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## Short Answer Questions (Total time: 75 minutes)

1. (3 points) The following procedure is invoked on an array  $A$  of size  $n$ :

Procedure Proc(A):

$n \leftarrow |A|$

Proc( $A[1 \dots \frac{n}{3}]$ )

Proc( $A[\frac{2n}{3} \dots n]$ )

$sum \leftarrow 0$

for  $i = 1$  to  $n$ :

$sum = sum + A(i)$

print( $sum$ )

Write down a recurrence relation for the running time of this function call. Solve the recurrence and state the big- $O$  running time in terms of  $n$ .

$$T(n) = 2T(n/3) + n \quad a=2, b=3, d=1$$

By Master Theorem

$$T(n) = O(n)$$

2. (1 point) **True or False:** An  $O(n^2)$  algorithm is faster than an  $O(n^3)$  algorithm on any input.
- FALSE**
3. (2 points) If a DQ algorithm has  $O(n^2 \log n)$  time complexity, and we can determine this using the Master Theorem, what combinations of values of  $a, b, d$  are possible for this algorithm?

$$\log_b a = d \quad (\text{since there is log term}) \quad \text{Also } d = 2$$

$$\Rightarrow a = b^2 \quad \text{Any tuple of form } (b^2, b, 2) \text{ works}$$

4. (1 point) A universal sink is a vertex that has in-degree  $|V| - 1$ . **True or False:** Finding a universal sink of  $G$ , if one exists, requires  $\Omega(|V|^2)$  time because all of the input must be examined to give a correct answer, and there are  $|V|^2$  entries in the adjacency matrix.

**FALSE**

5. (1 point) DFS is executed on a directed graph  $G = (V, E)$  to find *pre* and *post* numbers for all vertices. For an edge  $(u, v) \in E$ ,  $pre(v) = 5$ ,  $post(v) = 8$ ,  $pre(u) = 10$  and  $post(u) = 15$ . The edge  $(u, v)$  is a

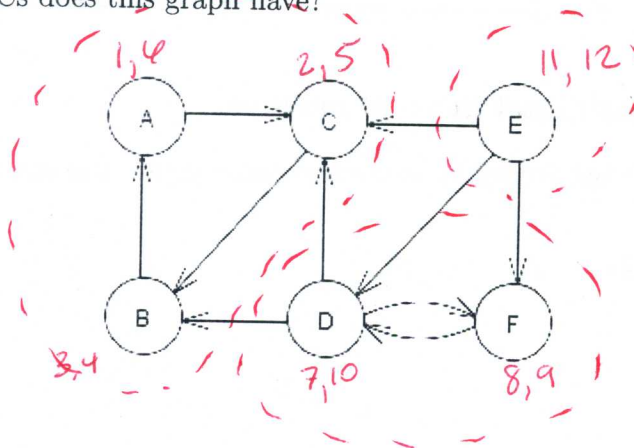
(a) Forward Edge

(b) Tree Edge

☒ (c) Cross Edge

(d) Back Edge

6. Perform DFS on this graph, starting from node A, breaking all ties lexicographically.  
 (2 points) Write down **pre** and **post** numbers for all nodes of the graph.  
 (1 point) How many SCCs does this graph have?



3 SCCs

7. (4 points) Match the items in the left column to appropriate choices in the right column.

- |   |  |   |
|---|--|---|
| 4 | 1. Placing $N$ Non-Attacking Queens on a $N \times N$ chessboard | 1. Greedy   |
| 6 | 2. Simulated Annealing   | 2. $O(n^3)$ Dynamic Programming                               |
| 2 | 3. Matrix Chain Multiplication                                   | 3. Branch and Bound   |
| 1 | 4. Fractional Knapsack Problem                                   | 4. Backtrack  |
|   |  | 5. $O(n^2)$ Dynamic Programming                               |
|   |  | 6. Metaheuristic suitable for single-processor implementation |
|   |  | 7. Metaheuristic suitable for multi-processor implementation  |

8. (1 point) **True or False:** For any flow network  $G = (V, E)$  with source  $s \in V$  and sink  $t \in V$ , there is always a **unique** minimum-capacity cut  $(L, R)$  (where  $L \cap R = \emptyset$  and  $L \cup R = V$ ) with  $s \in L$  and  $t \in R$ .

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9. (2 points) Explain why the Ford-Fulkerson algorithm always terminates when executed on any flow network with integer-valued edge capacities.

Flow increases by at least one unit at each iteration  
 (when augmenting path is found)

10. (2 points) While solving a linear program with a minimization objective, you find a feasible solution that is a local minimum. Is this solution guaranteed to be an optimal solution (yes or no)? Explain your answer.

Yes. Linear objective is convex  $\Rightarrow$  local optimum  
 (over a convex domain) is a global optimum.

a) Algorithm:

1. Sort the given set of technologies by low frequency,  $l_i$ .
2. While  $[L, H]$  is not covered, repeat:
  - Proceed along the list of intervals, and find the technology  $T_i$  with interval  $[l_i, h_i]$  such that  $l_i \leq L$  and  $h_i$  is the maximum of all the intervals with  $l_i \leq L$ .
  - Select technology  $T_i$ , and discard all other technologies  $T_j$  with  $l_j \leq l_i$ .

So in words, the technology is selected based on two conditions: that it is able to cover a frequency sub-interval, which starts at or before the end of the frequency interval of the previous chosen technology, and whose frequency sub-interval end is the farthest along the spectrum.

b) Proof:

Consider the set  $S$  of all the intervals of the technologies chosen by the greedy solution, and let  $OPT$  be an optimal solution, with all intervals also ordered in increasing order of  $l_i$ . Consider the first interval in both solutions. Replacing the first interval in  $OPT$ ,  $(l_{OPT}, h_{OPT})$  with the first interval from  $S$ ,  $(l_s, h_s)$ , which has the farthest right endpoint,  $h_s$  and whose  $l_s$  is  $\leq L$ , we get a possibly uncovered subinterval from  $h_s$  of length  $h_s - h_{OPT}$ , which is 0 if  $h_s = h_{OPT}$ , as we know that the greedy solution chose the interval with the largest  $h_i$  value. Thus, replacing the interval in the optimal solution with the interval from  $S$  either does not change the optimal solution, in which case  $S$  performs as well as  $OPT$ , or is a better solution, which is contradictory to the definition of  $OPT$ . Similarly extending this reasoning to any interval  $[a, H]$  from any point  $a$ , to the end of the spectrum, we conclude that the greedy algorithm is an optimal solution.

c) Time complexity:

Sorting the list takes  $O(n \log(n))$  time.

While searching along the list, each technology is considered only once before it is either chosen or discarded. Hence, this step takes

$O(n)$ .

Therefore, the overall time complexity is  $O(n\log(n))$ , dominated by sorting.

## 2. Dynamic Programming: Longest Increasing Subsequence

Given a sequence of numbers  $a_1, a_2, \dots, a_n$ , the Longest Increasing Subsequence (LIS) problem seeks the length  $k$  of the longest subsequence whose elements have monotonically increasing values. I.e.,  $a_{i_1} \leq a_{i_2} \leq \dots \leq a_{i_k}$  with  $1 \leq i_1 < i_2 < \dots < i_k \leq n$ . The LIS is not necessarily consecutive in the given sequence.

For example, given the sequence

$$\{ 10, 22, -9, 33, -71, 50, 41, 60, 80 \},$$

the length of the LIS is 6 (the LIS is  $\{ 10, 22, 33, 50, 60, 80 \}$ ).

Give a dynamic programming algorithm to find the longest increasing subsequence for a sequence of numbers  $a_1, a_2, \dots, a_n$ .

- (a) (2 points) Define your subproblems and state the solution to which subproblem will provide the desired answer.

Subproblem :  $LIS(i)$  = length of longest subsequence that ends at index  $i$  (chooses element  $a_i$ )

At the end, We scan through solutions of subproblems to find which has longest length which is the required answer

- (b) (3 points) Give base case(s) and the recurrence for your DP algorithm.

Base Case :  $LIS(1) = 1$

Recurrence :  $LIS(i+1) = 1 + \max_{\substack{1 \leq j \leq i \\ a_j \leq a_{i+1}}} \{ LIS(j) \}$

- (c) (1 point) Analyze the time complexity of your algorithm and give the time complexity in big-O notation.

There are  $n$  subproblems. Each subproblem requires  $O(n)$  time. Final scan to find max length is  $O(n)$  time. Total time is  $O(n^2)$



- (d) (2 points) For the same sequence of numbers  $a_1, a_2, \dots, a_n$ , now find the length of the LIS  $a_{i_1}, a_{i_2}, \dots, a_{i_k}$  with the **additional constraint** that consecutive numbers in the LIS cannot be consecutive numbers in the original sequence. For example, given the sequence

$\{ 10, 22, -9, 33, -71, 50, 41, 60, 80 \},$

the length of the LIS without consecutive numbers is 4 (one LIS without consecutive numbers is  $\{ 10, 33, 50, 80 \}$ ).

Give a DP recurrence to solve this LISWC (LIS-without-consecutive) problem.

Only the sub problem is same as before (with LISWC instead of LIS)

$$\text{LISWC}(1) = 1, \text{LISWC}(2) = 1$$

$$\text{LISWC}(i+1) = 1 + \max_{\substack{1 \leq j < i \\ a_j \leq a_{i+1}}} \{ \text{LISWC}(j) \},$$

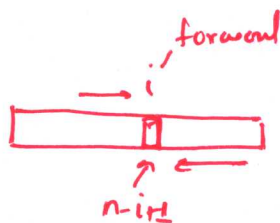
← Strictly less than i

- (e) (2 points) A sequence  $b_1, \dots, b_k$  is *bitonic* if there is an  $i$ ,  $1 \leq i \leq k$ , such that the sequence is monotonically increasing up to  $b_i$  and then it is monotonically decreasing, that is,  $b_1 \leq b_2 \leq \dots \leq b_i$  and  $b_i \geq b_{i+1} \geq \dots \geq b_k$ . If  $i = 1$ , the sequence degenerates into a monotonically decreasing sequence. If  $i = k$ , the sequence is a monotonically increasing one. In other words, monotonically increasing or decreasing sequences are also bitonic. For example, given the sequence

$\{ 10, 22, -9, 33, -71, 50, 41, 30, 80 \},$

the length of the LIS without consecutive numbers is 5 (one longest bitonic sequence is  $\{ 10, 22, 33, 50, 41, 30 \}$ ).

Assuming that you already have an algorithm to find the length of the LIS, explain in words how to find the length of the longest bitonic subsequence of a given sequence.



The bitonic sequence is a concatenation of an increasing sequence and a decreasing sequence. We can reverse given array and find LIS from that position and return sum of the lengths as the length of longest bitonic sequence with peak at index  $i$ .

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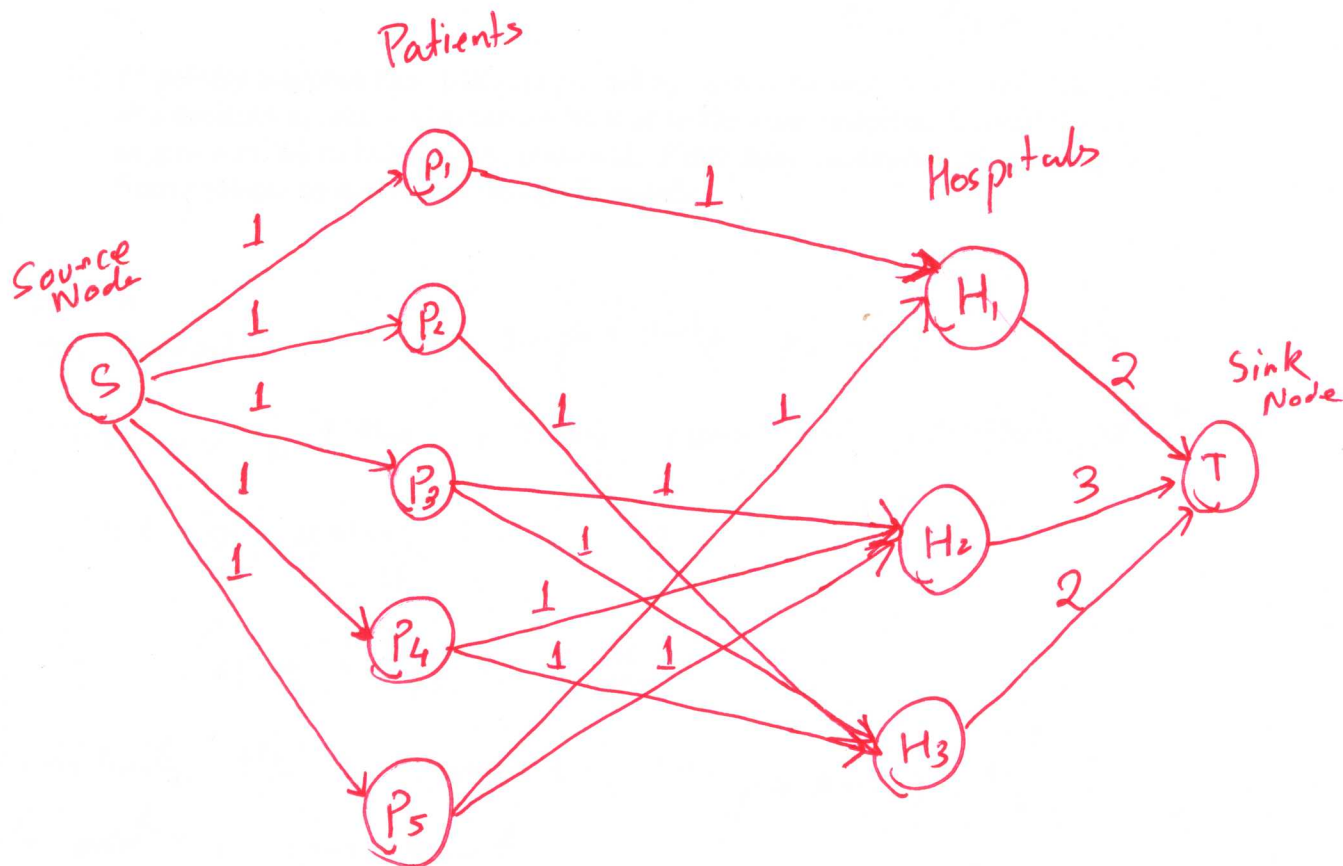
Then  $\text{BIT}(i) = \text{LIS}(i) + \text{LIS}_R(n-i+1) - 1$   
 Scan through  $\text{BIT}(i)$  to find longest subsequence

### 3. Network Flow and Linear Programming: Patients and Hospitals

Five patients  $p_1, \dots, p_5$  need to be rushed to hospitals. Three hospitals,  $H_1, H_2$  and  $H_3$ , are available, but they have capacities of two, three and two patients respectively. Furthermore, each patient  $p_i$  must be taken to a hospital that accepts his/her insurance policy. The table below shows the hospitals that accept the insurance policy of each patient.

$p_1$	$p_2$	$p_3$	$p_4$	$p_5$
$H_1$	$H_3$	$H_3, H_2$	$H_2, H_3$	$H_2, H_1$

- (a) (4 points) Your task is to send all patients to hospitals that accept their insurance policies by reducing this to the problem of finding a maximum  $s$ - $t$  flow in a suitable flow network. Draw this flow network, including all edge capacities.



- (b) (3 points) Formulate the above problem as a linear program. Write down the objective function and all constraints.

Objective function:

$$\text{Maximize: } t_{s,p_1} + t_{s,p_2} + t_{s,p_3} + t_{s,p_4} + t_{s,p_5}$$

Conservation Constraint:

$$p_1: t_{s,p_1} = f_{p_1,h_1}$$

$$p_2: t_{s,p_2} = f_{p_2,h_3}$$

$$p_3: t_{s,p_3} = f_{p_3,h_2} + f_{p_3,h_3}$$

$$p_4: t_{s,p_4} = f_{p_4,h_2} + f_{p_4,h_3}$$

$$p_5: t_{s,p_5} = f_{p_5,h_2} + f_{p_5,h_3}$$

Capacity constraint

$$0 \leq f_{h_1,T} \leq 2$$

$$0 \leq f_{h_2,T} \leq 3$$

$$0 \leq f_{h_3,T} \leq 2$$

All edge between 0 and 1  
i.e. for all other edges  $e$   
 $0 \leq f_e \leq 1$

- (c) (3 points) Suppose that patients  $p_2$  and  $p_3$  cannot be sent to the same hospital, and patients  $p_4$  and  $p_5$  also cannot be sent to the same hospital. Extend the linear program in (b) to handle this constraint. Hint: Add constraints on outgoing flow from patients to common available hospitals.

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The hospital common to patients  $p_2$  &  $p_3$  is  $H_3$

Thus we put the following constraint on flow from  $p_2$  &  $p_3$  going into  $H_3$

$$f_{p_2,h_3} + f_{p_3,h_3} \leq 1$$

Similarly  $H_2$  is common to  $p_4$  and  $p_5$   
We add the constraint

$$f_{p_5,h_2} + f_{p_4,h_2} \leq 1$$