A: Datasheet

Algorithm: xforwardai_000

Developer: Xforward AI Technology

Submission Date: 2020_07_24

Template size: 2048 bytes

Template time (2.5 percentile): 752 msec

Template time (median): 753 msec

Template time (97.5 percentile): 813 msec

Investigation:

Frontal mugshot ranking 43 (out of 279) −− FNIR(1600000, 0, 1) = 0.0023 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 42 (out of 241) −− FNIR(1600000, 0, 1) = 0.0136 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 10 (out of 210) −− FNIR(1600000, 0, 1) = 0.0888 vs. lowest 0.0587 from xforwardai_002

Immigration visa−border ranking 30 (out of 168) −− FNIR(1600000, 0, 1) = 0.0038 vs. lowest 0.0013 from visionlabs_010

Immigration visa−kiosk ranking 25 (out of 165) −− FNIR(1600000, 0, 1) = 0.0937 vs. lowest 0.0568 from cloudwalk_hr_000

Identification:

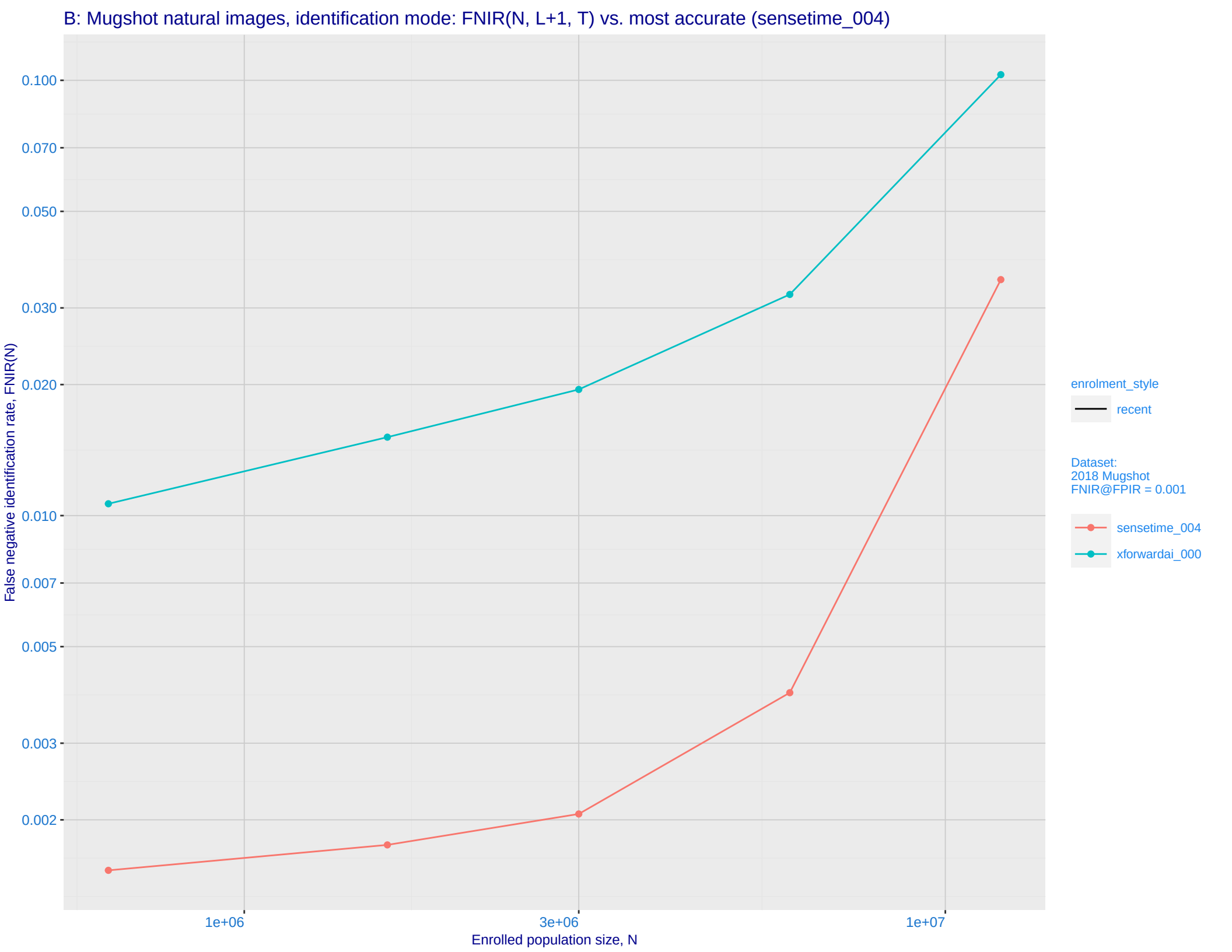
Frontal mugshot ranking 32 (out of 279) −− FNIR(1600000, T, L+1) = 0.0151, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 33 (out of 236) −− FNIR(1600000, T, L+1) = 0.0534, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

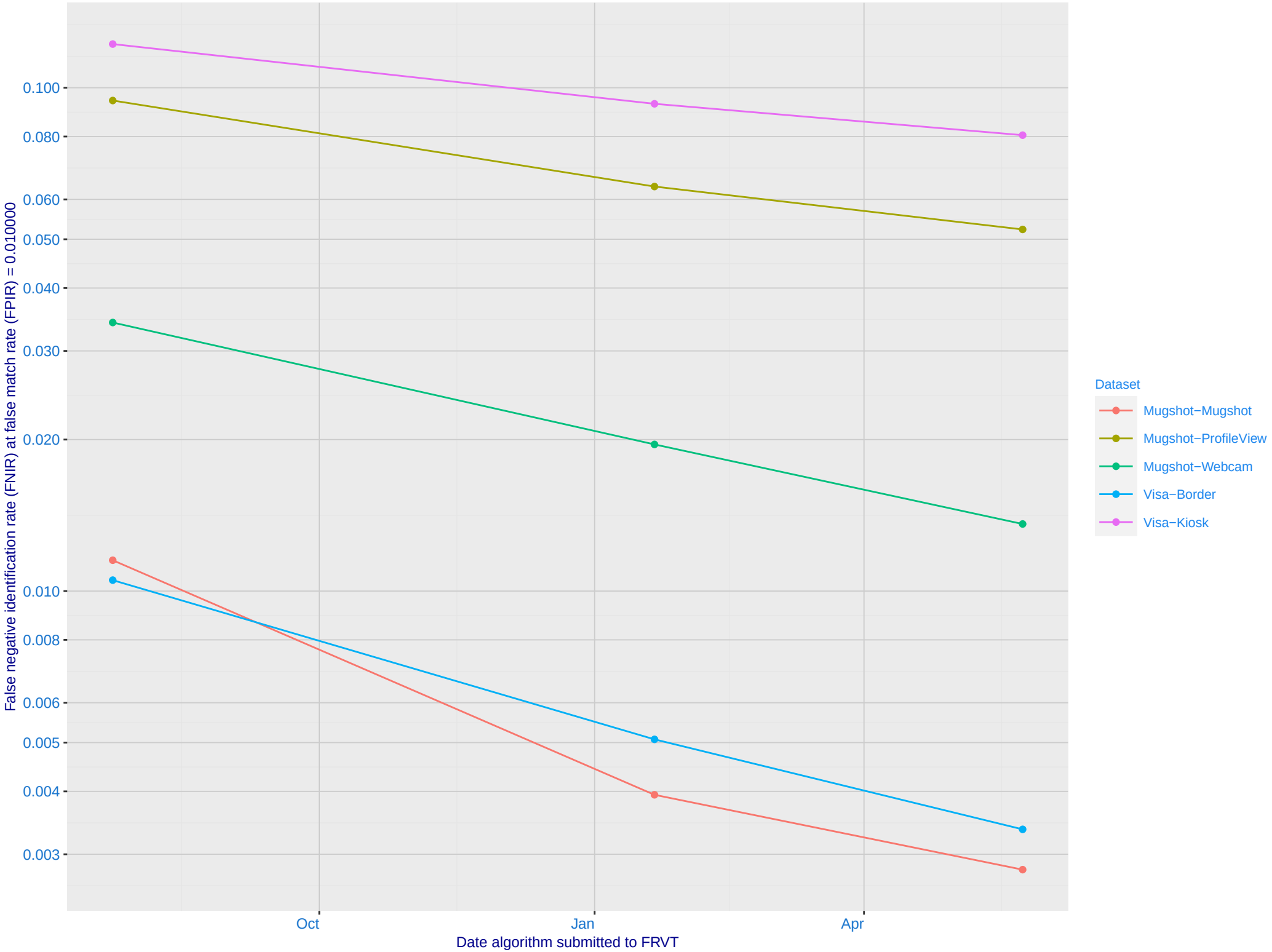
Mugshot profile ranking 8 (out of 209) −− FNIR(1600000, T, L+1) = 0.4402, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk_hr_000

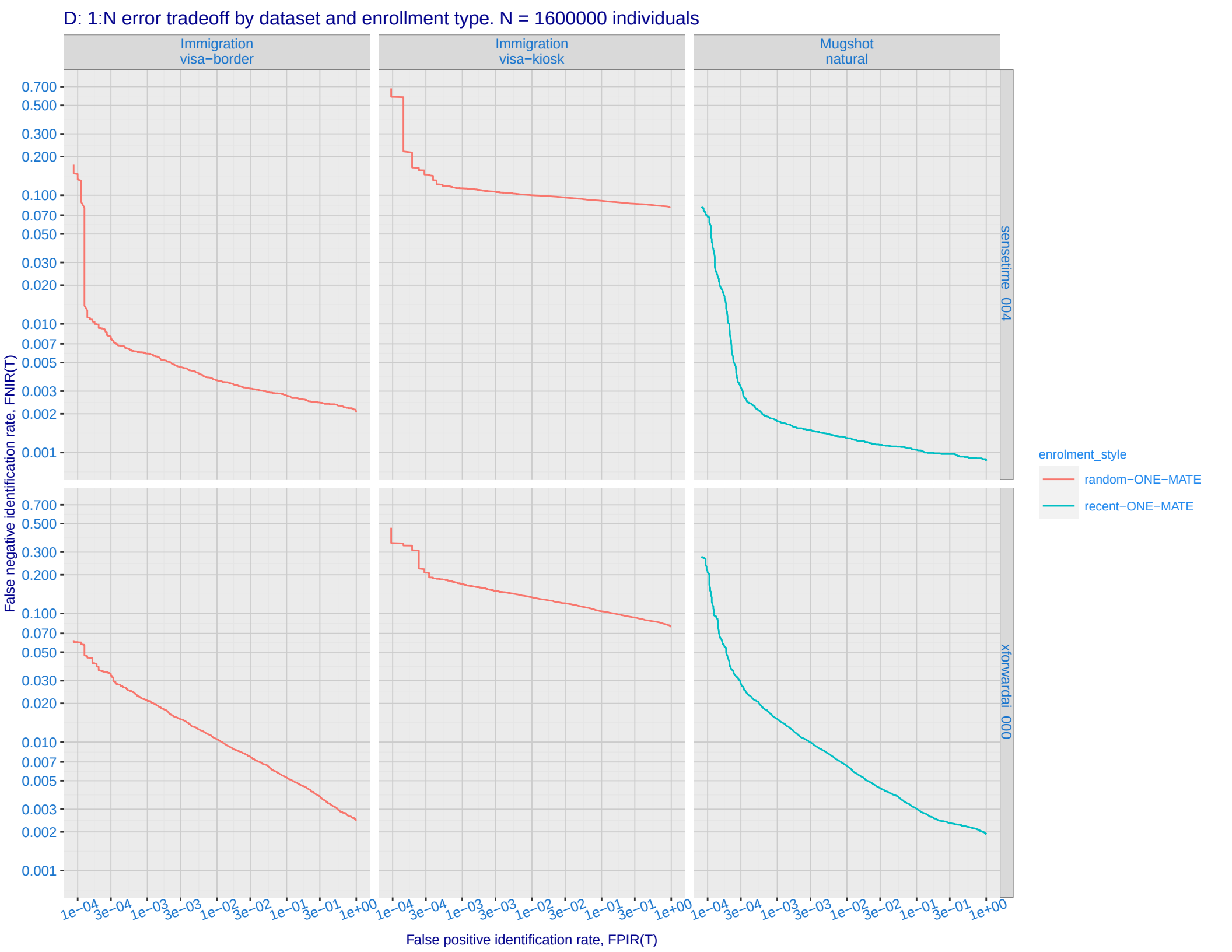
Immigration visa−border ranking 23 (out of 167) −− FNIR(1600000, T, L+1) = 0.0210, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

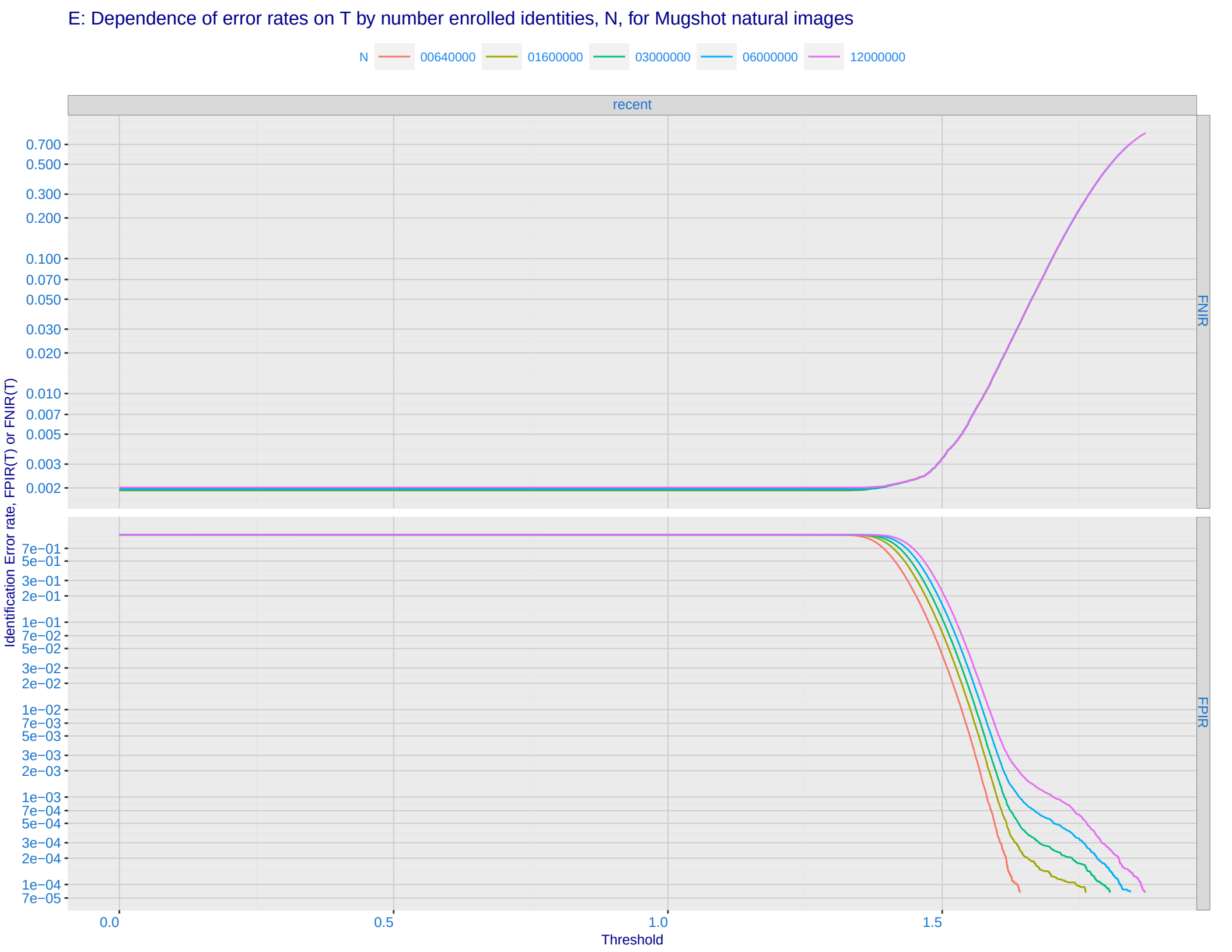
Immigration visa−kiosk ranking 17 (out of 162) −− FNIR(1600000, T, L+1) = 0.1703, FPIR=0.001000 vs. lowest 0.0996 from cloudwalk_hr_000

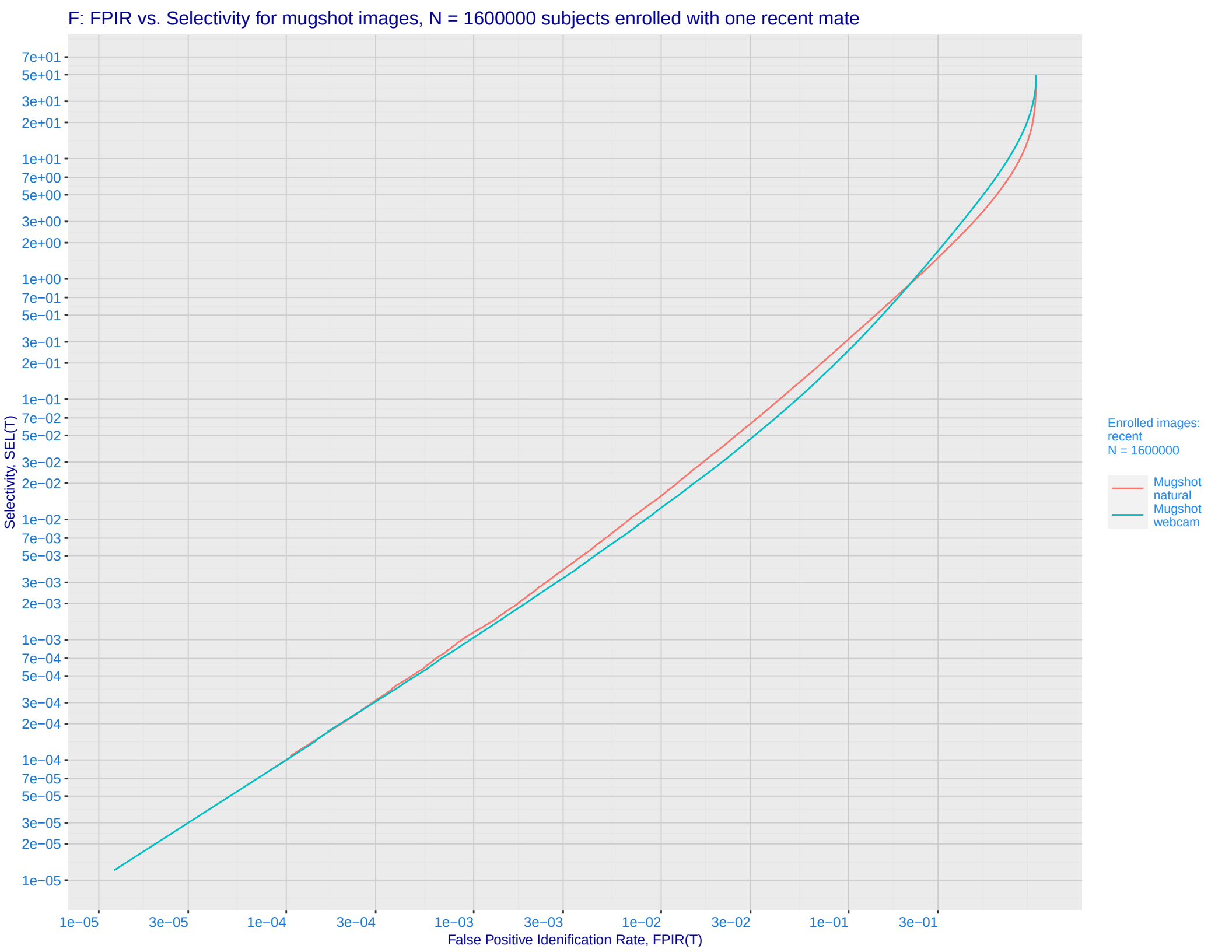

B: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
recent
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
sensetime_004
xforwardai_000

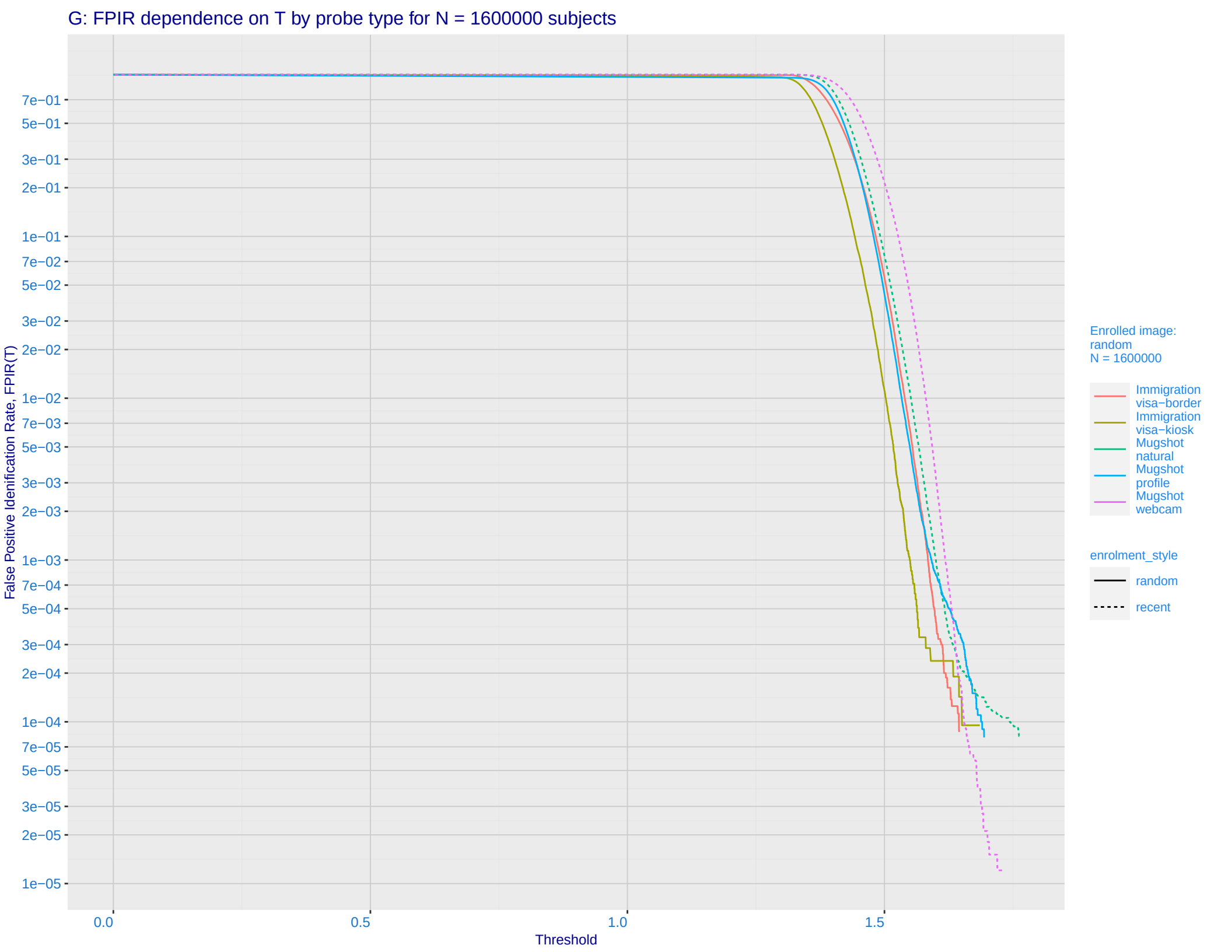
C: Evolution of accuracy for XFORWARDAI algorithms on three datasets 2018 − present

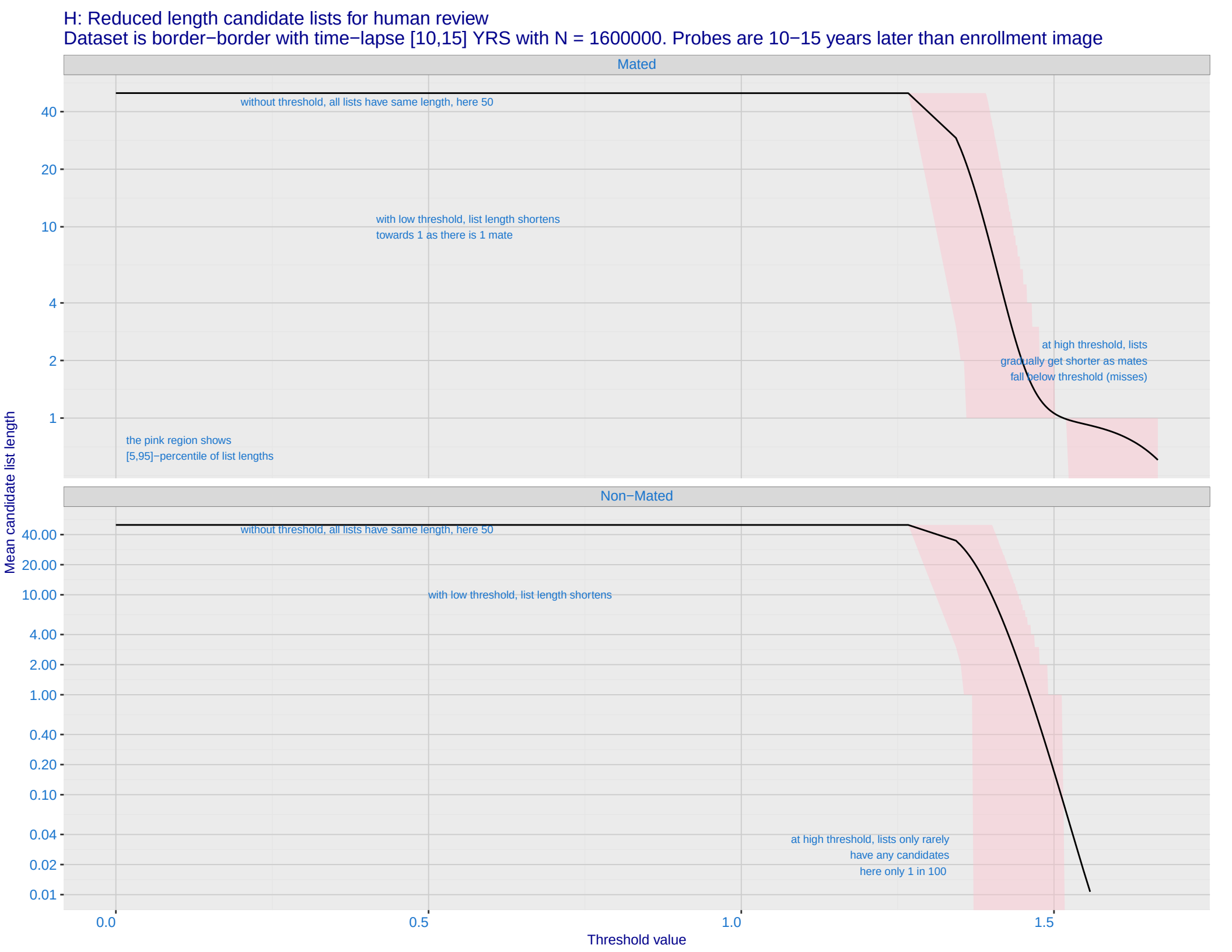


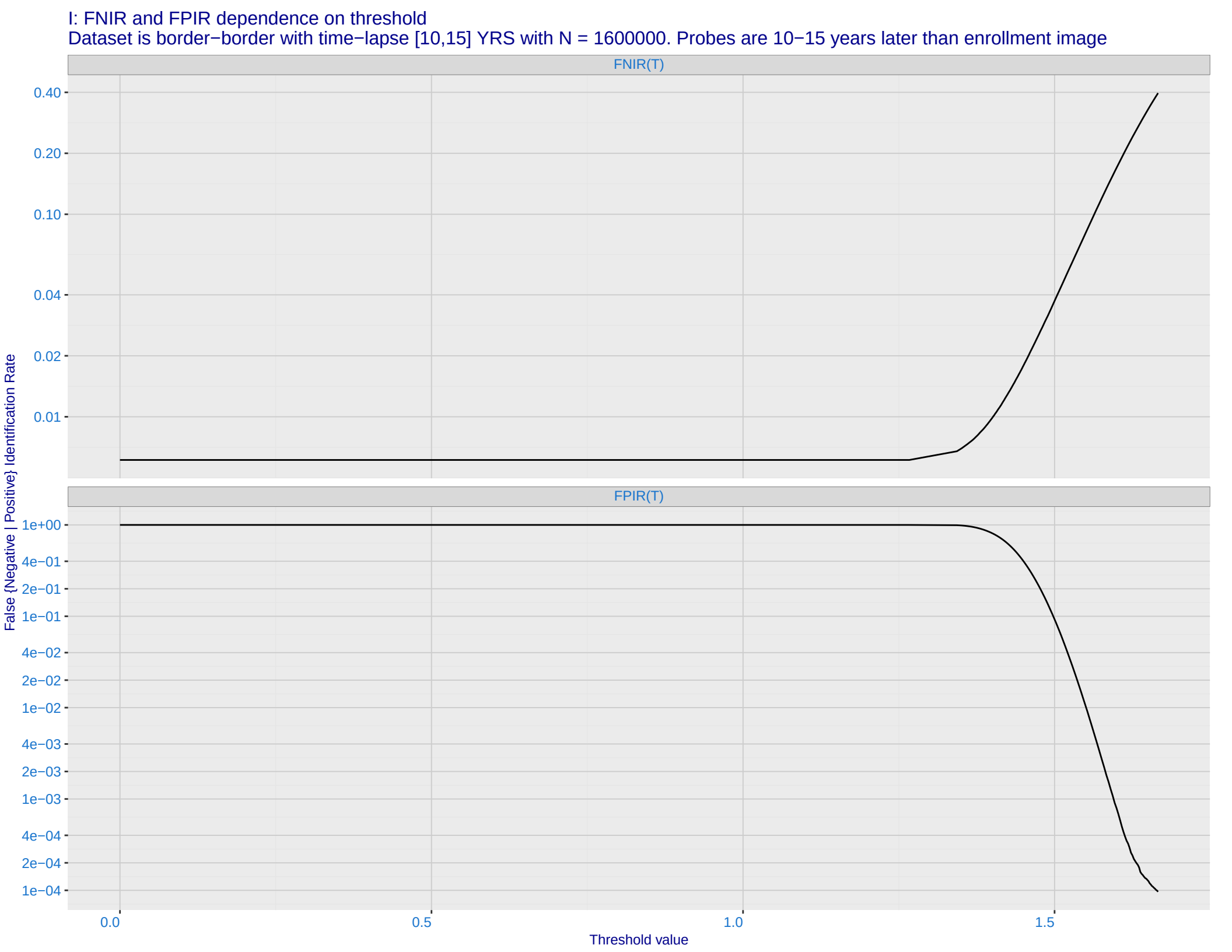

D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
sensetime_004
xforwardai_000
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
random−ONE−MATE
recent−ONE−MATE

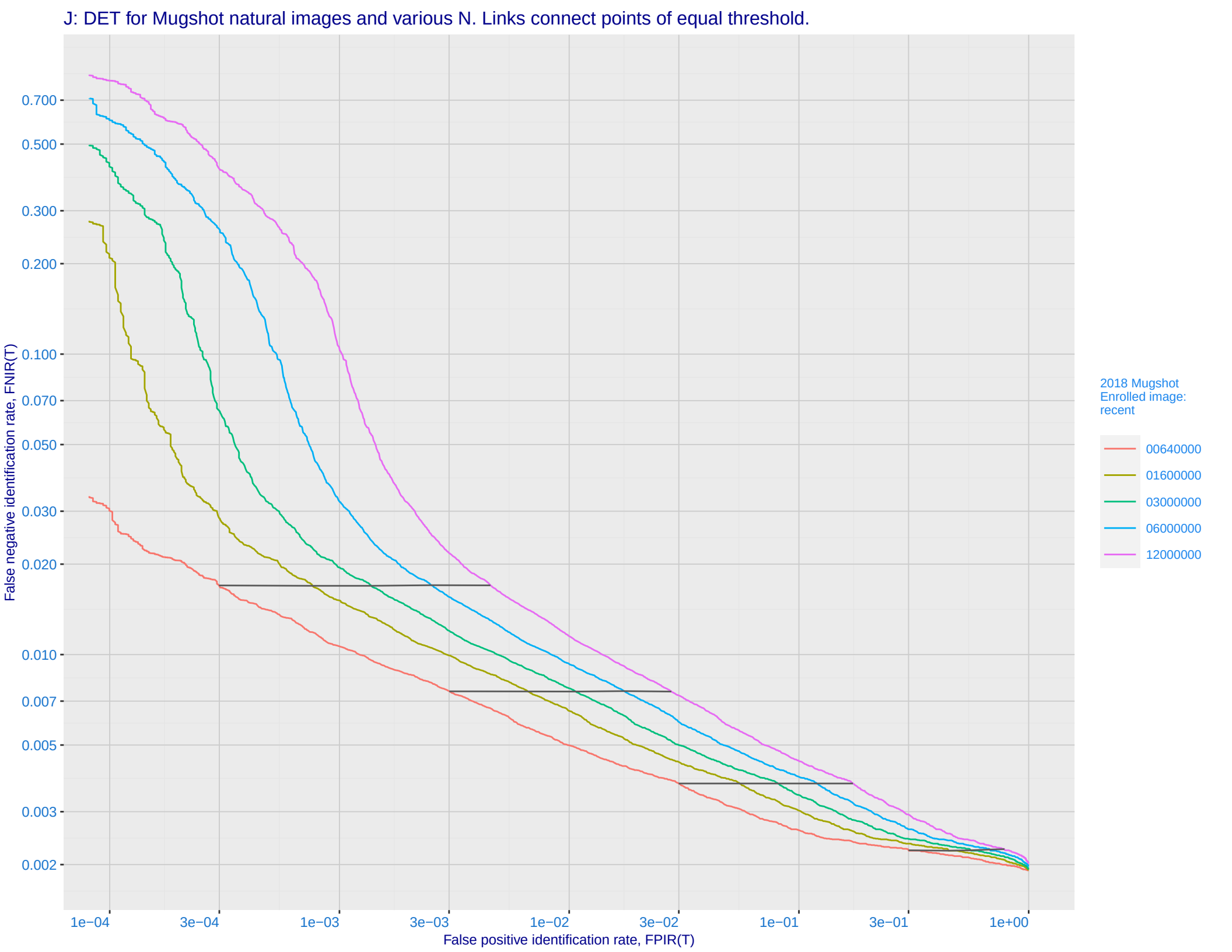

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
0.0
0.5
1.0
1.5
Threshold

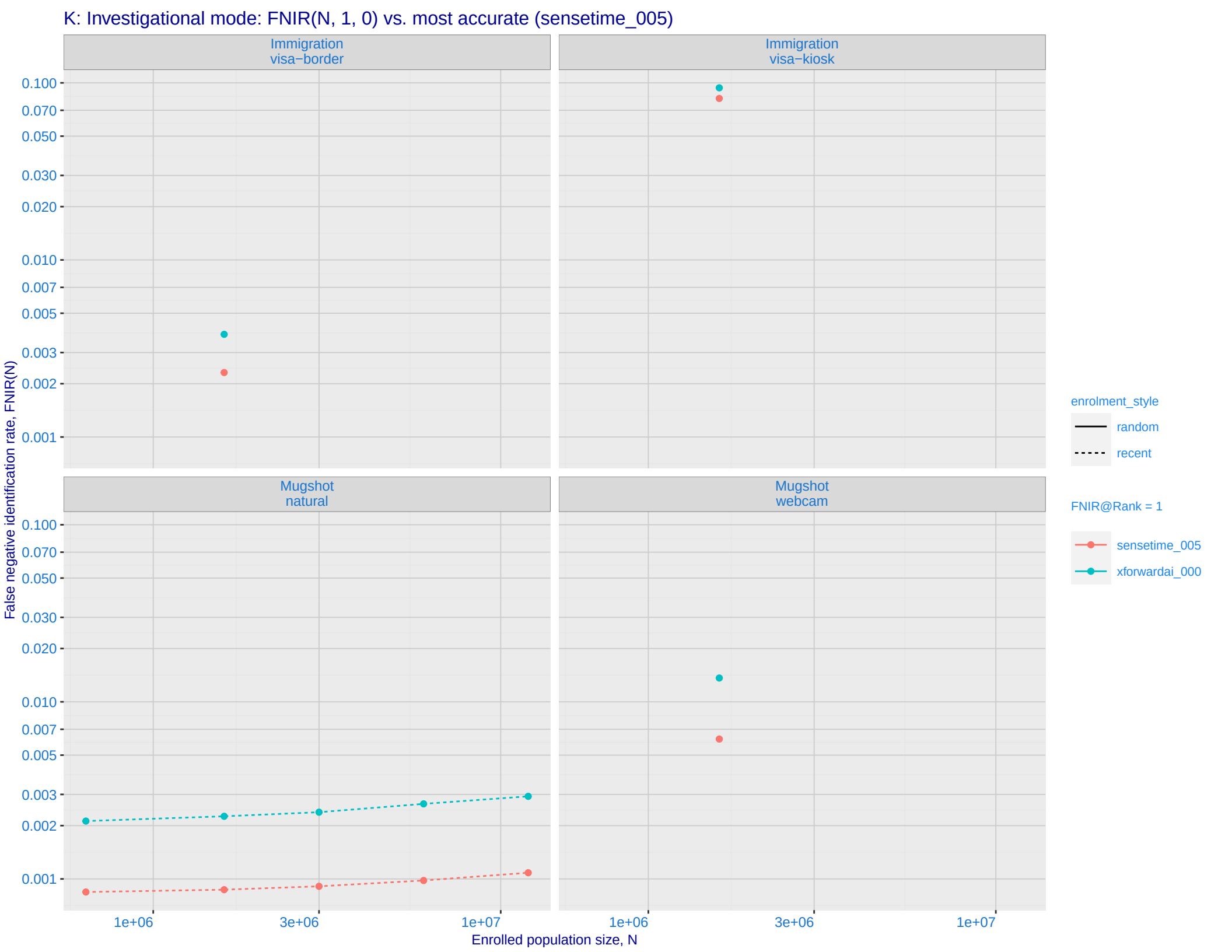

F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
webcam

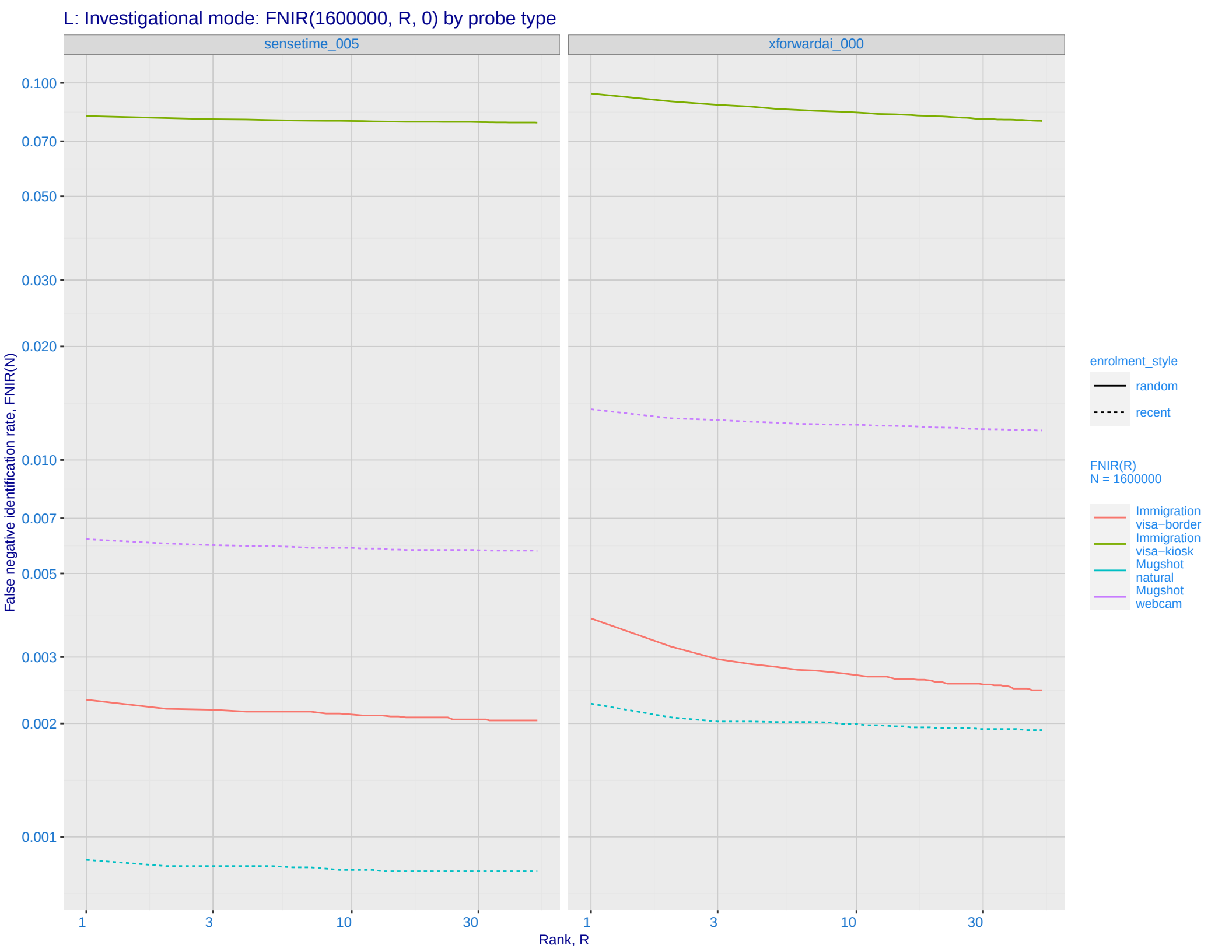

G: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
0.0
0.5
1.0
1.5
Threshold
Enrolled image:
random
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot profile
Mugshot webcam
enrolment_style
random
recent


H: Reduced length candidate lists for human review
Dataset is border−border with time−lapse [10,15] YRS with N = 1600000. Probes are 10−15 years later than enrollment image
Mated
without threshold, all lists have same length, here 50
with low threshold, list length shortens
towards 1 as there is 1 mate
at high threshold, lists
gradually get shorter as mates
fall below threshold (misses)
the pink region shows
[5,95]−percentile of list lengths
Non−Mated
without threshold, all lists have same length, here 50
with low threshold, list length shortens
at high threshold, lists only rarely
have any candidates
here only 1 in 100
Mean candidate list length
Threshold value
40
20
10
4
2
1
40.00
20.00
10.00
4.00
2.00
1.00
0.40
0.20
0.10
0.04
0.02
0.01
0.0
0.5
1.0
1.5

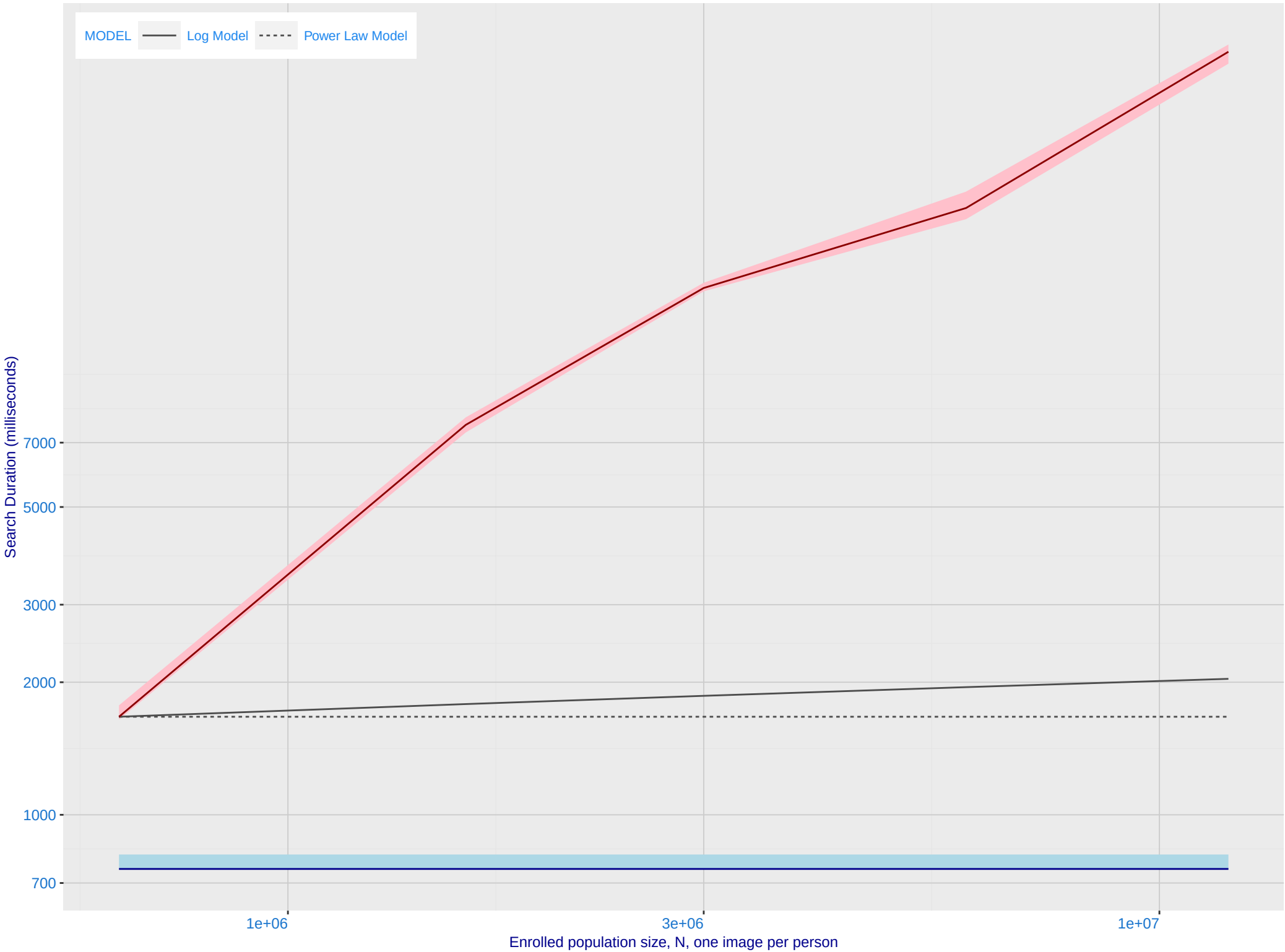

I: FNIR and FPIR dependence on threshold
Dataset is border−border with time−lapse [10,15] YRS with N = 1600000. Probes are 10−15 years later than enrollment image
FNIR(T)
0.40
0.20
0.10
0.04
0.02
0.01
FPIR(T)
1e+00
4e−01
2e−01
1e−01
4e−02
2e−02
1e−02
4e−03
2e−03
1e−03
4e−04
2e−04
1e−04
False {Negative | Positive} Identification Rate
0.0
0.5
1.0
1.5
Threshold value


J: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000


K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005)
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
webcam
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
False negative identification rate, FNIR(N)
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
random
recent
FNIR@Rank = 1
sensetime_005
xforwardai_000

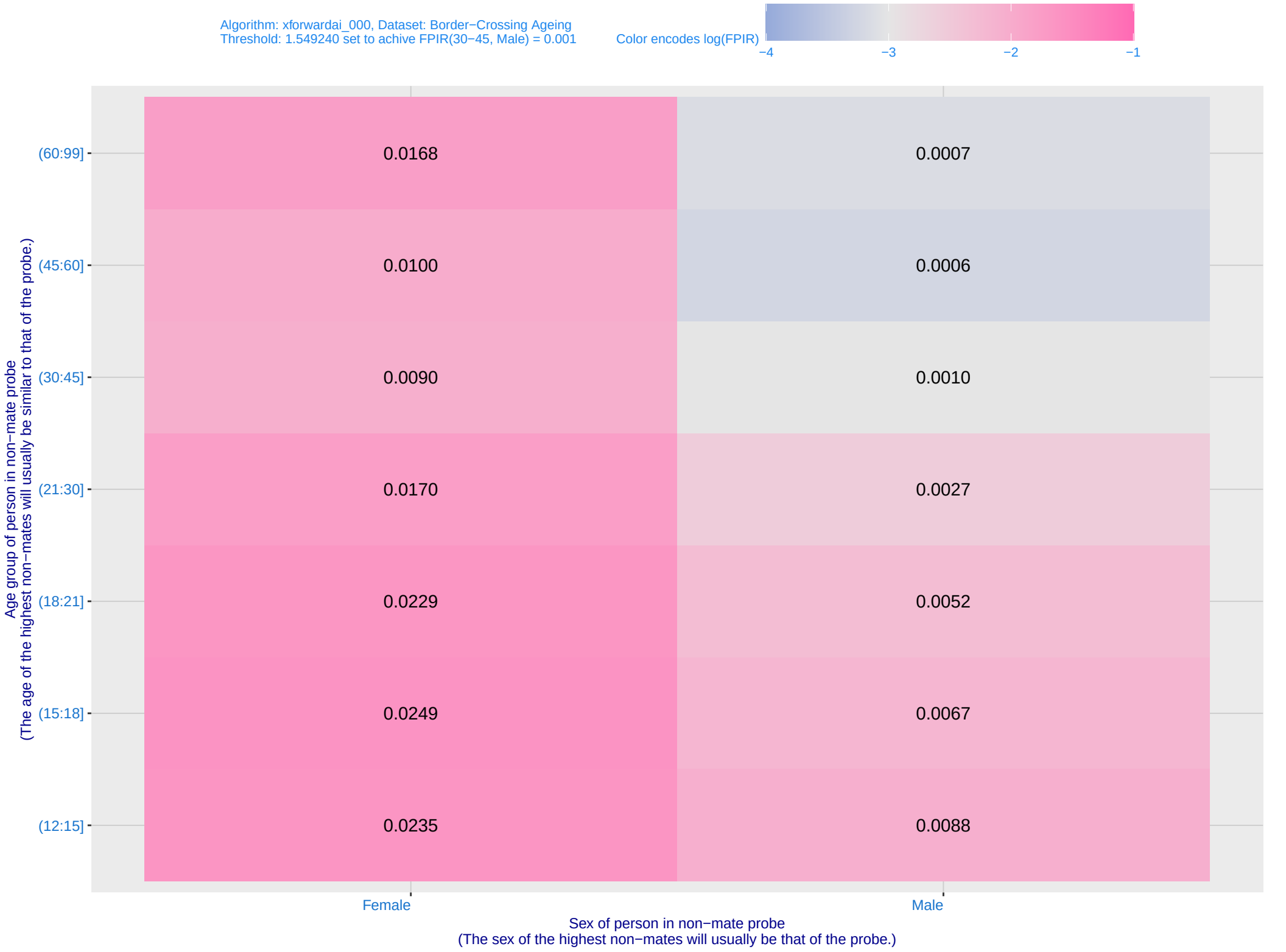

L: Investigational mode: FNIR(1600000, R, 0) by probe type
sensetime_005
xforwardai_000
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam

M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations

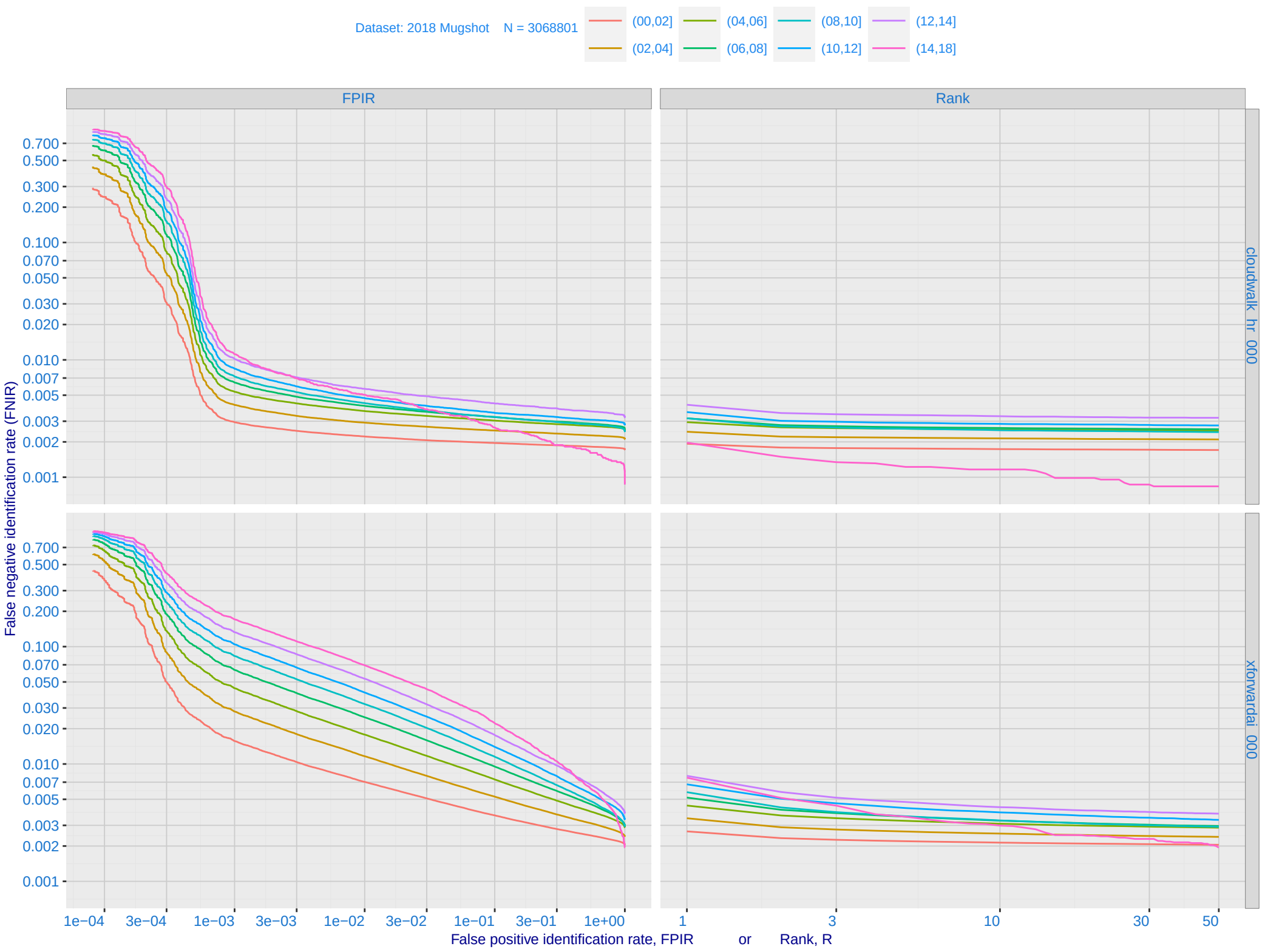



O: FNIR(T, N = 1.6 million) by sex, age and time−lapse. The top row gives investigational rank−1 miss rates.
The bottom panels give high threshold for more lights−out identification with low FPIR.
Algorithm: xforwardai_000, Dataset: Border−Crossing Ageing N = 1600000
Text encodes FNIR, Color encodes log(FNIR)
−3
−2
−1
Female
Male
(60:99]
(45:60]
(30:45]
(21:30]
(18:21]
(15:18]
(12:15]
0.015 0.016 0.018 0.018 0.023 0.020
0.013 0.013 0.015 0.016 0.017 0.018
0.013 0.014 0.016 0.018 0.019 0.019
0.019 0.018 0.020 0.022 0.024 0.022
0.031 0.028 0.032 0.037 0.036 0.032
0.046 0.043 0.044 0.051 0.049 0.050
0.063 0.049 0.057 0.067 0.071 0.050
0.007 0.005 0.005 0.006 0.008 0.010
0.005 0.005 0.005 0.007 0.006 0.005
0.006 0.005 0.006 0.006 0.006 0.006
0.007 0.007 0.007 0.007 0.008 0.007
0.013 0.013 0.015 0.018 0.019 0.016
0.036 0.035 0.036 0.050 0.047 0.067
0.071 0.072 0.081 0.083 0.090 0.101
FNIR(R=1, T=0)
0.062 0.067 0.085 0.087 0.103 0.125
0.056 0.057 0.068 0.080 0.088 0.099
0.063 0.066 0.077 0.088 0.095 0.098
0.085 0.083 0.094 0.107 0.113 0.116
0.135 0.131 0.142 0.163 0.161 0.174
0.176 0.172 0.184 0.203 0.215 0.163
0.235 0.223 0.232 0.256 0.270 0.244
0.036 0.037 0.039 0.053 0.051 0.058
0.028 0.029 0.037 0.044 0.047 0.049
0.030 0.028 0.037 0.045 0.050 0.050
0.047 0.049 0.058 0.069 0.079 0.077
0.134 0.125 0.148 0.168 0.189 0.186
0.279 0.280 0.306 0.344 0.364 0.378
0.430 0.416 0.466 0.494 0.527 0.464
FNIR(R=50, T=1.549240), FPIR(30−45, Male) = 0.001
Age group at time of enrollment
10 11 12 13 14 15
Time between probe and enrollment (years)

P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing



R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines