BN by (meson card-Diff1-less subsumption.prem)
moreover have  $\Xi \ (N - \{B\}) \leq \Xi \ N$ 
  by (simp add: Diff-subset finite-incl-le-setsum subsumption.prem)
ultimately show ?case by linarith
qed

lemma simplify-terminates:
  wf  $\{(N', N). \text{finite } N \wedge \text{simplify } N \ N'\}$ 
  using assms apply (rule wfP-if-measure[of finite simplify  $\lambda N. \text{card } N + \Xi \ N$ ])

```

using *simplify-finite-measure-decrease* by *blast*

**lemma** *wf-terminates*:

assumes *wf r*

shows  $\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r)$

**proof** –

let  $?P = \lambda N. (\exists N'. (N', N) \in r^* \wedge (\forall N''. (N'', N') \notin r))$

have  $(\forall x. (\forall y. (y, x) \in r \longrightarrow ?P y) \longrightarrow ?P x)$

**proof** *clarify*

fix *x*

assume *H*:  $\forall y. (y, x) \in r \longrightarrow ?P y$

{ assume  $\exists y. (y, x) \in r$

then obtain *y* where *y*:  $(y, x) \in r$  by *blast*

then have  $?P y$  using *H* by *blast*

then have  $?P x$  using *y* by (*meson rtrancl.rtrancl-into-rtrancl*)

}

moreover {

assume  $\neg(\exists y. (y, x) \in r)$

then have  $?P x$  by *auto*

}

ultimately show  $?P x$  by *blast*

**qed**

moreover have  $(\forall x. (\forall y. (y, x) \in r \longrightarrow ?P y) \longrightarrow ?P x) \longrightarrow \text{All } ?P$

using *assms unfolding wf-def* by (*rule allE*)

ultimately have *All ?P* by *blast*

then show  $?P N$  by *blast*

**qed**

**lemma** *rtranclp-simplify-terminates*:

assumes *fin*: *finite N*

shows  $\exists N'. \text{simplify}^{**} N N' \wedge \text{simplified } N'$

**proof** –

have *H*:  $\{(N', N). \text{finite } N \wedge \text{simplify } N N'\} = \{(N', N). \text{simplify } N N' \wedge \text{finite } N\}$  by *auto*

then have *wf*:  $\text{wf } \{(N', N). \text{simplify } N N' \wedge \text{finite } N\}$

using *simplify-terminates* by (*simp add: H*)

obtain *N'* where *N'*:  $(N', N) \in \{(b, a). \text{simplify } a b \wedge \text{finite } a\}^*$  and

more:  $(\forall N''. (N'', N') \notin \{(b, a). \text{simplify } a b \wedge \text{finite } a\})$

using *Prop-Resolution.wf-terminates[OF wf, of N]* by *blast*

have *1*:  $\text{simplify}^{**} N N'$

using *N'* by (*induction rule: rtrancl.induct*) *auto*

then have *finite N'* using *fin rtranclp-simplify-preserves-finite* by *blast*

then have *2*:  $\forall N''. \neg \text{simplify } N' N''$  using *more* by *auto*

show *?thesis* using *1 2* by *blast*

**qed**

**lemma** *finite-simplified-full1-simp*:

assumes *finite N*

shows  $\text{simplified } N \vee (\exists N'. \text{full1 simplify } N N')$

using *rtranclp-simplify-terminates[OF assms]* *unfolding full1-def*

by (*metis Nitpick.rtranclp-unfold*)

**lemma** *finite-simplified-full-simp*:

assumes *finite N*

**shows**  $\exists N'. \text{full simplify } N N'$   
**using** *rtranchp-simplify-terminates*[*OF assms*] **unfolding** *full-def* **by** *metis*

**lemma** *can-decrease-tree-size-resolution:*

**fixes**  $\psi :: 'v \text{ state}$  **and**  $\text{tree} :: 'v \text{ sem-tree}$

**assumes** *finite* (*fst*  $\psi$ ) **and** *already-used-inv*  $\psi$

**and** *partial-interps* *tree* *I* (*fst*  $\psi$ )

**and** *simplified* (*fst*  $\psi$ )

**shows**  $\exists (\text{tree}' :: 'v \text{ sem-tree}) \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps } \text{tree}' I (\text{fst } \psi')$   
 $\wedge (\text{sem-tree-size } \text{tree}' < \text{sem-tree-size } \text{tree} \vee \text{sem-tree-size } \text{tree} = 0)$

**using** *assms*

**proof** (*induct arbitrary: I rule: sem-tree-size*)

**case** (*bigger* *xs* *I*) **note** *IH* = *this*(1) **and** *finite* = *this*(2) **and** *a-u-i* = *this*(3) **and** *part* = *this*(4)  
**and** *simp* = *this*(5)

{ **assume** *sem-tree-size* *xs* = 0  
**then have** ?*case* **using** *part* **by** *blast*  
}

**moreover** {

**assume** *sn0*: *sem-tree-size* *xs* > 0

**obtain** *ag* *ad* *v* **where** *xs*: *xs* = *Node* *v* *ag* *ad* **using** *sn0* **by** (*cases* *xs*, *auto*)

{

**assume** *sem-tree-size* *ag* = 0  $\wedge$  *sem-tree-size* *ad* = 0

**then have** *ag*: *ag* = *Leaf* **and** *ad*: *ad* = *Leaf* **by** (*cases* *ag*, *auto*, *cases* *ad*, *auto*)

**then obtain**  $\chi \chi'$  **where**

$\chi$ :  $\neg I \cup \{\text{Pos } v\} \models \chi$  **and**

*tot* $\chi$ : *total-over-m* ( $I \cup \{\text{Pos } v\}$ )  $\{\chi\}$  **and**

$\chi\psi$ :  $\chi \in \text{fst } \psi$  **and**

$\chi'$ :  $\neg I \cup \{\text{Neg } v\} \models \chi'$  **and**

*tot* $\chi'$ : *total-over-m* ( $I \cup \{\text{Neg } v\}$ )  $\{\chi'\}$  **and**  $\chi'\psi$ :  $\chi' \in \text{fst } \psi$

**using** *part* **unfolding** *xs* **by** *auto*

**have** *Posv*: *Pos* *v*  $\notin \chi$  **using**  $\chi$  **unfolding** *true-cls-def* *true-lit-def* **by** *auto*

**have** *Negv*: *Neg* *v*  $\notin \chi'$  **using**  $\chi'$  **unfolding** *true-cls-def* *true-lit-def* **by** *auto*

{

**assume** *Neg* $\chi$ : *Neg* *v*  $\notin \chi$

**then have**  $\neg I \models \chi$  **using**  $\chi$  *Posv* **unfolding** *true-cls-def* *true-lit-def* **by** *auto*

**moreover have** *total-over-m* *I*  $\{\chi\}$

**using** *Posv* *Neg* $\chi$  *atm-imp-pos-or-neg-lit* *tot* $\chi$  **unfolding** *total-over-m-def* *total-over-set-def*  
**by** *fastforce*

**ultimately have** *partial-interps* *Leaf* *I* (*fst*  $\psi$ )

**and** *sem-tree-size* *Leaf* < *sem-tree-size* *xs*

**and** *resolution*<sup>\*\*</sup>  $\psi \psi$

**unfolding** *xs* **by** (*auto* *simp* *add*:  $\chi\psi$ )

}

**moreover** {

**assume** *Pos* $\chi$ : *Pos* *v*  $\notin \chi'$

**then have** *I* $\chi$ :  $\neg I \models \chi'$  **using**  $\chi'$  *Posv* **unfolding** *true-cls-def* *true-lit-def* **by** *auto*

**moreover have** *total-over-m* *I*  $\{\chi'\}$

**using** *Negv* *Pos* $\chi$  *atm-imp-pos-or-neg-lit* *tot* $\chi'$

**unfolding** *total-over-m-def* *total-over-set-def* **by** *fastforce*

**ultimately have** *partial-interps* *Leaf* *I* (*fst*  $\psi$ )

**and** *sem-tree-size* *Leaf* < *sem-tree-size* *xs*

**and** *resolution*<sup>\*\*</sup>  $\psi \psi$

**using**  $\chi'\psi$  *I* $\chi$  **unfolding** *xs* **by** *auto*

```

}
moreover {
  assume neg: Neg v ∈# χ and pos: Pos v ∈# χ'
  have count χ (Neg v) = 1
    using simplified-count[OF simp χψ] neg
    by (simp add: dual-order.antisym)
  have count χ' (Pos v) = 1
    using simplified-count[OF simp χ'ψ] pos
    by (simp add: dual-order.antisym)

  obtain C where χC: χ = C + {#Neg v#} and negC: Neg v ∉# C and posC: Pos v ∉# C
  by (metis (no-types, lifting) One-nat-def Posv Suc-eq-plus1-left ⟨count χ (Neg v) = 1⟩
    add-diff-cancel-left' count-diff count-greater-eq-one-iff count-single insert-DiffM
    insert-DiffM2 less-numeral-extra(3) multi-member-skip not-le not-less-eq-eq)

  obtain C' where
    χC': χ' = C' + {#Pos v#} and
    posC': Pos v ∉# C' and
    negC': Neg v ∉# C'
  by (metis (no-types, lifting) One-nat-def Negv Suc-eq-plus1-left ⟨count χ' (Pos v) = 1⟩
    add-diff-cancel-left' count-diff count-greater-eq-one-iff count-single insert-DiffM
    insert-DiffM2 less-numeral-extra(3) multi-member-skip not-le not-less-eq-eq)

  have totC: total-over-m I {C}
    using totχ tot-over-m-remove[of I Pos v C] negC posC unfolding χC
    by (metis total-over-m-sum uminus-Neg uminus-of-uminus-id)
  have totC': total-over-m I {C'}
    using totχ' total-over-m-sum tot-over-m-remove[of I Neg v C'] negC' posC'
    unfolding χC' by (metis total-over-m-sum uminus-Neg)
  have ¬ I ⊨ C + C'
    using χ χ' χC χC' by auto
  then have part-I-ψ''': partial-interps Leaf I (fst ψ ∪ {C + C'})
    using totC totC' (¬ I ⊨ C + C') by (metis Un-insert-right insertI1
    partial-interps.simps(1) total-over-m-sum)
  {
    assume ({#Pos v#} + C', {#Neg v#} + C) ∉ snd ψ
    then have inf'': inference ψ (fst ψ ∪ {C + C'}, snd ψ ∪ {(χ', χ)})
      by (metis χ'ψ χC χC' χψ add.commute inference-step prod.collapse resolution)
    obtain N' where full: full simplify (fst ψ ∪ {C + C'}) N'
      by (metis finite-simplified-full-simp fst-conv inf'' inference-preserves-finite
        local.finite)
    have resolution ψ (N', snd ψ ∪ {(χ', χ)})
      using resolution.intros(2)[OF - simp full, of snd ψ snd ψ ∪ {(χ', χ)}] inf''
      by (metis surjective-pairing)
    moreover have partial-interps Leaf I N'
      using full-simplify-preserve-partial-tree[OF full part-I-ψ'''] .
    moreover have sem-tree-size Leaf < sem-tree-size xs unfolding xs by auto
    ultimately have ?case
      by (metis (no-types) prod.sel(1) rtranclp.rtrancl-into-rtrancl rtranclp.rtrancl-refl)
  }
}
moreover {
  assume a: ({#Pos v#} + C', {#Neg v#} + C) ∈ snd ψ
  then have (∃ χ ∈ fst ψ. (∀ I. total-over-m I {C+C'} ⟶ total-over-m I {χ})
    ∧ (∀ I. total-over-m I {χ} ⟶ I ⊨ χ ⟶ I ⊨ C' + C)) ∨ tautology (C' + C)
  proof -
    obtain p where p: Pos p ∈# ({#Pos v#} + C') ∧ Neg p ∈# ({#Neg v#} + C)

```

$\wedge ((\exists \chi \in \text{fst } \psi. (\forall I. \text{total-over-m } I \{(\{\#Pos v\} + C') - \{\#Pos p\} + ((\{\#Neg v\} + C) - \{\#Neg p\})) \longrightarrow \text{total-over-m } I \{\chi\}) \wedge (\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models (\{\#Pos v\} + C') - \{\#Pos p\} + ((\{\#Neg v\} + C) - \{\#Neg p\}))) \vee \text{tautology } ((\{\#Pos v\} + C') - \{\#Pos p\} + ((\{\#Neg v\} + C) - \{\#Neg p\})))$

**using** *a* **by** (*blast intro: allE[OF a-u-i[unfolded subsumes-def Ball-def],*  
*of*  $(\{\#Pos v\} + C', \{\#Neg v\} + C))$

**{ assume**  $p \neq v$   
**then have**  $Pos\ p \in \# C' \wedge Neg\ p \in \# C$  **using** *p* **by** *force*  
**then have** *?thesis* **by** *auto*  
**}**

**moreover {**  
**assume**  $p = v$   
**then have** *?thesis* **using** *p* **by** (*metis add.commute add-diff-cancel-left')*  
**}**

**ultimately show** *?thesis* **by** *auto*  
**qed**

**moreover {**  
**assume**  $\exists \chi \in \text{fst } \psi. (\forall I. \text{total-over-m } I \{C + C'\} \longrightarrow \text{total-over-m } I \{\chi\})$   
 $\wedge (\forall I. \text{total-over-m } I \{\chi\} \longrightarrow I \models \chi \longrightarrow I \models C' + C)$   
**then obtain**  $\vartheta$  **where**  
 $\vartheta: \vartheta \in \text{fst } \psi$  **and**  
 $\text{tot-}\vartheta\text{-}CC': \forall I. \text{total-over-m } I \{C + C'\} \longrightarrow \text{total-over-m } I \{\vartheta\}$  **and**  
 $\vartheta\text{-inv}: \forall I. \text{total-over-m } I \{\vartheta\} \longrightarrow I \models \vartheta \longrightarrow I \models C' + C$  **by** *blast*  
**have** *partial-interps Leaf I (fst ψ)*  
**using** *tot-}\vartheta\text{-}CC' \vartheta \vartheta\text{-inv totC totC' } \hookrightarrow I \models C + C' \text{ total-over-m-sum}* **by** *fastforce*  
**moreover have** *sem-tree-size Leaf < sem-tree-size xs* **unfolding** *xs* **by** *auto*  
**ultimately have** *?case* **by** *blast*  
**}**

**moreover {**  
**assume** *tautCC'*: *tautology*  $(C' + C)$   
**have** *total-over-m I {C' + C}* **using** *totC totC' total-over-m-sum* **by** *auto*  
**then have**  $\neg \text{tautology } (C' + C)$   
**using**  $\hookrightarrow I \models C + C'$  **unfolding** *add.commute[of C C'] total-over-m-def*  
**unfolding** *tautology-def* **by** *auto*  
**then have** *False* **using** *tautCC'* **unfolding** *tautology-def* **by** *auto*  
**}**

**ultimately have** *?case* **by** *auto*  
**}**

**ultimately have** *?case* **by** *auto*  
**}**

**ultimately have** *?case* **using** *part* **by** (*metis (no-types) sem-tree-size.simps(1)*)  
**}**

**moreover {**  
**assume** *size-ag: sem-tree-size ag > 0*  
**have** *sem-tree-size ag < sem-tree-size xs* **unfolding** *xs* **by** *auto*  
**moreover have** *partial-interps ag (I ∪ {Pos v}) (fst ψ)*  
**and** *partad: partial-interps ad (I ∪ {Neg v}) (fst ψ)*  
**using** *part partial-interps.simps(2)* **unfolding** *xs* **by** *metis+*  
**moreover**  
**have** *sem-tree-size ag < sem-tree-size xs*  $\implies$  *finite (fst ψ)*  $\implies$  *already-used-inv ψ*  
 $\implies$  *partial-interps ag (I ∪ {Pos v}) (fst ψ)*  $\implies$  *simplified (fst ψ)*  
 $\implies \exists \text{tree}' \psi'. \text{resolution}^{**} \psi \psi' \wedge \text{partial-interps tree}' (I \cup \{\text{Pos } v\}) (\text{fst } \psi')$   
 $\wedge (\text{sem-tree-size tree}' < \text{sem-tree-size ag} \vee \text{sem-tree-size ag} = 0)$   
**using** *IH[of ag I ∪ {Pos v}]* **by** *auto*  
**ultimately obtain**  $\psi' :: 'v \text{ state}$  **and**  $\text{tree}' :: 'v \text{ sem-tree}$  **where**  
*inf: resolution\*\* ψ ψ'*



```

    and part: partial-interps tree' (I ∪ {Pos v}) (fst ψ')
    and size: sem-tree-size tree' < sem-tree-size ag ∨ sem-tree-size ag = 0
    using finite part rtranclp.rtrancl-refl a-u-i simp by blast

  have partial-interps ad (I ∪ {Neg v}) (fst ψ')
    using rtranclp-resolution-preserve-partial-tree inf partad by fast
  then have partial-interps (Node v tree' ad) I (fst ψ') using part by auto
  then have ?case using inf size size-ag part unfolding xs by fastforce
}
moreover {
  assume size-ad: sem-tree-size ad > 0
  have sem-tree-size ad < sem-tree-size xs unfolding xs by auto
  moreover
    have
      partag: partial-interps ag (I ∪ {Pos v}) (fst ψ) and
      partial-interps ad (I ∪ {Neg v}) (fst ψ)
      using part partial-interps.simps(2) unfolding xs by metis+
  moreover have sem-tree-size ad < sem-tree-size xs ⟶ finite (fst ψ) ⟶ already-used-inv ψ
    ⟶ ( partial-interps ad (I ∪ {Neg v}) (fst ψ) ⟶ simplified (fst ψ)
    ⟶ (∃ tree' ψ'. resolution** ψ ψ' ∧ partial-interps tree' (I ∪ {Neg v}) (fst ψ')
      ∧ (sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0)))
    using IH by blast
  ultimately obtain ψ' :: 'v state and tree' :: 'v sem-tree where
    inf: resolution** ψ ψ'
    and part: partial-interps tree' (I ∪ {Neg v}) (fst ψ')
    and size: sem-tree-size tree' < sem-tree-size ad ∨ sem-tree-size ad = 0
    using finite part rtranclp.rtrancl-refl a-u-i simp by blast

  have partial-interps ag (I ∪ {Pos v}) (fst ψ')
    using rtranclp-resolution-preserve-partial-tree inf partag by fast
  then have partial-interps (Node v ag tree') I (fst ψ') using part by auto
  then have ?case using inf size size-ad unfolding xs by fastforce
}
ultimately have ?case by auto
}
ultimately show ?case by auto
qed

```

**lemma** resolution-completeness-inv:

```

  fixes ψ :: 'v :: linorder state
  assumes
    unsat: ¬satisfiable (fst ψ) and
    finite: finite (fst ψ) and
    a-u-v: already-used-inv ψ
  shows ∃ ψ'. (resolution** ψ ψ' ∧ {#} ∈ fst ψ')
proof -
  obtain tree where partial-interps tree {} (fst ψ)
    using partial-interps-build-sem-tree-atms assms by metis
  then show ?thesis
    using unsat finite a-u-v
  proof (induct tree arbitrary: ψ rule: sem-tree-size)
    case (bigger tree ψ) note H = this
    {
      fix χ
      assume tree: tree = Leaf
      obtain χ where χ: ¬ {} ⊨ χ and totχ: total-over-m {} {χ} and χψ: χ ∈ fst ψ
    }
  end
end

```

```

    using H unfolding tree by auto
  moreover have  $\{\#\} = \chi$ 
    using H atms-empty-iff-empty tot $\chi$ 
    unfolding true-cls-def total-over-m-def total-over-set-def by fastforce
  moreover have resolution**  $\psi$   $\psi$  by auto
  ultimately have ?case by metis
}
moreover {
  fix v tree1 tree2
  assume tree: tree = Node v tree1 tree2
  obtain  $\psi_0$  where  $\psi_0$ : resolution**  $\psi$   $\psi_0$  and simp: simplified (fst  $\psi_0$ )
  proof -
    { assume simplified (fst  $\psi$ )
      moreover have resolution**  $\psi$   $\psi$  by auto
      ultimately have thesis using that by blast
    }
    moreover {
      assume  $\neg$ simplified (fst  $\psi$ )
      then have  $\exists \psi'. \text{full1 simplify } (\text{fst } \psi) \psi'$ 
        by (metis Nitpick.rtranclp-unfold bigger.prem(3) full1-def
          rtranclp-simplify-terminates)
      then obtain N where full1 simplify (fst  $\psi$ ) N by metis
      then have resolution  $\psi$  (N, snd  $\psi$ )
        using resolution.intros(1)[of fst  $\psi$  N snd  $\psi$ ] by auto
      moreover have simplified N
        using  $\langle \text{full1 simplify } (\text{fst } \psi) \text{ } N \rangle$  unfolding full1-def by blast
      ultimately have ?thesis using that by force
    }
    ultimately show ?thesis by auto
  qed
}

have p: partial-interps tree {} (fst  $\psi_0$ )
and uns: unsatisfiable (fst  $\psi_0$ )
and f: finite (fst  $\psi_0$ )
and a-u-v: already-used-inv  $\psi_0$ 
  using  $\psi_0$  bigger.prem(1) rtranclp-resolution-preserve-partial-tree apply blast
  using  $\psi_0$  bigger.prem(2) rtranclp-resolution-preserves-unsat apply blast
  using  $\psi_0$  bigger.prem(3) rtranclp-resolution-finite apply blast
  using rtranclp-resolution-already-used-inv[OF  $\psi_0$  bigger.prem(4)] by blast
obtain tree'  $\psi'$  where
  inf: resolution**  $\psi_0$   $\psi'$  and
  part': partial-interps tree' {} (fst  $\psi'$ ) and
  decrease: sem-tree-size tree' < sem-tree-size tree  $\vee$  sem-tree-size tree = 0
  using can-decrease-tree-size-resolution[OF f a-u-v p simp] unfolding tautology-def
  by meson
have s: sem-tree-size tree' < sem-tree-size tree using decrease unfolding tree by auto
have fin: finite (fst  $\psi'$ )
  using f inf rtranclp-resolution-finite by blast
have unsat: unsatisfiable (fst  $\psi'$ )
  using rtranclp-resolution-preserves-unsat inf uns by metis
have a-u-i': already-used-inv  $\psi'$ 
  using a-u-v inf rtranclp-resolution-already-used-inv[of  $\psi_0$   $\psi'$ ] by auto
have ?case
  using inf rtranclp-trans[of resolution] H(1)[OF s part' unsat fin a-u-i']  $\psi_0$  by blast
}

```

```

      ultimately show ?case by (cases tree, auto)
    qed
  qed

```

```

lemma resolution-preserves-already-used-inv:
  assumes resolution S S'
  and already-used-inv S
  shows already-used-inv S'
  using assms
  apply (induct rule: resolution.induct)
  apply (rule full1-simplify-already-used-inv; simp)
  apply (rule full-simplify-already-used-inv, simp)
  apply (rule inference-preserves-already-used-inv, simp)
  apply blast
done

```

```

lemma rtrancpl-resolution-preserves-already-used-inv:
  assumes resolution** S S'
  and already-used-inv S
  shows already-used-inv S'
  using assms
  apply (induct rule: rtrancpl-induct)
  apply simp
  using resolution-preserves-already-used-inv by fast

```

```

lemma resolution-completeness:
  fixes  $\psi :: 'v :: \text{linorder state}$ 
  assumes unsat:  $\neg \text{satisfiable (fst } \psi)$ 
  and finite:  $\text{finite (fst } \psi)$ 
  and snd  $\psi = \{\}$ 
  shows  $\exists \psi'. (\text{resolution** } \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')$ 
proof -
  have already-used-inv  $\psi$  unfolding assms by auto
  then show ?thesis using assms resolution-completeness-inv by blast
qed

```

```

lemma rtrancpl-preserves-sat:
  assumes simplify** S S'
  and satisfiable S
  shows satisfiable S'
  using assms apply induction
  apply simp
  by (meson satisfiable-carac satisfiable-def simplify-preserves-un-sat-eq)

```

```

lemma resolution-preserves-sat:
  assumes resolution S S'
  and satisfiable (fst S)
  shows satisfiable (fst S')
  using assms apply (induction rule: resolution.induct)
  using rtrancpl-preserves-sat trancpl-into-rtrancpl unfolding full1-def apply fastforce
  by (metis fst-conv full-def inference-preserves-un-sat rtrancpl-preserves-sat
    satisfiable-carac' satisfiable-def)

```

```

lemma rtrancpl-resolution-preserves-sat:
  assumes resolution** S S'
  and satisfiable (fst S)

```

shows *satisfiable* (fst *S'*)  
 using *assms* **apply** (induction rule: *rtranclp-induct*)  
 apply *simp*  
 using *resolution-preserves-sat* **by** *blast*

**lemma** *resolution-soundness*:  
 fixes  $\psi :: 'v :: \text{linorder state}$   
 assumes *resolution*\*\*  $\psi \ \psi'$  and  $\{\#\} \in \text{fst } \psi'$   
 shows *unsatisfiable* (fst  $\psi$ )  
 using *assms* **by** (meson *rtranclp-resolution-preserves-sat* *satisfiable-def* *true-cls-empty* *true-cls-def*)

**lemma** *resolution-soundness-and-completeness*:  
 fixes  $\psi :: 'v :: \text{linorder state}$   
 assumes *finite*: *finite* (fst  $\psi$ )  
 and *snd*: *snd*  $\psi = \{\}$   
 shows  $(\exists \psi'. (\text{resolution}^{**} \ \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow \text{unsatisfiable} \ (\text{fst } \psi)$   
 using *assms* *resolution-completeness* *resolution-soundness* **by** *metis*

**lemma** *simplified-falsity*:  
 assumes *simp*: *simplified*  $\psi$   
 and  $\{\#\} \in \psi$   
 shows  $\psi = \{\{\#\}\}$   
**proof** (rule *ccontr*)  
 assume *H*:  $\neg \text{?thesis}$   
 then obtain  $\chi$  where  $\chi \in \psi$  and  $\chi \neq \{\#\}$  using *assms*(2) **by** *blast*  
 then have  $\{\#\} \subsetneq \chi$  **by** (*simp* *add*: *mset-less-empty-nonempty*)  
 then have *simplify*  $\psi \ (\psi - \{\chi\})$   
   using *simplify.subsumption*[*OF* *assms*(2)  $\langle \{\#\} \subsetneq \chi \rangle \langle \chi \in \psi \rangle$ ] **by** *blast*  
 then show *False* using *simp* **by** *blast*  
**qed**

**lemma** *simplify-falsity-in-preserved*:  
 assumes *simplify*  $\chi s \ \chi s'$   
 and  $\{\#\} \in \chi s$   
 shows  $\{\#\} \in \chi s'$   
 using *assms*  
**by** *induction auto*

**lemma** *rtranclp-simplify-falsity-in-preserved*:  
 assumes *simplify*\*\*  $\chi s \ \chi s'$   
 and  $\{\#\} \in \chi s$   
 shows  $\{\#\} \in \chi s'$   
 using *assms*  
**by** *induction* (auto *intro*: *simplify-falsity-in-preserved*)

**lemma** *resolution-falsity-get-falsity-alone*:  
 assumes *finite* (fst  $\psi$ )  
 shows  $(\exists \psi'. (\text{resolution}^{**} \ \psi \ \psi' \wedge \{\#\} \in \text{fst } \psi')) \longleftrightarrow (\exists a-u-v. \text{resolution}^{**} \ \psi \ (\{\{\#\}\}, a-u-v))$   
 (is *?A*  $\longleftrightarrow$  *?B*)  
**proof**  
 assume *?B*  
 then show *?A* **by** *auto*  
**next**  
 assume *?A*

```

then obtain  $\chi s$  a-u-v where  $\chi s$ : resolution**  $\psi$  ( $\chi s$ , a-u-v) and  $F$ :  $\{\#\} \in \chi s$  by auto
{ assume simplified  $\chi s$ 
  then have ?B using simplified-falsity[OF - F]  $\chi s$  by blast
}
moreover {
  assume  $\neg$  simplified  $\chi s$ 
  then obtain  $\chi s'$  where full1 simplify  $\chi s$   $\chi s'$ 
    by (metis  $\chi s$  assms finite-simplified-full1-simp fst-conv rtranclp-resolution-finite)
  then have  $\{\#\} \in \chi s'$ 
    unfolding full1-def by (meson F rtranclp-simplify-falsity-in-preserved
      trancplp-into-rtranclp)
  then have ?B
    by (metis  $\chi s$  (full1 simplify  $\chi s$   $\chi s'$ ) fst-conv full1-simp resolution-always-simplified
      rtranclp.rtrancl-into-rtrancl simplified-falsity)
}
ultimately show ?B by blast
qed

lemma resolution-soundness-and-completeness':
  fixes  $\psi$  :: 'v :: linorder state
  assumes
    finite: finite (fst  $\psi$ ) and
    snd: snd  $\psi$  = {}
  shows  $(\exists a-u-v. (resolution^{**} \psi (\{\#\}, a-u-v))) \longleftrightarrow unsatisfiable (fst \psi)$ 
    using assms resolution-completeness resolution-soundness resolution-falsity-get-falsity-alone
    by metis

end
theory Prop-Superposition
imports Partial-Clausal-Logic ../lib/Herbrand-Interpretation
begin

```

## 1.2 Superposition

```

no-notation Herbrand-Interpretation.true-cls (infix  $\models$  50)
notation Herbrand-Interpretation.true-cls (infix  $\models_h$  50)

no-notation Herbrand-Interpretation.true-clss (infix  $\models_s$  50)
notation Herbrand-Interpretation.true-clss (infix  $\models_{hs}$  50)

lemma herbrand-interp-iff-partial-interp-cls:
   $S \models_h C \longleftrightarrow \{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\} \models C$ 
  unfolding Herbrand-Interpretation.true-cls-def Partial-Clausal-Logic.true-cls-def
  by auto

lemma herbrand-consistent-interp:
  consistent-interp ( $\{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\}$ )
  unfolding consistent-interp-def by auto

lemma herbrand-total-over-set:
  total-over-set ( $\{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\}$ ) T
  unfolding total-over-set-def by auto

lemma herbrand-total-over-m:
  total-over-m ( $\{Pos P | P. P \in S\} \cup \{Neg P | P. P \notin S\}$ ) T

```

**unfolding** *total-over-m-def* **by** (*auto simp add: herbrand-total-over-set*)

**lemma** *herbrand-interp-iff-partial-interp-clss:*

$S \models_{hs} C \iff \{Pos\ P | P. P \in S\} \cup \{Neg\ P | P. P \notin S\} \models_s C$

**unfolding** *true-clss-def Ball-def herbrand-interp-iff-partial-interp-clss*

*Partial-Clausal-Logic.true-clss-def* **by** *auto*

**definition** *clss-lt* :: '*a*::wellorder clauses  $\Rightarrow$  '*a* clause  $\Rightarrow$  '*a* clauses **where**

*clss-lt* *N C* =  $\{D \in N. D \# \subset \# C\}$

**notation** (*latex output*)

*clss-lt* ( $-\hat{<}^{bsup}>-\hat{<}^{esup}>$ )

**locale** *selection* =

**fixes** *S* :: '*a* clause  $\Rightarrow$  '*a* clause

**assumes**

*S-selects-subseteq*:  $\bigwedge C. S\ C \leq \# C$  **and**

*S-selects-neg-lits*:  $\bigwedge C\ L. L \in \# S\ C \implies is\_neg\ L$

**locale** *ground-resolution-with-selection* =

*selection* *S* **for** *S* :: ('*a* :: wellorder) clause  $\Rightarrow$  '*a* clause

**begin**

**context**

**fixes** *N* :: '*a* clause set

**begin**

We do not create an equivalent of  $\delta$ , but we directly defined  $N_C$  by inlining the definition.

**function**

*production* :: '*a* clause  $\Rightarrow$  '*a* interp

**where**

*production* *C* =

$\{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max}(\text{set-mset}\ C) = \text{Pos}\ A \wedge \text{count}\ C\ (\text{Pos}\ A) \leq 1$   
 $\wedge \neg (\bigcup D \in \{D. D \# \subset \# C\}. \text{production}\ D) \models_h C \wedge S\ C = \{\#\}\}$

**by** *auto*

**termination by** (*relation*  $\{(D, C). D \# \subset \# C\}$ ) (*auto simp: wf-less-multiset*)

**declare** *production.simps*[*simp del*]

**definition** *interp* :: '*a* clause  $\Rightarrow$  '*a* interp **where**

*interp* *C* =  $(\bigcup D \in \{D. D \# \subset \# C\}. \text{production}\ D)$

**lemma** *production-unfold:*

*production* *C* =  $\{A. C \in N \wedge C \neq \{\#\} \wedge \text{Max}(\text{set-mset}\ C) = \text{Pos}\ A \wedge \text{count}\ C\ (\text{Pos}\ A) \leq 1 \wedge \neg$

*interp* *C*  $\models_h C \wedge S\ C = \{\#\}\}$

**unfolding** *interp-def* **by** (*rule* *production.simps*)

**abbreviation** *productive* *A*  $\equiv (\text{production}\ A \neq \{\})$

**abbreviation** *produces* :: '*a* clause  $\Rightarrow$  '*a*  $\Rightarrow$  bool **where**

*produces* *C*  $\equiv \text{production}\ C = \{A\}$

**lemma** *producesD:*

*produces* *C* *A*  $\implies C \in N \wedge C \neq \{\#\} \wedge \text{Pos}\ A = \text{Max}(\text{set-mset}\ C) \wedge \text{count}\ C\ (\text{Pos}\ A) \leq 1 \wedge$

$\neg \text{interp}\ C \models_h C \wedge S\ C = \{\#\}$

**unfolding** *production-unfold* **by** *auto*

**lemma** *produces C A  $\implies$  Pos A  $\in \#$  C*  
**by** (*simp add: Max-in-lits producesD*)

**lemma** *interp'-def-in-set:*  
*interp C = ( $\bigcup D \in \{D \in N. D \# \subseteq \# C\}. \text{production } D$ )*  
**unfolding** *interp-def* **apply** *auto*  
**unfolding** *production-unfold* **apply** *auto*  
**done**

**lemma** *production-iff-produces:*  
*produces D A  $\longleftrightarrow$  A  $\in$  production D*  
**unfolding** *production-unfold* **by** *auto*

**definition** *Interp :: 'a clause  $\Rightarrow$  'a interp where*  
*Interp C = interp C  $\cup$  production C*

**lemma**  
**assumes** *produces C P*  
**shows** *Interp C  $\models_h$  C*  
**unfolding** *Interp-def* **assms** **using** *producesD[OF assms]*  
**by** (*metis Max-in-lits Un-insert-right insertI1 pos-literal-in-imp-true-cls*)

**definition** *INTERP :: 'a interp where*  
*INTERP = ( $\bigcup D \in N. \text{production } D$ )*

**lemma** *interp-subseteq-Interp[simp]: interp C  $\subseteq$  Interp C*  
**unfolding** *Interp-def* **by** *simp*

**lemma** *Interp-as-UNION: Interp C = ( $\bigcup D \in \{D. D \# \subseteq \# C\}. \text{production } D$ )*  
**unfolding** *Interp-def interp-def le-multiset-def* **by** *fast*

**lemma** *productive-not-empty: productive C  $\implies$  C  $\neq$   $\{\#\}$*   
**unfolding** *production-unfold* **by** *auto*

**lemma** *productive-imp-produces-Max-literal: productive C  $\implies$  produces C (atm-of (Max (set-mset C)))*  
**unfolding** *production-unfold* **by** (*auto simp del: atm-of-Max-lit*)

**lemma** *productive-imp-produces-Max-atom: productive C  $\implies$  produces C (Max (atms-of C))*  
**unfolding** *atms-of-def Max-atm-of-set-mset-commute[OF productive-not-empty]*  
**by** (*rule productive-imp-produces-Max-literal*)

**lemma** *produces-imp-Max-literal: produces C A  $\implies$  A = atm-of (Max (set-mset C))*  
**by** (*metis Max-singleton insert-not-empty productive-imp-produces-Max-literal*)

**lemma** *produces-imp-Max-atom: produces C A  $\implies$  A = Max (atms-of C)*  
**by** (*metis Max-singleton insert-not-empty productive-imp-produces-Max-atom*)

**lemma** *produces-imp-Pos-in-lits: produces C A  $\implies$  Pos A  $\in \#$  C*  
**by** (*auto intro: Max-in-lits dest!: producesD*)

**lemma** *productive-in-N: productive C  $\implies$  C  $\in$  N*  
**unfolding** *production-unfold* **by** *auto*

**lemma** *produces-imp-atms-leq: produces C A  $\implies$  B  $\in$  atms-of C  $\implies$  B  $\leq$  A*

**by** (*metis* *Max-ge* *finite-atms-of* *insert-not-empty* *productive-imp-produces-Max-atom* *singleton-inject*)

**lemma** *produces-imp-neg-notin-lits*:  $\text{produces } C \ A \implies \text{Neg } A \notin\# C$   
**by** (*rule* *pos-Max-imp-neg-notin*) (*auto* *dest*: *producesD*)

**lemma** *less-eq-imp-interp-subseteq-interp*:  $C \# \subseteq\# D \implies \text{interp } C \subseteq \text{interp } D$   
**unfolding** *interp-def* **by** *auto* (*metis* *multiset-order.order.strict-trans2*)

**lemma** *less-eq-imp-interp-subseteq-Interp*:  $C \# \subseteq\# D \implies \text{interp } C \subseteq \text{Interp } D$   
**unfolding** *Interp-def* **using** *less-eq-imp-interp-subseteq-interp* **by** *blast*

**lemma** *less-imp-production-subseteq-interp*:  $C \# \subset\# D \implies \text{production } C \subseteq \text{interp } D$   
**unfolding** *interp-def* **by** *fast*

**lemma** *less-eq-imp-production-subseteq-Interp*:  $C \# \subseteq\# D \implies \text{production } C \subseteq \text{Interp } D$   
**unfolding** *Interp-def* **using** *less-imp-production-subseteq-interp*  
**by** (*metis* *multiset-order.le-imp-less-or-eq* *le-supI1* *sup-ge2*)

**lemma** *less-imp-Interp-subseteq-interp*:  $C \# \subset\# D \implies \text{Interp } C \subseteq \text{interp } D$   
**unfolding** *Interp-def*  
**by** (*auto* *simp*: *less-eq-imp-interp-subseteq-interp* *less-imp-production-subseteq-interp*)

**lemma** *less-eq-imp-Interp-subseteq-Interp*:  $C \# \subseteq\# D \implies \text{Interp } C \subseteq \text{Interp } D$   
**using** *less-imp-Interp-subseteq-interp*  
**unfolding** *Interp-def* **by** (*metis* *multiset-order.le-imp-less-or-eq* *le-supI2* *subset-refl* *sup-commute*)

**lemma** *false-Interp-to-true-interp-imp-less-multiset*:  $A \notin \text{Interp } C \implies A \in \text{interp } D \implies C \# \subset\# D$   
**using** *less-eq-imp-interp-subseteq-Interp* *multiset-linorder.not-less* **by** *blast*

**lemma** *false-interp-to-true-interp-imp-less-multiset*:  $A \notin \text{interp } C \implies A \in \text{interp } D \implies C \# \subset\# D$   
**using** *less-eq-imp-interp-subseteq-interp* *multiset-linorder.not-less* **by** *blast*

**lemma** *false-Interp-to-true-Interp-imp-less-multiset*:  $A \notin \text{Interp } C \implies A \in \text{Interp } D \implies C \# \subset\# D$   
**using** *less-eq-imp-Interp-subseteq-Interp* *multiset-linorder.not-less* **by** *blast*

**lemma** *false-interp-to-true-Interp-imp-le-multiset*:  $A \notin \text{interp } C \implies A \in \text{Interp } D \implies C \# \subseteq\# D$   
**using** *less-imp-Interp-subseteq-interp* *multiset-linorder.not-less* **by** *blast*

**lemma** *interp-subseteq-INTERP*:  $\text{interp } C \subseteq \text{INTERP}$   
**unfolding** *interp-def* *INTERP-def* **by** (*auto* *simp*: *production-unfold*)

**lemma** *production-subseteq-INTERP*:  $\text{production } C \subseteq \text{INTERP}$   
**unfolding** *INTERP-def* **using** *production-unfold* **by** *blast*

**lemma** *Interp-subseteq-INTERP*:  $\text{Interp } C \subseteq \text{INTERP}$   
**unfolding** *Interp-def* **by** (*auto* *intro*!: *interp-subseteq-INTERP* *production-subseteq-INTERP*)

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

**lemma** *produces-imp-in-interp*:  
**assumes** *a-in-c*:  $\text{Neg } A \in\# C$  **and** *d*: *produces D A*  
**shows**  $A \in \text{interp } C$

**proof** –

**from** *d* **have**  $\text{Max } (\text{set-mset } D) = \text{Pos } A$   
**using** *production-unfold* **by** *blast*  
**then have**  $D \# \subset\# \{\# \text{Neg } A\# \}$



by (auto intro: Max-pos-neg-less-multiset)  
 moreover have  $\{\#Neg A\} \# \subseteq \# C$   
 by (rule less-eq-imp-le-multiset) (rule mset-le-single[OF a-in-c])  
 ultimately show ?thesis  
 using d by (blast dest: less-eq-imp-interp-subseteq-interp less-imp-production-subseteq-interp)  
 qed

**lemma** neg-notin-Interp-not-produce:  $Neg A \in \# C \implies A \notin Interp D \implies C \# \subseteq \# D \implies \neg \text{produces } D'' A$   
 by (auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-Interp)

**lemma** in-production-imp-produces:  $A \in \text{production } C \implies \text{produces } C A$   
 by (metis insert-absorb productive-imp-produces-Max-atom singleton-insert-inj-eq')

**lemma** not-produces-imp-notin-production:  $\neg \text{produces } C A \implies A \notin \text{production } C$   
 by (metis in-production-imp-produces)

**lemma** not-produces-imp-notin-interp:  $(\bigwedge D. \neg \text{produces } D A) \implies A \notin \text{interp } C$   
 unfolding interp-def by (fast intro!: in-production-imp-produces)

The results below corresponds to Lemma 3.4.

**Nitpicking:** If  $D = D'$  and  $D$  is productive,  $I^D \subseteq I_{D'}$  does not hold.

**lemma** true-Interp-imp-general:  
 assumes  
   c-le-d:  $C \# \subseteq \# D$  and  
   d-lt-d':  $D \# \subset \# D'$  and  
   c-at-d:  $Interp D \models_h C$  and  
   subs:  $interp D' \subseteq (\bigcup C \in CC. \text{production } C)$   
 shows  $(\bigcup C \in CC. \text{production } C) \models_h C$   
**proof** (cases  $\exists A. Pos A \in \# C \wedge A \in Interp D$ )  
 case True  
 then obtain A where a-in-c:  $Pos A \in \# C$  and a-at-d:  $A \in Interp D$   
 by blast  
 from a-at-d have  $A \in interp D'$   
 using d-lt-d' less-imp-Interp-subseteq-interp by blast  
 then show ?thesis  
 using subs a-in-c by (blast dest: contra-subsetD)  
 next  
 case False  
 then obtain A where a-in-c:  $Neg A \in \# C$  and  $A \notin Interp D$   
 using c-at-d unfolding true-cls-def by blast  
 then have  $\bigwedge D''. \neg \text{produces } D'' A$   
 using c-le-d neg-notin-Interp-not-produce by simp  
 then show ?thesis  
 using a-in-c subs not-produces-imp-notin-production by auto  
 qed

**lemma** true-Interp-imp-interp:  $C \# \subseteq \# D \implies D \# \subset \# D' \implies Interp D \models_h C \implies interp D' \models_h C$   
 using interp-def true-Interp-imp-general by simp

**lemma** true-Interp-imp-Interp:  $C \# \subseteq \# D \implies D \# \subset \# D' \implies Interp D \models_h C \implies Interp D' \models_h C$   
 using Interp-as-UNION interp-subseteq-Interp true-Interp-imp-general by simp

**lemma** true-Interp-imp-INTERP:  $C \# \subseteq \# D \implies Interp D \models_h C \implies INTERP \models_h C$   
 using INTERP-def interp-subseteq-INTERP

*true-Interp-imp-general*[*OF* - *less-multiset-right-total*]  
**by** *simp*

**lemma** *true-interp-imp-general*:

**assumes**

*c-le-d*:  $C \# \subseteq \# D$  **and**

*d-lt-d'*:  $D \# \subset \# D'$  **and**

*c-at-d*:  $\text{interp } D \models_h C$  **and**

*subs*:  $\text{interp } D' \subseteq (\bigcup C \in CC. \text{production } C)$

**shows**  $(\bigcup C \in CC. \text{production } C) \models_h C$

**proof** (*cases*  $\exists A. \text{Pos } A \in \# C \wedge A \in \text{interp } D$ )

**case** *True*

**then obtain** *A* **where** *a-in-c*:  $\text{Pos } A \in \# C$  **and** *a-at-d*:  $A \in \text{interp } D$

**by** *blast*

**from** *a-at-d* **have**  $A \in \text{interp } D'$

**using** *d-lt-d'* *less-eq-imp-interp-subseteq-interp*[*OF multiset-order.less-imp-le*] **by** *blast*

**then show** *?thesis*

**using** *subs a-in-c* **by** (*blast dest: contra-subsetD*)

**next**

**case** *False*

**then obtain** *A* **where** *a-in-c*:  $\text{Neg } A \in \# C$  **and**  $A \notin \text{interp } D$

**using** *c-at-d* **unfolding** *true-cls-def* **by** *blast*

**then have**  $\bigwedge D''. \neg \text{produces } D'' A$

**using** *c-le-d* **by** (*auto dest: produces-imp-in-interp less-eq-imp-interp-subseteq-interp*)

**then show** *?thesis*

**using** *a-in-c subs not-produces-imp-notin-production* **by** *auto*

**qed**

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

**lemma** *true-interp-imp-interp*:  $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{interp } D' \models_h C$   
**using** *interp-def true-interp-imp-general* **by** *simp*

**lemma** *true-interp-imp-Interp*:  $C \# \subseteq \# D \implies D \# \subset \# D' \implies \text{interp } D \models_h C \implies \text{Interp } D' \models_h C$   
**using** *Interp-as-UNION interp-subseteq-Interp*[*of D'*] *true-interp-imp-general* **by** *simp*

**lemma** *true-interp-imp-INTERP*:  $C \# \subseteq \# D \implies \text{interp } D \models_h C \implies \text{INTERP} \models_h C$   
**using** *INTERP-def interp-subseteq-INTERP*  
*true-interp-imp-general*[*OF* - *less-multiset-right-total*]  
**by** *simp*

**lemma** *productive-imp-false-interp*:  $\text{productive } C \implies \neg \text{interp } C \models_h C$   
**unfolding** *production-unfold* **by** *auto*

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book. Here the strict maximality is important

**lemma** *cls-gt-double-pos-no-production*:

**assumes** *D*:  $\{\# \text{Pos } P, \text{Pos } P \# \} \# \subset \# C$

**shows**  $\neg \text{produces } C P$

**proof** –

**let**  $?D = \{\# \text{Pos } P, \text{Pos } P \# \}$

**note**  $D' = D[\text{unfolded less-multiset}_{HO}]$

**consider**

(*P*) *count* *C* (*Pos* *P*)  $\geq 2$

| (*Q*) *Q* **where**  $Q > \text{Pos } P$  **and**  $Q \in \# C$

```

    using HOL.spec[OF HOL.conjunct2[OF D], of Pos P] by (auto split: if-split-asm)
  then show ?thesis
  proof cases
    case Q
    have  $Q \in \text{set-mset } C$ 
      using  $Q(2)$  by (auto split: if-split-asm)
    then have  $\text{Max } (\text{set-mset } C) > \text{Pos } P$ 
      using  $Q(1)$  Max-gr-iff by blast
    then show ?thesis
      unfolding production-unfold by auto
  next
    case P
    then show ?thesis
      unfolding production-unfold by auto
  qed
qed

```

This lemma corresponds to theorem 2.7.6 page 67 of Weidenbach's book.

**lemma**

```

  assumes  $D: C + \{\# \text{Neg } P \# \} \# \subset \# D$ 
  shows production  $D \neq \{P\}$ 
proof -
  note  $D' = D[\text{unfolded less-multiset}_{HO}]$ 
  consider
    ( $P$ )  $\text{Neg } P \in \# D$ 
  | ( $Q$ )  $Q$  where  $Q > \text{Neg } P$  and  $\text{count } D \ Q > \text{count } (C + \{\# \text{Neg } P \# \}) \ Q$ 
    using HOL.spec[OF HOL.conjunct2[OF D], of Neg P] count-greater-zero-iff by fastforce
  then show ?thesis
  proof cases
    case Q
    have  $Q \in \text{set-mset } D$ 
      using  $Q(2)$  gr-implies-not0 by fastforce
    then have  $\text{Max } (\text{set-mset } D) > \text{Neg } P$ 
      using  $Q(1)$  Max-gr-iff by blast
    then have  $\text{Max } (\text{set-mset } D) > \text{Pos } P$ 
      using less-trans[of Pos P Neg P  $\text{Max } (\text{set-mset } D)$ ] by auto
    then show ?thesis
      unfolding production-unfold by auto
  next
    case P
    then have  $\text{Max } (\text{set-mset } D) > \text{Pos } P$ 
      by (meson Max-ge finite-set-mset le-less-trans linorder-not-le pos-less-neg)
    then show ?thesis
      unfolding production-unfold by auto
  qed
qed

```

**lemma** *in-interp-is-produced:*

```

  assumes  $P \in \text{INTERP}$ 
  shows  $\exists D. D + \{\# \text{Pos } P \# \} \in N \wedge \text{produces } (D + \{\# \text{Pos } P \# \}) \ P$ 
  using assms unfolding INTERP-def UN-iff production-iff-produces Ball-def
  by (metis ground-resolution-with-selection.produces-imp-Pos-in-lits insert-DiffM2
    ground-resolution-with-selection-axioms not-produces-imp-notin-production)

```

end

end

**abbreviation**  $MMax\ M \equiv Max\ (set-mset\ M)$

### 1.2.1 We can now define the rules of the calculus

**inductive** *superposition-rules* :: 'a clause  $\Rightarrow$  'a clause  $\Rightarrow$  'a clause  $\Rightarrow$  bool **where**  
*factoring*: *superposition-rules* ( $C + \{\#Pos\ P\# \} + \{\#Pos\ P\# \}$ )  $B\ (C + \{\#Pos\ P\# \}) \mid$   
*superposition-l*: *superposition-rules* ( $C_1 + \{\#Pos\ P\# \}$ ) ( $C_2 + \{\#Neg\ P\# \}$ ) ( $C_1 + C_2$ )

**inductive** *superposition* :: 'a clauses  $\Rightarrow$  'a clauses  $\Rightarrow$  bool **where**  
*superposition*:  $A \in N \Longrightarrow B \in N \Longrightarrow superposition-rules\ A\ B\ C$   
 $\Longrightarrow superposition\ N\ (N \cup \{C\})$

**definition** *abstract-red* :: 'a::wellorder clause  $\Rightarrow$  'a clauses  $\Rightarrow$  bool **where**  
*abstract-red*  $C\ N = (cls-lt\ N\ C \models_p C)$

**lemma** *less-multiset*[iff]:  $M < N \longleftrightarrow M \# \subset \# N$   
**unfolding** *less-multiset-def* **by** auto

**lemma** *less-eq-multiset*[iff]:  $M \leq N \longleftrightarrow M \# \subseteq \# N$   
**unfolding** *less-eq-multiset-def* **by** auto

**lemma** *herbrand-true-clss-true-clss-cls-herbrand-true-clss*:

**assumes**

$AB: A \models_{hs} B$  **and**

$BC: B \models_p C$

**shows**  $A \models_h C$

**proof** –

**let**  $?I = \{Pos\ P \mid P. P \in A\} \cup \{Neg\ P \mid P. P \notin A\}$

**have**  $B: ?I \models_s B$  **using**  $AB$

**by** (*auto simp add: herbrand-interp-iff-partial-interp-clss*)

**have**  $IH: \bigwedge I. total-over-set\ I\ (atms-of\ C) \Longrightarrow total-over-m\ I\ B \Longrightarrow consistent-interp\ I$   
 $\Longrightarrow I \models_s B \Longrightarrow I \models C$  **using**  $BC$

**by** (*auto simp add: true-clss-cls-def*)

**show** *?thesis*

**unfolding** *herbrand-interp-iff-partial-interp-cls*

**by** (*auto intro: IH[of ?I] simp add: herbrand-total-over-set herbrand-total-over-m herbrand-consistent-interp B*)

qed

**lemma** *abstract-red-subset-mset-abstract-red*:

**assumes**

*abstr*: *abstract-red*  $C\ N$  **and**

*c-lt-d*:  $C \# \subseteq \# D$

**shows** *abstract-red*  $D\ N$

**proof** –

**have**  $\{D \in N. D \# \subset \# C\} \subseteq \{D' \in N. D' \# \subset \# D\}$

**using** *c-lt-d less-eq-imp-le-multiset* **by** *fastforce*

**then show** *?thesis*

**using** *abstr* **unfolding** *abstract-red-def clss-lt-def*

**by** (*metis (no-types, lifting) c-lt-d subset-mset.diff-add true-clss-cls-mono-r' true-clss-cls-subset*)

qed

**lemma** *true-clss-clb-extended*:

**assumes**

$A \models_p B$  **and**

*tot*: *total-over-m*  $I$   $A$  **and**

*cons*: *consistent-interp*  $I$  **and**

$I-A: I \models_s A$

**shows**  $I \models B$

**proof** –

**let**  $?I = I \cup \{Pos\ P \mid P. P \in \text{atms-of } B \wedge P \notin \text{atms-of-s } I\}$

**have** *consistent-interp*  $?I$

**using** *cons* **unfolding** *consistent-interp-def* *atms-of-s-def* *atms-of-def*

**apply** (*auto* 1 5 *simp* *add: image-iff*)

**by** (*metis* *atm-of-uminus* *literal.sel*(1))

**moreover** **have** *total-over-m*  $?I$  ( $A \cup \{B\}$ )

**proof** –

**obtain**  $aa :: 'a \text{ set} \Rightarrow 'a \text{ literal set} \Rightarrow 'a$  **where**

$f2: \forall x0\ x1. (\exists v2. v2 \in x0 \wedge Pos\ v2 \notin x1 \wedge Neg\ v2 \notin x1)$

$\longleftrightarrow (aa\ x0\ x1 \in x0 \wedge Pos\ (aa\ x0\ x1) \notin x1 \wedge Neg\ (aa\ x0\ x1) \notin x1)$

**by** *moura*

**have**  $\forall a. a \notin \text{atms-of-ms } A \vee Pos\ a \in I \vee Neg\ a \in I$

**using** *tot* **by** (*simp* *add: total-over-m-def* *total-over-set-def*)

**then** **have**  $aa\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\})\ (I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\})$

$\notin \text{atms-of-ms } A \cup \text{atms-of-ms } \{B\} \vee Pos\ (aa\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\}))$

$(I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}) \in I$

$\cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$

$\vee Neg\ (aa\ (\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\}))$

$(I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}) \in I$

$\cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\}$

**by** *auto*

**then** **have** *total-over-set*  $(I \cup \{Pos\ a \mid a. a \in \text{atms-of } B \wedge a \notin \text{atms-of-s } I\})$

$(\text{atms-of-ms } A \cup \text{atms-of-ms } \{B\})$

**using**  $f2$  **by** (*meson* *total-over-set-def*)

**then** **show** *?thesis*

**by** (*simp* *add: total-over-m-def*)

**qed**

**moreover** **have**  $?I \models_s A$

**using**  $I-A$  **by** *auto*

**ultimately** **have**  $?I \models B$

**using**  $\langle A \models_p B \rangle$  **unfolding** *true-clss-clb-def* **by** *auto*

**then** **show** *?thesis*

**oops**

**lemma**

**assumes**

$CP: \neg \text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#C\# \} + \{\#Neg\ P\# \}$  **and**

$\text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \} \vee \text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#C\# \} + \{\#Neg\ P\# \}$

**shows**  $\text{clss-lt } N\ (\{\#C\# \} + \{\#E\# \}) \models_p \{\#E\# \} + \{\#Pos\ P\# \}$

**oops**

**locale** *ground-ordered-resolution-with-redundancy* =

*ground-resolution-with-selection* +

**fixes** *redundant* ::  $'a::\text{wellorder clause} \Rightarrow 'a \text{ clauses} \Rightarrow \text{bool}$

**assumes**

$redundant\text{-}iff\text{-}abstract: redundant\ A\ N \longleftrightarrow abstract\text{-}red\ A\ N$   
**begin**  
**definition** *saturated* :: 'a clauses  $\Rightarrow$  bool **where**  
 $saturated\ N \longleftrightarrow (\forall A\ B\ C. A \in N \longrightarrow B \in N \longrightarrow \neg redundant\ A\ N \longrightarrow \neg redundant\ B\ N$   
 $\longrightarrow superposition\text{-}rules\ A\ B\ C \longrightarrow redundant\ C\ N \vee C \in N)$   
**lemma**  
**assumes**  
 $saturated: saturated\ N$  **and**  
 $finite: finite\ N$  **and**  
 $empty: \{\#\} \notin N$   
**shows**  $INTERP\ N \models_{hs} N$   
**proof** (rule ccontr)  
**let**  $?N_{\mathcal{I}} = INTERP\ N$   
**assume**  $\neg ?thesis$   
**then have** *not-empty*:  $\{E \in N. \neg ?N_{\mathcal{I}} \models_h E\} \neq \{\}$   
**unfolding** *true-clss-def Ball-def* **by** *auto*  
**def**  $D \equiv Min\ \{E \in N. \neg ?N_{\mathcal{I}} \models_h E\}$   
**have** [*simp*]:  $D \in N$   
**unfolding** *D-def*  
**by** (*metis* (*mono-tags*, *lifting*) *Min-in not-empty finite mem-Collect-eq rev-finite-subset subsetI*)  
**have** *not-d-interp*:  $\neg ?N_{\mathcal{I}} \models_h D$   
**unfolding** *D-def*  
**by** (*metis* (*mono-tags*, *lifting*) *Min-in finite mem-Collect-eq not-empty rev-finite-subset subsetI*)  
**have** *cls-not-D*:  $\bigwedge E. E \in N \implies E \neq D \implies \neg ?N_{\mathcal{I}} \models_h E \implies D \leq E$   
**using** *finite D-def* **by** (*auto simp del: less-eq-multiset*)  
**obtain**  $C\ L$  **where**  $D: D = C + \{\#L\#$  **and**  $LSD: L \in \# S\ D \vee (S\ D = \{\#\} \wedge Max\ (set\text{-}mset\ D)$   
 $= L)$   
**proof** (*cases*  $S\ D = \{\#\}$ )  
**case** *False*  
**then obtain**  $L$  **where**  $L \in \# S\ D$   
**using** *Max-in-lits* **by** *blast*  
**moreover**  
**then have**  $L \in \# D$   
**using** *S-selects-subseteq*[*of D*] **by** *auto*  
**then have**  $D = (D - \{\#L\#$  **and**  $\{\#L\#$   
**by** *auto*  
**ultimately show** *?thesis* **using** *that* **by** *blast*  
**next**  
**let**  $?L = MMax\ D$   
**case** *True*  
**moreover**  
**have**  $?L \in \# D$   
**by** (*metis* (*no-types*, *lifting*) *Max-in-lits* ( $D \in N$ ) *empty*)  
**then have**  $D = (D - \{\#?L\#$  **and**  $\{\#?L\#$   
**by** *auto*  
**ultimately show** *?thesis* **using** *that* **by** *blast*  
**qed**  
**have** *red*:  $\neg redundant\ D\ N$   
**proof** (rule ccontr)  
**assume** *red*[*simplified*]:  $\sim\sim redundant\ D\ N$   
**have**  $\forall E < D. E \in N \longrightarrow ?N_{\mathcal{I}} \models_h E$   
**using** *cls-not-D not-le* **by** *fastforce*  
**then have**  $?N_{\mathcal{I}} \models_{hs} clss\text{-}lt\ N\ D$   
**unfolding** *clss-lt-def true-clss-def Ball-def* **by** *blast*  
**then show** *False*

```

    using red not-d-interp unfolding abstract-red-def redundant-iff-abstract
    using herbrand-true-clss-true-clss-clss-herbrand-true-clss by fast
qed

consider
  (L) P where L = Pos P and S D = {#} and Max (set-mset D) = Pos P
| (Lneg) P where L = Neg P
  using LSD S-selects-neg-lits[of L D] by (cases L) auto
then show False
proof cases
  case L note P = this(1) and S = this(2) and max = this(3)
  have count D L > 1
  proof (rule ccontr)
    assume ~ ?thesis
    then have count: count D L = 1
    unfolding D by (auto simp: not-in-iff)
    have  $\neg ?N_{\mathcal{I}} \models_h D$ 
    using not-d-interp true-interp-imp-INTERP ground-resolution-with-selection-axioms
    by blast
    then have produces N D P
    using not-empty empty finite  $\langle D \in N \rangle$  count L
    true-interp-imp-INTERP unfolding production-iff-produces unfolding production-unfold
    by (auto simp add: max not-empty)
    then have INTERP N  $\models_h D$ 
    unfolding D
    by (metis pos-literal-in-imp-true-clss produces-imp-Pos-in-lits
    production-subseteq-INTERP singletonI subsetCE)
    then show False
    using not-d-interp by blast
  qed
  then have Pos P  $\in \# C$ 
  by (simp add: P D)
  then obtain C' where C':D = C' + {#Pos P#} + {#Pos P#}
  unfolding D by (metis (full-types) P insert-DiffM2)
  have sup: superposition-rules D D (D - {#L#})
  unfolding C' L by (auto simp add: superposition-rules.simps)
  have C' + {#Pos P#}  $\# \subset \# C' + \{ \#Pos P \# \} + \{ \#Pos P \# \}$ 
  by auto
  moreover have  $\neg ?N_{\mathcal{I}} \models_h (D - \{ \#L \# \})$ 
  using not-d-interp unfolding C' L by auto
  ultimately have C' + {#Pos P#}  $\notin N$ 
  by (metis (no-types, lifting) C' P add-diff-cancel-right' clss-not-D less-multiset
  multi-self-add-other-not-self not-le)
  have D - {#L#}  $\# \subset \# D$ 
  unfolding C' L by auto
  have c'-p-p: C' + {#Pos P#} + {#Pos P#} - {#Pos P#} = C' + {#Pos P#}
  by auto
  have redundant (C' + {#Pos P#}) N
  using saturated red sup  $\langle D \in N \rangle \langle C' + \{ \#Pos P \# \} \notin N \rangle$  unfolding saturated-def C' L c'-p-p
  by blast
  moreover have C' + {#Pos P#}  $\subseteq \# C' + \{ \#Pos P \# \} + \{ \#Pos P \# \}$ 
  by auto
  ultimately show False
  using red unfolding C' redundant-iff-abstract by (blast dest:
  abstract-red-subset-mset-abstract-red)
next

```

**case**  $L_{neg}$  **note**  $L = this(1)$   
**have**  $P \in ?N_{\mathcal{I}}$   
    **using** *not-d-interp unfolding*  $D$  *true-cls-def*  $L$  **by** (*auto split: if-split-asm*)  
**then obtain**  $E$  **where**  
     $DPN: E + \{\#Pos P\# \} \in N$  **and**  
     $prod: production\ N\ (E + \{\#Pos P\# \}) = \{P\}$   
    **using** *in-interp-is-produced* **by** *blast*  
**have**  $sup-EC: superposition-rules\ (E + \{\#Pos P\# \})\ (C + \{\#Neg P\# \})\ (E + C)$   
    **using** *superposition-l* **by** *fast*  
**then have**  $superposition\ N\ (N \cup \{E+C\})$   
    **using**  $DPN\ \langle D \in N \rangle$  *unfolding*  $D\ L$  **by** (*auto simp add: superposition.simps*)  
**have**  
     $PMax: Pos\ P = MMax\ (E + \{\#Pos P\# \})$  **and**  
     $count\ (E + \{\#Pos P\# \})\ (Pos\ P) \leq 1$  **and**  
     $S\ (E + \{\#Pos P\# \}) = \{\#\}$  **and**  
     $\neg interp\ N\ (E + \{\#Pos P\# \}) \models_h E + \{\#Pos P\# \}$   
    **using** *prod unfolding production-unfold* **by** *auto*  
**have**  $Neg\ P \notin \# E$   
    **using** *prod produces-imp-neg-notin-lits* **by** *force*  
**then have**  $\bigwedge y. y \in \# (E + \{\#Pos P\# \})$   
     $\implies count\ (E + \{\#Pos P\# \})\ (Neg\ P) < count\ (C + \{\#Neg P\# \})\ (Neg\ P)$   
    **using** *count-greater-zero-iff* **by** *fastforce*  
**moreover have**  $\bigwedge y. y \in \# (E + \{\#Pos P\# \}) \implies y < Neg\ P$   
    **using**  $PMax$  **by** (*metis DPN Max-less-iff empty finite-set-mset pos-less-neg set-mset-eq-empty-iff*)  
**moreover have**  $E + \{\#Pos P\# \} \neq C + \{\#Neg P\# \}$   
    **using** *prod produces-imp-neg-notin-lits* **by** *force*  
**ultimately have**  $E + \{\#Pos P\# \} \# \subset \# C + \{\#Neg P\# \}$   
    **unfolding** *less-multiset<sub>HO</sub>* **by** (*metis count-greater-zero-iff less-iff-Suc-add zero-less-Suc*)  
**have**  $ce-lt-d: C + E \# \subset \# D$   
    **unfolding**  $D\ L$  **by** (*simp add:  $\bigwedge y. y \in \# E + \{\#Pos P\# \} \implies y < Neg\ P$  ex-gt-imp-less-multiset*)  
**have**  $?N_{\mathcal{I}} \models_h E + \{\#Pos P\# \}$   
    **using**  $\langle P \in ?N_{\mathcal{I}} \rangle$  **by** *blast*  
**have**  $?N_{\mathcal{I}} \models_h C+E \vee C+E \notin N$   
    **using** *ce-lt-d cls-not-D unfolding D-def* **by** *fastforce*  
**have**  $Pos\ P \notin \# C+E$   
    **using**  $D\ \langle P \in ground-resolution-with-selection.INTERP\ S\ N \rangle$   
     $\langle count\ (E + \{\#Pos P\# \})\ (Pos\ P) \leq 1 \rangle$  *multi-member-skip not-d-interp*  
    **by** (*auto simp: not-in-iff*)  
**then have**  $\bigwedge y. y \in \# C+E$   
     $\implies count\ (C+E)\ (Pos\ P) < count\ (E + \{\#Pos P\# \})\ (Pos\ P)$   
    **using** *set-mset-def* **by** *fastforce*  
  
**have**  $\neg redundant\ (C + E)\ N$   
**proof** (*rule ccontr*)  
    **assume**  $red'[simplified]: \neg ?thesis$   
    **have**  $abs: clss-lt\ N\ (C + E) \models_p C + E$   
    **using** *redundant-iff-abstract red'* *unfolding abstract-red-def* **by** *auto*  
    **have**  $clss-lt\ N\ (C + E) \models_p E + \{\#Pos P\# \} \vee clss-lt\ N\ (C + E) \models_p C + \{\#Neg P\# \}$   
    **proof** *clarify*  
        **assume**  $CP: \neg clss-lt\ N\ (C + E) \models_p C + \{\#Neg P\# \}$   
        **{ fix**  $I$   
            **assume**  
                 $total-over-m\ I\ (clss-lt\ N\ (C + E) \cup \{E + \{\#Pos P\# \}\})$  **and**  
                 $consistent-interp\ I$  **and**  
                 $I \models_s clss-lt\ N\ (C + E)$



```

    then have  $I \models C + E$ 
      using abs sorry
    moreover have  $\neg I \models C + \{\#Neg P\# \}$ 
      using CP unfolding true-clss-cls-def
      sorry
    ultimately have  $I \models E + \{\#Pos P\# \}$  by auto
  }
  then show  $clss\text{-}lt\ N\ (C + E) \models_p E + \{\#Pos P\# \}$ 
    unfolding true-clss-cls-def by auto
  qed
  moreover have  $clss\text{-}lt\ N\ (C + E) \subseteq clss\text{-}lt\ N\ (C + \{\#Neg P\# \})$ 
    using ce-lt-d mult-less-trans unfolding clss-lt-def D L by force
  ultimately have  $redundant\ (C + \{\#Neg P\# \})\ N \vee clss\text{-}lt\ N\ (C + E) \models_p E + \{\#Pos P\# \}$ 
    unfolding redundant-iff-abstract abstract-red-def using true-clss-cls-subset by blast
  show False sorry
  qed
  moreover have  $\neg redundant\ (E + \{\#Pos P\# \})\ N$ 
    sorry
  ultimately have CEN:  $C + E \in N$ 
    using  $\langle D \in N \rangle \langle E + \{\#Pos P\# \} \in N \rangle$  saturated sup-EC red unfolding saturated-def D L
    by (metis union-commute)
  have CED:  $C + E \neq D$ 
    using D ce-lt-d by auto
  have interp:  $\neg INTERP\ N \models_h C + E$ 
    sorry
  show False
    using cls-not-D[OF CEN CED interp] ce-lt-d unfolding INTERP-def less-eq-multiset-def by
auto
  qed
  qed
end

```

**lemma** *tautology-is-redundant*:

```

  assumes tautology C
  shows abstract-red C N
  using assms unfolding abstract-red-def true-clss-cls-def tautology-def by auto

```

**lemma** *subsumed-is-redundant*:

```

  assumes AB:  $A \subset\# B$ 
  and AN:  $A \in N$ 
  shows abstract-red B N

```

**proof** –

```

  have  $A \in clss\text{-}lt\ N\ B$  using AN AB unfolding clss-lt-def
    by (auto dest: less-eq-imp-le-multiset simp add: multiset-order.dual-order.order-iff-strict)
  then show ?thesis
    using AB unfolding abstract-red-def true-clss-cls-def Partial-Clausal-Logic.true-clss-def
    by blast

```

qed

**inductive** *redundant* :: '*a* clause  $\Rightarrow$  '*a* clauses  $\Rightarrow$  bool **where**

*subsumption*:  $A \in N \Longrightarrow A \subset\# B \Longrightarrow redundant\ B\ N$

**lemma** *redundant-is-redundancy-criterion*:

```

  fixes A :: 'a :: wellorder clause and N :: 'a :: wellorder clauses
  assumes redundant A N

```

```

shows abstract-red A N
using assms
proof (induction rule: redundant.induct)
  case (subsumption A B N)
  then show ?case
    using subsumed-is-redundant[of A N B] unfolding abstract-red-def class-lt-def by auto
qed

lemma redundant-mono:
  redundant A N  $\implies$  A  $\subseteq\#$  B  $\implies$  redundant B N
  apply (induction rule: redundant.induct)
  by (meson subset-mset.less-le-trans subsumption)

locale truc =
  selection S for S :: nat clause  $\Rightarrow$  nat clause
begin

end

end

```