

# Perfect Zero Knowledge: New Upperbounds and Relativized Separations

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**Abstract**—We investigate the complexity of problems that admit perfect zero-knowledge interactive protocols and establish new unconditional upper bounds and oracle separation results. We establish our results by investigating certain distribution testing problems: computational problems over high-dimensional distributions represented by succinct Boolean circuits. A relatively less-investigated complexity class SBP emerged as significant in this study. The main results we establish are:

A unconditional inclusion that  $\text{NIPZK} \subseteq \text{CoSBP}$ .

Construction of a relativized world in which there is a distribution testing problem that lies in NIPZK but not in SBP, thus giving a relativized separation of NIPZK (and hence PZK) from SBP.

Construction of a relativized world in which there is a distribution testing problem that lies in PZK but not in CoSBP, thus giving a relativized separation of PZK from CoSBP.

Results (1) and (3) imply an oracle separating PZK from NIPZK. Our results refine the landscape of perfect zero-knowledge classes in relation to traditional complexity classes.

A. We obtain Theorem 1 by showing that Uniform is in CoSBP.

Note that we can obtain relativized versions of the distribution testing problems by providing oracle access to the circuits involved. To obtain Theorem 2, we consider a promise problem that is a variant of Uniform.

Uniform-Or-Small: Given a distribution  $D$ ,  $\Pi Y_{es} = \{\langle D \rangle — D = U\}$  and  $\Pi No = \{\langle D \rangle — \text{sup}(D) \leq 2n/2\}$

We show that a relativized version of this problem is not in SBP. For Theorem 3, we consider a variant of SD called Disjoint-Or-Identical.

Disjoint-Or-Identical: Given two samplable distributions  $C$  and  $D$ ,  $\Pi Y_{es} = \{\langle C, D \rangle — \text{sup}(C) \cap \text{sup}(D) = \emptyset\}$  and  $\Pi No = \{\langle C, D \rangle — C = D\}$  (i.e, the distance between  $C$  and  $D$  is either 1 or 0).

This problem can be shown to be in CoPZK. We construct an oracle relative to which this problem is not in SBP. Theorems 2 and 3 show that there exist relativized worlds where PZK is neither in SBP nor in CoSBP. This suggests that we cannot hope to improve the containment  $PZK \subseteq PP$  to either SBP or CoSBP using relativizable techniques.

Notation and Definitions

Distributions. All the distributions considered in this paper are over a sample space of the form  $\{0, 1\}^n$  for some integer  $n$ . Given a distribution  $D$ , we use  $D(x)$  to denote the probability of  $x$  with respect to  $D$ . We use  $U_n$  to denote the uniform distribution over  $\{0, 1\}^n$ . We consider distributions sampled by circuits. Given a circuit  $C$  mapping  $m$ -bit strings to  $n$ -bit strings, the distribution encoded/sampled by the circuit  $C$  is the distribution  $C(U_m)$ . We often use  $C$  to denote both the

circuit and the distribution sampled by it. Note that given access to the circuit, we can efficiently generate a sample of the distribution by evaluating  $C$  on a uniformly chosen  $m$ -bit string. For this reason, we call such distributions efficiently samplable distributions or just samplable distributions. We use  $\text{sup}(D)$  to denote the set of strings for which  $D(x) \neq 0$ .

Given two distributions  $C$  and  $D$  over the same sample space  $S$ , the statistical distance between them, denoted by  $\text{dist}(C, D)$ , is defined as follows.

$$\begin{aligned} \text{dist}(C, D) &= \max(C(T) — D(T)) = \\ T \subseteq S \\ (C(x) — D(x)) \\ C(x) \in D(x) \end{aligned}$$

Complexity Classes We refer the reader to the textbook by Arora and Barak [4] for definitions of standard complexity classes. For a complexity class  $C$ ,  $\text{CoC}$  denotes the class of complement languages/promise problems from  $C$ . The class SBP was introduced in [8] and is defined as follows.

Definition 1. A promise problem  $(\Pi Y_{es}, \Pi No)$  is said to belong to the complexity class SBP if there exists a constant  $\epsilon > 0$ , a polynomial  $p(\cdot)$ , and a probabilistic polynomial-time Turing Machine  $M$  such that

$$\begin{aligned} \text{If } x \in \Pi Y_{es} \text{ then } \Pr[M \text{ accepts}] &\geq 1 + \epsilon \\ \text{If } x \in \Pi No \text{ then } \Pr[M \text{ accepts}] &\leq 1 - \epsilon \end{aligned}$$

SBP is sandwiched between MA and AM and is the largest known subclass of AM that is in PP. In fact, it is known that SBP is contained in the class BPPpath which is a subclass of PP.

Theorem 4 ([8]).  $MA \subseteq SBP \subseteq AM$  and  $SBP \subseteq BPPpath \subseteq PP$ .

Although we will not be using explicit definitions of zero-knowledge classes, we give necessary definitions for completeness.

Definition 2 (Non-Interactive protocol). A non-interactive protocol is a pair of functions  $\langle P, V \rangle$ , the prover and verifier. On input  $x$  and random strings  $rI, rP$ ,  $P$  sends a message  $\pi = P(x, rP, rI)$  to  $V$ , and

$V$  computes  $m = V(x, \pi, rI)$ .  $V$  accepts  $x$  if  $m = 1$ , and rejects if  $m = 0$ . The transcript of the interaction is the tuple  $\langle x, rI, \pi, m \rangle$ .

Note that the above definition implies that the random string  $rI$  is shared between the prover and the verifier.

Definition 3 (NIPZK[21, 16]). A promise problem  $\langle \Pi Y_{es}, \Pi No \rangle$  is in NIPZK (Non-Interactive Perfect Zero Knowledge) if there is a non-interactive protocol  $\langle P, V \rangle$  where  $V$  runs in polynomial time, and a randomized, polynomial-time computable simulator  $S$ , satisfying the following conditions:

(Soundness:) For any function  $P *$  and any  $x \in \Pi No$ ,  $\Pr[V \text{ accepts}] \leq 1/3$

(Completeness:) If  $x \in \Pi Y$  es,  $\Pr[V \text{ accepts}] \geq 2/3$

(Zero Knowledge:) For any  $x \in \Pi Y$  es, the distribution of  $S(x)$  is identical to the distribution of the transcript generated by  $\langle P, V \rangle$  on input  $x$ .

The class NISZK (Non-Interactive Statistical Zero Knowledge) is defined similarly [16], except that we only require that the statistical distance between the distribution of  $S(x)$  and the distribution of the transcript generated by  $\langle P, V \rangle(x)$  be less than  $1/p(n)$  for every polynomial  $p(n)$ . Malka [21] showed that the promise problem Uniform is complete for the class NIPZK.

Theorem 5 ([21]). The promise problem Uniform is complete for NIPZK.

$NIPZK \subseteq CoSBP$

For a given distribution  $D$ , let  $CP(D)$  denote the collision probability:  $\Pr_{x,y \sim D}(x = y)$ . The following lemma is folklore. See [15] for a proof.

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Lemma 1. For a given distribution  $D$  over  $\{0, 1\}^n$ , if  $\text{dist}(D, Un) \geq \epsilon$ , then  $CP(D) \geq 1 + \epsilon$

Theorem 1.  $NIPZK \subseteq CoSBP$

We show the result by proving that the NIPZK-complete problem Uniform is in CoSBP. We start with the following lemma.

Lemma 2. Let  $D$  be a distribution on  $n + 1$  bits, and let  $T = \{x \in \{0, 1\}^n \mid x_1 \in \text{sup}(D)\}$ . Suppose that

$\Pr[D[n+1] = 1] = 1 + \epsilon$  for some  $\epsilon \geq 0$ . Then  $\text{dist}(D[1 \dots n], Un)$  is at least  $\epsilon$ . Proof. Recall that  $\text{dist}(D[1 \dots n], Un) = \max_{S \subseteq \{0, 1\}^n} \Pr_{d \sim D[1 \dots n]} [d \in S] - \Pr_{u \sim Un} [u \in S]$

$\max_{S \subseteq \{0, 1\}^n} \Pr_{d \sim D[1 \dots n]} [d \in S] - \Pr_{u \sim Un} [u \in S] \geq \Pr_{d \sim D[1 \dots n]} [d \in T] - \Pr_{u \sim Un} [u \in T]$

$S \subseteq \{0, 1\}^n$

$d \sim D[1 \dots n]$

$u \sim Un$

$d \sim D[1 \dots n]$

$\geq 1 + \epsilon - \Pr_{u \sim Un} [u \in T]$

$3 u \sim Un$

$3 2n$

$1 2n n$

$\geq + \epsilon - /2 = \epsilon$

$3 3$

Now we prove Theorem 1 by giving a CoSBP algorithm for Uniform.

Proof. Recall the definition of Uniform: Given a circuit  $D : \{0, 1\}^m \rightarrow \{0, 1\}^{n+1}$ ,  $\Pi Y$  es =  $\{D : D[1 \dots n] = Un, \Pr[D[n+1] = 1] \geq 2/3\}$  and  $\Pi No = \{D : \text{sup}(D) \cap \{0, 1\}^n \subseteq 2n/3\}$ .

Consider the following randomized algorithm: Given  $D$  as input, get two samples  $d_0$  and  $d_1$  from  $D$ . If the first  $n$  bits of both  $d_0$  and  $d_1$  are the same, then accept. Else, obtain  $k$  additional samples from  $D$ , and if the last bit of all these samples is 0, then accept, otherwise reject.

If  $D$  is a ‘yes’ instance of Uniform, then the probability of accepting at the first step is 1 and the

probability of accepting at the second step is at most  $1/2$ , so the overall accept probability is  $\leq 1 + 1/2$ .

$3k 2n 3k$

Suppose that  $D$  is a ‘no’ instance of Uniform. By lemma 2, either  $D[1 \dots n]$  is at least 1 away from  $Un$ , or

$1 6$

$D[n+1]$  is 1 with probability at most  $1/2$ . Suppose that  $D$  is at least  $1/6$  away from the uniform distribution,

then by Lemma 1, the probability that the first  $n$  bits of  $d_0$  and  $d_1$  are the same is at least  $37/64$ . Thus

the algorithm accepts with probability at least  $37/64$ . Now suppose that  $D$  is less than  $1/6$  away from the uniform distribution. This implies that the last bit of  $D$  is 1 with probability at most  $1/2$ . Thus in this case the algorithm accepts with probability  $\geq 1/2$ . Thus, a no instance is accepted with probability  $\geq \min(37/64, 1/2)$ .

Choose  $k = n - \log(37/36)$ , so that a no instance is accepted with probability  $\geq 37/64$  and a yes instance is

accepted with probability  $\leq 1 + 3\log(37/36)$ . For large enough  $n$ ,  $37/64 \geq (1 + 1/k)(1 + 3\log(37/36))$ , so this is

$2n 3n$

a CoSBP algorithm for Uniform.

$36 2n$

$40 2n 3n$

Oracle Separations

In this section, we prove Theorems 2 and 3. We first prove a general approach that can be used to construct relativized worlds where promise problems involving circuits are not in SBP.

Lemma 3. Let  $\Pi = \langle \Pi Y, \Pi No \rangle$  be a promise problem whose instances are circuits. If there is an oracle circuit family  $\{C_n\}_{n \geq 0}$  and a constant  $c > 1$  with the following properties:

$C_n$  is a oracle circuit that maps  $n$  bits to  $n$  bits and makes oracle queries only to strings of length  $c^n$ .

There exist families of sets  $\{A_n\}_{n \geq 0}, \{B_n\}_{n \geq 0} \subseteq \{0, 1\}^{c^n}$  such that for all  $n$ ,  $C_n A_n \in \Pi Y$  and  $C_n B_n \in \Pi No$

$n n$

For every probabilistic polynomial-time Turing Machine  $M$  and infinitely many  $n$ , for every  $D_i \in \{A_i, B_i, \emptyset\}$ ,

$(\cup_{i=1}^{n-1} D_i) \cup A_n$

$(\cup_{i=1}^{n-1} D_i) \cup B_n$

$\Pr[M$

$i=1 i$

$n (C_n i=1$

$n-1$

) accepts]

$i=2$

$\Pr[M (\cup_{i=1}^{n-1} D_i) \cup B_n (C_n \cup_{i=1}^{n-1} D_i) \cup B_n) \text{ accepts}]$

$i=1 n$

then there exists an oracle  $O$  such that  $\Pi O \not\in SBP$

Proof. We first note that in this definition of SBP, we can choose  $\epsilon$  to be 1 by using amplification techniques. Thus a promise problem is in SBP if there exists a polynomial  $p(\cdot)$

and a probabilistic polynomial-time machine  $M$  such that on positive instances  $M$  accepts with probability at least  $2/2p(n)$  and on negative instances  $M$  accepts with probability at most  $1/2p(n)$ . We call  $p(\cdot)$  the threshold polynomial for  $M$ .

Let  $\{M_i\}_{i \in \mathbb{N}}$  be an enumeration of the probabilistic polynomial-time machines. We consider an enumeration of tuples  $(M_i, j)_{i \in \mathbb{N}, j \in \mathbb{N}}$ . In this enumeration considering  $(M_i, j)$  corresponds to the possibility that  $M_i$  is a SBP machine with threshold polynomial  $n_j$ . We first start with an empty oracle. Let  $O_i = O \cap \{0, 1\}^{c_i}$ . For each  $i$ ,  $O_i$  will be one of  $\emptyset$ ,  $A_i$  or  $B_i$ . Consider  $(M_i, j)$  and let  $n$  be a length for which  $O_n$  is not yet defined and for which the inequality from the lemma holds for the machine  $M_i$ . Suppose that  $M_i$  makes queries of length  $\leq m$ . Note that by this, we have defined  $O_i$  for all  $i \leq cn$ , thus  $O \subseteq \{0, 1\}^{cn}$  and for every  $i \leq n$   $O_i$

is either  $\emptyset$ ,  $A_i$  or  $B_i$ . Suppose that the acceptance probability of  $M \cup A_n$  ( $CAn$ ) is

i i i

) is less than  $2/2$

. We set  $O$

at length  $cn$  as  $A_n$  and for all the lengths from  $cn + 1$  to  $m$  the oracle  $O$  is set to be  $\emptyset$ . Now  $CAn$  is a positive instance for which  $M_i$  cannot be a SBP machine with  $n_j$  as the threshold polynomial. Then we set  $O$  at length  $cn$  as  $A_n$  and move to the next tuple in the enumeration. Suppose that  $M \cup A_n$  ( $CAn$ ) accepts with

probability at least  $2/2n_j$

$n_j$

. Now by the inequality from lemma 3, the acceptance probability of  $M \cup B_n$  ( $CBn$ )

$B_n$

is more than  $1/2$

. Note that  $C$

is a negative instance for which  $M_i$  is not a SBP machine with threshold

polynomial  $n_j$ . Thus we make the oracle  $O$  at length  $cn$  to be  $B_n$ . It is easy to see that  $IIO$  is not in SBPO: Suppose not, and there exists a probabilistic polynomial-time machine  $M_i$  with threshold polynomial  $n_j$ . When we considered the tuple  $(M_i, j)$ , we ensured that  $M_i$  does not have threshold polynomial  $n_j$  on  $CO_{cn}$ .

#### Oracle Separation of NIPZK from SBP

In this section we show that Theorem 2 cannot be improved to show that NIPZK is a subset of SBP using relativizable techniques. For this we show that the oracle version of Uniform-Or-Small is not in SBP.

Theorem 6. There exists an oracle  $O$  relative to which Uniform-Or-Small is not in SBP.

Malka [21] showed that Uniform-Or-Small is in NIPZK, and this proof relativizes. Combining this with Theorem 6, we obtain Theorem 2. To prove Theorem 6, it suffices to exhibit sets  $A_n$  and  $B_n$  that satisfy the conditions of Lemma 3. We construct these sets via a probabilistic argument. We first provide a brief overview of this construction.

Remark: There is an alternate proof of the oracle separation between NIPZK from SBP which we describe here briefly.

This was pointed out to us by one of the reviewers of TCC 2020. The proof uses known facts about the well-studied Permutation Testing Problem (PTP). PTP takes as input a truth table of a function  $f : [N] \rightarrow [N]$  promised to be either a permutation on  $[N]$  or  $N/3$  away in Hamming distance from any permutation on  $[N]$ . The computational goal is to distinguish these two cases. It is known that in the query-complexity setting, there is a NIPZK protocol where the verifier uses public randomness to pick a uniform random element  $x$  from  $[N]$ , which is viewed as an element from the range of the function, and the prover is required to present a preimage of  $x$ . Aaronson, in [1] (Theorem 13), gave the construction of an oracle separating SZK from the Quantum version of SBP using degree arguments. The oracle is derived from the PTP problem where the author uses a SZK upper bound for PTP. However, as noted above the upper bound of NIPZK holds for PTP and hence it gives an oracle separation of NIPZK from SBP. Here we provide an oracle separation using elementary arguments.

Overview of the proof: Consider a non-relativized world with the following restriction on how a probabilistic polynomial-time machine  $M$  can access the input circuit  $C$ : At the beginning the machine gets to see a sequence  $S$  of  $k$  independent samples from  $C$ . After this the machine ignores  $C$ . Note that in this model the underlying machine cannot perform adaptive sampling from  $C$ , nor can the machine generate samples that might be correlated. In this model it is easy to see that if  $C$  encodes the uniform distribution, the probability that  $M$  is presented with a specific sequence  $S$  of  $k$  samples is precisely  $1/2^k$ . Thus the probability that the machine  $M$  accepts is  $\sum S \Pr[M \text{ accepts } S]$ , summed over all sequences of size  $k$ .

Now given a subset  $D$  of  $\{0, 1\}^n$  of size  $2^{n/2}$ , let  $UD$  be the uniform distribution over  $D$ . Consider the following experiment. Randomly pick  $D$  and let  $CD$  be a circuit that samples  $UD$ . Independently draw a sequence of  $k$  samples  $S$  from  $UD$  and present them as input to  $M$ . (In a non-relativized setting, there may not be a small circuit that uniformly samples  $D$ , but in the relativized worlds we consider, this is not an issue.) We consider the acceptance probability of  $M$  over random choices of  $D$ ,  $S$  and internal coin tosses of

$M$ . By a careful analysis we can show that this probability is very close to  $\sum S \Pr[M \text{ accepts } S]$ . Thus the ratio between the acceptance probabilities of  $M$  when given samples from the uniform distributions and samples drawn from  $UD$  (over a random choice of  $D$ ) is less than  $1 + \epsilon$  for any constant  $\epsilon$ . By a probabilistic

argument, there exists a subset  $D$  such that the acceptance probability of  $M$  on a positive instance ( $U$ ) and a negative instance ( $UD$ ) are the same. Thus  $M$  is not a SBP machine.

The crux of the above idea is that when the samples are generated independently and nonadaptively, then it is possible to argue that a SBP machine cannot distinguish between whether they came from the uniform distribution or from a distribution with small support size. Now, we need to argue in the more general model, where a probabilistic machine

can do adaptive sampling and generate samples that could be correlated to each other. A first approach to construct the sets  $A_n$  and  $B_n$  is to encode the uniform distribution in  $A_n$  and the distribution  $UD$  in  $B_n$ . The set  $A_n$  can be defined as  $\{\langle i, j \rangle \mid \text{the } i\text{th bit of the } j\text{th string of } \Sigma_n \text{ is } 1\}$  (in the standard lexicographical ordering). To define  $B_n$  given  $D$ , first consider the multiset  $D$  that contains  $2n/2$  copies of each elements of  $D$ . Thus the cardinality of  $D$  is  $2n$ . Now, the set  $B_n$  can be defined as tuples

$\langle l, j \rangle$  where the  $l$ th bit of the  $j$ th string of  $D$  is 1. Consider the oracle circuit  $C$  which is defined as follows:

**Definition 4 (Oracle Circuit).** Let  $CO$  be a fixed linear-size oracle circuit, with  $n$  inputs and  $n$  outputs, defined as follows: On input  $j \in \{1 \dots 2n\}$ ,  $CO(j)$  outputs  $O(\langle l, j \rangle)$  for all  $l$  between 1 and  $n$ . In other words,  $CO(j)$  outputs the  $j$ th string of  $O$ .

Notice that  $C_{A_n}$  is the uniform distribution and  $C_{B_n}$  is uniform on  $D$  and the goal of the probabilistic machine is to distinguish between the distributions  $C_{A_n}$  and  $C_{B_n}$ . However, if we allow correlated sampling, a probabilistic machine can easily distinguish  $C_{A_n}$  and  $C_{B_n}$  by computing  $CO(j)$  and  $CO(j+1)$  for appropriate inputs  $j$  and  $j+1$  and comparing whether they are equal or not. To guard against such behavior, we apply one more level of randomization - randomize the underlying order of the strings. Thus the tuple  $\langle l, j \rangle$  will encode the  $l$ th string in an order that is not necessarily the standard lexicographic order. We argue that when we randomly order  $\{0, 1\}^n$ , then adaptive and correlated sampling does not give significantly more information than independently generated samples. Now, we proceed to give a formal proof.

**Detailed proof:** From now on, we fix a length  $n$ . We use a probabilistic argument to construct  $A_n$  and  $B_n$ . For  $A_n$  we consider  $2n!$  sets  $Y_i$  and define  $A_n$  to be one of them (using a probabilistic argument), and similarly for  $B_n$  we consider many sets  $N_{D_i}$  and define  $B_n$  to be one of them.

**Definition 5 (Oracle families).** Let  $1 \leq i \leq 2n!$  index the set of all  $2n!$  permutations of  $\{0, 1\}^n$ . Oracles for Yes instances:  $Y_i = \{\langle l, j \rangle : \text{the } l\text{th bit of the } j\text{th string of the } i\text{th permutation of } \{0, 1\}^n \text{ is } 1\}$ .

Oracles for No instances: For each set  $D$  of size  $d = 2m$  (where  $m = n/2$ ) let  $D$  be the multiset that contains

$2n-m$  copies of each element of  $D$ . Thus  $|D| = 2n$ , and we define  $N_D$  as:  $N_D = \{\langle l, j \rangle : \text{the } l\text{th bit of the } i\text{th string of the } j\text{th permutation of } D \text{ is } 1\}$ .

For the rest of this section, we will use  $Y$  to represent an arbitrary  $Y_i$  oracle,  $N$  to represent an arbitrary  $N_D$  oracle, and  $O$  to represent an arbitrary  $Y_i$  or  $N_D$ . Note that for every  $i$ ,  $CY_i$  is the uniform distribution

and  $CND_i$  is the uniform distribution on  $D$  and thus has small support.

We first prove the following lemma and show later how to build on it to arrive at the conditions specified in Lemma 3.

**Lemma 4.** If  $i$  is uniformly chosen from  $\{1, \dots, 2n!\}$  and  $D$  is uniformly chosen from all size  $2m$  subsets of

$\{0, 1\}^n$ , then for any constant  $c \leq 1$  and every probabilistic polynomial-time algorithm  $A$ , for large enough

$n$ ,

$$\Pr_{i,r}[AY_i \text{ accepts } CY_i] \leq c$$

$$\Pr_{i,r}[AND_i \text{ accepts } CND_i]$$

where  $r$  is the random choice of  $A$ .

Without loss of generality we can assume that any oracle query that  $AO$  makes can be replaced by evaluating the circuit  $CO$ , by modifying  $A$  in the following way: whenever  $A$  queries the oracle  $O$  for the  $i$ th bit of the  $j$ th string, it evaluates  $CO(j)$  and it extracts the  $i$ th bit. We refer to this as a circuit query. Let  $k$  be the number of circuit queries made by  $A$ , where  $k$  is bounded by a polynomial. We will use  $q_1, \dots, q_k$  to denote the circuit queries, and denote the output  $CO(q_i)$  by  $u_i$ . We can assume without loss of generality that all  $q_i$  are distinct. We use  $S$  to denote a typical tuple of answers  $\langle u_1, \dots, u_k \rangle$ . We will use  $AS$  to denote the computation of algorithm  $A$  when the answers to the circuit queries are exactly  $S$  in that order. Notice that the  $AS$  does not involve any oracle queries. Once  $A$  has received  $S$ , it can complete the computation without any circuit queries. So, the output of  $AS$  is a random variable that depends only on the internal randomness  $r$  of  $A$ .

**Claim.** Without loss of generality we can assume that along any random path,  $A$  rejects whenever any

$$u_i = u_j, i \neq j.$$

**Proof.** In a Yes instance,  $CY$  is uniform. Since  $C$  has  $n$  inputs and  $n$  outputs,  $CY$  is a 1-1 function. By the earlier assumption,  $u_i$  will never match any other  $u_j$ . In a No instance,  $CN$  will have  $2n-m$  inputs for any output. Rejecting any time  $u_i = u_j$  will not affect  $\Pr[A \text{ accepts a Yes instance}]$ , and it will reduce  $\Pr[A \text{ accepts a No instance}]$ . Thus the ratio of the probability of accepting an Yes instance and the probability of accepting a No instance only increases. We will show that this higher ratio is  $\leq c$ .

We will use the following notation.

“ $AO$  asks  $\langle q, i \rangle$ ” is the event that “the  $i$ th circuit query made by  $A$  is  $CO(q)$ .” For simplicity, we write this event as “ $AO$  asks  $q_i$ .”

“ $AO$  gets  $\langle u, i \rangle$ ” is the event that “ $CO(q) = u$  where  $q$  is the  $i$ th query”. Again, for simplicity, we write this event as “ $AO$  gets  $u_i$ .”

For  $S = \langle u_1, \dots, u_k \rangle$ , “ $AO$  gets  $S$ ” is the event that “ $AO$  gets  $u_1$  and  $AO$  gets  $u_2$  and …  $AO$  gets  $u_k$  (in that order)”.

**Lemma 5.** For any probabilistic algorithm  $A$  and for any fixed  $S = \langle u_1, \dots, u_k \rangle$  where all  $u_i$  are distinct,

$$\Pr[AY_i \text{ gets } S \text{ and accepts}] = \Pr[A \text{ accepts}] Y_i$$

**Proof.**

$$\Pr[AY_i \text{ gets } S \text{ and accepts}] = \Pr[AY_i \text{ gets } S] \times \Pr[AY_i \text{ accepts} \mid AY_i \text{ gets } S]$$

$i,r$

$i,r$

$i,r$

$$= \Pr[AY_i \text{ gets } S] \times \Pr[AS \text{ accepts}]$$

$i,r$



Proof (Proof of Lemma 4).

From lemmas 5 and 6, we have

$\text{Pr}_{i,r}[\text{AY}_i \text{ accepts } \text{CY}_i]$

$= \sum \text{Pr}_{i,r}[\text{AY}_i \text{ gets } S \text{ and accepts}]$

$= \sum$

$\text{Pr}_{i,r,D}[\text{AND}_i \text{ accepts } \text{CND}] \text{ Pr}_{i,r,D}[\text{AND}_i \text{ gets } S \text{ and accepts}]$

$= \sum \text{Pr}_{i,r}[\text{AY}_i \text{ gets } S \text{ and accepts}]$

$\leq S \text{ distinct}$

$S \leq \sum \text{Pr}_{i,r,D}[\text{AND}_i \text{ gets } S \text{ and accepts}]$

$\leq \sum \text{Pr}_{i,r}[\text{A accepts}] \times Q_{k-1}$

$\leq \sum \text{Pr}_{i,r}[\text{A accepts}] \times Q_{k-1} (2m-j)2^{n-m}$

$k-1 n$

$= 2 - j (\text{substituting } m = n/2) 2^n - 2^{n/2}$

$j=0$

$\geq c (\text{by Claim 4.1})$

The second equality follows because when the oracle is  $Y_i$ ,  $S$  is always disjoint (as we never ask the same query twice) and when the oracle is  $N_D$  we assume that the algorithm rejects when  $S$  is not distinct.

(Completing the proof of Theorem 6): We will construct an oracle so that conditions of Lemma 3 are met. By a probabilistic argument, there exists an  $i^*$  and  $D^*$  such that

$\text{Pr}[\text{AY}_{i^*} \text{ accepts } \text{CY}_{i^*}]$

$\geq ND^*$

$\geq ND^*$

$\geq c$

$\text{Pr}[\text{A } i^* \text{ accepts } C \text{ } i^*]$

for every  $c \in \{0, 1\}$  (by Lemma 4). Now define  $A_n$  as  $Y_{i^*}$  and  $B_n$  as  $N_{D^*}$ . This looks very close to the conditions of Lemma 3 except that we restricted the oracles to be  $A_n$  and  $B_n$ . However, for Lemma 3, we require that oracles are of the form  $(\bigcup_{i=1}^{n-1} D_i \cup A_n)$  and  $(\bigcup_{i=1}^{n-1} D_i \cup B_n)$ . To establish this, we resort to the standard techniques

$i=1 \text{ to } n$

used in oracle constructions. Observe that the sets  $A_n$  and  $B_n$  can be constructed in double exponential time.

$n_j-1$

time. Let  $n_1 = 2$  and  $n_j = 2^{2j}$ . We will satisfy the conditions of Lemma 3 at lengths of the form  $n$ . For

$n_j-1$

$\bigcup_{i=1}^{n_j-1} D_i \cup A_n$

every  $i$  that is not of the form  $n$ , we set both  $A$  and  $B$  to empty. Now  $M = \bigcup_{i=1}^{n_j-1} D_i \cup A_n$  ( $C \text{ } i=1 \text{ to } n_j$ ) can

$j \text{ } i \text{ to } n_j$

be simulated using  $M_{Anj}$  ( $C_{Anj}$ ). As for queries whose length does not equal  $c \cdot n_j$ , the machine can find answers to oracle queries without actually making the query.

Oracle Separation of PZK from CoSBP

In this section we construct an oracle that separates PZK from CoSBP, thus proving Theorem 3. For this we exhibit an oracle where the promise problem Disjoint-Or-Identical is not in SBP. This problem is a generalization of graph non-isomorphism (GNI) problem, in the sense that GNI reduces to this problem. Let  $G_1$  and  $G_2$  be two graphs, and let  $C_i$  be the distribution obtained by randomly picking a permutation

$\pi$  and outputting  $\pi(G_i)$ . Observe that if  $G_1$  and  $G_2$  are not isomorphic then the supports of  $C_1$  and  $C_2$  are disjoint, and if  $G_1$  is isomorphic to  $G_2$ , then  $C_1 = C_2$ . Moreover the distributions  $C_1$  and  $C_2$  can be sampled by polynomial-size circuits. The PZK protocol for graph isomorphism can be adapted to show that Disjoint-Or-Identical is in CoPZK.

Theorem 7. Disjoint-Or-Identical is in CoPZK Theorem 3 follows from the following theorem.

Theorem 8. There exists an oracle  $O$  relative to which Disjoint-or-Identical is not in SBPO

**Input presentation:** In the definition of Disjoint-Or-Identical, the input instances are tuples consisting of two circuits. However, we will represent them as just one circuit  $C$  in the following manner. Given a circuit  $C$ , let  $C_0$  denote the circuit obtained by fixing the first input bit of  $C$  to be 0, and the circuit  $C_1$  denote the circuit obtained by fixing the first input bit of  $C$  to be 1. An input to Disjoint-Or-Identical will be a circuit  $C$  and the goal is to distinguish between the cases “the support of distributions  $C_0$  and  $C_1$  are disjoint” or “ $C_0$  and  $C_1$  are identical distributions”.

The proof structure of this result is similar to that of Theorem 6 and as in that case, the goal is to construct a circuit family  $C_n$  and families of sets  $A_n$  and  $B_n$  that satisfy the conditions of Lemma 3.

**Definition 6 (Oracle families).** Let  $i \in \{1, \dots, 2n\}$  index the partitions of  $\{0, 1\}^n$  into two sets  $S_0$  and

$i \text{ } i$

Oracles for Yes instances:  $Y_{ijk}$  is an oracle for the set  $\{(0, 1, m)\}$ : the  $i$ th bit of the  $m$ th string in the  $j$ th

permutation of  $S_0 = \{1\} \cup \{(1, 1, m)\}$ : the  $i$ th bit of the  $m$ th string in the  $k$ th permutation of  $S_1 = \{1\}$ .

$i \text{ } i$

Oracles for No instances: We construct the No instances similarly, except both 0 and 1 cases query  $S_0$ . That is,  $N_{ijk}$  is an oracle for the set  $\{(0, 1, m)\}$ : the  $i$ th bit of the  $m$ th string in the  $j$ th permutation of

$S_0 = \{1\} \cup \{(1, 1, m)\}$ : the  $i$ th bit of the  $m$ th string in the  $k$ th permutation of  $S_0 = \{1\}$

$i \text{ } i$

An oracle of the above form will be denoted by  $O$  which is a disjoint union of sets denoted by  $O_0$  and

$O_1$ . Now we define the input circuits that sample the two distributions.

**Definition 7 (Oracle circuits).** Let  $C_O$  be a fixed linear-size oracle circuit, with  $n+1$  inputs and  $n$  outputs, defined as follows: on input  $\langle 0, j \rangle$  where  $j \in \{1, \dots, 2n\}$ ,  $C_O(j)$  outputs  $O_0(\langle 1, j \rangle)$  for all  $1 \leq l \leq n$ , and on input  $\langle 1, j \rangle$  where  $j \in \{1, \dots, 2n\}$ ,  $C_O(j)$  outputs  $O_1(\langle 1, j \rangle)$  for all  $1 \leq l \leq n$ . In other words,  $C_O(\langle 0, j \rangle)$  outputs the  $j$ th string of  $O_0$  and  $C_O(\langle 1, j \rangle)$  outputs the  $j$ th string of  $O_1$ .

We will establish the following lemma. Then the proof of Theorem 8 follows by arguments identical to that of the previous oracle construction.

**Lemma 7.** If  $i, j, k$  are uniformly and independently chosen from  $\{1, \dots, 2n\}$ ,  $\{1, \dots, 2n-1\}$ ,  $\{1, \dots, 2n-1\}$  respectively,

then for any probabilistic polynomial-time algorithm A, for any constant  $c \geq 1$ , for large enough n,

$$\Pr_{i,j,k,r}[\text{AYijk accepts CYijk}]$$

$$\leq c$$

$$\Pr_{i,j,k,r}[\text{ANi,j,k accepts CNi,j,k}]$$

We use the same notation and make the most of same simplifications from the previous construction, with the following differences. The first difference is: let h be the (polynomial) maximum number of queries made by an algorithm A for any random choice of i, j, k, r. We will allow A to make  $2h$  queries, two at a time, with the restriction that one must begin with 0 and the other must begin with 1. Notationally,  $p_1 \dots p_h$  are the queries that begin with 0 and  $u_i$  is the result of query  $p_i$ .  $q_1 \dots q_h$  are the queries that begin with 1 and  $v_i$  is the result of query  $q_i$ . S is the ordered multiset  $\langle u_1, v_1, \dots, u_h, v_h \rangle$ . Notice that this is without loss of generality as A can simulate the original algorithm by ignoring either  $q_i$  or  $p_i$  as appropriate. The second difference is that, instead of assuming A rejects if any  $u_i$  matches any  $v_j$ , we assume A rejects if any  $u_i$  matches any  $v_j$ .

Lemma 8. For any probabilistic algorithm A and for any fixed S =  $\langle u_1, v_1, \dots, u_h, v_h \rangle$  where all elements of

S are distinct,

$$\Pr[\text{AYijk gets S and accepts}] = \Pr[A$$

$$\text{accepts}] \times Y^1$$

Proof. Note that

Pr

i,j,k,r

$$[\text{AYijk gets S and accepts}] = \Pr$$

i,j,k,r

$$[\text{AYijk gets S}] \times \Pr$$

i,j,k,r

$$[\text{AYijk accepts} \rightarrow \text{AYijk gets S}]$$

$$= \Pr[\text{AYijk gets S}] \times \Pr[\text{AYijk accepts}]$$

i,j,k,r S

Thus we need to prove that

Pr

i,j,k,r

$h-1$

$$[\text{AYijk gets S}] =$$

$$(2n - 2l)(2n - 2l - 1)$$

A=0

We use EA to denote the event  $\text{AYijk gets } \langle u_1, v_1, \dots, u_h, v_h \rangle$ . Note that

and

Pr

i,j,k,r

$h-1$

$$[\text{AYijk gets S}] =$$

A=0

Pr

i,j,k,r

$$[\text{AYijk gets } uA+1, vA+1 \rightarrow \text{EA}]$$

Pr

i,j,k,r

$$[\text{AYijk gets } uA+1, vA+1 \rightarrow \text{EA}] =$$

p,q

Pr

i,j,k,r

$$[\text{AYijk asks } pA+1 \text{ and } qA+1 \rightarrow \text{EA}]$$

$\times \Pr$

i,j,k,r

$$[\text{CYijk (p)} = uA+1 \text{ and } \text{CYijk (q)} = vA+1 \rightarrow \text{AYijk asks } pA+1 \text{ and } qA+1, \text{EA}]$$

$$= \Pr[A \text{ gets } uA+1, vA+1 \rightarrow A \text{ asks } pA+1, qA+1 \rightarrow \text{EA}]$$

$$= \Pr[uA+1 \in S_0, vA+1 \in S_1 \rightarrow \text{EA}]$$

i i i

$$\times \Pr[uA+1 \text{ is the } p\text{th element of } S_0 \rightarrow \text{EA}]$$

A+1 i

$$\times \Pr[vA+1 \text{ is the } q\text{th element of } S_{h+1} \rightarrow \text{EA}]$$

A+1 i

$$= 2n - 2l - 2, 2n - 2l - 1, 1$$

$$= 2n - 1 - 1 - 1$$

1

$$2n - 1 - 1$$

$$2n - 1 - 1, 2n - 1 - 1$$

$$= (2n - 2l)(2n - 2l - 1)$$

Thus

Pr

i,j,k,r

$$[\text{AYijk gets } uA+1, vA+1 \rightarrow \text{EA}] =$$

p,q

Pr

i,j,k,r

$$[\text{AYijk asks } pA+1 \text{ and } qA+1 \rightarrow \text{EA}]$$

$\times \Pr$

i,j,k,r

$$[\text{CYijk (p)} = uA+1 \text{ and } \text{CYijk (q)} = vA+1 \rightarrow \text{AYijk asks } pA+1 \text{ and } qA+1, \text{EA}]$$

$$= 1 (2n - 2l)(2n - 2l - 1)$$

p,q

Pr

i,j,k,r

$$[\text{AYijk asks } p]$$

A+1

and q

A+1

$\rightarrow \text{EA}]$

1

$$= (2n - 2l)(2n - 2l - 1)$$

Since  $\Pr_{i,j,k,r}[\text{AYijk gets S}] = Q^{h-1} \Pr_{i,j,k,r}[\text{AYijk gets } uA+1, vA+1 \rightarrow \text{EA}]$ , using this with the above derived equality we obtain that

Pr

i,j,k,r

$h-1$

$$[\text{AYijk gets S}] =$$

$$(2n - 2l)(2n - 2l - 1)$$

A=0

This completes the proof of the lemma. Now we turn to the No instances.

Lemma 9. For any algorithm A, for any fixed  $S = \{u_1, v_1, \dots, u_h, v_h\}$  that are all distinct,

$$h-1 \leq n$$

$$\Pr[\text{ANijk gets } S \text{ and accepts}] = \Pr[A \text{ accepts}] \times Y(2-2l)(2-2l-1) \dots$$

i,j,k,r

Proof. As before,

$$r \in S$$

$$A=0$$

$$(2n-1-2l)(2n-1-2l-1) \dots (2n-1-l)$$

$$Pr$$

i,j,k,r

$$[\text{ANijk gets } S \text{ and accepts}] = \Pr$$

i,j,k,r

$$[\text{ANijk gets } S] \times \Pr$$

i,j,k,r

$$[\text{ANijk accepts} \rightarrow \text{ANijk gets } S]$$

$$= \Pr[\text{ANijk gets } S] \times \Pr[\text{ANijk accepts}]$$

It suffices to show that

$$i,j,k,r \in S$$

$$h-1 \leq n$$

$$Pr$$

i,j,k,r

$$[\text{ANijk gets } S] = (2-2l)(2-2l-1) \dots$$

$$(2n-1-2l)(2n-1-2l-1) \dots (2n-1-l)$$

$$A=0$$

If EA denotes the event “ANijk gets  $\langle u_1, v_1, \dots, u_A, v_A \rangle$ ”, then

Now,

$$Pr$$

i,j,k,r

$$h-1$$

$$[\text{ANijk gets } S] = \Pr[\text{ANijk gets } u_{A+1}, v_{A+1} \rightarrow EA]$$

ijkr

$$A=0$$

$$\Pr[\text{ANijk gets } u_{A+1}, v_{A+1} \rightarrow EA] = \sum \Pr[\text{ANijk asks } p_{A+1} \text{ and } q_{A+1} \rightarrow EA]$$

$$\times \Pr[\text{CNijk}(p) = u_{A+1} \text{ and } \text{CNijk}(q) = v_{A+1} \rightarrow EA, \text{ANijk asks } p_{A+1} \text{ and } q_{A+1}]$$

ijkr

Consider the event “CNijk(p) = u\_{A+1} and CNijk(q) = v\_{A+1}”, conditioned on EA and “ANijk asks  $p_{A+1}$  and  $q_{A+1}$ ”. For this event to happen, it must be the case that both  $u_{A+1}$  and  $v_{A+1}$  are in  $S_0$ , and  $u_{A+1}$  is the  $p$ th

$$i \in A+1$$

element of  $S_0$ , and  $v_{A+1}$  is the  $q$ th element of  $S_0$ . The probability that both  $u_{A+1}$  and  $v_{A+1}$  are in  $S_0$  given

$$i \in A+1 \quad i \in$$

that EA and A asks  $p_{A+1}$  and  $q_{A+1}$  is

$$2n-2l-2$$

$$, 2n-2l$$

$$2n-1-2l$$

$$(2n-1-2l)(2n-1-2l-1)$$

$$(2n-2l)(2n-2l-1)$$

The probability that  $u_{A+1}$  is the  $p$ st element given EA is

$1/(2n-1-l)$  and similarly, the probability that

$v_{A+1}$  is the  $q$ th element given EA is  $1/(2n-1-l)$ .

Thus

$$\Pr[AN]$$

$$ijk$$

$$ijk \text{ gets } u_{A+1}, v_{A+1} \rightarrow EA] =$$

$$=$$

$$(2n-1-2l)(2n-1-2l-1) \dots (2n-2l)(2n-2l-1)$$

$$(2n-1-2l)(2n-1-2l-1) \dots (2n-2l)(2n-1-2l-1)$$

$$1/(2n-1-l)$$

$$1$$

$$(2n-1)2$$

$$\Sigma p, q$$

$$\Pr[AN]$$

$$ijk$$

$$ijk \text{ asks } p_{A+1} \text{ and } q_{A+1} \rightarrow EA]$$

Thus

$$h-1$$

$$n-1$$

$$n-1$$

$$\Pr[\text{ANijk gets } S] = Y(2-2l)(2-2l-1) \dots$$

i,j,k,r

and the lemma follows.

We need the following claim

$$A=0$$

$$(2n-2l)(2n-2l-1)$$

$$(2n-1-l)2$$

Claim. For any polynomial  $h = h(n)$  and any constant  $c$ , if, for large enough  $n$ ,

$$h-1$$

$$n-1 2$$

$$(2-1) + c$$

$$(2n-1-2l)(2n-1-2l-1)$$

$$A=0$$

Proof.

$$h-1$$

$$n-1 2$$

$$h-1$$

$$n-1 2$$

$$Y(2-1) \leq Y(2-1)$$

$$A=0 \quad A=0$$

$$h-1$$

$$\leq$$

$$A=0$$

$$1 + 1 2$$

$$1 + 2n-1-2l-1$$

For any polynomial  $h$ , the above expression tends to 1 for large enough  $n$ .

The rest of the proof of Lemma 7 and that of Theorem 8 is identical to the proofs of Lemma 4 and Theorem 6.

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