

1 Notation

Let $d \geq 2$.

The zero vector of \mathbb{R}^d is denoted by $\vec{0}$.

The unit sphere $\{x \in \mathbb{R}^d \mid \|x\| = 1\}$ is denoted by S^{d-1} .

The closed ball $\{x \in \mathbb{R}^d \mid \|x\| \leq R\}$ is denoted by $B(\vec{0}, R)$.

Given a finite set of indices \mathcal{K} , $|\mathcal{K}|$ denotes the cardinality of \mathcal{K} .

2 Polyhedrals and polytopes

Let us start with some definitions. Our reference is [1].

Definition 2.1 (*Affine subspace*). An *affine subspace* of \mathbb{R}^d is either the empty set or a translate of a linear subspace, that is, a subset $x + L$ where $x \in \mathbb{R}^d$ and L is a linear subspace of \mathbb{R}^d .

Definition 2.2 (*Dimension of a affine subspace*). The dimension of a non-empty affine subspace A is the dimension of the linear subspace L such that $A = x + L$. In other words,

$$\dim A := \dim L.$$

Definition 2.3 (*Affine hull*). For any subset M of \mathbb{R}^d , there is a smallest affine subspace containing M , namely, the intersection of all affine subspaces containing M . This affine subspace is called the *affine hull* of M , and it is denoted by

$$\text{aff } M.$$

Definition 2.4 (*Dimension of a convex set*). Let C be a convex set of \mathbb{R}^d . The dimension of C is defined as the dimension of the affine hull of C , that is

$$\dim C := \dim (\text{aff } C).$$

Definition 2.5 (*Convex hull*). For any subset M of \mathbb{R}^d , there is a smallest convex set containing M , namely, the intersection of all convex sets containing M . This convex set is called the *convex hull* of M , and it is denoted by

$$\text{conv } M.$$

Definition 2.6 (*Supporting hyperplane*). Let C be a non-empty closed convex set in \mathbb{R}^d . By a *supporting hyperplane* of C we mean a hyperplane

$$\{x \in \mathbb{R}^d \mid x \cdot n = a\}, \quad n \in \mathbb{R}^d \setminus \{\vec{0}\}$$

such that $C \subset \{x \in \mathbb{R}^d \mid x \cdot n \leq a\}$ and

$$\{x \in \mathbb{R}^d \mid x \cdot n = a\} \cap C \neq \emptyset.$$

Definition 2.7 (Face). Let C be a non-empty closed convex set in \mathbb{R}^d . A convex subset F ($\neq C$ or \emptyset) of C is called a *k-face* of C if for any distinct points $y, z \in C$ such that $\{x \in \mathbb{R}^d \mid \lambda x + (1 - \lambda)z, \lambda \in (0, 1)\} \cap F \neq \emptyset$, we actually have

$$\{x \in \mathbb{R}^d \mid \lambda x + (1 - \lambda)z, \lambda \in [0, 1]\} \subset F.$$

A face F of C is called *k-face* if $\dim F = k$. Every face is closed.

Definition 2.8 (Extreme point). Let C be a non-empty closed convex set of \mathbb{R}^d . A point $x \in C$ is called an *extreme point* of C if $\{x\}$ is a face. The set of extreme points of C is denoted by $\text{ext } C$.

Definition 2.9 (Exposed face). Let C be a non-empty closed convex set of \mathbb{R}^d . A face F of C of the form

$$F = \{x \in \mathbb{R}^d \mid x \cdot n = a\} \cap C,$$

where $\{x \in \mathbb{R}^d \mid x \cdot n = a\}$ is a supporting hyperplane of C , is called *exposed face*.

Here an important result.

Theorem 2.10 (Minkowski's Theorem [1, Thm. 5.10]). *Let C be a non-empty compact convex set in \mathbb{R}^d . Then*

$$C = \text{conv}(\text{ext } C).$$

Next the definition and properties of a **polyhedral set**.

Definition 2.11 (Polyhedral set). A subset P of \mathbb{R}^d is called *polyhedral set* if Q is the intersection of a finite number of closed half-spaces or $P = \mathbb{R}^d$. Every polyhedral set is closed and convex. A polyhedral set $P \neq \mathbb{R}^d$ has the form

$$P = \bigcap_{k \in \mathcal{K}} \{x \in \mathbb{R}^d \mid x \cdot n_k \leq a_k\}, \quad (1)$$

where \mathcal{K} is a finite set of indices, $n_k \in \mathbb{R}^d \setminus \{\vec{0}\}$ and $a_k \in \mathbb{R}$. In (1), it is implicitly assumed that no two closed half-spaces are identical.

Definition 2.12. The representation (1) of P is called *irreducible* if $|\mathcal{K}| = 1$, or $|\mathcal{K}| > 1$ and

$$P \subsetneq \bigcap_{k \in \mathcal{K} \setminus \{j\}} \{x \in \mathbb{R}^d \mid x \cdot n_k \leq a_k\} \quad \forall j \in \mathcal{K}.$$

A representation which is not irreducible is called *reducible*.

Theorem 2.13 ([1, Thm. 8.1]). *Let P be a polyhedral set in \mathbb{R}^d with $\dim P = d$ and $P \neq \mathbb{R}^d$. Suppose that $|\mathcal{K}| > 1$ in the representation (1) of P . Then (1) is irreducible if and only if*

$$\{x \in \mathbb{R}^d \mid x \cdot n_j = a_j\} \cap \bigcap_{k \in \mathcal{K} \setminus \{j\}} \{x \in \mathbb{R}^d \mid x \cdot n_k < a_k\} \neq \emptyset \quad \forall j \in \mathcal{K}.$$

Theorem 2.14 ([1, Thm. 8.2]). *Let P be a polyhedral set in \mathbb{R}^d with $\dim P = d$ and $P \neq \mathbb{R}^d$. Then*

$$\partial P = \bigcup_{k \in \mathcal{K}} \{x \in \mathbb{R}^d \mid x \cdot n_k = a_k\} \cap P,$$

each $(d-1)$ -face of P has the form

$$\{x \in \mathbb{R}^d \mid x \cdot n_j = a_j\} \cap P$$

(this implies that the number of $(d-1)$ -faces of P is finite), and each set

$$\{x \in \mathbb{R}^d \mid x \cdot n_j = a_j\} \cap P$$

is a $(d-1)$ -face if and only if the representation (1) of P is irreducible.

Theorem 2.15 ([1, Thm. 8.3]). *Let P be a polyhedral set in \mathbb{R}^d and let F be a face of P . Then there is a $(d-1)$ -face of P containing F .*

Next the definition and properties of a **polytope**.

Definition 2.16 (Polytope). A polytope is the convex hull of a non-empty finite set of \mathbb{R}^d . Every polytope is compact.

Theorem 2.17 ([1, Thm. 7.1]). *Let P be a non-empty subset in \mathbb{R}^d . P is a polytope if and only if P is a compact convex set with a finite number of extreme points.*

Theorem 2.18. *Let P be a polytope in \mathbb{R}^d . Then*

- $P = \text{conv}(\text{ext } P)$;
- every face F of P is also a polytope, and $\text{ext } F = F \cap \text{ext } P$;
- the number of faces of P is finite;
- every face of P is an exposed face.

Theorem 2.19 ([1, Thm. 10.5]). *Let P be a polytope in \mathbb{R}^d such that $\dim P = d$ and let x be a extreme point of P . Then there are at least d 1-faces of P containing x .*

Definition 2.20 (Simple polytope). Let P be a polytope in \mathbb{R}^d such that $\dim P = d$. P is called a *simple* if for $k = 0, \dots, d-1$ the number of $(d-1)$ -faces of P containing any k -face of P is equal to $d-k$.

Theorem 2.21 ([1, Thm. 12.11]). *Let P be a polytope in \mathbb{R}^d such that $\dim P = d$. P is simple if and only if each extreme point of P is contained in precisely d $(d-1)$ -faces.*

Theorem 2.22 ([1, Thm. 12.12]). *Let P be a polytope in \mathbb{R}^d such that $\dim P = d$. P is simple if and only if each extreme point of P is a extreme point of precisely d 1-faces.*

Theorem 2.23 ([1, Thm. 12.14]). *Let P be a polytope in \mathbb{R}^d such that $\dim P = d$. Suppose that P is simple. Let F_1, \dots, F_{d-k} be $d-k$ $(d-1)$ -faces of P , where $0 \leq k \leq d-1$. Let*

$$F = \bigcap_{i=1}^{d-k} F_i$$

and assume that $F \neq \emptyset$. Then F is a k -face of P , and F_1, \dots, F_{d-k} are the only facets of P containing F .

Here the result that connects polyhedral sets and polytopes.

Theorem 2.24 ([1, Thm. 9.2]). *Let P be a non-empty subset of \mathbb{R}^d . P is a polytope if and only if P is a bounded polyhedral set.*

Notation. Let C be a non-empty closed convex set in \mathbb{R}^d . From now on, the 0-faces, 1-faces, and $(d-1)$ -faces are called vertices, edges, and facets of C , respectively.

3 Barycentric coordinates

Let P be a non-empty bounded polyhedral set, or equivalently a polytope, of dimension d . Assume that P is simple. Let \mathcal{V} be the set of vertices P . From [5][6], there exist a set of smooth functions $b_v : P \rightarrow \mathbb{R}$, with $v \in \mathcal{V}$, called barycentric coordinates with respect to P , satisfying

$$b_v(x) \geq 0 \quad \forall x \in P \text{ and } \forall v \in \mathcal{V}, \quad (2)$$

$$\sum_{v \in \mathcal{V}} b_v(x) = 1 \quad \forall x \in P, \quad (3)$$

$$\sum_{v \in \mathcal{V}} b_v(x) v = x \quad \forall x \in P. \quad (4)$$

Some properties of these functions are the following.

1. Let l be an affine function. Then $l(x) = \sum_{v \in \mathcal{V}} b_v(x) l(v)$ for all $x \in P$.
2. Let $v \in \mathcal{V}$ be a vertex of P . Then $b_v(v) = 1$ and $b_v(w) = 0 \quad \forall w \in \mathcal{V} \setminus \{v\}$. Moreover, $\forall x \in P \setminus \{v\} \exists w \in \mathcal{V} \setminus \{v\}$ such that $b_w(x) > 0$.

Proof. Let $l : \mathbb{R}^3 \rightarrow \mathbb{R}$ be affine function such that $l(v) = 0$ and $l(w) < 0$ for all $w \in \mathcal{V} \setminus \{v\}$. Thus, $l^{-1}(\{0\})$ defines a plane that touches P only at v . We have

$$0 = l(v) = \sum_{w \in \mathcal{V}} b_w(v) l(w) = \sum_{w \in \mathcal{V} \setminus \{v\}} b_w(v) l(w)$$

because $v \in l^{-1}(\{0\})$. Now each $b_w(v) \geq 0$ and $l(w) < 0$. Therefore $b_w(v) = 0$ for all $w \in \mathcal{V} \setminus \{v\}$. Since the coordinate functions sum 1, $b_v(v) = 1$. Let $x \in P \setminus \{v\}$. Then

$$0 > l(x) = \sum_{w \in \mathcal{V} \setminus \{v\}} b_w(x) l(w).$$

If $b_w(x) = 0$ for all $w \in \mathcal{V} \setminus \{v\}$, then $l(x) = 0$.

3. Let e be an edge of P and v, w be its vertices ($\bar{e} = e \cup \{v, w\}$). Then $b_v(x) + b_w(x) = 1$ and $b_u(x) = 0$ for all $u \in \mathcal{V} \setminus \{v, w\}$, for all $x \in e$. Moreover, for all $x \in P \setminus \bar{e}$ there exists at least one vertex $u \in \mathcal{V} \setminus \{v, w\}$ such that $b_u(x) > 0$. Also

$$b_v(x) = \frac{\langle x - w, v - w \rangle}{|\bar{e}|^2}, \quad b_w(x) = \frac{\langle x - v, w - v \rangle}{|\bar{e}|^2}.$$

Therefore, $b_v(x), b_w(x) > 0$ for all $x \in e$.

Proof. Let $l : \mathbb{R}^3 \rightarrow \mathbb{R}$ be an affine function such that $l(\bar{e}) = 0$ and $l(u) < 0$ for all $u \in \mathcal{V} \setminus \{v, w\}$. Thus, $l^{-1}(\{0\})$ defines a plane that touches P only at \bar{e} . We have

$$0 = l(x) = \sum_{u \in \mathcal{V}} b_u(x) l(u) = \sum_{u \in \mathcal{V} \setminus \{v, w\}} b_u(x) l(u) \quad \forall x \in e$$

because $\{v, w\} \subset \bar{e} \subset l^{-1}(\{0\})$. Now each $b_u(x) \geq 0$ and $l(u) < 0$. Therefore $b_u(x) = 0$ for all $u \in \mathcal{V} \setminus \{v, w\}$. Since the coordinate functions sum 1, $b_v(x) + b_w(x) = 1$. Let $x \in P \setminus \bar{e}$. Then

$$0 > l(x) = \sum_{u \in \mathcal{V} \setminus \{v, w\}} b_u(x) l(u).$$

If $b_u(x) = 0$ for all $u \in \mathcal{V} \setminus \{v, w\}$, then $l(x) = 0$. Note that

$$x - v = \frac{\|x - v\|}{|\bar{e}|} (w - v), \quad x - w = \frac{\|x - w\|}{|\bar{e}|} (v - w) \quad \forall x \in e.$$

Since $x = b_v(x)v + b_w(x)w$ for all $x \in e$,

$$x = b_v(x)v + (1 - b_v(x))w, \quad x = (1 - b_w(x))v + b_w(x)w$$

and then

$$\frac{\|x - w\|}{|\bar{e}|} (v - w) = b_v(x) (v - w), \quad \frac{\|x - v\|}{|\bar{e}|} (w - v) = b_w(x) (w - v).$$

From this we deduce that

$$b_v(x) = \frac{\|x - w\|}{|\bar{e}|} = \frac{\|x - w\| \|v - w\|}{|\bar{e}|^2} = \frac{|\langle x - w, v - w \rangle|}{|\bar{e}|^2} = \frac{\langle x - w, v - w \rangle}{|\bar{e}|^2}$$

and

$$b_w(x) = \frac{\|x - v\|}{|\bar{e}|} = \frac{\|x - v\| \|w - v\|}{|\bar{e}|^2} = \frac{|\langle x - v, w - v \rangle|}{|\bar{e}|^2} = \frac{\langle x - v, w - v \rangle}{|\bar{e}|^2}.$$

4. Let f be a face of P and \mathcal{W} be the set of its vertices ($\bar{f} = f \cup \mathcal{W} \cup \{\text{edges}\}$). Then $\sum_{w \in \mathcal{W}} b_w(x) = 1$ and $b_v(x) = 0$ for all $v \in \mathcal{V} \setminus \mathcal{W}$, for all $x \in f$. Moreover, for all $x \in P \setminus \bar{f}$ there exists at least one vertex $v \in \mathcal{V} \setminus \mathcal{W}$ such that $b_v(x) > 0$.

Proof. Let $l : \mathbb{R}^3 \rightarrow \mathbb{R}$ be affine function such that $l(\bar{f}) = 0$ and $l(v) < 0$ for all $v \in \mathcal{V} \setminus \mathcal{W}$. Thus, $l^{-1}(\{0\})$ defines a plane that touches P only at \bar{f} . We have

$$0 = l(x) = \sum_{v \in \mathcal{V}} b_v(x) l(v) = \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) l(v) \quad \forall x \in f$$

because $\mathcal{W} \subset \bar{f} \subset l^{-1}(\{0\})$. Now each $b_v(x) \geq 0$ and $l(v) < 0$. Therefore $b_v(x) = 0$ for all $v \in \mathcal{V} \setminus \mathcal{W}$. Since the coordinate functions sum 1, $\sum_{w \in \mathcal{W}} b_w(x) = 1$. Let $x \in P \setminus \bar{f}$. Then

$$0 > l(x) = \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) l(v).$$

If $b_v(x) = 0$ for all $v \in \mathcal{V} \setminus \mathcal{W}$, then $l(x) = 0$.

5. The coordinate functions $\{b_v\}_{v \in \mathcal{V}}$ are linealy independent.

Proof. Let $\{\alpha_v\}_{v \in \mathcal{V}}$ be real numbers such that

$$\sum_{v \in \mathcal{V}} \alpha_v b_v(x) = 0 \quad \forall x \in P.$$

Setting $x = w \in \mathcal{V}$ it follows that $\alpha_w = \alpha_w b_w(w) = 0$ (see property 1.).

4 Polyhedral domain and deformation function

Let \mathcal{K} be a finite set of indices.

Definition 4.1. For each $k \in \mathcal{K}$, let $\widehat{\phi}_k : \mathbb{R}^d \times \mathbb{R} \rightarrow \mathbb{R}$ be the function defined by

$$\widehat{\phi}_k(x, t) := x \cdot n_k(t) - a_k(t),$$

where $n_k : \mathbb{R} \rightarrow S^{d-1}$ and $a_k : \mathbb{R} \rightarrow \mathbb{R}$ are C^∞ functions. Denote $n_k := n_k(0)$, $a_k := a_k(0)$ and $\widehat{\phi}_k(\cdot) := \widehat{\phi}_k(\cdot, 0)$.

Definition 4.2. For each $k \in \mathcal{K}$ and $t \in \mathbb{R}$, let $\omega_k(t)$ be the affine set defined by

$$\omega_k(t) := \{x \in \mathbb{R}^d \mid \widehat{\phi}_k(x, t) < 0\}.$$

Denote $\omega_k := \omega_k(0)$. Observe that $\omega_k(t)$ is one of the two open half-spaces determined by the hyperplane

$$\{x \in \mathbb{R}^d \mid x \cdot n_k(t) = a_k(t)\}.$$

Definition 4.3. For each $t \in \mathbb{R}$, let Ω_t be the convex set of \mathbb{R}^d defined by

$$\Omega_t := \bigcap_{k \in \mathcal{K}} \omega_k(t).$$

The set Ω_t is open because it is the finite intersection of open half-spaces. Denote

$$\Omega := \Omega_0 = \bigcap_{k \in \mathcal{K}} \omega_k. \quad (5)$$

As

$$\overline{\Omega} = \bigcap_{k \in \mathcal{K}} \overline{\omega_k} = \bigcap_{k \in \mathcal{K}} \{x \in \mathbb{R}^d \mid x \cdot n_k \leq a_k\}$$

is a finite intersection of closed half-spaces, $\overline{\Omega}$ is a polyhedral set by definition (see Definition 2.11).

Consider the following four assumptions:

A1. $a_k > 0$ for all $k \in \mathcal{K}$. This assumption implies the following:

(a) $\vec{0} \in \Omega$. Indeed $\widehat{\phi}_k(\vec{0}, 0) = -a_k < 0$ and hence

$$\vec{0} \in \omega_k = \{x \in \mathbb{R}^d \mid \widehat{\phi}_k(x, 0) < 0\} \quad \forall k \in \mathcal{K}.$$

Thus $\overline{\Omega}$ is not-empty.

(b) $\dim \overline{\Omega} = d$. Indeed, since $\vec{0}$ is a interior point of $\overline{\Omega}$, there exists a point $y \in \overline{\Omega} \setminus \{\vec{0}\}$ in the line segment joining $\vec{0}$ and any point $x \in \mathbb{R}^d$. Thus $x \in \text{aff}\{\vec{0}, y\}$, and hence $x \in \text{aff} \overline{\Omega}$ (because $\text{aff}\{\vec{0}, y\} \subset \text{aff} \overline{\Omega}$). Therefore $\mathbb{R}^d \subset \text{aff} \overline{\Omega}$. It follows that $\text{aff} \overline{\Omega} = \mathbb{R}^d$ and $\dim(\text{aff} \overline{\Omega}) = \dim \mathbb{R}^d = d$.

A2. There exists a constant $R > 0$ such that $\overline{\Omega} \subset B(\vec{0}, R)$. This assumption implies the following:

- (a) $\overline{\Omega}$ is a polytope. Indeed, as $\overline{\Omega}$ is not-empty polyhedral set, if $\overline{\Omega}$ is bounded, then $\overline{\Omega}$ is a polytope (see Theorem 2.17). Hence, there exists a finite set \mathcal{V} of vertices (0-faces) of $\overline{\Omega}$ and

$$\overline{\Omega} = \text{conv } \mathcal{V}$$

(see Theorems 2.17 and 2.18).

- (b) $\partial\Omega = \bigcup_{k \in \mathcal{K}} \partial\omega_k \cap \overline{\Omega}$ (see Theorem 2.14).
- (c) Moreover, if $\overline{\Omega}$ is bounded, $|\mathcal{K}|$ must be greater than 1. In fact, since $\overline{\Omega}$ is a polytope and $\dim \overline{\Omega} = d$, $\overline{\Omega}$ has at least $d + 1$ ($d - 1$)-faces (Corollary 9.4.).

A3. Assume that

$$\left\{ x \in \mathbb{R}^d \mid \widehat{\phi}_j(x, 0) = 0, \widehat{\phi}_k(x, 0) < 0 \ \forall k \in \mathcal{K} \setminus \{j\} \right\} \neq \emptyset \quad \forall j \in \mathcal{K}.$$

This assumption implies the following:

- (a) As $\overline{\Omega}$ is bounded ($\overline{\Omega} \neq \mathbb{R}^d$), $|\mathcal{K}| > 1$, $\dim \overline{\Omega} = d$, this assumption implies that the representation (5) of $\overline{\Omega}$ is irreducible (see Theorem 2.13).
- (b) The $(d - 1)$ -faces of $\overline{\Omega}$ are the sets $\partial\omega_k \cap \overline{\Omega}$, $k \in \mathcal{K}$ (see Theorem 2.14).

Note that

$$\left\{ x \in \mathbb{R}^d \mid \widehat{\phi}_j(x, 0) = 0, \widehat{\phi}_k(x, 0) < 0 \ \forall k \in \mathcal{K} \setminus \{j\} \right\} = \partial\omega_j \cap \bigcap_{k \in \mathcal{K} \setminus \{j\}} \omega_k.$$

A4. Each vertex of $\overline{\Omega}$ is contained in precisely d ($d - 1$)-faces.

- (a) First, recall that for all $v \in \mathcal{V}$ there is $k \in \mathcal{K}$ such that $v \in \partial\omega_k$ (see Theorem 2.15), so, this is a precisely an assumption.
- (b) Given $v \in \mathcal{V}$, denote by \mathcal{K}_v the subset of \mathcal{K} such that $\{\partial\omega_k \cap \overline{\Omega} \mid k \in \mathcal{K}_v\}$ are the d ($d - 1$)-faces containing v . This assumption imposes that $|\mathcal{K}_v| = d$. Thus

$$v \in \bigcap_{k \in \mathcal{K}_v} \partial\omega_k \cap \overline{\Omega}$$

and

$$v \notin \partial\omega_k \quad \forall k \in \mathcal{K} \setminus \mathcal{K}_v.$$

- (c) Furthermore, as $\overline{\Omega}$ is a polytope and $\dim \overline{\Omega} = d$, this assumption implies that $\overline{\Omega}$ is simple (see Theorem 2.21), and hence $\bigcap_{k \in \mathcal{K}_v} \partial \omega_k \cap \overline{\Omega}$ is a single point (see Theorem 2.23). As $v \in \bigcap_{k \in \mathcal{K}_v} \partial \omega_k \cap \overline{\Omega}$ by assumption, it follows that

$$\{v\} = \bigcap_{k \in \mathcal{K}_v} \partial \omega_k \cap \overline{\Omega}. \quad (6)$$

The non-degeneracy of vertices is a consequence of Assumption **A4**.

Proposition 4.4. $\text{rank}(n_k^\top)_{k \in \mathcal{K}_v} = d$ for all $v \in \mathcal{V}$.

Proof. Let $v \in \mathcal{V}$. Suppose that there exists $w \in \mathbb{R}^d$ such that $w \neq \vec{0}$ and $n_k \cdot w = 0$ for all $k \in \mathcal{K}_v$. Immediately, we have $n_k \cdot (v + \lambda w) = a_k$ for all $k \in \mathcal{K}_v$ and all $\lambda \in \mathbb{R}$. That is,

$$v + \lambda w \in \partial \omega_k \quad \forall k \in \mathcal{K}_v, \lambda \in \mathbb{R}.$$

Since $n_k v < a_k$ for all $k \in \mathcal{K} \setminus \mathcal{K}_v$ ($v \notin \partial \omega_k$ for all $k \in \mathcal{K} \setminus \mathcal{K}_v$ but $v \in \overline{\Omega}$), there exists λ such that

$$\lambda < \frac{a_k - n_k v}{|n_k \cdot w|}$$

for all $k \in \mathcal{K} \setminus \mathcal{K}_v$ such that $n_k \cdot w \neq 0$. It follows that

$$\lambda n_k \cdot w \leq \lambda |n_k \cdot w| < a_k - n_k v$$

and then

$$n_k \cdot (v + \lambda w) < a_k$$

for all $k \in \mathcal{K} \setminus \mathcal{K}_v$. That is,

$$v + \lambda w \in \omega_k \quad \mathcal{K} \setminus \mathcal{K}_v.$$

Hence $v + \lambda w \in \overline{\Omega}$ and $v + \lambda w \in \partial \omega_k$ for all $k \in \mathcal{K}_v$. This contradicts (6). Therefore $n_k \cdot w = 0$ for all $k \in \mathcal{K}_v$ implies that $w = \vec{0}$. \square

Proposition 4.5. $\exists \tau > 0$ such that $\forall v \in \mathcal{V} \exists! z_v : (-\tau, \tau) \rightarrow \mathbb{R}^d$ satisfying $z_v(0) = v$ and

$$\partial \omega_i(t) \cap \partial \omega_j(t) \cap \partial \omega_k(t) = \{z_v(t)\} \quad \forall t \in (-\tau, \tau),$$

where $\mathcal{I}_v = \{i, j, k\}$. Moreover, each z_v is C^∞ on $(-\tau, \tau)$ and

$$z'_v(0) = (n_i, n_j, n_k)^{-\top} \left((a'_i(0), a'_j(0), a'_k(0))^\top - (n'_i(0), n'_j(0), n'_k(0))^\top v \right).$$

Proof. . $F : \mathbb{R}^3 \times \mathbb{R} \rightarrow \mathbb{R}$

$$F(x, t) = \begin{pmatrix} \widehat{\phi}_i(x, t) \\ \widehat{\phi}_j(x, t) \\ \widehat{\phi}_k(x, t) \end{pmatrix}$$

$$\begin{aligned}
F(v, 0) &= 0 \\
D_x F(x, t) &= \begin{pmatrix} \nabla_x \widehat{\phi}_i(x, t)^\top \\ \nabla_x \widehat{\phi}_j(x, t)^\top \\ \nabla_x \widehat{\phi}_k(x, t)^\top \end{pmatrix} = \begin{pmatrix} n_i(t)^\top \\ n_j(t)^\top \\ n_k(t)^\top \end{pmatrix} \\
D_x F(v, 0) &= \begin{pmatrix} n_i^\top \\ n_j^\top \\ n_k^\top \end{pmatrix} = (n_k)_{k \in \mathcal{I}_v}^\top \\
\partial_t F(x, t) &= \begin{pmatrix} \partial_t \widehat{\phi}_i(x, t) \\ \partial_t \widehat{\phi}_j(x, t) \\ \partial_t \widehat{\phi}_k(x, t) \end{pmatrix} = \begin{pmatrix} x \cdot n'_i(t) - a'_i(t) \\ x \cdot n'_j(t) - a'_j(t) \\ x \cdot n'_k(t) - a'_k(t) \end{pmatrix} \\
\partial_t F(v, 0) &= \begin{pmatrix} v \cdot n'_i(0) - a'_i(0) \\ v \cdot n'_j(0) - a'_j(0) \\ v \cdot n'_k(0) - a'_k(0) \end{pmatrix} = \begin{pmatrix} n'_i(0)^\top \\ n'_j(0)^\top \\ n'_k(0)^\top \end{pmatrix} v - \begin{pmatrix} a'_i(0) \\ a'_j(0) \\ a'_k(0) \end{pmatrix}
\end{aligned}$$

□

(non-degeneracy of edges) If n_i, n_j are the normal vectors of the faces of $\overline{\Omega}$ containing an edge, then $\text{rank}(n_i, n_j)^\top = 2$ (non-degeneracy of edges). Moreover, given $k \in \mathcal{K}$, $v \cdot n_k < a_k$ for all $v \in \mathcal{V} \setminus \mathcal{W}$, where \mathcal{W} is the subset of vertices contained in $\{x \in \mathbb{R}^3 \mid x \cdot n_k - a_k = 0\}$.

Proposition 4.6. $\overline{\Omega}_t$ is simple convex polyhedral for small t .

Proof. Since $a_k(t)$ is smooth, there exists $\tau_0 > 0$ such that $a_k(t) > 0$ for all $t \in (-\tau_0, \tau_0)$. Let n_i, n_j, n_k be the normal vectors of the faces of $\overline{\Omega}$ containing a vertex v . As $\text{rank}(n_i, n_j, n_k)^\top = 3$, we can suppose that

$$\det(n_i(0), n_j(0), n_k(0))^\top > 0.$$

Since the determinant is a continuous functions, there exists $\tau_v > 0$ such that

$$\det(n_i(t), n_j(t), n_k(t))^\top > 0 \quad \forall t \in (-\tau_v, \tau_v),$$

and then $\text{rank}(n_i(t), n_j(t), n_k(t))^\top = 3$ for all $t \in (-\tau_v, \tau_v)$. Let n_i, n_j be the normal vectors of the faces of $\overline{\Omega}$ containing an edge e . As $\text{rank}(n_i, n_j)^\top = 2$ if and only if $-1 < n_i \cdot n_j < 1$, we have

$$1 - |n_i(0) \cdot n_j(0)| > 0.$$

Since the function $\lambda \mapsto 1 - |\lambda|$ is continuous, there exists $\tau_e > 0$ such that

$$1 - |n_i(t) \cdot n_j(t)| > 0 \quad \forall t \in (-\tau_e, \tau_e),$$

and then $\text{rank}(n_i(t), n_j(t))^\top = 2$ for all $t \in (-\tau_e, \tau_e)$. Let $\tau \leq \tau_0, \min_v \tau_v, \min_e \tau_e$. Thus, the domain $\overline{\Omega}_t$ is the intersection of halfspaces $\omega_k(t)$ containing to the origin which form non-degenerate vertices and edges for all $t \in (-\tau, \tau)$. Therefore $\overline{\Omega}_t$ is a simple convex polyhedral for all $t \in (-\tau, \tau)$. □

Remark 4.7. The polyhedral Ω_t in Theorem has the same number of vertices, edges and faces that Ω .

In particular,

$$\int_{\partial\Omega} \frac{|\partial_n u|^2}{2} \theta_n = \sum_{k \in \mathcal{K}} \dot{a}_k(0) \int_{\partial\omega_k \cap \overline{\Omega}} \frac{|\partial_n u|^2}{2} - \int_{\partial\omega_k \cap \overline{\Omega}} \frac{|\partial_n u|^2}{2} x \cdot \dot{n}_k(0)$$

Case 2D:

$$\begin{aligned} n_k(t) &= \begin{pmatrix} \cos(\alpha_k + t\delta\alpha_k) \\ \sin(\alpha_k + t\delta\alpha_k) \end{pmatrix}, \\ a_k(t) &= (\lambda_k + t\delta\lambda_k) n_k(t) \cdot n_k(0). \\ \dot{n}_k(0) &= \delta\alpha_k \begin{pmatrix} -\sin(\alpha_k) \\ \cos(\alpha_k) \end{pmatrix} \\ \dot{a}_k(0) &= \delta\lambda_k \end{aligned}$$

$$\int_{\partial\Omega} \frac{|\partial_n u|^2}{2} \theta_n = \sum_{k \in \mathcal{K}} \delta\lambda_k \int_{f_k} \frac{|\partial_n u|^2}{2} - \delta\alpha_k \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \begin{pmatrix} -\sin(\alpha_k) \\ \cos(\alpha_k) \end{pmatrix}$$

Caso 3D:

$$\begin{aligned} n_k(t) &= \begin{pmatrix} \cos(\alpha_k + t\delta\alpha_k) \sin(\beta_k + t\delta\beta_k) \\ \sin(\alpha_k + t\delta\alpha_k) \sin(\beta_k + t\delta\beta_k) \\ \cos(\beta_k + t\delta\beta_k) \end{pmatrix}, \\ a_k(t) &= (\lambda_k + t\delta\lambda_k) n_k(t) \cdot n_k(0). \\ \dot{n}_k(0) &= \delta\alpha_k \begin{pmatrix} -\sin(\alpha_k) \\ \cos(\alpha_k) \\ 0 \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \dot{n}_k(0) &= \delta\alpha_k \begin{pmatrix} -\sin(\alpha_k) \sin(\beta_k) \\ \cos(\alpha_k) \sin(\beta_k) \\ 0 \end{pmatrix} + \delta\beta_k \begin{pmatrix} \cos(\alpha_k) \cos(\beta_k) \\ \sin(\alpha_k) \cos(\beta_k) \\ -\sin(\beta_k) \end{pmatrix} \\ \dot{a}_k(0) &= \delta\lambda_k \end{aligned}$$

$$\int_{\partial\Omega} \frac{|\partial_n u|^2}{2} \theta_n = \sum_{k \in \mathcal{K}} \delta\lambda_k \int_{f_k} \frac{|\partial_n u|^2}{2} - \delta\alpha_k \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \mathbf{i}_k - \delta\beta_k \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \mathbf{j}_k$$

$$\delta\lambda_k = - \int_{f_k} \frac{|\partial_n u|^2}{2}$$

$$\delta\alpha_k = \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \mathbf{i}_k$$

$$\delta\beta_k = \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \mathbf{j}_k$$

$$\int_{\partial\Omega} \frac{|\partial_n u|^2}{2} \theta_n < 0$$

$$\begin{aligned}\lambda_k^1 &= \lambda_k^0 - \tilde{t} \int_{f_k} \frac{|\partial_n u|^2}{2} \\ \alpha_k^1 &= \alpha_k^0 + \tilde{t} \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \mathbf{i}_k \\ \beta_k^1 &= \beta_k^0 + \tilde{t} \int_{f_k} \frac{|\partial_n u|^2}{2} x \cdot \mathbf{j}_k\end{aligned}$$

Assumptions.

- Given $k \in \mathcal{K}$, $\overline{\Omega} \setminus \partial\omega_k \subset \omega_k$.

Let $v \in \mathcal{V}$ and n_i, n_j, n_k be the normal vectors of the faces of $\overline{\Omega}$ containing v . Then $\widehat{\phi}_i(v, 0) = \widehat{\phi}_j(v, 0) = \widehat{\phi}_k(v, 0) = 0$. Since $\text{rank}(n_i, n_j, n_k)^\top = 3$, there exist a τ_v and a unique function $z_v : (-\tau_v, \tau_v) \rightarrow \mathbb{R}^3$ such that $z_v(0) = v$ and

$$\widehat{\phi}_i(z_v(t), t) = \widehat{\phi}_j(z_v(t), t) = \widehat{\phi}_k(z_v(t), t) = 0 \quad \forall t \in (-\tau_v, \tau_v).$$

$$\begin{aligned}e_{i,j}(t) &:= \left\{ x \in \mathbb{R}^3 \mid \widehat{\phi}_i(x, t) = \widehat{\phi}_j(x, t) = 0, \widehat{\phi}_k(x, t) < 0 \forall k \in \mathcal{K} \setminus \{i, j\} \right\}, \\ &= \partial\omega_i(t) \cap \partial\omega_j(t) \cap \left(\bigcap_{k \in \mathcal{K} \setminus \{i, j\}} \omega_k(t) \right)\end{aligned}$$

$$\begin{aligned}f_k(t) &:= \left\{ x \in \mathbb{R}^3 \mid \widehat{\phi}_k(x, t) = 0, \widehat{\phi}_j(x, t) < 0 \forall j \in \mathcal{K} \setminus \{k\} \right\}. \\ &= \partial\omega_k(t) \cap \left(\bigcap_{j \in \mathcal{K} \setminus \{k\}} \omega_j(t) \right)\end{aligned}$$

Denote $\omega_k := \omega_k(0)$, $e_{k,k'} := e_{k,k'}(0)$, $f_k := f_k(0)$ and $\Omega := \Omega_0$.

Definition 4.8. D

Theorem 4.9. *There exist $\tau > 0$ and a mapping $T : \overline{\Omega} \times (-\tau, \tau) \rightarrow \mathbb{R}^d$ satisfying $T(\Omega, t) = \Omega_t$, $T(\partial\Omega, t) = \partial\Omega_t$ and such that $T(\cdot, t) : \overline{\Omega} \rightarrow \overline{\Omega}_t$ is bi-Lipschitz for all $t \in (-\tau, \tau)$. Furthermore, we have*

$$\theta(x) \cdot \nu(x) = \dot{a}_k(0) - x \cdot \dot{n}_k(0) \quad \forall x \in \text{int } \partial\omega_k \cap \overline{\Omega}, \quad (7)$$

where $\theta := \partial_t T(\cdot, 0)$ and ν is the outward unit normal vector to Ω .

Proof. Let $T : \overline{\Omega} \times (-\tau, \tau) \rightarrow \mathbb{R}^3$ be the vector-valued function defined by

$$T(x, t) := \sum_{v \in \mathcal{V}} b_v(x) z_v(t).$$

Let $v \in \mathcal{V}$. We have

$$T(v, t) = \sum_{w \in \mathcal{V}} b_w(v) z_w(t) = \sum_{w \in \mathcal{V}} \delta_{vw} z_w(t) = z_v(t).$$

Let $e_{i,j}$ be an edge and v, w be its vertices. Let $x \in e_{i,j}$. We have

$$T(x, t) = \sum_{u \in \mathcal{V}} b_u(x) z_u(t) = b_v(x) z_v(t) + b_w(x) z_w(t)$$

with $b_v(x), b_w(x) > 0$. Thus, given $k \in \mathcal{K}$ it follows that

$$\hat{\phi}_k(T(x, t), t) = b_v(x) z_v(t) \cdot n_k(t) + b_w(x) z_w(t) \cdot n_k(t) - a_k(t).$$

If $k \in \{i, j\}$, then

$$\hat{\phi}_k(T(x, t), t) = (b_v(x) + b_w(x)) a_k(t) - a_k(t) = 0$$

because $z_v(t), z_w(t) \in \partial\omega_k(t)$. Hence $T(e_{i,j}, t) \subset \partial\omega_i(t) \cap \partial\omega_j(t)$. If $k \in \mathcal{K} \setminus \{i, j\}$ we have three cases: $\partial\omega_k(t)$ is the third plane containing to $z_v(t)$, $\partial\omega_k(t)$ is the third plane containing to $z_w(t)$ and $\partial\omega_k(t)$ is a plane that does not contain neither $z_v(t)$ and $z_w(t)$. In the first case

$$\begin{aligned} \hat{\phi}_k(T(x, t), t) &= b_v(x) a_k(t) + b_w(x) z_w(t) \cdot n_k(t) - a_k(t) \\ &< b_v(x) a_k(t) + b_w(x) a_k(t) - a_k(t) \\ &= (b_v(x) + b_w(x)) a_k(t) - a_k(t) = 0. \end{aligned}$$

In the second case

$$\begin{aligned} \hat{\phi}_k(T(x, t), t) &= b_v(x) z_v(t) \cdot n_k(t) + b_w(x) a_k(t) - a_k(t) \\ &< b_v(x) a_k(t) + b_w(x) a_k(t) - a_k(t) \\ &= (b_v(x) + b_w(x)) a_k(t) - a_k(t) = 0. \end{aligned}$$

Finally, in the third case,

$$\begin{aligned} \hat{\phi}_k(T(x, t), t) &= b_v(x) z_v(t) \cdot n_k(t) + b_w(x) z_w(t) \cdot n_k(t) - a_k(t) \\ &< b_v(x) a_k(t) + b_w(x) a_k(t) - a_k(t) \\ &= (b_v(x) + b_w(x)) a_k(t) - a_k(t) = 0. \end{aligned}$$

Hence $T(e_{i,j}, t) \subset (\cap_{k \in \mathcal{K} \setminus \{i, j\}} \omega_k(t))$. We conclude that $T(e_{i,j}, t) \subset e_{i,j}(t)$. Let $y \in e_{i,j}(t)$. Then $y = \lambda z_v(t) + (1 - \lambda) z_w(t)$ for some $0 < \lambda < 1$. Let $x = w + \lambda(v - w)$. We have

$$\begin{aligned} T(x, t) &= b_v(x) z_v(t) + b_w(x) z_w(t) \\ &= \frac{\langle x - w, v - w \rangle}{|\overline{e_{i,j}}|^2} z_v(t) + \frac{\langle x - v, w - v \rangle}{|\overline{e_{i,j}}|^2} z_w(t) \\ &= \frac{\langle \lambda(v - w), v - w \rangle}{|\overline{e_{i,j}}|^2} z_v(t) + \frac{\langle (1 - \lambda)(w - v), w - v \rangle}{|\overline{e_{i,j}}|^2} z_w(t) \\ &= \lambda \frac{\langle v - w, v - w \rangle}{|\overline{e_{i,j}}|^2} z_v(t) + (1 - \lambda) \frac{\langle w - v, w - v \rangle}{|\overline{e_{i,j}}|^2} z_w(t) \\ &= y. \end{aligned}$$

Therefore, $T(e_{i,j}, t) = e_{i,j}(t)$. Moreover, if $T(x_1, t) = T(x_2, t)$ for $x_1, x_2 \in e_{i,j}$,

$$\begin{aligned} b_v(x_1)z_v(t) + (1 - b_v(x_1))z_w(t) &= b_v(x_2)z_v(t) + (1 - b_v(x_2))z_w(t) \\ b_v(x_1)(z_v(t) - z_w(t)) + z_w(t) &= b_v(x_2)(z_v(t) - z_w(t)) + z_w(t) \\ b_v(x_1)(z_v(t) - z_w(t)) &= b_v(x_2)(z_v(t) - z_w(t)) \end{aligned}$$

It follows that $b_v(x_1) = b_v(x_2)$, that is

$$\begin{aligned} \frac{\langle x_1 - w, v - w \rangle}{|\overline{e_{i,j}}|^2} &= \frac{\langle x_2 - w, v - w \rangle}{|\overline{e_{i,j}}|^2} \\ \langle x_1 - w, v - w \rangle &= \langle x_2 - w, v - w \rangle \end{aligned}$$

and $\langle x_1 - x_2, v - w \rangle = 0$. Since $x_1 - x_2$ is parallel to $v - w$, $x_1 = x_2$. Therefore T is bijective on $e_{i,j}$. Let f_k be a face and \mathcal{W} be the set of its vertices. Let $x \in f_k$. We have

$$T(x, t) = \sum_{w \in \mathcal{V}} b_w(x) z_w(t) = \sum_{w \in \mathcal{W}} b_w(x) z_w(t)$$

and

$$\begin{aligned} \hat{\phi}_k(T(x, t), t) &= \sum_{w \in \mathcal{W}} b_w(x) z_w(t) \cdot n_k(t) - a_k(t) \\ &= \left(\sum_{w \in \mathcal{W}} b_w(x) \right) a_k(t) - a_k(t) = 0. \end{aligned}$$

Hence $T(f_k, t) \subset \partial\omega_k(t)$. Let $j \in \mathcal{K} \setminus \{k\}$. There are two cases: $\partial\omega_j(t)$ is a plane touching f_k on the edge with vertices u, v and otherwise. In the first case, there are at least one vertex $w \in \mathcal{W}$ such that $w \in \omega_j(t)$ (it is because a face has least one vertex out the plane $\partial\omega_j(t)$). Then

$$\begin{aligned} \hat{\phi}_j(T(x, t), t) &= \sum_{w \in \mathcal{W}} b_w(x) z_w(t) \cdot n_j(t) - a_j(t) \\ &= \sum_{w \in \mathcal{W} \setminus \{u, v\}} b_w(x) z_w(t) \cdot n_j(t) + (b_u(x) + b_v(x)) a_j(t) - a_j(t) \\ &= \sum_{w \in \mathcal{W} \setminus \{u, v\}} b_w(x) (z_w(t) \cdot n_j(t) - a_j(t)) + a_j(t) - a_j(t) \\ &= \sum_{w \in \mathcal{W} \setminus \{u, v\}} b_w(x) (z_w(t) \cdot n_j(t) - a_j(t)) \end{aligned}$$

since $u \cdot n_j(t) = v \cdot n_j(t) = a_j(t)$. We know that $z_w(t) \cdot n_j(t) - a_j(t) < 0$ for all $w \in \mathcal{W} \setminus \{u, v\}$. Is there some $w \in \mathcal{W}$ such that $b_w(x) > 0$? Yes! Indeed, since x is not in the edge of vertices u, v , there exists some $w \in \mathcal{V} \setminus \{u, v\}$ such that $b_w(x) > 0$. But, since x is in the face f_k , $b_w(x) = 0$ for all $w \in \mathcal{V} \setminus \mathcal{W}$. Then there is some $w \in \mathcal{W} \setminus \{u, v\}$ such that $b_w(x) > 0$. Thus

$$\hat{\phi}_j(T(x, t), t) = \sum_{w \in \mathcal{W} \setminus \{u, v\}} b_w(x) (z_w(t) \cdot n_j(t) - a_j(t)) < 0.$$

In the second case,

$$\begin{aligned}\hat{\phi}_j(T(x, t), t) &= \sum_{w \in \mathcal{W}} b_w(x) z_w(t) \cdot n_j(t) - a_j(t) \\ &= \sum_{w \in \mathcal{W}} b_w(x) (z_w(t) \cdot n_j(t) - a_j(t))\end{aligned}$$

We know that $z_w(t) \cdot n_j(t) - a_j(t) < 0$. If all $b_w(x) = 0$ for all $w \in \mathcal{W}$ then $x = 0 \in \partial\omega_k(t)$. Contradiction!

$$\hat{\phi}_j(T(x, t), t) < 0$$

We conclude that $T(x, t) \in \partial\omega_k(t) \cap (\cap_{j \in \mathcal{K} \setminus \{k\}} \omega_j(t))$, that is, $T(f_k, t) \subset f_k(t)$. Therefore

$$T(\overline{f_k}, t) \subset \overline{f_k(t)}, T(\partial f_k, t) = \partial f_k(t)$$

and T is injective on ∂f_k .

Let $x \in \cap_{k \in \mathcal{K}} \omega_k$. Let $k \in \mathcal{K}$ and \mathcal{W} be the set of vertices of the face f_k . We have

$$\begin{aligned}\hat{\phi}_k(T(x, t), t) &= \sum_{v \in \mathcal{V}} b_v(x) z_v(t) \cdot n_k(t) - a_k(t) \\ &= \left(\sum_{w \in \mathcal{W}} b_w(x) - 1 \right) a_k(t) + \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) z_v(t) \cdot n_k(t) \\ &= - \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) a_k(t) + \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) z_v(t) \cdot n_k(t) \\ &= \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) (z_v(t) \cdot n_k(t) - a_k(t)) \\ &= \sum_{v \in \mathcal{V} \setminus \mathcal{W}} b_v(x) \hat{\phi}_k(z_v(t), t)\end{aligned}$$

We know that there exists $w \in \mathcal{V} \setminus \mathcal{W}$ such that $b_w(x) > 0$.

Since $b_v(x) > 0$ for all v and $\hat{\phi}_k(z_v(t), t) < 0$ for all $v \in \mathcal{V} \setminus \mathcal{W}$ (it must be hip.), $\hat{\phi}_k(T(x, t), t) < 0$. Hence $T(x, t) \in \cap_{k \in \mathcal{K}} \omega_k(t)$. \square

5 Injectivity

$$T(x, t) = x + \sum_{v \in \mathcal{V}} b_v(x) (z_v(t) - v)$$

$$T(x, t) - T(y, t) = x - y + \sum_{v \in \mathcal{V}} (b_v(x) - b_v(y)) (z_v(t) - v)$$

It holds that

$$\|x - y\| - \left\| \sum_{v \in \mathcal{V}} (b_v(x) - b_v(y)) (z_v(t) - v) \right\| \leq \|T(x, t) - T(y, t)\| \leq \|x - y\| + \left\| \sum_{v \in \mathcal{V}} (b_v(x) - b_v(y)) (z_v(t) - v) \right\|$$

if

$$|b_v(x) - b_v(y)| \leq \|\nabla b_v(z(x, y, v))\| \|x - y\|$$

Then

$$\|x - y\| \left(1 - \sum_{v \in \mathcal{V}} \|\nabla b_v(z(x, y, v))\| \|z_v(t) - v\| \right) \leq \|T(x, t) - T(y, t)\| \leq \|x - y\| \left(1 + \sum_{v \in \mathcal{V}} \|\nabla b_v(z(x, y, v))\| \|z_v(t) - v\| \right)$$

Assume that

$$\|\nabla b_v(z(x, y, v))\| \leq C$$

for all v and all $x, y \in \overline{\Omega}$. Then

$$\|x - y\| \left(1 - C \sum_{v \in \mathcal{V}} \|z_v(t) - v\| \right) \leq \|T(x, t) - T(y, t)\| \leq \|x - y\| \left(1 + C \sum_{v \in \mathcal{V}} \|z_v(t) - v\| \right)$$

and if

$$\sum_{v \in \mathcal{V}} \|z_v(t) - v\| < \frac{1}{C}$$

From this we deduce that T is bi

Rewrite T as

$$T(x, t) = x + \sum_{v \in \mathcal{V}} b_v(x) (z_v(t) - v)$$

it is easy to calculate its Jacobian matrix

$$D_x T(x, t) = I + \sum_{v \in \mathcal{V}} (z_v(t) - v) \otimes \nabla b_v(x).$$

Let $d : \overline{\Omega} \times \mathbb{R} \rightarrow \mathbb{R}$ be the function defined by

$$d(x, t) := \det \left(I + \sum_{v \in \mathcal{V}} (z_v(t) - v) \otimes \nabla b_v(x) \right).$$

Fix an arbitrary $x \in \overline{\Omega}$. Since $d(x, \cdot)$ is a continuous function and

$$d(x, 0) = \det \left(I + \sum_{v \in \mathcal{V}} (z_v(0) - v) \otimes \nabla b_v(x) \right) = \det I = 1 > 0,$$

it follows that $\det D_x T(x, t) = d(x, t) > 0$ for small t . Therefore, one can conclude that $T(\cdot, t)$ is locally injective for small t .

This idea was taken from [4]§. Recall that non zero determinant of $D_x T(x, t)$ implies local injectivity. To obtain global injectivity consider [2]§ [3]§.

6 Surjectivity

h1: $U, V \subset \mathbb{R}^n$ are closed, bounded and convex

h2: $f : U \rightarrow V$ is continuous

h2: $f(\text{int}U) \subset \text{int}V$

h3: $f : \partial U \rightarrow \partial V$ is an homeomorphism

Then f is surjective.

Proof.

Let $p \in \text{int}U$.

Let $H : I \times \partial V \rightarrow V$ defined by

$H(t, y) = f((1-t)f^{-1}(y) + tp)$, which is well-defined, continuous, $H(0, y) = y$ and $H(1, y) = f(p)$. The homotopy H continuously contracts the boundary ∂V to a $f(p)$. Then, for all $z \in V$, is there some x such that $H(t, y) = z$?

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