A: Datasheet

Algorithm: incode_004

Developer: Incode Technologies Inc

Submission Date: 2019_06_24

Template size: 2048 bytes

Template time (2.5 percentile): 454 msec

Template time (median): 476 msec

Template time (97.5 percentile): 786 msec

Investigation:

Frontal mugshot ranking 55 (out of 259) −− FNIR(1600000, 0, 1) = 0.0035 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 55 (out of 221) −− FNIR(1600000, 0, 1) = 0.0172 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 39 (out of 190) −− FNIR(1600000, 0, 1) = 0.4746 vs. lowest 0.0591 from sensetime_005

Immigration visa−border ranking 50 (out of 142) −− FNIR(1600000, 0, 1) = 0.0082 vs. lowest 0.0014 from visionlabs_009

Immigration visa−kiosk ranking 50 (out of 139) −− FNIR(1600000, 0, 1) = 0.1346 vs. lowest 0.0694 from cib_000

Identification:

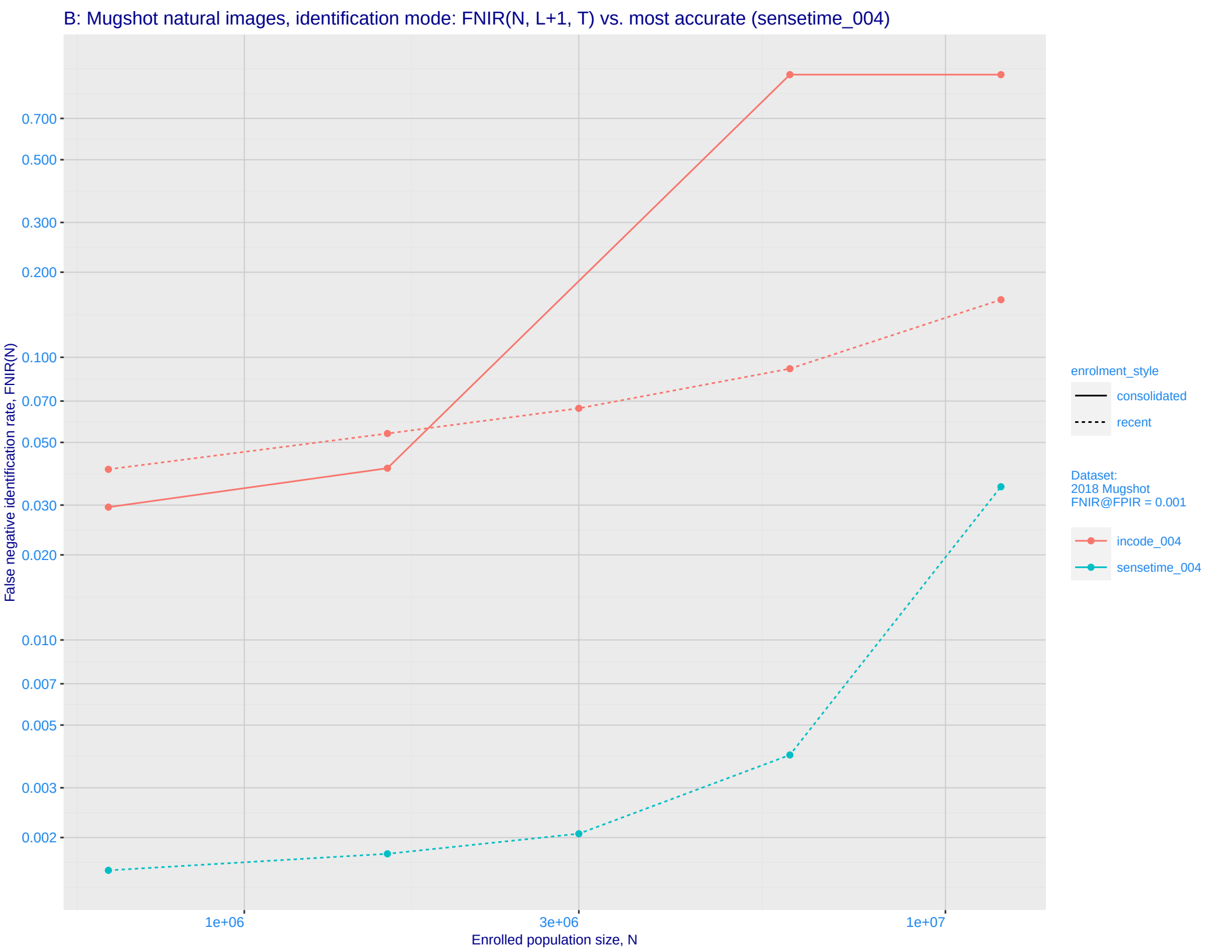
Frontal mugshot ranking 88 (out of 259) −− FNIR(1600000, T, L+1) = 0.0537, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 81 (out of 219) −− FNIR(1600000, T, L+1) = 0.1198, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

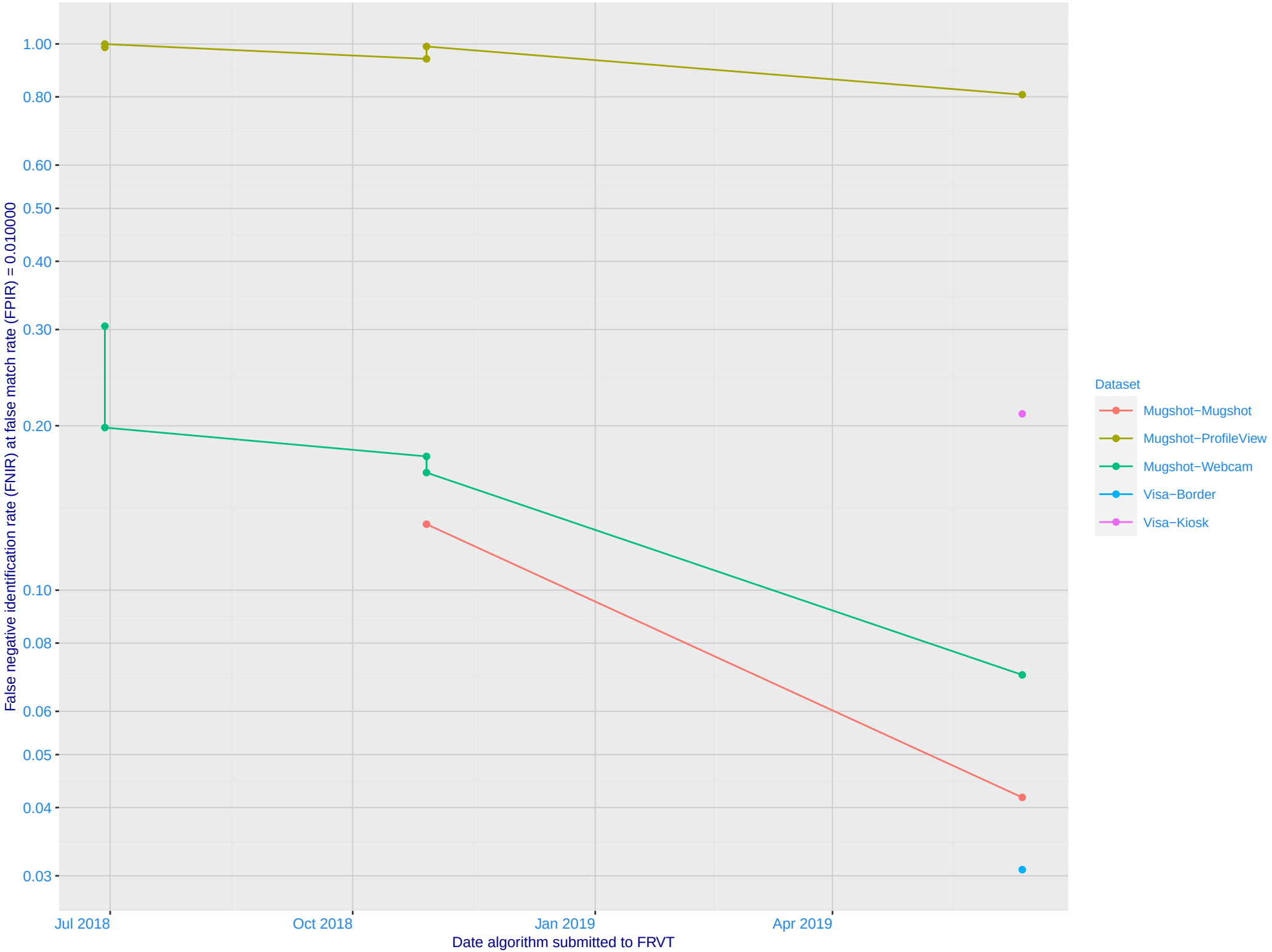
Mugshot profile ranking 92 (out of 189) −− FNIR(1600000, T, L+1) = 0.9945, FPIR=0.001000 vs. lowest 0.1733 from sensetime_005

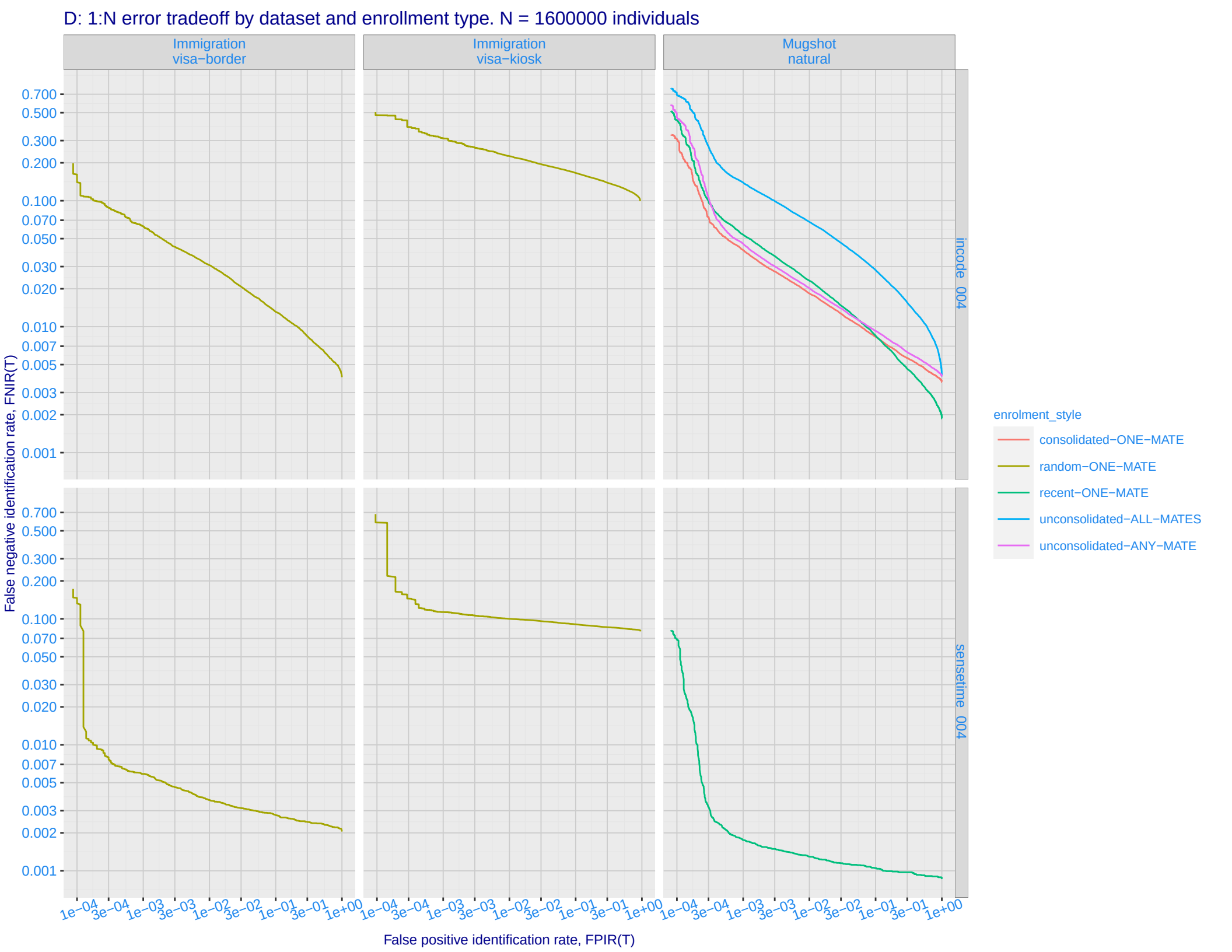
Immigration visa−border ranking 49 (out of 139) −− FNIR(1600000, T, L+1) = 0.0625, FPIR=0.001000 vs. lowest 0.0059 from sensetime_004

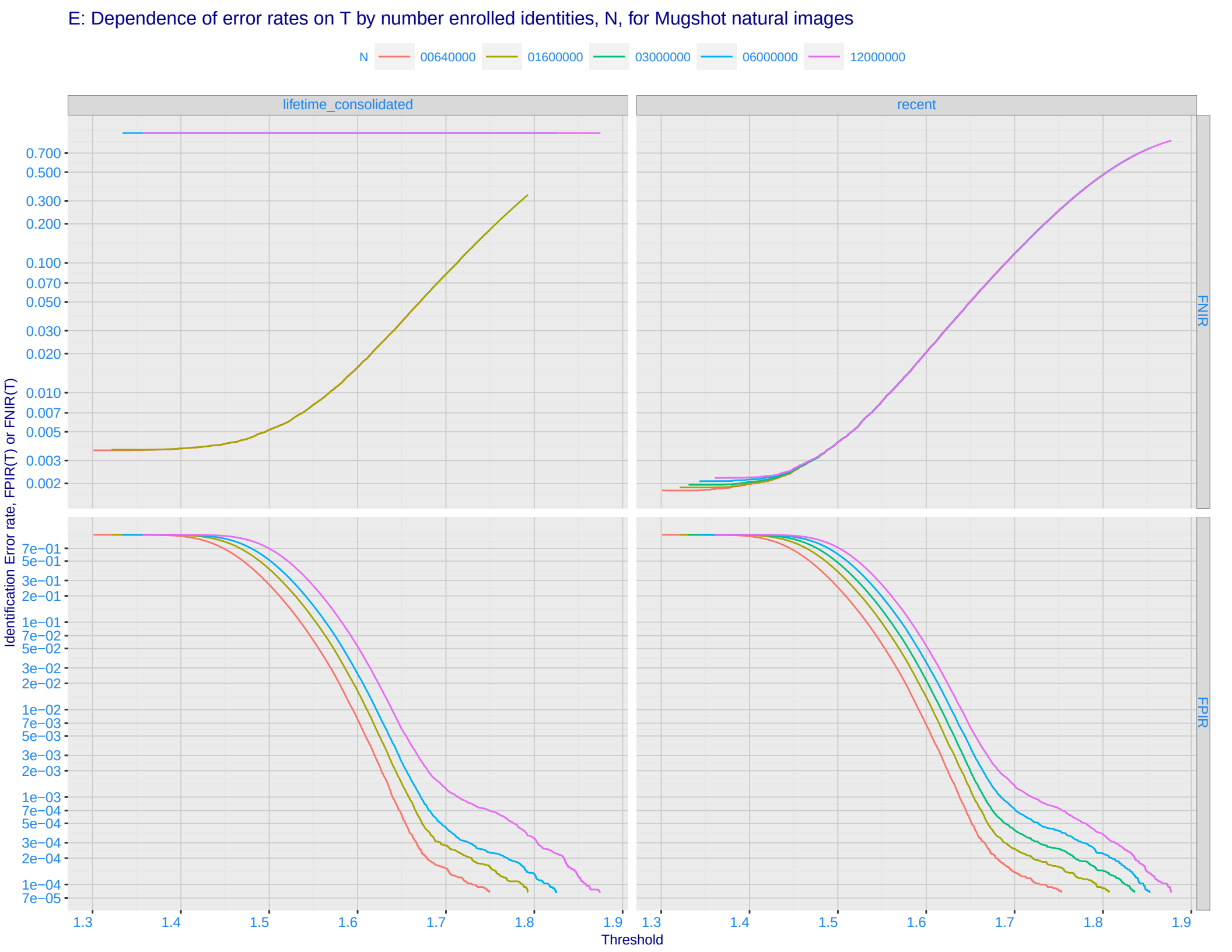
Immigration visa−kiosk ranking 38 (out of 134) −− FNIR(1600000, T, L+1) = 0.3136, FPIR=0.001000 vs. lowest 0.1048 from sensetime_005

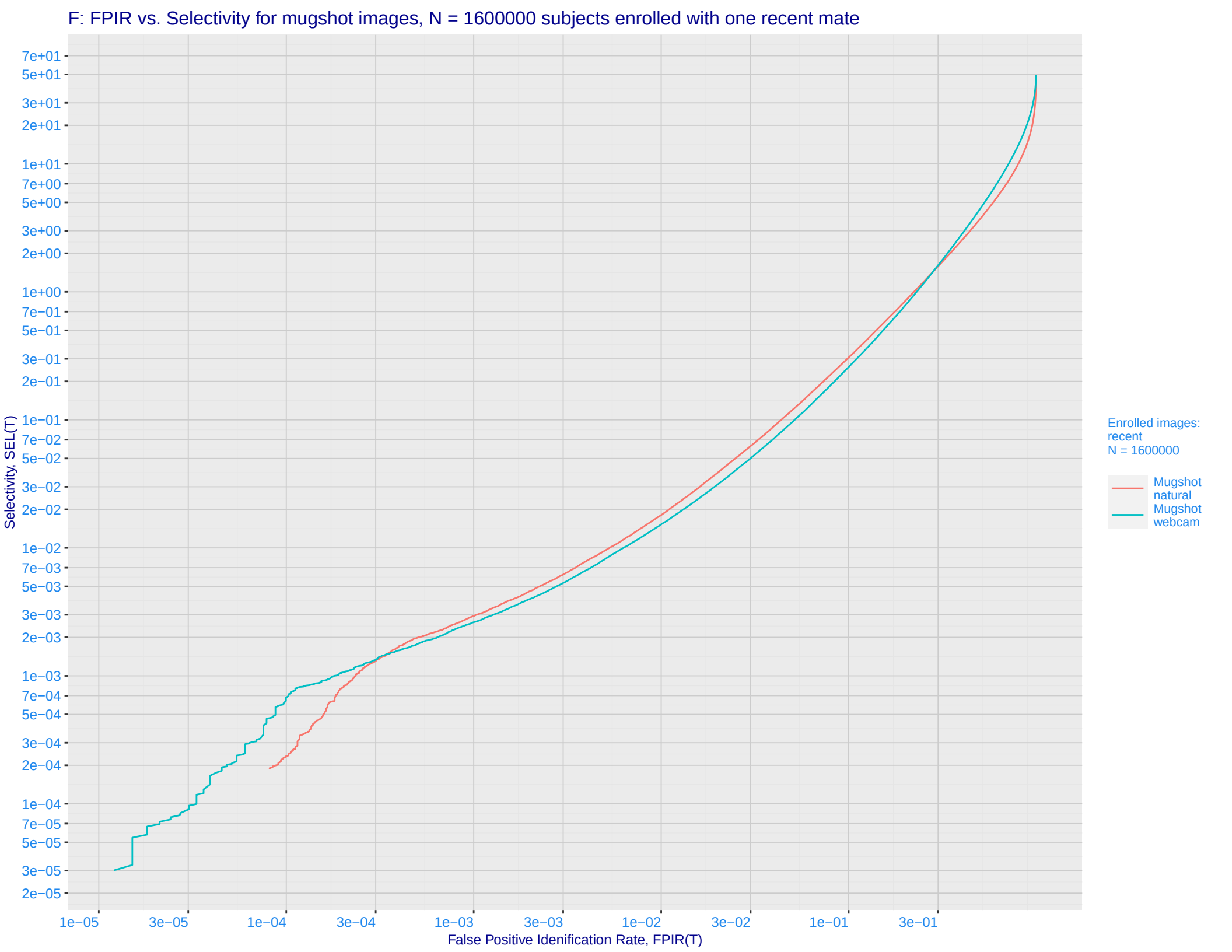

B: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
consolidated
recent
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
incode_004
sensetime_004

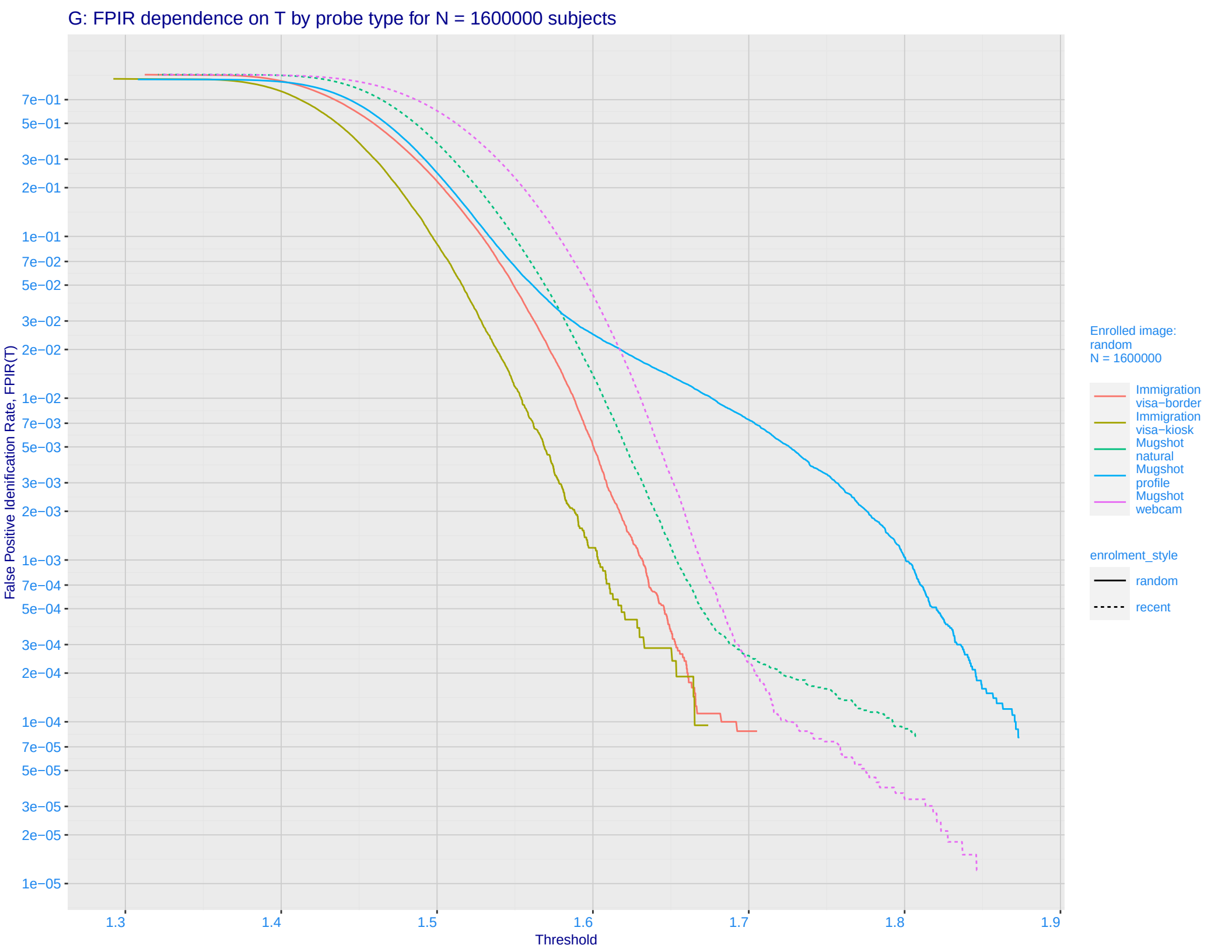
C: Evolution of accuracy for INCODE algorithms on three datasets 2018 − present

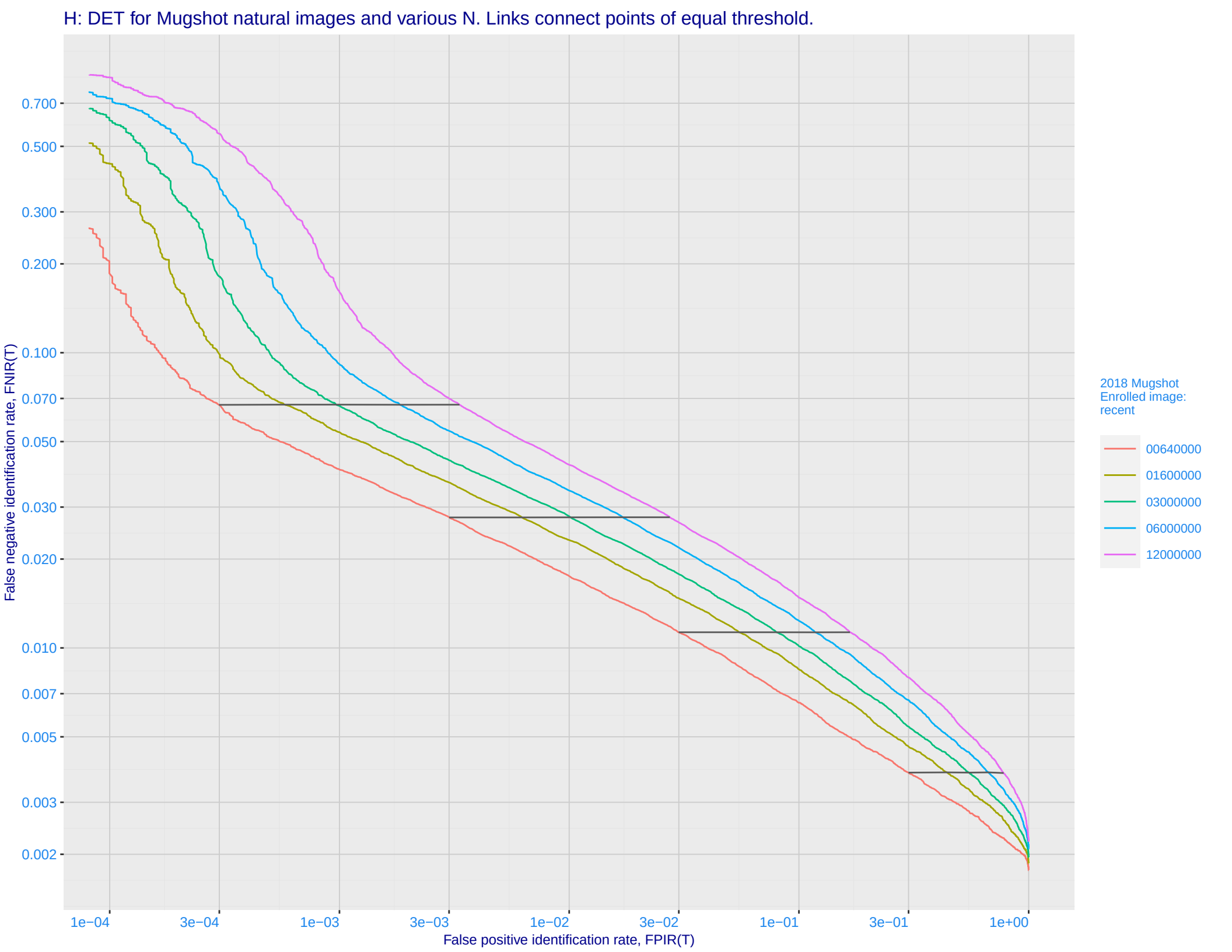


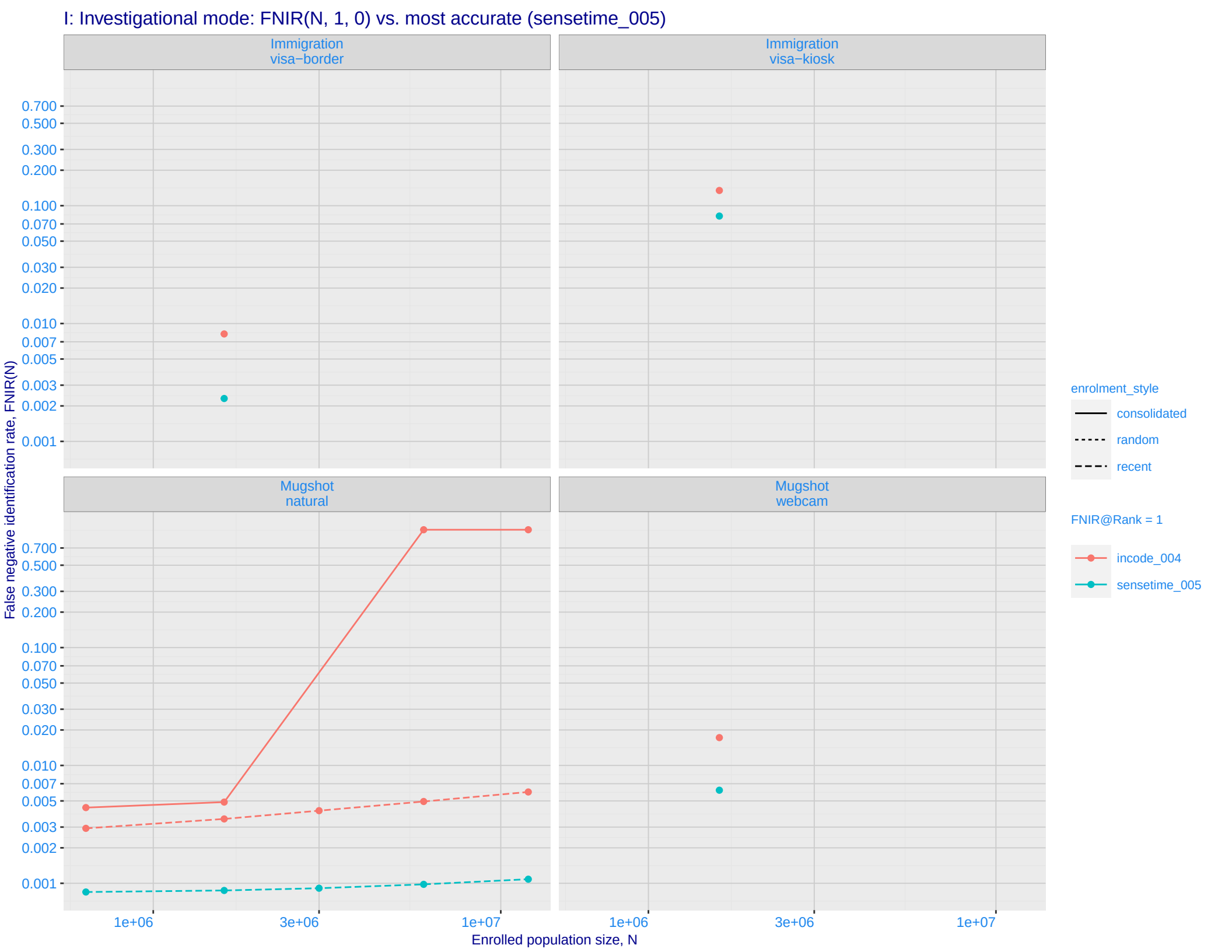

D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
incode 004
sensetime 004
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
consolidated−ONE−MATE
random−ONE−MATE
recent−ONE−MATE
unconsolidated−ALL−MATES
unconsolidated−ANY−MATE

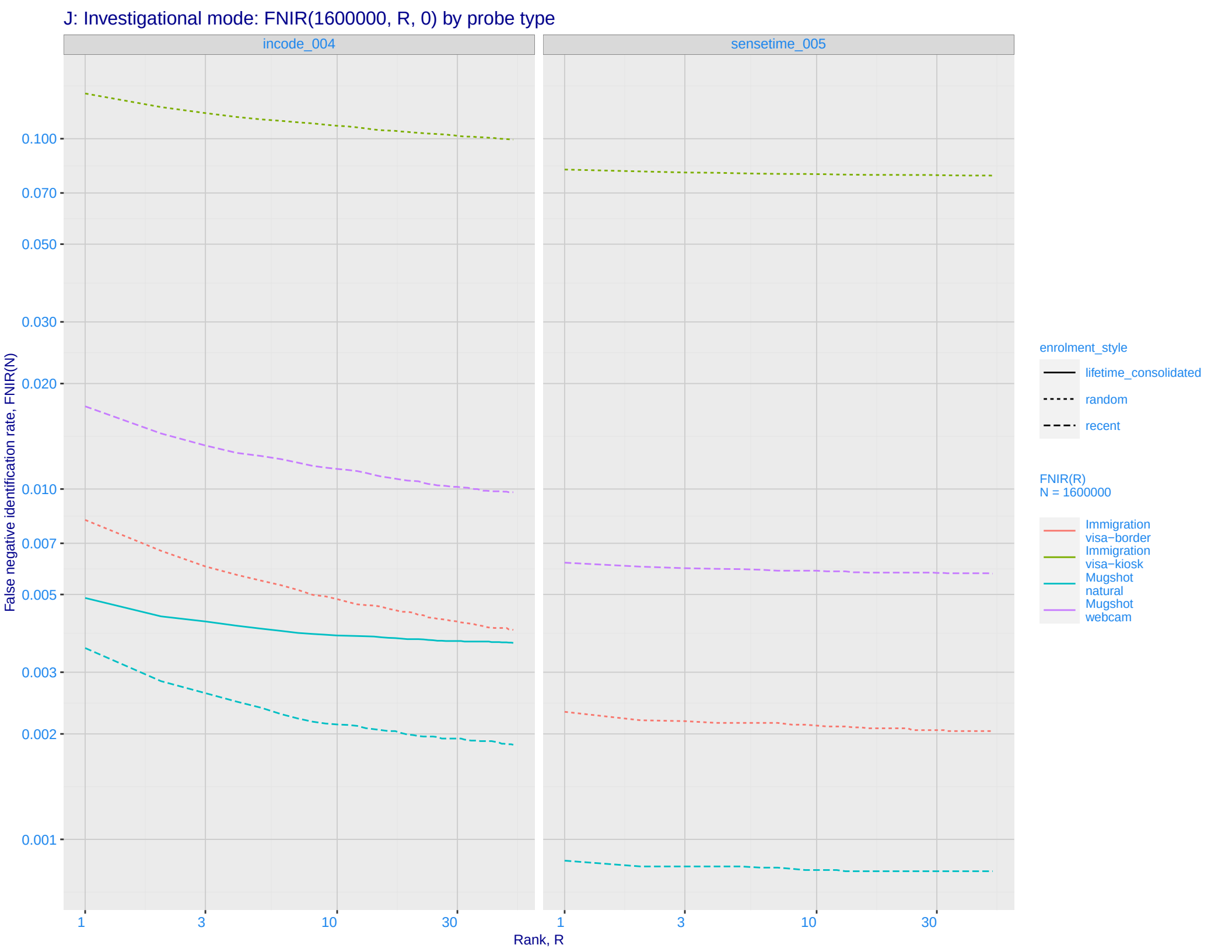

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
lifetime_consolidated
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
1.3
1.4
1.5
1.6
1.7
1.8
1.9
Threshold

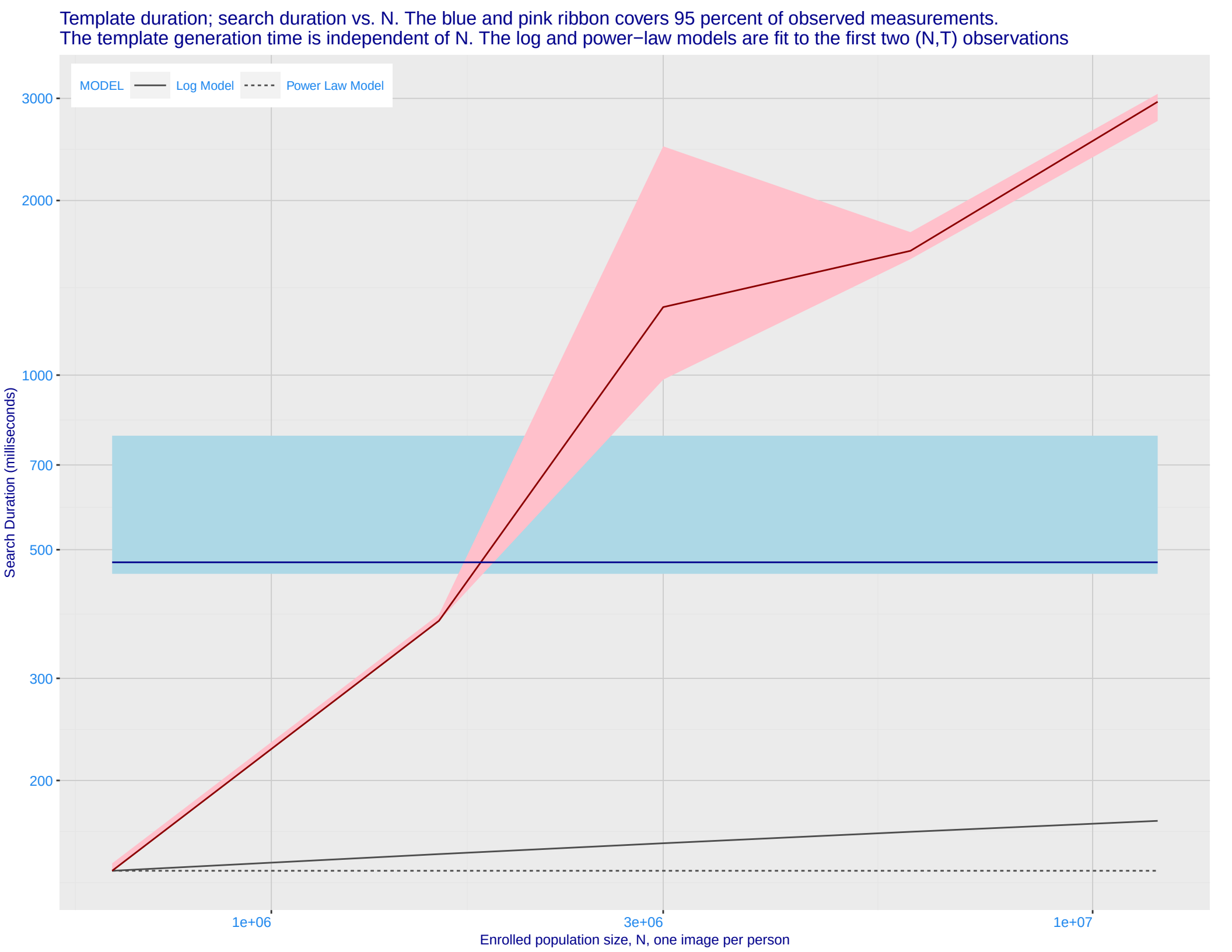

F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
webcam

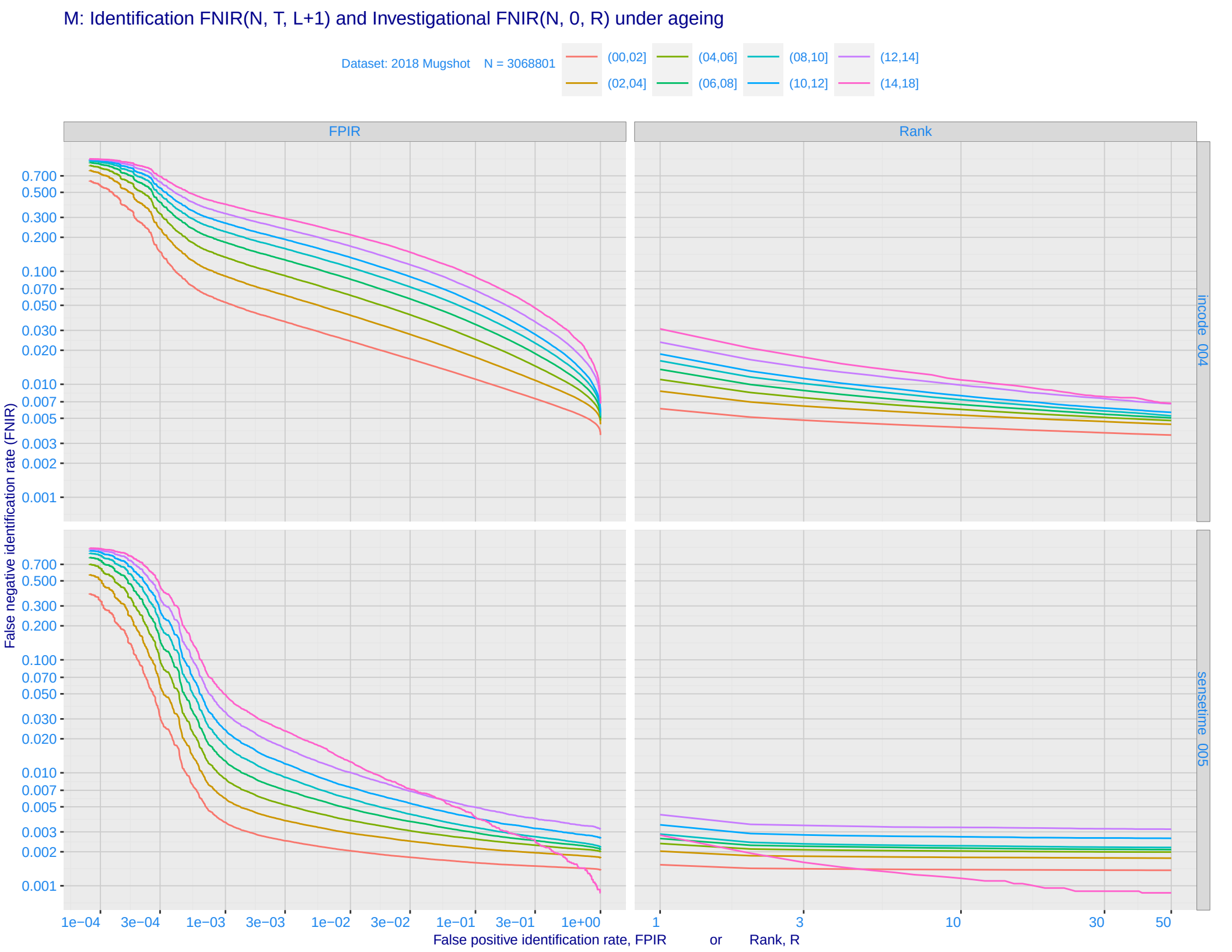

G: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1.3
1.4
1.5
1.6
1.7
1.8
1.9
Threshold
Enrolled image:
random
N = 1600000
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
profile
Mugshot
webcam
enrolment_style
random
recent

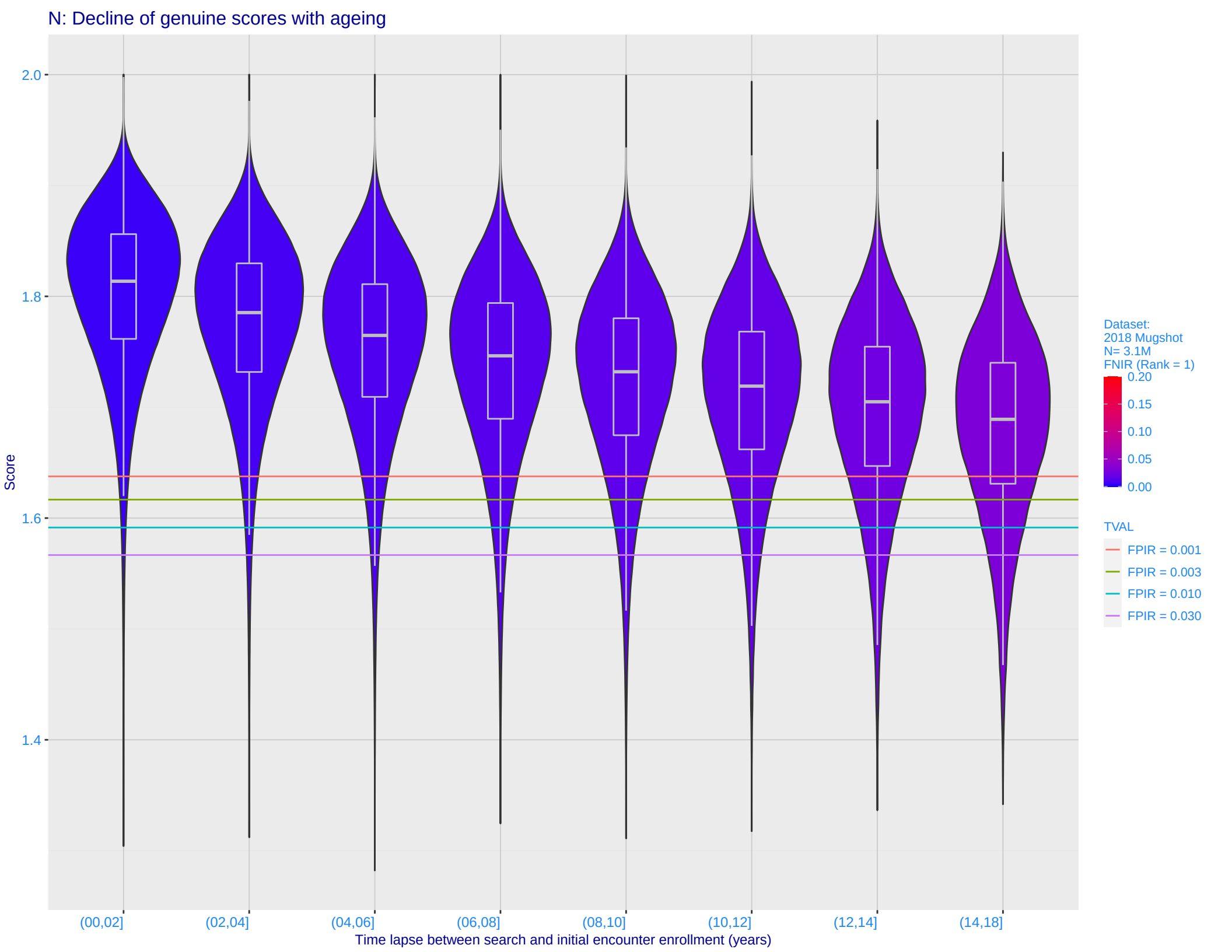

H: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000


I: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005)
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
webcam
False negative identification rate, FNIR(N)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
consolidated
random
recent
FNIR@Rank = 1
incode_004
sensetime_005


J: Investigational mode: FNIR(1600000, R, 0) by probe type
incode_004
sensetime_005
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
lifetime_consolidated
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam


Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations
MODEL
Log Model
Power Law Model
3000
2000
1000
700
500
300
200
Search Duration (milliseconds)
1e+06
3e+06
1e+07
Enrolled population size, N, one image per person


M: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing
Dataset: 2018 Mugshot N = 3068801
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
FPIR
Rank
incode 004
sensetime 005
False negative identification rate (FNIR)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
1
3
10
30
50
False positive identification rate, FPIR or Rank, R


N: Decline of genuine scores with ageing
2.0
1.8
1.6
1.4
Score
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
Time lapse between search and initial encounter enrollment (years)
Dataset:
2018 Mugshot
N= 3.1M
FNIR (Rank = 1)
0.20
0.15
0.10
0.05
0.00
TVAL
FPIR = 0.001
FPIR = 0.003
FPIR = 0.010
FPIR = 0.030