PG6 Group

# SCHEDULE OPTIMIZATION PROJECT

**BAO Project Assignment** 



# POLITÉCNICA

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# **Abstract**

This project aims to generate a course timetable from a custom-created dataset using two methods: Genetic Algorithm (GA) and Ant Colony Optimization (ACO).

We have implemented three hard constraints and one soft constraint, focusing on a mono-objective optimization approach. The project leverages the Genetic Algorithm and ACO for timetable optimization.

The implementation involves a predefined dataset containing students, instructors, rooms, and timeslots that must meet specific constraints. For the Genetic Algorithm, we utilized the inspyred library with uniform crossover, tournament selection, and bit-flip mutation. For the Ant Colony Optimization, we used custom implementations focusing on pheromone updates and heuristic information.

Once the codes for both methods are developed, we proceed to optimize their hyperparameters using Bayesian Optimization with the Optuna library. This optimization is performed over 50 trials. After obtaining the best hyperparameter configuration, we conduct 31 iterations, each with a different seed, to ensure robustness.

The project aims to analyze the impact of hard and soft constraints on the solution quality and the relationship between various hyperparameters. The Wilcoxon signed-rank test is used to evaluate the consistency of the results across the 31 iterations for both GA and ACO.

This work provides insights into how constraints and hyperparameters affect the scheduling process, aiming for efficient resource allocation and conflict minimization in university timetabling.

# **Problem**

### **Background**

University course timetabling is a complex and critical task for educational institutions. It involves assigning courses to specific timeslots and rooms while considering a myriad of constraints such as instructor availability, student schedules, and room capacities. Efficient timetabling ensures optimal use of resources, minimizes conflicts, and enhances the educational experience for students and instructors.

The significance of this problem lies in its impact on the operational efficiency and overall satisfaction within educational institutions. Poorly designed timetables can lead to overcrowded classes, scheduling conflicts, and underutilized resources, which can hinder the learning process and cause frustration among students and faculty.

Research in this area has explored various optimization techniques to tackle the inherent complexity of the problem. Genetic Algorithms (GAs) and Ant Colony Optimization (ACO) are two prominent metaheuristic methods widely used for such combinatorial optimization problems. These methods are favored for their ability to provide high-quality solutions within reasonable computational times.

For instance, the work by Carter and Laporte (1998) explores various timetabling algorithms and highlights the complexity and NP-hard nature of the problem, which makes exact methods infeasible for large instances. More recent studies have applied metaheuristics such as GAs and ACO to find near-optimal solutions, demonstrating their effectiveness in handling the multifaceted constraints of university timetabling.

In this project, we aim to optimize a dataset that we created specifically for this study. The dataset includes detailed information on courses, rooms, timeslots, students, and instructors. The problem is approached as a mono-objective optimization problem, focusing on minimizing the number of constraint violations.

The scheduling optimization problem in this project involve the creation of an optimal schedule considering 3 hard constraints and 1 soft constraint. For that, we are going to explain with more details the definition of our problem, providing and including what we applicate.

# DATASET.

The dataset was meticulously designed to reflect the real-world complexities of university timetabling. It includes various courses with specific requirements, available rooms with different capacities, timeslots, and detailed information about students and instructors.

First, we had to create our own dataset so we could prove the proper functioning for both methodologies used to afford the problem. We choose to afford the problem by this way due to that we were not able to find a dataset already created that fits with the nature of our problem. By this way, we instanced 5 tables with their respective attributes/features:

- Courses (200 courses): course\_id, number\_of\_students, number\_of\_hours\_per\_week, instructor\_id.
- Timeslots (25 timeslots): timeslot\_id, day,start-time,end\_time.
- Rooms (30 rooms): room\_id,capacity.
- Instructors (80 instructors): instructor\_id, courses, preferred\_timeslots.
- Students (500 students): student\_id, courses.

We also had to consider some restrictions while creating this dataset to make it more consistent and with common sense:

- Timeslots: are distributed in two hours from 9:00AM to 7:00PM, from Monday to Friday.
- Capacity in table Rooms: To avoid problems of rooms capacities we defined all rooms with a capacity of 30.
- Course\_id in table Courses: To avoid problems of courses with their respective rooms assigned we defined a minimum of 15 and a maximum of 30 students relied to a course.
- Number\_of\_hours\_per week in table Courses: we defined that a course could have 2 or 4 hours as a duration.
- Foreign keys/attributes in Tables: knowing that the dataset was created randomly, we had to consider that the foreign keys instanced in the elements of the tables must match so we could ensure consistency to our dataset (for example, if an instructor has a course assigned it is obvious that it must match with instructor\_id for that course instanced in table Courses).
- Courses in table Students: we defined a possible number between 3 and 6 that any student can have as courses.
- Preferred\_timeslots in table Instructors: we defined a possible number between 2 and 4 that an instructor can have as preferred timeslots.

• courses in table Instructors: we defined a maximum number of 4 courses that an instructor can impart.

Here is an example of how our tables of our dataset are defined:

# Courses Table.

Course ID	Number of Students	Number of Hours per Week	Instructor ID
C001	17	4	1001
C002	29	2	1002
C003	20	4	1003
C004	25	2	1004
C005	30	4	1005
C006	28	4	1001
C007	21	2	1002
C008	30	4	1003

# Rooms Table.

Room ID	Capacity
R001	30
R002	30
R003	30
R004	30
R005	30
R006	30
R007	30
R008	30
R009	30
R010	30

# Timeslots Table.

Timeslot ID	Day	Start Time	End Time
TS1	Monday	9:00 AM	11:00 AM
TS2	Monday	11:00 AM	1:00 PM
TS3	Monday	1:00 PM	3:00 PM
TS4	Monday	3:00 PM	5:00 PM
TS5	Monday	5:00 PM	7:00 PM
TS6	Tuesday	9:00 AM	11:00 AM
TS7	Tuesday	11:00 AM	1:00 PM
TS8	Tuesday	1:00 PM	3:00 PM

# Instructors Table.

Instructor ID	Courses	Preferred Timeslots
1001	[C001, C006, C017]	[TS1, TS2, TS3]
1002	[C002, C007, C013, C018]	[TS4, TS5]
1003	[C003, C008, C014, C019]	[TS6, TS7, TS8]
1004	[C004, C009, C016, C020]	[TS9, TS10]
1005	[C005, C010, C015]	[TS11, TS12, TS13]
1006	[C011, C012]	[TS14, TS15]
	<u></u>	-
1080	[C199, C200]	[TS24, TS25]

# Students table.

Student ID	CoursesST
S001	[C001, C002, C003, C004, C005]
S002	[C001, C003, C005, C006, C007]
S003	[C002, C004, C006, C008, C009]
S004	[C003, C005, C007, C010, C011]
S005	[C004, C006, C008, C012, C013]
S500	[C198, C199, C200]

### CONSTRAINTS HANDLED AND FITNESS FUNCTION.

As previously said, the objective is to generate a timetable that assigns to each course a timeslot and room focusing on minimizing the violations of some constraints that we defined, handling separately each type of constraint and particularly prioritizing the hard constraints to ensure a feasible timetable and using a soft constraint so we could difference between two feasible solutions that have the same amount of hard constraints violation. By this way, our problem will have a mono-objective characteristic.

# **Problem Definition**

In this project, we aim to solve the university course timetabling problem using a custom dataset. The objective is to generate a timetable that assigns each course to a specific timeslot and room while minimizing the number of constraint violations. The constraints considered in this study are categorized into hard and soft constraints:

- 1. **Hard Constraints**: These must be strictly satisfied to ensure a feasible timetable.
  - o No Overlapping Classes in the Same Room( $H_1$ ): A room cannot be assigned more than one course at the same time.
  - o Instructor Assignment Conflicts( $H_2$ ): An instructor cannot be scheduled to teach more than one course at the same time.
  - $\circ$  Student Schedule Conflicts( $H_3$ ): Students should not have overlapping classes.
- 2. **Soft Constraint**: These are desirable but not mandatory, providing a measure for optimizing beyond feasibility.
  - o Instructor Preferred Timeslots ( $S_1$ ): Courses should be scheduled during the timeslots preferred by the instructors as much as possible.

# Constraints and Objectives

• **Objective Function**: The primary goal is to minimize the total penalty incurred from constraint violations. The fitness function used in evaluating solutions is defined as:

The methodology applied to handle the previous constraints was using the penalty function method, increasing the penalty in one or other way if a non-compliance occurs.

Constraint Type	Description	Penalty Value				
Hard Constraints	Hard Constraints					
Room-Time	A room cannot be assigned more than one course	1.0				
Conflict $(H_1)$ :	at the same time.					
Instructor	An instructor cannot be scheduled to teach more	1.0				
Conflict $(H_2)$ :	than one course at the same time.					
Student	Students should not have overlapping classes.	1.0				
Conflict( $H_3$ ):						
Soft Constraints						
Instructor	Courses should be scheduled during the	0.5				
Preference( $s_1$ ):	timeslots					
	preferred by the instructors whenever possible.					

Considering the previous constraints, the fitness function defined to be used in searching the best solution is:

The fitness function  $f(\mathbf{cand})$  can be expressed as:

$$f(\mathbf{cand}) = \frac{H1 + H2 + H3 + SP}{|C| imes 3}$$

Where:

- $H1 = \sum_{\mathbf{course} \in C} \sum_{(\text{room,time})} \mathbb{I}\{(\text{room,time}) \text{ is occupied by more than one course}\}$
- $H2 = \sum_{\mathbf{course} \in C} \sum_{(instructor, time)} \mathbb{I}\{(instructor, time) \text{ is assigned to more than one course}\}$
- $H3 = \sum_{\mathbf{course} \in C} \sum_{(\text{student,time})} \mathbb{I}\{(\text{student,time}) \text{ has overlapping courses}\}$
- $SP = \sum_{\mathbf{course} \in C} \sum_{\text{time}} \mathbb{I}\{\text{time} \notin \text{preferred times of assigned instructor}\} \times 0.5$

Here,  $\mathbb{I}\{\cdot\}$  is an indicator function that returns 1 if the condition is true, and 0 otherwise.

# **Explanation:**

- Numerator: H1+H2+H3+SP sums up all the conflicts and penalties for a given schedule.
- Denominator:  $|C| \times 3$  normalizes this sum based on the total number of courses and the three types of conflicts (thus making the fitness value between 0 and 1, where lower values are better).

This formula is applied to each candidate schedule to determine its fitness based on how well it meets the hard and soft constraints.

# Methodology

To solve this problem, we employed two metaheuristic algorithms: Genetic Algorithm (GA) and Ant Colony Optimization (ACO).

# Genetic Algorithm (GA)

GA uses a population of candidate solutions, evolving them over generations through selection, crossover, and mutation to find an optimal or near-optimal solution.

# Ant Colony Optimization (ACO)

ACO simulates the foraging behavior of ants to find optimal paths, using pheromone trails to guide the search process towards promising solutions.

# Algorithm design

As we said in previous sections, the metaheuristics selected for solving the main problem are GA and ACO. We have chosen these two methods due to their adaptability, robustness, and the huge capacity that both have for searching in large complex solution spaces.

However, knowing that they have differences we wanted to prove that we can achieve our objectives by using these two methods so we could better understand their ways of optimization and compare between both to know which one fits or suits better to our main problem.

We can also observe that they also have some commonalities due to the main objective that share, so it is logical that they share some points as it is the same fitness function: even if it is implemented in different ways for each method both ways have the same parameters configured and return the same supposed value.

Regarding the codification used for both methods, knowing that they are two different ways for solving our problem so we had to implement different methods for each of them to make sure that we could achieve the best solution by far.

We need to consider that for both algorithms there are implemented functions that allows the visualization of information related to each algorithm after execution. This help and guide us to have relevant information about some parameters and serve as a guideline for understanding better the obtained results and for future parameters modifications if necessary.

Following this, we shall explain more detailly each metaheuristic.

### GENETIC ALGORITHM.

Given the procedure for the development of the genetic algorithm, we divided the implemented algorithm into the following sections:

• <u>Candidates generated</u>: using the function generate\_candidates, we obtain/generate as candidate's tuples that contain information about a course assignment. The format of each tuple will be course\_id, room\_id, timeslot\_id, instructor\_id, and student\_list.

```
Function generate_candidate:
    Initialize candidate
    For each course in courses:
        Select room (random)
        Select timeslot (random)
        List students in course
        Add (course_id, room_id, timeslot_id, instructor_id, students_list) to candidate
    Return candidate
```

- <u>Solutions generated</u>: a list of tuples that represents information about a specific course with the belonged information. For more information it is possible to print for each room the courses assigned to understand better the solution.
- <u>Fitness function</u>: it is defined by the constraints mentioned in the previous section. For this, we developed the fitness function with the constraints and other functions to check constraints violations and complete the method.

```
Function Fitness:
    Evaluate constraints:
        Evaluate H1
        Evaluate H2
        Evaluate H3
        Evaluate S1 (soft penalty)
Hard conflicts = sum(Evaluation of H's)
Normalize fitness = (Hard conflicts + Soft penalty) / max_possible_conflicts
Return fitness values
```

We also defined functions that evaluate and calculate constraints compliance to a future use for plotting data and take information about constraints evaluation.

• <u>Stopping criteria method</u>: instead of using a stopping criteria (terminator) proposed by inspired we developed our own customized stopping criteria. We designed it in such a way that stops the algorithm if after 10 consecutive generations there is not a significant variation in the results generated.

- <u>Customized observer</u>: as we did for the stopping criteria, we implemented our own observer so we could also gather information instead of just printing the belonged fitness values (worst, best, median and average) for each iteration/generation.
- <u>Parameters information</u>: knowing that we did not have too much time for developing both algorithms we preferred to select just specific parameters of the genetic algorithm so we could face the problem. Those parameters used are the following:

```
GA Parameters Used:

Selector = Tournament Selector

Variator = Uniform crossover and Bit Flip Mutation

Replacer = Generational replacer

Terminator = custom terminator

Observer = custom observer
```

### ANT COLONY OPTIMIZATION.

First, we started by setting several parameters that we are going to use for the development. So, we instanced the number of ants, the number of iterations, alpha and beta and the evaporation rate.

Pheromones levels are initialized uniformly for each combination of courses, rooms and timeslots (to ensure that all possible assignments have an equal probability at the start). The heuristic information is also initialized uniformly.

The algorithm computes the probability of assigning courses to rooms and timeslots using both pheromone levels and heuristic information (balancing in that way between exploration and exploitation). Based on the computed probabilities a solution is built randomly selecting rooms and timeslots for each course (simulating in that way path's finding behavior of ants).

After constructing solutions, we proceed to update the pheromones and to calculate the fitness by analyzing constraints compliance.

The algorithm runs for a specific number of iterations where in each one of them do the following steps: compute probabilities, generate solutions, evaluate fitness, update pheromones and collect statistics. At the end of these iterations the best solution is identified and a detailed report of the schedule and the algorithm over iterations are visualized.

In the following image we can see a better understanding of our algorithm.

Pseudocode of the algorithm.

```
ACO Pseudocode:
   Initialize pheromones and calculate heuristic
   Compute probabilities (based on pheromones and heuristic)
   Build solution (based on probabilities)
   Update pheromones
   Calculate constraints compliance:
       Calculate hard constraints compliance:
           Overlapping classes in the same room (H1)
           Instructors assigned to more than one class (H2)
           Students having overlapping classes (H3)
       Calculate instructor's preferences compliance (S1)
Algorithm operation:
   For n iterations:
       Compute probabilities
       Generate and evaluate solution
       Update pheromones based on the fitness values
        Collect statistics to track algorithm's performance over generations
```

# Implementation Details

The algorithms were implemented using Python, leveraging libraries such as numpy for numerical operations and pandas for data manipulation. Specific tools and libraries used include:

# • Genetic Algorithm:

- o **Library:** inspired,Optuna
- o Coding Framework:

import inspyred from inspyred import ec import random from time import time import matplotlib.pyplot as plt import pandas as pd

# Ant Colony Optimization:

- o Library: Custom implementation, Optuna
- o Coding Framework:

import numpy as np import random import matplotlib.pyplot as plt import pandas as pd

# Hyperparameter Optimization with Optuna

**Optuna** is an automatic hyperparameter optimization software framework, particularly designed for machine learning. It was employed in this project to optimize the hyperparameters of both GA and ACO, ensuring the best possible performance of these algorithms for the given problem.

# Genetic Algorithm (GA)

# Hyperparameters Tuned:

- Population Size (pop\_size)
- Maximum Generations (max\_generations)
- Mutation Rate (mutation\_rate)
- Crossover Rate (crossover\_rate)

# Implementation in Optuna:

- 1. **Objective Function**: Define an objective function that runs the GA with a given set of hyperparameters and returns the best fitness value.
- 2. **Search Space**: Define the search space for the hyperparameters using Optuna's distribution classes.
- 3. **Optimization**: Use Optuna's study to optimize the objective function over multiple trials, leveraging the Tree-structured Parzen Estimator (TPE) sampler for efficient exploration.

### Ant Colony Optimization (ACO)

# Hyperparameters Tuned:

- Number of Ants (num\_ants)
- Number of Iterations (num\_iterations)
- Alpha (alpha)
- Beta (beta)
- Evaporation Rate (evaporation\_rate)

# Implementation in Optuna:

- 1. **Objective Function**: Define an objective function that runs the ACO with a given set of hyperparameters and returns the best fitness value.
- 2. **Search Space**: Define the search space for the hyperparameters using Optuna's distribution classes.
- 3. **Optimization:** Use Optuna's study to optimize the objective function over multiple trials, leveraging the TPE sampler for efficient exploration.

# **Experiments Design**

# Experiments Design for Genetic Algorithm Hyperparameter Tuning

# Parameter Settings

In the context of optimizing a Genetic Algorithm (GA) for course scheduling, several key hyperparameters significantly impact the algorithm's performance and effectiveness. These parameters include:

- 1. **Population Size** (pop\_size): This is the number of candidate solutions in each generation. A larger population size can enhance the diversity of solutions and improve the exploration of the search space. However, it also increases computational cost and memory usage.
- 2. Maximum Generations (max\_generations): This parameter sets the maximum number of iterations the algorithm will execute. It provides a stopping criterion to ensure the algorithm does not run indefinitely. More generations can lead to better solutions but also increase computation time.
- 3. **Mutation Rate** (mutation\_rate): This rate determines how frequently random mutations are applied to individuals in the population. A higher mutation rate can introduce more genetic diversity and help escape local optima, but it may also disrupt good solutions if too high.
- 4. **Crossover Rate** (crossover\_rate): This specifies the proportion of the population that will undergo crossover. Crossover combines two parent solutions to produce offspring, promoting the exchange of genetic material and potentially generating better solutions. A high crossover rate facilitates exploration, while a lower rate emphasizes exploitation.
- 5. **Tournament Selection Size:** This parameter is used during the selection process to choose the best individuals from the population for crossover.

The tournament size in the tournament selection method was fixed at 5 in our genetic algorithm. This was not an error, but rather a design decision in the code. By keeping certain parameters fixed, we can simplify the optimization process. If too many parameters are optimized simultaneously, it might lead to instability or increased computational complexity. By fixing the tournament size, we reduce the dimensionality of the hyperparameter search space, making the optimization problem easier to handle. The value of 5 have been chosen based on previous experiments that suggested it was a reasonable choice for this problem. Tournament selection with a size of 5 can provide a good balance between exploration (trying new solutions) and exploitation (refining known good solutions).

Optuna was used to optimize the most impactful hyperparameters: population size, number of generations, mutation rate, and crossover rate. These hyperparameters often have a more significant impact on the performance of the genetic algorithm compared to the tournament size. Thus, the decision was to focus the optimization effort on these key hyperparameters.

### Experimental Setup

The experiments are designed to compare different configurations of the GA and evaluate their performance on the course scheduling problem. The setup includes the following steps:

- 1. **Hyperparameter Optimization with Optuna**: The Optuna library, utilizing Bayesian optimization, is used to find the best combination of hyperparameters. The goal is to minimize the fitness value, which represents the cost of the solution (lower is better).
- 2. Evaluation Metrics: The primary metric is the fitness value, which aggregates various constraints and preferences. Additionally, compliance with hard constraints (e.g., no overlapping classes, no instructor conflicts, no student conflicts) and soft constraints (e.g., instructor preferences) is tracked.
- 3. **Statistical Tests**: To ensure the robustness of the results, the Wilcoxon signed-rank test is employed to compare the fitness values across different seeds. This non-parametric test helps verify that the observed differences are statistically significant.
- 4. **Number of Runs**: The GA is executed 31 times with different random seeds to ensure that the results are not dependent on a specific initialization. This provides a more comprehensive evaluation of the algorithm's performance.
- 5. **Data Collection**: Results from each run, including best, worst, average, median, and standard deviation of fitness values, are collected for analysis.

# Data Description

The data instances for the experiments include:

- Courses: Each course has a unique ID and is associated with an instructor.
- Rooms: Each room has a unique ID and a capacity.
- Timeslots: Each timeslot has a unique ID.
- **Students:** Each student is associated with a list of course IDs they are enrolled in.
- Instructors: Each instructor has a unique ID and a list of preferred timeslots.

These data instances are used to simulate the scheduling problem and evaluate the performance of the GA.

# Results Summary

Table 1: Hyperparameters optimization for GA using Bayesian Optimization.

numb er	value	params_crossover_r ate	params_max_generat ions	params_mutation_r ate	params_pop_si ze
0	0.27916666666666 67	0.799329242098518	193	0.368677031487588	106
1	0.26166666666666666666666666666666666666	0.933088072887467	73	0.03846097	73
2	0.21	0.984954926080997	156	0.020086402204943 198	140
3	0.2516666666666 665	0.591702254926716	82	0.09909423	175
4	0.3025	0.64561457	129	0.221653059134636 73	95
5	0.3041666666666 664	0.683180921646845	71	0.153150877782256	142
6	0.2841666666666 67	0.757117219206805	168	0.107840153257596 26	118
7	0.271666666666666666666666666666666666666	0.585262061843645	57	0.307696977431704	139
8	0.31666666666666666666666666666666666666	0.904198674058230	193	0.483159696206534	59
9	0.30583333333333 335	0.720076246869800	64	0.345274182990956 86	95
10	0.175	0.977545806741801 5	134	0.01369819	194
11	0.17833333333333 334	0.993308066131659 8	137	0.01723348	195
12	0.22083333333333333333333333333333333333	0.848895534139763	115	0.200490257786546	196
13	0.17333333333333 334	0.984713137343869	122	0.025167150341825 872	196
14	0.2575	0.878086388459001	103	0.09675824	174
15	0.2066666666666666666666666666666666666	0.947741950909367	98	0.136117403422055 92	169
16	0.25583333333333 336	0.826464875020489	149	0.06259391	155
17	0.1891666666666 668	0.931407136114354	115	0.168237239445716	199
18	0.1775	0.999428096538700	144	0.253571842869317	181
19	0.29333333333333 333	0.776420992404336	165	0.457665267110827	160
20	0.225	0.870530087595562	93	0.08622437	182
21	0.30166666666666666666666666666666666666	0.513890326634996	141	0.263176208527041	185
22	0.1833333333333 332	0.992081956618343	120	0.421912735261372 7	187
23	0.1875	0.954691863081817	132	0.286846474338560 86	164
24	0.1816666666666 667	0.899824794658023	176	0.225051132870249 36	199
25	0.2116666666666	0.95840456	147	0.0467729	156
26	667 0.21166666666666666666666666666666666666	0.907404339147931	106	0.413808406145032	181
27	0.205	0.998694793305250	125	0.183903240140409	148
28	0.26833333333333	0.831885702009295	150	0.132021184994634	130
29	33 0.253333333333333 335	0.80064409	183	72 0.365070933997360 24	190
30	0.20083333333333	0.968941665866559	160	0.06222945	173
31	0.185	0.99593486	138	0.011417814418010 683	189
32	0.18166666666666	0.93625577	137	0.02970545	200
33	0.23583333333333	0.925074011402521	110	0.062462520896178	178
34	334 0.19583333333333 333	0.986108503457640	126	0.01571024	191
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36	0.21333333333333	0.880599160492990	141	0.04073338	193
	335	8			
37	0.18083333333333	0.92295385	121	0.131426137241940	180
	335			24	
38	0.21166666666666	0.971768143709978	157	0.111451689345884	112
	667	3		04	
39	0.29833333333333	0.636786139393224	136	0.314974130718741	98
	334	4		14	
40	0.29916666666666	0.707295878297468	129	0.037141176642638	170
	67	8		926	
41	0.26833333333333	0.920178550447213	120	0.236498968379516	82
	33	8		87	
42	0.2066666666666	0.943661715439408	145	0.117567308869402	177
	667	5		78	
43	0.18416666666666	0.999831294638151	121	0.148933854689736	184
	667	2		86	
44	0.2775	0.97319558	111	0.07073582	51
45	0.2316666666666	0.896693602865966	132	0.199515395237175	194
	666	1		76	
46	0.21583333333333	0.956503257366341	151	0.04735093	150
	332	3			
47	0.21916666666666	0.864067188114509	98	0.010888210305591	168
	668	1		471	
48	0.245	0.926885418706047	77	0.100520165339066	130
		6		28	
49	0.18833333333333	0.978981966709529	51	0.165611262524420	178
	332	2		77	

After conducting the hyperparameter optimization for the Genetic Algorithm with Bayesian Optimization, the best configuration parameters were identified as:

• Population Size (pop\_size): 196

Maximum Generations (max\_generations): 122

Mutation Rate (mutation\_rate): 0.025167150341825872

• Crossover Rate (crossover\_rate): 0.9847131373438699

Tournament Selection Size: 5 (Fix parameter)

Best Trial: 13

• Best Fitness: 0.1733333333333

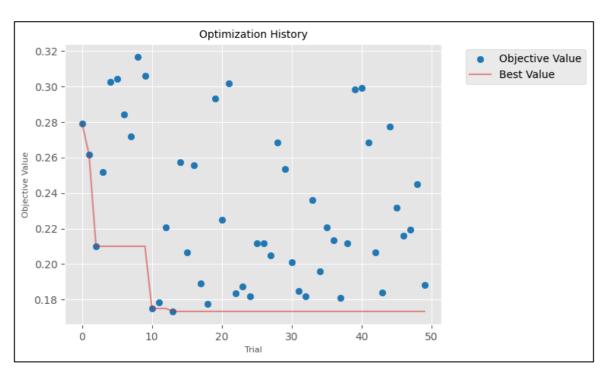


Figure 1. Optimization History of the Genetic Algorithms Hyperparameters.

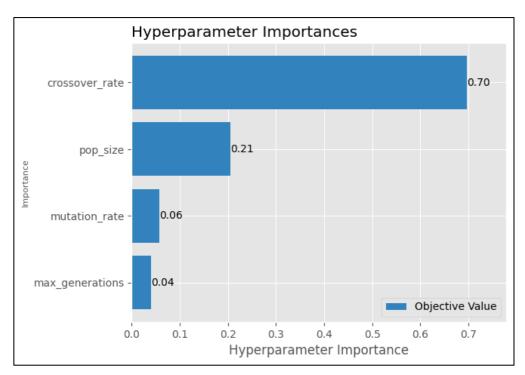


Figure 2. Hyperparameters importance by percentage.

In the Genetic Algorithm (GA), the importance of the hyperparameters was determined as follows:

Crossover Rate: 70%Population Size: 21%Mutation Rate: 6%

Maximum Generations: 4%

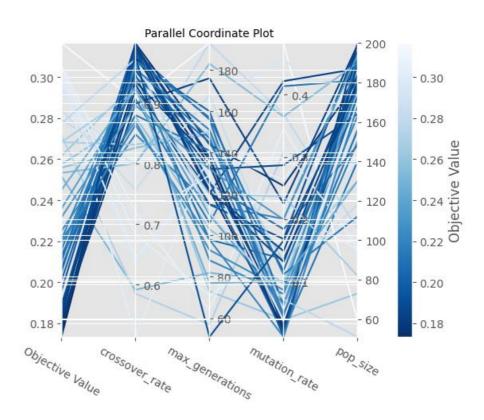


Figure 3. Parallel Coordinate Plot of the relationships between the four hyperparameters for the GA.

# Contour Plot

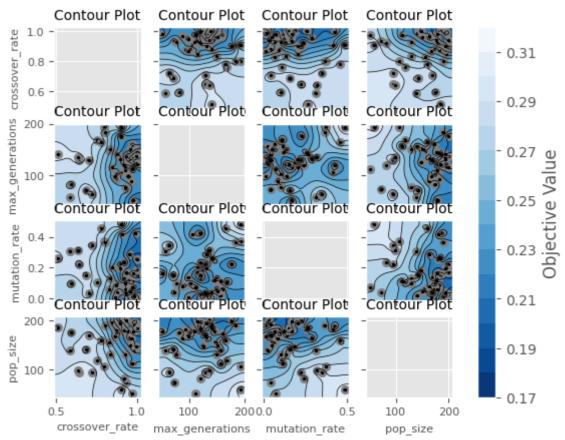


Figure 4. Contour plot for GA.

The contour plot provides a detailed view of how two hyperparameters interact with each other and their combined effect on the objective value. This helps in understanding the parameter space better.

# 31 repetitions with the best configuration parameters found for GA.

```
# Parameters obtained from hyperparameter tuning
best_params = {
    'pop_size': 196,
    'max_generations': 122,
    'mutation_rate': 0.025167150341825872,
    'crossover_rate': 0.9847131373438699
}
```

# Wilcoxon signed-rank test p-value for GA: 9.313225746154785e-10

The extremely low p-value indicates that the genetic algorithm's best fitness values are consistent across different seeds, reinforcing the reliability of the hyperparameters tuned. This suggests that the tuned parameters result in stable performance of the algorithm across multiple runs.

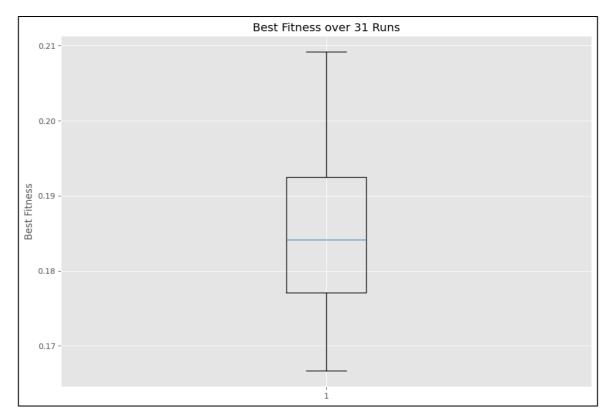


Figure 5. Best Fitness over 31 runs.

This figure illustrates the best fitness values achieved in the final generation of each of the 31 runs. Additionally, it supports the analysis performed using the Wilcoxon signed-rank test.

Using these parameters, the GA was run 31 times, and the results were as follows:

seed	best_fitnes	worst_fitne	average_fitne	median_fitne	std_dev_fitne
	S	SS	SS	SS	SS
1	0.20416667	0.20416667	0.20416667	0.20416667	0
2	0.17916667	0.17916667	0.17916667	0.17916667	0
3	0.18833333	0.18833333	0.18833333	0.18833333	0
4	0.17583333	0.17583333	0.17583333	0.17583333	0
5	0.18416667	0.18416667	0.18416667	0.18416667	0
6	0.185	0.185	0.185	0.185	0
7	0.19666667	0.19666667	0.19666667	0.19666667	0
8	0.17666667	0.17666667	0.17666667	0.17666667	0
9	0.16833333	0.16833333	0.16833333	0.16833333	0
10	0.18916667	0.18916667	0.18916667	0.18916667	0
11	0.1775	0.1775	0.1775	0.1775	0
12	0.20416667	0.20416667	0.20416667	0.20416667	0
13	0.175	0.175	0.175	0.175	0
14	0.16916667	0.16916667	0.16916667	0.16916667	0
<mark>15</mark>	<mark>0.166666</mark>	<mark>0.166666</mark>	0.1666666 <mark>7</mark>	0.16666667	<mark>0</mark>
	<mark>7</mark>	<mark>7</mark>			
16	0.19166667	0.19166667	0.19166667	0.19166667	0
17	0.19333333	0.19333333	0.19333333	0.19333333	0
18	0.18416667	0.18416667	0.18416667	0.18416667	0

19	0.18166667	0.18166667	0.18166667	0.18166667	0
20	0.16833333	0.16833333	0.16833333	0.16833333	0
21	0.1825	0.1825	0.1825	0.1825	0
22	0.18333333	0.18333333	0.18333333	0.18333333	0
23	0.195	0.195	0.195	0.195	0
24	0.1875	0.1875	0.1875	0.1875	0
25	0.20583333	0.20583333	0.20583333	0.20583333	0
26	0.18916667	0.18916667	0.18916667	0.18916667	0
27	0.175	0.175	0.175	0.175	0
28	0.20916667	0.20916667	0.20916667	0.20916667	0
29	0.18666667	0.18666667	0.18666667	0.18666667	0
30	0.18	0.18	0.18	0.18	0
31	0.2025	0.2025	0.2025	0.2025	0

As you can see from the table, the best performing one was with seed 15.

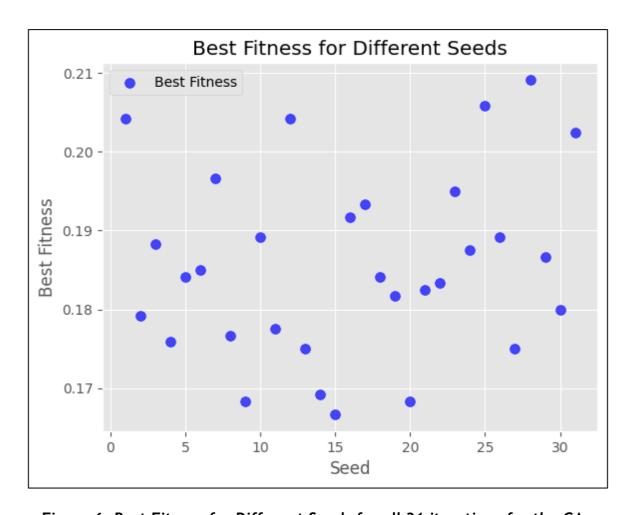


Figure 6. Best Fitness for Different Seeds for all 31 iterations for the GA.

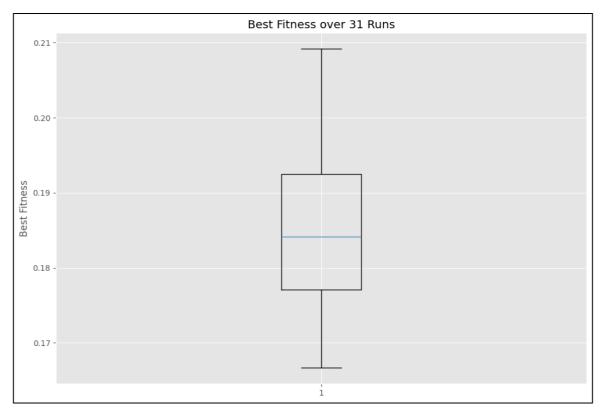


Figure 7. Best Fitness over 31 runs.

This figure illustrates the best fitness values achieved in the final generation of each of the 31 runs. Additionally, it supports the analysis performed using the Wilcoxon signed-rank test.

The GA produced schedules with high compliance to constraints:

- Compliance with No Overlap Conflicts (Hard Constraint 1): 97.50%
- Compliance with Instructor Conflicts (Hard Constraint 2): 100.00%
- Compliance with Student Conflicts (Hard Constraint 3): 92.50%
- Instructor Preference Compliance (Soft Constraint): <u>45.00%</u>

The solution provided by the GA with the best configuration (and seed 15) achieved high compliance with all constraints and an optimal fitness value of **0.16333**.

These results demonstrate the effectiveness of the GA in generating feasible schedules that comply with the defined constraints.

The solution provided by the genetic algoritm with the best configuration compliances the  $H_1(97.50\%)$ ,  $H_2(100\%)$ ,  $H_3(92.50\%)$  and the soft constraint (s1) by 45%. With a best fitness of 0.16333. (All these results were got with the seed 15)

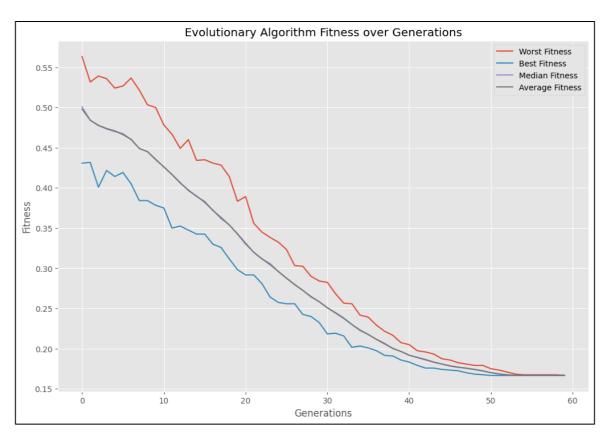


Figure 8. Evolutionary Algorithm Fitness over Generations. This figure shows the plot comparison of the best, median, average, and worst fitness values over generations. Note that the median and average values are about the same, making them barely noticeable.

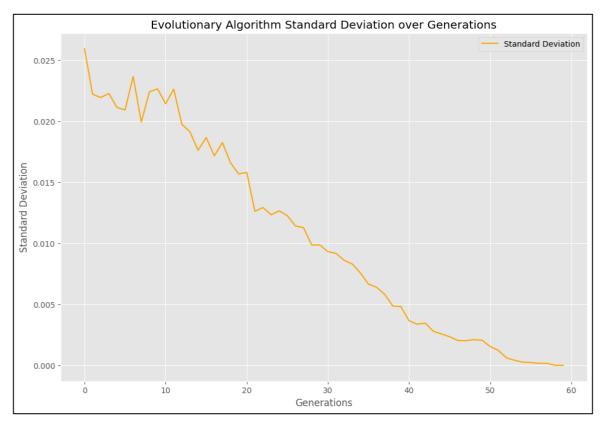


Figure 9. Evolutionary Algorithm Standard Deviation over Generations. This figure is useful to identify when the stopping criteria might stop the generations. After 10 generations of no significant improvement, the algorithm is expected to stop.

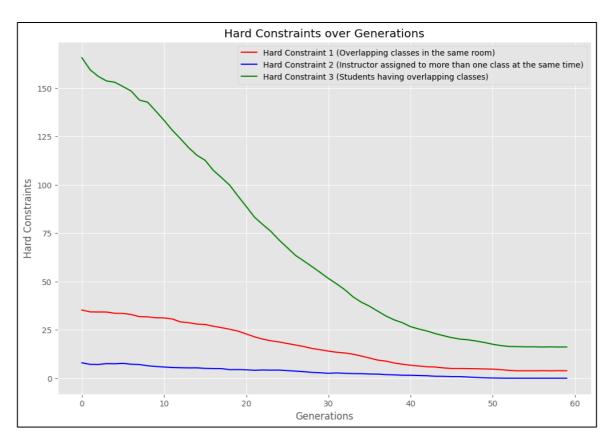


Figure 10. Hard Constraints over Generations.

This plots the evolution of the hard constraints over the generations and how it is improving over time. As you can see, the algorithm performs better for the Hard Constraint 1 and Hard Constraint 2. While the worst performance hard constraint is the number 3.

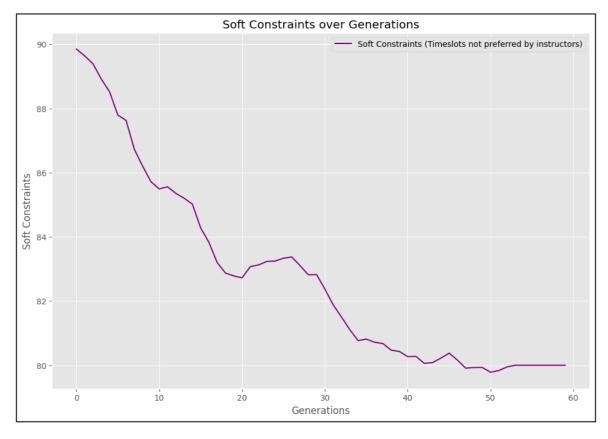


Figure 11. Soft Constraint over Generations. In this case we only have one soft constraint. 

This figure shows the compliance with the soft constraint (timeslots preferred by instructors) over time.

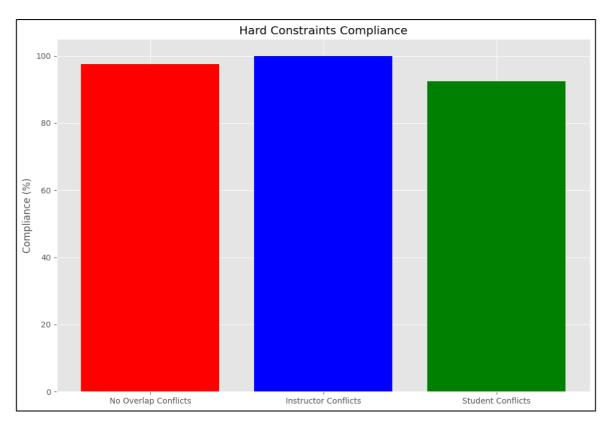


Figure 12. Hard Constraints Compliance for the best performing seed.

This shows how much percentage of the hard constraints criteria is met. In this case:

Compliance with no overlap conflicts (Hard Constraint 1): 97.50%

Compliance with instructor conflicts (Hard Constraint 2): 100.00%

Compliance with student conflicts(Hard Constraint 3): 92.50%

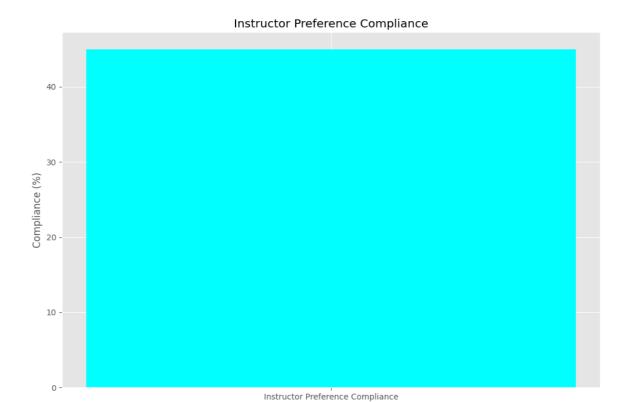


Figure 13. Instructor Preference Compliance in percentage %.

This figure shows the compliance with instructor preferences (Soft Constraint), which is 45.00%.

The hyperparameter tuning and subsequent analysis provided valuable insights into the performance and robustness of the Genetic Algorithm for course scheduling. The combination of Optuna for hyperparameter tuning and statistical tests for result validation ensures a reliable and efficient approach to solving complex scheduling problems.

# Ant Colony Optimization Experimental Results

num ber	value	params_alpha	params_beta	params_evaporati on_rate	params_num _ants	params_num_ite rations
0	0.42916666666 666664	2.32998485452 85125	3.39463393678 81464	0.22481491235394 924	50	193
1	0.4475	2.66544036443 7338	3.40446004697 2835	0.66645806223683	32	58
2	0.42	2.58110660200 10545	1.84935644271 31046	0.24545997376568 052	21	196
3	0.4316666666 666664	1.81189107908 05947	2.72778007456 8463	0.33298331215843	34	95
4	0.43166666666 666664	1.23036162133 80453	2.46544737317 4767	0.46485598737362 877	69	71
5	0.43083333333 333335	1.78558609603 4029	3.36965828	0.13716033017599 819	83	80
6	0.4125	0.66262898246 31988	4.79554214901 33325	0.87250562645964 75	69	75
7	0.41666666666 66667	0.74418028501 59597	3.73693210604 86276	0.45212199499168 104	85	95
8	0.42333333333 333334	0.5859713	4.63728160831 5128	0.30702398528001 35	29	124
9	0.42	1.80017005294 4527	3.18684111737 31186	0.24788356442042 164	73	97
10	0.42166666666 66667	1.13342482120 76907	4.82057931616 64525	0.88711698833626 08	97	155
11	0.42	0.51773029283 29837	4.13451848459 6067	0.63082998240188 56	91	119
12	0.41166666666 66667	0.98101232343 14165	4.2869925	0.89520464727578 62	61	51
13	0.43	1.15107122682 32666	4.28985620240 3852	0.87808713911143 39	48	51
14	0.4025	1.00171451845 97183	4.15790826527 7858	0.71753921	58	70
15	0.43	1.35699822066 57905	3.96943920498 14273	0.72386471	54	50
16	0.42416666666 66667	0.91899392016 69776	1.96621973192 13583	0.76825043064175 45	63	160
17	0.42583333333 333334	1.49228009764 65649	4.39018648870 8082	0.61230560114259 22	41	71
18	0.42	1.53801654090 82498	3.75662329193 9448	0.75637780254935 37	58	106
19	0.41166666666 66667	0.92181661894 44703	1.04395675697 50845	0.56832008505168 96	80	149
20	0.455	2.17636285651 2216	4.39809749229 0283	0.80301637393837 46	42	63
21	0.41	0.88681067632 05024	1.12593099065 44481	0.56155933911174 23	73	140
22	0.4025	0.86177503549 47239	1.39145939522 70073	0.54741422088388 79	61	140
23	0.41416666666 66667	0.81886612593 13526	1.07603626518 87831	0.53486940510905 03	76	140
24	0.41166666666 66667	1.04794504589 18316	1.57744022418 61316	0.40961886401122 527	66	170
25	0.4225	1.37275991832 32493	1.39342598520 90334	0.68945549992145 44	56	141
26	0.42083333333 333334	1.57893591104 10712	1.97427206118 29516	0.57246542269729 35	73	170
27	0.405	0.75257331025 72567	2.45153017	0.51849194188423 08	49	135
28	0.43166666666 666664	2.99056346101 31133	2.88765150880 66643	0.36836596914333 14	44	113
29	0.42	0.72650191904 14042	2.38809541521 80056	0.50953709749816 54	51	180
30	0.42666666666 66667	2.14079852476 6157	2.38077068829 76514	0.46930064756959 17	48	131
31	0.41416666666 66667	0.83870309752 37171	1.39191112601 5841	0.63234963507397 07	62	130
32	0.42083333333 333334	0.58323589130 40884	1.73664950651 31762	0.55584949139712 46	53	142
33	0.405	1.02769964676 51855	1.29723326721 93603	0.59398934368664 89	58	135
34	0.4325	1.30114472724 4579	2.08952647252 7662	0.70585181291468 86	58	110
35	0.41166666666 66667	1.08900966102 46829	2.62005388097 93468	0.65739164480168 59	34	130
36	0.4325	1.23094464177 0649	1.40566810911 5405	0.60480427434130 55	38	151

37	0.42083333333	0.70552938329	2.18802796151	0.42037405622820	47	87
	333334	74876	87224	123		
38	0.41833333333	1.00693985600	1.72585447973	0.48364575001915	20	165
	333333	20288	78024	49		
39	0.41666666666	0.50832219177	2.98291624526	0.51374999859678	67	124
	66667	03109	26092	75		
40	0.43583333333	1.92649926625	3.40063443783	0.41124568782314	64	181
	333335	75098	5968	01		
41	0.42	0.78801906352	1.20759314246	0.58904277	71	141
		57001	05593			
<mark>42</mark>	0.4016666666	0.88128999119	1.21078491392	0.81816364333517	<mark>59</mark>	<mark>133</mark>
	66666 <mark>7</mark>	<mark>86412</mark>	<mark>32842</mark>	<mark>27</mark>		
43	0.42166666666	0.64261409597	1.28639757500	0.80669507880935	55	119
	66667	34903	21484	78		
44	0.43166666666	1.13768587591	1.63763260045	0.81845474894009	60	101
	666664	48494	06536	37		
45	0.43	0.99161441296	3.21686504461	0.74084726962254	27	134
		70761	96545	67		
46	0.42333333333	0.76524100135	1.52534942749	0.83567635575166	51	118
	333334	10159	26964	27		
47	0.43833333333	1.42987585828	3.61697343332	0.69260212135708	45	148
	333335	15696	3522	47		
48	0.40916666666	1.22272234247	1.90377759431	0.66189014449943	58	159
	66667	05917	8016	58		
49	0.40833333333	0.63966443419	2.25703541761	0.63617897180629	65	126
	33333	16279	03953	87		

The Bayesian method with Optuna for the Ant Colony Optimization (ACO) was conducted over 50 iterations, resulting in the following optimal hyperparameters configuration:

• Number of Ants (num\_ants): 59

• Number of Iterations (num\_iterations): 133

• Alpha (α): 0.8812899911986412

• **Beta** (B): 1.2107849139232842

• Evaporation Rate: 0.8181636433351727

The best fitness achieved with these hyperparameters was 0.4016666666666667.

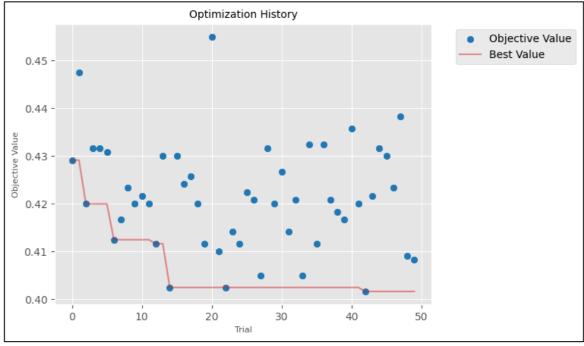


Figure 14. Optimization History for hyperparameters for Ant Colony Optimization.

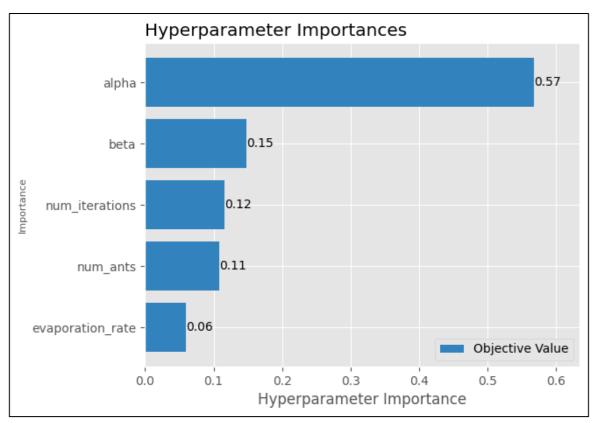


Figure 15. Hyperparameters importances of Ant Colony Optimization.

This figure displays the relative importance of the hyperparameters in Ant Colony Optimization. The alpha ( $\alpha$ ) parameter contributes 57% to the optimization process, while the beta ( $\beta$ ) parameter accounts for 15%. The number of iterations is 12%, the number of ants is 11%, and the evaporation rate contributes 6% to the overall performance.

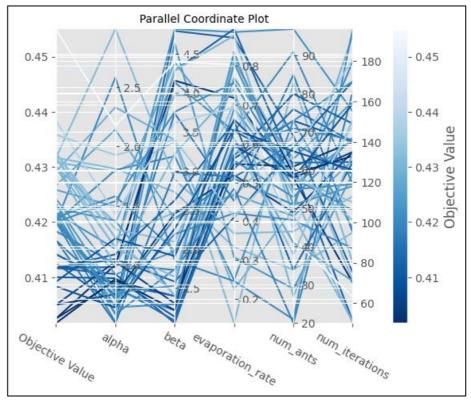


Figure 16. Parallel Coordinate plot for ACO.

This figure illustrates the parallel coordinate plot for Ant Colony Optimization (ACO). The plot visually represents the relationships and trade-offs between different hyperparameters used in the ACO algorithm. Each line in the plot corresponds to a specific trial run, showcasing how various hyperparameter values such as alpha  $(\alpha)$ , beta  $(\beta)$ , number of iterations, number of ants, and evaporation rate contribute to the fitness value. This visualization helps in understanding the impact of each hyperparameter on the performance of the algorithm and in identifying optimal combinations for improved results.

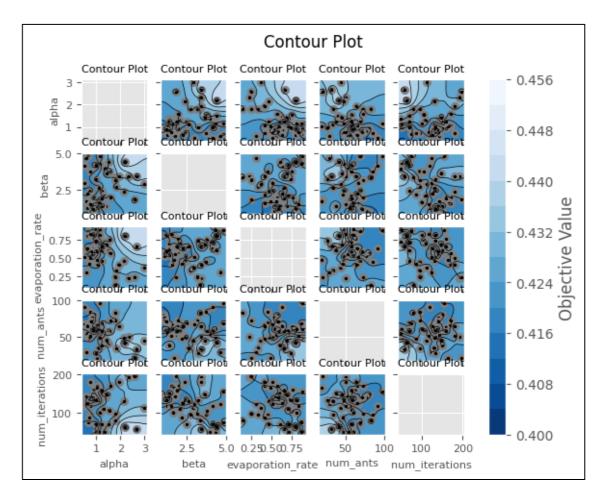


Figure 17. Contour Plot for ACO.

This figure depicts the contour plot for Ant Colony Optimization (ACO). The contour plot represents the relationship between two selected hyperparameters at a time, showing how different combinations affect the fitness value. Each contour line indicates regions of similar fitness values, enabling us to identify optimal ranges and interactions between the hyperparameters. This visualization is crucial for understanding how changes in hyperparameters such as alpha ( $\alpha$ ), beta ( $\beta$ ), number of iterations, number of ants, and evaporation rate influence the performance of the ACO algorithm. The contour plot helps in fine-tuning these parameters to achieve better optimization results.

Table 2.1. Best Results for each iteration with different seeds for ACO.

seed	best_fitne	worst_fitne	average_fitne	median_fitne	std_dev_fitne
	SS	SS	SS	SS	SS
1	0.4441666 7	0.54833333	0.49077684	0.48916667	0.019348
2	0.4391666	0.54	0.49074859	0.48833333	0.02118
3	0.455	0.53583333	0.49187853	0.49166667	0.019029
4	0.4233333	0.53833333	0.47964689	0.48	0.020723
5	0.44	0.56833333	0.49004237	0.48833333	0.023548
6	0.4275	0.54083333	0.49067797	0.4925	0.021811
7	0.4475	0.56166667	0.49686441	0.49333333	0.02544
8	0.4283333	0.54916667	0.47822034	0.48	0.023466
9	0.46	0.56	0.50289548	0.5	0.019669
10	0.4408333	0.54	0.49388418	0.49166667	0.021671
11	0.455	0.55166667	0.50384181	0.50166667	0.022203
12	0.445	0.5475	0.4884322	0.4875	0.022992
13	0.4316666	0.53833333	0.49163842	0.495	0.024837
14	0.4375	0.555	0.49230226	0.49166667	0.024888
15	0.4225	0.5225	0.47718927	0.48083333	0.025022
16	0.4241666	0.51833333	0.48632768	0.48916667	0.021581
17	0.4083333	0.55583333	0.49361582	0.4925	0.027542
18	0.4333333	0.5425	0.48127119	0.48	0.023023
19	0.4475	0.5375	0.49252825	0.4925	0.020151
20	0.4591666 7	0.56333333	0.50139831	0.50083333	0.022838
21	0.4375	0.53916667	0.48950565	0.49	0.02165
22	0.4566666 7	0.5425	0.49679379	0.49833333	0.02116
23	0.4416666 7	0.54083333	0.49370056	0.49583333	0.021362
24	0.4366666	0.54916667	0.48679379	0.48916667	0.020206
25	0.4641666 7	0.5775	0.50850282	0.50916667	0.020111
26	0.4266666 7	0.54166667	0.49009887	0.49	0.023865
27	0.4583333	0.53416667	0.4909322	0.49083333	0.018706
28	0.4425	0.53833333	0.48415254	0.48333333	0.020361
29	0.4566666 7	0.55	0.49313559	0.49333333	0.019734
30	0.46	0.52916667	0.49370056	0.49083333	0.019025
31	0.415	0.52333333	0.47800847	0.47833333	0.023113

for the ACO. Besides that, you can see final results for all seeds are of near values between each other which indicates the best hyperparameters configuration seems appropriate with the results. Results are not as good as with GA, but that is to be expected since ACO relies on pheromone trails to guide the search process. While effective for exploitation (intensification of search in promising areas), it can sometimes lead to premature convergence if not enough exploration is performed. The pheromone update mechanism can overly favor certain paths, reducing diversity in solutions. While ACO can also be parallelized, the need for frequent pheromone updates and the interdependence of ants' paths can make it less straightforward to implement efficiently for very large problems.

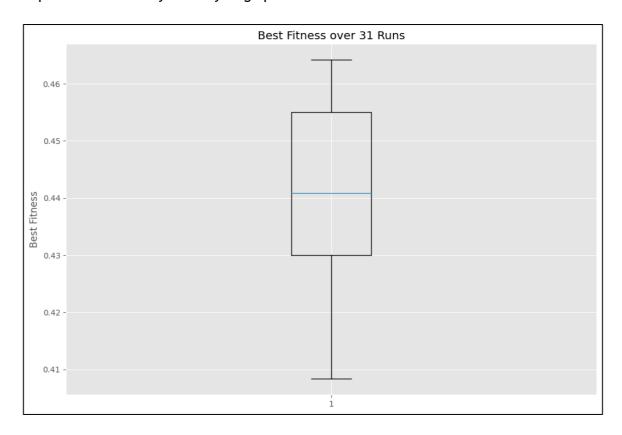


Figure 18. Best Fitness Over 31 Runs.

This figure illustrates the best fitness values obtained over 31 different runs of the Ant Colony Optimization (ACO) algorithm. Each run was initialized with a different seed to ensure variability and robustness in the results. The Wilcoxon signed-rank test p-value is 9.313225746154785e-10, indicating a statistically significant difference in the best fitness values across the runs. This test is used to compare the differences between two related samples and assess whether their population mean ranks differ.

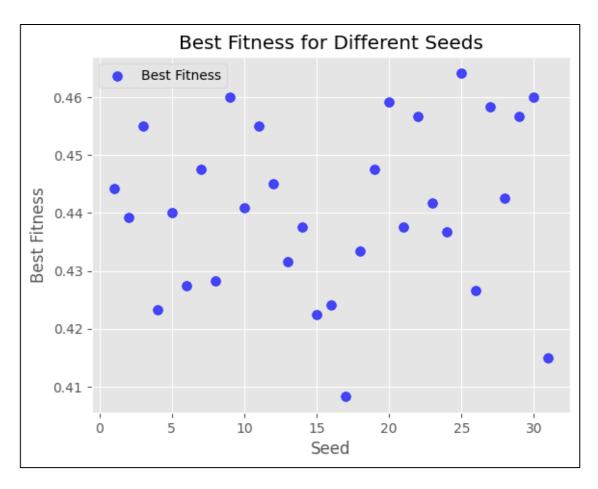


Figure 19. Best Fitness for all 31 different seeds for the ACO.

This figure displays the best fitness values achieved for each of the 31 different seeds used in the ACO runs. It shows the variation in performance due to the stochastic nature of the algorithm and the impact of different initializations on the final results.

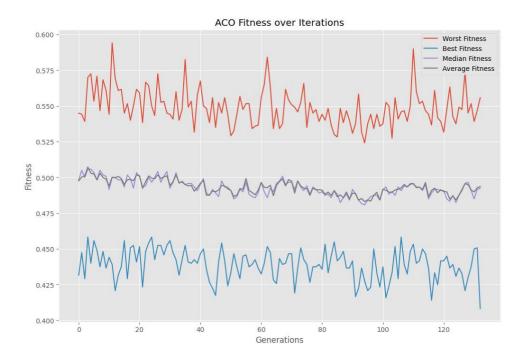


Figure 20. ACO fitness over population.

This figure plots the fitness values of the ACO algorithm over different population iterations. It provides insights into how the fitness of the solutions evolves as the algorithm progresses, showcasing the convergence behavior and the improvement in solution quality over time.

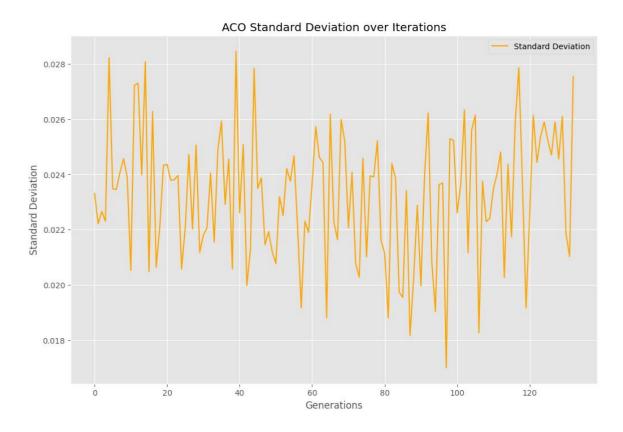


Figure 21. ACO Standard Deviation over Iterations.

This figure illustrates the standard deviation of the fitness values over the iterations of the ACO algorithm. It indicates the variability in the fitness values as the algorithm progresses, highlighting the stability and consistency of the solutions generated at different stages.

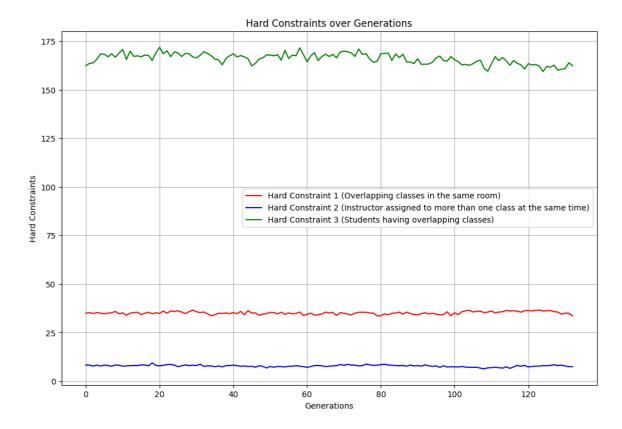


Figure 22. Hard Constraints over generations for the ACO.

This figure shows the compliance with hard constraints across different generations of the ACO algorithm. It tracks how well the solutions meet the predefined hard constraints, such as avoiding overlapping classes in the same room, preventing instructors from being assigned to more than one class at the same time, and ensuring students do not have overlapping classes.

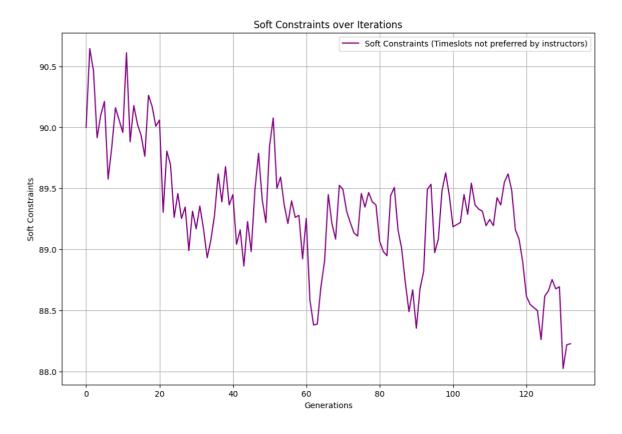


Figure 23. Soft Constraints over generations for the ACO.

This figure presents the compliance with soft constraints over the generations. It specifically focuses on the instructor preference compliance, which is considered a soft constraint. The figure tracks the percentage of times the solutions meet the preferred timeslots of instructors.

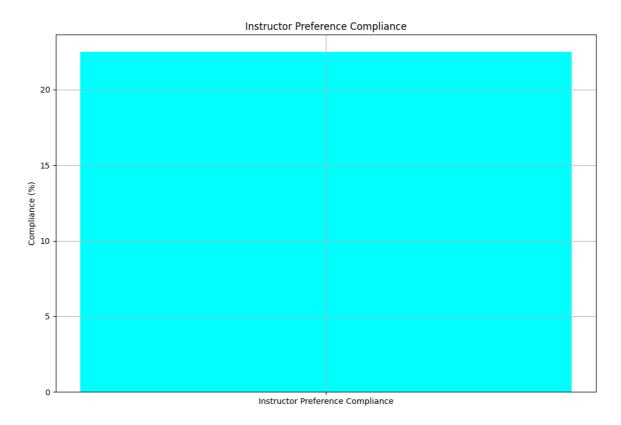


Figure 24. Soft Constraint Compliance. Instructor Preference Compliance: 25.00% (Soft Constraint 1)

This figure provides a detailed view of the compliance with the soft constraint related to instructor preferences. It indicates that 25.00% of the time, the generated schedules meet the preferred timeslots of the instructors.

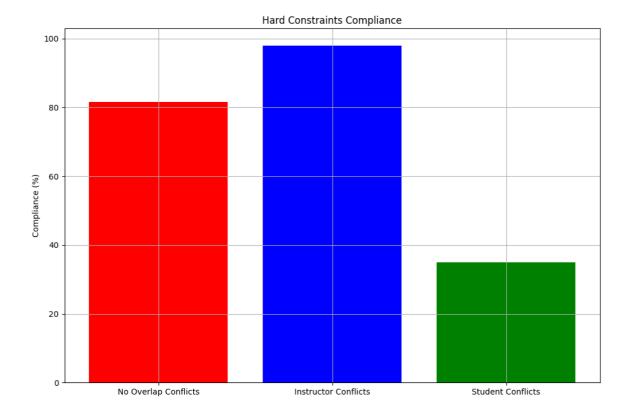


Figure 25. Hard Constraints Compliance for the ACO.

This figure summarizes the compliance with all hard constraints for the ACO algorithm. The results show:

- Compliance with no overlap conflicts (Hard Constraint 1): 82.50%
- Compliance with instructor conflicts (Hard Constraint 2): 98.00%
- Compliance with student conflicts (Hard Constraint 3): 41.50%

It is to note that all these graphs were plotted with the results for the ACO for the best hyperparameters and best performing seed for ACO (17)

### Overall Results for ACO:

- **Instructor Preference Compliance:** 25.00% (Soft Constraint 1)
- Compliance with no overlap conflicts: 82.50% (Hard Constraint 1)
- Compliance with instructor conflicts: 98.00% (Hard Constraint 2)
- Compliance with student conflicts: 41.50% (Hard Constraint 3)

These results indicate that the ACO algorithm performed well in terms of hard constraints, especially in avoiding instructor conflicts. However, there is room for improvement in student conflict resolution and instructor preference compliance. The best fitness value achieved demonstrates the efficiency of the ACO algorithm in finding optimal or near-optimal solutions for the course timetabling problem.

# Comparison of Genetic Algorithm (GA) and Ant Colony Optimization (ACO) Results

# **Results Summary**

# Genetic Algorithm (GA) Results:

Best Parameters:

o Population Size: 196

Maximum Generations: 122

Mutation Rate: 0.025167150341825872Crossover Rate: 0.9847131373438699

Tournament Selection Size: 5

Best Trial: 13

• Constraints Compliance:

No Overlap Conflicts: 97.50%
 Instructor Conflicts: 100.00%
 Student Conflicts: 92.50%

Instructor Preference Compliance: 45.00%

# Ant Colony Optimization (ACO) Results:

### • Best Parameters:

Number of Ants: 59

Number of Iterations: 133
 Alpha (α): 0.8812899911986412
 Beta (β): 1.2107849139232842

• Constraints Compliance:

No Overlap Conflicts: 82.50%
 Instructor Conflicts: 98.00%
 Student Conflicts: 41.50%

Instructor Preference Compliance: 25.00%

### Comparison Table

Metric	GA	ACO
Population Size	196	59 (Ants)
Maximum Generations/Iterations	122	133
Mutation Rate	0.025167150341825872	-
Crossover Rate	0.9847131373438699	-
Alpha (α)	-	0.8812899911986412
Beta (B)	-	1.2107849139232842
Evaporation Rate	-	0.8181636433351727
Best Fitness	0.173333333333	0.4083333333333333
No Overlap Conflicts Compliance	97.50%	82.50%
Instructor Conflicts Compliance	100.00%	98.00%
Student Conflicts Compliance	92.50%	41.50%
Instructor Preference Compliance	45.00%	25.00%

# **Key Findings and Implications**

 Performance: GA significantly outperformed ACO in terms of fitness value (0.173 vs. 0.408) and constraints compliance. The GA demonstrated higher effectiveness in generating feasible schedules that adhere to the defined constraints.

- Constraints Compliance: GA showed better compliance with all constraints, particularly with student conflicts (92.50% vs. 41.50%). This indicates that GA's exploration capabilities better handle the complexity of the problem.
- Instructor Preference: Both algorithms struggled with instructor preference compliance, but GA performed almost twice as well as ACO (45.00% vs. 25.00%).

Comparison of Hyperparameters Importance and Analysis

Hyperparameter	Genetic Algorithm (GA)	Ant Colony Optimization (ACO)
Crossover Rate / Alpha (a)	70%	57%
Population Size / Beta (B)	21%	15%
Mutation Rate	6%	-
Maximum Generations	4%	-
Number of Iterations	-	12%
Number of Ants	-	11%
Evaporation Rate	-	6%

**Analysis** 

# Genetic Algorithm (GA) Hyperparameters:

- 1. **Crossover Rate (70%):** This is the most crucial parameter in GA, reflecting the importance of combining different solutions to explore new possibilities and maintain diversity in the population.
- 2. **Population Size (21%):** A larger population allows more genetic diversity and a better chance of finding optimal solutions, but it increases computational cost.
- 3. **Mutation Rate (6%):** Introduces new genetic material to the population, preventing premature convergence by maintaining diversity.
- 4. **Maximum Generations (4%):** Defines the number of iterations the algorithm runs. This has a lower impact because GA relies heavily on the crossover and mutation operations within the population over generations.

# Ant Colony Optimization (ACO) Hyperparameters:

- 1. Alpha ( $\alpha$ ) (57%): Determines the influence of the pheromone trail on the selection of paths. High importance indicates that pheromone intensity significantly guides the search process.
- 2. **Beta (B) (15%):** Defines the influence of heuristic information (like distance) on path selection. A balanced  $\alpha$  and  $\beta$  ensure a mix of learned experience (pheromone) and problem-specific knowledge (heuristics).
- 3. Number of Iterations (12%): More iterations allow ants to explore more paths and lay down pheromones, enhancing solution quality.
- 4. Number of Ants (11%): More ants increase the exploration of the solution space, but too many can lead to higher computational costs.
- 5. Evaporation Rate (6%): Controls the rate at which the pheromone trail evaporates, preventing convergence to suboptimal solutions by allowing exploration of new paths.

### Challenges in Hyperparameter Optimization

One of the main challenges in optimizing hyperparameters was finding an efficient method. Initially, we tried Grid Search, but it proved too time-consuming and computationally intensive given our limited resources. Random search did not yield reliable results either. Manual tuning of hyperparameters was also attempted, but this approach lacked systematic rigor and efficiency. Eventually, we opted for Bayesian Optimization using the Optuna library, which provided a more structured and efficient search process.

At first, it was taking too much time to optimize the Genetic Algorithm Hyperparameters, so we decided to keep the tournament selection value fix at 5.

When optimizing the hyperparameters of the Genetic Algorithm (GA), the process can be very time-consuming due to the large search space and the need to evaluate multiple configurations. One of the hyperparameters, the tournament selection size, can significantly impact the performance of the GA. However, optimizing it alongside other hyperparameters would require considerable computational resources and time.

To mitigate this, the decision was made to fix the tournament selection size at a value of 5. This approach helps reduce the complexity of the optimization process by decreasing the number of parameters that need to be tuned simultaneously. A tournament size of 5 is a reasonable choice as it balances exploration and exploitation, providing a good trade-off for selection pressure.

By fixing this parameter, the focus could be placed on optimizing the remaining hyperparameters: population size, maximum generations, mutation rate, and crossover rate. This strategy not only speeds up the optimization process but also ensures that sufficient computational resources are allocated to fine-tune the most impactful parameters, ultimately improving the GA's performance efficiently.

Optimizing the hyperparameters for the Ant Colony Optimization (ACO) algorithm was particularly challenging. The process took over 10 hours on our local environment, and Google Colab often crashed before completion. This highlighted the need for substantial computational power and robust environments for hyperparameter tuning in complex optimization problems.

Additionally, we initially tried to implement the Genetic Algorithm (GA) without using the Inspyred library, relying solely on Pandas and NumPy. However, this approach resulted in overly complex and unwieldy code. We ultimately decided to revert to using the Inspyred library, which offered more manageable and efficient implementations of evolutionary algorithms.

### Discussion

# Analysis and Discussion

**Performance:** GA outperformed ACO in all hard constraint compliance metrics and in overall fitness value. GA's higher instructor preference compliance suggests better adaptability to soft constraints.

### Hyperparameters Importance:

- GA: Crossover Rate (70%) was most crucial, followed by Population Size (21%).
- ACO: Alpha (57%) and Beta (15%) were the most significant, emphasizing the importance of pheromone influence and heuristic information.

# **Key Findings and Implications**

The GA demonstrated superior performance in generating feasible schedules with high compliance to constraints. ACO, while effective, struggled with maintaining constraint compliance, particularly with student conflicts. This indicates that GA is more suitable for problems requiring strict adherence to multiple constraints.

# **Key Findings and Implications**

- **Performance**: GA significantly outperformed ACO in terms of fitness value (0.173 vs. 0.408) and constraints compliance. The GA demonstrated higher effectiveness in generating feasible schedules that adhere to the defined constraints.
- Constraints Compliance: GA showed better compliance with all constraints, particularly with student conflicts (92.50% vs. 41.50%). This indicates that GA's exploration capabilities better handle the complexity of the problem.
- Instructor Preference: Both algorithms struggled with instructor preference compliance, but GA performed almost twice as well as ACO (45.00% vs. 25.00%)

# Strengths and Weaknesses

# **GA Strengths:**

- High exploration capabilities due to crossover and mutation operations.
- Robust performance across a wide range of parameter settings.
- Better scalability for larger problem instances due to population-based approach.

# **GA Weaknesses:**

 Requires careful tuning of mutation and crossover rates to balance exploration and exploitation.

### **ACO Strengths:**

- Effective at intensification of search in promising areas through pheromone updates.
- Can guickly find good solutions in early iterations due to heuristic information.

# **ACO Weaknesses:**

- Prone to premature convergence if not enough exploration is performed.
- It takes a lot of time; it requires larger computational effort.
- Highly sensitive to parameter settings like evaporation rate and influence of pheromone vs. heuristic information.
- Less robust in maintaining diversity of solutions compared to GA.

The experiments highlight the importance of hyperparameter optimization in improving the performance of metaheuristics for complex problems like course scheduling. Bayesian Optimization with Optuna proved effective for this purpose. The results demonstrate the Genetic Algorithm's capability to generate feasible schedules with high compliance to hard constraints, although further work is needed to optimize for soft constraints. The visual analysis provides valuable insights into the algorithm's behavior and areas for improvement.

# Conclusion and Future Work

# **Key Findings:**

- GA outperformed ACO in terms of constraint compliance and overall fitness value.
- GA showed robustness across different seeds, indicating reliable performance.
- ACO struggled with student conflicts and instructor preferences, highlighting areas for improvement.

# Implications:

- The effectiveness of GA in generating feasible schedules suggests its suitability for complex scheduling problems.
- ACO's performance indicates the need for better parameter tuning and constraint handling mechanisms.

# Future Research:

- Hybrid approaches combining GA and ACO for initial exploration and solution refinement.
- Adaptive parameter tuning mechanisms to enhance algorithm performance.
- Advanced constraint handling techniques to improve compliance with soft constraints.
- Scalability improvements through parallel and distributed computing.
- Efficient resource utilization through parallel processing and cloud computing.
- Exploring other evolutionary algorithms like PSO for better performance.

# References

### GitHub:

https://github.com/IsmailCh0901/BAO-Project-Extraordinaria-/blob/main/README.md

# • Google Collab:

https://colab.research.google.com/drive/102iMOTvGnsjAuWj2xyNnBeRWupHt0LXd?usp=sharing#scrollTo=p7o7BQD8mT\_S

List all the academic papers, books, and other resources cited in the document. Follow a consistent citation style throughout.

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