

# CS 325 Spring 2019, Homework 7

May 24, 2019

1. Let  $X$  and  $Y$  be two decision problems. Suppose we know that  $X$  reduces to  $Y$  in polynomial time. Which of the following can we infer? Explain.

- **If  $Y$  is NP-complete then so is  $X$ .**

False.  $X$  can either be NP or NP-complete.  $X$  is NP-complete if and only if all other problems in NP reduces to  $X$ .

- **If  $X$  is NP-complete then so is  $Y$ .**

False. If  $X$  is not verifiable in polynomial time then  $X$  is NP-hard.

- **If  $Y$  is NP-complete and  $X$  is in NP then  $X$  is NP-complete.**

False.  $X$  can still be in NP and not NP-complete if not all problems in NP reduces to  $X$ .

- **If  $X$  is NP-complete and  $Y$  is in NP then  $Y$  is NP-complete.**

True. This is the definition of NP-complete.

- **If  $X$  is in P, then  $Y$  is in P.**

False. If  $Y$  is in NP then  $X$  still reduces to  $Y$ .

- **If  $Y$  is in P, then  $X$  is in P.**

True. If  $X$  reduces to  $Y$ , then  $X$  is no more difficult than  $Y$ .

2. Consider the problem COMPOSITE:

**"Given an integer  $y$ , does  $y$  have any factors other than one and itself?"**

For this exercise, you may assume that COMPOSITE is in NP, and you will be comparing it to the well-known NP-complete problem SUBSET-SUM:

**"Given a set  $S$  of  $n$  integers and an integer target  $t$ , is there a subset of  $S$  whose sum is exactly  $t$ ?"**

Clearly explain whether or not each of the following statements follows from that fact that COMPOSITE is in NP and SUBSET-SUM is NP-complete:

- **SUBSET-SUM  $\leq_p$  COMPOSITE**

This statement is False because COMPOSITE is not necessarily NP-complete just because it is in NP. If we can show that SUBSET-SUM reduces to COMPOSITE in polynomial time then this statement is True.

- **If there is an  $O(n^3)$  algorithm for SUBSET-SUM then there is a polynomial time algorithm for COMPOSITE**

Because SUBSET-SUM is NP-complete and COMPOSITE is in NP, then COMPOSITE reduces to SUBSET-SUM in polynomial time. Since there is a polynomial algorithm for SUBSET-SUM then there must be a polynomial time algorithm for COMPOSITE.

- **If there is a polynomial algorithm for COMPOSITE, then  $P = NP$ .**

This statement is only True if COMPOSITE is NP-complete. So far it has not been proven that COMPOSITE is NP-complete.

- **If  $P \neq NP$ , then no problem in NP can be solved in polynomial time.**

If  $P \neq NP$ , some problems in NP may still be solvable in polynomial time. The only conclusion you can draw is that no NP-complete problems can be solved in polynomial time.

3. A Hamiltonian path in a graph is a simple path that visits every vertex exactly once. Prove that  $\text{HAM-PATH} = \{(G, u, v) : \text{there is a Hamiltonian path from } u \text{ to } v \text{ in } G\}$  is NP-complete. You may use the fact that HAM-CYCLE is NP-complete.

In order to prove that HAM-PATH is NP-complete, we need to show that,

- **HAM-PATH  $\in$  NP**

Given a list of vertices, we can verify that it is a hamiltonian path in  $G$  by

- Checking that we only visit each vertices only once.
- Checking that there is an edge going from one vertex to the next vertex.

This can be done in polynomial time.

- **HAM-PATH  $\in$  NP-hard**

We can show that,

$$\text{HAM-CYCLE} \leq_p \text{HAM-PATH}$$

where HAM-CYCLE  $\in$  NP-complete.

A Hamiltonian cycle is simply a Hamiltonian path that ends in one of the adjacent vertex of the source vertex  $u$ . Let  $G = (V, E)$  be an instance of HAM-CYCLE. We construct an instance of HAM-PATH as follows,

- Let  $u$  be an arbitrary vertex in graph  $G$ .
- Let  $v$  be an adjacent vertex of  $u$ .

The instance of HAM-PATH is then  $\langle G, u, \text{adj}(u) \rangle$  which is easily formed in polynomial time.

We now show that graph  $G$  has a Hamiltonian cycle if and only if graph  $G$  has a Hamiltonian path from  $u$  to  $\text{adj}(u)$ .

Suppose graph  $G$  has a Hamiltonian cycle  $h$ ,

- Then, by removing the final path from  $\text{adj}(u)$  to  $u$ , we have a Hamiltonian path from  $u$  that ends in  $\text{adj}(u)$ .

Suppose graph  $G$  has a Hamiltonian path from  $u$  to  $\text{adj}(u)$ ,

- Then, by adding a path from  $\text{adj}(u)$  to  $u$ , we get a Hamiltonian cycle.

**Thus, HAM-PATH is NP-complete.**

4. K-COLOR. Given a graph  $G = (V, E)$ , a  $k$ -coloring is a function  $c : V \rightarrow \{1, 2, \dots, k\}$  such that  $c(u) \neq c(v)$  for every edge  $(u, v) \in E$ . In other words the number  $1, 2, \dots, k$  represent the  $k$  colors and adjacent vertices must have different colors. The decision problem K-COLOR asks if a graph can be colored with at most  $K$  colors.

- The 2-COLOR decision problem is in P. Describe an efficient algorithm to determine if a graph has a 2-coloring. What is the running time of your algorithm?

For the 2-COLOR decision problem, we can use a Breadth-First Search.

```
BIPARTITE(G, V, src)
    let color[1..V] be a new array
    color[1..V] = -1
    color[src] = 1
    q = queue()
    q.append(src)
    while q is not empty:
        u = q.pop()
        for v in adj(u):
            if color[v] == -1:
                color[v] = 1 - color[u]
                q.append(v)
            elif color[v] == color[u]:
                return False
    return color
```

The running time of the algorithm is  $O(V + E)$ .

- It is known that the 3-COLOR decision problem is NP-complete by using a reduction from SAT. Use the fact that 3-COLOR is NP-complete to prove that 4-COLOR is NP-complete.

In order to prove that 4-COLOR is NP-complete, we need to show that,

– **4-COLOR  $\in$  NP**

Given a function  $c : V \rightarrow \{1, 2, 3, 4\}$ , we can verify that it is a solution to 4-COLOR by:

- \* Checking that  $c$  has  $\leq 4$  colors.
- \* For each node  $u$  in graph  $G$ , check that  $c(u)$  has a different color than its neighbors  $c(\text{adj}(u))$ .

This can be done in polynomial time.

– **HAM-PATH  $\in$  NP-hard**

We can show that,

$$3\text{-COLOR} \leq_p 4\text{-COLOR}$$

where 3-COLOR  $\in$  NP-COMplete

The reduction maps a graph  $G$  into a new graph  $G'$  such that  $G \in 3\text{-COLOR}$  if and only if  $G' \in 4\text{-COLOR}$ . We do so by adding a new node  $y$  and connecting  $y$  to each node in  $G$  to form  $G'$ .

If  $G$  is 3-colorable, then  $G'$  can be 4-colored exactly as  $G$  with  $y$  being the only node colored with the additional color. Similarly, if  $G'$  is 4-colorable, then we know that node  $y$  must be the only node of its color - this is because it is connected to every other node in  $G'$ . Thus, we know that  $G$  must be 3-colorable.

This reduction takes linear time to add a single node and  $|V|$  edges where  $|V|$  is the number of vertices in  $G$ .

**Since 4-COLOR is in NP and NP-hard, we know it is NP-complete.**