CSE 3318

Week of 06/10/2024

Instructor: Donna French

Coding Assignment 1 goals

- use conditional compile statements
- use command line parameters
- file handling
- linked list handling
- use the clock() function to time functions in your program
- use a provided executable to create large files

These are all skills/code you will need for later Coding Assignments. You will be reusing parts of this assignment in other assignments.

Create a program than can open a file listed on the command line, read through that file, write each line from the file into a linked list, print the linked list and free the linked list. You will time each step and count and sum during each step.

Linked Lists

I have taken my 3 lecture recordings on Linked Lists from my CSE 1320 class and combined them into 1 video. It is the recorded Teams lecture that goes with the Linked List slides.

The slides and the video are posted under Review Materials.

Coding Assignment 1 Creating your TestFile.txt

Download the file, FileGenerator.e, from Canvas to where you are compiling code on your PC.

The executable should run on your PC with no issues. Do NOT compile it – just run it.

Run it and it will give you a message about what parameters it expects. Use the file generator to create a file of 10 records. Use this file for testing and submit in your zip as "TestFile.txt".

./FileGenerator.e

Run as

FileGenerator.e FILENAME.txt xxxx

where FILENAME.txt is the name of the file to be created and xxxx is the total number of random numbers to generate

./FileGenerator.e TestFile.txt 10

Enter your student id (all 10 digits) : 1000074079

If you are on a Mac, run the Omega version of FileGenerator.e on Omega and use FileZilla to move the files back to your Mac.

FileGenerator.e will NOT run on your Mac.

Create a function to read the file and call a function to add nodes to the linked list

Parameters: argc, argv and the linked list head

Return Value: void

Use argv [1] to get the filename from the command line if it is exists. If the program is not run with a file name on the command line, then print the message shown in the sample output and exit. If the program is run with a file name on the command line, then open the file with "r". Use fgets () to loop through the file. See "File Handling in C" in the "Reviev Materials" module of Canvas. As each line of the file is read, call AddNodeToll() to add the number read from the file as a node in the linked list. Count how many records you read from the file/added to the linked list. Sum up the numbers read from the file/added to the linked list. Print the count and the sum to the screen - see sample output.

GTA Instructions

Compile the student's Code1_xxxxxxxxxx.c using a newer version of gcc. Perform all rubric criterion and pass/fail each one as needed. If code compiles with warnings/errors, fail all rubric points which will assign a grade of 0. Do not check any of the rubric criterion. Paste a copy of the compiler error/warning into the grader's notes for this criterion.

Assign a grade of 0 to assignment if any of the following coding constructs were used in the program - global variables (typedef strucst are definitions - not global variables), goto, continue, break (except in a switch), exit (except when allowed by the assignment specifically) and return used inside any loop.

But what happens

as x grows?

$$6x^2$$

$$6x^2 + 2x$$

$$6x^2 + 2x + 10$$

$$6(5)^2 = 150$$

 $6(5)^2 + 2(5) = 160$

$$6(5)^2 + 2(5) + 10 = 170$$

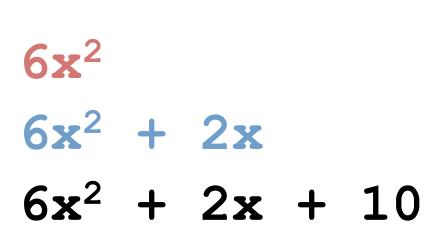
$$x = 500$$

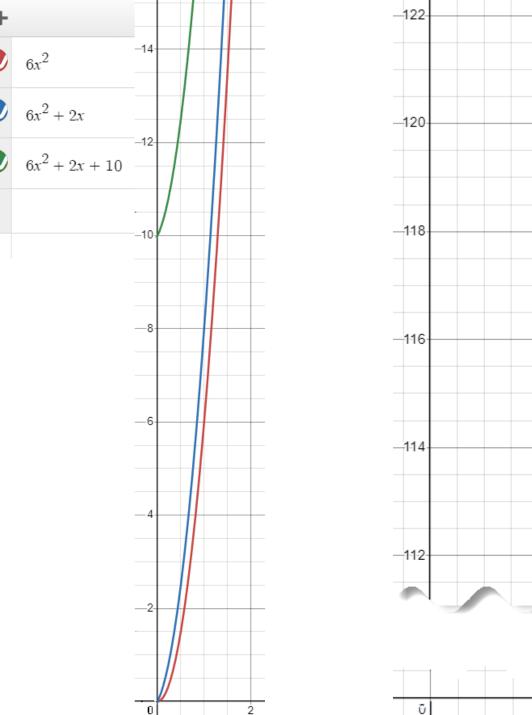
x = 5

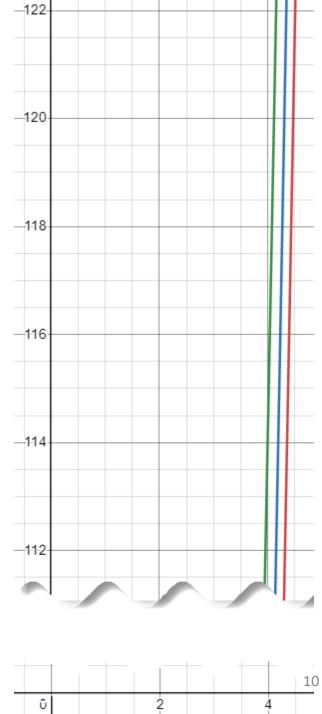
$$6(500)^2$$
 = 1,500,000
 $6(500)^2 + 2(500)$ = 1,501,000
 $6(500)^2 + 2(500) + 10$ = 1,501,010

$$x = 1,000,000$$

$$6(1,000,000)^2$$
 = $6,000,000,000,000$
 $6(1,000,000)^2 + 2(1,000,000)$ = $6,000,002,000,000$
 $6(1,000,000)^2 + 2(1,000,000) + 10$ = $6,000,002,000,9010$







The larger x becomes, the less difference there is between the outcomes of the equations.

So if the running time of an algorithm is calculated as

$$6n^2 + 2n + 100$$

we can simplify the equation to just n^2 to describe the running time.

Dropping the coefficient 6 and the remaining terms (2n + 100) does not have a large enough impact on the overall running time as n approaches infinitely.

It doesn't really matter what coefficients we use - as long as the running time is $an^2 + bn + c$ for some numbers a > 0, b and c, there will always be a value of an^2 is greater than bn + c and this difference increases as n increases.

By dropping the less significant terms and the constant coefficients, we can focus on the important part of an algorithm's running time

its rate of growth

without getting lost in details that could complicate our understanding and mislead us when comparing the running time of algorithms

When we drop the constant coefficients and the less significant terms, we use asymptotic notation.

We'll see three forms of it

big-Θ notation (theta)

big-O notation

big- Ω notation (omega)

The definition of **asymptotic** is a line that approaches a curve but never touches.

A curve and a line that get closer but do not intersect are examples of a curve and a line that are **asymptotic** to each other.

Why is the coefficient usually not that important with algorithms?

Typically, we just want to compare the running times of algorithms that perform the same task.

Algorithms tend to have different dominant terms (meaning they are in different complexity classes), which will allow us to easily identify which algorithms have faster running times for large values of n.

Calculating the coefficients for the running time of algorithms is often time consuming, error prone and inexact.

Identifying the most significant term for the running time is more straight forward.

Algorithms in the same complexity class that perform the same task typically have similar coefficients with some small differences that indicate improvements between algorithms in the same complexity class.

The words "typically" and "usually" and "similar" and "tend to" were used pretty heavily when describing why it's OK to drop coefficients and other terms.

We will soon see that the value of *n* plays a role is using these not-so-concrete descriptors.

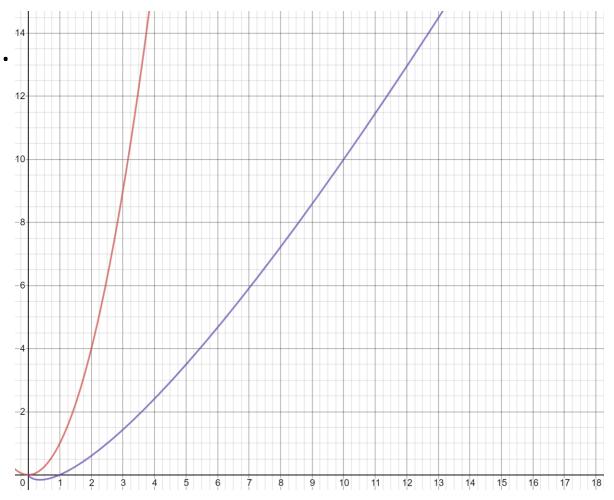
We will study sorting algorithms (like insertion sort) that have a n^2 run time.

We will study sorting algorithms (like merge sort) have $n\log_2 n$ run times.

We have compared n^2 to $n\log_2 n$ run times.

We can see that n^2 rises much quicker than $n\log_2 n$, which, in general terms, means that $n\log_2 n$ is the better algorithm in terms of running time.

So why would we ever use an algorithm with an n^2 run time?



For smaller values of *n*, those coefficients can matter.

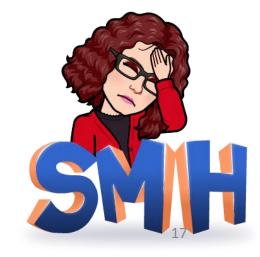
If the n^2 algorithms have small coefficients and the $n\log_2 n$ algorithms have large coefficients, then the coefficients will come into play when the value of n is smaller. Only with larger values of n do we see n^2 algorithms running slower than the $n\log_2 n$ algorithms.

Asymptotic notation can be a really useful to talk about and compare algorithms.

It is definitely not without its limitations.

Let's look at a linear search function that returns when it finds the value

```
for (i = 0; i < array_size; i++)
{
    if (array[i] == SearchValue)
        {
        return i;
     }
}
return -1;</pre>
```



For each loop, several things happen

- check that i < array size
- compare array[i] with SearchValue
- possibly return i
- increment i

```
for (i = 0; i < array_size; i++)
{
   if (array[i] == SearchValue)
   {
     return i;
   }
}
return -1;</pre>
```

Each step takes a constant amount of time each time the loop executes

- check that i < array size
- compare array[i] with SearchValue
- possibly return i
- increment i

Let's call the sum of all those times c_1 .

So if the for loop iterates n times, then the time needed for all iterations can be expressed as c_1n

So what is the value of the c_1 in this c_1n formula?

The answer is

it depends

What is the

speed of the computer?

the programming language used?

the compiler or interpreter that translates the source program into runnable code?

other factors?

Are there steps in addition to the for loop steps?

- i is initialized to 0
- -1 will be returned when SearchValue is not found in the array

Let's sum up this time and call it c_2

```
for (i = 0; i < array_size; i++)
{
   if (array[i] == SearchValue)
   {
      return i;
   }
}
return -1;</pre>
```

So, the total time for linear search in the worst case is

$$c_1 n + c_2$$

We know the bigger n gets, the less significant c_1 and c_2 become.

So much so that we can drop them and just say the worst case is

n

where *n* is the size of the array to be searched.

The average running time of linear search grows as the array grows.

The notation used to describe this behavior is

 $\Theta(n)$

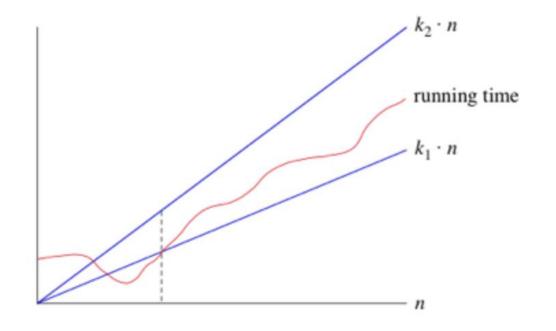
Big Theta of *n*

 $\Theta(n)$

When an algorithm's run time is described using Θ notation, we are stating there is an

asymptotically tight bound

on the running time meaning that the run time will be tightly bound within a range once *n* gets big enough.



 $\Theta(n)$

Think of Θ notation as a useful range between a narrow upper and lower bounds.

What is the temperature going to be today?

Well, it won't get hotter than 120° F or colder than -23° F today – guaranteed!

A narrower (and more useful) range would be a high of 94°F and a low of 74°.

If we have an algorithm that runs in constant time,

finding the smallest element of a sorted array

we would describe the run time as a function of n, which in Θ notation would be

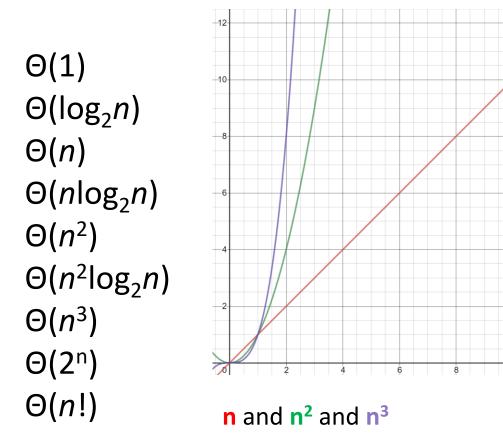
 $\Theta(n^0)$

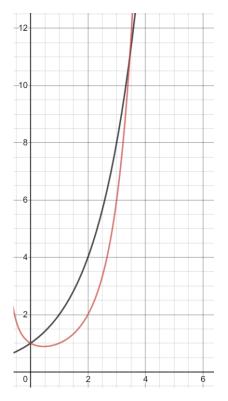
The algorithm's run time is within some constant factor of 1.

Because $n^0 = 1$, you will see this written as

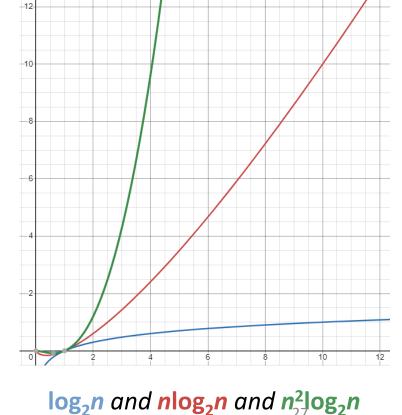
 $\Theta(1)$

Here's a list of functions in Θ asymptotic notation from slowest to fastest in terms of growth





 2^n and n!



Two algorithms for sorting: insertion sort and merge sort

Insertion Sort

Takes $c_1 n^2$ to sort n items c_1 is a constant that does not depend on n

Merge Sort

Takes $c_2 n \log_2 n$ to sort n items c_2 is a constant that does not depend on n

Insertion sort typically has a smaller constant factor than merge sort so

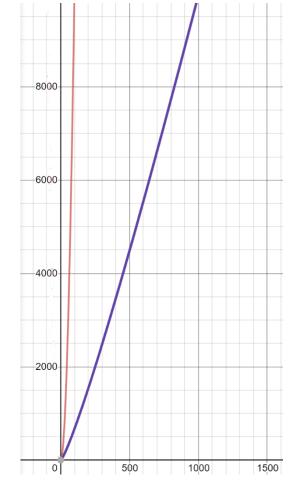
Insertion Sort $c_1 n^2$

Merge Sort $c_2 n \log_2 n$

Let's compare n^2 to $n\log_2 n$

If n is 10, then n^2 is 100 and $n\log_2 n$ is ~33.

If n is 1000, then n^2 is 1,000,000 and $n\log_2 n$ is ~9966



If *n* is 1,000,000, then n^2 is 1,000,000,000,000 and $n\log_2 n$ is ~19,931,569

Insertion Sort $c_1 n^2$

 $c_1 < c_2$

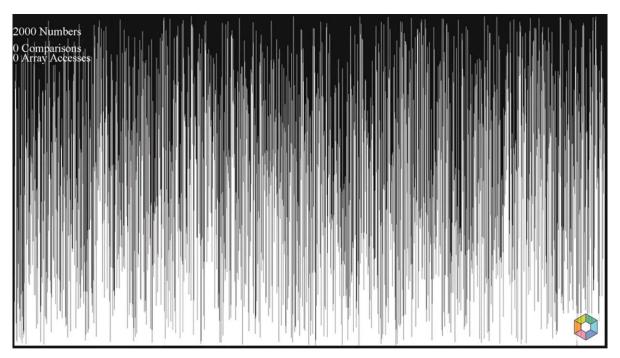
Merge Sort

 $c_2 n \log_2 n$

If *n* is 1,000,000, then n^2 is 1,000,000,000 and $n \log_2 n$ is ~200

How much larger would c_2 need to be than c_1 to get these two values even close to each other?

No matter how much smaller c_1 is than c_2 , there will always be a crossover point beyond which merge sort is faster.



Insertion Sort

 $c_1 n^2$

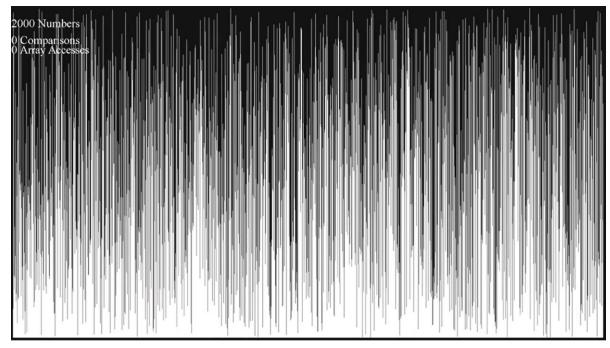
n = 2000

 $c_1(2000^2) = c_1(4,000,000)$

 $4000000c_1 = 2,893,035$ actions $c_1 \approx 0.72$

 $0.72n^{2}$

c₁ is less than c₂



Merge Sort

 $c_2 n \log_2 n$

n = 2000

 $c_2(2000)\log_2(2000) \approx c_2(2000)(10.97) \approx c_2(21,940)$

 $21940c_2 = 63,327$ actions $c_2 \approx 2.89$

 $2.89n \log_2 n$

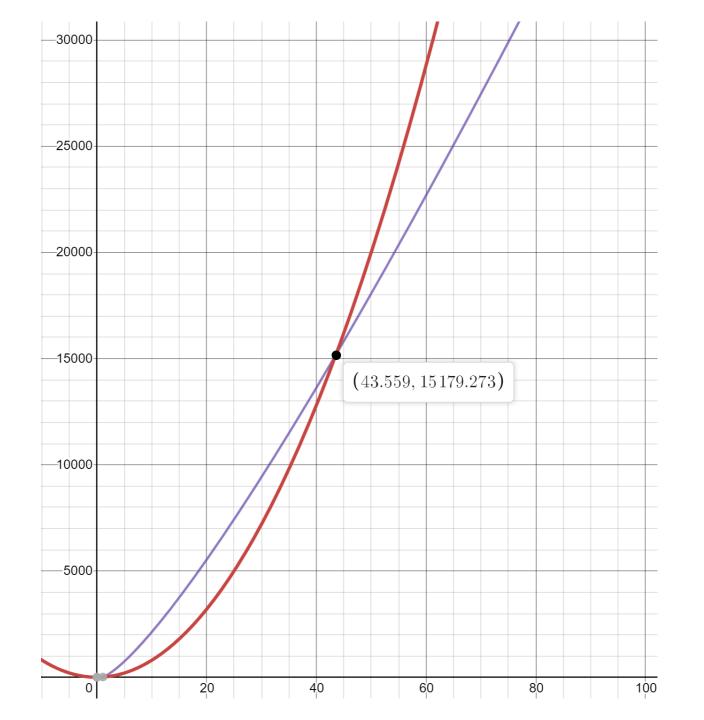
Suppose we are comparing implementations of insertion sort and merge sort on the same machine. For inputs of size n, insertion sort runs in $8n^2$ steps while merge sort runs in $64n\log_2 n$ steps.

For which values of *n* does insertion sort beat merge sort?

Insertion	Merge	Insertion	Merge	Insertion	Merge
$8n^2$	64 <i>n</i> log ₂ <i>n</i>	$8n^2$	64 <i>n</i> log ₂ <i>n</i>	$8n^2$	64 <i>n</i> log ₂ <i>n</i>
n = 2	n = 2	n = 32	n = 32	n = 64	n = 64
8(2)2	$64(2)(\log_2 2)$	8(32) ²	64(32)(log ₂ 32)	8(64) ²	64(64)(log ₂ 64)
32	128	8192	10240	32,768	24,576

So for what input of size n, does an insertion sort running in $8n^2$ steps lose to a merge sort that runs in $64n\log_2 n$ steps?

If we graph the two equations, we can physically see where the run time for insertion sort crosses over the run time for merge sort.



Insertion

Merge

 $8n^2$

64*n*log₂*n*

n = 43

n = 43

8(43)²

 $64(43)(\log_2 43)$

14,792

~14,933

Insertion

Merge

 $8n^2$

 $64n\log_2 n$

n = 44

n = 44

 $8(44)^2$

64(44)(log₂44)

15,488

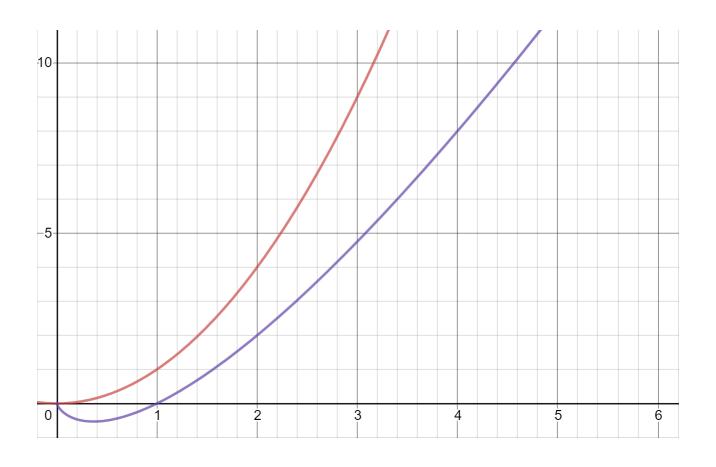
~15,374

What happens if we remove the coefficients from the equations so that we are comparing an insertion sort running in n^2 steps to a merge sort that runs in $n \log_2 n$ steps?

For which values of *n* does insertion sort beat merge sort?

Insertion	Merge	Insertion	Merge	Insertion	Merge
n^2	$n\log_2 n$	n^2	nlog ₂ n	n^2	$n\log_2 n$
n = 2	n = 2	n = 32	n = 32	n = 64	n = 64
$(2)^2$	$(2)(\log_2 2)$	$(32)^2$	(32)(log ₂ 32)	$(64)^2$	(64)(log ₂ 64)
4	2	1024	160	4096	284

We can see from graphing the two equations, **insertion sort** is never better than **merge sort** when no coefficients are involved.



Sorting Problem

Input : A sequence of *n* numbers $\langle a_1, a_2, ..., a_n \rangle$.

Output : A permutation (reordering) $\langle a'1, a'2, ... a'_n \rangle$ of the input sequence such that $a'_1 \le a'_2 \le ... \le a'_n$.

One way to solve this sorting problem is with the insertion sort algorithm.

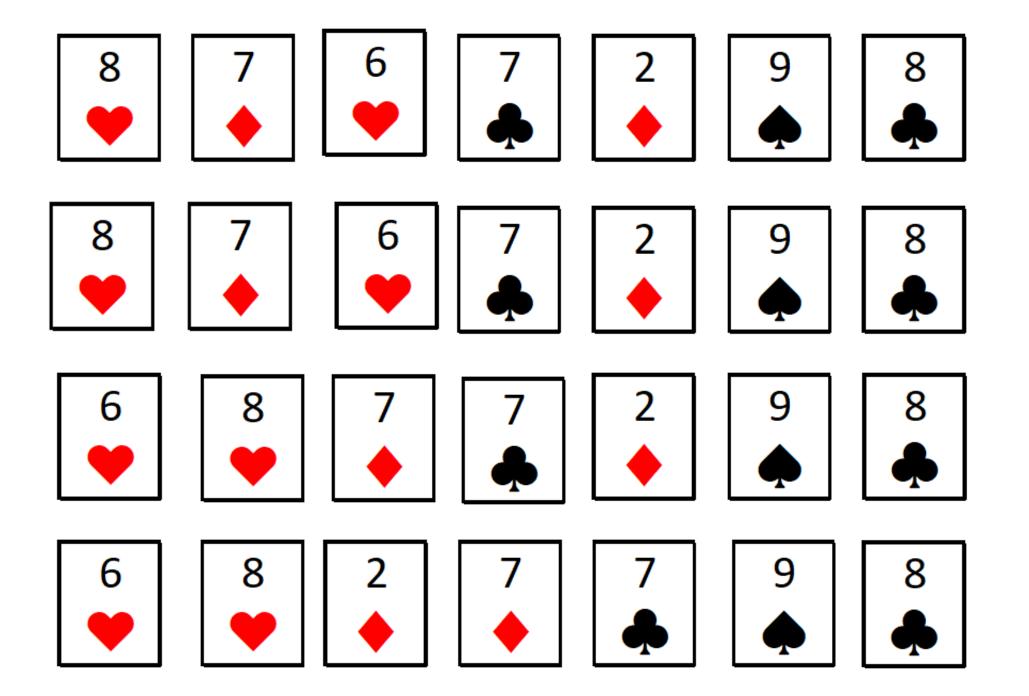
Insertion Sort algorithm sorts the input numbers in place.

It rearranges the numbers within the array.

The input array of an insertion sort also contains the sorted output when the sort completes.

We will refer to the array element that we are moving around as the "key".

4 3 2 10 12 1 5 6



12, 11, 13, 5, 6

Why start at the 2nd element and not the first?

Let us loop for i = 1 (2nd element of the array) to 4 (last element of the array)

- i = 1 Since 11 is smaller than 12, move 12 and insert 11 before 12 11, 12, 13, 5, 6
- - **5**, **11**, **12**, **13**, 6
- i = 4 6 will move to position after 5, and elements from 11 to 13 will move one position ahead of their current position.
 - 5, <mark>6</mark>, 11, 12, 13





1

|| 1

Insertion Sort

n is the number of elements to sort

for
$$j = 2$$
 to n

key = A[j]
// Insert A[j] into sorted sequence
i = j - 1;

while i > 0 and A[i] > key

$$A[i + 1] = A[i];$$

i = i - 1;

$$A[i + 1] = key;$$



n is the number of elements to sort

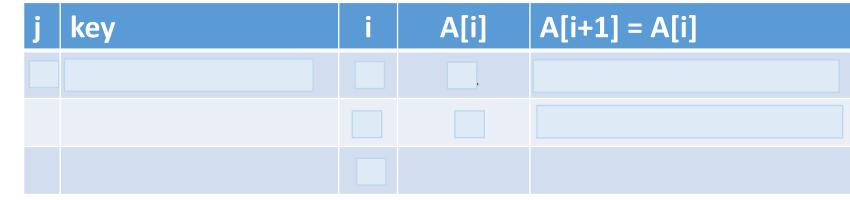
for
$$j = 2$$
 to n

key = A[j]
// Insert A[j] into sorted sequence
i = j - 1;

while i > 0 and A[i] > key

$$A[i + 1] = A[i];$$

 $i = i - 1;$





4 12 12 8 11 1 4 12 8 11

$$A[i + 1] = key;$$

for j = 2 to n

$$key = A[j]$$

// Insert A[j] into sorted sequence

$$i = j - 1;$$

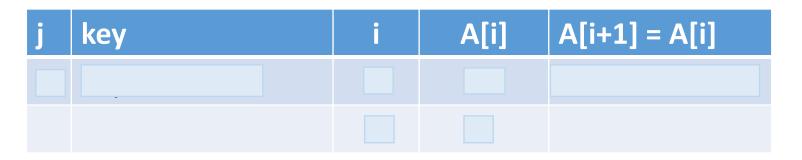
while i > 0 and A[i] > key

$$A[i + 1] = A[i];$$

i = i - 1;

$$A[i + 1] = key;$$

Insertion Sort



- 1 2 3 4 5
- 1 4 12 8 11
- 1 4 12 12 11
- 1 4 8 12 11

4

 \neg

: || 11

for j = 2 to n

$$key = A[j]$$

// Insert A[j] into sorted sequence

$$i = j - 1;$$

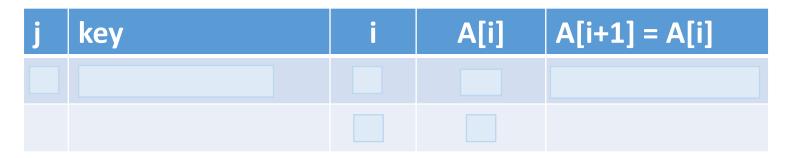
while i > 0 and A[i] > key

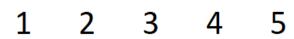
$$A[i + 1] = A[i];$$

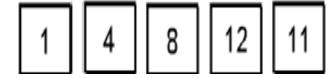
 $i = i - 1;$

$$A[i + 1] = key;$$

Insertion Sort







How long an insertion sort runs depends on several factors...

characteristics of the input
amount of it
how sorted it already is

In general, the time taken by an algorithm grows with the size of the input.

The "running time" of a program is described as a function of the "input size".

How "input size" is defined depends on the problem...

For sorting, the number of items in the input (array size)

For multiplying two integers, the input size is the total number of bits needed to represent the input

For a graph problem, the input size can be described by the numbers of vertices and edges in the graph.

The "running time" of an algorithm on a particular input is the number of primitive operations or "steps" executed.

These steps should be as machine-independent as possible.

Let's assume that a constant amount of time is required to execute each line of our pseudocode.

One line may take a different amount of time than another, but each execution of line i takes the same amount of time c_i .

The for loop will start execution with a value of 2 and will continue to loop until n where n is the number of items to be sorted. This value is j in our pseudocode.

Let t_i be the number of times that the while loop test is executed for that value of j.

Remember that when a for or while loop exits in the usual way—due to the test in the loop header—the test is executed one time more than the loop body.

1 for j = 2 to n

cost

times

 c_1

n

2 key = A[j]

 c_2

n - 1

Execute one less time than loop

3 // Insert A[j] into sorted part

4 i = j - 1

 C_4

n - 1

5 while i > 0 and A[i] > key

*C*₅

 $\sum_{j=2}^{n} t_j$ Sum over the loop

6 A[i + 1] = A[i];

*c*₆

 $\sum_{j=2}^{n} (t_j - 1)$

7 i = i - 1

*C*₇

 $\sum_{j=2}^{n} (tj - 1)$

Execute one less time than loop

8 A[i + 1] = key

*C*₈

n - 1

To compute T(n), the running time of Insertion Sort on an input of n values, we sum the products of the cost and times columns

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^{n} t_j + c_5 \sum_{j=2}^{n} (t_j - 1) + c_7 \sum_{j=2}^{n} (t_j - 1) + c_8 (n-1)$$

Best Case Scenario

The array is already sorted which means that we always find that

$$A[j] \leq key$$

every time the while loop is tested for the first time (when i = j - 1).

 t_j is the number of times that the while loop test is executed for that value of j.

In the best case scenario, t_j is 1 because the while loop tests executes only once for each pass through the for loop (each value of j).

Best Case 5 6 11 12 13

Before j=2 loop 5 6 11 12 13
$$c_6$$
 and c_7 did not execute

After j=2 loop 5 6 11 12 13

Before j=3 loop 5 6 11 12 13
 c_6 and c_7 did not execute

After j=3 loop 5 6 11 12 13

Before j=4 loop 5 6 11 12 13
 c_6 and c_7 did not execute

After j=4 loop 5 6 11 12 13

Before j=4 loop 5 6 11 12 13

 c_6 and c_7 did not execute

After j=5 loop 5 6 11 12 13

After j=5 loop 5 6 11 12 13

Final value of j is 6

 c_1 executed 5 times $-> c_1$ executed *n* times (*n* is the number of array elements) c_2, c_4, c_5, c_8 executed 4 times -> c_2, c_4, c_5, c_8 executed *n*-1 times c₆ and c₇ did not execute

$$c_1$$
 for $j = 2$ to n
 c_2 key = A[j]

// Insert A[j] into sorted part

 c_4 i = j - 1

 c_5 while i > 0 and A[i] > key

 c_6 A[i + 1] = A[i];

$$c_8$$
 A[i + 1] = key

Best Case Scenario

Running time

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^{n} t_j + c_5 \sum_{j=2}^{n} (t_j - 1) + c_7 \sum_{j=2}^{n} (t_j - 1) + c_8 (n-1)$$

can be simplified to

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5(n-1) + c_8(n-1)$$

Best Case Scenario

Running time

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 (n-1) + c_8 (n-1)$$

can be further simplified to

$$T(n) = c_1 n + c_2 n - c_2 + c_4 n - c_4 + c_5 n - c_5 + c_8 n - c_8$$

$$c_1 n + c_2 n + c_4 n + c_5 n + c_8 n - c_2 - c_4 - c_5 - c_8$$

$$(c_1 + c_2 + c_4 + c_5 + c_8) n - (c_2 + c_4 + c_5 + c_8)$$

$$T(n) = an + b$$
where constants a and b depend on the statement costs c_i

$$T(n)$$
 is a linear function

Worst Case Scenario

The array is in reverse sorted order.

Always find that

in while loop test.

Have to compare key with all elements to the left of the jth position which means always comparing with j-1 elements

```
Before j=2 loop 13 12 11 6 5
                                                  C<sub>1</sub>, C<sub>2</sub>, C<sub>4</sub>, C<sub>8</sub>
        i before 1 and i after 0
                                                  C_5, C_6, C_7, C_5
After j=2 loop 12 13 11 6 5
Before j=3 loop 12 13 11 6 5
                                                  C_1, C_2, C_4, C_8
        i before 2 and i after 1
                                                  C_5, C_6, C_7
        i before 1 and i after 0
                                                  C_5, C_6, C_7, C_5
After j=3 loop 11 12 13 6 5
Before j=4 loop 11 12 13 6 5
                                                  C_1, C_2, C_4, C_8
        i before 3 and i after 2
                                                  C_5, C_6, C_7
        i before 2 and i after 1
                                                  C_5, C_6, C_7
        i before 1 and i after 0
                                                  C_5, C_6, C_7, C_5
After j=4 loop 6 11 12 13 5
Before j=5 loop 6 11 12 13 5
                                                  C_1, C_2, C_4, C_8
        i before 4 and i after 3
                                                  C_5, C_6, C_7
        i before 3 and i after 2
                                                  C_5, C_6, C_7
        i before 2 and i after 1
                                                  C_5, C_6, C_7
        i before 1 and i after 0
                                                  C_5, C_6, C_7, C_5
After j=5 loop 5 6 11 12 13
Final value of j is 6
                                                   C_1
```

Worst Case 13,12,11,6,5

```
c_1 for j = 2 to n
      key = A[j]
c_2
       // Insert A[j] into sorted part
     i = j - 1
      while i > 0 and A[i] > key
C_{5}
          A[i + 1] = A[i];
C_6
         i = i - 1
       A[i + 1] = key
```

Before j=2 loop 13 12 11 6 5	C ₁ , C ₂ , C ₄ , C ₈	Worst Case 13,12,11,6,5
i before 1 and i after 0	C_5 , C_6 , C_7 , C_5	C ₁
After j=2 loop 12 13 11 6 5		5 times n times
Before j=3 loop 12 13 11 6 5	C ₁ , C ₂ , C ₄ , C ₈	
i before 2 and i after 1 i before 1 and i after 0	C_5, C_6, C_7 C_5, C_6, C_7, C_5	c_2, c_4, c_8 4 times $n - 1$ times
After j=3 loop 11 12 13 6 5		
Before j=4 loop 11 12 13 6 5	C ₁ , C ₂ , C ₄ , C ₈	c ₅
i before 3 and i after 2 i before 2 and i after 1 i before 1 and i after 0	C_5, C_6, C_7 C_5, C_6, C_7 C_5, C_6, C_7, C_5	14 times 1 + 1 more to fail
After j=4 loop 6 11 12 13 5 Before j=5 loop 6 11 12 13 5	C ₁ , C ₂ , C ₄ , C ₈	2 + 1 more to fail 3 + 1 more to fail 4 + 1 more to fail
i before 4 and i after 3 i before 3 and i after 2 i before 2 and i after 1 i before 1 and i after 0	C_5, C_6, C_7 C_5, C_6, C_7 C_5, C_6, C_7 C_5, C_6, C_7	c ₆ ,c ₇ 1x per while loop 2x per while loop
After j=5 loop 5 6 11 12 13 Final value of j is 6	c_1	3x per while loop 4x per while loop

 t_j is the number of times the while loop runs excludes check to fail

 c_1

 C_6, C_7

5 times n times

Worst Case Scenario

Running time

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^{n} t_j + c_5 \sum_{j=2}^{n} (t_j - 1) + c_7 \sum_{j=2}^{n} (t_j - 1) + c_8 (n-1)$$

4 times n – 1 times

c₅

14 times

1 + 1 more to fail

2 + 1 more to fail

3 + 1 more to fail

4 + 1 more to fail

The formula for c_5 needs a slight change to accommodate the "1 more to fail" pass

1x per while loop 2x per while loop 3x per while loop 4x per while loop

Worst Case Scenario

Since the while loop exits because *i* reaches 0, there is one additional test for each pass which equals *j*;

therefore,

 $t_i + 1$ more pass to fail = j

So $c_5 \sum_{j=2}^n t_j$ is now $c_5 \sum_{j=2}^n j$

 $t_{\rm j}$ is the number of times the while loop runs - excludes check to fail

 c_1

5 times n times

 $\mathsf{c}_{\mathsf{2}}, \mathsf{c}_{\mathsf{4}}, \mathsf{c}_{\mathsf{8}}$

 $4 \text{ times} \quad n-1 \text{ times}$

C₅

14 times

1 + 1 more to fail

2 + 1 more to fail

3 + 1 more to fail

4 + 1 more to fail

 C_6, C_7

1x per while loop 2x per while loop 3x per while loop 4x per while loop

Analysis of Insertion Sort **Worst Case Scenario**

 t_i is the number of times the while loop runs excludes check to fail

So
$$c_5 \sum_{j=2}^n t_j$$
 is now $c_5 \sum_{j=2}^n j$ which is $c_5((\sum_{j=1}^n j) - 1)$

$$\sum_{j=1}^{n} j$$
 is an arithmetic series that equals $\frac{n(n+1)}{2}$

$$\frac{n(n+1)}{2} - 1 \text{ when } n = 5$$

$$\frac{5(5+1)}{2} - 1 = 14$$

$$\frac{5(5+1)}{2}$$
 - 1 = 14

$$c_5 \sum_{j=2}^n t_j$$
 equals $c_5((\sum_{j=1}^n j) - 1)$ and $((\sum_{j=1}^n j) - 1)$ equals $\frac{n(n+1)}{2} - 1$

So
$$c_5 \sum_{j=2}^{n} t_j$$
 can be expressed as $c_5 (\frac{n(n+1)}{2} - 1)$

Analysis of Insertion Sort Worst Case Scenario

 $t_{\rm j}$ is the number of times the while loop runs excludes check to fail

$$c_6 \sum_{j=2}^{n} (t_j - 1)$$
 and $c_7 \sum_{j=2}^{n} (t_j - 1)$

$$\sum_{j=2}^{n} t_j = \sum_{j=2}^{n} j$$
 and $\sum_{j=2}^{n} (t_j - 1) = \sum_{j=2}^{n} (j - 1)$

$$\sum_{k=1}^{a} k$$
 is an arithmetic series that equals $\frac{a(a+1)}{2}$

$$\frac{n(n-1)}{2} \text{ when } n = 5$$

$$\frac{5(5-1)}{2} = 10$$

$$C_6, C_7$$

1x per while loop 2x per while loop 3x per while loop 4x per while loop

If we let
$$k = j - 1$$
, then $\sum_{j=2}^{n} (j - 1)$ equals $\sum_{k=1}^{n-1} k$ which equals $\frac{n(n-1)}{2}$

So
$$\sum_{j=2}^{n} (t_j - 1)$$
 can be expressed as $\frac{n(n-1)}{2}$

t_j is the number of times the while loop runs excludes check to fail

Analysis of Insertion Sort

Worst Case Scenario

Running time

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=1}^n j - 1 + c_5 \sum_{j=2}^n (t_j - 1) + c_6 \sum_{j=2}^n (t_j - 1) + c_6 (\frac{n(n-1)}{2}) + c_7 \sum_{j=2}^n (t_j - 1) + c_8 (n-1)$$

t_j is the number of times the while loop runs excludes check to fail

Analysis of Insertion Sort

Worst Case Scenario

Running time

$$T(n) = c_{1}n + c_{2}(n-1) + c_{4}(n-1) + T(n) = c_{1}n + c_{2}n - c_{2} + c_{4}n - c_{4} + c_{5}(\frac{n(n+1)}{2} - 1) + c_{5}(\frac{n(n-1)}{2}) + c_{7}(\frac{n(n-1)}{2}) + c_{8}(n-1)$$

$$T(n) = c_{1}n + c_{2}n - c_{2} + c_{4}n - c_{4} + c_{5}(\frac{n^{2}}{2} +$$

 t_j is the number of times the while loop runs excludes check to fail

Worst Case Scenario

Running time

$$T(n) = c_1 n + c_2 n - c_2 + c_4 n - c_4 + c_5 \frac{n^2}{2} + c_5 \frac{n}{2} - c_5$$

$$c_6 \frac{n^2}{2} + c_6 \frac{n}{2}$$

$$c_7 \frac{n^2}{2} + c_7 \frac{n}{2} + c_8$$

$$T(n) = \left(\frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2}\right)n^2 + \left(c_1 + c_2 + c_4 + \frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2} + c_8\right)n + -\left(c_2 + c_4 + c_5 + c_8\right)$$

$$T(n) = an^2 + bn + c$$

where constants a, b and c depend on the statement costs c_i

T(n) is a quadratic function

Worst-case and Average-case Analysis

So we found the best case (array was already sorted) running time and the worst case (array was reverse sorted) running time.

Best case running time was linear.

Worst case running time was quadratic.

What about the average case?

Worst-case and Average-case Analysis

What is an average case?

The ability to create an average case is limited because it may not be apparent what constitutes an "average" input for a particular problem.

Suppose that we randomly choose n numbers as the input to insertion sort in order create an "average" input.

On average, the key in A[j] is less than half the elements in A[1...j-1] and it's greater than the other half.

On average, the while loop has to look halfway through the sorted subarray A[1...j-1] to decide where to drop key.

$$t_i \approx j/2$$

Although the average-case running time is approximately half of the worst-case running time, it's still a quadratic function of *n*.

Worst-case and Average-case Analysis

We usually concentrate on finding the worst-case running time: the longest running time for any input of size n.

Reasons

The worst-case running time gives a guaranteed upper bound on the running time for any input.

For some algorithms, the worst case occurs often.

For example, when searching, the worst case often occurs when the item being searched for is not present, and searches for absent items may be frequent.

Why not analyze the average case? Because it's often about as bad as the worst case. Although the average-case running time is approximately half of the worst-case running time, it's still a quadratic function of *n*

We used some simplifying abstractions to ease our analysis of Insertion Sort.

- We ignored the actual cost of each statement by using c_i
- We went a step further by using constants a, b and c to represent different groupings of c_i

We are going to use one more abstraction to simplify our analysis

Since it is the rate of growth or order of growth of the running time that really interests us, we will only consider the leading term of the formula – we ignore the lower-order terms and the leading term's constant coefficient.

For example, our worst case running time of insertion sort is

$$an^2 + bn + c$$

Ignoring the lower-order terms and the leading term's constant coefficient gives us

 n^2

But we cannot say that the worst-case running time T(n) equals n^2

It grows like n^2 but it does not **equal** n^2 .

 $an^2 + bn + c$

When n = 1, the lower-order terms and the leading term's constant coefficient have more weight/influence.

The value of *c* for example, could easily overshadow *n* when *n* is small.

But, when n gets larger like n = 1000000

 n^2 is 100000000000 and the values of a, b, c and even the lower order n will have much less impact – a small enough effect to ignore.

It grows like n^2 but it does not **equal** n^2 .

To show growth without equivalency, the following notation is used

Insertion sort has a worst-case running time of $\Theta(n^2)$

This is pronounced as "theta of *n*-squared"

We usually consider one algorithm to be more efficient than another if its worst case running time has a smaller order of growth.

We use big-Θ notation to asymptotically bound the growth of a running time to within constant factors above and below.

Sometimes we want to bound from only above.

For example, although the worst-case running time of binary search is

 $\Theta(\log_2 n)$

it would be incorrect to say that binary search runs in $\Theta(\log_2 n)$ time in *all* cases.

What if we find the target value upon the first guess?

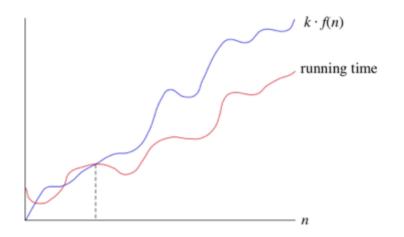
Then it runs in $\Theta(1)$ time.

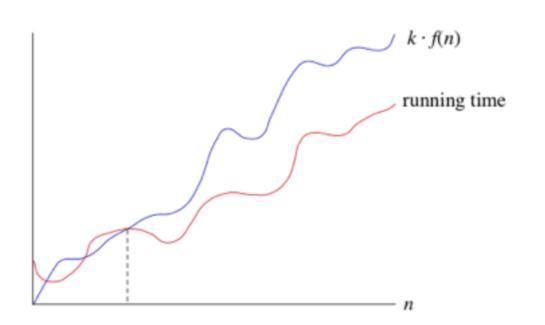
The running time of binary search is never worse than $\Theta(\log_2 n)$, but it's sometimes better.

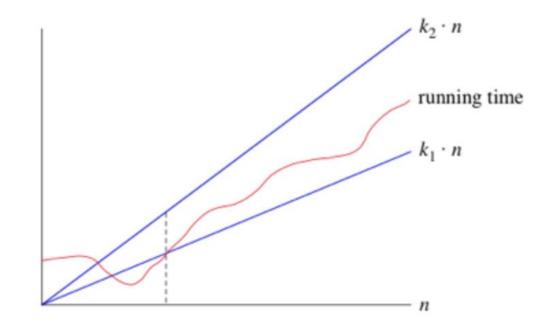
A form of asymptotic notation called "big-O" notation means "the running time grows at most this much, but it could grow more slowly."

"big-O" notation means "the running time grows at most this much, but it could grow more slowly."

We use big-O notation for **asymptotic upper bounds**, since it bounds the growth of the running time from above for large enough input sizes.







Big O asymptotic upper bound

Big Θ asymptotically tight bound

Because big-O notation gives only an asymptotic upper bound, and not an asymptotically tight bound, we can make statements that at first glance seem incorrect but are technically correct.

We have said that the worst-case running time of binary search is $\Theta(\log_2 n)$ time.

It is still correct to say that binary search runs in O(n).

That's because the running time grows no faster than a constant times n. In fact, it grows slower (log_2n)

If you have \$10 in your pocket, you can honestly say "I have an amount of money in my pocket, and I guarantee that it's no more than one million dollars."

Your statement is absolutely true but not terribly precise.

One million dollars is an upper bound on \$10, just as O(n) is an upper bound on the running time of binary search. O(n) is not very precise but it is still correct.

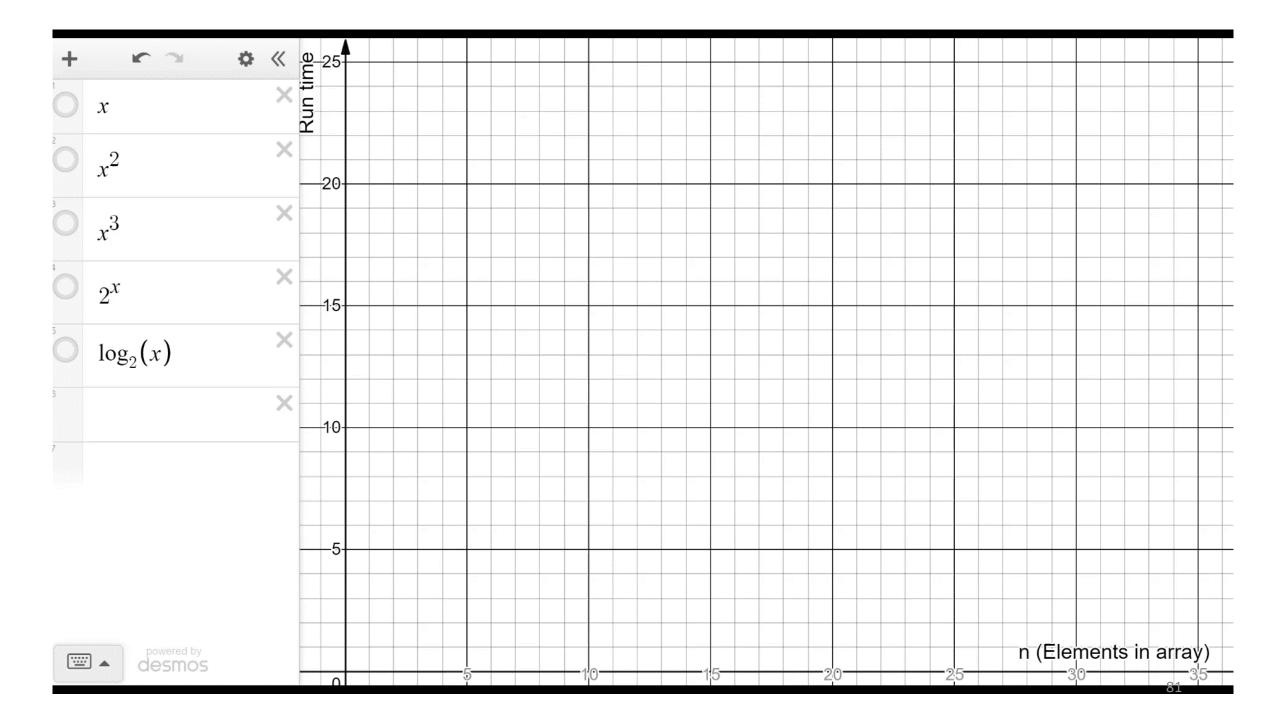
The worst-case running time of binary search is $\Theta(\log_2 n)$ time but it would also be accurate (not precise) to state that binary search has a run time of O(n), $O(n^2)$, $O(n^3)$, and $O(2^n)$.

For example, if n = 4...

$$\log_2 n < O(n) < O(n^2) < O(2^n) < O(n^3)$$
 2 <= 4 <= 16 <= 64

We don't have much use for these correct but imprecise big O representations.

By precise, we mean the notation that gives us the best idea of the actual run time.



If we have a set like this

{2,3,5,7,9,12,17,42}

then the tight lower bound is the greatest of all lower bounds

0 is a lower bound1.99 is a lower bound-32,567 is a lower bound

2 is a lower bound

Which one of these is the greatest of all lower bounds?

If we have a set like this

{2,3,5,7,9,12,17,42}

then the tight upper bound is the least of all upper bounds

42.001 is an upper bound 4561.99 is an upper bound 932,567 is an upper bound 42 is an upper bound

Which one of these is the least of all upper bounds?

The programs we've discussed are generally structured as functions that call one another in a disciplined, hierarchical manner.

For some types of problems, it's useful to have functions call themselves.

A recursive function is a function that calls itself either directly or indirectly through another function.

Recursion is a complex topic discussed at length in upper-level computer science courses.

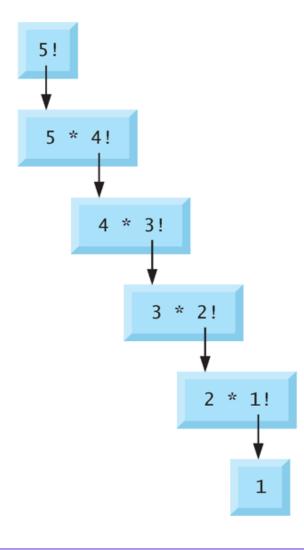
Recursion occurs when a function or subprogram calls itself or calls a function which in turn calls the original function.

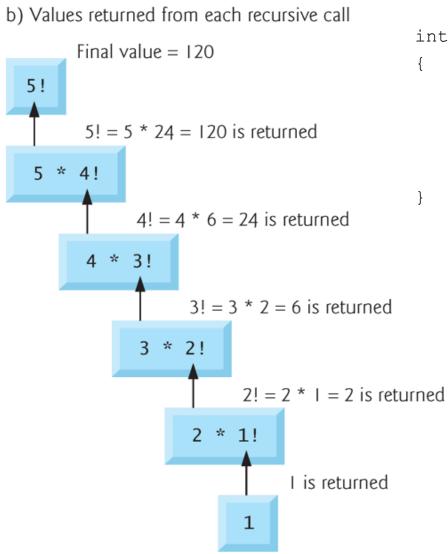
A simple example of a mathematical recursion is factorial

```
1! = 1
2! = 2*1 = 2
3! = 3*2*1 = 6
4! = 4*3*2*1 = 24
5! = 5*4*3*2*1 = 120
```

```
n! = n * (n - 1)!
int factorial(int n)
   if (n == 0)
      return 1;
   else
      return (n * factorial(n - 1));
```

a) Sequence of recursive calls





```
n! = n * (n - 1)!
int factorial(int n)
   if (n == 0)
      return 1;
   else
      return (n * factorial(n - 1));
```

```
int main(void)
  int input, output;
  printf("Enter an input for the factorial ");
  scanf("%d", &input);
  output = factorial(input);
  printf("The result of %d! is %d\n\n", input, output);
  return 0;
                               Enter an input for the factorial 4
int factorial(int n)
                               The result of 4! is 24
  if (n == 0)
     return 1;
  else
     return (n * factorial(n - 1));
```

```
int factorial(int n)
Enter 4
                                                             if (n == 0)
                                                               return 1;
Calls factorial with 4
                                                               return (n * factorial(n - 1));
factorial(4)
   if 0, then return 1 else return (4 * factorial(4-1))
                               return (4 * 6)
      factorial(3)
          if 0, then return 1 else return (3 * factorial(3-1))
                                     return (3 * 2)
             factorial(2)
                if 0, then return 1 else return (2 * factorial(2-1)
                                            return (2 * 1)
                    factorial(1)
                       if 0, then return 1 else return (1 * factorial(1-1)
                                                   return (1 * 1)
                          factorial(0)
                              if 0, then return 1 else return (0 * factorial(0-1))
                                          return 1
```

A function's execution environment includes local variables and parameters and other information like a pointer to the memory containing the global variables.

This execution environment is created every time a function is called.

Recursive functions can use a lot of memory quickly since a new execution environment is created each time the recursive function is called.

Recursive Program to Sum Range of Natural Numbers

```
int main(void)
   int num;
   printf("Enter a positive integer: ");
   scanf("%d", &num);
  printf("Sum of all natural numbers from %d to 1 = %d n",
           num, addNumbers(num));
   return 0;
```

Recursive Program to Sum Range of Natural Numbers

```
int addNumbers(int n)
   if (n != 0)
      return n + addNumbers(n-1);
   else
      return n;
```

Pass 1 n = 5 return 5 + Pass2(4)

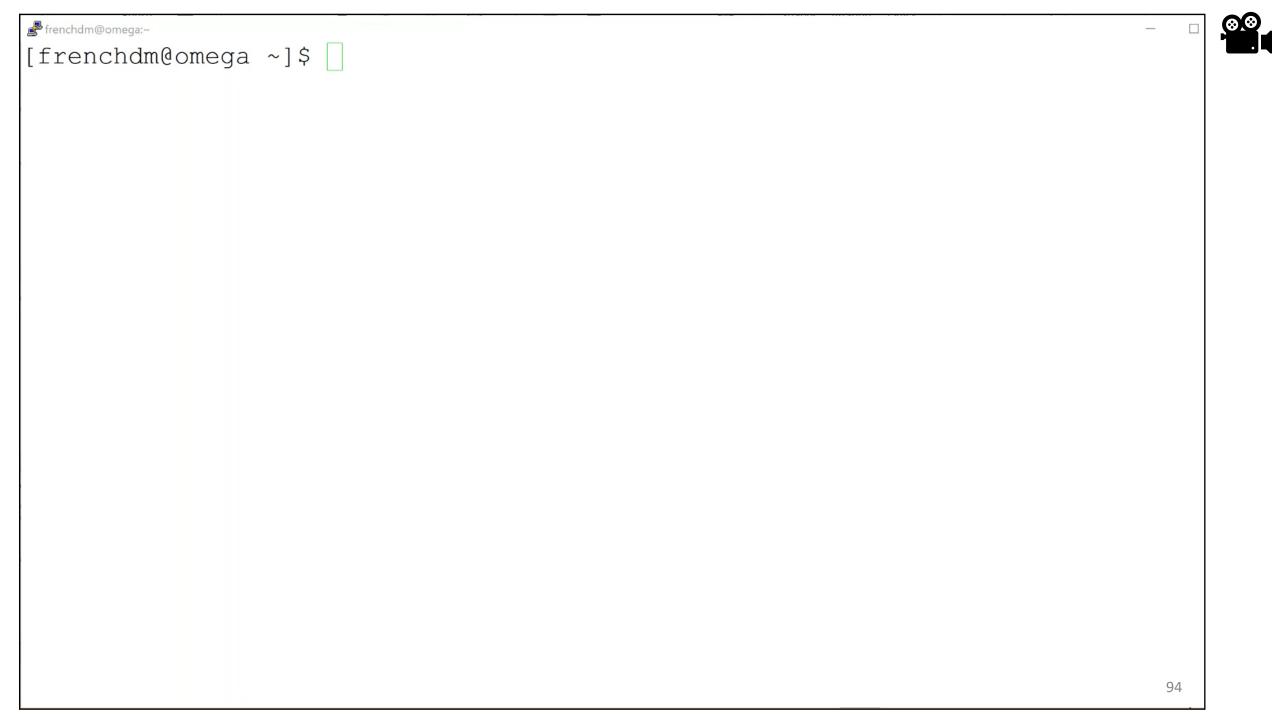
Pass 2 n = 4 return 4 + Pass3(3)

Pass 3 n = 3 return 3 + Pass4(2) Pass 4 n = 2 return 2 + Pass5(1)

Pass 5 n = 1 return 1 + Pass6(0)

Pass 6 n = 0 return 0

```
int main(void)
 int test = 0;
 printf("Enter a value ");
 scanf("%d", &test);
 int i;
 for (i = test; i > 0; i--)
   printf("%d ", i);
 for (i = 1; i \le test; i++)
   printf("%d ", i);
 return 0;
```



```
What is the condition that makes it stop?
int ma
  int test = 0;
  printf("Enter a value ");
  scanf("%d", &test);
  int i;
  for (i = test; i > 0; i--)
        printf("%d ", i);
  for (i = 1; i <= test; i++)
         printf("%d ", i);
  return 0;
```

4 3 2 1 1 2 3 4 5

```
void printFun(int test)
  if (test < 1)
    return;
  else
    printf("%d ", test);
    printFun(test-1);
    printf("%d ", test);
    return;
```

```
test = 5
    test = 4
        test = 3
            test = 2
                test = 1
                    test = 0
5 4 3 2 1 1 2 3 4 5
```

```
void printFun(int test)
  if (test < 1)
    return;
  else
    printf("%d ", test);
    printFun(test-1);
    printf("%d ", test);
    return;
```

```
void printFun(int test)
  if (test < 1)
    return;
  else
    printf("%d ", test);
    printFun(test-1);
    printf("%d ", test);
    return;
```

```
void printFun(int test)
  if (test >= 1)
    printf("%d ", test);
    printFun(test-1);
    printf("%d ", test);
```

Example Using Recursion: Fibonacci Series

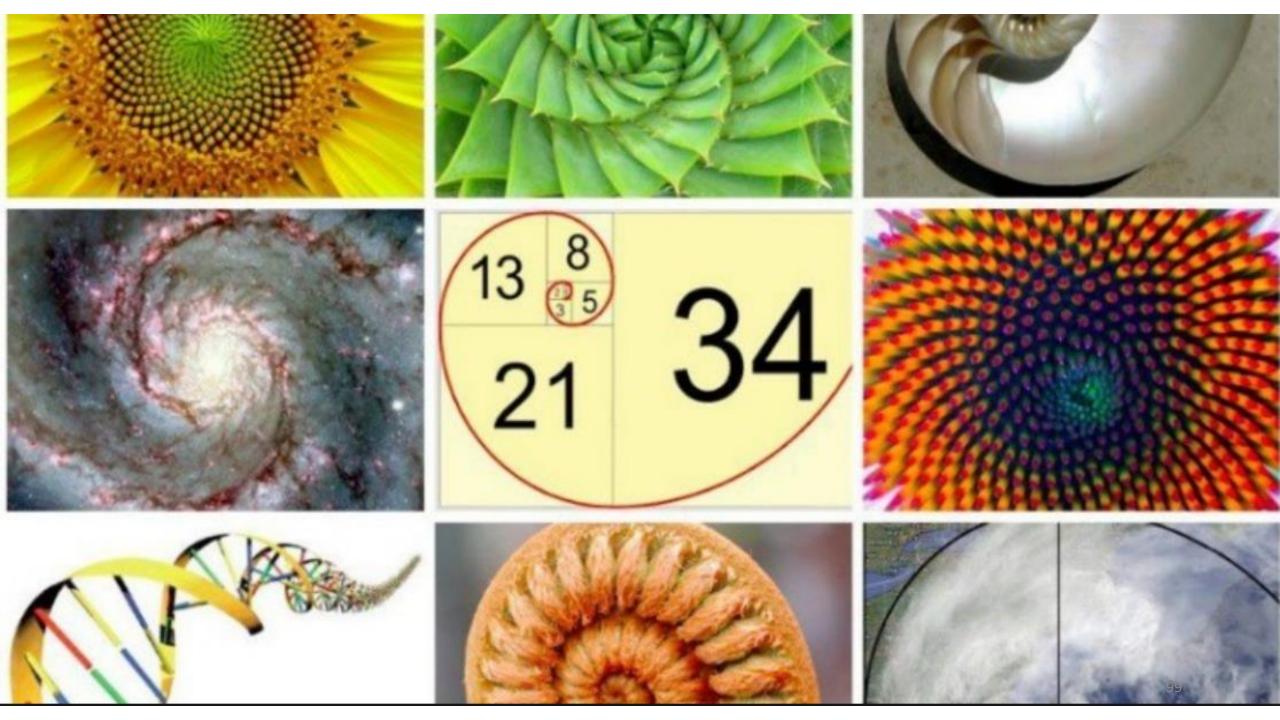
The Fibonacci series

0, 1, 1, 2, 3, 5, 8, 13, 21, ...

begins with 0 and 1 and has the property that each subsequent Fibonacci number is the sum of the previous two Fibonacci numbers.

The series occurs in nature and, in particular, describes a form of spiral.

The ratio of successive Fibonacci numbers converges to a constant value of 1.618....



Example Using Recursion: Fibonacci Series

The Fibonacci series may be defined recursively as follows:

```
fibonacci(0) = 0
fibonacci(1) = 1
fibonacci(2) = 1
fibonacci(3) = 2
fibonacci(4) = 3
fibonacci(5) = 5
fibonacci(6) = 8
```

fibonacci(n) = fibonacci(n - 1) + fibonacci(n - 2)

Example Using Recursion: Fibonacci Series

The Fibonacci series may be defined recursively as follows:

fibonacci(n) = fibonacci(n - 1) + fibonacci(n - 2)

We can create a program to calculate the n^{th} Fibonacci number recursively using a function we'll call fibonacci.

```
unsigned long long int result = fibonacci(number);
unsigned long long int fibonacci (unsigned int n)
                                                         Fibonacci(0) = 0
     if (n == 0 | | n == 1)
                                                         Fibonacci(1) = 1
                                                         Fibonacci(2) = 1
                                                         Fibonacci(3) = 2
           return n;
                                                         Fibonacci(4) = 3
                                                         Fibonacci(5) = 5
     else
           return fibonacci(n - 1) + fibonacci(n - 2);
```

```
unsigned long long int fib (unsigned int n)
                                     fib(5)
                                                      if (n == 0 | | n == 1)
               1<sup>st</sup> pass
                                                         return n;
               n = 5 3 + 2
               return fib(4) + fib(3)
                                                      else
                                                        return fib(n - 1) + fib(n - 2);
2<sup>nd</sup> pass
n = 4
return fib(3)
                 + fib(2)
                              5<sup>th</sup> pass
                                                                6<sup>th</sup> pass
                                                                             1+1
                                              1+0
                                                                n = 3
                              n = 2
                                                                return fib(2) + fib(1)
                              return fib(1) + fib(0)
3<sup>rd</sup> pass
              1+1
n = 3
return fib(2) + fib(1)
                                                                7<sup>th</sup> pass
                                                                                1+0
                                                                n = 2
                                                                return fib(1) + fib(0)
4<sup>th</sup> pass
n = 2 1+0
                                                                                        103
return fib(1) + fib(0)
```

```
Enter a base and an exponent 2 3
// Recursive power function
                                                            2^3 = 8
#include <stdio.h>
long power(long base, long exponent)
                                                            1<sup>st</sup> pass
                                                            base = 2
    if (exponent == 1)
                                                            exponent = 3
                                                            return 2 * power(2,-2)-4
         return base;
    else
         return base * power(base, exponent - 1);
                                                            2<sup>nd</sup> pass
                                                            base = 2
int main()
                                                            exponent = 2
                                                            return 2 * powor(2,-1)-2
    long b;
    long e;
                                                            3<sup>rd</sup> pass
    printf("Enter a base and an exponent ");
                                                            base = 2
    scanf("%ld %ld", &b, &e);
                                                            exponent = 1
                                                            return 2
    printf ("\frac{1}{n} = \frac{1}{n}, b, e, power(b, e));
```

```
#include <stdio.h>
                                      Pass 1
                                                                    342
                                      Hello(3, 4, 2)
void Hello(int x, int y, int z)
                                      if (2 < 1 \&\& 3 > 8)
                                      print x, y, z
    if (z < 1 \&\& x > 8)
                                                                    511
                                      print z,y,z
        return;
                                      Pass 2
    printf("%d%d%d\n", x, y, z);
                                      Hello(5,1,1)
                                                                    700
                                      if (1 < 1 \&\& 5 > 8)
                                      print x,y,z
    Hello(x+2, y/3, z-1);
                                      print z,y,z
                                                                   007
    printf("%d%d%d\n", z, y, x);
                                      Pass 3
                                      Hello(7,0,0)
                                      if (0 < 1 \&\& 7 > 8)
                                                                    115
int main()
                                      print x, y, z
                                      print z,y,z
    int x = 3, y = 4, z = 2;
                                      Pass 4
                                                                    243
                                      Hello(9, 0, -1)
    Hello(x, y, z);
                                      if (-1 < 1 \&\& 9 > 8)
```

```
#include <stdio.h>
                                            Pass 1
                                                                               242
                                            Hello(2,4,2)
void Hello(int x, int y, int z)
                                            if (2 < 1 \&\& 2 > 8)
                                            print x, y, z
                                                                              411
                                            print z,y,z
     if (z < 1 \&\& x > 8)
                                            Pass 2
          return;
                                            Hello(4,1,1)
                                                                               600
                                            if (1 < 1 \&\& 4 > 8)
                                            print x, y, z
     printf("%d%d%d\n", x, y, z);
                                            print z,y,z
                                                                               80-1
                                            Pass 3
    Hello(x+2, y/3, z-1);
                                            Hello(6,0,0)
                                            if (0 < 1 \&\& 6 > 8)
                                                                              -108
     printf("%d%d%d\n", z, y, x);
                                            print x, y, z
                                            print z,y,z
                                                                               006
                                            Pass 4
int main()
                                            Hello(8, 0, -1)
                                            if (-1 < 1 \&\& 8 > 8)
                                                                               114
                                            print x, y, z
     int x = 2, y = 4, z = 2;
                                            print z,y,z
                                            Pass 5
                                                                               242
    Hello(x, y, z);
                                            Hello(10,0,-2)
                                            if (-2 < 1 \&\& 10 > 8)
```

```
1 #include <stdio.h>
3 void PrintArray(int A[], int n)
       int i = 0;
       for (i = 0; i < n; i++)
           printf("%d ", A[i]);
       printf("\n");
10 \}
   int X(int A[], int n, int x, int i)
13 ₽{
14
       if (i == n)
            return 1;
17
       int z = A[i];
18
19
       int y = X(A, n, x * A[i], i + 1);
20
21
       A[i] = x * y;
22
23
       PrintArray(A, n);
24
       return z * y;
26 }
   int main (void)
29 ₽{
30
       int A[] = \{1, 2, 3, 4, 5\};
       int n = sizeof(A) / sizeof(A[0]);
33
       X(A, n, 1, 0);
34
35
       return 0;
36
```

```
From main -> X(A, 5, 1, 0)
```

```
1st call

n = 5, x = 1, i = 0

i!= n (0!= 5)

z = A[i] = A[0] = 1

y = X(A, 5, 1, 1)

A[i] = A[0] = x * y

PrintArray

return z*y
```

```
4<sup>th</sup> call

n = 5, x = 6, i = 3

i != n (3 != 5)

z = A[i] = A[3] = 4

y = X(A, 5, 24, 4)

A[i] = A[3] = x * y

PrintArray
return z*y
```

5th call n = 5, x = 24, i = 4 i != n (4 != 5) z = A[i] = A[4] = 5 y = X(A, 5, 120, 5) A[i] = A[4] = x * y PrintArray return z*y

$$3^{rd}$$
 call $n = 5, x = 2, i = 2$ $i != n (2 != 5)$ $z = A[i] = A[2] = 3$ $y = X(A, 5, 6, 3)$ $y = 20$ $A[i] = A[2] = x * y$ $A[2] = x * y = 2 * 20$ $A[i] = A[3] = x * y$ $A[3] = x * y = 6 * 5$

$$5^{th}$$
 call $n = 5, x = 24, i = 4$ $i != n (4 != 5)$ $z = A[i] = A[4] = 5$ $y = X(A, 5, 120, 5)$ $y = 1$ $A[i] = A[4] = x * y$ $A[4] = x * y = 24 * 1$ PrintArray $\{1, 2, 3, 4, 24\}$ return z^*y return z^*y return z^*y $f(x) = x * y = 24 * 1$

```
1<sup>st</sup> call
n = 5, x = 1, i = 0
i != n (0 != 5)
z = A[i] = A[0] = 1
y = X(A, 5, 1, 1) y = 120
A[i] = A[0] = x * y A[0] = x * y = 1 * 120
PrintArray {120, 60, 40, 30, 24}
return z*y
                       return z^*y (1^*120) => 120
2<sup>nd</sup> call
n = 5, x = 1, i = 1
i != n (1 != 5)
z = A[i] = A[1] = 2
y = X(A, 5, 2, 2) y = 60
```

A[i] = A[1] = x * y A[1] = x * y = 1 * 60

return z*y(2*60) => 120

PrintArray {1, 60, 40, 30, 24}

return z*y

main() does use the return value of the recursive function so the program ends.

The result of all printing is

```
FunctionR – Pass 4
                                           12335
    #include <stdio.h>
                                                                                 z = 12
                                           FunctionR – Pass 1
    int FunctionR(int z)
                                                                                 y = 3
                                           z = 12335
   ₽ {
                                                                                 z != 0 so y = 4
         static int y = 0;
                                           y = 0
                                                                                 Call FunctionR with 1
                                           z != 0 so y = 1
         if(z != 0)
                                           Call FunctionR with 1233
                                                                                 return y
                                           return v
             y++;
             FunctionR(z/10);
                                                                                 FunctionR – Pass 5
                                           FunctionR – Pass 2
                                                                                 z = 1
14
                                           z = 1233
         return y;
                                           y = 1
                                                                                 y = 4
16
                                           z != 0 so y = 2
                                                                                 z != 0 so y = 5
                                           Call FunctionR with 123
                                                                                 Call FunctionR with 0
    int main(void)
                                           return y
19 ₽{
                                                                                 return y
         int x = 12335;
                                           FunctionR – Pass 3
        printf("%d", FunctionR(x));
                                           z = 123
                                                                                 FunctionR – Pass 6
                                           y = 2
                                                                                 z = 0
24
         return 0;
                                           z != 0 so y = 3
                                                                                 return y (5)
                                           Call FunctionR with 12
                                                                                                 110
                                           return y
```

main() call FunctionR with

Any problem that can be solved recursively can also be solved iteratively.

A recursive approach is normally chosen in preference to an iterative approach when the recursive approach more naturally mirrors the problem and results in a program that's easier to understand and debug.

Another reason to choose a recursive solution is that an iterative solution may not be apparent.