

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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**begin**

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

## 1 Rewrite systems and properties

### 1.1 Lifting of rewrite rules

We can lift a rewrite relation  $r$  over a full formula: the relation  $r$  works on terms, while *propo-rew-step* works on formulas.

**inductive** *propo-rew-step* :: ('v *propo*  $\Rightarrow$  'v *propo*  $\Rightarrow$  bool)  $\Rightarrow$  'v *propo*  $\Rightarrow$  'v *propo*  $\Rightarrow$  bool  
**for**  $r$  :: 'v *propo*  $\Rightarrow$  'v *propo*  $\Rightarrow$  bool **where**  
*global-rel*:  $r \varphi \psi \Longrightarrow \text{propo-rew-step } r \varphi \psi$  |  
*propo-rew-one-step-lift*:  $\text{propo-rew-step } r \varphi \varphi' \Longrightarrow \text{wf-conn } c (\psi s @ \varphi \# \psi s') \Longrightarrow \text{propo-rew-step } r (\text{conn } c (\psi s @ \varphi \# \psi s')) (\text{conn } c (\psi s @ \varphi' \# \psi s'))$

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between  $\varphi$  and  $\varphi'$ , then there are two subformulas  $\psi$  in  $\varphi$  and  $\psi'$  in  $\varphi'$ ,  $\psi'$  is the result of the rewriting of  $r$  on  $\psi$ .

This lemma is only a health condition:

**lemma** *propo-rew-step-subformula-imp*:  
**shows**  $\text{propo-rew-step } r \varphi \varphi' \Longrightarrow \exists \psi \psi'. \psi \preceq \varphi \wedge \psi' \preceq \varphi' \wedge r \psi \psi'$   
 <proof>

The converse is moreover true: if there is a  $\psi$  and  $\psi'$ , then every formula  $\varphi$  containing  $\psi$ , can be rewritten into a formula  $\varphi'$ , such that it contains  $\psi'$ .

**lemma** *propo-rew-step-subformula-rec*:  
**fixes**  $\psi \psi' \varphi$  :: 'v *propo*  
**shows**  $\psi \preceq \varphi \Longrightarrow r \psi \psi' \Longrightarrow (\exists \varphi'. \psi' \preceq \varphi' \wedge \text{propo-rew-step } r \varphi \varphi')$   
 <proof>

**lemma** *propo-rew-step-subformula*:  
 $(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi') \longleftrightarrow (\exists \varphi'. \text{propo-rew-step } r \varphi \varphi')$   
 <proof>

**lemma** *consistency-decompose-into-list*:  
**assumes** *wf*: *wf-conn*  $c \ l$  **and** *wf'*: *wf-conn*  $c \ l'$   
**and** *same*:  $\forall n. (A \models l ! n \longleftrightarrow (A \models l' ! n))$   
**shows**  $(A \models \text{conn } c \ l) = (A \models \text{conn } c \ l')$   
 <proof>

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step*  $r \varphi \varphi'$  means that we rewrite  $\psi$  inside  $\varphi$  (ie at a path  $p$ ) into  $\psi'$ .

**lemma** *propo-rew-step-rewrite*:  
**fixes**  $\varphi \varphi'$  :: 'v *propo* **and**  $r$  :: 'v *propo*  $\Rightarrow$  'v *propo*  $\Rightarrow$  bool

**assumes** *propo-rew-step*  $r \varphi \varphi'$   
**shows**  $\exists \psi \psi' p. r \psi \psi' \wedge \text{path-to } p \varphi \psi \wedge \text{replace-at } p \varphi \psi' = \varphi'$   
 $\langle \text{proof} \rangle$

## 1.2 Consistency preservation

We define *preserves-un-sat*: it means that a relation preserves consistency.

**definition** *preserves-un-sat* **where**

*preserves-un-sat*  $r \longleftrightarrow (\forall \varphi \psi. r \varphi \psi \longrightarrow (\forall A. A \models \varphi \longleftrightarrow A \models \psi))$

**lemma** *propo-rew-step-preservers-val-explicit*:

*propo-rew-step*  $r \varphi \psi \implies \text{preserves-un-sat } r \implies \text{propo-rew-step } r \varphi \psi \implies (\forall A. A \models \varphi \longleftrightarrow A \models \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *propo-rew-step-preservers-val'*:

**assumes** *preserves-un-sat*  $r$

**shows** *preserves-un-sat* (*propo-rew-step*  $r$ )

$\langle \text{proof} \rangle$

**lemma** *preserves-un-sat-OO[intro]*:

*preserves-un-sat*  $f \implies \text{preserves-un-sat } g \implies \text{preserves-un-sat } (f \text{ OO } g)$   
 $\langle \text{proof} \rangle$

**lemma** *star-consistency-preservation-explicit*:

**assumes**  $(\text{propo-rew-step } r)^{\wedge **} \varphi \psi$  **and** *preserves-un-sat*  $r$

**shows**  $\forall A. A \models \varphi \longleftrightarrow A \models \psi$

$\langle \text{proof} \rangle$

**lemma** *star-consistency-preservation*:

*preserves-un-sat*  $r \implies \text{preserves-un-sat } (\text{propo-rew-step } r)^{\wedge **}$   
 $\langle \text{proof} \rangle$

## 1.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

**lemma** *full-ropo-rew-step-preservers-val[simp]*:

*preserves-un-sat*  $r \implies \text{preserves-un-sat } (\text{full } (\text{propo-rew-step } r))$   
 $\langle \text{proof} \rangle$

**lemma** *full-propo-rew-step-subformula*:

*full* (*propo-rew-step*  $r$ )  $\varphi' \varphi \implies \neg(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi')$   
 $\langle \text{proof} \rangle$

## 2 Transformation testing

### 2.1 Definition and first properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

**definition** *all-subformula-st* :: ('a propo  $\Rightarrow$  bool)  $\Rightarrow$  'a propo  $\Rightarrow$  bool **where**  
*all-subformula-st test-symb*  $\varphi \equiv \forall \psi. \psi \preceq \varphi \longrightarrow \text{test-symb } \psi$

**lemma** *test-symb-imp-all-subformula-st[simp]*:  
*test-symb FT*  $\Longrightarrow$  *all-subformula-st test-symb FT*  
*test-symb FF*  $\Longrightarrow$  *all-subformula-st test-symb FF*  
*test-symb (FVar x)*  $\Longrightarrow$  *all-subformula-st test-symb (FVar x)*  
 <proof>

**lemma** *all-subformula-st-test-symb-true-phi*:  
*all-subformula-st test-symb*  $\varphi \Longrightarrow \text{test-symb } \varphi$   
 <proof>

**lemma** *all-subformula-st-decomp-imp*:  
*wf-conn c l*  $\Longrightarrow$  (*test-symb (conn c l)*  $\wedge$  ( $\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi$ ))  
 $\Longrightarrow$  *all-subformula-st test-symb (conn c l)*  
 <proof>

To ease the finding of proofs, we give some explicit theorem about the decomposition.

**lemma** *all-subformula-st-decomp-rec*:  
*all-subformula-st test-symb (conn c l)*  $\Longrightarrow$  *wf-conn c l*  
 $\Longrightarrow$  (*test-symb (conn c l)*  $\wedge$  ( $\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi$ ))  
 <proof>

**lemma** *all-subformula-st-decomp*:  
**fixes** *c* :: 'v connective **and** *l* :: 'v propo list  
**assumes** *wf-conn c l*  
**shows** *all-subformula-st test-symb (conn c l)*  
 $\longleftrightarrow$  (*test-symb (conn c l)*  $\wedge$  ( $\forall \varphi \in \text{set } l. \text{all-subformula-st test-symb } \varphi$ ))  
 <proof>

**lemma** *helper-fact*: *c*  $\in$  *binary-connectives*  $\longleftrightarrow$  (*c* = *COr*  $\vee$  *c* = *CAnd*  $\vee$  *c* = *CEq*  $\vee$  *c* = *CImp*)  
 <proof>

**lemma** *all-subformula-st-decomp-explicit[simp]*:  
**fixes**  $\varphi \psi$  :: 'v propo  
**shows** *all-subformula-st test-symb (FAnd  $\varphi \psi$ )*  
 $\longleftrightarrow$  (*test-symb (FAnd  $\varphi \psi$ )*  $\wedge$  *all-subformula-st test-symb*  $\varphi$   $\wedge$  *all-subformula-st test-symb*  $\psi$ )  
**and** *all-subformula-st test-symb (FOr  $\varphi \psi$ )*  
 $\longleftrightarrow$  (*test-symb (FOr  $\varphi \psi$ )*  $\wedge$  *all-subformula-st test-symb*  $\varphi$   $\wedge$  *all-subformula-st test-symb*  $\psi$ )  
**and** *all-subformula-st test-symb (FNot  $\varphi$ )*  
 $\longleftrightarrow$  (*test-symb (FNot  $\varphi$ )*  $\wedge$  *all-subformula-st test-symb*  $\varphi$ )  
**and** *all-subformula-st test-symb (FEq  $\varphi \psi$ )*  
 $\longleftrightarrow$  (*test-symb (FEq  $\varphi \psi$ )*  $\wedge$  *all-subformula-st test-symb*  $\varphi$   $\wedge$  *all-subformula-st test-symb*  $\psi$ )  
**and** *all-subformula-st test-symb (FImp  $\varphi \psi$ )*  
 $\longleftrightarrow$  (*test-symb (FImp  $\varphi \psi$ )*  $\wedge$  *all-subformula-st test-symb*  $\varphi$   $\wedge$  *all-subformula-st test-symb*  $\psi$ )

*<proof>*

As *all-subformula-st* tests recursively, the function is true on every subformula.

**lemma** *subformula-all-subformula-st*:

$\psi \preceq \varphi \implies \text{all-subformula-st test-symb } \varphi \implies \text{all-subformula-st test-symb } \psi$   
*<proof>*

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation *r*: if we assume that if every time *test-symb* is true, then a *r* can be applied, finally as long as  $\neg \text{all-subformula-st test-symb } \varphi$ , then something can be rewritten in  $\varphi$ .

**lemma** *no-test-symb-step-exists*:

**fixes** *r*:: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool **and** *test-symb*:: 'v propo  $\Rightarrow$  bool **and** *x*:: 'v  
**and**  $\varphi$ :: 'v propo  
**assumes** *test-symb-false-nullary*:  $\forall x. \text{test-symb } FF \wedge \text{test-symb } FT \wedge \text{test-symb } (FVar\ x)$   
**and**  $\forall \varphi'. \varphi' \preceq \varphi \longrightarrow (\neg \text{test-symb } \varphi') \longrightarrow (\exists \psi. r\ \varphi'\ \psi)$  **and**  
 $\neg \text{all-subformula-st test-symb } \varphi$   
**shows**  $(\exists \psi\ \psi'. \psi \preceq \varphi \wedge r\ \psi\ \psi')$   
*<proof>*

## 2.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption  $\forall \varphi'\ \psi. \varphi' \preceq \Phi \longrightarrow r\ \varphi'\ \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$  means that rewriting with *r* does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from *r* to *propo-rew-step r*: we have to add the assumption that rewriting inside does not mess up the term:  $\forall c\ \xi\ \varphi\ \xi'\ \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r\ \varphi\ \varphi' \longrightarrow \text{wf-conn } c\ (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c\ (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c\ (\xi @ \varphi' \# \xi'))$

### 2.2.1 Invariant while lifting of the rewriting relation

The condition  $\varphi \preceq \Phi$  (that will be used with  $\Phi = \varphi$  most of the time) is here to ensure that the recursive conditions on  $\Phi$  will moreover hold for the subterm we are rewriting. For example if there is no equivalence symbol in  $\Phi$ , we do not have to care about equivalence symbols in the two previous assumptions.

**lemma** *propo-rew-step-inv-stay'*:

**fixes** *r*:: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool **and** *test-symb*:: 'v propo  $\Rightarrow$  bool **and** *x*:: 'v  
**and**  $\varphi\ \psi\ \Phi$ :: 'v propo  
**assumes** *H*:  $\forall \varphi'\ \psi. \varphi' \preceq \Phi \longrightarrow r\ \varphi'\ \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$   
**and** *H'*:  $\forall (c:: 'v\ \text{connective})\ \xi\ \varphi\ \xi'\ \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r\ \varphi\ \varphi' \longrightarrow \text{wf-conn } c\ (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c\ (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c\ (\xi @ \varphi' \# \xi'))$  **and**  
 $\text{propo-rew-step } r\ \varphi\ \psi$  **and**  
 $\varphi \preceq \Phi$  **and**  
 $\text{all-subformula-st test-symb } \varphi$

**shows** *all-subformula-st test-symb*  $\psi$   
 $\langle \text{proof} \rangle$

The need for  $\varphi \preceq \Phi$  is not always necessary, hence we moreover have a version without inclusion.

**lemma** *propo-rew-step-inv-stay*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb* ::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
 $H: \forall \varphi' \psi. r \varphi' \psi \longrightarrow \text{all-subformula-st test-symb } \varphi' \longrightarrow \text{all-subformula-st test-symb } \psi$  **and**  
 $H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$   
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**  
*propo-rew-step*  $r \varphi \psi$  **and**  
*all-subformula-st test-symb*  $\varphi$   
**shows** *all-subformula-st test-symb*  $\psi$   
 $\langle \text{proof} \rangle$

The lemmas can be lifted to *propo-rew-step*  $r^\perp$  instead of *propo-rew-step*

### 2.2.2 Invariant after all rewriting

**lemma** *full-propo-rew-step-inv-stay-with-inc*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb* ::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
 $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$   
 $\longrightarrow \text{all-subformula-st test-symb } \psi$  **and**  
 $H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \longrightarrow \text{propo-rew-step } r \varphi \varphi'$   
 $\longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi'$   
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**  
 $\varphi \preceq \Phi$  **and**  
*full*: *full* (*propo-rew-step*  $r$ )  $\varphi \psi$  **and**  
*init*: *all-subformula-st test-symb*  $\varphi$   
**shows** *all-subformula-st test-symb*  $\psi$   
 $\langle \text{proof} \rangle$

**lemma** *full-propo-rew-step-inv-stay'*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb* ::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
 $H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi$   
 $\longrightarrow \text{all-subformula-st test-symb } \psi$  **and**  
 $H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{propo-rew-step } r \varphi \varphi' \longrightarrow \text{wf-conn } c (\xi @ \varphi \# \xi')$   
 $\longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**  
*full*: *full* (*propo-rew-step*  $r$ )  $\varphi \psi$  **and**  
*init*: *all-subformula-st test-symb*  $\varphi$   
**shows** *all-subformula-st test-symb*  $\psi$   
 $\langle \text{proof} \rangle$

**lemma** *full-propo-rew-step-inv-stay*:

**fixes**  $r :: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **and** *test-symb* ::  $'v \text{ propo} \Rightarrow \text{bool}$  **and**  $x :: 'v$   
**and**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
 $H: \forall \varphi \psi. r \varphi \psi \longrightarrow \text{all-subformula-st test-symb } \varphi \longrightarrow \text{all-subformula-st test-symb } \psi$  **and**  
 $H': \forall (c :: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$   
 $\longrightarrow \text{test-symb } \varphi' \longrightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$  **and**

```

    full: full (propo-rew-step r)  $\varphi$   $\psi$  and
    init: all-subformula-st test-symb  $\varphi$ 
shows all-subformula-st test-symb  $\psi$ 
<proof>

```

**lemma** *full-propo-rew-step-inv-stay-conn*:

```

fixes r:: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool and test-symb:: 'v propo  $\Rightarrow$  bool and x :: 'v
and  $\varphi$   $\psi$  :: 'v propo
assumes
  H:  $\forall \varphi \psi. r \varphi \psi \longrightarrow$  all-subformula-st test-symb  $\varphi \longrightarrow$  all-subformula-st test-symb  $\psi$  and
  H':  $\forall (c:: 'v \text{ connective}) l l'. \text{wf-conn } c \ l \longrightarrow \text{wf-conn } c \ l'$ 
     $\longrightarrow (\text{test-symb } (\text{conn } c \ l) \longleftrightarrow \text{test-symb } (\text{conn } c \ l'))$  and
  full: full (propo-rew-step r)  $\varphi$   $\psi$  and
  init: all-subformula-st test-symb  $\varphi$ 
shows all-subformula-st test-symb  $\psi$ 
<proof>

```

**end**

**theory** *Prop-Normalisation*

**imports** *Main Prop-Logic Prop-Abstract-Transformation ../lib/Multiset-More*

**begin**

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

### 3 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalencies, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation separately.

#### 3.1 Elimination of the equivalences

The first transformation consists in removing every equivalence symbol.

```

inductive elim-equiv :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
  elim-equiv[simp]: elim-equiv (FEq  $\varphi$   $\psi$ ) (FAnd (FImp  $\varphi$   $\psi$ ) (FImp  $\psi$   $\varphi$ ))

```

**lemma** *elim-equiv-transformation-consistent*:

```

A  $\models$  FEq  $\varphi$   $\psi \longleftrightarrow A \models$  FAnd (FImp  $\varphi$   $\psi$ ) (FImp  $\psi$   $\varphi$ )
<proof>

```

```

lemma elim-equiv-explicit: elim-equiv  $\varphi$   $\psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
<proof>

```

```

lemma elim-equiv-consistent: preserves-un-sat elim-equiv
<proof>

```

**lemma** *elimEquiv-lifted-consistent*:

```

preserves-un-sat (full (propo-rew-step elim-equiv))
<proof>

```

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

```

fun no-equiv-symb :: 'v propo  $\Rightarrow$  bool where
no-equiv-symb (FEq -) = False |
no-equiv-symb - = True

```

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

```

lemma no-equiv-symb-conn-characterization[simp]:
fixes c :: 'v connective and l :: 'v propo list
assumes wf: wf-conn c l
shows no-equiv-symb (conn c l)  $\longleftrightarrow$  c  $\neq$  CEq
  <proof>

```

**definition** no-equiv **where** no-equiv = all-subformula-st no-equiv-symb

```

lemma no-equiv-eq[simp]:
fixes  $\varphi$   $\psi$  :: 'v propo
shows
   $\neg$ no-equiv (FEq  $\varphi$   $\psi$ )
  no-equiv FT
  no-equiv FF
  <proof>

```

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

```

lemma all-subformula-st-decomp-explicit-no-equiv[iff]:
fixes  $\varphi$   $\psi$  :: 'v propo
shows
  no-equiv (FNot  $\varphi$ )  $\longleftrightarrow$  no-equiv  $\varphi$ 
  no-equiv (FAnd  $\varphi$   $\psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi$   $\wedge$  no-equiv  $\psi$ )
  no-equiv (FOr  $\varphi$   $\psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi$   $\wedge$  no-equiv  $\psi$ )
  no-equiv (FImp  $\varphi$   $\psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi$   $\wedge$  no-equiv  $\psi$ )
  <proof>

```

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*. This theorem is one of the assumption we need to characterize the transformation.

```

lemma no-equiv-elim-equiv-step:
fixes  $\varphi$  :: 'v propo
assumes no-equiv:  $\neg$  no-equiv  $\varphi$ 
shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge$  elim-equiv  $\psi \psi'$ 
  <proof>

```

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

```

lemma no-equiv-full-propo-rew-step-elim-equiv:
  full (propo-rew-step elim-equiv)  $\varphi \psi \implies$  no-equiv  $\psi$ 
  <proof>

```

### 3.2 Eliminate Implication

After that, we can eliminate the implication symbols.

```

inductive elim-imp :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
[simp]: elim-imp (FImp  $\varphi$   $\psi$ ) (FOr (FNot  $\varphi$ )  $\psi$ )

```



**lemma** *elim-imp-transformation-consistent*:

$A \models FImp \varphi \psi \longleftrightarrow A \models FOr (FNot \varphi) \psi$   
 $\langle proof \rangle$

**lemma** *elim-imp-explicit*:  $elim-imp \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$

$\langle proof \rangle$

**lemma** *elim-imp-consistent*: *preserves-un-sat elim-imp*

$\langle proof \rangle$

**lemma** *elim-imp-lifted-consistent*:

*preserves-un-sat (full (propo-rew-step elim-imp))*  
 $\langle proof \rangle$

**fun** *no-imp-symb* **where**

*no-imp-symb* (*FImp* -) = *False* |

*no-imp-symb* - = *True*

**lemma** *no-imp-symb-conn-characterization*:

$wf-conn \ c \ l \implies no-imp-symb \ (conn \ c \ l) \longleftrightarrow c \neq CImp$   
 $\langle proof \rangle$

**definition** *no-imp* **where** *no-imp*  $\equiv$  *all-subformula-st no-imp-symb*

**declare** *no-imp-def*[*simp*]

**lemma** *no-imp-Imp*[*simp*]:

$\neg no-imp \ (FImp \ \varphi \ \psi)$

*no-imp* *FT*

*no-imp* *FF*

$\langle proof \rangle$

**lemma** *all-subformula-st-decomp-explicit-imp*[*simp*]:

**fixes**  $\varphi \ \psi :: 'v \ propo$

**shows**

$no-imp \ (FNot \ \varphi) \longleftrightarrow no-imp \ \varphi$

$no-imp \ (FAnd \ \varphi \ \psi) \longleftrightarrow (no-imp \ \varphi \wedge no-imp \ \psi)$

$no-imp \ (FOr \ \varphi \ \psi) \longleftrightarrow (no-imp \ \varphi \wedge no-imp \ \psi)$

$\langle proof \rangle$

Invariant of the *elim-imp* transformation

**lemma** *elim-imp-no-equiv*:

$elim-imp \ \varphi \ \psi \implies no-equiv \ \varphi \implies no-equiv \ \psi$

$\langle proof \rangle$

**lemma** *elim-imp-inv*:

**fixes**  $\varphi \ \psi :: 'v \ propo$

**assumes** *full* (*propo-rew-step elim-imp*)  $\varphi \ \psi$  **and** *no-equiv*  $\varphi$

**shows** *no-equiv*  $\psi$

$\langle proof \rangle$

**lemma** *no-no-imp-elim-imp-step-exists*:

**fixes**  $\varphi :: 'v \ propo$

**assumes** *no-equiv*:  $\neg no-imp \ \varphi$

**shows**  $\exists \psi \ \psi'. \ \psi \preceq \varphi \wedge elim-imp \ \psi \ \psi'$

$\langle proof \rangle$

**lemma** *no-imp-full-propo-rew-step-elim-imp*: full (propo-rew-step elim-imp)  $\varphi \psi \implies \text{no-imp } \psi$   
 ⟨proof⟩

### 3.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

**inductive** *elimTB* **where**

*ElimTB1*: *elimTB* (FAnd  $\varphi$  FT)  $\varphi$  |

*ElimTB1'*: *elimTB* (FAnd FT  $\varphi$ )  $\varphi$  |

*ElimTB2*: *elimTB* (FAnd  $\varphi$  FF) FF |

*ElimTB2'*: *elimTB* (FAnd FF  $\varphi$ ) FF |

*ElimTB3*: *elimTB* (FOr  $\varphi$  FT) FT |

*ElimTB3'*: *elimTB* (FOr FT  $\varphi$ ) FT |

*ElimTB4*: *elimTB* (FOr  $\varphi$  FF)  $\varphi$  |

*ElimTB4'*: *elimTB* (FOr FF  $\varphi$ )  $\varphi$  |

*ElimTB5*: *elimTB* (FNot FT) FF |

*ElimTB6*: *elimTB* (FNot FF) FT

**lemma** *elimTB-consistent*: preserves-un-sat *elimTB*  
 ⟨proof⟩

**inductive** *no-T-F-symb* :: 'v propo  $\Rightarrow$  bool **where**

*no-T-F-symb-comp*:  $c \neq CF \implies c \neq CT \implies \text{wf-conn } c \ l \implies (\forall \varphi \in \text{set } l. \varphi \neq FT \wedge \varphi \neq FF)$   
 $\implies \text{no-T-F-symb } (\text{conn } c \ l)$

**lemma** *wf-conn-no-T-F-symb-iff[simp]*:

*wf-conn*  $c \ \psi s \implies$

*no-T-F-symb* (conn  $c \ \psi s$ )  $\longleftrightarrow (c \neq CF \wedge c \neq CT \wedge (\forall \psi \in \text{set } \psi s. \psi \neq FF \wedge \psi \neq FT))$

⟨proof⟩

**lemma** *wf-conn-no-T-F-symb-iff-explicit[simp]*:

*no-T-F-symb* (FAnd  $\varphi \ \psi$ )  $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$

*no-T-F-symb* (FOr  $\varphi \ \psi$ )  $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$

*no-T-F-symb* (FEq  $\varphi \ \psi$ )  $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$

*no-T-F-symb* (FImp  $\varphi \ \psi$ )  $\longleftrightarrow (\forall \chi \in \text{set } [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT)$

⟨proof⟩

**lemma** *no-T-F-symb-false[simp]*:

**fixes**  $c :: 'v \text{ connective}$

**shows**

$\neg \text{no-T-F-symb } (FT :: 'v \text{ propo})$

$\neg \text{no-T-F-symb } (FF :: 'v \text{ propo})$

⟨proof⟩

**lemma** *no-T-F-symb-bool[simp]*:

**fixes**  $x :: 'v$

**shows** *no-T-F-symb* (FVar *x*)  
 ⟨proof⟩

**lemma** *no-T-F-symb-fnot-imp*:  
 $\neg \text{no-T-F-symb } (F\text{Not } \varphi) \implies \varphi = FT \vee \varphi = FF$   
 ⟨proof⟩

**lemma** *no-T-F-symb-fnot[simp]*:  
 $\text{no-T-F-symb } (F\text{Not } \varphi) \longleftrightarrow \neg(\varphi = FT \vee \varphi = FF)$   
 ⟨proof⟩

Actually it is not possible to remove every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

**inductive** *no-T-F-symb-except-toplevel* **where**  
*no-T-F-symb-except-toplevel-true[simp]*: *no-T-F-symb-except-toplevel* *FT* |  
*no-T-F-symb-except-toplevel-false[simp]*: *no-T-F-symb-except-toplevel* *FF* |  
*noTrue-no-T-F-symb-except-toplevel[simp]*: *no-T-F-symb*  $\varphi \implies \text{no-T-F-symb-except-toplevel } \varphi$

**lemma** *no-T-F-symb-except-toplevel-bool*:  
**fixes** *x* :: 'v  
**shows** *no-T-F-symb-except-toplevel* (FVar *x*)  
 ⟨proof⟩

**lemma** *no-T-F-symb-except-toplevel-not-decom*:  
 $\varphi \neq FT \implies \varphi \neq FF \implies \text{no-T-F-symb-except-toplevel } (F\text{Not } \varphi)$   
 ⟨proof⟩

**lemma** *no-T-F-symb-except-toplevel-bin-decom*:  
**fixes**  $\varphi \ \psi$  :: 'v *propo*  
**assumes**  $\varphi \neq FT$  **and**  $\varphi \neq FF$  **and**  $\psi \neq FT$  **and**  $\psi \neq FF$   
**and** *c*: *c* ∈ *binary-connectives*  
**shows** *no-T-F-symb-except-toplevel* (conn *c* [ $\varphi$ ,  $\psi$ ])  
 ⟨proof⟩

**lemma** *no-T-F-symb-except-toplevel-if-is-a-true-false*:  
**fixes** *l* :: 'v *propo list* **and** *c* :: 'v *connective*  
**assumes** *corr*: *wf-conn* *c* *l*  
**and**  $FT \in \text{set } l \vee FF \in \text{set } l$   
**shows**  $\neg \text{no-T-F-symb-except-toplevel } (\text{conn } c \ l)$   
 ⟨proof⟩

**lemma** *no-T-F-symb-except-top-level-false-example[simp]*:  
**fixes**  $\varphi \ \psi$  :: 'v *propo*  
**assumes**  $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$   
**shows**  
 $\neg \text{no-T-F-symb-except-toplevel } (F\text{And } \varphi \ \psi)$   
 $\neg \text{no-T-F-symb-except-toplevel } (F\text{Or } \varphi \ \psi)$   
 $\neg \text{no-T-F-symb-except-toplevel } (F\text{Imp } \varphi \ \psi)$   
 $\neg \text{no-T-F-symb-except-toplevel } (F\text{Eq } \varphi \ \psi)$   
 ⟨proof⟩

**lemma** *no-T-F-symb-except-top-level-false-not[simp]*:  
**fixes**  $\varphi \ \psi$  :: 'v *propo*

**assumes**  $\varphi = FT \vee \varphi = FF$   
**shows**  
 $\neg \text{no-}T\text{-}F\text{-symb-except-toplevel } (F\text{Not } \varphi)$   
 $\langle \text{proof} \rangle$

This is the local extension of *no- $T$ - $F$ -symb-except-toplevel*.

**definition** *no- $T$ - $F$ -except-top-level* **where**  
*no- $T$ - $F$ -except-top-level*  $\equiv$  *all-subformula-st no- $T$ - $F$ -symb-except-toplevel*

This is another property we will use. While this version might seem to be the one we want to prove, it is not since  $FT$  can not be reduced.

**definition** *no- $T$ - $F$*  **where**  
*no- $T$ - $F$*   $\equiv$  *all-subformula-st no- $T$ - $F$ -symb*

**lemma** *no- $T$ - $F$ -except-top-level-false*:  
**fixes**  $l :: 'v \text{ propo list}$  **and**  $c :: 'v \text{ connective}$   
**assumes** *wf-conn*  $c \ l$   
**and**  $FT \in \text{set } l \vee FF \in \text{set } l$   
**shows**  $\neg \text{no-}T\text{-}F\text{-except-top-level } (\text{conn } c \ l)$   
 $\langle \text{proof} \rangle$

**lemma** *no- $T$ - $F$ -except-top-level-false-example[simp]*:  
**fixes**  $\varphi \ \psi :: 'v \text{ propo}$   
**assumes**  $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$   
**shows**  
 $\neg \text{no-}T\text{-}F\text{-except-top-level } (F\text{And } \varphi \ \psi)$   
 $\neg \text{no-}T\text{-}F\text{-except-top-level } (F\text{Or } \varphi \ \psi)$   
 $\neg \text{no-}T\text{-}F\text{-except-top-level } (F\text{Eq } \varphi \ \psi)$   
 $\neg \text{no-}T\text{-}F\text{-except-top-level } (F\text{Imp } \varphi \ \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *no- $T$ - $F$ -symb-except-toplevel-no- $T$ - $F$ -symb*:  
 $\text{no-}T\text{-}F\text{-symb-except-toplevel } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-}T\text{-}F\text{-symb } \varphi$   
 $\langle \text{proof} \rangle$

The two following lemmas give the precise link between the two definitions.

**lemma** *no- $T$ - $F$ -symb-except-toplevel-all-subformula-st-no- $T$ - $F$ -symb*:  
 $\text{no-}T\text{-}F\text{-except-top-level } \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies \text{no-}T\text{-}F \ \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *no- $T$ - $F$ -no- $T$ - $F$ -except-top-level*:  
 $\text{no-}T\text{-}F \ \varphi \implies \text{no-}T\text{-}F\text{-except-top-level } \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *no- $T$ - $F$ -except-top-level-simp[simp]*:  $\text{no-}T\text{-}F\text{-except-top-level } FF \ \text{no-}T\text{-}F\text{-except-top-level } FT$   
 $\langle \text{proof} \rangle$

**lemma** *no- $T$ - $F$ -no- $T$ - $F$ -except-top-level'[simp]*:  
 $\text{no-}T\text{-}F\text{-except-top-level } \varphi \longleftrightarrow (\varphi = FF \vee \varphi = FT \vee \text{no-}T\text{-}F \ \varphi)$   
 $\langle \text{proof} \rangle$

**lemma** *no- $T$ - $F$ -bin-decomp[simp]*:  
**assumes**  $c: c \in \text{binary-connectives}$   
**shows**  $\text{no-}T\text{-}F \ (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow (\text{no-}T\text{-}F \ \varphi \wedge \text{no-}T\text{-}F \ \psi)$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-bin-decomp-expanded[simp]*:

**assumes**  $c$ :  $c = CAnd \vee c = COr \vee c = CEq \vee c = CImp$

**shows**  $no\text{-}T\text{-}F (conn\ c\ [\varphi, \psi]) \longleftrightarrow (no\text{-}T\text{-}F\ \varphi \wedge no\text{-}T\text{-}F\ \psi)$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-comp-expanded-explicit[simp]*:

**fixes**  $\varphi\ \psi :: 'v\ \text{propo}$

**shows**

$no\text{-}T\text{-}F\ (FAnd\ \varphi\ \psi) \longleftrightarrow (no\text{-}T\text{-}F\ \varphi \wedge no\text{-}T\text{-}F\ \psi)$

$no\text{-}T\text{-}F\ (FOr\ \varphi\ \psi) \longleftrightarrow (no\text{-}T\text{-}F\ \varphi \wedge no\text{-}T\text{-}F\ \psi)$

$no\text{-}T\text{-}F\ (FEq\ \varphi\ \psi) \longleftrightarrow (no\text{-}T\text{-}F\ \varphi \wedge no\text{-}T\text{-}F\ \psi)$

$no\text{-}T\text{-}F\ (FImp\ \varphi\ \psi) \longleftrightarrow (no\text{-}T\text{-}F\ \varphi \wedge no\text{-}T\text{-}F\ \psi)$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-comp-not[simp]*:

**fixes**  $\varphi\ \psi :: 'v\ \text{propo}$

**shows**  $no\text{-}T\text{-}F\ (FNot\ \varphi) \longleftrightarrow no\text{-}T\text{-}F\ \varphi$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-decomp*:

**fixes**  $\varphi\ \psi :: 'v\ \text{propo}$

**assumes**  $\varphi$ :  $no\text{-}T\text{-}F\ (FAnd\ \varphi\ \psi) \vee no\text{-}T\text{-}F\ (FOr\ \varphi\ \psi) \vee no\text{-}T\text{-}F\ (FEq\ \varphi\ \psi) \vee no\text{-}T\text{-}F\ (FImp\ \varphi\ \psi)$

**shows**  $no\text{-}T\text{-}F\ \psi$  **and**  $no\text{-}T\text{-}F\ \varphi$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-decomp-not*:

**fixes**  $\varphi :: 'v\ \text{propo}$

**assumes**  $\varphi$ :  $no\text{-}T\text{-}F\ (FNot\ \varphi)$

**shows**  $no\text{-}T\text{-}F\ \varphi$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-symb-except-toplevel-step-exists*:

**fixes**  $\varphi\ \psi :: 'v\ \text{propo}$

**assumes**  $no\text{-}equiv\ \varphi$  **and**  $no\text{-}imp\ \varphi$

**shows**  $\psi \preceq \varphi \implies \neg no\text{-}T\text{-}F\text{-}symb\text{-}except\text{-}toplevel\ \psi \implies \exists \psi'.\ elimTB\ \psi\ \psi'$

$\langle \text{proof} \rangle$

**lemma** *no-T-F-except-top-level-rew*:

**fixes**  $\varphi :: 'v\ \text{propo}$

**assumes**  $noTB$ :  $\neg no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level\ \varphi$  **and**  $no\text{-}equiv$ :  $no\text{-}equiv\ \varphi$  **and**  $no\text{-}imp$ :  $no\text{-}imp\ \varphi$

**shows**  $\exists \psi\ \psi'.\ \psi \preceq \varphi \wedge elimTB\ \psi\ \psi'$

$\langle \text{proof} \rangle$

**lemma** *elimTB-inv*:

**fixes**  $\varphi\ \psi :: 'v\ \text{propo}$

**assumes**  $full\ (propo\text{-}rew\text{-}step\ elimTB)\ \varphi\ \psi$

**and**  $no\text{-}equiv\ \varphi$  **and**  $no\text{-}imp\ \varphi$

**shows**  $no\text{-}equiv\ \psi$  **and**  $no\text{-}imp\ \psi$

$\langle \text{proof} \rangle$

**lemma** *elimTB-full-propo-rew-step*:

**fixes**  $\varphi\ \psi :: 'v\ \text{propo}$

**assumes**  $no\text{-}equiv\ \varphi$  **and**  $no\text{-}imp\ \varphi$  **and**  $full\ (propo\text{-}rew\text{-}step\ elimTB)\ \varphi\ \psi$

**shows** *no-T-F-except-top-level*  $\psi$   
 $\langle \text{proof} \rangle$

### 3.4 PushNeg

Push the negation inside the formula, until the litteral.

**inductive** *pushNeg* **where**

*PushNeg1[simp]*:  $\text{pushNeg } (\text{FNot } (\text{FAnd } \varphi \ \psi)) \ (\text{FOr } (\text{FNot } \varphi) \ (\text{FNot } \psi)) \mid$   
*PushNeg2[simp]*:  $\text{pushNeg } (\text{FNot } (\text{FOr } \varphi \ \psi)) \ (\text{FAnd } (\text{FNot } \varphi) \ (\text{FNot } \psi)) \mid$   
*PushNeg3[simp]*:  $\text{pushNeg } (\text{FNot } (\text{FNot } \varphi)) \ \varphi$

**lemma** *pushNeg-transformation-consistent*:

$A \models \text{FNot } (\text{FAnd } \varphi \ \psi) \longleftrightarrow A \models (\text{FOr } (\text{FNot } \varphi) \ (\text{FNot } \psi))$   
 $A \models \text{FNot } (\text{FOr } \varphi \ \psi) \longleftrightarrow A \models (\text{FAnd } (\text{FNot } \varphi) \ (\text{FNot } \psi))$   
 $A \models \text{FNot } (\text{FNot } \varphi) \longleftrightarrow A \models \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *pushNeg-explicit*:  $\text{pushNeg } \varphi \ \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$   
 $\langle \text{proof} \rangle$

**lemma** *pushNeg-consistent*: *preserves-un-sat* *pushNeg*  
 $\langle \text{proof} \rangle$

**lemma** *pushNeg-lifted-consistant*:

*preserves-un-sat* (*full* (*propo-rew-step* *pushNeg*))  
 $\langle \text{proof} \rangle$

**fun** *simple* **where**

*simple* *FT* = *True* |  
*simple* *FF* = *True* |  
*simple* (*FVar* *-*) = *True* |  
*simple* *-* = *False*

**lemma** *simple-decomp*:

$\text{simple } \varphi \longleftrightarrow (\varphi = \text{FT} \vee \varphi = \text{FF} \vee (\exists x. \varphi = \text{FVar } x))$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-conn-decomp-simple*:

**fixes**  $\varphi \ \psi :: 'v \text{ propo}$   
**assumes** *s*: *simple*  $\psi$   
**shows**  $\varphi \preceq \text{FNot } \psi \longleftrightarrow (\varphi = \text{FNot } \psi \vee \varphi = \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *subformula-conn-decomp-explicit[simp]*:

**fixes**  $\varphi :: 'v \text{ propo}$  **and**  $x :: 'v$   
**shows**  
 $\varphi \preceq \text{FNot } \text{FT} \longleftrightarrow (\varphi = \text{FNot } \text{FT} \vee \varphi = \text{FT})$   
 $\varphi \preceq \text{FNot } \text{FF} \longleftrightarrow (\varphi = \text{FNot } \text{FF} \vee \varphi = \text{FF})$   
 $\varphi \preceq \text{FNot } (\text{FVar } x) \longleftrightarrow (\varphi = \text{FNot } (\text{FVar } x) \vee \varphi = \text{FVar } x)$   
 $\langle \text{proof} \rangle$

**fun** *simple-not-symb* **where**  
*simple-not-symb* (*FNot*  $\varphi$ ) = (*simple*  $\varphi$ ) |  
*simple-not-symb* - = *True*

**definition** *simple-not* **where**  
*simple-not* = *all-subformula-st simple-not-symb*  
**declare** *simple-not-def*[*simp*]

**lemma** *simple-not-Not*[*simp*]:  
 $\neg$  *simple-not* (*FNot* (*FAnd*  $\varphi$   $\psi$ ))  
 $\neg$  *simple-not* (*FNot* (*FOr*  $\varphi$   $\psi$ ))  
 $\langle$ *proof* $\rangle$

**lemma** *simple-not-step-exists*:  
**fixes**  $\varphi$   $\psi :: 'v$  *propo*  
**assumes** *no-equiv*  $\varphi$  **and** *no-imp*  $\varphi$   
**shows**  $\psi \preceq \varphi \implies \neg$  *simple-not-symb*  $\psi \implies \exists \psi'. \text{pushNeg } \psi \ \psi'$   
 $\langle$ *proof* $\rangle$

**lemma** *simple-not-rew*:  
**fixes**  $\varphi :: 'v$  *propo*  
**assumes** *noTB*:  $\neg$  *simple-not*  $\varphi$  **and** *no-equiv*: *no-equiv*  $\varphi$  **and** *no-imp*: *no-imp*  $\varphi$   
**shows**  $\exists \psi \ \psi'. \psi \preceq \varphi \wedge \text{pushNeg } \psi \ \psi'$   
 $\langle$ *proof* $\rangle$

**lemma** *no-T-F-except-top-level-pushNeg1*:  
*no-T-F-except-top-level* (*FNot* (*FAnd*  $\varphi$   $\psi$ ))  $\implies$  *no-T-F-except-top-level* (*FOr* (*FNot*  $\varphi$ ) (*FNot*  $\psi$ ))  
 $\langle$ *proof* $\rangle$

**lemma** *no-T-F-except-top-level-pushNeg2*:  
*no-T-F-except-top-level* (*FNot* (*FOr*  $\varphi$   $\psi$ ))  $\implies$  *no-T-F-except-top-level* (*FAnd* (*FNot*  $\varphi$ ) (*FNot*  $\psi$ ))  
 $\langle$ *proof* $\rangle$

**lemma** *no-T-F-symb-pushNeg*:  
*no-T-F-symb* (*FOr* (*FNot*  $\varphi'$ ) (*FNot*  $\psi'$ ))  
*no-T-F-symb* (*FAnd* (*FNot*  $\varphi'$ ) (*FNot*  $\psi'$ ))  
*no-T-F-symb* (*FNot* (*FNot*  $\varphi'$ ))  
 $\langle$ *proof* $\rangle$

**lemma** *propo-rew-step-pushNeg-no-T-F-symb*:  
*propo-rew-step pushNeg*  $\varphi \ \psi \implies$  *no-T-F-except-top-level*  $\varphi \implies$  *no-T-F-symb*  $\varphi \implies$  *no-T-F-symb*  $\psi$   
 $\langle$ *proof* $\rangle$

**lemma** *propo-rew-step-pushNeg-no-T-F*:  
*propo-rew-step pushNeg*  $\varphi \ \psi \implies$  *no-T-F*  $\varphi \implies$  *no-T-F*  $\psi$   
 $\langle$ *proof* $\rangle$

**lemma** *pushNeg-inv*:  
**fixes**  $\varphi \ \psi :: 'v$  *propo*  
**assumes** *full* (*propo-rew-step pushNeg*)  $\varphi \ \psi$   
**and** *no-equiv*  $\varphi$  **and** *no-imp*  $\varphi$  **and** *no-T-F-except-top-level*  $\varphi$   
**shows** *no-equiv*  $\psi$  **and** *no-imp*  $\psi$  **and** *no-T-F-except-top-level*  $\psi$   
 $\langle$ *proof* $\rangle$

**lemma** *pushNeg-full-propo-rew-step*:  
**fixes**  $\varphi \ \psi :: 'v \text{ propo}$   
**assumes**  
   *no-equiv*  $\varphi$  **and**  
   *no-imp*  $\varphi$  **and**  
   *full* (*propo-rew-step pushNeg*)  $\varphi \ \psi$  **and**  
   *no-T-F-except-top-level*  $\varphi$   
**shows** *simple-not*  $\psi$   
 $\langle \text{proof} \rangle$

### 3.5 Push inside

**inductive** *push-conn-inside* ::  $'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$   
**for**  $c \ c' :: 'v \text{ connective}$  **where**  
*push-conn-inside-l*[*simp*]:  $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$   
 $\Longrightarrow \text{push-conn-inside } c \ c' (\text{conn } c [\text{conn } c' [\varphi 1, \varphi 2], \psi])$   
 $(\text{conn } c' [\text{conn } c [\varphi 1, \psi], \text{conn } c [\varphi 2, \psi]]) \mid$   
*push-conn-inside-r*[*simp*]:  $c = CAnd \vee c = COr \Longrightarrow c' = CAnd \vee c' = COr$   
 $\Longrightarrow \text{push-conn-inside } c \ c' (\text{conn } c [\psi, \text{conn } c' [\varphi 1, \varphi 2]])$   
 $(\text{conn } c' [\text{conn } c [\psi, \varphi 1], \text{conn } c [\psi, \varphi 2]])$

**lemma** *push-conn-inside-explicit*:  $\text{push-conn-inside } c \ c' \ \varphi \ \psi \Longrightarrow \forall A. A \models \varphi \longleftrightarrow A \models \psi$   
 $\langle \text{proof} \rangle$

**lemma** *push-conn-inside-consistent*: *preserves-un-sat* (*push-conn-inside*  $c \ c'$ )  
 $\langle \text{proof} \rangle$

**lemma** *propo-rew-step-push-conn-inside*[*simp*]:  
 $\neg \text{propo-rew-step } (\text{push-conn-inside } c \ c') \text{ FT } \psi \neg \text{propo-rew-step } (\text{push-conn-inside } c \ c') \text{ FF } \psi$   
 $\langle \text{proof} \rangle$

**inductive** *not-c-in-c'-symb*::  $'v \text{ connective} \Rightarrow 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **for**  $c \ c'$  **where**  
*not-c-in-c'-symb-l*[*simp*]:  $\text{wf-conn } c [\text{conn } c' [\varphi, \varphi'], \psi] \Longrightarrow \text{wf-conn } c' [\varphi, \varphi']$   
 $\Longrightarrow \text{not-c-in-c'-symb } c \ c' (\text{conn } c [\text{conn } c' [\varphi, \varphi'], \psi]) \mid$   
*not-c-in-c'-symb-r*[*simp*]:  $\text{wf-conn } c [\psi, \text{conn } c' [\varphi, \varphi']] \Longrightarrow \text{wf-conn } c' [\varphi, \varphi']$   
 $\Longrightarrow \text{not-c-in-c'-symb } c \ c' (\text{conn } c [\psi, \text{conn } c' [\varphi, \varphi']])$

**abbreviation** *c-in-c'-symb*  $c \ c' \ \varphi \equiv \neg \text{not-c-in-c'-symb } c \ c' \ \varphi$

**lemma** *c-in-c'-symb-simp*:  
 $\text{not-c-in-c'-symb } c \ c' \ \xi \Longrightarrow \xi = \text{FF} \vee \xi = \text{FT} \vee \xi = \text{FVar } x \vee \xi = \text{FNot } \text{FF} \vee \xi = \text{FNot } \text{FT}$   
 $\vee \xi = \text{FNot } (\text{FVar } x) \Longrightarrow \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *c-in-c'-symb-simp'*[*simp*]:  
 $\neg \text{not-c-in-c'-symb } c \ c' \text{ FF}$   
 $\neg \text{not-c-in-c'-symb } c \ c' \text{ FT}$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FVar } x)$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FNot } \text{FF})$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FNot } \text{FT})$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FNot } (\text{FVar } x))$   
 $\langle \text{proof} \rangle$



**definition** *c-in-c'-only* **where**

*c-in-c'-only*  $c\ c' \equiv \text{all-subformula-st } (c\text{-in-c'-symb } c\ c')$

**lemma** *c-in-c'-only-simp*[simp]:

*c-in-c'-only*  $c\ c' \text{ } FF$   
*c-in-c'-only*  $c\ c' \text{ } FT$   
*c-in-c'-only*  $c\ c' \text{ } (FVar\ x)$   
*c-in-c'-only*  $c\ c' \text{ } (FNot\ FF)$   
*c-in-c'-only*  $c\ c' \text{ } (FNot\ FT)$   
*c-in-c'-only*  $c\ c' \text{ } (FNot\ (FVar\ x))$   
 $\langle \text{proof} \rangle$

**lemma** *not-c-in-c'-symb-commute*:

*not-c-in-c'-symb*  $c\ c' \ \xi \implies \text{wf-conn } c\ [\varphi, \psi] \implies \xi = \text{conn } c\ [\varphi, \psi]$   
 $\implies \text{not-c-in-c'-symb } c\ c' \text{ } (\text{conn } c\ [\psi, \varphi])$

$\langle \text{proof} \rangle$

**lemma** *not-c-in-c'-symb-commute'*:

$\text{wf-conn } c\ [\varphi, \psi] \implies c\text{-in-c'-symb } c\ c' \text{ } (\text{conn } c\ [\varphi, \psi]) \longleftrightarrow c\text{-in-c'-symb } c\ c' \text{ } (\text{conn } c\ [\psi, \varphi])$   
 $\langle \text{proof} \rangle$

**lemma** *not-c-in-c'-comm*:

**assumes** *wf*:  $\text{wf-conn } c\ [\varphi, \psi]$   
**shows** *c-in-c'-only*  $c\ c' \text{ } (\text{conn } c\ [\varphi, \psi]) \longleftrightarrow c\text{-in-c'-only } c\ c' \text{ } (\text{conn } c\ [\psi, \varphi])$  (**is**  $?A \longleftrightarrow ?B$ )  
 $\langle \text{proof} \rangle$

**lemma** *not-c-in-c'-simp*[simp]:

**fixes**  $\varphi1\ \varphi2\ \psi :: 'v\ \text{propo}$  **and**  $x :: 'v$   
**shows**  
*c-in-c'-symb*  $c\ c' \text{ } FT$   
*c-in-c'-symb*  $c\ c' \text{ } FF$   
*c-in-c'-symb*  $c\ c' \text{ } (FVar\ x)$   
 $\text{wf-conn } c\ [\text{conn } c' \text{ } [\varphi1, \varphi2], \psi] \implies \text{wf-conn } c' \text{ } [\varphi1, \varphi2]$   
 $\implies \neg c\text{-in-c'-only } c\ c' \text{ } (\text{conn } c\ [\text{conn } c' \text{ } [\varphi1, \varphi2], \psi])$   
 $\langle \text{proof} \rangle$

**lemma** *c-in-c'-symb-not*[simp]:

**fixes**  $c\ c' :: 'v\ \text{connective}$  **and**  $\psi :: 'v\ \text{propo}$   
**shows** *c-in-c'-symb*  $c\ c' \text{ } (FNot\ \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *c-in-c'-symb-step-exists*:

**fixes**  $\varphi :: 'v\ \text{propo}$   
**assumes** *c*:  $c = CAnd \vee c = COr$  **and** *c'*:  $c' = CAnd \vee c' = COr$   
**shows**  $\psi \preceq \varphi \implies \neg c\text{-in-c'-symb } c\ c' \ \psi \implies \exists \psi'. \text{push-conn-inside } c\ c' \ \psi \ \psi'$   
 $\langle \text{proof} \rangle$

**lemma** *c-in-c'-symb-rew*:

**fixes**  $\varphi :: 'v\ \text{propo}$   
**assumes** *noTB*:  $\neg c\text{-in-c'-only } c\ c' \ \varphi$   
**and** *c*:  $c = CAnd \vee c = COr$  **and** *c'*:  $c' = CAnd \vee c' = COr$   
**shows**  $\exists \psi \ \psi'. \ \psi \preceq \varphi \wedge \text{push-conn-inside } c\ c' \ \psi \ \psi'$

$\langle \text{proof} \rangle$

**lemma** *push-conn-insidec-in-c'-symb-no-T-F:*

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**shows**  $\text{propo-rew-step } (\text{push-conn-inside } c \ c') \ \varphi \ \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$

$\langle \text{proof} \rangle$

**lemma** *simple-propo-rew-step-push-conn-inside-inv:*

$\text{propo-rew-step } (\text{push-conn-inside } c \ c') \ \varphi \ \psi \implies \text{simple } \varphi \implies \text{simple } \psi$

$\langle \text{proof} \rangle$

**lemma** *simple-propo-rew-step-inv-push-conn-inside-simple-not:*

**fixes**  $c \ c' :: 'v \text{ connective}$  **and**  $\varphi \psi :: 'v \text{ propo}$

**shows**  $\text{propo-rew-step } (\text{push-conn-inside } c \ c') \ \varphi \ \psi \implies \text{simple-not } \varphi \implies \text{simple-not } \psi$

$\langle \text{proof} \rangle$

**lemma** *propo-rew-step-push-conn-inside-simple-not:*

**fixes**  $\varphi \ \varphi' :: 'v \text{ propo}$  **and**  $\xi \ \xi' :: 'v \text{ propo list}$  **and**  $c :: 'v \text{ connective}$

**assumes**

$\text{propo-rew-step } (\text{push-conn-inside } c \ c') \ \varphi \ \varphi'$  **and**

$\text{wf-conn } c \ (\xi \ @ \ \varphi \ \# \ \xi')$  **and**

$\text{simple-not-symb } (\text{conn } c \ (\xi \ @ \ \varphi \ \# \ \xi'))$  **and**

$\text{simple-not-symb } \varphi'$

**shows**  $\text{simple-not-symb } (\text{conn } c \ (\xi \ @ \ \varphi' \ \# \ \xi'))$

$\langle \text{proof} \rangle$

**lemma** *push-conn-inside-not-true-false:*

$\text{push-conn-inside } c \ c' \ \varphi \ \psi \implies \psi \neq \text{FT} \wedge \psi \neq \text{FF}$

$\langle \text{proof} \rangle$

**lemma** *push-conn-inside-inv:*

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes**  $\text{full } (\text{propo-rew-step } (\text{push-conn-inside } c \ c')) \ \varphi \ \psi$

**and**  $\text{no-equiv } \varphi$  **and**  $\text{no-imp } \varphi$  **and**  $\text{no-T-F-except-top-level } \varphi$  **and**  $\text{simple-not } \varphi$

**shows**  $\text{no-equiv } \psi$  **and**  $\text{no-imp } \psi$  **and**  $\text{no-T-F-except-top-level } \psi$  **and**  $\text{simple-not } \psi$

$\langle \text{proof} \rangle$

**lemma** *push-conn-inside-full-propo-rew-step:*

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$\text{no-equiv } \varphi$  **and**

$\text{no-imp } \varphi$  **and**

$\text{full } (\text{propo-rew-step } (\text{push-conn-inside } c \ c')) \ \varphi \ \psi$  **and**

$\text{no-T-F-except-top-level } \varphi$  **and**

$\text{simple-not } \varphi$  **and**

$c = \text{CAnd} \vee c = \text{COr}$  **and**

$c' = \text{CAnd} \vee c' = \text{COr}$

**shows**  $c\text{-in-}c'\text{-only } c \ c' \ \psi$

$\langle \text{proof} \rangle$

### 3.5.1 Only one type of connective in the formula (+ not)

**inductive**  $\text{only-c-inside-symb} :: 'v \text{ connective} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  **for**  $c :: 'v \text{ connective}$  **where**

$\text{simple-only-c-inside}[\text{simp}]: \text{simple } \varphi \implies \text{only-c-inside-symb } c \varphi \mid$   
 $\text{simple-cnot-only-c-inside}[\text{simp}]: \text{simple } \varphi \implies \text{only-c-inside-symb } c (\text{FNot } \varphi) \mid$   
 $\text{only-c-inside-into-only-c-inside}: \text{wf-conn } c \ l \implies \text{only-c-inside-symb } c (\text{conn } c \ l)$

**lemma**  $\text{only-c-inside-symb-simp}[\text{simp}]$ :

$\text{only-c-inside-symb } c \text{ FF } \text{only-c-inside-symb } c \text{ FT } \text{only-c-inside-symb } c (\text{FVar } x) \langle \text{proof} \rangle$

**definition**  $\text{only-c-inside}$  **where**  $\text{only-c-inside } c = \text{all-subformula-st } (\text{only-c-inside-symb } c)$

**lemma**  $\text{only-c-inside-symb-decomp}$ :

$\text{only-c-inside-symb } c \ \psi \longleftrightarrow (\text{simple } \psi$   
 $\quad \vee (\exists \varphi'. \ \psi = \text{FNot } \varphi' \wedge \text{simple } \varphi')$   
 $\quad \vee (\exists l. \ \psi = \text{conn } c \ l \wedge \text{wf-conn } c \ l))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{only-c-inside-symb-decomp-not}[\text{simp}]$ :

**fixes**  $c :: 'v \text{ connective}$   
**assumes**  $c: c \neq \text{CNot}$   
**shows**  $\text{only-c-inside-symb } c (\text{FNot } \psi) \longleftrightarrow \text{simple } \psi$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{only-c-inside-decomp-not}[\text{simp}]$ :

**assumes**  $c: c \neq \text{CNot}$   
**shows**  $\text{only-c-inside } c (\text{FNot } \psi) \longleftrightarrow \text{simple } \psi$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{only-c-inside-decomp}$ :

$\text{only-c-inside } c \ \varphi \longleftrightarrow$   
 $(\forall \psi. \ \psi \preceq \varphi \longrightarrow (\text{simple } \psi \vee (\exists \varphi'. \ \psi = \text{FNot } \varphi' \wedge \text{simple } \varphi')$   
 $\quad \vee (\exists l. \ \psi = \text{conn } c \ l \wedge \text{wf-conn } c \ l)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{only-c-inside-c-c'-false}$ :

**fixes**  $c \ c' :: 'v \text{ connective}$  **and**  $l :: 'v \text{ propo list}$  **and**  $\varphi :: 'v \text{ propo}$   
**assumes**  $cc': c \neq c'$  **and**  $c: c = \text{CAnd} \vee c = \text{COr}$  **and**  $c': c' = \text{CAnd} \vee c' = \text{COr}$   
**and**  $\text{only}: \text{only-c-inside } c \ \varphi$  **and**  $\text{incl}: \text{conn } c' \ l \preceq \varphi$  **and**  $\text{wf}: \text{wf-conn } c' \ l$   
**shows**  $\text{False}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{only-c-inside-implies-c-in-c'-symb}$ :

**assumes**  $\delta: c \neq c'$  **and**  $c: c = \text{CAnd} \vee c = \text{COr}$  **and**  $c': c' = \text{CAnd} \vee c' = \text{COr}$   
**shows**  $\text{only-c-inside } c \ \varphi \implies \text{c-in-c'-symb } c \ c' \ \varphi$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{c-in-c'-symb-decomp-level1}$ :

**fixes**  $l :: 'v \text{ propo list}$  **and**  $c \ c' \text{ ca} :: 'v \text{ connective}$   
**shows**  $\text{wf-conn } \text{ca } l \implies \text{ca} \neq c \implies \text{c-in-c'-symb } c \ c' (\text{conn } \text{ca } l)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{only-c-inside-implies-c-in-c'-only}$ :

**assumes**  $\delta: c \neq c'$  **and**  $c: c = \text{CAnd} \vee c = \text{COr}$  **and**  $c': c' = \text{CAnd} \vee c' = \text{COr}$

**shows** *only-c-inside*  $c \varphi \implies c\text{-in-}c'\text{-only } c \ c' \ \varphi$   
 $\langle \text{proof} \rangle$

**lemma** *c-in-c'-symb-c-implies-only-c-inside*:

**assumes**  $\delta$ :  $c = CAnd \vee c = COr \ c' = CAnd \vee c' = COr \ c \neq c'$  **and** *wf*: *wf-conn*  $c \ [\varphi, \psi]$   
**and** *inv*: *no-equiv* (*conn*  $c \ l$ ) *no-imp* (*conn*  $c \ l$ ) *simple-not* (*conn*  $c \ l$ )  
**shows** *wf-conn*  $c \ l \implies c\text{-in-}c'\text{-only } c \ c' \ (\text{conn } c \ l) \implies (\forall \psi \in \text{set } l. \text{only-c-inside } c \ \psi)$   
 $\langle \text{proof} \rangle$

### 3.5.2 Push Conjunction

**definition** *pushConj* **where** *pushConj* = *push-conn-inside* *CAnd* *COr*

**lemma** *pushConj-consistent*: *preserves-un-sat* *pushConj*  
 $\langle \text{proof} \rangle$

**definition** *and-in-or-symb* **where** *and-in-or-symb* = *c-in-c'-symb* *CAnd* *COr*

**definition** *and-in-or-only* **where**  
*and-in-or-only* = *all-subformula-st* (*c-in-c'-symb* *CAnd* *COr*)

**lemma** *pushConj-inv*:

**fixes**  $\varphi \ \psi :: 'v \text{ propo}$   
**assumes** *full* (*propo-rew-step* *pushConj*)  $\varphi \ \psi$   
**and** *no-equiv*  $\varphi$  **and** *no-imp*  $\varphi$  **and** *no-T-F-except-top-level*  $\varphi$  **and** *simple-not*  $\varphi$   
**shows** *no-equiv*  $\psi$  **and** *no-imp*  $\psi$  **and** *no-T-F-except-top-level*  $\psi$  **and** *simple-not*  $\psi$   
 $\langle \text{proof} \rangle$

**lemma** *pushConj-full-propo-rew-step*:

**fixes**  $\varphi \ \psi :: 'v \text{ propo}$   
**assumes**  
*no-equiv*  $\varphi$  **and**  
*no-imp*  $\varphi$  **and**  
*full* (*propo-rew-step* *pushConj*)  $\varphi \ \psi$  **and**  
*no-T-F-except-top-level*  $\varphi$  **and**  
*simple-not*  $\varphi$   
**shows** *and-in-or-only*  $\psi$   
 $\langle \text{proof} \rangle$

### 3.5.3 Push Disjunction

**definition** *pushDisj* **where** *pushDisj* = *push-conn-inside* *COr* *CAnd*

**lemma** *pushDisj-consistent*: *preserves-un-sat* *pushDisj*  
 $\langle \text{proof} \rangle$

**definition** *or-in-and-symb* **where** *or-in-and-symb* = *c-in-c'-symb* *COr* *CAnd*

**definition** *or-in-and-only* **where**  
*or-in-and-only* = *all-subformula-st* (*c-in-c'-symb* *COr* *CAnd*)

**lemma** *not-or-in-and-only-or-and[simp]*:  
 $\sim \text{or-in-and-only } (FOr \ (FAnd \ \psi1 \ \psi2) \ \varphi')$

$\langle \text{proof} \rangle$

**lemma** *pushDisj-inv*:

**fixes**  $\varphi \ \psi :: 'v \ \text{propo}$

**assumes** *full* (*propo-rew-step pushDisj*)  $\varphi \ \psi$

**and** *no-equiv*  $\varphi$  **and** *no-imp*  $\varphi$  **and** *no-T-F-except-top-level*  $\varphi$  **and** *simple-not*  $\varphi$

**shows** *no-equiv*  $\psi$  **and** *no-imp*  $\psi$  **and** *no-T-F-except-top-level*  $\psi$  **and** *simple-not*  $\psi$

$\langle \text{proof} \rangle$

**lemma** *pushDisj-full-propo-rew-step*:

**fixes**  $\varphi \ \psi :: 'v \ \text{propo}$

**assumes**

*no-equiv*  $\varphi$  **and**

*no-imp*  $\varphi$  **and**

*full* (*propo-rew-step pushDisj*)  $\varphi \ \psi$  **and**

*no-T-F-except-top-level*  $\varphi$  **and**

*simple-not*  $\varphi$

**shows** *or-in-and-only*  $\psi$

$\langle \text{proof} \rangle$

## 4 The full transformations

### 4.1 Abstract Property characterizing that only some connective are inside the others

#### 4.1.1 Definition

The normal is a super group of groups

**inductive** *grouped-by* ::  $'a \ \text{connective} \Rightarrow 'a \ \text{propo} \Rightarrow \text{bool}$  **for**  $c$  **where**

*simple-is-grouped*[*simp*]: *simple*  $\varphi \Longrightarrow \text{grouped-by } c \ \varphi$  |

*simple-not-is-grouped*[*simp*]: *simple*  $\varphi \Longrightarrow \text{grouped-by } c \ (F\text{Not } \varphi)$  |

*connected-is-group*[*simp*]: *grouped-by*  $c \ \varphi \Longrightarrow \text{grouped-by } c \ \psi \Longrightarrow \text{wf-conn } c \ [\varphi, \psi]$   
 $\Longrightarrow \text{grouped-by } c \ (\text{conn } c \ [\varphi, \psi])$

**lemma** *simple-clause*[*simp*]:

*grouped-by*  $c \ FT$

*grouped-by*  $c \ FF$

*grouped-by*  $c \ (F\text{Var } x)$

*grouped-by*  $c \ (F\text{Not } FT)$

*grouped-by*  $c \ (F\text{Not } FF)$

*grouped-by*  $c \ (F\text{Not } (F\text{Var } x))$

$\langle \text{proof} \rangle$

**lemma** *only-c-inside-symb-c-eq-c'*:

*only-c-inside-symb*  $c \ (\text{conn } c' \ [\varphi 1, \varphi 2]) \Longrightarrow c' = C\text{And} \vee c' = C\text{Or} \Longrightarrow \text{wf-conn } c' \ [\varphi 1, \varphi 2]$   
 $\Longrightarrow c' = c$

$\langle \text{proof} \rangle$

**lemma** *only-c-inside-c-eq-c'*:

*only-c-inside*  $c \ (\text{conn } c' \ [\varphi 1, \varphi 2]) \Longrightarrow c' = C\text{And} \vee c' = C\text{Or} \Longrightarrow \text{wf-conn } c' \ [\varphi 1, \varphi 2] \Longrightarrow c = c'$

$\langle \text{proof} \rangle$

**lemma** *only-c-inside-imp-grouped-by*:

**assumes**  $c: c \neq C\text{Not}$  **and**  $c': c' = C\text{And} \vee c' = C\text{Or}$

**shows** *only-c-inside*  $c \varphi \implies \text{grouped-by } c \varphi$  (**is**  $?O \varphi \implies ?G \varphi$ )  
 <proof>

**lemma** *grouped-by-false*:

*grouped-by*  $c (\text{conn } c' [\varphi, \psi]) \implies c \neq c' \implies \text{wf-conn } c' [\varphi, \psi] \implies \text{False}$   
 <proof>

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas in CNF form can be related by an and.

**inductive** *super-grouped-by*:: 'a connective  $\Rightarrow$  'a connective  $\Rightarrow$  'a propo  $\Rightarrow$  bool **for**  $c \ c'$  **where**  
*grouped-is-super-grouped*[simp]: *grouped-by*  $c \varphi \implies \text{super-grouped-by } c \ c' \varphi$  |  
*connected-is-super-group*: *super-grouped-by*  $c \ c' \varphi \implies \text{super-grouped-by } c \ c' \psi \implies \text{wf-conn } c [\varphi, \psi]$   
 $\implies \text{super-grouped-by } c \ c' (\text{conn } c' [\varphi, \psi])$

**lemma** *simple-cnf*[simp]:

*super-grouped-by*  $c \ c' \text{ FT}$   
*super-grouped-by*  $c \ c' \text{ FF}$   
*super-grouped-by*  $c \ c' (\text{FVar } x)$   
*super-grouped-by*  $c \ c' (\text{FNot FT})$   
*super-grouped-by*  $c \ c' (\text{FNot FF})$   
*super-grouped-by*  $c \ c' (\text{FNot } (\text{FVar } x))$   
 <proof>

**lemma** *c-in-c'-only-super-grouped-by*:

**assumes**  $c: c = \text{CAnd} \vee c = \text{COr}$  **and**  $c': c' = \text{CAnd} \vee c' = \text{COr}$  **and**  $cc': c \neq c'$   
**shows** *no-equiv*  $\varphi \implies \text{no-imp } \varphi \implies \text{simple-not } \varphi \implies \text{c-in-c'-only } c \ c' \varphi$   
 $\implies \text{super-grouped-by } c \ c' \varphi$   
 (**is**  $?NE \varphi \implies ?NI \varphi \implies ?SN \varphi \implies ?C \varphi \implies ?S \varphi$ )  
 <proof>

## 4.2 Conjunctive Normal Form

**definition** *is-conj-with-TF* **where** *is-conj-with-TF* == *super-grouped-by*  $\text{COr } \text{CAnd}$

**lemma** *or-in-and-only-conjunction-in-disj*:

**shows** *no-equiv*  $\varphi \implies \text{no-imp } \varphi \implies \text{simple-not } \varphi \implies \text{or-in-and-only } \varphi \implies \text{is-conj-with-TF } \varphi$   
 <proof>

**definition** *is-cnf* **where**

*is-cnf*  $\varphi \equiv \text{is-conj-with-TF } \varphi \wedge \text{no-T-F-except-top-level } \varphi$

### 4.2.1 Full CNF transformation

The full CNF transformation consists simply in chaining all the transformation defined before.

**definition** *cnf-rew* **where** *cnf-rew* =

(full (*propo-rew-step elim-equiv*)) OO  
 (full (*propo-rew-step elim-imp*)) OO  
 (full (*propo-rew-step elimTB*)) OO  
 (full (*propo-rew-step pushNeg*)) OO  
 (full (*propo-rew-step pushDisj*))

**lemma** *cnf-rew-consistent*: *preserves-un-sat* *cnf-rew*

<proof>

**lemma** *cnf-rew-is-cnf*:  $\text{cnf-rew } \varphi \varphi' \implies \text{is-cnf } \varphi'$   
 ⟨proof⟩

### 4.3 Disjunctive Normal Form

**definition** *is-disj-with-TF* **where** *is-disj-with-TF*  $\equiv$  *super-grouped-by CAnd COr*

**lemma** *and-in-or-only-conjunction-in-disj*:

**shows**  $\text{no-equiv } \varphi \implies \text{no-imp } \varphi \implies \text{simple-not } \varphi \implies \text{and-in-or-only } \varphi \implies \text{is-disj-with-TF } \varphi$   
 ⟨proof⟩

**definition** *is-dnf* :: 'a *propo*  $\Rightarrow$  *bool* **where**

*is-dnf*  $\varphi \longleftrightarrow \text{is-disj-with-TF } \varphi \wedge \text{no-T-F-except-top-level } \varphi$

#### 4.3.1 Full DNF transform

The full DNF transformation consists simply in chaining all the transformation defined before.

**definition** *dnf-rew* **where** *dnf-rew*  $\equiv$   
 (*full (propo-rew-step elim-equiv)*) *OO*  
 (*full (propo-rew-step elim-imp)*) *OO*  
 (*full (propo-rew-step elimTB)*) *OO*  
 (*full (propo-rew-step pushNeg)*) *OO*  
 (*full (propo-rew-step pushConj)*)

**lemma** *dnf-rew-consistent*: *preserves-un-sat dnf-rew*  
 ⟨proof⟩

**theorem** *dnf-transformation-correction*:

$\text{dnf-rew } \varphi \varphi' \implies \text{is-dnf } \varphi'$   
 ⟨proof⟩

## 5 More aggressive simplifications: Removing true and false at the beginning

### 5.1 Transformation

We should remove *FT* and *FF* at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

**inductive** *elimTBFull* **where**

*ElimTBFull1[simp]*: *elimTBFull (FAnd  $\varphi$  FT)  $\varphi$  |*  
*ElimTBFull1'[simp]*: *elimTBFull (FAnd FT  $\varphi$ )  $\varphi$  |*

*ElimTBFull2[simp]*: *elimTBFull (FAnd  $\varphi$  FF) FF |*  
*ElimTBFull2'[simp]*: *elimTBFull (FAnd FF  $\varphi$ ) FF |*

*ElimTBFull3[simp]*: *elimTBFull (FOr  $\varphi$  FT) FT |*  
*ElimTBFull3'[simp]*: *elimTBFull (FOr FT  $\varphi$ ) FT |*

*ElimTBFull4[simp]*: *elimTBFull (FOr  $\varphi$  FF)  $\varphi$  |*  
*ElimTBFull4'[simp]*: *elimTBFull (FOr FF  $\varphi$ )  $\varphi$  |*

*ElimTBFull5[simp]*: *elimTBFull (FNot FT) FF |*

*ElimTBFull5*<sup>[simp]</sup>: *elimTBFull* (*FNot* *FF*) *FT* |

*ElimTBFull6-l*<sup>[simp]</sup>: *elimTBFull* (*FImp* *FT*  $\varphi$ )  $\varphi$  |  
*ElimTBFull6-l'*<sup>[simp]</sup>: *elimTBFull* (*FImp* *FF*  $\varphi$ ) *FT* |  
*ElimTBFull6-r*<sup>[simp]</sup>: *elimTBFull* (*FImp*  $\varphi *FT*) *FT* |  
*ElimTBFull6-r'*<sup>[simp]</sup>: *elimTBFull* (*FImp*  $\varphi *FF*) (*FNot*  $\varphi$ ) |$$

*ElimTBFull7-l*<sup>[simp]</sup>: *elimTBFull* (*FEq* *FT*  $\varphi$ )  $\varphi$  |  
*ElimTBFull7-l'*<sup>[simp]</sup>: *elimTBFull* (*FEq* *FF*  $\varphi$ ) (*FNot*  $\varphi$ ) |  
*ElimTBFull7-r*<sup>[simp]</sup>: *elimTBFull* (*FEq*  $\varphi$  *FT*)  $\varphi$  |  
*ElimTBFull7-r'*<sup>[simp]</sup>: *elimTBFull* (*FEq*  $\varphi$  *FF*) (*FNot*  $\varphi$ )

The transformation is still consistent.

**lemma** *elimTBFull-consistent: preserves-un-sat elimTBFull*  
 <proof>

Contrary to the theorem  $\llbracket \text{no-equiv } ?\varphi; \text{no-imp } ?\varphi; ?\psi \preceq ?\varphi; \neg \text{no-T-F-symb-except-toplevel } ?\psi \rrbracket \implies \exists \psi'. \text{elimTB } ?\psi \psi'$ , we do not need the assumption *no-equiv*  $\varphi$  and *no-imp*  $\varphi$ , since our transformation is more general.

**lemma** *no-T-F-symb-except-toplevel-step-exists'*:

**fixes**  $\varphi :: 'v \text{ propo}$

**shows**  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTBFull } \psi \psi'$

<proof>

The same applies here. We do not need the assumption, but the deep link between  $\neg \text{no-T-F-except-top-level}$   $\varphi$  and the existence of a rewriting step, still exists.

**lemma** *no-T-F-except-top-level-rew'*:

**fixes**  $\varphi :: 'v \text{ propo}$

**assumes** *noTB*:  $\neg \text{no-T-F-except-top-level } \varphi$

**shows**  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTBFull } \psi \psi'$

<proof>

**lemma** *elimTBFull-full-propo-rew-step*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes** *full* (*propo-rew-step elimTBFull*)  $\varphi \psi$

**shows** *no-T-F-except-top-level*  $\psi$

<proof>

## 5.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

**lemma** *propo-rew-step-ElimEquiv-no-T-F*: *propo-rew-step elim-equiv*  $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$   
 <proof>

**lemma** *elim-equiv-inv'*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes** *full* (*propo-rew-step elim-equiv*)  $\varphi \psi$  **and** *no-T-F-except-top-level*  $\varphi$

**shows** *no-T-F-except-top-level*  $\psi$

<proof>



**lemma** *propo-rew-step-ElimImp-no-T-F*: *propo-rew-step elim-imp*  $\varphi \psi \implies \text{no-T-F } \varphi \implies \text{no-T-F } \psi$   
 $\langle \text{proof} \rangle$

**lemma** *elim-imp-inv'*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *full (propo-rew-step elim-imp)*  $\varphi \psi$  **and** *no-T-F-except-top-level*  $\varphi$   
**shows** *no-T-F-except-top-level*  $\psi$   
 $\langle \text{proof} \rangle$

### 5.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

**definition** *dnf-rew'* :: *'a propo*  $\Rightarrow$  *'a propo*  $\Rightarrow$  *bool* **where**  
*dnf-rew'* =

(*full (propo-rew-step elimTBFull)*) *OO*  
(*full (propo-rew-step elim-equiv)*) *OO*  
(*full (propo-rew-step elim-imp)*) *OO*  
(*full (propo-rew-step pushNeg)*) *OO*  
(*full (propo-rew-step pushConj)*)

**lemma** *dnf-rew'-consistent: preserves-un-sat dnf-rew'*  
 $\langle \text{proof} \rangle$

**theorem** *cnf-transformation-correction*:  
*dnf-rew'  $\varphi \varphi' \implies \text{is-dnf } \varphi'$*   
 $\langle \text{proof} \rangle$

Given all the lemmas before the CNF transformation is easy to prove:

**definition** *cnf-rew'* :: *'a propo*  $\Rightarrow$  *'a propo*  $\Rightarrow$  *bool* **where**  
*cnf-rew'* =

(*full (propo-rew-step elimTBFull)*) *OO*  
(*full (propo-rew-step elim-equiv)*) *OO*  
(*full (propo-rew-step elim-imp)*) *OO*  
(*full (propo-rew-step pushNeg)*) *OO*  
(*full (propo-rew-step pushDisj)*)

**lemma** *cnf-rew'-consistent: preserves-un-sat cnf-rew'*  
 $\langle \text{proof} \rangle$

**theorem** *cnf'-transformation-correction*:  
*cnf-rew'  $\varphi \varphi' \implies \text{is-cnf } \varphi'$*   
 $\langle \text{proof} \rangle$

**end**

**theory** *Prop-Logic-Multiset*

**imports** *../lib/Multiset-More Prop-Normalisation Partial-Clausal-Logic*

**begin**

## 6 Link with Multiset Version

### 6.1 Transformation to Multiset

**fun** *mset-of-conj* :: *'a propo*  $\Rightarrow$  *'a literal multiset* **where**

$mset\text{-}of\text{-}conj (FOr \varphi \psi) = mset\text{-}of\text{-}conj \varphi + mset\text{-}of\text{-}conj \psi \mid$   
 $mset\text{-}of\text{-}conj (FVar v) = \{\# \text{ Pos } v \# \} \mid$   
 $mset\text{-}of\text{-}conj (FNot (FVar v)) = \{\# \text{ Neg } v \# \} \mid$   
 $mset\text{-}of\text{-}conj FF = \{\#\}$

**fun**  $mset\text{-}of\text{-}formula :: 'a \text{ propo} \Rightarrow 'a \text{ literal multiset set}$  **where**  
 $mset\text{-}of\text{-}formula (FAnd \varphi \psi) = mset\text{-}of\text{-}formula \varphi \cup mset\text{-}of\text{-}formula \psi \mid$   
 $mset\text{-}of\text{-}formula (FOr \varphi \psi) = \{mset\text{-}of\text{-}conj (FOr \varphi \psi)\} \mid$   
 $mset\text{-}of\text{-}formula (FVar \psi) = \{mset\text{-}of\text{-}conj (FVar \psi)\} \mid$   
 $mset\text{-}of\text{-}formula (FNot \psi) = \{mset\text{-}of\text{-}conj (FNot \psi)\} \mid$   
 $mset\text{-}of\text{-}formula FF = \{\{\#\}\} \mid$   
 $mset\text{-}of\text{-}formula FT = \{\}$

## 6.2 Equisatisfiability of the two Version

**lemma**  $is\text{-}conj\text{-}with\text{-}TF\text{-}FNot$ :

$is\text{-}conj\text{-}with\text{-}TF (FNot \varphi) \longleftrightarrow (\exists v. \varphi = FVar v \vee \varphi = FF \vee \varphi = FT)$   
 $\langle proof \rangle$

**lemma**  $grouped\text{-}by\text{-}COr\text{-}FNot$ :

$grouped\text{-}by COr (FNot \varphi) \longleftrightarrow (\exists v. \varphi = FVar v \vee \varphi = FF \vee \varphi = FT)$   
 $\langle proof \rangle$

**lemma**

**shows**  $no\text{-}T\text{-}F\text{-}FF[simp]: \neg no\text{-}T\text{-}F FF$  **and**  
 $no\text{-}T\text{-}F\text{-}FT[simp]: \neg no\text{-}T\text{-}F FT$   
 $\langle proof \rangle$

**lemma**  $grouped\text{-}by\text{-}CAnd\text{-}FAnd$ :

$grouped\text{-}by CAnd (FAnd \varphi1 \varphi2) \longleftrightarrow grouped\text{-}by CAnd \varphi1 \wedge grouped\text{-}by CAnd \varphi2$   
 $\langle proof \rangle$

**lemma**  $grouped\text{-}by\text{-}COr\text{-}FOr$ :

$grouped\text{-}by COr (FOr \varphi1 \varphi2) \longleftrightarrow grouped\text{-}by COr \varphi1 \wedge grouped\text{-}by COr \varphi2$   
 $\langle proof \rangle$

**lemma**  $grouped\text{-}by\text{-}COr\text{-}FAnd[simp]: \neg grouped\text{-}by COr (FAnd \varphi1 \varphi2)$

$\langle proof \rangle$

**lemma**  $grouped\text{-}by\text{-}COr\text{-}FEq[simp]: \neg grouped\text{-}by COr (FEq \varphi1 \varphi2)$

$\langle proof \rangle$

**lemma**  $[simp]: \neg grouped\text{-}by COr (FImp \varphi \psi)$

$\langle proof \rangle$

**lemma**  $[simp]: \neg is\text{-}conj\text{-}with\text{-}TF (FImp \varphi \psi)$

$\langle proof \rangle$

**lemma**  $[simp]: \neg grouped\text{-}by COr (FEq \varphi \psi)$

$\langle proof \rangle$

**lemma**  $[simp]: \neg is\text{-}conj\text{-}with\text{-}TF (FEq \varphi \psi)$

$\langle proof \rangle$

**lemma**  $is\text{-}conj\text{-}with\text{-}TF\text{-}Fand$ :

*is-conj-with-TF* (*FAnd*  $\varphi 1$   $\varphi 2$ )  $\implies$  *is-conj-with-TF*  $\varphi 1 \wedge$  *is-conj-with-TF*  $\varphi 2$   
 <proof>

**lemma** *is-conj-with-TF-FOr*:

*is-conj-with-TF* (*FOr*  $\varphi 1$   $\varphi 2$ )  $\implies$  *grouped-by COr*  $\varphi 1 \wedge$  *grouped-by COr*  $\varphi 2$   
 <proof>

**lemma** *grouped-by-COr-mset-of-formula*:

*grouped-by COr*  $\varphi \implies$  *mset-of-formula*  $\varphi = (\text{if } \varphi = FT \text{ then } \{\} \text{ else } \{\text{mset-of-conj } \varphi\})$   
 <proof>

When a formula is in CNF form, then there is equisatisfiability between the multiset version and the CNF form. Remark that the definition for the entailment are slightly different: *op*  $\models$  uses a function assigning *True* or *False*, while *op*  $\models_s$  uses a set where being in the list means entailment of a literal.

**theorem**

**fixes**  $\varphi :: 'v \text{ propo}$

**assumes** *is-cnf*  $\varphi$

**shows** *eval*  $A \varphi \longleftrightarrow$  *Partial-Clausal-Logic.true-cls* ( $\{\text{Pos } v|v. A \ v\} \cup \{\text{Neg } v|v. \neg A \ v\}$ )  
 (*mset-of-formula*  $\varphi$ )

<proof>

**end**