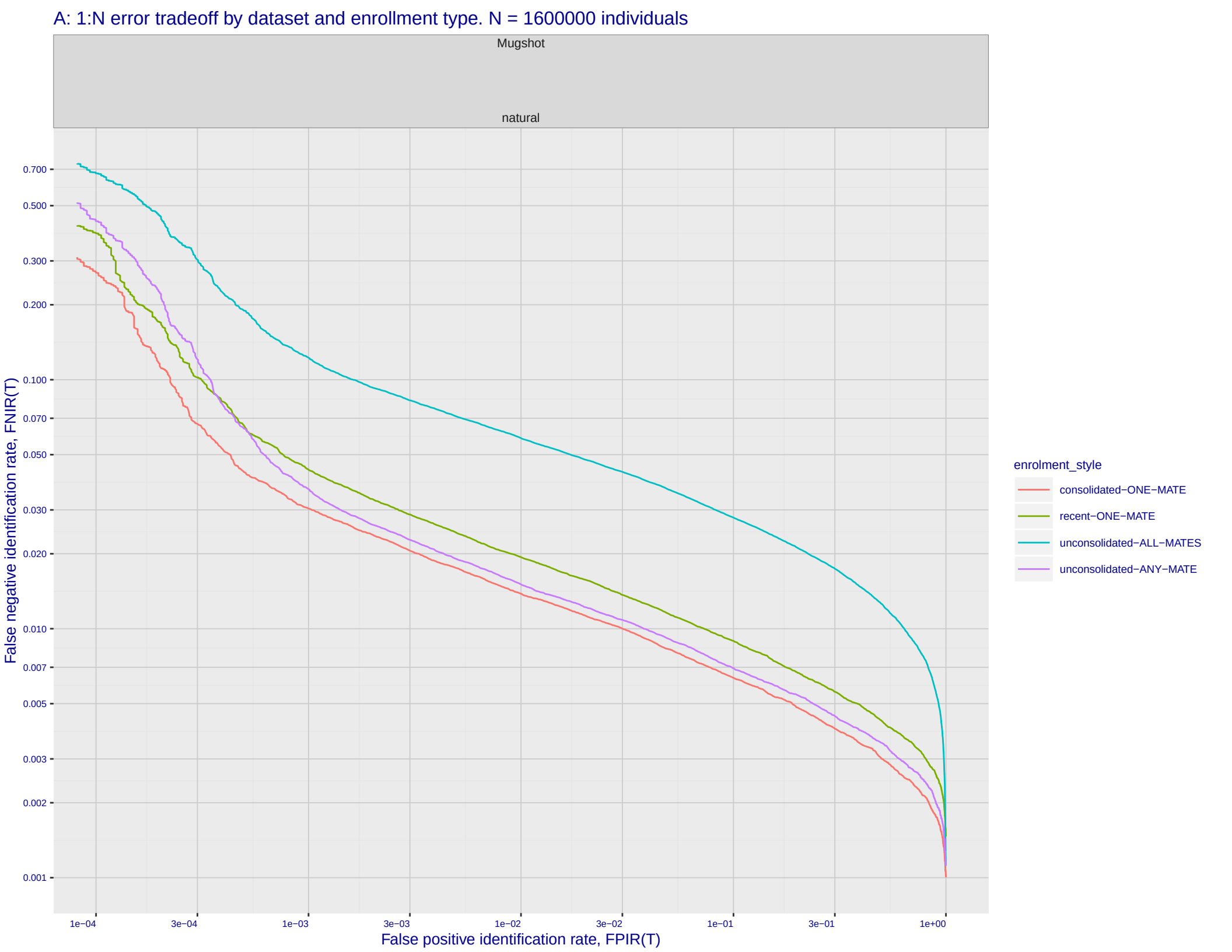
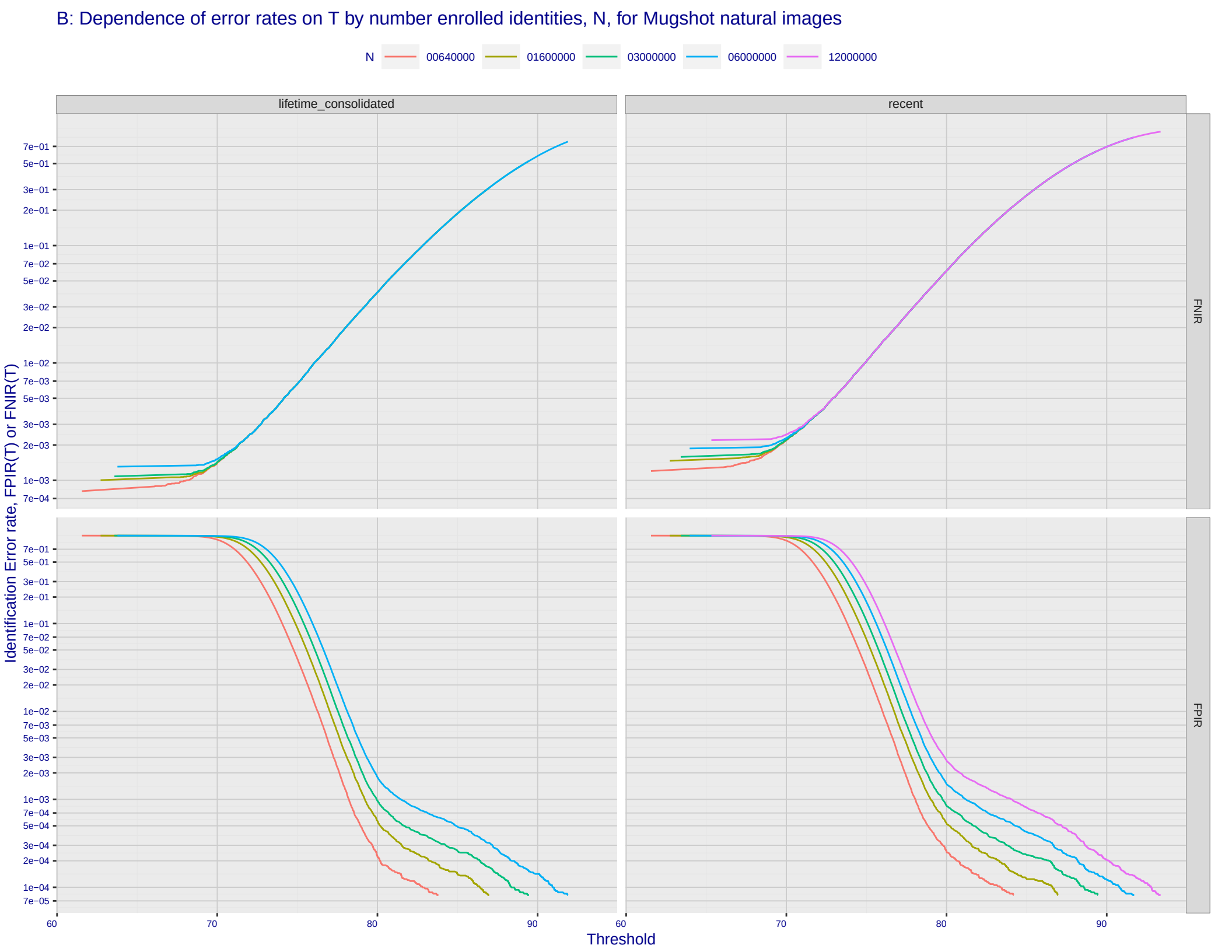
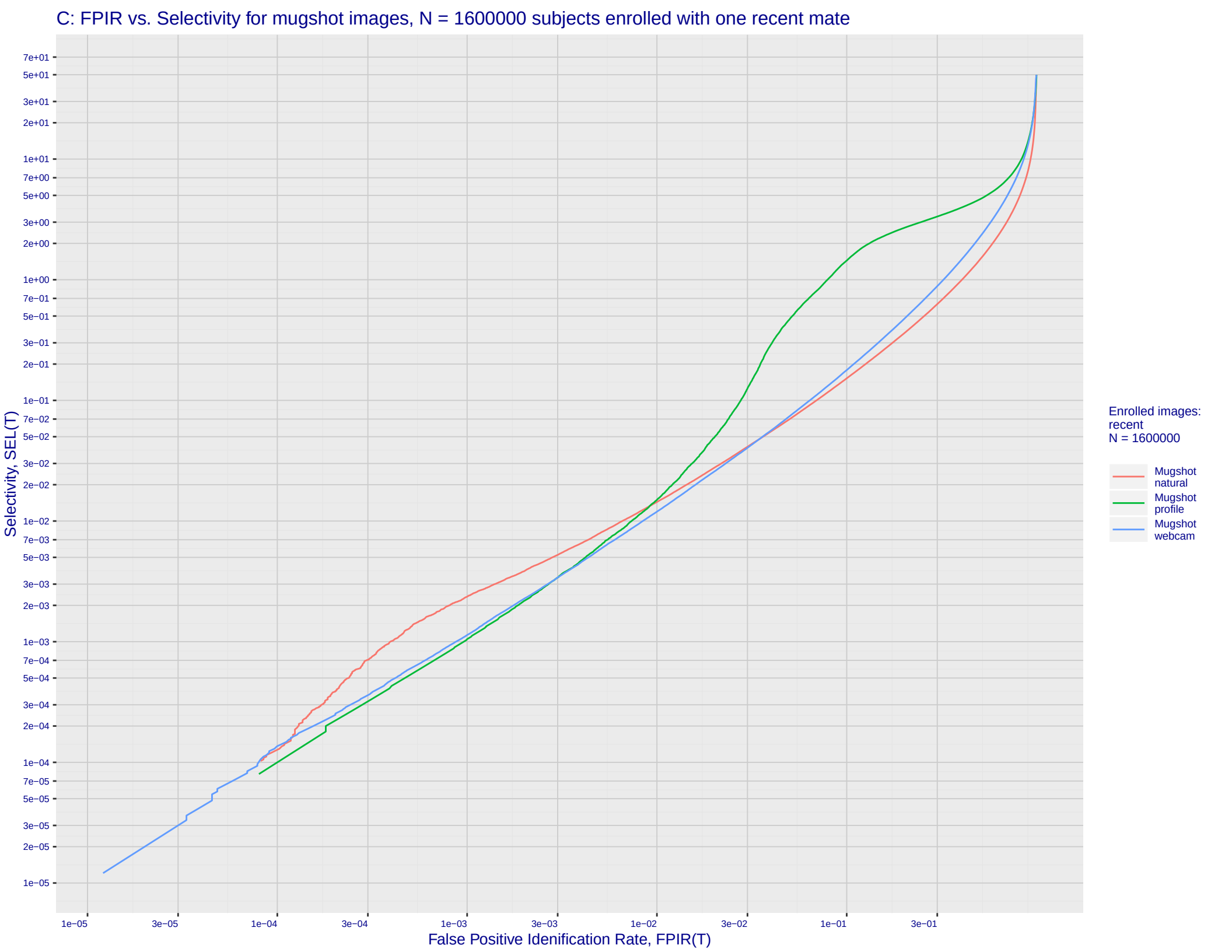
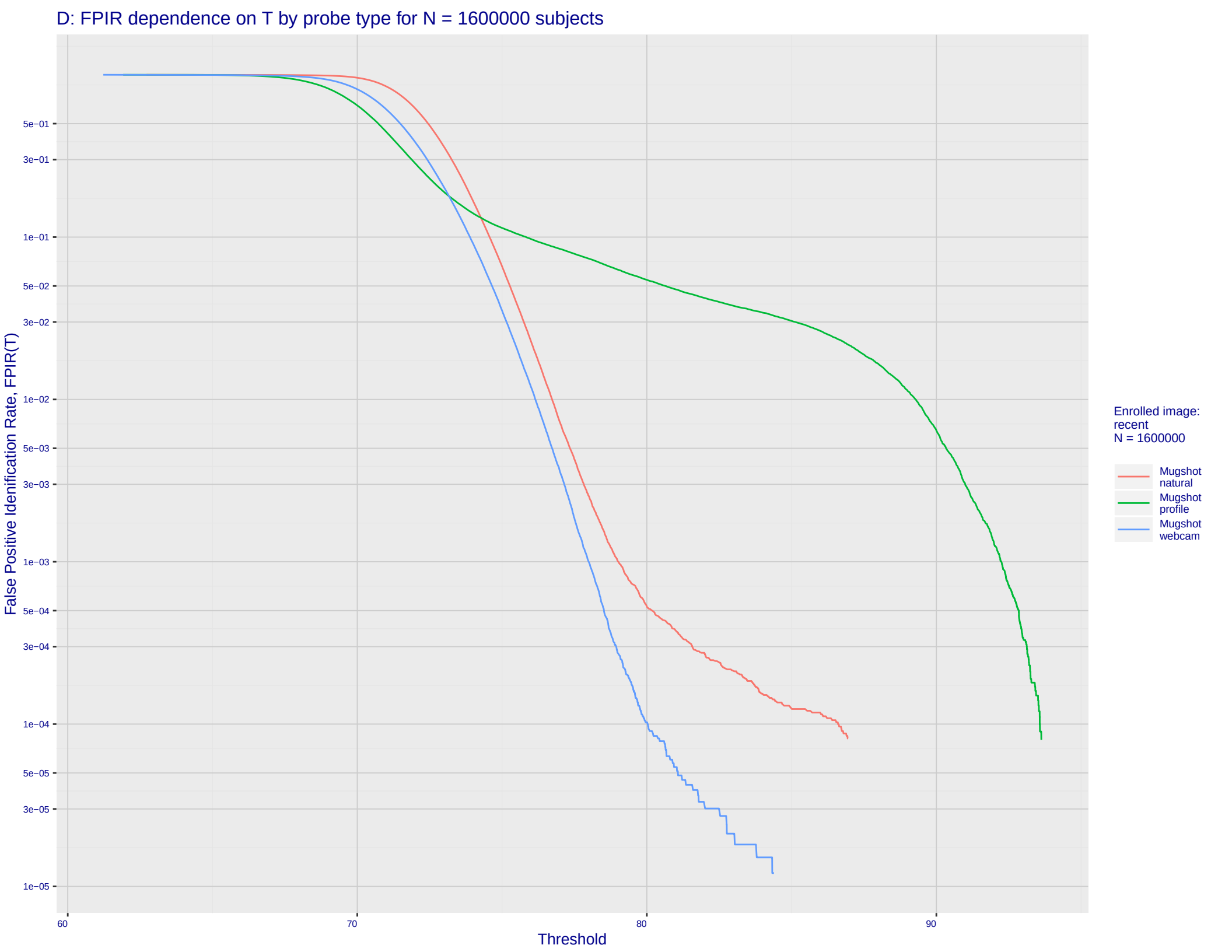
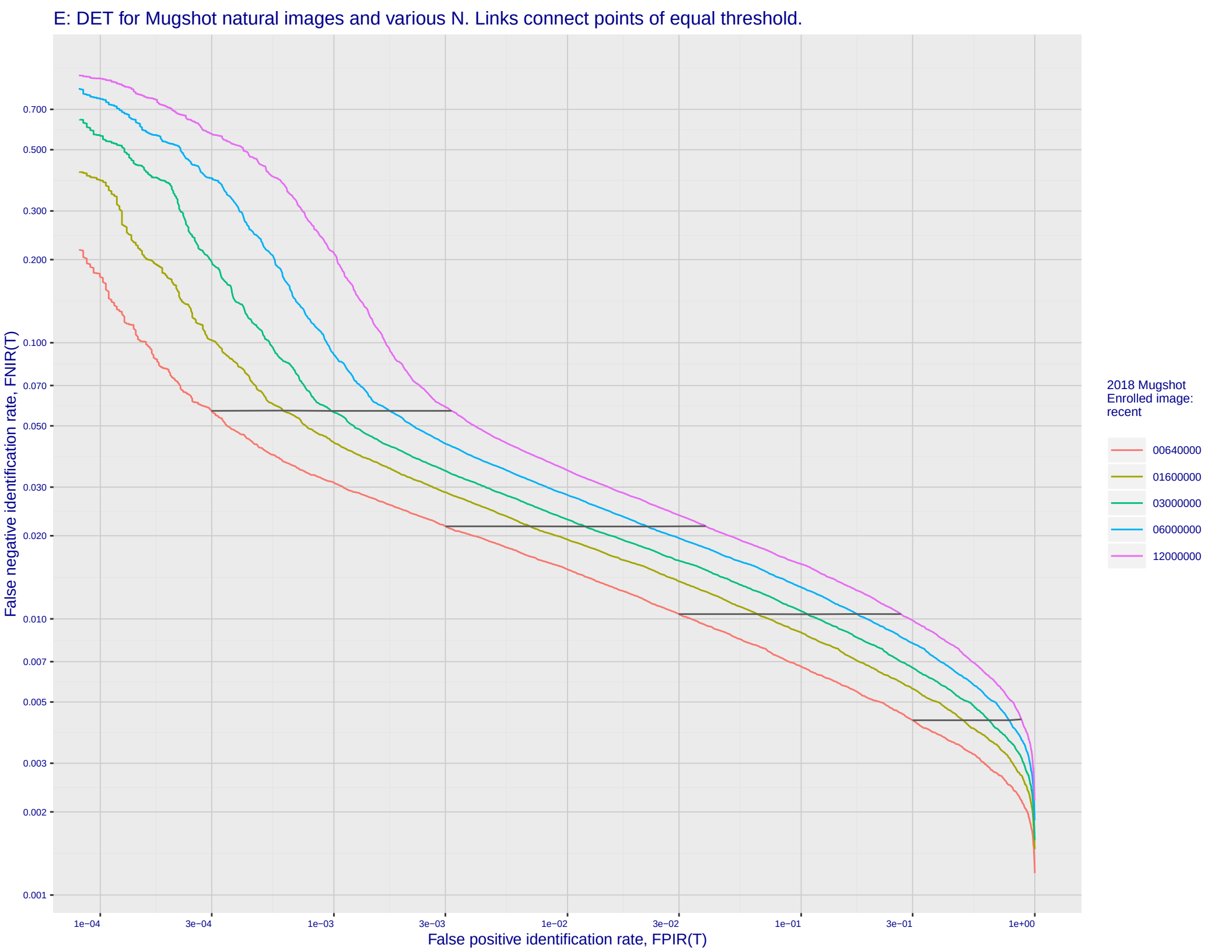
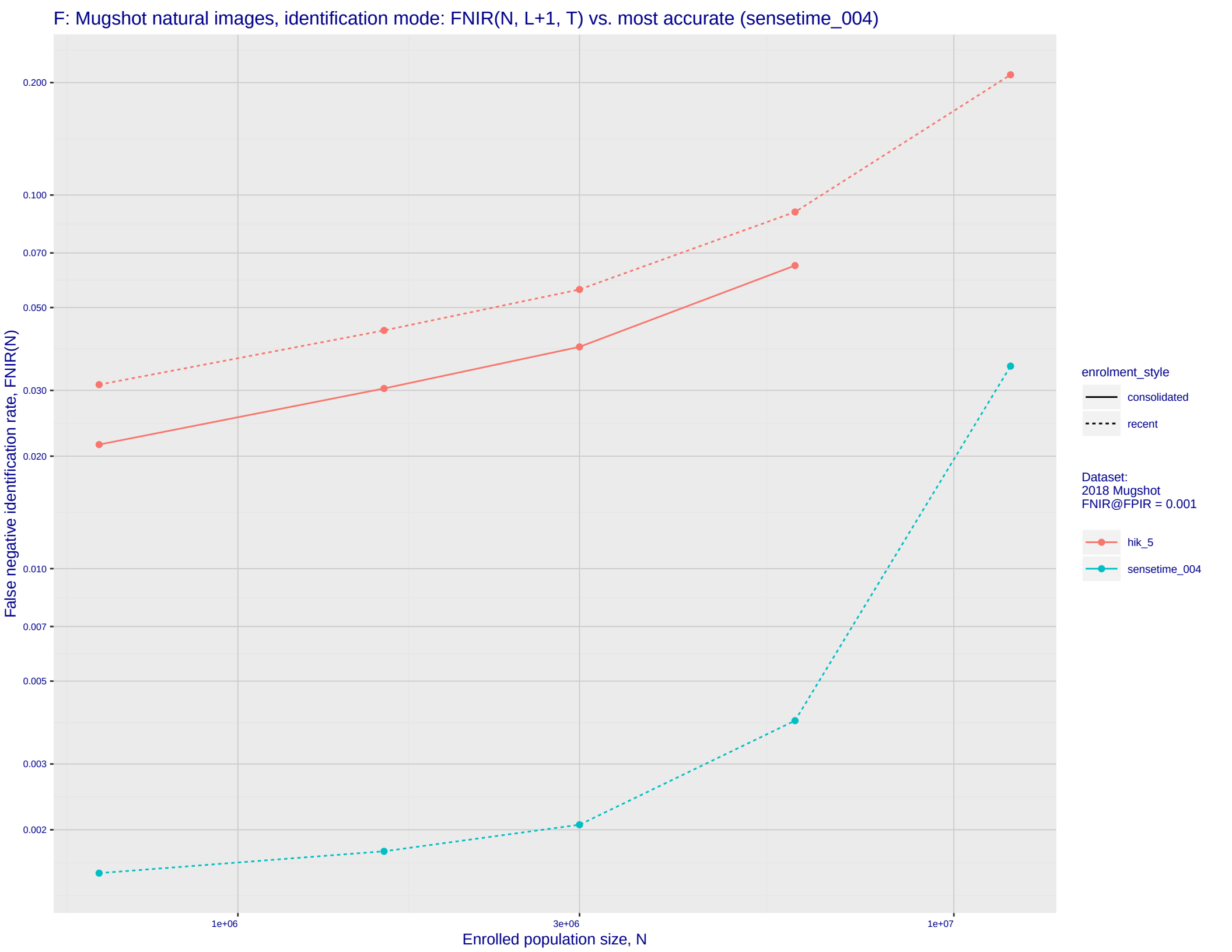

A: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals
Mugshot
natural
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
enrolment_style
consolidated−ONE−MATE
recent−ONE−MATE
unconsolidated−ALL−MATES
unconsolidated−ANY−MATE


B: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images
N
00640000
01600000
03000000
06000000
12000000
lifetime_consolidated
recent
FNIR
FPIR
Identification Error rate, FPIR(T) or FNIR(T)
Threshold
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
60
70
80
90


C: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate
Selectivity, SEL(T)
7e+01
5e+01
3e+01
2e+01
1e+01
7e+00
5e+00
3e+00
2e+00
1e+00
7e−01
5e−01
3e−01
2e−01
1e−01
7e−02
5e−02
3e−02
2e−02
1e−02
7e−03
5e−03
3e−03
2e−03
1e−03
7e−04
5e−04
3e−04
2e−04
1e−04
7e−05
5e−05
3e−05
2e−05
1e−05
1e−05
3e−05
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
False Positive Idenification Rate, FPIR(T)
Enrolled images:
recent
N = 1600000
Mugshot
natural
Mugshot
profile
Mugshot
webcam


D: FPIR dependence on T by probe type for N = 1600000 subjects
False Positive Idenification Rate, FPIR(T)
5e−01
3e−01
1e−01
5e−02
3e−02
1e−02
5e−03
3e−03
1e−03
5e−04
3e−04
1e−04
5e−05
3e−05
1e−05
60
70
80
90
Threshold
Enrolled image:
recent
N = 1600000
Mugshot
natural
Mugshot
profile
Mugshot
webcam


E: DET for Mugshot natural images and various N. Links connect points of equal threshold.
False negative identification rate, FNIR(T)
0.700
0.500
0.300
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1e−04
3e−04
1e−03
3e−03
1e−02
3e−02
1e−01
3e−01
1e+00
False positive identification rate, FPIR(T)
2018 Mugshot
Enrolled image:
recent
00640000
01600000
03000000
06000000
12000000


F: Mugshot natural images, identification mode: FNIR(N, L+1, T) vs. most accurate (sensetime_004)
False negative identification rate, FNIR(N)
0.200
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
1e+06
3e+06
1e+07
Enrolled population size, N
enrolment_style
consolidated
recent
Dataset:
2018 Mugshot
FNIR@FPIR = 0.001
hik_5
sensetime_004

G: Datasheet

Algorithm: hik_5

Developer: Hikvision Research Institute

Submission Date: 2018_10_29

Template size: 1408 bytes

Template time (2.5 percentile): 607 msec

Template time (median): 608 msec

Template time (97.5 percentile): 657 msec

Frontal mugshot investigation rank 66 −− FNIR(1600000, 0, 1) = 0.0046 vs. lowest 0.0010 from sensetime_004

natural investigation rank 41 −− FNIR(1600000, 0, 1) = 0.0165 vs. lowest 0.0067 from sensetime_003

natural investigation rank 57 −− FNIR(1600000, 0, 1) = 0.3122 vs. lowest 0.0492 from paravision_005

natural investigation rank 57 −− FNIR(1600000, 0, 1) = 0.3122 vs. lowest 0.0492 from paravision_005

natural investigation rank 37 −− FNIR(1600000, 0, 1) = 0.0069 vs. lowest 0.0014 from visionlabs_009

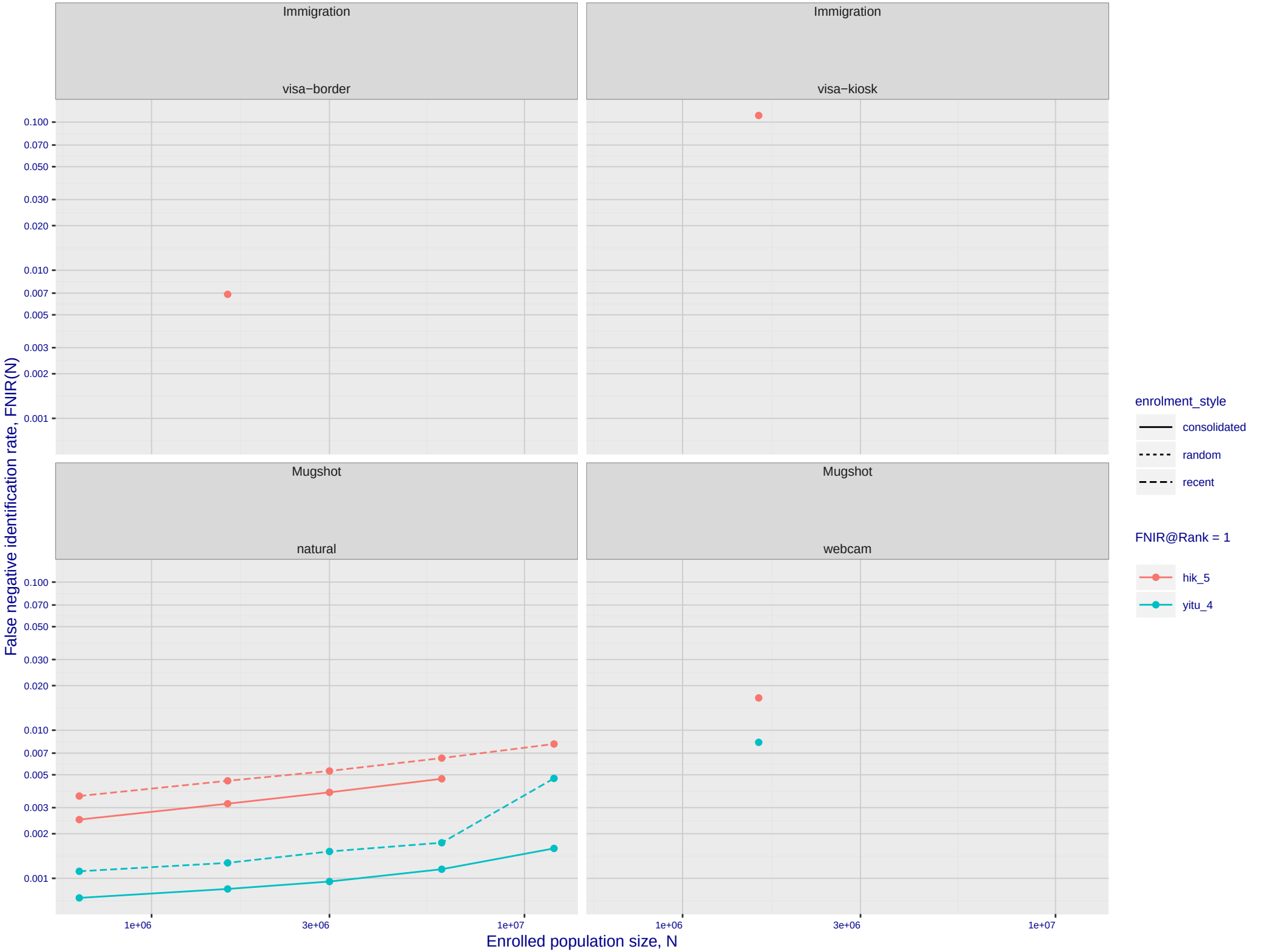
natural investigation rank 29 −− FNIR(1600000, 0, 1) = 0.1109 vs. lowest 0.0694 from cib_000

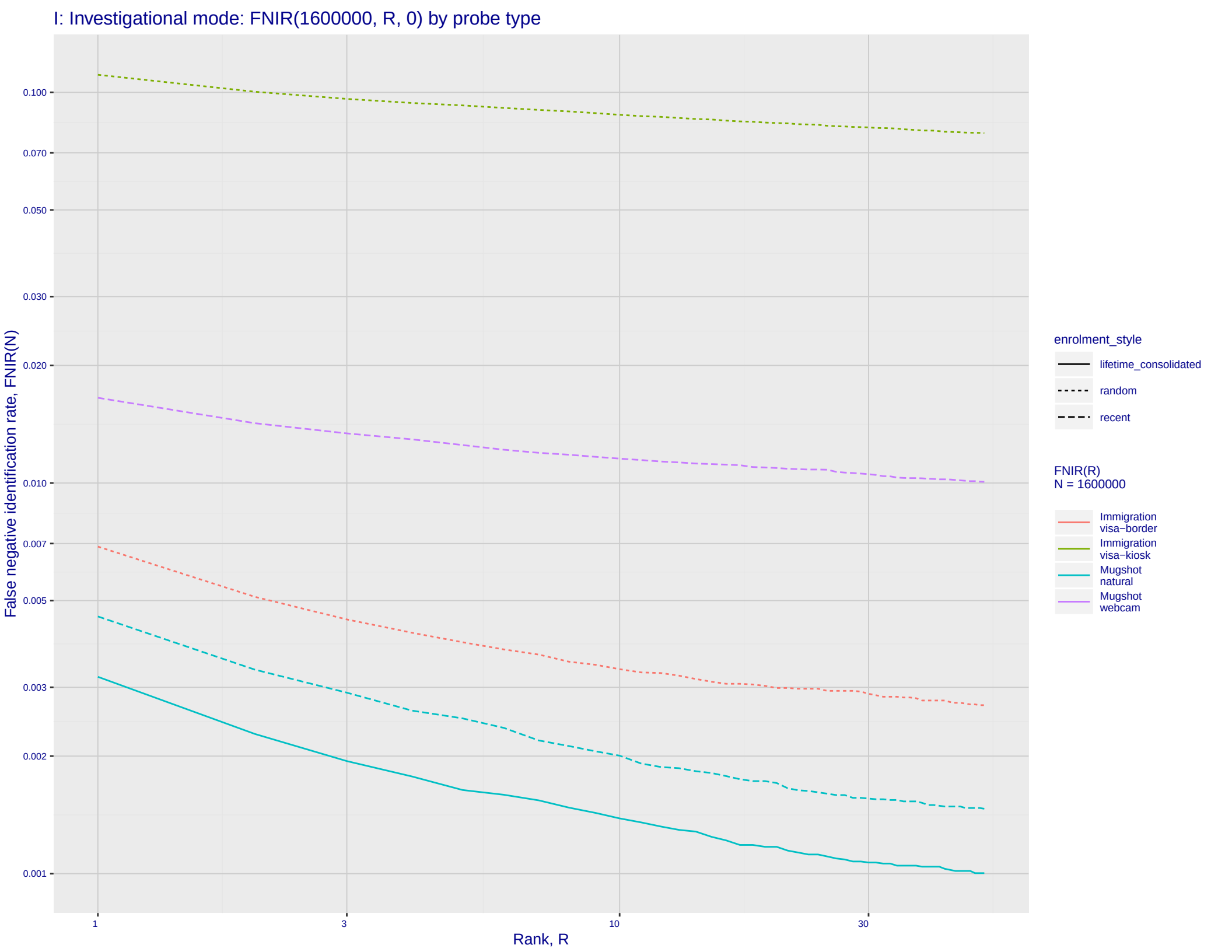
Frontal mugshot identification rank 56 −− FNIR(1600000, T, L+1) = 0.0434 vs. lowest 0.0018 from sensetime_004

natural identification rank 33 −− FNIR(1600000, T, L+1) = 0.0766 vs. lowest 0.0122 from sensetime_003

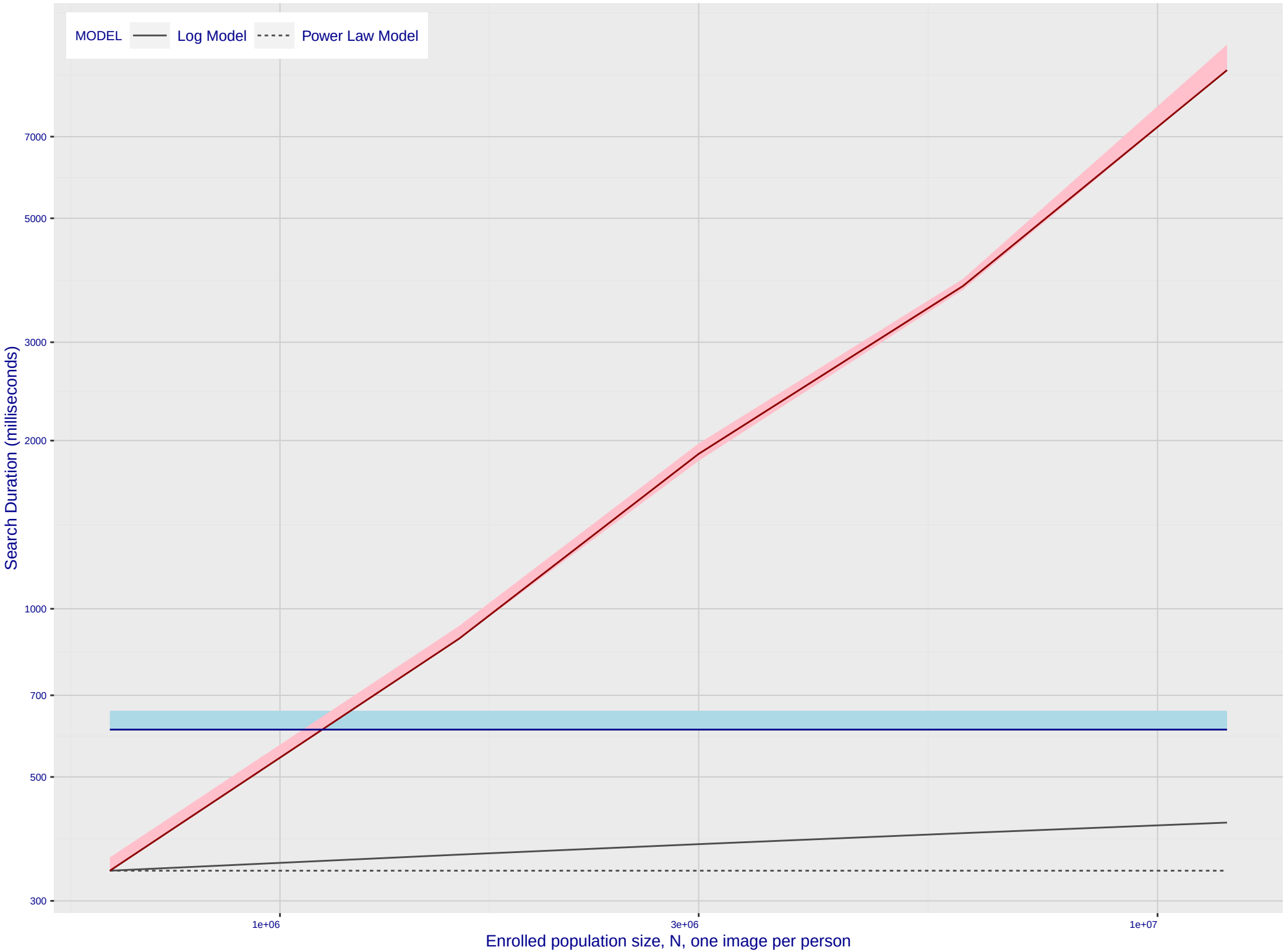
natural identification rank 129 −− FNIR(1600000, T, L+1) = 0.9988 vs. lowest 0.1020 from sensetime_004

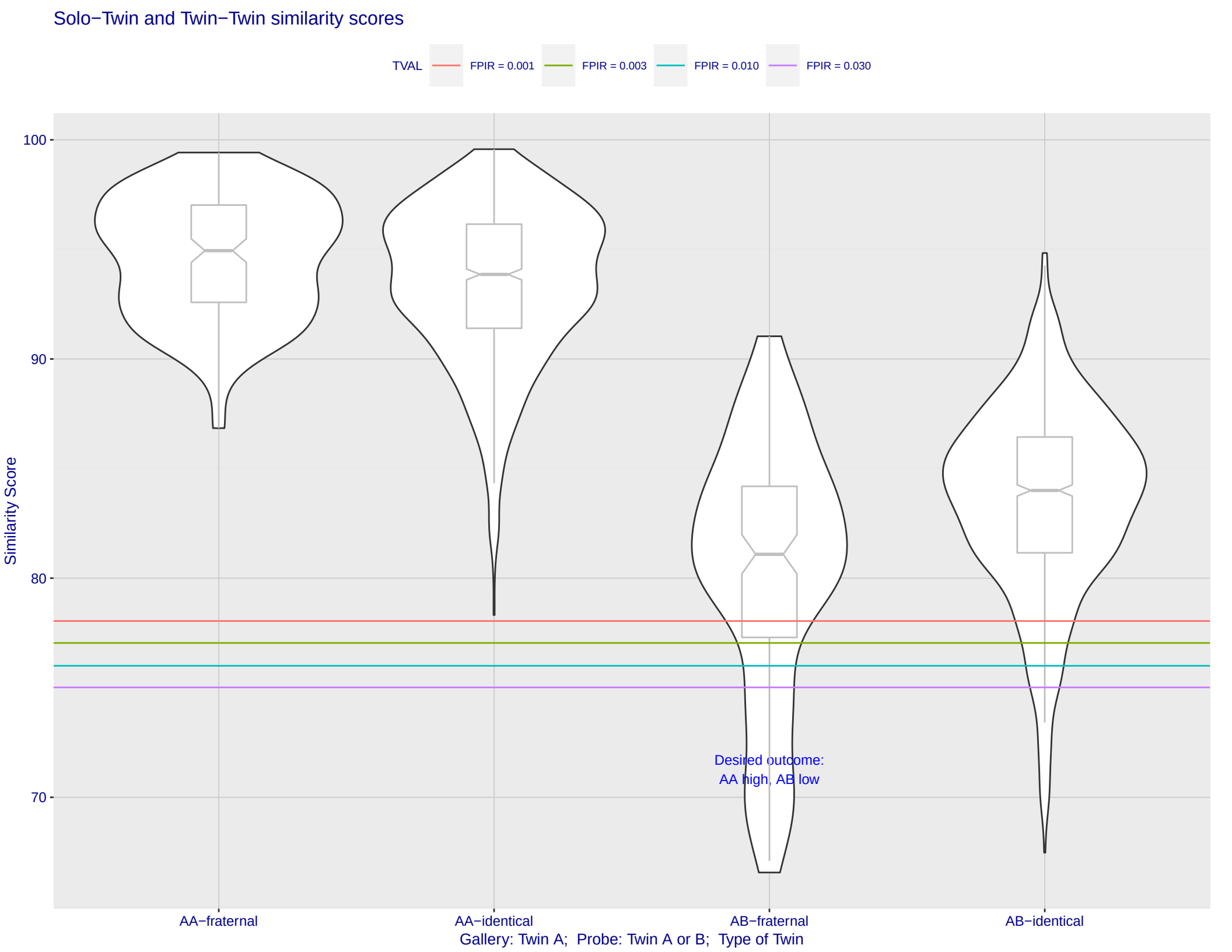
H: Investigational mode: FNIR(N, 1, 0) vs. most accurate (yitu_4)




I: Investigational mode: FNIR(1600000, R, 0) by probe type
False negative identification rate, FNIR(N)
0.100
0.070
0.050
0.030
0.020
0.010
0.007
0.005
0.003
0.002
0.001
1
3
10
30
Rank, R
enrolment_style
lifetime_consolidated
random
recent
FNIR(R)
N = 1600000
Immigration visa−border
Immigration visa−kiosk
Mugshot natural
Mugshot webcam

Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements.
The template generation time is independent of N. The log and power−law models are fit to the first two (N,T) observations




Solo−Twin and Twin−Twin similarity scores
TVAL
FPIR = 0.001
FPIR = 0.003
FPIR = 0.010
FPIR = 0.030
100
90
80
70
Similarity Score
Desired outcome:
AA high, AB low
AA−fraternal
AA−identical
AB−fraternal
AB−identical
Gallery: Twin A; Probe: Twin A or B; Type of Twin