A: Datasheet

Algorithm: hik_3

Developer: Hikvision Research Institute

Submission Date: 2018_06_30

Template size: 1408 bytes

Template time (2.5 percentile): 623 msec

Template time (median): 627 msec

Template time (97.5 percentile): 677 msec

Investigation:

Frontal mugshot ranking 133 (out of 259) −− FNIR(1600000, 0, 1) = 0.0117 vs. lowest 0.0009 from sensetime_005

Mugshot webcam ranking 101 (out of 221) −− FNIR(1600000, 0, 1) = 0.0273 vs. lowest 0.0062 from sensetime_005

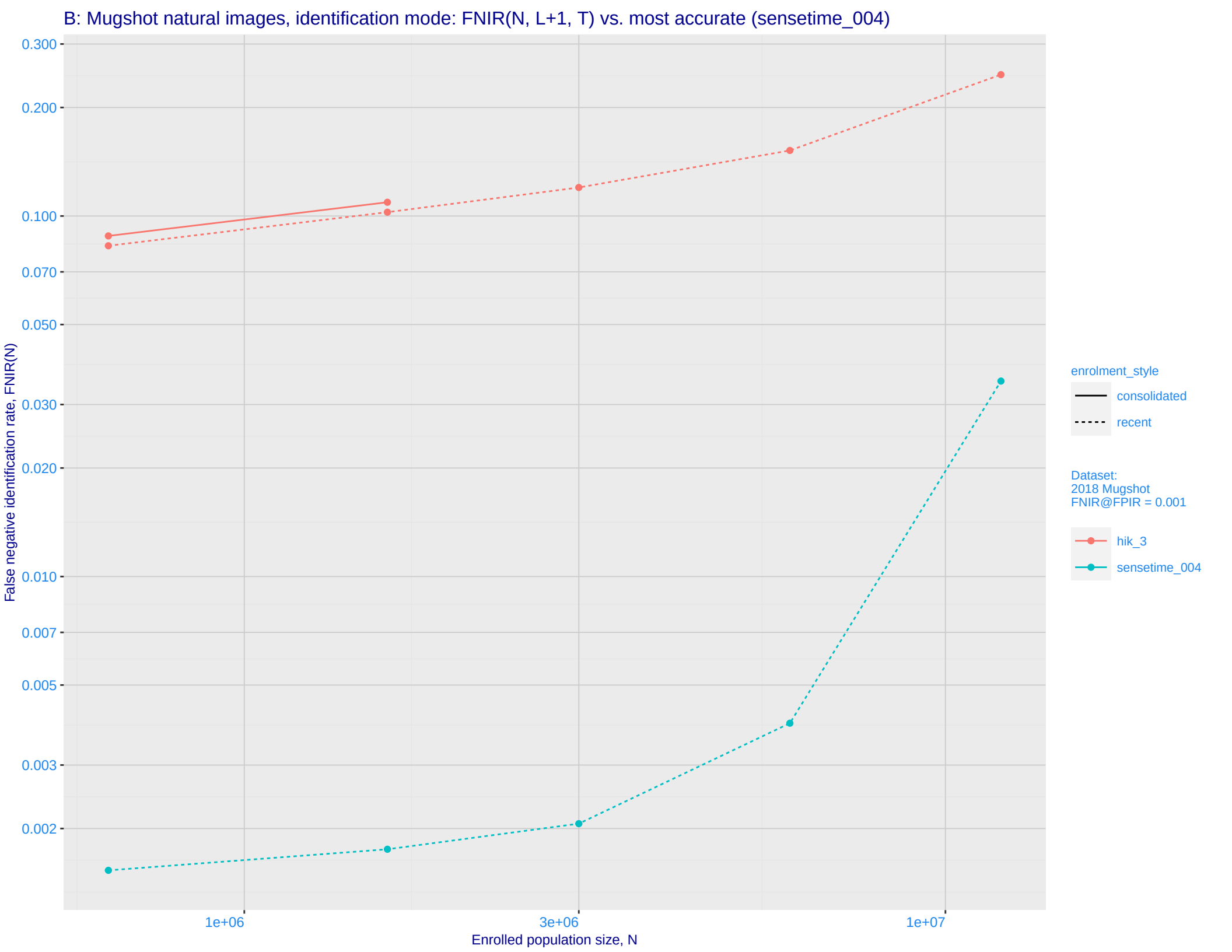
Mugshot profile ranking 69 (out of 190) −− FNIR(1600000, 0, 1) = 0.6891 vs. lowest 0.0591 from sensetime_005

Identification:

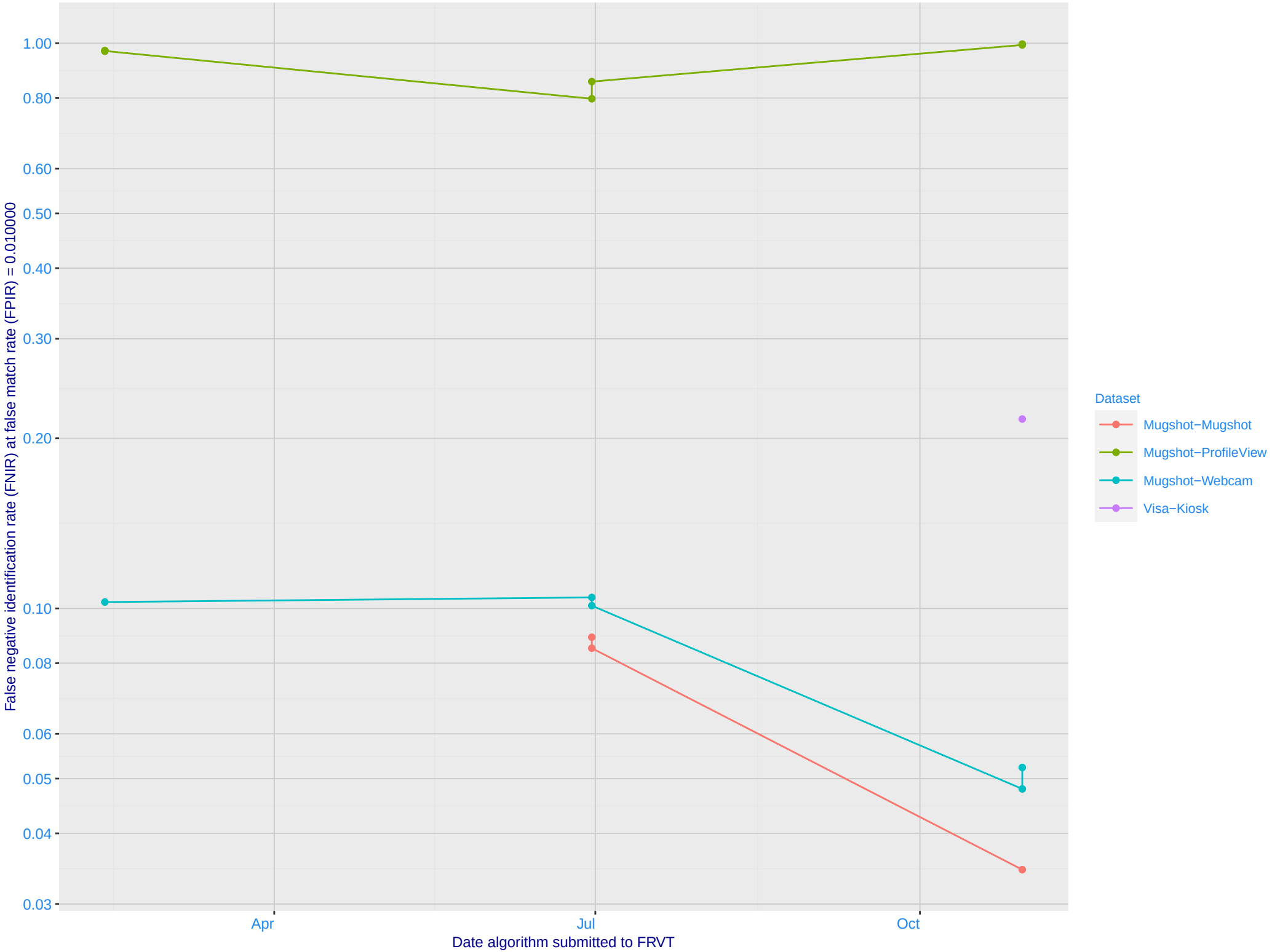
Frontal mugshot ranking 130 (out of 259) −− FNIR(1600000, T, L+1) = 0.1025, FPIR=0.001000 vs. lowest 0.0018 from sensetime_004

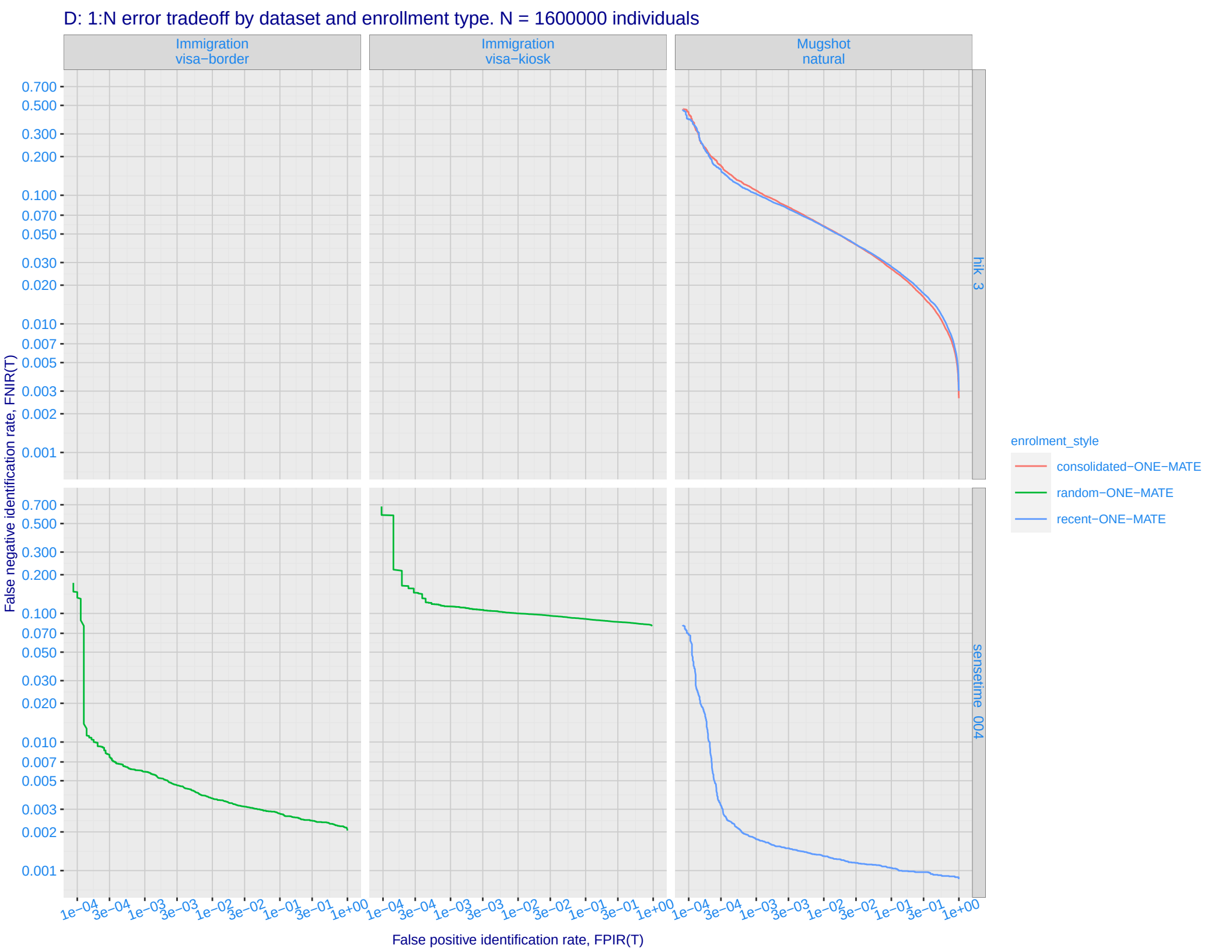
Mugshot webcam ranking 104 (out of 219) −− FNIR(1600000, T, L+1) = 0.1576, FPIR=0.001000 vs. lowest 0.0122 from sensetime_003

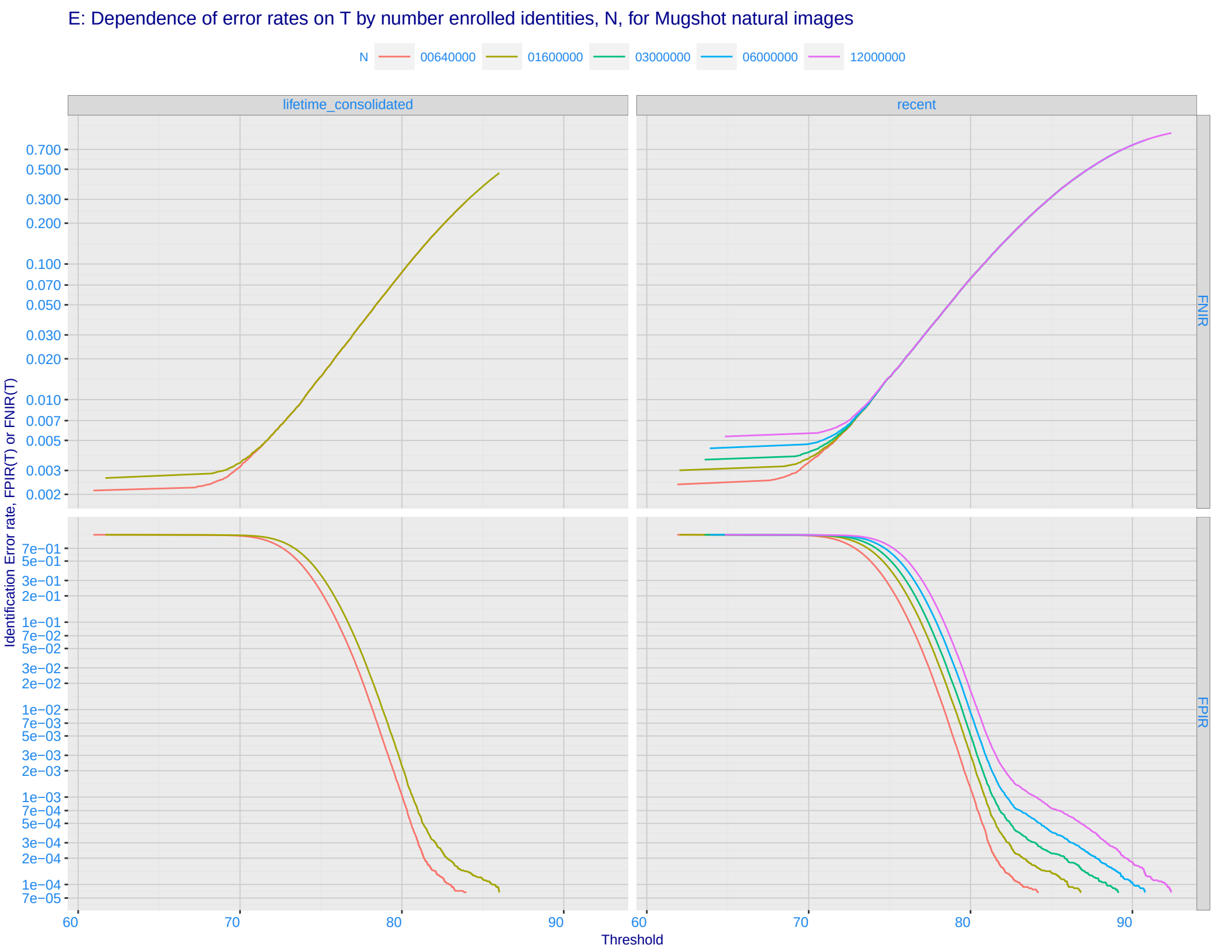
Mugshot profile ranking 39 (out of 189) −− FNIR(1600000, T, L+1) = 0.9686, FPIR=0.001000 vs. lowest 0.1733 from sensetime_005

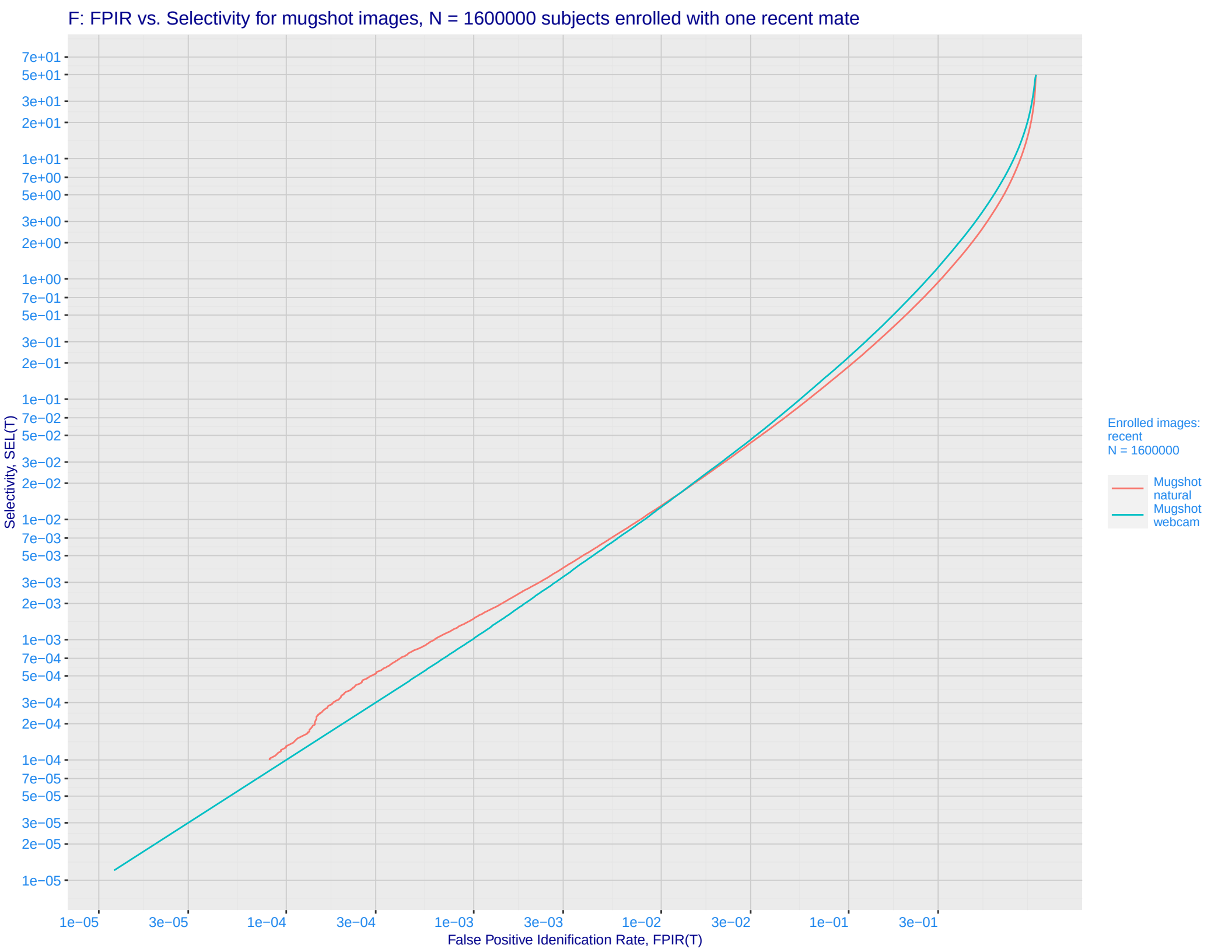

B: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
consolidated
recent
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
hik_3
sensetime_004

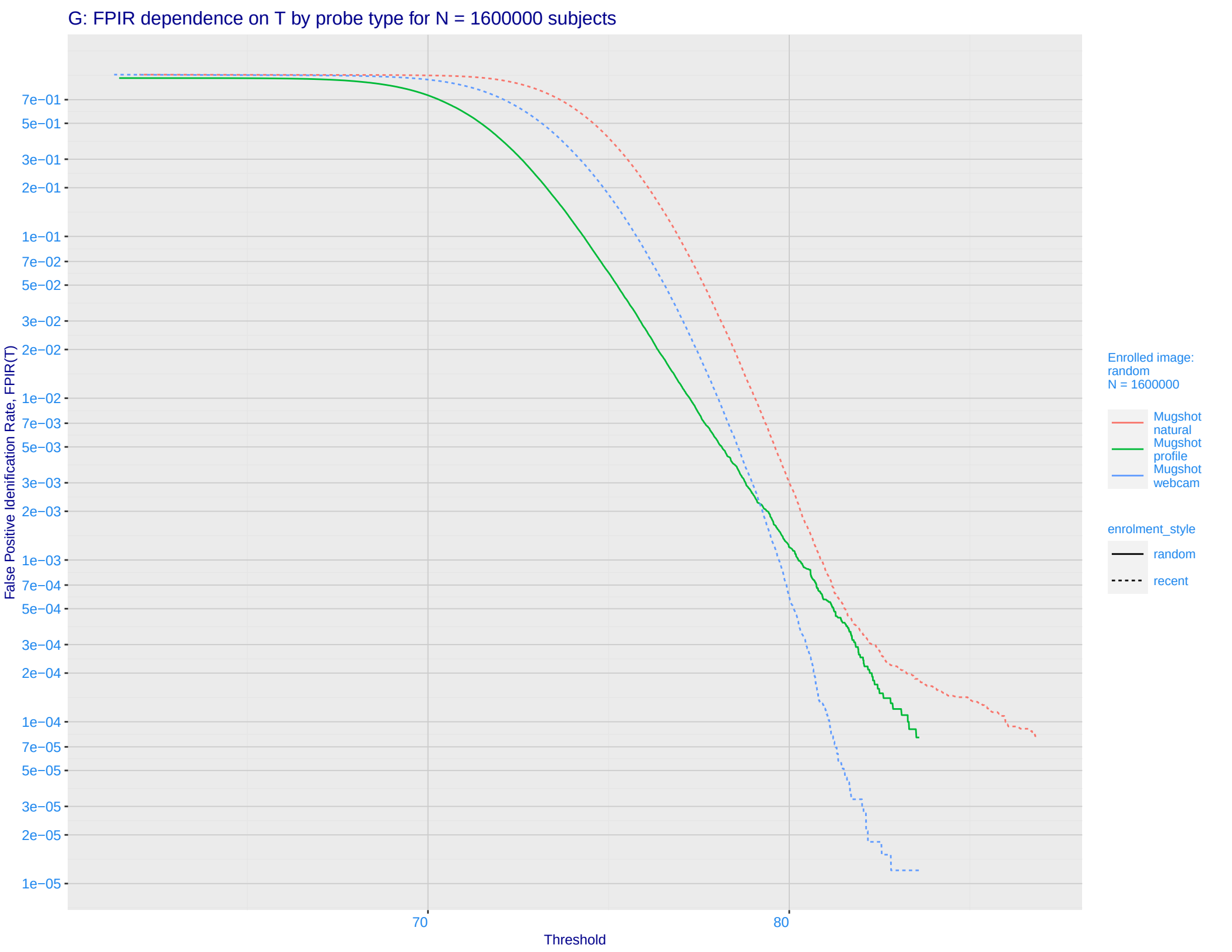
C: Evolution of accuracy for HIK algorithms on three datasets 2018 − present

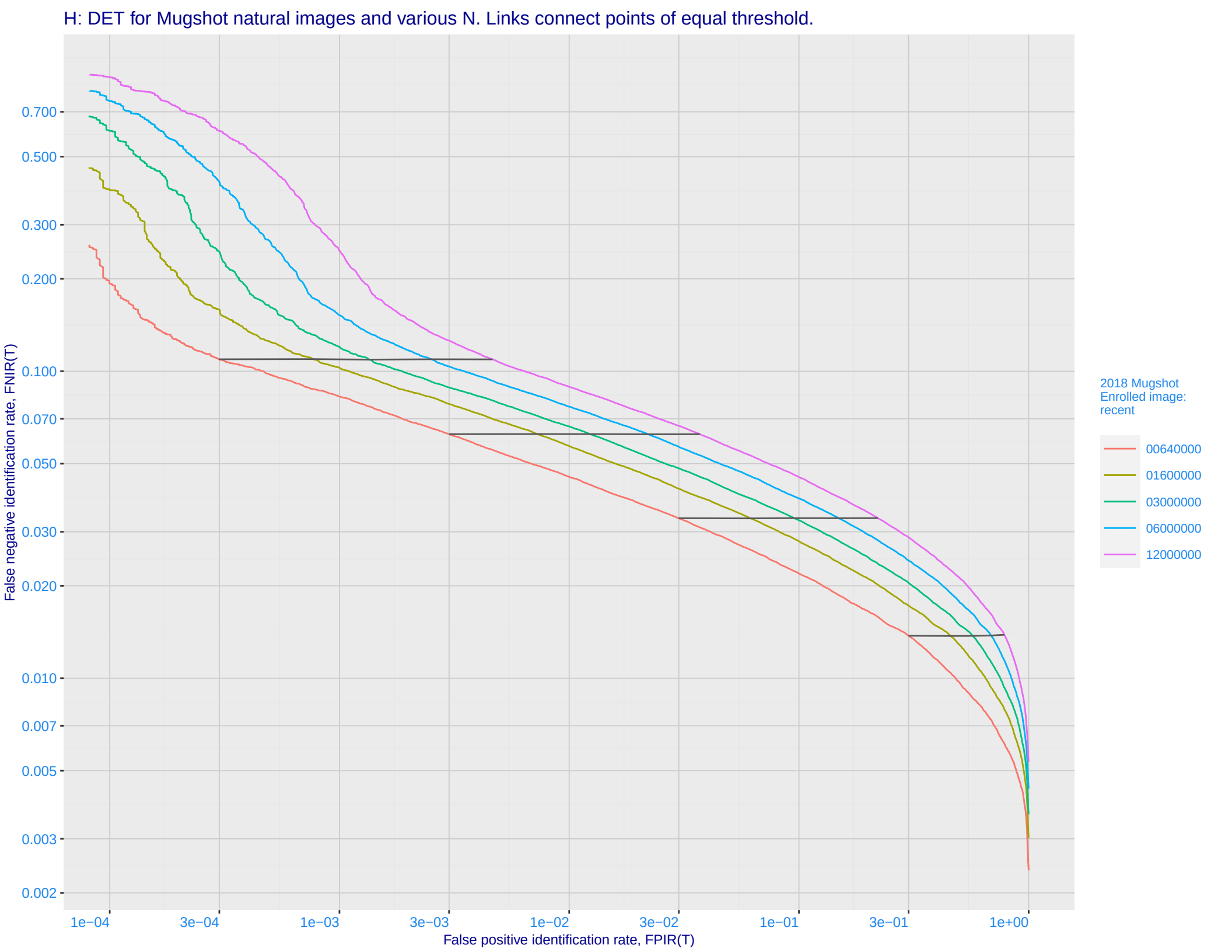


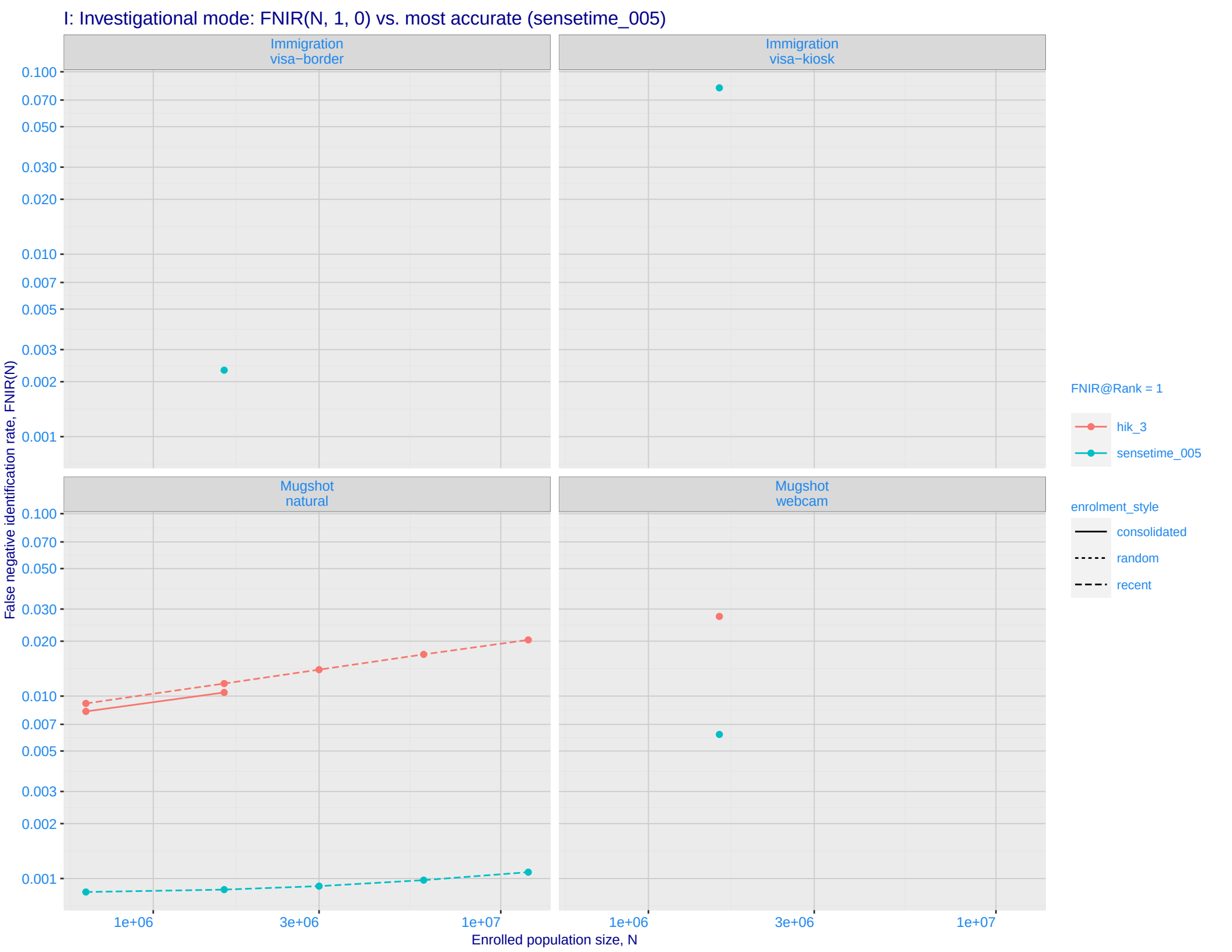

D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
hik 3
sensetime 004
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
consolidated−ONE−MATE
random−ONE−MATE
recent−ONE−MATE

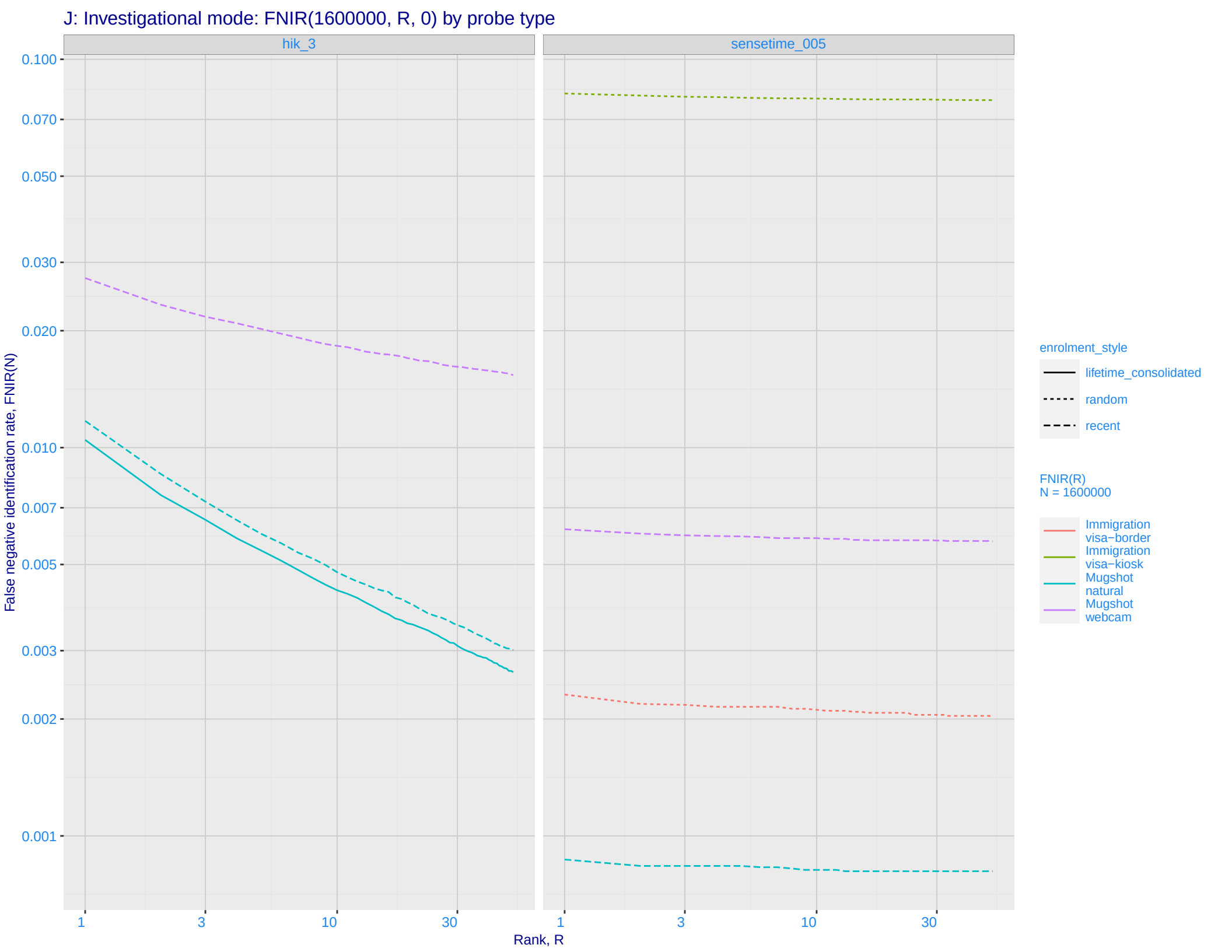

E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
lifetime_consolidated
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
60
70
80
90
Threshold


F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
webcam

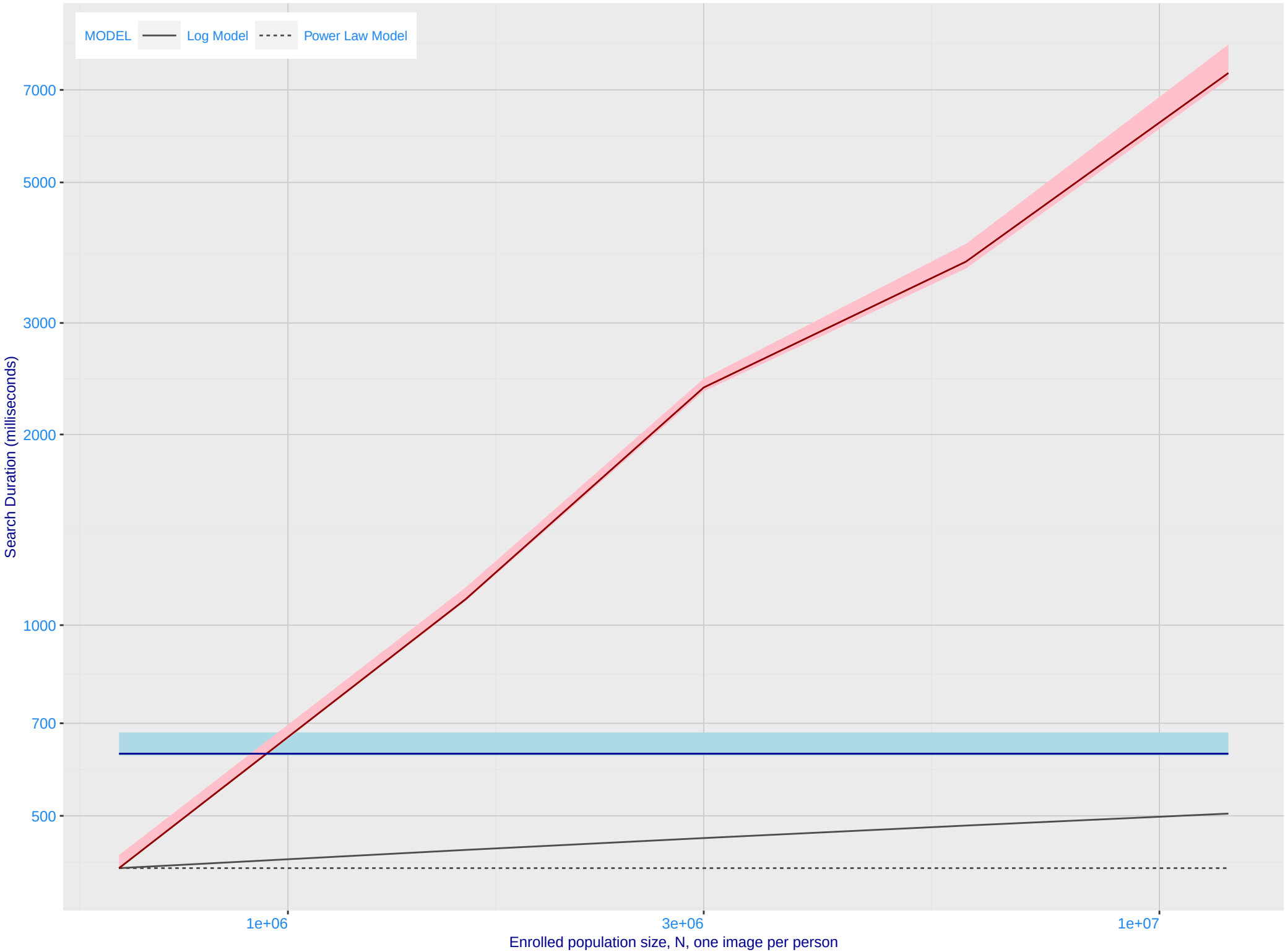

G: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
70
80
Threshold
Enrolled image:
random
N = 1600000
Mugshot
natural
Mugshot
profile
Mugshot
webcam
enrolment_style
random
recent

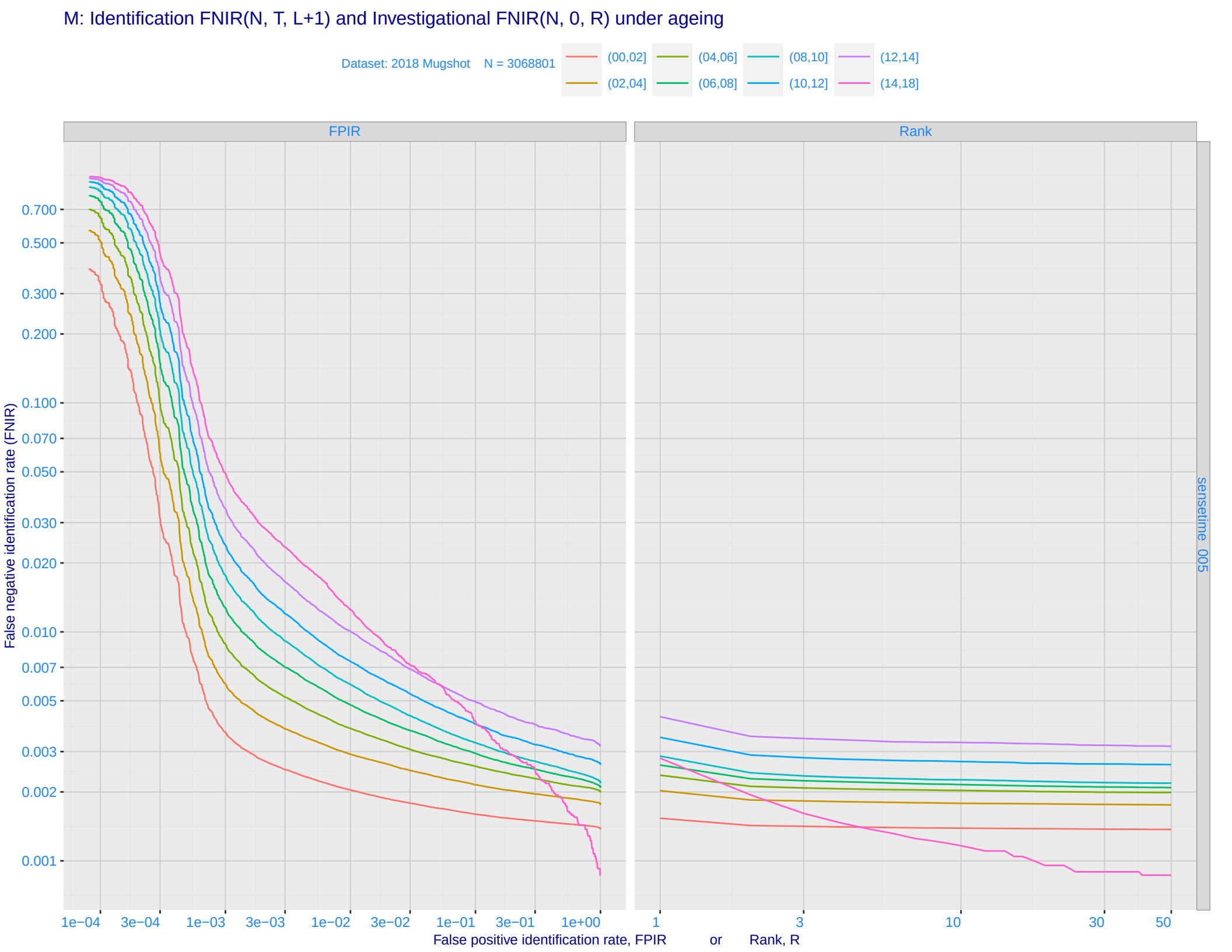

H: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000


I: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime_005)
Immigration
visa−border
Immigration
visa−kiosk
Mugshot
natural
Mugshot
webcam
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
False negative identification rate, FNIR(N)
1e+06
3e+06
1e+07
Enrolled population size, N
FNIR@Rank = 1
hik_3
sensetime_005
enrolment_style
consolidated
random
recent


J: Investigational mode: FNIR(1600000, R, 0) by probe type
hik_3
sensetime_005
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
lifetime_consolidated
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam

Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations




M: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing
Dataset: 2018 Mugshot N = 3068801
(00,02]
(02,04]
(04,06]
(06,08]
(08,10]
(10,12]
(12,14]
(14,18]
FPIR
Rank
False negative identification rate (FNIR)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
1
3
10
30
50
False positive identification rate, FPIR or Rank, R
sensetime 005