

# SCHOOL OF COMPUTER AND COMMUNICATION SCIENCES

ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE

# Computer Vision Laboratory Unseen Spacecraft Pose Estimation

Baseline solution by implementing a machine learning framework with target models included

Bachelor's Thesis in Computer Science

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#### Summary

This project falls within an Unseen 6 Degrees of Freedom (DoF) competition, organized in collaboration with the European Space Agency (ESA) Advanced Concept Team. Essentially, we are dealing with space objects that are unfamiliar to us, and our objective is to accurately predict their 6DoF poses. The action of the Computer Vision Laboratory (CVLab) team is twofold: firstly, we are tasked with creating a challenging dataset featuring multi-object, unseen, and occluded space-craft scenarios. This involves ensuring a high degree of rendering realism. Secondly, we are focused on developing a baseline solution, which entails implementing a pose estimation model and conducting thorough training and testing on our dataset. My role this semester was primarily concentrated on the latter aspect, specifically on a track that incorporated target models.

Foremost, we started with a literature search by reading recent papers about generalizable 6DoF object pose estimation<sup>1</sup>. Among the various models we explored, one that particularly captured our attention was the "Generalizable Model-Free 6-DoF Object Pose Estimation from RGB Images," commonly referred to as Gen6D [1]. We have compiled a table summarizing the advantages and disadvantages of this architecture for a clearer understanding:

Table 1: Summary of Gen6D

Pros	Cons
Generalizability	Limited by Reference Image Quality
Model-Free	Everyday Life Objects Training Data
Simple Input Requirements	Difficulty with Symmetric Objects
Robustness to Background Clutter	Dependence on Initial Detection and Selection
Effective in Diverse Environments	Potential Challenges with Severe Occlusions
Competitive Performance	Computationally Intensive

We need to point out that Gen6D does not need a model because it uses a Structure From Motion (SFM) software called *Colmap*. As we are on the track with the target models included, we simply skip this reconstruction step.

After selecting the model, we needed to establish a suitable environment for executing the code: EPFL Scitas Izar servers. Equipped with two NVIDIA V100 PCle 32 GB GPUs, these servers are ideally configured for our Machine Learning (ML) task. However, this stage proved to be more time-consuming than expected. It entailed various technical challenges, including setting up the virtual environment, installing the necessary dependencies, composing the bash execution script, and, fundamentally, learning the correct way to utilize the server.

After resolving the engineering aspects, we were able to delve into the practical side of the project, working directly with the code to adapt it to our dataset. After understanding the code, the initial task involved developing a data loader specifically for the Spacecraft dataset. This was accompanied by various minor modifications within the code, such as updating names and adjusting data paths. To align with the data format used by Gen6D for poses, it was necessary to write a

 $<sup>{}^{1}</sup>https://github.com/liuyuan-pal/Awesome-generalizable-6D-object-pose}\\$ 

Python script. This was because our dataset utilized quaternions combined with a translation vector in one text file, whereas Gen6D's format employed a rotation matrix augmented by the translation vector formatted as a separate numpy array for each image.

Next, we tackled the debugging phase, aimed at addressing the model's accuracy issues. We encountered several problems. For instance, we needed to rectify the inverted masks for the objects using a Python script with the OpenCV library. Additionally, the model processes the reference images by cropping them into 128x128 pixel dimensions, while the detector is designed to identify objects in the query images that range from half to double the size of the reference images. Consequently, we resized the query images to reduce the scale difference relative to the reference images. This resizing was accompanied by necessary adjustments to the intrinsic matrix. Also we had to enhance the selection process of the reference images to ensure a more uniform distribution around the objects: contrary to everyday objects, spacecrafts can be observed from any angle, this should be considered in our approach.

One should bear in mind that in this model implementation, we decided to not retrain Gen6D. As a result, the outcomes are now more reliant than ever on the quality of the reference images. Given that we have access to highly realistic renderings of the spacecrafts and precise ground truth poses provided by the dataset team, we aim to assess Gen6D's effectiveness across a range of objects. Below are the visual results for the Hubble object:

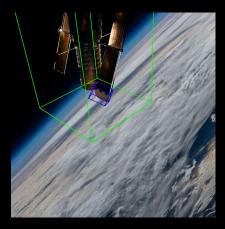


Figure 1: Hubble Space Telescope with earth rendered background, 1024×1024 first query image

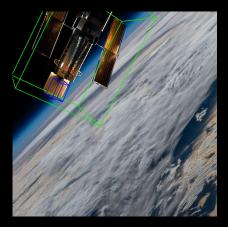


Figure 2: Hubble Space Telescope with earth rendered background, 1024×1024 second query image

#### **Acknowledgments**

Before delving into the topic, I'd like to express some acknowledgments. First and foremost I must thank my advisors Andrew Price and Chen Zhao for accepting my

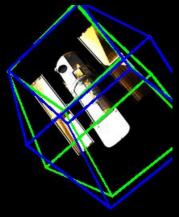


Figure 3: Hubble Space Telescope, no background, 256x256 query image,  $e_{\rm ADD}=2.925,\,e_{\rm ADD-S}=1.183$ 

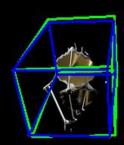
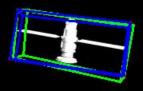


Figure 4: James Webb Space Telescope, no background, 256x256 query image,  $e_{\mathrm{ADD}} = 1.415$ ,  $e_{\mathrm{ADD-S}} = 0.808$ 



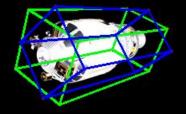


Figure 5: Cosmos Link, no background, 256x256 query image,  $e_{\rm ADD}=1.718,\ e_{\rm ADD-S}=0.383$ 

Figure 6: Rocket Body, no background, 256x256 query image,  $e_{\rm ADD}=1.713,~e_{\rm ADD-S}=0.252$ 

request to take part in a semester project under their guidance. I am grateful to have been able to practice my skills with them, and can only hope that the feeling is mutual. Moreover I would also like to thoroughly thank my friends and family for supporting me in my academic journey, despite a rather unstable start in my studies.

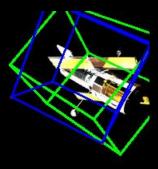


Figure 7: Hubble Space Telescope, no background, 256x256 query image,  $e_{\rm ADD}=6.514,~e_{\rm ADD-S}=1.571$ 

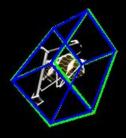


Figure 8: James Webb Space Telescope, no background, 256x256 query image,  $e_{\rm ADD}=2.224$ ,  $e_{\rm ADD-S}=1.261$ 

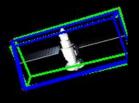


Figure 9: Cosmos Link, no background, 256x256 query image,  $e_{\rm ADD}=1.925,~e_{\rm ADD-S}=0.377$ 

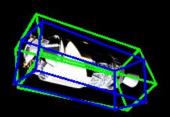


Figure 10: Rocket Body, no background, 256x256 query image,  $e_{\rm ADD}=1.982$ ,  $e_{\rm ADD-S}=0.501$ 

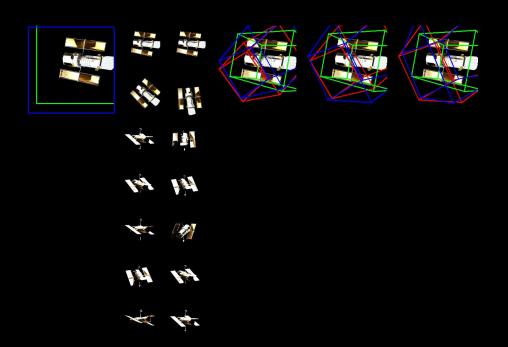


Figure 11: Hubble Space Telescope, no background, intermediary result,  $e_{\rm ADD-S}=5.196$ 

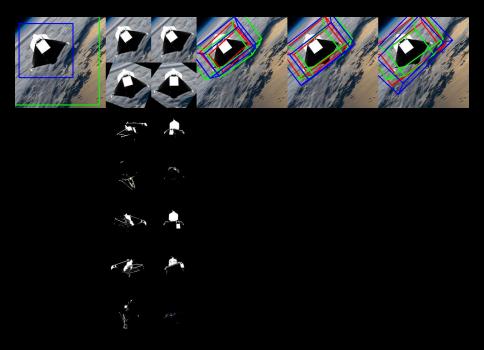


Figure 12: James Webb Space Telescope, with earth rendered background, intermediary result,  $e_{\rm ADD}=10.934,\,e_{\rm ADD-S}=4.317$ 

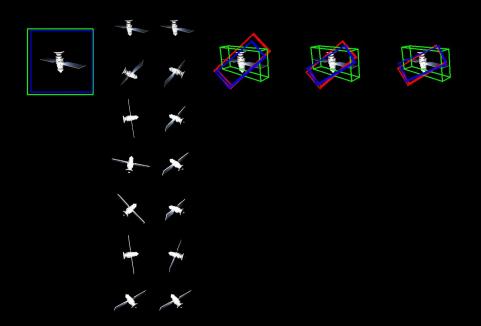


Figure 13: Cosmos Link, no background, intermediary result,  $e_{\rm ADD}=11.094, e_{\rm ADD-S}=6.127$ 

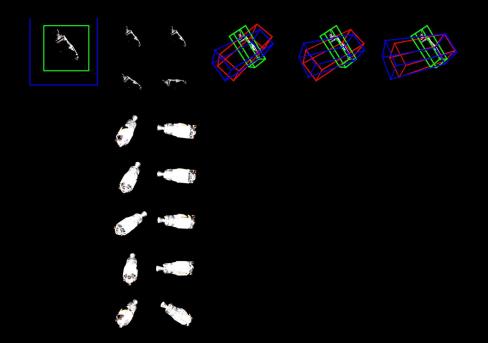


Figure 14: Rocket Body, no background, intermediary result,  $e_{\rm ADD} = 29.335,\, e_{\rm ADD\text{-}S} = 17.743$ 

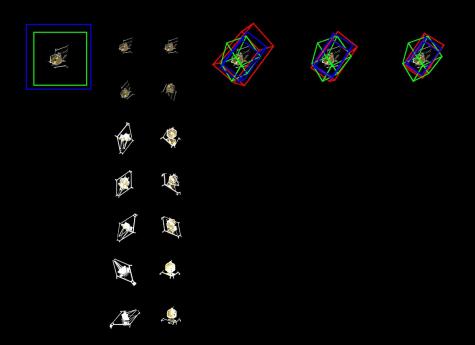


Figure 15: James Webb Space Telescope, with no background, intermediary result,  $e_{\rm ADD}=21.983,\ e_{\rm ADD-S}=12.358$ 

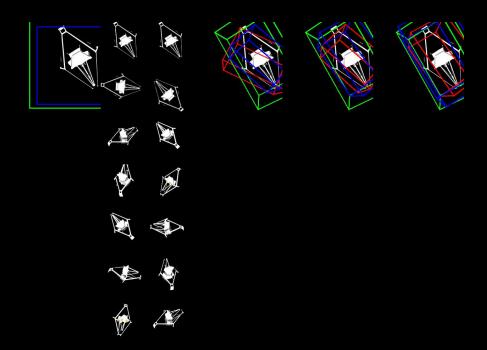


Figure 16: James Webb Space Telescope, with no background, intermediary result,  $e_{\rm ADD}=1.060,~e_{\rm ADD\text{-}S}=0.556$ 

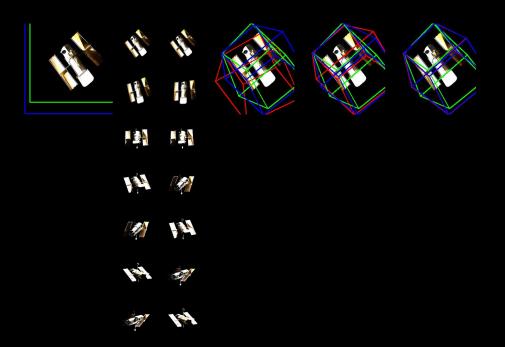


Figure 17: An Estimation by Gen6D (Highlighted in Blue) of the Hubble Object, Presented Without a Background.

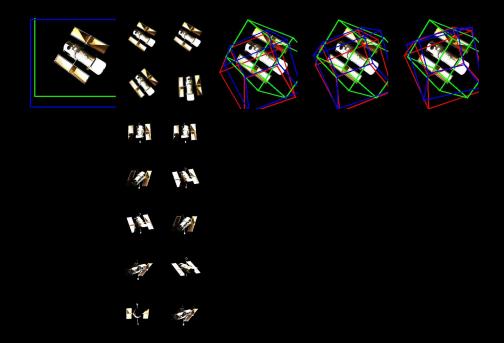


Figure 18: An Estimation by Gen6D (Highlighted in Blue) of the Hubble Object, Presented Without a Background. Gen6D happens to lack of accuracy.

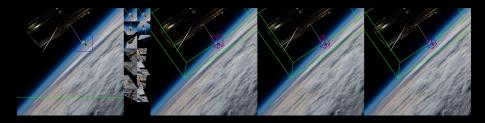


Figure 19: An Estimation by Gen6D (Highlighted in Blue) of the Hubble Object, Presented With a Background. Here the query object size is too big for the detector.

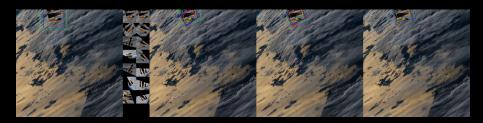
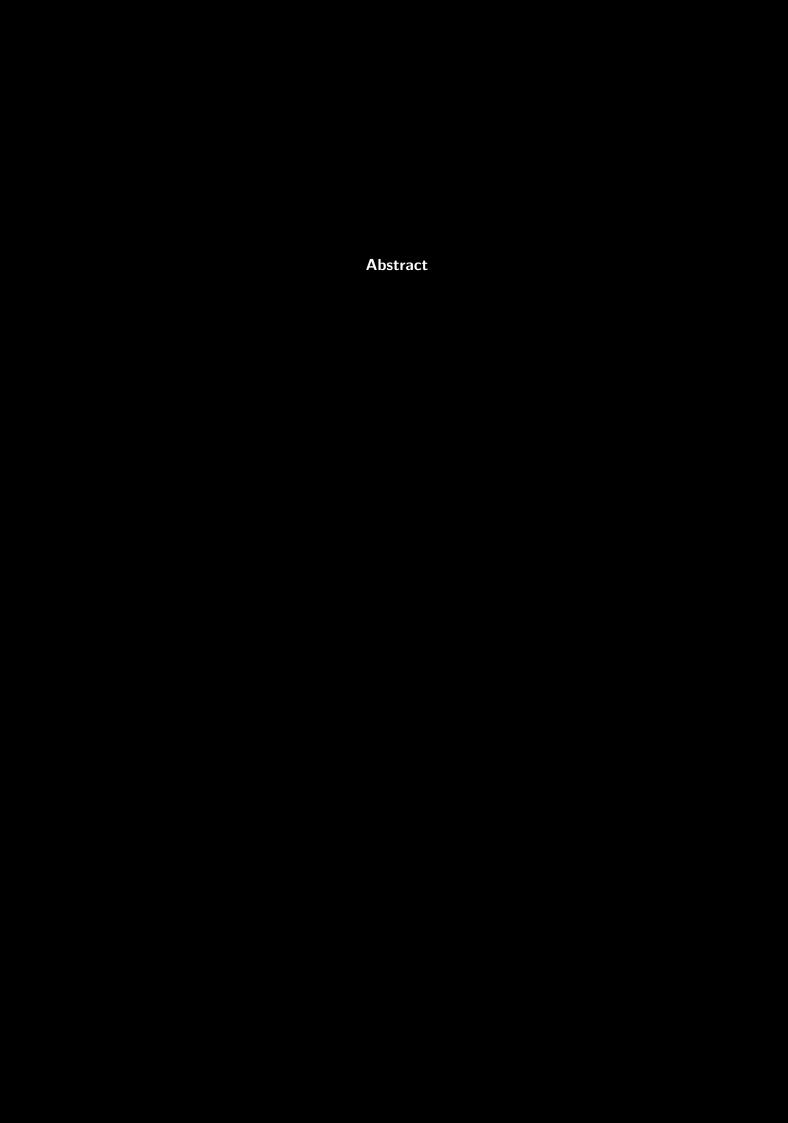


Figure 20: An Estimation by Gen6D (Highlighted in Blue) of the Hubble Object, Presented With a Background.



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### 1 Introduction

Test ref to Listing A.1. Test ref to Listing A.3 Test ref to Gen6D [1]

#### 1.1 Problem statement

- 1.1.1 The settings
- **1.1.2** The goal
- 1.2 The work environment: Scitas Izar

Test to refer to the video from 3B1B: [2].

```
#!/bin/bash
#SBATCH --chdir /scratch/izar/jchavero
#SBATCH --partition=gpu
#SBATCH --qos=gpu_free
#SBATCH --gres=gpu:2
#SBATCH --nodes=1
#SBATCH --ntasks-per-node=1
#SBATCH --cpus-per-task=1
#SBATCH --mem 16G
echo
echo "Loading modules"
echo "Launching the virtual environment"
source
echo "Navigating to the directory and executing the task"
cd
       eval
echo
```

Listing 1.1: Bash script execute.sh to run a machine learning model on Scitas Izar EPFL. While the overall structure remains consistent, this script is specific to Gen6D's architecture, further discussed later.

Then to run the script we use the following command:

```
sbatch execute.sh
```

Listing 1.2: Linux command to run the bash script.

# 2 Scientific papers review

- 2.1 Some ML models
- 2.2 Gen6D: Pros and cons

# 3 Gen6D: formal description

- 3.1 Overview of the network
- 3.2 Detection
- 3.3 Viewpoint selection
- 3.4 Pose refinement
- 3.5 Results on LINEMOD

# 4 Implementation of the model

#### 4.1 Data loader

abstract base classes (ABC) each and every abstract method

### 4.2 Issues and proposed solutions

- 4.2.1 Issues No. 1
- 4.2.2 Issues No. 2

## 5 Experimental results and analysis

#### 5.1 Spacecraft dataset characteristics

#### 5.2 Vizualisation of results

#### 5.3 Evaluation metrics

To appreciate the quality of the estimations, the most widely used pose error functions are the Average Distance of Model Points (ADD) and the Average Closest Point Distance (ADD-S) metrics, both introduced by Hinterstoisser et al. [3]. For an object model  $\mathcal{M}$ , we compute the average distance to the corresponding model point. Therefore the error of an estimated pose  $\hat{\mathbf{P}}=(\hat{\mathbf{R}},\hat{\mathbf{T}})$  w.r.t. the ground truth pose  $\tilde{\mathbf{P}}=(\bar{\mathbf{R}},\bar{\mathbf{T}})$  is calculated as follows:

$$e_{\text{ADD}}(\hat{\mathbf{P}}, \bar{\mathbf{P}}, \mathcal{M}) = \underset{\mathbf{x} \in \mathcal{M}}{\text{avg}} \left\| \bar{\mathbf{P}} \mathbf{x}^{\star} - \hat{\mathbf{P}} \mathbf{x}^{\star} \right\|_{2}^{1}$$
 (5.1)

$$= \underset{\mathbf{x} \in \mathcal{M}}{\text{avg}} \left\| (\mathbf{\tilde{R}}\mathbf{x} + \mathbf{\tilde{T}}) - (\mathbf{\hat{R}}\mathbf{x} + \mathbf{\hat{T}}) \right\|_{2}$$
 (5.2)

When the model  ${\cal M}$  has symmetries that leads to no indistinguishable views, the error is computed as the average distance to the closest model point:

$$e_{\text{ADD-S}}(\hat{\mathbf{P}}, \bar{\mathbf{P}}, \mathcal{M}) = \underset{\mathbf{x}_1 \in \mathcal{M}}{\text{avg}} \min_{\mathbf{x}_2 \in \mathcal{M}} \left\| \bar{\mathbf{P}} \mathbf{x}_1^{\star} - \hat{\mathbf{P}} \mathbf{x}_2^{\star} \right\|_2$$
 (5.3)

$$= \underset{\mathbf{x}_{1} \in \mathcal{M}}{\operatorname{avg}} \min_{\mathbf{x}_{2} \in \mathcal{M}} \left\| (\bar{\mathbf{R}}\mathbf{x}_{1} + \bar{\mathbf{T}}) - (\hat{\mathbf{R}}\mathbf{x}_{2} + \hat{\mathbf{T}}) \right\|_{2}$$
 (5.4)

It's important to point out that  $e_{\rm ADD-S}$  is more lenient compared to  $e_{\rm ADD}$ , and should only be applied in cases where there is a definite presence of symmetry in the object and the estimated pose is already notably precise. Otherwise, using  $e_{\rm ADD-S}$  becomes irrelevant since the estimation is advantaged.

#### 5.4 Quantitative evaluation

 $<sup>^{1}</sup>$ In this context, the vector  $\mathbf{x}^{\star}$  represents a vector that has been extended by appending a 1, specifically for the purpose of matrix multiplication.

## **6** Ways of improvements

#### 6.1 Specialized spacecraft training set

#### 6.2 Improved object detection algorithms

Rely more on the 3D model (for now only the size) and the segmented images, would optimize for symmetric and irregular shaped spacecrafts

#### 6.3 Robustness to occlusion

# 7 Conclusion

Limitations Acknowledgments My personal contribution

## **Abbreviations**

**ESA** European Space Agency

**DoF** Degrees of Freedom

CVLab Computer Vision Laboratory

**SFM** Structure From Motion

ML Machine Learning

**ADD** Average Distance of Model Points

ADD-S Average Closest Point Distance

## **Appendix**

```
0.00
Author:
           Jeremy Chaverot
           November 29, 2023
Date:
Description: Create the files val.txt, train.txt and test.txt
  according to a test percentage
import
import
import
if
               "__main__"
  # Check if the correct number of arguments is provided
       print("Usage: python format.py <object_name> <</pre>
   test_percentage>"
    object
                      float
        print "Wrong value for the variable <test_percentage>.
    Should be between 0 and 1 included.")
    # Get a list of all files in the folder
                      tdir(f'data/SpaceCraft/{object}/images'
    # Filter the list to include only image files and exclude
    MacOS temporary files
               = [file for file in all_files if file
                                          swith('._')
             ('.jpg')) and not file.
    # Get the number of images in the folder
                 len
    # Iterate through each image and apply the transformation
```

```
with open(f'data/SpaceCraft/{object}/train.txt', 'w') as
train, open(f'data/SpaceCraft/{object}/test.txt', 'w') as
test:

for image_file in image_files:
    rand = random.random()
    image_path = 'SpaceCraft/hubble/images/' + image_file
    if (rand < test_percentage):
        test.write(image_path + '\n')
    else: train.write(image_path + '\n')

print(f"Done splitting {num_images} images in train.txt and test.txt")</pre>
```

Listing A.1: Python script format.py to randomly generate the training set and the test set based on a specified probability. Should be run from Gen6D's root folder.

```
0.00
             Jeremy Chaverot
Author:
Date:
             November 20, 2023
Description: Transform every images of a folder into jpg format.
import
import
from
         import
def
                open
                                      '.')[0] + '.jpg'
if
               "__main__"
 # Check if the correct number of arguments is provided
        print("Usage: python to_jpg.py </path/to/your/images>"
    # Get a list of all files in the folder
    # Filter the list to include only image files and exclude
   MacOS temporary files
                   file for file in
                                              if file
              '.png' '.jpg' '.jpeg' '.gif' '.bmp'
                                                        and not
```

```
# Get the number of images in the folder
num_images = len(image_files)

# Iterate through each image and apply the transformation
for image_file in image_files:
    image_path = os.path.join(folder_path, image_file)
    transform_image(image_path)
    os.remove(image_path)

print(f"Number of images transformed into .jpg: {num_images}"
)
```

Listing A.2: Python script to\_jpg.py to transform every images of a specified folder into jpg format.

```
0.00
Author:
             Jeremy Chaverot
Date:
             November 20, 2023
Description: Transform a txt file with quaternions and the
   translation vector into multiple npy files containing the
   rotation matrix augmented with the translation vector.
import
import
import
def
    0.00
        Covert a quaternion and translation into a full three-
   dimensional augmented rotation matrix.
        Input
        :param Q: A 4 element array representing the quaternion (
   qw, qx, qy, qz).
        :param translation: A 3 element array representing the
   translation (x, y, z).
        Output
        :return: A 3x4 element matrix representing the full 3D
   rotation matrix with
                translation. This rotation matrix converts a
   point in the local
                 reference frame to a point in the global
   reference frame.
```

```
# Extract the values from Q
      # Extract the values from the translation vector
      # First row of the rotation matrix
      # Second row of the rotation matrix
      # Third row of the rotation matrix
      # 3x3 rotation matrix
      return
60 if
                 "__main__"
      # Check if the correct number of arguments is provided
         print "Usage: python quaternion_to_matrix.py </path/to/</pre>
     your/text/file> </path/to/the/pose/folder>"
      try
                                       file
               open
```

```
file_content = file_read()

except FileNotFoundError:
    print(i"The file {file_path} was not found.")
    sys.exit(1)

except Exception as e:
    print(f"An error occurred: {e}")
    sys.exit(1)

# Iterate through each pose and apply the transformation
for pose in poses:
    image_id, obj_id, qw, qx, qy, qz, x, y, z = pose.split(', ')

Q = np.array([qw, qx, qy, qz], dtype=np.float32)
    translation = np.array([x, y, z], dtype=np.float32)
    matrix = quaternion_to_matrix(Q, translation)
    np.save(pose_folder_path + '/pose' + str(int(image_id)),
    matrix)

print(f"Number of transformation processed: {len(poses)}")
```

Listing A.3: Python script quaternion\_to\_matrix.py to transform a txt file with quaternions and the translation vector into multiple npy files containing the rotation matrix augmented with the translation vector.

```
0.00
Author:
             Jeremy Chaverot
             December 10, 2023
Description: Invert the masks from a given folder.
import
import
import
def
 # Iterate through the list of files at the specified path
                 in
      # Filter to include only png image files and exclude MacOS
   temporary files
                             (".png") and not
       if
            try
                # Read the mask image
```

```
if
                       is
                    print(f"Failed to read image: {mask_path}"
                    continue
                # Invert the mask
                # Save the inverted mask with a temporary name
                                                      "temp_"
                # Delete the original mask
                # Rename the inverted mask to the original
   filename
                print(f"Inverted and replaced mask for: {
   mask_path}"
            except
                print(f"Error processing {mask_path}: {e}"
if
               "__main__"
 # Check if the correct number of arguments is provided
    if len
        print("Usage: python invert_mask.py <folder_path>"
```

Listing A.4: Python script invert\_mask.py to invert the masks from a specified folder.

We aim to have a black object set against a white background.

```
Author: Jeremy Chaverot

Date: January 01, 2024

Description: Resize the images from a given folder.

"""

import os
import sys
from PIL import Image

def resize_images(folder_path, resize_factor):
```

```
# Iterate through the list of files at the specified path
     # Filter to include only png image files and exclude MacOS
   temporary files
                           h(".png") and not
       if
                       open
                # Calculate new size
                          tuple [int
                                                           for
       in
                # Resize the image
                # Save the resized image with a different name
   temporarily
                                                      "temp_"
            # Delete the original image
            # Rename the resized image to the original filename
               "__main__"
if
 # Check if the correct number of arguments is provided
       print("Usage: resize.py <folder_path> <resize_factor>"
             int
```

Listing A.5: Python script resize.py designed to alter an image's size with respect to a specified resize factor.

## **Bibliography**

- [1] Y. Liu, Y. Wen, S. Peng, C. Lin, X. Long, T. Komura, and W. Wang. *Gen6D: Generalizable Model-Free 6-DoF Object Pose Estimation from RGB Images*. 2023. arXiv: 2204.10776.
- [2] G. S. 3Blue1Brown. Quaternions and 3d rotation, explained interactively. Youtube. 2018. URL: https://www.youtube.com/watch?v=zjMuIxRvygQ.
- [3] S. Hinterstoisser, V. Lepetit, S. Ilic, S. Holzer, G. Bradski, K. Konolige, and N. Navab. "Model Based Training, Detection and Pose Estimation of Texture-Less 3D Objects in Heavily Cluttered Scenes." In: Computer Vision ACCV 2012. Springer Berlin Heidelberg, 2013, pp. 548–562.