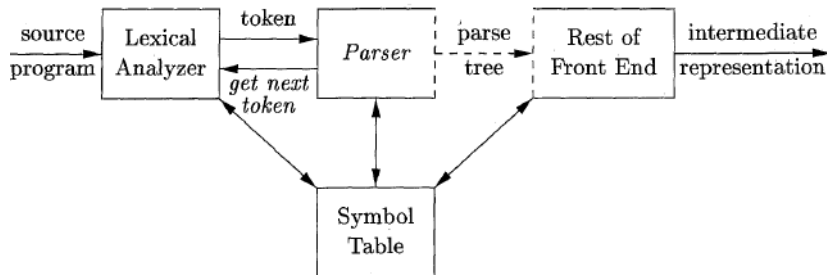


Syntax Analysis

7

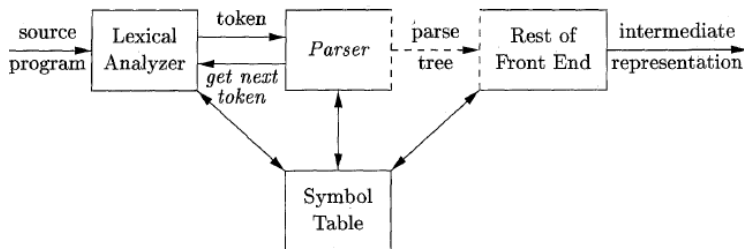
The Role of the Parser

- In our compiler model, the parser obtains a string of tokens from the lexical analyzer.
- It then verifies that the string of token names can be generated by the grammar for the source language.



Position of parser in compiler model

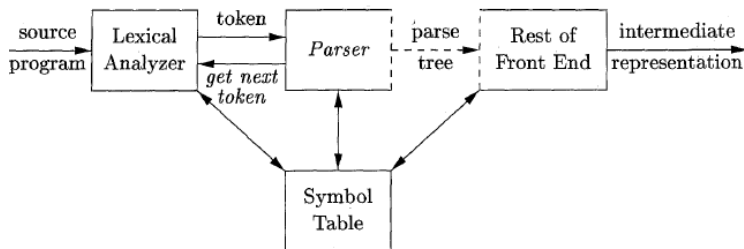
The Role of the Parser — *continued*



Position of parser in compiler model

- We expect the parser
 - to report any syntax errors in an intelligible fashion and
 - to recover from commonly occurring errors to continue processing the remainder of the program.

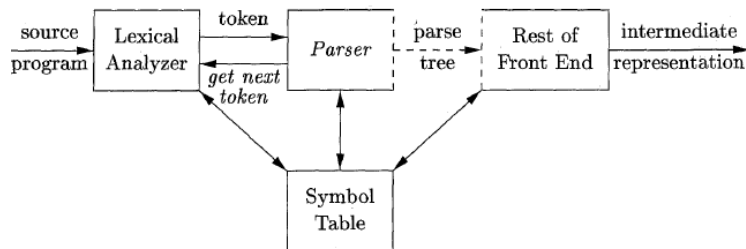
The Role of the Parser — *continued*



Position of parser in compiler model

- Conceptually, for well-formed programs, the parser constructs a parse tree and passes it to the rest of the compiler for further processing.
- In fact, the parse tree need not be constructed explicitly.
- Since checking and translation actions can be interspersed with parsing.

The Role of the Parser — *continued*



Position of parser in compiler model

- Thus, the parser and the rest of the front end could well be implemented by a single module.

The Role of the Parser — *continued*

- There are three general types of parsers for grammars:
 - universal,
 - top-down, and
 - bottom-up.
- Universal parsing methods such as the Cocke-Younger-Kasami algorithm and Earley's algorithm can parse any grammar.
- These general methods are, however, too inefficient to use in production compilers.

The Role of the Parser — *continued*

- The methods commonly used in compilers can be classified as being either top-down or bottom-up.
- As implied by their names, top-down methods build parse trees from the top (root) to the bottom (leaves).
- Bottom-up methods start from the leaves and work their way up to the root.
- In either case, the input to the parser is scanned from left to right, one symbol at a time.

The Role of the Parser — *continued*

- The most efficient top-down and bottom-up methods work only for subclasses of grammars.
- But several of these classes, particularly, LL and LR grammars, are expressive enough to describe most of the syntactic constructs in modern programming languages.
- Parsers implemented by hand often use LL grammars.
- The predictive-parsing approach works for LL grammars.
- Parsers for the larger class of LR grammars are usually constructed using automated tools.

Representative Grammars

- Some of the grammars that will be examined are presented here for ease of reference.
- Constructs that begin with keywords like **while** or **int**, are relatively easy to parse.
- The keyword guides the choice of the grammar production that must be applied to match the input.
- We therefore concentrate on expressions, which present more of challenge, because of the associativity and precedence of operators.

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Representative Grammars — *continued*

- Associativity and precedence are captured in the following grammar.
- E represents expressions consisting of terms separated by $+$ signs.
- T represents terms consisting of factors separated by $*$ signs.
- F represents factors that can be either parenthesized expressions or identifiers:

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \mathbf{id}$$

Representative Grammars — *continued*

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \mathbf{id}$$

- The above grammar belongs to the class of *LR* grammars that are suitable for bottom-up parsing.
- This grammar can be adapted to handle additional operators and additional levels of precedence.
- However, it cannot be used for top-down parsing because it is left recursive.

- The following non-left-recursive variant of the expression grammar will be used for top-down parsing:

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \mathbf{id}$$

Representative Grammars — *continued*

- The following grammar treats $+$ and $*$ alike.

$$E \rightarrow E + E \quad | \quad E * E \quad | \quad (E) \quad | \quad \mathbf{id}$$

- So it is useful for illustrating techniques for handling ambiguities during parsing.
- Here, E represents expressions of all types.
- This grammar permits more than one parse tree for expressions like $a + b * c$.

Syntax Error Handling

- If a compiler had to process only correct programs, its design and implementation would be simplified greatly.
- However, a compiler is expected to assist the programmer in locating and tracking down errors that inevitably creep into programs, despite the programmer's best efforts.
- Strikingly, few languages have been designed with error handling in mind, even though errors are so commonplace.

Syntax Error Handling — *continued*

- Our civilization would be radically different if spoken languages had the same requirements for syntactic accuracy as computer languages.
- Most programming language specifications do not describe how a compiler should respond to errors.
- Error handling is left to the compiler designer.
- Planning the error handling right from the start can both simplify the structure of a compiler and improve its handling of errors.

Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

Lexical errors include misspellings of identifiers, keywords, or operators — e.g., the use of an identifier `elipsesize` instead of `ellipsesize` — and missing quotes around text intended as a string.

Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

Syntactic errors include misplaced semicolons or extra or missing braces, that is, “{” or “}”.

As another example, in C or Java, the appearance of a case statement without an enclosing switch is a syntactic error.

However, this situation is usually allowed by the parser and caught later in the processing, as the compiler attempts to generate code.

Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

Semantic errors include type mismatches between operators and operands.

An example is a `return` statement in a Java method with result type `void`.

Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

Logical errors can be anything from incorrect reasoning on the part of the programmer to the use in a C program of the assignment operator `=` instead of the comparison operator `==`.

The program containing `=` may be well formed; however, it may not reflect the programmer's intent.

Syntax Error Handling — *continued*

- The precision of parsing methods allows syntactic errors to be detected very efficiently.
- Several parsing methods, such as the LL and LR methods, detect an error as soon as possible.
- That is, when the stream of tokens from the lexical analyzer cannot be parsed further according to the grammar for the language.
- More precisely, they have the viable-prefix property, meaning that they detect that an error has occurred as soon as they see a prefix of the input that cannot be completed to form a string in the language.

Syntax Error Handling — *continued*

- Another reason for emphasizing error recovery during parsing is that many errors appear syntactic, whatever their cause, and are exposed when parsing cannot continue.
- A few semantic errors, such as type mismatches, can also be detected efficiently.
- However, accurate detection of semantic and logical errors at compile time is in general a difficult task.

- The error handler in a parser has goals that are simple to state but challenging to realize:
 - Report the presence of errors clearly and accurately.
 - Recover from each error quickly enough to detect subsequent errors.
 - Add minimal overhead to the processing of correct programs.

Syntax Error Handling — *continued*

- Fortunately, common errors are simple ones.
- A relatively straightforward error-handling mechanism often suffices.
- How should an error handler report the presence of an error?
- At the very least, it must report the place in the source program where an error is detected.
- There is a good chance that the actual error occurred within the previous few tokens.
- A common strategy is to print the offending line with a pointer to the position at which an error is detected.

Writing a Grammar

- Grammars are capable of describing most, but not all, of the syntax of programming languages.
- For instance, the requirement that identifiers be declared before they are used, cannot be described by a context-free grammar.
- Therefore, the sequences of tokens accepted by a parser form a superset of the programming language.
- Subsequent phases of the compiler must analyze the output of the parser to ensure compliance with rules that are not checked by the parser.

Writing a Grammar

- Grammars are capable of describing most, but not all, of the syntax of programming languages.
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Elimination of Left Recursion

- A grammar is left recursive if it has a nonterminal A such that there is a derivation $A \xRightarrow{+} Aa$ for some string a .
- Top-down parsing methods cannot handle left-recursive grammars, so a transformation that eliminates left recursion is needed.
- In simple left recursion there was one production of the form $A \rightarrow A\alpha$.
- Here we study the general case.

Elimination of Left Recursion — *continued*

- **Left-recursive pair** of productions $A \rightarrow A\alpha \mid \beta$ can be replaced by the **non-left-recursive** productions

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

without changing the set of strings derivable from A .

- This rule by itself suffices in many grammars.

Example

$$A \rightarrow A\alpha \mid \beta$$

to be replaced by

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

- Grammar for arithmetic expressions,

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \mathbf{id}$$

- Eliminating the immediate left recursions we obtain,

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \mathbf{id}$$

Elimination of Left Recursion — *continued*

- No matter how many A -productions there are, we can eliminate immediate left recursion from them.
- First, we group the A -productions as,

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid A\alpha_3 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \mid \beta_3 \mid \dots \mid \beta_n$$

where no β_i , begins with an A .

- Then, we replace the A -productions by,

$$\begin{aligned} A &\rightarrow \beta_1 A' \mid \beta_2 A' \mid \beta_3 A' \mid \dots \mid \beta_n A' \\ A' &\rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \alpha_3 A' \mid \dots \mid \alpha_m A' \mid \epsilon \end{aligned}$$

- It does not eliminate left recursion involving derivations of two or more steps.

Elimination of Left Recursion — *continued*

- It does not eliminate left recursion involving derivations of two or more steps.
- Consider the grammar,

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- The nonterminal S is left-recursive because $S \Rightarrow Aa \Rightarrow Sda$, but it is not immediately left recursive.

Algorithm

Eliminating left recursion.

INPUT: Grammar G with no cycles or ϵ -productions.

OUTPUT: An equivalent grammar with no left recursion.

METHOD: Apply the algorithm to G . Note that the resulting non-left-recursive grammar may have ϵ -productions.

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by
 the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$
 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

Grammar with cycles: Grammar where derivations of the form
 $A \xRightarrow{+} A$ occurs.

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by
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 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

- In the first iteration for $i = 1$, the outer **for**-loop of lines (2) through (7) eliminates any immediate left recursion among A_1 -productions.

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by
 the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$
 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

- Any remaining A_1 productions of the form $A_1 \rightarrow A_l \alpha$ must therefore have $l > 1$.

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
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 the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$
 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

- After the $i - 1$ st iteration of the outer **for**- loop, all nonterminals A_k , where $k < i$, are “cleaned”.
- That is, any production $A_k \rightarrow A_l \alpha$, must have $l > k$.

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
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 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

- As a result, on the i th iteration, the inner loop of lines (3) through (5) progressively raises the lower limit in any production $A_i \rightarrow A_m \alpha$, until we have $m \geq i$.

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
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 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

- Then, eliminating immediate left recursion for the A_i productions at line (6) forces m to be greater than i .

Example

Input Grammar G with no cycles or ϵ -productions.

- We apply the procedure to grammar,

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- Technically, the algorithm is not guaranteed to work, because of the ϵ -production.
- But in this case the production $A \rightarrow \epsilon$ turns out to be harmless.

Example — *continued*

1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .

Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

■ We order the nonterminals S, A .

■ $A_1 = S, A_2 = A$

Example — *continued*

1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .

Left-Recursive Grammar

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Example — *continued*

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
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- 5) }
- 6) eliminate the immediate left recursion among
 the A_j -productions;
- 7) }

■ $i = 1, A_1 = S$

■ $j = 1$ to $j = 1 - 1 = 0$, the loop is *not* entered

Example — *continued*

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
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- 5) }
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 the A_i -productions;
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■ $i = 1, A_1 = S$

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Example — *continued*

6) eliminate the immediate left recursion among the A_i -productions;

Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- There is no immediate left recursion among the S -productions, so nothing happens for the case $i = 1$.
($A_1 = S$)

Example — *continued*

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
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 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_j -productions;
- 7) }

■ $i = 2, A_2 = A$

■ $j = 1$ to $j = 2 - 1 = 1$, the loop is entered

Example — *continued*

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
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- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$ where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ are all the current A_j -productions

Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

■ $i = 2, A_2 = A, j = 1, A_1 = S$

■ We need to

- put productions of the form $S \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$
- in productions of the form $A \rightarrow S\gamma$

■ Production(s) with S at the left-hand-side, $S \rightarrow Aa \mid b$

■ Productions(s) with A at the left side and right side beginning with S is (are), $A \rightarrow Sd$

Example — *continued*

- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$ where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ are all the current A_j -productions

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- We need to
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Example — *continued*

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Example — *continued*

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Example — *continued*

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Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $i = 2, A_2 = A, j = 1, A_1 = S$
- We need to
 - put productions of the form $S \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$
 - in productions of the form $A \rightarrow S\gamma$
- Production(s) with S at the left-hand-side, $S \rightarrow Aa \mid b$
- Productions(s) with A at the left side and right side beginning with S is (are), $A \rightarrow Sd$

Example — *continued*

- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$ where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ are all the current A_j -productions

Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $S \rightarrow Aa \mid b$ to be put in A , $A \rightarrow Sd$
- We substitute $S \rightarrow Aa \mid b$ in $A \rightarrow Sd$ to get the following A -productions,

$$A \rightarrow Aad \mid bd$$

Example — *continued*

- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$ where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ are all the current A_j -productions

Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $S \rightarrow Aa \mid b$ to be put in A , $A \rightarrow Sd$
- We substitute $S \rightarrow Aa \mid b$ in $A \rightarrow Sd$ to get the following A -productions,

$$A \rightarrow Aad \mid bd$$

Example — *continued*

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by
 the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$
 where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all the
 current A_j -productions
- 5) }
- 6) \Rightarrow eliminate the immediate left recursion among
 \Rightarrow the A_i -productions;
- 7) }

6) eliminate the immediate left recursion among the A_i -productions;

- All $A_i = A_2 = A$ -productions together,

$$A \rightarrow Ac \mid Aad \mid bd \mid \epsilon$$

- Eliminating the immediate left recursion among the A -productions yields the following,

$$\begin{aligned} A &\rightarrow bdA' \mid A' \\ A' &\rightarrow cA' \mid adA' \mid \epsilon \end{aligned}$$

6) eliminate the immediate left recursion among the A_i -productions;

- All $A_i = A_2 = A$ -productions together,

$$A \rightarrow Ac \mid Aad \mid bd \mid \epsilon$$

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Example — *continued*

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
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 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_i -productions;
- 7) }

i has attained the value of $n = 2$ and the loops are no more entered.

Example — *continued*

Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- Put together we get the following non-left-recursive grammar,

$$S \rightarrow Aa \mid b$$

$$A \rightarrow bdA' \mid A'$$

$$A' \rightarrow cA' \mid adA' \mid \epsilon$$

- 1) arrange the nonterminals in some order A_1, A_2, \dots, A_n .
- 2) **for** (each i from 1 to n) {
- 3) **for** (each j from 1 to $i - 1$) {
- 4) replace each production of the form $A_i \rightarrow A_j \gamma$ by
 the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$
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 current A_j -productions
- 5) }
- 6) eliminate the immediate left recursion among
 the A_j -productions;
- 7) }

Conceptual Technique Summary (AGAIN)

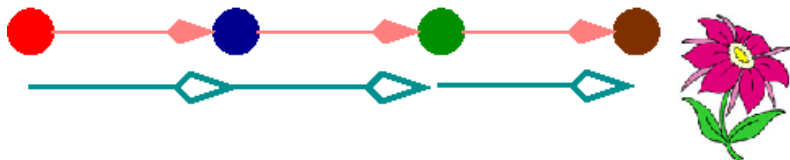
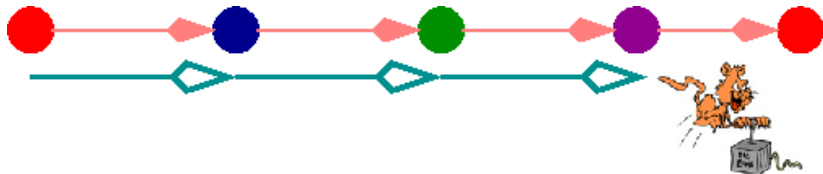
- Put some order in the nonterminals.
- Start by making first nonterminal productions left-recursion-free.
- Put the first nonterminal left-recursion-free productions into those of the second one.
- Now make the productions of second nonterminal left-recursion-free.
- Thus keep on growing the set of left-recursion-free productions.

Left Factoring

- Left factoring is a grammar transformation that is useful for producing a grammar suitable for predictive parsing.
- The basic idea is that sometimes it is not clear which of two alternative productions to use to expand a nonterminal A .
- We may be able to rewrite the A -productions to defer the decision until we have seen enough of the input to make the right choice.

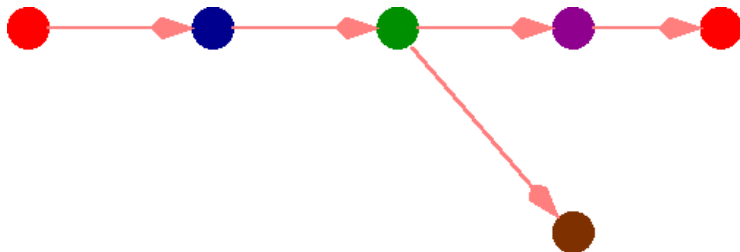
Left Factoring — *continued*

Road Direction: *Red* → *Blue* → *Green* → *Brown*



Left Factoring — *continued*

Defer the decision until we have seen enough of the input to make the right choice.



- We have the two productions,

$$\begin{array}{l} stmt \rightarrow \text{if } expr \text{ then } stmt \text{ else } stmt \\ \quad \quad | \quad \text{if } expr \text{ then } stmt \end{array}$$

- On seeing the input token **if**, we cannot immediately tell which production to choose to expand *stmt*.

Left Factoring — *continued*

- $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2$ are two A -productions.
- The input begins with a nonempty string derived from α .
- We do not know whether to expand A to $\alpha\beta_1$ or $\alpha\beta_2$.
- However, we may defer the decision by expanding A to $\alpha A'$.
- Then, after seeing the input derived from α we expand A' to β_1 or β_2 .
- Left-factored, the original productions become,

$$\begin{aligned} A &\rightarrow \alpha A' \\ A' &\rightarrow \beta_1 \mid \beta_2 \end{aligned}$$

Left Factoring Algorithm

INPUT. Grammar G .

OUTPUT An equivalent left-factored grammar.

Left Factoring Algorithm — *continued*

Method.

- For each nonterminal A find the longest prefix α common to two or more of its alternatives.
- If $\alpha \neq \epsilon$ (there is a nontrivial common prefix), replace all the A productions $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma$ where γ represents all alternatives that do not begin with α by

$$A \rightarrow \alpha A' \mid \gamma$$

$$A' \rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

where A' is a new nonterminal.

- Repeatedly apply this transformation until no two alternatives for a nonterminal have a common prefix.

Example

- The following grammar abstracts the dangling-else problem:

$$S \rightarrow iEtS \mid iEtSeS \mid a$$

$$E \rightarrow b$$

- Here i , t , and e stand for **if**, **then** and **else**, E and S for “expression” and “statement.”
- Left-factored, this grammar becomes:

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

Example — *continued*

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

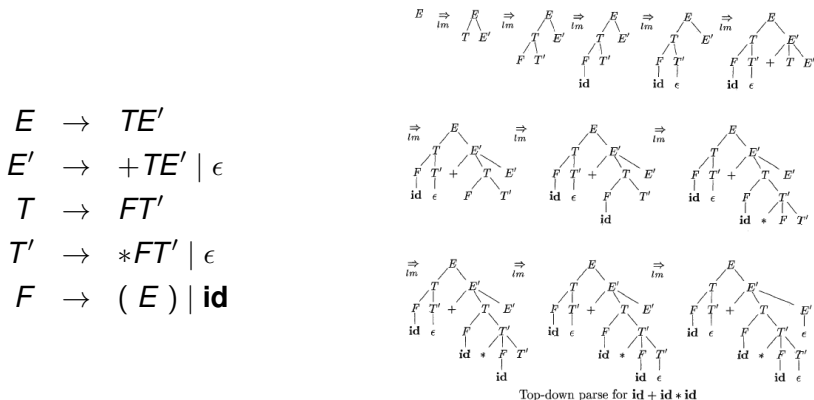
- Thus, we may expand S to $iEtSS'$ on input i , and wait until $iEtS$ has been seen to decide whether to expand S' to eS or to ϵ .
- Of course, both of the grammars are ambiguous.
- On input e , it will not be clear which alternative for S should be chosen.

Top-Down Parsing

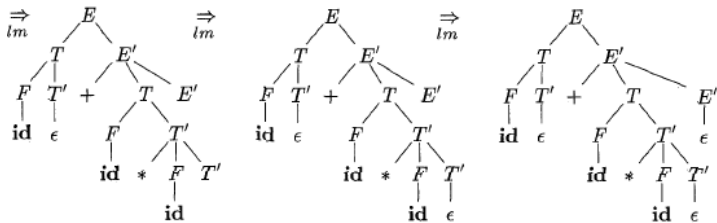
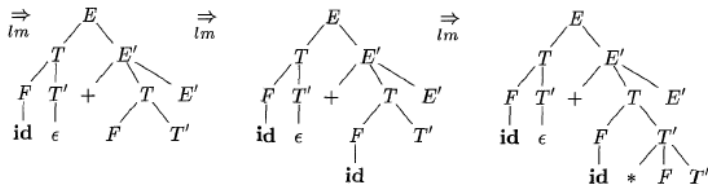
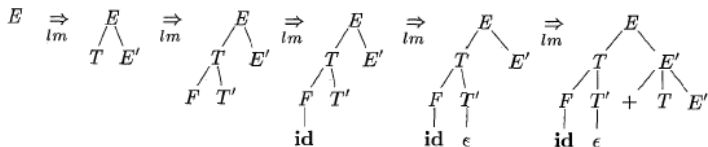
- Top-down parsing can be viewed as the problem of
 - constructing a parse tree for the input string,
 - starting from the root and
 - creating the nodes of the parse tree in preorder (depth-first).
- Equivalently, top-down parsing can be viewed as finding a leftmost derivation for an input string.

Example

- The sequence of parse trees for the input **id + id * id** is a top-down parse according to grammar.



- This sequence of trees corresponds to a leftmost derivation of the input.

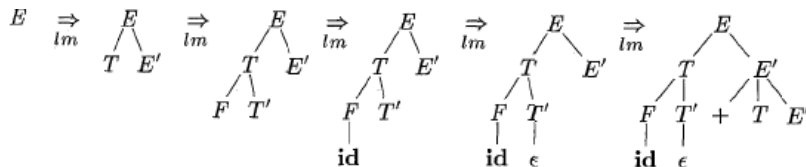


Top-down parse for **id + id * id**

Top-Down Parsing — *continued*

- At each step of a top-down parse, the key problem is that of determining the production to be applied for a nonterminal, say A .
- Once an A -production is chosen, the rest of the parsing process consists of “matching” the terminal symbols in the production body with the input string.

Top-Down Parsing — *continued*



- Consider the top-down parse in figure.
- This constructs a tree with two nodes labeled E' .
- At the first E' node (in preorder), the production $E' \rightarrow +TE'$ is chosen.
- At the second E' node, the production $E' \rightarrow \epsilon$ is chosen.
- A predictive parser can choose between E' -productions by looking at the next input symbol.

- The class of grammars for which we can construct predictive parsers looking k symbols ahead in the input is sometimes called the $LL(k)$ class.

FIRST and FOLLOW

- The construction of both top-down and bottom-up parsers is aided by two functions, FIRST and FOLLOW, associated with a grammar G .
- During top-down parsing, FIRST and FOLLOW allow us to choose which production to apply, based on the next input symbol.
- During panic-mode error recovery, sets of tokens produced by FOLLOW can be used as synchronizing tokens.

FIRST and FOLLOW

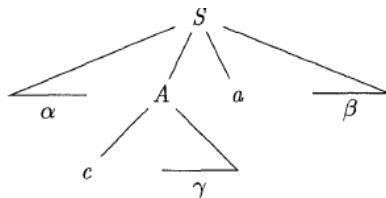
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- During panic-mode error recovery, sets of tokens produced by FOLLOW can be used as synchronizing tokens.

FIRST and FOLLOW — *continued*

- Define $\text{FIRST}(\alpha)$, where α is any string of grammar symbols, to be the set of terminals that begin strings derived from α .
- If $\alpha \Rightarrow \epsilon$, then ϵ is also in $\text{FIRST}(\alpha)$.
- For example, in figure $A \Rightarrow c\gamma$, so c is in $\text{FIRST}(A)$.

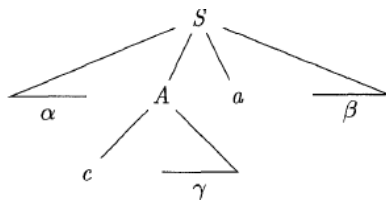


Terminal c is in $\text{FIRST}(A)$ and a is in $\text{FOLLOW}(A)$

- Let us see how FIRST can be used during predictive parsing.
- Consider two A -productions $A \rightarrow \alpha \mid \beta$, where $\text{FIRST}(\alpha)$ and $\text{FIRST}(\beta)$ are disjoint sets.
- We can then choose between these A -productions by looking at the next input symbol a , since a can be in at most one of $\text{FIRST}(\alpha)$ and $\text{FIRST}(\beta)$, not both.
- For instance, if a is in $\text{FIRST}(\beta)$ choose the production $A \rightarrow \beta$.

FIRST and FOLLOW — *continued*

- Define $\text{FOLLOW}(A)$, nonterminal A , to be the set of terminals a that can appear immediately to the right of A in some sentential form.
- That is, the set of terminals a such that there exists a derivation of the form $S \Rightarrow \alpha A a \beta$, for some α and β .
- Note that there may have been symbols between A and α , at some time during the derivation, but if so, they derived ϵ and disappeared.



Terminal c is in $\text{FIRST}(A)$ and a is in $\text{FOLLOW}(A)$

FIRST and FOLLOW — *continued*

- In addition, if A can be the rightmost symbol in some sentential form, then $\$$ is in $\text{FOLLOW}(A)$.
- Recall that $\$$ is a special “endmarker” symbol that is assumed not to be a symbol of any grammar.

To compute $\text{FIRST}(X)$ for all grammar symbols X , apply the following rules until no more terminals or ϵ can be added to any FIRST set.

1. If X is terminal, then $\text{FIRST}(X)$ is $\{X\}$.

FIRST and FOLLOW — *continued*

2.
 - If X is nonterminal and $X \rightarrow Y_1 Y_2 \dots Y_k$ is a production for some $k \geq 1$, then place a in $\text{FIRST}(X)$ if for some i , a is in $\text{FIRST}(Y_i)$, and ϵ is in all of $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$; that is, $Y_1, \dots, Y_{i-1} \xRightarrow{*} \epsilon$.
 - If ϵ is in $\text{FIRST}(Y_j)$ for all $j = 1, 2, \dots, k$ then add ϵ to $\text{FIRST}(X)$.
 3. If $X \rightarrow \epsilon$ is a production, then add ϵ to $\text{FIRST}(X)$.
-

- For example, everything in $\text{FIRST}(Y_1)$ is surely in $\text{FIRST}(X)$.
- If Y_1 does not derive ϵ , then we add nothing more to $\text{FIRST}(X)$, but if $Y_1 \xRightarrow{*} \epsilon$, then we add $\text{FIRST}(Y_2)$ and so on.

Now, we can compute FIRST for any string $X_1X_2 \dots X_n$ as follows.

- Add to $\text{FIRST}(X_1X_2 \dots X_n)$ all the non- ϵ symbols of $\text{FIRST}(X_1)$.
- Also add the non- ϵ symbols of $\text{FIRST}(X_2)$ if ϵ is in $\text{FIRST}(X_1)$, the non- ϵ symbols of $\text{FIRST}(X_3)$ if ϵ is in both $\text{FIRST}(X_1)$ and $\text{FIRST}(X_2)$ and so on.
- Finally, add ϵ to $\text{FIRST}(X_1X_2 \dots X_n)$ if, for all i , ϵ is in $\text{FIRST}(X_i)$.

FIRST and FOLLOW — *continued*

To compute FOLLOW(A) for all nonterminals A , apply the following rules until nothing can be added to any FOLLOW set.

1. Place $\$$ in FOLLOW(S), where S is the start symbol and $\$$ is the input right endmarker.
2. If there is a production $A \rightarrow \alpha B \beta$, then everything in FIRST(β) except ϵ is in FOLLOW(B).
3. If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where FIRST(β) contains ϵ , then everything in FOLLOW(A) is in FOLLOW(B).

Example

1. If X is terminal, then $\text{FIRST}(X)$ is $\{X\}$.
2. If $X \rightarrow \epsilon$ is a production, then add ϵ to $\text{FIRST}(X)$.
3. If X is nonterminal and $X \rightarrow Y_1 Y_2 \dots Y_k$ is a production for some $k \geq 1$, then place a in $\text{FIRST}(X)$ if for some i , a is in $\text{FIRST}(Y_i)$, and ϵ is in all of $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$; that is, $Y_1, \dots, Y_{i-1} \xRightarrow{*} \epsilon$. If ϵ is in $\text{FIRST}(Y_j)$ for all $j = 1, 2, \dots, k$ then add ϵ to $\text{FIRST}(X)$.

Grammar,

$E \rightarrow TE'$
 $E' \rightarrow +TE' \mid \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \epsilon$
 $F \rightarrow (E) \mid \text{id}$

Then,

$\text{FIRST}(E) = \text{FIRST}(T) =$
 $\text{FIRST}(F) = \{ (, \text{id} \}$
 $\text{FIRST}(E') = \{ +, \epsilon \}$
 $\text{FIRST}(T') = \{ *, \epsilon \}$

Example

1. $\text{FIRST}(F) = \text{FIRST}(T) = \text{FIRST}(E) = \{ (, \mathbf{id} \}$.
 - To see why, note that the two productions for F have bodies that start with these two terminal symbols, \mathbf{id} and the left parenthesis.
 - T has only one production, and its body starts with F .
 - Since F does not derive ϵ , $\text{FIRST}(T)$ must be the same as $\text{FIRST}(F)$.
 - The same argument covers $\text{FIRST}(E)$.

Grammar,

$$\begin{aligned} E &\rightarrow TE' \\ E' &\rightarrow +TE' \mid \epsilon \\ T &\rightarrow FT' \\ T' &\rightarrow *FT' \mid \epsilon \\ F &\rightarrow (E) \mid \mathbf{id} \end{aligned}$$

Then,

$$\begin{aligned} \text{FIRST}(E) &= \text{FIRST}(T) = \\ &\text{FIRST}(F) = \{ (, \mathbf{id} \} \\ \text{FIRST}(E') &= \{ +, \epsilon \} \\ \text{FIRST}(T') &= \{ *, \epsilon \} \end{aligned}$$

Example

2. $\text{FIRST}(E') = \{+, \epsilon\}$.

- The reason is that one of the two productions for E' has a body that begins with terminal $+$, and the other's body is ϵ .
- Whenever a nonterminal derives ϵ , we place ϵ in FIRST for that nonterminal.

Grammar,

$E \rightarrow TE'$
 $E' \rightarrow +TE' \mid \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \epsilon$
 $F \rightarrow (E) \mid \text{id}$

Then,

$\text{FIRST}(E) = \text{FIRST}(T) =$
 $\text{FIRST}(F) = \{(\text{id})\}$
 $\text{FIRST}(E') = \{+, \epsilon\}$
 $\text{FIRST}(T') = \{*, \epsilon\}$

Example

3. $\text{FIRST}(T') = \{*, \epsilon\}$.

- The reasoning is analogous to that for $\text{FIRST}(E')$.

Grammar,

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \mathbf{id}$$

Then,

$$\text{FIRST}(E) = \text{FIRST}(T) =$$

$$\text{FIRST}(F) = \{(\mathbf{id})\}$$

$$\text{FIRST}(E') = \{+, \epsilon\}$$

$$\text{FIRST}(T') = \{*, \epsilon\}$$

Example — *continued*

■ Grammar:

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid \text{id} \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

■ Computation of FOLLOW:

FOLLOW(E)	FOLLOW(E')	FOLLOW(T)	FOLLOW(T')	FOLLOW(F)
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Initially all sets are empty

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Put \$ in FOLLOW(E) by rule (1) (Place \$ in FOLLOW(S), where S is the start symbol and \$ is the input right endmarker)

\$				
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Example — *continued*

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid \text{id} \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{(\text{id}), \text{FIRST}(E') = \{+, \epsilon\},$
 $\text{FIRST}(T') = \{*, \epsilon\}$

By rule (2) (If there is a production $A \rightarrow \alpha B \beta$, then everything in $\text{FIRST}(\beta)$ except for ϵ is placed in $\text{FOLLOW}(B)$) applied to,

$E \rightarrow TE' : \text{FIRST}(E') \text{ except } \epsilon \text{ i.e. } \{+\} \text{ are in } \text{FOLLOW}(T)$

$E' \rightarrow +TE' : \text{FIRST}(E') \text{ except } \epsilon \text{ i.e. } \{+\} \text{ are in } \text{FOLLOW}(T)$

$T \rightarrow FT' : \text{FIRST}(T') \text{ except } \epsilon \text{ i.e. } \{*\} \text{ are in } \text{FOLLOW}(F)$

$T \rightarrow *FT' : \text{FIRST}(T') \text{ except } \epsilon \text{ i.e. } \{*\} \text{ are in } \text{FOLLOW}(F)$

$F \rightarrow (E) : \text{FIRST}()) \text{ i.e. } \{)\} \text{ are in } \text{FOLLOW}(E)$

$\text{FOLLOW}(E)$	$\text{FOLLOW}(E')$	$\text{FOLLOW}(T)$	$\text{FOLLOW}(T')$	$\text{FOLLOW}(F)$
\$,)		+		*

Rule (2) is not applicable any more since it depends only on FIRST , which are now stable sets.

Example — continued

Application of rule (3) (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xrightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)		+		*

$E \rightarrow TE'$: Everything in $FOLLOW(E)$ are in $FOLLOW(E')$

\$,)	\$,)	+		*
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$E' \rightarrow +TE'$ (also $\epsilon \in FIRST(E')$): Everything in $FOLLOW(E')$ are in $FOLLOW(T)$

\$,)	\$,)	+, \$,)		*
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$T \rightarrow FT'$: Everything in $FOLLOW(T)$ are in $FOLLOW(T')$

\$,)	\$,)	+, \$,)	+, \$,)	*
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Example — continued

Application of rule (3) (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xrightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)		+		*

$E \rightarrow TE'$: Everything in $FOLLOW(E)$ are in $FOLLOW(E')$

\$,)	\$,)	+		*
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\$,)	\$,)	+, \$,)		*
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$T \rightarrow FT'$: Everything in $FOLLOW(T)$ are in $FOLLOW(T')$

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Application of rule (3) (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xrightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)		+		*

$E \rightarrow TE'$: Everything in $FOLLOW(E)$ are in $FOLLOW(E')$

\$,)	\$,)	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$ (also $\epsilon \in FIRST(E')$): Everything in $FOLLOW(E')$ are in $FOLLOW(T)$

\$,)	\$,)	+, \$,)		*
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$T \rightarrow FT'$: Everything in $FOLLOW(T)$ are in $FOLLOW(T')$

\$,)	\$,)	+, \$,)	+, \$,)	*
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Example — continued

Application of rule (3) (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xrightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)		+		*

$E \rightarrow TE'$: Everything in $FOLLOW(E)$ are in $FOLLOW(E')$

\$,)	\$,)	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$ (also $\epsilon \in FIRST(E')$): Everything in $FOLLOW(E')$ are in $FOLLOW(T)$

\$,)	\$,)	+, \$,)		*
-------	-------	----------	--	---

$T \rightarrow FT'$: Everything in $FOLLOW(T)$ are in $FOLLOW(T')$

\$,)	\$,)	+, \$,)	+, \$,)	*
-------	-------	----------	----------	---

Example — continued

Application of rule (3) (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xrightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)		+		*

$E \rightarrow TE'$: Everything in $FOLLOW(E)$ are in $FOLLOW(E')$

\$,)	\$,)	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$ (also $\epsilon \in FIRST(E')$): Everything in $FOLLOW(E')$ are in $FOLLOW(T)$

\$,)	\$,)	+, \$,)		*
-------	-------	----------	--	---

$T \rightarrow FT'$: Everything in $FOLLOW(T)$ are in $FOLLOW(T')$

\$,)	\$,)	+, \$,)	+, \$,)	*
-------	-------	----------	----------	---

Example — continued

Application of rule (3) (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xrightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

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\$,)	\$,)	+, \$,)	+, \$,)	*
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Example — *continued*

Application of rule (3) — *continued* (If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$ where $FIRST(\beta)$ contains ϵ (i.e., $\beta \xRightarrow{*} \epsilon$), then everything in $FOLLOW(A)$ is in $FOLLOW(B)$)

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$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)	\$,)	+, \$,)	+, \$,)	*

$T' \rightarrow *FT'$ (also $\epsilon \in FIRST(T')$): Everything in $FOLLOW(T')$ are in $FOLLOW(F)$

\$,)	\$,)	+, \$,)	+, \$,)	*, +, \$,)
-------	-------	----------	----------	-------------

We can try applying Rule (3) again, but will find that the sets have stabilized (nothing can be added to any $FOLLOW$ set).

Example — continued

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$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
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$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$,)	\$,)	+, \$,)	+, \$,)	*

$T' \rightarrow *FT'$ (also $\epsilon \in FIRST(T')$): Everything in $FOLLOW(T')$ are in $FOLLOW(F)$

\$,)	\$,)	+, \$,)	+, \$,)	*, +, \$,)
-------	-------	----------	----------	-------------

We can try applying Rule (3) again, but will find that the sets have stabilized (nothing can be added to any $FOLLOW$ set).

LL(1) Grammars

- Predictive parsers, that is, recursive-descent parsers needing no backtracking, can be constructed for a class of grammars called LL(1).
- The first “L” in LL(1) stands for scanning the input from left to right.
- The second “L” for producing a leftmost derivation.
- And the “1” for using one input symbol of lookahead at each step to make parsing action decisions.

LL(1) Grammars — *continued*

- The class of LL(1) grammars is rich enough to cover most programming constructs.
- Although care is needed in writing a suitable grammar for the source language.
- For example, no left-recursive or ambiguous grammar can be LL(1).

LL(1) Grammars — *continued*

A grammar G is LL(1) if and only if whenever $A \rightarrow \alpha \mid \beta$ are two distinct productions of G the following conditions hold:

1. For no terminal a do both α and β derive strings beginning with a .
2. At most one of α and β can derive the empty string.
3. If $\beta \xRightarrow{*} \epsilon$, then α does not derive any string beginning with a terminal in $\text{FOLLOW}(A)$.

Likewise, if $\alpha \xRightarrow{*} \epsilon$, then β does not derive any string beginning with a terminal in $\text{FOLLOW}(A)$.

LL(1) Grammars — *continued*

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Likewise, $\alpha \xRightarrow{*} \epsilon$, then β does not derive any string beginning with a terminal in $\text{FOLLOW}(A)$.

-
- The first two conditions are equivalent to the statement that $\text{FIRST}(\alpha)$ and $\text{FIRST}(\beta)$ are disjoint sets.
 - The third condition is equivalent to stating that if ϵ is in $\text{FIRST}(\beta)$, then $\text{FIRST}(\alpha)$ and $\text{FOLLOW}(A)$ are disjoint sets, and likewise if ϵ is in $\text{FIRST}(\alpha)$.

A Case of LL(1) Grammar where We can Use FIRST and FOLLOW

$S \rightarrow AB \mid EF, A \rightarrow CD \mid EF, B \rightarrow EF, C \rightarrow c, D \rightarrow dE \mid \epsilon,$
 $E \rightarrow e, F \rightarrow f$

A Case of LL(1) Grammar where We can Use FIRST and FOLLOW

$S \rightarrow AB \mid EF, A \rightarrow CD \mid EF, B \rightarrow EF, C \rightarrow c, D \rightarrow dE \mid \epsilon,$
 $E \rightarrow e, F \rightarrow f$

$\text{FIRST}(S) = \{c, e\}, \text{FIRST}(A) = \{c, e\}, \text{FIRST}(B) = \{e\},$
 $\text{FIRST}(C) = \{c\}, \text{FIRST}(D) = \{\epsilon, d\}, \text{FIRST}(E) = \{e\},$
 $\text{FIRST}(F) = \{f\}$

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 $\text{FOLLOW}(C) = \{d, e\}, \text{FOLLOW}(D) = \{e\},$
 $\text{FOLLOW}(E) = \{f\}, \text{FOLLOW}(F) = \{e, \$\}$

A Case of LL(1) Grammar where We can Use FIRST and FOLLOW

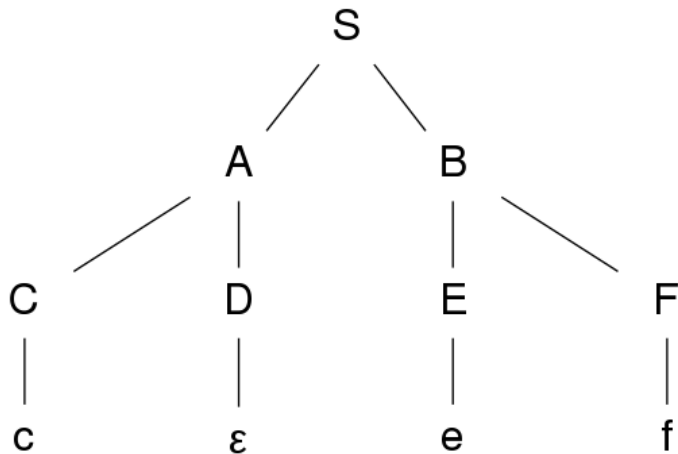
$S \rightarrow AB \mid EF, A \rightarrow CD \mid EF, B \rightarrow EF, C \rightarrow c, D \rightarrow dE \mid \epsilon,$
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String to be parsed *cef*

A Case of LL(1) Grammar where We can Use FIRST and FOLLOW — *continued*



A Case of non LL(1) Grammar where We can not Use FIRST and FOLLOW

$S \rightarrow AB \mid EF, A \rightarrow CD \mid EF, B \rightarrow EF, C \rightarrow c, D \rightarrow eE \mid \epsilon,$
 $E \rightarrow e, F \rightarrow f$

A Case of non LL(1) Grammar where We can not Use FIRST and FOLLOW

$S \rightarrow AB \mid EF, A \rightarrow CD \mid EF, B \rightarrow EF, C \rightarrow c, D \rightarrow eE \mid \epsilon,$
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A Case of non LL(1) Grammar where We can not Use FIRST and FOLLOW

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String to be parsed *cef*

- Predictive parsers can be constructed for LL(1) grammars since the proper production to apply for a nonterminal can be selected by looking only at the current input symbol.
- Flow-of-control constructs, with their distinguishing key-words, generally satisfy the LL(1) constraints.

- For instance, if we have the productions,

$$\begin{aligned} stmt &\rightarrow \textbf{if} (expr) stmt \textbf{else} stmt \\ &\quad | \quad \textbf{while} (expr) stmt \\ &\quad | \quad \{ stmt_list \} \end{aligned}$$

then the keywords **if**, **while**, and the symbol $\{$ tell us which alternative is the one that could possibly succeed if we are to find a statement.

LL(1) Grammars — *continued*

- The next algorithm collects the information from FIRST and FOLLOW sets into a predictive parsing table $M[A, a]$, a two dimensional array, where A is a nonterminal, and a is a terminal or the symbol \$, the input endmarker.
- The idea behind the algorithm is the following.
- Suppose $A \rightarrow \alpha$ is a production with a in $\text{FIRST}(\alpha)$.
- Then, the parser will expand A by α when the current input symbol is a .
- The only complication occurs when $\alpha = \epsilon$ or $\alpha \xRightarrow{*} \epsilon$.
- In this case, we should again expand A by α if the current input symbol is in $\text{FOLLOW}(A)$, or if the \$ on the input has been reached and \$ is in $\text{FOLLOW}(A)$.

Algorithm for Construction of a Predictive Parsing Table

INPUT: Grammar G .

OUTPUT: Parsing table M .

METHOD: For each production $A \rightarrow \alpha$ of the grammar, do the following:

1. For each terminal a in $\text{FIRST}(\alpha)$, add $A \rightarrow \alpha$ to $M[A, a]$.
2. If ϵ is in $\text{FIRST}(\alpha)$, then for each terminal b in $\text{FOLLOW}(A)$, add $A \rightarrow \alpha$ to $M[A, b]$.
If ϵ is in $\text{FIRST}(\alpha)$ and $\$$ is in $\text{FOLLOW}(A)$, add $A \rightarrow \alpha$ to $M[A, \$]$ as well.

If, after performing the above, there is no production at all in $M[A, a]$, then set $M[A, a]$ to **error** (which we normally represent by an empty entry in the table).

Example

- For the expression grammar below,

$$\begin{array}{lll}
 E & \rightarrow & TE' \\
 E' & \rightarrow & +TE' \mid \epsilon \\
 T & \rightarrow & FT' \\
 T' & \rightarrow & *FT' \mid \epsilon \\
 F & \rightarrow & (E) \mid \text{id}
 \end{array}$$

the algorithm produces the parsing table in figure.

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

- Blanks are error entries.
- Nonblanks indicate a production with which to expand a nonterminal.

For each production $A \rightarrow \alpha$ of the grammar, do the following:

1. For each terminal a in $\text{FIRST}(A)$, add $A \rightarrow \alpha$ to $M[A, a]$.
2. If ϵ is in $\text{FIRST}(\alpha)$, then for each terminal b in $\text{FOLLOW}(A)$, add $A \rightarrow \alpha$ to $M[A, b]$.
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NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

■ Consider production $E \rightarrow TE'$.

■ Since

$$\text{FIRST}(TE') = \text{FIRST}(T) = \{ (, \text{id} \}$$

this production is added to $M[E, (]$ and $M[E, \text{id}]$.

■ Production $E' \rightarrow +TE'$ is added to $M[E', +]$ since $\text{FIRST}(+TE') = \{ + \}$.

■ Since $\text{FOLLOW}(E') = \{ \}, \$ \}$, production $E' \rightarrow \epsilon$ is added to $M[E',)]$ and $M[E', \$]$.

Algorithm ... Predictive Parsing Table — *continued*

- The aforementioned algorithm can be applied to any grammar G to produce a parsing table M .
- For every LL(1) grammar, each parsing-table entry uniquely identifies a production or signals an error.

Algorithm ... Predictive Parsing Table — *continued*

- For some grammars, however, M may have some entries that are multiply defined.
- For example, if G is left-recursive or ambiguous, then M will have at least one multiply defined entry.
- Although left-recursion elimination and left factoring are easy to do, there are some grammars for which no amount of alteration will produce an LL(1) grammar.
- The language in the following example has no LL(1) grammar at all.

Example

- The following grammar, which abstracts the dangling-else problem, is repeated here:
- The grammar,
$$\begin{aligned} S &\rightarrow iEtSS' \mid a \\ S' &\rightarrow eS \mid \epsilon \\ E &\rightarrow b \end{aligned}$$
- The grammar is ambiguous.
- On input e , it will not be clear which alternative for S' should be chosen.

Example — *continued*

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

NON - TERMINAL	INPUT SYMBOL					
	<i>a</i>	<i>b</i>	<i>e</i>	<i>i</i>	<i>t</i>	\$
<i>S</i>	$S \rightarrow a$			$S \rightarrow iEtSS'$		
<i>S'</i>			$S' \rightarrow \epsilon$ $S' \rightarrow eS$			$S' \rightarrow \epsilon$
<i>E</i>		$E \rightarrow b$				

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	a	b	e	i	t	$\$$
S	$S \rightarrow a$			$S \rightarrow iEtSS'$		
S'			$S' \rightarrow \epsilon$ $S' \rightarrow eS$			$S' \rightarrow \epsilon$
E		$E \rightarrow b$				

$S \rightarrow iEtSS' \mid a$

$S' \rightarrow eS \mid \epsilon$

$E \rightarrow b$

- The entry for $M[S', e]$ contains both $S' \rightarrow eS$ and $S' \rightarrow \epsilon$, since $\text{FOLLOW}(S') = \{e, \$\}$

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	a	b	e	i	t	$\$$
S	$S \rightarrow a$			$S \rightarrow iEtSS'$		
S'			$S' \rightarrow \epsilon$ $S' \rightarrow eS$			$S' \rightarrow \epsilon$
E		$E \rightarrow b$				

- The grammar is ambiguous and the ambiguity is manifested by a choice in what production to use when an **e (else)** is seen.
- We can resolve the ambiguity if we choose $S' \rightarrow eS$.
- This choice corresponds to associating **else**'s with the closest previous **then**'s.

Example — *continued*

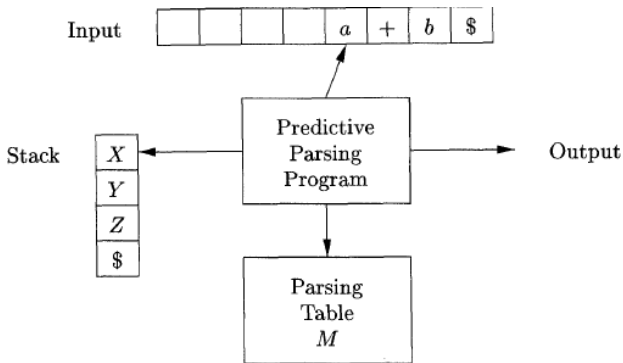
NON - TERMINAL	INPUT SYMBOL					
	a	b	e	i	t	$\$$
S	$S \rightarrow a$			$S \rightarrow iEtSS'$		
S'			$S' \rightarrow \epsilon$ $S' \rightarrow eS$			$S' \rightarrow \epsilon$
E		$E \rightarrow b$				

- Note that the choice $S' \rightarrow \epsilon$ would prevent e from ever being put on the stack or removed from the input, and is therefore surely wrong.

Nonrecursive Predictive Parsing

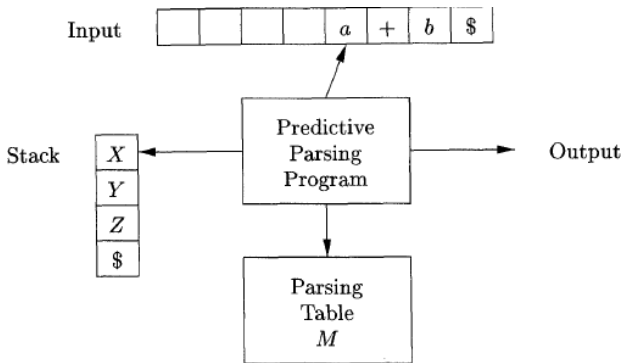
- A nonrecursive predictive parser can be built by maintaining a stack explicitly, rather than implicitly via recursive calls.
- The parser mimics a leftmost derivation.
- If w is the input that has been matched so far, then the stack holds a sequence of grammar symbols α such that

$$S \xRightarrow[lm]{*} w\alpha$$



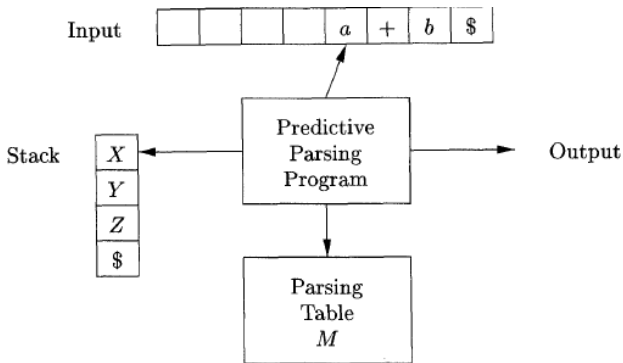
Model of a table-driven predictive parser

- The table-driven parser in figure has an input buffer, a stack containing a sequence of grammar symbols, a parsing table constructed by algorithm, and an output stream.



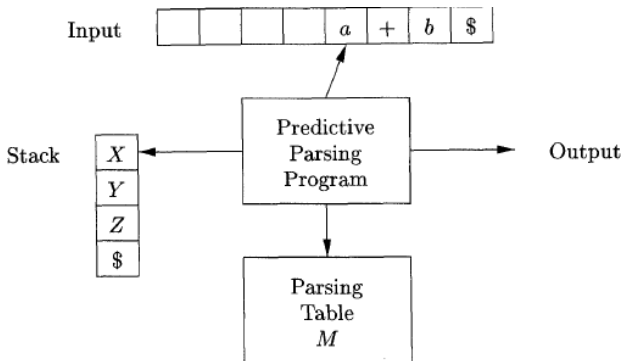
Model of a table-driven predictive parser

- The input buffer contains the string to be parsed, followed by the endmarker \$.
- We reuse the symbol \$ to mark the bottom of the stack, which initially contains the start symbol of the grammar on top of \$.



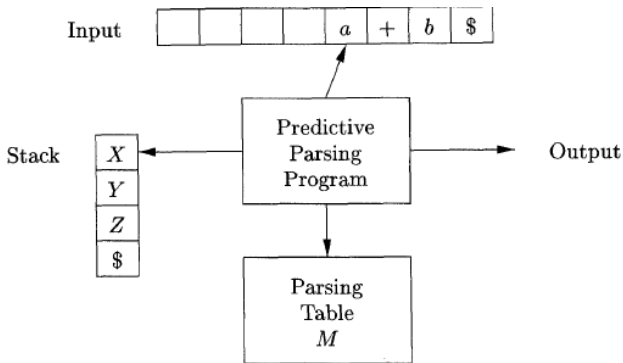
Model of a table-driven predictive parser

- The parser is controlled by a program that considers *X*, the symbol on top of the stack, and *a*, the current input symbol.



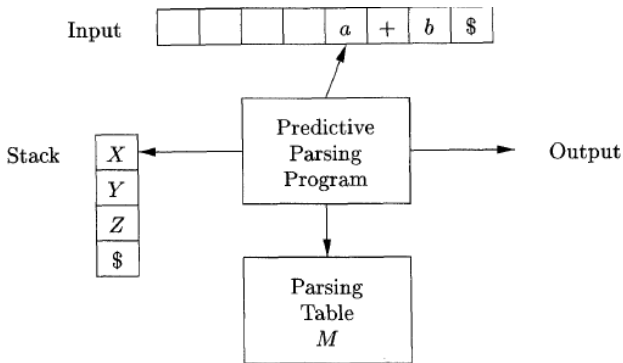
Model of a table-driven predictive parser

- If *X* is a nonterminal, the parser chooses an *X*-production by consulting entry $M[X, a]$ of the parsing table *M*.
- Additional code could be executed here, for example, code to construct a node in a parse tree.



Model of a table-driven predictive parser

- Otherwise, it checks for a match between the terminal *X* and current input symbol *a*.



Model of a table-driven predictive parser

- The behavior of the parser can be described in terms of its configurations, which give the stack contents and the remaining input.
- The next algorithm describes how configurations are manipulated.

Nonrecursive Predictive Parsing — *continued*

Nonrecursive predictive parsing.

INPUT. A string w and a parsing table M for grammar G .

OUTPUT.

- If w is in $L(G)$, a leftmost derivation of w ;
- otherwise, an error indication.

METHOD.

- Initially, the parser is in a configuration in which it has $\$S$ on the stack with S , the start symbol of G on top, and w in the input buffer.
- The program that utilizes the predictive parsing table M to produce a parse for the input is shown here.

Nonrecursive Predictive Parsing — *continued*

```
set ip to point to the first symbol of w;  
set X to the top stack symbol;  
while ( X ≠ $ ) { /* stack is not empty */  
    if ( X is a ) pop the stack and advance ip;  
    else if ( X is a terminal ) error();  
    else if ( M[X, a] is an error entry ) error();  
    else if ( M[X, a] =  $X \rightarrow Y_1 Y_2 \cdots Y_k$  ) {  
        output the production  $X \rightarrow Y_1 Y_2 \cdots Y_k$ ;  
        pop the stack;  
        push  $Y_k, Y_{k-1}, \dots, Y_1$  onto the stack, with  $Y_1$  on top;  
    }  
    set X to the top stack symbol;  
}
```

Predictive parsing algorithm

Example

- We consider grammar:

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \text{id}$$

- We have already seen its parsing table.

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

- On input **id + id * id**, the nonrecursive predictive parser algorithm makes the sequence of moves,

MATCHED	STACK	INPUT	ACTION
	<i>E</i> \$	id + id * id \$	
	<i>TE'</i> \$	id + id * id \$	output $E \rightarrow TE'$
	<i>FT'E'</i> \$	id + id * id \$	output $T \rightarrow FT'$
	id <i>T'E'</i> \$	id + id * id \$	output $F \rightarrow \text{id}$
id	<i>T'E'</i> \$	+ id * id \$	match id
id	<i>E'</i> \$	+ id * id \$	output $T' \rightarrow \epsilon$
id	+ <i>TE'</i> \$	+ id * id \$	output $E' \rightarrow + TE'$
id +	<i>TE'</i> \$	id * id \$	match +
id +	<i>FT'E'</i> \$	id * id \$	output $T \rightarrow FT'$
id +	id <i>T'E'</i> \$	id * id \$	output $F \rightarrow \text{id}$
id + id	<i>T'E'</i> \$	* id \$	match id
id + id	* <i>FT'E'</i> \$	* id \$	output $T' \rightarrow * FT'$
id + id *	<i>FT'E'</i> \$	id \$	match *
id + id *	id <i>T'E'</i> \$	id \$	output $F \rightarrow \text{id}$
id + id * id	<i>T'E'</i> \$	\$	match id
id + id * id	<i>E'</i> \$	\$	output $T' \rightarrow \epsilon$
id + id * id	\$	\$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input **id + id * id**

- These moves correspond to a leftmost derivation,

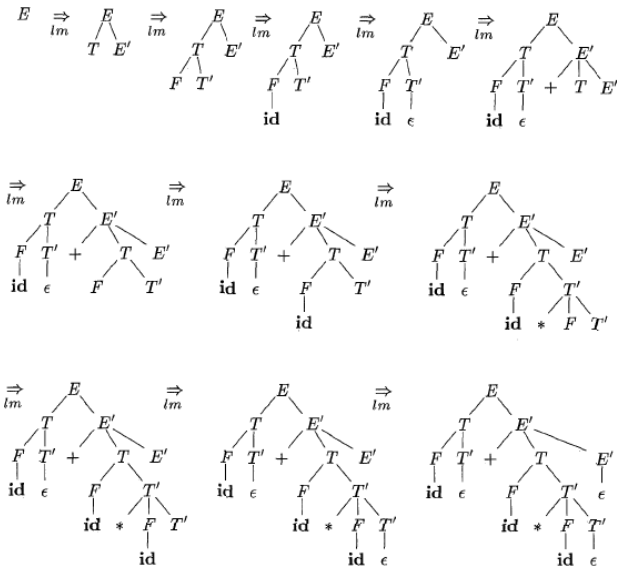
$$E \xRightarrow{lm} TE' \xRightarrow{lm} FT'E' \xRightarrow{lm} \mathbf{id}T'E' \xRightarrow{lm} \mathbf{id}E' \xRightarrow{lm} \mathbf{id} + TE' \xRightarrow{lm} \dots$$

MATCHED	STACK	INPUT	ACTION
	$E\$$	$\mathbf{id} + \mathbf{id} * \mathbf{id}\$$	
	$TE'\$$	$\mathbf{id} + \mathbf{id} * \mathbf{id}\$$	output $E \rightarrow TE'$
	$FT'E'\$$	$\mathbf{id} + \mathbf{id} * \mathbf{id}\$$	output $T \rightarrow FT'$
	$\mathbf{id} T'E'\$$	$\mathbf{id} + \mathbf{id} * \mathbf{id}\$$	output $F \rightarrow \mathbf{id}$
\mathbf{id}	$T'E'\$$	$+ \mathbf{id} * \mathbf{id}\$$	match \mathbf{id}
\mathbf{id}	$E'\$$	$+ \mathbf{id} * \mathbf{id}\$$	output $T' \rightarrow \epsilon$
\mathbf{id}	$+ TE'\$$	$+ \mathbf{id} * \mathbf{id}\$$	output $E' \rightarrow + TE'$
$\mathbf{id} +$	$TE'\$$	$\mathbf{id} * \mathbf{id}\$$	match $+$
$\mathbf{id} +$	$FT'E'\$$	$\mathbf{id} * \mathbf{id}\$$	output $T \rightarrow FT'$
$\mathbf{id} +$	$\mathbf{id} T'E'\$$	$\mathbf{id} * \mathbf{id}\$$	output $F \rightarrow \mathbf{id}$
$\mathbf{id} + \mathbf{id}$	$T'E'\$$	$* \mathbf{id}\$$	match \mathbf{id}
$\mathbf{id} + \mathbf{id}$	$* FT'E'\$$	$* \mathbf{id}\$$	output $T' \rightarrow * FT'$
$\mathbf{id} + \mathbf{id} *$	$FT'E'\$$	$\mathbf{id}\$$	match $*$
$\mathbf{id} + \mathbf{id} *$	$\mathbf{id} T'E'\$$	$\mathbf{id}\$$	output $F \rightarrow \mathbf{id}$
$\mathbf{id} + \mathbf{id} * \mathbf{id}$	$T'E'\$$	$\$$	match \mathbf{id}
$\mathbf{id} + \mathbf{id} * \mathbf{id}$	$E'\$$	$\$$	output $T' \rightarrow \epsilon$
$\mathbf{id} + \mathbf{id} * \mathbf{id}$	$\$$	$\$$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input $\mathbf{id} + \mathbf{id} * \mathbf{id}$

■ These moves correspond to a leftmost derivation,

$$E \Rightarrow_{lm} TE' \Rightarrow_{lm} FT'E' \Rightarrow_{lm} \mathbf{id}T'E' \Rightarrow_{lm} \mathbf{id}E' \Rightarrow_{lm} \mathbf{id} + TE' \Rightarrow_{lm} \dots$$



Top-down parse for $\mathbf{id} + \mathbf{id} * \mathbf{id}$

MATCHED	STACK	INPUT	ACTION
	$E\$$	$\text{id} + \text{id} * \text{id}\$$	
	$TE'\$$	$\text{id} + \text{id} * \text{id}\$$	output $E \rightarrow TE'$
	$FT'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $T \rightarrow FT'$
	$\text{id } T'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
id	$T'E'\$$	$+ \text{id} * \text{id}\$$	match id
id	$E'\$$	$+ \text{id} * \text{id}\$$	output $T' \rightarrow \epsilon$
id	$+ TE'\$$	$+ \text{id} * \text{id}\$$	output $E' \rightarrow + TE'$
$\text{id} +$	$TE'\$$	$\text{id} * \text{id}\$$	match $+$
$\text{id} +$	$FT'E'\$$	$\text{id} * \text{id}\$$	output $T \rightarrow FT'$
$\text{id} +$	$\text{id } T'E'\$$	$\text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id}$	$T'E'\$$	$* \text{id}\$$	match id
$\text{id} + \text{id}$	$* FT'E'\$$	$* \text{id}\$$	output $T' \rightarrow * FT'$
$\text{id} + \text{id} *$	$FT'E'\$$	$\text{id}\$$	match $*$
$\text{id} + \text{id} *$	$\text{id } T'E'\$$	$\text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id} * \text{id}$	$T'E'\$$	$\$$	match id
$\text{id} + \text{id} * \text{id}$	$E'\$$	$\$$	output $T' \rightarrow \epsilon$
$\text{id} + \text{id} * \text{id}$	$\$$	$\$$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input $\text{id} + \text{id} * \text{id}$

- Note that the sentential forms in this derivation correspond to the input that has already been matched (in column MATCHED) followed by the stack contents.

MATCHED	STACK	INPUT	ACTION
	$E\$$	id + id * id \$	
	$TE' \$$	id + id * id \$	output $E \rightarrow TE'$
	$FT'E' \$$	id + id * id \$	output $T \rightarrow FT'$
	id $T'E' \$$	id + id * id \$	output $F \rightarrow \text{id}$
id	$T'E' \$$	+ id * id \$	match id
id	$E' \$$	+ id * id \$	output $T' \rightarrow \epsilon$
id	+ $TE' \$$	+ id * id \$	output $E' \rightarrow + TE'$
id +	$TE' \$$	id * id \$	match +
id +	$FT'E' \$$	id * id \$	output $T \rightarrow FT'$
id +	id $T'E' \$$	id * id \$	output $F \rightarrow \text{id}$
id + id	$T'E' \$$	* id \$	match id
id + id	* $FT'E' \$$	* id \$	output $T' \rightarrow * FT'$
id + id *	$FT'E' \$$	id \$	match *
id + id *	id $T'E' \$$	id \$	output $F \rightarrow \text{id}$
id + id * id	$T'E' \$$	\$	match id
id + id * id	$E' \$$	\$	output $T' \rightarrow \epsilon$
id + id * id	\$	\$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input **id + id * id**

- The matched input is shown only to highlight the correspondence.

MATCHED	STACK	INPUT	ACTION
	$E\$$	$\text{id} + \text{id} * \text{id}\$$	
	$TE'\$$	$\text{id} + \text{id} * \text{id}\$$	output $E \rightarrow TE'$
	$FT'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $T \rightarrow FT'$
	$\text{id } T'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
id	$T'E'\$$	$+ \text{id} * \text{id}\$$	match id
id	$E'\$$	$+ \text{id} * \text{id}\$$	output $T' \rightarrow \epsilon$
id	$+ TE'\$$	$+ \text{id} * \text{id}\$$	output $E' \rightarrow + TE'$
$\text{id} +$	$TE'\$$	$\text{id} * \text{id}\$$	match $+$
$\text{id} +$	$FT'E'\$$	$\text{id} * \text{id}\$$	output $T \rightarrow FT'$
$\text{id} +$	$\text{id } T'E'\$$	$\text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id}$	$T'E'\$$	$* \text{id}\$$	match id
$\text{id} + \text{id}$	$* FT'E'\$$	$* \text{id}\$$	output $T' \rightarrow * FT'$
$\text{id} + \text{id} *$	$FT'E'\$$	$\text{id}\$$	match $*$
$\text{id} + \text{id} *$	$\text{id } T'E'\$$	$\text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id} * \text{id}$	$T'E'\$$	$\$$	match id
$\text{id} + \text{id} * \text{id}$	$E'\$$	$\$$	output $T' \rightarrow \epsilon$
$\text{id} + \text{id} * \text{id}$	$\$$	$\$$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input $\text{id} + \text{id} * \text{id}$

- For the same reason, the top of the stack is to the left.
- When we consider bottom-up parsing, it will be more natural to show the top of the stack to the right.

MATCHED	STACK	INPUT	ACTION
	$E\$$	$\text{id} + \text{id} * \text{id}\$$	
	$TE'\$$	$\text{id} + \text{id} * \text{id}\$$	output $E \rightarrow TE'$
	$FT'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $T \rightarrow FT'$
	$\text{id } T'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
id	$T'E'\$$	$+ \text{id} * \text{id}\$$	match id
id	$E'\$$	$+ \text{id} * \text{id}\$$	output $T' \rightarrow \epsilon$
id	$+ TE'\$$	$+ \text{id} * \text{id}\$$	output $E' \rightarrow + TE'$
$\text{id} +$	$TE'\$$	$\text{id} * \text{id}\$$	match $+$
$\text{id} +$	$FT'E'\$$	$\text{id} * \text{id}\$$	output $T \rightarrow FT'$
$\text{id} +$	$\text{id } T'E'\$$	$\text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id}$	$T'E'\$$	$* \text{id}\$$	match id
$\text{id} + \text{id}$	$* FT'E'\$$	$* \text{id}\$$	output $T' \rightarrow * FT'$
$\text{id} + \text{id} *$	$FT'E'\$$	$\text{id}\$$	match $*$
$\text{id} + \text{id} *$	$\text{id } T'E'\$$	$\text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id} * \text{id}$	$T'E'\$$	$\$$	match id
$\text{id} + \text{id} * \text{id}$	$E'\$$	$\$$	output $T' \rightarrow \epsilon$
$\text{id} + \text{id} * \text{id}$	$\$$	$\$$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input $\text{id} + \text{id} * \text{id}$

- The input pointer points to the leftmost symbol of the string in the INPUT column.

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$E\$$	$\text{id} + \text{id} * \text{id}\$$	
\uparrow $TE'\$$	\uparrow $\text{id} + \text{id} * \text{id}\$$	output $E \rightarrow TE'$

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$TE'\$$	$\text{id} + \text{id} * \text{id}\$$	
\uparrow $FT'E'\$$	\uparrow $\text{id} + \text{id} * \text{id}\$$	output $T \rightarrow FT'$

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$FT'E'\$$	$\text{id} + \text{id} * \text{id}\$$	
$\text{id}T'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $F \rightarrow \text{id}$

Example — *continued*

STACK	INPUT	ACTION
id $T'E' \$$ ↑	id + id * id \$ ↑	match id

*Both are terminals and match. So,
popped from the stack and input
pointer advanced*

$T'E' \$$	+ id * id \$ ↑
-----------	---------------------------------

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$T'E'\$$	$+\text{id} * \text{id}\$$	
\uparrow	\uparrow	
$E'\$$	$+\text{id} * \text{id}\$$	output $T \rightarrow \epsilon$

Example — *continued*

...

...

...

Example — *continued*

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$E'\$$	\$	
\$	\$	output $E' \rightarrow \epsilon$

Example — *continued*

STACK	INPUT	ACTION
\$ ↑	\$ ↑	

Both are \$, the parser halts and announces successful completion of parsing.

MATCHED	STACK	INPUT	ACTION
	$E\$$	$\mathbf{id + id * id\$}$	
	$TE'\$$	$\mathbf{id + id * id\$}$	output $E \rightarrow TE'$
	$FT'E'\$$	$\mathbf{id + id * id\$}$	output $T \rightarrow FT'$
	$\mathbf{id } T'E'\$$	$\mathbf{id + id * id\$}$	output $F \rightarrow \mathbf{id}$
\mathbf{id}	$T'E'\$$	$\mathbf{+ id * id\$}$	match \mathbf{id}
\mathbf{id}	$E'\$$	$\mathbf{+ id * id\$}$	output $T' \rightarrow \epsilon$
\mathbf{id}	$\mathbf{+ } TE'\$$	$\mathbf{+ id * id\$}$	output $E' \rightarrow \mathbf{+ } TE'$
$\mathbf{id +}$	$TE'\$$	$\mathbf{id * id\$}$	match $\mathbf{+}$
$\mathbf{id +}$	$FT'E'\$$	$\mathbf{id * id\$}$	output $T \rightarrow FT'$
$\mathbf{id +}$	$\mathbf{id } T'E'\$$	$\mathbf{id * id\$}$	output $F \rightarrow \mathbf{id}$
$\mathbf{id + id}$	$T'E'\$$	$\mathbf{* id\$}$	match \mathbf{id}
$\mathbf{id + id}$	$\mathbf{* } FT'E'\$$	$\mathbf{* id\$}$	output $T' \rightarrow \mathbf{* } FT'$
$\mathbf{id + id *}$	$FT'E'\$$	$\mathbf{id\$}$	match $\mathbf{*}$
$\mathbf{id + id *}$	$\mathbf{id } T'E'\$$	$\mathbf{id\$}$	output $F \rightarrow \mathbf{id}$
$\mathbf{id + id * id}$	$T'E'\$$	$\mathbf{\$}$	match \mathbf{id}
$\mathbf{id + id * id}$	$E'\$$	$\mathbf{\$}$	output $T' \rightarrow \epsilon$
$\mathbf{id + id * id}$	$\mathbf{\$}$	$\mathbf{\$}$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input $\mathbf{id + id * id}$

For a leftmost derivation the production rules in the ACTION column (outputs only) are to be used from top to bottom.

Example — *continued*

$$\begin{array}{lcl}
 E & \xRightarrow{E \rightarrow TE'} & TE' \\
 & \xRightarrow{T \rightarrow FT'} & FT'E' \\
 & \xRightarrow{F \rightarrow \text{id}} & \text{id}T'E' \\
 & \xRightarrow{T' \rightarrow \epsilon} & \text{id}E' \\
 & \xRightarrow{E' \rightarrow +TE'} & \text{id} + TE' \\
 & \xRightarrow{T \rightarrow FT'} & \text{id} + FT'E' \\
 & \xRightarrow{F \rightarrow \text{id}} & \text{id} + \text{id}T'E'
 \end{array}$$

JT	ACTION
* id\$	
* id\$	output $E \rightarrow TE'$
* id\$	output $T \rightarrow FT'$
* id\$	output $F \rightarrow \text{id}$
* id\$	match id
* id\$	output $T' \rightarrow \epsilon$
* id\$	output $E' \rightarrow + TE'$
* id\$	match +
* id\$	output $T \rightarrow FT'$
* id\$	output $F \rightarrow \text{id}$
* id\$	match id
* id\$	output $T' \rightarrow * FT'$
id\$	match *
id\$	output $F \rightarrow \text{id}$
\$	match id
\$	output $T' \rightarrow \epsilon$
\$	output $E' \rightarrow \epsilon$

Example — *continued*

$$\begin{array}{lcl}
 E & \xRightarrow{E \rightarrow TE'} & \dots\dots\dots \\
 & \xRightarrow{F \rightarrow id} & id + id T' E' \\
 & \xRightarrow{T' \rightarrow * FT'} & id + id * FT' E' \\
 & \xRightarrow{F \rightarrow id} & id + id * id T' E' \\
 & \xRightarrow{T' \rightarrow \epsilon} & id + id * id E' \\
 & \xRightarrow{E' \rightarrow \epsilon} & id + id * id
 \end{array}$$

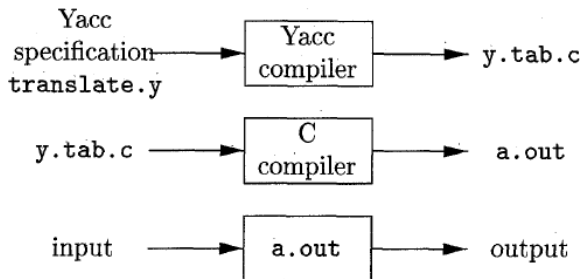
JT	ACTION
* id\$	
* id\$	output $E \rightarrow TE'$
* id\$	output $T \rightarrow FT'$
* id\$	output $F \rightarrow id$
* id\$	match id
* id\$	output $T' \rightarrow \epsilon$
* id\$	output $E' \rightarrow + TE'$
* id\$	match +
* id\$	output $T \rightarrow FT'$
* id\$	output $F \rightarrow id$
* id\$	match id
* id\$	output $T' \rightarrow * FT'$
id\$	match *
id\$	output $F \rightarrow id$
\$	match id
\$	output $T' \rightarrow \epsilon$
\$	output $E' \rightarrow \epsilon$

Parser Generators

- We show how a parser generator can be used to facilitate the construction of the front end of a compiler.
- `Yacc` stands for “yet another compiler-compiler,” reflecting the popularity of parser generators in the early 1970s when the first version of `Yacc` was created by S. C. Johnson.
- `Yacc` is available as a command on the UNIX system, and has been used to help implement many production compilers.

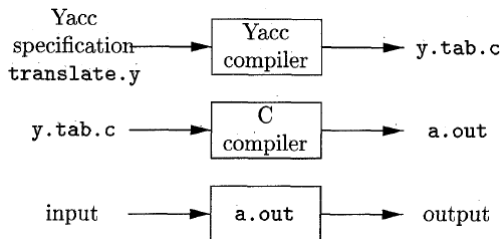
The Parser Generator Yacc

- A translator can be constructed using Yacc in the manner illustrated in figure.



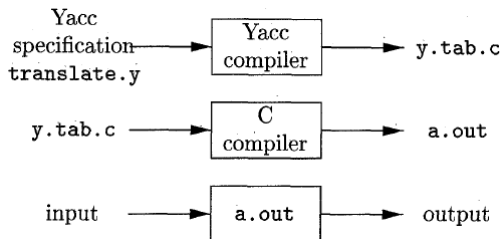
Creating an input/output translator with Yacc

The Parser Generator `Yacc` — *continued*



- First, a file, say `translate.y`, containing a Yacc specification of the translator is prepared.
- The UNIX system command `yacc translate.y` transforms the file `translate.y` into a C program called `y.tab.c`.
- The program `y.tab.c` is a representation of a parser written in C, along with other C routines that the user may have prepared.

The Parser Generator `Yacc` — *continued*



- By compiling `y.tab.c` along with the `ly` library that contains the LR parsing program using the command we obtain the desired object program `a.out` that performs the translation specified by the original `Yacc` program.
- If other procedures are needed, they can be compiled or loaded with `y.tab.c`, just as with any C program.

- A Yacc source program has three parts:

declarations

%%

translation rules

%%

supporting C routines

Example

- To illustrate how to prepare a `Yacc` source program, let us construct a simple desk calculator that reads an arithmetic expression, evaluates it, and then prints its numeric value.
- We shall build the desk calculator starting with the with the following grammar for arithmetic expressions:

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid \text{digit} \end{aligned}$$

- The token **digit** is a single digit between 0 and 9.

Example — *continued*

- A Yacc desk calculator program derived from this grammar is shown in figure.

```
%{
#include <ctype.h>
%}

%token DIGIT

%%
line  : expr '\n'      { printf("%d\n", $1); }
      ;
expr  : expr '+' term  { $$ = $1 + $3; }
      | term
      ;
term  : term '*' factor { $$ = $1 * $3; }
      | factor
      ;
factor: '(' expr ')'    { $$ = $2; }
      | DIGIT
      ;

%%
yylex() {
    int c;
    c = getchar();
    if (isdigit(c)) {
        yylval = c-'0';
        return DIGIT;
    }
    return c;
}
```

Yacc specification of a simple desk calculator

The Parser Generator `Yacc` — *continued*

The Declarations Part

- There are two sections in the declarations part of a `Yacc` program.
- Both are optional.
- In the first section, we put ordinary C declarations, delimited by `%{` and `%}`.
- Here we place declarations of any temporaries used by the translation rules or procedures of the second and third sections.

```
%{  
#include <ctype.h>  
%}  
  
%token DIGIT
```

The Parser Generator Yacc — *continued*

The Declarations Part

- This section contains only the include-statement

```
#include <ctype.h>
```

that causes the C preprocessor to include the standard header file `<ctype.h>` that contains the predicate `isdigit`.

```
%{  
#include <ctype.h>  
%}
```

```
%token DIGIT
```

The Parser Generator Yacc — *continued*

The Declarations Part

- Also in the declarations part are declarations of grammar tokens.

- The statement

```
%token DIGIT
```

declares `DIGIT` to be a token.

- Tokens declared in this section can then be used in the second and third parts of the Yacc specification.

- If `Lex` is used to create the lexical analyzer that passes token to the `Yacc` parser, then these token declarations are also made available to the analyzer generated by `Lex`.

```
%{  
#include <ctype.h>  
%}  
  
%token DIGIT
```

The Parser Generator Yacc — *continued*

The Translation Rules Part

```
%%  
line   : expr '\n'          { printf("%d\n", $1); }  
      ;  
expr   : expr '+' term      { $$ = $1 + $3; }  
      | term  
      ;  
term   : term '*' factor    { $$ = $1 * $3; }  
      | factor  
      ;  
factor : '(' expr ')'       { $$ = $2; }  
      | DIGIT  
      ;
```

- In the part of the Yacc specification after the first %% pair, we put the translation rules.
- Each rule consists of a grammar production and the associated semantic action.

The Parser Generator Yacc — *continued*

The Translation Rules Part

- A set of productions that we have been writing:

$$\langle \text{head} \rangle \rightarrow \langle \text{body} \rangle_1 \mid \langle \text{body} \rangle_2 \mid \cdots \mid \langle \text{body} \rangle_n$$

would be written in Yacc as

```

$$\begin{array}{lll} \langle \text{head} \rangle & : & \langle \text{body} \rangle_1 \quad \{ \langle \text{semantic action} \rangle_1 \} \\ & | & \langle \text{body} \rangle_2 \quad \{ \langle \text{semantic action} \rangle_2 \} \\ & & \dots \\ & | & \langle \text{body} \rangle_n \quad \{ \langle \text{semantic action} \rangle_n \} \\ & ; & \end{array}$$

```


The Parser Generator `Yacc` — *continued*

The Translation Rules Part

- In a `Yacc` production, unquoted strings of letters and digits not declared to be tokens are taken to be nonterminals.
- A quoted single character, e.g. `'c'`, is taken to be the terminal symbol `c`, as well as the integer code for the token represented by that character (i.e., Lex would return the character code for `'c'` to the parser, as an integer).
- Alternative bodies can be separated by a vertical bar, and a semicolon follows each head with its alternatives and their semantic actions.
- The first head is taken to be the start symbol.

```

%%
line   : expr '\n'          { printf("%d\n", $1); }
      ;
expr   : expr '+' term      { $$ = $1 + $3; }
      | term
      ;
term   : term '*' factor    { $$ = $1 * $3; }
      | factor
      ;
factor : '(' expr ')'       { $$ = $2; }
      | DIGIT
      ;

```

- A `Yacc` semantic action is a sequence of C statements.
- In a semantic action, the symbol `$$` refers to the attribute value associated with the nonterminal of the head.

```

%%
line   : expr '\n'          { printf("%d\n", $1); }
      ;
expr   : expr '+' term      { $$ = $1 + $3; }
      | term
      ;
term   : term '*' factor    { $$ = $1 * $3; }
      | factor
      ;
factor : '(' expr ')'       { $$ = $2; }
      | DIGIT
      ;

```

- While $\$i$ refers to the value associated with the i th grammar symbol (terminal or nonterminal) of the body.
- The semantic action is performed whenever we reduce by the associated production, so normally the semantic action computes a value for $$$$ in terms of the $\$i$'s.

The Parser Generator Yacc — *continued*

The Translation Rules Part

- In the Yacc specification, we have written the two *E*-productions and their associated semantic actions.

$$\begin{array}{l} E \rightarrow E + T \mid T \\ T \rightarrow T * F \mid F \\ F \rightarrow (E) \mid \text{digit} \end{array}$$

```
%  
line    : expr '\n'          { printf("%d\n", $1); }  
;  
expr    : expr '+' term      { $$ = $1 + $3; }  
        | term  
        ;  
term    : term '*' factor    { $$ = $1 * $3; }  
        | factor  
        ;  
factor  : '(' expr ')'       { $$ = $2; }  
        | DIGIT  
        ;
```

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \text{digit}
 \end{aligned}$$

```

%%
line    : expr '\n'          { printf("%d\n", $1); }
        ;
expr    : expr '+' term      { $$ = $1 + $3; }
        | term
        ;
term    : term '*' factor    { $$ = $1 * $3; }
        | factor
        ;
factor  : '(' expr ')'       { $$ = $2; }
        | DIGIT
        ;

```

- Note that the nonterminal `term` in the first production is the third grammar symbol of the body, while `+` is the second.

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \text{digit}
 \end{aligned}$$

```

%%
line   : expr '\n'          { printf("%d\n", $1); }
      ;
expr   : expr '+' term      { $$ = $1 + $3; }
      | term
      ;
term   : term '*' factor    { $$ = $1 * $3; }
      | factor
      ;
factor : '(' expr ')'       { $$ = $2; }
      | DIGIT
      ;

```

- The semantic action associated with the first production adds the value of the `expr` and the `term` of the body and assigns the result as the value for the nonterminal `expr` of the head.

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \text{digit}
 \end{aligned}$$

```

%%
line  : expr '\n'      { printf("%d\n", $1); }
      ;
expr  : expr '+' term  { $$ = $1 + $3; }
      | term
      ;
term  : term '*' factor { $$ = $1 * $3; }
      | factor
      ;
factor: '(' expr ')'   { $$ = $2; }
      | DIGIT
      ;

```

- We have omitted the semantic action for the second production altogether, since copying the value is the default action for productions with a single grammar symbol in the body.
- In general, $\{ \text{\$ \$} = \text{\$ 1}; \}$ is the default semantic action.

```

%%
line  : expr '\n'      { printf("%d\n", $1); }
      ;
expr   : expr '+' term  { $$ = $1 + $3; }
      | term
      ;
term   : term '*' factor { $$ = $1 * $3; }
      | factor
      ;
factor : '(' expr ')'   { $$ = $2; }
      | DIGIT
      ;

```

- Notice that we have added a new starting production
`line : expr '\n' { printf ("%d\n", $1); }`
to the Yacc specification.
- This production says that an input to the desk calculator is to be an expression followed by a newline character.
- The semantic action associated with this production prints the decimal value of the expression followed by a newline character.

The Parser Generator Yacc — *continued*

The Supporting C-Routines Part

```
%%  
yylex() {  
    int c;  
    c = getchar();  
    if (isdigit(c)) {  
        yylval = c-'0';  
        return DIGIT;  
    }  
    return c;  
}
```

- The third part of a Yacc specification consists of supporting C-routines.

The Parser Generator Yacc — *continued*

The Supporting C-Routines Part

```
%%  
yylex() {  
    int c;  
    c = getchar();  
    if (isdigit(c)) {  
        yylval = c-'0';  
        return DIGIT;  
    }  
    return c;  
}
```

- A lexical analyzer by the name `yylex()` must be provided.
- Using `Lex` to produce `yylex()` is a common choice.
- Other procedures such as error recovery routines may be added as necessary.

The Parser Generator Yacc — *continued*

The Supporting C-Routines Part

```
%%  
yylex() {  
    int c;  
    c = getchar();  
    if (isdigit(c)) {  
        yylval = c-'0';  
        return DIGIT;  
    }  
    return c;  
}
```

- The lexical analyzer `yylex()` produces tokens consisting of a token name and its associated attribute value.
- If a token name such as `DIGIT` is returned, the token name must be declared in the first section of the Yacc specification.
- The attribute value associated with a token is communicated to the parser through a Yacc-defined variable `yylval`.

The Parser Generator Yacc — *continued*

The Supporting C-Routines Part

```
%%  
yylex() {  
    int c;  
    c = getchar();  
    if (isdigit(c)) {  
        yylval = c-'0';  
        return DIGIT;  
    }  
    return c;  
}
```

- The lexical analyzer here is very crude.
- It reads input characters one at a time using the C-function `getchar()`.
- If the character is a digit, the value of the digit is stored in the variable `yylval`, and the token name `DIGIT` is returned.
- Otherwise, the character itself is returned as the token name.

Creating Yacc Lexical Analyzers with Lex

- Lex was designed to produce lexical analyzers that could be used with Yacc.
- The Lex library `ll` will provide a driver program named `yylex()`, the name required by Yacc for its lexical analyzer.
- If Lex is used to produce the lexical analyzer, we replace the routine `yylex()` in the third part of the Yacc specification by the statement `#include "lex.yy.c"` and we have each Lex action return a terminal known to Yacc.
- By using the `#include "lex.yy.c"` statement, the program `yylex` has access to Yacc's names for tokens, since the Lex output file is compiled as part of the Yacc output file `y.tab.c`.

Creating Yacc Lexical Analyzers with Lex

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Creating Yacc Lexical Analyzers with Lex — *continued*

- Under the UNIX system, if the Lex specification is in the file `first.l` and the Yacc specification in `second.y`, we can say

```
lex first.l  
yacc second.y  
cc y.tab.c -ly -ll
```

to obtain the desired translator.

Creating Yacc Lexical Analyzers with Lex — *continued*

```
number    [0-9]+\e.?|[0-9]*\e.[0-9]+
%%
[ ]       { /* skip blanks */ }
{number}  { sscanf(yytext, "%lf", &yylval);
           return NUMBER; }
\n|.     { return yytext[0]; }
```

Lex specification for yylex()

- The Lex specification in figure can be used in place of the lexical analyzer for Yacc.
- The last pattern, meaning “any character,” must be written `\n|.` since the dot in Lex matches any character except newline.



End of Slides