

# How FP Deals With Effects

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\* PoolC 양제성

Source:  2024/02/07 (Wed)

# 목차

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## 1st Session

1. 함수형 프로그래밍 Intro
  - Overall Structure
  - Historical Review (CS + Math)
2. 함수형 패러다임
  - Core of Functional Thinking
  - FP Fact-Checking
3. FP는 정말 순수한가?
  - Optimizing with Purity
  - Effect Handling Basics

## 2nd Session

1. Lazy Evaluation
  - How Lazy Evaluation Works
  - Infinite Data Structure
  - Laziness & Purity
2. From Functor to Monad
  - Functor in PL
  - Monad in PL
3. Impurity in Pure World?
  - Side Effect in Pure World
  - Uniqueness Typing
  - IO Monad

# How Lazy Evaluation Works

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Lazy Evaluation

“Evaluation on demand”

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Lazy Evaluation

“Evaluation on demand”

<Let's code!>

# How Lazy Evaluation Works

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Lazy Evaluation

**Thunk:** "A delayed computation"

# How Lazy Evaluation Works

Haskell

```
1 xs = [1 .. 10] ++ undefined -- Thunk
2 ys = take 3 xs -- Thunk
3 main = print ys -- Force evaluation lazily
4 {-
5     print (take 3 xs)
6     print (take 3 ([1 .. 10] ++ undefined))
7     print (1 : take 2 ([2 .. 10] ++ undefined))
8     print (1 : 2 : take 1 ([3 .. 10] ++ undefined))
9     print (1 : 2 : 3 : take 0 ([4 .. 10] ++ undefined))
10    print (1 : 2 : 3 : [])
11    print [1, 2, 3]
12 -}
```

# Infinite Data Structure

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Lazy Evaluation

```
ones :: [Int]
ones = 1 : ones
```

# Infinite Data Structure

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Lazy Evaluation

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ones :: [Int]
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```



# Infinite Data Structure

---

Lazy Evaluation

Haskell

```
1 ones = 1 : ones -- Infinite list
2 main = print (take 3 ones)
3 {-
4     print (take 3 ones)
5     print (1 : take 2 ones)
6     print (1 : 1 : take 1 ones)
7     print (1 : 1 : 1 : take 0 ones)
8     print (1 : 1 : 1 : [])
9     print [1, 1, 1]
10 -}
```

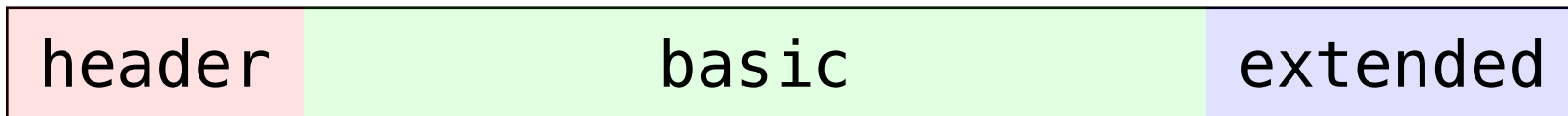
<Let's code!>

Let's see more interesting examples...

“Laziness (generally) needs purity”

## Scenario: file pointer-based sequential read

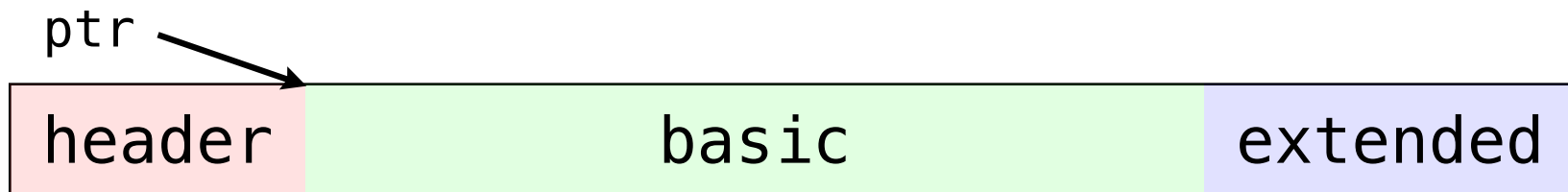
Assume that we are reading a config file structured like below.



# Laziness & Purity

Lazy Evaluation

Expected: readHeader → readBasicConfig → readExtendedConfig

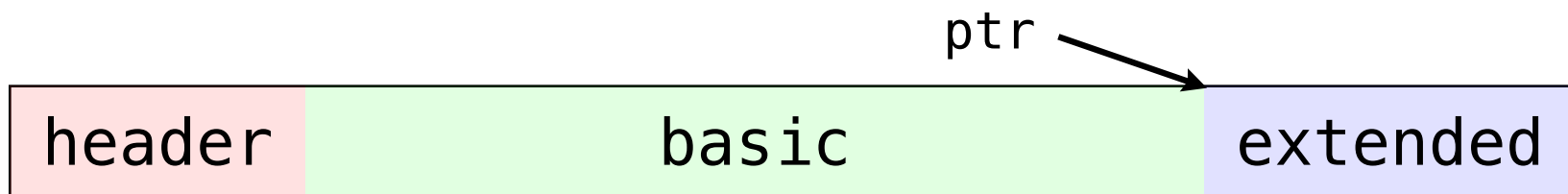


```
1 getConfig :: File -> Config
2 getConfig f =
3   let
4     header = readHeader f
5     basic = readBasicConfig f
6     extended = readExtendedConfig (headerVersion header) f
7   in Config basic extended
```

# Laziness & Purity

Lazy Evaluation

Expected: readHeader → readBasicConfig → readExtendedConfig

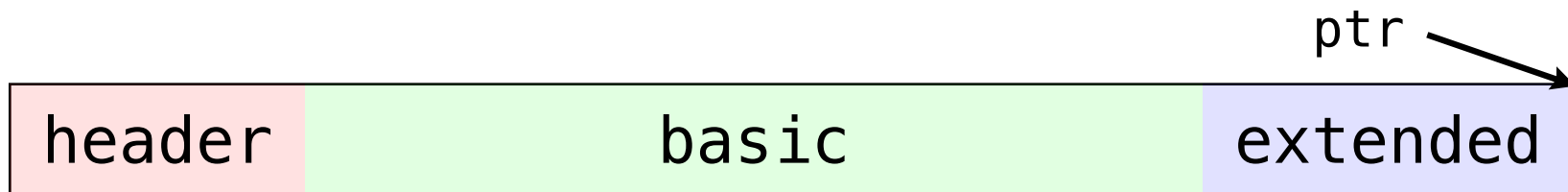


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# Laziness & Purity

Lazy Evaluation

Expected: readHeader → readBasicConfig → readExtendedConfig

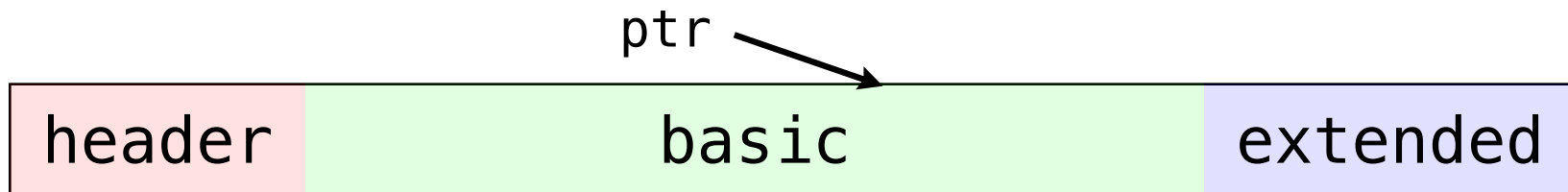


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```

# Laziness & Purity

Lazy Evaluation

Lazy case: readBasicConfig  $\rightarrow$  readHeader  $\rightarrow$  readExtendedConfig



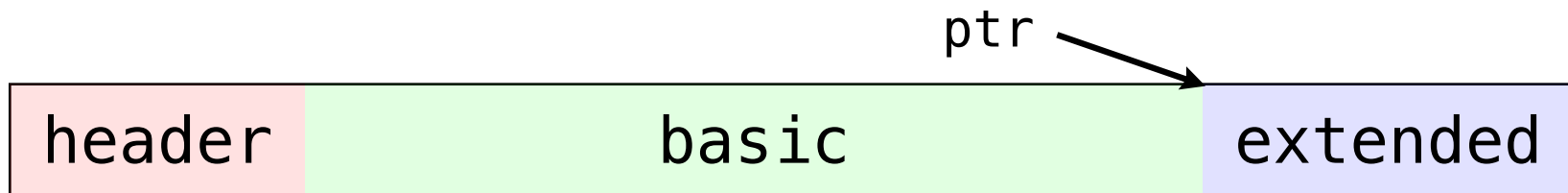
```
1 getConfig :: File -> Config
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```



# Laziness & Purity

Lazy Evaluation

Lazy case: readBasicConfig → readHeader → readExtendedConfig

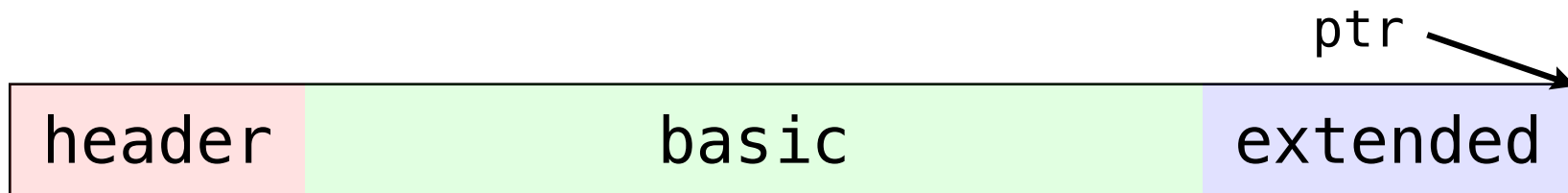


```
1 getConfig :: File -> Config
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# Laziness & Purity

Lazy Evaluation

Lazy case: readBasicConfig → readHeader → readExtendedConfig



```
1 getConfig :: File -> Config
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5     basic = readBasicConfig f
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7   in Config basic extended
```

i.e. List, Maybe(Optional, Option)



A type constructor  $F$  is a Functor if

$\text{fmap} :: (T \rightarrow U) \rightarrow (F<T> \rightarrow F<U>) (= \text{lift})$

is given. (for arbitrary types  $T, U$ )

## Functor laws

1.  $\text{fmap } \text{id} \equiv \text{id}$  (where  $\text{id } x = x$ )
2.  $\text{fmap } (f \circ g) \equiv (\text{fmap } f) \circ (\text{fmap } g)$   
Haskell ver.  $\text{fmap } (f \cdot g) \equiv (\text{fmap } f) \cdot (\text{fmap } g)$

How can we implement fmap for **Maybe**?

# Functor in PL

---

From Functor to Monad

$$\text{fmap} :: \overset{f}{(T \rightarrow U)} \rightarrow \overset{\text{fmap } f}{(\text{Maybe}\langle T \rangle \rightarrow \text{Maybe}\langle U \rangle)}$$

# Functor in PL

From Functor to Monad

$$\text{fmap} :: (T \rightarrow U) \rightarrow (\text{Maybe}\langle T \rangle \rightarrow \text{Maybe}\langle U \rangle)$$

```
1 fn fmap_f<T, U>(opt_t: Maybe<T>) -> Maybe<U> {
2   if (opt_t.is_nothing())
3     return Maybe<U>();
4   else
5     return Maybe<U>(f(opt_t.value()));
6 }
```

# Functor in PL

From Functor to Monad

f                      fmap f

fmap :: (T -> U) -> (Maybe<T> -> Maybe<U>)

```
1 fn fmap<T, U>(f: T -> U) -> (Maybe<T> -> Maybe<U>) {
2   fn fmap_f<T, U>(opt_t: Maybe<T>) -> Maybe<U> {
3     if (opt_t.is_nothing())
4       return Maybe<U>();
5     else
6       return Maybe<U>(f(opt_t.value()));
7   }
8   return fmap_f;
9 }
```



# Functor in PL

---

From Functor to Monad

$$\text{fmap} :: \overset{f}{(T \rightarrow U)} \rightarrow \overset{\text{fmap } f}{(\text{Maybe} \langle T \rangle \rightarrow \text{Maybe} \langle U \rangle)}$$

$$\text{fmap} :: (a \rightarrow b) \rightarrow \text{Maybe } a \rightarrow \text{Maybe } b$$

# Functor in PL

---

From Functor to Monad

```
data Maybe a = Nothing | Just a
```

# Functor in PL

---

From Functor to Monad

```
data Maybe a = Nothing | Just a
```

```
fmap :: (a -> b) -> Maybe a -> Maybe b
```

```
fmap f (Just x) = Just (f x)
```

```
fmap _ Nothing = Nothing
```

# Functor in PL

---

From Functor to Monad

```
type Functor :: (* -> *) -> Constraint
class Functor f where
  fmap :: (a -> b) -> f a -> f b
  (<$) :: a -> f b -> f a
  {-# MINIMAL fmap #-}
```

A Functor  $M$  is a Monad if

```
fmap :: (T -> U) -> M<T> -> M<U>  (= lift)
return :: T -> M<T>                  (= unit)
join  :: M<M<T>> -> M<T>              (= flat)
```

is given. (for arbitrary types  $T, U$ )

A Functor  $M$  is a Monad if

```
fmap :: (T -> U) -> M<T> -> M<U>      (= lift)
return :: T -> M<T>                      (= unit)
bind :: M<T> -> ((T -> M<U>) -> M<U>)    (≡ flatMap)
Haskell ver. (>=>) :: M a -> (a -> M b) -> M b
```

is given. (for arbitrary types  $T, U$ )

## Monad laws

1.  $\text{bind } m \text{ return} \equiv m$

Haskell ver.  $m \gg= \text{return} \equiv m$

2.  $\text{bind } (\text{return } x) f \equiv f x$

Haskell ver.  $\text{return } x \gg= f \equiv f x$

3.  $\text{bind } (\text{bind } m f) g \equiv \text{bind } m (\lambda x \rightarrow f x \gg= g)$

Haskell ver.  $(m \gg= f) \gg= g \equiv m \gg= (\lambda x \rightarrow f x \gg= g)$

## Semantic of Monad

"A monoid in the category of endofunctors"



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~~"A monoid in the category of endofunctors"~~

## Semantic of Monad

If  $M$  is a Monad,  $M\langle T \rangle$  is an **extension of  $T$** , where

- operations on  $T$  can also be extended
- the same extension on itself (i.e.  $M\langle M\langle T \rangle \rangle$ ) is
  - meaningless, or
  - logically equal to the original, or
  - can be seen as the original in some aspect

## Semantic of Monad

- Maybe  $\langle T \rangle$ : T or Nothing
- Maybe  $\langle \text{Maybe } \langle T \rangle \rangle$ : T or Nothing or Nothing  
= Maybe  $\langle T \rangle$

**Meaning is preserved!** (but type changed)

- $\text{return} :: T \rightarrow \text{Maybe } \langle T \rangle$
- $\text{join} :: \text{Maybe } \langle \text{Maybe } \langle T \rangle \rangle \rightarrow \text{Maybe } \langle T \rangle$

## Semantic of Monad

- $\text{List } \langle T \rangle$ : bunch of  $T$ s
- $\text{List } \langle \text{List } \langle T \rangle \rangle$ : bunch of bunches of  $T$ s  
 $\simeq \text{List } \langle T \rangle$

**Meaning is preserved!** (but type changed)

- $\text{return} :: T \rightarrow \text{List } \langle T \rangle$
- $\text{join} :: \text{List } \langle \text{List } \langle T \rangle \rangle \rightarrow \text{List } \langle T \rangle$

How can we implement return for **Maybe**?

# Monad in PL

---

From Functor to Monad

`return :: T -> Maybe<T>`

`return :: T -> Maybe<T>`

```
1 fn returnM<T>(t: T) -> Maybe<T> {  
2   return Maybe<T>(t);  
3 }
```

How can we implement `bind` for **Maybe**?



# Monad in PL

---

From Functor to Monad

```
bind :: Maybe<T> -> (T -> Maybe<U>) -> Maybe<U>
```

# Monad in PL

---

From Functor to Monad

`bind :: (Maybe<T>, (T -> Maybe<U>)) -> Maybe<U>`

# Monad in PL

---

From Functor to Monad

`bind :: (Maybe<T>, (T -> Maybe<U>)) -> Maybe<U>`

```
1 fn bind<T, U>(m: Maybe<T>, f: T -> Maybe<U>) -> Maybe<U> {  
2   if (m.is_nothing())  
3     return Maybe<U>();  
4   else  
5     return f(m.value());  
6 }
```

# Monad in PL

From Functor to Monad

`bind :: Maybe<T> -> ((T -> Maybe<U>) -> Maybe<U>)`

```
1 fn bind<T, U>(m: Maybe<T>) -> ((T -> Maybe<U>) -> Maybe<U>) {
2   fn bind_f(f: T -> Maybe<U>) {
3     if (m.is_nothing())
4       return Maybe<U>();
5     else
6       return f(m.value());
7   }
8   return bind_f;
9 }
```

# Monad in PL

---

From Functor to Monad

```
return :: T -> Maybe<T>
```

```
return :: a -> Maybe a
```

```
bind :: Maybe<T> -> ((T -> Maybe<U>) -> Maybe<U>)
```

```
(>>=) :: Maybe a -> (a -> Maybe b) -> Maybe b
```

# Monad in PL

---

From Functor to Monad

```
data Maybe a = Nothing | Just a
```

```
return :: a -> Maybe a
```

```
return x = Just x
```

# Monad in PL

---

From Functor to Monad

```
data Maybe a = Nothing | Just a
```

```
(>>=) :: Maybe a -> (a -> Maybe b) -> Maybe b
```

```
(Just x) >>= f = f x
```

```
Nothing >>= _ = Nothing
```

# Monad in PL

---

From Functor to Monad

```
type Monad :: (* -> *) -> Constraint
class Applicative m => Monad m where
    (>>=) :: m a -> (a -> m b) -> m b
    (>>)  :: m a -> m b -> m b
    return :: a -> m a
    {-# MINIMAL (>>=) #-}
```



Any use cases of **Monad** and **bind**?

Any use cases of **Monad** and **bind**?

Scenario: Multiple HTTP requests dependent on each other

Any use cases of **Monad** and **bind**?

Scenario: Multiple HTTP requests dependent on each other

<Let's code!>

# Monad in PL

---

From Functor to Monad

$f :: a \rightarrow \text{Maybe } b$

$g :: b \rightarrow \text{Maybe } c$

$(>>=) :: \text{Maybe } b \rightarrow (b \rightarrow \text{Maybe } c) \rightarrow \text{Maybe } c$

$f\ x\ >>= g :: \text{Maybe } c$

# Side Effect in Pure World

---

Impurity in Pure World?

```
1 whatIsYourName :: IO ()
2 whatIsYourName = do
3   putStr "What is your name? "
4   firstName <- getLine  -- same input!
5   lastName  <- getLine  -- same input!
6   putStrLn ("Hello, " ++ firstName ++ " " ++ lastName)
```

Haskell

# Side Effect in Pure World

---

Impurity in Pure World?

1. What is IO in the type signature?
2. How can we perform side effects in a language where side effects are not allowed?

"A value with a **unique type** is **guaranteed to have at most one reference to it at run-time**, which means that it can safely be updated in-place, reducing the need for memory allocation and garbage collection."

*The Idris Tutorial*

# Uniqueness Typing

Impurity in Pure World?

```
1 module hello
2 import StdEnv
3
4 Start :: *World -> *World
5 Start world
6     # (console, world) = stdio world
7     # console = fwrites "Hello, World!\n" console
8     # (ok, world) = fclose console world
9     | not ok = abort "ERROR: cannot close console\n"
10    | otherwise = world
```

Clean



# IO Monad

---

Impurity in Pure World?

Let's **hide "World"** from users!

# IO Monad

Impurity in Pure World?

Haskell

```
1 type WorldT a = World -> (a, World)
2
3 readStrT :: WorldT String
4 readStrT = readStr
5
6 printStrT :: String -> WorldT ()
7 printStrT str world = ((), printStr str world)
8
9 (>>>=) :: WorldT a          -- World -> (a, World)
10         -> (a -> WorldT b)  -- a -> World -> (b, World)
11         -> WorldT b         -- World -> (b, World)
12 m >>>= f = uncurry f . m
```

```
13 whatIsYourPureNameT :: WorldT ()
14 whatIsYourPureNameT =
15   printStrT "What is your name?" >>>= \_ ->
16   readStrT                               >>>= \firstName ->
17   readStrT                               >>>= \lastName ->
18   printStrT ("Hello, " ++ firstName ++ " " ++ lastName)
```