

Dependency Pairs for Expected Innermost Runtime Complexity and Strong Almost-Sure Termination of Probabilistic Term Rewriting

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Complexity of TRSs

$\mathcal{R}_{\text{double}}$:

$\text{double}(0) \rightarrow 0$

$\text{double}(s(x)) \rightarrow s(s(\text{double}(x)))$

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$$\text{double}(s(s(0))) \rightarrow_{\mathcal{R}_{\text{double}}} s(s(\text{double}(s(0)))) \rightarrow_{\mathcal{R}_{\text{double}}} s^4(\text{double}(0))$$

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Termination

TRS \mathcal{R} is *terminating* if there is no infinite evaluation $t_0 \rightarrow_{\mathcal{R}} t_1 \rightarrow_{\mathcal{R}} t_2 \rightarrow_{\mathcal{R}} \dots$

$$\text{double}(s(s(0))) \rightarrow_{\mathcal{R}_{\text{double}}} s(s(\text{double}(s(0)))) \rightarrow_{\mathcal{R}_{\text{double}}} s^4(\text{double}(0)) \rightarrow_{\mathcal{R}_{\text{double}}} s^4(0)$$

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Runtime Complexity, $\text{rc}_{\mathcal{R}}$

$$\text{rc}_{\mathcal{R}}(n) = \sup\{\text{dh}_{\mathcal{R}}(t) \mid |t| \leq n\}$$

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derivation height



$$\text{double}(s(s(0))) \rightarrow_{\mathcal{R}_{\text{double}}} s(s(\text{double}(s(0)))) \rightarrow_{\mathcal{R}_{\text{double}}} s^4(\text{double}(0)) \rightarrow_{\mathcal{R}_{\text{double}}} s^4(0)$$

$\text{dh}_{\mathcal{R}}(\text{double}(s(s(0)))) = \text{“max number of steps”} = 3$

Complexity of TRSs

$\mathcal{R}_{\text{double}}$:

$$\begin{aligned}\text{double}(0) &\rightarrow 0 \\ \text{double}(s(x)) &\rightarrow s(s(\text{double}(x)))\end{aligned}$$

Innermost Runtime Complexity, $\text{rc}_{\mathcal{R}}$

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$$\text{dh}_{\mathcal{R}}(\text{double}(s(s(0)))) = \text{“max number of steps”} = 3$$

$$\text{double}(\text{double}(s(0)))$$

Complexity of TRSs

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$$\text{dh}_{\mathcal{R}}(\text{double}(s(s(0)))) = \text{“max number of steps”} = 3$$

$$\text{double}(\text{double}(s(0))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \text{double}(s(\text{double}(0))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \text{double}(s(s(0))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \dots$$

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Basic Terms, \mathcal{T}_B

Complexity of TRSs

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$$\text{rc}_{\mathcal{R}}(n) = \sup\{\text{dh}_{\mathcal{R}}(t) \mid |t| \leq n\}$$

Defined Symbols Σ_D : **double**,

$$\text{double}(s(s(0))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} s(s(\text{double}(s(0)))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} s^4(\text{double}(0)) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} s^4(0)$$

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$$\text{rc}_{\mathcal{R}}(n) = \sup\{\text{dh}_{\mathcal{R}}(t) \mid |t| \leq n\}$$

Defined Symbols Σ_D : double , Constructors Σ_C : $\text{s}, 0$

$$\text{double}(\text{s}(\text{s}(0))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \text{s}(\text{s}(\text{double}(\text{s}(0)))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \text{s}^4(\text{double}(0)) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \text{s}^4(0)$$

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Innermost Runtime Complexity, $\text{rc}_{\mathcal{R}}$

$$\text{rc}_{\mathcal{R}}(n) = \sup\{\text{dh}_{\mathcal{R}}(t) \mid t \in \mathcal{T}_B, |t| \leq n\}$$

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$$\text{dh}_{\mathcal{R}}(\text{double}(\text{s}(\text{s}(0)))) = \text{“max number of steps”} = 3$$

Basic Terms, \mathcal{T}_B

Terms $f(c_1, \dots, c_n) \in \mathcal{T}_B$ are *basic* if $f \in \Sigma_D$ and all c_i are constructor terms.

Complexity of TRSs

$\mathcal{R}_{\text{double}}$:

$$\begin{aligned}\text{double}(0) &\rightarrow 0 \\ \text{double}(\textcolor{red}{s}(x)) &\rightarrow \textcolor{red}{s}(\textcolor{blue}{s}(\text{double}(x)))\end{aligned}$$

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$$\text{rc}_{\mathcal{R}}(n) = \sup\{\text{dh}_{\mathcal{R}}(t) \mid t \in \mathcal{T}_B, |t| \leq n\}$$

Defined Symbols Σ_D : double , Constructors Σ_C : $\textcolor{red}{s}, 0$

$$\text{double}(\textcolor{red}{s}(\textcolor{red}{s}(0))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \textcolor{red}{s}(\textcolor{blue}{s}(\text{double}(\textcolor{red}{s}(0)))) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \textcolor{blue}{s}^4(\text{double}(0)) \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \textcolor{blue}{s}^4(0)$$

$$\text{dh}_{\mathcal{R}}(\text{double}(\textcolor{red}{s}(\textcolor{red}{s}(0)))) = \text{“max number of steps”} = 3 \quad \text{rc}_{\mathcal{R}_{\text{double}}}(n) = n - 1$$

Basic Terms, \mathcal{T}_B

Terms $f(\textcolor{red}{c}_1, \dots, \textcolor{red}{c}_n) \in \mathcal{T}_B$ are *basic* if $f \in \Sigma_D$ and all $\textcolor{red}{c}_i$ are constructor terms.

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Terms $f(\textcolor{red}{c}_1, \dots, \textcolor{red}{c}_n) \in \mathcal{T}_B$ are *basic* if $f \in \Sigma_D$ and all $\textcolor{red}{c}_i$ are constructor terms.

Termination and Complexity Analysis for Programs

Termination and Complexity Analysis for Programs

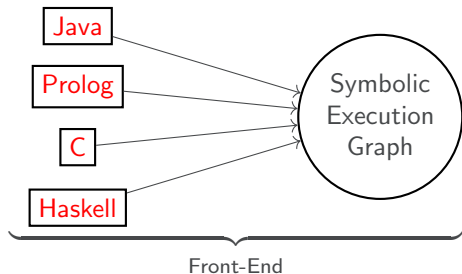
Java

Prolog

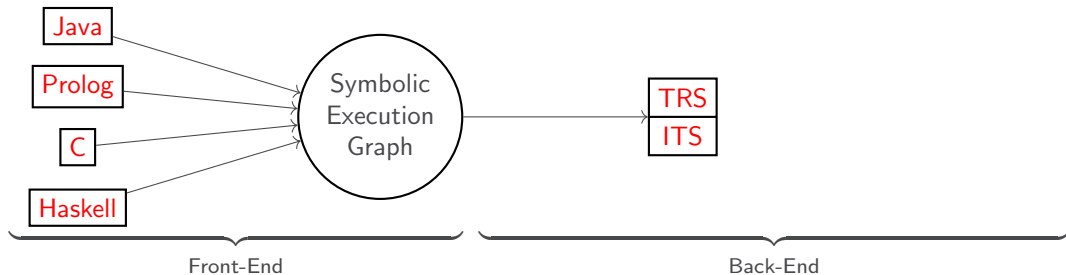
C

Haskell

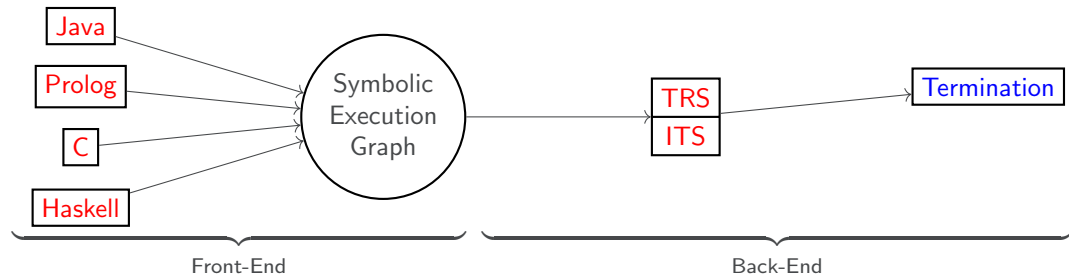
Termination and Complexity Analysis for Programs



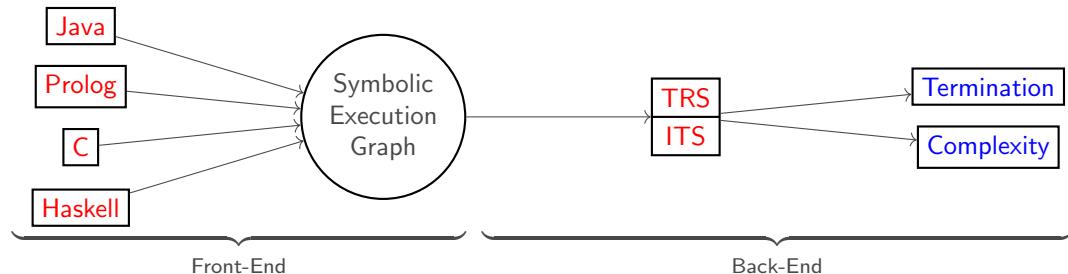
Termination and Complexity Analysis for Programs



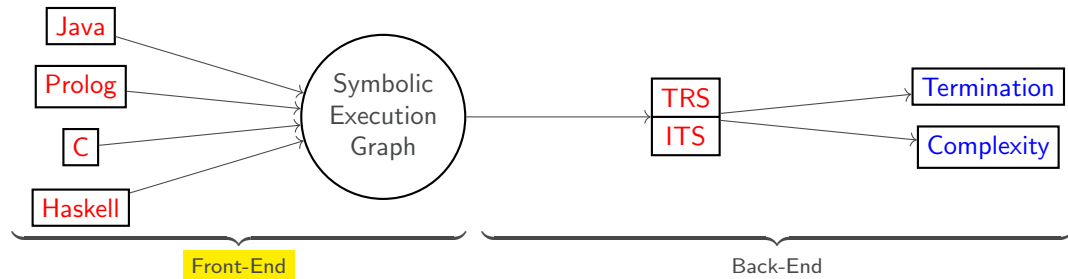
Termination and Complexity Analysis for Programs



Termination and Complexity Analysis for Programs

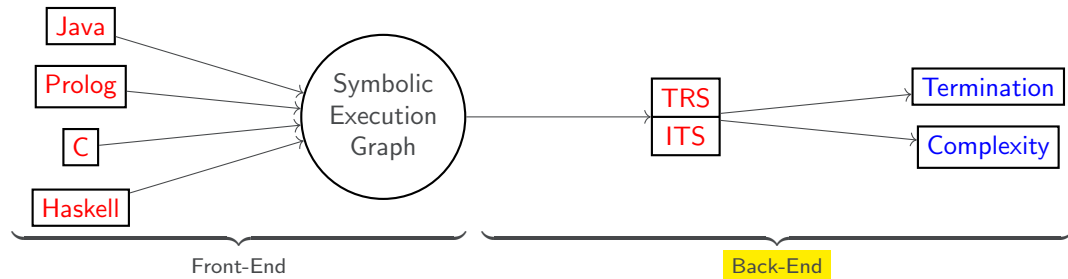


Termination and Complexity Analysis for Programs



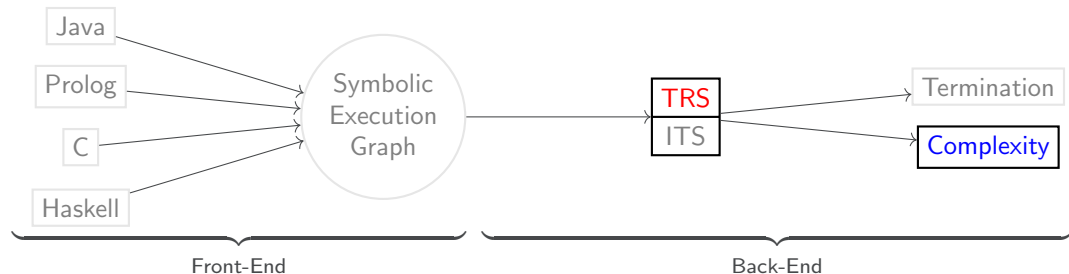
- language-specific features when generating symbolic execution graph

Termination and Complexity Analysis for Programs

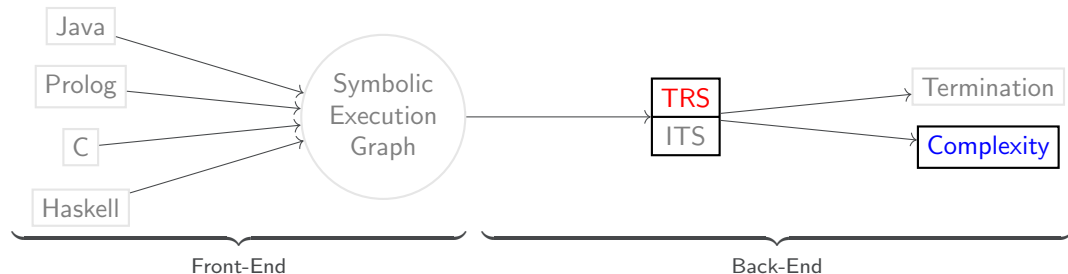


- ▶ language-specific features when generating symbolic execution graph
- ▶ back-end analyzes **Term Rewrite Systems** and/or **Integer Transition Systems**

Termination and Complexity Analysis for Programs

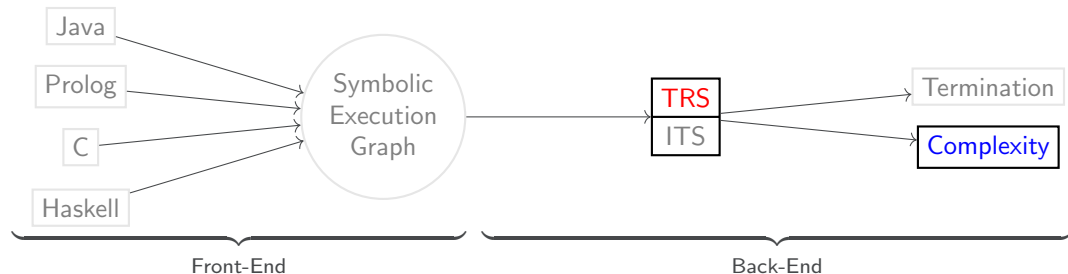


Termination and Complexity Analysis for Programs



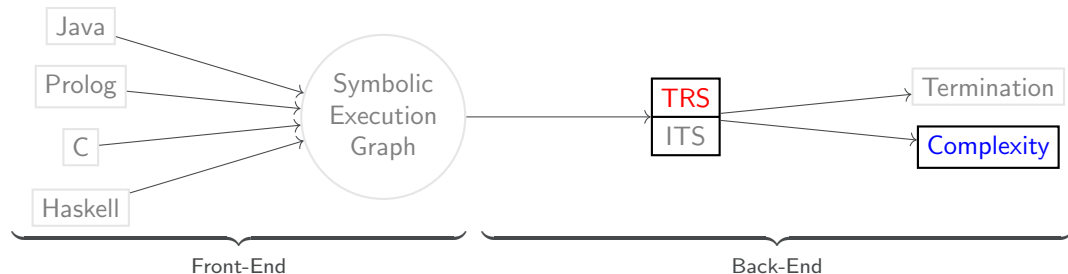
- Proving Termination and Complexity of TRSs

Termination and Complexity Analysis for Programs



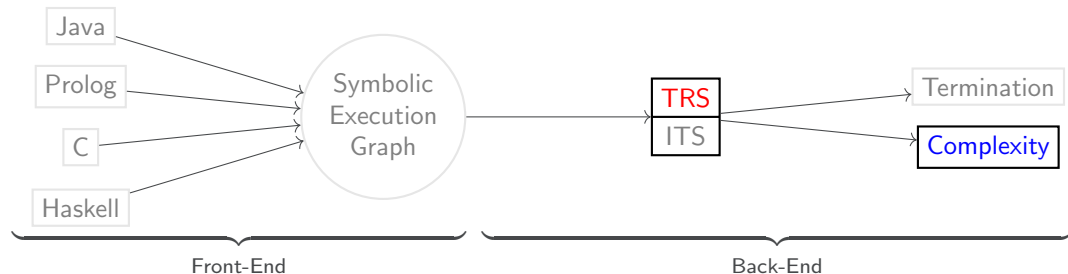
- ▶ Proving Termination and Complexity of TRSs
- ▶ Proving Termination and Complexity of Probabilistic TRSs

Termination and Complexity Analysis for Programs



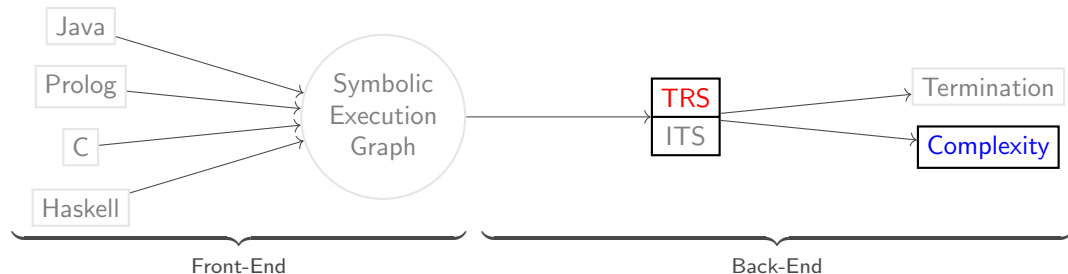
- ▶ Proving Termination and Complexity of TRSs
- ▶ Proving Termination and Complexity of Probabilistic TRSs
- ▶ Dependency Pairs for Complexity Analysis of Probabilistic TRSs

Termination and Complexity Analysis for Programs



- ▶ Proving Termination and Complexity of TRSs
- ▶ Proving Termination and Complexity of Probabilistic TRSs ← New!
- ▶ Dependency Pairs for Complexity Analysis of Probabilistic TRSs ← New!

Termination and Complexity Analysis for Programs



- ▶ Proving Termination and Complexity of TRSs
- ▶ Proving Termination and Complexity of Probabilistic TRSs
- ▶ Dependency Pairs for Complexity Analysis of Probabilistic TRSs

Proving Termination

$\mathcal{R}_{\text{double}}:$

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Proving Termination

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Natural & Monotonic Polynomial Interpretation \mathcal{I}

- ▶ natural: $\mathcal{I}_f(x_1, \dots, x_n)$ is a polynomial with natural coefficients for every function symbol $f \in \Sigma$
- ▶ monotonic: $x > y$ implies $\mathcal{I}_f(\dots, x, \dots) > \mathcal{I}_f(\dots, y, \dots)$ for every function symbol $f \in \Sigma$

Proving Termination

$\mathcal{R}_{\text{double}}:$

$$\begin{aligned}\text{double}(0) &\rightarrow 0 \\ \text{double}(s(x)) &\rightarrow s(s(\text{double}(x)))\end{aligned}$$

$$\mathcal{I}_0 = 1 \quad \mathcal{I}_s(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

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- ▶ natural: $\mathcal{I}_f(x_1, \dots, x_n)$ is a polynomial with natural coefficients for every function symbol $f \in \Sigma$
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Proving Termination With Natural & Monotonic \mathcal{I} [Lankford'79]

\mathcal{R} is terminating if for all rules $\ell \rightarrow r : \mathcal{I}(\ell) > \mathcal{I}(r)$

Proving Termination

$\mathcal{R}_{\text{double}}$:

$$\mathcal{I}(\text{double}(0)) > \mathcal{I}(0)$$

$$\mathcal{I}(\text{double}(s(x))) > \mathcal{I}(s(s(\text{double}(x))))$$

$$\mathcal{I}_0 = 1 \quad \mathcal{I}_s(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

Proving Termination With Natural & Monotonic \mathcal{I} [Lankford'79]

\mathcal{R} is terminating if for all rules $\ell \rightarrow r : \mathcal{I}(\ell) > \mathcal{I}(r)$

Proving Termination

$\mathcal{R}_{\text{double}}$:

$$2 \cdot \mathcal{I}(0) + 1 > 1$$

$$2 \cdot \mathcal{I}(s(x)) + 1 > \mathcal{I}(s(\text{double}(x))) + 1$$

$$\mathcal{I}_0 = 1 \quad \mathcal{I}_s(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

Proving Termination With Natural & Monotonic \mathcal{I} [Lankford'79]

\mathcal{R} is terminating if for all rules $\ell \rightarrow r : \mathcal{I}(\ell) > \mathcal{I}(r)$

Proving Termination

$\mathcal{R}_{\text{double}}$:

$$2 \cdot 1 + 1 > 1$$

$$2 \cdot (x + 1) + 1 > 2 \cdot x + 2$$

$$\mathcal{I}_0 = 1 \quad \mathcal{I}_s(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

Proving Termination With Natural & Monotonic \mathcal{I} [Lankford'79]

\mathcal{R} is terminating if for all rules $\ell \rightarrow r : \mathcal{I}(\ell) > \mathcal{I}(r)$

Proving Termination

$\mathcal{R}_{\text{double}}$:

$$3 > 1$$

$$2x + 3 > 2x + 2$$

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$$t_0 \xrightarrow{i}_{\mathcal{R}_{\text{double}}} t_1 \xrightarrow{i}_{\mathcal{R}_{\text{double}}} t_2 \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \dots$$

Proving Termination

$\mathcal{R}_{\text{double}}$:

$$3 > 1$$

$$2x + 3 > 2x + 2$$

$$\mathcal{I}_0 = 1 \quad \mathcal{I}_s(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

Proving Termination With Natural & Monotonic \mathcal{I} [Lankford'79]

\mathcal{R} is terminating if for all rules $\ell \rightarrow r : \mathcal{I}(\ell) > \mathcal{I}(r)$

$$t_0 \quad \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \quad t_1 \quad \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \quad t_2 \quad \xrightarrow{i}_{\mathcal{R}_{\text{double}}} \quad \dots$$

$$\mathcal{I}(t_0) \quad > \quad \mathcal{I}(t_1) \quad > \quad \mathcal{I}(t_2) \quad > \quad \dots$$

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\text{double}(\textcolor{red}{0}) \rightarrow 0$$

$$\text{double}(\textcolor{red}{s}(x)) \rightarrow \textcolor{red}{s}(\text{double}(x))$$

$$\mathcal{I}_{\textcolor{red}{0}} = 1 \quad \mathcal{I}_{\textcolor{red}{s}}(x) = x + 1 \quad \mathcal{I}_{\textcolor{blue}{double}}(x) = 2x + 1$$

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\text{double}(\textcolor{red}{0}) \rightarrow 0$$

$$\text{double}(\textcolor{red}{s}(x)) \rightarrow \textcolor{red}{s}(\text{double}(x))$$

$$\mathcal{I}_{\textcolor{red}{0}} = 1 \quad \mathcal{I}_{\textcolor{red}{s}}(x) = x + 1 \quad \mathcal{I}_{\textcolor{blue}{double}}(x) = 2x + 1$$

Goal: Infer complexity from the highest degree of \mathcal{I} [Hofbauer&Lautemann'89]

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\text{double}(\textcolor{red}{0}) \rightarrow 0$$

$$\text{double}(\textcolor{red}{s}(x)) \rightarrow \textcolor{red}{s}(\text{double}(x))$$

$$\mathcal{I}_{\textcolor{red}{0}} = 1 \quad \mathcal{I}_{\textcolor{red}{s}}(x) = x + 1 \quad \mathcal{I}_{\textcolor{blue}{double}}(x) = 2x + 1$$

Goal: Infer complexity from the highest degree of \mathcal{I} [Hofbauer&Lautemann'89]

For basic term $t = \text{double}(\textcolor{red}{s}^n(\textcolor{red}{0}))$:

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\begin{aligned}\text{double}(\mathbf{0}) &\rightarrow 0 \\ \text{double}(\mathbf{s}(x)) &\rightarrow \mathbf{s}(\text{double}(x))\end{aligned}$$

$$\mathcal{I}_{\mathbf{0}} = 1 \quad \mathcal{I}_{\mathbf{s}}(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

Goal: Infer complexity from the highest degree of \mathcal{I} [Hofbauer&Lautemann'89]

$$\text{For basic term } t = \text{double}(\mathbf{s}^n(\mathbf{0})): \quad \mathcal{I}(t) = 2 \cdot (n + 1) + 1$$

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\text{double}(\mathbf{0}) \rightarrow 0$$

$$\text{double}(\mathbf{s}(x)) \rightarrow \mathbf{s}(\text{double}(x))$$

$$\mathcal{I}_{\mathbf{0}} = 1 \quad \mathcal{I}_{\mathbf{s}}(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

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For basic term $t = \text{double}(\mathbf{s}^n(\mathbf{0}))$: $\mathcal{I}(t) = 2 \cdot (n + 1) + 1$

\rightsquigarrow at most linear runtime complexity

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\text{double}(0) \rightarrow 0$$

$$\text{double}(s(x)) \rightarrow s(\text{double}(x))$$

$$\mathcal{I}_0 = 1 \quad \mathcal{I}_s(x) = 2x \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

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$$\text{For basic term } t = \text{double}(s^n(0)): \quad \mathcal{I}(t) = 2 \cdot (2^n) + 1$$

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\begin{aligned}\text{double}(0) &\rightarrow 0 \\ \text{double}(\text{s}(x)) &\rightarrow \text{s}(\text{double}(x))\end{aligned}$$

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$$\text{For basic term } t = \text{double}(\text{s}^n(0)): \quad \mathcal{I}(t) = 2 \cdot (2^n) + 1$$

Complexity Polynomial Interpretation (CPI)

$$\mathcal{I}_f(x_1, \dots, x_n) = a_1x_1 + \dots + a_nx_n + b \text{ for every constructor } f \in \Sigma_C \text{ with } b \in \mathbb{N}, a_i \in \{0, 1\}$$

Proving Complexity

$\mathcal{R}_{\text{double}}$:

$$\begin{aligned}\text{double}(0) &\rightarrow 0 \\ \text{double}(\text{s}(x)) &\rightarrow \text{s}(\text{double}(x))\end{aligned}$$

$$\text{CPI: } \mathcal{I}_0 = 1 \quad \mathcal{I}_{\text{s}}(x) = x + 1 \quad \mathcal{I}_{\text{double}}(x) = 2x + 1$$

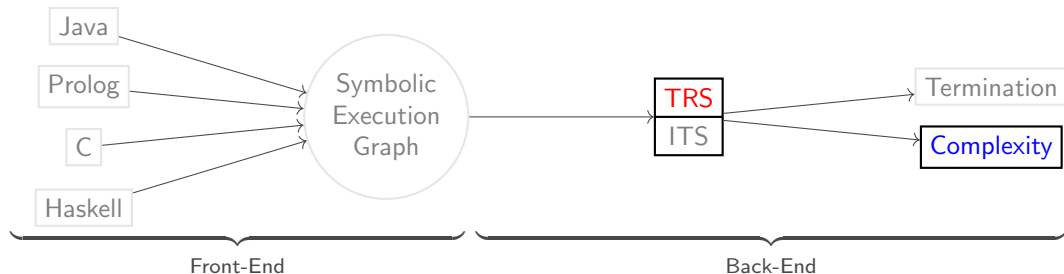
Goal: Infer complexity from the highest degree of \mathcal{I} [Hofbauer&Lautemann'89]

For basic term $t = \text{double}(\text{s}^n(0))$: $\mathcal{I}(t) = 2 \cdot (n + 1) + 1$
 \rightsquigarrow at most linear runtime complexity

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Termination and Complexity Analysis for Programs



- ▶ Proving Termination and Complexity of TRSs
- ▶ Proving Termination and Complexity of Probabilistic TRSs
- ▶ Dependency Pairs for Complexity Analysis of Probabilistic TRSs

Expected Runtime of Probabilistic TRSs

$$\mathcal{R}_{\text{coin}}: \quad \text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$$

Expected Runtime of Probabilistic TRSs

$$\mathcal{R}_{\text{coin}}: \quad \text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$$

Multidistribution: $\mu = \{p_1 : t_1, \dots, p_k : t_k\}$ with $p_1 + \dots + p_k = 1$

Expected Runtime of Probabilistic TRSs

$\mathcal{R}_{\text{coin}}:$ $\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$

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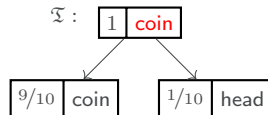
$\mathfrak{T} :$

1	coin
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Expected Runtime of Probabilistic TRSs

$\mathcal{R}_{\text{coin}}$: $\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$

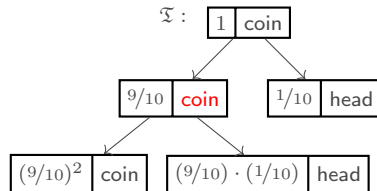
Multidistribution: $\mu = \{p_1 : t_1, \dots, p_k : t_k\}$ with $p_1 + \dots + p_k = 1$



Expected Runtime of Probabilistic TRSs

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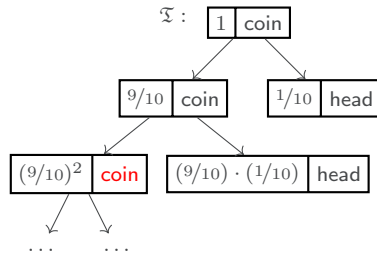
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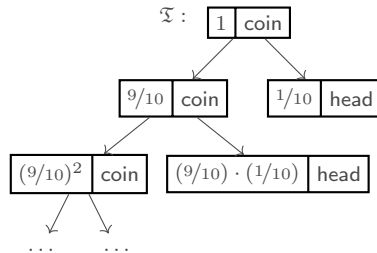
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Multidistribution: $\mu = \{p_1 : t_1, \dots, p_k : t_k\}$ with $p_1 + \dots + p_k = 1$

Expected Derivation Length:

$\text{edl}(\mathfrak{T})$



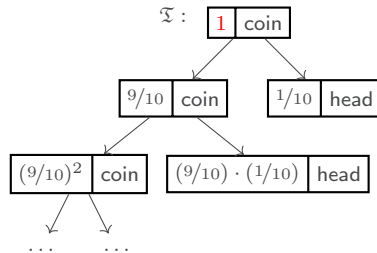
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Expected Derivation Length:

$$\text{edl}(\mathfrak{T}) = 1 +$$



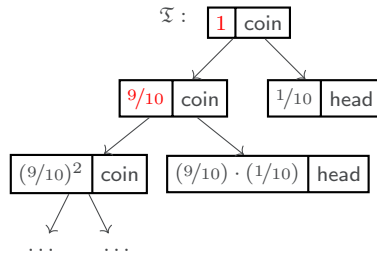
Expected Runtime of Probabilistic TRSs

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Multidistribution: $\mu = \{p_1 : t_1, \dots, p_k : t_k\}$ with $p_1 + \dots + p_k = 1$

Expected Derivation Length:

$$\text{edl}(\mathfrak{T}) = 1 + \frac{9}{10} +$$



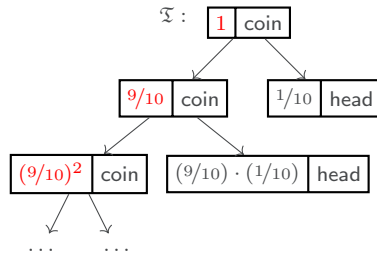
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Multidistribution: $\mu = \{p_1 : t_1, \dots, p_k : t_k\}$ with $p_1 + \dots + p_k = 1$

Expected Derivation Length:

$$\text{edl}(\mathfrak{T}) = 1 + \frac{9}{10} + \left(\frac{9}{10}\right)^2 + \dots$$



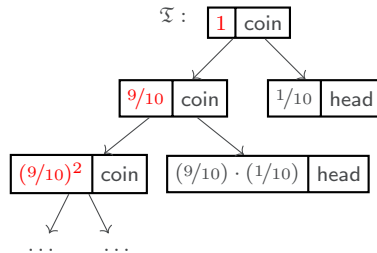
Expected Runtime of Probabilistic TRSs

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Expected Derivation Length:

$$\text{edl}(\mathfrak{T}) = 1 + \frac{9}{10} + \left(\frac{9}{10}\right)^2 + \dots = \sum_{n=0}^{\infty} \left(\frac{9}{10}\right)^n = 10$$



Expected Runtime of Probabilistic TRSs

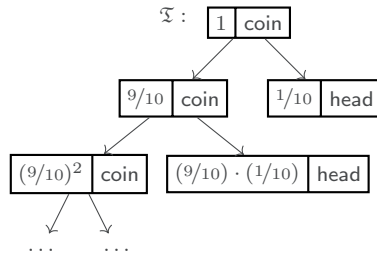
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Expected Runtime of Probabilistic TRSs

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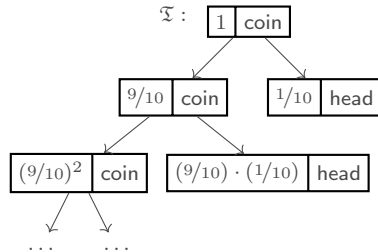
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Expected Derivation Height:

$$\text{edh}_{\mathcal{R}_{\text{coin}}}(\text{coin}) = \sup\{\text{edl}(\mathfrak{T}) \mid \mathfrak{T} \text{ starts with coin}\}$$



Expected Runtime of Probabilistic TRSs

$$\mathcal{R}_{\text{coin}}: \quad \text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$$

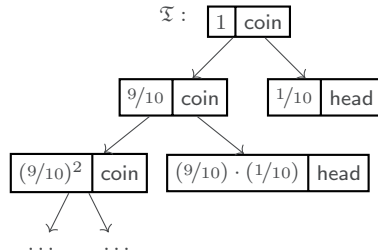
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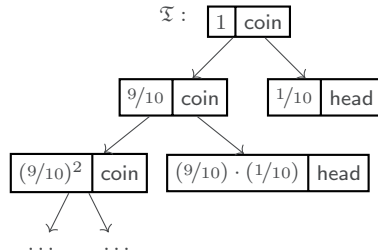
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Expected Runtime Complexity:



Expected Runtime of Probabilistic TRSs

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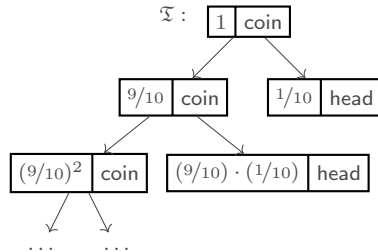
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Expected Derivation Height:

$$\text{edh}_{\mathcal{R}_{\text{coin}}}(\text{coin}) = \sup\{\text{edl}(\mathfrak{T}) \mid \mathfrak{T} \text{ starts with coin}\} = 10$$

Expected Runtime Complexity:

$$\text{erc}_{\mathcal{R}_{\text{coin}}}(n) = \sup\{\text{edh}_{\mathcal{R}_{\text{coin}}}(t) \mid t \in \mathcal{T}_B, |t| \leq n\}$$



Expected Runtime of Probabilistic TRSs

$\mathcal{R}_{\text{coin}}$: $\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$

constant complexity: Pol_0

Multidistribution: $\mu = \{p_1 : t_1, \dots, p_k : t_k\}$ with $p_1 + \dots + p_k = 1$

Expected Derivation Length:

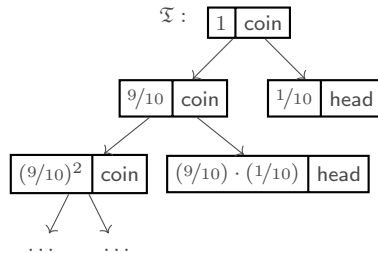
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$$\text{erc}_{\mathcal{R}_{\text{coin}}}(n) = \sup\{\text{edh}_{\mathcal{R}_{\text{coin}}}(t) \mid t \in \mathcal{T}_B, |t| \leq n\}$$



Expected Runtime of Probabilistic TRSs

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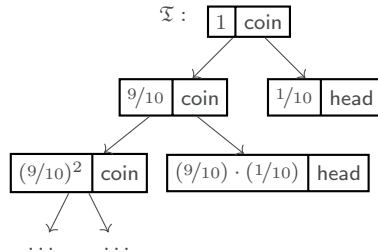
$$\text{edl}(\mathfrak{T}) = 1 + \frac{9}{10} + \left(\frac{9}{10}\right)^2 + \dots = \sum_{n=0}^{\infty} \left(\frac{9}{10}\right)^n = 10$$

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Strong Almost-Sure Termination (SAST) [Avanzini&Dal Lago&Yamada'20]

PTRS \mathcal{R} is SAST if $\text{edh}_{\mathcal{R}}(t)$ is finite for every start term t .

Proving Expected Runtime Complexity & SAST

$\mathcal{R}_{\text{coin}}:$

$$\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$$

Proving Expected Runtime Complexity & SAST

$\mathcal{R}_{\text{coin}}:$

$$\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$$

Multilinear Polynomials

$x \cdot y$ is multilinear but x^2 is not.

Proving Expected Runtime Complexity & SAST

$\mathcal{R}_{\text{coin}}:$

$$\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$$

$$\mathcal{I}_{\text{coin}} = 1 \quad \mathcal{I}_{\text{head}} = 0$$

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Proving Expected Runtime Complexity & SAST

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$$\mathcal{I}_{\text{coin}} = 1 \quad \mathcal{I}_{\text{head}} = 0$$

Theorem: Natural & Monotonic & Multilinear \mathcal{I} [Avanzini&Dal Lago&Yamada'20]

\mathcal{R} is SAST if for all rules $\ell \rightarrow \mu: \mathcal{I}(\ell) > \mathbb{E}_{\mathcal{I}}(\mu)$

Proving Expected Runtime Complexity & SAST

$\mathcal{R}_{\text{coin}}:$ $\text{coin} \rightarrow \left\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \right\}$

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\mathcal{R} is SAST if for all rules $\ell \rightarrow \mu$: $\mathcal{I}(\ell) > \mathbb{E}_{\mathcal{I}}(\mu) = \sum_{1 \leq j \leq k} p_j \cdot \mathcal{I}(r_j)$ for $\mu = \{p_1 : r_1, \dots, p_k : r_k\}$

Proving Expected Runtime Complexity & SAST

$$\mathcal{R}_{\text{coin}}: \quad \mathcal{I}(\text{coin}) > \mathbb{E}_{\mathcal{I}}(\{ \frac{9}{10} : \text{coin}, \frac{1}{10} : \text{head} \})$$

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Proving Expected Runtime Complexity & SAST

$$\mathcal{R}_{\text{coin}}: \quad \mathcal{I}(\text{coin}) > \frac{9}{10} \cdot \mathcal{I}(\text{coin}) + \frac{1}{10} \cdot \mathcal{I}(\text{head})$$

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Proving Expected Runtime Complexity & SAST

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Proving Expected Runtime Complexity & SAST

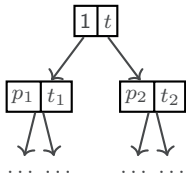
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Proving Expected Runtime Complexity & SAST

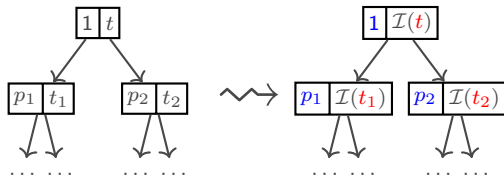
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Proving Expected Runtime Complexity & SAST

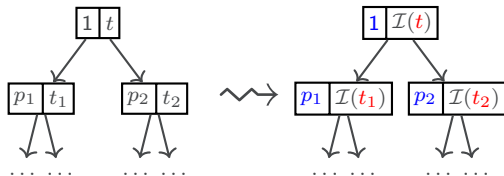
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\mathcal{R} is SAST if for all rules $\ell \rightarrow \mu$: $\mathcal{I}(\ell) > \mathbb{E}_{\mathcal{I}}(\mu) = \sum_{1 \leq j \leq k} p_j \cdot \mathcal{I}(r_j)$ for $\mu = \{p_1 : r_1, \dots, p_k : r_k\}$



$$\mathbb{E}_{\mathcal{I}}(\mu_0) = 1 \cdot \mathcal{I}(t)$$

Proving Expected Runtime Complexity & SAST

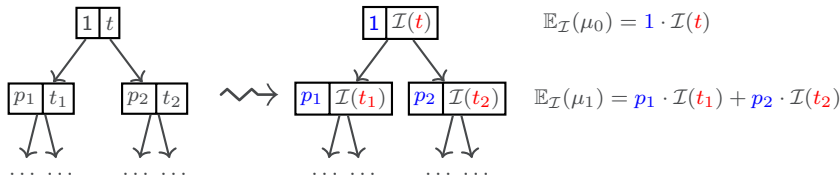
$\mathcal{R}_{\text{coin}}$:

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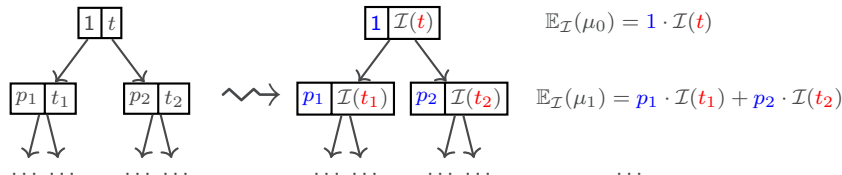
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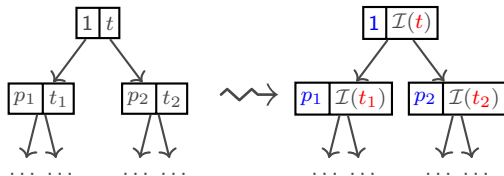
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$$\begin{aligned} \mathbb{E}_{\mathcal{I}}(\mu_0) &= 1 \cdot \mathcal{I}(t) \\ &\quad \downarrow > \epsilon \cdot 1 \\ \mathbb{E}_{\mathcal{I}}(\mu_1) &= p_1 \cdot \mathcal{I}(t_1) + p_2 \cdot \mathcal{I}(t_2) \\ &\quad \dots \end{aligned} \quad \epsilon - \text{minimal decrease for all rules}$$

Proving Expected Runtime Complexity & SAST

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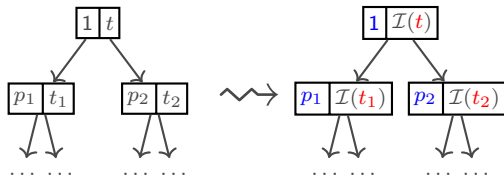
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Proving Expected Runtime Complexity & SAST

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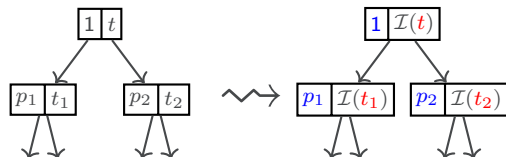
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Goal: Infer expected complexity from the highest degree of \mathcal{I} .

Proving Expected Runtime Complexity & SAST

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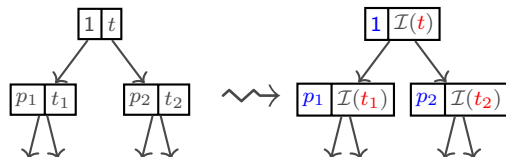
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\rightsquigarrow constant complexity: Pol_0

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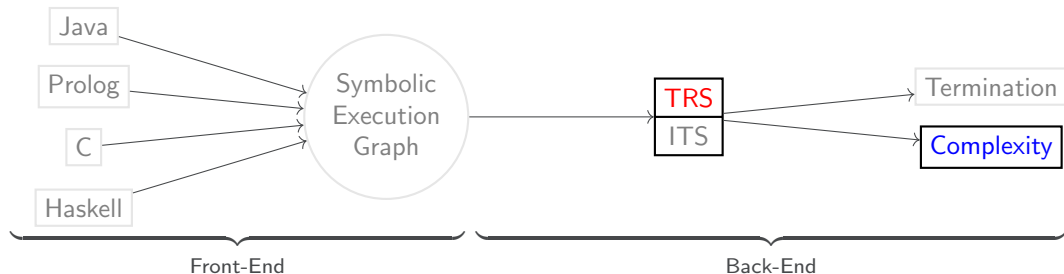
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Goal: Infer expected complexity from the highest degree of \mathcal{I} .

► Restrict to basic start terms and CPI

Termination and Complexity Analysis for Programs



- ▶ Proving Termination and Complexity of TRSs
- ▶ Proving Termination and Complexity of Probabilistic TRSs
- ▶ Dependency Pairs for Complexity Analysis of Probabilistic TRSs

Annotated Dependency Pairs (ADPs)

$\mathcal{R}_{\text{geo}}:$

$$\text{start}(x, y) \rightarrow \{1 : \mathbf{q}(\text{geo}(x), y, y)\}$$

$$\text{geo}(x) \rightarrow \{1/2 : \text{geo}(\mathbf{s}(x)), 1/2 : x\}$$

$$\mathbf{q}(\mathbf{s}(x), \mathbf{s}(y), z) \rightarrow \{1 : \mathbf{q}(x, y, z)\}$$

$$\mathbf{q}(x, 0, \mathbf{s}(z)) \rightarrow \{1 : \mathbf{s}(\mathbf{q}(x, \mathbf{s}(z), \mathbf{s}(z)))\}$$

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1	$\text{geo}(x)$
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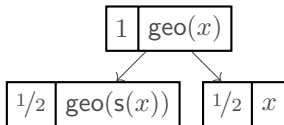
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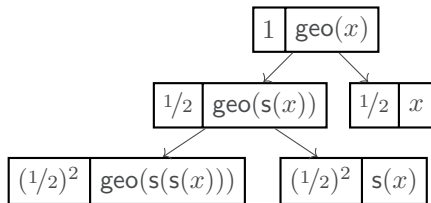
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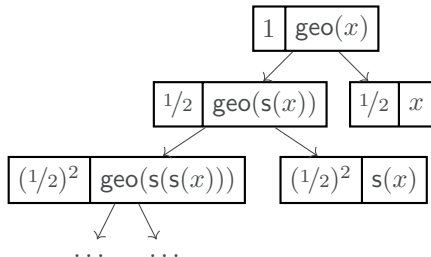
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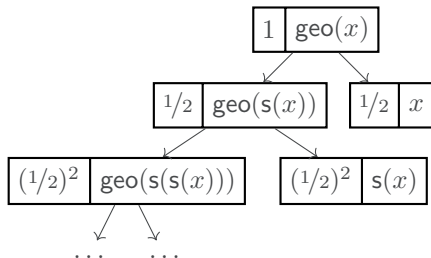
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$\text{geo}(x) \sim \text{geometric distribution}$

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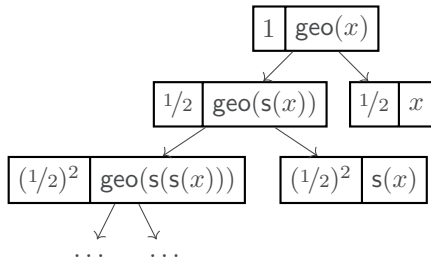
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$\text{geo}(x) \sim \text{geometric distribution}$
 \leadsto expected constant complexity: Pol_0

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\rightsquigarrow expected linear complexity: Pol_1

Annotated Dependency Pairs (ADPs)

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Defined Symbols Σ_D : $\text{start}, \text{geo}, \mathbf{q}$

Annotated Dependency Pairs (ADPs)

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Defined Symbols Σ_D : $\text{start}, \text{geo}, \mathbf{q}$ Constructors Σ_C : $\mathbf{0}, \mathbf{s}$

Annotated Dependency Pairs (ADPs)

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1. “ $\# \sim$ functions calls that count for complexity”

Annotated Dependency Pairs (ADPs)

$$\begin{aligned} ADP(\mathcal{R}_{\text{geo}}): \quad & \text{start}(x, y) \rightarrow \{1 : \mathbf{q}^\sharp(\text{geo}^\sharp(x), y, y)\}^{\text{true}} \\ & \text{geo}(x) \rightarrow \{1/2 : \text{geo}^\sharp(\mathbf{s}(x)), 1/2 : x\}^{\text{true}} \\ & \mathbf{q}(\mathbf{s}(x), \mathbf{s}(y), z) \rightarrow \{1 : \mathbf{q}^\sharp(x, y, z)\}^{\text{true}} \\ & \mathbf{q}(x, \mathbf{0}, \mathbf{s}(z)) \rightarrow \{1 : \mathbf{s}(\mathbf{q}^\sharp(x, \mathbf{s}(z), \mathbf{s}(z)))\}^{\text{true}} \\ & \mathbf{q}(\mathbf{0}, \mathbf{s}(y), \mathbf{s}(z)) \rightarrow \{1 : \mathbf{0}\}^{\text{true}} \end{aligned}$$

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Annotated Dependency Pairs (ADPs)

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Defined Symbols Σ_D : $\text{start}, \text{geo}, \mathbf{q}$ Constructors Σ_C : $\mathbf{0}, \mathbf{s}$

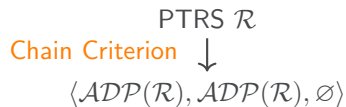
1. “ $\sharp \sim$ functions calls that count for complexity”
2. “ $\{\dots\}^{\text{true}} \sim$ rule can be used below \sharp ”
3. ADP Problem $\langle \mathcal{P}, \mathcal{S}, \mathcal{K} \rangle$, $\mathcal{P} = \mathcal{S} \uplus \mathcal{K}$
 - ▶ \mathcal{P} set of all ADPs
 - ▶ \mathcal{S} set of ADPs which we count for complexity
 - ▶ \mathcal{K} set of ADPs whose complexity we already considered

Annotated Dependency Pair Framework

1. Transform PTRS \mathcal{R} into ADP Problem $\langle \mathcal{P}, \mathcal{S}, \mathcal{K} \rangle$, $\mathcal{P} = \mathcal{S} \uplus \mathcal{K}$

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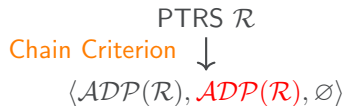
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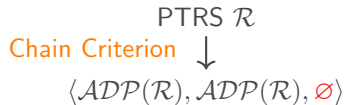
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 - ▶ $\mathcal{S} = \textcolor{red}{\mathcal{ADP}(\mathcal{R})}$ set of ADPs which we count for complexity



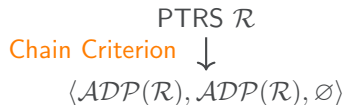
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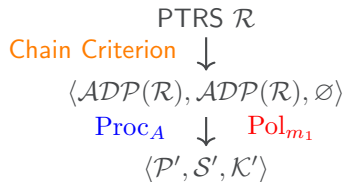
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2. Apply **Processors** to simplify the ADP problem



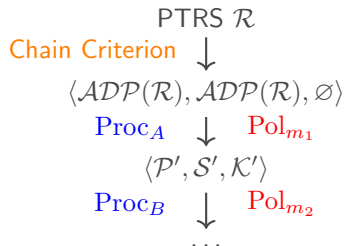
Annotated Dependency Pair Framework

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Annotated Dependency Pair Framework

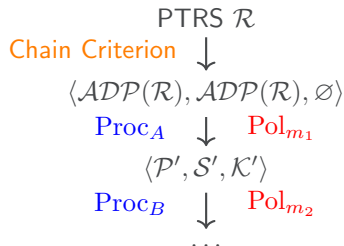
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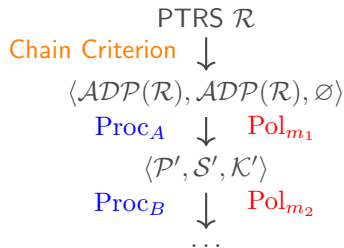
Sound:
Complexity of $\langle \mathcal{P}, \mathcal{S}, \mathcal{K} \rangle$



Annotated Dependency Pair Framework

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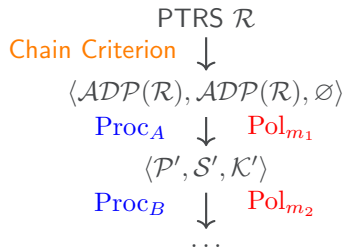
Sound:
Complexity of $\langle \mathcal{P}, \mathcal{S}, \mathcal{K} \rangle$
 \leq (is bounded by)
 $\max\{\text{Complexity of } \langle \mathcal{P}', \mathcal{S}', \mathcal{K}' \rangle, \text{Pol}_{m_1}\}$
+ all previously derived Pol_{m_i}



Annotated Dependency Pair Framework

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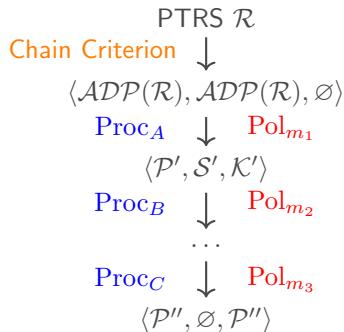
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Annotated Dependency Pair Framework

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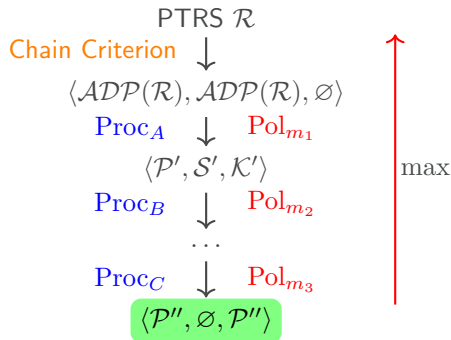
$$\begin{aligned} & \text{Sound:} \\ & \text{Complexity of } \langle \mathcal{P}, \mathcal{S}, \mathcal{K} \rangle \\ & \leq \text{(is bounded by)} \\ & \max\{\text{Complexity of } \langle \mathcal{P}', \mathcal{S}', \mathcal{K}' \rangle, \text{Pol}_{m_1}\} \\ & \quad + \text{all previously derived Pol}_{m_i} \end{aligned}$$



Annotated Dependency Pair Framework

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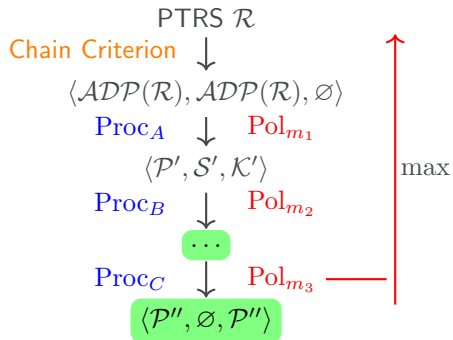
$$\begin{aligned}
 & \text{Sound:} \\
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 & \leq \text{(is bounded by)} \\
 & \max\{\text{Complexity of } \langle \mathcal{P}', \mathcal{S}', \mathcal{K}' \rangle, \text{Pol}_{m_1}\} \\
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 \end{aligned}$$



Annotated Dependency Pair Framework

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Sound:

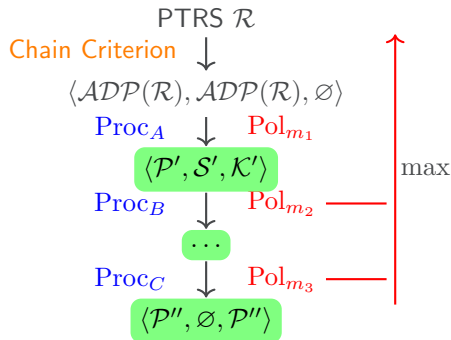
$$\begin{aligned} &\text{Complexity of } \langle \mathcal{P}, \mathcal{S}, \mathcal{K} \rangle \\ &\leq \text{(is bounded by)} \\ &\max\{\text{Complexity of } \langle \mathcal{P}', \mathcal{S}', \mathcal{K}' \rangle, \text{Pol}_{m_1}\} \\ &\quad + \text{all previously derived Pol}_{m_i} \end{aligned}$$


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Annotated Dependency Pair Framework

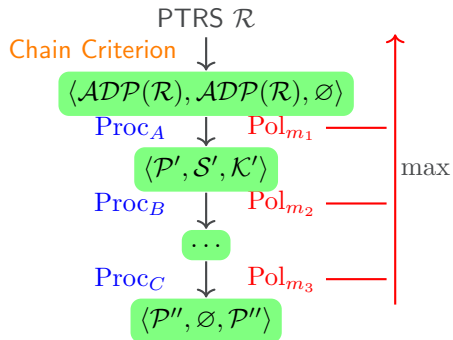
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Annotated Dependency Pair Framework

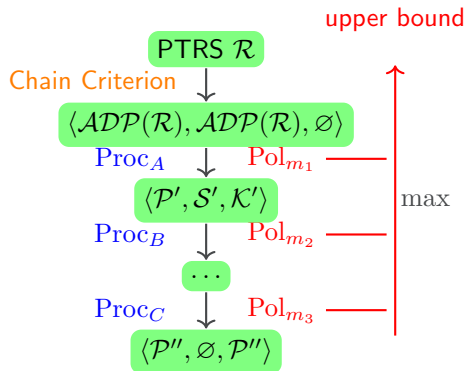
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Annotated Dependency Pair Framework

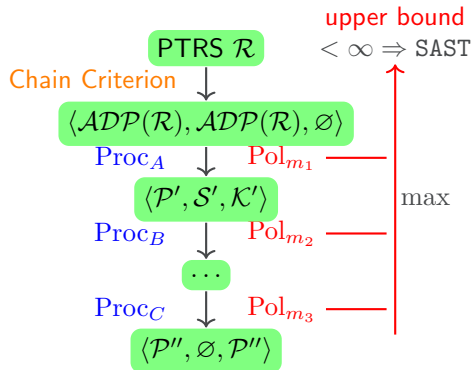
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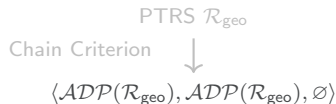
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- (1) $\text{start}(x, y) \rightarrow \{1 : \mathbf{q}^\sharp(\text{geo}^\sharp(x), y, y)\}^{\text{true}}$
(2) $\text{geo}(x) \rightarrow \{1/2 : \text{geo}^\sharp(\text{s}(x)), 1/2 : x\}^{\text{true}}$

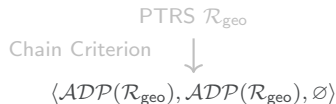
- (3) $\mathbf{q}(\text{s}(x), \text{s}(y), z) \rightarrow \{1 : \mathbf{q}^\sharp(x, y, z)\}^{\text{true}}$
(4) $\mathbf{q}(x, 0, \text{s}(z)) \rightarrow \{1 : \text{s}(\mathbf{q}^\sharp(x, \text{s}(z), \text{s}(z)))\}^{\text{true}}$
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Usable Rules Processor

- (1) $\text{start}(x, y) \rightarrow \{1 : \mathbf{q}^\#(\text{geo}^\#(x), y, y)\}^{\text{true}}$
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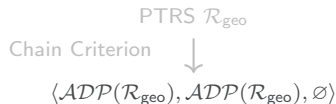
Usable Rules

Rules are usable if they can evaluate below an annotated symbol $f^\#$.

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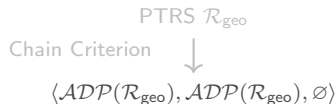
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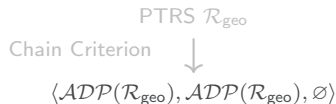
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PROC_{UR} - “Remove flags of unusable rules”

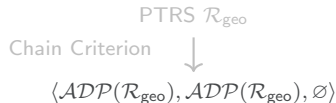
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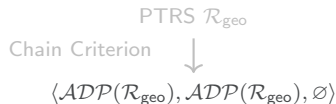
Usable Rules

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Usable Rules Processor

- (1) $\text{start}(x, y) \rightarrow \{1 : q^\#(\text{geo}^\#(x), y, y)\}^{\text{false}}$
(2) $\text{geo}(x) \rightarrow \{1/2 : \text{geo}^\#(s(x)), 1/2 : x\}^{\text{true}}$

- (3) $q(s(x), s(y), z) \rightarrow \{1 : q^\#(x, y, z)\}^{\text{false}}$
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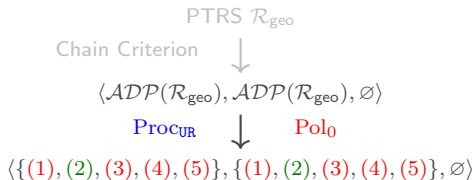
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Usable Rules Processor

- (1) $\text{start}(x, y) \rightarrow \{1 : \text{q}^\#(\text{geo}^\#(x), y, y)\}$ **false**
(2) $\text{geo}(x) \rightarrow \{1/2 : \text{geo}^\#(\text{s}(x)), 1/2 : x\}$ **true**

- (3) $\text{q}(\text{s}(x), \text{s}(y), z) \rightarrow \{1 : \text{q}^\#(x, y, z)\}$ **false**
(4) $\text{q}(x, 0, \text{s}(z)) \rightarrow \{1 : \text{s}(\text{q}^\#(x, \text{s}(z), \text{s}(z)))\}$ **false**
(5) $\text{q}(0, \text{s}(y), \text{s}(z)) \rightarrow \{1 : 0\}$ **false**



Proc_{UR} - “Remove flags of unusable rules”

Usable Rules

Rules are usable if they can evaluate below an annotated symbol $f^\#$.

Dependency Graph Processor

- (1) $\text{start}(x, y) \rightarrow \{1 : \mathbf{q}^\sharp(\text{geo}^\sharp(x), y, y)\}^{\text{false}}$
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PTRS \mathcal{R}_{geo}

Chain Criterion



$\langle \mathcal{ADP}(\mathcal{R}_{\text{geo}}), \mathcal{ADP}(\mathcal{R}_{\text{geo}}), \emptyset \rangle$

ProcUR



Pol₀

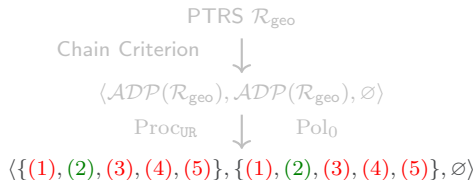
$\langle \{(1), (2), (3), (4), (5)\}, \{(1), (2), (3), (4), (5)\}, \emptyset \rangle$

\mathcal{P} -Dependency Graph

Dependency Graph Processor

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- (3) $\mathbf{q}(\text{s}(x), \text{s}(y), z) \rightarrow \{1 : \mathbf{q}^\sharp(x, y, z)\}^{\text{false}}$
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(1)

(3)

(4)

(2)

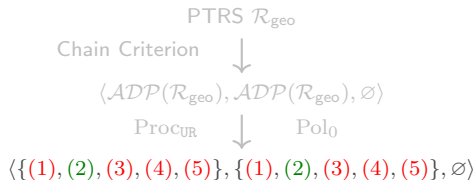
(5)

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(1)

(3)

(4)

(2)

(5)

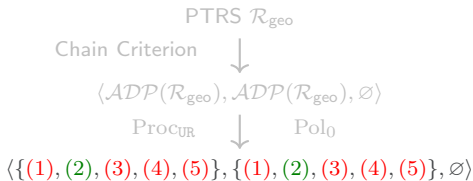
\mathcal{P} -Dependency Graph

There is an arc from $\dots \rightarrow \{p_1 : r_1, \dots, p_k : r_k\}$ to $v \rightarrow \dots$

Dependency Graph Processor

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(1)

(3)

(4)

(2)

(5)

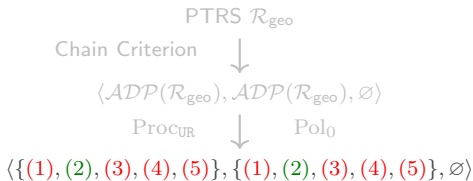
\mathcal{P} -Dependency Graph

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Dependency Graph Processor

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(1)

(3)

(4)

(2)

(5)

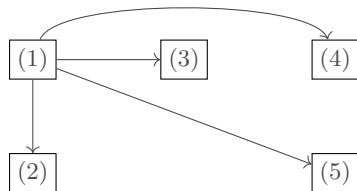
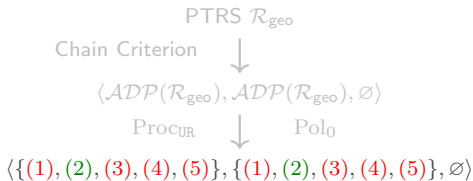
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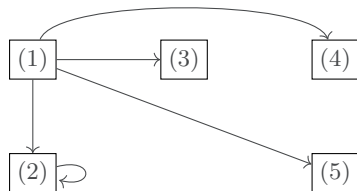
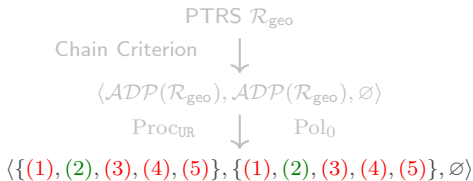
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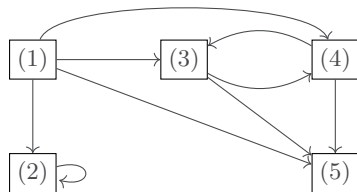
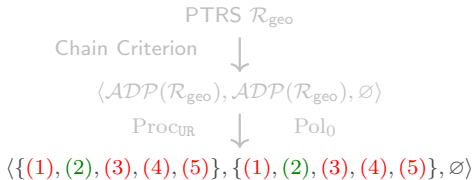
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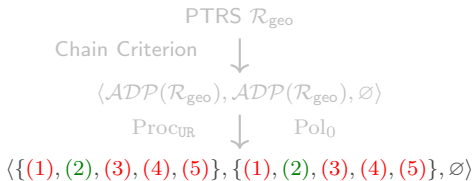
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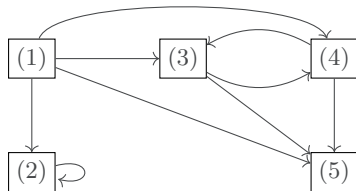
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Proc_{DG} - “Consider each SCC + predecessors separately”



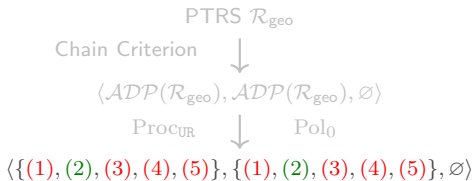
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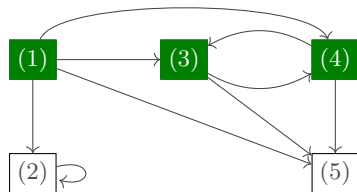
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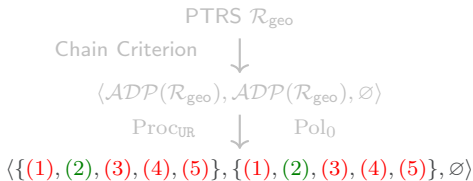
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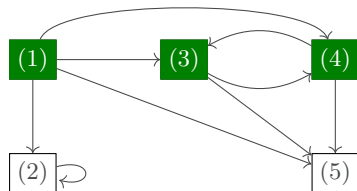
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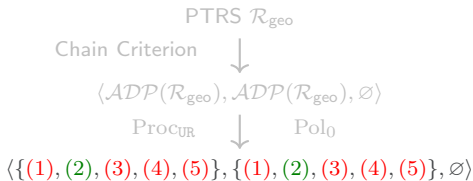
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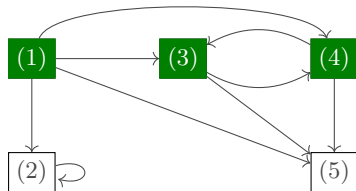
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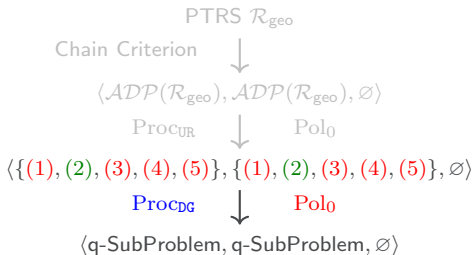
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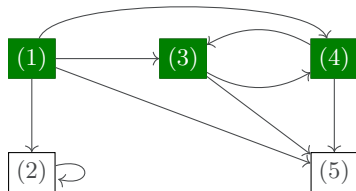
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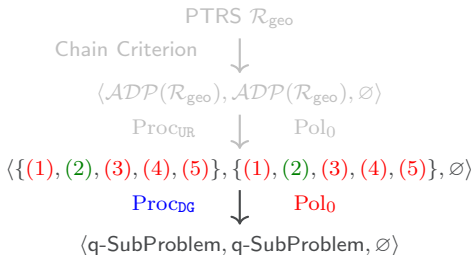
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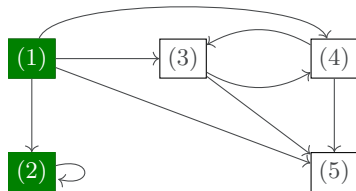
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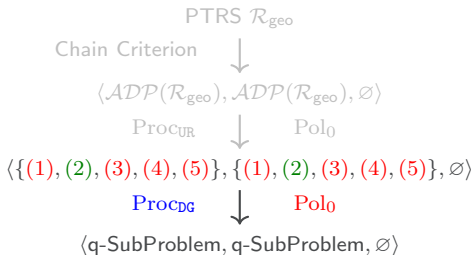
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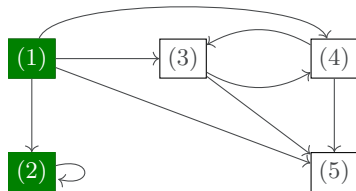
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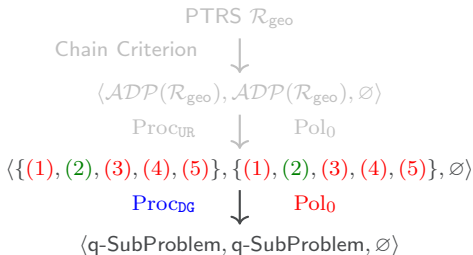
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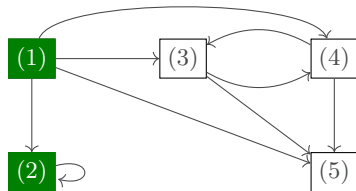
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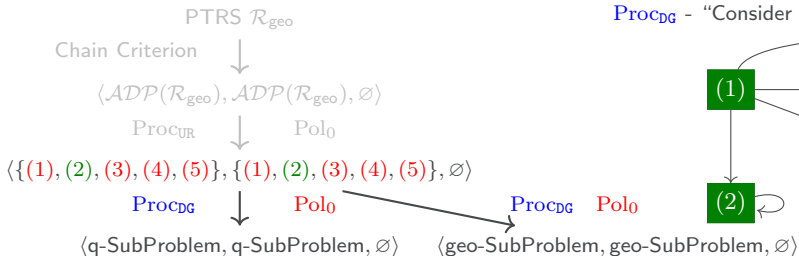
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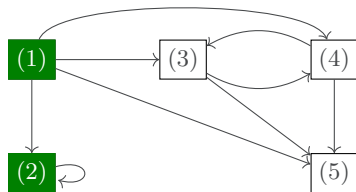
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Weakly Monotonic, Multilinear, CPI \mathcal{I} :

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Weakly Monotonic, Multilinear, CPI \mathcal{I} :

- ▶ For every $\ell \rightarrow \{p_1 : r_1, \dots, p_k : r_k\}^{\text{true}} \in \mathcal{P} : \mathcal{I}(\ell) \geq \sum_{1 \leq j \leq k} p_j \cdot \mathcal{I}(\text{b}(r_j))$
- ▶ For every $\ell \rightarrow \{p_1 : r_1, \dots, p_k : r_k\}^m \in \mathcal{P} : \mathcal{I}(\ell^\#) \geq \sum_{1 \leq j \leq k} p_j \cdot \sum_{t \trianglelefteq_\# r_j} \mathcal{I}(t^\#)$

Reduction Pair Processor

- (1) $\text{start}(x, y) \rightarrow \{1 : q^\#(\text{geo}(x), y, y)\}^{\text{false}}$
- (2) $\text{geo}(x) \rightarrow \{1/2 : \text{geo}(\text{s}(x)), 1/2 : x\}^{\text{true}}$

- (3) $q(\text{s}(x), \text{s}(y), z) \rightarrow \{1 : q^\#(x, y, z)\}^{\text{false}}$
- (4) $q(x, 0, \text{s}(z)) \rightarrow \{1 : \text{s}(q^\#(x, \text{s}(z), \text{s}(z)))\}^{\text{false}}$
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Reduction Pair Processor

$$(1) \text{ start}(x, y) \rightarrow \{1 : q^\#(\text{geo}(x), y, y)\}^{\text{false}}$$

$$(2) \quad x + 1 \geq 1/2 \cdot x + 2 + 1/2 \cdot 0$$

$$(3) q(s(x), s(y), z) \rightarrow \{1 : q^\#(x, y, z)\}^{\text{false}}$$

$$(4) \quad q(x, 0, s(z)) \rightarrow \{1 : s(q^\#(x, s(z), s(z)))\}^{\text{false}}$$

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$$(1) \ x + 3 > x + 2$$

$$(2) \quad 1 > 0$$

$$(3) \ x + 2 > x + 1$$

$$(4) \ x + 1 \geq x + 1$$

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Reduction Pair Processor

$$\begin{array}{l} (1) \ x + 3 > x + 2 \\ (2) \quad \quad 1 > 0 \end{array}$$

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Proc_{DPG} ↓ Pol₀

$\langle \{(1), (2), (3), (4), (5)\}, \{(\textcolor{red}{1}), (\textcolor{red}{2}), (\textcolor{red}{3}), (\textcolor{green}{4}), (\textcolor{red}{5})\}, \emptyset \rangle$

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Proc_{RP} - “Highest degree gives upper bound on complexity of rules in $\mathcal{P}_>$ ”

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Proc_{DPG} ↓ Pol₀

$\langle \{(1), (2), (3), (4), (5)\}, \{(1), (2), (3), (4), (5)\}, \emptyset \rangle$

Proc_{RP} ↓ Pol₁

$\langle \{(1), (2), (3), (4), (5)\}, \{(4)\}, \{(1), (2), (3), (5)\} \rangle$

$$\begin{array}{l} (3) \ x + 2 > x + 1 \\ (4) \ x + 1 \geq x + 1 \\ (5) \quad \quad 1 > 0 \end{array}$$

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Knowledge Propagation Processor

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PROC_{RP} \downarrow Pol₁

$\langle \{(1), (2), (3), (4), (5)\}, \{(4)\}, \{(1), (2), (3), (5)\} \rangle$

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$\langle \{(1), (2), (3), (4), (5)\}, \{(4)\}, \{(1), (2), (3), (5)\} \rangle$

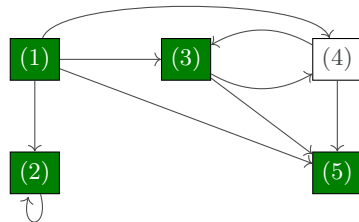
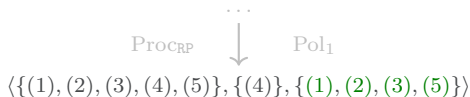
Knowledge Propagation - Proc_{KP}

If all predecessors of a node $s \rightarrow \mu$ in the dependency graph have known complexity, then the complexity of $s \rightarrow \mu$ is already accounted for.

Knowledge Propagation Processor

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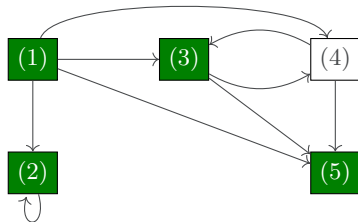
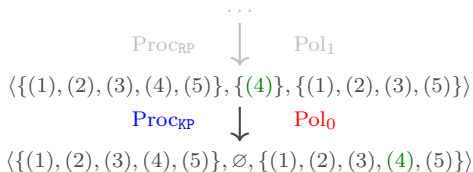
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Final Expected Complexity Proof

- (1) $\text{start}(x, y) \rightarrow \{1 : \mathbf{q}^\sharp(\text{geo}^\sharp(x), y, y)\}^{\text{false}}$
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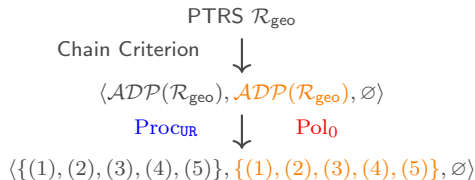
PTRS \mathcal{R}_{geo}
Chain Criterion \downarrow
 $\langle \mathcal{ADP}(\mathcal{R}_{\text{geo}}), \textcolor{brown}{\mathcal{ADP}(\mathcal{R}_{\text{geo}})}, \emptyset \rangle$

- (3) $\mathbf{q}(\text{s}(x), \text{s}(y), z) \rightarrow \{1 : \mathbf{q}^\sharp(x, y, z)\}^{\text{false}}$
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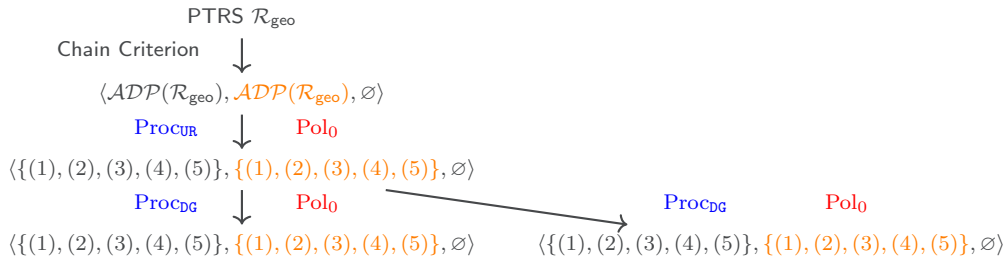
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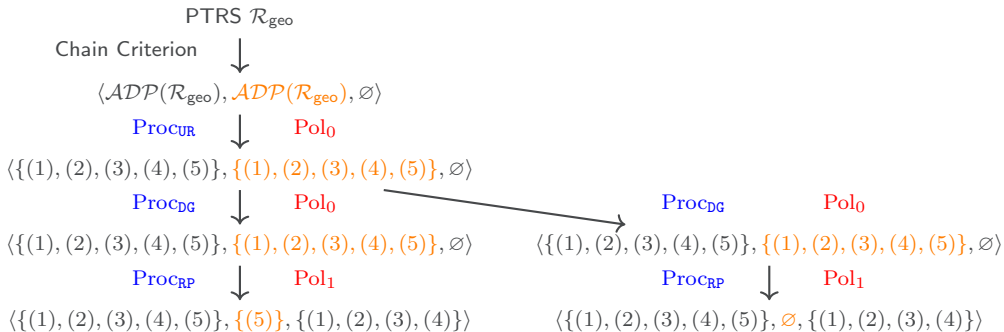
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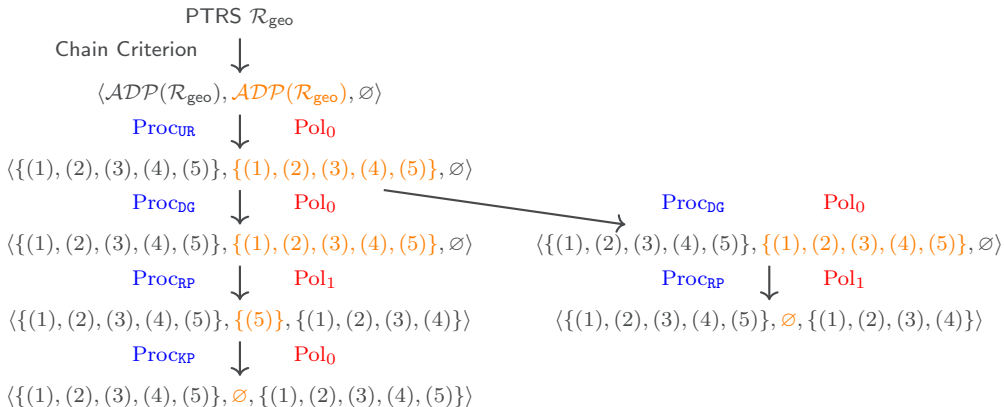
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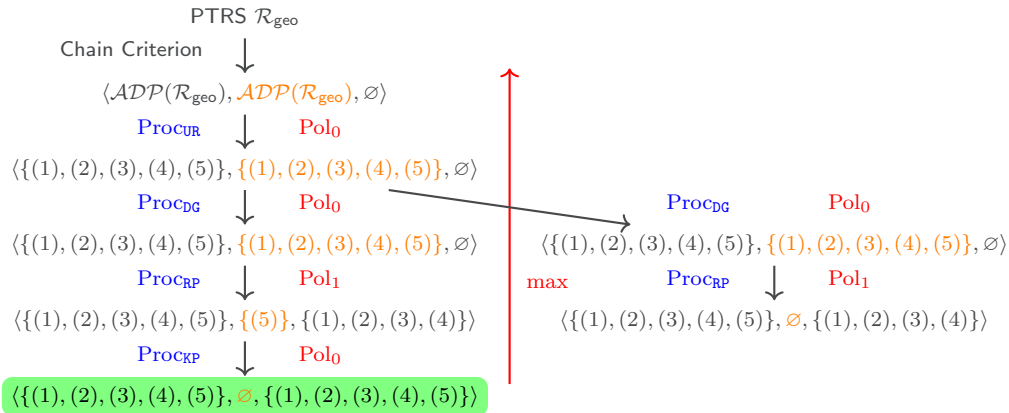
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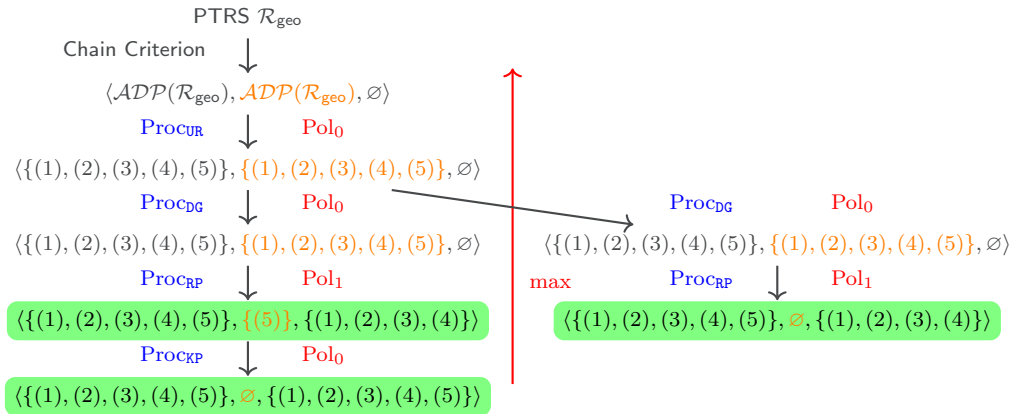
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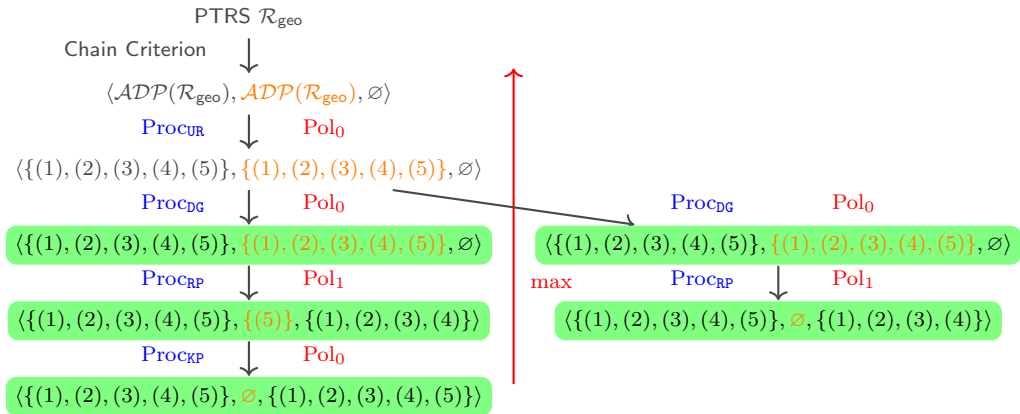
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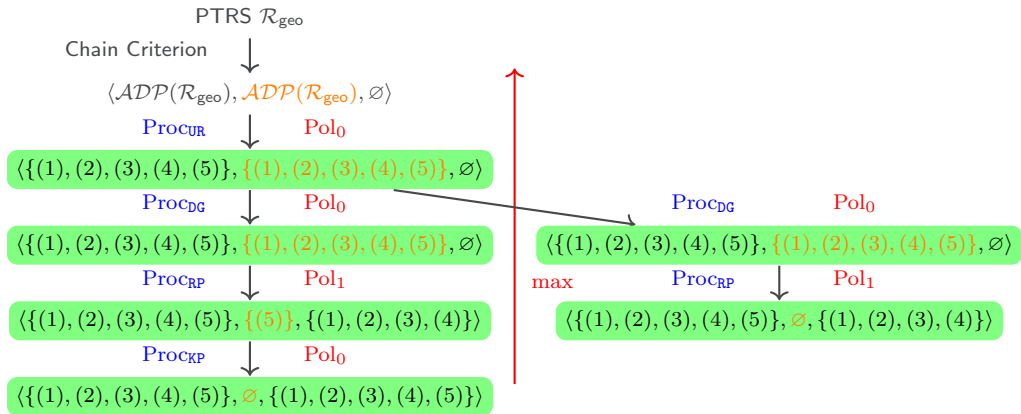
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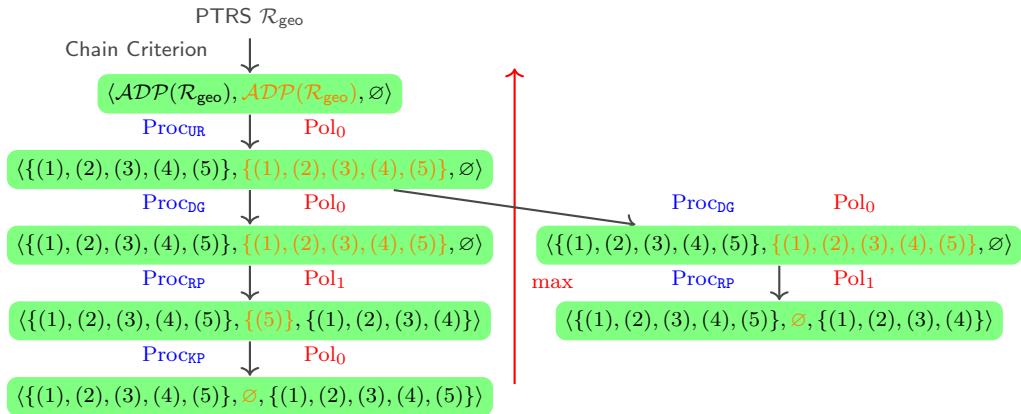
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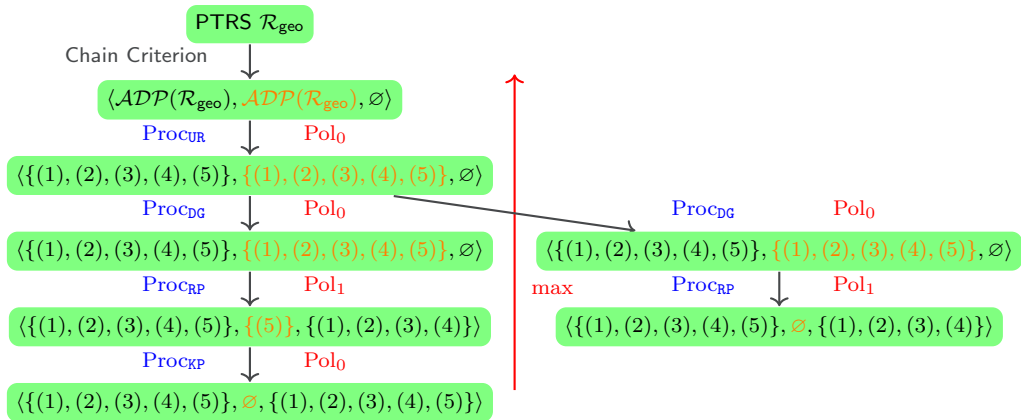
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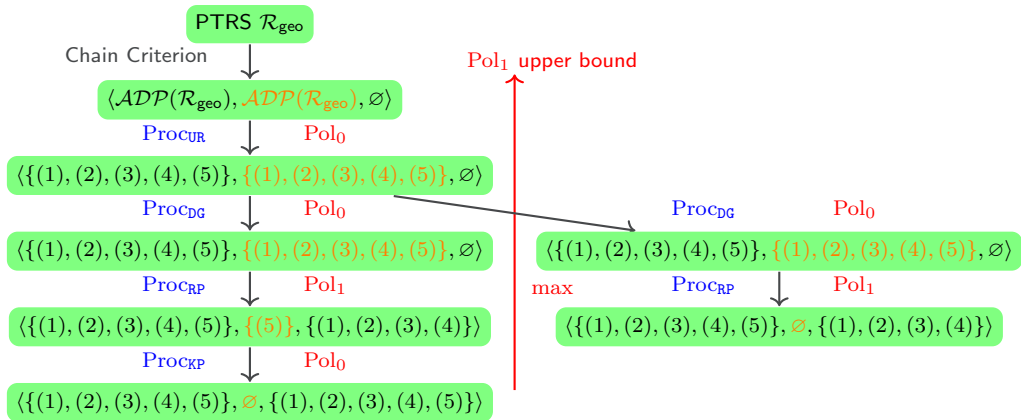
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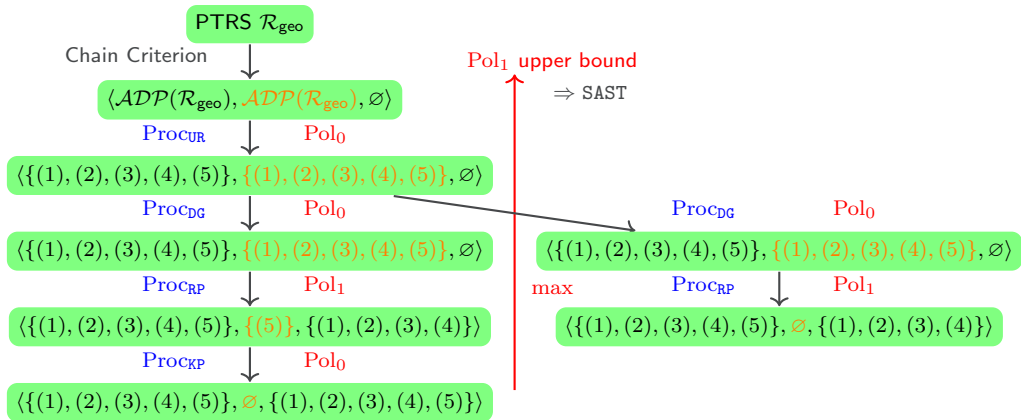
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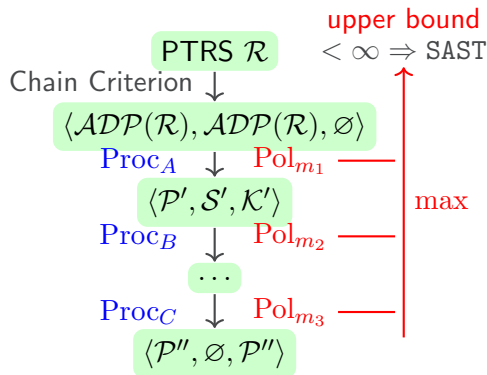


- ▶ ADP framework for SAST analysis implemented in [AProVE](#)
- ▶ Evaluated on 138 PTRSs from TPDB (**T**ermination **P**roblem **D**atabase)
- ▶ Results on SAST:

Strategy	Start Terms	POLO	NaTT	AProVE
Full	Arbitrary	30	33	34
Full	Basic	30	33	43
Innermost	Arbitrary	30	33	45
Innermost	Basic	30	33	56

Conclusion

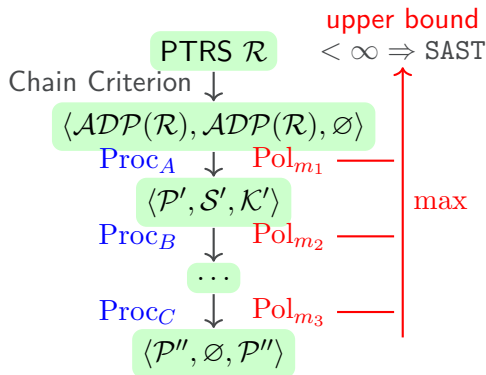
- ▶ ADP framework for expected complexity analysis (basic start terms + innermost rewriting)



Conclusion

- ▶ ADP framework for expected complexity analysis (basic start terms + innermost rewriting)
- ▶ Adapted processors from existing DP framework

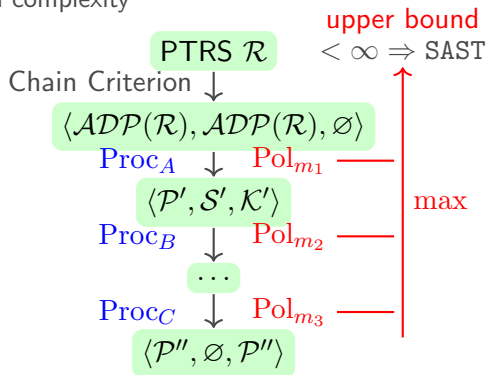
- ▶ Usable Rules Processor
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- ▶ Reduction Pair Processor
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Conclusion

- ▶ ADP framework for expected complexity analysis (basic start terms + innermost rewriting)
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- ▶ Future work:
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Conclusion

- ▶ ADP framework for expected complexity analysis (basic start terms + innermost rewriting)
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- ▶ Future work:
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 - ▶ Integrate further reduction pairs

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