Package 'GeneScan3DKnock'

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Description Functions for the gene-based association tests that integrate both common and rare genetic variation from promoter and enhancers for each gene, along with the knockoff-enhanced tests. The GeneScan3DKnock has two steps: Step 1. Knockoff generation using function AR_KnockoffGeneration() and Step 2. Knockoff filter using function GeneScan3DKnock().
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AR_KnockoffGeneration AR Knockoff Generation: an auto-regressive model for knockoff generation.

Description

This function conducts an auto-regressive model by generating the multiple knockoffs data and computes the p-values. This function generates the knockoffs for the gene and regulatory elements using surrounding regions, then compute original and knockoff GeneScan3D p-values. The recommended size of surrounding regions is 200 Kb.

Usage

```
AR_KnockoffGeneration(
  G_gene_buffer_surround = G_gene_buffer_surround,
  variants_gene_buffer_surround = variants_gene_buffer_surround,
  gene_buffer.pos = gene_buffer.pos,
  promoter.pos = promoter.pos,
  R = R,
  G_EnhancerAll_surround = G_EnhancerAll_surround,
  variants_EnhancerAll_surround = variants_EnhancerAll_surround,
  p_EnhancerAll_surround = p_EnhancerAll_surround,
  Enhancer.pos = Enhancer.pos,
  p.EnhancerAll = p_EnhancerAll,
  Z = Z_gene_buffer,
  Z.promoter = Z_promoter,
  Z.EnhancerAll = Z_EnhancerAll,
  window.size = c(1000, 5000, 10000),
  MAC.threshold = 5,
  MAF.threshold = 0.01,
  Gsub.id = NULL,
  result.null.model = result.null.model,
  M = 5
)
```

Arguments

```
G_gene_buffer_surround
```

The genotype matrix of the surrounding region for gene buffer region.

```
variants_gene_buffer_surround
```

The genetic variants in the surrounding region for gene buffer region. Each position corresponds to a column in the genotype matrix G_gene_buffer_surround.

gene_buffer.pos

The start and end positions of gene buffer region.

promoter.pos The start and end positions of promoter.

R Number of enhancers.

G_EnhancerAll_surround

The genotype matrix of the surrounding regions for R enhancers, by combining the genotype matrix of the surrounding regions for each enhancer by columns.

Ζ

variants_EnhancerAll_surround

The genetic variants in the surrounding region for R enhancers. Each position corresponds to a column in the genotype matrix G_EnhancerAll_surround.

p_EnhancerAll_surround

Number of genetic variants in the surrounding region for R enhancers, which is a 1*R vector.

Enhancer.pos The start and end positions for R enhancers. One row represents one enhancer,

which is a R by 2 matrix.

p.EnhancerAll Number of genetic variants in R enhancers, which is a 1*R vector.

A p*q functional annotation matrix, where p is the number of genetic variants in the gene buffer region and q is the number of functional annotations. If Z is NULL (do not incorporate any functional annotations), the minor allele frequency weighted dispersion and/or burden tests are applied. Specifically, Beta(MAF; 1; 25) weights are used for rare variants and weights one are used for common

variants.

Z. promoter The functional annotation matrix for promoter. Z.promoter can be NULL.

Z.EnhancerAll The functional annotation matrix for R enhancers, by combining the functional

annotation matrix of each enhancer by rows. Z.EnhancerAll can be NULL.

window.size The 1-D window sizes in base pairs to scan the gene buffer region. The recom-

mended window sizes are c(1000,5000,10000).

MAC.threshold Threshold for minor allele count. Variants below MAC.threshold are ultra-rare

variants. The recommended level is 5.

MAF.threshold Threshold for minor allele frequency. Variants below MAF.threshold are rare

variants. The recommended level is 0.01.

Gsub.id The subject id corresponding to the genotype matrix, an n dimensional vector.

The default is NULL, where the matched phenotype and genotype matrices are

assumed.

result.null.model

The output of function "GeneScan.Null.Model()".

M Numer of multiple knockoffs.

Value

GeneScan3D. Cauchy

GeneScan3D p-values of all, common and rare variants for original genotypes.

GeneScan3D.Cauchy_knockoff

A M by 3 GeneScan3D p-values matrix of all, common and rare variants for M knockoff genotypes.

Examples

library(GeneScan3DKnock)

data(KnockoffGeneration.example)

 $Y=Knock off Generation.example \$Y; \ X=Knock off Generation.example \$X;$

 $\label{lem:cond} G_gene_buffer_surround=KnockoffGeneration.example$G_gene_buffer_surround\\ variants_gene_buffer_surround=KnockoffGeneration.example$variants_gene_buffer_surround\\ G_Enhancer1_surround=KnockoffGeneration.example$G_Enhancer1_surround\\ variants_Enhancer1_surround=KnockoffGeneration.example$variants_Enhancer1_surround\\ G_Enhancer2_surround=KnockoffGeneration.example$G_Enhancer2_surround\\ variants_Enhancer2_surround=KnockoffGeneration.example$variants_Enhancer2_surround\\ variants_Enhancer2_surround=KnockoffGeneration.example$variants_Enhancer2_surround\\ NockoffGeneration.example$variants_Enhancer2_surround\\ NockoffGeneration.example$variants_Enhancer$

```
G_EnhancerAll_surround=cbind(G_Enhancer1_surround,G_Enhancer2_surround)
variants_EnhancerAll_surround=c(variants_Enhancer1_surround,variants_Enhancer2_surround)
p_EnhancerAll_surround=c(length(variants_Enhancer1_surround), length(variants_Enhancer2_surround))
gene_buffer.pos=KnockoffGeneration.example$gene_buffer.pos
promoter.pos=KnockoffGeneration.example$promoter.pos
{\tt Enhancer1.pos=KnockoffGeneration.example\$Enhancer1.pos}
Enhancer2.pos=KnockoffGeneration.example$Enhancer2.pos
Enhancer.pos=rbind(Enhancer1.pos,Enhancer2.pos)
Z_gene_buffer=KnockoffGeneration.example$Z_gene_buffer
Z_promoter=KnockoffGeneration.example$Z_promoter
Z_Enhancer1=KnockoffGeneration.example$Z_Enhancer1
Z_Enhancer2=KnockoffGeneration.example$Z_Enhancer2
Z_EnhancerAll=rbind(Z_Enhancer1, Z_Enhancer2)
p_EnhancerAll=c(dim(Z_Enhancer1)[1],dim(Z_Enhancer2)[1])
R=KnockoffGeneration.example$R
set.seed(12345)
result.null.model=GeneScan.Null.Model(Y, X, out_type="C", B=1000)
result.AR\_KnockoffGeneration=AR\_KnockoffGeneration(G\_gene\_buffer\_surround=G\_gene\_buffer\_surround,
variants_gene_buffer_surround=variants_gene_buffer_surround,
gene_buffer.pos=gene_buffer.pos,promoter.pos=promoter.pos,R=R,
G_EnhancerAll_surround=G_EnhancerAll_surround,
variants_EnhancerAll_surround=variants_EnhancerAll_surround,
{\tt p\_EnhancerAll\_surround=p\_EnhancerAll\_surround},
Enhancer.pos=Enhancer.pos,p.EnhancerAll=p_EnhancerAll,
Z=Z_gene_buffer,Z.promoter=Z_promoter,Z.EnhancerAll=Z_EnhancerAll,
window.size=c(1000,5000,10000),
MAC.threshold=5,MAF.threshold=0.01,Gsub.id=NULL,result.null.model=result.null.model,M=5)
result.AR_KnockoffGeneration$GeneScan3D.Cauchy
result.AR_KnockoffGeneration$GeneScan3D.Cauchy_knockoff
```

Example.GeneScan3D

Data example for GeneScan3D (gene-based testing by integrating long-range chromatin interactions).

Description

This simulated example dataset contains outcome variable Y, covariate X, genotype and functional annotation matrices for gene and buffer region, promoter and two enhancers, positions of genetic variants in gene and buffer region.

Usage

```
data("GeneScan3D.example")
```

Format

An object of class list of length 13.

Details

We generated genotypes for 2,000 individuals in a 14.5 Kb gene region, a promoter as a 0.5 Kb segment upstream of the TSS (the start point of the gene) and R = 2 enhancers with length 2 KB, which are outside the 15 Kb gene plus promoter region.

Examples

```
data("GeneScan3D.example")

Y=GeneScan3D.example$Y; X=GeneScan3D.example$X; n=length(Y)

G_gene_buffer=GeneScan3D.example$G_gene_buffer
G_promoter=GeneScan3D.example$G_promoter
G_EnhancerAll=cbind(GeneScan3D.example$G_Enhancer1,GeneScan3D.example$G_Enhancer2)

Z_gene_buffer=GeneScan3D.example$Z_gene_buffer
Z_promoter=GeneScan3D.example$Z_promoter
Z_EnhancerAll=rbind(GeneScan3D.example$Z_Enhancer1,GeneScan3D.example$Z_Enhancer2)

pos_gene_buffer=GeneScan3D.example$pos_gene_buffer
```

Example.GeneScan3DKnock

Data example for GeneScan3DKnock.

Description

This example dataset contains the original and M=5 knockoff p-values for N=100 genes. Each row presents gene id, original GeneScan3D p-value and M knockoff GeneScan3D p-values. The original and knockoff GeneScan3D p-values are generated using AR_KnockoffGeneration() function.

Usage

```
data("GeneScan3DKnock.example")
```

Format

An object of class data.frame with 100 rows and 7 columns.

Details

This example dataset can be used to calculate the knockoff statistics and q-values for GeneS-can3DKnock() function.

Example.KnockoffGeneration

Data example for AR Knockoff Generation.

Description

This simulated example dataset contains outcome variable Y, covariate X, genotype matrices and genetic variants of surrounding regions for gene buffer and two enhancers separately, positions and functional annotations for gene buffer region, promoter and two enhancers.

Usage

```
data("KnockoffGeneration.example")
```

Format

An object of class list of length 17.

Details

We provide genotypes of 20 Kb surrounding regions for 15 Kb gene buffer region and two 2 Kb enhancers separately. In real data analyses, the surrounding regions can increase to 200 Kb for knockoff generation.

```
data("KnockoffGeneration.example")
Y=KnockoffGeneration.example$Y; X=KnockoffGeneration.example$X;
G_gene_buffer_surround=KnockoffGeneration.example$G_gene_buffer_surround
variants\_gene\_buffer\_surround=KnockoffGeneration.example\\ \$variants\_gene\_buffer\_surround
{\tt G\_Enhancer1\_surround=KnockoffGeneration.example\$G\_Enhancer1\_surround}
variants_Enhancer1_surround=KnockoffGeneration.example$variants_Enhancer1_surround
{\tt G\_Enhancer2\_surround=KnockoffGeneration.example\$G\_Enhancer2\_surround}
variants\_Enhancer2\_surround = Knock off Generation.example \\ \$variants\_Enhancer2\_surround \\ = Knock off Generation.example \\ = Knock off Gener
{\tt G\_EnhancerAll\_surround=cbind(G\_Enhancer1\_surround,G\_Enhancer2\_surround)}
variants\_Enhancer All\_surround = c(variants\_Enhancer 1\_surround, variants\_Enhancer 2\_surround)
\verb|p_EnhancerAll_surround=c(length(variants_Enhancer1\_surround), length(variants_Enhancer2\_surround))|
gene_buffer.pos=KnockoffGeneration.example$gene_buffer.pos
promoter.pos=KnockoffGeneration.example$promoter.pos
Enhancer1.pos=KnockoffGeneration.example$Enhancer1.pos
Enhancer2.pos=KnockoffGeneration.example$Enhancer2.pos
Enhancer.pos=rbind(Enhancer1.pos,Enhancer2.pos)
{\it Z\_gene\_buffer=KnockoffGeneration.example\$Z\_gene\_buffer}
Z_promoter=KnockoffGeneration.example$Z_promoter
Z_Enhancer1=KnockoffGeneration.example$Z_Enhancer1
Z_Enhancer2=KnockoffGeneration.example$Z_Enhancer2
Z_EnhancerAll=rbind(Z_Enhancer1,Z_Enhancer2)
p_EnhancerAll=c(dim(Z_Enhancer1)[1],dim(Z_Enhancer2)[1])
```

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R=KnockoffGeneration.example\$R

GeneScan.Null.Model The preliminary data management for GeneScan3DKnock.

Description

This function does the preliminary data management and fit the model under null hypothesis using all the covariates. The output will be used in the other GeneScan functions.

Usage

```
GeneScan.Null.Model(Y, X = NULL, id = NULL, out_type = "C", B = 1000)
```

Arguments

Υ	The outcome variable, an n*1 matrix where n is the number of individuals.
X	An n*d covariates matrix where d is the number of covariates.
id	The subject id. This is used to match phenotype with genotype. The default is NULL, where the matched phenotype and genotype matrices are assumed.
out_type	Type of outcome variable. Can be either "C" for continuous or "D" for dichotomous. The default is "C".
В	Number of resampling replicates. The default is 1000. A larger value leads to more accurate and stable p-value calculation, but requires more computing time.

Value

It returns a list used for function GeneScan1D(), GeneScan3D() and AR_KnockoffGeneration().

```
library(GeneScan3DKnock)

# Load data example
data("GeneScan3D.example")

# Y: outcomes, n by 1 matrix for n=2000 individuals

# X: covariates, n by d matrix for d=1 covariate
Y=GeneScan3D.example$Y; X=GeneScan3D.example$X;

# Preliminary data management
set.seed(12345)
result.null.model=GeneScan.Null.Model(Y, X, out_type="C", B=1000)
```

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GeneScan1D	Conduct GeneScan1D	analysis on the g	gene buffer region.

Description

This function conducts gene-based scan test on the gene buffer region using 1D windows with sizes 1-5-10 Kb.

Usage

```
GeneScan1D(
  G = G_gene_buffer,
  Z = Z_gene_buffer,
  window.size = c(1000, 5000, 10000),
  pos = pos_gene_buffer,
  MAC.threshold = 5,
  MAF.threshold = 0.01,
  Gsub.id = NULL,
  result.null.model = result.null.model
)
```

Arguments

G	The genotype matrix in the gene buffer region, which is a n*p matrix where n is the number of individuals and p is the number of genetic variants in the gene buffer region.
Z	A p*q functional annotation matrix where p is the number of genetic variants in the gene buffer region and a is the number of functional annotations. If Z is

in the gene buffer region and q is the number of functional annotations. If Z is NULL (do not incorporate any functional annotations), the minor allele frequency weighted dispersion and/or burden tests are applied. Specifically, Beta(MAF; 1; 25) weights are used for rare variants and weights one are used for common variants.

The 1-D window sizes in base pairs to scan the gene buffer region. The recomwindow.size

mended window sizes are c(1000,5000,10000).

pos The positions of genetic variants in the gene buffer region, an p dimensional

vector. Each position corresponds to a column in the genotype matrix and a row

in the functional annotation matrix.

MAC.threshold Threshold for minor allele count. Variants below MAC.threshold are ultra-rare

variants. The recommended level is 5.

MAF.threshold Threshold for minor allele frequency. Variants below MAF.threshold are rare

variants. The recommended level is 0.01.

Gsub.id The subject id corresponding to the genotype matrix, an n dimensional vector.

The default is NULL, where the matched phenotype and genotype matrices are

assumed.

result.null.model

The output of function "GeneScan.Null.Model()".

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Value

GeneScan1D.Cauchy.pvalue

Cauchy combination p-values of all, common and rare variants for GeneScan1D analysis.

М

Number of 1D scanning windows.

Examples

```
library(GeneScan3DKnock)
# Load data example
# Y: outcomes, n by 1 matrix for n=2000 individuals
# X: covariates, n by d matrix for d=1 covariate
# G_gene_buffer: genotype matrix of gene buffer region, n by p matrix, p=287 variants
# pos_gene_buffer: positions of p=287 genetic variants
\# Z_gene_buffer: p by q functional annotation matrix, q=1 functional annotation
data("GeneScan3D.example")
Y=GeneScan3D.example$Y; X=GeneScan3D.example$X;
G_gene_buffer=GeneScan3D.example$G_gene_buffer;
Z_gene_buffer=GeneScan3D.example$Z_gene_buffer;
pos_gene_buffer=GeneScan3D.example$pos_gene_buffer;
# Preliminary data management
set.seed(12345)
result.null.model=GeneScan.Null.Model(Y, X, out_type="C", B=1000)
#Conduct GeneScan1D analysis
result.GeneScan1D=GeneScan1D(G=G_gene_buffer,Z=Z_gene_buffer,pos=pos_gene_buffer,
                            window.size=c(1000,5000,10000),MAC.threshold=5,MAF.threshold=0.01,
                            result.null.model=result.null.model)
result.GeneScan1D$GeneScan1D.Cauchy.pvalue
```

GeneScan3D

Conduct GeneScan3D analysis on the gene buffer region, integrating promoter and R enhancers.

Description

This function conducts gene-based scan test on the gene buffer region, integrating proximal and distal regulatory elements for a gene, i.e., promoter and R enhancers.

Usage

```
GeneScan3D(
   G = G_gene_buffer,
   Z = Z_gene_buffer,
   G.promoter = G_promoter,
   Z.promoter = Z_promoter,
   G.EnhancerAll = G_EnhancerAll,
   Z.EnhancerAll = Z.EnhancerAll,
   R = length(p_EnhancerAll),
```

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```
p_Enhancer = p_EnhancerAll,
window.size = c(1000, 5000, 10000),
pos = pos_gene_buffer,
MAC.threshold = 5,
MAF.threshold = 0.01,
Gsub.id = NULL,
result.null.model = result.null.model
)
```

Arguments

Ζ

G	The genotype matrix in the gene buffer region, which is a n*p matrix where n
	is the number of individuals and p is the number of genetic variants in the gene
	buffer region.

A p*q functional annotation matrix, where p is the number of genetic variants in the gene buffer region and q is the number of functional annotations. If Z is NULL (do not incorporate any functional annotations), the minor allele frequency weighted dispersion and/or burden tests are applied. Specifically, Beta(MAF; 1; 25) weights are used for rare variants and weights one are used for common variants.

G. promoter The genotype matrix for promoter, which can be NULL, that is, do not integrate promoter.

Z. promoter The functional annotation matrix for promoter. Z. promoter can be NULL.

G. EnhancerAll The genotype matrix for R enhancers, by combining the genotype matrix of each

enhancer by columns.

 ${\tt Z.EnhancerAll} \quad \text{The functional annotation matrix for R enhancers, by combining the functional} \\$

annotation matrix of each enhancer by rows. Z.EnhancerAll can be NULL.

R Number of enhancers.

p_Enhancer Number of variants in R enhancers, which is a 1*R vector.

window.size The 1-D window sizes in base pairs to scan the gene buffer region. The recom-

mended window sizes are c(1000,5000,10000).

pos The positions of genetic variants in the gene buffer region, an p dimensional

vector. Each position corresponds to a column in the genotype matrix G and a

row in the functional annotation matrix Z.

MAC.threshold Threshold for minor allele count. Variants below MAC.threshold are ultra-rare

variants. The recommended level is 5.

MAF.threshold Threshold for minor allele frequency. Variants below MAF.threshold are rare

variants. The recommended level is 0.01.

Gsub.id The subject id corresponding to the genotype matrix, an n dimensional vector.

The default is NULL, where the matched phenotype and genotype matrices are

assumed.

result.null.model

The output of function "GeneScan.Null.Model()".

Value

GeneScan3D.Cauchy.pvalue

Cauchy combination p-values of all, common and rare variants for GeneScan3D analysis.

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M Number of 1D scanning windows.

minp Minimum p-values of all, common and rare variants for 3D windows.

RE_minp The regulartory elements in the 3D windows corresponding to the minimum p-

values, for all, common and rare variants. 0 represents promoter and a number

from 1 to R represents promoter and r-th enhancer.

```
library(GeneScan3DKnock)
# Load data example
# Y: outcomes, n by 1 matrix for n=2000 individuals
# X: covariates, n by d matrix for d=1 covariate
# G_gene_buffer: genotype matrix of gene buffer region, n by p matrix, p=287 variants
# pos_gene_buffer: positions of p=287 genetic variants
\# Z_gene_buffer: p by q functional annotation matrix, q=1 functional annotation
# G_promoter: 2000 by 6 genotype matrix of promoter
# Z_promoter: 6 by 1 functional annotation matrix of promoter
# G_EnhancerAll: 2000 by 86 genotype matrix of R=2 enhancers;
# Z_EnhancerAll: 86 by 1 functional annotation matrix of R=2 enhancers
# p_EnhancerAll: Number of variants for R=2 enhancers.
data("GeneScan3D.example")
Y=GeneScan3D.example$Y; X=GeneScan3D.example$X; n=length(Y)
G_gene_buffer=GeneScan3D.example$G_gene_buffer
G_promoter=GeneScan3D.example$G_promoter
G_EnhancerAll=cbind(GeneScan3D.example$G_Enhancer1,GeneScan3D.example$G_Enhancer2)
Z_gene_buffer=GeneScan3D.example$Z_gene_buffer
Z_promoter=GeneScan3D.example$Z_promoter
Z_EnhancerAll=rbind(GeneScan3D.example$Z_Enhancer1,GeneScan3D.example$Z_Enhancer2)
pos_gene_buffer=GeneScan3D.example$pos_gene_buffer
p_EnhancerAll=c(dim(GeneScan3D.example$G_Enhancer1)[2],dim(GeneScan3D.example$G_Enhancer2)[2])
# Preliminary data management
set.seed(12345)
result.null.model=GeneScan.Null.Model(Y, X, out_type="C", B=1000)
# Conduct GeneScan3D analysis
result.GeneScan3D=GeneScan3D(G=G_gene_buffer,Z=Z_gene_buffer,
                            G.promoter=G_promoter, Z.promoter=Z_promoter,
                            G.EnhancerAll=G_EnhancerAll, Z.EnhancerAll=Z_EnhancerAll,
                            R=2,p_Enhancer=p_EnhancerAll,
                            pos=pos_gene_buffer,
                            window.size=c(1000,5000,10000),MAC.threshold=5,MAF.threshold=0.01,
                            result.null.model=result.null.model)
result.GeneScan3D$GeneScan3D.Cauchy.pvalue
```

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GeneScan3DKnock	GeneScan3DKnock:	Knockoff-enhanced	gene-based test for ca	usal
	gene discovery (knoc	koff filter).		

Description

This function performs the knockoff filter, and computes the q-value for each gene. This function takes the results from the AR_KnockoffGeneration() function and get knockoff statistics and q-values.

Usage

```
GeneScan3DKnock(
    M = 5,
    p0 = GeneScan3DKnock.example$GeneScan3D.original,
    p_ko = cbind(GeneScan3DKnock.example$GeneScan3D.ko1,
        GeneScan3DKnock.example$GeneScan3D.ko2, GeneScan3DKnock.example$GeneScan3D.ko3,
        GeneScan3DKnock.example$GeneScan3D.ko4, GeneScan3DKnock.example$GeneScan3D.ko5),
    fdr = 0.1,
    gene_id = GeneScan3DKnock.example$gene.id
)
```

Arguments

М	Number of multiple knockoffs.
p0	A N-dimensional vector of the original GeneScan3D p-values, calculated using AR_KnockoffGeneration() function.
p_ko	$A\ N*M\ matrix\ of\ M\ knockoff\ GeneScan3D\ p-values,\ calculated\ using\ AR_Knockoff\ Generation()$ function.
fdr	The false discovery rate (FDR) threshold. The default is 0.1.
gene_id	The genes id for N genes considered in the analysis. Usually we consider N=~20,000 protein-coding genes.

Value

W The knockoff statistics for each gene.

Qvalue The q-values for each gene.

gene_sign Significant genes with q-values less then the fdr threshold.

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GeneScan3DKnock.example\$GeneScan3D.ko3,
GeneScan3DKnock.example\$GeneScan3D.ko4,
GeneScan3DKnock.example\$GeneScan3D.ko5),fdr = 0.1,
gene_id=GeneScan3DKnock.example\$gene.id)

result.GeneScan3DKnock\$W
result.GeneScan3DKnock\$Qvalue
result.GeneScan3DKnock\$gene_sign

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