## A: Datasheet

Algorithm: innovatrics\_007

Developer: Innovatrics

Submission Date: 2021\_08\_16

Template size: 538 bytes

Template time (2.5 percentile): 768 msec

Template time (median): 777 msec

Template time (97.5 percentile): 813 msec

Investigation:

Frontal mugshot ranking 33 (out of 298) -- FNIR(1600000, 0, 1) = 0.0017 vs. lowest 0.0009 from sensetime\_006

Mugshot webcam ranking 35 (out of 260) -- FNIR(1600000, 0, 1) = 0.0112 vs. lowest 0.0057 from sensetime\_006

Mugshot profile ranking 37 (out of 229) -- FNIR(1600000, 0, 1) = 0.2481 vs. lowest 0.0550 from sensetime\_006

Immigration visa-border ranking 19 (out of 187) -- FNIR(1600000, 0, 1) = 0.0024 vs. lowest 0.0009 from sensetime\_006

Immigration visa-kiosk ranking 16 (out of 184) -- FNIR(1600000, 0, 1) = 0.0771 vs. lowest 0.0487 from cubox\_000

Identification:

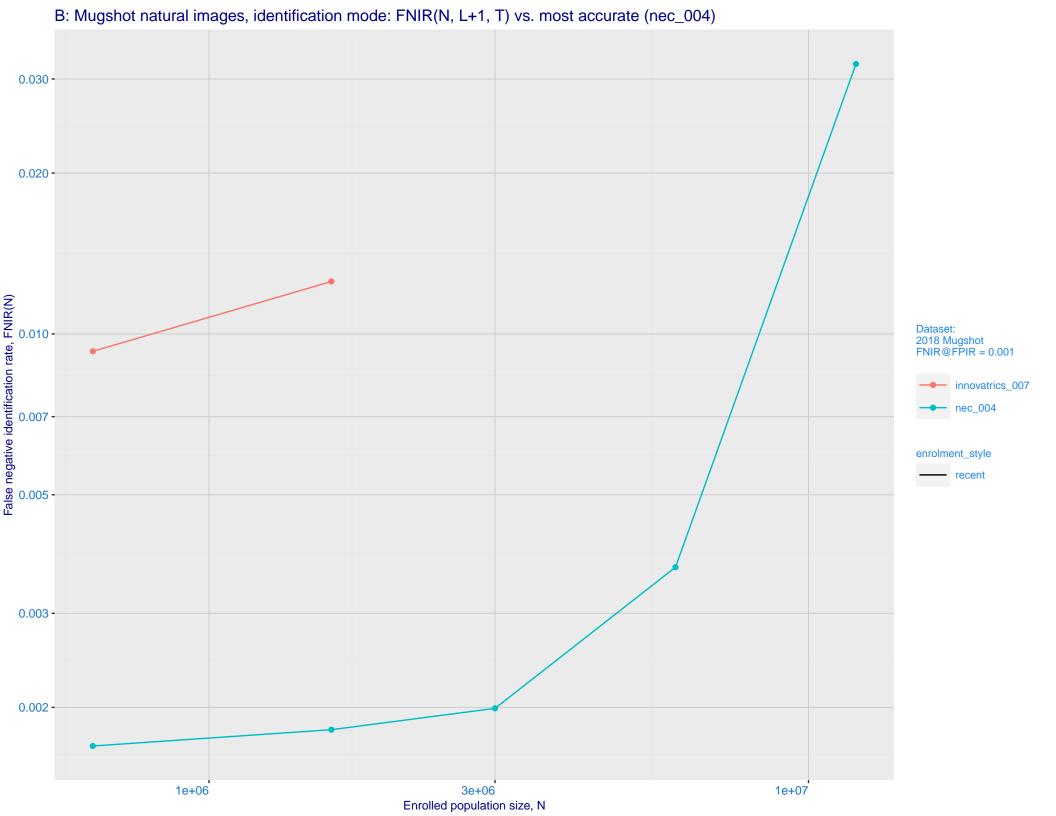
Frontal mugshot ranking 34 (out of 298) -- FNIR(1600000, T, L+1) = 0.0125, FPIR=0.001000 vs. lowest 0.0018 from sensetime\_004

Mugshot webcam ranking 39 (out of 258) -- FNIR(1600000, T, L+1) = 0.0513, FPIR=0.001000 vs. lowest 0.0122 from sensetime\_003

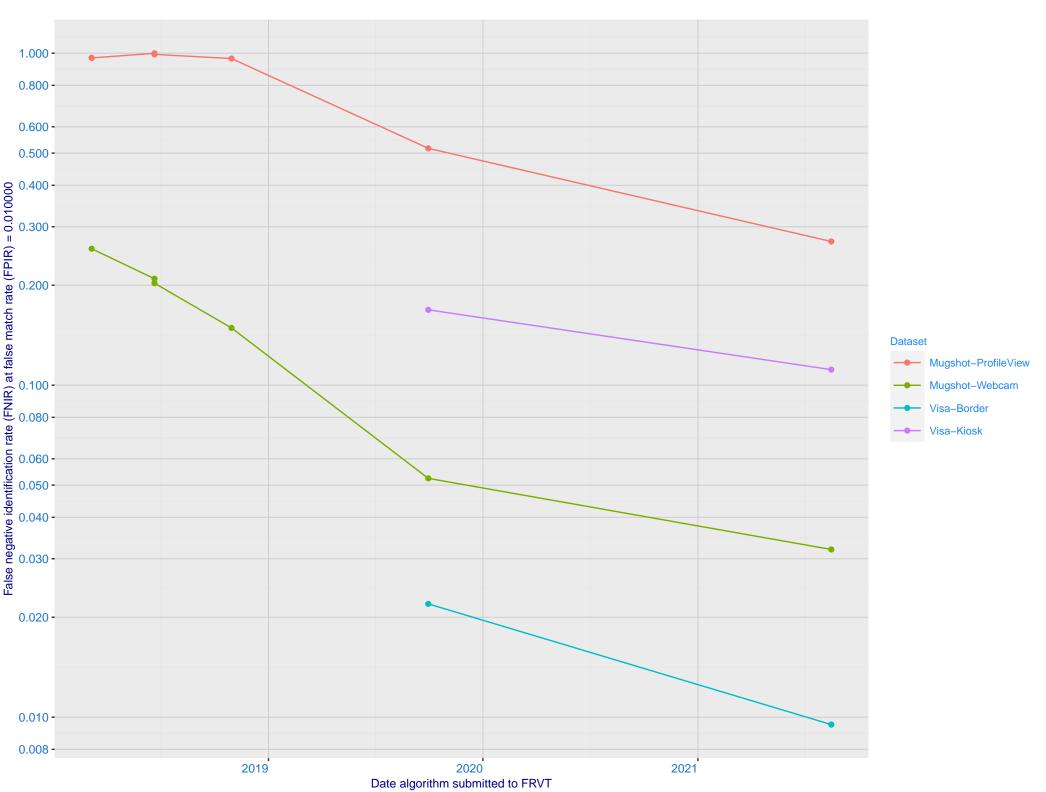
Mugshot profile ranking 26 (out of 228) -- FNIR(1600000, T, L+1) = 0.7445, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk\_hr\_000

Immigration visa-border ranking 26 (out of 185) -- FNIR(1600000, T, L+1) = 0.0171, FPIR=0.001000 vs. lowest 0.0039 from sensetime\_006

Immigration visa-kiosk ranking 18 (out of 180) -- FNIR(1600000, T, L+1) = 0.1543, FPIR=0.001000 vs. lowest 0.0925 from sensetime\_006



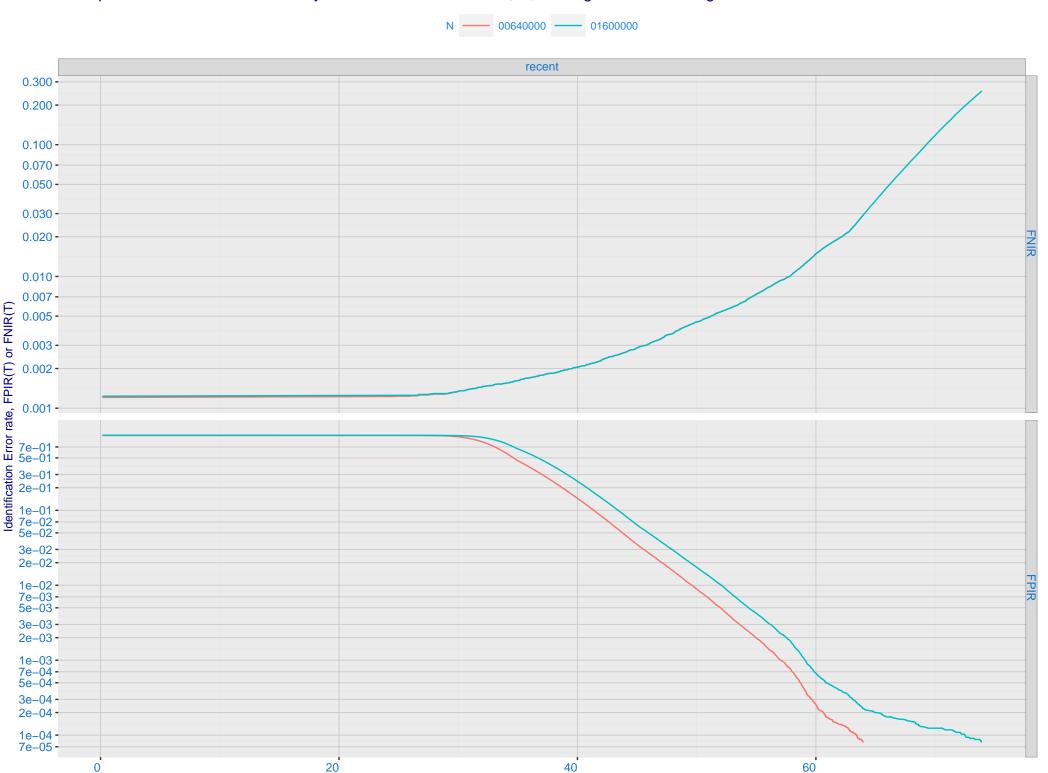
C: Evolution of accuracy for INNOVATRICS algorithms on three datasets 2018 – present



D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 innovatrics 0.050 -0.030 -0.020 -0.010 -Ealse negative identification rate, FNIR(T) 0.003 - 0.002 - 0.001 - 0.500 - 0.500 - 0.200 - 0. enrolment\_style random-ONE-MATE recent-ONE-MATE 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 - $0.001 - \frac{1}{10^{2}} - \frac{1}{10^{2}$ 

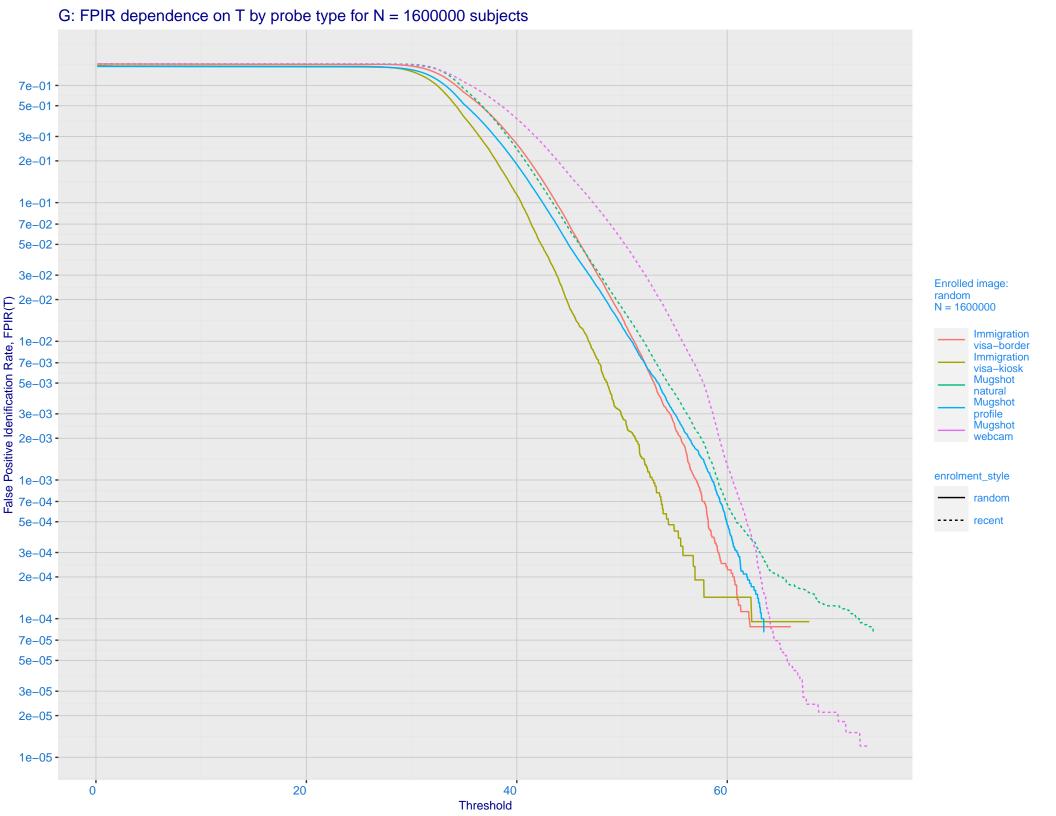
False positive identification rate, FPIR(T)

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images

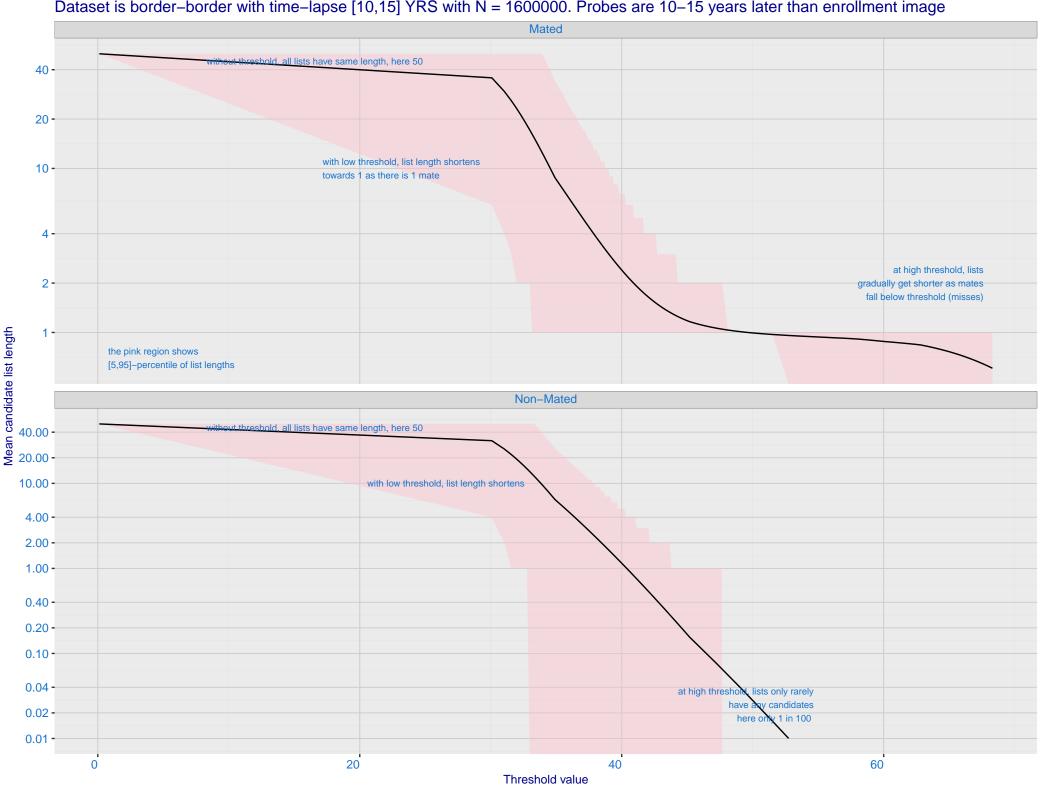


Threshold

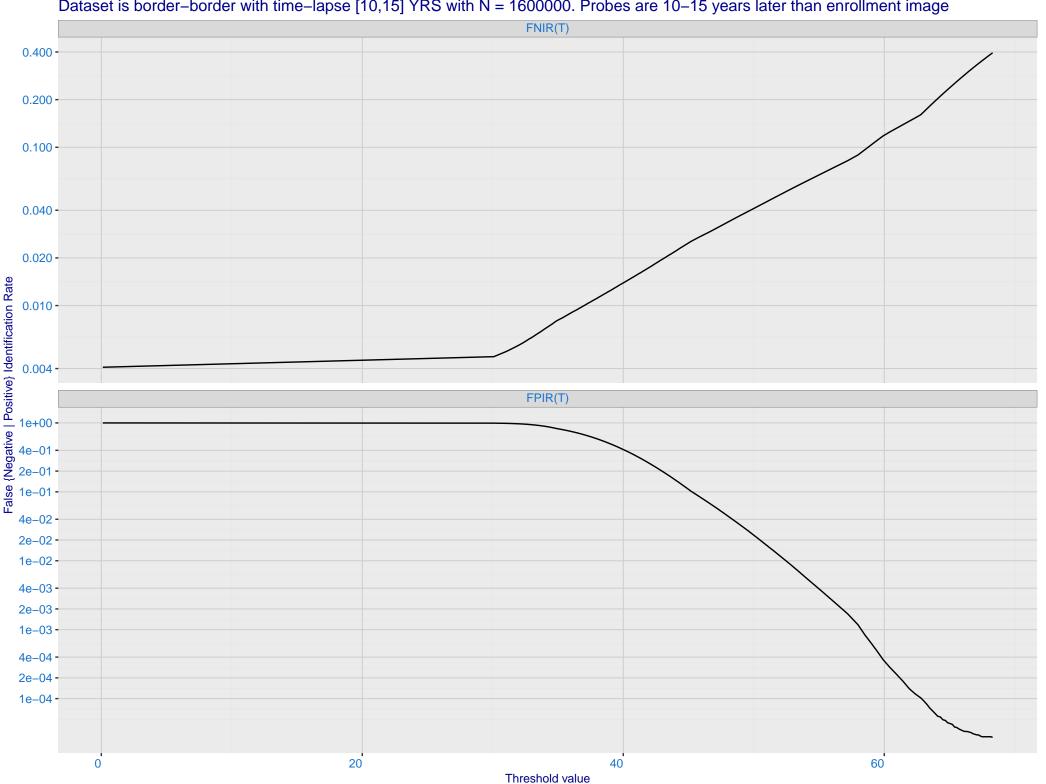
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

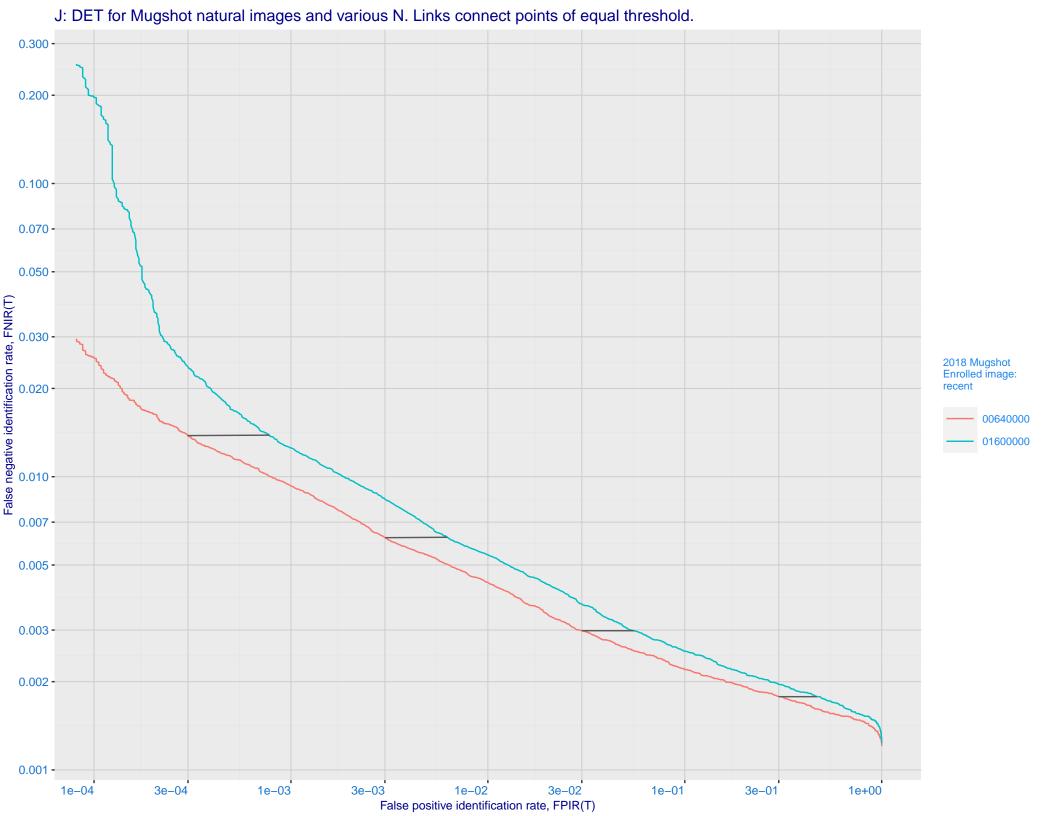


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

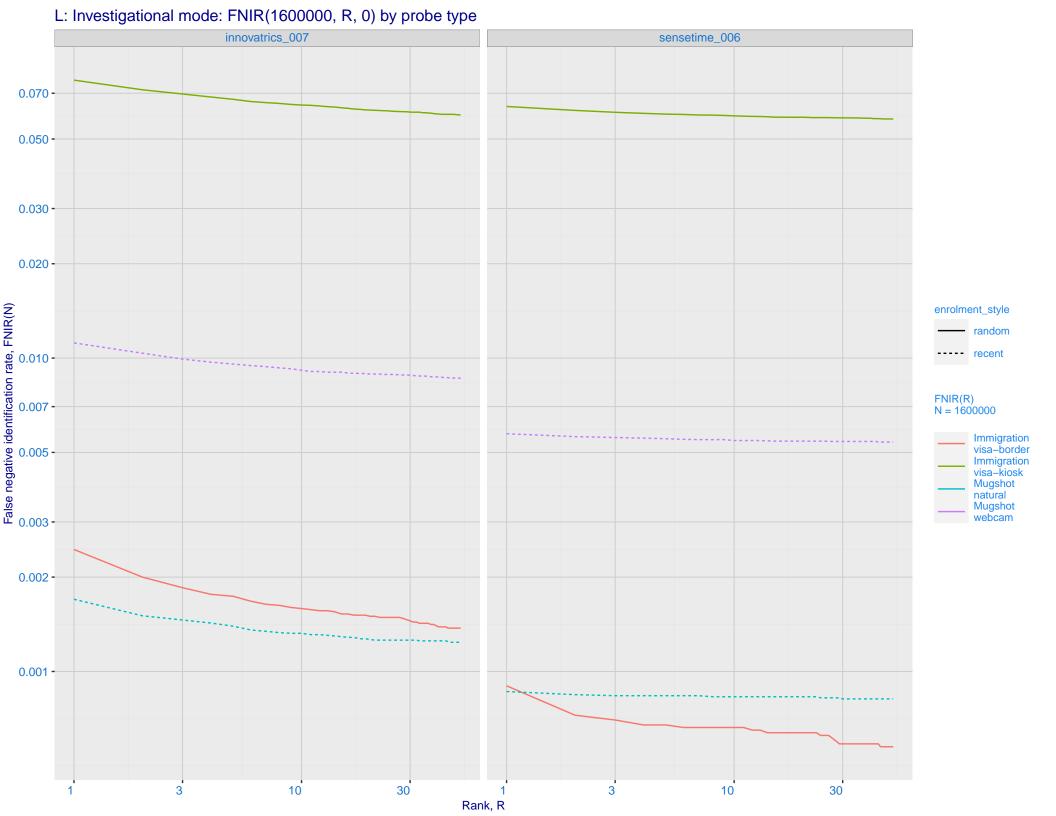


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

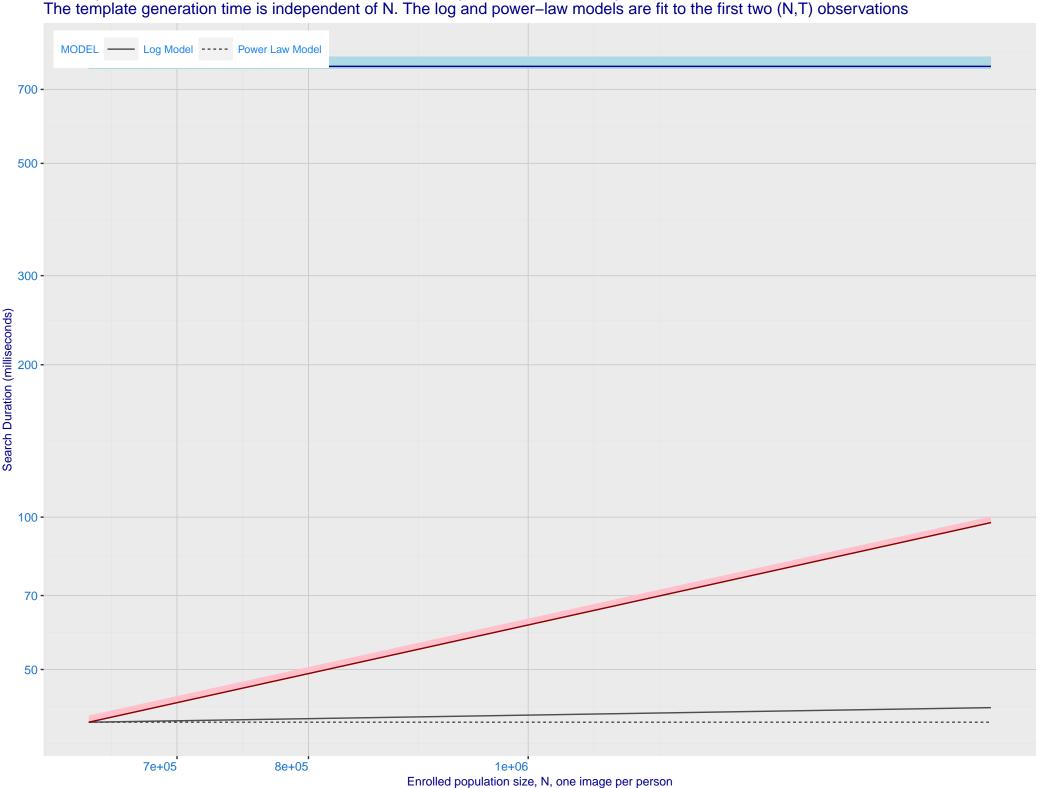




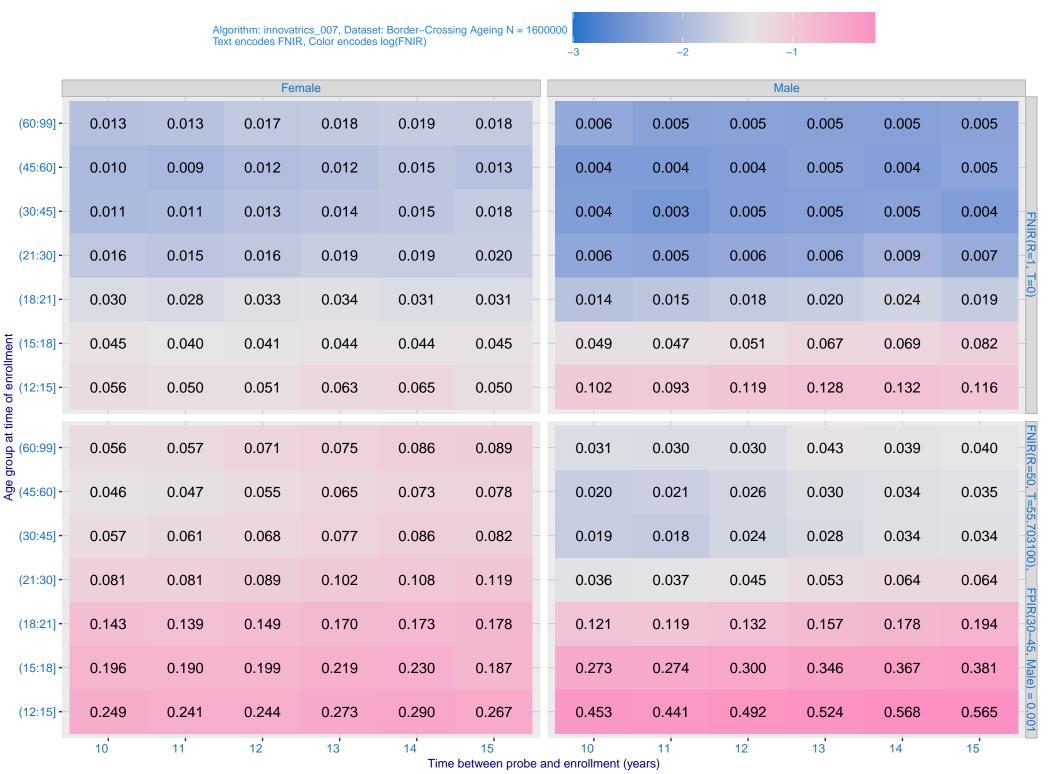
K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_006) Immigration **Immigration** visa-border visa-kiosk 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) - 0.000 enrolment\_style random ---- recent Mugshot natural Mugshot webcam FNIR@Rank = 1 innovatrics\_007 - sensetime\_006 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



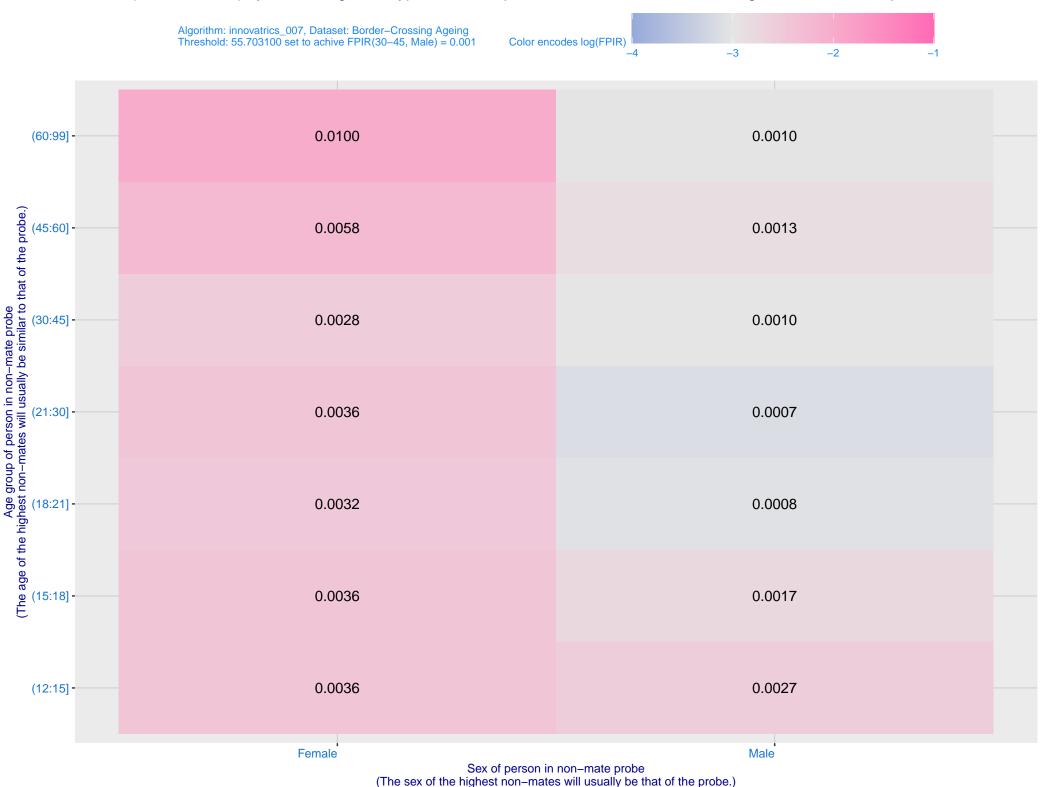
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.



P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing



