

(Conway) Cauchy's Theorem (Third Version) Let  $f$  be analytic in a region  $G$  and let  $\gamma_0, \gamma_1 : [0, 1] \rightarrow G$  be closed piecewise  $C^1$  paths such that  $\gamma_0 \sim_G \gamma_1$ . Then  $\int_{\gamma_0} f(z)dz = \int_{\gamma_1} f(z)dz$ .

**Proof:**

Let  $\Gamma : [0, 1]^2 \rightarrow G$  be the homotopy.

Note that a difficulty with proving this theorem is that  $\gamma_t(s) := \Gamma(s, t)$  is not guaranteed to be piecewise  $C^1$  for any  $t \neq 0, 1$ .

Since  $\Gamma$  is continuous and  $[0, 1]^2$  is compact, we know that  $\Gamma([0, 1]^2)$  is compact in  $G$ . It follows that  $\varepsilon = \inf\{|x - y| : x \in \Gamma([0, 1]^2), y \in \mathbb{C} - G\} > 0$ . It also follows that  $\Gamma$  is uniformly continuous. And by uniform continuity we can find  $n$  such that given any square  $I_{j,k} := [\frac{j}{n}, \frac{j+1}{n}] \times [\frac{k}{n}, \frac{k+1}{n}]$  we have that  $\Gamma(I_{j,k}) \subseteq B_\varepsilon(z_{j,k}) \subseteq G$  for all  $j, k \in \{0, \dots, n-1\}$  where  $z_{j,k} := \Gamma(\frac{j}{n}, \frac{k}{n})$ .

Now we approximate  $\gamma_t(s) := \Gamma(s, t)$  where  $t = \frac{k}{n}$  by taking the closed polygonal path  $P_k = [z_{0,k}, z_{1,k}] + \dots + [z_{n-1,k}, z_{n,k}]$ . Note that  $[z_{j,k}, z_{j,k+1}] \subseteq B_\varepsilon(z_{j,k})$  for all  $j, k$ . Hence,  $\{P_k\} \subseteq G$  for each  $k$  (meaning we can integrate  $f$  along these paths). Our claim is that:

$$\int_{\gamma_0} f dz = \int_{P_0} f dz = \int_{P_1} f dz = \dots = \int_{P_n} f dz = \int_{\gamma_1} f dz$$

Part 1:  $\int_{\gamma_0} f dz = \int_{P_0} f dz$  and  $\int_{\gamma_1} f dz = \int_{P_n} f dz$ .

The proof of both equalities is the same so I'll focus on the first equation. Let  $\gamma_0^{(j)}$  be the restriction of  $\gamma$  to  $[\frac{j}{n}, \frac{j+1}{n}]$ . Then after some rearranging we get that:

$$\int_{\gamma_0} f dz - \int_{P_0} f dz = \sum_{j=0}^{n-1} (\int_{\gamma_0^{(j)}} f dz + \int_{[z_{j+1,0}, z_{j,0}]} f dz)$$

But note that  $\gamma_0^{(j)}$  starts and ends at  $z_{j,0}$  and  $z_{j+1,0}$  respectively. Thus  $\gamma_0^{(j)} + [z_{j+1,0}, z_{j,0}]$  is a closed  $C^1$  path. And as  $\{\gamma_0^{(j)}\} \subseteq \Gamma(I_{j,k}) \subseteq B_\varepsilon(z_{j,0})$ , we know that the trace of  $\gamma_0^{(j)} + [z_{j+1,0}, z_{j,0}]$  is contained in a convex disc contained in  $G$ . So by Cauchy's theorem, we have that  $(\int_{\gamma_0^{(j)}} f dz + \int_{[z_{j+1,0}, z_{j,0}]} f dz) = \int_{\gamma_0^{(j)} + [z_{j+1,0}, z_{j,0}]} f dz = 0$  for all  $j$ .

Part 2:  $\int_{P_k} f dz = \int_{P_{k+1}} f dz$  for all  $k$ .

Note that the polygon  $Q_{j,k} := [z_{j,k}, z_{j+1,k}, z_{j+1,k+1}, z_{j,k+1}, z_{j,k}] \subseteq B_\varepsilon(z_{j,k}) \subseteq G$  for all  $j, k$ . And as  $B_\varepsilon(z_{j,k})$  is convex, we thus know by Cauchy's theorem that:

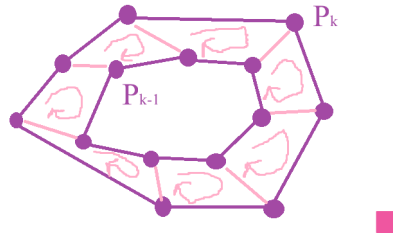
$$\int_{Q_{j,k}} f dz = 0 \text{ for all } j, k.$$

But now note that after some rearranging we have that:

$$\begin{aligned} \int_{P_k} f dz - \int_{P_{k+1}} f dz &= \int_{[z_{n,k}, z_{n,k+1}]} f dz - \int_{[z_{0,k}, z_{0,k+1}]} f dz + \sum_{j=1}^{n-1} \int_{Q_{j,k}} f dz \\ &= \int_{[z_{n,k}, z_{n,k+1}]} f dz - \int_{[z_{0,k}, z_{0,k+1}]} f dz + 0 \end{aligned}$$

But as  $\Gamma(1, t) = \Gamma(0, t)$  for all  $t \in [0, 1]$  we know that  $z_{0,k} = z_{n,k}$  and  $z_{0,k+1} = z_{n,k+1}$ . Therefore,  $\int_{[z_{n,k}, z_{n,k+1}]} f dz - \int_{[z_{0,k}, z_{0,k+1}]} f dz = 0$  as well.

Here is a picture to hopefully help describe this part:



Corollary: If  $\gamma : [0, 1] \rightarrow G$  is a closed piecewise  $C^1$  curve and  $\gamma \sim_G 0$ , then  $n(\gamma; a) = 0$  for all  $a \in \mathbb{C} - G$ .

Proof:

Just apply the previous theorem to the function  $f(z) = \frac{1}{2\pi i(z-a)}$ . Then as any path integral along a constant curve always evaluates to zero, we are done.

(Conway) Cauchy's Theorem (Second Version) If  $f : G \rightarrow \mathbb{C}$  is an analytic function and  $\gamma$  is closed  $C^1$  curve in  $G$  with  $\gamma \sim_G 0$ , then  $\int_\gamma f = 0$ .

Proof:

Apply Cauchy's integral theorem plus the last corollary.

Corollary: If  $G \subseteq \mathbb{C}$  is open and simply connected, then  $\int_\gamma f dz = 0$  for any closed piecewise  $C^1$  curve  $\gamma$  in  $G$  and analytic function  $f$  on  $G$ .

Munkres definition of being path homotopic (see [page 117](#)) is equivalent to Conway's definition of being Fixed-End-Point (F.E.P.) homotopic. Note that if  $\gamma_1$  and  $\gamma_2$  are closed curves rooted at the same point, then  $\gamma_1, \gamma_2$  being F.E.P. homotopic implies  $\gamma_1 \sim_G \gamma_2$ . Also note that if  $\gamma_1$  and  $\gamma_2$  are F.E.P. homotopic then  $\gamma_1 + (-\gamma_2)$  is F.E.P. homotopic to a constant curve. In turn, we get the following theorem:

Independence of Path Theorem: If  $\gamma_0, \gamma_1$  are two piecewise  $C^1$  curves in an open set  $G \subseteq \mathbb{C}$  from  $a$  to  $b$  and  $\gamma_0 \sim_G \gamma_1$ , then  $\int_{\gamma_0} f = \int_{\gamma_1} f$  for any analytic function  $f$  on  $G$ .

Proof:

$$\int_{\gamma_0} f dz - \int_{\gamma_1} f dz = \int_{\gamma_0 + (-\gamma_1)} f dz = 0 \text{ by the last corollary.}$$

When  $G$  is simply connected (so that all curves in  $G$  from a point  $a$  to a point  $b$  are path homotopic), we thus have that  $\int_\gamma f$  depends only on the endpoints of  $\gamma$  and not on the particular path taken. This has the following consequences:

Theorem: If  $G$  is simply connected then every analytic function  $f$  has a primitive  $F$ .

Proof:

Fix  $a \in G$  and then for every  $z \in G$  define  $F(z) = \int_{\gamma_z} f dw$  where  $\gamma_z$  is any piecewise  $C^1$  curve from  $a$  to  $z$ .

Recall from [theorem II.2.3](#) on page 247 that if  $G$  is connected then we can always find a polygonal path in  $G$  going between any two points of  $G$ . Thus, we don't need to worry about if a piecewise  $C^1$  curve from  $a$  to  $z$  exists.

We claim  $F$  is a primitive of  $f$ . After all, given any fixed  $z_0$ , let  $r > 0$  be such that  $B_r(z_0) \subseteq G$ . Now by the corollary following Cauchy's theorem (second version), since  $\gamma_z + [z, z_0] + (-\gamma_{z_0})$  is a closed piecewise  $C^1$  curve in  $G$  for any arbitrary piecewise  $C^1$  curves  $\gamma_z$  and  $\gamma_{z_0}$  in  $G$  going from  $a$  to  $z$  and  $z_0$  respectively, we know that:

$$F(z) + \int_{[z, z_0]} f dw - F(z_0) = 0 \text{ for all } z \in B_r(z_0).$$

In other words,  $\frac{F(z)-F(z_0)}{z-z_0} = \frac{1}{z-z_0} \int_{[z_0, z]} f(w) dw$ . Then after subtracting  $f(z_0)$  from both sides we get that:

$$\frac{F(z)-F(z_0)}{z-z_0} - f(z_0) = \frac{1}{z-z_0} \int_{[z_0, z]} f(w) - f(z_0) dw$$

Finally, since  $f$  is continuous at  $z_0$ , we know for any  $\varepsilon > 0$  that there exists  $0 < \delta < r$  such that when  $|w - z_0| < \delta$  then  $|f(w) - f(z_0)| < \varepsilon$ . In turn, for all  $z \in B_\delta(z_0)$  we have that:

$$\left| \frac{F(z)-F(z_0)}{z-z_0} - f(z_0) \right| \leq \frac{1}{|z-z_0|} \int_{[z_0, z]} |f(w) - f(z_0)| dw < \frac{1}{|z-z_0|} \cdot |z_0 - z| \varepsilon = \varepsilon.$$

This proves that  $F$  is differentiable at  $z_0$  with  $F'(z_0) = f(z_0)$ . ■

**Theorem:** If  $G \subseteq \mathbb{C}$  is simply connected and  $f$  is an analytic nowhere vanishing function in  $G$ , then there exists a branch of  $\log(f)$  on  $G$  (i.e. an analytic function  $g$  on  $G$  such that  $e^{g(z)} = f(z)$ ).

**Proof:**

Since  $f \neq 0$  in  $G$ , we know  $\frac{f'}{f}$  is analytic on  $G$ . Hence by the prior theorem there exists  $g : G \rightarrow \mathbb{C}$  such that  $g'_1 = \frac{f'}{f}$ .

Next, pick  $z_0 \in G$  and  $w_0 \in \mathbb{C}$  such that  $f(z_0) = e^{w_0}$ . Since  $g$  will still be a primitive even after adding a constant, we can without loss of generality assume  $g(z_0) = w_0$ . That way,  $f(z_0) = e^{g(z_0)}$ .

Finally, consider  $h(z) = e^{g(z)}$ . Then:

$$\left(\frac{h}{f}\right)' = \frac{h'f - hf'}{f^2} = \frac{g'e^g f - hf'}{f^2} = \frac{g'h}{f} - \frac{h}{f} \frac{f'}{f} = \frac{h}{f} (g' - \frac{f'}{f}) = \frac{h}{f} (0) = 0$$

Since  $G$  is connected, this shows that  $\frac{h}{f}$  is constant on  $G$ . And since  $\frac{h(z_0)}{f(z_0)} = 1$ , we've proven that  $h = f$  everywhere on  $G$ . ■

## Math 200a Notes:

Given any integer  $k > 0$ , we let  $F_k$  denote the free group generated by  $k$  elements.

**Theorem:**  $\langle \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix} \rangle \cong F_2$ .

**Proof:**

Let  $G = \langle \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix} \rangle$ . Then note that  $G \curvearrowright \mathbb{R}^2$  by linear transformations. In particular, if  $\ell$  is a line passing through 0, then each element of  $G$  sends  $\ell$  to another line. So, we can actually say that  $G \curvearrowright X := \mathbb{RP}$ .

Next, let  $G_1 = \langle \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix} \rangle$  and  $G_2 = \langle \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix} \rangle$ . Then note that  $\begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}^n = \begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix}$  and  $\begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}^n = \begin{bmatrix} 1 & 0 \\ 2n & 1 \end{bmatrix}$  (you can easily show this via induction).

Thus,  $G_1 = \left\{ \begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix} : n \in \mathbb{Z} \right\}$  and  $G_2 = \left\{ \begin{bmatrix} 1 & 0 \\ 2n & 1 \end{bmatrix} : n \in \mathbb{Z} \right\}$ . In particular, this means  $G_1 \cong \mathbb{Z}$ ,  $G_2 \cong \mathbb{Z}$ .

Recall from [page 336](#) that any line in  $\mathbb{RP}$  passing through  $(x, y)$  can be uniquely represented by the homogeneous coordinates  $[x : y] = x/y$ . Then as  $\begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x+2ny \\ y \end{bmatrix}$ , we have that  $\begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix} [1 : 0] = [1 : 0]$  and  $\begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix} [k : 1] = [k + 2n : 1]$ .

Similarly, we have that  $\begin{bmatrix} 1 & 0 \\ 2n & 1 \end{bmatrix} [0 : 1] = [0 : 1]$  and  $\begin{bmatrix} 1 & 0 \\ 2n & 1 \end{bmatrix} [1 : k] = [1 : k + 2n]$ . So finally, let  $X_1 = \{[1 : 0]\} \cup \{[k : 1] : |k| \geq 1\}$  and  $X_2 = \{[0 : 1]\} \cup \{[1 : k] : |k| \geq 1\}$ .

If  $g \in G_1 - \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right\}$ , then  $g \cdot X_2 \subseteq X_1$ . (After all,  $[1 : k] = [1/k : 1]$  and  $|x + 2n| \geq 1$  for all  $n \in \mathbb{Z}$  if  $|x| \leq 1$ ). Similarly,  $(G_2 - \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right\}) \cdot X_1 \subseteq X_2$ .

By the ping pong lemma we conclude:

$$G = \langle \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix} \rangle = \langle G_1, G_2 \rangle \cong G_1 * G_2 \cong \mathbb{Z} * \mathbb{Z} = F_2. \blacksquare$$

$SL_n(\mathbb{Z})$  refers to the collection of  $n \times n$  matrices with determinant 1 and integer coefficients. At least for  $SL_2(\mathbb{Z})$  I already know how to show that  $SL_2(\mathbb{Z})$  is a group with respect to matrix multiplication.

In slightly more generallity, given any commutative ring  $R$ , the formula for matrix multiplication and the determinant of a matrix can still be carried out in  $R$  and the formula for the determinant of a matrix in  $R$  still makes sense. It follows that we can define  $SL_n(R)$  to be the collection of  $n \times n$  matrices with determinant  $1 \in R$  and coefficients in  $R$ .

Again, I don't know enough linear algebra to prove  $SL_n(R)$  is a group for arbitrary  $n$ . That said, if  $n = 2$  then it is easy to see that  $SL_2(R)$  is a group.

- $$\det\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} e & f \\ g & h \end{bmatrix}\right) = (ae + bg)(cf + dh) - (af + bh)(ce + dg)$$

$$= aecf + adeh + bgcf + bgdh - afce - afdg - bhce - bhdg$$

$$= adeh + bgcf - afdg - bhce$$

$$= ad(eh - fg) - bc(eh - fg) = \det\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) \det\left(\begin{bmatrix} e & f \\ g & h \end{bmatrix}\right) = 1.$$
- $$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} = \begin{bmatrix} ad-bc & -ba+ab \\ cd-dc & ad-bc \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}.$$

Next we define  $PSL_2(\mathbb{Z}) := SL_2(\mathbb{Z})/\{\pm I\}$ . Note that  $\{\pm I\} = Z(SL_2(\mathbb{Z}))$  and is thus a normal subgroup. Hence,  $PSL_2(\mathbb{Z})$  is well-defined. Also we denote  $\overline{A} = A\{\pm I\} \in PSL_2(\mathbb{Z})$ . Note that  $\overline{A} = \{A, -A\}$ .

**Theorem:**  $\langle \overline{\begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}}, \overline{\begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix}} \rangle \cong \mathbb{Z} * \mathbb{Z}/2\mathbb{Z}$ .

**Proof:**

Let  $G_1 = \langle \overline{\begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}} \rangle$  and  $G_2 = \langle \overline{\begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix}} \rangle$ . We already know from the last proof that:

$$G_1 = \left\{ \overline{\begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix}} : n \in \mathbb{Z} \right\} \cong \mathbb{Z}.$$

Meanwhile,  $(\overline{\begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix}})^2 = \overline{\begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}} = \overline{I}$ . Thus  $G_2 \cong \mathbb{Z}/2\mathbb{Z}$ .

Next, note that  $\mathrm{PSL}_2(\mathbb{R}) \curvearrowright H := \{z \in \mathbb{C} : \mathrm{Im}(z) > 0\}$  by Möbius transformations (recall [problem 3 on the second set](#)).

In particular,  $\begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix} \cdot z = z + 2n$  and  $\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \cdot z = \frac{-1}{z}$ . Thus  $(G_1 - \{\bar{I}\}) \cdot X_2 \subseteq X_1$  and  $(G_2 - \{\bar{I}\}) \cdot X_1 \subseteq X_2$  where  $X_1 = \{z \in H : |z| > 1\}$  and  $X_2 = \{z \in H : |z| < 1\}$ .

By the ping pong lemma we are done. ■

We say a group  $\Gamma$  is residually  $\mathcal{C}$  if for all  $x \in \Gamma - \{1\}$  there exists a finite group  $G$  which satisfies  $\mathcal{C}$  and a group homomorphism  $\phi : \Gamma \rightarrow G$  such that  $\phi(x) \neq 1$ .

We say  $\Gamma$  is residually finite if  $\forall x \in \Gamma - \{1\}$  there exists a finite group  $G$  and a group homomorphism  $\phi : \Gamma \rightarrow G$  such that  $\phi(x) \neq 1$ .

(By first isomorphism theorem, this is equivalent to saying that for all  $x \in \Gamma - \{1\}$  there exists a group  $N \triangleleft \Gamma$  of finite index such that  $x \notin N$ .)

Theorem:  $F_2$  is residually finite.

Proof:

Recall  $F_2 \cong \langle \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix} \rangle \subseteq \mathrm{SL}_2(\mathbb{Z})$ . Thus, we can define a group homomorphism

$\phi_n : F_2 \rightarrow \mathrm{SL}_2(\mathbb{Z}/n\mathbb{Z})$  such that  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \mapsto \begin{bmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{bmatrix}$  where  $\bar{x} = x + n\mathbb{Z}$ .

Since the mod map preserves addition and multiplication, it's clear that

$\phi_n(AB) = \phi_n(A)\phi_n(B)$  and that:

$$\det(A) = 1 \in \mathbb{Z} \implies \det(\phi_n(A)) = 1 \in \mathbb{Z}/n\mathbb{Z}.$$

Hence  $\phi_n$  is a well-defined group homomorphism into  $\mathrm{SL}_2(\mathbb{Z}/n\mathbb{Z})$ .

But now  $\mathrm{SL}_2(\mathbb{Z}/n\mathbb{Z})$  has less than  $n^4$  elements. Also, if  $x \in F_2 - \{I\}$  then we can choose  $n$  large enough so that  $\phi_n(x) \neq \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . ■

A group  $\Gamma$  is virtually  $\mathcal{C}$  if there exists  $\Lambda < \Gamma$  with finite index such that  $\Lambda$  satisfies  $\mathcal{C}$ .

$\Gamma$  is virtually solvable if there exists  $\Lambda \triangleleft \Gamma$  such that  $[\Gamma : \Lambda] < \infty$  and  $\Lambda$  is solvable.

Note that it is not a restriction to assume  $\Lambda$  is a normal subgroup. After all, suppose  $\Lambda < \Gamma$  and  $\Lambda$  is solvable. Then if we consider the group action  $\Gamma \curvearrowright \Gamma/\Lambda$  by left translation, we get a group homomorphism  $\phi : \Gamma \rightarrow S_{\Gamma/\Lambda}$ . In turn,  $\mathrm{core}_\Gamma(\Lambda) = \ker(\phi)$  is a normal subgroup of  $\Gamma$  whose index is finite as  $|\mathrm{im}(\phi)|$  divides  $[\Gamma : \Lambda]! < \infty$ . And as  $\mathrm{core}_\Gamma(\Lambda) < \Lambda$  we know that  $\mathrm{core}_\Gamma(\Lambda)$  is solvable.

One other observation: If  $\Gamma$  is virtually solvable then so is any quotient of  $\Gamma$ .

Why?

Consider any subgroup  $N \triangleleft \Gamma$ . Then  $\Lambda N/N \cong \Lambda/N \cap \Lambda$ , and the latter is solvable. Hence  $\Lambda N/N$  is solvable (see [problem 3 on the sixth set](#)). At the same time,  $(\Lambda N)/N \triangleleft \Gamma/N$  as  $\Lambda$  and  $N$  are both normal subgroups of  $\Gamma$ . So,  $(\Gamma/N)/(\Lambda N)/N \cong \Gamma/(\Lambda N)$  and the latter clearly has less elements than the finitely many in  $\Gamma/\Lambda$ . So,  $(\Lambda N)/N$  satisfies the requirements for  $\Gamma/N$  to be virtually solvable.

Lemma:  $F_2$  is not virtually solvable.

Proof:

Recall that  $N \triangleleft S_n$  with  $N$  solvable implies that  $N = \{1\}$  when  $n \geq 5$ . That said, we also have that  $S_n = \langle (1\ 2), (1\ 2\ \cdots\ n) \rangle$ . (For a proof of this see page 53 of my math 100c notes.) So, by the universal property of free groups we know there exists a surjective group homomorphism  $\Phi : F_{\{a,b\}} \rightarrow S_n$  such that  $\phi(a) = (1\ 2)$  and  $\phi(b) = (1\ 2\ \cdots\ n)$ .

( $F_{\{a,b\}}$  is just notation for  $F_2$  that makes it explicit what the generators of  $F_2$  are...)

Suppose there exists  $\Lambda \triangleleft F_{\{a,b\}}$  such that  $[F_{\{a,b\}} : \Lambda] = m$  and  $\Lambda$  is solvable. Then we'd have that  $\Phi(\Lambda)$  is solvable and  $\Phi(\Lambda) \triangleleft S_n$ . And when  $n \geq 5$ , this means that  $\Phi(\Lambda) = \{\text{Id}\}$ . So,  $\Lambda < \ker(\Phi)$  and in turn there is a surjective mapping:

$$F_{\{a,b\}}/\Lambda \twoheadrightarrow F_{\{a,b\}}/\ker(\Phi) \cong \text{im}(\Phi) = S_n.$$

Consequently, we must have that  $m \geq n!$  for any  $n \geq 5$ . This is a contradiction. ■

If  $G$  is a group and  $R \subseteq G$ , then we say  $\langle\langle R \rangle\rangle$  is the smallest normal subgroup of  $G$  containing  $R$ . Next, given the sets  $S$  and  $R \subseteq \mathcal{F}(S)$  (where  $\mathcal{F}(S)$  is the free group of  $S$ ), we define  $\langle S | R \rangle := \mathcal{F}(S) / \langle\langle R \rangle\rangle$ . Also, we call  $\langle S | R \rangle$  a presentation.

In other words,  $\langle\langle R \rangle\rangle$  is the set of all words in  $\mathcal{F}(S)$  identified with 1. Also, note that a common abuse of notation is to list an element of  $R$  as "word 1" = "word 2" as opposed to ("word 1")("word 2")<sup>-1</sup>. Given this abuse of notation, it shouldn't be surprising that we call  $R$  the set of defining relations of  $\langle S | R \rangle$ .

When trying to prove what group a presentation is isomorphic to, there is a general procedure that works.

1. Already have an idea that  $\langle S | R \rangle \cong G$ . (Unfortunately, this procedure can only verify hunches one already has).
2. Let  $S' \subseteq G$  be a generating set for  $G$  such that there exists a bijection  $f : S \rightarrow S'$ . Then using the universal property of free groups, let  $\Phi : \mathcal{F}(S) \rightarrow G$  be a group homomorphism such that  $\Phi(x) = f(x)$  for all  $x \in S$ . This group homomorphism is a surjection.
3. Check the relations to make sure that  $R < \ker(\Phi)$ . That way, we know that  $\langle\langle R \rangle\rangle < \ker(\Phi)$ . And in turn, there is a well-defined surjective group homomorphism  $\bar{\Phi} : \langle S | R \rangle \rightarrow G$  such that  $\bar{\Phi}(x) = \Phi(x) = f(x)$  for all  $x \in S$ .
4. Finally, find a trick to show that  $\bar{\Phi}$  is injective.

Example 1:  $\langle x | x^n = 1 \rangle \cong C_n$ .

Let  $a$  be a generator for  $C_n$ . Then there is surjective homomorphism  $\Phi : \mathcal{F}(\{x\}) \rightarrow C_n$  given by  $\Phi(x) = a$ . Also, it is clear that  $\Phi(x^n) = a^n = 1$ . So  $\langle\langle x^n \rangle\rangle \subseteq \ker(\Phi)$  and we can define a surjective group homomorphism  $\bar{\Phi} : \langle x | x^n = 1 \rangle \rightarrow C_n$  such that  $\bar{\Phi}(x) = a$ . Finally, note that  $|\langle x | x^n = 1 \rangle| = n = |C_n|$ . So by pigeonhole we know  $\bar{\Phi}$  is a bijection.

**Example 2:**  $\langle x, y \mid x^n = 1, y^2 = 1, yxy = x^{-1} \rangle \cong D_{2n}$ .

Show this yourself. The proof is mostly identical to the prior example. :p

**Set 8 Problem 1:** Prove that  $\langle a, b \mid [a, b] \rangle \cong \mathbb{Z} \times \mathbb{Z}$ .

By the universal property of free groups, we know there is a group homomorphism  $f : F_{\{a,b\}} \rightarrow \mathbb{Z} \times \mathbb{Z}$  such that  $f(a) = (1, 0)$  and  $f(b) = (0, 1)$ . Furthermore, we then have that  $f([a, b]) = f(a)f(b)f(a^{-1})f(b^{-1}) = (1, 0) + (0, 1) - (1, 0) - (0, 1) = 0$ . Hence, by quotienting out  $\langle\langle [a, b] \rangle\rangle$  we can get a well-defined group homomorphism:

$$\tilde{f} : \langle a, b \mid [a, b] \rangle \rightarrow \mathbb{Z} \times \mathbb{Z} \text{ such that } \tilde{f}(a) = (1, 0) \text{ and } \tilde{f}(b) = (0, 1).$$

Also note that as  $\langle (1, 0), (0, 1) \rangle = \mathbb{Z} \times \mathbb{Z}$ , we know that  $f$  and in turn  $\tilde{f}$  are surjective.

What's left to show is that  $\tilde{f}$  is a bijection. So first we note that the following relevant commutators are in  $\langle\langle [a, b] \rangle\rangle$ .

$$[b, a] = ([a, b])^{-1}, a^{-1}[a, b]a = [b, a^{-1}], b^{-1}[a, b]b = [b^{-1}, a], \text{ and } (a^{-1}b^{-1})[b, a]ba = [b^{-1}, a^{-1}].$$

This shows that  $a^{e_1}b^{e_2} = b^{e_2}a^{e_1}$  where  $e_1, e_2 \in \{\pm 1\}$ . Then by induction on  $k$  we can conclude that for all  $k \in \mathbb{N}$ :

- $b^k a = b^{k-1} b a (b^{-1} a^{-1} a b) = b^{k-1} a b = a b^{k-1} b = a b^k,$
- $b^{-k} a = b^{-k+1} b^{-1} a (b a^{-1} a b^{-1}) = b^{-k+1} a b^{-1} = a b^{-k+1} b^{-1} = a b^{-k},$
- $b^k a^{-1} = b^{k-1} b a^{-1} (b^{-1} a a^{-1} b) = b^{k-1} a^{-1} b = a^{-1} b^{k-1} b = a^{-1} b^k,$
- $b^{-k} a^{-1} = b^{-k+1} b^{-1} a^{-1} (b a a^{-1} b^{-1}) = b^{-k+1} a^{-1} b^{-1} = a^{-1} b^{-k+1} b^{-1} = a^{-1} b^{-k}.$

Another round of induction then shows that  $a^m b^n = b^n a^m$  for all  $m, n \in \mathbb{Z}$ . And finally, this lets us show (again through induction) that every element of  $\langle a, b \mid [a, b] \rangle$  can be represented by a word of the form  $a^m b^n$  where  $m, n \in \mathbb{Z}$ .

We also claim that  $a^m b^n = 1$  iff  $m = 0 = n$ . To see this, note that we can define the "a-power" and "b-power" of any word in  $F_{\{a,b\}}$  by adding up the powers of all the  $a$  terms and  $b$  terms respectively.

Technically I'm overlooking the fact that the elements of  $F_{\{a,b\}}$  are equivalence classes of words. That said, the two manipulations that let you go between any two words in the same equivalence class preserve "a-power" and "b-power". So, this technicality doesn't really matter.

But now if we let  $N \subseteq F_{\{a,b\}}$  be the collection of all words with an  $a$ -power and  $b$ -power of 0, then we have that  $N$  is closed under word concatenation, inversing, and conjugation. Also  $[a, b] \in N$ . So  $\langle\langle [a, b] \rangle\rangle < N < F_{\{a,b\}}$ . And in turn, we know that if  $a^m b^n = 1$  in  $\langle a, b \mid [a, b] \rangle$  then we must have that  $a^m b^n \in N$  when considered as an element of  $F_{\{a,b\}}$ . But that implies that  $m = 0 = n$ .

Consequently, we know that if  $a^{m_1} b^{n_1} = a^{m_2} b^{n_2}$  then  $m_1 = m_2$  and  $n_1 = n_2$ . Hence by all the prior reasoning, if we define  $g : \mathbb{Z} \times \mathbb{Z} \rightarrow \langle a, b \mid [a, b] \rangle$  by  $g(m, n) = a^m b^n$  then we know  $g$  is an injective and surjective function satisfying that  $\tilde{f} \circ g = \text{Id}_{\mathbb{Z} \times \mathbb{Z}}$ . In turn,  $\tilde{f} = g^{-1}$  and this proves that  $\tilde{f}$  is a bijection. ■



**Set 8 Problem 2:** Suppose  $X_1$  and  $X_2$  are two disjoint sets. Prove that:

$$\langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle \cong \langle X_1 \cup X_2 \mid R_1 \cup R_2 \rangle$$

I shall start by proving something I think my professor meant for me to take as obvious. Consider the natural inclusion maps  $j_i : \mathcal{F}(X_i) \hookrightarrow \mathcal{F}(X_1 \cup X_2)$  for each  $i$ .

To see that each  $j_i$  is an injection, note that adding symbols to an alphabet  $X$  does not change the reduced form of words already in  $\mathcal{F}(X)$ . And since each word equivalence class in  $\mathcal{F}(X_i)$  has a unique reduced form (see [page 417](#) for more on this), we know that  $j_i$  is an embedding. That said, the fact that  $j_i$  is an injection isn't important to the proof.

Then we know that  $j_i^{-1}(\langle\langle j_i(R_i) \rangle\rangle)$  is a normal subgroup of  $\mathcal{F}(X_i)$  containing  $R_i$ . Hence, there is a well-defined map  $\bar{j}_i : \langle X_i \mid R_i \rangle \rightarrow \langle X_1 \cup X_2 \mid j_i(R_i) \rangle$  such that:

$$\bar{j}_i(\omega \langle\langle R_i \rangle\rangle) = j_i(\omega) \langle\langle j_i(R_i) \rangle\rangle \text{ for all words } \omega.$$

Furthermore, since  $\langle\langle j_i(R_i) \rangle\rangle \subseteq \langle\langle j_1(R_1) \cup j_2(R_2) \rangle\rangle$  for both  $i$ , we know that there are well defined maps  $k_i : \langle X_1 \cup X_2 \mid j_i(R_i) \rangle \rightarrow \langle X_1 \cup X_2 \mid j_1(R_1) \cup j_2(R_2) \rangle$  with  $k_i(\omega \langle\langle j_i(R_i) \rangle\rangle) = \omega \langle\langle j_1(R_1) \cup j_2(R_2) \rangle\rangle$  for all words  $\omega$ .

Now by setting  $\theta_i = k_i \circ \bar{j}_i$  for both  $i$ , we now have shown that the obvious inclusion function  $\langle X_i \mid R_i \rangle \rightarrow \langle X_1 \cup X_2 \mid j_1(R_1) \cup j_2(R_2) \rangle$  given by  $\theta_i(\omega) = j_i(\omega) \langle\langle j_1(R_1) \cup j_2(R_2) \rangle\rangle$  is a well-defined group homomorphism.

With that out of the way I'm now going to identify  $j_i(\omega)$  with  $\omega$  for all  $\omega \in \mathcal{F}(X_i)$ . Also, I'll just write  $\theta_i(\omega)$  as  $\omega$ .

By the universal property of free products there exists a group homomorphism  $\theta : \langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle \rightarrow \langle X_1 \cup X_2 \mid R_1 \cup R_2 \rangle$  such that  $\theta(x_1) = x_1$  and  $\theta(x_2) = x_2$  in  $\langle X_1 \cup X_2 \mid R_1 \cup R_2 \rangle$  for all  $x_1 \in X_1$  and  $x_2 \in X_2$ .

Meanwhile, by the universal property of free groups there exists a group homomorphism  $\phi : \mathcal{F}(X_1 \cup X_2) \rightarrow \langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle$  such that  $\phi(x_1) = x_1$  and  $\phi(x_2) = x_2$  in  $\langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle$  for all  $x_1 \in X_1$  and  $x_2 \in X_2$ . Also, note that if  $\omega \in R_1 \cup R_2$  then  $\phi(\omega) = 1$ . Hence, by quotienting out  $\langle\langle R_1 \cup R_2 \rangle\rangle$  we get a well-defined map

$$\tilde{\phi} : \langle X_1 \cup X_2 \mid R_1 \cup R_2 \rangle \rightarrow \langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle$$

...with  $\tilde{\phi}(x_1) = x_1$  and  $\tilde{\phi}(x_2) = x_2$  in  $\langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle$  for all  $x_1 \in X_1$  and  $x_2 \in X_2$ .

Finally,  $\tilde{\phi} \circ \theta(x) = x$  and  $\theta \circ \tilde{\phi}(x) = x$  for all  $x \in X_1 \cup X_2$ . And as  $X_1 \cup X_2$  is a generating subset of both  $\langle X_1 \mid R_1 \rangle * \langle X_2 \mid R_2 \rangle$  and  $\langle X_1 \cup X_2 \mid R_1 \cup R_2 \rangle$ , we can extrapolate that  $\tilde{\phi} \circ \theta = \text{Id}$  and  $\theta \circ \tilde{\phi} = \text{Id}$ . So,  $\theta$  and  $\tilde{\phi}$  are isomorphisms. ■

**Set 8 Problem 3:** Prove that the subgroup of  $\text{PSL}_2(\mathbb{Z})$  which is generated by  $\overline{\begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}}$  and  $\overline{\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}}$  has the presentation  $\langle a, b \mid b^2 \rangle$ .

Recall from [page 417](#) that  $\langle \overline{\begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}} \text{ and } \overline{\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}} \rangle = \mathbb{Z} * \mathbb{Z}/2\mathbb{Z}$ . Then as  $\mathbb{Z} \cong \langle a \mid \emptyset \rangle$  and  $\mathbb{Z}/2\mathbb{Z} \cong \langle b \mid b^2 \rangle$ , we have by the prior problem that  $\mathbb{Z} * \mathbb{Z}/2\mathbb{Z} \cong \langle a, b \mid b^2 \rangle$ . ■

Before moving on to the next problem, I want to show that  $\text{PSL}_2(\mathbb{Z})$  is generated by the matrices  $\sigma := \overline{\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}}$  and  $\tau := \overline{\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}}$ .

Why?

Note that  $\sigma^n = \overline{\begin{bmatrix} 1 & n \\ 0 & 1 \end{bmatrix}}$ . In turn, given any matrix  $\overline{\begin{bmatrix} a & b \\ c & d \end{bmatrix}} \in \text{PSL}_2(\mathbb{Z})$  we have that:

$$\sigma^n \overline{\begin{bmatrix} a & b \\ c & d \end{bmatrix}} = \overline{\begin{bmatrix} a+nc & b+nd \\ c & d \end{bmatrix}} \text{ and } \tau \overline{\begin{bmatrix} a & b \\ c & d \end{bmatrix}} = \overline{\begin{bmatrix} c & d \\ -a & -b \end{bmatrix}}.$$



This suggests the following construction. Suppose  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  is any matrix in  $\text{PSL}_2(\mathbb{Z})$  such that  $|a| \geq |c| > 0$ . Then we know there exists  $n \in \mathbb{Z}$  such that  $\sigma^n \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a+nc & b+nd \\ c & d \end{bmatrix} = \begin{bmatrix} a' & b' \\ c & d \end{bmatrix}$  where  $|a'| < |c|$ . (This is a consequence of the division algorithm). In turn:

$$\tau \sigma^n \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} c & d \\ -a' & -b' \end{bmatrix}, \text{ where } |-a'| < c \leq |a|.$$

As for the case that  $|a| < |c|$  initially, then we can just apply the prior reasoning to  $\tau \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ . Either way, if  $G := \langle \sigma, \tau \rangle \subseteq \text{PSL}_2(\mathbb{Z})$  and  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{PSL}_2(\mathbb{Z})$ , then we've proven that there is a matrix  $g \in G$  such that  $g \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a' & b' \\ c' & d' \end{bmatrix}$  satisfies that  $|c'| < c$ .

By induction on  $|c|$ , we can thus conclude for any  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{PSL}_2(\mathbb{Z})$  that there exists  $g_1, \dots, g_n \in G$  such that  $g_n \cdots g_1 \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a' & b' \\ 0 & d' \end{bmatrix}$ .

But as  $a'd' - 0b' = a'd' = 1$  and both  $a'$  and  $d'$  are integers, we may assume  $a' = d' = 1$ . Hence, we actually have that:

$$g_n \cdots g_1 \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} 1 & b' \\ 0 & 1 \end{bmatrix} = \sigma^{b'}$$

And finally  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} = g_1^{-1} \cdots g_n^{-1} \sigma^{b'} \in G$ . This proves that  $\text{PSL}_2(\mathbb{Z}) = \langle \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \rangle$ .

**Set 8 Problem 4:** Prove that  $\text{PSL}_2(\mathbb{Z}) = \langle a, b \mid a^2, b^3 \rangle$ .

Let  $\sigma := \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  and  $\tau := \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$  like before. Then set  $\omega = \sigma\tau$  and define  $G_1 := \langle \tau \rangle$  and  $G_2 := \langle \omega \rangle$ .

**Claim 1:**  $\langle G_1, G_2 \rangle = \langle \tau, \omega \rangle = \text{PSL}_2(\mathbb{Z})$ .

Why? We already know  $\text{PSL}_2(\mathbb{Z}) = \langle \tau, \sigma \rangle$ . Also,  $\tau = \tau^{-1}$ . Therefore,  $\sigma = \omega\tau$  is in  $\langle \tau, \omega \rangle$ . And this proves that:

$$\text{PSL}_2(\mathbb{Z}) = \langle \tau, \sigma \rangle \subseteq \langle \tau, \omega \rangle \subseteq \text{PSL}_2(\mathbb{Z})$$

**Claim 2:**  $G_1 \cong C_2$  and  $G_2 \cong C_3$ .

Why? We already know from class that  $\tau^2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . Meanwhile  $\omega = \sigma\tau = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$ . In turn,  $\omega^3 = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . And as  $o(\omega)$  divides 3 and doesn't equal 1, we know  $o(\omega) = 3$ .

Now consider the action  $\text{PSL}_2(\mathbb{Z}) \curvearrowright \mathbb{R} \cup \{\infty\}$  by Möbius transformations.

In other words,  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot x = \frac{ax+b}{cx+d}$  for all  $x \in \mathbb{R}$  and  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \infty = \frac{a}{c}$  (and if any of the right-hand expressions are undefined, then  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  sends the element of  $\mathbb{R} \cup \{\infty\}$  to  $\infty$ .)

Recall from [page 335](#) that if  $T(x) = \frac{a_1x+b_1}{c_1x+d_1}$  and  $S(x) = \frac{a_2x+b_2}{c_2x+d_2}$ , then:

$$(T \circ S)(x) = \frac{(a_1a_2+b_1c_2)x+(a_1b_2+b_1d_2)}{(c_1a_2+d_1c_2)x+(c_1b_2+d_1d_2)}.$$

So, we do have that  $\begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} \cdot (\begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix} \cdot x) = (\begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} \begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix}) \cdot x$  and  $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \cdot x = x$ .

Let  $X_1 = (-\infty, 0]$  and  $X_2 = (0, \infty) \cup \{\infty\}$ . Then  $\tau \cdot x = \frac{1}{-x}$  and so  $(G_1 - \{\bar{I}\}) \cdot X_2 \subseteq X_1$ . Meanwhile,  $\omega \cdot x = \frac{x-1}{x}$  and  $\omega^2 \cdot x = \frac{-1}{x-1}$  and so  $(G_2 - \{\bar{I}\}) \cdot X_1 \subseteq X_2$ . Thus by ping pong lemma, we have that:

$$C_2 * C_3 \cong G_1 * G_2 = \langle G_1, G_2 \rangle = \text{PSL}_2(\mathbb{Z}).$$

Finally, by problem 2 we know that  $C_2 * C_3 \cong \langle a \mid a^2 \rangle * \langle b \mid b^3 \rangle \cong \langle a, b \mid a^2, b^3 \rangle$ . ■

Interestingly, this and the last problem shows that  $\langle a, b \mid a^2 \rangle$  is isomorphic to a subgroup of  $\langle a, b \mid a^2, b^3 \rangle$ . So that's cool.

**Set 8 Problem 5:** Prove that the group of Euclidean symmetries of the integers is isomorphic to  $\langle a, b \mid a^2, b^2 \rangle$ .

To start off, a Euclidean symmetry of the integers is an isometry  $\theta : \mathbb{R} \rightarrow \mathbb{R}$  satisfying that  $\theta(\mathbb{Z}) = \mathbb{Z}$ . Note that all isometries are equal to an orthogonal linear function followed by translation by constant. So, we must have that  $\theta(x) = ax + b$  where  $a \in \{\pm 1\}$  and  $b \in \mathbb{R}$ . Also, as  $\theta(0) \in \mathbb{Z}$  we must have that  $b \in \mathbb{Z}$ . Hence, the group the problem is asking us about is  $D_\infty$  from [problem 5 of the sixth problem set](#).

Now, we already know from that prior homework set that  $D_\infty$  is generated by the maps  $r(x) = -x$  and  $s(x) = -x + 1$  where both  $r$  and  $s$  have order 2. So using the universal property of free groups, let  $\Phi : F_{\{a,b\}} \rightarrow D_\infty$  be a group homomorphism such that  $\Phi(a) = r$  and  $\Phi(b) = s$ . This map is surjective because  $r$  and  $s$  generate  $D_\infty$ . Also, since  $\Phi(a^2) = \text{Id} = \Phi(b^2)$  we know there is a well-defined surjective group homomorphism  $\bar{\Phi} : \langle a, b \mid a^2, b^2 \rangle \rightarrow D_\infty$  with  $\bar{\Phi}(a) = r$  and  $\bar{\Phi}(b) = s$ .

But now because  $a^2 = b^2 = 1$ , all words in  $\langle a, b \mid a^2, b^2 \rangle$  can be reduced to the form  $(ab)^k a$ ,  $(ab)^k$ ,  $(ba)^k b$  or  $(ba)^k$  where  $k$  is a nonnegative integer. Also, note that:  
 $(ab)^{-k} = ((ab)^k)^{-1} = (ba)^k$  and  $(ab)^{-k} a = (ba)^k a = (ba)^{k-1} b$

Therefore, we can actually write that:

$$\langle a, b \mid a^2, b^2 \rangle = \{(ab)^n : n \in \mathbb{Z}\} \cup \{(ab)^n : n \in \mathbb{Z}\}a$$

And finally,  $\bar{\Phi}$  sends each of the elements in the above two cosets to different isometries in  $D_\infty$ . Specifically,  $(\bar{\Phi}((ab)^n))(x) = x - n$  while  $(\bar{\Phi}((ab)^n a))(x) = -x - n$ . (This was shown in my write up for that prior homework set).

So,  $\bar{\Phi}$  is an injection and we are done.

**Set 8 Problem 6:** Let  $G_n := \langle s_1, \dots, s_{n-1} \mid s_i^2, (s_i s_j)^2 \text{ if } |i - j| > 1; (s_i s_{i+1})^3 \rangle$  (where  $n \geq 2$ ). Prove that  $G_n \cong S_n$ .

Let  $\tau_i := (i \ i+1)$  for all  $1 \leq i < n$ . Then using the universal property of free groups, let  $\Phi : F_{\{s_1, \dots, s_{n-1}\}} \rightarrow S_n$  be the unique group homomorphism such that  $\Phi(s_i) = \tau_i$  for each  $i$ . Note that  $\Phi$  is surjective since the  $\tau_i$  generate all of  $S_n$ .

If you really doubt that, recall that  $\tau_1 = (1 \ 2)$  and  $\tau_1 \tau_2 \cdots \tau_n = (1 \ 2 \ \cdots \ n)$  generate all of  $S_n$ .

Also note that  $\tau_i^2 = \text{Id}$ . And if  $|i - j| > 1$  then  $\tau_i \tau_j$  has cycle type  $(2 \geq 2 \geq 1 \geq \cdots \geq 1)$ . So,  $(\tau_i \tau_j)^2 = \text{Id}$ . And finally,  $\tau_i \tau_{i+1}$  is a three cycle so  $(\tau_i \tau_{i+1})^3 = \text{Id}$  for each  $i$ . All in all, this shows that all the defining relations of the proposed presentation are in the kernel of  $\Phi$ . Hence, after quotienting out the normal subgroup generated by them we get a well defined surjective group homomorphism  $\bar{\Phi} : G_n \rightarrow S_n$  such that  $\bar{\Phi}(s_i) = \tau_i$  for each  $1 \leq i < n$ .

Now to prove that  $\bar{\Phi}$  is injective, we proceed by induction on  $n$  to show that  $|G_n| \leq n!$ . That way the only way for  $\bar{\Phi}$  to also be surjective is if  $|G_n| = n!$  and  $\bar{\Phi}$  is one-to-one. For our base case, note that  $G_2 = \langle s_1 \mid s_1^2 \rangle \cong C_2$  and  $|C_2| = 2 = 2!$

Meanwhile for the inductive step, let  $H_{n-1}$  be the subgroup of  $G_n$  generated by  $s_1, \dots, s_{n-2}$ . Then using the universal property of free groups, let  $\Psi : F_{\{s_1, \dots, s_{n-2}\}} \rightarrow H_{n-1}$  be the unique group homomorphism such that  $\Psi(s_i) = s_i$  for each  $i$ . Again,  $\Psi$  is surjective.

It's clear that all the relations defining  $G_{n-1}$  are in the kernel of  $\Psi$ . Thus, after quotienting them out we get a well-defined surjective group homomorphism  $\bar{\Psi} : G_{n-1} \rightarrow H_{n-1}$  such that  $\bar{\Psi}(s_i) = s_i$  for all  $i$ . And by induction, this proves that  $|H_{n-1}| \leq (n-1)!$ .

Next let  $H_{n-1}^{(n-j)}$  be the coset  $s_{n-j} \cdots s_{n-1} H_{n-1}$  and also denote  $H_{n-1}^{(n)} = H_{n-1}$ . Then set  $X_n := \{H_{n-1}^{(1)}, \dots, H_{n-1}^{(n)}\} \subseteq G_n/H_{n-1}$ . We can easily see that  $s_i H_{n-1}^{(i+1)} = H_{n-1}^{(i)}$ . And as  $s_i^2 = 1$  we can also see that  $s_i H_{n-1}^{(i)} = H_{n-1}^{(i+1)}$ .

To show the other cases, note that if  $j \leq i-2$ , then  $s_j s_i = s_i s_j$ . Thus since  $s_j \in H_{n-1}$  for all  $j \leq n-2$ , we know that:

$$\begin{aligned} s_j H_{n-1}^{(i)} &= s_j s_i s_{i+1} \cdots s_{n-1} H_{n-1} = s_i s_{i+1} \cdots s_{n-1} s_j H_{n-1} \\ &= s_i s_{i+1} \cdots s_{n-1} H_{n-1} = H_{n-1}^{(i)} \text{ when } j \leq i-2. \end{aligned}$$

As for if  $j > i$ , then we can write  $s_j s_i s_{i+1} \cdots s_{n-1} = s_i \cdots s_{j-2} s_j s_{j-1} s_j \cdots s_{n-1}$  using the identity from the previous paragraph. After that, as  $(s_{j-1} s_j)^3 = 1$ , we know that  $s_j s_{j-1} s_j = s_{j-1} s_j s_{j-1}$ . Hence:

$$\begin{aligned} s_i \cdots s_{j-2} s_j s_{j-1} s_j s_{j+1} \cdots s_{n-1} &= s_i \cdots s_{j-2} s_{j-1} s_j s_{j-1} s_{j+1} \cdots s_{n-1} \\ &= s_i \cdots s_{j-2} s_{j-1} s_j s_{j+1} \cdots s_{n-1} s_{j-1} \end{aligned}$$

And as  $s_{j-1} \in H_{n-1}$ , this shows that  $s_j H_{n-1}^{(i)} = H_{n-1}^{(i)}$  when  $j > i$ .

All in all, this proves that  $s_j X_n = X_n$  for all  $1 \leq j < n$ . And since the  $s_j$  generate all of  $G_n$ , we in turn know that  $\omega X_n = X_n$  for all words  $\omega \in G_n$ . In particular, this means  $\omega H_{n-1} \in X_n$  for all  $\omega \in G_n$ . So,  $[G_n : H_{n-1}] \leq |X_n| \leq n$ .

Thus  $|G_n| = |H_{n-1}|[G_n : H_{n-1}] \leq (n-1)! \cdot n = n!$ . ■

### Math 200a notes:

In this class, we define a ring to be a set  $A$  equipped with operations  $+$ ,  $\cdot$  such that  $(A, +)$  is an abelian group and  $(A, \cdot)$  is a semigroup (i.e. a set with an associative operation) such that  $0 \cdot a = 0 = a \cdot 0$ ,  $c \cdot (a + b) = ca + cb$ , and  $(a + b) \cdot c = ac + bc$ .

Note, that we shall make a distinction between unital rings and non-unital rings (also called rng's). Specifically, a unital ring has a multiplicative identity element 1 whereas a non-unital ring doesn't. (So in other words we won't take it by definition that a ring has an element 1.)

Usually, we shall assume we are working with commutative unital rings. That said, there are cases where we sometimes want to drop those assumptions.

- Given any ring  $A$ , we can define a ring  $M_n(A)$  of  $n \times n$  matrices of  $A$  using standard matrix addition and multiplication. In other words,  $[a_{i,j}] + [b_{i,j}] = [(a_{i,j} + b_{i,j})]$  and  $[a_{i,j}] \cdot [b_{i,j}] = [(\sum_{k=1}^n a_{i,k} b_{k,j})]$ . Note that  $M_n(A)$  is usually not a commutative even if  $A$  is.

I'm not gonna show these operations satisfy the ring axioms.

- A common counter example is the rng where multiplication sends all pairs of elements to 0.

If  $G$  is a group or  $M$  is a monoid, then given a ring  $A$  we call  $A[M]$  or  $A[G]$  the monoid ring or group ring where  $A[M]$  (resp.  $A[G]$ ) is the collection of formal sums  $\sum_{m \in M} a_m m$  (resp.  $\sum_{g \in G} a_g g$ ) where each  $a_m \in A$  and  $a_m = 0$  for all but finitely many  $m \in M$ . To turn  $A[M]$  (resp.  $A[G]$ ) into a ring, we define:

- $\sum_{m \in M} a_m m + \sum_{m \in M} a'_m m := \sum_{m \in M} (a_m + a'_m) m,$
- $(\sum_{m \in M} a_m m)(\sum_{m \in M} a'_m m) = \sum_{m \in M} \left( \sum_{m_1 \cdot m_2 = m} a_{m_1} a'_{m_2} \right) m.$  (This is called a convolution...)

I'm not gonna show these operations satisfy the ring axioms.

(Also note that if  $A$  is a commutative ring and  $M$  (or  $G$ ) is abelian, then  $A[M]$  (resp.  $A[G]$ ) is a commutative ring.)

If  $M = (\mathbb{Z}_{\geq 0})^k \cong \{x_1^{i_1} x_2^{i_2} \cdots x_k^{i_k} : (i_1, \dots, i_k) \in (\mathbb{Z}_{\geq 0})^k\}$ , then  $A[(\mathbb{Z}_{\geq 0})^k] \cong A[x_1, \dots, x_k]$  is the polynomial ring.

Given two rings  $A_1, A_2$ , we say  $\phi : A_1 \rightarrow A_2$  is a ring homomorphism if  $\phi(x + y) = \phi(x) + \phi(y)$  and  $\phi(xy) = \phi(x)\phi(y)$  for all  $x, y \in A_1$ . Also, we say  $\phi$  is a unital ring homomorphism if  $A_1, A_2$  are unital rings and  $\phi(1_{A_1}) = 1_{A_2}$ .

In other words, unlike in math 100b we are not assuming by default that ring homomorphisms are unital.

For example: if  $B$  is a commutative ring and  $A \subseteq B$  is a subring, then for all  $b \in B$  we have that the map  $e_b : A[x] \rightarrow B$  given by  $e_b(f) := f(b)$  is a ring homomorphism. And if  $B$  and  $A$  share a multiplicative identity, then  $e_b$  is also unital.

You can see my 100b notes on why this is a homomorphism.

Also, something that's not at all clear is how the professor defines a subring since we've loosened our definition of a ring and ring homomorphism. In this class we say  $A \subseteq B$  is a subring if  $A$  is closed under multiplication and a subgroup of  $B$  with respect to addition.

We say  $\mathfrak{a}$  is an ideal of  $A$  (also written as  $\mathfrak{a} \triangleleft A$ ) if  $(\mathfrak{a}, +)$  is a subgroup of  $(A, +)$  and  $ax, xa \in \mathfrak{a}$  for all  $x \in \mathfrak{a}$  and  $a \in A$ .

Note that if  $A$  is a unital ring then it suffices to show  $A$  is closed under addition and has the mentioned multiplication property. After all, we then have that  $-x = (-1) \cdot x \in \mathfrak{a}$  for all  $x \in \mathfrak{a}$ .

Lemma: If  $\phi : A_1 \rightarrow A_2$  is a ring homomorphism then  $\text{im}(\phi)$  is a subring of  $A_2$  and  $\ker(\phi)$  is an ideal of  $A_1$ .

Proof:

Since  $\phi : (A_1, +) \rightarrow (A_2, +)$  is a group homomorphism, we know that  $\text{im}(\phi)$  and  $\ker(\phi)$  are subgroups of  $A_1$  and  $A_2$  respectively with respect to  $+$ . To show that  $\ker(\phi)$  is an ideal, note that  $\phi(ax) = \phi(a)\phi(x) = 0_{A_2} = \phi(x)\phi(a) = \phi(xa)$  for all  $x \in A_1$  and  $a \in \ker(\phi)$ . Meanwhile, to show that  $\text{im}(\phi)$  is a subring, note that if  $\phi(x) = a$  and  $\phi(y) = b$  then  $\phi(xy) = ab$ . ■

Switching our perspective, note that if  $\mathfrak{a}$  is an ideal of a ring  $A$ , then we can define a quotient ring  $A/\mathfrak{a}$  by defining  $(x + \mathfrak{a}) \cdot (y + \mathfrak{a}) = xy + \mathfrak{a}$  on the abelian quotient group  $(A/\mathfrak{a}, +)$ .

See my math 100b notes for why this is well-defined.

Then the natural projection map  $j : A \twoheadrightarrow A/\mathfrak{a}$  satisfies that  $\ker(j) = \mathfrak{a}$ . Hence, all ideals are kernels of some ring homomorphism.

Returning to the evaluation map  $e_b : A[x] \rightarrow B$  where  $B$  is a commutative ring and  $A \subseteq B$  is a subring, one can fairly easily see that  $\text{im}(e_b)$  is the smallest subring of  $B$  containing  $A$  and  $b$ . We denote  $\text{im}(e_b)$  as  $A[b]$ .

## 11/24/2025

### Math 220a Notes:

If  $G$  is an open set, then we say  $\gamma$  is homologous to zero (denoted  $\gamma \approx_G 0$ ) iff  $n(\gamma; w) = 0$  for all  $w \in \mathbb{C} - G$ .

Note that by the first corollary on page 415, we have that  $\gamma \sim_G 0 \implies \gamma \approx_G 0$ .

Suppose  $G \subseteq \mathbb{C}$  is a region and  $f : G \rightarrow \mathbb{C}$  is analytic on  $G$  with the zeros  $a_1, \dots, a_n$  (where the  $a_k$  are allowed to be repeated). As noted on page 382 of my journal as well as my spring notes, we can then find an analytic function  $g : G \rightarrow \mathbb{C}$  with no zeros such that  $f(z) = (z - a_1) \cdots (z - a_n)g(z)$ . Then by product rule, we get that:

$$f'(z) = \sum_{k=1}^n \left( \prod_{i \neq k} (z - a_i) \right) g(z) + g'(z) \prod_{k=1}^n (z - a_k)$$

And dividing both sides by  $f(z)$  we get that:

$$\frac{f'(z)}{f(z)} = \frac{g'(z)}{g(z)} + \sum_{k=1}^n (z - a_k)^{-1} \text{ when } z \neq a_1, \dots, a_n$$

(Conway) Theorem IV.7.2: Let  $G$  be a region and let  $f$  be an analytic function on  $G$  with zeros  $a_1, \dots, a_n$  (repeated according to multiplicity) like above. If  $\gamma$  is a closed piecewise  $C^1$  curve in  $G$  which does not pass through any point  $a_k$  and if  $\gamma \approx_G 0$  then:

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz = \sum_{k=1}^m n(\gamma; a_k).$$

**Proof:**

Letting  $g$  be as above, we know that:

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz = \frac{1}{2\pi i} \int_{\gamma} \frac{g'(z)}{g(z)} dz + \sum_{k=1}^n \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z - a_k} dz = \frac{1}{2\pi i} \int_{\gamma} \frac{g'(z)}{g(z)} dz + \sum_{k=1}^m n(\gamma; a_k)$$

Then since  $g(z) \neq 0$  for any  $z \in G$ , we know that  $\frac{g'}{g}$  is analytic on  $G$ . Hence, we have that

$$\int_{\gamma} \frac{g'(z)}{g(z)} dz = 0. \blacksquare$$

**(Conway) Corollary IV.7.3:** Let  $f, G, \gamma$  be as in the last theorem but let  $a_1, \dots, a_n$  (repeated according to multiplicity) be all the points where  $f$  equals  $\alpha$ . In other words,  $a_1, \dots, a_n$  are the zeros of  $f(z) - \alpha$ . Then:

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z) - \alpha} dz = \sum_{k=1}^m n(\gamma; a_k).$$

Note that if  $f : G \rightarrow \mathbb{C}$  is an analytic non-constant function on  $G$ , it is possible for  $f$  to have infinitely many zeros in  $G$ . That said, because the set of zeros can't have a limit point in  $G$ , we know that if  $K \subseteq G$  is compact then  $f$  can only have finitely many limit points in  $K$ . Consequently, if  $\gamma \approx_G 0$  we can show that  $f(z) = \alpha$  must have only finitely many solutions in  $G$  such that  $n(\gamma; z) \neq 0$ .

**Exercise IV.7.2:** Let  $G \subseteq \mathbb{C}$  be open and suppose  $\gamma$  is a closed piecewise  $C^1$  curve in  $G$  such that  $\gamma \approx_G 0$ . Set  $H := \{z \in \mathbb{C} : n(\gamma; z) = 0\}$ .

- (a) Suppose  $G$  is a proper subset of  $\mathbb{C}$  and define  $r := \inf(\{|z - w| : z \in \{\gamma\}, w \in \partial G\})$ . Note that  $r$  exists and is positive because  $\partial G, \{\gamma\}$  are closed disjoint nonempty sets with  $\{\gamma\}$  compact. Now show that  $\{z \in \overline{G} : \inf\{|z - w| : w \in \partial G\} < \frac{r}{2}\} \subseteq H$

It suffices to show that if  $\inf\{|z - w| : w \in \partial G\} < \frac{r}{2}$  then  $z$  is in the same component of  $G - \{\gamma\}$  as some  $w \in \partial G$ . After all, as  $w \in G^c$  and  $f \approx_G 0$  we know that  $n(\gamma; w) = 0$ . Also, as  $n(\gamma; z)$  is constant on each component of  $\mathbb{C} - G$ , we would thus have that  $n(\gamma; z) = n(\gamma; w)$ . Fortunately, we can just pick  $w \in \partial G$  such that  $|z - w| < \frac{1}{2}r$ . Next, we note that the line segment  $[z, w]$  can't intersect  $\{\gamma\}$  as that would contradict how we defined  $r$ . So,  $z, w$  must be in the same component of  $\mathbb{C} - \{\gamma\}$ .

- (b) Use part (a) to show that if  $f : G \rightarrow \mathbb{C}$  is analytic and non-constant then  $f(z) = \alpha$  has at most a finite number of solutions  $z$  such that  $n(\gamma; z) \neq 0$ .

Since  $\gamma$  is bounded, we can find an open ball  $B \subseteq \mathbb{C}$  of finite radius with  $\{\gamma\} \subseteq B$ . Then as  $B$  is convex, we know that  $\gamma \sim_B 0$ . Hence  $G - B \subseteq H$ .

Meanwhile, let  $r := \inf(\{|z - w| : z \in \{\gamma\}, w \in \partial(B \cap G)\})$ . Then by part (a) we know that  $V := \{z \in \overline{B \cap G} : \inf\{|z - w| : w \in \partial(B \cap G)\} < \frac{r}{2}\} \subseteq H$ . Hence  $K := \overline{B \cap G} - V$  must contain  $H^c$ . But also note that  $V$  is an open subset of  $\overline{B \cap G}$ . Hence,  $K$  is a closed subset relative to the compact set  $\overline{B \cap G}$ . In turn,  $K$  is compact. Also as  $\partial(B \cap G) \subseteq V$  we know that  $K \subseteq B \cap G$ .

With that, we've proven there is a compact set  $K \subseteq G$  with  $n(\gamma; z) = 0$  outside of  $K$ . And as noted before,  $f(z) = \alpha$  can only have finitely many solution on  $K$  as any infinite subset of a compact set has a limit point. ■

A simple root of  $f(z) = \xi$  is a zero of  $f(z) - \xi$  with multiplicity 1.

**(Conway) Theorem IV.7.4:** Suppose  $f : G \rightarrow \mathbb{C}$  is analytic and  $z_0 \in G$  is such that  $f(z) - w_0$  has a zero of multiplicity  $m$  at  $z_0$ . Then there exists  $\varepsilon, \delta > 0$  such that for all  $w \in B_\varepsilon(w_0)$  the equation  $f(z) - w$  has exactly  $m$  zeros in  $B_\delta(z_0)$  which furthermore are all simple if  $w \neq w_0$ .

**Proof:**

To start off, we may pick  $\delta > 0$  such that  $f(z) - w_0 \neq 0$  for all  $z \in \overline{B_\delta(z_0)} - \{z_0\} \subseteq G$ . Then let  $\gamma(s) = z_0 + \delta e^{is}$  and note that  $\sigma := f \circ \gamma$  is a closed piecewise  $C^1$  curve not passing through  $w_0$ . Hence,  $\varepsilon := \inf\{|w - w_0| : w \in \{\sigma\}\} > 0$  and in turn  $g(w) := \frac{1}{2\pi i} \int_\gamma \frac{f'(z)}{f(z) - w} dz$  is continuous (by Leibniz's rule) as  $w$  ranges over  $B_\varepsilon(w_0)$ . Yet also recall from our prior theorems that  $g(w)$  is integer valued. Hence, we know  $g$  is constant on  $B_\varepsilon(w_0)$ .

But now note that  $n(\gamma; z) = 1$  for all  $z \in B_\delta(z_0)$  and  $n(\gamma; z) = 0$  for all other  $z \in \mathbb{C} - \{\gamma\}$ . Therefore, we can calculate that  $g(w_0) = \sum_{k=1}^m n(\gamma; z_0) = m \cdot 1$ . And this proves that  $g(w) = \frac{1}{2\pi i} \int_\gamma \frac{f'(z)}{f(z) - w} dz = m$  for all  $w \in B_\varepsilon(w_0)$ .

Next note that if  $a_1, \dots, a_n$  are the zeros in  $B_\delta(z_0)$  (repeated according to multiplicity) of  $f(z) - w$ , then since  $n(\gamma; a_k) = 1$  for each  $k$ , we have for all  $w \in B_\varepsilon(w_0)$  that:

$$m = g(w) = \sum_{k=1}^n n(\gamma; a_k) = n$$

So, there are exactly  $m$  solutions in  $B_\delta(z_0)$  to the equation  $f(z) = w$  for all  $w \in B_\varepsilon(w_0)$ .

Finally, if  $m = 1$  then there is nothing to prove. Meanwhile, if  $m > 1$  then we can easily show that  $f'(z_0) = 0$  (see the exercise below). In turn, as  $f'$  is analytic we can say that if we had initially started with a small enough  $\delta$  then we'd have that  $f'(z) \neq 0$  for all  $z \in \overline{B_\delta(z_0)} - \{z_0\}$ . In turn, each root of  $f(z) - w$  must be simple when  $w \neq w_0$ . ■

**Exercise IV.7.3:** Let  $f$  be analytic in  $B_R(a)$  and suppose that  $f(a) = 0$ . Show that  $a$  is a zero of multiplicity  $m$  iff  $f^{(m-1)}(a) = \dots = f^{(1)}(a) = f(a) = 0$  and  $f^{(m)}(a) \neq 0$ .

( $\Leftarrow$ )

Write  $f$  as a power series  $\sum_{n=0}^{\infty} \frac{f^{(n)}(a)}{n!} (z - a)^n$ . If  $f^{(m-1)}(a) = \dots = f^{(1)}(a) = f(a) = 0$  and  $f^{(m)}(a) \neq 0$  then we can factor out  $(z - a)^m$  and get a power series which is nonzero at  $a$ .

( $\Rightarrow$ )

Write  $f(z) = (z - a)^m g(z)$  where  $g(a) \neq 0$  and both  $f$  and  $g$  are analytic. Then we can express  $g$  as a power series  $\sum_{n=0}^{\infty} \frac{g^{(n)}(a)}{n!} (z - a)^n$ . In turn:

$$f(z) = \sum_{n=0}^{m-1} \frac{0}{n!} (z - a)^n + \sum_{n=0}^{\infty} \frac{g^{(n)}(a)}{(n)!} (z - a)^{n+m}.$$

And by looking at each coefficient in the power series, we see that  $f^{(n)}(a) = 0$  for all  $n < m$  and  $f^{(m)}(a) = m!g(a) \neq 0$ . ■



**Open Mapping Theorem:** Let  $G$  be a region and suppose that  $f : G \rightarrow \mathbb{C}$  is a non-constant analytic function on  $G$ . Then for any open set  $U \subseteq G$  we have that  $f(U)$  is open in  $\mathbb{C}$ .

**Proof:**

By the last theorem, for all  $z \in G$  we can find  $\varepsilon, \delta > 0$  such that:

$$B_\varepsilon(f(z)) \subseteq f(B_\delta(z)) \subseteq f(G).$$

One more comment before I start with the 220 homework. Conway finally proves that being complex differentiable a single time on an open set makes a function holomorphic on that open set. The proof he uses doesn't have any new ideas from my notes from last Spring though.

### Math 220a Homework:

**Exercise IV.6.1:** Let  $G$  be a region and let  $\sigma_1, \sigma_2 : [0, 1] \rightarrow G$  be the constant curves at  $a$  and  $b$  in  $G$ . Show that if  $\gamma$  is a closed piecewise  $C^1$  curve and  $\gamma \sim_G \omega_1$  then  $\gamma \sim_G \omega_2$ .

**Proof:**

Since  $G$  is a connected open subset of  $\mathbb{C}$ , we know  $G$  is path connected. Then letting  $\omega : [0, 1] \rightarrow G$  be any path going from  $a$  to  $b$ , we have that  $\Gamma(s, t) = \omega(t)$  is a homotopy from  $\sigma_1$  to  $\sigma_2$ . Hence,  $\sigma_1 \sim_G \sigma_2$ .

Then as  $\sim_G$  is an equivalence relation and  $\gamma \sim_G \sigma_1 \sim_G \sigma_2$ , we are done. ■

**Exercise IV.6.4:** Let  $G = \mathbb{C} - \{0\}$  and show that every closed curve in  $G$  is homotopic to a closed curve whose trace is contained in  $\{z : |z| = 1\}$ .

Define  $\Gamma(s, t) := (1-t)\gamma(s) + t \frac{\gamma(s)}{|\gamma(s)|}$ . Since  $\gamma(s) \neq 0$  ever, we know that  $\Gamma$  is continuous. Also,  $\Gamma(s, 0) = \gamma(s)$  and  $|\Gamma(s, 1)| = |0 + 1 \frac{\gamma(s)}{|\gamma(s)|}| = 1$ . So, the curve  $\gamma_1(s) = \Gamma(s, 1)$  is a continuous curve whose trace is contained in  $\{z : |z| = 1\}$ . Finally, as  $\gamma(0) = \gamma(1)$  we know that  $\Gamma(0, t) = \Gamma(1, t)$  for all  $t$ . Hence,  $\Gamma$  is a homotopy.

**Exercise IV.6.5:** Evaluate the integral  $\int_\gamma \frac{dz}{z^2+1}$  where  $\gamma(\theta) = 2|\cos(2\theta)|e^{i\theta}$  for  $0 \leq \theta \leq 2\pi$ .

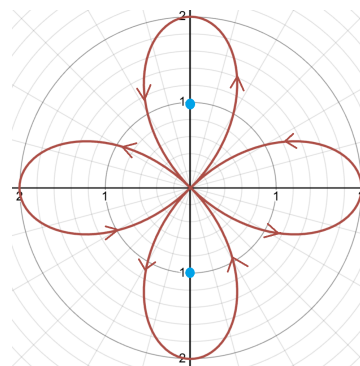
Note that  $\frac{1}{z^2+1} = \frac{1}{(z+i)(z-i)}$ . Then if  $a(z+i) + b(z-i) = 1$ , we must have that  $a+b=0$  and  $i(a-b)=1$ . In particular  $a=-b$  and so  $i(2a)=1$ . In turn  $a = \frac{1}{2i}$  and  $b = \frac{-1}{2i}$ . And this lets us conclude that:

$$\int_\gamma \frac{dz}{z^2+1} = \frac{1}{2i} \int_\gamma \frac{dz}{z-i} - \frac{1}{2i} \int_\gamma \frac{dz}{z+i} = \pi n(\gamma; i) - \pi n(\gamma; -i)$$

But now note that  $\gamma(\theta) = 2|\cos(2\theta)|e^{i\theta}$  traces out the same curve as the polar graph  $r(\theta) = 2|\cos(2\theta)|$ . Specifically, that curve has 4 equally spaced petals flower drawn counter-clockwise about the origin as shown to the right.

From here it is clear that  $n(\gamma; i) = 1$  and  $n(\gamma; -i) = 1$ . So:

$$\pi n(\gamma; i) - \pi n(\gamma; -i) = \pi - \pi = 0.$$



**Exercise IV.7.4:** Suppose that  $f : G \rightarrow \mathbb{C}$  is analytic and injective. Then  $f'(z) \neq 0$  for any  $z \in G$ .

**Proof:**

Suppose to the contrary that  $f'(z_0) = 0$  for some  $z_0 \in G$  and let  $w_0 = f(z_0)$ . Then we'd know that  $f(z) - w_0$  has a zero of multiplicity  $m > 1$  at  $z = z_0$ . So in turn, there exists  $\varepsilon, \delta > 0$  such that  $f(z) - w$  has two simple roots for in  $B_\delta(z_0)$  for all  $w \in B_\varepsilon(w_0)$ . But that also means that  $f$  isn't injective on  $B_\delta(z_0) \subseteq G$ .

This proves that  $f'(z) \neq 0$  anywhere on  $G$  is a necessary condition for an analytic function  $f : G \rightarrow \mathbb{C}$  to be injective.

**Exercise IV.7.5:** Let  $X$  and  $\Omega$  be metric spaces and suppose that  $f : X \rightarrow \Omega$  is a bijection. Then  $f$  is an open map iff  $f$  is a closed map.

To start off, for any set  $E \subseteq X$  we have that  $f(E^c) = f(X) - f(E)$  since  $f$  is injective. Then as  $f$  is surjective we have that  $f(X) - f(E) = (f(E))^c$ . Hence, we've shown that set complements commute in and out of the function.

( $\implies$ )

Suppose  $f(U)$  is open for all open  $U$ . Then given any closed set  $C$ , we know that  $f(C^c)$  is open. But we also know that  $f(C^c) = (f(C))^c$ . So,  $f(C)$  is closed.

( $\impliedby$ )

Literally do the same reasoning but swap the words open and closed.

I want to finish taking notes on Haar measures now. See [page 364](#) for where I'm starting from. As a reminder, I'm following Folland's real analysis book. Also, if  $G$  is a topological group then  $e$  is the identity element of  $G$ .

**Theorem 11.9:** If  $\mu$  and  $\nu$  are left Haar measures on a locally compact group  $G$  then there exists  $c > 0$  such that  $\mu = c\nu$ .

(Proof for when  $\mu$  is both left- and right-invariant [which will for example happen if  $G$  is abelian]):

Pick  $h \in C_c^+(G)$  such that  $h(x) = h(x^{-1})$ . (One way of doing this would be to just define  $h(x) = g(x) + g(x^{-1})$  where  $g \in C_c^+(G)$ ). Then for any  $f \in C_c(G)$ , we have that:

$$\begin{aligned} \int h d\nu \cdot \int f d\mu &= \iint h(y)f(x) d\mu(x) d\nu(y) \\ &= \iint h(y)f(xy) d\mu(x) d\nu(y) \text{ (by right-invariance of } \mu) \\ &= \iint h(y)f(xy) d\nu(y) d\mu(x) \text{ (by Fubini's theorem)} \\ &= \iint h(x^{-1}y)f(x^{-1}xy) d\nu(y) d\mu(x) \text{ (by left invariance of } \nu) \\ &= \iint h(y^{-1}x)f(y) d\nu(y) d\mu(x) \text{ (by how we chose } h) \\ &= \iint h(y^{-1}x)f(y) d\mu(x) d\nu(y) \text{ (by Fubini's theorem)} \\ &= \iint h(yy^{-1}x)f(y) d\mu(x) d\nu(y) \text{ (by left-invariance of } \mu) \\ &= \iint h(x)f(y) d\mu(x) d\nu(y) = \int h d\mu \cdot \int f d\nu \end{aligned}$$

Hence  $\int f d\mu = c \int f d\nu$  for all  $f \in C_c^+(G)$  where  $c = (\int h d\mu) / (\int h d\nu)$ . (Recall that  $\int h d\nu > 0$  by [proposition 11.4\(c\) on page 353](#)). In turn, this implies that  $\mu = c\nu$  (since  $\mu$  and  $\nu$  are Radon measures).

(General Proof:)

Note that  $\mu = c\nu$  iff the ratio  $r_f := (\int f d\mu)/(\int f d\nu)$  is independent of  $f \in C_c^+(G)$ .

The ( $\Leftarrow$ ) implication is obvious. Meanwhile, to see the other direction, note that for any nonempty open set we can find a sequence of functions such that  $(\int f_n d\mu)/(\int f_n d\nu) \rightarrow \mu(U)/\nu(U)$  as  $n \rightarrow \infty$  (again,  $U$  has nonzero  $\nu$  measure by [proposition 11.4\(c\)](#)). So the right side statement would imply  $\mu(U) = r_f \nu(U)$  for all open sets  $U$ . Then by the outer regularity of  $\mu$  and  $\nu$  we'd have that  $\mu = r_f \nu$ .

So, suppose  $f, g \in C_c^+(G)$ . Then fix a compact symmetric neighborhood  $V_0$  of  $e$  and set  $A := (\text{supp}(f))V_0 \cup V_0(\text{supp}(f))$  and  $B := (\text{supp}(g))V_0 \cup V_0(\text{supp}(g))$ .

Note by the continuity of  $x \mapsto x^{-1}$  that if  $N$  is a compact neighborhood of  $e$  then so is  $N^{-1}$ . So, we have no issue defining  $V_0 = N \cap N^{-1}$  like in [proposition 11.1\(b\)](#). Similarly,  $A$  and  $B$  are compact by [proposition 11.1\(f\)](#).

Now for any  $y \in V_0$  the functions  $x \mapsto f(xy) - f(yx)$  and  $x \mapsto g(xy) - g(yx)$  are supported in  $A$  and  $B$ . Also by [proposition 11.2](#), given any  $\varepsilon > 0$  we can get a symmetric compact neighborhood  $V \subseteq V_0$  of  $e$  such that:

$$\sup_{x \in G} |f(xy) - f(yx)| < \varepsilon \text{ and } \sup_{x \in G} |g(xy) - g(yx)| < \varepsilon \text{ for all } y \in V.$$

To get  $V$ , first just take the intersection of  $V_0$  with four different neighborhoods gotten by [proposition 11.2](#). Then use LCH space properties to get compact neighborhood of  $e$  contained in that intersection. And finally, use [proposition 11.1\(b\)](#) to get a compact symmetric neighborhood.

Pick  $h \in C_c^+(G)$  with  $\text{supp}(h) \subseteq V$  and  $h(x) = h(x^{-1})$ . Similarly to the last page, you can do this by defining  $h(x) = g(x) + g(x^{-1})$  where  $g \in C_c^+(G)$  satisfies that  $\text{supp}(g) \subseteq V$ . Then:

$$\begin{aligned} \int h d\nu \int f d\mu &= \iint h(y) f(x) d\mu(x) d\nu(y) \\ &= \iint h(y) f(yx) d\mu(x) d\nu(y) \text{ (by the left-invariance of } \mu) \end{aligned}$$

But also note that:

$$\begin{aligned} \int h d\mu \int f d\nu &= \iint h(x) f(y) d\mu(x) d\nu(y) \\ &= \iint h(y^{-1}x) f(y) d\mu(x) d\nu(y) \text{ (by the left-invariance of } \mu) \\ &= \iint h(y^{-1}x) f(y) d\nu(y) d\mu(x) \text{ (by Fubini's theorem)} \\ &= \iint h(x^{-1}y) f(y) d\nu(y) d\mu(x) \text{ (by how we chose } h) \\ &= \iint h(xx^{-1}y) f(xy) d\nu(y) d\mu(x) \text{ (by left-invariance of } \nu) \\ &= \iint h(y) f(xy) d\mu(x) d\nu(y) \text{ (by Fubini's theorem)} \end{aligned}$$

Therefore, we have that:

$$\begin{aligned} \left| \int h d\mu \int f d\nu - \int h d\nu \int f d\mu \right| &= \left| \iint h(y) \cdot (f(xy) - f(yx)) d\mu(x) d\nu(y) \right| \\ &\leq \varepsilon \mu(A) \int h d\nu \end{aligned}$$

By identical reasoning we can also conclude that:

$$\left| \int h d\mu \int g d\nu - \int h d\nu \int g d\mu \right| \leq \varepsilon \mu(B) \int h d\nu.$$

So, divide these inequalities by  $(\int h d\nu)(\int f d\nu)$  and  $(\int h d\nu)(\int g d\nu)$  respectively to get that:

$$\left| \frac{\int h d\mu}{\int h d\nu} - \frac{\int f d\mu}{\int f d\nu} \right| \leq \frac{\varepsilon \mu(A)}{\int f d\nu} \text{ and } \left| \frac{\int h d\mu}{\int h d\nu} - \frac{\int g d\mu}{\int g d\nu} \right| \leq \frac{\varepsilon \mu(B)}{\int g d\nu}$$

In turn, by triangle inequality we know that  $\left| \frac{\int f d\mu}{\int f d\nu} - \frac{\int g d\mu}{\int g d\nu} \right| \leq \varepsilon \left( \frac{\mu(A)}{\int f d\nu} + \frac{\mu(B)}{\int g d\nu} \right)$ . And to finish the proof we take  $\varepsilon \rightarrow 0$  (which we can do because  $A$  and  $B$  were chosen before we considered  $\varepsilon$ ). ■

If  $\mu$  is a left Haar measure on  $G$  and  $x \in G$ , then the measure  $\mu_x(E) = \mu(Ex)$  is another left Haar measure. Hence by the prior theorem there exists a number  $\Delta(x)$  such that  $\mu_x = \Delta(x)\mu$ . Also by the prior theorem,  $\Delta(x)$  is independent of our choice of left Haar measure  $\mu$ .

We call  $\Delta : G \rightarrow (0, \infty)$  the modular function of  $G$ .

**Proposition 11.10:**  $\Delta$  is a continuous homomorphism from  $G$  to the multiplicative group of positive real numbers. Moreover, if  $\mu$  is a left Haar measure on  $G$ , for any  $f \in L^1(\mu)$  and  $y \in G$  we have that  $\int (R_y f) d\mu = \Delta(y^{-1}) \int f d\mu$ .

**Proof:**

For any  $x, y \in G$  and  $E \in \mathcal{B}_G$  we have that:

$$\Delta(xy)\mu(E) = \mu(Exy) = \Delta(y)\mu(Ex) = \Delta(y)\Delta(x)\mu(E) = \Delta(x)\Delta(y)\mu(E).$$

Hence,  $\Delta$  is a group homomorphism from  $G$  to  $(0, \infty)$ .

Next note that  $\mu_{y^{-1}}$  is just the image (or pushforward) measure of the function  $x \mapsto xy$ . Hence by [proposition 10.1 on page 193](#):

$$\int (R_y f) d\mu = \int f d\mu_{y^{-1}} = \Delta(y^{-1}) \int f d\mu$$

Finally, the below exercise plus the above formula shows that the map  $y \mapsto \Delta(y^{-1}) \int f d\mu$  is continuous for any  $f \in L^1(\mu)$ . After fixing  $f$  so that  $\int f d\mu = 1$  and composing this map from the inside with the continuous inversion map, we get that  $\Delta$  is continuous.

**Exercise 11.2:** If  $\mu$  is a Radon measure on the locally compact group  $G$  and  $f \in C_c(G)$  then the functions  $x \mapsto \int (L_x f) d\mu$  and  $x \mapsto \int (R_x f) d\mu$  are continuous.

The proof is analogous for the left translation and right translation cases. So I'll just focus on the map  $x \mapsto \int (R_x f) d\mu$ .

Given  $f \in C_c(G)$ , consider any fixed  $x_0 \in G$  and  $\varepsilon > 0$ . By [proposition 11.2](#) we can find a neighborhood  $V$  of  $e$  such that for all  $y \in V$ :

$$\|R_y(R_{x_0}f) - (R_{x_0}f)\|_u = \|R_{yx_0}f - (R_{x_0}f)\|_u < \frac{\varepsilon}{\mu(\text{supp}(R_{x_0}f))}.$$

In particular, this means for any  $x$  in the neighborhood  $Vx_0$  of  $x_0$  that

$$\left| \int (R_x f) d\mu - \int (R_{x_0} f) d\mu \right| \leq \frac{\varepsilon}{\mu(\text{supp}(R_{x_0} f))} \cdot \mu(\text{supp}(R_{x_0} f)) = \varepsilon.$$

And this proves that  $x \mapsto \int (R_x f) d\mu$  is continuous at  $x = x_0$ . ■

Any left Haar measure of a locally compact group  $G$  is also a right Haar measure iff  $\text{im}(\Delta) = 1$ , in which case  $G$  is called unimodular. Now it's obvious that all abelian locally compact groups are unimodular. But interestingly enough, we can also show that if a group becomes not abelian enough, then it's also guaranteed to be unimodular.

Proposition 11.12: Let  $G$  be a locally compact group. If  $G/[G, G]$  is finite then  $G$  is unimodular.

Since  $\Delta$  is a homomorphism from  $G$  to an abelian group, we must have that the commutator subgroup  $[G, G]$  is contained in the kernel of  $\Delta$ . Hence, by quotienting out  $[G, G]$  we get a well-defined homomorphism  $\tilde{\Delta} : G/[G, G] \rightarrow (0, \infty)$ . But now as  $G/[G, G]$  is finite, we must have that  $\text{im}(\tilde{\Delta}) = \text{im}(\Delta)$  is a finite subgroup of  $(0, \infty)$ . Yet, the only finite subgroup of the multiplicative group of positive real numbers is  $\{1\}$ . So,  $\Delta(g) = 1$  for all  $g \in G$ . ■

Another useful case is as follows:

Proposition 11.13: If  $G$  is a compact group then  $G$  is unimodular.

Proof:

Let  $\mu$  be a left Haar measure. Then for any  $x \in G$  we have that  $\mu(G) = \mu(Gx^{-1}) = \Delta(x)\mu(G)$ . And since  $0 < \mu(G) < \infty$ , this means that  $\Delta(x) = 1$  for all  $x \in G$ . ■

This is where I'm going to stop covering Folland again and instead switch over to the math 241 class (which I'm still in by the way).

## 11/26/2025

### Math 241a Notes:

In this class we'll assume topological groups are always Hausdorff. Recall [page 351](#) for why this isn't must of a restriction.

(Example 1.3.4:) Here are some relevant examples of topological groups.

- Note that  $\text{GL}_n(\mathbb{R})$  is a group with an obvious embedding into  $\mathbb{R}^{n^2}$ . Furthermore, matrix multiplication and inversion can be written such that each component of the resulting matrix is a rational function of the components of the input matrices. Hence, giving  $\text{GL}_n(\mathbb{R})$  the Euclidean topology induced by  $\mathbb{R}^{n^2}$  turns  $\text{GL}_n(\mathbb{R})$  into a topological group.
- If  $G$  is a topological group and  $H < G$ , then  $H$  equipped with the subspace topology will be a topological group. In particular, this means any subgroup of  $\text{GL}_n(\mathbb{R})$  is a topological group.

Side note, on [page 92](#) I showed that the set of all orthogonal  $n \times n$  matrices  $O_n(\mathbb{R})$  is a smooth compact manifold in  $\mathbb{R}^{n^2}$ . And since the group operations on  $O_n(\mathbb{R})$  are smooth, we say  $O_n(\mathbb{R})$  is a lie group.

- If  $\mathcal{X}$  is a normed vector space, then  $\text{Iso}(\mathcal{X})$  is a topological group when equipped with the strong operator topology.

**Proof:**

Let  $\langle (T_i, S_i) \rangle_{i \in I}$  be a net in  $\text{Iso}(\mathcal{X}) \times \text{Iso}(\mathcal{X})$  converging to  $(T, S)$  operator strongly. Then we claim that  $T_i S_i \rightarrow TS$  operator strongly. After all, fix any  $x \in \mathcal{X}$  and  $\varepsilon > 0$ . Then as  $T_i$  is an isometry for each  $i$ , we have that:

$$\begin{aligned} \|T_i(S_i(x)) - T(S(x))\| &\leq \|T_i(S_i(x)) - T_i(S(x))\| + \|T_i(S(x)) - T(S(x))\| \\ &= \|S_i(x) - S(x)\| + \|T_i(S(x)) - T(S(x))\| \end{aligned}$$

Then because  $S_i \rightarrow S$  and  $T_i \rightarrow T$  operator strongly, we know that  $\|S_i(x) - S(x)\| \rightarrow 0$  and  $\|T_i(S(x)) - T(S(x))\| \rightarrow 0$ .

Next, let  $\langle T_i \rangle_{i \in I}$  be a net in  $\text{Iso}(\mathcal{X})$  converging to  $T$  operator strongly. Then since  $T_i$  is an isometry, for any fixed  $x \in \mathcal{X}$  we have that:

$$\|T_i^{-1}(x) - T^{-1}(x)\| = \|x - T_i(T^{-1}(x))\| = \|T(T^{-1}(x)) - T_i(T^{-1}(x))\|$$

And since  $T_i \rightarrow T$  operator strongly,  $\|T(T^{-1}(x)) - T_i(T^{-1}(x))\| \rightarrow 0$ . Hence  $T_i^{-1} \rightarrow T^{-1}$  operator strongly. ■

**(Zimmer) Exercise 1.21:** Let  $\mathcal{H}$  be a Hilbert space and let  $U(\mathcal{H})$  be the group of unitary linear operators on  $\mathcal{H}$ . Then the strong and weak operator topologies are the same on  $U(\mathcal{H})$ .

**Proof:**

We already know the strong operator topology is finer than the weak operator topology. Meanwhile, to show the other direction it suffices to show by the corollary on [page 229](#) that weak operator convergence in  $U(\mathcal{H})$  implies strong operator convergence in  $U(\mathcal{H})$ .

Let  $\{e_i\}_{i \in I}$  be an orthonormal basis for  $\mathcal{H}$ . Then consider any net  $\langle T_\alpha \rangle_{\alpha \in A}$  in  $U(\mathcal{H})$  converging to  $T$ . Since  $\|T_\alpha\|, \|T\| = 1$  for all  $\alpha \in A$ , we know by example 1.3.2 on [pages 303-304](#) that if  $\|T_\alpha e_i - T e_i\| \rightarrow 0$  for all  $i$  then  $T_\alpha \rightarrow T$  operator strongly.

As a side note, none of the reasoning I wrote on pages 303 and 304 breaks down if you use nets instead of sequences. I just used sequences because the professor prefers them.

Fortunately, note that:

$$\begin{aligned} \|T_\alpha e_i - T e_i\|^2 &= \langle T_\alpha e_i - T e_i, T_\alpha e_i - T e_i \rangle \\ &= \langle T_\alpha e_i, T_\alpha e_i - T e_i \rangle - \langle T e_i, T_\alpha e_i - T e_i \rangle \\ &= \langle T_\alpha e_i, T_\alpha e_i \rangle - \langle T_\alpha e_i, T e_i \rangle - \langle T e_i, T_\alpha e_i \rangle + \langle T e_i, T e_i \rangle \\ &= \langle e_i, e_i \rangle - \langle T_\alpha e_i, T e_i \rangle - \langle T e_i, T_\alpha e_i \rangle + \langle e_i, e_i \rangle \\ &= 2 - (\langle T_\alpha e_i, T e_i \rangle - \langle T e_i, T_\alpha e_i \rangle) \\ &= 2 - (\langle T_\alpha e_i, T e_i \rangle - \overline{\langle T_\alpha e_i, T e_i \rangle}) \end{aligned}$$

Since  $T_\alpha \rightarrow T$  operator weakly, we know that  $\langle T_\alpha x, y \rangle \rightarrow \langle T x, y \rangle$  for all  $x, y \in \mathcal{H}$ . In particular, setting  $x = e_i$  and  $y = T e_i$  we have that  $\langle T_\alpha e_i, T e_i \rangle \rightarrow \langle T e_i, T e_i \rangle$ . And as  $T$  is unitary, the latter is equal to  $\langle e_i, e_i \rangle = 1$ . This shows that:

$$2 - (\langle T_\alpha e_i, T e_i \rangle - \overline{\langle T_\alpha e_i, T e_i \rangle}) \rightarrow 2 - (1 + 1) = 0. \blacksquare$$

Given a topological group  $G$  and a topological space  $X$ , we say an action  $G \curvearrowright X$  is continuous if its corresponding induced map  $G \times X \rightarrow X$  is continuous. Note in that case that the map  $\varphi_g(x) := g \cdot x$  is a homeomorphism on  $X$  with inverse  $\varphi_{g^{-1}}$ .

If  $G$  is a group and  $V$  is a vector space, a representation of  $G$  on  $V$  is a homomorphism  $G \rightarrow \text{GL}(V)$  where  $\text{GL}(V)$  is the group of invertible linear maps on  $V$ . If  $\mathcal{X}$  is a topological vector space (which is always assumed to be over  $\mathbb{R}$  or  $\mathbb{C}$  in this class), a representation of  $G$  on  $\mathcal{X}$  is a homomorphism  $\pi : G \rightarrow \text{Aut}(\mathcal{X})$ . When  $G$  is also a topological group, we can talk about  $\pi$  as being continuous with respect to an operator topology on  $\text{Aut}(\mathcal{X})$ .

If  $\mathcal{X}$  is a normed vector space, a representation  $\pi : G \rightarrow \mathcal{X}$  is called an isometric representation if  $\pi(G) \subseteq \text{Iso}(\mathcal{X})$ . We similarly define unitary representations into Hilbert spaces.

**(Zimmer) Exercise 1.12:** If  $G$  is a topological group and  $\mathcal{X}$  is a normed space, show that a representation  $\pi : G \rightarrow \text{Aut}(\mathcal{X})$  is continuous iff it is continuous at the identity  $e$  of  $G$ .

The ( $\Leftarrow$ ) direction with respect to each of the three settings below is trivial. Meanwhile, given any net  $\langle g_i \rangle_{i \in I}$  in  $G$  converging to some element  $g$ , note that:

- $\|\pi(g_i) - \pi(g)\|_{\text{op}} = \|\pi(g_i g^{-1})\pi(g) - \pi(g)\|_{\text{op}} \leq \|\pi(g_i g^{-1}) - \text{Id}\|_{\text{op}} \cdot \|\pi(g)\|_{\text{op}},$
- $\|(\pi(g_i))(x) - (\pi(g))(x)\| = \|(\pi(g_i g^{-1}))((\pi(g))(x)) - (\pi(g))(x)\|$   
 $= \|(\pi(g_i g^{-1}) - \text{Id})((\pi(g))(x))\| \text{ for all } x \in \mathcal{X}$
- $|f((\pi(g_i))(x) - (\pi(g))(x))| = |f((\pi(g_i g^{-1}))((\pi(g))(x)) - (\pi(g))(x))|$   
 $= |f((\pi(g_i g^{-1}) - \text{Id})((\pi(g))(x)))| \text{ for all } x \in \mathcal{X} \text{ and } f \in \mathcal{X}^*$

Thus,  $\pi(g_i) \rightarrow \pi(g)$  in norm, operator strongly, or operator weakly if  $\pi(g_i g^{-1}) \rightarrow \pi(e)$  in norm, operator strongly, or operator weakly respectively. Fortunately, the latter happens if  $\pi$  is continuous at  $e$ . ■

**Proposition 1.3.9:** Let  $G$  be a topological group acting continuously on an LCH space  $X$ . Then let  $\pi : G \rightarrow \text{Iso}(C_c(X))$  be given by  $(\pi(g))(f) := f(g^{-1} \cdot x)$ . Now  $\pi$  is a continuous representation when  $\text{Iso}(C_c(X))$  has the strong operator topology.

**Proof:**

To start off, recall [example 1.2.4](#) on page 284 for why  $\pi(g) \in \text{Iso}(C_c(X))$  for each  $g$ .

Technically, on page 284 I showed that  $\pi(g)$  would be an isometric isomorphism on  $BC(X)$ . That said, as  $x \mapsto g \cdot x$  and  $x \mapsto g^{-1} \cdot x$  are continuous maps, we know that  $\text{supp}(f)$  is compact iff  $g \cdot \text{supp}(f)$  is compact. Hence,  $\pi(g)$  maps  $C_c(X)$  bijectively into  $C_c(X)$ .

Meanwhile, it's easy to see  $\pi$  is a group homomorphism. So, all that's left to show is that  $\pi$  is continuous, and to do that it suffices by the prior exercise to show  $\pi$  is continuous at  $e \in G$ . Thus, we want to show that if  $f \in C_c(X)$  and  $\varepsilon > 0$  then there is a neighborhood  $V$  of  $e$  with  $\|(\pi(g))(f) - f\|_u < \varepsilon$  for all  $g \in V$ .



Fortunately, since  $\text{supp}(f)$  is compact and  $X$  is locally compact, we can find a precompact open set  $U \subseteq X$  containing  $\text{supp}(f)$ . Then for each  $x \in \text{supp}(f)$ , continuity of the group action implies there is an open neighborhood  $U_x$  of  $x$  in  $X$  and an open neighborhood  $W_x$  of  $e$  in  $G$  such that  $W_x \cdot U_x \subseteq U$ .

$W_x \times U_x$  is an open neighborhood of  $(e, x)$  which is in the preimage of  $U$  with respect to the group action.

Next, by the compactness of  $\text{supp}(f)$  there exists  $x_1, \dots, x_n \in \text{supp}(f)$  such that  $\text{supp}(f) \subseteq \bigcup_{i=1}^n U_{x_i}$ . In turn,  $W := \bigcap_{i=1}^n W_{x_i}$  is an open neighborhood of  $e$  such that  $W \cdot \text{supp}(f) \subseteq U$ . And in particular, after making  $W$  symmetric (remember [proposition 11.1\(b\)](#) from Folland), we can say that  $\text{supp}((\pi(g))(f)) \subseteq \bar{U}$  for all  $g \in W$ .

Now we just need to find an open neighborhood  $V \subseteq W$  of  $e$  such that:

$$|f(g^{-1} \cdot x) - f(x)| < \varepsilon \text{ for all } x \in \bar{U}.$$

To do that, note by the continuity of  $f$  that for each  $x \in \bar{U}$  we can choose an open neighborhood  $U'_x$  of  $x$  such that  $|f(y) - f(x)| < \varepsilon/2$  for all  $y \in U'_x$ . Then by the continuity of the group action, we can find open neighborhoods  $Z_x$  of  $e$  in  $G$  and  $Y_x$  of  $x$  in  $X$  such that  $Z_x \cdot Y_x \subseteq U'_x$ .

Using the compactness of  $\bar{U}$ , choose a new finite set  $x_1, \dots, x_m \in \bar{U}$  such that  $\bar{U} \subseteq \bigcup_{i=1}^m Y_{x_i}$ . Then set  $W' = W \cap \bigcap_{i=1}^m Z_{x_i}$  and define  $V := W' \cap (W')^{-1}$ . Now  $V$  is an open neighborhood of  $e$  in  $G$ . Also if  $g \in V$  and  $y \in \bar{U}$ , then because  $y \in Y_{x_i} \subseteq U_{x_i}$  for some  $i$  (which also means  $g^{-1} \cdot y \in Y_{x_i} \subseteq U_{x_i}$ ), we know that:

$$|f(g^{-1} \cdot y) - f(y)| \leq |f(g^{-1}y) - f(x_i)| + |f(x_i) - f(y)| < \varepsilon/2 + \varepsilon/2. \blacksquare$$

A basic corollary of the above proposition is that every  $f \in C_c(\mathbb{R}^n)$  is uniformly continuous. After all, we can apply the above proposition to the action  $\mathbb{R}^n \curvearrowright \mathbb{R}^n$  by translation. That said, I already proved this corollary in my notes from Spring 2025.

In a similar vein, the next two results will prove a generalization of Folland proposition 8.5 from my math 240c notes from last spring.

**(Zimmer) exercise 1.13:** Suppose  $\mathcal{X}$  is a normed topology and  $\langle T_i \rangle_{i \in I}$  is a net in  $B(\mathcal{X})$ . Also suppose that  $T \in B(\mathcal{X})$  and there exists  $C > 0$  with  $\|T_i\|, \|T\| < C$  for all  $i \in I$ . Then  $T_i \rightarrow T$  operator strongly if and only if there is a dense set  $\mathcal{X}_0 \subseteq \mathcal{X}$  such that  $T_i x \rightarrow T x$  for all  $x \in \mathcal{X}_0$ .

**Proof:**

The  $(\implies)$  direction is trivial. As for the other direction, consider any  $x \in \mathcal{X}$  and let  $\{x_n\}_{n \in \mathbb{N}}$  be a sequence in  $\mathcal{X}_0$  converging to  $x$ . Then, we know that  $\|x_n - x\| < \varepsilon/2C$  for some  $n \in \mathbb{N}$ . In turn:

$$\begin{aligned} \|T_i x - T x\| &\leq \|T_i x - T_i x_n\| + \|T_i x_n - T x_n\| + \|T x_n - T x\| \\ &\leq \|T_i\| \|x - x_n\| + \|T_i x_n - T x_n\| + \|T\| \|x_n - x\| \\ &< C \frac{\varepsilon}{2C} + \|T_i x_n - T x_n\| + C \frac{\varepsilon}{2C} = \|T_i x_n - T x_n\| + \varepsilon. \end{aligned}$$

And since  $T_i x_n \rightarrow T x_n$ , we thus know that  $\|T_i x - T x\| < 2\varepsilon$  eventually for all  $\varepsilon > 0$ .  $\blacksquare$

**Proposition 1.3.10:** Let  $G$  be a topological group acting continuously on an LCH space  $X$ . Suppose  $\mu$  is a measure on  $X$  which is  $G$ -invariant (i.e.  $\varphi_g$  is measure preserving for each  $g \in G$  [recall [page 263](#)]). Suppose further that  $\mu(K) < \infty$  for every compact  $K \subseteq X$  (which is true if  $\mu$  is Radon). Then for  $1 \leq p < \infty$ , the representation  $\pi : G \rightarrow \text{Iso}(L^p(X))$  given by  $(\pi(g)(f))(x) := f(g^{-1} \cdot x)$  is continuous for the strong operator topology.

**Proof:**

To see that  $\pi$  really does map  $G$  into  $\text{Iso}(L^p(X))$  just apply lemma 2.6 on [page 264](#) to  $|f(x)|^p$  and  $|f(g^{-1} \cdot x)|^p$ . Also,  $\pi$  is seen to be a group homomorphism identically as in the last proposition. So, we just need to show that  $\pi$  is continuous for the strong operator topology. This is equivalent to saying that  $g \mapsto (\pi(g))(f)$  is a continuous map from  $G$  to  $L^p(X)$  for all  $f \in L^p(X)$ .

Fortunately, like in the last proposition it suffices to show that  $\|(\pi(g_i))(f) - f\|_p \rightarrow 0$  for any net  $\langle g_i \rangle_{i \in I}$  converging to  $e$  in  $G$ . Also, by the prior exercise plus the fact that  $C_c(X)$  is dense in  $L^p(X)$  for  $1 \leq p < \infty$  (see my math 240c notes), it suffices to assume that  $f \in C_c(X)$ .

But now we already know from the proof of the last proposition that  $(\pi(g_i))(f) \rightarrow f$  uniformly and that we can find a compact set  $\bar{U}$  such that  $\text{supp}(f) \subseteq \bar{U}$  and  $\text{supp}((\pi(g_i))(f)) \subseteq \bar{U}$  eventually. This implies  $L^p$  convergence. ■

Note that every representation  $\pi : G \rightarrow \text{Aut}(\mathcal{X})$  is a group action  $G \times \mathcal{X} \rightarrow \mathcal{X}$  by linear automorphisms (i.e.  $g \cdot x = (\pi(g))x$ ) and vice versa. Thus, when we talk about fixed points of representations we are really talking about the fixed points of their induced group action.

**Kakutani-Markov Fixed Point Theorem:** Let  $\mathcal{X}$  be a topological vector space whose topology is defined by a sufficient family of seminorms. Suppose  $G$  is an abelian group and  $\pi : G \rightarrow \text{Aut}(\mathcal{X})$  is a representation. Let  $A \subseteq \mathcal{X}$  be a compact convex set that is  $G$ -invariant (i.e.  $(\pi(g))(A) \subseteq A$  for all  $g \in G$ ). Then there is a  $G$ -fixed point in  $A$ .

**Proof:**

For each  $g \in G$  and  $n \geq 0$ , define  $M_{n,g} \in B(\mathcal{X})$  by  $M_{n,g} = \frac{1}{n} \sum_{i=0}^{n-1} \pi(g^i)$ . Since  $A$  is convex and  $G$ -invariant, we have that  $M_{n,g}(A) \subseteq A$  for all  $n, g$ . Next, let  $G^*$  be the semigroup of operators generated by  $\{M_{n,g} : n \geq 0, g \in G\}$  (i.e. the collection of all finite compositions of such operators).

(Technically  $G^*$  would be a monoid as  $M_{1,e} = \text{Id}$ . That said, we don't necessarily know if the operators in  $G^*$  have inverses, or if they do have inverses which aren't in  $G^*$ ).

Since  $G$  is abelian we know that  $G^*$  is commutative. After all:

$$\begin{aligned} M_{n_1, g_1} M_{n_2, g_2} &= \left( \frac{1}{n_1} \sum_{i=0}^{n_1-1} \pi(g_1^i) \right) \left( \frac{1}{n_2} \sum_{j=0}^{n_2-1} \pi(g_2^j) \right) \\ &= \frac{1}{n_1 n_2} \sum_{i=0}^{n_1-1} \sum_{j=0}^{n_2-1} \pi(g_1^i) \pi(g_2^j) = \frac{1}{n_2 n_1} \sum_{i=0}^{n_1-1} \sum_{j=0}^{n_2-1} \pi(g_2^j) \pi(g_1^i) \end{aligned}$$

$$\begin{aligned}
&= \left( \frac{1}{n_2} \sum_{j=0}^{n_2-1} \pi(g_2^j) \right) \left( \frac{1}{n_1} \sum_{i=0}^{n_1-1} \pi(g_1^i) \right) \\
&= M_{n_2, g_2} M_{n_1, g_1}
\end{aligned}$$

Now we claim that  $F := \bigcap_{T \in G^*} T(A) \neq \emptyset$  and that every element of  $F$  is a  $G$ -fixed point. To see this, note that as  $A$  is compact and each  $T \in G^*$  is continuous, we know that  $T(A)$  is compact for each  $T \in G^*$ . Then since  $\mathcal{X}$  is Hausdorff, we know that  $T(A)$  is closed for each  $T \in G^*$ . And since  $T(A) \subseteq A$  for each  $T \in G^*$  and  $A$  is compact, we will be able to use the finite intersection property to prove  $F$  is nonempty if for any finite set  $T_1, \dots, T_n \in G^*$  we have that  $\bigcap_{i=1}^n T_i(A) \neq \emptyset$ .

Fortunately, if we let  $S = T_1 \circ \dots \circ T_n \in G^*$  then we know that:

$$S(A) = T_1(T_2 \circ \dots \circ T_n(A)) \subseteq T_1(A).$$

Also note that as  $G^*$  is commutative, we can rewrite  $S = T_i \circ T_1 \circ \dots \circ T_{i-1} \circ T_{i+1} \circ \dots \circ T_n$  and apply the prior line's reasoning to get that  $S(A) \subseteq T_i(A)$  for any  $i$ . Therefore:

$$\emptyset \neq S(A) \subseteq \bigcap_{i=1}^n T_i(A).$$

With that we know  $F$  is nonempty. So, we now consider any  $y \in F$ . By the definition of  $F$ , for each  $n \geq 0$  and  $g \in G$  there is some  $x \in A$  such that  $y = \frac{1}{n}(x + \dots + \pi(g^{n-1})x)$ . In turn:

$$\pi(g)y - y = \frac{\pi(g)x + \dots + \pi(g^n)x}{n} - \frac{x + \dots + \pi(g^{n-1})x}{n} = \frac{\pi(g^n)x - x}{n}$$

Now if  $p$  is any of the seminorms defining the topology, then for each  $n$  we have that  $p(\pi(g)y - y) \leq 2B_p/n$  where  $B_p = \sup\{p(a) : a \in A\}$ . (Note that  $B_p$  is well-defined (and finite) since  $A$  is compact and  $p$  is continuous.) As  $n$  was arbitrary, we can take  $n \rightarrow \infty$  to get that  $p(\pi(g)y - y) = 0$ . And since  $p$  was an arbitrary seminorm in the sufficient family defining the topology on  $\mathcal{X}$ , we have that  $\pi(g)y = y$ . Finally, as  $g$  was arbitrary we know  $y$  is a  $G$ -fixed point. ■

As a side note, I proved a similar fact on the second problem set for math 200a (see [page 287](#)). To briefly compare the two results, this result doesn't assume  $G$  is a finite group like the homework problem did, or that we are working in  $\mathbb{R}^n$ . That said, the homework problem didn't require  $A$  to be compact and  $G$  to be abelian. Also, the homework problem assumed  $G$  acted by affine transformations as opposed to linear transformations.

Although, it is worth noting that none of the reasoning in this proof breaks down if we assume  $\pi(g)$  is a continuous affine transformation on  $\mathcal{X}$  instead of a linear transformation.

As shown on page 286, every affine transformation is a linear transformation minus a constant and vice versa. So, we know that summing, scaling, and composing continuous affine transformations always yields a continuous affine transformation. Furthermore, our evaluation of  $\pi(g)y - y$  doesn't change and we still have that each  $M_{n,g}$  maps  $A$  into  $A$ .

One particular compact convex subset of a topological vector space we care about is the set of probability measures  $M(X) \subseteq C(X)^*$  (where  $X$  is a compact metric space). (It is easy to see that  $M(X)$  is convex and we know from [corollary 1.1.29 \(see page 283\)](#) that  $M(X)$  is compact in the weak\* topology.)

---

Before continuing on, I want to actually prove that all probability measures on  $X$  are regular (and thus Radon) since I forgot to show that back on [page 283](#).

Claim: If  $X$  is a compact metric space, then  $X$  is second countable.

Proof:

Since  $X$  is totally bounded, we know that there is a finite collection  $\mathcal{U}_n$  of open balls of radius  $1/n$  covering  $X$  for all  $n \in \mathbb{N}$ . Then we claim that  $\mathcal{U} = \bigcup_{n \in \mathbb{N}} \mathcal{U}_n$  is a countable base for  $X$ . After all, we already know each  $x \in X$  is contained in some  $B \in \mathcal{U}$ . On the other hand, suppose  $x \in X$  and  $V \subseteq X$  is an open set containing  $x$ . Then we know  $B_r(x) \subseteq V$  for some  $r > 0$ . In turn, if we fix  $k \in \mathbb{N}$  such that  $1/k < r/2$  then we know there is some ball  $B$  of radius  $1/k$  in  $\mathcal{U}$  satisfying that  $x \in B \subseteq B_r(x) \subseteq V$ .

Consequently, we know by Folland theorem 7.8 and the comment right after it in my math 240c notes that any Borel measure on a compact metric space  $X$  that is finite on compact sets is regular. In particular, this means all finite Borel measures on compact metric spaces are regular.

---

(Definition 1.3.12:) If  $\pi : G \rightarrow \text{GL}(V)$  is a representation, we define the adjoint of  $\pi$  by  $\pi^* : G \rightarrow \text{GL}(V^*)$  where  $\pi^*(g) := \pi(g^{-1})^*$ . ( $\pi(g^{-1})^*$  denotes the transpose of  $\pi(g^{-1})$ ) [see [page 102](#) and [page 300](#).]

Note that  $\pi^*$  is a representation. After all, recalling [proposition 1.3.18 on page 103](#), we know that:

$$\pi^*(gh) = \pi(h^{-1}g^{-1})^* = (\pi(h^{-1})\pi(g^{-1}))^* = \pi(g^{-1})^*\pi(h^{-1})^* = \pi^*(g)\pi^*(h)$$

When  $V = \mathcal{X}$  is a topological vector space (so that our definition of a representation requires  $\pi$  to map  $G$  into  $\text{Aut}(\mathcal{X})$ ), then  $\pi^*$  still defines a representation if either:

- $\mathcal{X}^*$  has the weak\* topology;
- $\mathcal{X}$  is normed and  $\mathcal{X}^*$  has the operator norm topology.

To see this just recall [page 301](#) and also note that  $\pi^*(g)$  has the continuous inverse  $\pi^*(g^{-1})$  for all  $g \in G$ .

Now let's return to considering a compact metric space  $X$  and suppose  $G$  is a topological group acting continuously on  $X$ . By using [proposition 1.3.9](#) we get a representation  $\pi : G \rightarrow \text{Iso}(C(X))$  given by  $(\pi(g))(f) := f(g^{-1} \cdot x)$ . Then, by taking the adjoint of  $\pi$  we get a representation  $\pi^* : G \rightarrow \text{Aut}(C(X)^*)$  given by:

$$(\pi^*(g))(\lambda) = (\pi(g^{-1}))^*(\lambda) = \lambda \circ \pi(g^{-1}). \text{ (FYI this construction continues on the next page...)}$$

As a bit of notation, if  $\mu$  is a measure on  $X$  and  $\varphi : X \rightarrow Y$  is a measurable function, then I'll follow Zimmer and denote  $\varphi_*\mu(E) := \mu(\varphi^{-1}(E))$  to be the pushforward / image measure of the function  $\varphi$ . Also, as  $G$  is acting continuously on  $X$  I'll let  $g_*\mu$  denote the pushforward of  $\varphi_g(x) := g \cdot x$  (in other words  $g_*\mu(E) = \mu(g^{-1} \cdot E)$ ).

Now recall by the Riesz-representation theorem that every linear functional in  $C(X)^*$  corresponds to integration by a complex Radon measure and vice versa. (Also as mentioned on the prior page, all complex Borel measures on  $X$  are Radon.) Therefore, we now ask what does the action of  $\pi^*$  look like on a given measure  $\mu$ ?

Note for any  $f \in C(X)$  that:

$$((\pi^*(g))(\int \cdot d\mu))(f) = \int (\pi(g^{-1}))(f) d\mu = \int f(g \cdot x) d\mu = \int f dg_*\mu$$

Thus  $(\pi^*(g))(\mu) = g_*\mu$  for all  $\mu \in C(X)^*$ .

Importantly, recall that pushforwards preserve the positivity of a measure and the total measure of the space. Thus  $M(X)$  is invariant under  $\pi^*$  and we arrive at the following result:

**Corollary 2.1.6:** Let  $G$  be an abelian group acting continuously on a compact metric space  $X$ . Then there is a  $G$ -invariant probability measure on  $X$ .

**Proof:**

Apply the Kakutani-Markov fixed point theorem to  $M(X) \subseteq C(X)^*$  (equipped with the weak\* topology) and the representation  $\pi^* : G \rightarrow \text{Aut}(C(X)^*)$  described above. Then the resulting probability measure satisfies that  $g_*\mu(E) := \mu(g^{-1} \cdot E) = \mu(E)$  for all  $g \in G$ . ■

## 11/27/2025

It's Thanksgiving so let's do some more representation theory before I have to go back to taking notes for the classes with actual due dates. (In other words I'm still taking math 241a notes...)

To start off, I already proved in math math 240b homework that there is only one norm topology for any finite dimensioned real or complex vector space. Now, I would like to prove the more general statement that there is only one Hausdorff topology on a finite dimensioned real or complex vector space  $\mathcal{X}$  such that  $\mathcal{X}$  is a topological vector space.

First, I'd like to go over some quick facts about topological vector spaces now that I've learned some topological group theory.

**Lemma 1:** If  $G, G'$  are topological groups and  $\phi : G \rightarrow G'$  is a group homomorphism, then  $\phi$  is continuous iff  $\phi$  is continuous at  $e \in G$ .

Proof:

One direction is trivial. Meanwhile, to show the other direction suppose  $\langle g_i \rangle_{i \in I}$  is any net in  $G$  converging to  $g$ . Then  $\phi(g_i) \rightarrow \phi(g)$  if and only if  $\phi(g_i)\phi(g)^{-1} = \phi(g_i g^{-1}) \rightarrow e' \in G'$ . But note that  $g_i \rightarrow g$  implies that  $g_i g^{-1} \rightarrow e \in G$ . So, if  $\phi$  is continuous at  $e \in G$  then we know that  $\phi(g_i g^{-1}) \rightarrow \phi(e) = e'$ . ■

A common application of this fact is that if  $T : \mathcal{X} \rightarrow \mathcal{Y}$  is a linear map between topological vector spaces, then  $T$  is continuous iff  $T$  is continuous at 0. After all, topological vector spaces are just abelian topological groups which also satisfy that scalar multiplication by numbers other than  $\pm 1$  are continuous.

**Lemma 2:** A topological vector space  $\mathcal{X}$  is Hausdorff iff  $\{0\}$  is closed.

Proof:

By (Folland) proposition 11.3 on [page 351](#), we know that  $\mathcal{X}$  is Hausdorff iff  $\mathcal{X}$  is  $T_1$ . And the latter is true iff every singleton is closed. Finally, note that as translation on  $\mathcal{X}$  is a homeomorphism, we have that all singletons in  $\mathcal{X}$  are closed iff  $\{0\}$  is closed. ■

**Lemma 3:** Hausdorff topological groups (and therefore Hausdorff topological vector spaces) are regular (i.e.  $T_3$ ). Specifically, given any closed subset  $F$  of a topological group  $G$  and  $x \notin F$ , we claim there is an open neighborhood  $V$  of  $e$  such that  $xV$  and  $FV$  are disjoint open sets containing  $x$  and  $F$  respectively.

Proof:

$U = F^c$  is an open neighborhood of  $x$ . In turn,  $x^{-1}U$  is an open neighborhood of  $e$ . So, by applying (Folland) proposition 11.1(b) and (c) on [page 333](#), we can find a neighborhood  $V$  of  $e \in G$  such that  $VV \subseteq x^{-1}U$  and  $V$  is symmetric.

Now  $xV$  is a neighborhood of  $x$  in  $G$ . Also we claim that  $FV$  is disjoint from  $xV$ . After all, suppose  $y \in xV \cap FV$ . Then we can write  $y = xv_1 = gv_2$  where  $g \in F$  and  $v_1, v_2 \in V$ . But now  $xv_1v_2^{-1} = g$ . This is a contradiction as  $V$  is symmetric and  $VV \subseteq x^{-1}U$  implies  $xVV \subseteq U = F^c$ .

Finally,  $FV = \bigcup_{g \in F} gV$  is an open set containing  $F$ . This finishes showing that  $G$  is  $T_3$ . ■

Next, I'm going to follow grandpa Rudin for a little bit. (see [citation 19](#) in the bibliography).

**Lemma (unnumbered):** Suppose  $V$  and  $W$  are  $K$ -vector spaces (where  $K = \mathbb{R}$  or  $\mathbb{C}$ ). Then suppose  $T : V \rightarrow W$  is a linear map,  $A \subseteq V$ , and  $B \subseteq W$ . Then:

- If  $A$  is a subspace, convex set, or balanced set then so is  $T(A)$ .
- If  $B$  is a subspace, convex set, or balanced set then so is  $T^{-1}(B)$ .

Proof:

I think the only nontrivial claim to see here is that the preimage of a balanced set with respect to  $T$  is still balanced. (By the way see [page 230](#) for a reminder of what it means for a set to be balanced.)

Suppose  $c \in K$  With  $|c| \leq 1$ . Then if  $x \in cT^{-1}(B)$  we know that  $T(c^{-1}x) \in B$ . In turn,  $T(x) \in cB \subseteq B$  and hence  $x \in T^{-1}(B)$ . Thus,  $cT^{-1}(B) \subseteq T^{-1}(B)$ . ■

**Theorem 1.18** Let  $\lambda$  be a linear functional on a Hausdorff topological vector space  $\mathcal{X}$  such that  $\lambda(x) \neq 0$  for some  $x \in \mathcal{X}$ . Then the following are equivalent:

- (a)  $\lambda$  is continuous,
- (b)  $\ker(\lambda)$  is closed,
- (c)  $\ker(\lambda)$  is not dense in  $\mathcal{X}$ ,
- (d)  $\lambda$  is bounded on some neighborhood  $V$  of 0 in  $\mathcal{X}$  (meaning  $\sup_{x \in V} \lambda(x) < \infty$ ).

(a  $\implies$  b)

Since  $\lambda$  is continuous and  $\{0\}$  is closed, we know that  $\lambda^{-1}(\{0\}) = \ker(\lambda)$  is closed.

(b  $\implies$  c)

Suppose  $\ker(\lambda)$  is closed. Then since there exists  $x \in \mathcal{X}$  such that  $x \notin \ker(\lambda)$ , we know that  $\ker(\lambda)$  is not dense in  $\mathcal{X}$ .

(c  $\implies$  d)

Suppose  $x \notin \overline{\ker(\lambda)}$ . Then by lemma 3 on the prior page plus the reasoning on [pages 230-232](#), we can find a balanced neighborhood  $V$  of 0 such that  $x + V$  and  $\overline{\ker(\lambda)} + V$  are disjoint open sets containing  $x$  and  $\overline{\ker(\lambda)}$  respectively. But also note that as  $V$  is balanced, we know that  $\lambda(V)$  is balanced. The only way this is possible is if  $\lambda(V)$  is an open or closed ball in  $\mathbb{R}$  or  $\mathbb{C}$  about 0 or if  $\lambda(V)$  is all of  $\mathbb{R}$  or  $\mathbb{C}$ .

Suppose the latter is true (that  $\lambda(V) = \mathbb{R}$  or  $\mathbb{C}$ ). Then there exists  $y \in V$  such that  $\lambda(y) = -\lambda(x)$ . But then  $x + y \in \overline{\ker(\lambda)}$  and that contradicts that  $x + V$  is disjoint from  $\overline{\ker(\lambda)} + V$ . Hence, we conclude that  $\lambda$  is bounded on  $V$ .

(d  $\implies$  a)

Let  $M > 0$  be such that  $|\lambda(x)| \leq M$  for all  $x \in V$ . Then for any  $r > 0$  we know that  $W := (r/M)V$  is a neighborhood of 0 satisfying that  $|\lambda(x)| < r$  for all  $x \in W$ . Hence,  $\lambda$  is continuous at 0. ■



**Set 6 Problem 6:** Suppose  $G$  is a group. For all  $x, y \in G$ , let  $[x, y] := xyx^{-1}y^{-1}$  and  ${}^x y := xyx^{-1}$ . Then Hall's equation asserts that:

$$[[x, y], {}^y z][[y, z], {}^z x][[z, x], {}^x y] = 1.$$

To prove this, first note that:

$$\begin{aligned} [[a, b], {}^b c] &= (aba^{-1}b^{-1})(bcb^{-1})(bab^{-1}a^{-1})(bc^{-1}b^{-1}) \\ &= (aba^{-1})c(ab^{-1}a^{-1})(bc^{-1}b^{-1}) = {}^a b \cdot c \cdot {}^a (b^{-1}) \cdot {}^b (c^{-1}) \end{aligned}$$

Also note that  ${}^b (a^{-1}) \cdot {}^b a = bab^{-1} \cdot ba^{-1}b^{-1} = 1$ . Therefore:

$$\begin{aligned} & [[x, y], {}^y z][[y, z], {}^z x][[z, x], {}^x y] \\ &= ({}^x y \cdot z \cdot {}^x (y^{-1}) \cdot {}^y (z^{-1}))({}^y z \cdot x \cdot {}^y (z^{-1}) \cdot {}^z (x^{-1}))({}^z x \cdot y \cdot {}^z (x^{-1}) \cdot {}^x (y^{-1})) \\ &= ({}^x y \cdot z \cdot {}^x (y^{-1}))({}^x (z \cdot {}^y (z^{-1})))({}^y (z \cdot {}^x (x^{-1})) \cdot {}^x (y^{-1})) \\ &= (xyx^{-1}zxy^{-1}x^{-1})(xyz^{-1}y^{-1})(yzx^{-1}z^{-1}xy^{-1}x^{-1}) \\ &= (xyx^{-1}zxy^{-1})(yz^{-1})(zx^{-1}z^{-1}xy^{-1}x^{-1}) \\ &= (xyx^{-1}zx)(x^{-1}z^{-1}xy^{-1}x^{-1}) = 1 \end{aligned}$$

Next consider the lower central series  $\gamma_1(G) = G$  and  $\gamma_{i+1}(G) = [G, \gamma_i(G)]$  for all  $i$ .

Note that  $[H_1, H_2] = [H_2, H_1]$  for any subgroups  $H_1, H_2 < G$  since  $([h_1, h_2])^{-1} = [h_2, h_1]$ . So this definition is equivalent to the one in class.