

# Machine Learning to Study Patterns in Chess Games

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## **Abstract**

Abstract here.

I certify that all material in this report which is not my own work has been identified.

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# 1 Introduction

# 2 Project Specification

# 3 Design

## 3.1 Downloading the Data

Collecting the data correctly was paramount to the success of our project, and it was important to use a large sample size to ensure that our insights represent the general population of chess games. We used the Lichess Open Database [1] of standard rated games for our data source – they upload tens of millions of games every month in PGN format, and they are easily accessible to the public. We decided to focus on games in 2022, as this enables me to capture the latest trends in chess.

The time controls in Lichess are decided based on the estimated game duration, using the formula:  $total\ time\ in\ seconds = (initial\ clock\ time) + 40 \times (clock\ increment)$ . Games between 29 seconds and 179 seconds are Bullet; games between 179 seconds and 479 seconds are Blitz, games between 479 seconds and 1499 seconds are Rapid, and games over 1500 seconds are Classical. Furthermore, games are either Rated or Unrated – the former results in rating points changing based on the game outcome, whereas the latter does not. The Lichess Open Database only includes Rated games. We will only be analysing Rated Bullet, Rated Blitz, and Rated Rapid games – we will not be including Rated Classical games, as they have a significantly smaller sample size and the longer time controls result in a vastly different playstyle.

We started by downloading the PGN files for each month, which introduced difficulties with big data. Each month’s file is around 30 GB to download and they are compressed, which means each month is around 210 GB when uncompressed, resulting in approximately 2.5 TB of data for the year. My laptop only had 1 TB of storage, and the sheer size of the data would make it unrealistic to process in a reasonable amount of time. Therefore, we collected a sample of 6 million chess games from each month which we would later filter down.

## 3.2 Data Processing

PGN (Portable Game Notation) files are a standard format for recording chess games – each game has headers containing information like the white player, black player, their ratings, and the result of the game. In addition, it stores a list of all the moves in each game. While PGN files are well-structured, they are not easy to process. The pgn2data Python library [2] provides a simple way to convert PGN files into CSV files, but it exported both the moves and the metadata of each game in separate CSV files. We did not need the moves, so it was using up unnecessary space and taking a long time to process each game. It was also designed for generic PGN files so it did not include additional useful metadata provided by Lichess such as the opening of the game.

Thus, we wrote our own Python script to convert each PGN file into a single CSV file containing only the metadata of each game. We used the python-chess library to parse the PGN files and iterate over each game, skipping Rated Bullet games, Rated Classical games, games that involved a non-human player, and games that were abandoned. Our sample reduced from 60 million games to slightly over 40 million games after filtering it. To optimise performance, we split each month’s PGN into six files each containing 1 million games with pgn-extract (a PGN manipulator written in C) [3], and we used Python’s multiprocessing library to process each month in parallel – this reduced the processing time from 120 minutes to 20 minutes for each month.

Once we had a CSV for each month, we combined them into a single CSV file containing our final data set. We converted this CSV to a folder of Parquet files using Dask [4], which is a library for parallel computing in Python and extends common interfaces like pandas [5] to handle big data in a larger-than-memory environment. This significantly reduced the load time for the data, and it meant we could use the Dask library to perform parallel computations on the data.

For low-level, turn-based analysis of games, we created a .scout file using Scoutfish (a tool for querying chess databases written with C++) [6] to enable fast queries. For example, we can query the

database to find all games where the board state is a certain position using the FEN (Forsyth-Edwards Notation) of the position, which is the standard string used in chess to represent the board state – this may be useful for finding occurrences of a specific variation of an opening. It provides the offset line number of each matching game in the PGN file, which we can use to find more information about the game. However, Scoutfish queries are not intuitive to write, so it can take a lot of effort to get insights using this tool. Therefore, we will primarily focus on high-level analysis of game metadata using Dask DataFrames.

Figure 1: Diagram of the Data Pipeline

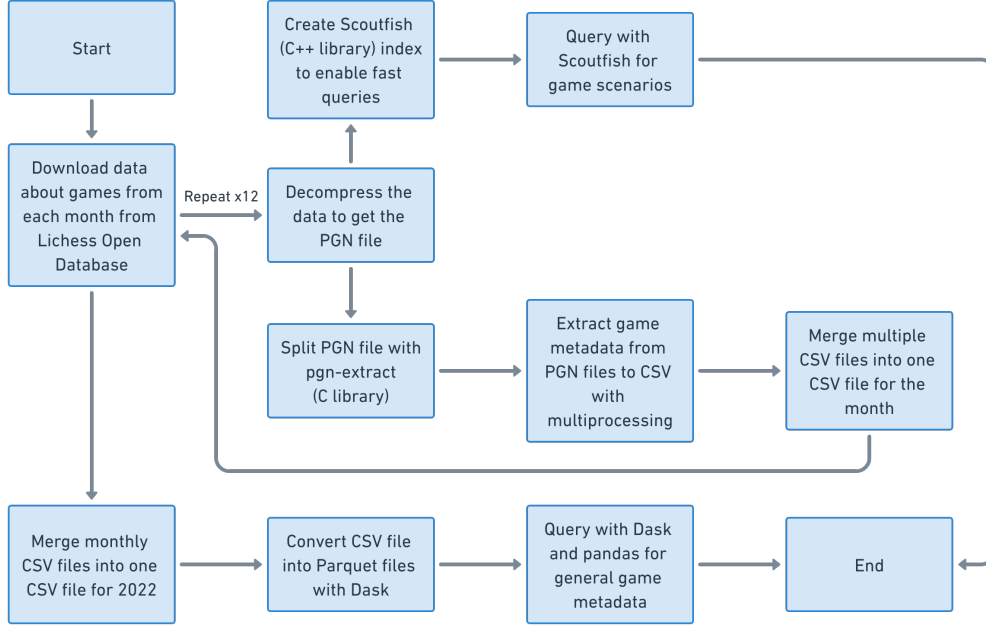


Figure 1 shows an overview of our data pipeline. It shows how we used the Lichess Open Database to collect the data over 12 months. To analyse game metadata, we aggregated the data into a single CSV file, and converted it to a folder of Parquet files to query with Dask. Meanwhile, to perform more detailed analysis of game scenarios, we used this data to create a Scout index to enable fast queries.

## 4 Data Exploration

### 4.1 Distribution of Player Ratings

To start with, we counted the ratings of players in our data set. Rating systems are designed to predict the outcome of games so that players can be matched fairly. There are various rating systems used in chess – the most common is the Elo rating system, which is used by various sports. Lichess uses the Glicko 2 rating system, which accounts for volatility amongst other factors to provide better prediction accuracy than the Glicko 1 and Elo rating systems [7, 8]. The Glicko 2 rating system starts at 1500, and it is artificially limited to a minimum of 600 in Lichess. We created bins of 200 rating point ranges starting from 600 – this handles the representation of new players well, as those who have played few games will not have changed their rating significantly and likely get placed in the 1400-1600 bin. Note that the last four bins on the right of the bar chart do exist, but they are difficult to see – they contain totals of 11,622 for 2800-3000, 382 for 3000-3200, 459 for 3200-3400, and 1 player for 3400-3600. In addition, the counts are based on each game played, so some players may be overrepresented in the data set – a player who plays 100 games within the year will have 100 entries in the data set, whereas a player who plays 1 game will have 1 entry. However, this is not a significant issue because the data set is large enough to represent the distribution of player ratings well.

Figure 2: Distribution of Player Ratings on Lichess in 2022

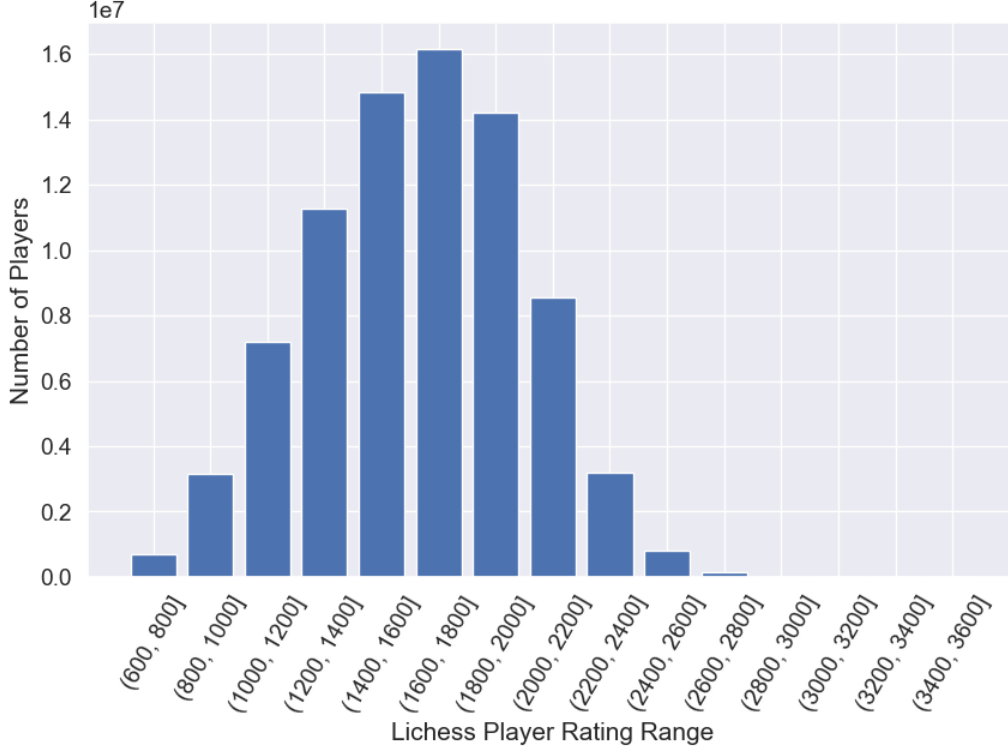


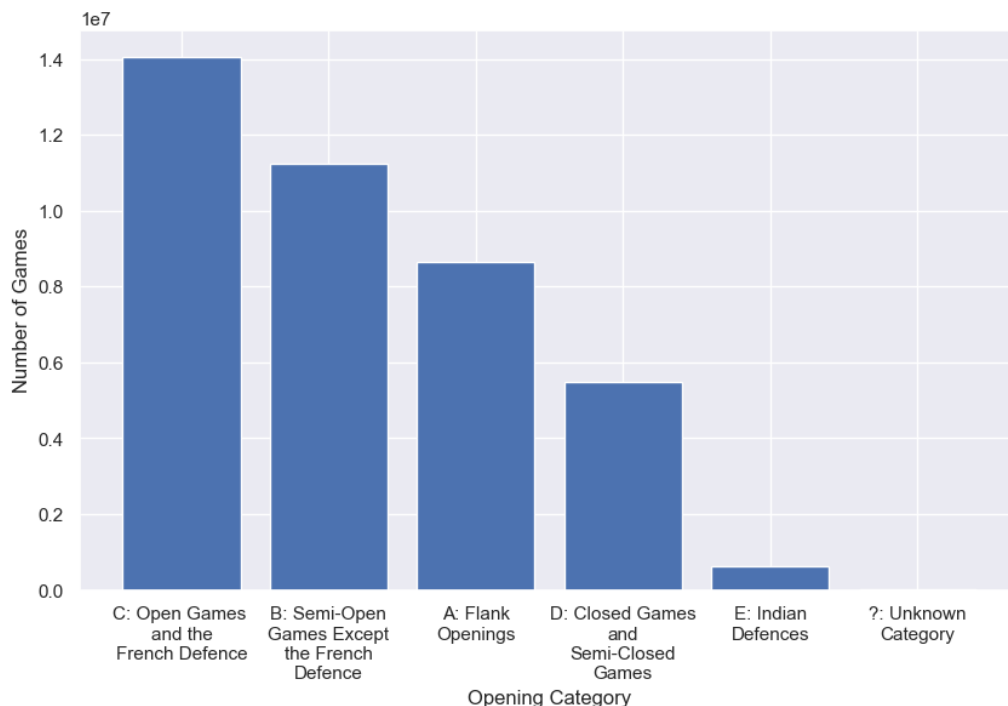
Figure 2 shows that the distribution of player ratings tends towards a normal distribution. We could explain this based on the concept that a player’s performance is similar to a random walk, which states that an object moves randomly with equal probability in different directions. In Lichess ratings, a player’s rating can be seen as the result of a series of random walks, where the player’s rating constantly changes as they win and lose games. Over time, their rating will tend to stabilise to represent their true skill level. Moreover, the central limit theorem states that the sum of a large number of independent and identically distributed random variables tends towards a normal distribution [9]. In this case, the ratings of individual players can be seen as independent and identically distributed random variables, with each game representing a single trial. Therefore, the central limit theorem predicts that the distribution of ratings will tend towards a normal distribution as the number of games increases. This is supported by how largest bin is the 1400-1600 bin, which contains the starting rating of 1500. Lichess’ matchmaking system could contribute to this – it matches players with similar skill, so games theoretically end up with a roughly equal number of wins and losses.

## 4.2 Effect of Rating on Win Rate

Next, we investigated the impact of player rating differentials on White’s win rate. To begin with, we counted the outcomes of all games, and then counted the outcomes of games when White had a higher rating – White won 49.69% of all games, which rose to 53.98% of games when they had a higher rating than their opponent (as shown in figure 5 from the appendices). Then, we sought to quantify the impact of rating differential in both absolute and relative terms – the former was the difference in rating between the two players, and the latter was the difference in rating divided by the mean rating of the two players. We used the absolute rating differential because it is more intuitive to understand, and we used the relative rating differential because it is more accurate. Figure 6 and figure 7 from the appendices show the distribution of the absolute and relative rating differences. We grouped the data by rating differential and calculated the win rate for White. For example, when White is rated 1100 and Black is rated 900, the absolute rating differential is 200, whereas the relative rating differential is 10%. We focused on the win rate for White because the opening of the game is usually decided by White.

### 4.3 Most Popular Openings by Category

Figure 3: Most Popular Openings by Category on Lichess in 2022

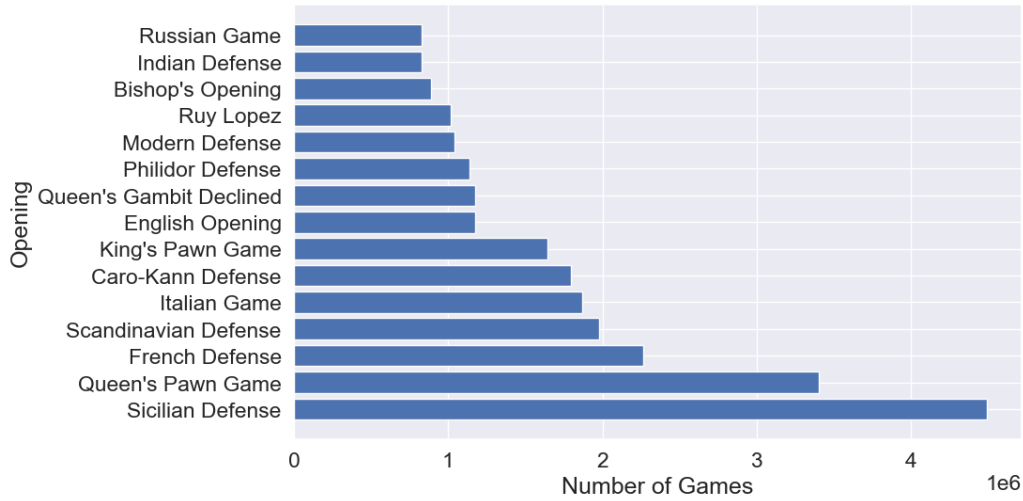


We also counted the most popular openings by category in figure 3, as this is represented by the ECO column provided in the Lichess PGN data. ECO (Encyclopedia of Chess Openings) is a classification of chess openings based on the first few moves of the game. Editors who mostly consist of chess grandmasters select critical opening lines and assign them a code [10]. The ECO system consists of five categories, A to E, each of which represent a category of opening. Within each category, there are further subcategories to group openings more explicitly. For example, a game with an ECO code of A41 means that the opening is a flank opening as it is in the A category, and the 41 means that the opening was the Queen’s Pawn Game. The ECO system is not perfect as it does not include all openings (as demonstrated by the 32,799 games with the unknown category in figure 3), but it is useful to analyse opening trends.

Figure 3 shows that the most popular category of openings are open games and semi-open games, whereas the Indian defences and closed games are the least popular. This makes sense for the general population of games – the ideas behind these openings are simple and straightforward, as they focus on controlling the centre and developing pieces quickly. However, we see that the pattern is different for players rated 2000 and above – semi-open games and flank openings are more popular than open games in this category (shown in figure 8 from the appendices). At higher rated games, players often prefer to play more complex and dynamic openings that enable opportunities to create imbalances in the position and therefore gain an advantage at an earlier stage of the game.

## 4.4 Most Popular Base Openings

Figure 4: Most Popular Base Openings on Lichess in 2022



The data set also includes a column for the specific opening detected in each game based on Lichess' opening detection. We grouped openings that were different variations of each other into base openings to get a more accurate representation – figure 11 from the appendices contains examples of base openings on the chess board. The top 15 most popular base openings account for 61.79% of all games in our data set. Figure 4 shows that the most popular openings are the Sicilian Defence and the Queen's Pawn Game by a significant margin. The Sicilian Defence is the most well-studied response to White moving the king's pawn forward by two, which could explain why it is the most popular opening. However, the Queen's Pawn Game is slightly misleading, as it describes any opening beginning with White moving the queen's pawn where they do not play the Queen's Gambit and therefore encompasses a large number of openings such as the London System which are not labelled separately.

Delving deeper into the data, we found that the most popular base openings varied by rating groups. The top players rated 2000 and above strongly preferred the Sicilian Defense compared to other openings (shown in figure 9 from the appendices). This may be because the Sicilian Defense is a complex opening that requires a lot of preparation due to the number of variations, and it is unanimously accepted as the best response to White opening with the king's pawn. Conversely, the Sicilian Defense becomes much less popular for players rated 1200 and below for the same reasons. Figure 10 from the appendices shows that the two most popular base openings for this group are the Queen's Pawn Game and the King's Pawn Game, which represent generic openings starting with the queen's pawn and the king's pawn respectively – this is likely because they are simple and easy for beginners to play.

## 5 Conclusion

### 5.1 Limitations and Future Work

## References

- [1] Lichess, "lichess.org open database." [https://database.lichess.org/#standard\\_games](https://database.lichess.org/#standard_games), 2022. Date Accessed: 1 March 2023.
- [2] Z. Qureshi, "pgn2data: A library that converts a chess pgn file into a tabulated CSV data set." <https://github.com/zq99/pgn2data>, February 2021. Date Accessed: 1 March 2023.

- [3] D. J. Barnes, “pgn-extract: A Portable Game Notation PGN Manipulator for Chess Games.” <https://www.cs.kent.ac.uk/people/staff/djb/pgn-extract/>. Date Accessed: 1 March 2023.
- [4] Dask Development Team, “Dask: Library for dynamic task scheduling.” <https://dask.org>, 2016. Date Accessed: 1 March 2023.
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- [7] Lichess, “Chess rating systems.” <https://lichess.org/page/rating-systems>. Date Accessed: 1 March 2023.
- [8] FIDE, “Deloitte/FIDE Chess Rating Challenge.” <https://www.kaggle.com/competitions/ChessRatings2/overview>, May 2011. Date Accessed: 1 March 2023.
- [9] L. Le Cam, The central limit theorem around 1935 *Statistical science*, pp. 78–91, 1986.
- [10] A. Matanović, M. Molorović, and A. Božić, Classification of chess openings 1971.

# Appendices

## A Additional Outputs

Figure 5: Effect of Higher Rating on White Win Rate on Lichess in 2022

```
Results of All Games:

1-0      19938052
0-1      18450502
1/2-1/2  1733174
Name: Result, dtype: int64

Out of 40121728 games:
  White won 19938052 games (49.69%).
  White lost 18450502 games (45.99%).

Results of Games When White Had a Higher Rating:

1-0      10662552
0-1      8242031
1/2-1/2  846503
Name: Result, dtype: int64

Out of 19751086 games when White had a higher rating:
  White won 10662552 games (53.98%).
  White lost 8242031 games (41.73%).
```



## B Additional Plots

Figure 6: Distribution of Absolute Rating Difference on Lichess in 2022

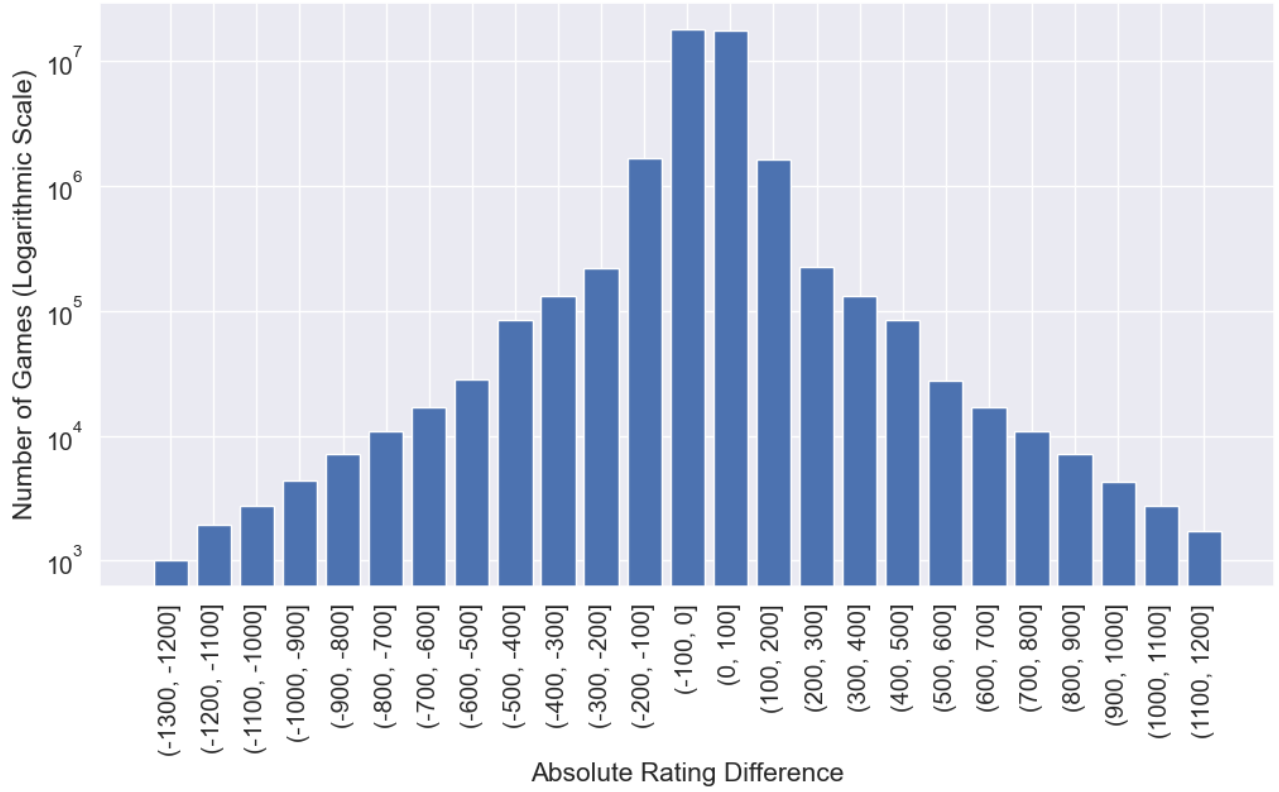


Figure 7: Distribution of Relative Rating Difference on Lichess in 2022

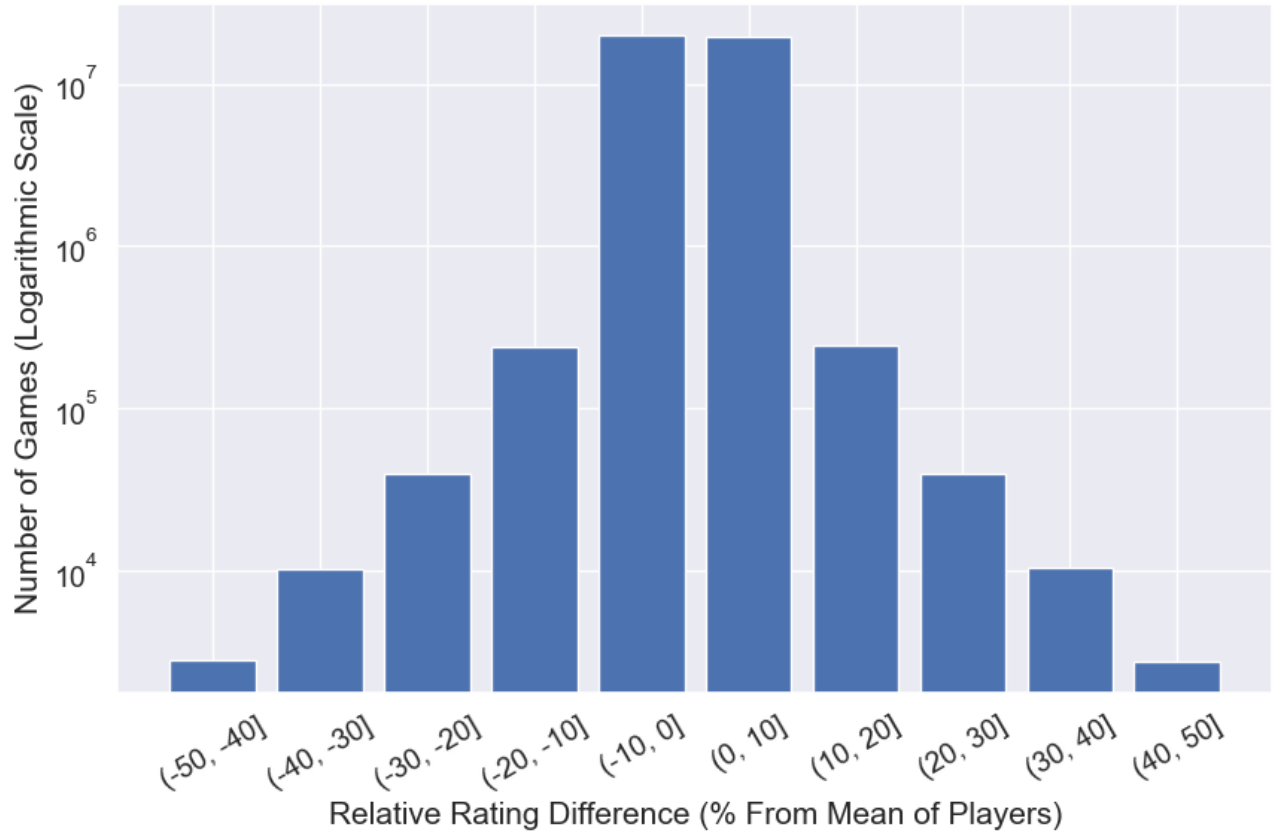


Figure 8: Most Popular Openings by Category on Lichess in 2022 (Rated 2000 and Above)

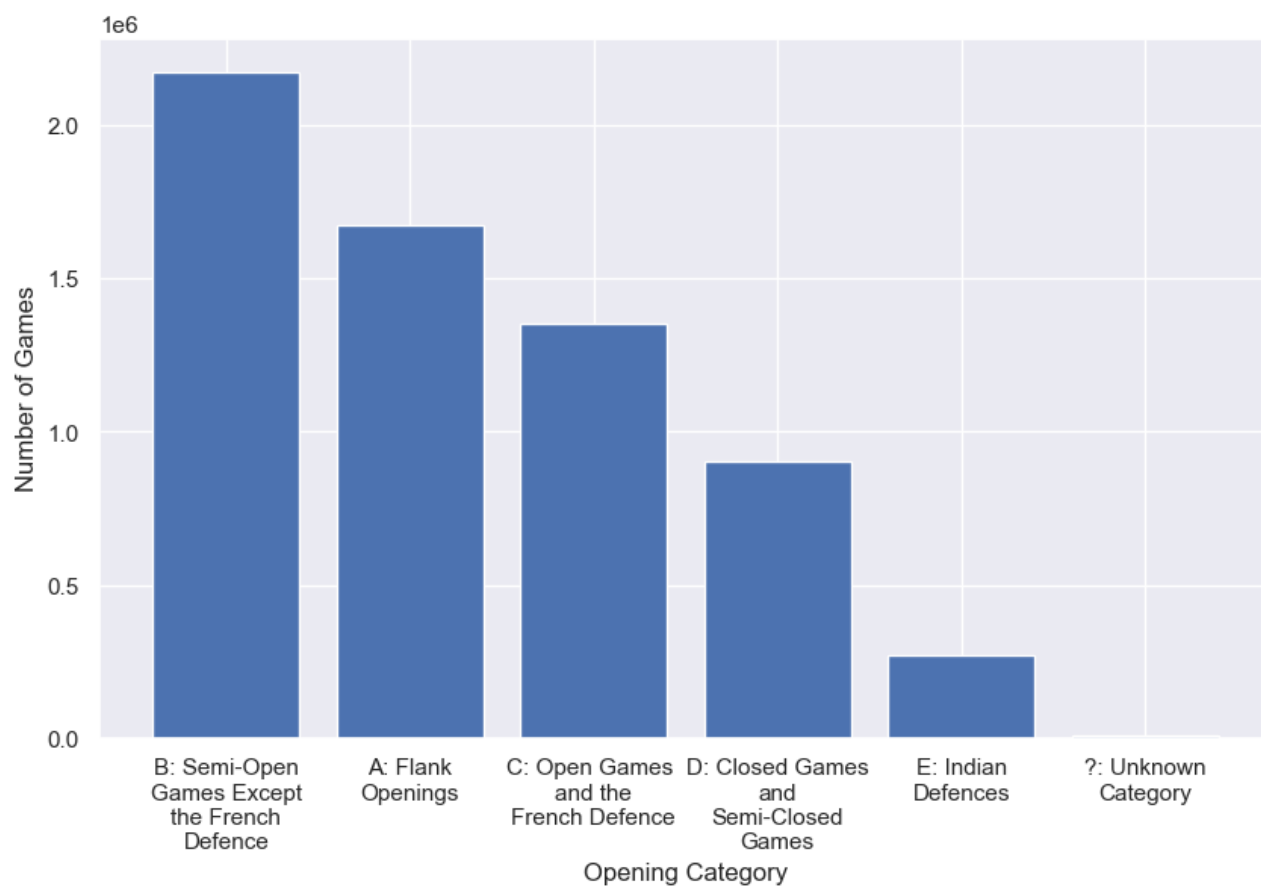


Figure 9: Most Popular Base Openings on Lichess in 2022 (Rated 2000 and Above)

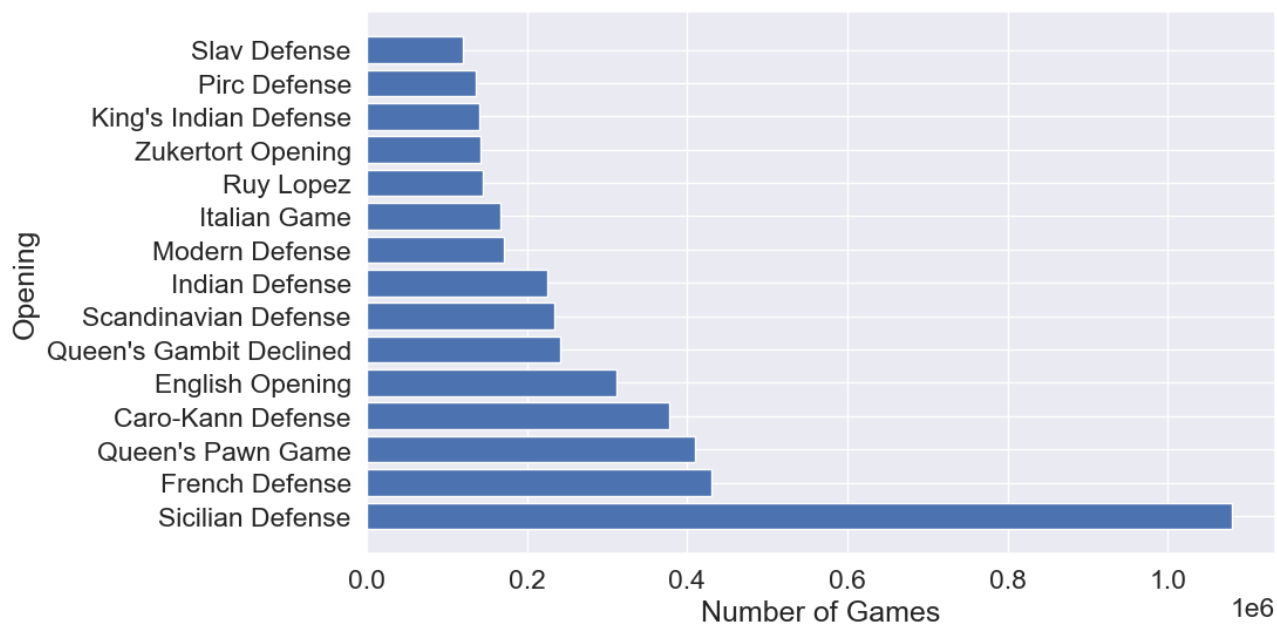
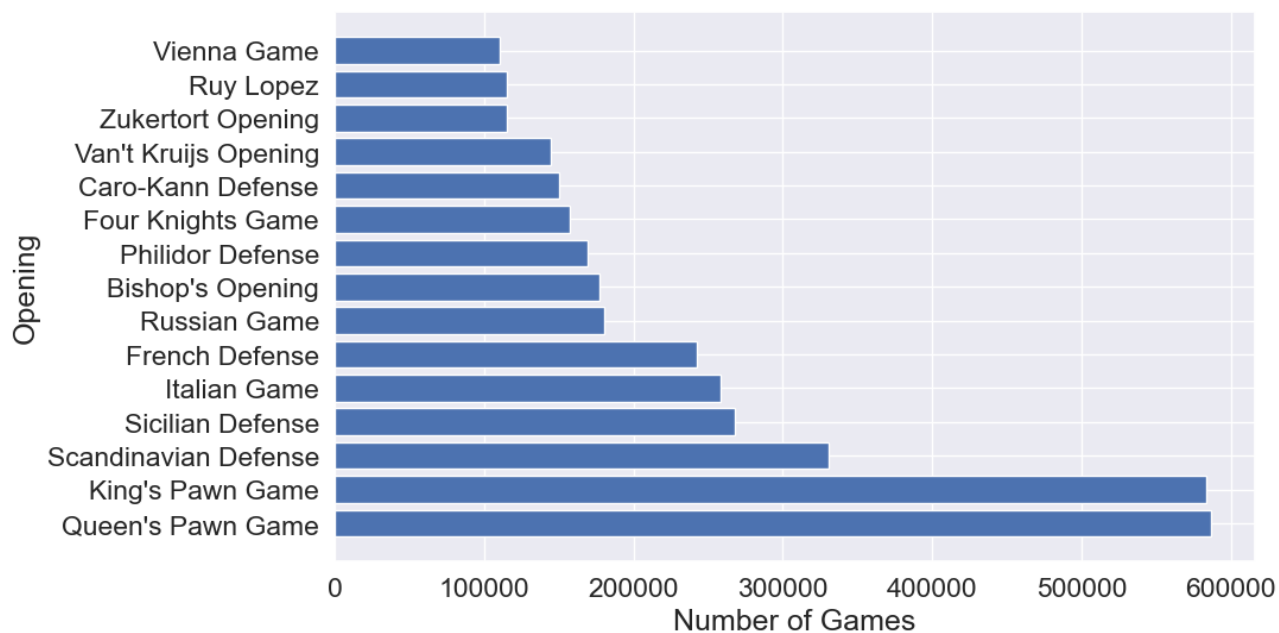


Figure 10: Most Popular Base Openings on Lichess in 2022 (Rated 1200 and Below)



## C Examples

Figure 11: Examples of Chess Openings

