A: Datasheet

Algorithm: nec_1

Developer: NEC

Submission Date: 2018_06_29

Template size: 2592 bytes

Template time (2.5 percentile): 83 msec

Template time (median): 87 msec

Template time (97.5 percentile): 96 msec

Investigation:

Frontal mugshot ranking 180 (out of 279) −− FNIR(1600000, 0, 1) = 0.0209 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 162 (out of 241) −− FNIR(1600000, 0, 1) = 0.0560 vs. lowest 0.0062 from sensetime_005

Mugshot profile ranking 179 (out of 210) −− FNIR(1600000, 0, 1) = 0.9670 vs. lowest 0.0587 from xforwardai_002

Immigration visa−border ranking 100 (out of 168) −− FNIR(1600000, 0, 1) = 0.0325 vs. lowest 0.0013 from visionlabs_010

Immigration visa−kiosk ranking 108 (out of 165) −− FNIR(1600000, 0, 1) = 0.2767 vs. lowest 0.0568 from cloudwalk_hr_000

Identification:

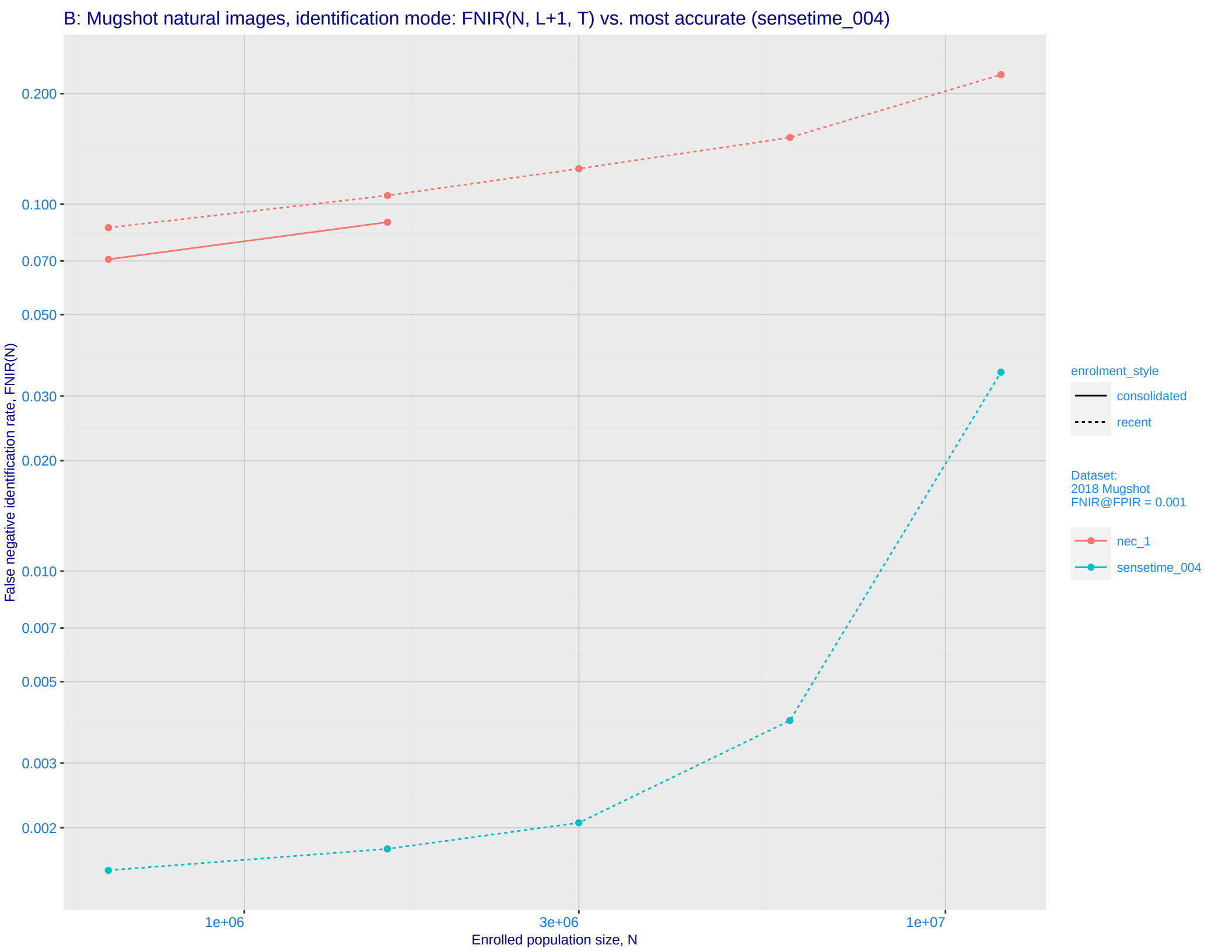
Frontal mugshot ranking 150 (out of 279) −− FNIR(1600000, T, L+1) = 0.1055, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

Mugshot webcam ranking 138 (out of 236) −− FNIR(1600000, T, L+1) = 0.1971, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

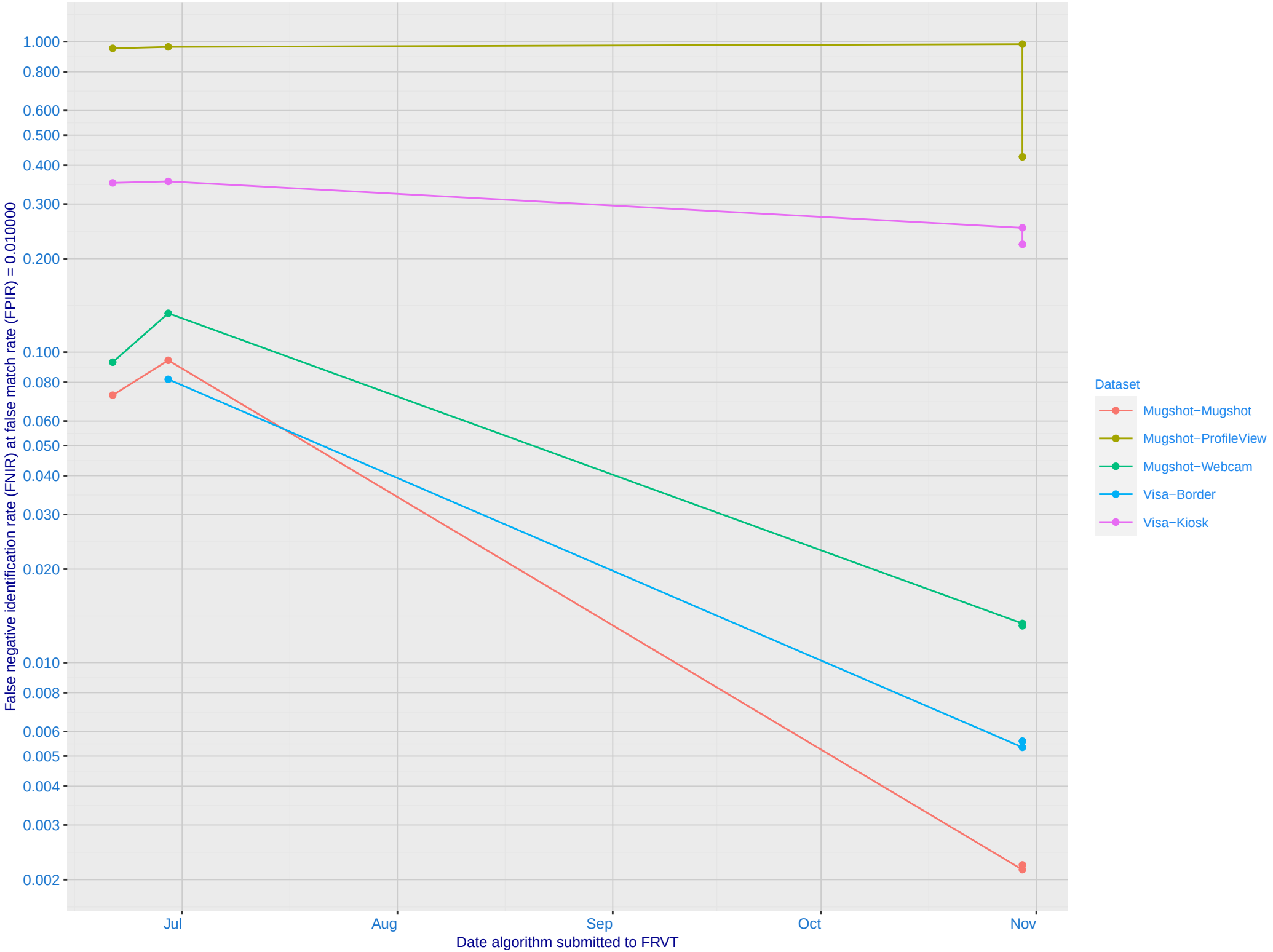
Mugshot profile ranking 76 (out of 209) −− FNIR(1600000, T, L+1) = 0.9860, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk_hr_000

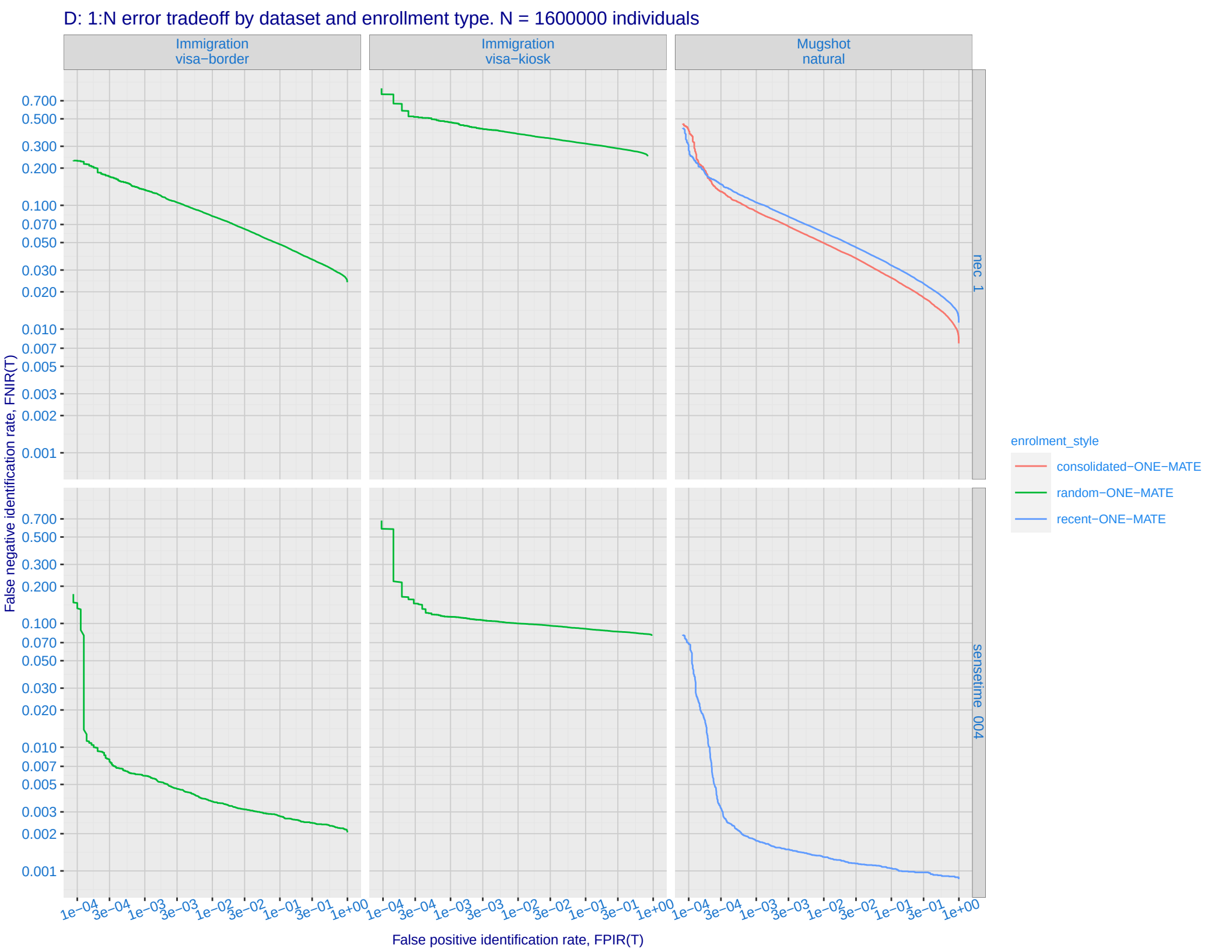
Immigration visa−border ranking 87 (out of 167) −− FNIR(1600000, T, L+1) = 0.1335, FPIR=0.001000 vs. lowest 0.0047 from idemia_008

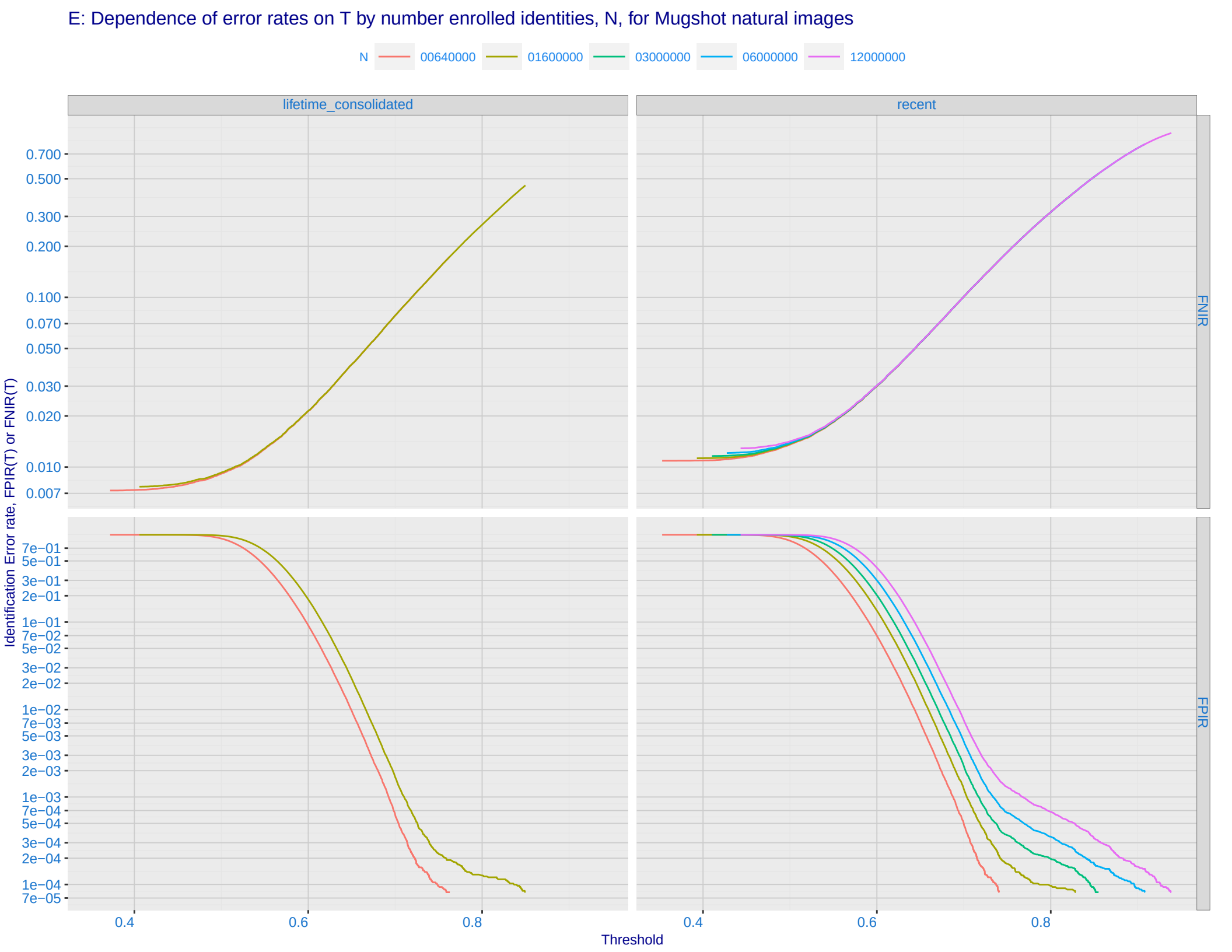
Immigration visa−kiosk ranking 70 (out of 162) −− FNIR(1600000, T, L+1) = 0.4685, FPIR=0.001000 vs. lowest 0.0996 from cloudwalk_hr_000

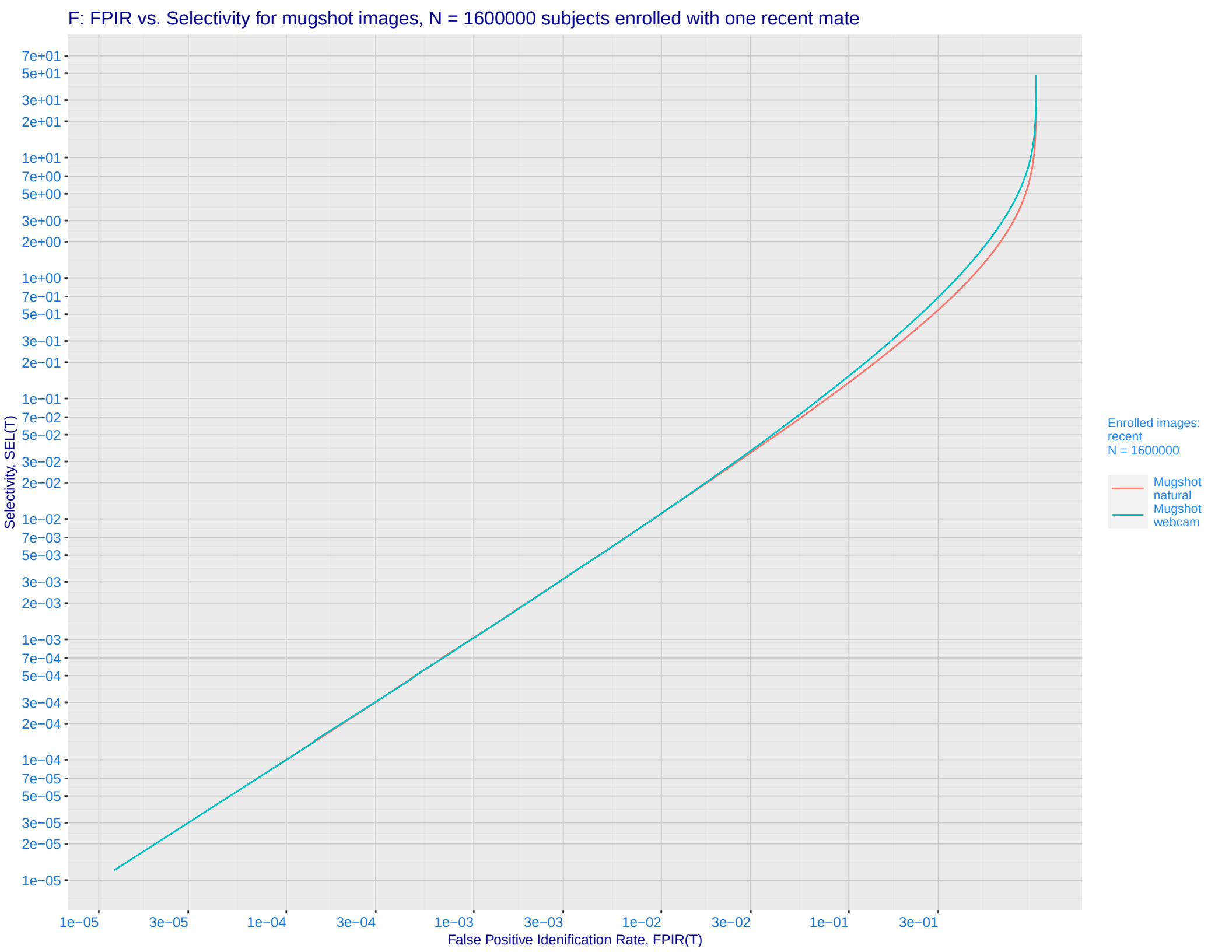

B: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
consolidated
recent
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
nec_1
sensetime_004

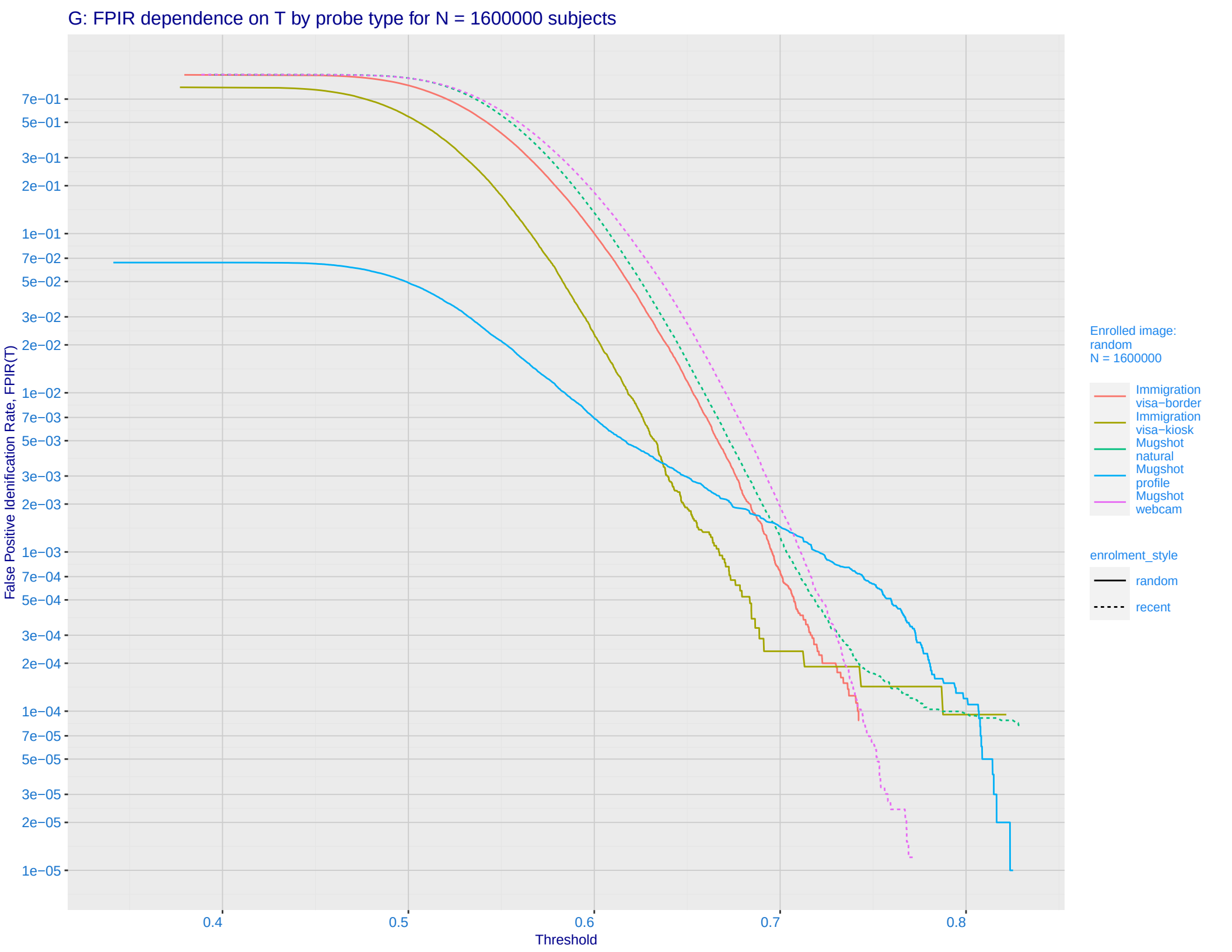
C: Evolution of accuracy for NEC algorithms on three datasets 2018 − present

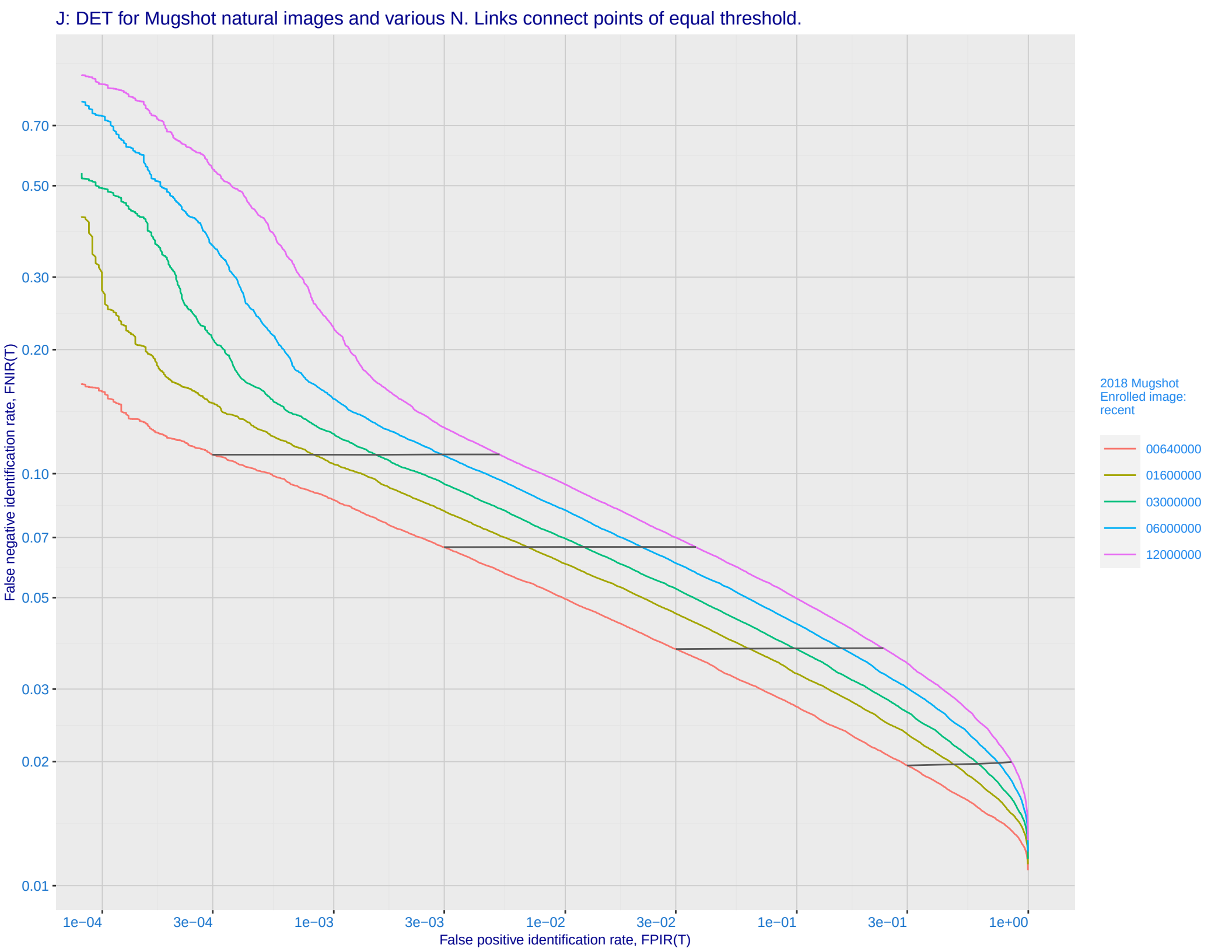


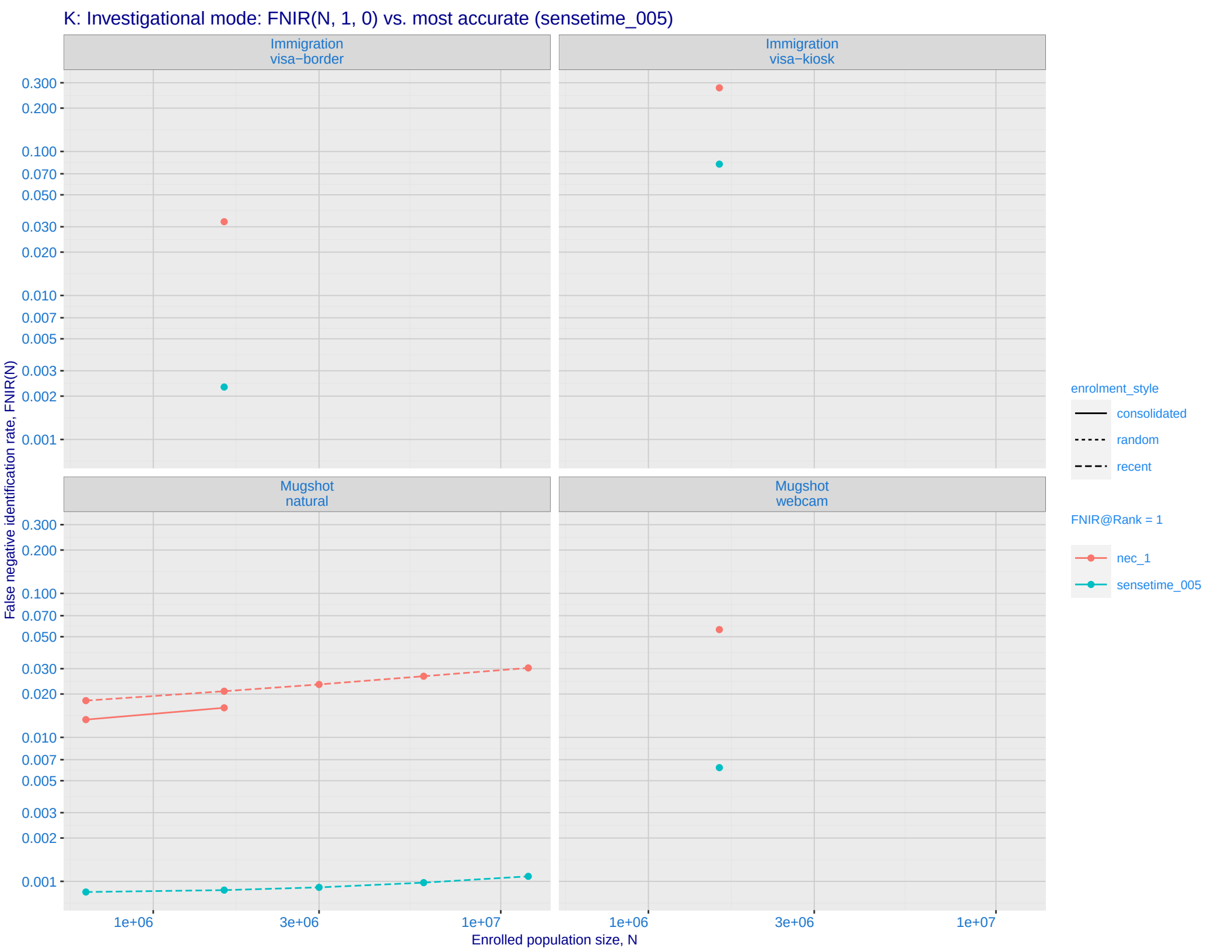

D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
nec_1
sensetime_004
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
consolidated−ONE−MATE
random−ONE−MATE
recent−ONE−MATE

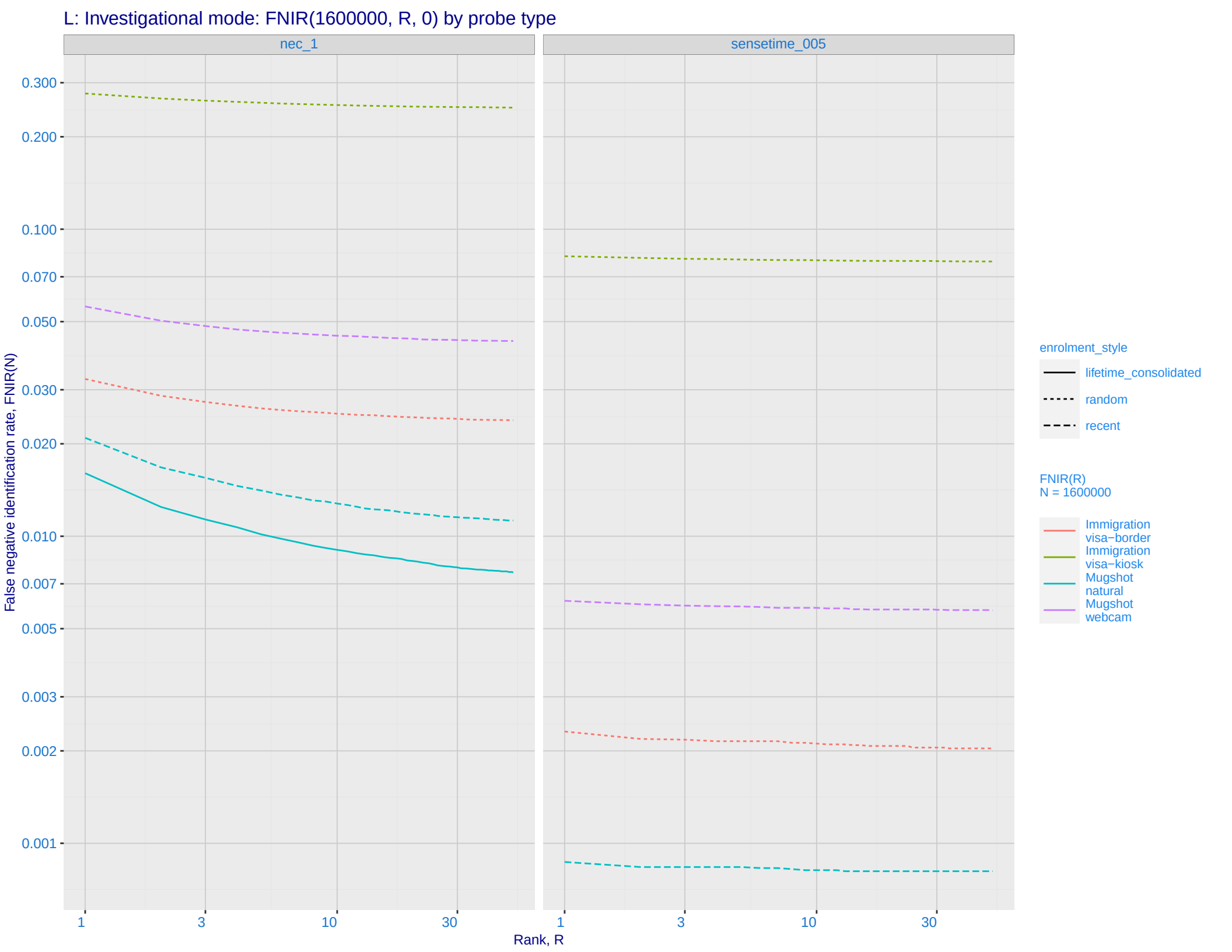

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
lifetime_consolidated
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
0.4
0.6
0.8
Threshold


F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
webcam

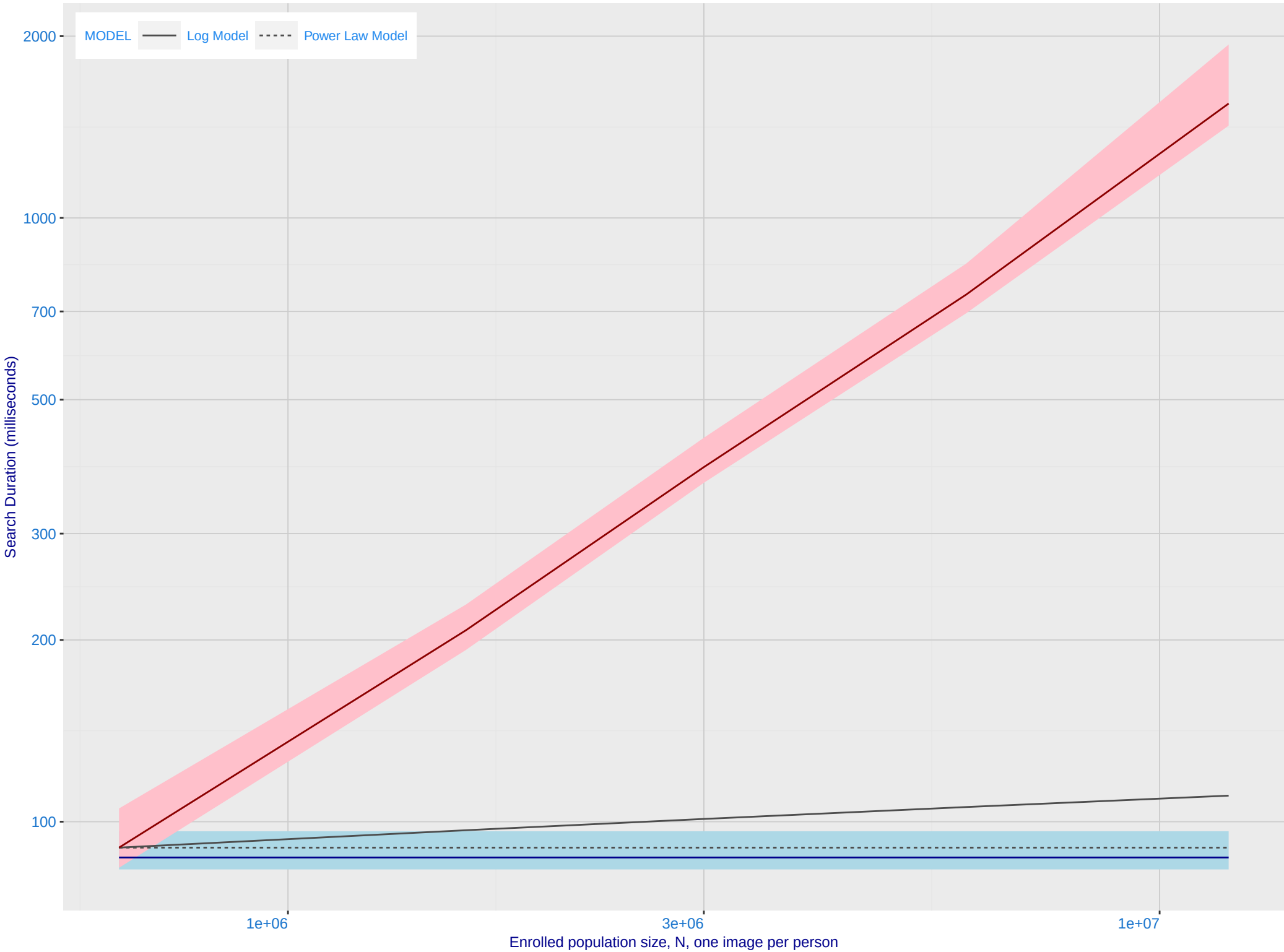

G: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
0.4
0.5
0.6
0.7
0.8
Threshold
Enrolled image:
random
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot profile
Mugshot webcam
enrolment_style
random
recent

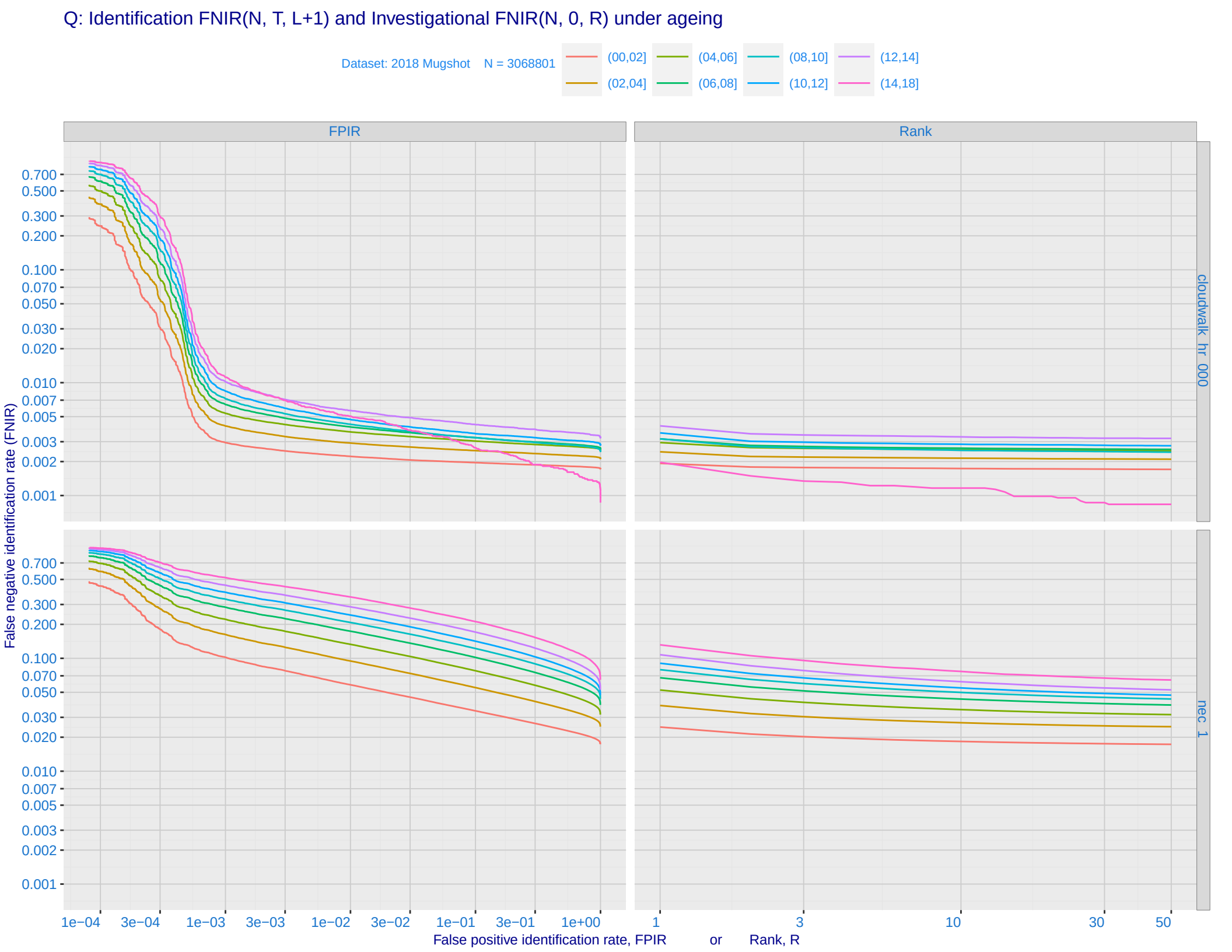

J: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.70
0.50
0.30
0.20
0.10
0.07
0.05
0.03
0.02
0.01
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000

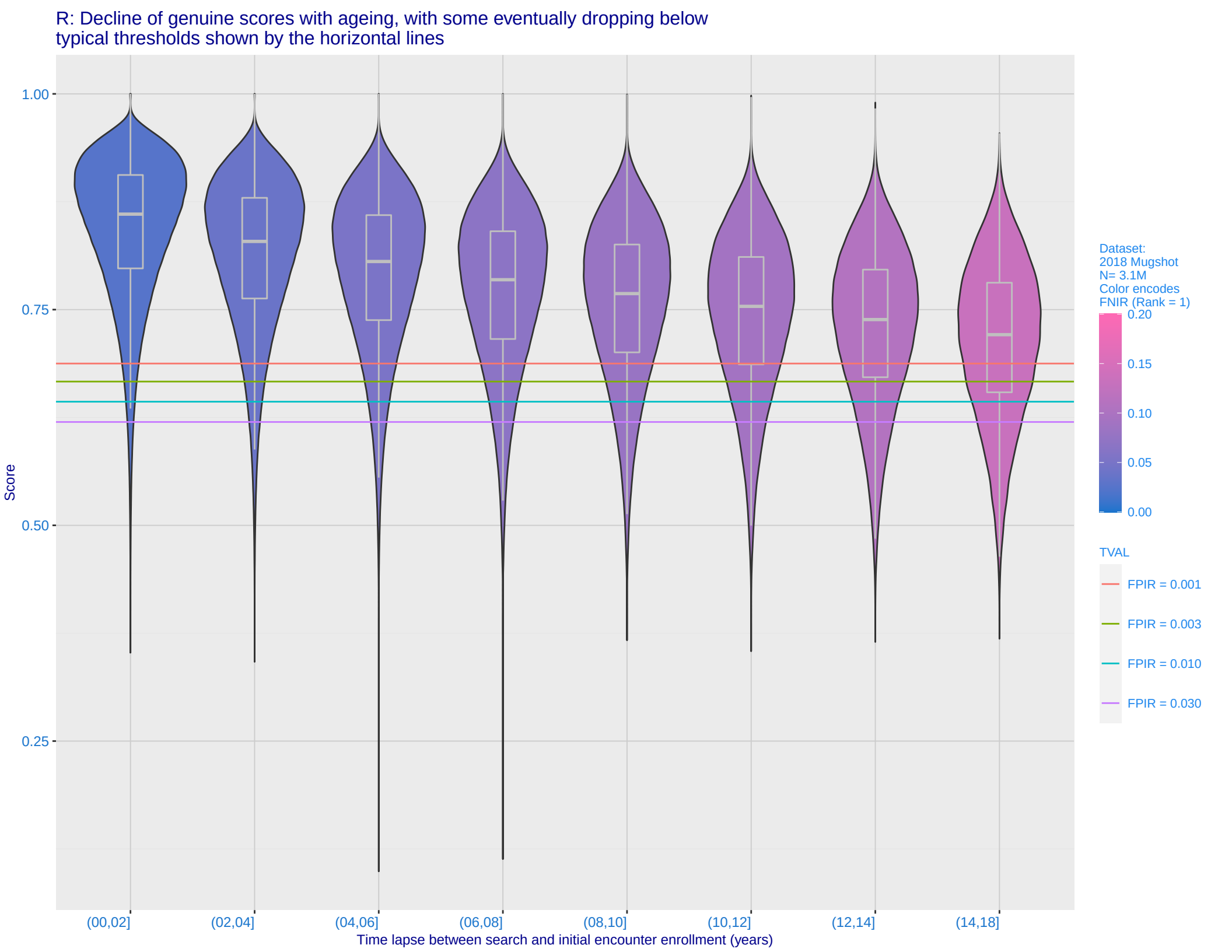

K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005)
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
webcam
False negative identification rate, FNIR(N)
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
consolidated
random
recent
FNIR@Rank = 1
nec_1
sensetime_005


L: Investigational mode: FNIR(1600000, R, 0) by probe type
nec_1
sensetime_005
False negative identification rate, FNIR(N)
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
lifetime_consolidated
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam

M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations




Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing
Dataset: 2018 Mugshot N = 3068801
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
FPIR
Rank
cloudwalk hr 000
nec 1
False negative identification rate (FNIR)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
1
3
10
30
50
False positive identification rate, FPIR or Rank, R


R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines
1.00
0.75
0.50
0.25
Score
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
Time lapse between search and initial encounter enrollment (years)
Dataset:
2018 Mugshot
N= 3.1M
Color encodes
FNIR (Rank = 1)
0.20
0.15
0.10
0.05
0.00
TVAL
FPIR = 0.001
FPIR = 0.003
FPIR = 0.010
FPIR = 0.030