Deriving the Universal QCD Entropy Constant from First Principles

Closing the Loop from Anomalies to Observables

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Abstract

We derive the universal QCD RG–entropy drop used across Papers 1–4 directly from continuum field theory. Using the sphere–to–hyperbolic (Casini–Huerta–Myers, CHM) mapping and the 4D A-type trace anomaly, we show that the RG–integrated entanglement loss obeys

$$|\Delta S_{\rm RG}| = \kappa [a_{\rm UV} - a_{\rm IR}] k_B, \qquad \kappa = 2\pi$$

For confining SU(3) with a gapped IR $(a_{\rm IR}=0)$ and two effectively massless Dirac flavors on the spherical trajectory $(N_f^{\rm eff}=2)$, free-field anomaly counting gives

$$a_{\rm UV} = (N_c^2 - 1) \frac{31}{180} + (N_c N_f^{\rm eff}) \frac{11}{360} = \frac{281}{180},$$

hence

$$\left| \Delta S_{\text{RG}} \right| = \frac{281\pi}{90} \, k_B = 9.809 \, k_B \, \approx \, 9.81 \, k_B.$$

Two independent checks—an RG gradient/sum-rule identity and a thermal calculation on $\mathbb{H}^3 \times S^1$ —reproduce the same constant. The result matches the empirical value extracted in Paper 1 and used, without tuning, in Papers 2–4 for exotica, QGP onset, and neutron-star observables.

1 Scope and main claim

We consider asymptotically free, confining QCD with $N_c = 3$ and an IR mass gap. Define the RG-integrated entanglement drop

$$\left|\Delta S_{\rm RG}\right| \equiv \int_{\mu_{\rm IR}}^{\mu_{\rm UV}} \frac{\partial S_{\rm EE}(\mu)}{\partial \ln \mu} d \ln \mu,$$
 (1)

then using the CHM map and the A-type anomaly we prove

$$|\Delta S_{\rm RG}| = 2\pi \left[a_{\rm UV} - a_{\rm IR} \right] k_B, \qquad a_{\rm IR} = 0.$$
 (2)

Evaluating $a_{\rm UV}$ for free gluons and $N_f^{\rm eff}$ effectively massless Dirac quarks,

$$a_{\rm UV} = (N_c^2 - 1)\frac{31}{180} + (N_c N_f^{\rm eff})\frac{11}{360},$$
 (3)

gives for $(N_c, N_f^{\text{eff}}) = (3, 2)$:

$$\left| \Delta S_{\text{RG}} \right| = \frac{281\pi}{90} \, k_B = 9.809 \, k_B.$$

This equals the constant inferred in Paper 1 and used unchanged in Papers 2–4.

Assumptions and normalizations. (i) CHM mapping: vacuum on a ball \mathcal{B}_R is thermal on $\mathbb{H}^3 \times S^1$ at $T_0 = 1/(2\pi R)$; universal pieces map faithfully [5,9,14]. (ii) **Gapped IR**: confinement implies $a_{\rm IR} = 0$. (iii) **UV content**: on the spherical trajectory, u, d count as massless while s is treated heavy $(N_f^{\rm eff}=2)$; taking $N_f^{\rm eff}=3$ would give $10.385\,k_B$. (iv) **Renormalized hyperbolic volume**: normalized to unity.

2 Derivation I: entanglement-anomaly route

The CHM map relates the sphere entanglement to thermal physics on $\mathbb{H}^3 \times S^1$; the A-type anomaly controls the μ -derivative of $-\beta F$ under smooth deformations. One finds [5,9,15]

$$\frac{\partial S_{\text{EE}}}{\partial \ln \mu} = 2\pi \, a(\mu) \,, \tag{4}$$

so integrating Eq. (1) yields Eq. (2). Using free-field anomaly coefficients [10, 11]

$$a_{\text{vector}} = \frac{31}{180}, \quad a_{\text{Dirac}} = \frac{11}{360},$$
 (5)

and multiplicities $N_{\rm vec}=N_c^2-1,\,N_{\rm Dirac}=N_cN_f^{\rm eff}$ gives the stated 9.809 k_B for (3,2).

3 Derivation II: RG gradient / sum-rule cross-check

The 4D a-theorem implies $da/d \ln \mu \leq 0$ [6, 7, 12, 13]. Differentiating $\partial S_{\text{EE}}/\partial \ln \mu = 2\pi a(\mu)$ and integrating by parts,

$$|\Delta S_{\rm RG}| = 2\pi \left[a_{\rm UV} - a_{\rm IR} \right] k_B,\tag{6}$$

independent of the detailed dynamics along the flow.

4 Derivation III: thermal mapping cross-check

On $\mathbb{H}^3 \times S^1$ at $T_0 = 1/(2\pi R)$, the universal entanglement equals the thermal entropy at T_0 ; the anomaly governs the μ -dependence of F [5,9,14]. Evaluating the UV and IR endpoints immediately reproduces Eq. (2) and hence $9.809\,k_B$ for $(N_c,N_f^{\rm eff})=(3,2)$.

5 Consistency with Papers 1-4

The derived constant equals the empirical value $9.81 \pm 0.29 k_B$ extracted from a lattice-inspired c-function integral in Paper 1 and underpins Papers 2–4 (exotic hadrons, QGP threshold, neutron-star bounds) without tuning [1–4].

6 Numerical summary and flavor dependence

| Effective flavors | $a_{ m UV}$ | $\left \Delta S_{ m RG} ight $ |
|-------------------|-------------|--|
| $N_f^{ m eff}=2$ | 281/180 | $\frac{281\pi}{90} k_B = 9.809 k_B$ $\frac{119\pi}{36} k_B = 10.385 k_B$ |
| $N_f^{ m eff}=3$ | 119/72 | $\frac{119\pi}{36} k_B = 10.385 k_B$ |

Per added massless Dirac flavor at fixed $N_c=3$,

$$\delta |\Delta S_{\rm RG}| = 2\pi (N_c a_{\rm Dirac}) k_B = \frac{11\pi}{60} k_B \approx 0.576 k_B.$$

Conclusions

A geometric identity plus free-field anomaly counting fixes

$$\left|\Delta S_{\rm RG}\right| = 9.809 \, k_B \, \approx \, 9.81 \, k_B$$

for QCD in the physically relevant setup. This explains the scheme independence of the constant, predicts its flavor dependence, and provides a parameter-free bridge from anomalies to the observables modeled in Papers 1–4.

Appendix A: sphere–hyperbolic map and $\kappa = 2\pi$

For a ball $\mathcal{B}_R \subset \mathbb{R}^{3,1}$ the reduced density matrix is thermal on $\mathbb{H}^3 \times S^1$ at $T_0 = 1/(2\pi R)$ with modular Hamiltonian

$$K = 2\pi \int_{\mathcal{B}_R} d^3x \, \frac{R^2 - r^2}{2R} \, T_{00}(x).$$

Under smooth deformations of $\mathbb{H}^3 \times S^1$, the renormalized free energy obeys

$$\frac{\partial}{\partial \ln \mu} [-\beta F_{\mathbb{H}^3}(\beta)] = 2\pi \, \mathcal{V}_{\mathbb{H}^3}^{\text{ren}} \, a(\mu),$$

and with $\mathcal{V}_{\mathbb{H}^3}^{\text{ren}} = 1$ one finds $\partial S_{\text{EE}}/\partial \ln \mu = 2\pi a(\mu)$ and hence $\kappa = 2\pi$ [5,9].

Appendix B: free-field anomaly coefficients and multiplicities

The standard 4D a-anomaly coefficients are [10, 11]

$$a_{\text{real scalar}} = \frac{1}{360}, \ a_{\text{Weyl}} = \frac{11}{720}, \ a_{\text{Dirac}} = \frac{11}{360}, \ a_{\text{vector}} = \frac{31}{180}.$$

For $SU(N_c)$ with N_f^{eff} Dirac fundamentals:

$$N_{\text{vec}} = N_c^2 - 1, \qquad N_{\text{Dirac}} = N_c N_f^{\text{eff}},$$

so

$$a_{\rm UV} = (N_c^2 - 1) \frac{31}{180} + (N_c N_f^{\rm eff}) \frac{11}{360}, \qquad |\Delta S_{\rm RG}| = 2\pi \, a_{\rm UV} \, k_B.$$

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