

# Modeling dictionary structures TEI and TEI Lex-0 for interoperability across dictionaries

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#### Outline



#### The Text Encoding Initiative

#### Traditional entry model(s) in TEI

Lexical modeling
Typographical view
Editorial view
Lexical view
Problems with vanilla TEI

#### TEI Lex-0

Entries
Forms and grammatical information
Usage labels
Etymology

#### Outlook

### The Text Encoding Initiative



#### Brief overview

- operating since the (late) 1980s
- common standard for text representation in the DH for all sorts of printed or written documents based on open X\* standards
  - prose, verse, drama
  - dictionaries
  - list, accounting data
  - scholary editions
  - born-digital communication
  - **•** . . .
- lots of active projects rely on the TEI
- open collaboration and development through special interest groups
- ▶ http://www.tei-c.org, https://github.com/TEIC



#### Lexical modeling

- modeling ≈ mapping of objects and their properties (and relations) onto symbolic representations (generally also abstraction)
- modeling lexical data (esp. in digitization of printed resources) is multi-layered modeling:
  - ▶ printed characters → codepoints (e.g. Unicode)
  - ightharpoonup spacial relation of characters  $\longrightarrow$  words (tokens)
  - ▶ typographical properties → (hints as to) functions of words (tokens)
  - No.
- every level relies on interpretation and may introduce uncertainty
- alternative and even incompatible interpretations (and therefore conflicting models) are possible



### Lexical modeling in TEI

different "views" on lexical data:

typographical "the two-dimensional printed page, including information about line and page breaks and other features of layout"

editorial "the one-dimensional sequence of tokens which can be seen as the input to the typesetting process . . . "

lexicographic "... the underlying information represented in a dictionary, without concern for its exact textual form"

(TEI guidelines, chapter 9)



### Lexical modeling in TEI

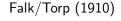
different "views" on lexical data:

- ▶ in print production: lexical → typographical
- ▶ in (retro-)digitization: typographical → lexical
- often desirable to retain several views
- good practice:
  - keep literal values as character data in elements
  - add annotations, normalizations as attribute values



#### Lexical modeling, example entry

Flusspat, von nhd. Flußspat, so genannt, weil das mineral als zusatz beim schmelzen verwandt wurde, um die masse in Fluß zu bringen. Hierfür holl. vloeispaath, engl. fluor und fluor-spar (vgl. feltspat). Zugrunde liegt mlat. fluor, eigentlich "das fließen". Siehe spat I.





#### Typographical view

```
<lb/><hi rendition="#b">Flusspat,</hi> von
   nhd. <hi rendition="#i">Flußspat</hi>,
    so genannt, weil das mineral als
    <lb/>zusatz beim schmelzen verwandt wurde.
   um die masse <hi rendition="#i">in</hi>
    <hi rendition="#i">Fluß</hi> zu
   <lb/>bringen. Hierfür holl.
    <hi rendition="#i">vloeispaath</hi>,
    engl. <hi rendition="#i">fluor</hi> und
    <hi rendition="#i">fluor-spar</hi> (vql.
    <lb/><hi rendition="#q #i">feltspat</hi>).
   Zugrunde liegt mlat.
   <hi rendition="#i">fluor</hi>.
    eigentlich "das fließen".
    <lb/>Siehe <hi rendition="#q #i">spat</hi>
    I.
```



#### Editorial view

```
<hi rendition="#b">Flusspat,</hi> von
    nhd. <hi rendition="#i">Flußspat</hi>,
    so genannt, weil das mineral als
    zusatz beim schmelzen verwandt wurde,
    um die masse <hi rendition="#i">in</hi>
    <hi rendition="#i">Fluß</hi> zu bringen.
    Hierfür holl.
    <hi rendition="#i">vloeispaath</hi>,
    engl. <hi rendition="#i">fluor</hi> und
    <hi rendition="#i">fluor-spar</hi> (vgl.
    <hi rendition="#q #i">feltspat</hi>).
    Zugrunde liegt mlat.
    <hi rendition="#i">fluor</hi>,
    eigentlich "das fließen". Siehe
    <hi rendition="#g #i">spat</hi> I.
```



#### Lexical view

```
<entry>
    <form><orth>Flusspat,</orth></form>
    <etym>von <lang>nhd.</lang>
    <mentioned>Flußspat</mentioned>, so
    genannt, weil das mineral als zusatz
    beim schmelzen verwandt wurde, um die
    masse <mentioned>in Fluß</mentioned>
    zu bringen. Hierfür <lang>holl.</lang>
    <mentioned>vloeispaath/mentioned>,
    <lang>engl.</lang>
    <mentioned>fluor</mentioned> und
    <mentioned>fluor-spar</mentioned>
    (vql. <ref>feltspat</ref>).
    Zugrunde liegt <lang>mlat.</lang>
        <!-- ... --> </etym>
</entry>
```



#### Lexical view, alternative

```
<entry type="main">
    <form type="headword">
        <orth>Flusspat,</orth>
        <qramGrp><pos value="NN"/>
        </gramGrp></form>
    <etym>von <lang>nhd.</lang>
    <mentioned
      xml:lang="de">Flußspat</mentioned>,
    so genannt, weil das mineral als
    zusatz beim schmelzen verwandt wurde.
    um die masse <mentioned
        xml:lang="de">in Fluß</mentioned>
    zu bringen. Hierfür <lanq>holl.</lanq>
        <!-- ... --> </etym>
</entry>
```



#### Problems with vanilla TEI

(specifically for dictionary modeling)

- sometimes several similar models
- often several ways to encode the same abstract model
- some abstract models do not have direct equivalents in TEI
- difficult to encode alternative models
- often open or semi-fixed vocabulary for annotations

Some of these problems will be discussed in this talk.



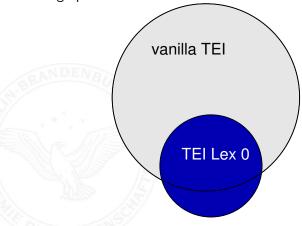
#### Background

- international working group with strong ties to the TEI
- work started in 2016, supported by ENeL, Dariah, independent research institutes (currently cooperating with Elexis)
- general use-case: mapping typographic structures onto lexical structures
- not a chapter 9 replacement, rather a proposed baseline by way of a TEI customization
- aims at interoperability by
  - restricting some alternatives
  - streamlining some content models
  - closing and fixing vocabulary
  - 240
- quite some proposals went upstream already



#### Relation between vanilla TEI and TEI Lex-0

"not a chapter 9 replacement, rather a proposed baseline" for lexicographic information





#### **Entries**

("sometimes several similar models")

in TEI, several models for entries (and entry-like entities):

```
entry "contains a single structured entry ..."

entryFree "contains a single unstructured entry ..."

superEntry "groups a sequence of entries ..."

hom "groups information relating to one homograph within an entry."

re "contains a dictionary entry for a lexical item
```

- re "contains a dictionary entry for a lexical item related to the headword ..."
- all models with slightly different content models
- ▶ in TEI Lex-0: only entry, but recursively nestable



#### **Entries**

	Leder	nn
	leder n leder n	
Ober	leder	nn
Unter	leder	nn

leather of leather; leathern, leathery, tough wipe with chamois skin upper leather of shoe sole leather

Keller (1978)





#### **Entries**

```
Leder nn leather of leather; leathern, leathery, tough ab leder n wipe with chamois skin upper leather of shoe upper leather of shoe sole leather
```



#### Forms and grammatical information

("often several ways to encode the same abstract model")

- ▶ gramGrp may appear on entry, form, sense, ... in vanilla TEI
- ► TEI Lex-0 restricts this and relies on enheritance as expressed in the XML structure:
  - entry-level grammatical information on entry
  - sense-level grammatical information on sense
  - form specific grammatical information on form
  - (with narrow exceptions)
- mandatory form/@type, e.g. lemma, inflected, paradigm, variant in Lex-0



#### Forms and grammatical information

grunt vb. ME. grunte gronte OB. grunnettan; ident. W. G. grunzen, DAN. grynte, SW. grynta A more primit. stem appears in OE. grunian 'grunt'. The  $\sqrt{grun}$  is imitation of sound; cp. LAT grunmire.

Kluge/Lutz (1898)

```
<entry>
  <form type="lemma"><orth>grunt</orth></form>
  <gramGrp><gram type="pos">vb.</gram></gramGrp>
  <etym>
    <!-- ... -->
  </etym>
</entry>
```



**aid** /eɪd/ *noun* **1.** help, especially money, food or other gifts given to people living

#### Forms and grammatical information

```
in difficult conditions o aid to the earth-
                                            auake zone ○ an aid worker (NOTE: This
                                            meaning of aid has no plural.) ain aid
<entry>
                                            of in order to help O We give money in
  <form type="lemma">
                                            aid of the Red Cross. O They are collect-
     <orth>aid</orth>
                                            ing money in aid of refugees. 2. some-
                                            thing which helps you to do something
     < pron > e \& #305; d < / pron >
                                            ○ kitchen aids ■ verb 1. to help some-
                                            thing to happen 2. to help someone
  </form>
  <entry>
     <gramGrp><gram type="pos">noun</gram></gramGrp>
     <!-->
  </entry>
  <entry>
     <gramGrp><gram type="pos">verb</gram></gramGrp>
  </entry>
```

</entry>

<entry>



#### Forms and grammatical information



</gramGrp> ... </sense> ... </entry>



### Forms and grammatical information, inflected forms

```
<entry>
 <form type="lemma">
   <orth>go</orth>
 </form>
 <form type="inflected">
   <orth>went</orth>
   <gram Grp>
     <gram type="tense">past
   </gramGrp>
 </form>
 <!--->
</entry>
```

We really want inheritance to work!



#### Usage labels

("often open or semi-fixed vocabulary for annotations")

- usg covers multiple dimensions:
  - geo(graphic)
  - time
  - dom(ain)
  - register, style
  - plev (preference level)
  - lang(uage)
  - gram(matical)
  - syn(onym), hyp(ernym)
  - colloc(ation), comp(lement), obj(ect), subj(ect), verb
  - hint
- many dimensions have their own (better) model in TEI
- ► TEI Lex-0 tries to streamline this vocabulary (ongoing discussion)



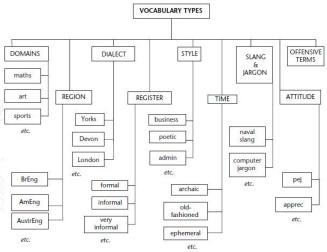
#### Usage labels

Criterion	Type of marking	Unmarked centre	Marked periphery	Examples of labels
Time	diachronic	contemporary language	archaism – neologism	arch, dated, old use
Place	diatopic	standard language	regionalism, dialect word	AmE, Scot., dial.
Nationality	diaintegrative	native word	foreign word	Lat., Fr.
Medium	diamedial	neutral	spoken – written	collog., spoken
Socio-cultural	diastratic	neutral	sociolects	pop., slang, vulgar
Formality	diaphasic	neutral	formal - informal	fml, infml
Text type	diatextual	neutral	poetic, literary, journalese	poet., lit.
Technicality	diatechnical	general language	technical language	Geogr., Mil., Biol., Mus.
Frequency	diafrequential	common	rare	rare, occas.
Attitude	diaevaluative	neutral	connoted	derog., iron., euphem.
Normativity	dianormative	correct	incorrect	non-standard

Svensén (2009) after Hausmann (1989)



#### Usage labels



Atkins/Rundell (2008)



#### Etymology

("sometimes abstract models have no direct equivalent in TEI")

#### etymological prose ...

- often is exactly this: prose(i. e. not necessarily rigidly structured)
- outlines complex linguistic entities
- outlines complex (historical) relations among them
- $\longrightarrow$  Can we formalize this more deeply than vanilla TEI?



#### Etymology

grunt vb. ME. grunte gronte OE. grunnettan; ident. W. G. grunzen, DAN. grynte, SW. grynta A more primit. stem appears in OE. grunian 'grunt'. The  $\sqrt{grun}$  is imitation of sound; cp. LAT grunmire.

- non-etymological information is covered in TEI
- etymological information not so much . . .
- essentially, we need a model for complex mentioned forms (such as etymons) and their relations
- need a model of the temporality of etymological processes



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```
<entry>
  <form type="lemma">><orth>grunt</orth>>/form>
  <gramGrp><gram type="pos">vb.</gram></gramGrp>
  <etym>
    <!-- ... ->
  </etym>
  </entry>
```



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grunt

grunte, gronte

grunnetan

Problem in vanilla TEI: make (chained) relations explicit



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```
<etym>
  <lang rendition="sc">me.</lang>
  <mentioned>grunte</mentioned>
  <mentioned>gronte</mentioned>
  <lang rendition="sc">oe.</lang>
  <mentioned>grunnetan</mentioned>;
  <!-- ... -->
</etym>
```

Problem in vanilla TEI: associate lang and mentioned



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```
<cit type="etymon">
  <lang rendition="sc">me.</lang>
  <form type="lemma" xml:lang="enm">
        <orth>grunte</orth>
        <orth>gronte</orth>
        </form>
  </cit>
```



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```
<cit type="etymon">
  <lang rendition="sc">oe.</lang>
  <form type="lemma" xml:lang="ang">
        <orth>grunian</orth>
        </form>
        <def>'grunt'</def>
</cit>
```



### Etymology

grunt vb. ME. grunte gronte OE. grunnettan; ident. W. G. grunzen, DAN. grynte, SW. grynta A more primit. stem appears in OE. grunian 'grunt'. The  $\sqrt{grun}$  is imitation of sound; cp. LAT grunnire.

```
<cit type="cognate">
  <lang rendition="sc">dan.</lang>
  <form type="lemma" xml:lang="da">
        <orth>grynte</orth>
        </form>
  </cit>
```



#### Etymology

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#### Etymology

complex descriptions of linguistic signs via cit[@type="etymon"], cit[@type="cognate"]:

- ▶ in a way, cit[@type="..."] is very close to entry
- may contain
  - lang (not in vanilla TEI)
  - date
  - form
  - def/gloss (even sense?)
  - usg
  - xr
  - gramGrp
  - ref
  - bibl



#### Etymology

complex relations among cits via
etym[@type="..."]:

- types may include borrowing, inheritance, compounding, derivation, metaphor, . . .
- typing may be expensive, therefore optional
- etyms contain mostly cits, maybe segs (for unmarked stretches of prose)
- conflicting etymologies can be siblings
   (and may get indications of responsibility)
- upcoming paper by Bowers/Herold/Romary/Tasovac

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#### Outlook



- more areas of work not covered today,
   e.g. cross-references, bilingual dictionaries, . . .
- ▶ things to come: lexical metadata, linking cit with corpora
- frequent group meetings
- open collaboration on GitHub (take a look!)
- close collaboration with the TEI consortium
- ► TEI Lex-0 is still work in progress (version 0.8.6)



## Thank you for listening!

