

# Key Event Receipt Infrastructure

A Secure Identifier Overlay for the Internet

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version 2.50

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## Resources

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```
https://arxiv.org/abs/1907.02143
https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/KERI_WP_2.x.web.pdf
https://github.com/SmithSamuelM/Papers/blob/master/presentations/KERI2_Overview.web.pdf
https://github.com/SmithSamuelM/Papers/blob/master/presentations/DuplicityGame_IIW_2020_A.pdf
https://github.com/SmithSamuelM/keri
https://github.com/SmithSamuelM/keripy
```

#### DIF

Identity and Discovery WG <a href="https://github.com/decentralized-identity/keri">https://github.com/decentralized-identity/keri</a> <a href="https://github.com/decentralized-identity/keripy">https://github.com/decentralized-identity/keripy</a>

#### SSI Meetup

https://ssimeetup.org/key-event-receipt-infrastructure-keri-secure-identifier-overlay-internet-sam-smith-webinar-58/

#### Background References

#### **Self-Certifying Identifiers:**

- Girault, M., "Self-certified public keys," EUROCRYPT 1991: Advances in Cryptology, pp. 490-497, 1991 <a href="https://link.springer.com/content/pdf/10.1007%2F3-540-46416-6\_42.pdf">https://link.springer.com/content/pdf/10.1007%2F3-540-46416-6\_42.pdf</a>
- Mazieres, D. and Kaashoek, M. F., "Escaping the Evils of Centralized Control with self-certifying pathnames," MIT Laboratory for Computer Science, <a href="http://www.sigops.org/ew-history/1998/papers/mazieres.ps">http://www.sigops.org/ew-history/1998/papers/mazieres.ps</a>
- Kaminsky, M. and Banks, E., "SFS-HTTP: Securing the Web with Self-Certifying URLs," MIT, 1999 <a href="https://pdos.csail.mit.edu/~kaminsky/sfs-http.ps">https://pdos.csail.mit.edu/~kaminsky/sfs-http.ps</a>
- Mazieres, D., "Self-certifying File System," MIT Ph.D. Dissertation, 2000/06/01 <a href="https://pdos.csail.mit.edu/~ericp/doc/sfs-thesis.ps">https://pdos.csail.mit.edu/~ericp/doc/sfs-thesis.ps</a>
- TCG, "Implicit Identity Based Device Attestation," Trusted Computing Group, vol. Version 1.0, 2018/03/05

  <a href="https://trustedcomputinggroup.org/wp-content/uploads/TCG-DICE-Arch-Implicit-Identity-Based-Device-Attestation-v1-rev93.pdf">https://trustedcomputinggroup.org/wp-content/uploads/TCG-DICE-Arch-Implicit-Identity-Based-Device-Attestation-v1-rev93.pdf</a>

#### **Autonomic Identifiers:**

- Smith, S. M., "Open Reputation Framework," vol. Version 1.2, 2015/05/13 <a href="https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/open-reputation-low-level-whitepaper.pdf">https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/open-reputation-low-level-whitepaper.pdf</a>
- Smith, S. M. and Khovratovich, D., "Identity System Essentials," 2016/03/29 <a href="https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/Identity-System-Essentials.pdf">https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/Identity-System-Essentials.pdf</a>
- Smith, S. M., "Decentralized Autonomic Data (DAD) and the three R's of Key Management," Rebooting the Web of Trust RWOT 6, Spring 2018 https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/DecentralizedAutonomicData.pdf
- Smith, S. M., "Key Event Receipt Infrastructure (KERI) Design and Build", arXiv, 2019/07/03 revised 2020/04/23 <a href="https://arxiv.org/abs/1907.02143">https://arxiv.org/abs/1907.02143</a>
- Smith, S. M., "Key Event Receipt Infrastructure (KERI) Design", 2020/04/22 <a href="https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/KERI\_WP\_2.x.web.pdf">https://github.com/SmithSamuelM/Papers/blob/master/whitepapers/KERI\_WP\_2.x.web.pdf</a>
- Stocker, C., Smith, S. and Caballero, J., "Quantum Secure DIDs," RWOT10, 2020/07/09 https://github.com/WebOfTrustInfo/rwot10-buenosaires/blob/master/final-documents/quantum-secure-dids.pdf

#### **Certificate Transparency:**

- Laurie, B., "Certificate Transparency: Public, verifiable, append-only logs," ACMQueue, vol. Vol 12, Issue 9, 2014/09/08 <a href="https://queue.acm.org/detail.cfm?id=2668154">https://queue.acm.org/detail.cfm?id=2668154</a>
- Google, "Certificate Transparency," <a href="http://www.certificate-transparency.org/home">http://www.certificate-transparency.org/home</a>
- Laurie, B. and Kasper, E., "Revocation Transparency," https://www.links.org/files/RevocationTransparency.pdf

# Human Basis-of-Trust "in person"

I can know you – therefore I can trust you



"on the internet"

I can't really know you – therefore I can't really trust you

## Replace human basis-of-trust with cryptographic root-of-trust.

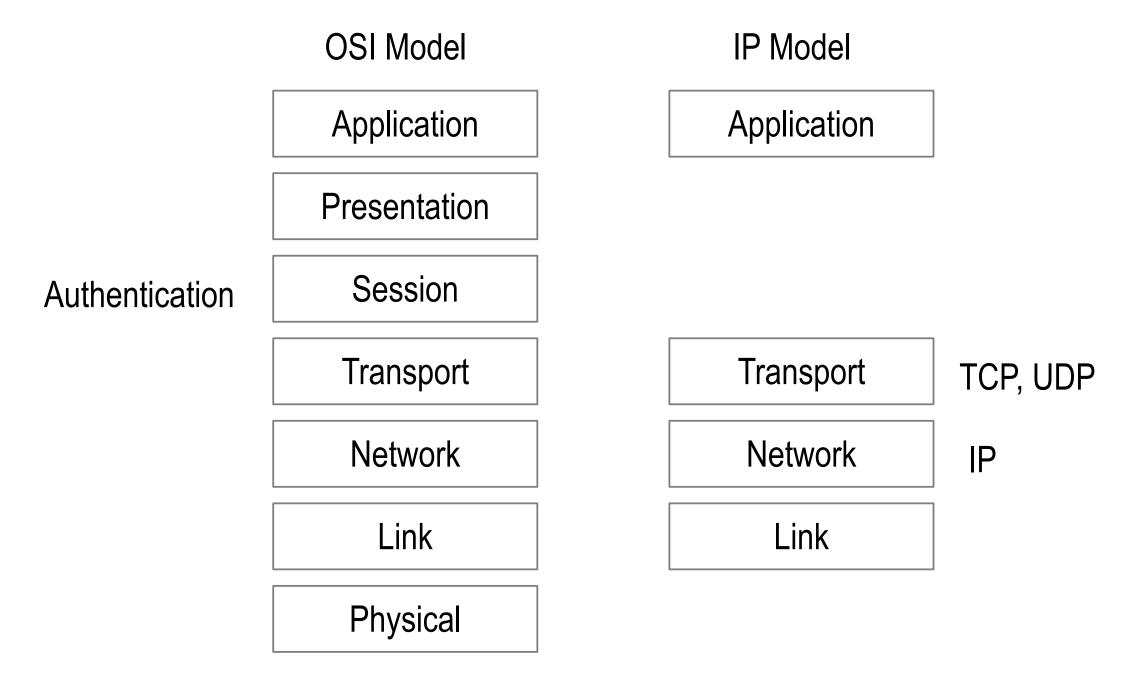
With verifiable digital signatures from asymmetric key crypto – we may not trust in "what" was said, but we may trust in "who" said it.

We may verify that the controller of a private key, (the who), made a statement but not the validity of the statement itself.

The root-of-trust is consistent attribution via verifiable integral non-repudiable statements

We may build trust over time in what was said via histories of verifiably attributable (to whom) consistent statements i.e. reputation.

# The Internet Protocol (IP) is bro-ken because it has no security layer.

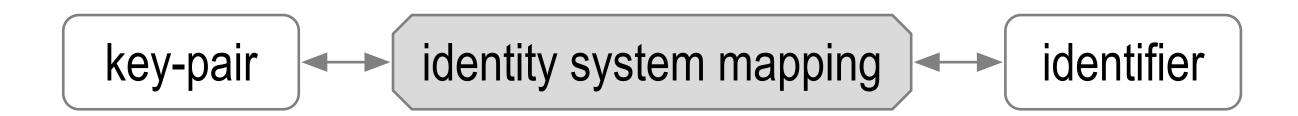


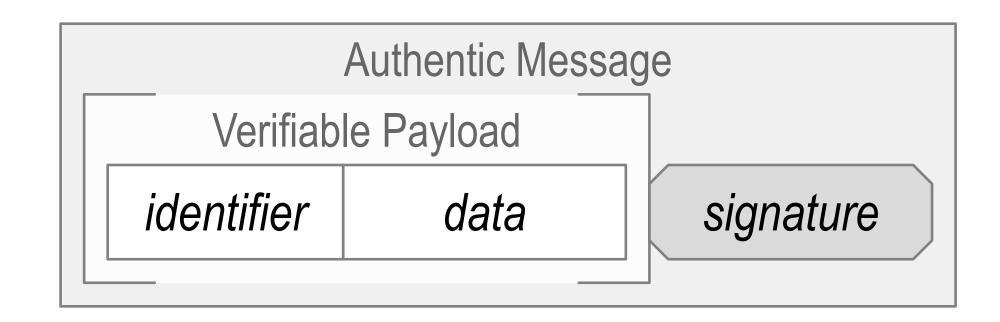
Instead ...

We use **bolt-on** identity system security overlays. (DNS-CA ...)

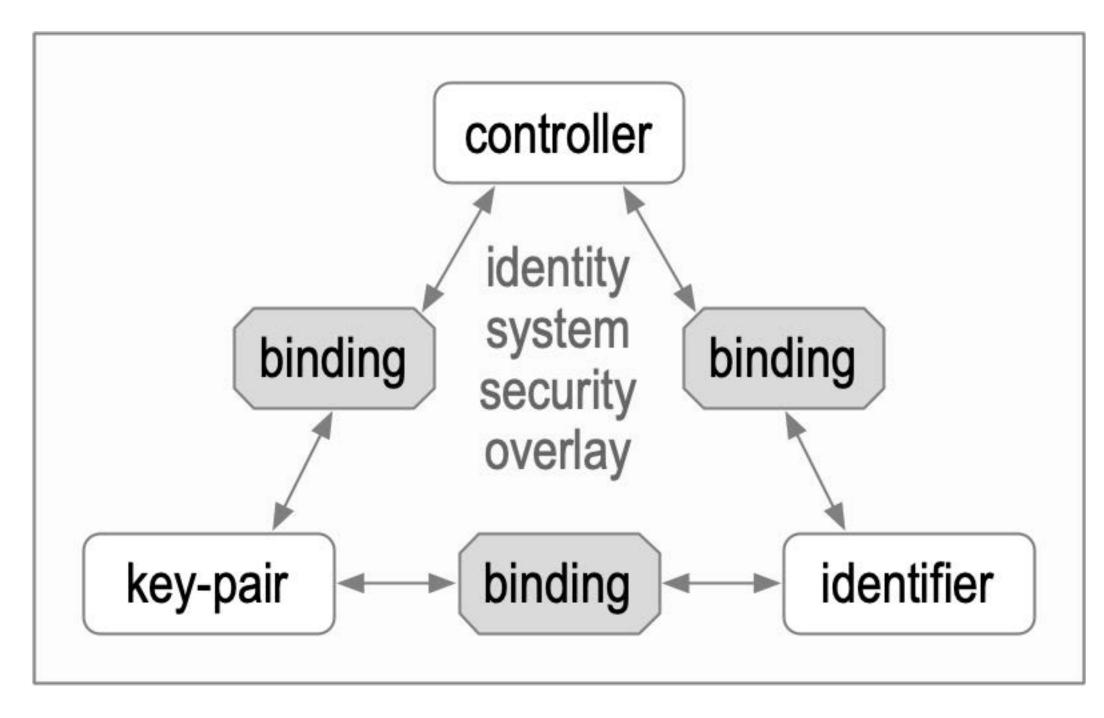
## Identity System Security Overlay

Establish authenticity of IP packet's message payload.



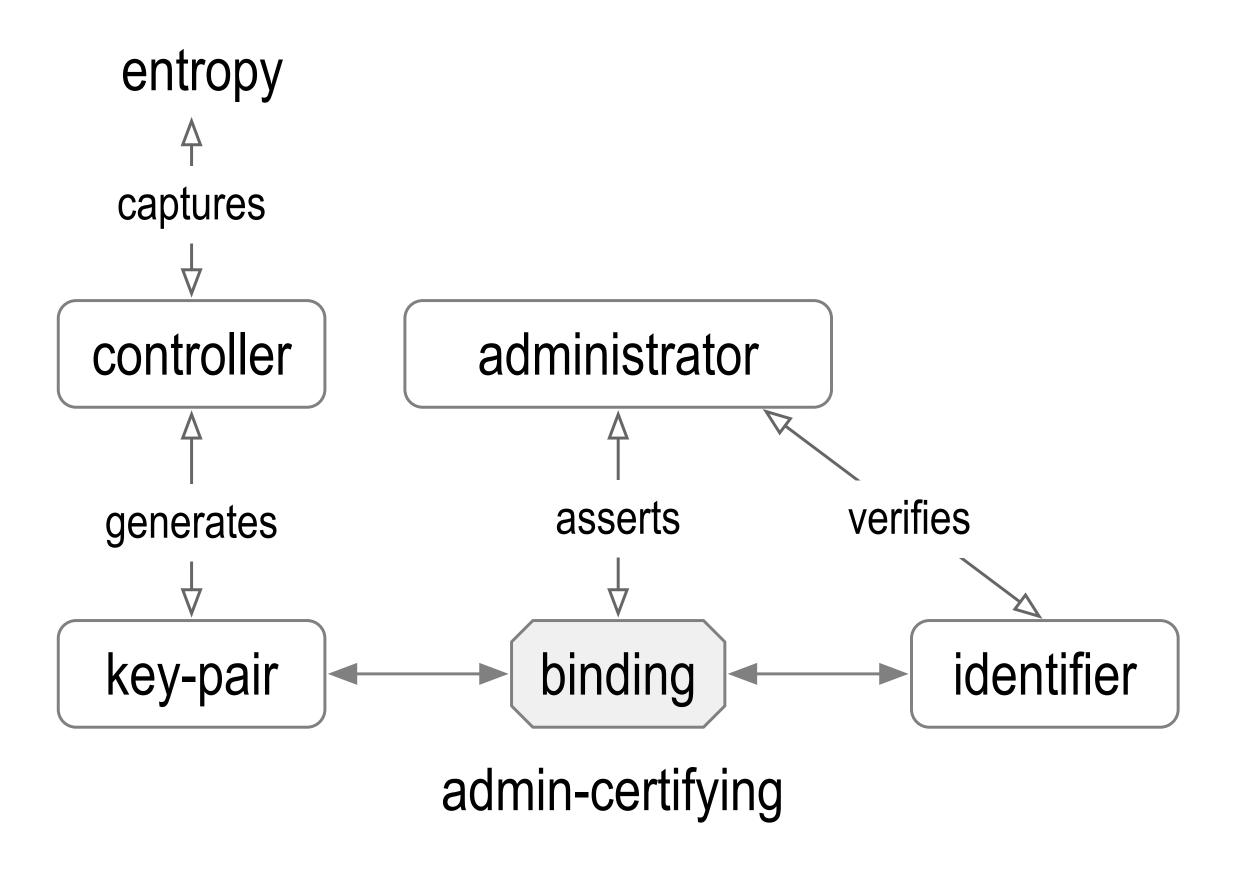


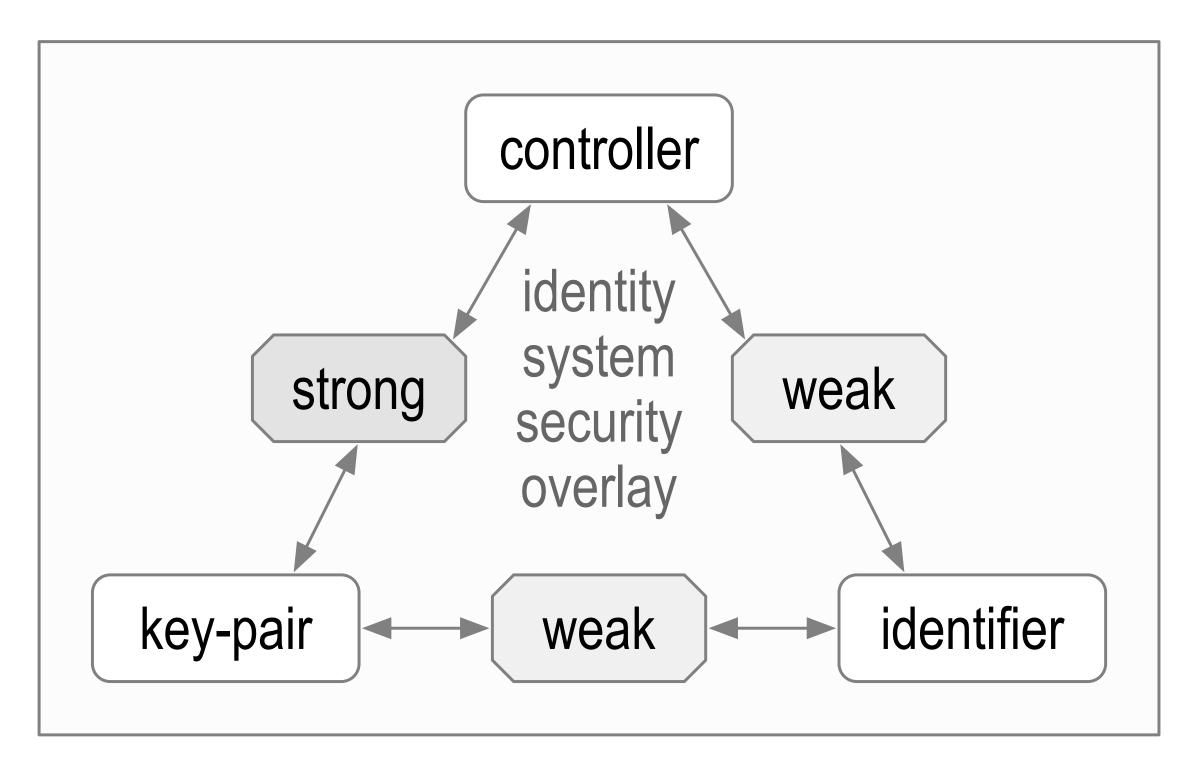
The overlay's security is contingent on the mapping's security.



Identifier Issuance

## Administrative Identifier Issuance and Binding

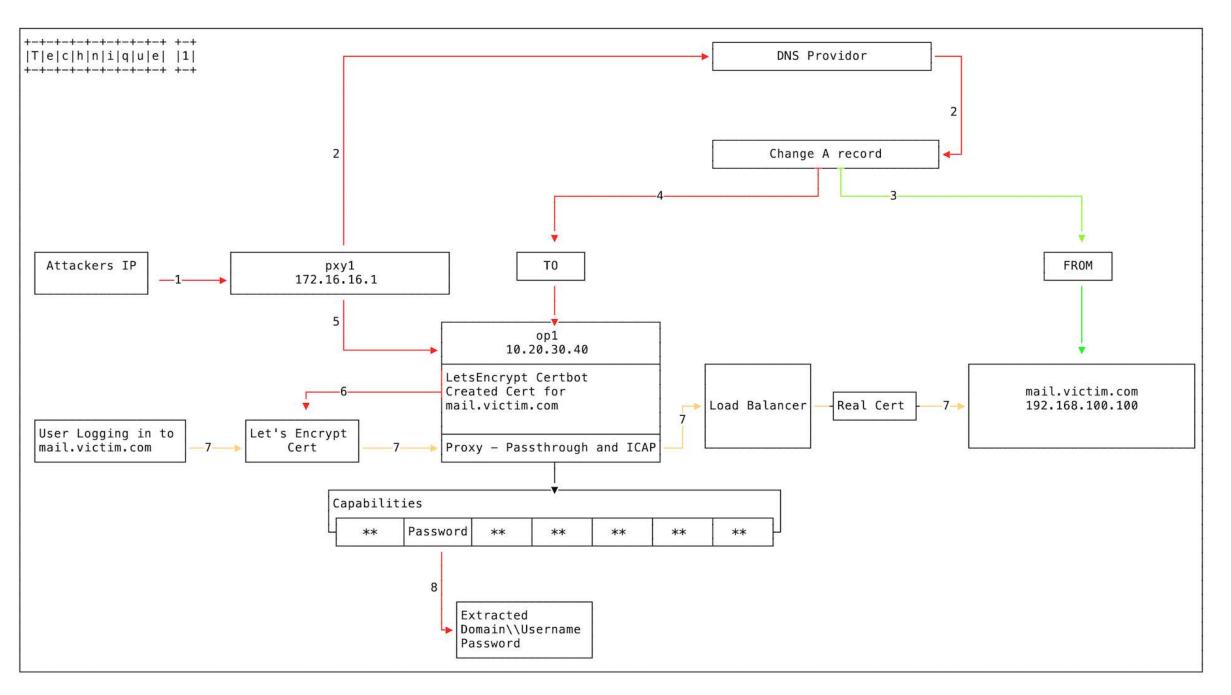


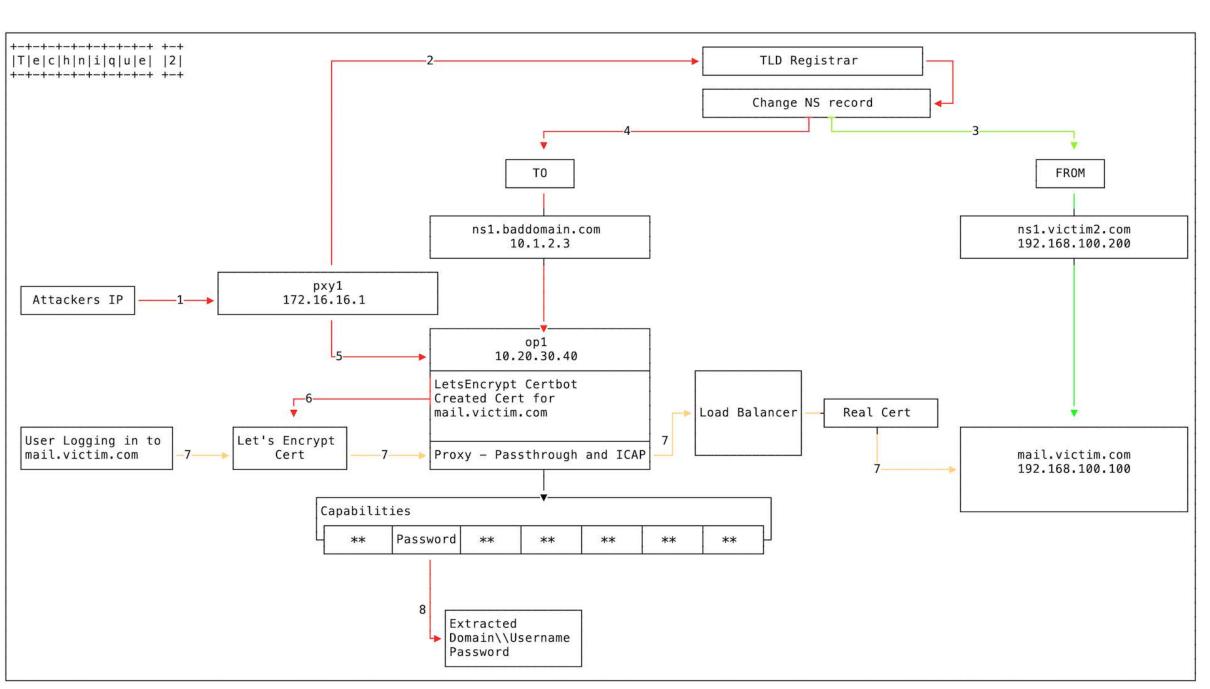


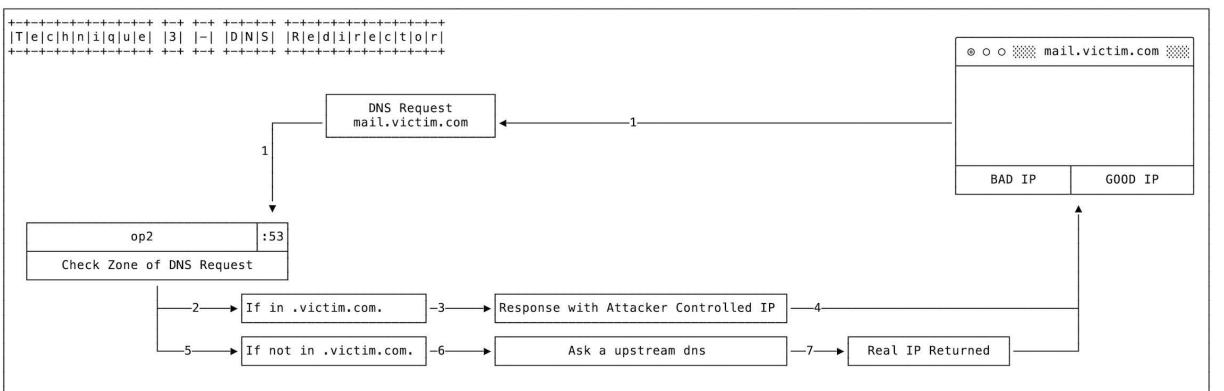
Admin-Certifying Identifier Issuance

## DNS Hijacking

A DNS hijacking wave is targeting companies at an almost unprecedented scale. Clever trick allows attackers to obtain valid TLS certificate for hijacked domains. https://arstechnica.com/information-technology/2019/01/a-dns-hijacking-wave-is-targeting-companies-at-an-almost-unprecedented-scale/







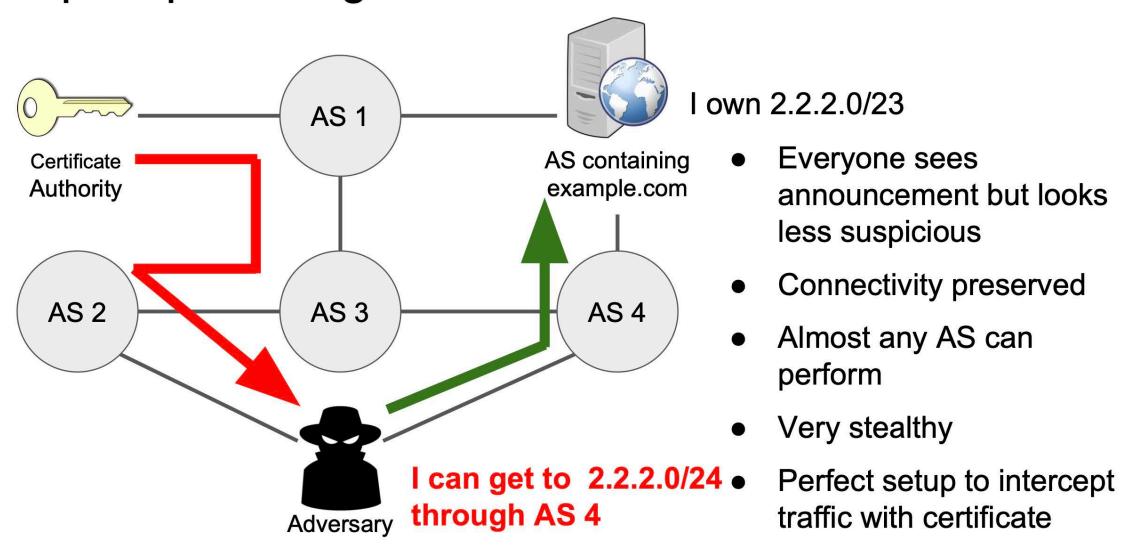
# BGP Hijacking: AS Path Poisoning

Spoof domain verification process from CA. Allows attackers to obtain valid TLS certificate for hijacked domains.

Birge-Lee, H., Sun, Y., Edmundson, A., Rexford, J. and Mittal, P., "Bamboozling certificate authorities with {BGP}," vol. 27th {USENIX} Security Symposium, no. {USENIX} Security 18, pp. 833-849, 2018 https://www.usenix.org/conference/usenixsecurity18/presentation/birge-lee

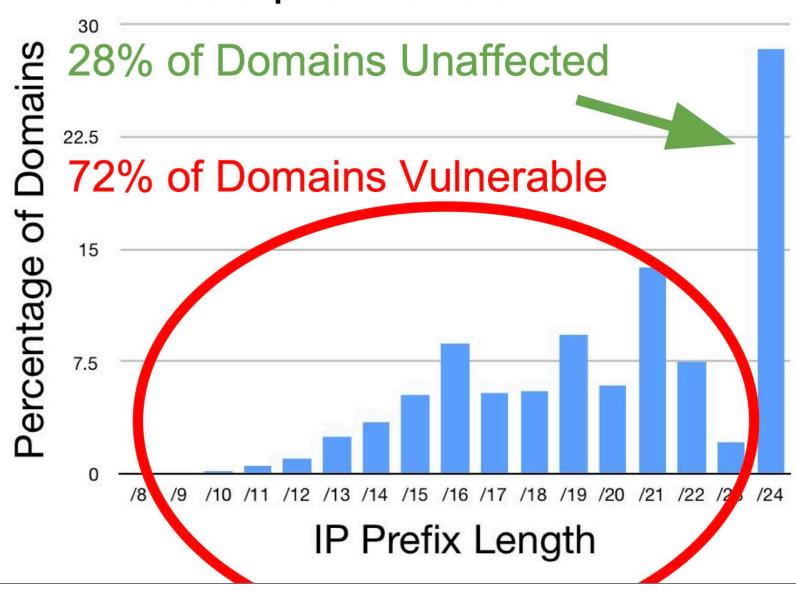
Gavrichenkov, A., "Breaking HTTPS with BGP Hijacking," BlackHat, 2015 https://www.blackhat.com/docs/us-15/materials/us-15-Gavrichenkov-Breaking-HTTPS-With-BGP-Hijacking-wp.pdf

#### AS path poisoning

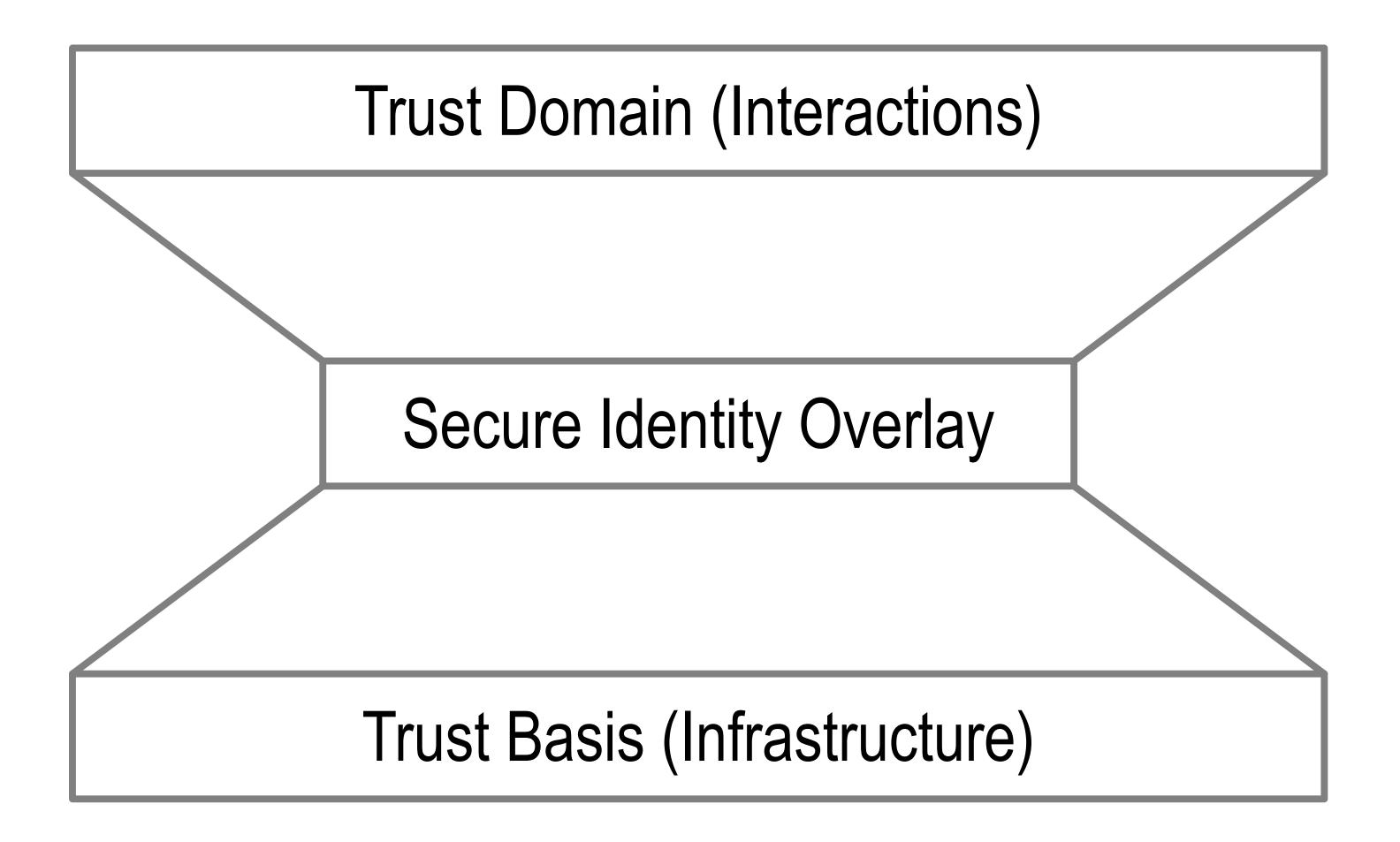


#### Vulnerability of domains: sub-prefix attacks

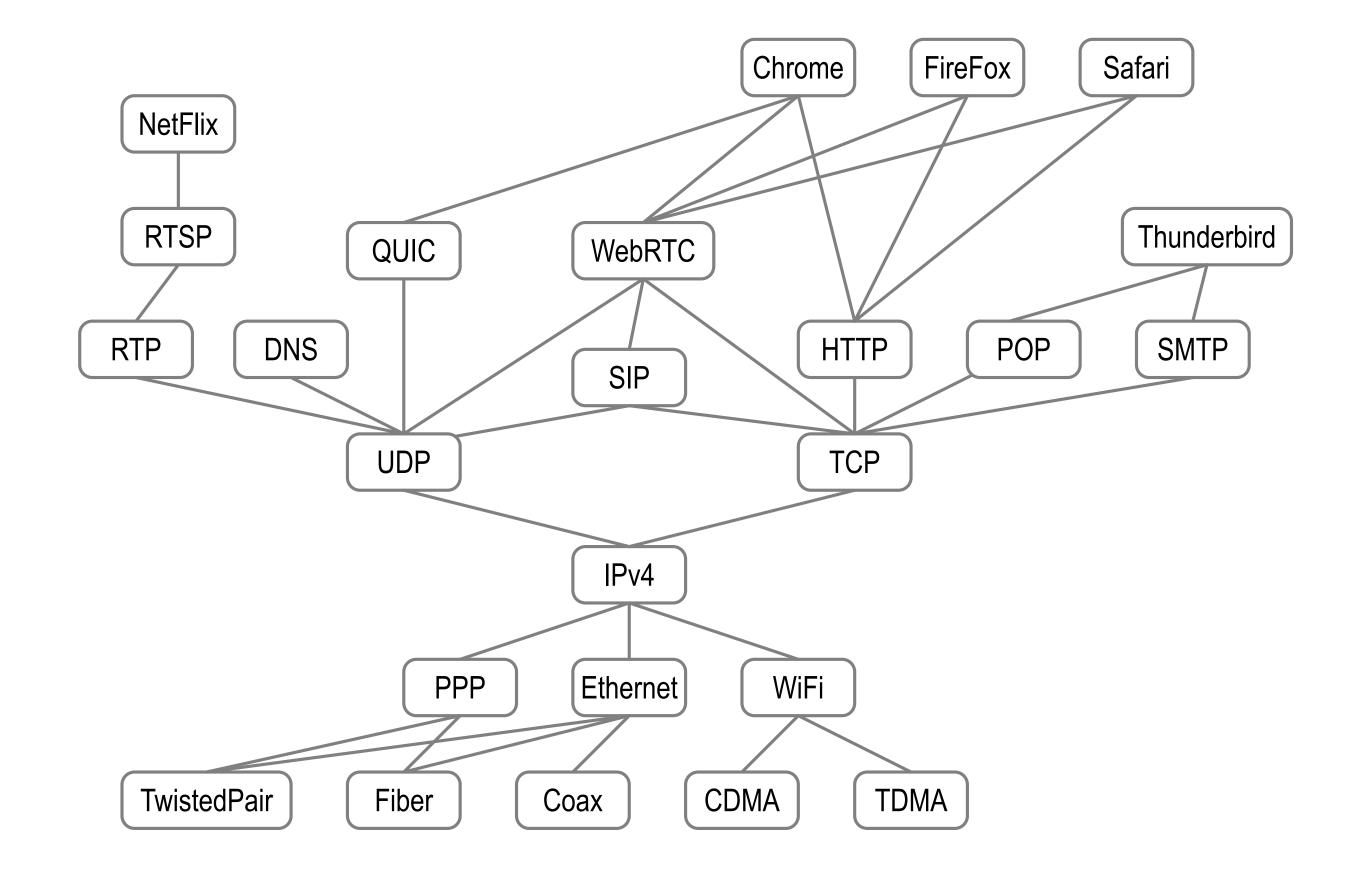
- Any AS can launch
- Only prefix lengths less than /24 vulnerable (filtering)

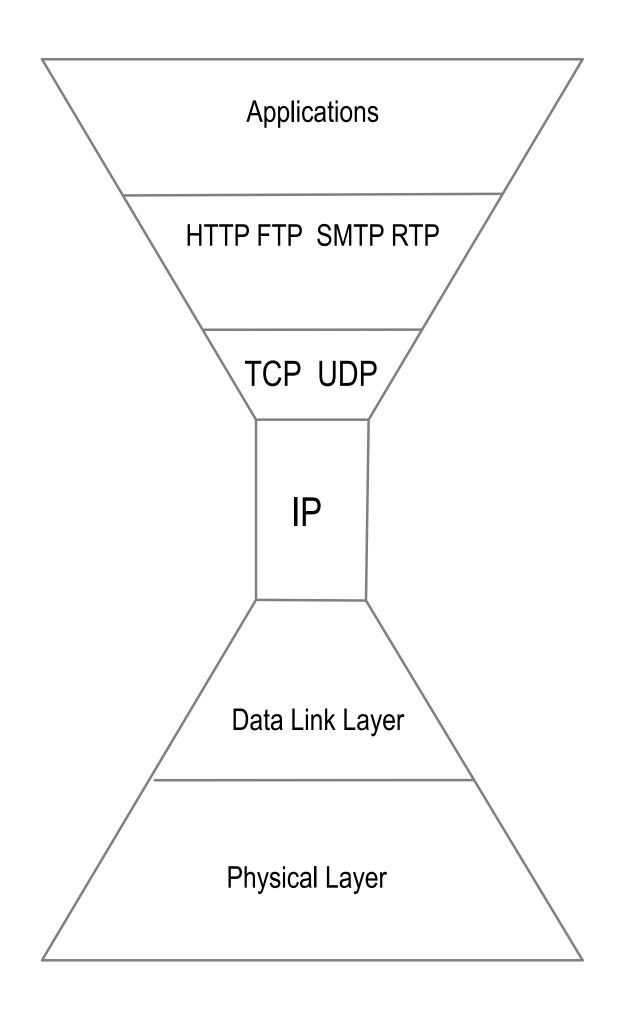


## Identity System Security Overlay

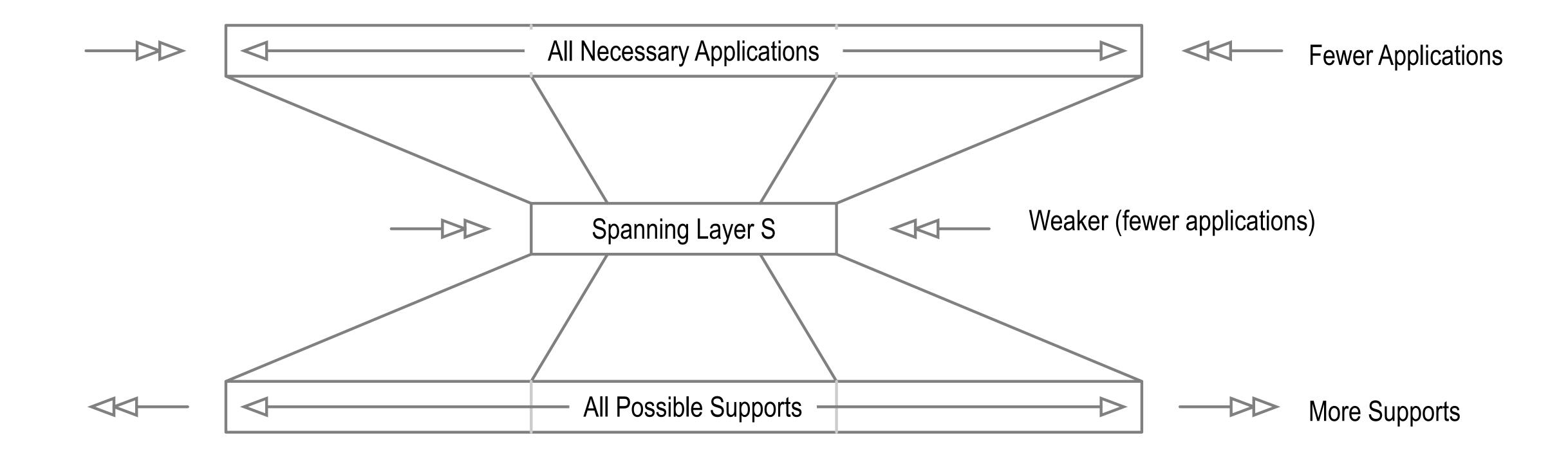


# Spanning Layer

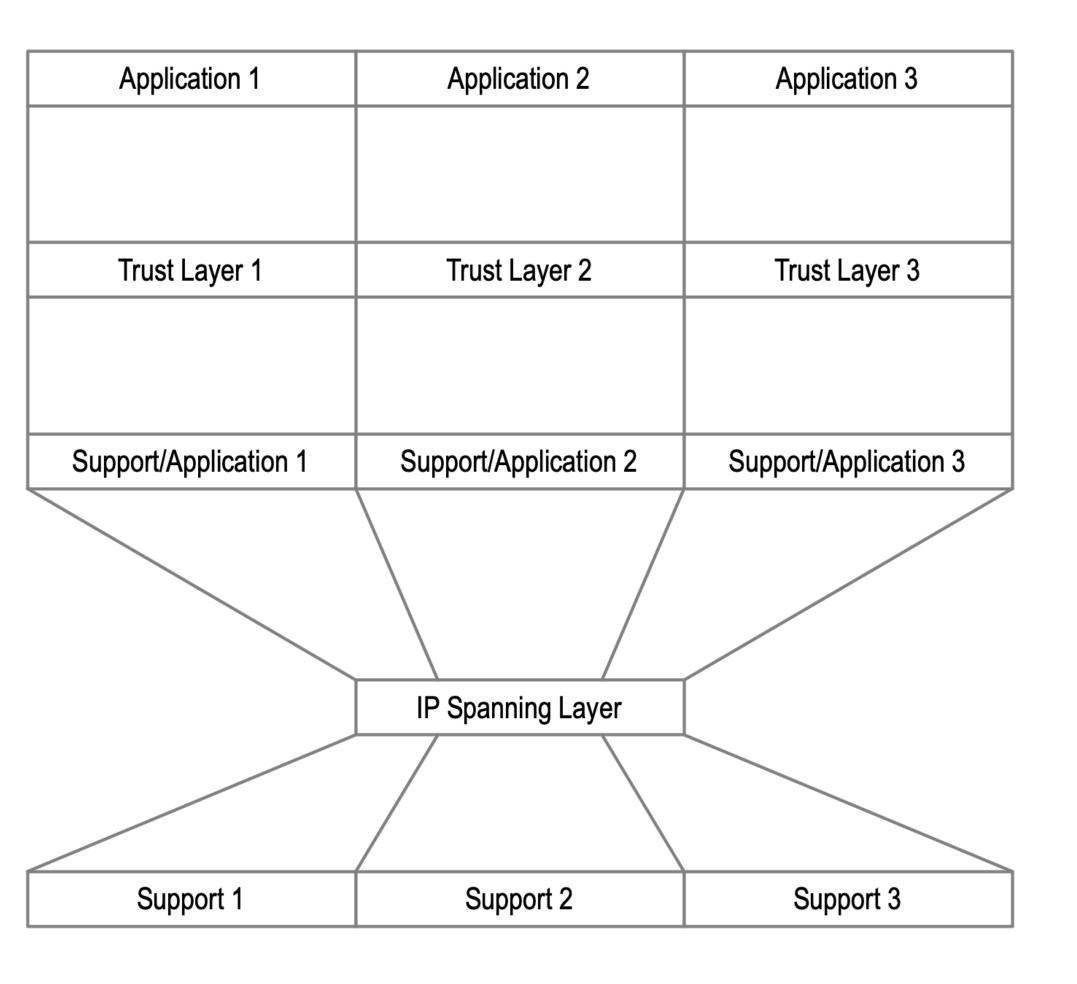




# Hourglass

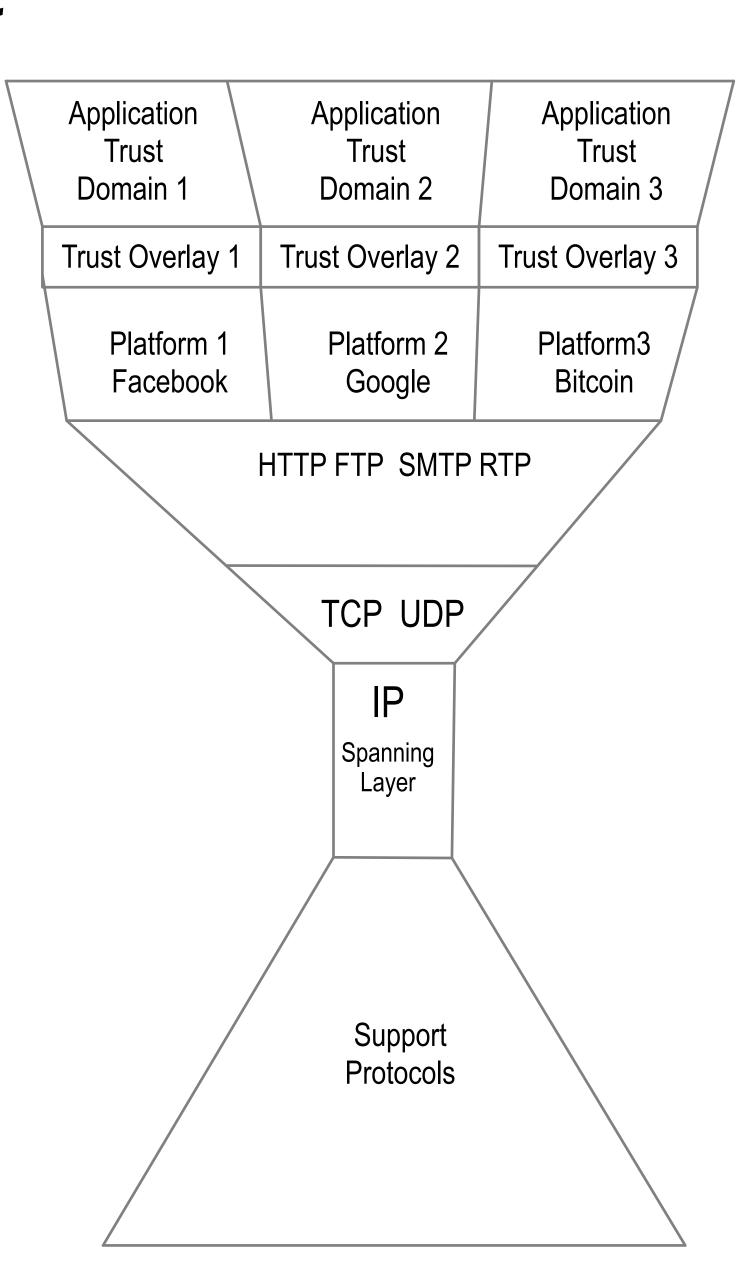


## Platform Locked Trust

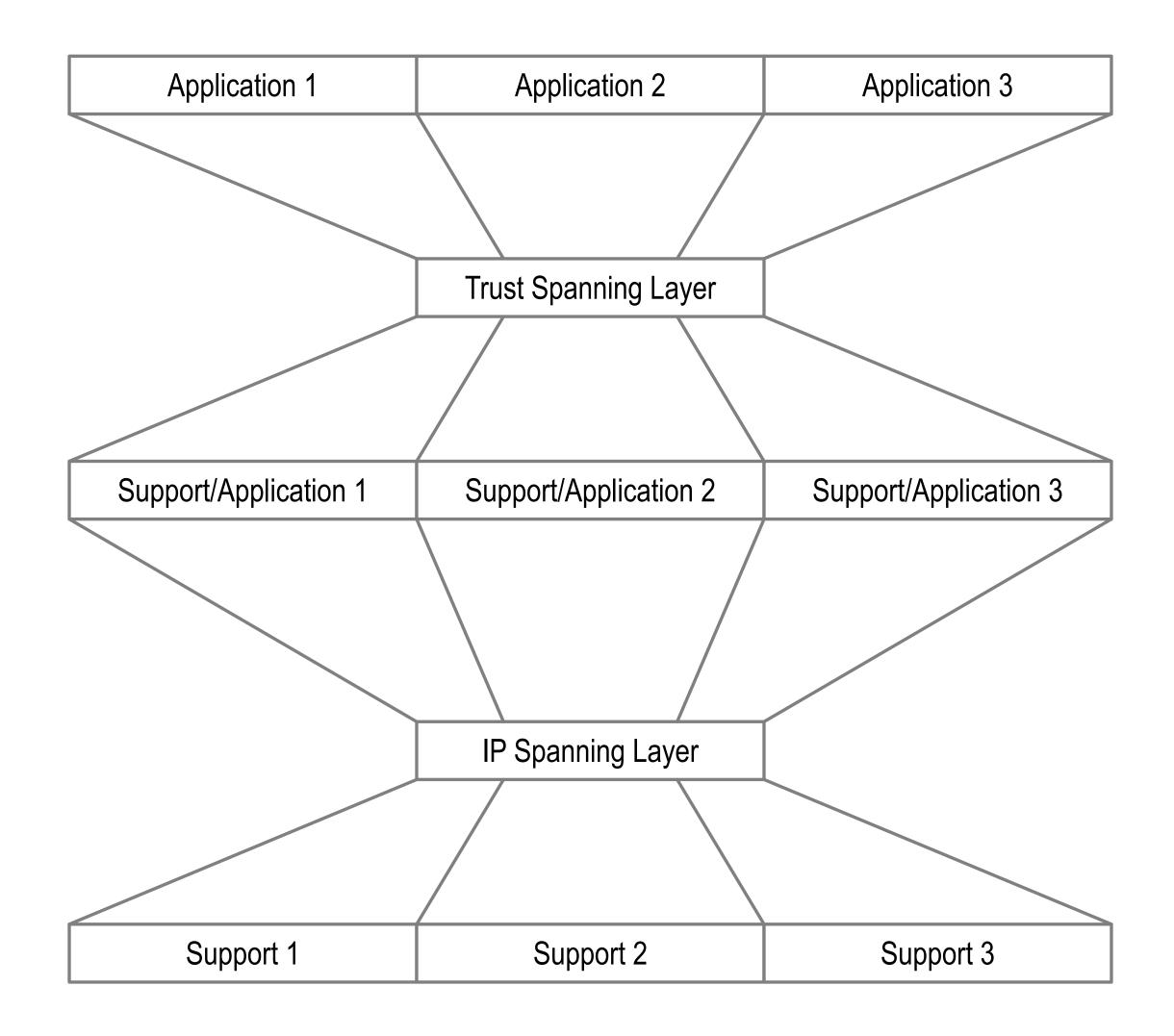


Trust Domain Based Segmentation

Each trust layer only spans platform specific applications Bifurcates the internet trust map No spanning trust layer

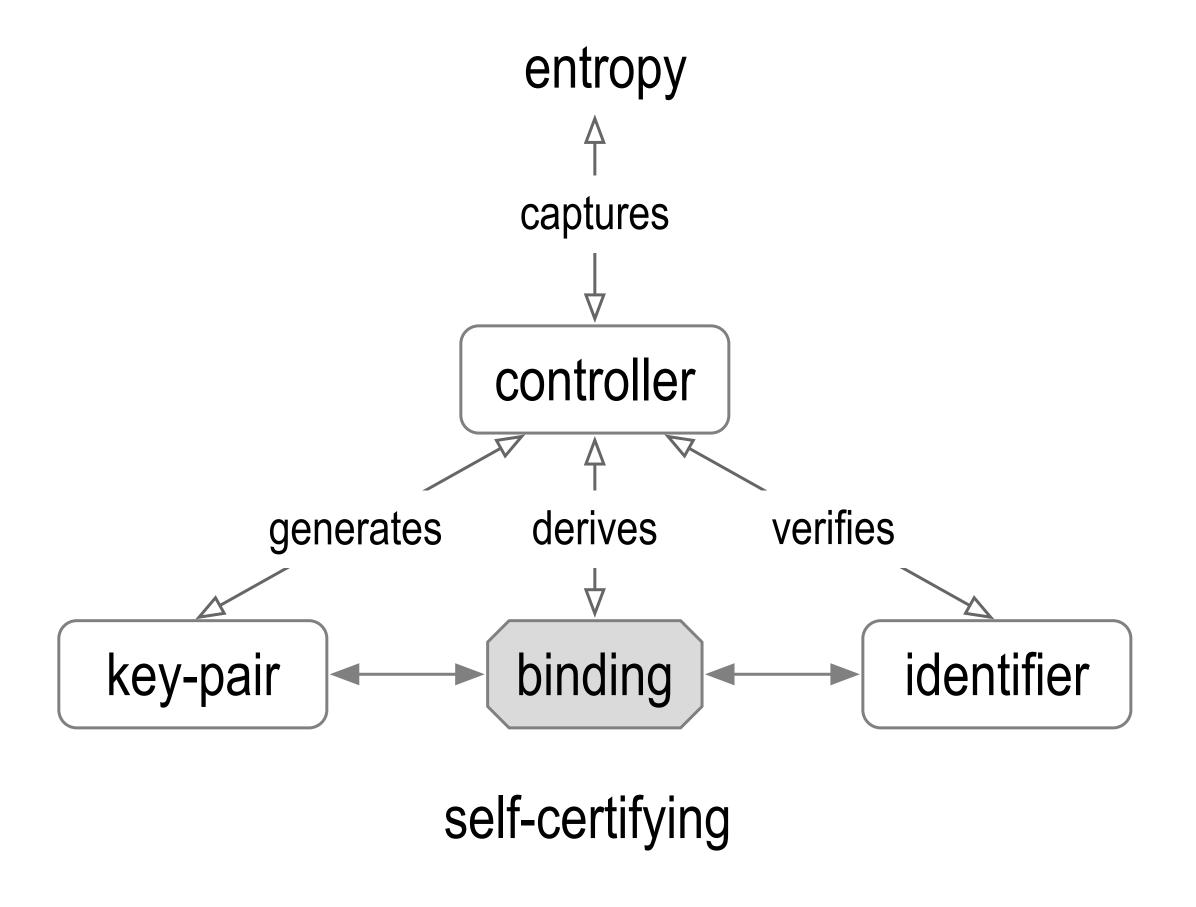


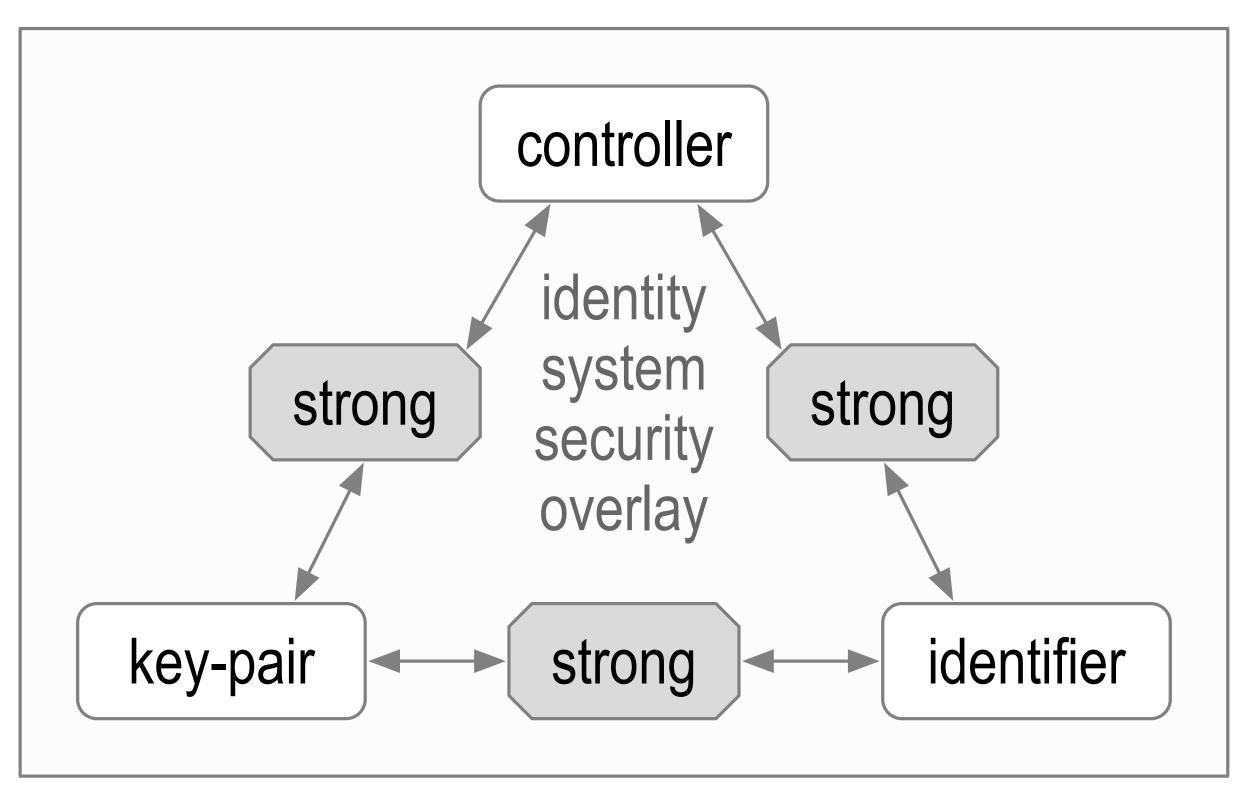
## Waist and Neck





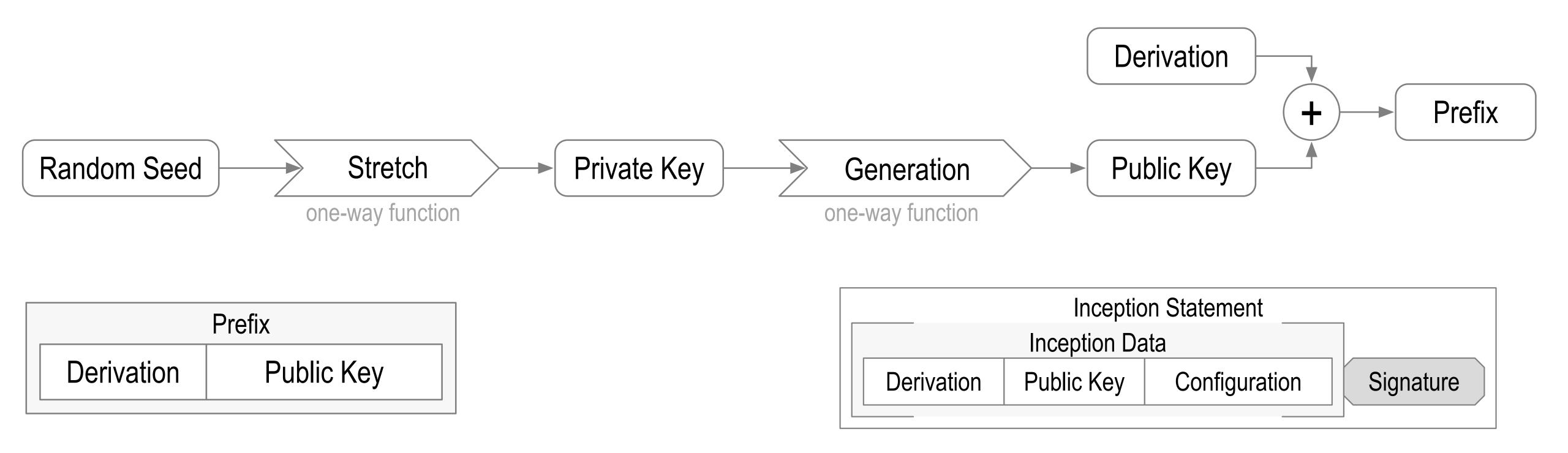
## Self-Certifying Identifier Issuance and Binding





Self-Certifying Identifier Issuance

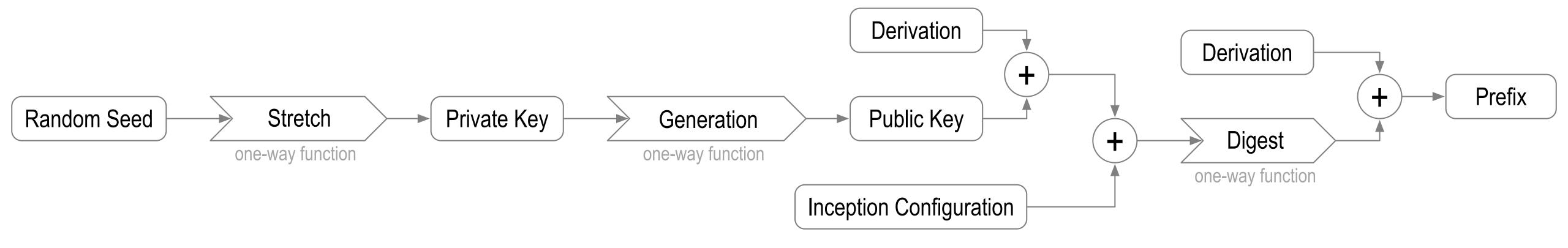
## Basic SCID



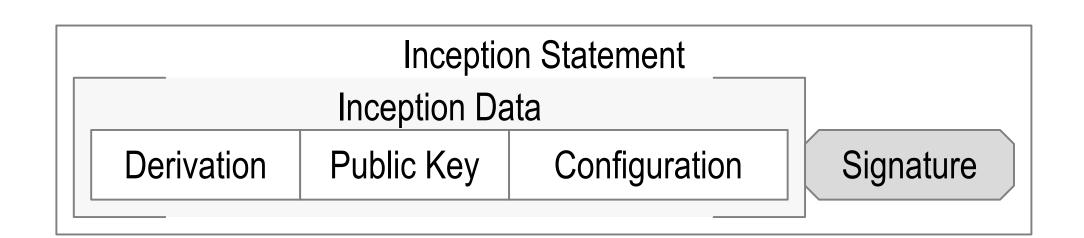
BDKrJxkcR9m5u1xs33F5pxRJP6T7hJEbhpHrUtlDdhh0

did:un:BDKrJxkcR9m5u1xs33F5pxRJP6T7hJEbhpHrUtlDdhh0/path/to/resource?name=secure#really

# Self-Addressing SCID



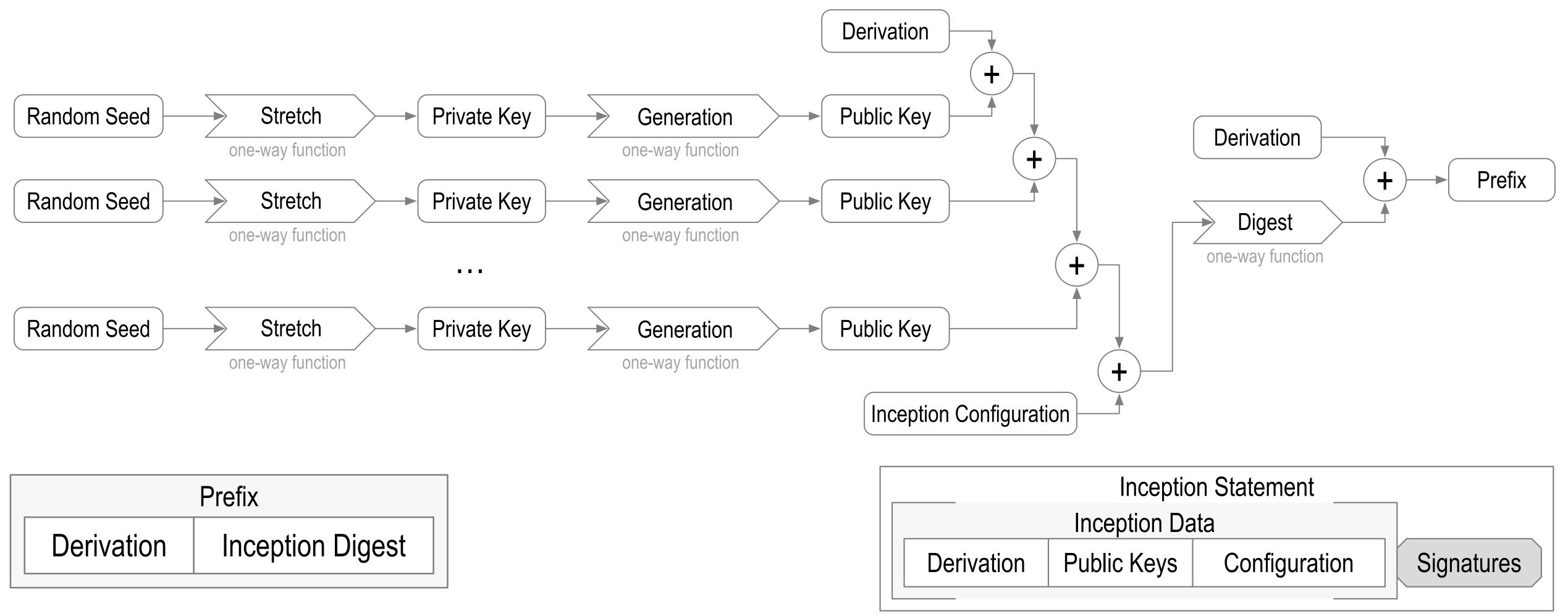
Prefix	
Derivation	Inception Digest



EXq5YqaL6L48pf0fu7IUhL0JRaU2 RxFP0AL43wYn148

did:un:EXq5YqaL6L48pf0fu7IUhL0JRaU2\_RxFP0AL43wYn148/path/to/resource?name=secure#really

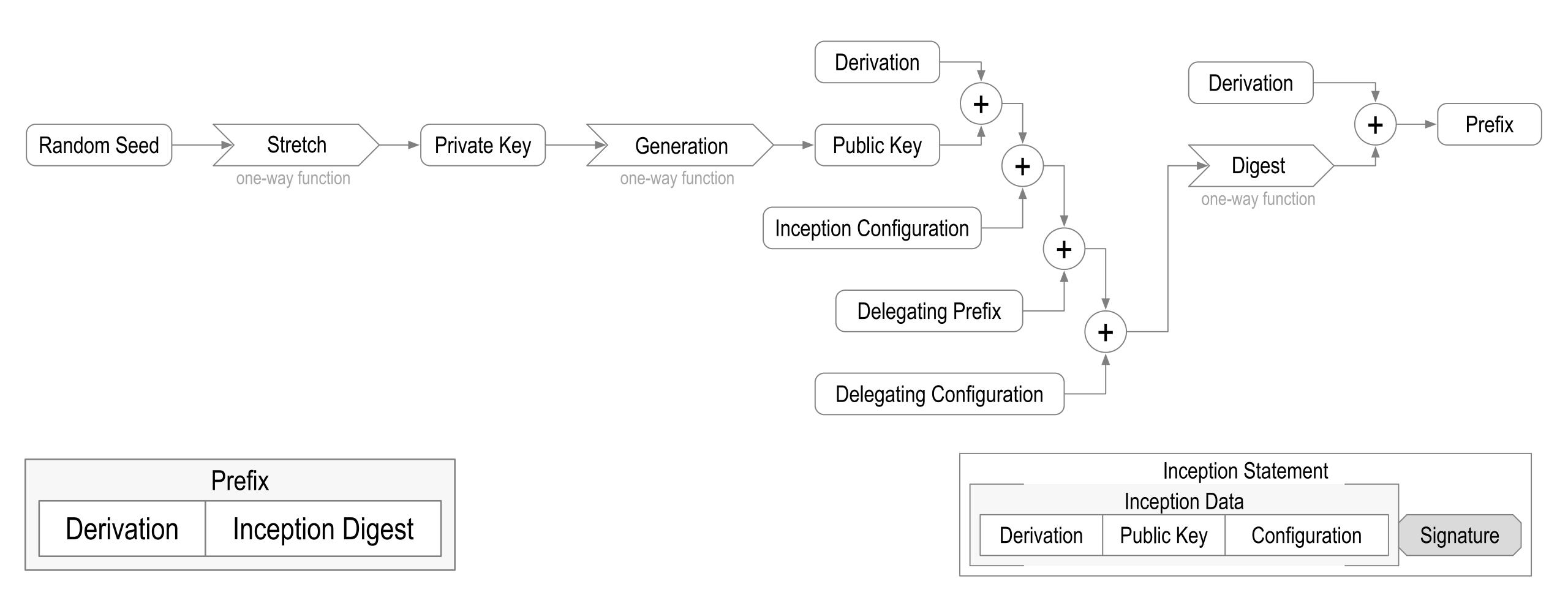
# Multi-Sig Self-Addressing SCID



EXq5YqaL6L48pf0fu7IUhL0JRaU2 RxFP0AL43wYn148

did:un:EXq5YqaL6L48pf0fu7IUhL0JRaU2\_RxFP0AL43wYn148/path/to/resource?name=secure#really

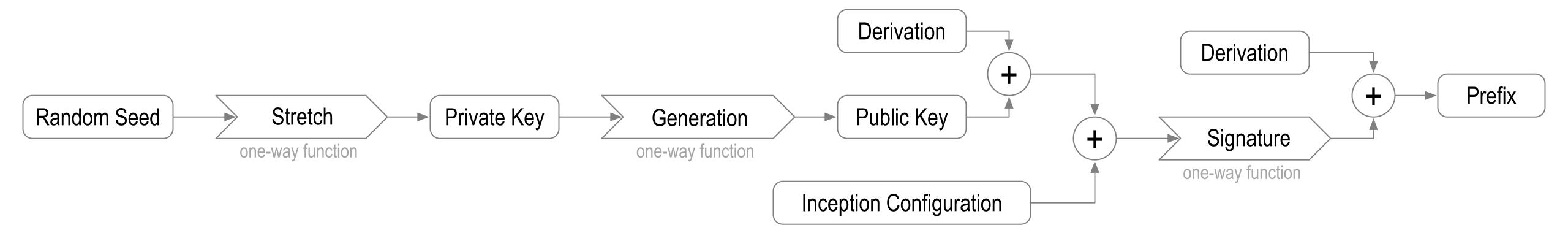
# Delegated Self-Addressing SCID



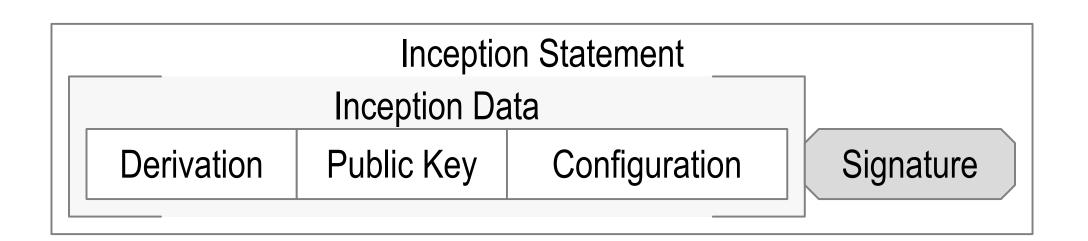
EXq5YqaL6L48pf0fu7IUhL0JRaU2\_RxFP0AL43wYn148

did:un:EXq5YqaL6L48pf0fu7IUhL0JRaU2\_RxFP0AL43wYn148/path/to/resource?name=secure#really

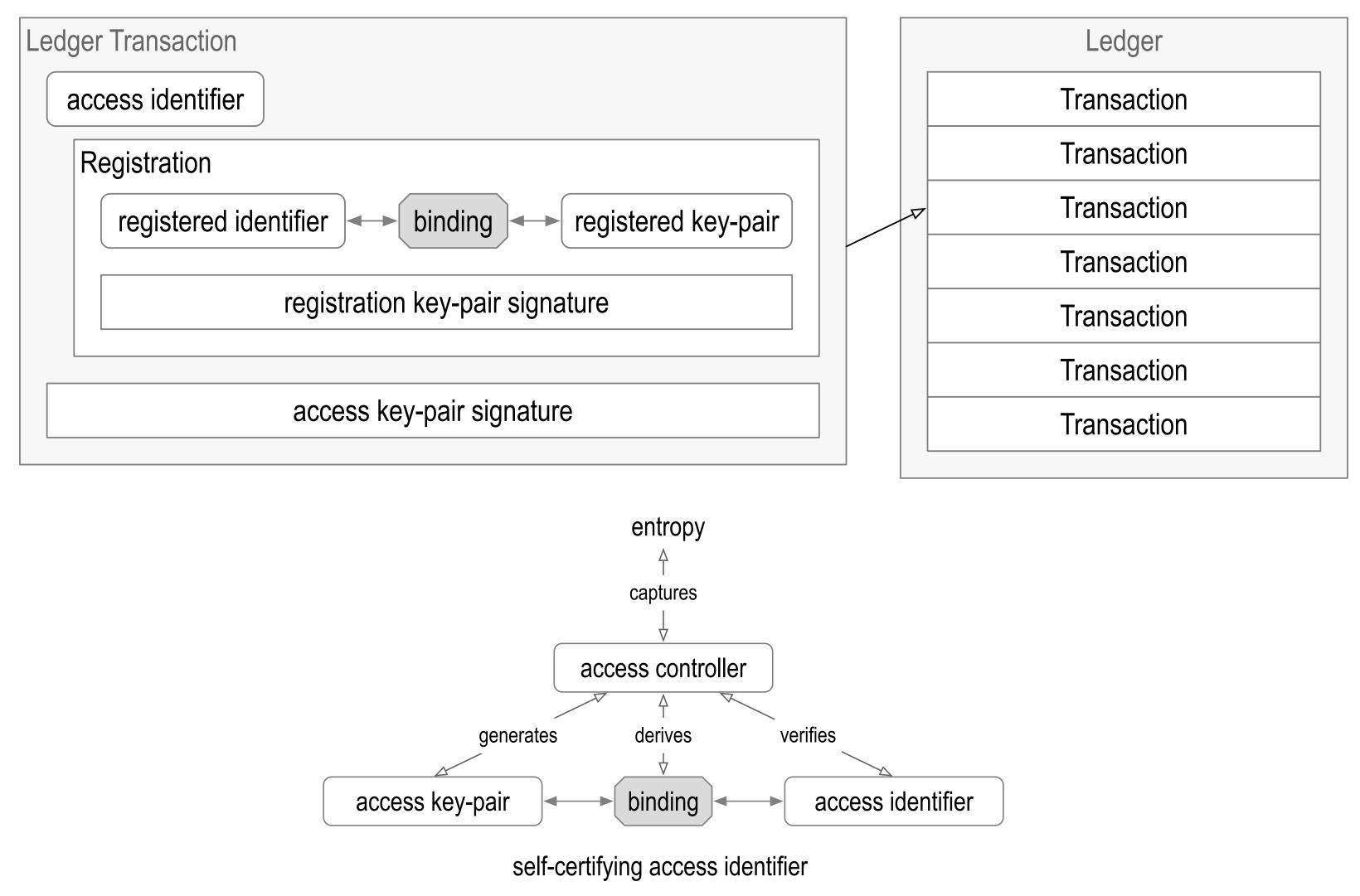
# Self-Signing SCID



Prefix	
Derivation	Inception Signature



# Ledger Registration



The access identifier may have a self-certifying primary root-of-trust, but the registered identifier does not, even if its format appears to be self-certifying.

## Autonomic Identifier (AID) and Namespace (AN)

auto nomos = self rule

autonomic = self-governing, self-controlling, etc.

An autonomic namespace is

self-certifying and hence self-administrating.

AIDs and ANs are portable = truly self-sovereign.

autonomic prefix = self-cert + UUID + URL = universal identifier

## Zooko's Trilemma

Desirable identifier properties: secure, decentralized, human meaningful

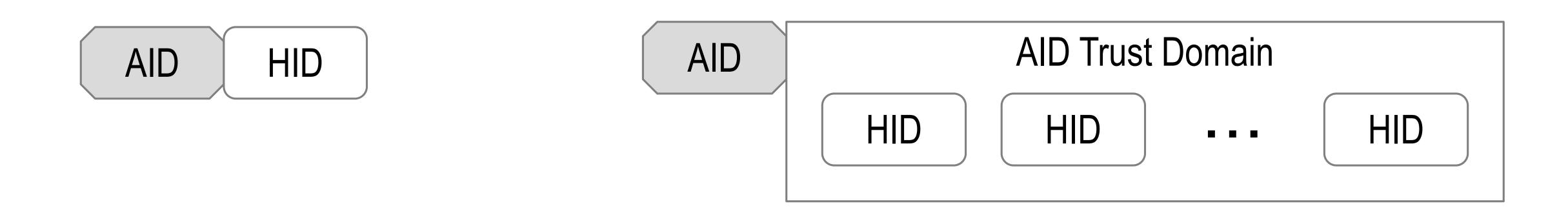
Trilemma: May have any two of the three properties but not all three.

One way to sort of solve the trilemma is to uniquely register a human meaningful identifier on a ledger controlled by a different identifier that is secure and decentralized but not human meaningful.

## Unified Identifier Model

AID: Autonomic Identifier (primary)
self-managing self-certifying identifier with cryptographic root of trust secure, decentralized, portable, universally unique

HID: Authorized Human Meaningful Identifier (secondary) from aid|hid couplet hid = authorized human meaningful identifier legitimized within trust domain of given AID by authorization from AID controller authorization is verifiable to the root-of-trust of AID



#### KEY Event Based Provenance of Identifiers

KERI enables cryptographic proof-of-control-authority (provenance) for each identifier.

A proof is in the form of an identifier's key event receipt log (KERL).

KERLs are End Verifiable:

End user alone may verify. Zero trust in intervening infrastructure.

KERLs may be Ambient Verifiable:

Anyone may verify anylog, anywhere, at anytime.

KERI = self-cert root-of-trust + certificate transparency + KA<sup>2</sup>CE + recoverable + post-quantum.

## KERI for the DIDified

KERI non-transferable ephemeral with derivation code ~ did:key

KERI private direct mode (one-to-one) ~ did:peer

KERI public persistent indirect mode (one-to-any) ~ Indy interop, did:sov etc

KERI = did:un (did:uni, did:u) (all of the above in one method)

```
did:un:prefix[:options][/path][?query][#fragment]
```

## KERI Agnosticism and Interop

KERI itself is completely agnostic about anything but the prefix!

```
??:prefix[:options][/path][?query][#fragment]
```

The KERI layer establishes control authority over a prefix

Any and All namespaces that share the same prefix may share the same KERI trust basis for control establishment over that prefix and hence that namespace.

Interop happens in a layer above the KERI layer

All we need for bootstrapping interop is some indication that the *prefix* inside identifier is KERI based (KERI trust basis).

## Autonomic Identity System

why, how – who controls what, when, and how?

## Root-of-Trust

cryptographic autonomic identifier = why, how

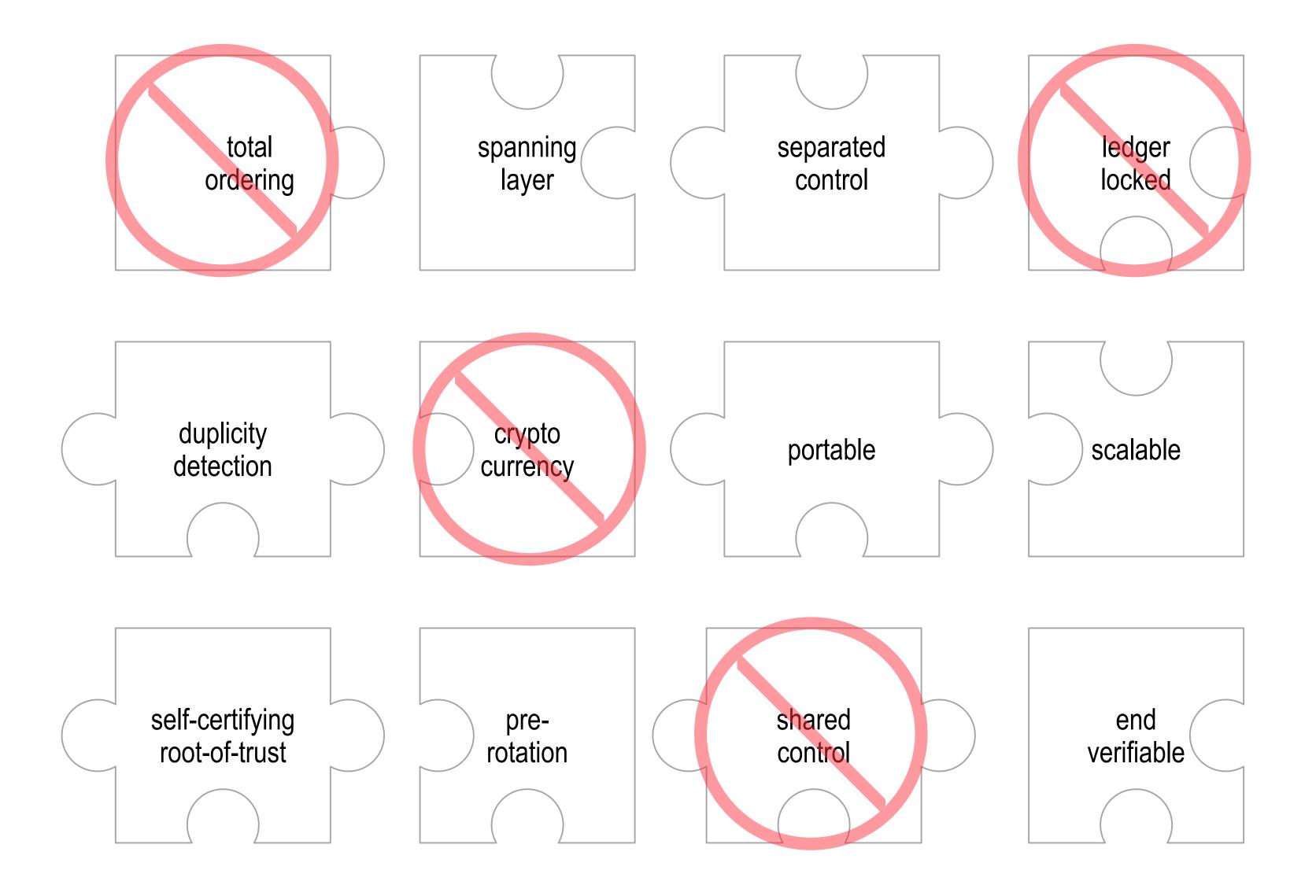
## Source-of-Truth

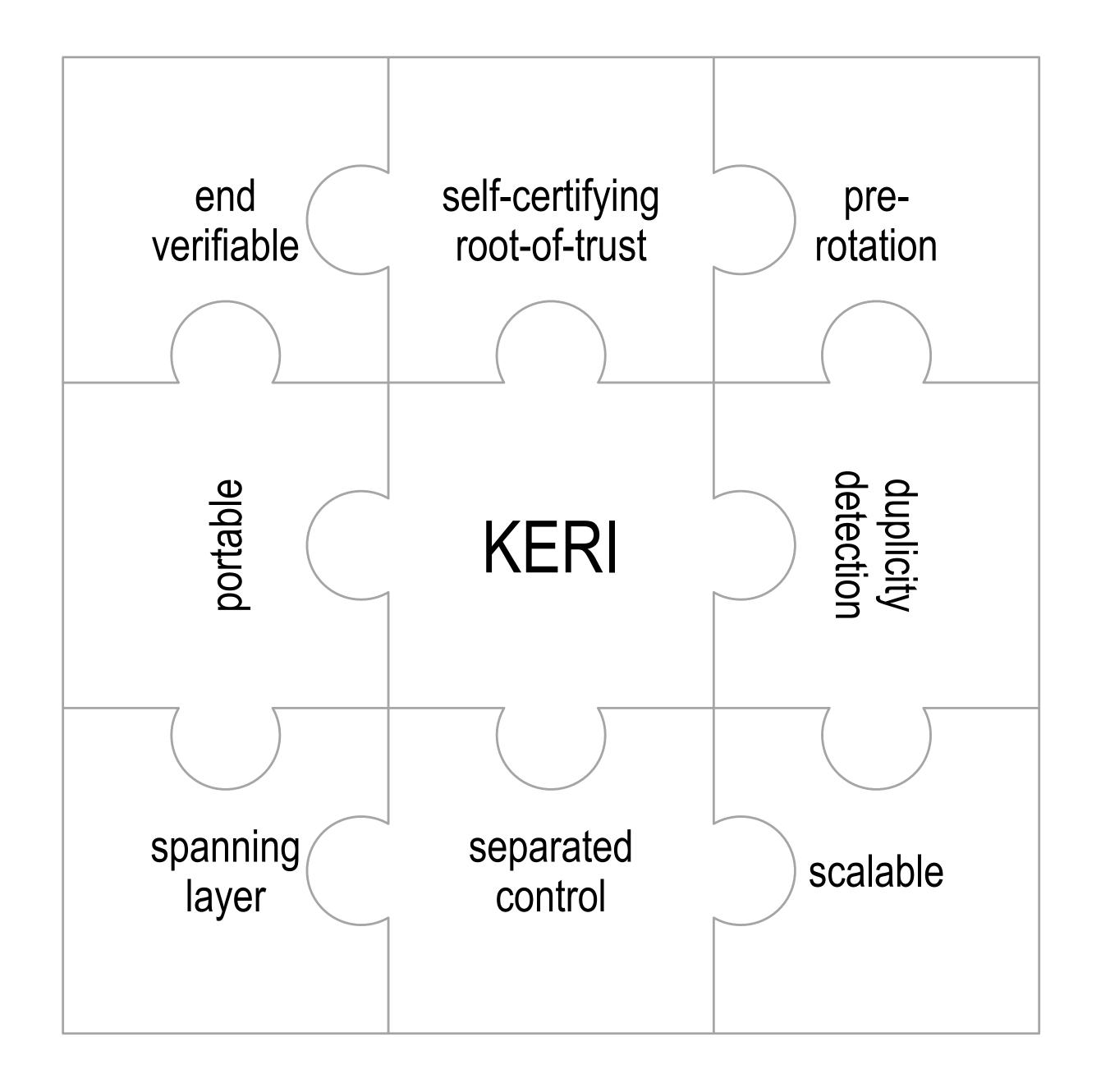
controller of the private key = who

## Loci-of-Control

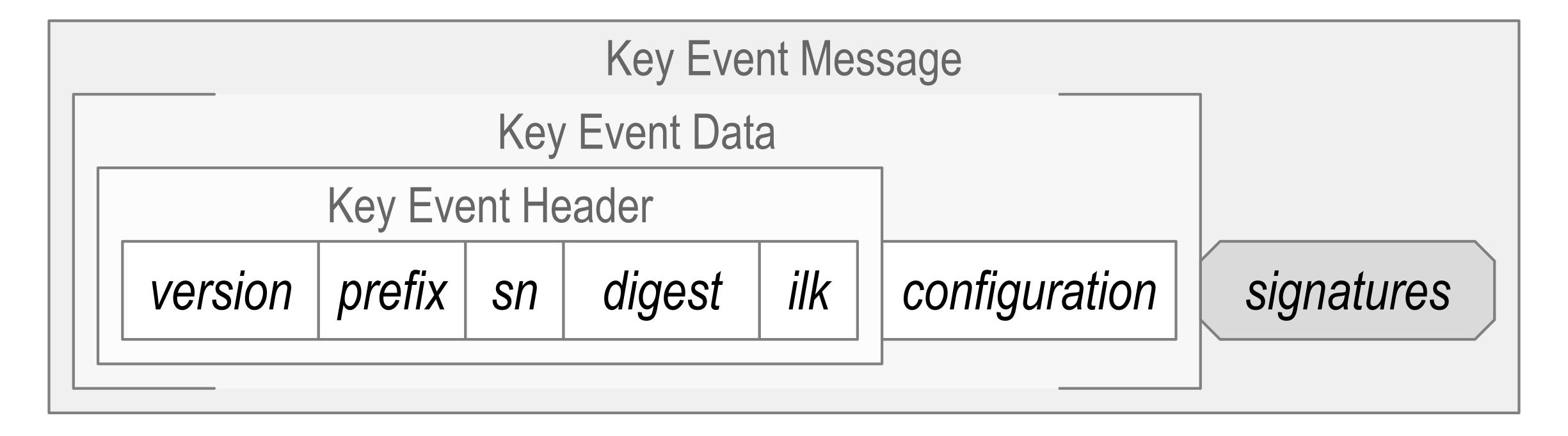
authoritative operation = what, when, how

# System Design Trade Space



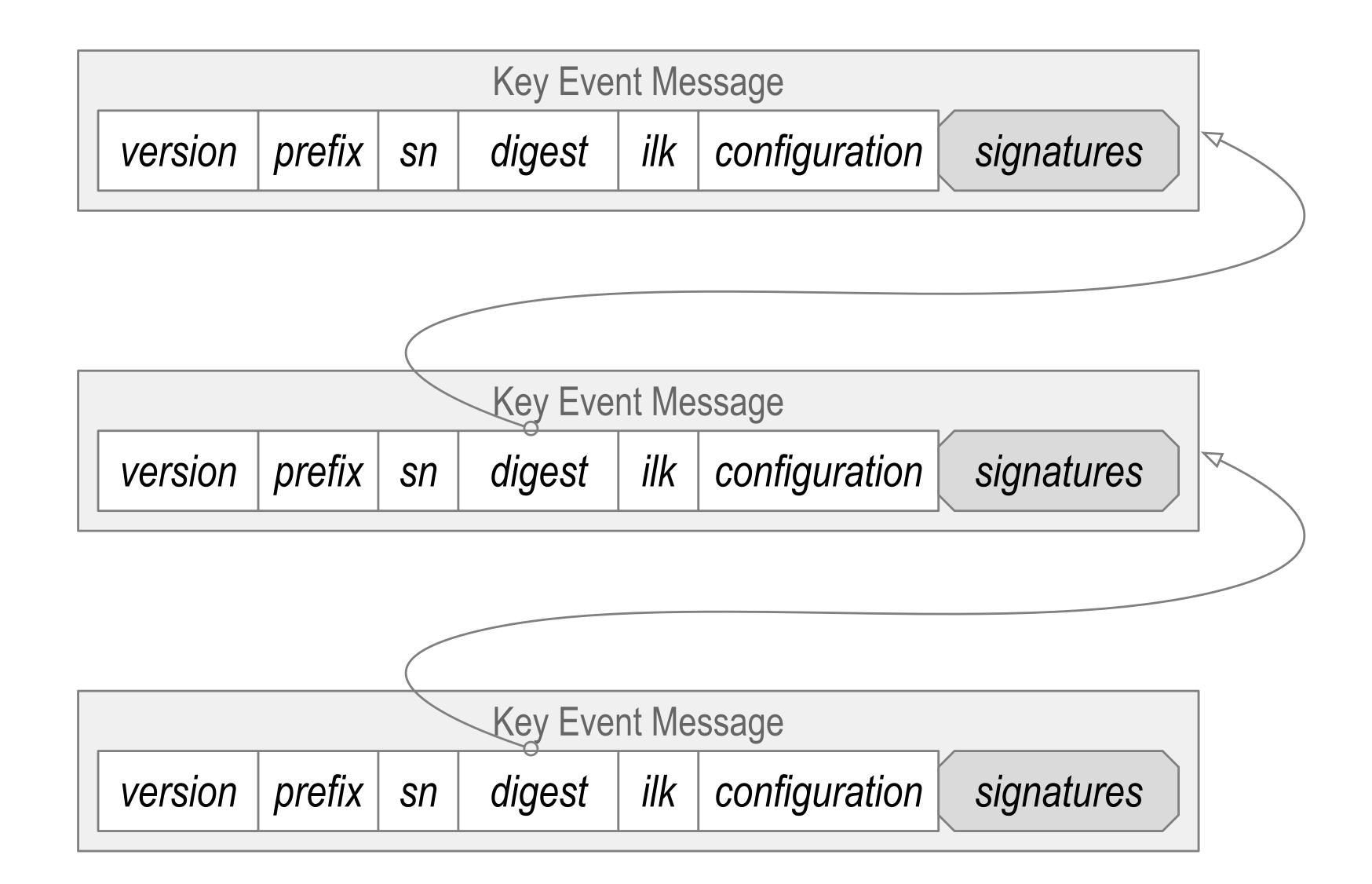


## Key Event Message

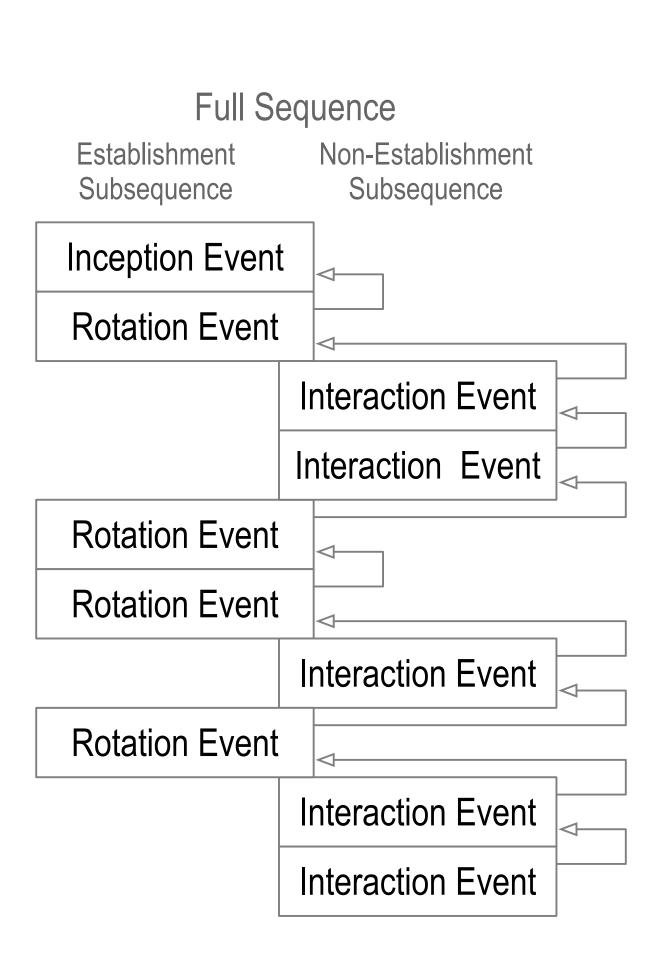




## Event Chaining



## Inconsistency and Duplicity



*inconsistency*: lacking agreement, as two or more things in relation to each other *duplicity*: acting in two different ways to different people concerning the same matter

Internal vs. External Inconsistency Internally inconsistent log = not verifiable.

Log verification from self-certifying root-of-trust protects against internal inconsistency.

Externally inconsistent log with a purported copy of log but both verifiable = duplicitous.

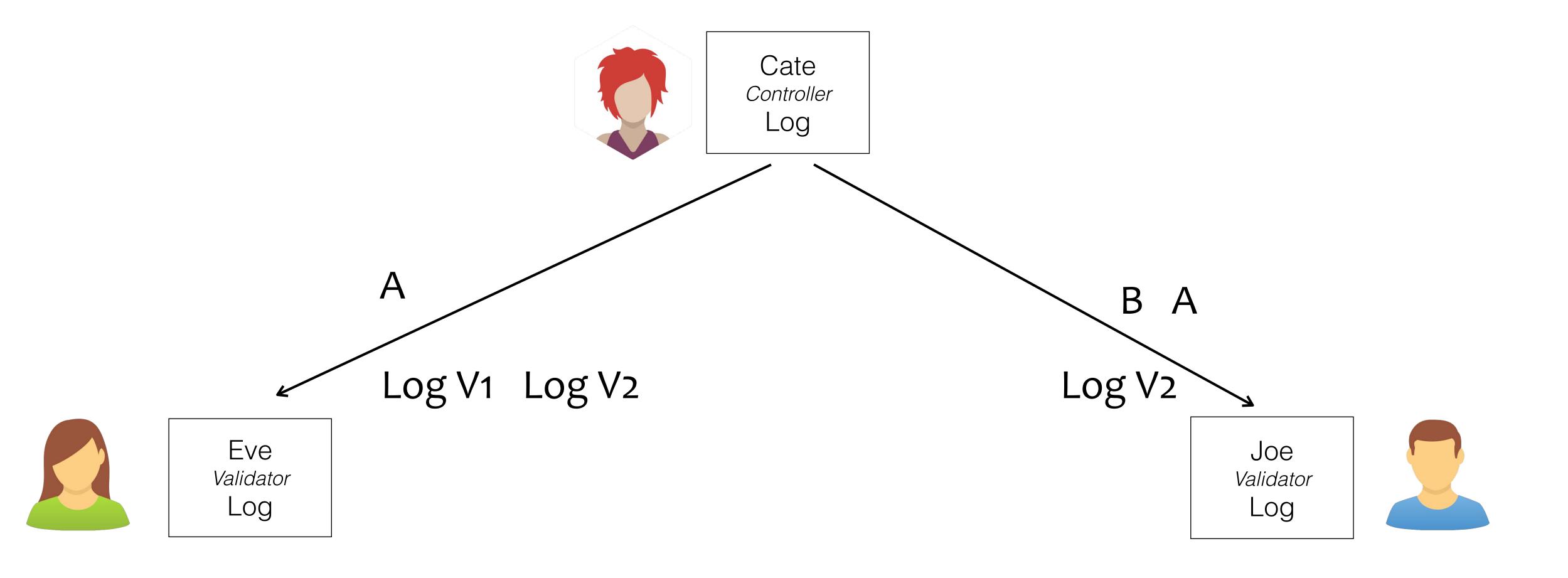
Duplicity detection protects against external inconsistency.

Cate promises to provide a consistent pair-wise log.

Duplicity Game

How may Cate be duplicitous and not get caught?

Local Consistency Guarantee



private (one-to-one) interactions

Duplicity Game Service promises to provide a How may Cate/Service/Agent be consistent log to anyone. duplicitous and not get caught? Local Consistency Guarantee Cate Controller Log Truncate Log Service/Agent Controlled by Cate Delete Log Log В A A Log V2 Log V1 Log V2 Joe Eve Validator Validator Log Log

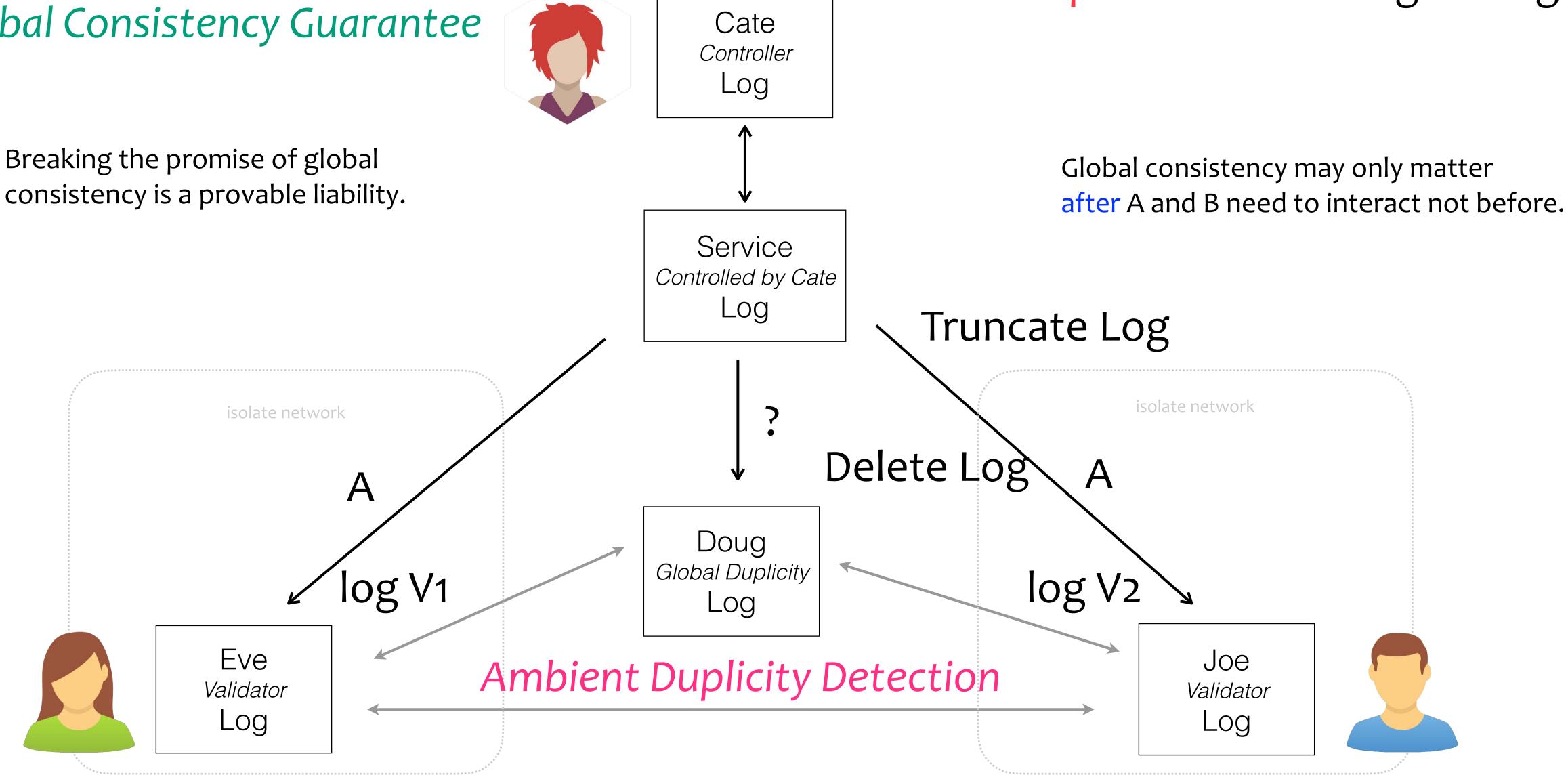
highly available, private (one-to-one) interactions

Service promises to provide exact same log to everyone.

Global Consistency Guarantee

Duplicity Game

How may Cate and/or service be duplicitous and not get caught?



global consistent, highly available, and public (one-to-any) interactions

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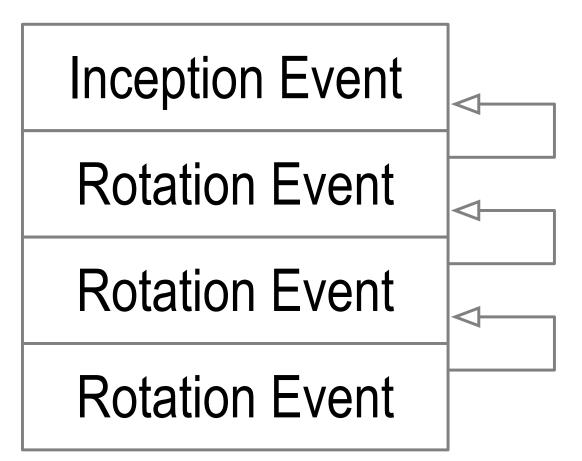
# Self-Certifying Identifier Prefixes

All crypto material appears in KERI in a fully qualified representation that includes a derivation code prepended to the crypto-material.

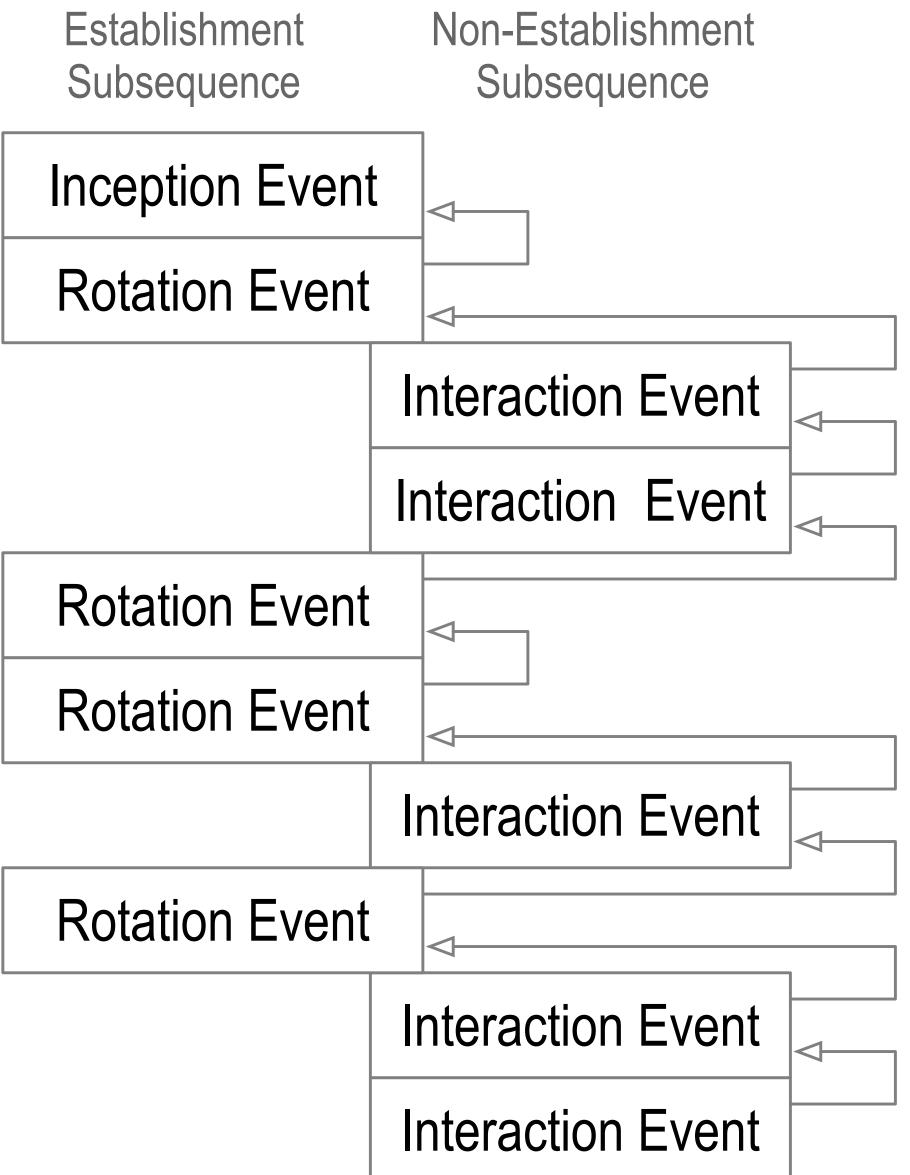
Identifier prefixes are fully qualified crypto-material.

# Event Sequencing

Establishment Subsequence



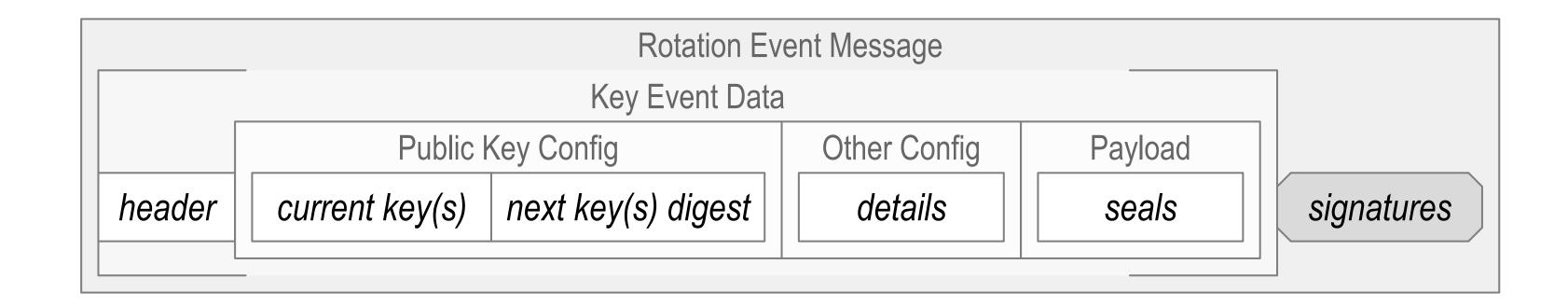
Full Sequence nent Non-Es



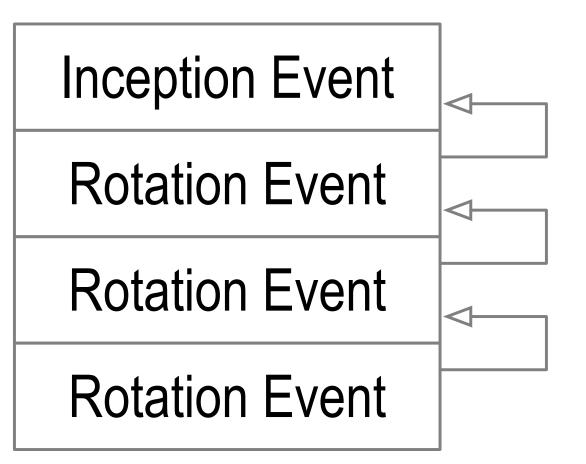
#### Establishment Events



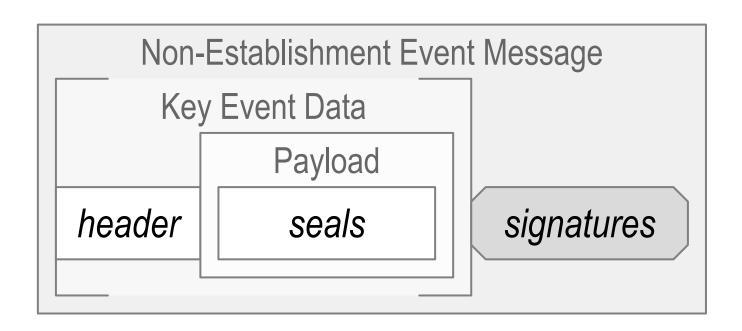


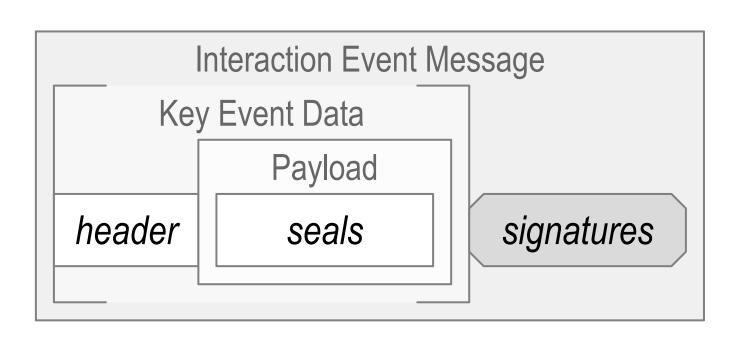


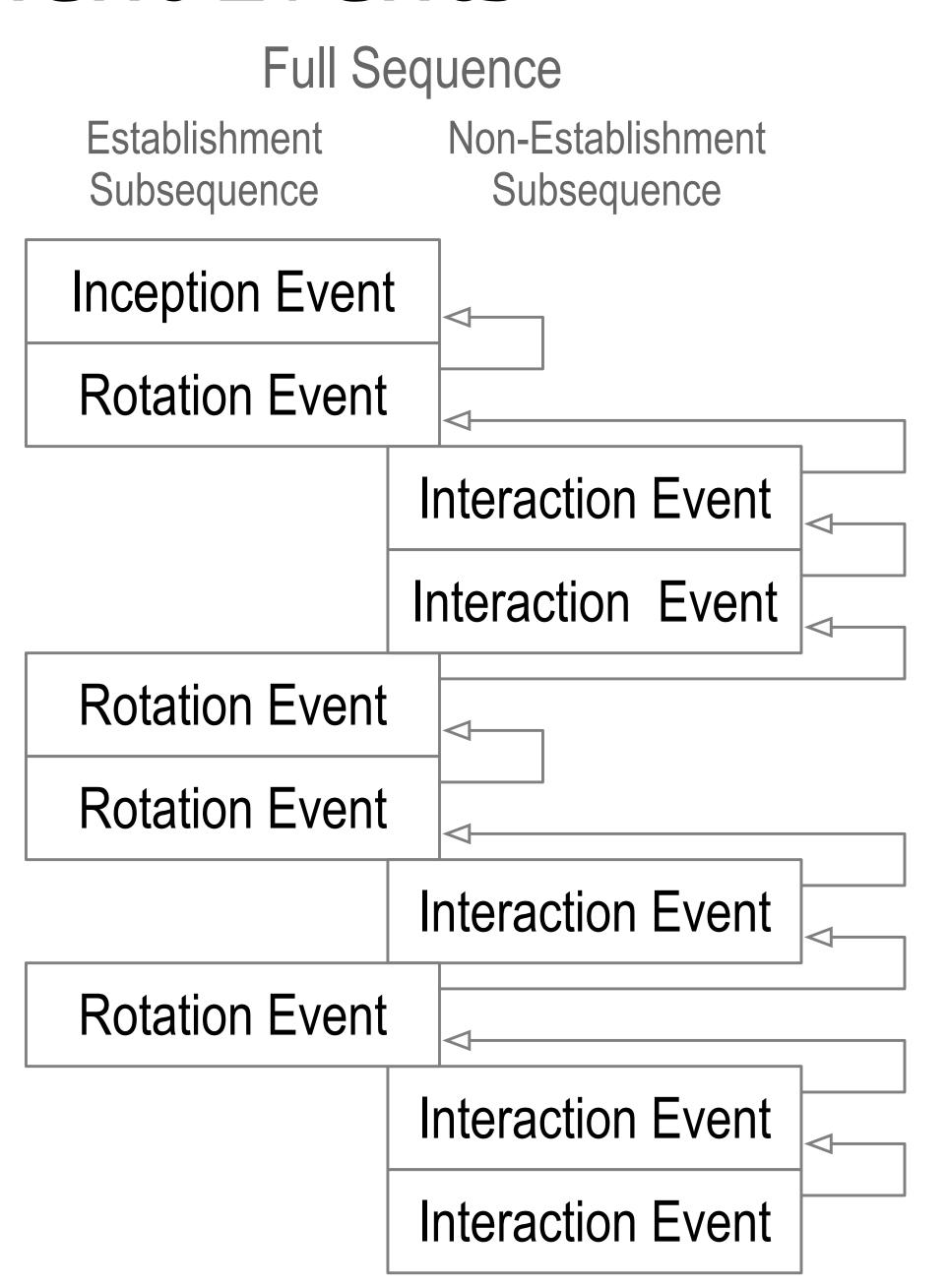
Establishment Subsequence



#### Non-Establishment Events



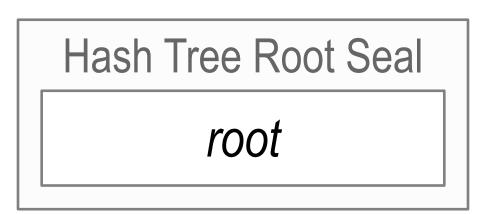


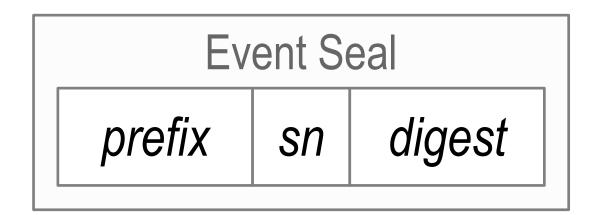


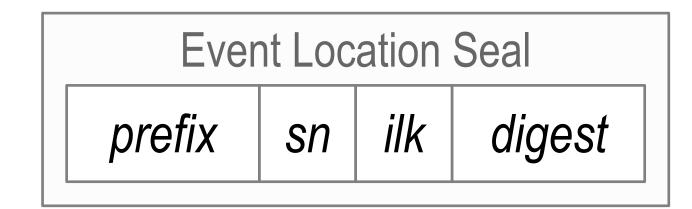
# Seal (Anchor)

#### seal provides evidence of authenticity









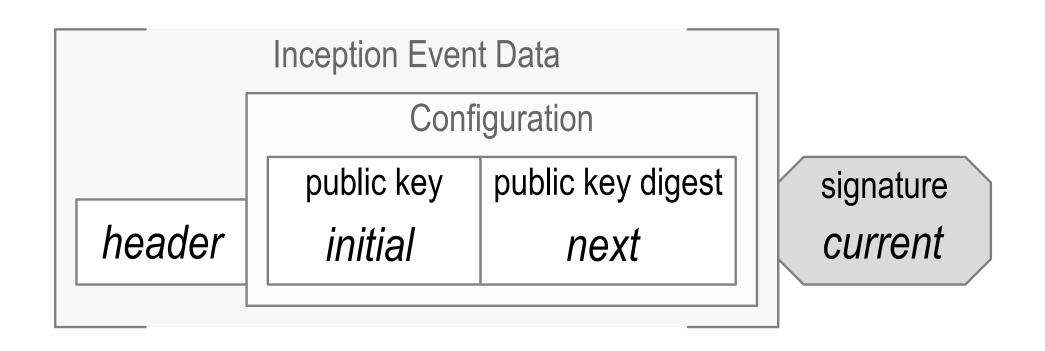
A *seal* anchors arbitrary data to an event in the key event sequence thereby providing proof of control authority for that data at the location of the anchoring event.

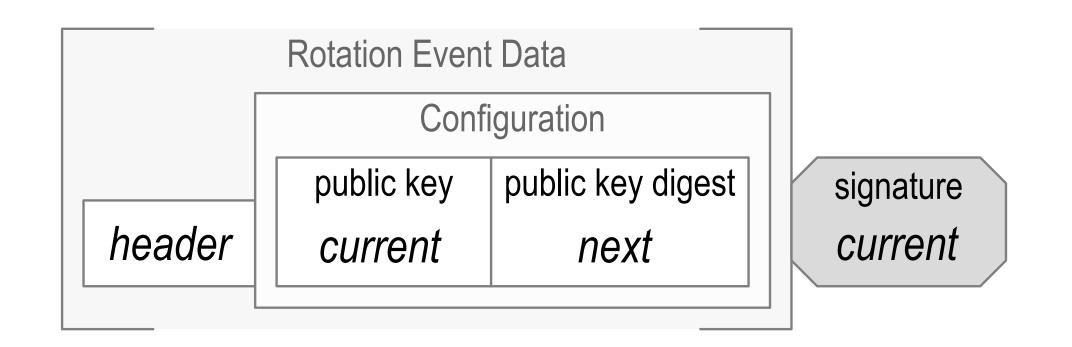
Seals make KERI both privacy preserving and data semantic agnostic.

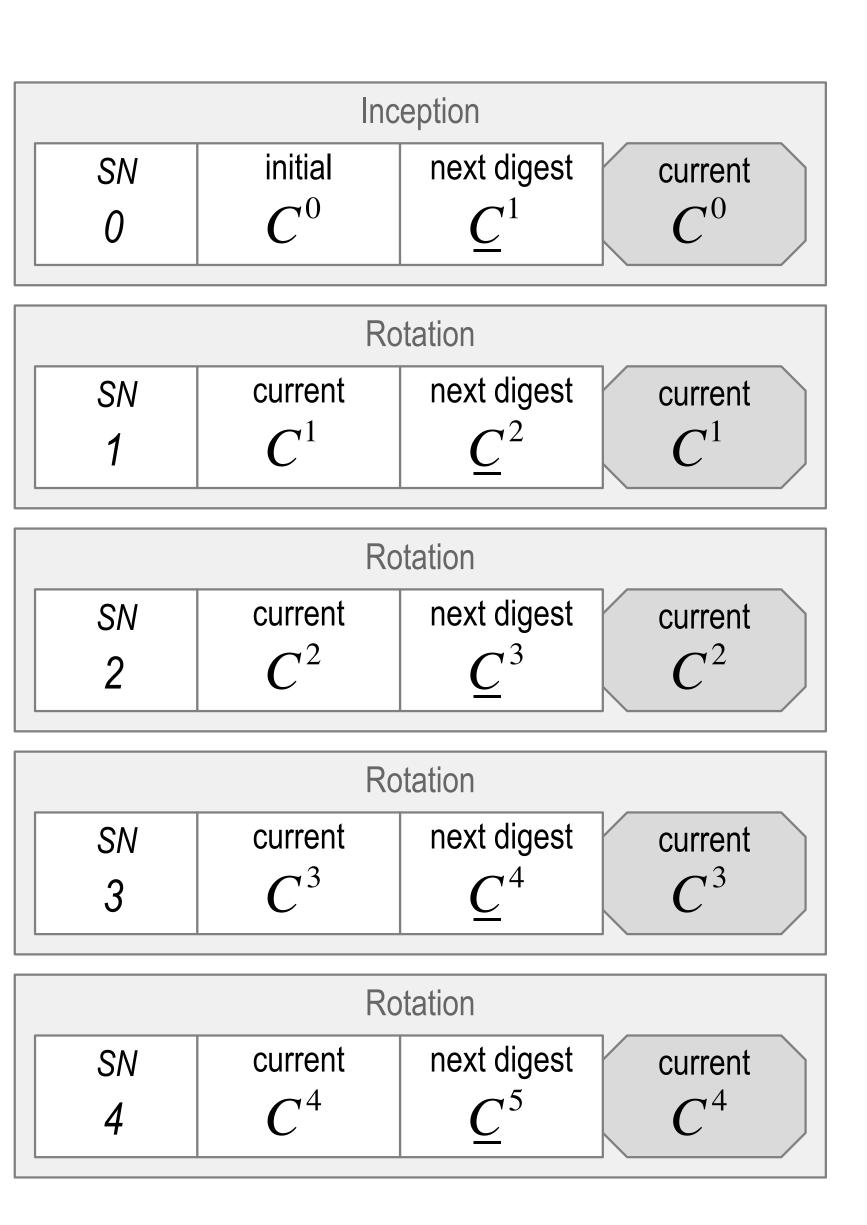
Context independent extensibility via externally layered APIs for anchored data instead of context dependent extensibility via internal linked data or tag registries. Interoperability is total w.r.t. establishment of control authority.

Minimally sufficient means.

#### Pre-Rotation

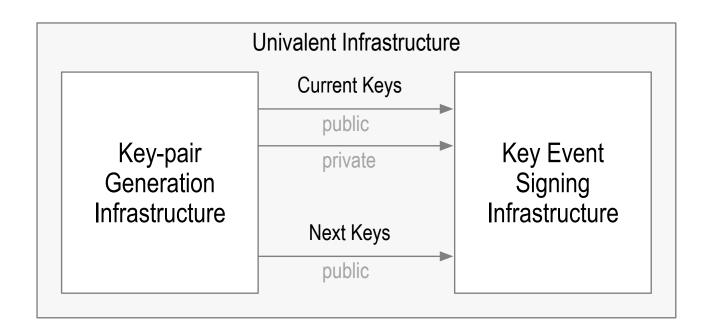


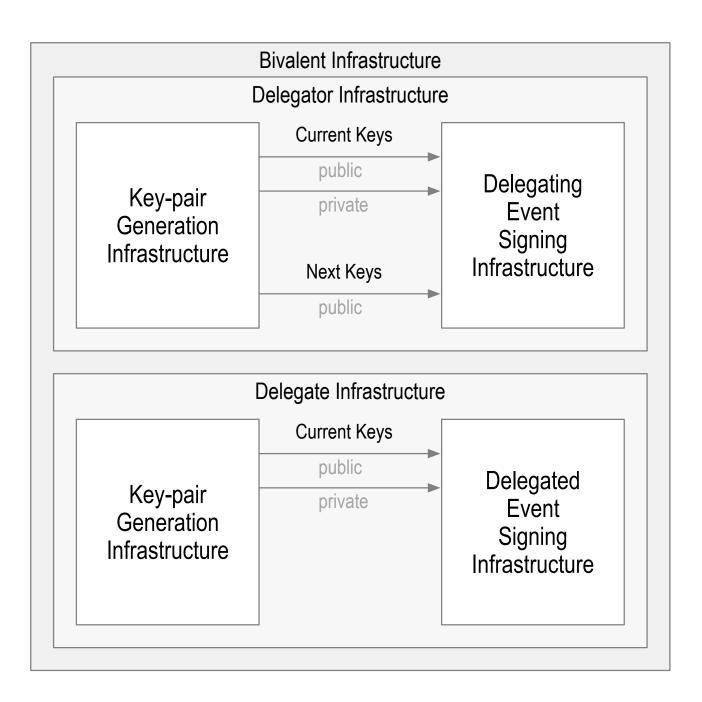


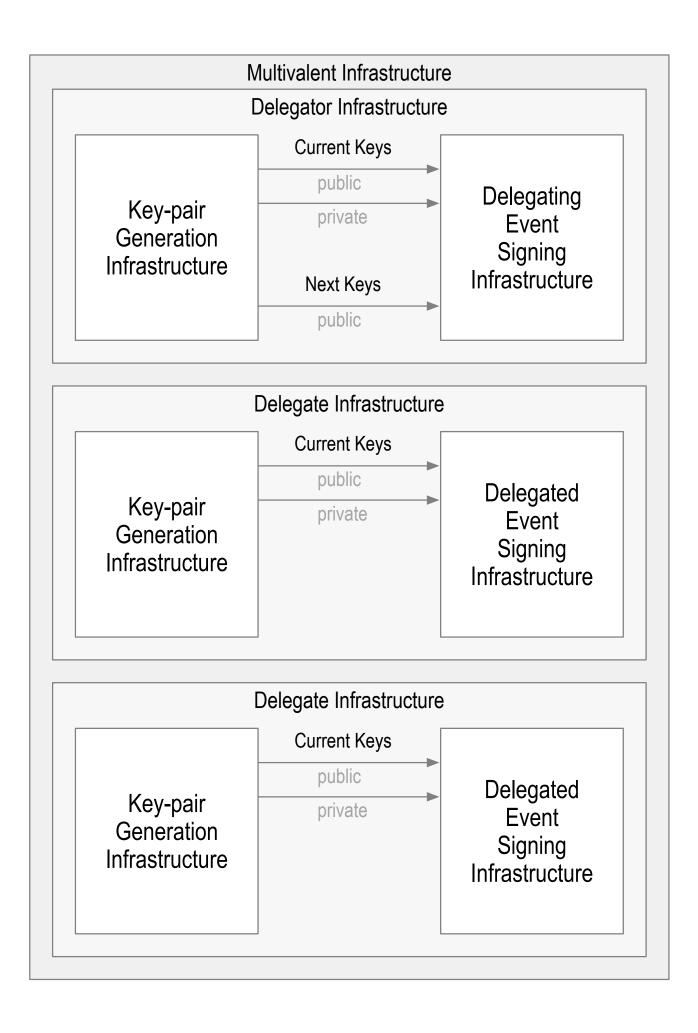


Digest of next key(s) makes pre-rotation post-quantum secure

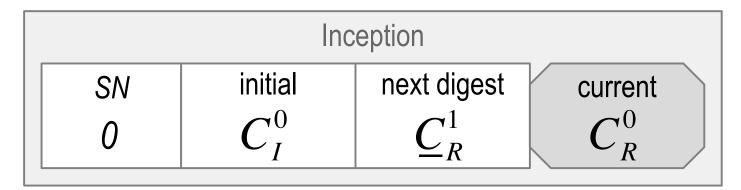
#### Key Infrastructure Valence

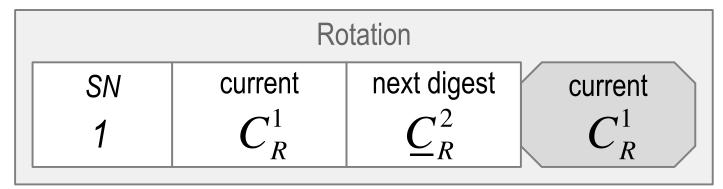






# Repurposed Keys





	Interaction	
SN 2	payload	current
		$C_X$

$egin{array}{c c} SN &  ext{payload} &  ext{current} \ & \dot{m{C}}_X^1 \end{array}$	

Rotation							
SN 4	current $C_R^2$	next digest $C_R^3$	$egin{array}{c}  ext{current} \ C_R^2 \end{array}$				

	Interaction	
SN 5	payload	$\dot{m{C}}_X^2$

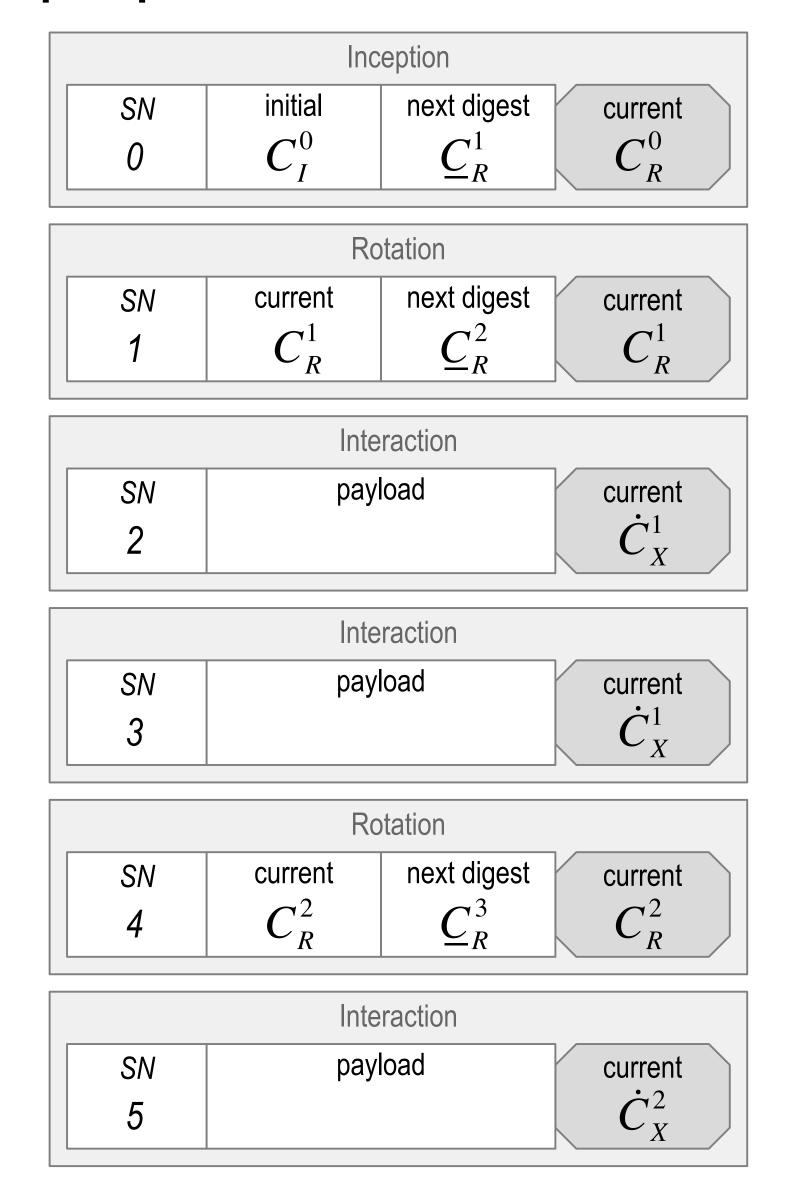
Inception						
SN	initial	next digest	current			
0	$C_I^{\circ}$	$C_R$	$C_R^{\circ}$			

		Ro	tation	
SN 1	current $oldsymbol{C_R^1}$	next digest $C_R^2$	payload	$egin{array}{c}  ext{current} \ C_R^1 \ \end{array}$

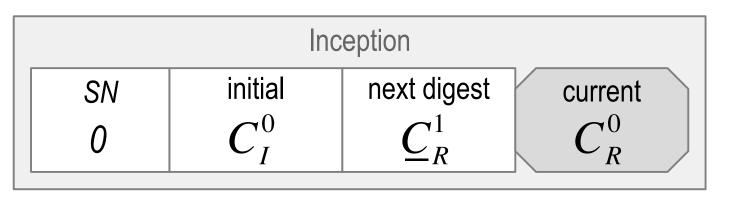
Rotation						
SN 4	current $oldsymbol{C}_R^2$	next digest $C_R^3$	payload	$egin{pmatrix}  ext{current} \ C_R^2 \ \end{pmatrix}$		

# Univalent Key Roles

#### Repurposed Rotation to Interaction



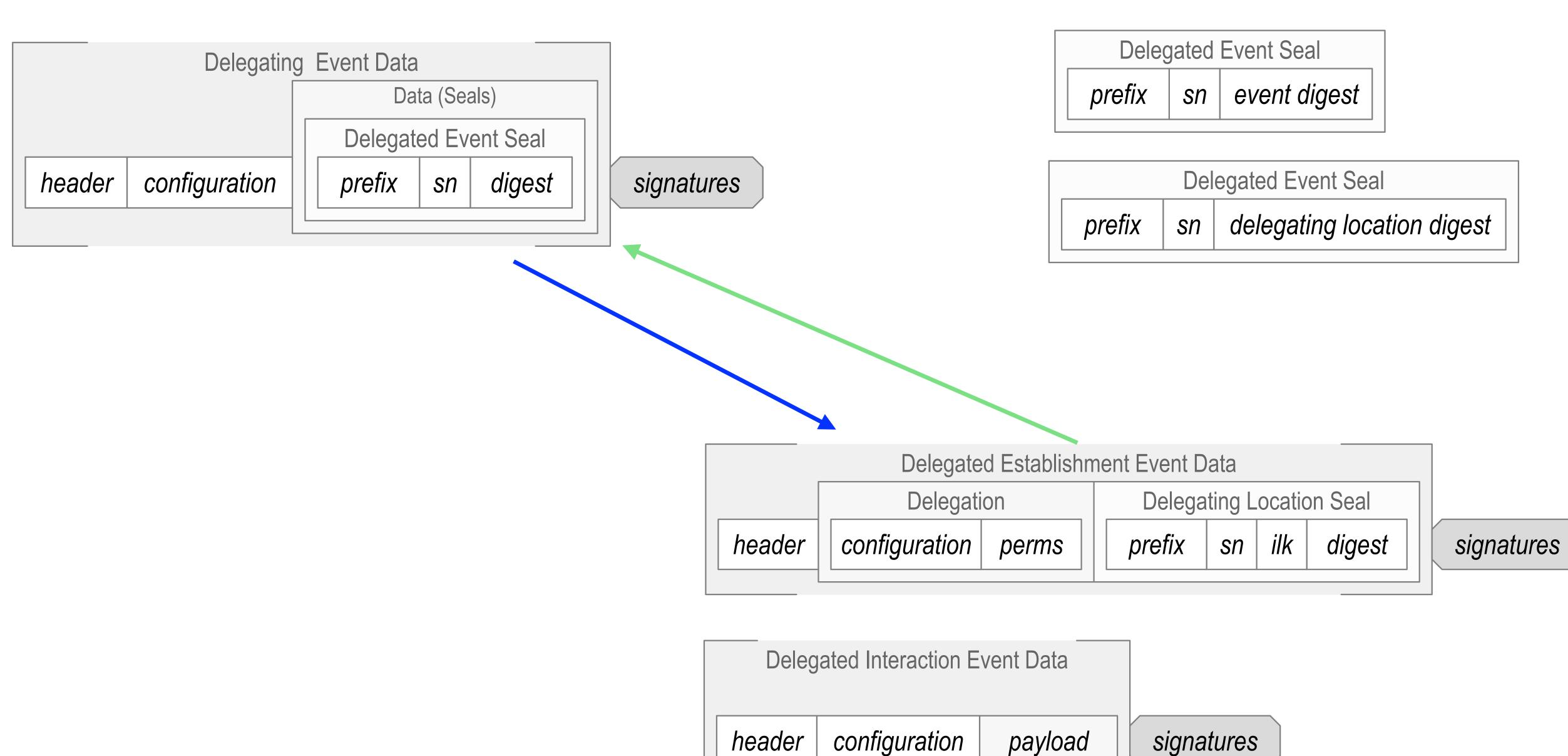
#### **Rotation Only**



Rotation						
SN 1	current $C_R^1$	next digest $\underline{C}_R^2$	payload	$egin{pmatrix}  ext{current} \ C_R^1 \ \end{pmatrix}$		

Rotation						
SN 4	current $oldsymbol{C}_R^2$	next digest $C_R^3$	payload	$egin{pmatrix}  ext{current} \ C_R^2 \ \end{pmatrix}$		

# Delegation (Cross Anchor)



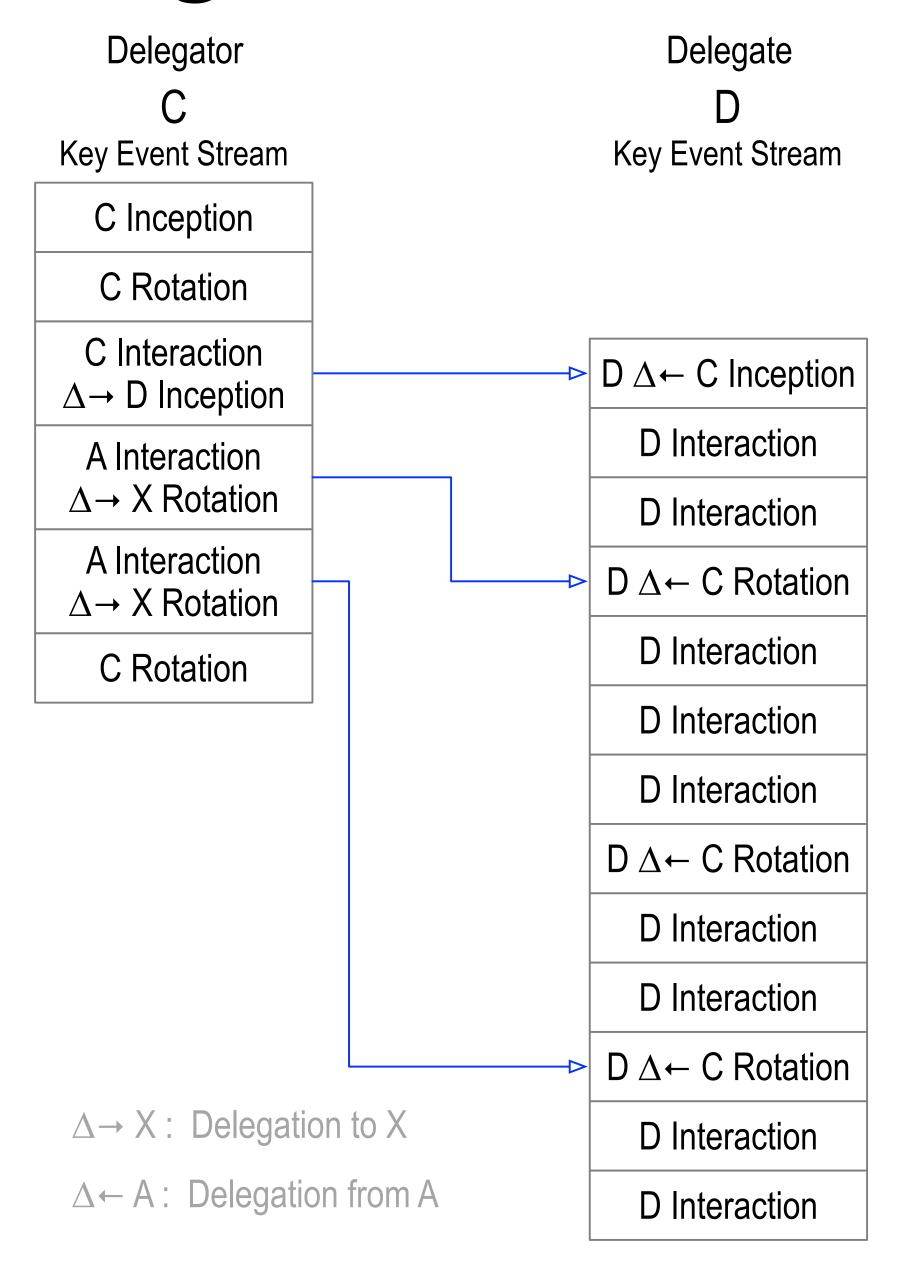
header

# Interaction Delegation

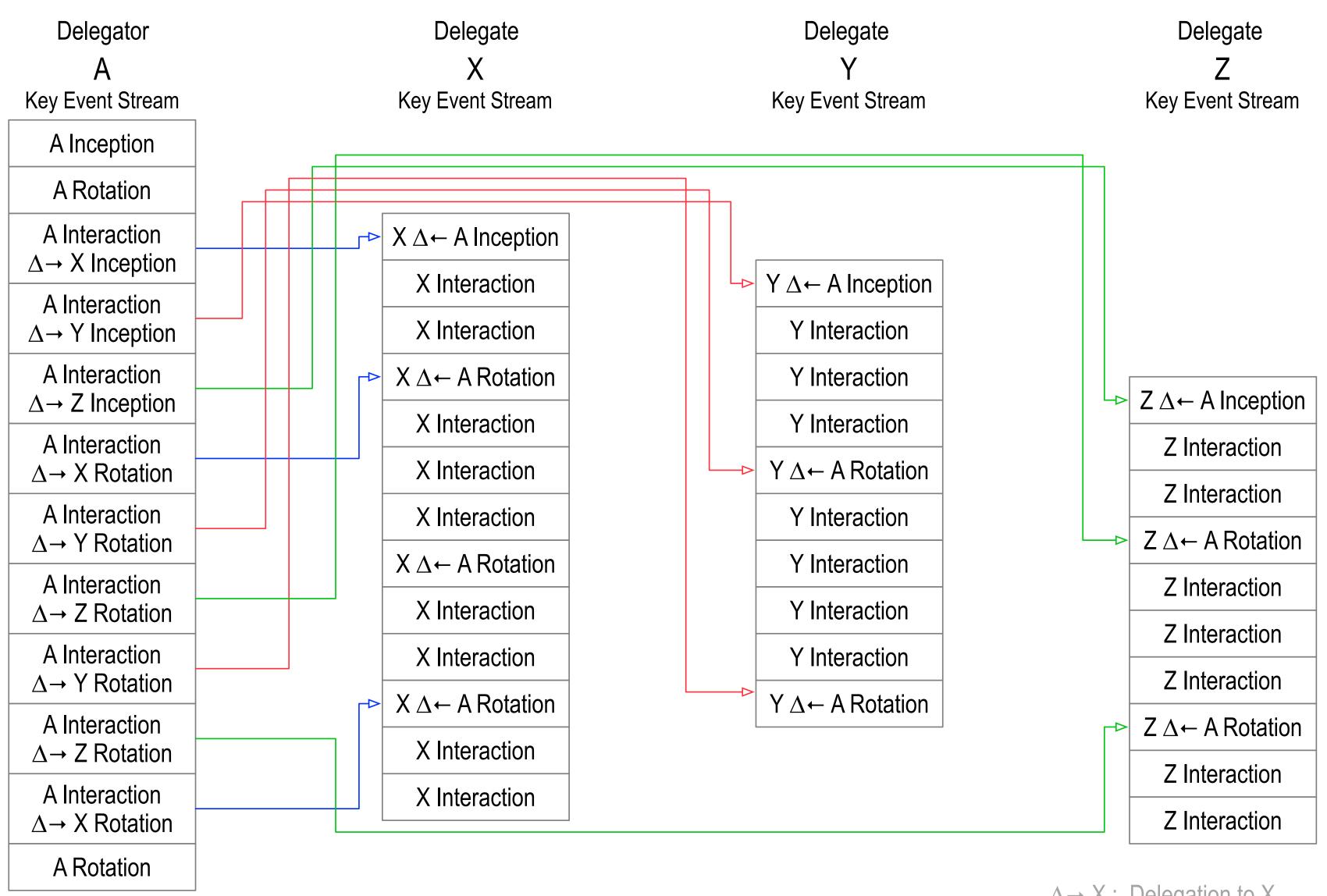
Delegating Interaction Event Message

header configuration delegation seal(s) signatures



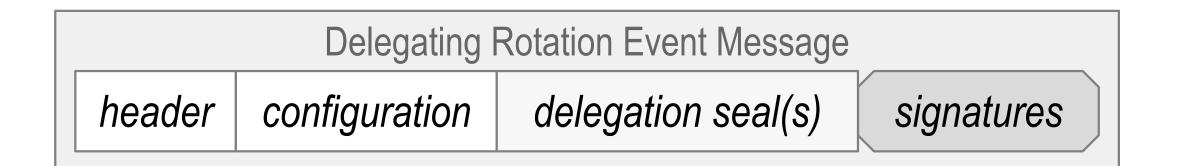


# Scaling Delegation via Interaction

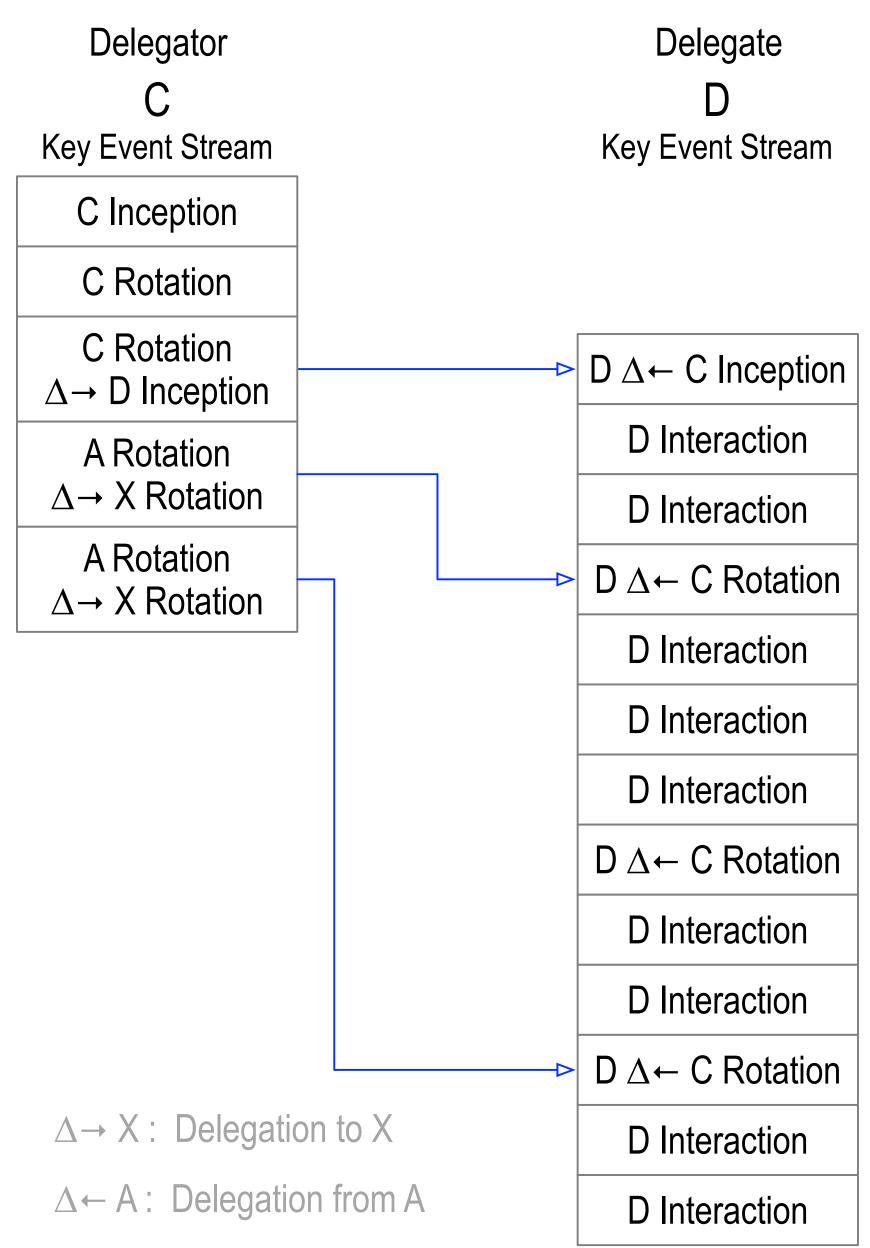


 $\Delta \rightarrow X$ : Delegation to X  $\Delta \leftarrow A$ : Delegation from A

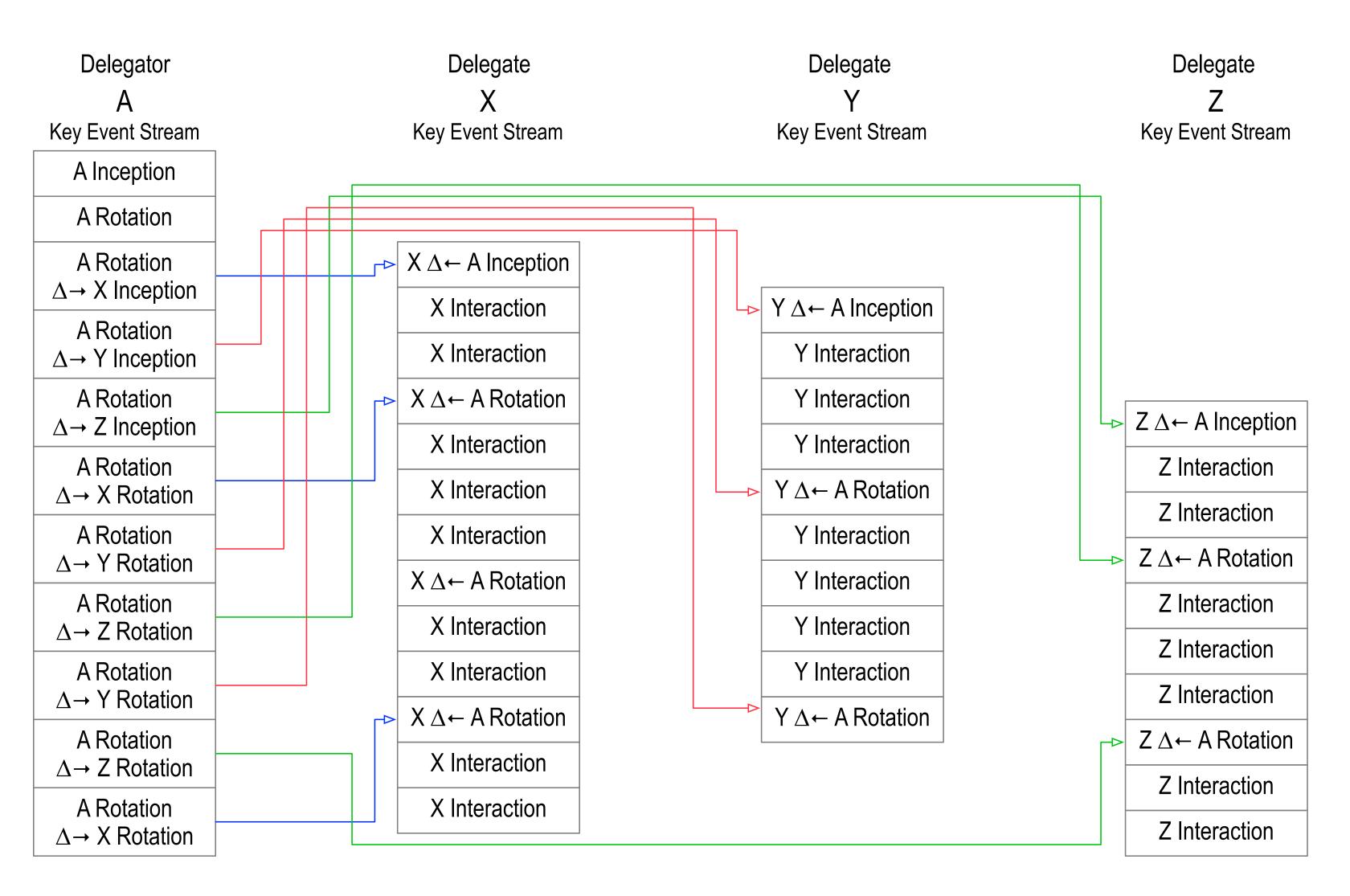
# Rotation Delegation



Delegated Event Message					
header	configuration	perms	delegation seal(s)	signatures	

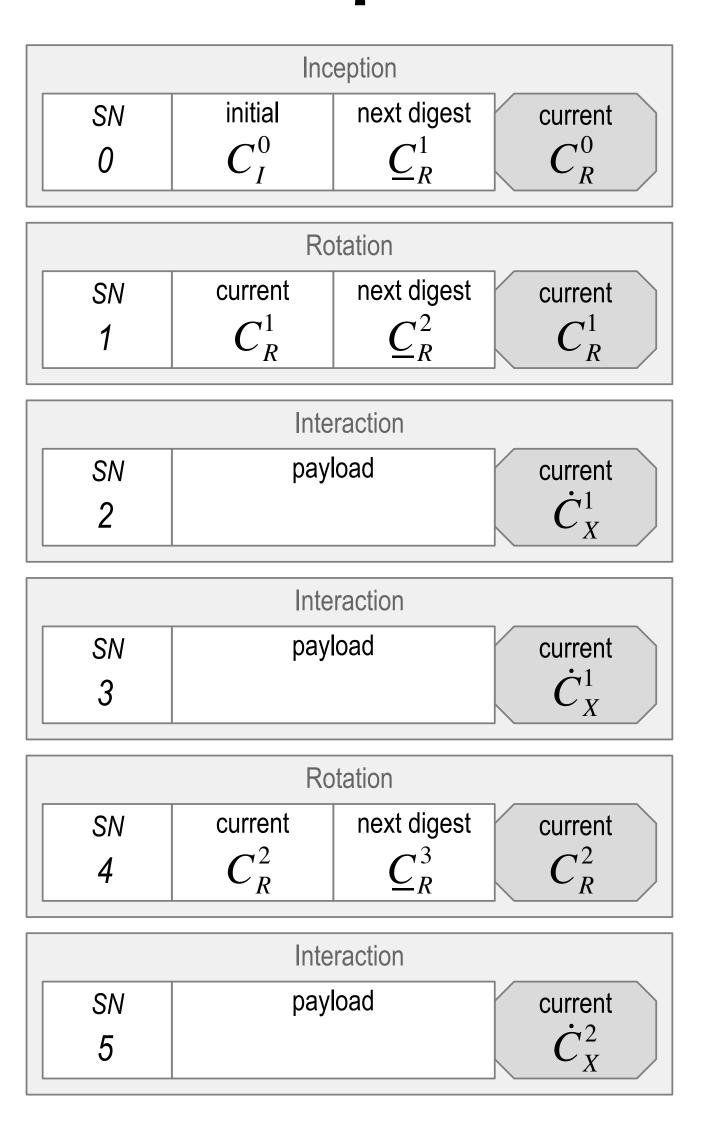


# Scaling Delegation via Rotation



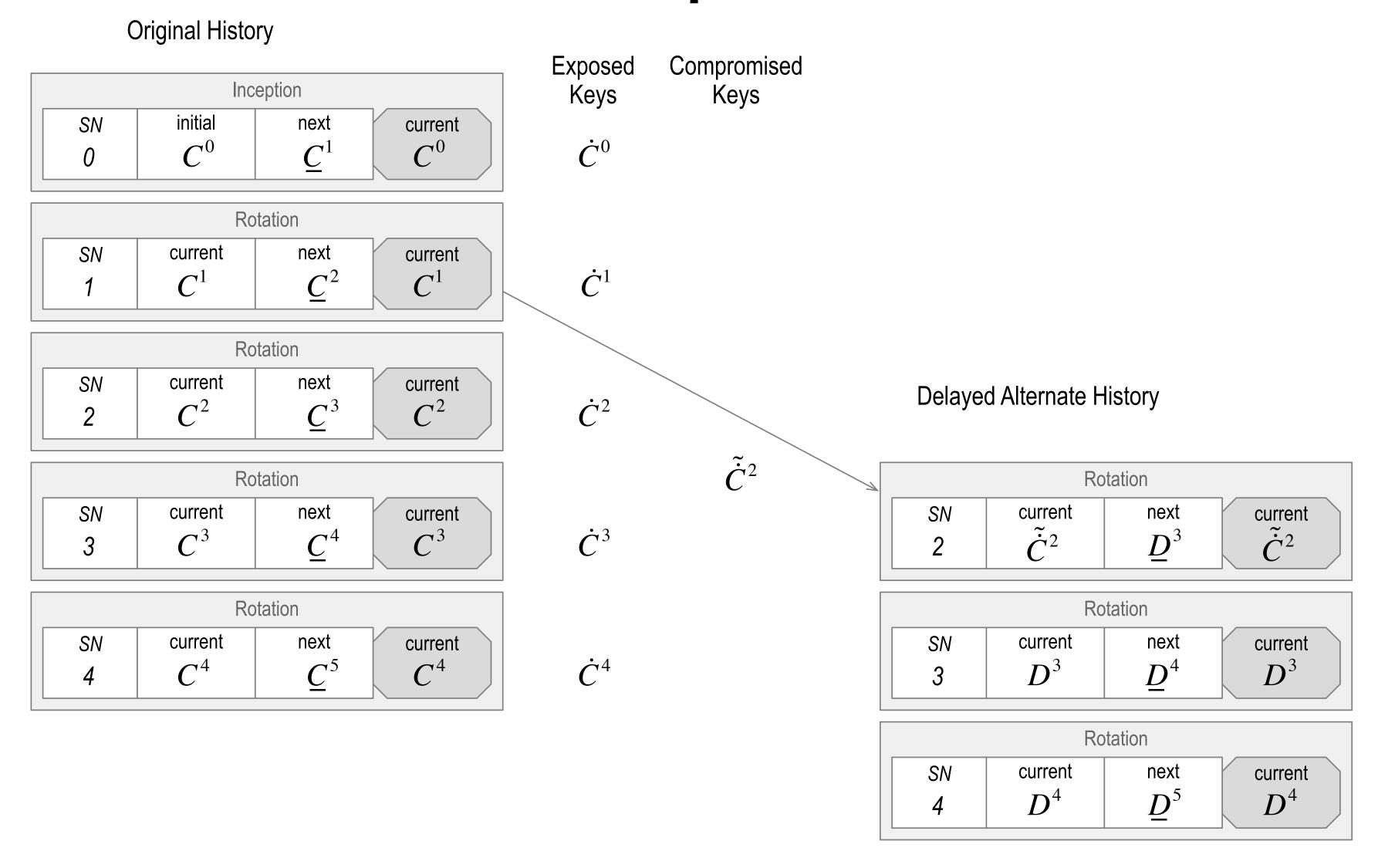
 $\Delta \rightarrow X$ : Delegation to X  $\Delta \leftarrow A$ : Delegation from A

#### Live Exploit (current signing keys)



Pre-rotation provides protection from successful live exploit of current signing keys.

#### Dead Exploit (stale next signing keys)



Any copy of original history protects against successful dead exploit

#### Live Exploit (next signing keys) **Original History** Exposed Compromised Inception Keys Keys next digest initial SN current $\dot{C}^0$ Rotation next digest SN current current $\dot{C}^1$ **Preemptive Alternate History** Rotation next digest SN current current Rotation $\dot{C}^2$ next digest current SN current $\tilde{\underline{C}}^3$ $C^3$ ${\underline {\it D}}^4$ Rotation next digest SN current current Rotation $\dot{C}^3$ next digest SN current current $\underline{D}^5$ $D^4$ $D^4$

Rotation

current

SN

next digest

current

 $\dot{C}^4$ 

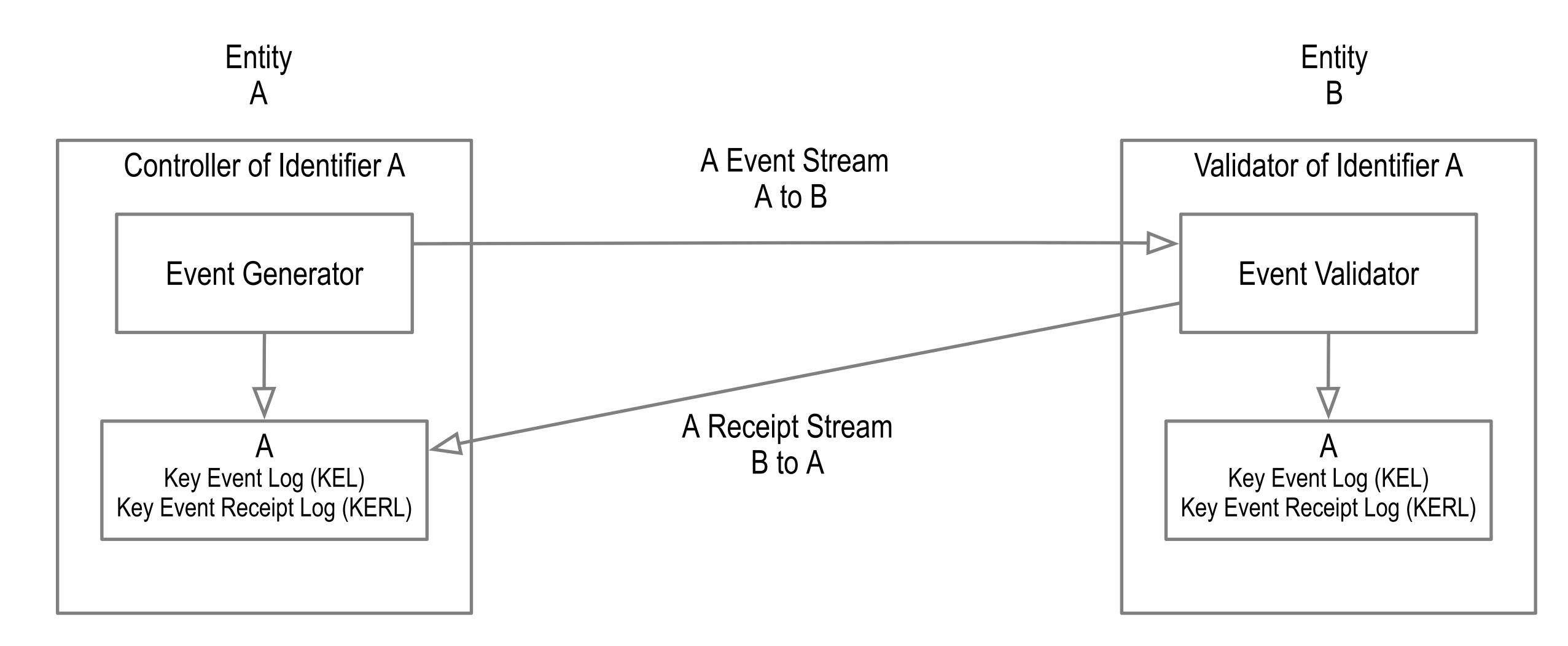
Difficulty of inverting next key(s) protects against successful live exploit.

#### Protocol Operational Modes

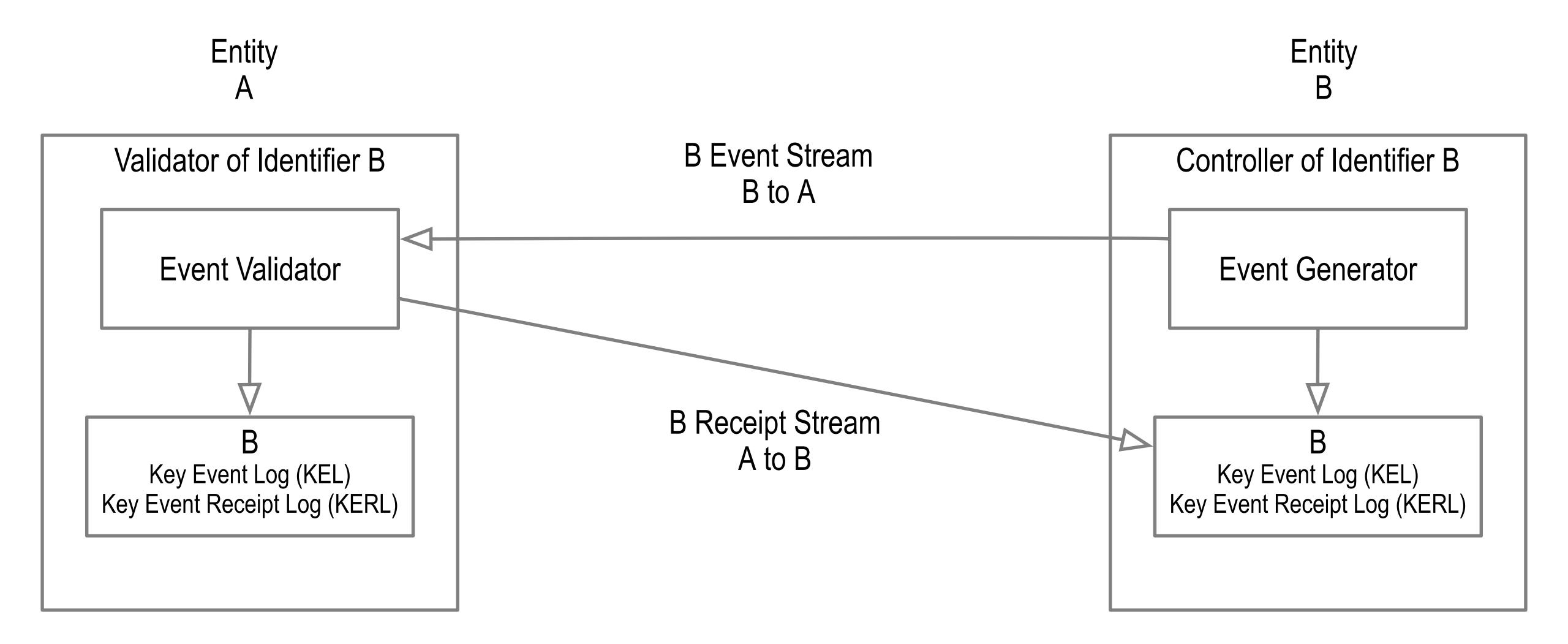
Direct Event Replay Mode (one-to-one)

Indirect Event Replay Mode (one-to-any)

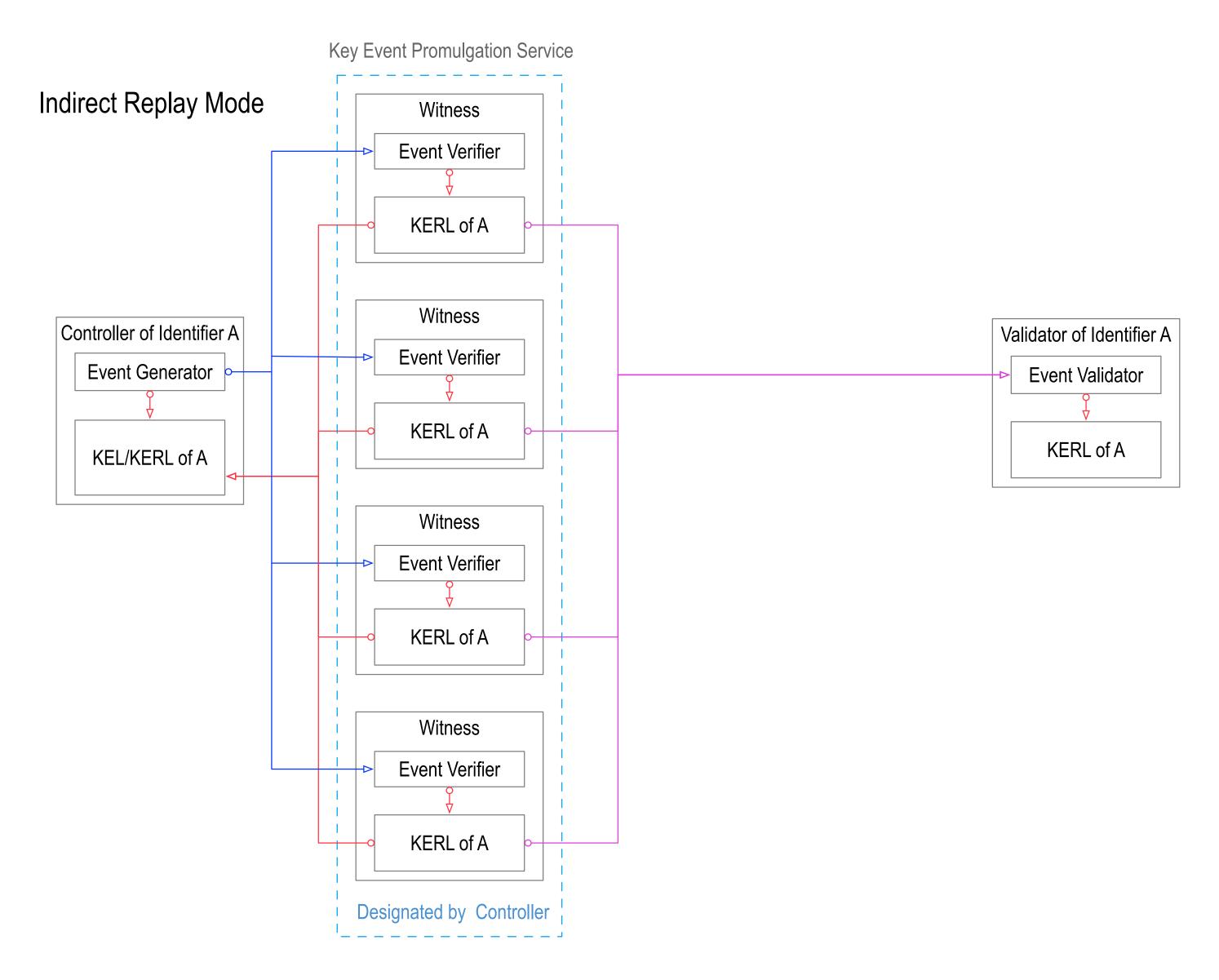
#### Direct Mode: A to B



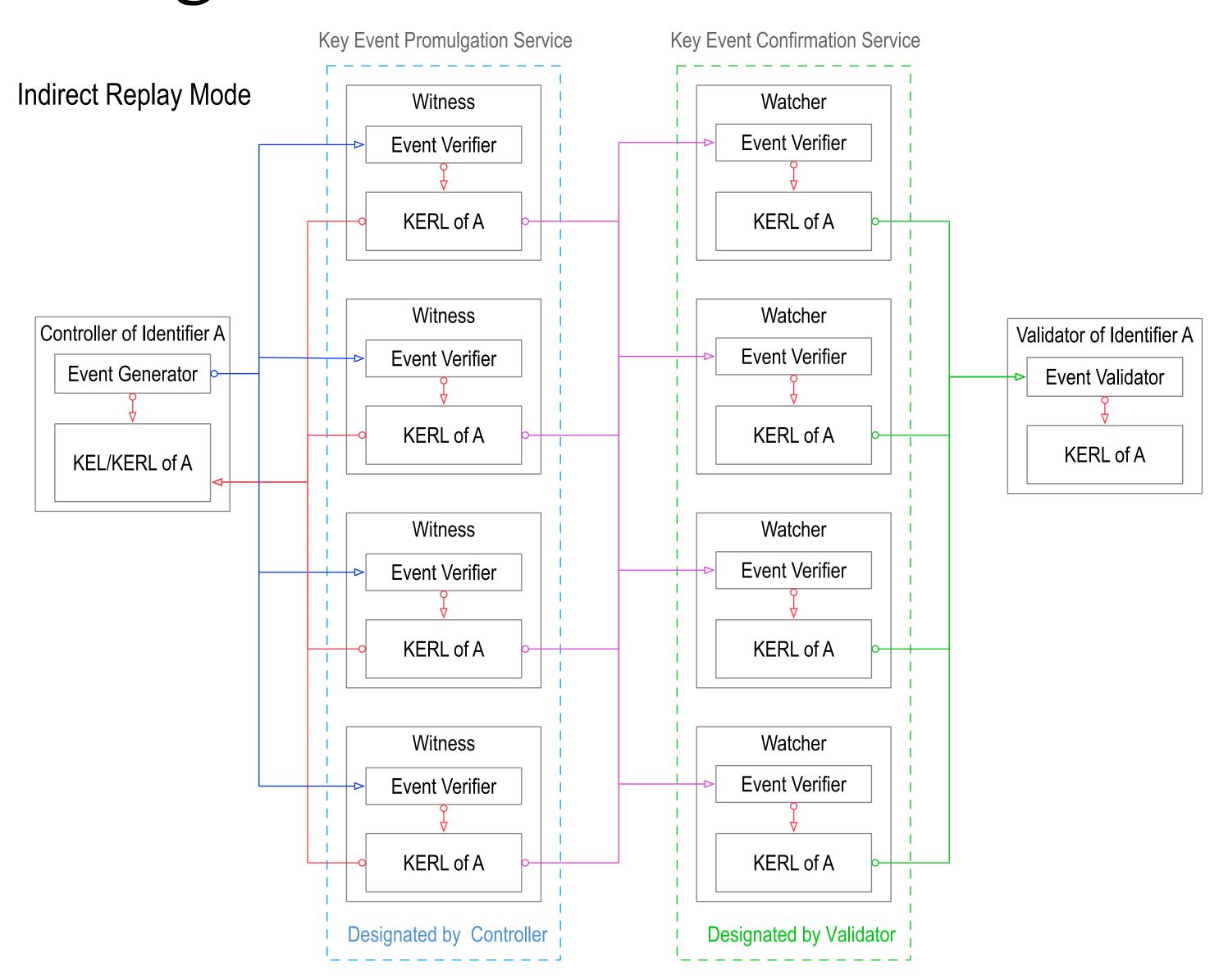
#### Direct Mode: B to A



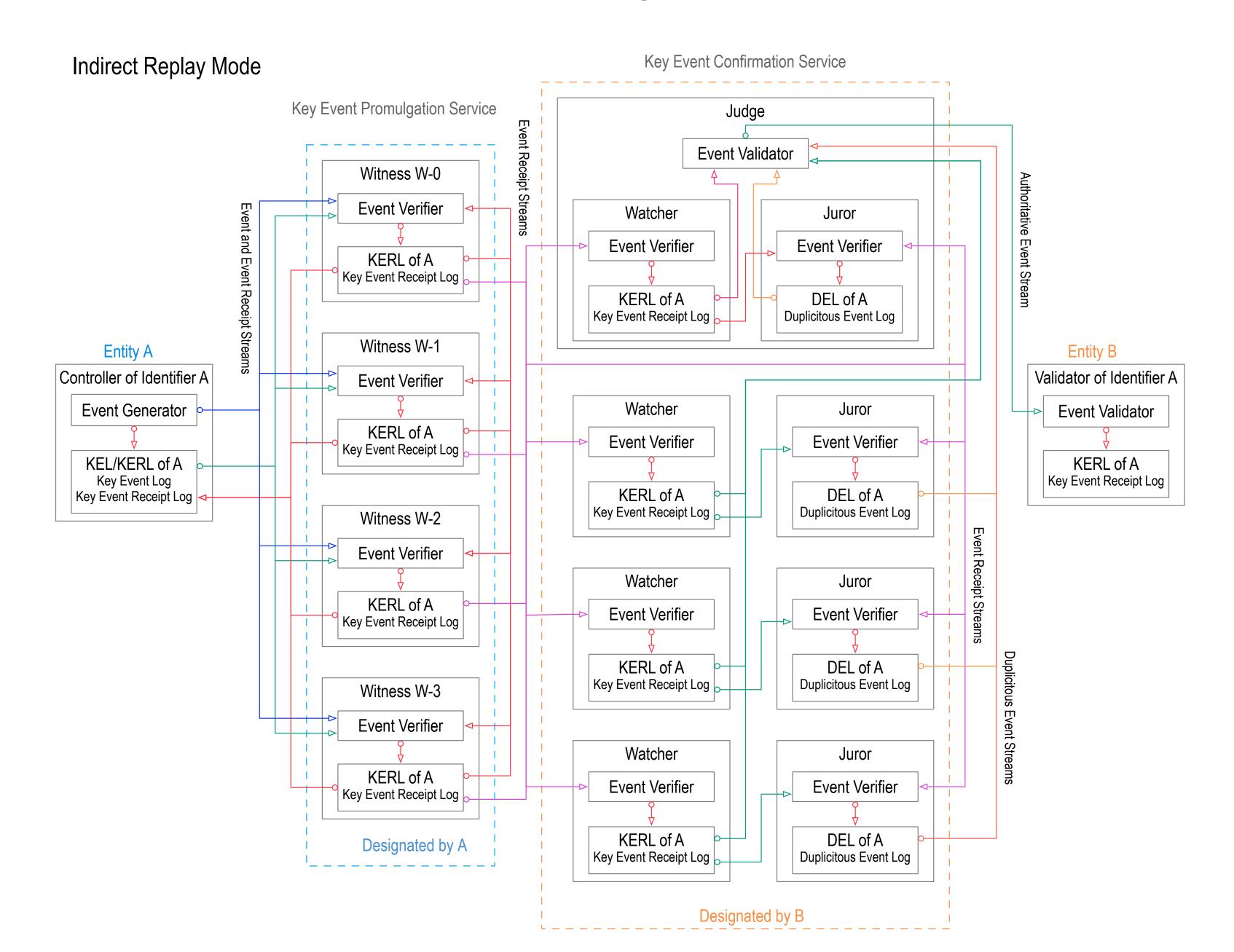
# Indirect Mode Promulgation Service



# Indirect Mode Promulgation and Confirmation Services

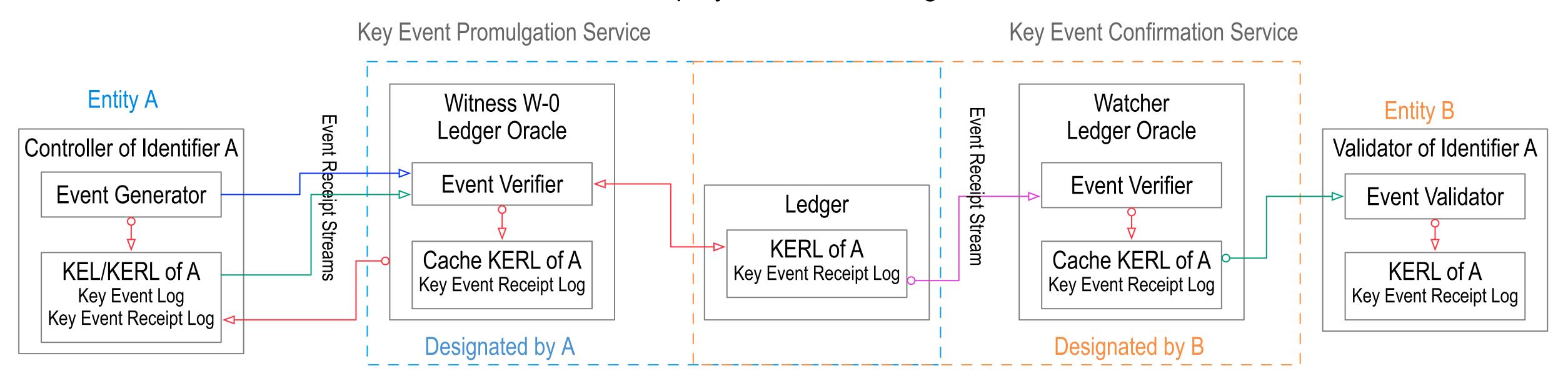


#### Indirect Mode Full



# Indirect Mode with Ledger Oracles

#### Indirect Replay Mode with Ledger Oracle



#### Separation of Control

Shared (permissioned) ledger = shared control over shared data.

Shared data = good, shared control = bad.

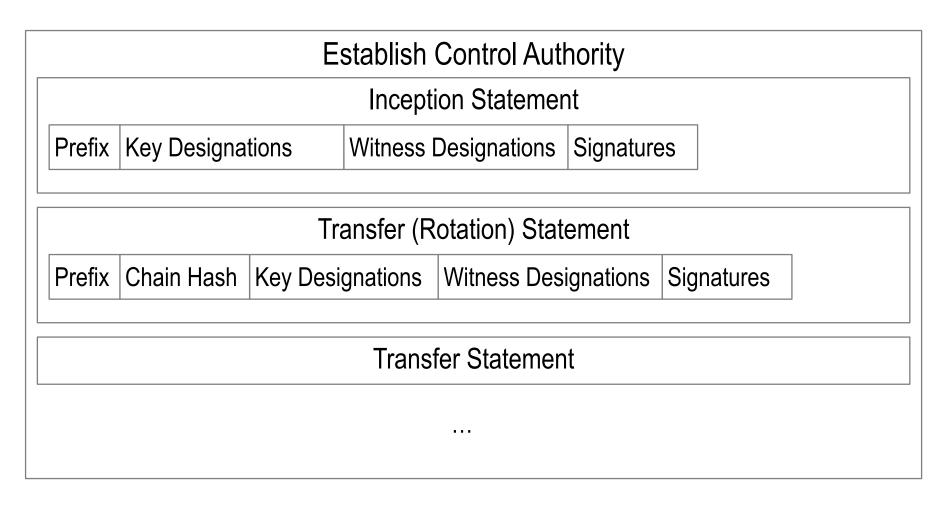
Shared control between controller and validator may be problematic for governance, scalability, and performance.

KERI = separated control over shared data.

Separated control between controller and validator may provide better decentralization, more flexibility, better scalability, lower cost, higher performance, and more privacy at comparable security.

#### Function Stack

**KERI** 



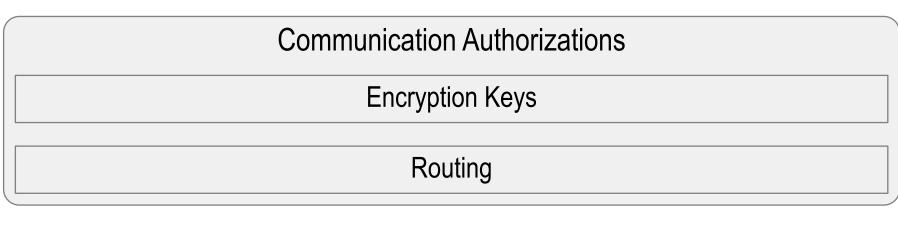
Transfer Designations

Infrastructure

Delegations

Authorizations after Establishment

On Top of KERI



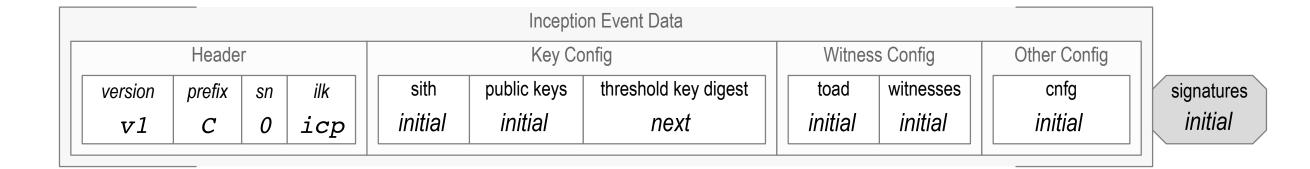
Service Authorizations
Service Endpoints
...

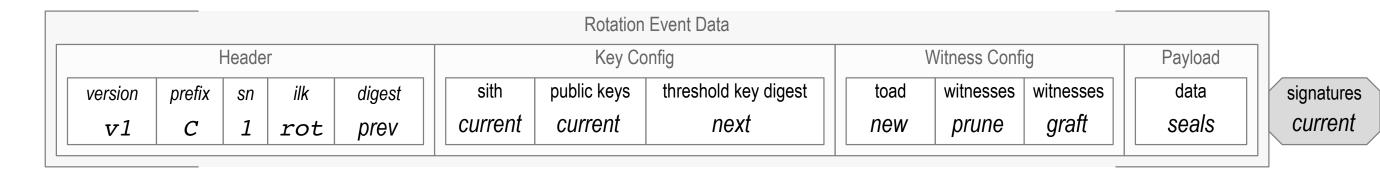
Design follows the Hourglass Model of a stack of thin layers

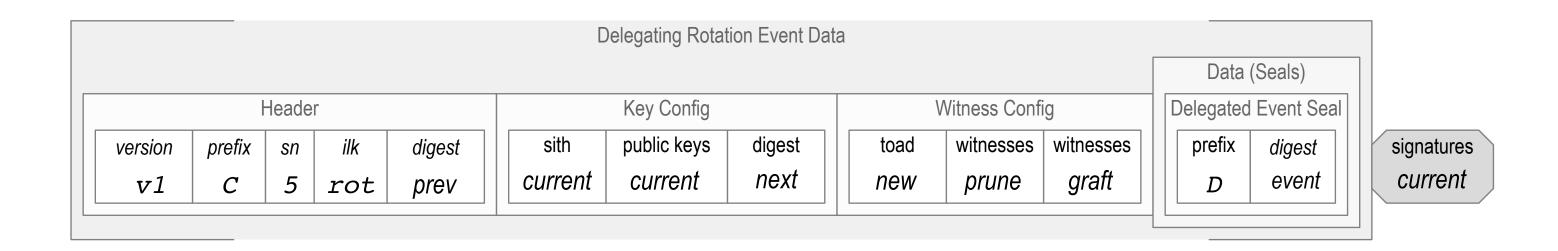
#### Rotate Prefix vs Rotate Keys

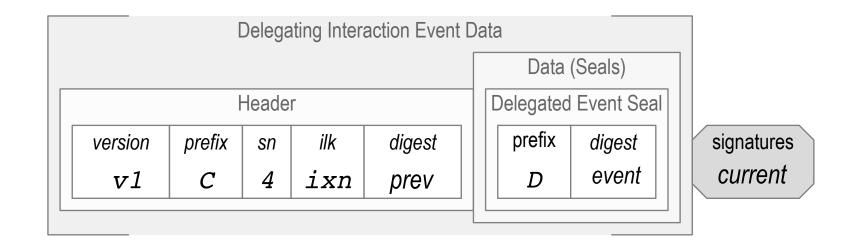
Non-transferable may not rotate keys. May only rotate prefix Rotate prefix good for bootstrapping. No key event log (KEL) needed. If prefix has no persistent value outside its function and its function may be marshaled by some other prefix controller then rotating prefix may be preferred.

#### Events



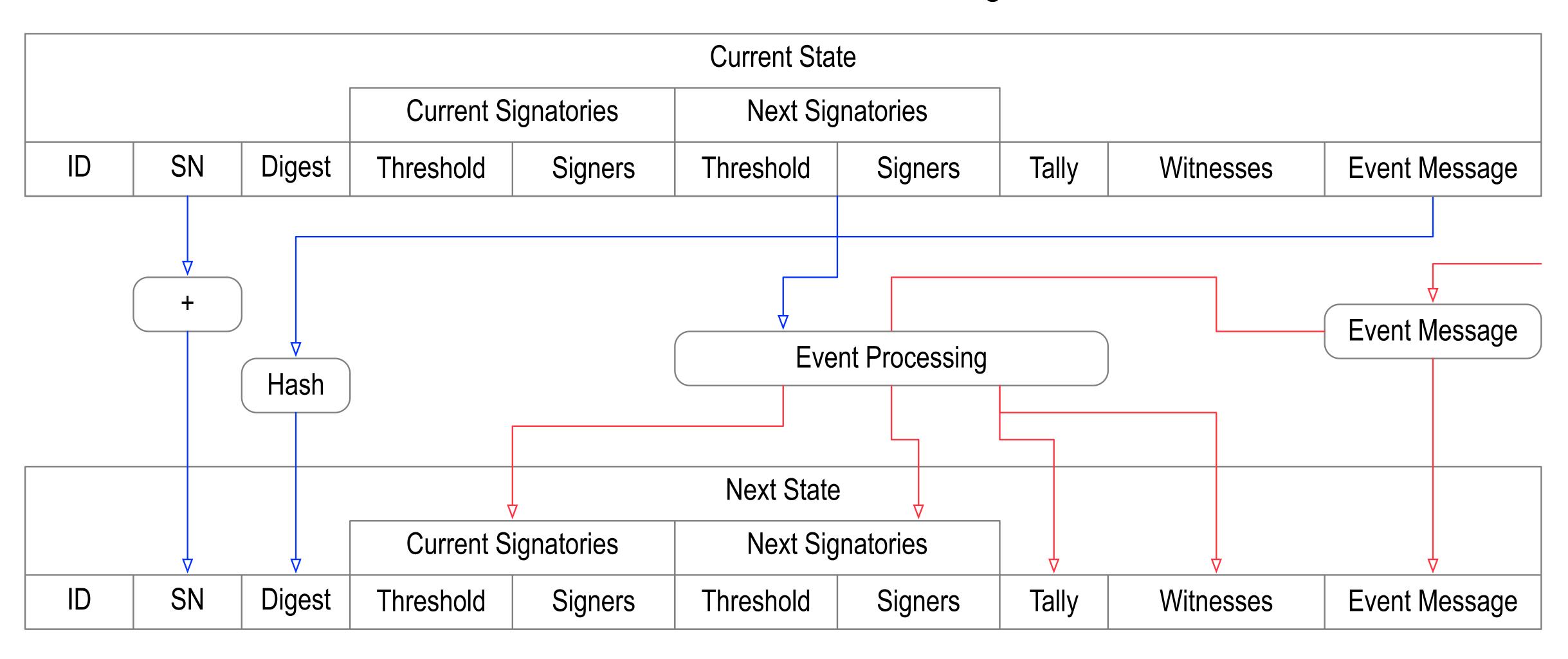






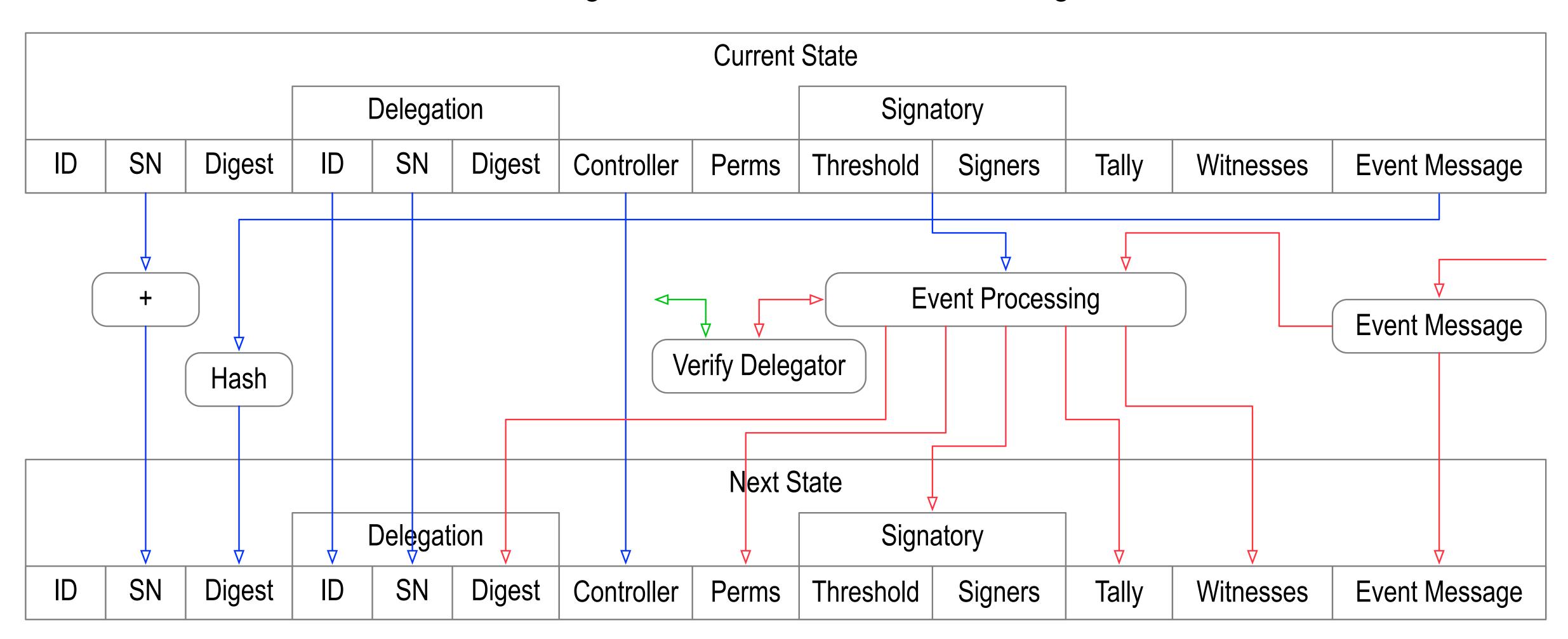
# State Verifier Engine

#### KERI Core — State Verifier Engine

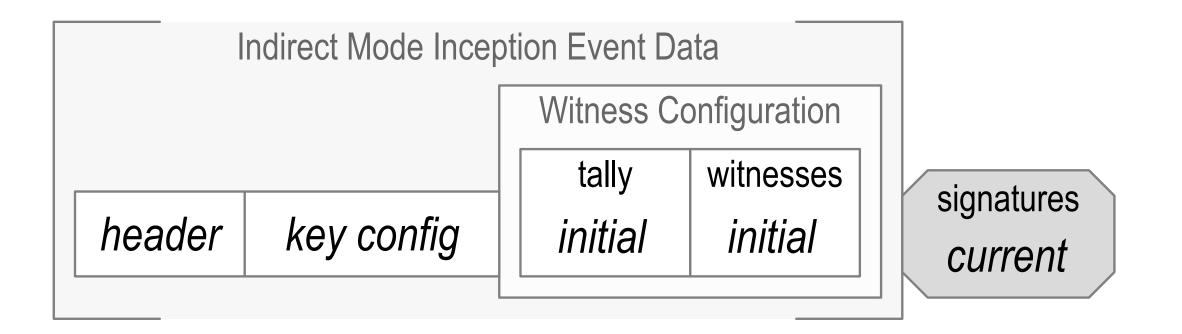


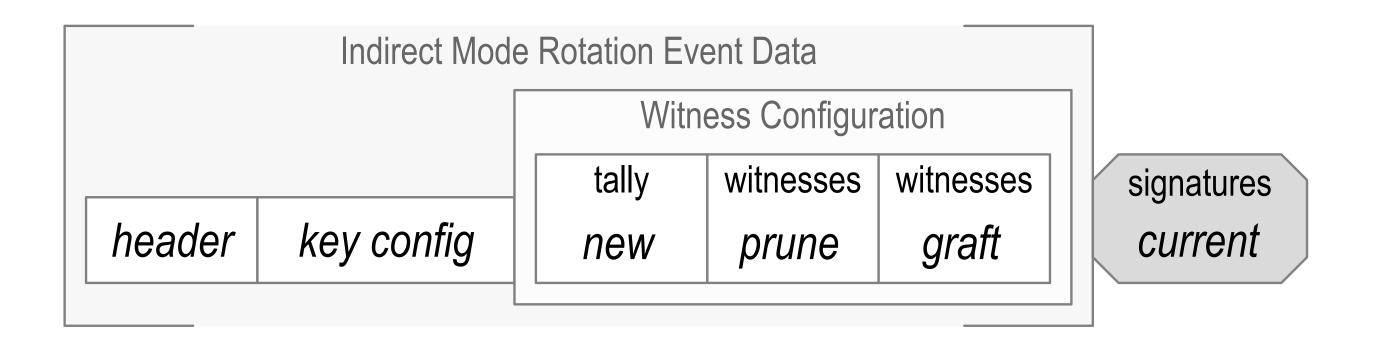
# Delegated State Verifier Engine

KERI Delegated Core — State Verifier Engine



# Witness Designation



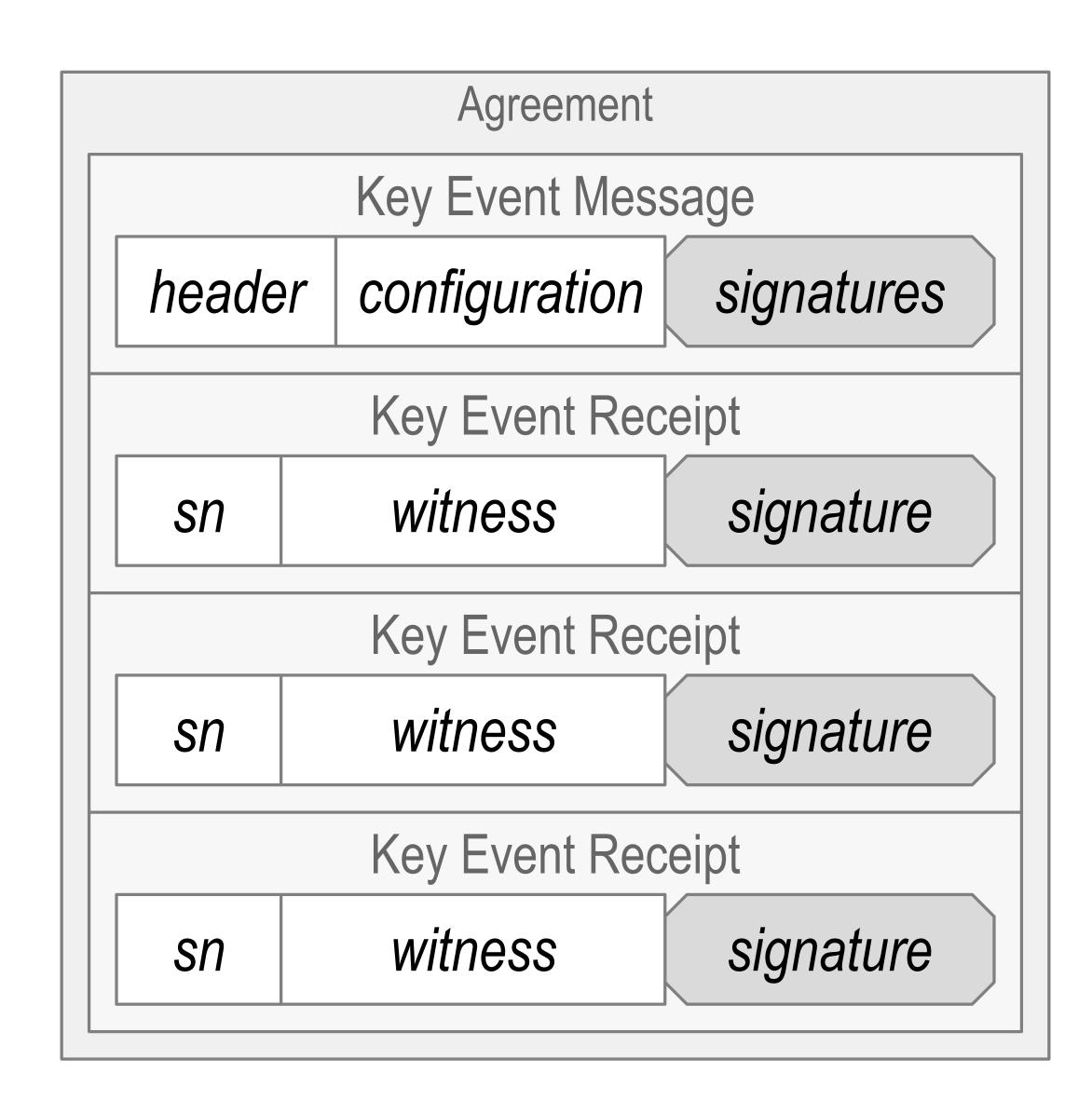


# Witnessed Key Event Receipt

Key Event Receipt						
version	prefix	sn	ilk	digest	witness	signature

# (KA<sup>2</sup>CE) Keri's Agreement Algorithm for Control Establishment

Produce Agreements with Guarantees



## Agreement Constraints

Proper Agreement

$$F+1$$

Sufficient Agreement

$$M \leq N - F$$

$$F < M \le N - F$$

Intact Agreement

$$N \ge 2F + 1$$

## One Agreement or None at All

$$|\widehat{N}| = N \qquad |\widehat{M}_1| = |\widehat{M}_2| = M$$

Overlapping Sets

$$\widehat{M}_1 \cup \widehat{M}_2 = \widehat{N}$$

$$\widehat{M}_1$$
  $\widehat{M}_1 \cap \widehat{M}_2$   $\widehat{M}_2$ 

One honest witness if:

$$|\widehat{M}_1 \cap \widehat{M}_2| \ge F + 1$$

$$\begin{aligned} \left| \widehat{M}_1 \cup \widehat{M}_2 \right| &= \left| \widehat{N} \right| = N \\ \left| \widehat{M}_1 \right| + \left| \widehat{M}_2 \right| &= \left| \widehat{M}_1 \cup \widehat{M}_2 \right| + \left| \widehat{M}_1 \cap \widehat{M}_2 \right| \\ 2M &= N + F + 1 \\ M &\geq \left\lceil \frac{N + F + 1}{2} \right\rceil \\ M &\leq N - F \end{aligned}$$

Immune Agreement

$$\frac{N+F+1}{2} \le M \le N-F$$

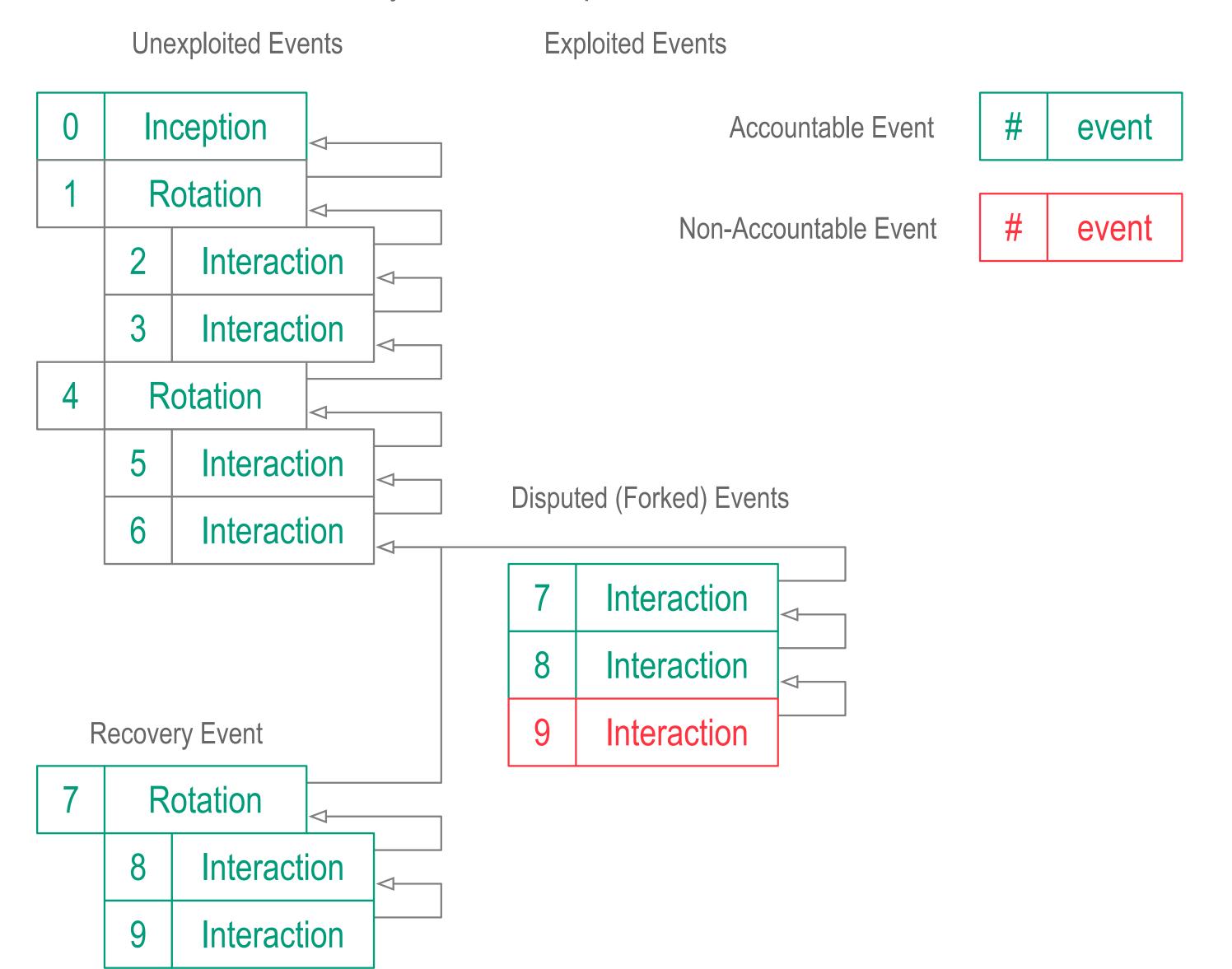
## Example Values

In	nm	<b>11 1</b> 1	nity
111	111	ıuı	HLV

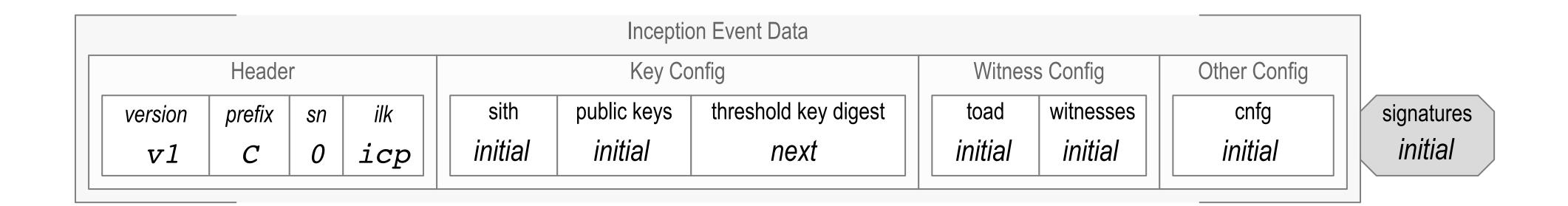
F	N	3F+1	$\left\lceil \frac{N+F+1}{2} \right\rceil$	N-F	M
1	4	4	3	3	3
1	5	4	4	4	4
1	6	4	4	5	4, 5
1	7	4	5	6	5, 6
1	8	4	5	7	5, 6, 7
1	9	4	6	8	6, 7, 8
2	7	7	5	5	5
2	8	7	6	6	6
2	9	7	6	7	6, 7
2	10	7	7	8	7, 8
2	11	7	7	9	7, 8, 9
2	12	7	8	10	8, 9, 10
3	10	10	7	7	7
3	11	10	8	8	8
3	12	10	8	9	8, 9
3	13	10	9	10	9, 10
3	14	10	9	11	9, 10, 11
3	15	10	10	12	10, 11, 12

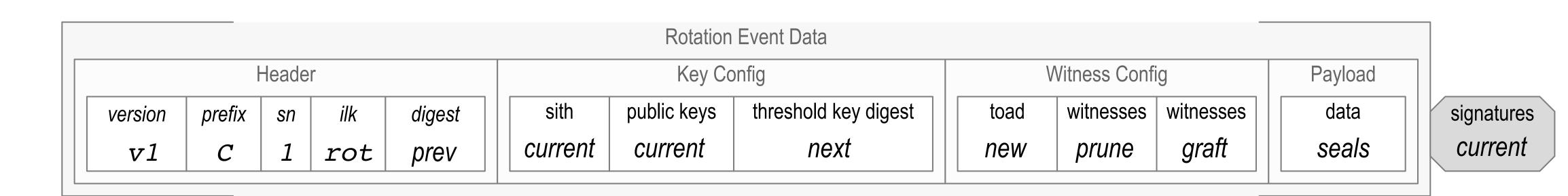
## Recovery from Live Exploit Of Current Signing Keys

Recovery from Live Exploit



### Generic Event Formats





Interaction Event Data

Header

Version prefix sn ilk digest data

v1 C 2 icx prev

Seals

Interaction Event Data

Payload

signatures

current

## Generic Inception

$$\boldsymbol{\varepsilon}_{0}^{C} = \left\langle \boldsymbol{v}_{0}^{C}, \boldsymbol{C}, \boldsymbol{t}_{0}^{C}, \text{icp}, \boldsymbol{K}_{0}^{C}, \widehat{\boldsymbol{C}}_{0}^{C}, \boldsymbol{\eta}_{0}^{C} \left( \left\langle \boldsymbol{K}_{1}^{C}, \widehat{\boldsymbol{C}}_{1}^{C} \right\rangle \right), \boldsymbol{M}_{0}^{C}, \widehat{\boldsymbol{W}}_{0}^{C}, \left[ cnfg \right] \right\rangle \widehat{\boldsymbol{\sigma}}_{0}^{C}$$

$$\hat{C}_{0}^{C} = \begin{bmatrix} C^{0}, \dots, C^{L_{0}^{C}-1} \end{bmatrix}_{0}^{C} 
\hat{C}_{1}^{C} = \begin{bmatrix} C^{r_{1}}, \dots, C^{r_{1}+L_{1}^{C}-1} \end{bmatrix}_{1}^{C} 
\hat{W}_{0}^{C} = \begin{bmatrix} W_{0}^{C}, \dots, W_{N_{0}^{C}-1}^{C} \end{bmatrix}_{0}^{C} 
\hat{\sigma}_{0}^{C} = \boldsymbol{\sigma}_{C^{s_{0}}} \dots \boldsymbol{\sigma}_{C^{s_{0}^{C}-1}}$$

### Generic Rotation

$$\boldsymbol{\varepsilon}_{k}^{C} = \left\langle \boldsymbol{v}_{k}^{C}, \boldsymbol{C}, \boldsymbol{t}_{k}^{C}, \boldsymbol{\eta}_{k}^{C} \left(\boldsymbol{\varepsilon}_{k-1}^{C}\right), \mathtt{rot}, \boldsymbol{K}_{l}^{C}, \widehat{\boldsymbol{C}}_{l}^{C}, \boldsymbol{\eta}_{l}^{C} \left(\left\langle \boldsymbol{K}_{l+1}^{C}, \widehat{\boldsymbol{C}}_{l+1}^{C}\right\rangle\right), \boldsymbol{M}_{l}^{C}, \widehat{\boldsymbol{X}}_{l}^{C}, \widehat{\boldsymbol{Y}}_{l}^{C}, \left[\boldsymbol{seals}\right]\right\rangle \widehat{\boldsymbol{\sigma}}_{kl}^{C}$$

$$\hat{C}_{l}^{C} = \begin{bmatrix} C^{r_{l}^{C}}, \dots, C^{r_{l}^{C} + L_{l}^{C} - 1} \end{bmatrix}_{l}^{C} \\
\hat{C}_{l+1}^{C} = \begin{bmatrix} C^{r_{l+1}^{C}}, \dots, C^{r_{l+1}^{C} + L_{l+1}^{C} - 1} \end{bmatrix}_{l+1}^{C} \\
\hat{X}_{l}^{C} = \begin{bmatrix} X_{0}^{C}, \dots, X_{O_{l}^{C} - 1}^{C} \end{bmatrix}_{l}^{C} \\
\hat{Y}_{l}^{C} = \begin{bmatrix} Y_{0}^{C}, \dots, Y_{P_{l}^{C} - 1}^{C} \end{bmatrix}_{l}^{C} \\
\hat{\sigma}_{kl}^{C} = \sigma_{C^{r_{l}^{C} + s_{0}}} \dots \sigma_{C^{r_{l}^{C} + s_{S_{kl}^{C} - 1}}}$$

### Generic Interaction

$$\boldsymbol{\varepsilon}_{k}^{C} = \left\langle \boldsymbol{v}_{k}^{C}, \boldsymbol{C}, \boldsymbol{t}_{k}^{C}, \boldsymbol{\eta}_{k}^{C} \left( \boldsymbol{\varepsilon}_{k-1}^{C} \right), \text{ixn}, [seals] \right\rangle \widehat{\boldsymbol{\sigma}}_{kl}^{C}$$

$$\boldsymbol{K}_{l}^{C}$$

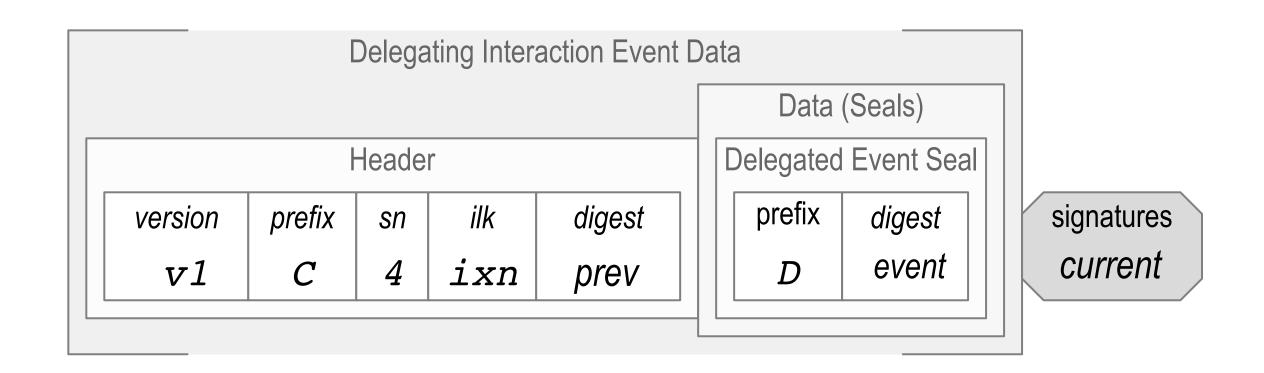
$$\widehat{\boldsymbol{C}}_{l}^{C} = \left[ \boldsymbol{C}^{r_{l}^{C}}, \dots, \boldsymbol{C}^{r_{l}^{C} + L_{l}^{C} - 1} \right]_{l}^{C}$$

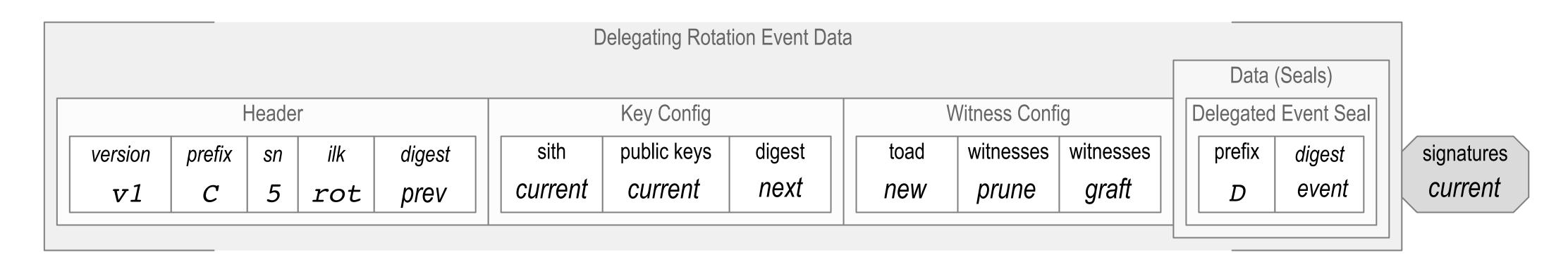
$$\widehat{\boldsymbol{\sigma}}_{kl}^{C} = \boldsymbol{\sigma}_{\boldsymbol{C}^{r_{l}^{C} + s_{0}}} \dots \boldsymbol{\sigma}_{\boldsymbol{C}^{r_{l}^{C} + s_{0} + s_{0} + l}}$$

## Generic Delegating Event Formats

Delegated Event Seal

prefix event digest

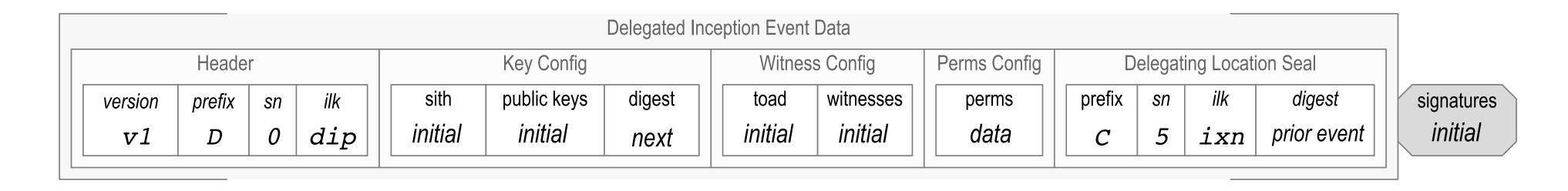


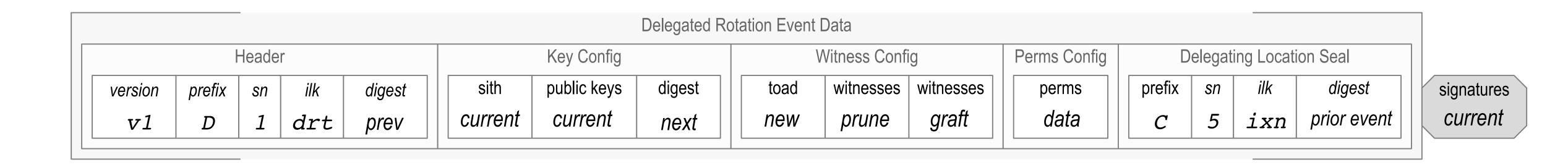


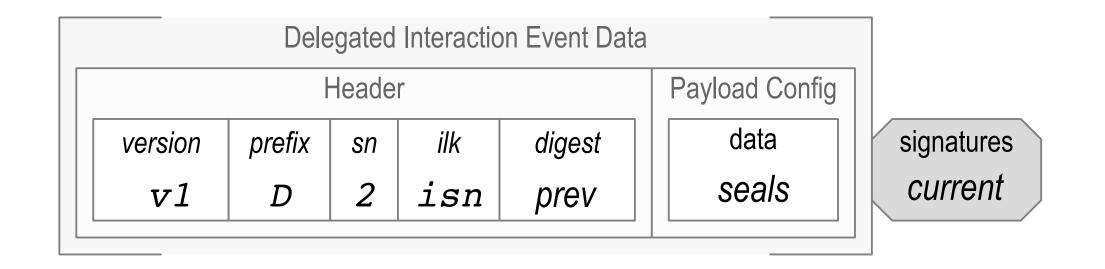
## Generic Delegated Event Formats

Delegating Event Location Seal

prefix sn ilk prior digest







## Inception Delegation

$$\begin{split} \widehat{\Delta}_0^D &= \left\{D, t_0^D, \eta_k^C \left(\mathcal{E}_0^D\right)\right\} \\ \widehat{\mathcal{E}}_0^D &= \left\langle \boldsymbol{V}_0^D, D, t_0^D, \operatorname{dip}, K_0^D, \widehat{D}_0^D, M_0^D, \widehat{W}_0^D, \left[\textit{perms}\right], \widehat{\Delta}_k^C \right\rangle \widehat{\sigma}_0^D \end{split}$$

$$\widehat{D}_0^D = \left[D^0, \dots, D^{L_0^D-1}\right]_0^D$$

$$\widehat{W}_{0}^{C} = \left[ W_{0}^{C}, \dots, W_{N_{0}^{C}-1}^{C} \right]_{0}^{C}$$

$$\widehat{\Delta}_{k}^{C} = \left\{C, t_{k}^{C}, ilk, \eta_{k}^{C}\left(\mathcal{E}_{k-1}^{C}\right)\right\}$$
 Delegating Event Location Seal

$$\widehat{\boldsymbol{\sigma}}_0^D = \boldsymbol{\sigma}_{D^{s_0}} \dots \boldsymbol{\sigma}_{D^{s_{s_0}^{D^{-1}}}}$$

## Rotation Delegation

$$\begin{split} \widehat{\Delta}_{k}^{D} &= \left\{D, t_{k}^{D}, \eta_{k}^{C}\left(\boldsymbol{\varepsilon}_{k}^{D}\right)\right\} \quad \text{Delegated Event Seal} \\ \boldsymbol{\varepsilon}_{k}^{D} &= \left\langle\boldsymbol{v}_{k}^{D}, D, t_{k}^{D}, \eta_{k}^{D}\left(\boldsymbol{\varepsilon}_{k-1}^{D}\right), \mathbf{drt}, K_{l}^{D}, \widehat{D}_{l}^{D}, M_{l}^{D}, \widehat{X}_{l}^{D}, \widehat{Y}_{l}^{D}, \left[perms\right], \widehat{\Delta}_{k}^{C}\right\rangle \widehat{\sigma}_{kl}^{D} \\ \widehat{D}_{l}^{D} &= \left[D^{r_{l}^{D}}, \dots, D^{r_{l}^{D} + L_{l}^{D} - 1}\right]_{l}^{D} \\ \widehat{X}_{l}^{D} &= \left[X_{0}^{D}, \dots, X_{O_{l}^{D} - 1}^{D}\right]_{l}^{D} \\ \widehat{Y}_{l}^{D} &= \left[Y_{0}^{D}, \dots, Y_{P_{l}^{D} - 1}^{D}\right]_{l}^{D} \end{split}$$

$$\widehat{\Delta}_{k}^{C} = \left\{ C, t_{k}^{C}, ilk, \eta_{k}^{C} \left( \varepsilon_{k-1}^{C} \right) \right\}$$
 Delegating Event Location Seal

$$\widehat{\boldsymbol{\sigma}}_{kl} = \boldsymbol{\sigma}_{C^{+r_l^D + s_0}} \dots \boldsymbol{\sigma}_{C^{r_l^D + s_{S_{kl}^D - 1}}}$$

## Delegated Interaction

$$\varepsilon_k^D = \left\langle v_k^D, D, t_k^D, \eta_k^D \left( \varepsilon_{k-1}^D \right), \text{ixn}, [seals] \right\rangle \widehat{\sigma}_{kl}^D$$

## Receipt Messages

 Key Event Receipt Witness

 version
 prefix
 ilk
 digest

 witness
 signature

 ...
 witness
 signature

$$\rho_{\tilde{W}_{ls}^{C}}^{C}\left(\boldsymbol{\varepsilon}_{k}^{C}\right) = \left\langle\boldsymbol{v}_{k}^{C}, C, \mathtt{rct}, \boldsymbol{\eta}_{k}^{C}\left(\boldsymbol{\varepsilon}_{k}^{C}\right)\right\rangle W_{l0}^{C}\boldsymbol{\sigma}_{W_{l0}^{C}}^{C}, \ldots, W_{lN_{s}^{C}-1}^{C}\boldsymbol{\sigma}_{W_{lN_{s}-1}^{C}}^{C}$$

Key Event Receipt Validator

version
prefix
ilk
digest
seal

signature

signature

...
signature

$$ho_{V}^{C}\left(arepsilon_{k}^{C}
ight) = \left\langle v_{k}^{C}, C, \text{vrc}, \eta_{k}^{C}\left(arepsilon_{k}^{C}\right), \widehat{\Delta}_{k}^{V} \right
angle \widehat{\sigma}_{V_{l}}^{C}$$

$$\widehat{\Delta}_{k}^{V} = \left\{ V, \eta_{k}^{V}\left(arepsilon_{k}^{V}\right) \right\}$$

### Witness Rotations

$$\begin{split} \widehat{W}_0 &= \begin{bmatrix} W_0 &, W_1 &, \cdots, W_{N-1} \end{bmatrix} \\ \widehat{W}_l &= \left( \widehat{W}_{l-1} - \widehat{X}_l \right) \cap \widehat{Y}_l \\ \widehat{X}_l &\subseteq \widehat{W}_{l-1} \quad \widehat{Y}_l \not\subset \widehat{W}_{l-1} \quad \widehat{X}_l \not\subset \widehat{W}_l \\ N_l &= N_{l-1} - O_l + P_l \\ M_l &\leq N_l \end{split}$$

$$\begin{aligned} \left| \hat{X}_{l} \right| &= O_{l} \quad \left| \hat{Y}_{l} \right| = P_{l} \quad \left| \hat{W}_{l} \right| = N_{l} \\ \widehat{U}_{l-1} &\subseteq \widehat{W}_{l-1} \quad \left| \hat{U}_{l-1} \right| \geq M_{l-1} \\ \widehat{U}_{l} &\subseteq \widehat{W}_{l} \quad \left| \hat{U}_{l} \right| \geq M_{l} \\ \left| \hat{U}_{l-1} \bigcup \widehat{U}_{l} \right| \leq M_{l-1} + M_{l} \end{aligned}$$

## Complex Weighted Signing Thresholds

$$\widehat{C}_{l} = \begin{bmatrix} C_{l}^{1}, \dots, C_{l}^{L_{l}} \end{bmatrix}_{l}$$

$$\widehat{K}_{l} = \begin{bmatrix} U_{l}^{1}, \dots, U_{l}^{L_{1}} \end{bmatrix}_{l}$$

$$0 < U_l^j \le 1$$

$$\widehat{\boldsymbol{S}}_{k}^{l} = \left[\boldsymbol{S}_{0}, \dots, \boldsymbol{S}_{\boldsymbol{S}_{k}^{l}-1}\right]_{k}^{l}$$

$$\bar{U}_l = \sum_{i=s_0}^{s_{S_k-1}} U_l^i \ge 1$$

$$\widehat{C} = [C^1, C^2, C^3]$$

$$U_l^j = 1/K_l$$

$$\hat{K} = [1/2, 1/2, 1/2]$$

$$\widehat{K}_{l} = \left[ \frac{1}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right]_{l}$$

$$\widehat{K}_{l} = \left[ \left[ \frac{1}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right], \left[ \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \right], \left[ 1, 1, 1, 1 \right] \right]$$

### BACKGROUND



### Cryptographic Material Derivation Code Tables

Length of crypt material determines number of pad characters. One character table for one pad char. Two character table for two pad char.

One Character KERI Base64 Prefix Derivation Code Selector

Derivation Code	Prefix Description
0	Two character derivation code. Use two character table.
1	Four character derivation code. Use four character table.
2	Five character derivation code. Use five character table.
3	Six character derivation code. Use six character table.
4	Eight character derivation code. Use eight character table.
5	Nine character derivation code. Use nine character table.
6	Ten character derivation code. Use ten character table.
-	Count code for attached receipts. Use receipt count code table(s)

Derivati on Code	Prefix Description	Data Length Bytes	Pad Length	Count Code Length	Qual Length Base64	Code Length Bytes
-AXX	Count of Attached Qualified Base64 Receipt Couplets	0	0	4	4	3
-BXX	Count of Attached Qualified Base2 Receipt Couplets	0	0	4	4	3

One Character KERI Base64 Prefix Derivation Code

Derivation Code	Prefix Description	Data Length Bytes	Pad Length	Derivat ion Code Length	Prefix Length Base64	Prefix Length Bytes
Α	Non-transferable prefix using Ed25519 public signing verification key. Basic derivation.	32	1	1	44	33
В	X25519 public encryption key. May be converted from Ed25519 public signing verification key.	32	1	1	44	33
С	Ed25519 public signing verification key. Basic derivation.	32	1	1	44	33
D	Blake3-256 Digest. Self-addressing derivation.	32	1	1	44	33
E	Blake2b-256 Digest. Self-addressing derivation.	32	1	1	44	33
F	Blake2s-256 Digest. Self-addressing derivation.	32	1	1	44	33
G	Non-transferable prefix using ECDSA secp256k1 public singing verification key. Basic derivation.	32	1	1	44	33
Н	ECDSA secp256k1 public signing verification key. Basic derivation.	32	1	1	44	33
I	SHA3-256 Digest. Self-addressing derivation.	32	1	1	44	33
J	SHA2-256 Digest. Self-addressing derivation.	32	1	1	44	33

Two Character KERI Base64 Prefix Derivation Code

Derivation Code	Prefix Description	Data Length Bytes	Pad Length	Derivat ion Code Length	Prefix Length Base64	Prefix Length Bytes
0A	Ed25519 signature. Self-signing derivation.	64	2	2	88	66
0B	ECDSA secp256k1 signature. Self-signing derivation.	64	2	2	88	66
0C	Blake3-512 Digest. Self-addressing derivation.	64	2	2	88	66
0D	SHA3-512 Digest. Self-addressing derivation.	64	2	2	88	66
0E	Blake2b-512 Digest. Self-addressing derivation.	64	2	2	88	66
0F	SHA2-512 Digest. Self-addressing derivation.	64	2	2	88	66

#### Attached Signature Derivation Code Tables

Length of crypt material determines number of pad characters. One character table for one pad char. Two character table for two pad char.

#### Two Character KERI Base64 Attached Signature Selection Code

Derivation Code	Selector Description	Data Length Bytes	Pad Length	Derivation Code Length	Prefix Length Base64	Prefix Length Bytes
0	Four character attached signature code. Use four character table					
1	Five character attached signature code. Use five character table					
2	Six character attached signature code. Use six character table					
-	Count code for attached signatures. Use attached signature count code table(s)					

#### Two Character KERI Base64 Attached Signature Derivation Code

Derivation Code	Prefix Description	Data Length Bytes	Pad Length	Derivation Code Length	Prefix Length Base64	Prefix Length Bytes
AX	Ed25519 signature	64	2	2	88	66
BX	ECDSA secp256k1 signature	64	2	2	88	66

#### Four Character KERI Base64 Attached Signature Derivation Code

Derivation Code	Prefix Description	Data Length Bytes	Pad Length	Derivation Code Length	Prefix Length Base64	Prefix Length Bytes
<b>OA</b> XX	Ed448 signature	114	0	4	156	117
ОВХХ						
0CXX						

#### Four Character KERI Base64 Count Code for Attached Signatures

Derivation Code	Prefix Description	Data Length Bytes	Pad Length	Count Code Length	Qual Length Base64	Code Length Bytes
-AXX	Count of Attached Qualified Base64 Signatures	0	0	4	4	3
-BXX	Count of Attached Qualified Base2 Signatures	0	0	4	4	3

#### Base64

#### Base64 Decode ASCII to Binary

#### Base64 Binary Decoding from ASCII

ASCII Char	Base64 Index Decimal	Base64 Index Hex	Base64 Index 6 bit Binary	ASCII Char	Base64 Index Decimal	Base64 Index Hex	Base64 Index 6 bit Binary	ASCII Char	Base64 Index Decimal	Base64 Index Hex	Base64 Index 6 bit Binary	ASCII Char	Base64 Index Decimal	Base64 Index Hex	Base64 Index 6 bit Binary
Α	0	00	000000	Q	16	10	010000	g	32	20	100000	w	48	30	110000
В	1	01	000001	R	17	11	010001	h	33	21	100001	х	49	31	110001
С	2	02	000010	S	18	12	010010	i	34	22	100010	у	50	32	110010
D	3	03	000011	Т	19	13	010011	j	35	23	100011	Z	51	33	110011
Е	4	04	000100	U	20	14	010100	k	36	24	100100	0	52	34	110100
F	5	05	000101	V	21	15	010101	I	37	25	100101	1	53	35	110101
G	6	06	000110	W	22	16	010110	m	38	26	100110	2	54	36	110110
Н	7	07	000111	Х	23	17	010111	n	39	27	100111	3	55	37	110111
I	8	08	001000	Υ	24	18	011000	0	40	28	101000	4	56	38	111000
J	9	09	001001	Z	25	19	011001	р	41	29	101001	5	57	39	111001
K	10	0A	001010	а	26	1A	011010	q	42	2A	101010	6	58	3A	111010
L	11	0B	001011	b	27	1B	011011	r	43	2B	101011	7	59	3B	111011
М	12	0C	001100	С	28	1C	011100	s	44	2C	101100	8	60	3C	111100
N	13	0D	001101	d	29	1D	011101	t	45	2D	101101	9	61	3D	111101
0	14	0E	001110	е	30	1E	011110	u	46	2E	101110	-	62	3E	111110
Р	15	0F	001111	f	31	1F	011111	V	47	2F	101111	_	63	3F	111111

#### Base64 Encode Binary to ASCII

#### Base64 Binary Encoding to ASCII

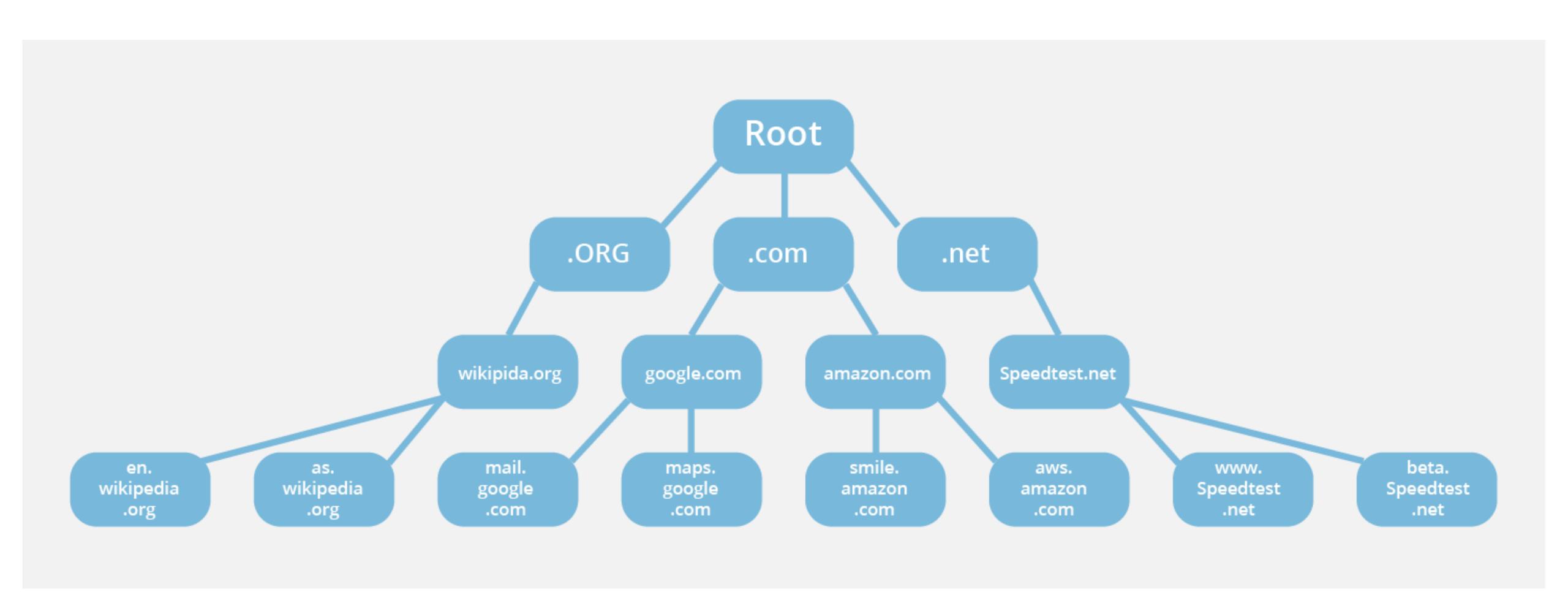
Base64 Index Decimal	ASCII Char	ASCII Decimal	ASCII Hex	ASCII 8 bit Binary	Base64 Index Decimal	ASCII Char	ASCII Decimal	ASCII Hex	ASCII 8 bit Binary	Base64 Index Decimal	ASCII Char	ASCII Decimal	ASCII Hex	ASCII 8 bit Binary	Base64 Index Decimal	ASCII Char	ASCII Decimal	ASCII Hex	ASCII 8 bit Binary
0	Α	65	41	01000001	16	Q	81	51	01010001	32	g	103	67	01100111	48	W	119	77	01110111
1	В	66	42	01000010	17	R	82	52	01010010	33	h	104	68	01101000	49	х	120	78	01111000
2	С	67	43	01000011	18	S	83	53	01010011	34	i	105	69	01101001	50	У	121	79	01111001
3	D	68	44	01000100	19	Т	84	54	01010100	35	j	106	6A	01101010	51	z	122	7A	01111010
4	Е	69	45	01000101	20	U	85	55	01010101	36	k	107	6B	01101011	52	0	48	30	00110000
5	F	70	46	01000110	21	V	86	56	01010110	37	I	108	6C	01101100	53	1	49	31	00110001
6	G	71	47	01000111	22	W	87	57	01010111	38	m	109	6D	01101101	54	2	50	32	00110010
7	Н	72	48	01001000	23	X	88	58	01011000	39	n	110	6E	01101110	55	3	51	33	00110011
8	l	73	49	01001001	24	Υ	89	59	01011001	40	О	111	6F	01101111	56	4	52	34	00110100
9	J	74	4A	01001010	25	Z	90	5A	01011010	41	р	112	70	01110000	57	5	53	35	00110101
10	K	75	4B	01001011	26	a	97	61	01100001	42	q	113	71	01110001	58	6	54	36	00110110
11	L	76	4C	01001100	27	b	98	62	01100010	43	r	114	72	01110010	59	7	55	37	00110111
12	М	77	4D	01001101	28	С	99	63	01100011	44	S	115	73	01110011	60	8	56	38	00111000
13	N	78	4E	01001110	29	d	100	64	01100100	45	t	116	74	01110100	61	9	57	39	00111001
14	0	79	4F	01001111	30	е	101	65	01100101	46	u	117	75	01110101	62	_	45	2D	00101101
15	Р	80	50	01010000	31	f	102	66	01100110	47	V	118	76	01110110	63	_	95	5F	01011111

## Discovery

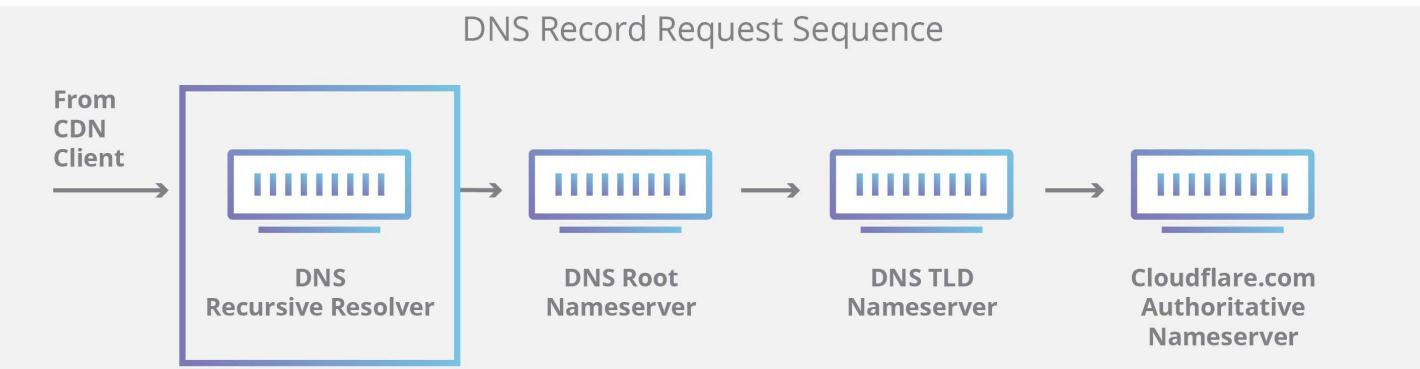
Ledger Based

Non-Ledger Based

## DNS "Hierarchical" Discovery



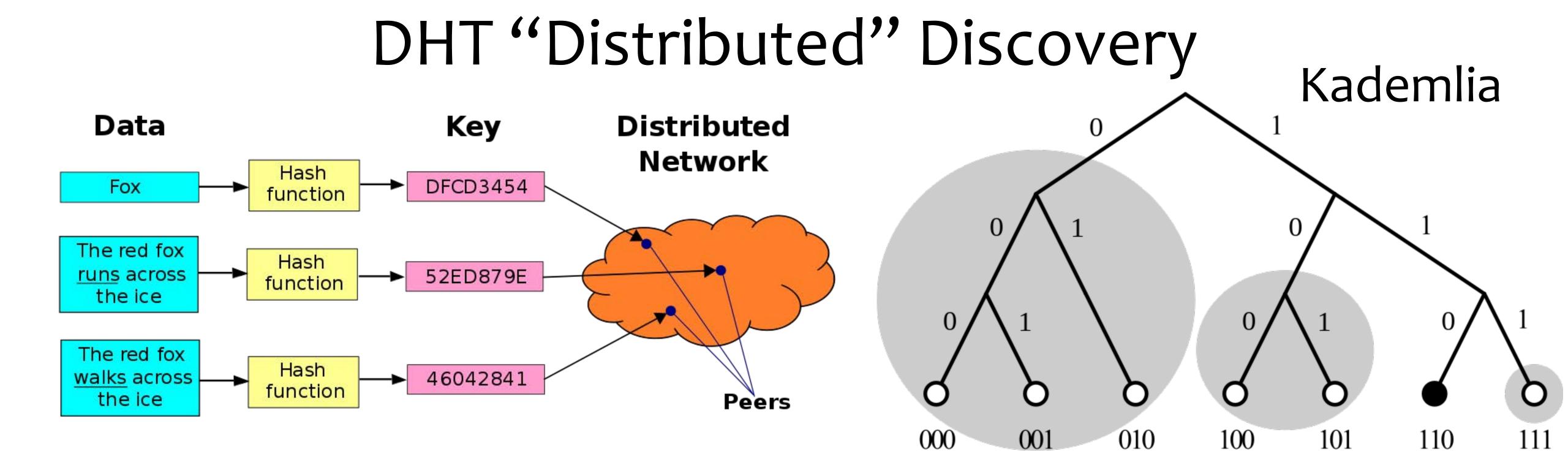
DNS "Hierarchical" Discovery



#### Complete DNS Lookup and Webpage Query example.com 11111111111 Server 11111111111 Root Server пинин HILLIAM **DNS Resolver TLD Server** ---> Recursive Query 1111111111 → Iterative Query example.com

```
$ORIGIN example.com.
```

```
3600 SOA ns1.p30.oraclecloud.net. (
     zone-admin.dyndns.com.; address of responsible party
                        ; serial number
     2016072701
                     ; refresh period
      3600
      600
                    ; retry period
      604800
                      ; expire time
                    ); minimum ttl
      1800
     86400 NS ns1.p68.dns.oraclecloud.net.
     86400 NS
                ns2.p68.dns.oraclecloud.net.
     86400 NS ns3.p68.dns.oraclecloud.net.
      86400 NS ns4.p68.dns.oraclecloud.net.
      3600 MX 10 mail.example.com.
      3600 MX 20 vpn.example.com.
      3600 MX 30 mail.example.com.
      60 A 204.13.248.106
      3600 TXT "v=spf1 includespf.oraclecloud.net ~all"
                  14400 A 204.13.248.106
     mail
                   60 A 216.146.45.240
     vpn
                      60 A 216.146.46.10
     webapp
     webapp
                     60 A 216.146.46.11
              43200 CNAME example.com.
WWW
```



## DHT Discovery for KERI

Resolve Node Prefix to IP Mapping

Prefix to Inception/Latest Rotation Event Caching

-> Extract Witness Prefixes from Event

Witness Prefix to IP Mapping

KERL Query to Witness Node

## Certificate Transparency Problem

"The solution the computer world has relied on for many years is to introduce into the system trusted third parties (CAs) that vouch for the binding between the domain name and the private key. The problem is that we've managed to bless several hundred of these supposedly trusted parties, any of which can vouch for any domain name. Every now and then, one of them gets it wrong, sometimes spectacularly."

Pinning inadequate

Notaries inadequate

DNSSec inadequate

All require trust in 3rd party compute infrastructure that is inherently vulnerable

Certificate Transparency: (related EFF SSL Observatory)

Public end-verifiable append-only event log with consistency and inclusion proofs

End-verifiable duplicity detection = Ambient verifiability of duplicity

Event log is third party infrastructure but zero trust because it is verifiable.

Sparse Merkle Trees for revocation of certificates

## Certificate Transparency Solution

Public end-verifiable append-only event log with consistency and inclusion proofs End-verifiable duplicity detection = ambient verifiability of duplicity Event log is third party infrastructure but it is not trusted because logs are verifiable. Sparse Merkle trees for revocation of certificates (related EFF SSL Observatory)

