

FDP Cost Reimbursement Subaward

Federal Awarding Agency: National Institutes of Health (NIH)

Pass-Through Entity (PTE):
Subrecipient:

The Regents of the University of California, San Francisco

University of Wyoming

PTE PI: Carmen Masson, PhD and Gideon St Helen, PhD

Sub PI: Lauren Gilbert, PhD, MPH

PTE Federal Award No: 2R25DA035163-11

Subaward No: 15728sc

Project Title: Mentoring Emerging Scientists for Careers in Substance Use Research

Subaward Budget Period:

Start: 07/01/2024

End: 04/30/2025

Amount Funded This Action (USD): \$ 16,482.24

Estimated Period of Performance:

Start: 07/01/2024

End: 04/30/2025

Incrementally Estimated Total (USD): \$ 16,482.24

Terms and Conditions

1. PTE hereby awards a cost reimbursable subaward, (as determined by 2 CFR 200.331), to Subrecipient. The Statement of Work and budget for this Subaward are as shown in Attachment 5. In its performance of Subaward work, Subrecipient shall be an independent entity and not an employee or agent of PTE.
2. Subrecipient shall submit invoices not more often than monthly and not less frequently than quarterly for allowable costs incurred. Upon the receipt of proper invoices, the PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), breakdown by major cost category, Subaward number, and certification, as required in 2 CFR 200.415(a). Invoices that do not reference PTE Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt or payments shall be directed to the party's Financial Contact, shown in Attachment 3A.
3. A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to PTE's Financial Contact, as shown in Attachment 3A, not later than 60 days after the final Budget Period end date. The final statement of costs shall constitute Subrecipient's final financial report.
4. All payments shall be considered provisional and are subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subrecipient.
5. Matters concerning the technical performance of this Subaward shall be directed to the appropriate party's Principal Investigator as shown in Attachments 3A and 3B. Technical reports are required as shown in Attachment 4.
6. Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Subaward, and any changes requiring prior approval, shall be directed to the PTE's Authorized Official Contact and the Subrecipient's Authorized Official Contact shown in Attachments 3A and 3B. Any such change made to this Subaward requires the written approval of each party's Authorized Official as shown in Attachments 3A and 3B.
7. The PTE may issue non-substantive changes to the Budget Period(s) and Budget Unilaterally. Unilateral modification shall be considered valid 14 days after receipt unless otherwise indicated by Subrecipient when sent to Subrecipient's Authorized Official Contact, as shown in Attachment 3B.
8. Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or directors, to the extent allowed by law.
9. Either party may terminate this Subaward with 30 days written notice. Notwithstanding, if the Awarding Agency terminates the Federal Award, PTE will terminate in accordance with Awarding Agency requirements. PTE notice shall be directed to the Authorized Official Contact, and Subrecipient notice shall be directed to the Authorized Official Contact as shown in Attachments 3A and 3B. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix IX, as applicable.
10. By signing this Subaward, including the attachments hereto which are hereby incorporated by reference, Subrecipient certifies that it will perform the Statement of Work in accordance with the terms and conditions of this Subaward and the applicable terms of the Federal Award, including the appropriate Research Terms and Conditions ("RTCs") of the Federal Awarding Agency, as referenced in Attachment 2. The parties further agree that they intend this subaward to comply with all applicable laws, regulations, and requirements.

By an Authorized Official of the PTE:

DocuSigned by:



#6320E0C08634E2

Name: Judy Li

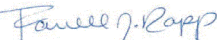
Date

1/21/2025

Title: Subcontract Officer

By an Authorized Official of the Subrecipient:

Signed by:



#5872B0A7559D13B

Name: Farrell Rapp

Date

1/13/2025

Title: Director, Research Services

Attachment 1

Certifications and Assurances

Subaward Number:

15728sc

Certification Regarding Lobbying (2 CFR 200.450)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.214 and 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.214 and 2 CFR 180.

Audit and Access to Records

Subrecipient certifies that it will provide PTE with notice of any adverse findings which impact this Subaward. Subrecipient certifies compliance with applicable provisions of 2 CFR 200.501-200.521. If Subrecipient is not required to have a Single Audit as defined by 200.501, Awarding Agency requirements, or the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and will provide access to such audits upon request. Subrecipient will provide access to records as required by parts 2 CFR 200.332 (a)(5), 200.337, and 200.338 as applicable.

Program for Enhancement of Contractor Employee Protections (41 U.S.C 4712)

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Use of Name

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment

Pursuant to 2 CFR 200.216, Subrecipient will not obligate or expend funds received under this Subaward to: (1) procure or obtain; (2) extend or renew a contract to procure or obtain; or (3) enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services (as described in Public Law 115-232, section 889) as a substantial or essential component of any system, or as a critical technology as part of any system.

Attachment 2

Federal Award Terms and Conditions

Subaward Number

15728sc

Required Data Elements

The data elements required by Uniform
Guidance are incorporated in the attached Federal Award.

Awarding Agency Institute (If Applicable)

Federal Award Issue Date FAIN Assistance Listing No.

Assistance Listing Program Title (ALPT)

Key Personnel Per NOA

This Subaward Is:
☐ Research & Development ☐ Subject to FFATA
General Terms and Conditions

By signing this Subaward, Subrecipient agrees to the following:

1. To abide by the conditions on activities and restrictions on expenditure of federal funds in appropriations acts that are applicable to this Subaward to the extent those restrictions are pertinent. This includes any recent legislation noted on the Federal Awarding Agency's website:

<http://grants.nih.gov/policy/notices.htm>

2. 2 CFR 200 and 45 CFR Part 75.

3. The Federal Awarding Agency's grants policy guidance, including addenda in effect as of the beginning date of the period of performance or as amended found at:

<http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>

4. Applicable Research Terms and Conditions, including any Federal Awarding Agency's Specific Requirements found at:

<https://www.nsf.gov/awards/managing/rtc.jsp>

except for the following :

- a. No-cost extensions require the written approval of the PTE. Any requests for a no-cost extension shall be directed to the Administrative Contact shown in Attachment 3A, not less than 30 days prior to the desired effective date of the requested change.
- b. Any payment mechanisms and financial reporting requirements described in the applicable Federal Awarding Agency Terms and Conditions and Agency-Specific Requirements are replaced with Terms and Conditions (1) through (4) of this Subaward; and
- c. Any prior approvals are to be sought from the PTE and not the Federal Awarding Agency.
- d. Title to equipment as defined in 2 CFR 200.1 that is purchased or fabricated with research funds or Subrecipient cost sharing funds, as direct costs of the project or program, shall vest in the Subrecipient subject to the conditions specified in 2 CFR 200.313.
- e. Prior approval must be sought for a change in Subrecipient PI or change in Key Personnel (defined as listed on the NOA).

5. Treatment of program income: Additive

Special Terms and Conditions:**Data Sharing and Access:**

Subrecipient agrees to comply with the Federal Awarding Agency's data sharing and/or access requirements as reflected in the NOA or the Federal Awarding Agency's standard terms and conditions as referenced in General Terms and Conditions 1-4 above.

No additional requirements
Data Rights:

Subrecipient grants to PTE the right to use data created in the performance of this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Copyrights:

Subrecipient Shall Grant to PTE an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Subrecipient grants to PTE the right to use any written progress reports and deliverables created under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its Federal Award.

Promoting Objectivity in Research (COI):

Subrecipient must designate herein which entity's Financial Conflicts of Interest policy (COI) will apply: Subrecipient

If applying its own COI policy, by execution of this Subaward, Subrecipient certifies that its policy complies with the requirements of the relevant Federal Awarding Agency as identified herein: NIH - 42 CFR Part 50 Subpart F

Subrecipient shall report any financial conflict of interest to PTE's Administrative Representative or COI contact, as designated on Attachment 3A. Any financial conflicts of interest identified shall, when applicable, subsequently be reported to Federal Awarding Agency. Such report shall be made before expenditure of funds authorized in this Subaward and within 45 days of any subsequently identified COI.

Work Involving Human or Vertebrate Animals (Select Applicable Options)

☐ No Human or Vertebrate Animals

IRB

Upon Request

☒ Human Subjects

☐ Vertebrate Animals

The PTE requires verification of IRB and/or IACUC approval be sent to the Principal Investigator as required above:

Subrecipient agrees that any non-exempt human and/or vertebrate animal research protocol conducted under this Subaward shall be reviewed and approved by the appropriate Institutional Review Board (IRB) and/or its Institutional Animal Care and Use Committee (IACUC), as applicable and that it will maintain current and duly approved research protocols for all periods of the Subaward involving human and/or vertebrate animal research. Subrecipient certifies that the appropriate IRB and/or IACUC are in full compliance with applicable state and federal laws and regulations. The Subrecipient certifies that any submitted IRB / IACUC approval represents a valid, approved protocol that is entirely consistent with the Project associated with this Subaward. In no event shall Subrecipient invoice or be reimbursed for any human or vertebrate animals related expenses incurred in a period where any applicable IRB / IACUC approval is not properly in place.

Human Subjects Data (Select One) Human subjects data will not be addressed in this agreement

This section left intentionally blank

NIH Terms and Conditions

The Clinical Trial Indicator in Section IV of the PTE's NOA is stated as: No ?

Multiple PIs (MPI)

This subaward is not subject to an MPI Leadership Plan. ?

Certificate of Confidentiality:

The Parties agree that this research funded in whole or in part by the National Institutes of Health ("NIH"), is subject to NIH Policy NOT-OD-17-109 (the "Policy") and therefore is deemed under the Policy to be issued a Certificate of Confidentiality ("Certificate") should the conditions outlined within the Policy apply. Accordingly, the subrecipients who collect or receive identifiable, sensitive information are required to adhere to the Policy and protect the privacy of individuals who are subjects of such research in accordance with the Policy and subsection 301(d) of the Public Health Service Act (the "PHS Act").

Additional Terms

The University of Wyoming does not waive its sovereign immunity or its governmental immunity by entering into this Agreement and fully retains all immunities and defenses provided by law with regard to any action based on this Agreement. Any actions or claims against the University under this Agreement must be in accordance with and are controlled by the Wyoming Governmental Claims Act, W.S. 1-39-101 et seq. (1977) as amended.

Attachment 3A
Pass-Through Entity (PTE) Contacts

Subaward Number:

15728sc

PTE Information

Entity Name: The Regents of the University of California, San Francisco

Legal Address: c/o Office of Sponsored Research, Box 0962
490 Illinois Street, 4th Floor
San Francisco, CA 94143-0962 (Use 94158 for Courier and Federal Express)Website: <http://osr.ucsf.edu/>**PTE Contacts**

Central Email: CGSubOutTeam@ucsf.edu

Principal Investigator Name: Carmen Masson, PhD and Gideon St Helen, PhD

Email: carmen.masson@ucsf.edu

Telephone Number:

Administrative Contact Name: Rejina Sincic

Email: Rejina.Sincic@ucsf.edu

Telephone Number:

COI Contact email (if different to above): coiac@ucsf.edu

Financial Contact Name: Richmond Castro

Email: richmond.castro@ucsf.edu

Telephone Number:

Email invoices? ☒ Yes ☐ No Invoice email (if different): carmen.masson@ucsf.edu; richmond.castro@ucsf.edu

Authorized Official Name: Manager, Subcontracts Team

Email: CGSubOutTeam@ucsf.edu

Telephone Number:

PI Address:

same as legal address above

Administrative Address:

same as legal address above

Invoice Address:Supply Chain Management - Accounts Payable
Attn: Subcontracts Desk
Box 0812
1855 Folsom Street, Suite 304
San Francisco, CA 94143-0812

Attachment 3B**Subrecipient Contacts**

Subaward Number:

15728sc

Subrecipient Information for [FFATA](#) reporting

Entity's UEI Name: University of Wyoming

EIN No.: 83-6000331 Institution Type: Non-Domestic (non-US) Entity

UEI: FDR5YF2K32X5 Currently registered in SAM.gov: ☒ Yes ☐ NoParent UEI: Exempt from reporting executive compensation: ☒ Yes ☐ No (if no, complete 3Bpg2)Place of Performance Address **This section for U.S. Entities:** Zip Code [Look-up](#)

Congressional District: WY-001 Zip Code+4: 820712000

Research and Economic Development Division
1000 E. University Avenue
Dept. 3355
Laramie, WY 82071-2000

Subrecipient Contacts

Central Email:

Website:

Principal Investigator Name: Lauren Gilbert, PhD, MPH

Email: lgilbe10@uwyo.edu Telephone Number: (307) 766-5285

Administrative Contact Name: Farrell Rapp

Email: fgraf@uwyo.edu Telephone Number: (307) 766-2047

Financial Contact Name: Bethany Allen

Email: bethany.allen@uwyo.edu Telephone Number: (307) 766-5702

Invoice Email: osp_ar@uwyo.edu

Authorized Official Name:

Email: Telephone Number:

Legal Address:

Same as Performance Address

Administrative Address:

Same as Performance Address

Payment Address:

University of Wyoming
Sponsored Programs
Dept. 3355
1000 E. University Avenue
Laramie, WY 82071-2000

Attachment 3B-2
Highest Compensated Officers

Subaward Number:

15728sc

Subrecipient:

Institution Name: University of Wyoming

PI Name: Lauren Gilbert, PhD, MPH

Highest Compensated Officers

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue Code of 1986.

Officer 1 Name:

Officer 1 Compensation:

Officer 2 Name:

Officer 2 Compensation:

Officer 3 Name:

Officer 3 Compensation:

Officer 4 Name:

Officer 4 Compensation:

Officer 5 Name:

Officer 5 Compensation:

Attachment 4

Reporting and Prior Approval Terms

Subaward Number:

15728sc

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3A):

Technical Reports:

- ☐ Monthly technical/progress reports will be submitted to the PTE's within days of the end of the month.
- ☐ Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's .
- ☐ Annual technical / progress reports will be submitted within days prior to the end of each budget period to the PTE's . Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.
- ☒ A Final technical/progress report will be submitted to the PTE's within days of the end of the Project Period or after termination of this award, whichever comes first.
- ☒ Technical/progress reports on the project as may be required by PTE's in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency.

Prior Approvals:

Carryover:

Carryover is restricted for this subaward by the:

Carryover instructions and requirements are as stated by the Federal Awarding Agency guidance or as shown below.

Submit carryover requests to the .

Other Reports:

- ☒ In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison and PTE's within 60 days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Federal Awarding Agency specific forms to the PTE's within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency.

A negative report is required:

- ☐ Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below.

Additional Technical and Reporting Requirements:

Attachment 5

Statement of Work, Cost Sharing, Indirects & Budget

Subaward Number:

15728sc

Statement of Work

☐ Below ☒ Attached, 1 pages

If award is FFATA eligible and SOW exceeds 4000 characters, include a Subrecipient Federal Award Project Description

Budget Information

Indirect Information	Indirect Cost Rate (IDC) Applied	8 %	Cost Sharing	No
Rate Type: Modified Total Direct Costs			If Yes, include Amount: \$	

Budget Details ☐ Below ☒ Attached, 7 pages

Budget Totals

Direct Costs	\$	15,428.00
Indirect Costs	\$	1,054.24
Total Costs	\$	16,482.24

All amounts are in United States Dollars

WORKSCOPE STATEMENT FOR
University of Wyoming
Lauren R. Gilbert, PhD, MPH

Parent Project Title: Learning for Early Careers in Addiction and Diversity (LEAD) Pilot Study Program

Multi-PI of Prime Grant to UCSF: Carmen L. Masson, PhD

PI of Subcontract: Lauren R. Gilbert, PhD, MPH

Period of Performance: July 1, 2024 through April 30, 2025

Under the prime grant awarded to The Regents of the University of California, San Francisco (UCSF), which will support Lauren Gilbert, the main purpose of this overall project is to explore the fit of culturally adapting a naloxone distribution and training program for Black communities. Data will be collected through two main specific aims. First, focus groups will be conducted with Black community leaders to learn more about the opioid crisis in Black communities, including the community history, norms, priorities, and values regarding substance use, misuse, and harm reduction efforts. The perceptions, attitudes, and knowledge regarding current and potential opioid-related harm reduction strategies will be discussed to better understand the community’s role in shaping the response to the opioid epidemic. Additionally, interviews will be conducted with community stakeholders involved in the response to the opioid epidemic. These individuals will provide insight about current harm reduction strategies, facilitators and barriers to implementing culturally centered opioid-related harm reduction strategies, and current and potential community-based partnerships to address the opioid crisis in Black communities. This data collection will continue through the first four quarters of the project. Qualitative data analysis will begin as the data collected, to guide the research in new directions that may arise. Qualitative data analysis of focus groups and interviews will be explored together. As the data analysis continues, manuscripts and conference presentations will be developed that present the findings to appropriate academic communities. Dissemination of findings to the communities contributed to and would benefit from the findings will also be developed. Community facing presentations and materials could include community group presentations, infographics, and public awareness materials related to the findings. Finally, this grant will support Dr. Gilbert in preparing and submitting an external grant application

Work to be conducted	Deliverables	Timeline
IRB Approvals and Trainings	UWyo IRB approval obtained Necessary trainings completed	Q1
Aim 1: Focus groups with a total of 30 participants	6 Focus groups conducted	Q1-Q2
Aim 2: Interviews with Key informants	20 interviews conducted	Q1-Q2
Analysis of qualitative data from focus groups and interviews	Qualitative data analysis	Q1-Q2
Dissemination	<ul style="list-style-type: none">Manuscript development and publicationCommunity-facing presentations and materials	Q2-Q3
External Grant preparation and submission	<ul style="list-style-type: none">Identify and submit application for external funding	Q3

RESEARCH & RELATED BUDGET - Budget Period 1

OMB Number: 4040-0001
Expiration Date: 11/30/2025

UEI:

FDR5YF2K32X5

Enter name of Organization:

University of Wyoming

Budget Type:

☐ Project

☒ Subaward/Consortium

Budget Period: 1

Start Date:

07/01/2024

End Date:

04/30/2025

A. Senior/Key Person

Prefix	First	Middle	Last	Suffix	Base Salary (\$)	Months			Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
						Cal.	Acad.	Sum.			
	Lauren		Gilbert						0.00	0.00	0.00
Project Role: <div>PD/PI</div>											

Additional Senior Key Persons:

Add Attachment

Delete Attachment

View Attachment

Total Funds requested for all Senior Key Persons in the attached file

Total Senior/Key Person

0.00

B. Other Personnel

Number of Personnel	Project Role	Months			Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
		Cal.	Acad.	Sum.			
	Post Doctoral Associates						
	Graduate Students						
	Undergraduate Students						
	Secretarial/Clerical						
	Total Number Other Personnel				Total Other Personnel		
Total Salary, Wages and Fringe Benefits (A+B)							0.00

C. Equipment Description

List items and dollar amount for each item exceeding \$5,000

Equipment item	Funds Requested (\$)

Additional Equipment:

Add Attachment

Delete Attachment

View Attachment

Total funds requested for all equipment listed in the attached file	
Total Equipment	

D. Travel

	Funds Requested (\$)
1. Domestic Travel Costs (Incl. Canada, Mexico and U.S. Possessions)	3,264.00
2. Foreign Travel Costs	
Total Travel Cost	3,264.00

E. Participant/Trainee Support Costs

	Funds Requested (\$)
1. Tuition/Fees/Health Insurance	
2. Stipends	
3. Travel	
4. Subsistence	
5. Other	
Number of Participants/Trainees	Total Participant/Trainee Support Costs

F. Other Direct Costs		Funds Requested (\$)
1.	Materials and Supplies	3,170.00
2.	Publication Costs	3,000.00
3.	Consultant Services	
4.	ADP/Computer Services	
5.	Subawards/Consortium/Contractual Costs	
6.	Equipment or Facility Rental/User Fees	
7.	Alterations and Renovations	
8.	Participant Costs	2,250.00
9.	Professional Services	3,744.00
10.		
11.		
12.		
13.		
14.		
15.		
16.		
17.		
Total Other Direct Costs		12,164.00

G. Direct Costs	Funds Requested (\$)
Total Direct Costs (A thru F)	15,428.00

H. Indirect Costs			
Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	Funds Requested (\$)
mtdc	8.00	15,428.00	1,054.24
Total Indirect Costs			1,054.24

Cognizant Federal Agency
(Agency Name, POC Name, and POC Phone Number)

I. Total Direct and Indirect Costs	Funds Requested (\$)
Total Direct and Indirect Institutional Costs (G + H)	16,482.24

J. Fee	Funds Requested (\$)

K. Total Costs and Fee	Funds Requested (\$)
Total Costs and Fee (I + J)	16,482.24

L. Budget Justification			
(Only attach one file.)	LEAD Budget Justification LRG.docx	Add Attachment	Delete Attachment
			View Attachment

RESEARCH & RELATED BUDGET - Cumulative Budget

		Totals (\$)
Section A, Senior/Key Person		0.00
Section B, Other Personnel		
Total Number Other Personnel		
Total Salary, Wages and Fringe Benefits (A+B)		0.00
Section C, Equipment		
Section D, Travel		3,264.00
1. Domestic	3,264.00	
2. Foreign		
Section E, Participant/Trainee Support Costs		
1. Tuition/Fees/Health Insurance		
2. Stipends		
3. Travel		
4. Subsistence		
5. Other		
6. Number of Participants/Trainees		
Section F, Other Direct Costs		12,164.00
1. Materials and Supplies	3,170.00	
2. Publication Costs	3,000.00	
3. Consultant Services		
4. ADP/Computer Services		
5. Subawards/Consortium/Contractual Costs		
6. Equipment or Facility Rental/User Fees		
7. Alterations and Renovations		
8. Other 1	2,250.00	
9. Other 2	3,744.00	
10. Other 3		
11. Other 4		
12. Other 5		
13. Other 6		
14. Other 7		
15. Other 8		
16. Other 9		
17. Other 10		

Docusign Envelope ID: 60E37C46-A83B-49B2-A856-E19028773945

Section G, Direct Costs (A thru F)

15,428.00

Section H, Indirect Costs

1,054.24

Section I, Total Direct and Indirect Costs (G + H)

16,482.24

Section J, Fee

--

Section K, Total Costs and Fee (I + J)

16,482.24

A. KEY PERSONNEL

None requested

EQUIPMENT

\$3170 is requested for a Apple computer which is needed for computing power and data analysis

TRAVEL

Travel to conferences requested to assist with additional recruiting of Texas and national professionals. A total of \$3203 is requested.

NatCon	Airfare	500
	Baggage Fee	75
	Hotel	800
	M&IE	288
	Registration	1240
	Taxi/Cab/Shuttle	50
	Taxi/Cab/Shuttle	47
		3000

MATERIAL AND SUPPLIES

Dissemination Efforts

\$3000 is requested for dissemination efforts of the research findings, including community facing deliverables and open access publishing costs for academic dissemination. Costs include producing/printing materials for distribution high-quality infographics and other deliverables to share with community members and stakeholders, as well as the organizations.

Focus groups

\$1,500 is requested for focus group participant incentives. For Specific Aim 1, interview participants will receive \$50 gift card for their participation. The researcher will recruit 30 participants into six focus groups, with each group having five participants.

30 participants x \$50 = \$1,500

Key Informant Interviews

\$1000 is requested for interviews with community stakeholders, and individuals directly involved with providing services for opioid crisis response. A total of 20 participants will be recruited and each will receive \$50 incentive for their time. 5 interviews have been completed, so 15 interviews still need to be conducted.

15 Participants x \$50 = \$750

Transcription Service

\$3744 requested to transcribe the audio recordings for Specific Aims 1 and 2 using an online transcription service with HIPAA compliance. Transcribing the audio files will facilitate qualitative analyses. Below are the estimates for each type of data transcription.

Interviews	2400	1 day turnaround for 20 interviews at 60 mins each
FG	1344	1 day turnaround for 6 focus groups with up to 8 ppl
	3744	

INDIRECT COSTS

8% (capped by LEAD program) = \$1221.02

Attachment 6

Notice of Award (NOA) and any additional documents



The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.



Not incorporating the NOA or any additional documentation to this Subaward.



<div>Recipient Information</div> <div>1. Recipient Name REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, THE 1855 FOLSOM ST STE 425 SAN FRANCISCO, CA 94103</div> <div>2. Congressional District of Recipient 11</div> <div>3. Payment System Identifier (ID) 1946036493A6</div> <div>4. Employer Identification Number (EIN) 946036493</div> <div>5. Data Universal Numbering System (DUNS) 094878337</div> <div>6. Recipient's Unique Entity Identifier KMH5K9V7S518</div> <div>7. Project Director or Principal Investigator Carmen L Masson, PHD (Contact) Professor carmen.masson@ucsf.edu 162-820-6397</div> <div>8. Authorized Official Rejina Sincic</div>	<div>Federal Award Information</div> <div>11. Award Number 2R25DA035163-11</div> <div>12. Unique Federal Award Identification Number (FAIN) R25DA035163</div> <div>13. Statutory Authority 42 USC 241 42 CFR 52</div> <div>14. Federal Award Project Title Mentoring Emerging Scientists for Careers in Substance Use Research</div> <div>15. Assistance Listing Number 93.279</div> <div>16. Assistance Listing Program Title Drug Abuse and Addiction Research Programs</div> <div>17. Award Action Type Competing Continuation</div> <div>18. Is the Award R&D? Yes</div> <div><div>Summary Federal Award Financial Information</div><div>19. Budget Period Start Date 07/01/2024 – End Date 04/30/2025</div><div>20. Total Amount of Federal Funds Obligated by this Action 20 a. Direct Cost Amount \$340,201 20 b. Indirect Cost Amount \$315,001 \$25,200</div><div>21. Authorized Carryover</div><div>22. Offset</div><div>23. Total Amount of Federal Funds Obligated this budget period \$340,201</div><div>24. Total Approved Cost Sharing or Matching, where applicable \$0</div><div>25. Total Federal and Non-Federal Approved this Budget Period \$340,201</div><div>26. Project Period Start Date 05/01/2014 – End Date 04/30/2029</div><div>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period \$340,201</div></div> <div>28. Authorized Treatment of Program Income Additional Costs</div> <div>29. Grants Management Officer - Signature Carol Alderson</div>
<div>30. Remarks Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.</div>	



RESEARCH EDUCATION PROJECT
Department of Health and Human Services
National Institutes of Health

Notice of Award



NATIONAL INSTITUTE ON DRUG ABUSE

SECTION I – AWARD DATA – 2R25DA035163-11**Principal Investigator(s):**

Carmen L Masson (contact), PHD
Gideon St.Helen, PHD

Award e-mailed to: cgrasteam@ucsf.edu

Dear Authorized Official:

The National Institutes of Health hereby awards a grant in the amount of \$340,201 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to The Regents of the UCSF in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute On Drug Abuse of the National Institutes of Health under Award Number R25DA035163. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please direct questions to the Federal Agency contacts.

Sincerely yours,

Carol Alderson
Grants Management Officer
NATIONAL INSTITUTE ON DRUG ABUSE

Additional information follows

Cumulative Award Calculations for this Budget Period (U.S. Dollars)

Salaries and Wages	\$145,067
Fringe Benefits	\$40,051
Personnel Costs (Subtotal)	\$185,118
Materials & Supplies	\$6,654
Travel	\$19,757
Other	\$46,488
Publication Costs	\$4,050
Participant Subsistence	\$21,600
Participant Stipends	\$14,400
Participant Travel	\$16,934
Federal Direct Costs	\$315,001
Federal F&A Costs	\$25,200
Approved Budget	\$340,201
Total Amount of Federal Funds Authorized (Federal Share)	\$340,201
TOTAL FEDERAL AWARD AMOUNT	\$340,201
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$340,201

SUMMARY TOTALS FOR ALL YEARS (for this Document Number)		
YR	THIS AWARD	CUMULATIVE TOTALS
11	\$340,201	\$340,201
12	\$340,200	\$340,200
13	\$340,200	\$340,200
14	\$340,199	\$340,199
15	\$340,243	\$340,243

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

Payment System Identifier: 1946036493A6
Document Number: RDA035163C
PMS Account Type: P (Subaccount)
Fiscal Year: 2024

IC	CAN	2024	2025	2026	2027	2028
DA	8472640	\$340,201	\$340,200	\$340,200	\$340,199	\$340,243

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: CM/LD1 / OC: 41032 / Released: 06/13/2024
Award Processed: 06/21/2024 12:21:37 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 2R25DA035163-11

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – STANDARD TERMS AND CONDITIONS – 2R25DA035163-11

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final

- progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VII Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to obtain a unique entity identifier (UEI) and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a UEI requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R25DA035163. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws requires taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS. See <https://www.hhs.gov/civil-rights/for-providers/provider-obligations/index.html> and <https://www.hhs.gov/>.

- Recipients of FFA must ensure that their programs are accessible to persons with limited English proficiency. For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals, see <https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html> and <https://www.lep.gov>.
- For information on an institution's specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>.
- HHS funded health and education programs must be administered in an environment free of sexual harassment; see <https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html>. For information about NIH's commitment to supporting a safe and respectful work environment,

who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see

<https://grants.nih.gov/grants/policy/harassment.htm>.

- For guidance on administering programs in compliance with applicable federal religious nondiscrimination laws and applicable federal conscience protection and associated anti-discrimination laws, see <https://www.hhs.gov/conscience/conscience-protections/index.html> and <https://www.hhs.gov/conscience/religious-freedom/index.html>.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – DA SPECIFIC AWARD CONDITIONS – 2R25DA035163-11

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

This award contains grant-specific restrictions. These restrictions may only be lifted by a revised Notice of Award (NoA).

PERSONNEL COSTS RESTRICTED – OTHER SUPPORT REQUIRED

Funds awarded for the salary, fringe benefits, and associated facilities and administrative costs of Dr. St. Helen, Hahn, Tolou-Shams, Porche, Satre, Cheng and Tompkins are restricted and may not be used until revised other support is reviewed and accepted by NIDA staff. The Authorized Organizational Representative must provide updated other support information that reflects no more than 12 person months' total effort for the individual(s) listed. This information must be submitted to the NIDA Grants Management Specialist and Program Official listed in eRA Commons within 15 days of the issue date of this award.

FY2024 FUNDING

The award amount for the current year is based upon IRG/Council recommendations, cost analysis, program priorities and availability of funds.

BUDGET PERIOD

Future year anniversary dates for this grant will be May 1 and the Research Performance Progress Report (RPPR) is due on March 15.

MULTIPLE PI

The following principal investigators (PIs) are associated with this project:

- Carmen Masson, University of California, San Francisco
- Gideon St. Helen, University of California, San Francisco

Dr. Masson is the contact PI for correspondence purposes. As this grant has multiple PIs, all NIH Grant policies regarding multiple PIs, including, but not limited to, all prior approval requirements, must be followed accordingly, see NIH Grants Policy Statement, section on Multiple Program Director/Principal Investigators, found at <http://grants.nih.gov/policy/nihgps/index.htm>.

NOFO REQUIREMENTS

This award is subject to the requirements detailed in PAR-21-320, entitled NIDA Research Education Program for Clinical Researchers and Clinicians (R25 Clinical Trial Not Allowed), posted on 09/01/21, which are incorporated by reference as terms and conditions of this award.

The NOFO is available here: [PAR-21-320: NIDA Research Education Program for Clinical Researchers and Clinicians \(R25 Clinical Trial Not Allowed\) \(nih.gov\)](#)

R25 AWARD TERMS

The recipient must submit a completed Statement of Appointment ([PHS Form 2271](#)) for each participant appointed for eight weeks or more. Recipients must submit the PHS 2271 data electronically using the xTrain system. An appointment or reappointment may begin any time during the budget period, but not before the budget period start date of the grant year.

Within 30 days of the end of the total support period for each participant, the recipient must submit a Termination Notice ([PHS Form 416-7](#)) via xTrain for each participant appointed full time for eight weeks or more, or the equivalent.

REMINDER FOR ADDITION OF FOREIGN INVOLVEMENT

No funds may be provided for projects involving foreign sites unless specifically indicated on a revised Notice of Award (NoA). This includes pilot studies that have foreign involvement. If pilot project core studies involve international studies, prior approval must be received by NIDA Program and Grants Management staff identified in eRA Commons.

REMINDER FOR PILOT PROJECTS INVOLVING HUMAN OR ANIMAL SUBJECTS

Under governing regulations, grant funds shall not be expended for research projects or activity involving human subjects or animals without the approval of the Institutional Review Board (IRB) or Institutional Animal Care and Use Committee (IACUC) in accordance with the approved Assurance on file with the Office of Human Research Protection (OHRP) or the Office of Laboratory Animal Welfare (OLAW), respectively. IT IS THE RECIPIENT'S RESPONSIBILITY TO ENSURE THAT ALL RESEARCH INVOLVING HUMAN SUBJECTS OR ANIMAL SUBJECTS HAVE IRB OR IACUC APPROVAL, OR NOTICE OF EXEMPTION, IN ACCORDANCE WITH THE APPROVED ASSURANCE ON FILE WITH OHRP OR OLAW.

- If pilot projects are added that involve human subjects, the recipient is required to add studies involving human subjects to the Human Subjects System (HSS) module of the eRA Commons to provide the details of this study – instructions can be found here [Adding Studies \(nih.gov\)](#), and to provide the IRB approval and certification of human subjects training to the Grants Management specialist identified on this Notice of Award.
- If pilot projects are added that involve animals, the recipient is required to provide the IACUC approval and Vertebrate Animal Section (VAS) for the research to the Grants Management specialist identified on the Notice of Award.

NIDA TERMS

In conjunction with the Acknowledgment of Federal Funding Requirement (as specified in the NIH Grants Policy Statement, Appropriation Mandates <http://grants.nih.gov/policy/nihgps/index.htm>), in order to most effectively disseminate research results, advance notice should be given to NIDA that research findings are about to be published so that we may coordinate accurate and timely release to the media. This information will be embargoed until the publication date. Please see the NIDA Special Considerations Page for guidance on coordination with

the NIDA Press Office at <https://www.drugabuse.gov/funding/special-considerations-for-nida-funding>, or contact the NIDA Press Office at media@nida.nih.gov.

Please see Special Considerations for NIDA Funding Opportunities and Awards at <https://www.drugabuse.gov/funding/special-considerations-for-nida-funding>.

SPREADSHEET SUMMARY

AWARD NUMBER: 2R25DA035163-11

INSTITUTION: The Regents of the UCSF

Budget	Year 11	Year 12	Year 13	Year 14	Year 15
Salaries and Wages	\$145,067	\$149,239	\$131,004	\$132,658	\$151,071
Fringe Benefits	\$40,051	\$41,711	\$37,116	\$37,638	\$42,207
Personnel Costs (Subtotal)	\$185,118	\$190,950	\$168,120	\$170,296	\$193,278
Materials & Supplies	\$6,654	\$2,733	\$278	\$2,222	\$3,027
Travel	\$19,757	\$7,056	\$7,056	\$2,822	\$15,523
Other	\$46,488	\$41,437	\$44,409	\$73,412	\$46,490
Publication Costs	\$4,050				\$8,100
Participant Subsistence	\$21,600	\$32,400	\$48,600	\$32,400	\$16,200
Participant Stipends	\$14,400	\$21,600	\$32,400	\$21,600	\$10,800
Participant Travel	\$16,934	\$18,824	\$14,137	\$12,247	\$21,622
TOTAL FEDERAL DC	\$315,001	\$315,000	\$315,000	\$314,999	\$315,040
TOTAL FEDERAL F&A	\$25,200	\$25,200	\$25,200	\$25,200	\$25,203
TOTAL COST	\$340,201	\$340,200	\$340,200	\$340,199	\$340,243

Facilities and Administrative Costs	Year 11	Year 12	Year 13	Year 14	Year 15
F&A Cost Rate 1	8%	8%	8%	8%	8%
F&A Cost Base 1	\$315,001	\$315,000	\$315,000	\$314,999	\$315,040
F&A Costs 1	\$25,200	\$25,200	\$25,200	\$25,200	\$25,203