## A: Datasheet

Algorithm: hik\_5

Developer: Hikvision Research Institute

Submission Date: 2018\_10\_29

Template size: 1408 bytes

Template time (2.5 percentile): 607 msec

Template time (median): 608 msec

Template time (97.5 percentile): 657 msec

Investigation:

Frontal mugshot ranking 78 (out of 265) — FNIR(1600000, 0, 1) = 0.0046 vs. lowest 0.0009 from sensetime\_005

Mugshot webcam ranking 53 (out of 227) -- FNIR(1600000, 0, 1) = 0.0165 vs. lowest 0.0062 from sensetime\_005

Mugshot profile ranking 54 (out of 196) -- FNIR(1600000, 0, 1) = 0.5351 vs. lowest 0.0591 from sensetime\_005

Immigration visa-border ranking 49 (out of 148) -- FNIR(1600000, 0, 1) = 0.0069 vs. lowest 0.0013 from visionlabs\_010

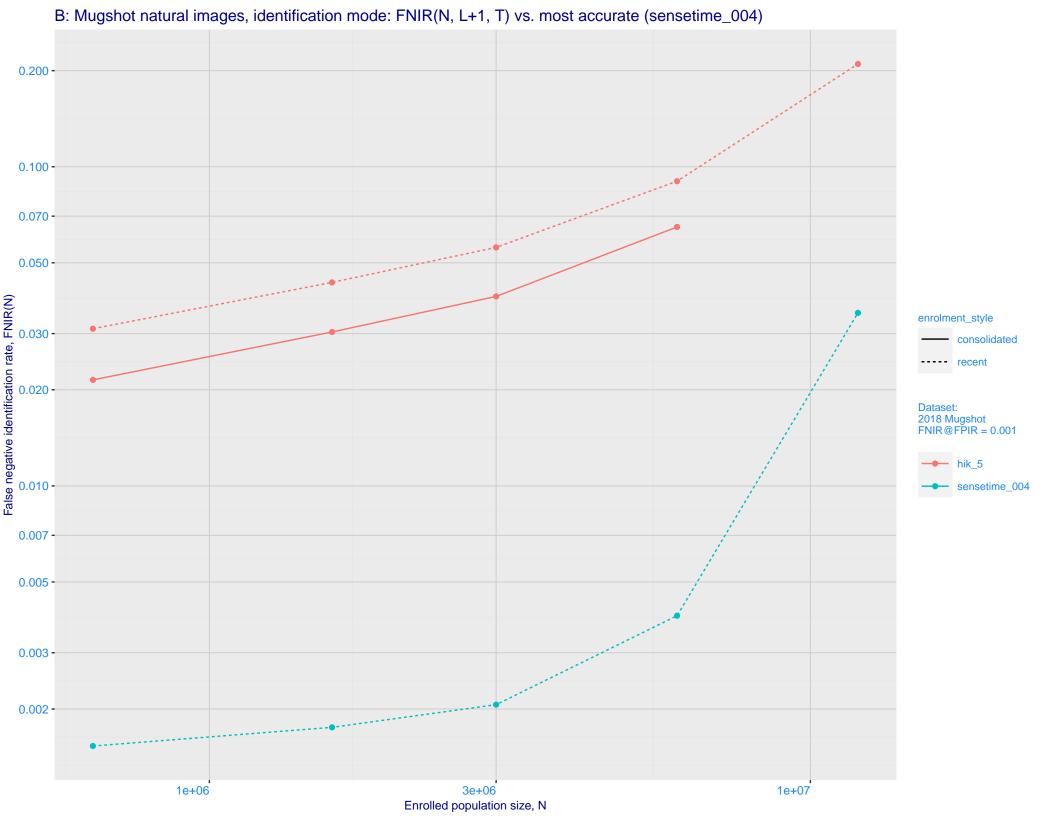
Immigration visa-kiosk ranking 38 (out of 145) -- FNIR(1600000, 0, 1) = 0.1109 vs. lowest 0.0568 from hr\_000

Identification:

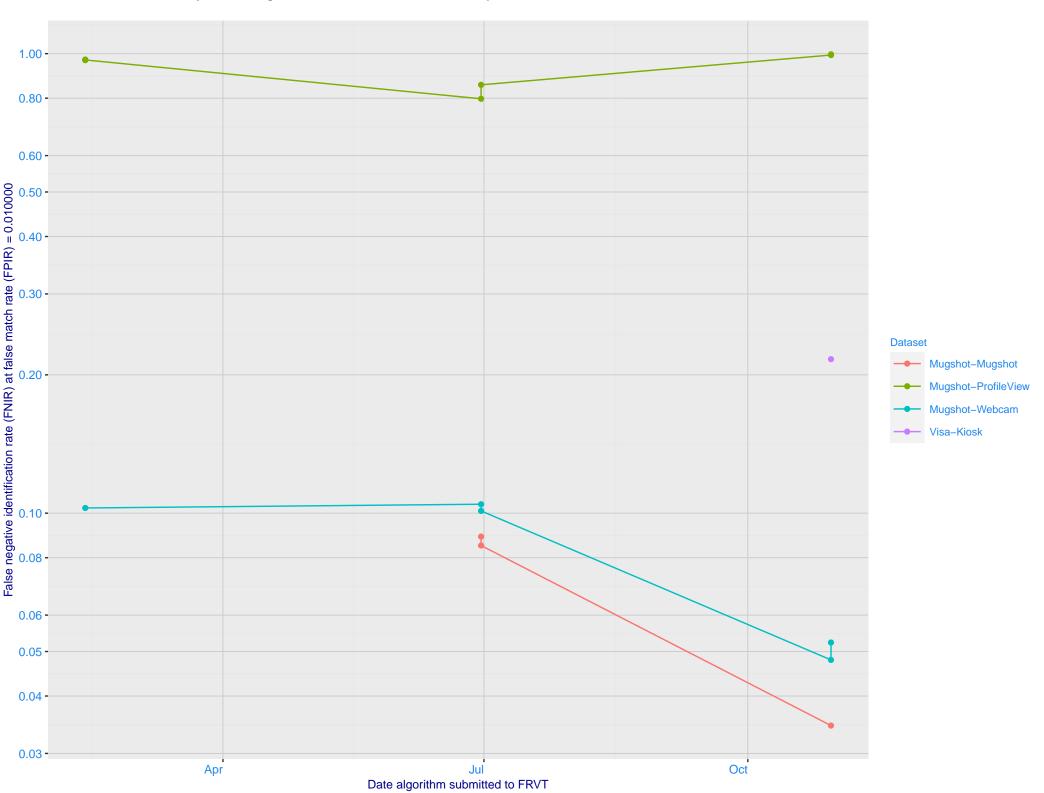
Frontal mugshot ranking 67 (out of 265) -- FNIR(1600000, T, L+1) = 0.0434, FPIR=0.001000 vs. lowest 0.0018 from sensetime\_004

Mugshot webcam ranking 44 (out of 225) -- FNIR(1600000, T, L+1) = 0.0766, FPIR=0.001000 vs. lowest 0.0122 from sensetime\_003

Mugshot profile ranking 146 (out of 195) -- FNIR(1600000, T, L+1) = 0.9994, FPIR=0.001000 vs. lowest 0.1331 from hr\_000

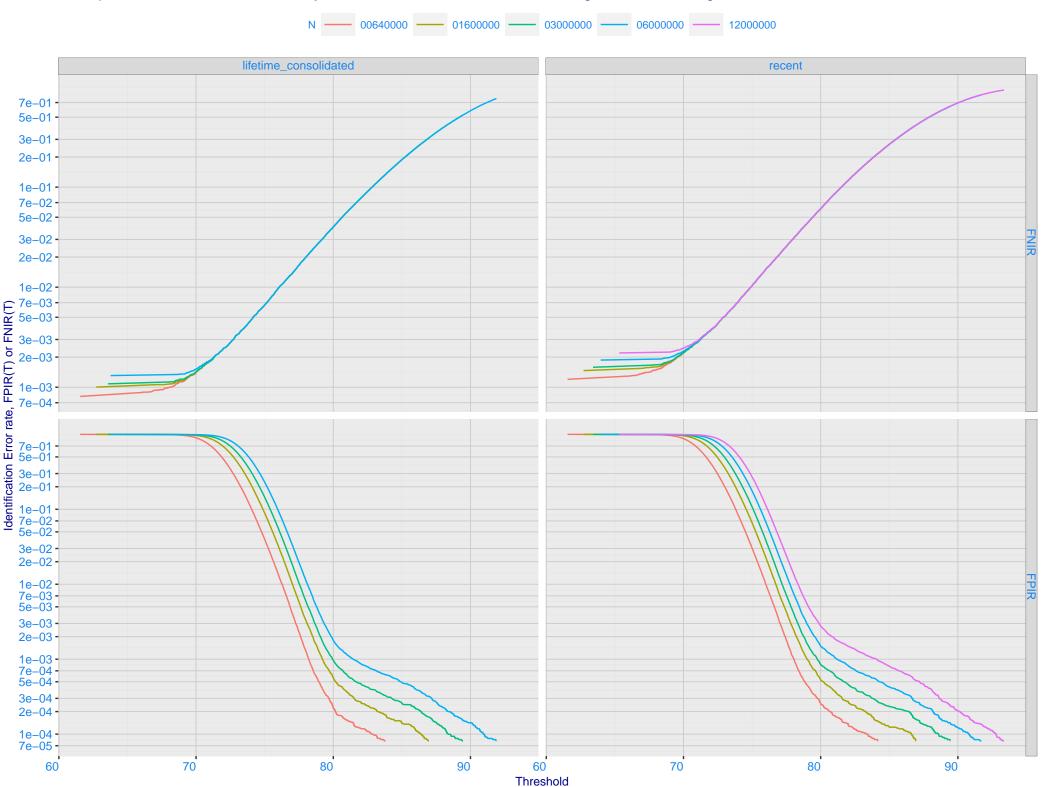


C: Evolution of accuracy for HIK algorithms on three datasets 2018 - present

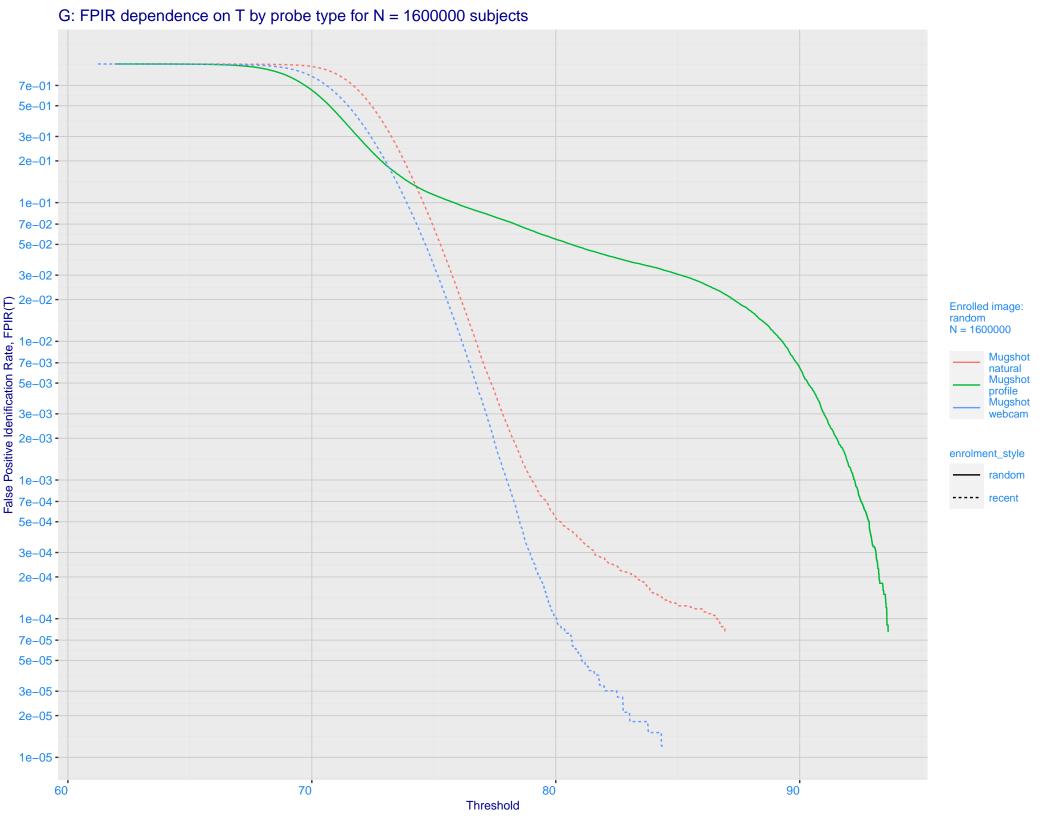


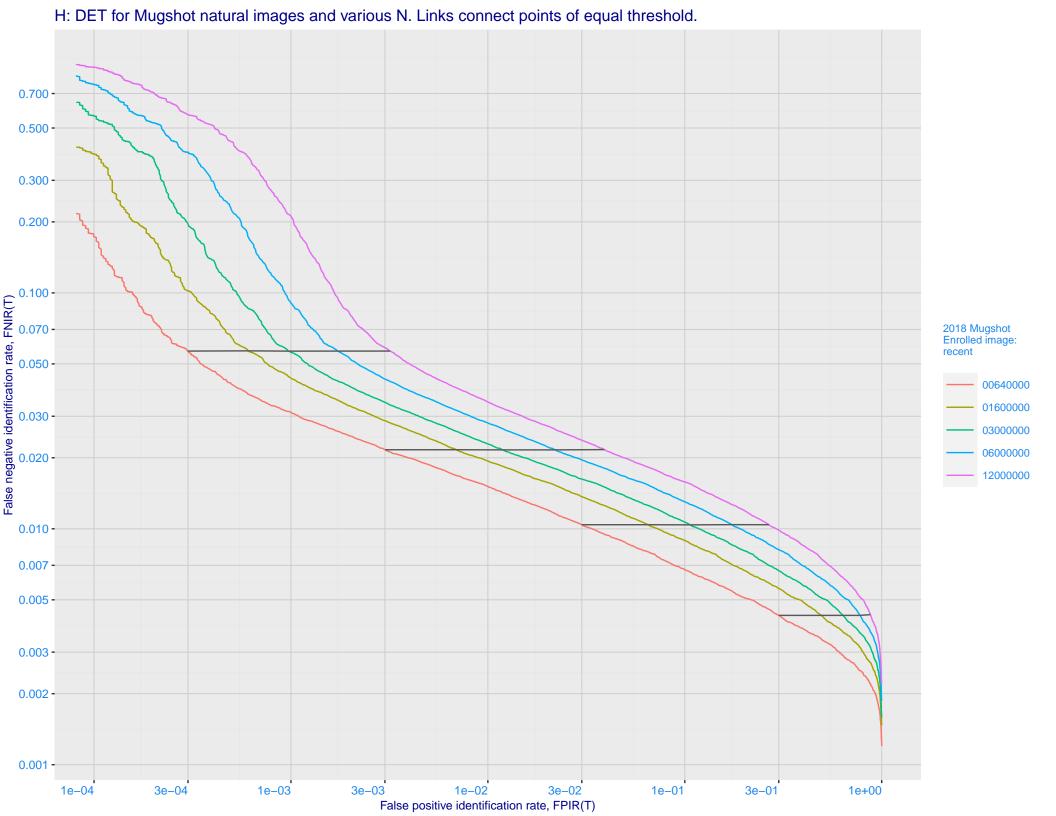
D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals **Immigration** Mugshot **Immigration** visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -Ealse negative identification rate, FNIR(T) 0.000 - 0.000 - 0.500 - 0.200 - 0. enrolment\_style consolidated-ONE-MATE random-ONE-MATE recent-ONE-MATE unconsolidated-ALL-MATES unconsolidated-ANY-MATE 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

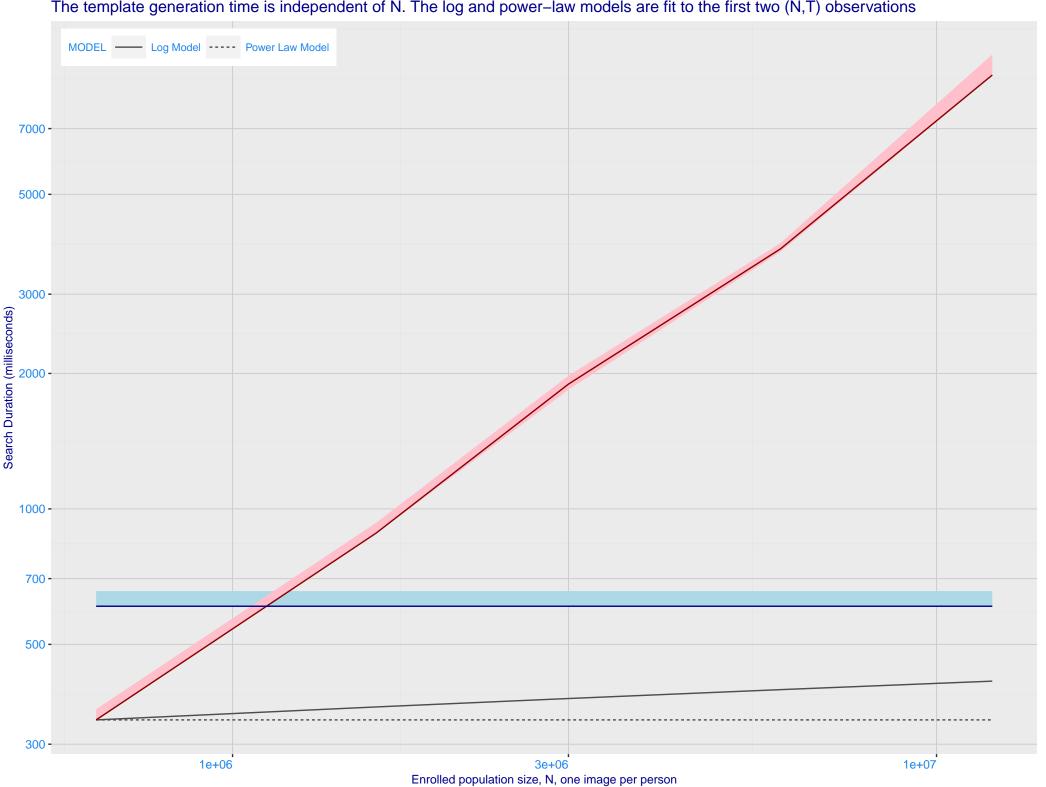


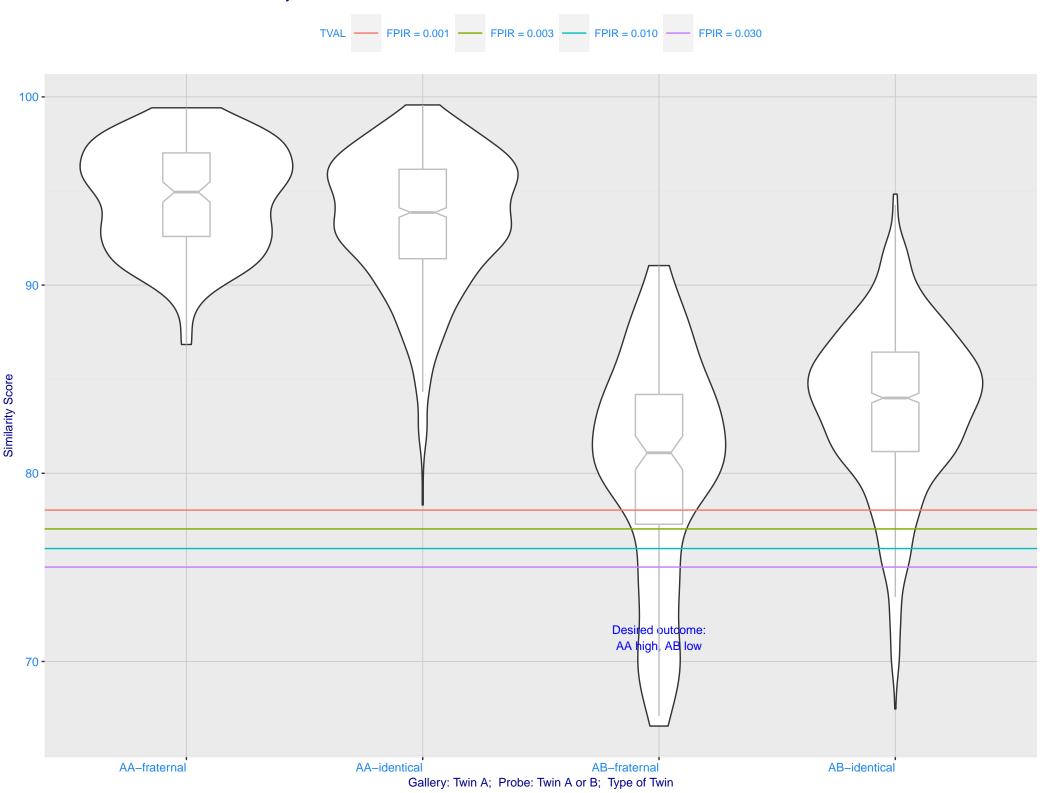


I: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_005) Immigration **Immigration** visa-border visa-kiosk 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 - 0.002 - 0.001 - 0.001 - 0.000 - 0.000 - 0.050 enrolment\_style consolidated ---- random --- recent Mugshot webcam Mugshot natural FNIR@Rank = 1 -- hik\_5 sensetime\_005 0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N

J: Investigational mode: FNIR(1600000, R, 0) by probe type hik\_5 sensetime\_005 0.100 -0.070 -0.050 -0.030 enrolment\_style Ealse negative identification rate, FNIR(N) - 0.000 - 0.0007 - 0.0005 - 0.0 lifetime\_consolidated ---- random --- recent FNIR(R) N = 1600000 Immigration visa-border Immigration visa-kiosk Mugshot natural Mugshot webcam 0.003 -0.002 -0.001 -10 30 3 10 30 Rank, R

Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations





M: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing



