

# Lecture 6

## The FitzHugh-Nagumo Model

### 6.1 The Nature of Excitable Cell Models

Classically, it was known that the cell membrane carries a potential across the inner and outer surfaces, hence a basic model for a cell membrane is that of a capacitor and resistor in parallel. The model equation takes the form

$$C_m \frac{dV}{dt} = -\frac{V - V_{eq}}{R} + I_{appl}, \quad (6.1)$$

where  $C_m$  is the membrane capacitance,  $R$  the resistance,  $V_{eq}$  the rest potential,  $V$  the potential across the inner and outer surfaces, and  $I_{appl}$  represents the applied current. In landmark patch clamp experiments in the early part of the 20<sup>th</sup> century, it was determined that many cell membranes are *excitable*, i.e., exhibit large excursions in potential if the applied current is sufficiently large. Examples include nerve cells and certain muscle cells, e.g., cardiac cells.

>From 1948-1952, Hodgkin and Huxley conducted patch clamp experiments on the giant squid axon, a rather large part of nerve tissue suitable for experimentation given the technology of the time. Based on their experiments, they constructed a model for the patch clamp experiment in an attempt to give mathematical explanation for the axon's excitable nature. A key part of their model assumptions was that the membrane contains channels for potassium and sodium ion flow. In effect, the  $1/R$  factor in (6.1) became potential dependent for both channels. The underlying model equation is:

$$C_m \frac{dV}{dt} = -g_K n^4 (V - V_K) - g_{Na} m^3 h (V - V_{Na}) - g_L (V - V_L) + I_{appl}. \quad (6.2)$$

Here the subscripts  $K$ ,  $Na$ , and  $L$  correspond to potassium, sodium, and leakage channels, respectively. The terms  $g_K n^4$ ,  $g_{Na} m^3 h$ , and  $g_L$  are the conductances

(reciprocal of resistances). The variables  $n$ ,  $m$ , and  $h$  are hypothesized *potential dependent gating variables* whose dynamics were assumed to follow first order kinetics. The equations take the form

$$\tau_w(V) \frac{dw}{dt} = w_\infty(V) - w, \quad w = n, m, h, \quad (6.3)$$

where  $\tau_w(V)$  and  $w_\infty(V)$  are the time constant and rate constant determined from the experimental data.

Taken together, (6.2) and (6.3) represent a four dimensional dynamical system known as the Hodgkin-Huxley model. It does provide a basis for qualitative explanation of the formation of action potentials in the giant squid axon. Moreover, the model structure forms a basis for virtually all models of excitable membrane behaviour.

## 6.2 FitzHugh Model Reduction

In the mid-1950's, FitzHugh sought to reduce the Hodgkin-Huxley model to a two variable model for which phase plane analysis applies. His general observation was that the gating variables  $n$  and  $h$  have slow kinetics relative to  $m$ . Moreover, for the parameter values specified by Hodgkin and Huxley,  $n + h$  is approximately 0.8. This led to a two variable model, called the fast-slow phase plane model, of the form

$$C_m \frac{dV}{dt} = -g_K n^4 (V - V_K) - g_{Na} m_\infty^3(V) (0.8 - n) (V - V_{Na}) - g_L (V - V_L) + I_{appl}$$

$$n_w(V) \frac{dn}{dt} = n_\infty(V) - n.$$

In effect this provides a phase space qualitative explanation of the formation and decay of the action potential. (See Keener & Sneyd<sup>1</sup>.)

A further observation due to FitzHugh was that the  $V$ -nullcline had the shape of a cubic function and the  $n$ -nullcline could be approximated by a straight line, both within the physiological range of the variables. This suggested a polynomial model reduction of the form

$$\begin{aligned} \frac{dv}{dt} &= v(v - \alpha)(1 - v) - w + I \\ \frac{dw}{dt} &= \varepsilon(v - \gamma w). \end{aligned} \quad (6.4)$$

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<sup>1</sup>J. Keener & J. Sneyd, *Mathematical Physiology*, Springer-Verlag (1998), p 133.

Here, the model has been put in dimensionless form,  $v$  represents the fast variable (potential),  $w$  represents the slow variable (sodium gating variable),  $\alpha$ ,  $\gamma$ , and  $\varepsilon$  are constants with  $0 < \alpha < 1$  and  $\varepsilon \ll 1$  (accounting for the slow kinetics of the sodium channel). In 1964, Nagumo constructed a circuit using tunnel diodes for the nonlinear element (channel) whose model equations are those of FitzHugh (6.4). Hence the equations (6.4) have become known as the FitzHugh-Nagumo model.

### 6.3 Simulations and Phase Plane

We assume the parameters are such that precisely one equilibrium point exists. Further, we translate the model to place this equilibrium at  $(0, 0)$  as follows. Let

$$f(v) = v(v - \alpha)(1 - v),$$

and let  $(v_{eq}, w_{eq})$  be the equilibrium point for (6.4). Then we can write the model equations in the form

$$\begin{aligned} \frac{dv}{dt} &= f(v + v_{eq}) - f(v_{eq}) - w \\ \frac{dw}{dt} &= \varepsilon(v - \gamma w). \end{aligned}$$

Here we will illustrate the behaviour of the FitzHugh-Nagumo model using “typical” values for the parameters. Two Hopf bifurcation phenomena will be illustrated by varying  $v_{eq}$  and by varying  $\alpha$ .

In the first case, we take values:  $\alpha = 0.139$ ,  $\varepsilon = 0.008$ ,  $\gamma = 2.54$ . The plots are shown in Figures 6.1 and 6.2 where we have set  $v_{eq} = 0.07$  and  $= 0.15$ , respectively. The phase portraits with nullclines are shown on the left. Note how the orbits are driven by the nullclines. Further, note the position of the knee of the  $v$ -nullcline in relation to the equilibrium point in the two figures. (In the limit cycle case, the knee is to the left.) Numerically, the actual bifurcation value is approximately  $v_{eq} = 0.085$ .

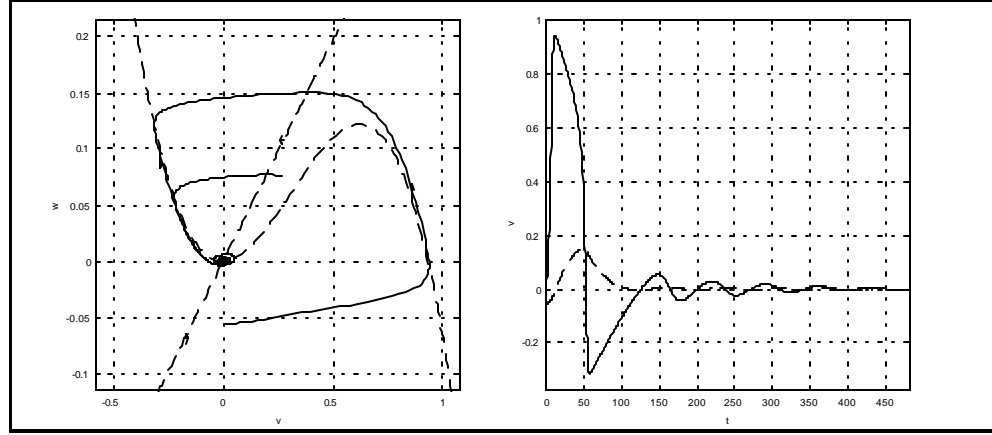


Figure 6.1: FitzHugh-Nagumo:  $v_{eq} = 0.07$

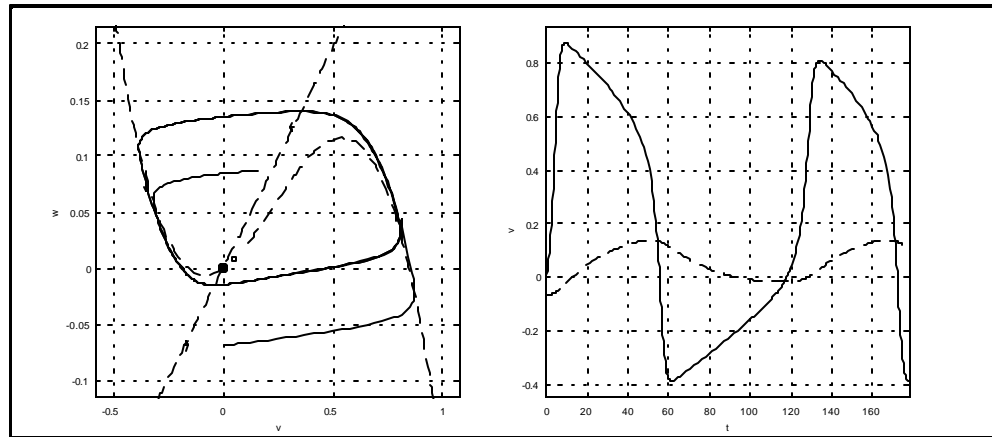


Figure 6.2: FitzHugh-Nagumo:  $v_{eq} = 0.15$

In our second case we take  $v_{eq} = 0$  and allow  $\alpha$  to vary and take on negative values. The plots are shown in Figures 6.3 and 6.4 where we have set  $\alpha = 0.139$  and  $= -0.139$ , respectively. The other parameters are  $\varepsilon = 0.008$  and  $\gamma = 1.5$ . Again we note in the phase plots how the orbits follow the nullcline fields and the location of the knee of the  $v$ -nullcline for the limit cycle.

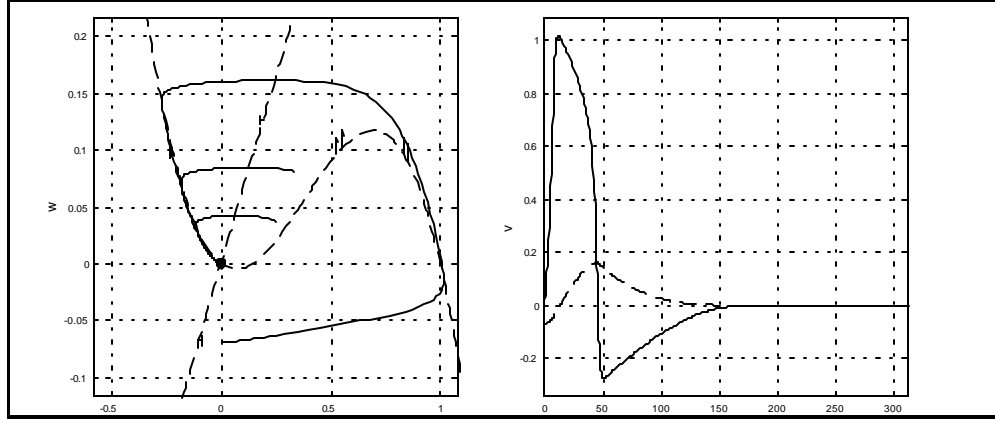


Figure 6.3: FitzHugh-Nagumo:  $\alpha = 0.139$

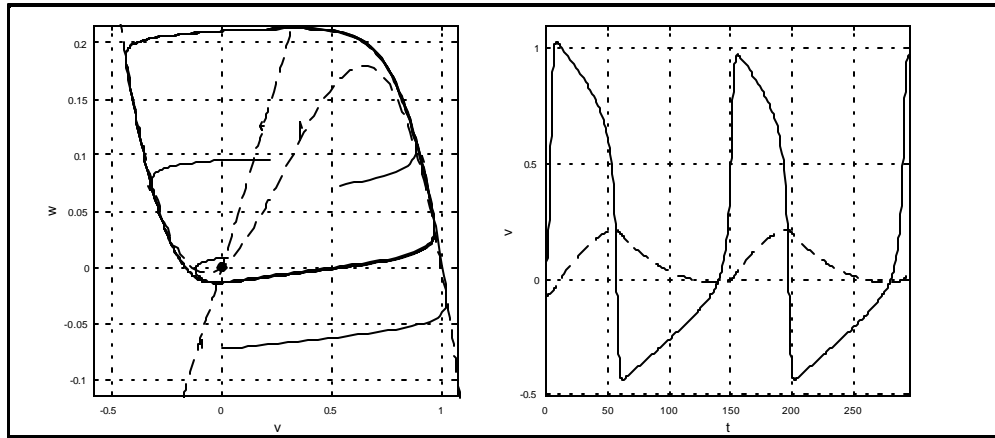


Figure 6.4: FitzHugh-Nagumo:  $\alpha = -0.139$

## 6.4 Bifurcation Analysis

Here we will present the bifurcation analysis corresponding to Figures 6.3 and 6.4. We will see that the bifurcation point is  $\alpha_0 = -\varepsilon\gamma$  with a limit cycle bifurcating for  $\alpha < \alpha_0$ .

Computing the Jacobian we have

$$J = J(0,0) = \begin{bmatrix} -\alpha & -1 \\ \varepsilon & -\varepsilon\gamma \end{bmatrix}.$$

Hence,  $Tr(J) = -(\alpha + \varepsilon\gamma)$  and  $\det(J) = \varepsilon(\alpha\gamma + 1)$ . The eigenvalues are given by

$$\lambda = \frac{-(\alpha + \varepsilon\gamma) \pm \sqrt{(\alpha + \varepsilon\gamma)^2 - 4\varepsilon(\alpha\gamma + 1)}}{2},$$

and the condition for the eigenvalues to be complex reads:

$$\varepsilon\gamma - 2\varepsilon^{1/2} < \alpha < \varepsilon\gamma + 2\varepsilon^{1/2}.$$

At  $\alpha = -\varepsilon\gamma$ , the eigenvalues are  $\lambda = \pm i\eta$ , where  $\eta = \sqrt{\varepsilon(1 - \varepsilon\gamma^2)}$ . The corresponding eigenvectors are given by:

$$\begin{bmatrix} 1 \\ \varepsilon\gamma - i\eta \end{bmatrix} \text{ and } \begin{bmatrix} 1 \\ \varepsilon\gamma + i\eta \end{bmatrix}.$$

We can transform the model equations into suitable form using the transformation

$$T = \begin{bmatrix} 1 & 0 \\ \varepsilon\gamma & \eta \end{bmatrix}, \text{ with } T^{-1} = \frac{1}{\eta} \begin{bmatrix} \eta & 0 \\ -\varepsilon\gamma & 1 \end{bmatrix}.$$

Setting

$$\begin{bmatrix} v \\ w \end{bmatrix} = T \begin{bmatrix} x \\ y \end{bmatrix},$$

we obtain

$$\begin{bmatrix} \dot{x} \\ \dot{y} \end{bmatrix} = \begin{bmatrix} \frac{\mu}{\eta} & -\eta \\ \frac{-\varepsilon\gamma\mu}{\eta} + \eta & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} (1 - (\mu + \varepsilon\gamma)x^2 - x^3) \\ \frac{-\varepsilon\gamma(1 - (\mu + \varepsilon\gamma)x^2 + \varepsilon\gamma x^3)}{\eta} \end{bmatrix}, \quad (6.5)$$

where we have set  $\mu = -(\alpha + \varepsilon\gamma)$ . This is the form appropriate to apply Theorem 5.4. We have

$$d = \left. \frac{d}{d\mu} \operatorname{Re} \lambda \right|_{\alpha = -\varepsilon\gamma} = \frac{1}{2} > 0.$$

Further, denoting the nonlinear terms in (6.5) by  $f(x, y)$  and  $g(x, y)$ , then

$$\begin{aligned} a &= \left. \frac{1}{16} f_{xxx} + \frac{1}{16\eta} (-f_{xx} g_{xx}) \right|_{(0,0,-\varepsilon\gamma)} \\ &= -\frac{3}{8} + \frac{\gamma(1 - \varepsilon\gamma)^2}{4(1 - \varepsilon\gamma^2)}. \end{aligned}$$

For the parameters given above ( $\varepsilon = 0.008$ ,  $\gamma = 1.5$ ) we have  $a = -0.02.. < 0$ . Consequently we can conclude that a limit cycle bifurcates for  $\mu > 0$ , i.e.  $\alpha < -\varepsilon\gamma$ . This is what was desired.