A: Datasheet

Algorithm: cognitec_000

Developer: Cognitec Systems GmbH

Submission Date: 2021_03_08

Template size: 2052 bytes

Template time (2.5 percentile): 179 msec

Template time (median): 192 msec

Template time (97.5 percentile): 206 msec

Investigation:

Frontal mugshot ranking 59 (out of 271) −− FNIR(1600000, 0, 1) = 0.0032 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 54 (out of 232) −− FNIR(1600000, 0, 1) = 0.0159 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 103 (out of 201) −− FNIR(1600000, 0, 1) = 0.8128 vs. lowest 0.0591 from sensetime_005

Immigration visa−border ranking 75 (out of 160) −− FNIR(1600000, 0, 1) = 0.0128 vs. lowest 0.0013 from visionlabs_010

Immigration visa−kiosk ranking 66 (out of 157) −− FNIR(1600000, 0, 1) = 0.1430 vs. lowest 0.0568 from hr_000

Identification:

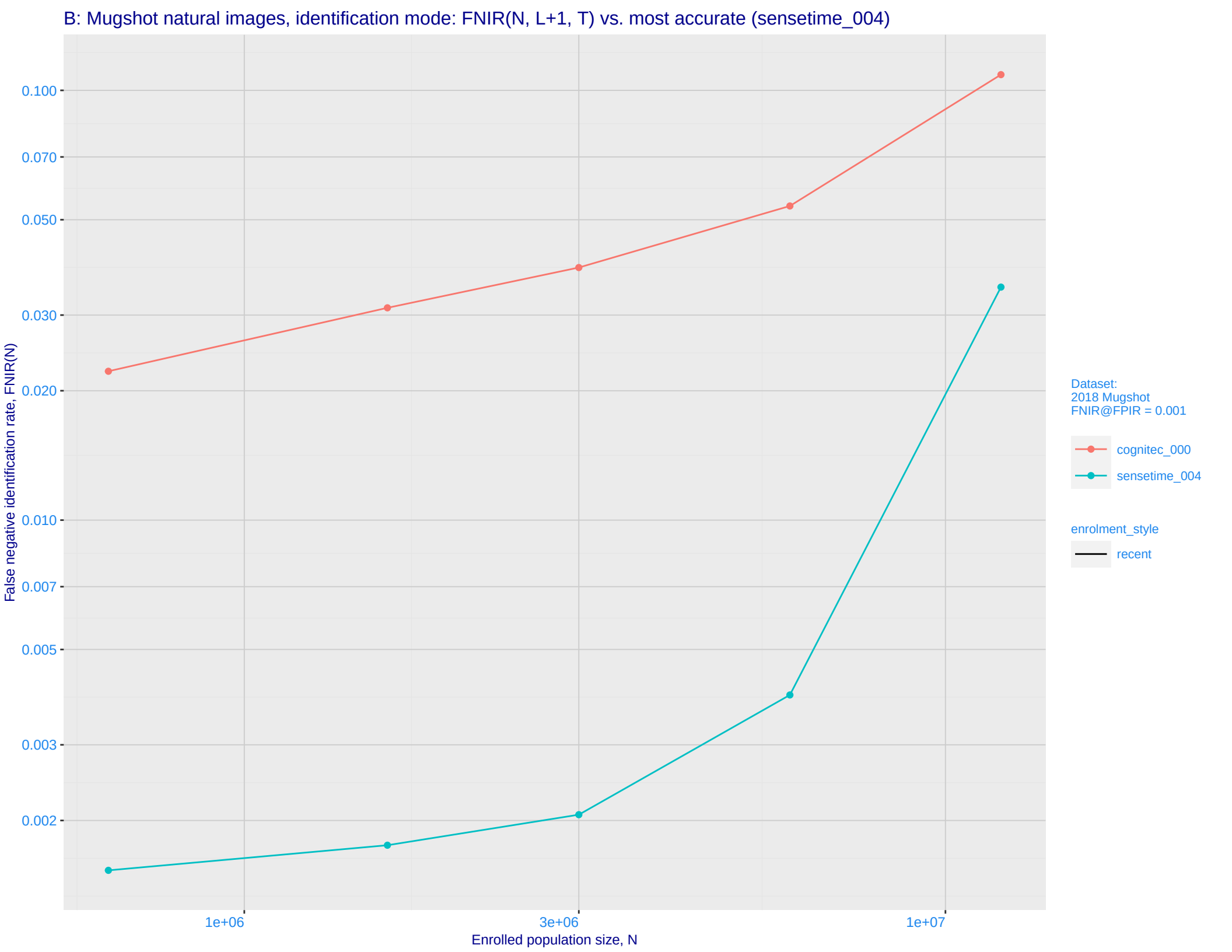
Frontal mugshot ranking 56 (out of 271) −− FNIR(1600000, T, L+1) = 0.0312, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 67 (out of 230) −− FNIR(1600000, T, L+1) = 0.0968, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

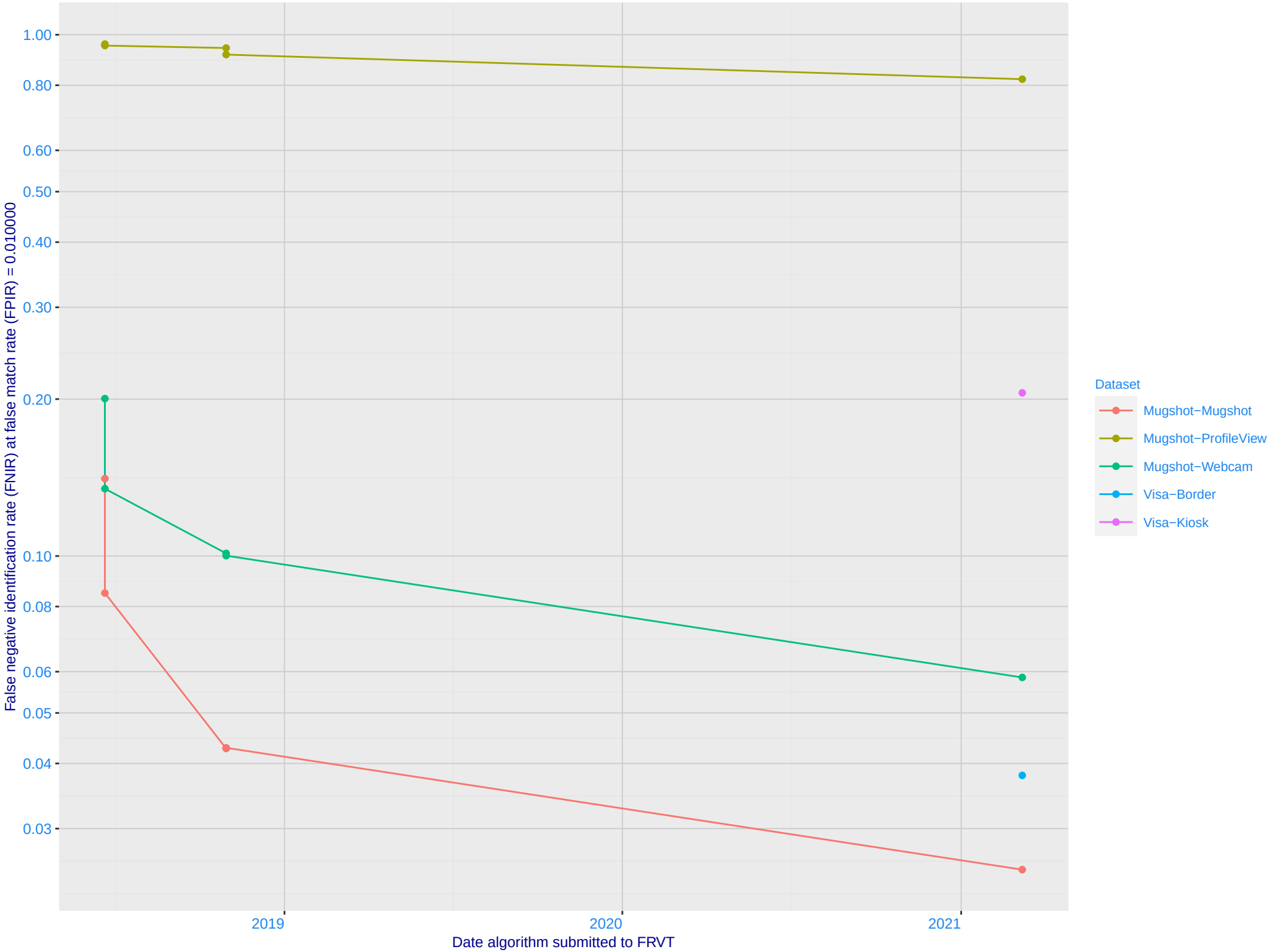
Mugshot profile ranking 78 (out of 200) −− FNIR(1600000, T, L+1) = 0.9902, FPIR=0.001000 vs. lowest 0.1331 from hr_000

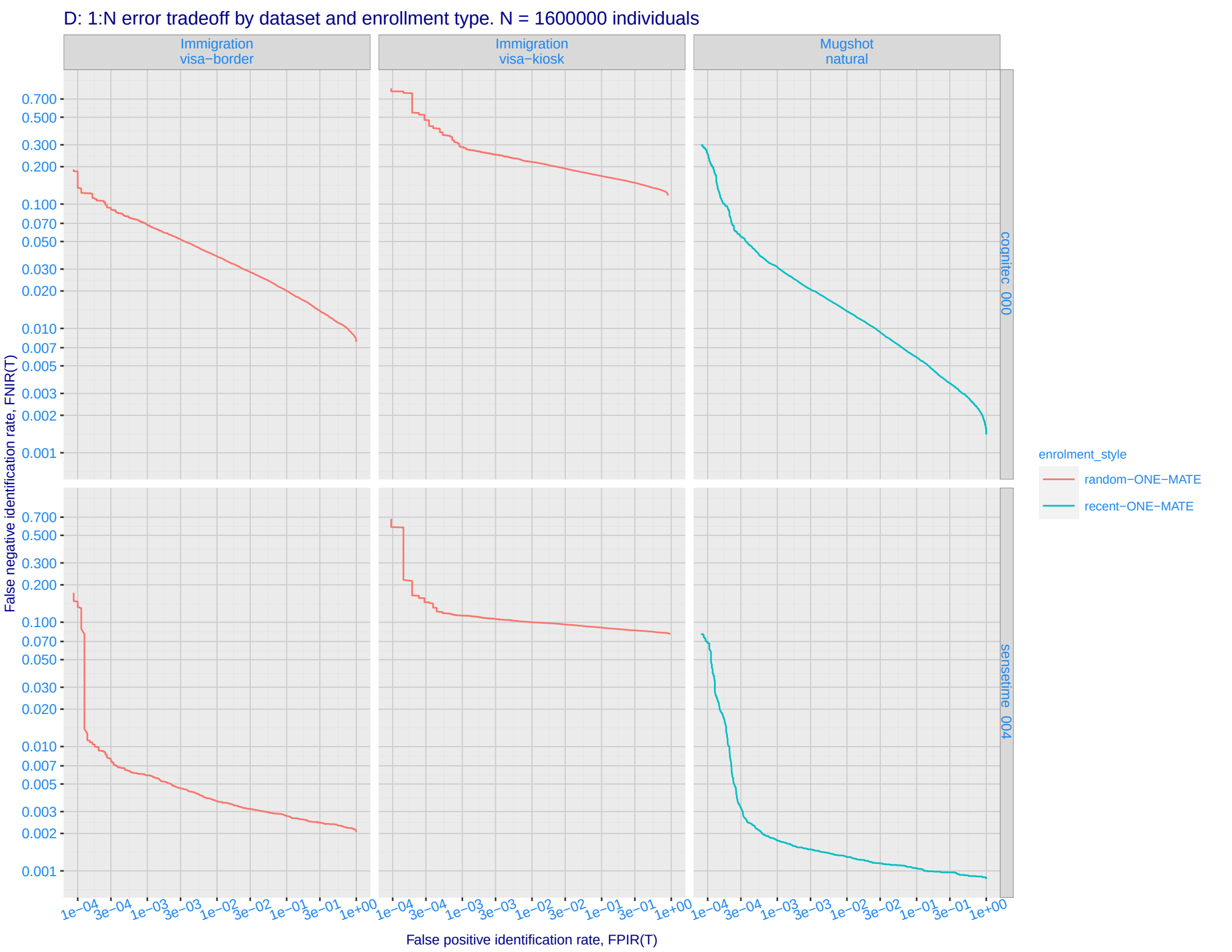
Immigration visa−border ranking 62 (out of 159) −− FNIR(1600000, T, L+1) = 0.0679, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

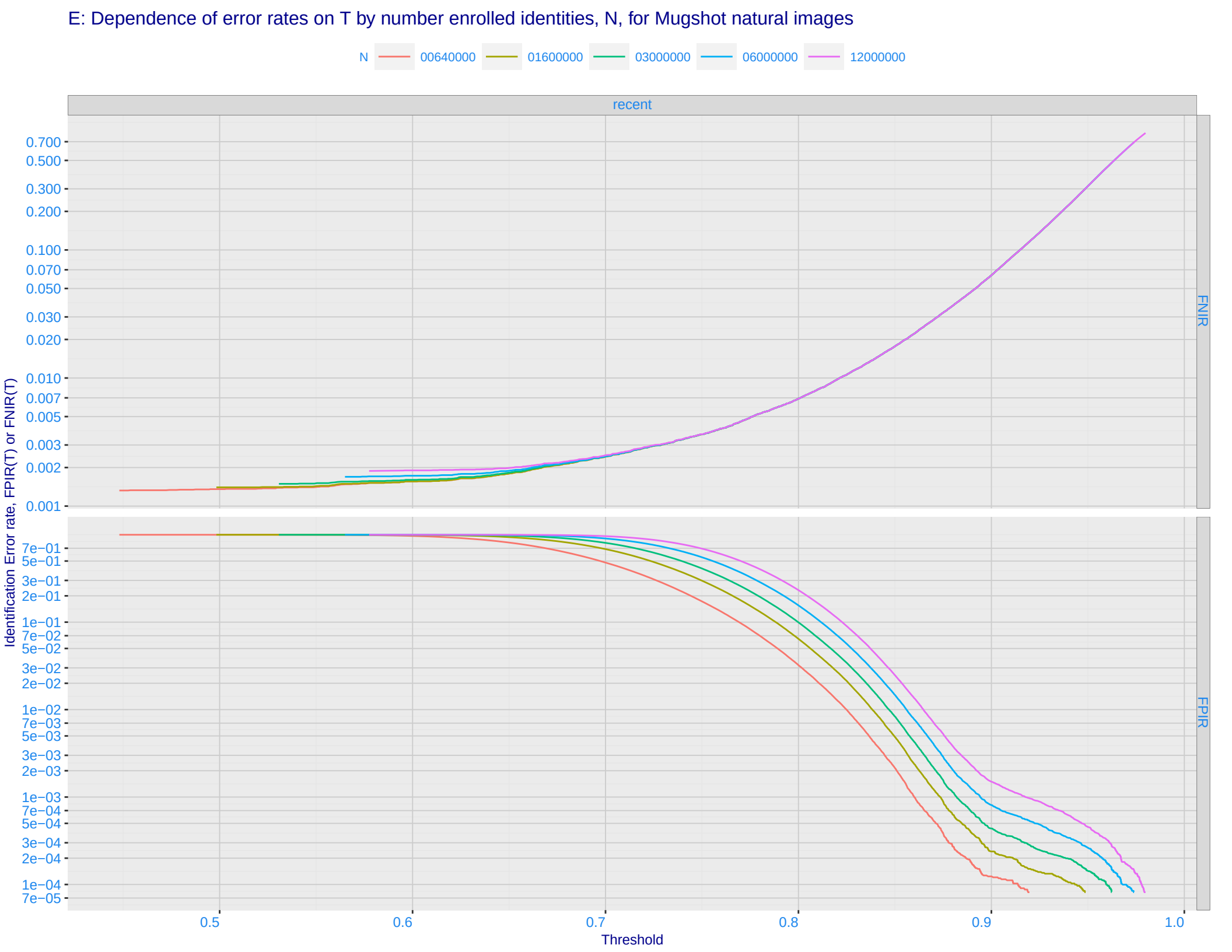
Immigration visa−kiosk ranking 37 (out of 154) −− FNIR(1600000, T, L+1) = 0.2876, FPIR=0.001000 vs. lowest 0.0996 from hr_000

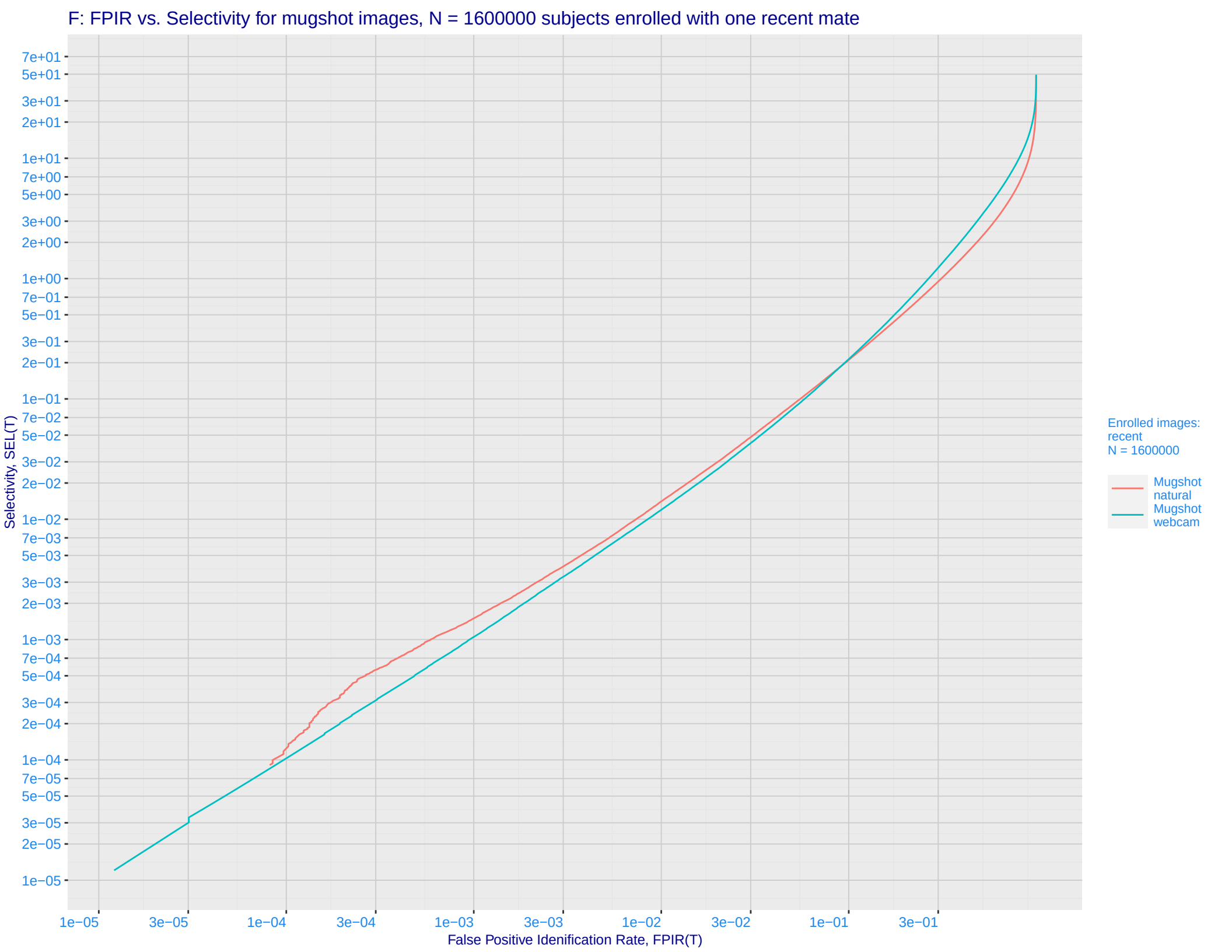

B: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
cognitec_000
sensetime_004
enrolment_style
recent

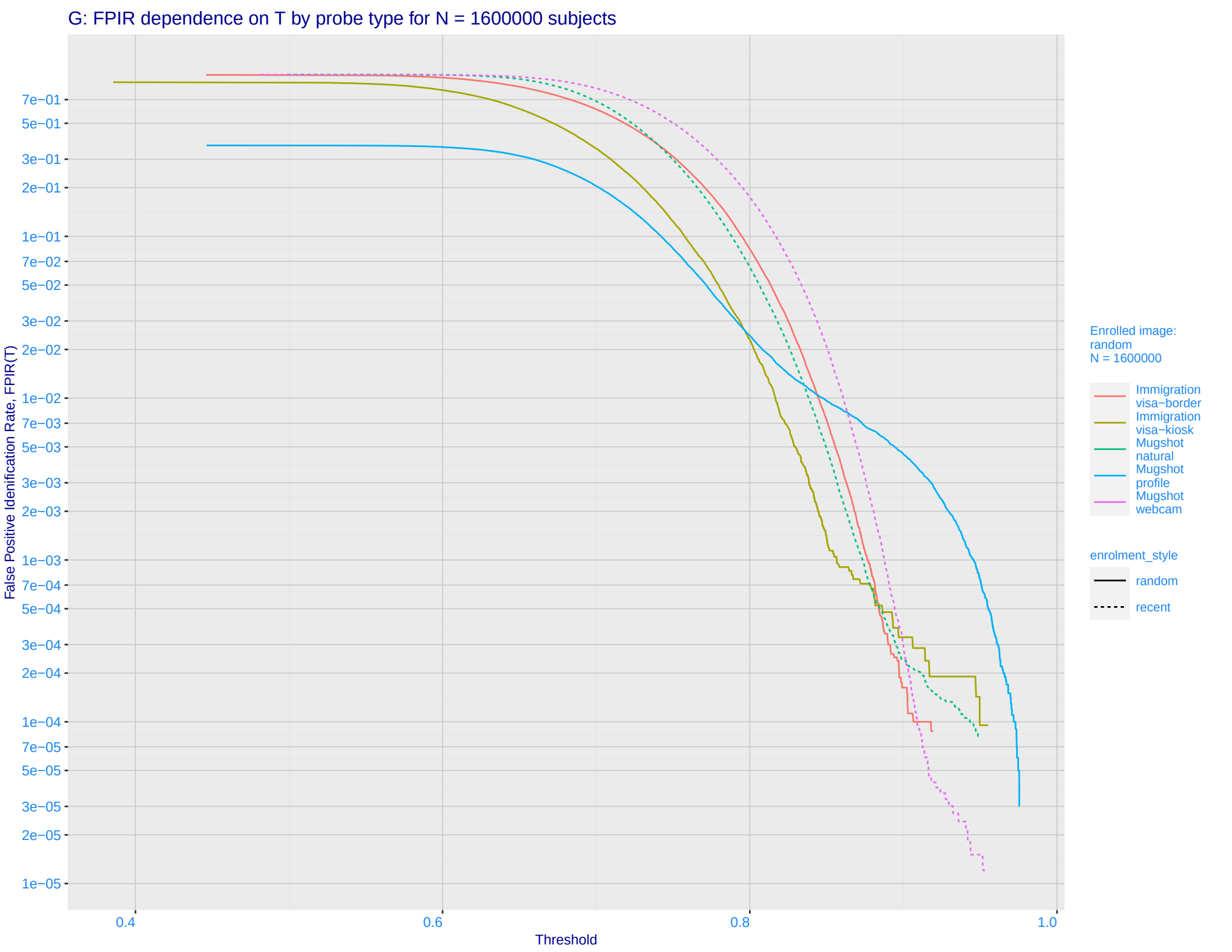
C: Evolution of accuracy for COGNITEC algorithms on three datasets 2018 − present

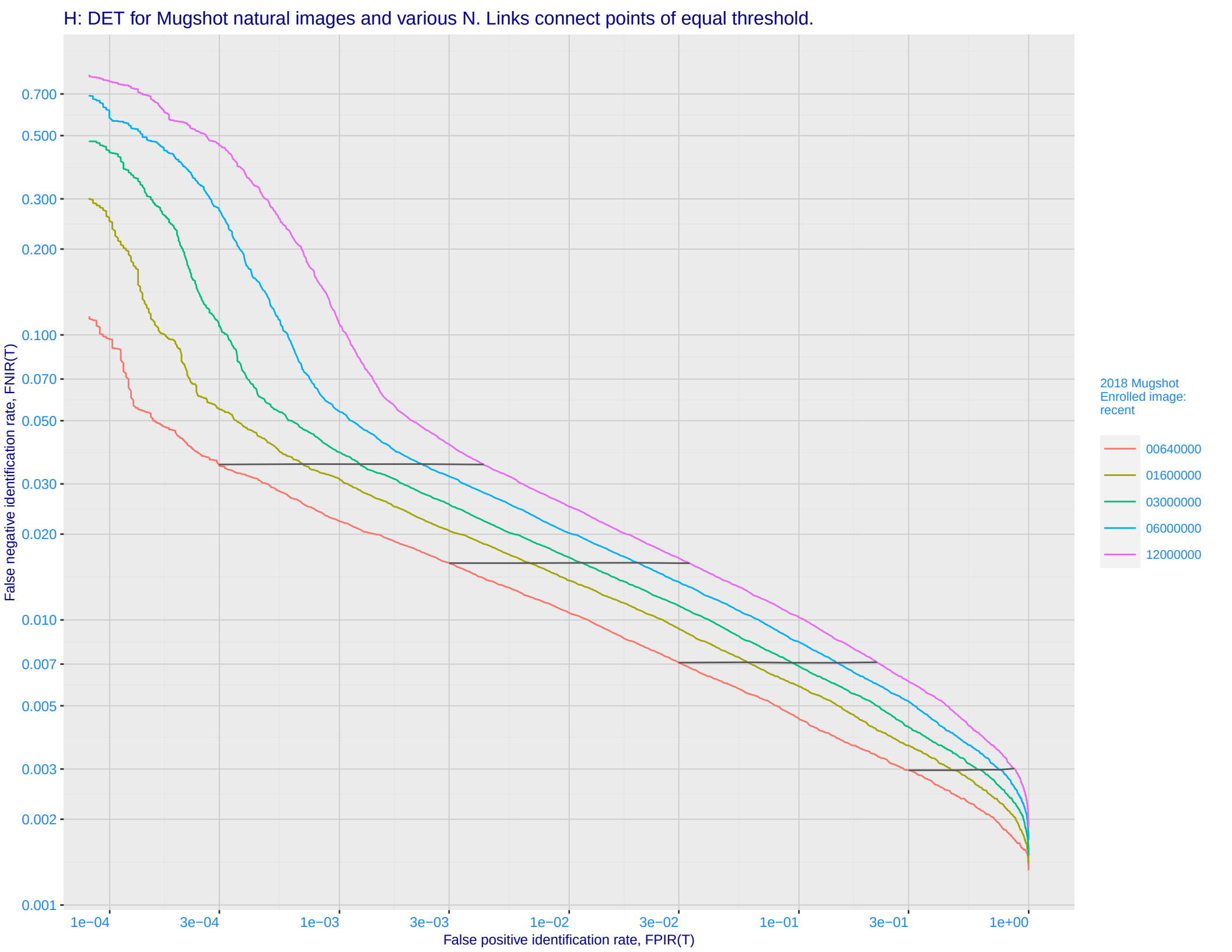


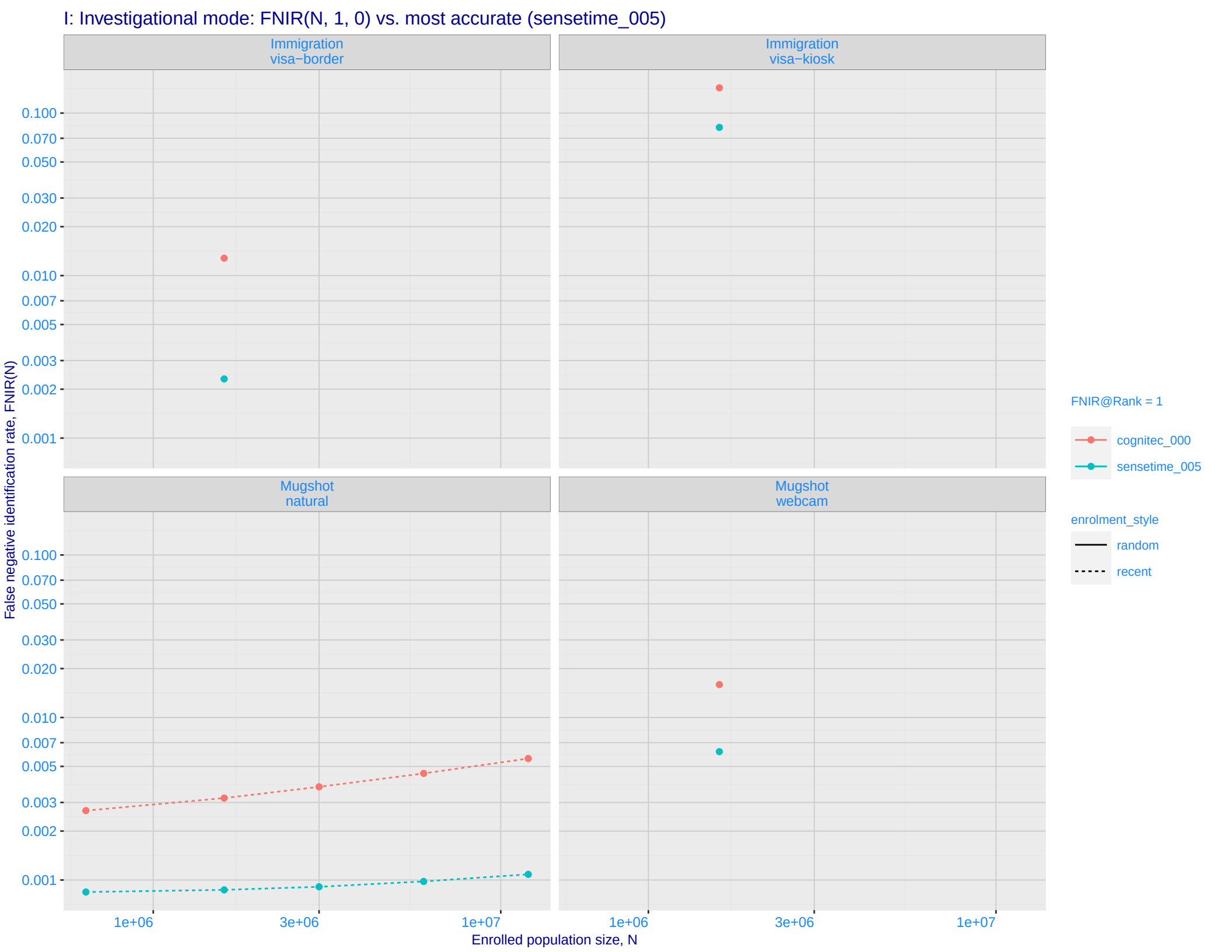

D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
cognitec_000
sensetime_004
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
random−ONE−MATE
recent−ONE−MATE

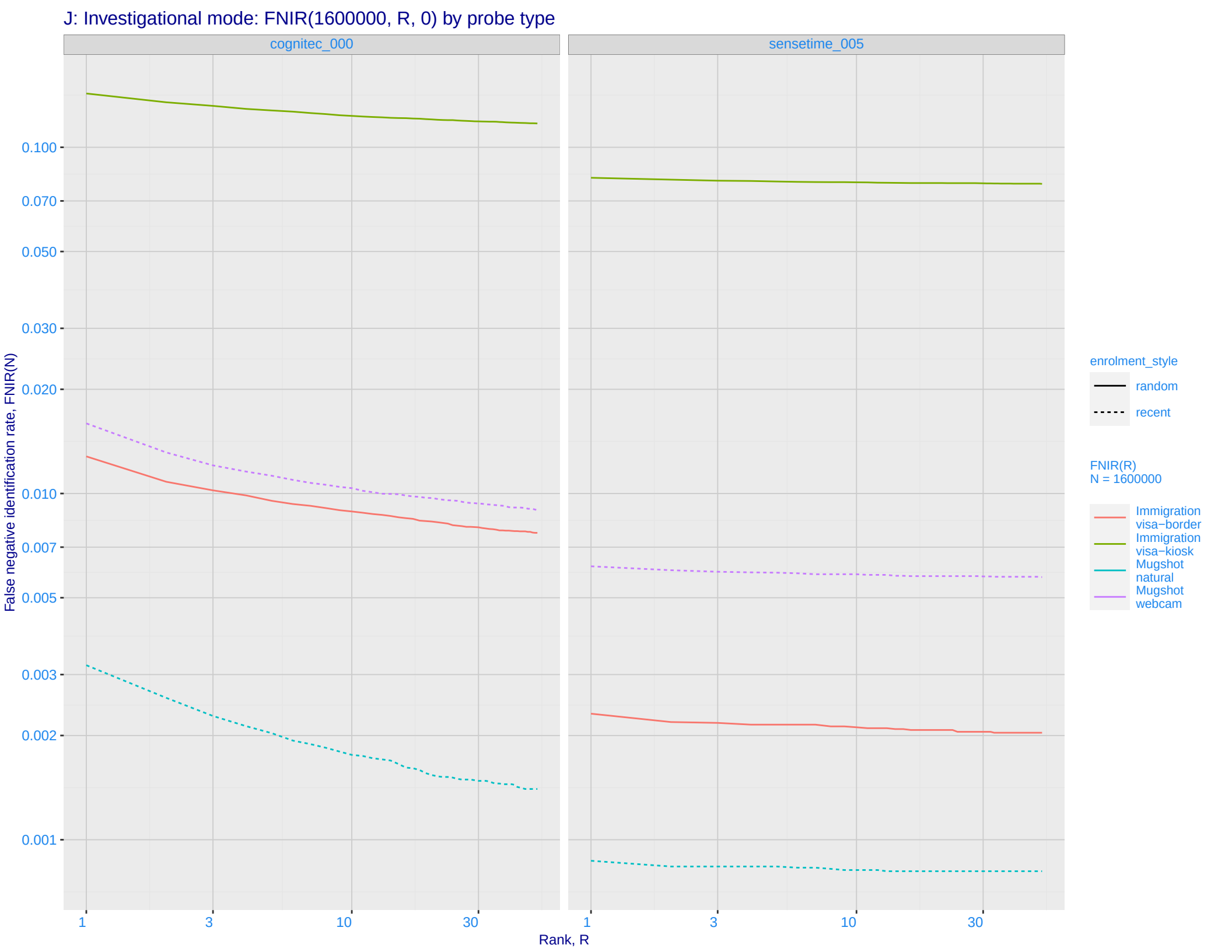

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
Threshold
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
0.5
0.6
0.7
0.8
0.9
1.0


F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
webcam

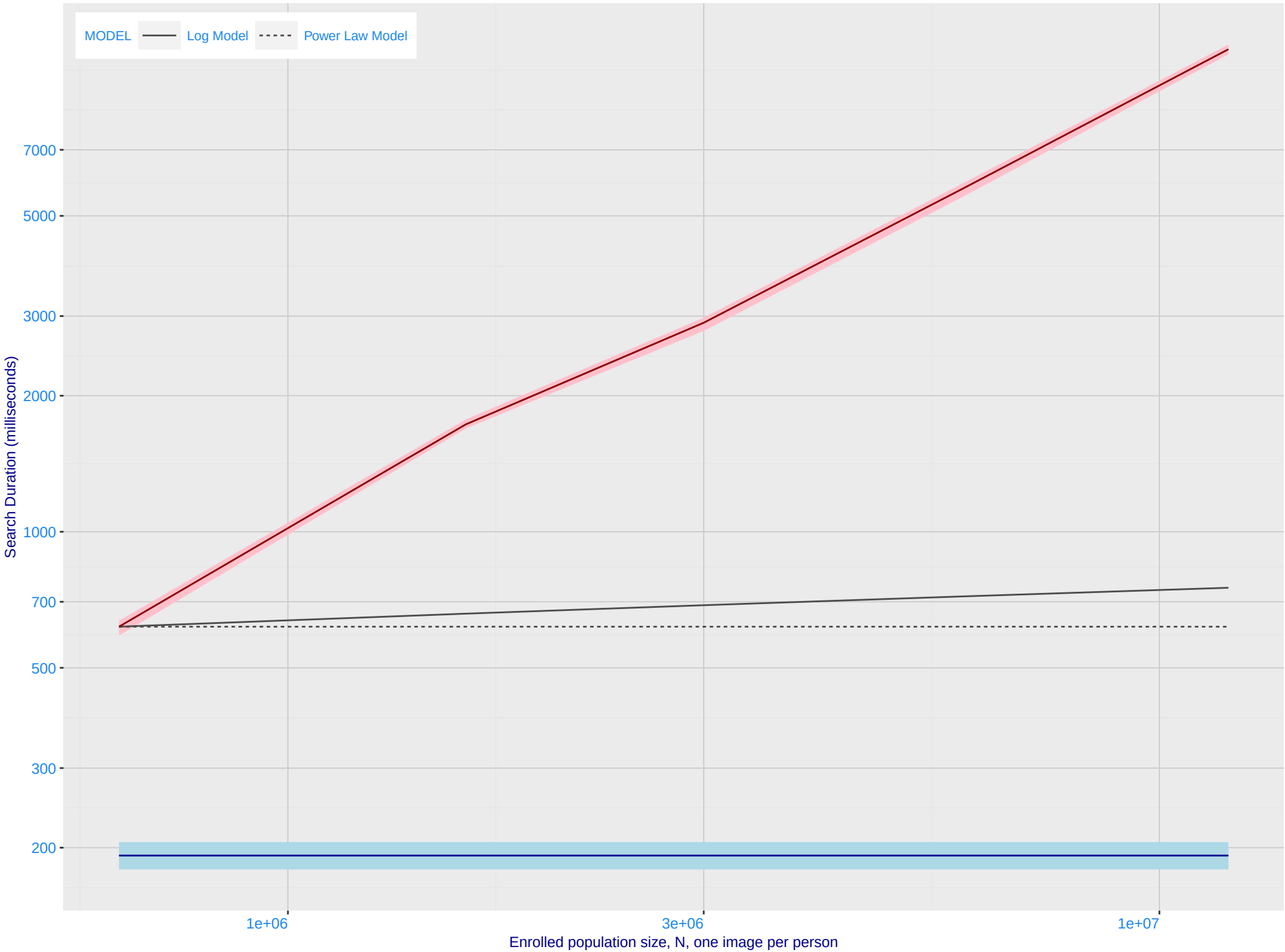

G: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
0.4
0.6
0.8
1.0
Threshold
Enrolled image:
random
N = 1600000
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
profile
Mugshot
webcam
enrolment_style
random
recent


H: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000


I: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005)
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
webcam
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e+06
3e+06
1e+07
Enrolled population size, N
FNIR@Rank = 1
cognitec_000
sensetime_005
enrolment_style
random
recent


J: Investigational mode: FNIR(1600000, R, 0) by probe type
cognitec_000
sensetime_005
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam

K: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations



M−A: FNIR(T, N = 1.6 million) by sex, age and time−lapse

Algorithm: cognitec_000, Dataset: Border−Crossing Ageing
Threshold: 0.867261 set to achieve FPIR(30−45, Male) = 0.001

Color encodes log(FNIR)

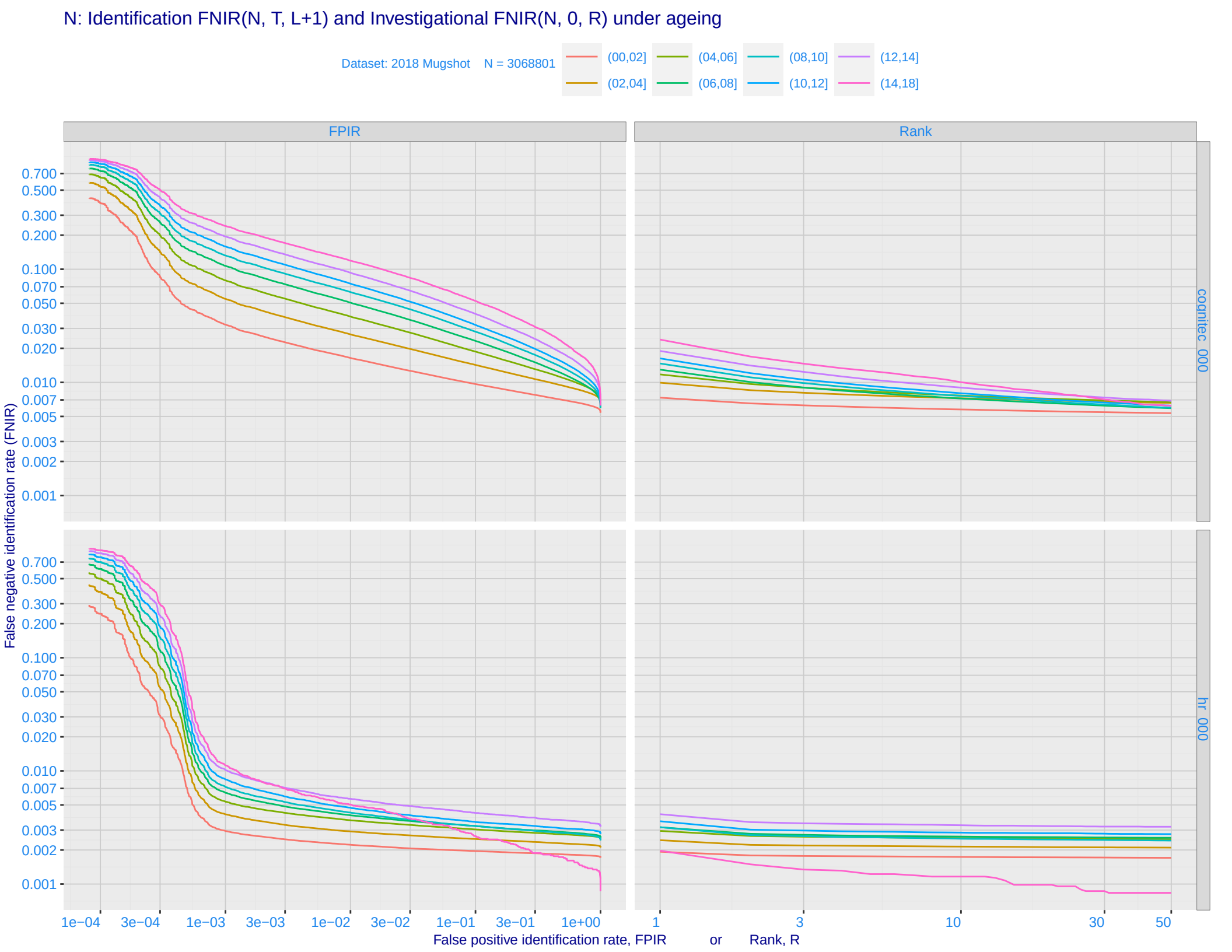
−3 −2 −1

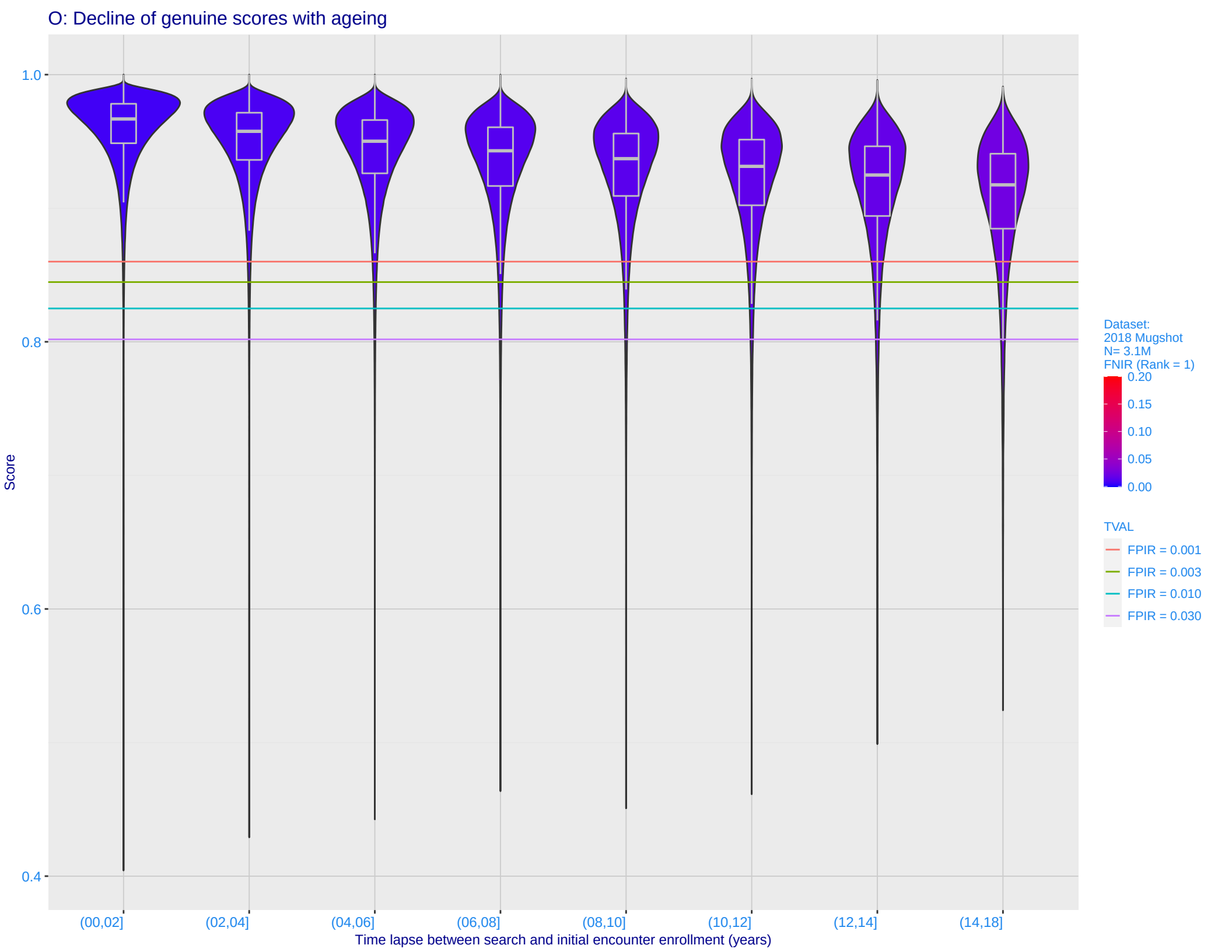
M−B: FPIR(T, N = 1.6 million) by sex and age

Algorithm: cognitec_000, Dataset: Border−Crossing Ageing
Threshold: 0.867261 set to achive FPIR(30−45, Male) = 0.001

Color encodes log(FPIR)

−4 −3 −2 −1


N: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing
Dataset: 2018 Mugshot N = 3068801
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
FPIR
Rank
cognitec 000
hr 000
False negative identification rate (FNIR)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
1
3
10
30
50
False positive identification rate, FPIR or Rank, R


O: Decline of genuine scores with ageing
1.0
0.8
0.6
0.4
Score
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
Time lapse between search and initial encounter enrollment (years)
Dataset:
2018 Mugshot
N= 3.1M
FNIR (Rank = 1)
0.20
0.15
0.10
0.05
0.00
TVAL
FPIR = 0.001
FPIR = 0.003
FPIR = 0.010
FPIR = 0.030