

Tree

Hierarchical: Root points to subtrees (may be empty)

Unique path b/w any two points.

Length of path: number of edges in path

Depth of node: length of path from root

Depth of tree: maximum node depth in tree

Height of node: maximum length of path to any leaf in any of its subtrees.

Size of tree: number of nodes

$$\text{size}(x) = 1 + \sum_{\text{children}} \text{size}(\text{child}) \quad [\text{size}(\text{null}) = 0]$$

$$\text{depth}(x) = 1 + \text{depth}(\text{parent}) \quad [\text{depth}(\text{root}) = 0]$$

$$\text{height}(x) = 1 + \max_{\text{children}} (\text{height}(\text{child})) \quad [\text{height}(\text{leaf}) = 0]$$

Coding

36 characters: each character is a 6-bit string

Improvement: Shorter codes for frequent characters

Need for unambiguous encoding

Eg.:

a	1	1000
---	---	------

b	10	1001
---	----	------

c	15	01
---	----	----

d	4	101
---	---	-----

e	23	00
---	----	----

i	30	11
---	----	----

Property: No code is a prefix of another code.

Eg. i.e. prefix code

An ancestor is the parent or an ancestor of the parent.

An ancestor is any node from which a directed path to the node exists.

## Binary Trees

Every node has a left child and a right child, both of which can be null (no child).

```
struct BTreeNode {
    int val;
    BTreeNode *left, *right;
};
```

## Generating Codes

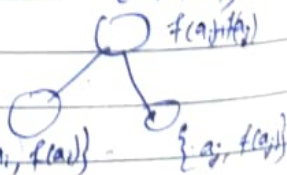
Inputs :  $a_i$  - characters  
 $f(a_i)$  - frequency of occurrence

(the output string is unambiguously decodable)  
Code st. no code is a prefix of another.

Objective: code with smallest output string  
Sum of products of lengths of code and frequencies  
is to be minimised.

If left denotes 0 & right denotes 1, and leaf nodes are assigned characters, we must minimise sum of products of depths of nodes & frequencies of the nodes.

$\therefore$  We create nodes with values  $\{a_i, f(a_i)\}$   
We combine nodes to make:  
We keep combining the <sup>(root)</sup> nodes  
with smallest values until we  $\{a_i, f(a_i)\}$   $\{a_j, f(a_j)\}$   
are left with one (root) node.

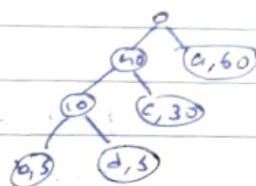


```

struct Node {
    int data;
    char a;
    Node *left, *right;
}

```

a	60	1
b	5	000
c	30	01
d	5	001



```
int n;  
cin >> n;  
vector<char> a(n);  
vector<int> f(n);  
for (int i=0; i<n; ++i) cin >> a[i] >> f[i];
```

priority - queue pg

for  $i \leftarrow 1$  to  $n$ :

Create node with  $val[i]$ ,  $next[i]$

Insert to p2

for  $i = 1$  to  $n-1$ :

$$l = pq \cdot \rho_{pq}(l)$$
$$r = p_2 \cdot p_0 p^{(1)}$$

Create node with left child  $l$  right child  $r$ .

$$f = 1.f + r.f$$

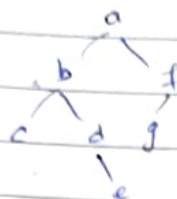
Insert new node to P2

ptop() is a btree representing the code

Full trees : Nodes either have 0 or 2 children

n inserts, n deletes

Priority-queue: both operations in  $\log n$   
Overall time complexity:  $n \log n$



Inorder: cbdeagf

Pre: abcdefg

Post: cedbgfa

Level order traversal:

queue of nodes q, push root to q

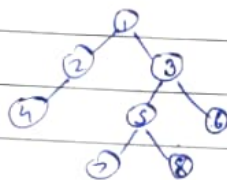
while: q not empty: // BFS

print q.top().val

q.push(q.top().left) if: not null

q.push(q.top().right) if: not null

q.pop()



Level: 1 2 3 4 5 6 7 8

Post: 4 2 7 8 5 6 3 1

Pre: 1 2 4 3 5 7 8 6

In: 4 2 1 7 5 8 3 6

Same Postorder:



Binary Search TreesInsert, Delete, Search in  $O(\log n)$  $O(n)$        $O(n)$        $O(\log n)$        $\rightarrow$  array (sorted) $O(1)$        $O(n)$        $O(n)$        $\rightarrow$  linked list, array (unsorted)All left children  $<$  node,All right children  $>$  node.

struct TreeNode {

DataType data

struct TreeNode \*left, \*right;

};

bool ~~DataType~~ search (DataType val, TreeNode \*node = root) {

if (node-&gt;data == val) return true;

if (node-&gt;left != nullptr &amp;&amp; val &lt; node-&gt;data) return search(val, node-&gt;left);

if (node-&gt;right != nullptr &amp;&amp; val &gt; node-&gt;data) return search(val, node-&gt;right);

return false;

}

DataType findmin() {

TreeNode \*node = root;

while (node-&gt;left) node = node-&gt;left;

return node-&gt;data;

}



Deletion

Leaf nodes delete

Node with a single child: replace with the child's subtree



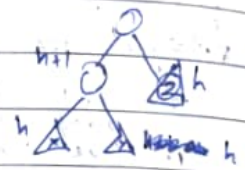
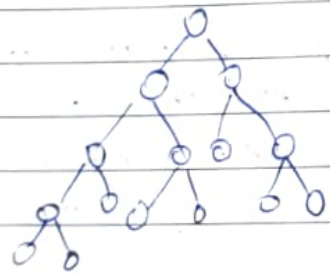
Two children: replace node with smallest element in right subtree, delete that node

Height balanced binary tree:

The difference between the heights of any two leaf ~~nodes~~ <sup>subtrees</sup> is at most 1.

AVL Tree

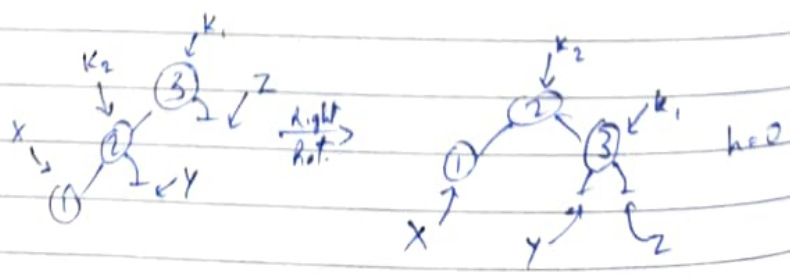
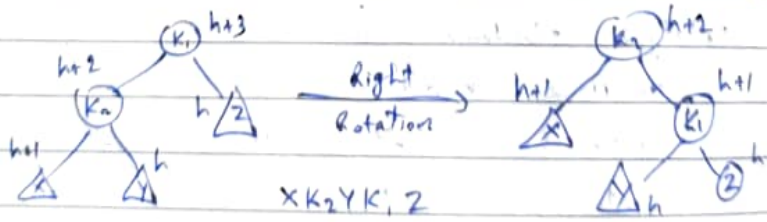
Adelson-Velskii & Landis

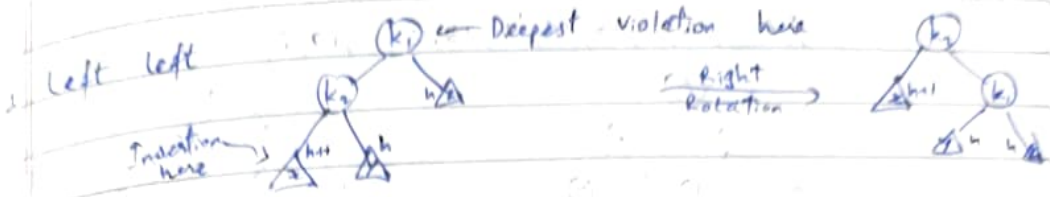


Addition to X causes imbalance at root

Left-Left Case

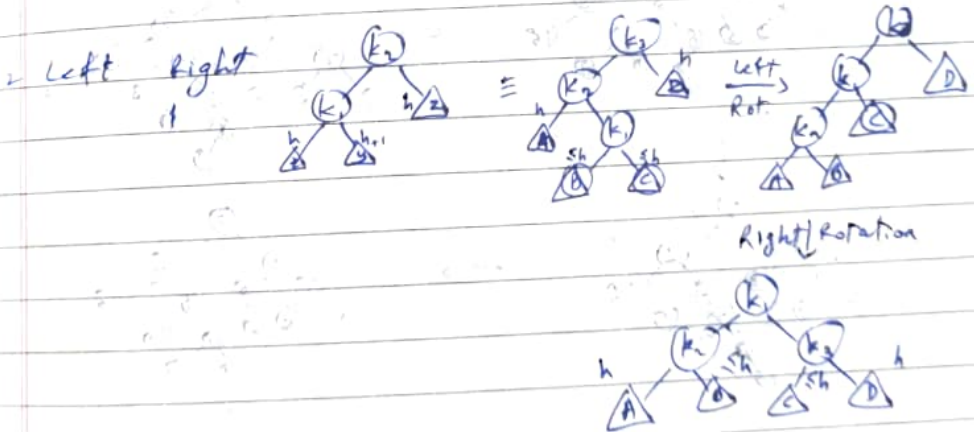
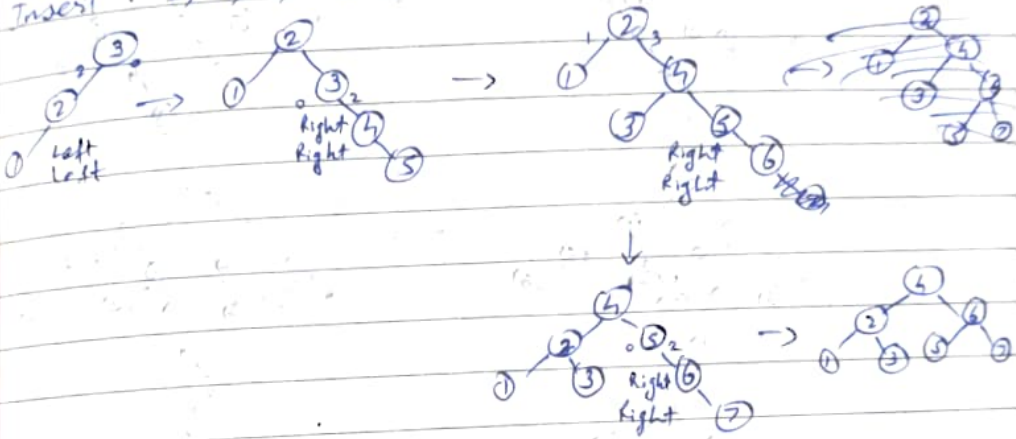
Rotation of BST maintains inorder traversal



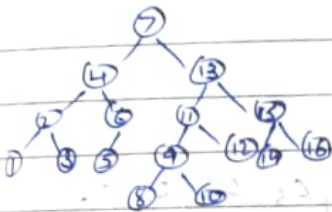
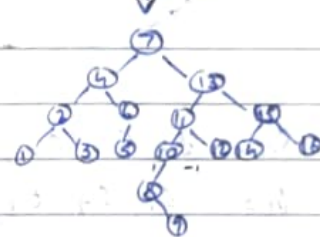
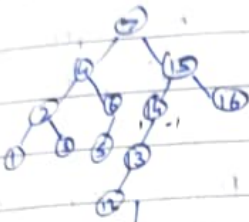
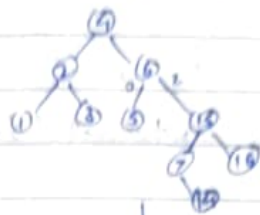


Right Right is mirror image, left rotation

Insert: 3, 2, 1, 4, 5, 6, 7



3, 2, 1, 4, 5, 6, 7, 16, 15, 14, 13, 12, 11, 10, 8, 9



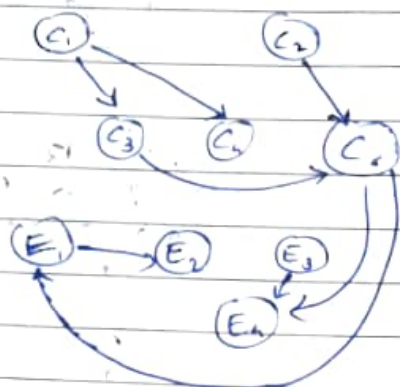


## Graphs

Represent relation among entities:  $G(V, E)$   
Road / Internet / Social Network

(courses)  $C_1$  to  $C_5$ ,  $E_1$  to  $E_5$

Edge from  $A$  to  $B$  iff  $A$  is prerequisite of  $B$   
valid for direct prereq. but not indirect.



Prereq. graph must be acyclic.

Sem 1:  $C_1, C_2, E_1$

Order:  $C_1, C_2, E_1, C_3, C_4, C_5, E_2, E_3, E_4$

All core+2 elec:  $C_1, C_2, E_1, C_3, C_4, C_5, E_2, E_3, E_4$  : 7

## Party Problem

Friends  $A, B, \dots$

$A \& B$  cannot be together,  $A \& P$  cannot be together  
T & " " " etc. (Independent Set)

Input: Friends, pairs of incompatibilities

Graph: Nodes, edges

Sol<sup>n</sup>: Set of nodes st. no neighbours chosen.

$G(V, E)$ : Sol<sup>n</sup> is  $V' \subseteq V$

$\forall x, y \in V'$  then  $(x, y) \notin E$

Adjacency List      Adjacency Matrix

$$O(|E| + |V|)$$

$$O(\sum \text{degree}) \text{ or } O(\sum \log \text{degree})$$

linear search  
of linked list

$$O(|V|^2)$$

$$O(1)$$

binary search  
on tree

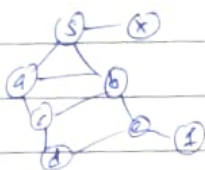
Space

Retrieval

## Elementary Graph Algorithms

### Breadth First Search

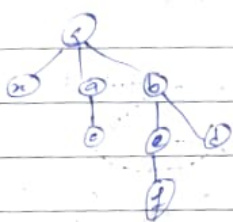
Graph:



Adj List:

s	→ x → a → b
x	→ s
a	→ s → b → c
b	→

### Breadth First Search Tree



def bfs(adj-list, source):

queue of nodes: q

q.push(source)

map of node to bool (or set of nodes) vis

map of node to int: level; node to node pred

while (!q.empty() && level(source) == 0, pred(source) = null)

vis[source] = true

level = 1

while (!q.empty()):

n = q.size()

for i = 0 to n-1:

vis[q.front()] = true

for node in adj-list[q.front()]:

if !vis[node]:

level[node] = level, pred(node) = q.front()

q.push(node)

vis[node] = true

return q.front()

Initialise  $pred$  to null  
 Initialise  $level$  to infinity

Alternative:

```

while (Q is not empty):
    u = Q.dequeue()
    if (colour(u) is not black grey):
        continue
    colour(u) = grey/black
    if (colour(u) is not grey):
        continue
    for v in adj[u]:
        if colour(v) is white:
            Q.enqueue(v)
            colour(v) = grey
            pred(v) = u
            level(v) = 1 + level(u)
    colour(u) = black
    
```

To maintain invariant: colour in Q is grey

only two levels at a time  
 level is non-decreasing  
 Vertices in Q: gray, arriving in level order Traversal of tree  
 Non-tree edges: fail when checking colour(v) is white, (one level)  
 go to a higher or equal level

Running time:  $O(|V| + |E|) = O(n + m)$   
 every vertex visited once or initializations  $\downarrow$  for  $v$  in  $adj(u)$   $\downarrow$   $|E|$  times

BFS finds shortest path in an unweighted graph

If  $n$  vertices,  $m$  edges  $\rightarrow m'$  edges w weight 2  
 replace  $A \xrightarrow{2} B$  with  $A \xrightarrow{\text{dummy}} C \xrightarrow{1} B$  then BFS  
 $O((n + m') + (m + m')) = O(m + n)$

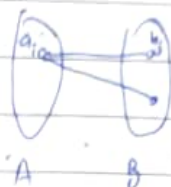
Similarly, if

## Bipartite Graphs

$$G(V, E) : V = A \cup B$$

elements of  $E$  of form  $(a_i, b_j)$

$A$  &  $B$  are independent sets



Suppose  $G$ : adj. list. If  $G$  is bipartite, output a bipartition.

E.g. : not bipartite

for bipartite, each edge must have one vertex in  $A$  & other in  $B$  for some  $A \neq B$ .

sets of nodes  $A, B$ :

queue of nodes  $Q$

while  $Q$  is empty and unassigned node(s) exist(s):

insert arbitrary unassigned node in  $Q$

while  $Q$  is not empty:

node =  $Q.dequeue()$

if node is not in  $B$ :

$A.insert(node)$  if node is not in  $B$

for neighbour in adj-list[node]:

$B.insert(node)$  if node not in  $A$

$Q.enqueue(node)$

else no bipartition

else:

for neighbour in adj-list[node]:

$Q.enqueue(node)$  if node not in  $A$

else no bipartition

output bipartition  $A, B$

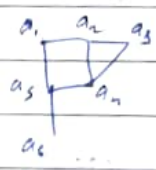
If  $G$  has an odd cycle,  $G$  is not bipartite as there must exist adjacent nodes belong to the same partition.

An alternating sequence of odd length must have identical endpoints.

If  $G$  does not have an odd cycle then  $G$  is bipartite. Bipartitioning fails when & only when an odd cycle exists.

To detect odd cycles; perform <sup>(or DFS)</sup> BFS & assign/visit the parity of the level for each visited node. In case an adjacent node is visited, ensure that its parity of level is opposite that of the current node.

Bipartite testing in  $O(m+n)$  time



for each edge, atleast one vertex must be chosen. choose the minimum such set of vertices  $\rightarrow$  min-size vertex cover

Vertex cover:  $X \subseteq V : \forall e=(a,b) \in E$   
either  $a \in X$  or  $b \in X$  or both  $\in X$ .

eg.  $\{a_1, a_2, a_3\}$

Maximum Independent Set, Min-size Vertex Cover are not solved in poly-time.

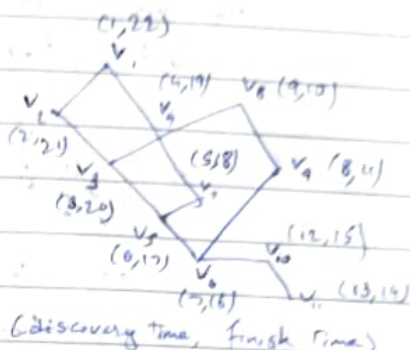
They can be solved efficiently for bipartite graphs.

Removing a vertex <sup>from a graph</sup> cover results in an independent set  $\Rightarrow$  Removing a min-size vertex cover results in the maximum independent size.



## Depth First Search

$V_1 \rightarrow V_2 \rightarrow V_3 \rightarrow V_4 \rightarrow V_5 \rightarrow V_6 \rightarrow V_7 \rightarrow V_8$   
 $\rightarrow V_{10} \rightarrow V_{11}$



def dfs():

stack of vertices st

integer time = 1

~~map of vertices to~~

st.push(root)

root.d = time

while st is not empty:

time++

u = st.top()

u.colour is grey

Find v = first neighbour of u that is white

if no such vertex:

u.colour = black

st.pop()

u.d = time

else:

v.d = time

v.colour = grey

st.push(v)

v.pred = u

or, recursively:

← for every  $u \in V$

u.pred = Null

u.colour = white

time = 0

for every white vertex  $u \in V$

visit( $u$ )

```

def visit(G, u):
    u.d = ntime
    u.colour = gray
    for every white v in adj(u):
        v.parent = u
        visit(G, v)
    u.colour = black
    u.f = ntime
    
```

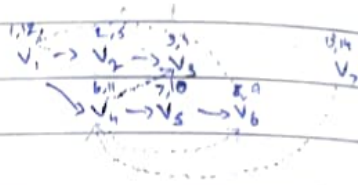
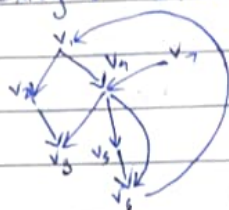
Leaves have finishing time = 1 + discovery time

If  $u$  is ancestor of  $v$ ,  $u.d \leq v.d$ ,  $u.f \geq v.f$

If  $u$  &  $v$  not ancestors,  $u.d < v.d$  &  $u.f < v.f$   
 or  $u.d > v.d$  &  $u.f > v.f$

Non-tree edges exist when  $u$  is ancestor of  $v$  always

DFS Complexity:  $O(m+n)$



In directed graphs, non-tree edges can be ancestor to descendant (forward), descendant to ancestor (backward), or cross

backward edge  $\Leftrightarrow$  cyclic graph

If no backward edge, we have a DAG.

Backward edge:	$u \rightarrow v$	where	$u.d > v.d$ and $u.f > v.f$
Forward edge:	$u \rightarrow v$	where	$u.d < v.d$ and $u.f < v.f$
Cross edge:	$u \rightarrow v$	where	$u.d < v.d$ and $u.f > v.f$ or $u.d > v.d$ and $u.f < v.f$

Linearise a DAG  $\rightarrow$  Topological Sort/Ordering

Given discovery & finish times

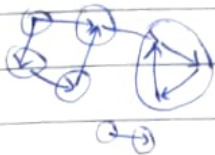
Sort by ~~discovery~~ time or reversed finish time order?

~~push node back~~

Proof: If a vertex is finished at time step  $t$ , its prereqs (ancestors) will all finish after  $t$ .  
So, we can choose the vertex if all edges with higher finish time have been chosen.

$\rightarrow$  If  $u$  is an ancestor of  $v$ ,  $u.f > v.f$ .

Strongly connected if  $\exists$  paths from  $A$  to  $B$  &  $B$  to  $A$ .



How many strongly connected components?

?