

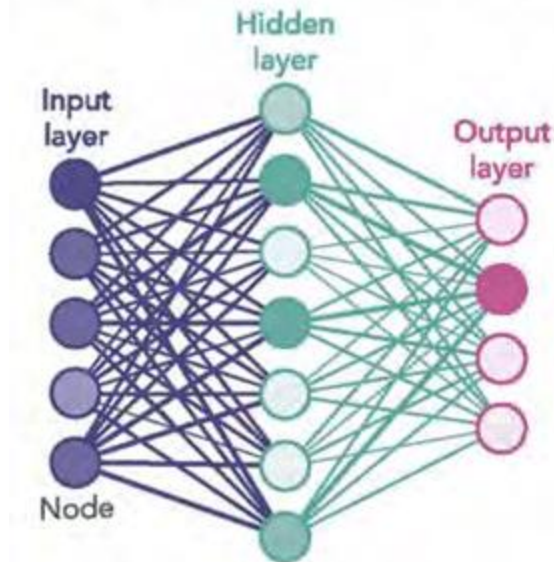
# Redes Neuronales y Aprendizaje Profundo



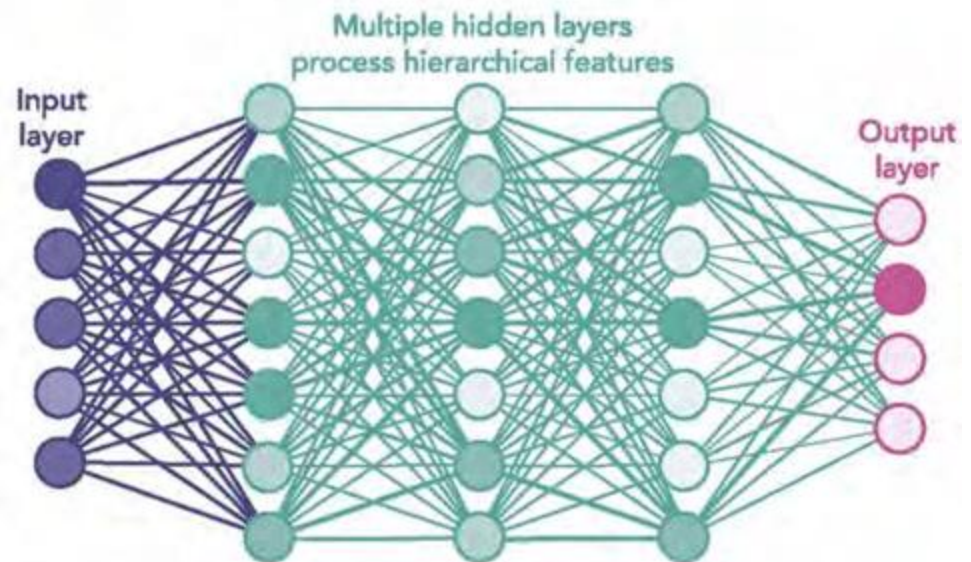
## Bloque1: Feedforward Neural Networks

### From Shallow to Deep NN

SHALLOW NEURAL NETWORK



DEEP NEURAL NETWORK



- **Objectives**

- To analyze the phenomena of **Overfitting and Underfitting** to understand how model complexity affects generalization performance.
- To investigate regularization techniques for preventing poor generalization.
- To evaluate the role of **Parameter Initialization** in maintaining gradient flow and preventing numerical instability in deep architectures

- **Objectives**

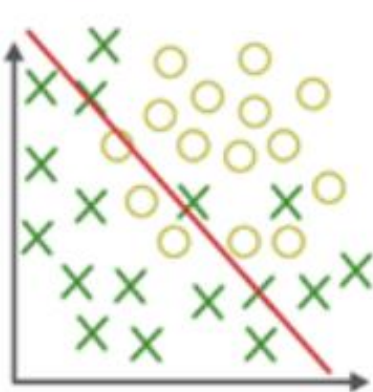
- To understand **Early Stopping** to strategically halt the optimization process at the point of maximum generalization
- To explore **Quantization** as a technique to map high-precision parameters to discrete spaces for efficient edge-device inference.

- **Contents**
  - Overfitting and Underfitting
  - Regularization techniques
  - Parameter initialization
  - Early Stopping
  - Quantization

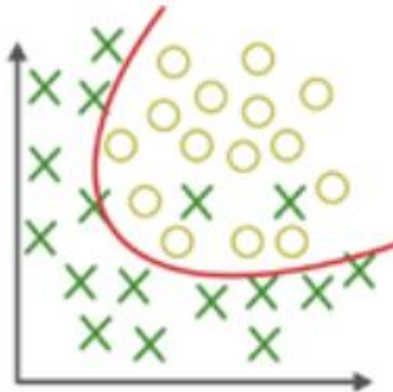
# Overfitting and Underfitting

- **Gradient Descent. Epochs**

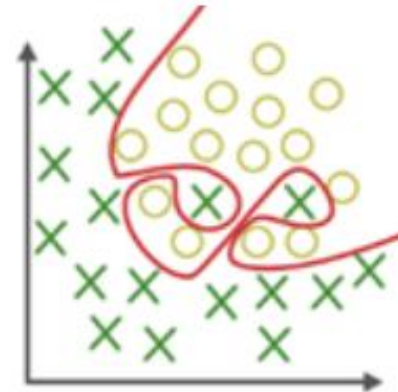
- **Epochs**
- In training a neural network if too many epochs are used the model may have “overfitting” with the training data set.



**under-fitting**



**appropriate-fitting**



**over-fitting**

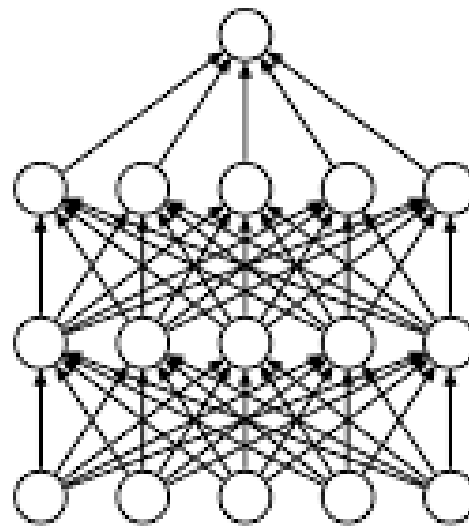
- **Overfitting and Underfitting**

- **Overfitting:** Learns training data too well but has poor generalization in inference.
- **Underfitting:** Does not learn training data and misses characteristics and patterns of the data, leading to poor performance in both training and test datasets.
- Possible solutions:
  - K-fold cross-validation
  - Data augmentation
  - Model selection
  - Hyperparameters selection

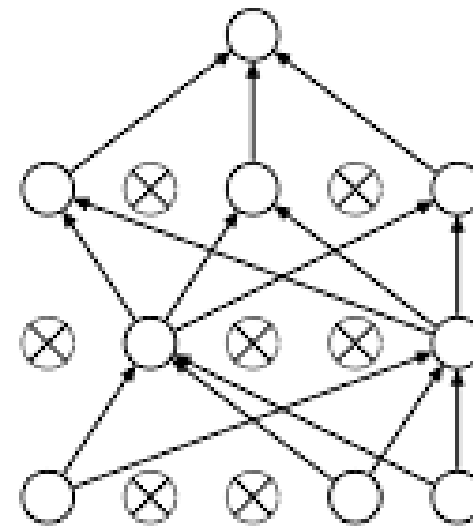


## • Dropout

- Dropout is a **regularization technique** used in neural networks to **prevent overfitting**.
- It works by **randomly deactivating** (or "**dropping out**") a **fraction of neurons during training**, forcing the model to learn more robust and generalizable features.



(a) Standard Neural Net



(b) After applying dropout.



- **Weight Decay**

- Weight Decay penalizes the sum of squared weights.
- Prevents weights from **becoming too large** and leads to more **stable models**.

$$\mathbf{w} = (\mathbf{1} - \lambda)\mathbf{w} - \alpha\Delta\mathbf{C}_0$$

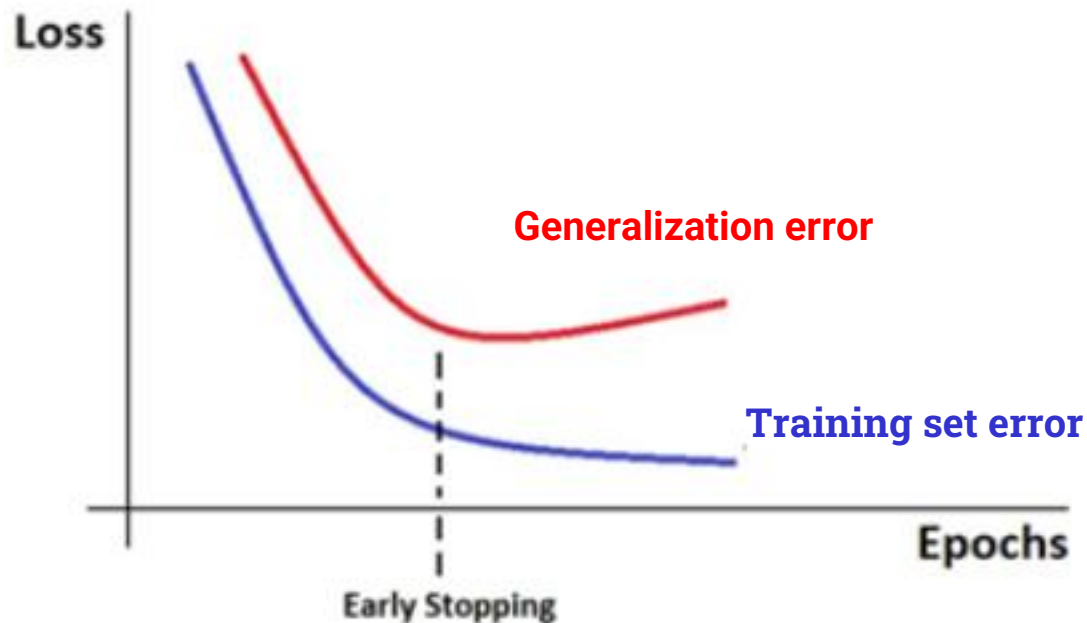
- Useful if we do not want the model to focus on local noise of new inputs.  
Force the network to learn only relevant features in the training set.

- **Data Augmentation**

- Generates **additional** examples and reduces overfitting **increasing the variability** of the dataset
- In small tabular datasets we can apply data augmentation by generating samples with the same distribution or adding noise.

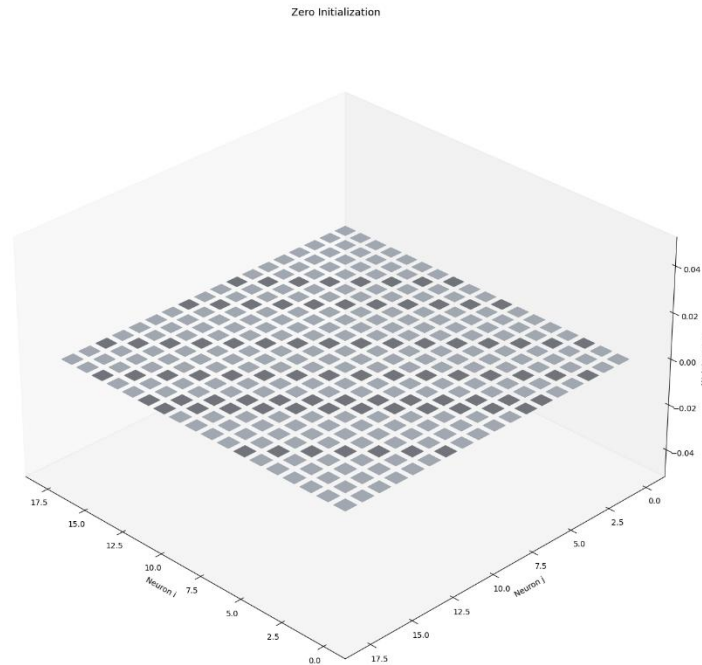
- **Early stopping**

- A very high number of epochs can reduce the training error but increase the generalization error.



- **Zero initialization**

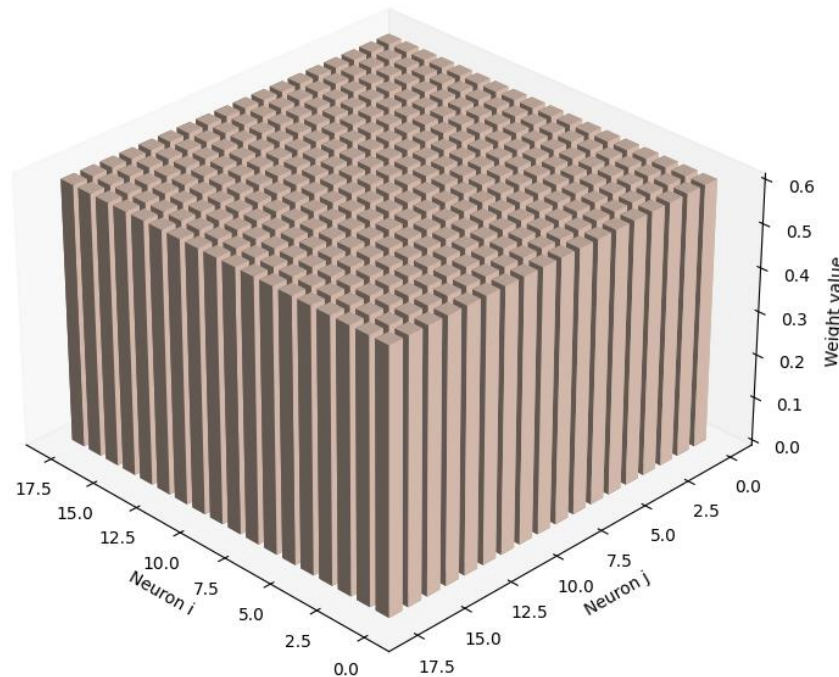
- **Zero initialization** initializes weights with 0. This produces that the all the neurons of the NN learns the same.



- **Constant initialization**

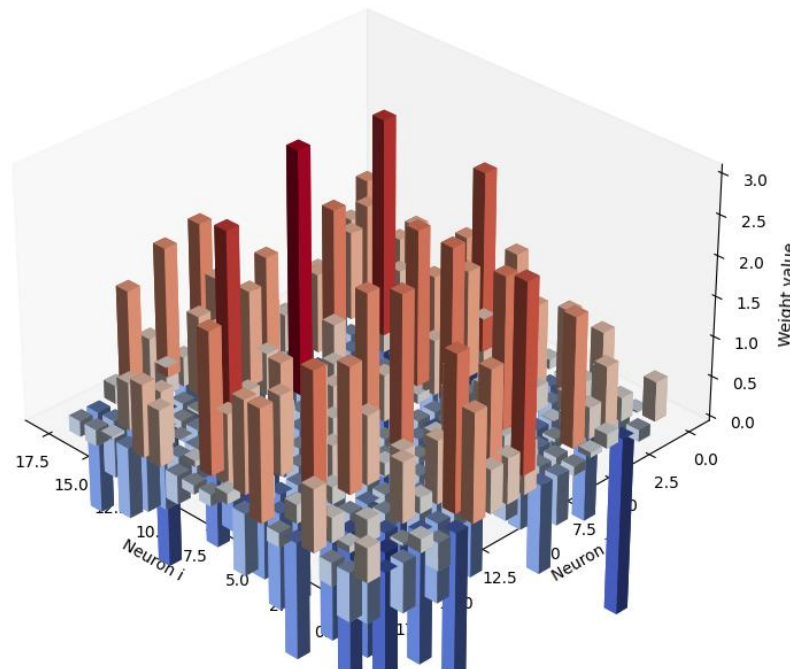
- **Constant initialization** initializes weights with the same value. Has the same problem of Zero initialization.

Constant Initialization



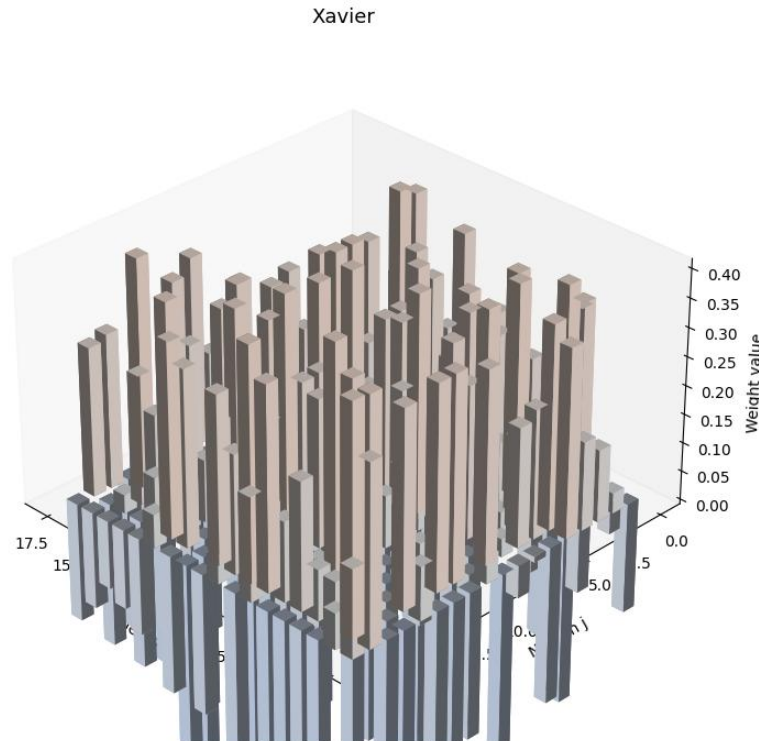
- **Random normal initialization**
  - **Random normal (Gaussian) initialization** initializes weights with the same value. Has the same problem of Zero initialization.

Random Normal



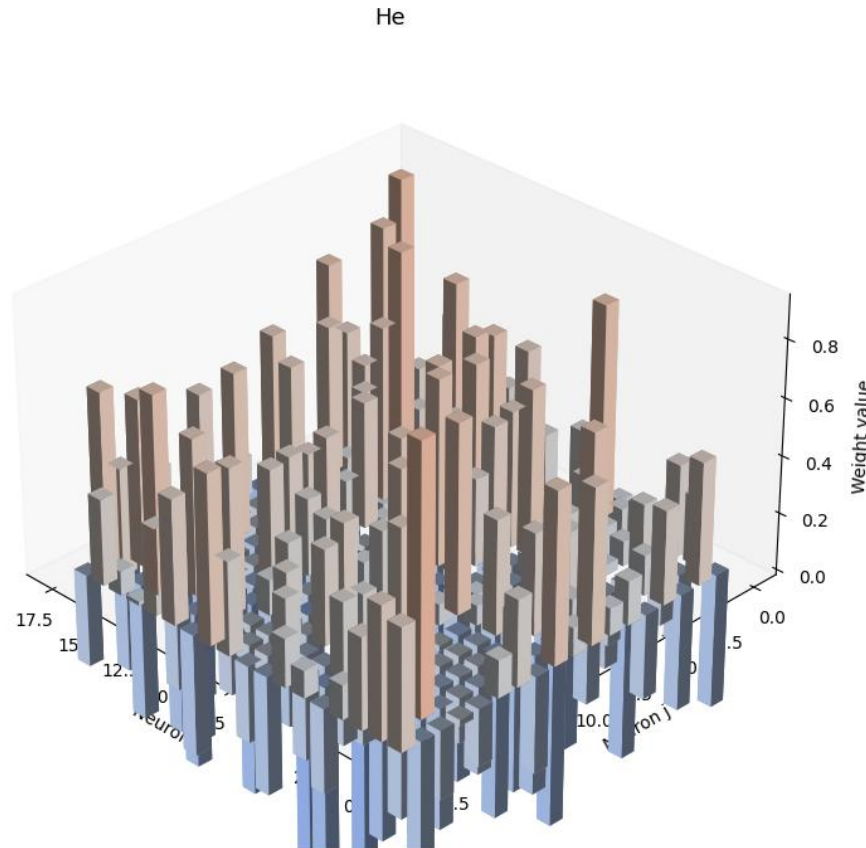
## • Xavier initialization

- One of the main objectives of **Xavier's initialization method** (also known as **Glorot initialization**) in a neural network is to ensure that the gradients during training are neither too large nor too small.



## • He initialization

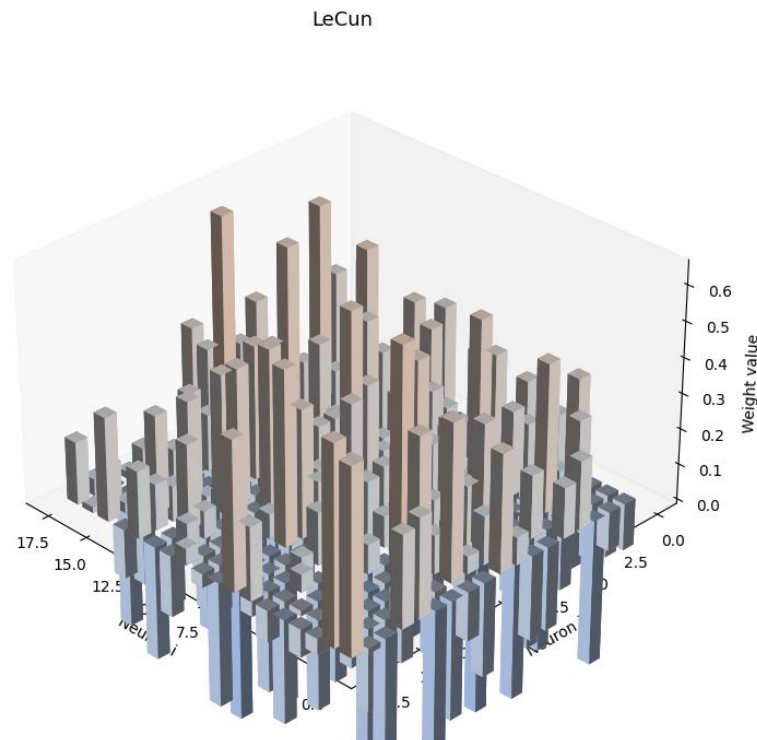
- **He initialization (Normal)** is optimized for layers that use ReLU activation functions. Same variance for both inputs and outputs in NN.





- **Lecun initialization**

- **Lecun initialization (Normal)** is optimized for layers that use SELU/sigmoid/tanh activation functions. Same variance for both inputs and outputs in NN.



- **Quantization**

- **Quantization** is a technique that **reduces** the precision of weights and activations. For instance, from Float32 to smaller formats such as INT8.
- Some studies achieved good results although a quantization of **1.58 bits**.
- Remember, 8 bits = 1 byte, FP32= 4 bytes = 32 bits. 32x times cheaper.

The Era of 1-bit LLMs: All Large Language Models are in 1.58 Bits

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<https://aka.ms/GeneralAI>

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- **Quantization Types**

- **Post-Training Quantization (PTQ)** quantizes a trained model.
- **Quantization-Aware Training (QAT)** simulates quantization during training to reduce accuracy loss.
- In **Weight Quantization** only weights are reduced in precision.
- In **Activation Quantization** are reduced in precision.
- **Bias Quantization** quantizes biases (less common).

## • Exercise 1. Symmetric Uniform Quantization

### ▪ Domain

$n = 8$  , number of bits for the representation

$q \in \mathbb{Z}$  , quantized values are integers

$$q_{min} = -2^{n-1} \quad q_{max} = 2^{n-1} - 1$$

### ▪ Scale

$\alpha = \max(\text{abs}(x))$ , max value in original data

$s = \frac{\alpha}{q_{max}}$  , scale factor that maps x

▪ Input =  $x = [1.23, -0.87]$

### ▪ Quantization

$q = \text{round}\left(\frac{x}{s}\right)$  , rounds to nearest integer