

# WOMAN IN DATA SCIENCE DATATHON

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TALITA COLL CÁRDENAS MARÍA MELLADO PALACIOS ISABEL RODRIGUEZ ROBLEDO

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#### **Main Goals**

Creation of a regression model that can predict building energy consumption. Accurate predictions of energy consumption can help policy makers target retrofitting efforts to maximize emissions reductions.

# **Background**

Climate change is a globally relevant, urgent, and multi-faceted issue heavily impacted by energy policy and infrastructure. Addressing climate change involves mitigation (i.e. mitigating greenhouse gas emissions) and adaptation (i.e. preparing for unavoidable consequences). Mitigation of Greenhouse gas emissions (GHG) requires changes to electricity systems, transportation, buildings, industry, and land use.

According to a report issued by the International Energy Agency (IEA), the lifecycle of buildings from construction to demolition were responsible for 37% of global energy-related and process-related CO<sub>2</sub> emissions in 2020. Yet it is possible to drastically reduce the energy consumption of buildings by a combination of easy-to-implement fixes and state-of-the-art strategies. For example, retrofitted buildings can reduce heating and cooling energy requirements by 50-90 percent. Many of these energy efficiency measures also result in overall cost savings and yield other benefits, such as cleaner air for occupants. This potential can be achieved while maintaining the services that buildings provide.

#### Site and Source EUI

Site Energy Use Intensity (EUI) is an indicator of heat and electricity consumed by a building as reflected in a building's utility bills. Site EUI is a mixture of primary energy (such as fuel or gas) and secondary energy (electricity or steam). Source Energy is the total amount of raw fuel required to operate the building; basically, site energy plus all transmission, delivery, and production losses. Source EUI is the parameter used for Energy Star Rating calculation.

It can be calculated by the following equation:

EUI = Annual Energy Use / Area

Where:

EUI units: kbtu/sf/year\*

Annual Energy Use units: kbtu/year\*

Area: square feet

(Kbtu: kilo-British thermal unit)

\*It can be also expressed in kWh/m<sup>2</sup>

#### **Energy Star Rating**

Energy Star Rating (1-100 scale) provides information about your building's energy performance, taking into consideration the building's physical assets, operations, and occupant behaviour. This score can be used to compare buildings or indicate the level of energy performance. Nevertheless, it cannot explain the poor or wellness of building performances

# Overview: the dataset and challenge

The WiDS Datathon dataset was created in collaboration with Climate Change AI (CCAI) and Lawrence Berkeley National Laboratory (Berkeley Lab). The dataset consists of variables that describe building characteristics and climate and weather variables for the regions in which the buildings are located. The data is divided in two csv files, labelled as train and test. There are eight variables related to building characteristics that include year factor, state factor, building class, facility type, floor area, year built, energy star Another 36 variables correspond to maximum, minimum and rating and elevation. average temperature in each month and another variable for average temperature. Furthermore, five variables include cooling degree days, heating degree days, precipitation inches, snowfall inches and snow depth inches. Another eight variables include temperature below 0,10,20 and 30 F and above 80, 90, 100 and 110 F. To conclude, the last six variable are related to wind and fog and are labelled as direction maximum wind speed, direction peak wind speed, maximum wind speed, days with fog, site energy use intensity and id.

|    | Feature            | Type    |
|----|--------------------|---------|
| 0  | Year_Factor        | int64   |
| 1  | State_Factor       | object  |
| 2  | building_class     | object  |
| 3  | facility_type      | object  |
| 4  | floor_area         | float64 |
| 5  | year_built         | float64 |
| 6  | energy_star_rating | float64 |
| 7  | ELEVATION          | float64 |
| 8  | january_min_temp   | int64   |
| 9  | january_avg_temp   | float64 |
| 10 | january_max_temp   | int64   |
| 11 | february_min_temp  | int64   |
| 12 | february_avg_temp  | float64 |
| 13 | february_max_temp  | int64   |
| 14 | march_min_temp     | int64   |
| 15 | march_avg_temp     | float64 |
| 16 | march_max_temp     | int64   |
| 17 | april_min_temp     | int64   |

| 18 | april_avg_temp            | float64 |
|----|---------------------------|---------|
| 19 | april_max_temp            | int64   |
| 20 | may_min_temp              | int64   |
| 21 | may_avg_temp              | float64 |
| 22 | may_max_temp              | int64   |
| 23 | june_min_temp             | int64   |
| 24 | june_avg_temp             | float64 |
| 25 | june_max_temp             | int64   |
| 26 | july_min_temp             | int64   |
| 27 | july_avg_temp             | float64 |
| 28 | july_max_temp             | int64   |
| 29 | august_min_temp           | int64   |
| 30 | august_avg_temp           | float64 |
| 31 | august_max_temp           | int64   |
| 32 | september_min_temp        | int64   |
| 33 | september_avg_temp        | float64 |
| 34 | september_max_temp        | int64   |
| 35 | october_min_temp          | int64   |
| 36 | october_avg_temp          | float64 |
| 37 | october_max_temp          | int64   |
| 38 | november_min_temp         | int64   |
| 39 | november_avg_temp         | float64 |
| 40 | november_max_temp         | int64   |
| 1  | december_min_temp         | int64   |
| 42 | december_avg_temp         | float64 |
| 43 | december_max_temp         | int64   |
| 44 | cooling_degree_days       | int64   |
| 45 | heating_degree_days       | int64   |
| 46 | precipitation_inches      | float64 |
| 47 | snowfall_inches           | float64 |
| 48 | snowdepth_inches          | int64   |
| 49 | avg_temp                  | float64 |
| 50 | days_below_30F            | int64   |
| 51 | days_below_20F            | int64   |
| 52 | days_below_10F            | int64   |
| 53 | days_below_0F             | int64   |
| 54 | days_above_80F            | int64   |
| 55 | days_above_90F            | int64   |
| 56 | days_above_100F           | int64   |
| 57 | days_above_110F           | int64   |
| 58 | direction_max_wind_speed  | float64 |
| 59 | direction_peak_wind_speed | float64 |
| 60 | max_wind_speed            | float64 |

| 61 | days_with_fog | float64 |
|----|---------------|---------|
| 62 | site_eui      | float64 |
| 63 | id            | int64   |

# **Exploratory Data Analysis (EDA)**

## **Missing Values imputation**

By inspecting the data structure, we can visualize via Heatmap of there are several columns with missing values.

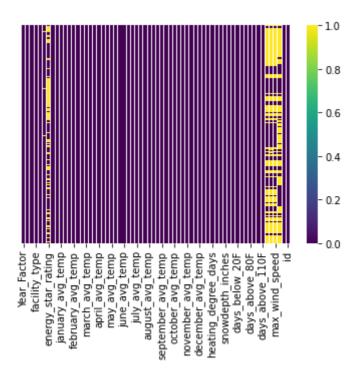


Figure 1: Heatmap of Data Frame's missing values.

| Feature                   | Number of missing values | Percentage of missing values (%) |
|---------------------------|--------------------------|----------------------------------|
| energy star rating        | 26709                    | 35.63                            |
| year built                | 1837                     | 2.42                             |
| direction max wind speed  | 41082                    | 54.23                            |
| direction peak wind speed | 41811                    | 55.19                            |
| max wind speed            | 16488                    | 71.87                            |
| days with fog             | 45796                    | 60.45                            |

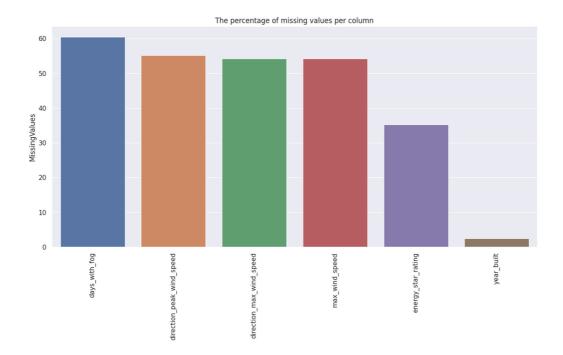


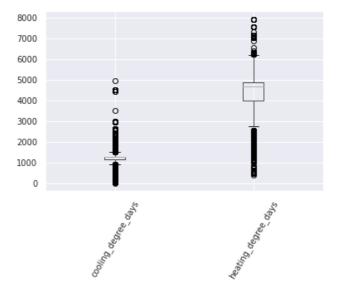
Figure 2: Percentage of missing values per column.

A closer look into the missing values has given information about ten features that contain missing values, in several of them these values are higher than the 50 % of the column values. The first approach for missing values imputation was eliminating columns with a percentage of missing values higher than 40 percent.

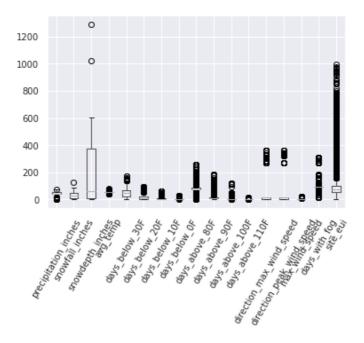
The second approach for missing values imputation has been a widely used method such as KNNImputer. The idea of this method is to identify 'k' samples to estimate the value of the missing data that are close in space. For this purpose, the Data Frame was first normalize using Min Max Scaler library from sklearn. Afterwards, KNNImputer was applied using 5 neighbours, uniform weight, and nan Euclidean metric.

## **Outlier imputation**

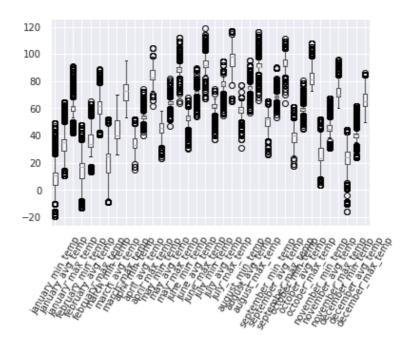
Outlier analysis was first performed by looking into boxplot of the features. For simplicity, features are depicted in different figures.



<u>Figure 3:</u> Boxplot representation of the data frame features cooling degree days and heating degree days.



<u>Figure 4:</u> Boxplot representation of the data frame features related to direction of the wing, fog, precipitation, and snow.



<u>Figure 5:</u> Boxplot representation of the data frame features related to average, minimum and maximum temperature in each month.

#### Discovering outliers using z-score

By this approach, the first step was calculating z-score for each column. Afterwards, outliers (with z-score lower than 10) where removed on a temporary data frame. The data frame shape changed by this approach as in:

Before removing outliers:(75000, 59) After removing outliers:(74652, 59)

#### Categorical features

For further analysis of features, categorical features were represented in bar diagrams as followed in figures 6 to 9.

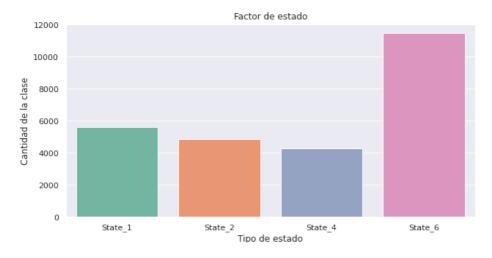


Figure 6: bar diagram of feature State Factor.

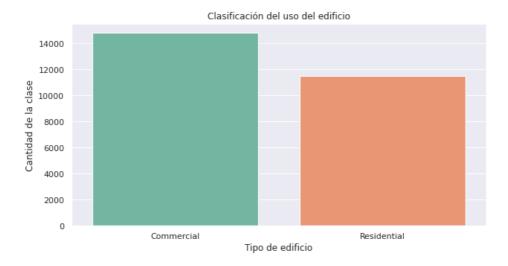


Figure 7: bar diagram of feature building use.

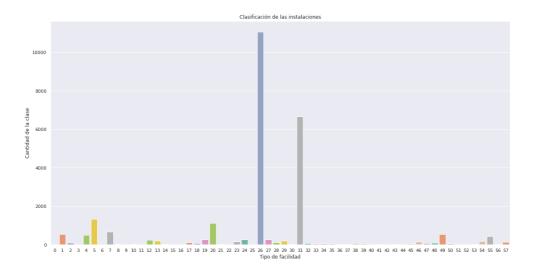


Figure 8: bar diagram of feature Facility Type.

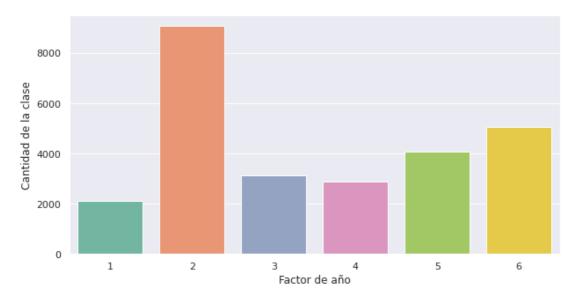


Figure 9: bar diagram of feature Factor Year.

In order to perform future modelling, it was necessary to encode the following categorical features:

- 1) Facility type
- 2) State Factor
- 3) Building class

#### Feature selection

It is already well known that feature selection plays a huge role in machine learning. By studying correlation, it can be determined the linear relationship between two features. In the following figure a heatmap of the correlation matrix is depicted for correlation visualization.



Figure 10: Correlation matrix of Data Frame features.

# Feature importance using Random Forest Regressor

For feature selection, random forest regressor was used with 100 estimators. As a result feature importance is depicted in the following bar plot:

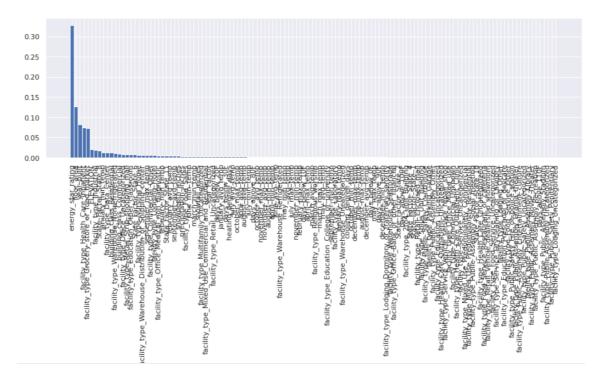


Figure 11: Features Bar plot arranged according to feature importance.

More important features:

- Energy star rating
- Year built
- Facility type
- Floor area

# **Principal Component Analysis (PCA)**

Principal component Analysis (PCA) is often used for dimensionality reduction. It chooses the data in the direction of maximum variance. To perform this task, a pipeline has created, where the first step was the normalization of the data and the second step it is the PCA itself. Afterwards, a new data frame that contains the 64 principal components is created. For further understanding, as seen in the following figure, the heatmap of features and principal components is depicted.

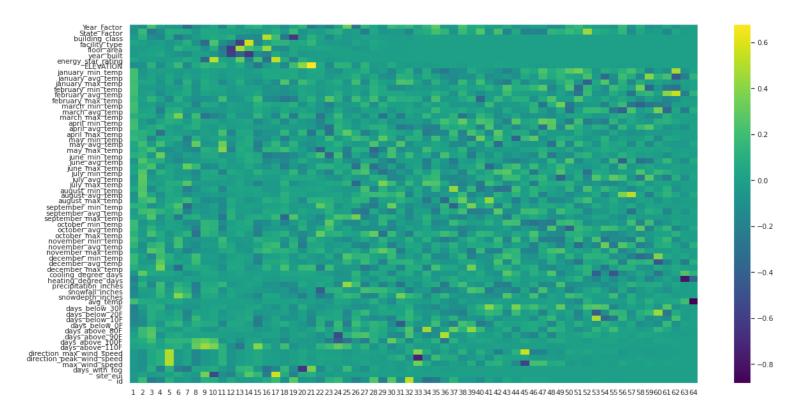


Figure 12: Principal Components heatmap of data frame features

In the following figure the contribution of each component to the variance is shown. From this figure, it can be concluded that 34 % of the variance can be explained with principal component 1 and 16 % with component 2. I the next figure, from accumulated variance, it can be concluded that 99 % of variance can be explained with 23 principal components.

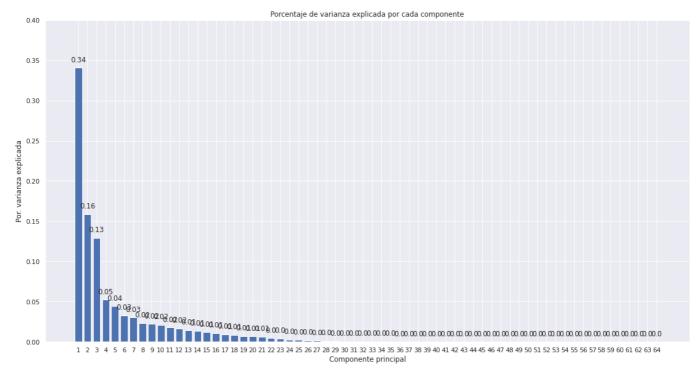


Figure 13: PCA principal components.

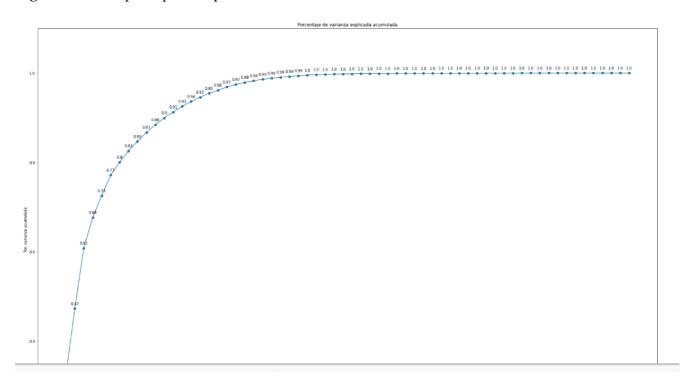


Figure 14: accumulated variance

# **Regression models**

The following algorithms were used to analyse the data, followed by the error obtained with each one:

| Model                       | RMSE  |
|-----------------------------|-------|
| Random Forest Regressor     | 36.01 |
| XGB Regressor               | 33.64 |
| Lasso                       | 35.94 |
| Support Vector Regressor    | 35.45 |
| Extra Tree Regressor        | 34.33 |
| Support Vector Regressor    | 35.65 |
| Cat Boost Regressor         | 33.49 |
| Gradient Boosting Regressor | 33.59 |

# Hyperparameter tuning and cross validation

Hyperparameter tunning of XGB Regressor. Parameters tunned:

nthread:4

objectiv: reg:linear

learning rate: .03, 0.05, .07

max depth: 5, 6, 7 min child weight: 4

silent: 1

subsample: 0.7

col sample by tree: 0.7

n\_estimators:500

Grid Search CV was performed with this parameter, cv=2 and n jobs = 5. Two folds of 9 candidates results in a total of 18 fits. The best parameters were:

Col sample by tree: 0.7 Learning rate: 0.03,

Max depth: 5

Min child weight: 4 N estimators: 500

N thread: 4

objective: reg: linear

silent: 1

subsample: 0.7

# **Implementation**

#### Metrics

<u>Mean Absolute Error (MAE)</u>: is the defined as the average of the sum of absolute difference between the actual values and the predicted values. This type of metric is not sensitive to outliers.

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |y_i - \widehat{y_i}|$$

Where:

y<sub>i</sub>: predicted value

y<sub>i</sub> ^: actual value

<u>Mean Square Error (MSE):</u> average of the sum of square of the difference between actual and predicted values. Useful when dataset contains outliers.

$$MSE = \frac{1}{n} \sum (y - \widehat{y})^2$$

Root Mean Square Error (RMSE): defined as the root of the MSE. RMSE is more sensitive to the presence of outliers. Unlike MSE, Root Mean Square Error has the same unit of quantity plotted on vertical axis or y-axis.

$$RMSE = \sqrt{\sum_{i=1}^{n} \frac{(y - \widehat{y})^2}{n}}$$

 $\underline{R^2 \text{ score:}}$  coefficient of determination. It indicates how closer are the predicted values to the actual values.

$$R^{2} = 1 - \frac{SS_{RES}}{SS_{TOT}} = 1 - \frac{\sum_{i}(y - \widehat{y})^{2}}{\sum_{i}(y - \overline{y})^{2}}$$

Where:

SS<sub>res</sub>: Sum of Square of Residuals

SS<sub>tot</sub>: Total Sum of Squares

The  $R^2$  value ranges from  $-\infty$  to 1. A model with negative  $R^2$  value indicates that the best fit line is performing worse than the average fit line.

Adjusted  $R^2$ : modified form of  $R^2$  that penalizes the addition of new independent variable only increases if the new independent variable or predictor enhances the model performance.

Adjusted 
$$R^2 = 1 - (1 - R^2) * \frac{n-1}{n-k-1}$$

R<sup>2</sup>: R<sup>2</sup> Score

n: Number of Samples in Dataset

k: Number of Predictors

In this work, prediction was made on file "test.csv". In the data frame created, the same categorical features as in train file were encoded. Columns where missing values were deleted except energy star rating (missing values were filled randomly) and year built (they were filled with the mode). The regression algorithm used was Cat Boost Regressor with 50 iterations, depth of 3, learning rate of 1 and loss function RSME. The resulting prediction was saved in a csv file and submitted to Kaggle with two columns, "id" and "site\_eui". Attending to the Root Mean Square Value (RMSE) obtained by this method, which was 33.49, is the reason for the algorithm election. By Cat Boost Regression the lowest value of RMSE was obtained of all the regression algorithms tried.

## **Conclusions and Future Perspectives**

Studies on building energy consumption and its characteristics is essential for carrying out benchmarking processes and for decision making. To facilitate decision making around this topic, in this work, machine learning models were created to predict buildings energy consumption. For this purpose, it was observed that missing value treatment changed enormously the outcome RSME of the model. Furthermore, model performance is highly determined by feature selection. Random Forest, XG Boost and Cat Boost were the regression algorithms that lead to lowest RMSE. The lowest RSME was obtained with Cat Boost regressor and by imputing missing values with KKNImputer, obtaining a RSME value of 33.49.

# **Bibliography**

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