A: Datasheet

Algorithm: cib_000

Developer: Canon Inc

Submission Date: 2020_10_19

Template size: 8196 bytes

Template time (2.5 percentile): 669 msec

Template time (median): 674 msec

Template time (97.5 percentile): 681 msec

Investigation:

Frontal mugshot ranking 16 (out of 279) -- FNIR(1600000, 0, 1) = 0.0015 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 9 (out of 241) -- FNIR(1600000, 0, 1) = 0.0081 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 12 (out of 210) -- FNIR(1600000, 0, 1) = 0.1000 vs. lowest 0.0587 from xforwardai_002

Immigration visa-border ranking 6 (out of 168) — FNIR(1600000, 0, 1) = 0.0021 vs. lowest 0.0013 from visionlabs_010

Immigration visa-kiosk ranking 2 (out of 165) -- FNIR(1600000, 0, 1) = 0.0694 vs. lowest 0.0568 from cloudwalk_hr_000

Identification:

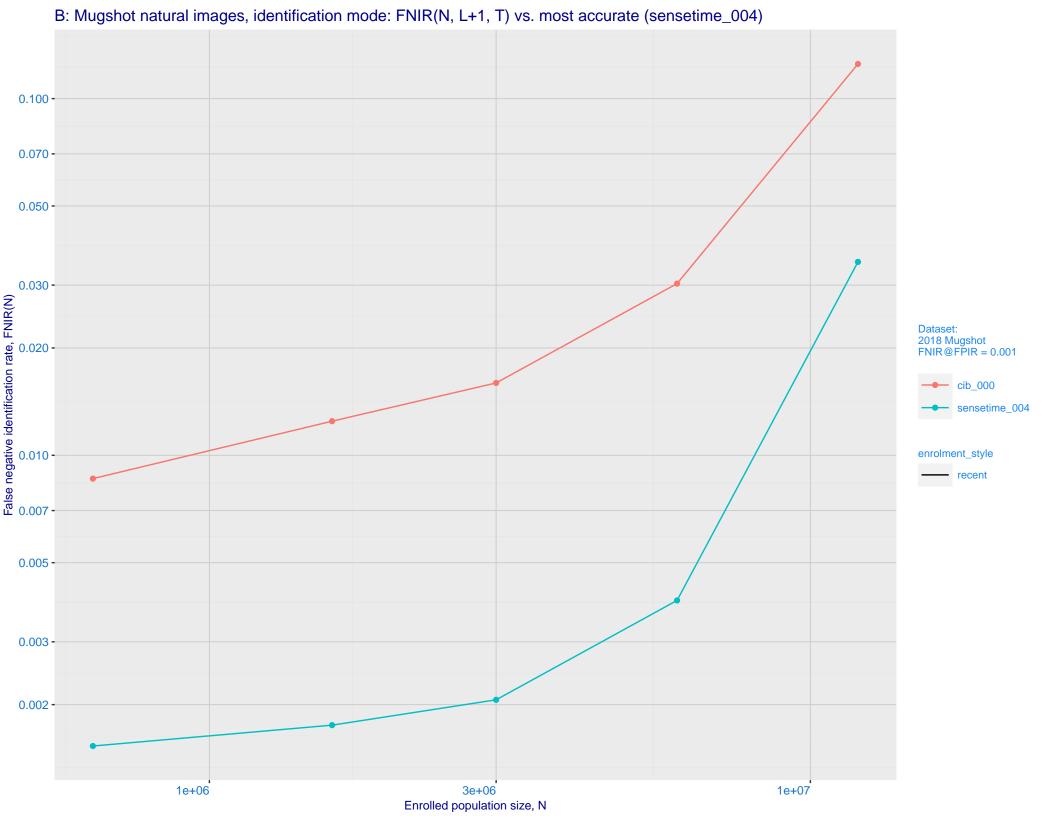
Frontal mugshot ranking 24 (out of 279) -- FNIR(1600000, T, L+1) = 0.0125, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 24 (out of 236) -- FNIR(1600000, T, L+1) = 0.0445, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

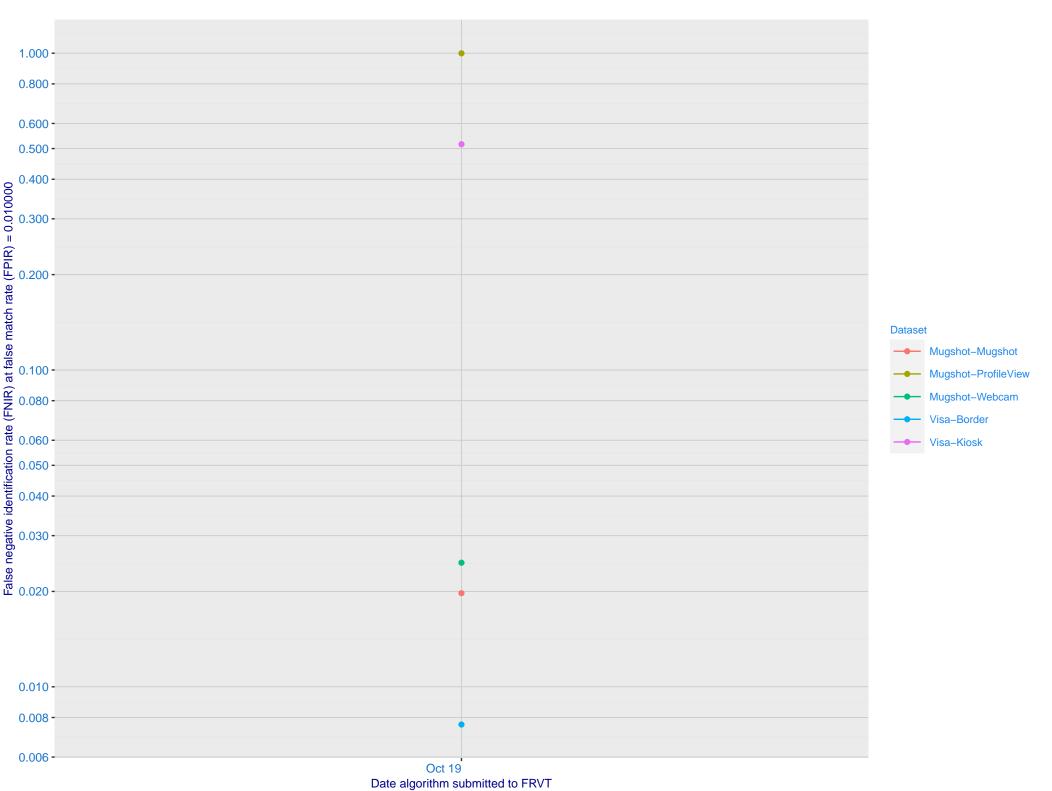
Mugshot profile ranking 170 (out of 209) -- FNIR(1600000, T, L+1) = 0.9998, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk_hr_000

Immigration visa-border ranking 21 (out of 167) -- FNIR(1600000, T, L+1) = 0.0174, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

Immigration visa-kiosk ranking 113 (out of 162) -- FNIR(1600000, T, L+1) = 0.8983, FPIR=0.001000 vs. lowest 0.0996 from cloudwalk_hr_000

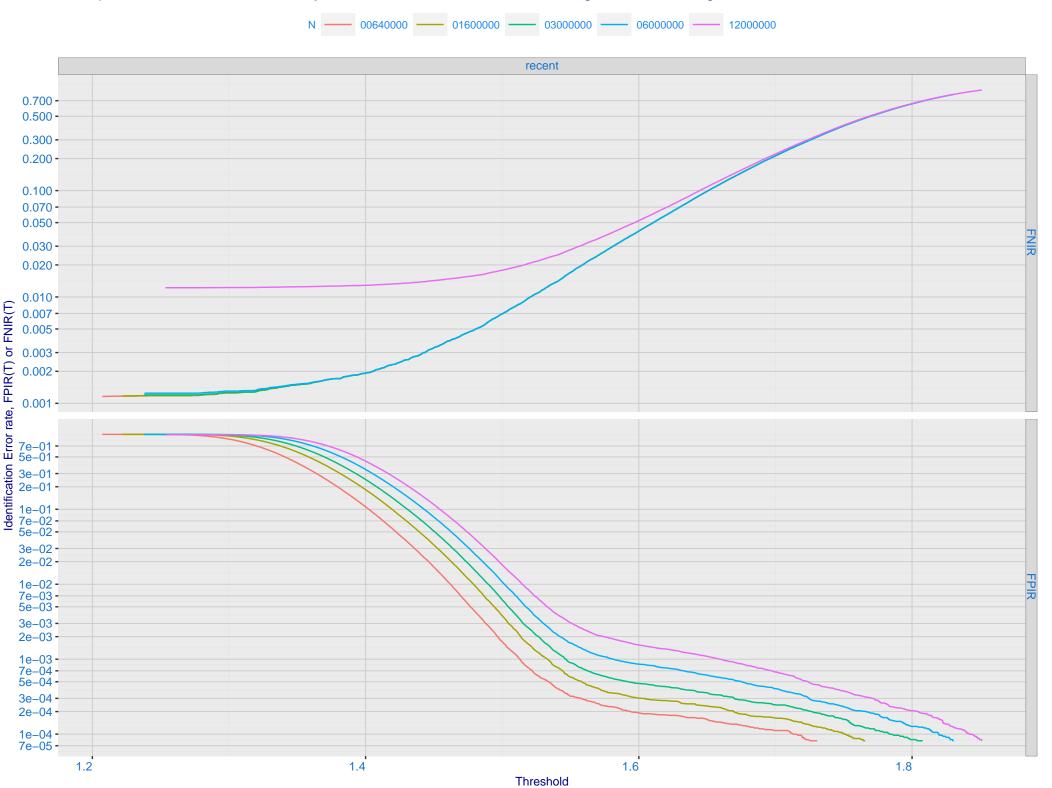


C: Evolution of accuracy for CANON algorithms on three datasets 2018 – present

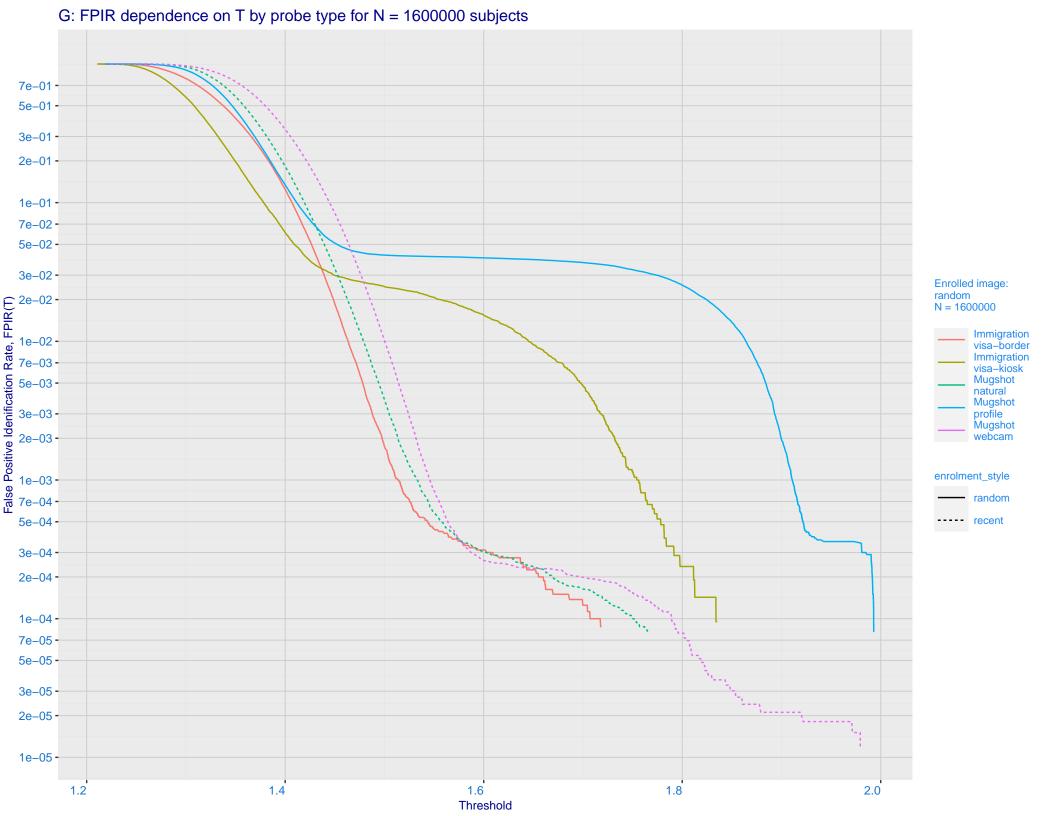


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 - 0.005 - 0.005 - 0.002 - 0.001 - 0.001 - 0.700 - 0.500 - 0.200 enrolment_style random-ONE-MATE recent-ONE-MATE 0.100 -0.070 sensetime 004 0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

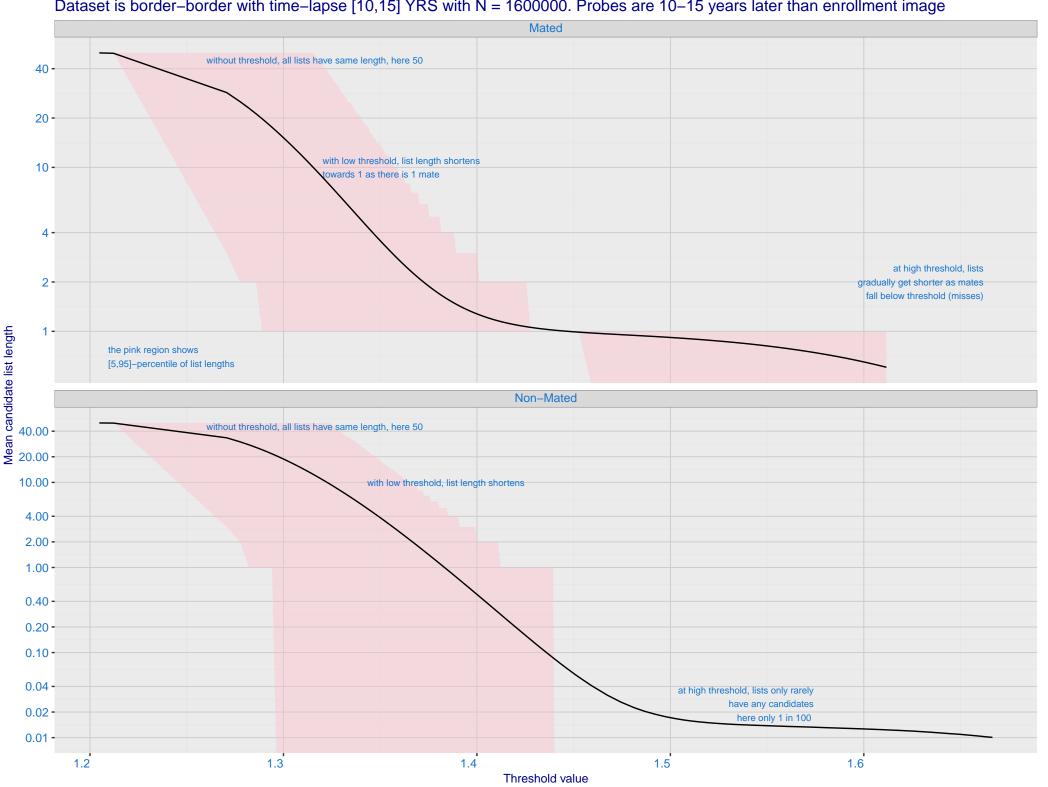
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



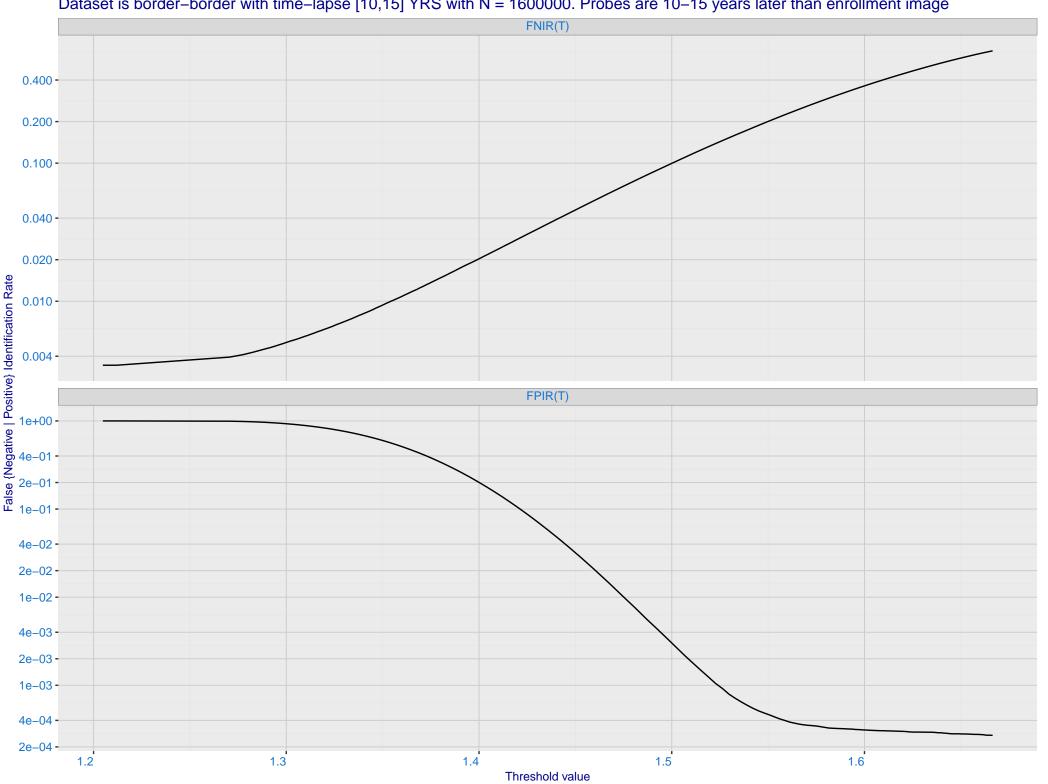
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 · 2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -5e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

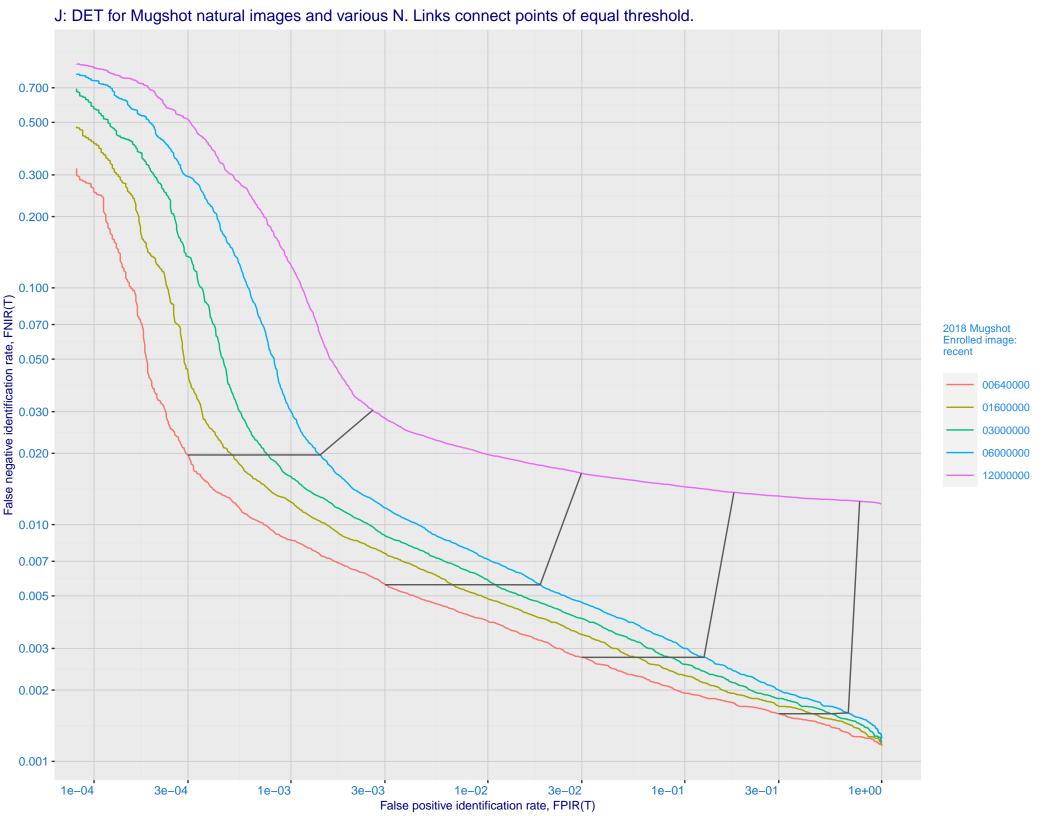


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

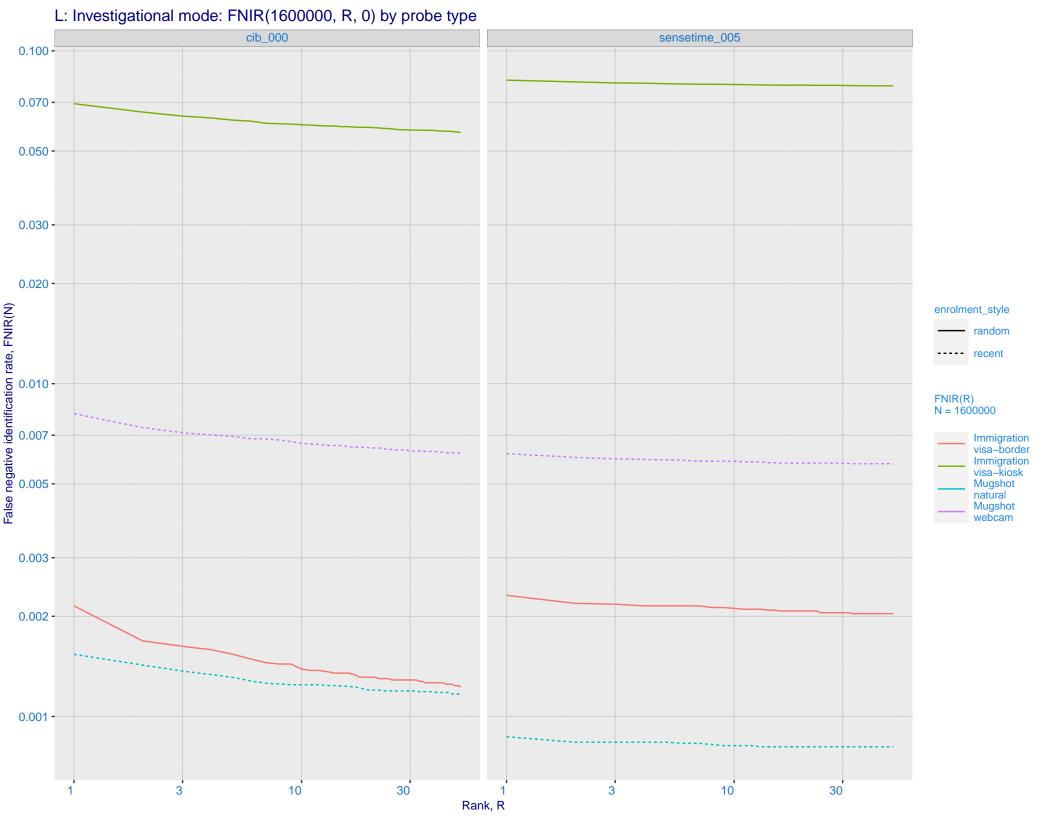


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

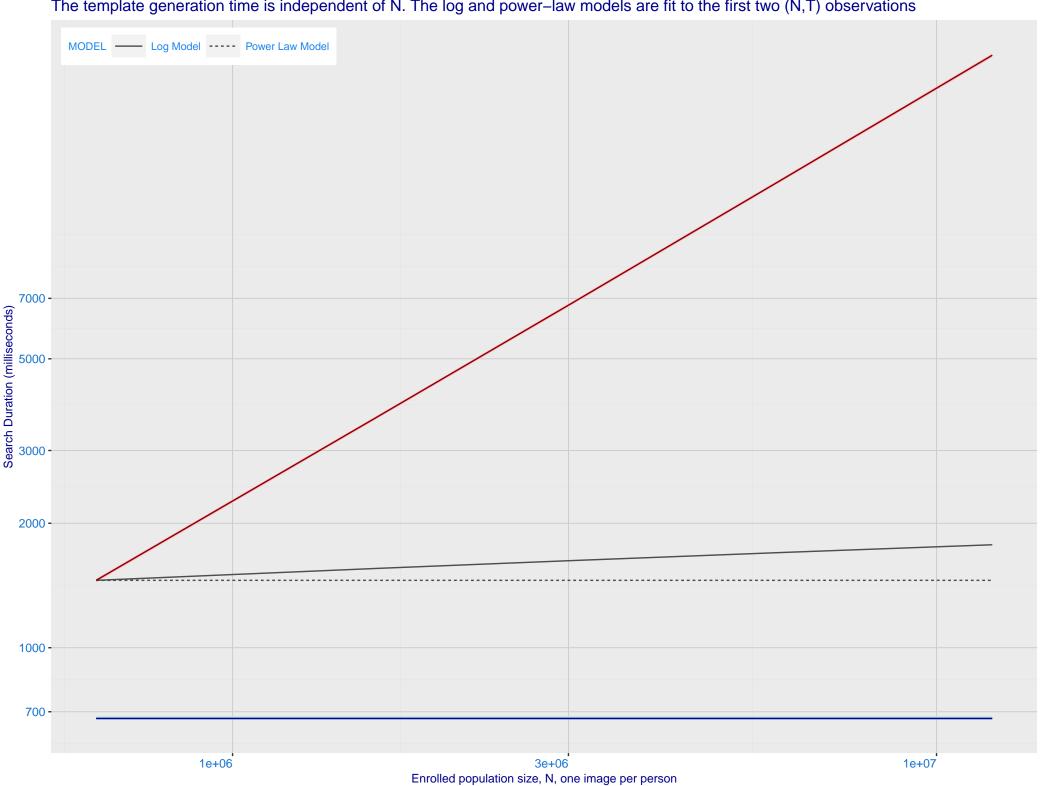




K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005) Immigration **Immigration** visa-border visa-kiosk 0.100 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) 0.002 - 0.001 - 0.000 - 0.050 - 0.030 - 0. FNIR@Rank = 1 -- cib_000 sensetime_005 Mugshot Mugshot webcam natural enrolment_style random ---- recent 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



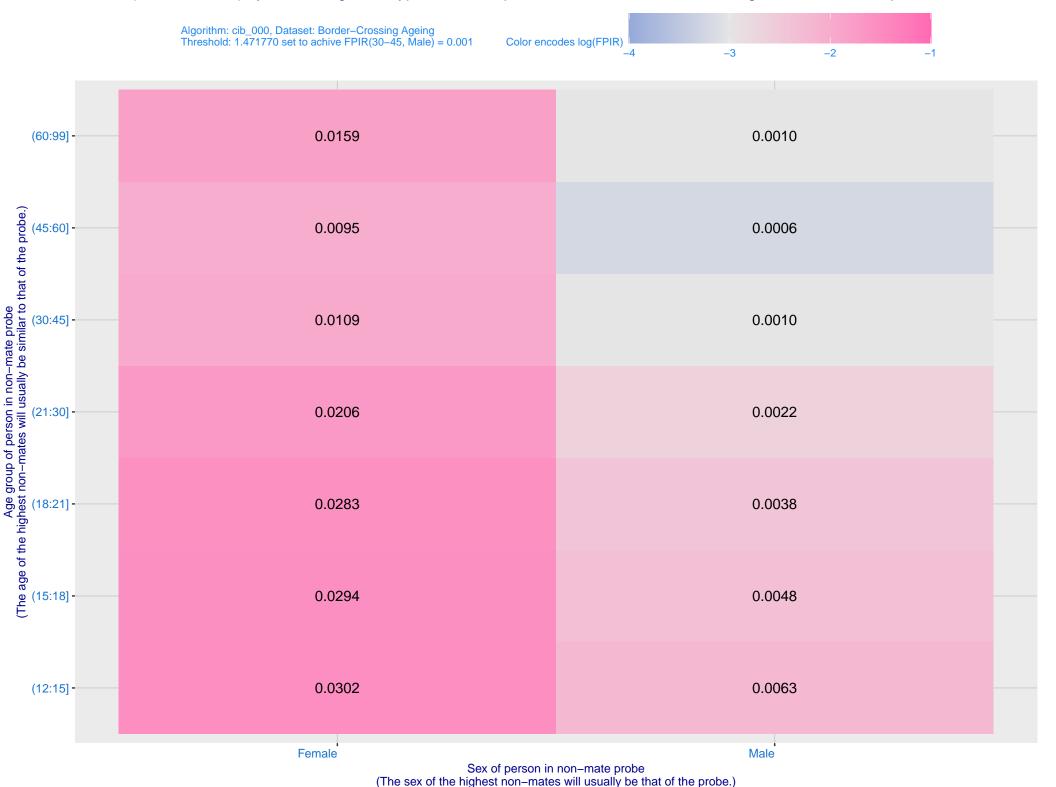
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



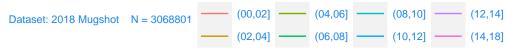
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

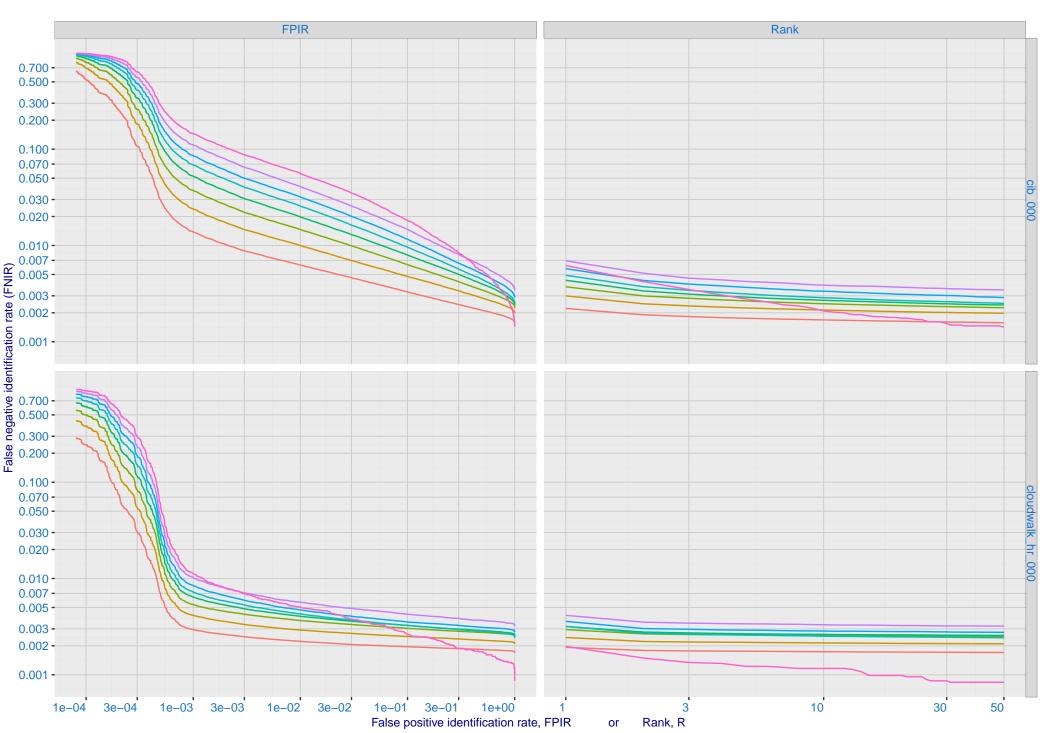


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines 2.0 -Dataset: 2018 Mugshot N= 3.1M Color encodes FNIR (Rank = 1) 1.8 -0.15 0.10 0.05 0.00 TVAL - FPIR = 0.001 - FPIR = 0.003 FPIR = 0.0101.4 -FPIR = 0.030 1.2 -(00,02](02,04](04,06](06,08](08,10](10,12](12,14](14,18]

Time lapse between search and initial encounter enrollment (years)