A: Datasheet

Algorithm: hik_3

Developer: Hikvision Research Institute

Submission Date: 2018_06_30

Template size: 1408 bytes

Template time (2.5 percentile): 623 msec

Template time (median): 627 msec

Template time (97.5 percentile): 677 msec

Investigation:

Frontal mugshot ranking 151 (out of 279) -- FNIR(1600000, 0, 1) = 0.0117 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 118 (out of 241) -- FNIR(1600000, 0, 1) = 0.0273 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 85 (out of 210) -- FNIR(1600000, 0, 1) = 0.6891 vs. lowest 0.0587 from xforwardai_002

Immigration visa-border ranking 77 (out of 168) — FNIR(1600000, 0, 1) = 0.0120 vs. lowest 0.0013 from visionlabs_010

Immigration visa-kiosk ranking 76 (out of 165) -- FNIR(1600000, 0, 1) = 0.1509 vs. lowest 0.0568 from cloudwalk_hr_000

Identification:

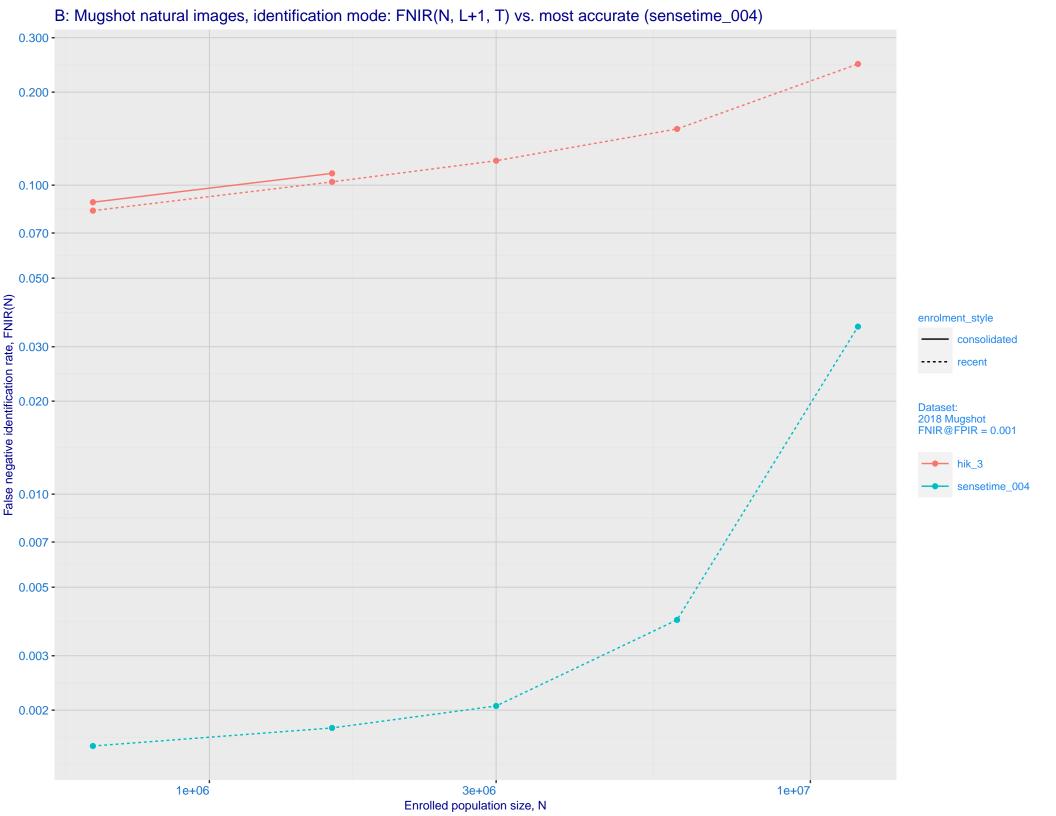
Frontal mugshot ranking 148 (out of 279) -- FNIR(1600000, T, L+1) = 0.1025, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 120 (out of 236) -- FNIR(1600000, T, L+1) = 0.1576, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

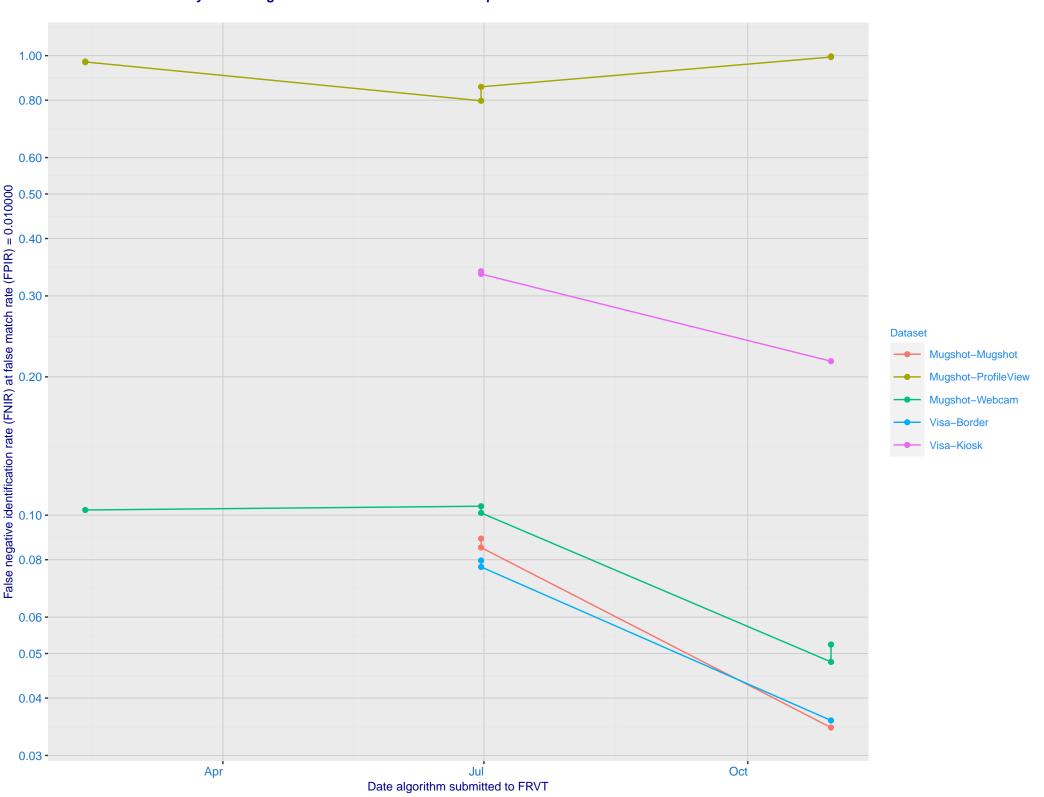
Mugshot profile ranking 48 (out of 209) -- FNIR(1600000, T, L+1) = 0.9686, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk_hr_000

Immigration visa-border ranking 91 (out of 167) -- FNIR(1600000, T, L+1) = 0.1420, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

Immigration visa-kiosk ranking 67 (out of 162) — FNIR(1600000, T, L+1) = 0.4472, FPIR=0.001000 vs. lowest 0.0996 from cloudwalk_hr_000

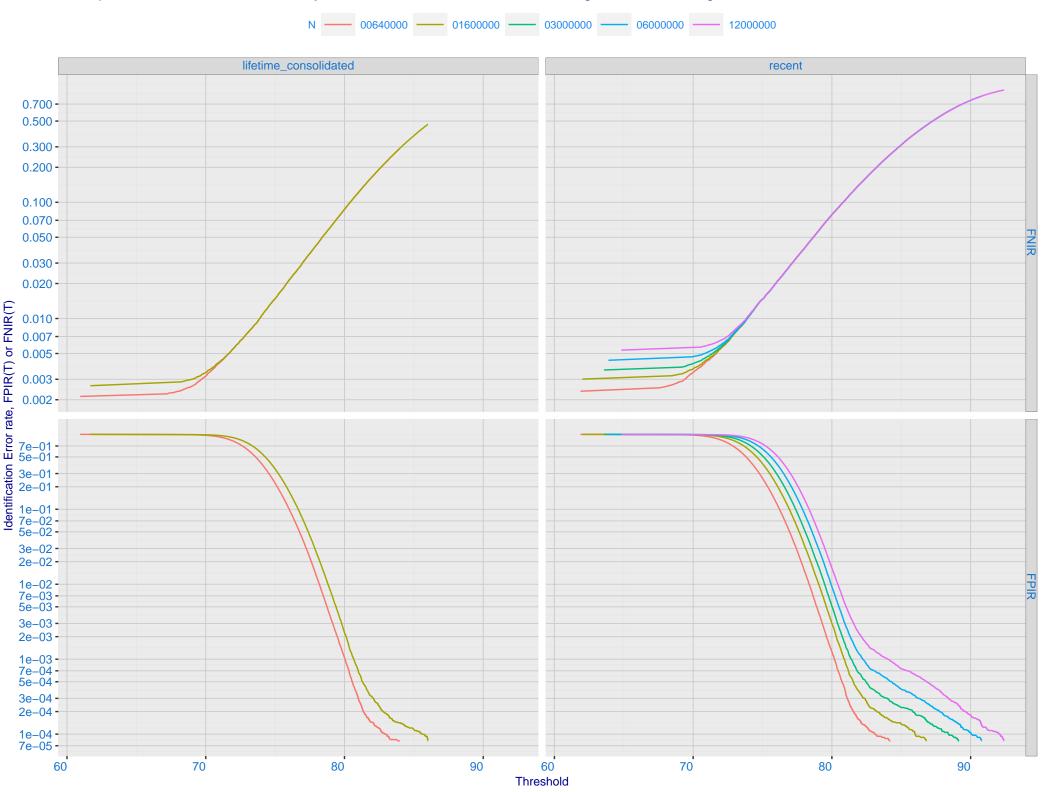


C: Evolution of accuracy for HIK algorithms on three datasets 2018 - present

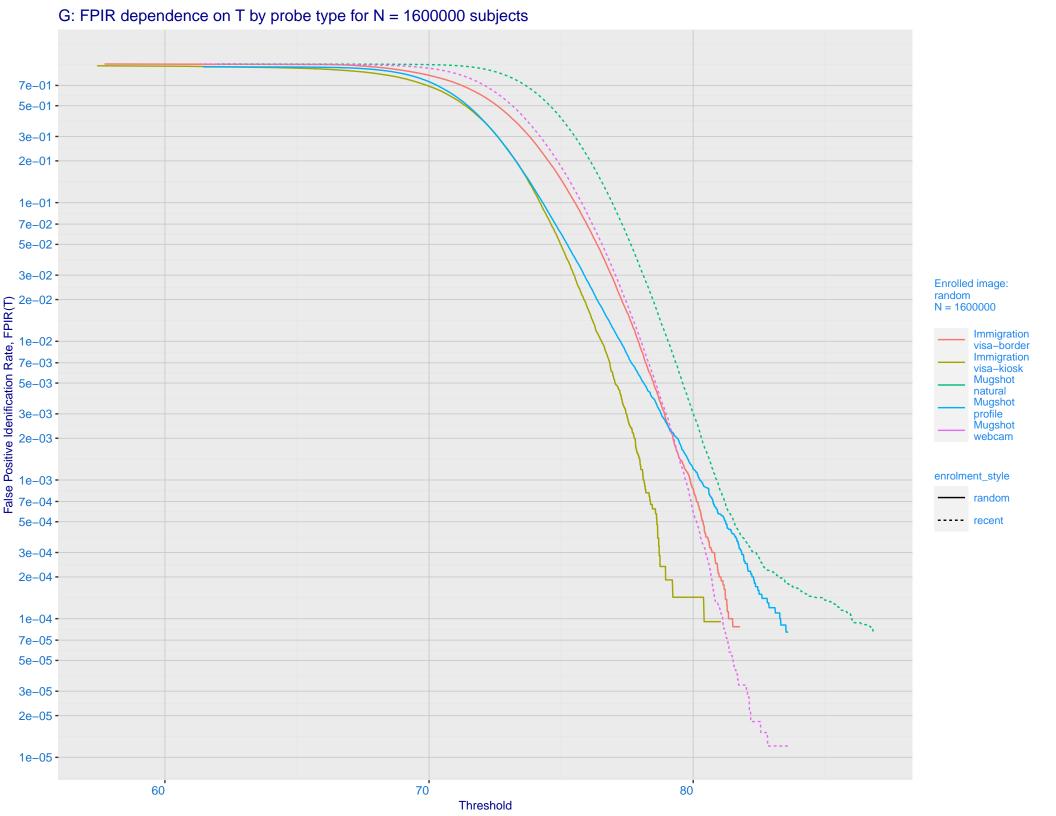


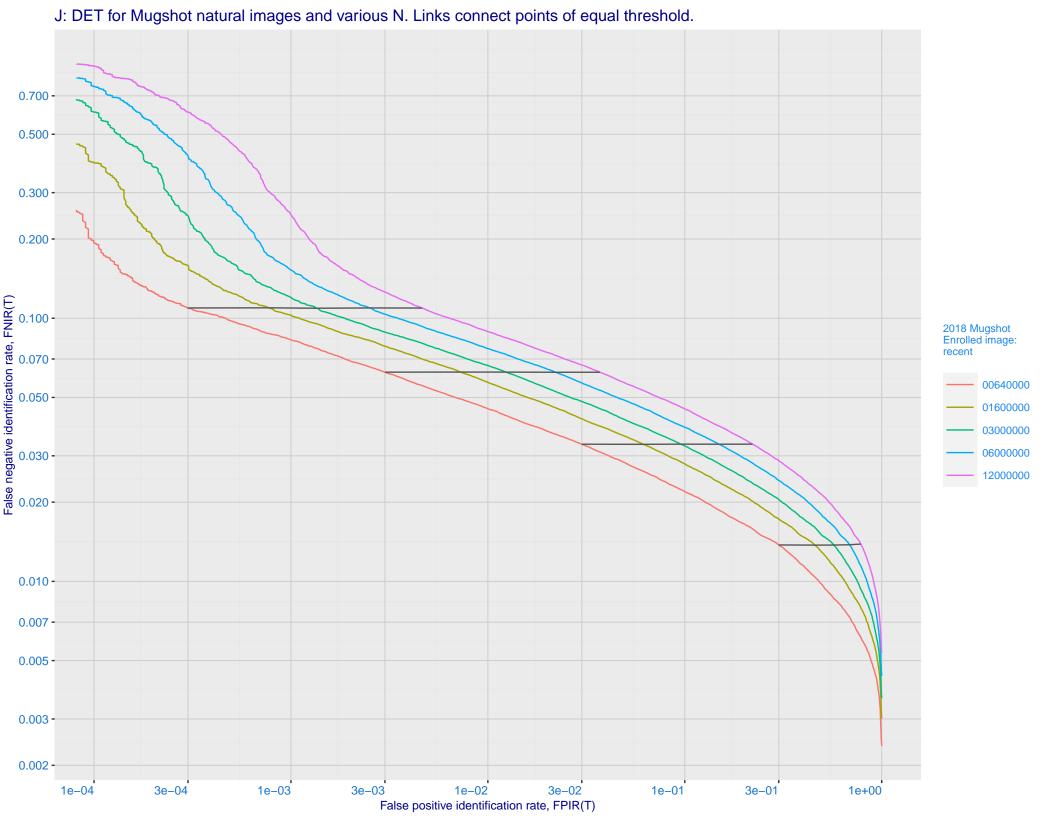
D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -Ealse negative identification rate, FNIR(T) 0.003 - 0.000 - 0.500 - 0.500 - 0.200 - 0.100 - 0. enrolment_style consolidated-ONE-MATE random-ONE-MATE recent-ONE-MATE 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images

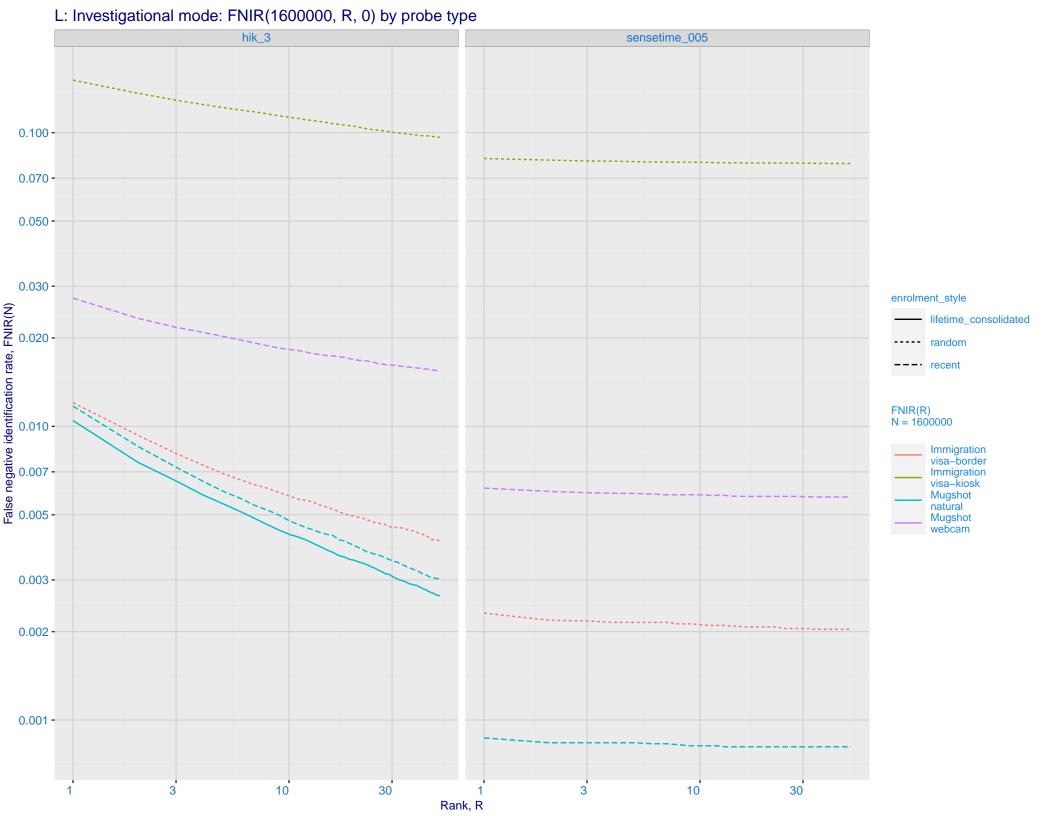


F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

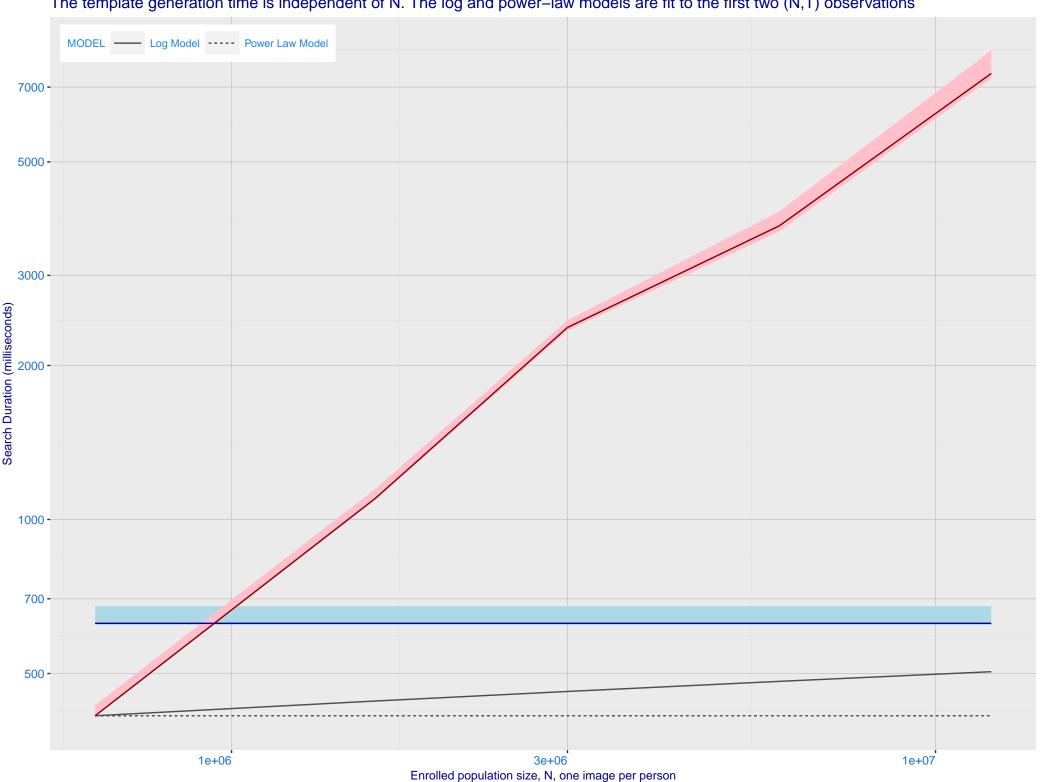




K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005) Immigration **Immigration** visa-border visa-kiosk 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -Palse negative identification rate, FNIR(N) 0.002 - 0.001 - 0.000 - 0. FNIR@Rank = 1 -- hik_3 sensetime_005 Mugshot webcam Mugshot natural enrolment_style consolidated ---- random --- recent 0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing



