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2022-10-18

These notes are not comprehensive, and do not cover the entire lecture. They are provided as an aid to students, but are not a replacement for watching the lecture video, taking notes, and participating in class discussions. Any distribution, posting or publication of these notes outside of class (for example, on a public web site) requires my prior approval.



#### **Administrative notes**

Coding Assignment 3 will be graded soon

Coding Assignment 4 due October 25

Submit early, submit often

Presentation:	<b>Due Date</b>	Task
	October 18	Article selection
	October 27	Presentation slides
	November 1–10	Presentations

Project:	Due Date	Task
	November 3	Project status report
	Nov 29/Dec 1	Poster presentations (in class)
	December 1	Final report
	December 3	Self-evaluation and peer grading



### **Article presentations**

#### 7 minutes, 7 slides

- Practice to fit in time slot
- Invlove entire team

On Zoom Sign-up sheet available



Use slides, but talk about research, not slides

The audience has not read the article

- Assume no prior knowledge of the topic
- Explain new methods or techniques

#### Concentrate on main idea

- Explain necessary details, but don't get bogged down
- Explain the linguistic aspects

Include your own critical evaluation



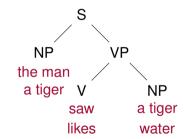
# **Syntax**



Structure of sentences

Context-Free Grammar (one grammatical formalism)

$$\label{eq:context-free} \begin{split} \text{Context-free rules} \\ \text{S} &\rightarrow \text{NP VP} \\ \text{VP} &\rightarrow \text{V NP} \end{split}$$



## Other grammar formalisms



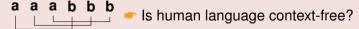
Regular grammars (not very expressive)

Cannot capture center-embedding dependencies

Tree-Adjoining Grammars
Combinatory Categorial Grammars

\*mildly context sensitive\*

Can capture cross-serial dependencies



Dependency grammars



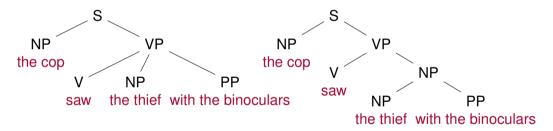
# **Ambiguity**



A context-free grammar can produce ambiguous sentences:

#### The cop saw the thief with the binoculars

### attachment ambiguity





# The parsing problem



- Find possible structures according to the grammar
- Find the most likely parse
- Charniak argues against linguistic grammaticality, not against formal grammaticality

Chart parsing (Earley, CYK, etc.):  $O(n^3)$ 

CYK algorithm: bottom-up

• Chomsky Normal Form: all rules of form  $X \to Y Z$  or  $X \to word$ 





 $\begin{array}{lll} \text{S} \rightarrow \text{NP VP} & \text{NP} \rightarrow \text{United} \\ \text{VP} \rightarrow \text{VP NP} & \text{NP} \rightarrow \text{flights} \\ \text{VP} \rightarrow \text{VP PP} & \text{NP} \rightarrow \text{Houston} \\ \text{NP} \rightarrow \text{NP PP} & \text{VP} \rightarrow \text{diverted} \\ \end{array}$ 

 $PP \rightarrow P \ NP \qquad P \rightarrow to$ 

United diverted flights to Houston



 $\begin{array}{lll} \mathsf{S} \to \mathsf{NP} \ \mathsf{VP} & \mathsf{NP} \to \mathsf{United} \\ \mathsf{VP} \to \mathsf{VP} \ \mathsf{NP} & \mathsf{NP} \to \mathsf{flights} \\ \mathsf{VP} \to \mathsf{VP} \ \mathsf{PP} & \mathsf{NP} \to \mathsf{Houston} \\ \mathsf{NP} \to \mathsf{NP} \ \mathsf{PP} & \mathsf{VP} \to \mathsf{diverted} \end{array}$ 

 $PP \rightarrow P \ NP \qquad P \rightarrow to$ 

NP VP NP P NP United diverted flights to Houston



```
S \rightarrow NP VP
                     NP → United
                    NP → flights
VP \rightarrow VP NP
                    NP → Houston
VP \rightarrow VP PP
NP \rightarrow NP PP
                    VP → diverted
PP \rightarrow P NP
                     P \rightarrow to
                                    NP
                                               VP
                                                         NP
                                                                              NP
                                  United diverted
                                                       flights
                                                                           Houston
                                                                     to
```



```
S \rightarrow NP VP
                     NP → United
                    NP → flights
VP \rightarrow VP NP
                    NP → Houston
VP \rightarrow VP PP
NP \rightarrow NP PP
                    VP → diverted
PP \rightarrow P NP
                     P \rightarrow to
                                    NP
                                               VP
                                                         NP
                                                                              NP
                                  United diverted
                                                       flights
                                                                           Houston
                                                                     to
```



 $S \rightarrow NP VP$ NP → United NP → flights  $VP \rightarrow VP NP$ NP → Houston  $VP \rightarrow VP PP$  $NP \rightarrow NP PP$ VP → diverted  $\mathsf{PP} \to \mathsf{P} \; \mathsf{NP}$  $P \rightarrow to$ NP VP NP NP United diverted flights Houston to



 $\mathsf{S} \to \mathsf{NP} \; \mathsf{VP}$ NP → United NP → flights  $VP \rightarrow VP NP$ NP → Houston  $VP \rightarrow VP PP$  $NP \rightarrow NP PP$ VP → diverted  $\mathsf{PP} \to \mathsf{P} \; \mathsf{NP}$  $P \rightarrow to$ NP VΡ NP NP United diverted flights Houston to



 $\mathsf{S} \to \mathsf{NP} \; \mathsf{VP}$ NP → United NP → flights  $VP \rightarrow VP NP$ NP → Houston  $VP \rightarrow VP PP$  $NP \rightarrow NP PP$ VP → diverted NP  $PP \rightarrow P NP$  $P \rightarrow to$ PP NP VΡ NP Р NP United diverted flights Houston to

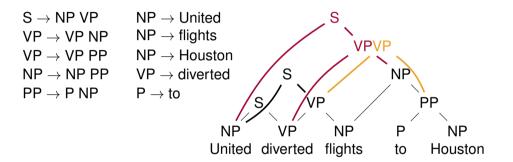


 $\mathsf{S} \to \mathsf{NP} \; \mathsf{VP}$  $NP \rightarrow United$ NP → flights  $VP \rightarrow VP NP$ **VP** NP → Houston  $VP \rightarrow VP PP$  $NP \rightarrow NP PP$ VP → diverted NP  $\mathsf{PP} \to \mathsf{P} \; \mathsf{NP}$  $P \rightarrow to$ PP NP VΡ NP Р NP United diverted flights Houston to

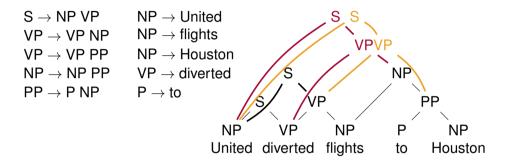


 $\mathsf{S} \to \mathsf{NP} \; \mathsf{VP}$ NP → United NP → flights  $VP \rightarrow VP NP$ **VPVP** NP → Houston  $VP \rightarrow VP PP$  $NP \rightarrow NP PP$ VP → diverted NP  $PP \rightarrow P NP$  $P \rightarrow to$ PP NP VΡ NP Р NP United diverted flights Houston to









### Bottom-up or top-down?



CYK is a **bottom-up algorithm**: it finds potential constituents that can never fit into the full parse of the sentence

A **top-down algorithm** only predicts constituents that fit... but it predicts constituents that don't match the data

At the end of class today (if we have time): top-down agenda-based algorithm (bottom-up version also exists)



### Statistical parsing



How do we find the most likely parse?

- United [VP [VP diverted flights] [PP to Houston]]
- United [VP diverted [NP flights [PP to Houston]]]

Probabilistic CFG: probabilities for rules

$$P(S \rightarrow NP \ VP)$$
  $P(VP \rightarrow VP \ NP)$ 

$$P(VP \rightarrow VP PP) \quad P(NP \rightarrow NP PP)$$

Words matter too: I ate the animal crackers
I fed the animal crackers

More detailed symbols in the grammar:  $V_{\text{transitive}} \rightarrow \text{ate}$ 

 $V_{\text{ditransitive}} \rightarrow \text{fed}$ 

Or go fully lexical



#### **Lexicalized PCFG**



#### I ate the animal crackers

#### I fed the animal crackers

### $P(\text{rule} \mid \text{head})$ A superscript <sup>(h)</sup> indicates the head constituent

$$\begin{array}{ll} \textit{P}(\mathsf{VP} \to \mathsf{VP}^{(h)} \; \mathsf{NP} \; | \; \mathsf{VP}^{(h)} = \mathsf{ate}) & \textit{P}(\mathsf{VP} \to \mathsf{VP}^{(h)} \; \mathsf{NP} \; | \; \mathsf{VP}^{(h)} = \mathsf{fed}) \\ \textit{P}(\mathsf{VP} \to \mathsf{VP}^{(h)} \; \mathsf{NP} \; \mathsf{NP} \; | \; \mathsf{VP}^{(h)} = \mathsf{ate}) & \textit{P}(\mathsf{VP} \to \mathsf{VP}^{(h)} \; \mathsf{NP} \; \mathsf{NP} \; | \; \mathsf{VP}^{(h)} = \mathsf{fed}) \end{array}$$

#### *P*(head | head of parent)

$$P(\text{crackers} \mid \text{parent} = \text{ate})$$
  $P(\text{crackers} \mid \text{parent} = \text{fed})$   $P(\text{animal} \mid \text{parent} = \text{fed})$ 



## **Dependency grammars**



#### A different grammatical theory

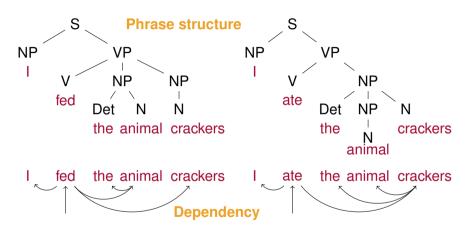
- No phrases
- Dependencies = relations between words

head ----- dependent

"Head" notion different from phrase-structure grammars

### Phrase structures and dependency structures







### **Heads in dependency structures**







# **Dependency parsing**



Arc-standard parsing (shift-reduce)

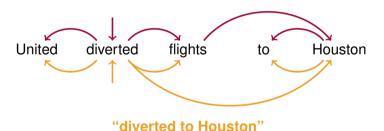
- Linear time
- Non-exhaustive search
- Use heuristics for finding the best path (trained oracle)
- Greedy

Other approaches (beam search, etc.)



## **Dependency parsing ambiguity**







Stack	Word list	Action	Relations
root	United, diverted, flights, to	, Houston	



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston		



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston		



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston		



Stack	Word list	Action	Relations
root root, United	United, diverted, flights, to, Houston diverted, flights, to, Houston	Shift Shift	
root, United, diverted root, diverted root, diverted, flights	flights, to, Houston flights, to, Houston to, Houston	LeftArc Shift	United ← diverted



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift LeftArc	United ← diverted
root, United, diverted root, diverted	flights, to, Houston flights, to, Houston	Shift	Officea — diverted
root, diverted, flights	to, Houston	Shift	
root, diverted, flights, to	Houston		



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	Shift	
root, diverted, flights, to	Houston	Shift	
root, diverted, flights, to, Houston			



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	Shift	
root, diverted, flights, to	Houston	Shift	
root, diverted, flights, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, flights, Houston			



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	Shift	
root, diverted, flights, to	Houston	Shift	
root, diverted, flights, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, flights, Houston		RightArc	flights → Houston
root, diverted, flights		-	-



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	Shift	
root, diverted, flights, to	Houston	Shift	
root, diverted, flights, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, flights, Houston		RightArc	$flights \to Houston$
root, diverted, flights		RightArc	diverted → flights
root, diverted		Ü	J





Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	Shift	
root, diverted, flights, to	Houston	Shift	
root, diverted, flights, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, flights, Houston		RightArc	$flights \to Houston$
root, diverted, flights		RightArc	diverted → flights
root, diverted		RightArc	$root \to diverted$
root		ŭ	





Stack	Word list	Action	Relations
root, United root, United, diverted root, diverted root, diverted, flights	United, diverted, flights, to, Houston diverted, flights, to, Houston flights, to, Houston flights, to, Houston to, Houston	Shift Shift LeftArc Shift	$United \leftarrow diverted$



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	RightArc	$diverted \to flights$
root, diverted	to, Houston		



Stack	Word list	Action	Relations
root root, United root, United, diverted	United, diverted, flights, to, Houston diverted, flights, to, Houston flights, to, Houston	Shift Shift LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights root, diverted root, diverted, to	to, Houston to, Houston Houston	Shift	$diverted \to flights$



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	RightArc	$diverted \to flights$
root, diverted	to, Houston	Shift	
root, diverted, to	Houston	Shift	
root, diverted, to, Houston			



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	RightArc	$diverted \to flights$
root, diverted	to, Houston	Shift	
root, diverted, to	Houston	Shift	
root, diverted, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, Houston			



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	$United \leftarrow diverted$
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	RightArc	$diverted \to flights$
root, diverted	to, Houston	Shift	
root, diverted, to	Houston	Shift	
root, diverted, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, Houston		RightArc	$\text{diverted} \rightarrow \text{Houston}$
root, diverted			



Stack	Word list	Action	Relations
root	United, diverted, flights, to, Houston	Shift	
root, United	diverted, flights, to, Houston	Shift	
root, United, diverted	flights, to, Houston	LeftArc	United $\leftarrow$ diverted
root, diverted	flights, to, Houston	Shift	
root, diverted, flights	to, Houston	<b>RightArc</b>	$diverted \to flights$
root, diverted	to, Houston	Shift	
root, diverted, to	Houston	Shift	
root, diverted, to, Houston		LeftArc	$to \leftarrow Houston$
root, diverted, Houston		RightArc	$\text{diverted} \rightarrow \text{Houston}$
root, diverted		RightArc	$root \to diverted$
root		-	

