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QuickCheck for Whiley Preliminary Report

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Submitted in partial fulfilment of the requirements for Bachelor of Engineering with Honours.

Abstract

This report is about the progress accomplished in the project: QuickCheck for Whiley. The project aims to improve software quality of Whiley programs by implementing automated test-case generation. The Whiley language and the automatic test-case generation tools: QuickCheck, Concholic Unit Testing Engine and Randoop are investigated. QuickCheck for Whiley has been implemented for random and exhaustive test-case generation with different data types generated and integer range analysis applied using constraints. A timeline is created for completing the implementation of function/method call optimisation, mutation testing and evaluation of the tool. Feedback for evaluating the tool is requested.

Contents

1	Introduction	1
2	Background Survey2.1 Whiley2.2 QuickCheck2.3 Concolic Unit Testing Engine (CUTE)2.4 Randoop	2
3	Work Completed 3.1 Test Case Generation Techniques	5 6 6 7
		9
	Request for Feedback Project Proposal	10 12

1 Introduction

The detection and elimination of bugs is important in software development as it improves the quality and reliability of software. To help eliminate errors in code, the Whiley programming language was developed to verify code using formal specifications [1]. Ideally, programs written in Whiley should be error free [1]. To achieve this goal, Whiley contains a verifying compiler which employs the use of specifications written by a developer to check for common errors such as accessing an index of an array which is outside its boundaries [1].

Listing 1 illustrates an example of a Whiley program with a function, min() which finds the minimum value of two integers. Executing min(2, 3) will return the integer, 2.

```
function min(int x, int y) -> (int r)
ensures r == x ==> x <= y
ensures r == y ==> y <= x:
if x <= y:
    return x
else:
    return y</pre>
```

Listing 1: Whiley program for the min function

Currently, the verifying compiler has limitations when evaluating complex pre- and post-conditions. For example in Listing 2, the post-condition is falsely identified as not holding by the verifying compiler even though the program does meet the post-condition.

```
function square(int x) -> (int r)
ensures r >= 0:
return x*x
```

Listing 2: Whiley program for the square function

Consequently, tests would need to be created to detect if there are further problems within the program. However, writing and running tests can be tedious and costly. Furthermore, it is difficult to write tests for all possible cases and be able to detect all bugs. Instead of manually creating tests, a tool could be created that automatically generates and executes tests on a program such as QuickCheck which was implemented in Haskell by Koen Claessen and John Hughes [2].

QuickCheck uses property-based testing and random testing [2]. Properties defined by the user are used to randomly generate and execute an arbitrary number of tests [2]. Random testing has been widely studied in the literature, leading to the creation of new variants and testing techniques including concolic testing [3], feedback-directed testing [4], [5] and mutation testing [6].

This project aims to implement an automated test case generator for the Whiley programming language, based on the QuickCheck tool. As a result, the automatic test case generator will improve software quality and increase confidence in unverifiable code.

Currently, a working implementation of QuickCheck for Whiley has been completed which can generate and execute tests using random and exhaustive test case generation. Constraints applied to integers and array sizes on nominal types have also been implemented to limit the range of integers and size of arrays generated.

2 Background Survey

There exists a number of different test case generation tools for different languages such as QuickCheck for Haskell [2], Concolic Unit Testing Engine (CUTE) for C [3] and Randoop for Java [5] and .NET [4]. QuickCheck for Whiley is specifically created for the Whiley programming language and uses different techniques to generate test data instead of just one technique.

2.1 Whiley

Whiley is a hybrid imperative and functional programming language developed by David Pearce [7]. The presentation of Whiley resembles an imperative language like Python whereas the core structure is functional and pure [7].

Functions in Whiley are pure therefore, an input will always result in the same output without any observable side effects [1]. Methods are impure so may have side effects on input parameters or other aspects of the program [1]. Functions, methods and other compound data types are passed by value meaning they are a copy of the original variable when they are passed as an argument to a function or method [7].

A key component in Whiley is the automatic verifying compiler which is used in conjunction with explicit specifications to verify programs are correct [7]. Specifications can be written as pre-conditions (requires clauses) and post-conditions (ensures clauses) in a function or method declaration [7]. Specifications can also be written as invariants using where clauses on user defined types (nominal type) or as an invariant on a loop [7].

To be able to execute a Whiley file, it must first be compiled into a WyIL file which is the bytecode form of Whiley in the Whiley Intermediate Language [7]. During compilation, the verifying compiler checks all specifications are met and checks for common errors such as division by zero [7]. Any errors or failed specifications are detected and reported to the developer to fix. Counter examples are also used within Whiley to notify how the specification can fail however, this functionality is limited to examples within a small range. The WyIL file can then be executed if it verifies successfully or compiled without verification.

2.2 QuickCheck

QuickCheck is a tool implemented by Koen Claessen and John Hughes [2] to test Haskell programs. To check if a program is correct, QuickCheck uses property-based testing which uses conditions that will always hold true [2]. Users define the conditions as Haskell functions in terms of formal specifications to validate functions under test [2].

QuickCheck then generates a large number of test cases automatically with random testing [2]. Input values are randomly generated for each test by using generators that correspond to the input value's type [2]. For quantitative types, a generator generates a value within a defined range such as between -5 and 5 for integers [2]. For qualitative types, the generator uniformly selects a value that can be generated for that type such as generating true or false for a Boolean [2]. QuickCheck contains generators for most of Haskell's predefined types and for functions [2]. User-defined types require the tester to specify their own custom generators with a bounded size if the type is recursive such as a binary tree. [2].

A problem with random testing is the distribution of test data. Ideally, the distribution should adhere to the distribution of actual data which is often uniformly distributed [2]. For example, executing tests for Listing 4 could be skewed towards test data with short lists. The distribution of data is controlled by the tester by creating a custom generator for the data type with weighted frequencies on the methods used to generate the data [2].

An example of using QuickCheck would be reversing a list. Firstly, a property is defined in Listing 3 where the list xs, should be the same as reversing xs twice.

```
propReverseTwice xs = reverse (reverse xs) == xs
```

Listing 3: Property for reversing a list in QuickCheck

QuickCheck is then executed by importing the property and passing it into the interpreter as shown in Listing 4.

```
Main:> quickCheck propReverseTwice
Ok: passed 100 tests.
```

Listing 4: Executing tests to check a list is reversed

A large number of test cases are created where random input values are generated to check the user-defined property holds. QuickCheck reports various test statistics including the number of tests that have passed or failed [2].

2.3 Concolic Unit Testing Engine (CUTE)

Concolic Unit Testing Engine (CUTE) generates test inputs using a combination of symbolic and concrete execution [3]. The aim of CUTE is to provide input values to explore all feasible execution paths of a program and thus achieve high path coverage [3]. CUTE has been applied to C programs [3].

Random testing may generate inputs with the same behaviour leading to its redundancy [3]. Furthermore, the probability of selecting inputs that will detect errors using random testing is small [3]. Therefore, CUTE incrementally generates concrete inputs using symbolic execution to discover feasible paths [3].

Firstly, CUTE executes the program with arbitrary inputs using concrete and symbolic execution concurrently [3]. In concrete execution, the program is executed normally with the input values [3]. At the same time, symbolic execution is run through the same path [3]. Using the symbolic variables, constraints from branching expressions are discovered and stored [3]. The last constraint applied is negated so that the next test run will explore a different feasible path [3]. The constraint is solved to limit and determine the possible inputs to execute in the next test [3].

For example, we execute Listing 5 and create the random values x = y = 1. In concrete execution, z is set to 1 whereas in symbolic execution, z is set to x * y. At line 2, x != 2 so the condition fails. As the program went through the path where x != 2, a different path will be taken in the next test. This is by negating and solving the condition by setting x to 2 and y to 1. The test will then fail at line 4 as x >= z. Therefore, CUTE will then find values that solve the constraints x == 2 and x < z such as x = 2 and y = z. Running this test will then exhibit the error on line 5.

```
1  void foo(int x, int y) {
2    int z = x * y;
3    if(x == 2){
4        if(x < z){
5          ERROR;
6       }
7    }
8 }</pre>
```

Listing 5: Example C program

2.4 Randoop

Randoop which stands for random tester for object-oriented programs, generates unit tests using feedback-directed random test generation [4], [5]. Feedback-directed random test generation involves using instances or returned method values from previous successful tests in subsequent tests [5]. As a result, a test suite is outputted with successful and unsuccessful tests [4], [5].

Randoop creates a unit test incrementally by randomly generating a sequence of methods [4]. Method sequences consist of randomly generated instances from constructors or randomly selected methods from public classes [4]. Input arguments are either randomly generated primitive values, values from the current test, values from previous successful tests or null [4]. Values from previous successful tests are only used if they are not filtered out by user defined filters or Randoop's inbuilt filters [4]. Assertions based on contracts built into Randoop or optionally defined by the user, are created at the end of the test to verify if the test was successful [4]. If the test failed, then the test is outputted as a contract-violating test [4]. If the test was successful, then the test is outputted as a regression test [4].

For example, executing Randoop on the Java program in Listing 6 will produce several tests based on different method sequences. One successful list of method sequences could be Listing 7, which can be re-used in subsequent sequences. It is outputted into a regression test as shown in Listing 8. By extending the method sequences, another list of method sequences is created such as Listing 9. This list of method sequences throws an error as the equals() method is incorrect as a1 is not equal to null and thus, is a contract-violating test that will be outputted.

```
public class A {
   public A(){ }

@Override
public boolean equals(Object obj){
   return true;
}
```

Listing 6: Example Java class

```
1 A a1 = new A();
2 a1.equals(a1);
```

Listing 7: Successful method sequence for testing Listing 6

```
1  @Test
2  public void test1() {
    A a1 = new A();
    assertTrue(a1.equals(a1));
5  }
```

Listing 8: Test output for the successful method sequence in Listing 7

```
1 A a1 = new A();
2 a1.equals(null);
```

Listing 9: Contract violating method sequence for testing Listing 6

3 Work Completed

An implementation of the tool has been created with generation of core and more complex data types. The tool has also been extended to use integer range analysis to constrain input values generated for better performance. Unit tests have also been written to check the tool generates the values expected.

The tool can be executed via the command line with several arguments. To use the tool, a user must pass in the path of a WyIL file and specify the test case generation technique to use, number of tests they wish to generate and ranges for generating integer values. Ranges are used to limit the integers generated between an inclusive, minimum number and an exclusive, upper number.

Whiley's interpreter is used to validate inputs and outputs from tests. Valid inputs are based on verifying pre-conditions and constraints on the input type used. Only valid inputs are used in tests. A successful test is checked by verifying outputs with the function's post-conditions and constraints on the outputs' type.

An example of using the tool is to test the file abs.wyil which contains the following code in Listing 10. To execute the tool, we run in the command line:

```
java QuickCheck abs.wyil exhaustive 10 -5 5
```

This tells QuickCheck for Whiley to generate 10 tests using exhaustive test case generation with an integer range between -5 and 5. When the tool is executed, it outputs several statistics for the user as shown in Listing 11 including the number and percentage of tests that passed and failed and the inputs that failed.

```
1 function abs(int x) -> (int r)
2 ensures r >= 0
ensures r == x || r == -x:
    return x
```

Listing 10: Whiley program for an incorrect implementation of the abs function

```
Failed Input: [-5] Output: [-5]
Failed Input: [-4] Output: [-4]
Failed Input: [-3] Output: [-3]
Failed Input: [-2] Output: [-2]
Failed Input: [-1] Output: [-1]
Failed: 5 passed (50.00 %), 5 failed (50.00 %), ran 10 tests
```

Listing 11: Results of executing the tool on Listing 10

3.1 Test Case Generation Techniques

QuickCheck for Whiley currently employs random test case and exhaustive test case generation to generate test cases. A test case in QuickCheck for Whiley tests one function or method using a set of generated input values.

3.1.1 Random Test Case Generation

A fixed number of tests determined by the user is randomly generated. To randomly generate a value for a particular type, the type is quantified into a numeric range of valid values. A random integer value is pseudorandomly created from a seed and used to select a value out

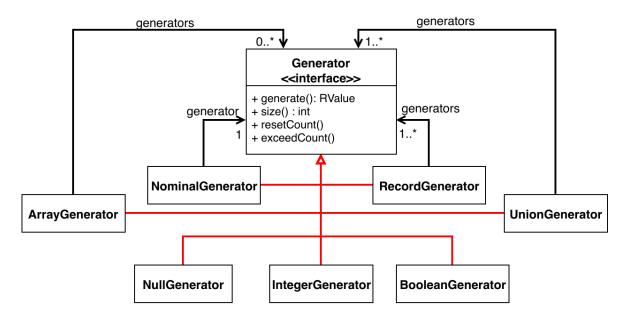


Figure 1: Class diagram of Generators in QuickCheck for Whiley

of the range of valid values. A problem encountered using random generation is that previous inputs are not considered which may lead to the same inputs being generated. Knuth's Algorithm S from [8] was considered to generate unique inputs to solve this problem but has not been implemented yet.

3.1.2 Exhaustive Test Case Generation

A fixed number of tests determined by the user are exhaustively generated. Ranges are used to limit integers and the size of arrays during generation so that a feasible number of values are generated. Exhaustive test generation uses the size(), exceedCount(), and resetCount() methods to generate the correct value as shown in Figure 1. The number of unique values that can be generated is determined by using the size() method. exceedCount() is used to check if the number of tests exceed the number of unique values. If this occurs, then resetCount() is called so that the tool will cycle around and generate inputs exhaustively from the starting value. Integers are generated by starting from the lower limit. Arrays are generated by starting from the smallest possible array size, which is usually an empty array.

3.2 Data types generated

Different generators are created for the different types defined in Whiley. Each generator generates a value for their corresponding type. Figure 1 illustrates the structure of the generators used.

Null Generate a null value.

Boolean Generates a Boolean which is either true or false.

Integer Generates an integer between a bounded range. This range may be modified based on constraints applied to the integer.

Array Generates an array with a specific element type between a bounded size range. The size of arrays is currently limited to a maximum size of three elements due to the performance cost of generating larger arrays.

Nominal A nominal represents a user-defined type by redefining a type with a different name [1]. Therefore, a generator for a nominal wraps a generator for a different type to generate a value. A nominal can also have a type constraint applied to it [1].

Record "A record type describes the set of all compound values made from one or more fields, each of which has a unique name and a corresponding type [1]." A closed record contains a fixed number of fields whereas an open record can have any number of fields with different types [1]. A closed record is generated by generating values that correspond to each field. Generators corresponding to each field is required as each field may have different types. Generation for open records has not been implemented as there can be an arbitrary number of fields added to a record. This could be a future extension to the tool.

Union A union is made up of multiple types where the union's value corresponds to any one of the types declared. For example, the type bool | int can hold either a boolean or an integer value. A union is generated by generating a value from one of the declared types. A generator corresponding to each type is required. Recursive unions such as type list is {int value, list next} | null have not been implemented as they can recursively generate themselves indefinitely. A solution to this would be to limit the number of recursive values generated.

Values for the union type could be skewed towards generating values for only one type. It was important that the different types for each union are generated fairly. Therefore, the union generator was implemented so that a value from a different type would be generated each time by iterating through the generators within the union generator.

3.3 Integer Range Analysis

Generating and checking for invalid inputs is costly in terms of performance. Therefore, it would be beneficial to reduce the number of invalid inputs generated to improve the performance of the tool. One method to remove invalid inputs for integers is to shrink the ranges used during generation, thus preventing the generation of invalid inputs.

For example, a nominal type is defined, type nat is (int x) where x >= 0 and the tool is executed for an integer range between -5 and 5. An integer generator for this input will only generate numbers between 0 and 5; removing the need to generate then discard invalid inputs which are numbers below 0.

This is implemented by evaluating the constraints within a nominal type to discover integer range generated from the constraint. Existing code from [9] from the work in [10] was used to create the integer ranges. The discovered integer range is passed down in the nominal generator until it reaches the relevant integer or array generator where it is intersected with an existing range. If the ranges are invalid, i.e. the lower range is greater than the upper range then an error is thrown.

A number of issues were encountered when evaluating constraints. Constraints with multiple parameters such as x < y, cannot be evaluated as there are no constant values to limit the parameters. Another constraint that is difficult to evaluate is when a constant has additional terms for example, x - 2 > 10. This requires reversing operations so that all constants are evaluated on one side of the constraint (i.e. x > 10 + 2). Therefore, only

constraints of the following format is evaluated: x op c where x is the named variable in the nominal type, op is an operator out of the set $\{>, <, >=, <=, \&\&, ||, ==\}$ and c is a constant number. Currently, integer ranges are only applied to constraints on nominal types. However, this could be extended to arguments using a function or method's precondition.

3.4 Evaluating the tool

The tool has been evaluated by executing a variety of tests notably, the test suite for the Whiley Compiler containing tests for valid and invalid inputs and outputs [11]. Valid tests should always pass for valid inputs whereas invalid tests should always fail for some valid input. A successful implementation of the tool should pass all tests.

To evaluate the Whiley Compiler test suite, the tool is executed twice using exhaustive test generation for each test to generate a feasible number of combinations. The first execution is with a negative integer range between -5 (inclusive) and 0 (exclusive) whereas the second execution is with a positive integer range between 0 (inclusive) and 5 (exclusive). Currently, the tool passes 80.69% (4 s.f) (422 out of 523 tests) of the valid tests taking roughly 50 seconds to execute and passes 93.49% (4 s.f.) (316 out of 338 tests) of the invalid tests taking roughly 15 seconds to execute [12]. Some of the tests may falsely pass by the tool due to the small domain of integer ranges used. For example, a test could have type constraint on a nominal type where the wrapped integer is greater than 10 which is outside the ranges tested. Therefore, some tweaking will be required to determine a suitable integer range across all tests.

4 Future Plan

A working implementation of QuickCheck for Whiley has been completed halfway through the project. However, there are still several components that need to be completed. A timeline of these components is shown in Table 1.

Output	Estimated	Start Date	Complete
	Time		by
Optimise function and method calls when testing a specific	3 weeks	11/6/18	2/7/18
function or method. Executing a function or method is ex-			(Exam
pensive therefore, optimising these calls will improve the			period)
performance of the tool.			
Produce slides for presentation of preliminary report.	1 week	2/7/18	9/7/18
			(Break)
Add mutation testing by mutating aspects of a Whiley file	3 weeks	9/7/18	30/7/18
and testing the mutated file. Then compare the results from			(Week 3)
the mutated files with the original file.			
Produce draft of final report.	6 weeks	30/7/18	15/9/18
			(Week 7)
Evaluate the automatic test-case generator using the differ-	3 weeks	15/9/18	5/10/18
ent test-case generation techniques against a test suite.			(Week 10)
Finalise final report.	2 weeks	6/10/18	21/10/18
			(Week 12)
Prepare slides for final presentation.	3 weeks	22/10/18	16/11/18
-			(Exam
			period)

Table 1: Timeline

5 Request for Feedback

Feedback about using the Whiley Compiler test suite for evaluating the tool as discussed in Subsection 3.4 would be appreciated.

When evaluating the tool, I would like to know if the following method would be suitable. A method of evaluation could be executing the tool multiple times on the same test suite using different test techniques such as random and exhaustive test case generation. The results can then be compared based on speed of execution, number of tests passed and number of errors detected. Alternative suggestions on how to evaluate the tool would be helpful.

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A Project Proposal

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QuickCheck for Whiley

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Abstract

This document is a project proposal for the project, QuickCheck for Whiley. The project aims to improve software quality of Whiley programs by implementing an automated test-case generator for Whiley. The solution will be evaluated by checking if it can detect bugs in a small benchmark set. No special resources are required for this project.

1. Introduction

Testing is an important process in software development as it helps detect the presence of bugs. However, writing and running tests can be tedious and costly. Furthermore, it is difficult to write tests for all possible cases and be able to detect all bugs.

An automated test-case generator called QuickCheck was implemented in Haskell by Koen Claessen and John Hughes [1] which alleviates these issues.

This lightweight tool employs two testing ideas. Firstly, QuickCheck uses property-based testing which uses conditions that will always hold true. Users define the conditions in terms of formal specifications to validate functions under test.

Secondly, QuickCheck generates test cases automatically using random testing. Input values are randomly generated for each test (within a range) using generators that correspond to the input value's type. QuickCheck contains generators for most of Haskell's predefined types and for functions. It requires the developer to specify their own generators for user-defined types [1].

An example of using QuickCheck would be reversing a list.

Firstly, a property is defined where the list xs, should be the same as reversing xs twice.

```
propReverseTwice xs = reverse (reverse xs) == xs
```

QuickCheck is then executed by importing the property and passing into the interpreter:

```
Main:> quickCheck propReverseTwice Ok: passed 100 tests.
```

This will create a large number of test cases by using the user-defined property and random input values to check the property holds. QuickCheck reports various test statistics including the number of tests that have passed or failed [1].

QuickCheck has been re-implemented in other languages including Scala, Java and C++. A commercial version of QuickCheck in Erlang called Quviq QuickCheck is co-founded by one of the original developers, John Hughes and is an extension of the original QuickCheck for Haskell [2].

This project is about implementing an automated test-case generator for the programming language, Whiley based on the QuickCheck tool.

2. The Problem

Whiley is a programming language, developed to verify code and eliminate errors using formal specifications. Ideally, programs written in Whiley should be error free. To achieve this goal, Whiley contains a verifying compiler which employs the use of specifications written by a developer to check for common errors such as accessing an index of an array which is outside its boundaries [3].

Below is an example of a Whiley program with a function, min() which finds the minimum value of two integers. This program prints out "The smallest integer is 3" when executed.

Listing 1: Whiley program for the min function

```
import std::io
2
   import std::ascii
3
4
  method main():
5
      int x = 5
6
      int y = 3
7
      int smallest = min(x, y)
      io::print("The smallest integer is ")
9
      io::println(smallest)
10
11
   function min(int x, int y) \rightarrow (int r)
   ensures r == x ==> x <= y
12
   ensures r == y ==> y <= x:
14
      if x \le y:
15
         return x
16
      else:
17
          return y
```

Currently, the verifying compiler has limitations when evaluating complex pre- and post-conditions. For example, a post-condition could be falsely identified as not holding by the verifying compiler even though the program does meet the post-condition. Therefore, this project aims to implement an automated test-case generator in Whiley to improve software quality and increase confidence in unverifiable code.

3. Proposed Solution

The automated test-case generator needs to read a Whiley program and then generate tests for functions in the program. Input values that adhere to the function's preconditions will be used in testing. Strategies for generating input values include random generation and dynamic generation. The success of each test is determined by using the function's postconditions. The test results should show the percentage of tests that passed and the inputs used in the failed tests.

An example of a function for test-case generation would be for the min function introduced in Listing 1.

Possible input values automatically generated include:

- min(4, 4) would result in r = 4
- min(6, 7) would result in r = 7
- min(10, 5) would result in r = 10
- min(-2, 8) would result in r = 8

Several components developed for the Whiley language will need to be used for the automated test-case generator. The Whiley interpreter will be used for checking candidate values meet precondition and validating test outputs meet the postconditions. It will also be used for analysing the program structure such as the names of functions declared and their parameters. The Whiley Compiler will be used for generating input data for different types.

After basic test-case generation is completed, more complex types can be generated for testing and/or further enhancements to the tool can be made.

Possible enhancements include:

- Weight on the frequency of test values
- Shrinking failed test cases
- Classifying tests
- Using dynamic symbolic execution

Regular meetings (weekly or fortnightly) with the primary supervisor, David Pearce will be held for assistance and guidance in the project.

Timeline

A gantt chart of the project can be found on the project's repository.

Trimester 1

Output	Estimated	Start Date	Complete
	Time		by
Produce bibliography	2 weeks	19/3/18	9/4/18
			(Week 5)
Produce project proposal	2 weeks	19/3/18	9/4/18
			(Week 5)
Implement an automated test-case generator for Whiley	3 weeks	19/3/18	16/4/18
programs for the primitive types: bool, byte, int, null			(Week 6)
Implement automated test-case generation for more com-	3 weeks	16/4/18	14/5/18
plex types: void, array, union and records			(Week 9)
Produce preliminary report	3 weeks	14/5/18	10/6/18
			(Week 12)
Extend the automatic test generator to be able to generate	3 weeks	11/6/18	2/7/18
other types or use methods for better test case distribution			(Exam
(weighting, classification)			period)

Trimester 2

Output	Estimated	Start Date	Complete
	Time		by
Produce slides for presentation of preliminary report	1 week	2/7/18	9/7/18
			(Break)
Extend the automatic test generator to include other meth-	3 weeks	9/7/18	30/7/18
ods of testing (symbolic) or be able to generate other types			(Week 3)
Produce draft of final report	6 weeks	30/7/18	15/9/18
			(Week 7)
Complete implementation of automated test generator	3 weeks	15/9/18	5/10/18
			(Week 10)
Finalise final report	2 weeks	6/10/18	21/10/18
			(Week 12)
Prepare slides for final presentation	3 weeks	22/10/18	16/11/18
			(Exam
			period)

4. Evaluating your Solution

This project will be evaluated by checking if the developed tool can detect bugs that have been inserted into a small benchmark set.

5. Resource Requirements

No special resources are required. Only the use of the ECS laboratories is required.

Bibliography

- [1] K. Claessen and J. Hughes, "Quickcheck: A lightweight tool for random testing of haskell programs," SIGPLAN Not., vol. 46, pp. 53–64, May 2011.
- [2] J. Hughes, "Quickcheck testing for fun and profit," in *Proceedings of the 9th International Conference on Practical Aspects of Declarative Languages*, PADL'07, (Berlin, Heidelberg), pp. 1–32, Springer-Verlag, 2007.
- [3] D. Pearce, "The Whiley Language Specification," 2014.