A: Datasheet

Algorithm: nec_3

Developer: NEC

Submission Date: 2018_10_30

Template size: 1712 bytes

Template time (2.5 percentile): 660 msec

Template time (median): 665 msec

Template time (97.5 percentile): 1015 msec

Investigation:

Frontal mugshot ranking 11 (out of 279) -- FNIR(1600000, 0, 1) = 0.0014 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 19 (out of 241) -- FNIR(1600000, 0, 1) = 0.0099 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 43 (out of 210) -- FNIR(1600000, 0, 1) = 0.3523 vs. lowest 0.0587 from xforwardai_002

Immigration visa-border ranking 26 (out of 168) -- FNIR(1600000, 0, 1) = 0.0037 vs. lowest 0.0013 from visionlabs_010

Immigration visa-kiosk ranking 53 (out of 165) -- FNIR(1600000, 0, 1) = 0.1204 vs. lowest 0.0568 from cloudwalk_hr_000

Identification:

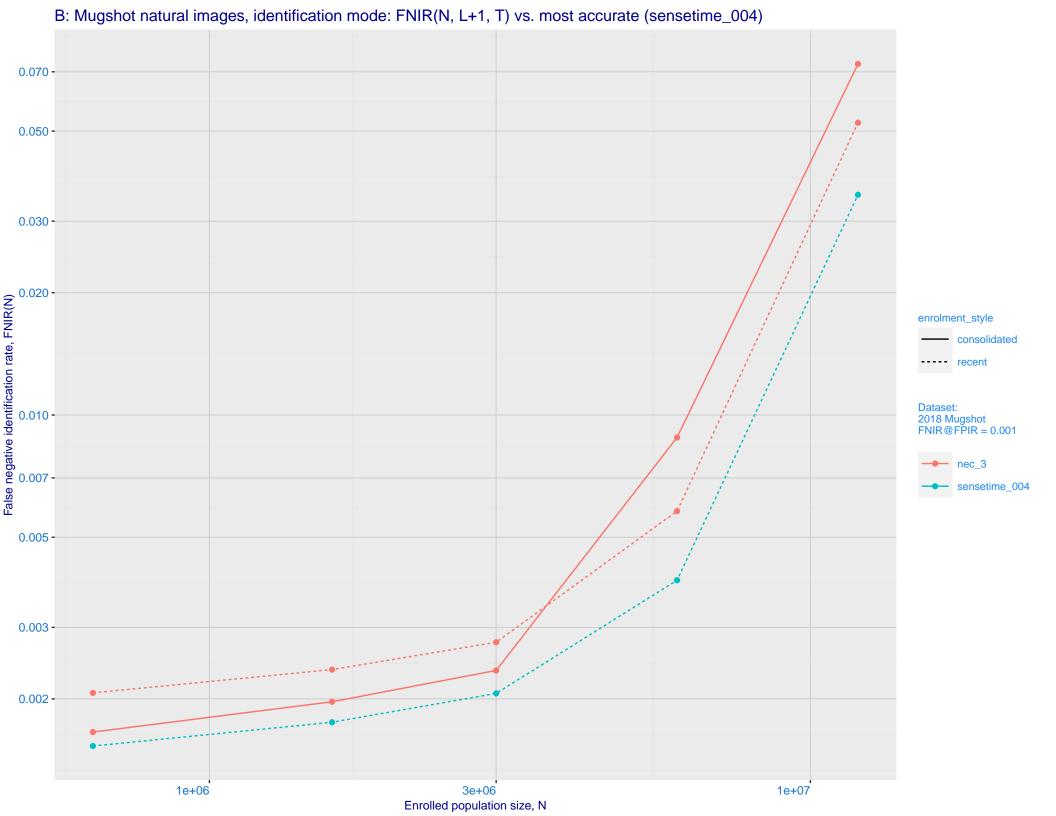
Frontal mugshot ranking 6 (out of 279) -- FNIR(1600000, T, L+1) = 0.0024, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

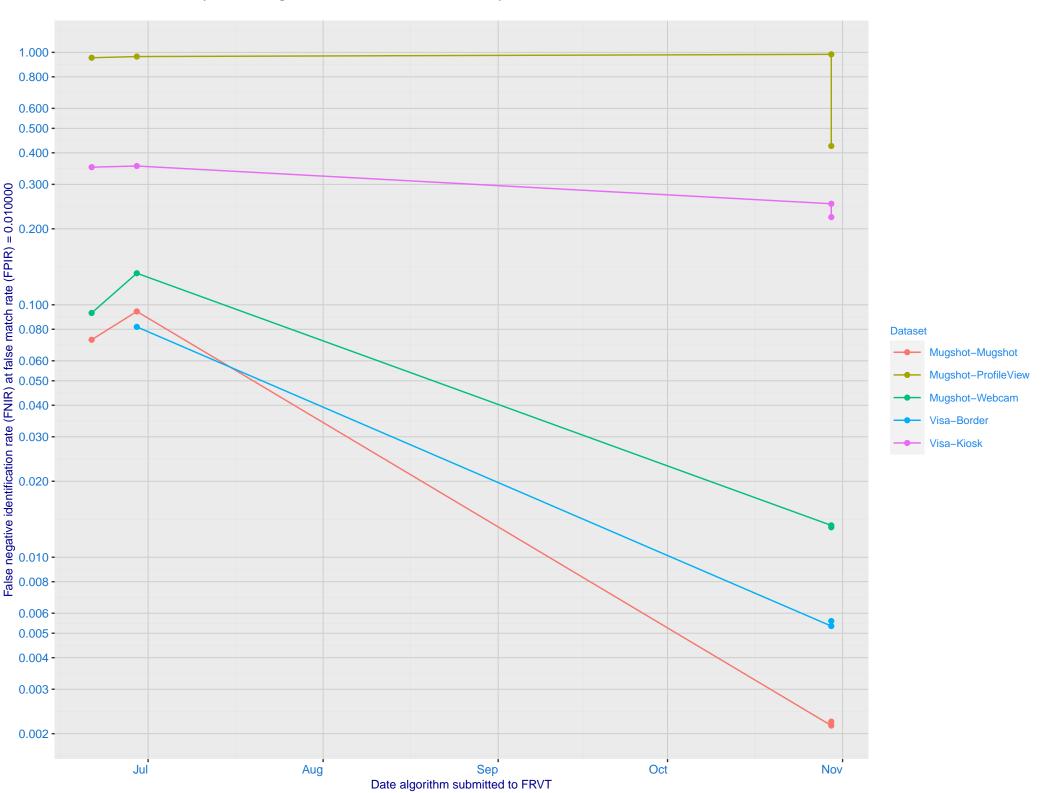
Mugshot webcam ranking 8 (out of 236) -- FNIR(1600000, T, L+1) = 0.0171, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

Mugshot profile ranking 26 (out of 209) — FNIR(1600000, T, L+1) = 0.8242, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk_hr_000

Immigration visa-border ranking 11 (out of 167) -- FNIR(1600000, T, L+1) = 0.0081, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

Immigration visa-kiosk ranking 87 (out of 162) — FNIR(1600000, T, L+1) = 0.6749, FPIR=0.001000 vs. lowest 0.0996 from cloudwalk_hr_000

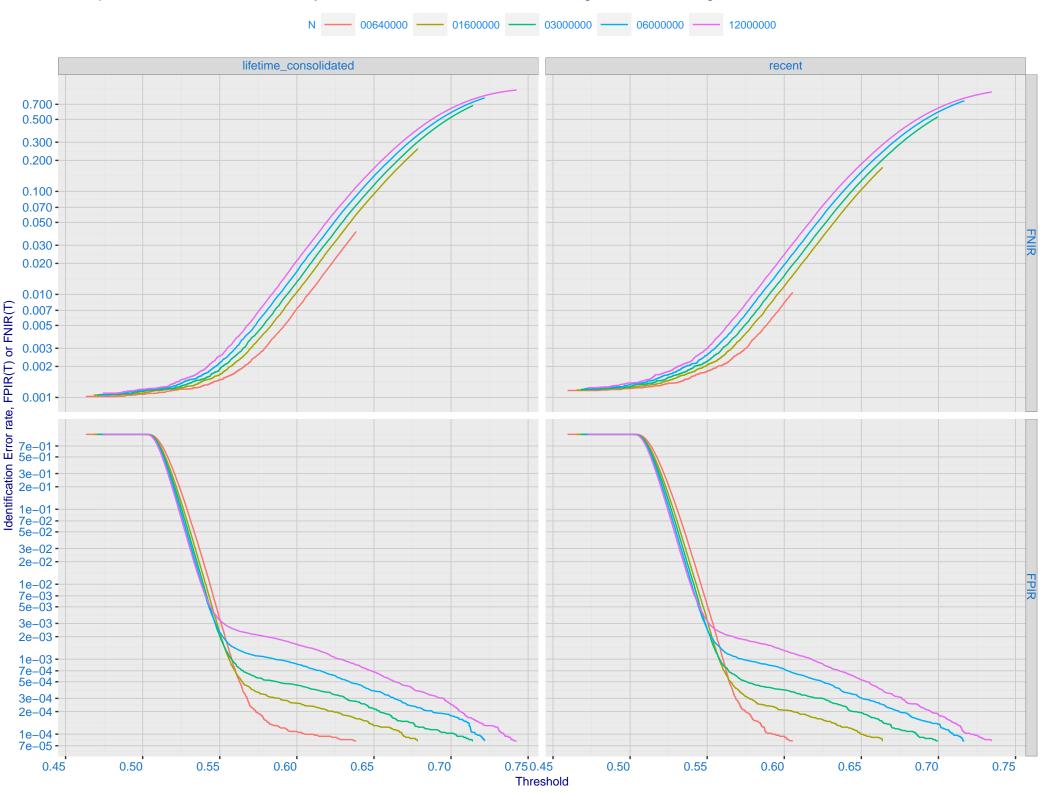




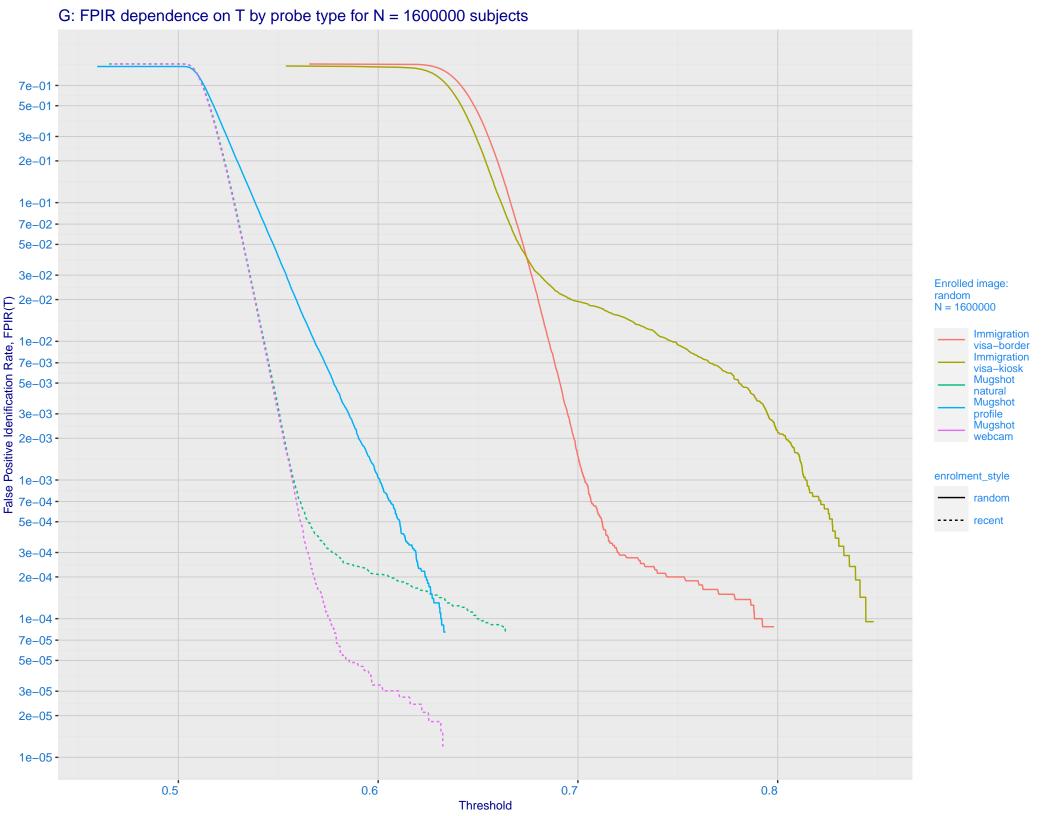
D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration **Immigration** Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -Ealse negative identification rate, FNIR(T) 0.003 - 0.002 - 0.001 - 0.500 - 0.500 - 0.200 - 0. enrolment_style consolidated-ONE-MATE random-ONE-MATE recent-ONE-MATE unconsolidated-ALL-MATES unconsolidated-ANY-MATE 0.100 -0.070 sensetime 004 0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -

False positive identification rate, FPIR(T)

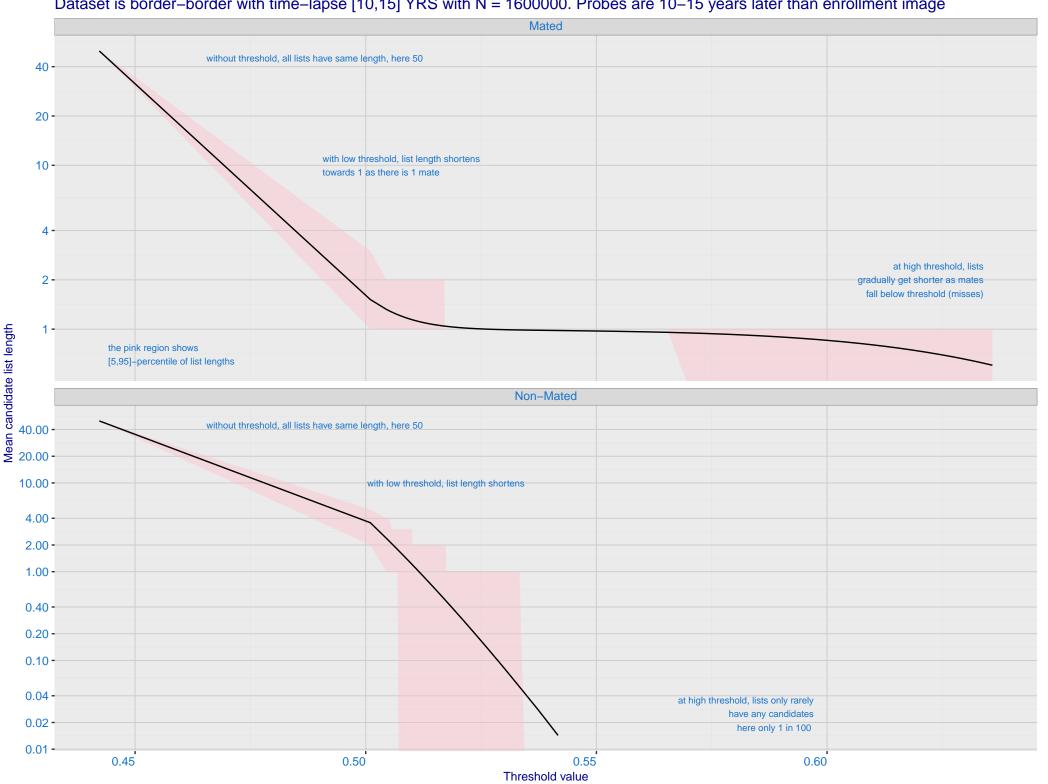
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



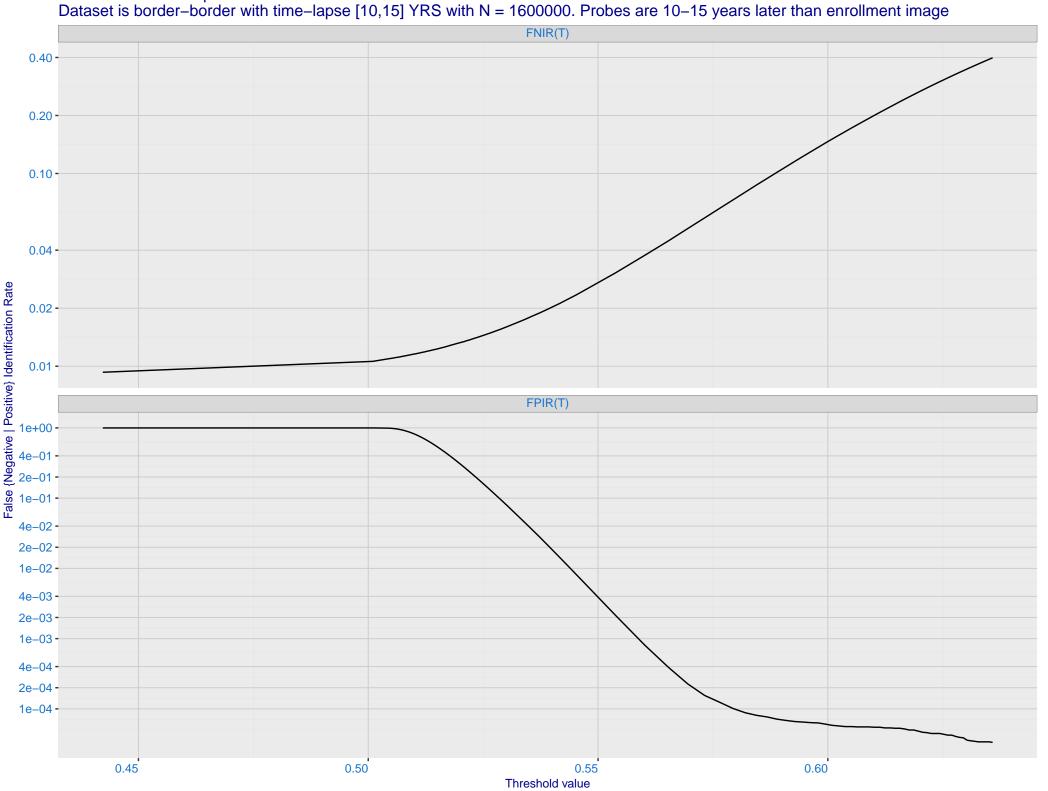
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -Enrolled images: recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

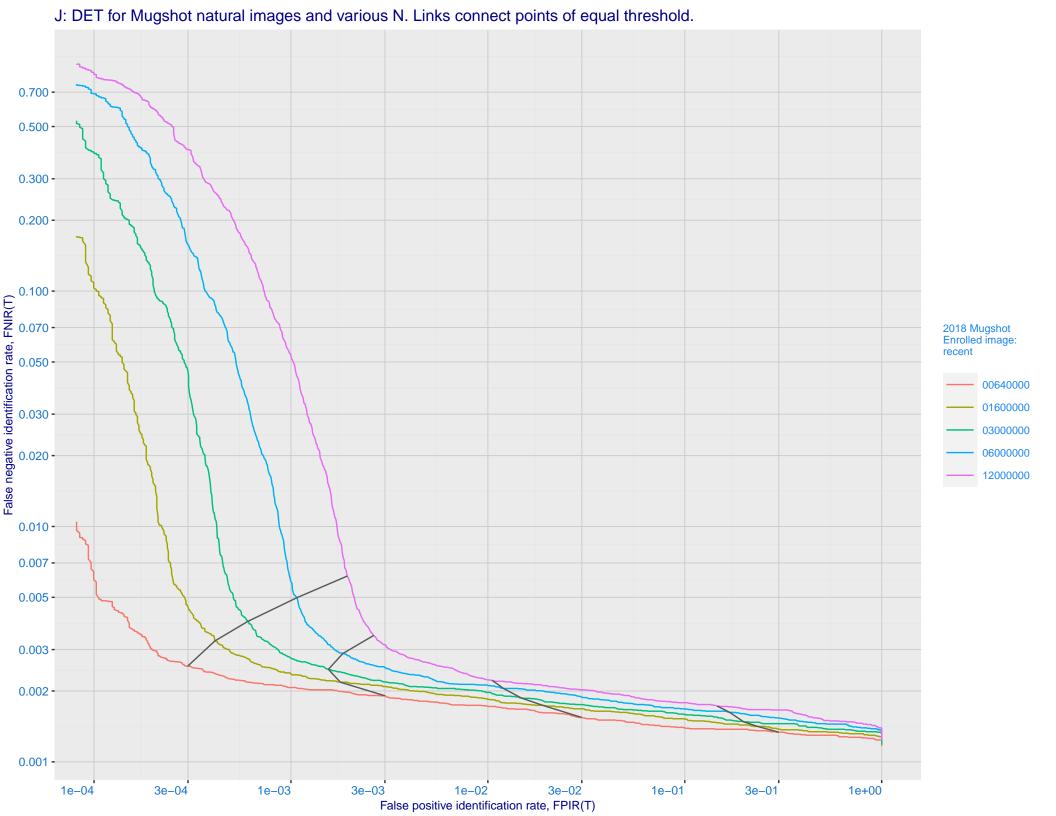


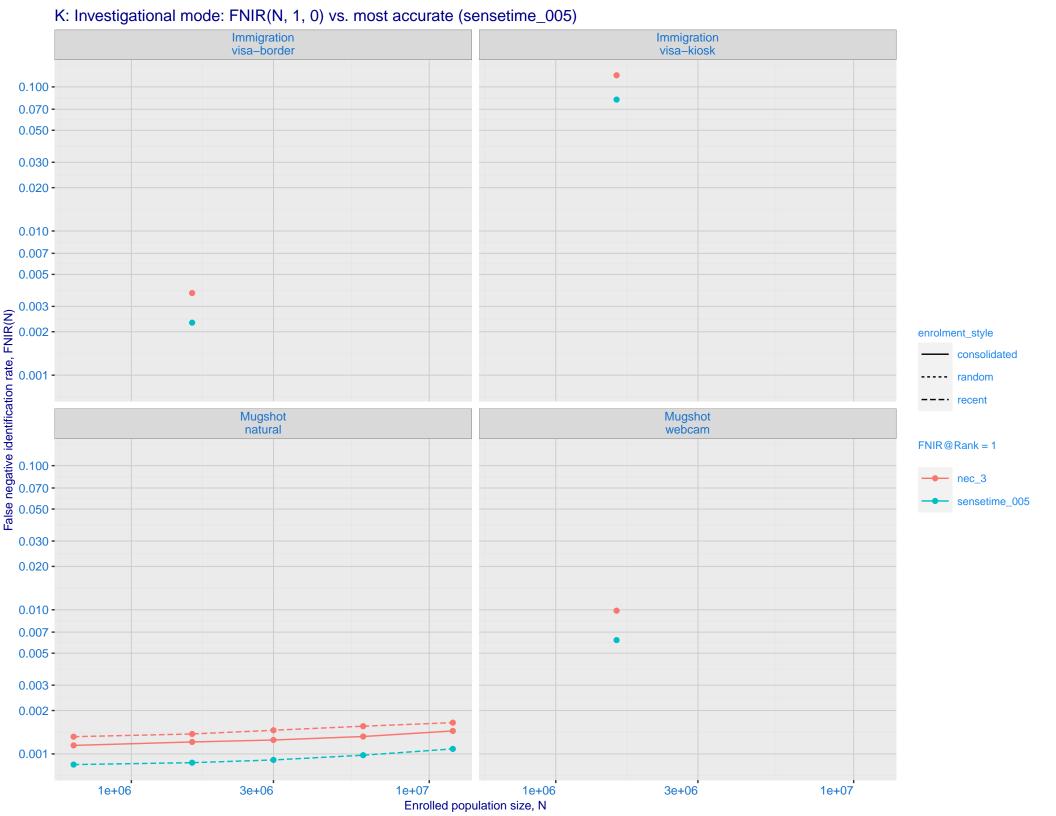
H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image



I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

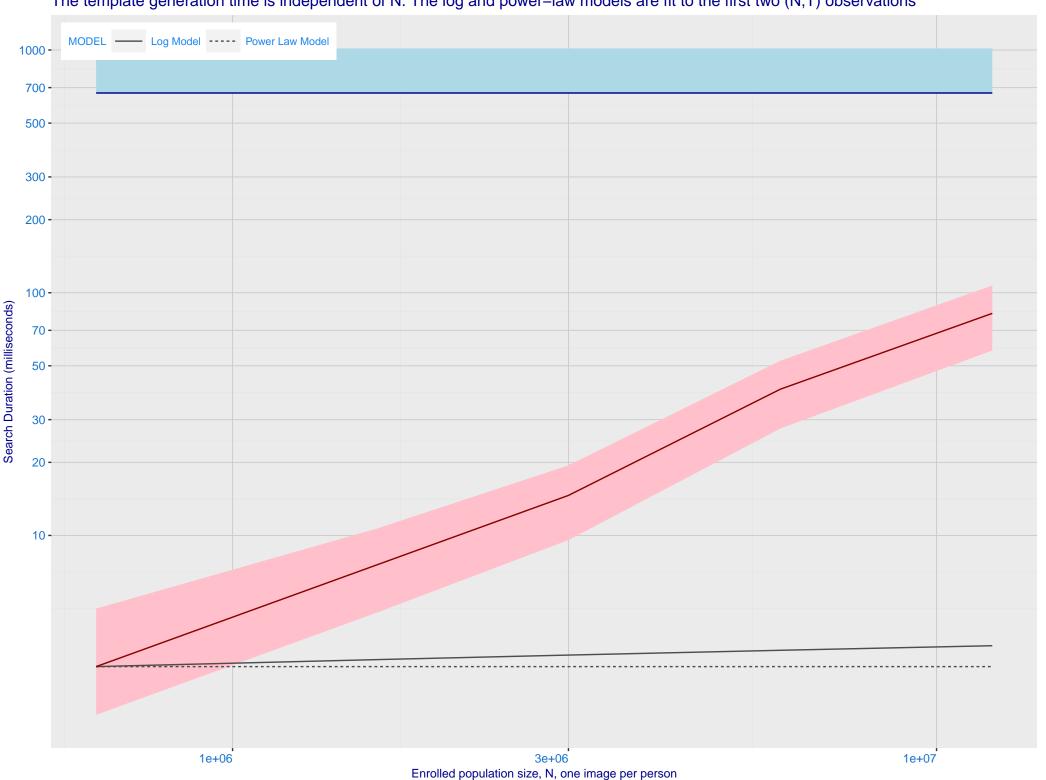


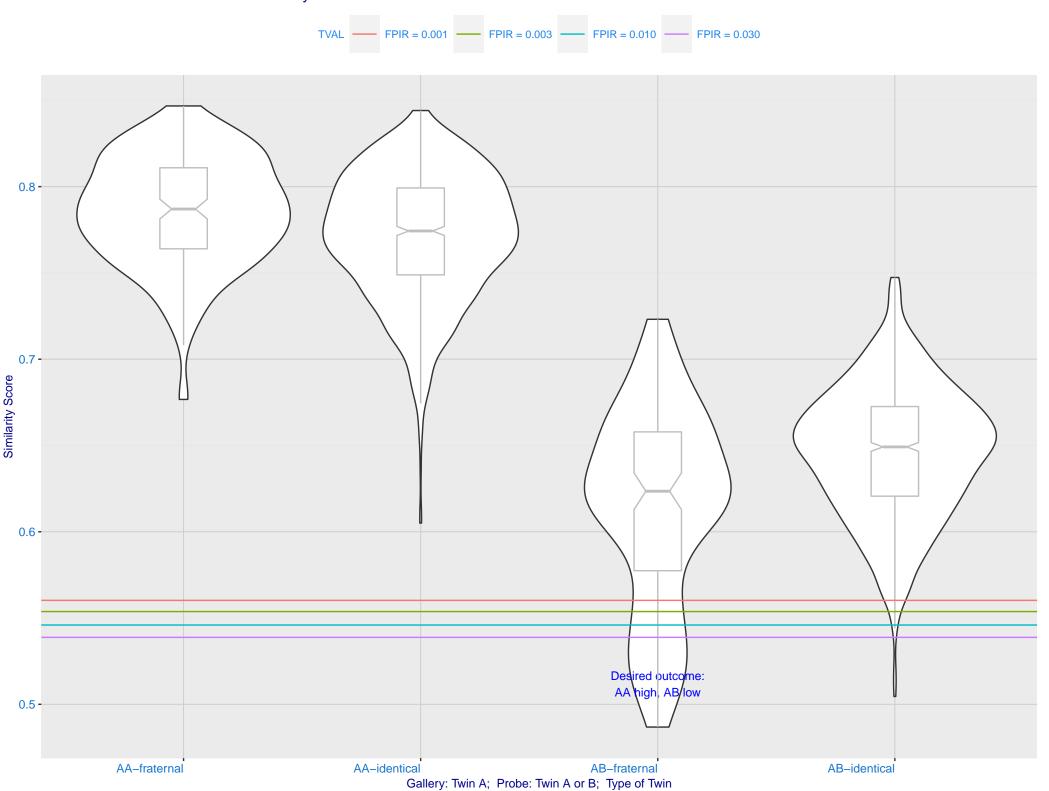




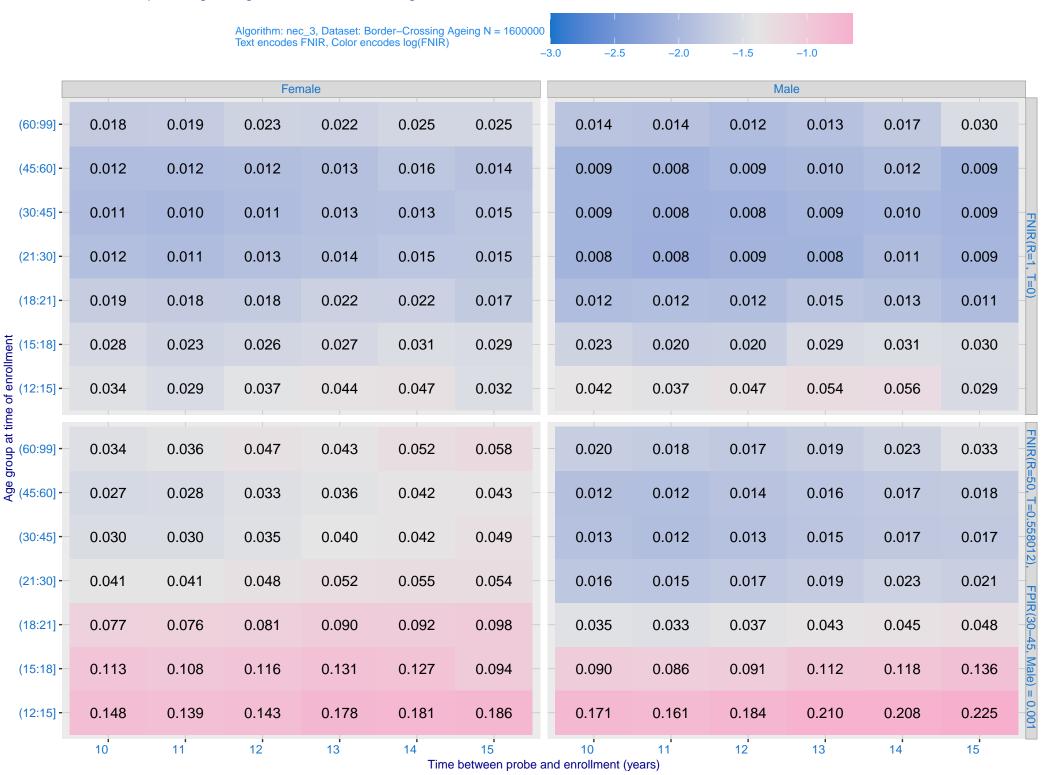
L: Investigational mode: FNIR(1600000, R, 0) by probe type nec_3 sensetime_005 0.100 -0.070 -0.050 -0.030 enrolment_style False negative identification rate, FNIR(N) 0.000 - 0. lifetime_consolidated ---- random --- recent FNIR(R) N = 1600000 Immigration visa-border Immigration visa-kiosk Mugshot natural Mugshot webcam 0.003 -0.002 -0.001 -10 30 3 10 30 Rank, R

M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations

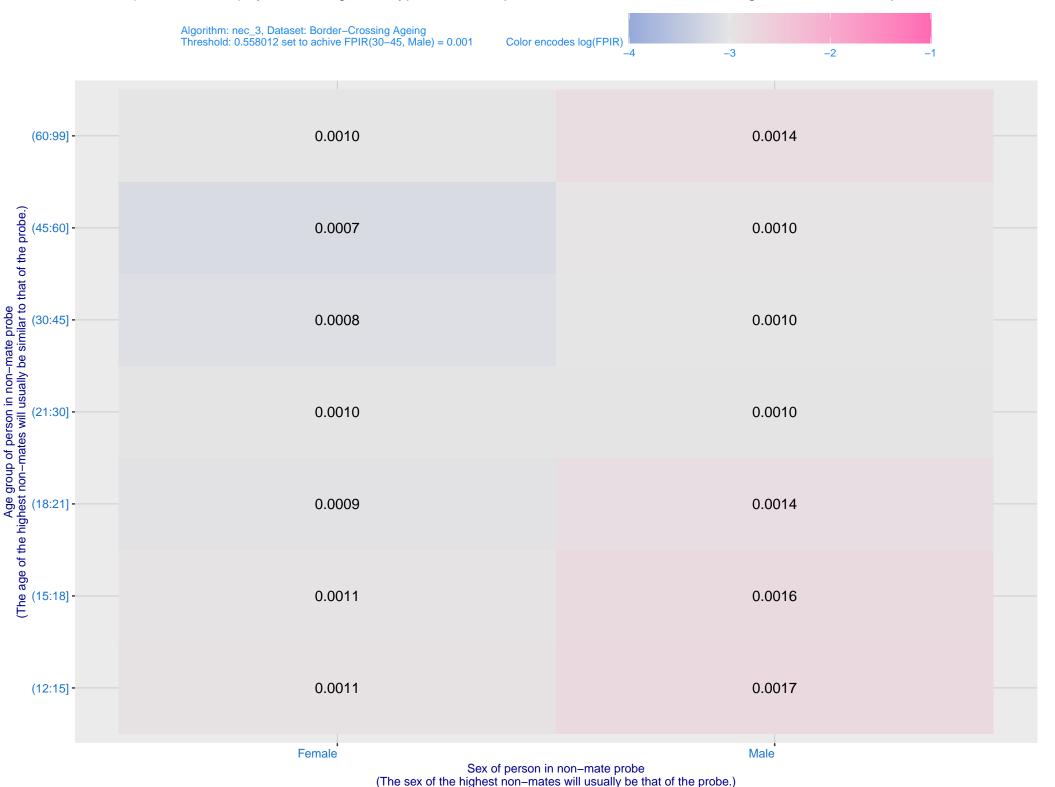




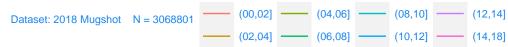
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

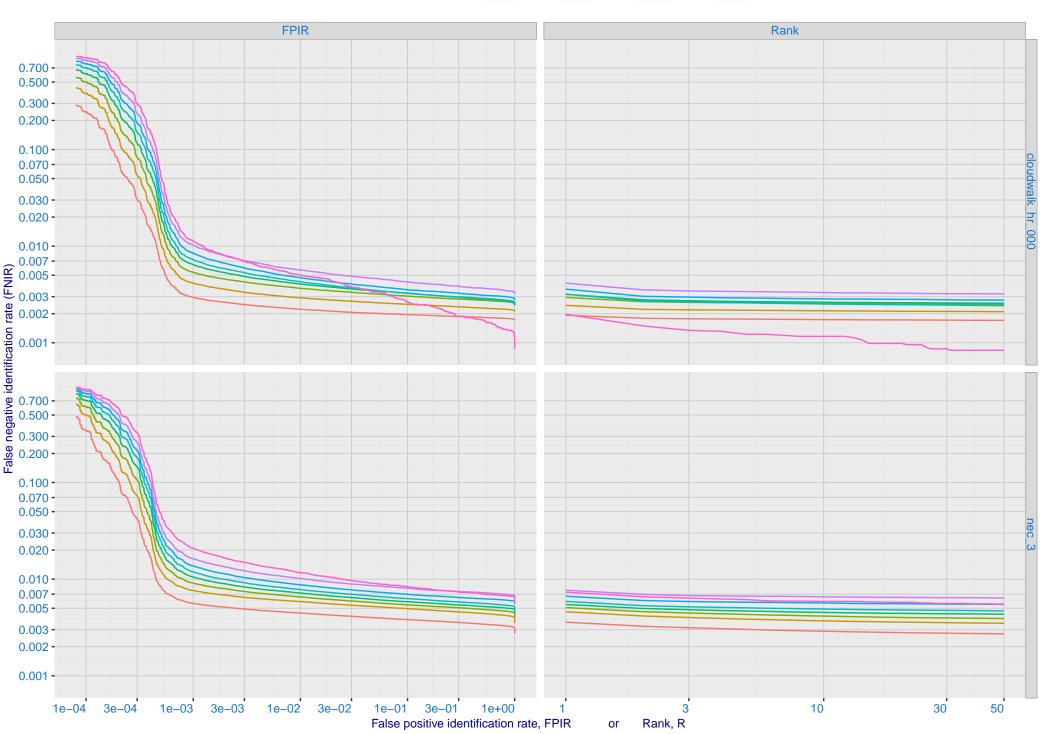


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines 0.8 -Dataset: 2018 Mugshot N= 3.1M Color encodes FNIR (Rank = 1) 0.15 0.7 -0.10 0.05 0.00 TVAL 0.6 -- FPIR = 0.001 FPIR = 0.003 FPIR = 0.010FPIR = 0.030 0.5 -(00,02](02,04](04,06](06,08](08,10](10,12](12,14](14,18]Time lapse between search and initial encounter enrollment (years)