A: Datasheet

Algorithm: dahua_003

Developer: Dahua Technology Co Ltd

Submission Date: 2020_11_18

Template size: 2048 bytes

Template time (2.5 percentile): 722 msec

Template time (median): 723 msec

Template time (97.5 percentile): 730 msec

Investigation:

Frontal mugshot ranking 8 (out of 271) −− FNIR(1600000, 0, 1) = 0.0012 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 6 (out of 232) −− FNIR(1600000, 0, 1) = 0.0072 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 20 (out of 201) −− FNIR(1600000, 0, 1) = 0.2060 vs. lowest 0.0591 from sensetime_005

Immigration visa−border ranking 5 (out of 160) −− FNIR(1600000, 0, 1) = 0.0020 vs. lowest 0.0013 from visionlabs_010

Immigration visa−kiosk ranking 5 (out of 157) −− FNIR(1600000, 0, 1) = 0.0725 vs. lowest 0.0568 from hr_000

Identification:

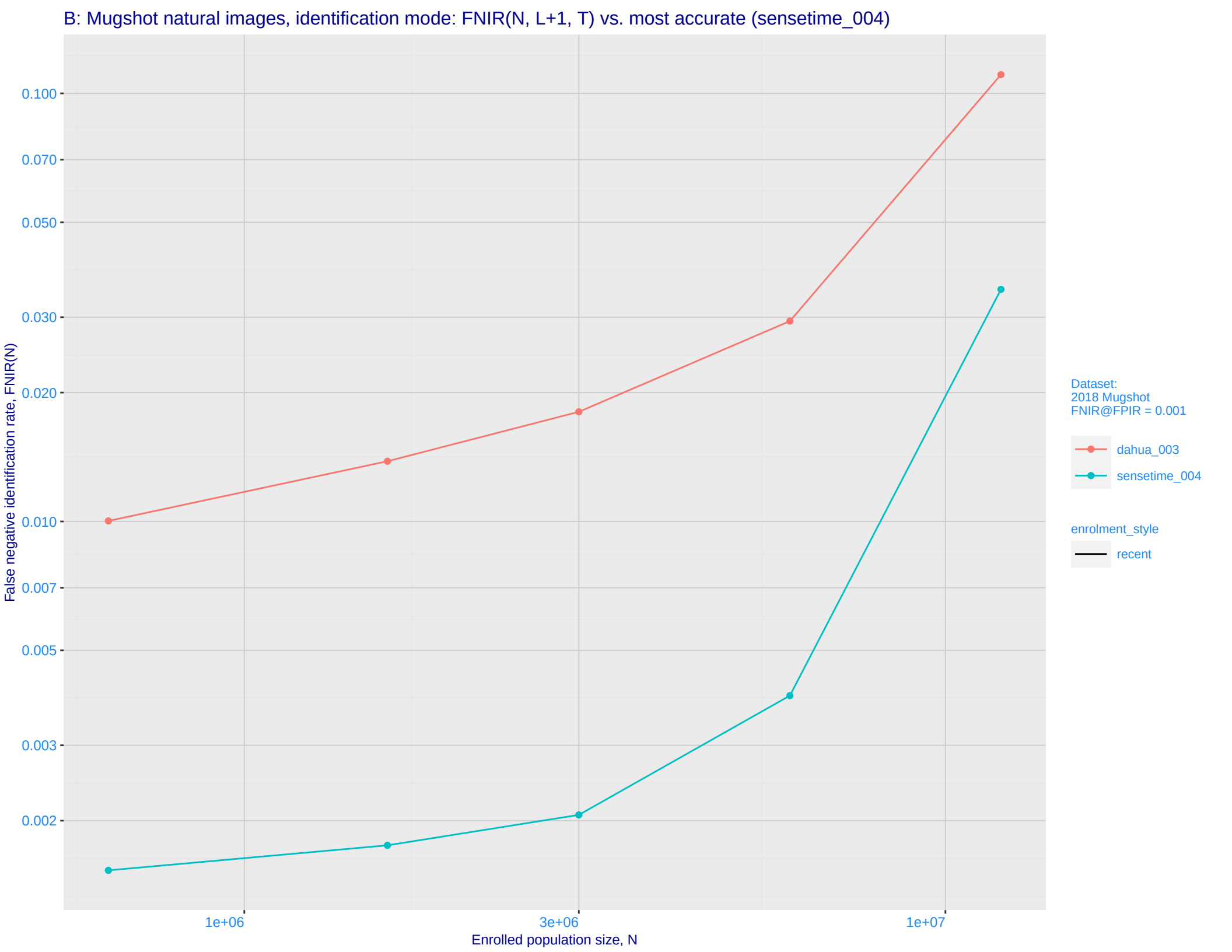
Frontal mugshot ranking 24 (out of 271) −− FNIR(1600000, T, L+1) = 0.0138, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 21 (out of 230) −− FNIR(1600000, T, L+1) = 0.0410, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

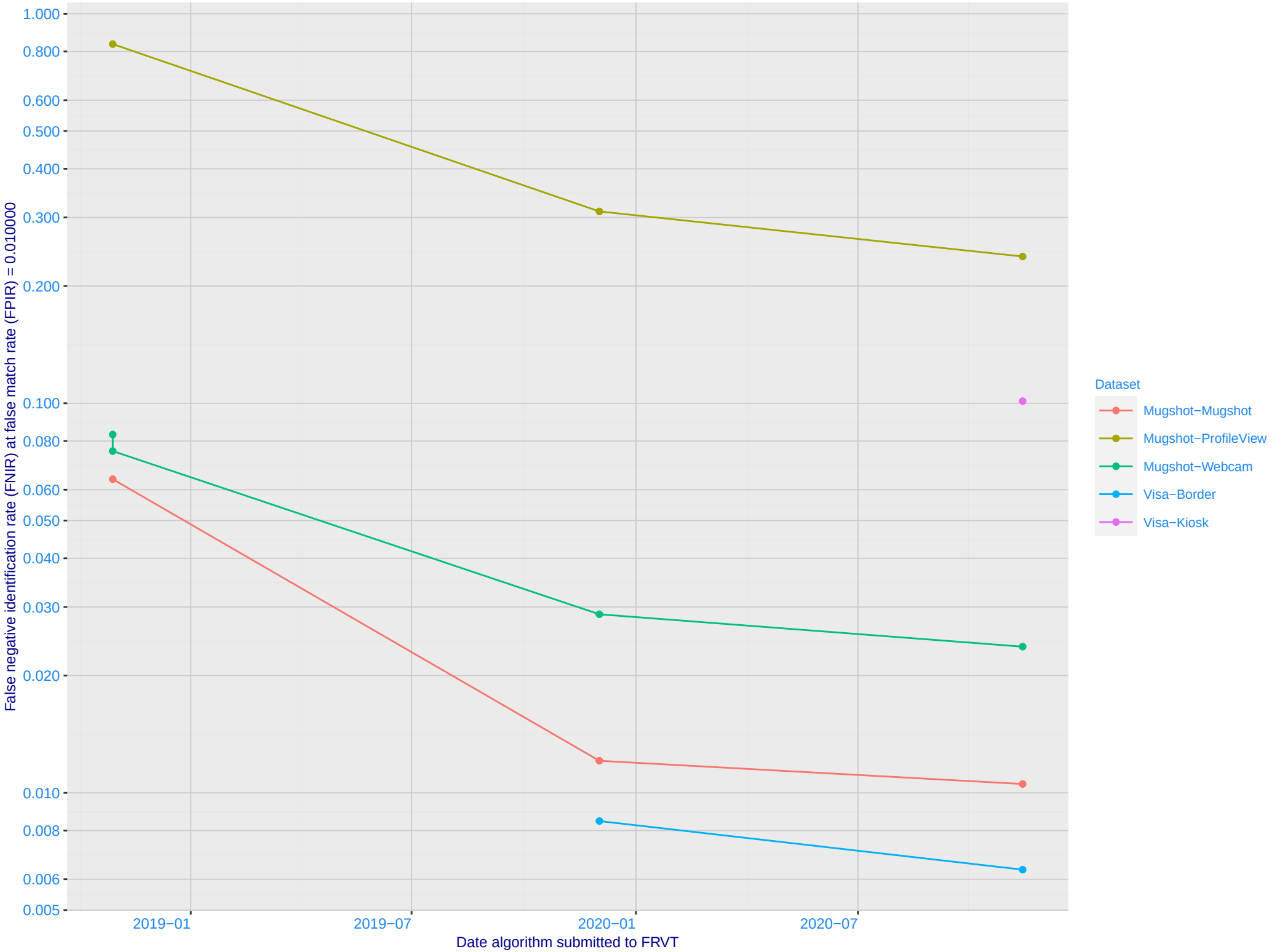
Mugshot profile ranking 12 (out of 200) −− FNIR(1600000, T, L+1) = 0.5790, FPIR=0.001000 vs. lowest 0.1331 from hr_000

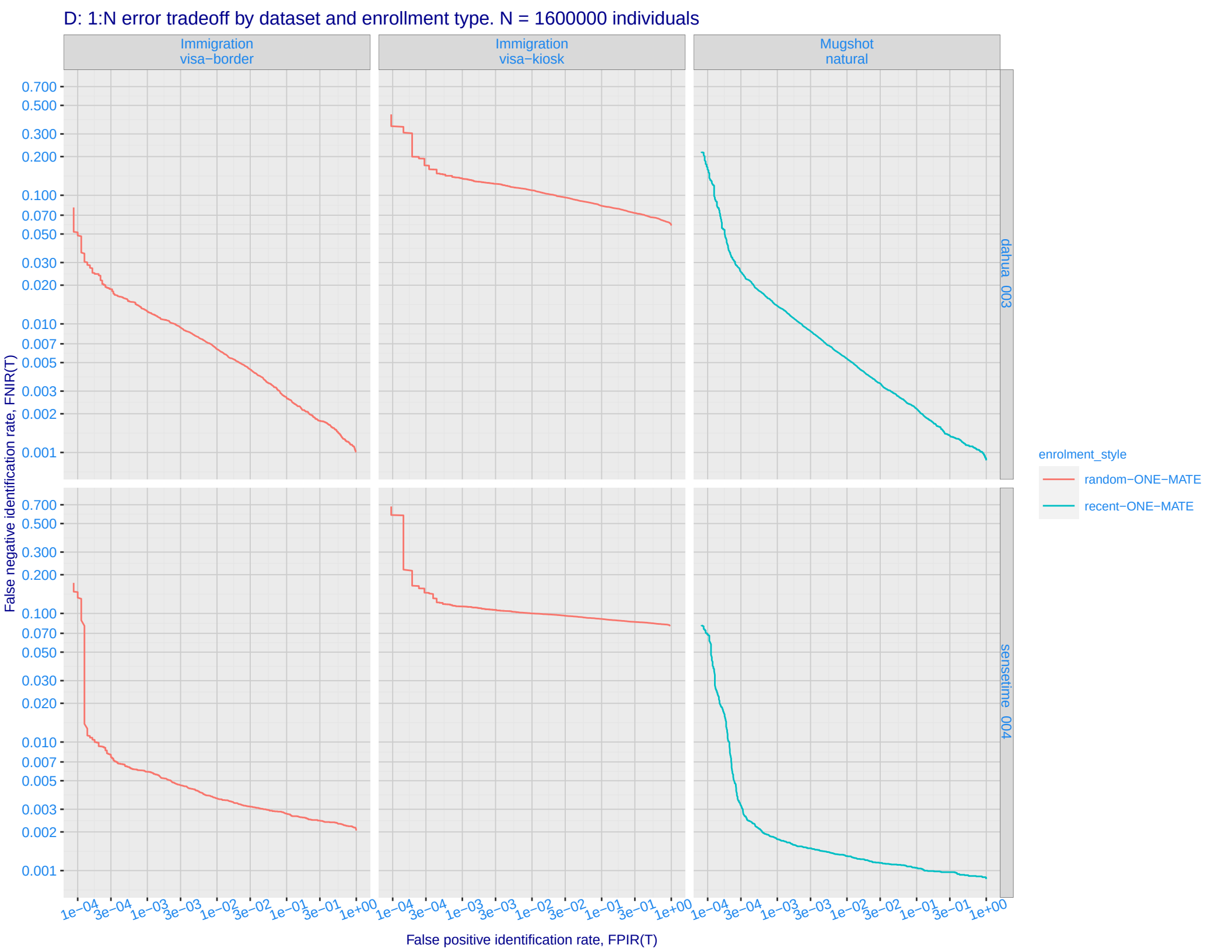
Immigration visa−border ranking 15 (out of 159) −− FNIR(1600000, T, L+1) = 0.0125, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

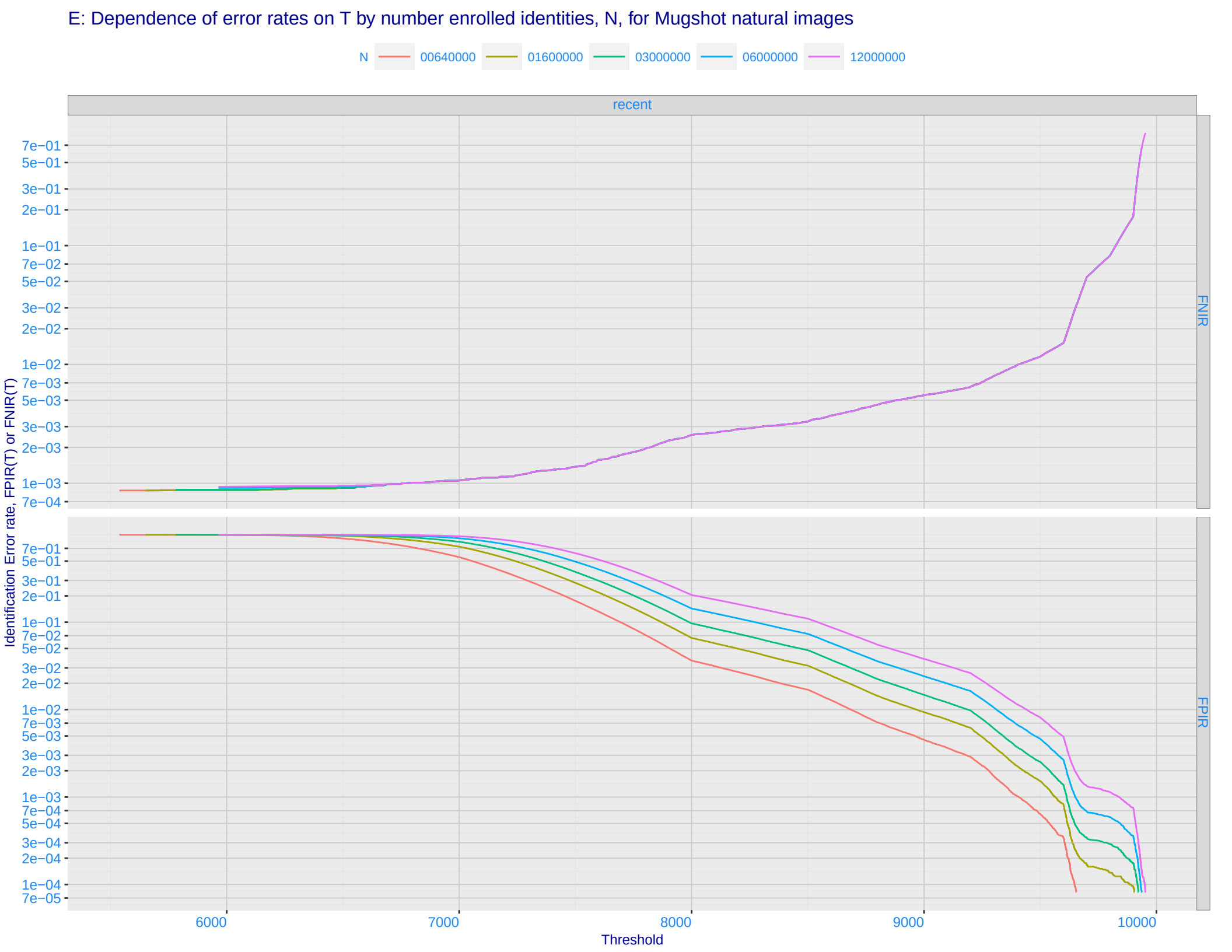
Immigration visa−kiosk ranking 10 (out of 154) −− FNIR(1600000, T, L+1) = 0.1356, FPIR=0.001000 vs. lowest 0.0996 from hr_000

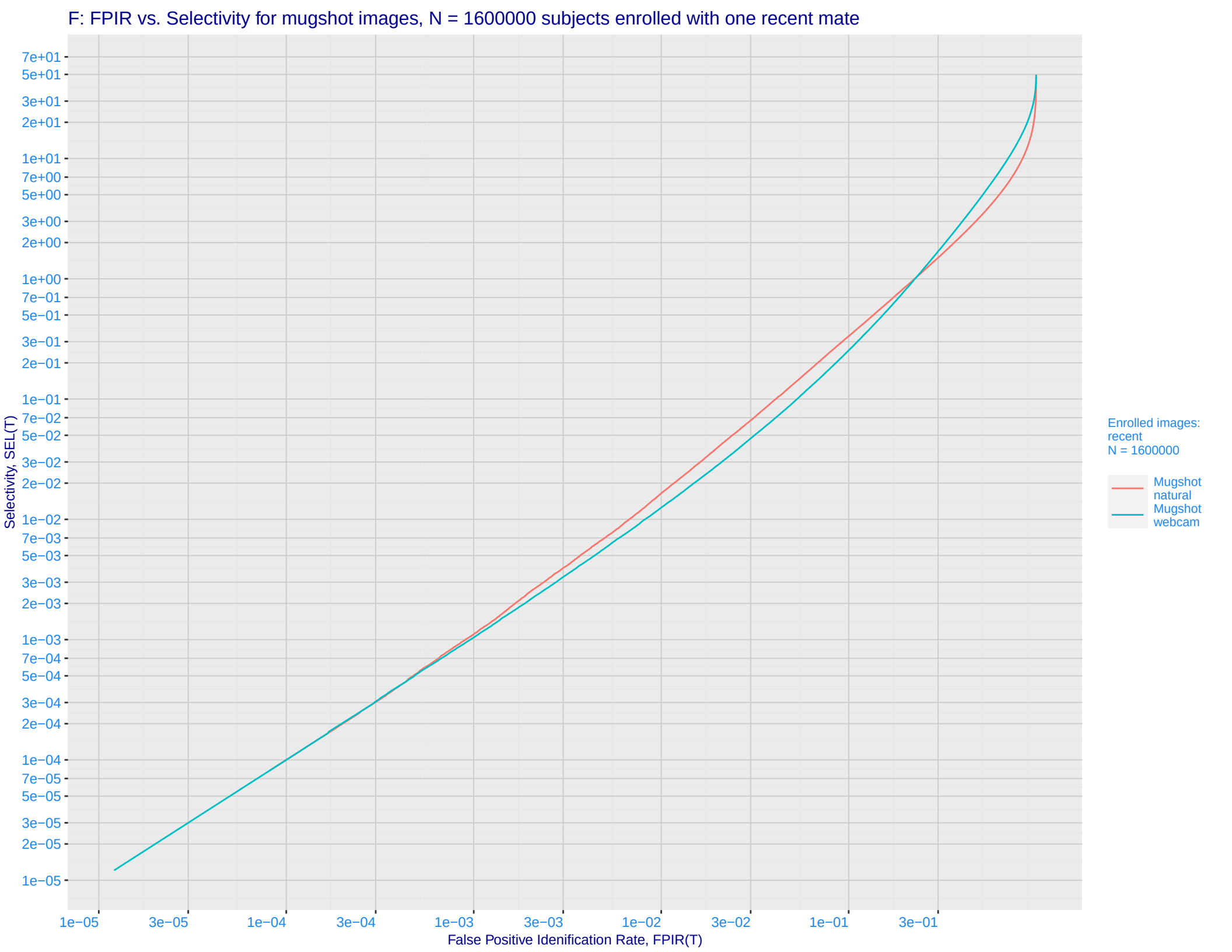

B: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
dahua_003
sensetime_004
enrolment_style
recent

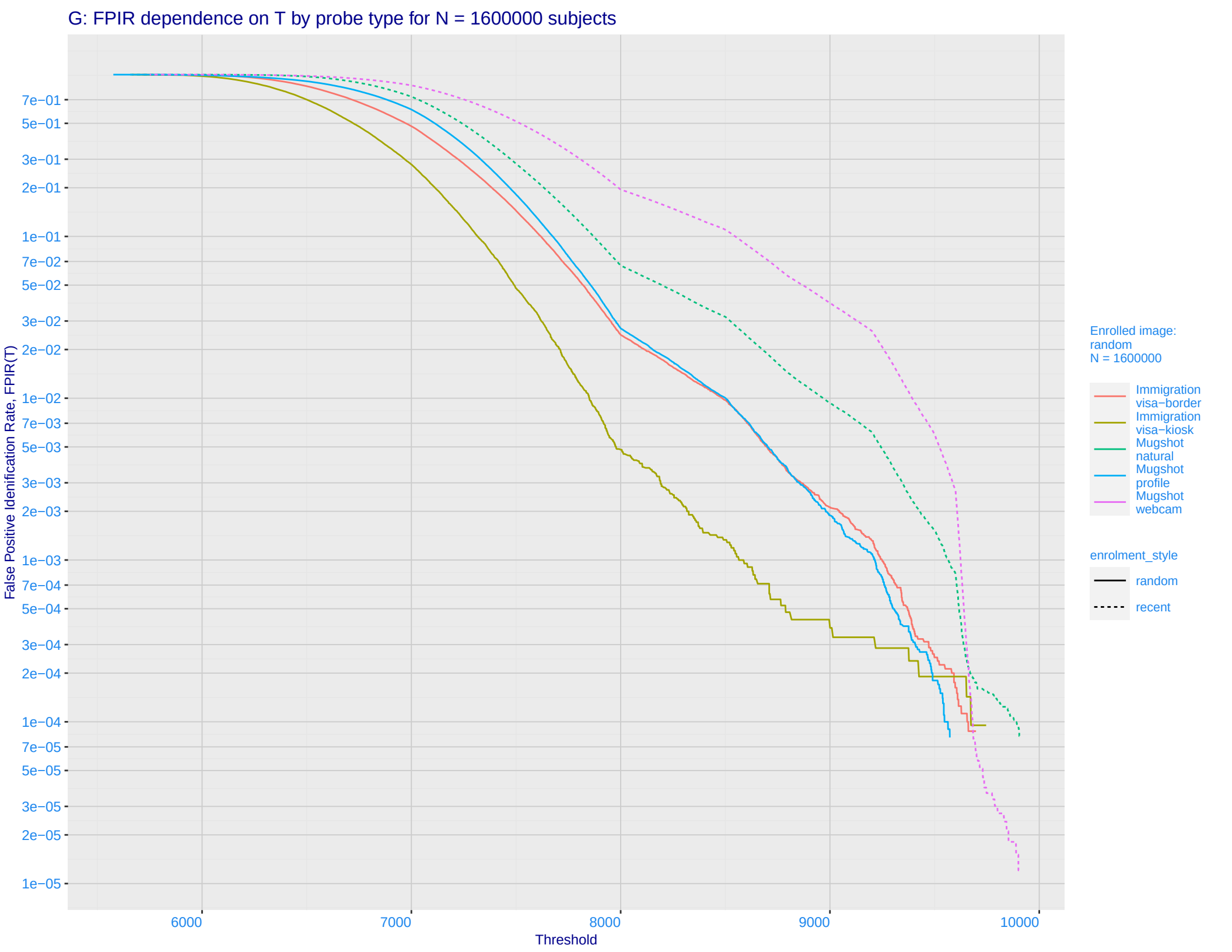
C: Evolution of accuracy for DAHUA algorithms on three datasets 2018 − present

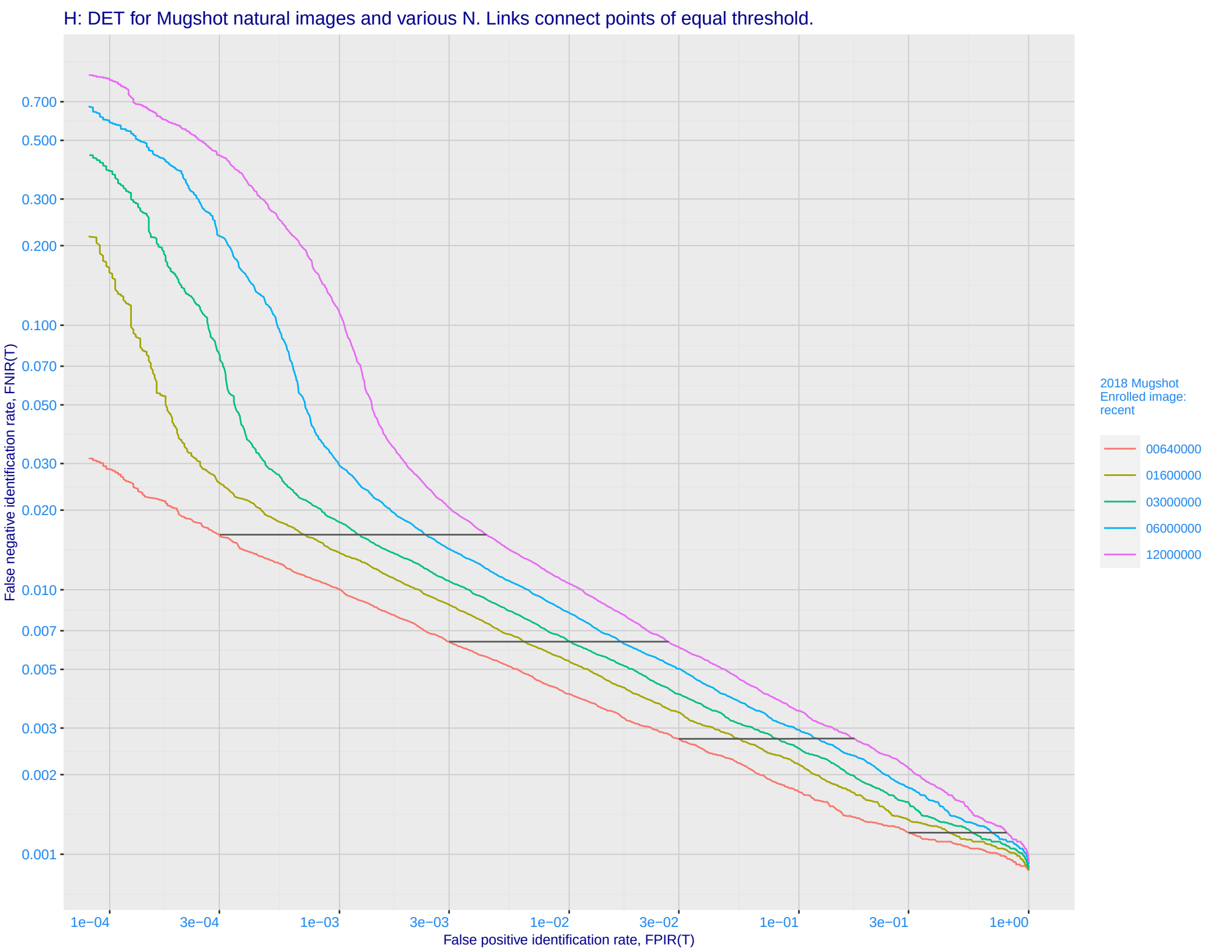


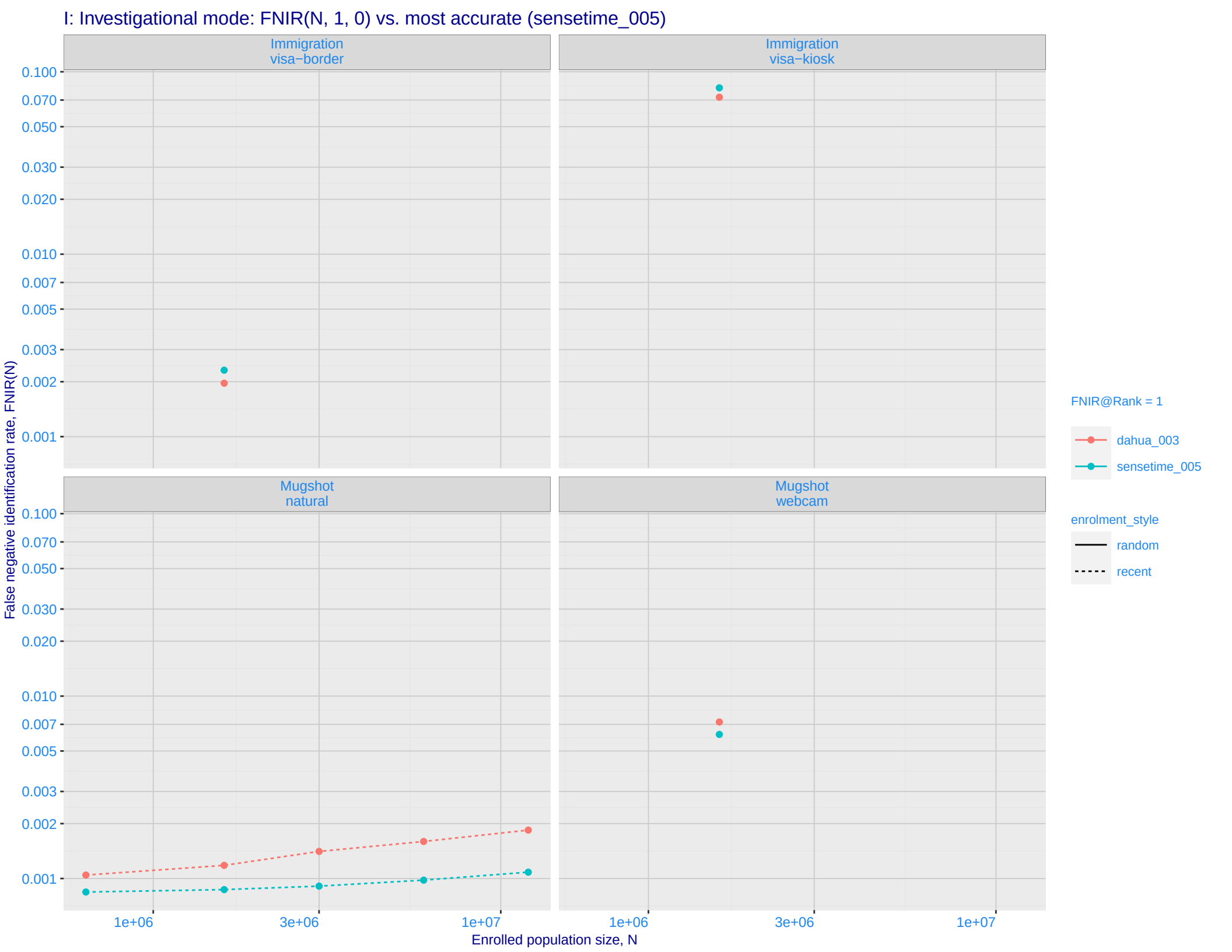

D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
dahua_003
sensetime_004
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
random−ONE−MATE
recent−ONE−MATE

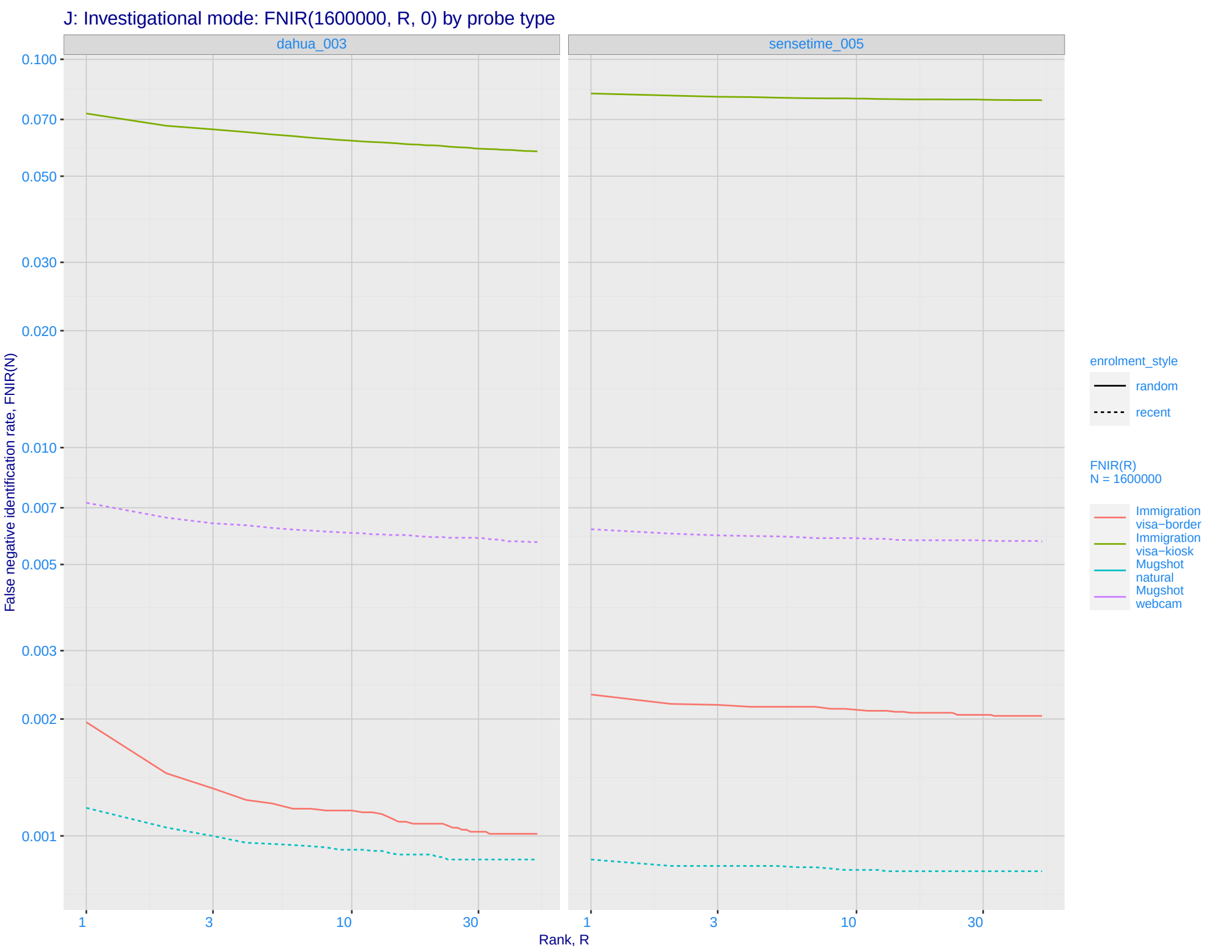

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
6000
7000
8000
9000
10000
Threshold


F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
webcam

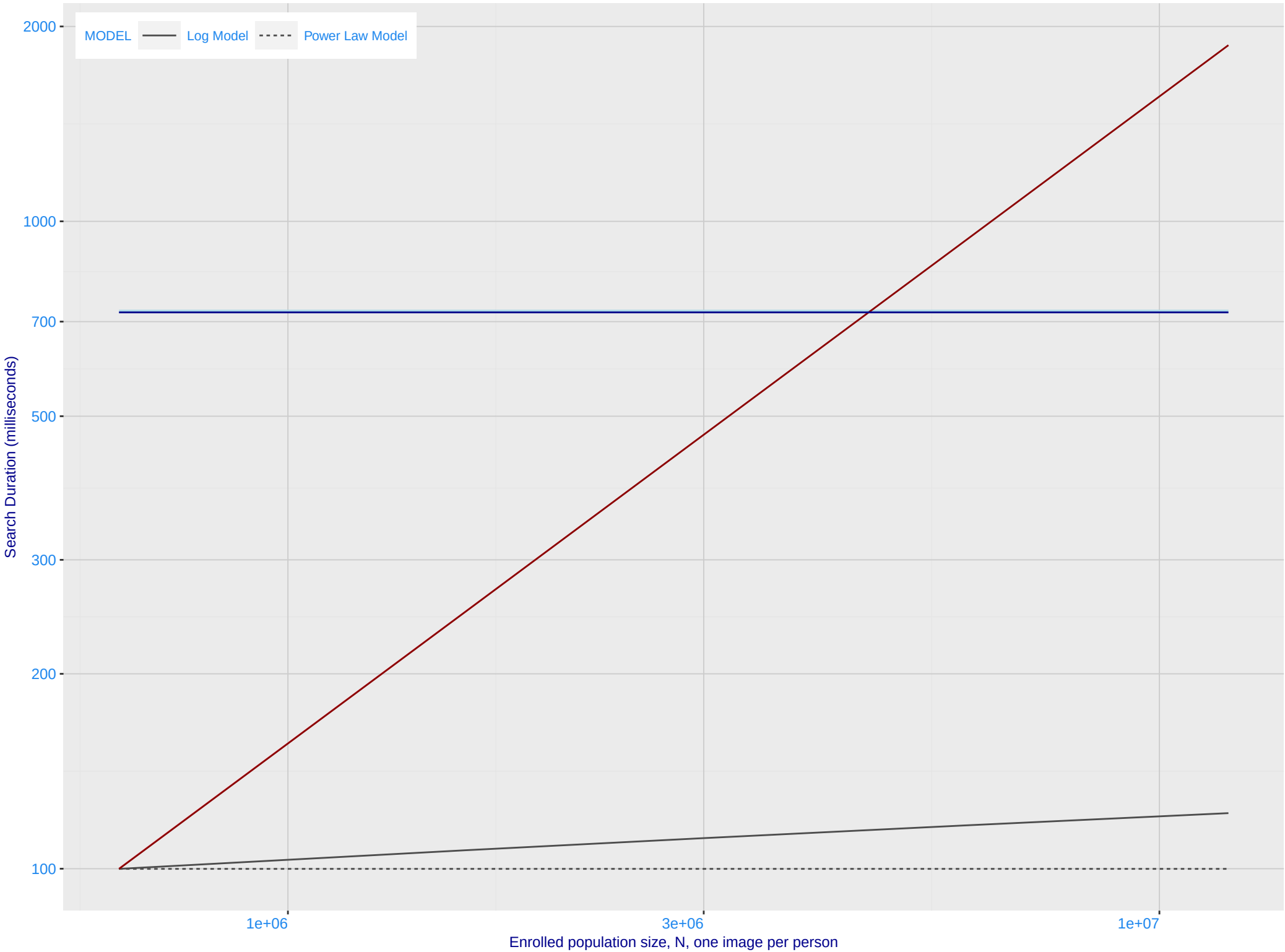

G: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
6000
7000
8000
9000
10000
Threshold
Enrolled image:
random
N = 1600000
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
profile
Mugshot
webcam
enrolment_style
random
recent


H: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000


I: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005)
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
webcam
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
False negative identification rate, FNIR(N)
1e+06
3e+06
1e+07
Enrolled population size, N
FNIR@Rank = 1
dahua_003
sensetime_005
enrolment_style
random
recent


J: Investigational mode: FNIR(1600000, R, 0) by probe type
dahua_003
sensetime_005
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam

K: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations

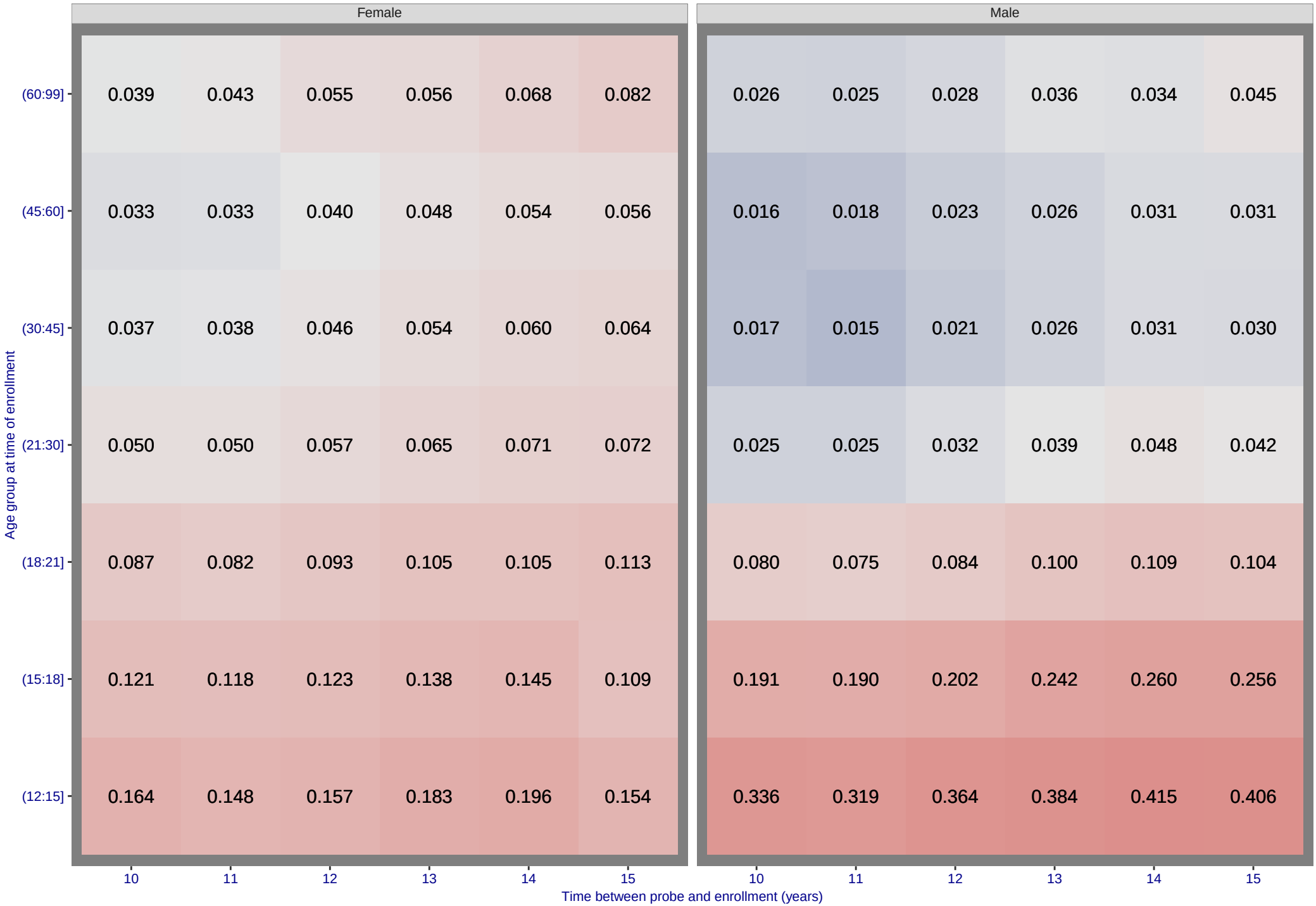


M−A: FNIR(T, N = 1.6 million) by sex, age and time−lapse

Algorithm: dahua_003, Dataset: Border−Crossing Ageing
Threshold: 8754.840000 set to achieve FPIR(30−45, Male) = 0.001

Color encodes log(FNIR)

−3 −2 −1

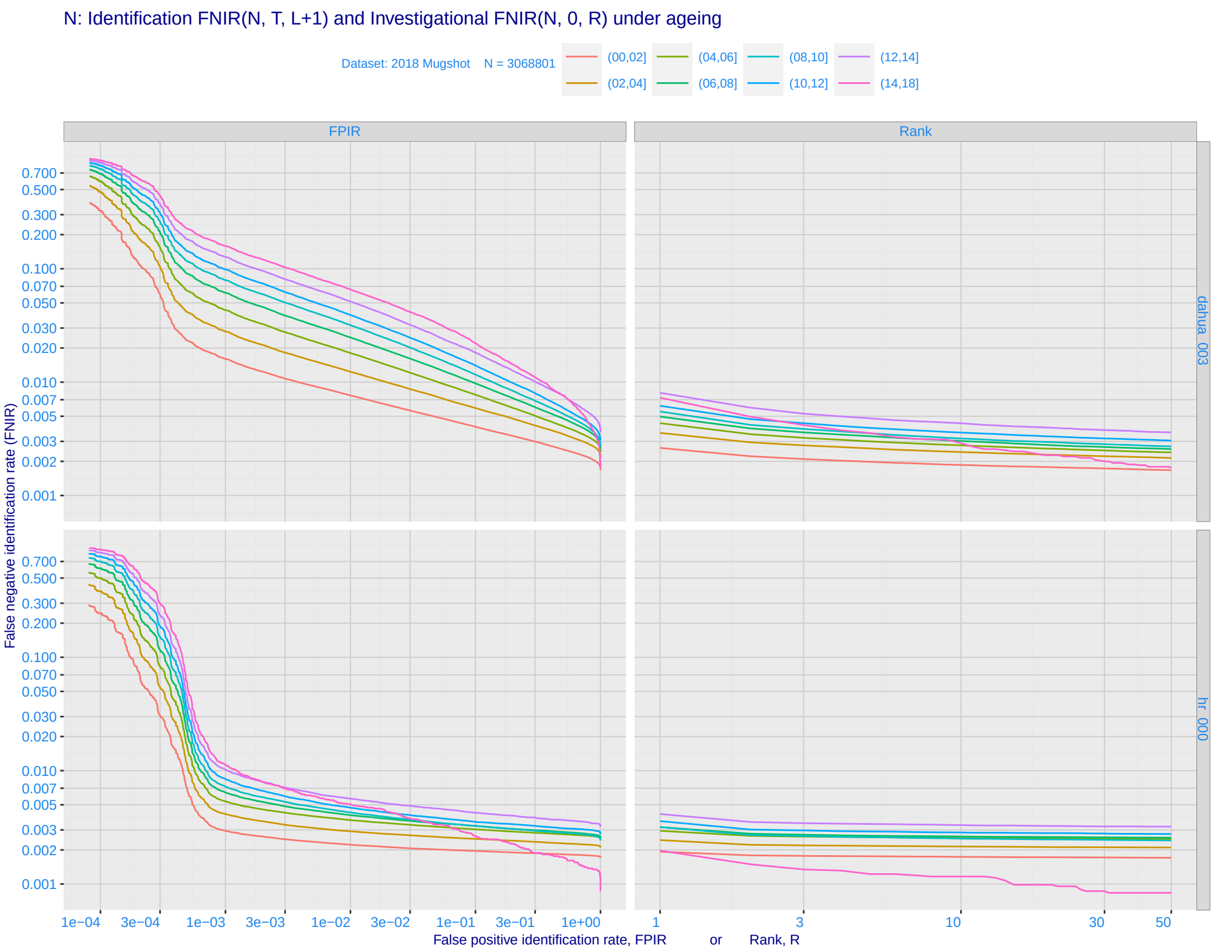


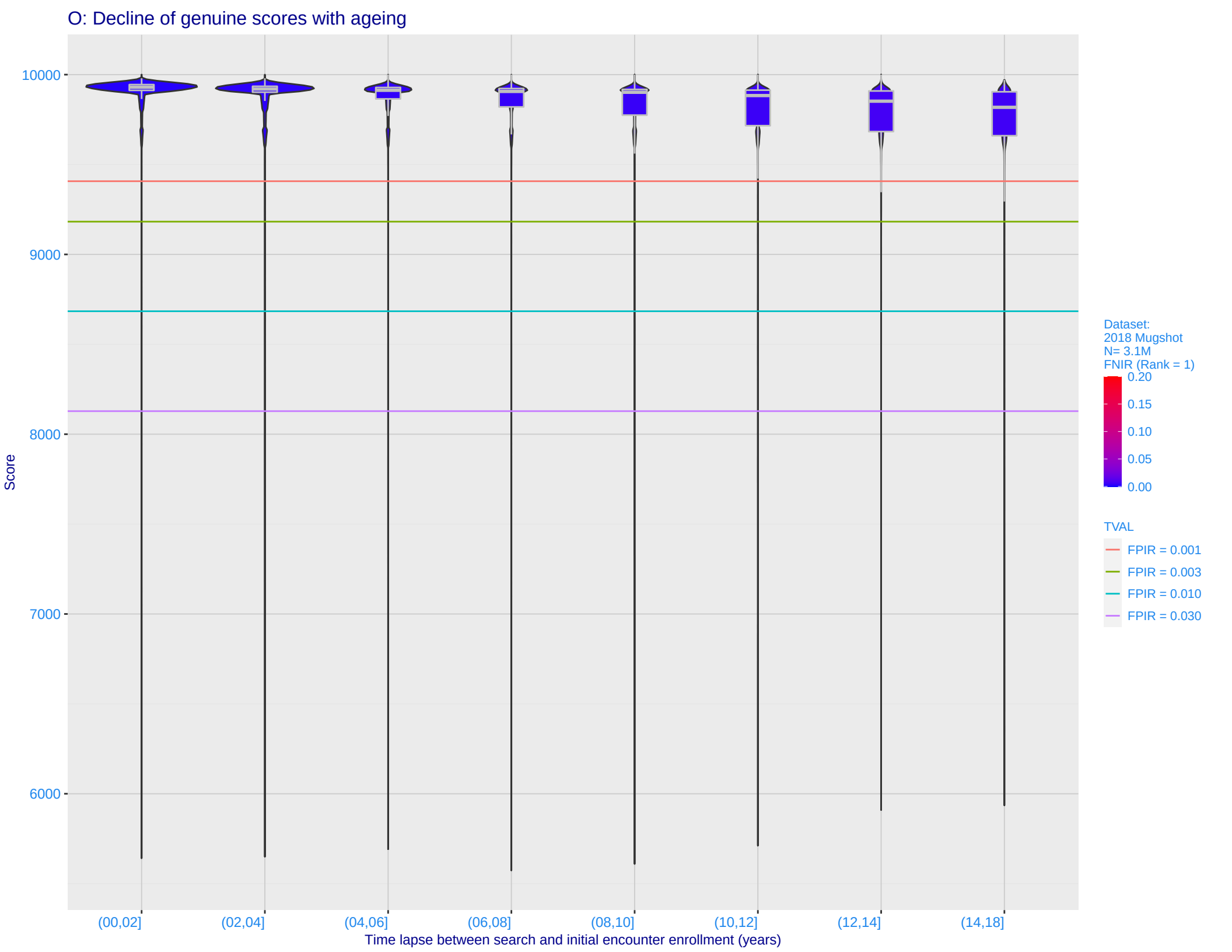
M−B: FPIR(T, N = 1.6 million) by sex and age

Algorithm: dahua_003, Dataset: Border−Crossing Ageing
Threshold: 8754.840000 set to achive FPIR(30−45, Male) = 0.001

Age group of person in non−mate probe	Female	Male
(60:99]	0.0066	0.0006
(45:60]	0.0060	0.0005
(30:45]	0.0069	0.0010
(21:30]	0.0098	0.0026
(18:21]	0.0107	0.0030
(15:18]	0.0127	0.0043
(12:15]	0.0142	0.0051

Sex of person in non−mate probe


N: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing
Dataset: 2018 Mugshot N = 3068801
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
FPIR
Rank
dahua_003
hr_000
False negative identification rate (FNIR)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
1
3
10
30
50
False positive identification rate, FPIR or Rank, R


O: Decline of genuine scores with ageing
10000
9000
8000
7000
6000
Score
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
Time lapse between search and initial encounter enrollment (years)
Dataset:
2018 Mugshot
N= 3.1M
FNIR (Rank = 1)
0.20
0.15
0.10
0.05
0.00
TVAL
FPIR = 0.001
FPIR = 0.003
FPIR = 0.010
FPIR = 0.030