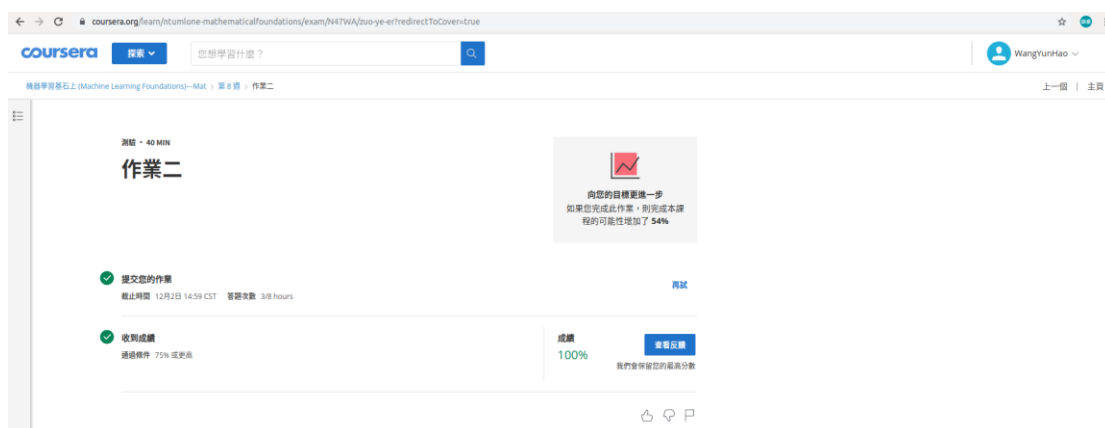
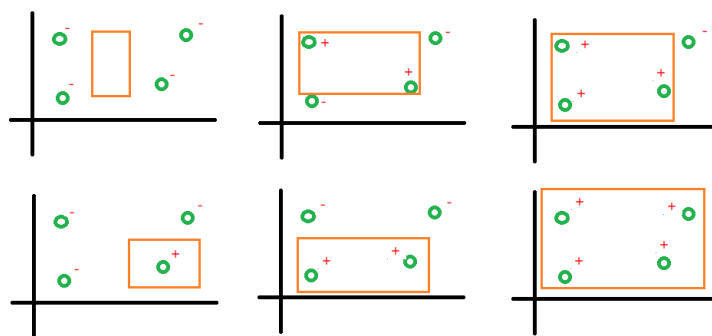


1.



2. An example 4-point set is shown below with all typical labels and the corresponding realization.

There exists a 4-point set shattered by the concept set, so we have $VC\text{-dim} \geq 4$.



3. $H = \{h_\alpha \mid h_\alpha(x) = \text{sign}(|\alpha x| \bmod 4 - 2) - 1, \alpha \in \mathbb{R}\}$

We can easily find that the output range of the hypothesis H set is bounded to $\{-1, 1\}$

Let $Y \in \{+1, -1\}^N$ be the output set and $X \in \mathbb{R}$ be the input set

For each $y \in Y$, we can always find a corresponding input set and an adjusting the α of $h \in H$ that $h_\alpha(x) = y$

$\therefore \{+1, -1\}^N$ combinations can be constructed

$\therefore H$ can shatter any N inputs. That means the VC-dimension of H is ∞ .

4. Prove that $dvc(H_1 \cap H_2) \leq dvc(H_1)$ where H_1 and H_2 that come with non-empty intersection

First, $\forall n < dvc(H_1 \cap H_2)$ that means any n inputs can be shattered by $H_1 \cap H_2$

$\therefore H_1 \cap H_2 \subseteq H_1$ and $H_1 \cap H_2 \neq \{\emptyset\}$

\therefore Any n inputs can be shattered by H_1 . That means $dvc(H_1 \cap H_2) \leq dvc(H_1)$

5. H_1 as the positive-ray hypothesis set

H_2 as the negative-ray hypothesis set

$$m_{H_1}(N) = N + 1 = m_{H_2}(N), \quad m_{H_1 \cup H_2}(N) = 2(N+1) - 2 = 2N$$

When $N=3$, $m_{H_1 \cup H_2}(N) = 2N \neq 2^N$. So the $dvc(H_1 \cup H_2)$ is 2.

6. $h_{s,\theta}(x) = s \cdot \text{sign}(x - \theta)$, with $\theta \in [-1, 1]$

Given a target function f that $P(x|y) = 0.8$ where $f(x)=y$ and $P(x|y) = 0.2$ where $f(x) \neq y$

Assume that h error rate is x

\therefore When $s=1$, $x = \text{abs}(\theta/2)$ otherwise $x = 1 - \text{abs}(\theta/2)$

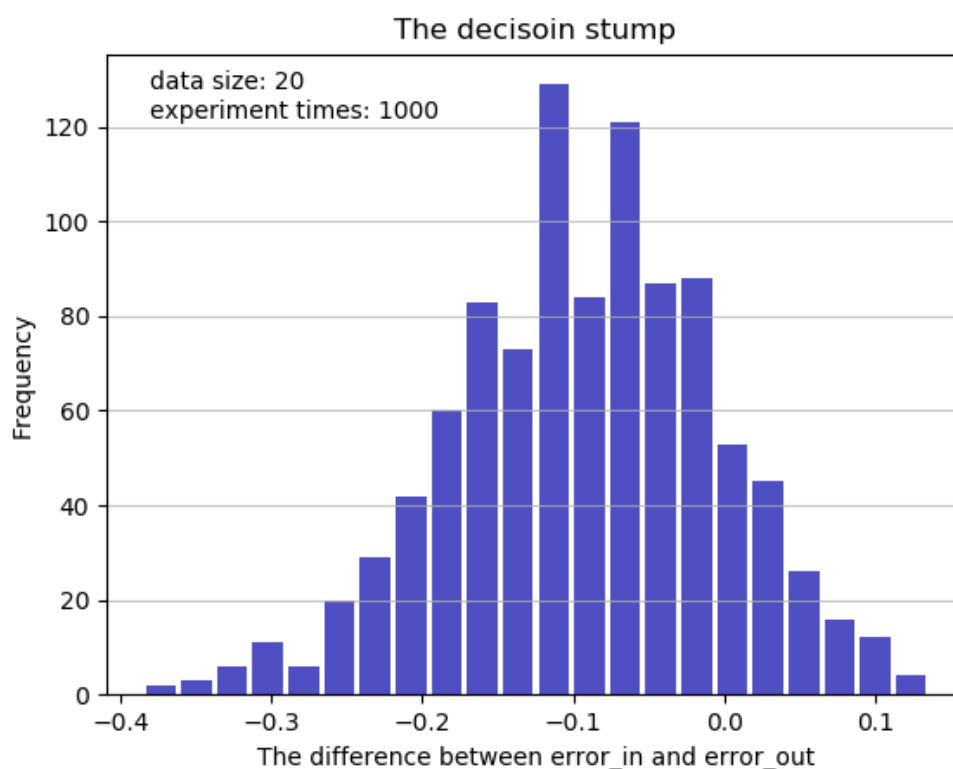
$\therefore s=1$, $E_{\text{out}} = 0.8 * \text{abs}(\theta/2) + 0.2(1 - \text{abs}(\theta/2)) = 0.2 + 0.3 \text{abs}(\theta)$

$s=-1$, $E_{\text{out}} = 0.2 * \text{abs}(\theta/2) + 0.8(1 - \text{abs}(\theta/2)) = 0.8 - 0.3 \text{abs}(\theta)$

$E_{\text{out}}(h_{s,\theta}) = 0.5 + 0.3s(\text{abs}(\theta) - 1)$

7.

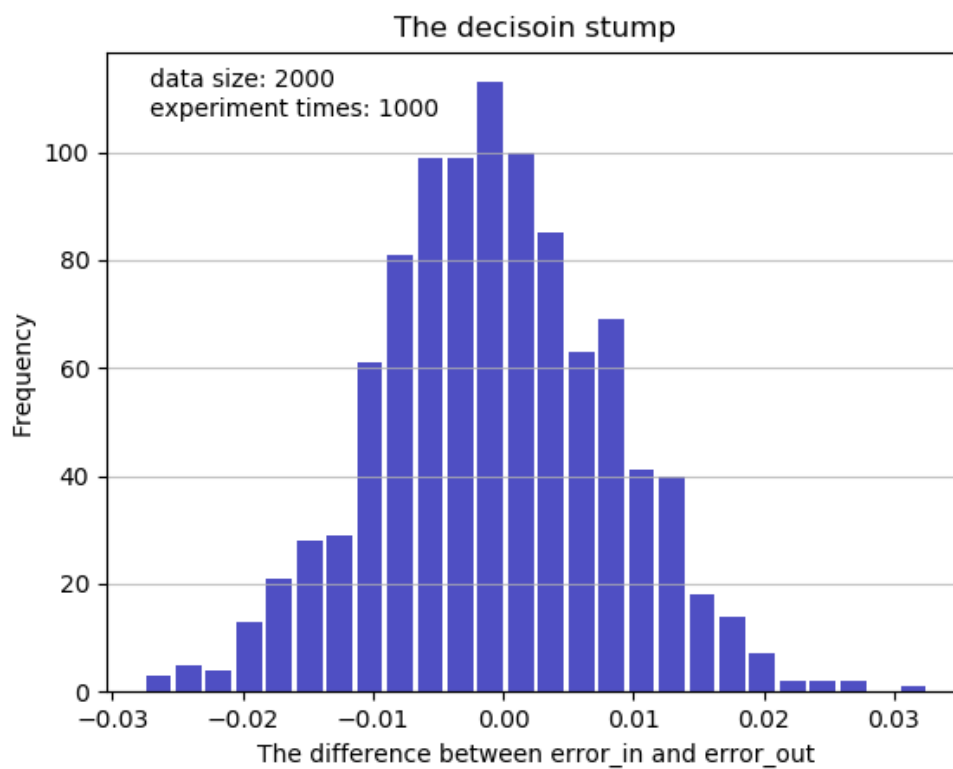
```
PS C:\Users\Wangyunhao\Desktop\MachineLearning\hw2> python .\Q7_8.py 20 1000
average_error_in: 0.1707500000000001
average_error_out: 0.26418036467368383
```



I find that the smaller data size and the larger difference between average error in and average error out. The data size affects the hoeffding inequality and that means the bad sample happened with higher probability.

8.

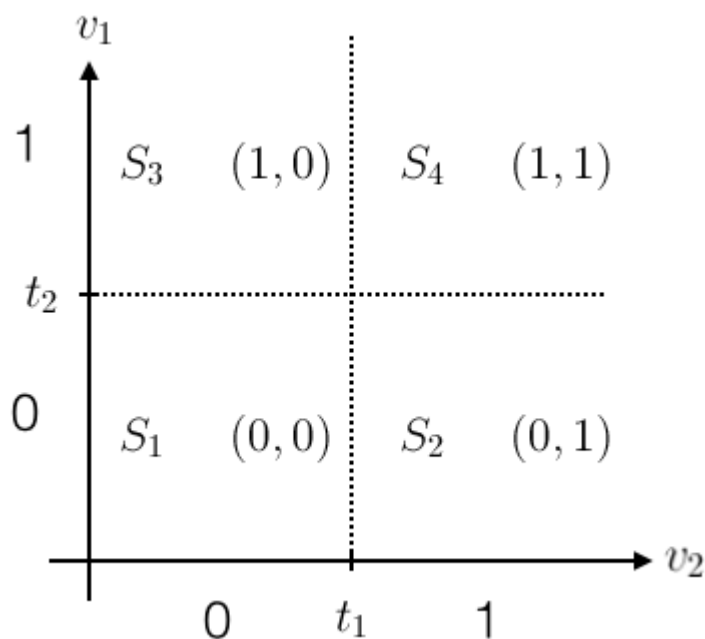
```
PS C:\Users\Wangyunhao\Desktop\MachineLearning\hw2> python .\Q7_8.py 2000 1000
average error_in: 0.19997299999999932
average error_out: 0.20070398444370932
```



I find that the difference between average error in and error out gets closer with the increasing of the data size. That proves the correctness of the hoeffding inequality.

9. $H = \{h_{t,s} \mid h_{t,s}(x) = 2[\sum_{i=1}^d [x_i > t_i]] - 1, \text{ where } v_i = [x_i > t_i], S \text{ a collection of vectors in } \{0,1\}^d, t \in \mathbb{R}^d\}$

What is the VC-dimension of the "simplified decision trees" hypothesis set?



我們將二維度上的 threshold 值 t_i 與各向量轉換到二維平面上，上面二维的例子中，simplified decision trees 的 Dvc 與 hyper-rectangular regions 的數量相等。D 維向量可以用 D 條直線最多分割出 2^D 個 hyper-rectangular regions，代表說可以 shatter 掉 2^d 個點。

\therefore VC-dimension of the "simplified decision trees" is 2^d .