

Dataset Details With Examples:

Sample dataset annotations in which there can be multiple aspects:

Total bilirubin less than or equal to 1.5 mg/dl, except in patients with history of anaemia	Health status
Have had their ileostomy or colostomy for at least 3 months	Demography status
Subjects must be between the ages of 18 to 65 years old and must not intake alcohol	Lab Test Status
Pregnant women of stage 3 and age 18 years and older attending delivery room.	Life style Status
Life expectancy of at least 6 months and residents of Boston.	Treatment Status
Live vaccine within 4 weeks prior to therapy or potential need for a live vaccine.	
Serum ALAT or serum ASAT > 5 x upper limit of normal (ULN) at screening.	
Current alcohol abuse or drug addiction that in the opinion of the investigator	

Dataset Annotation Heuristics:

- PyMeshSim annotations for collecting the disease names
- We also take into account the DrugBank Vocabulary to collect the instances of Drugs and chemicals for determining the drugs related to the aspect of “Treatment”.
- The Lab-Test Results are mostly generated based on rules like pattern identification of “upper limit of normal”, “greater than or equal to” etc.
- CLiNER Tagger for determining the aspects like “Health-Status”, “Treatment” and “Lab-Tests”. Although CLiNER tagger tags the health, treatment and lab-test spans almost correctly, the manual experts verified that some of the spans are not correctly tagged by the tagger based on the some requirements such as:
 - “Life expectancy greater than 50 years” must be tagged as “health status”, which was incorrectly tagged as O.
 - The sentence “Have an implantable device and not having stage III cancer” was not correctly tagged by the tagger. “Have an implantable device” must belong to the Treatment Class.

Challenges:

We also investigate the effect of bag-of-words pattern-based heuristics in correctly identifying the aspects from the eligibility criteria of the clinical trials. Some of the rules have confidence scores less than 100%. Those rules are enumerated as below:

1. “history of” : “history of back pain” denotes “Health” aspect whereas “history of plastic surgery” denotes “Treatment” aspect, “history of daily exercise” speaks about life-style.
2. “greater than” , “less than” are also ambiguous in nature. “greater than 100 ml” denotes “lab-test” aspect, whereas “age greater than 50” denotes “demography” aspect.

In this way, we finally arrive at a conclusion that, in addition to simple pattern-based heuristics, semantic information is equally helpful to extract out the aspects with higher accuracy. Semantic Knowledge in terms of vector embeddings prove to be useful in our experiments.

Implementation and Hyperparameter Details:

For many sequence labeling tasks it is beneficial to have access to both past (left) and future(right) contexts. However, the LSTM’s hidden state takes information only from past, knowing nothing about the future. An elegant solution previous work is bi-directional LSTM (BiLSTM). The basic idea is to present each sequence forwards and backwards to two separate hidden states to capture past and future information, respectively. Then the two hidden states are concatenated to form the final output. For sequence labeling (or general structured prediction) tasks, it is beneficial to consider the correlations between labels in neighborhoods and jointly decode the best chain of labels for a given input sentence. Therefore, we model label sequence jointly using a conditional randomfield (CRF), instead of decoding each label independently. Finally, we construct our neural network model by feeding the output vectors of Bi-LSTM into a CRF layer. For each word, the character-level representation is computed by the CNN in with character embeddings as inputs. Then the character-level representation vector is concatenated with the word embedding vector to feed into the Bi-LSTM network. Finally, the output vectors of Bi-LSTM are fed to the CRF layer to jointly decode the best label sequence. Dropout layers are applied on both the input and output vectors of Bi-LSTM. It is to note that the character embeddings are initialized with uniform samples with dimension 20.

To mitigate overfitting, we apply the dropout to regularize our model. We also apply dropout on character embeddings before inputting to CNN, and on both the input and out-put vectors of BLSTM. We fix dropout rate at 0.5 for all dropout layers through all the experiments. We obtain significant improvements on model performance after using dropout.